



FY 2016

Enacted Budget Financial Plan

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Table of Contents

| | |
|---|-----|
| Introduction | 1 |
| The State Budget Process | 1 |
| Budget Projections | 2 |
| Budgetary and Accounting Practices | 3 |
| Risks and Uncertainties | 4 |
| Financial Plan Overview | 7 |
| Financial Plan At-A-Glance: Key Measures | 7 |
| Executive Summary | 8 |
| General Fund Financial Plan | 13 |
| FY 2015 Year-End Summary | 13 |
| FY 2016 Financial Plan..... | 15 |
| FY 2016 Detailed Gap-Closing Plan..... | 19 |
| Negotiated Changes to Executive Budget..... | 24 |
| Monetary Settlements..... | 26 |
| Other Matters Affecting the Financial Plan | 35 |
| Multi-Year Financial Plan Projections | 53 |
| FY 2015 Preliminary Year-End Results | 115 |
| Fiscal Impact on Local Governments | 127 |
| Glossary of Acronyms | 131 |
| Financial Plan Tables and Accompanying Notes | 137 |

Introduction

Introduction

The Enacted Budget Financial Plan for Fiscal Year (FY) 2016 (the “Enacted Budget Financial Plan” or “Current Financial Plan”) summarizes the State of New York’s official Financial Plan projections for FY 2016 through FY 2019. The State’s FY 2016 began on April 1, 2015 and ends on March 31, 2016.

The State Budget Process

The requirements of the State budget process are set forth in Article VII of the State Constitution, the State Finance Law, and the Legislative Law. The annual budget process begins with the Governor’s submission of the Executive Budget to the Legislature each January, in preparation for the start of the upcoming fiscal year on April 1. (The submission can be as late as February 1 in years following a gubernatorial election.) The Executive Budget consists of bills that: (a) set forth all proposed appropriations and reappropriations, (b) provide for any new or modified revenue measures, and (c) make any other changes to existing law necessary to implement the Executive Budget recommended by the Governor. The General Fund must be balanced on a cash basis, as described below. The Division of the Budget (DOB) prepares a multi-year Financial Plan (“State Financial Plan”) as part of the Executive Budget. The State Financial Plan sets forth projected receipts and disbursements for the current fiscal year, the “budget” year (i.e., the upcoming fiscal year), and the three subsequent fiscal years (the “outyears”).

In acting on the bills submitted by the Governor, the Legislature has certain powers to alter the recommended appropriations and proposed changes to existing law. The Legislature may strike or reduce an item of appropriation submitted by the Governor. The Legislature may add distinct new items of appropriation, provided such additions are stated separately. These additional items are then subject to line-item veto by the Governor. If the Governor vetoes an appropriation separately added by the Legislature or a bill (or a portion thereof) related to the Budget, these separately added items of appropriation or the bill can be reconsidered in accordance with the rules of each house of the Legislature. If, upon reconsideration, the items are approved by two-thirds of the members of each house, such items will become law notwithstanding the Governor’s veto.

Once the appropriation bills and other budget bills become law, DOB revises the State Financial Plan to reflect the Legislature’s actions, and begins the process of implementing the Enacted Budget. Throughout the fiscal year, DOB monitors actual receipts and disbursements, and may adjust the estimates and projections in the State Financial Plan. Adjustments may also be made to the State Financial Plan to reflect changes in the economic outlook, updated data on program activities, new actions taken by the Governor or the Legislature, and other factors. State Finance Law requires DOB to update the State Financial Plan quarterly.

Once the Budget is adopted, the Legislature may enact one multi-purpose appropriation bill and additional single-purpose appropriation bills or revenue measures (including tax law changes) during any regular session or, if called into session for that purpose, any special session. In the event additional appropriation bills or revenue measures are disapproved by the Governor, the

Legislature may override the Governor's veto upon the vote of two-thirds of the members of each house of the Legislature. The Governor may present deficiency appropriations to the Legislature in any fiscal year to supplement existing appropriations or provide new appropriations for purposes not considered by the regular and supplemental appropriations.

Budget Projections

The State Financial Plan projections for future years have often shown budget gaps in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the expected level of resources to pay for these disbursements. Any General Fund gap estimates are based on a number of assumptions and projections developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which recipients will enroll in a new program). In addition, the Financial Plan assumes that money appropriated in one fiscal year is expected to continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are made (or, in the case of two-year appropriations, reviewed) annually, taking into account the current and projected fiscal position of the State. The Financial Plan projections for FY 2017 and thereafter, as set forth in this Enacted Budget Financial Plan, reflect the savings that DOB estimates would occur if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. However, total disbursements in Financial Plan tables and discussion do not reflect these savings. The estimated savings are labeled in the Financial Plan tables as "Adherence to 2 Percent State Operating Funds Spending Benchmark" (or simply "Adherence to 2 Percent Spending Benchmark"). If the 2 percent State Operating Funds spending benchmark is not adhered to, budget gaps may result.

Budgetary and Accounting Practices

The State's **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), the Lottery Fund, and the Mental Hygiene Program and Patient Income Accounts. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State's budgetary and gap-closing discussion is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically identified with the phrase "reserved for," are not held in distinct accounts within the General Fund, and may be used for other purposes.

State Operating Funds is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity not only in the General Fund, but also State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Projects Funds and Federal funds is excluded). As more financial activity occurred in funds outside of the General Fund, State Operating Funds became, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (i.e., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State special revenue funds. The State Operating Funds perspective captures Medicaid disbursements from both of these fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursements projections often emphasizes the State Operating Funds perspective.

The State also reports disbursements and receipts activity for **All Governmental Funds** ("All Funds"), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State.

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables sort State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a *pro forma* Generally Accepted Accounting Principles (GAAP) financial plan for informational purposes. The GAAP-basis financial plan is not used by DOB as a benchmark for managing State finances during the fiscal year and is not updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements. However, GAAP is a financial reporting regime, not a budgeting system.

Risks and Uncertainties

The factors affecting the State's financial condition are complex. This Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time such forecasts were prepared, and contains statements relating to future results and economic performance that are "forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Enacted Budget Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State's expectations and are necessarily dependent upon assumptions, estimates, and data that the State believes are reasonable as of the date which the expectations are made but that may be incorrect, incomplete or imprecise, or not reflective of actual results. Forecasts, projections and estimates are not intended as representations of fact or guarantees of results. The words "expects," "forecasts," "projects," "intends," "anticipates," "estimates," and analogous expressions are intended to identify forward-looking statements in the Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid including Federal aid authorized or appropriated by Congress, but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Enacted Budget Financial Plan.

Financial Plan Overview

The following table provides certain Financial Plan information for FY 2015 and FY 2016.

| FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars) | | | | |
|---|----------------------|-------------------|--------------------------------|--------------------|
| | FY 2015 | | FY 2016 | |
| | Revised ¹ | Results | Executive Amended ² | Enacted |
| State Operating Funds Disbursements | | | | |
| Size of Budget | \$92,376 | \$92,426 | \$93,988 | \$94,250 |
| Annual Growth | 1.9% | 2.0% | 1.7% | 2.0% |
| Other Disbursement Measures | | | | |
| General Fund (Excluding Transfers) | \$54,835 5.2% | \$54,255 4.0% | \$57,361 5.7% | \$57,814 6.6% |
| General Fund (Including Transfers) ³ | \$63,181 3.2% | \$62,856 2.6% | \$70,629 12.4% | \$72,090 14.7% |
| State Funds (Including Capital) | \$98,667 2.4% | \$98,148 1.9% | \$102,554 4.5% | \$103,127 5.1% |
| Capital Budget (Federal and State)* | \$7,995 3.1% | \$7,548 -2.6% | \$9,374 24.2% | \$9,212 22.0% |
| Federal Operating Aid (Excluding Extraordinary Aid) * | \$37,342 -0.4% | \$38,669 3.1% | \$38,271 -1.0% | \$39,385 1.9% |
| All Funds (Excluding Extraordinary Aid) * | \$137,713 1.4% | \$138,643 2.0% | \$141,633 2.2% | \$142,847 3.0% |
| Capital Budget (Including "Off-Budget") * | \$8,906 -2.2% | \$8,287 -9.0% | \$10,387 25.3% | \$10,101 21.9% |
| All Funds (Including "Off-Budget" Capital) * | \$138,624 1.0% | \$139,382 1.6% | \$142,646 2.3% | \$143,736 3.1% |
| Inflation (CPI) | 1.4% | 1.2% | 0.9% | 0.5% |
| All Funds Receipts | | | | |
| Taxes | \$70,512 1.2% | \$71,034 1.9% | \$74,450 4.8% | \$74,562 5.0% |
| Miscellaneous Receipts | \$30,426 25.6% | \$29,438 21.5% | \$25,075 -14.8% | \$25,410 -13.7% |
| Federal Grants * | \$41,746 -0.9% | \$43,388 3.0% | \$41,940 -3.3% | \$43,194 -0.4% |
| Total Receipts * | \$142,684 4.9% | \$143,860 5.7% | \$141,465 -1.7% | \$143,166 -0.5% |
| General Fund Reserves | \$7,768 | \$7,300 | \$3,229 | \$3,495 |
| Stabilization/Rainy Day Reserve Funds | \$1,796 | \$1,798 | \$1,796 | \$1,798 |
| All Other Reserves/Fund Balances ⁴ | \$5,972 | \$5,502 | \$1,433 | \$1,697 |
| State Workforce FTEs (Subject to Direct Executive Control) - All Funds | 118,379 | 117,807 | 119,235 | 119,349 |
| Debt | | | | |
| Debt Service as % All Funds Receipts | 4.3% | 4.5% | 4.1% | 3.7% |
| State-Related Debt Outstanding | \$54,896 | \$54,192 | \$56,915 | \$55,319 |
| Debt Outstanding as % Personal Income | 4.9% | 4.9% | 4.9% | 4.8% |

¹ Updated as part of the FY 2016 Executive Budget, as amended.

² The annual percentage change calculations in the FY 2016 "Executive Amended" column have been updated for FY 2015 year-end results.

³ Reflects the extraordinary transfer of monetary settlement money from the General Fund to (a) the new Dedicated Infrastructure Investment Fund (\$4.55 billion) and (b) the mental hygiene account to fund a portion of a Federal disallowance for OPWDD (\$850 million).

⁴ Change in reserves in FY 2016 reflects the one-time extraordinary transfers described in the prior note.

* All Funds, Federal Operating Funds and Capital Projects Funds receipts and disbursements exclude (a) Federal disaster aid for Superstorm Sandy, (b) additional Federal aid associated with Federal health care reform, and (c) capital spending from the windfall from monetary settlements with financial institutions. Including disbursements for these purposes, All Funds disbursements are expected to total \$152.2 billion in FY 2016, an increase of 5.7 percent.

Executive Summary

- The State ended FY 2015 in balance on a cash basis in the General Fund. Aside from variances due to the timing of monetary settlements, General Fund receipts and disbursements in FY 2015 were close to planned levels. The State made the maximum allowable deposit to its rainy day reserves at the close of the year.
- The FY 2016 Enacted Budget Financial Plan adopted by the Legislature provides for balanced operations on a cash basis in the General Fund, as required by law. The Enacted Budget reflects savings from the continuation of spending controls and cost containment measures put in place in prior years. Funding for agency operations is generally expected to remain at current levels across the multi-year Financial Plan. Rainy day and debt management reserves are expected to remain intact.
- DOB expects relatively modest economic growth in FY 2016, consistent with recent data. Total All Funds tax receipts in FY 2016 are expected to grow by 5 percent from FY 2015. DOB believes the estimates, which anticipate only modest growth in the financial services sector, remain conservative for FY 2016 and beyond, reflecting volatile economic conditions.
- During negotiations, the Executive and Legislature agreed to \$625 million in spending restorations and additions to the Executive Budget proposal for FY 2016. They also agreed to certain tax law changes and restorations that increased costs by \$33 million for FY 2016. In addition, the Legislature did not approve proposals that would have converted the STAR tax exemption benefit to a PIT credit for new homeowner applications (\$152 million). It also did not accept several proposed tax reductions, which has the effect of increasing projected receipts for FY 2017 and beyond compared to the Executive Budget Financial Plan.
- DOB has identified new resources to fully fund the restorations, additions, tax and law changes that resulted from budget negotiations. These resources include savings from the payment in FY 2015 of costs due in FY 2016 (above the level planned in the FY 2016 Executive Budget); revisions to estimated receipts and disbursements based on a review of FY 2015 results; and management of debt and capital resources.
- In March, the State and Federal government resolved disputed rates for services that had been provided to individuals with developmental disabilities prior to March 31, 2013 (“Resolution of Federal OPWDD Disallowance”). Under the terms of the agreement, the State will pay the Federal government a total of \$1.95 billion over eleven years, including \$850 million in FY 2016. The payment in FY 2016 will be funded with resources from monetary settlements set aside for this purpose.

- DOB estimates that State Operating Funds spending will increase by 2 percent in FY 2016, consistent with the State's spending benchmark adopted in FY 2012. The spending benchmark is calculated using the cash basis of accounting, as described elsewhere in this Financial Plan. It is therefore affected by the prepayment of expenses across fiscal years.
- The Financial Plan projections for FY 2017 and thereafter set forth in this Enacted Budget Financial Plan are based on an assumption that the Governor will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. Specifically, the General Fund surpluses identified in FY 2017, FY 2018, and FY 2019 are calculated based on this assumption. DOB expects that specific proposals to limit spending growth to 2 percent will be included in the Governor's annual Executive Budgets. Many proposals are expected to require the approval of the Legislature.
- The legislative session is scheduled to run through late June. The Governor is seeking approval of several initiatives, including raising the age of juvenile jurisdiction, addressing the problem of sexual assault on college campuses, raising the cap on the number of charter schools in the State, and authorizing an education tax credit and the DREAM Act. Costs from legislation enacted through the end of the legislative session are expected to be reflected in the First Quarterly Update to the Financial Plan.
- The Department of Financial Services (DFS), Department of Law, and the Manhattan District Attorney's Office have reached financial settlements with a number of banks for violations of New York banking laws, and with a number of insurance companies for violations of New York insurance laws. The State has received a total of \$7 billion from monetary settlements in FY 2015 and FY 2016 including two settlements, valued at approximately \$1.3 billion, that were not part of budget negotiations. The Enacted Budget for FY 2016 earmarks \$5.4 billion for one-time purposes, including \$4.6 billion for capital projects to support economic development and infrastructure investments in New York's economy and \$850 million for a Federal disallowance settlement. In addition, the General Fund included \$275 million in FY 2015 and \$250 million in FY 2016 for operating purposes.

The table below illustrates the major sources of annual change in State spending by major program, purpose, and fund perspective.

| STATE SPENDING MEASURES (millions of dollars) | | | | |
|---|----------------|----------------|----------------------|---------------|
| | FY 2015 | FY 2016 | Annual Change | |
| | Results | Enacted | \$ | % |
| LOCAL ASSISTANCE | 61,052 | 63,305 | 2,253 | 3.7% |
| School Aid (State Fiscal Year Basis) ¹ | 21,630 | 23,378 | 1,748 | 8.1% |
| DOH Medicaid ² | 16,790 | 17,414 | 624 | 3.7% |
| Transportation | 4,834 | 4,862 | 28 | 0.6% |
| Mental Hygiene | 2,923 | 2,718 | (205) | -7.0% |
| STAR | 3,297 | 3,382 | 85 | 2.6% |
| Social Services | 2,837 | 2,976 | 139 | 4.9% |
| Higher Education | 3,092 | 2,991 | (101) | -3.3% |
| Public Health/Aging | 1,841 | 1,792 | (49) | -2.7% |
| Special/Other Education | 2,081 | 2,200 | 119 | 5.7% |
| Local Government Assistance | 765 | 784 | 19 | 2.5% |
| All Other ³ | 962 | 808 | (154) | -16.0% |
| STATE OPERATIONS/FRINGE BENEFITS | 25,190 | 25,822 | 632 | 2.5% |
| State Operations | 18,157 | 18,488 | 331 | 1.8% |
| Personal Service: | <u>12,550</u> | <u>12,868</u> | <u>318</u> | <u>2.5%</u> |
| Executive Agencies | 7,137 | 7,183 | 46 | 0.6% |
| Extra Bi-Weekly Institutional Pay Period | 0 | 167 | 167 | n/a |
| University Systems | 3,551 | 3,621 | 70 | 2.0% |
| Elected Officials | 1,862 | 1,897 | 35 | 1.9% |
| Non-Personal Service: | <u>5,607</u> | <u>5,620</u> | <u>13</u> | <u>0.2%</u> |
| Executive Agencies | 2,744 | 2,847 | 103 | 3.8% |
| University Systems | 2,303 | 2,183 | (120) | -5.2% |
| Elected Officials | 560 | 590 | 30 | 5.4% |
| Fringe Benefits/Fixed Costs | 7,033 | 7,334 | 301 | 4.3% |
| Pension Contribution | 2,130 | 2,219 | 89 | 4.2% |
| Health Insurance | 3,319 | 3,451 | 132 | 4.0% |
| Other Fringe Benefits/Fixed Costs | 1,584 | 1,664 | 80 | 5.1% |
| DEBT SERVICE | 6,183 | 5,122 | (1,061) | -17.2% |
| CAPITAL PROJECTS | 1 | 1 | 0 | 0.0% |
| TOTAL STATE OPERATING FUNDS | 92,426 | 94,250 | 1,824 | 2.0% |
| Capital Projects (State and Federal Funds)⁴ | 7,548 | 9,212 | 1,664 | 22.0% |
| Federal Operating Aid⁴ | 38,669 | 39,385 | 716 | 1.9% |
| TOTAL ALL GOVERNMENTAL FUNDS⁴ | 138,643 | 142,847 | 4,204 | 3.0% |

¹ School Aid growth on a school year basis is \$1.4 billion or 6.1 percent.
² Includes Basic Health Plan.
³ "All Other" comprises a number of other program areas, including parks, environment, economic development, and public safety.
⁴ Capital Projects, Federal Operating Funds, and All Funds disbursements exclude extraordinary aid for Federal health care reform and Superstorm Sandy, and capital spending from the monetary settlements. Including disbursements for these purposes, All Funds disbursements are expected to total \$152.2 billion in FY 2016, an increase of 5.7 percent.



General Fund Financial Plan

FY 2015 Year-End Summary

In FY 2015, the State received a windfall from monetary settlements with financial institutions. The windfall had a dramatic effect on the State's cash position. When the budget for FY 2015 was enacted, DOB estimated the State would end the fiscal year with a General Fund cash balance of approximately \$2.1 billion. Largely as a result of the monetary settlements, the General Fund ended the year with a balance of \$7.3 billion, approximately \$5.2 billion above the initial estimate. The sources and uses of actual and expected monetary settlements are described more fully later in this Financial Plan. Unless otherwise noted, the discussion of operating results for FY 2015 excludes the impact of the monetary settlements.

The following table summarizes the variance between the Executive Budget Financial Plan (dated February 2015) and actual results, with and without the impact of monetary settlements.

| FY 2015 GENERAL FUND OPERATING RESULTS | |
|---|--------------|
| SUMMARY OF CHANGES FROM EXECUTIVE BUDGET | |
| (millions of dollars) | |
| Receipts Changes | (60) |
| Tax Receipts (After Debt Service) | 210 |
| Miscellaneous Receipts/Other Non-Tax Revenue | (270) |
| Spending Changes | (325) |
| Local Assistance | (394) |
| Agency Operations (incl. GSCs) | (186) |
| Transfers to Other Funds | 255 |
| OPERATING RESULTS (Excluding Monetary Settlements) | 265 |
| Timing of Monetary Settlements | (733) |
| BNP Paribas (Received in FY 2016) | (1,343) |
| Commerzbank (New) | 610 |
| NET CHANGE IN FUND BALANCE | (468) |

General Fund tax receipts, including the transfer of tax receipts to the General Fund after payment of debt service, totaled \$58.6 billion, \$210 million higher than projected in the Executive Budget. This was due to stronger gross bank tax and corporate franchise tax receipts, and lower PIT-related debt service transfers. Miscellaneous receipts and non-tax transfers, excluding the amounts related to monetary settlements, totaled \$4.6 billion, or \$270 million lower than expected. Licenses, fee revenues, and transfers from other funds fell below planned levels.

General Fund disbursements, including transfers to other funds, totaled \$62.9 billion, a decrease of \$325 million from the Executive Budget estimate. Spending was lower than planned across a range of programs and activities for local assistance (\$394 million), agency operations (\$186 million), and transfers to support mental hygiene costs and various other programs (\$127 million). This lower spending was partly offset by higher transfers for capital projects (\$376 million), due to the timing of bond reimbursements for first-instance capital spending from the General Fund.

As shown in the table above, General Fund operating results, excluding the impact of monetary settlements, were \$265 million better than expected, compared to the Executive Budget estimate. The entire amount is expected to be used in FY 2016, with approximately \$175 million to cover costs that were originally budgeted in FY 2015 but are now expected to occur in FY 2016, and the remaining \$90 million to fund new costs.

The Executive Budget Financial Plan anticipated a deposit of approximately \$315 million to the State's rainy day reserves at the close of FY 2015, the maximum amount allowable under the deposit calculations set forth in law. The deposit was made as planned, bringing the balance in the rainy day reserves to \$1.8 billion, or 2.9 percent of FY 2015 General Fund spending.

Monetary settlement receipts were \$733 million lower than expected due to the delayed receipt of \$1.3 billion in settlement payments from BNP Paribas, partly offset by the receipt of \$610 million from Commerzbank related to violations of international sanctions.

The State ended FY 2015 with a General Fund balance of \$7.3 billion, which was \$468 million below the estimate in the Executive Budget Financial Plan. This is comprised of the net change in monetary settlement payments (\$733 million), partly offset by available resources due to operating variances (\$265 million).

The \$7.3 billion year-end balance includes \$4.7 billion from monetary settlement payments that will be used to fund one-time initiatives; \$1.8 billion in the State's rainy day funds; \$500 million set aside for future debt reduction; \$74 million in the Community Projects Fund to be used to finance grants allocated by the Legislature; \$21 million in the Contingency Reserve Fund; \$50 million set aside to cover the costs of potential retroactive labor settlements; and \$190 million in excess resources that will be used in FY 2016 for operations, including certain transactions that did not occur in FY 2015 as expected.

FY 2016 Financial Plan

DOB estimates that the FY 2016 Enacted Budget provides for balanced operations in the General Fund, as required by law. The following table summarizes the projected annual changes from FY 2015 to FY 2016 in General Fund receipts, disbursements, and fund balances.

| GENERAL FUND FINANCIAL PLAN (millions of dollars) | | | | |
|---|--------------------|--------------------|----------------|----------------|
| | FY 2015 Results | FY 2016 Enacted | Annual Change | |
| | | | Dollar | Percent |
| Opening Fund Balance | 2,235 | 7,300 | 5,065 | 226.6% |
| Taxes (After Debt Service) | 58,644 | 62,622 | 3,978 | 6.8% |
| Miscellaneous Receipts/Federal Grants | 8,412 | 4,365 | (4,047) | -48.1% |
| Other Transfers | 865 | 1,298 | 433 | 50.1% |
| Total Receipts | 67,921 | 68,285 | 364 | 0.5% |
| Local Assistance Grants | 41,592 | 44,356 | 2,764 | 6.6% |
| Departmental Operations: | 7,664 | 8,263 | 599 | 7.8% |
| Personal Service | 5,806 | 6,079 | 273 | 4.7% |
| Non-Personal Service | 1,858 | 2,184 | 326 | 17.5% |
| General State Charges | 4,999 | 5,195 | 196 | 3.9% |
| Transfers to Other Funds ¹ | 8,601 | 14,276 | 5,675 | 66.0% |
| Total Disbursements | 62,856 | 72,090 | 9,234 | 14.7% |
| Excess (Deficiency) of Receipts Over Disbursements | 5,065 | (3,805) | (8,870) | -175.1% |
| Closing Fund Balance | 7,300 | 3,495 | (3,805) | -52.1% |
| Statutory Reserves: | | | | |
| "Rainy Day" Reserve Funds | 1,798 | 1,798 | 0 | |
| Community Projects Fund | 74 | 74 | 0 | |
| Contingency Reserve Fund | 21 | 21 | 0 | |
| Fund Balance Reserved for: | | | | |
| Debt Management | 500 | 500 | 0 | |
| Prior-Term Labor Agreements | 50 | 60 | 10 | |
| Undesignated Reserve | 190 | 0 | (190) | |
| Monetary Settlements¹ | 4,667 | 1,042 | (3,625) | |

¹ Includes one-time extraordinary transfer of \$4.55 billion in monetary settlements from the General Fund to the proposed Dedicated Infrastructure Investment Fund, and the transfer of \$850 million to fund the initial payment of a multi-year repayment agreement for prior-year OPWDD-related Federal Medicaid disallowances.

Receipts

General Fund receipts, including transfers from other funds, are expected to total \$68.3 billion in FY 2016, an annual increase of \$364 million (0.5 percent). Tax collections, including transfers of tax receipts to the General Fund after payment of debt service, are expected to total \$62.6 billion in FY 2016, an increase of nearly \$4 billion (6.8 percent).

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to increase by \$4 billion from FY 2015. This primarily reflects increases in withholding payments attributable to the 2015 tax year and extension payments attributable to the 2014 tax year.

General Fund user taxes and fee receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$12.6 billion in FY 2016, an increase of \$360 million (2.9 percent) from FY 2015, reflecting projected consumer spending increases across a broad range of consumption categories, offset by declines in cigarette consumption.

General Fund business tax receipts are estimated at \$5.9 billion in FY 2016, a decrease of \$368 million (-5.9 percent) from FY 2015 results. The estimate reflects a decline in corporate franchise tax receipts resulting from the first year of corporate tax reform, partly offset by growth in the corporation and utilities, and insurance taxes.

Other tax receipts in the General Fund are expected to total nearly \$2 billion in FY 2016, a decrease of \$9 million (-0.5 percent) from FY 2015. The estimate reflects a decline in expected estate tax receipts, the result of 2014 legislation that reduces the estate tax, partially offset by an increase in real estate transfer tax receipts.

General Fund miscellaneous receipts are estimated at nearly \$4.4 billion in FY 2016, an annual decrease of over \$4 billion. The decrease largely reflects the large amount of monetary settlements received in FY 2015, and the multi-year release of State Insurance Fund (SIF) reserves as a result of Workers' Compensation reform. The amount of SIF released is expected to decline from \$1 billion in FY 2015 to \$250 million in FY 2016, consistent with the terms of the enacted legislation.

Non-tax transfers to the General Fund are expected to total \$1.3 billion, an increase of \$433 million. As with miscellaneous receipts, the annual change in non-tax transfers is affected, in part, by the monetary settlements. Under State forfeiture laws, approximately \$298 million of the BNP Paribas monetary settlement is expected to be deposited temporarily in a State Special Revenue Fund in FY 2016. DOB expects to transfer approximately \$293 million of these funds to the General Fund by the close of FY 2016, leaving \$5 million to expand the Office of Alcoholism and Substance Abuse services (OASAS) services.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "Multi-Year Financial Plan Projections" herein.

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$72.1 billion in FY 2016, an increase of \$9.2 billion (14.7 percent) from FY 2015 spending levels. The increase includes one-time extraordinary transfers of \$4.55 billion in monetary settlements from the General Fund to the proposed Dedicated Infrastructure Investment Fund, and \$850 million to fund the initial payment of a multi-year repayment agreement for the resolution of the Federal OPWDD Disallowance.

Local assistance grants are expected to total \$44.4 billion, an annual increase of \$2.8 billion (6.6 percent), including \$1.7 billion for School Aid and roughly \$550 million for Medicaid. Other local assistance increases include, among other things, payments for a range of social services, public health, and general purpose aid programs, as well as accounting reclassifications that have the effect of moving spending between financial plan categories, mainly for Medicaid payments to State-operated facilities.

State operations disbursements in the General Fund are expected to total \$8.3 billion in FY 2016, an annual increase of \$599 million (7.8 percent). An additional (27th) institutional payroll that occurs in FY 2016 adds \$167 million in one-time costs. Other spending increases include \$145 million for the Department of Health (DOH) to operate the New York State of Health (NYSOH) Exchange, continue transition of administrative functions from local service districts to the State, and operate the new Medicaid BHP; \$48 million for the Department of Corrections and Community Supervision (DOCCS), mainly for inmate medical expenses; and \$36 million for the operations of the Judiciary. In addition, IT services that have been consolidated in the General Fund from non-General Fund accounts results in increased General Fund spending (\$86 million).

General State Charges (GSCs) are expected to total \$5.2 billion in FY 2016, an annual increase of \$196 million (3.9 percent) from FY 2015. Health insurance costs are projected to increase \$132 million or 4 percent. The State's annual pension payment is expected to increase by \$89 million, mainly due to repayment of amounts amortized in prior years. The State expects to continue to amortize pension costs in excess of the amortization thresholds established in law. In FY 2016, costs in excess of 14.5 percent of payroll for the Employees' Retirement System (ERS) and 22.5 percent for the Police and Fire Retirement System (PFRS) are expected to be amortized.

General Fund transfers to other funds are expected to total \$14.3 billion in FY 2016, an increase of \$5.7 billion from FY 2015. The annual change is mainly attributable to the Capital Projects transfer in support of the DIIF (\$4.6 billion). In addition, \$850 million from monetary settlements will be used to pay the FY 2016 cost for resolution of the Federal OPWDD Disallowance. These increases are partly offset by the prepayment in FY 2015 of debt service due in FY 2016.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "Multi-Year Financial Plan Projections" herein.

Closing Balance for FY 2016

DOB projects that the State will end FY 2016 with a General Fund cash balance of \$3.5 billion, a decrease of \$3.8 billion from the FY 2015 closing balance. The decline mainly reflects the planned transfers of monetary settlement funds to the DIIF (\$4.6 billion) and the funding of the OPWDD Federal disallowance (\$850 million), partly offset by unbudgeted monetary settlements. In addition, the reduction in balance includes the use of resources from FY 2015 (\$190 million).

| TOTAL DISBURSEMENTS (millions of dollars) | | | |
|---|----------------------------|-----------------------------|--------------------------|
| | FY 2015 Results | FY 2016 Estimate | Annual Change |
| TOTAL GENERAL FUND BALANCE | 7,300 | 3,495 | (3,805) |
| Statutory Reserves: | | | |
| "Rainy Day" Reserve Funds | 1,798 | 1,798 | 0 |
| Community Projects Fund | 74 | 74 | 0 |
| Contingency Reserve Fund | 21 | 21 | 0 |
| Fund Balance Reserved for: | | | |
| Debt Management | 500 | 500 | 0 |
| Prior-Term Labor Agreements | 50 | 60 | 10 |
| Undesignated Reserve | 190 | 0 | (190) |
| Monetary Settlements | 4,667 | 1,042 | (3,625) |
| Budgeted Settlements: | | | |
| BNP Paribas | 0 | 1,348 | |
| All Other | 4,332 | 4,332 | |
| Planned Use of Settlement Funds | (275) | (5,680) | |
| Unbudgeted Settlements: | | | |
| Commerzbank | 610 | 692 | |
| Deutsche Bank | 0 | 600 | |
| Planned Use of Settlement Funds | 0 | (250) | |

Balances in the State's principal "rainy day" reserves, the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund, are expected to remain unchanged in FY 2016.

The Enacted Budget maintains a reserve of \$500 million for debt management purposes in FY 2016, unchanged from the level held at the end of FY 2015. DOB will make a decision on the use of these funds based on market conditions, Financial Plan needs, and other factors.

The Financial Plan continues to set aside money in the General Fund balance to cover the costs of potential retroactive labor settlements with unions that have not agreed to terms for prior contract periods. The amount identified is calculated based on the "pattern" settlement for FY 2008 through FY 2011 that was agreed to by the State's largest unions. The amount set aside is expected to be reduced as labor agreements for prior periods are reached with unsettled unions.

FY 2016 Detailed Gap-Closing Plan

The following table and narrative provide a summary of the proposed gap-closing plan. To the extent the State enacts budgets that adhere to the 2 percent spending benchmark, the level of savings required in each subsequent year to hold spending to 2 percent would be lower.

| FY 2016 ENACTED BUDGET GENERAL FUND GAP-CLOSING PLAN | | | | |
|---|----------------|----------------|----------------|----------------|
| (millions of dollars) | | | | |
| | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| MID-YEAR BUDGET SURPLUS/(GAP) ESTIMATE¹ | (1,814) | (2,578) | (4,034) | (5,777) |
| SPENDING CHANGES | 757 | 515 | 735 | 830 |
| Agency Operations | 141 | (25) | 53 | 244 |
| Executive Agency Operations | 106 | (9) | (15) | 10 |
| Fringe Benefits/Fixed Costs | 102 | 57 | 140 | 292 |
| University Systems | (11) | (8) | (7) | 7 |
| Judiciary | (56) | (65) | (65) | (65) |
| Local Assistance | 1,244 | 887 | 1,139 | 1,271 |
| Education | 276 | 164 | 408 | 330 |
| STAR | 96 | 106 | 106 | 106 |
| Mental Hygiene | 280 | 105 | 123 | 293 |
| DOH Global Cap -Statewide Medicaid Savings | 200 | 200 | 200 | 200 |
| Medicaid/HCRA | 100 | 53 | 69 | 109 |
| Social Services/Housing | 129 | 95 | 103 | 102 |
| Higher Education | 71 | 127 | 97 | 99 |
| All Other | 92 | 37 | 33 | 32 |
| Capital Projects/Debt Management | 121 | 385 | 329 | 335 |
| Initiatives/Investments² | (749) | (732) | (786) | (1,020) |
| School Aid | (250) | (371) | (387) | (407) |
| Other Education | (103) | (87) | (59) | (59) |
| Social Welfare | (79) | (24) | (24) | (24) |
| Health Care | (68) | (53) | (53) | (53) |
| Higher Education | (68) | (70) | (71) | (77) |
| Mental Hygiene | (36) | (35) | (35) | (35) |
| Transportation | (34) | (1) | (1) | (1) |
| Public Safety | (28) | (18) | (18) | (18) |
| Debt Service for Bonded Capital | (11) | (51) | (115) | (199) |
| All Other | (72) | (22) | (23) | (147) |
| RESOURCE CHANGES (NET OF NEW COSTS) | 1,057 | 9 | 652 | 732 |
| Tax Reestimates | 215 | 320 | 748 | 924 |
| Tax Extenders / Tax Credits | 15 | 82 | 160 | 90 |
| Resolution of Federal OPWDD Disallowance | (850) | (100) | (100) | (100) |
| Use of Reserve for Federal Disallowance | 850 | 0 | 0 | 0 |
| All Other | 827 | (293) | (156) | (182) |
| Adherence to 2% Spending Benchmark³ | n/a | 2,333 | 4,349 | 5,821 |
| SURPLUS/(GAP) ESTIMATE | 0 | 279 | 1,702 | 1,606 |

¹ Before actions to adhere to the 2 percent benchmark.

² Reflects Executive initiatives, including reserves to fund costs anticipated from future investments and initiatives, and distinct new spending additions to the Executive Budget agreed to during negotiations. Restorations to Executive Budget proposals are reflected in the appropriate "Spending Changes" and "Tax Extenders/Credits" categories.

³ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund.

Spending Changes

Agency Operations

Operating costs for State agencies include salaries, wages, fringe benefits, and non-personal service costs (e.g., supplies, utilities). These costs have significantly declined over the past several years through ongoing State agency redesign and cost-control efforts. Reductions from the mid-year “current services” projections for agency operations contribute \$141 million to the General Fund gap-closing plan. Specifically:

- **Executive Agencies:** The Budget proposes to hold personal service and non-personal service spending flat, with limited exceptions such as costs attributable to the NY State of Health marketplace and the new BHP program. Agencies are expected to continue to use less costly forms of service deliveries, improve administrative practices, and pursue statewide solutions, particularly at mental hygiene State-operated facilities.
- **Fringe Benefits/Fixed Costs:** Estimates for the State’s pension costs have been revised downward to reflect the interest savings from paying the FY 2016 pension bill by the end of July 2015, rather than on the due date of March 1, 2016. The State’s health insurance costs have been revised to reflect lower than projected rate renewals, and a planned audit to identify and remove ineligible dependents from the New York State Health Insurance Program (NYSHIP). In addition, State Workers’ Compensation costs have been adjusted to reflect lower medical inflation rates and slower growth in average weekly wages.
- **University Systems:** Spending for the State University of New York (SUNY) is projected to decline, mainly due to the closure of the Long Island College Hospital (LICH). Over the Plan period, spending in the university systems is expected to grow, on average, by roughly 2 percent annually.
- **Independent Officials:** Spending for the Legislature, the Department of Audit and Control (OSC), and the Department of Law is projected to remain essentially flat through FY 2019. Judiciary spending is projected to increase by 1.7 percent in FY 2016, driven primarily by the authorization in the FY 2015 Enacted Budget for additional Family Court Justices, statutory salary increases for non-judicial employees represented by the Civil Service Employees Association (CSEA), and increased funding for civil legal services.

Local Assistance

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as entitlement payments to individuals.¹ Reductions from the current-services projections for local assistance spending are expected to generate \$1.2 billion in General Fund savings. Expected savings from targeted actions and continuation of prior-year cost containment actions include:

- **Education:** The Executive Budget proposed a 4.8 percent (\$1.1 billion) increase in School Aid for the 2015-16 school year, which was offset by downward revisions to spending based on revised school district data as of November 2014, and revised estimates of costs associated with the preschool special education program and the summer school special education program. In the Enacted Budget, the Executive and Legislature agreed to additional School Aid for the 2015-16 school year for a total increase of \$1.4 billion (6.1 percent). In addition, the Enacted Budget includes funding for Persistently Failing Schools Transformation Grants to help turn around schools identified as having persistently low student achievement. The new spending authorized in budget negotiations is shown distinctly in the table under “Initiatives/Investments - School Aid.”
- **STAR:** The Enacted Budget aligns the New York City benefit with the STAR exemption program provided to the rest of the State by restricting the New York City PIT rate reduction to residents with incomes lower than \$500,000. In addition to these proposed savings, current STAR spending estimates have been reduced to reflect a decline in the estimated number of STAR exemption recipients.
- **Mental Hygiene:** The reduction in projected Mental Hygiene spending primarily stems from an acceleration of payments -- into FY 2015 -- associated with the State’s transition to Medicaid Managed Care, and prepayments for Voluntary Operated Intermediate Care Facilities and Day Habilitation services. It also reflects the use of Balancing Incentive Program (BIP) resources, authorized through the ACA, to support a number of new spending investments over a multi-year period. Additionally, the reduction shows slower than expected FY 2016 growth in OPWDD and the Office of Mental Health (OMH). Growth in the level of Medicaid-eligible costs also generates a projected reduction in Mental Hygiene spending. State Budget actions also reduce Mental Hygiene spending, reflecting efforts to “rightsize” State institutions, primarily in OPWDD, and to transition individuals with developmental disabilities to less costly, community-based settings.
- **Medicaid:** The Budget includes \$200 million in annual State-share Medicaid savings beginning in FY 2016, a portion of which is achieved by funding certain OPWDD-related Medicaid costs under the Medicaid Global Cap. To accommodate these additional costs, DOH will use \$815 million in additional State-funded Medicaid savings which are expected to accrue to the Global Cap in FY 2016 from accelerating the enrollment in BHP of legally residing immigrants currently receiving State-only Medicaid. Under BHP, the

¹ Local assistance includes payments for School Aid, STAR, Medicaid, public assistance, child welfare, local government assistance and a range of other purposes.

cost of insurance premiums for such individuals, and other individuals meeting certain income eligibility standards, will be supplemented by both State and Federal funds. BHP resources will also be used by DOH to offset fiscal constraints on the Global Cap, and to implement initiatives consistent with the goals of the Medicaid Redesign Team (MRT).

In addition, downward revisions to certain public health programs financed by HCRA result in surplus resources available to support additional General Fund Medicaid spending.

- **Social Services/Housing:** Lower spending is expected in several programs, including Supplemental Security Income (SSI), Foster Care, Child Care, Adoption, Close to Home, and Special Education. In addition, General Fund savings are achieved by funding certain housing programs from the Mortgage Insurance Fund. These savings are partially offset by increased spending in Child Welfare and Adult Protective/Domestic Violence.
- **Higher Education:** Estimated spending has been lowered across several areas, including fringe benefit costs, community college base aid, Tuition Assistance Program (TAP) and scholarship programs.
- **All Other:** Lower General Fund spending is expected for local government grant awards, public safety, economic development, and health care programs.

Capital Projects/Debt Management

- Savings are expected to be achieved through continuing the use of competitive sales, refunding of higher-cost debt as market conditions permit, and efficiencies from the consolidation of bond sales. In addition, projections reflect the impact of revised capital spending estimates and future bonding assumptions.

Initiatives/Investments

- The Enacted Budget includes significant spending additions for education, human services, and health care. During negotiations, the Executive and Legislature agreed to approximately \$625 million in distinct new spending additions to the FY 2016 Executive Budget. The summary of legislative changes follows, under the heading, “Changes to the Executive Budget.”

Resource Changes

- **Tax Receipts:** The estimate for annual tax receipts has been revised upward across all major tax categories to reflect results to date.
- **Tax Extenders/Tax Credits:** The Enacted Budget includes various tax extenders and an expansion of existing tax credits, the largest include:
 - **Create the Urban Youth Jobs Program.** Doubles the annual allocation to \$20 million for program years 2015 through 2017. This program builds and expands upon the success of the Youth Works Tax Credit Program, which provided a credit to employers who hire unemployed, at risk youth.
 - **Extend the Limitation on Charitable Contribution Deductions for High Income New York State and New York City Personal Income Taxpayers for Two Years.** The limitation on charitable contribution deductions for New York State and New York City taxpayers with adjusted gross income over \$10 million is extended. The charitable contribution deduction limitation of 25 percent allowed under State Tax Law would have otherwise expired at the end of tax year 2015. The limitation has had no noticeable impact on charitable giving.
 - **Extend Warrantless Wage Garnishment for Two Years.** The authorization for DTF to garnish wages of delinquent taxpayers without filing a warrant with the DOS or County Clerks is extended. The current program, now set to expire on April 1, 2017, has been successful in eliminating the unfunded mandate on counties to receive warrants from DTF. The program has also proven to be taxpayer friendly, since warrants appear on an individual's credit report for seven years, even if the delinquency has been resolved.
- **Resolution of Federal OPWDD Disallowance:** On March 20, 2015, the State and the Centers for Medicare and Medicaid Services (CMS) signed an agreement that resolves a pending disallowance for FY 2011, and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State will adjust the Federal/State share of future Medicaid costs to reimburse the Federal government \$850 million in April 2015, and \$100 million annually for each of the next 11 years beginning in FY 2017. The State will use \$850 million in monetary settlement payments previously set aside for financial risks, to finance the FY 2016 cost of the Federal disallowance settlement.
- **Other Resources Changes:** The estimate for miscellaneous receipts has been revised based on a review of FY 2015 collections and other transactions, including the repeal of 57 fees charged by six different State agencies. In addition, the timing of certain transfers and other transactions at the end of FY 2015 had the effect of making additional resources available for FY 2016. Other resources include the use of \$90 million in General Fund surplus resources from FY 2015.

Negotiated Changes to Executive Budget

During negotiations, the Executive and Legislature agreed to \$625 million in spending additions to the Executive Budget proposal. These include restorations, which are costs from the rejection of certain savings proposals contained in the Executive Budget. The additions were based on target amounts for individual budget conference committees, and as negotiated among the committees. The most significant addition was for School Aid. The Executive and Legislature also reached agreement on the reprogramming of certain spending initiatives proposed in the Executive Budget.

Negotiations on tax law changes resulted in a number of changes from the Executive proposal, including the elimination of the Executive-proposed property tax credit which, if fully phased in, would have provided \$1.7 billion in direct property tax relief. In addition, the Enacted Budget excludes the proposed establishment of an education investment tax credit and a reduction to income taxes for small businesses.

The Enacted Budget includes additional costs in the Financial Plan associated with budget negotiations, as well as other costs that have materialized since the Executive Budget was proposed. Additional costs negotiated as part of the Enacted Budget include legislative rejection of proposed changes to the financing of the State's STAR program and the proposed health exchange user fee. The Enacted Budget includes new costs to address persistently failing schools, which will be required to have a State-approved improvement plan in place with student achievement metrics and goals; additional snow and ice costs; and the settlement agreement between the State and CMS concerning existing and potential CMS audit disallowances for State-operated payment rates for services prior to FY 2014.

Other resources have been identified to finance the restorations and additions, and to provide for a balanced budget in FY 2016. These include reestimates to disbursements and receipts based on operating results for FY 2015, resources made available by the prepayment of expenses, and other management actions.

The table below summarizes all the changes to the Executive Budget, as amended.

| CHANGES TO THE EXECUTIVE BUDGET FINANCIAL PLAN | | | | |
|--|----------------|----------------|----------------|----------------|
| GENERAL FUND | | | | |
| (millions of dollars) | | | | |
| | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| RESTORATIONS/ADDITIONS | (625) | (546) | (524) | (544) |
| School Aid | (250) | (371) | (387) | (407) |
| Other Education Aid | (72) | (47) | (18) | (18) |
| Higher Education | (78) | (64) | (55) | (55) |
| Human Services/Labor | (60) | (18) | (18) | (18) |
| Health (incl. DOH Medicaid) | (51) | (31) | (31) | (31) |
| Housing/Agriculture/Environment | (20) | (2) | (2) | (2) |
| Transportation | (23) | (4) | (4) | (4) |
| General Government/Local Government Assistance | (20) | (4) | (4) | (4) |
| Economic Development | (19) | 0 | 0 | 0 |
| Public Protection/Criminal Justice/Judiciary | (17) | 0 | 0 | 0 |
| Mental Hygiene | (15) | (5) | (5) | (5) |
| NET TAX REVISIONS TO EXECUTIVE PLAN | (33) | 272 | 845 | 1,293 |
| <u>Not Accepted:</u> | | | | |
| Real Property Tax Credit | 0 | 350 | 850 | 1,350 |
| Education Tax Credit | 0 | 0 | 100 | 100 |
| Reduce Income Tax on Small Businesses | 0 | 26 | 29 | 32 |
| Driver's License Suspension Requirements | (9) | (3) | (3) | (3) |
| Limit Sales Tax Avoidance | (5) | (10) | (10) | (10) |
| Reform Sales Tax on Industrial Development Authorities | (4) | (9) | (9) | (9) |
| Professional License Tax Clearance | 0 | (3) | (3) | (3) |
| Reciprocal Tax Agreement With Other States | (1) | (3) | (3) | (3) |
| Reform Investment Tax Credit on Master Tapes | 0 | 0 | (15) | (15) |
| Expand Sales Tax to Marketplace Providers | 0 | (56) | (56) | (56) |
| All Other | (4) | (10) | (10) | (10) |
| <u>Modified/New:</u> | | | | |
| Wage Garnishment Requirements | 0 | 0 | (15) | (15) |
| Limit Personal Income Tax Charitable Itemized Deductions | 0 | 0 | 0 | (55) |
| Other Sales Tax | (10) | (10) | (10) | (10) |
| ADDITIONAL COSTS | (305) | (399) | (387) | (386) |
| No School Tax Relief/Income Tax Credit | (152) | (155) | (156) | (157) |
| No Health Exchange User Fee | (69) | (69) | (56) | (54) |
| Persistently Failing Schools Transformation Grants | (53) | (75) | (75) | (75) |
| Transportation - Snow and Ice Cost | (31) | 0 | 0 | 0 |
| Audit Disallowance - Federal Settlement | n/a | (100) | (100) | (100) |
| AVAILABLE RESOURCES | 963 | 354 | 767 | 241 |
| NET SAVINGS/(COSTS) | 0 | (319) | 701 | 604 |

Monetary Settlements

The Department of Financial Services (DFS), Department of Law, and the Manhattan District Attorney's Office have reached financial settlements with a number of banks for violations of New York banking laws, and with a number of insurance companies for violations of New York insurance laws. The State has received a total of \$7 billion from monetary settlements in FY 2015 and FY 2016 including two settlements, valued at approximately \$1.3 billion, that were not part of budget negotiations. The Enacted Budget for FY 2016 earmarks \$5.4 billion for one-time purposes, including \$4.6 billion for capital projects to support economic development and infrastructure investments in New York's economy and \$850 million for a Federal disallowance settlement. In addition, the General Fund included \$275 million in FY 2015 and \$250 million in FY 2016 for operating purposes.

| SUMMARY OF SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars) | | |
|--|----------------|----------------|
| | FY 2015 | FY 2016 |
| Monetary Settlements Received | 4,942 | 2,030 |
| BNP Paribas | 2,243 | 1,348 |
| Department of Financial Services (DFS) | 2,243 | 0 |
| Asset Forfeiture (DANY) | 0 | 1,348 |
| Credit Suisse AG | 715 | 0 |
| Commerzbank | 610 | 82 |
| Deutsche Bank | 0 | 600 |
| Bank of Tokyo Mitsubishi | 315 | 0 |
| Bank of America | 300 | 0 |
| Standard Chartered Bank | 300 | 0 |
| Bank Leumi | 130 | 0 |
| Ocwen Financial | 100 | 0 |
| Citigroup (State Share) | 92 | 0 |
| MetLife Parties | 50 | 0 |
| American International Group, Inc. | 35 | 0 |
| PricewaterhouseCoopers | 25 | 0 |
| AXA Equitable Life Insurance Company | 20 | 0 |
| Other Settlements | 7 | 0 |
| Enacted Budget Use of Available Settlements | (275) | (6,697) |
| Settlements Budgeted in Financial Plan | (275) | (250) |
| Transfer to Support OASAS Chemical Dependence Program | | (5) |
| Transfer to Dedicated Infrastructure Investment Fund | | (4,550) |
| Audit Disallowance - Federal Settlement | | (850) |
| Undesignated | | (1,042) |

List of Settlements

The following settlements were received, or are expected to be received.

- BNP Paribas, S.A. New York Branch (BNPP) paid the State nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators, including the obstruction of governmental administration, failure to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- Credit Suisse AG paid \$715 million as a civil monetary penalty to the State pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This stemmed from Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully aided thousands of U.S. clients in opening and maintaining undeclared accounts and concealing their offshore assets and income from the Internal Revenue Service and New York authorities.
- Commerzbank AG New York Branch and Commerzbank AG (collectively "Commerzbank") paid a \$610 million civil monetary penalty in accordance with a March 12, 2015 consent order between Commerzbank and the New York State DFS for violations of New York State Banking Law and regulations in connection with transactions on behalf of Iran, Sudan, and a Japanese corporation that engaged in accounting fraud. The State also received an additional \$81.7 million in penalties and forfeiture from Commerzbank AG in accordance with a Deferred Prosecution Agreement entered into by Commerzbank AG with the Manhattan District Attorney's Office and the United States Department of Justice, for moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions by concealing the illegal nature of these transactions and deceiving U.S. banks into processing illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG, New York Branch (collectively "Deutsche Bank") paid a civil monetary penalty to the State in the amount of \$600 million in accordance with an April 23, 2015 consent order between Deutsche Bank and the New York State DFS for violations of New York State Banking Law and regulations in connection with the manipulation of benchmark interest rates, including the London Interbank Offered Rate, the Euro Interbank Offered Rate and Euroyen Tokyo Interbank Offered Rate.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. The consent order pertains to actions taken by BTMU which wrongfully misled DFS in connection with its understanding of BTMU's U.S. dollar clearing services on behalf of sanctioned Sudanese, Iranian, and Burmese parties, the transactions of which were settled through

the New York Branch and other New York financial institutions. BTMU had previously paid to the State a \$250 million civil monetary penalty pursuant to a previous June 19, 2013 consent order between DFS and BTMU related to BTMU unlawfully clearing through the New York Branch and other New York-based financial institutions an estimated 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.

- Bank of America (BofA) paid \$300 million to the State pursuant to an August 18, 2014 settlement agreement to remediate harms related to BofA's violations of State law in connection with the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and Banc of America Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million to the State pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties, by operating with certain ineffective risk management systems for the identification and management of compliance risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations, including BSA/AML risks related to U.S. dollar clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. The consent order pertains to (i) Bank Leumi's knowing and willful operation of a wrongful cross-border banking business to assist U.S. clients in concealing assets offshore and evading U.S. tax obligations, and (ii) Bank Leumi misleading DFS about Bank Leumi's improper activities.
- Ocwen paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. The consent order pertains to numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to pay \$10,000 each to current and former Ocwen-services borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.

- Citigroup Inc. (“Citigroup”) paid \$92 million to the State pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into Citigroup.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife. The consent order related to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in doing an insurance business in New York without a New York license.
- AIG paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertains to misrepresentations and omissions made by a former AIG subsidiary concerning its insurance business activities in New York.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million to the State pursuant to an August 14, 2014 settlement agreement between the DFS and PwC to (i) resolve the DFS’s investigation of PwC’s actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid \$20 million as a civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order related to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.

Uses of Monetary Settlements

The Enacted Budget uses the one-time resources from the monetary settlements to fund one-time purposes, rather than using them for recurring expenditures, which would make it harder to balance future budgets. A large share of the settlement resources is expected to be used to fund new capital investments.

The Enacted Budget established a new capital fund called the Dedicated Infrastructure Investment Fund, to allow settlement money to be set aside for the purposes it is intended to fund. The Enacted Budget will transfer \$4.6 billion of the settlement funds from the General Fund to the DIIF during FY 2016. These resources will reside in the DIIF to fund the following initiatives:

- **Upstate Revitalization Program (\$1.5 billion):** The Updated Financial Plan includes \$1.5 billion in funding for the Upstate Revitalization Initiative, whereby \$500 million grants will be awarded to three upstate regions.
- **Thruway Stabilization Program (\$1.3 billion):** The Updated Financial Plan includes \$1.285 billion to fund expenses related to both the New NY Bridge, which will replace the Tappan Zee Bridge, and the statewide New York State Thruway system.
- **Broadband Initiative (\$500 million):** The Updated Financial Plan establishes a \$500 million New NY Broadband Fund Program to expand the availability and capacity of broadband across the State or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Health Care/Hospitals (\$400 million):** The Plan provides up to \$355 million of grants to essential health care providers that facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities to create a financially sustainable system of care intended to promote a patient-centered model of health care delivery. An essential health care provider is a hospital or hospital system that offers health services in a region deemed to be underserved by the Health Commissioner. Funding may be used to restructure debt obligations or fund capital improvements to facilitate mergers and consolidations of hospitals in rural communities. The Plan provides \$15.5 million to support capital expenses of the Roswell Park Cancer Institute; \$19.5 million to establish a community health care revolving loan; and \$10 million for IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package.
- **Penn Station Access (\$250 million):** The Metropolitan Transportation Authority (MTA) Penn Station Access project, which will open a new Metro-North link directly into Penn Station, is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx.

- **Infrastructure Improvements (\$115 million):** The Updated Financial Plan provides \$115 million for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other infrastructure improvements or economic development projects.
- **Transformative Economic Development Projects (\$150 million):** The Budget includes \$150 million to support transformative economic development and infrastructure projects. The State's investment will catalyze private investment, spurring significant economic development and job creation to help strengthen local communities and their economies.
- **Resiliency, Mitigation, Security, and Emergency Response (\$150 million):** The Updated Financial Plan provides \$150 million in settlement funds to support preparedness and response efforts related to severe weather events. These funds will also support efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters.
- **Municipal Restructuring (\$150 million):** The Updated Financial Plan provides \$150 million to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and property tax burdens.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million):** The Updated Financial Plan includes \$50 million to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.

The DIIF legislation permits the use of available funds in case of economic downturn, and/or to offset declines from anticipated levels of in excess of \$100 million in Federal Medicare or Medicaid revenues. While the legislation provides the State with potential additional reserves for these purposes, the Updated Financial Plan does not assume these resources would be used for these purposes.

Other Uses

- **Resolution of Federal OPWDD Disallowance:** The Enacted Budget sets aside \$850 million in monetary settlement funds to be used to finance a recently agreed to settlement between the State and Federal government to resolve Federal disallowances.
- **OASAS:** The Budget assumes that \$5 million of settlement funds will be used to expand services provided by OASAS to individuals with dependencies on alcohol or drugs, or who have gambling problems.²

² Under State forfeiture laws, a portion of the settlement with BNP Paribas is expected to be deposited initially in the State special revenue account for chemical dependence. The Enacted Budget retains \$5 million in the account for OASAS purposes, and transfers the remaining portion of this deposit to the General Fund.

Other Matters Affecting the Financial Plan

General

The State's Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecasted in the Financial Plan. In addition, the surplus projections in future years are based on the assumption that annual growth in State Operating Funds spending is limited to 2 percent, and that all savings resulting from the 2 percent limit will be made available to the General Fund.

New York State routinely executes cash management actions to manage its large and complex budget. These actions are intended to improve the State's cash flow, minimize opportunity costs, manage resources across fiscal years, and better position the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above Plan are reflected in the year they occur and within the limit of the State's 2 percent spending benchmark.

The Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impacts of: national and international events; ongoing financial instability in the Eurozone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt reduction on consumer spending and State tax collections; and the outcome of litigation and other claims affecting the State.

Among other factors, the Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Financial Plan projections for the outyears assume that School Aid and Medicaid disbursements will be limited to the annual growth in NYS personal income and the ten-year average growth in the Medicaid component of the consumer price index (CPI), respectively. However, the FY 2015 Enacted Budget and FY 2016 Enacted Budget authorized spending for School Aid to increase above the growth in personal income that would otherwise be used to calculate the school year increases.

State law grants the Governor certain powers to achieve the Medicaid savings assumed in the Financial Plan. However, there can be no assurance that these powers will be sufficient to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated in the Financial Plan. In addition, savings are dependent upon timely Federal approvals, revenue performance in the State's HCRA fund (which finances approximately one-third of the DOH State-share costs of Medicaid), and the participation of health care industry stakeholders.

The forecast contains specific transaction risks and other uncertainties including, but not limited to: the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan, including revenues associated with gaming activity in the State; and the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year or future years.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to address response to, and recovery from, severe weather events and other disasters. Pressure on the Federal government to make reductions is elevated so long as the budgetary caps resulting from the Budget Control Act of 2011 and subsequent legislation remain in place. Any reduction in Federal funding levels could have a materially adverse impact on the Financial Plan. In addition, the Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. Issues of particular concern are described below.

MRT Medicaid Waiver

The Federal CMS and the State have reached an agreement in principle authorizing up to \$8 billion in new Federal funding, over several years, to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Federal Reimbursement for State Developmental Disability Services

As part of an approved plan between New York State and the Federal CMS, the State received a \$250 million Designated State Health Program (DSHP) payment as part of a multi-year effort to transform OPWDD services and provide more individuals with developmental disabilities the opportunity to be served in community-integrated settings. The \$250 million payment was received in FY 2015.

The Financial Plan assumes the State will reach agreement with CMS on a second phase of OPWDD transformation, which would accomplish a transition to holistic care management and value-based payments across all developmental disability systems. The transition to an integrated model of developmental disabilities-related care, behavioral health care, and medical care is expected to require significant changes to operations, structure, and organizational culture. The Financial Plan reflects the continuation of the \$250 million DSHP payment for each of the next two fiscal years to help effectuate this transformation. Such Federal funding has not yet been approved by CMS.

Debt Ceiling

In October 2013, an impasse in Congress caused a temporary Federal government shutdown and raised concern for a time that the Federal debt ceiling would not be raised in a timely manner. In March 2015, a temporary suspension of the Federal debt limit expired, causing the debt limit to be reached. The Treasury Department has avoided default since then by operating under “extraordinary measures,” which again raises concern that the Federal debt ceiling may not be raised in a timely manner.

A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and State economies, financial markets, and intergovernmental aid payments. The specific effects on the Financial Plan of a Federal government payment default in the future are unknown and impossible to predict. However, data from past economic downturns suggest that the State’s revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Current Labor Negotiations (Current Contract Period)

The State has settled collective bargaining agreements with 97 percent of the State workforce subject to direct Executive control for the contract period that commenced in FY 2012. A seven-year agreement through FY 2018 was recently achieved with the Commissioned and Noncommissioned Officers unit in the Division of State Police. Five-year agreements through FY 2016 were reached with CSEA, the United University Professions (UUP), the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), Council 82, DC-37 (Housing), and the Graduate Student Employees Union. Four-year agreements through FY 2015 were reached with the Public Employees Federation (PEF) and the Police Benevolent Association of New York State (PBANYS).

All of the agreements included wage and benefit concessions in exchange for contingent employee job protection. As such, the agreements have provided: two-year Deficit Reduction Plan (DRP) savings of \$300 million; no general salary increases for the three-year period FY 2012 through FY 2014; a 2 percent general salary increase in FY 2015; and a 2 percent general salary increase in FY 2016 for the employees with five-year agreements. Commissioned and Noncommissioned Officers in the Division of State Police will receive general salary increases of 1.5 percent in FY 2017 and FY 2018, respectively. Additionally, the agreements provided full-annual health benefit savings of \$230 million resulting from increases to employee/retiree premium shares, copays, out-of-network deductibles and coinsurance.



Other Matters Affecting the Financial Plan

Other compensation has also been provided. Two lump sum payments — \$775 per employee in FY 2014 and \$225 per employee in FY 2015 — were paid to employees represented by CSEA, PBANYS, NYSCOPBA and Council 82. Additionally, UUP employees may receive lump sum payments of similar value in the form of Chancellor's Power of SUNY Awards and Presidential Discretionary Awards. However, employees represented by PEF and DC-37 (Housing) will not receive lump sum payments. Instead, they will be repaid for all DRP reductions over an extended period at the end of the contract term, whereas the others will be repaid for a portion of their reductions. Commissioned and Noncommissioned Officers in the Division of State Police will receive \$1,250 increases to Hazardous Duty and Expanded Duty payments, respectively.

The unions representing State Police Troopers and Investigators have no contracts in place for the period April 2011 forward.

Current Cash Flow Projections

The State authorizes the General Fund to borrow resources temporarily from available funds in the Short-Term Investment Pool (STIP) for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity to make all planned payments as they become due through FY 2016, as reflected in the following table that includes month-end cash balance projections. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

| ALL FUNDS MONTH-END CASH BALANCES | | | |
|--|---------------------|--------------------|------------------|
| FY 2016 | | | |
| (millions of dollars) | | | |
| | General Fund | Other Funds | All Funds |
| April | 10,377 | 2,234 | 12,611 |
| May | 8,547 | 3,778 | 12,325 |
| June | 9,180 | 3,563 | 12,743 |
| July | 7,462 | 4,582 | 12,044 |
| August | 7,215 | 4,512 | 11,727 |
| September | 9,407 | 2,615 | 12,022 |
| October | 7,811 | 3,192 | 11,003 |
| November | 5,115 | 3,252 | 8,367 |
| December | 7,254 | 2,232 | 9,486 |
| January | 8,501 | 4,196 | 12,697 |
| February | 8,486 | 4,191 | 12,677 |
| March | 3,495 | 5,684 | 9,179 |

Pension Amortization

Background

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs beginning in FY 2011. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

In FY 2015, the State made a total pension payment to the New York State and Local Retirement System (NYSLRS) of \$1.7 billion and amortized \$620 million (the maximum amount legally allowable). The total payment included an additional \$18.8 million to pay off the 2006 amortization cost. In addition, the State's Office of Court Administration (OCA) made a total pension payment of \$280 million and amortized \$94 million (the maximum amount legally allowable). This included an additional \$21 million to pay off the 2011 pension amortization liability. The total deferred amount — \$713 million — will be repaid with interest over the next ten years, beginning in FY 2016.

The State is required to begin repayment on each new amortization in the fiscal year immediately following the year in which the deferral was made. The full amount of each amortization, with interest, must be repaid within ten years, but the amount can be paid off sooner. The annual interest rate on each new amortization is determined by OSC, and is fixed for the entire term of the deferral.

For amounts amortized in FY 2011 through FY 2015, the State Comptroller set interest rates of 5 percent, 3.75 percent, 3 percent, 3.67 percent, and 3.15 percent, respectively. The Financial Plan assumes that both the State and OCA will also elect to amortize pension costs in FY 2016 and beyond, consistent with the provisions of the authorizing legislation, and repay such amounts at an interest cost assumed by DOB in the Financial Plan to be 3.15 percent per annum over ten years from the date of each deferred payment.

Rate Comparison -- Average Normal Rate & Amortization Rate

The 2010 legislation enacted a formula to set an amortization threshold for each year. The amortization rate (the "graded rate") may increase or decrease in the direction of the actuarial contribution rate (the "normal rate") by up to one percentage point annually. Pension contribution costs in excess of the graded rate may be amortized. Amortization is permitted in all years if the normal rate is greater than the graded rate. However, when the graded rate equals or exceeds the normal rate, amortization is not allowed.

Other Matters Affecting the Financial Plan



The normal contribution rates and the amortization rates are displayed in the following table:

| ERS AND PFRS PENSION CONTRIBUTION RATES - ANNUAL PERCENTAGE CHANGE | | | | |
|--|--------------------------------------|-----------------------|---------------------------------------|------------------------|
| Fiscal Year (FY) | ERS Average Normal Rate ¹ | ERS Amortization Rate | PFRS Average Normal Rate ¹ | PFRS Amortization Rate |
| FY 2011 | 11.5 | 9.5 | 18.1 | 17.5 |
| FY 2012 | 15.9 | 10.5 | 21.6 | 18.5 |
| FY 2013 | 18.5 | 11.5 | 25.7 | 19.5 |
| FY 2014 | 20.5 | 12.5 | 28.9 | 20.5 |
| FY 2015 | 19.7 | 13.5 | 27.5 | 21.5 |
| FY 2016 | 17.7 | 14.5 | 24.7 | 22.5 |

¹Group Life Insurance Plan excluded from the Average Normal Rates.

Outyear Projections

All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially. Additionally, the next five-year experience study performed by the Retirement Systems' Actuary is expected in 2015 and could change these projections materially.

The 2016 pension contribution rates, including Group Life Insurance Plan (GLIP) released by OSC on September 2, 2014 reflect an annual decline from 20.1 percent to 18.2 percent for ERS, and from 27.6 percent to 24.7 percent for PFRS. However, the rates were higher than anticipated by DOB (14.2 percent for ERS and 20.8 percent for PFRS), which had based its projections on the prior year actuarial assumptions of the Retirement Systems' Actuary. The higher-than-anticipated FY 2016 contribution rates are primarily attributable to the use of the Mortality Improvement Scale (MP-2014) actuarial assumptions, which, compared to prior year actuarial assumptions, reflect longer life expectancies for pensioners and beneficiaries and result in increased pension plan liabilities.

The Financial Plan incorporates MP-2014 actuarial assumptions and assumes amortization in FY 2016 and the outyears, as depicted in the following table.

| STATE PENSION COSTS AND AMORTIZATION SAVINGS (millions of dollars) | | | | | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | FY 2011 | FY 2012 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| AMORTIZATION THRESHOLDS (Graded Rate) | | | | | | | | | |
| ERS (%) | 9.5 | 10.5 | 11.5 | 12.5 | 13.5 | 14.5 | 15.5 | 16.5 | 17.5 |
| PFRS (%) | 17.5 | 18.5 | 19.5 | 20.5 | 21.5 | 22.5 | 23.5 | 24.5 | 25.5 |
| STATEWIDE PENSION (NET COST) | 1,470 | 1,697 | 1,601 | 2,086 | 2,130 | 2,219 | 2,467 | 2,660 | 2,890 |
| Gross Pension Costs | 1,633 | 2,141 | 2,192 | 2,744 | 2,429 | 2,226 | 2,334 | 2,404 | 2,519 |
| (Amortization Savings) / Excess Contributions | (250) | (563) | (779) | (937) | (713) | (395) | (299) | (212) | (122) |
| Repayment of Amortization (incl. FY 2005 and FY 2006) | 87 | 119 | 188 | 279 | 414 | 388 | 432 | 468 | 493 |

The next table reflects projected pension contributions and amortizations for the Executive Branch and Judiciary employees participating in the New York State ERS and PFRS through 2029. The “Normal Costs” column shows the amount of the State’s pension contribution prior to amortization. The “(Amortized) / Excess Contributions” column shows new amounts deferred offset, in some cases by payments made ahead of schedule. The “Amortization Payments” column provides the aggregate cost of amortization in a given fiscal year (principal and interest on all prior deferrals). The “Total” column provides the State’s pension contribution, net of amortization.

| EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM¹ | | | | |
|---|---------------------------------|---|------------------------------|--------------|
| PENSION CONTRIBUTIONS AND OUTYEAR PROJECTIONS | | | | |
| (millions of dollars) | | | | |
| Fiscal Year | Normal Costs² | (Amortized)/Excess Contributions | Amortization Payments | Total |
| Results: | | | | |
| 2011 | 1,552.8 | (249.6) | 0.0 | 1,303.2 |
| 2012 | 2,041.7 | (562.9) | 32.3 | 1,511.1 |
| 2013 | 2,085.3 | (778.5) | 100.9 | 1,407.7 |
| 2014 | 2,633.7 | (937.0) | 192.1 | 1,888.8 |
| 2015 | 2,317.0 | (713.1) | 326.6 | 1,930.5 |
| Projections: | | | | |
| 2016 | 2,011.4 | (395.1) | 385.9 | 2,002.2 |
| 2017 | 2,112.5 | (299.0) | 432.3 | 2,245.8 |
| 2018 | 2,184.0 | (212.4) | 467.6 | 2,439.2 |
| 2019 | 2,298.3 | (122.1) | 492.7 | 2,668.9 |
| 2020 | 2,390.9 | (33.0) | 511.5 | 2,869.4 |
| 2021 | 2,462.3 | 0.0 | 515.4 | 2,977.7 |
| 2022 | 2,349.1 | 61.1 | 483.1 | 2,893.3 |
| 2023 | 2,349.2 | 0.0 | 351.6 | 2,700.8 |
| 2024 | 2,350.7 | 0.0 | 323.3 | 2,674.0 |
| 2025 | 2,350.4 | 0.0 | 209.6 | 2,560.0 |
| 2026 | 2,348.0 | 0.0 | 125.4 | 2,473.4 |
| 2027 | 2,343.3 | 0.0 | 78.7 | 2,422.0 |
| 2028 | 2,335.9 | 0.0 | 43.2 | 2,379.1 |
| 2029 | 2,329.7 | 0.0 | 18.2 | 2,347.9 |

¹ Pension contribution values in this table do not include pension costs related to the Optional Retirement Program and Teachers’ Retirement System for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which will end in FY 2016 as a result of early repayments.

Consistent with the aforementioned amortization assumptions, Part TT of Chapter 57 of the Laws of 2010 requires that: (a) the State make additional contributions in upcoming fiscal years, above the actuarially required contribution (starting in FY 2022) and (b) once all outstanding amortizations are paid off, additional contributions be set aside as reserves for rate increases, to be invested by the State Comptroller and used to offset future rate increases.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State and are enrolled in NYSHIP, or are enrolled in the NYSHIP opt-out program at the time they reach retirement and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2014, the State's Annual Required Contribution (ARC) represents the projected annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2014, the projected unfunded actuarial accrued liability for FY 2014 is \$68.2 billion (\$54.3 billion for the State and \$13.9 billion for SUNY), an increase of \$1.7 billion from FY 2013 (attributable entirely to SUNY). The unfunded actuarial accrued liability for FY 2014 used an actuarial valuation of OPEB liabilities as of April 1, 2012. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The actuarially determined annual OPEB cost for FY 2014 totaled \$3 billion (\$2.3 billion for the State and \$0.7 billion for SUNY), a decline of \$390 million from FY 2013 (\$322 million for the State and \$68 million for SUNY). The actuarially determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$1.5 billion (\$1 billion for the State and \$0.5 billion for SUNY) greater than the cash payments for retiree costs made by the State in FY 2014. This difference between the State's PAYGO costs, and the actuarially determined required annual contribution under GASB Statement 45, reduced the State's net asset condition at the end of FY 2014 by \$1.5 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no funding is assumed for this purpose in the Financial Plan. The State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Financial Plan to fund the actuarially determined required annual contribution for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of the Governor's Office of Employee Relations (GOER), Civil Service and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices.

Beginning with FY 2016, the State expects to incorporate MP-2014 actuarial mortality assumptions, which reflect longer life expectancies for beneficiaries, resulting in increases to actuarial accrued liabilities and the present value of projected benefits.

The State is currently examining GASB-proposed changes to GASB Statement 45 requirements. The proposed changes will alter the actuarial methods used to calculate OPEB liabilities, standardize asset smoothing and discount rates, and require the funded status of the OPEB liabilities to be reported by the State. As proposed, the GASB changes would be implemented in the State's FY 2018 financial statements.

Monetary Settlements

The State periodically receives proceeds from monetary settlements that are deposited primarily to the General Fund. The Financial Plan assumes monetary settlements in the upcoming fiscal years (\$100 million each for FY 2017 and FY 2018). There can be no assurance that settlement proceeds in upcoming fiscal years will be received by the State at the levels assumed in the Financial Plan.

Litigation

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Financial Plan.

Update on Storm Recovery

In recent years, New York State has sustained damage from three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties. In September 2011, Tropical Storm Lee caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response are being processed, and both recovery and future mitigation efforts have begun, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding and mitigation activity nationwide. It is anticipated that New York State, MTA, and New York State localities may receive approximately one-half of this amount over the coming years for response, recovery, and mitigation costs. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities, or that such Federal disaster aid will be provided on the expected schedule.

Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events, including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed for adapting existing infrastructure to climate change risks.

Financial Condition of New York State Localities

The financial demands on State aid may be affected by the fiscal conditions of New York City and potentially other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews, and providing grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Board, please visit www.frb.ny.gov.

Bond Market

Implementation of the Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will, among other things, be subject to prevailing market conditions. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments, generally may affect the market for outstanding State-supported and State-related debt.

Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt to capital purposes only, and for maximum terms of 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001, and was fully phased in at 4 percent of personal income during FY 2011. The cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001, and was fully phased in at 5 percent during FY 2014. It was determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2014).

Current projections anticipate that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$4.1 billion in FY 2015 to \$498 million in FY 2019. This includes the estimated impact of the bond-financed portion of the Enacted Budget’s increased capital commitment levels. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue credit are not included in the State’s calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

| DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars) | | | | | | | | TOTAL STATE-SUPPORTED DEBT (millions of dollars) | |
|--|-----------------|-------|--------|---------------------|--------------|-----------|-------------|---|-----------------------|
| Year | Personal Income | Cap % | Cap \$ | Debt Outstanding | \$ Remaining | Debt as a | % Remaining | Debt Outstanding | Total State-Supported |
| | | | | Since April 1, 2000 | Capacity | % of PI | Capacity | Prior to April 1, 2000 | Debt Outstanding |
| FY 2015 | 1,110,345 | 4.00% | 44,414 | 40,364 | 4,050 | 3.64% | 0.36% | 11,502 | 51,867 |
| FY 2016 | 1,156,105 | 4.00% | 46,244 | 43,369 | 2,875 | 3.75% | 0.25% | 10,075 | 53,444 |
| FY 2017 | 1,214,876 | 4.00% | 48,595 | 47,052 | 1,543 | 3.87% | 0.13% | 8,705 | 55,757 |
| FY 2018 | 1,278,706 | 4.00% | 51,148 | 50,292 | 856 | 3.93% | 0.07% | 7,288 | 57,581 |
| FY 2019 | 1,345,074 | 4.00% | 53,803 | 53,305 | 498 | 3.96% | 0.04% | 6,139 | 59,444 |
| FY 2020 | 1,413,141 | 4.00% | 56,526 | 56,001 | 525 | 3.96% | 0.04% | 5,004 | 61,005 |

| DEBT SERVICE SUBJECT TO CAP (millions of dollars) | | | | | | | | TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars) | |
|--|--------------------|-------|--------|---------------------|--------------|--------------|-------------|---|-----------------------|
| Year | All Funds Receipts | Cap % | Cap \$ | Debt Service | \$ Remaining | DS as a | % Remaining | Debt Service | Total State-Supported |
| | | | | Since April 1, 2000 | Capacity | % of Revenue | Capacity | Prior to April 1, 2000 | Debt Service |
| FY 2015 | 149,109 | 5.00% | 7,455 | 3,994 | 3,461 | 2.68% | 2.32% | 2,164 | 6,159 |
| FY 2016 | 151,367 | 5.00% | 7,568 | 4,141 | 3,427 | 2.74% | 2.26% | 956 | 5,097 |
| FY 2017 | 153,728 | 5.00% | 7,686 | 4,515 | 3,171 | 2.94% | 2.06% | 1,667 | 6,182 |
| FY 2018 | 157,545 | 5.00% | 7,877 | 4,967 | 2,910 | 3.15% | 1.85% | 1,718 | 6,685 |
| FY 2019 | 160,109 | 5.00% | 8,005 | 5,416 | 2,589 | 3.38% | 1.62% | 1,573 | 6,989 |
| FY 2020 | 164,793 | 5.00% | 8,240 | 5,852 | 2,387 | 3.55% | 1.45% | 1,449 | 7,301 |

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for the cost of upgrading their primary health care facilities. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, which include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2015, there were approximately \$304 million of bonds outstanding for this program.

The financial condition of hospitals in the State's Secured Hospital Program continues to deteriorate. Of the four remaining hospitals in the program, one is experiencing significant operating losses that have impaired its ability to remain current on its loan agreement with DASNY. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014 when \$12 million was paid and again in FY 2015 when \$24 million was paid. DASNY also estimates the State will pay debt service costs of approximately \$25 million in both FY 2016 and FY 2017, and approximately \$14 million annually in FY 2018 through FY 2020. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for one hospital that currently is not meeting the terms of its loan agreement with DASNY, a second hospital whose debt service obligation was recently discharged in bankruptcy, and a third hospital that is now closed. The State has estimated additional exposure of up to \$24 million annually, if all hospitals in the program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

SUNY Downstate Hospital and LICH

In May 2011, the New York State Supreme Court issued an order (the "May 2011 Order") that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation ("Holdings"), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn ("Downstate Hospital"). In 2012, DASNY issued tax exempt State PIT Revenue Bonds ("PIT Bonds"), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

To address the deteriorating financial condition of Downstate Hospital, which has been caused in part by the deteriorating financial position of LICH, legislation adopted with the FY 2014 Enacted Budget required the Chancellor of SUNY to submit to the Governor and the Legislature a multi-year sustainability plan for the Downstate Hospital. Specifically, the legislation required the sustainability plan to: a) set forth recommendations necessary to achieve financial stability for Downstate Hospital, and b) preserve the academic mission of Downstate Hospital's medical school. In accordance with this legislation, the Chancellor of SUNY submitted the sustainability plan for Downstate Hospital on May 31, 2013, and supplemented the plan with changes in a letter dated June 13, 2013. The supplemented plan was approved by both the Commissioner of Health

and the Director of the Budget on June 13, 2013. Generally, the approved sustainability plan anticipates: (a) a significant restructuring of health care service lines at Downstate Hospital in order to achieve financial milestones assumed in the sustainability plan, and supported by State financial assistance from DOH; and (b) monetizing the LICH asset value to support the costs associated with Downstate Hospital exiting LICH operations. Consistent with the sustainability plan, as supplemented, SUNY, together with Holdings, issued a request for proposals (RFP) to provide healthcare services in or around the LICH facilities and to purchase the LICH real estate.

In 2013, State Supreme Court Judge David Demarest, who issued the May 2011 Order, issued, sua sponte, certain additional orders that could have affected the validity of the May 2011 Order. Also, in 2013, State Supreme Court Judge Johnny Baynes issued a series of orders that, effectively, precluded SUNY from exiting LICH operations. On February 25, 2014, Judges Demarest and Baynes approved a settlement whereby all parties agreed to discharge their claims, and the judges vacated their orders. Pursuant to the settlement, SUNY, together with Holdings, issued a new RFP seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property. The structure of the settlement also increased the likelihood that sufficient proceeds from the transaction would be available to support defeasance of the PIT Bonds by setting a minimum purchase price.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with the FPG Cobble Hill Acquisitions, LLC, an affiliate of Fortis Property Group, LLC (also party to the agreement) which proposes to purchase the LICH property, and with NYU Hospitals Center who will provide both interim and long-term health care services. The agreement has been approved by the Office of Attorney General and the State Comptroller. The sale of all or substantially all, of the assets of Holdings is subject to additional approvals. There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



Multi-Year Financial Plan Projections

Introduction

This section presents the State's updated multi-year Financial Plan for FY 2015 through FY 2019, with an emphasis on the FY 2016 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicates the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects overall estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 40 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, School Aid, higher education, transportation and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Enacted Budget Financial Plan. Accordingly, in terms of outyear projections, the first outyear of the FY 2016 Budget, FY 2017, is the most relevant from a planning perspective.

Summary

The Enacted Budget Financial Plan reflects a 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence with a 2 percent spending benchmark. In addition, the State ended FY 2015 with a sizeable General Fund cash-basis surplus due to a series of unbudgeted one-time monetary settlements reached between regulators and financial institutions.

The surplus projections for FY 2017 and thereafter set forth in the Enacted Budget Financial Plan reflect the savings that DOB estimates would occur if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The estimated savings are labeled in the Financial Plan tables as “Adherence to 2 percent Spending Benchmark.” Total disbursements in Financial Plan tables and discussion do not assume these savings. If the State does not adhere to the 2 percent State Operating Funds spending benchmark in FY 2017, FY 2018, and FY 2019, budget gaps may result in these years.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

General Fund Projections

| GENERAL FUND PROJECTIONS | | | | | |
|--|----------------|----------------|------------------|------------------|------------------|
| (millions of dollars) | | | | | |
| | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| | Results | Enacted | Projected | Projected | Projected |
| RECEIPTS | | | | | |
| Taxes (After Debt Service) | 58,644 | 62,622 | 65,394 | 68,671 | 70,229 |
| Miscellaneous Receipts/Federal Grants | 8,412 | 4,365 | 2,591 | 2,353 | 2,212 |
| Other Transfers | 865 | 1,298 | 740 | 739 | 724 |
| Total Receipts | 67,921 | 68,285 | 68,725 | 71,763 | 73,165 |
| DISBURSEMENTS | | | | | |
| Local Assistance Grants | 41,592 | 44,356 | 46,783 | 49,160 | 51,653 |
| School Aid | 18,415 | 20,072 | 21,414 | 22,379 | 23,664 |
| Medicaid/BHP | 11,676 | 12,229 | 12,893 | 13,621 | 14,362 |
| All Other | 11,501 | 12,055 | 12,476 | 13,160 | 13,627 |
| State Operations | 7,664 | 8,263 | 8,311 | 8,564 | 8,406 |
| Personal Service | 5,806 | 6,079 | 6,049 | 6,076 | 6,104 |
| Non-Personal Service | 1,858 | 2,184 | 2,262 | 2,488 | 2,302 |
| General State Charges | 4,999 | 5,195 | 5,710 | 6,032 | 6,349 |
| Transfers to Other Funds | 8,601 | 14,276 | 9,945 | 10,644 | 10,962 |
| Debt Service | 1,297 | 886 | 1,242 | 1,422 | 1,210 |
| Capital Projects | 1,264 | 5,947 | 1,844 | 2,072 | 2,295 |
| State Share of Mental Hygiene Medicaid | 1,419 | 2,162 | 1,439 | 1,313 | 1,255 |
| SUNY Operations | 980 | 998 | 978 | 969 | 969 |
| All Other | 3,641 | 4,283 | 4,442 | 4,868 | 5,233 |
| Total Disbursements | 62,856 | 72,090 | 70,749 | 74,400 | 77,370 |
| Adherence to 2% Spending Benchmark ¹ | n/a | n/a | 2,333 | 4,349 | 5,821 |
| Use (Reservation) of Fund Balance: | (5,065) | 3,805 | (30) | (10) | (10) |
| Tax Stabilization Reserve Fund | (127) | 0 | 0 | 0 | 0 |
| Rainy Day Reserve Fund | (190) | 0 | 0 | 0 | 0 |
| Community Projects Fund | 13 | 0 | 0 | 0 | 0 |
| Prior-Term Labor Agreements | 38 | (10) | (30) | (10) | (10) |
| J.P. Morgan Settlement Proceeds | 58 | 0 | 0 | 0 | 0 |
| Undesignated Fund Balance | (190) | 190 | 0 | 0 | 0 |
| Monetary Settlements ² | (4,667) | 3,625 | 0 | 0 | 0 |
| BUDGET SURPLUS/(GAP) PROJECTIONS | 0 | 0 | 279 | 1,702 | 1,606 |

¹ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent annually. Assumes all savings from holding spending growth are made available to the General Fund.

² FY 2016 reflects the transfer of \$4.55 billion from the General Fund to the Dedicated Infrastructure Investment Fund.

State Operating Funds Projections

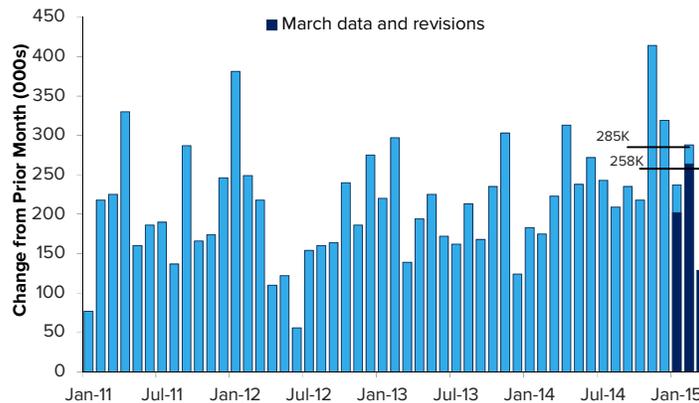
| STATE OPERATING FUNDS PROJECTIONS (millions of dollars) | | | | | |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
| RECEIPTS | | | | | |
| Taxes | 69,661 | 73,213 | 76,757 | 80,457 | 82,690 |
| Miscellaneous Receipts/Federal Grants | 25,376 | 20,088 | 18,716 | 18,694 | 18,667 |
| Total Receipts | 95,037 | 93,301 | 95,473 | 99,151 | 101,357 |
| DISBURSEMENTS | | | | | |
| Local Assistance Grants | 61,052 | 63,305 | 65,759 | 68,503 | 71,212 |
| School Aid | 21,630 | 23,378 | 24,591 | 25,686 | 26,966 |
| STAR | 3,297 | 3,382 | 3,468 | 3,510 | 3,552 |
| Special/Other Education | 2,081 | 2,200 | 2,292 | 2,422 | 2,564 |
| Higher Education | 3,092 | 2,991 | 3,037 | 3,097 | 3,157 |
| Medicaid (DOH) | 16,790 | 17,414 | 18,161 | 18,936 | 19,675 |
| Public Health/Aging | 1,841 | 1,792 | 1,753 | 1,787 | 1,816 |
| Mental Hygiene | 2,923 | 2,718 | 2,860 | 3,305 | 3,497 |
| Social Services | 2,837 | 2,976 | 3,006 | 3,065 | 3,095 |
| Transportation | 4,834 | 4,862 | 4,916 | 4,987 | 5,051 |
| Local Government Assistance | 765 | 784 | 790 | 794 | 794 |
| All Other | 962 | 808 | 885 | 914 | 1,045 |
| State Operations | 18,157 | 18,488 | 18,604 | 18,916 | 18,910 |
| Personal Service | 12,550 | 12,868 | 12,834 | 12,897 | 12,999 |
| Non-Personal Service | 5,607 | 5,620 | 5,770 | 6,019 | 5,911 |
| General State Charges | 7,033 | 7,334 | 7,894 | 8,286 | 8,711 |
| Pension Contribution | 2,130 | 2,219 | 2,467 | 2,660 | 2,890 |
| Health Insurance (Active Employees) | 2,091 | 2,174 | 2,318 | 2,444 | 2,582 |
| Health Insurance (Retired Employees) | 1,228 | 1,277 | 1,362 | 1,436 | 1,516 |
| All Other | 1,584 | 1,664 | 1,747 | 1,746 | 1,723 |
| Debt Service | 6,183 | 5,122 | 6,208 | 6,699 | 7,004 |
| Capital Projects | 1 | 1 | 3 | 3 | 3 |
| Total Disbursements | 92,426 | 94,250 | 98,468 | 102,407 | 105,840 |
| Net Other Financing Sources/(Uses) | 2,028 | (3,159) | 892 | 630 | 344 |
| Adherence to 2% Spending Benchmark ¹ | n/a | n/a | 2,333 | 4,349 | 5,821 |
| RECONCILIATION TO GENERAL FUND GAP | | | | | |
| Designated Fund Balances: | (4,639) | 4,108 | 49 | (21) | (76) |
| General Fund | (5,065) | 3,805 | (30) | (10) | (10) |
| Special Revenue Funds | 479 | 386 | 154 | 81 | 7 |
| Debt Service Funds | (53) | (83) | (75) | (92) | (73) |
| GENERAL FUND BUDGET SURPLUS/(GAP) | 0 | 0 | 279 | 1,702 | 1,606 |

¹ Savings estimated from limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent. Assumes all savings from spending growth limit are made available to the General Fund.

The U.S. Economy

National economic growth slowed to 0.2 percent in the first calendar year quarter of 2015, only 0.1 percent above the U.S. Bureau of Economic Analysis’s (BEA) initial estimate for 2014Q1. The latter quarter was ultimately revised down to a contraction of 2.1 percent. Once again, adverse weather restrained economic activity. In addition, labor disputes at West Coast ports, a strengthening dollar, and the impact of low oil prices on the nation’s domestic energy industry combined with a harsh winter to reduce the nation’s rate of expansion. Although the port strike is over, its effects are expected to unwind slowly, while the stronger dollar and low energy prices are expected to linger. Thus, only a modest rebound is projected for the quarter now in progress, resulting in average annualized quarterly growth of 1.9 percent over the first half of this year. But this is still higher than the 1.2 percent growth seen in the first half of 2014. Growth is projected to accelerate to almost 3 percent in the second half of the year. As a result, DOB has revised down its FY 2016 Executive Budget, estimate of real U.S. GDP growth for 2015 to 2.6 percent, albeit still pointing toward an improving national economy after growth of 2.4 percent in 2014.

U.S. Private Sector Job Gains Falter in Q1



Source: Moody's Analytics.

All of the factors listed above appear to be negatively affecting the national labor market, which until March appeared to have had sustained momentum. The private sector added only 129,000 jobs in March, while January and February were revised down by a combined 59,000. The most recent data caused the six-month moving average of private sector job gains to drop to 258,000 from the 285,000 observed in February. Consequently, DOB now projects weaker employment growth of 2.2 percent for 2015, as well as an unemployment rate that is marginally higher at 5.5 percent. Consistent with a much weaker first quarter and a moderately weaker labor market going forward, real household spending growth has been revised down to 3.1 percent. However, DOB still expects low energy prices to support improved household spending after adjusting for inflation, over the remainder of the year.

Improved household spending going forward should give private businesses added confidence to invest and hire but that boost will continue to be, partly, offset by the negative impact of falling oil prices on oil producers. That negative impact can be seen in the 50 percent decline in the U.S. oil rig count since December 2014. Although the overall impact on business investment is still expected to be positive over the longer term, the short-term impact is proving to be negative, with private business investment in structures falling 23.1 percent in the first quarter. West Coast port disruptions are likely to have hit business investment as well, with some supply-chain managers waiting three to six months for cargo to be unloaded, and others rerouting cargo to other ports in spite of higher costs. Both of these options likely resulted in delayed production and sales. Although the port strike was resolved in February, it could take until the second half of the year for the congestion to dissipate.

Another factor augmenting the negative pressures on business sales and investment is weaker-than-anticipated global demand. Since the recent sharp real appreciation of the dollar, export growth has weakened further. Adjusting for price changes, exports have fallen in five of the six months ending in February, and preliminary data indicate that the decline likely continued through March. Separate from the port strike and its effects on international trade, the global outlook remains uncertain as we await the impact of actions recently taken to stimulate the European and large East Asian economies. As a result, real U.S. export growth has been revised down to 2.3 percent for 2015, following 3.2 percent growth for 2014, while real growth in nonresidential fixed investment has been revised down to 4.3 percent for 2015, following 6.3 percent growth for 2014.

| U.S. ECONOMIC INDICATORS | | | |
|--|-----------------|-------------------|-------------------|
| <i>(Percent change from prior calendar year)</i> | | | |
| | 2014 | 2015 | 2016 |
| | (Actual) | (Forecast) | (Forecast) |
| Real U.S. Gross Domestic Product | 2.4 | 2.6 | 2.9 |
| Consumer Price Index (CPI) | 1.6 | (0.0) | 2.1 |
| Personal Income | 4.0 | 4.4 | 5.3 |
| Nonagricultural Employment | 1.9 | 2.2 | 2.0 |

Source: Moody's Analytics; DOB staff estimates.

The housing market rebounded somewhat after being hard hit by extreme winter weather last year. Housing starts exhibited monthly average growth of 3.5 percent over the second half of 2014, an improvement from a 1.8 percent decline over the first half of the year. But residential construction saw another slow start to the year at least in part because of harsh weather. Indeed, the most recent data appear to show that housing starts are even weaker than in the first quarter of last year. As the weather improves, housing market growth should be supported by historically low (although rising) interest rates, along with improving non-interest lending terms and a strengthening labor market. However, signs of a strong rebound are not apparent. Consequently, DOB has revised down its projection for real residential investment growth to 4.5 percent for 2015.

On balance, the boost from lower energy prices is expected to compensate only partially for the weakness of the global economy, a listless housing market, and continued slow wage growth. Without support from these critical sources, DOB continues to anticipate that annualized quarterly growth in real GDP will remain below 3 percent over the foreseeable future. Given weaker growth in the labor market and the overall economy, DOB expects the Federal Reserve to delay raising its short-term interest rate target until the second half of 2015. Concern over disinflation in the U.S. and abroad provides additional support for a later move. The headline Consumer Price Index (CPI) fell 0.1 percent in the first quarter of 2015 on a year-ago basis, while core CPI inflation remained well below the Federal Reserve's 2 percent target. Domestic oil prices have risen above their March lows to almost \$60 per barrel, but are expected to remain soft for the foreseeable future. DOB now estimates consumer price inflation of zero percent for 2015, representing a downward revision from the Executive Budget forecast.

Although DOB's economic outlook continues to call for a continued strengthening of the nation's recovery, significant risks remain. In today's highly interdependent global economy, it is difficult to foresee domestic growth substantially accelerating without vigorous stimulus from exports. While the euro-area economy is no longer contracting and the European Central Bank has embarked upon a round of quantitative easing, unemployment rates are still rising in some areas, and uncertainty over the integrity of the monetary union lingers. A euro-area recovery that is even more sluggish than expected will likely result in slower export and corporate profits growth than reflected in this forecast. Although energy prices are expected to remain low, a complex geopolitical situation could ignite renewed volatility, which, along with equity price volatility, represents a risk to household spending. In contrast, stronger global growth or lower than expected gasoline prices would result in stronger outcomes than projected. Finally, the response of global financial markets to the unwinding of central bank accommodation in the U.S. remains a risk, whether that process starts in September or later in the year, particularly given the lack of experience upon which to draw.

The New York State Economy

The State's private sector labor market has continued to outperform expectations, with estimated growth for the 2014 calendar year incorporating upward revisions to employment in real estate services and construction; transportation and warehousing; financial services; professional and business services; and health care. Growth also remains strong in the tourism-related leisure and hospitality industries. Strong private sector growth of 2.2 percent is now estimated for 2014, with growth expected to decelerate to 1.6 percent in 2015 as the State's labor market expansion matures. The long-term decline in government employment also came to an end in the second half of 2014. As a result, total employment growth for 2014 was revised up to 1.8 percent, while the projected growth for 2015 remains unchanged from the Executive Budget forecast, of 1.4 percent.

Preliminary information suggests that fourth-quarter earnings and revenues for the finance sector are likely to have weighed heavily on bonus payouts for the 2014-15 bonus season. DOB has reduced estimated finance and insurance sector bonus growth to 0.7 percent for SFY 2015. Lower bonus payouts have resulted in downward revisions to both total wages and personal income as well. In contrast, large volumes of IPO and merger and acquisition activity, along with strong real estate market growth, are estimated to have helped propel growth in capital gains realizations well above 20 percent for the 2014 tax year.

| NEW YORK STATE ECONOMIC INDICATORS | | | |
|--|-----------------|-------------------|-------------------|
| <i>(Percent change from prior State fiscal year)</i> | | | |
| | FY 2014 | FY 2015 | FY 2016 |
| | (Actual) | (Forecast) | (Forecast) |
| Personal Income | 2.1 | 3.7 | 4.4 |
| Wages | 4.2 | 4.3 | 4.4 |
| Nonagricultural Employment | 1.6 | 1.8 | 1.3 |

Source: Moody's Analytics; New York State DOL; DOB staff estimates.

The performance of the State's private-sector labor market continues to surprise on the upside, but there are significant risks to the forecast. All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. Under regulatory and other pressures, the pattern of Wall Street bonus payouts has changed dramatically since the 2008-09 financial crisis, with payments now more widely dispersed across the year. In addition, taxable payouts can represent both current-year awards and deferred payments from prior year awards. Finally, the deferral ratio has also proven to be unstable. As a result, the uncertainty surrounding bonus projections continues to mount. Recent events also have demonstrated how sensitive financial markets can be to shifting expectations surrounding Federal Reserve policy. As the central bank moves closer to its first rate hike since June 2006, the resulting financial market gyrations are likely to have a larger impact on the State economy than on the nation as a whole. Should financial and real estate markets be weaker than we expect, both bonuses and taxable capital gains realizations could be negatively affected.

All Funds Receipts Projections

Financial Plan receipts comprise a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies which collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for certain debt service on revenue bonds).

| ALL FUNDS RECEIPTS (millions of dollars) | | | | | | | | | |
|---|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| Personal Income Tax | 43,709 | 47,075 | 7.7% | 50,115 | 6.5% | 53,047 | 5.9% | 54,426 | 2.6% |
| Consumption/Use Taxes | 15,384 | 15,850 | 3.0% | 16,394 | 3.4% | 16,916 | 3.2% | 17,487 | 3.4% |
| Business Taxes | 8,504 | 8,137 | -4.3% | 8,047 | -1.1% | 8,261 | 2.7% | 8,464 | 2.5% |
| Other Taxes | 2,166 | 2,154 | -0.6% | 2,122 | -1.5% | 2,086 | -1.7% | 2,095 | 0.4% |
| Payroll Tax | 1,271 | 1,346 | 5.9% | 1,404 | 4.3% | 1,473 | 4.9% | 1,549 | 5.2% |
| Total State Taxes | 71,034 | 74,562 | 5.0% | 78,082 | 4.7% | 81,783 | 4.7% | 84,021 | 2.7% |
| Miscellaneous Receipts | 29,438 | 25,410 | -13.7% | 24,094 | -5.2% | 23,751 | -1.4% | 23,887 | 0.6% |
| Federal Receipts | 48,636 | 51,396 | 5.7% | 51,553 | 0.3% | 52,012 | 0.9% | 52,202 | 0.4% |
| Total All Fund Receipts | 149,108 | 151,368 | 1.5% | 153,729 | 1.6% | 157,546 | 2.5% | 160,110 | 1.6% |

Total All Funds receipts in FY 2016 are estimated at \$152.2 billion, 2.1 percent above FY 2015 results. State tax receipts are expected to increase 5 percent in FY 2016. The increase in tax receipts is due to strong growth from an artificially low prior year base influenced by 2013 Federal tax law changes. The miscellaneous receipts decline in FY 2016 is primarily due to the loss of one-time monetary settlements with financial institutions (see page 26 for detailed list). In addition, the FY 2016 General Fund total includes a \$250 million deposit from the SIF reserve release in connection with Workers' Compensation law changes included in the FY 2014 Enacted Budget, which is a decrease of \$750 million from the amount of the reserve released in FY 2015. In other State funds, FY 2016 miscellaneous receipts are driven by year-to-year variations to health care surcharges and other HCRA resources, licensing fees associated with commercial gaming, bond proceeds, atypical fines and the phase-out of the temporary utility assessment.

Consistent with the projected growth in the New York economy over the multi-year Financial Plan period, the personal income and consumption/use tax categories are expected to grow. Business taxes and other taxes are expected to decline in some or all years due to tax cuts and reforms enacted in 2014.

After controlling for the impact of tax law changes, base tax revenue increased 4 percent in FY 2015, and is projected to increase by 4.5 percent for FY 2016 and 4.9 percent for FY 2017.

| GENERAL FUND RECEIPTS | | | | | |
|--|----------------|----------------|-----------------|------------------|------------------|
| (millions of dollars) | | | | | |
| | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| | Results | Enacted | Proposed | Projected | Projected |
| Total All Funds State Taxes ¹ | 69,763 | 73,216 | 76,678 | 80,310 | 82,472 |
| Less Dedicated Taxes: | | | | | |
| STAR | (3,297) | (3,382) | (3,468) | (3,510) | (3,552) |
| Revenue Bond Tax Fund | (10,927) | (11,769) | (12,529) | (13,262) | (13,607) |
| LGAC/Sales Tax Bond Fund | (6,053) | (6,325) | (6,582) | (6,841) | (7,120) |
| Cigarette/Tobacco Tax | (958) | (911) | (873) | (843) | (814) |
| Sales Tax | (854) | (882) | (903) | (936) | (972) |
| Consumption/Use Taxes | (828) | (842) | (840) | (845) | (856) |
| Business Taxes | (2,239) | (2,240) | (2,255) | (2,302) | (2,355) |
| Real Estate Transfer Tax | (1,038) | (1,085) | (1,138) | (1,176) | (1,221) |
| Total General Fund Taxes | 43,569 | 45,780 | 48,090 | 50,595 | 51,975 |
| Miscellaneous Receipts | 8,410 | 4,365 | 2,591 | 2,353 | 2,212 |
| Federal Receipts | 2 | 0 | 0 | 0 | 0 |
| Total General Fund Receipts | 51,981 | 50,145 | 50,681 | 52,948 | 54,187 |
| Annual \$ Change | | (1,836) | 536 | 2,267 | 1,239 |
| Annual % Change | | -3.5 | 1.1 | 4.5 | 2.3 |

¹ Excludes the MTA payroll tax, which is collected by the State and pass through to the MTA.

Approximately 60 percent of All Funds tax receipts are deposited into the General Fund. The remaining tax collections are dedicated for various purposes including STAR payments to school districts, debt service reserves, health care, and transportation. General Fund tax receipts are projected to total \$45.8 billion in FY 2016, an increase consistent with the All Funds trends discussed above. General Fund miscellaneous receipts are expected to decrease by over \$4 billion as a result of the loss of the monetary settlements and transfers noted above.

Personal Income Tax

| PERSONAL INCOME TAX (millions of dollars) | | | | | | | | | |
|--|---------------|---------------|-------------|---------------|-------------|---------------|-------------|---------------|-------------|
| | FY 2015 | FY 2016 | FY 2017 | | FY 2018 | | FY 2019 | | |
| | Results | Enacted | Change | Projected | Change | Projected | Change | Projected | Change |
| STATE/ALL FUNDS | 43,709 | 47,075 | 7.7% | 50,115 | 6.5% | 53,047 | 5.9% | 54,426 | 2.6% |
| Gross Collections | 52,248 | 56,512 | 8.2% | 60,063 | 6.3% | 63,041 | 5.0% | 64,460 | 2.3% |
| Refunds (Incl. State/City Offset) | (8,539) | (9,437) | -10.5% | (9,948) | -5.4% | (9,994) | -0.5% | (10,034) | -0.4% |
| GENERAL FUND¹ | 29,485 | 31,924 | 8.3% | 34,118 | 6.9% | 36,275 | 6.3% | 37,267 | 2.7% |
| Gross Collections | 52,248 | 56,512 | 8.2% | 60,063 | 6.3% | 63,041 | 5.0% | 64,460 | 2.3% |
| Refunds (Incl. State/City Offset) | (8,539) | (9,437) | -10.5% | (9,948) | -5.4% | (9,994) | -0.5% | (10,034) | -0.4% |
| STAR | (3,297) | (3,382) | -2.6% | (3,468) | -2.5% | (3,510) | -1.2% | (3,552) | -1.2% |
| RBTF | (10,927) | (11,769) | -7.7% | (12,529) | -6.5% | (13,262) | -5.9% | (13,607) | -2.6% |

¹Excludes Transfers.

All Funds income tax receipts for FY 2016 are projected to be \$47.1 billion, an increase of \$3.4 billion (7.7 percent) from FY 2015 results. This increase primarily includes withholding, estimated payments attributable to the 2014 tax year, and extension payments attributable to the 2015 tax year, partially offset by a substantial increase in total refunds due to a combination of refund payment timing and the increased cost of the Real Property Tax Freeze credit compared to FY 2015.

The following table summarizes, by component, actual receipts for FY 2015 and forecast amounts through FY 2019.

| PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS ALL FUNDS (millions of dollars) | | | | | |
|---|---------------|---------------|---------------|---------------|---------------|
| | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| | Results | Enacted | Projected | Projected | Projected |
| Receipts | | | | | |
| Withholding | 34,907 | 36,940 | 38,971 | 40,400 | 42,198 |
| Estimated Payments | 13,743 | 15,746 | 17,039 | 18,378 | 17,796 |
| Current Year | 10,367 | 11,148 | 12,019 | 12,983 | 12,005 |
| Prior Year ¹ | 3,376 | 4,598 | 5,020 | 5,395 | 5,791 |
| Final Returns | 2,206 | 2,493 | 2,670 | 2,841 | 2,984 |
| Current Year | 1,952 | 2,224 | 2,390 | 2,549 | 2,692 |
| Prior Year ¹ | 254 | 269 | 280 | 292 | 292 |
| Delinquent | 1,392 | 1,333 | 1,383 | 1,422 | 1,482 |
| Gross Receipts | 52,248 | 56,512 | 60,063 | 63,041 | 64,460 |
| Refunds | | | | | |
| Prior Year ¹ | 4,961 | 5,828 | 6,755 | 7,117 | 7,132 |
| Previous Years | 458 | 488 | 513 | 539 | 564 |
| Current Year ¹ | 1,950 | 1,750 | 1,750 | 1,750 | 1,750 |
| Advanced Credit Payment | 579 | 783 | 342 | 0 | 0 |
| State/City Offset ¹ | 591 | 588 | 588 | 588 | 588 |
| Total Refunds | 8,539 | 9,437 | 9,948 | 9,994 | 10,034 |
| Net Receipts | 43,709 | 47,075 | 50,115 | 53,047 | 54,426 |

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2016 is projected to be \$2 billion (5.8 percent) higher than FY 2015, due mainly to moderate wage growth. Extension payments are estimated to increase by \$1.2 billion (36.2 percent), primarily due to growth in tax year 2014 nonwage income over a weak tax year 2013 base, which suffered from an acceleration of capital gains into tax year 2012. Estimated payments for tax year 2015 are projected to be \$781 million (7.5 percent) higher. Final return payments and delinquencies are projected to be \$287 million (13 percent) higher and \$59 million (4.2 percent) lower, respectively.

The increase in total refunds of \$898 million (10.5 percent) reflects an \$867 million (17.5 percent) increase in prior (tax year 2014) refunds, a \$30 million (6.6 percent) increase in previous (tax year 2013 and earlier) refunds, and a \$204 million (35.2 percent) increase in accelerated credit payments related to tax year 2015. This is partially offset by a \$200 million (10.3 percent) decrease in current (tax year 2015) refunds and a \$3 million (0.5 percent) decline in state-city offsets. The increase in prior (tax year 2014) refunds includes \$85 million in payments attributable to the first year of the Enhanced Real Property Tax Circuit Breaker credit. Refund payment timing accounts for another \$128 million of the growth in prior year refunds, resulting from the reduced amount of refunds paid during the first three months of 2015 relative to the same period 2014.

General Fund income tax receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund income tax receipts for FY 2016 of \$31.9 billion are expected to increase by \$2.4 billion (8.3 percent) from FY 2015 results, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$11.8 billion and the STAR transfer is projected to be \$3.4 billion.

All Funds income tax receipts for FY 2017 of \$50.1 billion are projected to increase by just over \$3 billion (6.5 percent) from the FY 2016 estimate. This primarily reflects increases of \$2 billion (5.5 percent) in withholding, \$871 million (7.8 percent) in estimated payments related to tax year 2016, and \$422 million (9.2 percent) in extension payments related to tax year 2015, partially offset by a \$511 million (5.4 percent) increase in total refunds. The growth in withholding is the result of projected wage growth of 4.7 percent. The moderate growth in extension payments reflects tax year 2015 nonwage income growth that is projected to be substantially weaker than tax year 2014. The growth in total refunds is primarily attributable to \$400 million in Family Tax Relief credits which, unlike tax year 2013 payments, will not be paid as accelerated credits. Payments from final returns are expected to increase \$177 million (7.1 percent), while delinquencies are projected to increase \$50 million (3.8 percent) from the prior year.

General Fund income tax receipts for FY 2017 of \$34.1 billion are projected to increase by \$2.2 billion (6.9 percent). RBTF deposits are projected to be \$12.5 billion, and the STAR transfer is projected to be \$3.5 billion.

All Funds income tax receipts of \$53 billion in FY 2018 are projected to increase \$2.9 billion (5.9 percent) from the prior year. Gross receipts are projected to increase 5 percent, reflecting withholding that is projected to grow by \$1.4 billion (3.7 percent) and estimated payments related to tax year 2017 that are projected to grow by \$964 million (8 percent). Payments from extensions for tax year 2016 are projected to increase by \$375 million (7.5 percent) and final returns are expected to increase \$171 million (6.4 percent). Delinquencies are projected to increase \$39 million (2.8 percent) from the prior year. Total refunds are projected to increase by \$46 million (0.5 percent) from the prior year.

General Fund income tax receipts for FY 2018 are projected to increase by \$2.2 billion (6.3 percent) to \$36.3 billion

All Funds income tax receipts are projected to increase by \$1.4 billion (2.6 percent) in FY 2019 to reach \$54.4 billion, while General Fund receipts are projected to total \$37.3 billion.

Consumption/Use Taxes

| CONSUMPTION/USE TAXES (millions of dollars) | | | | | | | | | |
|--|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| STATE/ALL FUNDS | 15,384 | 15,850 | 3.0% | 16,394 | 3.4% | 16,916 | 3.2% | 17,487 | 3.4% |
| Sales Tax | 12,991 | 13,532 | 4.2% | 14,067 | 4.0% | 14,618 | 3.9% | 15,212 | 4.1% |
| Cigarette and Tobacco Taxes | 1,314 | 1,220 | -7.2% | 1,226 | 0.5% | 1,187 | -3.2% | 1,148 | -3.3% |
| Motor Fuel Tax | 487 | 485 | -0.4% | 485 | 0.0% | 482 | -0.6% | 478 | -0.8% |
| Highway Use Tax | 140 | 148 | 5.7% | 142 | -4.1% | 143 | 0.7% | 152 | 6.3% |
| Alcoholic Beverage Taxes | 251 | 256 | 2.0% | 261 | 2.0% | 266 | 1.9% | 271 | 1.9% |
| Taxicab Surcharge | 82 | 85 | 3.7% | 85 | 0.0% | 85 | 0.0% | 85 | 0.0% |
| Auto Rental Tax | 119 | 124 | 4.2% | 128 | 3.2% | 135 | 5.5% | 141 | 4.4% |
| GENERAL FUND¹ | 6,691 | 6,890 | 3.0% | 7,196 | 4.4% | 7,451 | 3.5% | 7,725 | 3.7% |
| Sales Tax | 6,084 | 6,325 | 4.0% | 6,582 | 4.1% | 6,841 | 3.9% | 7,120 | 4.1% |
| Cigarette and Tobacco Taxes | 356 | 309 | -13.2% | 353 | 14.2% | 344 | -2.5% | 334 | -2.9% |
| Alcoholic Beverage Taxes | 251 | 256 | 2.0% | 261 | 2.0% | 266 | 1.9% | 271 | 1.9% |

¹Excludes Transfers.

All Funds consumption/use tax receipts for FY 2016 are estimated to be \$15.9 billion, an increase of \$466 million (3 percent) from FY 2015 results. Sales tax receipts are estimated to increase \$541 million (4.2 percent) from FY 2015, resulting from 4.4 percent base (i.e., absent law changes) growth. Cigarette and tobacco tax collections are estimated to decline \$94 million (7.2 percent), primarily reflecting large declines in taxable cigarette consumption (particularly in New York City) and cigar tax refunds resulting in part from a nonbinding Administrative Law Judge Determination (Matter of Davidoff of Geneva, Inc.). Motor fuel tax collections are expected to decrease \$2 million (0.4 percent), reflecting a decrease in audit collections as they return to historical levels, partially offset by slight growth in gasoline and diesel consumption.

General Fund consumption/use tax receipts for FY 2016 are estimated to total \$6.9 billion, an increase of \$199 million (3 percent) from FY 2015 results. This increase largely reflects increased sales tax collections, offset by cigar tax refunds and a decline in cigarette tax collections.

All Funds consumption/use tax receipts for FY 2017 are projected to be \$16.4 billion, an increase of \$544 million (3.4 percent) from the prior year. The projected \$535 million (4 percent) increase in sales tax receipts reflects sales tax base growth of 4.2 percent, due to strong projected disposable income growth.

General Fund consumption/use tax receipts are projected to total \$7.2 billion in FY 2017, a \$306 million (4.4 percent) increase from the prior year. The projected increase in sales tax receipts reflects the All Funds trends noted above. The projected increase in cigarette and tobacco tax receipts is the result of an artificially low FY 2016 base created by the cigar tax refunds mentioned earlier.

All Funds consumption/use tax receipts are projected to increase to \$16.9 billion (3.2 percent) in FY 2018 and to \$17.5 billion (3.4 percent) in FY 2019, largely representing base growth in sales tax receipts, offset slightly by trend declines in cigarette tax collections.

General Fund consumption/use tax receipts are projected to increase to \$7.5 billion (3.5 percent) in FY 2018 and \$7.7 billion (3.7 percent) in FY 2019, reflecting the All Funds trends noted above.

General Fund sales and use tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on State sales and use tax revenue bonds. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

Business Taxes

| BUSINESS TAXES (millions of dollars) | | | | | | | | | |
|---|--------------------|--------------------|--------------|----------------------|--------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| STATE/ALL FUNDS | 8,504 | 8,137 | -4.3% | 8,047 | -1.1% | 8,261 | 2.7% | 8,464 | 2.5% |
| Corporate Franchise Tax | 3,548 | 4,673 | 31.7% | 4,419 | -5.4% | 4,591 | 3.9% | 4,756 | 3.6% |
| Corporation and Utilities Tax | 728 | 794 | 9.1% | 811 | 2.1% | 815 | 0.5% | 835 | 2.5% |
| Insurance Tax | 1,533 | 1,585 | 3.4% | 1,559 | -1.6% | 1,614 | 3.5% | 1,687 | 4.5% |
| Bank Tax | 1,536 | (10) | -100.7% | 203 | 2130.0% | 190 | -6.4% | 143 | -24.7% |
| Petroleum Business Tax | 1,159 | 1,095 | -5.5% | 1,055 | -3.7% | 1,051 | -0.4% | 1,043 | -0.8% |
| GENERAL FUND | 6,265 | 5,897 | -5.9% | 5,792 | -1.8% | 5,959 | 2.9% | 6,109 | 2.5% |
| Corporate Franchise Tax | 2,990 | 3,909 | 30.7% | 3,617 | -7.5% | 3,747 | 3.6% | 3,862 | 3.1% |
| Corporation and Utilities Tax | 577 | 612 | 6.1% | 619 | 1.1% | 619 | 0.0% | 630 | 1.8% |
| Insurance Tax | 1,375 | 1,414 | 2.8% | 1,383 | -2.2% | 1,431 | 3.5% | 1,495 | 4.5% |
| Bank Tax | 1,323 | (38) | -102.9% | 173 | 555.3% | 162 | -6.4% | 122 | -24.7% |
| Petroleum Business Tax | 0 | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |

All Funds business tax receipts for FY 2016 are estimated at \$8.1 billion, a decrease of \$367 million (4.3 percent) from FY 2015 results. The estimate primarily reflects a decline resulting from the first year of corporate tax reform (\$205 million) and a decrease in petroleum business tax (PBT) receipts, due to declines in the PBT index rates for 2015 and 2016.

Corporate franchise tax receipts are estimated to increase \$1.1 billion (31.7 percent) in FY 2016, reflecting corporate tax reform, which repealed the bank tax and imposed the corporate franchise tax on former bank taxpayers beginning in tax year 2015. Audit receipts are expected to increase \$491 million (79.8 percent), representing a rebound in large case audits.

Corporation and utilities tax receipts are expected to increase \$66 million (9.1 percent) in FY 2016. Both gross receipts and audits are expected to increase from the prior year, while refunds are expected to return to historical trends.

Insurance tax receipts are expected to increase \$52 million (3.4 percent) in FY 2016. Premiums from authorized insurers are expected to grow at trend rates. Audits and refunds are also expected to reflect historical trends.

Bank tax receipts are estimated to decrease by over \$1.5 billion (100.7 percent) in FY 2016, reflecting the movement of 2015 liability payments to the corporate franchise tax. Negative FY 2016 bank tax receipts reflect the net of payments from fiscal year filers with a liability period start date of 2014, prior period adjustments from calendar year and fiscal year filers, and audit receipts. Audit receipts are estimated to decline \$525 million as several large FY 2015 bank tax cases are not expected to be repeated in FY 2016.

PBT receipts are expected to decrease \$64 million (5.5 percent) in FY 2016, primarily due to the 3.2 percent decrease in the PBT rate index effective January 2015 and the estimated 5 percent decrease effective January 2016. These declines are partially offset by slight growth in both estimated gasoline and diesel consumption.

General Fund business tax receipts for FY 2016 of \$5.9 billion are estimated to decrease \$368 million (5.9 percent) from FY 2015 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2017 of \$8 billion are projected to decrease \$90 million (1.1 percent) from the prior year. The decline in corporate franchise tax receipts of \$254 million (5.4 percent) is the result of the decrease in the entire net income tax rate from 7.1 percent to 6.5 percent and the first year of the capital tax base phase-out (both effective for tax year 2016). These items were part of corporate tax reform enacted in the FY 2015 Budget. This is partially offset by an increase in bank tax receipts of \$213 million. The FY 2017 bank tax forecast includes smaller prior period (i.e. negative) adjustments than FY 2016. This factor accounts for the year-over-year increase. Corporation and utilities tax receipts growth of \$17 million (2.1 percent) reflects trend growth in FY 2017. Insurance tax receipts are projected to decline \$26 million (1.6 percent). Growth in insurance tax premiums is more than offset by the first year of refunds for the tax credit for assessments paid to the Life Insurance Guaranty Corporation (Insurance Law section 7712(a)(b) and tax law section 1511(f)). PBT receipts are expected to decrease \$40 million (3.7 percent) in FY 2017, primarily due to the estimated 5 percent decrease in the PBT rate index effective January 2016 noted above, and projected small declines in taxable motor fuel consumption, partially offset by growth in diesel fuel consumption.

General Fund business tax receipts for FY 2017 of \$5.8 billion are projected to decrease \$105 million (1.8 percent), reflecting the All Funds trends discussed above.

| ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS | | | | | |
|--|----------------|----------------|----------------|----------------|----------------|
| (millions of dollars) | | | | | |
| | FY 2012 | FY 2013 | FY 2014 | FY 2015 | FY 2016 |
| | Actual | Actual | Actual | Actual | Enacted |
| Corporate Franchise Tax | 3,176 | 3,009 | 3,812 | 3,548 | 4,673 |
| Audit | 1,080 | 752 | 1,157 | 615 | 1,106 |
| Non-Audit | 2,096 | 2,257 | 2,655 | 2,933 | 3,567 |
| Corporation and Utilities Taxes | 797 | 894 | 797 | 728 | 794 |
| Audit | 30 | 100 | 56 | 16 | 44 |
| Non-Audit | 767 | 794 | 741 | 712 | 750 |
| Insurance Taxes | 1,413 | 1,509 | 1,444 | 1,533 | 1,585 |
| Audit | 21 | 34 | 22 | 22 | 31 |
| Non-Audit | 1,392 | 1,475 | 1,422 | 1,511 | 1,554 |
| Bank Taxes | 1,392 | 1,912 | 1,050 | 1,536 | (10) |
| Audit | 125 | 405 | 102 | 809 | 284 |
| Non-Audit | 1,267 | 1,507 | 948 | 727 | (294) |
| Petroleum Business Taxes | 1,100 | 1,140 | 1,155 | 1,159 | 1,095 |
| Audit | 6 | 5 | 7 | 15 | 6 |
| Non-Audit | 1,094 | 1,135 | 1,148 | 1,144 | 1,089 |
| Total Business Taxes | 7,878 | 8,464 | 8,258 | 8,504 | 8,137 |
| Audit | 1,262 | 1,296 | 1,344 | 1,477 | 1,471 |
| Non-Audit | 6,616 | 7,168 | 6,914 | 7,027 | 6,666 |

All Funds business tax receipts for FY 2018 and FY 2019 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to increase to \$8.3 billion (2.7 percent) in FY 2018, and increase to \$8.5 billion (2.5 percent) in FY 2019. General Fund business tax receipts are expected to increase to \$6 billion (2.9 percent) in FY 2018 and \$6.1 billion (2.5 percent) in FY 2019.

Other Taxes

| OTHER TAXES (millions of dollars) | | | | | | | | | |
|--------------------------------------|--------------------|--------------------|--------------|----------------------|--------------|----------------------|--------------|----------------------|--------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| STATE/ALL FUNDS | 2,166 | 2,154 | -0.6% | 2,122 | -1.5% | 2,086 | -1.7% | 2,095 | 0.4% |
| Estate Tax | 1,109 | 1,050 | -5.3% | 965 | -8.1% | 891 | -7.7% | 855 | -4.0% |
| Gift Tax | 0 | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Real Property Gains Tax | 0 | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Real Estate Transfer Tax | 1,038 | 1,085 | 4.5% | 1,138 | 4.9% | 1,176 | 3.3% | 1,221 | 3.8% |
| Pari-Mutuel Taxes | 18 | 18 | 0.0% | 18 | 0.0% | 18 | 0.0% | 18 | 0.0% |
| All Other Taxes | 1 | 1 | 0.0% | 1 | 0.0% | 1 | 0.0% | 1 | 0.0% |
| GENERAL FUND¹ | 1,128 | 1,069 | -5.2% | 984 | -8.0% | 910 | -7.5% | 874 | -4.0% |
| Estate Tax | 1,109 | 1,050 | -5.3% | 965 | -8.1% | 891 | -7.7% | 855 | -4.0% |
| Gift Tax | 0 | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Real Property Gains Tax | 0 | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Pari-Mutuel Taxes | 18 | 18 | 0.0% | 18 | 0.0% | 18 | 0.0% | 18 | 0.0% |
| All Other Taxes | 1 | 1 | 0.0% | 1 | 0.0% | 1 | 0.0% | 1 | 0.0% |

¹Excludes Transfers.

All Funds other tax receipts for FY 2016 are estimated to be nearly \$2.2 billion, a \$12 million (0.6 percent) decrease from FY 2015 results. This reflects a \$47 million (4.5 percent) increase in real estate transfer tax receipts, more than offset by a \$59 million (5.3 percent) decrease in estate tax receipts. The estate tax decrease is primarily the result of FY 2015 Enacted Budget legislation that raises the filing threshold from \$1 million to \$5.25 million over a four-year period. The real estate transfer tax estimate reflects both an increase in the volume of transactions in New York City and modest price growth compared to the prior year.

General Fund other tax receipts are expected to be nearly \$1.1 billion in FY 2016, a \$59 million (5.2 percent) decrease from FY 2015 results, reflecting the estate tax change noted above.

All Funds other tax receipts for FY 2017 are projected to be just over \$2.1 billion, a \$32 million (1.5 percent) decrease from FY 2016. This reflects projected growth in real estate transfer tax receipts due to projected growth in both the residential and commercial real estate markets, particularly in New York City, more than entirely offset by a decline in projected estate tax receipts due to the continued phase-in of the increased filing threshold.

General Fund other tax receipts are projected to be just under \$1 billion in FY 2017, reflecting the decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2018 and FY 2019 reflect projected trends in household net worth, housing starts and housing prices. All Funds other tax receipts are projected to decrease to just under \$2.1 billion (1.7 percent) in FY 2018, and remain at \$2.1 billion in FY 2019. General Fund other tax receipt estimates for FY 2018 and FY 2019 are projected to decrease by 7.5 percent and 4 percent, respectively, due to the projected decline in estate tax receipts noted above.

The divergence in growth rates between the All Funds and General Fund projections for other tax receipts reflects the dedication of the segment exhibiting growth (real estate transfer tax receipts) to other funds, and reflection of the declining portion (estate tax receipts) remaining in the General Fund.

Miscellaneous Receipts and Federal Grants

| MISCELLANEOUS RECEIPTS (millions of dollars) | | | | | | | | | |
|---|---------------|---------------|---------------|---------------|--------------|---------------|--------------|---------------|-------------|
| | FY 2015 | FY 2016 | | FY 2017 | | FY 2018 | | FY 2019 | |
| | Results | Enacted | Change | Projected | Change | Projected | Change | Projected | Change |
| ALL FUNDS | 29,438 | 25,410 | -13.7% | 24,094 | -5.2% | 23,751 | -1.4% | 23,887 | 0.6% |
| General Fund | 8,410 | 4,365 | -48.1% | 2,591 | -40.6% | 2,353 | -9.2% | 2,212 | -6.0% |
| Special Revenue Funds | 16,557 | 15,276 | -7.7% | 15,709 | 2.8% | 15,925 | 1.4% | 16,040 | 0.7% |
| Capital Projects Funds | 3,961 | 5,299 | 33.8% | 5,341 | 0.8% | 5,020 | -6.0% | 5,183 | 3.2% |
| Debt Service Funds | 510 | 470 | -7.8% | 453 | -3.6% | 453 | 0.0% | 452 | -0.2% |

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, tribal-state compact revenue, monetary settlements and a variety of fees and licenses.

All Funds miscellaneous receipts are projected to total \$25.4 billion in FY 2016, a decrease of 13.7 percent from FY 2015 results. This decrease is primarily due to the loss of one-time monetary settlements described earlier in this Financial Plan. In addition to the loss of monetary settlements, the SIF reserve release in connection with Workers' Compensation law changes included in the FY 2014 Enacted Budget decreased by \$750 million from the amount received during the prior year. In other State funds, FY 2015 miscellaneous receipts are driven by year-to-year variations to health care surcharges and other HCRA resources, bond proceeds, atypical fines and the phase-out of the temporary utility assessment.

All Funds miscellaneous receipts are projected to decrease annually beginning in FY 2016, mainly due to the loss of payments from SIF, the phase-out of the temporary utility assessment, and bond proceeds available to fund capital improvement projects.

Multi-Year Financial Plan Projections



| FEDERAL GRANTS (millions of dollars) | | | | | | | | | |
|---|---------------|---------------|-------------|---------------|-------------|---------------|-------------|---------------|-------------|
| | FY 2015 | FY 2016 | | FY 2017 | | FY 2018 | | FY 2019 | |
| | Results | Enacted | Change | Projected | Change | Projected | Change | Projected | Change |
| ALL FUNDS | 48,636 | 51,396 | 5.7% | 51,553 | 0.3% | 52,012 | 0.9% | 52,202 | 0.4% |
| General Fund | 2 | 0 | -100.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Special Revenue Funds | 46,531 | 49,627 | 6.7% | 49,850 | 0.4% | 50,322 | 0.9% | 50,531 | 0.4% |
| Capital Projects Funds | 2,030 | 1,696 | -16.5% | 1,630 | -3.9% | 1,617 | -0.8% | 1,598 | -1.2% |
| Debt Service Funds | 73 | 73 | 0.0% | 73 | 0.0% | 73 | 0.0% | 73 | 0.0% |

Aid from the Federal government helps pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants are expected to increase in FY 2016, which is mainly driven by enhanced Federal Medicaid funding associated with the ACA. Federal grants are expected to grow to \$52.2 billion by FY 2019, reflecting the continuation of growth in Federal Medicaid spending associated with the ACA, partly offset by the expected phase-down of Federal disaster assistance aid.

Enacted Budget Tax Law Changes

The Enacted Budget contains tax law and fee changes that promote economic activity, simplify taxation, reduce STAR spending, and eliminate certain fees.

Tax Credits

| | TAX CREDITS (millions of dollars) | | | |
|--|---|-------------|-----------|-------------|
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Create the Urban Youth Jobs Program Tax Credit | - | (10) | - | (10) |
| Create the Employee Training Incentive Program (ETIP) Tax Credit | - | - | - | - |
| Extend the Excelsior Tax Credit Program to Additional Industries | - | - | - | - |
| Expand Availability of the Electric Vehicle Charging Credit | - | - | - | - |
| TOTAL TAX CREDITS | - | (10) | - | (10) |

- **Create the Urban Youth Jobs Program.** Doubles the annual allocation to \$20 million for program years 2015 through 2017. This program builds and expands upon the success of the Youth Works Tax Credit Program, which provided a credit to employers who hire unemployed, at-risk youth.
- **Create the Employee Training Incentive Program (ETIP) Tax Credit.** The ETIP will help strategic businesses in New York State meet critical training needs by equipping them with the resources necessary to train employees to fill new jobs or to retrain existing employees to work with new, advanced technology. ETIP incentives will be available to partially offset employer training costs by providing up to \$5 million in tax credits out of existing Excelsior Jobs Program funding to support 50 percent of training or internship costs.
- **Extend the Excelsior Tax Credit Program to Additional Industries.** Expands eligibility for the Excelsior Jobs Program to include entertainment companies, music production, and the production or post-production of video games.
- **Expand Availability of the Electric Vehicle Charging Credit.** The credit is expanded to include cases where some credit-eligible costs are covered by grants. Previously, any usage of grants prohibited usage of the credit.

Tax Cut and Reform Actions

| TAX CUT AND REFORM ACTIONS (millions of dollars) | | | | |
|--|--------------|-------------|-------------|-------------|
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Extend the Wine Tasting Sales and Use Tax Exemption to Other Alcoholic Beverages | - | - | - | - |
| Exempt Solar Power Purchase Agreements from State and Local Sales Tax | - | - | - | - |
| Simplify Excise Taxes on Wireless Telecom | - | - | (4) | - |
| Cap the Sales and Use Tax on Boats | - | - | - | - |
| Other Sales and Use Tax | (10) | (10) | (10) | (10) |
| Protect Taxpayers from a Sales Tax Increase Resulting from Dodd-Frank Regulations | - | - | - | - |
| Exempt Public Libraries from the Metropolitan Commuter Transportation Mobility Tax | - | - | - | (1) |
| TOTAL TAX CUT AND REFORM ACTIONS | (10) | (10) | (14) | (11) |

- Extend the Wine Tasting Sales and Use Tax Exemption to Other Alcoholic Beverages.**
 Extends sales tax exempt status to include beer, cider and liquor.
- Exempt Solar Power Purchase Agreements from State and Local Sales Tax.** Electricity generated at the premises of, and sold to, a customer via solar equipment owned by the equipment vendor is exempt from State sales and use tax (with local option).
- Simplify Excise Taxes on Wireless Telecommunication Companies.** Section 184 of tax law ceases for wireless telecom and is replaced by an amended Section 186-e that increases the tax rate (2.9 percent from 2.5 percent). This increment is dedicated for transportation funding in the same manner as Section 184 tax receipts were.
- Cap the Sales and Use Tax on Boats.** No tax is imposed on receipts in excess of \$230,000 from every sale or lease of a boat. Use tax will now be imposed only on a New York resident's boat (at the same cap) if a vessel is used in the State for more than 90 consecutive days. Previously, the use tax was due on a boat registered in another state, by a New York resident, upon entry of the boat into New York waters.
- Protect Taxpayers from a Sales Tax Increase Resulting from Dodd-Frank Regulations.** Provides that taxpayers undertaking corporate dissolutions or spin-offs in compliance with Dodd-Frank regulations can treat as tax-exempt otherwise taxable sales and services made to the new parent entity.
- Exempt Public Libraries from the Metropolitan Commuter Transportation Mobility Tax.** Effective January 1, 2016, all public and free association libraries are exempt from the Metropolitan Commuter Transportation Mobility Tax.

Tax Simplification Actions

| TAX SIMPLIFICATION ACTIONS (millions of dollars) | | | | |
|---|--------------|---------|-----------|---------|
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Allow Petroleum Business Tax Refunds for Farm Use of Highway Diesel Motor Fuel | - | - | - | - |
| Impose Local Sales Tax on Prepaid Wireless Based on Retail Location | - | - | - | - |
| Combine the DOS Biennial Information Statement and Tax Return Filings and Repeals \$9 DOS Fee | - | - | - | - |
| TOTAL TAX SIMPLIFICATION ACTIONS | - | - | - | - |

- Allow PBT Refunds for Farm Use of Highway Diesel Motor Fuel.** Farmers who purchase taxable highway diesel fuel are able to request a refund for the portion used for farm use. This relieves farmers of the need to maintain separate tanks for taxable and non-taxable diesel fuel.
- Impose Local Sales Tax on Prepaid Wireless Based on Retail Location.** The local sales tax collection for prepaid wireless is now based on vendor location, not the customer's residential location. This aligns the tax treatment of prepaid mobile service and prepaid calling service.
- Combine the DOS Biennial Information Statement and Tax Return Filings, and Repeals \$9 DOS Fee.** LLCs and corporations that formerly filed biennial information statements with the Department of State (DOS) now provide the information as part of their State tax return. Additionally, the \$9 fee that accompanied the former biennial statement is repealed.

Tax Law Extenders

| TAX LAW EXTENDERS (millions of dollars) | | | | |
|--|--------------|-----------|-----------|-----------|
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Extend the Limitation on Charitable Contribution Deductions for High-Income New York State and New York City Personal Income Taxpayers for Two Years | - | 70 | - | 70 |
| Extend Warrantless Wage Garnishment for Two Years | 15 | 15 | 15 | 15 |
| Extend and Reform the Brownfield Cleanup Program | - | - | - | - |
| Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year | - | - | - | - |
| TOTAL TAX LAW EXTENDERS | 15 | 85 | 15 | 85 |

- **Extend the Limitation on Charitable Contribution Deductions for High-Income New York State and New York City Personal Income Taxpayers for Two Years.** The limitation on charitable contribution deductions for New York State and New York City taxpayers with adjusted annual gross income over \$10 million is extended. The charitable contribution deduction limitation of 25 percent allowed under State Tax Law would have otherwise expired at the end of tax year 2015. The limitation has had no noticeable impact on charitable giving.
- **Extend Warrantless Wage Garnishment for Two Years.** The authorization for DTF to garnish wages of delinquent taxpayers without filing a warrant with the DOS or County Clerks is extended. The current program, now set to expire on April 1, 2017, has been successful in eliminating the unfunded mandate on counties to receive warrants from DTF. The program has also proven to be taxpayer-friendly, since warrants appear on an individual's credit report for seven years, even if the delinquency has been resolved.
- **Extend and Reform the Brownfield Cleanup Program (BCP).** The BCP is extended through March 31, 2026 (expiration was December 31, 2015). New provisions include: (1) reforms to protect taxpayers and promote brownfield redevelopment of sites that are located in economically distressed areas, worth less than the cost to cleanup, or which will result in the development of affordable housing, (2) establishes the BCP-EZ program, which provides for expedited remediation, (3) provides a more detailed description of the eligible costs for the redevelopment tax credits, and (4) sunsets the credit for real property taxes and the environmental remediated insurance credit for new participants.
- **Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year.** The pari-mutuel tax rate and other racing-related provisions are extended for one year.

STAR Program Actions (Net Financial Plan Impact)

| SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS | | | | |
|---|--------------|-----------|-----------|-----------|
| Net Financial Plan Impact (millions of dollars) | | | | |
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Eliminate Entirely the New York City PIT Rate Reduction Benefit for High-Income Taxpayers | 41 | 51 | 41 | 51 |
| Recoup Savings Retrospectively from Unlawfully Claimed Exemptions Removed During Reregistration Process | 1 | - | 1 | - |
| Allow Unenrolled Registrants to Receive the STAR Exemption Benefit for Tax Year 2014 | (1) | - | (1) | - |
| TOTAL SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS | 41 | 51 | 41 | 51 |

- Eliminate the New York City PIT Rate Reduction Benefit for High-Income Taxpayers.** New York City PIT STAR provides PIT relief to all New York City resident taxpayers – a benefit not directly related to property taxes. Even residents with incomes above \$500,000 get a rate reduction benefit on the first \$500,000 of income. The latter is the only instance of a household with income above \$500,000 receiving a STAR benefit. Eliminating the NYC PIT rate reduction benefit for incomes exceeding \$500,000 makes NYC PIT STAR more consistent with the STAR exemption program outside NYC.
- Recoup Savings Retrospectively from Unlawfully Claimed Exemptions Removed During Reregistration Process.** Allows DTF to recapture undeserved STAR benefits for years prior to 2014-15.
- Allow Unenrolled Registrants to Receive the STAR Exemption Benefit for Tax Year 2014.** Allows homeowners who registered for the STAR exemption program with the DTF, but failed to file timely exemption applications with their local assessors, to receive the STAR exemption benefit for tax year 2014.

Technical Corrections

| TECHNICAL CORRECTIONS | | | | |
|---|--------------|----------|-----------|----------|
| (millions of dollars) | | | | |
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Require Commercial Production Tax Credit Economic Impact Report | - | - | - | - |
| Amend the Corporate Tax Reform Statute for Technical Changes | - | 7 | - | 7 |
| Amend the Estate Tax Statute for Technical Changes | - | - | - | - |
| Technical Changes | - | - | - | - |
| TOTAL TECHNICAL CORRECTIONS | - | 7 | - | 7 |

- Require Commercial Production Tax Credit Economic Impact Report.** Empire State Development (ESD) is required to produce an annual report which includes information for assessing the economic impact of the program. Information such as the amount of the tax credit, credit-eligible man-hours and associated wages and the name of the taxpayer will be included in the annual report.
- Amend the Corporate Tax Reform Statute for Technical Changes.** (Part A of Chapter 59 of the Laws of 2014).

- **Amend the Estate Tax Statute for Technical Changes.** Clarifies that the 2015 Enacted Budget estate tax changes are permanent rather than only for tax year 2014.
- **Amend the PIT, MTA Mobility Tax, and Credit for Disabled Workers Statutes for Technical Changes.** Makes various technical corrections to PIT and MTA Mobility Tax legislation, and the credit for employing disabled persons that was passed as part of the 2015 Enacted Budget.

Gaming Initiatives

| GAMING INITIATIVES (millions of dollars) | | | | |
|--|--------------|---------|-----------|-----------|
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Extend the Video Lottery Gaming (VLG) Vendor's Capital Awards Program for One Year | - | - | - | - |
| Expand Electronic Gaming Offerings at Video Lottery Gaming (VLG) Facilities | - | - | 20 | 40 |
| Extend Monticello VLT Commission Rate for One Year | - | - | (2) | - |
| Extend NYRA Reorganization Board | - | - | - | - |
| Modify Multi-Jurisdictional Advanced Deposit Wagering (ADW) Distributions | - | - | - | - |
| Provide Funding for Jockey Insurance Compensation Fund | - | - | - | - |
| TOTAL GAMING INITIATIVES | - | - | 18 | 40 |

- **Extend the Video Lottery Gaming (VLG) Vendor's Capital Awards Program for One Year.** Provides VLG operators one additional year to earn qualifying capital awards, which encourage facility upgrades and improvements.
- **Expand Electronic Gaming Offerings at Video Lottery Gaming (VLG) Facilities.** The definition of "video lottery gaming" is expanded to include games where an element of skill and player interaction may be incorporated into games that determine winners to a material degree upon the element of chance.
- **Extend Monticello Video Lottery Terminal (VLT) Commission Rate for One Year.** The higher commission rate paid to the operators of the Monticello VLT facility is extended for one additional year.
- **Extend New York Racing Association (NYRA) Reorganization Board.** The maximum term of the NYRA Reorganization Board of Directors is extended from three years to four years.
- **Modify Multi-Jurisdictional Advanced Deposit Wagering (ADW) Distributions.** Multi-jurisdictional account wagering providers with in-state affiliates or contractual partners are required to pay the market origin fee instead of making distributions based on the requirements on the in-state affiliate. However, multi-jurisdictional account wagering providers with contracts entered into on or before January 1, 2014 may continue the current distribution policy through December 31, 2016.

- Provide Funding for Jockey Insurance Compensation Fund.** An additional one percent of purses, for a two-year period, is paid to the Jockey Injury Compensation Fund to cover the cost of workers' compensation insurance.

Fee Actions

| FEE ACTIONS (millions of dollars) | | | | |
|--|--------------|------------|-----------|-----------|
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Repeal 57 Nuisance Fees Charged by Various State Agencies ¹ | (0) | (0) | (3) | (3) |
| Align DEC Fees with Service Levels | - | - | 6 | 6 |
| Enhance Oil Spill Preparedness | - | - | 4 | 9 |
| TOTAL FEE ACTIONS | (0) | (0) | 7 | 12 |

¹With the exception of one fee, these repealed nuisance fees generated less than \$50,000 annually each. They are outlined in the table below.

- Repeal 57 Fees Charged by Various State Agencies.** The repeal of 57 fees reduces bureaucracy while maintaining functions that these fees supported, saving businesses and individuals across New York State approximately \$3 million annually. (Full list of repealed fees at the end of this section.)
- Align Department of Environmental Conservation (DEC) Fees with Service Levels.** Several DEC pollution control programs that were designed to be self-supporting were running in the red, even as DEC held spending for these programs flat. This adjustment better reflects the operational cost, and shifts the burden from State taxpayers to the regulated community while obviating reductions to these important programs. Specifically, this increases certain fees related to air regulation and enforcement under Federal and State statutes, and water pollution discharge.
- Enhance Oil Spill Preparedness.** In response to the increased volume of crude oil being transported through New York State, the fees for oil transported and transferred in the State are increased to 13.75 cents per barrel, if the oil continues on to other states. The lower rate is retained for any facility that transfers barrels for their own use and does not sell or transfer the product. These additional revenues ensure solvency of the oil spill fund, provide the necessary funding for staff and associated costs, and support compliance with Executive Order 125, which outlines steps the State is taking to improve oil spill response and prevention.

Multi-Year Financial Plan Projections



| REPEAL 57 NUISANCE FEES (thousands of dollars) | | | | |
|---|--------------|--------------|----------------|----------------|
| | General Fund | | All Funds | |
| | FY 2016 | FY 2017 | FY 2016 | FY 2017 |
| Department of Agriculture and Markets | (21) | (23) | (40) | (42) |
| Commercial Feed License Fee | (5) | (5) | (5) | (5) |
| Commercial Feed Tonnage Fee | - | - | - | - |
| Farm Product Dealer's License Fee | - | - | (9) | (9) |
| Food Salvage Fee | (3) | - | (3) | - |
| Liming Brands License Fee | (1) | (7) | (1) | (7) |
| Refrigerated Warehouse Fee | (8) | (1) | (8) | (1) |
| Rendering Transportation Fee | (2) | (2) | (2) | (2) |
| Rendering Plants Fee | (2) | (2) | (2) | (2) |
| Seed Potato Inspection Fee | - | - | (10) | (10) |
| Soil and Plant Inoculants Fee | - | (6) | - | (6) |
| Department of Environmental Conservation | (1) | (1) | (1) | (1) |
| Flood Control Permit Fee | (1) | (1) | (1) | (1) |
| Department of Labor | (101) | (101) | (243) | (243) |
| Antique Boiler Inspection Fees (2) | - | - | (2) | (2) |
| Boiler Certificate of Competency Fee | - | - | (10) | (10) |
| Boiler Shop Survey Fee | - | - | (7) | (7) |
| Building Plans Exam Fee | - | - | (1) | (1) |
| Commissary Operator Permit Fee | - | - | - | - |
| Day of Rest Easement Application Fee | - | - | (4) | (4) |
| Defense Dispensation Fee | - | - | - | - |
| Farm Grower Permit Fee | - | - | (9) | (9) |
| Farm Labor Contractor Permit Fee | - | - | (14) | (14) |
| Industrial Homework Certificates Employer Application Fee | - | - | - | - |
| Industrial Homework Certificates Homeworker Application Fee | - | - | (1) | (1) |
| Laser Regulation Permit Fee | (25) | (25) | (25) | (25) |
| Miniature Boiler Inspection Fee | - | - | - | - |
| Professional Employer Organization Exemption Fee | (11) | (11) | (11) | (11) |
| Professional Employer Organization Initial Registration and Renewal Fees (2) | (65) | (65) | (65) | (65) |
| Scaffold Plan Examination Fee | - | - | (28) | (28) |
| Ski Tows and Passenger Tramways Fees (2) | - | - | (19) | (19) |
| Slot Machine Repair License Fees (2) | - | - | - | - |
| Workplace Safety and Loss Certification Application Fee | - | - | (18) | (18) |
| Workplace Safety and Loss DOL Consultation Fee | - | - | - | - |
| Workplace Safety and Loss Incentive Application and Renewal Fees (2) | - | - | - | - |
| Workplace Safety and Loss Recertification Application Fee | - | - | (21) | (21) |
| Workplace Safety and Loss Specialist Certification and Recertification Fees (2) | - | - | (8) | (8) |
| Written Assurances Fee | - | - | - | - |
| Department of State | - | - | (2,579) | (2,579) |
| Apartment Information Vendor License Fee | - | - | (9) | (9) |
| Biennial Statement Filing Fee | - | - | (2,570) | (2,570) |
| Cease and Desist List License Fee | - | - | - | - |
| Department of Transportation | - | - | (40) | (40) |
| Intrastate Authority Application Fee | - | - | (40) | (40) |
| Workers' Compensation Board | (20) | (20) | (95) | (95) |
| Chiropractic Arbitration Request Fee | - | - | (4) | (4) |
| Claimant's Representative License Fee | (8) | (8) | (8) | (8) |
| Hospital Arbitration Request Fee | - | - | (1) | (1) |
| Medical Arbitration Request Fee | - | - | (43) | (43) |
| Medical Center Authorization License Fee | - | - | - | - |
| Medical / X-Ray Bureau Authorization License Fee | - | - | (10) | (10) |
| Podiatry Arbitration Request Fee | - | - | - | - |
| Psychology Arbitration Request Fee | - | - | (1) | (1) |
| Publication Fee | - | - | (1) | (1) |
| Self-insurer's Representative License Fee | (12) | (12) | (12) | (12) |
| Subpoena Fee | - | - | (13) | (13) |
| X-Ray Laboratory Authorization License Fee | - | - | (2) | (2) |
| TOTAL REPEAL 57 NUISANCE FEES | (143) | (145) | (2,998) | (3,000) |

Department of Agriculture and Markets: Ten fees are repealed, saving New Yorkers \$40,000 annually beginning in FY 2016.

- **Commercial Feed License Fee.** This \$100 license fee was collected annually from manufacturers of commercial feed.
- **Commercial Feed Tonnage Fee.** This \$0.10 per ton license fee, collected annually from commercial feed distributors, was amended to establish a minimum reporting requirement. Commercial distributors will be relieved from the nuisance of paying a small annual fee when handling commercial feed of 100 tons or less per year.
- **Farm Product Dealer's License Fee.** This \$20 license fee was collected annually from farm product dealers.
- **Food Salvage Fee.** This \$100 license fee was collected from food salvage dealers every two years.
- **Liming Brands License Fee.** This \$40 license fee was collected from suppliers of liming materials every two years.
- **Refrigerated Warehouse Fee.** This \$200 license fee was collected from refrigerated warehouses every two years.
- **Rendering Transportation Fee.** This license fee was collected from rendering (i.e. animal remains) transportation facilities on an annual basis. The fee amount was \$25 per service and \$10 per vehicle.
- **Rendering Plants Fee.** This license fee was collected from operators of disposal plants on an annual basis. The annual license fee for disposal plants was \$100, plus an inspection fee of \$10 for each vehicle. The annual license fee for transportation services was \$25, plus an inspection fee of \$10 for each vehicle.
- **Seed Potato Inspection Fee.** This fee of \$34 was collected hourly for the inspection of both seed potato plants and seed potato tubers for the NY Seed Improvement Project.
- **Soil and Plant Inoculants Fee.** This \$20 license fee was collected every two years for the purpose of selling product brands which contain microorganisms for inoculation purposes.

Department of Environmental Conservation (DEC): One fee is repealed, saving New Yorkers \$1,000 annually beginning in FY 2016.

- **Flood Control Permit Fee.** This regulatory fee of \$25 was levied upon application for a permit to determine if the proposed activity will impair the operation of flood control works or impede the maintenance thereof, including access for operation and maintenance.

Department of Labor (DOL): A total of 30 fees are repealed, saving New Yorkers \$243,000 annually beginning in FY 2016.

- **Antique Boiler Inspection Fees (2).** These \$25 fees were charged to the owners of antique steam engines and other boilers which must be inspected both internally and externally on an annual basis.
- **Boiler Certificate of Competency Fee.** This \$35 regulatory fee was charged annually to inspectors working for authorized insurance companies, to obtain a certificate indicating their ability to inspect boilers on behalf of DOL.
- **Boiler Shop Survey Fee.** This regulatory fee of \$600 was charged every three years to boiler manufacturers and repairers, upon submission to DOL of the required plan detailing their quality control system.
- **Building Plans Exam Fee.** This \$200 fee was charged to property owners or contractors who submit plans to DOL for review and approval to build or alter a place of public assembly. The fee is based on the cost of the project, with a maximum charge of \$200.
- **Commissary Operator Permit Fee.** This \$40 fee was charged to commissary operators who apply for a one-year permit to operate a commissary that serves migrant farm workers at the camp or processing plant where they are employed.
- **Day of Rest Easement Application Fee.** This \$40 fee was charged to employers that apply for up to a one-year exemption from the day of rest statute, which requires employees be given one 24-hour period off from work in each calendar week.
- **Defense Dispensation Fee.** This \$40 fee was charged to defense contractors and canneries that apply for a dispensation from certain provisions of the Labor Law.
- **Farm Grower Permit Fee.** This \$40 fee was charged to agriculture growers who apply for a one-year permit which allows the grower to employ more than five non-H2A migrant farm workers.
- **Farm Labor Contractor Permit Fee.** This \$200 fee was charged to farm labor contractors that apply for a one-year permit to transport and provide non-H2A migrant farm workers to a grower.

- **Industrial Homework Certificates Employer Application Fee.** This \$100 fee was charged to manufacturers who apply for a one-year permit that allows the manufacturer to distribute unfinished material, such as clothing or jewelry, to employees for completion at their homes.
- **Industrial Homework Certificates Homeworker Application Fee.** This \$25 fee was charged to individuals who apply for a one-year permit which enables the individual to accept and perform industrial work in their homes.
- **Laser Regulation Permit Fee.** This regulatory fee of \$600 was charged every three years to organizations that operate lasers, upon registration of mobile lasers and laser installations with DOL.
- **Miniature Boiler Inspection Fee.** This \$50 fee was charged to the owners of miniature boilers which must be inspected annually.
- **Professional Employer Organization Exemption Fee.** This \$250 fee was charged annually to professional employer organizations that are already licensed in another state. The organization must have no office and less than 25 employees in New York to qualify for this exemption.
- **Professional Employer Organization Initial Registration and Renewal Fees (2).** This \$1,000 initial registration fee was charged to professional employer organizations to allow the leasing of employees to businesses in New York. An additional \$500 renewal fee was charged annually.
- **Scaffold Plan Examination Fee.** This regulatory fee of \$1,000 was charged to businesses for each required submission of detailed plans for scaffold equipment that will be used to perform work on buildings taller than 75 feet. DOL reviews the plans to ensure the safety of the equipment.
- **Ski Tows and Passenger Tramways Fees (2).** These \$50 (ski tow) and \$100 (ski lift) regulatory fees were charged to ski areas and other passenger tramway operators at the time of the annual DOL inspection.
- **Slot Machine Repair License Fees (2).** These fees (\$500 per machine and an additional \$100 fee per trainee) were charged every six months to approved apprenticeship training programs that possess slot machines for training purposes.
- **Workplace Safety and Loss Certification Application Fee.** This \$1,000 fee was charged to individuals who apply and are certified to conduct workplace safety and loss prevention consultations. Fees are lower when multiple individuals apply.
- **Workplace Safety and Loss DOL Consultation Fee.** This \$350 fee was charged to clients who receive a workplace safety and loss prevention consultation from DOL staff.

- **Workplace Safety and Loss Incentive Application and Renewal Fees (2).** A regulatory fee of \$100 was charged to individuals or businesses who apply for certification as a workplace safety and loss prevention management specialist, and work with employers to reduce workers' compensation costs by implementing safety and health, return to work, and/or drug and alcohol prevention programs. The fee is assessed per program for a maximum of \$300 per individual applicant. A \$100 renewal application fee is charged per program every three years for a maximum of \$300.
- **Workplace Safety and Loss Recertification Application Fee.** This regulatory recertification fee of \$300 was charged every three years to individuals certified to conduct workplace safety and loss prevention consultations. Fees are lower when multiple individuals apply.
- **Workplace Safety and Loss Specialist Certification and Recertification Fees (2).** An \$800 fee was charged to individuals who receive certification as a workplace safety and loss prevention management specialist. A \$600 recertification fee was charged every three years, with \$100 charged for each additional recertification.
- **Written Assurances Fee.** This \$100 fee was charged to apparel industry manufacturers or contractors when they apply for a one-year written assurance from DOL that a subcontractor has complied with certain requirements of the Labor Law.

Department of State (DOS): Three fees are repealed, saving New Yorkers \$2.6 million annually beginning in FY 2016.

- **Apartment Information Vendor License Fee.** This \$400 annual fee was charged for the licensure of apartment information vendors.
- **Biennial Statement Filing Fee.** This \$9 filing fee was collected at the time of filing biennial statement amendments.
- **Cease and Desist List License Fee.** This \$10 regulatory fee was collected from persons who wish to be added to the Cease and Desist List, which prohibits real estate licensees from contacting or soliciting them.

Department of Transportation (DOT): One fee is repealed, saving New Yorkers \$40,000 annually beginning in FY 2016.

- **Intrastate Authority Application Fee.** This one-time \$50 fee was charged to new trucking companies, movers, ambulette providers and charter bus operators when applying for certificates or permits.

Workers' Compensation Board (WCB): A total of 12 fees are repealed, saving New Yorkers \$95,000 annually beginning in FY 2016.

- **Chiropractic Arbitration Request Fee.** This \$5 fee was charged to service providers related to any chiropractic dispute or arbitration of a bill handled by the Board.
- **Claimant's Representative License Fee.** This \$100 fee was charged to individuals who are licensed with the WCB and represent claimants before the Board.
- **Hospital Arbitration Request Fee.** This \$5 fee was charged to service providers related to any hospital dispute or arbitration of a bill handled by the Board.
- **Medical Arbitration Request Fee.** This \$5 fee was charged to service providers for any medical dispute or arbitration of a bill handled by the Board.
- **Medical Center Authorization License Fee.** This \$200 license fee was charged to compensation medical bureaus operated by qualified physicians, for the diagnosis and treatment of industrial injuries or illnesses.
- **Medical/X-Ray Bureau Authorization License Fee.** This \$200 license fee was charged to compensation medical bureaus operated by qualified physicians, for the diagnosis and treatment of industrial injuries or illnesses.
- **Podiatry Arbitration Request Fee.** This \$5 fee was charged to service providers related to any podiatry dispute or arbitration of a bill handled by the Board.
- **Psychology Arbitration Request Fee.** This \$5 fee was charged to service providers related to any psychology dispute or arbitration of a bill handled by the Board.
- **Publication Fee.** This \$5 regulatory fee was charged for purchasing Board publications.
- **Self-Insurer's Representative License Fee.** This \$100 license fee was charged to a third party administrator and self-insured employer. These entities represent employers at Board hearings.
- **Subpoena Fee.** This \$15 regulatory fee was charged for subpoenas to obtain Board documents.
- **X-Ray Laboratory Authorization License Fee.** This \$5 license fee was charged to a separate laboratory to engage in X-ray diagnosis or treatment of an injured worker.

Disbursements

Total disbursements in FY 2016 are estimated at \$72 billion in the State's General Fund (including transfers) and \$94.3 billion in total State Operating Funds. Medicaid, education, pension costs, employee and retiree health benefits are significant drivers of annual spending growth. Over the multi-year Financial Plan, State Operating Funds spending projections assume Medicaid and School Aid will grow at their statutorily-indexed rates, with the exception of FY 2016 where the Enacted Budget increased School Aid by 6.1 percent on a school year basis, in excess of the indexed rate of 1.7 percent. The Enacted Budgets in FY 2014 and FY 2015 also approved increases for School Aid above the indexed rate.

The multi-year disbursements projections take into account various factors, including agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$63.3 billion in FY 2016 and accounts for two-thirds of total State Operating Funds spending. Education and health care spending account for nearly two-thirds of local assistance spending.

Certain major factors considered in preparing the spending projections for the State’s major local assistance programs and activities are summarized in the following table.

| FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES | | | | | |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| (millions of dollars) | | | | | |
| | FY 2015 Results | FY 2016 Enacted | Forecast | | |
| | | | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
| MEDICAID | | | | | |
| Individuals Covered | 6,170,304 | 6,356,115 | 6,401,031 | 6,423,489 | 6,434,718 |
| - Child Health Plus (Caseload) | 278,168 | 295,400 | 304,200 | 313,300 | 322,700 |
| State Takeover of County/NYC Costs | \$1,701 | \$2,031 | \$2,360 | \$2,680 | \$2,989 |
| - Family Health Plus | \$147 | \$0 | \$0 | \$0 | \$0 |
| - Medicaid | \$1,554 | \$2,031 | \$2,360 | \$2,680 | \$2,989 |
| EDUCATION | | | | | |
| SY School Aid (Funding) | \$22,150 | \$23,502 | \$24,439 | \$25,559 | 26,909 |
| HIGHER EDUCATION | | | | | |
| Public Higher Education Enrollment (FTEs) | 569,200 | 569,300 | 569,400 | 569,400 | 569,400 |
| Tuition Assistance Program (Recipients) | 302,398 | 302,669 | 302,669 | 302,669 | 302,669 |
| PUBLIC ASSISTANCE | | | | | |
| Family Assistance Program (Caseload) | 247,629 | 237,675 | 230,690 | 225,303 | 220,501 |
| Safety Net Program (Families) | 114,643 | 109,098 | 105,340 | 102,501 | 99,995 |
| Safety Net Program (Singles) | 195,108 | 193,661 | 192,374 | 191,526 | 191,116 |
| Total Mental Hygiene Community Beds | 96,414 | 99,283 | 102,834 | 104,991 | 106,616 |
| - OMH Community Beds | 40,766 | 42,989 | 46,141 | 47,883 | 49,157 |
| - OPWDD Community Beds | 41,966 | 42,532 | 42,890 | 43,199 | 43,530 |
| - OASAS Community Beds | 13,682 | 13,762 | 13,803 | 13,909 | 13,929 |
| PRISON POPULATION (CORRECTIONS) | 52,854 | 52,800 | 52,800 | 52,800 | 52,800 |

Education

School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year Basis (July 1 – June 30)

School Aid is expected to total \$23.5 billion in school year (SY) 2016, an increase of \$1.4 billion (6.1 percent) from SY 2015. This increase is provided largely through \$1 billion of additional general operating support, consisting of a \$603 million restoration in the Gap Elimination Adjustment (GEA) and a \$428 million increase in Foundation Aid. Another \$274 million supports increased reimbursement in expense-based aid programs [(e.g., transportation, Boards of Cooperative Educational Services (BOCES), school construction)] and other miscellaneous aid categories. The increase also includes \$47 million of funding for new competitive grants, led by \$30 million for prekindergarten for three- and four-year-old children.

In addition, the Enacted Budget Financial Plan provides \$75 million to help transform persistently failing schools. The Budget also continues to provide \$340 million of recurring annual funding to support Statewide Universal Full-Day Prekindergarten programs in order to incentivize and fund state-of-the-art programs and encourage creativity through competition.

Finally, the Enacted Budget maintains the two-year appropriation that continues Education Law provisions. School Aid is projected to increase by an additional \$937 million (4 percent) in SY 2017.

| SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30) | | | | | | | | | |
|---|---------|---------|---------------|---------|-------------|---------|---------------|---------|---------------|
| (millions of dollars) | | | | | | | | | |
| | SY 2015 | SY 2016 | Change | SY 2017 | Change | SY 2018 | Change | SY 2019 | Change |
| Total | 22,150 | 23,502 | 1,352 6.1% | 24,439 | 937 4.0% | 25,559 | 1,120 4.6% | 26,909 | 1,350 5.3% |

School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs or the Persistently Failing Schools Transformation Grants.

State Fiscal Year Basis

The State finances School Aid from General Fund and Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels on a State fiscal year basis.

| SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars) | | | | | | | | | |
|---|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 21,630 | 23,378 | 8.1% | 24,591 | 5.2% | 25,686 | 4.5% | 26,966 | 5.0% |
| General Fund Local Assistance | 18,290 | 20,072 | 9.7% | 21,414 | 6.7% | 22,378 | 4.5% | 23,664 | 5.7% |
| General Fund Local Aid Guarantee | 72 | 0 | -100.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Core Lottery Aid | 2,265 | 2,219 | -2.0% | 2,181 | -1.7% | 2,173 | -0.4% | 2,167 | -0.3% |
| VLT Lottery Aid | 951 | 950 | -0.1% | 976 | 2.7% | 902 | -7.6% | 902 | 0.0% |
| Commercial Gaming - VLT Offset | 0 | 0 | 0.0% | 0 | 0.0% | 53 | 0.0% | 53 | 0.0% |
| Commercial Gaming | 0 | 137 | 0.0% | 20 | -85.4% | 180 | 800.0% | 180 | 0.0% |
| Prior Year Resources | 52 | 0 | -100.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |

State fiscal year spending for School Aid is projected to total \$23.4 billion in FY 2016. In future years, receipts available to finance this category of aid from core lottery sales are projected to decline. In addition to State aid, school districts receive over \$3 billion annually in Federal aid.

It is expected that School Aid spending will be supplemented by commercial gaming revenues, beginning in FY 2016. Three casino resorts were recommended by the State's Gaming Facility Location Board (the "Board") in December 2014, and a fourth casino is presently under consideration by the Board. It was previously anticipated that the State's receipt of \$160 million in one-time casino licensing fees would be used to supplement School Aid in FY 2016, and casinos would be fully operational by FY 2017. Due to differences in timing and applicants chosen by the Board, the State now expects \$137 million from one-time licensing fees to supplement School Aid in FY 2016, and it is expected that the casinos will be fully operational by FY 2018.

Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

The State provides a full range of special education services to approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school lunch and breakfast program, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses for the major cultural institutions of the State Archives, the State Library, and the State Museum as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of postsecondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a “one-stop” source for all their employment needs, and are made aware of the full range of services available in other agencies.

| OTHER EDUCATION (millions of dollars) | | | | | | | | | |
|--|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 2,081 | 2,200 | 5.7% | 2,292 | 4.2% | 2,422 | 5.7% | 2,564 | 5.9% |
| Special Education | 1,451 | 1,470 | 1.3% | 1,559 | 6.1% | 1,673 | 7.3% | 1,795 | 7.3% |
| All Other Education | 630 | 730 | 15.9% | 733 | 0.4% | 749 | 2.2% | 769 | 2.7% |

The increase in other education spending for FY 2016 relative to FY 2015 is driven primarily by increases in expense-based reimbursements, one-time costs associated with targeted aid and grants, and increases to supplemental State charter school payments. Outyear growth is primarily driven by supplemental State charter school payments.

STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner’s property value from the local school tax levy. Lower-income senior citizens will receive a \$65,300 exemption in FY 2016. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares in FY 2016 are: the basic school property tax exemption for homeowners with income under \$500,000 (54 percent); the enhanced school property tax exemption for senior citizen homeowners with incomes under \$83,300 (28 percent); and a flat refundable credit and rate reduction for income-eligible resident New York City personal income taxpayers (18 percent).

Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues. The annual increase in a qualifying homeowner’s STAR exemption benefit is currently limited to 2 percent. New York City personal income taxpayers with annual incomes over \$500,000 are not eligible starting in FY 2016.

| SCHOOL TAX RELIEF (STAR) | | | | | | | | | |
|------------------------------------|--------------|--------------|-------------|--------------|-------------|--------------|-------------|--------------|-------------|
| (millions of dollars) | | | | | | | | | |
| | FY 2015 | FY 2016 | | FY 2017 | | FY 2018 | | FY 2019 | |
| | Results | Enacted | Change | Projected | Change | Projected | Change | Projected | Change |
| TOTAL STATE OPERATING FUNDS | 3,297 | 3,382 | 2.6% | 3,468 | 2.5% | 3,510 | 1.2% | 3,552 | 1.2% |
| Basic Exemption | 1,739 | 1,816 | 4.4% | 1,889 | 4.0% | 1,900 | 0.6% | 1,908 | 0.4% |
| Enhanced (Seniors) | 931 | 948 | 1.8% | 967 | 2.0% | 991 | 2.5% | 1,013 | 2.2% |
| New York City PIT | 627 | 618 | -1.4% | 612 | -1.0% | 619 | 1.1% | 631 | 1.9% |

The spending growth is primarily a reflection of the number of STAR exemption recipients who are expected to participate in the program, including reregistration of qualified individuals. This growth is partially offset by an enacted restriction in the New York City PIT rate reduction to residents with incomes lower than \$500,000.

Higher Education

Local assistance for higher education spending includes funding for the City University of New York (CUNY), SUNY, and the Higher Education Services Corporation (HESC).

The State funds CUNY’s senior college operations, and works in conjunction with New York City to support CUNY’s community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses. The State also provides a sizeable benefit to CUNY and SUNY through the debt service it pays on bond-financed capital projects at the universities. State debt service payments for capital projects at SUNY and CUNY are expected to total about \$1.2 billion in FY 2016 (this is not reflected in the annual spending totals for the universities). HESC administers TAP, which provides financial awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal government.

| HIGHER EDUCATION (millions of dollars) | | | | | | | | | |
|---|--------------------|--------------------|---------------|----------------------|--------------|----------------------|-------------|----------------------|--------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 3,092 | 2,991 | -3.3% | 3,037 | 1.5% | 3,097 | 2.0% | 3,157 | 1.9% |
| City University | 1,395 | 1,426 | 2.2% | 1,424 | -0.1% | 1,453 | 2.0% | 1,497 | 3.0% |
| Senior Colleges | 1,172 | 1,194 | 1.9% | 1,194 | 0.0% | 1,223 | 2.4% | 1,267 | 3.6% |
| Community College | 223 | 232 | 4.0% | 230 | -0.9% | 230 | 0.0% | 230 | 0.0% |
| Higher Education Services | 1,210 | 1,062 | -12.2% | 1,111 | 4.6% | 1,142 | 2.8% | 1,159 | 1.5% |
| Tuition Assistance Program | 1,159 | 990 | -14.6% | 1,003 | 1.3% | 1,006 | 0.3% | 1,006 | 0.0% |
| Scholarships/Awards | 39 | 60 | 53.8% | 96 | 60.0% | 124 | 29.2% | 141 | 13.7% |
| Aid for Part-Time Study | 12 | 12 | 0.0% | 12 | 0.0% | 12 | 0.0% | 12 | 0.0% |
| State University | 487 | 503 | 3.3% | 502 | -0.2% | 502 | 0.0% | 501 | -0.2% |
| Community College | 483 | 498 | 3.1% | 497 | -0.2% | 497 | 0.0% | 496 | -0.2% |
| Other/Cornell | 4 | 5 | 25.0% | 5 | 0.0% | 5 | 0.0% | 5 | 0.0% |

Annual growth by CUNY across the multi-year Financial Plan reflects the net impact of one-time performance incentive funding in FY 2016, additional base operating support at community colleges, and fringe benefit cost increases at senior colleges. HESC spending is projected to decline slightly from FY 2015 to FY 2016, reflecting an accelerated payment of TAP costs. Growth in the outyears is primarily driven by a combination of newly enacted initiatives such as the Get On Your Feet loan forgiveness program, ongoing implementation of a scholarship for Science, Technology, Engineering and Math (STEM) included in the FY 2015 Enacted Budget, and additional TAP costs associated with projected community college tuition increases. Growth in SUNY local assistance primarily reflects additional base operating support at community colleges.

Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The State DOH works with local health departments and social services departments, including in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve 25 percent reduction in avoidable hospital use over five years. The FY 2016 Enacted Budget Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion through FY 2019, with the remaining funds expected to be disbursed beyond the current planning period. A portion of this funding, which has yet to be allocated through the statewide awarding process, is expected to flow through the SUNY hospital system.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments. Eligible services³ include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. The FY 2016 Enacted Budget Financial Plan reflects the continuation of the Medicaid spending cap through FY 2017, and the project assumes that statutory authority will be extended in subsequent years. Allowable growth under the cap for medical services is 3.6 percent for FY 2016. Reflecting projected CPI reductions, DOB currently forecasts allowable cap growth at 3.4 percent in FY 2017; 3.2 percent in FY 2018; and 3 percent in FY 2019. Certain administrative costs and changes in the Federal or local shares are not subject to this index.

³ The FY 2014 Enacted Budget eliminated the Family Health Plus (FHP) program effective January 1, 2015. The majority of the population previously receiving health care benefits through FHP have begun receiving more robust health care benefits through the Medicaid program, resulting from new Medicaid eligibility thresholds and increased Federal payments resulting from the ACA. The remainder of the previous FHP population, those above Medicaid levels, are eligible for Federal tax credits in the NYSOH insurance benefit exchange and a majority will become eligible for the BHP.

Multi-Year Financial Plan Projections



| MEDICAID GLOBAL CAP FORECAST (millions of dollars) | | | | | |
|---|----------------------|---------------|---------------|---------------|---------------|
| | FY 2015 ² | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| Global Medicaid Cap¹ | 16,507 | 17,104 | 17,692 | 18,259 | 18,812 |
| Annual % Change | | 3.6% | 3.4% | 3.2% | 3.0% |

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.
² FY 2015 is a projection, and is not based on actuals.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid Administration, as well as increased Federal financial participation that became effective in January 2014 under the provisions of the ACA. State share Medicaid spending also appears in the Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, and education aid.

| TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars) | | | | | |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
| Department of Health Medicaid | <u>16,953</u> | <u>17,570</u> | <u>17,868</u> | <u>18,612</u> | <u>19,330</u> |
| Local Assistance | 16,790 | 17,280 | 17,560 | 18,316 | 19,036 |
| State Operations ² | 163 | 290 | 308 | 296 | 294 |
| Other State Agency Medicaid Spending | <u>5,048</u> | <u>4,974</u> | <u>4,856</u> | <u>5,289</u> | <u>5,535</u> |
| Mental Hygiene | 4,919 | 4,837 | 4,718 | 5,149 | 5,396 |
| Foster Care | 86 | 87 | 88 | 90 | 89 |
| Education | 43 | 50 | 50 | 50 | 50 |
| Total State Share Medicaid (All Agencies) | 22,001 | 22,544 | 22,724 | 23,901 | 24,865 |
| Annual \$ Change | | 543 | 180 | 1,177 | 964 |
| Annual % Change | | 2.5% | 0.8% | 5.2% | 4.0% |
| Basic Health Plan³ | 0 | 170 | 643 | 649 | 666 |

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; and increased Federal Financial Participation that became effective in January 2014.
² Beginning in FY 2014 the Office of Health Insurance Programs was transferred to Medicaid from Public Health as part of the five-year phase-in initiative of the State to assume local administrative functions.
³ The BHP is not a Medicaid program; however, State-funded resources for the BHP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources and provider assessment revenue. The following table provides information on the financing sources for State Medicaid spending (more information on HCRA can be found in the section entitled "HCRA Financial Plan").

| DEPARTMENT OF HEALTH MEDICAID ^{1,2} | | | | | | | | | |
|--|-----------------|-----------------|-------------|-------------------|-------------|-------------------|-------------|-------------------|-------------|
| (millions of dollars) | | | | | | | | | |
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| STATE OPERATING FUNDS | 16,953 | 17,740 | 4.6% | 18,511 | 4.3% | 19,261 | 4.1% | 19,996 | 3.8% |
| General Fund - DOH Medicaid Local | 11,676 | 12,095 | 3.6% | 12,292 | 1.6% | 13,001 | 5.8% | 13,723 | 5.6% |
| DOH Medicaid | 10,961 | 11,246 | 2.6% | 11,249 | 0.0% | 12,163 | 8.1% | 12,883 | 5.9% |
| Mental Hygiene Stabilization Fund ³ | 715 | 849 | 18.7% | 1,043 | 22.9% | 838 | -19.7% | 840 | 0.2% |
| General Fund - DOH Medicaid State Ops ⁴ | 163 | 290 | 77.9% | 308 | 6.2% | 296 | -3.9% | 294 | -0.7% |
| General Fund - Basic Health Plan | 0 | 170 | 0.0% | 643 | 278.2% | 649 | 0.9% | 666 | 2.6% |
| Local Assistance | 0 | 134 | 0.0% | 601 | 348.5% | 620 | 3.2% | 639 | 3.1% |
| State Operations | 0 | 36 | 0.0% | 42 | 16.7% | 29 | -31.0% | 27 | -6.9% |
| Other State Funds - DOH Medicaid Local | 5,114 | 5,185 | 1.4% | 5,268 | 1.6% | 5,315 | 0.9% | 5,313 | 0.0% |
| HCRA Financing | 3,518 | 3,601 | 2.4% | 3,684 | 2.3% | 3,731 | 1.3% | 3,729 | -0.1% |
| Indigent Care Support | 804 | 792 | -1.5% | 792 | 0.0% | 792 | 0.0% | 792 | 0.0% |
| Provider Assessment/Other Revenue | 792 | 792 | 0.0% | 792 | 0.0% | 792 | 0.0% | 792 | 0.0% |

¹ The BHP is not a Medicaid program; however, State funded resources for BHP are managed under the Medicaid Global Cap.
² Does not include Medicaid spending in other State agencies, transfers, or the local government share of total Medicaid program spending.
³ The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
⁴ Includes operating costs of the New York State of Health Exchange which are funded by DOH within the Medicaid Global Cap.

Since FY 2014, certain OPWDD-related Medicaid costs have been financed within available resources under the Global Cap to alleviate the financial impact of reduced Federal revenue associated with the reimbursement of Medicaid costs at State-operated facilities providing developmental disability services. The Enacted Budget includes \$200 million in Financial Plan savings, a portion of which are associated with additional OPWDD-related Medicaid costs which will be funded under the cap. These additional costs will be funded primarily from additional State-funded Medicaid savings which are expected to accrue to the Global Cap in FY 2016 as a result of accelerating the enrollment of certain legally residing immigrants who currently receive State-only Medicaid funding to the BHP. The cost of insurance premiums for such individuals, and other individuals meeting certain income eligibility standards, will be supplemented by both State and Federal funds. These BHP resources will also be used by DOH over the Financial Plan period to support the Federal MRT waiver and to implement investments and initiatives consistent with MRT principles for improving the State's effectiveness and efficiency of health care service delivery.

Fluctuation in enrollment, the costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients in the State is expected to exceed 6.1 million by the end of FY 2015; this represents an 8.9 percent increase from March 2014 caseload of 5.7 million. This expected growth is mainly attributable to expanded eligibility and enrollment pursuant to the ACA, which became effective in January 2014 and therefore is largely federally funded.

Basic Health Plan

The BHP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State’s option to participate in the BHP. The FY 2016 Enacted Budget assumes the State will accelerate the phase-in of certain legally residing immigrants currently receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the BHP will be enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. When fully implemented, approximately 75 percent of program expenditures are expected to be paid by the Federal government. The State funding for BHP in the FY 2016 Enacted Budget is offset by State funds Medicaid program savings associated with BHP, and additional Federal Funds are recognized through the duration of the planning period.

| BASIC HEALTH PLAN (millions of dollars) | | | | | | | | | |
|--|--------------------|--------------------|-------------|----------------------|---------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL ALL FUNDS SPENDING | 0 | 1,679 | 0.0% | 2,660 | 58.4% | 2,730 | 2.6% | 2,810 | 2.9% |
| State Operating Funds | 0 | 170 | 0.0% | 643 | 278.2% | 649 | 0.9% | 666 | 2.6% |
| Local Assistance | 0 | 134 | 0.0% | 601 | 348.5% | 620 | 3.2% | 639 | 3.1% |
| State Operations | 0 | 36 | 0.0% | 42 | 16.7% | 29 | -31.0% | 27 | -6.9% |
| Federal Operating Funds | 0 | 1,509 | 0.0% | 2,017 | 33.7% | 2,081 | 3.2% | 2,144 | 3.0% |

Public Health/Aging Programs

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families up to the age of 19, the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services, the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors, and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. The Office primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

| PUBLIC HEALTH AND AGING (millions of dollars) | | | | | | | | | |
|--|--------------------|--------------------|--------------|----------------------|--------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 1,841 | 1,792 | -2.7% | 1,753 | -2.2% | 1,787 | 1.9% | 1,816 | 1.6% |
| Public Health | 1,719 | 1,661 | -3.4% | 1,622 | -2.3% | 1,651 | 1.8% | 1,674 | 1.4% |
| Child Health Plus | 408 | 356 | -12.7% | 295 | -17.1% | 310 | 5.1% | 326 | 5.2% |
| General Public Health Works | 192 | 184 | -4.2% | 192 | 4.3% | 194 | 1.0% | 196 | 1.0% |
| EPIC | 123 | 126 | 2.4% | 132 | 4.8% | 133 | 0.8% | 128 | -3.8% |
| Early Intervention | 165 | 159 | -3.6% | 159 | 0.0% | 159 | 0.0% | 159 | 0.0% |
| HCRA Program | 441 | 435 | -1.4% | 433 | -0.5% | 433 | 0.0% | 433 | 0.0% |
| All Other | 390 | 401 | 2.8% | 411 | 2.5% | 422 | 2.7% | 432 | 2.4% |
| Aging | 122 | 131 | 7.4% | 131 | 0.0% | 136 | 3.8% | 142 | 4.4% |

Forecasted CHP spending has been revised downward in all years to reflect the actual spending and enrollment trends to date. State funds spending for CHP is projected to decline on an annual basis from FY 2015 levels, as a result of increased Federal funding associated with the ACA.

FY 2016 GPHW spending reflects a downward trend in reimbursement claims submitted by local governments. Annual program spending is projected to remain at moderate levels throughout the Financial Plan period.

EPIC program spending has been revised upward to reflect increased pharmaceutical costs which impact Medicare Part D premium payment estimates.

Reductions in EI from FY 2015 are based on claiming projections.

HCRA program spending from FY 2016 to FY 2019 is expected to remain relatively constant.

HCRA Financial Plan

HCRA was established in 1996 to help finance a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including FHP and CHP. HCRA has also provided additional financing for the health care industry, including investments in worker recruitment and retention, and the Doctor’s Across New York program. HCRA authorization has been extended through FY 2017, pursuant to legislation included in the FY 2015 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of DOH Medicaid, as well as CHP, the NYSOH, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments, which provide funding to hospitals serving a disproportionate share of individuals without health insurance.

| HCRA FINANCIAL PLAN FY 2015 THROUGH FY 2019 | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|
| (millions of dollars) | | | | | |
| | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| | Results | Enacted | Projected | Projected | Projected |
| OPENING BALANCE | 9 | 14 | 0 | 0 | 0 |
| TOTAL RECEIPTS | 5,457 | 5,505 | 5,528 | 5,551 | 5,582 |
| Surcharges | 2,949 | 3,006 | 3,054 | 3,159 | 3,222 |
| Covered Lives Assessment | 1,075 | 1,110 | 1,110 | 1,045 | 1,045 |
| Cigarette Tax Revenue | 958 | 911 | 873 | 843 | 814 |
| Hospital Assessments | 384 | 391 | 408 | 424 | 424 |
| NYC Cigarette Tax Transfer/Other | 91 | 87 | 83 | 80 | 77 |
| TOTAL DISBURSEMENTS | 5,452 | 5,519 | 5,528 | 5,551 | 5,582 |
| Medicaid Assistance Account | 3,518 | 3,601 | 3,684 | 3,731 | 3,730 |
| Medicaid Costs | 3,010 | 3,404 | 3,487 | 3,534 | 3,533 |
| Family Health Plus | 311 | 0 | 0 | 0 | 0 |
| Workforce Recruitment & Retention | 197 | 197 | 197 | 197 | 197 |
| Hospital Indigent Care | 804 | 792 | 792 | 792 | 792 |
| HCRA Program Account | 452 | 448 | 446 | 446 | 446 |
| Child Health Plus | 411 | 361 | 300 | 315 | 332 |
| Elderly Pharmaceutical Insurance Coverage | 134 | 138 | 144 | 145 | 140 |
| SHIN-NY/APCD | 31 | 55 | 40 | 0 | 0 |
| All Other | 102 | 124 | 122 | 122 | 142 |
| ANNUAL OPERATING SURPLUS/(DEFICIT) | 5 | (14) | 0 | 0 | 0 |
| CLOSING BALANCE | 14 | 0 | 0 | 0 | 0 |

HCRA surcharge and hospital assessment revenue in the Enacted Budget Financial Plan is forecast to align anticipated revenue collections with recent patterns which reflect the impact of MRT initiatives to improve the cost efficiency of health care service delivery settings. The level of growth forecasted in surcharge and hospital assessments is primarily attributable to expanded health insurance coverage through the ACA, and an expectation for a higher volume of health care services being provided throughout the State. The health care industry assessment revenue growth is partly offset by projected declines in cigarette tax collections due to declining tobacco consumption, resulting in total HCRA receipts growth of nearly 1 percent on an average annual basis through FY 2019.

HCRA spending is expected to increase by \$67 million in FY 2016 to total \$5.5 billion. The most significant areas of growth include additional financing of the State share of Medicaid costs, and increased capital costs associated with the Statewide Health Information Network for New York (SHIN-NY), which is expected to improve information capabilities and increase efficiency associated with health insurance claiming. HCRA spending growth in FY 2016 is partially offset by a lower spending forecast for CHP, driven by moderating enrollment and increased Federal funding under the ACA.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to finance Medicaid costs that would otherwise be paid from the General Fund.

Mental Hygiene

The Department of Mental Hygiene is comprised of the OPWDD, OMH, OASAS, the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs. Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their patients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

| MENTAL HYGIENE (millions of dollars) | | | | | | | | | |
|--|--------------------|--------------------|---------------|----------------------|---------------|----------------------|--------------|----------------------|--------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 2,923 | 2,718 | -7.0% | 2,860 | 5.2% | 3,305 | 15.6% | 3,497 | 5.8% |
| People with Developmental Disabilities | 1,462 | 1,212 | -17.1% | 1,274 | 5.1% | 1,590 | 24.8% | 1,712 | 7.7% |
| OPWDD Funding¹ | 2,177 | 2,350 | 7.9% | 2,317 | -1.4% | 2,428 | 4.8% | 2,552 | 5.1% |
| Residential Services | 1,454 | 1,571 | 8.0% | 1,549 | -1.4% | 1,623 | 4.8% | 1,707 | 5.2% |
| Day Programs | 633 | 684 | 8.1% | 675 | -1.3% | 707 | 4.7% | 743 | 5.1% |
| Clinic | 21 | 23 | 9.5% | 22 | -4.3% | 23 | 4.5% | 24 | 4.3% |
| All Other Local/Resources | 69 | 72 | 4.3% | 71 | -1.4% | 75 | 5.6% | 78 | 4.0% |
| Other Funding Resources | (715) | (1,138) | -59.2% | (1,043) | 8.3% | (838) | 19.7% | (840) | -0.2% |
| Mental Hygiene Stabilization Fund | (715) | (849) | -18.7% | (1,043) | -22.9% | (838) | 19.7% | (840) | -0.2% |
| Federal BIP Resources (Federal Funds) | 0 | (289) | 0.0% | 0 | 100.0% | 0 | 0.0% | 0 | 0.0% |
| Mental Health | 1,157 | 1,184 | 2.3% | 1,250 | 5.6% | 1,366 | 9.3% | 1,424 | 4.2% |
| OMH Funding¹ | 1,157 | 1,218 | 5.3% | 1,250 | 2.6% | 1,366 | 9.3% | 1,424 | 4.2% |
| Adult Local Services | 938 | 987 | 5.2% | 1,013 | 2.6% | 1,107 | 9.3% | 1,154 | 4.2% |
| Children Local Services | 219 | 231 | 5.5% | 237 | 2.6% | 259 | 9.3% | 270 | 4.2% |
| Other Funding Resources | 0 | (34) | 0.0% | 0 | 100.0% | 0 | 0.0% | 0 | 0.0% |
| Federal BIP Resources (Federal Funds) | 0 | (34) | 0.0% | 0 | 100.0% | 0 | 0.0% | 0 | 0.0% |
| Alcohol and Substance Abuse | 303 | 321 | 5.9% | 335 | 4.4% | 348 | 3.9% | 360 | 3.4% |
| Outpatient/Methadone | 121 | 129 | 6.6% | 134 | 3.9% | 139 | 3.7% | 144 | 3.6% |
| Residential | 118 | 124 | 5.1% | 131 | 5.6% | 136 | 3.8% | 141 | 3.7% |
| Prevention and Program Support | 52 | 55 | 5.8% | 57 | 3.6% | 59 | 3.5% | 61 | 3.4% |
| Crisis | 12 | 13 | 8.3% | 13 | 0.0% | 14 | 7.7% | 14 | 0.0% |
| Justice Center | 1 | 1 | 0.0% | 1 | 0.0% | 1 | 0.0% | 1 | 0.0% |

¹ Program funding detail for OPWDD and OMH includes new multi-year spending investments which will be financed with additional Federal resources through BIP.

Local assistance spending accounts for over 40 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 4.6 percent annually. The main factor driving this level of growth is enhancement of community mental health services, right-sizing and improving State-operated inpatient services, utilizing less costly and more programmatically appropriate in-state community residential programs enhancing employment opportunities for individuals with disabilities, and maximizing payments from third-party payers.

The Enacted Budget Financial Plan includes additional annual statewide Medicaid savings of \$200 million, a portion of which will be achieved through the continued shift of certain OPWDD-related Medicaid costs to the DOH, whereby the costs will be funded within the existing Medicaid Global Cap at no increased cost to the Financial Plan, and without impact to overall service delivery. In FY 2015, the Medicaid budget supported \$715 million of OPWDD's Medicaid-eligible expenses, which will increase to \$850 million in FY 2016. To accommodate the funding of these additional costs within the Global Cap, DOH will leverage available BHP resources.

In addition, OPWDD and OMH will utilize \$323 million in Federal BIP resources in FY 2016 to support new multi-year spending investments. Authorized under the ACA, BIP is an optional program that provides additional Federal funding to qualifying states. It is expected that BIP will enable the State to engage a broad network of providers, advocates, and community leaders to develop systematic improvements to delivery systems for individuals with developmental disabilities and mental illness, and enhance community integration. The \$323 million in FY 2016 BIP investments is intended to transform services and supports to more integrated, community-based opportunities; increase employment opportunities for individuals with developmental disabilities; implement electronic health record systems; and support the transition to managed care.

The Enacted Budget includes a partnership between OMH and DOCCS that will revise the process for identifying, assessing, treating, discharging, and supervising mentally ill patients who pose a potential risk of violence in State facilities and the community. The proposal will expand community services, provide additional treatment services in prisons, and create additional capacity for civil confinements in OMH facilities. This proposal will result in new intensive treatment beds and transitional beds, expand in-prison and community treatment services, supportive housing, and ACT. The Enacted Budget will add \$8 million in local assistance support in FY 2016, and \$18 million each year from FY 2017 to FY 2019, as well as approximately \$12 million annually in additional OMH State operations costs for this initiative.

In July 2014, CMS issued the State a disallowance notification in the amount of \$1.26 billion. On March 20, 2015, the State and CMS entered into a settlement agreement that resolves the \$1.26 billion pending disallowance for FY 2011 and all related payment disputes for State-operated services, including home and community-based waiver services, prior to April 1, 2013, and various other related CMS audit findings for OPWDD-delivered services for this time period. As part of this agreement, the State will provide an \$850 million payment to the Federal government in April 2015, and annual payments of \$100 million for each of the next 11 years beginning in FY 2017. Such payments are reflected in the State's FY 2016 Enacted Budget Financial Plan. (See "Other Matters Affecting the State Financial Plan - Federal Issues" herein.)

Social Services

The Office of Temporary and Disability Assistance (OTDA) local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

| TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars) | | | | | | | | | |
|--|--------------------|--------------------|--------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 1,236 | 1,218 | -1.5% | 1,236 | 1.5% | 1,247 | 0.9% | 1,262 | 1.2% |
| SSI | 683 | 660 | -3.4% | 670 | 1.5% | 679 | 1.3% | 679 | 0.0% |
| Public Assistance Benefits | 435 | 437 | 0.5% | 437 | 0.0% | 437 | 0.0% | 437 | 0.0% |
| Public Assistance Initiatives | 21 | 30 | 42.9% | 27 | -10.0% | 27 | 0.0% | 36 | 33.3% |
| All Other | 97 | 91 | -6.2% | 102 | 12.1% | 104 | 2.0% | 110 | 5.8% |

Spending in SSI is projected to increase gradually over the course of the Financial Plan due to updated caseload projections. In public assistance, DOB projects a total of 540,434 recipients in FY 2016. Approximately 237,675 families are expected to receive benefits through the Family Assistance program in FY 2016, a decrease of 4 percent from FY 2015. In the Safety Net program an average of 109,098 families are expected to be helped in FY 2016, a decrease of 4.8 percent from FY 2015. The caseload for single adults/childless couples supported through the Safety Net program is projected at 193,661 in FY 2016, a decrease of 0.7 percent from FY 2015.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

| CHILDREN AND FAMILY SERVICES (millions of dollars) | | | | | | | | | |
|---|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 1,601 | 1,758 | 9.8% | 1,770 | 0.7% | 1,818 | 2.7% | 1,833 | 0.8% |
| Child Welfare Service | 351 | 454 | 29.3% | 463 | 2.0% | 472 | 1.9% | 482 | 2.1% |
| Foster Care Block Grant | 439 | 445 | 1.4% | 453 | 1.8% | 462 | 2.0% | 471 | 1.9% |
| Adoption | 155 | 152 | -1.9% | 152 | 0.0% | 152 | 0.0% | 152 | 0.0% |
| Day Care | 308 | 287 | -6.8% | 287 | 0.0% | 287 | 0.0% | 279 | -2.8% |
| Youth Programs | 104 | 167 | 60.6% | 156 | -6.6% | 155 | -0.6% | 155 | 0.0% |
| Medicaid | 86 | 87 | 1.2% | 88 | 1.1% | 90 | 2.3% | 89 | -1.1% |
| Committees on Special Education | 42 | 39 | -7.1% | 42 | 7.7% | 44 | 4.8% | 46 | 4.5% |
| Adult Protective/Domestic Violence | 30 | 38 | 26.7% | 40 | 5.3% | 41 | 2.5% | 42 | 2.4% |
| All Other | 86 | 89 | 3.5% | 89 | 0.0% | 115 | 29.2% | 117 | 1.7% |

OCFS spending in FY 2016 is projected to increase over FY 2015 levels, mainly due to Child Welfare Services spending changes resulting from both a projected increase in claims, and cash management actions which had previously reduced FY 2015 spending.

Transportation

In FY 2016, the State will provide \$4.9 billion to support the operating costs of the statewide mass transit systems financed from dedicated taxes and fees. The MTA, due to the size and scope of its transit and commuter rail systems, receives the majority of the statewide mass transit operating aid. In addition, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit and commuter rail systems. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund now provides additional annual support, subject to appropriation, to the MTA to make up for the resulting loss of revenue.

| TRANSPORTATION (millions of dollars) | | | | | | | | | |
|---|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 4,834 | 4,862 | 0.6% | 4,916 | 1.1% | 4,987 | 1.4% | 5,051 | 1.3% |
| Mass Transit Operating Aid: | <u>2,161</u> | <u>2,160</u> | <u>0.0%</u> | <u>2,160</u> | <u>0.0%</u> | <u>2,160</u> | <u>0.0%</u> | <u>2,160</u> | <u>0.0%</u> |
| Metro Mass Transit Aid | 2,015 | 2,030 | 0.7% | 2,030 | 0.0% | 2,030 | 0.0% | 2,030 | 0.0% |
| Public Transit Aid | 94 | 86 | -8.5% | 86 | 0.0% | 86 | 0.0% | 86 | 0.0% |
| 18-b General Fund Aid | 27 | 19 | -29.6% | 19 | 0.0% | 19 | 0.0% | 19 | 0.0% |
| School Fare | 25 | 25 | 0.0% | 25 | 0.0% | 25 | 0.0% | 25 | 0.0% |
| Mobility Tax and MTA Aid Trust | 1,945 | 1,976 | 1.6% | 2,054 | 3.9% | 2,126 | 3.5% | 2,193 | 3.2% |
| Dedicated Mass Transit | 682 | 656 | -3.8% | 648 | -1.2% | 647 | -0.2% | 644 | -0.5% |
| AMTAP | 45 | 68 | 51.1% | 53 | -22.1% | 53 | 0.0% | 53 | 0.0% |
| All Other | 1 | 2 | 100.0% | 1 | -50.0% | 1 | 0.0% | 1 | 0.0% |

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast, and timing associated with the availability of resources. The increase in Additional Mass Transportation Operating Assistance Program (AMTOAP) funding in FY 2016 reflects the legislative adds to the program.

Not reflected in the above table is authorization included in the FY 2016 Enacted Budget to transfer annually \$121 million in additional dedicated transit revenues from the Metropolitan Mass Transportation Operating Assistance Account (MMTOA) to the newly established Metropolitan Transit Assistance for Capital Investment Fund (MTACIF), which will be used to support infrastructure needs of the MTA and other downstate transit systems. In addition, the Financial Plan assumes that \$20 million in MMTOA resources will be available annually to offset MTA-related debt service costs from FY 2016 to FY 2019.

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

| LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars) | | | | | | | | | |
|--|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL AIM STATE OPERATING FUNDS | 726 | 741 | 2.1% | 759 | 2.4% | 763 | 0.5% | 763 | 0.0% |
| Big Four Cities | 429 | 429 | 0.0% | 429 | 0.0% | 429 | 0.0% | 429 | 0.0% |
| Other Cities | 218 | 218 | 0.0% | 218 | 0.0% | 218 | 0.0% | 218 | 0.0% |
| Towns and Villages | 68 | 68 | 0.0% | 68 | 0.0% | 68 | 0.0% | 68 | 0.0% |
| Restructuring/Efficiency | 11 | 26 | 136.4% | 44 | 69.2% | 48 | 9.1% | 48 | 0.0% |

Spending for AIM efficiency incentive grants increases over the multi-year period, reflecting potential awards from the Financial Restructuring Board for Local Governments. All Other aid under AIM is expected to be maintained in each year of the Financial Plan.

Other Local Assistance

All other local assistance spending includes aid for economic development, financial services, environmental quality, and criminal justice programs. The projected decline in the level of all other local assistance grant spending is primarily attributable to a reclassification of the Healthy NY program, from the insurance program of DFS to DOH's State of Health insurance benefit exchange.

Agency Operations

Agency operating costs include personal service, non-personal service, and GSCs. Personal service costs include the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. Non-personal service costs reflect the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and are not reflected in the State Operating Funds totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

Selected assumptions used in preparing the spending projections for the State’s major programs and activities are summarized in the following table.

| FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS | | | | | |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| | FY 2015 Results | FY 2016 Enacted | Forecast | | |
| | | | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
| Negotiated Base Salary Increases ¹ | | | | | |
| CSEA/NYSCOPBA/Council 82/UUP/DC-37/GSEU | 2% | 2% | TBD | TBD | TBD |
| PEF / PBANYS | 2% | TBD | TBD | TBD | TBD |
| PBA ² | 2% | 2% | 1.5% | 1.5% | TBD |
| State Workforce ³ | 117,807 | 119,349 | TBD | TBD | TBD |
| ERS Pension Contribution Rate ⁴ | | | | | |
| Before Amortization (Normal/Admin/GLIP) | 20.4% | 18.5% | 18.6% | 18.8% | 19.0% |
| After Amortization | 13.5% | 14.5% | 15.5% | 16.5% | 17.5% |
| PFRS Pension Contribution Rate | | | | | |
| Before Amortization (Normal/Admin/GLIP) | 28.1% | 25.0% | 25.2% | 25.4% | 25.6% |
| After Amortization | 21.5% | 22.5% | 23.5% | 24.5% | 25.5% |
| Employee/Retiree Health Insurance Growth Rates | 1.8% | 4.2% | 6.6% | 5.4% | 5.6% |
| PS/Fringe as % of Receipts (All Funds Basis) | 13.5% | 13.7% | 13.9% | 13.8% | 13.9% |

The majority of State agencies are expected to hold personal service and non-personal service spending constant over the Financial Plan period, with a few exceptions. Costs from collective bargaining agreements, which include 2 percent salary increases in FY 2016 (for certain unions), applicable lump sum payments, and repayment of a portion of the deficit reduction adjustment made to employee salaries, are expected to be funded from operational savings.

DOH, gaming, and SUNY are three areas expected to experience limited programmatic growth. DOH operational increases are mainly due to transitioning of certain functions from the local services districts to the State as part of the ongoing statewide administrative takeover initiative and the implementation of the NYSOH insurance benefit exchange, the State's insurance marketplace program under the ACA. The growth in gaming is attributable to activities related to casino development and oversight. Higher SUNY spending reflects anticipated operating needs primarily supported by tuition.

Payments to the New York Power Authority (NYPA) represent an accounting reclassification across certain Financial Plan categories, but do not carry a Financial Plan impact. These payments were previously assumed in the Financial Plan under different categorization, pursuant to funding schedules agreed upon by the State and NYPA.

Other year-over-year increases are technical in nature and reflect funding reclassifications or administrative reconciliations. For example, growth in Temporary and Disability Assistance reflects the reclassification of local assistance contracts to agency operation spending. In addition, the State's workforce is paid on a bi-weekly basis; weekly pay cycles alternate between administrative and institutional payrolls. There are typically 26 pay periods in a fiscal year. In FY 2016, employees on the institutional pay schedule will have one additional payroll.

Multi-Year Financial Plan Projections



STATE OPERATING FUNDS - AGENCY OPERATIONS

(millions of dollars)

| | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
|---|---------------|---------------|---------------|---------------|---------------|
| | Results | Enacted | Projected | Projected | Projected |
| SUBJECT TO DIRECT EXECUTIVE CONTROL | 9,881 | 10,196 | 10,152 | 10,333 | 10,189 |
| Mental Hygiene | 2,861 | 2,792 | 2,794 | 2,814 | 2,856 |
| Corrections and Community Supervision | 2,641 | 2,615 | 2,638 | 2,638 | 2,638 |
| State Police | 667 | 639 | 651 | 651 | 651 |
| Public Health | 412 | 404 | 399 | 401 | 401 |
| Tax and Finance | 348 | 330 | 330 | 331 | 331 |
| Children and Family Services | 264 | 259 | 251 | 253 | 260 |
| Environmental Conservation | 230 | 238 | 238 | 216 | 217 |
| Information Technology Services ¹ | 426 | 513 | 523 | 523 | 535 |
| Financial Services | 191 | 212 | 210 | 210 | 210 |
| Medicaid Admin/BHP | 163 | 326 | 350 | 325 | 321 |
| Parks, Recreation and Historic Preservation | 180 | 177 | 178 | 178 | 178 |
| Gaming | 134 | 156 | 156 | 157 | 157 |
| Temporary and Disability Assistance | 140 | 143 | 143 | 143 | 143 |
| General Services | 152 | 163 | 165 | 165 | 165 |
| Workers' Compensation Board | 142 | 142 | 142 | 142 | 143 |
| Extra Bi-Weekly Institutional Pay Period | 0 | 167 | 0 | 0 | 0 |
| New York Power Authority Repayment | 18 | 21 | 21 | 236 | 22 |
| All Other | 912 | 899 | 963 | 950 | 961 |
| UNIVERSITY SYSTEMS | 5,854 | 5,804 | 5,953 | 6,083 | 6,220 |
| State University | 5,774 | 5,720 | 5,867 | 5,995 | 6,131 |
| City University | 80 | 84 | 86 | 88 | 89 |
| INDEPENDENT AGENCIES | 306 | 311 | 312 | 313 | 314 |
| Law | 165 | 168 | 170 | 171 | 172 |
| Audit & Control (OSC) | 141 | 143 | 142 | 142 | 142 |
| TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE | 16,041 | 16,311 | 16,417 | 16,729 | 16,723 |
| Judiciary | 1,909 | 1,958 | 1,968 | 1,968 | 1,968 |
| Legislature | 207 | 219 | 219 | 219 | 219 |
| Statewide Total | 18,157 | 18,488 | 18,604 | 18,916 | 18,910 |
| Personal Service | 12,550 | 12,868 | 12,834 | 12,897 | 12,999 |
| Non-Personal Service | 5,607 | 5,620 | 5,770 | 6,019 | 5,911 |

¹ Reflects consolidation of IT costs from other agencies within ITS; which does not change total governmental spending.

In FY 2016, \$12.9 billion or 13.7 percent of the State Operating Funds budget is projected to be spent on personal service costs. This funding supports roughly 99,260 Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY and CUNY (43,900) and Independent Agencies (18,100); employees paid on a non-annual salaried basis; and overtime pay. Roughly 70 percent of all Executive agency personal service spending occurs in three areas: SUNY, the Mental Hygiene agencies, and DOCCS.

| STATE OPERATING FUNDS | | |
|---|----------------|----------------|
| FY 2016 FTEs¹ AND PERSONAL SERVICE SPENDING BY AGENCY | | |
| (millions of dollars) | | |
| | <u>Dollars</u> | <u>FTEs</u> |
| Subject to Direct Executive Control | 7,350 | 99,260 |
| Mental Hygiene Agencies | 2,336 | 34,309 |
| Corrections and Community Supervision | 2,177 | 28,056 |
| State Police | 571 | 5,612 |
| Tax and Finance | 277 | 4,359 |
| Health | 263 | 3,919 |
| Environmental Conservation | 182 | 2,238 |
| Children and Family Services | 166 | 2,561 |
| Financial Services | 154 | 1,390 |
| Parks, Recreation and Historic Preservation | 137 | 1,559 |
| All Other | 1,087 | 15,257 |
| University Systems | 3,621 | 43,911 |
| State University | 3,579 | 43,575 |
| City University ² | 42 | 336 |
| Independent Agencies | 1,897 | 18,072 |
| Law | 116 | 1,577 |
| Audit & Control (OSC) | 111 | 1,572 |
| Judiciary | 1,504 | 14,922 |
| Legislature ³ | 166 | 1 |
| Total | 12,868 | 161,243 |

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded primarily through an agency trust fund and total additional 13,275 FTEs excluded from the table above.

³ Legislative employees are non-annual salaried, with the exception of the Lieutenant Governor, who serves as President of the Senate.

General State Charges

Employee fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State’s share of Social Security, health insurance, workers’ compensation, unemployment insurance and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations in the GSCs budget⁴. The Judiciary pays its fringe benefit costs directly.

Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include fixed costs for several categories including State payments in lieu of taxes, payments for local assessments on State-owned land, and judgments against the State pursuant to the Court of Claims Act.

| GENERAL STATE CHARGES (millions of dollars) | | | | | | | | | |
|--|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
| | FY 2015 Results | FY 2016 Enacted | Change | FY 2017 Projected | Change | FY 2018 Projected | Change | FY 2019 Projected | Change |
| TOTAL STATE OPERATING FUNDS | 7,033 | 7,334 | 4.3% | 7,894 | 7.6% | 8,286 | 5.0% | 8,711 | 5.1% |
| Fringe Benefits | 6,665 | 6,943 | 4.2% | 7,498 | 8.0% | 7,885 | 5.2% | 8,305 | 5.3% |
| Health Insurance | 3,319 | 3,451 | 4.0% | 3,680 | 6.6% | 3,880 | 5.4% | 4,098 | 5.6% |
| Employee Health Insurance | 2,091 | 2,174 | 4.0% | 2,318 | 6.6% | 2,444 | 5.4% | 2,582 | 5.6% |
| Retiree Health Insurance | 1,228 | 1,277 | 4.0% | 1,362 | 6.7% | 1,436 | 5.4% | 1,516 | 5.6% |
| Pensions | 2,130 | 2,219 | 4.2% | 2,467 | 11.2% | 2,660 | 7.8% | 2,890 | 8.6% |
| Social Security | 958 | 972 | 1.5% | 987 | 1.5% | 991 | 0.4% | 995 | 0.4% |
| All Other Fringe | 258 | 301 | 16.7% | 364 | 20.9% | 354 | -2.7% | 322 | -9.0% |
| Fixed Costs | 368 | 391 | 6.3% | 396 | 1.3% | 401 | 1.3% | 406 | 1.2% |

GSCs are projected to increase at an average annual rate of 5.5 percent over the Financial Plan period, driven primarily by cost increases for pension contributions and the employer share of costs for employee and retiree health insurance benefits. Pension growth, is driven by the recent implementation (September 2014) of new actuarial assumptions by the New York State and Local Retirement Systems’ Actuary, and repayment of prior year amortization obligations -- partly offset by the expectation that a portion of future contributions will be amortized as permissible by law. The FY 2016 Enacted Budget Financial Plan includes additional interest savings of approximately \$41 million over the prior year, which is expected by paying the full amount of the 2016 pension bill by July 31, 2015, rather than on a monthly basis or by the statutorily required date of March 1, 2016.

Growth in health insurance spending is attributable to rising costs associated with health care benefits; however, the FY 2016 Enacted Budget Financial Plan includes downward adjustments to forecasted spending for health insurance, as a result of revised rate renewal growth assumptions.

⁴ Beginning in July 2015, SUNY TIAA-CREF and other SUNY fringe benefit costs will no longer be paid directly, but rather shift to the central statewide appropriation.

Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State’s share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

| GENERAL FUND TRANSFERS TO OTHER FUNDS | | | | | |
|--|--------------|---------------|--------------|---------------|---------------|
| (millions of dollars) | | | | | |
| | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| | Results | Enacted | Projected | Projected | Projected |
| TOTAL TRANSFERS TO OTHER FUNDS | 8,601 | 14,276 | 9,945 | 10,644 | 10,962 |
| State Share of Mental Hygiene Medicaid | 1,419 | 2,162 | 1,439 | 1,313 | 1,255 |
| Debt Service | 1,297 | 886 | 1,242 | 1,422 | 1,210 |
| SUNY University Operations | 980 | 998 | 978 | 969 | 969 |
| Capital Projects | 1,264 | 5,947 | 1,844 | 2,072 | 2,295 |
| Dedicated Highway and Bridge Trust Fund | 728 | 730 | 809 | 873 | 895 |
| Dedicated Infrastructure Investment Fund | 0 | 4,550 | 0 | 0 | 0 |
| All Other Capital | 536 | 667 | 1,035 | 1,199 | 1,400 |
| ALL OTHER TRANSFERS | 3,641 | 4,283 | 4,442 | 4,868 | 5,233 |
| Mental Hygiene | 2,504 | 2,975 | 3,152 | 3,577 | 3,873 |
| Department of Transportation (MTA Payroll Tax) | 331 | 335 | 335 | 336 | 336 |
| SUNY - Medicaid Reimbursement | 218 | 294 | 294 | 294 | 251 |
| Judiciary Funds | 117 | 107 | 107 | 107 | 107 |
| SUNY - Hospital Operations | 88 | 88 | 88 | 88 | 88 |
| Dedicated Mass Transportation Trust Fund | 63 | 63 | 63 | 63 | 63 |
| Banking Services | 32 | 52 | 54 | 55 | 55 |
| Indigent Legal Services | 33 | 35 | 35 | 35 | 35 |
| Mass Transportation Operating Assistance | 31 | 37 | 37 | 37 | 37 |
| Alcoholic Beverage Control | 20 | 20 | 20 | 20 | 20 |
| Information Technology Services | 14 | 8 | 2 | 2 | 2 |
| Public Transportation Systems | 16 | 15 | 15 | 15 | 15 |
| Correctional Industries | 12 | 11 | 11 | 11 | 11 |
| All Other | 162 | 243 | 229 | 228 | 340 |

A significant portion of the capital and operating expenses of the DOT and the Department of Motor Vehicles (DMV) are funded from DHBTF. The Fund receives various dedicated tax and fee revenues, including PBT, motor fuel tax, and highway use taxes. The Enacted Budget Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF because the cumulative expenses of the fund – DOT and DMV capital and operating expenses, and certain debt service on transportation bonds – exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$14.3 billion in FY 2016, a \$5.7 billion increase from FY 2015, largely due to the transfer of \$4.6 billion in monetary settlement moneys to the DIIF. The funding will be used to make targeted investments in various areas, including the Thruway Stabilization and Upstate Revitalization programs. In addition, \$850 million in monetary settlement funds previously reserved for Financial Plan risk will be used to pay a portion of a \$1.95 billion in Federal Medicaid disallowances associated with OPWDD-operated treatment facilities. The remaining balance of the Medicaid disallowance repayments will be transferred in annual amounts of \$100 million for 11 years beginning in FY 2017.

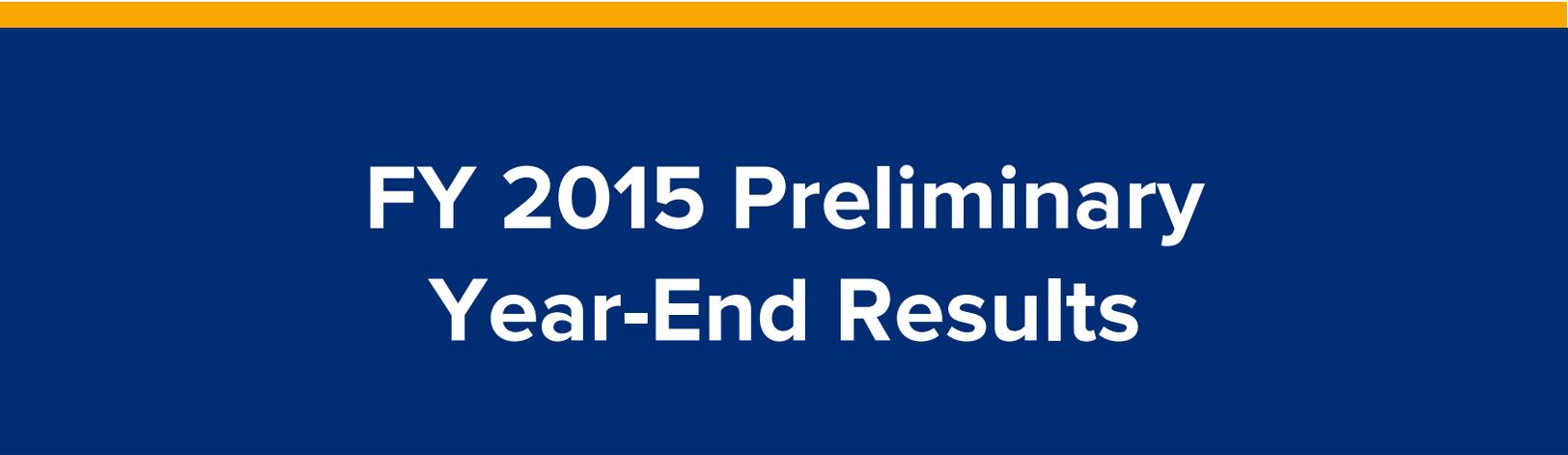
Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities [(e.g., ESD, DASNY, and the New York State Thruway Authority (TA), subject to an appropriation)]. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

| DEBT SERVICE SPENDING PROJECTIONS | | | | |
|--|----------------------------|----------------------------|--------------------------|---------------------------|
| (millions of dollars) | | | | |
| | FY 2015 Results | FY 2016 Enacted | Annual Change | Percent Change |
| General Fund | 1,297 | 886 | (411) | -31.7% |
| Other State Support | 4,886 | 4,236 | (650) | -13.3% |
| State Operating/All Funds Total | 6,183 | 5,122 | (1,061) | -17.2% |

Total debt service is projected at \$5.1 billion in FY 2016, of which approximately \$886 million is paid from the General Fund through transfers, and \$4.2 billion from other State funds. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service is paid directly from other State funds, subject to appropriation, for the State’s revenue bonds, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

Enacted Budget Financial Plan estimates for debt service spending have been revised to reflect a number of factors, including actual bond sale results to date, assumed debt management savings and increased debt service costs associated with enacted additional capital commitment levels. Also, FY 2016 debt service spending estimates assume the prepayment of \$100 million of debt service due during FY 2017.



**FY 2015 Preliminary
Year-End Results**

This section provides a summary of operating results for April 2014 through March 2015 compared to (1) the initial projections set forth in the FY 2015 Enacted Budget; (2) the revised projections of the FY 2016 Executive Budget, as amended; and (3) the results for the prior fiscal year (April 2013 through March 2014).

General Fund Results

The State ended March 2015 with a General Fund closing balance of \$7.3 billion, \$5.2 billion higher than initially estimated in the FY 2015 Enacted Budget Financial Plan. The increase is due primarily to the unanticipated monetary settlements between regulators and financial institutions, and higher tax collections. Compared to the revised Financial Plan, the General Fund balance was \$468 million below forecast, largely because of a delay in a financial settlement payment that is now expected to be received in FY 2016.

| GENERAL FUND OPERATING RESULTS | | | | | |
|---------------------------------------|-----------------|-----------------|---------------|---------------------------|-----------------|
| April through March 2015 | | | | | |
| (millions of dollars) | | | | | |
| | Enacted Plan | Revised Plan | Results | Above/(Below) Variance | |
| | | | | Enacted Plan | Revised Plan |
| Opening Balance | 2,235 | 2,235 | 2,235 | 0 | 0 |
| Total Receipts | 62,962 | 68,714 | 67,921 | 4,959 | (793) |
| Taxes: | 57,994 | 58,434 | 58,644 | 650 | 210 |
| Personal Income Tax ¹ | 38,410 | 38,516 | 38,144 | (266) | (372) |
| Consumption / Use Taxes ¹ | 12,188 | 12,328 | 12,263 | 75 | (65) |
| Business Taxes | 5,438 | 5,576 | 6,265 | 827 | 689 |
| Other Taxes ¹ | 1,958 | 2,014 | 1,972 | 14 | (42) |
| Receipts and Grants | 3,815 | 8,876 | 8,411 | 4,596 | (465) |
| Transfers From Other Funds | 1,153 | 1,404 | 866 | (287) | (538) |
| Total Spending | 63,142 | 63,181 | 62,856 | (286) | (325) |
| Local Assistance | 42,118 | 41,986 | 41,592 | (526) | (394) |
| Agency Operations (including GSCs) | 12,922 | 12,849 | 12,663 | (259) | (186) |
| Debt Service Transfer | 1,081 | 1,291 | 1,297 | 216 | 6 |
| Capital Projects Transfer | 930 | 888 | 1,264 | 334 | 376 |
| State Share Medicaid Transfer | 1,638 | 1,448 | 1,418 | (220) | (30) |
| SUNY Operations Transfer | 977 | 980 | 980 | 3 | - |
| All Other Transfers | 3,476 | 3,739 | 3,642 | 166 | (97) |
| Change in Operations | (180) | 5,533 | 5,065 | 5,245 | (468) |
| Closing Balance | 2,055 | 7,768 | 7,300 | 5,245 | (468) |

¹ Includes transfers from other funds after debt service.

Receipts

Through March 2015, General Fund receipts, including transfers from other funds, were \$5 billion higher than the initial plan. The increase is comprised of unanticipated monetary settlements (\$4.7 billion) and higher net tax receipts (\$649 million), partly offset by lower than expected transfers from other funds (\$286 million) and other miscellaneous receipts.

Higher tax collections are mainly attributable to business tax collections (\$827 million) in the areas of bank tax and corporate franchise tax gross receipts. This improvement is due to a positive variance in bank tax audits, the corporate franchise 2014 tax year settlement, and higher tax year 2015 prepayment. User tax collections and other taxes exceeded plan projections by marginal amounts. These additional receipts were partly offset by lower PIT tax receipts (\$266 million), mainly due to the timing of certain transactions.

Miscellaneous receipts were \$4.6 billion above initial projections, due to the unanticipated monetary settlement with financial institutions. These settlement receipts are partly offset by lower licensing and fee revenue.

Lower non-tax transfers include the following timing-related revisions: the receipt of payments owed to the State for reimbursement of youth facility costs; Federal health care revenue; business licensing revenue; and reimbursement for the consolidation of technological services.

Spending

Through March 2015, General Fund disbursements, including transfers to other funds, were \$286 million lower than initial projections. This variance is due to a combination of lower spending for local assistance (\$526 million) and agency operations (\$259 million); and higher net transfers of \$499 million, mainly to support debt service payments and capital costs.

Actual local assistance spending was roughly one percent lower than estimated in the initial plan, and marginally below the revised plan. Lower spending occurred across a range of programs and activities, most significantly in mental hygiene, education and economic development.

Lower spending in both personal service and non-personal service occurred across a number of agencies. The most notable variances include lower costs for DOH associated with the State's takeover of local Medicaid administrative duties; the Legislature; and the Labor Management Committee, from lower than anticipated costs for negotiated employee benefits. Lower spending for GSCs mainly occurred in the areas of health insurance and fixed costs, part of which were paid off certain outstanding prior-year amortization.

Higher General Fund transfers include additional transfers to fund capital projects (\$334 million), and debt service obligations due in FY 2016 (\$216 million). The lower than planned State Share Medicaid transfer (\$220 million) reflects a portion of DSHP revenue that was not received as expected during FY 2015, and is offset by an increase in transfers to the Mental Hygiene Program and Patient Income special revenue accounts (\$288 million).

State Operating Funds Results

The State ended March 2015 with a closing balance of \$9.9 billion in State Operating Funds, or \$5.3 billion above the FY 2015 Enacted Budget Financial Plan projection. This variance is comprised of higher receipts (\$5.9 billion), higher spending (\$192 million), and lower financing from other sources (\$336 million).

| STATE OPERATING FUNDS RESULTS | | | | | |
|--------------------------------------|-----------------|---------------|---------------|---------------------------|--------------|
| April through March 2015 | | | | | |
| (millions of dollars) | | | | | |
| | Enacted Plan | Revised Plan | Results | Above/(Below) Variance | |
| | | | | Enacted Plan | Revised Plan |
| Opening Balance | 4,789 | 4,789 | 4,789 | 0 | 0 |
| Total Receipts | 89,178 | 94,766 | 95,037 | 5,859 | 271 |
| Taxes: | 68,826 | 69,150 | 69,661 | 835 | 511 |
| Personal Income Tax | 43,735 | 43,813 | 43,710 | (25) | (103) |
| Consumption / Use Taxes | 14,769 | 14,859 | 14,784 | 15 | (75) |
| Business Taxes | 7,023 | 7,129 | 7,849 | 826 | 720 |
| Other Taxes | 3,299 | 3,349 | 3,318 | 19 | (31) |
| Miscellaneous/Federal Receipts | 20,352 | 25,616 | 25,376 | 5,024 | (240) |
| Total Spending | 92,234 | 92,376 | 92,426 | 192 | 50 |
| Local Assistance | 61,181 | 61,100 | 61,052 | (129) | (48) |
| Agency Operations (including GSCs) | 25,405 | 25,443 | 25,190 | (215) | (253) |
| Debt Service | 5,648 | 5,833 | 6,183 | 535 | 350 |
| Capital Projects | - | - | 1 | 1 | 1 |
| Other Financing Sources | 2,827 | 2,767 | 2,491 | (336) | (276) |
| Change in Operations | (229) | 5,157 | 5,101 | 5,330 | (56) |
| Closing Balance | 4,560 | 9,946 | 9,890 | 5,330 | (56) |

Receipts

Through March 2015, total receipts in State Operating Funds were \$5.9 billion higher than the FY 2015 Enacted Budget Financial Plan projections. The increase is comprised of unanticipated monetary settlements (\$4.7 billion) and higher net tax receipts (\$835 million), partly offset by lower than expected miscellaneous receipts.

Consistent with the General Fund results, the State Operating Funds tax receipts variance reflects higher business tax receipts and modest variances in other tax categories.

Spending

State Operating Funds spending was \$192 million (0.2 percent) higher than initial projections and nearly unchanged from the most recent projections.

In total, local assistance was \$129 million (0.2 percent) lower than the initial plan. This variance is mainly due to lower than planned spending for the STAR program attributable to a decline in basic exemption recipients (\$132 million).

Spending on agency operations was \$215 million below initial estimates. This lower spending is mainly comprised of GSCs (\$84 million) in the areas of employee health insurance and fixed costs; DOH (\$75 million) due to slower than anticipated transitioning of certain functions from the local social services districts to DOH as part of the ongoing statewide Medicaid administrative takeover initiative; and university system spending (\$40 million).

Higher debt service spending reflects the payment of debt service obligations due in FY 2016.

Other Financing Sources

Other financing sources, which represent the difference between transfers to and from State Operating Funds, were \$336 million lower than initial estimates in the FY 2015 Enacted Budget Financial Plan, primarily due to the higher General Fund support for debt service and capital projects related to the timing of reimbursements with bond proceeds.

All Governmental Funds Results

The State ended March 2015 with an All Governmental Funds closing balance of \$9.4 billion, \$5.4 billion above the FY 2015 Enacted Budget Financial Plan projections and in line with the revised plan estimate. The significantly higher balance reflects higher receipts (\$7.5 billion), partially offset by higher spending (\$1.9 billion) and lower financing from other sources (\$122 million).

| All GOVERNMENTAL FUNDS RESULTS | | | | | |
|---------------------------------------|-----------------|----------------|----------------|---------------------------|--------------|
| April through March 2015 | | | | | |
| (millions of dollars) | | | | | |
| | Enacted Plan | Revised Plan | Results | Above/(Below) Variance | |
| | | | | Enacted Plan | Revised Plan |
| Opening Balance | 4,035 | 4,035 | 4,035 | 0 | 0 |
| Total Receipts | 141,649 | 147,973 | 149,109 | 7,460 | 1,136 |
| Taxes: | 70,188 | 70,512 | 71,035 | 847 | 523 |
| Personal Income Tax | 43,735 | 43,813 | 43,710 | (25) | (103) |
| Consumption / Use Taxes | 15,364 | 15,454 | 15,385 | 21 | (69) |
| Business Taxes | 7,671 | 7,777 | 8,503 | 832 | 726 |
| Other Taxes | 3,418 | 3,468 | 3,437 | 19 | (31) |
| Miscellaneous Receipts | 25,672 | 30,426 | 29,438 | 3,766 | (988) |
| Federal Grants | 45,789 | 47,035 | 48,636 | 2,847 | 1,601 |
| Total Spending | 141,964 | 143,002 | 143,891 | 1,927 | 889 |
| State Operating Funds: | 92,234 | 92,376 | 92,426 | 192 | 50 |
| Local Assistance | 61,181 | 61,100 | 61,052 | (129) | (48) |
| Agency Operations (including GSCs) | 25,405 | 25,443 | 25,190 | (215) | (253) |
| Debt Service | 5,648 | 5,833 | 6,183 | 535 | 350 |
| Capital Projects | 0 | 0 | 1 | 1 | 1 |
| Federal Operating Funds | 41,265 | 42,631 | 43,917 | 2,652 | 1,286 |
| Capital Projects Funds | 8,465 | 7,995 | 7,548 | (917) | (447) |
| Other Financing Sources | 225 | 261 | 103 | (122) | (158) |
| Change in Operations | (90) | 5,232 | 5,320 | 5,410 | 88 |
| Closing Balance | 3,945 | 9,267 | 9,355 | 5,410 | 88 |

Receipts

Through March 2015, total All Funds receipts were \$7.5 billion higher than initial projections. The increase is comprised of unanticipated monetary settlements (\$4.7 billion), higher Federal grants (\$2.8 billion) and tax receipts (\$847 million), partly offset by lower than expected other miscellaneous receipts.

Consistent with the General Fund and State Operating Funds results, the All Governmental Funds receipts variance is primarily attributable to higher business tax collections and the unanticipated monetary settlements. Other variances include slower than anticipated reimbursements from bond proceeds for Capital Projects by New York State public authorities (\$1.2 billion), and higher Federal grants to support spending in areas such as health care and homeland security.

Spending

Through March 2015, All Funds spending was \$1.9 billion above FY 2015 Enacted Budget initial projections. In addition to the General Fund and State Operating Funds spending variances described earlier, the All Governmental Funds spending variance is largely a function of Federal Operating Funds spending that was \$2.7 billion higher than initially planned. The higher spending mainly reflects additional Federal Medicaid funding from the IAAF, which is part of the DSRIP, an MRT-based initiative which gives funding to hospitals based on the achievement of predefined results in system transformation, clinical management, and population health. This funding was approved after release of the FY 2015 Enacted Budget Financial Plan. In addition, there was higher than initially anticipated homeland security spending for Federal Emergency Management Agency (FEMA) public assistance payments to the Long Island Power Authority (LIPA) and NYU Langone Medical Center.

Capital Projects Results

The State ended March 2015 with a Capital Projects closing balance of negative \$724 million, \$107 million lower than the initial projection. This balance reflects the combined impact of lower receipts and spending, and higher financing from other sources.

| CAPITAL PROJECTS FUNDS RESULTS | | | | | |
|---------------------------------------|-----------------|-----------------|--------------|---------------------------|--------------|
| April through March 2015 | | | | | |
| (millions of dollars) | | | | | |
| | Enacted Plan | Revised Plan | Results | Above/(Below) Variance | |
| | | | | Enacted Plan | Revised Plan |
| Opening Balance | (629) | (629) | (629) | 0 | 0 |
| Total Receipts | 8,632 | 8,183 | 7,364 | (1,268) | (819) |
| Taxes: | 1,362 | 1,362 | 1,373 | 11 | 11 |
| Consumption / Use Taxes | 595 | 595 | 601 | 6 | 6 |
| Business Taxes | 648 | 648 | 653 | 5 | 5 |
| Other Taxes | 119 | 119 | 119 | - | - |
| Miscellaneous Receipts | 5,208 | 4,774 | 3,961 | (1,247) | (813) |
| Federal Grants | 2,062 | 2,047 | 2,030 | (32) | (17) |
| Total Spending | 8,465 | 7,993 | 7,548 | (917) | (445) |
| Economic Development | 709 | 546 | 492 | (217) | (54) |
| Parks & the Environment | 660 | 643 | 637 | (23) | (6) |
| Transportation | 4,510 | 4,506 | 4,424 | (86) | (82) |
| Health & Social Welfare | 476 | 287 | 252 | (224) | (35) |
| Mental Hygiene | 232 | 229 | 224 | (8) | (5) |
| Public Protection | 411 | 362 | 328 | (83) | (34) |
| Education | 1,165 | 1,104 | 968 | (197) | (136) |
| All Other | 302 | 316 | 223 | (79) | (93) |
| Other Financing Sources | (155) | (105) | 89 | 244 | 194 |
| Change in Operations | 12 | 85 | (95) | (107) | (180) |
| Closing Balance | (617) | (544) | (724) | (107) | (180) |

Receipts

Through March 2015, total receipts in Capital Projects Funds were \$1.3 billion lower than initial projections, largely attributable to the timing of bond reimbursements and capital underspending. A significant amount of capital project spending is reimbursed with proceeds from the sale of bonds by public authorities. These reimbursements are reflected as miscellaneous receipts in the Capital Projects Funds. In FY 2015, the State reimbursed \$1.2 billion less than the initial estimate. This is due primarily to lower than expected capital spending (\$917 million), which results in a reduction in the level of reimbursements required. The closing balance reflects the timing of State bond sales and availability of bond proceeds to reimburse capital costs, as appropriate.

Spending

Capital spending was \$917 million lower than initial estimates, mainly due to lower than anticipated grant payments to local governments for economic development, public health, the MTA, and SUNY. This underspending is partially offset by higher spending in Transportation.

Other Financing Sources

Other financing sources were \$244 million higher than initial estimates, primarily due to increased General Fund financing.

All Governmental Funds Annual Change

The All Governmental Funds balance through March 2015 was \$9.4 billion, \$5.3 billion higher than the March 2014 balance. This higher balance is attributable to a combination of a higher opening balance (\$159 million), growth in receipts (\$11.4 billion), increased financing from other sources (\$131 million), and higher spending (\$6.4 billion).

| All GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR | | | | |
|---|----------------|----------------|---------------------|-------------|
| April through March 2015 | | | | |
| (millions of dollars) | | | | |
| | FY 2014 | FY 2015 | Increase/(Decrease) | |
| | Results | Results | \$ | % |
| Opening Balance | 3,876 | 4,035 | 159 | |
| Total Receipts | 137,713 | 149,109 | 11,396 | 8.3% |
| Taxes: | 69,690 | 71,035 | 1,345 | 1.9% |
| Personal Income Tax | 42,961 | 43,710 | 749 | 1.7% |
| Consumption / Use Taxes | 15,099 | 15,385 | 286 | 1.9% |
| Business Taxes | 8,259 | 8,503 | 244 | 3.0% |
| Other Taxes | 3,371 | 3,437 | 66 | 2.0% |
| Miscellaneous Receipts | 24,234 | 29,438 | 5,204 | 21.5% |
| Federal Grants | 43,789 | 48,636 | 4,847 | 11.1% |
| Total Spending | 137,526 | 143,891 | 6,365 | 4.6% |
| State Operating Funds: | 90,631 | 92,426 | 1,795 | 2.0% |
| Local Assistance | 59,406 | 61,052 | 1,646 | 2.8% |
| Agency Operations (including GSCs) | 24,822 | 25,190 | 368 | 1.5% |
| Debt Service | 6,400 | 6,183 | (217) | -3.4% |
| Capital Projects | 3 | 1 | (2) | -60.1% |
| Federal Operating Funds | 39,144 | 43,917 | 4,773 | 12.2% |
| Capital Projects Funds | 7,751 | 7,548 | (203) | -2.6% |
| Other Financing Sources | (28) | 103 | 131 | |
| Change in Operations | 159 | 5,320 | 5,161 | |
| Closing Balance | 4,035 | 9,355 | 5,320 | |

Receipts

All Funds tax receipts through March 2015 reflect annual growth in all major tax categories, including PIT (\$749 million), primarily due to growth in withholding and current estimated payments; consumption/use taxes (\$286 million) due to an increase in taxable purchases; business taxes (\$244 million) related to higher bank audits; and the payroll tax assessed on businesses located within the MTA region (\$66 million).

The year-over-year increase of \$5.2 billion in miscellaneous receipts is largely attributable to \$4.1 billion in additional fines, penalties, and forfeitures (including windfall monetary settlements paid to the State); a \$741 million increase in business assessments (including \$750 million of SIF assessment reserves transferred to the State pursuant to legislation included in the FY 2014 Enacted Budget); higher bond proceeds receipts to finance Capital Projects (\$479 million); and higher abandoned property collections (\$120 million). These increases are offset by lower Tribal State Compact Revenue (\$321 million) due to the lump sum payments received from the tribal nations immediately following the FY 2014 agreements; a one-time FY 2014 receipt from the State of New York Mortgage Agency (SONYMA) (\$104 million) from excess Mortgage Insurance Fund reserves; and the continued phase-out of the temporary utility assessment (\$178 million).

The \$4.8 billion increase in Federal grants is a result of increased Federal program spending, as described in greater detail below.

Spending

Through March 2015, All Funds spending increased by \$6.4 billion over the prior year, comprised of a \$1.8 billion increase in State Operating Funds, a \$4.8 billion increase in Federal Operating Funds, and a \$204 million decrease in Capital Projects Funds.

The increase in State Operating Funds spending is mainly due to \$1.6 billion in higher local assistance spending. The local assistance growth includes higher spending for education (\$1.3 billion) and health care (\$211 million). The \$368 million increase in operational spending is comprised of growth in executive-controlled agencies (\$164 million), mainly attributable to the FY 2015 2 percent salary increase and higher correctional facility expenses, primarily overtime and inmate medical and prescription drug cost; higher fringe benefit costs (\$86 million); increased Judiciary spending (\$80 million); and higher SUNY spending (\$32 million). Lower debt service spending in FY 2015 is attributable to the continued practice of paying debt service obligations due in future years.

The Federal Operating Funds spending increase is primarily attributable to \$5.2 billion in higher health care spending as a result of both expanded Medicaid coverage under the ACA, and DSRIP/IAAF payments associated with the recently approved Medicaid waiver. In addition, homeland security spending was \$437 million higher, due mainly to a lump-sum pass-through payment to LIPA. Spending declined in the areas of education (\$494 million), due to administrative delays in claims submitted by school districts (primarily New York City), and social welfare (\$213 million), mainly due to higher payments occurring in FY 2014.

Lower Capital Projects spending occurred in the areas of health care (\$322 million); parks and environment (\$179 million) due mainly to the timing of payments to EFC for the State Revolving Fund Loan program; and higher education (\$166 million). This lower spending is partially offset by additional transportation spending (\$393 million).



Fiscal Impact on Local Governments

This section presents the estimated fiscal impact of the FY 2016 Enacted Budget on New York's municipalities, as required by State Finance Law. To supplement this narrative, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

Major Changes from the Executive Budget

- **Education:** The Enacted Budget includes a school aid increase of \$1.4 billion for the 2015-16 school year, which is approximately \$290 million higher than proposed in the Executive Budget. In addition to this increase, the Enacted Budget contains \$75 million in Persistently Failing Schools Transformation grants.
- **Municipal Aid:** The Enacted Budget includes new or restored Miscellaneous Financial Assistance for certain local governments (\$9.8 million); a restoration of VLT aid to eligible municipalities (\$2.1 million); and a restoration of Village Per Capita Aid (\$2 million).
- **Human Services:** The Enacted Budget includes a new \$15 million shelter supplement program to prevent eviction and address homelessness in New York City.
- **Transportation:** The Enacted Budget includes an additional \$50 million allocation of local highway assistance through the Consolidated Local Street and Highway Improvement Program (CHIPS), for extreme winter recovery.
- **Water Infrastructure:** The Enacted Budget creates a multi-year Drinking Water and Wastewater Infrastructure Fund which includes \$200 million for grants to be issued by the Environmental Facilities Corporation (EFC). \$50 million in grants will be made available in 2015-16, with \$75 million available in the following two years. EFC is authorized to provide grants of up to \$5 million per project for water and wastewater improvement projects undertaken by municipalities to replace and repair infrastructure, or to comply with environmental or public health laws and regulations related to water quality.

Local Year 2016 Fiscal Summary

Enacted Budget actions, in total, will result in a positive local impact of \$1.46 billion for local fiscal years ending in 2016 — the first full-annual local fiscal year affected by the Enacted Budget. Overall, this total net impact is \$315 million greater than the impact of the Executive Budget (\$1.14 billion), with the most significant increases occurring in school aid. The fiscal summary of the impact on local governments for local fiscal year 2016 is as follows:

- **School Districts:** School districts outside of New York City will realize a \$799.8 million positive impact for their 2015-16 school year, primarily due to an \$800 million school aid increase.
- **New York City:** A \$516.2 million positive impact is estimated for New York City, primarily due to \$505 million in additional aid for New York City schools. The City will also benefit by \$15 million for a new homelessness prevention pilot program, by \$12.1 million from

increased transit and highway assistance, and by a net \$5.5 million from several sales and income tax reforms. These positive impacts will be partially offset by a \$15 million impact from adjusting New York City's reimbursement for Federal Emergency Assistance to Families, and a \$5.8 million impact from modifying the funding for the Foster Care Human Services Cost of Living Adjustment (COLA)

- **Counties:** County governments will realize an estimated \$39.9 million positive impact in 2016, primarily due to a \$37.8 million benefit from capping youth facility chargeback costs, and \$12.5 million from increased transit and highway assistance. These benefits will be partially offset by a \$7.1 million impact from modifying the funding for the Foster Care Human Services COLA.
- **Other Municipalities:** The impact to other cities, towns, and villages is a positive \$16.3 million in local fiscal years ending in 2016, attributed primarily to \$10.7 million in increased highway assistance, \$3.1 million in new or restored municipal aid, and a potential \$3 million from the creation of the Buffalo Traffic Violations Bureau.

Other Budget Actions Affecting Local Governments

- **Restructuring Local Governments:** Up to \$150 million in funding from recent financial settlements with the State will be invested in municipal restructuring. This funding will be used to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and related property tax burdens. Funding could also cover existing grant and aid programs that encourage local government and school district restructuring and efficiency.
- **Capping Local Youth Facility Costs:** The Enacted Budget caps the mandated costs imposed on counties and New York City for the operation of New York State OCFS juvenile facilities. Billings will be capped at \$55 million annually through FY 2019, providing total local savings of \$425 million over this time period. New York City's savings will be reinvested in homeless assistance programs and services.
- **Auditing NYSHIP Dependent Eligibility:** The Department of Civil Service will oversee an external audit of dependent eligibility in the employee and retiree health plan (NYSHIP). The removal of ineligible dependents (e.g., ex-spouses) from NYSHIP could save local governments more than \$10 million. Importantly, the Enacted Budget provides legislation which would protect employees who voluntarily identify ineligible dependents during a special amnesty period.
- **Supporting Local Water Fluoridation Systems:** The Enacted Budget includes \$5 million in grants to counties, cities, towns, or villages for the implementation costs of installing new fluoridation systems and/or the repair or upgrading of existing fluoridation equipment for public water systems.

Glossary of Acronyms

| | |
|--------|---|
| AAA | Area Agencies on Aging |
| ACA | Affordable Care Act |
| ACT | Assertive Community Treatment |
| ADW | Advanced Deposit Wagering |
| AG | Attorney General |
| AIG | American International Group, Inc. |
| AIM | Aid and Incentives for Municipalities |
| ALICO | American Life Insurance Company |
| AML | Anti-Money Laundering |
| AMTOAP | Additional Mass Transportation Operating Assistance Program |
| APCD | All-Payer Claims Database |
| ARC | Annual Required Contribution |
| ARRA | American Recovery and Reinvestment Act of 2009 |
| AXA | AXA Equitable Life Insurance Company |
| BAN | Bond Anticipation Note |
| BEA | Bureau of Economic Analysis |
| BHP | Basic Health Plan |
| BIP | Balancing Incentive Program |
| BNPP | BNP Paribas, S.A., New York Branch |
| BOCES | Boards of Cooperative Educational Services |
| BofA | Bank of America |
| BSA | Bank Security Act |
| BTMU | Bank of Tokyo-Mitsubishi UFJ, Ltd. |
| CHIPs | Consolidated Local Street & Highway Improvement Program |
| CHP | Child Health Plus |
| CMS | Centers for Medicare and Medicaid Services |
| COLA | Cost of Living Adjustment |
| CPI | Consumer Price Index |
| CSEA | Civil Service Employees Association |
| CUNY | City University of New York |
| DA | District Attorney |
| DAB | Departmental Appeals Board |
| DANY | New York County District Attorney |
| DASNY | Dormitory Authority of the State of New York |
| DC-37 | District Council-37 |
| DCJS | Department of Criminal Justice Services |
| DDPC | Developmental Disabilities Planning Council |
| DEC | Department of Environmental Conservation |
| DelAm | Delaware American Life Insurance Company |
| DFS | Department of Financial Services |
| DHBTF | Dedicated Highway and Bridge Trust Fund |
| DIIF | Dedicated Infrastructure Investment Fund |
| DMV | Department of Motor Vehicles |
| DOB | Division of the Budget |
| DOCCS | Department of Corrections and Community Supervision |
| DOH | Department of Health |
| DOL | Department of Labor |
| DOS | Department of State |
| DOT | Department of Transportation |
| DRP | Deficit Reduction Plan |

| | |
|----------|---|
| DRRF | Debt Reduction Reserve Fund |
| DS | Debt Service |
| DSHP | Designated State Health Program |
| DSRIP | Delivery System Reform Incentive Payment |
| DTF | Department of Taxation and Finance |
| EFC | Environmental Facilities Corporation |
| EI | Early Intervention |
| EPIC | Elderly Pharmaceutical Insurance Coverage |
| ERS | Employees' Retirement System |
| ESD | Empire State Development |
| ETIP | Employee Training Incentive Program |
| FEMA | Federal Emergency Management Agency |
| FHP | Family Health Plus |
| FPG | Fortis Property Group |
| FTE | Full-Time Equivalent |
| FY | Fiscal Year |
| GAAP | Generally Accepted Accounting Principles |
| GASB | Governmental Accounting Standards Board |
| GDP | Gross Domestic Product |
| GEA | Gap Elimination Adjustment |
| GLIP | Group Life Insurance Plan |
| GOER | Governor's Office of Employee Relations |
| GPHW | General Public Health Work |
| GSCs | General State Charges |
| GSEU | Graduate Student Employees Union |
| HCRA | Health Care Reform Act |
| HESC | Higher Education Services Corporation |
| HHS | Health & Human Services |
| IAAF | Interim Access Assurance Fund |
| ICF/IID | Intermediate Care Facilities for Individuals with Intellectual Disabilities |
| IPO | Initial Public Offering |
| IT | Information Technology |
| LGAC | Local Government Assistance Corporation |
| LICH | Long Island College Hospital |
| LIPA | Long Island Power Authority |
| LLC | Limited Liability Company |
| MA | Medicaid |
| MCTD | Metropolitan Commuter Transportation District |
| MMTOA | Metropolitan Mass Transportation Operating Assistance Account |
| MP-2014 | Mortality Improvement Scale - MP-2014 |
| MRT | Medicaid Redesign Team |
| MTA | Metropolitan Transportation Authority |
| MTACIF | Metropolitan Transit Assistance for Capital Investment Fund |
| NPS | Non-Personal Service |
| NYC | New York City |
| NYPA | New York Power Authority |
| NYRA | New York Racing Association |
| NYS | New York State |
| NYSAGI | New York State Adjusted Gross Income |
| NYSCOPBA | New York State Correctional Officers and Police Benevolent Association |

| | |
|---------|---|
| NYSHIP | New York State Health Insurance Program |
| NYSLRS | New York State & Local Retirement System |
| NYSOH | New York State of Health |
| NYSPBA | The Police Benevolent Association of the New York State Troopers, Inc. |
| NYU | New York University |
| OASAS | Office of Alcoholism and Substance Abuse Services |
| OCA | Office of Court Administration |
| OCFS | Office of Children and Family Services |
| OMH | Office of Mental Health |
| OPEB | Other Post-Employment Benefits |
| OPWDD | Office for People with Developmental Disabilities |
| OSC | Office of the State Comptroller |
| OTDA | Office of Temporary and Disability Assistance |
| PAYGO | Pay-As-You-Go |
| PBA | Police Benevolent Association |
| PBANYS | Police Benevolent Association of New York State |
| PBT | Petroleum Business Tax |
| PwC | PricewaterhouseCoopers LLP |
| PEF | Public Employees Federation |
| PFRS | Police and Fire Retirement System |
| PI | Personal Income |
| PIT | Personal Income Tax |
| PS | Personal Service |
| RBTF | Revenue Bond Tax Fund |
| RFP | Request for Proposals |
| SCB NY | Standard Chartered Bank, New York Branch |
| SEIT | Special Education Itinerant Teacher |
| SFY | School Fiscal Year |
| SHIN-NY | Statewide Health Information Network for New York |
| SIF | State Insurance Fund |
| SOF | State Operating Funds |
| SOFA | State Office for the Aging |
| SONYMA | State of New York Mortgage Agency |
| SRO | State Special Revenue |
| SSI | Supplemental Security Income |
| STAR | School Tax Relief |
| STEM | Science, Technology, Engineering and Math |
| STIP | Short-Term Investment Pool |
| SUNY | State University of New York |
| SY | School Year |
| TA | Thruway Authority |
| TANF | Temporary Assistance for Needy Families |
| TAP | Tuition Assistance Program |
| TIAA | Teachers Insurance and Annuity Association - College Retirement Equities Fund |
| TSCR | Tribal State Compact Revenue |
| U.S. | United States |
| UUP | United University Professions |
| VLG | Video Lottery Gaming |
| VLT | Video Lottery Terminal |
| WCB | Workers' Compensation Board |



Financial Plan Tables and Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic

development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds such as the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

Personal Service - Includes the payment of salaries and compensation for State employees.

Non-Personal Service - Includes payments for miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

GSCs - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional facilities and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of balances in the General Fund:

Tax Stabilization Reserve Fund - Created pursuant to law in order to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

Rainy Day Reserve Fund - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve Fund - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects Fund - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2016 Enacted Budget includes no new appropriations.

Debt Management - Reserved for i) the payment of principal, interest, or related expenses, ii) retiring or defeasing existing State-supported debt obligations, including accrued interest, and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for.”

Prior-Term Labor Agreements - Due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Monetary Settlements - Includes balance of receipts from monetary settlements. See page 26 for more information.

Note 5 — Spending Adjustments

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

Note 6 — Items Affecting Annual Comparability

American Recovery and Reinvestment Act of 2009 (ARRA) - On February 17, 2009, President Barack Obama signed into law the ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Primary ARRA-related support ended in FY 2012.

Superstorm Sandy - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings, sustained serious damage. The FY 2016 Enacted Budget reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the next three years to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

- **Affordable Care Act** - The ACA, which became effective January 1, 2014, is intended to provide access to health insurance coverage, ensure appropriate access to care, and to contain escalating health care costs. The FY 2016 Enacted Budget Financial Plan reflects the impact of the ACA in a number of ways, including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance benefit exchange, which is the State's single point of access insurance marketplace as mandated by the ACA, and began implementing new information systems designed to improve health insurance claiming.
- **Federal Delivery Service Reform Incentive Payments** - On April 14, 2014 Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The FY 2016 Enacted Budget Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion over the five-year planning period. The remainder of the DSRIP program reinvestment funding is expected to be disbursed beyond the current planning period.
- **Basic Health Plan** - The BHP is a health insurance program, authorized through the provisions of the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the BHP. The FY 2016 Enacted Budget assumes the State will participate and begin phasing in certain legally residing immigrants currently receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the BHP will be enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal government. When fully implemented, approximately 75 percent of program expenditures are expected to be financed with Federal funding. The State funding for BHP in the FY 2016 Enacted Budget is offset by State Funds Medicaid program savings, and additional Federal Funds are recognized through the duration of the planning period.

Mergers - State agency mergers in recent years include the following:

- The New York State Gaming Commission was created on February 1, 2013. The Commission merged the functions and responsibilities of the former Division of the Lottery and the former Racing and Wagering Board. As such, actual and estimated spending beginning in FY 2014 are reflected in the new agency.
- The activities of the Commission on Quality of Care and Advocacy for Persons with Disabilities (CQCAPD) were subsumed by the New York State Justice Center for the Protection of People with Special Needs when it became operational on June 30, 2013.
- Division of Parole merged with the Department of Correctional Services to become the new DOCCS.
- Consumer Protection Board merged into DOS.
- Foundation for Science, Technology, and Innovation merged into the Department of Economic Development.
- Banking and Insurance Departments merged into a new agency known as the Department of Financial Services.

Note 7 – State Funding of SUNY Operating Support

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending to General Fund transfers. SUNY's special revenue tuition offset account, which was traditionally used to offset the State's direct General Fund spending for SUNY operations, now reflects all spending for the university operations, effective with the academic year that began in July 2012. All General Fund support for SUNY operations is now transferred by the General Fund to this special revenue account, supplementing tuition revenue also being received by this account, and from which all university operations are funded.

Note 8 – Off-Budget Transactions

Represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a loan from STIP or the General Fund.

Note 9 – General Fund/HCRA Combined Gap

The FY 2015 Enacted Budget extended the HCRA authorization through March 31, 2017. HCRA is projected to remain balanced through FY 2019. However, any unaddressed shortfall would need to be financed by the General Fund or reduction in HCRA programs.

Note 10 – MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the MCTD. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.

Additional funding to support the total aid provided to the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

Note 11 – Changes to the Medicaid Program

Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level that would have otherwise been paid by Local Social Services Districts. These statutory indexing provisions were amended through legislation included in the FY 2013 Enacted Budget to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

Note 12 – Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

Note 13 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller's accounting practices shown in Exhibit G and I of the Comptroller's Annual Report to the Legislature.

Note 14 — Outstanding Temporary Loans Summary

The total outstanding loan balance as of March 31, 2015 was \$2.3 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$1 billion), activities that are financed initially by the State pending Federal receipt (\$719 million), State Special Revenue Funds (\$323 million), and Proprietary Funds (\$234 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

Note 15 — Pension Amortization

The Financial Plan projections assume that the State and OCA will amortize pension costs above a certain percentage of payroll, as authorized by legislation enacted in FY 2011. Amortization (deferment of payment) temporarily reduces the pension costs that must be paid by the State in a given fiscal year, but results in higher costs overall when repaid with interest. Consistent with the authorizing legislation, the interest cost is calculated by OSC. In FY 2015, the interest rate charged on the deferred amounts was 3.15 percent. Beginning in FY 2016, repayments of amortizations in the following table assume that 3.15 percent interest will continue to be charged. The amortization threshold (the "graded rate") is projected to exceed the normal rate in each of the next four fiscal years. Therefore, amortization of State and OCA pension costs is also projected through FY 2019.

| STATE PENSION COSTS AND AMORTIZATION SAVINGS (millions of dollars) | | | | | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | FY 2011 | FY 2012 | FY 2013 | FY 2014 | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 |
| AMORTIZATION THRESHOLDS (Graded Rate) | | | | | | | | | |
| ERS (%) | 9.5 | 10.5 | 11.5 | 12.5 | 13.5 | 14.5 | 15.5 | 16.5 | 17.5 |
| PFRS (%) | 17.5 | 18.5 | 19.5 | 20.5 | 21.5 | 22.5 | 23.5 | 24.5 | 25.5 |
| STATEWIDE PENSION (NET COST) | 1,470 | 1,697 | 1,601 | 2,086 | 2,130 | 2,219 | 2,467 | 2,660 | 2,890 |
| Gross Pension Costs | 1,633 | 2,141 | 2,192 | 2,744 | 2,429 | 2,226 | 2,334 | 2,404 | 2,519 |
| (Amortization Savings) / Excess Contributions | (250) | (563) | (779) | (937) | (713) | (395) | (299) | (212) | (122) |
| Repayment of Amortization (incl. FY 2005 and FY 2006) | 87 | 119 | 188 | 279 | 414 | 388 | 432 | 468 | 493 |

Note 16 —2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

Note 17 —Reclassification of SUNY Dormitory Income Fund

Legislation included with the FY 2014 Enacted Budget created a new bonding program for SUNY Dormitory Facilities. The program is supported solely by third-party revenues generated by student rents. All rental revenues initially flow to DASNY for the payment of debt service on SUNY Dormitory Facilities, after which the balance of rental revenues is credited to the State's SUNY Dormitory Income fund to support other costs associated with SUNY Dormitory Facilities. There will be no prospective debt service spending from the SUNY Dormitory Income fund, and therefore this fund was reclassified from a Debt Service Fund to a Special Revenue Fund of the State, effective in FY 2015.

General Fund – Total Budget

| | |
|--|-----|
| Financial Plan, Annual Change from FY 2014 to FY 2015..... | T-1 |
| Financial Plan, Annual Change from FY 2015 to FY 2016..... | T-2 |
| FY 2015 Year-End (Change from FY 2016 Executive)..... | T-3 |
| FY 2015 Year-End (Change from FY 2015 Enacted Budget)..... | T-4 |
| FY 2016..... | T-5 |
| FY 2017..... | T-6 |
| FY 2018..... | T-7 |
| FY 2019..... | T-8 |
| Financial Plan Projections FY 2016 through FY 2019..... | T-9 |

General Fund – Revenue Detail (Excluding Transfers)

| | |
|--|------|
| Financial Plan Projections FY 2016 through FY 2019..... | T-10 |
| Financial Plan, Annual Change from FY 2015 to FY 2016..... | T-11 |

State Operating Funds Budget

| | |
|--|------|
| FY 2014..... | T-12 |
| FY 2015..... | T-13 |
| FY 2016..... | T-14 |
| FY 2017..... | T-15 |
| FY 2018..... | T-16 |
| FY 2019..... | T-17 |
| Annual Change from FY 2015 to FY 2016..... | T-18 |
| FY 2015 Year-End (Change from FY 2016 Executive)..... | T-19 |
| FY 2015 Year-End (Change from FY 2015 Enacted Budget)..... | T-20 |

All Governmental Funds – Total Budget

| | |
|--|------|
| FY 2014..... | T-21 |
| FY 2015..... | T-22 |
| FY 2016..... | T-23 |
| FY 2017..... | T-24 |
| FY 2018..... | T-25 |
| FY 2019..... | T-26 |
| Annual Change from FY 2015 to FY 2016..... | T-27 |
| FY 2015 Year-End (Change from FY 2016 Executive)..... | T-28 |
| FY 2015 Year-End (Change from FY 2015 Enacted Budget)..... | T-29 |

All Governmental Funds – Revenue Detail

| | |
|---|------|
| FY 2015 | T-30 |
| FY 2016 | T-31 |
| FY 2017 | T-32 |
| FY 2018 | T-33 |
| FY 2019 | T-34 |
| Annual Change from FY 2015 to FY 2016 | T-35 |

Special Revenue Funds

| | |
|--|------|
| FY 2014 | T-36 |
| FY 2015 | T-37 |
| FY 2016 | T-38 |
| FY 2017 | T-39 |
| FY 2018 | T-40 |
| FY 2019 | T-41 |
| Annual Change from FY 2015 to FY 2016 | T-42 |
| Receipts Detail, FY 2016 through FY 2019 | T-43 |
| Receipts Detail, Annual Change from FY 2015 to FY 2016 | T-44 |

Capital Budget

| | |
|--|------|
| FY 2014 | T-45 |
| FY 2015 | T-46 |
| FY 2016 | T-47 |
| FY 2017 | T-48 |
| FY 2018 | T-49 |
| FY 2019 | T-50 |
| Annual Change from FY 2015 to FY 2016 | T-51 |
| Receipts Detail, FY 2016 through FY 2019 | T-52 |
| Receipts Detail, Annual Change from FY 2015 to FY 2016 | T-52 |
| Off Budget Capital Spending, FY 2015 through FY 2019 | T-53 |

Debt Service Funds – Revenue Detail

| | |
|---|------|
| Projections FY 2016 through FY 2019 | T-54 |
| Annual Change from FY 2015 to FY 2016 | T-54 |

State Funds – Total Budget

| | |
|---|------|
| FY 2014 | T-55 |
| FY 2015 | T-56 |
| FY 2016 | T-57 |
| FY 2017 | T-58 |
| FY 2018 | T-59 |
| FY 2019 | T-60 |
| Annual Change from FY 2015 to FY 2016 | T-61 |

Cash Flow – Update of FY 2015 Actuals

| | |
|---------------------------------------|------|
| General Fund..... | T-62 |
| State Operating Funds..... | T-63 |
| All Governmental Funds..... | T-64 |
| Special Revenue Funds - Total..... | T-65 |
| Special Revenue Funds - State | T-66 |
| Special Revenue Funds - Federal..... | T-67 |
| Debt Service Funds | T-68 |
| Capital Projects Funds - Total..... | T-69 |
| Capital Projects Funds - State..... | T-70 |
| Capital Projects Funds - Federal..... | T-71 |
| State Funds..... | T-72 |

Cash Flow – FY 2016 Monthly Projections

| | |
|---------------------------------------|------|
| General Fund..... | T-73 |
| State Operating Funds..... | T-74 |
| All Governmental Funds..... | T-75 |
| Special Revenue Funds - Total..... | T-76 |
| Special Revenue Funds - State | T-77 |
| Special Revenue Funds - Federal..... | T-78 |
| Debt Service Funds | T-79 |
| Capital Projects Funds - Total..... | T-80 |
| Capital Projects Funds - State..... | T-81 |
| Capital Projects Funds - Federal..... | T-82 |
| State Funds..... | T-83 |

Health Care Reform Act Resources Fund

| | |
|--|------|
| Projections FY 2016 (Change from Executive)..... | T-84 |
| Projections FY 2016 through FY 2019..... | T-85 |
| Annual Change from FY 2015 to FY 2016 | T-85 |
| FY 2015 Monthly Cash Flow Projections | T-86 |
| FY 2016 Monthly Cash Flow Projections | T-86 |

Proprietary and Fiduciary Funds (Projections FY 2015 through FY 2019)T-87

Workforce Summary Report (FY 2014 through FY 2016)

| | |
|--------------------------------------|-------|
| General Fund | T-88 |
| State Operating Funds..... | T-90 |
| State Funds | T-92 |
| All Funds | T-94 |
| Special Revenue Fund - Other | T-96 |
| Special Revenue Fund - Federal..... | T-98 |
| Capital Projects Fund - Other | T-99 |
| Capital Projects Fund - Federal..... | T-100 |
| Enterprise Fund | T-101 |
| Internal Service Fund..... | T-102 |
| Agency Trust Fund..... | T-103 |
| Pension Trust Fund..... | T-104 |
| Private Purpose Trust Fund..... | T-105 |

Local Government Impact Summary Report

| | |
|--|-------|
| Impact on Local Fiscal Year Ending FY 2015 | T-106 |
| Impact on Local Fiscal Year Ending FY 2016 | T-106 |

Spending Detail by Agency (FY 2015 through FY 2019)

| | |
|---|-------|
| General Fund – Total (Agency Detail Excluding Transfers)..... | T-107 |
| General Fund – Total | T-115 |
| General Fund – Local Assistance | T-118 |
| General Fund – State Operations..... | T-120 |
| General Fund – Personal Service..... | T-122 |
| General Fund – Non-personal Service..... | T-124 |
| General Fund – General State Charges | T-126 |
| State Operating Funds – Total (Agency Detail) | T-127 |
| State Operating Funds – Total | T-137 |
| State Operating Funds – Local Assistance | T-140 |
| State Operating Funds – State Operations | T-142 |
| State Operating Funds – Personal Service..... | T-145 |
| State Operating Funds – Non-personal Service | T-147 |
| State Operating Funds – General State Charges | T-150 |
| State Operating Funds – Capital Projects..... | T-152 |
| Capital Projects Funds – Total..... | T-153 |
| All Governmental Funds – Total (Agency Detail) | T-155 |
| All Governmental Funds – Total | T-166 |
| All Governmental Funds – Local Assistance | T-169 |
| All Governmental Funds – State Operations..... | T-171 |
| All Governmental Funds – Personal Service..... | T-174 |
| All Governmental Funds – Non-personal Service..... | T-176 |
| All Governmental Funds – General State Charges..... | T-179 |



Financial Plan Tables and Accompanying Notes

| | |
|---|-------|
| All Governmental Funds – Capital Projects | T-181 |
| State Funds – Total..... | T-183 |
| State Funds – Local Assistance | T-186 |
| State Funds – State Operations..... | T-188 |
| State Funds – Personal Service | T-191 |
| State Funds – Non-personal Service..... | T-193 |
| State Funds – General State Charges..... | T-196 |
| State Funds – Capital Projects | T-198 |
| Special Revenue State Funds – Local Assistance | T-200 |
| Special Revenue State Funds – Personal Service..... | T-202 |
| Special Revenue State Funds – Non-personal Service..... | T-204 |
| Special Revenue State Funds – General State Charges..... | T-206 |
| Special Revenue Federal Funds – Local Assistance | T-208 |
| Special Revenue Federal Funds – Personal Service | T-210 |
| Special Revenue Federal Funds – Non-personal Service..... | T-212 |
| Special Revenue Federal Funds – General State Charges..... | T-214 |
| General Fund Transfers (FY 2015 through FY 2019) | |
| General Fund Transfers from Other Funds | T-216 |
| General Fund Transfers to Other Funds..... | T-218 |
| Transfer Pursuant to \$500 Million Authorization | T-219 |
| FY 2016 Cash Basis Combining Statement | |
| General Fund..... | T-220 |
| Special Revenue Funds..... | T-221 |
| Special Revenue Other Funds Detail by Account..... | T-224 |
| Miscellaneous Special Revenue Fund (339) Detail by Account..... | T-228 |
| Capital Projects Funds..... | T-234 |
| Debt Service Funds | T-236 |
| Internal Service | T-237 |
| Enterprise | T-238 |

Financial Plan Tables and Accompanying Notes



Cash to Appropriation Table – General Fund

| | |
|---------------|-------|
| FY 2015 | T-239 |
| FY 2016 | T-240 |

GAAP – General Fund

| | |
|---|-------|
| Update of FY 2016 (Change from Executive) | T-241 |
| Projections FY 2016 through FY 2019 | T-242 |

GAAP – All Governmental Funds

| | |
|--|-------|
| FY 2016 Financial Plan | T-243 |
| FY 2016 Major Funds Financial Plan | T-244 |

GAAP Basis Combining Statement

| | |
|----------------------------|-------|
| FY 2016 General Fund | T-245 |
|----------------------------|-------|

FY 2016 Cash to GAAP Conversion Tables

| | |
|------------------------------|-------|
| General Fund | T-246 |
| Special Revenue Funds | T-247 |
| Capital Projects Funds | T-247 |
| Debt Service Funds | T-247 |

Financial Plan Table Appendices

| | |
|-----------------------------------|-------|
| List of Joint Custody Funds | T-248 |
| State Fund Structure | T-251 |

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

| | <u>FY 2014 Results</u> | <u>FY 2015 Results</u> | <u>Annual \$ Change</u> | <u>Annual % Change</u> |
|---|----------------------------|----------------------------|-----------------------------|----------------------------|
| Opening Fund Balance | 1,610 | 2,235 | 625 | 38.8% |
| Receipts: | | | | |
| Taxes: | | | | |
| Personal Income Tax | 28,864 | 29,485 | 621 | 2.2% |
| User Taxes and Fees | 6,561 | 6,691 | 130 | 2.0% |
| Business Taxes | 6,046 | 6,265 | 219 | 3.6% |
| Other Taxes | 1,256 | 1,128 | (128) | -10.2% |
| Miscellaneous Receipts | 3,219 | 8,410 | 5,191 | 161.3% |
| Federal Receipts | 0 | 2 | 2 | 0.0% |
| Transfers from Other Funds: | | | | |
| PIT in Excess of Revenue Bond Debt Service | 8,822 | 8,659 | (163) | -1.8% |
| Sales Tax in Excess of LGAC | 2,568 | 2,632 | 64 | 2.5% |
| Sales Tax in Excess of Revenue Bond Debt Service | 2,936 | 2,940 | 4 | 0.1% |
| Real Estate Taxes in Excess of CW/CA Debt Service | 705 | 844 | 139 | 19.7% |
| All Other | 891 | 865 | (26) | -2.9% |
| Total Receipts | <u>61,868</u> | <u>67,921</u> | <u>6,053</u> | <u>9.8%</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 39,940 | 41,592 | 1,652 | 4.1% |
| Departmental Operations: | | | | |
| Personal Service | 5,563 | 5,806 | 243 | 4.4% |
| Non-Personal Service | 1,746 | 1,858 | 112 | 6.4% |
| General State Charges | 4,899 | 4,999 | 100 | 2.0% |
| Transfers to Other Funds: | | | | |
| Debt Service | 1,972 | 1,297 | (675) | -34.2% |
| Capital Projects | 1,436 | 1,264 | (172) | -12.0% |
| State Share of Mental Hygiene Medicaid | 1,576 | 1,419 | (157) | -10.0% |
| SUNY Operations | 971 | 980 | 9 | 0.9% |
| Other Purposes | 3,140 | 3,641 | 501 | 16.0% |
| Total Disbursements | <u>61,243</u> | <u>62,856</u> | <u>1,613</u> | <u>2.6%</u> |
| Excess (Deficiency) of Receipts Over Disbursements | <u>625</u> | <u>5,065</u> | <u>4,440</u> | <u>710.4%</u> |
| Closing Fund Balance | <u>2,235</u> | <u>7,300</u> | <u>5,065</u> | <u>226.6%</u> |
| Statutory Reserves | | | | |
| Tax Stabilization Reserve Fund | 1,131 | 1,258 | 127 | |
| Rainy Day Reserve Fund | 350 | 540 | 190 | |
| Contingency Reserve Fund | 21 | 21 | 0 | |
| Community Projects Fund | 87 | 74 | (13) | |
| Reserved For | | | | |
| Prior-Year Labor Agreements (2007-2011) | 88 | 50 | (38) | |
| Debt Management | 500 | 500 | 0 | |
| Undesignated Fund Balance | 0 | 190 | 190 | |
| J.P. Morgan Settlement Proceeds | 58 | 0 | (58) | |
| Monetary Settlements | 0 | 4,667 | 4,667 | |

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>Annual \$ Change</u> | <u>Annual % Change</u> |
|---|----------------------------|----------------------------|-----------------------------|----------------------------|
| Opening Fund Balance | 2,235 | 7,300 | 5,065 | 226.6% |
| Receipts: | | | | |
| Taxes: | | | | |
| Personal Income Tax | 29,485 | 31,924 | 2,439 | 8.3% |
| Consumption/Use Taxes | 6,691 | 6,890 | 199 | 3.0% |
| Business Taxes | 6,265 | 5,897 | (368) | -5.9% |
| Other Taxes | 1,128 | 1,069 | (59) | -5.2% |
| Miscellaneous Receipts | 8,410 | 4,365 | (4,045) | -48.1% |
| Federal Receipts | 2 | 0 | (2) | -100.0% |
| Transfers from Other Funds: | | | | |
| PIT in Excess of Revenue Bond Debt Service | 8,659 | 10,215 | 1,556 | 18.0% |
| Sales Tax in Excess of LGAC | 2,632 | 2,767 | 135 | 5.1% |
| Sales Tax in Excess of Revenue Bond Debt Service | 2,940 | 2,966 | 26 | 0.9% |
| Real Estate Taxes in Excess of CW/CA Debt Service | 844 | 894 | 50 | 5.9% |
| All Other | 865 | 1,298 | 433 | 50.1% |
| Total Receipts | <u>67,921</u> | <u>68,285</u> | <u>364</u> | <u>0.5%</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 41,592 | 44,356 | 2,764 | 6.6% |
| Departmental Operations: | | | | |
| Personal Service | 5,806 | 6,079 | 273 | 4.7% |
| Non-Personal Service | 1,858 | 2,184 | 326 | 17.5% |
| General State Charges | 4,999 | 5,195 | 196 | 3.9% |
| Transfers to Other Funds: | | | | |
| Debt Service | 1,297 | 886 | (411) | -31.7% |
| Capital Projects | 1,264 | 5,947 | 4,683 | 370.5% |
| State Share of Mental Hygiene Medicaid | 1,419 | 2,162 | 743 | 52.4% |
| SUNY Operations | 980 | 998 | 18 | 1.8% |
| Other Purposes | 3,641 | 4,283 | 642 | 17.6% |
| Total Disbursements | <u>62,856</u> | <u>72,090</u> | <u>9,234</u> | <u>14.7%</u> |
| Excess (Deficiency) of Receipts Over Disbursements | <u>5,065</u> | <u>(3,805)</u> | <u>(8,870)</u> | <u>-175.1%</u> |
| Closing Fund Balance | <u>7,300</u> | <u>3,495</u> | <u>(3,805)</u> | <u>-52.1%</u> |
| Statutory Reserves | | | | |
| Tax Stabilization Reserve Fund | 1,258 | 1,258 | 0 | |
| Rainy Day Reserve Fund | 540 | 540 | 0 | |
| Contingency Reserve Fund | 21 | 21 | 0 | |
| Community Projects Fund | 74 | 74 | 0 | |
| Reserved For | | | | |
| Prior-Term Labor Agreements | 50 | 60 | 10 | |
| Debt Management | 500 | 500 | 0 | |
| Undesignated Fund Balance | 190 | 0 | (190) | |
| Monetary Settlements | 4,667 | 1,042 | (3,625) | |

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2015
(millions of dollars)**

| | <u>Executive</u> | <u>Change</u> | <u>Results</u> |
|---|---------------------|---------------------|---------------------|
| Opening Fund Balance | 2,235 | 0 | 2,235 |
| Receipts: | | | |
| Taxes: | | | |
| Personal Income Tax | 29,486 | (1) | 29,485 |
| Consumption/Use Taxes | 6,700 | (9) | 6,691 |
| Business Taxes | 5,576 | 689 | 6,265 |
| Other Taxes | 1,188 | (60) | 1,128 |
| Miscellaneous Receipts | 8,874 | (464) | 8,410 |
| Federal Receipts | 2 | 0 | 2 |
| Transfers from Other Funds: | | | |
| PIT in Excess of Revenue Bond Debt Service | 9,030 | (371) | 8,659 |
| Sales Tax in Excess of LGAC | 2,660 | (28) | 2,632 |
| Sales Tax in Excess of Revenue Bond Debt Service | 2,968 | (28) | 2,940 |
| Real Estate Taxes in Excess of CW/CA Debt Service | 826 | 18 | 844 |
| All Other | 1,404 | (539) | 865 |
| Total Receipts | <u>68,714</u> | <u>(793)</u> | <u>67,921</u> |
| Disbursements: | | | |
| Local Assistance Grants | 41,986 | (394) | 41,592 |
| Departmental Operations: | | | |
| Personal Service | 5,849 | (43) | 5,806 |
| Non-Personal Service | 2,023 | (165) | 1,858 |
| General State Charges | 4,977 | 22 | 4,999 |
| Transfers to Other Funds: | | | |
| Debt Service | 1,291 | 6 | 1,297 |
| Capital Projects | 888 | 376 | 1,264 |
| State Share of Mental Hygiene Medicaid | 1,448 | (29) | 1,419 |
| SUNY Operations | 980 | 0 | 980 |
| Other Purposes | 3,739 | (98) | 3,641 |
| Total Disbursements | <u>63,181</u> | <u>(325)</u> | <u>62,856</u> |
| Excess (Deficiency) of Receipts Over Disbursements | <u>5,533</u> | <u>(468)</u> | <u>5,065</u> |
| Closing Fund Balance | <u><u>7,768</u></u> | <u><u>(468)</u></u> | <u><u>7,300</u></u> |
| Statutory Reserves | | | |
| Tax Stabilization Reserve Fund | 1,256 | 2 | 1,258 |
| Rainy Day Reserve Fund | 540 | 0 | 540 |
| Contingency Reserve Fund | 21 | 0 | 21 |
| Community Projects Fund | 0 | 74 | 74 |
| Reserved For | | | |
| Prior-Year Labor Agreements (2007-2011) | 51 | (1) | 50 |
| Debt Management | 500 | 0 | 500 |
| Undesignated Reserve | 0 | 190 | 190 |
| J.P. Morgan Settlement Proceeds | 0 | 0 | 0 |
| Monetary Settlements | 5,400 | (733) | 4,667 |

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2015
(millions of dollars)**

| | <u>Enacted</u> | <u>Change</u> | <u>Results</u> |
|---|----------------|---------------|----------------|
| Opening Fund Balance | 2,235 | 0 | 2,235 |
| Receipts: | | | |
| Taxes: | | | |
| Personal Income Tax | 29,372 | 113 | 29,485 |
| Consumption/Use Taxes | 6,652 | 39 | 6,691 |
| Business Taxes | 5,438 | 827 | 6,265 |
| Other Taxes | 1,197 | (69) | 1,128 |
| Miscellaneous Receipts | 3,815 | 4,595 | 8,410 |
| Federal Receipts | 0 | 2 | 2 |
| Transfers from Other Funds: | | | |
| PIT in Excess of Revenue Bond Debt Service | 9,038 | (379) | 8,659 |
| Sales Tax in Excess of LGAC | 2,628 | 4 | 2,632 |
| Sales Tax in Excess of Revenue Bond Debt Service | 2,908 | 32 | 2,940 |
| Real Estate Taxes in Excess of CW/CA Debt Service | 761 | 83 | 844 |
| All Other | 1,153 | (288) | 865 |
| Total Receipts | <u>62,962</u> | <u>4,959</u> | <u>67,921</u> |
| Disbursements: | | | |
| Local Assistance Grants | 42,118 | (526) | 41,592 |
| Departmental Operations: | | | |
| Personal Service | 5,890 | (84) | 5,806 |
| Non-Personal Service | 1,960 | (102) | 1,858 |
| General State Charges | 5,072 | (73) | 4,999 |
| Transfers to Other Funds: | | | |
| Debt Service | 1,081 | 216 | 1,297 |
| Capital Projects | 930 | 334 | 1,264 |
| State Share of Mental Hygiene Medicaid | 1,638 | (219) | 1,419 |
| SUNY Operations | 977 | 3 | 980 |
| Other Purposes | 3,476 | 165 | 3,641 |
| Total Disbursements | <u>63,142</u> | <u>(286)</u> | <u>62,856</u> |
| Excess (Deficiency) of Receipts Over Disbursements | <u>(180)</u> | <u>5,245</u> | <u>5,065</u> |
| Closing Fund Balance | <u>2,055</u> | <u>5,245</u> | <u>7,300</u> |
| Statutory Reserves | | | |
| Tax Stabilization Reserve Fund | 1,131 | 127 | 1,258 |
| Rainy Day Reserve Fund | 350 | 190 | 540 |
| Contingency Reserve Fund | 21 | 0 | 21 |
| Community Projects Fund | 0 | 74 | 74 |
| Reserved For | | | |
| Prior-Year Labor Agreements (2007-2011) | 53 | (3) | 50 |
| Debt Management | 500 | 0 | 500 |
| Undesignated Reserve | 0 | 190 | 190 |
| J.P. Morgan Settlement Proceeds | 0 | 0 | 0 |
| Monetary Settlements | 0 | 4,667 | 4,667 |

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2016
(millions of dollars)**

| | <u>Executive</u> | <u>Change</u> | <u>Enacted</u> |
|---|------------------|---------------|----------------|
| Receipts: | | | |
| Taxes: | | | |
| Personal Income Tax | 31,845 | 79 | 31,924 |
| Consumption/Use Taxes | 7,009 | (119) | 6,890 |
| Business Taxes | 5,894 | 3 | 5,897 |
| Other Taxes | 1,124 | (55) | 1,069 |
| Miscellaneous Receipts | 2,926 | 1,439 | 4,365 |
| Transfers from Other Funds: | | | |
| PIT in Excess of Revenue Bond Debt Service | 9,777 | 438 | 10,215 |
| Sales Tax in Excess of LGAC | 2,797 | (30) | 2,767 |
| Sales Tax in Excess of Revenue Bond Debt Service | 2,996 | (30) | 2,966 |
| Real Estate Taxes in Excess of CW/CA Debt Service | 844 | 50 | 894 |
| All Other | 878 | 420 | 1,298 |
| Total Receipts | <u>66,090</u> | <u>2,195</u> | <u>68,285</u> |
| Disbursements: | | | |
| Local Assistance Grants | 43,916 | 440 | 44,356 |
| Departmental Operations: | | | |
| Personal Service | 6,064 | 15 | 6,079 |
| Non-Personal Service | 2,168 | 16 | 2,184 |
| General State Charges | 5,213 | (18) | 5,195 |
| Transfers to Other Funds: | | | |
| Debt Service | 915 | (29) | 886 |
| Capital Projects | 5,991 | (44) | 5,947 |
| State Share of Mental Hygiene Medicaid | 1,312 | 850 | 2,162 |
| SUNY Operations | 985 | 13 | 998 |
| Other Purposes | 4,065 | 218 | 4,283 |
| Total Disbursements | <u>70,629</u> | <u>1,461</u> | <u>72,090</u> |
| Use (Reservation) of Fund Balance: | | | |
| Tax Stabilization Reserve Fund | 0 | 0 | 0 |
| Rainy Day Reserve Fund | 0 | 0 | 0 |
| Contingency Reserve Fund | 0 | 0 | 0 |
| Community Projects Fund | 0 | 0 | 0 |
| Prior-Term Labor Agreements | (11) | 1 | (10) |
| Debt Management | 0 | 0 | 0 |
| Undesignated Fund Balance | 0 | 190 | 190 |
| Monetary Settlements | 4,550 | (925) | 3,625 |
| Total Use (Reservation) of Fund Balance | <u>4,539</u> | <u>(734)</u> | <u>3,805</u> |
| Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements | | | |
| | <u>0</u> | <u>0</u> | <u>0</u> |

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2017
(millions of dollars)**

| | <u>Executive</u> | <u>Change</u> | <u>Enacted</u> |
|---|------------------|---------------|----------------|
| Receipts: | | | |
| Taxes: | | | |
| Personal Income Tax | 33,915 | 203 | 34,118 |
| Consumption/Use Taxes | 7,291 | (95) | 7,196 |
| Business Taxes | 5,765 | 27 | 5,792 |
| Other Taxes | 1,031 | (47) | 984 |
| Miscellaneous Receipts | 2,656 | (65) | 2,591 |
| Federal Receipts | | | |
| Transfers from Other Funds: | | | |
| PIT in Excess of Revenue Bond Debt Service | 10,219 | 221 | 10,440 |
| Sales Tax in Excess of LGAC | 2,964 | (47) | 2,917 |
| Sales Tax in Excess of Revenue Bond Debt Service | 3,045 | (46) | 2,999 |
| Real Estate Taxes in Excess of CW/CA Debt Service | 903 | 45 | 948 |
| All Other | 740 | 0 | 740 |
| Total Receipts | <u>68,529</u> | <u>196</u> | <u>68,725</u> |
| Disbursements: | | | |
| Local Assistance Grants | 46,456 | 327 | 46,783 |
| Departmental Operations: | | | |
| Personal Service | 6,093 | (44) | 6,049 |
| Non-Personal Service | 2,222 | 40 | 2,262 |
| General State Charges | 5,710 | 0 | 5,710 |
| Transfers to Other Funds: | | | |
| Debt Service | 1,245 | (3) | 1,242 |
| Capital Projects | 1,823 | 21 | 1,844 |
| State Share of Mental Hygiene Medicaid | 1,339 | 100 | 1,439 |
| SUNY Operations | 974 | 4 | 978 |
| Other Purposes | 4,390 | 52 | 4,442 |
| Total Disbursements | <u>70,252</u> | <u>497</u> | <u>70,749</u> |
| Use (Reservation) of Fund Balance: | | | |
| Community Projects Fund | 0 | 0 | 0 |
| Prior-Term Labor Agreements | (12) | (18) | (30) |
| Monetary Settlements | 0 | 0 | 0 |
| Total Use (Reservation) of Fund Balance | <u>(12)</u> | <u>(18)</u> | <u>(30)</u> |
| Adherence to 2% Spending Benchmark | 1,937 | 396 | 2,333 |
| Net General Fund Surplus (Deficit) | <u>202</u> | <u>77</u> | <u>279</u> |

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2018
(millions of dollars)**

| | <u>Executive</u> | <u>Change</u> | <u>Enacted</u> |
|---|------------------|---------------|----------------|
| Receipts: | | | |
| Taxes: | | | |
| Personal Income Tax | 35,658 | 617 | 36,275 |
| Consumption/Use Taxes | 7,547 | (96) | 7,451 |
| Business Taxes | 5,867 | 92 | 5,959 |
| Other Taxes | 981 | (71) | 910 |
| Miscellaneous Receipts | 2,366 | (13) | 2,353 |
| Federal Receipts | | | |
| Transfers from Other Funds: | | | |
| PIT in Excess of Revenue Bond Debt Service | 10,576 | 359 | 10,935 |
| Sales Tax in Excess of LGAC | 3,176 | (47) | 3,129 |
| Sales Tax in Excess of Revenue Bond Debt Service | 3,071 | (49) | 3,022 |
| Real Estate Taxes in Excess of CW/CA Debt Service | 962 | 28 | 990 |
| All Other | 739 | 0 | 739 |
| Total Receipts | <u>70,943</u> | <u>820</u> | <u>71,763</u> |
| Disbursements: | | | |
| Local Assistance Grants | 49,149 | 11 | 49,160 |
| Departmental Operations: | | | |
| Personal Service | 6,203 | (127) | 6,076 |
| Non-Personal Service | 2,480 | 8 | 2,488 |
| General State Charges | 6,032 | 0 | 6,032 |
| Transfers to Other Funds: | | | |
| Debt Service | 1,411 | 11 | 1,422 |
| Capital Projects | 2,042 | 30 | 2,072 |
| State Share of Mental Hygiene Medicaid | 1,214 | 99 | 1,313 |
| SUNY Operations | 969 | 0 | 969 |
| Other Purposes | 4,781 | 87 | 4,868 |
| Total Disbursements | <u>74,281</u> | <u>119</u> | <u>74,400</u> |
| Use (Reservation) of Fund Balance: | | | |
| Prior-Term Labor Agreements | (10) | 0 | (10) |
| Monetary Settlements | 0 | 0 | 0 |
| Total Use (Reservation) of Fund Balance | <u>(10)</u> | <u>0</u> | <u>(10)</u> |
| Adherence to 2% Spending Benchmark | 4,195 | 154 | 4,349 |
| Net General Fund Surplus (Deficit) | <u>847</u> | <u>855</u> | <u>1,702</u> |

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2019
(millions of dollars)**

| | <u>Executive</u> | <u>Change</u> | <u>Enacted</u> |
|---|------------------|---------------|----------------|
| Receipts: | | | |
| Taxes: | | | |
| Personal Income Tax | 36,715 | 552 | 37,267 |
| Consumption/Use Taxes | 7,822 | (97) | 7,725 |
| Business Taxes | 5,970 | 139 | 6,109 |
| Other Taxes | 926 | (52) | 874 |
| Miscellaneous Receipts | 2,277 | (65) | 2,212 |
| Federal Receipts | 0 | 0 | 0 |
| Transfers from Other Funds: | | | |
| PIT in Excess of Revenue Bond Debt Service | 10,691 | 315 | 11,006 |
| Sales Tax in Excess of LGAC | 3,210 | (47) | 3,163 |
| Sales Tax in Excess of Revenue Bond Debt Service | 3,098 | (50) | 3,048 |
| Real Estate Taxes in Excess of CW/CA Debt Service | 1,026 | 11 | 1,037 |
| All Other | 747 | (23) | 724 |
| Total Receipts | <u>72,482</u> | <u>683</u> | <u>73,165</u> |
| Disbursements: | | | |
| Local Assistance Grants | 51,595 | 58 | 51,653 |
| Departmental Operations: | | | |
| Personal Service | 6,234 | (130) | 6,104 |
| Non-Personal Service | 2,298 | 4 | 2,302 |
| General State Charges | 6,349 | 0 | 6,349 |
| Transfers to Other Funds: | | | |
| Debt Service | 1,198 | 12 | 1,210 |
| Capital Projects | 2,290 | 5 | 2,295 |
| State Share of Mental Hygiene Medicaid | 1,155 | 100 | 1,255 |
| SUNY Operations | 969 | 0 | 969 |
| Other Purposes | 5,202 | 31 | 5,233 |
| Total Disbursements | <u>77,290</u> | <u>80</u> | <u>77,370</u> |
| Use (Reservation) of Fund Balance: | | | |
| Prior-Term Labor Agreements | (11) | 1 | (10) |
| Monetary Settlements | 0 | 0 | 0 |
| Total Use (Reservation) of Fund Balance | <u>(11)</u> | <u>1</u> | <u>(10)</u> |
| Adherence to 2% Spending Benchmark | 5,486 | 335 | 5,821 |
| Net General Fund Surplus (Deficit) | <u>667</u> | <u>939</u> | <u>1,606</u> |

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2016 through FY 2019
(millions of dollars)**

| | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|------------------------------|------------------------------|------------------------------|
| Receipts: | | | | |
| Taxes: | | | | |
| Personal Income Tax | 31,924 | 34,118 | 36,275 | 37,267 |
| Consumption/Use Taxes | 6,890 | 7,196 | 7,451 | 7,725 |
| Business Taxes | 5,897 | 5,792 | 5,959 | 6,109 |
| Other Taxes | 1,069 | 984 | 910 | 874 |
| Miscellaneous Receipts | 4,365 | 2,591 | 2,353 | 2,212 |
| Federal Receipts | 0 | 0 | 0 | 0 |
| Transfers from Other Funds: | | | | |
| PIT in Excess of Revenue Bond Debt Service | 10,215 | 10,440 | 10,935 | 11,006 |
| Sales Tax in Excess of LGAC | 2,767 | 2,917 | 3,129 | 3,163 |
| Sales Tax in Excess of Revenue Bond Debt Service | 2,966 | 2,999 | 3,022 | 3,048 |
| Real Estate Taxes in Excess of CW/CA Debt Service | 894 | 948 | 990 | 1,037 |
| All Other | 1,298 | 740 | 739 | 724 |
| Total Receipts | <u>68,285</u> | <u>68,725</u> | <u>71,763</u> | <u>73,165</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 44,356 | 46,783 | 49,160 | 51,653 |
| Departmental Operations: | | | | |
| Personal Service | 6,079 | 6,049 | 6,076 | 6,104 |
| Non-Personal Service | 2,184 | 2,262 | 2,488 | 2,302 |
| General State Charges | 5,195 | 5,710 | 6,032 | 6,349 |
| Transfers to Other Funds: | | | | |
| Debt Service | 886 | 1,242 | 1,422 | 1,210 |
| Capital Projects | 5,947 | 1,844 | 2,072 | 2,295 |
| State Share of Mental Hygiene Medicaid | 2,162 | 1,439 | 1,313 | 1,255 |
| SUNY Operations | 998 | 978 | 969 | 969 |
| Other Purposes | 4,283 | 4,442 | 4,868 | 5,233 |
| Total Disbursements | <u>72,090</u> | <u>70,749</u> | <u>74,400</u> | <u>77,370</u> |
| Use (Reservation) of Fund Balance: | | | | |
| Tax Stabilization Reserve Fund | 0 | 0 | 0 | 0 |
| Rainy Day Reserve Fund | 0 | 0 | 0 | 0 |
| Contingency Reserve Fund | 0 | 0 | 0 | 0 |
| Community Projects Fund | 0 | 0 | 0 | 0 |
| Prior-Term Labor Agreements | (10) | (30) | (10) | (10) |
| Debt Management | 0 | 0 | 0 | 0 |
| Undesignated Fund Balance | 190 | 0 | 0 | 0 |
| Monetary Settlements | 3,625 | 0 | 0 | 0 |
| Total Use (Reservation) of Fund Balance | <u>3,805</u> | <u>(30)</u> | <u>(10)</u> | <u>(10)</u> |
| Adherence to 2% Spending Benchmark | 0 | 2,333 | 4,349 | 5,821 |
| Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements | <u>0</u> | <u>279</u> | <u>1,702</u> | <u>1,606</u> |

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2016 THROUGH FY 2019
(millions of dollars)**

| | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--------------------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Taxes: | | | | |
| Withholdings | 36,940 | 38,971 | 40,400 | 42,198 |
| Estimated Payments | 15,746 | 17,039 | 18,378 | 17,796 |
| Final Payments | 2,493 | 2,670 | 2,841 | 2,984 |
| Other Payments | 1,333 | 1,383 | 1,422 | 1,482 |
| Gross Collections | 56,512 | 60,063 | 63,041 | 64,460 |
| State/City Offset | (588) | (588) | (588) | (588) |
| Refunds | (8,849) | (9,360) | (9,406) | (9,446) |
| Reported Tax Collections | 47,075 | 50,115 | 53,047 | 54,426 |
| STAR (Dedicated Deposits) | (3,382) | (3,468) | (3,510) | (3,552) |
| RBTF (Dedicated Transfers) | (11,769) | (12,529) | (13,262) | (13,607) |
| Personal Income Tax | 31,924 | 34,118 | 36,275 | 37,267 |
| Sales and Use Tax | 12,650 | 13,164 | 13,682 | 14,240 |
| Cigarette and Tobacco Taxes | 309 | 353 | 344 | 334 |
| Motor Fuel Tax | 0 | 0 | 0 | 0 |
| Alcoholic Beverage Taxes | 256 | 261 | 266 | 271 |
| Highway Use Tax | 0 | 0 | 0 | 0 |
| Auto Rental Tax | 0 | 0 | 0 | 0 |
| Taxicab Surcharge | 0 | 0 | 0 | 0 |
| Gross Utility Taxes and Fees | 13,215 | 13,778 | 14,292 | 14,845 |
| LGAC/STBF (Dedicated Transfers) | (6,325) | (6,582) | (6,841) | (7,120) |
| Consumption/Use Taxes | 6,890 | 7,196 | 7,451 | 7,725 |
| Corporation Franchise Tax | 3,909 | 3,617 | 3,747 | 3,862 |
| Corporation and Utilities Tax | 612 | 619 | 619 | 630 |
| Insurance Taxes | 1,414 | 1,383 | 1,431 | 1,495 |
| Bank Tax | (38) | 173 | 162 | 122 |
| Petroleum Business Tax | 0 | 0 | 0 | 0 |
| Business Taxes | 5,897 | 5,792 | 5,959 | 6,109 |
| Estate Tax | 1,050 | 965 | 891 | 855 |
| Real Estate Transfer Tax | 1,085 | 1,138 | 1,176 | 1,221 |
| Gift Tax | 0 | 0 | 0 | 0 |
| Real Property Gains Tax | 0 | 0 | 0 | 0 |
| Pari-Mutuel Taxes | 18 | 18 | 18 | 18 |
| Other Taxes | 1 | 1 | 1 | 1 |
| Gross Other Taxes | 2,154 | 2,122 | 2,086 | 2,095 |
| Real Estate Transfer Tax (Dedicated) | (1,085) | (1,138) | (1,176) | (1,221) |
| Other Taxes | 1,069 | 984 | 910 | 874 |
| Payroll Tax | 0 | 0 | 0 | 0 |
| Total Taxes | 45,780 | 48,090 | 50,595 | 51,975 |
| Licenses, Fees, Etc. | 625 | 595 | 652 | 600 |
| Abandoned Property | 655 | 550 | 550 | 550 |
| Motor Vehicle Fees | 170 | 218 | 223 | 224 |
| ABC License Fee | 65 | 61 | 62 | 63 |
| Reimbursements | 269 | 263 | 253 | 262 |
| Investment Income | 4 | 4 | 5 | 5 |
| Other Transactions | 2,577 | 900 | 608 | 508 |
| Miscellaneous Receipts | 4,365 | 2,591 | 2,353 | 2,212 |
| Federal Receipts | 0 | 0 | 0 | 0 |
| Total | 50,145 | 50,681 | 52,948 | 54,187 |

**CURRENT STATE RECEIPTS
GENERAL FUND
FY 2015 and FY 2016
(millions of dollars)**

| | FY 2015 Results | FY 2016 Enacted | Annual \$ Change | Annual % Change |
|--------------------------------------|----------------------------|----------------------------|-----------------------------|----------------------------|
| Taxes: | | | | |
| Withholdings | 34,907 | 36,940 | 2,033 | 5.8% |
| Estimated Payments | 13,743 | 15,746 | 2,003 | 14.6% |
| Final Payments | 2,206 | 2,493 | 287 | 13.0% |
| Other Payments | 1,392 | 1,333 | (59) | -4.2% |
| Gross Collections | 52,248 | 56,512 | 4,264 | 8.2% |
| State/City Offset | (591) | (588) | 3 | 0.5% |
| Refunds | (7,948) | (8,849) | (901) | -11.3% |
| Reported Tax Collections | 43,709 | 47,075 | 3,366 | 7.7% |
| STAR (Dedicated Deposits) | (3,297) | (3,382) | (85) | -2.6% |
| RBTF (Dedicated Transfers) | (10,927) | (11,769) | (842) | -7.7% |
| Personal Income Tax | 29,485 | 31,924 | 2,439 | 8.3% |
| Sales and Use Tax | 12,137 | 12,650 | 513 | 4.2% |
| Cigarette and Tobacco Taxes | 356 | 309 | (47) | -13.2% |
| Motor Fuel Tax | 0 | 0 | 0 | 0.0% |
| Alcoholic Beverage Taxes | 251 | 256 | 5 | 2.0% |
| Highway Use Tax | 0 | 0 | 0 | 0.0% |
| Auto Rental Tax | 0 | 0 | 0 | 0.0% |
| Taxicab Surcharge | 0 | 0 | 0 | 0.0% |
| Gross Utility Taxes and Fees | 12,744 | 13,215 | 471 | 3.7% |
| LGAC/STBF (Dedicated Transfers) | (6,053) | (6,325) | (272) | -4.5% |
| Consumption/Use Taxes | 6,691 | 6,890 | 199 | 3.0% |
| Corporation Franchise Tax | 2,990 | 3,909 | 919 | 30.7% |
| Corporation and Utilities Tax | 577 | 612 | 35 | 6.1% |
| Insurance Taxes | 1,375 | 1,414 | 39 | 2.8% |
| Bank Tax | 1,323 | (38) | (1,361) | -102.9% |
| Petroleum Business Tax | 0 | 0 | 0 | 0.0% |
| Business Taxes | 6,265 | 5,897 | (368) | -5.9% |
| Estate Tax | 1,109 | 1,050 | (59) | -5.3% |
| Real Estate Transfer Tax | 1,038 | 1,085 | 47 | 4.5% |
| Gift Tax | 0 | 0 | 0 | 0.0% |
| Real Property Gains Tax | 0 | 0 | 0 | 0.0% |
| Pari-Mutuel Taxes | 18 | 18 | 0 | 0.0% |
| Other Taxes | 1 | 1 | 0 | 0.0% |
| Gross Other Taxes | 2,166 | 2,154 | (12) | -0.6% |
| Real Estate Transfer Tax (Dedicated) | (1,038) | (1,085) | (47) | -4.5% |
| Other Taxes | 1,128 | 1,069 | (59) | -5.2% |
| Payroll Tax | 0 | 0 | 0 | 0.0% |
| Total Taxes | 43,569 | 45,780 | 2,211 | 5.1% |
| Licenses, Fees, Etc. | 588 | 625 | 37 | 6.3% |
| Abandoned Property | 652 | 655 | 3 | 0.5% |
| Motor Vehicle Fees | 191 | 170 | (21) | -11.0% |
| ABC License Fee | 61 | 65 | 4 | 6.6% |
| Reimbursements | 266 | 269 | 3 | 1.1% |
| Investment Income | 4 | 4 | 0 | 0.0% |
| Other Transactions | 6,648 | 2,577 | (4,071) | -61.2% |
| Miscellaneous Receipts | 8,410 | 4,365 | (4,045) | -48.1% |
| Federal Receipts | 2 | 0 | (2) | -100.0% |
| Total | 51,981 | 50,145 | (1,836) | -3.5% |

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2014
(millions of dollars)

| | General Fund | State Special Revenue Funds | Debt Service Funds | State Operating Funds Total |
|--|-----------------|-----------------------------------|--------------------------|--------------------------------------|
| Opening Fund Balance | 1,610 | 2,370 | 379 | 4,359 |
| Receipts: | | | | |
| Taxes | 42,727 | 8,175 | 17,433 | 68,335 |
| Miscellaneous Receipts | 3,219 | 16,603 | 699 | 20,521 |
| Federal Receipts | 0 | 0 | 71 | 71 |
| Total Receipts | <u>45,946</u> | <u>24,778</u> | <u>18,203</u> | <u>88,927</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 39,940 | 19,462 | 0 | 59,402 |
| Departmental Operations: | | | | |
| Personal Service | 5,563 | 6,737 | 0 | 12,300 |
| Non-Personal Service | 1,746 | 3,781 | 37 | 5,564 |
| General State Charges | 4,899 | 2,059 | 0 | 6,958 |
| Debt Service | 0 | 0 | 6,400 | 6,400 |
| Capital Projects | 0 | 7 | 0 | 7 |
| Total Disbursements | <u>52,148</u> | <u>32,046</u> | <u>6,437</u> | <u>90,631</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 15,922 | 7,644 | 5,211 | 28,777 |
| Transfers to Other Funds | (9,095) | (1,132) | (17,122) | (27,349) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>6,827</u> | <u>6,512</u> | <u>(11,911)</u> | <u>1,428</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>625</u> | <u>(756)</u> | <u>(145)</u> | <u>(276)</u> |
| Closing Fund Balance | <u>2,235</u> | <u>1,614</u> | <u>234</u> | <u>4,083</u> |
| Intra-Fund Transfers Adjustment | 0 | 706 | 0 | 706 |
| Closing Balance with Intra-Fund Transfers | <u>2,235</u> | <u>2,320</u> | <u>234</u> | <u>4,789</u> |

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)

| | General Fund | State Special Revenue Funds | Debt Service Funds | State Operating Funds Total |
|--|-----------------|-----------------------------------|--------------------------|--------------------------------------|
| Opening Fund Balance | 2,235 | 2,489 | 65 | 4,789 |
| Receipts: | | | | |
| Taxes | 43,569 | 8,193 | 17,899 | 69,661 |
| Miscellaneous Receipts | 8,410 | 16,381 | 510 | 25,301 |
| Federal Receipts | 2 | 0 | 73 | 75 |
| Total Receipts | <u>51,981</u> | <u>24,574</u> | <u>18,482</u> | <u>95,037</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 41,592 | 19,460 | 0 | 61,052 |
| Departmental Operations: | | | | |
| Personal Service | 5,806 | 6,744 | 0 | 12,550 |
| Non-Personal Service | 1,858 | 3,710 | 39 | 5,607 |
| General State Charges | 4,999 | 2,034 | 0 | 7,033 |
| Debt Service | 0 | 0 | 6,183 | 6,183 |
| Capital Projects | 0 | 1 | 0 | 1 |
| Total Disbursements | <u>54,255</u> | <u>31,949</u> | <u>6,222</u> | <u>92,426</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 15,940 | 7,767 | 4,681 | 28,388 |
| Transfers to Other Funds | (8,601) | (871) | (16,888) | (26,360) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>7,339</u> | <u>6,896</u> | <u>(12,207)</u> | <u>2,028</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>5,065</u> | <u>(479)</u> | <u>53</u> | <u>4,639</u> |
| Closing Fund Balance | <u>7,300</u> | <u>2,010</u> | <u>118</u> | <u>9,428</u> |
| Intra-Fund Transfers Adjustment | 0 | 462 | 0 | 462 |
| Closing Balance with Intra-Fund Transfers | <u>7,300</u> | <u>2,472</u> | <u>118</u> | <u>9,890</u> |

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2016
(millions of dollars)**

| | General Fund | State Special Revenue Funds | Debt Service Funds | State Operating Funds Total |
|--|-------------------------|--|-----------------------------------|--|
| Opening Fund Balance | 7,300 | 2,472 | 118 | 9,890 |
| Receipts: | | | | |
| Taxes | 45,780 | 8,373 | 19,060 | 73,213 |
| Miscellaneous Receipts | 4,365 | 15,179 | 470 | 20,014 |
| Federal Receipts | 0 | 1 | 73 | 74 |
| Total Receipts | <u>50,145</u> | <u>23,553</u> | <u>19,603</u> | <u>93,301</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 44,356 | 18,949 | 0 | 63,305 |
| Departmental Operations: | | | | |
| Personal Service | 6,079 | 6,789 | 0 | 12,868 |
| Non-Personal Service | 2,184 | 3,392 | 44 | 5,620 |
| General State Charges | 5,195 | 2,139 | 0 | 7,334 |
| Debt Service | 0 | 0 | 5,122 | 5,122 |
| Capital Projects | 0 | 1 | 0 | 1 |
| Total Disbursements | <u>57,814</u> | <u>31,270</u> | <u>5,166</u> | <u>94,250</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 18,140 | 8,711 | 3,897 | 30,748 |
| Transfers to Other Funds | (14,276) | (1,380) | (18,251) | (33,907) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>3,864</u> | <u>7,331</u> | <u>(14,354)</u> | <u>(3,159)</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(3,805)</u> | <u>(386)</u> | <u>83</u> | <u>(4,108)</u> |
| Closing Fund Balance | <u>3,495</u> | <u>2,086</u> | <u>201</u> | <u>5,782</u> |
| Intra-Fund Transfers Adjustment | 0 | 486 | 0 | 486 |
| Closing Balance with Intra-Fund Transfers | <u>3,495</u> | <u>2,572</u> | <u>201</u> | <u>6,268</u> |

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)**

| | <u>General Fund</u> | <u>State Special Revenue Funds</u> | <u>Debt Service Funds</u> | <u>State Operating Funds Total</u> |
|--|-------------------------|--|-----------------------------------|--|
| Receipts: | | | | |
| Taxes | 48,090 | 8,537 | 20,130 | 76,757 |
| Miscellaneous Receipts | 2,591 | 15,598 | 453 | 18,642 |
| Federal Receipts | 0 | 1 | 73 | 74 |
| Total Receipts | <u>50,681</u> | <u>24,136</u> | <u>20,656</u> | <u>95,473</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 46,783 | 18,976 | 0 | 65,759 |
| Departmental Operations: | | | | |
| Personal Service | 6,049 | 6,785 | 0 | 12,834 |
| Non-Personal Service | 2,262 | 3,461 | 47 | 5,770 |
| General State Charges | 5,710 | 2,184 | 0 | 7,894 |
| Debt Service | 0 | 0 | 6,208 | 6,208 |
| Capital Projects | 0 | 3 | 0 | 3 |
| Total Disbursements | <u>60,804</u> | <u>31,409</u> | <u>6,255</u> | <u>98,468</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 18,044 | 7,967 | 4,188 | 30,199 |
| Transfers to Other Funds | (9,945) | (848) | (18,514) | (29,307) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>8,099</u> | <u>7,119</u> | <u>(14,326)</u> | <u>892</u> |
| Use (Reservation) of Fund Balance: | | | | |
| Community Projects Fund | 0 | 0 | 0 | 0 |
| Prior-Term Labor Agreements | (30) | 0 | 0 | (30) |
| Monetary Settlements | 0 | 0 | 0 | 0 |
| Total Use (Reservation) of Fund Balance | <u>(30)</u> | <u>0</u> | <u>0</u> | <u>(30)</u> |
| Adherence to 2% Spending Benchmark | 2,333 | 0 | 0 | 2,333 |
| Net Surplus (Deficit) | <u>279</u> | <u>(154)</u> | <u>75</u> | <u>200</u> |
| Intra-Fund Transfers Adjustment | 0 | 456 | 0 | 456 |
| Net Surplus (Deficit) with Intra-Fund Transfers | <u>279</u> | <u>302</u> | <u>75</u> | <u>656</u> |

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)

| | General Fund | State Special Revenue Funds | Debt Service Funds | State Operating Funds Total |
|--|-----------------|-----------------------------------|--------------------------|--------------------------------------|
| Receipts: | | | | |
| Taxes | 50,595 | 8,702 | 21,160 | 80,457 |
| Miscellaneous Receipts | 2,353 | 15,814 | 453 | 18,620 |
| Federal Receipts | 0 | 1 | 73 | 74 |
| Total Receipts | <u>52,948</u> | <u>24,517</u> | <u>21,686</u> | <u>99,151</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 49,160 | 19,343 | 0 | 68,503 |
| Departmental Operations: | | | | |
| Personal Service | 6,076 | 6,821 | 0 | 12,897 |
| Non-Personal Service | 2,488 | 3,484 | 47 | 6,019 |
| General State Charges | 6,032 | 2,254 | 0 | 8,286 |
| Debt Service | 0 | 0 | 6,699 | 6,699 |
| Capital Projects | 0 | 3 | 0 | 3 |
| Total Disbursements | <u>63,756</u> | <u>31,905</u> | <u>6,746</u> | <u>102,407</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 18,815 | 8,104 | 4,283 | 31,202 |
| Transfers to Other Funds | (10,644) | (797) | (19,131) | (30,572) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>8,171</u> | <u>7,307</u> | <u>(14,848)</u> | <u>630</u> |
| Use (Reservation) of Fund Balance: | | | | |
| Prior-Term Labor Agreements | (10) | 0 | 0 | (10) |
| Monetary Settlements | 0 | 0 | 0 | 0 |
| Total Use (Reservation) of Fund Balance | <u>(10)</u> | <u>0</u> | <u>0</u> | <u>(10)</u> |
| Adherence to 2% Spending Benchmark | 4,349 | 0 | 0 | 4,349 |
| Net Surplus (Deficit) | <u>1,702</u> | <u>(81)</u> | <u>92</u> | <u>1,713</u> |
| Intra-Fund Transfers Adjustment | 0 | 456 | 0 | 456 |
| Net Surplus (Deficit) with Intra-Fund Transfers | <u>1,702</u> | <u>375</u> | <u>92</u> | <u>2,169</u> |

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)

| | General Fund | State Special Revenue Funds | Debt Service Funds | State Operating Funds Total |
|--|-------------------------|--|-----------------------------------|--|
| Receipts: | | | | |
| Taxes | 51,975 | 8,886 | 21,829 | 82,690 |
| Miscellaneous Receipts | 2,212 | 15,929 | 452 | 18,593 |
| Federal Receipts | 0 | 1 | 73 | 74 |
| Total Receipts | <u>54,187</u> | <u>24,816</u> | <u>22,354</u> | <u>101,357</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 51,653 | 19,559 | 0 | 71,212 |
| Departmental Operations: | | | | |
| Personal Service | 6,104 | 6,895 | 0 | 12,999 |
| Non-Personal Service | 2,302 | 3,562 | 47 | 5,911 |
| General State Charges | 6,349 | 2,362 | 0 | 8,711 |
| Debt Service | 0 | 0 | 7,004 | 7,004 |
| Capital Projects | 0 | 3 | 0 | 3 |
| Total Disbursements | <u>66,408</u> | <u>32,381</u> | <u>7,051</u> | <u>105,840</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 18,978 | 8,361 | 4,031 | 31,370 |
| Transfers to Other Funds | (10,962) | (803) | (19,261) | (31,026) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>8,016</u> | <u>7,558</u> | <u>(15,230)</u> | <u>344</u> |
| Use (Reservation) of Fund Balance: | | | | |
| Prior-Term Labor Agreements | (10) | 0 | 0 | (10) |
| Monetary Settlements | 0 | 0 | 0 | 0 |
| Total Use (Reservation) of Fund Balance | <u>(10)</u> | <u>0</u> | <u>0</u> | <u>(10)</u> |
| Adherence to 2% Spending Benchmark | 5,821 | 0 | 0 | 5,821 |
| Net Surplus (Deficit) | <u>1,606</u> | <u>(7)</u> | <u>73</u> | <u>1,672</u> |
| Intra-Fund Transfers Adjustment | 0 | 414 | 0 | 414 |
| Net Surplus (Deficit) with Intra-Fund Transfers | <u>1,606</u> | <u>407</u> | <u>73</u> | <u>2,086</u> |

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015 and FY 2016
(millions of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>Annual \$ Change</u> | <u>Annual % Change</u> |
|--|----------------------------|----------------------------|-----------------------------|----------------------------|
| Opening Fund Balance | 4,789 | 9,890 | 5,101 | 106.5% |
| Receipts: | | | | |
| Taxes | 69,661 | 73,213 | 3,552 | 5.1% |
| Miscellaneous Receipts | 25,301 | 20,014 | (5,287) | -20.9% |
| Federal Receipts | 75 | 74 | (1) | -1.3% |
| Total Receipts | <u>95,037</u> | <u>93,301</u> | <u>(1,736)</u> | <u>-1.8%</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 61,052 | 63,305 | 2,253 | 3.7% |
| Departmental Operations: | | | | |
| Personal Service | 12,550 | 12,868 | 318 | 2.5% |
| Non-Personal Service | 5,607 | 5,620 | 13 | 0.2% |
| General State Charges | 7,033 | 7,334 | 301 | 4.3% |
| Debt Service | 6,183 | 5,122 | (1,061) | -17.2% |
| Capital Projects | 1 | 1 | 0 | 0.0% |
| Total Disbursements | <u>92,426</u> | <u>94,250</u> | <u>1,824</u> | <u>2.0%</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 28,388 | 30,748 | 2,360 | 8.3% |
| Transfers to Other Funds | (26,360) | (33,907) | (7,547) | -28.6% |
| Bond and Note Proceeds | 0 | 0 | 0 | 0.0% |
| Net Other Financing Sources (Uses) | <u>2,028</u> | <u>(3,159)</u> | <u>(5,187)</u> | <u>-255.8%</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>4,639</u> | <u>(4,108)</u> | <u>(8,747)</u> | <u>-188.6%</u> |
| Closing Fund Balance | <u>9,428</u> | <u>5,782</u> | <u>(3,646)</u> | <u>-38.7%</u> |
| Intra-Fund Transfers Adjustment | 462 | 486 | 24 | 5.2% |
| Closing Balance with Intra-Fund Transfers | <u>9,890</u> | <u>6,268</u> | <u>(3,622)</u> | <u>-36.6%</u> |

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)

| | <u>Executive</u> | <u>Change</u> | <u>Results</u> |
|--|------------------|---------------|----------------|
| Opening Fund Balance | 4,789 | 0 | 4,789 |
| Receipts: | | | |
| Taxes | 69,150 | 511 | 69,661 |
| Miscellaneous Receipts | 25,540 | (239) | 25,301 |
| Federal Receipts | 76 | (1) | 75 |
| Total Receipts | <u>94,766</u> | <u>271</u> | <u>95,037</u> |
| Disbursements: | | | |
| Local Assistance Grants | 61,100 | (48) | 61,052 |
| Departmental Operations: | | | |
| Personal Service | 12,596 | (46) | 12,550 |
| Non-Personal Service | 5,775 | (168) | 5,607 |
| General State Charges | 7,072 | (39) | 7,033 |
| Debt Service | 5,833 | 350 | 6,183 |
| Capital Projects | 0 | 1 | 1 |
| Total Disbursements | <u>92,376</u> | <u>50</u> | <u>92,426</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 29,277 | (889) | 28,388 |
| Transfers to Other Funds | (26,956) | 596 | (26,360) |
| Bond and Note Proceeds | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>2,321</u> | <u>(293)</u> | <u>2,028</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>4,711</u> | <u>(72)</u> | <u>4,639</u> |
| Closing Fund Balance | <u>9,500</u> | <u>(72)</u> | <u>9,428</u> |
| Intra-Fund Transfers Adjustment | 446 | 16 | 462 |
| Closing Balance with Intra-Fund Transfers | <u>9,946</u> | <u>(56)</u> | <u>9,890</u> |

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2015
(millions of dollars)

| | FY 2015 | | |
|--|----------------|---------------|----------------|
| | Enacted | Change | Results |
| Opening Fund Balance | 4,789 | 0 | 4,789 |
| Receipts: | | | |
| Taxes | 68,826 | 835 | 69,661 |
| Miscellaneous Receipts | 20,278 | 5,023 | 25,301 |
| Federal Receipts | 74 | 1 | 75 |
| Total Receipts | <u>89,178</u> | <u>5,859</u> | <u>95,037</u> |
| Disbursements: | | | |
| Local Assistance Grants | 61,181 | (129) | 61,052 |
| Departmental Operations: | | | |
| Personal Service | 12,593 | (43) | 12,550 |
| Non-Personal Service | 5,606 | 1 | 5,607 |
| General State Charges | 7,206 | (173) | 7,033 |
| Debt Service | 5,648 | 535 | 6,183 |
| Capital Projects | 0 | 1 | 1 |
| Total Disbursements | <u>92,234</u> | <u>192</u> | <u>92,426</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 28,674 | (286) | 28,388 |
| Transfers to Other Funds | (26,232) | (128) | (26,360) |
| Bond and Note Proceeds | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>2,442</u> | <u>(414)</u> | <u>2,028</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(614)</u> | <u>5,253</u> | <u>4,639</u> |
| Closing Fund Balance | <u>4,175</u> | <u>5,253</u> | <u>9,428</u> |
| Intra-Fund Transfers Adjustment | 385 | 77 | 462 |
| Closing Balance with Intra-Fund Transfers | <u>4,560</u> | <u>5,330</u> | <u>9,890</u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2014
(millions of dollars)**

| | <u>General Fund</u> | <u>Special Revenue Funds</u> | <u>Capital Projects Funds</u> | <u>Debt Service Funds</u> | <u>All Funds Total</u> |
|--|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| Opening Fund Balance | 1,610 | 2,373 | (485) | 379 | 3,877 |
| Receipts: | | | | | |
| Taxes | 42,727 | 8,175 | 1,355 | 17,433 | 69,690 |
| Miscellaneous Receipts | 3,219 | 16,776 | 3,539 | 699 | 24,233 |
| Federal Receipts | 0 | 41,405 | 2,313 | 71 | 43,789 |
| Total Receipts | <u>45,946</u> | <u>66,356</u> | <u>7,207</u> | <u>18,203</u> | <u>137,712</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 39,940 | 56,387 | 2,242 | 0 | 98,569 |
| Departmental Operations: | | | | | |
| Personal Service | 5,563 | 7,394 | 0 | 0 | 12,957 |
| Non-Personal Service | 1,746 | 5,021 | 0 | 37 | 6,804 |
| General State Charges | 4,899 | 2,381 | 0 | 0 | 7,280 |
| Debt Service | 0 | 0 | 0 | 6,400 | 6,400 |
| Capital Projects | 0 | 7 | 5,509 | 0 | 5,516 |
| Total Disbursements | <u>52,148</u> | <u>71,190</u> | <u>7,751</u> | <u>6,437</u> | <u>137,526</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 15,922 | 7,644 | 1,817 | 5,211 | 30,594 |
| Transfers to Other Funds | (9,095) | (2,988) | (1,417) | (17,122) | (30,622) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>6,827</u> | <u>4,656</u> | <u>400</u> | <u>(11,911)</u> | <u>(28)</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>625</u> | <u>(178)</u> | <u>(144)</u> | <u>(145)</u> | <u>158</u> |
| Closing Fund Balance | <u>2,235</u> | <u>2,195</u> | <u>(629)</u> | <u>234</u> | <u>4,035</u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

| | General Fund | Special Revenue Funds | Capital Projects Funds | Debt Service Funds | All Funds Total |
|--|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| Opening Fund Balance | 2,235 | 2,364 | (629) | 65 | 4,035 |
| Receipts: | | | | | |
| Taxes | 43,569 | 8,193 | 1,373 | 17,899 | 71,034 |
| Miscellaneous Receipts | 8,410 | 16,557 | 3,961 | 510 | 29,438 |
| Federal Receipts | 2 | 46,531 | 2,030 | 73 | 48,636 |
| Total Receipts | <u>51,981</u> | <u>71,281</u> | <u>7,364</u> | <u>18,482</u> | <u>149,108</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 41,592 | 61,090 | 2,043 | 0 | 104,725 |
| Departmental Operations: | | | | | |
| Personal Service | 5,806 | 7,357 | 0 | 0 | 13,163 |
| Non-Personal Service | 1,858 | 5,080 | 0 | 39 | 6,977 |
| General State Charges | 4,999 | 2,338 | 0 | 0 | 7,337 |
| Debt Service | 0 | 0 | 0 | 6,183 | 6,183 |
| Capital Projects | 0 | 1 | 5,505 | 0 | 5,506 |
| Total Disbursements | <u>54,255</u> | <u>75,866</u> | <u>7,548</u> | <u>6,222</u> | <u>143,891</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 15,940 | 7,767 | 1,419 | 4,681 | 29,807 |
| Transfers to Other Funds | (8,601) | (2,885) | (1,492) | (16,888) | (29,866) |
| Bond and Note Proceeds | 0 | 0 | 162 | 0 | 162 |
| Net Other Financing Sources (Uses) | <u>7,339</u> | <u>4,882</u> | <u>89</u> | <u>(12,207)</u> | <u>103</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>5,065</u> | <u>297</u> | <u>(95)</u> | <u>53</u> | <u>5,320</u> |
| Closing Fund Balance | <u><u>7,300</u></u> | <u><u>2,661</u></u> | <u><u>(724)</u></u> | <u><u>118</u></u> | <u><u>9,355</u></u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)**

| | General Fund | Special Revenue Funds | Capital Projects Funds | Debt Service Funds | All Funds Total |
|--|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| Opening Fund Balance | 7,300 | 2,661 | (724) | 118 | 9,355 |
| Receipts: | | | | | |
| Taxes | 45,780 | 8,373 | 1,349 | 19,060 | 74,562 |
| Miscellaneous Receipts | 4,365 | 15,276 | 5,299 | 470 | 25,410 |
| Federal Receipts | 0 | 49,627 | 1,696 | 73 | 51,396 |
| Total Receipts | <u>50,145</u> | <u>73,276</u> | <u>8,344</u> | <u>19,603</u> | <u>151,368</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 44,356 | 64,400 | 3,154 | 0 | 111,910 |
| Departmental Operations: | | | | | |
| Personal Service | 6,079 | 7,425 | 0 | 0 | 13,504 |
| Non-Personal Service | 2,184 | 4,586 | 0 | 44 | 6,814 |
| General State Charges | 5,195 | 2,445 | 0 | 0 | 7,640 |
| Debt Service | 0 | 0 | 0 | 5,122 | 5,122 |
| Capital Projects | 0 | 1 | 7,159 | 0 | 7,160 |
| Total Disbursements | <u>57,814</u> | <u>78,857</u> | <u>10,313</u> | <u>5,166</u> | <u>152,150</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 18,140 | 8,747 | 6,237 | 3,897 | 37,021 |
| Transfers to Other Funds | (14,276) | (3,058) | (1,515) | (18,251) | (37,100) |
| Bond and Note Proceeds | 0 | 0 | 685 | 0 | 685 |
| Net Other Financing Sources (Uses) | <u>3,864</u> | <u>5,689</u> | <u>5,407</u> | <u>(14,354)</u> | <u>606</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(3,805)</u> | <u>108</u> | <u>3,438</u> | <u>83</u> | <u>(176)</u> |
| Closing Fund Balance | <u><u>3,495</u></u> | <u><u>2,769</u></u> | <u><u>2,714</u></u> | <u><u>201</u></u> | <u><u>9,179</u></u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)**

| | <u>General Fund</u> | <u>Special Revenue Funds</u> | <u>Capital Projects Funds</u> | <u>Debt Service Funds</u> | <u>All Funds Total</u> |
|--|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| Receipts: | | | | | |
| Taxes | 48,090 | 8,537 | 1,325 | 20,130 | 78,082 |
| Miscellaneous Receipts | 2,591 | 15,709 | 5,341 | 453 | 24,094 |
| Federal Receipts | 0 | 49,850 | 1,630 | 73 | 51,553 |
| Total Receipts | <u>50,681</u> | <u>74,096</u> | <u>8,296</u> | <u>20,656</u> | <u>153,729</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 46,783 | 64,983 | 3,343 | 0 | 115,109 |
| Departmental Operations: | | | | | |
| Personal Service | 6,049 | 7,470 | 0 | 0 | 13,519 |
| Non-Personal Service | 2,262 | 4,422 | 0 | 47 | 6,731 |
| General State Charges | 5,710 | 2,503 | 0 | 0 | 8,213 |
| Debt Service | 0 | 0 | 0 | 6,208 | 6,208 |
| Capital Projects | 0 | 3 | 7,050 | 0 | 7,053 |
| Total Disbursements | <u>60,804</u> | <u>79,381</u> | <u>10,393</u> | <u>6,255</u> | <u>156,833</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 18,044 | 7,967 | 2,126 | 4,188 | 32,325 |
| Transfers to Other Funds | (9,945) | (2,370) | (1,574) | (18,514) | (32,403) |
| Bond and Note Proceeds | 0 | 0 | 657 | 0 | 657 |
| Net Other Financing Sources (Uses) | <u>8,099</u> | <u>5,597</u> | <u>1,209</u> | <u>(14,326)</u> | <u>579</u> |
| Use (Reservation) of Fund Balance: | | | | | |
| Community Projects Fund | 0 | 0 | 0 | 0 | 0 |
| Prior-Term Labor Agreements | (30) | 0 | 0 | 0 | (30) |
| Monetary Settlements | 0 | 0 | 0 | 0 | 0 |
| Total Use (Reservation) of Fund Balance | <u>(30)</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>(30)</u> |
| Adherence to 2% Spending Benchmark | 2,333 | 0 | 0 | 0 | 2,333 |
| Net Surplus (Deficit) | <u>279</u> | <u>312</u> | <u>(888)</u> | <u>75</u> | <u>(222)</u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)**

| | <u>General Fund</u> | <u>Special Revenue Funds</u> | <u>Capital Projects Funds</u> | <u>Debt Service Funds</u> | <u>All Funds Total</u> |
|--|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| Receipts: | | | | | |
| Taxes | 50,595 | 8,702 | 1,326 | 21,160 | 81,783 |
| Miscellaneous Receipts | 2,353 | 15,925 | 5,020 | 453 | 23,751 |
| Federal Receipts | 0 | 50,322 | 1,617 | 73 | 52,012 |
| Total Receipts | <u>52,948</u> | <u>74,949</u> | <u>7,963</u> | <u>21,686</u> | <u>157,546</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 49,160 | 65,889 | 3,115 | 0 | 118,164 |
| Departmental Operations: | | | | | |
| Personal Service | 6,076 | 7,516 | 0 | 0 | 13,592 |
| Non-Personal Service | 2,488 | 4,488 | 0 | 47 | 7,023 |
| General State Charges | 6,032 | 2,578 | 0 | 0 | 8,610 |
| Debt Service | 0 | 0 | 0 | 6,699 | 6,699 |
| Capital Projects | 0 | 3 | 7,029 | 0 | 7,032 |
| Total Disbursements | <u>63,756</u> | <u>80,474</u> | <u>10,144</u> | <u>6,746</u> | <u>161,120</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 18,815 | 8,104 | 2,303 | 4,283 | 33,505 |
| Transfers to Other Funds | (10,644) | (2,194) | (1,614) | (19,131) | (33,583) |
| Bond and Note Proceeds | 0 | 0 | 462 | 0 | 462 |
| Net Other Financing Sources (Uses) | <u>8,171</u> | <u>5,910</u> | <u>1,151</u> | <u>(14,848)</u> | <u>384</u> |
| Use (Reservation) of Fund Balance: | | | | | |
| Prior-Term Labor Agreements | (10) | 0 | 0 | 0 | (10) |
| Total Use (Reservation) of Fund Balance | <u>(10)</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>(10)</u> |
| Adherence to 2% Spending Benchmark | 4,349 | 0 | 0 | 0 | 4,349 |
| Net Surplus (Deficit) | <u>1,702</u> | <u>385</u> | <u>(1,030)</u> | <u>92</u> | <u>1,149</u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)**

| | <u>General Fund</u> | <u>Special Revenue Funds</u> | <u>Capital Projects Funds</u> | <u>Debt Service Funds</u> | <u>All Funds Total</u> |
|--|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| Receipts: | | | | | |
| Taxes | 51,975 | 8,886 | 1,331 | 21,829 | 84,021 |
| Miscellaneous Receipts | 2,212 | 16,040 | 5,183 | 452 | 23,887 |
| Federal Receipts | 0 | 50,531 | 1,598 | 73 | 52,202 |
| Total Receipts | <u>54,187</u> | <u>75,457</u> | <u>8,112</u> | <u>22,354</u> | <u>160,110</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 51,653 | 66,403 | 3,254 | 0 | 121,310 |
| Departmental Operations: | | | | | |
| Personal Service | 6,104 | 7,594 | 0 | 0 | 13,698 |
| Non-Personal Service | 2,302 | 4,582 | 0 | 47 | 6,931 |
| General State Charges | 6,349 | 2,690 | 0 | 0 | 9,039 |
| Debt Service | 0 | 0 | 0 | 7,004 | 7,004 |
| Capital Projects | 0 | 3 | 7,100 | 0 | 7,103 |
| Total Disbursements | <u>66,408</u> | <u>81,272</u> | <u>10,354</u> | <u>7,051</u> | <u>165,085</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 18,978 | 8,361 | 2,528 | 4,031 | 33,898 |
| Transfers to Other Funds | (10,962) | (2,140) | (1,623) | (19,261) | (33,986) |
| Bond and Note Proceeds | 0 | 0 | 451 | 0 | 451 |
| Net Other Financing Sources (Uses) | <u>8,016</u> | <u>6,221</u> | <u>1,356</u> | <u>(15,230)</u> | <u>363</u> |
| Use (Reservation) of Fund Balance: | | | | | |
| Prior-Term Labor Agreements | (10) | 0 | 0 | 0 | (10) |
| Total Use (Reservation) of Fund Balance | <u>(10)</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>(10)</u> |
| Adherence to 2% Spending Benchmark | 5,821 | 0 | 0 | 0 | 5,821 |
| Net Surplus (Deficit) | <u>1,606</u> | <u>406</u> | <u>(886)</u> | <u>73</u> | <u>1,199</u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015 and FY 2016
(millions of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>Annual \$ Change</u> | <u>Annual % Change</u> |
|--|----------------------------|----------------------------|-----------------------------|----------------------------|
| Opening Fund Balance | 4,035 | 9,355 | 5,320 | 131.8% |
| Receipts: | | | | |
| Taxes | 71,034 | 74,562 | 3,528 | 5.0% |
| Miscellaneous Receipts | 29,438 | 25,410 | (4,028) | -13.7% |
| Federal Receipts | 48,636 | 51,396 | 2,760 | 5.7% |
| Total Receipts | <u>149,108</u> | <u>151,368</u> | <u>2,260</u> | <u>1.5%</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 104,725 | 111,910 | 7,185 | 6.9% |
| Departmental Operations: | | | | |
| Personal Service | 13,163 | 13,504 | 341 | 2.6% |
| Non-Personal Service | 6,977 | 6,814 | (163) | -2.3% |
| General State Charges | 7,337 | 7,640 | 303 | 4.1% |
| Debt Service | 6,183 | 5,122 | (1,061) | -17.2% |
| Capital Projects | 5,506 | 7,160 | 1,654 | 30.0% |
| Total Disbursements | <u>143,891</u> | <u>152,150</u> | <u>8,259</u> | <u>5.7%</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 29,807 | 37,021 | 7,214 | 24.2% |
| Transfers to Other Funds | (29,866) | (37,100) | (7,234) | -24.2% |
| Bond and Note Proceeds | 162 | 685 | 523 | 322.8% |
| Net Other Financing Sources (Uses) | <u>103</u> | <u>606</u> | <u>503</u> | <u>488.3%</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>5,320</u> | <u>(176)</u> | <u>(5,496)</u> | <u>-103.3%</u> |
| Closing Fund Balance | <u>9,355</u> | <u>9,179</u> | <u>(176)</u> | <u>-1.9%</u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

| | <u>Executive</u> | <u>Change</u> | <u>Results</u> |
|--|------------------|---------------|----------------|
| Opening Fund Balance | 4,035 | 0 | 4,035 |
| Receipts: | | | |
| Taxes | 70,512 | 522 | 71,034 |
| Miscellaneous Receipts | 30,426 | (988) | 29,438 |
| Federal Receipts | 47,035 | 1,601 | 48,636 |
| Total Receipts | <u>147,973</u> | <u>1,135</u> | <u>149,108</u> |
| Disbursements: | | | |
| Local Assistance Grants | 103,880 | 845 | 104,725 |
| Departmental Operations: | | | |
| Personal Service | 13,228 | (65) | 13,163 |
| Non-Personal Service | 6,932 | 45 | 6,977 |
| General State Charges | 7,372 | (35) | 7,337 |
| Debt Service | 5,833 | 350 | 6,183 |
| Capital Projects | 5,757 | (251) | 5,506 |
| Total Disbursements | <u>143,002</u> | <u>889</u> | <u>143,891</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 30,363 | (556) | 29,807 |
| Transfers to Other Funds | (30,408) | 542 | (29,866) |
| Bond and Note Proceeds | 306 | (144) | 162 |
| Net Other Financing Sources (Uses) | <u>261</u> | <u>(158)</u> | <u>103</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>5,232</u> | <u>88</u> | <u>5,320</u> |
| Closing Fund Balance | <u>9,267</u> | <u>88</u> | <u>9,355</u> |

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)**

| | FY 2015 Enacted | Change | Results |
|--|----------------------------|---------------------|---------------------|
| Opening Fund Balance | 4,035 | 0 | 4,035 |
| Receipts: | | | |
| Taxes | 70,188 | 846 | 71,034 |
| Miscellaneous Receipts | 25,672 | 3,766 | 29,438 |
| Federal Receipts | 45,789 | 2,847 | 48,636 |
| Total Receipts | <u>141,649</u> | <u>7,459</u> | <u>149,108</u> |
| Disbursements: | | | |
| Local Assistance Grants | 102,730 | 1,995 | 104,725 |
| Departmental Operations: | | | |
| Personal Service | 13,255 | (92) | 13,163 |
| Non-Personal Service | 6,825 | 152 | 6,977 |
| General State Charges | 7,515 | (178) | 7,337 |
| Debt Service | 5,648 | 535 | 6,183 |
| Capital Projects | 5,991 | (485) | 5,506 |
| Total Disbursements | <u>141,964</u> | <u>1,927</u> | <u>143,891</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 29,722 | 85 | 29,807 |
| Transfers to Other Funds | (29,803) | (63) | (29,866) |
| Bond and Note Proceeds | 306 | (144) | 162 |
| Net Other Financing Sources (Uses) | <u>225</u> | <u>(122)</u> | <u>103</u> |
| Financing Sources (Uses) Over Disbursements | <u>(90)</u> | <u>5,410</u> | <u>5,320</u> |
| Closing Fund Balance | <u><u>3,945</u></u> | <u><u>5,410</u></u> | <u><u>9,355</u></u> |

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2015
(millions of dollars)

| | General Fund | Special Revenue Funds | Capital Projects Funds | Debt Service Funds | Total |
|--------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|----------------|
| Taxes: | | | | | |
| Withholdings | 34,907 | 0 | 0 | 0 | 34,907 |
| Estimated Payments | 13,743 | 0 | 0 | 0 | 13,743 |
| Final Payments | 2,206 | 0 | 0 | 0 | 2,206 |
| Other Payments | 1,392 | 0 | 0 | 0 | 1,392 |
| Gross Collections | 52,248 | 0 | 0 | 0 | 52,248 |
| State/City Offset | (591) | 0 | 0 | 0 | (591) |
| Refunds | (7,948) | 0 | 0 | 0 | (7,948) |
| Reported Tax Collections | 43,709 | 0 | 0 | 0 | 43,709 |
| STAR (Dedicated Deposits) | (3,297) | 3,297 | 0 | 0 | 0 |
| RBTF (Dedicated Transfers) | (10,927) | 0 | 0 | 10,927 | 0 |
| Personal Income Tax | 29,485 | 3,297 | 0 | 10,927 | 43,709 |
| Sales and Use Tax | 12,137 | 854 | 0 | 0 | 12,991 |
| Cigarette and Tobacco Taxes | 356 | 958 | 0 | 0 | 1,314 |
| Motor Fuel Tax | 0 | 101 | 386 | 0 | 487 |
| Alcoholic Beverage Taxes | 251 | 0 | 0 | 0 | 251 |
| Highway Use Tax | 0 | 0 | 140 | 0 | 140 |
| Auto Rental Tax | 0 | 45 | 74 | 0 | 119 |
| Taxicab Surcharge | 0 | 82 | 0 | 0 | 82 |
| Gross Utility Taxes and Fees | 12,744 | 2,040 | 600 | 0 | 15,384 |
| LGAC/STBF (Dedicated Transfers) | (6,053) | 0 | 0 | 6,053 | 0 |
| Consumption/Use Taxes | 6,691 | 2,040 | 600 | 6,053 | 15,384 |
| Corporation Franchise Tax | 2,990 | 558 | 0 | 0 | 3,548 |
| Corporation and Utilities Tax | 577 | 141 | 10 | 0 | 728 |
| Insurance Taxes | 1,375 | 158 | 0 | 0 | 1,533 |
| Bank Tax | 1,323 | 213 | 0 | 0 | 1,536 |
| Petroleum Business Tax | 0 | 515 | 644 | 0 | 1,159 |
| Business Taxes | 6,265 | 1,585 | 654 | 0 | 8,504 |
| Estate Tax | 1,109 | 0 | 0 | 0 | 1,109 |
| Real Estate Transfer Tax | 1,038 | 0 | 0 | 0 | 1,038 |
| Gift Tax | 0 | 0 | 0 | 0 | 0 |
| Real Property Gains Tax | 0 | 0 | 0 | 0 | 0 |
| Pari-Mutuel Taxes | 18 | 0 | 0 | 0 | 18 |
| Other Taxes | 1 | 0 | 0 | 0 | 1 |
| Gross Other Taxes | 2,166 | 0 | 0 | 0 | 2,166 |
| Real Estate Transfer Tax (Dedicated) | (1,038) | 0 | 119 | 919 | 0 |
| Other Taxes | 1,128 | 0 | 119 | 919 | 2,166 |
| Payroll Tax | 0 | 1,271 | 0 | 0 | 1,271 |
| Total Taxes | 43,569 | 8,193 | 1,373 | 17,899 | 71,034 |
| Licenses, Fees, Etc. | 588 | 0 | 0 | 0 | 588 |
| Abandoned Property | 652 | 0 | 0 | 0 | 652 |
| Motor Vehicle Fees | 191 | 401 | 727 | 0 | 1,319 |
| ABC License Fee | 61 | 0 | 0 | 0 | 61 |
| Reimbursements | 266 | 0 | 0 | 0 | 266 |
| Investment Income | 4 | 0 | 0 | 0 | 4 |
| Other Transactions | 6,648 | 16,156 | 3,234 | 510 | 26,548 |
| Miscellaneous Receipts | 8,410 | 16,557 | 3,961 | 510 | 29,438 |
| Federal Receipts | 2 | 46,531 | 2,030 | 73 | 48,636 |
| Total | 51,981 | 71,281 | 7,364 | 18,482 | 149,108 |

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)

| | General Fund | Special Revenue Funds | Capital Projects Funds | Debt Service Funds | Total |
|--------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|----------------|
| Taxes: | | | | | |
| Withholdings | 36,940 | 0 | 0 | 0 | 36,940 |
| Estimated Payments | 15,746 | 0 | 0 | 0 | 15,746 |
| Final Payments | 2,493 | 0 | 0 | 0 | 2,493 |
| Other Payments | 1,333 | 0 | 0 | 0 | 1,333 |
| Gross Collections | 56,512 | 0 | 0 | 0 | 56,512 |
| State/City Offset | (588) | 0 | 0 | 0 | (588) |
| Refunds | (8,849) | 0 | 0 | 0 | (8,849) |
| Reported Tax Collections | 47,075 | 0 | 0 | 0 | 47,075 |
| STAR (Dedicated Deposits) | (3,382) | 3,382 | 0 | 0 | 0 |
| RBTF (Dedicated Transfers) | (11,769) | 0 | 0 | 11,769 | 0 |
| Personal Income Tax | 31,924 | 3,382 | 0 | 11,769 | 47,075 |
| Sales and Use Tax | 12,650 | 882 | 0 | 0 | 13,532 |
| Cigarette and Tobacco Taxes | 309 | 911 | 0 | 0 | 1,220 |
| Motor Fuel Tax | 0 | 102 | 383 | 0 | 485 |
| Alcoholic Beverage Taxes | 256 | 0 | 0 | 0 | 256 |
| Highway Use Tax | 0 | 0 | 148 | 0 | 148 |
| Auto Rental Tax | 0 | 47 | 77 | 0 | 124 |
| Taxicab Surcharge | 0 | 85 | 0 | 0 | 85 |
| Gross Utility Taxes and Fees | 13,215 | 2,027 | 608 | 0 | 15,850 |
| LGAC/STBF (Dedicated Transfers) | (6,325) | 0 | 0 | 6,325 | 0 |
| Consumption/Use Taxes | 6,890 | 2,027 | 608 | 6,325 | 15,850 |
| Corporation Franchise Tax | 3,909 | 764 | 0 | 0 | 4,673 |
| Corporation and Utilities Tax | 612 | 168 | 14 | 0 | 794 |
| Insurance Taxes | 1,414 | 171 | 0 | 0 | 1,585 |
| Bank Tax | (38) | 28 | 0 | 0 | (10) |
| Petroleum Business Tax | 0 | 487 | 608 | 0 | 1,095 |
| Business Taxes | 5,897 | 1,618 | 622 | 0 | 8,137 |
| Estate Tax | 1,050 | 0 | 0 | 0 | 1,050 |
| Real Estate Transfer Tax | 1,085 | 0 | 0 | 0 | 1,085 |
| Gift Tax | 0 | 0 | 0 | 0 | 0 |
| Real Property Gains Tax | 0 | 0 | 0 | 0 | 0 |
| Pari-Mutuel Taxes | 18 | 0 | 0 | 0 | 18 |
| Other Taxes | 1 | 0 | 0 | 0 | 1 |
| Gross Other Taxes | 2,154 | 0 | 0 | 0 | 2,154 |
| Real Estate Transfer Tax (Dedicated) | (1,085) | 0 | 119 | 966 | 0 |
| Other Taxes | 1,069 | 0 | 119 | 966 | 2,154 |
| Payroll Tax | 0 | 1,346 | 0 | 0 | 1,346 |
| Total Taxes | 45,780 | 8,373 | 1,349 | 19,060 | 74,562 |
| Licenses, Fees, Etc. | 625 | 0 | 0 | 0 | 625 |
| Abandoned Property | 655 | 0 | 0 | 0 | 655 |
| Motor Vehicle Fees | 170 | 408 | 725 | 0 | 1,303 |
| ABC License Fee | 65 | 0 | 0 | 0 | 65 |
| Reimbursements | 269 | 0 | 0 | 0 | 269 |
| Investment Income | 4 | 0 | 0 | 0 | 4 |
| Other Transactions | 2,577 | 14,868 | 4,574 | 470 | 22,489 |
| Miscellaneous Receipts | 4,365 | 15,276 | 5,299 | 470 | 25,410 |
| Federal Receipts | 0 | 49,627 | 1,696 | 73 | 51,396 |
| Total | 50,145 | 73,276 | 8,344 | 19,603 | 151,368 |

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

| | General Fund | Special Revenue Funds | Capital Projects Funds | Debt Service Funds | Total |
|--------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|----------------|
| Taxes: | | | | | |
| Withholdings | 38,971 | 0 | 0 | 0 | 38,971 |
| Estimated Payments | 17,039 | 0 | 0 | 0 | 17,039 |
| Final Payments | 2,670 | 0 | 0 | 0 | 2,670 |
| Other Payments | 1,383 | 0 | 0 | 0 | 1,383 |
| Gross Collections | <u>60,063</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>60,063</u> |
| State/City Offset | (588) | 0 | 0 | 0 | (588) |
| Refunds | (9,360) | 0 | 0 | 0 | (9,360) |
| Reported Tax Collections | <u>50,115</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>50,115</u> |
| STAR (Dedicated Deposits) | (3,468) | 3,468 | 0 | 0 | 0 |
| RBTF (Dedicated Transfers) | (12,529) | 0 | 0 | 12,529 | 0 |
| Personal Income Tax | <u>34,118</u> | <u>3,468</u> | <u>0</u> | <u>12,529</u> | <u>50,115</u> |
| Sales and Use Tax | 13,164 | 903 | 0 | 0 | 14,067 |
| Cigarette and Tobacco Taxes | 353 | 873 | 0 | 0 | 1,226 |
| Motor Fuel Tax | 0 | 102 | 383 | 0 | 485 |
| Alcoholic Beverage Taxes | 261 | 0 | 0 | 0 | 261 |
| Highway Use Tax | 0 | 0 | 142 | 0 | 142 |
| Auto Rental Tax | 0 | 48 | 80 | 0 | 128 |
| Taxicab Surcharge | 0 | 85 | 0 | 0 | 85 |
| Gross Utility Taxes and Fees | <u>13,778</u> | <u>2,011</u> | <u>605</u> | <u>0</u> | <u>16,394</u> |
| LGAC/STBF (Dedicated Transfers) | (6,582) | 0 | 0 | 6,582 | 0 |
| Consumption/Use Taxes | <u>7,196</u> | <u>2,011</u> | <u>605</u> | <u>6,582</u> | <u>16,394</u> |
| Corporation Franchise Tax | 3,617 | 802 | 0 | 0 | 4,419 |
| Corporation and Utilities Tax | 619 | 177 | 15 | 0 | 811 |
| Insurance Taxes | 1,383 | 176 | 0 | 0 | 1,559 |
| Bank Tax | 173 | 30 | 0 | 0 | 203 |
| Petroleum Business Tax | 0 | 469 | 586 | 0 | 1,055 |
| Business Taxes | <u>5,792</u> | <u>1,654</u> | <u>601</u> | <u>0</u> | <u>8,047</u> |
| Estate Tax | 965 | 0 | 0 | 0 | 965 |
| Real Estate Transfer Tax | 1,138 | 0 | 0 | 0 | 1,138 |
| Gift Tax | 0 | 0 | 0 | 0 | 0 |
| Real Property Gains Tax | 0 | 0 | 0 | 0 | 0 |
| Pari-Mutuel Taxes | 18 | 0 | 0 | 0 | 18 |
| Other Taxes | 1 | 0 | 0 | 0 | 1 |
| Gross Other Taxes | <u>2,122</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>2,122</u> |
| Real Estate Transfer Tax (Dedicated) | (1,138) | 0 | 119 | 1,019 | 0 |
| Other Taxes | <u>984</u> | <u>0</u> | <u>119</u> | <u>1,019</u> | <u>2,122</u> |
| Payroll Tax | <u>0</u> | <u>1,404</u> | <u>0</u> | <u>0</u> | <u>1,404</u> |
| Total Taxes | <u>48,090</u> | <u>8,537</u> | <u>1,325</u> | <u>20,130</u> | <u>78,082</u> |
| Licenses, Fees, Etc. | 595 | 0 | 0 | 0 | 595 |
| Abandoned Property | 550 | 0 | 0 | 0 | 550 |
| Motor Vehicle Fees | 218 | 419 | 725 | 0 | 1,362 |
| ABC License Fee | 61 | 0 | 0 | 0 | 61 |
| Reimbursements | 263 | 0 | 0 | 0 | 263 |
| Investment Income | 4 | 0 | 0 | 0 | 4 |
| Other Transactions | 900 | 15,290 | 4,616 | 453 | 21,259 |
| Miscellaneous Receipts | <u>2,591</u> | <u>15,709</u> | <u>5,341</u> | <u>453</u> | <u>24,094</u> |
| Federal Receipts | <u>0</u> | <u>49,850</u> | <u>1,630</u> | <u>73</u> | <u>51,553</u> |
| Total | <u>50,681</u> | <u>74,096</u> | <u>8,296</u> | <u>20,656</u> | <u>153,729</u> |

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

| | General Fund | Special Revenue Funds | Capital Projects Funds | Debt Service Funds | Total |
|--------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|---------|
| Taxes: | | | | | |
| Withholdings | 40,400 | 0 | 0 | 0 | 40,400 |
| Estimated Payments | 18,378 | 0 | 0 | 0 | 18,378 |
| Final Payments | 2,841 | 0 | 0 | 0 | 2,841 |
| Other Payments | 1,422 | 0 | 0 | 0 | 1,422 |
| Gross Collections | 63,041 | 0 | 0 | 0 | 63,041 |
| State/City Offset | (588) | 0 | 0 | 0 | (588) |
| Refunds | (9,406) | 0 | 0 | 0 | (9,406) |
| Reported Tax Collections | 53,047 | 0 | 0 | 0 | 53,047 |
| STAR (Dedicated Deposits) | (3,510) | 3,510 | 0 | 0 | 0 |
| RBTF (Dedicated Transfers) | (13,262) | 0 | 0 | 13,262 | 0 |
| Personal Income Tax | 36,275 | 3,510 | 0 | 13,262 | 53,047 |
| Sales and Use Tax | 13,682 | 936 | 0 | 0 | 14,618 |
| Cigarette and Tobacco Taxes | 344 | 843 | 0 | 0 | 1,187 |
| Motor Fuel Tax | 0 | 101 | 381 | 0 | 482 |
| Alcoholic Beverage Taxes | 266 | 0 | 0 | 0 | 266 |
| Highway Use Tax | 0 | 0 | 143 | 0 | 143 |
| Auto Rental Tax | 0 | 51 | 84 | 0 | 135 |
| Taxicab Surcharge | 0 | 85 | 0 | 0 | 85 |
| Gross Utility Taxes and Fees | 14,292 | 2,016 | 608 | 0 | 16,916 |
| LGAC/STBF (Dedicated Transfers) | (6,841) | 0 | 0 | 6,841 | 0 |
| Consumption/Use Taxes | 7,451 | 2,016 | 608 | 6,841 | 16,916 |
| Corporation Franchise Tax | 3,747 | 844 | 0 | 0 | 4,591 |
| Corporation and Utilities Tax | 619 | 181 | 15 | 0 | 815 |
| Insurance Taxes | 1,431 | 183 | 0 | 0 | 1,614 |
| Bank Tax | 162 | 28 | 0 | 0 | 190 |
| Petroleum Business Tax | 0 | 467 | 584 | 0 | 1,051 |
| Business Taxes | 5,959 | 1,703 | 599 | 0 | 8,261 |
| Estate Tax | 891 | 0 | 0 | 0 | 891 |
| Real Estate Transfer Tax | 1,176 | 0 | 0 | 0 | 1,176 |
| Gift Tax | 0 | 0 | 0 | 0 | 0 |
| Real Property Gains Tax | 0 | 0 | 0 | 0 | 0 |
| Pari-Mutuel Taxes | 18 | 0 | 0 | 0 | 18 |
| Other Taxes | 1 | 0 | 0 | 0 | 1 |
| Gross Other Taxes | 2,086 | 0 | 0 | 0 | 2,086 |
| Real Estate Transfer Tax (Dedicated) | (1,176) | 0 | 119 | 1,057 | 0 |
| Other Taxes | 910 | 0 | 119 | 1,057 | 2,086 |
| Payroll Tax | 0 | 1,473 | 0 | 0 | 1,473 |
| Total Taxes | 50,595 | 8,702 | 1,326 | 21,160 | 81,783 |
| Licenses, Fees, Etc. | 652 | 0 | 0 | 0 | 652 |
| Abandoned Property | 550 | 0 | 0 | 0 | 550 |
| Motor Vehicle Fees | 223 | 419 | 725 | 0 | 1,367 |
| ABC License Fee | 62 | 0 | 0 | 0 | 62 |
| Reimbursements | 253 | 0 | 0 | 0 | 253 |
| Investment Income | 5 | 0 | 0 | 0 | 5 |
| Other Transactions | 608 | 15,506 | 4,295 | 453 | 20,862 |
| Miscellaneous Receipts | 2,353 | 15,925 | 5,020 | 453 | 23,751 |
| Federal Receipts | 0 | 50,322 | 1,617 | 73 | 52,012 |
| Total | 52,948 | 74,949 | 7,963 | 21,686 | 157,546 |

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

| | General Fund | Special Revenue Funds | Capital Projects Funds | Debt Service Funds | Total |
|--------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|---------|
| Taxes: | | | | | |
| Withholdings | 42,198 | 0 | 0 | 0 | 42,198 |
| Estimated Payments | 17,796 | 0 | 0 | 0 | 17,796 |
| Final Payments | 2,984 | 0 | 0 | 0 | 2,984 |
| Other Payments | 1,482 | 0 | 0 | 0 | 1,482 |
| Gross Collections | 64,460 | 0 | 0 | 0 | 64,460 |
| State/City Offset | (588) | 0 | 0 | 0 | (588) |
| Refunds | (9,446) | 0 | 0 | 0 | (9,446) |
| Reported Tax Collections | 54,426 | 0 | 0 | 0 | 54,426 |
| STAR (Dedicated Deposits) | (3,552) | 3,552 | 0 | 0 | 0 |
| RBTF (Dedicated Transfers) | (13,607) | 0 | 0 | 13,607 | 0 |
| Personal Income Tax | 37,267 | 3,552 | 0 | 13,607 | 54,426 |
| Sales and Use Tax | 14,240 | 972 | 0 | 0 | 15,212 |
| Cigarette and Tobacco Taxes | 334 | 814 | 0 | 0 | 1,148 |
| Motor Fuel Tax | 0 | 100 | 378 | 0 | 478 |
| Alcoholic Beverage Taxes | 271 | 0 | 0 | 0 | 271 |
| Highway Use Tax | 0 | 0 | 152 | 0 | 152 |
| Auto Rental Tax | 0 | 53 | 88 | 0 | 141 |
| Taxicab Surcharge | 0 | 85 | 0 | 0 | 85 |
| Gross Utility Taxes and Fees | 14,845 | 2,024 | 618 | 0 | 17,487 |
| LGAC/STBF (Dedicated Transfers) | (7,120) | 0 | 0 | 7,120 | 0 |
| Consumption/Use Taxes | 7,725 | 2,024 | 618 | 7,120 | 17,487 |
| Corporation Franchise Tax | 3,862 | 894 | 0 | 0 | 4,756 |
| Corporation and Utilities Tax | 630 | 190 | 15 | 0 | 835 |
| Insurance Taxes | 1,495 | 192 | 0 | 0 | 1,687 |
| Bank Tax | 122 | 21 | 0 | 0 | 143 |
| Petroleum Business Tax | 0 | 464 | 579 | 0 | 1,043 |
| Business Taxes | 6,109 | 1,761 | 594 | 0 | 8,464 |
| Estate Tax | 855 | 0 | 0 | 0 | 855 |
| Real Estate Transfer Tax | 1,221 | 0 | 0 | 0 | 1,221 |
| Gift Tax | 0 | 0 | 0 | 0 | 0 |
| Real Property Gains Tax | 0 | 0 | 0 | 0 | 0 |
| Pari-Mutuel Taxes | 18 | 0 | 0 | 0 | 18 |
| Other Taxes | 1 | 0 | 0 | 0 | 1 |
| Gross Other Taxes | 2,095 | 0 | 0 | 0 | 2,095 |
| Real Estate Transfer Tax (Dedicated) | (1,221) | 0 | 119 | 1,102 | 0 |
| Other Taxes | 874 | 0 | 119 | 1,102 | 2,095 |
| Payroll Tax | 0 | 1,549 | 0 | 0 | 1,549 |
| Total Taxes | 51,975 | 8,886 | 1,331 | 21,829 | 84,021 |
| Licenses, Fees, Etc. | 600 | 0 | 0 | 0 | 600 |
| Abandoned Property | 550 | 0 | 0 | 0 | 550 |
| Motor Vehicle Fees | 224 | 419 | 725 | 0 | 1,368 |
| ABC License Fee | 63 | 0 | 0 | 0 | 63 |
| Reimbursements | 262 | 0 | 0 | 0 | 262 |
| Investment Income | 5 | 0 | 0 | 0 | 5 |
| Other Transactions | 508 | 15,621 | 4,458 | 452 | 21,039 |
| Miscellaneous Receipts | 2,212 | 16,040 | 5,183 | 452 | 23,887 |
| Federal Receipts | 0 | 50,531 | 1,598 | 73 | 52,202 |
| Total | 54,187 | 75,457 | 8,112 | 22,354 | 160,110 |

CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2015 and FY 2016
(millions of dollars)

| | FY 2015 | FY 2016 | Annual | Annual |
|--------------------------------------|----------------|----------------|------------------|-----------------|
| | Results | Enacted | \$ Change | % Change |
| Taxes: | | | | |
| Withholdings | 34,907 | 36,940 | 2,033 | 5.8% |
| Estimated Payments | 13,743 | 15,746 | 2,003 | 14.6% |
| Final Payments | 2,206 | 2,493 | 287 | 13.0% |
| Other Payments | 1,392 | 1,333 | (59) | -4.2% |
| Gross Collections | 52,248 | 56,512 | 4,264 | 8.2% |
| State/City Offset | (591) | (588) | 3 | 0.5% |
| Refunds | (7,948) | (8,849) | (901) | -11.3% |
| Reported Tax Collections | 43,709 | 47,075 | 3,366 | 7.7% |
| STAR (Dedicated Deposits) | 0 | 0 | 0 | 0.0% |
| RBTF (Dedicated Transfers) | 0 | 0 | 0 | 0.0% |
| Personal Income Tax | 43,709 | 47,075 | 3,366 | 7.7% |
| Sales and Use Tax | 12,991 | 13,532 | 541 | 4.2% |
| Cigarette and Tobacco Taxes | 1,314 | 1,220 | (94) | -7.2% |
| Motor Fuel Tax | 487 | 485 | (2) | -0.4% |
| Alcoholic Beverage Taxes | 251 | 256 | 5 | 2.0% |
| Highway Use Tax | 140 | 148 | 8 | 5.7% |
| Auto Rental Tax | 119 | 124 | 5 | 4.2% |
| Taxicab Surcharge | 82 | 85 | 3 | 3.7% |
| Gross Utility Taxes and Fees | 15,384 | 15,850 | 466 | 3.0% |
| LGAC/STBF (Dedicated Transfers) | 0 | 0 | 0 | 0.0% |
| Consumption/Use Taxes | 15,384 | 15,850 | 466 | 3.0% |
| Corporation Franchise Tax | 3,548 | 4,673 | 1,125 | 31.7% |
| Corporation and Utilities Tax | 728 | 794 | 66 | 9.1% |
| Insurance Taxes | 1,533 | 1,585 | 52 | 3.4% |
| Bank Tax | 1,536 | (10) | (1,546) | -100.7% |
| Petroleum Business Tax | 1,159 | 1,095 | (64) | -5.5% |
| Business Taxes | 8,504 | 8,137 | (367) | -4.3% |
| Estate Tax | 1,109 | 1,050 | (59) | -5.3% |
| Real Estate Transfer Tax | 1,038 | 1,085 | 47 | 4.5% |
| Gift Tax | 0 | 0 | 0 | 0.0% |
| Real Property Gains Tax | 0 | 0 | 0 | 0.0% |
| Pari-Mutuel Taxes | 18 | 18 | 0 | 0.0% |
| Other Taxes | 1 | 1 | 0 | 0.0% |
| Gross Other Taxes | 2,166 | 2,154 | (12) | -0.6% |
| Real Estate Transfer Tax (Dedicated) | 0 | 0 | 0 | 0.0% |
| Other Taxes | 2,166 | 2,154 | (12) | -0.6% |
| Payroll Tax | 1,271 | 1,346 | 75 | 5.9% |
| Total Taxes | 71,034 | 74,562 | 3,528 | 5.0% |
| Licenses, Fees, Etc. | 588 | 625 | 37 | 6.3% |
| Abandoned Property | 652 | 655 | 3 | 0.5% |
| Motor Vehicle Fees | 1,319 | 1,303 | (16) | -1.2% |
| ABC License Fee | 61 | 65 | 4 | 6.6% |
| Reimbursements | 266 | 269 | 3 | 1.1% |
| Investment Income | 4 | 4 | 0 | 0.0% |
| Other Transactions | 26,548 | 22,489 | (4,059) | -15.3% |
| Miscellaneous Receipts | 29,438 | 25,410 | (4,028) | -13.7% |
| Federal Receipts | 48,636 | 51,396 | 2,760 | 5.7% |
| Total | 149,108 | 151,368 | 2,260 | 1.5% |

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2014
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|----------------|----------------|----------------|
| Opening Fund Balance | <u>2,370</u> | <u>3</u> | <u>2,373</u> |
| Receipts: | | | |
| Taxes | 8,175 | 0 | 8,175 |
| Miscellaneous Receipts | 16,603 | 173 | 16,776 |
| Federal Receipts | <u>0</u> | <u>41,405</u> | <u>41,405</u> |
| Total Receipts | <u>24,778</u> | <u>41,578</u> | <u>66,356</u> |
| Disbursements: | | | |
| Local Assistance Grants | 19,462 | 36,925 | 56,387 |
| Departmental Operations: | | | |
| Personal Service | 6,737 | 657 | 7,394 |
| Non-Personal Service | 3,781 | 1,240 | 5,021 |
| General State Charges | 2,059 | 322 | 2,381 |
| Capital Projects | <u>7</u> | <u>0</u> | <u>7</u> |
| Total Disbursements | <u>32,046</u> | <u>39,144</u> | <u>71,190</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 7,644 | 0 | 7,644 |
| Transfers to Other Funds | <u>(1,132)</u> | <u>(1,856)</u> | <u>(2,988)</u> |
| Net Other Financing Sources (Uses) | <u>6,512</u> | <u>(1,856)</u> | <u>4,656</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(756)</u> | <u>578</u> | <u>(178)</u> |
| Closing Fund Balance | <u>1,614</u> | <u>581</u> | <u>2,195</u> |
| Intra-Fund Transfers Adjustment | 706 | (706) | 0 |
| Closing Fund Balance with Intra-Fund Transfers | <u>2,320</u> | <u>(125)</u> | <u>2,195</u> |

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2015
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|---------------|----------------|---------------|
| Opening Fund Balance | 2,489 | (125) | 2,364 |
| Receipts: | | | |
| Taxes | 8,193 | 0 | 8,193 |
| Miscellaneous Receipts | 16,381 | 176 | 16,557 |
| Federal Receipts | 0 | 46,531 | 46,531 |
| Total Receipts | <u>24,574</u> | <u>46,707</u> | <u>71,281</u> |
| Disbursements: | | | |
| Local Assistance Grants | 19,460 | 41,630 | 61,090 |
| Departmental Operations: | | | |
| Personal Service | 6,744 | 613 | 7,357 |
| Non-Personal Service | 3,710 | 1,370 | 5,080 |
| General State Charges | 2,034 | 304 | 2,338 |
| Capital Projects | 1 | 0 | 1 |
| Total Disbursements | <u>31,949</u> | <u>43,917</u> | <u>75,866</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 7,767 | 0 | 7,767 |
| Transfers to Other Funds | (871) | (2,014) | (2,885) |
| Net Other Financing Sources (Uses) | <u>6,896</u> | <u>(2,014)</u> | <u>4,882</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(479)</u> | <u>776</u> | <u>297</u> |
| Closing Fund Balance | <u>2,010</u> | <u>651</u> | <u>2,661</u> |
| Intra-Fund Transfers Adjustment | 462 | (462) | 0 |
| Closing Fund Balance with Intra-Fund Transfers | <u>2,472</u> | <u>189</u> | <u>2,661</u> |

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2016
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|----------------|----------------|----------------|
| Opening Fund Balance | 2,472 | 189 | 2,661 |
| Receipts: | | | |
| Taxes | 8,373 | 0 | 8,373 |
| Miscellaneous Receipts | 15,179 | 97 | 15,276 |
| Federal Receipts | 1 | 49,626 | 49,627 |
| Total Receipts | <u>23,553</u> | <u>49,723</u> | <u>73,276</u> |
| Disbursements: | | | |
| Local Assistance Grants | 18,949 | 45,451 | 64,400 |
| Departmental Operations: | | | |
| Personal Service | 6,789 | 636 | 7,425 |
| Non-Personal Service | 3,392 | 1,194 | 4,586 |
| General State Charges | 2,139 | 306 | 2,445 |
| Capital Projects | 1 | 0 | 1 |
| Total Disbursements | <u>31,270</u> | <u>47,587</u> | <u>78,857</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 8,711 | 36 | 8,747 |
| Transfers to Other Funds | <u>(1,380)</u> | <u>(1,678)</u> | <u>(3,058)</u> |
| Net Other Financing Sources (Uses) | <u>7,331</u> | <u>(1,642)</u> | <u>5,689</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(386)</u> | <u>494</u> | <u>108</u> |
| Closing Fund Balance | <u>2,086</u> | <u>683</u> | <u>2,769</u> |
| Intra-Fund Transfers Adjustment | 486 | (486) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>2,572</u> | <u>197</u> | <u>2,769</u> |

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|---------------|----------------|---------------|
| Opening Fund Balance | 2,572 | 197 | 2,769 |
| Receipts: | | | |
| Taxes | 8,537 | 0 | 8,537 |
| Miscellaneous Receipts | 15,598 | 111 | 15,709 |
| Federal Receipts | 1 | 49,849 | 49,850 |
| Total Receipts | <u>24,136</u> | <u>49,960</u> | <u>74,096</u> |
| Disbursements: | | | |
| Local Assistance Grants | 18,976 | 46,007 | 64,983 |
| Departmental Operations: | | | |
| Personal Service | 6,785 | 685 | 7,470 |
| Non-Personal Service | 3,461 | 961 | 4,422 |
| General State Charges | 2,184 | 319 | 2,503 |
| Capital Projects | 3 | 0 | 3 |
| Total Disbursements | <u>31,409</u> | <u>47,972</u> | <u>79,381</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 7,967 | 0 | 7,967 |
| Transfers to Other Funds | (848) | (1,522) | (2,370) |
| Net Other Financing Sources (Uses) | <u>7,119</u> | <u>(1,522)</u> | <u>5,597</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(154)</u> | <u>466</u> | <u>312</u> |
| Closing Fund Balance | <u>2,418</u> | <u>663</u> | <u>3,081</u> |
| Intra-Fund Transfers Adjustment | 456 | (456) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>2,874</u> | <u>207</u> | <u>3,081</u> |

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|---------------|----------------|---------------|
| Opening Fund Balance | 2,874 | 207 | 3,081 |
| Receipts: | | | |
| Taxes | 8,702 | 0 | 8,702 |
| Miscellaneous Receipts | 15,814 | 111 | 15,925 |
| Federal Receipts | 1 | 50,321 | 50,322 |
| Total Receipts | <u>24,517</u> | <u>50,432</u> | <u>74,949</u> |
| Disbursements: | | | |
| Local Assistance Grants | 19,343 | 46,546 | 65,889 |
| Departmental Operations: | | | |
| Personal Service | 6,821 | 695 | 7,516 |
| Non-Personal Service | 3,484 | 1,004 | 4,488 |
| General State Charges | 2,254 | 324 | 2,578 |
| Capital Projects | 3 | 0 | 3 |
| Total Disbursements | <u>31,905</u> | <u>48,569</u> | <u>80,474</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 8,104 | 0 | 8,104 |
| Transfers to Other Funds | (797) | (1,397) | (2,194) |
| Net Other Financing Sources (Uses) | <u>7,307</u> | <u>(1,397)</u> | <u>5,910</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(81)</u> | <u>466</u> | <u>385</u> |
| Closing Fund Balance | <u>2,793</u> | <u>673</u> | <u>3,466</u> |
| Intra-Fund Transfers Adjustment | 456 | (456) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>3,249</u> | <u>217</u> | <u>3,466</u> |

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|---------------|----------------|---------------|
| Opening Fund Balance | 3,249 | 217 | 3,466 |
| Receipts: | | | |
| Taxes | 8,886 | 0 | 8,886 |
| Miscellaneous Receipts | 15,929 | 111 | 16,040 |
| Federal Receipts | 1 | 50,530 | 50,531 |
| Total Receipts | <u>24,816</u> | <u>50,641</u> | <u>75,457</u> |
| Disbursements: | | | |
| Local Assistance Grants | 19,559 | 46,844 | 66,403 |
| Departmental Operations: | | | |
| Personal Service | 6,895 | 699 | 7,594 |
| Non-Personal Service | 3,562 | 1,020 | 4,582 |
| General State Charges | 2,362 | 328 | 2,690 |
| Capital Projects | 3 | 0 | 3 |
| Total Disbursements | <u>32,381</u> | <u>48,891</u> | <u>81,272</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 8,361 | 0 | 8,361 |
| Transfers to Other Funds | (803) | (1,337) | (2,140) |
| Net Other Financing Sources (Uses) | <u>7,558</u> | <u>(1,337)</u> | <u>6,221</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(7)</u> | <u>413</u> | <u>406</u> |
| Closing Fund Balance | <u>3,242</u> | <u>630</u> | <u>3,872</u> |
| Intra-Fund Transfers Adjustment | 414 | (414) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>3,656</u> | <u>216</u> | <u>3,872</u> |

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2015 and FY 2016
(millions of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>Annual \$ Change</u> | <u>Annual % Change</u> |
|--|----------------------------|----------------------------|-----------------------------|----------------------------|
| Opening Fund Balance | 2,364 | 2,661 | 297 | 12.6% |
| Receipts: | | | | |
| Taxes | 8,193 | 8,373 | 180 | 2.2% |
| Miscellaneous Receipts | 16,557 | 15,276 | (1,281) | -7.7% |
| Federal Receipts | 46,531 | 49,627 | 3,096 | 6.7% |
| Total receipts | <u>71,281</u> | <u>73,276</u> | <u>1,995</u> | <u>2.8%</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 61,090 | 64,400 | 3,310 | 5.4% |
| Departmental Operations: | | | | |
| Personal Service | 7,357 | 7,425 | 68 | 0.9% |
| Non-Personal Service | 5,080 | 4,586 | (494) | -9.7% |
| General State Charges | 2,338 | 2,445 | 107 | 4.6% |
| Debt Service | 0 | 0 | 0 | 0.0% |
| Capital Projects | 1 | 1 | 0 | 0.0% |
| Total Disbursements | <u>75,866</u> | <u>78,857</u> | <u>2,991</u> | <u>3.9%</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 7,767 | 8,747 | 980 | 12.6% |
| Transfers to Other Funds | (2,885) | (3,058) | (173) | -6.0% |
| Net Other Financing Sources (Uses) | <u>4,882</u> | <u>5,689</u> | <u>807</u> | <u>16.5%</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>297</u> | <u>108</u> | <u>(189)</u> | <u>-63.6%</u> |
| Closing Fund Balance | <u><u>2,661</u></u> | <u><u>2,769</u></u> | <u><u>108</u></u> | <u><u>4.1%</u></u> |

CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2016 THROUGH FY 2019
(millions of dollars)

| | <u>FY 2016</u> <u>Enacted</u> | <u>FY 2017</u> <u>Projected</u> | <u>FY 2018</u> <u>Projected</u> | <u>FY 2019</u> <u>Projected</u> |
|-------------------------------|----------------------------------|------------------------------------|------------------------------------|------------------------------------|
| Personal Income Tax | 3,382 | 3,468 | 3,510 | 3,552 |
| Consumption/Use Taxes | 2,027 | 2,011 | 2,016 | 2,024 |
| Sales and Use Tax | 882 | 903 | 936 | 972 |
| Cigarette and Tobacco Taxes | 911 | 873 | 843 | 814 |
| Motor Fuel Tax | 102 | 102 | 101 | 100 |
| Auto Rental Tax | 47 | 48 | 51 | 53 |
| Taxicab Surcharge | 85 | 85 | 85 | 85 |
| Business Taxes | 1,618 | 1,654 | 1,703 | 1,761 |
| Corporation Franchise Tax | 764 | 802 | 844 | 894 |
| Corporation and Utilities Tax | 168 | 177 | 181 | 190 |
| Insurance Taxes | 171 | 176 | 183 | 192 |
| Bank Tax | 28 | 30 | 28 | 21 |
| Petroleum Business Tax | 487 | 469 | 467 | 464 |
| Payroll Tax | 1,346 | 1,404 | 1,473 | 1,549 |
| Total Taxes | 8,373 | 8,537 | 8,702 | 8,886 |
| Miscellaneous Receipts | 15,276 | 15,709 | 15,925 | 16,040 |
| HCRA | 4,594 | 4,655 | 4,708 | 4,768 |
| State University Income | 4,300 | 4,457 | 4,550 | 4,692 |
| Lottery | 3,333 | 3,321 | 3,241 | 3,235 |
| Medicaid | 792 | 792 | 792 | 792 |
| Industry Assessments | 807 | 824 | 829 | 829 |
| Motor Vehicle Fees | 408 | 419 | 419 | 419 |
| All Other | 1,042 | 1,241 | 1,386 | 1,305 |
| Federal Receipts | 49,627 | 49,850 | 50,322 | 50,531 |
| Total | <u>73,276</u> | <u>74,096</u> | <u>74,949</u> | <u>75,457</u> |

CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2015 and FY 2016
(millions of dollars)

| | <u>FY 2015</u> <u>Results</u> | <u>FY 2016</u> <u>Enacted</u> | <u>Annual</u> <u>\$ Change</u> | <u>Annual</u> <u>% Change</u> |
|-------------------------------|----------------------------------|----------------------------------|-----------------------------------|----------------------------------|
| Personal Income Tax | 3,297 | 3,382 | 85 | 2.6% |
| Consumption/Use Taxes | 2,040 | 2,027 | (13) | -0.6% |
| Sales and Use Tax | 854 | 882 | 28 | 3.3% |
| Cigarette and Tobacco Taxes | 958 | 911 | (47) | -4.9% |
| Motor Fuel Tax | 101 | 102 | 1 | 1.0% |
| Auto Rental Tax | 45 | 47 | 2 | 4.4% |
| Taxicab Surcharge | 82 | 85 | 3 | 3.7% |
| Business Taxes | 1,585 | 1,618 | 33 | 2.1% |
| Corporation Franchise Tax | 558 | 764 | 206 | 36.9% |
| Corporation and Utilities Tax | 141 | 168 | 27 | 19.1% |
| Insurance Taxes | 158 | 171 | 13 | 8.2% |
| Bank Tax | 213 | 28 | (185) | -86.9% |
| Petroleum Business Tax | 515 | 487 | (28) | -5.4% |
| Payroll Tax | 1,271 | 1,346 | 75 | 5.9% |
| Total Taxes | 8,193 | 8,373 | 180 | 2.2% |
| Miscellaneous Receipts | 16,557 | 15,276 | (1,281) | -7.7% |
| HCRA | 4,499 | 4,594 | 95 | 2.1% |
| State University Income | 4,403 | 4,300 | (103) | -2.3% |
| Lottery | 3,215 | 3,333 | 118 | 3.7% |
| Medicaid | 792 | 792 | 0 | 0.0% |
| Industry Assessments | 689 | 807 | 118 | 17.1% |
| Motor Vehicle Fees | 401 | 408 | 7 | 1.7% |
| All Other | 2,558 | 1,042 | (1,516) | -59.3% |
| Federal Receipts | 46,531 | 49,627 | 3,096 | 6.7% |
| Total | <u>71,281</u> | <u>73,276</u> | <u>1,995</u> | <u>2.8%</u> |

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2014
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|--------------|----------------|--------------|
| Opening Fund Balance | (318) | (167) | (485) |
| Receipts: | | | |
| Taxes | 1,355 | 0 | 1,355 |
| Miscellaneous Receipts | 3,537 | 2 | 3,539 |
| Federal Receipts | 5 | 2,308 | 2,313 |
| Total Receipts | <u>4,897</u> | <u>2,310</u> | <u>7,207</u> |
| Disbursements: | | | |
| Local Assistance Grants | 1,270 | 972 | 2,242 |
| Capital Projects | 4,454 | 1,055 | 5,509 |
| Total Disbursements | <u>5,724</u> | <u>2,027</u> | <u>7,751</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 1,817 | 0 | 1,817 |
| Transfers to Other Funds | (1,417) | 0 | (1,417) |
| Bond and Note Proceeds | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>400</u> | <u>0</u> | <u>400</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(427)</u> | <u>283</u> | <u>(144)</u> |
| Closing Fund Balance | <u>(745)</u> | <u>116</u> | <u>(629)</u> |
| Intra-Fund Transfers Adjustment | 300 | (300) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>(445)</u> | <u>(184)</u> | <u>(629)</u> |

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2015
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|--------------|----------------|--------------|
| Opening Fund Balance | (445) | (184) | (629) |
| Receipts: | | | |
| Taxes | 1,373 | 0 | 1,373 |
| Miscellaneous Receipts | 3,960 | 1 | 3,961 |
| Federal Receipts | 5 | 2,025 | 2,030 |
| Total Receipts | <u>5,338</u> | <u>2,026</u> | <u>7,364</u> |
| Disbursements: | | | |
| Local Assistance Grants | 1,312 | 731 | 2,043 |
| Capital Projects | 4,410 | 1,095 | 5,505 |
| Total Disbursements | <u>5,722</u> | <u>1,826</u> | <u>7,548</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 1,419 | 0 | 1,419 |
| Transfers to Other Funds | (1,477) | (15) | (1,492) |
| Bond and Note Proceeds | 162 | 0 | 162 |
| Net Other Financing Sources (Uses) | <u>104</u> | <u>(15)</u> | <u>89</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(280)</u> | <u>185</u> | <u>(95)</u> |
| Closing Fund Balance | <u>(725)</u> | <u>1</u> | <u>(724)</u> |
| Intra-Fund Transfers Adjustment | 383 | (383) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>(342)</u> | <u>(382)</u> | <u>(724)</u> |

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2016
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|--------------|----------------|---------------|
| Opening Fund Balance | <u>(342)</u> | <u>(382)</u> | <u>(724)</u> |
| Receipts: | | | |
| Taxes | 1,349 | 0 | 1,349 |
| Miscellaneous Receipts | 5,299 | 0 | 5,299 |
| Federal Receipts | <u>5</u> | <u>1,691</u> | <u>1,696</u> |
| Total Receipts | <u>6,653</u> | <u>1,691</u> | <u>8,344</u> |
| Disbursements: | | | |
| Local Assistance Grants | 2,438 | 716 | 3,154 |
| Capital Projects | <u>6,439</u> | <u>720</u> | <u>7,159</u> |
| Total Disbursements | <u>8,877</u> | <u>1,436</u> | <u>10,313</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 6,237 | 0 | 6,237 |
| Transfers to Other Funds | (1,503) | (12) | (1,515) |
| Bond and Note Proceeds | <u>685</u> | <u>0</u> | <u>685</u> |
| Net Other Financing Sources (Uses) | <u>5,419</u> | <u>(12)</u> | <u>5,407</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>3,195</u> | <u>243</u> | <u>3,438</u> |
| Closing Fund Balance | <u>2,853</u> | <u>(139)</u> | <u>2,714</u> |
| Intra-Fund Transfers Adjustment | 291 | (291) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>3,144</u> | <u>(430)</u> | <u>2,714</u> |

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|----------------|----------------|---------------|
| Opening Fund Balance | 3,144 | (430) | 2,714 |
| Receipts: | | | |
| Taxes | 1,325 | 0 | 1,325 |
| Miscellaneous Receipts | 5,341 | 0 | 5,341 |
| Federal Receipts | 5 | 1,625 | 1,630 |
| Total Receipts | <u>6,671</u> | <u>1,625</u> | <u>8,296</u> |
| Disbursements: | | | |
| Local Assistance Grants | 2,637 | 706 | 3,343 |
| Capital Projects | 6,393 | 657 | 7,050 |
| Total Disbursements | <u>9,030</u> | <u>1,363</u> | <u>10,393</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 2,126 | 0 | 2,126 |
| Transfers to Other Funds | (1,562) | (12) | (1,574) |
| Bond and Note Proceeds | 657 | 0 | 657 |
| Net Other Financing Sources (Uses) | <u>1,221</u> | <u>(12)</u> | <u>1,209</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(1,138)</u> | <u>250</u> | <u>(888)</u> |
| Closing Fund Balance | <u>2,006</u> | <u>(180)</u> | <u>1,826</u> |
| Intra-Fund Transfers Adjustment | 299 | (299) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>2,305</u> | <u>(479)</u> | <u>1,826</u> |

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2018
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|----------------|----------------|----------------|
| Opening Fund Balance | 2,305 | (479) | 1,826 |
| Receipts: | | | |
| Taxes | 1,326 | 0 | 1,326 |
| Miscellaneous Receipts | 5,020 | 0 | 5,020 |
| Federal Receipts | 5 | 1,612 | 1,617 |
| Total Receipts | <u>6,351</u> | <u>1,612</u> | <u>7,963</u> |
| Disbursements: | | | |
| Local Assistance Grants | 2,409 | 706 | 3,115 |
| Capital Projects | 6,389 | 640 | 7,029 |
| Total Disbursements | <u>8,798</u> | <u>1,346</u> | <u>10,144</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 2,303 | 0 | 2,303 |
| Transfers to Other Funds | (1,602) | (12) | (1,614) |
| Bond and Note Proceeds | 462 | 0 | 462 |
| Net Other Financing Sources (Uses) | <u>1,163</u> | <u>(12)</u> | <u>1,151</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(1,284)</u> | <u>254</u> | <u>(1,030)</u> |
| Closing Fund Balance | <u>1,021</u> | <u>(225)</u> | <u>796</u> |
| Intra-Fund Transfers Adjustment | 303 | (303) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>1,324</u> | <u>(528)</u> | <u>796</u> |

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

| | <u>State</u> | <u>Federal</u> | <u>Total</u> |
|--|----------------|----------------|---------------|
| Opening Fund Balance | 1,324 | (528) | 796 |
| Receipts: | | | |
| Taxes | 1,331 | 0 | 1,331 |
| Miscellaneous Receipts | 5,183 | 0 | 5,183 |
| Federal Receipts | 5 | 1,593 | 1,598 |
| Total Receipts | <u>6,519</u> | <u>1,593</u> | <u>8,112</u> |
| Disbursements: | | | |
| Local Assistance Grants | 2,548 | 706 | 3,254 |
| Capital Projects | 6,478 | 622 | 7,100 |
| Total Disbursements | <u>9,026</u> | <u>1,328</u> | <u>10,354</u> |
| Other Financing Sources (Uses): | | | |
| Transfers from Other Funds | 2,528 | 0 | 2,528 |
| Transfers to Other Funds | (1,610) | (13) | (1,623) |
| Bond and Note Proceeds | 451 | 0 | 451 |
| Net Other Financing Sources (Uses) | <u>1,369</u> | <u>(13)</u> | <u>1,356</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(1,138)</u> | <u>252</u> | <u>(886)</u> |
| Closing Fund Balance | <u>186</u> | <u>(276)</u> | <u>(90)</u> |
| Intra-Fund Transfers Adjustment | 300 | (300) | 0 |
| Closing Balance with Intra-Fund Transfers | <u>486</u> | <u>(576)</u> | <u>(90)</u> |

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2015 and FY 2016
(millions of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>Annual \$ Change</u> | <u>Annual % Change</u> |
|--|----------------------------|----------------------------|-----------------------------|----------------------------|
| Opening Fund Balance | (629) | (724) | (95) | -15.1% |
| Receipts: | | | | |
| Taxes | 1,373 | 1,349 | (24) | -1.7% |
| Miscellaneous Receipts | 3,961 | 5,299 | 1,338 | 33.8% |
| Federal Receipts | 2,030 | 1,696 | (334) | -16.5% |
| Total Receipts | <u>7,364</u> | <u>8,344</u> | <u>980</u> | <u>13.3%</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 2,043 | 3,154 | 1,111 | 54.4% |
| Capital Projects | 5,505 | 7,159 | 1,654 | 30.0% |
| Total Disbursements | <u>7,548</u> | <u>10,313</u> | <u>2,765</u> | <u>36.6%</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers From Other Funds | 1,419 | 6,237 | 4,818 | 339.5% |
| Transfers to Other Funds | (1,492) | (1,515) | (23) | -1.5% |
| Bond and Note Proceeds | 162 | 685 | 523 | 322.8% |
| Net Other Financing Sources (Uses) | <u>89</u> | <u>5,407</u> | <u>5,318</u> | <u>5975.3%</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(95)</u> | <u>3,438</u> | <u>3,533</u> | <u>3718.9%</u> |
| Closing Fund Balance | <u>(724)</u> | <u>2,714</u> | <u>3,438</u> | <u>474.9%</u> |

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2016 THROUGH FY 2019
(millions of dollars)**

| | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|-------------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Consumption/Use Taxes | 608 | 605 | 608 | 618 |
| Motor Fuel Tax | 383 | 383 | 381 | 378 |
| Highway Use Tax | 148 | 142 | 143 | 152 |
| Auto Rental Tax | 77 | 80 | 84 | 88 |
| Business Taxes | 622 | 601 | 599 | 594 |
| Corporation and Utilities Tax | 14 | 15 | 15 | 15 |
| Petroleum Business Tax | 608 | 586 | 584 | 579 |
| Other Taxes | 119 | 119 | 119 | 119 |
| Real Estate Transfer Tax | 119 | 119 | 119 | 119 |
| Total Taxes | 1,349 | 1,325 | 1,326 | 1,331 |
| Miscellaneous Receipts | 5,299 | 5,341 | 5,020 | 5,183 |
| Authority Bond Proceeds | 4,504 | 4,869 | 4,818 | 5,180 |
| State Park Fees | 117 | 124 | 118 | 118 |
| Environmental Revenues | 77 | 77 | 77 | 77 |
| Motor Vehicle Fees | 725 | 725 | 725 | 725 |
| All Other | (124) | (454) | (718) | (917) |
| Federal Receipts | 1,696 | 1,630 | 1,617 | 1,598 |
| Total | 8,344 | 8,296 | 7,963 | 8,112 |

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2015 and FY 2016
(millions of dollars)**

| | FY 2015 Results | FY 2016 Enacted | Annual \$ Change | Annual % Change |
|-------------------------------|----------------------------|----------------------------|-----------------------------|----------------------------|
| Consumption/Use Taxes | 600 | 608 | 8 | 1.3% |
| Motor Fuel Tax | 386 | 383 | (3) | -0.8% |
| Highway Use Tax | 140 | 148 | 8 | 5.7% |
| Auto Rental Tax | 74 | 77 | 3 | 4.1% |
| Business Taxes | 654 | 622 | (32) | -4.9% |
| Corporation and Utilities Tax | 10 | 14 | 4 | 40.0% |
| Petroleum Business Tax | 644 | 608 | (36) | -5.6% |
| Other Taxes | 119 | 119 | 0 | 0.0% |
| Real Estate Transfer Tax | 119 | 119 | 0 | 0.0% |
| Total Taxes | 1,373 | 1,349 | (24) | -1.7% |
| Miscellaneous Receipts | 3,961 | 5,299 | 1,338 | 33.8% |
| Authority Bond Proceeds | 3,026 | 4,504 | 1,478 | 48.8% |
| State Park Fees | 68 | 117 | 49 | 72.1% |
| Environmental Revenues | 77 | 77 | 0 | 0.0% |
| Motor Vehicle Fees | 727 | 725 | (2) | -0.3% |
| All Other | 63 | (124) | (187) | -296.8% |
| Federal Receipts | 2,030 | 1,696 | (334) | -16.5% |
| Total | 7,364 | 8,344 | 980 | 13.3% |

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

| | <u>FY 2015</u> <u>Results</u> | <u>FY 2016</u> <u>Enacted</u> | <u>FY 2017</u> <u>Projected</u> | <u>FY 2018</u> <u>Projected</u> | <u>FY 2019</u> <u>Projected</u> |
|--|----------------------------------|----------------------------------|------------------------------------|------------------------------------|------------------------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Economic Development | 17 | 11 | 10 | 10 | 10 |
| Empire State Development Corporation | 1 | 13 | 13 | 13 | 13 |
| Functional Total | <u>18</u> | <u>24</u> | <u>23</u> | <u>23</u> | <u>23</u> |
| TRANSPORTATION | | | | | |
| Transportation, Department of | 0 | 0 | 0 | 0 | 0 |
| Functional Total | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| MENTAL HEALTH | | | | | |
| Mental Health, Office of | 145 | 155 | 176 | 177 | 123 |
| People with Developmental Disabilities, Office for | 19 | 40 | 40 | 40 | 40 |
| Alcoholism and Substance Abuse Services, Office of | 2 | 5 | 5 | 5 | 5 |
| Functional Total | <u>166</u> | <u>200</u> | <u>221</u> | <u>222</u> | <u>168</u> |
| EDUCATION | | | | | |
| Education School Aid | 40 | 40 | 30 | 30 | 21 |
| Functional Total | <u>40</u> | <u>40</u> | <u>30</u> | <u>30</u> | <u>21</u> |
| HIGHER EDUCATION | | | | | |
| City University of New York | 387 | 450 | 565 | 565 | 600 |
| State University of New York | 126 | 170 | 160 | 150 | 153 |
| Functional Total | <u>513</u> | <u>620</u> | <u>725</u> | <u>715</u> | <u>753</u> |
| ALL OTHER | | | | | |
| Judiciary | 2 | 5 | 5 | 7 | 0 |
| Functional Total | <u>2</u> | <u>5</u> | <u>5</u> | <u>7</u> | <u>0</u> |
| TOTAL CAPITAL OFF-BUDGET SPENDING | <u><u>739</u></u> | <u><u>889</u></u> | <u><u>1,004</u></u> | <u><u>997</u></u> | <u><u>965</u></u> |

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2016 THROUGH FY 2019
(millions of dollars)**

| | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---------------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Personal Income Tax | 11,769 | 12,529 | 13,262 | 13,607 |
| Consumption/Use Taxes | 6,325 | 6,582 | 6,841 | 7,120 |
| Sales and Use Tax | 6,325 | 6,582 | 6,841 | 7,120 |
| Other Taxes | 966 | 1,019 | 1,057 | 1,102 |
| Real Estate Transfer Tax | 966 | 1,019 | 1,057 | 1,102 |
| Total Taxes | 19,060 | 20,130 | 21,160 | 21,829 |
| Miscellaneous Receipts | 470 | 453 | 453 | 452 |
| Mental Hygiene Patient Receipts | 321 | 301 | 304 | 304 |
| SUNY Dormitory Fees | 0 | 0 | 0 | 0 |
| Health Patient Receipts | 142 | 146 | 144 | 144 |
| All Other | 7 | 6 | 5 | 4 |
| Federal Receipts | 73 | 73 | 73 | 73 |
| Total | 19,603 | 20,656 | 21,686 | 22,354 |

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2015 and FY 2016
(millions of dollars)**

| | FY 2015 Results | FY 2016 Enacted | Annual \$ Change | Annual % Change |
|---------------------------------|----------------------------|----------------------------|-----------------------------|----------------------------|
| Personal Income Tax | 10,927 | 11,769 | 842 | 7.7% |
| Consumption/Use Taxes | 6,053 | 6,325 | 272 | 4.5% |
| Sales and Use Tax | 6,053 | 6,325 | 272 | 4.5% |
| Other Taxes | 919 | 966 | 47 | 5.1% |
| Real Estate Transfer Tax | 919 | 966 | 47 | 5.1% |
| Total Taxes | 17,899 | 19,060 | 1,161 | 6.5% |
| Miscellaneous Receipts | 510 | 470 | (40) | -7.8% |
| Mental Hygiene Patient Receipts | 364 | 321 | (43) | -11.8% |
| SUNY Dormitory Fees | 0 | 0 | 0 | 0.0% |
| Health Patient Receipts | 137 | 142 | 5 | 3.6% |
| All Other | 9 | 7 | (2) | -22.2% |
| Federal Receipts | 73 | 73 | 0 | 0.0% |
| Total | 18,482 | 19,603 | 1,121 | 6.1% |

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2014
(millions of dollars)**

| | <u>General Fund</u> | <u>State Special Revenue Funds</u> | <u>State Capital Projects Funds</u> | <u>Debt Service Funds</u> | <u>State Funds Total</u> |
|--|-------------------------|--|---|-----------------------------------|----------------------------------|
| Opening Fund Balance | 1,610 | 2,370 | (318) | 379 | 4,041 |
| Receipts: | | | | | |
| Taxes | 42,727 | 8,175 | 1,355 | 17,433 | 69,690 |
| Miscellaneous Receipts | 3,219 | 16,603 | 3,537 | 699 | 24,058 |
| Federal Receipts | 0 | 0 | 5 | 71 | 76 |
| Total Receipts | <u>45,946</u> | <u>24,778</u> | <u>4,897</u> | <u>18,203</u> | <u>93,824</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 39,940 | 19,462 | 1,270 | 0 | 60,672 |
| Departmental Operations: | | | | | |
| Personal Service | 5,563 | 6,737 | 0 | 0 | 12,300 |
| Non-Personal Service | 1,746 | 3,781 | 0 | 37 | 5,564 |
| General State Charges | 4,899 | 2,059 | 0 | 0 | 6,958 |
| Debt Service | 0 | 0 | 0 | 6,400 | 6,400 |
| Capital Projects | 0 | 7 | 4,454 | 0 | 4,461 |
| Total Disbursements | <u>52,148</u> | <u>32,046</u> | <u>5,724</u> | <u>6,437</u> | <u>96,355</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 15,922 | 7,644 | 1,817 | 5,211 | 30,594 |
| Transfers to Other Funds | (9,095) | (1,132) | (1,417) | (17,122) | (28,766) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>6,827</u> | <u>6,512</u> | <u>400</u> | <u>(11,911)</u> | <u>1,828</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>625</u> | <u>(756)</u> | <u>(427)</u> | <u>(145)</u> | <u>(703)</u> |
| Closing Fund Balance | <u>2,235</u> | <u>1,614</u> | <u>(745)</u> | <u>234</u> | <u>3,338</u> |
| Intra-Fund Transfers Adjustment | 0 | 706 | 300 | 0 | 1,006 |
| Closing Balance with Intra-Fund Transfers | <u>2,235</u> | <u>2,320</u> | <u>(445)</u> | <u>234</u> | <u>4,344</u> |

CASH FINANCIAL PLAN
STATE FUNDS
FY 2015
(millions of dollars)

| | General Fund | State Special Revenue Funds | State Capital Projects Funds | Debt Service Funds | State Funds Total |
|--|-------------------------|--|---|-----------------------------------|----------------------------------|
| Opening Fund Balance | 2,235 | 2,489 | (445) | 65 | 4,344 |
| Receipts: | | | | | |
| Taxes | 43,569 | 8,193 | 1,373 | 17,899 | 71,034 |
| Miscellaneous Receipts | 8,410 | 16,381 | 3,960 | 510 | 29,261 |
| Federal Receipts | 2 | 0 | 5 | 73 | 80 |
| Total Receipts | <u>51,981</u> | <u>24,574</u> | <u>5,338</u> | <u>18,482</u> | <u>100,375</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 41,592 | 19,460 | 1,312 | 0 | 62,364 |
| Departmental Operations: | | | | | |
| Personal Service | 5,806 | 6,744 | 0 | 0 | 12,550 |
| Non-Personal Service | 1,858 | 3,710 | 0 | 39 | 5,607 |
| General State Charges | 4,999 | 2,034 | 0 | 0 | 7,033 |
| Debt Service | 0 | 0 | 0 | 6,183 | 6,183 |
| Capital Projects | 0 | 1 | 4,410 | 0 | 4,411 |
| Total Disbursements | <u>54,255</u> | <u>31,949</u> | <u>5,722</u> | <u>6,222</u> | <u>98,148</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 15,940 | 7,767 | 1,419 | 4,681 | 29,807 |
| Transfers to Other Funds | (8,601) | (871) | (1,477) | (16,888) | (27,837) |
| Bond and Note Proceeds | 0 | 0 | 162 | 0 | 162 |
| Net Other Financing Sources (Uses) | <u>7,339</u> | <u>6,896</u> | <u>104</u> | <u>(12,207)</u> | <u>2,132</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>5,065</u> | <u>(479)</u> | <u>(280)</u> | <u>53</u> | <u>4,359</u> |
| Closing Fund Balance | <u>7,300</u> | <u>2,010</u> | <u>(725)</u> | <u>118</u> | <u>8,703</u> |
| Intra-Fund Transfers Adjustment | 0 | 462 | 383 | 0 | 845 |
| Closing Balance with Intra-Fund Transfers | <u>7,300</u> | <u>2,472</u> | <u>(342)</u> | <u>118</u> | <u>9,548</u> |

CASH FINANCIAL PLAN
STATE FUNDS
FY 2016
(millions of dollars)

| | General Fund | State Special Revenue Funds | State Capital Projects Funds | Debt Service Funds | State Funds Total |
|--|-------------------------|--|---|-----------------------------------|----------------------------------|
| Opening Fund Balance | 7,300 | 2,472 | (342) | 118 | 9,548 |
| Receipts: | | | | | |
| Taxes | 45,780 | 8,373 | 1,349 | 19,060 | 74,562 |
| Miscellaneous Receipts | 4,365 | 15,179 | 5,299 | 470 | 25,313 |
| Federal Receipts | 0 | 1 | 5 | 73 | 79 |
| Total Receipts | <u>50,145</u> | <u>23,553</u> | <u>6,653</u> | <u>19,603</u> | <u>99,954</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 44,356 | 18,949 | 2,438 | 0 | 65,743 |
| Departmental Operations: | | | | | |
| Personal Service | 6,079 | 6,789 | 0 | 0 | 12,868 |
| Non-Personal Service | 2,184 | 3,392 | 0 | 44 | 5,620 |
| General State Charges | 5,195 | 2,139 | 0 | 0 | 7,334 |
| Debt Service | 0 | 0 | 0 | 5,122 | 5,122 |
| Capital Projects | 0 | 1 | 6,439 | 0 | 6,440 |
| Total Disbursements | <u>57,814</u> | <u>31,270</u> | <u>8,877</u> | <u>5,166</u> | <u>103,127</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 18,140 | 8,711 | 6,237 | 3,897 | 36,985 |
| Transfers to Other Funds | (14,276) | (1,380) | (1,503) | (18,251) | (35,410) |
| Bond and Note Proceeds | 0 | 0 | 685 | 0 | 685 |
| Net Other Financing Sources (Uses) | <u>3,864</u> | <u>7,331</u> | <u>5,419</u> | <u>(14,354)</u> | <u>2,260</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>(3,805)</u> | <u>(386)</u> | <u>3,195</u> | <u>83</u> | <u>(913)</u> |
| Closing Fund Balance | <u>3,495</u> | <u>2,086</u> | <u>2,853</u> | <u>201</u> | <u>8,635</u> |
| Intra-Fund Transfers Adjustment | 0 | 486 | 291 | 0 | 777 |
| Closing Balance with Intra-Fund Transfers | <u>3,495</u> | <u>2,572</u> | <u>3,144</u> | <u>201</u> | <u>9,412</u> |

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2017
(millions of dollars)**

| | <u>General Fund</u> | <u>State Special Revenue Funds</u> | <u>State Capital Projects Funds</u> | <u>Debt Service Funds</u> | <u>State Funds Total</u> |
|--|-------------------------|--|---|-----------------------------------|----------------------------------|
| Receipts: | | | | | |
| Taxes | 48,090 | 8,537 | 1,325 | 20,130 | 78,082 |
| Miscellaneous Receipts | 2,591 | 15,598 | 5,341 | 453 | 23,983 |
| Federal Receipts | 0 | 1 | 5 | 73 | 79 |
| Total Receipts | <u>50,681</u> | <u>24,136</u> | <u>6,671</u> | <u>20,656</u> | <u>102,144</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 46,783 | 18,976 | 2,637 | 0 | 68,396 |
| Departmental Operations: | | | | | |
| Personal Service | 6,049 | 6,785 | 0 | 0 | 12,834 |
| Non-Personal Service | 2,262 | 3,461 | 0 | 47 | 5,770 |
| General State Charges | 5,710 | 2,184 | 0 | 0 | 7,894 |
| Debt Service | 0 | 0 | 0 | 6,208 | 6,208 |
| Capital Projects | 0 | 3 | 6,393 | 0 | 6,396 |
| Total Disbursements | <u>60,804</u> | <u>31,409</u> | <u>9,030</u> | <u>6,255</u> | <u>107,498</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 18,044 | 7,967 | 2,126 | 4,188 | 32,325 |
| Transfers to Other Funds | (9,945) | (848) | (1,562) | (18,514) | (30,869) |
| Bond and Note Proceeds | 0 | 0 | 657 | 0 | 657 |
| Net Other Financing Sources (Uses) | <u>8,099</u> | <u>7,119</u> | <u>1,221</u> | <u>(14,326)</u> | <u>2,113</u> |
| Use (Reservation) of Fund Balance: | | | | | |
| Community Projects Fund | 0 | | | | |
| Prior-Term Labor Agreements | (30) | | | | |
| Monetary Settlements | 0 | | | | |
| Total Use (Reservation) of Fund Balance | <u>(30)</u> | | | | |
| Adherence to 2% Spending Benchmark | 2,333 | | | | |
| Net General Fund Surplus (Deficit) | <u>279</u> | | | | |

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)**

| | <u>General Fund</u> | <u>State Special Revenue Funds</u> | <u>State Capital Projects Funds</u> | <u>Debt Service Funds</u> | <u>State Funds Total</u> |
|--|-------------------------|--|---|-----------------------------------|----------------------------------|
| Receipts: | | | | | |
| Taxes | 50,595 | 8,702 | 1,326 | 21,160 | 81,783 |
| Miscellaneous Receipts | 2,353 | 15,814 | 5,020 | 453 | 23,640 |
| Federal Receipts | 0 | 1 | 5 | 73 | 79 |
| Total Receipts | <u>52,948</u> | <u>24,517</u> | <u>6,351</u> | <u>21,686</u> | <u>105,502</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 49,160 | 19,343 | 2,409 | 0 | 70,912 |
| Departmental Operations: | | | | | |
| Personal Service | 6,076 | 6,821 | 0 | 0 | 12,897 |
| Non-Personal Service | 2,488 | 3,484 | 0 | 47 | 6,019 |
| General State Charges | 6,032 | 2,254 | 0 | 0 | 8,286 |
| Debt Service | 0 | 0 | 0 | 6,699 | 6,699 |
| Capital Projects | 0 | 3 | 6,389 | 0 | 6,392 |
| Total Disbursements | <u>63,756</u> | <u>31,905</u> | <u>8,798</u> | <u>6,746</u> | <u>111,205</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 18,815 | 8,104 | 2,303 | 4,283 | 33,505 |
| Transfers to Other Funds | (10,644) | (797) | (1,602) | (19,131) | (32,174) |
| Bond and Note Proceeds | 0 | 0 | 462 | 0 | 462 |
| Net Other Financing Sources (Uses) | <u>8,171</u> | <u>7,307</u> | <u>1,163</u> | <u>(14,848)</u> | <u>1,793</u> |
| Use (Reservation) of Fund Balance: | | | | | |
| Prior-Term Labor Agreements | (10) | | | | |
| Monetary Settlements | 0 | | | | |
| Total Use (Reservation) of Fund Balance | <u>(10)</u> | | | | |
| Adherence to 2% Spending Benchmark | 4,349 | | | | |
| Net General Fund Surplus (Deficit) | <u>1,702</u> | | | | |

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

| | <u>General Fund</u> | <u>State Special Revenue Funds</u> | <u>State Capital Projects Funds</u> | <u>Debt Service Funds</u> | <u>State Funds Total</u> |
|--|-------------------------|--|---|-----------------------------------|----------------------------------|
| Receipts: | | | | | |
| Taxes | 51,975 | 8,886 | 1,331 | 21,829 | 84,021 |
| Miscellaneous Receipts | 2,212 | 15,929 | 5,183 | 452 | 23,776 |
| Federal Receipts | 0 | 1 | 5 | 73 | 79 |
| Total Receipts | <u>54,187</u> | <u>24,816</u> | <u>6,519</u> | <u>22,354</u> | <u>107,876</u> |
| Disbursements: | | | | | |
| Local Assistance Grants | 51,653 | 19,559 | 2,548 | 0 | 73,760 |
| Departmental Operations: | | | | | |
| Personal Service | 6,104 | 6,895 | 0 | 0 | 12,999 |
| Non-Personal Service | 2,302 | 3,562 | 0 | 47 | 5,911 |
| General State Charges | 6,349 | 2,362 | 0 | 0 | 8,711 |
| Debt Service | 0 | 0 | 0 | 7,004 | 7,004 |
| Capital Projects | 0 | 3 | 6,478 | 0 | 6,481 |
| Total Disbursements | <u>66,408</u> | <u>32,381</u> | <u>9,026</u> | <u>7,051</u> | <u>114,866</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers from Other Funds | 18,978 | 8,361 | 2,528 | 4,031 | 33,898 |
| Transfers to Other Funds | (10,962) | (803) | (1,610) | (19,261) | (32,636) |
| Bond and Note Proceeds | 0 | 0 | 451 | 0 | 451 |
| Net Other Financing Sources (Uses) | <u>8,016</u> | <u>7,558</u> | <u>1,369</u> | <u>(15,230)</u> | <u>1,713</u> |
| Use (Reservation) of Fund Balance: | | | | | |
| Prior-Term Labor Agreements | (10) | | | | |
| Monetary Settlements | 0 | | | | |
| Total Use (Reservation) of Fund Balance | <u>(10)</u> | | | | |
| Adherence to 2% Spending Benchmark | 5,821 | | | | |
| Net General Fund Surplus (Deficit) | <u>1,606</u> | | | | |

**CASH FINANCIAL PLAN
STATE FUNDS
FY 2015 and FY 2016
(millions of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>Annual \$ Change</u> | <u>Annual % Change</u> |
|---|----------------------------|----------------------------|-----------------------------|----------------------------|
| Opening Fund Balance | 4,344 | 9,548 | 5,204 | 119.8% |
| Receipts: | | | | |
| Taxes | 71,034 | 74,562 | 3,528 | 5.0% |
| Miscellaneous Receipts | 29,261 | 25,313 | (3,948) | -13.5% |
| Federal Receipts | 80 | 79 | (1) | -1.3% |
| Total Receipts | <u>100,375</u> | <u>99,954</u> | <u>(421)</u> | <u>-0.4%</u> |
| Disbursements: | | | | |
| Local Assistance Grants | 62,364 | 65,743 | 3,379 | 5.4% |
| Departmental Operations: | | | | |
| Personal Service | 12,550 | 12,868 | 318 | 2.5% |
| Non-Personal Service | 5,607 | 5,620 | 13 | 0.2% |
| General State Charges | 7,033 | 7,334 | 301 | 4.3% |
| Debt Service | 6,183 | 5,122 | (1,061) | -17.2% |
| Capital Projects | 4,411 | 6,440 | 2,029 | 46.0% |
| Total Disbursements | <u>98,148</u> | <u>103,127</u> | <u>4,979</u> | <u>5.1%</u> |
| Other Financing Sources (Uses): | | | | |
| Transfers from Other Funds | 29,807 | 36,985 | 7,178 | 24.1% |
| Transfers to Other Funds | (27,837) | (35,410) | (7,573) | -27.2% |
| Bond and Note Proceeds | 162 | 685 | 523 | 322.8% |
| Net Other Financing Sources (Uses) | <u>2,132</u> | <u>2,260</u> | <u>128</u> | <u>6.0%</u> |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | <u>4,359</u> | <u>(913)</u> | <u>(5,272)</u> | <u>-120.9%</u> |
| Intra-Fund Transfers Adjustment | 845 | 777 | (68) | -8.0% |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements with Intra-Fund Transfers Adjustment | <u>5,204</u> | <u>(136)</u> | <u>(5,340)</u> | <u>-102.6%</u> |

**CASHFLOW
STATE OPERATING FUNDS
FY 2015
(dollars in millions)**

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|----------|
| OPENING BALANCE | 4,789 | 9,037 | 8,094 | 8,740 | 10,816 | 11,122 | 10,528 | 10,509 | 9,564 | 10,263 | 14,100 | 15,240 | 4,789 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 5,353 | 2,101 | 4,244 | 2,565 | 2,391 | 4,230 | 2,488 | 1,836 | 4,964 | 7,274 | 3,410 | 2,853 | 43,709 |
| Consumption/Use Taxes | 1,156 | 1,107 | 1,439 | 1,183 | 1,145 | 1,488 | 1,161 | 1,144 | 1,466 | 1,393 | 964 | 1,329 | 14,785 |
| Business Taxes | 218 | 464 | 1,485 | 1,793 | (6) | 1,482 | 124 | 58 | 1,127 | 239 | 97 | 2,723 | 7,850 |
| Other Taxes | 288 | 289 | 229 | 266 | 261 | 283 | 289 | 255 | 288 | 278 | 278 | 259 | 3,317 |
| Total Taxes | 7,015 | 3,971 | 7,397 | 4,793 | 3,791 | 7,123 | 4,062 | 3,293 | 7,845 | 9,058 | 4,749 | 7,164 | 69,661 |
| Abandoned Property | 1 | 0 | 0 | 0 | 0 | 0 | 94 | 135 | 20 | 49 | 45 | 308 | 652 |
| ABC License Fee | 7 | 5 | 5 | 6 | 4 | 5 | 6 | 5 | 5 | 5 | 5 | 3 | 61 |
| HCRA | 333 | 376 | 359 | 416 | 352 | 371 | 386 | 357 | 399 | 398 | 385 | 367 | 4,499 |
| Investment Income | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Licenses, Fees, etc. | 35 | 57 | 70 | 22 | 54 | 78 | 44 | 43 | 75 | 26 | 65 | 19 | 588 |
| Lottery | 312 | 254 | 243 | 305 | 245 | 244 | 305 | 262 | 244 | 277 | 263 | 252 | 3,215 |
| Medicaid | 72 | 21 | 20 | 107 | 107 | 110 | 65 | 69 | 71 | 71 | 64 | 58 | 792 |
| Motor Vehicle Fees | 68 | 53 | 52 | 54 | 36 | 27 | 56 | 27 | 57 | 37 | 37 | 61 | 592 |
| Reimbursements | 7 | 12 | 45 | 0 | 22 | 48 | 3 | 16 | 27 | 12 | 22 | 52 | 266 |
| State University Income | 265 | 255 | 259 | 283 | 394 | 637 | 414 | 233 | 637 | 414 | 528 | 393 | 4,403 |
| Other Transactions | 268 | 2,048 | 388 | 2,507 | 350 | 856 | 644 | 597 | 644 | 312 | 597 | 1,325 | 10,229 |
| Total Miscellaneous Receipts | 1,368 | 3,081 | 1,441 | 3,668 | 1,564 | 2,402 | 2,017 | 1,744 | 1,807 | 1,657 | 1,711 | 2,841 | 25,301 |
| Federal Receipts | 1 | 0 | 0 | 2 | 35 | 0 | 0 | 0 | 0 | 1 | 35 | 1 | 75 |
| TOTAL RECEIPTS | 8,384 | 7,052 | 8,838 | 7,863 | 5,390 | 9,525 | 6,079 | 5,037 | 9,652 | 10,716 | 6,495 | 10,006 | 95,037 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 282 | 2,679 | 2,145 | 82 | 597 | 3,760 | 914 | 1,532 | 1,750 | 521 | 607 | 6,762 | 21,631 |
| Higher Education | 13 | 14 | 464 | 599 | 139 | 53 | 458 | 28 | 222 | 222 | 327 | 745 | 3,092 |
| All Other Education | 20 | 326 | 17 | 212 | 135 | 483 | 167 | 30 | 167 | 491 | 101 | 491 | 2,081 |
| STAR | 0 | 0 | 424 | 0 | 203 | 0 | 5 | 25 | 124 | 2,460 | 3 | 53 | 3,297 |
| Medicaid - DOH | 1,373 | 1,583 | 1,178 | 1,655 | 1,184 | 1,220 | 1,594 | 1,548 | 1,247 | 1,394 | 1,316 | 1,499 | 16,791 |
| Public Health | 29 | 153 | 153 | 232 | 201 | 82 | 140 | 107 | 132 | 84 | 134 | 272 | 1,719 |
| Mental Hygiene | 53 | 55 | 486 | 103 | 44 | 425 | 156 | 31 | 248 | 64 | 295 | 429 | 2,922 |
| Children and Families | 27 | 97 | 75 | 157 | 60 | 151 | 168 | 70 | 248 | 108 | 126 | 314 | 1,601 |
| Temporary & Disability Assistance | 98 | 105 | 158 | 104 | 93 | 96 | 98 | 90 | 93 | 108 | 108 | 108 | 1,236 |
| Transportation | 155 | 566 | 338 | 352 | 479 | 403 | 334 | 591 | 853 | 213 | 286 | 264 | 4,834 |
| Unrestricted Aid | 0 | 11 | 390 | 2 | 0 | 102 | 8 | 5 | 182 | 1 | 0 | 64 | 765 |
| All Other | 39 | 8 | 220 | 270 | 83 | 25 | 43 | 54 | 77 | 154 | 71 | 39 | 1,083 |
| Total Local Assistance Grants | 2,089 | 5,597 | 6,048 | 3,768 | 3,015 | 7,003 | 3,982 | 4,119 | 5,876 | 5,159 | 3,356 | 11,040 | 61,052 |
| Personal Service | 1,004 | 1,136 | 953 | 1,272 | 958 | 968 | 1,128 | 968 | 1,303 | 952 | 967 | 941 | 12,550 |
| Non-Personal Service | 355 | 395 | 478 | 394 | 439 | 466 | 519 | 441 | 437 | 441 | 459 | 833 | 5,607 |
| Total Departmental Operations | 1,359 | 1,531 | 1,431 | 1,666 | 1,397 | 1,434 | 1,647 | 1,359 | 1,740 | 1,393 | 1,426 | 1,774 | 18,157 |
| General State Charges | 680 | 837 | 469 | 735 | 547 | 1,164 | 478 | 485 | 413 | 396 | 259 | 570 | 7,033 |
| Debt Service | 173 | 217 | 291 | 78 | 397 | 752 | 145 | 93 | 622 | 77 | 552 | 2,786 | 6,183 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| TOTAL DISBURSEMENTS | 4,301 | 8,182 | 8,239 | 6,247 | 5,356 | 10,353 | 6,252 | 6,056 | 8,651 | 7,025 | 5,593 | 16,171 | 92,426 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from other funds | 3,152 | 1,479 | 2,865 | 1,698 | 1,888 | 3,086 | 1,839 | 1,625 | 2,755 | 2,465 | 1,525 | 4,011 | 28,388 |
| Transfers to other funds | (2,997) | (1,347) | (2,843) | (1,268) | (1,628) | (2,969) | (1,694) | (1,643) | (3,085) | (2,334) | (1,302) | (3,250) | (26,360) |
| Bond and note proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NET OTHER FINANCING SOURCES/(USES) | 155 | 132 | 22 | 430 | 260 | 117 | 145 | (18) | (330) | 131 | 223 | 761 | 2,028 |
| Excess/(Deficiency) of Receipts over Disbursements | 4,238 | (998) | 621 | 2,046 | 294 | (711) | (28) | (1,037) | 671 | 3,822 | 1,125 | (5,404) | 4,639 |
| CLOSING BALANCE | 9,027 | 8,039 | 8,715 | 10,786 | 11,110 | 10,411 | 10,500 | 9,472 | 10,235 | 14,085 | 15,225 | 9,836 | 9,428 |
| Intra-Fund Transfers Adjustment | 10 | 55 | 25 | 30 | 12 | 117 | 9 | 92 | 28 | 15 | 15 | 54 | 462 |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | 9,037 | 8,094 | 8,740 | 10,816 | 11,122 | 10,528 | 10,509 | 9,564 | 10,263 | 14,100 | 15,240 | 9,890 | 9,890 |

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2015**
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|----------|
| OPENING BALANCE | 4,035 | 7,576 | 7,114 | 7,739 | 9,849 | 9,668 | 9,215 | 9,126 | 7,544 | 8,699 | 12,481 | 13,572 | 4,035 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 5,353 | 2,101 | 4,244 | 2,565 | 2,391 | 4,230 | 2,488 | 1,836 | 4,964 | 7,274 | 3,410 | 2,853 | 43,709 |
| Consumption/Use Taxes | 1,204 | 1,164 | 1,496 | 1,229 | 1,195 | 1,557 | 1,207 | 1,183 | 1,529 | 1,238 | 1,007 | 1,376 | 15,385 |
| Business Taxes | 273 | 515 | 1,548 | 232 | 57 | 1,202 | 177 | 105 | 1,179 | 295 | 148 | 2,773 | 8,504 |
| Other Taxes | 288 | 289 | 241 | 278 | 273 | 275 | 301 | 267 | 300 | 364 | 290 | 270 | 3,436 |
| Total Taxes | 7,118 | 4,069 | 7,529 | 4,304 | 3,916 | 7,264 | 4,173 | 3,391 | 7,972 | 9,171 | 4,855 | 7,272 | 71,034 |
| Abandoned Property | 1 | 0 | 0 | 0 | 0 | 0 | 94 | 135 | 20 | 49 | 45 | 308 | 652 |
| ABC License Fee | 7 | 5 | 5 | 6 | 4 | 5 | 5 | 5 | 5 | 5 | 5 | 3 | 61 |
| HCRA | 333 | 376 | 359 | 416 | 352 | 371 | 386 | 357 | 399 | 398 | 385 | 367 | 4,499 |
| Investment Income | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Licenses, Fees, etc. | 35 | 57 | 70 | 22 | 54 | 78 | 44 | 43 | 75 | 26 | 65 | 19 | 588 |
| Lottery | 312 | 254 | 243 | 305 | 245 | 244 | 305 | 262 | 263 | 253 | 263 | 252 | 3,215 |
| Medicaid | 72 | 21 | 20 | 74 | 107 | 110 | 65 | 69 | 61 | 71 | 64 | 58 | 792 |
| Motor Vehicle Fees | 68 | 53 | 52 | 54 | 36 | 58 | 56 | 27 | 57 | 38 | 37 | 61 | 592 |
| Reimbursements | 7 | 12 | 45 | 0 | 22 | 48 | 3 | 16 | 27 | 12 | 22 | 52 | 266 |
| State University Income | 265 | 255 | 259 | 283 | 394 | 637 | 414 | 233 | 249 | 493 | 528 | 393 | 4,403 |
| Other Transactions | 483 | 2,225 | 625 | 3,158 | 447 | 1,089 | 755 | 1,029 | 806 | 399 | 399 | 2,951 | 14,366 |
| Total Miscellaneous Receipts | 1,583 | 3,258 | 1,678 | 4,319 | 1,661 | 2,635 | 2,128 | 2,176 | 1,976 | 1,744 | 1,813 | 4,467 | 29,438 |
| Federal Receipts | 2,979 | 3,967 | 4,032 | 3,448 | 4,221 | 4,089 | 3,785 | 3,485 | 4,869 | 3,789 | 4,232 | 5,740 | 48,636 |
| TOTAL RECEIPTS | 11,680 | 11,294 | 13,239 | 12,071 | 9,798 | 13,988 | 10,086 | 9,052 | 14,817 | 14,704 | 10,900 | 17,479 | 149,108 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 573 | 2,963 | 2,383 | 215 | 709 | 3,816 | 1,025 | 1,647 | 1,886 | 704 | 857 | 6,906 | 23,684 |
| Higher Education | 13 | 14 | 464 | 599 | 139 | 53 | 459 | 28 | 222 | 30 | 327 | 745 | 3,093 |
| All Other Education | 175 | 493 | 124 | 318 | 187 | 535 | 141 | 111 | 256 | 106 | 182 | 613 | 3,241 |
| STAR | 0 | 0 | 424 | 0 | 0 | 203 | 5 | 25 | 124 | 2,460 | 3 | 53 | 3,297 |
| Medicaid - DOH | 3,624 | 3,708 | 3,760 | 3,851 | 3,755 | 3,385 | 4,127 | 4,029 | 4,066 | 3,941 | 3,769 | 4,622 | 46,637 |
| Public Health | 154 | 272 | 271 | 342 | 424 | 218 | 252 | 241 | 241 | 178 | 223 | 551 | 3,349 |
| Mental Hygiene | 81 | 65 | 500 | 129 | 59 | 438 | 178 | 54 | 799 | 81 | 315 | 441 | 3,140 |
| Children and Families | 186 | 103 | 109 | 244 | 91 | 448 | 197 | 102 | 316 | 121 | 230 | 531 | 2,678 |
| Temporary & Disability Assistance | 284 | 352 | 576 | 361 | 365 | 563 | 288 | 299 | 441 | 311 | 256 | 637 | 4,733 |
| Transportation | 211 | 601 | 447 | 387 | 511 | 553 | 392 | 623 | 1,158 | 285 | 315 | 432 | 5,915 |
| Unrestricted Aid | 0 | 11 | 390 | 2 | 0 | 102 | 8 | 5 | 182 | 1 | 0 | 64 | 765 |
| All Other | 119 | 133 | 293 | 386 | 691 | (16) | 136 | 978 | 454 | 288 | 373 | 358 | 4,193 |
| Total Local Assistance Grants | 5,420 | 8,715 | 9,741 | 6,834 | 6,931 | 10,298 | 7,208 | 8,124 | 10,145 | 8,506 | 6,850 | 15,953 | 104,725 |
| Personal Service | 1,053 | 1,180 | 1,003 | 1,338 | 1,009 | 1,017 | 1,176 | 1,010 | 1,372 | 997 | 1,009 | 999 | 13,163 |
| Non-Personal Service | 444 | 459 | 532 | 490 | 544 | 656 | 647 | 468 | 557 | 539 | 1,017 | 1,012 | 6,977 |
| Total Departmental Operations | 1,497 | 1,639 | 1,535 | 1,828 | 1,553 | 1,673 | 1,823 | 1,478 | 1,929 | 1,536 | 1,633 | 2,016 | 20,140 |
| General State Charges | 691 | 844 | 519 | 737 | 589 | 1,176 | 511 | 491 | 459 | 423 | 317 | 580 | 7,337 |
| Debt Service | 173 | 217 | 291 | 78 | 397 | 752 | 145 | 93 | 622 | 77 | 552 | 2,786 | 6,183 |
| Capital Projects | 296 | 339 | 523 | 473 | 492 | 538 | 477 | 473 | 536 | 381 | 453 | 525 | 5,506 |
| TOTAL DISBURSEMENTS | 8,077 | 11,754 | 12,609 | 9,950 | 9,962 | 14,437 | 10,164 | 10,659 | 13,691 | 10,923 | 9,805 | 21,860 | 143,891 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from other funds | 3,187 | 1,552 | 2,991 | 1,411 | 2,037 | 3,285 | 2,016 | 1,792 | 3,316 | 2,601 | 1,705 | 3,914 | 29,807 |
| Transfers to other funds | (3,249) | (1,554) | (2,996) | (1,422) | (2,054) | (3,289) | (2,027) | (1,767) | (3,287) | (2,600) | (1,709) | (3,912) | (29,866) |
| Bond and note proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 162 | 162 |
| NET OTHER FINANCING SOURCES/(USES) | (62) | (2) | (5) | (11) | (7) | (4) | (11) | 25 | 29 | 1 | (4) | 164 | 103 |
| Excess/(Deficiency) of Receipts over Disbursements | 3,541 | (462) | 625 | 2,110 | (181) | (453) | (89) | (1,582) | 1,155 | 3,782 | 1,091 | (4,217) | 5,320 |
| CLOSING BALANCE | 7,576 | 7,114 | 7,739 | 9,849 | 9,668 | 9,215 | 9,126 | 7,544 | 8,699 | 12,481 | 13,572 | 9,355 | 9,355 |

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2015**
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|---------|
| OPENING BALANCE | 2,364 | 2,173 | 2,708 | 3,186 | 2,929 | 2,689 | 2,149 | 1,962 | 1,166 | 1,159 | 1,496 | 1,537 | 2,364 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 0 | 0 | 424 | 0 | 0 | 203 | 5 | 25 | 124 | 2,463 | 3 | 50 | 3,297 |
| Consumption/Use Taxes | 218 | 154 | 181 | 187 | 159 | 194 | 179 | 147 | 193 | 168 | 127 | 134 | 2,041 |
| Business Taxes | 70 | 111 | 242 | 211 | 202 | 81 | 37 | 82 | 56 | 82 | 39 | 402 | 1,585 |
| Other Taxes | 129 | 96 | 80 | 98 | 85 | 82 | 102 | 86 | 110 | 144 | 148 | 110 | 1,270 |
| Total Taxes | 417 | 361 | 927 | 337 | 325 | 681 | 323 | 314 | 638 | 2,857 | 317 | 696 | 8,193 |
| HGRA | 333 | 376 | 359 | 416 | 352 | 371 | 386 | 357 | 399 | 398 | 385 | 367 | 4,499 |
| State University Income | 265 | 255 | 259 | 283 | 394 | 637 | 414 | 233 | 249 | 493 | 528 | 393 | 4,403 |
| Lottery | 312 | 254 | 243 | 305 | 245 | 244 | 305 | 262 | 277 | 253 | 263 | 252 | 3,215 |
| Medicaid | 72 | 21 | 20 | 74 | 107 | 71 | 65 | 69 | 61 | 64 | 58 | 58 | 792 |
| Motor Vehicle Fees | 31 | 32 | 46 | 34 | 30 | 47 | 32 | 28 | 36 | 29 | 24 | 32 | 401 |
| Other Transactions | 146 | 328 | 333 | 205 | 177 | 300 | 282 | 232 | 355 | 240 | 225 | 424 | 3,247 |
| Total Miscellaneous Receipts | 1,159 | 1,266 | 1,260 | 1,317 | 1,305 | 1,709 | 1,484 | 1,181 | 1,377 | 1,484 | 1,489 | 1,526 | 16,557 |
| Federal Receipts | 2,866 | 3,839 | 3,922 | 3,223 | 4,034 | 3,882 | 3,613 | 3,315 | 4,671 | 3,666 | 3,911 | 5,589 | 46,531 |
| TOTAL RECEIPTS | 4,442 | 5,466 | 6,109 | 4,877 | 5,664 | 6,272 | 5,420 | 4,810 | 6,686 | 8,007 | 5,717 | 7,811 | 71,281 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 291 | 284 | 549 | 133 | 112 | 2,105 | 254 | 258 | 279 | 326 | 392 | 286 | 5,268 |
| Higher Education | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 32 |
| All Other Education | 155 | 167 | 109 | 93 | 52 | 57 | 77 | 81 | 92 | 71 | 81 | 122 | 1,157 |
| STAR | 0 | 0 | 424 | 0 | 0 | 203 | 5 | 25 | 124 | 2,460 | 3 | 53 | 3,297 |
| Medicaid - DOH | 2,524 | 2,651 | 2,863 | 2,709 | 2,985 | 2,553 | 3,114 | 2,816 | 3,367 | 3,022 | 2,759 | 3,597 | 34,960 |
| Public Health | 153 | 197 | 154 | 278 | 346 | 150 | 211 | 191 | 162 | 131 | 130 | 436 | 2,539 |
| Mental Hygiene | 71 | 61 | 198 | 121 | 51 | 178 | 169 | 47 | 304 | 63 | 101 | 297 | 1,661 |
| Children and Families | 159 | 7 | 34 | 87 | 31 | 297 | 30 | 32 | 68 | 13 | 105 | 217 | 1,080 |
| Temporary & Disability Assistance | 186 | 241 | 418 | 249 | 272 | 467 | 182 | 201 | 340 | 216 | 167 | 525 | 3,464 |
| Transportation | 156 | 545 | 343 | 355 | 457 | 406 | 339 | 568 | 845 | 214 | 281 | 273 | 4,782 |
| Unrestricted Aid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Other | 90 | 140 | 64 | 299 | 657 | (27) | 70 | 918 | 207 | 135 | 71 | 226 | 2,850 |
| Total Local Assistance Grants | 3,785 | 4,293 | 5,156 | 4,324 | 4,963 | 6,389 | 4,451 | 5,137 | 5,788 | 6,651 | 4,090 | 6,064 | 61,090 |
| Personal Service | 606 | 651 | 556 | 736 | 558 | 569 | 650 | 557 | 781 | 552 | 559 | 582 | 7,357 |
| Non-Personal Service | 360 | 311 | 384 | 348 | 390 | 504 | 477 | 346 | 423 | 405 | 471 | 661 | 5,080 |
| Total Departmental Operations | 966 | 962 | 940 | 1,084 | 948 | 1,073 | 1,127 | 903 | 1,204 | 957 | 1,030 | 1,243 | 12,437 |
| General State Charges | 187 | 195 | 162 | 34 | 443 | 50 | 103 | 351 | 153 | 172 | 413 | 74 | 2,338 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| TOTAL DISBURSEMENTS | 4,938 | 5,450 | 6,258 | 5,442 | 6,354 | 7,512 | 5,681 | 6,391 | 7,145 | 7,780 | 5,533 | 7,382 | 75,866 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 594 | 688 | 782 | 393 | 713 | 899 | 346 | 818 | 644 | 332 | 319 | 1,239 | 7,767 |
| Transfers to Other Funds | (289) | (169) | (155) | (85) | (263) | (199) | (272) | (33) | (192) | (222) | (462) | (544) | (2,885) |
| NET OTHER FINANCING SOURCES/(USES) | 305 | 519 | 627 | 308 | 450 | 700 | 74 | 785 | 452 | 110 | (143) | 695 | 4,882 |
| Excess/(Deficiency) of Receipts over Disbursements | (191) | 535 | 478 | (257) | (240) | (540) | (187) | (796) | (7) | 337 | 41 | 1,124 | 297 |
| CLOSING BALANCE | 2,173 | 2,708 | 3,186 | 2,929 | 2,689 | 2,149 | 1,962 | 1,166 | 1,159 | 1,496 | 1,537 | 2,661 | 2,661 |

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2015**
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|--------|
| OPENING BALANCE | 2,489 | 3,017 | 3,038 | 3,386 | 3,110 | 3,164 | 2,338 | 2,110 | 2,178 | 1,636 | 1,915 | 1,921 | 2,489 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 0 | 0 | 424 | 0 | 0 | 203 | 5 | 25 | 124 | 2,463 | 3 | 50 | 3,297 |
| Consumption/Use Taxes | 218 | 154 | 181 | 187 | 159 | 194 | 179 | 147 | 193 | 168 | 127 | 134 | 2,041 |
| Business Taxes | 70 | 111 | 242 | 52 | 81 | 202 | 37 | 56 | 211 | 82 | 39 | 402 | 1,585 |
| Other Taxes | 129 | 96 | 80 | 98 | 85 | 82 | 102 | 86 | 110 | 144 | 148 | 110 | 1,270 |
| Total Taxes | 417 | 361 | 927 | 337 | 325 | 681 | 323 | 314 | 638 | 2,857 | 317 | 696 | 8,193 |
| HCPA | 333 | 376 | 359 | 416 | 352 | 371 | 386 | 357 | 399 | 398 | 385 | 367 | 4,499 |
| State University Income | 265 | 255 | 259 | 283 | 394 | 637 | 414 | 233 | 249 | 493 | 528 | 393 | 4,403 |
| Lottery | 312 | 254 | 243 | 305 | 245 | 244 | 305 | 262 | 277 | 253 | 263 | 252 | 3,215 |
| Medicaid | 72 | 21 | 20 | 74 | 107 | 110 | 65 | 69 | 61 | 71 | 64 | 58 | 792 |
| Motor Vehicle Fees | 31 | 32 | 46 | 34 | 30 | 47 | 24 | 32 | 36 | 29 | 32 | 32 | 401 |
| Other Transactions | 134 | 288 | 323 | 190 | 159 | 287 | 273 | 216 | 344 | 231 | 147 | 413 | 3,071 |
| Total Miscellaneous Receipts | 1,147 | 1,226 | 1,250 | 1,302 | 1,287 | 1,696 | 1,475 | 1,165 | 1,366 | 1,475 | 1,477 | 1,515 | 16,381 |
| Federal Receipts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL RECEIPTS | 1,564 | 1,587 | 2,177 | 1,639 | 1,612 | 2,377 | 1,798 | 1,479 | 2,004 | 4,332 | 1,794 | 2,211 | 24,574 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 0 | 0 | 311 | 0 | 0 | 2,049 | 143 | 143 | 143 | 143 | 142 | 142 | 3,216 |
| Higher Education | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 |
| All Other Education | 0 | 0 | 2 | 0 | 0 | 5 | 0 | 1 | 3 | 0 | 0 | 0 | 11 |
| STAR | 0 | 0 | 424 | 0 | 0 | 203 | 5 | 25 | 124 | 2,460 | 3 | 53 | 3,297 |
| Medicaid - DOH | 273 | 526 | 281 | 513 | 414 | 388 | 581 | 335 | 548 | 475 | 306 | 474 | 5,114 |
| Public Health | 28 | 78 | 36 | 168 | 123 | 40 | 99 | 75 | 53 | 37 | 41 | 199 | 977 |
| Mental Hygiene | 48 | 53 | 191 | 102 | 42 | 168 | 154 | 33 | 290 | 52 | 86 | 297 | 1,516 |
| Children and Families | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 3 |
| Temporary & Disability Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transportation | 155 | 542 | 338 | 352 | 454 | 403 | 334 | 568 | 840 | 211 | 276 | 263 | 4,736 |
| Unrestricted Aid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Other | 17 | 21 | 23 | 236 | 61 | 32 | 21 | 25 | 27 | 24 | 2 | 69 | 558 |
| Total Local Assistance Grants | 521 | 1,221 | 1,606 | 1,371 | 1,094 | 3,288 | 1,338 | 1,204 | 2,028 | 3,402 | 857 | 1,530 | 19,460 |
| Personal Service | 557 | 607 | 506 | 670 | 507 | 520 | 602 | 515 | 712 | 507 | 517 | 524 | 6,744 |
| Non-Personal Service | 271 | 247 | 330 | 252 | 285 | 314 | 349 | 269 | 303 | 307 | 306 | 477 | 3,710 |
| Total Departmental Operations | 828 | 854 | 836 | 922 | 792 | 834 | 951 | 784 | 1,015 | 814 | 823 | 1,001 | 10,454 |
| General State Charges | 176 | 188 | 112 | 32 | 401 | 38 | 70 | 345 | 107 | 145 | 355 | 65 | 2,034 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| TOTAL DISBURSEMENTS | 1,525 | 2,263 | 2,554 | 2,325 | 2,287 | 4,160 | 2,359 | 2,333 | 3,150 | 4,361 | 2,035 | 2,597 | 31,949 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 594 | 688 | 782 | 393 | 713 | 899 | 346 | 818 | 644 | 332 | 319 | 1,239 | 7,767 |
| Transfers to Other Funds | (115) | (46) | (82) | (13) | 4 | (59) | (22) | 12 | (68) | (99) | (87) | (356) | (871) |
| NET OTHER FINANCING SOURCES/(USES) | 479 | 642 | 700 | 380 | 717 | 840 | 324 | 830 | 576 | 293 | 232 | 883 | 6,896 |
| Excess/(Deficiency) of Receipts over Disbursements | 518 | (34) | 323 | (306) | 42 | (943) | (237) | (24) | (570) | 264 | (9) | 497 | (479) |
| CLOSING BALANCE | 3,007 | 2,983 | 3,361 | 3,080 | 3,152 | 2,221 | 2,101 | 2,086 | 1,608 | 1,900 | 1,906 | 2,418 | 2,010 |
| Intra-Fund Transfers Adjustment | 10 | 55 | 25 | 30 | 12 | 117 | 9 | 92 | 28 | 15 | 15 | 54 | 462 |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | 3,017 | 3,038 | 3,386 | 3,110 | 3,164 | 2,338 | 2,110 | 2,178 | 1,636 | 1,915 | 1,921 | 2,472 | 2,472 |

**CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2015**
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|---------|
| OPENING BALANCE | (125) | (844) | (330) | (200) | (182) | (476) | (190) | (149) | (1,013) | (478) | (420) | (385) | (125) |
| RECEIPTS: | | | | | | | | | | | | | |
| Miscellaneous Receipts | 12 | 40 | 10 | 15 | 18 | 13 | 9 | 16 | 11 | 9 | 12 | 11 | 176 |
| Federal Receipts | 2,866 | 3,839 | 3,922 | 3,223 | 4,034 | 3,882 | 3,613 | 3,315 | 4,671 | 3,666 | 3,911 | 5,589 | 46,531 |
| TOTAL RECEIPTS | 2,878 | 3,879 | 3,932 | 3,238 | 4,052 | 3,895 | 3,622 | 3,331 | 4,682 | 3,675 | 3,923 | 5,600 | 46,707 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 291 | 284 | 238 | 133 | 112 | 56 | 111 | 115 | 136 | 183 | 250 | 144 | 2,053 |
| Higher Education | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Other Education | 155 | 167 | 107 | 93 | 52 | 52 | 77 | 81 | 89 | 71 | 81 | 121 | 1,146 |
| STAR | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Medicaid - DOH | 2,251 | 2,125 | 2,582 | 2,196 | 2,571 | 2,165 | 2,533 | 2,481 | 2,819 | 2,547 | 2,453 | 3,123 | 29,846 |
| Public Health | 125 | 119 | 118 | 110 | 223 | 110 | 112 | 116 | 109 | 94 | 89 | 237 | 1,562 |
| Mental Hygiene | 23 | 8 | 7 | 19 | 9 | 10 | 15 | 14 | 14 | 11 | 15 | 0 | 145 |
| Children and Families | 159 | 6 | 34 | 87 | 31 | 297 | 29 | 32 | 68 | 13 | 104 | 217 | 1,077 |
| Temporary & Disability Assistance | 186 | 241 | 418 | 249 | 272 | 467 | 182 | 201 | 340 | 216 | 167 | 525 | 3,464 |
| Transportation | 1 | 3 | 5 | 3 | 3 | 3 | 5 | 0 | 5 | 3 | 5 | 9 | 45 |
| Unrestricted Aid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Other | 73 | 119 | 41 | 63 | 596 | (59) | 49 | 893 | 180 | 0 | 69 | 0 | 2,292 |
| Total Local Assistance Grants | 3,264 | 3,072 | 3,550 | 2,953 | 3,869 | 3,101 | 3,113 | 3,933 | 3,760 | 3,249 | 3,233 | 4,533 | 41,630 |
| Personal Service | 49 | 44 | 50 | 66 | 51 | 49 | 48 | 42 | 69 | 45 | 42 | 58 | 613 |
| Non-Personal Service | 89 | 64 | 54 | 96 | 105 | 190 | 128 | 77 | 120 | 98 | 165 | 184 | 1,370 |
| Total Departmental Operations | 138 | 108 | 104 | 162 | 156 | 239 | 176 | 119 | 189 | 143 | 207 | 242 | 1,983 |
| General State Charges | 11 | 7 | 50 | 3 | 42 | 12 | 33 | 6 | 46 | 27 | 58 | 9 | 304 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL DISBURSEMENTS | 3,413 | 3,187 | 3,704 | 3,118 | 4,067 | 3,352 | 3,322 | 4,058 | 3,995 | 3,419 | 3,498 | 4,784 | 43,917 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transfers to Other Funds | (174) | (123) | (73) | (72) | (267) | (140) | (250) | (45) | (124) | (183) | (375) | (188) | (2,014) |
| NET OTHER FINANCING SOURCES/(USES) | (174) | (123) | (73) | (72) | (267) | (140) | (250) | (45) | (124) | (183) | (375) | (188) | (2,014) |
| Excess/(Deficiency) of Receipts over Disbursements | (709) | 569 | 155 | 48 | (282) | 403 | 50 | (772) | 563 | 73 | 50 | 628 | 776 |
| CLOSING BALANCE | (834) | (275) | (175) | (152) | (464) | (73) | (140) | (921) | (450) | (405) | (370) | 243 | 651 |
| Intra-Fund Transfers Adjustment | (10) | (55) | (25) | (30) | (12) | (117) | (9) | (92) | (28) | (15) | (15) | (54) | (462) |
| Closing Balance with Intra-Fund Transfers | (844) | (330) | (200) | (182) | (476) | (190) | (149) | (1,013) | (478) | (420) | (385) | 189 | 189 |

**CASHFLOW
DEBT SERVICE FUNDS
FY 2015**
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|----------|
| OPENING BALANCE | 65 | 487 | 508 | 223 | 708 | 1,069 | 137 | 684 | 827 | 373 | 1,822 | 2,101 | 65 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 1,338 | 525 | 1,061 | 641 | 598 | 1,058 | 622 | 459 | 1,241 | 1,819 | 852 | 713 | 10,927 |
| Consumption/Use Taxes | 432 | 456 | 602 | 469 | 466 | 620 | 466 | 472 | 612 | 485 | 401 | 572 | 6,053 |
| Other Taxes | 74 | 72 | 79 | 70 | 84 | 74 | 81 | 78 | 80 | 82 | 80 | 65 | 919 |
| Total Taxes | 1,844 | 1,053 | 1,742 | 1,180 | 1,148 | 1,752 | 1,169 | 1,009 | 1,933 | 2,386 | 1,333 | 1,350 | 17,899 |
| Miscellaneous Receipts | 46 | 38 | 35 | 46 | 87 | 33 | 31 | 17 | 44 | 35 | 63 | 35 | 510 |
| Federal Receipts | 0 | 0 | 0 | 2 | 35 | 0 | 0 | 0 | 0 | 0 | 35 | 1 | 73 |
| TOTAL RECEIPTS | 1,890 | 1,091 | 1,777 | 1,228 | 1,270 | 1,785 | 1,200 | 1,026 | 1,977 | 2,421 | 1,431 | 1,386 | 18,482 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| Departmental Operations | 1 | 1 | 2 | 9 | 3 | 4 | 1 | 1 | 1 | 1 | 2 | 13 | 39 |
| Debt Service | 173 | 217 | 291 | 78 | 397 | 752 | 145 | 93 | 622 | 77 | 552 | 2,786 | 6,183 |
| TOTAL DISBURSEMENTS | 174 | 218 | 293 | 87 | 400 | 756 | 146 | 94 | 623 | 78 | 554 | 2,799 | 6,222 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 653 | (10) | 151 | 381 | 410 | 186 | 533 | 63 | 201 | 655 | 385 | 1,073 | 4,681 |
| Transfers to Other Funds | (1,947) | (842) | (1,920) | (1,037) | (919) | (2,147) | (1,040) | (852) | (2,009) | (1,549) | (983) | (1,643) | (16,888) |
| NET OTHER FINANCING SOURCES/(USES) | (1,294) | (852) | (1,769) | (656) | (509) | (1,961) | (507) | (789) | (1,808) | (894) | (598) | (570) | (12,207) |
| Excess/(Deficiency) of Receipts over Disbursements | 422 | 21 | (285) | 485 | 361 | (932) | 547 | 143 | (454) | 1,449 | 279 | (1,983) | 53 |
| CLOSING BALANCE | 487 | 508 | 223 | 708 | 1,069 | 137 | 684 | 827 | 373 | 1,822 | 2,101 | 118 | 118 |

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2015**
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|---------|
| OPENING BALANCE | (629) | (617) | (650) | (801) | (786) | (979) | (1,124) | (1,235) | (1,008) | (1,087) | (1,200) | (1,284) | (629) |
| RECEIPTS: | | | | | | | | | | | | | |
| Consumption/Use Taxes | 48 | 47 | 57 | 46 | 50 | 69 | 46 | 39 | 63 | 45 | 43 | 47 | 600 |
| Business Taxes | 55 | 51 | 63 | 53 | 63 | 60 | 53 | 47 | 52 | 56 | 51 | 50 | 654 |
| Other Taxes | 0 | 0 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 11 | 119 |
| Total Taxes | 103 | 98 | 132 | 111 | 125 | 141 | 111 | 98 | 127 | 113 | 106 | 108 | 1,373 |
| Miscellaneous Receipts | 203 | 137 | 227 | 636 | 79 | 220 | 102 | 416 | 158 | 78 | 90 | 1,615 | 3,961 |
| Federal Receipts | 112 | 128 | 110 | 223 | 152 | 207 | 172 | 170 | 198 | 122 | 286 | 150 | 2,030 |
| TOTAL RECEIPTS | 418 | 363 | 469 | 970 | 356 | 568 | 385 | 684 | 483 | 313 | 482 | 1,873 | 7,364 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| All Other Education | 0 | 0 | 0 | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 14 |
| Public Health | 0 | 0 | 0 | 0 | 0 | 26 | 0 | 0 | 0 | 0 | 0 | 42 | 68 |
| Mental Hygiene | 5 | 2 | 7 | 7 | 6 | 3 | 7 | 9 | 4 | 6 | 5 | 12 | 73 |
| Temporary & Disability Assistance | 0 | 6 | 0 | 8 | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 4 | 33 |
| Transportation | 55 | 32 | 104 | 32 | 29 | 147 | 53 | 32 | 300 | 69 | 24 | 158 | 1,035 |
| All Other Local | 7 | 6 | 32 | 53 | 12 | 18 | 44 | 31 | 197 | 23 | 233 | 163 | 819 |
| Total Local Assistance Grants | 67 | 46 | 143 | 113 | 47 | 194 | 113 | 72 | 509 | 98 | 261 | 380 | 2,043 |
| Economic Development | 27 | 12 | 15 | 25 | (5) | 6 | 2 | 6 | 3 | 3 | 1 | 1 | 96 |
| Parks & the Environment | 15 | 25 | 27 | 26 | 33 | 28 | 32 | 28 | 28 | 30 | 26 | 35 | 333 |
| Transportation | 195 | 218 | 371 | 310 | 281 | 356 | 292 | 282 | 356 | 241 | 280 | 207 | 3,389 |
| Health & Social Welfare | 1 | 1 | 2 | 3 | 1 | 4 | 4 | 13 | 5 | 2 | 9 | 25 | 70 |
| Mental Hygiene | 6 | 16 | 12 | 20 | 9 | 14 | 18 | 9 | 8 | 14 | 10 | 15 | 151 |
| Public Protection | 16 | 18 | 22 | 20 | 22 | 23 | 23 | 21 | 24 | 9 | 24 | 76 | 299 |
| Education | 21 | 40 | 54 | 77 | 117 | 83 | 89 | 92 | 91 | 66 | 85 | 136 | 951 |
| All Other | 15 | 9 | 20 | (8) | 34 | 23 | 17 | 22 | 21 | 16 | 18 | 29 | 216 |
| Total Capital Projects | 296 | 339 | 523 | 473 | 492 | 538 | 477 | 473 | 536 | 381 | 453 | 524 | 5,505 |
| TOTAL DISBURSEMENTS | 363 | 385 | 666 | 586 | 539 | 732 | 590 | 545 | 1,045 | 479 | 714 | 904 | 7,548 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 35 | 73 | 126 | (287) | 149 | 199 | 177 | 167 | 561 | 136 | 180 | (97) | 1,419 |
| Transfers to Other Funds | (78) | (84) | (80) | (82) | (159) | (180) | (83) | (79) | (78) | (83) | (32) | (474) | (1,492) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 162 | 162 |
| NET OTHER FINANCING SOURCES/(USES) | (43) | (11) | 46 | (369) | (10) | 19 | 94 | 88 | 483 | 53 | 148 | (409) | 89 |
| Excess/(Deficiency) of Receipts over Disbursements | 12 | (33) | (151) | 15 | (193) | (145) | (111) | 227 | (79) | (113) | (84) | 560 | (95) |
| CLOSING BALANCE | (617) | (650) | (801) | (786) | (979) | (1,124) | (1,235) | (1,008) | (1,087) | (1,200) | (1,284) | (1,284) | (724) |

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2015
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|---------|
| OPENING BALANCE | (445) | (428) | (375) | (449) | (514) | (709) | (884) | (996) | (791) | (921) | (1,015) | (913) | (445) |
| RECEIPTS: | | | | | | | | | | | | | |
| Consumption/Use Taxes | 48 | 47 | 57 | 46 | 50 | 69 | 46 | 39 | 63 | 45 | 43 | 48 | 601 |
| Business Taxes | 55 | 51 | 63 | 53 | 63 | 60 | 53 | 47 | 52 | 56 | 51 | 49 | 653 |
| Other Taxes | 0 | 0 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 11 | 119 |
| Total Taxes | 103 | 98 | 132 | 111 | 125 | 141 | 111 | 98 | 127 | 113 | 106 | 108 | 1,373 |
| Miscellaneous Receipts | 203 | 137 | 227 | 636 | 79 | 220 | 102 | 416 | 158 | 78 | 90 | 1,614 | 3,960 |
| Federal Receipts | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 2 | 5 |
| TOTAL RECEIPTS | 306 | 235 | 359 | 747 | 204 | 363 | 213 | 514 | 285 | 191 | 197 | 1,724 | 5,338 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| All Other Education | 0 | 0 | 0 | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 14 |
| Public Health | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mental Hygiene | 5 | 2 | 7 | 6 | 6 | 3 | 7 | 9 | 4 | 6 | 5 | 12 | 73 |
| Temporary & Disability Assistance | 0 | 6 | 0 | 8 | 0 | 0 | 8 | 0 | 8 | 0 | 0 | 4 | 33 |
| Transportation | 4 | 1 | 38 | 3 | 4 | 103 | 7 | 6 | 237 | 12 | 6 | 105 | 526 |
| All Other Local | 7 | 6 | 32 | 53 | 12 | 18 | 44 | 31 | 197 | 23 | 83 | 159 | 665 |
| Total Local Assistance Grants | 16 | 15 | 77 | 84 | 22 | 124 | 67 | 46 | 446 | 41 | 93 | 281 | 1,312 |
| Economic Development | 27 | 12 | 15 | 25 | (5) | 6 | 2 | 6 | 3 | 3 | 1 | 1 | 96 |
| Parks & the Environment | 15 | 25 | 27 | 26 | 33 | 27 | 26 | 27 | 28 | 29 | 26 | 16 | 305 |
| Transportation | 134 | 140 | 259 | 200 | 163 | 257 | 176 | 164 | 276 | 162 | 242 | 183 | 2,356 |
| Health & Social Welfare | 1 | 1 | 2 | 3 | 1 | 4 | 4 | 13 | 5 | 2 | 9 | 25 | 70 |
| Mental Hygiene | 6 | 16 | 12 | 20 | 9 | 14 | 18 | 9 | 8 | 14 | 10 | 15 | 151 |
| Public Protection | 15 | 17 | 20 | 20 | 21 | 22 | 22 | 20 | 22 | 8 | 23 | 74 | 284 |
| Education | 21 | 40 | 54 | 77 | 117 | 83 | 89 | 92 | 91 | 66 | 85 | 136 | 951 |
| All Other | 11 | 9 | 18 | (12) | 33 | 20 | 17 | 20 | 19 | 15 | 18 | 29 | 197 |
| Total Capital Projects | 230 | 260 | 407 | 359 | 372 | 433 | 354 | 351 | 452 | 299 | 414 | 479 | 4,410 |
| TOTAL DISBURSEMENTS | 246 | 275 | 484 | 443 | 394 | 557 | 421 | 397 | 898 | 340 | 507 | 760 | 5,722 |
| OTHER FINANCING SOURCES (Uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 35 | 73 | 126 | (287) | 149 | 199 | 177 | 167 | 561 | 136 | 180 | (97) | 1,419 |
| Transfers to Other Funds | (78) | (79) | (80) | (82) | (154) | (180) | (81) | (79) | (78) | (81) | (32) | (473) | (1,477) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 162 | 162 |
| NET OTHER FINANCING SOURCES/(USES) | (43) | (6) | 46 | (369) | (5) | 19 | 96 | 88 | 483 | 55 | 148 | (408) | 104 |
| Excess/(Deficiency) of Receipts over Disbursements | 17 | (46) | (79) | (65) | (195) | (175) | (112) | 205 | (130) | (94) | (162) | 556 | (280) |
| CLOSING BALANCE | (428) | (474) | (454) | (514) | (709) | (884) | (996) | (791) | (921) | (1,015) | (1,177) | (357) | (725) |
| Intra-Fund Transfers Adjustment | 0 | 99 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 264 | 15 | 383 |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | (428) | (375) | (449) | (514) | (709) | (884) | (996) | (791) | (921) | (1,015) | (913) | (342) | (342) |

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2015
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|-------|
| OPENING BALANCE | (184) | (189) | (275) | (352) | (272) | (270) | (240) | (239) | (217) | (166) | (185) | (371) | (184) |
| RECEIPTS: | | | | | | | | | | | | | |
| Consumption/Use Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Business Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Miscellaneous Receipts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| Federal Receipts | 112 | 128 | 110 | 223 | 152 | 205 | 172 | 170 | 198 | 122 | 285 | 148 | 2,025 |
| TOTAL RECEIPTS | 112 | 128 | 110 | 223 | 152 | 205 | 172 | 170 | 198 | 122 | 285 | 149 | 2,026 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| Public Health | 0 | 0 | 0 | 0 | 0 | 26 | 0 | 0 | 0 | 0 | 0 | 42 | 68 |
| Transportation | 51 | 31 | 66 | 29 | 25 | 44 | 46 | 26 | 63 | 57 | 18 | 53 | 509 |
| All Other Local | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 150 | 4 | 154 |
| Total Local Assistance Grants | 51 | 31 | 66 | 29 | 25 | 70 | 46 | 26 | 63 | 57 | 168 | 99 | 731 |
| Economic Development | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Parks & the Environment | 0 | 0 | 0 | 0 | 0 | 1 | 6 | 1 | 0 | 0 | 0 | 19 | 28 |
| Transportation | 61 | 78 | 112 | 110 | 118 | 99 | 116 | 118 | 80 | 79 | 38 | 23 | 1,032 |
| Health & Social Welfare | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Mental Hygiene | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Public Protection | 1 | 1 | 2 | 0 | 1 | 2 | 1 | 1 | 2 | 1 | 1 | 2 | 15 |
| Education | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Other | 4 | 0 | 2 | 4 | 1 | 3 | 0 | 2 | 2 | 1 | 0 | 1 | 20 |
| Total Capital Projects | 66 | 79 | 116 | 114 | 120 | 105 | 123 | 122 | 84 | 82 | 39 | 45 | 1,095 |
| TOTAL DISBURSEMENTS | 117 | 110 | 182 | 143 | 145 | 175 | 169 | 148 | 147 | 139 | 207 | 144 | 1,826 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transfers to Other Funds | 0 | (5) | 0 | 0 | (5) | 0 | (2) | 0 | 0 | (2) | 0 | (1) | (15) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NET OTHER FINANCING SOURCES/(USES) | 0 | (5) | 0 | 0 | (5) | 0 | (2) | 0 | 0 | (2) | 0 | (1) | (15) |
| Excess/(Deficiency) of Receipts over Disbursements | (5) | 13 | (72) | 80 | 2 | 30 | 1 | 22 | 51 | (19) | 78 | 4 | 185 |
| CLOSING BALANCE | (189) | (176) | (347) | (272) | (270) | (240) | (239) | (217) | (166) | (185) | (107) | (367) | 1 |
| Intra-Fund Transfers Adjustment | 0 | (99) | (5) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (264) | (15) | (383) |
| Closing Balance with Intra-Fund Transfers | (189) | (275) | (352) | (272) | (270) | (240) | (239) | (217) | (166) | (185) | (371) | (382) | (382) |

**CASHFLOW
STATE FUNDS
FY 2015**
(dollars in millions)

| | 2014 April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | 2015 January Results | February Results | March Results | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|----------|
| OPENING BALANCE | 4,344 | 8,609 | 7,719 | 8,291 | 10,302 | 10,413 | 9,644 | 9,513 | 8,773 | 9,342 | 13,085 | 14,327 | 4,344 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 5,353 | 2,101 | 4,244 | 2,565 | 2,391 | 4,230 | 2,488 | 1,836 | 4,964 | 7,274 | 3,410 | 2,853 | 43,709 |
| Consumption/Use Taxes | 1,204 | 1,194 | 1,496 | 1,229 | 1,195 | 1,557 | 1,207 | 1,183 | 1,529 | 1,238 | 1,007 | 1,377 | 15,386 |
| Business Taxes | 273 | 515 | 1,548 | 273 | 57 | 1,202 | 177 | 105 | 1,179 | 295 | 748 | 2,772 | 8,503 |
| Other Taxes | 288 | 289 | 241 | 278 | 273 | 275 | 301 | 267 | 300 | 364 | 290 | 270 | 3,436 |
| Total Taxes | 7,118 | 4,069 | 7,529 | 4,304 | 3,916 | 7,264 | 4,173 | 3,391 | 7,972 | 9,171 | 4,855 | 7,272 | 71,034 |
| Abandoned Property | 1 | 0 | 0 | 0 | 0 | 0 | 94 | 135 | 20 | 49 | 45 | 308 | 652 |
| ABC License Fee | 7 | 5 | 5 | 6 | 4 | 5 | 6 | 5 | 5 | 5 | 5 | 3 | 61 |
| HCRA | 333 | 376 | 359 | 416 | 352 | 371 | 386 | 357 | 399 | 398 | 385 | 367 | 4,499 |
| Investment Income | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Licenses, Fees, etc. | 35 | 57 | 70 | 22 | 54 | 78 | 44 | 43 | 75 | 26 | 65 | 3 | 588 |
| Lottery | 312 | 254 | 243 | 305 | 245 | 244 | 305 | 262 | 277 | 253 | 263 | 252 | 3,215 |
| Medicaid | 72 | 21 | 20 | 74 | 107 | 110 | 61 | 69 | 61 | 71 | 64 | 58 | 792 |
| Motor Vehicle Fees | 68 | 53 | 52 | 54 | 36 | 53 | 56 | 27 | 57 | 38 | 37 | 61 | 592 |
| Reimbursements | 7 | 12 | 45 | 0 | 22 | 48 | 3 | 16 | 27 | 12 | 22 | 52 | 266 |
| State University Income | 265 | 255 | 259 | 283 | 394 | 414 | 414 | 233 | 249 | 493 | 528 | 393 | 4,403 |
| Other Transactions | 471 | 2,185 | 615 | 3,143 | 429 | 1,076 | 746 | 1,013 | 795 | 390 | 387 | 2,939 | 14,189 |
| Total Miscellaneous Receipts | 1,571 | 3,218 | 1,668 | 4,304 | 1,643 | 2,622 | 2,119 | 2,160 | 1,965 | 1,735 | 1,801 | 4,455 | 29,261 |
| Federal Receipts | 1 | 0 | 0 | 2 | 35 | 2 | 0 | 0 | 0 | 1 | 36 | 3 | 80 |
| TOTAL RECEIPTS | 8,690 | 7,287 | 9,197 | 8,610 | 5,594 | 9,888 | 6,292 | 5,551 | 9,937 | 10,907 | 6,692 | 11,730 | 100,375 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 282 | 2,679 | 2,145 | 82 | 597 | 3,760 | 914 | 1,532 | 1,750 | 521 | 607 | 6,762 | 21,631 |
| Higher Education | 13 | 14 | 464 | 599 | 139 | 53 | 459 | 28 | 222 | 30 | 745 | 327 | 3,093 |
| All Other Education | 20 | 326 | 17 | 225 | 135 | 483 | 64 | 30 | 167 | 35 | 101 | 492 | 2,095 |
| STAR | 0 | 0 | 424 | 0 | 203 | 203 | 5 | 25 | 124 | 2,460 | 3 | 53 | 3,297 |
| Medicaid - DOH | 1,373 | 1,583 | 1,178 | 1,655 | 1,184 | 1,220 | 1,594 | 1,548 | 1,247 | 1,394 | 1,316 | 1,499 | 16,791 |
| Public Health | 29 | 153 | 153 | 232 | 201 | 82 | 140 | 107 | 132 | 84 | 134 | 272 | 1,719 |
| Mental Hygiene | 58 | 57 | 493 | 110 | 50 | 428 | 163 | 40 | 785 | 70 | 300 | 441 | 2,995 |
| Children and Families | 27 | 97 | 75 | 157 | 60 | 151 | 168 | 70 | 248 | 108 | 126 | 314 | 1,601 |
| Temporary & Disability Assistance | 98 | 111 | 158 | 112 | 93 | 96 | 106 | 98 | 101 | 95 | 89 | 112 | 1,269 |
| Transportation | 159 | 567 | 376 | 355 | 483 | 506 | 341 | 597 | 1,090 | 225 | 292 | 369 | 5,360 |
| Unrestricted Aid | 0 | 11 | 390 | 2 | 0 | 102 | 8 | 5 | 182 | 1 | 0 | 64 | 765 |
| All Other | 46 | 14 | 252 | 323 | 95 | 43 | 87 | 85 | 274 | 177 | 154 | 198 | 1,748 |
| Total Local Assistance Grants | 2,105 | 5,612 | 6,125 | 3,852 | 3,037 | 7,127 | 4,049 | 4,165 | 6,322 | 5,200 | 3,449 | 11,321 | 62,364 |
| Personal Service | 1,004 | 1,136 | 953 | 1,272 | 958 | 968 | 1,128 | 968 | 1,303 | 952 | 967 | 941 | 12,550 |
| Non-Personal Service | 355 | 395 | 478 | 394 | 439 | 466 | 519 | 391 | 437 | 441 | 459 | 833 | 5,607 |
| Total Departmental Operations | 1,359 | 1,531 | 1,431 | 1,666 | 1,397 | 1,434 | 1,647 | 1,359 | 1,740 | 1,393 | 1,426 | 1,774 | 18,157 |
| General State Charges | 680 | 837 | 469 | 735 | 547 | 1,164 | 478 | 485 | 413 | 396 | 259 | 570 | 7,033 |
| Debt Service | 173 | 217 | 291 | 78 | 397 | 752 | 145 | 93 | 622 | 77 | 552 | 2,786 | 6,183 |
| Capital Projects | 230 | 260 | 407 | 359 | 372 | 433 | 354 | 351 | 452 | 299 | 414 | 480 | 4,411 |
| TOTAL DISBURSEMENTS | 4,547 | 8,457 | 8,723 | 6,690 | 5,750 | 10,910 | 6,673 | 6,453 | 9,549 | 7,365 | 6,100 | 16,931 | 98,148 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from other funds | 3,187 | 1,552 | 2,991 | 1,411 | 2,037 | 3,285 | 2,016 | 1,792 | 3,316 | 2,601 | 1,705 | 3,914 | 29,807 |
| Transfers to other funds | (3,075) | (1,426) | (2,923) | (1,350) | (1,782) | (3,149) | (1,775) | (1,722) | (3,163) | (2,415) | (1,334) | (3,723) | (27,837) |
| Bond and note proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 162 | 162 |
| NET OTHER FINANCING SOURCES/(USES) | 112 | 126 | 68 | 61 | 255 | 136 | 241 | 70 | 153 | 186 | 371 | 353 | 2,132 |
| Excess/(Deficiency) of Receipts over Disbursements | 4,255 | (1,044) | 542 | 1,981 | (886) | (886) | (140) | (832) | 541 | 3,728 | 963 | (4,848) | 4,359 |
| CLOSING BALANCE | 8,599 | 7,565 | 8,261 | 10,272 | 10,401 | 9,527 | 9,504 | 8,681 | 9,314 | 13,070 | 14,048 | 9,479 | 8,703 |
| Intra-Fund Transfers Adjustment | 10 | 154 | 30 | 30 | 12 | 117 | 9 | 92 | 28 | 15 | 279 | 69 | 845 |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | 8,609 | 7,719 | 8,291 | 10,302 | 10,413 | 9,644 | 9,513 | 8,773 | 9,342 | 13,085 | 14,327 | 9,548 | 9,548 |

**CASHFLOW
GENERAL FUND
FY 2016**
(dollars in millions)

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|---------|
| OPENING BALANCE | 7,300 | 10,377 | 8,547 | 9,180 | 7,462 | 7,915 | 9,407 | 7,811 | 5,115 | 7,254 | 8,501 | 8,486 | 7,300 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 5,044 | 1,604 | 2,904 | 1,976 | 1,879 | 3,565 | 1,603 | 845 | 3,720 | 3,266 | 2,787 | 2,731 | 31,924 |
| Consumption/Use Taxes | 526 | 520 | 661 | 545 | 533 | 700 | 542 | 544 | 676 | 564 | 448 | 631 | 6,890 |
| Business Taxes | 208 | 6 | 987 | 117 | 84 | 1,027 | 116 | 86 | 954 | 136 | 94 | 2,082 | 5,897 |
| Other Taxes | 152 | 106 | 82 | 82 | 82 | 82 | 86 | 86 | 86 | 76 | 75 | 75 | 1,069 |
| Total Taxes | 5,930 | 2,236 | 4,634 | 2,719 | 2,578 | 5,374 | 2,347 | 1,561 | 5,436 | 4,042 | 3,404 | 5,519 | 45,780 |
| Abandoned Property | 0 | 0 | 0 | 0 | 5 | 55 | 15 | 135 | 20 | 35 | 85 | 305 | 655 |
| ABC License Fee | 6 | 6 | 5 | 6 | 6 | 6 | 6 | 5 | 5 | 4 | 5 | 5 | 65 |
| Investment Income | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 4 |
| Licenses, Fees, etc. | 42 | 55 | 65 | 35 | 55 | 75 | 40 | 45 | 75 | 35 | 60 | 43 | 625 |
| Motor Vehicle Fees | 36 | 21 | 6 | 6 | 6 | 24 | 24 | 0 | 21 | 9 | 9 | 14 | 170 |
| Reimbursements | 4 | 14 | 45 | 5 | 20 | 45 | 36 | 15 | 45 | 35 | 15 | 46 | 170 |
| Other Transactions | 89 | 1,910 | 18 | 38 | 16 | 152 | 5 | 39 | 39 | 35 | 16 | 188 | 2,577 |
| Total Miscellaneous Receipts | 177 | 2,006 | 140 | 104 | 108 | 340 | 126 | 239 | 205 | 128 | 197 | 595 | 4,365 |
| Federal Receipts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| PIT in Excess of Revenue Bond Debt Service | 1,682 | 493 | 1,112 | 503 | 245 | 1,423 | 502 | 109 | 1,288 | 874 | 557 | 1,427 | 10,215 |
| Tax in Excess of LGAC | 234 | 67 | 475 | 244 | 198 | 322 | 247 | 249 | 313 | 255 | 3 | 160 | 2,767 |
| Sales Tax Bond Fund | 220 | 222 | 290 | 227 | 227 | 317 | 226 | 227 | 292 | 233 | 187 | 298 | 2,966 |
| Real Estate Taxes in Excess of CW/CA Debt Service | 80 | 70 | 70 | 71 | 78 | 76 | 79 | 75 | 79 | 90 | 74 | 52 | 894 |
| All Other | 15 | 295 | 8 | 1 | 1 | 147 | 6 | 6 | 48 | 36 | 207 | 53 | 1,258 |
| Total Transfers from Other Funds | 2,231 | 1,147 | 1,955 | 1,046 | 749 | 2,285 | 1,060 | 661 | 2,020 | 1,488 | 1,028 | 2,470 | 18,140 |
| TOTAL RECEIPTS | 8,338 | 5,389 | 6,729 | 3,869 | 3,435 | 7,999 | 3,533 | 2,461 | 7,661 | 5,658 | 4,629 | 8,584 | 68,285 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 535 | 2,755 | 2,030 | 198 | 666 | 1,753 | 1,123 | 1,670 | 1,733 | 468 | 584 | 6,557 | 20,072 |
| Higher Education | 18 | 43 | 776 | 161 | 141 | 208 | 362 | 60 | 235 | 54 | 362 | 555 | 2,1975 |
| All Other Education | 18 | 526 | 23 | 120 | 81 | 450 | 119 | 43 | 201 | 36 | 48 | 521 | 2,186 |
| Medicaid - DOH | 1,231 | 1,122 | 155 | 1,150 | 1,108 | 1,017 | 1,177 | 1,156 | 689 | 1,085 | 991 | 669 | 12,239 |
| Public Health | 11 | 179 | 55 | 54 | 54 | 54 | 54 | 41 | 54 | 54 | 54 | 54 | 54 |
| Men's Hygiene | 3 | 3 | 289 | 1 | 1 | 277 | 31 | 1 | 319 | 12 | 69 | 210 | 1,184 |
| Children and Families | 39 | 123 | 258 | 75 | 75 | 278 | 75 | 75 | 278 | 102 | 100 | 276 | 1,754 |
| Temporary & Disability Assistance | 93 | 96 | 154 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 97 | 106 | 1,218 |
| Transportation | 0 | 25 | 0 | 0 | 25 | 0 | 0 | 25 | 22 | 0 | 15 | 1 | 113 |
| Unrestricted Aid | 1 | 13 | 391 | 2 | 2 | 104 | 9 | 2 | 188 | 2 | 3 | 68 | 785 |
| All Other | 3 | 21 | 144 | 41 | (2) | (2) | 48 | 110 | 113 | 209 | 190 | 188 | 1,106 |
| Total Local Assistance Grants | 1,952 | 4,894 | 5,038 | 1,898 | 2,287 | 4,228 | 2,981 | 3,278 | 3,947 | 2,112 | 2,510 | 9,231 | 44,356 |
| Personal Service | 554 | 451 | 456 | 580 | 454 | 454 | 522 | 454 | 608 | 496 | 492 | 558 | 6,079 |
| Non-Personal Service | 68 | 154 | 172 | 148 | 177 | 167 | 188 | 194 | 170 | 224 | 230 | 292 | 2,184 |
| Total Departmental Operations | 622 | 605 | 628 | 728 | 631 | 621 | 710 | 648 | 778 | 720 | 722 | 850 | 8,263 |
| General State Charges | 612 | 632 | 316 | 1,685 | (56) | 319 | 464 | 272 | 104 | 390 | 130 | 327 | 5,195 |
| Debt Service | 302 | 0 | (22) | 158 | (3) | (70) | 145 | 0 | (2) | 389 | (19) | 8 | 886 |
| Capital Projects | 92 | 115 | (36) | 383 | 206 | 419 | 216 | 171 | 412 | 316 | 941 | 2,804 | 5,896 |
| State Share Medicaid | 992 | 216 | 210 | 103 | 108 | 109 | 113 | 100 | 113 | 110 | 100 | 94 | 2,162 |
| Other Operations | 210 | 210 | 210 | 191 | 110 | 109 | 110 | 179 | 110 | 110 | 110 | (1) | 998 |
| Other Purposes | 498 | 547 | 167 | 440 | 509 | 181 | 501 | 509 | 110 | 294 | 261 | (16) | 4,283 |
| Total Transfers to Other Funds | 2,075 | 1,088 | 114 | 1,276 | 820 | 639 | 974 | 959 | 693 | 1,189 | 1,282 | 3,167 | 14,276 |
| TOTAL DISBURSEMENTS | 5,261 | 7,219 | 6,096 | 5,587 | 3,682 | 5,807 | 5,129 | 5,157 | 5,522 | 4,411 | 4,644 | 13,575 | 72,090 |
| Excess/(Deficiency) of Receipts over Disbursements | 3,077 | (1,830) | 633 | (1,718) | (247) | 2,192 | (1,596) | (2,696) | 2,139 | 1,247 | (15) | (4,991) | (3,805) |
| CLOSING BALANCE | 10,377 | 8,547 | 9,180 | 7,462 | 7,215 | 9,407 | 7,811 | 5,115 | 7,254 | 8,501 | 8,486 | 3,495 | 3,495 |

**CASHFLOW
STATE OPERATING FUNDS
FY 2016**
(dollars in millions)

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|----------|
| OPENING BALANCE | 9,890 | 14,281 | 13,245 | 13,468 | 12,576 | 12,518 | 12,980 | 11,832 | 9,423 | 10,338 | 13,517 | 13,677 | 9,890 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 6,730 | 2,139 | 4,447 | 2,635 | 2,506 | 5,003 | 2,148 | 1,171 | 5,152 | 7,776 | 3,715 | 3,653 | 47,070 |
| Consumption/Use Taxes | 1,700 | 1,456 | 1,456 | 1,179 | 1,179 | 1,542 | 1,716 | 1,391 | 1,490 | 1,745 | 997 | 2,982 | 15,242 |
| Business Taxes | 260 | 63 | 1,234 | 182 | 141 | 1,268 | 180 | 259 | 1,185 | 203 | 150 | 2,516 | 7,516 |
| Other Taxes | 362 | 277 | 248 | 263 | 257 | 251 | 271 | 259 | 288 | 324 | 315 | 260 | 3,381 |
| Total Taxes | 8,552 | 3,625 | 7,385 | 4,298 | 4,088 | 8,070 | 3,815 | 2,766 | 8,119 | 9,548 | 5,167 | 7,790 | 73,213 |
| Abandoned Property | 0 | 0 | 0 | 0 | 5 | 55 | 15 | 135 | 20 | 35 | 85 | 305 | 655 |
| ABC License Fee | 6 | 6 | 5 | 6 | 6 | 6 | 6 | 5 | 5 | 4 | 4 | 5 | 65 |
| HCRA | 37 | 381 | 383 | 387 | 375 | 380 | 390 | 370 | 387 | 402 | 441 | 381 | 4,594 |
| Investment Income | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 4 |
| Licenses, Fees, etc. | 42 | 55 | 65 | 35 | 55 | 75 | 40 | 45 | 75 | 35 | 60 | 43 | 625 |
| Lottery | 321 | 247 | 247 | 308 | 247 | 308 | 251 | 251 | 313 | 250 | 250 | 340 | 3,333 |
| Medicaid | 80 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 64 | 64 | 64 | 792 |
| Motor Vehicle Fees | 70 | 53 | 45 | 54 | 36 | 50 | 55 | 49 | 59 | 41 | 49 | 39 | 578 |
| Reimbursements | 4 | 14 | 45 | 5 | 20 | 45 | 5 | 15 | 46 | 10 | 15 | 46 | 269 |
| State University Income | 311 | 285 | 311 | 260 | 366 | 638 | 347 | 234 | 299 | 365 | 567 | 314 | 4,300 |
| Other Transactions | (519) | 2,703 | 299 | 322 | 278 | 296 | 274 | 274 | 272 | 93 | 64 | 4,799 | 4,799 |
| Total Miscellaneous Receipts | 632 | 3,809 | 1,468 | 1,442 | 1,453 | 2,086 | 1,470 | 1,421 | 1,540 | 1,299 | 1,602 | 1,792 | 20,014 |
| Federal Receipts | 0 | 0 | 0 | 0 | 2 | 35 | 0 | 0 | 0 | 0 | 2 | 35 | 74 |
| TOTAL RECEIPTS | 9,194 | 7,434 | 8,833 | 5,740 | 5,543 | 10,191 | 5,285 | 4,187 | 9,659 | 10,847 | 6,771 | 9,617 | 93,301 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 535 | 2,755 | 2,421 | 198 | 666 | 3,757 | 1,266 | 1,813 | 1,876 | 611 | 727 | 6,753 | 23,378 |
| Higher Education | 18 | 43 | 776 | 161 | 141 | 208 | 362 | 60 | 235 | 54 | 362 | 571 | 2,991 |
| All Other Education | 18 | 527 | 26 | 120 | 82 | 453 | 119 | 44 | 202 | 37 | 49 | 522 | 2,199 |
| STAR | 0 | 3 | 431 | 0 | 0 | 187 | 8 | 33 | 144 | 0 | 0 | 10 | 3,382 |
| Medicaid - DOH | 1,641 | 1,489 | 1,374 | 1,522 | 1,474 | 1,486 | 1,577 | 1,626 | 1,339 | 1,513 | 1,503 | 1,071 | 17,415 |
| Public Health | 35 | 238 | 120 | 222 | 205 | 85 | 104 | 74 | 151 | 155 | 99 | 173 | 1,661 |
| Mental Hygiene | 65 | 82 | 559 | 95 | 83 | 249 | 81 | 60 | 594 | 103 | 159 | 587 | 2,717 |
| Children and Families | 39 | 123 | 258 | 75 | 75 | 278 | 75 | 75 | 279 | 103 | 101 | 277 | 1,758 |
| Temporary & Disability Assistance | 93 | 96 | 154 | 96 | 96 | 96 | 96 | 96 | 96 | 96 | 97 | 106 | 1,218 |
| Transportation | 125 | 579 | 361 | 352 | 498 | 367 | 363 | 605 | 858 | 160 | 274 | 320 | 4,862 |
| Unrestricted Aid | 1 | 13 | 391 | 2 | 2 | 104 | 9 | 2 | 188 | 2 | 3 | 68 | 785 |
| All Other | 28 | 60 | 195 | 108 | 84 | 16 | 80 | 72 | 74 | 91 | 70 | 61 | 939 |
| Total Local Assistance Grants | 2,598 | 6,008 | 7,066 | 2,951 | 3,406 | 7,286 | 4,140 | 4,560 | 5,836 | 5,491 | 3,444 | 10,519 | 63,305 |
| Personal Service | 1,187 | 981 | 952 | 1,260 | 972 | 984 | 1,138 | 984 | 1,315 | 978 | 974 | 1,103 | 12,868 |
| Non-Personal Service | 291 | 445 | 494 | 402 | 484 | 474 | 493 | 485 | 482 | 459 | 479 | 632 | 5,620 |
| Total Departmental Operations | 1,478 | 1,426 | 1,446 | 1,662 | 1,456 | 1,458 | 1,631 | 1,469 | 1,797 | 1,437 | 1,453 | 1,735 | 18,488 |
| General State Charges | 650 | 835 | 500 | 1,757 | 427 | 369 | 526 | 466 | 490 | 467 | 396 | 451 | 7,334 |
| Debt Service | 166 | 263 | 164 | 74 | 314 | 733 | 93 | 144 | 369 | 74 | 550 | 2,178 | 5,122 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| TOTAL DISBURSEMENTS | 4,892 | 8,532 | 9,216 | 6,444 | 5,603 | 9,846 | 6,390 | 6,639 | 8,492 | 7,469 | 5,843 | 14,884 | 94,250 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from other funds | 4,473 | 2,348 | 2,743 | 2,246 | 1,674 | 2,991 | 2,126 | 1,759 | 2,573 | 2,591 | 1,642 | 3,582 | 30,748 |
| Transfers to other funds | (4,397) | (2,347) | (2,228) | (2,452) | (1,691) | (3,001) | (2,187) | (1,735) | (2,846) | (2,808) | (2,430) | (5,785) | (33,907) |
| Bond and note proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NET OTHER FINANCING SOURCES/(USES) | 76 | 1 | 515 | (206) | (17) | (10) | (61) | 24 | (273) | (217) | (788) | (2,203) | (3,159) |
| Excess/(Deficiency) of Receipts over Disbursements | 4,378 | (1,097) | 132 | (910) | (77) | 335 | (1,166) | (2,428) | 894 | 3,161 | 140 | (7,470) | (4,108) |
| CLOSING BALANCE | 14,268 | 13,184 | 13,377 | 12,558 | 12,499 | 12,853 | 11,814 | 9,404 | 10,317 | 13,499 | 13,657 | 6,207 | 5,782 |
| Intra-Fund Transfers Adjustment | 13 | 61 | 91 | 18 | 19 | 127 | 18 | 19 | 21 | 18 | 20 | 61 | 486 |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | 14,281 | 13,245 | 13,468 | 12,576 | 12,518 | 12,980 | 11,832 | 9,423 | 10,338 | 13,517 | 13,677 | 6,268 | 6,268 |

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2016**
(dollars in millions)

| | 2015 April Projected | 2015 May Projected | 2015 June Projected | 2015 July Projected | 2015 August Projected | 2015 September Projected | 2015 October Projected | 2015 November Projected | 2015 December Projected | 2016 January Projected | 2016 February Projected | 2016 March Projected | Total |
|--|----------------------------|--------------------------|---------------------------|---------------------------|-----------------------------|--------------------------------|------------------------------|-------------------------------|-------------------------------|------------------------------|-------------------------------|----------------------------|----------|
| OPENING BALANCE | 9,355 | 12,611 | 12,325 | 12,743 | 12,044 | 11,727 | 12,022 | 11,003 | 8,367 | 9,486 | 12,697 | 12,677 | 9,355 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 6,730 | 2,139 | 4,447 | 2,635 | 2,506 | 5,003 | 2,148 | 1,171 | 5,152 | 7,776 | 3,715 | 3,653 | 47,075 |
| Consumption/Use Taxes | 1,252 | 1,189 | 1,521 | 1,264 | 1,224 | 1,611 | 1,262 | 1,235 | 1,565 | 1,287 | 1,026 | 1,414 | 15,850 |
| Business Taxes | 362 | 277 | 260 | 275 | 269 | 269 | 283 | 271 | 300 | 336 | 327 | 2,567 | 8,137 |
| Other Taxes | 8,665 | 3,719 | 7,500 | 4,408 | 4,203 | 8,207 | 3,925 | 2,872 | 8,247 | 9,649 | 5,262 | 7,905 | 35,000 |
| Total Taxes | 0 | 0 | 0 | 0 | 5 | 55 | 15 | 135 | 20 | 35 | 85 | 305 | 655 |
| Abandoned Property | 37 | 6 | 5 | 6 | 6 | 380 | 390 | 370 | 387 | 402 | 441 | 5 | 65 |
| HCRA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 4 |
| Investment Income | 42 | 55 | 65 | 75 | 55 | 40 | 43 | 45 | 60 | 35 | 60 | 43 | 625 |
| Licenses, Fees, etc. | 321 | 247 | 247 | 308 | 247 | 308 | 251 | 251 | 313 | 250 | 250 | 340 | 3,333 |
| Lottery | 80 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 64 | 64 | 64 | 792 |
| Medical | 70 | 53 | 45 | 54 | 36 | 50 | 27 | 49 | 59 | 41 | 49 | 39 | 578 |
| Motor Vehicle Fees | 4 | 14 | 45 | 5 | 20 | 45 | 5 | 15 | 15 | 10 | 15 | 46 | 269 |
| Reimbursements | 311 | 285 | 314 | 260 | 366 | 638 | 347 | 234 | 299 | 365 | 567 | 314 | 4,300 |
| State University Income | (433) | 2,803 | 1,394 | 427 | 381 | 1,121 | 397 | 368 | 1,052 | 189 | 157 | 2,339 | 10,195 |
| Other Transactions | 718 | 3,909 | 2,563 | 1,547 | 1,556 | 2,743 | 1,571 | 1,515 | 2,320 | 1,395 | 1,695 | 3,878 | 25,410 |
| Total Miscellaneous Receipts | 1,729 | 4,853 | 4,123 | 4,119 | 4,420 | 4,570 | 3,976 | 4,067 | 4,134 | 4,585 | 5,032 | 5,788 | 51,396 |
| Federal Receipts | 11,112 | 12,481 | 14,186 | 10,074 | 10,179 | 15,520 | 9,472 | 8,454 | 14,701 | 15,629 | 11,989 | 17,571 | 151,368 |
| TOTAL RECEIPTS | 700 | 2,968 | 2,650 | 427 | 895 | 3,986 | 1,495 | 2,042 | 2,105 | 840 | 956 | 6,953 | 26,017 |
| School Aid | 18 | 43 | 776 | 162 | 145 | 210 | 362 | 61 | 236 | 54 | 54 | 571 | 3,000 |
| Higher Education | 177 | 609 | 116 | 201 | 163 | 534 | 281 | 125 | 281 | 107 | 119 | 10 | 3,193 |
| All Other Education | 0 | 3 | 431 | 0 | 0 | 187 | 8 | 33 | 144 | 2,566 | 0 | 10 | 3,382 |
| STAR | 3,296 | 4,142 | 4,171 | 3,885 | 4,416 | 4,488 | 3,792 | 4,308 | 3,814 | 4,282 | 5,100 | 5,044 | 50,738 |
| Medicaid - DOH | 131 | 360 | 241 | 334 | 444 | 211 | 215 | 181 | 267 | 262 | 326 | 593 | 3,565 |
| Public Health | 90 | 99 | 580 | 120 | 103 | 265 | 106 | 81 | 612 | 125 | 186 | 608 | 2,975 |
| Mental Hygiene | 58 | 204 | 339 | 156 | 156 | 359 | 156 | 156 | 359 | 244 | 240 | 415 | 2,842 |
| Children and Families | 295 | 384 | 429 | 381 | 372 | 402 | 392 | 402 | 412 | 427 | 413 | 394 | 4,703 |
| Temporary & Disability Assistance | 158 | 624 | 472 | 403 | 555 | 584 | 420 | 662 | 1,125 | 211 | 812 | 498 | 6,524 |
| Transportation | 224 | 249 | 373 | 402 | 354 | 104 | 9 | 2 | 188 | 2 | 3 | 68 | 1,785 |
| Unrestricted Aid | 5,148 | 9,698 | 10,969 | 6,473 | 7,605 | 11,585 | 7,511 | 8,368 | 9,890 | 9,617 | 8,889 | 16,157 | 41,886 |
| All Other | 1,238 | 1,029 | 1,040 | 1,327 | 1,020 | 1,034 | 1,187 | 1,034 | 1,383 | 1,026 | 1,022 | 1,164 | 13,504 |
| Total Local Assistance Grants | 348 | 511 | 569 | 489 | 580 | 613 | 620 | 578 | 560 | 543 | 580 | 823 | 6,814 |
| Personal Service | 1,586 | 1,540 | 1,609 | 1,816 | 1,600 | 1,647 | 1,807 | 1,612 | 1,943 | 1,569 | 1,602 | 1,987 | 20,318 |
| Non-Personal Service | 663 | 867 | 519 | 1,802 | 437 | 390 | 574 | 475 | 510 | 518 | 404 | 481 | 7,640 |
| Total Departmental Operations | 166 | 263 | 164 | 74 | 314 | 733 | 93 | 144 | 369 | 74 | 550 | 2,178 | 5,122 |
| Debt Service | 289 | 395 | 501 | 606 | 527 | 874 | 481 | 487 | 862 | 637 | 561 | 940 | 7,160 |
| Capital Projects | 7,852 | 12,763 | 13,762 | 10,771 | 10,483 | 15,229 | 10,466 | 11,086 | 13,574 | 12,415 | 12,006 | 21,743 | 152,150 |
| TOTAL DISBURSEMENTS | 4,551 | 2,564 | 2,425 | 2,646 | 1,880 | 3,441 | 2,361 | 1,930 | 3,074 | 3,004 | 2,582 | 6,563 | 37,021 |
| OTHER FINANCING SOURCES (uses): | (4,555) | (2,568) | (2,431) | (2,648) | (1,893) | (3,437) | (2,366) | (1,934) | (3,082) | (3,007) | (2,585) | (6,574) | (37,100) |
| Transfers from other funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 685 | 685 |
| Transfers to other funds | (4) | (4) | (6) | (2) | (13) | (4) | (25) | (4) | (8) | (3) | (3) | (7) | 606 |
| Bond and note proceeds | 3,256 | (286) | 418 | (699) | (317) | 295 | (1,019) | (2,636) | 1,119 | 3,211 | (20) | (3,498) | (176) |
| NET OTHER FINANCING SOURCES/(USES) | 12,611 | 12,325 | 12,743 | 12,044 | 11,727 | 12,022 | 11,003 | 8,367 | 9,486 | 9,486 | 12,677 | 12,677 | 9,179 |
| Excess/(Deficiency) of Receipts over Disbursements | | | | | | | | | | | | | |
| CLOSING BALANCE | 12,611 | 12,325 | 12,743 | 12,044 | 11,727 | 12,022 | 11,003 | 8,367 | 9,486 | 12,697 | 12,677 | 12,677 | 9,179 |

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2016
(dollars in millions)**

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|---------|
| OPENING BALANCE | 2,661 | 2,646 | 4,140 | 3,884 | 4,565 | 4,495 | 3,246 | 3,716 | 3,759 | 2,710 | 3,396 | 3,305 | 2,661 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 3 | 0 | 431 | 0 | 0 | 187 | 8 | 33 | 144 | 2,566 | 0 | 10 | 3,382 |
| Consumption/Use Taxes | 201 | 151 | 183 | 185 | 158 | 171 | 179 | 149 | 195 | 125 | 125 | 135 | 2,027 |
| Business Taxes | 61 | 57 | 227 | 65 | 241 | 62 | 64 | 59 | 226 | 67 | 56 | 433 | 1,618 |
| Other Taxes | 124 | 95 | 89 | 105 | 90 | 93 | 100 | 92 | 117 | 152 | 160 | 129 | 1,346 |
| Total Taxes | 389 | 303 | 930 | 355 | 310 | 716 | 351 | 333 | 682 | 2,956 | 341 | 707 | 8,373 |
| HCRA | 317 | 381 | 383 | 387 | 375 | 380 | 390 | 370 | 387 | 402 | 441 | 381 | 4,594 |
| State University Income | 311 | 285 | 314 | 260 | 366 | 638 | 347 | 234 | 299 | 365 | 567 | 314 | 4,300 |
| Lottery | 321 | 247 | 247 | 308 | 247 | 308 | 251 | 251 | 313 | 250 | 250 | 340 | 3,333 |
| Medicaid | 80 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 64 | 64 | 64 | 792 |
| Motor Vehicle Fees | 34 | 32 | 39 | 34 | 30 | 32 | 31 | 27 | 38 | 32 | 35 | 33 | 408 |
| Other Transactions | (633) | 767 | 256 | 241 | 223 | 286 | 240 | 219 | 195 | (2) | 17 | 40 | 1,849 |
| Total Miscellaneous Receipts | 430 | 1,777 | 1,304 | 1,295 | 1,306 | 1,720 | 1,324 | 1,166 | 1,297 | 1,111 | 1,374 | 1,172 | 15,276 |
| Federal Receipts | 1,628 | 4,751 | 4,023 | 3,941 | 4,297 | 4,357 | 3,839 | 3,933 | 3,963 | 4,489 | 4,800 | 5,606 | 49,627 |
| TOTAL RECEIPTS | 2,447 | 6,831 | 6,257 | 5,591 | 5,913 | 6,793 | 5,514 | 5,432 | 5,942 | 8,556 | 6,515 | 7,485 | 73,276 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 165 | 213 | 620 | 229 | 229 | 2,233 | 372 | 372 | 372 | 372 | 372 | 396 | 5,945 |
| Higher Education | 0 | 0 | 0 | 4 | 0 | 2 | 0 | 1 | 1 | 0 | 0 | 16 | 24 |
| All Other Education | 159 | 82 | 84 | 81 | 82 | 84 | 81 | 82 | 79 | 70 | 70 | 39 | 993 |
| STAR | 0 | 3 | 431 | 0 | 0 | 187 | 8 | 33 | 144 | 2,566 | 0 | 10 | 3,382 |
| Medicaid - DOH | 2,065 | 3,030 | 3,256 | 2,735 | 3,310 | 3,471 | 2,675 | 3,152 | 3,134 | 3,196 | 4,109 | 4,375 | 38,508 |
| Public Health | 120 | 181 | 183 | 280 | 391 | 134 | 184 | 141 | 185 | 215 | 150 | 348 | 2,512 |
| Mental Hygiene | 82 | 92 | 279 | 109 | 94 | (20) | 96 | 74 | 287 | 104 | 106 | 383 | 1,686 |
| Children and Families | 19 | 81 | 81 | 81 | 81 | (81) | 81 | 81 | 81 | 81 | 140 | 139 | 1,088 |
| Temporary & Disability Assistance | 202 | 278 | 275 | 275 | 276 | 306 | 286 | 306 | 316 | 321 | 316 | 287 | 3,444 |
| Transportation | 127 | 557 | 364 | 355 | 476 | 370 | 366 | 583 | 839 | 163 | 261 | 322 | 4,783 |
| Unrestricted Aid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Other | 190 | 193 | 177 | 272 | 251 | 133 | 244 | 171 | 164 | 87 | 74 | 79 | 2,035 |
| Total Local Assistance Grants | 3,129 | 4,710 | 5,750 | 4,417 | 5,194 | 6,981 | 4,393 | 4,996 | 5,602 | 7,236 | 5,598 | 6,394 | 64,400 |
| Personal Service | 684 | 578 | 584 | 747 | 566 | 580 | 665 | 580 | 775 | 530 | 530 | 606 | 7,425 |
| Non-Personal Service | 280 | 355 | 396 | 341 | 388 | 443 | 432 | 382 | 389 | 319 | 346 | 515 | 4,586 |
| Total Departmental Operations | 964 | 933 | 980 | 1,088 | 954 | 1,023 | 1,097 | 962 | 1,164 | 849 | 876 | 1,121 | 12,011 |
| General State Charges | 51 | 235 | 203 | 117 | 493 | 71 | 110 | 203 | 406 | 128 | 274 | 155 | 2,445 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| TOTAL DISBURSEMENTS | 4,144 | 5,878 | 6,933 | 5,622 | 6,641 | 8,075 | 5,600 | 6,161 | 7,172 | 8,213 | 6,748 | 7,669 | 78,857 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 1,782 | 981 | 593 | 848 | 724 | 396 | 722 | 898 | 354 | 517 | 476 | 456 | 8,747 |
| Transfers to Other Funds | (100) | (440) | (173) | (136) | (126) | (303) | (166) | (126) | (174) | (174) | (334) | (807) | (3,058) |
| NET OTHER FINANCING SOURCES/(USES) | 1,682 | 541 | 420 | 712 | 598 | 93 | 556 | 772 | 181 | 343 | 142 | (351) | 5,689 |
| Excess/(Deficiency) of Receipts over Disbursements | (15) | 1,494 | (256) | 681 | (130) | (1,189) | 470 | 43 | (1,049) | 686 | (91) | (535) | 108 |
| CLOSING BALANCE | 2,646 | 4,140 | 3,884 | 4,565 | 4,435 | 3,246 | 3,716 | 3,759 | 2,710 | 3,396 | 3,305 | 2,769 | 2,769 |

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2016
(dollars in millions)

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|---------|
| OPENING BALANCE | 2,472 | 3,523 | 4,209 | 3,997 | 4,428 | 4,367 | 3,270 | 3,486 | 3,596 | 2,603 | 2,972 | 3,041 | 2,472 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 3 | 0 | 431 | 0 | 0 | 187 | 8 | 33 | 144 | 2,566 | 0 | 10 | 3,382 |
| Consumption/Use Taxes | 201 | 151 | 183 | 185 | 158 | 195 | 179 | 149 | 195 | 171 | 125 | 135 | 2,027 |
| Business Taxes | 61 | 57 | 227 | 62 | 62 | 241 | 64 | 59 | 226 | 67 | 56 | 433 | 1,618 |
| Other Taxes | 124 | 95 | 89 | 105 | 90 | 93 | 100 | 92 | 117 | 152 | 160 | 129 | 1,346 |
| Total Taxes | 389 | 303 | 930 | 355 | 310 | 716 | 351 | 333 | 682 | 2,956 | 341 | 707 | 8,373 |
| HCRA | 317 | 381 | 383 | 387 | 375 | 380 | 390 | 370 | 387 | 402 | 441 | 381 | 4,594 |
| State University Income | 311 | 285 | 314 | 260 | 366 | 638 | 347 | 234 | 299 | 365 | 567 | 314 | 4,300 |
| Lottery | 321 | 247 | 247 | 308 | 247 | 308 | 251 | 250 | 313 | 250 | 250 | 340 | 3,333 |
| Medicaid | 80 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 64 | 64 | 64 | 792 |
| Motor Vehicle Fees | 34 | 34 | 39 | 34 | 32 | 31 | 31 | 38 | 32 | 32 | 35 | 33 | 408 |
| Other Transactions | (637) | 760 | 247 | 232 | 217 | 281 | 209 | 209 | 185 | (10) | 10 | 31 | 1,752 |
| Total Miscellaneous Receipts | 426 | 1,770 | 1,295 | 1,286 | 1,300 | 1,715 | 1,311 | 1,156 | 1,287 | 1,103 | 1,367 | 1,163 | 15,179 |
| Federal Receipts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| TOTAL RECEIPTS | 815 | 2,073 | 2,225 | 1,641 | 1,610 | 2,431 | 1,662 | 1,489 | 1,969 | 4,059 | 1,708 | 1,871 | 23,553 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 0 | 0 | 391 | 0 | 0 | 2,004 | 143 | 143 | 143 | 143 | 143 | 196 | 3,306 |
| Higher Education | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 | 16 |
| All Other Education | 0 | 1 | 3 | 0 | 1 | 3 | 0 | 1 | 0 | 1 | 1 | 1 | 13 |
| STAR | 410 | 377 | 459 | 372 | 368 | 469 | 460 | 33 | 144 | 2,566 | 0 | 10 | 3,382 |
| Medicaid - DOH | 24 | 59 | 62 | 168 | 152 | 38 | 73 | 34 | 470 | 427 | 512 | 402 | 5,185 |
| Public Health | 62 | 81 | 270 | 94 | 82 | (28) | 80 | 59 | 275 | 108 | 48 | 93 | 928 |
| Mental Hygiene | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 4 |
| Children and Families | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Temporary & Disability Assistance | 125 | 554 | 361 | 352 | 473 | 367 | 363 | 580 | 836 | 160 | 259 | 319 | 4,749 |
| Transportation | 25 | 39 | 51 | 67 | 43 | 18 | 32 | (38) | (39) | (118) | (120) | 0 | (67) |
| Unrestricted Aid | 646 | 1,114 | 2,028 | 1,053 | 1,119 | 3,058 | 1,159 | 1,282 | 1,889 | 3,379 | 934 | 1,288 | 18,949 |
| All Other | 633 | 530 | 536 | 680 | 518 | 530 | 616 | 530 | 707 | 482 | 482 | 545 | 6,789 |
| Total Local Assistance Grants | 223 | 289 | 321 | 254 | 292 | 304 | 305 | 289 | 311 | 235 | 245 | 324 | 3,392 |
| Personal Service | 856 | 819 | 857 | 934 | 810 | 834 | 921 | 819 | 1,018 | 717 | 727 | 869 | 10,181 |
| Non-Personal Service | 38 | 203 | 184 | 72 | 483 | 50 | 62 | 194 | 386 | 77 | 266 | 124 | 2,139 |
| Total Departmental Operations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| General State Charges | 1,540 | 2,136 | 3,069 | 2,059 | 2,412 | 3,942 | 2,142 | 2,295 | 3,293 | 4,173 | 1,927 | 2,282 | 31,270 |
| Capital Projects | | | | | | | | | | | | | |
| TOTAL DISBURSEMENTS | 1,782 | 981 | 593 | 848 | 724 | 396 | 722 | 898 | 354 | 517 | 476 | 420 | 8,711 |
| OTHER FINANCING SOURCES (uses): | (19) | (293) | (52) | (17) | (2) | (109) | (44) | (1) | (44) | (52) | (208) | (639) | (1,380) |
| Transfers from Other Funds | 1,763 | 688 | 541 | 831 | 722 | 287 | 678 | 897 | 310 | 465 | 268 | (119) | 7,331 |
| Transfers to Other Funds | | | | | | | | | | | | | |
| NET OTHER FINANCING SOURCES/(USES) | 1,038 | 625 | (303) | 413 | (80) | (1,224) | 198 | 91 | (1,014) | 351 | 49 | (530) | (386) |
| Excess/(Deficiency) of Receipts over Disbursements | 3,510 | 4,148 | 3,906 | 4,410 | 4,348 | 3,143 | 3,468 | 3,577 | 2,582 | 2,954 | 3,021 | 2,511 | 2,086 |
| CLOSING BALANCE | 13 | 61 | 91 | 18 | 19 | 127 | 18 | 19 | 21 | 18 | 20 | 61 | 486 |
| Intra-Fund Transfers Adjustment | 3,523 | 4,209 | 3,997 | 4,428 | 4,367 | 3,270 | 3,486 | 3,596 | 2,603 | 2,972 | 3,041 | 2,572 | 2,572 |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | | | | | | | | | | | | | |

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2016
(dollars in millions)

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|---------|
| OPENING BALANCE | 189 | (877) | (69) | (113) | 136 | 67 | (25) | 229 | 162 | 106 | 423 | 263 | 189 |
| RECEIPTS: | | | | | | | | | | | | | |
| Miscellaneous Receipts | 4 | 7 | 9 | 9 | 6 | 5 | 13 | 10 | 10 | 8 | 7 | 9 | 97 |
| Federal Receipts | 1628 | 4,751 | 4,023 | 3,941 | 4,297 | 4,357 | 3,839 | 3,933 | 3,963 | 4,489 | 4,800 | 5,605 | 49,626 |
| TOTAL RECEIPTS | 1632 | 4,758 | 4,032 | 3,950 | 4,303 | 4,362 | 3,852 | 3,943 | 3,973 | 4,497 | 4,807 | 5,614 | 49,723 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 165 | 213 | 229 | 229 | 229 | 229 | 229 | 229 | 229 | 229 | 229 | 200 | 2,639 |
| Higher Education | 0 | 0 | 0 | 0 | 4 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 8 |
| All Other Education | 159 | 81 | 81 | 81 | 81 | 81 | 81 | 81 | 78 | 69 | 69 | 38 | 980 |
| STAR | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Medicaid - DOH | 1,655 | 2,653 | 2,797 | 2,363 | 2,942 | 3,002 | 2,215 | 2,682 | 2,675 | 2,769 | 3,597 | 3,973 | 33,323 |
| Public Health | 96 | 122 | 121 | 112 | 239 | 96 | 111 | 107 | 116 | 107 | 102 | 255 | 1,584 |
| Mental Hygiene | 20 | 11 | 9 | 15 | 12 | 8 | 16 | 15 | 12 | 13 | 16 | 5 | 152 |
| Children and Families | 19 | 81 | 81 | 81 | 81 | 81 | 81 | 81 | 80 | 141 | 139 | 139 | 1,085 |
| Temporary & Disability Assistance | 202 | 278 | 275 | 275 | 276 | 306 | 286 | 306 | 316 | 321 | 316 | 287 | 3,444 |
| Transportation | 2 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 2 | 3 | 34 |
| Unrestricted Aid | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Other | 165 | 154 | 126 | 205 | 208 | 115 | 212 | 209 | 203 | 205 | 194 | 206 | 2,202 |
| Total Local Assistance Grants | 2,483 | 3,596 | 3,722 | 3,364 | 4,075 | 3,923 | 3,234 | 3,714 | 3,713 | 3,857 | 4,664 | 5,106 | 45,451 |
| Personal Service | 51 | 48 | 48 | 67 | 48 | 50 | 49 | 50 | 68 | 48 | 48 | 61 | 636 |
| Non-Personal Service | 57 | 66 | 75 | 87 | 96 | 139 | 127 | 93 | 78 | 84 | 101 | 191 | 1,194 |
| Total Departmental Operations | 108 | 114 | 123 | 154 | 144 | 189 | 176 | 143 | 146 | 132 | 149 | 252 | 1,830 |
| General State Charges | 13 | 32 | 19 | 46 | 10 | 21 | 48 | 9 | 20 | 51 | 8 | 29 | 306 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL DISBURSEMENTS | 2,604 | 3,742 | 3,864 | 3,564 | 4,229 | 4,133 | 3,458 | 3,866 | 3,879 | 4,040 | 4,821 | 5,387 | 47,587 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 36 | 36 |
| Transfers to Other Funds | (81) | (147) | (121) | (119) | (124) | (194) | (122) | (125) | (129) | (122) | (126) | (268) | (1,678) |
| NET OTHER FINANCING SOURCES(USES) | (81) | (147) | (121) | (119) | (124) | (194) | (122) | (125) | (129) | (122) | (126) | (232) | (1,642) |
| Excess/(Deficiency) of Receipts over Disbursements | (1,033) | 869 | 47 | 267 | (50) | 35 | 272 | (48) | (35) | 335 | (140) | (5) | 494 |
| CLOSING BALANCE | (864) | (8) | (22) | 154 | 86 | 102 | 247 | 181 | 127 | 441 | 283 | 258 | 683 |
| Intra-Fund Transfers Adjustment | (13) | (61) | (91) | (18) | (19) | (127) | (18) | (19) | (21) | (18) | (20) | (61) | (486) |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | (877) | (69) | (113) | 136 | 67 | (25) | 229 | 162 | 106 | 423 | 263 | 197 | 197 |

**CASHFLOW
DEBT SERVICE FUNDS
FY 2016
(dollars in millions)**

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|----------------|
| OPENING BALANCE | 118 | 381 | 489 | 291 | 686 | 936 | 303 | 535 | 712 | 481 | 2,044 | 2,150 | 118 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 1,683 | 535 | 1,112 | 659 | 627 | 1,251 | 537 | 293 | 1,288 | 1,944 | 928 | 912 | 11,769 |
| Consumption/Use Taxes | 474 | 475 | 612 | 488 | 488 | 647 | 495 | 498 | 628 | 510 | 414 | 596 | 6,325 |
| Other Taxes | 86 | 76 | 77 | 77 | 85 | 82 | 85 | 81 | 85 | 96 | 80 | 56 | 966 |
| Total Taxes | 2,243 | 1,086 | 1,801 | 1,224 | 1,200 | 1,980 | 1,117 | 872 | 2,001 | 2,550 | 1,422 | 1,564 | 19,060 |
| Miscellaneous Receipts | 29 | 33 | 33 | 52 | 45 | 31 | 33 | 26 | 48 | 68 | 38 | 34 | 470 |
| Federal Receipts | 0 | 0 | 0 | 0 | 2 | 35 | 0 | 0 | 0 | 0 | 2 | 34 | 73 |
| TOTAL RECEIPTS | 2,272 | 1,119 | 1,834 | 1,276 | 1,247 | 2,046 | 1,150 | 898 | 2,049 | 2,618 | 1,462 | 1,632 | 19,603 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| Departmental Operations | 0 | 2 | 1 | 0 | 15 | 3 | 0 | 2 | 1 | 0 | 4 | 16 | 44 |
| Debt Service | 166 | 263 | 164 | 74 | 314 | 733 | 93 | 144 | 369 | 74 | 550 | 2,178 | 5,122 |
| TOTAL DISBURSEMENTS | 166 | 265 | 165 | 74 | 329 | 736 | 93 | 146 | 370 | 74 | 554 | 2,194 | 5,166 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 460 | 220 | 195 | 352 | 201 | 310 | 344 | 200 | 199 | 586 | 138 | 692 | 3,887 |
| Transfers to Other Funds | (2,303) | (966) | (2,062) | (1,159) | (869) | (2,253) | (1,169) | (775) | (2,109) | (1,567) | (940) | (2,079) | (8,251) |
| NET OTHER FINANCING SOURCES/(USES) | (1,843) | (746) | (1,867) | (807) | (668) | (1,943) | (825) | (575) | (1,910) | (981) | (802) | (1,387) | (4,364) |
| Excess/(Deficiency) of Receipts over Disbursements | 263 | 108 | (198) | 395 | 250 | (633) | 232 | 177 | (231) | 1,563 | 106 | (1,949) | 83 |
| CLOSING BALANCE | 381 | 489 | 291 | 686 | 936 | 303 | 535 | 712 | 481 | 2,044 | 2,150 | 201 | 201 |

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2016**
(dollars in millions)

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|---------|
| OPENING BALANCE | (724) | (793) | (851) | (612) | (669) | (859) | (934) | (1,059) | (1,219) | (959) | (1,244) | (1,264) | (724) |
| RECEIPTS: | | | | | | | | | | | | | |
| Consumption/Use Taxes | 51 | 43 | 65 | 46 | 45 | 69 | 46 | 44 | 66 | 42 | 39 | 52 | 608 |
| Business Taxes | 52 | 51 | 58 | 52 | 58 | 56 | 52 | 50 | 52 | 47 | 44 | 52 | 622 |
| Other Taxes | 0 | 0 | 0 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 11 | 119 |
| Total Taxes | 103 | 94 | 135 | 110 | 115 | 137 | 110 | 106 | 128 | 101 | 95 | 115 | 1,349 |
| Miscellaneous Receipts | 82 | 93 | 1,086 | 96 | 97 | 652 | 88 | 84 | 770 | 88 | 86 | 2,077 | 5,299 |
| Federal Receipts | 101 | 102 | 100 | 178 | 121 | 178 | 137 | 134 | 171 | 96 | 230 | 148 | 1,696 |
| TOTAL RECEIPTS | 286 | 289 | 1,321 | 384 | 333 | 967 | 335 | 324 | 1,069 | 285 | 411 | 2,340 | 8,344 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| All Other Education | 0 | 1 | 9 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 14 |
| Public Health | 0 | 0 | 0 | 0 | 0 | 30 | 0 | 0 | 0 | 0 | 125 | 165 | 320 |
| Mental Hygiene | 5 | 6 | 12 | 10 | 8 | 8 | 9 | 6 | 6 | 0 | 11 | 15 | 105 |
| Temporary & Disability Assistance | 0 | 10 | 0 | 0 | 0 | 0 | 10 | 0 | 0 | 10 | 0 | 1 | 41 |
| Transportation | 31 | 42 | 108 | 48 | 54 | 214 | 54 | 54 | 264 | 48 | 536 | 175 | 1,628 |
| All Other Local | 31 | 35 | 52 | 89 | 62 | 124 | 64 | 34 | 70 | 201 | 108 | 175 | 1,045 |
| Total Local Assistance Grants | 67 | 94 | 181 | 158 | 124 | 376 | 137 | 94 | 341 | 269 | 781 | 532 | 3,154 |
| Economic Development | 2 | 3 | 4 | 14 | 4 | 6 | 9 | 5 | 5 | 9 | 5 | 143 | 209 |
| Parks & the Environment | 19 | 32 | 49 | 48 | 50 | 52 | 52 | 44 | 47 | 43 | 47 | 56 | 539 |
| Transportation | 211 | 220 | 281 | 244 | 272 | 302 | 250 | 282 | 334 | 228 | 233 | 191 | 3,048 |
| Health & Social Welfare | 2 | 7 | 11 | 8 | 7 | 9 | 8 | 9 | 9 | 7 | 12 | 27 | 116 |
| Mental Hygiene | 10 | 8 | 12 | 15 | 18 | 11 | 16 | 14 | 11 | 13 | 18 | (8) | 138 |
| Public Protection | 15 | 30 | 25 | 25 | 25 | 25 | 25 | 26 | 28 | 29 | 34 | 97 | 384 |
| Education | 22 | 77 | 94 | 122 | 126 | 126 | 93 | 78 | 78 | 277 | 184 | 207 | 1,455 |
| All Other | 8 | 18 | 25 | 130 | 25 | 372 | 28 | 29 | 350 | 31 | 28 | 226 | 1,270 |
| Total Capital Projects | 289 | 395 | 501 | 606 | 527 | 874 | 481 | 487 | 862 | 637 | 561 | 938 | 7,159 |
| TOTAL DISBURSEMENTS | 356 | 489 | 682 | 764 | 651 | 1,250 | 618 | 581 | 1,203 | 906 | 1,342 | 1,471 | 10,313 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 78 | 216 | (318) | 400 | 206 | 450 | 235 | 171 | 501 | 413 | 940 | 2,945 | 6,237 |
| Transfers to Other Funds | (77) | (74) | (82) | (77) | (78) | (242) | (77) | (74) | (107) | (77) | (29) | (521) | (1,515) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 685 | 685 |
| NET OTHER FINANCING SOURCES/(USES) | 1 | 142 | (400) | 323 | 128 | 208 | 158 | 97 | 394 | 336 | 911 | 3,109 | 5,407 |
| Excess/(Deficiency) of Receipts over Disbursements | (69) | (58) | 239 | (57) | (190) | (75) | (125) | (160) | 260 | (285) | (20) | 3,978 | 3,438 |
| CLOSING BALANCE | (793) | (851) | (612) | (669) | (859) | (934) | (1,059) | (1,219) | (959) | (1,244) | (1,264) | 2,714 | 2,714 |

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2016
(dollars in millions)**

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|---------|
| OPENING BALANCE | (342) | (406) | (481) | (242) | (374) | (569) | (596) | (739) | (917) | (728) | (927) | (820) | (342) |
| RECEIPTS: | | | | | | | | | | | | | |
| Consumption/Use Taxes | 51 | 43 | 65 | 46 | 45 | 69 | 46 | 44 | 66 | 42 | 39 | 51 | 607 |
| Business Taxes | 52 | 51 | 58 | 52 | 58 | 56 | 52 | 50 | 50 | 47 | 44 | 53 | 623 |
| Other Taxes | 0 | 0 | 0 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 12 | 11 | 119 |
| Total Taxes | 103 | 94 | 135 | 110 | 115 | 137 | 110 | 106 | 128 | 101 | 95 | 115 | 1,349 |
| Miscellaneous Receipts | 82 | 93 | 1,086 | 96 | 97 | 652 | 88 | 84 | 770 | 88 | 86 | 2,077 | 5,299 |
| Federal Receipts | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 3 | 5 |
| TOTAL RECEIPTS | 185 | 187 | 1,221 | 206 | 212 | 791 | 198 | 190 | 898 | 189 | 181 | 2,195 | 6,653 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| All Other Education | 0 | 1 | 9 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 14 |
| Public Health | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 125 | 125 | 250 |
| Mental Hygiene | 5 | 12 | 12 | 10 | 8 | 8 | 9 | 6 | 6 | 9 | 11 | 15 | 105 |
| Temporary & Disability Assistance | 0 | 10 | 0 | 10 | 0 | 0 | 10 | 0 | 0 | 10 | 0 | 1 | 41 |
| Transportation | 4 | 6 | 67 | 7 | 8 | 168 | 8 | 8 | 223 | 7 | 500 | 131 | 1,137 |
| All Other Local | 31 | 35 | 52 | 89 | 62 | 46 | 64 | 34 | 70 | 123 | 108 | 176 | 890 |
| Total Local Assistance Grants | 40 | 58 | 140 | 117 | 78 | 222 | 91 | 48 | 300 | 150 | 745 | 449 | 2,438 |
| Economic Development | 2 | 3 | 4 | 14 | 4 | 6 | 9 | 5 | 5 | 9 | 5 | 143 | 209 |
| Parks & the Environment | 17 | 31 | 48 | 47 | 49 | 51 | 43 | 43 | 46 | 42 | 45 | 53 | 523 |
| Transportation | 139 | 178 | 229 | 192 | 210 | 240 | 188 | 220 | 282 | 176 | 191 | 179 | 2,424 |
| Health & Social Welfare | 2 | 7 | 11 | 8 | 7 | 9 | 8 | 9 | 9 | 7 | 12 | 24 | 113 |
| Mental Hygiene | 10 | 8 | 12 | 15 | 18 | 11 | 16 | 14 | 11 | 13 | 18 | (8) | 138 |
| Public Protection | 14 | 26 | 21 | 21 | 21 | 21 | 21 | 22 | 24 | 24 | 25 | 91 | 331 |
| Education | 22 | 77 | 94 | 122 | 126 | 97 | 93 | 78 | 78 | 277 | 184 | 207 | 1,455 |
| All Other | 6 | 16 | 23 | 128 | 22 | 369 | 25 | 26 | 348 | 29 | 27 | 227 | 1,246 |
| Total Capital Projects | 212 | 346 | 442 | 547 | 457 | 804 | 411 | 417 | 803 | 577 | 507 | 916 | 6,459 |
| TOTAL DISBURSEMENTS | 252 | 404 | 582 | 664 | 535 | 1,026 | 502 | 465 | 1,103 | 727 | 1,252 | 1,365 | 8,877 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 78 | 216 | (318) | 400 | 206 | 450 | 235 | 171 | 501 | 413 | 940 | 2,945 | 6,237 |
| Transfers to Other Funds | (75) | (74) | (82) | (74) | (78) | (242) | (74) | (74) | (107) | (74) | (29) | (520) | (1,503) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 685 | 685 |
| NET OTHER FINANCING SOURCES/(USES) | 3 | 142 | (400) | 326 | 128 | 208 | 161 | 97 | 394 | 339 | 911 | 3,110 | 5,419 |
| Excess/(Deficiency) of Receipts over Disbursements | (64) | (75) | 239 | (132) | (195) | (27) | (143) | (178) | 189 | (199) | (60) | 3,940 | 3,195 |
| CLOSING BALANCE | (406) | (481) | (242) | (374) | (569) | (596) | (739) | (917) | (728) | (927) | (1,087) | 3,120 | 2,853 |
| Intra-Fund Transfers Adjustment | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 267 | 24 | 291 |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | (406) | (481) | (242) | (374) | (569) | (596) | (739) | (917) | (728) | (927) | (820) | 3,144 | 3,144 |

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2016
(dollars in millions)**

| | 2015 April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | 2016 January Projected | February Projected | March Projected | Total |
|--|----------------------------|------------------|-------------------|-------------------|---------------------|------------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|-------|
| OPENING BALANCE | (382) | (387) | (370) | (370) | (295) | (290) | (338) | (320) | (302) | (231) | (317) | (444) | (382) |
| RECEIPTS: | | | | | | | | | | | | | |
| Consumption/Use Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Business Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Miscellaneous Receipts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Receipts | 101 | 102 | 100 | 178 | 121 | 176 | 137 | 134 | 171 | 96 | 230 | 145 | 1,691 |
| TOTAL RECEIPTS | 101 | 102 | 100 | 178 | 121 | 176 | 137 | 134 | 171 | 96 | 230 | 145 | 1,691 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| Public Health | 0 | 0 | 0 | 0 | 0 | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 70 |
| Transportation | 27 | 36 | 41 | 41 | 46 | 46 | 46 | 46 | 41 | 41 | 36 | 44 | 491 |
| All Other Local | 0 | 0 | 0 | 0 | 0 | 78 | 0 | 0 | 0 | 78 | 0 | (1) | 155 |
| Total Local Assistance Grants | 27 | 36 | 41 | 41 | 46 | 154 | 46 | 46 | 41 | 119 | 36 | 83 | 716 |
| Economic Development | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Parks & the Environment | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 2 | 2 | 15 |
| Transportation | 72 | 42 | 52 | 52 | 62 | 62 | 62 | 62 | 52 | 52 | 42 | 12 | 624 |
| Health & Social Welfare | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 3 |
| Mental Hygiene | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Public Protection | 1 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 4 | 5 | 9 | 6 | 53 |
| Education | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Other | 2 | 2 | 2 | 2 | 3 | 3 | 3 | 3 | 2 | 2 | 1 | 0 | 25 |
| Total Capital Projects | 77 | 49 | 59 | 59 | 70 | 70 | 70 | 70 | 59 | 60 | 54 | 23 | 720 |
| TOTAL DISBURSEMENTS | 104 | 85 | 100 | 100 | 116 | 224 | 116 | 116 | 100 | 179 | 90 | 106 | 1,436 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transfers to Other Funds | (2) | 0 | (3) | (3) | 0 | 0 | (3) | 0 | 0 | (3) | 0 | (1) | (12) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| NET OTHER FINANCING SOURCES(USES) | (2) | 0 | (3) | (3) | 0 | 0 | (3) | 0 | 0 | (3) | 0 | (1) | (12) |
| Excess/(Deficiency) of Receipts over Disbursements | (5) | 17 | 0 | 75 | 5 | (48) | 18 | 18 | 71 | (86) | 140 | 38 | 243 |
| CLOSING BALANCE | (387) | (370) | (370) | (295) | (290) | (338) | (320) | (302) | (231) | (317) | (177) | (406) | (139) |
| Intra-Fund Transfers Adjustment | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (267) | (24) | (291) |
| Closing Balance with Intra-Fund Transfers | (387) | (370) | (370) | (295) | (290) | (338) | (320) | (302) | (231) | (317) | (444) | (430) | (430) |

**CASHFLOW
STATE FUNDS
FY 2016**
(dollars in millions)

| | 2015 April Projected | 2015 May Projected | 2015 June Projected | 2015 July Projected | 2015 August Projected | 2015 September Projected | 2015 October Projected | 2015 November Projected | 2015 December Projected | 2016 January Projected | 2016 February Projected | 2016 March Projected | Total |
|--|----------------------------|--------------------------|---------------------------|---------------------------|-----------------------------|--------------------------------|------------------------------|-------------------------------|-------------------------------|------------------------------|-------------------------------|----------------------------|----------|
| OPENING BALANCE | 9,548 | 13,875 | 12,764 | 13,226 | 12,202 | 11,949 | 12,384 | 11,093 | 8,506 | 9,610 | 12,590 | 12,857 | 9,548 |
| RECEIPTS: | | | | | | | | | | | | | |
| Personal Income Tax | 6,730 | 2,139 | 4,447 | 2,635 | 2,506 | 5,003 | 2,148 | 1,171 | 5,152 | 7,776 | 3,715 | 3,653 | 47,075 |
| Consumption/Use Taxes | 1,252 | 1,189 | 1,521 | 1,264 | 1,224 | 1,611 | 1,262 | 1,235 | 1,565 | 1,287 | 1,026 | 1,413 | 15,849 |
| Business Taxes | 321 | 114 | 1,272 | 234 | 204 | 1,324 | 232 | 1,935 | 1,230 | 250 | 194 | 2,568 | 8,138 |
| Other Taxes | 362 | 277 | 260 | 275 | 269 | 269 | 283 | 271 | 300 | 336 | 327 | 271 | 3,500 |
| Total Taxes | 8,665 | 3,719 | 7,500 | 4,408 | 4,203 | 8,207 | 3,925 | 2,872 | 8,247 | 9,649 | 5,262 | 7,905 | 74,562 |
| Abandoned Property | 0 | 0 | 0 | 0 | 5 | 55 | 15 | 135 | 20 | 35 | 85 | 305 | 655 |
| ABC License Fee | 6 | 6 | 5 | 6 | 6 | 6 | 6 | 5 | 5 | 4 | 5 | 5 | 65 |
| HGRA | 37 | 381 | 383 | 387 | 375 | 380 | 390 | 370 | 387 | 402 | 441 | 381 | 4,594 |
| Investment Income | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| Licenses, Fees, etc. | 42 | 55 | 65 | 35 | 55 | 75 | 40 | 45 | 75 | 35 | 60 | 43 | 625 |
| Lottery | 321 | 247 | 247 | 308 | 247 | 308 | 251 | 313 | 313 | 250 | 250 | 340 | 3,333 |
| Medicaid | 80 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 65 | 64 | 64 | 64 | 752 |
| Motor Vehicle Fees | 70 | 53 | 45 | 36 | 36 | 27 | 51 | 59 | 59 | 41 | 49 | 39 | 578 |
| Reimbursements | 4 | 14 | 45 | 5 | 20 | 45 | 5 | 15 | 20 | 10 | 15 | 16 | 269 |
| State University Income | 311 | 265 | 314 | 266 | 366 | 638 | 347 | 234 | 239 | 365 | 567 | 374 | 4,300 |
| Other Transactions | (437) | 2,796 | 1,385 | 418 | 375 | 1,116 | 384 | 358 | 1,042 | 1,811 | 150 | 2,330 | 10,308 |
| Total Miscellaneous Receipts | 714 | 3,902 | 2,554 | 1,538 | 1,550 | 2,738 | 1,558 | 1,505 | 2,310 | 1,387 | 1,688 | 3,869 | 25,313 |
| Federal Receipts | 0 | 0 | 0 | 0 | 2 | 37 | 0 | 0 | 0 | 0 | 2 | 38 | 79 |
| TOTAL RECEIPTS | 9,379 | 7,621 | 10,054 | 5,946 | 5,755 | 10,982 | 5,483 | 4,377 | 10,557 | 11,036 | 6,952 | 11,812 | 99,954 |
| DISBURSEMENTS: | | | | | | | | | | | | | |
| School Aid | 535 | 2,755 | 2,421 | 198 | 666 | 3,757 | 1,266 | 1,813 | 1,876 | 611 | 727 | 6,753 | 23,378 |
| Higher Education | 18 | 43 | 776 | 162 | 141 | 208 | 362 | 60 | 235 | 54 | 362 | 571 | 2,992 |
| All Other Education | 18 | 528 | 35 | 120 | 82 | 453 | 119 | 44 | 203 | 38 | 50 | 523 | 2,213 |
| STAR | 0 | 3 | 431 | 0 | 0 | 187 | 8 | 33 | 144 | 2,566 | 0 | 10 | 3,382 |
| Medicaid - DOH | 1,641 | 1,489 | 1,374 | 1,522 | 1,474 | 1,486 | 1,577 | 1,626 | 1,139 | 1,513 | 1,503 | 1,071 | 17,415 |
| Public Health | 35 | 238 | 120 | 222 | 205 | 104 | 104 | 74 | 151 | 155 | 224 | 298 | 1,911 |
| Mental Hygiene | 70 | 88 | 571 | 105 | 91 | 257 | 90 | 66 | 600 | 170 | 170 | 602 | 2,822 |
| Children and Families | 39 | 123 | 258 | 75 | 75 | 278 | 75 | 75 | 279 | 103 | 101 | 107 | 1,758 |
| Temporary & Disability Assistance | 93 | 106 | 154 | 106 | 96 | 96 | 106 | 96 | 96 | 106 | 97 | 107 | 1,259 |
| Transportation | 129 | 585 | 428 | 359 | 506 | 535 | 371 | 613 | 1,081 | 167 | 774 | 451 | 5,999 |
| Unrestricted Aid | 1 | 13 | 391 | 2 | 2 | 104 | 9 | 2 | 188 | 167 | 3 | 68 | 785 |
| All Other | 59 | 95 | 247 | 197 | 146 | 62 | 144 | 106 | 144 | 214 | 178 | 237 | 1,829 |
| Total Local Assistance Grants | 2,638 | 6,066 | 7,205 | 3,068 | 3,484 | 7,508 | 4,231 | 4,608 | 6,136 | 5,641 | 4,189 | 10,968 | 65,743 |
| Personal Service | 1,187 | 981 | 992 | 1,260 | 972 | 1,138 | 984 | 984 | 1,315 | 978 | 974 | 1,103 | 12,868 |
| Non-Personal Service | 291 | 445 | 494 | 402 | 484 | 474 | 485 | 485 | 482 | 459 | 479 | 632 | 5,620 |
| Total Departmental Operations | 1,478 | 1,426 | 1,486 | 1,662 | 1,456 | 1,458 | 1,631 | 1,469 | 1,797 | 1,437 | 1,453 | 1,735 | 18,488 |
| General State Charges | 650 | 835 | 500 | 1,757 | 427 | 369 | 526 | 466 | 490 | 467 | 396 | 451 | 7,334 |
| Debt Service | 166 | 263 | 164 | 74 | 314 | 733 | 93 | 144 | 369 | 74 | 550 | 2,178 | 5,122 |
| Capital Projects | 212 | 346 | 442 | 547 | 457 | 804 | 411 | 417 | 803 | 577 | 507 | 917 | 6,440 |
| TOTAL DISBURSEMENTS | 5,144 | 8,936 | 9,798 | 7,108 | 6,138 | 10,872 | 6,892 | 7,104 | 9,595 | 8,196 | 7,095 | 16,249 | 103,127 |
| OTHER FINANCING SOURCES (uses): | | | | | | | | | | | | | |
| Transfers from other funds | 4,551 | 2,564 | 2,425 | 2,646 | 1,880 | 3,441 | 2,361 | 1,930 | 3,074 | 3,004 | 2,582 | 6,527 | 36,985 |
| Transfers to other funds | (4,472) | (2,421) | (2,310) | (2,526) | (1,769) | (3,243) | (2,261) | (1,809) | (2,953) | (2,882) | (2,459) | (6,305) | (35,410) |
| Bond and note proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 685 |
| NET OTHER FINANCING SOURCES (USES) | 79 | 143 | 115 | 120 | 111 | 198 | 100 | 121 | 121 | 122 | 123 | 907 | 2,260 |
| Excess/(Deficiency) of Receipts over Disbursements | 4,314 | (1,172) | 371 | (1,042) | (272) | 308 | (1,309) | (2,606) | 1,083 | 2,962 | (20) | (3,530) | (913) |
| CLOSING BALANCE | 13,862 | 12,703 | 13,135 | 12,184 | 11,930 | 12,257 | 11,075 | 8,487 | 9,589 | 12,572 | 12,570 | 9,327 | 8,635 |
| Intra-Fund Transfers Adjustment | 13 | 61 | 91 | 18 | 19 | 127 | 18 | 19 | 21 | 18 | 287 | 85 | 777 |
| CLOSING BALANCE WITH INTRA-FUND TRANSFERS | 13,875 | 12,764 | 13,226 | 12,202 | 11,949 | 12,384 | 11,093 | 8,506 | 9,610 | 12,590 | 12,857 | 9,412 | 9,412 |

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2016
(millions of dollars)**

| | <u>Executive</u> | <u>Change</u> | <u>Enacted</u> |
|--|------------------|---------------|----------------|
| Opening Fund Balance | <u>0</u> | <u>14</u> | <u>14</u> |
| Receipts: | | | |
| Taxes | 915 | (4) | 911 |
| Miscellaneous receipts | 4,592 | 2 | 4,594 |
| Total Receipts | <u>5,507</u> | <u>(2)</u> | <u>5,505</u> |
| Disbursements: | | | |
| Medical Assistance Account | 3,578 | 23 | 3,601 |
| Hospital Indigent Care Fund | 792 | 0 | 792 |
| HCRA Program Account | 393 | 55 | 448 |
| Child Health Plus (CHP) | 358 | 3 | 361 |
| Elderly Pharmaceutical Insurance Coverage (EPIC) | 138 | 0 | 138 |
| SHIN-NY/APCD | 55 | 0 | 55 |
| New York State of Health (NYSOH) | 69 | (69) | 0 |
| All Other | 124 | 0 | 124 |
| Total Disbursements | <u>5,507</u> | <u>12</u> | <u>5,519</u> |
| Change in Fund Balance | <u>0</u> | <u>(14)</u> | <u>(14)</u> |
| Closing Fund Balance | <u>0</u> | <u>0</u> | <u>0</u> |

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2016 THROUGH FY 2019
(millions of dollars)**

| | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|----------------------|----------------------|----------------------|
| Opening Fund Balance | 14 | 0 | 0 | 0 |
| Receipts: | | | | |
| Taxes | 911 | 873 | 843 | 814 |
| Miscellaneous receipts | 4,594 | 4,655 | 4,708 | 4,768 |
| Total Receipts | 5,505 | 5,528 | 5,551 | 5,582 |
| Disbursements: | | | | |
| Medical Assistance Account | 3,601 | 3,684 | 3,731 | 3,730 |
| Hospital Indigent Care Fund | 792 | 792 | 792 | 792 |
| HCRA Program Account | 448 | 446 | 446 | 446 |
| Child Health Plus (CHP) | 361 | 300 | 315 | 332 |
| Elderly Pharmaceutical Insurance Coverage (EPIC) | 138 | 144 | 145 | 140 |
| SHIN-NY/APCD | 55 | 40 | 0 | 0 |
| All Other | 124 | 122 | 122 | 142 |
| Total Disbursements | 5,519 | 5,528 | 5,551 | 5,582 |
| Change in Fund Balance | (14) | 0 | 0 | 0 |
| Closing Fund Balance | 0 | 0 | 0 | 0 |

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2015 and FY 2016
(millions of dollars)**

| | FY 2015 Results | FY 2016 Enacted | Annual Change |
|--|--------------------|--------------------|------------------|
| Opening Fund Balance | 9 | 14 | 5 |
| Receipts: | | | |
| Taxes | 958 | 911 | (47) |
| Miscellaneous receipts | 4,499 | 4,594 | 95 |
| | 5,457 | 5,505 | 48 |
| Disbursements: | | | |
| Medical Assistance Account | 3,518 | 3,601 | 83 |
| Hospital Indigent Care Fund | 804 | 792 | (12) |
| HCRA Program Account | 452 | 448 | (4) |
| Child Health Plus (CHP) | 411 | 361 | (50) |
| Elderly Pharmaceutical Insurance Coverage (EPIC) | 134 | 138 | 4 |
| SHIN-NY/APCD | 31 | 55 | 24 |
| All Other | 102 | 124 | 22 |
| Total Disbursements | 5,452 | 5,519 | 67 |
| Change in Fund Balance | 5 | (14) | (19) |
| Closing Fund Balance | 14 | 0 | (14) |

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2015**
(dollars in millions)

| | April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | January Results | February Results | March Results | Total |
|--|---------------|-------------|--------------|--------------|----------------|-------------------|-----------------|------------------|------------------|-----------------|------------------|---------------|-------|
| Opening Fund Balance | 9 | 196 | 66 | 178 | 98 | 100 | 115 | 78 | 86 | 100 | 124 | 212 | 9 |
| Receipts: | | | | | | | | | | | | | |
| Taxes | 86 | 79 | 81 | 94 | 85 | 87 | 86 | 74 | 89 | 73 | 61 | 63 | 958 |
| Miscellaneous receipts | 333 | 376 | 359 | 416 | 352 | 371 | 386 | 357 | 399 | 398 | 385 | 367 | 4,499 |
| Total Receipts | 419 | 455 | 440 | 510 | 437 | 458 | 472 | 431 | 488 | 471 | 446 | 430 | 5,457 |
| Disbursements: | | | | | | | | | | | | | |
| Medical Assistance Account | 200 | 379 | 216 | 358 | 215 | 307 | 345 | 271 | 356 | 339 | 243 | 289 | 3,518 |
| Hospital Indigent Care Fund | 1 | 126 | 65 | 61 | 92 | 81 | 61 | 64 | 62 | 66 | 63 | 62 | 804 |
| HCRA Program Account | 2 | 49 | 2 | 131 | 28 | 3 | 63 | 46 | 7 | 7 | 7 | 97 | 452 |
| Child Health Plus (CHP) | 23 | 22 | 23 | 23 | 89 | 23 | 23 | 24 | 23 | 24 | 25 | 89 | 411 |
| Elderly Pharmaceutical Insurance Coverage (EPIC) | 4 | 7 | 11 | 16 | 8 | 18 | 16 | 6 | 14 | 10 | 10 | 14 | 134 |
| SHIN-NY/APCD | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 31 | 31 |
| All Other | 2 | 2 | 11 | 1 | 3 | 11 | 1 | 12 | 2 | 1 | 10 | 46 | 102 |
| Total Disbursements | 232 | 585 | 328 | 590 | 435 | 443 | 509 | 423 | 474 | 447 | 358 | 628 | 5,452 |
| Change in Fund Balance | 187 | (130) | 112 | (80) | 2 | 15 | (37) | 8 | 14 | 24 | 88 | (198) | 5 |
| Closing Fund Balance | 196 | 66 | 178 | 98 | 100 | 115 | 78 | 86 | 100 | 124 | 212 | 14 | 14 |

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2016**
(dollars in millions)

| | April Projected | May Projected | June Projected | July Projected | August Projected | September Projected | October Projected | November Projected | December Projected | January Projected | February Projected | March Projected | Total |
|--|-----------------|---------------|----------------|----------------|------------------|---------------------|-------------------|--------------------|--------------------|-------------------|--------------------|-----------------|-------|
| Opening Fund Balance | 14 | 41 | 122 | 119 | 117 | 115 | 113 | 112 | 109 | 107 | 105 | 104 | 14 |
| Receipts: | | | | | | | | | | | | | |
| Taxes | 83 | 75 | 77 | 89 | 81 | 83 | 82 | 70 | 85 | 69 | 58 | 59 | 911 |
| Miscellaneous receipts | 317 | 381 | 383 | 387 | 375 | 380 | 390 | 370 | 387 | 402 | 441 | 381 | 4,594 |
| Total Receipts | 400 | 456 | 460 | 476 | 456 | 463 | 472 | 440 | 472 | 471 | 499 | 440 | 5,505 |
| Disbursements: | | | | | | | | | | | | | |
| Medical Assistance Account | 240 | 245 | 331 | 244 | 239 | 340 | 332 | 342 | 330 | 298 | 384 | 276 | 3,601 |
| Hospital Indigent Care Fund | 90 | 67 | 63 | 63 | 63 | 63 | 63 | 63 | 63 | 63 | 63 | 68 | 792 |
| HCRA Program Account | 1 | 25 | 27 | 132 | 47 | 4 | 44 | 5 | 41 | 80 | 27 | 15 | 448 |
| Child Health Plus (CHP) | 22 | 24 | 25 | 23 | 94 | 24 | 17 | 17 | 17 | 17 | 17 | 64 | 361 |
| Elderly Pharmaceutical Insurance Coverage (EPIC) | 3 | 12 | 11 | 13 | 12 | 13 | 15 | 13 | 13 | 13 | 5 | 15 | 138 |
| SHIN-NY/APCD | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 55 | 55 |
| All Other | 17 | 2 | 6 | 3 | 3 | 21 | 2 | 3 | 10 | 2 | 4 | 51 | 124 |
| Total Disbursements | 373 | 375 | 463 | 478 | 458 | 465 | 473 | 443 | 474 | 473 | 500 | 544 | 5,519 |
| Change in Fund Balance | 27 | 81 | (3) | (2) | (2) | (2) | (1) | (3) | (2) | (2) | (1) | (104) | (14) |
| Closing Fund Balance | 41 | 122 | 119 | 117 | 115 | 113 | 112 | 109 | 107 | 105 | 104 | 0 | 0 |

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS**
(millions of dollars)

| | FY 2015 | | | FY 2016 | | | FY 2017 | | | FY 2018 | | | FY 2019 | | |
|--|------------------|--------------|-------------|------------------|--------------|-------------|------------------|--------------|-------------|------------------|--------------|-------------|------------------|--------------|-----------|
| | Internal Service | Enterprise | Fiduciary | Internal Service | Enterprise | Fiduciary |
| Opening Fund Balance | (73) | 64 | (117) | (196) | 52 | (89) | (200) | 52 | (66) | (206) | 52 | (43) | (205) | 52 | (20) |
| Receipts: | | | | | | | | | | | | | | | |
| Unemployment Taxes | 0 | 2,457 | 0 | 0 | 2,450 | 0 | 0 | 2,450 | 0 | 0 | 2,450 | 0 | 0 | 2,450 | 0 |
| Miscellaneous Receipts | 533 | 103 | 1 | 593 | 98 | 5 | 604 | 79 | 5 | 613 | 68 | 5 | 607 | 68 | 5 |
| Federal Receipts | 0 | 45 | 0 | 0 | 50 | 0 | 0 | 50 | 0 | 0 | 50 | 0 | 0 | 50 | 0 |
| Total Receipts | 533 | 2,605 | 1 | 593 | 2,598 | 5 | 604 | 2,579 | 5 | 613 | 2,568 | 5 | 607 | 2,568 | 5 |
| Disbursements: | | | | | | | | | | | | | | | |
| Local Assistance Grants | 0 | 0 | 0 | 8 | 0 | 0 | 8 | 0 | 0 | 8 | 0 | 0 | 8 | 0 | 0 |
| Departmental Operations: | | | | | | | | | | | | | | | |
| Personal Service | 86 | 6 | 0 | 101 | 7 | 0 | 102 | 7 | 0 | 102 | 7 | 0 | 102 | 7 | 0 |
| Non-Personal Service | 551 | 113 | 0 | 493 | 88 | 0 | 501 | 69 | 0 | 503 | 58 | 0 | 504 | 58 | 0 |
| Unemployment Benefits | 0 | 2,497 | 0 | 0 | 2,500 | 0 | 0 | 2,500 | 0 | 0 | 2,500 | 0 | 0 | 2,500 | 0 |
| General State Charges | 52 | 1 | 0 | 56 | 3 | 0 | 58 | 3 | 0 | 61 | 3 | 0 | 63 | 3 | 0 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Disbursements | 689 | 2,617 | 0 | 658 | 2,598 | 0 | 669 | 2,579 | 0 | 674 | 2,568 | 0 | 677 | 2,568 | 0 |
| Other Financing Sources (Uses): | | | | | | | | | | | | | | | |
| Transfers from Other Funds | 86 | 0 | 27 | 101 | 0 | 22 | 96 | 0 | 22 | 98 | 0 | 22 | 98 | 0 | 22 |
| Transfers to Other Funds | (53) | 0 | 0 | (40) | 0 | (4) | (37) | 0 | (4) | (36) | 0 | (4) | (28) | 0 | (4) |
| | 33 | 0 | 27 | 61 | 0 | 18 | 59 | 0 | 18 | 62 | 0 | 18 | 70 | 0 | 18 |
| Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements | (123) | (12) | 28 | (4) | 0 | 23 | (6) | 0 | 23 | 1 | 0 | 23 | 0 | 0 | 23 |
| Closing Fund Balance | (196) | 52 | (89) | (200) | 52 | (66) | (206) | 52 | (43) | (205) | 52 | (20) | (205) | 52 | 3 |

Workforce Impact Summary

General Fund 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Children and Family Services, Office of | 2,508 | 2,528 | 2,517 |
| Corrections and Community Supervision, Department of | 28,637 | 28,332 | 28,052 |
| Education Department, State | 274 | 265 | 279 |
| Environmental Conservation, Department of | 1,055 | 1,047 | 1,035 |
| General Services, Office of | 879 | 1,015 | 1,253 |
| Health, Department of | 1,480 | 1,499 | 1,637 |
| Information Technology Services, Office of | 3,669 | 3,573 | 3,556 |
| Labor, Department of | 1 | 0 | 1 |
| Parks, Recreation and Historic Preservation, Office of | 1,606 | 1,516 | 1,378 |
| State Police, Division of | 5,314 | 5,596 | 5,534 |
| Taxation and Finance, Department of | 4,230 | 4,342 | 3,658 |
| Temporary and Disability Assistance, Office of | 916 | 975 | 963 |
| Subtotal - Major Agencies | 50,569 | 50,688 | 49,863 |
| Minor Agencies | 3,085 | 3,252 | 3,483 |
| Subtotal - Subject to Direct Executive Control | 53,654 | 53,940 | 53,346 |
| Independently Elected Agencies | | | |
| Audit and Control, Department of | 1,316 | 1,341 | 1,413 |
| Law, Department of | 1,020 | 1,048 | 1,059 |
| Subtotal - Independently Elected Agencies | 2,336 | 2,389 | 2,472 |
| Grand Total | 55,990 | 56,329 | 55,818 |

Workforce Impact Summary

General Fund
2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Minor Agencies | | | |
| Adirondack Park Agency | 52 | 54 | 54 |
| Aging, Office for the | 18 | 18 | 12 |
| Agriculture and Markets, Department of | 382 | 384 | 359 |
| Alcoholism and Substance Abuse Services, Office of | 2 | 2 | 0 |
| Arts, Council on the | 25 | 24 | 30 |
| Budget, Division of the | 237 | 224 | 245 |
| Civil Service, Department of | 157 | 152 | 171 |
| Correction, Commission of | 28 | 28 | 32 |
| Criminal Justice Services, Division of | 384 | 378 | 399 |
| Economic Development, Department of | 140 | 141 | 157 |
| Elections, State Board of | 54 | 70 | 79 |
| Employee Relations, Office of | 23 | 22 | 26 |
| Executive Chamber | 134 | 129 | 136 |
| Gaming Commission, New York State | 0 | 0 | 60 |
| Homeland Security and Emergency Services, Division of | 78 | 0 | 0 |
| Housing and Community Renewal, Division of | 83 | 83 | 54 |
| Hudson River Valley Greenway Communities Council | 1 | 1 | 1 |
| Human Rights, Division of | 125 | 132 | 124 |
| Inspector General, Office of the | 63 | 66 | 71 |
| Judicial Conduct, Commission on | 46 | 45 | 50 |
| Justice Center for the Protection of People with Special Needs | 268 | 341 | 406 |
| Labor Management Committees | 72 | 65 | 77 |
| Lieutenant Governor, Office of the | 4 | 3 | 7 |
| Medicaid Inspector General, Office of the | 224 | 229 | 240 |
| Military and Naval Affairs, Division of | 151 | 142 | 139 |
| Prevention of Domestic Violence, Office for | 17 | 16 | 19 |
| Public Employment Relations Board | 27 | 29 | 33 |
| Public Ethics, Joint Commission on | 37 | 40 | 45 |
| State, Department of | 147 | 195 | 194 |
| Statewide Financial System | 0 | 130 | 139 |
| Tax Appeals, Division of | 25 | 25 | 27 |
| Veterans' Affairs, Division of | 79 | 78 | 90 |
| Welfare Inspector General, Office of | 2 | 6 | 7 |
| Subtotal - Minor Agencies | 3,085 | 3,252 | 3,483 |

Workforce Impact Summary

State Operating Funds 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Children and Family Services, Office of | 2,549 | 2,565 | 2,561 |
| Corrections and Community Supervision, Department of | 28,640 | 28,336 | 28,056 |
| Education Department, State | 1,266 | 1,243 | 1,293 |
| Environmental Conservation, Department of | 2,257 | 2,230 | 2,238 |
| Financial Services, Department of | 1,268 | 1,334 | 1,390 |
| General Services, Office of | 933 | 1,066 | 1,317 |
| Health, Department of | 3,673 | 3,720 | 3,919 |
| Information Technology Services, Office of | 3,669 | 3,573 | 3,556 |
| Labor, Department of | 398 | 391 | 508 |
| Mental Health, Office of | 14,545 | 14,519 | 14,508 |
| Motor Vehicles, Department of | 715 | 680 | 675 |
| Parks, Recreation and Historic Preservation, Office of | 1,620 | 1,608 | 1,559 |
| People with Developmental Disabilities, Office for | 19,259 | 18,517 | 18,637 |
| State Police, Division of | 5,386 | 5,667 | 5,612 |
| Taxation and Finance, Department of | 4,280 | 4,395 | 4,359 |
| Temporary and Disability Assistance, Office of | 916 | 975 | 963 |
| Transportation, Department of | 137 | 89 | 99 |
| Workers' Compensation Board | 1,145 | 1,130 | 1,204 |
| Subtotal - Major Agencies | 92,656 | 92,038 | 92,454 |
| Minor Agencies | 6,456 | 6,505 | 6,806 |
| Subtotal - Subject to Direct Executive Control | 99,112 | 98,543 | 99,260 |
| University Systems | | | |
| City University of New York | 264 | 261 | 336 |
| State University of New York | 43,325 | 43,692 | 43,575 |
| Subtotal - University Systems | 43,589 | 43,953 | 43,911 |
| Independently Elected Agencies | | | |
| Audit and Control, Department of | 1,495 | 1,513 | 1,572 |
| Law, Department of | 1,491 | 1,528 | 1,577 |
| Subtotal - Independently Elected Agencies | 2,986 | 3,041 | 3,149 |
| Grand Total | 145,687 | 145,537 | 146,320 |

Workforce Impact Summary

State Operating Funds 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Minor Agencies | | | |
| Adirondack Park Agency | 52 | 54 | 54 |
| Aging, Office for the | 18 | 18 | 12 |
| Agriculture and Markets, Department of | 435 | 426 | 399 |
| Alcoholic Beverage Control, Division of | 121 | 112 | 127 |
| Alcoholism and Substance Abuse Services, Office of | 765 | 750 | 741 |
| Arts, Council on the | 25 | 24 | 30 |
| Budget, Division of the | 258 | 239 | 261 |
| Civil Service, Department of | 161 | 156 | 176 |
| Correction, Commission of | 28 | 28 | 32 |
| Criminal Justice Services, Division of | 388 | 383 | 404 |
| Deferred Compensation Board | 4 | 3 | 4 |
| Economic Development, Department of | 142 | 142 | 159 |
| Elections, State Board of | 54 | 70 | 79 |
| Employee Relations, Office of | 23 | 22 | 26 |
| Executive Chamber | 134 | 129 | 136 |
| Financial Control Board, New York State | 13 | 12 | 13 |
| Gaming Commission, New York State | 369 | 376 | 405 |
| Higher Education Services Corporation, New York State | 298 | 267 | 276 |
| Homeland Security and Emergency Services, Division of | 256 | 277 | 305 |
| Housing and Community Renewal, Division of | 584 | 571 | 562 |
| Hudson River Valley Greenway Communities Council | 1 | 1 | 1 |
| Human Rights, Division of | 125 | 132 | 124 |
| Indigent Legal Services, Office of | 10 | 10 | 19 |
| Inspector General, Office of the | 63 | 66 | 71 |
| Interest on Lawyer Account | 8 | 8 | 8 |
| Judicial Conduct, Commission on | 46 | 45 | 50 |
| Justice Center for the Protection of People with Special Needs | 284 | 354 | 423 |
| Labor Management Committees | 72 | 65 | 77 |
| Lieutenant Governor, Office of the | 4 | 3 | 7 |
| Medicaid Inspector General, Office of the | 224 | 229 | 240 |
| Military and Naval Affairs, Division of | 156 | 146 | 143 |
| Prevention of Domestic Violence, Office for | 17 | 16 | 19 |
| Public Employment Relations Board | 27 | 29 | 33 |
| Public Ethics, Joint Commission on | 37 | 40 | 45 |
| Public Service Department | 494 | 517 | 500 |
| State, Department of | 494 | 497 | 528 |
| Statewide Financial System | 111 | 130 | 139 |
| Tax Appeals, Division of | 25 | 25 | 27 |
| Veterans' Affairs, Division of | 79 | 78 | 90 |
| Victim Services, Office of | 49 | 49 | 54 |
| Welfare Inspector General, Office of | 2 | 6 | 7 |
| Subtotal - Minor Agencies | 6,456 | 6,505 | 6,806 |

Workforce Impact Summary

State Funds
2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Children and Family Services, Office of | 2,566 | 2,607 | 2,653 |
| Corrections and Community Supervision, Department of | 28,946 | 28,640 | 28,376 |
| Education Department, State | 1,405 | 1,379 | 1,437 |
| Environmental Conservation, Department of | 2,618 | 2,583 | 2,631 |
| Financial Services, Department of | 1,268 | 1,334 | 1,390 |
| General Services, Office of | 1,449 | 1,588 | 1,848 |
| Health, Department of | 3,723 | 3,768 | 3,975 |
| Information Technology Services, Office of | 3,689 | 3,592 | 3,586 |
| Labor, Department of | 416 | 411 | 538 |
| Mental Health, Office of | 14,593 | 14,528 | 14,558 |
| Motor Vehicles, Department of | 2,220 | 2,137 | 2,142 |
| Parks, Recreation and Historic Preservation, Office of | 1,732 | 1,730 | 1,727 |
| People with Developmental Disabilities, Office for | 19,259 | 18,517 | 18,637 |
| State Police, Division of | 5,386 | 5,667 | 5,612 |
| Taxation and Finance, Department of | 4,280 | 4,395 | 4,359 |
| Temporary and Disability Assistance, Office of | 916 | 980 | 968 |
| Transportation, Department of | 8,474 | 8,500 | 8,231 |
| Workers' Compensation Board | 1,145 | 1,130 | 1,204 |
| Subtotal - Major Agencies | 104,085 | 103,486 | 103,872 |
| Minor Agencies | 6,674 | 6,709 | 7,045 |
| Subtotal - Subject to Direct Executive Control | 110,759 | 110,195 | 110,917 |
| University Systems | | | |
| City University of New York | 13,864 | 13,703 | 13,611 |
| State University Construction Fund | 146 | 145 | 152 |
| State University of New York | 43,325 | 43,692 | 43,575 |
| Subtotal - University Systems | 57,335 | 57,540 | 57,338 |
| Independently Elected Agencies | | | |
| Audit and Control, Department of | 2,493 | 2,521 | 2,628 |
| Law, Department of | 1,495 | 1,532 | 1,584 |
| Subtotal - Independently Elected Agencies | 3,988 | 4,053 | 4,212 |
| Grand Total | 172,082 | 171,788 | 172,467 |

Workforce Impact Summary

State Funds
2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Minor Agencies | | | |
| Adirondack Park Agency | 52 | 54 | 54 |
| Aging, Office for the | 18 | 18 | 12 |
| Agriculture and Markets, Department of | 479 | 467 | 447 |
| Alcoholic Beverage Control, Division of | 121 | 112 | 127 |
| Alcoholism and Substance Abuse Services, Office of | 765 | 750 | 741 |
| Arts, Council on the | 25 | 24 | 30 |
| Budget, Division of the | 258 | 239 | 261 |
| Civil Service, Department of | 318 | 305 | 347 |
| Correction, Commission of | 28 | 28 | 32 |
| Criminal Justice Services, Division of | 388 | 383 | 404 |
| Deferred Compensation Board | 4 | 3 | 4 |
| Economic Development, Department of | 142 | 142 | 159 |
| Elections, State Board of | 54 | 70 | 79 |
| Employee Relations, Office of | 30 | 28 | 37 |
| Executive Chamber | 134 | 129 | 136 |
| Financial Control Board, New York State | 13 | 12 | 13 |
| Gaming Commission, New York State | 369 | 376 | 405 |
| Higher Education Services Corporation, New York State | 298 | 267 | 276 |
| Homeland Security and Emergency Services, Division of | 256 | 277 | 305 |
| Housing and Community Renewal, Division of | 584 | 571 | 562 |
| Hudson River Valley Greenway Communities Council | 1 | 1 | 1 |
| Human Rights, Division of | 125 | 132 | 124 |
| Indigent Legal Services, Office of | 10 | 10 | 19 |
| Inspector General, Office of the | 63 | 66 | 71 |
| Interest on Lawyer Account | 8 | 8 | 8 |
| Judicial Conduct, Commission on | 46 | 45 | 50 |
| Justice Center for the Protection of People with Special Needs | 284 | 354 | 423 |
| Labor Management Committees | 72 | 65 | 77 |
| Lieutenant Governor, Office of the | 4 | 3 | 7 |
| Medicaid Inspector General, Office of the | 224 | 229 | 240 |
| Military and Naval Affairs, Division of | 156 | 146 | 143 |
| Prevention of Domestic Violence, Office for | 27 | 24 | 28 |
| Public Employment Relations Board | 27 | 29 | 33 |
| Public Ethics, Joint Commission on | 37 | 40 | 45 |
| Public Service Department | 494 | 517 | 500 |
| State, Department of | 494 | 497 | 528 |
| Statewide Financial System | 111 | 130 | 139 |
| Tax Appeals, Division of | 25 | 25 | 27 |
| Veterans' Affairs, Division of | 79 | 78 | 90 |
| Victim Services, Office of | 49 | 49 | 54 |
| Welfare Inspector General, Office of | 2 | 6 | 7 |
| Subtotal - Minor Agencies | 6,674 | 6,709 | 7,045 |

Workforce Impact Summary

All Funds

2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Children and Family Services, Office of | 2,951 | 2,986 | 3,059 |
| Corrections and Community Supervision, Department of | 28,975 | 28,673 | 29,022 |
| Education Department, State | 2,611 | 2,643 | 2,692 |
| Environmental Conservation, Department of | 2,917 | 2,869 | 2,946 |
| Financial Services, Department of | 1,271 | 1,334 | 1,393 |
| General Services, Office of | 1,449 | 1,588 | 1,848 |
| Health, Department of | 4,701 | 4,839 | 5,113 |
| Information Technology Services, Office of | 3,689 | 3,592 | 3,586 |
| Labor, Department of | 3,417 | 3,111 | 3,120 |
| Mental Health, Office of | 14,593 | 14,528 | 14,569 |
| Motor Vehicles, Department of | 2,237 | 2,153 | 2,159 |
| Parks, Recreation and Historic Preservation, Office of | 1,748 | 1,747 | 1,746 |
| People with Developmental Disabilities, Office for | 19,271 | 18,528 | 18,655 |
| State Police, Division of | 5,386 | 5,667 | 5,612 |
| Taxation and Finance, Department of | 4,280 | 4,395 | 4,359 |
| Temporary and Disability Assistance, Office of | 1,790 | 1,946 | 1,968 |
| Transportation, Department of | 8,540 | 8,559 | 8,304 |
| Workers' Compensation Board | 1,145 | 1,130 | 1,204 |
| Subtotal - Major Agencies | 110,971 | 110,288 | 111,355 |
| Minor Agencies | 7,521 | 7,519 | 7,994 |
| Subtotal - Subject to Direct Executive Control | 118,492 | 117,807 | 119,349 |
| University Systems | | | |
| City University of New York | 13,864 | 13,703 | 13,611 |
| State University Construction Fund | 146 | 145 | 152 |
| State University of New York | 43,326 | 43,692 | 43,576 |
| Subtotal - University Systems | 57,336 | 57,540 | 57,339 |
| Independently Elected Agencies | | | |
| Audit and Control, Department of | 2,498 | 2,526 | 2,633 |
| Law, Department of | 1,715 | 1,747 | 1,833 |
| Subtotal - Independently Elected Agencies | 4,213 | 4,273 | 4,466 |
| Grand Total | 180,041 | 179,620 | 181,154 |

Workforce Impact Summary

All Funds

2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Minor Agencies | | | |
| Adirondack Park Agency | 52 | 54 | 54 |
| Aging, Office for the | 88 | 93 | 95 |
| Agriculture and Markets, Department of | 483 | 475 | 475 |
| Alcoholic Beverage Control, Division of | 121 | 112 | 127 |
| Alcoholism and Substance Abuse Services, Office of | 765 | 750 | 741 |
| Arts, Council on the | 25 | 24 | 30 |
| Budget, Division of the | 258 | 239 | 261 |
| Civil Service, Department of | 318 | 305 | 347 |
| Correction, Commission of | 28 | 28 | 32 |
| Criminal Justice Services, Division of | 417 | 413 | 436 |
| Deferred Compensation Board | 4 | 3 | 4 |
| Economic Development, Department of | 142 | 142 | 159 |
| Elections, State Board of | 54 | 70 | 80 |
| Employee Relations, Office of | 30 | 28 | 37 |
| Executive Chamber | 134 | 129 | 136 |
| Financial Control Board, New York State | 13 | 12 | 13 |
| Gaming Commission, New York State | 369 | 376 | 405 |
| Higher Education Services Corporation, New York State | 298 | 267 | 276 |
| Homeland Security and Emergency Services, Division of | 377 | 406 | 444 |
| Housing and Community Renewal, Division of | 685 | 666 | 683 |
| Hudson River Valley Greenway Communities Council | 1 | 1 | 1 |
| Human Rights, Division of | 154 | 148 | 164 |
| Indigent Legal Services, Office of | 10 | 10 | 19 |
| Inspector General, Office of the | 63 | 66 | 71 |
| Interest on Lawyer Account | 8 | 8 | 8 |
| Judicial Conduct, Commission on | 46 | 45 | 50 |
| Justice Center for the Protection of People with Special Needs | 284 | 354 | 429 |
| Labor Management Committees | 72 | 65 | 77 |
| Lieutenant Governor, Office of the | 4 | 3 | 7 |
| Medicaid Inspector General, Office of the | 448 | 457 | 479 |
| Military and Naval Affairs, Division of | 357 | 338 | 344 |
| Prevention of Domestic Violence, Office for | 27 | 24 | 28 |
| Public Employment Relations Board | 27 | 29 | 33 |
| Public Ethics, Joint Commission on | 37 | 40 | 45 |
| Public Service Department | 494 | 517 | 515 |
| State, Department of | 536 | 512 | 544 |
| Statewide Financial System | 111 | 130 | 139 |
| Tax Appeals, Division of | 25 | 25 | 27 |
| Veterans' Affairs, Division of | 85 | 84 | 98 |
| Victim Services, Office of | 69 | 65 | 74 |
| Welfare Inspector General, Office of | 2 | 6 | 7 |
| Subtotal - Minor Agencies | 7,521 | 7,519 | 7,994 |

Workforce Impact Summary

Special Revenue Funds - Other 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Children and Family Services, Office of | 41 | 37 | 44 |
| Corrections and Community Supervision, Department of | 3 | 4 | 4 |
| Education Department, State | 992 | 978 | 1,014 |
| Environmental Conservation, Department of | 1,202 | 1,183 | 1,203 |
| Financial Services, Department of | 1,268 | 1,334 | 1,390 |
| General Services, Office of | 54 | 51 | 64 |
| Health, Department of | 2,193 | 2,221 | 2,282 |
| Labor, Department of | 397 | 391 | 507 |
| Mental Health, Office of | 14,545 | 14,519 | 14,508 |
| Motor Vehicles, Department of | 715 | 680 | 675 |
| Parks, Recreation and Historic Preservation, Office of | 14 | 92 | 181 |
| People with Developmental Disabilities, Office for | 19,259 | 18,517 | 18,637 |
| State Police, Division of | 72 | 71 | 78 |
| Taxation and Finance, Department of | 50 | 53 | 701 |
| Transportation, Department of | 137 | 89 | 99 |
| Workers' Compensation Board | 1,145 | 1,130 | 1,204 |
| Subtotal - Major Agencies | 42,087 | 41,350 | 42,591 |
| Minor Agencies | 3,371 | 3,253 | 3,323 |
| Subtotal - Subject to Direct Executive Control | 45,458 | 44,603 | 45,914 |
| University Systems | | | |
| City University of New York | 264 | 261 | 336 |
| State University of New York | 43,325 | 43,692 | 43,575 |
| Subtotal - University Systems | 43,589 | 43,953 | 43,911 |
| Independently Elected Agencies | | | |
| Audit and Control, Department of | 179 | 172 | 159 |
| Law, Department of | 471 | 480 | 518 |
| Subtotal - Independently Elected Agencies | 650 | 652 | 677 |
| Grand Total | 89,697 | 89,208 | 90,502 |

Workforce Impact Summary

Special Revenue Funds - Other 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Minor Agencies | | | |
| Agriculture and Markets, Department of | 53 | 42 | 40 |
| Alcoholic Beverage Control, Division of | 121 | 112 | 127 |
| Alcoholism and Substance Abuse Services, Office of | 763 | 748 | 741 |
| Budget, Division of the | 21 | 15 | 16 |
| Civil Service, Department of | 4 | 4 | 5 |
| Criminal Justice Services, Division of | 4 | 5 | 5 |
| Deferred Compensation Board | 4 | 3 | 4 |
| Economic Development, Department of | 2 | 1 | 2 |
| Financial Control Board, New York State | 13 | 12 | 13 |
| Gaming Commission, New York State | 369 | 376 | 345 |
| Higher Education Services Corporation, New York State | 298 | 267 | 276 |
| Homeland Security and Emergency Services, Division of | 178 | 277 | 305 |
| Housing and Community Renewal, Division of | 501 | 488 | 508 |
| Indigent Legal Services, Office of | 10 | 10 | 19 |
| Interest on Lawyer Account | 8 | 8 | 8 |
| Justice Center for the Protection of People with Special Needs | 16 | 13 | 17 |
| Military and Naval Affairs, Division of | 5 | 4 | 4 |
| Public Service Department | 494 | 517 | 500 |
| State, Department of | 347 | 302 | 334 |
| Statewide Financial System | 111 | 0 | 0 |
| Victim Services, Office of | 49 | 49 | 54 |
| Subtotal - Minor Agencies | 3,371 | 3,253 | 3,323 |

Workforce Impact Summary

Special Revenue Funds - Federal 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Children and Family Services, Office of | 385 | 379 | 406 |
| Corrections and Community Supervision, Department of | 29 | 33 | 646 |
| Education Department, State | 1,206 | 1,264 | 1,255 |
| Environmental Conservation, Department of | 292 | 278 | 308 |
| Financial Services, Department of | 3 | 0 | 3 |
| Health, Department of | 978 | 1,071 | 1,138 |
| Labor, Department of | 3,001 | 2,700 | 2,582 |
| Mental Health, Office of | 0 | 0 | 11 |
| Motor Vehicles, Department of | 17 | 16 | 17 |
| Parks, Recreation and Historic Preservation, Office of | 16 | 17 | 19 |
| People with Developmental Disabilities, Office for | 12 | 11 | 18 |
| Temporary and Disability Assistance, Office of | 874 | 966 | 1,000 |
| Transportation, Department of | 66 | 59 | 73 |
| Subtotal - Major Agencies | 6,879 | 6,794 | 7,476 |
| Minor Agencies | | | |
| Aging, Office for the | 70 | 75 | 83 |
| Agriculture and Markets, Department of | 4 | 8 | 28 |
| Criminal Justice Services, Division of | 29 | 30 | 32 |
| Elections, State Board of | 0 | 0 | 1 |
| Homeland Security and Emergency Services, Division of | 121 | 129 | 139 |
| Housing and Community Renewal, Division of | 101 | 95 | 101 |
| Human Rights, Division of | 29 | 16 | 40 |
| Justice Center for the Protection of People with Special Needs | 0 | 0 | 6 |
| Medicaid Inspector General, Office of the | 224 | 228 | 239 |
| Military and Naval Affairs, Division of | 201 | 192 | 201 |
| Public Service Department | 0 | 0 | 15 |
| State, Department of | 42 | 15 | 16 |
| Veterans' Affairs, Division of | 6 | 6 | 8 |
| Victim Services, Office of | 20 | 16 | 20 |
| Subtotal - Minor Agencies | 847 | 810 | 929 |
| Subtotal - Subject to Direct Executive Control | 7,726 | 7,604 | 8,405 |
| University Systems | | | |
| State University of New York | 1 | 0 | 1 |
| Subtotal - University Systems | 1 | 0 | 1 |
| Independently Elected Agencies | | | |
| Audit and Control, Department of | 5 | 5 | 5 |
| Law, Department of | 220 | 215 | 249 |
| Subtotal - Independently Elected Agencies | 225 | 220 | 254 |
| Grand Total | 7,952 | 7,824 | 8,660 |

Workforce Impact Summary

Capital Projects Funds - Other 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Children and Family Services, Office of | 4 | 5 | 4 |
| Corrections and Community Supervision, Department of | 25 | 27 | 30 |
| Environmental Conservation, Department of | 361 | 353 | 393 |
| Health, Department of | 50 | 48 | 56 |
| Mental Health, Office of | 35 | 0 | 32 |
| Motor Vehicles, Department of | 1,505 | 1,457 | 1,467 |
| Parks, Recreation and Historic Preservation, Office of | 112 | 122 | 168 |
| Temporary and Disability Assistance, Office of | 0 | 5 | 5 |
| Transportation, Department of | 8,337 | 8,411 | 8,132 |
| Subtotal - Major Agencies | 10,429 | 10,428 | 10,287 |
| Subtotal - Subject to Direct Executive Control | 10,429 | 10,428 | 10,287 |
| University Systems | | | |
| State University Construction Fund | 146 | 145 | 152 |
| Subtotal - University Systems | 146 | 145 | 152 |
| Independently Elected Agencies | | | |
| Law, Department of | 4 | 4 | 7 |
| Subtotal - Independently Elected Agencies | 4 | 4 | 7 |
| Grand Total | 10,579 | 10,577 | 10,446 |

Workforce Impact Summary

Capital Projects Funds - Federal 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|---|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Environmental Conservation, Department of | 7 | 8 | 7 |
| Subtotal - Major Agencies | 7 | 8 | 7 |
| Minor Agencies | | | |
| Housing and Community Renewal, Division of | 0 | 0 | 20 |
| Subtotal - Minor Agencies | 0 | 0 | 20 |
| Subtotal - Subject to Direct Executive Control | 7 | 8 | 27 |
| Grand Total | 7 | 8 | 27 |

Workforce Impact Summary

Enterprise Funds 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|---|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Corrections and Community Supervision, Department of | 7 | 6 | 10 |
| General Services, Office of | 7 | 10 | 11 |
| Mental Health, Office of | 0 | 0 | 5 |
| Subtotal - Major Agencies | 14 | 16 | 26 |
| Minor Agencies | | | |
| Agriculture and Markets, Department of | 42 | 38 | 45 |
| Subtotal - Minor Agencies | 42 | 38 | 45 |
| Subtotal - Subject to Direct Executive Control | 56 | 54 | 71 |
| Grand Total | 56 | 54 | 71 |

Workforce Impact Summary

Internal Service Funds 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|---|----------------------------------|----------------------------------|-----------------------------------|
| Major Agencies | | | |
| Children and Family Services, Office of | 13 | 37 | 88 |
| Corrections and Community Supervision, Department of | 274 | 271 | 280 |
| Education Department, State | 139 | 136 | 144 |
| General Services, Office of | 509 | 512 | 520 |
| Information Technology Services, Office of | 20 | 19 | 30 |
| Labor, Department of | 18 | 20 | 30 |
| Mental Health, Office of | 13 | 9 | 13 |
| Subtotal - Major Agencies | 986 | 1,004 | 1,105 |
| Minor Agencies | | | |
| Civil Service, Department of | 157 | 149 | 171 |
| Employee Relations, Office of | 7 | 6 | 11 |
| Prevention of Domestic Violence, Office for | 10 | 8 | 9 |
| Subtotal - Minor Agencies | 174 | 163 | 191 |
| Subtotal - Subject to Direct Executive Control | 1,160 | 1,167 | 1,296 |
| Independently Elected Agencies | | | |
| Audit and Control, Department of | 78 | 79 | 42 |
| Subtotal - Independently Elected Agencies | 78 | 79 | 42 |
| Grand Total | 1,238 | 1,246 | 1,338 |

Workforce Impact Summary

Agency Trust Funds 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--------------------------------------|----------------------------------|----------------------------------|-----------------------------------|
| University Systems | | | |
| City University of New York | 13,600 | 13,442 | 13,275 |
| Subtotal - University Systems | 13,600 | 13,442 | 13,275 |
| Grand Total | 13,600 | 13,442 | 13,275 |

Workforce Impact Summary

Pension Trust Funds 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| Independently Elected Agencies | | | |
| Audit and Control, Department of | 920 | 929 | 1,014 |
| Subtotal - Independently Elected Agencies | 920 | 929 | 1,014 |
| Grand Total | 920 | 929 | 1,014 |

Workforce Impact Summary

Private Purpose Trust Funds 2013-14 Through 2015-16

| | 2013-14 Actuals (03/31/14) | 2014-15 Actuals (03/31/15) | 2015-16 Estimate (03/31/16) |
|---|----------------------------------|----------------------------------|-----------------------------------|
| Minor Agencies | | | |
| Agriculture and Markets, Department of | 2 | 3 | 3 |
| Subtotal - Minor Agencies | 2 | 3 | 3 |
| Subtotal - Subject to Direct Executive Control | 2 | 3 | 3 |
| Grand Total | 2 | 3 | 3 |

| Impact of 2015-16 Enacted Budget on Local Governments Local Fiscal Year Ending in 2015 (\$ in Millions) | | | | | | |
|---|--------------|--------------|------------------|--------------|--------------|------------------|
| | Total | NYC | School Districts | Counties | Other Cities | Towns & Villages |
| Revenue Actions | (4.4) | (0.2) | 0.0 | (3.6) | (0.3) | (0.3) |
| - Sales Tax Exemptions | (6.2) | (1.0) | 0.0 | (3.6) | (0.3) | (0.3) |
| - Extend Warrantless Wage Garnishment | 0.8 | 0.8 | 0.0 | 0.0 | 0.0 | 0.0 |
| Human Services | 75.7 | (1.2) | 0.0 | 76.9 | 0.0 | 0.0 |
| - Cap Youth Facility Chargeback Costs* | 80.0 | 0.0 | 0.0 | 80.0 | 0.0 | 0.0 |
| - Modify Foster Care Human Services COLA Funding | (4.3) | (1.2) | 0.0 | (3.1) | 0.0 | 0.0 |
| - Adjust NYC Emergency Assistance to Families Reimbursement | (3.8) | (3.8) | 0.0 | 0.0 | 0.0 | 0.0 |
| - Establish a NYC Homelessness Prevention Pilot Program | 3.8 | 3.8 | 0.0 | 0.0 | 0.0 | 0.0 |
| Transportation | 38.4 | 3.4 | 0.0 | 17.8 | 2.3 | 14.9 |
| - Increase NYC/Downstate Transit Aid | 8.2 | 1.7 | 0.0 | 6.5 | 0.0 | 0.0 |
| - Increase Highway Assistance for Extreme Winter Recovery | 30.2 | 1.7 | 0.0 | 11.3 | 2.3 | 14.9 |
| Municipal Aid | 9.8 | 0.0 | 0.0 | 9.4 | 0.3 | 0.1 |
| - Provide Interim Financial Assistance to Seneca, Cayuga, and Franklin Counties | 0.8 | 0.0 | 0.0 | 0.8 | 0.0 | 0.0 |
| - Restore Village Per Capita Aid | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| - Provide Aid to Onondaga County for a School Discipline Project | 2.0 | 0.0 | 0.0 | 2.0 | 0.0 | 0.0 |
| - Provide Aid to Certain Municipalities through Misc. Financial Assistance | 0.4 | 0.0 | 0.0 | 0.0 | 0.3 | 0.1 |
| - Provide Aid to Nassau County | 2.0 | 0.0 | 0.0 | 2.0 | 0.0 | 0.0 |
| - Provide Aid to Suffolk County | 2.0 | 0.0 | 0.0 | 2.0 | 0.0 | 0.0 |
| - Provide Assistance to Dutchess County through DOS | 2.6 | 0.0 | 0.0 | 2.6 | 0.0 | 0.0 |
| Public Protection | 1.1 | (0.2) | 0.0 | 1.3 | 0.0 | 0.0 |
| - Reduce NYC Drug Diversion Program Spending | (0.2) | (0.2) | 0.0 | 0.0 | 0.0 | 0.0 |
| - Provide Aid for the Westchester County Policing Program | 0.2 | 0.0 | 0.0 | 0.2 | 0.0 | 0.0 |
| - Provide Aid for the Dutchess County Coordinated Jail Based Services | 1.1 | 0.0 | 0.0 | 1.1 | 0.0 | 0.0 |
| Environment | 1.2 | 0.0 | 0.0 | 0.2 | 0.0 | 1.0 |
| - Provide Assistance to Certain Municipalities through DEC | 1.2 | 0.0 | 0.0 | 0.2 | 0.0 | 1.0 |
| Total 2015-16 Enacted Budget Actions | 121.8 | 1.8 | 0.0 | 102.0 | 2.3 | 15.7 |

*This does not reflect the \$220 million in savings for New York City through SFY 2018-19 from this proposal, which would be reinvested in homeless assistance programs and services.

Note: Due to the inability to determine the precise impacts by class of government as well as the anticipated timing by fiscal year, these totals do not include the following actions affecting local governments: up to \$200 million for local water infrastructure assistance, up to \$150 million in settlement funds for municipal restructuring, a potential \$10 million benefit from auditing NYSHP dependent eligibility, and \$5 million in grants for local water fluoridation systems.

| Impact of 2015-16 Enacted Budget on Local Governments Local Fiscal Year Ending in 2016 (\$ in Millions) | | | | | | |
|---|----------------|--------------|------------------|--------------|--------------|------------------|
| | Total | NYC | School Districts | Counties | Other Cities | Towns & Villages |
| School Aid/Education | 1,306.0 | 905.0 | 800.0 | 0.0 | 0.0 | 0.0 |
| - Increase School Aid | 1,306.0 | 905.0 | 800.0 | 0.0 | 0.0 | 0.0 |
| Revenue Actions | (0.5) | 5.5 | (0.2) | (4.8) | (0.5) | (0.5) |
| - Sales Tax Exemptions | (10.0) | (4.0) | (0.2) | (4.8) | (0.5) | (0.5) |
| - Extend Limitation on Itemized Deductions | 6.5 | 6.5 | 0.0 | 0.0 | 0.0 | 0.0 |
| - Extend Warrantless Wage Garnishment | 3.0 | 3.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Human Services | 24.9 | (5.8) | 0.0 | 30.7 | 0.0 | 0.0 |
| - Cap Youth Facility Chargeback Costs* | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| - Modify Foster Care Human Services COLA Funding | (12.9) | (5.8) | 0.0 | (7.1) | 0.0 | 0.0 |
| - Adjust NYC Emergency Assistance to Families Reimbursement | (15.0) | (15.0) | 0.0 | 0.0 | 0.0 | 0.0 |
| - Establish a NYC Homelessness Prevention Pilot Program | 15.0 | 15.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Transportation | 38.3 | 12.1 | 0.0 | 12.5 | 5.3 | 8.4 |
| - Increase NYC/Downstate Transit Aid | 15.6 | 6.9 | 0.0 | 8.7 | 0.0 | 0.0 |
| - Create Buffalo Traffic Violations Bureau | 3.0 | 0.0 | 0.0 | 3.0 | 0.0 | 0.0 |
| - Increase Highway Assistance for Extreme Winter Recovery | 19.7 | 5.2 | 0.0 | 3.8 | 2.3 | 8.4 |
| Municipal Aid | 4.0 | 0.0 | 0.0 | 0.9 | 2.3 | 0.8 |
| - Restore Village Per Capita Aid | 0.5 | 0.0 | 0.0 | 0.0 | 0.0 | 0.5 |
| - Provide Aid to Certain Municipalities through Misc. Financial Assistance | 0.6 | 0.0 | 0.0 | 0.0 | 0.3 | 0.3 |
| - Provide Aid to the City of Rochester | 2.0 | 0.0 | 0.0 | 0.0 | 2.0 | 0.0 |
| - Provide Assistance to Dutchess County through DOS | 0.9 | 0.0 | 0.0 | 0.9 | 0.0 | 0.0 |
| Public Protection | (0.1) | (0.6) | 0.0 | 0.5 | 0.0 | 0.0 |
| - Reduce NYC Drug Diversion Program Spending | (0.6) | (0.6) | 0.0 | 0.0 | 0.0 | 0.0 |
| - Provide Aid for the Westchester County Policing Program | 0.1 | 0.0 | 0.0 | 0.1 | 0.0 | 0.0 |
| - Provide Aid for the Dutchess County Coordinated Jail Based Services | 0.4 | 0.0 | 0.0 | 0.4 | 0.0 | 0.0 |
| Environment | 0.6 | 0.0 | 0.0 | 0.1 | 0.0 | 0.5 |
| - Provide Assistance to Certain Municipalities through DEC | 0.6 | 0.0 | 0.0 | 0.1 | 0.0 | 0.5 |
| Subtotal | 1,372.2 | 916.2 | 799.8 | 39.9 | 7.1 | 9.2 |
| - School Aid - New Competitive Grants | 47.0 | TBD | TBD | 0.0 | 0.0 | 0.0 |
| - School Aid - Persistently Failing Schools | 37.5 | TBD | TBD | 0.0 | 0.0 | 0.0 |
| Total 2015-16 Enacted Budget Actions | 1,456.7 | 916.2 | 799.8 | 39.9 | 7.1 | 9.2 |

*This does not reflect the \$220 million in savings for New York City through SFY 2018-19 from this proposal, which would be reinvested in homeless assistance programs and services.

Note: Due to the inability to determine the precise impacts by class of government as well as the anticipated timing by fiscal year, these totals do not include the following actions affecting local governments: up to \$200 million for local water infrastructure assistance, up to \$150 million in settlement funds for municipal restructuring, a potential \$10 million benefit from auditing NYSHP dependent eligibility, and \$5 million in grants for local water fluoridation systems.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| <i>Agriculture and Markets, Department of</i> | 58,495 | 68,058 | 58,708 | 58,708 | 58,708 |
| Local Assistance Grants | 25,275 | 35,197 | 27,321 | 27,321 | 27,321 |
| State Operations | 33,220 | 32,861 | 31,387 | 31,387 | 31,387 |
| Personal Service | 25,828 | 26,236 | 25,662 | 25,662 | 25,662 |
| Non-Personal Service | 7,392 | 6,625 | 5,725 | 5,725 | 5,725 |
| <i>Economic Development, Department of</i> | 54,489 | 69,894 | 71,857 | 76,057 | 76,057 |
| Local Assistance Grants | 36,404 | 49,716 | 51,879 | 56,879 | 56,879 |
| State Operations | 18,085 | 20,178 | 19,978 | 19,178 | 19,178 |
| Personal Service | 11,493 | 13,526 | 13,526 | 13,526 | 13,526 |
| Non-Personal Service | 6,592 | 6,652 | 6,452 | 5,652 | 5,652 |
| <i>Empire State Development Corporation</i> | 85,284 | 90,924 | 135,946 | 135,946 | 135,946 |
| Local Assistance Grants | 85,234 | 90,074 | 135,096 | 135,096 | 135,096 |
| State Operations | 50 | 850 | 850 | 850 | 850 |
| Personal Service | 0 | 425 | 425 | 425 | 425 |
| Non-Personal Service | 50 | 425 | 425 | 425 | 425 |
| <i>Olympic Regional Development Authority</i> | 3,011 | 3,011 | 3,011 | 3,011 | 3,011 |
| State Operations | 3,011 | 3,011 | 3,011 | 3,011 | 3,011 |
| Personal Service | 2,548 | 2,548 | 2,548 | 2,548 | 2,548 |
| Non-Personal Service | 463 | 463 | 463 | 463 | 463 |
| Functional Total | 201,279 | 231,887 | 269,522 | 273,722 | 273,722 |
| PARKS AND THE ENVIRONMENT | | | | | |
| <i>Adirondack Park Agency</i> | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| State Operations | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| Personal Service | 3,893 | 4,027 | 4,027 | 4,027 | 4,027 |
| Non-Personal Service | 383 | 305 | 305 | 305 | 305 |
| <i>Environmental Conservation, Department of</i> | 94,818 | 109,208 | 98,898 | 99,002 | 104,878 |
| Local Assistance Grants | 2,889 | 15,225 | 5,200 | 5,200 | 5,200 |
| State Operations | 91,929 | 93,983 | 93,698 | 93,802 | 99,678 |
| Personal Service | 82,168 | 84,595 | 84,445 | 84,549 | 84,549 |
| Non-Personal Service | 9,761 | 9,388 | 9,253 | 9,253 | 15,129 |
| <i>Parks, Recreation and Historic Preservation, Office of</i> | 114,000 | 114,994 | 113,914 | 114,089 | 114,089 |
| Local Assistance Grants | 3,957 | 3,655 | 2,575 | 2,750 | 2,750 |
| State Operations | 110,043 | 111,339 | 111,339 | 111,339 | 111,339 |
| Personal Service | 105,054 | 103,734 | 103,734 | 103,734 | 103,734 |
| Non-Personal Service | 4,989 | 7,605 | 7,605 | 7,605 | 7,605 |
| Functional Total | 213,094 | 228,534 | 217,144 | 217,423 | 223,299 |
| TRANSPORTATION | | | | | |
| <i>Thruway Authority, New York State</i> | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| State Operations | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Non-Personal Service | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| <i>Transportation, Department of</i> | 98,752 | 114,304 | 98,720 | 98,720 | 98,720 |
| Local Assistance Grants | 97,670 | 113,151 | 97,551 | 97,551 | 97,551 |
| State Operations | 1,082 | 1,153 | 1,169 | 1,169 | 1,169 |
| Non-Personal Service | 1,082 | 1,153 | 1,169 | 1,169 | 1,169 |
| Functional Total | 117,093 | 135,804 | 120,220 | 120,220 | 120,220 |
| HEALTH | | | | | |
| <i>Aging, Office for the</i> | 123,306 | 131,882 | 132,204 | 137,277 | 142,477 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Local Assistance Grants | 121,870 | 130,576 | 131,346 | 136,419 | 141,619 |
| State Operations | 1,436 | 1,306 | 858 | 858 | 858 |
| Personal Service | 1,256 | 1,125 | 677 | 677 | 677 |
| Non-Personal Service | 180 | 181 | 181 | 181 | 181 |
| Health, Department of | 12,744,699 | 13,434,601 | 14,139,136 | 14,856,720 | 15,605,340 |
| Medical Assistance | 11,161,361 | 11,709,173 | 11,917,839 | 12,627,381 | 13,349,117 |
| Local Assistance Grants | 11,161,361 | 11,709,173 | 11,917,839 | 12,627,381 | 13,349,117 |
| Basic Health Plan | 0 | 169,961 | 642,739 | 649,142 | 665,934 |
| Local Assistance Grants | 0 | 134,250 | 601,095 | 620,330 | 638,940 |
| State Operations | 0 | 35,711 | 41,644 | 28,812 | 26,994 |
| Personal Service | 0 | 683 | 804 | 534 | 488 |
| Non-Personal Service | 0 | 35,028 | 40,840 | 28,278 | 26,506 |
| Medicaid Administration | 677,990 | 676,497 | 682,597 | 670,297 | 667,997 |
| Local Assistance Grants | 515,184 | 386,431 | 374,411 | 374,411 | 374,411 |
| State Operations | 162,806 | 290,066 | 308,186 | 295,886 | 293,586 |
| Personal Service | 29,326 | 44,350 | 66,267 | 72,208 | 77,403 |
| Non-Personal Service | 133,480 | 245,716 | 241,919 | 223,678 | 216,183 |
| Public Health | 905,348 | 878,970 | 895,961 | 909,900 | 922,292 |
| Local Assistance Grants | 741,787 | 732,907 | 751,891 | 765,730 | 778,122 |
| State Operations | 163,561 | 146,063 | 144,070 | 144,170 | 144,170 |
| Personal Service | 91,153 | 83,797 | 85,711 | 85,711 | 85,711 |
| Non-Personal Service | 72,408 | 62,266 | 58,359 | 58,459 | 58,459 |
| Medicaid Inspector General, Office of the | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| State Operations | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| Personal Service | 16,617 | 16,705 | 16,470 | 16,470 | 16,470 |
| Non-Personal Service | 4,204 | 4,531 | 4,531 | 4,531 | 4,531 |
| Functional Total | 12,888,826 | 13,587,719 | 14,292,341 | 15,014,998 | 15,768,818 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,832,633 | 1,980,718 | 1,984,819 | 2,034,650 | 2,056,569 |
| OCFS | 1,746,634 | 1,893,944 | 1,896,645 | 1,945,081 | 1,967,171 |
| Local Assistance Grants | 1,512,053 | 1,667,640 | 1,678,020 | 1,724,526 | 1,740,674 |
| State Operations | 234,581 | 226,304 | 218,625 | 220,555 | 226,497 |
| Personal Service | 167,253 | 162,451 | 157,032 | 157,032 | 158,615 |
| Non-Personal Service | 67,328 | 63,853 | 61,593 | 63,523 | 67,882 |
| OCFS - Other | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Local Assistance Grants | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 16,763 | 9,954 | 38,233 | 38,783 | 43,783 |
| Local Assistance Grants | 10,280 | 5,404 | 33,683 | 34,233 | 39,233 |
| State Operations | 6,483 | 4,550 | 4,550 | 4,550 | 4,550 |
| Personal Service | 3,958 | 4,199 | 4,199 | 4,199 | 4,199 |
| Non-Personal Service | 2,525 | 351 | 351 | 351 | 351 |
| Human Rights, Division of | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| State Operations | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| Personal Service | 8,919 | 9,461 | 9,461 | 9,461 | 9,461 |
| Non-Personal Service | 1,663 | 500 | 500 | 500 | 500 |
| Labor, Department of | 7,928 | 8,288 | 288 | 288 | 288 |
| Local Assistance Grants | 7,655 | 8,000 | 0 | 0 | 0 |
| State Operations | 273 | 288 | 288 | 288 | 288 |
| Personal Service | 87 | 88 | 88 | 88 | 88 |
| Non-Personal Service | 186 | 200 | 200 | 200 | 200 |
| National and Community Service | 687 | 687 | 687 | 687 | 690 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Local Assistance Grants | 450 | 350 | 350 | 350 | 350 |
| State Operations | 237 | 337 | 337 | 337 | 340 |
| Personal Service | 229 | 328 | 328 | 328 | 331 |
| Non-Personal Service | 8 | 9 | 9 | 9 | 9 |
| Temporary and Disability Assistance, Office of | 1,375,282 | 1,361,252 | 1,379,105 | 1,390,005 | 1,405,405 |
| Welfare Assistance | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| Local Assistance Grants | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| All Other | 237,279 | 235,344 | 245,482 | 246,882 | 253,282 |
| Local Assistance Grants | 97,755 | 92,256 | 102,496 | 103,896 | 110,296 |
| State Operations | 139,524 | 143,088 | 142,986 | 142,986 | 142,986 |
| Personal Service | 67,810 | 59,087 | 58,985 | 58,985 | 58,985 |
| Non-Personal Service | 71,714 | 84,001 | 84,001 | 84,001 | 84,001 |
| Functional Total | 3,243,875 | 3,370,860 | 3,413,093 | 3,474,374 | 3,516,696 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 25,078 | 31,853 | 36,853 | 36,853 | 36,853 |
| OASAS | 3,753 | 10,528 | 15,528 | 15,528 | 15,528 |
| Local Assistance Grants | 3,753 | 10,528 | 15,528 | 15,528 | 15,528 |
| OASAS - Other | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| Local Assistance Grants | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| Justice Center | 29,472 | 39,492 | 40,643 | 41,909 | 43,382 |
| Local Assistance Grants | 170 | 170 | 170 | 170 | 170 |
| State Operations | 29,302 | 39,322 | 40,473 | 41,739 | 43,212 |
| Personal Service | 18,713 | 25,645 | 26,423 | 27,316 | 28,416 |
| Non-Personal Service | 10,589 | 13,677 | 14,050 | 14,423 | 14,796 |
| Mental Health, Office of | 354,423 | 276,263 | 317,424 | 360,282 | 370,639 |
| OMH | 7,567 | 800 | 800 | 800 | 800 |
| Local Assistance Grants | 7,310 | 0 | 0 | 0 | 0 |
| State Operations | 257 | 800 | 800 | 800 | 800 |
| Non-Personal Service | 257 | 800 | 800 | 800 | 800 |
| OMH - Other | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| Local Assistance Grants | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| People with Developmental Disabilities, Office for | 1,026,736 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| OPWDD | 519 | 0 | 0 | 0 | 0 |
| Local Assistance Grants | 519 | 0 | 0 | 0 | 0 |
| OPWDD - Other | 1,026,217 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| Local Assistance Grants | 1,026,217 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| Functional Total | 1,435,709 | 1,224,427 | 1,291,185 | 1,663,622 | 1,798,352 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| State Operations | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Personal Service | 2,037 | 2,414 | 2,414 | 2,414 | 2,414 |
| Non-Personal Service | 185 | 237 | 237 | 237 | 237 |
| Correctional Services, Department of | 2,645,044 | 2,693,172 | 2,641,010 | 2,641,216 | 2,641,422 |
| Local Assistance Grants | 5,939 | 6,022 | 6,022 | 6,022 | 6,022 |
| State Operations | 2,639,105 | 2,687,150 | 2,634,988 | 2,635,194 | 2,635,400 |
| Personal Service | 2,102,252 | 2,176,760 | 2,099,598 | 2,099,804 | 2,100,010 |
| Non-Personal Service | 536,853 | 510,390 | 535,390 | 535,390 | 535,390 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| <i>Criminal Justice Services, Division of</i> | 161,783 | 172,814 | 159,963 | 154,963 | 154,963 |
| Local Assistance Grants | 127,011 | 138,350 | 125,499 | 120,499 | 120,499 |
| State Operations | 34,772 | 34,464 | 34,464 | 34,464 | 34,464 |
| Personal Service | 26,643 | 25,833 | 25,833 | 25,833 | 25,833 |
| Non-Personal Service | 8,129 | 8,631 | 8,631 | 8,631 | 8,631 |
| <i>Disaster Assistance</i> | (8,011) | (45,309) | 0 | 0 | 0 |
| Local Assistance Grants | 2,726 | 0 | 0 | 0 | 0 |
| State Operations | (10,737) | (45,309) | 0 | 0 | 0 |
| Personal Service | (9,310) | 0 | 0 | 0 | 0 |
| Non-Personal Service | (1,427) | (45,309) | 0 | 0 | 0 |
| <i>Homeland Security and Emergency Services, Division of</i> | 38,108 | (7,308) | 5,518 | 5,518 | 5,518 |
| Local Assistance Grants | 31,256 | (11,804) | 4,222 | 4,222 | 4,222 |
| State Operations | 6,852 | 4,496 | 1,296 | 1,296 | 1,296 |
| Personal Service | 4,789 | 1,296 | 1,296 | 1,296 | 1,296 |
| Non-Personal Service | 2,063 | 3,200 | 0 | 0 | 0 |
| <i>Judicial Conduct, Commission on</i> | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| State Operations | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Personal Service | 4,028 | 4,181 | 4,181 | 4,181 | 4,212 |
| Non-Personal Service | 1,356 | 1,403 | 1,403 | 1,403 | 1,431 |
| <i>Judicial Nomination, Commission on</i> | 24 | 30 | 30 | 30 | 30 |
| State Operations | 24 | 30 | 30 | 30 | 30 |
| Non-Personal Service | 24 | 30 | 30 | 30 | 30 |
| <i>Judicial Screening Committees, New York State</i> | 12 | 38 | 38 | 38 | 38 |
| State Operations | 12 | 38 | 38 | 38 | 38 |
| Personal Service | 0 | 13 | 13 | 13 | 13 |
| Non-Personal Service | 12 | 25 | 25 | 25 | 25 |
| <i>Military and Naval Affairs, Division of</i> | 21,638 | 23,943 | 23,268 | 23,268 | 23,268 |
| Local Assistance Grants | 724 | 911 | 911 | 911 | 911 |
| State Operations | 20,914 | 23,032 | 22,357 | 22,357 | 22,357 |
| Personal Service | 15,329 | 16,996 | 16,321 | 16,321 | 16,321 |
| Non-Personal Service | 5,585 | 6,036 | 6,036 | 6,036 | 6,036 |
| <i>State Police, Division of</i> | 608,608 | 601,612 | 616,783 | 616,783 | 616,783 |
| State Operations | 608,608 | 601,612 | 616,783 | 616,783 | 616,783 |
| Personal Service | 560,114 | 558,793 | 573,964 | 573,964 | 573,964 |
| Non-Personal Service | 48,494 | 42,819 | 42,819 | 42,819 | 42,819 |
| <i>Statewide Financial System</i> | 29,264 | 30,137 | 29,711 | 29,717 | 29,717 |
| State Operations | 29,264 | 30,137 | 29,711 | 29,717 | 29,717 |
| Personal Service | 9,282 | 11,112 | 10,638 | 10,638 | 10,638 |
| Non-Personal Service | 19,982 | 19,025 | 19,073 | 19,079 | 19,079 |
| <i>Victim Services, Office of</i> | 947 | 2,788 | 1,888 | 1,888 | 1,888 |
| Local Assistance Grants | 947 | 2,788 | 1,888 | 1,888 | 1,888 |
| Functional Total | 3,505,023 | 3,480,152 | 3,486,444 | 3,481,656 | 3,481,921 |
| HIGHER EDUCATION | | | | | |
| <i>City University of New York</i> | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| Local Assistance Grants | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| <i>Higher Education Services Corporation, New York State</i> | 1,177,916 | 1,045,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| Local Assistance Grants | 1,177,916 | 1,045,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| <i>State University of New York</i> | 713,787 | 558,959 | 501,815 | 501,815 | 501,315 |
| Local Assistance Grants | 486,563 | 503,258 | 501,815 | 501,815 | 501,315 |
| State Operations | 9,459 | 0 | 0 | 0 | 0 |
| Personal Service | 276 | 0 | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Non-Personal Service | 9,183 | 0 | 0 | 0 | 0 |
| General State Charges | 217,765 | 55,701 | 0 | 0 | 0 |
| Functional Total | 3,286,750 | 3,030,857 | 3,037,517 | 3,097,354 | 3,157,348 |
| EDUCATION | | | | | |
| Arts, Council on the | 66,103 | 45,155 | 45,155 | 45,155 | 45,155 |
| Local Assistance Grants | 62,791 | 40,835 | 40,835 | 40,835 | 40,835 |
| State Operations | 3,312 | 4,320 | 4,320 | 4,320 | 4,320 |
| Personal Service | 2,132 | 2,498 | 2,498 | 2,498 | 2,498 |
| Non-Personal Service | 1,180 | 1,822 | 1,822 | 1,822 | 1,822 |
| Education, Department of | 20,533,668 | 22,307,895 | 23,742,135 | 24,837,681 | 26,264,713 |
| School Aid | 18,415,026 | 20,071,878 | 21,413,535 | 22,378,549 | 23,663,978 |
| Local Assistance Grants | 18,415,026 | 20,071,878 | 21,413,535 | 22,378,549 | 23,663,978 |
| Special Education Categorical Programs | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| Local Assistance Grants | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| All Other | 667,640 | 766,514 | 769,250 | 786,132 | 805,635 |
| Local Assistance Grants | 618,671 | 716,931 | 719,667 | 736,549 | 756,052 |
| State Operations | 48,969 | 49,583 | 49,583 | 49,583 | 49,583 |
| Personal Service | 27,142 | 25,775 | 25,775 | 25,775 | 25,775 |
| Non-Personal Service | 21,827 | 23,808 | 23,808 | 23,808 | 23,808 |
| Functional Total | 20,599,771 | 22,353,050 | 23,787,290 | 24,882,836 | 26,309,868 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 20,322 | 24,436 | 23,895 | 23,895 | 23,895 |
| State Operations | 20,322 | 24,436 | 23,895 | 23,895 | 23,895 |
| Personal Service | 18,684 | 22,230 | 22,216 | 22,216 | 22,216 |
| Non-Personal Service | 1,638 | 2,206 | 1,679 | 1,679 | 1,679 |
| Civil Service, Department of | 12,276 | 12,465 | 12,451 | 12,451 | 12,544 |
| State Operations | 12,276 | 12,465 | 12,451 | 12,451 | 12,544 |
| Personal Service | 11,785 | 12,064 | 12,050 | 12,050 | 12,138 |
| Non-Personal Service | 491 | 401 | 401 | 401 | 406 |
| Deferred Compensation Board | 37 | 57 | 57 | 57 | 57 |
| State Operations | 37 | 57 | 57 | 57 | 57 |
| Personal Service | 24 | 32 | 32 | 32 | 32 |
| Non-Personal Service | 13 | 25 | 25 | 25 | 25 |
| Elections, State Board of | 5,961 | 11,146 | 8,746 | 8,746 | 8,851 |
| Local Assistance Grants | 253 | 1,800 | 0 | 0 | 0 |
| State Operations | 5,708 | 9,346 | 8,746 | 8,746 | 8,851 |
| Personal Service | 4,680 | 5,607 | 5,573 | 5,539 | 5,682 |
| Non-Personal Service | 1,028 | 3,739 | 3,173 | 3,207 | 3,169 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| State Operations | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Personal Service | 2,178 | 2,510 | 2,510 | 2,510 | 2,529 |
| Non-Personal Service | 32 | 71 | 71 | 71 | 72 |
| Gaming Commission, New York State | 0 | 6,971 | 6,971 | 6,971 | 6,971 |
| State Operations | 0 | 6,971 | 6,971 | 6,971 | 6,971 |
| Personal Service | 0 | 4,482 | 4,482 | 4,482 | 4,482 |
| Non-Personal Service | 0 | 2,489 | 2,489 | 2,489 | 2,489 |
| General Services, Office of | 142,293 | 151,237 | 153,684 | 153,684 | 153,684 |
| State Operations | 142,293 | 151,237 | 153,684 | 153,684 | 153,684 |
| Personal Service | 58,419 | 71,150 | 75,393 | 75,393 | 75,393 |
| Non-Personal Service | 83,874 | 80,087 | 78,291 | 78,291 | 78,291 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| <i>Inspector General, Office of the</i> | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| State Operations | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Personal Service | 6,217 | 6,360 | 6,360 | 6,360 | 6,408 |
| Non-Personal Service | 852 | 557 | 557 | 557 | 569 |
| <i>Labor Management Committees</i> | 24,098 | 35,056 | 45,056 | 35,056 | 35,056 |
| State Operations | 24,098 | 35,056 | 45,056 | 35,056 | 35,056 |
| Personal Service | 6,402 | 5,446 | 5,446 | 5,446 | 5,446 |
| Non-Personal Service | 17,696 | 29,610 | 39,610 | 29,610 | 29,610 |
| <i>Prevention of Domestic Violence, Office for</i> | 1,897 | 2,276 | 2,276 | 2,276 | 2,276 |
| Local Assistance Grants | 543 | 685 | 685 | 685 | 685 |
| State Operations | 1,354 | 1,591 | 1,591 | 1,591 | 1,591 |
| Personal Service | 1,267 | 1,388 | 1,388 | 1,388 | 1,388 |
| Non-Personal Service | 87 | 203 | 203 | 203 | 203 |
| <i>Public Employment Relations Board</i> | 3,096 | 3,529 | 3,529 | 3,529 | 3,560 |
| State Operations | 3,096 | 3,529 | 3,529 | 3,529 | 3,560 |
| Personal Service | 2,894 | 3,336 | 3,336 | 3,336 | 3,363 |
| Non-Personal Service | 202 | 193 | 193 | 193 | 197 |
| <i>Public Integrity, Commission on</i> | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State Operations | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| Personal Service | 2,899 | 4,620 | 4,620 | 4,620 | 4,646 |
| Non-Personal Service | 729 | 911 | 911 | 911 | 930 |
| <i>State, Department of</i> | 19,361 | 34,151 | 19,125 | 19,125 | 19,125 |
| Local Assistance Grants | 5,671 | 20,752 | 6,440 | 6,440 | 6,440 |
| State Operations | 13,690 | 13,399 | 12,685 | 12,685 | 12,685 |
| Personal Service | 12,113 | 12,460 | 12,446 | 12,446 | 12,446 |
| Non-Personal Service | 1,577 | 939 | 239 | 239 | 239 |
| <i>Tax Appeals, Division of</i> | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| State Operations | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Personal Service | 2,688 | 2,870 | 2,870 | 2,870 | 2,870 |
| Non-Personal Service | 161 | 170 | 170 | 170 | 170 |
| <i>Taxation and Finance, Department of</i> | 273,777 | 264,374 | 264,146 | 264,146 | 264,146 |
| Local Assistance Grants | 906 | 926 | 926 | 926 | 926 |
| State Operations | 272,871 | 263,448 | 263,220 | 263,220 | 263,220 |
| Personal Service | 231,383 | 233,693 | 233,465 | 233,465 | 233,465 |
| Non-Personal Service | 41,488 | 29,755 | 29,755 | 29,755 | 29,755 |
| <i>Technology, Office for</i> | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| State Operations | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| Personal Service | 277,996 | 275,888 | 275,891 | 275,861 | 275,861 |
| Non-Personal Service | 148,419 | 236,818 | 247,289 | 247,270 | 259,000 |
| <i>Veterans' Affairs, Division of</i> | 12,830 | 15,725 | 13,808 | 13,808 | 13,873 |
| Local Assistance Grants | 7,486 | 9,387 | 7,637 | 7,637 | 7,637 |
| State Operations | 5,344 | 6,338 | 6,171 | 6,171 | 6,236 |
| Personal Service | 4,937 | 6,046 | 5,879 | 5,879 | 5,938 |
| Non-Personal Service | 407 | 292 | 292 | 292 | 298 |
| <i>Welfare Inspector General, Office of</i> | 573 | 972 | 972 | 972 | 986 |
| State Operations | 573 | 972 | 972 | 972 | 986 |
| Personal Service | 472 | 514 | 514 | 514 | 518 |
| Non-Personal Service | 101 | 458 | 458 | 458 | 468 |
| Functional Total | 958,692 | 1,093,170 | 1,095,965 | 1,085,916 | 1,098,079 |
| ELECTED OFFICIALS | | | | | |
| <i>Audit and Control, Department of</i> | 157,820 | 159,342 | 159,342 | 159,342 | 159,342 |
| Local Assistance Grants | 32,025 | 32,024 | 32,024 | 32,024 | 32,024 |
| State Operations | 125,795 | 127,318 | 127,318 | 127,318 | 127,318 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| Personal Service | 98,621 | 100,998 | 100,998 | 100,998 | 100,998 |
| Non-Personal Service | 27,174 | 26,320 | 26,320 | 26,320 | 26,320 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| State Operations | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Personal Service | 10,621 | 11,135 | 11,469 | 11,813 | 11,813 |
| Non-Personal Service | 3,345 | 2,443 | 2,109 | 1,765 | 1,765 |
| Judiciary | 2,444,569 | 2,536,179 | 2,591,203 | 2,638,603 | 2,673,603 |
| Local Assistance Grants | 2,437 | 17,400 | 17,400 | 17,400 | 17,400 |
| State Operations | 1,805,478 | 1,850,076 | 1,859,100 | 1,859,100 | 1,859,100 |
| Personal Service | 1,422,377 | 1,446,576 | 1,455,600 | 1,455,600 | 1,455,600 |
| Non-Personal Service | 383,101 | 403,500 | 403,500 | 403,500 | 403,500 |
| General State Charges | 636,654 | 668,703 | 714,703 | 762,103 | 797,103 |
| Law, Department of | 101,795 | 101,538 | 101,538 | 101,538 | 101,538 |
| State Operations | 101,795 | 101,538 | 101,538 | 101,538 | 101,538 |
| Personal Service | 86,997 | 88,655 | 88,655 | 88,655 | 88,655 |
| Non-Personal Service | 14,798 | 12,883 | 12,883 | 12,883 | 12,883 |
| Legislature | 205,758 | 217,845 | 217,845 | 217,845 | 217,845 |
| State Operations | 205,758 | 217,845 | 217,845 | 217,845 | 217,845 |
| Personal Service | 160,777 | 166,331 | 166,331 | 166,331 | 166,331 |
| Non-Personal Service | 44,981 | 51,514 | 51,514 | 51,514 | 51,514 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| State Operations | 366 | 614 | 614 | 614 | 614 |
| Personal Service | 299 | 498 | 513 | 523 | 523 |
| Non-Personal Service | 67 | 116 | 101 | 91 | 91 |
| Functional Total | 2,924,274 | 3,029,096 | 3,084,120 | 3,131,520 | 3,166,520 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,438 | 741,121 | 759,356 | 762,710 | 763,347 |
| Local Assistance Grants | 726,338 | 741,121 | 759,356 | 762,710 | 763,347 |
| State Operations | 100 | 0 | 0 | 0 | 0 |
| Non-Personal Service | 100 | 0 | 0 | 0 | 0 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Local Assistance Grants | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Local Assistance Grants | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Local Assistance Grants | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Local Assistance Grants | 217 | 218 | 218 | 218 | 218 |
| Functional Total | 765,376 | 784,554 | 791,328 | 793,882 | 794,519 |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Miscellaneous | (16,662) | 797,243 | 927,443 | 1,252,443 | 1,151,443 |
| Local Assistance Grants | (48,439) | 506,557 | 635,057 | 675,057 | 753,057 |
| State Operations | 19,190 | 286,266 | 287,966 | 572,966 | 393,966 |
| Personal Service | 53 | 125,554 | 127,254 | 147,254 | 167,254 |
| Non-Personal Service | 19,137 | 160,712 | 160,712 | 425,712 | 226,712 |
| General State Charges | 12,587 | 4,420 | 4,420 | 4,420 | 4,420 |
| Functional Total | 4,115,024 | 5,263,519 | 5,918,290 | 6,517,613 | 6,698,584 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|------------------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| TOTAL GENERAL FUND SPENDING | 54,254,786 | 57,813,629 | 60,804,459 | 63,755,136 | 66,407,946 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 58,495 | 68,058 | 58,708 | 58,708 | 58,708 |
| Economic Development, Department of | 54,489 | 69,894 | 71,857 | 76,057 | 76,057 |
| Empire State Development Corporation | 85,284 | 90,924 | 135,946 | 135,946 | 135,946 |
| Olympic Regional Development Authority | 3,011 | 3,011 | 3,011 | 3,011 | 3,011 |
| Functional Total | <u>201,279</u> | <u>231,887</u> | <u>269,522</u> | <u>273,722</u> | <u>273,722</u> |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| Environmental Conservation, Department of | 94,818 | 109,208 | 98,898 | 99,002 | 104,878 |
| Parks, Recreation and Historic Preservation, Office of | 114,000 | 114,994 | 113,914 | 114,089 | 114,089 |
| Functional Total | <u>213,094</u> | <u>228,534</u> | <u>217,144</u> | <u>217,423</u> | <u>223,299</u> |
| TRANSPORTATION | | | | | |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 98,752 | 114,304 | 98,720 | 98,720 | 98,720 |
| Functional Total | <u>117,093</u> | <u>135,804</u> | <u>120,220</u> | <u>120,220</u> | <u>120,220</u> |
| HEALTH | | | | | |
| Aging, Office for the | 123,306 | 131,882 | 132,204 | 137,277 | 142,477 |
| Health, Department of | <u>12,744,699</u> | <u>13,434,601</u> | <u>14,139,136</u> | <u>14,856,720</u> | <u>15,605,340</u> |
| <i>Medical Assistance</i> | 11,161,361 | 11,709,173 | 11,917,839 | 12,627,381 | 13,349,117 |
| <i>Basic Health Plan</i> | 0 | 169,961 | 642,739 | 649,142 | 665,934 |
| <i>Medicaid Administration</i> | 677,990 | 676,497 | 682,597 | 670,297 | 667,997 |
| <i>Public Health</i> | 905,348 | 878,970 | 895,961 | 909,900 | 922,292 |
| Medicaid Inspector General, Office of the | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| Functional Total | <u>12,888,826</u> | <u>13,587,719</u> | <u>14,292,341</u> | <u>15,014,998</u> | <u>15,768,818</u> |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,832,633 | 1,980,718 | 1,984,819 | 2,034,650 | 2,056,569 |
| <i>OCFS</i> | 1,746,634 | 1,893,944 | 1,896,645 | 1,945,081 | 1,967,171 |
| <i>OCFS - Other</i> | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 16,763 | 9,954 | 38,233 | 38,783 | 43,783 |
| Human Rights, Division of | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| Labor, Department of | 7,928 | 8,288 | 288 | 288 | 288 |
| National and Community Service | 687 | 687 | 687 | 687 | 690 |
| Temporary and Disability Assistance, Office of | <u>1,375,282</u> | <u>1,361,252</u> | <u>1,379,105</u> | <u>1,390,005</u> | <u>1,405,405</u> |
| <i>Welfare Assistance</i> | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| <i>All Other</i> | 237,279 | 235,344 | 245,482 | 246,882 | 253,282 |
| Functional Total | <u>3,243,875</u> | <u>3,370,860</u> | <u>3,413,093</u> | <u>3,474,374</u> | <u>3,516,696</u> |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 25,078 | 31,853 | 36,853 | 36,853 | 36,853 |
| <i>OASAS</i> | 3,753 | 10,528 | 15,528 | 15,528 | 15,528 |
| <i>OASAS - Other</i> | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| Justice Center | 29,472 | 39,492 | 40,643 | 41,909 | 43,382 |
| Mental Health, Office of | <u>354,423</u> | <u>276,263</u> | <u>317,424</u> | <u>360,282</u> | <u>370,639</u> |
| <i>OMH</i> | 7,567 | 800 | 800 | 800 | 800 |
| <i>OMH - Other</i> | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| People with Developmental Disabilities, Office for | <u>1,026,736</u> | <u>876,819</u> | <u>896,265</u> | <u>1,224,578</u> | <u>1,347,478</u> |
| <i>OPWDD</i> | 519 | 0 | 0 | 0 | 0 |
| <i>OPWDD - Other</i> | 1,026,217 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| Functional Total | <u>1,435,709</u> | <u>1,224,427</u> | <u>1,291,185</u> | <u>1,663,622</u> | <u>1,798,352</u> |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Correctional Services, Department of | 2,645,044 | 2,693,172 | 2,641,010 | 2,641,216 | 2,641,422 |
| Criminal Justice Services, Division of | 161,783 | 172,814 | 159,963 | 154,963 | 154,963 |
| Disaster Assistance | (8,011) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 38,108 | (7,308) | 5,518 | 5,518 | 5,518 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Military and Naval Affairs, Division of | 21,638 | 23,943 | 23,268 | 23,268 | 23,268 |
| State Police, Division of | 608,608 | 601,612 | 616,783 | 616,783 | 616,783 |
| Statewide Financial System | 29,264 | 30,137 | 29,711 | 29,717 | 29,717 |
| Victim Services, Office of | 947 | 2,788 | 1,888 | 1,888 | 1,888 |
| Functional Total | 3,505,023 | 3,480,152 | 3,486,444 | 3,481,656 | 3,481,921 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| Higher Education Services Corporation, New York State | 1,177,916 | 1,045,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| State University of New York | 713,787 | 558,959 | 501,815 | 501,815 | 501,315 |
| Functional Total | 3,286,750 | 3,030,857 | 3,037,517 | 3,097,354 | 3,157,348 |
| EDUCATION | | | | | |
| Arts, Council on the | 66,103 | 45,155 | 45,155 | 45,155 | 45,155 |
| Education, Department of | 20,533,668 | 22,307,895 | 23,742,135 | 24,837,681 | 26,264,713 |
| <i>School Aid</i> | 18,415,026 | 20,071,878 | 21,413,535 | 22,378,549 | 23,663,978 |
| <i>Special Education Categorical Programs</i> | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| <i>All Other</i> | 667,640 | 766,514 | 769,250 | 786,132 | 805,635 |
| Functional Total | 20,599,771 | 22,353,050 | 23,787,290 | 24,882,836 | 26,309,868 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 20,322 | 24,436 | 23,895 | 23,895 | 23,895 |
| Civil Service, Department of | 12,276 | 12,465 | 12,451 | 12,451 | 12,544 |
| Deferred Compensation Board | 37 | 57 | 57 | 57 | 57 |
| Elections, State Board of | 5,961 | 11,146 | 8,746 | 8,746 | 8,851 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Gaming Commission, New York State | 0 | 6,971 | 6,971 | 6,971 | 6,971 |
| General Services, Office of | 142,293 | 151,237 | 153,684 | 153,684 | 153,684 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Labor Management Committees | 24,098 | 35,056 | 45,056 | 35,056 | 35,056 |
| Prevention of Domestic Violence, Office for | 1,897 | 2,276 | 2,276 | 2,276 | 2,276 |
| Public Employment Relations Board | 3,096 | 3,529 | 3,529 | 3,529 | 3,560 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State, Department of | 19,361 | 34,151 | 19,125 | 19,125 | 19,125 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 273,777 | 264,374 | 264,146 | 264,146 | 264,146 |
| Technology, Office for | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| Veterans' Affairs, Division of | 12,830 | 15,725 | 13,808 | 13,808 | 13,873 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| Functional Total | 958,692 | 1,093,170 | 1,095,965 | 1,085,916 | 1,098,079 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 157,820 | 159,342 | 159,342 | 159,342 | 159,342 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Judiciary | 2,444,569 | 2,536,179 | 2,591,203 | 2,638,603 | 2,673,603 |
| Law, Department of | 101,795 | 101,538 | 101,538 | 101,538 | 101,538 |
| Legislature | 205,758 | 217,845 | 217,845 | 217,845 | 217,845 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| Functional Total | 2,924,274 | 3,029,096 | 3,084,120 | 3,131,520 | 3,166,520 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,438 | 741,121 | 759,356 | 762,710 | 763,347 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Functional Total | 765,376 | 784,554 | 791,328 | 793,882 | 794,519 |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Miscellaneous | (16,662) | 797,243 | 927,443 | 1,252,443 | 1,151,443 |
| Functional Total | 4,115,024 | 5,263,519 | 5,918,290 | 6,517,613 | 6,698,584 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|------------------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| TOTAL GENERAL FUND SPENDING | 54,254,786 | 57,813,629 | 60,804,459 | 63,755,136 | 66,407,946 |

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 25,275 | 35,197 | 27,321 | 27,321 | 27,321 |
| Economic Development, Department of | 36,404 | 49,716 | 51,879 | 56,879 | 56,879 |
| Empire State Development Corporation | 85,234 | 90,074 | 135,096 | 135,096 | 135,096 |
| Functional Total | 146,913 | 174,987 | 214,296 | 219,296 | 219,296 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 2,889 | 15,225 | 5,200 | 5,200 | 5,200 |
| Parks, Recreation and Historic Preservation, Office of | 3,957 | 3,655 | 2,575 | 2,750 | 2,750 |
| Functional Total | 6,846 | 18,880 | 7,775 | 7,950 | 7,950 |
| TRANSPORTATION | | | | | |
| Transportation, Department of | 97,670 | 113,151 | 97,551 | 97,551 | 97,551 |
| Functional Total | 97,670 | 113,151 | 97,551 | 97,551 | 97,551 |
| HEALTH | | | | | |
| Aging, Office for the | 121,870 | 130,576 | 131,346 | 136,419 | 141,619 |
| Health, Department of | 12,418,332 | 12,962,761 | 13,645,236 | 14,387,852 | 15,140,590 |
| <i>Medical Assistance</i> | 11,161,361 | 11,709,173 | 11,917,839 | 12,627,381 | 13,349,117 |
| <i>Basic Health Plan</i> | 0 | 134,250 | 601,095 | 620,330 | 638,940 |
| <i>Medicaid Administration</i> | 515,184 | 386,431 | 374,411 | 374,411 | 374,411 |
| <i>Public Health</i> | 741,787 | 732,907 | 751,891 | 765,730 | 778,122 |
| Functional Total | 12,540,202 | 13,093,337 | 13,776,582 | 14,524,271 | 15,282,209 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,598,052 | 1,754,414 | 1,766,194 | 1,814,095 | 1,830,072 |
| <i>OCFS</i> | 1,512,053 | 1,667,640 | 1,678,020 | 1,724,526 | 1,740,674 |
| <i>OCFS - Other</i> | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 10,280 | 5,404 | 33,683 | 34,233 | 39,233 |
| Labor, Department of | 7,655 | 8,000 | 0 | 0 | 0 |
| National and Community Service | 450 | 350 | 350 | 350 | 350 |
| Temporary and Disability Assistance, Office of | 1,235,758 | 1,218,164 | 1,236,119 | 1,247,019 | 1,262,419 |
| <i>Welfare Assistance</i> | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| <i>All Other</i> | 97,755 | 92,256 | 102,496 | 103,896 | 110,296 |
| Functional Total | 2,852,195 | 2,986,332 | 3,036,346 | 3,095,697 | 3,132,074 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 25,078 | 31,853 | 36,853 | 36,853 | 36,853 |
| <i>OASAS</i> | 3,753 | 10,528 | 15,528 | 15,528 | 15,528 |
| <i>OASAS - Other</i> | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| Justice Center | 170 | 170 | 170 | 170 | 170 |
| Mental Health, Office of | 354,166 | 275,463 | 316,624 | 359,482 | 369,839 |
| <i>OMH</i> | 7,310 | 0 | 0 | 0 | 0 |
| <i>OMH - Other</i> | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| People with Developmental Disabilities, Office for | 1,026,736 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| <i>OPWDD</i> | 519 | 0 | 0 | 0 | 0 |
| <i>OPWDD - Other</i> | 1,026,217 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| Functional Total | 1,406,150 | 1,184,305 | 1,249,912 | 1,621,083 | 1,754,340 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 5,939 | 6,022 | 6,022 | 6,022 | 6,022 |
| Criminal Justice Services, Division of | 127,011 | 138,350 | 125,499 | 120,499 | 120,499 |
| Disaster Assistance | 2,726 | 0 | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 31,256 | (11,804) | 4,222 | 4,222 | 4,222 |
| Military and Naval Affairs, Division of | 724 | 911 | 911 | 911 | 911 |
| Victim Services, Office of | 947 | 2,788 | 1,888 | 1,888 | 1,888 |
| Functional Total | 168,603 | 136,267 | 138,542 | 133,542 | 133,542 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| Higher Education Services Corporation, New York State | 1,177,916 | 1,045,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| State University of New York | 486,563 | 503,258 | 501,815 | 501,815 | 501,315 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Functional Total | 3,059,526 | 2,975,156 | 3,037,517 | 3,097,354 | 3,157,348 |
| EDUCATION | | | | | |
| Arts, Council on the | 62,791 | 40,835 | 40,835 | 40,835 | 40,835 |
| Education, Department of | 20,484,699 | 22,258,312 | 23,692,552 | 24,788,098 | 26,215,130 |
| <i>School Aid</i> | 18,415,026 | 20,071,878 | 21,413,535 | 22,378,549 | 23,663,978 |
| <i>Special Education Categorical Programs</i> | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| <i>All Other</i> | 618,671 | 716,931 | 719,667 | 736,549 | 756,052 |
| Functional Total | 20,547,490 | 22,299,147 | 23,733,387 | 24,828,933 | 26,255,965 |
| GENERAL GOVERNMENT | | | | | |
| Elections, State Board of | 253 | 1,800 | 0 | 0 | 0 |
| Prevention of Domestic Violence, Office for | 543 | 685 | 685 | 685 | 685 |
| State, Department of | 5,671 | 20,752 | 6,440 | 6,440 | 6,440 |
| Taxation and Finance, Department of | 906 | 926 | 926 | 926 | 926 |
| Veterans' Affairs, Division of | 7,486 | 9,387 | 7,637 | 7,637 | 7,637 |
| Functional Total | 14,859 | 33,550 | 15,688 | 15,688 | 15,688 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 32,025 | 32,024 | 32,024 | 32,024 | 32,024 |
| Judiciary | 2,437 | 17,400 | 17,400 | 17,400 | 17,400 |
| Functional Total | 34,462 | 49,424 | 49,424 | 49,424 | 49,424 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,338 | 741,121 | 759,356 | 762,710 | 763,347 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Functional Total | 765,276 | 784,554 | 791,328 | 793,882 | 794,519 |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | (48,439) | 506,557 | 635,057 | 675,057 | 753,057 |
| Functional Total | (48,439) | 506,557 | 635,057 | 675,057 | 753,057 |
| TOTAL LOCAL ASSISTANCE GRANTS SPENDING | <u>41,591,753</u> | <u>44,355,647</u> | <u>46,783,405</u> | <u>49,159,728</u> | <u>51,652,963</u> |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 33,220 | 32,861 | 31,387 | 31,387 | 31,387 |
| Economic Development, Department of | 18,085 | 20,178 | 19,978 | 19,178 | 19,178 |
| Empire State Development Corporation | 50 | 850 | 850 | 850 | 850 |
| Olympic Regional Development Authority | 3,011 | 3,011 | 3,011 | 3,011 | 3,011 |
| Functional Total | 54,366 | 56,900 | 55,226 | 54,426 | 54,426 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| Environmental Conservation, Department of | 91,929 | 93,983 | 93,698 | 93,802 | 99,678 |
| Parks, Recreation and Historic Preservation, Office of | 110,043 | 111,339 | 111,339 | 111,339 | 111,339 |
| Functional Total | 206,248 | 209,654 | 209,369 | 209,473 | 215,349 |
| TRANSPORTATION | | | | | |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 1,082 | 1,153 | 1,169 | 1,169 | 1,169 |
| Functional Total | 19,423 | 22,653 | 22,669 | 22,669 | 22,669 |
| HEALTH | | | | | |
| Aging, Office for the | 1,436 | 1,306 | 858 | 858 | 858 |
| Health, Department of | 326,367 | 471,840 | 493,900 | 468,868 | 464,750 |
| <i>Basic Health Plan</i> | 0 | 35,711 | 41,644 | 28,812 | 26,994 |
| <i>Medicaid Administration</i> | 162,806 | 290,066 | 308,186 | 295,886 | 293,586 |
| <i>Public Health</i> | 163,561 | 146,063 | 144,070 | 144,170 | 144,170 |
| Medicaid Inspector General, Office of the | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| Functional Total | 348,624 | 494,382 | 515,759 | 490,727 | 486,609 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 234,581 | 226,304 | 218,625 | 220,555 | 226,497 |
| <i>OCFS</i> | 234,581 | 226,304 | 218,625 | 220,555 | 226,497 |
| Housing and Community Renewal, Division of | 6,483 | 4,550 | 4,550 | 4,550 | 4,550 |
| Human Rights, Division of | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| Labor, Department of | 273 | 288 | 288 | 288 | 288 |
| National and Community Service | 237 | 337 | 337 | 337 | 340 |
| Temporary and Disability Assistance, Office of | 139,524 | 143,088 | 142,986 | 142,986 | 142,986 |
| <i>All Other</i> | 139,524 | 143,088 | 142,986 | 142,986 | 142,986 |
| Functional Total | 391,680 | 384,528 | 376,747 | 378,677 | 384,622 |
| MENTAL HYGIENE | | | | | |
| Justice Center | 29,302 | 39,322 | 40,473 | 41,739 | 43,212 |
| Mental Health, Office of | 257 | 800 | 800 | 800 | 800 |
| <i>OMH</i> | 257 | 800 | 800 | 800 | 800 |
| Functional Total | 29,559 | 40,122 | 41,273 | 42,539 | 44,012 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Correctional Services, Department of | 2,639,105 | 2,687,150 | 2,634,988 | 2,635,194 | 2,635,400 |
| Criminal Justice Services, Division of | 34,772 | 34,464 | 34,464 | 34,464 | 34,464 |
| Disaster Assistance | (10,737) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 6,852 | 4,496 | 1,296 | 1,296 | 1,296 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |
| Military and Naval Affairs, Division of | 20,914 | 23,032 | 22,357 | 22,357 | 22,357 |
| State Police, Division of | 608,608 | 601,612 | 616,783 | 616,783 | 616,783 |
| Statewide Financial System | 29,264 | 30,137 | 29,711 | 29,717 | 29,717 |
| Functional Total | 3,336,420 | 3,343,885 | 3,347,902 | 3,348,114 | 3,348,379 |
| HIGHER EDUCATION | | | | | |
| State University of New York | 9,459 | 0 | 0 | 0 | 0 |
| Functional Total | 9,459 | 0 | 0 | 0 | 0 |
| EDUCATION | | | | | |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Arts, Council on the | 3,312 | 4,320 | 4,320 | 4,320 | 4,320 |
| Education, Department of | 48,969 | 49,583 | 49,583 | 49,583 | 49,583 |
| <i>All Other</i> | 48,969 | 49,583 | 49,583 | 49,583 | 49,583 |
| Functional Total | <u>52,281</u> | <u>53,903</u> | <u>53,903</u> | <u>53,903</u> | <u>53,903</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 20,322 | 24,436 | 23,895 | 23,895 | 23,895 |
| Civil Service, Department of | 12,276 | 12,465 | 12,451 | 12,451 | 12,544 |
| Deferred Compensation Board | 37 | 57 | 57 | 57 | 57 |
| Elections, State Board of | 5,708 | 9,346 | 8,746 | 8,746 | 8,851 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Gaming Commission, New York State | 0 | 6,971 | 6,971 | 6,971 | 6,971 |
| General Services, Office of | 142,293 | 151,237 | 153,684 | 153,684 | 153,684 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Labor Management Committees | 24,098 | 35,056 | 45,056 | 35,056 | 35,056 |
| Prevention of Domestic Violence, Office for | 1,354 | 1,591 | 1,591 | 1,591 | 1,591 |
| Public Employment Relations Board | 3,096 | 3,529 | 3,529 | 3,529 | 3,560 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State, Department of | 13,690 | 13,399 | 12,685 | 12,685 | 12,685 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 272,871 | 263,448 | 263,220 | 263,220 | 263,220 |
| Technology, Office for | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| Veterans' Affairs, Division of | 5,344 | 6,338 | 6,171 | 6,171 | 6,236 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| Functional Total | <u>943,833</u> | <u>1,059,620</u> | <u>1,080,277</u> | <u>1,070,228</u> | <u>1,082,391</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 125,795 | 127,318 | 127,318 | 127,318 | 127,318 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Judiciary | 1,805,478 | 1,850,076 | 1,859,100 | 1,859,100 | 1,859,100 |
| Law, Department of | 101,795 | 101,538 | 101,538 | 101,538 | 101,538 |
| Legislature | 205,758 | 217,845 | 217,845 | 217,845 | 217,845 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| Functional Total | <u>2,253,158</u> | <u>2,310,969</u> | <u>2,319,993</u> | <u>2,319,993</u> | <u>2,319,993</u> |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 100 | 0 | 0 | 0 | 0 |
| Functional Total | <u>100</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 19,190 | 286,266 | 287,966 | 572,966 | 393,966 |
| Functional Total | <u>19,190</u> | <u>286,266</u> | <u>287,966</u> | <u>572,966</u> | <u>393,966</u> |
| TOTAL STATE OPERATIONS SPENDING | <u><u>7,664,341</u></u> | <u><u>8,262,882</u></u> | <u><u>8,311,084</u></u> | <u><u>8,563,715</u></u> | <u><u>8,406,319</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 25,828 | 26,236 | 25,662 | 25,662 | 25,662 |
| Economic Development, Department of | 11,493 | 13,526 | 13,526 | 13,526 | 13,526 |
| Empire State Development Corporation | 0 | 425 | 425 | 425 | 425 |
| Olympic Regional Development Authority | 2,548 | 2,548 | 2,548 | 2,548 | 2,548 |
| Functional Total | 39,869 | 42,735 | 42,161 | 42,161 | 42,161 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 3,893 | 4,027 | 4,027 | 4,027 | 4,027 |
| Environmental Conservation, Department of | 82,168 | 84,595 | 84,445 | 84,549 | 84,549 |
| Parks, Recreation and Historic Preservation, Office of | 105,054 | 103,734 | 103,734 | 103,734 | 103,734 |
| Functional Total | 191,115 | 192,356 | 192,206 | 192,310 | 192,310 |
| HEALTH | | | | | |
| Aging, Office for the | 1,256 | 1,125 | 677 | 677 | 677 |
| Health, Department of | 120,479 | 128,830 | 152,782 | 158,453 | 163,602 |
| <i>Basic Health Plan</i> | 0 | 683 | 804 | 534 | 488 |
| <i>Medicaid Administration</i> | 29,326 | 44,350 | 66,267 | 72,208 | 77,403 |
| <i>Public Health</i> | 91,153 | 83,797 | 85,711 | 85,711 | 85,711 |
| Medicaid Inspector General, Office of the | 16,617 | 16,705 | 16,470 | 16,470 | 16,470 |
| Functional Total | 138,352 | 146,660 | 169,929 | 175,600 | 180,749 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 167,253 | 162,451 | 157,032 | 157,032 | 158,615 |
| <i>OCFS</i> | 167,253 | 162,451 | 157,032 | 157,032 | 158,615 |
| Housing and Community Renewal, Division of | 3,958 | 4,199 | 4,199 | 4,199 | 4,199 |
| Human Rights, Division of | 8,919 | 9,461 | 9,461 | 9,461 | 9,461 |
| Labor, Department of | 87 | 88 | 88 | 88 | 88 |
| National and Community Service | 229 | 328 | 328 | 328 | 331 |
| Temporary and Disability Assistance, Office of | 67,810 | 59,087 | 58,985 | 58,985 | 58,985 |
| <i>All Other</i> | 67,810 | 59,087 | 58,985 | 58,985 | 58,985 |
| Functional Total | 248,256 | 235,614 | 230,093 | 230,093 | 231,679 |
| MENTAL HYGIENE | | | | | |
| Justice Center | 18,713 | 25,645 | 26,423 | 27,316 | 28,416 |
| Functional Total | 18,713 | 25,645 | 26,423 | 27,316 | 28,416 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,037 | 2,414 | 2,414 | 2,414 | 2,414 |
| Correctional Services, Department of | 2,102,252 | 2,176,760 | 2,099,598 | 2,099,804 | 2,100,010 |
| Criminal Justice Services, Division of | 26,643 | 25,833 | 25,833 | 25,833 | 25,833 |
| Disaster Assistance | (9,310) | 0 | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 4,789 | 1,296 | 1,296 | 1,296 | 1,296 |
| Judicial Conduct, Commission on | 4,028 | 4,181 | 4,181 | 4,181 | 4,212 |
| Judicial Screening Committees, New York State | 0 | 13 | 13 | 13 | 13 |
| Military and Naval Affairs, Division of | 15,329 | 16,996 | 16,321 | 16,321 | 16,321 |
| State Police, Division of | 560,114 | 558,793 | 573,964 | 573,964 | 573,964 |
| Statewide Financial System | 9,282 | 11,112 | 10,638 | 10,638 | 10,638 |
| Functional Total | 2,715,164 | 2,797,398 | 2,734,258 | 2,734,464 | 2,734,701 |
| HIGHER EDUCATION | | | | | |
| State University of New York | 276 | 0 | 0 | 0 | 0 |
| Functional Total | 276 | 0 | 0 | 0 | 0 |
| EDUCATION | | | | | |
| Arts, Council on the | 2,132 | 2,498 | 2,498 | 2,498 | 2,498 |
| Education, Department of | 27,142 | 25,775 | 25,775 | 25,775 | 25,775 |
| <i>All Other</i> | 27,142 | 25,775 | 25,775 | 25,775 | 25,775 |
| Functional Total | 29,274 | 28,273 | 28,273 | 28,273 | 28,273 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 18,684 | 22,230 | 22,216 | 22,216 | 22,216 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Civil Service, Department of | 11,785 | 12,064 | 12,050 | 12,050 | 12,138 |
| Deferred Compensation Board | 24 | 32 | 32 | 32 | 32 |
| Elections, State Board of | 4,680 | 5,607 | 5,573 | 5,539 | 5,682 |
| Employee Relations, Office of | 2,178 | 2,510 | 2,510 | 2,510 | 2,529 |
| Gaming Commission, New York State | 0 | 4,482 | 4,482 | 4,482 | 4,482 |
| General Services, Office of | 58,419 | 71,150 | 75,393 | 75,393 | 75,393 |
| Inspector General, Office of the | 6,217 | 6,360 | 6,360 | 6,360 | 6,408 |
| Labor Management Committees | 6,402 | 5,446 | 5,446 | 5,446 | 5,446 |
| Prevention of Domestic Violence, Office for | 1,267 | 1,388 | 1,388 | 1,388 | 1,388 |
| Public Employment Relations Board | 2,894 | 3,336 | 3,336 | 3,336 | 3,363 |
| Public Integrity, Commission on | 2,899 | 4,620 | 4,620 | 4,620 | 4,646 |
| State, Department of | 12,113 | 12,460 | 12,446 | 12,446 | 12,446 |
| Tax Appeals, Division of | 2,688 | 2,870 | 2,870 | 2,870 | 2,870 |
| Taxation and Finance, Department of | 231,383 | 233,693 | 233,465 | 233,465 | 233,465 |
| Technology, Office for | 277,996 | 275,888 | 275,891 | 275,861 | 275,861 |
| Veterans' Affairs, Division of | 4,937 | 6,046 | 5,879 | 5,879 | 5,938 |
| Welfare Inspector General, Office of | 472 | 514 | 514 | 514 | 518 |
| Functional Total | <u>645,038</u> | <u>670,696</u> | <u>674,471</u> | <u>674,407</u> | <u>674,821</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 98,621 | 100,998 | 100,998 | 100,998 | 100,998 |
| Executive Chamber | 10,621 | 11,135 | 11,469 | 11,813 | 11,813 |
| Judiciary | 1,422,377 | 1,446,576 | 1,455,600 | 1,455,600 | 1,455,600 |
| Law, Department of | 86,997 | 88,655 | 88,655 | 88,655 | 88,655 |
| Legislature | 160,777 | 166,331 | 166,331 | 166,331 | 166,331 |
| Lieutenant Governor, Office of the | 299 | 498 | 513 | 523 | 523 |
| Functional Total | <u>1,779,692</u> | <u>1,814,193</u> | <u>1,823,566</u> | <u>1,823,920</u> | <u>1,823,920</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 53 | 125,554 | 127,254 | 147,254 | 167,254 |
| Functional Total | <u>53</u> | <u>125,554</u> | <u>127,254</u> | <u>147,254</u> | <u>167,254</u> |
| TOTAL PERSONAL SERVICE SPENDING | <u><u>5,805,802</u></u> | <u><u>6,079,124</u></u> | <u><u>6,048,634</u></u> | <u><u>6,075,798</u></u> | <u><u>6,104,284</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 7,392 | 6,625 | 5,725 | 5,725 | 5,725 |
| Economic Development, Department of | 6,592 | 6,652 | 6,452 | 5,652 | 5,652 |
| Empire State Development Corporation | 50 | 425 | 425 | 425 | 425 |
| Olympic Regional Development Authority | 463 | 463 | 463 | 463 | 463 |
| Functional Total | 14,497 | 14,165 | 13,065 | 12,265 | 12,265 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 383 | 305 | 305 | 305 | 305 |
| Environmental Conservation, Department of | 9,761 | 9,388 | 9,253 | 9,253 | 15,129 |
| Parks, Recreation and Historic Preservation, Office of | 4,989 | 7,605 | 7,605 | 7,605 | 7,605 |
| Functional Total | 15,133 | 17,298 | 17,163 | 17,163 | 23,039 |
| TRANSPORTATION | | | | | |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 1,082 | 1,153 | 1,169 | 1,169 | 1,169 |
| Functional Total | 19,423 | 22,653 | 22,669 | 22,669 | 22,669 |
| HEALTH | | | | | |
| Aging, Office for the | 180 | 181 | 181 | 181 | 181 |
| Health, Department of | 205,888 | 343,010 | 341,118 | 310,415 | 301,148 |
| <i>Basic Health Plan</i> | 0 | 35,028 | 40,840 | 28,278 | 26,506 |
| <i>Medicaid Administration</i> | 133,480 | 245,716 | 241,919 | 223,678 | 216,183 |
| <i>Public Health</i> | 72,408 | 62,266 | 58,359 | 58,459 | 58,459 |
| Medicaid Inspector General, Office of the | 4,204 | 4,531 | 4,531 | 4,531 | 4,531 |
| Functional Total | 210,272 | 347,722 | 345,830 | 315,127 | 305,860 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 67,328 | 63,853 | 61,593 | 63,523 | 67,882 |
| <i>OCFS</i> | 67,328 | 63,853 | 61,593 | 63,523 | 67,882 |
| Housing and Community Renewal, Division of | 2,525 | 351 | 351 | 351 | 351 |
| Human Rights, Division of | 1,663 | 500 | 500 | 500 | 500 |
| Labor, Department of | 186 | 200 | 200 | 200 | 200 |
| National and Community Service | 8 | 9 | 9 | 9 | 9 |
| Temporary and Disability Assistance, Office of | 71,714 | 84,001 | 84,001 | 84,001 | 84,001 |
| <i>All Other</i> | 71,714 | 84,001 | 84,001 | 84,001 | 84,001 |
| Functional Total | 143,424 | 148,914 | 146,654 | 148,584 | 152,943 |
| MENTAL HYGIENE | | | | | |
| Justice Center | 10,589 | 13,677 | 14,050 | 14,423 | 14,796 |
| Mental Health, Office of | 257 | 800 | 800 | 800 | 800 |
| <i>OMH</i> | 257 | 800 | 800 | 800 | 800 |
| Functional Total | 10,846 | 14,477 | 14,850 | 15,223 | 15,596 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 185 | 237 | 237 | 237 | 237 |
| Correctional Services, Department of | 536,853 | 510,390 | 535,390 | 535,390 | 535,390 |
| Criminal Justice Services, Division of | 8,129 | 8,631 | 8,631 | 8,631 | 8,631 |
| Disaster Assistance | (1,427) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 2,063 | 3,200 | 0 | 0 | 0 |
| Judicial Conduct, Commission on | 1,356 | 1,403 | 1,403 | 1,403 | 1,431 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 25 | 25 | 25 | 25 |
| Military and Naval Affairs, Division of | 5,585 | 6,036 | 6,036 | 6,036 | 6,036 |
| State Police, Division of | 48,494 | 42,819 | 42,819 | 42,819 | 42,819 |
| Statewide Financial System | 19,982 | 19,025 | 19,073 | 19,079 | 19,079 |
| Functional Total | 621,256 | 546,487 | 613,644 | 613,650 | 613,678 |
| HIGHER EDUCATION | | | | | |
| State University of New York | 9,183 | 0 | 0 | 0 | 0 |
| Functional Total | 9,183 | 0 | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| EDUCATION | | | | | |
| Arts, Council on the | 1,180 | 1,822 | 1,822 | 1,822 | 1,822 |
| Education, Department of | 21,827 | 23,808 | 23,808 | 23,808 | 23,808 |
| <i>All Other</i> | 21,827 | 23,808 | 23,808 | 23,808 | 23,808 |
| Functional Total | <u>23,007</u> | <u>25,630</u> | <u>25,630</u> | <u>25,630</u> | <u>25,630</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 1,638 | 2,206 | 1,679 | 1,679 | 1,679 |
| Civil Service, Department of | 491 | 401 | 401 | 401 | 406 |
| Deferred Compensation Board | 13 | 25 | 25 | 25 | 25 |
| Elections, State Board of | 1,028 | 3,739 | 3,173 | 3,207 | 3,169 |
| Employee Relations, Office of | 32 | 71 | 71 | 71 | 72 |
| Gaming Commission, New York State | 0 | 2,489 | 2,489 | 2,489 | 2,489 |
| General Services, Office of | 83,874 | 80,087 | 78,291 | 78,291 | 78,291 |
| Inspector General, Office of the | 852 | 557 | 557 | 557 | 569 |
| Labor Management Committees | 17,696 | 29,610 | 39,610 | 29,610 | 29,610 |
| Prevention of Domestic Violence, Office for | 87 | 203 | 203 | 203 | 203 |
| Public Employment Relations Board | 202 | 193 | 193 | 193 | 197 |
| Public Integrity, Commission on | 729 | 911 | 911 | 911 | 930 |
| State, Department of | 1,577 | 939 | 239 | 239 | 239 |
| Tax Appeals, Division of | 161 | 170 | 170 | 170 | 170 |
| Taxation and Finance, Department of | 41,488 | 29,755 | 29,755 | 29,755 | 29,755 |
| Technology, Office for | 148,419 | 236,818 | 247,289 | 247,270 | 259,000 |
| Veterans' Affairs, Division of | 407 | 292 | 292 | 292 | 298 |
| Welfare Inspector General, Office of | 101 | 458 | 458 | 458 | 468 |
| Functional Total | <u>298,795</u> | <u>388,924</u> | <u>405,806</u> | <u>395,821</u> | <u>407,570</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 27,174 | 26,320 | 26,320 | 26,320 | 26,320 |
| Executive Chamber | 3,345 | 2,443 | 2,109 | 1,765 | 1,765 |
| Judiciary | 383,101 | 403,500 | 403,500 | 403,500 | 403,500 |
| Law, Department of | 14,798 | 12,883 | 12,883 | 12,883 | 12,883 |
| Legislature | 44,981 | 51,514 | 51,514 | 51,514 | 51,514 |
| Lieutenant Governor, Office of the | 67 | 116 | 101 | 91 | 91 |
| Functional Total | <u>473,466</u> | <u>496,776</u> | <u>496,427</u> | <u>496,073</u> | <u>496,073</u> |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 100 | 0 | 0 | 0 | 0 |
| Functional Total | <u>100</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 19,137 | 160,712 | 160,712 | 425,712 | 226,712 |
| Functional Total | <u>19,137</u> | <u>160,712</u> | <u>160,712</u> | <u>425,712</u> | <u>226,712</u> |
| TOTAL NON-PERSONAL SERVICE SPENDING | <u><u>1,858,539</u></u> | <u><u>2,183,758</u></u> | <u><u>2,262,450</u></u> | <u><u>2,487,917</u></u> | <u><u>2,302,035</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| HIGHER EDUCATION | | | | | |
| State University of New York | 217,765 | 55,701 | 0 | 0 | 0 |
| Functional Total | <u>217,765</u> | <u>55,701</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| ELECTED OFFICIALS | | | | | |
| Judiciary | 636,654 | 668,703 | 714,703 | 762,103 | 797,103 |
| Functional Total | <u>636,654</u> | <u>668,703</u> | <u>714,703</u> | <u>762,103</u> | <u>797,103</u> |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Miscellaneous | 12,587 | 4,420 | 4,420 | 4,420 | 4,420 |
| Functional Total | <u>4,144,273</u> | <u>4,470,696</u> | <u>4,995,267</u> | <u>5,269,590</u> | <u>5,551,561</u> |
| TOTAL GENERAL STATE CHARGES SPENDING | <u><u>4,998,692</u></u> | <u><u>5,195,100</u></u> | <u><u>5,709,970</u></u> | <u><u>6,031,693</u></u> | <u><u>6,348,664</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| <i>Agriculture and Markets, Department of</i> | 79,519 | 90,695 | 81,483 | 81,615 | 81,682 |
| Local Assistance Grants | 25,275 | 35,197 | 27,321 | 27,321 | 27,321 |
| State Operations | 52,866 | 53,905 | 52,434 | 52,434 | 52,434 |
| Personal Service | 28,342 | 29,090 | 28,516 | 28,516 | 28,516 |
| Non-Personal Service/Indirect Costs | 24,524 | 24,815 | 23,918 | 23,918 | 23,918 |
| General State Charges | 1,378 | 1,593 | 1,728 | 1,860 | 1,927 |
| <i>Alcoholic Beverage Control, Division of</i> | 17,636 | 17,394 | 17,551 | 17,728 | 17,728 |
| State Operations | 13,095 | 12,751 | 12,753 | 12,755 | 12,755 |
| Personal Service | 7,657 | 8,147 | 8,147 | 8,147 | 8,147 |
| Non-Personal Service/Indirect Costs | 5,438 | 4,604 | 4,606 | 4,608 | 4,608 |
| General State Charges | 4,541 | 4,643 | 4,798 | 4,973 | 4,973 |
| <i>Economic Development, Department of</i> | 56,467 | 83,872 | 79,835 | 83,035 | 83,035 |
| Local Assistance Grants | 36,404 | 61,716 | 57,879 | 61,879 | 61,879 |
| State Operations | 20,063 | 22,128 | 21,928 | 21,128 | 21,128 |
| Personal Service | 11,493 | 13,629 | 13,629 | 13,629 | 13,629 |
| Non-Personal Service/Indirect Costs | 8,570 | 8,499 | 8,299 | 7,499 | 7,499 |
| General State Charges | 0 | 28 | 28 | 28 | 28 |
| <i>Empire State Development Corporation</i> | 85,284 | 90,924 | 135,946 | 135,946 | 135,946 |
| Local Assistance Grants | 85,234 | 90,074 | 135,096 | 135,096 | 135,096 |
| State Operations | 50 | 850 | 850 | 850 | 850 |
| Personal Service | 0 | 425 | 425 | 425 | 425 |
| Non-Personal Service/Indirect Costs | 50 | 425 | 425 | 425 | 425 |
| <i>Energy Research and Development Authority</i> | 11,972 | 0 | 0 | 0 | 0 |
| Local Assistance Grants | 5,527 | 0 | 0 | 0 | 0 |
| State Operations | 4,841 | 0 | 0 | 0 | 0 |
| Personal Service | 3,622 | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | 1,219 | 0 | 0 | 0 | 0 |
| General State Charges | 1,604 | 0 | 0 | 0 | 0 |
| <i>Financial Services, Department of</i> | 491,138 | 376,710 | 377,358 | 379,038 | 379,170 |
| Local Assistance Grants | 223,476 | 76,664 | 76,414 | 76,289 | 76,289 |
| State Operations | 191,287 | 211,651 | 210,417 | 209,717 | 209,717 |
| Personal Service | 137,783 | 153,580 | 153,580 | 153,580 | 153,580 |
| Non-Personal Service/Indirect Costs | 53,504 | 58,071 | 56,837 | 56,137 | 56,137 |
| General State Charges | 76,375 | 88,395 | 90,527 | 93,032 | 93,164 |
| <i>Olympic Regional Development Authority</i> | 3,011 | 3,161 | 3,161 | 3,161 | 3,161 |
| State Operations | 3,011 | 3,161 | 3,161 | 3,161 | 3,161 |
| Personal Service | 2,548 | 2,548 | 2,548 | 2,548 | 2,548 |
| Non-Personal Service/Indirect Costs | 463 | 613 | 613 | 613 | 613 |
| <i>Public Service Department</i> | 69,084 | 73,322 | 74,192 | 75,552 | 76,951 |
| Local Assistance Grants | 0 | 188 | 188 | 188 | 188 |
| State Operations | 47,496 | 49,708 | 49,630 | 49,713 | 49,713 |
| Personal Service | 39,760 | 42,089 | 42,075 | 42,156 | 42,156 |
| Non-Personal Service/Indirect Costs | 7,736 | 7,619 | 7,555 | 7,557 | 7,557 |
| General State Charges | 21,588 | 23,426 | 24,374 | 25,651 | 27,050 |
| Functional Total | 814,111 | 736,078 | 769,526 | 776,075 | 777,673 |
| PARKS AND THE ENVIRONMENT | | | | | |
| <i>Adirondack Park Agency</i> | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| State Operations | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| Personal Service | 3,893 | 4,027 | 4,027 | 4,027 | 4,027 |
| Non-Personal Service/Indirect Costs | 383 | 305 | 305 | 305 | 305 |
| <i>Environmental Conservation, Department of</i> | 277,931 | 299,294 | 290,256 | 262,511 | 263,566 |
| Local Assistance Grants | 2,889 | 15,225 | 5,200 | 5,200 | 5,200 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| State Operations | 229,795 | 238,313 | 238,005 | 216,269 | 217,174 |
| Personal Service | 174,406 | 181,687 | 181,779 | 170,105 | 170,271 |
| Non-Personal Service/Indirect Costs | 55,389 | 56,626 | 56,226 | 46,164 | 46,903 |
| General State Charges | 45,247 | 45,756 | 47,051 | 41,042 | 41,192 |
| Parks, Recreation and Historic Preservation, Office of | 191,888 | 190,109 | 190,911 | 191,086 | 191,086 |
| Local Assistance Grants | 8,444 | 8,505 | 7,425 | 7,600 | 7,600 |
| State Operations | 179,509 | 177,420 | 177,769 | 177,768 | 177,768 |
| Personal Service | 133,928 | 137,303 | 137,678 | 137,678 | 137,678 |
| Non-Personal Service/Indirect Costs | 45,581 | 40,117 | 40,091 | 40,090 | 40,090 |
| General State Charges | 2,829 | 3,184 | 3,217 | 3,218 | 3,218 |
| Capital Projects | 1,106 | 1,000 | 2,500 | 2,500 | 2,500 |
| Functional Total | 474,095 | 493,735 | 485,499 | 457,929 | 458,984 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 81,206 | 87,952 | 88,637 | 89,382 | 89,494 |
| State Operations | 58,500 | 62,848 | 62,848 | 62,848 | 62,848 |
| Personal Service | 43,692 | 45,054 | 45,054 | 45,054 | 45,054 |
| Non-Personal Service/Indirect Costs | 14,808 | 17,794 | 17,794 | 17,794 | 17,794 |
| General State Charges | 22,591 | 25,104 | 25,789 | 26,534 | 26,646 |
| Capital Projects | 115 | 0 | 0 | 0 | 0 |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| State Operations | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Non-Personal Service/Indirect Costs | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 4,860,960 | 4,886,451 | 4,941,988 | 5,013,417 | 5,077,276 |
| Local Assistance Grants | 4,834,115 | 4,861,827 | 4,916,165 | 4,987,387 | 5,050,999 |
| State Operations | 22,502 | 20,397 | 21,430 | 21,430 | 21,430 |
| Personal Service | 6,980 | 7,645 | 7,646 | 7,646 | 7,646 |
| Non-Personal Service/Indirect Costs | 15,522 | 12,752 | 13,784 | 13,784 | 13,784 |
| General State Charges | 4,242 | 4,227 | 4,393 | 4,600 | 4,847 |
| Capital Projects | 101 | 0 | 0 | 0 | 0 |
| Functional Total | 4,960,507 | 4,995,903 | 5,052,125 | 5,124,299 | 5,188,270 |
| HEALTH | | | | | |
| Aging, Office for the | 123,306 | 131,883 | 132,205 | 137,278 | 142,478 |
| Local Assistance Grants | 121,870 | 130,576 | 131,346 | 136,419 | 141,619 |
| State Operations | 1,436 | 1,307 | 859 | 859 | 859 |
| Personal Service | 1,256 | 1,125 | 677 | 677 | 677 |
| Non-Personal Service/Indirect Costs | 180 | 182 | 182 | 182 | 182 |
| Health, Department of | 19,114,503 | 19,835,721 | 20,563,973 | 21,344,072 | 22,102,598 |
| Medical Assistance | 16,275,220 | 16,894,090 | 17,185,792 | 17,941,871 | 18,662,461 |
| Local Assistance Grants | 16,275,220 | 16,894,090 | 17,185,792 | 17,941,871 | 18,662,461 |
| Basic Health Plan | 0 | 169,961 | 642,739 | 649,142 | 665,934 |
| Local Assistance Grants | 0 | 134,250 | 601,095 | 620,330 | 638,940 |
| State Operations | 0 | 35,711 | 41,644 | 28,812 | 26,994 |
| Personal Service | 0 | 683 | 804 | 534 | 488 |
| Non-Personal Service/Indirect Costs | 0 | 35,028 | 40,840 | 28,278 | 26,506 |
| Medicaid Administration | 677,990 | 676,497 | 682,597 | 670,297 | 667,997 |
| Local Assistance Grants | 515,184 | 386,431 | 374,411 | 374,411 | 374,411 |
| State Operations | 162,806 | 290,066 | 308,186 | 295,886 | 293,586 |
| Personal Service | 29,326 | 44,350 | 66,267 | 72,208 | 77,403 |
| Non-Personal Service/Indirect Costs | 133,480 | 245,716 | 241,919 | 223,678 | 216,183 |
| Public Health | 2,161,293 | 2,095,173 | 2,052,845 | 2,082,762 | 2,106,206 |
| Local Assistance Grants | 1,718,844 | 1,661,096 | 1,622,035 | 1,650,834 | 1,673,863 |
| State Operations | 442,449 | 434,077 | 430,810 | 431,928 | 432,343 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Personal Service | 229,575 | 219,367 | 218,220 | 218,231 | 218,235 |
| Non-Personal Service/Indirect Costs | 181,988 | 184,171 | 181,257 | 182,357 | 182,765 |
| General State Charges | 30,886 | 30,539 | 31,333 | 31,340 | 31,343 |
| Medicaid Inspector General, Office of the | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| State Operations | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| Personal Service | 16,617 | 16,705 | 16,470 | 16,470 | 16,470 |
| Non-Personal Service/Indirect Costs | 4,204 | 4,531 | 4,531 | 4,531 | 4,531 |
| Stem Cell and Innovation | 33,483 | 29,785 | 29,785 | 29,785 | 29,785 |
| State Operations | 33,294 | 29,785 | 29,785 | 29,785 | 29,785 |
| Personal Service | 368 | 463 | 463 | 463 | 463 |
| Non-Personal Service/Indirect Costs | 32,926 | 29,322 | 29,322 | 29,322 | 29,322 |
| General State Charges | 189 | 0 | 0 | 0 | 0 |
| Functional Total | 19,292,113 | 20,018,625 | 20,746,964 | 21,532,136 | 22,295,862 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,864,842 | 2,019,475 | 2,023,576 | 2,073,404 | 2,095,936 |
| OCFS | 1,778,843 | 1,932,701 | 1,935,402 | 1,983,835 | 2,006,538 |
| Local Assistance Grants | 1,514,779 | 1,671,222 | 1,681,602 | 1,728,108 | 1,744,256 |
| State Operations | 263,693 | 258,821 | 251,142 | 253,069 | 259,624 |
| Personal Service | 170,077 | 165,755 | 160,336 | 160,333 | 161,948 |
| Non-Personal Service/Indirect Costs | 93,616 | 93,066 | 90,806 | 92,736 | 97,676 |
| General State Charges | 371 | 2,658 | 2,658 | 2,658 | 2,658 |
| OCFS - Other | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Local Assistance Grants | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 77,527 | 71,883 | 100,162 | 100,712 | 105,712 |
| Local Assistance Grants | 11,531 | 6,256 | 34,535 | 35,085 | 40,085 |
| State Operations | 51,036 | 49,246 | 49,246 | 49,246 | 49,246 |
| Personal Service | 38,995 | 40,403 | 40,403 | 40,403 | 40,403 |
| Non-Personal Service/Indirect Costs | 12,041 | 8,843 | 8,843 | 8,843 | 8,843 |
| General State Charges | 14,960 | 16,381 | 16,381 | 16,381 | 16,381 |
| Human Rights, Division of | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| State Operations | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| Personal Service | 8,919 | 9,461 | 9,461 | 9,461 | 9,461 |
| Non-Personal Service/Indirect Costs | 1,663 | 500 | 500 | 500 | 500 |
| Labor, Department of | 71,294 | 73,119 | 65,119 | 65,119 | 65,119 |
| Local Assistance Grants | 7,664 | 8,150 | 150 | 150 | 150 |
| State Operations | 45,973 | 46,519 | 46,519 | 46,519 | 46,519 |
| Personal Service | 30,078 | 32,618 | 32,618 | 32,618 | 32,618 |
| Non-Personal Service/Indirect Costs | 15,895 | 13,901 | 13,901 | 13,901 | 13,901 |
| General State Charges | 17,657 | 18,450 | 18,450 | 18,450 | 18,450 |
| National and Community Service | 687 | 687 | 687 | 687 | 690 |
| Local Assistance Grants | 450 | 350 | 350 | 350 | 350 |
| State Operations | 237 | 337 | 337 | 337 | 340 |
| Personal Service | 229 | 328 | 328 | 328 | 331 |
| Non-Personal Service/Indirect Costs | 8 | 9 | 9 | 9 | 9 |
| Temporary and Disability Assistance, Office of | 1,375,356 | 1,361,452 | 1,379,305 | 1,390,205 | 1,405,605 |
| Welfare Assistance | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| Local Assistance Grants | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| All Other | 237,353 | 235,544 | 245,682 | 247,082 | 253,482 |
| Local Assistance Grants | 97,755 | 92,256 | 102,496 | 103,896 | 110,296 |
| State Operations | 139,598 | 143,288 | 143,186 | 143,186 | 143,186 |
| Personal Service | 67,810 | 59,087 | 58,985 | 58,985 | 58,985 |
| Non-Personal Service/Indirect Costs | 71,788 | 84,201 | 84,201 | 84,201 | 84,201 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Functional Total | 3,400,288 | 3,536,577 | 3,578,810 | 3,640,088 | 3,683,023 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 409,706 | 427,523 | 439,853 | 455,575 | 469,528 |
| OASAS | 325,791 | 342,667 | 357,078 | 371,689 | 384,017 |
| Local Assistance Grants | 282,132 | 299,925 | 313,677 | 327,347 | 338,525 |
| State Operations | 30,187 | 29,060 | 29,297 | 29,529 | 29,814 |
| Personal Service | 20,250 | 20,965 | 21,028 | 21,091 | 21,212 |
| Non-Personal Service/Indirect Costs | 9,937 | 8,095 | 8,269 | 8,438 | 8,602 |
| General State Charges | 13,472 | 13,682 | 14,104 | 14,813 | 15,678 |
| OASAS - Other | 83,915 | 84,856 | 82,775 | 83,886 | 85,511 |
| Local Assistance Grants | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| State Operations | 44,341 | 44,008 | 42,003 | 42,301 | 42,696 |
| Personal Service | 32,857 | 34,295 | 32,340 | 32,444 | 32,637 |
| Non-Personal Service/Indirect Costs | 11,484 | 9,713 | 9,663 | 9,857 | 10,059 |
| General State Charges | 18,249 | 19,523 | 19,447 | 20,260 | 21,490 |
| Justice Center | 31,652 | 41,925 | 43,155 | 44,531 | 46,139 |
| Local Assistance Grants | 600 | 620 | 620 | 620 | 620 |
| State Operations | 30,470 | 40,605 | 41,796 | 43,109 | 44,639 |
| Personal Service | 19,845 | 26,893 | 27,710 | 28,649 | 29,805 |
| Non-Personal Service/Indirect Costs | 10,625 | 13,712 | 14,086 | 14,460 | 14,834 |
| General State Charges | 582 | 700 | 739 | 802 | 880 |
| Mental Health, Office of | 3,146,926 | 3,225,391 | 3,292,840 | 3,440,568 | 3,567,595 |
| OMH | 1,342,969 | 1,316,044 | 1,463,074 | 1,548,377 | 1,613,318 |
| Local Assistance Grants | 810,344 | 908,527 | 932,543 | 1,007,016 | 1,054,282 |
| State Operations | 336,351 | 300,028 | 358,334 | 362,066 | 367,469 |
| Personal Service | 270,100 | 243,244 | 297,273 | 299,633 | 303,663 |
| Non-Personal Service/Indirect Costs | 66,251 | 56,784 | 61,061 | 62,433 | 63,806 |
| General State Charges | 196,274 | 107,489 | 172,197 | 179,295 | 191,567 |
| OMH - Other | 1,803,957 | 1,909,347 | 1,829,766 | 1,892,191 | 1,954,277 |
| Local Assistance Grants | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| State Operations | 1,047,217 | 1,124,545 | 1,041,363 | 1,043,127 | 1,060,119 |
| Personal Service | 807,039 | 890,423 | 805,241 | 803,003 | 814,123 |
| Non-Personal Service/Indirect Costs | 240,178 | 234,122 | 236,122 | 240,124 | 245,996 |
| General State Charges | 409,884 | 509,339 | 471,779 | 489,582 | 524,319 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| State Operations | 228 | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 3,465,088 | 3,178,504 | 3,183,314 | 3,538,376 | 3,720,608 |
| OPWDD | 433,907 | 335,536 | 378,068 | 365,068 | 365,068 |
| Local Assistance Grants | 433,850 | 335,355 | 377,887 | 364,887 | 364,887 |
| State Operations | 57 | 181 | 181 | 181 | 181 |
| Non-Personal Service/Indirect Costs | 57 | 181 | 181 | 181 | 181 |
| OPWDD - Other | 3,031,181 | 2,842,968 | 2,805,246 | 3,173,308 | 3,355,540 |
| Local Assistance Grants | 1,027,577 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| State Operations | 1,374,122 | 1,335,029 | 1,282,249 | 1,294,145 | 1,311,078 |
| Personal Service | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| Non-Personal Service/Indirect Costs | 261,618 | 213,941 | 211,338 | 216,364 | 222,155 |
| General State Charges | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| Functional Total | 7,053,600 | 6,873,343 | 6,959,162 | 7,479,050 | 7,803,870 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| State Operations | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Personal Service | 2,037 | 2,414 | 2,414 | 2,414 | 2,414 |
| Non-Personal Service/Indirect Costs | 185 | 237 | 237 | 237 | 237 |
| Correctional Services, Department of | 2,647,170 | 2,696,145 | 2,643,988 | 2,644,198 | 2,644,404 |
| Local Assistance Grants | 5,939 | 6,022 | 6,022 | 6,022 | 6,022 |
| State Operations | 2,641,151 | 2,689,996 | 2,637,834 | 2,638,040 | 2,638,246 |
| Personal Service | 2,102,399 | 2,176,979 | 2,099,817 | 2,100,023 | 2,100,229 |
| Non-Personal Service/Indirect Costs | 538,752 | 513,017 | 538,017 | 538,017 | 538,017 |
| General State Charges | 80 | 127 | 132 | 136 | 136 |
| Criminal Justice Services, Division of | 194,721 | 209,733 | 196,885 | 191,885 | 191,885 |
| Local Assistance Grants | 156,998 | 170,087 | 157,236 | 152,236 | 152,236 |
| State Operations | 37,663 | 39,561 | 39,561 | 39,561 | 39,561 |
| Personal Service | 26,862 | 26,225 | 26,225 | 26,225 | 26,225 |
| Non-Personal Service/Indirect Costs | 10,801 | 13,336 | 13,336 | 13,336 | 13,336 |
| General State Charges | 60 | 85 | 88 | 88 | 88 |
| Disaster Assistance | (8,011) | (45,309) | 0 | 0 | 0 |
| Local Assistance Grants | 2,726 | 0 | 0 | 0 | 0 |
| State Operations | (10,737) | (45,309) | 0 | 0 | 0 |
| Personal Service | (9,310) | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | (1,427) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 103,159 | 59,691 | 116,922 | 92,697 | 64,970 |
| Local Assistance Grants | 65,440 | 31,112 | 92,519 | 68,273 | 40,523 |
| State Operations | 37,332 | 27,750 | 23,551 | 23,551 | 23,551 |
| Personal Service | 14,217 | 13,581 | 13,581 | 13,581 | 13,581 |
| Non-Personal Service/Indirect Costs | 23,115 | 14,169 | 9,970 | 9,970 | 9,970 |
| General State Charges | 387 | 829 | 852 | 873 | 896 |
| Indigent Legal Services, Office of | 52,689 | 68,017 | 105,967 | 104,567 | 104,567 |
| Local Assistance Grants | 51,123 | 65,000 | 103,000 | 101,600 | 101,600 |
| State Operations | 1,051 | 2,489 | 2,239 | 2,239 | 2,239 |
| Personal Service | 928 | 1,454 | 1,704 | 1,704 | 1,704 |
| Non-Personal Service/Indirect Costs | 123 | 1,035 | 535 | 535 | 535 |
| General State Charges | 515 | 528 | 728 | 728 | 728 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| State Operations | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Personal Service | 4,028 | 4,181 | 4,181 | 4,181 | 4,212 |
| Non-Personal Service/Indirect Costs | 1,356 | 1,403 | 1,403 | 1,403 | 1,431 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| State Operations | 24 | 30 | 30 | 30 | 30 |
| Non-Personal Service/Indirect Costs | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |
| State Operations | 12 | 38 | 38 | 38 | 38 |
| Personal Service | 0 | 13 | 13 | 13 | 13 |
| Non-Personal Service/Indirect Costs | 12 | 25 | 25 | 25 | 25 |
| Military and Naval Affairs, Division of | 25,302 | 27,250 | 26,575 | 26,575 | 26,575 |
| Local Assistance Grants | 724 | 911 | 911 | 911 | 911 |
| State Operations | 24,261 | 26,259 | 25,584 | 25,584 | 25,584 |
| Personal Service | 15,759 | 17,789 | 17,114 | 17,114 | 17,114 |
| Non-Personal Service/Indirect Costs | 8,502 | 8,470 | 8,470 | 8,470 | 8,470 |
| General State Charges | 317 | 80 | 80 | 80 | 80 |
| State Police, Division of | 669,396 | 642,113 | 653,584 | 653,726 | 653,888 |
| State Operations | 666,777 | 639,402 | 650,773 | 650,773 | 650,773 |
| Personal Service | 571,632 | 570,599 | 585,770 | 585,770 | 585,770 |
| Non-Personal Service/Indirect Costs | 95,145 | 68,803 | 65,003 | 65,003 | 65,003 |
| General State Charges | 2,619 | 2,711 | 2,811 | 2,953 | 3,115 |
| Statewide Financial System | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|-------------------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| State Operations | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| Personal Service | 9,622 | 11,112 | 10,638 | 10,638 | 10,638 |
| Non-Personal Service/Indirect Costs | 22,337 | 19,025 | 19,073 | 19,079 | 19,079 |
| Victim Services, Office of | 30,870 | 37,061 | 36,160 | 36,160 | 36,160 |
| Local Assistance Grants | 25,567 | 30,970 | 30,070 | 30,070 | 30,070 |
| State Operations | 3,712 | 3,900 | 3,900 | 3,900 | 3,900 |
| Personal Service | 3,162 | 3,176 | 3,176 | 3,176 | 3,176 |
| Non-Personal Service/Indirect Costs | 550 | 724 | 724 | 724 | 724 |
| General State Charges | 1,591 | 2,191 | 2,190 | 2,190 | 2,190 |
| Functional Total | 3,754,897 | 3,733,141 | 3,818,095 | 3,787,828 | 3,760,528 |

HIGHER EDUCATION

| | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|
| City University of New York | 1,482,817 | 1,516,470 | 1,516,602 | 1,547,484 | 1,591,852 |
| Local Assistance Grants | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| State Operations | 80,053 | 84,363 | 86,265 | 88,206 | 89,080 |
| Personal Service | 48,140 | 42,363 | 43,425 | 44,509 | 44,509 |
| Non-Personal Service/Indirect Costs | 31,913 | 42,000 | 42,840 | 43,697 | 44,571 |
| General State Charges | 7,717 | 6,000 | 6,000 | 6,000 | 6,000 |
| Higher Education - Miscellaneous | 300 | 390 | 390 | 390 | 390 |
| State Operations | 217 | 291 | 291 | 291 | 291 |
| Personal Service | 146 | 198 | 198 | 198 | 198 |
| Non-Personal Service/Indirect Costs | 71 | 93 | 93 | 93 | 93 |
| General State Charges | 83 | 99 | 99 | 99 | 99 |
| Higher Education Services Corporation, New York State | 1,267,122 | 1,115,631 | 1,165,517 | 1,196,825 | 1,213,825 |
| Local Assistance Grants | 1,209,916 | 1,061,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| State Operations | 43,245 | 43,612 | 43,586 | 43,586 | 43,586 |
| Personal Service | 16,369 | 17,124 | 17,099 | 17,099 | 17,099 |
| Non-Personal Service/Indirect Costs | 26,876 | 26,488 | 26,487 | 26,487 | 26,487 |
| General State Charges | 13,961 | 10,228 | 10,566 | 10,978 | 10,978 |
| State University of New York | 6,794,732 | 6,662,582 | 6,761,570 | 6,897,779 | 7,042,428 |
| Local Assistance Grants | 486,563 | 503,258 | 501,815 | 501,815 | 501,315 |
| State Operations | 5,773,804 | 5,719,780 | 5,867,304 | 5,994,640 | 6,130,640 |
| Personal Service | 3,502,895 | 3,578,972 | 3,659,588 | 3,715,668 | 3,776,196 |
| Non-Personal Service/Indirect Costs | 2,270,909 | 2,140,808 | 2,207,716 | 2,278,972 | 2,354,444 |
| General State Charges | 534,365 | 439,544 | 392,451 | 401,324 | 410,473 |
| Functional Total | 9,544,971 | 9,295,073 | 9,444,079 | 9,642,478 | 9,848,495 |

EDUCATION

| | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| Arts, Council on the | 66,103 | 45,253 | 45,253 | 45,253 | 45,253 |
| Local Assistance Grants | 62,791 | 40,933 | 40,933 | 40,933 | 40,933 |
| State Operations | 3,312 | 4,320 | 4,320 | 4,320 | 4,320 |
| Personal Service | 2,132 | 2,498 | 2,498 | 2,498 | 2,498 |
| Non-Personal Service/Indirect Costs | 1,180 | 1,822 | 1,822 | 1,822 | 1,822 |
| Education, Department of | 27,174,390 | 29,124,232 | 30,516,408 | 31,786,293 | 33,251,688 |
| School Aid | 21,630,610 | 23,377,678 | 24,590,535 | 25,686,249 | 26,965,678 |
| Local Assistance Grants | 21,630,610 | 23,377,678 | 24,590,535 | 25,686,249 | 26,965,678 |
| STAR Property Tax Relief | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| Local Assistance Grants | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| Special Education Categorical Programs | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| Local Assistance Grants | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| All Other | 795,828 | 894,772 | 898,679 | 917,252 | 938,678 |
| Local Assistance Grants | 629,621 | 729,844 | 732,580 | 749,462 | 768,965 |
| State Operations | 133,491 | 132,501 | 132,484 | 132,484 | 132,484 |
| Personal Service | 84,014 | 83,488 | 83,488 | 83,488 | 83,488 |
| Non-Personal Service/Indirect Costs | 49,477 | 49,013 | 48,996 | 48,996 | 48,996 |
| General State Charges | 32,716 | 32,427 | 33,615 | 35,306 | 37,229 |
| Functional Total | 27,240,493 | 29,169,485 | 30,561,661 | 31,831,546 | 33,296,941 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 23,809 | 30,920 | 30,495 | 30,596 | 30,596 |
| State Operations | 22,834 | 29,477 | 28,938 | 28,939 | 28,939 |
| Personal Service | 20,223 | 24,434 | 24,514 | 24,567 | 24,567 |
| Non-Personal Service/Indirect Costs | 2,611 | 5,043 | 4,424 | 4,372 | 4,372 |
| General State Charges | 975 | 1,443 | 1,557 | 1,657 | 1,657 |
| Civil Service, Department of | 13,196 | 13,395 | 13,381 | 13,381 | 13,507 |
| State Operations | 13,045 | 13,219 | 13,205 | 13,205 | 13,331 |
| Personal Service | 12,046 | 12,398 | 12,384 | 12,384 | 12,497 |
| Non-Personal Service/Indirect Costs | 999 | 821 | 821 | 821 | 834 |
| General State Charges | 151 | 176 | 176 | 176 | 176 |
| Deferred Compensation Board | 527 | 855 | 866 | 866 | 866 |
| State Operations | 361 | 630 | 641 | 641 | 641 |
| Personal Service | 305 | 410 | 410 | 410 | 410 |
| Non-Personal Service/Indirect Costs | 56 | 220 | 231 | 231 | 231 |
| General State Charges | 166 | 225 | 225 | 225 | 225 |
| Elections, State Board of | 6,100 | 11,146 | 12,746 | 13,746 | 137,851 |
| Local Assistance Grants | 253 | 1,800 | 0 | 0 | 111,000 |
| State Operations | 5,847 | 9,346 | 12,746 | 13,172 | 24,902 |
| Personal Service | 4,680 | 5,607 | 5,573 | 6,539 | 11,682 |
| Non-Personal Service/Indirect Costs | 1,167 | 3,739 | 7,173 | 6,633 | 13,220 |
| General State Charges | 0 | 0 | 0 | 574 | 1,949 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| State Operations | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Personal Service | 2,178 | 2,510 | 2,510 | 2,510 | 2,529 |
| Non-Personal Service/Indirect Costs | 32 | 71 | 71 | 71 | 72 |
| Gaming Commission, New York State | 149,273 | 206,934 | 177,943 | 232,841 | 232,841 |
| Local Assistance Grants | 0 | 34,200 | 5,000 | 58,200 | 58,200 |
| State Operations | 134,317 | 155,853 | 155,985 | 157,066 | 157,066 |
| Personal Service | 30,748 | 37,892 | 37,944 | 38,988 | 38,988 |
| Non-Personal Service/Indirect Costs | 103,569 | 117,961 | 118,041 | 118,078 | 118,078 |
| General State Charges | 14,956 | 16,881 | 16,958 | 17,575 | 17,575 |
| General Services, Office of | 153,982 | 165,462 | 167,090 | 167,205 | 167,335 |
| State Operations | 151,774 | 163,275 | 164,822 | 164,822 | 164,822 |
| Personal Service | 62,120 | 75,248 | 79,491 | 79,491 | 79,491 |
| Non-Personal Service/Indirect Costs | 89,654 | 88,027 | 85,331 | 85,331 | 85,331 |
| General State Charges | 2,208 | 2,187 | 2,268 | 2,383 | 2,513 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| State Operations | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Personal Service | 6,217 | 6,360 | 6,360 | 6,360 | 6,408 |
| Non-Personal Service/Indirect Costs | 852 | 557 | 557 | 557 | 569 |
| Labor Management Committees | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| State Operations | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| Personal Service | 6,402 | 5,446 | 5,446 | 5,446 | 5,446 |
| Non-Personal Service/Indirect Costs | 17,696 | 29,910 | 39,910 | 29,910 | 29,910 |
| Prevention of Domestic Violence, Office for | 1,897 | 2,281 | 2,281 | 2,281 | 2,281 |
| Local Assistance Grants | 543 | 685 | 685 | 685 | 685 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| State Operations | 1,354 | 1,596 | 1,596 | 1,596 | 1,596 |
| Personal Service | 1,267 | 1,388 | 1,388 | 1,388 | 1,388 |
| Non-Personal Service/Indirect Costs | 87 | 208 | 208 | 208 | 208 |
| Public Employment Relations Board | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| State Operations | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| Personal Service | 2,894 | 3,495 | 3,336 | 3,336 | 3,363 |
| Non-Personal Service/Indirect Costs | 221 | 236 | 236 | 237 | 241 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State Operations | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| Personal Service | 2,899 | 4,620 | 4,620 | 4,620 | 4,646 |
| Non-Personal Service/Indirect Costs | 729 | 911 | 911 | 911 | 930 |
| State, Department of | 57,843 | 75,827 | 59,965 | 60,163 | 60,163 |
| Local Assistance Grants | 6,545 | 21,691 | 7,379 | 7,379 | 7,379 |
| State Operations | 41,865 | 45,079 | 43,327 | 43,288 | 43,288 |
| Personal Service | 27,970 | 28,824 | 28,773 | 28,735 | 28,735 |
| Non-Personal Service/Indirect Costs | 13,895 | 16,255 | 14,554 | 14,553 | 14,553 |
| General State Charges | 9,433 | 9,057 | 9,259 | 9,496 | 9,496 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| State Operations | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Personal Service | 2,688 | 2,870 | 2,870 | 2,870 | 2,870 |
| Non-Personal Service/Indirect Costs | 161 | 170 | 170 | 170 | 170 |
| Taxation and Finance, Department of | 380,858 | 356,103 | 357,256 | 357,558 | 357,558 |
| Local Assistance Grants | 906 | 926 | 926 | 926 | 926 |
| State Operations | 348,167 | 330,131 | 330,461 | 330,618 | 330,618 |
| Personal Service | 285,802 | 276,619 | 276,608 | 276,761 | 276,761 |
| Non-Personal Service/Indirect Costs | 62,365 | 53,512 | 53,853 | 53,857 | 53,857 |
| General State Charges | 31,785 | 25,046 | 25,869 | 26,014 | 26,014 |
| Technology, Office for | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| State Operations | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| Personal Service | 277,996 | 275,888 | 275,891 | 275,861 | 275,861 |
| Non-Personal Service/Indirect Costs | 148,419 | 236,818 | 247,289 | 247,270 | 259,000 |
| Veterans' Affairs, Division of | 12,830 | 15,725 | 13,808 | 13,808 | 13,873 |
| Local Assistance Grants | 7,486 | 9,387 | 7,637 | 7,637 | 7,637 |
| State Operations | 5,344 | 6,338 | 6,171 | 6,171 | 6,236 |
| Personal Service | 4,937 | 6,046 | 5,879 | 5,879 | 5,938 |
| Non-Personal Service/Indirect Costs | 407 | 292 | 292 | 292 | 298 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| State Operations | 573 | 972 | 972 | 972 | 986 |
| Personal Service | 472 | 514 | 514 | 514 | 518 |
| Non-Personal Service/Indirect Costs | 101 | 458 | 458 | 458 | 468 |
| Workers' Compensation Board | 188,009 | 189,934 | 191,654 | 193,608 | 195,391 |
| State Operations | 141,996 | 141,706 | 141,706 | 141,706 | 143,489 |
| Personal Service | 77,315 | 80,977 | 80,977 | 80,977 | 81,592 |
| Non-Personal Service/Indirect Costs | 64,681 | 60,729 | 60,729 | 60,729 | 61,897 |
| General State Charges | 46,013 | 48,228 | 49,948 | 51,902 | 51,902 |
| Functional Total | 1,458,281 | 1,639,416 | 1,618,634 | 1,667,154 | 1,805,263 |

ELECTED OFFICIALS

| | | | | | |
|---|----------------|----------------|----------------|----------------|----------------|
| Audit and Control, Department of | 174,514 | 176,668 | 176,211 | 176,322 | 176,383 |
| Local Assistance Grants | 32,025 | 32,024 | 32,024 | 32,024 | 32,024 |
| State Operations | 140,855 | 142,710 | 142,320 | 142,320 | 142,320 |
| Personal Service | 109,351 | 111,168 | 110,901 | 110,901 | 110,901 |
| Non-Personal Service/Indirect Costs | 31,504 | 31,542 | 31,419 | 31,419 | 31,419 |
| General State Charges | 1,634 | 1,934 | 1,867 | 1,978 | 2,039 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| State Operations | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Personal Service | 10,621 | 11,135 | 11,469 | 11,813 | 11,813 |
| Non-Personal Service/Indirect Costs | 3,345 | 2,443 | 2,109 | 1,765 | 1,765 |
| Judiciary | 2,676,077 | 2,776,379 | 2,832,553 | 2,880,653 | 2,915,653 |
| Local Assistance Grants | 107,429 | 121,600 | 121,600 | 121,600 | 121,600 |
| State Operations | 1,908,736 | 1,958,476 | 1,967,500 | 1,967,500 | 1,967,500 |
| Personal Service | 1,479,075 | 1,504,376 | 1,513,400 | 1,513,400 | 1,513,400 |
| Non-Personal Service/Indirect Costs | 429,661 | 454,100 | 454,100 | 454,100 | 454,100 |
| General State Charges | 659,912 | 696,303 | 743,453 | 791,553 | 826,553 |
| Law, Department of | 179,054 | 187,214 | 190,481 | 192,070 | 194,474 |
| State Operations | 165,441 | 168,191 | 169,696 | 170,701 | 171,701 |
| Personal Service | 113,123 | 116,448 | 117,948 | 118,948 | 119,948 |
| Non-Personal Service/Indirect Costs | 52,318 | 51,743 | 51,748 | 51,753 | 51,753 |
| General State Charges | 13,613 | 19,023 | 20,785 | 21,369 | 22,773 |
| Legislature | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| State Operations | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| Personal Service | 160,777 | 166,331 | 166,331 | 166,331 | 166,331 |
| Non-Personal Service/Indirect Costs | 46,027 | 52,464 | 52,464 | 52,464 | 52,464 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| State Operations | 366 | 614 | 614 | 614 | 614 |
| Personal Service | 299 | 498 | 513 | 523 | 523 |
| Non-Personal Service/Indirect Costs | 67 | 116 | 101 | 91 | 91 |
| Functional Total | 3,250,781 | 3,373,248 | 3,432,232 | 3,482,032 | 3,519,497 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,438 | 741,121 | 759,356 | 762,710 | 763,347 |
| Local Assistance Grants | 726,338 | 741,121 | 759,356 | 762,710 | 763,347 |
| State Operations | 100 | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | 100 | 0 | 0 | 0 | 0 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Local Assistance Grants | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Local Assistance Grants | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Local Assistance Grants | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Local Assistance Grants | 217 | 218 | 218 | 218 | 218 |
| Functional Total | 765,376 | 784,554 | 791,328 | 793,882 | 794,519 |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Long-Term Debt Service | 6,221,470 | 5,166,373 | 6,254,184 | 6,745,920 | 7,050,295 |
| State Operations | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Non-Personal Service/Indirect Costs | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Debt Service | 6,182,816 | 5,122,413 | 6,207,506 | 6,699,242 | 7,003,617 |
| Miscellaneous | 63,825 | (31,795) | (34,795) | 180,209 | 9,225 |
| Local Assistance Grants | 29,171 | (62,943) | (65,943) | (65,943) | (22,943) |
| State Operations | 20,752 | 25,234 | 25,234 | 240,235 | 26,251 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Personal Service | 2,204 | 2,493 | 2,493 | 2,493 | 2,498 |
| Non-Personal Service/Indirect Costs | 18,548 | 22,741 | 22,741 | 237,742 | 23,753 |
| General State Charges | 13,902 | 5,914 | 5,914 | 5,917 | 5,917 |
| Functional Total | <u>10,416,981</u> | <u>9,600,854</u> | <u>11,210,236</u> | <u>12,191,299</u> | <u>12,606,661</u> |
| TOTAL STATE OPERATING FUNDS SPENDING | <u>92,426,494</u> | <u>94,250,032</u> | <u>98,468,351</u> | <u>102,405,796</u> | <u>105,839,586</u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 79,519 | 90,695 | 81,483 | 81,615 | 81,682 |
| Alcoholic Beverage Control, Division of | 17,636 | 17,394 | 17,551 | 17,728 | 17,728 |
| Economic Development, Department of | 56,467 | 83,872 | 79,835 | 83,035 | 83,035 |
| Empire State Development Corporation | 85,284 | 90,924 | 135,946 | 135,946 | 135,946 |
| Energy Research and Development Authority | 11,972 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 491,138 | 376,710 | 377,358 | 379,038 | 379,170 |
| Olympic Regional Development Authority | 3,011 | 3,161 | 3,161 | 3,161 | 3,161 |
| Public Service Department | 69,084 | 73,322 | 74,192 | 75,552 | 76,951 |
| Functional Total | 814,111 | 736,078 | 769,526 | 776,075 | 777,673 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| Environmental Conservation, Department of | 277,931 | 299,294 | 290,256 | 262,511 | 263,566 |
| Parks, Recreation and Historic Preservation, Office of | 191,888 | 190,109 | 190,911 | 191,086 | 191,086 |
| Functional Total | 474,095 | 493,735 | 485,499 | 457,929 | 458,984 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 81,206 | 87,952 | 88,637 | 89,382 | 89,494 |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 4,860,960 | 4,886,451 | 4,941,988 | 5,013,417 | 5,077,276 |
| Functional Total | 4,960,507 | 4,995,903 | 5,052,125 | 5,124,299 | 5,188,270 |
| HEALTH | | | | | |
| Aging, Office for the | 123,306 | 131,883 | 132,205 | 137,278 | 142,478 |
| Health, Department of | 19,114,503 | 19,835,721 | 20,563,973 | 21,344,072 | 22,102,598 |
| <i>Medical Assistance</i> | 16,275,220 | 16,894,090 | 17,185,792 | 17,941,871 | 18,662,461 |
| <i>Basic Health Plan</i> | 0 | 169,961 | 642,739 | 649,142 | 665,934 |
| <i>Medicaid Administration</i> | 677,990 | 676,497 | 682,597 | 670,297 | 667,997 |
| <i>Public Health</i> | 2,161,293 | 2,095,173 | 2,052,845 | 2,082,762 | 2,106,206 |
| Medicaid Inspector General, Office of the | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| Stem Cell and Innovation | 33,483 | 29,785 | 29,785 | 29,785 | 29,785 |
| Functional Total | 19,292,113 | 20,018,625 | 20,746,964 | 21,532,136 | 22,295,862 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,864,842 | 2,019,475 | 2,023,576 | 2,073,404 | 2,095,936 |
| <i>OCFS</i> | 1,778,843 | 1,932,701 | 1,935,402 | 1,983,835 | 2,006,538 |
| <i>OCFS - Other</i> | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 77,527 | 71,883 | 100,162 | 100,712 | 105,712 |
| Human Rights, Division of | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| Labor, Department of | 71,294 | 73,119 | 65,119 | 65,119 | 65,119 |
| National and Community Service | 687 | 687 | 687 | 687 | 690 |
| Temporary and Disability Assistance, Office of | 1,375,356 | 1,361,452 | 1,379,305 | 1,390,205 | 1,405,605 |
| <i>Welfare Assistance</i> | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| <i>All Other</i> | 237,353 | 235,544 | 245,682 | 247,082 | 253,482 |
| Functional Total | 3,400,288 | 3,536,577 | 3,578,810 | 3,640,088 | 3,683,023 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 409,706 | 427,523 | 439,853 | 455,575 | 469,528 |
| <i>OASAS</i> | 325,791 | 342,667 | 357,078 | 371,689 | 384,017 |
| <i>OASAS - Other</i> | 83,915 | 84,856 | 82,775 | 83,886 | 85,511 |
| Justice Center | 31,652 | 41,925 | 43,155 | 44,531 | 46,139 |
| Mental Health, Office of | 3,146,926 | 3,225,391 | 3,292,840 | 3,440,568 | 3,567,595 |
| <i>OMH</i> | 1,342,969 | 1,316,044 | 1,463,074 | 1,548,377 | 1,613,318 |
| <i>OMH - Other</i> | 1,803,957 | 1,909,347 | 1,829,766 | 1,892,191 | 1,954,277 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 3,465,088 | 3,178,504 | 3,183,314 | 3,538,376 | 3,720,608 |
| <i>OPWDD</i> | 433,907 | 335,536 | 378,068 | 365,068 | 365,068 |
| <i>OPWDD - Other</i> | 3,031,181 | 2,842,968 | 2,805,246 | 3,173,308 | 3,355,540 |
| Functional Total | 7,053,600 | 6,873,343 | 6,959,162 | 7,479,050 | 7,803,870 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Correctional Services, Department of | 2,647,170 | 2,696,145 | 2,643,988 | 2,644,198 | 2,644,404 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Criminal Justice Services, Division of | 194,721 | 209,733 | 196,885 | 191,885 | 191,885 |
| Disaster Assistance | (8,011) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 103,159 | 59,691 | 116,922 | 92,697 | 64,970 |
| Indigent Legal Services, Office of | 52,689 | 68,017 | 105,967 | 104,567 | 104,567 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |
| Military and Naval Affairs, Division of | 25,302 | 27,250 | 26,575 | 26,575 | 26,575 |
| State Police, Division of | 669,396 | 642,113 | 653,584 | 653,726 | 653,888 |
| Statewide Financial System | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| Victim Services, Office of | 30,870 | 37,061 | 36,160 | 36,160 | 36,160 |
| Functional Total | 3,754,897 | 3,733,141 | 3,818,095 | 3,787,828 | 3,760,528 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,482,817 | 1,516,470 | 1,516,602 | 1,547,484 | 1,591,852 |
| Higher Education - Miscellaneous | 300 | 390 | 390 | 390 | 390 |
| Higher Education Services Corporation, New York State | 1,267,122 | 1,115,631 | 1,165,517 | 1,196,825 | 1,213,825 |
| State University of New York | 6,794,732 | 6,662,582 | 6,761,570 | 6,897,779 | 7,042,428 |
| Functional Total | 9,544,971 | 9,295,073 | 9,444,079 | 9,642,478 | 9,848,495 |
| EDUCATION | | | | | |
| Arts, Council on the | 66,103 | 45,253 | 45,253 | 45,253 | 45,253 |
| Education, Department of | 27,174,390 | 29,124,232 | 30,516,408 | 31,786,293 | 33,251,688 |
| <i>School Aid</i> | 21,630,610 | 23,377,678 | 24,590,535 | 25,686,249 | 26,965,678 |
| <i>STAR Property Tax Relief</i> | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| <i>Special Education Categorical Programs</i> | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| <i>All Other</i> | 795,828 | 894,772 | 898,679 | 917,252 | 938,678 |
| Functional Total | 27,240,493 | 29,169,485 | 30,561,661 | 31,831,546 | 33,296,941 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 23,809 | 30,920 | 30,495 | 30,596 | 30,596 |
| Civil Service, Department of | 13,196 | 13,395 | 13,381 | 13,381 | 13,507 |
| Deferred Compensation Board | 527 | 855 | 866 | 866 | 866 |
| Elections, State Board of | 6,100 | 11,146 | 12,746 | 13,746 | 137,851 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Gaming Commission, New York State | 149,273 | 206,934 | 177,943 | 232,841 | 232,841 |
| General Services, Office of | 153,982 | 165,462 | 167,090 | 167,205 | 167,335 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Labor Management Committees | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| Prevention of Domestic Violence, Office for | 1,897 | 2,281 | 2,281 | 2,281 | 2,281 |
| Public Employment Relations Board | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State, Department of | 57,843 | 75,827 | 59,965 | 60,163 | 60,163 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 380,858 | 356,103 | 357,256 | 357,558 | 357,558 |
| Technology, Office for | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| Veterans' Affairs, Division of | 12,830 | 15,725 | 13,808 | 13,808 | 13,873 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| Workers' Compensation Board | 188,009 | 189,934 | 191,654 | 193,608 | 195,391 |
| Functional Total | 1,458,281 | 1,639,416 | 1,618,634 | 1,667,154 | 1,805,263 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 174,514 | 176,668 | 176,211 | 176,322 | 176,383 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Judiciary | 2,676,077 | 2,776,379 | 2,832,553 | 2,880,653 | 2,915,653 |
| Law, Department of | 179,054 | 187,214 | 190,481 | 192,070 | 194,474 |
| Legislature | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| Functional Total | 3,250,781 | 3,373,248 | 3,432,232 | 3,482,032 | 3,519,497 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,438 | 741,121 | 759,356 | 762,710 | 763,347 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Functional Total | <u>765,376</u> | <u>784,554</u> | <u>791,328</u> | <u>793,882</u> | <u>794,519</u> |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Long-Term Debt Service | 6,221,470 | 5,166,373 | 6,254,184 | 6,745,920 | 7,050,295 |
| Miscellaneous | 63,825 | (31,795) | (34,795) | 180,209 | 9,225 |
| Functional Total | <u>10,416,981</u> | <u>9,600,854</u> | <u>11,210,236</u> | <u>12,191,299</u> | <u>12,606,661</u> |
| TOTAL STATE OPERATING FUNDS SPENDING | <u><u>92,426,494</u></u> | <u><u>94,250,032</u></u> | <u><u>98,468,351</u></u> | <u><u>102,405,796</u></u> | <u><u>105,839,586</u></u> |

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 25,275 | 35,197 | 27,321 | 27,321 | 27,321 |
| Economic Development, Department of | 36,404 | 61,716 | 57,879 | 61,879 | 61,879 |
| Empire State Development Corporation | 85,234 | 90,074 | 135,096 | 135,096 | 135,096 |
| Energy Research and Development Authority | 5,527 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 223,476 | 76,664 | 76,414 | 76,289 | 76,289 |
| Public Service Department | 0 | 188 | 188 | 188 | 188 |
| Functional Total | 375,916 | 263,839 | 296,898 | 300,773 | 300,773 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 2,889 | 15,225 | 5,200 | 5,200 | 5,200 |
| Parks, Recreation and Historic Preservation, Office of | 8,444 | 8,505 | 7,425 | 7,600 | 7,600 |
| Functional Total | 11,333 | 23,730 | 12,625 | 12,800 | 12,800 |
| TRANSPORTATION | | | | | |
| Transportation, Department of | 4,834,115 | 4,861,827 | 4,916,165 | 4,987,387 | 5,050,999 |
| Functional Total | 4,834,115 | 4,861,827 | 4,916,165 | 4,987,387 | 5,050,999 |
| HEALTH | | | | | |
| Aging, Office for the | 121,870 | 130,576 | 131,346 | 136,419 | 141,619 |
| Health, Department of | 18,509,248 | 19,075,867 | 19,783,333 | 20,587,446 | 21,349,675 |
| <i>Medical Assistance</i> | 16,275,220 | 16,894,090 | 17,185,792 | 17,941,871 | 18,662,461 |
| <i>Basic Health Plan</i> | 0 | 134,250 | 601,095 | 620,330 | 638,940 |
| <i>Medicaid Administration</i> | 515,184 | 386,431 | 374,411 | 374,411 | 374,411 |
| <i>Public Health</i> | 1,718,844 | 1,661,096 | 1,622,035 | 1,650,834 | 1,673,863 |
| Functional Total | 18,631,118 | 19,206,443 | 19,914,679 | 20,723,865 | 21,491,294 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,600,778 | 1,757,996 | 1,769,776 | 1,817,677 | 1,833,654 |
| <i>OCFS</i> | 1,514,779 | 1,671,222 | 1,681,602 | 1,728,108 | 1,744,256 |
| <i>OCFS - Other</i> | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 11,531 | 6,256 | 34,535 | 35,085 | 40,085 |
| Labor, Department of | 7,664 | 8,150 | 150 | 150 | 150 |
| National and Community Service | 450 | 350 | 350 | 350 | 350 |
| Temporary and Disability Assistance, Office of | 1,235,758 | 1,218,164 | 1,236,119 | 1,247,019 | 1,262,419 |
| <i>Welfare Assistance</i> | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| <i>All Other</i> | 97,755 | 92,256 | 102,496 | 103,896 | 110,296 |
| Functional Total | 2,856,181 | 2,990,916 | 3,040,930 | 3,100,281 | 3,136,658 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 303,457 | 321,250 | 335,002 | 348,672 | 359,850 |
| <i>OASAS</i> | 282,132 | 299,925 | 313,677 | 327,347 | 338,525 |
| <i>OASAS - Other</i> | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| Justice Center | 600 | 620 | 620 | 620 | 620 |
| Mental Health, Office of | 1,157,200 | 1,183,990 | 1,249,167 | 1,366,498 | 1,424,121 |
| <i>OMH</i> | 810,344 | 908,527 | 932,543 | 1,007,016 | 1,054,282 |
| <i>OMH - Other</i> | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| People with Developmental Disabilities, Office for | 1,461,427 | 1,212,174 | 1,274,152 | 1,589,465 | 1,712,365 |
| <i>OPWDD</i> | 433,850 | 335,355 | 377,887 | 364,887 | 364,887 |
| <i>OPWDD - Other</i> | 1,027,577 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| Functional Total | 2,922,684 | 2,718,034 | 2,858,941 | 3,305,255 | 3,496,956 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 5,939 | 6,022 | 6,022 | 6,022 | 6,022 |
| Criminal Justice Services, Division of | 156,998 | 170,087 | 157,236 | 152,236 | 152,236 |
| Disaster Assistance | 2,726 | 0 | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 65,440 | 31,112 | 92,519 | 68,273 | 40,523 |
| Indigent Legal Services, Office of | 51,123 | 65,000 | 103,000 | 101,600 | 101,600 |
| Military and Naval Affairs, Division of | 724 | 911 | 911 | 911 | 911 |
| Victim Services, Office of | 25,567 | 30,970 | 30,070 | 30,070 | 30,070 |
| Functional Total | 308,517 | 304,102 | 389,758 | 359,112 | 331,362 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| Higher Education Services Corporation, New York State | 1,209,916 | 1,061,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| State University of New York | 486,563 | 503,258 | 501,815 | 501,815 | 501,315 |
| Functional Total | <u>3,091,526</u> | <u>2,991,156</u> | <u>3,037,517</u> | <u>3,097,354</u> | <u>3,157,348</u> |
| EDUCATION | | | | | |
| Arts, Council on the | 62,791 | 40,933 | 40,933 | 40,933 | 40,933 |
| Education, Department of | <u>27,008,183</u> | <u>28,959,304</u> | <u>30,350,309</u> | <u>31,618,503</u> | <u>33,081,975</u> |
| <i>School Aid</i> | 21,630,610 | 23,377,678 | 24,590,535 | 25,686,249 | 26,965,678 |
| <i>STAR Property Tax Relief</i> | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| <i>Special Education Categorical Programs</i> | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| <i>All Other</i> | 629,621 | 729,844 | 732,580 | 749,462 | 768,965 |
| Functional Total | <u>27,070,974</u> | <u>29,000,237</u> | <u>30,391,242</u> | <u>31,659,436</u> | <u>33,122,908</u> |
| GENERAL GOVERNMENT | | | | | |
| Elections, State Board of | 253 | 1,800 | 0 | 0 | 111,000 |
| Gaming Commission, New York State | 0 | 34,200 | 5,000 | 58,200 | 58,200 |
| Prevention of Domestic Violence, Office for | 543 | 685 | 685 | 685 | 685 |
| State, Department of | 6,545 | 21,691 | 7,379 | 7,379 | 7,379 |
| Taxation and Finance, Department of | 906 | 926 | 926 | 926 | 926 |
| Veterans' Affairs, Division of | 7,486 | 9,387 | 7,637 | 7,637 | 7,637 |
| Functional Total | <u>15,733</u> | <u>68,689</u> | <u>21,627</u> | <u>74,827</u> | <u>185,827</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 32,025 | 32,024 | 32,024 | 32,024 | 32,024 |
| Judiciary | 107,429 | 121,600 | 121,600 | 121,600 | 121,600 |
| Functional Total | <u>139,454</u> | <u>153,624</u> | <u>153,624</u> | <u>153,624</u> | <u>153,624</u> |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,338 | 741,121 | 759,356 | 762,710 | 763,347 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Functional Total | <u>765,276</u> | <u>784,554</u> | <u>791,328</u> | <u>793,882</u> | <u>794,519</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 29,171 | (62,943) | (65,943) | (65,943) | (22,943) |
| Functional Total | <u>29,171</u> | <u>(62,943)</u> | <u>(65,943)</u> | <u>(65,943)</u> | <u>(22,943)</u> |
| TOTAL LOCAL ASSISTANCE GRANTS SPENDING | <u><u>61,051,998</u></u> | <u><u>63,304,208</u></u> | <u><u>65,759,391</u></u> | <u><u>68,502,653</u></u> | <u><u>71,212,125</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 52,866 | 53,905 | 52,434 | 52,434 | 52,434 |
| Alcoholic Beverage Control, Division of | 13,095 | 12,751 | 12,753 | 12,755 | 12,755 |
| Economic Development, Department of | 20,063 | 22,128 | 21,928 | 21,128 | 21,128 |
| Empire State Development Corporation | 50 | 850 | 850 | 850 | 850 |
| Energy Research and Development Authority | 4,841 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 191,287 | 211,651 | 210,417 | 209,717 | 209,717 |
| Olympic Regional Development Authority | 3,011 | 3,161 | 3,161 | 3,161 | 3,161 |
| Public Service Department | 47,496 | 49,708 | 49,630 | 49,713 | 49,713 |
| Functional Total | 332,709 | 354,154 | 351,173 | 349,758 | 349,758 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| Environmental Conservation, Department of | 229,795 | 238,313 | 238,005 | 216,269 | 217,174 |
| Parks, Recreation and Historic Preservation, Office of | 179,509 | 177,420 | 177,769 | 177,768 | 177,768 |
| Functional Total | 413,580 | 420,065 | 420,106 | 398,369 | 399,274 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 58,500 | 62,848 | 62,848 | 62,848 | 62,848 |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 22,502 | 20,397 | 21,430 | 21,430 | 21,430 |
| Functional Total | 99,343 | 104,745 | 105,778 | 105,778 | 105,778 |
| HEALTH | | | | | |
| Aging, Office for the | 1,436 | 1,307 | 859 | 859 | 859 |
| Health, Department of | 574,369 | 729,315 | 749,307 | 725,286 | 721,580 |
| <i>Basic Health Plan</i> | 0 | 35,711 | 41,644 | 28,812 | 26,994 |
| <i>Medicaid Administration</i> | 162,806 | 290,066 | 308,186 | 295,886 | 293,586 |
| <i>Public Health</i> | 411,563 | 403,538 | 399,477 | 400,588 | 401,000 |
| Medicaid Inspector General, Office of the | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| Stem Cell and Innovation | 33,294 | 29,785 | 29,785 | 29,785 | 29,785 |
| Functional Total | 629,920 | 781,643 | 800,952 | 776,931 | 773,225 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 263,693 | 258,821 | 251,142 | 253,069 | 259,624 |
| <i>OCFS</i> | 263,693 | 258,821 | 251,142 | 253,069 | 259,624 |
| Housing and Community Renewal, Division of | 51,036 | 49,246 | 49,246 | 49,246 | 49,246 |
| Human Rights, Division of | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| Labor, Department of | 45,973 | 46,519 | 46,519 | 46,519 | 46,519 |
| National and Community Service | 237 | 337 | 337 | 337 | 340 |
| Temporary and Disability Assistance, Office of | 139,598 | 143,288 | 143,186 | 143,186 | 143,186 |
| <i>All Other</i> | 139,598 | 143,288 | 143,186 | 143,186 | 143,186 |
| Functional Total | 511,119 | 508,172 | 500,391 | 502,318 | 508,876 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 74,528 | 73,068 | 71,300 | 71,830 | 72,510 |
| <i>OASAS</i> | 30,187 | 29,060 | 29,297 | 29,529 | 29,814 |
| <i>OASAS - Other</i> | 44,341 | 44,008 | 42,003 | 42,301 | 42,696 |
| Justice Center | 30,470 | 40,605 | 41,796 | 43,109 | 44,639 |
| Mental Health, Office of | 1,383,568 | 1,424,573 | 1,399,697 | 1,405,193 | 1,427,588 |
| <i>OMH</i> | 336,351 | 300,028 | 358,334 | 362,066 | 367,469 |
| <i>OMH - Other</i> | 1,047,217 | 1,124,545 | 1,041,363 | 1,043,127 | 1,060,119 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 1,374,179 | 1,335,210 | 1,282,430 | 1,294,326 | 1,311,259 |
| <i>OPWDD</i> | 57 | 181 | 181 | 181 | 181 |
| <i>OPWDD - Other</i> | 1,374,122 | 1,335,029 | 1,282,249 | 1,294,145 | 1,311,078 |
| Functional Total | 2,862,973 | 2,873,456 | 2,795,223 | 2,814,458 | 2,855,996 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Correctional Services, Department of | 2,641,151 | 2,689,996 | 2,637,834 | 2,638,040 | 2,638,246 |
| Criminal Justice Services, Division of | 37,663 | 39,561 | 39,561 | 39,561 | 39,561 |
| Disaster Assistance | (10,737) | (45,309) | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Homeland Security and Emergency Services, Division of | 37,332 | 27,750 | 23,551 | 23,551 | 23,551 |
| Indigent Legal Services, Office of | 1,051 | 2,489 | 2,239 | 2,239 | 2,239 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |
| Military and Naval Affairs, Division of | 24,261 | 26,259 | 25,584 | 25,584 | 25,584 |
| State Police, Division of | 666,777 | 639,402 | 650,773 | 650,773 | 650,773 |
| Statewide Financial System | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| Victim Services, Office of | 3,712 | 3,900 | 3,900 | 3,900 | 3,900 |
| Functional Total | <u>3,440,811</u> | <u>3,422,488</u> | <u>3,421,456</u> | <u>3,421,668</u> | <u>3,421,933</u> |
| HIGHER EDUCATION | | | | | |
| City University of New York | 80,053 | 84,363 | 86,265 | 88,206 | 89,080 |
| Higher Education - Miscellaneous | 217 | 291 | 291 | 291 | 291 |
| Higher Education Services Corporation, New York State | 43,245 | 43,612 | 43,586 | 43,586 | 43,586 |
| State University of New York | 5,773,804 | 5,719,780 | 5,867,304 | 5,994,640 | 6,130,640 |
| Functional Total | <u>5,897,319</u> | <u>5,848,046</u> | <u>5,997,446</u> | <u>6,126,723</u> | <u>6,263,597</u> |
| EDUCATION | | | | | |
| Arts, Council on the | 3,312 | 4,320 | 4,320 | 4,320 | 4,320 |
| Education, Department of | 133,491 | 132,501 | 132,484 | 132,484 | 132,484 |
| <i>All Other</i> | 133,491 | 132,501 | 132,484 | 132,484 | 132,484 |
| Functional Total | <u>136,803</u> | <u>136,821</u> | <u>136,804</u> | <u>136,804</u> | <u>136,804</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 22,834 | 29,477 | 28,938 | 28,939 | 28,939 |
| Civil Service, Department of | 13,045 | 13,219 | 13,205 | 13,205 | 13,331 |
| Deferred Compensation Board | 361 | 630 | 641 | 641 | 641 |
| Elections, State Board of | 5,847 | 9,346 | 12,746 | 13,172 | 24,902 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Gaming Commission, New York State | 134,317 | 155,853 | 155,985 | 157,066 | 157,066 |
| General Services, Office of | 151,774 | 163,275 | 164,822 | 164,822 | 164,822 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Labor Management Committees | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| Prevention of Domestic Violence, Office for | 1,354 | 1,596 | 1,596 | 1,596 | 1,596 |
| Public Employment Relations Board | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State, Department of | 41,865 | 45,079 | 43,327 | 43,288 | 43,288 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 348,167 | 330,131 | 330,461 | 330,618 | 330,618 |
| Technology, Office for | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| Veterans' Affairs, Division of | 5,344 | 6,338 | 6,171 | 6,171 | 6,236 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| Workers' Compensation Board | 141,996 | 141,706 | 141,706 | 141,706 | 143,489 |
| Functional Total | <u>1,336,861</u> | <u>1,467,484</u> | <u>1,490,747</u> | <u>1,482,325</u> | <u>1,507,929</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 140,855 | 142,710 | 142,320 | 142,320 | 142,320 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Judiciary | 1,908,736 | 1,958,476 | 1,967,500 | 1,967,500 | 1,967,500 |
| Law, Department of | 165,441 | 168,191 | 169,696 | 170,701 | 171,701 |
| Legislature | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| Functional Total | <u>2,436,168</u> | <u>2,502,364</u> | <u>2,512,503</u> | <u>2,513,508</u> | <u>2,514,508</u> |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 100 | 0 | 0 | 0 | 0 |
| Functional Total | <u>100</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| ALL OTHER CATEGORIES | | | | | |
| Long-Term Debt Service | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Miscellaneous | 20,752 | 25,234 | 25,234 | 240,235 | 26,251 |
| Functional Total | <u>59,406</u> | <u>69,194</u> | <u>71,912</u> | <u>286,913</u> | <u>72,929</u> |

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
 (thousands of dollars)

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| TOTAL STATE OPERATIONS SPENDING | 18,157,112 | 18,488,632 | 18,604,491 | 18,915,553 | 18,910,607 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 28,342 | 29,090 | 28,516 | 28,516 | 28,516 |
| Alcoholic Beverage Control, Division of | 7,657 | 8,147 | 8,147 | 8,147 | 8,147 |
| Economic Development, Department of | 11,493 | 13,629 | 13,629 | 13,629 | 13,629 |
| Empire State Development Corporation | 0 | 425 | 425 | 425 | 425 |
| Energy Research and Development Authority | 3,622 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 137,783 | 153,580 | 153,580 | 153,580 | 153,580 |
| Olympic Regional Development Authority | 2,548 | 2,548 | 2,548 | 2,548 | 2,548 |
| Public Service Department | 39,760 | 42,089 | 42,075 | 42,156 | 42,156 |
| Functional Total | 231,205 | 249,508 | 248,920 | 249,001 | 249,001 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 3,893 | 4,027 | 4,027 | 4,027 | 4,027 |
| Environmental Conservation, Department of | 174,406 | 181,687 | 181,779 | 170,105 | 170,271 |
| Parks, Recreation and Historic Preservation, Office of | 133,928 | 137,303 | 137,678 | 137,678 | 137,678 |
| Functional Total | 312,227 | 323,017 | 323,484 | 311,810 | 311,976 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 43,692 | 45,054 | 45,054 | 45,054 | 45,054 |
| Transportation, Department of | 6,980 | 7,645 | 7,646 | 7,646 | 7,646 |
| Functional Total | 50,672 | 52,699 | 52,700 | 52,700 | 52,700 |
| HEALTH | | | | | |
| Aging, Office for the | 1,256 | 1,125 | 677 | 677 | 677 |
| Health, Department of | 258,901 | 264,400 | 285,291 | 290,973 | 296,126 |
| <i>Basic Health Plan</i> | 0 | 683 | 804 | 534 | 488 |
| <i>Medicaid Administration</i> | 29,326 | 44,350 | 66,267 | 72,208 | 77,403 |
| <i>Public Health</i> | 229,575 | 219,367 | 218,220 | 218,231 | 218,235 |
| Medicaid Inspector General, Office of the | 16,617 | 16,705 | 16,470 | 16,470 | 16,470 |
| Stem Cell and Innovation | 368 | 463 | 463 | 463 | 463 |
| Functional Total | 277,142 | 282,693 | 302,901 | 308,583 | 313,736 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 170,077 | 165,755 | 160,336 | 160,333 | 161,948 |
| <i>OCFS</i> | 170,077 | 165,755 | 160,336 | 160,333 | 161,948 |
| Housing and Community Renewal, Division of | 38,995 | 40,403 | 40,403 | 40,403 | 40,403 |
| Human Rights, Division of | 8,919 | 9,461 | 9,461 | 9,461 | 9,461 |
| Labor, Department of | 30,078 | 32,618 | 32,618 | 32,618 | 32,618 |
| National and Community Service | 229 | 328 | 328 | 328 | 331 |
| Temporary and Disability Assistance, Office of | 67,810 | 59,087 | 58,985 | 58,985 | 58,985 |
| <i>All Other</i> | 67,810 | 59,087 | 58,985 | 58,985 | 58,985 |
| Functional Total | 316,108 | 307,652 | 302,131 | 302,128 | 303,746 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 53,107 | 55,260 | 53,368 | 53,535 | 53,849 |
| <i>OASAS</i> | 20,250 | 20,965 | 21,028 | 21,091 | 21,212 |
| <i>OASAS - Other</i> | 32,857 | 34,295 | 32,340 | 32,444 | 32,637 |
| Justice Center | 19,845 | 26,893 | 27,710 | 28,649 | 29,805 |
| Mental Health, Office of | 1,077,139 | 1,133,667 | 1,102,514 | 1,102,636 | 1,117,786 |
| <i>OMH</i> | 270,100 | 243,244 | 297,273 | 299,633 | 303,663 |
| <i>OMH - Other</i> | 807,039 | 890,423 | 805,241 | 803,003 | 814,123 |
| People with Developmental Disabilities, Office for | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| <i>OPWDD - Other</i> | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| Functional Total | 2,262,595 | 2,336,908 | 2,254,503 | 2,262,601 | 2,290,363 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,037 | 2,414 | 2,414 | 2,414 | 2,414 |
| Correctional Services, Department of | 2,102,399 | 2,176,979 | 2,099,817 | 2,100,023 | 2,100,229 |
| Criminal Justice Services, Division of | 26,862 | 26,225 | 26,225 | 26,225 | 26,225 |
| Disaster Assistance | (9,310) | 0 | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 14,217 | 13,581 | 13,581 | 13,581 | 13,581 |
| Indigent Legal Services, Office of | 928 | 1,454 | 1,704 | 1,704 | 1,704 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Judicial Conduct, Commission on | 4,028 | 4,181 | 4,181 | 4,181 | 4,212 |
| Judicial Screening Committees, New York State | 0 | 13 | 13 | 13 | 13 |
| Military and Naval Affairs, Division of | 15,759 | 17,789 | 17,114 | 17,114 | 17,114 |
| State Police, Division of | 571,632 | 570,599 | 585,770 | 585,770 | 585,770 |
| Statewide Financial System | 9,622 | 11,112 | 10,638 | 10,638 | 10,638 |
| Victim Services, Office of | 3,162 | 3,176 | 3,176 | 3,176 | 3,176 |
| Functional Total | 2,741,336 | 2,827,523 | 2,764,633 | 2,764,839 | 2,765,076 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 48,140 | 42,363 | 43,425 | 44,509 | 44,509 |
| Higher Education - Miscellaneous | 146 | 198 | 198 | 198 | 198 |
| Higher Education Services Corporation, New York State | 16,369 | 17,124 | 17,099 | 17,099 | 17,099 |
| State University of New York | 3,502,895 | 3,578,972 | 3,659,588 | 3,715,668 | 3,776,196 |
| Functional Total | 3,567,550 | 3,638,657 | 3,720,310 | 3,777,474 | 3,838,002 |
| EDUCATION | | | | | |
| Arts, Council on the | 2,132 | 2,498 | 2,498 | 2,498 | 2,498 |
| Education, Department of | 84,014 | 83,488 | 83,488 | 83,488 | 83,488 |
| <i>All Other</i> | 84,014 | 83,488 | 83,488 | 83,488 | 83,488 |
| Functional Total | 86,146 | 85,986 | 85,986 | 85,986 | 85,986 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 20,223 | 24,434 | 24,514 | 24,567 | 24,567 |
| Civil Service, Department of | 12,046 | 12,398 | 12,384 | 12,384 | 12,497 |
| Deferred Compensation Board | 305 | 410 | 410 | 410 | 410 |
| Elections, State Board of | 4,680 | 5,607 | 5,573 | 6,539 | 11,682 |
| Employee Relations, Office of | 2,178 | 2,510 | 2,510 | 2,510 | 2,529 |
| Gaming Commission, New York State | 30,748 | 37,892 | 37,944 | 38,988 | 38,988 |
| General Services, Office of | 62,120 | 75,248 | 79,491 | 79,491 | 79,491 |
| Inspector General, Office of the | 6,217 | 6,360 | 6,360 | 6,360 | 6,408 |
| Labor Management Committees | 6,402 | 5,446 | 5,446 | 5,446 | 5,446 |
| Prevention of Domestic Violence, Office for | 1,267 | 1,388 | 1,388 | 1,388 | 1,388 |
| Public Employment Relations Board | 2,894 | 3,495 | 3,336 | 3,336 | 3,363 |
| Public Integrity, Commission on | 2,899 | 4,620 | 4,620 | 4,620 | 4,646 |
| State, Department of | 27,970 | 28,824 | 28,773 | 28,735 | 28,735 |
| Tax Appeals, Division of | 2,688 | 2,870 | 2,870 | 2,870 | 2,870 |
| Taxation and Finance, Department of | 285,802 | 276,619 | 276,608 | 276,761 | 276,761 |
| Technology, Office for | 277,996 | 275,888 | 275,891 | 275,861 | 275,861 |
| Veterans' Affairs, Division of | 4,937 | 6,046 | 5,879 | 5,879 | 5,938 |
| Welfare Inspector General, Office of | 472 | 514 | 514 | 514 | 518 |
| Workers' Compensation Board | 77,315 | 80,977 | 80,977 | 80,977 | 81,592 |
| Functional Total | 829,159 | 851,546 | 855,488 | 857,636 | 863,690 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 109,351 | 111,168 | 110,901 | 110,901 | 110,901 |
| Executive Chamber | 10,621 | 11,135 | 11,469 | 11,813 | 11,813 |
| Judiciary | 1,479,075 | 1,504,376 | 1,513,400 | 1,513,400 | 1,513,400 |
| Law, Department of | 113,123 | 116,448 | 117,948 | 118,948 | 119,948 |
| Legislature | 160,777 | 166,331 | 166,331 | 166,331 | 166,331 |
| Lieutenant Governor, Office of the | 299 | 498 | 513 | 523 | 523 |
| Functional Total | 1,873,246 | 1,909,956 | 1,920,562 | 1,921,916 | 1,922,916 |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 2,204 | 2,493 | 2,493 | 2,493 | 2,498 |
| Functional Total | 2,204 | 2,493 | 2,493 | 2,493 | 2,498 |
| TOTAL PERSONAL SERVICE SPENDING | 12,549,590 | 12,868,638 | 12,834,111 | 12,897,167 | 12,999,690 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 24,524 | 24,815 | 23,918 | 23,918 | 23,918 |
| Alcoholic Beverage Control, Division of | 5,438 | 4,604 | 4,606 | 4,608 | 4,608 |
| Economic Development, Department of | 8,570 | 8,499 | 8,299 | 7,499 | 7,499 |
| Empire State Development Corporation | 50 | 425 | 425 | 425 | 425 |
| Energy Research and Development Authority | 1,219 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 53,504 | 58,071 | 56,837 | 56,137 | 56,137 |
| Olympic Regional Development Authority | 463 | 613 | 613 | 613 | 613 |
| Public Service Department | 7,736 | 7,619 | 7,555 | 7,557 | 7,557 |
| Functional Total | 101,504 | 104,646 | 102,253 | 100,757 | 100,757 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 383 | 305 | 305 | 305 | 305 |
| Environmental Conservation, Department of | 55,389 | 56,626 | 56,226 | 46,164 | 46,903 |
| Parks, Recreation and Historic Preservation, Office of | 45,581 | 40,117 | 40,091 | 40,090 | 40,090 |
| Functional Total | 101,353 | 97,048 | 96,622 | 86,559 | 87,298 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 14,808 | 17,794 | 17,794 | 17,794 | 17,794 |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 15,522 | 12,752 | 13,784 | 13,784 | 13,784 |
| Functional Total | 48,671 | 52,046 | 53,078 | 53,078 | 53,078 |
| HEALTH | | | | | |
| Aging, Office for the | 180 | 182 | 182 | 182 | 182 |
| Health, Department of | 315,468 | 464,915 | 464,016 | 434,313 | 425,454 |
| <i>Basic Health Plan</i> | 0 | 35,028 | 40,840 | 28,278 | 26,506 |
| <i>Medicaid Administration</i> | 133,480 | 245,716 | 241,919 | 223,678 | 216,183 |
| <i>Public Health</i> | 181,988 | 184,171 | 181,257 | 182,357 | 182,765 |
| Medicaid Inspector General, Office of the | 4,204 | 4,531 | 4,531 | 4,531 | 4,531 |
| Stem Cell and Innovation | 32,926 | 29,322 | 29,322 | 29,322 | 29,322 |
| Functional Total | 352,778 | 498,950 | 498,051 | 468,348 | 459,489 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 93,616 | 93,066 | 90,806 | 92,736 | 97,676 |
| <i>OCFS</i> | 93,616 | 93,066 | 90,806 | 92,736 | 97,676 |
| Housing and Community Renewal, Division of | 12,041 | 8,843 | 8,843 | 8,843 | 8,843 |
| Human Rights, Division of | 1,663 | 500 | 500 | 500 | 500 |
| Labor, Department of | 15,895 | 13,901 | 13,901 | 13,901 | 13,901 |
| National and Community Service | 8 | 9 | 9 | 9 | 9 |
| Temporary and Disability Assistance, Office of | 71,788 | 84,201 | 84,201 | 84,201 | 84,201 |
| <i>All Other</i> | 71,788 | 84,201 | 84,201 | 84,201 | 84,201 |
| Functional Total | 195,011 | 200,520 | 198,260 | 200,190 | 205,130 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 21,421 | 17,808 | 17,932 | 18,295 | 18,661 |
| <i>OASAS</i> | 9,937 | 8,095 | 8,269 | 8,438 | 8,602 |
| <i>OASAS - Other</i> | 11,484 | 9,713 | 9,663 | 9,857 | 10,059 |
| Justice Center | 10,625 | 13,712 | 14,086 | 14,460 | 14,834 |
| Mental Health, Office of | 306,429 | 290,906 | 297,183 | 302,557 | 309,802 |
| <i>OMH</i> | 66,251 | 56,784 | 61,061 | 62,433 | 63,806 |
| <i>OMH - Other</i> | 240,178 | 234,122 | 236,122 | 240,124 | 245,996 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 261,675 | 214,122 | 211,519 | 216,545 | 222,336 |
| <i>OPWDD</i> | 57 | 181 | 181 | 181 | 181 |
| <i>OPWDD - Other</i> | 261,618 | 213,941 | 211,338 | 216,364 | 222,155 |
| Functional Total | 600,378 | 536,548 | 540,720 | 551,857 | 565,633 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 185 | 237 | 237 | 237 | 237 |
| Correctional Services, Department of | 538,752 | 513,017 | 538,017 | 538,017 | 538,017 |
| Criminal Justice Services, Division of | 10,801 | 13,336 | 13,336 | 13,336 | 13,336 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Disaster Assistance | (1,427) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 23,115 | 14,169 | 9,970 | 9,970 | 9,970 |
| Indigent Legal Services, Office of | 123 | 1,035 | 535 | 535 | 535 |
| Judicial Conduct, Commission on | 1,356 | 1,403 | 1,403 | 1,403 | 1,431 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 25 | 25 | 25 | 25 |
| Military and Naval Affairs, Division of | 8,502 | 8,470 | 8,470 | 8,470 | 8,470 |
| State Police, Division of | 95,145 | 68,803 | 65,003 | 65,003 | 65,003 |
| Statewide Financial System | 22,337 | 19,025 | 19,073 | 19,079 | 19,079 |
| Victim Services, Office of | 550 | 724 | 724 | 724 | 724 |
| Functional Total | 699,475 | 594,965 | 656,823 | 656,829 | 656,857 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 31,913 | 42,000 | 42,840 | 43,697 | 44,571 |
| Higher Education - Miscellaneous | 71 | 93 | 93 | 93 | 93 |
| Higher Education Services Corporation, New York State | 26,876 | 26,488 | 26,487 | 26,487 | 26,487 |
| State University of New York | 2,270,909 | 2,140,808 | 2,207,716 | 2,278,972 | 2,354,444 |
| Functional Total | 2,329,769 | 2,209,389 | 2,277,136 | 2,349,249 | 2,425,595 |
| EDUCATION | | | | | |
| Arts, Council on the | 1,180 | 1,822 | 1,822 | 1,822 | 1,822 |
| Education, Department of | 49,477 | 49,013 | 48,996 | 48,996 | 48,996 |
| <i>All Other</i> | 49,477 | 49,013 | 48,996 | 48,996 | 48,996 |
| Functional Total | 50,657 | 50,835 | 50,818 | 50,818 | 50,818 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 2,611 | 5,043 | 4,424 | 4,372 | 4,372 |
| Civil Service, Department of | 999 | 821 | 821 | 821 | 834 |
| Deferred Compensation Board | 56 | 220 | 231 | 231 | 231 |
| Elections, State Board of | 1,167 | 3,739 | 7,173 | 6,633 | 13,220 |
| Employee Relations, Office of | 32 | 71 | 71 | 71 | 72 |
| Gaming Commission, New York State | 103,569 | 117,961 | 118,041 | 118,078 | 118,078 |
| General Services, Office of | 89,654 | 88,027 | 85,331 | 85,331 | 85,331 |
| Inspector General, Office of the | 852 | 557 | 557 | 557 | 569 |
| Labor Management Committees | 17,696 | 29,910 | 39,910 | 29,910 | 29,910 |
| Prevention of Domestic Violence, Office for | 87 | 208 | 208 | 208 | 208 |
| Public Employment Relations Board | 221 | 236 | 236 | 237 | 241 |
| Public Integrity, Commission on | 729 | 911 | 911 | 911 | 930 |
| State, Department of | 13,895 | 16,255 | 14,554 | 14,553 | 14,553 |
| Tax Appeals, Division of | 161 | 170 | 170 | 170 | 170 |
| Taxation and Finance, Department of | 62,365 | 53,512 | 53,853 | 53,857 | 53,857 |
| Technology, Office for | 148,419 | 236,818 | 247,289 | 247,270 | 259,000 |
| Veterans' Affairs, Division of | 407 | 292 | 292 | 292 | 298 |
| Welfare Inspector General, Office of | 101 | 458 | 458 | 458 | 468 |
| Workers' Compensation Board | 64,681 | 60,729 | 60,729 | 60,729 | 61,897 |
| Functional Total | 507,702 | 615,938 | 635,259 | 624,689 | 644,239 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 31,504 | 31,542 | 31,419 | 31,419 | 31,419 |
| Executive Chamber | 3,345 | 2,443 | 2,109 | 1,765 | 1,765 |
| Judiciary | 429,661 | 454,100 | 454,100 | 454,100 | 454,100 |
| Law, Department of | 52,318 | 51,743 | 51,748 | 51,753 | 51,753 |
| Legislature | 46,027 | 52,464 | 52,464 | 52,464 | 52,464 |
| Lieutenant Governor, Office of the | 67 | 116 | 101 | 91 | 91 |
| Functional Total | 562,922 | 592,408 | 591,941 | 591,592 | 591,592 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 100 | 0 | 0 | 0 | 0 |
| Functional Total | 100 | 0 | 0 | 0 | 0 |
| ALL OTHER CATEGORIES | | | | | |
| Long-Term Debt Service | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Miscellaneous | 18,548 | 22,741 | 22,741 | 237,742 | 23,753 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Functional Total | 57,202 | 66,701 | 69,419 | 284,420 | 70,431 |
| TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING | <u>5,607,522</u> | <u>5,619,994</u> | <u>5,770,380</u> | <u>6,018,386</u> | <u>5,910,917</u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 1,378 | 1,593 | 1,728 | 1,860 | 1,927 |
| Alcoholic Beverage Control, Division of | 4,541 | 4,643 | 4,798 | 4,973 | 4,973 |
| Economic Development, Department of | 0 | 28 | 28 | 28 | 28 |
| Energy Research and Development Authority | 1,604 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 76,375 | 88,395 | 90,527 | 93,032 | 93,164 |
| Public Service Department | 21,588 | 23,426 | 24,374 | 25,651 | 27,050 |
| Functional Total | 105,486 | 118,085 | 121,455 | 125,544 | 127,142 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 45,247 | 45,756 | 47,051 | 41,042 | 41,192 |
| Parks, Recreation and Historic Preservation, Office of | 2,829 | 3,184 | 3,217 | 3,218 | 3,218 |
| Functional Total | 48,076 | 48,940 | 50,268 | 44,260 | 44,410 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 22,591 | 25,104 | 25,789 | 26,534 | 26,646 |
| Transportation, Department of | 4,242 | 4,227 | 4,393 | 4,600 | 4,847 |
| Functional Total | 26,833 | 29,331 | 30,182 | 31,134 | 31,493 |
| HEALTH | | | | | |
| Health, Department of | 30,886 | 30,539 | 31,333 | 31,340 | 31,343 |
| <i>Public Health</i> | 30,886 | 30,539 | 31,333 | 31,340 | 31,343 |
| Stem Cell and Innovation | 189 | 0 | 0 | 0 | 0 |
| Functional Total | 31,075 | 30,539 | 31,333 | 31,340 | 31,343 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 371 | 2,658 | 2,658 | 2,658 | 2,658 |
| <i>OCFS</i> | 371 | 2,658 | 2,658 | 2,658 | 2,658 |
| Housing and Community Renewal, Division of | 14,960 | 16,381 | 16,381 | 16,381 | 16,381 |
| Labor, Department of | 17,657 | 18,450 | 18,450 | 18,450 | 18,450 |
| Functional Total | 32,988 | 37,489 | 37,489 | 37,489 | 37,489 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 31,721 | 33,205 | 33,551 | 35,073 | 37,168 |
| <i>OASAS</i> | 13,472 | 13,682 | 14,104 | 14,813 | 15,678 |
| <i>OASAS - Other</i> | 18,249 | 19,523 | 19,447 | 20,260 | 21,490 |
| Justice Center | 582 | 700 | 739 | 802 | 880 |
| Mental Health, Office of | 606,158 | 616,828 | 643,976 | 668,877 | 715,886 |
| <i>OMH</i> | 196,274 | 107,489 | 172,197 | 179,295 | 191,567 |
| <i>OMH - Other</i> | 409,884 | 509,339 | 471,779 | 489,582 | 524,319 |
| People with Developmental Disabilities, Office for | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| <i>OPWDD - Other</i> | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| Functional Total | 1,267,943 | 1,281,853 | 1,304,998 | 1,359,337 | 1,450,918 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 80 | 127 | 132 | 136 | 136 |
| Criminal Justice Services, Division of | 60 | 85 | 88 | 88 | 88 |
| Homeland Security and Emergency Services, Division of | 387 | 829 | 852 | 873 | 896 |
| Indigent Legal Services, Office of | 515 | 528 | 728 | 728 | 728 |
| Military and Naval Affairs, Division of | 317 | 80 | 80 | 80 | 80 |
| State Police, Division of | 2,619 | 2,711 | 2,811 | 2,953 | 3,115 |
| Victim Services, Office of | 1,591 | 2,191 | 2,190 | 2,190 | 2,190 |
| Functional Total | 5,569 | 6,551 | 6,881 | 7,048 | 7,233 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 7,717 | 6,000 | 6,000 | 6,000 | 6,000 |
| Higher Education - Miscellaneous | 83 | 99 | 99 | 99 | 99 |
| Higher Education Services Corporation, New York State | 13,961 | 10,228 | 10,566 | 10,978 | 10,978 |
| State University of New York | 534,365 | 439,544 | 392,451 | 401,324 | 410,473 |
| Functional Total | 556,126 | 455,871 | 409,116 | 418,401 | 427,550 |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| EDUCATION | | | | | |
| Education, Department of | 32,716 | 32,427 | 33,615 | 35,306 | 37,229 |
| <i>All Other</i> | <u>32,716</u> | <u>32,427</u> | <u>33,615</u> | <u>35,306</u> | <u>37,229</u> |
| Functional Total | <u>32,716</u> | <u>32,427</u> | <u>33,615</u> | <u>35,306</u> | <u>37,229</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 975 | 1,443 | 1,557 | 1,657 | 1,657 |
| Civil Service, Department of | 151 | 176 | 176 | 176 | 176 |
| Deferred Compensation Board | 166 | 225 | 225 | 225 | 225 |
| Elections, State Board of | 0 | 0 | 0 | 574 | 1,949 |
| Gaming Commission, New York State | 14,956 | 16,881 | 16,958 | 17,575 | 17,575 |
| General Services, Office of | 2,208 | 2,187 | 2,268 | 2,383 | 2,513 |
| State, Department of | 9,433 | 9,057 | 9,259 | 9,496 | 9,496 |
| Taxation and Finance, Department of | 31,785 | 25,046 | 25,869 | 26,014 | 26,014 |
| Workers' Compensation Board | <u>46,013</u> | <u>48,228</u> | <u>49,948</u> | <u>51,902</u> | <u>51,902</u> |
| Functional Total | <u>105,687</u> | <u>103,243</u> | <u>106,260</u> | <u>110,002</u> | <u>111,507</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 1,634 | 1,934 | 1,867 | 1,978 | 2,039 |
| Judiciary | 659,912 | 696,303 | 743,453 | 791,553 | 826,553 |
| Law, Department of | <u>13,613</u> | <u>19,023</u> | <u>20,785</u> | <u>21,369</u> | <u>22,773</u> |
| Functional Total | <u>675,159</u> | <u>717,260</u> | <u>766,105</u> | <u>814,900</u> | <u>851,365</u> |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Miscellaneous | <u>13,902</u> | <u>5,914</u> | <u>5,914</u> | <u>5,917</u> | <u>5,917</u> |
| Functional Total | <u>4,145,588</u> | <u>4,472,190</u> | <u>4,996,761</u> | <u>5,271,087</u> | <u>5,553,058</u> |
| TOTAL GENERAL STATE CHARGES SPENDING | <u><u>7,033,246</u></u> | <u><u>7,333,779</u></u> | <u><u>7,894,463</u></u> | <u><u>8,285,848</u></u> | <u><u>8,710,737</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| PARKS AND THE ENVIRONMENT | | | | | |
| Parks, Recreation and Historic Preservation, Office of | 1,106 | 1,000 | 2,500 | 2,500 | 2,500 |
| Functional Total | <u>1,106</u> | <u>1,000</u> | <u>2,500</u> | <u>2,500</u> | <u>2,500</u> |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 115 | 0 | 0 | 0 | 0 |
| Transportation, Department of | 101 | 0 | 0 | 0 | 0 |
| Functional Total | <u>216</u> | <u>0</u> | <u>0</u> | <u>0</u> | <u>0</u> |
| TOTAL CAPITAL PROJECTS SPENDING | <u><u>1,322</u></u> | <u><u>1,000</u></u> | <u><u>2,500</u></u> | <u><u>2,500</u></u> | <u><u>2,500</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 11,002 | 4,388 | 4,001 | 4,000 | 4,000 |
| Economic Development Capital | 10,509 | 11,215 | 23,000 | 29,276 | 23,000 |
| Economic Development, Department of | 8,012 | 16,667 | 13,433 | 3,274 | 0 |
| Empire State Development Corporation | 442,329 | 756,199 | 1,150,265 | 1,212,753 | 1,173,582 |
| Energy Research and Development Authority | 9,075 | 22,600 | 25,000 | 13,500 | 13,000 |
| Olympic Regional Development Authority | 6,900 | 7,500 | 0 | 0 | 0 |
| Regional Economic Development Program | 3,071 | 1,500 | 1,500 | 1,334 | 500 |
| Strategic Investment Program | 1,030 | 5,000 | 6,000 | 6,000 | 5,871 |
| Functional Total | 491,928 | 825,069 | 1,223,199 | 1,270,137 | 1,219,953 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 539,496 | 622,353 | 634,801 | 639,353 | 637,002 |
| Hudson River Park Trust | 49 | 0 | 0 | 0 | 0 |
| Parks, Recreation and Historic Preservation, Office of | 97,713 | 126,400 | 133,150 | 127,650 | 127,650 |
| Functional Total | 637,258 | 748,753 | 767,951 | 767,003 | 764,652 |
| TRANSPORTATION | | | | | |
| Metropolitan Transportation Authority | 0 | 512,171 | 493,229 | 150,000 | 250,000 |
| Motor Vehicles, Department of | 182,073 | 189,691 | 190,325 | 189,861 | 192,356 |
| Thruway Authority, New York State | 3,570 | 1,800 | 1,800 | 1,800 | 1,800 |
| Transportation, Department of | 4,238,172 | 3,972,792 | 3,974,203 | 4,043,272 | 4,012,430 |
| Functional Total | 4,423,815 | 4,676,454 | 4,659,557 | 4,384,933 | 4,456,586 |
| HEALTH | | | | | |
| Health, Department of | 117,235 | 406,500 | 493,500 | 433,500 | 433,500 |
| <i>Public Health</i> | 117,235 | 406,500 | 493,500 | 433,500 | 433,500 |
| Functional Total | 117,235 | 406,500 | 493,500 | 433,500 | 433,500 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 19,537 | 25,931 | 33,931 | 35,931 | 32,931 |
| <i>OCFS</i> | 19,537 | 25,931 | 33,931 | 35,931 | 32,931 |
| Housing and Community Renewal, Division of | 82,202 | 98,731 | 102,227 | 108,227 | 108,227 |
| Temporary and Disability Assistance, Office of | 32,711 | 40,900 | 63,400 | 57,400 | 57,400 |
| <i>All Other</i> | 32,711 | 40,900 | 63,400 | 57,400 | 57,400 |
| Functional Total | 134,450 | 165,562 | 199,558 | 201,558 | 198,558 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 35,646 | 58,523 | 64,523 | 70,523 | 70,523 |
| <i>OASAS</i> | 35,646 | 58,523 | 64,523 | 70,523 | 70,523 |
| Mental Health, Office of | 140,754 | 141,366 | 143,206 | 146,206 | 146,206 |
| <i>OMH</i> | 140,754 | 141,366 | 143,206 | 146,206 | 146,206 |
| People with Developmental Disabilities, Office for | 47,952 | 43,099 | 43,099 | 43,099 | 43,099 |
| <i>OPWDD</i> | 47,952 | 43,099 | 43,099 | 43,099 | 43,099 |
| Functional Total | 224,352 | 242,988 | 250,828 | 259,828 | 259,828 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 220,308 | 225,160 | 229,064 | 241,064 | 241,064 |
| Homeland Security and Emergency Services, Division of | 70,333 | 134,779 | 8,000 | 5,000 | 5,000 |
| Military and Naval Affairs, Division of | 29,277 | 64,807 | 35,607 | 20,000 | 20,000 |
| State Police, Division of | 7,593 | 30,539 | 47,641 | 33,510 | 29,015 |
| Functional Total | 327,511 | 455,285 | 320,312 | 299,574 | 295,079 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 30,141 | 35,000 | 35,000 | 35,400 | 35,900 |
| Higher Education Facilities Capital Matching Grants Program | 2,086 | 15,000 | 25,000 | 35,000 | 37,900 |
| State University of New York | 916,830 | 1,004,836 | 937,894 | 846,410 | 808,910 |
| Functional Total | 949,057 | 1,054,836 | 997,894 | 916,810 | 882,710 |
| EDUCATION | | | | | |
| Education, Department of | 19,081 | 430,232 | 451,923 | 446,447 | 437,400 |

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <i>School Aid</i> | 0 | 400,000 | 400,000 | 400,000 | 400,000 |
| <i>All Other</i> | 19,081 | 30,232 | 51,923 | 46,447 | 37,400 |
| Functional Total | <u>19,081</u> | <u>430,232</u> | <u>451,923</u> | <u>446,447</u> | <u>437,400</u> |
| GENERAL GOVERNMENT | | | | | |
| General Services, Office of | 118,000 | 114,283 | 102,883 | 125,083 | 75,883 |
| Technology, Office for | 30,763 | 39,395 | 92,250 | 82,753 | 5,700 |
| Workers' Compensation Board | 0 | 5,000 | 10,000 | 15,000 | 15,000 |
| Functional Total | <u>148,763</u> | <u>158,678</u> | <u>205,133</u> | <u>222,836</u> | <u>96,583</u> |
| ELECTED OFFICIALS | | | | | |
| Law, Department of | 2,379 | 3,000 | 3,000 | 1,621 | 0 |
| Functional Total | <u>2,379</u> | <u>3,000</u> | <u>3,000</u> | <u>1,621</u> | <u>0</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 71,902 | 1,146,125 | 819,800 | 939,850 | 1,309,450 |
| Functional Total | <u>71,902</u> | <u>1,146,125</u> | <u>819,800</u> | <u>939,850</u> | <u>1,309,450</u> |
| TOTAL CAPITAL PROJECTS FUNDS SPENDING | <u><u>7,547,731</u></u> | <u><u>10,313,482</u></u> | <u><u>10,392,655</u></u> | <u><u>10,144,097</u></u> | <u><u>10,354,299</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| <i>Agriculture and Markets, Department of</i> | 104,699 | 108,571 | 99,244 | 99,622 | 99,689 |
| Local Assistance Grants | 25,275 | 35,197 | 27,321 | 27,321 | 27,321 |
| State Operations | 64,890 | 66,086 | 64,833 | 65,019 | 65,019 |
| Personal Service | 31,660 | 32,033 | 31,489 | 31,489 | 31,489 |
| Non-Personal Service/Indirect Costs | 33,230 | 34,053 | 33,344 | 33,530 | 33,530 |
| General State Charges | 3,532 | 2,900 | 3,089 | 3,282 | 3,349 |
| Capital Projects | 11,002 | 4,388 | 4,001 | 4,000 | 4,000 |
| <i>Alcoholic Beverage Control, Division of</i> | 17,636 | 17,394 | 17,551 | 17,728 | 17,728 |
| State Operations | 13,095 | 12,751 | 12,753 | 12,755 | 12,755 |
| Personal Service | 7,657 | 8,147 | 8,147 | 8,147 | 8,147 |
| Non-Personal Service/Indirect Costs | 5,438 | 4,604 | 4,606 | 4,608 | 4,608 |
| General State Charges | 4,541 | 4,643 | 4,798 | 4,973 | 4,973 |
| <i>Economic Development Capital</i> | 10,509 | 11,215 | 23,000 | 29,276 | 23,000 |
| Local Assistance Grants | 8,524 | 0 | 0 | 0 | 0 |
| Capital Projects | 1,985 | 11,215 | 23,000 | 29,276 | 23,000 |
| <i>Economic Development, Department of</i> | 70,646 | 106,839 | 99,568 | 92,609 | 89,335 |
| Local Assistance Grants | 50,363 | 67,771 | 63,934 | 67,934 | 67,934 |
| State Operations | 20,151 | 22,373 | 22,173 | 21,373 | 21,373 |
| Personal Service | 11,493 | 13,629 | 13,629 | 13,629 | 13,629 |
| Non-Personal Service/Indirect Costs | 8,658 | 8,744 | 8,544 | 7,744 | 7,744 |
| General State Charges | 0 | 28 | 28 | 28 | 28 |
| Capital Projects | 132 | 16,667 | 13,433 | 3,274 | 0 |
| <i>Empire State Development Corporation</i> | 527,613 | 847,123 | 1,286,211 | 1,348,699 | 1,309,528 |
| Local Assistance Grants | 461,088 | 706,000 | 882,710 | 939,071 | 971,000 |
| State Operations | 50 | 850 | 850 | 850 | 850 |
| Personal Service | 0 | 425 | 425 | 425 | 425 |
| Non-Personal Service/Indirect Costs | 50 | 425 | 425 | 425 | 425 |
| Capital Projects | 66,475 | 140,273 | 402,651 | 408,778 | 337,678 |
| <i>Energy Research and Development Authority</i> | 21,047 | 22,600 | 25,000 | 13,500 | 13,000 |
| Local Assistance Grants | 5,527 | 0 | 0 | 0 | 0 |
| State Operations | 4,841 | 0 | 0 | 0 | 0 |
| Personal Service | 3,622 | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | 1,219 | 0 | 0 | 0 | 0 |
| General State Charges | 1,604 | 0 | 0 | 0 | 0 |
| Capital Projects | 9,075 | 22,600 | 25,000 | 13,500 | 13,000 |
| <i>Financial Services, Department of</i> | 493,855 | 376,710 | 377,358 | 379,038 | 379,170 |
| Local Assistance Grants | 223,476 | 76,664 | 76,414 | 76,289 | 76,289 |
| State Operations | 193,325 | 211,651 | 210,417 | 209,717 | 209,717 |
| Personal Service | 138,372 | 153,580 | 153,580 | 153,580 | 153,580 |
| Non-Personal Service/Indirect Costs | 54,953 | 58,071 | 56,837 | 56,137 | 56,137 |
| General State Charges | 77,054 | 88,395 | 90,527 | 93,032 | 93,164 |
| <i>Olympic Regional Development Authority</i> | 9,911 | 10,661 | 3,161 | 3,161 | 3,161 |
| State Operations | 3,011 | 3,161 | 3,161 | 3,161 | 3,161 |
| Personal Service | 2,548 | 2,548 | 2,548 | 2,548 | 2,548 |
| Non-Personal Service/Indirect Costs | 463 | 613 | 613 | 613 | 613 |
| Capital Projects | 6,900 | 7,500 | 0 | 0 | 0 |
| <i>Public Service Department</i> | 71,807 | 75,248 | 76,134 | 77,514 | 78,913 |
| Local Assistance Grants | 0 | 188 | 188 | 188 | 188 |
| State Operations | 49,158 | 50,950 | 50,872 | 50,955 | 50,955 |
| Personal Service | 41,245 | 43,291 | 43,277 | 43,358 | 43,358 |
| Non-Personal Service/Indirect Costs | 7,913 | 7,659 | 7,595 | 7,597 | 7,597 |
| General State Charges | 22,649 | 24,110 | 25,074 | 26,371 | 27,770 |
| <i>Regional Economic Development Program</i> | 3,071 | 1,500 | 1,500 | 1,334 | 500 |
| Local Assistance Grants | 3,071 | 0 | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Capital Projects | 0 | 1,500 | 1,500 | 1,334 | 500 |
| Strategic Investment Program | 1,030 | 5,000 | 6,000 | 6,000 | 5,871 |
| Local Assistance Grants | 1,030 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 5,000 | 6,000 | 6,000 | 5,871 |
| Functional Total | 1,331,824 | 1,582,861 | 2,014,727 | 2,068,481 | 2,019,895 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,416 | 4,682 | 4,682 | 4,682 | 4,682 |
| State Operations | 4,416 | 4,682 | 4,682 | 4,682 | 4,682 |
| Personal Service | 3,893 | 4,027 | 4,027 | 4,027 | 4,027 |
| Non-Personal Service/Indirect Costs | 523 | 655 | 655 | 655 | 655 |
| Environmental Conservation, Department of | 873,136 | 977,554 | 980,977 | 957,802 | 956,526 |
| Local Assistance Grants | 307,428 | 225,225 | 212,782 | 205,200 | 205,200 |
| State Operations | 271,334 | 283,203 | 282,890 | 261,154 | 262,059 |
| Personal Service | 201,672 | 209,451 | 209,538 | 197,864 | 198,030 |
| Non-Personal Service/Indirect Costs | 69,662 | 73,752 | 73,352 | 63,290 | 64,029 |
| General State Charges | 59,417 | 56,773 | 58,086 | 52,095 | 52,265 |
| Capital Projects | 234,957 | 412,353 | 427,219 | 439,353 | 437,002 |
| Hudson River Park Trust | 49 | 0 | 0 | 0 | 0 |
| Capital Projects | 49 | 0 | 0 | 0 | 0 |
| Parks, Recreation and Historic Preservation, Office of | 295,236 | 320,677 | 328,229 | 322,904 | 322,904 |
| Local Assistance Grants | 9,722 | 9,775 | 8,695 | 8,870 | 8,870 |
| State Operations | 183,841 | 179,688 | 180,037 | 180,036 | 180,036 |
| Personal Service | 136,026 | 138,426 | 138,801 | 138,801 | 138,801 |
| Non-Personal Service/Indirect Costs | 47,815 | 41,262 | 41,236 | 41,235 | 41,235 |
| General State Charges | 2,829 | 3,814 | 3,847 | 3,848 | 3,848 |
| Capital Projects | 98,844 | 127,400 | 135,650 | 130,150 | 130,150 |
| Functional Total | 1,172,837 | 1,302,913 | 1,313,888 | 1,285,388 | 1,284,112 |
| TRANSPORTATION | | | | | |
| Metropolitan Transportation Authority | 0 | 512,171 | 493,229 | 150,000 | 250,000 |
| Local Assistance Grants | 0 | 512,171 | 493,229 | 150,000 | 250,000 |
| Motor Vehicles, Department of | 280,755 | 305,144 | 306,686 | 307,028 | 309,635 |
| Local Assistance Grants | 14,536 | 18,000 | 18,000 | 18,000 | 18,000 |
| State Operations | 60,675 | 70,260 | 70,396 | 70,396 | 70,396 |
| Personal Service | 44,853 | 48,726 | 48,789 | 48,789 | 48,789 |
| Non-Personal Service/Indirect Costs | 15,822 | 21,534 | 21,607 | 21,607 | 21,607 |
| General State Charges | 23,356 | 27,193 | 27,965 | 28,771 | 28,883 |
| Capital Projects | 182,188 | 189,691 | 190,325 | 189,861 | 192,356 |
| Thruway Authority, New York State | 21,911 | 23,300 | 23,300 | 23,300 | 23,300 |
| Local Assistance Grants | 3,570 | 0 | 0 | 0 | 0 |
| State Operations | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Non-Personal Service/Indirect Costs | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Capital Projects | 0 | 1,800 | 1,800 | 1,800 | 1,800 |
| Transportation, Department of | 9,152,775 | 8,903,005 | 8,961,224 | 9,101,986 | 9,135,171 |
| Local Assistance Grants | 5,910,854 | 6,011,702 | 6,000,732 | 6,068,849 | 6,115,344 |
| State Operations | 28,374 | 27,813 | 29,619 | 29,628 | 29,628 |
| Personal Service | 10,883 | 12,301 | 13,033 | 13,033 | 13,033 |
| Non-Personal Service/Indirect Costs | 17,491 | 15,512 | 16,586 | 16,595 | 16,595 |
| General State Charges | 6,659 | 6,646 | 7,310 | 7,772 | 8,187 |
| Capital Projects | 3,206,888 | 2,856,844 | 2,923,563 | 2,995,737 | 2,982,012 |
| Functional Total | 9,455,441 | 9,743,620 | 9,784,439 | 9,582,314 | 9,718,106 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| HEALTH | | | | | |
| Aging, Office for the | 232,122 | 233,185 | 233,036 | 238,109 | 243,309 |
| Local Assistance Grants | 223,719 | 224,945 | 224,115 | 229,188 | 234,388 |
| State Operations | 8,403 | 8,240 | 8,899 | 8,899 | 8,899 |
| Personal Service | 6,648 | 7,122 | 7,777 | 7,777 | 7,777 |
| Non-Personal Service/Indirect Costs | 1,755 | 1,118 | 1,122 | 1,122 | 1,122 |
| General State Charges | 0 | 0 | 22 | 22 | 22 |
| Health, Department of | 51,263,366 | 55,665,912 | 57,910,075 | 59,687,239 | 60,939,580 |
| Medical Assistance | 45,668,670 | 48,262,735 | 49,404,380 | 51,048,774 | 52,117,164 |
| Local Assistance Grants | 45,676,218 | 48,262,735 | 49,404,380 | 51,048,774 | 52,117,164 |
| State Operations | (7,548) | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | (7,548) | 0 | 0 | 0 | 0 |
| Basic Health Plan | 0 | 1,678,851 | 2,659,379 | 2,730,314 | 2,809,542 |
| Local Assistance Grants | 0 | 1,643,140 | 2,617,735 | 2,701,502 | 2,782,548 |
| State Operations | 0 | 35,711 | 41,644 | 28,812 | 26,994 |
| Personal Service | 0 | 683 | 804 | 534 | 488 |
| Non-Personal Service/Indirect Costs | 0 | 35,028 | 40,840 | 28,278 | 26,506 |
| Medicaid Administration | 1,336,706 | 1,445,067 | 1,463,940 | 1,489,960 | 1,502,060 |
| Local Assistance Grants | 959,834 | 832,381 | 820,361 | 820,361 | 820,361 |
| State Operations | 376,872 | 612,686 | 643,579 | 669,599 | 681,699 |
| Personal Service | 54,236 | 85,129 | 126,100 | 138,088 | 146,076 |
| Non-Personal Service/Indirect Costs | 322,636 | 527,557 | 517,479 | 531,511 | 535,623 |
| Public Health | 4,257,990 | 4,279,259 | 4,382,376 | 4,418,191 | 4,510,814 |
| Local Assistance Grants | 3,348,072 | 3,565,033 | 3,709,316 | 3,799,980 | 3,888,658 |
| State Operations | 795,813 | 557,038 | 519,200 | 521,881 | 523,050 |
| Personal Service | 277,587 | 277,095 | 304,599 | 304,630 | 304,640 |
| Non-Personal Service/Indirect Costs | 518,226 | 279,943 | 214,601 | 217,251 | 218,410 |
| General State Charges | 64,555 | 70,688 | 80,360 | 82,830 | 85,606 |
| Debt Service | 1 | 0 | 0 | 0 | 0 |
| Capital Projects | 49,549 | 86,500 | 73,500 | 13,500 | 13,500 |
| Medicaid Inspector General, Office of the | 51,887 | 53,702 | 53,486 | 53,486 | 53,486 |
| State Operations | 42,723 | 44,353 | 44,146 | 44,146 | 44,146 |
| Personal Service | 33,243 | 33,364 | 33,134 | 33,134 | 33,134 |
| Non-Personal Service/Indirect Costs | 9,480 | 10,989 | 11,012 | 11,012 | 11,012 |
| General State Charges | 9,164 | 9,349 | 9,340 | 9,340 | 9,340 |
| Stem Cell and Innovation | 33,483 | 29,785 | 29,785 | 29,785 | 29,785 |
| State Operations | 33,294 | 29,785 | 29,785 | 29,785 | 29,785 |
| Personal Service | 368 | 463 | 463 | 463 | 463 |
| Non-Personal Service/Indirect Costs | 32,926 | 29,322 | 29,322 | 29,322 | 29,322 |
| General State Charges | 189 | 0 | 0 | 0 | 0 |
| Functional Total | 51,580,858 | 55,982,584 | 58,226,382 | 60,008,619 | 61,266,160 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 3,047,919 | 3,233,999 | 3,127,823 | 3,183,717 | 3,204,842 |
| OCFS | 2,961,920 | 3,147,225 | 3,039,649 | 3,094,148 | 3,115,444 |
| Local Assistance Grants | 2,591,873 | 2,755,799 | 2,647,902 | 2,694,408 | 2,710,556 |
| State Operations | 342,071 | 350,131 | 342,452 | 348,256 | 356,404 |
| Personal Service | 195,309 | 194,141 | 188,722 | 189,290 | 191,195 |
| Non-Personal Service/Indirect Costs | 146,762 | 155,990 | 153,730 | 158,966 | 165,209 |
| General State Charges | 8,439 | 15,364 | 15,364 | 15,553 | 15,553 |
| Capital Projects | 19,537 | 25,931 | 33,931 | 35,931 | 32,931 |
| OCFS - Other | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Local Assistance Grants | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Housing and Community Renewal, Division of | 221,841 | 232,885 | 264,798 | 271,541 | 276,707 |
| Local Assistance Grants | 142,716 | 150,417 | 182,196 | 188,746 | 193,746 |
| State Operations | 60,289 | 59,143 | 59,281 | 59,426 | 59,550 |
| Personal Service | 45,865 | 47,758 | 47,840 | 47,923 | 47,998 |
| Non-Personal Service/Indirect Costs | 14,424 | 11,385 | 11,441 | 11,503 | 11,552 |
| General State Charges | 18,836 | 20,321 | 20,321 | 20,369 | 20,411 |
| Capital Projects | 0 | 3,004 | 3,000 | 3,000 | 3,000 |
| Human Rights, Division of | 14,282 | 14,266 | 14,266 | 14,329 | 14,383 |
| State Operations | 14,221 | 14,266 | 14,266 | 14,329 | 14,383 |
| Personal Service | 11,574 | 12,536 | 12,536 | 12,567 | 12,596 |
| Non-Personal Service/Indirect Costs | 2,647 | 1,730 | 1,730 | 1,762 | 1,787 |
| General State Charges | 61 | 0 | 0 | 0 | 0 |
| Labor, Department of | 618,986 | 575,445 | 570,589 | 576,755 | 576,755 |
| Local Assistance Grants | 154,836 | 166,757 | 158,267 | 158,475 | 158,475 |
| State Operations | 341,976 | 297,544 | 297,557 | 302,187 | 302,187 |
| Personal Service | 214,782 | 205,834 | 205,412 | 207,628 | 207,628 |
| Non-Personal Service/Indirect Costs | 127,194 | 91,710 | 92,145 | 94,559 | 94,559 |
| General State Charges | 122,174 | 111,144 | 114,765 | 116,093 | 116,093 |
| National and Community Service | 17,696 | 14,909 | 14,909 | 16,029 | 16,335 |
| Local Assistance Grants | 450 | 350 | 350 | 350 | 350 |
| State Operations | 17,246 | 14,559 | 14,559 | 15,679 | 15,985 |
| Personal Service | 538 | 690 | 690 | 701 | 708 |
| Non-Personal Service/Indirect Costs | 16,708 | 13,869 | 13,869 | 14,978 | 15,277 |
| Temporary and Disability Assistance, Office of | 5,052,460 | 5,046,676 | 5,092,999 | 5,100,488 | 5,118,459 |
| Welfare Assistance | 3,770,610 | 3,752,484 | 3,760,199 | 3,769,699 | 3,778,699 |
| Local Assistance Grants | 3,770,610 | 3,752,484 | 3,760,199 | 3,769,699 | 3,778,699 |
| All Other | 1,281,850 | 1,294,192 | 1,332,800 | 1,330,789 | 1,339,760 |
| Local Assistance Grants | 961,148 | 949,756 | 982,496 | 977,896 | 984,296 |
| State Operations | 280,916 | 299,063 | 304,931 | 307,520 | 310,091 |
| Personal Service | 141,847 | 137,168 | 137,867 | 138,676 | 139,492 |
| Non-Personal Service/Indirect Costs | 139,069 | 161,895 | 167,064 | 168,844 | 170,599 |
| General State Charges | 39,575 | 44,973 | 44,973 | 44,973 | 44,973 |
| Capital Projects | 211 | 400 | 400 | 400 | 400 |
| Functional Total | 8,973,184 | 9,118,180 | 9,085,384 | 9,162,859 | 9,207,481 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 562,022 | 603,592 | 621,922 | 643,646 | 657,675 |
| OASAS | 478,107 | 518,736 | 539,147 | 559,760 | 572,164 |
| Local Assistance Grants | 428,955 | 457,081 | 476,833 | 496,503 | 507,681 |
| State Operations | 35,757 | 34,890 | 35,127 | 35,361 | 35,722 |
| Personal Service | 24,674 | 25,389 | 25,452 | 25,517 | 25,682 |
| Non-Personal Service/Indirect Costs | 11,083 | 9,501 | 9,675 | 9,844 | 10,040 |
| General State Charges | 13,472 | 13,682 | 14,104 | 14,813 | 15,678 |
| Capital Projects | (77) | 13,083 | 13,083 | 13,083 | 13,083 |
| OASAS - Other | 83,915 | 84,856 | 82,775 | 83,886 | 85,511 |
| Local Assistance Grants | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| State Operations | 44,341 | 44,008 | 42,003 | 42,301 | 42,696 |
| Personal Service | 32,857 | 34,295 | 32,340 | 32,444 | 32,637 |
| Non-Personal Service/Indirect Costs | 11,484 | 9,713 | 9,663 | 9,857 | 10,059 |
| General State Charges | 18,249 | 19,523 | 19,447 | 20,260 | 21,490 |
| Developmental Disabilities Planning Council | 3,566 | 4,200 | 4,200 | 4,200 | 4,200 |
| State Operations | 3,006 | 3,532 | 3,499 | 3,456 | 3,415 |
| Personal Service | 991 | 1,253 | 1,253 | 1,266 | 1,266 |
| Non-Personal Service/Indirect Costs | 2,015 | 2,279 | 2,246 | 2,190 | 2,149 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| General State Charges | 560 | 668 | 701 | 744 | 785 |
| Justice Center | 32,151 | 42,590 | 43,836 | 45,233 | 46,842 |
| Local Assistance Grants | 600 | 620 | 620 | 620 | 620 |
| State Operations | 30,937 | 41,216 | 42,420 | 43,748 | 45,278 |
| Personal Service | 19,875 | 26,994 | 27,812 | 28,752 | 29,908 |
| Non-Personal Service/Indirect Costs | 11,062 | 14,222 | 14,608 | 14,996 | 15,370 |
| General State Charges | 614 | 754 | 796 | 865 | 944 |
| Mental Health, Office of | 3,323,614 | 3,399,832 | 3,469,140 | 3,619,884 | 3,746,930 |
| OMH | 1,519,657 | 1,490,485 | 1,639,374 | 1,727,693 | 1,792,653 |
| Local Assistance Grants | 879,567 | 996,635 | 1,022,651 | 1,100,124 | 1,147,390 |
| State Operations | 337,957 | 300,765 | 359,071 | 362,803 | 368,206 |
| Personal Service | 271,043 | 243,828 | 297,857 | 300,217 | 304,247 |
| Non-Personal Service/Indirect Costs | 66,914 | 56,937 | 61,214 | 62,586 | 63,959 |
| General State Charges | 196,822 | 107,802 | 172,529 | 179,643 | 191,934 |
| Capital Projects | 105,311 | 85,283 | 85,123 | 85,123 | 85,123 |
| OMH - Other | 1,803,957 | 1,909,347 | 1,829,766 | 1,892,191 | 1,954,277 |
| Local Assistance Grants | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| State Operations | 1,047,217 | 1,124,545 | 1,041,363 | 1,043,127 | 1,060,119 |
| Personal Service | 807,039 | 890,423 | 805,241 | 803,003 | 814,123 |
| Non-Personal Service/Indirect Costs | 240,178 | 234,122 | 236,122 | 240,124 | 245,996 |
| General State Charges | 409,884 | 509,339 | 471,779 | 489,582 | 524,319 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| State Operations | 228 | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 3,513,914 | 3,231,103 | 3,235,913 | 3,590,975 | 3,773,207 |
| OPWDD | 482,733 | 388,135 | 430,667 | 417,667 | 417,667 |
| Local Assistance Grants | 436,065 | 347,734 | 390,266 | 377,266 | 377,266 |
| State Operations | 931 | 1,181 | 1,181 | 1,181 | 1,181 |
| Non-Personal Service/Indirect Costs | 931 | 1,181 | 1,181 | 1,181 | 1,181 |
| Capital Projects | 45,737 | 39,220 | 39,220 | 39,220 | 39,220 |
| OPWDD - Other | 3,031,181 | 2,842,968 | 2,805,246 | 3,173,308 | 3,355,540 |
| Local Assistance Grants | 1,027,577 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| State Operations | 1,374,122 | 1,335,029 | 1,282,249 | 1,294,145 | 1,311,078 |
| Personal Service | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| Non-Personal Service/Indirect Costs | 261,618 | 213,941 | 211,338 | 216,364 | 222,155 |
| General State Charges | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| Functional Total | 7,435,495 | 7,281,317 | 7,375,011 | 7,903,938 | 8,228,854 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| State Operations | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Personal Service | 2,037 | 2,414 | 2,414 | 2,414 | 2,414 |
| Non-Personal Service/Indirect Costs | 185 | 237 | 237 | 237 | 237 |
| Correctional Services, Department of | 2,871,404 | 2,939,594 | 2,891,323 | 2,903,533 | 2,903,739 |
| Local Assistance Grants | 5,939 | 6,022 | 6,022 | 6,022 | 6,022 |
| State Operations | 2,644,227 | 2,706,940 | 2,654,778 | 2,654,984 | 2,655,190 |
| Personal Service | 2,104,270 | 2,192,732 | 2,115,570 | 2,115,776 | 2,115,982 |
| Non-Personal Service/Indirect Costs | 539,957 | 514,208 | 539,208 | 539,208 | 539,208 |
| General State Charges | 930 | 1,472 | 1,459 | 1,463 | 1,463 |
| Capital Projects | 220,308 | 225,160 | 229,064 | 241,064 | 241,064 |
| Criminal Justice Services, Division of | 217,312 | 243,946 | 231,396 | 226,396 | 226,396 |
| Local Assistance Grants | 173,080 | 188,887 | 176,036 | 171,036 | 171,036 |
| State Operations | 43,947 | 51,739 | 51,912 | 51,912 | 51,912 |
| Personal Service | 29,604 | 31,785 | 31,827 | 31,827 | 31,827 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| Non-Personal Service/Indirect Costs | 14,343 | 19,954 | 20,085 | 20,085 | 20,085 |
| General State Charges | 285 | 3,320 | 3,448 | 3,448 | 3,448 |
| Disaster Assistance | (8,011) | (45,309) | 0 | 0 | 0 |
| Local Assistance Grants | 2,726 | 0 | 0 | 0 | 0 |
| State Operations | (10,737) | (45,309) | 0 | 0 | 0 |
| Personal Service | (9,310) | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | (1,427) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 2,512,054 | 2,481,520 | 1,619,911 | 1,063,039 | 749,959 |
| Local Assistance Grants | 2,322,250 | 2,298,447 | 1,571,290 | 1,017,397 | 704,294 |
| State Operations | 138,904 | 116,324 | 36,853 | 36,853 | 36,853 |
| Personal Service | 35,509 | 20,071 | 20,071 | 20,071 | 20,071 |
| Non-Personal Service/Indirect Costs | 103,395 | 96,253 | 16,782 | 16,782 | 16,782 |
| General State Charges | 8,958 | 3,745 | 3,768 | 3,789 | 3,812 |
| Capital Projects | 41,942 | 63,004 | 8,000 | 5,000 | 5,000 |
| Indigent Legal Services, Office of | 52,689 | 68,017 | 105,967 | 104,567 | 104,567 |
| Local Assistance Grants | 51,123 | 65,000 | 103,000 | 101,600 | 101,600 |
| State Operations | 1,051 | 2,489 | 2,239 | 2,239 | 2,239 |
| Personal Service | 928 | 1,454 | 1,704 | 1,704 | 1,704 |
| Non-Personal Service/Indirect Costs | 123 | 1,035 | 535 | 535 | 535 |
| General State Charges | 515 | 528 | 728 | 728 | 728 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| State Operations | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Personal Service | 4,028 | 4,181 | 4,181 | 4,181 | 4,212 |
| Non-Personal Service/Indirect Costs | 1,356 | 1,403 | 1,403 | 1,403 | 1,431 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| State Operations | 24 | 30 | 30 | 30 | 30 |
| Non-Personal Service/Indirect Costs | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |
| State Operations | 12 | 38 | 38 | 38 | 38 |
| Personal Service | 0 | 13 | 13 | 13 | 13 |
| Non-Personal Service/Indirect Costs | 12 | 25 | 25 | 25 | 25 |
| Military and Naval Affairs, Division of | 112,072 | 134,449 | 104,750 | 89,559 | 90,030 |
| Local Assistance Grants | 724 | 911 | 911 | 911 | 911 |
| State Operations | 65,488 | 60,719 | 59,831 | 59,831 | 59,831 |
| Personal Service | 42,930 | 39,995 | 39,107 | 39,107 | 39,107 |
| Non-Personal Service/Indirect Costs | 22,558 | 20,724 | 20,724 | 20,724 | 20,724 |
| General State Charges | 16,583 | 8,012 | 8,401 | 8,817 | 9,288 |
| Capital Projects | 29,277 | 64,807 | 35,607 | 20,000 | 20,000 |
| State Police, Division of | 697,545 | 692,152 | 720,725 | 706,736 | 702,403 |
| State Operations | 686,358 | 657,402 | 668,773 | 668,773 | 668,773 |
| Personal Service | 582,199 | 577,599 | 592,770 | 592,770 | 592,770 |
| Non-Personal Service/Indirect Costs | 104,159 | 79,803 | 76,003 | 76,003 | 76,003 |
| General State Charges | 3,594 | 4,211 | 4,311 | 4,453 | 4,615 |
| Capital Projects | 7,593 | 30,539 | 47,641 | 33,510 | 29,015 |
| Statewide Financial System | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| State Operations | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| Personal Service | 9,622 | 11,112 | 10,638 | 10,638 | 10,638 |
| Non-Personal Service/Indirect Costs | 22,337 | 19,025 | 19,073 | 19,079 | 19,079 |
| Victim Services, Office of | 61,976 | 69,720 | 68,830 | 68,830 | 68,830 |
| Local Assistance Grants | 55,492 | 61,098 | 60,198 | 60,198 | 60,198 |
| State Operations | 4,877 | 6,059 | 6,070 | 6,070 | 6,070 |
| Personal Service | 4,171 | 4,833 | 4,834 | 4,834 | 4,834 |
| Non-Personal Service/Indirect Costs | 706 | 1,226 | 1,236 | 1,236 | 1,236 |
| General State Charges | 1,607 | 2,563 | 2,562 | 2,562 | 2,562 |
| Functional Total | 6,556,642 | 6,622,529 | 5,780,916 | 5,200,680 | 4,884,003 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,519,689 | 1,551,470 | 1,551,602 | 1,582,884 | 1,627,752 |
| Local Assistance Grants | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| State Operations | 86,784 | 84,363 | 86,265 | 88,206 | 89,080 |
| Personal Service | 48,140 | 42,363 | 43,425 | 44,509 | 44,509 |
| Non-Personal Service/Indirect Costs | 38,644 | 42,000 | 42,840 | 43,697 | 44,571 |
| General State Charges | 7,717 | 6,000 | 6,000 | 6,000 | 6,000 |
| Capital Projects | 30,141 | 35,000 | 35,000 | 35,400 | 35,900 |
| Higher Education - Miscellaneous | 300 | 390 | 390 | 390 | 390 |
| State Operations | 217 | 291 | 291 | 291 | 291 |
| Personal Service | 146 | 198 | 198 | 198 | 198 |
| Non-Personal Service/Indirect Costs | 71 | 93 | 93 | 93 | 93 |
| General State Charges | 83 | 99 | 99 | 99 | 99 |
| Higher Education Facilities Capital Matching Grants Program | 2,086 | 15,000 | 25,000 | 35,000 | 37,900 |
| Local Assistance Grants | 2,086 | 7,000 | 974 | 0 | 0 |
| Capital Projects | 0 | 8,000 | 24,026 | 35,000 | 37,900 |
| Higher Education Services Corporation, New York State | 1,273,131 | 1,122,683 | 1,172,569 | 1,203,877 | 1,220,877 |
| Local Assistance Grants | 1,210,268 | 1,061,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| State Operations | 48,899 | 50,245 | 50,219 | 50,219 | 50,219 |
| Personal Service | 16,615 | 17,960 | 17,935 | 17,935 | 17,935 |
| Non-Personal Service/Indirect Costs | 32,284 | 32,285 | 32,284 | 32,284 | 32,284 |
| General State Charges | 13,964 | 10,647 | 10,985 | 11,397 | 11,397 |
| State University of New York | 8,025,152 | 7,910,270 | 7,942,316 | 7,987,041 | 8,094,190 |
| Local Assistance Grants | 487,080 | 512,449 | 513,506 | 514,756 | 513,006 |
| State Operations | 6,087,262 | 5,954,640 | 6,102,164 | 6,229,500 | 6,365,500 |
| Personal Service | 3,511,497 | 3,586,201 | 3,666,817 | 3,722,897 | 3,783,425 |
| Non-Personal Service/Indirect Costs | 2,575,765 | 2,368,439 | 2,435,347 | 2,506,603 | 2,582,075 |
| General State Charges | 534,497 | 439,595 | 392,502 | 401,375 | 410,524 |
| Capital Projects | 916,313 | 1,003,586 | 934,144 | 841,410 | 805,160 |
| Functional Total | 10,820,358 | 10,599,813 | 10,691,877 | 10,809,192 | 10,981,109 |
| EDUCATION | | | | | |
| Arts, Council on the | 67,068 | 45,953 | 45,953 | 45,953 | 45,953 |
| Local Assistance Grants | 63,756 | 41,533 | 41,533 | 41,533 | 41,533 |
| State Operations | 3,312 | 4,420 | 4,420 | 4,420 | 4,420 |
| Personal Service | 2,132 | 2,498 | 2,498 | 2,498 | 2,498 |
| Non-Personal Service/Indirect Costs | 1,180 | 1,922 | 1,922 | 1,922 | 1,922 |
| Education, Department of | 30,626,426 | 33,449,872 | 34,737,062 | 36,055,121 | 37,575,600 |
| School Aid | 23,684,046 | 26,417,468 | 27,668,535 | 28,809,649 | 30,135,528 |
| Local Assistance Grants | 23,683,662 | 26,017,028 | 27,268,535 | 28,409,649 | 29,735,528 |
| State Operations | 288 | 299 | 0 | 0 | 0 |
| Personal Service | 68 | 196 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | 220 | 103 | 0 | 0 | 0 |
| General State Charges | 96 | 141 | 0 | 0 | 0 |
| Capital Projects | 0 | 400,000 | 400,000 | 400,000 | 400,000 |
| STAR Property Tax Relief | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| Local Assistance Grants | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| Special Education Categorical Programs | 2,134,556 | 2,282,853 | 2,380,800 | 2,502,700 | 2,633,100 |
| Local Assistance Grants | 2,110,122 | 2,282,853 | 2,380,800 | 2,502,700 | 2,633,100 |
| State Operations | 18,805 | 0 | 0 | 0 | 0 |
| Personal Service | 10,440 | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | 8,365 | 0 | 0 | 0 | 0 |
| General State Charges | 5,629 | 0 | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| All Other | 1,510,874 | 1,367,272 | 1,219,883 | 1,232,980 | 1,254,740 |
| Local Assistance Grants | 1,130,718 | 910,430 | 818,906 | 835,788 | 864,672 |
| State Operations | 301,480 | 357,566 | 279,532 | 279,532 | 279,532 |
| Personal Service | 156,607 | 169,091 | 167,974 | 167,974 | 167,974 |
| Non-Personal Service/Indirect Costs | 144,873 | 188,475 | 111,558 | 111,558 | 111,558 |
| General State Charges | 73,988 | 83,044 | 83,522 | 85,213 | 87,136 |
| Capital Projects | 4,688 | 16,232 | 37,923 | 32,447 | 23,400 |
| Functional Total | 30,693,494 | 33,495,825 | 34,783,015 | 36,101,074 | 37,621,553 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 23,809 | 30,920 | 30,495 | 30,596 | 30,596 |
| State Operations | 22,834 | 29,477 | 28,938 | 28,939 | 28,939 |
| Personal Service | 20,223 | 24,434 | 24,514 | 24,567 | 24,567 |
| Non-Personal Service/Indirect Costs | 2,611 | 5,043 | 4,424 | 4,372 | 4,372 |
| General State Charges | 975 | 1,443 | 1,557 | 1,657 | 1,657 |
| Civil Service, Department of | 13,196 | 13,395 | 13,381 | 13,381 | 13,507 |
| State Operations | 13,045 | 13,219 | 13,205 | 13,205 | 13,331 |
| Personal Service | 12,046 | 12,398 | 12,384 | 12,384 | 12,497 |
| Non-Personal Service/Indirect Costs | 999 | 821 | 821 | 821 | 834 |
| General State Charges | 151 | 176 | 176 | 176 | 176 |
| Deferred Compensation Board | 527 | 855 | 866 | 866 | 866 |
| State Operations | 361 | 630 | 641 | 641 | 641 |
| Personal Service | 305 | 410 | 410 | 410 | 410 |
| Non-Personal Service/Indirect Costs | 56 | 220 | 231 | 231 | 231 |
| General State Charges | 166 | 225 | 225 | 225 | 225 |
| Elections, State Board of | 9,818 | 28,426 | 12,746 | 13,746 | 137,851 |
| Local Assistance Grants | 559 | 1,800 | 0 | 0 | 111,000 |
| State Operations | 9,259 | 26,626 | 12,746 | 13,172 | 24,902 |
| Personal Service | 4,680 | 5,687 | 5,573 | 6,539 | 11,682 |
| Non-Personal Service/Indirect Costs | 4,579 | 20,939 | 7,173 | 6,633 | 13,220 |
| General State Charges | 0 | 0 | 0 | 574 | 1,949 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| State Operations | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Personal Service | 2,178 | 2,510 | 2,510 | 2,510 | 2,529 |
| Non-Personal Service/Indirect Costs | 32 | 71 | 71 | 71 | 72 |
| Gaming Commission, New York State | 149,273 | 206,934 | 177,943 | 232,841 | 232,841 |
| Local Assistance Grants | 0 | 34,200 | 5,000 | 58,200 | 58,200 |
| State Operations | 134,317 | 155,853 | 155,985 | 157,066 | 157,066 |
| Personal Service | 30,748 | 37,892 | 37,944 | 38,988 | 38,988 |
| Non-Personal Service/Indirect Costs | 103,569 | 117,961 | 118,041 | 118,078 | 118,078 |
| General State Charges | 14,956 | 16,881 | 16,958 | 17,575 | 17,575 |
| General Services, Office of | 277,944 | 284,982 | 275,210 | 297,525 | 248,455 |
| Local Assistance Grants | 0 | 250 | 250 | 250 | 250 |
| State Operations | 157,736 | 168,262 | 169,809 | 169,809 | 169,809 |
| Personal Service | 62,120 | 75,248 | 79,491 | 79,491 | 79,491 |
| Non-Personal Service/Indirect Costs | 95,616 | 93,014 | 90,318 | 90,318 | 90,318 |
| General State Charges | 2,208 | 2,187 | 2,268 | 2,383 | 2,513 |
| Capital Projects | 118,000 | 114,283 | 102,883 | 125,083 | 75,883 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| State Operations | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Personal Service | 6,217 | 6,360 | 6,360 | 6,360 | 6,408 |
| Non-Personal Service/Indirect Costs | 852 | 557 | 557 | 557 | 569 |
| Labor Management Committees | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| State Operations | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| Personal Service | 6,402 | 5,446 | 5,446 | 5,446 | 5,446 |
| Non-Personal Service/Indirect Costs | 17,696 | 29,910 | 39,910 | 29,910 | 29,910 |
| Prevention of Domestic Violence, Office for | 1,956 | 2,281 | 2,281 | 2,281 | 2,281 |
| Local Assistance Grants | 543 | 685 | 685 | 685 | 685 |
| State Operations | 1,413 | 1,596 | 1,596 | 1,596 | 1,596 |
| Personal Service | 1,314 | 1,388 | 1,388 | 1,388 | 1,388 |
| Non-Personal Service/Indirect Costs | 99 | 208 | 208 | 208 | 208 |
| Public Employment Relations Board | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| State Operations | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| Personal Service | 2,894 | 3,495 | 3,336 | 3,336 | 3,363 |
| Non-Personal Service/Indirect Costs | 221 | 236 | 236 | 237 | 241 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State Operations | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| Personal Service | 2,899 | 4,620 | 4,620 | 4,620 | 4,646 |
| Non-Personal Service/Indirect Costs | 729 | 911 | 911 | 911 | 930 |
| State, Department of | 119,089 | 141,763 | 125,984 | 126,182 | 126,182 |
| Local Assistance Grants | 63,843 | 77,148 | 62,836 | 62,836 | 62,836 |
| State Operations | 44,663 | 52,849 | 51,097 | 51,058 | 51,058 |
| Personal Service | 30,012 | 32,555 | 32,504 | 32,466 | 32,466 |
| Non-Personal Service/Indirect Costs | 14,651 | 20,294 | 18,593 | 18,592 | 18,592 |
| General State Charges | 10,583 | 11,766 | 12,051 | 12,288 | 12,288 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| State Operations | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Personal Service | 2,688 | 2,870 | 2,870 | 2,870 | 2,870 |
| Non-Personal Service/Indirect Costs | 161 | 170 | 170 | 170 | 170 |
| Taxation and Finance, Department of | 381,732 | 357,323 | 358,476 | 358,778 | 358,778 |
| Local Assistance Grants | 906 | 926 | 926 | 926 | 926 |
| State Operations | 349,041 | 331,351 | 331,681 | 331,838 | 331,838 |
| Personal Service | 285,802 | 276,619 | 276,608 | 276,761 | 276,761 |
| Non-Personal Service/Indirect Costs | 63,239 | 54,732 | 55,073 | 55,077 | 55,077 |
| General State Charges | 31,785 | 25,046 | 25,869 | 26,014 | 26,014 |
| Technology, Office for | 458,465 | 552,101 | 615,430 | 605,884 | 540,561 |
| State Operations | 427,702 | 512,706 | 523,180 | 523,131 | 534,861 |
| Personal Service | 277,996 | 275,888 | 275,891 | 275,861 | 275,861 |
| Non-Personal Service/Indirect Costs | 149,706 | 236,818 | 247,289 | 247,270 | 259,000 |
| Capital Projects | 30,763 | 39,395 | 92,250 | 82,753 | 5,700 |
| Veterans' Affairs, Division of | 13,518 | 17,463 | 15,546 | 15,546 | 15,631 |
| Local Assistance Grants | 7,486 | 9,387 | 7,637 | 7,637 | 7,637 |
| State Operations | 5,827 | 7,726 | 7,559 | 7,559 | 7,644 |
| Personal Service | 5,312 | 6,842 | 6,675 | 6,675 | 6,742 |
| Non-Personal Service/Indirect Costs | 515 | 884 | 884 | 884 | 902 |
| General State Charges | 205 | 350 | 350 | 350 | 350 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| State Operations | 573 | 972 | 972 | 972 | 986 |
| Personal Service | 472 | 514 | 514 | 514 | 518 |
| Non-Personal Service/Indirect Costs | 101 | 458 | 458 | 458 | 468 |
| Workers' Compensation Board | 194,225 | 198,558 | 205,278 | 212,232 | 214,015 |
| State Operations | 148,212 | 145,330 | 145,330 | 145,330 | 147,113 |
| Personal Service | 77,315 | 80,977 | 80,977 | 80,977 | 81,592 |
| Non-Personal Service/Indirect Costs | 70,897 | 64,353 | 64,353 | 64,353 | 65,521 |
| General State Charges | 46,013 | 48,228 | 49,948 | 51,902 | 51,902 |
| Capital Projects | 0 | 5,000 | 10,000 | 15,000 | 15,000 |
| Functional Total | 1,687,094 | 1,893,129 | 1,901,605 | 1,967,828 | 1,979,704 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 174,514 | 176,668 | 176,211 | 176,322 | 176,383 |
| Local Assistance Grants | 32,025 | 32,024 | 32,024 | 32,024 | 32,024 |
| State Operations | 140,855 | 142,710 | 142,320 | 142,320 | 142,320 |
| Personal Service | 109,351 | 111,168 | 110,901 | 110,901 | 110,901 |
| Non-Personal Service/Indirect Costs | 31,504 | 31,542 | 31,419 | 31,419 | 31,419 |
| General State Charges | 1,634 | 1,934 | 1,867 | 1,978 | 2,039 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| State Operations | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Personal Service | 10,621 | 11,135 | 11,469 | 11,813 | 11,813 |
| Non-Personal Service/Indirect Costs | 3,345 | 2,443 | 2,109 | 1,765 | 1,765 |
| Judiciary | 2,681,428 | 2,783,379 | 2,839,053 | 2,887,153 | 2,922,153 |
| Local Assistance Grants | 107,429 | 121,600 | 121,600 | 121,600 | 121,600 |
| State Operations | 1,913,850 | 1,965,476 | 1,974,000 | 1,974,000 | 1,974,000 |
| Personal Service | 1,480,926 | 1,504,376 | 1,513,400 | 1,513,400 | 1,513,400 |
| Non-Personal Service/Indirect Costs | 432,924 | 461,100 | 460,600 | 460,600 | 460,600 |
| General State Charges | 660,149 | 696,303 | 743,453 | 791,553 | 826,553 |
| Law, Department of | 213,118 | 228,778 | 232,320 | 232,995 | 234,116 |
| State Operations | 190,193 | 195,358 | 197,006 | 198,159 | 199,163 |
| Personal Service | 130,508 | 136,223 | 137,723 | 138,725 | 139,729 |
| Non-Personal Service/Indirect Costs | 59,685 | 59,135 | 59,283 | 59,434 | 59,434 |
| General State Charges | 20,546 | 30,420 | 32,314 | 33,215 | 34,953 |
| Capital Projects | 2,379 | 3,000 | 3,000 | 1,621 | 0 |
| Legislature | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| State Operations | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| Personal Service | 160,777 | 166,331 | 166,331 | 166,331 | 166,331 |
| Non-Personal Service/Indirect Costs | 46,027 | 52,464 | 52,464 | 52,464 | 52,464 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| State Operations | 366 | 614 | 614 | 614 | 614 |
| Personal Service | 299 | 498 | 513 | 523 | 523 |
| Non-Personal Service/Indirect Costs | 67 | 116 | 101 | 91 | 91 |
| Functional Total | 3,290,196 | 3,421,812 | 3,480,571 | 3,529,457 | 3,565,639 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,438 | 741,121 | 759,356 | 762,710 | 763,347 |
| Local Assistance Grants | 726,338 | 741,121 | 759,356 | 762,710 | 763,347 |
| State Operations | 100 | 0 | 0 | 0 | 0 |
| Non-Personal Service/Indirect Costs | 100 | 0 | 0 | 0 | 0 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Local Assistance Grants | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Local Assistance Grants | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Local Assistance Grants | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Local Assistance Grants | 217 | 218 | 218 | 218 | 218 |
| Functional Total | 765,376 | 784,554 | 791,328 | 793,882 | 794,519 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Long-Term Debt Service | 6,221,470 | 5,166,373 | 6,254,184 | 6,745,920 | 7,050,295 |
| State Operations | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Non-Personal Service/Indirect Costs | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Debt Service | 6,182,816 | 5,122,413 | 6,207,506 | 6,699,242 | 7,003,617 |
| Miscellaneous | (225,257) | 688,496 | 359,171 | 694,225 | 935,841 |
| Local Assistance Grants | (326,287) | (443,777) | (361,777) | (301,777) | (190,777) |
| State Operations | 20,715 | 25,234 | 25,234 | 240,235 | 26,251 |
| Personal Service | 2,204 | 2,493 | 2,493 | 2,493 | 2,498 |
| Non-Personal Service/Indirect Costs | 18,511 | 22,741 | 22,741 | 237,742 | 23,753 |
| General State Charges | 13,902 | 5,914 | 5,914 | 5,917 | 5,917 |
| Capital Projects | 66,413 | 1,101,125 | 689,800 | 749,850 | 1,094,450 |
| Functional Total | 10,127,899 | 10,321,145 | 11,604,202 | 12,705,315 | 13,533,277 |
| TOTAL ALL GOVERNMENTAL FUNDS SPENDING | 143,890,698 | 152,150,282 | 156,833,345 | 161,119,027 | 165,084,412 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 104,699 | 108,571 | 99,244 | 99,622 | 99,689 |
| Alcoholic Beverage Control, Division of | 17,636 | 17,394 | 17,551 | 17,728 | 17,728 |
| Economic Development Capital | 10,509 | 11,215 | 23,000 | 29,276 | 23,000 |
| Economic Development, Department of | 70,646 | 106,839 | 99,568 | 92,609 | 89,335 |
| Empire State Development Corporation | 527,613 | 847,123 | 1,286,211 | 1,348,699 | 1,309,528 |
| Energy Research and Development Authority | 21,047 | 22,600 | 25,000 | 13,500 | 13,000 |
| Financial Services, Department of | 493,855 | 376,710 | 377,358 | 379,038 | 379,170 |
| Olympic Regional Development Authority | 9,911 | 10,661 | 3,161 | 3,161 | 3,161 |
| Public Service Department | 71,807 | 75,248 | 76,134 | 77,514 | 78,913 |
| Regional Economic Development Program | 3,071 | 1,500 | 1,500 | 1,334 | 500 |
| Strategic Investment Program | 1,030 | 5,000 | 6,000 | 6,000 | 5,871 |
| Functional Total | <u>1,331,824</u> | <u>1,582,861</u> | <u>2,014,727</u> | <u>2,068,481</u> | <u>2,019,895</u> |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,416 | 4,682 | 4,682 | 4,682 | 4,682 |
| Environmental Conservation, Department of | 873,136 | 977,554 | 980,977 | 957,802 | 956,526 |
| Hudson River Park Trust | 49 | 0 | 0 | 0 | 0 |
| Parks, Recreation and Historic Preservation, Office of | 295,236 | 320,677 | 328,229 | 322,904 | 322,904 |
| Functional Total | <u>1,172,837</u> | <u>1,302,913</u> | <u>1,313,888</u> | <u>1,285,388</u> | <u>1,284,112</u> |
| TRANSPORTATION | | | | | |
| Metropolitan Transportation Authority | 0 | 512,171 | 493,229 | 150,000 | 250,000 |
| Motor Vehicles, Department of | 280,755 | 305,144 | 306,686 | 307,028 | 309,635 |
| Thruway Authority, New York State | 21,911 | 23,300 | 23,300 | 23,300 | 23,300 |
| Transportation, Department of | 9,152,775 | 8,903,005 | 8,961,224 | 9,101,986 | 9,135,171 |
| Functional Total | <u>9,455,441</u> | <u>9,743,620</u> | <u>9,784,439</u> | <u>9,582,314</u> | <u>9,718,106</u> |
| HEALTH | | | | | |
| Aging, Office for the | 232,122 | 233,185 | 233,036 | 238,109 | 243,309 |
| Health, Department of | 51,263,366 | 55,665,912 | 57,910,075 | 59,687,239 | 60,939,580 |
| <i>Medical Assistance</i> | 45,668,670 | 48,262,735 | 49,404,380 | 51,048,774 | 52,117,164 |
| <i>Basic Health Plan</i> | 0 | 1,678,851 | 2,659,379 | 2,730,314 | 2,809,542 |
| <i>Medicaid Administration</i> | 1,336,706 | 1,445,067 | 1,463,940 | 1,489,960 | 1,502,060 |
| <i>Public Health</i> | 4,257,990 | 4,279,259 | 4,382,376 | 4,418,191 | 4,510,814 |
| Medicaid Inspector General, Office of the | 51,887 | 53,702 | 53,486 | 53,486 | 53,486 |
| Stem Cell and Innovation | 33,483 | 29,785 | 29,785 | 29,785 | 29,785 |
| Functional Total | <u>51,580,858</u> | <u>55,982,584</u> | <u>58,226,382</u> | <u>60,008,619</u> | <u>61,266,160</u> |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 3,047,919 | 3,233,999 | 3,127,823 | 3,183,717 | 3,204,842 |
| <i>OCFS</i> | 2,961,920 | 3,147,225 | 3,039,649 | 3,094,148 | 3,115,444 |
| <i>OCFS - Other</i> | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 221,841 | 232,885 | 264,798 | 271,541 | 276,707 |
| Human Rights, Division of | 14,282 | 14,266 | 14,266 | 14,329 | 14,383 |
| Labor, Department of | 618,986 | 575,445 | 570,589 | 576,755 | 576,755 |
| National and Community Service | 17,696 | 14,909 | 14,909 | 16,029 | 16,335 |
| Temporary and Disability Assistance, Office of | 5,052,460 | 5,046,676 | 5,092,999 | 5,100,488 | 5,118,459 |
| <i>Welfare Assistance</i> | 3,770,610 | 3,752,484 | 3,760,199 | 3,769,699 | 3,778,699 |
| <i>All Other</i> | 1,281,850 | 1,294,192 | 1,332,800 | 1,330,789 | 1,339,760 |
| Functional Total | <u>8,973,184</u> | <u>9,118,180</u> | <u>9,085,384</u> | <u>9,162,859</u> | <u>9,207,481</u> |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 562,022 | 603,592 | 621,922 | 643,646 | 657,675 |
| <i>OASAS</i> | 478,107 | 518,736 | 539,147 | 559,760 | 572,164 |
| <i>OASAS - Other</i> | 83,915 | 84,856 | 82,775 | 83,886 | 85,511 |
| Developmental Disabilities Planning Council | 3,566 | 4,200 | 4,200 | 4,200 | 4,200 |
| Justice Center | 32,151 | 42,590 | 43,836 | 45,233 | 46,842 |
| Mental Health, Office of | 3,323,614 | 3,399,832 | 3,469,140 | 3,619,884 | 3,746,930 |
| <i>OMH</i> | 1,519,657 | 1,490,485 | 1,639,374 | 1,727,693 | 1,792,653 |
| <i>OMH - Other</i> | 1,803,957 | 1,909,347 | 1,829,766 | 1,892,191 | 1,954,277 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 3,513,914 | 3,231,103 | 3,235,913 | 3,590,975 | 3,773,207 |
| <i>OPWDD</i> | 482,733 | 388,135 | 430,667 | 417,667 | 417,667 |
| <i>OPWDD - Other</i> | 3,031,181 | 2,842,968 | 2,805,246 | 3,173,308 | 3,355,540 |
| Functional Total | <u>7,435,495</u> | <u>7,281,317</u> | <u>7,375,011</u> | <u>7,903,938</u> | <u>8,228,854</u> |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Correctional Services, Department of | 2,871,404 | 2,939,594 | 2,891,323 | 2,903,533 | 2,903,739 |
| Criminal Justice Services, Division of | 217,312 | 243,946 | 231,396 | 226,396 | 226,396 |
| Disaster Assistance | (8,011) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 2,512,054 | 2,481,520 | 1,619,911 | 1,063,039 | 749,959 |
| Indigent Legal Services, Office of | 52,689 | 68,017 | 105,967 | 104,567 | 104,567 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |
| Military and Naval Affairs, Division of | 112,072 | 134,449 | 104,750 | 89,559 | 90,030 |
| State Police, Division of | 697,545 | 692,152 | 720,725 | 706,736 | 702,403 |
| Statewide Financial System | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| Victim Services, Office of | 61,976 | 69,720 | 68,830 | 68,830 | 68,830 |
| Functional Total | 6,556,642 | 6,622,529 | 5,780,916 | 5,200,680 | 4,884,003 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,519,689 | 1,551,470 | 1,551,602 | 1,582,884 | 1,627,752 |
| Higher Education - Miscellaneous | 300 | 390 | 390 | 390 | 390 |
| Higher Education Facilities Capital Matching Grants Program | 2,086 | 15,000 | 25,000 | 35,000 | 37,900 |
| Higher Education Services Corporation, New York State | 1,273,131 | 1,122,683 | 1,172,569 | 1,203,877 | 1,220,877 |
| State University of New York | 8,025,152 | 7,910,270 | 7,942,316 | 7,987,041 | 8,094,190 |
| Functional Total | 10,820,358 | 10,599,813 | 10,691,877 | 10,809,192 | 10,981,109 |
| EDUCATION | | | | | |
| Arts, Council on the | 67,068 | 45,953 | 45,953 | 45,953 | 45,953 |
| Education, Department of | 30,626,426 | 33,449,872 | 34,737,062 | 36,055,121 | 37,575,600 |
| <i>School Aid</i> | 23,684,046 | 26,417,468 | 27,668,535 | 28,809,649 | 30,135,528 |
| <i>STAR Property Tax Relief</i> | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| <i>Special Education Categorical Programs</i> | 2,134,556 | 2,282,853 | 2,380,800 | 2,502,700 | 2,633,100 |
| <i>All Other</i> | 1,510,874 | 1,367,272 | 1,219,883 | 1,232,980 | 1,254,740 |
| Functional Total | 30,693,494 | 33,495,825 | 34,783,015 | 36,101,074 | 37,621,553 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 23,809 | 30,920 | 30,495 | 30,596 | 30,596 |
| Civil Service, Department of | 13,196 | 13,395 | 13,381 | 13,381 | 13,507 |
| Deferred Compensation Board | 527 | 855 | 866 | 866 | 866 |
| Elections, State Board of | 9,818 | 28,426 | 12,746 | 13,746 | 137,851 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Gaming Commission, New York State | 149,273 | 206,934 | 177,943 | 232,841 | 232,841 |
| General Services, Office of | 277,944 | 284,982 | 275,210 | 297,525 | 248,455 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Labor Management Committees | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| Prevention of Domestic Violence, Office for | 1,956 | 2,281 | 2,281 | 2,281 | 2,281 |
| Public Employment Relations Board | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State, Department of | 119,089 | 141,763 | 125,984 | 126,182 | 126,182 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 381,732 | 357,323 | 358,476 | 358,778 | 358,778 |
| Technology, Office for | 458,465 | 552,101 | 615,430 | 605,884 | 540,561 |
| Veterans' Affairs, Division of | 13,518 | 17,463 | 15,546 | 15,546 | 15,631 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| Workers' Compensation Board | 194,225 | 198,558 | 205,278 | 212,232 | 214,015 |
| Functional Total | 1,687,094 | 1,893,129 | 1,901,605 | 1,967,828 | 1,979,704 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 174,514 | 176,668 | 176,211 | 176,322 | 176,383 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Judiciary | 2,681,428 | 2,783,379 | 2,839,053 | 2,887,153 | 2,922,153 |
| Law, Department of | 213,118 | 228,778 | 232,320 | 232,995 | 234,116 |
| Legislature | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| Functional Total | 3,290,196 | 3,421,812 | 3,480,571 | 3,529,457 | 3,565,639 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,438 | 741,121 | 759,356 | 762,710 | 763,347 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Functional Total | <u>765,376</u> | <u>784,554</u> | <u>791,328</u> | <u>793,882</u> | <u>794,519</u> |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Long-Term Debt Service | 6,221,470 | 5,166,373 | 6,254,184 | 6,745,920 | 7,050,295 |
| Miscellaneous | (225,257) | 688,496 | 359,171 | 694,225 | 935,841 |
| Functional Total | <u>10,127,899</u> | <u>10,321,145</u> | <u>11,604,202</u> | <u>12,705,315</u> | <u>13,533,277</u> |
| TOTAL ALL GOVERNMENTAL FUNDS SPENDING | <u>143,890,698</u> | <u>152,150,282</u> | <u>156,833,345</u> | <u>161,119,027</u> | <u>165,084,412</u> |

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 25,275 | 35,197 | 27,321 | 27,321 | 27,321 |
| Economic Development Capital | 8,524 | 0 | 0 | 0 | 0 |
| Economic Development, Department of | 50,363 | 67,771 | 63,934 | 67,934 | 67,934 |
| Empire State Development Corporation | 461,088 | 706,000 | 882,710 | 939,071 | 971,000 |
| Energy Research and Development Authority | 5,527 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 223,476 | 76,664 | 76,414 | 76,289 | 76,289 |
| Public Service Department | 0 | 188 | 188 | 188 | 188 |
| Regional Economic Development Program | 3,071 | 0 | 0 | 0 | 0 |
| Strategic Investment Program | 1,030 | 0 | 0 | 0 | 0 |
| Functional Total | 778,354 | 885,820 | 1,050,567 | 1,110,803 | 1,142,732 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 307,428 | 225,225 | 212,782 | 205,200 | 205,200 |
| Parks, Recreation and Historic Preservation, Office of | 9,722 | 9,775 | 8,695 | 8,870 | 8,870 |
| Functional Total | 317,150 | 235,000 | 221,477 | 214,070 | 214,070 |
| TRANSPORTATION | | | | | |
| Metropolitan Transportation Authority | 0 | 512,171 | 493,229 | 150,000 | 250,000 |
| Motor Vehicles, Department of | 14,536 | 18,000 | 18,000 | 18,000 | 18,000 |
| Thruway Authority, New York State | 3,570 | 0 | 0 | 0 | 0 |
| Transportation, Department of | 5,910,854 | 6,011,702 | 6,000,732 | 6,068,849 | 6,115,344 |
| Functional Total | 5,928,960 | 6,541,873 | 6,511,961 | 6,236,849 | 6,383,344 |
| HEALTH | | | | | |
| Aging, Office for the | 223,719 | 224,945 | 224,115 | 229,188 | 234,388 |
| Health, Department of | 49,984,124 | 54,303,289 | 56,551,792 | 58,370,617 | 59,608,731 |
| <i>Medical Assistance</i> | 45,676,218 | 48,262,735 | 49,404,380 | 51,048,774 | 52,117,164 |
| <i>Basic Health Plan</i> | 0 | 1,643,140 | 2,617,735 | 2,701,502 | 2,782,548 |
| <i>Medicaid Administration</i> | 959,834 | 832,381 | 820,361 | 820,361 | 820,361 |
| <i>Public Health</i> | 3,348,072 | 3,565,033 | 3,709,316 | 3,799,980 | 3,888,658 |
| Functional Total | 50,207,843 | 54,528,234 | 56,775,907 | 58,599,805 | 59,843,119 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 2,677,872 | 2,842,573 | 2,736,076 | 2,783,977 | 2,799,954 |
| <i>OCFS</i> | 2,591,873 | 2,755,799 | 2,647,902 | 2,694,408 | 2,710,556 |
| <i>OCFS - Other</i> | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 142,716 | 150,417 | 182,196 | 188,746 | 193,746 |
| Labor, Department of | 154,836 | 166,757 | 158,267 | 158,475 | 158,475 |
| National and Community Service | 450 | 350 | 350 | 350 | 350 |
| Temporary and Disability Assistance, Office of | 4,731,758 | 4,702,240 | 4,742,695 | 4,747,595 | 4,762,995 |
| <i>Welfare Assistance</i> | 3,770,610 | 3,752,484 | 3,760,199 | 3,769,699 | 3,778,699 |
| <i>All Other</i> | 961,148 | 949,756 | 982,496 | 977,896 | 984,296 |
| Functional Total | 7,707,632 | 7,862,337 | 7,819,584 | 7,879,143 | 7,915,520 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 450,280 | 478,406 | 498,158 | 517,828 | 529,006 |
| <i>OASAS</i> | 428,955 | 457,081 | 476,833 | 496,503 | 507,681 |
| <i>OASAS - Other</i> | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| Justice Center | 600 | 620 | 620 | 620 | 620 |
| Mental Health, Office of | 1,226,423 | 1,272,098 | 1,339,275 | 1,459,606 | 1,517,229 |
| <i>OMH</i> | 879,567 | 996,635 | 1,022,651 | 1,100,124 | 1,147,390 |
| <i>OMH - Other</i> | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| People with Developmental Disabilities, Office for | 1,463,642 | 1,224,553 | 1,286,531 | 1,601,844 | 1,724,744 |
| <i>OPWDD</i> | 436,065 | 347,734 | 390,266 | 377,266 | 377,266 |
| <i>OPWDD - Other</i> | 1,027,577 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| Functional Total | 3,140,945 | 2,975,677 | 3,124,584 | 3,579,898 | 3,771,599 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 5,939 | 6,022 | 6,022 | 6,022 | 6,022 |
| Criminal Justice Services, Division of | 173,080 | 188,887 | 176,036 | 171,036 | 171,036 |
| Disaster Assistance | 2,726 | 0 | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 2,322,250 | 2,298,447 | 1,571,290 | 1,017,397 | 704,294 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Indigent Legal Services, Office of | 51,123 | 65,000 | 103,000 | 101,600 | 101,600 |
| Military and Naval Affairs, Division of | 724 | 911 | 911 | 911 | 911 |
| Victim Services, Office of | 55,492 | 61,098 | 60,198 | 60,198 | 60,198 |
| Functional Total | 2,611,334 | 2,620,365 | 1,917,457 | 1,357,164 | 1,044,061 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| Higher Education Facilities Capital Matching Grants Program | 2,086 | 7,000 | 974 | 0 | 0 |
| Higher Education Services Corporation, New York State | 1,210,268 | 1,061,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| State University of New York | 487,080 | 512,449 | 513,506 | 514,756 | 513,006 |
| Functional Total | 3,094,481 | 3,007,347 | 3,050,182 | 3,110,295 | 3,169,039 |
| EDUCATION | | | | | |
| Arts, Council on the | 63,756 | 41,533 | 41,533 | 41,533 | 41,533 |
| Education, Department of | 30,221,452 | 32,592,590 | 33,936,085 | 35,257,929 | 36,785,532 |
| <i>School Aid</i> | 23,683,662 | 26,017,028 | 27,268,535 | 28,409,649 | 29,735,528 |
| <i>STAR Property Tax Relief</i> | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| <i>Special Education Categorical Programs</i> | 2,110,122 | 2,282,853 | 2,380,800 | 2,502,700 | 2,633,100 |
| <i>All Other</i> | 1,130,718 | 910,430 | 818,906 | 835,788 | 864,672 |
| Functional Total | 30,285,208 | 32,634,123 | 33,977,618 | 35,299,462 | 36,827,065 |
| GENERAL GOVERNMENT | | | | | |
| Elections, State Board of | 559 | 1,800 | 0 | 0 | 111,000 |
| Gaming Commission, New York State | 0 | 34,200 | 5,000 | 58,200 | 58,200 |
| General Services, Office of | 0 | 250 | 250 | 250 | 250 |
| Prevention of Domestic Violence, Office for | 543 | 685 | 685 | 685 | 685 |
| State, Department of | 63,843 | 77,148 | 62,836 | 62,836 | 62,836 |
| Taxation and Finance, Department of | 906 | 926 | 926 | 926 | 926 |
| Veterans' Affairs, Division of | 7,486 | 9,387 | 7,637 | 7,637 | 7,637 |
| Functional Total | 73,337 | 124,396 | 77,334 | 130,534 | 241,534 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 32,025 | 32,024 | 32,024 | 32,024 | 32,024 |
| Judiciary | 107,429 | 121,600 | 121,600 | 121,600 | 121,600 |
| Functional Total | 139,454 | 153,624 | 153,624 | 153,624 | 153,624 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,338 | 741,121 | 759,356 | 762,710 | 763,347 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Functional Total | 765,276 | 784,554 | 791,328 | 793,882 | 794,519 |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | (326,287) | (443,777) | (361,777) | (301,777) | (190,777) |
| Functional Total | (326,287) | (443,777) | (361,777) | (301,777) | (190,777) |
| TOTAL LOCAL ASSISTANCE GRANTS SPENDING | 104,723,687 | 111,909,573 | 115,109,846 | 118,163,752 | 121,309,449 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 64,890 | 66,086 | 64,833 | 65,019 | 65,019 |
| Alcoholic Beverage Control, Division of | 13,095 | 12,751 | 12,753 | 12,755 | 12,755 |
| Economic Development, Department of | 20,151 | 22,373 | 22,173 | 21,373 | 21,373 |
| Empire State Development Corporation | 50 | 850 | 850 | 850 | 850 |
| Energy Research and Development Authority | 4,841 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 193,325 | 211,651 | 210,417 | 209,717 | 209,717 |
| Olympic Regional Development Authority | 3,011 | 3,161 | 3,161 | 3,161 | 3,161 |
| Public Service Department | 49,158 | 50,950 | 50,872 | 50,955 | 50,955 |
| Functional Total | 348,521 | 367,822 | 365,059 | 363,830 | 363,830 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,416 | 4,682 | 4,682 | 4,682 | 4,682 |
| Environmental Conservation, Department of | 271,334 | 283,203 | 282,890 | 261,154 | 262,059 |
| Parks, Recreation and Historic Preservation, Office of | 183,841 | 179,688 | 180,037 | 180,036 | 180,036 |
| Functional Total | 459,591 | 467,573 | 467,609 | 445,872 | 446,777 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 60,675 | 70,260 | 70,396 | 70,396 | 70,396 |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 28,374 | 27,813 | 29,619 | 29,628 | 29,628 |
| Functional Total | 107,390 | 119,573 | 121,515 | 121,524 | 121,524 |
| HEALTH | | | | | |
| Aging, Office for the | 8,403 | 8,240 | 8,899 | 8,899 | 8,899 |
| Health, Department of | 1,165,137 | 1,205,435 | 1,204,423 | 1,220,292 | 1,231,743 |
| <i>Medical Assistance</i> | (7,548) | 0 | 0 | 0 | 0 |
| <i>Basic Health Plan</i> | 0 | 35,711 | 41,644 | 28,812 | 26,994 |
| <i>Medicaid Administration</i> | 376,872 | 612,686 | 643,579 | 669,599 | 681,699 |
| <i>Public Health</i> | 795,813 | 557,038 | 519,200 | 521,881 | 523,050 |
| Medicaid Inspector General, Office of the | 42,723 | 44,353 | 44,146 | 44,146 | 44,146 |
| Stem Cell and Innovation | 33,294 | 29,785 | 29,785 | 29,785 | 29,785 |
| Functional Total | 1,249,557 | 1,287,813 | 1,287,253 | 1,303,122 | 1,314,573 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 342,071 | 350,131 | 342,452 | 348,256 | 356,404 |
| <i>OCFS</i> | 342,071 | 350,131 | 342,452 | 348,256 | 356,404 |
| Housing and Community Renewal, Division of | 60,289 | 59,143 | 59,281 | 59,426 | 59,550 |
| Human Rights, Division of | 14,221 | 14,266 | 14,266 | 14,329 | 14,383 |
| Labor, Department of | 341,976 | 297,544 | 297,557 | 302,187 | 302,187 |
| National and Community Service | 17,246 | 14,559 | 14,559 | 15,679 | 15,985 |
| Temporary and Disability Assistance, Office of | 280,916 | 299,063 | 304,931 | 307,520 | 310,091 |
| <i>All Other</i> | 280,916 | 299,063 | 304,931 | 307,520 | 310,091 |
| Functional Total | 1,056,719 | 1,034,706 | 1,033,046 | 1,047,397 | 1,058,600 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 80,098 | 78,898 | 77,130 | 77,662 | 78,418 |
| <i>OASAS</i> | 35,757 | 34,890 | 35,127 | 35,361 | 35,722 |
| <i>OASAS - Other</i> | 44,341 | 44,008 | 42,003 | 42,301 | 42,696 |
| Developmental Disabilities Planning Council | 3,006 | 3,532 | 3,499 | 3,456 | 3,415 |
| Justice Center | 30,937 | 41,216 | 42,420 | 43,748 | 45,278 |
| Mental Health, Office of | 1,385,174 | 1,425,310 | 1,400,434 | 1,405,930 | 1,428,325 |
| <i>OMH</i> | 337,957 | 300,765 | 359,071 | 362,803 | 368,206 |
| <i>OMH - Other</i> | 1,047,217 | 1,124,545 | 1,041,363 | 1,043,127 | 1,060,119 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 1,375,053 | 1,336,210 | 1,283,430 | 1,295,326 | 1,312,259 |
| <i>OPWDD</i> | 931 | 1,181 | 1,181 | 1,181 | 1,181 |
| <i>OPWDD - Other</i> | 1,374,122 | 1,335,029 | 1,282,249 | 1,294,145 | 1,311,078 |
| Functional Total | 2,874,496 | 2,885,166 | 2,806,913 | 2,826,122 | 2,867,695 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Correctional Services, Department of | 2,644,227 | 2,706,940 | 2,654,778 | 2,654,984 | 2,655,190 |
| Criminal Justice Services, Division of | 43,947 | 51,739 | 51,912 | 51,912 | 51,912 |
| Disaster Assistance | (10,737) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 138,904 | 116,324 | 36,853 | 36,853 | 36,853 |
| Indigent Legal Services, Office of | 1,051 | 2,489 | 2,239 | 2,239 | 2,239 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |
| Military and Naval Affairs, Division of | 65,488 | 60,719 | 59,831 | 59,831 | 59,831 |
| State Police, Division of | 686,358 | 657,402 | 668,773 | 668,773 | 668,773 |
| Statewide Financial System | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| Victim Services, Office of | 4,877 | 6,059 | 6,070 | 6,070 | 6,070 |
| Functional Total | 3,613,716 | 3,594,803 | 3,518,470 | 3,518,682 | 3,518,947 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 86,784 | 84,363 | 86,265 | 88,206 | 89,080 |
| Higher Education - Miscellaneous | 217 | 291 | 291 | 291 | 291 |
| Higher Education Services Corporation, New York State | 48,899 | 50,245 | 50,219 | 50,219 | 50,219 |
| State University of New York | 6,087,262 | 5,954,640 | 6,102,164 | 6,229,500 | 6,365,500 |
| Functional Total | 6,223,162 | 6,089,539 | 6,238,939 | 6,368,216 | 6,505,090 |
| EDUCATION | | | | | |
| Arts, Council on the | 3,312 | 4,420 | 4,420 | 4,420 | 4,420 |
| Education, Department of | 320,573 | 357,865 | 279,532 | 279,532 | 279,532 |
| <i>School Aid</i> | 288 | 299 | 0 | 0 | 0 |
| <i>Special Education Categorical Programs</i> | 18,805 | 0 | 0 | 0 | 0 |
| <i>All Other</i> | 301,480 | 357,566 | 279,532 | 279,532 | 279,532 |
| Functional Total | 323,885 | 362,285 | 283,952 | 283,952 | 283,952 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 22,834 | 29,477 | 28,938 | 28,939 | 28,939 |
| Civil Service, Department of | 13,045 | 13,219 | 13,205 | 13,205 | 13,331 |
| Deferred Compensation Board | 361 | 630 | 641 | 641 | 641 |
| Elections, State Board of | 9,259 | 26,626 | 12,746 | 13,172 | 24,902 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Gaming Commission, New York State | 134,317 | 155,853 | 155,985 | 157,066 | 157,066 |
| General Services, Office of | 157,736 | 168,262 | 169,809 | 169,809 | 169,809 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Labor Management Committees | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| Prevention of Domestic Violence, Office for | 1,413 | 1,596 | 1,596 | 1,596 | 1,596 |
| Public Employment Relations Board | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State, Department of | 44,663 | 52,849 | 51,097 | 51,058 | 51,058 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 349,041 | 331,351 | 331,681 | 331,838 | 331,838 |
| Technology, Office for | 427,702 | 512,706 | 523,180 | 523,131 | 534,861 |
| Veterans' Affairs, Division of | 5,827 | 7,726 | 7,559 | 7,559 | 7,644 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| Workers' Compensation Board | 148,212 | 145,330 | 145,330 | 145,330 | 147,113 |
| Functional Total | 1,357,952 | 1,503,753 | 1,509,736 | 1,501,314 | 1,526,938 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 140,855 | 142,710 | 142,320 | 142,320 | 142,320 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Judiciary | 1,913,850 | 1,965,476 | 1,974,000 | 1,974,000 | 1,974,000 |
| Law, Department of | 190,193 | 195,358 | 197,006 | 198,159 | 199,163 |
| Legislature | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| Functional Total | 2,466,034 | 2,536,531 | 2,546,313 | 2,547,466 | 2,548,470 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 100 | 0 | 0 | 0 | 0 |
| Functional Total | 100 | 0 | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| ALL OTHER CATEGORIES | | | | | |
| Long-Term Debt Service | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Miscellaneous | <u>20,715</u> | <u>25,234</u> | <u>25,234</u> | <u>240,235</u> | <u>26,251</u> |
| Functional Total | <u>59,369</u> | <u>69,194</u> | <u>71,912</u> | <u>286,913</u> | <u>72,929</u> |
| TOTAL STATE OPERATIONS SPENDING | <u>20,140,492</u> | <u>20,318,758</u> | <u>20,250,717</u> | <u>20,614,410</u> | <u>20,629,325</u> |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 31,660 | 32,033 | 31,489 | 31,489 | 31,489 |
| Alcoholic Beverage Control, Division of | 7,657 | 8,147 | 8,147 | 8,147 | 8,147 |
| Economic Development, Department of | 11,493 | 13,629 | 13,629 | 13,629 | 13,629 |
| Empire State Development Corporation | 0 | 425 | 425 | 425 | 425 |
| Energy Research and Development Authority | 3,622 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 138,372 | 153,580 | 153,580 | 153,580 | 153,580 |
| Olympic Regional Development Authority | 2,548 | 2,548 | 2,548 | 2,548 | 2,548 |
| Public Service Department | 41,245 | 43,291 | 43,277 | 43,358 | 43,358 |
| Functional Total | 236,597 | 253,653 | 253,095 | 253,176 | 253,176 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 3,893 | 4,027 | 4,027 | 4,027 | 4,027 |
| Environmental Conservation, Department of | 201,672 | 209,451 | 209,538 | 197,864 | 198,030 |
| Parks, Recreation and Historic Preservation, Office of | 136,026 | 138,426 | 138,801 | 138,801 | 138,801 |
| Functional Total | 341,591 | 351,904 | 352,366 | 340,692 | 340,858 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 44,853 | 48,726 | 48,789 | 48,789 | 48,789 |
| Transportation, Department of | 10,883 | 12,301 | 13,033 | 13,033 | 13,033 |
| Functional Total | 55,736 | 61,027 | 61,822 | 61,822 | 61,822 |
| HEALTH | | | | | |
| Aging, Office for the | 6,648 | 7,122 | 7,777 | 7,777 | 7,777 |
| Health, Department of | 331,823 | 362,907 | 431,503 | 443,252 | 451,204 |
| <i>Basic Health Plan</i> | 0 | 683 | 804 | 534 | 488 |
| <i>Medicaid Administration</i> | 54,236 | 85,129 | 126,100 | 138,088 | 146,076 |
| <i>Public Health</i> | 277,587 | 277,095 | 304,599 | 304,630 | 304,640 |
| Medicaid Inspector General, Office of the | 33,243 | 33,364 | 33,134 | 33,134 | 33,134 |
| Stem Cell and Innovation | 368 | 463 | 463 | 463 | 463 |
| Functional Total | 372,082 | 403,856 | 472,877 | 484,626 | 492,578 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 195,309 | 194,141 | 188,722 | 189,290 | 191,195 |
| <i>OCFS</i> | 195,309 | 194,141 | 188,722 | 189,290 | 191,195 |
| Housing and Community Renewal, Division of | 45,865 | 47,758 | 47,840 | 47,923 | 47,998 |
| Human Rights, Division of | 11,574 | 12,536 | 12,536 | 12,567 | 12,596 |
| Labor, Department of | 214,782 | 205,834 | 205,412 | 207,628 | 207,628 |
| National and Community Service | 538 | 690 | 690 | 701 | 708 |
| Temporary and Disability Assistance, Office of | 141,847 | 137,168 | 137,867 | 138,676 | 139,492 |
| <i>All Other</i> | 141,847 | 137,168 | 137,867 | 138,676 | 139,492 |
| Functional Total | 609,915 | 598,127 | 593,067 | 596,785 | 599,617 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 57,531 | 59,684 | 57,792 | 57,961 | 58,319 |
| <i>OASAS</i> | 24,674 | 25,389 | 25,452 | 25,517 | 25,682 |
| <i>OASAS - Other</i> | 32,857 | 34,295 | 32,340 | 32,444 | 32,637 |
| Developmental Disabilities Planning Council | 991 | 1,253 | 1,253 | 1,266 | 1,266 |
| Justice Center | 19,875 | 26,994 | 27,812 | 28,752 | 29,908 |
| Mental Health, Office of | 1,078,082 | 1,134,251 | 1,103,098 | 1,103,220 | 1,118,370 |
| <i>OMH</i> | 271,043 | 243,828 | 297,857 | 300,217 | 304,247 |
| <i>OMH - Other</i> | 807,039 | 890,423 | 805,241 | 803,003 | 814,123 |
| People with Developmental Disabilities, Office for | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| <i>OPWDD - Other</i> | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| Functional Total | 2,268,983 | 2,343,270 | 2,260,866 | 2,268,980 | 2,296,786 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,037 | 2,414 | 2,414 | 2,414 | 2,414 |
| Correctional Services, Department of | 2,104,270 | 2,192,732 | 2,115,570 | 2,115,776 | 2,115,982 |
| Criminal Justice Services, Division of | 29,604 | 31,785 | 31,827 | 31,827 | 31,827 |
| Disaster Assistance | (9,310) | 0 | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 35,509 | 20,071 | 20,071 | 20,071 | 20,071 |
| Indigent Legal Services, Office of | 928 | 1,454 | 1,704 | 1,704 | 1,704 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Judicial Conduct, Commission on | 4,028 | 4,181 | 4,181 | 4,181 | 4,212 |
| Judicial Screening Committees, New York State | 0 | 13 | 13 | 13 | 13 |
| Military and Naval Affairs, Division of | 42,930 | 39,995 | 39,107 | 39,107 | 39,107 |
| State Police, Division of | 582,199 | 577,599 | 592,770 | 592,770 | 592,770 |
| Statewide Financial System | 9,622 | 11,112 | 10,638 | 10,638 | 10,638 |
| Victim Services, Office of | 4,171 | 4,833 | 4,834 | 4,834 | 4,834 |
| Functional Total | 2,805,988 | 2,886,189 | 2,823,129 | 2,823,335 | 2,823,572 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 48,140 | 42,363 | 43,425 | 44,509 | 44,509 |
| Higher Education - Miscellaneous | 146 | 198 | 198 | 198 | 198 |
| Higher Education Services Corporation, New York State | 16,615 | 17,960 | 17,935 | 17,935 | 17,935 |
| State University of New York | 3,511,497 | 3,586,201 | 3,666,817 | 3,722,897 | 3,783,425 |
| Functional Total | 3,576,398 | 3,646,722 | 3,728,375 | 3,785,539 | 3,846,067 |
| EDUCATION | | | | | |
| Arts, Council on the | 2,132 | 2,498 | 2,498 | 2,498 | 2,498 |
| Education, Department of | 167,115 | 169,287 | 167,974 | 167,974 | 167,974 |
| <i>School Aid</i> | 68 | 196 | 0 | 0 | 0 |
| <i>Special Education Categorical Programs</i> | 10,440 | 0 | 0 | 0 | 0 |
| <i>All Other</i> | 156,607 | 169,091 | 167,974 | 167,974 | 167,974 |
| Functional Total | 169,247 | 171,785 | 170,472 | 170,472 | 170,472 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 20,223 | 24,434 | 24,514 | 24,567 | 24,567 |
| Civil Service, Department of | 12,046 | 12,398 | 12,384 | 12,384 | 12,497 |
| Deferred Compensation Board | 305 | 410 | 410 | 410 | 410 |
| Elections, State Board of | 4,680 | 5,687 | 5,573 | 6,539 | 11,682 |
| Employee Relations, Office of | 2,178 | 2,510 | 2,510 | 2,510 | 2,529 |
| Gaming Commission, New York State | 30,748 | 37,892 | 37,944 | 38,988 | 38,988 |
| General Services, Office of | 62,120 | 75,248 | 79,491 | 79,491 | 79,491 |
| Inspector General, Office of the | 6,217 | 6,360 | 6,360 | 6,360 | 6,408 |
| Labor Management Committees | 6,402 | 5,446 | 5,446 | 5,446 | 5,446 |
| Prevention of Domestic Violence, Office for | 1,314 | 1,388 | 1,388 | 1,388 | 1,388 |
| Public Employment Relations Board | 2,894 | 3,495 | 3,336 | 3,336 | 3,363 |
| Public Integrity, Commission on | 2,899 | 4,620 | 4,620 | 4,620 | 4,646 |
| State, Department of | 30,012 | 32,555 | 32,504 | 32,466 | 32,466 |
| Tax Appeals, Division of | 2,688 | 2,870 | 2,870 | 2,870 | 2,870 |
| Taxation and Finance, Department of | 285,802 | 276,619 | 276,608 | 276,761 | 276,761 |
| Technology, Office for | 277,996 | 275,888 | 275,891 | 275,861 | 275,861 |
| Veterans' Affairs, Division of | 5,312 | 6,842 | 6,675 | 6,675 | 6,742 |
| Welfare Inspector General, Office of | 472 | 514 | 514 | 514 | 518 |
| Workers' Compensation Board | 77,315 | 80,977 | 80,977 | 80,977 | 81,592 |
| Functional Total | 831,623 | 856,153 | 860,015 | 862,163 | 868,225 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 109,351 | 111,168 | 110,901 | 110,901 | 110,901 |
| Executive Chamber | 10,621 | 11,135 | 11,469 | 11,813 | 11,813 |
| Judiciary | 1,480,926 | 1,504,376 | 1,513,400 | 1,513,400 | 1,513,400 |
| Law, Department of | 130,508 | 136,223 | 137,723 | 138,725 | 139,729 |
| Legislature | 160,777 | 166,331 | 166,331 | 166,331 | 166,331 |
| Lieutenant Governor, Office of the | 299 | 498 | 513 | 523 | 523 |
| Functional Total | 1,892,482 | 1,929,731 | 1,940,337 | 1,941,693 | 1,942,697 |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 2,204 | 2,493 | 2,493 | 2,493 | 2,498 |
| Functional Total | 2,204 | 2,493 | 2,493 | 2,493 | 2,498 |
| TOTAL PERSONAL SERVICE SPENDING | 13,162,846 | 13,504,910 | 13,518,914 | 13,591,776 | 13,698,368 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 33,230 | 34,053 | 33,344 | 33,530 | 33,530 |
| Alcoholic Beverage Control, Division of | 5,438 | 4,604 | 4,606 | 4,608 | 4,608 |
| Economic Development, Department of | 8,658 | 8,744 | 8,544 | 7,744 | 7,744 |
| Empire State Development Corporation | 50 | 425 | 425 | 425 | 425 |
| Energy Research and Development Authority | 1,219 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 54,953 | 58,071 | 56,837 | 56,137 | 56,137 |
| Olympic Regional Development Authority | 463 | 613 | 613 | 613 | 613 |
| Public Service Department | 7,913 | 7,659 | 7,595 | 7,597 | 7,597 |
| Functional Total | 111,924 | 114,169 | 111,964 | 110,654 | 110,654 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 523 | 655 | 655 | 655 | 655 |
| Environmental Conservation, Department of | 69,662 | 73,752 | 73,352 | 63,290 | 64,029 |
| Parks, Recreation and Historic Preservation, Office of | 47,815 | 41,262 | 41,236 | 41,235 | 41,235 |
| Functional Total | 118,000 | 115,669 | 115,243 | 105,180 | 105,919 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 15,822 | 21,534 | 21,607 | 21,607 | 21,607 |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 17,491 | 15,512 | 16,586 | 16,595 | 16,595 |
| Functional Total | 51,654 | 58,546 | 59,693 | 59,702 | 59,702 |
| HEALTH | | | | | |
| Aging, Office for the | 1,755 | 1,118 | 1,122 | 1,122 | 1,122 |
| Health, Department of | 833,314 | 842,528 | 772,920 | 777,040 | 780,539 |
| <i>Medical Assistance</i> | (7,548) | 0 | 0 | 0 | 0 |
| <i>Basic Health Plan</i> | 0 | 35,028 | 40,840 | 28,278 | 26,506 |
| <i>Medicaid Administration</i> | 322,636 | 527,557 | 517,479 | 531,511 | 535,623 |
| <i>Public Health</i> | 518,226 | 279,943 | 214,601 | 217,251 | 218,410 |
| Medicaid Inspector General, Office of the | 9,480 | 10,989 | 11,012 | 11,012 | 11,012 |
| Stem Cell and Innovation | 32,926 | 29,322 | 29,322 | 29,322 | 29,322 |
| Functional Total | 877,475 | 883,957 | 814,376 | 818,496 | 821,995 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 146,762 | 155,990 | 153,730 | 158,966 | 165,209 |
| <i>OCFS</i> | 146,762 | 155,990 | 153,730 | 158,966 | 165,209 |
| Housing and Community Renewal, Division of | 14,424 | 11,385 | 11,441 | 11,503 | 11,552 |
| Human Rights, Division of | 2,647 | 1,730 | 1,730 | 1,762 | 1,787 |
| Labor, Department of | 127,194 | 91,710 | 92,145 | 94,559 | 94,559 |
| National and Community Service | 16,708 | 13,869 | 13,869 | 14,978 | 15,277 |
| Temporary and Disability Assistance, Office of | 139,069 | 161,895 | 167,064 | 168,844 | 170,599 |
| <i>All Other</i> | 139,069 | 161,895 | 167,064 | 168,844 | 170,599 |
| Functional Total | 446,804 | 436,579 | 439,979 | 450,612 | 458,983 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 22,567 | 19,214 | 19,338 | 19,701 | 20,099 |
| <i>OASAS</i> | 11,083 | 9,501 | 9,675 | 9,844 | 10,040 |
| <i>OASAS - Other</i> | 11,484 | 9,713 | 9,663 | 9,857 | 10,059 |
| Developmental Disabilities Planning Council | 2,015 | 2,279 | 2,246 | 2,190 | 2,149 |
| Justice Center | 11,062 | 14,222 | 14,608 | 14,996 | 15,370 |
| Mental Health, Office of | 307,092 | 291,059 | 297,336 | 302,710 | 309,955 |
| <i>OMH</i> | 66,914 | 56,937 | 61,214 | 62,586 | 63,959 |
| <i>OMH - Other</i> | 240,178 | 234,122 | 236,122 | 240,124 | 245,996 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 262,549 | 215,122 | 212,519 | 217,545 | 223,336 |
| <i>OPWDD</i> | 931 | 1,181 | 1,181 | 1,181 | 1,181 |
| <i>OPWDD - Other</i> | 261,618 | 213,941 | 211,338 | 216,364 | 222,155 |
| Functional Total | 605,513 | 541,896 | 546,047 | 557,142 | 570,909 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 185 | 237 | 237 | 237 | 237 |
| Correctional Services, Department of | 539,957 | 514,208 | 539,208 | 539,208 | 539,208 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Criminal Justice Services, Division of | 14,343 | 19,954 | 20,085 | 20,085 | 20,085 |
| Disaster Assistance | (1,427) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 103,395 | 96,253 | 16,782 | 16,782 | 16,782 |
| Indigent Legal Services, Office of | 123 | 1,035 | 535 | 535 | 535 |
| Judicial Conduct, Commission on | 1,356 | 1,403 | 1,403 | 1,403 | 1,431 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 25 | 25 | 25 | 25 |
| Military and Naval Affairs, Division of | 22,558 | 20,724 | 20,724 | 20,724 | 20,724 |
| State Police, Division of | 104,159 | 79,803 | 76,003 | 76,003 | 76,003 |
| Statewide Financial System | 22,337 | 19,025 | 19,073 | 19,079 | 19,079 |
| Victim Services, Office of | 706 | 1,226 | 1,236 | 1,236 | 1,236 |
| Functional Total | 807,728 | 708,614 | 695,341 | 695,347 | 695,375 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 38,644 | 42,000 | 42,840 | 43,697 | 44,571 |
| Higher Education - Miscellaneous | 71 | 93 | 93 | 93 | 93 |
| Higher Education Services Corporation, New York State | 32,284 | 32,285 | 32,284 | 32,284 | 32,284 |
| State University of New York | 2,575,765 | 2,368,439 | 2,435,347 | 2,506,603 | 2,582,075 |
| Functional Total | 2,646,764 | 2,442,817 | 2,510,564 | 2,582,677 | 2,659,023 |
| EDUCATION | | | | | |
| Arts, Council on the | 1,180 | 1,922 | 1,922 | 1,922 | 1,922 |
| Education, Department of | 153,458 | 188,578 | 111,558 | 111,558 | 111,558 |
| <i>School Aid</i> | 220 | 103 | 0 | 0 | 0 |
| <i>Special Education Categorical Programs</i> | 8,365 | 0 | 0 | 0 | 0 |
| <i>All Other</i> | 144,873 | 188,475 | 111,558 | 111,558 | 111,558 |
| Functional Total | 154,638 | 190,500 | 113,480 | 113,480 | 113,480 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 2,611 | 5,043 | 4,424 | 4,372 | 4,372 |
| Civil Service, Department of | 999 | 821 | 821 | 821 | 834 |
| Deferred Compensation Board | 56 | 220 | 231 | 231 | 231 |
| Elections, State Board of | 4,579 | 20,939 | 7,173 | 6,633 | 13,220 |
| Employee Relations, Office of | 32 | 71 | 71 | 71 | 72 |
| Gaming Commission, New York State | 103,569 | 117,961 | 118,041 | 118,078 | 118,078 |
| General Services, Office of | 95,616 | 93,014 | 90,318 | 90,318 | 90,318 |
| Inspector General, Office of the | 852 | 557 | 557 | 557 | 569 |
| Labor Management Committees | 17,696 | 29,910 | 39,910 | 29,910 | 29,910 |
| Prevention of Domestic Violence, Office for | 99 | 208 | 208 | 208 | 208 |
| Public Employment Relations Board | 221 | 236 | 236 | 237 | 241 |
| Public Integrity, Commission on | 729 | 911 | 911 | 911 | 930 |
| State, Department of | 14,651 | 20,294 | 18,593 | 18,592 | 18,592 |
| Tax Appeals, Division of | 161 | 170 | 170 | 170 | 170 |
| Taxation and Finance, Department of | 63,239 | 54,732 | 55,073 | 55,077 | 55,077 |
| Technology, Office for | 149,706 | 236,818 | 247,289 | 247,270 | 259,000 |
| Veterans' Affairs, Division of | 515 | 884 | 884 | 884 | 902 |
| Welfare Inspector General, Office of | 101 | 458 | 458 | 458 | 468 |
| Workers' Compensation Board | 70,897 | 64,353 | 64,353 | 64,353 | 65,521 |
| Functional Total | 526,329 | 647,600 | 649,721 | 639,151 | 658,713 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 31,504 | 31,542 | 31,419 | 31,419 | 31,419 |
| Executive Chamber | 3,345 | 2,443 | 2,109 | 1,765 | 1,765 |
| Judiciary | 432,924 | 461,100 | 460,600 | 460,600 | 460,600 |
| Law, Department of | 59,685 | 59,135 | 59,283 | 59,434 | 59,434 |
| Legislature | 46,027 | 52,464 | 52,464 | 52,464 | 52,464 |
| Lieutenant Governor, Office of the | 67 | 116 | 101 | 91 | 91 |
| Functional Total | 573,552 | 606,800 | 605,976 | 605,773 | 605,773 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 100 | 0 | 0 | 0 | 0 |
| Functional Total | 100 | 0 | 0 | 0 | 0 |
| ALL OTHER CATEGORIES | | | | | |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Long-Term Debt Service | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Miscellaneous | 18,511 | 22,741 | 22,741 | 237,742 | 23,753 |
| Functional Total | <u>57,165</u> | <u>66,701</u> | <u>69,419</u> | <u>284,420</u> | <u>70,431</u> |
| TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING | <u>6,977,646</u> | <u>6,813,848</u> | <u>6,731,803</u> | <u>7,022,634</u> | <u>6,930,957</u> |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 3,532 | 2,900 | 3,089 | 3,282 | 3,349 |
| Alcoholic Beverage Control, Division of | 4,541 | 4,643 | 4,798 | 4,973 | 4,973 |
| Economic Development, Department of | 0 | 28 | 28 | 28 | 28 |
| Energy Research and Development Authority | 1,604 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 77,054 | 88,395 | 90,527 | 93,032 | 93,164 |
| Public Service Department | 22,649 | 24,110 | 25,074 | 26,371 | 27,770 |
| Functional Total | 109,380 | 120,076 | 123,516 | 127,686 | 129,284 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 59,417 | 56,773 | 58,086 | 52,095 | 52,265 |
| Parks, Recreation and Historic Preservation, Office of | 2,829 | 3,814 | 3,847 | 3,848 | 3,848 |
| Functional Total | 62,246 | 60,587 | 61,933 | 55,943 | 56,113 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 23,356 | 27,193 | 27,965 | 28,771 | 28,883 |
| Transportation, Department of | 6,659 | 6,646 | 7,310 | 7,772 | 8,187 |
| Functional Total | 30,015 | 33,839 | 35,275 | 36,543 | 37,070 |
| HEALTH | | | | | |
| Aging, Office for the | 0 | 0 | 22 | 22 | 22 |
| Health, Department of | 64,555 | 70,688 | 80,360 | 82,830 | 85,606 |
| <i>Public Health</i> | 64,555 | 70,688 | 80,360 | 82,830 | 85,606 |
| Medicaid Inspector General, Office of the | 9,164 | 9,349 | 9,340 | 9,340 | 9,340 |
| Stem Cell and Innovation | 189 | 0 | 0 | 0 | 0 |
| Functional Total | 73,908 | 80,037 | 89,722 | 92,192 | 94,968 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 8,439 | 15,364 | 15,364 | 15,553 | 15,553 |
| <i>OCFS</i> | 8,439 | 15,364 | 15,364 | 15,553 | 15,553 |
| Housing and Community Renewal, Division of | 18,836 | 20,321 | 20,321 | 20,369 | 20,411 |
| Human Rights, Division of | 61 | 0 | 0 | 0 | 0 |
| Labor, Department of | 122,174 | 111,144 | 114,765 | 116,093 | 116,093 |
| Temporary and Disability Assistance, Office of | 39,575 | 44,973 | 44,973 | 44,973 | 44,973 |
| <i>All Other</i> | 39,575 | 44,973 | 44,973 | 44,973 | 44,973 |
| Functional Total | 189,085 | 191,802 | 195,423 | 196,988 | 197,030 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 31,721 | 33,205 | 33,551 | 35,073 | 37,168 |
| <i>OASAS</i> | 13,472 | 13,682 | 14,104 | 14,813 | 15,678 |
| <i>OASAS - Other</i> | 18,249 | 19,523 | 19,447 | 20,260 | 21,490 |
| Developmental Disabilities Planning Council | 560 | 668 | 701 | 744 | 785 |
| Justice Center | 614 | 754 | 796 | 865 | 944 |
| Mental Health, Office of | 606,706 | 617,141 | 644,308 | 669,225 | 716,253 |
| <i>OMH</i> | 196,822 | 107,802 | 172,529 | 179,643 | 191,934 |
| <i>OMH - Other</i> | 409,884 | 509,339 | 471,779 | 489,582 | 524,319 |
| People with Developmental Disabilities, Office for | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| <i>OPWDD - Other</i> | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| Functional Total | 1,269,083 | 1,282,888 | 1,306,088 | 1,360,492 | 1,452,134 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 930 | 1,472 | 1,459 | 1,463 | 1,463 |
| Criminal Justice Services, Division of | 285 | 3,320 | 3,448 | 3,448 | 3,448 |
| Homeland Security and Emergency Services, Division of | 8,958 | 3,745 | 3,768 | 3,789 | 3,812 |
| Indigent Legal Services, Office of | 515 | 528 | 728 | 728 | 728 |
| Military and Naval Affairs, Division of | 16,583 | 8,012 | 8,401 | 8,817 | 9,288 |
| State Police, Division of | 3,594 | 4,211 | 4,311 | 4,453 | 4,615 |
| Victim Services, Office of | 1,607 | 2,563 | 2,562 | 2,562 | 2,562 |
| Functional Total | 32,472 | 23,851 | 24,677 | 25,260 | 25,916 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 7,717 | 6,000 | 6,000 | 6,000 | 6,000 |
| Higher Education - Miscellaneous | 83 | 99 | 99 | 99 | 99 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Higher Education Services Corporation, New York State | 13,964 | 10,647 | 10,985 | 11,397 | 11,397 |
| State University of New York | 534,497 | 439,595 | 392,502 | 401,375 | 410,524 |
| Functional Total | 556,261 | 456,341 | 409,586 | 418,871 | 428,020 |
| EDUCATION | | | | | |
| Education, Department of | 79,713 | 83,185 | 83,522 | 85,213 | 87,136 |
| <i>School Aid</i> | 96 | 141 | 0 | 0 | 0 |
| <i>Special Education Categorical Programs</i> | 5,629 | 0 | 0 | 0 | 0 |
| <i>All Other</i> | 73,988 | 83,044 | 83,522 | 85,213 | 87,136 |
| Functional Total | 79,713 | 83,185 | 83,522 | 85,213 | 87,136 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 975 | 1,443 | 1,557 | 1,657 | 1,657 |
| Civil Service, Department of | 151 | 176 | 176 | 176 | 176 |
| Deferred Compensation Board | 166 | 225 | 225 | 225 | 225 |
| Elections, State Board of | 0 | 0 | 0 | 574 | 1,949 |
| Gaming Commission, New York State | 14,956 | 16,881 | 16,958 | 17,575 | 17,575 |
| General Services, Office of | 2,208 | 2,187 | 2,268 | 2,383 | 2,513 |
| State, Department of | 10,583 | 11,766 | 12,051 | 12,288 | 12,288 |
| Taxation and Finance, Department of | 31,785 | 25,046 | 25,869 | 26,014 | 26,014 |
| Veterans' Affairs, Division of | 205 | 350 | 350 | 350 | 350 |
| Workers' Compensation Board | 46,013 | 48,228 | 49,948 | 51,902 | 51,902 |
| Functional Total | 107,042 | 106,302 | 109,402 | 113,144 | 114,649 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 1,634 | 1,934 | 1,867 | 1,978 | 2,039 |
| Judiciary | 660,149 | 696,303 | 743,453 | 791,553 | 826,553 |
| Law, Department of | 20,546 | 30,420 | 32,314 | 33,215 | 34,953 |
| Functional Total | 682,329 | 728,657 | 777,634 | 826,746 | 863,545 |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Miscellaneous | 13,902 | 5,914 | 5,914 | 5,917 | 5,917 |
| Functional Total | 4,145,588 | 4,472,190 | 4,996,761 | 5,271,087 | 5,553,058 |
| TOTAL GENERAL STATE CHARGES SPENDING | 7,337,122 | 7,639,755 | 8,213,539 | 8,610,165 | 9,038,923 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 11,002 | 4,388 | 4,001 | 4,000 | 4,000 |
| Economic Development Capital | 1,985 | 11,215 | 23,000 | 29,276 | 23,000 |
| Economic Development, Department of | 132 | 16,667 | 13,433 | 3,274 | 0 |
| Empire State Development Corporation | 66,475 | 140,273 | 402,651 | 408,778 | 337,678 |
| Energy Research and Development Authority | 9,075 | 22,600 | 25,000 | 13,500 | 13,000 |
| Olympic Regional Development Authority | 6,900 | 7,500 | 0 | 0 | 0 |
| Regional Economic Development Program | 0 | 1,500 | 1,500 | 1,334 | 500 |
| Strategic Investment Program | 0 | 5,000 | 6,000 | 6,000 | 5,871 |
| Functional Total | 95,569 | 209,143 | 475,585 | 466,162 | 384,049 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 234,957 | 412,353 | 427,219 | 439,353 | 437,002 |
| Hudson River Park Trust | 49 | 0 | 0 | 0 | 0 |
| Parks, Recreation and Historic Preservation, Office of | 98,844 | 127,400 | 135,650 | 130,150 | 130,150 |
| Functional Total | 333,850 | 539,753 | 562,869 | 569,503 | 567,152 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 182,188 | 189,691 | 190,325 | 189,861 | 192,356 |
| Thruway Authority, New York State | 0 | 1,800 | 1,800 | 1,800 | 1,800 |
| Transportation, Department of | 3,206,888 | 2,856,844 | 2,923,563 | 2,995,737 | 2,982,012 |
| Functional Total | 3,389,076 | 3,048,335 | 3,115,688 | 3,187,398 | 3,176,168 |
| HEALTH | | | | | |
| Health, Department of | 49,549 | 86,500 | 73,500 | 13,500 | 13,500 |
| <i>Public Health</i> | 49,549 | 86,500 | 73,500 | 13,500 | 13,500 |
| Functional Total | 49,549 | 86,500 | 73,500 | 13,500 | 13,500 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 19,537 | 25,931 | 33,931 | 35,931 | 32,931 |
| <i>OCFS</i> | 19,537 | 25,931 | 33,931 | 35,931 | 32,931 |
| Housing and Community Renewal, Division of | 0 | 3,004 | 3,000 | 3,000 | 3,000 |
| Temporary and Disability Assistance, Office of | 211 | 400 | 400 | 400 | 400 |
| <i>All Other</i> | 211 | 400 | 400 | 400 | 400 |
| Functional Total | 19,748 | 29,335 | 37,331 | 39,331 | 36,331 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | (77) | 13,083 | 13,083 | 13,083 | 13,083 |
| <i>OASAS</i> | (77) | 13,083 | 13,083 | 13,083 | 13,083 |
| Mental Health, Office of | 105,311 | 85,283 | 85,123 | 85,123 | 85,123 |
| <i>OMH</i> | 105,311 | 85,283 | 85,123 | 85,123 | 85,123 |
| People with Developmental Disabilities, Office for | 45,737 | 39,220 | 39,220 | 39,220 | 39,220 |
| <i>OPWDD</i> | 45,737 | 39,220 | 39,220 | 39,220 | 39,220 |
| Functional Total | 150,971 | 137,586 | 137,426 | 137,426 | 137,426 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 220,308 | 225,160 | 229,064 | 241,064 | 241,064 |
| Homeland Security and Emergency Services, Division of | 41,942 | 63,004 | 8,000 | 5,000 | 5,000 |
| Military and Naval Affairs, Division of | 29,277 | 64,807 | 35,607 | 20,000 | 20,000 |
| State Police, Division of | 7,593 | 30,539 | 47,641 | 33,510 | 29,015 |
| Functional Total | 299,120 | 383,510 | 320,312 | 299,574 | 295,079 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 30,141 | 35,000 | 35,000 | 35,400 | 35,900 |
| Higher Education Facilities Capital Matching Grants Program | 0 | 8,000 | 24,026 | 35,000 | 37,900 |
| State University of New York | 916,313 | 1,003,586 | 934,144 | 841,410 | 805,160 |
| Functional Total | 946,454 | 1,046,586 | 993,170 | 911,810 | 878,960 |
| EDUCATION | | | | | |
| Education, Department of | 4,688 | 416,232 | 437,923 | 432,447 | 423,400 |
| <i>School Aid</i> | 0 | 400,000 | 400,000 | 400,000 | 400,000 |

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <i>All Other</i> | 4,688 | 16,232 | 37,923 | 32,447 | 23,400 |
| Functional Total | <u>4,688</u> | <u>416,232</u> | <u>437,923</u> | <u>432,447</u> | <u>423,400</u> |
| GENERAL GOVERNMENT | | | | | |
| General Services, Office of | 118,000 | 114,283 | 102,883 | 125,083 | 75,883 |
| Technology, Office for | 30,763 | 39,395 | 92,250 | 82,753 | 5,700 |
| Workers' Compensation Board | 0 | 5,000 | 10,000 | 15,000 | 15,000 |
| Functional Total | <u>148,763</u> | <u>158,678</u> | <u>205,133</u> | <u>222,836</u> | <u>96,583</u> |
| ELECTED OFFICIALS | | | | | |
| Law, Department of | 2,379 | 3,000 | 3,000 | 1,621 | 0 |
| Functional Total | <u>2,379</u> | <u>3,000</u> | <u>3,000</u> | <u>1,621</u> | <u>0</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 66,413 | 1,101,125 | 689,800 | 749,850 | 1,094,450 |
| Functional Total | <u>66,413</u> | <u>1,101,125</u> | <u>689,800</u> | <u>749,850</u> | <u>1,094,450</u> |
| TOTAL CAPITAL PROJECTS SPENDING | <u><u>5,506,580</u></u> | <u><u>7,159,783</u></u> | <u><u>7,051,737</u></u> | <u><u>7,031,458</u></u> | <u><u>7,103,098</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 90,521 | 95,083 | 85,484 | 85,615 | 85,682 |
| Alcoholic Beverage Control, Division of | 17,636 | 17,394 | 17,551 | 17,728 | 17,728 |
| Economic Development Capital | 10,509 | 11,215 | 23,000 | 29,276 | 23,000 |
| Economic Development, Department of | 64,479 | 100,539 | 93,268 | 86,309 | 83,035 |
| Empire State Development Corporation | 527,613 | 847,123 | 1,286,211 | 1,348,699 | 1,309,528 |
| Energy Research and Development Authority | 21,047 | 22,600 | 25,000 | 13,500 | 13,000 |
| Financial Services, Department of | 491,138 | 376,710 | 377,358 | 379,038 | 379,170 |
| Olympic Regional Development Authority | 9,911 | 10,661 | 3,161 | 3,161 | 3,161 |
| Public Service Department | 69,084 | 73,322 | 74,192 | 75,552 | 76,951 |
| Regional Economic Development Program | 3,071 | 1,500 | 1,500 | 1,334 | 500 |
| Strategic Investment Program | 1,030 | 5,000 | 6,000 | 6,000 | 5,871 |
| Functional Total | <u>1,306,039</u> | <u>1,561,147</u> | <u>1,992,725</u> | <u>2,046,212</u> | <u>1,997,626</u> |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| Environmental Conservation, Department of | 639,635 | 754,060 | 757,470 | 734,277 | 732,981 |
| Hudson River Park Trust | 49 | 0 | 0 | 0 | 0 |
| Parks, Recreation and Historic Preservation, Office of | 285,034 | 313,709 | 321,261 | 315,936 | 315,936 |
| Functional Total | <u>928,994</u> | <u>1,072,101</u> | <u>1,083,063</u> | <u>1,054,545</u> | <u>1,053,249</u> |
| TRANSPORTATION | | | | | |
| Metropolitan Transportation Authority | 0 | 512,171 | 493,229 | 150,000 | 250,000 |
| Motor Vehicles, Department of | 263,279 | 277,643 | 278,962 | 279,243 | 281,850 |
| Thruway Authority, New York State | 21,911 | 23,300 | 23,300 | 23,300 | 23,300 |
| Transportation, Department of | 7,558,074 | 7,743,650 | 7,844,377 | 7,986,269 | 8,014,766 |
| Functional Total | <u>7,843,264</u> | <u>8,556,764</u> | <u>8,639,868</u> | <u>8,438,812</u> | <u>8,569,916</u> |
| HEALTH | | | | | |
| Aging, Office for the | 123,306 | 131,883 | 132,205 | 137,278 | 142,478 |
| Health, Department of | <u>19,164,099</u> | <u>20,172,221</u> | <u>20,987,473</u> | <u>21,707,572</u> | <u>22,466,098</u> |
| <i>Medical Assistance</i> | 16,275,220 | 16,894,090 | 17,185,792 | 17,941,871 | 18,662,461 |
| <i>Basic Health Plan</i> | 0 | 169,961 | 642,739 | 649,142 | 665,934 |
| <i>Medicaid Administration</i> | 677,990 | 676,497 | 682,597 | 670,297 | 667,997 |
| <i>Public Health</i> | 2,210,889 | 2,431,673 | 2,476,345 | 2,446,262 | 2,469,706 |
| Medicaid Inspector General, Office of the | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| Stem Cell and Innovation | 33,483 | 29,785 | 29,785 | 29,785 | 29,785 |
| Functional Total | <u>19,341,709</u> | <u>20,355,125</u> | <u>21,170,464</u> | <u>21,895,636</u> | <u>22,659,362</u> |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,884,379 | 2,045,406 | 2,057,507 | 2,109,335 | 2,128,867 |
| <i>OCFS</i> | 1,798,380 | 1,958,632 | 1,969,333 | 2,019,766 | 2,039,469 |
| <i>OCFS - Other</i> | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 159,729 | 167,610 | 199,389 | 205,939 | 210,939 |
| Human Rights, Division of | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| Labor, Department of | 71,294 | 73,119 | 65,119 | 65,119 | 65,119 |
| National and Community Service | 687 | 687 | 687 | 687 | 690 |
| Temporary and Disability Assistance, Office of | 1,408,067 | 1,402,352 | 1,442,705 | 1,447,605 | 1,463,005 |
| <i>Welfare Assistance</i> | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| <i>All Other</i> | 270,064 | 276,444 | 309,082 | 304,482 | 310,882 |
| Functional Total | <u>3,534,738</u> | <u>3,699,135</u> | <u>3,775,368</u> | <u>3,838,646</u> | <u>3,878,581</u> |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 445,352 | 486,046 | 504,376 | 526,098 | 540,051 |
| <i>OASAS</i> | 361,437 | 401,190 | 421,601 | 442,212 | 454,540 |
| <i>OASAS - Other</i> | 83,915 | 84,856 | 82,775 | 83,886 | 85,511 |
| Justice Center | 31,652 | 41,925 | 43,155 | 44,531 | 46,139 |
| Mental Health, Office of | 3,287,680 | 3,366,757 | 3,436,046 | 3,586,774 | 3,713,801 |
| <i>OMH</i> | 1,483,723 | 1,457,410 | 1,606,280 | 1,694,583 | 1,759,524 |
| <i>OMH - Other</i> | 1,803,957 | 1,909,347 | 1,829,766 | 1,892,191 | 1,954,277 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 3,513,040 | 3,221,603 | 3,226,413 | 3,581,475 | 3,763,707 |
| <i>OPWDD</i> | 481,859 | 378,635 | 421,167 | 408,167 | 408,167 |
| <i>OPWDD - Other</i> | 3,031,181 | 2,842,968 | 2,805,246 | 3,173,308 | 3,355,540 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Functional Total | <u>7,277,952</u> | <u>7,116,331</u> | <u>7,209,990</u> | <u>7,738,878</u> | <u>8,063,698</u> |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Correctional Services, Department of | 2,867,478 | 2,921,305 | 2,873,052 | 2,885,262 | 2,885,468 |
| Criminal Justice Services, Division of | 194,721 | 209,733 | 196,885 | 191,885 | 191,885 |
| Disaster Assistance | (8,011) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 173,492 | 194,470 | 124,922 | 97,697 | 69,970 |
| Indigent Legal Services, Office of | 52,689 | 68,017 | 105,967 | 104,567 | 104,567 |
| Judicial Conduct, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 38 | 38 | 38 | 38 |
| Military and Naval Affairs, Division of | 39,737 | 39,495 | 38,820 | 36,575 | 36,575 |
| State Police, Division of | 676,989 | 672,652 | 701,225 | 687,236 | 682,903 |
| Statewide Financial System | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| Victim Services, Office of | 30,870 | 37,061 | 36,160 | 36,160 | 36,160 |
| Functional Total | <u>4,067,566</u> | <u>4,135,864</u> | <u>4,115,045</u> | <u>4,077,402</u> | <u>4,045,607</u> |
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,512,958 | 1,551,470 | 1,551,602 | 1,582,884 | 1,627,752 |
| Higher Education - Miscellaneous | 300 | 390 | 390 | 390 | 390 |
| Higher Education Facilities Capital Matching Grants Program | 2,086 | 15,000 | 25,000 | 35,000 | 37,900 |
| Higher Education Services Corporation, New York State | 1,267,122 | 1,115,631 | 1,165,517 | 1,196,825 | 1,213,825 |
| State University of New York | 7,711,562 | 7,667,418 | 7,699,464 | 7,744,189 | 7,851,338 |
| Functional Total | <u>10,494,028</u> | <u>10,349,909</u> | <u>10,441,973</u> | <u>10,559,288</u> | <u>10,731,205</u> |
| EDUCATION | | | | | |
| Arts, Council on the | 66,103 | 45,253 | 45,253 | 45,253 | 45,253 |
| Education, Department of | <u>27,193,471</u> | <u>29,554,464</u> | <u>30,968,331</u> | <u>32,232,740</u> | <u>33,689,088</u> |
| <i>School Aid</i> | 21,630,610 | 23,777,678 | 24,990,535 | 26,086,249 | 27,365,678 |
| <i>STAR Property Tax Relief</i> | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| <i>Special Education Categorical Programs</i> | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| <i>All Other</i> | 814,909 | 925,004 | 950,602 | 963,699 | 976,078 |
| Functional Total | <u>27,259,574</u> | <u>29,599,717</u> | <u>31,013,584</u> | <u>32,277,993</u> | <u>33,734,341</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 23,809 | 30,920 | 30,495 | 30,596 | 30,596 |
| Civil Service, Department of | 13,196 | 13,395 | 13,381 | 13,381 | 13,507 |
| Deferred Compensation Board | 527 | 855 | 866 | 866 | 866 |
| Elections, State Board of | 6,100 | 11,146 | 12,746 | 13,746 | 137,851 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Gaming Commission, New York State | 149,273 | 206,934 | 177,943 | 232,841 | 232,841 |
| General Services, Office of | 271,982 | 279,745 | 269,973 | 292,288 | 243,218 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Labor Management Committees | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| Prevention of Domestic Violence, Office for | 1,897 | 2,281 | 2,281 | 2,281 | 2,281 |
| Public Employment Relations Board | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State, Department of | 57,843 | 75,827 | 59,965 | 60,163 | 60,163 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 380,858 | 356,103 | 357,256 | 357,558 | 357,558 |
| Technology, Office for | 457,178 | 552,101 | 615,430 | 605,884 | 540,561 |
| Veterans' Affairs, Division of | 12,830 | 15,725 | 13,808 | 13,808 | 13,873 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| Workers' Compensation Board | 188,009 | 194,934 | 201,654 | 208,608 | 210,391 |
| Functional Total | <u>1,607,044</u> | <u>1,798,094</u> | <u>1,823,767</u> | <u>1,889,990</u> | <u>1,901,846</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 174,514 | 176,668 | 176,211 | 176,322 | 176,383 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Judiciary | 2,676,077 | 2,776,379 | 2,832,553 | 2,880,653 | 2,915,653 |
| Law, Department of | 181,433 | 190,214 | 193,481 | 193,691 | 194,474 |
| Legislature | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| Functional Total | <u>3,253,160</u> | <u>3,376,248</u> | <u>3,435,232</u> | <u>3,483,653</u> | <u>3,519,497</u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---------------------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,438 | 741,121 | 759,356 | 762,710 | 763,347 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Functional Total | <u>765,376</u> | <u>784,554</u> | <u>791,328</u> | <u>793,882</u> | <u>794,519</u> |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Long-Term Debt Service | 6,221,470 | 5,166,373 | 6,254,184 | 6,745,920 | 7,050,295 |
| Miscellaneous | 115,349 | 1,089,330 | 761,005 | 1,098,059 | 1,318,675 |
| Functional Total | <u>10,468,505</u> | <u>10,721,979</u> | <u>12,006,036</u> | <u>13,109,149</u> | <u>13,916,111</u> |
| TOTAL STATE FUNDS SPENDING | <u>98,147,949</u> | <u>103,126,968</u> | <u>107,498,443</u> | <u>111,204,086</u> | <u>114,865,558</u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 25,275 | 35,197 | 27,321 | 27,321 | 27,321 |
| Economic Development Capital | 8,524 | 0 | 0 | 0 | 0 |
| Economic Development, Department of | 44,284 | 61,716 | 57,879 | 61,879 | 61,879 |
| Empire State Development Corporation | 461,088 | 706,000 | 882,710 | 939,071 | 971,000 |
| Energy Research and Development Authority | 5,527 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 223,476 | 76,664 | 76,414 | 76,289 | 76,289 |
| Public Service Department | 0 | 188 | 188 | 188 | 188 |
| Regional Economic Development Program | 3,071 | 0 | 0 | 0 | 0 |
| Strategic Investment Program | 1,030 | 0 | 0 | 0 | 0 |
| Functional Total | 772,275 | 879,765 | 1,044,512 | 1,104,748 | 1,136,677 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 152,680 | 70,225 | 57,782 | 50,200 | 50,200 |
| Parks, Recreation and Historic Preservation, Office of | 8,483 | 8,505 | 7,425 | 7,600 | 7,600 |
| Functional Total | 161,163 | 78,730 | 65,207 | 57,800 | 57,800 |
| TRANSPORTATION | | | | | |
| Metropolitan Transportation Authority | 0 | 512,171 | 493,229 | 150,000 | 250,000 |
| Thruway Authority, New York State | 3,570 | 0 | 0 | 0 | 0 |
| Transportation, Department of | 5,356,863 | 5,486,414 | 5,485,824 | 5,553,941 | 5,600,436 |
| Functional Total | 5,360,433 | 5,998,585 | 5,979,053 | 5,703,941 | 5,850,436 |
| HEALTH | | | | | |
| Aging, Office for the | 121,870 | 130,576 | 131,346 | 136,419 | 141,619 |
| Health, Department of | 18,509,294 | 19,325,867 | 20,133,333 | 20,937,446 | 21,699,675 |
| <i>Medical Assistance</i> | 16,275,220 | 16,894,090 | 17,185,792 | 17,941,871 | 18,662,461 |
| <i>Basic Health Plan</i> | 0 | 134,250 | 601,095 | 620,330 | 638,940 |
| <i>Medicaid Administration</i> | 515,184 | 386,431 | 374,411 | 374,411 | 374,411 |
| <i>Public Health</i> | 1,718,890 | 1,911,096 | 1,972,035 | 2,000,834 | 2,023,863 |
| Functional Total | 18,631,164 | 19,456,443 | 20,264,679 | 21,073,865 | 21,841,294 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,600,778 | 1,757,996 | 1,769,776 | 1,817,677 | 1,833,654 |
| <i>OCFS</i> | 1,514,779 | 1,671,222 | 1,681,602 | 1,728,108 | 1,744,256 |
| <i>OCFS - Other</i> | 85,999 | 86,774 | 88,174 | 89,569 | 89,398 |
| Housing and Community Renewal, Division of | 93,733 | 101,983 | 133,762 | 140,312 | 145,312 |
| Labor, Department of | 7,664 | 8,150 | 150 | 150 | 150 |
| National and Community Service | 450 | 350 | 350 | 350 | 350 |
| Temporary and Disability Assistance, Office of | 1,268,258 | 1,258,664 | 1,299,119 | 1,304,019 | 1,319,419 |
| <i>Welfare Assistance</i> | 1,138,003 | 1,125,908 | 1,133,623 | 1,143,123 | 1,152,123 |
| <i>All Other</i> | 130,255 | 132,756 | 165,496 | 160,896 | 167,296 |
| Functional Total | 2,970,883 | 3,127,143 | 3,203,157 | 3,262,508 | 3,298,885 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 339,180 | 366,690 | 386,442 | 406,112 | 417,290 |
| <i>OASAS</i> | 317,855 | 345,365 | 365,117 | 384,787 | 395,965 |
| <i>OASAS - Other</i> | 21,325 | 21,325 | 21,325 | 21,325 | 21,325 |
| Justice Center | 600 | 620 | 620 | 620 | 620 |
| Mental Health, Office of | 1,192,643 | 1,240,073 | 1,307,250 | 1,427,581 | 1,485,204 |
| <i>OMH</i> | 845,787 | 964,610 | 990,626 | 1,068,099 | 1,115,365 |
| <i>OMH - Other</i> | 346,856 | 275,463 | 316,624 | 359,482 | 369,839 |
| People with Developmental Disabilities, Office for | 1,463,642 | 1,216,053 | 1,278,031 | 1,593,344 | 1,716,244 |
| <i>OPWDD</i> | 436,065 | 339,234 | 381,766 | 368,766 | 368,766 |
| <i>OPWDD - Other</i> | 1,027,577 | 876,819 | 896,265 | 1,224,578 | 1,347,478 |
| Functional Total | 2,996,065 | 2,823,436 | 2,972,343 | 3,427,657 | 3,619,358 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 5,939 | 6,022 | 6,022 | 6,022 | 6,022 |
| Criminal Justice Services, Division of | 156,998 | 170,087 | 157,236 | 152,236 | 152,236 |
| Disaster Assistance | 2,726 | 0 | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 93,746 | 102,887 | 92,519 | 68,273 | 40,523 |
| Indigent Legal Services, Office of | 51,123 | 65,000 | 103,000 | 101,600 | 101,600 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Military and Naval Affairs, Division of | 724 | 911 | 911 | 911 | 911 |
| Victim Services, Office of | 25,567 | 30,970 | 30,070 | 30,070 | 30,070 |
| Functional Total | 336,823 | 375,877 | 389,758 | 359,112 | 331,362 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 1,395,047 | 1,426,107 | 1,424,337 | 1,453,278 | 1,496,772 |
| Higher Education Facilities Capital Matching Grants Program | 2,086 | 7,000 | 974 | 0 | 0 |
| Higher Education Services Corporation, New York State | 1,209,916 | 1,061,791 | 1,111,365 | 1,142,261 | 1,159,261 |
| State University of New York | 487,080 | 504,508 | 505,565 | 506,815 | 505,065 |
| Functional Total | 3,094,129 | 2,999,406 | 3,042,241 | 3,102,354 | 3,161,098 |
| EDUCATION | | | | | |
| Arts, Council on the | 62,791 | 40,933 | 40,933 | 40,933 | 40,933 |
| Education, Department of | 27,022,576 | 28,973,304 | 30,364,309 | 31,632,503 | 33,095,975 |
| <i>School Aid</i> | 21,630,610 | 23,377,678 | 24,590,535 | 25,686,249 | 26,965,678 |
| <i>STAR Property Tax Relief</i> | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| <i>Special Education Categorical Programs</i> | 1,451,002 | 1,469,503 | 1,559,350 | 1,673,000 | 1,795,100 |
| <i>All Other</i> | 644,014 | 743,844 | 746,580 | 763,462 | 782,965 |
| Functional Total | 27,085,367 | 29,014,237 | 30,405,242 | 31,673,436 | 33,136,908 |
| GENERAL GOVERNMENT | | | | | |
| Elections, State Board of | 253 | 1,800 | 0 | 0 | 111,000 |
| Gaming Commission, New York State | 0 | 34,200 | 5,000 | 58,200 | 58,200 |
| Prevention of Domestic Violence, Office for | 543 | 685 | 685 | 685 | 685 |
| State, Department of | 6,545 | 21,691 | 7,379 | 7,379 | 7,379 |
| Taxation and Finance, Department of | 906 | 926 | 926 | 926 | 926 |
| Veterans' Affairs, Division of | 7,486 | 9,387 | 7,637 | 7,637 | 7,637 |
| Functional Total | 15,733 | 68,689 | 21,627 | 74,827 | 185,827 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 32,025 | 32,024 | 32,024 | 32,024 | 32,024 |
| Judiciary | 107,429 | 121,600 | 121,600 | 121,600 | 121,600 |
| Functional Total | 139,454 | 153,624 | 153,624 | 153,624 | 153,624 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 726,338 | 741,121 | 759,356 | 762,710 | 763,347 |
| Efficiency Incentive Grants Program | 1,592 | 1,638 | 0 | 0 | 0 |
| Miscellaneous Financial Assistance | 7,798 | 12,246 | 2,423 | 1,623 | 1,623 |
| Municipalities with VLT Facilities | 29,331 | 29,331 | 29,331 | 29,331 | 29,331 |
| Small Government Assistance | 217 | 218 | 218 | 218 | 218 |
| Functional Total | 765,276 | 784,554 | 791,328 | 793,882 | 794,519 |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 34,745 | (17,943) | 64,057 | 124,057 | 192,057 |
| Functional Total | 34,745 | (17,943) | 64,057 | 124,057 | 192,057 |
| TOTAL LOCAL ASSISTANCE GRANTS SPENDING | 62,363,510 | 65,742,546 | 68,396,828 | 70,911,811 | 73,759,845 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 52,866 | 53,905 | 52,434 | 52,434 | 52,434 |
| Alcoholic Beverage Control, Division of | 13,095 | 12,751 | 12,753 | 12,755 | 12,755 |
| Economic Development, Department of | 20,063 | 22,128 | 21,928 | 21,128 | 21,128 |
| Empire State Development Corporation | 50 | 850 | 850 | 850 | 850 |
| Energy Research and Development Authority | 4,841 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 191,287 | 211,651 | 210,417 | 209,717 | 209,717 |
| Olympic Regional Development Authority | 3,011 | 3,161 | 3,161 | 3,161 | 3,161 |
| Public Service Department | 47,496 | 49,708 | 49,630 | 49,713 | 49,713 |
| Functional Total | 332,709 | 354,154 | 351,173 | 349,758 | 349,758 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 4,276 | 4,332 | 4,332 | 4,332 | 4,332 |
| Environmental Conservation, Department of | 229,795 | 238,313 | 238,005 | 216,269 | 217,174 |
| Parks, Recreation and Historic Preservation, Office of | 179,509 | 177,420 | 177,769 | 177,768 | 177,768 |
| Functional Total | 413,580 | 420,065 | 420,106 | 398,369 | 399,274 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 58,500 | 62,848 | 62,848 | 62,848 | 62,848 |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 22,502 | 20,397 | 21,430 | 21,430 | 21,430 |
| Functional Total | 99,343 | 104,745 | 105,778 | 105,778 | 105,778 |
| HEALTH | | | | | |
| Aging, Office for the | 1,436 | 1,307 | 859 | 859 | 859 |
| Health, Department of | 574,369 | 729,315 | 749,307 | 725,286 | 721,580 |
| <i>Basic Health Plan</i> | 0 | 35,711 | 41,644 | 28,812 | 26,994 |
| <i>Medicaid Administration</i> | 162,806 | 290,066 | 308,186 | 295,886 | 293,586 |
| <i>Public Health</i> | 411,563 | 403,538 | 399,477 | 400,588 | 401,000 |
| Medicaid Inspector General, Office of the | 20,821 | 21,236 | 21,001 | 21,001 | 21,001 |
| Stem Cell and Innovation | 33,294 | 29,785 | 29,785 | 29,785 | 29,785 |
| Functional Total | 629,920 | 781,643 | 800,952 | 776,931 | 773,225 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 263,693 | 258,821 | 251,142 | 253,069 | 259,624 |
| <i>OCFS</i> | 263,693 | 258,821 | 251,142 | 253,069 | 259,624 |
| Housing and Community Renewal, Division of | 51,036 | 49,246 | 49,246 | 49,246 | 49,246 |
| Human Rights, Division of | 10,582 | 9,961 | 9,961 | 9,961 | 9,961 |
| Labor, Department of | 45,973 | 46,519 | 46,519 | 46,519 | 46,519 |
| National and Community Service | 237 | 337 | 337 | 337 | 340 |
| Temporary and Disability Assistance, Office of | 139,598 | 143,288 | 143,186 | 143,186 | 143,186 |
| <i>All Other</i> | 139,598 | 143,288 | 143,186 | 143,186 | 143,186 |
| Functional Total | 511,119 | 508,172 | 500,391 | 502,318 | 508,876 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 74,528 | 73,068 | 71,300 | 71,830 | 72,510 |
| <i>OASAS</i> | 30,187 | 29,060 | 29,297 | 29,529 | 29,814 |
| <i>OASAS - Other</i> | 44,341 | 44,008 | 42,003 | 42,301 | 42,696 |
| Justice Center | 30,470 | 40,605 | 41,796 | 43,109 | 44,639 |
| Mental Health, Office of | 1,383,568 | 1,424,573 | 1,399,697 | 1,405,193 | 1,427,588 |
| <i>OMH</i> | 336,351 | 300,028 | 358,334 | 362,066 | 367,469 |
| <i>OMH - Other</i> | 1,047,217 | 1,124,545 | 1,041,363 | 1,043,127 | 1,060,119 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 1,374,179 | 1,335,210 | 1,282,430 | 1,294,326 | 1,311,259 |
| <i>OPWDD</i> | 57 | 181 | 181 | 181 | 181 |
| <i>OPWDD - Other</i> | 1,374,122 | 1,335,029 | 1,282,249 | 1,294,145 | 1,311,078 |
| Functional Total | 2,862,973 | 2,873,456 | 2,795,223 | 2,814,458 | 2,855,996 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,222 | 2,651 | 2,651 | 2,651 | 2,651 |
| Correctional Services, Department of | 2,641,151 | 2,689,996 | 2,637,834 | 2,638,040 | 2,638,246 |
| Criminal Justice Services, Division of | 37,663 | 39,561 | 39,561 | 39,561 | 39,561 |
| Disaster Assistance | (10,737) | (45,309) | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Homeland Security and Emergency Services, Division of | | | | | |
| Indigent Legal Services, Office of | 37,417 | 27,750 | 23,551 | 23,551 | 23,551 |
| Judicial Conduct, Commission on | 1,051 | 2,489 | 2,239 | 2,239 | 2,239 |
| Judicial Nomination, Commission on | 5,384 | 5,584 | 5,584 | 5,584 | 5,643 |
| Judicial Screening Committees, New York State | 24 | 30 | 30 | 30 | 30 |
| Military and Naval Affairs, Division of | 12 | 38 | 38 | 38 | 38 |
| State Police, Division of | 24,261 | 26,259 | 25,584 | 25,584 | 25,584 |
| Statewide Financial System | 666,777 | 639,402 | 650,773 | 650,773 | 650,773 |
| Victim Services, Office of | 31,959 | 30,137 | 29,711 | 29,717 | 29,717 |
| Functional Total | 3,440,896 | 3,422,488 | 3,421,456 | 3,421,668 | 3,421,933 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 80,053 | 84,363 | 86,265 | 88,206 | 89,080 |
| Higher Education - Miscellaneous | 217 | 291 | 291 | 291 | 291 |
| Higher Education Services Corporation, New York State | 43,245 | 43,612 | 43,586 | 43,586 | 43,586 |
| State University of New York | 5,773,804 | 5,719,780 | 5,867,304 | 5,994,640 | 6,130,640 |
| Functional Total | 5,897,319 | 5,848,046 | 5,997,446 | 6,126,723 | 6,263,597 |
| EDUCATION | | | | | |
| Arts, Council on the | 3,312 | 4,320 | 4,320 | 4,320 | 4,320 |
| Education, Department of | 133,491 | 132,501 | 132,484 | 132,484 | 132,484 |
| <i>All Other</i> | 133,491 | 132,501 | 132,484 | 132,484 | 132,484 |
| Functional Total | 136,803 | 136,821 | 136,804 | 136,804 | 136,804 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 22,834 | 29,477 | 28,938 | 28,939 | 28,939 |
| Civil Service, Department of | 13,045 | 13,219 | 13,205 | 13,205 | 13,331 |
| Deferred Compensation Board | 361 | 630 | 641 | 641 | 641 |
| Elections, State Board of | 5,847 | 9,346 | 12,746 | 13,172 | 24,902 |
| Employee Relations, Office of | 2,210 | 2,581 | 2,581 | 2,581 | 2,601 |
| Gaming Commission, New York State | 134,317 | 155,853 | 155,985 | 157,066 | 157,066 |
| General Services, Office of | 151,774 | 163,275 | 164,822 | 164,822 | 164,822 |
| Inspector General, Office of the | 7,069 | 6,917 | 6,917 | 6,917 | 6,977 |
| Labor Management Committees | 24,098 | 35,356 | 45,356 | 35,356 | 35,356 |
| Prevention of Domestic Violence, Office for | 1,354 | 1,596 | 1,596 | 1,596 | 1,596 |
| Public Employment Relations Board | 3,115 | 3,731 | 3,572 | 3,573 | 3,604 |
| Public Integrity, Commission on | 3,628 | 5,531 | 5,531 | 5,531 | 5,576 |
| State, Department of | 41,865 | 45,079 | 43,327 | 43,288 | 43,288 |
| Tax Appeals, Division of | 2,849 | 3,040 | 3,040 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 348,167 | 330,131 | 330,461 | 330,618 | 330,618 |
| Technology, Office for | 426,415 | 512,706 | 523,180 | 523,131 | 534,861 |
| Veterans' Affairs, Division of | 5,344 | 6,338 | 6,171 | 6,171 | 6,236 |
| Welfare Inspector General, Office of | 573 | 972 | 972 | 972 | 986 |
| Workers' Compensation Board | 141,996 | 141,706 | 141,706 | 141,706 | 143,489 |
| Functional Total | 1,336,861 | 1,467,484 | 1,490,747 | 1,482,325 | 1,507,929 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 140,855 | 142,710 | 142,320 | 142,320 | 142,320 |
| Executive Chamber | 13,966 | 13,578 | 13,578 | 13,578 | 13,578 |
| Judiciary | 1,908,736 | 1,958,476 | 1,967,500 | 1,967,500 | 1,967,500 |
| Law, Department of | 165,441 | 168,191 | 169,696 | 170,701 | 171,701 |
| Legislature | 206,804 | 218,795 | 218,795 | 218,795 | 218,795 |
| Lieutenant Governor, Office of the | 366 | 614 | 614 | 614 | 614 |
| Functional Total | 2,436,168 | 2,502,364 | 2,512,503 | 2,513,508 | 2,514,508 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 100 | 0 | 0 | 0 | 0 |
| Functional Total | 100 | 0 | 0 | 0 | 0 |
| ALL OTHER CATEGORIES | | | | | |
| Long-Term Debt Service | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Miscellaneous | 20,667 | 25,234 | 25,234 | 240,235 | 26,251 |
| Functional Total | 59,321 | 69,194 | 71,912 | 286,913 | 72,929 |

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---------------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| TOTAL STATE OPERATIONS SPENDING | <u>18,157,112</u> | <u>18,488,632</u> | <u>18,604,491</u> | <u>18,915,553</u> | <u>18,910,607</u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 28,342 | 29,090 | 28,516 | 28,516 | 28,516 |
| Alcoholic Beverage Control, Division of | 7,657 | 8,147 | 8,147 | 8,147 | 8,147 |
| Economic Development, Department of | 11,493 | 13,629 | 13,629 | 13,629 | 13,629 |
| Empire State Development Corporation | 0 | 425 | 425 | 425 | 425 |
| Energy Research and Development Authority | 3,622 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 137,783 | 153,580 | 153,580 | 153,580 | 153,580 |
| Olympic Regional Development Authority | 2,548 | 2,548 | 2,548 | 2,548 | 2,548 |
| Public Service Department | 39,760 | 42,089 | 42,075 | 42,156 | 42,156 |
| Functional Total | 231,205 | 249,508 | 248,920 | 249,001 | 249,001 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 3,893 | 4,027 | 4,027 | 4,027 | 4,027 |
| Environmental Conservation, Department of | 174,406 | 181,687 | 181,779 | 170,105 | 170,271 |
| Parks, Recreation and Historic Preservation, Office of | 133,928 | 137,303 | 137,678 | 137,678 | 137,678 |
| Functional Total | 312,227 | 323,017 | 323,484 | 311,810 | 311,976 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 43,692 | 45,054 | 45,054 | 45,054 | 45,054 |
| Transportation, Department of | 6,980 | 7,645 | 7,646 | 7,646 | 7,646 |
| Functional Total | 50,672 | 52,699 | 52,700 | 52,700 | 52,700 |
| HEALTH | | | | | |
| Aging, Office for the | 1,256 | 1,125 | 677 | 677 | 677 |
| Health, Department of | 258,901 | 264,400 | 285,291 | 290,973 | 296,126 |
| <i>Basic Health Plan</i> | 0 | 683 | 804 | 534 | 488 |
| <i>Medicaid Administration</i> | 29,326 | 44,350 | 66,267 | 72,208 | 77,403 |
| <i>Public Health</i> | 229,575 | 219,367 | 218,220 | 218,231 | 218,235 |
| Medicaid Inspector General, Office of the | 16,617 | 16,705 | 16,470 | 16,470 | 16,470 |
| Stem Cell and Innovation | 368 | 463 | 463 | 463 | 463 |
| Functional Total | 277,142 | 282,693 | 302,901 | 308,583 | 313,736 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 170,077 | 165,755 | 160,336 | 160,333 | 161,948 |
| <i>OCFS</i> | 170,077 | 165,755 | 160,336 | 160,333 | 161,948 |
| Housing and Community Renewal, Division of | 38,995 | 40,403 | 40,403 | 40,403 | 40,403 |
| Human Rights, Division of | 8,919 | 9,461 | 9,461 | 9,461 | 9,461 |
| Labor, Department of | 30,078 | 32,618 | 32,618 | 32,618 | 32,618 |
| National and Community Service | 229 | 328 | 328 | 328 | 331 |
| Temporary and Disability Assistance, Office of | 67,810 | 59,087 | 58,985 | 58,985 | 58,985 |
| <i>All Other</i> | 67,810 | 59,087 | 58,985 | 58,985 | 58,985 |
| Functional Total | 316,108 | 307,652 | 302,131 | 302,128 | 303,746 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 53,107 | 55,260 | 53,368 | 53,535 | 53,849 |
| <i>OASAS</i> | 20,250 | 20,965 | 21,028 | 21,091 | 21,212 |
| <i>OASAS - Other</i> | 32,857 | 34,295 | 32,340 | 32,444 | 32,637 |
| Justice Center | 19,845 | 26,893 | 27,710 | 28,649 | 29,805 |
| Mental Health, Office of | 1,077,139 | 1,133,667 | 1,102,514 | 1,102,636 | 1,117,786 |
| <i>OMH</i> | 270,100 | 243,244 | 297,273 | 299,633 | 303,663 |
| <i>OMH - Other</i> | 807,039 | 890,423 | 805,241 | 803,003 | 814,123 |
| People with Developmental Disabilities, Office for | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| <i>OPWDD - Other</i> | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| Functional Total | 2,262,595 | 2,336,908 | 2,254,503 | 2,262,601 | 2,290,363 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 2,037 | 2,414 | 2,414 | 2,414 | 2,414 |
| Correctional Services, Department of | 2,102,399 | 2,176,979 | 2,099,817 | 2,100,023 | 2,100,229 |
| Criminal Justice Services, Division of | 26,862 | 26,225 | 26,225 | 26,225 | 26,225 |
| Disaster Assistance | (9,310) | 0 | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 14,217 | 13,581 | 13,581 | 13,581 | 13,581 |
| Indigent Legal Services, Office of | 928 | 1,454 | 1,704 | 1,704 | 1,704 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Judicial Conduct, Commission on | 4,028 | 4,181 | 4,181 | 4,181 | 4,212 |
| Judicial Screening Committees, New York State | 0 | 13 | 13 | 13 | 13 |
| Military and Naval Affairs, Division of | 15,759 | 17,789 | 17,114 | 17,114 | 17,114 |
| State Police, Division of | 571,632 | 570,599 | 585,770 | 585,770 | 585,770 |
| Statewide Financial System | 9,622 | 11,112 | 10,638 | 10,638 | 10,638 |
| Victim Services, Office of | 3,162 | 3,176 | 3,176 | 3,176 | 3,176 |
| Functional Total | <u>2,741,336</u> | <u>2,827,523</u> | <u>2,764,633</u> | <u>2,764,839</u> | <u>2,765,076</u> |
| HIGHER EDUCATION | | | | | |
| City University of New York | 48,140 | 42,363 | 43,425 | 44,509 | 44,509 |
| Higher Education - Miscellaneous | 146 | 198 | 198 | 198 | 198 |
| Higher Education Services Corporation, New York State | 16,369 | 17,124 | 17,099 | 17,099 | 17,099 |
| State University of New York | 3,502,895 | 3,578,972 | 3,659,588 | 3,715,668 | 3,776,196 |
| Functional Total | <u>3,567,550</u> | <u>3,638,657</u> | <u>3,720,310</u> | <u>3,777,474</u> | <u>3,838,002</u> |
| EDUCATION | | | | | |
| Arts, Council on the | 2,132 | 2,498 | 2,498 | 2,498 | 2,498 |
| Education, Department of | 84,014 | 83,488 | 83,488 | 83,488 | 83,488 |
| <i>All Other</i> | 84,014 | 83,488 | 83,488 | 83,488 | 83,488 |
| Functional Total | <u>86,146</u> | <u>85,986</u> | <u>85,986</u> | <u>85,986</u> | <u>85,986</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 20,223 | 24,434 | 24,514 | 24,567 | 24,567 |
| Civil Service, Department of | 12,046 | 12,398 | 12,384 | 12,384 | 12,497 |
| Deferred Compensation Board | 305 | 410 | 410 | 410 | 410 |
| Elections, State Board of | 4,680 | 5,607 | 5,573 | 6,539 | 11,682 |
| Employee Relations, Office of | 2,178 | 2,510 | 2,510 | 2,510 | 2,529 |
| Gaming Commission, New York State | 30,748 | 37,892 | 37,944 | 38,988 | 38,988 |
| General Services, Office of | 62,120 | 75,248 | 79,491 | 79,491 | 79,491 |
| Inspector General, Office of the | 6,217 | 6,360 | 6,360 | 6,360 | 6,408 |
| Labor Management Committees | 6,402 | 5,446 | 5,446 | 5,446 | 5,446 |
| Prevention of Domestic Violence, Office for | 1,267 | 1,388 | 1,388 | 1,388 | 1,388 |
| Public Employment Relations Board | 2,894 | 3,495 | 3,336 | 3,336 | 3,363 |
| Public Integrity, Commission on | 2,899 | 4,620 | 4,620 | 4,620 | 4,646 |
| State, Department of | 27,970 | 28,824 | 28,773 | 28,735 | 28,735 |
| Tax Appeals, Division of | 2,688 | 2,870 | 2,870 | 2,870 | 2,870 |
| Taxation and Finance, Department of | 285,802 | 276,619 | 276,608 | 276,761 | 276,761 |
| Technology, Office for | 277,996 | 275,888 | 275,891 | 275,861 | 275,861 |
| Veterans' Affairs, Division of | 4,937 | 6,046 | 5,879 | 5,879 | 5,938 |
| Welfare Inspector General, Office of | 472 | 514 | 514 | 514 | 518 |
| Workers' Compensation Board | 77,315 | 80,977 | 80,977 | 80,977 | 81,592 |
| Functional Total | <u>829,159</u> | <u>851,546</u> | <u>855,488</u> | <u>857,636</u> | <u>863,690</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 109,351 | 111,168 | 110,901 | 110,901 | 110,901 |
| Executive Chamber | 10,621 | 11,135 | 11,469 | 11,813 | 11,813 |
| Judiciary | 1,479,075 | 1,504,376 | 1,513,400 | 1,513,400 | 1,513,400 |
| Law, Department of | 113,123 | 116,448 | 117,948 | 118,948 | 119,948 |
| Legislature | 160,777 | 166,331 | 166,331 | 166,331 | 166,331 |
| Lieutenant Governor, Office of the | 299 | 498 | 513 | 523 | 523 |
| Functional Total | <u>1,873,246</u> | <u>1,909,956</u> | <u>1,920,562</u> | <u>1,921,916</u> | <u>1,922,916</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 2,204 | 2,493 | 2,493 | 2,493 | 2,498 |
| Functional Total | <u>2,204</u> | <u>2,493</u> | <u>2,493</u> | <u>2,493</u> | <u>2,498</u> |
| TOTAL PERSONAL SERVICE SPENDING | <u><u>12,549,590</u></u> | <u><u>12,868,638</u></u> | <u><u>12,834,111</u></u> | <u><u>12,897,167</u></u> | <u><u>12,999,690</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 24,524 | 24,815 | 23,918 | 23,918 | 23,918 |
| Alcoholic Beverage Control, Division of | 5,438 | 4,604 | 4,606 | 4,608 | 4,608 |
| Economic Development, Department of | 8,570 | 8,499 | 8,299 | 7,499 | 7,499 |
| Empire State Development Corporation | 50 | 425 | 425 | 425 | 425 |
| Energy Research and Development Authority | 1,219 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 53,504 | 58,071 | 56,837 | 56,137 | 56,137 |
| Olympic Regional Development Authority | 463 | 613 | 613 | 613 | 613 |
| Public Service Department | 7,736 | 7,619 | 7,555 | 7,557 | 7,557 |
| Functional Total | 101,504 | 104,646 | 102,253 | 100,757 | 100,757 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 383 | 305 | 305 | 305 | 305 |
| Environmental Conservation, Department of | 55,389 | 56,626 | 56,226 | 46,164 | 46,903 |
| Parks, Recreation and Historic Preservation, Office of | 45,581 | 40,117 | 40,091 | 40,090 | 40,090 |
| Functional Total | 101,353 | 97,048 | 96,622 | 86,559 | 87,298 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 14,808 | 17,794 | 17,794 | 17,794 | 17,794 |
| Thruway Authority, New York State | 18,341 | 21,500 | 21,500 | 21,500 | 21,500 |
| Transportation, Department of | 15,522 | 12,752 | 13,784 | 13,784 | 13,784 |
| Functional Total | 48,671 | 52,046 | 53,078 | 53,078 | 53,078 |
| HEALTH | | | | | |
| Aging, Office for the | 180 | 182 | 182 | 182 | 182 |
| Health, Department of | 315,468 | 464,915 | 464,016 | 434,313 | 425,454 |
| <i>Basic Health Plan</i> | 0 | 35,028 | 40,840 | 28,278 | 26,506 |
| <i>Medicaid Administration</i> | 133,480 | 245,716 | 241,919 | 223,678 | 216,183 |
| <i>Public Health</i> | 181,988 | 184,171 | 181,257 | 182,357 | 182,765 |
| Medicaid Inspector General, Office of the | 4,204 | 4,531 | 4,531 | 4,531 | 4,531 |
| Stem Cell and Innovation | 32,926 | 29,322 | 29,322 | 29,322 | 29,322 |
| Functional Total | 352,778 | 498,950 | 498,051 | 468,348 | 459,489 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 93,616 | 93,066 | 90,806 | 92,736 | 97,676 |
| <i>OCFS</i> | 93,616 | 93,066 | 90,806 | 92,736 | 97,676 |
| Housing and Community Renewal, Division of | 12,041 | 8,843 | 8,843 | 8,843 | 8,843 |
| Human Rights, Division of | 1,663 | 500 | 500 | 500 | 500 |
| Labor, Department of | 15,895 | 13,901 | 13,901 | 13,901 | 13,901 |
| National and Community Service | 8 | 9 | 9 | 9 | 9 |
| Temporary and Disability Assistance, Office of | 71,788 | 84,201 | 84,201 | 84,201 | 84,201 |
| <i>All Other</i> | 71,788 | 84,201 | 84,201 | 84,201 | 84,201 |
| Functional Total | 195,011 | 200,520 | 198,260 | 200,190 | 205,130 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 21,421 | 17,808 | 17,932 | 18,295 | 18,661 |
| <i>OASAS</i> | 9,937 | 8,095 | 8,269 | 8,438 | 8,602 |
| <i>OASAS - Other</i> | 11,484 | 9,713 | 9,663 | 9,857 | 10,059 |
| Justice Center | 10,625 | 13,712 | 14,086 | 14,460 | 14,834 |
| Mental Health, Office of | 306,429 | 290,906 | 297,183 | 302,557 | 309,802 |
| <i>OMH</i> | 66,251 | 56,784 | 61,061 | 62,433 | 63,806 |
| <i>OMH - Other</i> | 240,178 | 234,122 | 236,122 | 240,124 | 245,996 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 261,675 | 214,122 | 211,519 | 216,545 | 222,336 |
| <i>OPWDD</i> | 57 | 181 | 181 | 181 | 181 |
| <i>OPWDD - Other</i> | 261,618 | 213,941 | 211,338 | 216,364 | 222,155 |
| Functional Total | 600,378 | 536,548 | 540,720 | 551,857 | 565,633 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correction, Commission of | 185 | 237 | 237 | 237 | 237 |
| Correctional Services, Department of | 538,752 | 513,017 | 538,017 | 538,017 | 538,017 |
| Criminal Justice Services, Division of | 10,801 | 13,336 | 13,336 | 13,336 | 13,336 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Disaster Assistance | (1,427) | (45,309) | 0 | 0 | 0 |
| Homeland Security and Emergency Services, Division of | 23,200 | 14,169 | 9,970 | 9,970 | 9,970 |
| Indigent Legal Services, Office of | 123 | 1,035 | 535 | 535 | 535 |
| Judicial Conduct, Commission on | 1,356 | 1,403 | 1,403 | 1,403 | 1,431 |
| Judicial Nomination, Commission on | 24 | 30 | 30 | 30 | 30 |
| Judicial Screening Committees, New York State | 12 | 25 | 25 | 25 | 25 |
| Military and Naval Affairs, Division of | 8,502 | 8,470 | 8,470 | 8,470 | 8,470 |
| State Police, Division of | 95,145 | 68,803 | 65,003 | 65,003 | 65,003 |
| Statewide Financial System | 22,337 | 19,025 | 19,073 | 19,079 | 19,079 |
| Victim Services, Office of | 550 | 724 | 724 | 724 | 724 |
| Functional Total | 699,560 | 594,965 | 656,823 | 656,829 | 656,857 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 31,913 | 42,000 | 42,840 | 43,697 | 44,571 |
| Higher Education - Miscellaneous | 71 | 93 | 93 | 93 | 93 |
| Higher Education Services Corporation, New York State | 26,876 | 26,488 | 26,487 | 26,487 | 26,487 |
| State University of New York | 2,270,909 | 2,140,808 | 2,207,716 | 2,278,972 | 2,354,444 |
| Functional Total | 2,329,769 | 2,209,389 | 2,277,136 | 2,349,249 | 2,425,595 |
| EDUCATION | | | | | |
| Arts, Council on the | 1,180 | 1,822 | 1,822 | 1,822 | 1,822 |
| Education, Department of | 49,477 | 49,013 | 48,996 | 48,996 | 48,996 |
| <i>All Other</i> | 49,477 | 49,013 | 48,996 | 48,996 | 48,996 |
| Functional Total | 50,657 | 50,835 | 50,818 | 50,818 | 50,818 |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 2,611 | 5,043 | 4,424 | 4,372 | 4,372 |
| Civil Service, Department of | 999 | 821 | 821 | 821 | 834 |
| Deferred Compensation Board | 56 | 220 | 231 | 231 | 231 |
| Elections, State Board of | 1,167 | 3,739 | 7,173 | 6,633 | 13,220 |
| Employee Relations, Office of | 32 | 71 | 71 | 71 | 72 |
| Gaming Commission, New York State | 103,569 | 117,961 | 118,041 | 118,078 | 118,078 |
| General Services, Office of | 89,654 | 88,027 | 85,331 | 85,331 | 85,331 |
| Inspector General, Office of the | 852 | 557 | 557 | 557 | 569 |
| Labor Management Committees | 17,696 | 29,910 | 39,910 | 29,910 | 29,910 |
| Prevention of Domestic Violence, Office for | 87 | 208 | 208 | 208 | 208 |
| Public Employment Relations Board | 221 | 236 | 236 | 237 | 241 |
| Public Integrity, Commission on | 729 | 911 | 911 | 911 | 930 |
| State, Department of | 13,895 | 16,255 | 14,554 | 14,553 | 14,553 |
| Tax Appeals, Division of | 161 | 170 | 170 | 170 | 170 |
| Taxation and Finance, Department of | 62,365 | 53,512 | 53,853 | 53,857 | 53,857 |
| Technology, Office for | 148,419 | 236,818 | 247,289 | 247,270 | 259,000 |
| Veterans' Affairs, Division of | 407 | 292 | 292 | 292 | 298 |
| Welfare Inspector General, Office of | 101 | 458 | 458 | 458 | 468 |
| Workers' Compensation Board | 64,681 | 60,729 | 60,729 | 60,729 | 61,897 |
| Functional Total | 507,702 | 615,938 | 635,259 | 624,689 | 644,239 |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 31,504 | 31,542 | 31,419 | 31,419 | 31,419 |
| Executive Chamber | 3,345 | 2,443 | 2,109 | 1,765 | 1,765 |
| Judiciary | 429,661 | 454,100 | 454,100 | 454,100 | 454,100 |
| Law, Department of | 52,318 | 51,743 | 51,748 | 51,753 | 51,753 |
| Legislature | 46,027 | 52,464 | 52,464 | 52,464 | 52,464 |
| Lieutenant Governor, Office of the | 67 | 116 | 101 | 91 | 91 |
| Functional Total | 562,922 | 592,408 | 591,941 | 591,592 | 591,592 |
| LOCAL GOVERNMENT ASSISTANCE | | | | | |
| Aid and Incentives for Municipalities | 100 | 0 | 0 | 0 | 0 |
| Functional Total | 100 | 0 | 0 | 0 | 0 |
| ALL OTHER CATEGORIES | | | | | |
| Long-Term Debt Service | 38,654 | 43,960 | 46,678 | 46,678 | 46,678 |
| Miscellaneous | 18,463 | 22,741 | 22,741 | 237,742 | 23,753 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Functional Total | 57,117 | 66,701 | 69,419 | 284,420 | 70,431 |
| TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING | <u>5,607,522</u> | <u>5,619,994</u> | <u>5,770,380</u> | <u>6,018,386</u> | <u>5,910,917</u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 1,378 | 1,593 | 1,728 | 1,860 | 1,927 |
| Alcoholic Beverage Control, Division of | 4,541 | 4,643 | 4,798 | 4,973 | 4,973 |
| Economic Development, Department of | 0 | 28 | 28 | 28 | 28 |
| Energy Research and Development Authority | 1,604 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 76,375 | 88,395 | 90,527 | 93,032 | 93,164 |
| Public Service Department | 21,588 | 23,426 | 24,374 | 25,651 | 27,050 |
| Functional Total | 105,486 | 118,085 | 121,455 | 125,544 | 127,142 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 45,247 | 45,756 | 47,051 | 41,042 | 41,192 |
| Parks, Recreation and Historic Preservation, Office of | 2,829 | 3,184 | 3,217 | 3,218 | 3,218 |
| Functional Total | 48,076 | 48,940 | 50,268 | 44,260 | 44,410 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 22,591 | 25,104 | 25,789 | 26,534 | 26,646 |
| Transportation, Department of | 4,242 | 4,227 | 4,393 | 4,600 | 4,847 |
| Functional Total | 26,833 | 29,331 | 30,182 | 31,134 | 31,493 |
| HEALTH | | | | | |
| Health, Department of | 30,886 | 30,539 | 31,333 | 31,340 | 31,343 |
| <i>Public Health</i> | 30,886 | 30,539 | 31,333 | 31,340 | 31,343 |
| Stem Cell and Innovation | 189 | 0 | 0 | 0 | 0 |
| Functional Total | 31,075 | 30,539 | 31,333 | 31,340 | 31,343 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 371 | 2,658 | 2,658 | 2,658 | 2,658 |
| <i>OCFS</i> | 371 | 2,658 | 2,658 | 2,658 | 2,658 |
| Housing and Community Renewal, Division of | 14,960 | 16,381 | 16,381 | 16,381 | 16,381 |
| Labor, Department of | 17,657 | 18,450 | 18,450 | 18,450 | 18,450 |
| Functional Total | 32,988 | 37,489 | 37,489 | 37,489 | 37,489 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 31,721 | 33,205 | 33,551 | 35,073 | 37,168 |
| <i>OASAS</i> | 13,472 | 13,682 | 14,104 | 14,813 | 15,678 |
| <i>OASAS - Other</i> | 18,249 | 19,523 | 19,447 | 20,260 | 21,490 |
| Justice Center | 582 | 700 | 739 | 802 | 880 |
| Mental Health, Office of | 606,158 | 616,828 | 643,976 | 668,877 | 715,886 |
| <i>OMH</i> | 196,274 | 107,489 | 172,197 | 179,295 | 191,567 |
| <i>OMH - Other</i> | 409,884 | 509,339 | 471,779 | 489,582 | 524,319 |
| People with Developmental Disabilities, Office for | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| <i>OPWDD - Other</i> | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| Functional Total | 1,267,943 | 1,281,853 | 1,304,998 | 1,359,337 | 1,450,918 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 80 | 127 | 132 | 136 | 136 |
| Criminal Justice Services, Division of | 60 | 85 | 88 | 88 | 88 |
| Homeland Security and Emergency Services, Division of | 387 | 829 | 852 | 873 | 896 |
| Indigent Legal Services, Office of | 515 | 528 | 728 | 728 | 728 |
| Military and Naval Affairs, Division of | 317 | 80 | 80 | 80 | 80 |
| State Police, Division of | 2,619 | 2,711 | 2,811 | 2,953 | 3,115 |
| Victim Services, Office of | 1,591 | 2,191 | 2,190 | 2,190 | 2,190 |
| Functional Total | 5,569 | 6,551 | 6,881 | 7,048 | 7,233 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 7,717 | 6,000 | 6,000 | 6,000 | 6,000 |
| Higher Education - Miscellaneous | 83 | 99 | 99 | 99 | 99 |
| Higher Education Services Corporation, New York State | 13,961 | 10,228 | 10,566 | 10,978 | 10,978 |
| State University of New York | 534,365 | 439,544 | 392,451 | 401,324 | 410,473 |
| Functional Total | 556,126 | 455,871 | 409,116 | 418,401 | 427,550 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| EDUCATION | | | | | |
| Education, Department of | 32,716 | 32,427 | 33,615 | 35,306 | 37,229 |
| <i>All Other</i> | 32,716 | 32,427 | 33,615 | 35,306 | 37,229 |
| Functional Total | <u>32,716</u> | <u>32,427</u> | <u>33,615</u> | <u>35,306</u> | <u>37,229</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 975 | 1,443 | 1,557 | 1,657 | 1,657 |
| Civil Service, Department of | 151 | 176 | 176 | 176 | 176 |
| Deferred Compensation Board | 166 | 225 | 225 | 225 | 225 |
| Elections, State Board of | 0 | 0 | 0 | 574 | 1,949 |
| Gaming Commission, New York State | 14,956 | 16,881 | 16,958 | 17,575 | 17,575 |
| General Services, Office of | 2,208 | 2,187 | 2,268 | 2,383 | 2,513 |
| State, Department of | 9,433 | 9,057 | 9,259 | 9,496 | 9,496 |
| Taxation and Finance, Department of | 31,785 | 25,046 | 25,869 | 26,014 | 26,014 |
| Workers' Compensation Board | 46,013 | 48,228 | 49,948 | 51,902 | 51,902 |
| Functional Total | <u>105,687</u> | <u>103,243</u> | <u>106,260</u> | <u>110,002</u> | <u>111,507</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 1,634 | 1,934 | 1,867 | 1,978 | 2,039 |
| Judiciary | 659,912 | 696,303 | 743,453 | 791,553 | 826,553 |
| Law, Department of | 13,613 | 19,023 | 20,785 | 21,369 | 22,773 |
| Functional Total | <u>675,159</u> | <u>717,260</u> | <u>766,105</u> | <u>814,900</u> | <u>851,365</u> |
| ALL OTHER CATEGORIES | | | | | |
| General State Charges | 4,131,686 | 4,466,276 | 4,990,847 | 5,265,170 | 5,547,141 |
| Miscellaneous | 13,902 | 5,914 | 5,914 | 5,917 | 5,917 |
| Functional Total | <u>4,145,588</u> | <u>4,472,190</u> | <u>4,996,761</u> | <u>5,271,087</u> | <u>5,553,058</u> |
| TOTAL GENERAL STATE CHARGES SPENDING | <u><u>7,033,246</u></u> | <u><u>7,333,779</u></u> | <u><u>7,894,463</u></u> | <u><u>8,285,848</u></u> | <u><u>8,710,737</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 11,002 | 4,388 | 4,001 | 4,000 | 4,000 |
| Economic Development Capital | 1,985 | 11,215 | 23,000 | 29,276 | 23,000 |
| Economic Development, Department of | 132 | 16,667 | 13,433 | 3,274 | 0 |
| Empire State Development Corporation | 66,475 | 140,273 | 402,651 | 408,778 | 337,678 |
| Energy Research and Development Authority | 9,075 | 22,600 | 25,000 | 13,500 | 13,000 |
| Olympic Regional Development Authority | 6,900 | 7,500 | 0 | 0 | 0 |
| Regional Economic Development Program | 0 | 1,500 | 1,500 | 1,334 | 500 |
| Strategic Investment Program | 0 | 5,000 | 6,000 | 6,000 | 5,871 |
| Functional Total | 95,569 | 209,143 | 475,585 | 466,162 | 384,049 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 211,913 | 399,766 | 414,632 | 426,766 | 424,415 |
| Hudson River Park Trust | 49 | 0 | 0 | 0 | 0 |
| Parks, Recreation and Historic Preservation, Office of | 94,213 | 124,600 | 132,850 | 127,350 | 127,350 |
| Functional Total | 306,175 | 524,366 | 547,482 | 554,116 | 551,765 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 182,188 | 189,691 | 190,325 | 189,861 | 192,356 |
| Thruway Authority, New York State | 0 | 1,800 | 1,800 | 1,800 | 1,800 |
| Transportation, Department of | 2,174,467 | 2,232,612 | 2,332,730 | 2,406,298 | 2,388,053 |
| Functional Total | 2,356,655 | 2,424,103 | 2,524,855 | 2,597,959 | 2,582,209 |
| HEALTH | | | | | |
| Health, Department of | 49,549 | 86,500 | 73,500 | 13,500 | 13,500 |
| <i>Public Health</i> | 49,549 | 86,500 | 73,500 | 13,500 | 13,500 |
| Functional Total | 49,549 | 86,500 | 73,500 | 13,500 | 13,500 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 19,537 | 25,931 | 33,931 | 35,931 | 32,931 |
| <i>OCFS</i> | 19,537 | 25,931 | 33,931 | 35,931 | 32,931 |
| Temporary and Disability Assistance, Office of | 211 | 400 | 400 | 400 | 400 |
| <i>All Other</i> | 211 | 400 | 400 | 400 | 400 |
| Functional Total | 19,748 | 26,331 | 34,331 | 36,331 | 33,331 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | (77) | 13,083 | 13,083 | 13,083 | 13,083 |
| <i>OASAS</i> | (77) | 13,083 | 13,083 | 13,083 | 13,083 |
| Mental Health, Office of | 105,311 | 85,283 | 85,123 | 85,123 | 85,123 |
| <i>OMH</i> | 105,311 | 85,283 | 85,123 | 85,123 | 85,123 |
| People with Developmental Disabilities, Office for | 45,737 | 39,220 | 39,220 | 39,220 | 39,220 |
| <i>OPWDD</i> | 45,737 | 39,220 | 39,220 | 39,220 | 39,220 |
| Functional Total | 150,971 | 137,586 | 137,426 | 137,426 | 137,426 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 220,308 | 225,160 | 229,064 | 241,064 | 241,064 |
| Homeland Security and Emergency Services, Division of | 41,942 | 63,004 | 8,000 | 5,000 | 5,000 |
| Military and Naval Affairs, Division of | 14,435 | 12,245 | 12,245 | 10,000 | 10,000 |
| State Police, Division of | 7,593 | 30,539 | 47,641 | 33,510 | 29,015 |
| Functional Total | 284,278 | 330,948 | 296,950 | 289,574 | 285,079 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 30,141 | 35,000 | 35,000 | 35,400 | 35,900 |
| Higher Education Facilities Capital Matching Grants Program | 0 | 8,000 | 24,026 | 35,000 | 37,900 |
| State University of New York | 916,313 | 1,003,586 | 934,144 | 841,410 | 805,160 |
| Functional Total | 946,454 | 1,046,586 | 993,170 | 911,810 | 878,960 |
| EDUCATION | | | | | |
| Education, Department of | 4,688 | 416,232 | 437,923 | 432,447 | 423,400 |
| <i>School Aid</i> | 0 | 400,000 | 400,000 | 400,000 | 400,000 |
| <i>All Other</i> | 4,688 | 16,232 | 37,923 | 32,447 | 23,400 |

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Functional Total | 4,688 | 416,232 | 437,923 | 432,447 | 423,400 |
| GENERAL GOVERNMENT | | | | | |
| General Services, Office of | 118,000 | 114,283 | 102,883 | 125,083 | 75,883 |
| Technology, Office for | 30,763 | 39,395 | 92,250 | 82,753 | 5,700 |
| Workers' Compensation Board | 0 | 5,000 | 10,000 | 15,000 | 15,000 |
| Functional Total | <u>148,763</u> | <u>158,678</u> | <u>205,133</u> | <u>222,836</u> | <u>96,583</u> |
| ELECTED OFFICIALS | | | | | |
| Law, Department of | 2,379 | 3,000 | 3,000 | 1,621 | 0 |
| Functional Total | <u>2,379</u> | <u>3,000</u> | <u>3,000</u> | <u>1,621</u> | <u>0</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 46,035 | 1,076,125 | 665,800 | 727,850 | 1,094,450 |
| Functional Total | <u>46,035</u> | <u>1,076,125</u> | <u>665,800</u> | <u>727,850</u> | <u>1,094,450</u> |
| TOTAL CAPITAL PROJECTS SPENDING | <u>4,411,264</u> | <u>6,439,598</u> | <u>6,395,155</u> | <u>6,391,632</u> | <u>6,480,752</u> |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Economic Development, Department of | 0 | 12,000 | 6,000 | 5,000 | 5,000 |
| Energy Research and Development Authority | 5,527 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 223,476 | 76,664 | 76,414 | 76,289 | 76,289 |
| Public Service Department | 0 | 188 | 188 | 188 | 188 |
| Functional Total | 229,003 | 88,852 | 82,602 | 81,477 | 81,477 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Parks, Recreation and Historic Preservation, Office of | 4,487 | 4,850 | 4,850 | 4,850 | 4,850 |
| Functional Total | 4,487 | 4,850 | 4,850 | 4,850 | 4,850 |
| TRANSPORTATION | | | | | |
| Transportation, Department of | 4,736,445 | 4,748,676 | 4,818,614 | 4,889,836 | 4,953,448 |
| Functional Total | 4,736,445 | 4,748,676 | 4,818,614 | 4,889,836 | 4,953,448 |
| HEALTH | | | | | |
| Health, Department of | 6,090,916 | 6,113,106 | 6,138,097 | 6,199,594 | 6,209,085 |
| <i>Medical Assistance</i> | 5,113,859 | 5,184,917 | 5,267,953 | 5,314,490 | 5,313,344 |
| <i>Public Health</i> | 977,057 | 928,189 | 870,144 | 885,104 | 895,741 |
| Functional Total | 6,090,916 | 6,113,106 | 6,138,097 | 6,199,594 | 6,209,085 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 2,726 | 3,582 | 3,582 | 3,582 | 3,582 |
| <i>OCFS</i> | 2,726 | 3,582 | 3,582 | 3,582 | 3,582 |
| Housing and Community Renewal, Division of | 1,251 | 852 | 852 | 852 | 852 |
| Labor, Department of | 9 | 150 | 150 | 150 | 150 |
| Functional Total | 3,986 | 4,584 | 4,584 | 4,584 | 4,584 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 278,379 | 289,397 | 298,149 | 311,819 | 322,997 |
| <i>OASAS</i> | 278,379 | 289,397 | 298,149 | 311,819 | 322,997 |
| Justice Center | 430 | 450 | 450 | 450 | 450 |
| Mental Health, Office of | 803,034 | 908,527 | 932,543 | 1,007,016 | 1,054,282 |
| <i>OMH</i> | 803,034 | 908,527 | 932,543 | 1,007,016 | 1,054,282 |
| People with Developmental Disabilities, Office for | 434,691 | 335,355 | 377,887 | 364,887 | 364,887 |
| <i>OPWDD</i> | 433,331 | 335,355 | 377,887 | 364,887 | 364,887 |
| <i>OPWDD - Other</i> | 1,360 | 0 | 0 | 0 | 0 |
| Functional Total | 1,516,534 | 1,533,729 | 1,609,029 | 1,684,172 | 1,742,616 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Criminal Justice Services, Division of | 29,987 | 31,737 | 31,737 | 31,737 | 31,737 |
| Homeland Security and Emergency Services, Division of | 34,184 | 42,916 | 88,297 | 64,051 | 36,301 |
| Indigent Legal Services, Office of | 51,123 | 65,000 | 103,000 | 101,600 | 101,600 |
| Victim Services, Office of | 24,620 | 28,182 | 28,182 | 28,182 | 28,182 |
| Functional Total | 139,914 | 167,835 | 251,216 | 225,570 | 197,820 |
| HIGHER EDUCATION | | | | | |
| Higher Education Services Corporation, New York State | 32,000 | 16,000 | 0 | 0 | 0 |
| Functional Total | 32,000 | 16,000 | 0 | 0 | 0 |
| EDUCATION | | | | | |
| Arts, Council on the | 0 | 98 | 98 | 98 | 98 |
| Education, Department of | 6,523,484 | 6,700,992 | 6,657,757 | 6,830,405 | 6,866,845 |
| <i>School Aid</i> | 3,215,584 | 3,305,800 | 3,177,000 | 3,307,700 | 3,301,700 |
| <i>STAR Property Tax Relief</i> | 3,296,950 | 3,382,279 | 3,467,844 | 3,509,792 | 3,552,232 |
| <i>All Other</i> | 10,950 | 12,913 | 12,913 | 12,913 | 12,913 |
| Functional Total | 6,523,484 | 6,701,090 | 6,657,855 | 6,830,503 | 6,866,943 |
| GENERAL GOVERNMENT | | | | | |
| Elections, State Board of | 0 | 0 | 0 | 0 | 111,000 |
| Gaming Commission, New York State | 0 | 34,200 | 5,000 | 58,200 | 58,200 |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| State, Department of | 874 | 939 | 939 | 939 | 939 |
| Functional Total | <u>874</u> | <u>35,139</u> | <u>5,939</u> | <u>59,139</u> | <u>170,139</u> |
| ELECTED OFFICIALS | | | | | |
| Judiciary | 104,992 | 104,200 | 104,200 | 104,200 | 104,200 |
| Functional Total | <u>104,992</u> | <u>104,200</u> | <u>104,200</u> | <u>104,200</u> | <u>104,200</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 77,610 | (569,500) | (701,000) | (741,000) | (776,000) |
| Functional Total | <u>77,610</u> | <u>(569,500)</u> | <u>(701,000)</u> | <u>(741,000)</u> | <u>(776,000)</u> |
| TOTAL LOCAL ASSISTANCE GRANTS SPENDING | <u><u>19,460,245</u></u> | <u><u>18,948,561</u></u> | <u><u>18,975,986</u></u> | <u><u>19,342,925</u></u> | <u><u>19,559,162</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 2,514 | 2,854 | 2,854 | 2,854 | 2,854 |
| Alcoholic Beverage Control, Division of | 7,657 | 8,147 | 8,147 | 8,147 | 8,147 |
| Economic Development, Department of | 0 | 103 | 103 | 103 | 103 |
| Energy Research and Development Authority | 3,622 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 137,783 | 153,580 | 153,580 | 153,580 | 153,580 |
| Public Service Department | 39,760 | 42,089 | 42,075 | 42,156 | 42,156 |
| Functional Total | 191,336 | 206,773 | 206,759 | 206,840 | 206,840 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 92,238 | 97,092 | 97,334 | 85,556 | 85,722 |
| Parks, Recreation and Historic Preservation, Office of | 28,874 | 33,569 | 33,944 | 33,944 | 33,944 |
| Functional Total | 121,112 | 130,661 | 131,278 | 119,500 | 119,666 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 43,692 | 45,054 | 45,054 | 45,054 | 45,054 |
| Transportation, Department of | 6,980 | 7,645 | 7,646 | 7,646 | 7,646 |
| Functional Total | 50,672 | 52,699 | 52,700 | 52,700 | 52,700 |
| HEALTH | | | | | |
| Health, Department of | 138,422 | 135,570 | 132,509 | 132,520 | 132,524 |
| <i>Public Health</i> | 138,422 | 135,570 | 132,509 | 132,520 | 132,524 |
| Stem Cell and Innovation | 368 | 463 | 463 | 463 | 463 |
| Functional Total | 138,790 | 136,033 | 132,972 | 132,983 | 132,987 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 2,824 | 3,304 | 3,304 | 3,301 | 3,333 |
| <i>OCFS</i> | 2,824 | 3,304 | 3,304 | 3,301 | 3,333 |
| Housing and Community Renewal, Division of | 35,037 | 36,204 | 36,204 | 36,204 | 36,204 |
| Labor, Department of | 29,991 | 32,530 | 32,530 | 32,530 | 32,530 |
| Functional Total | 67,852 | 72,038 | 72,038 | 72,035 | 72,067 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 53,107 | 55,260 | 53,368 | 53,535 | 53,849 |
| <i>OASAS</i> | 20,250 | 20,965 | 21,028 | 21,091 | 21,212 |
| <i>OASAS - Other</i> | 32,857 | 34,295 | 32,340 | 32,444 | 32,637 |
| Justice Center | 1,132 | 1,248 | 1,287 | 1,333 | 1,389 |
| Mental Health, Office of | 1,077,139 | 1,133,667 | 1,102,514 | 1,102,636 | 1,117,786 |
| <i>OMH</i> | 270,100 | 243,244 | 297,273 | 299,633 | 303,663 |
| <i>OMH - Other</i> | 807,039 | 890,423 | 805,241 | 803,003 | 814,123 |
| People with Developmental Disabilities, Office for | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| <i>OPWDD - Other</i> | 1,112,504 | 1,121,088 | 1,070,911 | 1,077,781 | 1,088,923 |
| Functional Total | 2,243,882 | 2,311,263 | 2,228,080 | 2,235,285 | 2,261,947 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 147 | 219 | 219 | 219 | 219 |
| Criminal Justice Services, Division of | 219 | 392 | 392 | 392 | 392 |
| Homeland Security and Emergency Services, Division of | 9,428 | 12,285 | 12,285 | 12,285 | 12,285 |
| Indigent Legal Services, Office of | 928 | 1,454 | 1,704 | 1,704 | 1,704 |
| Military and Naval Affairs, Division of | 430 | 793 | 793 | 793 | 793 |
| State Police, Division of | 11,518 | 11,806 | 11,806 | 11,806 | 11,806 |
| Statewide Financial System | 340 | 0 | 0 | 0 | 0 |
| Victim Services, Office of | 3,162 | 3,176 | 3,176 | 3,176 | 3,176 |
| Functional Total | 26,172 | 30,125 | 30,375 | 30,375 | 30,375 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 48,140 | 42,363 | 43,425 | 44,509 | 44,509 |
| Higher Education - Miscellaneous | 146 | 198 | 198 | 198 | 198 |
| Higher Education Services Corporation, New York State | 16,369 | 17,124 | 17,099 | 17,099 | 17,099 |
| State University of New York | 3,502,619 | 3,578,972 | 3,659,588 | 3,715,668 | 3,776,196 |
| Functional Total | 3,567,274 | 3,638,657 | 3,720,310 | 3,777,474 | 3,838,002 |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| EDUCATION | | | | | |
| Education, Department of | 56,872 | 57,713 | 57,713 | 57,713 | 57,713 |
| <i>All Other</i> | <u>56,872</u> | <u>57,713</u> | <u>57,713</u> | <u>57,713</u> | <u>57,713</u> |
| Functional Total | <u>56,872</u> | <u>57,713</u> | <u>57,713</u> | <u>57,713</u> | <u>57,713</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 1,539 | 2,204 | 2,298 | 2,351 | 2,351 |
| Civil Service, Department of | 261 | 334 | 334 | 334 | 359 |
| Deferred Compensation Board | 281 | 378 | 378 | 378 | 378 |
| Elections, State Board of | 0 | 0 | 0 | 1,000 | 6,000 |
| Gaming Commission, New York State | 30,748 | 33,410 | 33,462 | 34,506 | 34,506 |
| General Services, Office of | 3,701 | 4,098 | 4,098 | 4,098 | 4,098 |
| Public Employment Relations Board | 0 | 159 | 0 | 0 | 0 |
| State, Department of | 15,857 | 16,364 | 16,327 | 16,289 | 16,289 |
| Taxation and Finance, Department of | 54,419 | 42,926 | 43,143 | 43,296 | 43,296 |
| Workers' Compensation Board | <u>77,315</u> | <u>80,977</u> | <u>80,977</u> | <u>80,977</u> | <u>81,592</u> |
| Functional Total | <u>184,121</u> | <u>180,850</u> | <u>181,017</u> | <u>183,229</u> | <u>188,869</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 10,730 | 10,170 | 9,903 | 9,903 | 9,903 |
| Judiciary | 56,698 | 57,800 | 57,800 | 57,800 | 57,800 |
| Law, Department of | <u>26,126</u> | <u>27,793</u> | <u>29,293</u> | <u>30,293</u> | <u>31,293</u> |
| Functional Total | <u>93,554</u> | <u>95,763</u> | <u>96,996</u> | <u>97,996</u> | <u>98,996</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | <u>2,151</u> | <u>(123,061)</u> | <u>(124,761)</u> | <u>(144,761)</u> | <u>(164,756)</u> |
| Functional Total | <u>2,151</u> | <u>(123,061)</u> | <u>(124,761)</u> | <u>(144,761)</u> | <u>(164,756)</u> |
| TOTAL PERSONAL SERVICE SPENDING | <u><u>6,743,788</u></u> | <u><u>6,789,514</u></u> | <u><u>6,785,477</u></u> | <u><u>6,821,369</u></u> | <u><u>6,895,406</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 17,132 | 18,190 | 18,193 | 18,193 | 18,193 |
| Alcoholic Beverage Control, Division of | 5,438 | 4,604 | 4,606 | 4,608 | 4,608 |
| Economic Development, Department of | 1,978 | 1,847 | 1,847 | 1,847 | 1,847 |
| Energy Research and Development Authority | 1,219 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 53,504 | 58,071 | 56,837 | 56,137 | 56,137 |
| Olympic Regional Development Authority | 0 | 150 | 150 | 150 | 150 |
| Public Service Department | 7,736 | 7,619 | 7,555 | 7,557 | 7,557 |
| Functional Total | 87,007 | 90,481 | 89,188 | 88,492 | 88,492 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 45,628 | 47,238 | 46,973 | 36,911 | 31,774 |
| Parks, Recreation and Historic Preservation, Office of | 40,592 | 32,512 | 32,486 | 32,485 | 32,485 |
| Functional Total | 86,220 | 79,750 | 79,459 | 69,396 | 64,259 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 14,808 | 17,794 | 17,794 | 17,794 | 17,794 |
| Transportation, Department of | 14,440 | 11,599 | 12,615 | 12,615 | 12,615 |
| Functional Total | 29,248 | 29,393 | 30,409 | 30,409 | 30,409 |
| HEALTH | | | | | |
| Aging, Office for the | 0 | 1 | 1 | 1 | 1 |
| Health, Department of | 109,580 | 121,905 | 122,898 | 123,898 | 124,306 |
| <i>Public Health</i> | 109,580 | 121,905 | 122,898 | 123,898 | 124,306 |
| Stem Cell and Innovation | 32,926 | 29,322 | 29,322 | 29,322 | 29,322 |
| Functional Total | 142,506 | 151,228 | 152,221 | 153,221 | 153,629 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 26,288 | 29,213 | 29,213 | 29,213 | 29,794 |
| <i>OCFS</i> | 26,288 | 29,213 | 29,213 | 29,213 | 29,794 |
| Housing and Community Renewal, Division of | 9,516 | 8,492 | 8,492 | 8,492 | 8,492 |
| Labor, Department of | 15,709 | 13,701 | 13,701 | 13,701 | 13,701 |
| Temporary and Disability Assistance, Office of | 74 | 200 | 200 | 200 | 200 |
| <i>All Other</i> | 74 | 200 | 200 | 200 | 200 |
| Functional Total | 51,587 | 51,606 | 51,606 | 51,606 | 52,187 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 21,421 | 17,808 | 17,932 | 18,295 | 18,661 |
| <i>OASAS</i> | 9,937 | 8,095 | 8,269 | 8,438 | 8,602 |
| <i>OASAS - Other</i> | 11,484 | 9,713 | 9,663 | 9,857 | 10,059 |
| Justice Center | 36 | 35 | 36 | 37 | 38 |
| Mental Health, Office of | 306,172 | 290,106 | 296,383 | 301,757 | 309,002 |
| <i>OMH</i> | 65,994 | 55,984 | 60,261 | 61,633 | 63,006 |
| <i>OMH - Other</i> | 240,178 | 234,122 | 236,122 | 240,124 | 245,996 |
| Mental Hygiene, Department of | 228 | 0 | 0 | 0 | 0 |
| People with Developmental Disabilities, Office for | 261,675 | 214,122 | 211,519 | 216,545 | 222,336 |
| <i>OPWDD</i> | 57 | 181 | 181 | 181 | 181 |
| <i>OPWDD - Other</i> | 261,618 | 213,941 | 211,338 | 216,364 | 222,155 |
| Functional Total | 589,532 | 522,071 | 525,870 | 536,634 | 550,037 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 1,899 | 2,627 | 2,627 | 2,627 | 2,627 |
| Criminal Justice Services, Division of | 2,672 | 4,705 | 4,705 | 4,705 | 4,705 |
| Homeland Security and Emergency Services, Division of | 21,052 | 10,969 | 9,970 | 9,970 | 9,970 |
| Indigent Legal Services, Office of | 123 | 1,035 | 535 | 535 | 535 |
| Military and Naval Affairs, Division of | 2,917 | 2,434 | 2,434 | 2,434 | 2,434 |
| State Police, Division of | 46,651 | 25,984 | 22,184 | 22,184 | 22,184 |
| Statewide Financial System | 2,355 | 0 | 0 | 0 | 0 |
| Victim Services, Office of | 550 | 724 | 724 | 724 | 724 |
| Functional Total | 78,219 | 48,478 | 43,179 | 43,179 | 43,179 |
| HIGHER EDUCATION | | | | | |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| City University of New York | 31,913 | 42,000 | 42,840 | 43,697 | 44,571 |
| Higher Education - Miscellaneous | 71 | 93 | 93 | 93 | 93 |
| Higher Education Services Corporation, New York State | 26,876 | 26,488 | 26,487 | 26,487 | 26,487 |
| State University of New York | <u>2,261,726</u> | <u>2,140,808</u> | <u>2,207,716</u> | <u>2,278,972</u> | <u>2,354,444</u> |
| Functional Total | <u>2,320,586</u> | <u>2,209,389</u> | <u>2,277,136</u> | <u>2,349,249</u> | <u>2,425,595</u> |
| EDUCATION | | | | | |
| Education, Department of | <u>27,650</u> | <u>25,205</u> | <u>25,188</u> | <u>25,188</u> | <u>25,188</u> |
| <i>All Other</i> | <u>27,650</u> | <u>25,205</u> | <u>25,188</u> | <u>25,188</u> | <u>25,188</u> |
| Functional Total | <u>27,650</u> | <u>25,205</u> | <u>25,188</u> | <u>25,188</u> | <u>25,188</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 973 | 2,837 | 2,745 | 2,693 | 2,693 |
| Civil Service, Department of | 508 | 420 | 420 | 420 | 428 |
| Deferred Compensation Board | 43 | 195 | 206 | 206 | 206 |
| Elections, State Board of | 139 | 0 | 4,000 | 3,426 | 10,051 |
| Gaming Commission, New York State | 103,569 | 115,472 | 115,552 | 115,589 | 115,589 |
| General Services, Office of | 5,780 | 7,940 | 7,040 | 7,040 | 7,040 |
| Labor Management Committees | 0 | 300 | 300 | 300 | 300 |
| Prevention of Domestic Violence, Office for | 0 | 5 | 5 | 5 | 5 |
| Public Employment Relations Board | 19 | 43 | 43 | 44 | 44 |
| State, Department of | 12,318 | 15,316 | 14,315 | 14,314 | 14,314 |
| Taxation and Finance, Department of | 20,877 | 23,757 | 24,098 | 24,102 | 24,102 |
| Workers' Compensation Board | <u>64,681</u> | <u>60,729</u> | <u>60,729</u> | <u>60,729</u> | <u>61,897</u> |
| Functional Total | <u>208,907</u> | <u>227,014</u> | <u>229,453</u> | <u>228,868</u> | <u>236,669</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 4,330 | 5,222 | 5,099 | 5,099 | 5,099 |
| Judiciary | 46,560 | 50,600 | 50,600 | 50,600 | 50,600 |
| Law, Department of | 37,520 | 38,860 | 38,865 | 38,870 | 38,870 |
| Legislature | <u>1,046</u> | <u>950</u> | <u>950</u> | <u>950</u> | <u>950</u> |
| Functional Total | <u>89,456</u> | <u>95,632</u> | <u>95,514</u> | <u>95,519</u> | <u>95,519</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | <u>(589)</u> | <u>(137,971)</u> | <u>(137,971)</u> | <u>(187,970)</u> | <u>(202,959)</u> |
| Functional Total | <u>(589)</u> | <u>(137,971)</u> | <u>(137,971)</u> | <u>(187,970)</u> | <u>(202,959)</u> |
| TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING | <u><u>3,710,329</u></u> | <u><u>3,392,276</u></u> | <u><u>3,461,252</u></u> | <u><u>3,483,791</u></u> | <u><u>3,562,204</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 1,378 | 1,593 | 1,728 | 1,860 | 1,927 |
| Alcoholic Beverage Control, Division of | 4,541 | 4,643 | 4,798 | 4,973 | 4,973 |
| Economic Development, Department of | 0 | 28 | 28 | 28 | 28 |
| Energy Research and Development Authority | 1,604 | 0 | 0 | 0 | 0 |
| Financial Services, Department of | 76,375 | 88,395 | 90,527 | 93,032 | 93,164 |
| Public Service Department | 21,588 | 23,426 | 24,374 | 25,651 | 27,050 |
| Functional Total | 105,486 | 118,085 | 121,455 | 125,544 | 127,142 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 45,247 | 45,756 | 47,051 | 41,042 | 41,192 |
| Parks, Recreation and Historic Preservation, Office of | 2,829 | 3,184 | 3,217 | 3,218 | 3,218 |
| Functional Total | 48,076 | 48,940 | 50,268 | 44,260 | 44,410 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 22,591 | 25,104 | 25,789 | 26,534 | 26,646 |
| Transportation, Department of | 4,242 | 4,227 | 4,393 | 4,600 | 4,847 |
| Functional Total | 26,833 | 29,331 | 30,182 | 31,134 | 31,493 |
| HEALTH | | | | | |
| Health, Department of | 30,886 | 30,539 | 31,333 | 31,340 | 31,343 |
| <i>Public Health</i> | 30,886 | 30,539 | 31,333 | 31,340 | 31,343 |
| Stem Cell and Innovation | 189 | 0 | 0 | 0 | 0 |
| Functional Total | 31,075 | 30,539 | 31,333 | 31,340 | 31,343 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 371 | 2,658 | 2,658 | 2,658 | 2,658 |
| <i>OCFS</i> | 371 | 2,658 | 2,658 | 2,658 | 2,658 |
| Housing and Community Renewal, Division of | 14,960 | 16,381 | 16,381 | 16,381 | 16,381 |
| Labor, Department of | 17,657 | 18,450 | 18,450 | 18,450 | 18,450 |
| Functional Total | 32,988 | 37,489 | 37,489 | 37,489 | 37,489 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 31,721 | 33,205 | 33,551 | 35,073 | 37,168 |
| <i>OASAS</i> | 13,472 | 13,682 | 14,104 | 14,813 | 15,678 |
| <i>OASAS - Other</i> | 18,249 | 19,523 | 19,447 | 20,260 | 21,490 |
| Justice Center | 582 | 700 | 739 | 802 | 880 |
| Mental Health, Office of | 606,158 | 616,828 | 643,976 | 668,877 | 715,886 |
| <i>OMH</i> | 196,274 | 107,489 | 172,197 | 179,295 | 191,567 |
| <i>OMH - Other</i> | 409,884 | 509,339 | 471,779 | 489,582 | 524,319 |
| People with Developmental Disabilities, Office for | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| <i>OPWDD - Other</i> | 629,482 | 631,120 | 626,732 | 654,585 | 696,984 |
| Functional Total | 1,267,943 | 1,281,853 | 1,304,998 | 1,359,337 | 1,450,918 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 80 | 127 | 132 | 136 | 136 |
| Criminal Justice Services, Division of | 60 | 85 | 88 | 88 | 88 |
| Homeland Security and Emergency Services, Division of | 387 | 829 | 852 | 873 | 896 |
| Indigent Legal Services, Office of | 515 | 528 | 728 | 728 | 728 |
| Military and Naval Affairs, Division of | 317 | 80 | 80 | 80 | 80 |
| State Police, Division of | 2,619 | 2,711 | 2,811 | 2,953 | 3,115 |
| Victim Services, Office of | 1,591 | 2,191 | 2,190 | 2,190 | 2,190 |
| Functional Total | 5,569 | 6,551 | 6,881 | 7,048 | 7,233 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 7,717 | 6,000 | 6,000 | 6,000 | 6,000 |
| Higher Education - Miscellaneous | 83 | 99 | 99 | 99 | 99 |
| Higher Education Services Corporation, New York State | 13,961 | 10,228 | 10,566 | 10,978 | 10,978 |
| State University of New York | 316,600 | 383,843 | 392,451 | 401,324 | 410,473 |
| Functional Total | 338,361 | 400,170 | 409,116 | 418,401 | 427,550 |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| EDUCATION | | | | | |
| Education, Department of | 32,716 | 32,427 | 33,615 | 35,306 | 37,229 |
| <i>All Other</i> | 32,716 | 32,427 | 33,615 | 35,306 | 37,229 |
| Functional Total | <u>32,716</u> | <u>32,427</u> | <u>33,615</u> | <u>35,306</u> | <u>37,229</u> |
| GENERAL GOVERNMENT | | | | | |
| Budget, Division of the | 975 | 1,443 | 1,557 | 1,657 | 1,657 |
| Civil Service, Department of | 151 | 176 | 176 | 176 | 176 |
| Deferred Compensation Board | 166 | 225 | 225 | 225 | 225 |
| Elections, State Board of | 0 | 0 | 0 | 574 | 1,949 |
| Gaming Commission, New York State | 14,956 | 16,881 | 16,958 | 17,575 | 17,575 |
| General Services, Office of | 2,208 | 2,187 | 2,268 | 2,383 | 2,513 |
| State, Department of | 9,433 | 9,057 | 9,259 | 9,496 | 9,496 |
| Taxation and Finance, Department of | 31,785 | 25,046 | 25,869 | 26,014 | 26,014 |
| Workers' Compensation Board | 46,013 | 48,228 | 49,948 | 51,902 | 51,902 |
| Functional Total | <u>105,687</u> | <u>103,243</u> | <u>106,260</u> | <u>110,002</u> | <u>111,507</u> |
| ELECTED OFFICIALS | | | | | |
| Audit and Control, Department of | 1,634 | 1,934 | 1,867 | 1,978 | 2,039 |
| Judiciary | 23,258 | 27,600 | 28,750 | 29,450 | 29,450 |
| Law, Department of | 13,613 | 19,023 | 20,785 | 21,369 | 22,773 |
| Functional Total | <u>38,505</u> | <u>48,557</u> | <u>51,402</u> | <u>52,797</u> | <u>54,262</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 1,315 | 1,494 | 1,494 | 1,497 | 1,497 |
| Functional Total | <u>1,315</u> | <u>1,494</u> | <u>1,494</u> | <u>1,497</u> | <u>1,497</u> |
| TOTAL GENERAL STATE CHARGES SPENDING | <u><u>2,034,554</u></u> | <u><u>2,138,679</u></u> | <u><u>2,184,493</u></u> | <u><u>2,254,155</u></u> | <u><u>2,362,073</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Economic Development, Department of | 6,079 | 6,055 | 6,055 | 6,055 | 6,055 |
| Functional Total | 6,079 | 6,055 | 6,055 | 6,055 | 6,055 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Parks, Recreation and Historic Preservation, Office of | 1,303 | 1,270 | 1,270 | 1,270 | 1,270 |
| Functional Total | 1,303 | 1,270 | 1,270 | 1,270 | 1,270 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 14,536 | 18,000 | 18,000 | 18,000 | 18,000 |
| Transportation, Department of | 45,354 | 33,927 | 33,927 | 33,927 | 33,927 |
| Functional Total | 59,890 | 51,927 | 51,927 | 51,927 | 51,927 |
| HEALTH | | | | | |
| Aging, Office for the | 101,849 | 94,369 | 92,769 | 92,769 | 92,769 |
| Health, Department of | 31,407,191 | 34,907,422 | 36,348,459 | 37,363,171 | 37,839,056 |
| <i>Medical Assistance</i> | 29,400,998 | 31,368,645 | 32,218,588 | 33,106,903 | 33,454,703 |
| <i>Basic Health Plan</i> | 0 | 1,508,890 | 2,016,640 | 2,081,172 | 2,143,608 |
| <i>Medicaid Administration</i> | 444,650 | 445,950 | 445,950 | 445,950 | 445,950 |
| <i>Public Health</i> | 1,561,543 | 1,583,937 | 1,667,281 | 1,729,146 | 1,794,795 |
| Functional Total | 31,509,040 | 35,001,791 | 36,441,228 | 37,455,940 | 37,931,825 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 1,077,094 | 1,084,577 | 966,300 | 966,300 | 966,300 |
| <i>OCFS</i> | 1,077,094 | 1,084,577 | 966,300 | 966,300 | 966,300 |
| Housing and Community Renewal, Division of | 48,983 | 48,434 | 48,434 | 48,434 | 48,434 |
| Labor, Department of | 147,172 | 158,607 | 158,117 | 158,325 | 158,325 |
| Temporary and Disability Assistance, Office of | 3,463,500 | 3,443,576 | 3,443,576 | 3,443,576 | 3,443,576 |
| <i>Welfare Assistance</i> | 2,632,607 | 2,626,576 | 2,626,576 | 2,626,576 | 2,626,576 |
| <i>All Other</i> | 830,893 | 817,000 | 817,000 | 817,000 | 817,000 |
| Functional Total | 4,736,749 | 4,735,194 | 4,616,427 | 4,616,635 | 4,616,635 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 111,100 | 111,716 | 111,716 | 111,716 | 111,716 |
| <i>OASAS</i> | 111,100 | 111,716 | 111,716 | 111,716 | 111,716 |
| Mental Health, Office of | 33,780 | 32,025 | 32,025 | 32,025 | 32,025 |
| <i>OMH</i> | 33,780 | 32,025 | 32,025 | 32,025 | 32,025 |
| People with Developmental Disabilities, Office for | 0 | 8,500 | 8,500 | 8,500 | 8,500 |
| <i>OPWDD</i> | 0 | 8,500 | 8,500 | 8,500 | 8,500 |
| Functional Total | 144,880 | 152,241 | 152,241 | 152,241 | 152,241 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Criminal Justice Services, Division of | 16,082 | 18,800 | 18,800 | 18,800 | 18,800 |
| Homeland Security and Emergency Services, Division of | 2,228,504 | 2,195,560 | 1,478,771 | 949,124 | 663,771 |
| Victim Services, Office of | 29,925 | 30,128 | 30,128 | 30,128 | 30,128 |
| Functional Total | 2,274,511 | 2,244,488 | 1,527,699 | 998,052 | 712,699 |
| HIGHER EDUCATION | | | | | |
| Higher Education Services Corporation, New York State | 352 | 0 | 0 | 0 | 0 |
| State University of New York | 0 | 7,941 | 7,941 | 7,941 | 7,941 |
| Functional Total | 352 | 7,941 | 7,941 | 7,941 | 7,941 |
| EDUCATION | | | | | |
| Arts, Council on the | 965 | 600 | 600 | 600 | 600 |
| Education, Department of | 3,198,876 | 3,619,286 | 3,571,776 | 3,625,426 | 3,689,557 |
| <i>School Aid</i> | 2,053,052 | 2,639,350 | 2,678,000 | 2,723,400 | 2,769,850 |
| <i>Special Education Categorical Programs</i> | 659,120 | 813,350 | 821,450 | 829,700 | 838,000 |
| <i>All Other</i> | 486,704 | 166,586 | 72,326 | 72,326 | 81,707 |
| Functional Total | 3,199,841 | 3,619,886 | 3,572,376 | 3,626,026 | 3,690,157 |
| GENERAL GOVERNMENT | | | | | |
| Elections, State Board of | 306 | 0 | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| General Services, Office of | 0 | 250 | 250 | 250 | 250 |
| State, Department of | 57,298 | 55,457 | 55,457 | 55,457 | 55,457 |
| Functional Total | <u>57,604</u> | <u>55,707</u> | <u>55,707</u> | <u>55,707</u> | <u>55,707</u> |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | <u>(361,032)</u> | <u>(425,834)</u> | <u>(425,834)</u> | <u>(425,834)</u> | <u>(382,834)</u> |
| Functional Total | <u>(361,032)</u> | <u>(425,834)</u> | <u>(425,834)</u> | <u>(425,834)</u> | <u>(382,834)</u> |
| TOTAL LOCAL ASSISTANCE GRANTS SPENDING | <u><u>41,629,217</u></u> | <u><u>45,450,666</u></u> | <u><u>46,007,037</u></u> | <u><u>46,545,960</u></u> | <u><u>46,843,623</u></u> |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 3,318 | 2,943 | 2,973 | 2,973 | 2,973 |
| Financial Services, Department of | 589 | 0 | 0 | 0 | 0 |
| Public Service Department | 1,485 | 1,202 | 1,202 | 1,202 | 1,202 |
| Functional Total | 5,392 | 4,145 | 4,175 | 4,175 | 4,175 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 27,266 | 27,764 | 27,759 | 27,759 | 27,759 |
| Parks, Recreation and Historic Preservation, Office of | 2,098 | 1,123 | 1,123 | 1,123 | 1,123 |
| Functional Total | 29,364 | 28,887 | 28,882 | 28,882 | 28,882 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 1,161 | 3,672 | 3,735 | 3,735 | 3,735 |
| Transportation, Department of | 3,903 | 4,656 | 5,387 | 5,387 | 5,387 |
| Functional Total | 5,064 | 8,328 | 9,122 | 9,122 | 9,122 |
| HEALTH | | | | | |
| Aging, Office for the | 5,392 | 5,997 | 7,100 | 7,100 | 7,100 |
| Health, Department of | 72,922 | 98,507 | 146,212 | 152,279 | 155,078 |
| <i>Medicaid Administration</i> | 24,910 | 40,779 | 59,833 | 65,880 | 68,673 |
| <i>Public Health</i> | 48,012 | 57,728 | 86,379 | 86,399 | 86,405 |
| Medicaid Inspector General, Office of the | 16,626 | 16,659 | 16,664 | 16,664 | 16,664 |
| Functional Total | 94,940 | 121,163 | 169,976 | 176,043 | 178,842 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 25,232 | 28,386 | 28,386 | 28,957 | 29,247 |
| <i>OCFS</i> | 25,232 | 28,386 | 28,386 | 28,957 | 29,247 |
| Housing and Community Renewal, Division of | 6,870 | 7,355 | 7,437 | 7,520 | 7,595 |
| Human Rights, Division of | 2,655 | 3,075 | 3,075 | 3,106 | 3,135 |
| Labor, Department of | 184,704 | 173,216 | 172,794 | 175,010 | 175,010 |
| National and Community Service | 309 | 362 | 362 | 373 | 377 |
| Temporary and Disability Assistance, Office of | 74,037 | 78,081 | 78,882 | 79,691 | 80,507 |
| <i>All Other</i> | 74,037 | 78,081 | 78,882 | 79,691 | 80,507 |
| Functional Total | 293,807 | 290,475 | 290,936 | 294,657 | 295,871 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 4,424 | 4,424 | 4,424 | 4,426 | 4,470 |
| <i>OASAS</i> | 4,424 | 4,424 | 4,424 | 4,426 | 4,470 |
| Developmental Disabilities Planning Council | 991 | 1,253 | 1,253 | 1,266 | 1,266 |
| Justice Center | 30 | 101 | 102 | 103 | 103 |
| Mental Health, Office of | 943 | 584 | 584 | 584 | 584 |
| <i>OMH</i> | 943 | 584 | 584 | 584 | 584 |
| Functional Total | 6,388 | 6,362 | 6,363 | 6,379 | 6,423 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 1,871 | 15,753 | 15,753 | 15,753 | 15,753 |
| Criminal Justice Services, Division of | 2,742 | 5,560 | 5,602 | 5,602 | 5,602 |
| Homeland Security and Emergency Services, Division of | 21,292 | 6,490 | 6,490 | 6,490 | 6,490 |
| Military and Naval Affairs, Division of | 27,171 | 22,206 | 21,993 | 21,993 | 21,993 |
| State Police, Division of | 10,567 | 7,000 | 7,000 | 7,000 | 7,000 |
| Victim Services, Office of | 1,009 | 1,657 | 1,658 | 1,658 | 1,658 |
| Functional Total | 64,652 | 58,666 | 58,496 | 58,496 | 58,496 |
| HIGHER EDUCATION | | | | | |
| Higher Education Services Corporation, New York State | 246 | 836 | 836 | 836 | 836 |
| State University of New York | 8,602 | 7,229 | 7,229 | 7,229 | 7,229 |
| Functional Total | 8,848 | 8,065 | 8,065 | 8,065 | 8,065 |
| EDUCATION | | | | | |
| Education, Department of | 83,101 | 85,799 | 84,486 | 84,486 | 84,486 |
| <i>School Aid</i> | 68 | 196 | 0 | 0 | 0 |
| <i>Special Education Categorical Programs</i> | 10,440 | 0 | 0 | 0 | 0 |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <i>All Other</i> | 72,593 | 85,603 | 84,486 | 84,486 | 84,486 |
| Functional Total | <u>83,101</u> | <u>85,799</u> | <u>84,486</u> | <u>84,486</u> | <u>84,486</u> |
| GENERAL GOVERNMENT | | | | | |
| Elections, State Board of | 0 | 80 | 0 | 0 | 0 |
| Prevention of Domestic Violence, Office for | 47 | 0 | 0 | 0 | 0 |
| State, Department of | 2,042 | 3,731 | 3,731 | 3,731 | 3,731 |
| Veterans' Affairs, Division of | 375 | 796 | 796 | 796 | 804 |
| Functional Total | <u>2,464</u> | <u>4,607</u> | <u>4,527</u> | <u>4,527</u> | <u>4,535</u> |
| ELECTED OFFICIALS | | | | | |
| Judiciary | 1,851 | 0 | 0 | 0 | 0 |
| Law, Department of | 17,385 | 19,775 | 19,775 | 19,777 | 19,781 |
| Functional Total | <u>19,236</u> | <u>19,775</u> | <u>19,775</u> | <u>19,777</u> | <u>19,781</u> |
| TOTAL PERSONAL SERVICE SPENDING | <u>613,256</u> | <u>636,272</u> | <u>684,803</u> | <u>694,609</u> | <u>698,678</u> |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 8,706 | 9,238 | 9,426 | 9,612 | 9,612 |
| Economic Development, Department of | 88 | 245 | 245 | 245 | 245 |
| Financial Services, Department of | 1,449 | 0 | 0 | 0 | 0 |
| Public Service Department | 177 | 40 | 40 | 40 | 40 |
| Functional Total | 10,420 | 9,523 | 9,711 | 9,897 | 9,897 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Adirondack Park Agency | 140 | 350 | 350 | 350 | 350 |
| Environmental Conservation, Department of | 14,273 | 17,126 | 17,126 | 17,126 | 17,126 |
| Parks, Recreation and Historic Preservation, Office of | 2,234 | 1,145 | 1,145 | 1,145 | 1,145 |
| Functional Total | 16,647 | 18,621 | 18,621 | 18,621 | 18,621 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 1,014 | 3,740 | 3,813 | 3,813 | 3,813 |
| Transportation, Department of | 1,969 | 2,760 | 2,802 | 2,811 | 2,811 |
| Functional Total | 2,983 | 6,500 | 6,615 | 6,624 | 6,624 |
| HEALTH | | | | | |
| Aging, Office for the | 1,575 | 936 | 940 | 940 | 940 |
| Health, Department of | 517,846 | 377,613 | 308,904 | 342,727 | 355,085 |
| <i>Medical Assistance</i> | (7,548) | 0 | 0 | 0 | 0 |
| <i>Medicaid Administration</i> | 189,156 | 281,841 | 275,560 | 307,833 | 319,440 |
| <i>Public Health</i> | 336,238 | 95,772 | 33,344 | 34,894 | 35,645 |
| Medicaid Inspector General, Office of the | 5,276 | 6,458 | 6,481 | 6,481 | 6,481 |
| Functional Total | 524,697 | 385,007 | 316,325 | 350,148 | 362,506 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 53,146 | 62,924 | 62,924 | 66,230 | 67,533 |
| <i>OCFS</i> | 53,146 | 62,924 | 62,924 | 66,230 | 67,533 |
| Housing and Community Renewal, Division of | 2,383 | 2,542 | 2,598 | 2,660 | 2,709 |
| Human Rights, Division of | 984 | 1,230 | 1,230 | 1,262 | 1,287 |
| Labor, Department of | 111,299 | 77,809 | 78,244 | 80,658 | 80,658 |
| National and Community Service | 16,700 | 13,860 | 13,860 | 14,969 | 15,268 |
| Temporary and Disability Assistance, Office of | 67,281 | 77,694 | 82,863 | 84,643 | 86,398 |
| <i>All Other</i> | 67,281 | 77,694 | 82,863 | 84,643 | 86,398 |
| Functional Total | 251,793 | 236,059 | 241,719 | 250,422 | 253,853 |
| MENTAL HYGIENE | | | | | |
| Alcoholism and Substance Abuse Services, Office of | 1,146 | 1,406 | 1,406 | 1,406 | 1,438 |
| <i>OASAS</i> | 1,146 | 1,406 | 1,406 | 1,406 | 1,438 |
| Developmental Disabilities Planning Council | 2,015 | 2,279 | 2,246 | 2,190 | 2,149 |
| Justice Center | 437 | 510 | 522 | 536 | 536 |
| Mental Health, Office of | 663 | 153 | 153 | 153 | 153 |
| <i>OMH</i> | 663 | 153 | 153 | 153 | 153 |
| People with Developmental Disabilities, Office for | 874 | 1,000 | 1,000 | 1,000 | 1,000 |
| <i>OPWDD</i> | 874 | 1,000 | 1,000 | 1,000 | 1,000 |
| Functional Total | 5,135 | 5,348 | 5,327 | 5,285 | 5,276 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 1,205 | 1,191 | 1,191 | 1,191 | 1,191 |
| Criminal Justice Services, Division of | 3,542 | 6,618 | 6,749 | 6,749 | 6,749 |
| Homeland Security and Emergency Services, Division of | 80,195 | 82,084 | 6,812 | 6,812 | 6,812 |
| Military and Naval Affairs, Division of | 14,056 | 12,254 | 12,254 | 12,254 | 12,254 |
| State Police, Division of | 9,014 | 11,000 | 11,000 | 11,000 | 11,000 |
| Victim Services, Office of | 156 | 502 | 512 | 512 | 512 |
| Functional Total | 108,168 | 113,649 | 38,518 | 38,518 | 38,518 |
| HIGHER EDUCATION | | | | | |
| City University of New York | 6,731 | 0 | 0 | 0 | 0 |
| Higher Education Services Corporation, New York State | 5,408 | 5,797 | 5,797 | 5,797 | 5,797 |
| State University of New York | 304,856 | 227,631 | 227,631 | 227,631 | 227,631 |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Functional Total | 316,995 | 233,428 | 233,428 | 233,428 | 233,428 |
| EDUCATION | | | | | |
| Arts, Council on the | 0 | 100 | 100 | 100 | 100 |
| Education, Department of | 103,981 | 139,565 | 62,562 | 62,562 | 62,562 |
| <i>School Aid</i> | 220 | 103 | 0 | 0 | 0 |
| <i>Special Education Categorical Programs</i> | 8,365 | 0 | 0 | 0 | 0 |
| <i>All Other</i> | 95,396 | 139,462 | 62,562 | 62,562 | 62,562 |
| Functional Total | 103,981 | 139,665 | 62,662 | 62,662 | 62,662 |
| GENERAL GOVERNMENT | | | | | |
| Elections, State Board of | 3,412 | 17,200 | 0 | 0 | 0 |
| General Services, Office of | 5,962 | 4,987 | 4,987 | 4,987 | 4,987 |
| Prevention of Domestic Violence, Office for | 12 | 0 | 0 | 0 | 0 |
| State, Department of | 756 | 4,039 | 4,039 | 4,039 | 4,039 |
| Taxation and Finance, Department of | 874 | 1,220 | 1,220 | 1,220 | 1,220 |
| Technology, Office for | 1,287 | 0 | 0 | 0 | 0 |
| Veterans' Affairs, Division of | 108 | 592 | 592 | 592 | 604 |
| Workers' Compensation Board | 6,216 | 3,624 | 3,624 | 3,624 | 3,624 |
| Functional Total | 18,627 | 31,662 | 14,462 | 14,462 | 14,474 |
| ELECTED OFFICIALS | | | | | |
| Judiciary | 3,263 | 7,000 | 6,500 | 6,500 | 6,500 |
| Law, Department of | 7,367 | 7,392 | 7,535 | 7,681 | 7,681 |
| Functional Total | 10,630 | 14,392 | 14,035 | 14,181 | 14,181 |
| ALL OTHER CATEGORIES | | | | | |
| Miscellaneous | 48 | 0 | 0 | 0 | 0 |
| Functional Total | 48 | 0 | 0 | 0 | 0 |
| TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING | <u>1,370,124</u> | <u>1,193,854</u> | <u>961,423</u> | <u>1,004,248</u> | <u>1,020,040</u> |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
| ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT | | | | | |
| Agriculture and Markets, Department of | 2,154 | 1,307 | 1,361 | 1,422 | 1,422 |
| Financial Services, Department of | 679 | 0 | 0 | 0 | 0 |
| Public Service Department | 1,061 | 684 | 700 | 720 | 720 |
| Functional Total | 3,894 | 1,991 | 2,061 | 2,142 | 2,142 |
| PARKS AND THE ENVIRONMENT | | | | | |
| Environmental Conservation, Department of | 14,170 | 11,017 | 11,035 | 11,053 | 11,073 |
| Parks, Recreation and Historic Preservation, Office of | 0 | 630 | 630 | 630 | 630 |
| Functional Total | 14,170 | 11,647 | 11,665 | 11,683 | 11,703 |
| TRANSPORTATION | | | | | |
| Motor Vehicles, Department of | 765 | 2,089 | 2,176 | 2,237 | 2,237 |
| Transportation, Department of | 2,417 | 2,419 | 2,917 | 3,172 | 3,340 |
| Functional Total | 3,182 | 4,508 | 5,093 | 5,409 | 5,577 |
| HEALTH | | | | | |
| Aging, Office for the | 0 | 0 | 22 | 22 | 22 |
| Health, Department of | 33,669 | 40,149 | 49,027 | 51,490 | 54,263 |
| <i>Public Health</i> | 33,669 | 40,149 | 49,027 | 51,490 | 54,263 |
| Medicaid Inspector General, Office of the | 9,164 | 9,349 | 9,340 | 9,340 | 9,340 |
| Functional Total | 42,833 | 49,498 | 58,389 | 60,852 | 63,625 |
| SOCIAL WELFARE | | | | | |
| Children and Family Services, Office of | 8,068 | 12,706 | 12,706 | 12,895 | 12,895 |
| <i>OCFS</i> | 8,068 | 12,706 | 12,706 | 12,895 | 12,895 |
| Housing and Community Renewal, Division of | 3,876 | 3,940 | 3,940 | 3,988 | 4,030 |
| Human Rights, Division of | 61 | 0 | 0 | 0 | 0 |
| Labor, Department of | 104,517 | 92,694 | 96,315 | 97,643 | 97,643 |
| Temporary and Disability Assistance, Office of | 39,575 | 44,973 | 44,973 | 44,973 | 44,973 |
| <i>All Other</i> | 39,575 | 44,973 | 44,973 | 44,973 | 44,973 |
| Functional Total | 156,097 | 154,313 | 157,934 | 159,499 | 159,541 |
| MENTAL HYGIENE | | | | | |
| Developmental Disabilities Planning Council | 560 | 668 | 701 | 744 | 785 |
| Justice Center | 32 | 54 | 57 | 63 | 64 |
| Mental Health, Office of | 548 | 313 | 332 | 348 | 367 |
| <i>OMH</i> | 548 | 313 | 332 | 348 | 367 |
| Functional Total | 1,140 | 1,035 | 1,090 | 1,155 | 1,216 |
| PUBLIC PROTECTION/CRIMINAL JUSTICE | | | | | |
| Correctional Services, Department of | 850 | 1,345 | 1,327 | 1,327 | 1,327 |
| Criminal Justice Services, Division of | 225 | 3,235 | 3,360 | 3,360 | 3,360 |
| Homeland Security and Emergency Services, Division of | 8,571 | 2,916 | 2,916 | 2,916 | 2,916 |
| Military and Naval Affairs, Division of | 16,266 | 7,932 | 8,321 | 8,737 | 9,208 |
| State Police, Division of | 975 | 1,500 | 1,500 | 1,500 | 1,500 |
| Victim Services, Office of | 16 | 372 | 372 | 372 | 372 |
| Functional Total | 26,903 | 17,300 | 17,796 | 18,212 | 18,683 |
| HIGHER EDUCATION | | | | | |
| Higher Education Services Corporation, New York State | 3 | 419 | 419 | 419 | 419 |
| State University of New York | 132 | 51 | 51 | 51 | 51 |
| Functional Total | 135 | 470 | 470 | 470 | 470 |
| EDUCATION | | | | | |
| Education, Department of | 46,997 | 50,758 | 49,907 | 49,907 | 49,907 |
| <i>School Aid</i> | 96 | 141 | 0 | 0 | 0 |
| <i>Special Education Categorical Programs</i> | 5,629 | 0 | 0 | 0 | 0 |
| <i>All Other</i> | 41,272 | 50,617 | 49,907 | 49,907 | 49,907 |
| Functional Total | 46,997 | 50,758 | 49,907 | 49,907 | 49,907 |
| GENERAL GOVERNMENT | | | | | |
| State, Department of | 1,150 | 2,709 | 2,792 | 2,792 | 2,792 |
| Veterans' Affairs, Division of | 205 | 350 | 350 | 350 | 350 |

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

| | <u>FY 2015 Results</u> | <u>FY 2016 Enacted</u> | <u>FY 2017 Projected</u> | <u>FY 2018 Projected</u> | <u>FY 2019 Projected</u> |
|---|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Functional Total | <u>1,355</u> | <u>3,059</u> | <u>3,142</u> | <u>3,142</u> | <u>3,142</u> |
| ELECTED OFFICIALS | | | | | |
| Judiciary | 237 | 0 | 0 | 0 | 0 |
| Law, Department of | <u>6,933</u> | <u>11,397</u> | <u>11,529</u> | <u>11,846</u> | <u>12,180</u> |
| Functional Total | <u>7,170</u> | <u>11,397</u> | <u>11,529</u> | <u>11,846</u> | <u>12,180</u> |
| TOTAL GENERAL STATE CHARGES SPENDING | <u><u>303,876</u></u> | <u><u>305,976</u></u> | <u><u>319,076</u></u> | <u><u>324,317</u></u> | <u><u>328,186</u></u> |

General Fund Transfers From Other Funds
(thousands of dollars)

| SFS Fund | Account Name | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| RBTF - Dedicated PIT in excess of Debt Service | | 8,658,516 | 10,215,301 | 10,439,680 | 10,934,688 | 11,005,992 |
| STBF - Sales Tax Bond Fund | | 2,939,875 | 2,965,952 | 2,998,770 | 3,022,488 | 3,047,616 |
| LGAC - Dedicated Sales Tax in excess of Debt Service | | 2,631,793 | 2,767,067 | 2,917,207 | 3,128,933 | 3,162,698 |
| CWCA - Real Estate Transfer Tax in excess of Debt Service | | 844,174 | 893,541 | 948,491 | 989,881 | 1,036,560 |
| Total All Other Transfers | | 866,018 | 1,298,632 | 739,735 | 739,556 | 724,457 |
| 339.22094 | Accident Prevention Course | 606 | 606 | 606 | 606 | 606 |
| 339.21982 | Administration Program Account | - | 2,602 | 1,301 | 1,301 | 1,301 |
| 339.22091 | Adult Home Quality Enhancement Account | 21 | 21 | 21 | 21 | 21 |
| 339.22033 | Alcohol Beverage Control | 837 | 1,096 | 1,096 | 1,096 | 1,096 |
| 339.22110 | Assisted Living Residence Quality Oversight Account | 9 | 9 | 9 | 9 | 9 |
| 339.22138 | Authority Budget Office | 6 | 45 | 45 | 45 | 45 |
| 339.22003 | Bell Jar Collection Account | 567 | 1 | 1 | 1 | 1 |
| 323.5502Y | Building Administration Account - Internal Service Fund | - | 50 | 25 | 25 | 25 |
| 339.219YL | Building Administration Account - Special Revenue Fund | - | 1,012 | 1,006 | 1,006 | 1,006 |
| 339.21977 | Business and Licensing Services Account | 36,331 | 92,397 | 41,951 | 41,196 | 41,196 |
| 339.22028 | Central Registry | 1,822 | 1,822 | 1,822 | 1,822 | 1,822 |
| 339.21920 | Certificate of Need Account | 1,086 | 3,086 | 1,086 | 1,086 | 1,086 |
| 346.22700 | Chemical Dependence Services | - | 292,888 | - | - | - |
| 377.23267 | CUNY Stabilization Account | - | 15,819 | - | - | - |
| 334.55055 | Civil Service Administration | 314 | 1,651 | 1,651 | 1,651 | 1,651 |
| 339.21962 | Clinical Laboratories Fee Account | - | 578 | 289 | 289 | 289 |
| S01.23702 | Commercial Gaming Regulation | - | 2 | 2 | 2 | 2 |
| 339.21966 | Consumer Food Industry Account | - | 552 | - | - | - |
| 339.21922 | Continuing Care Retirement Community Account | 2 | 2 | 2 | 2 | 2 |
| 331.50318 | Convention Center Account | - | 18 | 9 | 9 | 9 |
| 397.55350 | Correctional Industries | 357 | 357 | 357 | 357 | 357 |
| 339.21945 | Criminal Justice Improvement | 8,916 | 22,856 | 8,596 | 8,596 | 8,596 |
| 339.22042 | DED Marketing Account | 131 | 131 | 131 | 131 | 131 |
| 072.30050 | Dedicated Highway and Bridge Trust Fund - DMV | - | 25,192 | 25,247 | 25,247 | 25,247 |
| 072.30050 | Dedicated Highway and Bridge Trust Fund - DOT | 39,747 | 25,721 | 25,721 | 25,721 | 25,721 |
| 303.21203 | Department of Environmental Conservation Account | - | 1,261 | - | - | - |
| 323.55010 | Design and Construction Account | - | 866 | 433 | 433 | 433 |
| 339.22087 | DMV-Compulsory Insurance Fund | 8,368 | 12,718 | 9,923 | 9,766 | 9,766 |
| 339.21923 | DOL Fee Penalty | 8,372 | 8,372 | 8,372 | 8,372 | 8,372 |
| 366.23102 | Drinking Water Program Account | - | 2,216 | 1,108 | 1,108 | 1,108 |
| 061.20809 | Emergency Medical Services Training Account | - | 312 | 131 | 131 | 131 |
| 396.55301 | Employee Benefit Division Administration | 582 | 639 | 639 | 639 | 639 |
| 334.55056 | Employee Health Services Occupational Health Program | - | 8 | 8 | 8 | 8 |
| 301.21080 | Encon Magazine | 131 | - | - | - | - |
| 339.21959 | Environmental Laboratory Fee Account | - | 262 | 131 | 131 | 131 |
| 078.304CC | Environmental Protection Fund | - | 25,000 | - | - | - |
| 301.21081 | Environmental Regulatory Account | 2,028 | 1,692 | 1,692 | 1,692 | 1,692 |
| 339.22101 | EPIC Premium Account | 4 | - | - | - | - |
| 307.21351 | Equipment Loan Fund | 7 | 7 | 7 | 7 | 7 |
| 339.22065 | Examination and Miscellaneous Revenue | 2,379 | 1,961 | 1,961 | 1,961 | 1,961 |
| 323.550ZX | Executive Direction Program Fund | - | 115 | 110 | 110 | 110 |
| 267.25200 | Federal Education - DOH | - | 1,338 | 669 | 669 | 669 |
| 267.25200 | Federal Education - OCFS | 1,463 | 900 | 900 | 900 | 900 |
| 265.25100 | Federal HHS - AG&MKTS | - | 50 | 50 | 50 | 50 |
| 265.25100 | Federal HHS - AGING | - | 883 | 883 | 883 | 883 |
| 265.25100 | Federal HHS - DOH | - | 11,457 | 9,131 | 9,131 | 9,131 |
| 265.25100 | Federal HHS - OCFS | 15,207 | 8,390 | 8,390 | 8,390 | 8,390 |
| 265.25100 | Federal HHS - OMIG | - | 2,036 | 2,036 | 2,036 | 2,036 |
| 265.25100 | Federal HHS - OTDA | 121,750 | 82,933 | 82,933 | 82,933 | 82,933 |
| 301.21065 | Federal Indirect Recovery Account | - | 134 | 134 | 134 | 134 |
| 290.25300 | Federal Operating Grant - DHCR | - | 401 | 401 | 401 | 401 |
| 290.25300 | Federal Operating Grant - DOH | - | 204 | 102 | 102 | 102 |
| 290.25300 | Federal Operating Grant - DPS | - | 14 | 14 | 14 | 14 |
| 290.25300 | Federal Operating Grant - HSES | - | 1,600 | - | - | - |
| 290.25300 | Federal Operating Grant - STATE | - | 59 | 59 | 59 | 59 |
| 261.25000 | Federal USDA/FNS - AG&MKTS | 601 | 450 | 450 | 450 | 450 |
| 261.25000 | Federal USDA/FNS - DOH | - | 6,502 | 3,251 | 3,251 | 3,251 |
| 261.25000 | Federal USDA/FNS - OTDA | 53,259 | 30,100 | 30,100 | 30,100 | 30,100 |
| 339.21950 | Fingerprint Identification and Technology Account | 7,000 | 12,563 | 12,563 | 12,563 | 12,563 |
| 339.21904 | Fire Prevention and Code Enforcement Account | 12,610 | 14,810 | 14,810 | 14,810 | 14,810 |
| 339.21996 | Fire Protection | 13 | 13 | 13 | 13 | 13 |
| 339.22075 | Funeral Directing Account | 8 | 8 | 8 | 8 | 8 |
| 312.31500 | Hazardous Waste | 24,627 | 28,849 | 28,849 | 28,849 | 28,750 |
| 396.55300 | Health Insurance Revolving Fund | 2,801 | 3,428 | 3,428 | 3,428 | 3,428 |
| 339.22140 | Helen Hayes Hospital Account | - | 776 | 299 | 299 | 299 |
| 339.21960 | HESC Insurance Premium Payments Account | 10,931 | 15,317 | 15,317 | 15,317 | 15,317 |
| 345.22656 | SUNY Hospitals Debt Service | 59,282 | 42,170 | 38,564 | 38,564 | 38,564 |
| 339.22090 | Housing Indirect Cost Recovery Account | - | 201 | 201 | 201 | 201 |
| 345.22653 | SUNY Income Fund Revenues | 26,000 | 26,000 | 31,000 | 32,000 | 32,000 |
| 301.21060 | Indirect Charges Account | 863 | 863 | 863 | 863 | 863 |
| 339.22096 | Legal Services Assistance | 2,000 | 2,830 | 2,830 | 2,830 | 2,830 |
| 052.20501 | Local Government Records Management Improvement | 782 | 782 | 782 | 782 | 782 |
| 339.22097 | Local Public Health Services Account | 5 | 5 | 5 | 5 | 5 |
| 160.20902 | Lottery Administration | 4,040 | 4,066 | 4,066 | 4,066 | 4,066 |
| 339.2213 | Low Income Housing | 201 | - | - | - | - |
| 301.21066 | Low Level Radioactive Waste Account | - | 103 | 103 | 103 | 103 |
| 339.21909 | Mental Hygiene Patient Income Account | 32,033 | - | - | - | - |

General Fund Transfers From Other Funds
(thousands of dollars)

| SFS Fund | Account Name | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|-----------------|--|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| 169.60615 | Medicaid Recoveries Account | - | 3,700 | 3,700 | 3,700 | 3,700 |
| 339.21907 | Mental Hygiene Program Fund | 25,531 | - | - | - | - |
| 301.21084 | Mined Land Reclamation Account | 1,300 | - | - | - | - |
| 314.21452 | Mobile Source | - | 9,188 | 4,594 | 4,594 | 4,594 |
| 225.23651 | Mobility Tax Trust Fund | 793 | 5,400 | 5,400 | 5,400 | 5,400 |
| 339.22144 | Montrose Veteran's Home | - | 174 | 67 | 67 | 67 |
| 354.22802 | Motor Vehicle Enforcement | 100,800 | 100,800 | 100,800 | 100,800 | 100,800 |
| 339.21976 | Motorcycle Safety | 6 | 6 | 6 | 6 | 6 |
| 225.23652 | MTA Aid Trust | 51 | 225 | 225 | 225 | 225 |
| 354.22801 | Motor Vehicle Theft and Insurance Fraud Account | - | 300 | 300 | 300 | 300 |
| 339.22141 | New York City Veterans' Home Account | - | 214 | 107 | 107 | 107 |
| 339.22142 | New York State Home for Veterans Account | - | 437 | 119 | 119 | 119 |
| 339.22156 | NYC Rent Revenue | 115 | 115 | 115 | 115 | 115 |
| 339.22139 | Patient Safety | 28 | - | - | - | - |
| 339.22163 | Patron Services Account | 70 | 1,668 | 1,668 | 1,668 | 1,668 |
| 061.20816 | Pilot Health Insurance Account | - | 254 | 102 | 102 | 102 |
| 061.20814 | Primary Care Initiatives Account | - | 366 | 158 | 158 | 158 |
| 339.22051 | Professional Education Services | 2,777 | 2,777 | 2,777 | 2,777 | 2,777 |
| 339.22088 | Professional Medical Conduct Account | - | 582 | 291 | 291 | 291 |
| 050.20452 | Proprietary Vocational School Supervision Fund | 297 | 297 | 297 | 297 | 297 |
| 061.20815 | Provider Collection Monitoring Account | - | 1,409 | 674 | 674 | 674 |
| 339.22123 | Public Safety Communications Fund | 50,000 | 55,161 | 5,161 | 5,161 | 5,161 |
| 339.22011 | Public Service Account | 2,412 | 5,736 | 5,767 | 5,671 | 5,671 |
| 339.21915 | Quality of Care Improvement Account | 52,983 | 95,236 | - | - | - |
| 339.21965 | Radiological Health Protection Program Account | 216 | 216 | 216 | 216 | 216 |
| 339.21944 | Radiology | 1,350 | 1,350 | 1,350 | 1,350 | 1,350 |
| 339.21993 | Radon Detection Device Account | 2 | 2 | 2 | 2 | 2 |
| 339.22046 | Regulation of Indian Gaming Account | 327 | 329 | 329 | 329 | 329 |
| 339.22021 | Regulation of Manufactured Housing Account | 100 | 100 | 100 | 100 | 100 |
| 339.21912 | Regulation of Racing Account | 448 | 458 | 458 | 458 | 458 |
| 339.21900 | Reserve for Transaction Risks | - | (130,669) | (110,007) | (110,007) | (125,007) |
| 339.22024 | Revenue Arrearage | 22,908 | 22,925 | 18,840 | 18,677 | 18,677 |
| 323.550ZZ | Standards and Purchase Account - Internal Service Fund | - | 2,708 | 1,354 | 1,354 | 1,354 |
| 339.219YN | Standards and Purchase Account - Special Revenue Fund | 3,000 | 3,068 | 3,034 | 3,034 | 3,034 |
| 325.50050 | State Fair Receipts Account | 318 | - | - | - | - |
| 339.21902 | Statewide Planning and Research | 885 | 7,543 | 4,214 | 4,214 | 4,214 |
| 339.22162 | Systems & Technology | 5,066 | 5,442 | 5,328 | 5,320 | 5,320 |
| 339.21969 | Teacher Certification | 861 | 861 | 861 | 861 | 861 |
| 339.22055 | Traffic Adjudication Account | - | 4,576 | 2,288 | 2,288 | 2,288 |
| 339.21933 | Transportation Surplus Property | 803 | 1,803 | 803 | 803 | 803 |
| 339.22169 | Tribal - State Compact | 78,438 | 121,200 | 121,200 | 121,200 | 121,200 |
| 339.22044 | Tug Hill Administration Account | 10 | 10 | 10 | 10 | 10 |
| 050.20451 | Tuition Reimbursement Fund | 23 | 23 | 23 | 23 | 23 |
| 482.23601 | UI Special Interest & Penalty Account | 3,211 | 3,211 | 3,211 | 3,211 | 3,211 |
| 339.22172 | Underground Facilities Safety Training | 175 | 175 | 175 | 175 | 175 |
| 480.25900 | Unemployment Insurance Administration Fund | - | 50,569 | 50,569 | 50,569 | 50,569 |
| 339.22103 | Vital Records Management Account | 2,252 | 2,558 | 2,405 | 2,405 | 2,405 |
| 160.20903 | VLT Administration Account | 662 | 666 | 666 | 666 | 666 |
| 365.23051 | Vocational Rehabilitation Fund | 32 | 32 | 32 | 32 | 32 |
| 339.22150 | Weights and Measures Account | 30 | - | - | - | - |
| 339.21995 | Workers Compensation Board | 10,177 | 16,253 | 16,253 | 16,253 | 16,253 |
| 339.22186 | Youth Facility Per Diem Fund | 9,462 | 55,000 | 55,000 | 55,000 | 55,000 |
| | | 15,940,376 | 18,140,493 | 18,043,883 | 18,815,546 | 18,977,323 |

General Fund Transfers To Other Funds
(thousands of dollars)

| SFS Fund | Account Name | FY 2015 Results | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|--|---|--------------------|--------------------|----------------------|----------------------|----------------------|
| Transfers to State Share Medicaid | | 1,418,571 | 2,162,124 | 1,438,591 | 1,313,591 | 1,254,591 |
| Transfers to Debt Service Funds | | 1,296,992 | 885,773 | 1,242,482 | 1,422,116 | 1,210,278 |
| Transfers to Capital Projects Funds | | 1,264,383 | 5,947,359 | 1,844,267 | 2,071,725 | 2,295,468 |
| Transfers to SUNY University Operations | | 980,159 | 998,069 | 977,850 | 969,049 | 969,049 |
| Total All Other Transfers | | 3,641,028 | 4,283,342 | 4,441,505 | 4,868,739 | 5,232,874 |
| 339.22033 | Alcohol Beverage Control | 19,851 | 19,851 | 19,851 | 19,851 | 19,851 |
| 020.20143 | Alzheimer's Disease Research & Assistance | 278 | 250 | 250 | 250 | 250 |
| 334.55057 | Banking Service | 31,720 | 51,805 | 53,565 | 55,435 | 55,435 |
| 339.22032 | Batavia School for the Blind Fund | 900 | 900 | 900 | 900 | 900 |
| 020.20155 | Breast Cancer Research & Education | 472 | 650 | 650 | 650 | 650 |
| 334.55069 | Centralized Technology Services | 14,000 | 8,360 | 2,360 | 2,360 | 2,360 |
| 054.20601 | Charter Schools Stimulus Fund | 4,837 | 4,837 | 4,837 | 4,837 | 4,837 |
| 020.20100 | Combined Expendable Trust | - | 107,931 | 109,300 | 109,300 | 109,300 |
| 397.55350 | Correctional Industries | 11,500 | 10,500 | 10,500 | 10,500 | 10,500 |
| 340.22501 | Court Facilities Incentive Aid Fund | 116,793 | 107,000 | 107,000 | 107,000 | 107,000 |
| 073.20853 | Dedicated Mass Trust Fund | 5,013 | 5,013 | 5,013 | 5,013 | 5,013 |
| 319.40300 | DOH Income Fund | 15,495 | 16,079 | 16,079 | 16,079 | 16,079 |
| 160.20901 | Education - Lottery Funding | 29,357 | - | - | - | - |
| 339.22161 | Empire State Stem Cell Trust | 5,768 | - | - | - | - |
| 396.55301 | Employee Benefit Division Administration | - | 240 | 240 | 240 | 240 |
| 323.550ZX | Executive Direction Program Fund | 21,800 | 21,794 | 21,789 | 21,783 | 21,783 |
| 290.25300 | Federal Operating Grant - HSES | - | 36,000 | - | - | - |
| 339.22015 | Financial Crimes Revenue Fund | 14,300 | 14,300 | 14,300 | 14,300 | 14,300 |
| 396.55300 | Health Insurance Internal Service | 6,716 | 7,843 | 7,843 | 7,843 | 7,843 |
| 316.40250 | Housing Debt | 1,236 | 1,000 | 1,000 | 1,000 | 1,000 |
| 390.23551 | Indigent Legal Services | 33,442 | 35,000 | 35,000 | 35,000 | 35,000 |
| 339.22157 | Medicaid Income | 118 | - | - | - | - |
| 179.60901 | Medicaid Management Information System (MMIS) Escrow Fund | - | - | - | - | - |
| 502.23755 | Medical Marihuana Fund | - | 6,740 | 4,886 | 4,886 | 4,886 |
| 339.21909 | Mental Hygiene Patient Income Fund | 1,172,163 | 1,498,861 | 1,251,906 | 1,439,824 | 1,568,039 |
| 339.21907 | Mental Hygiene Program Fund | 1,331,920 | 1,476,209 | 1,899,804 | 2,136,620 | 2,304,726 |
| 313.21402 | Metropolitan Mass Transportation | 30,508 | 36,500 | 36,500 | 36,500 | 36,500 |
| 225.23651 | Mobility Tax Trust Fund | 331,726 | 335,213 | 335,593 | 335,940 | 336,294 |
| 368.23151 | NYC County Clerk Operations Offset Fund | 5,409 | 6,000 | 6,000 | 6,000 | 6,000 |
| 339.22211 | NYS Campaign Finance | - | - | 4,000 | 5,000 | 129,000 |
| 020.20183 | Prostate Cancer Research, Detection & Education | 180 | 150 | 150 | 150 | 150 |
| 313.21401 | Public Transportation Systems | 15,508 | 14,879 | 14,879 | 14,879 | 14,879 |
| 073.20852 | Railroad Account | 8,773 | 8,772 | 8,772 | 8,772 | 8,772 |
| 339.22171 | Recruitment Incentive and Retention | 2,087 | 2,087 | 2,087 | 2,087 | 2,087 |
| 339.22053 | Rome School for the Deaf Fund | 1,021 | 1,020 | 1,020 | 1,020 | 1,020 |
| 339.21987 | Spinal Cord Injury | 5,000 | 8,500 | 8,500 | 8,500 | 8,500 |
| 345.22656 | SUNY Hospital Operations | 87,764 | 87,864 | 87,864 | 87,864 | 87,864 |
| 345.22653 | SUNY Income Fund Revenues | - | - | 14,251 | 13,540 | - |
| 345.22654 | SUNY Income Offset Loan Repayment | 8,318 | 8,318 | 8,318 | 8,318 | 8,318 |
| 345.22656 | SUNY Medicaid Reimbursement | 217,771 | 294,000 | 294,000 | 294,000 | 251,000 |
| 339.22168 | Tax Revenue Arrearage | 3,000 | - | 3,000 | 3,000 | 3,000 |
| 073.20851 | Transit Authority | 48,876 | 48,876 | 48,876 | 48,876 | 48,876 |
| 160.20904 | Video Lottery Terminal - Education | 37,408 | - | - | - | - |
| 020.20128 | WB Hoyt Memorial | - | - | 622 | 622 | 622 |
| | | 8,601,133 | 14,276,667 | 9,944,695 | 10,645,220 | 10,962,260 |

**FY 2015 SPECIAL REVENUE FUND BALANCE SWEEPS
PURSUANT TO \$500 MILLION AUTHORIZATION
(in dollars)**

| <u>Sending Fund</u> | | <u>Receiving Fund</u> | <u>Transfer Amount</u> |
|---------------------|---|------------------------|------------------------|
| 345.22653 | SUNY General Income Fund Reimbursable Account | 003 General Fund | 4,000,000.00 |
| 339.22051 | Professional Education Services | 003 General Fund | 2,777,000.00 |
| 339.22103 | Vital Records Management Account | 003 General Fund | 2,252,000.00 |
| 301.21084 | Mined Land Reclamation Account | 003 General Fund | 1,300,000.00 |
| 339.21920 | Certificate of Need Account | 003 General Fund | 1,086,000.00 |
| 339.22162 | Systems & Technology | 003 General Fund | 904,000.00 |
| 339.21902 | Statewide Planning and Research | 003 General Fund | 885,000.00 |
| 339.21969 | Teacher Certification | 003 General Fund | 861,000.00 |
| 339.21933 | Transportation Surplus Property | 003 General Fund | 803,000.00 |
| 052.20501 | Local Government Records Management Improvement | 003 General Fund | 782,000.00 |
| 301.21060 | Indirect Charges Account | 003 General Fund | 523,000.00 |
| 050.20452 | Proprietary Vocational School Supervision Fund | 003 General Fund | 297,000.00 |
| 339.21965 | Radiological Health Protection Program Account | 003 General Fund | 216,000.00 |
| 301.21080 | ENCON Magazine Account | 003 General Fund | 131,000.00 |
| 339.22042 | DED Marketing Account | 003 General Fund | 131,000.00 |
| 339.22021 | Regulation of Manufactured Housing Account | 003 General Fund | 100,000.00 |
| 365.23051 | Vocational Rehabilitation Fund | 003 General Fund | 32,000.00 |
| 339.22150 | Weights and Measures Account | 003 General Fund | 30,000.00 |
| 339.22139 | Patient Safety | 003 General Fund | 28,418.39 |
| 050.20451 | Tuition Reimbursement Fund | 003 General Fund | 23,000.00 |
| 339.22091 | Adult Home Quality Enhancement Account | 003 General Fund | 21,000.00 |
| 339.21996 | Fire Protection | 003 General Fund | 13,000.00 |
| 339.22044 | Tug Hill Administration Account | 003 General Fund | 10,000.00 |
| 339.22110 | Assisted Living Residence Quality Oversight Account | 003 General Fund | 9,000.00 |
| 339.22075 | Funeral Directing Account | 003 General Fund | 8,000.00 |
| 339.22097 | Local Public Health Services Account | 003 General Fund | 5,000.00 |
| 339.22101 | EPIC Premium Account | 003 General Fund | 3,633.46 |
| 339.21922 | Continuing Care Retirement Community Account | 003 General Fund | 2,000.00 |
| 339.21993 | Radon Detection Device Account | 003 General Fund | 2,000.00 |
| | | Total Transfers | 17,235,052 |

**CASH COMBINING STATEMENT
GENERAL FUND
FY 2016
(millions of dollars)**

| | General Fund | Stabilization Reserve Fund | Tax | Contingency Reserve Fund | Community Projects Fund | Rainy Day Reserve Fund | Refund Reserve | General Reserve Fund | Eliminations | Total |
|---|--------------|----------------------------|-----|--------------------------|-------------------------|------------------------|----------------|----------------------|--------------|----------|
| Opening Fund Balance | 0 | 1,258 | 21 | 74 | 540 | 5,407 | 0 | 0 | 0 | 7,300 |
| Receipts: | | | | | | | | | | |
| Taxes | 45,780 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 45,780 |
| Miscellaneous Receipts | 4,365 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4,365 |
| Federal Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total receipts | 50,145 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 50,145 |
| Disbursements: | | | | | | | | | | |
| Grants to Local Governments | 44,356 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 44,356 |
| State Operations | 8,263 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8,263 |
| General State charges | 5,195 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5,195 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total disbursements | 57,814 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 57,814 |
| Other financing sources (uses): | | | | | | | | | | |
| Transfers from Other Funds | 49,430 | 0 | 0 | 0 | 0 | 512 | 6,442 | (38,244) | 18,140 | 18,140 |
| Transfers to Other Funds | (41,761) | 0 | 0 | 0 | 0 | (5,359) | (5,400) | 38,244 | (14,276) | (14,276) |
| Bond and Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net other financing sources (uses) | 7,669 | 0 | 0 | 0 | 0 | (4,847) | 1,042 | 0 | 3,864 | 3,864 |
| Change in Fund Balance | 0 | 0 | 0 | 0 | 0 | (4,847) | 1,042 | 0 | (3,805) | (3,805) |
| Closing Fund Balance | 0 | 1,258 | 21 | 74 | 540 | 560 | 1,042 | 0 | 0 | 3,495 |

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2016**

(thousands of dollars)

| | 019 | 020 | 023 | 024 | 025 | 050 | 052 | 053 | 054 | 059 | 061 | 073 | 160 |
|---|--------|-----------|-----------|-------------|-----------|-------|-----------|-----------|----------|---------|-----------|---------|-----------|
| Opening Fund Balance | 2,209 | 66,717 | 10,692 | 16 | 62 | 5,371 | 2,615 | 1 | 5,668 | 0 | 14,127 | 62,728 | 25,888 |
| Receipts: | | | | | | | | | | | | | |
| Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,383,279 | 0 | 0 | 911,000 | 458,700 | 0 |
| Miscellaneous Receipts | 142 | (86,525) | 11,000 | 290 | 65 | 4,205 | 9,233 | 0 | 0 | 0 | 4,593,573 | 134,206 | 3,333,381 |
| Federal Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Receipts | 142 | (86,525) | 11,000 | 290 | 65 | 4,205 | 9,233 | 3,383,279 | 0 | 0 | 5,504,573 | 592,906 | 3,333,381 |
| Disbursements: | | | | | | | | | | | | | |
| Grants to Local Governments | 0 | 6,504 | 8,500 | 0 | 0 | 0 | 5,056 | 3,382,279 | 4,837 | 0 | 5,301,013 | 656,028 | 3,169,000 |
| State Operations | 144 | 7,890 | 1,254 | 420 | 247 | 2,456 | 2,139 | 0 | 0 | 0 | 46,756 | 0 | 129,821 |
| General State Charges | 0 | 961 | 466 | 190 | 131 | 1,182 | 975 | 0 | 0 | 0 | 4,651 | 0 | 11,703 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Disbursements | 144 | 16,355 | 10,220 | 610 | 378 | 3,638 | 8,170 | 3,382,279 | 4,837 | 0 | 5,352,420 | 656,028 | 3,310,524 |
| Other Financing Sources (Uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 108,981 | 0 | 300 | 300 | 0 | 0 | 0 | 4,837 | 0 | 0 | 62,661 | 0 |
| Transfers to Other Funds | 0 | 0 | 0 | (8) | 0 | (562) | (1,383) | 0 | 0 | 0 | (166,273) | 0 | (4,732) |
| Bond & Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | 0 | 108,981 | 0 | 292 | 300 | (562) | (1,383) | 0 | 4,837 | 0 | (166,273) | 62,661 | (4,732) |
| Change in Fund Balance | (2) | 6,101 | 780 | (28) | (13) | 5 | (320) | 1,000 | 0 | 0 | (14,120) | (461) | 18,125 |
| Closing Fund Balance | 2,207 | 72,818 | 11,472 | 12 | 49 | 5,376 | 2,295 | 1,001 | 5,668 | 0 | 7 | 62,267 | 44,013 |
| Opening Fund Balance | 11,386 | 29,444 | 9,869 | 283,398 | 704 | 0 | (114,528) | (765) | (20,823) | 86,229 | 15,629 | 4,223 | 9,735 |
| Receipts: | | | | | | | | | | | | | |
| Taxes | 0 | 1,478,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Miscellaneous Receipts | 22,314 | 180,690 | 0 | 38,732 | 0 | 0 | 173 | 3,700 | 83,251 | 48,512 | 53,200 | 47,716 | 9,000 |
| Federal Grants | 650 | 0 | 2,099,362 | 41,065,384 | 3,288,267 | 0 | 2,678,897 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Receipts | 22,964 | 1,658,690 | 2,099,362 | 41,104,116 | 3,288,267 | 0 | 2,679,070 | 3,700 | 83,251 | 48,512 | 53,200 | 47,716 | 9,000 |
| Disbursements: | | | | | | | | | | | | | |
| Grants to Local Governments | 0 | 1,976,150 | 1,993,066 | 38,228,544 | 2,718,950 | 0 | 2,351,989 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Operations | 23,213 | 0 | 57,566 | 715,145 | 501,376 | 0 | 305,176 | 3,645 | 71,115 | 38,210 | 25,813 | 33,684 | 10,700 |
| General State Charges | 0 | 0 | 11,414 | 105,190 | 54,582 | 0 | 42,096 | 0 | 22,439 | 14,562 | 6,730 | 12,862 | 200 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Disbursements | 23,213 | 1,976,150 | 2,062,046 | 39,048,879 | 3,274,908 | 0 | 2,699,261 | 3,645 | 93,554 | 52,772 | 32,543 | 46,546 | 10,900 |
| Other Financing Sources (Uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 335,213 | 0 | 0 | 0 | 0 | 36,000 | 0 | 19,624 | 75 | 19,858 | 0 | 0 |
| Transfers to Other Funds | 0 | (5,625) | (37,343) | (2,045,917) | (13,338) | 0 | (16,760) | (78) | (8,606) | (2,128) | (37,771) | 0 | 0 |
| Bond & Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | (249) | 12,128 | (37,343) | (2,045,917) | (13,338) | 0 | 19,240 | (78) | 11,018 | (2,053) | (17,913) | 0 | 0 |
| Change in Fund Balance | 11,137 | 41,572 | 9,842 | 292,718 | 725 | 0 | (115,479) | (788) | (20,108) | 79,916 | 18,373 | 5,393 | 7,835 |

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2016**

(thousands of dollars)

| | 307 | 313 | 314 | 318 | 321 | 330 | 332 | 333 | 338 | 339 | 340 | 341 | 345 |
|---|-----------|-----------|-----------|------|--------|-----------|---------|------|---------|-------------|---------|----------|-----------|
| Opening Fund Balance | 499 | 160,838 | (20,253) | 67 | 10,893 | 174,930 | 3,488 | 0 | 818 | 849,521 | 12,463 | 49 | 689,099 |
| Receipts: | | | | | | | | | | | | | |
| Taxes | 0 | 2,142,850 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Miscellaneous Receipts | 63 | 21,400 | 43,200 | 0 | 1,719 | 338,158 | 115 | 75 | 60 | 1,195,430 | 0 | 0 | 4,299,629 |
| Federal Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 89 | 0 | 0 | 0 |
| Total Receipts | 63 | 2,164,250 | 43,200 | 0 | 1,719 | 338,158 | 115 | 75 | 60 | 1,195,519 | 0 | 0 | 4,299,629 |
| Disbursements: | | | | | | | | | | | | | |
| Grants to Local Governments | 0 | 2,116,498 | 0 | 0 | 0 | 0 | 0 | 0 | 98 | 1,965,319 | 104,200 | 0 | 0 |
| State Operations | 82 | 3,759 | 26,430 | 0 | 950 | 0 | 59 | 75 | 0 | 4,148,016 | 1,900 | 0 | 5,413,793 |
| General State Charges | 0 | 1,678 | 11,090 | 0 | 0 | 0 | 0 | 0 | 0 | 1,651,966 | 800 | 0 | 365,843 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Disbursements | 82 | 2,121,935 | 37,520 | 0 | 950 | 0 | 59 | 75 | 98 | 7,765,301 | 106,900 | 0 | 5,779,636 |
| Other Financing Sources (Uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 51,379 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8,528,271 | 107,000 | 0 | 1,798,095 |
| Transfers to Other Funds | (7) | (141,548) | (9,188) | 0 | 0 | (348,162) | 0 | 0 | 0 | (2,009,305) | (1,307) | 0 | (114,804) |
| Bond & Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | (7) | (90,169) | (9,188) | 0 | 0 | (348,162) | 0 | 0 | 0 | 6,518,966 | 105,693 | 0 | 1,683,291 |
| Change in Fund Balance | (26) | (47,854) | (3,508) | 0 | 769 | (10,004) | 56 | 0 | (38) | (50,816) | (1,207) | 0 | 203,284 |
| Closing Fund Balance | 473 | 112,984 | (23,761) | 67 | 11,662 | 164,926 | 3,544 | 0 | 780 | 798,705 | 11,256 | 49 | 892,383 |
| Opening Fund Balance | 17,178 | 372 | 18,818 | 219 | 23 | 10,631 | (6,417) | 140 | (5,516) | (50,130) | 3,568 | 133,754 | 102 |
| Receipts: | | | | | | | | | | | | | |
| Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Miscellaneous Receipts | 305,416 | 1,208 | 114,602 | 160 | 0 | 900 | 3,068 | 100 | 6,788 | 26,600 | 29,000 | 84,345 | 85 |
| Federal Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Receipts | 305,416 | 1,208 | 114,602 | 160 | 0 | 900 | 3,068 | 100 | 6,788 | 26,600 | 29,000 | 84,345 | 85 |
| Disbursements: | | | | | | | | | | | | | |
| Grants to Local Governments | 11,970 | 0 | 4,237 | 0 | 0 | 852 | 0 | 20 | 0 | 0 | 0 | 0 | 0 |
| State Operations | 518 | 946 | 9,244 | 155 | 0 | 0 | 3,449 | 25 | 4,279 | 23,200 | 22,800 | 84,363 | 75 |
| General State Charges | 0 | 384 | 85 | 47 | 0 | 0 | 0 | 0 | 2,059 | 10,500 | 8,400 | 6,000 | 0 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Disbursements | 12,488 | 1,330 | 13,566 | 202 | 0 | 852 | 3,449 | 45 | 6,338 | 33,700 | 31,200 | 90,363 | 75 |
| Other Financing Sources (Uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6,000 | 0 | 0 | 0 |
| Transfers to Other Funds | (292,888) | 0 | (101,100) | 0 | 0 | 0 | 0 | (32) | (2,216) | 0 | 0 | (15,819) | 0 |
| Bond & Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | (292,888) | 0 | (101,100) | 0 | 0 | 0 | 0 | (32) | (2,216) | 6,000 | 0 | (15,819) | 0 |
| Change in Fund Balance | 40 | (122) | (64) | (42) | 0 | 48 | (381) | 23 | (1,756) | (1,100) | (2,200) | (21,837) | 10 |
| Closing Fund Balance | 17,218 | 250 | 18,754 | 177 | 23 | 10,679 | (6,798) | 163 | (7,282) | (51,230) | 1,368 | 111,917 | 112 |

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2016**
(thousands of dollars)

| | 390 | 480 | 482 | 484 | 486 | S01 | S02 | SRO | SRE | Sub Total | Eliminations | Financial Plan |
|---|----------------|-----------------|----------------|--------------|----------------|----------------|--------------|----------|----------|-------------------|--------------|-------------------|
| Opening Fund Balance | 122,930 | 11,642 | 14,862 | 1,285 | (4,203) | (1,992) | 0 | 0 | 0 | 2,661,273 | 0 | 2,661,273 |
| Receipts: | | | | | | | | | | | | |
| Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8,372,829 | 0 | 8,372,829 |
| Miscellaneous Receipts | 78,000 | 58,000 | 9,600 | 0 | 0 | 171,000 | 0 | 0 | 0 | 15,275,579 | 0 | 15,275,579 |
| Federal Grants | 0 | 317,697 | 0 | 7,987 | 168,559 | 0 | 0 | 0 | 0 | 49,626,892 | 0 | 49,626,892 |
| Total Receipts | 78,000 | 375,697 | 9,600 | 7,987 | 168,559 | 171,000 | 0 | 0 | 0 | 73,275,300 | 0 | 73,275,300 |
| Disbursements: | | | | | | | | | | | | |
| Grants to Local Governments | 65,000 | 10,000 | 0 | 7,987 | 140,130 | 171,000 | 0 | 0 | 0 | 64,400,227 | 0 | 64,400,227 |
| State Operations | 27,489 | 227,931 | 2,685 | 0 | 22,932 | 3,346 | 6,645 | 0 | 0 | 12,010,916 | 0 | 12,010,916 |
| General State Charges | 528 | 87,197 | 932 | 0 | 5,497 | 1,220 | 95 | 0 | 0 | 2,444,655 | 0 | 2,444,655 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,000 | 0 | 1,000 |
| Total Disbursements | 93,017 | 325,128 | 3,617 | 7,987 | 168,559 | 175,566 | 6,740 | 0 | 0 | 78,856,798 | 0 | 78,856,798 |
| Other Financing Sources (Uses): | | | | | | | | | | | | |
| Transfers from Other Funds | 35,000 | 0 | 0 | 0 | 0 | 0 | 6,740 | 0 | 0 | 11,120,334 | (2,373,285) | 8,747,049 |
| Transfers to Other Funds | 0 | (50,569) | (3,211) | 0 | 0 | (2) | 0 | 0 | 0 | (5,431,682) | 2,373,285 | (3,058,397) |
| Bond & Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | 35,000 | (50,569) | (3,211) | 0 | 0 | (2) | 6,740 | 0 | 0 | 5,688,652 | 0 | 5,688,652 |
| Change in Fund Balance | 19,983 | 0 | 2,772 | 0 | 0 | (4,568) | 0 | 0 | 0 | 108,154 | 0 | 108,154 |
| Closing Fund Balance | 142,913 | 11,642 | 17,634 | 1,285 | (4,203) | (6,560) | 0 | 0 | 0 | 2,769,427 | 0 | 2,769,427 |

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2016
(thousands of dollars)

| Fund Account | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|----------------------------|-----------------|-------|----------------|----------------|---------------|----------------|----------------|-------|-----|-------|----------------|-------------|------|------|---------|--------------|-------------|-----------------|
| 019.201000-Went Hvg Gifts | 2,207 | 0 | 142 | 0 | 0 | 0 | 142 | 0 | 0 | 144 | 0 | 0 | 0 | 0 | 0 | 0 | 144 | 2,205 |
| 020.20100-Combined Exp Tr | (35) | 0 | (107,931) | 0 | 0 | 107,931 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (35) |
| 020.20101-Planting Fields | 1,462 | 0 | 350 | 0 | 0 | 0 | 350 | 0 | 216 | 48 | 7 | 0 | 127 | 0 | 0 | 0 | 398 | 1,414 |
| 020.20103-Chambers Restor | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 020.20105-Animal Disease | 51 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 51 |
| 020.20107-DOCS Gift & Don | 63 | 0 | 5 | 0 | 0 | 0 | 5 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 63 |
| 020.20109-Helen Hayes Hsp | 33 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 35 | 0 | 0 | 0 | 0 | 0 | 0 | 35 | (2) |
| 020.20110-Oxford Donation | 228 | 0 | 166 | 0 | 0 | 0 | 166 | 0 | 0 | 50 | 0 | 0 | 0 | 0 | 0 | 0 | 50 | 344 |
| 020.20111-Donat-St.Albans | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| 020.20112-CVB Gifts & Beq | 57 | 0 | 5 | 0 | 0 | 0 | 5 | 0 | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 54 |
| 020.20113-Donations-Bataw | 11 | 0 | 19 | 0 | 0 | 0 | 19 | 0 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 20 |
| 020.20114-Montrose Donat | 156 | 0 | 12 | 0 | 0 | 0 | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 168 |
| 020.20116-IBR Genetic Cou | 0 | 0 | 108 | 0 | 0 | 0 | 108 | 0 | 0 | 108 | 0 | 0 | 0 | 0 | 0 | 0 | 108 | 0 |
| 020.20118-Tech Transfer | 23 | 0 | 50 | 0 | 0 | 0 | 50 | 0 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 48 |
| 020.20120-Spec Events | 556 | 0 | 1,012 | 0 | 0 | 0 | 1,012 | 0 | 0 | 874 | 0 | 0 | 0 | 0 | 0 | 0 | 874 | 694 |
| 020.20123-L.M. Josephthal | 50 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 50 |
| 020.20124-OSC Misc Grant | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 |
| 020.20126-NYSCB Ven Stand | 1,041 | 0 | 814 | 0 | 0 | 0 | 814 | 0 | 43 | 470 | 0 | 0 | 729 | 0 | 0 | 0 | 1,242 | 613 |
| 020.20127-DMINA Military | 12 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 12 |
| 020.20128-WB Hoyt Memoria | 2,345 | 0 | 0 | 0 | 0 | 0 | 0 | 750 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 750 | 1,595 |
| 020.20129-NYSCB Gift & Beq | 201 | 0 | 5 | 0 | 0 | 0 | 5 | 0 | 0 | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 15 | 191 |
| 020.20130-St. Transm Money | 19,359 | 0 | 8,000 | 0 | 0 | 0 | 8,000 | 0 | 0 | 930 | 0 | 0 | 0 | 0 | 0 | 0 | 930 | 26,429 |
| 020.20142-Youth Grants & | 272 | 0 | 387 | 0 | 0 | 0 | 387 | 0 | 41 | 370 | 0 | 0 | 17 | 0 | 0 | 0 | 428 | 231 |
| 020.20143-Alzheimers Dis | 2,318 | 0 | 175 | 0 | 0 | 250 | 425 | 0 | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 1,000 | 1,743 |
| 020.20144-Local Gov Comm | 142 | 0 | 12 | 0 | 0 | 0 | 12 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 147 |
| 020.20147-Prostate/Testic | 1 | 0 | 40 | 0 | 0 | 0 | 40 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 41 |
| 020.20149-Autism Aware & | 63 | 0 | 10 | 0 | 0 | 0 | 10 | 0 | 0 | 20 | 0 | 0 | 0 | 0 | 0 | 0 | 20 | 53 |
| 020.20150-Emergency Serv | 12,350 | 0 | 2,688 | 0 | 0 | 0 | 2,688 | 3,101 | 127 | 93 | 4 | 0 | 73 | 0 | 0 | 0 | 3,398 | 11,640 |
| 020.20151-Batavia-Charlot | 335 | 0 | 20 | 0 | 0 | 0 | 20 | 0 | 0 | 23 | 0 | 0 | 0 | 0 | 0 | 0 | 23 | 332 |
| 020.20152-Rome-Gifts And | 73 | 0 | 20 | 0 | 0 | 0 | 20 | 0 | 0 | 19 | 0 | 0 | 0 | 0 | 0 | 0 | 19 | 74 |
| 020.20155-Br. Can Res & Ed | 7,892 | 0 | 540 | 0 | 0 | 650 | 1,190 | 0 | 0 | 1,277 | 0 | 0 | 0 | 0 | 0 | 0 | 1,277 | 7,805 |
| 020.20159-Community Relat | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 020.20162-Disab Tech Asst | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 |
| 020.20165-DMINA Youth Prog | 97 | 0 | 5 | 0 | 0 | 0 | 5 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 97 |
| 020.20166-Erie Canal Muse | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 |
| 020.20167-Grants and Bequ | 8 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 7 |
| 020.20174-Life Pass It on | 960 | 0 | 400 | 0 | 0 | 0 | 400 | 0 | 0 | 200 | 0 | 0 | 0 | 0 | 0 | 0 | 200 | 1,160 |
| 020.20176-Misc. Gifts Acc | 8,498 | 0 | 4,000 | 0 | 0 | 0 | 4,000 | 0 | 250 | 1,000 | 0 | 0 | 0 | 0 | 1,000 | 0 | 2,250 | 10,248 |
| 020.20178-Multiple Sclero | (5) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (5) |
| 020.20182-Parole Ofcr Mem | 43 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 43 |
| 020.20183-Prostate Cancer | 4,285 | 0 | 200 | 0 | 0 | 150 | 350 | 1,653 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,653 | 2,982 |
| 020.20185-Percy T Phillip | 40 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 |
| 020.20192-Missing Children | 656 | 0 | 407 | 0 | 0 | 0 | 407 | 0 | 256 | 142 | 0 | 0 | 0 | 0 | 0 | 0 | 398 | 665 |
| 020.20199-HESC Gifts Dona | 524 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 524 |
| 020.20184-DFY Rec & Welfr | (3) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (3) |
| 020.20188-DAAA Grnts And | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 020.20189-Human Rghts Dis | (3) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (3) |
| 020.20191-Women Vet Monum | 150 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 150 |
| 020.20192-Ford Foundation | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 020.20193-CCF Grts & Beqs | 142 | 0 | 107 | 0 | 0 | 0 | 107 | 0 | 13 | 80 | 1 | 0 | 15 | 0 | 0 | 0 | 109 | 140 |
| 020.20194-OMH Grant & Beq | 922 | 0 | 20 | 0 | 0 | 0 | 20 | 0 | 0 | 21 | 0 | 0 | 0 | 0 | 0 | 0 | 21 | 921 |
| 020.20195-RPMI Schoellkop | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 020.20196-DCJS - MUNY Pol | 1 | 0 | 25 | 0 | 0 | 0 | 25 | 0 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 1 |
| 020.20197-Aging Grants An | (1) | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | (1) |
| 020.20198-RW Johnson Foun | (5) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (5) |

CASH REVENUE STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2016

(thousands of dollars)

| Fund Account | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|----------------------------|-----------------|-----------|----------------|----------------|---------------|----------------|----------------|-----------|--------|---------|----------------|-------------|-------|------|---------|--------------|-------------|-----------------|
| 020.201XX-Grants Account | 909 | 0 | 1,500 | 0 | 0 | 0 | 1,500 | 1,000 | 0 | 67 | 0 | 0 | 0 | 0 | 0 | 0 | 1,067 | 1,342 |
| 020.201XX-S U Restrict Cur | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 |
| 020.201ZS-Grants | 230 | 0 | 300 | 0 | 0 | 0 | 300 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 530 |
| 020.201ZZ-Donated Funds | (3) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (3) |
| 020.20201-Veterans Rem Ce | 137 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 137 |
| 023.20300-N Y Int Lawyers | 10,691 | 0 | 11,000 | 0 | 0 | 0 | 11,000 | 8,500 | 647 | 554 | 53 | 0 | 466 | 0 | 0 | 0 | 10,220 | 11,471 |
| 024.20350-NYS Archvs Pine | 16 | 0 | 290 | 0 | 0 | 300 | 590 | 0 | 292 | 119 | 9 | 0 | 190 | 0 | 0 | 8 | 618 | (12) |
| 025.20401-Child Performer | 65 | 0 | 65 | 0 | 0 | 300 | 365 | 0 | 232 | 9 | 6 | 0 | 131 | 0 | 0 | 0 | 378 | 52 |
| 050.20451-Tuition Reimb | 3,612 | 0 | 705 | 0 | 0 | 0 | 705 | 0 | 0 | 0 | 0 | 0 | 225 | 0 | 0 | 23 | 248 | 4,069 |
| 050.20452-Voc School Supe | 1,760 | 0 | 3,500 | 0 | 0 | 0 | 3,500 | 0 | 1,713 | 700 | 43 | 0 | 957 | 0 | 0 | 539 | 3,952 | 1,308 |
| 052.20501-Loc Govt Record | 2,614 | 0 | 9,233 | 0 | 0 | 0 | 9,233 | 5,056 | 1,745 | 350 | 44 | 0 | 975 | 0 | 0 | 1,383 | 9,553 | 2,294 |
| 053.20550-Sch Tax Relief | 0 | 3,382,279 | 0 | 0 | 0 | 0 | 3,382,279 | 3,382,279 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,382,279 | 0 |
| 054.20601-Chtr Sch Sti Ac | 5,667 | 0 | 0 | 0 | 0 | 4,837 | 4,837 | 4,837 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4,837 | 5,667 |
| 056.20701-Greenway Commun | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 056.20702-Greenway Herit | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 059.20751-Alcohol&Subst A | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 061.20800-LTC Ins Res Acc | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 061.20801-Tobacco Cntr & | 656 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,637 | 161 | 42 | 0 | 1,246 | 0 | 0 | 0 | 3,086 | (2,430) |
| 061.20802-Health Care Srv | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 061.20803-Medicaid Fraud | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 061.20804-Medical Assist. | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 3,601,417 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,601,417 | (3,601,416) |
| 061.20805-Enhanced Com | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 061.20807-HCRA Program | 2,452 | 0 | 0 | 0 | 0 | 0 | 0 | 435,298 | 0 | 12,500 | 0 | 0 | 0 | 0 | 0 | 0 | 447,798 | (445,346) |
| 061.20808-HCRA Transition | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 061.20809-EWS Training | 866 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,527 | 12,118 | 62 | 0 | 1,418 | 0 | 0 | 312 | 16,437 | (15,571) |
| 061.20810-Child Health In | 5,354 | 0 | 0 | 0 | 0 | 0 | 0 | 356,280 | 987 | 2,994 | 36 | 0 | 553 | 0 | 0 | 153,932 | 360,850 | (355,496) |
| 061.20811-HCRA Undistrib | 3,105 | 911,000 | 4,545,795 | 0 | 0 | 0 | 5,456,795 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 153,932 | 5,305,968 |
| 061.20812-Hospital Based | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 061.20813-Ad Home Res Co | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 60 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 60 | (30) |
| 061.20814-Primary Care In | 231 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 98 | 0 | 5 | 0 | 55 | 0 | 0 | 366 | 524 | (293) |
| 061.20815-Prev Coll Monit | 373 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 589 | 10 | 24 | 0 | 330 | 0 | 0 | 1,409 | 2,362 | (1,989) |
| 061.20816-Pilot Health In | 212 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 254 | 254 | (42) |
| 061.20817-Indigent Care | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 781,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10,000 | 791,500 | (791,501) |
| 061.20818-EPIC Premium | 307 | 0 | 47,778 | 0 | 0 | 0 | 47,778 | 126,458 | 1,062 | 10,342 | 33 | 0 | 596 | 0 | 0 | 0 | 138,491 | (90,406) |
| 061.20819-Health Occup De | 446 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 430 | 700 | 10 | 0 | 241 | 0 | 0 | 0 | 1,381 | (935) |
| 061.20820-Waterrn & Ch HIV | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 061.20821-Health Care Del | 95 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 379 | 2 | 8 | 0 | 212 | 0 | 0 | 0 | 601 | (506) |
| 073.20851-Transit Authori | 38,213 | 357,816 | 104,044 | 0 | 0 | 48,876 | 510,736 | 511,585 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 511,585 | 37,364 |
| 073.20852-Railroad Account | 6,785 | 64,208 | 18,224 | 0 | 0 | 8,772 | 91,204 | 90,427 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 90,427 | 7,562 |
| 073.20853-DWTF | 17,723 | 36,676 | 11,938 | 0 | 0 | 5,013 | 53,627 | 54,016 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 54,016 | 17,334 |
| 160.20901-Education - New | 0 | 0 | 2,219,000 | 0 | 0 | 0 | 2,219,000 | 2,219,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,219,000 | 0 |
| 160.20902-Lottery Adm New | 24,883 | 0 | 152,538 | 0 | 0 | 0 | 152,538 | 0 | 17,195 | 107,319 | 489 | 0 | 9,803 | 0 | 0 | 4,066 | 138,872 | 38,549 |
| 160.20903-VLT - Admin | 1,001 | 0 | 11,843 | 0 | 0 | 0 | 11,843 | 0 | 3,335 | 1,388 | 95 | 0 | 1,900 | 0 | 0 | 666 | 7,384 | 5,460 |
| 160.20904-VLT - Education | 4 | 0 | 950,000 | 0 | 0 | 0 | 950,000 | 950,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 950,000 | 4 |
| 221.20950-Comb Student Ln | 11,387 | 0 | 22,314 | 650 | 0 | 0 | 22,964 | 0 | 0 | 23,213 | 0 | 0 | 0 | 0 | 0 | 0 | 23,213 | 11,138 |
| 225.23651-Mobility Tax Tr | 19,434 | 1,346,000 | 9,600 | 0 | 0 | 335,213 | 1,690,813 | 1,673,150 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5,400 | 1,678,550 | 31,697 |
| 225.23652-MTA Aid Trust | 7,570 | 132,000 | 171,090 | 0 | 0 | 0 | 303,090 | 303,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 225 | 303,225 | 7,435 |
| 300.21002-Encon Admin Acc | (788) | 0 | 3,700 | 0 | 0 | 0 | 3,700 | 0 | 3,636 | 9 | 0 | 0 | 0 | 0 | 0 | 78 | 3,723 | (791) |
| 301.21051-EnCon Energy Ef | 160 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 160 |
| 301.21052-EnCon-Seized AS | 382 | 0 | 20 | 0 | 0 | 0 | 20 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 402 |
| 301.21053-Wst Tire Mgt/Re | 12,207 | 0 | 24,000 | 0 | 0 | 0 | 24,000 | 0 | 12,022 | 4,800 | 430 | 0 | 6,997 | 0 | 0 | 0 | 24,249 | 11,958 |
| 301.21054-Oil & Gas Accou | 106 | 0 | 108 | 0 | 0 | 0 | 108 | 0 | 0 | 98 | 0 | 0 | 0 | 0 | 0 | 0 | 98 | 116 |
| 301.21055-Marine/Coastal | 120 | 0 | 13 | 0 | 0 | 0 | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 133 |
| 301.21060-Indirect Charge | 4,820 | 0 | 0 | 0 | 0 | 10,624 | 10,624 | 0 | 1,880 | 4,529 | 68 | 0 | 1,084 | 0 | 0 | 863 | 8,424 | 7,020 |
| 301.21061-Hazardous Sub B | 419 | 0 | 350 | 0 | 0 | 0 | 350 | 0 | 152 | 33 | 8 | 0 | 87 | 0 | 0 | 0 | 280 | 489 |

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2016

(thousands of dollars)

| Fund Account | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|----------------------------|-----------------|-----------|----------------|----------------|---------------|----------------|----------------|-----------|--------|--------|----------------|-------------|--------|------|---------|--------------|-------------|-----------------|
| 301.21063-S Area Landfill | 20 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 20 |
| 301.21064-Utility Envir R | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 301.21065-Fed Indirect R | 2,468 | 0 | 40 | 0 | 0 | 9,000 | 9,040 | 0 | 8,652 | 168 | 0 | 0 | 0 | 0 | 0 | 134 | 8,954 | 2,554 |
| 301.21066-Low Level Radio | (3,990) | 0 | 2,811 | 0 | 0 | 0 | 2,811 | 0 | 1,194 | 226 | 45 | 0 | 685 | 0 | 0 | 433 | 2,583 | (3,762) |
| 301.21067-Recreation Acco | (9,997) | 0 | 11,500 | 0 | 0 | 0 | 11,500 | 0 | 6,623 | 2,328 | 228 | 0 | 609 | 0 | 0 | 255 | 10,043 | (8,540) |
| 301.21077-Public Safety R | (13) | 0 | 30 | 0 | 0 | 0 | 30 | 0 | 0 | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 30 | (13) |
| 301.21080-Encon Magazine | 508 | 0 | 705 | 0 | 0 | 0 | 705 | 0 | 0 | 314 | 0 | 0 | 0 | 0 | 0 | 0 | 314 | 899 |
| 301.21081-Environment Enf | (28,851) | 0 | 28,600 | 0 | 0 | 0 | 28,600 | 0 | 14,198 | 2,974 | 472 | 0 | 8,194 | 0 | 0 | 4,807 | 30,645 | (30,896) |
| 301.21082-Natural Resourc | (19,669) | 0 | 4,813 | 0 | 0 | 0 | 4,813 | 0 | 2,127 | 397 | 136 | 0 | 1,226 | 0 | 0 | 400 | 4,286 | (19,142) |
| 301.21083-UST-Trust Recov | 230 | 0 | 12 | 0 | 0 | 0 | 12 | 0 | 0 | 0 | 72 | 0 | 0 | 0 | 0 | 0 | 0 | 242 |
| 301.21084-Mined Land Recl | 694 | 0 | 4,210 | 0 | 0 | 0 | 4,210 | 0 | 2,162 | 117 | 0 | 0 | 1,246 | 0 | 0 | 0 | 3,597 | 1,307 |
| 301.21087-Great Lakes Res | 0 | 0 | 38 | 0 | 0 | 0 | 38 | 0 | 0 | 38 | 0 | 0 | 0 | 0 | 0 | 0 | 38 | 0 |
| 301.21089-SEQR Review | (43) | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | (43) |
| 301.21057-Town Of Riverhe | 17 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 17 |
| 301.21027-Monitors-Aggre | 19,584 | 0 | 6,000 | 0 | 0 | 0 | 6,000 | 0 | 4,009 | 493 | 91 | 0 | 2,311 | 0 | 0 | 1,714 | 8,618 | 16,966 |
| 302.21150-Conservation | 27,421 | 0 | 43,222 | 0 | 0 | 75 | 43,297 | 0 | 24,188 | 11,285 | 798 | 0 | 13,947 | 0 | 0 | 1,780 | 51,998 | 18,720 |
| 302.21151-Marine Resource | 1,345 | 0 | 4,200 | 0 | 0 | 0 | 4,200 | 0 | 981 | 716 | 74 | 0 | 571 | 0 | 0 | 0 | 2,352 | 3,193 |
| 302.21152-Migratory Bird | 208 | 0 | 10 | 0 | 0 | 0 | 10 | 0 | 0 | 45 | 0 | 0 | 0 | 0 | 0 | 0 | 45 | 173 |
| 302.21153-Guides License | 5 | 0 | 55 | 0 | 0 | 0 | 55 | 0 | 51 | 6 | 1 | 0 | 29 | 0 | 0 | 0 | 87 | (27) |
| 302.21154-Fish And Game T | 56,825 | 0 | 1,000 | 0 | 0 | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 75 | 75 | 57,750 |
| 302.21155-Surf Clam/Quaho | 102 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 | 29 | 0 | 0 | 15 | 0 | 0 | 0 | 70 | 32 |
| 302.21156-Habitat Account | 267 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 273 | 273 | (6) |
| 302.21157-Venison Donatio | 16 | 0 | 25 | 0 | 0 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 41 |
| 302.21158-OUTDOOR REC & T | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 |
| 302.21159-Inson Bequest | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 24 |
| 303.21201-Oil Spill - DAC | 2 | 0 | 0 | 0 | 0 | 705 | 705 | 0 | 267 | 114 | 9 | 0 | 162 | 0 | 0 | 0 | 552 | 155 |
| 303.21202-Oil Sp Relocath | 4 | 0 | 0 | 0 | 0 | 301 | 301 | 0 | 175 | 25 | 5 | 0 | 98 | 0 | 0 | 0 | 303 | 2 |
| 303.21203-Oil Spill - DEC | (2) | 0 | 0 | 0 | 0 | 18,500 | 18,500 | 0 | 11,226 | 1,075 | 313 | 0 | 6,470 | 0 | 0 | 4,213 | 23,297 | (4,799) |
| 303.21204-Oil Spill - DAC | 15,626 | 0 | 39,500 | 0 | 0 | 0 | 39,500 | 0 | 0 | 12,604 | 0 | 0 | 0 | 0 | 0 | 19,506 | 32,110 | 23,016 |
| 303.21205-License Fee Sur | (1) | 0 | 13,700 | 0 | 0 | 0 | 13,700 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13,700 | 13,700 | (1) |
| 305.21251-OSH Trng & Educ | 3,674 | 0 | 25,577 | 0 | 0 | 0 | 25,577 | 0 | 10,802 | 7,201 | 277 | 0 | 6,127 | 0 | 0 | 0 | 24,407 | 4,844 |
| 305.21252-OSHA Inspection | 545 | 0 | 22,139 | 0 | 0 | 0 | 22,139 | 0 | 11,875 | 3,224 | 305 | 0 | 6,735 | 0 | 0 | 0 | 22,139 | 545 |
| 306.21301-CSF Regis Fee | 9,738 | 0 | 9,000 | 0 | 0 | 0 | 9,000 | 0 | 500 | 10,200 | 0 | 0 | 200 | 0 | 0 | 0 | 10,900 | 7,838 |
| 307.21351-Equip Loan Fund | 500 | 0 | 63 | 0 | 0 | 0 | 63 | 0 | 0 | 82 | 0 | 0 | 0 | 0 | 0 | 7 | 89 | 474 |
| 313.21401-Pub Tran Systems | (9,212) | 77,200 | 0 | 0 | 0 | 14,879 | 92,079 | 86,306 | 602 | 410 | 16 | 0 | 335 | 0 | 0 | 0 | 87,669 | (4,802) |
| 313.21402-Metro Mass Tran | 166,421 | 2,065,650 | 21,400 | 0 | 0 | 36,500 | 2,123,550 | 2,030,192 | 2,409 | 259 | 63 | 0 | 1,343 | 0 | 0 | 141,548 | 2,175,814 | 114,157 |
| 313.21403-Urban Mass Tran | 106 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 106 |
| 313.21404-Add Mass Trans | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 314.21451-Operating Permit | (19,995) | 0 | 9,200 | 0 | 0 | 0 | 9,200 | 0 | 4,152 | 2,097 | 209 | 0 | 2,394 | 0 | 0 | 0 | 8,852 | (19,647) |
| 314.21452-Mobile Source | (261) | 0 | 34,000 | 0 | 0 | 0 | 34,000 | 0 | 15,691 | 3,816 | 465 | 0 | 8,696 | 0 | 0 | 9,188 | 37,856 | (4,117) |
| 318.21501-Housing Reserve | 66 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 66 |
| 321.21551-Legisl Comp R&D | 10,833 | 0 | 1,717 | 0 | 0 | 0 | 1,717 | 0 | 0 | 950 | 0 | 0 | 0 | 0 | 0 | 0 | 950 | 11,600 |
| 321.21552-Demographics/Re | 60 | 0 | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 62 |
| 330.40350-S U Dorm Income | 174,928 | 0 | 338,158 | 0 | 0 | 0 | 338,158 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 348,162 | 348,162 | 164,924 |
| 332.21651-Brummer Award | 33 | 0 | 6 | 0 | 0 | 0 | 6 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 33 |
| 332.21652-William Vorce F | 228 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 228 |
| 332.21653-Rocky Pocantico | 37 | 0 | 110 | 0 | 0 | 0 | 110 | 0 | 0 | 52 | 0 | 0 | 0 | 0 | 0 | 0 | 52 | 95 |
| 332.21654-OPWDD Nonexp Tr | 74 | 0 | (1) | 0 | 0 | 0 | (1) | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 72 |
| 332.21655-Rockefeller Tru | 3,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,000 |
| 332.21656-Helen Hayes Hos | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| 332.21657-Cunningham Fund | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 100 |
| 333.21700-Wintr Sports Ed | 0 | 0 | 75 | 0 | 0 | 0 | 75 | 0 | 0 | 75 | 0 | 0 | 0 | 0 | 0 | 0 | 75 | 0 |
| 335.21750-Nys Musical Ins | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 338.21851-Arts Capital Re | 818 | 0 | 60 | 0 | 0 | 0 | 60 | 98 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 98 | 780 |
| 340.22501-CFIA Undistrib | 12,463 | 0 | 0 | 0 | 0 | 107,000 | 107,000 | 104,200 | 1,700 | 200 | 0 | 0 | 800 | 0 | 0 | 1,307 | 108,207 | 11,256 |

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2016

(thousands of dollars)

| Fund Account | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|---------------------------|-----------------|-------|----------------|----------------|---------------|----------------|----------------|---------|-----------|---------|----------------|-------------|---------|------|---------|--------------|-------------|-----------------|
| 341.22552-DFY-NYC Summer | 50 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 50 |
| 345.22652-L I Veis Home | 20,980 | 0 | 44,900 | 0 | 0 | 0 | 44,900 | 0 | 28,281 | 16,551 | 0 | 0 | 0 | 0 | 0 | 0 | 44,832 | 21,048 |
| 345.22653-S U Genl IFR | 433,879 | 0 | 693,867 | 0 | 0 | 0 | 693,867 | 0 | 165,272 | 483,171 | 0 | 0 | 6,488 | 0 | 0 | 25,686 | 680,617 | 447,129 |
| 345.22654-S U Inc Offset | (19,401) | 0 | 0 | 0 | 0 | 8,318 | 8,318 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (11,083) |
| 345.22655-Gen Rev Offset | 36,586 | 0 | 1,685,816 | 0 | 0 | 998,069 | 2,683,885 | 0 | 2,146,709 | 497,735 | 0 | 0 | 0 | 0 | 0 | 0 | 2,644,444 | 76,027 |
| 345.22656-S U Hosp Ops | 80,498 | 0 | 1,718,916 | 0 | 0 | 791,708 | 2,510,624 | 0 | 1,025,000 | 907,645 | 0 | 0 | 359,355 | 0 | 0 | 89,118 | 2,381,118 | 210,004 |
| 345.22657-SUNY Stablizat | 29,902 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5,000 | 0 | 0 | 0 | 0 | 0 | 0 | 5,000 | 24,902 |
| 345.22658-State Univ Hosp | 9,580 | 0 | 45,300 | 0 | 0 | 0 | 45,300 | 0 | 33,351 | 7,243 | 0 | 0 | 0 | 0 | 0 | 0 | 40,594 | 14,286 |
| 345.22659-SUNY Tuition Re | 96,215 | 0 | 110,830 | 0 | 0 | 0 | 110,830 | 0 | 46,585 | 51,250 | 0 | 0 | 0 | 0 | 0 | 0 | 97,835 | 109,210 |
| 345.2266P-Bridge Program | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 346.22700-Chem Dep Svcs | 17,176 | 0 | 305,416 | 0 | 0 | 0 | 305,416 | 11,970 | 0 | 518 | 0 | 0 | 0 | 0 | 0 | 292,888 | 305,376 | 17,216 |
| 349.22751-Lk George Park | 372 | 0 | 1,208 | 0 | 0 | 0 | 1,208 | 0 | 677 | 250 | 19 | 0 | 384 | 0 | 0 | 0 | 1,330 | 250 |
| 354.22801-MVTIFA | 5,864 | 0 | 4,702 | 0 | 0 | 0 | 4,702 | 4,237 | 136 | 4 | 4 | 0 | 85 | 0 | 0 | 300 | 4,766 | 5,800 |
| 354.22802-St Police MV En | 12,955 | 0 | 109,900 | 0 | 0 | 0 | 109,900 | 0 | 4,000 | 5,100 | 0 | 0 | 47 | 0 | 0 | 100,800 | 109,900 | 12,955 |
| 355.22851-Great Lakes Pro | 216 | 0 | 160 | 0 | 0 | 0 | 160 | 0 | 82 | 70 | 3 | 0 | 0 | 0 | 0 | 0 | 202 | 174 |
| 359.22901-Revenue Maximiz | 1,577 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,577 |
| 359.22902-Local Maximizat | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 359.22903-Rev Maxim Contr | (1,555) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1,555) |
| 360.22950-Housing Develop | 10,630 | 0 | 900 | 0 | 0 | 0 | 900 | 852 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 852 | 10,678 |
| 362.23001-DOJ Comm Veh Sa | (6,416) | 0 | 3,068 | 0 | 0 | 0 | 3,068 | 0 | 2,954 | 495 | 0 | 0 | 0 | 0 | 0 | 0 | 3,449 | (6,797) |
| 365.23051-Vocatl Rehabil | 142 | 0 | 100 | 0 | 0 | 0 | 100 | 20 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 32 | 77 | 165 |
| 366.23101-Drinking Water | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 366.23102-Drink Water DOH | (5,516) | 0 | 6,788 | 0 | 0 | 0 | 6,788 | 0 | 3,670 | 518 | 91 | 0 | 2,059 | 0 | 0 | 2,216 | 8,554 | (7,282) |
| 368.23151-NYCCC Operat Of | (50,130) | 0 | 26,600 | 0 | 0 | 6,000 | 32,600 | 0 | 19,400 | 3,800 | 0 | 0 | 10,500 | 0 | 0 | 0 | 33,700 | (51,230) |
| 369.23201-Jud Data Proc O | 3,568 | 0 | 29,000 | 0 | 0 | 0 | 29,000 | 0 | 18,800 | 4,000 | 0 | 0 | 8,400 | 0 | 0 | 0 | 31,200 | 1,368 |
| 377.23267-CUNY Stablizn | 12,657 | 0 | 3,000 | 0 | 0 | 0 | 3,000 | 0 | 3,000 | 0 | 0 | 0 | 0 | 0 | 0 | 15,819 | 18,819 | (3,162) |
| 377.2322X-CUNY Tutin Reim | 28,230 | 0 | 4,965 | 0 | 0 | 0 | 4,965 | 0 | 4,145 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4,145 | 29,050 |
| 377.2322Y-CUNY Inc Reimb | 92,868 | 0 | 76,380 | 0 | 0 | 0 | 76,380 | 0 | 35,218 | 42,000 | 0 | 0 | 6,000 | 0 | 0 | 0 | 83,218 | 86,030 |
| 385.23501-Lk Placid Train | 102 | 0 | 85 | 0 | 0 | 0 | 85 | 0 | 0 | 75 | 0 | 0 | 0 | 0 | 0 | 0 | 75 | 112 |
| 390.23551-Indigent Legal | 122,930 | 0 | 78,000 | 0 | 0 | 35,000 | 113,000 | 65,000 | 1,454 | 26,010 | 25 | 0 | 528 | 0 | 0 | 0 | 93,017 | 142,913 |
| 482.23601-UI Sp Int & Pen | 14,863 | 0 | 9,600 | 0 | 0 | 0 | 9,600 | 0 | 1,643 | 1,000 | 42 | 0 | 932 | 0 | 0 | 3,211 | 6,828 | 17,635 |
| 501.23701-Commer Game Rev | 0 | 0 | 171,000 | 0 | 0 | 0 | 171,000 | 171,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 171,000 | 0 |
| 501.23702-Comm Game Regul | (1,982) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,142 | 1,143 | 61 | 0 | 1,220 | 0 | 0 | 2 | 4,568 | (6,560) |
| 502.23755-MMF - Health Op | 0 | 0 | 0 | 0 | 0 | 6,740 | 6,740 | 0 | 2,872 | 3,768 | 5 | 0 | 95 | 0 | 0 | 0 | 6,740 | 0 |

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2016

(thousands of dollars)

| Account Code-Name | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|-----------|-----------|---------|----------------|-------------|---------|------|---------|--------------|-----------------|
| 339.211901-Article VII Int | 4,350 | 0 | 500 | 0 | 0 | 0 | 500 | 94 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4,756 |
| 339.211902-S P A R C S | 3,764 | 0 | 6,600 | 0 | 0 | 0 | 6,600 | 0 | 566 | 838 | 17 | 0 | 317 | 0 | 0 | 7,543 | 1,083 |
| 339.211903-OPWDD Provider | 0 | 0 | 20,000 | 0 | 0 | 20,000 | 20,000 | 20,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 339.211904-Fire Prev/Code | 3,399 | 0 | 14,810 | 0 | 0 | 0 | 14,810 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14,810 | 3,399 |
| 339.211905-NYS Twp Police | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.211906-DMV Seiz Assets | 267 | 0 | 50 | 0 | 0 | 0 | 50 | 0 | 0 | 182 | 0 | 0 | 0 | 0 | 0 | 0 | 135 |
| 339.211907-Mental Hygiene | 25,972 | 0 | (775,000) | 0 | 0 | 3,638,333 | 2,863,333 | 1,499,809 | 689,211 | 129,873 | 23,107 | 0 | 463,189 | 0 | 0 | 58,894 | 25,222 |
| 339.211909-M H Patient Inc | 27,819 | 0 | 0 | 0 | 0 | 2,815,185 | 2,815,185 | 0 | 1,620,701 | 335,328 | 28,819 | 0 | 817,964 | 0 | 0 | 12,373 | 27,819 |
| 339.211911-Fin Cntrl Board | (634) | 0 | 3,132 | 0 | 0 | 0 | 3,132 | 0 | 1,500 | 756 | 38 | 0 | 838 | 0 | 0 | 0 | (634) |
| 339.211912-Reg of Racing | (6,196) | 0 | 11,247 | 0 | 0 | 0 | 11,247 | 0 | 6,761 | 4,577 | 171 | 0 | 1,727 | 0 | 0 | 458 | (8,643) |
| 339.211913-NY Metro Trans | (14,601) | 0 | 0 | 0 | 0 | 15,314 | 15,314 | 0 | 4,509 | 5,995 | 115 | 0 | 2,479 | 0 | 0 | 0 | (12,385) |
| 339.211914-S U Constr Fund | 107 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 107 |
| 339.211915-Quality Care | 64,624 | 0 | 30,000 | 0 | 0 | 30,000 | 60,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 95,236 | 29,388 |
| 339.211916-Nurses Aide Reg | 1,001 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,001 |
| 339.211917-Seized Assets | 324 | 0 | 50 | 0 | 0 | 0 | 50 | 0 | 0 | 238 | 0 | 0 | 0 | 0 | 0 | 0 | 136 |
| 339.211918-Child Care & Pr | 587 | 0 | 70 | 0 | 0 | 70 | 70 | 100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 557 |
| 339.211919-Cyber Sec Upgr | 878 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 878 |
| 339.211920-Cert of Need | 30,252 | 0 | 2,959 | 0 | 0 | 0 | 2,959 | 0 | 1,700 | 1,900 | 54 | 0 | 954 | 0 | 0 | 9,628 | 18,975 |
| 339.211921-Lobbying Enforc | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 339.211922-Reltr Community | 644 | 0 | 131 | 0 | 0 | 0 | 131 | 0 | 0 | 50 | 0 | 0 | 0 | 0 | 0 | 3 | 722 |
| 339.211923-DOL Fee Penalty | 6,770 | 0 | 20,383 | 0 | 0 | 0 | 20,383 | 0 | 5,991 | 1,215 | 154 | 0 | 3,398 | 0 | 0 | 8,672 | 7,723 |
| 339.211924-Educ Museum | 16 | 0 | 842 | 0 | 0 | 0 | 842 | 0 | 282 | 341 | 7 | 0 | 158 | 0 | 0 | 62 | 8 |
| 339.211925-Ns Hm Receivshp | 2,823 | 0 | 25 | 0 | 0 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,848 |
| 339.211926-3rd Party Hlth | 450 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 450 |
| 339.211927-Boating Noise L | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| 339.211928-I Love NY Water | 300 | 0 | 921 | 0 | 0 | 0 | 921 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,221 |
| 339.211929-Summer Sch Arts | 94 | 0 | 655 | 0 | 0 | 0 | 655 | 0 | 111 | 528 | 3 | 0 | 13 | 0 | 0 | 0 | 94 |
| 339.211930-I Love NY Water | 179 | 0 | 245 | 0 | 0 | 0 | 245 | 0 | 130 | 25 | 3 | 0 | 32 | 0 | 0 | 0 | 234 |
| 339.211932-Snowmobile | 5,126 | 0 | 5,550 | 0 | 0 | 0 | 5,550 | 4,850 | 111 | 363 | 9 | 0 | 67 | 0 | 0 | 0 | 5,276 |
| 339.211933-Tr Surplus Prop | 2,556 | 0 | 2,200 | 0 | 0 | 0 | 2,200 | 0 | 0 | 974 | 0 | 0 | 0 | 0 | 0 | 1,803 | 1,979 |
| 339.211934-Hosp & Nurs Mgt | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| 339.211935-Watershed Ptnr | (4) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (4) |
| 339.211936-World Univ Game | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| 339.211937-S U Dorm Reimb | (6) | 0 | 0 | 0 | 0 | 298,162 | 298,162 | 0 | 133,774 | 149,000 | 0 | 0 | 18,000 | 0 | 0 | 0 | (2,618) |
| 339.211938-ODTA Train Cont | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.211939-ODTA State Matc | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| 339.211941-Methadone Regis | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.211943-Energy Research | (4,293) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (4,293) |
| 339.211944-Radiology | 2,808 | 0 | 6,000 | 0 | 0 | 0 | 6,000 | 3,000 | 1,033 | 557 | 29 | 0 | 596 | 0 | 0 | 1,350 | 2,243 |
| 339.211945-Crim Jus Improv | 19,034 | 0 | 42,724 | 0 | 0 | 0 | 42,724 | 28,182 | 2,733 | 396 | 116 | 0 | 2,191 | 0 | 0 | 22,856 | 5,284 |
| 339.211948-Farm Prod Insp- | 386 | 0 | 1,440 | 0 | 0 | 0 | 1,440 | 0 | 848 | 123 | 21 | 0 | 473 | 0 | 0 | 0 | 361 |
| 339.211950-FgprintID&Tech | 15,844 | 0 | 12,850 | 0 | 0 | 0 | 12,850 | 0 | 0 | 4,495 | 0 | 0 | 0 | 0 | 0 | 12,563 | 11,636 |
| 339.211953-NY Fire Academy | 205 | 0 | 468 | 0 | 0 | 0 | 468 | 0 | 278 | 469 | 9 | 0 | 160 | 0 | 0 | 0 | (243) |
| 339.211958-Domestic Awaren | 74 | 0 | 7 | 0 | 0 | 0 | 7 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 78 |
| 339.211959-Envir.Lab.Fee A | 92 | 0 | 3,700 | 0 | 0 | 0 | 3,700 | 0 | 1,637 | 534 | 45 | 0 | 918 | 0 | 0 | 262 | 396 |
| 339.211960-HESC Ins Prem P | 5,293 | 0 | 85,157 | 0 | 0 | 0 | 85,157 | 16,000 | 17,124 | 26,000 | 488 | 0 | 10,228 | 0 | 0 | 15,317 | 5,293 |
| 339.211961-Train Mgmt Eval | 820 | 0 | 2,634 | 0 | 0 | 0 | 2,634 | 0 | 3,101 | 227 | 136 | 0 | 895 | 0 | 0 | 0 | (905) |
| 339.211962-Clin Lab Refrmc | (14,435) | 0 | 18,059 | 0 | 0 | 0 | 18,059 | 0 | 5,840 | 3,141 | 167 | 0 | 3,276 | 0 | 0 | 578 | (9,378) |
| 339.211964-Pub Emp Rel Brd | 577 | 0 | 86 | 0 | 0 | 0 | 86 | 0 | 159 | 43 | 0 | 0 | 0 | 0 | 0 | 0 | 461 |
| 339.211965-Radio Hlth Prot | 3,050 | 0 | 4,048 | 0 | 0 | 0 | 4,048 | 0 | 2,177 | 155 | 57 | 0 | 1,221 | 0 | 0 | 696 | 2,792 |
| 339.211966-Cons Food Indus | 552 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 552 | 0 |

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2016

(thousands of dollars)

| Account Code-Name | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|--------|---------|--------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.21967-OHRD St Match | 5,776 | 0 | 0 | 0 | 0 | 6,000 | 6,000 | 0 | 0 | 3,510 | 0 | 0 | 0 | 0 | 0 | 0 | 8,266 |
| 339.21968-Educatin Library | 119 | 0 | 65 | 0 | 0 | 0 | 65 | 0 | 0 | 60 | 0 | 0 | 0 | 0 | 0 | 0 | 124 |
| 339.21969-Teacher Certif | 780 | 0 | 6,600 | 0 | 0 | 0 | 6,600 | 0 | 3,400 | 643 | 86 | 0 | 1,900 | 0 | 0 | 1,311 | 40 |
| 339.21970-Banking Deptmt | 26,362 | 0 | 93,551 | 0 | 0 | 0 | 93,551 | 0 | 48,103 | 13,049 | 1,348 | 0 | 27,715 | 0 | 0 | 0 | 29,698 |
| 339.21971-Cable TV Acct | 13,726 | 0 | 3,037 | 0 | 0 | 0 | 3,037 | 0 | 1,361 | 109 | 45 | 0 | 925 | 0 | 0 | 0 | 14,323 |
| 339.21972-Econ Devel Asst | 301 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 301 |
| 339.21973-Fin Svcs Seized | 701 | 0 | 500 | 0 | 0 | 0 | 500 | 0 | 0 | 500 | 0 | 0 | 0 | 0 | 0 | 0 | 701 |
| 339.21975-ODD Earned Revn | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.21976-Motorcycle Sfty | 4,544 | 0 | 2,000 | 0 | 0 | 2,000 | 2,000 | 0 | 91 | 1,457 | 2 | 0 | 51 | 0 | 0 | 6 | 4,937 |
| 339.21977-Business Licens | 78,878 | 0 | 77,491 | 0 | 0 | 0 | 77,491 | 939 | 14,470 | 11,978 | 399 | 0 | 7,951 | 0 | 0 | 92,397 | 28,235 |
| 339.21978-Indir Cost Reco | 1,988 | 0 | 0 | 0 | 0 | 18,704 | 18,704 | 0 | 9,125 | 4,362 | 0 | 0 | 5,099 | 0 | 0 | 0 | 2,106 |
| 339.21979-High School Equ | 672 | 0 | 225 | 0 | 0 | 0 | 225 | 0 | 0 | 225 | 0 | 0 | 0 | 0 | 0 | 0 | 672 |
| 339.21980-OTDA Program | 2,750 | 0 | 0 | 0 | 0 | 500 | 500 | 0 | 0 | 200 | 0 | 0 | 0 | 0 | 0 | 0 | 3,050 |
| 339.21981-Disas Prep Conf | 24 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 24 |
| 339.21982-Administration | 12,252 | 0 | 13 | 0 | 0 | 5,000 | 5,013 | 0 | 4,333 | 2,549 | 115 | 0 | 2,430 | 0 | 0 | 3,602 | 4,236 |
| 339.21983-Rail Safety Ins | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| 339.21984-Fedi Admin Reim | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.21985-Abandon Prop Au | 0 | 0 | 12,619 | 0 | 0 | 0 | 12,619 | 0 | 7,566 | 4,914 | 0 | 0 | 0 | 0 | 0 | 0 | 119 |
| 339.21986-Seized Assets | 33 | 0 | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 35 |
| 339.21987-Spinal Injury | 14 | 0 | 0 | 0 | 0 | 8,500 | 8,500 | 8,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 170 | (156) |
| 339.21988-Child Supp Rev | 78 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 78 |
| 339.21989-Mult Agen Train | 21,074 | 0 | 0 | 0 | 0 | 21,400 | 21,400 | 0 | 7,566 | 23,184 | 66 | 0 | 957 | 0 | 0 | 0 | 18,267 |
| 339.21990-OCTF Crime Forf | 6 | 0 | 2,800 | 0 | 0 | 0 | 2,800 | 0 | 0 | 2,474 | 0 | 0 | 0 | 0 | 0 | 0 | 332 |
| 339.21991-DMNA-Seiz Asset | 1,292 | 0 | 200 | 0 | 0 | 0 | 200 | 0 | 0 | 518 | 0 | 0 | 0 | 0 | 0 | 0 | 974 |
| 339.21992-Critical Infras | 258 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 258 |
| 339.21993-Radon Dctct Dev | 376 | 0 | 20 | 0 | 0 | 0 | 20 | 0 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 2 | 384 |
| 339.21994-Insurance Dept | 129,377 | 0 | 423,357 | 0 | 0 | 0 | 423,357 | 75,814 | 105,477 | 39,248 | 2,946 | 0 | 60,680 | 0 | 0 | 0 | 288,569 |
| 339.21995-Workers Comp Bd | 18,385 | 0 | 206,868 | 0 | 0 | 0 | 206,868 | 0 | 80,977 | 58,423 | 2,306 | 0 | 48,228 | 0 | 0 | 21,253 | 14,066 |
| 339.21996-Fire Protection | 227 | 0 | 25 | 0 | 0 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 | 239 |
| 339.21997-Conf Fee Acct | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 |
| 339.21998-Public Work Enf | 5,523 | 0 | 3,982 | 0 | 0 | 0 | 3,982 | 0 | 1,987 | 217 | 51 | 0 | 1,127 | 0 | 0 | 0 | 6,123 |
| 339.21999-Asset Forfeitur | 110 | 0 | 250 | 0 | 0 | 0 | 250 | 0 | 0 | 250 | 0 | 0 | 0 | 0 | 0 | 0 | 110 |
| 339.219A2-MMIA | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219AC-Non-Ivd Wage Wl | (58) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (58) |
| 339.219AF-Hosp Grants | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 339.219AK-Ins Voucher Pro | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 339.219AM-Hlth Care Advls | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219AR-Adopt Info Regi | (1) | 0 | 50 | 0 | 0 | 0 | 50 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 49 |
| 339.219AS-Quality Assuran | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219BO-Primary Care In | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 339.219BU-Land Utilizatio | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219C2-Jones Bch Theat | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219CB-FS Reinvestment | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219CG-Tech & Scientif | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219CH-Child Hlth Ins | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219D1-Food Stp Rec Fr | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219DM-EAD Metallurgi | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219DN-Fines Penalties | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219E7-Unif Commerc Cd | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219EA-Bus & Licen Srv | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219EB-Antitrust Enfor | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2016

(thousands of dollars)

| Account Code-Name | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|--------|--------|--------|----------------|-------------|------|------|---------|--------------|-----------------|
| 339.219EE-Map Revenue | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219EF-TAP Sys Redesign | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219EM-Emerg Med Svcs | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219F6-Lc On Solid Was | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219FC-Fostr Care Savi | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219H3-Pilot Health In | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219H5-Triple Prescr F | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 339.219IG-Ins Gent Opems | (22) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (22) |
| 339.219JD-Problem Solv Cou | 70 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 70 |
| 339.219K2-Equip Repair | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219K3-Catastrophic HI | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219KA-Primary Hlth Cr | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219L5-Adult Cyst Fibr | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219L8-DOS Licensing | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219LB-Health Occup De | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.219LC-Matern Chld Hiv | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 339.219SA-Health Services | 31 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 31 |
| 339.219SS-DOT Sign Shop | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219TF-Tran Fees Perms | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219WE-Medicaid Train | 0 | 0 | (1,000) | 0 | 0 | 0 | (1,000) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1,000) |
| 339.219XX-A&M-Aggregated | 5,081 | 0 | 16,510 | 0 | 0 | 0 | 16,510 | 0 | 425 | 15,814 | 10 | 0 | 237 | 0 | 0 | 0 | 5,105 |
| 339.219YL-OGS Bldg Admin | 2,157 | 0 | 9,363 | 0 | 0 | 0 | 9,363 | 0 | 3,240 | 3,969 | 88 | 1,757 | 0 | 0 | 0 | 1,012 | 1,454 |
| 339.219YN-OGS Str & Purch | 8,415 | 0 | 6,558 | 0 | 0 | 0 | 6,558 | 0 | 858 | 2,781 | 22 | 430 | 0 | 0 | 0 | 3,068 | 7,814 |
| 339.219Z3-MHPA OMR NPS | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219Z6-Human Rights Ac | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 339.219Z7-Just Ct Oper | (285) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (285) |
| 339.219ZR-Milk Producers | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.219ZV-S T A Research | (19) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (19) |
| 339.22001-VESID SS | 2,864 | 0 | 2,500 | 0 | 0 | 0 | 2,500 | 2,000 | 182 | 100 | 5 | 102 | 0 | 0 | 0 | 52 | 2,923 |
| 339.22003-Bell Jar Collec | 13 | 0 | 1,769 | 0 | 0 | 0 | 1,769 | 0 | 649 | 114 | 19 | 372 | 0 | 0 | 0 | 1 | 627 |
| 339.22004-Ind & Util Serv | 1,923 | 0 | 2,495 | 0 | 0 | 0 | 2,495 | 0 | 1,550 | 74 | 74 | 846 | 0 | 0 | 0 | 0 | 1,948 |
| 339.22009-Asbestos Trning | (105) | 0 | 330 | 0 | 0 | 0 | 330 | 0 | 291 | 15 | 6 | 163 | 0 | 0 | 0 | 0 | (250) |
| 339.22010-IMP R P Tax Adm | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| 339.22011-Public Service | 37,510 | 0 | 82,796 | 0 | 0 | 0 | 82,796 | 0 | 40,728 | 6,357 | 1,108 | 22,501 | 0 | 0 | 0 | 5,736 | 43,876 |
| 339.22012-Atty Licensing | 3,319 | 0 | 32,500 | 0 | 0 | 0 | 32,500 | 0 | 17,400 | 7,400 | 0 | 7,700 | 0 | 0 | 0 | 0 | 3,319 |
| 339.22014-DSS Prov Recovs | 188 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 188 |
| 339.22017-Camp Smith Bill | 8,122 | 0 | 197 | 0 | 0 | 0 | 197 | 14,300 | 134 | 9 | 4 | 14 | 0 | 0 | 0 | 0 | 8,122 |
| 339.22018-Fire Safe Cigar | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 339.22020-Comm Feed Lic | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.22021-Reg Manu Hsg | 74 | 0 | 200 | 0 | 0 | 0 | 200 | 0 | 198 | 75 | 18 | 99 | 0 | 0 | 0 | 100 | 174 |
| 339.22022-College Savings | 6,703 | 0 | 813 | 0 | 0 | 0 | 813 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7,126 |
| 339.22023-Discover Queens | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| 339.22024-Reven Arrearage | 11,559 | 0 | 25,000 | 0 | 0 | 0 | 25,000 | 0 | 1,525 | 2,597 | 43 | 879 | 0 | 0 | 0 | 24,751 | 6,764 |
| 339.22025-Comm Svce Assis | 8,501 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8,501 |
| 339.22026-Cell Phone Towe | 1,836 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,836 |
| 339.22027-Spec Conserv Ac | 28 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 28 |
| 339.22028-Central Registry | 1,962 | 0 | 2,017 | 0 | 0 | 0 | 2,017 | 0 | 106 | 0 | 4 | 45 | 0 | 0 | 0 | 1,822 | 2,002 |
| 339.22029-Plant Industry | 40 | 0 | 529 | 0 | 0 | 0 | 529 | 0 | 364 | 0 | 9 | 203 | 0 | 0 | 0 | 0 | (7) |
| 339.22032-Batavia School | (7,255) | 0 | 9,600 | 0 | 0 | 900 | 10,500 | 0 | 5,676 | 628 | 144 | 3,172 | 0 | 0 | 0 | 0 | (6,375) |
| 339.22033-Alcohol Beverag | 5,834 | 0 | 0 | 0 | 0 | 19,851 | 19,851 | 0 | 8,147 | 4,378 | 226 | 4,643 | 0 | 0 | 0 | 1,096 | 7,195 |

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2016

(thousands of dollars)

| Account Code-Name | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|-------|--------|--------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.22034-Investment Serv | 161 | 0 | 4,038 | 0 | 0 | 0 | 4,038 | 0 | 2,070 | 673 | 64 | 0 | 1,231 | 0 | 0 | 0 | 161 |
| 339.22035-Diabetes Resear | 23 | 0 | 6 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 29 |
| 339.22037-Keep Kids Drug | 33 | 0 | 9 | 0 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 42 |
| 339.22038-OPWDD Day Servi | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22039-OSDC Finan Over | (861) | 0 | 4,304 | 0 | 0 | 0 | 4,304 | 0 | 2,317 | 113 | 72 | 0 | 1,772 | 0 | 0 | 0 | (831) |
| 339.22040-Senate Recyclab | 517 | 0 | 20 | 0 | 0 | 0 | 20 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 537 |
| 339.22041-Medicaid Fraud | 20,031 | 0 | 14,000 | 0 | 0 | 0 | 14,000 | 0 | 5,948 | 2,790 | 151 | 0 | 3,430 | 0 | 0 | 0 | 21,712 |
| 339.22042-DED Marketing A | 5,862 | 0 | 1,944 | 0 | 0 | 0 | 1,944 | 0 | 63 | 1,710 | 2 | 0 | 28 | 0 | 0 | 131 | 5,872 |
| 339.22044-Tug Hill Admin | 38 | 0 | 38 | 0 | 0 | 0 | 38 | 0 | 29 | 3 | 0 | 0 | 0 | 0 | 0 | 10 | 34 |
| 339.22045-Settlement Enf | 1,652 | 0 | 900 | 0 | 0 | 0 | 900 | 850 | 0 | 50 | 0 | 0 | 0 | 0 | 0 | 0 | 1,652 |
| 339.22046-Indian Gaming | (62,974) | 0 | 8,188 | 0 | 0 | 0 | 8,188 | 0 | 8,180 | 462 | 207 | 0 | 4,570 | 0 | 0 | 329 | (68,534) |
| 339.22047-NYS FLEX Spend | 13 | 0 | 300 | 0 | 0 | 0 | 300 | 0 | 0 | 300 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |
| 339.22050-Crime Victims B | 13 | 0 | 54 | 0 | 0 | 0 | 54 | 0 | 0 | 54 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |
| 339.22051-Ofc of Professi | 24,678 | 0 | 47,265 | 0 | 0 | 0 | 47,265 | 0 | 19,327 | 9,795 | 489 | 0 | 10,800 | 0 | 0 | 6,032 | 25,500 |
| 339.22052-Armory Rental A | 1,532 | 0 | 806 | 0 | 0 | 0 | 806 | 0 | 659 | 691 | 18 | 0 | 66 | 0 | 0 | 0 | 904 |
| 339.22053-Rome School | (2,665) | 0 | 9,600 | 0 | 0 | 1,020 | 10,620 | 0 | 4,280 | 652 | 117 | 0 | 2,392 | 0 | 0 | 0 | 514 |
| 339.22054-Seized Assets | 254 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,800 | 0 | 0 | 0 | 0 | 0 | 0 | (3,546) |
| 339.22055-Traf Adjudicatin | (14,188) | 0 | 35,500 | 0 | 0 | 0 | 35,500 | 0 | 20,635 | 9,501 | 522 | 0 | 11,531 | 0 | 0 | 4,576 | (25,453) |
| 339.22056-Fed Salary Shar | 211 | 0 | 0 | 0 | 0 | 2,373 | 2,373 | 390 | 1,248 | 0 | 35 | 0 | 700 | 0 | 0 | 0 | 211 |
| 339.22057-Cook/Chill Acco | 1,528 | 0 | 1,000 | 0 | 0 | 0 | 1,000 | 0 | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 1,528 |
| 339.22060-Credentical Svcs | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22061-Seized Assets | 43 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 43 |
| 339.22062-NYC Assessment | 20,733 | 0 | 79,653 | 0 | 0 | 0 | 79,653 | 0 | 37,154 | 19,622 | 1,147 | 0 | 21,730 | 0 | 0 | 0 | 20,733 |
| 339.22063-Cultural Educat | (4,435) | 0 | 26,331 | 0 | 0 | 0 | 26,331 | 0 | 11,872 | 5,400 | 300 | 0 | 6,634 | 0 | 0 | 1,976 | (4,286) |
| 339.22064-Distance Learn | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.22065-Exam & Misc Rev | 1,939 | 0 | 3,150 | 0 | 0 | 0 | 3,150 | 0 | 334 | 409 | 11 | 0 | 176 | 0 | 0 | 1,961 | 2,198 |
| 339.22067-Trans Regul Acc | 14,602 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,795 | 12,807 |
| 339.22068-Cons Prot Acct | 1,576 | 0 | 91 | 0 | 0 | 0 | 91 | 0 | 236 | 77 | 7 | 0 | 136 | 0 | 0 | 0 | 1,211 |
| 339.22070-OER NASDER | 117 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 117 |
| 339.22071-Fin Aid Audit | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22072-8th Air Force H | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 339.22074-FMS Account | 30 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 30 |
| 339.22075-Funeral | 1,477 | 0 | 470 | 0 | 0 | 0 | 470 | 0 | 137 | 10 | 6 | 0 | 76 | 0 | 0 | 73 | 1,645 |
| 339.22076-FSHRP | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |
| 339.22077-Educ Archives | 61 | 0 | 15 | 0 | 0 | 0 | 15 | 0 | 0 | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 61 |
| 339.22078-Local Services | 745 | 0 | 983 | 0 | 0 | 0 | 983 | 0 | 580 | 0 | 32 | 0 | 361 | 0 | 0 | 0 | 755 |
| 339.22080-Adult Shelter | 10,636 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10,636 |
| 339.22081-QAA Earned Rev | 393 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 393 |
| 339.22082-Family Pres Svc | 1,707 | 0 | 0 | 0 | 0 | 2,700 | 2,700 | 2,732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,675 |
| 339.22083-Electronic Bene | 44 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 44 |
| 339.22084-Federal-Seized | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 42 | 0 | 0 | 0 | 0 | 0 | 0 | (42) |
| 339.22085-DHCR Mortgage S | (3,683) | 0 | 3,833 | 0 | 0 | 0 | 3,833 | 0 | 4,418 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (4,268) |
| 339.22086-OMH-Research OH | 73 | 0 | 2,848 | 0 | 0 | 0 | 2,848 | 0 | 103 | 2,817 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22087-DMV-Compulsory | 7,836 | 0 | 24,600 | 0 | 0 | 0 | 24,600 | 0 | 8,477 | 1,330 | 214 | 0 | 4,737 | 0 | 0 | 12,718 | 4,960 |
| 339.22088-Prof Medic Cond | 10,952 | 0 | 24,900 | 0 | 0 | 0 | 24,900 | 0 | 11,080 | 7,010 | 322 | 0 | 6,217 | 0 | 0 | 3,127 | 8,096 |
| 339.22089-Hway Const & Ma | 1,508 | 0 | 260 | 0 | 0 | 0 | 260 | 0 | 0 | 117 | 0 | 0 | 0 | 0 | 0 | 0 | 1,651 |
| 339.22090-Housing Indirec | (5,475) | 0 | 0 | 0 | 0 | 5,739 | 5,739 | 0 | 2,471 | 0 | 0 | 0 | 0 | 0 | 0 | 201 | (2,408) |
| 339.22091-Adlt Hme Qlty E | 1,265 | 0 | 193 | 0 | 0 | 0 | 193 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 21 | 1,437 |
| 339.22092-Homeless Hsg | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22093-COCOT | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.22094-Accid Prevent C | 3,499 | 0 | 1,000 | 0 | 0 | 0 | 1,000 | 0 | 160 | 259 | 4 | 0 | 89 | 0 | 0 | 606 | 3,381 |

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2016

(thousands of dollars)

| Account Code-Name | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|--------|--------|--------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.22095-IG Szd Assets | 98 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 98 |
| 339.22096-Leg Svcs Assist | 18,171 | 0 | 13,600 | 0 | 0 | 0 | 13,600 | 13,200 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,890 | 15,741 |
| 339.22097-Loc Pub Hlth | 3,504 | 0 | 84 | 0 | 0 | 0 | 84 | 0 | 265 | 4 | 5 | 0 | 148 | 0 | 0 | 47 | 3,119 |
| 339.22098-Local Dist Trai | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 273 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (273) |
| 339.22099-Voting Mach Exa | 215 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 215 |
| 339.22099-Voting Mach Exa | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22099-Voting Mach Exa | 981 | 0 | 5,000 | 0 | 0 | 0 | 5,000 | 0 | 2,804 | 0 | 71 | 0 | 1,567 | 0 | 0 | 489 | 1,050 |
| 339.22100-DHCR HCA Applic | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 339.22101-EPIC Premium Ac | 88 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 88 |
| 339.22102-Drug Enforce Ta | 5,935 | 0 | 4,840 | 0 | 0 | 0 | 4,840 | 0 | 785 | 562 | 18 | 0 | 440 | 0 | 0 | 4,098 | 4,872 |
| 339.22103-Vital Rec Mgmt | 35 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 35 |
| 339.22104-CHCCDP Transfer | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 339.22105-Tobacco Enforce | 860 | 0 | 267 | 0 | 0 | 0 | 267 | 0 | 0 | 210 | 0 | 0 | 0 | 0 | 0 | 0 | 917 |
| 339.22108-Hwy Rev/Soc Sec | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 339.22109-Conference & Sp | 785 | 0 | 102 | 0 | 0 | 0 | 102 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 878 |
| 339.22110-Asst Living Res | 1,130 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 585 | 0 | 0 | 0 | 0 | 0 | 0 | 545 |
| 339.22111-OCFS Program | 139 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 139 |
| 339.22112-OTDA Income Acc | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| 339.22114-Disabil Determs | 37 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37 |
| 339.22115-OPWDD Jt Clin O | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 339.22116-Special Medical | 11,075 | 0 | 68,942 | 0 | 0 | 0 | 68,942 | 31,710 | 21,289 | 867 | 670 | 0 | 15,273 | 0 | 0 | 0 | 11,075 |
| 339.22117-Litigation Sett | 327 | 0 | 855 | 0 | 0 | 0 | 855 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 315 |
| 339.22118-Animal Populati | 56 | 0 | 6 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 62 |
| 339.22119-Love Your Libra | 116 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 116 |
| 339.22122-Local Wireless | 48,795 | 0 | 109,000 | 0 | 0 | 0 | 109,000 | 36,615 | 10,847 | 25,807 | 0 | 0 | 0 | 0 | 0 | 64,731 | 19,795 |
| 339.22123-Pub Sate Commun | (2) | 0 | 200 | 0 | 0 | 0 | 200 | 0 | 0 | 206 | 0 | 0 | 0 | 0 | 0 | 0 | (8) |
| 339.22124-Cuba Lake Mgmt | 69 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 69 |
| 339.22126-St Justice Inst | 283 | 0 | 1,500 | 0 | 0 | 0 | 1,500 | 1,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 283 |
| 339.22128-Med Reimb Acct | 3,088 | 0 | 3,631 | 0 | 0 | 0 | 3,631 | 0 | 2,277 | (1) | 58 | 0 | 1,272 | 0 | 0 | 150 | 2,963 |
| 339.22130-Low Inc Housing | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22131-Medicaid Inquir | 54 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 54 |
| 339.22132-New York Alert | 547 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 | 135 | 0 | 0 | 0 | 0 | 0 | 0 | 372 |
| 339.22133-Procure Op News | 873 | 0 | 593 | 0 | 0 | 0 | 593 | 0 | 443 | 150 | 0 | 0 | 0 | 0 | 0 | 0 | 873 |
| 339.22134-OVS RESTITUTION | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 339.22135-EFC Corp Admin | 999 | 0 | 1,300 | 0 | 0 | 0 | 1,300 | 0 | 219 | 1,052 | 6 | 0 | 127 | 0 | 0 | 0 | 895 |
| 339.22136-Food Prod Ctr | 87 | 0 | 32 | 0 | 0 | 0 | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 119 |
| 339.22137-Pet Dealer | 728 | 0 | 2,088 | 0 | 0 | 0 | 2,088 | 0 | 917 | 254 | 27 | 0 | 566 | 0 | 0 | 45 | 2,833 |
| 339.22138-Auth Bldg Office | 1,597 | 0 | 115 | 0 | 0 | 0 | 1,500 | 0 | 34,855 | 20,894 | 0 | 0 | 0 | 0 | 0 | 776 | (1,627) |
| 339.22140-Helen Hayes Hos | 2,997 | 0 | 350 | 0 | 0 | 0 | 30,151 | 0 | 15,593 | 8,548 | 0 | 0 | 7,136 | 0 | 0 | 214 | 1,657 |
| 339.22141-NYC Veterans | 134 | 0 | 120 | 0 | 0 | 0 | 20,026 | 0 | 16,511 | 6,173 | 0 | 0 | 0 | 0 | 0 | 437 | (2,961) |
| 339.22142-NYS Home-Vetera | 94 | 0 | 55 | 0 | 0 | 0 | 10,562 | 0 | 8,255 | 4,274 | 0 | 0 | 0 | 0 | 0 | 0 | (1,818) |
| 339.22143-WNY Vets Home | 571 | 0 | 30 | 0 | 0 | 0 | 28,377 | 0 | 16,511 | 7,598 | 0 | 0 | 0 | 0 | 0 | 174 | 4,695 |
| 339.22144-Montrose S V H | 6,906 | 0 | 0 | 0 | 0 | 0 | 112,031 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 112,031 | 6,906 |
| 339.22145-DOH Hospital Ho | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 339.22146-HEAP Earned Rev | 8,589 | 0 | 1,387 | 0 | 0 | 0 | 1,387 | 0 | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 8,976 |
| 339.22147-Quality of Care | 1,383 | 0 | 2,800 | 0 | 0 | 0 | 2,800 | 0 | 927 | 1,214 | 24 | 0 | 518 | 0 | 0 | 0 | 1,500 |
| 339.22149-Motor Fuel Qual | 131 | 0 | 350 | 0 | 0 | 0 | 350 | 0 | 290 | 101 | 7 | 0 | 162 | 0 | 0 | 0 | (79) |
| 339.22150-Weights Measure | (53) | 0 | 820 | 0 | 0 | 0 | 820 | 0 | 378 | 183 | 12 | 0 | 225 | 0 | 0 | 0 | (31) |
| 339.22151-Defer Comp Adm | 10 | 0 | 200 | 0 | 0 | 0 | 200 | 150 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 60 |
| 339.22152-Hazard Abatemen | 103 | 0 | 0 | 0 | 0 | 0 | 89 | 0 | 0 | 34 | 0 | 0 | 0 | 0 | 0 | 0 | 158 |
| 339.22153-Education Stats | 4,759 | 0 | 1,693 | 0 | 0 | 0 | 1,693 | 0 | 556 | 812 | 15 | 0 | 320 | 0 | 0 | 0 | 4,749 |
| 339.22154-Real Estate Fin | | | | | | | | | | | | | | | | | |

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2016

(thousands of dollars)

| Account Code-Name | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|---------|--------|--------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.22156-NYC Rent Rev | (4,615) | 0 | 48,862 | 0 | 0 | 0 | 48,862 | 0 | 23,854 | 7,750 | 604 | 0 | 13,330 | 0 | 0 | 4,115 | (5,406) |
| 339.22157-Medicaid Income | (331) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (331) |
| 339.22158-Rent Revenue | (614) | 0 | 550 | 0 | 0 | 0 | 550 | 0 | 380 | 0 | 10 | 0 | 212 | 0 | 0 | 0 | (666) |
| 339.22159-CSFP Salvage Ac | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 |
| 339.22161-ES Stem Cell Tr | 51 | 0 | 0 | 0 | 0 | 30,295 | 30,295 | 0 | 463 | 29,322 | 0 | 0 | 0 | 0 | 0 | 510 | 51 |
| 339.22162-Systems & Tech | 6,425 | 0 | 7,300 | 0 | 0 | 0 | 7,300 | 0 | 679 | 170 | 27 | 0 | 564 | 0 | 0 | 5,442 | 6,843 |
| 339.22163-OPR Patron Serv | 11,642 | 0 | 68,493 | 0 | 0 | 0 | 68,493 | 0 | 32,862 | 30,988 | 17 | 0 | 2,958 | 0 | 0 | 1,668 | 11,642 |
| 339.22165-Trans Aviatn | 2,209 | 0 | 3,660 | 0 | 0 | 0 | 3,660 | 0 | 125 | 3,646 | 4 | 0 | 70 | 0 | 0 | 0 | 2,024 |
| 339.22166-Teacher Edu Accr | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 |
| 339.22167-Training Academ | 297 | 0 | 10 | 0 | 0 | 0 | 10 | 0 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 297 |
| 339.22168-Tax Rev Arrear | 1,916 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 500 | 0 | 0 | 0 | 0 | 0 | 0 | 1,416 |
| 339.22169-TSCR Account | 17,000 | 0 | 195,700 | 0 | 0 | 0 | 195,700 | 78,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 121,200 | 13,000 |
| 339.22170-Statewide Gamin | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22171-Recruitment Inc | 3,692 | 0 | 39 | 0 | 0 | 2,087 | 2,126 | 0 | 0 | 1,186 | 0 | 0 | 0 | 0 | 0 | 0 | 4,632 |
| 339.22172-Undgrnd Sfty T | 55 | 0 | 110 | 0 | 0 | 0 | 110 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 175 | (10) |
| 339.22173-Vol Fire Rec&Re | 658 | 0 | 200 | 0 | 0 | 0 | 200 | 200 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 658 |
| 339.22174-HAVA Match | 1,573 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,573 |
| 339.22175-VRSS | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 16 |
| 339.22177-Occ Hlth Clinic | 2,195 | 0 | 9,000 | 0 | 0 | 0 | 9,000 | 0 | 329 | 9,553 | 7 | 0 | 184 | 0 | 0 | 105 | 1,017 |
| 339.22178-Crim Back Check | 378 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 378 |
| 339.22180-SR-Connections | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 339.22181-NYS Water Rescu | 8 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 |
| 339.22182-OWIG Adm Reimb | 3,173 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,173 |
| 339.22184-Wine Industry | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 |
| 339.22185-Assembly Recyc | 648 | 0 | 40 | 0 | 0 | 0 | 40 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 688 |
| 339.22186-Yth Fac PerDiem | 1 | 0 | 55,000 | 0 | 0 | 0 | 55,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 55,000 | 1 |
| 339.22187-Provider Assess | 0 | 0 | 792,000 | 0 | 0 | 0 | 792,000 | 792,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 339.22188-Fed Indirect Re | 142 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 142 |
| 339.22189-DOCS Asset Forf | 559 | 0 | 314 | 0 | 0 | 0 | 314 | 0 | 0 | 314 | 0 | 0 | 0 | 0 | 0 | 0 | 559 |
| 339.22190-Conference&Sign | 107 | 0 | 35 | 0 | 0 | 0 | 35 | 0 | 0 | 35 | 0 | 0 | 0 | 0 | 0 | 0 | 107 |
| 339.22191-Educ Assessment | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 |
| 339.22192-Tax Ret Prep Fe | 2,670 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,670 |
| 339.22193-Sales Tax Re Fe | 12 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 |
| 339.22195-Equitable Shari | 2,276 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,276 |
| 339.22196-C & F Qual Enha | 14 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 |
| 339.22197-ULTV RADIA DEV | 323 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 323 |
| 339.22198-HEP | 98 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 300 | 0 | 0 | 0 | 0 | 0 | 0 | (202) |
| 339.22199-Airport Securit | 175 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 175 |
| 339.22202-SECI Account | 9 | 0 | 12,000 | 0 | 0 | 0 | 12,000 | 12,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 |
| 339.22203-Article X Inter | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 94 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (93) |
| 339.22206-Wholesale Mkt | 10,016 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2,000 | 0 | 0 | 0 | 0 | 0 | 0 | 8,016 |
| 339.22207-Tech Financing | 5,315 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 5,315 |
| 339.22208-Offender Progra | 0 | 0 | 1,000 | 0 | 0 | 0 | 1,000 | 0 | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 339.22212-Lake George Inv | 18 | 0 | 350 | 0 | 0 | 0 | 350 | 0 | 35 | 285 | 10 | 0 | 20 | 0 | 0 | 0 | 18 |
| 339.22213-BOE Enforcement | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| 339. CSTFX-Cig Task Force | 0 | 0 | 0 | 0 | 0 | 4,095 | 4,095 | 0 | 1,572 | 1,605 | 40 | 0 | 878 | 0 | 0 | 0 | 0 |

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2016**
(thousands of dollars)

| | 002 | 072 | 074 | 075 | 076 | 077 | 078 | 079 | 101 | 105 | 109 | 115 | 121 |
|---|------------------|------------------|----------------|--------------|-----------------|-----------|----------------|----------|------------|------------|--------------|--------------|---------------|
| Opening Fund Balance | (1) | (60,127) | 111,073 | 3,622 | (87,063) | 14 | 36,813 | 1 | 164 | 668 | 3,328 | 1,803 | 54,269 |
| Receipts: | | | | | | | | | | | | | |
| Taxes | 0 | 1,229,950 | 0 | 0 | 0 | 0 | 119,100 | 0 | 0 | 0 | 0 | 0 | 0 |
| Miscellaneous Receipts | 2,922,915 | 1,378,332 | 10,645 | 1,800 | 116,850 | 0 | 59,650 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Grants | 0 | 4,892 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Receipts | 2,922,915 | 2,613,174 | 10,645 | 1,800 | 116,850 | 0 | 178,750 | 0 | 0 | 0 | 0 | 0 | 0 |
| Disbursements: | | | | | | | | | | | | | |
| Grants to Local Governments | 2,128,557 | 80,687 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Operations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| General State Charges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 2,156,257 | 2,076,044 | 60,645 | 1,800 | 116,850 | 0 | 176,750 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Disbursements | 4,284,814 | 2,156,731 | 60,645 | 1,800 | 116,850 | 0 | 176,750 | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Financing Sources (Uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 1,364,224 | 1,022,998 | 50,000 | 0 | 0 | 0 | 23,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| Transfers to Other Funds | (2,325) | (1,449,309) | 0 | 0 | 0 | 0 | (25,000) | 0 | (25) | (600) | (100) | (1,500) | (244,590) |
| Bond & Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25 | 600 | 100 | 1,500 | 244,590 |
| Net Other Financing Sources (Uses) | 1,361,899 | (426,311) | 50,000 | 0 | 0 | 0 | (2,000) | 0 | 0 | 0 | 0 | 0 | 0 |
| Change in Fund Balance | 0 | 30,132 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Closing Fund Balance | (1) | (29,995) | 111,073 | 3,622 | (87,063) | 14 | 36,813 | 1 | 164 | 668 | 3,328 | 1,803 | 54,269 |

| | 123 | 124 | 126 | 127 | 291 | 310 | 312 | 327 | 357 | 374 | 376 | 378 | 380 |
|---|--------------|---------------|--------------|---------------|------------------|------------|------------------|------------|----------------|-----------------|------------------|---------------|-----------------|
| Opening Fund Balance | 4,255 | 11,869 | 2,814 | 29,092 | (381,976) | 897 | (125,279) | 506 | (7,597) | (13,972) | (129,815) | 14,991 | (12,559) |
| Receipts: | | | | | | | | | | | | | |
| Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Miscellaneous Receipts | 0 | 0 | 0 | 0 | 0 | 10 | 103,250 | 0 | 19,031 | 0 | 136,052 | 1,000 | 0 |
| Federal Grants | 0 | 0 | 0 | 0 | 1,690,852 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Receipts | 0 | 0 | 0 | 0 | 1,690,852 | 10 | 103,250 | 0 | 19,031 | 0 | 136,052 | 1,000 | 0 |
| Disbursements: | | | | | | | | | | | | | |
| Grants to Local Governments | 0 | 0 | 0 | 0 | 716,361 | 0 | 0 | 0 | 0 | 0 | 136,227 | 0 | 0 |
| State Operations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| General State Charges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 | 720,185 | 10 | 112,897 | 0 | 19,031 | 0 | 400 | 1,017 | 0 |
| Total Disbursements | 0 | 0 | 0 | 0 | 1,436,546 | 10 | 112,897 | 0 | 19,031 | 0 | 136,627 | 1,017 | 0 |
| Other Financing Sources (Uses): | | | | | | | | | | | | | |
| Transfers from Other Funds | 0 | 0 | 0 | 0 | 0 | 0 | 22,200 | 0 | 0 | 0 | 575 | 0 | 0 |
| Transfers to Other Funds | (1,000) | (4,000) | (2,000) | (31,400) | (302,872) | 0 | (28,849) | 0 | 0 | 0 | 0 | 0 | 0 |
| Bond & Note Proceeds | 1,000 | 4,000 | 2,000 | 31,400 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | 0 | 0 | 0 | 0 | (302,872) | 0 | (6,649) | 0 | 0 | 0 | 575 | 0 | 0 |
| Change in Fund Balance | 0 | 0 | 0 | 0 | (48,566) | 0 | (16,296) | 0 | 0 | 0 | 0 | (17) | 0 |
| Closing Fund Balance | 4,255 | 11,869 | 2,814 | 29,092 | (430,542) | 897 | (141,575) | 506 | (7,597) | (13,972) | (129,815) | 14,974 | (12,559) |

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2016
(thousands of dollars)

| | 384 | 387 | 388 | 389 | 399 | B01 | C01 | C02 | C03 | CPO | F07 | Sub Total | Eliminations | Financial Plan |
|---|---------|--------|------|-----------|----------|-----------|----------|---------|-----------|-----|------|-------------|--------------|----------------|
| Opening Fund Balance | 273,011 | 33,993 | (23) | (407,390) | (38,416) | 0 | (43,372) | 0 | 0 | 0 | (85) | (724,492) | 0 | (724,492) |
| Receipts: | | | | | | | | | | | | | | |
| Taxes | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,349,050 | 0 | 1,349,050 |
| Miscellaneous Receipts | 150,000 | 9,045 | 0 | 159,050 | 203,660 | 0 | 28,181 | 0 | 0 | 1 | 0 | 5,299,472 | 0 | 5,299,472 |
| Federal Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,695,744 | 0 | 1,695,744 |
| Total Receipts | 150,000 | 9,045 | 0 | 159,050 | 203,660 | 0 | 28,181 | 0 | 0 | 1 | 0 | 8,344,266 | 0 | 8,344,266 |
| Disbursements: | | | | | | | | | | | | | | |
| Grants to Local Governments | 0 | 0 | 0 | 94,117 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,153,949 | 0 | 3,153,949 |
| State Operations | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| General State Charges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 150,000 | 22,900 | 0 | 66,683 | 225,160 | 0 | 28,181 | 121,548 | 1,101,175 | 0 | 0 | 7,158,533 | 0 | 7,158,533 |
| Total Disbursements | 150,000 | 22,900 | 0 | 160,800 | 225,160 | 0 | 28,181 | 121,548 | 1,101,175 | 0 | 0 | 10,312,482 | 0 | 10,312,482 |
| Other Financing Sources (Uses): | | | | | | | | | | | | | | |
| Transfers from Other Funds | 24,686 | 13,273 | 0 | 1,750 | 21,500 | 0 | 0 | 121,548 | 4,550,000 | 0 | 0 | 7,215,754 | (978,823) | 6,236,931 |
| Transfers to Other Funds | 0 | 0 | 0 | 0 | 0 | (400,000) | 0 | 0 | 0 | 0 | 0 | (2,493,570) | 978,823 | (1,514,747) |
| Bond & Note Proceeds | 0 | 0 | 0 | 0 | 0 | 400,000 | 0 | 0 | 0 | 0 | 0 | 685,215 | 0 | 685,215 |
| Net Other Financing Sources (Uses) | 24,686 | 13,273 | 0 | 1,750 | 21,500 | 0 | 0 | 121,548 | 4,550,000 | 0 | 0 | 5,407,399 | 0 | 5,407,399 |
| Change in Fund Balance | 24,686 | (582) | 0 | 0 | 0 | 0 | 0 | 0 | 3,448,825 | 1 | (85) | 3,439,163 | 0 | 3,439,163 |
| Closing Fund Balance | 297,697 | 33,411 | (23) | (407,390) | (38,416) | 0 | (43,372) | 0 | 3,448,825 | 1 | (85) | 2,715,491 | 0 | 2,715,491 |

**CASH COMBINING STATEMENT
DEBT SERVICE
FY 2016**

| | 304 | 311 | 316 | 319 | 361 | 364 | Sub Total | Eliminations | Financial Plan |
|---|-----------------|---------------------|--------------|-----------------|------------------|--------------------|---------------------|---------------------|-----------------------|
| Opening Fund Balance | 90,382 | 0 | 0 | 28,279 | (1) | (1) | 118,659 | 0 | 118,659 |
| Receipts: | | | | | | | | | |
| Taxes | 0 | 14,930,964 | 0 | 0 | 965,900 | 3,162,250 | 19,060,114 | 0 | 19,060,114 |
| Miscellaneous Receipts | 320,988 | 0 | 7,168 | 141,832 | 0 | 500 | 470,488 | 0 | 470,488 |
| Federal Grants | 0 | 73,050 | 0 | 0 | 0 | 0 | 73,050 | 0 | 73,050 |
| Total Receipts | 320,988 | 15,004,014 | 7,168 | 141,832 | 965,900 | 3,162,750 | 19,603,652 | 0 | 19,603,652 |
| Disbursements: | | | | | | | | | |
| Grants to Local Governments | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Operations | 3,713 | 34,896 | 0 | 1,898 | 0 | 3,453 | 43,960 | 0 | 43,960 |
| General State Charges | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Debt Service | 200,008 | 4,493,700 | 8,168 | 28,307 | 0 | 392,230 | 5,122,413 | 0 | 5,122,413 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Disbursements | 203,721 | 4,528,596 | 8,168 | 30,205 | 0 | 395,683 | 5,166,373 | 0 | 5,166,373 |
| Other Financing Sources (Uses): | | | | | | | | | |
| Transfers from Other Funds | 1,453,708 | 2,705,835 | 1,000 | 42,069 | 0 | 0 | 4,202,612 | (305,743) | 3,896,869 |
| Transfers to Other Funds | (1,500,814) | (13,181,253) | 0 | (141,832) | (965,900) | (2,767,067) | (18,556,866) | 305,743 | (18,251,123) |
| Bond & Note Proceeds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | (47,106) | (10,475,418) | 1,000 | (99,763) | (965,900) | (2,767,067) | (14,354,254) | 0 | (14,354,254) |
| Change in Fund Balance | 70,161 | 0 | 0 | 11,864 | 0 | 0 | 83,025 | 0 | 83,025 |
| Closing Fund Balance | 160,543 | 0 | 0 | 40,143 | (1) | (1) | 201,684 | 0 | 201,684 |

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2016**
(thousands of dollars)

| Fund Account | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|---------------------------|-----------------|-------|----------------|----------------|---------------|----------------|----------------|-------|--------|---------|----------------|-------------|--------|------|---------|--------------|-------------|-----------------|
| 323.55009-Admin Support | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| 323.55010-Design & Constr | (4,052) | 0 | 60,620 | 0 | 0 | 0 | 60,620 | 0 | 28,817 | 14,538 | 729 | 0 | 16,103 | 0 | 0 | 866 | 61,053 | (4,485) |
| 323.55020-OGS Ent Contr | (70,579) | 0 | 200,000 | 0 | 0 | 0 | 200,000 | 0 | 600 | 199,074 | 16 | 0 | 310 | 0 | 0 | 0 | 200,000 | (70,579) |
| 323.55022-Business Srv Ct | 43 | 0 | 12,369 | 0 | 0 | 0 | 12,369 | 0 | 5,581 | 5,000 | 318 | 0 | 1,470 | 0 | 0 | 0 | 12,369 | 43 |
| 323.550ML-Broome St Waste | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 323.550XX-Misc Centr Srv | 107 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 107 |
| 323.5502X-OGS Exec Direct | (20,524) | 0 | 134,605 | 0 | 0 | 21,794 | 156,399 | 0 | 4,384 | 119,387 | 106 | 0 | 2,538 | 0 | 0 | 30,189 | 156,404 | (20,529) |
| 323.5502Y-OGS Bldg Admin | 3,690 | 0 | 25,229 | 0 | 0 | 0 | 25,229 | 0 | 1,903 | 18,061 | 48 | 0 | 1,063 | 0 | 0 | 50 | 21,125 | 7,794 |
| 323.550ZZ-OGS Sid & Purch | (3,764) | 0 | 11,017 | 0 | 0 | 0 | 11,017 | 0 | 3,169 | 4,713 | 77 | 0 | 1,704 | 0 | 0 | 2,708 | 12,371 | (5,118) |
| 334.55050-Agencies Int Sv | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| 334.55052-Archives R | 156 | 0 | 1,500 | 0 | 0 | 0 | 1,500 | 0 | 920 | 114 | 28 | 0 | 411 | 0 | 0 | 0 | 1,473 | 183 |
| 334.55053-Fedl Single Aud | 2,444 | 0 | 1,500 | 0 | 0 | 0 | 1,500 | 0 | 0 | 1,500 | 0 | 0 | 0 | 0 | 0 | 0 | 1,500 | 2,444 |
| 334.55054-Quick Copy Cent | (3) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (3) |
| 334.55055-CS Administrat | 3,172 | 0 | 5,963 | 0 | 0 | 0 | 5,963 | 0 | 2,673 | 500 | 82 | 0 | 1,597 | 0 | 0 | 1,651 | 6,503 | 2,632 |
| 334.55056-EHS Occup Hlth | 118 | 0 | 870 | 0 | 0 | 0 | 870 | 0 | 614 | 484 | 18 | 0 | 363 | 0 | 0 | 8 | 1,487 | (499) |
| 334.55057-Banking Service | (7) | 0 | 500 | 0 | 0 | 51,805 | 52,305 | 0 | 0 | 50,820 | 46 | 0 | 0 | 0 | 0 | 0 | 50,820 | 1,478 |
| 334.55058-Cult Resources | (2,999) | 0 | 7,329 | 0 | 0 | 0 | 7,329 | 0 | 1,429 | 4,082 | 46 | 0 | 894 | 0 | 0 | 284 | 6,735 | (2,405) |
| 334.55059-Neighbor Work P | (9,757) | 0 | 8,200 | 0 | 0 | 0 | 8,200 | 8,100 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8,100 | (9,657) |
| 334.55060-Auto/Print Chgb | 2,666 | 0 | 17,613 | 0 | 0 | 0 | 17,613 | 0 | 8,419 | 4,345 | 0 | 0 | 4,705 | 0 | 0 | 0 | 17,469 | 2,810 |
| 334.55061-NYT Account | (4,516) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (4,516) |
| 334.55062-State Data Ctr | (44,030) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (44,030) |
| 334.55063-Human Svs Tele | 7,083 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7,083 |
| 334.55065-OPWDD Copy Cent | 648 | 0 | 150 | 0 | 0 | 0 | 150 | 0 | 0 | 150 | 0 | 0 | 0 | 0 | 0 | 0 | 150 | 648 |
| 334.55066-Intrusion Detec | (1,482) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1,482) |
| 334.55067-Dom Violence Gr | (197) | 0 | 797 | 0 | 0 | 0 | 797 | 0 | 697 | 97 | 3 | 0 | 0 | 0 | 0 | 0 | 797 | (197) |
| 334.55068-Statewide Train | 94 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 94 |
| 334.55069-Cent Tech Svcs. | (31,749) | 0 | 22,000 | 0 | 0 | 8,360 | 30,360 | 0 | 2,250 | 30,000 | 92 | 0 | 1,240 | 0 | 0 | 0 | 33,582 | (34,971) |
| 334.55070-Learning Mgmt S | 1,430 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,430 |
| 334.55071-Labor Cont Ctr | (232) | 0 | 1,753 | 0 | 0 | 0 | 1,753 | 0 | 1,367 | 321 | 38 | 0 | 777 | 0 | 0 | 0 | 2,503 | (982) |
| 334.55072-HS Cont Ctr | 236 | 0 | 7,950 | 0 | 0 | 0 | 7,950 | 0 | 4,784 | 1,590 | 148 | 0 | 2,819 | 0 | 0 | 0 | 9,341 | (1,155) |
| 334.550CR-Civil Recover | (2) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) |
| 334.550MI-Personnel Mgmt | 29 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 29 |
| 334.550PF-Public Financng | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 334.550XZ-Misc Intl Serv | (112) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (112) |
| 343.55100-Mental Hygiene | 118 | 0 | 1,967 | 0 | 0 | 0 | 1,967 | 0 | 987 | 1,144 | 22 | 0 | 478 | 0 | 0 | 0 | 2,631 | (546) |
| 347.55150-DFY Voc Educatn | 51 | 0 | 25 | 0 | 0 | 0 | 25 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 76 |
| 394.55200-Joint Labor-Mgt | 1,172 | 0 | 2,000 | 0 | 0 | 0 | 2,000 | 0 | 906 | 396 | 30 | 0 | 522 | 0 | 0 | 0 | 1,854 | 1,318 |
| 395.55251-Ex Dir Intl Aud | 658 | 0 | 1,550 | 0 | 0 | 0 | 1,550 | 0 | 2,124 | 396 | 66 | 0 | 1,267 | 0 | 0 | 0 | 3,853 | (1,645) |
| 395.55252-CIO INFO TECH C | 453 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 453 |
| 396.55300-Health Ins Inlr | (7,958) | 0 | 14,121 | 0 | 0 | 7,843 | 21,964 | 0 | 8,851 | 1,700 | 269 | 0 | 5,419 | 0 | 0 | 3,428 | 19,667 | (5,661) |
| 396.55301-CS EBD Adm Reim | (3,668) | 0 | 4,500 | 0 | 0 | 240 | 4,740 | 0 | 1,796 | 390 | 54 | 0 | 1,064 | 0 | 0 | 639 | 3,943 | (2,871) |
| 397.55350-Corr Industries | (15,455) | 0 | 49,000 | 0 | 0 | 10,500 | 59,500 | 0 | 18,545 | 31,170 | 527 | 0 | 10,689 | 0 | 0 | 357 | 61,288 | (17,243) |

CASH COMBINING STATEMENT BY ACCOUNT

ENTERPRISE
FY 2016

(thousands of dollars)

| Fund Account | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local | PS | NPS | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|----------------------------|-----------------|-----------|----------------|----------------|---------------|----------------|----------------|-------|-------|--------|----------------|-------------|-------|------|---------|--------------|-------------|-----------------|
| 324.50000-DFY Commissary | 198 | 0 | 120 | 0 | 0 | 0 | 120 | 0 | 0 | 120 | 0 | 0 | 0 | 0 | 0 | 0 | 120 | 198 |
| 325.50050-State Fair Rece | 408 | 0 | 18,000 | 0 | 0 | 0 | 18,000 | 0 | 5,543 | 11,049 | 156 | 0 | 1,900 | 0 | 0 | 0 | 18,648 | (240) |
| 326.50100-DOCS Commissary | 2,841 | 0 | 39,262 | 0 | 0 | 0 | 39,262 | 0 | 0 | 39,180 | 0 | 0 | 0 | 0 | 0 | 0 | 39,180 | 2,923 |
| 331.50301-Mental Disab Pr | 17 | 0 | 7 | 0 | 0 | 0 | 7 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 17 |
| 331.50302-DFY Products | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 331.50303-Aging Enterpris | 0 | 0 | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| 331.50304-Maps And Demogr | 13 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 14 |
| 331.50305-Empire St Games | (3) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (3) |
| 331.50306-DSS Trng Matrls | 171 | 0 | 24 | 0 | 0 | 0 | 24 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 195 |
| 331.50311-Arts & Crafts | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 331.50313-TRAID Services | 11 | 0 | 10 | 0 | 0 | 0 | 10 | 0 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 11 |
| 331.50318-Convention Ctr | 393 | 0 | 1,222 | 0 | 0 | 0 | 1,222 | 0 | 599 | 159 | 15 | 0 | 335 | 0 | 0 | 18 | 1,126 | 489 |
| 331.50319-Attica Emp Mess | 206 | 0 | 1,256 | 0 | 0 | 0 | 1,256 | 0 | 282 | 803 | 10 | 0 | 167 | 0 | 0 | 0 | 1,262 | 200 |
| 331.50322-Asset Preservat | 69 | 0 | 14 | 0 | 0 | 0 | 14 | 0 | 0 | 21 | 0 | 0 | 0 | 0 | 0 | 0 | 21 | 62 |
| 331.50323-Farm Program | 1,254 | 0 | 629 | 0 | 0 | 0 | 629 | 0 | 123 | 455 | 2 | 0 | 60 | 0 | 0 | 0 | 640 | 1,243 |
| 331.503NY-NY-Alert | 0 | 0 | 1,000 | 0 | 0 | 0 | 1,000 | 0 | 0 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 | 1,000 | 0 |
| 331.503ZZ-DDPC Pub Acct | 0 | 0 | 10 | 0 | 0 | 0 | 10 | 0 | 0 | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 0 |
| 331.ESPVC-ESP Vis Ctr Gif | 0 | 0 | 300 | 0 | 0 | 0 | 300 | 0 | 105 | 131 | 3 | 0 | 61 | 0 | 0 | 0 | 300 | 0 |
| 351.50400-OMH Shelt Wkshs | 1,660 | 0 | 2,200 | 0 | 0 | 0 | 2,200 | 0 | 0 | 2,200 | 0 | 0 | 0 | 0 | 0 | 0 | 2,200 | 1,660 |
| 352.50450-MR Shel Wkshp | 1,439 | 0 | 950 | 0 | 0 | 0 | 950 | 0 | 0 | 1,050 | 0 | 0 | 0 | 0 | 0 | 0 | 1,050 | 1,339 |
| 353.50500-MH & MR Communi | 3,675 | 0 | 2,200 | 0 | 0 | 0 | 2,200 | 0 | 383 | 1,172 | 8 | 0 | 178 | 0 | 0 | 0 | 1,741 | 4,134 |
| 353.50516-MR Community St | 159 | 0 | 551 | 0 | 0 | 0 | 551 | 0 | 219 | 326 | 9 | 0 | 0 | 0 | 0 | 0 | 554 | 156 |
| 450.2595F-IEA / State Fai | (1) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1) |
| 481.50650-U Benefit Frnd | 880,981 | 2,450,000 | 0 | 50,000 | 0 | 0 | 2,500,000 | 0 | 0 | 0 | 0 | 2,500,000 | 0 | 0 | 0 | 0 | 2,500,000 | 880,981 |
| 481.50651-Interest Assess | 17,096 | 0 | 30,000 | 0 | 0 | 0 | 30,000 | 0 | 0 | 30,000 | 0 | 0 | 0 | 0 | 0 | 0 | 30,000 | 17,096 |
| 481.506FS-Federal Stimulu | (860,933) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (860,933) |

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2015 RESULTS
(thousands of dollars)

| | Local Assistance | | State Operations | |
|---|-------------------|-------------------|------------------|------------------|
| | Cash | Appropriation | Cash | Appropriation |
| ECONOMIC DEVELOPMENT | | | | |
| Agriculture and Markets, Department of | 25,233 | 50,294 | 33,220 | 42,383 |
| Economic Development, Department of | 35,749 | 250,140 | 18,085 | 26,880 |
| Empire State Development Corporation | 83,744 | 334,170 | 50 | 0 |
| Olympic Regional Development Authority | 0 | 0 | 3,011 | 4,168 |
| FUNCTIONAL TOTAL | 144,726 | 634,604 | 54,366 | 73,431 |
| PARKS AND THE ENVIRONMENT | | | | |
| Adirondack Park Agency | 0 | 0 | 4,276 | 4,385 |
| Environmental Conservation, Department of | 2,772 | 18,055 | 91,929 | 143,786 |
| Parks, Recreation and Historic Preservation, Office of | 2,144 | 13,276 | 110,043 | 129,656 |
| FUNCTIONAL TOTAL | 4,916 | 31,331 | 206,248 | 277,827 |
| TRANSPORTATION | | | | |
| Thruway Authority, New York State | 0 | 0 | 18,341 | 24,000 |
| Transportation, Department of | 97,583 | 99,248 | 1,082 | 1,050 |
| FUNCTIONAL TOTAL | 97,583 | 99,248 | 19,423 | 25,050 |
| HEALTH | | | | |
| Aging, Office for the | 121,111 | 227,268 | 1,436 | 1,439 |
| Health, Department of | 12,417,385 | 69,206,559 | 326,367 | 639,565 |
| Medicaid Inspector General, Office of the | 0 | 0 | 20,821 | 22,886 |
| FUNCTIONAL TOTAL | 12,538,496 | 69,433,827 | 348,624 | 663,890 |
| SOCIAL WELFARE | | | | |
| Children and Family Services, Office of | 1,595,335 | 2,640,909 | 234,581 | 308,569 |
| Housing and Community Renewal, Division of | 10,023 | 32,567 | 6,483 | 12,618 |
| Human Rights, Division of | 0 | 0 | 10,582 | 12,010 |
| Labor, Department of | 7,348 | 51,990 | 273 | 485 |
| National and Community Service | 450 | 1,563 | 237 | 334 |
| Temporary and Disability Assistance, Office of | 1,235,758 | 1,406,694 | 139,524 | 202,777 |
| FUNCTIONAL TOTAL | 2,848,914 | 4,133,723 | 391,680 | 536,793 |
| MENTAL HYGIENE | | | | |
| Alcoholism and Substance Abuse Services, Office of | 25,046 | 31,752 | 0 | 0 |
| Mental Health, Office of | 354,103 | 395,482 | 257 | 796 |
| People with Developmental Disabilities, Office for | 1,026,217 | 1,827,357 | 0 | 0 |
| Justice Center | 170 | 208 | 29,302 | 36,685 |
| Quality of Care and Advocacy for Persons With Disabilities, Commission on | 0 | 0 | 0 | 0 |
| FUNCTIONAL TOTAL | 1,405,536 | 2,254,799 | 29,559 | 37,481 |
| PUBLIC PROTECTION | | | | |
| Correction, Commission of | 0 | 0 | 2,222 | 2,894 |
| Correctional Services, Department of | 5,939 | 32,245 | 2,639,105 | 2,608,804 |
| Criminal Justice Services, Division of | 126,916 | 270,971 | 34,772 | 38,194 |
| Disaster Assistance | 2,726 | 0 | (10,737) | 0 |
| Homeland Security and Emergency Services, Division of | 31,256 | 591,118 | 6,852 | 6,288 |
| Judicial Conduct, Commission on | 0 | 0 | 5,384 | 5,484 |
| Judicial Nomination, Commission on | 0 | 0 | 24 | 30 |
| Judicial Screening, Committees | 0 | 0 | 12 | 38 |
| Military and Naval Affairs, Division of | 724 | 1,700 | 20,914 | 23,666 |
| State Police, Division of | 0 | 0 | 608,608 | 601,313 |
| Statewide Financial System | 0 | 0 | 29,264 | 29,700 |
| Victim Services | 943 | 0 | 0 | 0 |
| FUNCTIONAL TOTAL | 168,504 | 896,034 | 3,336,420 | 3,316,411 |
| EDUCATION | | | | |
| Arts, Council on the | 62,791 | 73,332 | 3,312 | 4,119 |
| City University of New York | 1,394,867 | 1,419,169 | 0 | 0 |
| Education, Department of | 20,483,682 | 43,120,383 | 48,969 | 49,670 |
| Higher Education Services Corporation, New York State | 1,177,916 | 1,042,334 | 0 | 0 |
| State University of New York | 486,438 | 485,787 | 9,459 | 0 |
| FUNCTIONAL TOTAL | 23,605,694 | 46,141,005 | 61,740 | 53,789 |
| GENERAL GOVERNMENT | | | | |
| Budget, Division of the | 0 | 0 | 20,322 | 29,857 |
| Civil Service, Department of | 0 | 0 | 12,276 | 14,079 |
| Deferred Compensation | 0 | 0 | 37 | 111 |
| Elections, State Board of | 253 | 2,600 | 5,708 | 8,140 |
| Employee Relations, Office of | 0 | 0 | 2,210 | 7,863 |
| General Services, Office of | 0 | 0 | 142,293 | 144,985 |
| Inspector General, Office of the | 0 | 0 | 7,069 | 6,794 |
| Labor Management Committee | 0 | 0 | 24,098 | 95,239 |
| Prevention of Domestic Violence, Office for | 543 | 1,808 | 1,354 | 1,728 |
| Public Employment Relations Board | 0 | 0 | 3,096 | 3,600 |
| Public Integrity, Commission on | 0 | 0 | 3,628 | 4,382 |
| State, Department of | 4,210 | 18,554 | 13,690 | 17,652 |
| Tax Appeals, Division of | 0 | 0 | 2,849 | 3,121 |
| Taxation and Finance, Department of | 906 | 926 | 272,871 | 279,250 |
| Technology, Office for | 0 | 1,530 | 426,415 | 418,262 |
| Veterans' Affairs, Division of | 7,395 | 13,924 | 5,344 | 7,009 |
| Welfare Inspector General, Office of | 0 | 0 | 573 | 1,162 |
| FUNCTIONAL TOTAL | 13,307 | 39,342 | 943,833 | 1,043,234 |
| ELECTED OFFICIALS | | | | |
| Audit and Control, Department of | 32,025 | 32,025 | 125,795 | 127,345 |
| Executive Chamber | 0 | 0 | 13,966 | 17,854 |
| Law, Department of | 0 | 0 | 101,795 | 102,823 |
| Judiciary | 2,437 | 17,446 | 1,805,478 | 1,821,881 |
| Legislature | 0 | 0 | 205,758 | 394,733 |
| Lieutenant Governor, Office of the | 0 | 0 | 366 | 630 |
| FUNCTIONAL TOTAL | 34,462 | 49,471 | 2,253,158 | 2,465,266 |
| LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION | | | | |
| Sales Tax Asset Receivable Corporation | 170,000 | 170,000 | 0 | 0 |
| Local Government Assistance | 765,276 | 918,332 | 100 | 2,500 |
| FUNCTIONAL TOTAL | 935,276 | 1,088,332 | 100 | 2,500 |

NOTE 1: Cash disbursements can vary from the level of available appropriation authority due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reapropriations, and other appropriation amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year appropriations for School Aid and Medicaid programs. The Medicaid appropriation also includes authorization for Medicaid spending that is expected to occur in agencies outside of DOH.

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2016 ENACTED
(thousands of dollars)

| | Local Assistance | | State Operations | |
|---|-------------------|-------------------|------------------|------------------|
| | Cash | Appropriation | Cash | Appropriation |
| ECONOMIC DEVELOPMENT | | | | |
| Agriculture and Markets, Department of | 35,197 | 53,663 | 32,861 | 44,617 |
| Economic Development, Department of | 49,716 | 250,585 | 20,178 | 28,564 |
| Empire State Development Corporation | 90,074 | 334,676 | 850 | 0 |
| Olympic Regional Development Authority | 0 | 0 | 3,011 | 4,168 |
| FUNCTIONAL TOTAL | 174,987 | 638,924 | 56,900 | 77,349 |
| PARKS AND THE ENVIRONMENT | | | | |
| Adirondack Park Agency | 0 | 0 | 4,332 | 4,563 |
| Environmental Conservation, Department of | 15,225 | 24,303 | 93,983 | 151,439 |
| Parks, Recreation and Historic Preservation, Office of | 3,655 | 17,525 | 111,339 | 129,545 |
| FUNCTIONAL TOTAL | 18,880 | 41,828 | 209,654 | 285,547 |
| TRANSPORTATION | | | | |
| Thruway Authority, New York State | 0 | 0 | 21,500 | 21,500 |
| Transportation, Department of | 113,151 | 114,818 | 1,153 | 1,050 |
| FUNCTIONAL TOTAL | 113,151 | 114,818 | 22,653 | 22,550 |
| HEALTH | | | | |
| Aging, Office for the | 130,576 | 209,339 | 1,306 | 1,311 |
| Health, Department of | 12,962,761 | 70,738,383 | 471,840 | 802,417 |
| Medicaid Inspector General, Office of the | 0 | 0 | 21,236 | 21,893 |
| FUNCTIONAL TOTAL | 13,093,337 | 70,947,722 | 494,382 | 825,621 |
| SOCIAL WELFARE | | | | |
| Children and Family Services, Office of | 1,754,414 | 2,811,887 | 226,304 | 302,854 |
| Housing and Community Renewal, Division of | 5,404 | 27,538 | 4,550 | 12,618 |
| Human Rights, Division of | 0 | 0 | 9,961 | 12,010 |
| Labor, Department of | 8,000 | 34,673 | 288 | 285 |
| National and Community Service | 350 | 1,397 | 337 | 334 |
| Temporary and Disability Assistance, Office of | 1,218,164 | 1,335,232 | 143,088 | 196,445 |
| FUNCTIONAL TOTAL | 2,986,332 | 4,210,727 | 384,528 | 524,546 |
| MENTAL HYGIENE | | | | |
| Alcoholism and Substance Abuse Services, Office of | 31,853 | 31,293 | 0 | 0 |
| Mental Health, Office of | 275,463 | 393,982 | 800 | 796 |
| People with Developmental Disabilities, Office for | 876,819 | 1,811,612 | 0 | 0 |
| Justice Center | 170 | 255 | 39,322 | 41,685 |
| Quality of Care and Advocacy for Persons With Disabilities, Commission on | 0 | 0 | 0 | 0 |
| FUNCTIONAL TOTAL | 1,184,305 | 2,237,142 | 40,122 | 42,481 |
| PUBLIC PROTECTION | | | | |
| Correction, Commission of | 0 | 0 | 2,651 | 2,894 |
| Correctional Services, Department of | 6,022 | 47,280 | 2,687,150 | 2,722,586 |
| Criminal Justice Services, Division of | 138,350 | 299,786 | 34,464 | 38,017 |
| Disaster Assistance | 0 | 0 | (45,309) | 0 |
| Homeland Security and Emergency Services, Division of | (11,804) | 741,618 | 4,496 | 4,800 |
| Judicial Conduct, Commission on | 0 | 0 | 5,584 | 5,584 |
| Judicial Nomination, Commission on | 0 | 0 | 30 | 30 |
| Judicial Screening, Committees | 0 | 0 | 38 | 38 |
| Military and Naval Affairs, Division of | 911 | 1,595 | 23,032 | 25,354 |
| State Police, Division of | 0 | 0 | 601,612 | 614,402 |
| Statewide Financial System | 0 | 0 | 30,137 | 30,137 |
| Victim Services | 2,788 | 2,788 | 0 | 0 |
| FUNCTIONAL TOTAL | 136,267 | 1,093,067 | 3,343,885 | 3,443,842 |
| EDUCATION | | | | |
| Arts, Council on the | 40,835 | 80,459 | 4,320 | 4,319 |
| City University of New York | 1,426,107 | 1,452,148 | 0 | 0 |
| Education, Department of | 22,258,312 | 45,816,470 | 49,583 | 49,970 |
| Higher Education Services Corporation, New York State | 1,045,791 | 1,114,839 | 0 | 0 |
| State University of New York | 503,258 | 503,199 | 0 | 0 |
| FUNCTIONAL TOTAL | 25,274,303 | 48,967,115 | 53,903 | 54,289 |
| GENERAL GOVERNMENT | | | | |
| Budget, Division of the | 0 | 0 | 24,436 | 29,778 |
| Civil Service, Department of | 0 | 0 | 12,465 | 14,533 |
| Deferred Compensation | 0 | 0 | 57 | 111 |
| Elections, State Board of | 1,800 | 2,200 | 9,346 | 8,482 |
| Employee Relations, Office of | 0 | 0 | 2,581 | 7,863 |
| Gaming | 0 | 0 | 6,971 | 6,971 |
| General Services, Office of | 0 | 0 | 151,237 | 156,730 |
| Inspector General, Office of the | 0 | 0 | 6,917 | 6,794 |
| Labor Management Committee | 0 | 0 | 35,056 | 111,482 |
| Prevention of Domestic Violence, Office for | 685 | 1,876 | 1,591 | 1,728 |
| Public Employment Relations Board | 0 | 0 | 3,529 | 3,600 |
| Public Integrity, Commission on | 0 | 0 | 5,531 | 5,582 |
| State, Department of | 20,752 | 25,279 | 13,399 | 14,614 |
| Tax Appeals, Division of | 0 | 0 | 3,040 | 3,040 |
| Taxation and Finance, Department of | 926 | 926 | 263,448 | 270,327 |
| Technology, Office for | 0 | 1,530 | 512,706 | 513,167 |
| Veterans' Affairs, Division of | 9,387 | 16,409 | 6,338 | 6,759 |
| Welfare Inspector General, Office of | 0 | 0 | 972 | 1,162 |
| FUNCTIONAL TOTAL | 33,550 | 48,220 | 1,059,620 | 1,162,723 |
| ELECTED OFFICIALS | | | | |
| Audit and Control, Department of | 32,024 | 32,025 | 127,318 | 127,345 |
| Executive Chamber | 0 | 0 | 13,578 | 17,854 |
| Law, Department of | 0 | 0 | 101,538 | 102,823 |
| Judiciary | 17,400 | 17,446 | 1,850,076 | 1,870,073 |
| Legislature | 0 | 0 | 217,845 | 403,180 |
| Lieutenant Governor, Office of the | 0 | 0 | 614 | 630 |
| FUNCTIONAL TOTAL | 49,424 | 49,471 | 2,310,969 | 2,521,905 |
| LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION | | | | |
| Sales Tax Asset Receivable Corporation | 170,000 | 170,000 | 0 | 0 |
| Local Government Assistance | 784,554 | 950,332 | 0 | 2,500 |
| FUNCTIONAL TOTAL | 954,554 | 1,120,332 | 0 | 2,500 |

NOTE 1: Cash disbursements can vary from the level of available appropriation authority due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year appropriations for School Aid and Medicaid programs. The Medicaid appropriation also includes authorization for Medicaid spending that is expected to occur in agencies outside of DOH.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2016
(millions of dollars)**

| | <u>Executive</u> | <u>Change</u> | <u>Enacted</u> |
|---|------------------|---------------|----------------|
| Revenues: | | | |
| Taxes: | | | |
| Personal Income Tax | 31,477 | 245 | 31,722 |
| Consumption/Use Taxes | 6,746 | (146) | 6,600 |
| Business Taxes | 5,739 | 294 | 6,033 |
| Other Taxes | 1,054 | (49) | 1,005 |
| Miscellaneous Receipts | 6,195 | 12 | 6,207 |
| Federal Receipts | 0 | 0 | 0 |
| Total Receipts | <u>51,211</u> | <u>356</u> | <u>51,567</u> |
| Expenditures: | | | |
| Local Assistance Grants | 44,197 | 537 | 44,734 |
| Departmental Operations | 12,557 | (4) | 12,553 |
| General State Charges | 6,972 | (19) | 6,953 |
| Debt Service | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 |
| Total Disbursements | <u>63,726</u> | <u>514</u> | <u>64,240</u> |
| Other Financing Sources (Uses): | | | |
| Transfers From Other Funds | 18,395 | 768 | 19,163 |
| Transfers To Other Funds | (10,305) | 62 | (10,243) |
| Proceeds From Financing Arrangements/ Advance Refundings | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | <u>8,090</u> | <u>830</u> | <u>8,920</u> |
| Operating Surplus/(Deficit) | <u>(4,425)</u> | <u>672</u> | <u>(3,753)</u> |
| Accumulated Surplus/(Deficit) | <u>476</u> | <u>672</u> | <u>1,148</u> |
| Adjusted for Monetary Settlements* | | | |
| Adjusted Operating Surplus/(Deficit) | <u>125</u> | <u>672</u> | <u>797</u> |

*Fiscal year 2016 reflects the impact of monies received from monetary settlements (\$5.40 billion received in FY 2015). In FY 2016, the Financial Plan anticipates transferring \$4.55 billion to a dedicated capital infrastructure fund. Adjusted numbers are provided here for display purposes to reflect a GAAP perspective excluding these extraordinary revenues.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2016 THROUGH FY 2019
(millions of dollars)**

| | FY 2016 Enacted | FY 2017 Projected | FY 2018 Projected | FY 2019 Projected |
|---|----------------------------|------------------------------|------------------------------|------------------------------|
| Revenues: | | | | |
| Taxes: | | | | |
| Personal Income Tax | 31,722 | 34,223 | 36,278 | 36,450 |
| Consumption/Use Taxes | 6,600 | 6,914 | 7,165 | 7,440 |
| Business Taxes | 6,033 | 5,893 | 5,914 | 6,069 |
| Other Taxes | 1,005 | 928 | 883 | 912 |
| Miscellaneous Receipts | 6,207 | 5,214 | 4,876 | 4,643 |
| Federal Receipts | 0 | 0 | 0 | 0 |
| Total Receipts | 51,567 | 53,172 | 55,116 | 55,514 |
| Expenditures: | | | | |
| Local Assistance Grants | 44,734 | 47,579 | 49,938 | 52,495 |
| Departmental Operations | 12,553 | 12,498 | 12,754 | 12,627 |
| General State Charges | 6,953 | 7,751 | 8,250 | 8,676 |
| Debt Service | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 |
| Total Disbursements | 64,240 | 67,828 | 70,942 | 73,798 |
| Other Financing Sources (Uses): | | | | |
| Transfers From Other Funds | 19,163 | 19,203 | 19,726 | 19,855 |
| Transfers To Other Funds | (10,243) | (6,132) | (6,551) | (6,619) |
| Proceeds From Financing Arrangements/ Advance Refundings | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | 8,920 | 13,071 | 13,175 | 13,236 |
| Operating Surplus/(Deficit) | (3,753) | (1,585) | (2,651) | (5,048) |
| Adjusted for Monetary Settlements* | | | | |
| Adjusted Operating Surplus/(Deficit) | 797 | | | |

*Fiscal year 2016 reflects the impact of monies received from monetary settlements (\$5.40 billion received in FY 2015). In FY 2016, the Financial Plan anticipates transferring \$4.55 billion to a dedicated capital infrastructure fund. Adjusted numbers are provided here for display purposes to reflect a GAAP perspective excluding these extraordinary revenues.

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2016**
(millions of dollars)

| | General Fund | Special Revenue Funds | Capital Projects Funds | Debt Service Funds | (MEMO) Total |
|---|-----------------|-----------------------------|------------------------------|--------------------------|-----------------|
| Revenues: | | | | | |
| Taxes | 45,360 | 8,376 | 1,347 | 19,059 | 74,142 |
| Public Health/Patient Fees | 0 | 4,594 | 0 | 463 | 5,057 |
| Miscellaneous Receipts | 6,207 | 1,809 | 685 | 7 | 8,708 |
| Federal Receipts | 0 | 56,036 | 1,696 | 73 | 57,805 |
| Total Receipts | <u>51,567</u> | <u>70,815</u> | <u>3,728</u> | <u>19,602</u> | <u>145,712</u> |
| Expenditures: | | | | | |
| Local Assistance Grants | 44,734 | 68,843 | 3,247 | 0 | 116,824 |
| Departmental Operations | 12,553 | 1,963 | 0 | 44 | 14,560 |
| General State Charges | 6,953 | 428 | 0 | 0 | 7,381 |
| Debt Service | 0 | 0 | 0 | 4,059 | 4,059 |
| Capital Projects | 0 | 1 | 7,016 | 0 | 7,017 |
| Total Disbursements | <u>64,240</u> | <u>71,235</u> | <u>10,263</u> | <u>4,103</u> | <u>149,841</u> |
| Other Financing Sources (Uses): | | | | | |
| Transfers From Other Funds | 19,163 | 3,614 | 6,162 | 3,897 | 32,836 |
| Transfers To Other Funds | (10,243) | (3,264) | (1,515) | (19,314) | (34,336) |
| Proceeds Of General Obligation Bonds | 0 | 0 | 685 | 0 | 685 |
| Proceeds From Financing Arrangements/ Advance Refundings | 0 | 0 | 4,623 | 0 | 4,623 |
| Net Other Financing Sources (Uses) | <u>8,920</u> | <u>350</u> | <u>9,955</u> | <u>(15,417)</u> | <u>3,808</u> |
| Operating Surplus/(Deficit) | <u>(3,753)</u> | <u>(70)</u> | <u>3,420</u> | <u>82</u> | <u>(321)</u> |

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2016**
(millions of dollars)

| | Major Funds | | | | | Eliminations | Total |
|---|----------------|-----------------|----------------------|--------------------|-------------|----------------|-------|
| | General Fund | Special Revenue | General Debt Service | Governmental Funds | Other Funds | | |
| Revenues: | | | | | | | |
| Taxes: | | | | | | | |
| Personal Income Tax | 31,722 | 0 | 11,721 | 3,430 | 0 | 46,873 | |
| Consumption/Use Taxes | 6,600 | 0 | 3,209 | 5,764 | 0 | 15,573 | |
| Business Taxes | 6,033 | 0 | 0 | 2,244 | 0 | 8,277 | |
| Other Taxes | 1,005 | 0 | 0 | 2,414 | 0 | 3,419 | |
| Public Health/Patient Fees | 0 | 0 | 0 | 5,057 | 0 | 5,057 | |
| Miscellaneous Receipts | 6,207 | 97 | 0 | 2,404 | 0 | 8,708 | |
| Federal Receipts | 0 | 56,035 | 73 | 1,697 | 0 | 57,805 | |
| Total Receipts | 51,567 | 56,132 | 15,003 | 23,010 | 0 | 145,712 | |
| Expenditures: | | | | | | | |
| Local Assistance Grants | 44,734 | 51,832 | 0 | 20,258 | 0 | 116,824 | |
| Departmental Operations | 12,553 | 1,544 | 35 | 428 | 0 | 14,560 | |
| General State Charges | 6,953 | 317 | 0 | 111 | 0 | 7,381 | |
| Debt Service | 0 | 0 | 3,430 | 629 | 0 | 4,059 | |
| Capital Projects | 0 | 0 | 0 | 7,017 | 0 | 7,017 | |
| Total Disbursements | 64,240 | 53,693 | 3,465 | 28,443 | 0 | 149,841 | |
| Other Financing Sources (Uses): | | | | | | | |
| Transfers From Other Funds | 19,163 | 36 | 2,706 | 10,931 | (21,516) | 11,320 | |
| Transfers To Other Funds | (10,243) | (2,466) | (14,244) | (7,383) | 21,516 | (12,820) | |
| Proceeds Of General Obligation Bonds | 0 | 0 | 0 | 685 | 0 | 685 | |
| Proceeds From Financing Arrangements/ Advance Refundings | 0 | 0 | 0 | 4,623 | 0 | 4,623 | |
| Net Other Financing Sources (Uses) | 8,920 | (2,430) | (11,538) | 8,856 | 0 | 3,808 | |
| Operating Surplus/(Deficit) | (3,753) | 9 | 0 | 3,423 | 0 | (321) | |

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2016
(millions of dollars)

| | Perspective | | Entity | | Cash Basis Subtotal | Changes in Accruals | Eliminations | Intrafund Eliminations | Reclassification | GAAP Financial Plan |
|---|---------------------|-----------------------|-------------|---------------------|---------------------|---------------------|--------------|------------------------|------------------|---------------------|
| | Difference | | Difference | | | | | | | |
| | Cash Financial Plan | Special Revenue Funds | Other Funds | Cash Basis Subtotal | | | | | | |
| Revenues: | | | | | | | | | | |
| Taxes: | | | | | | | | | | |
| Personal Income Tax | 31,924 | 0 | 0 | 0 | 31,924 | (202) | 0 | 0 | 0 | 31,722 |
| Consumption/Use Taxes | 6,890 | 0 | 0 | 0 | 6,890 | (290) | 0 | 0 | 0 | 6,600 |
| Business Taxes | 5,897 | 0 | 0 | 0 | 5,897 | 136 | 0 | 0 | 0 | 6,033 |
| Other Taxes | 1,069 | 0 | 0 | 0 | 1,069 | (64) | 0 | 0 | 0 | 1,005 |
| Miscellaneous Receipts | 4,365 | 1,195 | 663 | 663 | 6,223 | (206) | 718 | (590) | 62 | 6,207 |
| Federal Receipts | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Revenues | 50,145 | 1,195 | 663 | 663 | 52,003 | (626) | 718 | (590) | 62 | 51,567 |
| Expenditures: | | | | | | | | | | |
| Local Assistance Grants | 44,356 | 1,965 | 8 | 8 | 46,329 | (498) | 0 | 0 | (1,097) | 44,734 |
| Departmental Operations | 8,263 | 4,146 | 660 | 660 | 13,069 | 6 | (41) | (590) | 109 | 12,553 |
| General State Charges | 5,195 | 1,652 | 61 | 61 | 6,908 | (238) | 759 | 0 | (476) | 6,953 |
| Debt Service | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Projects | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures | 57,814 | 7,763 | 729 | 729 | 66,306 | (730) | 718 | (590) | (1,464) | 64,240 |
| Other Financing Sources (Uses): | | | | | | | | | | |
| Transfers From Other Funds | 18,140 | 7,016 | 101 | 101 | 25,257 | 0 | (5,734) | 0 | (360) | 19,163 |
| Transfers To Other Funds | (14,276) | (497) | (38) | (38) | (14,811) | 0 | 5,734 | 0 | (1,166) | (10,243) |
| Proceeds From Financing Arrangements/ Advance Refundings | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Net Other Financing Sources (Uses) | 3,864 | 6,519 | 63 | 63 | 10,446 | 0 | 0 | 0 | (1,526) | 8,920 |
| Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses | (3,805) | (49) | (3) | (3) | (3,857) | 104 | 0 | 0 | 0 | (3,753) |
| (Increase)/Decrease In Reserves | 3,805 | 0 | 0 | 0 | 3,805 | (3,805) | 0 | 0 | 0 | 0 |
| Operating Surplus/(Deficit) | 0 | (49) | (3) | (3) | (52) | (3,701) | 0 | 0 | 0 | (3,753) |

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS

FY 2016

| Revenues: | (millions of dollars) | | | | | | | | | | |
|---|------------------------------|-----------------|-----------------|------------------|--------------------|--------------|-----------------------|--------------|--------------------|-----------------|-----------------------------|
| | Estimated Cash Disbursements | CUNY (Fund 377) | SUNY (Fund 345) | MSSRF (Fund 339) | LOTTERY (Fund 160) | Food Stamps | Reclass Public Health | Reclass SUNY | Interfund Activity | System Accruals | Estimated GAAP Expenditures |
| Taxes | 8,373 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 | 8,376 |
| Public Health | 0 | 0 | 0 | 0 | 0 | 0 | 4,594 | 0 | 0 | 0 | 4,594 |
| Miscellaneous Receipts | 15,275 | (84) | (4,300) | (1,195) | (3,333) | 0 | (4,594) | 0 | 0 | 40 | 1,809 |
| Federal Receipts | 49,627 | 0 | 0 | 0 | 0 | 6,092 | 0 | 0 | 0 | 317 | 56,036 |
| Total Receipts | 73,275 | (84) | (4,300) | (1,195) | (3,333) | 6,092 | 0 | 0 | 0 | 360 | 70,815 |
| Expenditures: | | | | | | | | | | | |
| Local Assistance Grants | 64,399 | 0 | 0 | (1,965) | (5) | 6,092 | 0 | 0 | 0 | 322 | 68,843 |
| Departmental Operations | 12,010 | (84) | (5,414) | (4,146) | (130) | 0 | (302) | 0 | 0 | 29 | 1,963 |
| General State Charges | 2,445 | 0 | (366) | (1,652) | (12) | 0 | 0 | 0 | 0 | 13 | 428 |
| Capital Projects | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Total Disbursements | 78,855 | (84) | (5,780) | (7,763) | (147) | 6,092 | 0 | (302) | 0 | 364 | 71,235 |
| Other Financing Sources (Uses): | | | | | | | | | | | |
| Transfers From Other Funds | 9,233 | 0 | (1,798) | (7,016) | 3,164 | 0 | 0 | 0 | 31 | 0 | 3,614 |
| Transfers To Other Funds | (3,543) | 0 | 115 | 497 | 0 | 0 | (302) | (31) | 0 | 0 | (3,264) |
| Net Other Financing Sources (Uses) | 5,690 | 0 | (1,683) | (6,519) | 3,164 | 0 | (302) | 0 | 0 | 0 | 350 |
| Operating Surplus/(Deficit) | 110 | 0 | (203) | 49 | (22) | 0 | 0 | 0 | 0 | (4) | (70) |

CASH TO GAAP CONVERSION TABLE
CAPITAL PROJECTS FUND

FY 2016

| Revenues: | (millions of dollars) | | | | | | | | | |
|---|------------------------------|-----------------------|-------------------------|----------------------|--------------------|----------|--------------------|------------------|-----------------|-----------------------------|
| | Estimated Cash Disbursements | SUNY Rehab (Fund 074) | SUNY Capital (Fund 384) | SUNY/CUNY (Fund 002) | Appropriated Loans | COPS | Off-Budget Capital | Reclass Proceeds | System Accruals | Estimated GAAP Expenditures |
| Taxes | 1,349 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (2) | 1,347 |
| Miscellaneous Receipts | 5,299 | (11) | (150) | (763) | (7) | 0 | 0 | (3,754) | 71 | 685 |
| Federal Receipts | 1,696 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1,696 |
| Total Receipts | 8,344 | (11) | (150) | (763) | (7) | 0 | 0 | (3,754) | 69 | 3,728 |
| Expenditures: | | | | | | | | | | |
| Local Assistance Grants | 3,154 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 93 | 3,247 |
| Capital Projects | 7,159 | (61) | (150) | (966) | (7) | 0 | 889 | 0 | 152 | 7,016 |
| Total Disbursements | 10,313 | (61) | (150) | (966) | (7) | 0 | 889 | 0 | 245 | 10,263 |
| Other Financing Sources (Uses): | | | | | | | | | | |
| Transfers From Other Funds | 6,237 | (50) | (25) | 0 | 0 | 0 | 0 | 0 | 0 | 6,162 |
| Transfers To Other Funds | (1,515) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (1,515) |
| Proceeds Of GO Bonds | 685 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 685 |
| Proceeds From Financing Arrangements/Advance Refundings | 0 | 0 | 0 | 0 | 0 | 0 | 869 | 3,754 | 0 | 4,623 |
| Net Other Financing Sources (Uses) | 5,407 | (50) | (25) | 0 | 0 | 0 | 869 | 3,754 | 0 | 9,955 |
| Operating Surplus/(Deficit) | 3,438 | 0 | (25) | 203 | 0 | 0 | (20) | 0 | (176) | 3,420 |

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND

FY 2016

| Revenues: | (millions of dollars) | | | | | | | | | |
|---|------------------------------|----------|----------------------|----------------|-----------------|-----------------------------|--|--|--|--|
| | Estimated Cash Disbursements | LGAC | Reclass Patient Fees | SUNY/CUNY DS | System Accruals | Estimated GAAP Expenditures | | | | |
| Taxes | 19,059 | 0 | 0 | 0 | 0 | 19,059 | | | | |
| Patient Fees | 0 | 0 | 463 | 0 | 0 | 463 | | | | |
| Miscellaneous Receipts | 470 | 0 | (463) | 0 | 0 | 7 | | | | |
| Federal Receipts | 73 | 0 | 0 | 0 | 0 | 73 | | | | |
| Total Receipts | 19,602 | 0 | 0 | 0 | 0 | 19,602 | | | | |
| Expenditures: | | | | | | | | | | |
| Departmental Operations | 44 | 0 | 0 | 0 | 0 | 44 | | | | |
| Debt Service | 5,122 | 0 | 0 | (1,063) | 0 | 4,059 | | | | |
| Total Disbursements | 5,166 | 0 | 0 | (1,063) | 0 | 4,103 | | | | |
| Other Financing Sources (Uses): | | | | | | | | | | |
| Transfers From Other Funds | 3,897 | 0 | 0 | 0 | 0 | 3,897 | | | | |
| Transfers To Other Funds | (18,251) | 0 | 0 | (1,063) | 0 | (19,314) | | | | |
| Net Other Financing Sources (Uses) | (14,354) | 0 | 0 | (1,063) | 0 | (15,417) | | | | |
| Operating Surplus/(Deficit) | 82 | 0 | 0 | 0 | 0 | 82 | | | | |

| STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC | | | APPENDIX |
|--|-----------------|--|---------------------|
| SFS FUND RANGE | CAS FUND NUMBER | FUND NAME | FUND CLASSIFICATION |
| 10000-10049 | 001 | Local Assistance Account | General |
| 10050-10099 | 003 | State Operations Account | General |
| 10100-10149 | 004 | Tax Stabilization Reserve Account | General |
| 10150-10199 | 005 | Contingency Reserve Fund | General |
| 10200-10249 | 006 | Universal Pre-Kindergarten Reserve | General |
| 10250-10299 | 007 | Community Projects Fund | General |
| 10300-10349 | 008 | Rainy Day Reserve Fund | General |
| 10400-10449 | 017 | Refund Reserve Account | General |
| 10450-10499 | 100 | General Fund | General |
| 10500-10549 | 166 | Fringe Benefit Escrow Account | General |
| 10550-10599 | 348 | Tobacco Revenue Guarantee Fund | General |
| 20000-20099 | 019 | Mental Health Gifts and Donations Fund | Special Revenue |
| 20100-20299 | 020 | Combined Expendable Trust Fund | Special Revenue |
| 20300-20349 | 023 | New York Interest on Lawyer Account Fund | Special Revenue |
| 20350-20399 | 024 | NYS Archives Partnership Trust Fund | Special Revenue |
| 20400-20449 | 025 | Child Performer's Protection Fund | Special Revenue |
| 20450-20499 | 050 | Tuition Reimbursement Fund | Special Revenue |
| 20500-20549 | 052 | NYS Local Government Records Management Improvement Fund | Special Revenue |
| 20550-20599 | 053 | School Tax Relief Fund | Special Revenue |
| 20600-20649 | 054 | Charter Schools Stimulus Fund | Special Revenue |
| 20650-20699 | 055 | Not-For-Profit Short-Term Revolving Loan Fund | Special Revenue |
| 20800-20849 | 061 | Health Care Reform Act (HCRA) Resources Fund | Special Revenue |
| 20850-20899 | 073 | Dedicated Mass Transportation Trust Fund | Special Revenue |
| 20900-20949 | 160 | State Lottery Fund | Special Revenue |
| 20950-20999 | 221 | Combined Student Loan Fund | Special Revenue |
| 21000-21049 | 300 | Sewage Treatment Program Management and Administration Fund | Special Revenue |
| 21050-21149 | 301 | Environmental Conservation Special Revenue Fund | Special Revenue |
| 21150-21199 | 302 | Conservation Fund | Special Revenue |
| 21200-21249 | 303 | Environmental Protection and Oil Spill Compensation Fund | Special Revenue |
| 21250-21299 | 305 | Training and Education Program on Occupational Safety and Health Fund | Special Revenue |
| 21300-21349 | 306 | Lawyers' Fund For Client Protection | Special Revenue |
| 21350-21399 | 307 | Equipment Loan Fund for the Disabled | Special Revenue |
| 21400-21449 | 313 | Mass Transportation Operating Assistance Fund | Special Revenue |
| 21450-21499 | 314 | Clean Air Fund | Special Revenue |
| 21500-21549 | 318 | New York State Infrastructure Trust Fund | Special Revenue |
| 21550-21599 | 321 | Legislative Computer Services Fund | Special Revenue |
| 21600-21649 | 328 | Biodiversity Stewardship and Research Fund | Special Revenue |
| 21650-21699 | 332 | Combined Non-Expendable Trust Fund | Special Revenue |
| 21700-21749 | 333 | Winter Sports Education Trust Fund | Special Revenue |
| 21750-21799 | 335 | Musical Instrument Revolving Fund | Special Revenue |
| 21850-21899 | 338 | Arts Capital Revolving Fund | Special Revenue |
| 21900-22499 | 339 | Miscellaneous Special Revenue Account | Special Revenue |
| 22500-22549 | 340 | Court Facilities Incentive Aid Fund | Special Revenue |
| 22550-22599 | 341 | Employment Training Fund | Special Revenue |
| 22600-22649 | 342 | Homeless Housing and Assistance Fund | Special Revenue |
| 22650-22699 | 345 | State University Income Fund | Special Revenue |
| 22700-22749 | 346 | Chemical Dependence Service Fund | Special Revenue |
| 22750-22799 | 349 | Lake George Park Trust Fund | Special Revenue |
| 22800-22849 | 354 | State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund | Special Revenue |
| 22850-22899 | 355 | New York Great Lakes Protection Fund | Special Revenue |
| 22900-22949 | 359 | Federal Revenue Maximization Fund | Special Revenue |
| 22950-22999 | 360 | Housing Development Fund | Special Revenue |
| 23000-23049 | 362 | NYS DOT Highway Safety Program Fund | Special Revenue |
| 23050-23099 | 365 | Vocational Rehabilitation Fund | Special Revenue |
| 23100-23149 | 366 | Drinking Water Program Management and Administration Fund | Special Revenue |
| 23150-23199 | 368 | New York City County Clerks' Operations Offset Fund | Special Revenue |
| 23200-23249 | 369 | Judiciary Data Processing Offset Fund | Special Revenue |
| 23250-23449 | 377 | IFR/City University Tuition Fund | Special Revenue |

| STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC | | | APPENDIX |
|--|-----------------|---|---------------------|
| SFS FUND RANGE | CAS FUND NUMBER | FUND NAME | FUND CLASSIFICATION |
| 23500-23549 | 385 | US Olympic Committee/Lake Placid Olympic Training Fund | Special Revenue |
| 23550-23599 | 390 | Indigent Legal Services Fund | Special Revenue |
| 23600-23649 | 482 | Unemployment Insurance Interest and Penalty Fund | Special Revenue |
| 23650-23699 | 225 | Metropolitan Transportation Authority (MTA) Financial Assistance Fund | Special Revenue |
| 23700-23750 | | New York State Commercial Gaming Fund | Special Revenue |
| 25000-25099 | 261 | Federal USDA-Food and Nutrition Services Fund | Special Revenue |
| 25100-25199 | 265 | Federal Health and Human Services Fund | Special Revenue |
| 25200-25249 | 267 | Federal Education Fund | Special Revenue |
| 25250-25299 | 269 | Federal Block Grants Fund | Special Revenue |
| 25300-25899 | 290 | Federal Miscellaneous Operating Grants Fund | Special Revenue |
| 25900-25949 | 480 | Federal Unemployment Insurance Administration Fund | Special Revenue |
| 25950-25999 | 484 | Federal Unemployment Insurance Occupational Training Fund | Special Revenue |
| 26000-26049 | 486 | Federal Emergency Employment Act Fund | Special Revenue |
| 30000-30049 | 002 | State Capital Projects Fund | Capital Projects |
| 30050-30099 | 072 | Dedicated Highway and Bridge Trust Fund | Capital Projects |
| 30100-30299 | 074 | SUNY Residence Halls Rehabilitation and Repair Fund | Capital Projects |
| 30300-30349 | 075 | NYS Canal System Development Fund | Capital Projects |
| 30350-30399 | 076 | State Park Infrastructure Fund | Capital Projects |
| 30400-30449 | 077 | Passenger Facility Charge Fund | Capital Projects |
| 30450-30499 | 078 | Environmental Protection Fund | Capital Projects |
| 30500-30549 | 079 | Clean Water/Clean Air Implementation Fund | Capital Projects |
| 30600-30609 | 101 | Energy Conservation Thru Improved Transportation Bond Fund | Capital Projects |
| 30610-30619 | 103 | Park and Recreation Land Acquisition Bond Fund | Capital Projects |
| 30620-30629 | 105 | Pure Waters Bond Fund | Capital Projects |
| 30630-30639 | 109 | Transportation Capital Facilities Bond Fund | Capital Projects |
| 30640-30649 | 115 | Environmental Quality Protection Fund | Capital Projects |
| 30650-30659 | 121 | Rebuild and Renew New York Transportation Bond Fund | Capital Projects |
| 30660-30669 | 123 | Transportation Infrastructure Renewal Bond Fund | Capital Projects |
| 30670-30679 | 124 | Environmental Quality Bond Act Fund | Capital Projects |
| 30680-30689 | 126 | Accelerated Capacity and Transportation Improvements Bond Fund | Capital Projects |
| 30690-30699 | 127 | Clean Water/Clean Air Bond Fund | Capital Projects |
| 30700-30749 | 119 | State Housing Bond Fund | Capital Projects |
| 30750-30799 | 106 | Outdoor Recreation Development Bond Fund | Capital Projects |
| 30900-30949 | 118 | Rail Preservation and Development Bond Fund | Capital Projects |
| 31350-31449 | 291 | Federal Capital Projects Fund | Capital Projects |
| 31450-31499 | 310 | Forest Preserve Expansion Fund | Capital Projects |
| 31500-31549 | 312 | Hazardous Waste Remedial Fund | Capital Projects |
| 31650-31699 | 327 | Suburban Transportation Fund | Capital Projects |
| 31700-31749 | 357 | Division for Youth Facilities Improvement Fund | Capital Projects |
| 31800-31849 | 374 | Housing Assistance Fund | Capital Projects |
| 31850-31899 | 376 | Housing Program Fund | Capital Projects |
| 31900-31949 | 378 | Natural Resource Damages Fund | Capital Projects |
| 31950-31999 | 380 | Department of Transportation Engineering Services Fund | Capital Projects |
| 32200-32249 | 387 | Miscellaneous Capital Projects Fund | Capital Projects |
| 32250-32299 | 388 | City University of New York Capital Projects Fund | Capital Projects |
| 32300-32349 | 389 | Mental Hygiene Facilities Capital Improvement Fund | Capital Projects |
| 32350-32399 | 399 | Correctional Facilities Capital Improvement Fund | Capital Projects |
| 32400-32999 | 384 | State University Capital Projects Fund | Capital Projects |
| 33000-33049 | | New York State Storm Recovery Capital Fund | Capital Projects |
| 40000-40049 | 064 | Debt Reduction Reserve Fund | Debt Service |
| 40100-40149 | 304 | Mental Health Services Fund | Debt Service |
| 40150-40199 | 311 | General Debt Service Fund | Debt Service |
| 40250-40299 | 316 | Housing Debt Fund | Debt Service |
| 40300-40349 | 319 | Department of Health Income Fund | Debt Service |
| 40350-40399 | 330 | State University Dormitory Income Fund | Debt Service |
| 40400-40449 | 361 | Clean Water/Clean Air Fund | Debt Service |
| 40450-40499 | 364 | Local Government Assistance Tax Fund | Debt Service |
| 50000-50049 | 324 | Youth Commissary Account | Enterprise |
| 50050-50099 | 325 | State Exposition Special Account | Enterprise |

| STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC | | | APPENDIX |
|--|-------------------|--|-----------------------|
| SFS FUND RANGE | CAS FUND NUMBER | FUND NAME | FUND CLASSIFICATION |
| 50100-50299 | 326 | Correctional Services Commissary Account | Enterprise |
| 50300-50399 | 331 | Agencies Enterprise Fund | Enterprise |
| 50400-50449 | 351 | Office of Mental Health Sheltered Workshop Fund | Enterprise |
| 50450-50499 | 352 | Office for Persons with Developmental Disabilities Sheltered Workshop Fund | Enterprise |
| 50500-50599 | 353 | Mental Hygiene Community Stores Account | Enterprise |
| 50650-50699 | 481 | Unemployment Insurance Benefit Fund | Enterprise |
| 55000-55049 | 323 | Centralized Services Account | Internal Service |
| 55050-55099 | 334 | Agencies Internal Service Account | Internal Service |
| 55100-55149 | 343 | Mental Hygiene Revolving Account | Internal Service |
| 55150-55199 | 347 | Youth Vocational Education Account | Internal Service |
| 55200-55249 | 394 | Joint Labor/Management Administration Account | Internal Service |
| 55250-55299 | 395 | Audit and Control Revolving Account | Internal Service |
| 55300-55349 | 396 | Health Insurance Revolving Account | Internal Service |
| 55350-55399 | 397 | Correctional Industries Revolving Account | Internal Service |
| 60050-60149 | 130 | School Capital Facilities Financing Reserve Fund | Agency |
| 60150-60199 | 135 136 137 | Child Performer's Holding Fund | Agency |
| 60200-60249 | 152 | Employees Health Insurance Fund | Agency |
| 60250-60299 | 153 | Social Security Contribution Fund | Agency |
| 60300-60399 | 154 | Payroll Deduction Escrow Fund | Agency |
| 60400-60449 | 162 | Employees Dental Insurance Fund | Agency |
| 60450-60499 | 163 | Management Confidential Group Insurance Fund | Agency |
| 60500-60549 | 165 | Lottery Prize Fund | Agency |
| 60550-60599 | 167 | Health Insurance Reserve Receipts Fund | Agency |
| 60600-60799 | 169 | Miscellaneous NYS Agency Fund | Agency |
| 60800-60849 | 175 | EPIC Escrow Fund | Agency |
| 60850-60899 | 176 | CUNY Senior College Operating Fund | Agency |
| 60900-60949 | 179 | MMIS Statewide Escrow Fund | Agency |
| 60950-60999 | 309 | Special Education Fund | Agency |
| 61000-61099 | 344 | State University New York Revenue Collection Fund | Agency |
| 61100-61999 | 382 | State University Federal Direct Lending Program Fund | Agency |
| 65000-65049 | 400 | Common Retirement Fund | Pension Trust |
| 66000-66049 | 021 | Agriculture Producers' Security Fund | Private Purpose Trust |
| 66050-66099 | 022 | Milk Producers' Security Fund | Private Purpose Trust |

STATE OF NEW YORK FUND STRUCTURE

