

SLFRF Compliance Report - SLT-1201-P&E Report-Q1 2022

Report Period : Quarter 1 2022 (January-March)

Recipient Profile

Recipient Information

Recipient UEI	DKBAJQ45GQS8
Recipient TIN	146013200
Recipient Legal Entity Name	State of New York
Recipient Type	State or Territory
FAIN	
CFDA No./Assistance Listing	
Recipient Address	110 State Street
Recipient Address 2	
Recipient Address 3	
Recipient City	Albany
Recipient State/Territory	NY
Recipient Zip5	12236
Recipient Zip+4	
Recipient Reporting Tier	Tier 1. States, U.S. territories, metropolitan cities and counties with a population that exceeds 250,000 residents
Discrepancies Explanation	
Who approves the budget in your jurisdiction?	Legislature + Executive
Is your budget considered executed at the point of obligation?	Yes
Is the Recipient Registered in SAM.Gov?	Yes

Project Overview

Does your jurisdiction have projects to report as of this reporting period?	My jurisdiction has projects to report
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Project Name: Tourism Recovery - Hotel Workers/Restaurant Resiliency

Project Identification Number	2
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.35-Aid to Tourism Travel or Hospitality
Status To Completion	Completed 50% or more
Adopted Budget	\$78,000,000.00
Program Income Earned	\$0.00
Program Income Expended	\$0.00
Total Cumulative Obligations	\$0.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	
Current Period Expenditures	
Project Description	"The New York State Department of Labor (DOL) is administering the program in order to provide one-time stimulus payments of \$2,750 to as many as 36,000 workers in select tourism sectors who have been displaced from employment because these sectors and jobs were least likely to have returned following the pandemic. Industries impacted especially hard by the pandemic include tourism-specific industries, such as hotels and motels, food service contractors, limousine service, and travel agencies. The Department of Labor is contacting eligible New Yorkers regarding this one-time payment directly by email and text message with further instructions. Eligible New Yorkers must complete a self-attestation through DocuSign and certify that they are not fully employed to receive the one-time payment."
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	12 Imp Travel tourism or hospitality sectors
Secondary Impacted and/or Disproportionately Impacted populations	12 Imp Travel tourism or hospitality sectors
Tertiary Impacted and/or Disproportionately Impacted populations	12 Imp Travel tourism or hospitality sectors
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	The program is structured to support workers in industries that have yet to regain a substantial portion of their workforce. These workers are least likely to be able to return to their previous work as business has not yet recovered.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	One-time payments will be made to individuals who are part of select tourism industry sectors that remain impacted by the pandemic. Workers must certify that they are not fully employed to receive the one-time payment.

Project Name: Public Health and Safety Personal Service Payments

Project Identification Number	5
Project Expenditure Category	3-Public Health-Negative Economic Impact: Public Sector Capacity
Project Expenditure Subcategory	3.1-Public Sector Workforce: Payroll and Benefits for Public Health, Public Safety, or Human Services Workers
Status To Completion	Completed 50% or more
Adopted Budget	\$964,000,000.00
Program Income Earned	\$0.00
Program Income Expended	\$0.00
Total Cumulative Obligations	\$0.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	
Current Period Expenditures	
Project Description	"Employees working in titles determined to be substantially dedicated to public health/public safety during the period of January 1, 2022 - March 31, 2022."
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	This program funds New York State employees in positions substantially dedicated to public health and public safety efforts. These costs were previously funding under the Coronavirus Relief Fund.
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	This program funds New York State employees in positions substantially dedicated to public health and public safety efforts. These costs were previously funding under the Coronavirus Relief Fund.
Number of government FTEs responding to COVID-19 supported under this authority	22,172

Project Name: Landlord Rental Assistance Program (LRAP)

Project Identification Number	3
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.2-Household Assistance: Rent, Mortgage, and Utility Aid
Status To Completion	Completed 50% or more
Adopted Budget	\$158,000,000.00
Program Income Earned	\$0.00
Program Income Expended	\$0.00
Total Cumulative Obligations	\$0.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	
Current Period Expenditures	
	"LRAP provides rental assistance directly to landlords whose tenants did not apply for assistance under the federally funded (CAA/ARP) Emergency Rental Assistance Program (ERAP), including where the tenant has left the

Project Description	rental property. Assistance may be provided for households with rent up to 150 percent of the Fair Market rent (FMR) for the county where the property is located. This economic relief program was developed to help provide landlord's with assistance for rental arrears accumulated during the COVID-19 crisis."
Please identify the dollar amount of the total project spending that is allocated towards evidence-based interventions	\$0.00
Is a program evaluation of the project being conducted?	No
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	1 Imp General Public
Secondary Impacted and/or Disproportionately Impacted populations	1 Imp General Public
Tertiary Impacted and/or Disproportionately Impacted populations	1 Imp General Public
Is a program evaluation of the project being conducted?	No
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	This economic relief program was developed to provide assistance for rental arrears accumulated during the COVID-19 eviction moratorium for landlords whose tenants are unwilling to apply for the Emergency Rental Assistance Program (ERAP).
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	"Neither a tenant's nor a landlord's income will be factored in when determining LRAP eligibility. For applications received during the first 45 days of LRAP, priority was given to landlords who own a building with 20 or fewer units. "
Number of households served (by program if recipient establishes multiple separate household assistance programs)	15,220

Project Name: Emergency Rental Assistance Program (ERAP) Above 80% AMI

Project Identification Number	4
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.2-Household Assistance: Rent, Mortgage, and Utility Aid
Status To Completion	Completed 50% or more
Adopted Budget	\$45,000,000.00
Program Income Earned	\$0.00
Program Income Expended	\$0.00
Total Cumulative Obligations	\$0.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	
Current Period Expenditures	
Project Description	The Emergency Rental Assistance Program (ERAP) is an economic relief program developed to help eligible households residing in at their primary residence in New York State request assistance for rental and utility arrears accumulated during the COVID-19 crisis. The program will provide significant economic relief to moderate-income

	tenants (defined as income between 80 percent % and 120 percent of the Area Median Income) who were income ineligible for the federally funded program and will help landlords obtain rents due.
Please identify the dollar amount of the total project spending that is allocated towards evidence-based interventions	\$0.00
Is a program evaluation of the project being conducted?	No
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	2 Imp Low or moderate income HHs or populations
Secondary Impacted and/or Disproportionately Impacted populations	2 Imp Low or moderate income HHs or populations
Tertiary Impacted and/or Disproportionately Impacted populations	2 Imp Low or moderate income HHs or populations
Is a program evaluation of the project being conducted?	No
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	"Significant economic relief to help low and moderate-income households at risk of experiencing homelessness or housing instability is provided to cover rental arrears, temporary rental assistance and utility arrears assistance."
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	"Household gross income is at or below 80 percent of the Area Median Income (AMI) - where a member of the household received unemployment benefits or experienced a reduction in income, incurred significant costs or experienced financial hardship, directly or indirectly, due to the COVID-19 pandemic."
Number of households served (by program if recipient establishes multiple separate household assistance programs)	2,578

Project Name: Small Business Grants

Project Identification Number	1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.29-Loans or Grants to Mitigate Financial Hardship
Status To Completion	Completed 50% or more
Adopted Budget	\$623,000,000.00
Program Income Earned	\$0.00
Program Income Expended	\$0.00
Total Cumulative Obligations	\$0.00
Total Cumulative Expenditures	\$0.00
Current Period Obligations	
Current Period Expenditures	
	"Empire State Development (ESD), the State of New York State's chief economic development entity, is administering the program to assist COVID-19 impacted small businesses and is in order to support the small businesses that typically have the least access to resources. The program strategically encourages participation from micro-businesses, socially and economically disadvantaged business owners, minority

Project Description	and/or women owned businesses, and small businesses that did not receive adequate federal COVID-19 support. Program grants generally represent a significant proportion of an awarded small business operating expenses, and the investment has helped small businesses recover from the devastating impacts of the COVID-19 pandemic. Grant awards from the Program are allowing small businesses across the State to cover costs such as payroll, rent or mortgage payments, personal protective equipment (PPE) expenses, utility bills, and any costs associated with compliance with COVID-19 health and safety protocols."
Please identify the dollar amount of the total project spending that is allocated towards evidence-based interventions	\$0.00
Is a program evaluation of the project being conducted?	No
What Impacted and/or Disproportionally Impacted population does this project primarily serve?	8 Imp SBs that experienced a negative economic impact
Tertiary Impacted and/or Disproportionately Impacted populations	24 Dis Imp Other SBs Dis Imp by the pandemic specify
Is a program evaluation of the project being conducted?	No
Brief description of structure and objectives of assistance program(s), including public health or negative economic impact experienced	"The program is structured to provide funding pursuant to program statute, and program Guidelines issued ESD (on behalf of New York State) through an application process. Applications are reviewed, and vetted and an award determination is made"
Brief description of recipient's approach to ensuring that response is reasonable and proportional to a public health or negative economic impact of Covid-19	Program approach relies on efficient and compliant issuance of awards - focusing on curing applications that may be missing nominal information upon first submission and proficiency in identifying and disallowing fraudulent applications.
Number of small businesses served (by program if recipient establishes multiple separate small businesses assistance programs)	32166

Report

Revenue Replacement

Is your jurisdiction electing to use the standard allowance of up to \$10 million, not to exceed your total award allocation, for identifying revenue loss?	No
Base Year General Revenue	
Growth Adjustment Used	
Base Year Fiscal Year End Date	
Total Estimated Revenue Loss	\$11,861,000,000.00
Are you reporting Actual General Revenue using calendar year or fiscal year?	

2020

Actual General Revenue	\$96,169,000,000.00
Estimated Revenue Loss Due to Covid-19 Public Health Emergency	\$11,861,000,000.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No
Please provide an explanation of how revenue replacement funds were allocated to government services	<p>Revenue replacement funds are being used to preserve critical government services. To date, approximately \$2.65 billion dollars has been obligated for attribution to the SLFRF to cover State employee personal service costs. Revenue replacement funds will also be used to preserve service capacity in human services, including programs serving children, developmentally disabled individuals, and other vulnerable populations, as well as community mental health and substance disorder, education, transportation, and public health and safety services. In addition, ARP resources have allowed for the repayment of the deferred State worker</p>

	general salary increases, as well as allowing the return to critical staffing levels.
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2021

Actual General Revenue	\$123,985,000,000.00
Estimated Revenue Loss Due to Covid-19 Public Health Emergency	\$0.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No
Please provide an explanation of how revenue replacement funds were allocated to government services	Revenue replacement funds will be used to preserve service capacity in human services, including programs serving children, developmentally disabled individuals, and other vulnerable populations, as well as community mental health and substance disorder, education, transportation, and public health and safety services.

2022

Actual General Revenue	
Estimated Revenue Loss Due to Covid-19 Public Health Emergency	
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	
Please provide an explanation of how revenue replacement funds were allocated to government services	

Overview

Total Obligations	\$0.00
Total Expenditures	\$0.00
Total Number of Projects	5
Total Number of Subawards	0
Total Number of Expenditures	0

Certification

Authorized Representative Name	[REDACTED]
Authorized Representative Telephone	[REDACTED]
Authorized Representative Title	[REDACTED]
Authorized Representative Email	[REDACTED]
Submission Date	[REDACTED]