



NEW YORK
STATE OF
OPPORTUNITY™

FY 2020 Mid-Year Update

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Table of Contents

Introduction	1
Significant Budgetary/Accounting Practices	2
Overview of the Updated Financial Plan	7
Financial Plan At-A-Glance: Key Measures	7
Summary.....	8
Operating Results Through September 2019	10
Annual Spending Growth.....	11
State Operating Funds – Summary of Annual Spending Change.....	12
General Fund Financial Plan	19
General Fund Cash-Basis Financial Plan	19
Multi-Year Financial Plan Revisions.....	25
Other Matters Affecting the Financial Plan	31
State Financial Plan Multi-Year Projections	65
April Through September 2019 Operating Results	129
Financial Plan Accompanying Notes	139
Glossary of Acronyms	165
Financial Plan Tables	171

Introduction

Introduction

This is the Mid-Year Update to the Financial Plan (“Financial Plan”) for Fiscal Year (FY) 2020. Except for specific revisions described herein, the projections in the Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the First Quarterly Update to the Financial Plan (the “First Quarterly Update” or “Prior Financial Plan”) issued in August 2019. The State’s FY 2020 began on April 1, 2019 and ends on March 31, 2020. The Division of the Budget (DOB) expects to next update the State’s official Financial Plan projections with the FY 2021 Executive Budget.

The factors affecting the State’s financial condition are numerous and complex. The Financial Plan contains “forward-looking statements” relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates, and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete, or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expect”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, “assumes”, and analogous expressions are intended to identify forward-looking statements. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; cybersecurity events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; Federal tax law changes; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State’s expectations as of the date of this Financial Plan.

Significant Budgetary/Accounting Practices

Unless clearly noted otherwise, all financial information is presented on a cash basis of accounting.¹

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees, and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund (collectively, the "Rainy Day Reserves") to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund and is typically the financing source of last resort for the State's other major funds, including the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Financial Plan is generally weighted toward the General Fund.

¹ State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP). The GAAP-basis financial plan is informational only. DOB does not use it as a benchmark for managing State finances during the fiscal year and does not update it on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.

At times, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor agreements covering prior contract periods). These amounts are typically, but not uniformly, identified with the phrase “reserved for” and are not held in distinct accounts within the General Fund, and may be used for other purposes.

State Operating Funds is a broader measure of spending on operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded Special Revenue Funds and Debt Service Funds (spending from Capital Project Funds and Federal Funds is excluded). As a significant amount of financial activity occurs in funds outside of the General Fund, the State Operating Funds perspective is, in DOB’s view, a more comprehensive measure of operations funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State’s complex fund structure and the transfer of money between funds. For example, the State funds its share of the Medicaid program from both the General Fund and State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. Accordingly, projections often emphasize the State Operating Funds perspective. The State’s adherence to a 2 percent annual spending growth benchmark is calculated on the State Operating Funds basis.

Financial Plan projections contained herein reflect some actions that have affected, or are intended to affect, the amount of annual spending reported on a State Operating Funds basis. Such actions include but are not limited to: (a) realignment of certain operating costs to the capital budget to provide greater consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (b) payment of certain operating costs using available resources outside the State Operating Funds basis of reporting; (c) restructuring of the STAR program such that certain benefits are provided as a tax credit (which is recorded as a reduction in receipts) rather than a tax exemption (which is recorded as a disbursement), consistent with other State tax credits; (d) appropriation of certain operating costs for the Department of Transportation (DOT) and Department of Motor Vehicles (DMV) from the General Fund instead of the DHBTF, a change which increases reported disbursements from State Operating Funds; (e) no longer appropriating certain receipts payable to the Metropolitan Transportation Authority (MTA), the largest of which is the Payroll Mobility Tax (“Mobility Tax” or PMT); (f) modification of business practices and transaction processing to eliminate the double-count of certain disbursements related to mental hygiene and higher education programs; and (g) reclassification as Enterprise Funds of certain activities in which goods or services are provided to the public for a fee. If these or other transactions are not executed or reported in a manner consistent with DOB’s interpretation of the legislation and legislative intent, annual spending growth in State Operating Funds would be higher than projections.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Project Funds and Federal Funds, in addition to State Operating Funds. The All Funds basis provides the most comprehensive view of the cash-basis financial operations of the State.

Projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current service levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the multi-year projections assume that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually in the context of the current and projected fiscal position of the State.

The General Fund budget gap and surplus projections for FY 2021 and thereafter reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending to no greater than 2 percent. Total disbursements in the tables and narrative contained in this Financial Plan do not reflect these assumed savings, which are reflected instead on a distinct line and labeled “Adherence to 2% Spending Benchmark.” Projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors that are currently unknown. There can be no assurance that spending growth will be held to no greater than 2 percent or that all savings from limiting spending growth will be made available to the General Fund. If the 2 percent annual State Operating Funds spending growth benchmark is exceeded, projected budget gaps would be higher (or projected surpluses would be lower).

Differences may occur from time to time between DOB and OSC's financial reports in presentation and reporting of receipts and disbursements. For example, DOB may reflect a net expenditure while OSC may report the gross expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

Overview of the Updated Financial Plan



Overview of the Updated Financial Plan

The following table provides certain Financial Plan information for FY 2019 and FY 2020, as of the Mid-Year Update to the Financial Plan.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)			
	FY 2019	FY 2020	
	Results	First Quarter	Mid-Year
State Operating Funds Disbursements			
Size of Budget ¹	\$100,137	\$102,153	\$102,153
Annual Growth	2.0%	2.0%	2.0%
Other Disbursement Measures			
General Fund (Including Transfers) ^{1,2}	\$72,783	\$77,826	\$77,762
Annual Growth	4.4%	6.9%	6.8%
Capital Budget (Federal and State)	\$12,266	\$14,043	\$13,812
Annual Growth	15.3%	14.5%	12.6%
Federal Operating Aid	\$58,472	\$59,921	\$58,216
Annual Growth	6.4%	2.5%	-0.4%
All Funds ¹	\$170,875	\$176,117	\$174,181
Annual Growth	4.4%	3.1%	1.9%
Capital Budget (Including "Off-Budget" Capital) ³	\$12,783	\$14,595	\$14,371
Annual Growth	13.3%	14.2%	12.4%
All Funds (Including "Off-Budget" Capital) ^{1,3}	\$171,392	\$176,669	\$174,740
Annual Growth	4.3%	3.1%	2.0%
Inflation (CPI)	2.3%	2.0%	2.0%
All Funds Receipts			
Taxes	\$75,578	\$81,273	\$81,273
Annual Growth	-4.7%	7.5%	7.5%
Miscellaneous Receipts	\$31,184	\$29,347	\$29,793
Annual Growth	14.4%	-5.9%	-4.5%
Federal Receipts (Operating and Capital)	\$61,344	\$66,324	\$62,316
Annual Growth	4.1%	8.1%	1.6%
Total All Funds Receipts	\$168,106	\$176,944	\$173,382
Annual Growth	1.6%	5.3%	3.1%
General Fund Cash Balance¹	\$7,206	\$6,499	\$6,727
Rainy Day Reserves	\$2,048	\$2,476	\$2,476
Extraordinary Monetary Settlements	\$4,194	\$2,640	\$2,840
Economic Uncertainties	\$0	\$862	\$890
All Other Reserves/Fund Balances	\$964	\$521	\$521
Debt			
Debt Service as % All Funds Receipts	4.0%	3.0%	3.0%
State-Related Debt Outstanding	\$53,528	\$57,527	\$57,024
Debt Outstanding as % Personal Income	4.0%	4.2%	4.1%
State Workforce FTEs (Subject to Direct Executive Control)	117,967	119,491	119,491
¹ Estimated disbursements and cash balances assume successful implementation of the FY 2020 savings plan. ² Includes the planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds to support designated purposes. ³ Includes capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.			

Summary

DOB estimates that the General Fund will remain in balance in the current year, based on a review of operating results through the first half of FY 2020 and updated data on State programs and activities. This estimate depends on the successful implementation of measures to address an imbalance that has formed in the Medicaid Global Cap, as described below.

The General Fund budget gaps are currently estimated at \$6.1 billion in FY 2021, \$7.5 billion in FY 2022, and \$8.5 billion in FY 2023. The gaps, excluding the imbalances in the Medicaid Global Cap, are now estimated at \$4.1 billion in FY 2021, \$4.7 billion in FY 2022, and \$5.5 billion in FY 2023. The growth in the gaps in comparison to the First Quarterly Update is due to an increase in minimum wage costs for health care providers, which is funded entirely outside of the Global Cap and thus not subject to the indexed spending limit. The increased minimum wage costs are entirely offset in FY 2020 and partly offset in subsequent years by savings in other areas.

In addition to these routine General Fund budget gaps, the Medicaid Global Cap has a structural imbalance. Absent savings measures, DOB estimates that State-share Medicaid spending subject to the Global Cap would exceed the indexed growth amount by \$4.0 billion in FY 2020 (including the FY 2019 deferral of \$1.7 billion), \$3.1 billion in FY 2021, \$3.5 billion in FY 2022, and \$3.9 billion in FY 2023. Factors that are placing upward pressure on State-share Medicaid spending (which includes spending under and outside the Global Cap) include but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; the phase-out of enhanced Federal funding; increased enrollment and costs in managed long-term care; and payments to financially distressed hospitals.

For the current fiscal year, DOB is developing a savings plan (the “FY 2020 savings plan”) intended to maintain a balanced General Fund and working with the Department of Health (DOH) to avoid, to the extent practicable, piercing the Medicaid Global Cap. At a minimum, the FY 2020 savings plan is expected to consist of a permanent adjustment to the timing of certain health care payments, consistent with contractual terms and past practice, and a range of cost containment measures. Savings may include across the board reductions in rates paid to providers and health plans, reductions in discretionary payments, and other actions that can be executed administratively in the current fiscal year. The Mid-Year projections assume that roughly half of the measures identified in the FY 2020 savings plan will provide recurring savings. The FY 2021 Executive Budget, which is due in January 2020, will describe the specific elements of the FY 2020 savings plan and the proposals that will be advanced to eliminate the General Fund budget gap, including the Medicaid Global Cap imbalance, in FY 2021.

The following table summarizes the Financial Plan revisions to the First Quarterly Update.

SUMMARY OF REVISIONS				
GENERAL FUND BUDGETARY BASIS OF ACCOUNTING				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
FIRST QUARTERLY UPDATE SURPLUS/(GAP)	0	(3,987)	(4,183)	(4,682)
Mid-Year Revisions	0	(74)	(505)	(842)
Health Care Minimum Wage Costs	(322)	(560)	(724)	(915)
HCRA/Provider Assessment	342	155	164	172
Debt Service	24	266	55	15
All Other	(44)	65	0	(114)
Medicaid Global Cap	(1,782)	(2,902)	(3,731)	(3,915)
Health Care Spending Above Global Cap	(4,026)	(3,079)	(3,515)	(3,915)
Payment Restructuring	2,244	177	(216)	0
FY 2020 Savings Plan¹	1,782	890	890	890
MID-YEAR UPDATE BUDGET SURPLUS/(GAP)²	0	(6,073)	(7,529)	(8,549)
Adherence to 2% SOF Spending - Calculated Savings³	0	6,993	9,572	11,788
MID-YEAR UPDATE SURPLUS/(GAP)	0	920	2,043	3,239

¹ Estimated disbursements and cash balances assume successful implementation of the FY 2020 savings plan.

² Before actions to adhere to the 2 percent benchmark.

³ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2020 estimate) and assuming all savings are made available to the General Fund.

DOB estimates that, if State Operating Funds disbursements can be limited to 2 percent in the current year and FY 2021, it would result in a General Fund surplus of roughly \$920 million in FY 2021. The estimate is dependent on several assumptions, including the sufficiency of the FY 2020 savings plan to limit FY 2020 disbursements to 2%, the recurring value of the savings plan will reduce FY 2021 disbursements by nearly \$900 million, and that all savings from reduced spending is made available to the General Fund.

FY 2020 Closing Balance

DOB estimates that the General Fund will end FY 2020 with a cash balance of \$6.7 billion, an increase of \$228 million compared to the First Quarterly Update. Estimated disbursements related to activities funded by Extraordinary Monetary Settlements have been reduced by \$200 million. In addition, the General Fund received a \$28 million extraordinary settlement payment in October 2019.² The payment has been added to the balance reserved for economic uncertainties, which now totals \$890 million. The closing balance estimate depends on successful implementation of the FY 2020 savings plan.

Operating Results Through September 2019

The State ended September 2019 with a General Fund cash balance of \$9.1 billion, \$1.4 billion above the Enacted Budget Financial Plan estimate. The higher balance is mainly attributable to lower local assistance spending and higher receipts.

General Fund receipts, including transfers from other funds, totaled nearly \$42 billion through September 2019. Tax receipts were \$406 million above the Enacted Budget Financial Plan estimates. Higher personal income and business tax collections were partly offset by lower receipts of sales and estate tax. Non-tax receipts were \$109 million above planned levels, due mainly to refunds, reimbursements and investment income.

General Fund disbursements, including transfers to other funds, totaled \$40 billion through the first half of the fiscal year, \$934 million lower than initial projections in the Enacted Budget Financial Plan. Lower spending for local assistance reflects payments for education, higher education, and social services programs. Many of these payments are timing related and are expected to occur later in FY 2020. The lower spending was partly offset by higher Medicaid spending consistent with the estimated structural imbalance described herein.

State Operating Funds disbursements totaled \$49 billion through the first half of FY 2020, an increase of \$1.3 billion (2.8 percent) over the same period in in FY 2019. Compared to the initial projections in the Enacted Budget Financial Plan, spending was \$415 million lower than estimated. Most of the underspending occurred in local assistance consistent with the variances described above.

Through September 2019, State-share Medicaid spending exceeded the initial estimate by \$665 million (5.3 percent). On a year-over-year basis, Medicaid spending increased by roughly 8 percent compared to the first six months of FY 2019, excluding the impact of the FY 2019 deferral and adjusting for other timing related variances.

² In October 2019, Google, LLC (“Google”) and YouTube, LLC (“YouTube”) paid a \$34 million penalty to the State pursuant to a September 10, 2019 stipulated order between Google and YouTube and the Federal Trade Commission and the People of the State of New York. This order pertains to Google and YouTube’s failure to post a privacy policy on their online service in a clear, understandable, and complete way regarding the collection of personal information from children. Part of the payment was deposited to the General Fund (\$28 million), and the remaining funds were retained by the Attorney General.

Annual Spending Growth

State Operating Funds spending is estimated to total \$102.2 billion in FY 2020, an increase of 2 percent over FY 2019 results. The estimate depends on successful implementation of the FY 2020 savings plan, as well as other actions to control disbursements outside of the General Fund. The Mid-Year Update assumes FY 2020 disbursements will be reduced by the full value of the FY 2020 savings plan in the General Fund (\$1.8 billion) and by \$327 million in other State funds to limit FY 2020 disbursements to 2 percent. The table below summarizes the sources of the annual change.

STATE OPERATING FUNDS DISBURSEMENTS				
FY 2019 TO FY 2020				
(millions of dollars)				
	FY 2019	FY 2020	Annual Change	
	Results	Updated	\$	%
LOCAL ASSISTANCE	66,177	70,626	4,449	6.7%
School Aid (School Year Basis)	26,843	27,856	1,013	3.8%
DOH Medicaid ¹	20,476	23,821	3,345	16.3%
Transportation	3,938	3,550	(388)	-9.9%
STAR ²	2,423	2,176	(247)	-10.2%
Social Services	2,798	2,873	75	2.7%
Higher Education	2,980	2,976	(4)	-0.1%
Mental Hygiene ³	2,150	2,022	(128)	-6.0%
All Other ⁴	4,569	5,352	783	17.1%
STATE OPERATIONS/GENERAL STATE CHARGES	27,261	28,490	1,229	4.5%
State Operations	19,057	19,765	708	3.7%
Personal Service:	13,687	14,292	605	4.4%
Executive Agencies	7,526	7,715	189	2.5%
Retroactive Labor Agreements	0	185	185	--
University Systems	4,000	4,239	239	6.0%
Elected Officials	2,161	2,153	(8)	-0.4%
Non-Personal Service:	5,370	5,473	103	1.9%
Executive Agencies	2,706	2,775	69	2.5%
University Systems	2,097	2,093	(4)	-0.2%
Elected Officials	567	605	38	6.7%
General State Charges	8,204	8,725	521	6.4%
Pension Contribution	2,432	2,448	16	0.7%
Health Insurance	4,193	4,308	115	2.7%
Other Fringe Benefits/Fixed Costs	1,579	1,969	390	24.7%
DEBT SERVICE	6,699	5,146	(1,553)	-23.2%
FY 2020 Savings Plan	0	(2,109)	(2,109)	--
TOTAL STATE OPERATING FUNDS⁵	100,137	102,153	2,016	2.0%
Capital Projects (State and Federal Funds)	12,266	13,812	1,546	12.6%
Federal Operating Aid	58,472	58,216	(256)	-0.4%
TOTAL ALL GOVERNMENTAL FUNDS	170,875	174,181	3,306	1.9%

¹ Total State share Medicaid funding is reported prior to the spending offset from the application of Master Settlement Agreement (MSA) payments, which are deposited directly to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. The value of the offset is reported in "All Other" local assistance disbursements.

² The conversion of benefit payments to a State PIT credit decreases reported disbursements for STAR and decreases reported PIT receipts by an identical amount; there is no impact on STAR benefits received by these homeowners.

³ Mental Hygiene spending is expected to total \$4.0 billion in FY 2020, an increase of 6.0 percent from FY 2019. However, a large portion is reported under the Medicaid Global Cap.

⁴ "All Other" includes spending for various other functions, as well as reclassifications between financial plan categories, a reconciliation between school year and State fiscal year spending for School Aid, and MSA payments deposited directly to a Medicaid Escrow Fund (\$444 million in FY 2019 and \$315 million in FY 2020), which reduces reported disbursements.

⁵ Estimated disbursements assume successful implementation of the FY 2020 savings plan.

State Operating Funds – Summary of Annual Spending Change

State Operating Funds encompasses the General Fund and a wide range of State activities funded from income sources outside of the General Fund, including dedicated tax revenues, tuition, patient income, and assessments. Activities funded with these dedicated income sources often have no direct bearing on the State's ability to maintain a balanced budget in the General Fund, but nonetheless are captured in State Operating Funds and subject to the 2 percent spending limit.

Spending growth in recent years reflects the State's effort to address long-standing public policy issues. For example, in FY 2015, the State absorbed the full cost of growth in Medicaid on behalf of local governments, creating significant mandate relief for counties. In FY 2017, the State enacted a law that provides scheduled increases in the minimum wage. In 1970, the State's minimum wage was \$1.85. Over the following 45 years, the State increased the minimum wage sporadically, with long periods between changes. These two policy initiatives alone add an estimated \$2.6 billion to FY 2020 State spending.

Local Assistance

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as benefit entitlement payments to individuals. Local assistance comprises nearly 70 percent of State Operating Funds spending. Medicaid and School Aid are the State's largest local aid programs, comprising roughly half of State Operating Funds spending.

In School Year (SY) 2020, School Aid is expected to total \$27.9 billion, an increase of \$1 billion (3.8 percent) from the prior year, including a \$618 million increase in Foundation Aid.

Medicaid spending subject to the Global Cap is limited by the indexed growth rate to \$568 million (3 percent) over FY 2019 spending. Total Medicaid spending, which includes the cost of minimum wage increases and the takeover of local government Medicaid growth outside of the Global Cap, is expected to increase by \$3.3 billion to \$23.8 billion in FY 2020, before savings from the FY 2020 savings plan. To limit disbursements to the level authorized under the Global Cap, DOH and DOB actively manage the timing of payments. In FY 2019, this included the deferral of \$1.7 billion in payments from FY 2019 to FY 2020. The current estimate for Medicaid in FY 2020 assumes a permanent adjustment to the timing of payments (\$2.2 billion), consistent with contractual terms and past practice.

Reported disbursements for transportation are expected to decline in FY 2020, mainly due to one-time aid payments made in FY 2019 and changes in the accounting and flow of funds to the MTA. In FY 2019, the State made an extraordinary operating aid payment of \$194 million for the Subway Action Plan and remitted \$135 million in residual PMT collections held in fund balances to the MTA. In addition, several taxes and fees collected by the State and remitted to the MTA are no longer subject to annual appropriation by the State Legislature. Previously, the State collected these revenues on behalf of, and disbursed the entire amount to, the MTA. These taxes are now expected to be remitted directly to the MTA, increasing timeliness and removing any uncertainty related to the annual appropriation process. Accordingly, beginning in FY 2020, the Financial Plan does not include the receipts and related local assistance disbursements for these taxes and fees (estimated at approximately \$300 million).³ The annual decline is offset in part by increased disbursements from transportation funds supported by expected growth in dedicated resources and use of existing balances.

STAR spending is affected by the continuing conversion of benefit payments from a real property tax exemption to a PIT credit. By shifting taxpayers to the credit program, the State is able to more efficiently administer the program while strengthening its ability to prevent abuse. The conversion initially had no impact on the value of STAR benefits available to taxpayers. The FY 2020 Budget amended the program so that homeowners who receive the exemption and who move may see an increase in their STAR benefit upon transitioning to a PIT credit. For the State, the conversion decreases both the level of reported PIT receipts and reported State Operating Funds disbursements by an identical amount (\$938 million in FY 2019 and an estimated \$1.2 billion in FY 2020).⁴

The increase in social services spending is due to a one-time change in the timing of Safety Net Assistance payments in FY 2019, which is partly offset by the use of available Temporary Assistance for Needy Families (TANF) funding to offset State costs in Child Care and Advantage After School Programs, deferral of the FY 2020 human services cost-of-living adjustment (COLA), and a new requirement for New York City (NYC) to fund a share of the Family Assistance Program.

Higher Education spending in FY 2020 is expected to increase due to growth in tuition assistance programs (TAP), including the third phase of the Excelsior Free Tuition Program, and higher City University of New York (CUNY) fringe benefit costs funded by the State. However, the reported growth is offset by a change in accounting for TAP payments made to State-operated State University of New York (SUNY) campuses. Beginning in FY 2020, Higher Education Services Corporation (HESC) TAP payments made to SUNY on behalf of student recipients will now be reflected as a transfer to SUNY, similar to the treatment of Medicaid payments made to State-operated health facilities on behalf of Medicaid recipients. This change eliminates recording payments twice on a State Operating Funds basis – first as local assistance payments from the General Fund to SUNY and again as operating expenses for SUNY.

³ This is in addition to the PMT, which was no longer appropriated starting in FY 2019.

⁴ STAR benefits paid through tax exemptions are recorded as disbursements. STAR benefits paid as tax credits are recorded as a reduction in net tax receipts.

Mental hygiene spending is estimated to total \$4 billion in FY 2020, an increase of \$253 million from FY 2019. The growth reflects enhancements in community mental health services, community-based employment opportunities for individuals with disabilities, increased funding to not-for-profit providers for minimum wage costs, additional resources to address the heroin and opioid crisis, and the costs of a 4 percent raise for direct care workers over the next two years and a 2 percent raise for clinical workers. In FY 2020, \$2 billion of the \$4 billion total spent on mental hygiene is reported under the Global Cap, an increase of \$381 million from FY 2019. This has the effect of reducing the amount of spending reported as “mental hygiene” spending.

State Operations/General State Charges

Operating costs for State agencies include salaries, wages, fringe benefits, and Non-Personal Service (NPS) costs (e.g., supplies, utilities) and comprise more than a quarter of State Operating Funds spending.

Spending for executive agency operations is impacted by the retroactive payment of salary increases in FY 2020 for labor contracts reached with the unions representing correctional officers, State troopers and State police investigators. In general, agencies have funded and are expected to continue to fund salary increases within their operating budgets. However, exceptions have been made for retroactive salary payments and increases for certain agencies that provide institutional care (e.g., Department of Corrections and Community Supervision (DOCCs) and mental hygiene agencies), as well as the State Police.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS (millions of dollars)			
	FY 2019 Results	FY 2020 Updated	Change
Executive Agencies (Excluding Retroactive Payments)	10,232	10,490	2.5%
Executive Agencies	10,232	10,675	4.3%
Retroactive Labor Agreements (Prior-Year Costs)	0	(185)	--

Operating spending for SUNY is estimated to grow by 3.9 percent in FY 2020 and includes the cost of a six-year labor contract through academic year (AY) 2022. Operating costs for independently elected offices (Attorney General, Comptroller, Judiciary, and Legislature) are collectively expected to increase by 1.1 percent in FY 2020.

Spending on fringe benefits is expected to increase mainly due to rising health care and prescription drug costs for State employees and retirees, and a decrease in the use of reserve funds to offset increasing workers’ compensation expenses. The annual change is also affected by increasing social security and employee benefit fund costs related to collective bargaining and by one-time savings in FY 2019 from the automation of fringe benefit bills payable by State agencies.

Debt Service

Debt service consists of principal, interest, and related expenses paid on State debt. Debt service is projected to decline from FY 2019 to FY 2020 due to the payment of FY 2020 debt service in FY 2019. The prepayment of debt service has been executed each year since FY 2010. DOB determines the level of prepayments each year based on operating results, resources on hand, and other factors. The Financial Plan includes the planned prepayment of \$200 million of FY 2021 expenses in FY 2020. In FY 2020, the effect of prepayments is offset in part by expected growth in debt service on State-supported debt.



General Fund Financial Plan

General Fund Cash-Basis Financial Plan

The General Fund is expected to remain balanced on a cash basis. General Fund receipts, including transfers from other funds, are expected to total \$77.3 billion. Disbursements, including transfers to other funds, and expected savings from the FY 2020 savings plan⁵, are estimated at \$77.8 billion. The General Fund closing balance is projected to be reduced by \$479 million reflecting the planned use of reserves set aside for payments that did not occur as previously expected in FY 2019, and the use of Extraordinary Monetary Settlements to support spending from the Dedicated Infrastructure Investment Fund (DIIF) and other funds for authorized purposes. The following table summarizes the General Fund annual change from FY 2019 to FY 2020.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2019 Results	FY 2020 Updated	Annual Change	
			Dollar	Percent
Opening Fund Balance	9,445	7,206	(2,239)	-23.7%
Total Receipts	70,544	77,283	6,739	9.6%
Taxes ¹	63,957	71,351	7,394	11.6%
Miscellaneous Receipts	3,586	2,904	(682)	-19.0%
Non-Tax Transfers from Other Funds	3,001	3,028	27	0.9%
Total Disbursements	72,783	77,762	4,979	6.8%
Local Assistance	49,745	54,028	4,283	8.6%
State Operations	18,480	19,580	1,100	6.0%
Transfers to Other Funds	4,558	5,936	1,378	30.2%
FY 2020 Savings Plan ²	0	(1,782)	(1,782)	--
Net Change in Operations	(2,239)	(479)	1,760	78.6%
Closing Fund Balance	7,206	6,727	(479)	-6.6%
Rainy Day Reserves	2,048	2,476	428	
Economic Uncertainties	0	890	890	
Reserve for Timing of Payments	408	0	(408)	
All Other Reserves/Balances	556	521	(35)	
Extraordinary Monetary Settlements	4,194	2,840	(1,354)	

¹ Includes the transfer of tax receipts from other funds after debt service.
² Estimated disbursements and cash balances assume successful implementation of the FY 2020 savings plan.

⁵ The Mid-Year Update assumes FY 2020 disbursements will be reduced by the full value of the FY 2020 savings plan in the General Fund (\$1.8 billion) and by \$327 million in other State funds to limit FY 2020 disbursements to 2 percent.

General Fund receipts and disbursements, as well as fund balances, are affected by the receipt and use of Extraordinary Monetary Settlements. The table below summarizes the General Fund sources and uses of Extraordinary Monetary Settlements.⁶

GENERAL FUND FINANCIAL PLAN				
EXTRAORDINARY MONETARY SETTLEMENTS				
(millions of dollars)				
	FY 2019 Results	FY 2020 Updated	Annual Change	
			Dollar	Percent
Opening Balance	5,020	4,194	(826)	-16.5%
Total Receipts	<u>1,106</u>	<u>890</u>	<u>(216)</u>	<u>-19.5%</u>
Settlements Received	1,186	896	(290)	-24.5%
Funds Retained by Dept. of Law	(80)	(6)	74	-92.5%
Total Uses	<u>1,932</u>	<u>2,244</u>	<u>312</u>	<u>16.1%</u>
Capital Purposes	769	1,116	347	45.1%
MTA Operating Aid	194	0	(194)	-100.0%
General Fund Operations	719	0	(719)	-100.0%
Rainy Day Reserves	250	238	(12)	-4.8%
Economic Uncertainties	0	890	890	--
Net Change in Operations	(826)	(1,354)	(528)	-63.9%
Closing Balance	<u>4,194</u>	<u>2,840</u>	<u>(1,354)</u>	<u>-32.3%</u>

Receipts

General Fund receipts, including transfers from other funds, are projected to total \$77.3 billion in FY 2020, an increase of \$6.8 billion (9.6 percent) from FY 2019 results. The annual change is affected by taxpayers responding to the Tax Cuts and Jobs Act of 2017 (TCJA) by shifting estimated PIT payments, typically made on a quarterly basis, into the extension and final payments period. Specifically, FY 2020 receipts are positively affected by an increase in extension and final payments at the expense of FY 2019 estimated payments.

PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are estimated to total \$48.6 billion, an increase of \$5.6 billion (13 percent) from FY 2019 results. A large share of the increase in FY 2020 is due to a shift in estimated payments from FY 2019 to FY 2018.

⁶ More information on the receipt and use of Extraordinary Monetary Settlements can be found in “Other Matters Affecting the Financial Plan” herein.



General Fund Financial Plan

Consumption/use tax receipts, including transfers after payment of debt service on the Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$14.6 billion in FY 2020, an increase of \$1.2 billion (8.8 percent) from FY 2019 results. The increase mainly reflects projected growth in disposable income and taxable consumption.

Business tax receipts are estimated at \$6.1 billion in FY 2020, an increase of \$576 million (10.5 percent) from FY 2019 results. The growth is primarily attributable to new for-profit insurance providers subject to premium insurance tax, partially offset by a large refund that was processed in April 2019 instead of March 2019.

Other tax receipts, including transfers after payment of debt service on Clean Water/Clean Air (CW/CA) Bonds and transfers after payment of debt service on revenue bonds, are expected to total \$2.1 billion in FY 2020, an increase of \$44 million (2.2 percent) from FY 2019 results.

Non-tax receipts and transfers are estimated at \$5.9 billion in FY 2020, a decrease of \$655 million (9.9 percent) from FY 2019 results. The decline largely reflects the use of resources in FY 2019 that are not expected to recur in FY 2020.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances among funds of the State, and other factors.⁷

⁷ A more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, can be found in "State Financial Plan Multi-Year Projections" herein.

Disbursements

General Fund disbursements, including transfers to other funds and assuming successful implementation of the FY 2020 savings plan, are expected to total \$77.8 billion in FY 2020, an increase of \$5 billion (6.9 percent) from FY 2019 results.

Local assistance spending is estimated at \$54 billion in FY 2020, an increase of \$4.3 billion (8.6 percent) from FY 2019. The increase is primarily driven by Medicaid (\$3.2 billion) and School Aid (\$486 million on a State fiscal year basis). The estimate for Medicaid disbursements is before savings from the FY 2020 savings plan. Additional information on Medicaid cash management, budgetary risks and uncertainties can be found in the “Other Matters Affecting the Financial Plan” section herein.

State Operations spending in the General Fund, including fringe benefits and fixed costs, is expected to total \$19.6 billion in FY 2020, an increase of \$1.1 billion (6 percent) from FY 2019. The increase reflects salary increases related to labor contracts, including the payment of retroactive salary increases and associated fringe benefits, and underlying growth in fringe benefits, including health insurance costs for State employees and retirees, and State costs for Workers’ Compensation. In addition, operating costs in the General Fund are affected by offsets in other funds and fund reclassifications, such as operating costs related to snow and ice removal that were reclassified from Capital Projects Funds to the General Fund beginning in FY 2019.

General Fund transfers to other funds are projected to total \$5.9 billion in FY 2020, an increase of \$1.4 billion from FY 2019. Transfers for capital projects are projected to increase by \$1.1 billion due mainly to the timing of bond proceeds used to reimburse prior-year advances and increasing support for transportation costs and activities funded with Extraordinary Monetary Settlements. SUNY transfers are expected to increase by \$165 million primarily due to a change in accounting for TAP payments made to State-operated SUNY campuses. Debt service transfers are expected to decline by \$240 million, mainly due to prepayments. Other transfers are projected to increase by \$322 million, largely attributable to the transfer of certain sales tax collections to the MTA.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year.⁸ In addition, General Fund disbursements reflect conservative estimates of disbursements in each financial category, a practice that provides a cushion for potential receipts shortfalls and other unanticipated costs.

⁸ A more comprehensive discussion of the State's disbursement projections by major activity, presented on a State Operating Funds basis, can be found in “State Financial Plan Multi-Year Projections” herein.

Closing Balance for FY 2020

The State is projected to end FY 2020 with a General Fund cash balance of \$6.7 billion, a decrease of \$479 million from FY 2019 results. The balance excluding Extraordinary Monetary Settlements is estimated at \$3.9 billion, or \$875 million higher than FY 2019. The change reflects a new reserve for economic uncertainties funded with new settlement receipts (\$890 million) and planned deposits to the Rainy Day Reserves (\$428 million). These increases are partly offset by the planned use of reserves carried over from FY 2019 to fund retroactive payments related to labor agreements (\$206 million) and a large business tax refund (\$202 million), as well as projected spending from reappropriations in the Community Projects Fund (\$35 million).

Extraordinary Monetary Settlements on deposit at the close of FY 2020 are expected to total \$2.8 billion, a decrease of \$1.4 billion from the FY 2019 closing balance. This decrease reflects expected uses and disbursements for initiatives funded with such settlements.⁹

TOTAL BALANCES (millions of dollars)			
	<u>FY 2019</u> <u>Results</u>	<u>FY 2020</u> <u>Updated</u>	<u>Annual</u> <u>Change</u>
TOTAL GENERAL FUND BALANCE	7,206	6,727	(479)
Statutory Reserves:			
Rainy Day Reserves	2,048	2,476	428
Community Projects	35	0	(35)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Economic Uncertainties	0	890	890
Debt Management	500	500	0
Labor Agreements (Timing)	206	0	(206)
Business Tax Refund (Timing)	202	0	(202)
Subtotal Excluding Settlements	3,012	3,887	875
Extraordinary Monetary Settlements	4,194	2,840	(1,354)

⁹ A more comprehensive discussion of the State's receipt and use of Extraordinary Monetary Settlements can be found in "Other Matters Affecting the Financial Plan -- Extraordinary Monetary Settlements" herein.

Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have adequate liquidity in FY 2020 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

PROJECTED MONTH-END CASH BALANCES			
FY 2020			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April 2019	11,969	6,299	18,268
May 2019	5,222	5,703	10,925
June 2019	6,593	7,135	13,728
July 2019	6,614	6,161	12,775
August 2019	6,886	6,854	13,740
September 2019	9,050	5,260	14,310
October 2019	8,904	5,425	14,329
November 2019	6,478	4,549	11,027
December 2019	6,836	4,629	11,465
January 2020	9,293	6,363	15,656
February 2020	8,543	6,109	14,652
March 2020¹	6,727	2,692	9,419

¹ Estimated cash balances assume successful implementation of the FY 2020 savings plan.

Multi-Year Financial Plan Revisions

General Fund

The following table summarizes revisions to the FY 2020 First Quarterly Financial Plan Update by financial plan category and is followed by a summary of the changes.

SUMMARY OF REVISIONS GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)				
	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
FIRST QUARTERLY UPDATE SURPLUS/(GAP)	0	(3,987)	(4,183)	(4,682)
Receipts Revisions	164	291	96	54
Debt Service	22	250	40	0
Extraordinary Monetary Settlements	28	0	0	0
Non-Tax Receipts/Transfers	114	41	56	54
Spending Revisions	(1,718)	(3,232)	(4,506)	(4,723)
Local Assistance	(1,937)	(3,264)	(4,239)	(4,712)
Agency Operations	41	(5)	(111)	(118)
Debt Service	4	49	49	56
Capital Projects	172	(12)	(205)	51
All Other	2	0	0	0
FY 2020 Savings Plan¹	(1,782)	(890)	(890)	(890)
Use/(Reserve) of Balances	(228)	(35)	174	(88)
Extraordinary Monetary Settlements	(200)	(35)	174	(88)
Economic Uncertainties	(28)	0	0	0
MID-YEAR UPDATE BUDGET SURPLUS/(GAP)²	0	(6,073)	(7,529)	(8,549)
Adherence to 2% SOF Spending - Calculated Savings³	0	6,993	9,572	11,788
MID-YEAR UPDATE SURPLUS/(GAP)	0	920	2,043	3,239

¹ Estimated disbursements and cash balances assume successful implementation of the FY 2020 savings plan.

² Before actions to adhere to the 2 percent benchmark.

³ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2020 estimate) and assuming all savings are made available to the General Fund.

Receipts Revisions

General Fund receipts, including transfers from other funds, are increased by \$164 million in FY 2020 from the First Quarterly Update. Projected General Fund tax receipts remain unchanged. However, transfers of tax receipts after payment of debt service have been revised to reflect lower debt service costs. Miscellaneous receipts and transfers from other State funds have been revised to reflect the receipt of an unplanned Extraordinary Monetary Settlement payment, updated information and accounting reclassifications, as well as updated revenue estimates for state operated mental hygiene services related to prior-year rate reconciliations.

Spending Revisions

FY 2020 General Fund disbursements, including transfers to other funds, have been increased by \$1.7 billion from First Quarterly Update projections. Revisions to General Fund disbursements are based on a review of operating results to date and updated information on programs and activities, including estimated disbursements under the Medicaid Global Cap.

Local Assistance

Projected General Fund disbursements for local assistance have been revised upward in each year of the Financial Plan. General Fund disbursements for local assistance are expected to total \$54 billion in FY 2020, an \$1.9 billion increase from the First Quarterly Update. Notable revisions include:

- **Medicaid Global Cap (FY 2020: \$1.8 billion; FY 2021: \$2.9 billion):** Before current year savings that are expected to be taken in FY 2020 to limit spending, Medicaid spending is expected to exceed the Global Cap by \$4.0 billion in FY 2020 (including the FY 2019 deferral of \$1.7 billion) and \$3.1 billion in FY 2021. In the current year, DOB is developing a savings plan intended to avoid exceeding the Global Cap, which includes \$2.2 billion in FY 2020 savings from a permanent adjustment to the timing of payments consistent with contractual terms and past payment management practices. Savings may include across the board reductions in rates paid to providers and health plans, reductions in discretionary payments, and other actions that can be executed administratively in the current fiscal year.
- **Minimum Wage (FY 2020: \$322 million; FY 2021: \$560 million):** The entire cost of increases in the minimum wage for the health-care sector is outside of the Global Cap and thus not subject to the indexed spending limit. DOB has revised the estimate of minimum wage costs based on unaudited cost information reported by providers to DOH. The increase brings the total minimum wage costs for the health care sector to \$1.5 billion in FY 2020 growing to nearly \$1.8 billion in FY 2021.

- **HCRA/Medicaid (FY 2020: \$342 million; FY 2021: \$155 million):** Estimated receipts that offset General Fund spending have been increased for provider assessments (\$86 million in FY 2020) and HCRA (\$261 million in FY 2020) based on prior year trends and actual collections to date. Upward spending revisions partly offset these savings, including increased Child Health Plus (CHP) spending due to enrollment trends.
- **Education/Special Education (FY 2020: \$37 million; FY 2021: \$56 million):** Education savings primarily reflects a moderation in enrollment and cost growth for preschool and summer school special education programs, and lower than projected participation in the locally-sourced school food program in the current year.
- **Mental Hygiene (FY 2020: \$32 million; FY 2021: \$14 million):** Higher spending is largely driven by updated information associated with the development of service opportunities. These costs are entirely offset by upward forecast revisions to revenue estimates.
- **Social Services (FY 2020: \$12 million):** Spending has been increased in FY 2020 for the Pay for Success program, which was launched in FY 2014 to train and employ approximately 2,000 formerly incarcerated individuals. State reimbursement is provided to entities that demonstrate effective performance and outcomes. Costs in FY 2023 reflect a transition from settlement funds to the General Fund for supportive housing for vulnerable populations committed to under the Affordable Housing and Homelessness Plan and supported by the Empire State Supportive Housing Initiative (ESSHI).
- **Other:** Local assistance spending estimates have been revised for other programs based on enrollment, applications, participation and spending to date, as well as accounting reclassifications and adjustments.

Agency Operations

General Fund disbursements for agency operations, including employee fringe benefits, are expected to total \$19.6 billion in the current year, a decrease of \$41 million from First Quarterly Update projections. The most significant changes include:

- **Pensions (FY 2020: \$18 million; FY 2021: \$90 million):** The pension bill issued by the State Comptroller (OSC) was lower than previously estimated in the State's Financial Plan. The preliminary FY 2021 bill includes a reduction by OSC to the expected rate of return on pension assets from 7.0 to 6.8 percent, which was estimated to increase the State's contribution by roughly \$300 million. However, the higher cost is partially offset by the implementation of a new "mortality" scale and other adjustments.

- **Other:** State Operations estimates have been revised across several areas based on spending to date, updated information and accounting reclassifications and adjustments. Notable changes include shifting personal service expenses for the administration of several Environmental Protection Fund (EPF) programs back to State Operating Funds from the EPF (a capital projects fund), higher mental hygiene spending offset by higher revenue collections, and the closure of two prisons. In addition, spending has been revised to reflect lower estimated fringe benefit reimbursement (i.e., escrow receipt collections) from accounts outside of the General Fund based upon activity to date.

Transfers to Other Funds

General Fund transfers to other funds are expected to total \$5.9 billion in FY 2020, a decrease of \$178 million from the First Quarterly Update. The most significant changes include:

- **Transfers to DIIF (FY 2020: \$200 million; FY 2021: \$35 million):** Timing of transfers of settlement reserves to support activities appropriated in other funds has been updated based on spending to date.
- **Transfers to Debt Service Funds (FY 2020: \$4 million; FY 2021: \$49 million):** Updated debt service costs reflect actual bond sales to date and savings from refunding certain bonds.
- **Transfers to Other Funds (FY 2020: \$26 million; FY 2021: \$47 million):** Other General Fund transfers are expected to be lower than previously anticipated mainly due to revised levels of spending for capital projects and other programs, certain fees, delayed implementation of fees for fiber optic utilities using State highway rights of way, and other accounting reclassifications and adjustments.



Other Matters Affecting the Financial Plan

General

The Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to predict or control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that results will not differ materially and adversely from these projections. For instance, receipts collections have fallen substantially below the levels forecasted in certain fiscal years. In addition, certain projections contained in the Financial Plan assume that annual growth in State Operating Funds spending will be limited to 2 percent in FY 2021 through FY 2023, and that all savings that result from the 2 percent spending growth benchmark will be made available to the General Fund. The imbalance in the Medicaid Global Cap is placing upward pressure on State Operating Funds disbursements in FY 2020 and in future years. The current Financial Plan projections assume the sufficiency of the FY 2020 savings plan to limit FY 2020 disbursements to 2 percent, the recurring value of the savings plan will reduce FY 2021 disbursements by nearly \$900 million, and that all savings from reduced spending is made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, subject to available resources, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur and adhere to the limit of the State's 2 percent growth benchmark.

The Financial Plan is based on numerous assumptions including the condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include impacts of: national and international events; ongoing financial risks in the eurozone; changes in consumer confidence, price and supply of oil and gas; major terrorist events and hostilities or war; climate change and extreme weather events; cybersecurity threats; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law; changes to Federal programs; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; credit rating agency actions; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; tech industry developments and employment; effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; realization of the projected rate of return for pension fund assets, and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; willingness and ability of the Federal government to provide the aid projected in the

Financial Plan; ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and success with which the State controls expenditures; unanticipated growth in Medicaid program costs; and ability of the State and its public authorities to issue securities successfully in public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial changes. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include but are not limited to; reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of nonrecurring resources; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the Governor.

To manage potential Financial Plan risks in FY 2020, the Budget establishes a process for the uniform reduction of local assistance disbursements of up to 1 percent of State Operating Funds disbursements (approximately \$1.02 billion) if DOB identifies a General Fund imbalance of \$500 million or more in the current fiscal year. Upon identification of an imbalance, the Budget Director would transmit a plan to the Legislature identifying the specific appropriations and cash disbursements that would be reduced. The Legislature would then have 30 days to adopt, by concurrent resolution, its own plan for eliminating the imbalance. If no plan is adopted, the plan submitted by the Budget Director would take effect automatically. The process exempts certain types of local assistance appropriations from uniform reduction, including public assistance and Supplemental Security Income (SSI) payments.

The Financial Plan forecast assumes various transactions could fail to occur as planned including, but not limited to: receipt of certain payments from public authorities; receipt of certain revenue sharing payments under the Tribal-State compact, including payments from the Seneca Nation; receipt of excise tax for vapor products; receipt of miscellaneous revenues at the levels expected in the Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

The Financial Plan also includes actions that affect spending reported on a State Operating Funds basis, including accounting and reporting changes. If these and other transactions are not implemented or reported as planned, annual spending growth in State Operating Funds would increase above current estimates.

In developing the Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with an emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements and managing the accumulation of financial resources that can be used to offset new costs. Such resources include but are not limited to, fund balances not needed each year, reimbursement for capital advances, acceleration of tax refunds above the level budgeted each year, and prepayment of expenses. There can be no assurance that such resources will be enough to address risks that may materialize in a given fiscal year.

In FY 2012, the State enacted legislation intended to limit the year-to-year growth in the State's two largest local assistance programs.

School Aid

The School Aid growth cap is calculated based on the annual growth in NYS Personal Income Growth Index (PIGI). With the exception of the 2013 school year increase (based on a five-year average), the PIGI was based on a one-year growth index. However, in FYs 2014 through 2019 the authorized increases school aid increases were above the indexed levels. To reduce volatility and align with the Medicaid cap, the statutory PIGI for School Aid has been amended to reflect average annual income growth over a ten-year period, beginning in FY 2021.

Medicaid Global Cap

A portion of DOH State funds Medicaid spending growth is capped at the ten-year rolling average of the medical component of the Consumer Price Index (CPI) ("Global Cap"). The statutory provisions of the Global Cap allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner's powers are intended to limit the annual growth rate to the levels set by the Global Cap indexed rate for the current fiscal year, through actions which may include reducing reimbursement rates to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. Major changes to the State share of Medicaid spending include State costs for the takeover of Medicaid growth from local governments and reimbursement to providers for increased minimum wage costs. It should further be noted that General Fund spending remains sensitive to revenue performance in the State's HCRA fund that finances approximately one-quarter of the DOH State-share costs of Medicaid.

Since the enactment of the Global Cap, the portion of DOH State Funds Medicaid spending subject to the Global Cap has remained at or below indexed levels. However, the DOH has, at times, taken management actions, including adjustments to the timing of Medicaid payments, consistent with contractual terms, to ensure compliance with the Global Cap.

At the close of FY 2019, DOH deferred, for three business days, the final cycle payment to Medicaid Managed Care Organizations, as well as other payments. The FY 2019 deferral had a State-share value of \$1.7 billion and was paid from available funds in the General Fund in April 2019, consistent with contractual obligations. According to DOH, the deferral had no impact on provider services. However, absent the deferral and any other actions, Medicaid spending under the Global Cap would have exceeded the statutorily indexed rate for FY 2019 and the State would have used available General Fund resources to fund the payments in FY 2019. The General Fund ended FY 2019 with sufficient resources. According to DOH, the higher spending in FY 2019 reflected growth in managed care and long-term managed care enrollment and utilization costs above projections, as well as the timing of certain savings actions and offsets that were not processed by year-end.

The Global Cap Imbalance

Based on a review of price and utilization trends, FY 2019 results, and other factors, DOB has concluded that a structural imbalance exists within the Medicaid Global Cap. A structural imbalance in this case means that estimated expense growth in State-share Medicaid subject to the Global Cap, absent measures to control costs, is growing faster than allowed under the Global Cap spending growth index (currently 3 percent).¹⁰

DOB estimates that, absent the actions described below, State-share Medicaid spending subject to the Global Cap would exceed the indexed growth amount by \$4.0 billion in FY 2020 (including the FY 2019 deferral of \$1.7 billion) and \$3.1 billion in FY 2021. Factors that are placing upward pressure on State-share Medicaid spending (which includes spending under and outside the Global Cap) include, but are not limited to: reimbursement to providers for the cost of the increase in the minimum wage; the phase-out of enhanced Federal funding; increased enrollment and costs in managed long-term care; and payments to financially distressed hospitals.

For the current fiscal year, DOB is developing a savings plan (the “FY 2020 savings plan”) intended to maintain a balanced General Fund and working with DOH to avoid, to the extent practicable, piercing the Medicaid Global Cap. At a minimum, the FY 2020 savings plan is expected to consist of a permanent adjustment to the timing of certain health care payments, consistent with contractual terms and past practice, and a range of cost containment measures. Savings may include across the board reductions in rates paid to providers and health plans, reductions in discretionary payments, and other actions that can be executed administratively in the current fiscal year. The Mid-Year projections assume that roughly half of the measures identified in the FY 2020 savings plan will provide recurring savings. The FY 2021 Executive Budget, which is due in January 2020, will describe the specific elements of the FY 2020 savings plan and the proposals that will be advanced to eliminate the General Fund budget gap, including the Medicaid Global Cap imbalance, in FY 2021.

¹⁰ The annual growth in Medicaid spending subject to the Global Cap is limited to the ten-year rolling average of the medical component of the CPI.

If State Funds Medicaid spending is not reduced to levels that adhere to the Global Cap or other savings are not identified, it could have a materially adverse impact on General Fund budget balance and the State's ability to limit annual State Operating Funds spending growth to 2 percent in FY 2020 and FY 2021.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from severe weather events and disasters. Many policies that drive this Federal aid may be subject to change under the Trump Administration and Congress. Current Federal aid projections and the assumptions on which they rely are subject to revision because of changes in Federal policy.

Similarly, the Financial Plan may also be adversely affected by other Federal government actions including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare & Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-operated services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

The Enacted Budget includes for the third year a process by which the State can address significant reductions in Federal aid during FY 2020 should they arise. Specifically, the Enacted Budget allows the Budget Director to prepare a plan for consideration by the Legislature in the event that Federal policymakers (a) reduce Federal Financial Participation (FFP) in Medicaid funding to New York State or its subdivisions by \$850 million or more; or (b) reduce FFP or other Federal aid in funding to New York State that affects the State Operating Funds Financial Plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both houses, or the plan submitted by the Budget Director takes effect automatically.

Current Federal Aid

President Trump proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018 and 2019, which were largely rejected by the final appropriations bills advanced for both years. The President proposed similar cuts for FFY 2020, however these cuts are unlikely given the enactment of the Bipartisan Budget Act of 2019. The law increases the discretionary spending caps set by the Budget Control Act of 2011 for the final two years that the caps are in place under current law – FFYs 2020 and 2021. Assuming Congress passes appropriations at the levels allowed under the newly increased caps, cuts to discretionary Federal aid programs on which the State relies are unlikely. Final appropriations for FFY 2020 are still under consideration in Congress, with the Federal government currently operating under a continuing resolution through December 20.

Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Federal Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments are scheduled to take effect in FFY 2020, beginning December 21, 2019. The State estimates that if the changes take effect as scheduled, New York hospitals will lose \$7.2 billion when fully phased in, the largest reduction in Federal DSH payments among all states.

Essential Plan (EP)

In 2017, the Federal government attempted to end the Basic Health Program EP in New York State, reverse the ACA's Medicaid expansion, and shift a larger share of growth in Medicaid costs to states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended policy. If enacted into law, these policies would have had a substantial adverse impact on the State.

Additionally, the Trump Administration withheld Cost Sharing Reduction (CSR) payments, threatening low-cost health insurance coverage for income-eligible recipients purchasing Qualified Health Plan (QHP) or EP coverage through the New York State of Health (NYSOH), New York's official health plan marketplace. Recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology for 2018. However, the State has not received any guidance on whether it will receive Federal reimbursement in the EP for CSR payments in 2019 and beyond. This funding represents about 25 percent of the total Federal funding for the program and, absent any action by Congress, the State Financial Plan remains at risk. Additionally, the Trump Administration has proposed to alter the Federal reimbursement formula which would further decrease the amount of Federal funding for the EP. The Financial Plan reflects continued Federal support for the EP program.

Excise Tax on High-Cost Employer-Sponsored Health Coverage (“Cadillac Tax”)

The Excise Tax on High-Cost Employer-Sponsored Health Coverage (26 USC 4980I) is a 40 percent excise tax assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The provision was initially included in the ACA to offset mandatory spending increases but has since been altered by intervening laws that delay the implementation of the tax until 2022.

Regulations from the Internal Revenue Service (IRS) have yet to be published. The U.S. House of Representatives recently passed legislation (H.R. 748) to repeal the excise tax and it is now under consideration in the U.S. Senate. Currently, DOB has no estimate as to the potential impact to the State from this Federal excise tax.

Medicaid Redesign Team (MRT) Medicaid Waiver

The CMS and the State have an agreement authorizing up to \$8 billion in new Federal funding over several years to transform New York’s health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State’s Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives. Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS to refine eligibility data systems to draw the appropriate amount of enhanced FMAP funding. This reconciliation may result in a modification of payments to the State and local governments.

Due to the demonstrated success of the DSRIP waiver, the State is seeking an extension for an additional period of four years (through FY 2024) and up to an additional \$8 billion in additional Federal funding for continued support of health care transformation in the State. The waiver extension seeks additional time and funding support for these successful initiatives to fully mature across the State to complete the transformation of the way Medicaid pays for services – from fee for service which rewards volume, to a value-based system where care is funded based on outcomes. While continuing its emphasis on DSRIP practices that have proven successful, the waiver extension also seeks support of new critical initiatives that are aligned with state and Federal priorities including: caring for populations with substance abuse disorder and serious behavioral health diagnoses, high-risk children, persons in need of long-term care services and addressing disparities in maternal mortality.

Federal Debt Limit

The Bipartisan Budget Act of 2019 temporarily suspended the debt limit through July 31, 2021, and brought to a close the extraordinary measures that the U.S. Treasury had been operating under since the prior suspension expired on March 1, 2019. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on national and State economies, financial markets, and intergovernmental aid payments. Specific effects on the updated Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the Federal Government may adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired access to capital markets. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the TCJA (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which were effective in the 2018 Tax Year. The Federal tax law made extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. Changes to the Federal tax code have significant flow-through effects on State tax burdens and State tax receipts. From the standpoint of certain individual New York State taxpayers, the new \$10,000 limit on the deductibility of State and Local Tax (SALT) payments, effective for Tax Year 2018, is substantial. The TCJA's SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience and may adversely affect New York's economic competitiveness.

The SALT deduction originated with the first Federal income tax implemented to fund the Civil War effort and has been in place continuously since 1913. DOB and the Department of Taxation and Finance (DTF) estimate that the new SALT deduction limit raised Federal tax liability for New York taxpayers by roughly \$14 billion for Tax Year 2018, relative to what taxpayers would have paid absent the limitation. Over the course of the eight years the SALT deduction limit is scheduled to be in effect, the State estimates that resident taxpayers who itemize at the Federal level for each year through 2025 will collectively pay an additional \$121 billion in Federal taxes relative to what they would have paid absent the SALT deduction limit.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses, which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and the drag on the value of home prices, thereby posing risks to the State's tax base and current Financial Plan projections.

State Response to Federal Tax Law Changes

In response to the TCJA, the State enacted tax reforms in Tax Year 2018 intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, creation of an optional payroll tax program, and establishment of a new State charitable giving vehicle, as described below.

The State developed the Employer Compensation Expense Program (ECEP) and Charitable Gifts Trust Fund, as described below, based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted below, the IRS has issued regulations that impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from federally taxable income while receiving State tax credits for such donations.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit claims the new SALT limit was enacted to target New York and similarly situated states, interferes with states' rights to make their own fiscal decisions, and disproportionately harms taxpayers in these states. On September 30, 2019, the Southern District of New York found that the states failed to make a valid legal claim that the SALT limit unconstitutionally encroaches on states' sovereign authority to determine their own taxation and fiscal policies. The State is reviewing the decision and considering an appeal; the deadline to file a notice of appeal is November 29, 2019.

On June 13, 2019, the IRS issued final regulations (Treasury Decision 9864) that provided final rules and additional guidance on the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a State or local tax credit for such charitable contributions. In the case of State tax credits received by a taxpayer making a charitable contribution, the regulations require the taxpayer to reduce the Federal income tax deduction by the amount of the State tax credit received for such charitable contribution. This rule does not apply, however, if the value of the State tax credit does not exceed 15 percent of the charitable contribution. The regulations are retroactive to August 27, 2018 (the date on which the U.S. Treasury and IRS first published proposed regulatory changes).

On July 17, 2019, New York State, joined by Connecticut and New Jersey, filed a Federal lawsuit challenging Treasury Decision 9864. The lawsuit seeks to restore the full Federal income tax deduction for charitable contributions, regardless of the amount of any State tax credit provided to taxpayers as a result of contributions made to the Charitable Gifts Trust Fund, in accordance with the precedent since 1917. If the Federal lawsuit is successful it is expected that donations to the Charitable Gifts Trust Fund in future years could be higher than the \$93 million level of donations made in 2018. See "Impact of State Tax Law Changes on PIT Revenue Bonds" below.

As part of the State tax reforms enacted in 2018, taxpayers may claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 Tax Years if the underpayments arise from reliance on the 2018 amendments to State Tax Law. To receive reimbursement, taxpayers are required to submit their reimbursement claims to DTF within 60 days of making an interest payment to the IRS.

The State would incur costs if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 Tax Years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest for determinations on 2019 tax payments due in April 2020 or thereafter.

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors including the rates of participation in the ECEP; magnitude of donations to the Charitable Gifts Trust Fund; aggregate amount of determinations of underpayment attributable to reliance on the 2018 amendments to State Tax Law; amount of time between the due date of the return and the date any Federal determination is issued; interest rate applied; and frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.

ECEP

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in Tax Year 2019, 3 percent in Tax Year 2020, and 5 percent in Tax Year 2021. Employers must elect to participate in the ECEP for the upcoming Tax Year by December 1 of the preceding calendar year. For the 2019 Tax Year, 262 employers elected to participate in the ECEP and are expected to remit \$2 million.

The ECEP is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business. The ECEP is expected to be State revenue neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. A new State PIT credit is available to employees whose wages are subject to the tax. Any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP. Remittance of ECEP revenue to the State began in the fourth quarter of FY 2019.

Charitable Gifts Trust Fund

Starting in Tax Year 2018, the Charitable Gifts Trust Fund was established to accept gifts for the purposes of funding health care and education in New York State. Taxpayers who itemize deductions may claim these charitable contributions as deductions on their Federal and State income tax returns. Any taxpayer who makes a donation may also claim a State tax credit equal to 85 percent of the donation amount for the Tax Year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.¹¹

In FY 2019, the State received \$93 million in charitable gifts deposited to the Charitable Gifts Trust Fund for healthcare and education (\$58 million and \$35 million, respectively). These resources are appropriated in the Budget for the authorized purposes.

Impact of State Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and donations to the Charitable Gifts Trust Fund, State Finance Law provisions creating the Revenue Bond Tax Fund (RBTF) were amended to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the RBTF, from 25 percent to 50 percent. In addition, the legislation that created the ECEP required that 50 percent of ECEP receipts received by the State be deposited to the RBTF. These changes became effective April 1, 2018.

¹¹ Statute allows the SUNY Research Foundation, CUNY Research Foundation, and Health Research, Inc. to accept up to \$10 million each in charitable gifts on an annual basis. The State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that will be available beginning in Tax Year 2019.

The amendments also increased the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the RBTF if (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the applicable financing agreements have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the RBTF until amounts on deposit in the RBTF equaled the greater of 25 percent of annual New York State PIT receipts or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the RBTF until amounts on deposit in the RBTF equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts or \$12 billion.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. If Treasury Decision 9864 is upheld in Federal court, taxpayer participation in the future will likely be reduced. However, if the legal challenge is successful in restoring the full Federal tax deduction for charitable contributions, donations to the Charitable Gifts Trust Fund in future years could be higher than in 2018, when donations totaled \$93 million. In such event, the amount of donations to the Charitable Gifts Trust Fund would pose a risk to the amount of New York State PIT receipts deposited to the RBTF in future years. To address this risk, the State increased the amount of PIT receipts deposited to the RBTF from 25 percent to 50 percent as part of the State tax reforms enacted in 2018.

DOB and DTF performed a calculation of the maximum amount of charitable donations to the Charitable Gifts Trust Fund that could occur annually under varying assumptions. This calculation of the maximum amount of potential contributions to the Charitable Gifts Trust Fund was intended to serve as a stress test on State PIT receipts that may flow to the RBTF under different levels of assumed taxpayer participation. Accordingly, the calculation should not, under any circumstances, be viewed as a projection of likely donations in any future year. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or others relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The calculation of the maximum amount of potential donations from 2019 through 2023 is on average in the range of \$30 billion annually. The calculation assumes that every resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent PIT population study file, as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (a) no further changes in Federal tax law occur, and (b) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Financial Plan are materially accurate.



Other Matters Affecting the Financial Plan

In general, assumptions made regarding taxpayer behavior were intended to maximize the calculated impact of charitable giving on PIT receipts in each year. After factoring in all of the foregoing adjustments and with inclusion of ECEP revenues, RBTF receipts are projected to remain above the level of receipts that would have been expected under statutes in effect prior to April 2018, even in a maximum participation scenario.

The DOB and DTF calculation of the projected maximum amount of potential contributions to the Charitable Gifts Trust Fund is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the RBTF below the levels projected in February 2018 before State tax reforms were enacted. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the RBTF.

Climate Change Adaptation

Climate change poses significant long-term threats to physical, biological and economic systems in New York and around the world. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. The potential effects of climate change could adversely impact the Financial Plan in current or future years. To mitigate and manage these impacts, significant long-term planning and investments by the Federal government, the State of New York, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding in various State counties. In September 2011, Tropical Storm Lee caused flooding in additional State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal Funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that the State, its localities, and the MTA may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across the State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Financial markets participants are increasingly acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.¹² In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and their effect on coastal infrastructure as the primary climate risks for the northeastern United States, including New York State. These risks are heightened by population and critical infrastructure concentration in coastal counties.

¹² For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.



Other Matters Affecting the Financial Plan

An October 2018 special report released by the Intergovernmental Panel on Climate Change of the United Nations (IPCC) found that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes (“reasons for concern”). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate (“detectable”) today, to high (“severe and widespread”) at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of current outstanding bonds of the State, its public authorities and municipalities. State bonds may be issued with a term of up to 30 years under State statute.

New York is participating in efforts to reduce greenhouse gas emissions in order to mitigate the risk of severe impacts from climate change. At the end of the 2019 legislative session, the Governor and Legislature agreed to set the State on a path toward developing regulations to reduce statewide greenhouse gas emissions to 85 percent below the 1990 level by 2050. As part of this target, the State plans to fully transition its electricity sector away from carbon emissions by 2040. New York is a member of the Regional Greenhouse Gas Initiative (RGGI) and has used a cap and trade mechanism to regulate carbon dioxide emissions from electric power plants since 2008.

Current Labor Negotiations and Agreements (Current Contract Period)

The State has multi-year labor agreements in place with most of the unionized workforce. Negotiations for new agreements with the Public Employees Federation (PEF), the Council 82 Security Supervisors Unit and the Police Benevolent Association of New York State (PBANYS) are on-going.

On July 18, 2019, the New York State Police Investigators Association (NYSPIA) membership ratified an agreement with the State covering five years (FY 2019 through FY 2023). The agreement provides for a 2 percent general salary increase annually, and additional compensation changes, which are partly offset by benefit design changes within the New York State Health Insurance Program (NYSHIP). The cost of this agreement is estimated to be roughly \$15 million in FY 2020 and has been reflected in the multi-year spending projections for State Police.

On October 7, 2019, the Graduate Student Employees Union (GSEU) ratified a four-year labor contract that provides for 2 percent general annual salary increases for 2020 through 2023. The ratified contract costs an estimated \$2 million in FY 2020. SUNY is responsible for funding the cost of the contract.

Labor contracts are in force with the following unions:

The Civil Service Employees Association (CSEA) and DC-37 (Local 1359 Rent Regulation Service Employees) have five-year labor contracts that provide annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. Salary increases provided to CSEA and DC-37 (Local 1359) employees were also extended to Management/Confidential (M/C) employees.

The United University Professions (UUP) has a six-year labor contract (2017 through 2022). The contract provides for 2 percent general salary increases annually and additional compensation changes, which are partly offset by benefit design changes within NYSHIP. The cost of the contract (approximately \$253 million in FY 2020) has been included in the Financial Plan and is primarily funded by SUNY except for related fringe benefit costs, paid by the State. At the request of SUNY, the State advanced approximately \$110 million in planned payments for State-operated SUNY campuses to make resources available for retroactive payments. These payments, originally scheduled for November 2019, were paid in June 2019.

The New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) has a seven-year labor contract (FY 2017 through FY 2023). The contract provides for 2 percent general salary increases in each year of the agreement, and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs. The Police Benevolent Association of the New York State Troopers (NYSTPBA) has a five-year collective bargaining agreement for FY 2019 through FY 2023. The agreement provides for 2 percent general salary increases in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP.

Contract periods and related general salary increases for State employee union contracts are summarized below.

UNION LABOR CONTRACTS										
	Contract Period	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
NYSTPBA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%
NYSPIA	FY 2019 - FY 2023	2%	2%	1.5%	1.5%	2%	2%	2%	2%	2%
NYSCOPBA	FY 2017 - FY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%
GSEU	AY 2020 - AY 2023	2%	2%	2%	2%	2%	2%	2%	2%	2%
UUP	AY 2017 - AY 2022	2%	2%	2%	2%	2%	2%	2%	2%	TBD
CSEA	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD
DC-37	FY 2017 - FY 2021	2%	2%	2%	2%	2%	2%	2%	TBD	TBD
PEF	FY 2017 - FY 2019	2%	2%	2%	2%	2%	TBD	TBD	TBD	TBD
CUNY	FY 2011 - FY 2018	2.5%	2%	2%	1.5%	TBD	TBD	TBD	TBD	TBD
COUNCIL 82	FY 2010 - FY 2016	2%	2%	TBD	TBD	TBD	TBD	TBD	TBD	TBD
PBANYS	FY 2006 - FY 2015	2%	TBD	TBD	TBD	TBD	TBD	TBD	TBD	TBD

The Judiciary also has contracts in place with all 12 unions represented within its workforce. The contract periods are as follows: FY 2018 to FY 2020 for CSEA; FY 2012 to FY 2021 for the NYS Supreme Court Officers Association, the NYS Court Officers Association and the Court Clerks Association; and FY 2020 to FY 2021 for the remaining eight unions.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in the New York State and Local Employees' Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.¹³ All projections are based on estimated market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent and for PFRS the scale was reduced from 6 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, which is reflected in FY 2020 contribution rates.

In August 2019, the actuary for NYSLRS issued the Annual Report to the Comptroller on Actuarial Assumptions, which provides a reduction in the State pension fund's assumed long-term rate of return on investments from 7 percent to 6.8 percent, anticipating a lower return on investment environment. The estimated average employer contribution rate for the ERS will remain stable at 14.6 percent of payroll due to offsetting gains from a change in the mortality improvement scale and new Tier 6 entrants. However, the estimated average employer contribution rate for the PFRS will increase by 0.9 percent, from 23.5 percent of payroll to 24.4 percent of payroll (the assumed rate reduction had more leverage in PFRS due to the maturity of the system).¹⁴

FY 2020 Projections

The FY 2020 ERS/PFRS pension estimate of \$2.2 billion is based on the October 15, 2019 report of the State Comptroller which includes a variety of factors such as past investment performance and growth in lower cost Tier 6 entrants. The estimate includes payment of \$432 million towards the balance outstanding on prior-year deferrals and additional interest savings from paying the majority of the pension bill in May 2019.

¹³ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

¹⁴ Average contribution rates include the Group Life Insurance Plan (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g. FY 2017 costs were first billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits that are newly incurred in a given fiscal year. However, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The ERS cost to the State (including costs covered for local ERS) was \$26 million in FY 2019 based on actual credit purchased through December 31, 2018. DOB currently estimates ERS costs of \$25 million in FY 2020 and ongoing annual costs of \$7 million beginning in FY 2021 as additional veterans become eligible to purchase the credit.

FY 2021 and Outyear Projections

The FY 2021 ERS/PFRS pension estimate of \$2.3 billion is based on the State Comptroller's October 15, 2019 report, which reflects a reduction in the assumed rate of return and other increases, partially offset by the use of a new mortality improvement scale and lower cost Tier 6 entrants. The State will continue to pay \$432 million towards the balance outstanding on prior-year deferrals. OSC does not forecast pension liability estimates beyond the budget year, thus estimates for FYs 2022 and 2023 are developed by DOB. DOB's forecast includes growth in the salary base consistent with collective bargaining agreements and a stable rate of return.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

Other Matters Affecting the Financial Plan



The portion of an employer’s annual pension costs that may be amortized is determined by comparing the employer’s amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate¹⁵) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a formula enacted in the FY 2010 legislation, and generally move toward their system’s average normal rate by up to one percentage point per year. When an employer’s normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer-specific graded rate based on the employer’s own tier and plan demographics.

Neither the State nor the Office of Court Administration (OCA) has amortized its pension costs since FY 2016.

The amortization threshold is projected to equal the normal rate in upcoming fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS (millions of dollars)									
Fiscal Year	Statewide Pension Payments ¹				Interest Rate on Amortization Amount (%) ³	Rates for Determining (Amortization Amount) / Excess Contributions			
	Normal Costs ²	(Amortization Amount) / Excess Contributions	Repayment of Amortization	Total Statewide Pension Payments		System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)	
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5
2012	2,037.5	(562.8)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5
2013	2,077.9	(778.5)	100.9	1,400.3	3.00	18.5	25.7	11.5	19.5
2014	2,633.6	(937.0)	192.1	1,888.7	3.67	20.5	28.9	12.5	20.5
2015	2,328.8	(713.1)	305.7	1,921.4	3.15	19.7	27.5	13.5	21.5
2016	1,972.1	(356.2)	390.0	2,005.9	3.21	17.7	24.7	14.5	22.5
2017	1,789.0	0.0	432.2	2,221.2	2.33	15.1	24.3	15.1	23.5
2018	1,788.7	0.0	432.2	2,220.9	2.84	14.9	24.3	14.9	24.3
2019	1,770.2	0.0	432.2	2,202.4	3.64	14.4	23.5	14.4	23.5
2020 Est.	1,784.3	0.0	432.2	2,216.5	2.55	14.2	23.5	14.2	23.5
2021 Est.	1,869.8	0.0	432.2	2,302.0	TBD	14.1	24.4	14.1	24.4
<i>Projected by DOB⁵</i>									
2022	2,189.0	0.0	399.9	2,588.9	TBD	16.2	25.5	16.2	25.5
2023	2,387.8	0.0	331.3	2,719.1	TBD	17.2	26.5	17.2	26.5
2024	2,479.0	0.0	240.1	2,719.1	TBD	18.2	27.5	18.2	27.5

¹ Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system’s total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortized) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.

¹⁵ For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e., normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization. The “(Amortization Amount) / Excess Contributions” column shows amounts amortized. The “Repayment of Amortization” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, inclusive of amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have the required years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

The State Comptroller adopted Governmental Accounting Standards Board (GASB) Statement (GASBS) 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, for State’s Basic Financial Statements for FY 2019. GASBS 75, which replaces GASBS 45 and GASBS 57, addresses accounting and financial reporting for OPEB that is provided to the employees of state and local governmental employers. GASBS 75 establishes standards for recognizing and measuring liabilities and expenses/expenditures, as well as identifying the methods and assumptions that are required to be used to project benefit payments, discount projected benefit payments to their actuarial determined present value, and attribute that present value to periods of employee service. Specifically, GASBS 75 now requires the full liability be recognized. As a result, the March 31, 2018 ending net positive position of \$28.6 billion (previously reported using GASBS 45) in the State’s governmental activities was restated to an April 1, 2018 beginning net deficit position of \$3.3 billion upon the implementation of GASBS 75.

The State’s total OPEB liability equals the employer’s share of the actuarial determined present value of projected benefit payments attributed to past periods of employee service. The total OPEB obligation less any OPEB assets set aside in an OPEB trust or similar arrangement represent the net OPEB obligation.

As reported in the State's Basic Financial Statements for FY 2019, the total ending OPEB liability for FY 2019 is \$63.4 billion (\$50.9 billion for the State and \$12.5 billion for SUNY). The total OPEB liability as of March 31, 2019 was measured as of March 31, 2018 and was determined using an actuarial valuation as of April 1, 2017, with update procedures used to roll forward the total OPEB liability to March 31, 2018. The total beginning OPEB liability for FY 2019 was \$66.5 billion (\$53.5 billion for the State and \$13.0 billion for SUNY). The total OPEB liability was calculated using the Entry Age Normal cost method. The discount rate is based on the Bond Buyer 20-year general obligation municipal bond index rate at March 31 (3.86 percent in FY 2018 and 3.89 percent in FY 2019). The total OPEB liability declined by \$3.1 billion during FY 2019, primarily attributable to the difference between expected and actual experience.

The contribution requirements of NYSHIP members and the State are established by, and may be amended by, the Legislature. The State is not required to provide funding above the PAYGO amount necessary to provide current benefits to retirees and has not funded a qualified trust or its equivalent as defined in GASBS 75. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund"), a qualified trust under GASBS 75, that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not currently include any deposits to the Trust Fund.

GASBS 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs. DOB's methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

Litigation

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant a description herein but, in the aggregate, could still adversely affect the Financial Plan.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Chief Information Security Office (CISO) within the State's Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, and services relating to the security of State government networks and geographic information systems,¹⁶ and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack could impact business operations and/or damage State digital networks and systems, State and local infrastructure, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by the Department of Financial Services (DFS) are, unless eligible for limited exemptions, required to: (a) maintain a cybersecurity program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or for which notice is required to any government body, self-regulatory agency, or supervisory body.

¹⁶ Statewide cybersecurity policies can be found at: <https://its.ny.gov/eiso/policies/security>.

Financial Condition of New York State Localities

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's Financial Plan projections. Certain localities outside NYC, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

Bond Market

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State or its public authorities cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions and related ratings issued by national credit rating agencies, among other factors. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacted the State and its public authorities by removing certain refunding opportunities for Federal tax-exempt financing, including advance refundings for debt service savings when interest rates are favorable.

Debt Reform Act Limit

The Debt Reform Act of 2000 ("Debt Reform Act") restricts the issuance of State-supported debt funding to capital purposes only and limits the maximum term of bonds to 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period.

The statute requires that limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in the Mid-Year Financial Plan. If the actual amount of new State-supported debt outstanding and debt service costs for the prior fiscal year are below the caps at that time, State-supported debt may continue to be issued. However, if either the debt outstanding or debt service caps are met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and debt is found to be within the applicable limitations.

For FY 2019, the cumulative debt outstanding and debt service caps are 4 and 5 percent, respectively. As shown in the following tables, actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2019, the State has issued new debt resulting in \$47.6 billion of debt outstanding applicable to the debt reform cap. This is about \$6.0 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$4.8 billion in FY 2019, or roughly \$3.7 billion below the statutory debt service limitation.

Debt Outstanding Cap (millions of dollars)		
	<u>Dollar</u>	<u>Percent</u>
Personal Income (CY 2018) ¹	\$1,341,932	
Max. Allowable Debt Outstanding	\$53,677	4.00%
Debt Outstanding Subject to Cap	\$47,642	3.55%
Remaining Capacity	\$6,035	0.45%

¹ Bureau of Economic Analysis

Debt Service Cap (millions of dollars)		
	<u>Dollar</u>	<u>Percent</u>
All Funds Receipts (FY 2019)	\$168,106	
Max. Allowable Debt Service	\$8,405	5.00%
Debt Service Subject to Cap	\$4,755	2.83%
Remaining Capacity	\$3,650	2.17%

Financial Plan projections indicate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available debt capacity under the debt outstanding cap is expected to decline from \$6 billion in FY 2019 to about \$473 million in FY 2024. This includes the estimated impact of funding increased capital commitment levels with State bonds. The cost of debt issued after April 1, 2000 and estimated new issuances is projected at \$5.1 billion in FY 2020, or roughly \$3.5 billion below the statutory debt service limit.

Other Matters Affecting the Financial Plan



DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000 ¹	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2019	\$1,341,932	4.00%	53,677	47,642	6,035	3.55%	0.45%	5,582	53,224
FY 2020	\$1,387,963	4.00%	55,519	51,991	3,528	3.75%	0.25%	4,793	56,784
FY 2021	\$1,439,666	4.00%	57,587	56,630	957	3.93%	0.07%	3,389	60,019
FY 2022	\$1,498,532	4.00%	59,941	59,286	655	3.96%	0.04%	2,783	62,069
FY 2023	\$1,560,914	4.00%	62,437	61,681	756	3.95%	0.05%	2,182	63,863
FY 2024	\$1,626,196	4.00%	65,048	64,575	473	3.97%	0.03%	1,695	66,270

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service ²
FY 2019	\$168,106	5.00%	8,405	4,755	3,650	2.83%	2.17%	1,060	5,815
FY 2020	\$173,381	5.00%	8,669	5,138	3,531	2.96%	2.04%	1,305	6,443
FY 2021	\$175,326	5.00%	8,766	5,573	3,193	3.18%	1.82%	1,234	6,807
FY 2022	\$182,294	5.00%	9,115	6,080	3,035	3.34%	1.66%	990	7,070
FY 2023	\$188,264	5.00%	9,413	6,506	2,907	3.46%	1.54%	808	7,314
FY 2024	\$195,610	5.00%	9,780	6,855	2,925	3.50%	1.50%	600	7,455

¹ Assumes that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit at their call dates.

² Total State-supported debt service is adjusted for prepayments.

The projected debt capacity under the debt outstanding cap depends on expected growth in State personal income. The State uses personal income estimates published by the Federal government, specifically the Bureau of Economic Analysis (BEA), to calculate the cap on debt outstanding, as required by statute. The BEA revises these estimates on a quarterly basis and such revisions can be significant. The BEA increased its Calendar Year 2017 personal income estimate for New York by \$70 billion from March to October 2018, resulting in a \$2.8 billion increase in debt outstanding capacity. Notably, this material fluctuation in statutory debt capacity occurred between the end of FY 2018, when debt outstanding is measured, and the final compliance determination in October 2018. While, in this instance, the State benefitted from the significant increase in BEA’s estimate of New York State personal income, this volatility could have compromised the State’s ability to manage within its statutory debt cap had the personal income estimate been unexpectedly revised downward by a similar amount. Absent such swings in personal income estimates, the State traditionally has relied on adjustments to capital spending priorities and debt financing practices to preserve available debt capacity and stay within the statutory limits.

Such volatility in New York State personal income estimates has prompted DOB to reexamine the manner in which BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the “residency adjustment”). The BEA residency adjustment has the effect of reducing reported New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The net residency adjustment reported by BEA decreased the measure of 2018 State personal income by \$77 billion at the time of the FY 2019 debt outstanding calculation. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State-supported debt. To date, in administering the debt reform cap, DOB has used State personal income, as reduced by the BEA residency adjustment, in debt outstanding cap calculations and projections which correspondingly reduces the State’s debt capacity under the Debt Reform Act.



Other Matters Affecting the Financial Plan

Changes in the State's available debt capacity, as illustrated below, reflect the impact of several factors, including personal income forecast adjustments and capital spending revisions. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY						
(millions of dollars)						
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024
	Results	Updated	Projected	Projected	Projected	Projected
First Quarterly Update to the Financial Plan	5,947	2,938	694	463	629	415
Personal Income Forecast Adjustment	85	116	(66)	(83)	(93)	(102)
Capital Spending Adjustment	3	474	329	275	220	160
Mid-Year Update to the Financial Plan	6,035	3,528	957	655	756	473

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2019, approximately \$165 million of bonds were outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$129 million for debt service costs. DASNY estimates the State will pay debt service costs of approximately \$31 million both in FY 2020 and FY 2021, \$25 million in FY 2022, and \$20 million in both FY 2023 and FY 2024. These amounts are based on the actual experience to date of the participants in the program and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$7 million annually, if all hospitals in the program failed to meet the terms of their agreements with DASNY, and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) New York University (NYU) Hospitals Center, which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The physical demolition has been completed, and final review of the environmental paperwork is nearing completion.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other criteria, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the health care services expected to be provided by NYU Hospitals Center. To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement.

Other Matters Affecting the Financial Plan



The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center, and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.

Extraordinary Monetary Settlements

Beginning in FY 2015, the State began receiving Extraordinary Monetary Settlements for violations of State laws by major financial institutions and other entities. The table below lists the receipts by firm and amount. Effective April 1, 2019, DOB is no longer classifying or distinctly identifying any settlement of less than \$25 million as an Extraordinary Monetary Settlement.

SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	Total
Extraordinary Monetary Settlements	4,942	3,605	1,317	805	1,186	896	12,751
Aetna Insurance Company	0	0	0	0	2	0	2
Agricultural Bank of China	0	0	215	0	0	0	215
American International Group, Inc.	35	0	0	0	0	0	35
Athene Life Insurance	0	0	0	0	15	0	15
AXA Equitable Life Insurance Company	20	0	0	0	0	0	20
Bank Leumi	130	0	0	0	0	0	130
Bank of America	300	0	0	0	0	0	300
Bank of America Merrill Lynch	0	0	0	0	42	0	42
Bank of Tokyo Mitsubishi	315	0	0	0	0	0	315
Barclays	0	670	0	0	15	0	685
BNP Paribas	2,243	1,348	0	350	0	0	3,941
Chubb	0	0	0	0	1	0	1
Cigna	0	0	0	2	0	0	2
Citigroup (State Share)	92	0	0	0	0	0	92
Commerzbank	610	82	0	0	0	0	692
Conduent Education Services	0	0	0	0	1	0	1
Credit Agricole	0	459	0	0	0	0	459
Credit Suisse AG	715	30	0	135	0	0	880
Deutsche Bank	0	800	444	0	205	0	1,449
FedEx	0	0	0	0	26	0	26
Goldman Sachs	0	50	190	0	55	0	295
Google/YouTube	0	0	0	0	0	34	34
Habib Bank	0	0	0	225	0	0	225
Intesa SanPaolo	0	0	235	0	0	0	235
Lockton Affinity	0	0	0	0	7	0	7
Mashreqbank	0	0	0	0	40	0	40
Mega Bank	0	0	180	0	0	0	180
MetLife Parties	50	0	0	0	20	0	70
Morgan Stanley	0	150	0	0	0	0	150
MUFG Bank	0	0	0	0	0	33	33
Nationstar Mortgage	0	0	0	0	5	0	5
New Day	0	1	0	0	0	0	1
Ocwen Financial	100	0	0	0	0	0	100
Oscar Insurance Company	0	0	0	0	1	0	1
PHH Mortgage	0	0	28	0	0	0	28
PricewaterhouseCoopers LLP	25	0	0	0	0	0	25
Promontory	0	15	0	0	0	0	15
RBS Financial Products Inc.	0	0	0	0	100	0	100
Société Générale SA	0	0	0	0	498	0	498
Standard Chartered Bank	300	0	0	0	40	322	662
Unicredit	0	0	0	0	0	507	507
UBS	0	0	0	0	41	0	41
Volkswagen	0	0	32	33	0	0	65
Wells Fargo	0	0	0	0	65	0	65
Western Union	0	0	0	60	0	0	60
William Penn	0	0	0	0	6	0	6
Other Settlements	7	0	(7)	0	1	0	1

Other Matters Affecting the Financial Plan



The table below summarizes the past and planned uses of Extraordinary Monetary Settlements received.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	Fys							Total
	2015 - 2019	FY 2020	FY 2021	FY 2022	FY 2023	FY 2024	FY 2025	
Opening Settlement Balance in General Fund	0	4,194	2,840	2,017	976	271	34	0
Receipt of Extraordinary Monetary Settlements	11,855	896	0	0	0	0	0	12,751
Use/Transfer of Funds	7,661	2,250	823	1,041	705	237	34	12,751
Capital Purposes:	4,134	1,116	823	1,041	705	237	34	8,090
Dedicated Infrastructure Investment Fund	3,373	1,191	1,079	926	476	231	34	7,310
Environmental Protection Fund	120	0	0	0	0	0	0	120
Mass Transit	70	5	5	2	2	1	0	85
Healthcare	25	100	80	63	52	5	0	325
Clean Water Grants	0	0	25	50	175	0	0	250
Javits Center Expansion	546	320	134	0	0	0	0	1,000
Bond Proceed Receipts for Javits Center Expansion	0	(500)	(500)	0	0	0	0	(1,000)
Other Purposes:	3,122	6	0	0	0	0	0	3,128
Audit Disallowance - Federal Settlement	850	0	0	0	0	0	0	850
CSX Litigation Payment	76	0	0	0	0	0	0	76
Financial Plan - General Fund Operating Purposes	1,807	0	0	0	0	0	0	1,807
Mass Transit Operating	10	0	0	0	0	0	0	10
MTA Operating Aid	194	0	0	0	0	0	0	194
Department of Law - Litigation Services Operations	180	6	0	0	0	0	0	186
OASAS Chemical Dependence Program	5	0	0	0	0	0	0	5
Reservation of Funds:	405	1,128	0	0	0	0	0	1,533
Rainy Day Reserves	250	238	0	0	0	0	0	488
Reserve for Economic Uncertainties	0	890	0	0	0	0	0	890
Reserve for Retroactive Labor Agreements	155	0	0	0	0	0	0	155
Closing Settlement Balance in General Fund	4,194	2,840	2,017	976	271	34	0	0

State Financial Plan Multi-Year Projections

Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FYs 2020 through FY 2023, with an emphasis on FY 2020 projections, which reflect the impact of the Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts.** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.
- **Disbursements.** Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2020 Budget, FY 2021, is the most relevant from a planning perspective.

Summary

The Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to a 2 percent annual spending growth benchmark.

The projections for FY 2021 and thereafter, as set forth in the Financial Plan, reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Financial Plan. From time to time, the State has approved legislation that has affected spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Financial Plan tables as “Adherence to 2% Spending Benchmark.” The total disbursements in the Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark, the projected operating position would decline.

The imbalance in the Medicaid Global Cap is placing upward pressure on State Operating Funds disbursements in FY 2020 and in future years. The current Financial Plan projections assume the sufficiency of the FY 2020 savings plan to limit FY 2020 disbursements to 2 percent, the recurring value of the savings plan will reduce FY 2021 disbursements by nearly \$900 million, and that all savings from reduced spending is made available to the General Fund. The Mid-Year Update assumes FY 2020 disbursements will be reduced by the full value of the FY 2020 savings plan in the General Fund (\$1.8 billion) and by \$327 million in other State funds to limit FY 2020 disbursements to 2 percent.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between State Operating Funds projections and General Fund budget gaps. The tables are followed by a summary of multi-year receipts and disbursements forecasts.

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
RECEIPTS					
Taxes (After Debt Service)	63,957	71,351	74,542	77,298	81,345
Miscellaneous Receipts	3,586	2,904	2,041	1,899	1,874
Other Transfers	3,001	3,028	2,091	1,745	1,609
Total Receipts	70,544	77,283	78,674	80,942	84,828
DISBURSEMENTS					
Local Assistance	49,745	54,028	59,058	62,167	65,681
School Aid	23,080	23,566	24,912	26,086	27,307
Medicaid	14,340	17,546	20,100	21,925	23,073
All Other	12,325	12,916	14,046	14,156	15,301
State Operations	11,341	11,913	12,621	12,651	12,960
Personal Service	8,719	9,075	9,568	9,561	9,772
Non-Personal Service	2,622	2,838	3,053	3,090	3,188
General State Charges	7,139	7,667	8,234	8,904	9,470
Transfers to Other Funds	4,558	5,936	6,547	6,680	6,861
Debt Service	786	546	689	588	556
Capital Projects	1,888	3,019	3,317	3,373	3,435
State Share of Mental Hygiene Medicaid	(29)	0	0	0	0
SUNY Operations	1,020	1,185	1,259	1,255	1,255
All Other	893	1,186	1,282	1,464	1,615
Total Disbursements	72,783	79,544	86,460	90,402	94,972
Use (Reservation) of Fund Balance:	2,239	479	823	1,041	705
Community Projects	11	35	0	0	0
Labor Agreements	(51)	206	0	0	0
Business Tax Refund	(202)	202	0	0	0
Undesignated Fund Balance	1,905	0	0	0	0
Rainy Day Reserves	(250)	(428)	0	0	0
Economic Uncertainties	0	(890)	0	0	0
Extraordinary Monetary Settlements ¹	826	1,354	823	1,041	705
FY 2020 Savings Plan²	0	(1,782)	(890)	(890)	(890)
BUDGET SURPLUS/(GAP) PROJECTIONS³	0	0	(6,073)	(7,529)	(8,549)
Adherence to 2% Spending Benchmark⁴	n/a	n/a	6,993	9,572	11,788
BUDGET SURPLUS/(GAP) ESTIMATE AT 2%	0	0	920	2,043	3,239

¹ Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

² Estimated disbursements assume successful implementation of the FY 2020 savings plan.

³ Before actions to adhere to the 2 percent spending growth benchmark.

⁴ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2020 estimate) and assuming all savings are made available to the General Fund.

State Operating Funds Projections

STATE OPERATING FUNDS DISBURSEMENTS (millions of dollars)					
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
RECEIPTS					
Taxes	74,144	79,853	84,293	87,719	91,913
Miscellaneous Receipts/Federal Grants	23,558	21,542	18,834	19,050	18,511
Total Receipts	97,702	101,395	103,127	106,769	110,424
DISBURSEMENTS					
Local Assistance	66,177	70,626	74,970	78,381	81,523
School Aid (School Year Basis)	26,843	27,856	28,957	30,159	31,382
DOH Medicaid ¹	20,476	23,821	26,193	28,009	29,248
Transportation	3,938	3,550	3,885	4,064	4,310
STAR	2,423	2,176	2,073	1,979	1,858
Higher Education	2,980	2,976	2,945	2,989	3,024
Social Services	2,798	2,873	2,961	3,016	3,129
Mental Hygiene	2,150	2,022	2,497	2,450	2,705
All Other ²	4,569	5,352	5,459	5,715	5,867
State Operations	19,057	19,765	20,258	20,364	20,724
Personal Service	13,687	14,292	14,701	14,718	15,007
Non-Personal Service	5,370	5,473	5,557	5,646	5,717
General State Charges	8,204	8,725	9,354	10,037	10,633
Pension Contribution	2,432	2,448	2,546	2,830	2,965
Health Insurance	4,193	4,308	4,651	5,001	5,378
All Other	1,579	1,969	2,157	2,206	2,290
Debt Service	6,699	5,146	6,607	7,070	7,314
Capital Projects	0	0	0	0	0
FY 2020 Savings Plan³	0	(2,109)	(890)	(890)	(890)
Total Disbursements⁴	100,137	102,153	110,299	114,962	119,304
Net Other Financing Sources/(Uses)	1,190	273	(232)	(441)	(484)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	1,245	485	1,331	1,105	815
General Fund	2,239	479	823	1,041	705
Special Revenue Funds	(1,082)	(1)	501	69	116
Debt Service Funds	88	7	7	(5)	(6)
GENERAL FUND BUDGET SURPLUS/(GAP)⁴	0	0	(6,073)	(7,529)	(8,549)
Adherence to 2% Spending Benchmark⁵	n/a	n/a	6,993	9,572	11,788
BUDGET SURPLUS/(GAP) ESTIMATE AT 2%	0	0	920	2,043	3,239
¹ Total State share Medicaid funding prior to the spending offset from the application of MSA payments, which are directly deposited to a Medicaid Escrow Fund to cover a portion of the State's takeover of Medicaid costs for counties and New York City. The value of the offset is reported in "All Other" local assistance disbursements. ² All Other includes education, parks, environment, economic development, and public safety, as well as the MSA payment offset and a reconciliation between school year and State fiscal year spending on School Aid. ³ Estimated disbursements assume successful implementation of the FY 2020 savings plan. ⁴ Before actions to adhere to the 2 percent spending growth benchmark. ⁵ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2020 estimate) and assuming all savings are made available to the General Fund.					

Economic Backdrop

The U.S. and Global Economy

The U.S. economy officially entered its longest economic expansion in July 2019, breaking the 120-month record of economic recovery between March 1991 to March 2001, according to the National Bureau of Economic Research (NBER). The current economic expansion that started in June of 2009 after a particularly deep recession (Great Recession, December 2007–June 2009), while record-breaking in duration, is marked by slower real Gross Domestic Product (GDP) growth compared to previous post-war expansions. Job gains have also been slower than most post-war economic expansions, even though the September 2019 unemployment rate was the lowest since December 1969. Worries about the continuation of the expansion have intensified recently due to waning support from fiscal stimulus, slowing global growth, labor market constraints, heightened trade frictions with China and other significant trading partners, and increasing economic policy uncertainties.

The International Monetary Fund (IMF), in its latest World Economic Outlook Report projects global growth to slow in calendar year 2019, particularly in several key economies.¹⁷ China's GDP growth slowed to its weakest growth rate since 1992. Germany is currently in an industrial-manufacturing downturn, while Italy is still in recession territory. The European Central Bank (ECB) has been providing more stimulus to counter the slowdown in the Eurozone. In addition, most major central banks are either cutting rates and/or offering more stimulus.

U.S. Economic Forecast¹⁸

According to the BEA, the third estimate of 2019Q2 real GDP growth released in September was 2.0 percent. This was unrevised from the second estimate reported in August 2019. The third estimate revised down consumption by 0.1 percentage point to 4.6 percent, while it raised growth of government expenditures and net trade.

DOB expects real GDP growth of 2.3 percent in 2019, slower than the 2.9 percent pace set in 2018. Compared to the First Quarterly Update Financial Plan forecast, 2019 real GDP growth has been revised down by 0.3 percentage point. Weakness in 2019 is led by business investment and exports. In 2018, growth rates in these two sectors are significantly lower after BEA's annual revision of the National Income and Product Accounts (NIPA). In 2019, business investment is being further hampered by the six-week-long GM UAW strike, the production cut and delivery halt of Boeing's 737 MAX aircrafts, and tariff policy uncertainties. Weaker global growth and retaliatory tariffs are also placing downward pressure on the U.S.'s export growth. On the other hand, consumer and government spending growth rates are stronger.

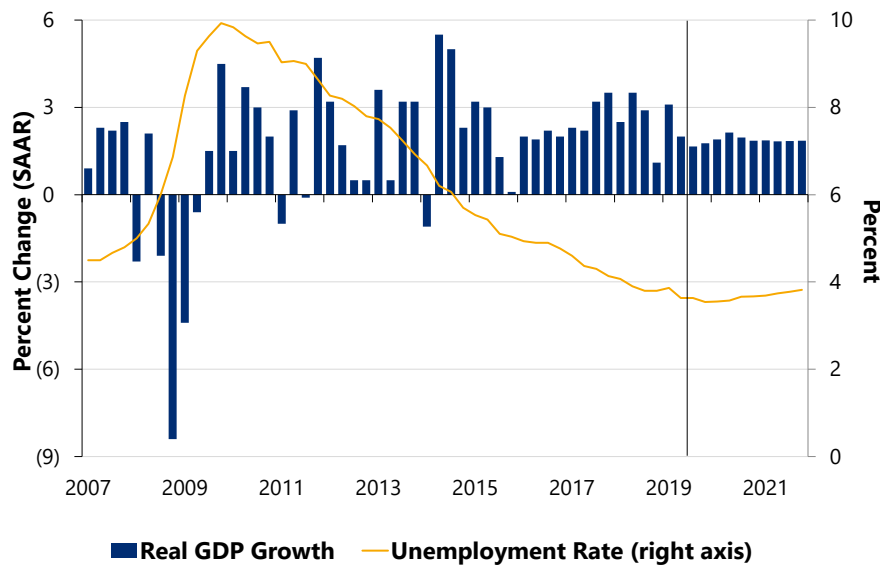
¹⁷ <https://www.imf.org/en/Publications/WEO/Issues/2019/10/01/world-economic-outlook-october-2019>

¹⁸ DOB's forecast incorporates the third estimate of 2019 second quarter GDP, August personal income and outlays, September 2019 employment, and September 2019 CPI reports.

Real consumption, the largest component of GDP, is estimated to have grown 3.0 percent in 2018, 0.4 percentage point higher than estimated in July; and it is forecast to grow 2.6 percent in 2019, an uptick of 0.1 percentage point from 2.5 percent expected in July. Government consumption and gross investment were revised up from the First Quarterly Update Financial Plan forecast, mainly due to the Bipartisan Budget Act of 2019, which is expected to inject new fiscal stimulus and mitigate fiscal risks in 2020. On balance, real GDP growth is forecast to increase 1.9 percent in 2020, one-tenth of a percent lower than the First Quarterly Update Financial Plan forecast.

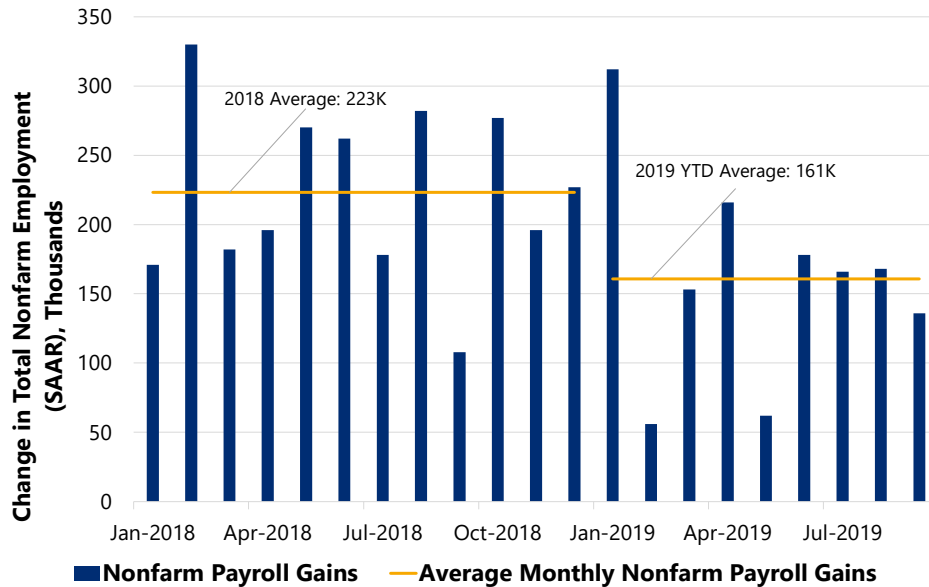
The U.S. economy added 136,000 nonfarm payroll jobs in September 2019, bringing the average monthly job gains through September of this year to 161,000; this is considerably weaker than the 223,000 monthly average for 2018. The unemployment rate edged down to 3.5 percent in September from a 3.7 percent reading in the prior three months. Employment growth is expected to lose momentum over the next several years. Total nonfarm employment growth of 1.5 percent is projected for 2019, followed by 1.1 percent growth for 2020, virtually unchanged from the First Quarterly Update Financial Plan forecast. However, the Current Employment Statistics (CES) Preliminary Benchmark announcement released in August 2019 indicates potential downward revisions for 2019.

GDP Growth and Unemployment Rate



Source: Haver Analytics/BEA, BLS; DOB staff estimates.

Nonfarm Payroll Gains



Source: Haver Analytics/BLS.

Consistent with capacity-constrained labor markets and a current unemployment rate that is one percentage point below the Congressional Budget Office’s (CBO) Non-Accelerating Inflation Rate of Unemployment (NAIRU),¹⁹ the outlook for wage growth is expected to remain robust. The BEA’s annual revision of NIPA accounts substantially raised wages in 2018 and the first half of 2019. Although average hourly earnings growth has slowed down during the second half of 2019, wage and salaries growth estimate for calendar year 2019 is revised up to 5.3 percent, 1.7 percentage points above the First Quarterly Update Financial Plan forecast. Large upward revisions to wage and other labor income components are partially offset by downward revisions to property and transfer incomes in 2019. Farm subsidies are expected to cause additional volatility in proprietor’s income. Overall, personal income growth for 2019 is projected to be 4.7 percent, 0.7 percentage point higher than the First Quarterly Update Financial Plan forecast.

Additional tariffs on imports from China have started to raise consumer prices, but the stronger dollar may have muted some of the upward pressure. Imported oil prices also stabilized after U.S. sanctions on Iran were renewed, indicating less inflationary pressure from energy prices. The CPI is projected to rise 1.8 percent in 2019, unchanged from the First Quarterly Update Financial Plan forecast, after growing 2.4 percent in 2018. CPI is projected to rise 2.3 percent in 2020 and 2.3 percent in 2021, slightly stronger than the First Quarterly Update Financial Plan forecast.

¹⁹ NAIRU is the specific level of unemployment that is evident in an economy that does not cause inflation to increase. In other words, if unemployment is at the NAIRU level, inflation is constant. NAIRU often represents the equilibrium between the state of the economy and the labor market. (<https://www.investopedia.com/terms/n/non-accelerating-rate-unemployment.asp>).

The Federal Reserve cut its benchmark interest rate in July 2019 and then again in September 2019 by 25 basis points. As expected by DOB, the Federal Open Market Committee cut the Federal funds target rate by another 25 basis points in October this year. These rate cuts are considered “insurance” against downside risks such as softening domestic growth, trade policy uncertainty, fading impacts of fiscal stimulus, and weaker-than-expected core consumer price inflation. Projections of yields on U.S. 10-year treasury notes are revised down in 2019 through 2021 from the First Quarterly Update Financial Plan forecast.

Real consumer spending rebounded to 4.6 percent at an annualized rate of growth in the second quarter of 2019 after growing only 1.1 percent in the first quarter. Upward revisions in household net worth data are supporting stronger personal consumption. Thus, consumption is anticipated to grow slightly stronger at 2.6 percent in 2019, a 0.1 percentage point increase from the First Quarterly Update Financial Plan forecast. Although consumption growth is forecast to slow to 2.4 percent in 2020 and decelerate further to 1.9 percent in 2021, both are representing upward revisions from the First Quarterly Update Financial Plan forecast.

Mortgage rates continued falling after July. The 30-year mortgage rate is 3.6 percent as of mid-October, 130 basis points lower than its peak in November 2018. The decline in mortgage rates seems to have finally started to stimulate new construction since the third quarter of 2019. DOB expects real residential investment will rebound in the second half of 2019 after declining for six consecutive quarters. Following a 1.5 percent decline in 2018, real residential investment is expected to drop 1.8 percent in 2019, before reversing course with an upwardly-revised momentum in 2020 and 2021.

Business investment declined in the second quarter of 2019 as global growth cooled and trade policy uncertainties deterred businesses from making capital investment plans. The six-week-long strike that concluded on October 25th stopped production at more than 30 General Motors factories and slowed production for auto parts suppliers in the U.S. and Mexico. In addition, Boeing may continue to cut production and delay deliveries of 737 MAX aircrafts through at least the end of 2019. As a result, DOB has significantly revised down the projection for real non-residential investment in 2019 from the First Quarterly Update Financial Plan forecast. Overall non-residential fixed investment is forecast to grow 2.5 percent during 2019, following 6.4 percent growth in 2018. Growth of investment in equipment is forecast at 1.9 percent in 2019, a sharp decline from the 2018 growth rate of 6.8 percent. Investment in structures is expected to decline 3.9 percent in 2019, following 4.1 percent growth last year. Investment in intellectual property is expected to maintain its healthy pace in 2019 at a rate of 7.7 percent, following 7.4 percent growth last year.

Following a two-day meeting on October 10-11, President Trump announced that the U.S. would delay a tariff increase scheduled to go into effect on October 15. The delay will apply to tariffs that were scheduled to increase from 25 percent to 30 percent on approximately 45 percent of goods imported from China. In response, China agreed to purchase \$40 to \$50 billion in U.S. agricultural products annually. This “phase 1” agreement relieved some of the trade tensions, but as negotiations are still ongoing, DOB expects trade policy uncertainties will continue to place upward pressure on core (excluding food and energy) consumer price inflation, and downward pressure on business fixed investment and exports.

U.S. ECONOMIC INDICATORS			
(Calendar Year Growth)			
	CY 2018	CY 2019	CY 2020
	Actual	Forecast	Forecast
Real U.S. Gross Domestic Product	2.9	2.3	1.9
Consumer Price Index (CPI)	2.4	1.8	2.3
Personal Income	5.6	4.7	3.9
Nonagricultural Employment	1.7	1.5	1.1
Civilian Unemployment Rate	3.9	3.7	3.6

Source: Haver Analytics; DOB staff estimates.

Risks

Downside risks to the DOB forecast include an escalation of the trade dispute between the U.S., China, and other trading partners, anemic global economic growth, commodity price shocks from geopolitical instability, the uncertainty surrounding the Brexit process, a splintering of the eurozone, and a stock market correction.

Upside risks to the DOB forecast include easing of U.S.-China trade tensions, improved global economic conditions, stronger business investments and exports, a speedy recovery of the housing market, rising wages sustaining domestic demand, and an amicable resolution to Brexit.

The New York State Economy

New York State’s private sector started the year strong with jobs growing 1.7 percent during the first quarter compared to the prior year. The State’s leading industrial sectors continue to be healthcare, transportation and warehousing, management and administrative services, and construction. In contrast, the retail and wholesale trade, utilities and manufacturing sectors continue to exhibit losses. Although the real estate rental and leasing and educational services sectors added jobs at a slower pace during the first quarter compared to the fourth quarter of 2018, job growth in finance and insurance, and professional, scientific, and technical services sectors improved during the first quarter.

New York Economic Forecast²⁰

State private sector job growth is expected to slow down slightly in CY 2019 with growth of 1.4 percent, following 1.5 percent growth in 2018. Slower growth of 1.2 percent is projected for 2020, same as our First Quarterly Update forecast, as national and global economic growth slows down.

NEW YORK STATE ECONOMIC INDICATORS (State Fiscal Year Growth)			
	FY 2019	FY 2020	FY 2021
	Actual	Forecast	Forecast
Personal Income	3.2	3.6	3.9
Wages	3.7	3.7	4.1
Nonagricultural Employment	1.4	1.1	1.1

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.

Finance and insurance sector bonuses are estimated to have declined 8.0 percent for the FY 2019 bonus season, partly due to the prior year's one-time payments associated with the reduction in tax rates stipulated in the TCJA. Although Wall Street Banks had strong IPO activity during the second quarter of 2019, the Initial Public Offerings (IPOs) fizzled during the third quarter with a year over year decline of over 16 percent. Corporate debt underwriting also declined 8.1 percent during the third quarter. Consequently, finance and insurance sector bonuses are expected to fall four percent during FY 2020, compared with small growth projected at the July estimate. Overall wage growth of 3.7 percent is estimated for FY 2020, slightly below the July forecast, following 3.7 percent growth for FY 2019.

In September 2019, the U.S. BEA published a comprehensive revision to its state-level personal income estimates going back to 1998. The revision incorporates updated source data that are more detailed and complete, updated seasonal factors, and the results of the National comprehensive revisions to income released in July 2019. Although the NYS personal income growth estimate for the FY 2019 was nearly unchanged, the level of income was revised up by \$5.2 billion as the table below indicates. Income levels were revised down prior to FY 2018 due to significant downward revisions to interest, dividend and rental income, also known as property income. Revisions to property income were positive for CY 2018 and 2019Q1, although, a large portion of property income is imputed by BEA since the estimates are based on information on tax returns, which becomes available with a long lag.

²⁰ DOB's forecast incorporates the first estimate of 2019 second quarter New York State BEA's personal income, and September 2019 NYC metro CPI reports.

NEW YORK STATE BEA PERSONAL INCOME						
	Level (\$ in Billions)			Growth Rate		
	Current	Pre-revision	Difference	Current	Pre-revision	Difference
FY 2010	923.4	925.8	(2.4)	0.5	0.9	(0.3)
FY 2011	967.5	972.1	(4.6)	4.8	5.0	(0.2)
FY 2012	1,012.1	1,018.1	(6.0)	4.6	4.7	(0.1)
FY 2013	1,061.3	1,070.9	(9.6)	4.9	5.2	(0.3)
FY 2014	1,079.8	1,092.1	(12.3)	1.7	2.0	(0.2)
FY 2015	1,126.4	1,135.7	(9.3)	4.3	4.0	0.3
FY 2016	1,176.0	1,185.4	(9.4)	4.4	4.4	0.0
FY 2017	1,219.8	1,222.6	(2.9)	3.7	3.1	0.6
FY 2018	1,302.9	1,297.5	5.4	6.8	6.1	0.7
FY 2019	1,353.0	1,347.8	5.2	3.8	3.9	(0.0)

Source: Bureau of Economic Analysis (BEA).

The revision to the BEA’s estimate of New York State wages for 2019Q1 aligns the BEA’s estimate more closely to the source data, the Quarterly Census of Employment and Wages (QCEW).²¹ Prior to the revision, the BEA’s year-over-year wage growth for 2019Q1 was only 2.6 percent, compared with the current estimate of 5.2 percent. Unlike wages and property income, personal current transfers were revised down for 2018 and the first quarter of 2019 (see table below).

NEW YORK PERSONAL INCOME REVISIONS (billions of dollars, SAAR)						
	2014	2015	2016	2017	2018	2019Q1
Total	(10.5)	(9.9)	(5.8)	4.9	2.1	18.2
Wage and salary disbursements	0.1	0.2	0.2	1.8	8.0	26.6
Dividends; interest; and rental income	(9.6)	(8.7)	(5.7)	(0.8)	10.4	10.2
Proprietors' income	(0.9)	(0.9)	(0.2)	0.8	(0.4)	(0.1)
Personal current transfer receipts	(0.2)	(0.5)	(0.6)	(1.7)	(15.1)	(14.4)
Supplements to wages and salaries	0.1	0.1	0.3	(0.4)	(1.6)	(1.9)
Personal contributions for social insurance	(0.0)	(0.0)	(0.5)	(0.4)	(0.6)	0.4
Adjustment for residence	(0.1)	(0.1)	(0.2)	4.7	0.2	(1.8)

Source: Bureau of Economic Analysis (BEA).

²¹ The QCEW is the series used by DOB to forecast State wages and bonuses.

Although the State's private sector employment growth has stabilized at a healthy pace, there are many risks to the forecast. All the risks to the U.S. forecast apply to the State forecast as well. Thus, as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. The uncertainty surrounding the macroeconomic outlook for the national and global economies is amplified in the financial markets, as demonstrated by the recent volatility in equity prices. Risks related to the impact of tariffs, the strong dollar, and weakening global growth are likely to continue to create volatility and restrain equity market growth over the near-term, and thus the State's finance sector is very vulnerable to these risks. Weaker and/or more volatile markets than anticipated could result in weaker bonus and wage growth, as well as lower realizations of taxable capital gains than reflected in this forecast. In contrast, stronger equity markets, along with stronger national and global growth, could result in stronger employment and wage growth than is reflected in this forecast.

Receipts

Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. The multiyear tax and miscellaneous receipts estimates are prepared by DOB with the assistance of DTF and other agencies which collect State receipts and are predicated on economic analysis and forecasts.

Overall base growth (i.e., growth not due to law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

Overview of the Receipts Forecast

All Funds receipts in FY 2020 are projected to total \$173.4 billion, 3.1 percent above FY 2019 results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
Personal Income Tax	48,088	52,150	8.4%	55,376	6.2%	58,036	4.8%	61,178	5.4%
Consumption/Use Taxes	17,357	18,308	5.5%	19,014	3.9%	19,548	2.8%	20,123	2.9%
Business Taxes	7,912	8,553	8.1%	8,934	4.5%	9,049	1.3%	9,417	4.1%
Other Taxes	2,221	2,262	1.8%	2,362	4.4%	2,472	4.7%	2,581	4.4%
Total State Taxes	75,578	81,273	7.5%	85,686	5.4%	89,105	4.0%	93,299	4.7%
Miscellaneous Receipts	31,184	29,793	-4.5%	26,161	-12.2%	25,371	-3.0%	24,533	-3.3%
Federal Receipts	61,344	62,316	1.6%	63,478	1.9%	67,819	6.8%	70,433	3.9%
Total All Funds Receipts	168,106	173,382	3.1%	175,325	1.1%	182,295	4.0%	188,265	3.3%

State tax receipts are projected to increase 7.5 percent in FY 2020, driven by underlying annual outyear growth across all tax categories, consistent with the projected growth in the State economy over the multi-year Financial Plan period.

After controlling for the impact of tax law changes, base tax revenue decreased 3 percent in FY 2019, is projected to increase by 12.4 percent in FY 2020 and by 4 percent in FY 2021.

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	48,088	52,150	8.4%	55,376	6.2%	58,036	4.8%	61,178	5.4%
Gross Collections	59,175	64,729	9.4%	66,839	3.3%	70,797	5.9%	74,578	5.3%
Refunds (Incl. State/City Offset)	(11,087)	(12,579)	-13.5%	(11,463)	8.9%	(12,761)	-11.3%	(13,400)	-5.0%
GENERAL FUND¹	21,621	23,899	10.5%	25,615	7.2%	27,039	5.6%	28,731	6.3%
Gross Collections	59,175	64,729	9.4%	66,839	3.3%	70,797	5.9%	74,578	5.3%
Refunds (Incl. State/City Offset)	(11,087)	(12,579)	-13.5%	(11,463)	8.9%	(12,761)	-11.3%	(13,400)	-5.0%
STAR	(2,423)	(2,176)	10.2%	(2,073)	4.7%	(1,979)	4.5%	(1,858)	6.1%
RBTF	(24,044)	(26,075)	-8.4%	(27,688)	-6.2%	(29,018)	-4.8%	(30,589)	-5.4%

¹Excludes Transfers.

All Funds PIT receipts for FY 2020 are estimated to total \$52.2 billion, an increase of \$4.1 billion (8.4 percent) from FY 2019 results. Increases in withholding, final returns, and extension payments related to the 2018 Tax Year are partially offset by growth in expected refunds.

The following table summarizes, by component, actual receipts for FY 2019 and forecast amounts through FY 2023.

ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Updated	Projected	Projected	Projected
Receipts					
Withholding	41,084	42,900	44,556	46,738	48,672
Estimated Payments	14,010	16,972	17,169	18,751	20,391
Current Year	10,481	10,956	11,579	12,433	13,276
Prior Year ¹	3,529	6,016	5,590	6,318	7,115
Final Returns	2,685	3,348	3,508	3,632	3,789
Current Year	344	251	316	331	346
Prior Year ¹	2,341	3,097	3,192	3,301	3,443
Delinquent	1,396	1,509	1,606	1,676	1,726
Gross Receipts	59,175	64,729	66,839	70,797	74,578
Refunds					
Prior Year ¹	6,034	6,448	6,841	7,827	8,147
Previous Years	589	658	688	719	750
Current Year ¹	2,249	2,250	1,750	1,750	1,750
Advanced Credit Payment	1,080	1,924	760	916	1,079
State/City Offset ¹	1,135	1,299	1,424	1,549	1,674
Total Refunds	11,087	12,579	11,463	12,761	13,400
Net Receipts	48,088	52,150	55,376	58,036	61,178

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

FY 2020 withholding is estimated to be \$1.8 billion (4.4 percent) higher than FY 2019 results, driven by wage growth of 3.7 percent. Extension payments related to Tax Year 2018 are expected to increase by \$2.5 billion (70.5 percent), primarily due to a behavioral response to the TCJA. Taxpayers, who otherwise would have made more substantial estimated payments in December 2018, opted to pay a greater percentage of their liabilities through extensions and final payments in April 2019. Estimated payments attributable to Tax Year 2019 are expected to increase by \$475 million (4.5 percent), suppressed by a 0.4 percent growth in nonwage income. FY 2020 final return payments are estimated to increase by \$663 million (24.7 percent), due to the aforementioned behavioral response to the TCJA, and delinquencies are expected to increase by \$113 million (8.1 percent).

Estimated growth in total refunds of \$1.5 billion (13.5 percent) in FY 2020 includes increases of \$844 million (78.1 percent) in advanced credit payments related to Tax Year 2019, \$69 million (11.7 percent) in previous Tax Year (2017 and earlier) refunds, \$164 million (14.4 percent) in the state-city offset, and \$414 million (6.9 percent) in prior Tax Year (2018) refunds. The administrative January-March refund cap is expected to remain at the higher level in FY 2020, virtually unchanged from FY 2019 results. General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2020 of \$23.9 billion are estimated to increase by \$2.3 billion (10.5 percent) from FY 2019 results, reflecting the increase in All Funds receipts noted above. The FY 2020 STAR transfer is expected to be nearly \$2.2 billion.

All Funds PIT receipts for FY 2021 of \$55.4 billion are projected to increase by \$3.2 billion (6.2 percent) from FY 2020 estimates. Gross PIT receipts are projected to increase 3.3 percent, reflecting increases of \$1.7 billion (3.9 percent) in withholding, \$623 million (5.7 percent) in estimated payments related to Tax Year 2020, \$160 million (4.8 percent) in final returns, and \$97 million (6.4 percent) in delinquencies, partially offset by a decline of \$426 million (7.1 percent) in extension payments related to Tax Year 2019. Total refunds are projected to decline \$1.1 billion (8.9 percent), due to declines of nearly \$1.2 billion (60.5 percent) in advanced credit payments and \$500 million (22.2 percent) in the administrative January-March refund cap, partially offset by increases of \$125 million (9.6 percent) in the state-city offset, \$393 million (6.1 percent) in prior Tax Year (2019) refunds, and \$30 million (4.6 percent) in previous Tax Year (2018 and earlier) refunds. General Fund PIT receipts for FY 2021 of \$25.6 billion are projected to increase by \$1.7 billion (7.2 percent), mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$27.7 billion, and the STAR transfer is projected to be \$2.1 billion.

All Funds PIT receipts for FY 2022 of \$58 billion are projected to increase by \$2.7 billion (4.8 percent) from FY 2021 projections. Gross PIT receipts are projected to increase 5.9 percent, reflecting projected increases of \$2.2 billion (4.9 percent) in withholding and \$1.6 billion (9.2 percent) in total estimated payments, partially offset by a projected increase in total refunds of \$1.3 billion (11.3 percent).

General Fund PIT receipts for FY 2022 of \$27 billion are projected to increase by \$1.4 billion (5.6 percent). RBTF deposits are projected to be \$29 billion, and the STAR transfer is projected to be \$2 billion.

All Funds PIT receipts in FY 2023 are projected to increase by \$3.1 billion from FY 2022 projections to reach \$61.2 billion, while General Fund PIT receipts are projected to total \$28.7 billion in FY 2023.

Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	17,357	18,308	5.5%	19,014	3.9%	19,548	2.8%	20,123	2.9%
Sales Tax	15,128	16,158	6.8%	16,849	4.3%	17,419	3.4%	18,027	3.5%
Cigarette and Tobacco Taxes	1,108	1,041	-6.0%	981	-5.8%	936	-4.6%	895	-4.4%
Vapor Excise Tax	0	10	n/a	39	290.0%	39	0.0%	39	0.0%
Motor Fuel Tax	528	515	-2.5%	515	0.0%	515	0.0%	515	0.0%
Highway Use Tax	145	141	-2.8%	143	1.4%	145	1.4%	146	0.7%
Alcoholic Beverage Taxes	262	265	1.1%	269	1.5%	272	1.1%	275	1.1%
Opioid Excise Tax	0	66	n/a	100	51.5%	100	0.0%	100	0.0%
Medical Cannabis Excise Tax	4	4	0.0%	4	0.0%	4	0.0%	4	0.0%
Taxicab Surcharge ¹	52	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Auto Rental Tax ¹	130	108	-16.9%	114	5.6%	118	3.5%	122	3.4%
GENERAL FUND²	7,681	8,209	6.9%	8,558	4.3%	8,817	3.0%	9,095	3.2%
Sales Tax	7,091	7,568	6.7%	7,893	4.3%	8,160	3.4%	8,445	3.5%
Cigarette and Tobacco Taxes	328	310	-5.5%	296	-4.5%	285	-3.7%	275	-3.5%
Alcoholic Beverage Taxes	262	265	1.1%	269	1.5%	272	1.1%	275	1.1%
Opioid Excise Tax	0	66	n/a	100	51.5%	100	0.0%	100	0.0%

¹FY 2020 estimates and outyear projections no longer include MTA receipts as the Enacted Budget provided that they be remitted to the MTA without an appropriation beginning in FY 2020.
²Excludes Transfers.

All Funds consumption/use tax receipts for FY 2020 are estimated to total \$18.3 billion, a \$951 million (5.5 percent) increase from FY 2019 results. Sales tax receipts are estimated to increase by over \$1 billion (6.8 percent) from FY 2019 results, reflecting tax base growth of 3.4 percent and an additional \$346 million in projected revenue related to FY 2020 Enacted Budget legislation and guidance associated with the U.S. Supreme Court Wayfair ruling, implemented by DTF. Partially phased-in excise taxes on the first sale of opioids within the state and on vapor products are projected to generate \$66 million and \$10 million, respectively. Cigarette and tobacco tax collections are projected to decrease by \$67 million (6 percent), reflecting a continuing decline in taxable cigarette consumption. Highway Use Tax (HUT) collections are estimated to decrease by \$4 million (2.8 percent) reflecting a non-triennial year. Motor fuel tax receipts are estimated to decrease by \$13 million (2.5 percent) reflecting an estimated return to typical fuel consumption. Due to the shifting of certain MTA receipts directly to the MTA without appropriation, taxicab surcharge receipts are projected to decrease by \$52 million (100 percent), while auto rental tax receipts are projected to decrease by \$22 million (16.9 percent). The projected decline in auto rental tax receipts includes \$19.5 million in new revenue related to enacted legislation that expands the auto rental surcharge within the Metropolitan Commuter Transportation District (MCTD) to the entire state.

A portion of sales tax receipts is initially deposited to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under the LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of these funds and the local assistance payments to NYC, or its assignee, are subsequently transferred to the General Fund.

General Fund consumption/use tax receipts for FY 2020 are estimated to total \$8.2 billion, a \$528 million (6.9 percent) increase from FY 2019 results. This increase largely reflects the All Funds sales and use tax and cigarette tax trends noted above.

FY 2021 All Funds consumption/use tax receipts are projected to increase to \$19 billion, a \$706 million (3.9 percent) increase from FY 2020 estimates. Increases in sales tax receipts reflect base growth of 3.5 percent, and an additional \$494 million in projected revenue related to enacted legislation and guidance as previously discussed. When fully phased-in, the excise taxes on vapor products and opioids are projected to generate an additional \$29 million and \$34 million, respectively. These increases are partially offset by a continued decline in taxable cigarette consumption. FY 2021 General Fund consumption/use tax receipts are projected to increase to nearly \$8.6 billion, a \$349 million (4.3 percent) increase from FY 2020 estimates.

FY 2022 All Funds consumption/use tax receipts are projected to increase to over \$19.5 billion (2.8 percent growth), largely representing base growth in sales tax receipts, which is slightly offset by a continued decline in taxable cigarette consumption. General Fund consumption/use tax receipts are projected to increase to \$8.8 billion (3 percent growth) in FY 2022.

FY 2023 All Funds consumption/use tax receipts are projected to increase to \$20.1 billion (2.9 percent growth), largely representing base growth in sales tax receipts, slightly offset by a continued decline in taxable cigarette consumption. General Fund consumption/use tax receipts are projected to increase to \$9.1 billion (3.2 percent growth) in FY 2023.

Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	7,912	8,553	8.1%	8,934	4.5%	9,049	1.3%	9,417	4.1%
Corporate Franchise Tax	4,297	4,245	-1.2%	4,775	12.5%	4,833	1.2%	5,077	5.0%
Corporation and Utilities Tax	672	729	8.5%	706	-3.2%	713	1.0%	724	1.5%
Insurance Tax	1,837	2,251	22.5%	2,344	4.1%	2,413	2.9%	2,541	5.3%
Bank Tax	(60)	167	378.3%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	1,166	1,161	-0.4%	1,109	-4.5%	1,090	-1.7%	1,075	-1.4%
GENERAL FUND	5,501	6,077	10.5%	6,472	6.5%	6,550	1.2%	6,871	4.9%
Corporate Franchise Tax	3,410	3,363	-1.4%	3,843	14.3%	3,855	0.3%	4,053	5.1%
Corporation and Utilities Tax	495	557	12.5%	537	-3.6%	543	1.1%	552	1.7%
Insurance Tax	1,638	2,017	23.1%	2,092	3.7%	2,152	2.9%	2,266	5.3%
Bank Tax	(42)	140	433.3%	0	-100.0%	0	0.0%	0	0.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

FY 2020 All Funds business tax receipts are estimated to total nearly \$8.6 billion, an increase of \$641 million (8.1 percent) from FY 2019 results. The estimate reflects increases in insurance tax, bank tax and utilities tax receipts.

Corporation franchise tax receipts are estimated to decrease \$52 million (1.2 percent) from FY 2019 results, reflecting a large increase in refunds and lower growth in gross receipts. Several refunds that were initially expected to be paid in FY 2019 were instead paid in FY 2020. The significant growth in gross receipts in FY 2019 (29.3 percent) is not expected to recur in FY 2020. The 95 percent Global Intangible Low-Taxed Income (GILTI) exemption enacted at the end of the 2019 legislative session is estimated to decrease gross receipts by \$32 million (\$27 million decrease to the General Fund, \$5 million to MTA funds.) Audit receipts in FY 2019 were lower than recent history and are expected to increase to recent trend levels in FY 2020.

Corporation and utilities tax receipts for FY 2020 are estimated to increase by \$57 million (8.5 percent) from FY 2019 results. This is primarily due to increases in gross receipts and audits. Utility gross receipts are expected to grow at modest levels compared to the prior year. Mandatory 2019 liability first installment payments for telecommunications companies were less than expected in FY 2019 but are expected to be paid in FY 2020, resulting in estimated gross receipts growth year-over-year. Gross receipts from telecommunications companies for 2019 liability are expected to be flat over 2018 due to industry competitiveness and the movement of most communications to non-taxable internet-based solutions.

Insurance tax receipts for FY 2020 are estimated to increase \$414 million (22.5 percent) from FY 2019 results. The estimated increase is primarily due to conversion of a not-for-profit health insurer to a for-profit health insurer, and growing insurance tax premiums. FY 2019 Audit receipts were significantly lower than recent history but are expected to return to trend levels in FY 2020, while refunds are estimated to grow slightly over the previous fiscal year.

Receipts from the repealed bank tax (all from prior liability periods) in FY 2020 are estimated to increase by \$227 million from FY 2019 results, primarily due to a significant estimated decrease in refunds and a significant increase in audit receipts. Petroleum Business Tax (PBT) receipts are estimated to decrease \$5 million (0.4 percent) from FY 2019 results, due to a combination of lower fuel demand and a projected 5 percent decline to the PBT rates in calendar year 2020.

General Fund business tax receipts for FY 2020 of \$6.1 billion are estimated to increase by \$576 million (10.5 percent) from FY 2019 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2021 of \$8.9 billion are projected to increase by \$381 million (4.5 percent), and General Fund business tax receipts are projected to increase to \$6.5 billion (6.5 percent growth) from FY 2020 estimates. The increase primarily reflects growth in corporation franchise tax receipts driven by higher gross receipts and lower refunds. An increase in projected insurance tax receipts is partially offset by a decline in projected corporation and utilities tax, bank tax and PBT receipts.

All Funds business tax receipts for FY 2022 of over \$9 billion are projected to increase by \$115 million (1.3 percent), and General Fund business tax receipts are projected to increase to nearly \$6.6 billion (1.2 percent) from FY 2021 projections. The increase primarily reflects growth in insurance tax and corporation franchise tax receipts driven by higher gross receipts. A decline in PBT receipts is partially offset by a modest increase in corporation and utilities tax receipts.

All Funds business tax receipts for FY 2023 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2023, All Funds business tax receipts are projected to increase to \$9.4 billion (4.1 percent growth), and General Fund business tax receipts are projected to increase to \$6.9 billion (4.9 percent growth).

Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
STATE/ALL FUNDS	2,221	2,262	1.8%	2,362	4.4%	2,472	4.7%	2,581	4.4%
Estate Tax	1,068	1,094	2.4%	1,153	5.4%	1,214	5.3%	1,277	5.2%
Real Estate Transfer Tax	1,135	1,148	1.1%	1,183	3.0%	1,219	3.0%	1,263	3.6%
Employer Compensation Expense Program	0	2	n/a	8	300.0%	21	162.5%	23	9.5%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%
GENERAL FUND¹	1,086	1,113	2.5%	1,175	5.6%	1,242	5.7%	1,306	5.2%
Estate Tax	1,068	1,094	2.4%	1,153	5.4%	1,214	5.3%	1,277	5.2%
Employer Compensation Expense Program	0	1	n/a	4	300.0%	10	150.0%	11	10.0%
Pari-Mutuel Taxes	15	15	0.0%	15	0.0%	15	0.0%	15	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2020 are estimated to total nearly \$2.3 billion, an increase of \$41 million (1.8 percent) from FY 2019 results. This is primarily due to an estimated \$26 million (2.4 percent) increase in estate tax receipts resulting from relatively weak estimated growth in household net worth. Real estate transfer tax receipts are expected to increase by \$13 million (1.1 percent), consistent with estimated growth in housing starts and housing prices.

General Fund other tax receipts are estimated to be just over \$1.1 billion in FY 2020, an increase of \$27 million (2.5 percent) from FY 2019 results, reflecting the estimated increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 are projected to total nearly \$2.4 billion, a \$100 million (4.4 percent) increase from FY 2020 estimates. Estate tax receipts are projected to increase by \$59 million (5.4 percent) in FY 2021, reflecting projected growth in household net worth. The \$35 million (3 percent) projected increase in real estate transfer tax receipts in FY 2021 reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2021 are projected to be almost \$1.2 billion, an increase of \$62 million (5.6 percent) from FY 2020 estimates, resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 are projected to be nearly \$2.5 billion, a \$110 million (4.7 percent) increase from FY 2021 projections. Estate tax receipts are projected to increase by \$61 million (5.3 percent) in FY 2022, reflecting projected growth in household net worth. The \$36 million (3 percent) projected increase in real estate transfer tax receipts in FY 2022 reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2022 are projected to total \$1.2 billion, an increase of \$67 million (5.7 percent), resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts are projected to be nearly \$2.6 billion in FY 2023, an increase of \$109 million (4.4 percent) from FY 2022 projections, which reflects projected trend growth in household net worth, housing starts, and housing prices.

General Fund other tax receipts are projected to be \$1.3 billion in FY 2023, an increase of \$64 million (5.2 percent).

Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State compact revenue, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	31,184	29,793	-4.5%	26,161	-12.2%	25,371	-3.0%	24,533	-3.3%
General Fund	3,586	2,904	-19.0%	2,041	-29.7%	1,899	-7.0%	1,874	-1.3%
Special Revenue Funds	19,668	18,391	-6.5%	16,550	-10.0%	16,910	2.2%	16,400	-3.0%
Capital Projects Funds	7,497	8,124	8.4%	7,196	-11.4%	6,189	-14.0%	5,887	-4.9%
Debt Service Funds	433	374	-13.6%	374	0.0%	373	-0.3%	372	-0.3%

All Funds miscellaneous receipts are projected to total \$29.8 billion in FY 2020, a decrease of 4.5 percent from FY 2019 results. This decrease is primarily due to the loss of one-time Extraordinary Monetary Settlements in the General Fund, which totaled over \$1.1 billion in FY 2019, paired with declines in bond-financed capital spending on a year-over-year basis. Bond-financed capital expenses are paid from the General Fund (or STIP) in the first instance and subsequently reimbursed with PIT or Sales Tax Revenue Bond proceeds.

All Funds miscellaneous receipts are projected to decline annually after FY 2020, reflecting the impact of Extraordinary Monetary Settlements received and a decrease in bond proceed reimbursements in later years, which corresponds to prior-year capital expenses.

Federal Grants

FEDERAL GRANTS (millions of dollars)									
	FY 2019	FY 2020	FY 2021		FY 2022		FY 2023		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	61,344	62,316	1.6%	63,478	1.9%	67,819	6.8%	70,433	3.9%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	58,920	60,013	1.9%	61,218	2.0%	65,560	7.1%	68,177	4.0%
Capital Projects Funds	2,350	2,229	-5.1%	2,187	-1.9%	2,187	0.0%	2,187	0.0%
Debt Service Funds	74	74	0.0%	73	-1.4%	72	-1.4%	69	-4.2%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, School Aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the Trump Administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of future policies that may be proposed and adopted. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan.

Disbursements

In FY 2020, disbursements from the State's General Fund, including transfers, are expected to total \$77.8 billion, and disbursements from State Operating Funds are expected to total \$102.2 billion. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections consider various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$69.7 billion in FY 2020, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State’s major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2019	FY 2020	Forecast		
	Results ¹	Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
HEALTH CARE					
Medicaid - Individuals Covered	6,243,498	6,268,035	6,280,303	6,286,437	6,289,504
Essential Plan - Individuals Covered	773,584	778,944	784,341	789,775	795,247
Child Health Plus - Individuals Covered	396,351	411,651	419,391	423,423	425,175
State Takeover of County/NYC Costs ²	\$3,772	\$4,115	\$4,467	\$4,818	\$5,179
CY 2005 Local Medicaid Cap	\$2,855	\$3,015	\$3,184	\$3,353	\$3,531
FY 2013 Local Takeover Costs	\$917	\$1,100	\$1,283	\$1,465	\$1,648
EDUCATION					
School Aid (School Year Basis Funding)	\$26,843	\$27,856	\$28,957	\$30,159	\$31,382
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	558,135	557,950	557,525	557,220	557,100
Tuition Assistance Program (Recipients)	266,108	265,936	265,936	265,936	265,936
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	206,170	201,673	198,774	195,823	192,967
Safety Net Program (Families)	120,580	117,775	115,903	114,041	112,256
Safety Net Program (Singles)	211,438	212,716	215,224	217,332	219,622
MENTAL HYGIENE					
OMH Community Beds	44,819	47,040	48,321	49,038	50,069
OPWDD Community Beds	43,193	43,494	43,797	44,102	44,409
OASAS Community Beds	13,425	13,645	13,821	13,935	14,166
Total	101,437	104,179	105,939	107,075	108,644
PRISON POPULATION					
	47,400	47,400	47,400	47,400	47,400
¹ Reflects preliminary unaudited results.					
² Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.					

Education

School Aid

School Aid supports elementary and secondary education for New York pupils enrolled in the 673 major school districts. State aid is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

School Aid is expected to total \$27.9 billion in SY 2020, an annual increase of \$1.0 billion (3.8 percent), including a \$618 million Foundation Aid increase. A Community Schools set-aside of \$250 million within Foundation Aid (a \$50 million increase from the prior year) provides funds intended to facilitate the transformation of schools into community hubs. In addition, another \$345 million supports increased reimbursement in expense-based and categorical aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Financial Plan provides \$50 million for new competitive grant programs, including a \$15 million investment to expand prekindergarten programs for three- and four-year-old students, targeted to high-need school districts, and \$10 million to expand the Empire State After-School Program, helping to keep young people safe and engaged during after-school hours. The State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten (SUFPK) programs.

Since FY 2013, projections have assumed that year-over-year growth in School Aid disbursements would not exceed the annual percent growth in NYS personal income. However, from FY 2014 to FY 2019, the State annually authorized School Aid increases above the PIGI. The FY 2020 Enacted Budget amended the School Aid growth cap to equal the ten-year average of the State PIGI, beginning in FY 2021. The use of a School Aid growth cap based on a ten-year average is expected to reduce the volatility associated with a one-year average growth cap, limit the impact of the BEA’s frequent revisions to NYS personal income growth estimates, and better align Executive School Aid proposals with the State’s enacted School Aid increases.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	<u>SY 2019</u>	<u>SY 2020</u>	<u>Change</u>	<u>SY 2021</u>	<u>Change</u>	<u>SY 2022</u>	<u>Change</u>	<u>SY 2023</u>	<u>Change</u>
Total	26,843	27,856	1,013 3.8%	28,957	1,101 4.0%	30,159	1,202 4.2%	31,382	1,223 4.1%

State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming receipts and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1 and the school year begins on July 1, the State typically pays approximately 70 percent of the annual school year commitment during the initial State fiscal year and the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	26,403	27,412	3.8%	28,490	3.9%	29,664	4.1%	30,881	4.1%
General Fund Local Assistance	22,927	23,456	2.3%	24,802	5.7%	25,975	4.7%	27,198	4.7%
Medicaid	153	110	-28.1%	110	0.0%	110	0.0%	110	0.0%
Lottery Aid	2,294	2,709	18.1%	2,457	-9.3%	2,457	0.0%	2,457	0.0%
VLT Lottery Aid	907	975	7.5%	966	-0.9%	961	-0.5%	961	0.0%
Commercial Gaming	122	162	32.8%	155	-4.3%	161	3.9%	155	-3.7%

State fiscal year spending for School Aid is projected to total \$27.4 billion in FY 2020, a 3.8 percent increase over FY 2019. Over the multi-year Financial Plan, the share of School Aid spending projected to be financed by the General Fund is expected to increase as lottery, video lottery and commercial gaming revenues are expected to remain largely flat beginning in FY 2021. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid are supplemented by commercial gaming revenues shared with the State by commercial gaming facilities. These receipts are expected to decline slightly by \$7 million in FY 2021, increase by \$6 million in FY 2022, and decline by \$6 million in FY 2023. Pursuant to State Gaming Commission approval, four casinos were awarded licenses and are now operational. In April 2019, the Monticello Casino and Raceway ceased its VLT operations. The Financial Plan assumes a significant amount of gaming activity previously at Monticello will shift to nearby Resorts World Catskills Casino. This closure and anticipated shift in gaming activity are expected to have a limited net impact on the State's projected combined VLT and casino revenue resources in FY 2020 and thereafter.

If casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.

Other Education Funding

The State also provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION FUNDING (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	2,143	2,369	10.5%	2,354	-0.6%	2,422	2.9%	2,518	4.0%
Special Education	1,291	1,332	3.2%	1,362	2.3%	1,428	4.8%	1,495	4.7%
All Other Education	852	1,037	21.7%	992	-4.3%	994	0.2%	1,023	2.9%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in Special Education spending in FY 2020 and thereafter is primarily attributable to increased State reimbursement to special education providers for minimum wage costs and projected enrollment and cost growth in preschool and summer school special education programs.

The projected increase in All Other Education spending in FY 2020 is primarily attributable to the timing of FY 2019 payments for various programs such as nonpublic school payments, increased support for nonpublic and charter school programs, and one-time savings from FY 2019 underspending across multiple programs. The decrease in FY 2021 primarily reflects the addition of one-time aid and grants in FY 2020. The projected increase in FY 2023 is largely due to continued growth in charter school supplemental tuition, facilities aid payments for charter schools in NYC, and payments to nonpublic schools.

STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens will receive a \$68,700 exemption in FY 2020. The DTF oversees local property assessment administration and is responsible for establishing STAR property tax exemption amounts.

The three program components are: the basic school property tax exemption for homeowners with incomes under \$250,000 or a PIT credit for homeowners with incomes under \$500,000 (applies to homeowners who have moved or purchased a home after the 2015-2016 school year levy date, were transitioned to the property tax exemption due to an income above \$250,000, or voluntarily opted to receive the credit); the enhanced school property tax exemption or PIT credit for senior citizen homeowners with incomes under \$86,300; and a credit for income-eligible resident NYC personal income taxpayers.

The NYC PIT rate reduction was converted into a PIT tax credit starting with the 2017 Tax Year. As of FY 2019, NYC STAR payments are no longer a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

Spending on STAR property tax exemptions reflects reimbursements made to school districts to offset the reduction in the amount of property tax revenue collected from homeowners. Since FY 2017, the STAR exemption program has been gradually transitioned from a spending program to an advance refundable PIT credit program. As a result, first-time homebuyers and homeowners who move receive a refundable PIT credit in lieu of a property tax exemption. This change initially had no impact on the value of the STAR benefit received by homeowners. Going forward, exemption homeowners will not see an increase in their STAR benefit (details below).

State Financial Plan Multi-Year Projections



The FY 2020 Enacted Budget encourages further transition to the advance credit by restricting the exemption program to homeowners with incomes below \$250,000 and holding a homeowner's Basic and Enhanced exemption benefit to a maximum of their FY 2019 levels. In subsequent years, the maximum benefit will be based on the prior year's STAR benefit. These changes are not applicable to STAR credit benefits. The value of the STAR benefit for homeowners enrolled in the exemption program will not be impacted by these actions if they transition to the credit program. The majority of the spending decline projected in FYs 2020 through 2023 can be attributed to these actions. By shifting taxpayers to the credit program, the State is able to more efficiently administer the program while strengthening its ability to prevent abuse.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	2,423	2,176	-10.2%	2,073	-4.7%	1,979	-4.5%	1,858	-6.1%
Gross Program Costs	3,361	3,416	1.6%	3,489	2.1%	3,569	2.3%	3,627	1.6%
Personal Income Tax Credit	(938)	(1,240)	-32.2%	(1,416)	-14.2%	(1,590)	-12.3%	(1,769)	-11.3%
Basic Exemption	<u>1,525</u>	<u>1,366</u>	-10.4%	<u>1,306</u>	-4.4%	<u>1,246</u>	-4.6%	<u>1,170</u>	-6.1%
Gross Program Costs	1,785	1,858	4.1%	1,901	2.3%	1,942	2.2%	1,972	1.5%
Personal Income Tax Credit	(260)	(492)	-89.2%	(595)	-20.9%	(696)	-17.0%	(802)	-15.2%
Enhanced (Senior) Exemption	<u>898</u>	<u>810</u>	-9.8%	<u>767</u>	-5.3%	<u>733</u>	-4.4%	<u>688</u>	-6.1%
Gross Program Costs	950	918	-3.4%	932	1.5%	954	2.4%	965	1.2%
Personal Income Tax Credit	(52)	(108)	-107.7%	(165)	-52.8%	(221)	-33.9%	(277)	-25.3%
New York City PIT	<u>0</u>	<u>0</u>	0.0%	<u>0</u>	0.0%	<u>0</u>	0.0%	<u>0</u>	0.0%
Gross Program Costs	626	640	2.2%	656	2.5%	673	2.6%	689	2.4%
Personal Income Tax Credit	(626)	(640)	-2.2%	(656)	-2.5%	(673)	-2.6%	(689)	-2.4%

Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and HESC.

HIGHER EDUCATION (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	2,980	2,976	-0.1%	2,945	-1.0%	2,989	1.5%	3,024	1.2%
City University	1,508	1,537	1.9%	1,577	2.6%	1,611	2.2%	1,645	2.1%
Senior Colleges	1,249	1,287	3.0%	1,323	2.8%	1,357	2.6%	1,392	2.6%
Community College	259	250	-3.5%	254	1.6%	254	0.0%	253	-0.4%
Higher Education Services	984	956	-2.8%	881	-7.8%	891	1.1%	893	0.2%
Tuition Assistance Program	816	784	-3.9%	742	-5.4%	751	1.2%	753	0.3%
Scholarships/Awards	159	160	0.6%	127	-20.6%	128	0.8%	128	0.0%
Aid for Part-Time Study	9	12	33.3%	12	0.0%	12	0.0%	12	0.0%
State University	488	483	-1.0%	487	0.8%	487	0.0%	486	-0.2%
Community College	482	479	-0.6%	483	0.8%	483	0.0%	482	-0.2%
Other/Cornell	6	4	-33.3%	4	0.0%	4	0.0%	4	0.0%

SUNY and CUNY operate 47 four-year colleges and graduate schools with a total enrollment of more than 410,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving approximately 309,000 students. The State provides funding for a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the table above, the State provides SUNY more than \$1 billion annually via a General Fund transfer and another \$2 billion via direct payment of fringe benefits for SUNY employees. The State also pays \$1.2 billion in debt service for bond-financed capital projects at SUNY and CUNY.

HESC is New York State’s student financial aid agency. It oversees numerous State-funded financial aid programs including the Excelsior Scholarship, TAP, Aid for Part-Time Study program, and 26 other scholarship and loan forgiveness programs. State funded tuition assistance provides financial aid to approximately 400,000 students and will allow approximately 55 percent of full-time SUNY and CUNY in-state students to attend college tuition-free when it is fully phased in.

The Financial Plan includes funding for the DREAM Act which provides undocumented students access to the Excelsior Scholarship, TAP, as well as other state-administered scholarships. The Financial Plan also includes funding for the third and final phase of the Excelsior Scholarship, increasing the family income eligibility threshold to \$125,000, and provides new funding to implement a Family Empowerment Pilot Program at SUNY and CUNY community colleges. The Family Empowerment Pilot Program enables single parents to receive financial and academic supports, including on-campus childcare aligned with the nationally recognized Accelerated Study in Associate Program (ASAP).

Higher education spending is projected to decrease by \$4 million, or 0.1 percent, from FY 2019 to FY 2020, and by \$31 million, or 1 percent, from FY 2020 to FY 2021. This decrease reflects accounting changes for tuition assistance payments from HESC to State-operated SUNY campuses, whereby these payments are reflected as a HESC transfer instead of a disbursement. Projected spending growth in later years is largely due to employee fringe benefit growth for CUNY Senior Colleges.

Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. DOH works with local health departments and social services departments, including those located in NYC, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by MRT reforms. The DSRIP program promotes community-level collaborations and focuses on system reform, with the goal of achieving a 25 percent reduction in avoidable hospital use over five years. As of June 30, 2018, the DSRIP program has reduced preventable hospital admissions by 21 percent and preventable hospital readmissions by 17 percent, resulting in care improvements in other critical areas such as behavioral health. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities. The Financial Plan currently reflects nearly \$8 billion in Federal spending related to the DSRIP program through FY 2021. Pending Federal approval, the State may realize up to an additional \$8 billion in Federal funding through FY 2024. The extension seeks additional funds to aid financially distressed hospitals as they continue to make the transition to primary and ambulatory care, support workforce investments and support referral networks to address social determinants of health such as assistance with housing and food security which are critical to an individual's overall health.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending. The number of Medicaid recipients is expected to reach about 6.3 million by the end of FY 2020, a slight increase from FY 2019. This increase is partly driven by an increase in elderly enrollees in the Medicaid program, offset by slightly declining enrollment of non-elderly adults.

Financing of Medicaid Spending

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on financing sources for State Medicaid spending.

DEPARTMENT OF HEALTH MEDICAID (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
STATE OPERATING FUNDS	23,380	26,615	13.8%	29,368	10.3%	31,117	6.0%	32,553	4.6%
Department of Health Medicaid	20,370	23,830	17.0%	26,137	9.7%	27,958	7.0%	29,193	4.4%
General Fund - DOH Medicaid Local	14,340	17,546	22.4%	20,100	14.6%	21,925	9.1%	23,073	5.2%
DOH Medicaid	11,511	13,274	15.3%	15,498	16.8%	16,619	7.2%	17,322	4.2%
Non-DOH Medicaid ¹	1,653	2,034	23.0%	1,923	-5.5%	2,201	14.5%	2,201	0.0%
Minimum Wage	703	1,453	106.7%	1,767	21.6%	2,011	13.8%	2,273	13.0%
Local Takeover Cost ²	917	1,100	20.0%	1,283	16.6%	1,465	14.2%	1,648	12.5%
MSA Payments (Share of Local Growth) ³	(444)	(315)	29.1%	(371)	-17.8%	(371)	0.0%	(371)	0.0%
General Fund - DOH Medicaid State Ops	261	244	-6.5%	237	-2.9%	244	3.0%	242	-0.8%
General Fund - Essential Plan	77	80	3.9%	78	-2.5%	76	-2.6%	74	-2.6%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	77	80	3.9%	78	-2.5%	76	-2.6%	74	-2.6%
Other State Funds - DOH Medicaid Local	5,692	5,960	4.7%	5,722	-4.0%	5,713	-0.2%	5,804	1.6%
HCRA Financing	4,029	4,137	2.7%	3,894	-5.9%	3,858	-0.9%	3,922	1.7%
Indigent Care Support	777	892	14.8%	892	0.0%	892	0.0%	892	0.0%
Provider Assessment Revenue	886	929	4.9%	934	0.5%	961	2.9%	988	2.8%
Medical Indemnity Fund	0	2	0.0%	2	0.0%	2	0.0%	2	0.0%
Other State Agency Medicaid Spending	3,010	2,785	-7.5%	3,231	16.0%	3,159	-2.2%	3,360	6.4%
USE OF MSA PAYMENTS (Share of Local Growth)³	444	315	-29.1%	371	17.8%	371	0.0%	371	0.0%
LOCAL SHARE OF MEDICAID⁴	8,516	7,328	-14.0%	7,036	-4.0%	7,204	2.4%	7,212	0.1%
FEDERAL SHARE OF MEDICAID	44,190	44,735	1.2%	46,368	3.7%	50,569	9.1%	53,042	4.9%
DOH Medicaid	40,183	40,710	1.3%	42,162	3.6%	46,354	9.9%	48,818	5.3%
Essential Plan	4,007	4,025	0.4%	4,206	4.5%	4,215	0.2%	4,224	0.2%
ALL FUNDING SOURCES	76,530	78,993	3.2%	83,143	5.3%	89,261	7.4%	93,178	4.4%

¹ The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.

² Beginning in FY 2013, the State began phasing (3-2-1-0) in takeover of local government share of growth. As of County Year (CY) 2015 the State pays the full share of Medicaid program growth on behalf of local governments.

³ MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State's share of local Medicaid growth.

⁴ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals. Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

State Financial Plan Multi-Year Projections



State share Medicaid spending also appears in the Financial Plan estimates for other State agencies and programs, including the mental hygiene agencies, child welfare programs, education aid and corrections as shown below.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS¹ (millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Updated	Projected	Projected	Projected
Department of Health Medicaid	<u>20,293</u>	<u>23,750</u>	<u>26,059</u>	<u>27,882</u>	<u>29,119</u>
Local Assistance	20,476	23,821	26,193	28,009	29,248
State Operations	261	244	237	244	242
MSA Payments (Share of Local Growth) ²	(444)	(315)	(371)	(371)	(371)
Other State Agency Medicaid Spending	<u>3,010</u>	<u>2,785</u>	<u>3,231</u>	<u>3,159</u>	<u>3,360</u>
Mental Hygiene	2,785	2,592	3,027	2,953	3,154
Foster Care	72	81	92	96	96
Education	153	110	110	110	110
Corrections	0	2	2	0	0
Total State Share Medicaid (All Agencies)	23,303	26,535	29,290	31,041	32,479
Annual \$ Change		3,232	2,755	1,751	1,438
Annual % Change		13.9%	10.4%	6.0%	4.6%
Essential Plan³	77	80	78	76	74
Local Assistance	0	0	0	0	0
State Operations	77	80	78	76	74

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and a share of minimum wage increases.

² MSA payments will be deposited directly to a Medicaid Escrow Fund to cover a portion of the State share for Medicaid.

³ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

Global Cap

The statutory provisions of the Medicaid spending cap (“Global Cap”) limits the year-to-year growth of a portion of DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI and allows for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster, and grant the Commissioner of Health certain powers to limit Medicaid disbursements to the level authorized by the Global Cap. The Commissioner’s powers are intended to limit the rate of annual spending growth to the levels set by the Global Cap indexed rate for the current fiscal year.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending budgeted and expended principally through DOH. However, the Global Cap does not include the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration costs, increased FFP pursuant to the ACA (effective in January 2014), and the cost of minimum wage increases for health care providers.

Absent savings measures, DOB estimates that State-share Medicaid spending subject to the Global Cap would exceed the indexed growth amount. For information on the current Global Cap imbalance see “Other Matters Affecting the Financial Plan – Medicaid Global Cap”. The following table summarizes the allowable Medicaid Global Cap spending and growth rates before the estimated Global Cap imbalance or the FY 2020 savings plan intended to ensure, to the extent practicable, the Global Cap is not exceeded.

MEDICAID GLOBAL CAP FORECAST					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Global Medicaid Cap¹	18,865	19,433	20,006	20,594	21,200
Annual \$ Change		568	573	588	606
Annual % Change		3.0%	3.0%	2.9%	2.9%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI. Current Global Cap imbalance is not reflected.

Minimum Wage

Medicaid spending includes the cost of increases in the minimum wage for employees in the health care sector. These costs are not subject to the Global Cap indexed spending limit. The State costs of the minimum wage increases in the health care sector totaled \$703 million in FY 2019, and are projected at \$1.5 billion in FY 2020, \$1.8 billion in FY 2021, \$2.0 billion in FY 2022, and \$2.3 billion in FY 2023.

Per statute, home health care workers in NYC and certain counties receive supplemental benefits in addition to their base wage. These benefits include paid leave, differentials, premiums for certain shifts, education and fringe benefits. The supplemental benefits typically can be satisfied by increasing the base cash wage by a corresponding amount. As a result, wages for home health care workers in these regions exceed minimum wage levels by \$4.09 for NYC and \$3.22 for Westchester, Nassau, and Suffolk counties. However, statute exempts the supplemental wages portion of total compensation from the minimum wage calculation to ensure home health care workers in these counties receive incremental growth in wage compensation commensurate with the new minimum wage schedule.

Local Medicaid Cap

The Local Medicaid Cap was designed to relieve pressure on county property taxes and the NYC budget by capping local costs and having the State absorb all local program growth above a fixed statutory inflation rate. Beginning in January 2006, counties' Medicaid cost contributions were capped based on 2005 expenditures that were indexed at a growth rate of 3.5 percent in 2006, 3.25 percent in 2007, and 3 percent per year thereafter. In FY 2013, the State committed to phasing out all growth in the local share of Medicaid costs over a three-year period. The takeover of local Medicaid costs by the State saves local districts nearly \$4.1 billion in FY 2020 including approximately \$2.1 billion for counties outside NYC and \$2.0 billion for NYC.



State Financial Plan Multi-Year Projections

LOCAL GOVERNMENT SAVINGS STATE TAKEOVER OF LOCAL MEDICAID COSTS (2005 CAP AND GROWTH TAKEOVER) FY 2019 to FY 2023 (in dollars)					
County	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Albany	39,548,120	42,689,168	45,924,447	49,145,707	52,460,384
Allegany	6,277,130	6,772,552	7,282,837	7,790,910	8,313,717
Broome	42,565,827	45,031,526	47,571,195	50,099,859	52,701,854
Cattaraugus	14,185,669	15,132,371	16,107,474	17,078,352	18,077,385
Cayuga	14,678,793	15,561,190	16,470,059	17,374,989	18,306,163
Chautauqua	28,704,716	30,536,154	32,422,534	34,300,740	36,233,414
Chemung	15,404,408	16,488,992	17,606,113	18,718,393	19,862,930
Chenango	8,096,080	8,645,524	9,211,451	9,774,926	10,354,742
Clinton	12,218,371	13,123,058	14,054,886	14,982,677	15,937,373
Columbia	12,132,997	12,839,564	13,567,329	14,291,940	15,037,564
Cortland	8,247,736	8,805,834	9,380,674	9,953,023	10,541,971
Delaware	8,378,337	8,898,054	9,433,363	9,966,352	10,514,798
Dutchess	53,497,242	56,414,674	59,419,628	62,411,561	65,490,261
Erie	166,050,848	177,505,131	189,303,042	201,049,829	213,137,272
Essex	5,258,899	5,624,785	6,001,647	6,376,876	6,762,988
Franklin	8,036,911	8,587,732	9,155,077	9,719,964	10,301,233
Fulton	9,949,620	10,673,940	11,419,990	12,162,806	12,927,165
Genesee	8,474,616	9,025,263	9,592,429	10,157,138	10,738,223
Greene	8,985,845	9,557,304	10,145,907	10,731,959	11,335,007
Hamilton	647,678	687,021	727,545	767,892	809,410
Herkimer	11,486,629	12,250,594	13,037,477	13,820,950	14,627,145
Jefferson	17,154,322	18,285,842	19,451,308	20,611,724	21,805,792
Lewis	3,968,424	4,243,589	4,527,009	4,809,201	5,099,576
Livingston	8,989,187	9,545,038	10,117,564	10,687,610	11,274,187
Madison	9,968,264	10,611,590	11,274,217	11,933,972	12,612,860
Monroe	152,181,600	162,292,163	172,706,043	183,074,797	193,744,244
Montgomery	12,537,694	13,283,037	14,050,740	14,815,117	15,601,660
Nassau	222,591,440	236,493,602	250,812,829	265,070,006	279,740,641
Niagara	36,982,139	39,497,776	42,088,881	44,668,758	47,323,452
Oneida	46,957,380	50,086,271	53,309,028	56,517,821	59,819,668
Onondaga	94,951,763	100,968,739	107,166,225	113,336,855	119,686,433
Ontario	15,319,097	16,280,759	17,271,271	18,257,491	19,272,311
Orange	85,598,504	90,379,187	95,303,291	100,206,057	105,251,004
Orleans	7,594,776	8,078,898	8,577,544	9,074,029	9,584,912
Oswego	24,030,993	25,520,345	27,054,376	28,581,761	30,153,439
Otsego	7,973,046	8,536,571	9,117,002	9,694,918	10,289,593
Putnam	10,785,855	11,406,609	12,045,986	12,682,592	13,337,660
Rensselaer	22,813,236	24,542,662	26,323,971	28,097,561	29,922,585
Rockland	79,384,633	83,821,671	88,391,821	92,942,167	97,624,473
St. Lawrence	16,956,868	18,202,037	19,484,562	20,761,529	22,075,528
Saratoga	25,409,699	26,933,877	28,503,780	30,066,880	31,675,310
Schenectady	35,341,258	37,450,843	39,623,716	41,787,173	44,013,370
Schoharie	4,843,628	5,166,051	5,498,147	5,828,803	6,169,049
Schuyler	2,832,837	3,033,781	3,240,753	3,446,828	3,658,879
Seneca	5,276,713	5,619,596	5,972,765	6,324,404	6,686,240
Steuben	16,174,003	17,261,543	18,381,710	19,497,022	20,644,679
Suffolk	268,565,163	284,306,151	300,519,369	316,662,330	333,273,436
Sullivan	20,806,498	22,057,621	23,346,278	24,629,350	25,949,631
Tioga	5,877,229	6,304,446	6,744,480	7,182,606	7,633,439
Tompkins	10,423,041	11,104,669	11,806,747	12,505,782	13,225,089
Ulster	39,345,227	41,646,568	44,016,950	46,377,060	48,805,613
Warren	9,282,954	9,939,189	10,615,110	11,288,103	11,980,612
Washington	11,253,992	11,939,872	12,646,329	13,349,724	14,073,518
Wayne	17,868,781	18,840,889	19,842,160	20,839,092	21,864,935
Westchester	164,246,675	175,865,126	187,832,130	199,747,277	212,007,964
Wyoming	5,204,438	5,528,109	5,861,491	6,193,427	6,534,990
Yates	3,494,996	3,731,585	3,975,272	4,217,903	4,467,571
Rest of State	2,005,812,827	2,133,656,735	2,265,335,960	2,396,444,576	2,531,355,341
New York City	1,766,806,519	1,981,151,384	2,201,926,595	2,421,745,114	2,647,938,370
Statewide	3,772,619,345	4,114,808,119	4,467,262,556	4,818,189,690	5,179,293,711

Master Settlement Agreement

In FY 2018, bonds secured by annual payments from tobacco manufacturers under the MSA were retired, with no remaining debt service requirements to be paid on these bonds. DOB expects payments under the MSA of approximately \$315 million in FY 2020 and additional payments to be available in subsequent years. Existing statutes direct these payments be used to help defray costs of the State’s takeover of Medicaid costs for counties and NYC. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, is expected to cost the State \$917 million in FY 2019 and \$1.1 billion in FY 2020. Consistent with State law, DOB expects MSA payments to be deposited directly to a Medicaid Payment Escrow Fund to offset the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The deposit mechanism has no impact on overall Medicaid spending funded with State resources but does reduce reported State-supported Medicaid spending accounted for in State Operating Funds. The Financial Plan assumes that the MSA payments will lower annual General Fund Medicaid disbursements. The table below displays the adjusted funding shares.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Updated	Projected	Projected	Projected
State Share Support	<u>23,824</u>	<u>26,930</u>	<u>29,739</u>	<u>31,488</u>	<u>32,924</u>
State Funds Medicaid Disbursements	23,380	26,615	29,368	31,117	32,553
MSA Payments (Local Growth)	444	315	371	371	371

Health Care Transformation Fund (HCTF)

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell substantially all its assets to Centene Corporation (under Sections 510 and 511-a of the Not-for-Profit Corporation Law “N-PCL”), a for-profit health insurer based in St. Louis, Missouri, in order to enter New York’s health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by DOH, DFS and the Office of the Attorney General (OAG). The transaction included an agreement that the companies would contribute an estimated \$2 billion over five years.

Direct payments are expected to offset State costs for health care transformation activities, including enhancing access to affordable quality health care and health care related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet health care related needs including, but not limited to, those associated with the social determinants of health.

Following the completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF²² in July 2018. The HCTF does not include increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

HEALTH CARE TRANSFORMATION FUND					
PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Updated	Projected	Projected	Projected
Opening Balance	0	525	314	0	0
Receipts	<u>1,080</u>	<u>468</u>	<u>118</u>	<u>118</u>	<u>68</u>
Fidelis Payment	1,000	400	50	50	0
Centene Payment	68	68	68	68	68
STIP Interest	12	0	0	0	0
Planned Uses	<u>(555)</u>	<u>(679)</u>	<u>(432)</u>	<u>(118)</u>	<u>(68)</u>
Housing Rental Subsidies	(250)	(441)	(296)	(118)	(68)
State-Only Medicaid Payments	(150)	(148)	(136)	0	0
Capital Projects	(155)	(90)	0	0	0
Closing Balance	525	314	0	0	0

DOB expects to transfer HCTF funds to the General Fund to offset State costs for eligible health care transformation activities, including capital investments, debt restructuring activities, housing and other social purposes.

²² The HCTF was created pursuant to Part FFF of Chapter 59 of the Laws of 2018 to account for receipts such as those associated with the Fidelis-Centene sale. Monies in HCTF shall be available for transfer to any other fund of the State, as directed by the Director of the Budget, to support health care delivery.

Essential Plan

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments. The Exchange – NYSOH – serves as a centralized marketplace to shop for, compare, and enroll in a health plan. More than 770,000 New Yorkers have enrolled since the EP launched in January 2016.

ESSENTIAL PLAN (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL ALL FUNDS SPENDING	4,084	4,105	0.5%	4,284	4.4%	4,291	0.2%	4,298	0.2%
State Operating Funds	77	80	3.9%	78	-2.5%	76	-2.6%	74	-2.6%
Local Assistance	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
State Operations	77	80	3.9%	78	-2.5%	76	-2.6%	74	-2.6%
Federal Operating Funds	4,007	4,025	0.4%	4,206	4.5%	4,215	0.2%	4,224	0.2%

The multi-year Financial Plan reflects a mix of factors, including stabilizing enrollment trends and growth in the Federal marketplace premium index for base program expenses. This change in the premium index generates a higher Federal reimbursement rate, eliminating EP program costs for the State and allowing for the local assistance program to be fully federally financed. State savings associated with the EP local assistance program are managed within the total available resources of the Global Cap.

In FY 2018, the Trump Administration took executive action to withhold CSR payments, threatening low-cost health insurance coverage for income-eligible recipients when purchasing a QHP or EP coverage through the NYSOH, New York’s official health plan marketplace. The Federal withholding of CSR payments amounts to 25 percent of the Federal funding for the EP. However, recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology. Additionally, the Trump Administration has proposed to alter the Federal reimbursement formula which would decrease the amount of Federal funding for the EP included in the Financial Plan.

Public Health/Aging Programs

Public Health includes the CHP program that finances health insurance coverage for children of low-income families, up to the age of 19; General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and Early Intervention (EI) program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	1,712	1,728	0.9%	1,930	11.7%	2,051	6.3%	2,073	1.1%
Public Health	1,582	1,583	0.1%	1,785	12.8%	1,901	6.5%	1,917	0.8%
Child Health Plus	399	425	6.5%	634	49.2%	745	17.5%	762	2.3%
General Public Health Work	155	179	15.5%	163	-8.9%	167	2.5%	167	0.0%
EPIC	123	117	-4.9%	118	0.9%	118	0.0%	118	0.0%
Early Intervention	173	173	0.0%	165	-4.6%	165	0.0%	165	0.0%
HCRA Program	365	370	1.4%	400	8.1%	400	0.0%	400	0.0%
All Other	367	319	-13.1%	305	-4.4%	306	0.3%	305	-0.3%
Aging	130	145	11.5%	145	0.0%	150	3.4%	156	4.0%

The Public Health budget maintains average annual growth over the multi-year Financial Plan of 5.0 percent and reflects increased support to local governments for services administered on behalf of the State, partly offset by program restructuring and administrative efficiencies. Increased CHP spending reflects enrollment that continues to increase at a strong pace. Additionally, a portion of the increase in FY 2020 reflects the October 2019 phase-down of enhanced Federal support currently provided through the ACA. Growth in FY 2021 reflects the full annual impact of the expiration of enhanced Federal support and increased enrollment.

In addition to on-going program support, the Financial Plan includes \$6.8 million to help reduce the risk of child exposure to lead paint by lowering the acceptable blood lead level from 15 micrograms per deciliter to 5 micrograms per deciliter, and support increased local enforcement and prevention costs through the GPHW program. Additionally, the Budget supports a 5 percent rate increase for EI services provided by licensed physical therapists, occupational therapists, and speech-language pathologists to improve access to care and expand service delivery for infants and toddlers with disabilities and their families. To offset the aforementioned statewide program costs and new investments, the Financial Plan modifies reimbursement of certain public health funding for NYC. The rate realignment of the NYC GPHW program will generate savings of \$27 million in FY 2020 and \$54 million annually thereafter. Additional Public Health savings of \$16 million will be generated by shifting the Traumatic Brain Injury Program, Off-Track Betting retiree's health insurance, and Nursing Home Transition and Diversion waiver under the Global Cap.

The Financial Plan includes SOFA savings realized by eliminating the planned 2.9 percent FY 2020 increase in the human services COLA, resulting in \$5 million in annual savings for FY 2020 through FY 2023. These savings are offset by a \$15 million investment in the Expanded In-Home Services for the Elderly Program (EISEP) program to address locally-identified capacity needs in SOFA support services to maintain the elderly in their communities, support family and friends in their caregiving roles, and delay future Medicaid costs by intervening earlier with less intensive services.

HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, such as CHP, and additional funding for the health care industry, including investments in worker recruitment and retention, and the Doctors Across New York program. HCRA is authorized through FY 2020.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments to hospitals serving a disproportionate share of individuals without health insurance.

HCRA FINANCIAL PLAN					
(millions of dollars)					
	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
OPENING BALANCE	15	0	0	0	0
TOTAL RECEIPTS	5,960	6,258	6,226	6,282	6,344
Surcharges	3,624	3,819	3,823	3,896	3,972
Covered Lives Assessment	1,018	1,117	1,110	1,110	1,110
Cigarette Tax Revenue	780	731	685	651	620
Hospital Assessments	438	485	471	487	502
Excise Tax on Vapor Products	0	10	39	39	39
NYC Cigarette Tax Transfer	28	32	32	32	32
EPIC Receipts/ICR Audit Fees	72	64	66	67	69
TOTAL DISBURSEMENTS AND TRANSFERS	5,975	6,258	6,226	6,282	6,344
Medicaid Assistance Account	<u>3,985</u>	<u>4,137</u>	<u>3,894</u>	<u>3,858</u>	<u>3,922</u>
Medicaid Costs	3,788	3,940	3,697	3,661	3,725
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	777	892	892	892	892
HCRA Program Account	379	378	409	409	409
Child Health Plus	409	435	649	760	777
Elderly Pharmaceutical Insurance Coverage	137	128	130	129	129
Qualified Health Plan Administration ¹	44	52	49	48	47
SHIN-NY/APCD	40	40	40	40	40
All Other	204	196	163	146	128
ANNUAL OPERATING SURPLUS/(DEFICIT)	(15)	0	0	0	0
CLOSING BALANCE	0	0	0	0	0

¹ FY 2019 QHP spending of \$44 million was financed through the Medicaid Assistance Account.

Total HCRA receipts are expected to grow modestly over the multi-year period. Projected increases in surcharges and assessments in FY 2020 reflect updated assumptions based on actual growth to date; offsetting the increase are declining Cigarette Tax receipts from decreasing consumption.

In September 2019, New York became the first state in the nation to implement a ban on the sale of flavored vapor products. The State was in the process of expanding this ban to include menthol products, however, the NYS Appellate Division Court temporarily enjoined and prevented the State from enforcing such ban. Since these flavored products make up a large portion of the market, a ban would reduce the sale of these products and commensurately drive lower tax revenue beginning in December 2019, when the State's 20 percent excise tax on vapor products becomes effective. Pending the NYS Supreme Court's decision, the Financial Plan maintains an estimated \$10 million in FY 2020 receipts growing to \$39 million in the outyears.

Total HCRA disbursements are limited to available receipts. HCRA is expected to continue funding roughly \$4 billion of annual Medicaid spending, as well as a number of programs and initiatives including:

- Hospital Indigent Care, which assists providers in paying for uncompensated services provided (\$892 million);
- CHP program, which is expected to grow due to the expiration of the enhanced Federal resources provided through the ACA and strong enrollment growth (\$435 million);
- EPIC program, which assists income-eligible seniors with their out-of-pocket Medicare Part D drug plan costs (\$128 million); and,
- Statewide Health Information Network for New York (SHIN-NY)/All-Payer Claims Databases (APCD) infrastructure development initiative, which improves the informational and data capabilities associated with claiming records (\$40 million).

HCRA is expected to remain in balance over the projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

Mental Hygiene

Mental Hygiene comprises OPWDD, OMH, Office of Alcoholism and Substance Abuse Services (OASAS), Developmental Disabilities Planning Council (DDPC), and Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, issued to finance infrastructure improvements at State mental hygiene facilities. Remaining revenue supports State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,150	2,022	-6.0%	2,497	23.5%	2,450	-1.9%	2,705	10.4%
People with Developmental Disabilities	2,171	2,313	6.5%	2,570	11.1%	2,737	6.5%	2,905	6.1%
Residential Services	1,325	1,377	3.9%	1,494	8.5%	1,592	6.6%	1,691	6.2%
Day Programs	662	689	4.1%	746	8.3%	795	6.6%	844	6.2%
Clinic	16	16	0.0%	18	12.5%	19	5.6%	20	5.3%
All Other Services (Net of Offsets)	168	231	37.5%	312	35.1%	331	6.1%	350	5.7%
Mental Health	1,282	1,372	7.0%	1,464	6.7%	1,516	3.6%	1,583	4.4%
Adult Local Services	1,058	1,133	7.1%	1,211	6.9%	1,256	3.7%	1,313	4.5%
Children Local Services	224	239	6.7%	253	5.9%	260	2.8%	270	3.8%
Alcohol and Substance Abuse	349	370	6.0%	385	4.1%	397	3.1%	417	5.0%
Residential	103	110	6.8%	114	3.6%	119	4.4%	127	6.7%
Other Treatment	159	167	5.0%	175	4.8%	181	3.4%	190	5.0%
Prevention	53	56	5.7%	58	3.6%	59	1.7%	62	5.1%
Recovery	34	37	8.8%	38	2.7%	38	0.0%	38	0.0%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
SUBTOTAL BEFORE ADJUSTMENTS	3,803	4,056	6.7%	4,420	9.0%	4,651	5.2%	4,906	5.5%
Total DOH Medicaid Adjustments ¹	(1,653)	(2,034)	-23.0%	(1,923)	5.5%	(2,201)	-14.5%	(2,201)	0.0%
OPWDD Medicaid	(1,653)	(1,814)	-9.7%	(1,703)	6.1%	(1,981)	-16.3%	(1,981)	0.0%
OMH Medicaid	0	(220)	0.0%	(220)	0.0%	(220)	0.0%	(220)	0.0%

¹ Adjustments reflect OPWDD and OMH programmatic spending from resources available under the Medicaid Global Cap. There are no budgetary reductions or impacts to mental hygiene program spending.

Local assistance spending for mental hygiene is projected to grow by an average rate of 6.6 percent over the Financial Plan period. The main factors driving growth are: enhancements in community mental health services; enhancements in community-based employment and residential opportunities for individuals with disabilities; and new or increased funding for not-for-profit providers for growth in employee wages related to minimum wage increases.

Local assistance funding for the mental hygiene agencies is expected to increase by \$253 million, or 6.7 percent. Roughly \$63 million will be used to support the incremental pay standards and related fringe benefit increases associated with the transition to a \$15 per hour minimum wage. Other increases include investments to leverage up to \$120 million in additional OPWDD funding, which will allow for the development of new certified housing supports in the community, support more independent living, provide more day program and employment options, and increase respite availability. Additional OMH funding is included to support enhanced funding to existing residential programs.

Spending also reflects a 4 percent total increase over the next two years for direct care workers, and a 2 percent pay raise for clinical workers serving the mental hygiene community. Both are aimed at assisting not-for-profits in the recruitment and retention of employees. These investments, when fully annualized, will increase State share support for workers by \$107 million (\$188 million on an All Funds basis). Offsetting these cost increases is the deferral of the statutory COLA for mental hygiene agencies through FY 2021.

Increased funding for OASAS is expected to support prevention, treatment and recovery programs targeted toward chemical dependency, residential service opportunities, and public awareness activities.

Mental hygiene activities funded under the Medicaid Global Cap will increase by \$381 million in FY 2020. This has no impact on mental hygiene service delivery or operations.

Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	1,139	1,340	17.6%	1,351	0.8%	1,355	0.3%	1,468	8.3%
SSI	644	656	1.9%	667	1.7%	667	0.0%	667	0.0%
Public Assistance Benefits	381	535	40.4%	541	1.1%	541	0.0%	541	0.0%
Public Assistance Initiatives	13	30	130.8%	24	-20.0%	24	0.0%	24	0.0%
All Other	101	119	17.8%	119	0.0%	123	3.4%	236	91.9%

DOB’s caseload models project a total of 532,164 public assistance recipients in FY 2020. Approximately 201,673 families are expected to receive benefits through the Family Assistance program in FY 2020, a decrease of 2.2 percent from FY 2019. The Safety Net caseload for families is projected at 117,755 in FY 2020, a decrease of 2.3 percent from FY 2019. The caseload for single adults/childless couples supported through the Safety Net program is projected at 212,716 in FY 2020, an increase of 0.6 percent from FY 2019.

SSI spending is projected to increase slightly over the course of the multi-year Financial Plan as caseload is expected to level off. Public assistance benefits will increase due to a variety of factors, including the expansion of NYC HIV/AIDS Services Administration (HASA) benefits to public assistance recipients living in NYC, increased costs associated with an increase in Safety Net caseload for singles, and a one-time change in the timing of payments in FY 2019. Other spending growth includes increased spending on homeless services and prevention, and the Response to Human Trafficking program. The Enacted Budget Financial Plan restructured financing for the Family Assistance program, moving 10 percent of costs previously financed by Federal TANF to the City of New York to align with the funding structure for the Emergency Assistance for Families program. Spending increases by \$110 million in FY 2023 reflecting a transition from State settlement funds to the General Fund for services and operating funding for supportive housing constructed for vulnerable homeless populations provided by ESSHI and committed under the Governor’s Affordable Housing and Homelessness Plan. The increase incorporates costs for all ESSHI-participating agencies, and will be allocated to those agencies in a future update.

Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. It oversees the State’s system of family support and child welfare services administered by local social services districts and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,659	1,533	-7.6%	1,610	5.0%	1,661	3.2%	1,661	0.0%
Child Welfare Service	434	491	13.1%	501	2.0%	501	0.0%	501	0.0%
Foster Care Block Grant	384	384	0.0%	388	1.0%	398	2.6%	398	0.0%
Child Care	345	170	-50.7%	245	44.1%	264	7.8%	264	0.0%
Adoption	137	148	8.0%	149	0.7%	150	0.7%	150	0.0%
Youth Programs	114	105	-7.9%	92	-12.4%	92	0.0%	92	0.0%
Medicaid	72	81	12.5%	93	14.8%	97	4.3%	97	0.0%
Adult Protective/Domestic Violence	45	48	6.7%	51	6.3%	54	5.9%	54	0.0%
Committees on Special Education	29	24	-17.2%	26	8.3%	28	7.7%	29	3.6%
All Other	99	82	-17.2%	65	-20.7%	77	18.5%	76	-1.3%

FY 2020 OCFS State Operating Funds spending is projected to decrease from FY 2019 due to several factors including use of TANF resources to offset State child care and Advantage After-School costs, and the planned deferral of the Human Services COLA in FY 2020. Growth in the outyears is primarily attributable to a decrease in TANF dollars supporting child care, which is offset by increased General Fund support for the program.

Transportation

In FY 2020, the State expects to provide over \$5.8 billion in operating aid to mass transit systems, including over \$2.3 billion from the direct remittance of certain aid to the MTA without an appropriation (not included in the table below). This direct aid is funded mainly from various dedicated taxes and fees. The MTA, the nation’s largest transit and commuter rail system, receives the majority of the mass transit aid, totaling \$5.3 billion in FY 2020.

The MTA receives additional, exclusive operating support from PMT, authorized in May 2009 to collect regional taxes and fees imposed within the MCTD. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss. The MTA will receive nearly \$1.9 billion from on-budget and off-budget PMT resources in FY 2020.

TRANSPORTATION (millions of dollars)									
	FY 2019	FY 2020		FY 2021		FY 2022		FY 2023	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	3,938	3,550	-9.9%	3,885	9.4%	4,064	4.6%	4,310	6.1%
Mass Transit Operating Aid:	<u>2,321</u>	<u>2,513</u>	<u>8.3%</u>	<u>2,697</u>	<u>7.3%</u>	<u>2,740</u>	<u>1.6%</u>	<u>2,835</u>	<u>3.5%</u>
Metro Mass Transit Aid	2,185	2,357	7.9%	2,534	7.5%	2,577	1.7%	2,672	3.7%
Public Transit Aid	92	112	21.7%	119	6.3%	119	0.0%	119	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax	379	244	-35.6%	244	0.0%	244	0.0%	244	0.0%
MTA Aid Trust	293	32	-89.1%	0	-100.0%	0	0.0%	0	0.0%
NY Central Business District Trust	0	0	0.0%	150	0.0%	152	1.3%	153	0.7%
Dedicated Mass Transit	685	695	1.5%	728	4.7%	862	18.4%	1,012	17.4%
AMTAP	260	66	-74.6%	66	0.0%	66	0.0%	66	0.0%
All Other	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Financial Plan includes revised spending estimates for transit assistance in each year that reflect the most recent revenue forecast assumptions.

The FY 2020 Enacted Budget included legislation directing various supplemental fees and taxes levied on driver licenses, motor vehicle registrations, taxis, and passenger car rentals, to be remitted directly to the MTA without legislative appropriation. This eliminates the pass-through of these fees and taxes and will ensure more timely receipt by the MTA, consistent with treatment of PMT collections. Beginning in FY 2020, the Financial Plan will no longer include these new supplemental fees and taxes or associated local assistance payments. The MTA will receive nearly \$300 million from these resources in FY 2020.

The Budget also includes MTA reforms and new dedicated funding streams to the MTA consisting of:

- A Central Business District Tolling program, which imposes an additional toll on vehicles that travel into Manhattan south of and including 60th Street. This tolling program is protected to provide \$15 billion dedicated to MTA capital needs.
- An additional 0.25 percent real estate transfer tax imposed in NYC on commercial property conveyances \$2 million and above and residential property conveyances \$3 million and above, and a new progressive mansion tax on residential properties valued at \$2 million and above in NYC with a top rate of 2.9 percent on the sale of residential properties valued at \$25 million or above. These taxes will be used to support up to an estimated \$5 billion in financing for MTA projects.
- Sales tax revenue from requiring online marketplace providers to collect sales tax on all sales facilitated through their platforms in addition to the Tax Department's implementation and enforcement of regulations associated with the U.S. Supreme Court Wayfair ruling. The combined provisions are estimated to support up to \$5 billion in additional financing for the MTA.

Revenues from these dedicated sources will be deposited into an MTA capital lockbox dedicated solely to financing necessary investments in the MTA's 2020-24 capital program and any successor programs, including improvements to the subway system. These funds cannot be used for any non-capital expense.

Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2019	FY 2020	FY 2021		FY 2022		FY 2023		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	
TOTAL STATE OPERATING FUNDS	722	666	-7.8%	672	0.9%	704	4.8%	704	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	9	-86.8%	9	0.0%	9	0.0%	9	0.0%
Restructuring/Efficiency	7	10	42.9%	16	60.0%	48	200.0%	48	0.0%

The Enacted Budget replaces AIM for towns and villages, for which AIM was less than 2 percent of total expenditures, with additional local sales tax revenue in an equal amount, resulting in no loss of revenue to towns and villages. The additional local sales tax revenue is due to elimination of the Internet tax advantage and the elimination of the Energy Service Company (ESCO) exemption.

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to increase due to potential awards from the Financial Restructuring Board for Local Governments.

Agency Operations

Agency operating costs consist of PS, NPS, and General State Charges (GSCs). PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches as well as salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (e.g., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (e.g., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches. GSC's also include certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of DOT and DMV (adjusted for the reclassification discussed above) are included in Capital Projects Funds and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 93 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS

	FY 2019 Results	FY 2020 Updated	Forecast		
			FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Negotiated Base Salary Increases ¹					
NYSTPBA /NYSPIA	2%	2%	2%	2%	2%
NYSCOPBA	2%	2%	2%	2%	2%
GSEU	2%	2%	2%	2%	2%
UUP	2%	2%	2%	2%	TBD
CSEA/DC-37 (Rent Regulation Unit)/MC	2%	2%	2%	TBD	TBD
PEF	2%	TBD	TBD	TBD	TBD
Council 82/PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce ²	117,967	119,491	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ³	15.5%	15.1%	15.2%	15.9%	16.5%
After Amortization ⁴	19.2%	18.8%	18.7%	19.1%	19.1%
PFRS Contribution Rate					
Before Amortization ³	24.1%	24.0%	24.8%	25.6%	26.1%
After Amortization ⁴	26.9%	26.8%	27.7%	28.3%	28.4%
Employee/Retiree Health Insurance Growth Rates	5.8%	2.7%	8.0%	7.5%	7.5%
PS/Fringe as % of Receipts (All Funds Basis)	13.4%	13.6%	14.0%	13.9%	13.9%

¹ Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.

² Reflects workforce that is subject to direct Executive control.

³ Before amortization contribution rate reflects the State's normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.

⁴ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$19.1 billion in FY 2019 to \$20.7 billion in FY 2023. Most Executive agencies are expected to hold spending at FY 2019 levels, with some exceptions as described below. The increases in the outyears of the Financial Plan are driven mainly by juvenile justice reform, salary increases per labor agreements, growth in SUNY operating costs, including labor costs pursuant to the settled UUP contract, and an additional administrative payroll in FY 2021.

State Financial Plan Multi-Year Projections



STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS

(millions of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
SUBJECT TO DIRECT EXECUTIVE CONTROL¹	10,232	10,675	10,853	10,928	11,083
Mental Hygiene	2,795	2,844	2,885	2,935	2,982
Corrections and Community Supervision	2,599	2,860	2,756	2,798	2,875
State Police	717	790	810	807	827
Department of Health	728	771	766	766	763
Information Technology Services	545	540	550	557	568
Tax and Finance	312	305	356	345	344
Children and Family Services	261	262	381	384	384
Transportation	301	342	337	342	342
Environmental Conservation	211	216	227	222	222
All Other	1,763	1,745	1,785	1,772	1,776
UNIVERSITY SYSTEMS	6,097	6,332	6,586	6,675	6,880
State University	6,001	6,235	6,489	6,576	6,781
City University	96	97	97	99	99
INDEPENDENT AGENCIES	336	339	347	339	339
Law	187	182	187	182	182
Audit & Control (OSC)	149	157	160	157	157
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	16,665	17,346	17,786	17,942	18,302
Judiciary	2,169	2,176	2,223	2,166	2,166
Legislature	223	243	249	256	256
Statewide Total	19,057	19,765	20,258	20,364	20,724
Personal Service	13,687	14,292	14,701	14,718	15,007
Non-Personal Service	5,370	5,473	5,557	5,646	5,717

¹ FY 2020 estimates include \$185 million in retroactive salary payments for NYSCOPBA, PBA and NYSPIA labor agreements.

FY 2020 spending for agency operations includes 2 percent general salary increases associated with collective bargaining agreements with various unions. The cost of annual salary increases is expected to be absorbed by most agencies with management plan savings and efficiencies, consistent with the administration's policy to maintain flat Executive agency operations. Limited exceptions include:

- **Corrections and Community Supervision.** Higher spending in FY 2020 is attributable to the cost of a collective bargaining agreement reached in FY 2019, which includes the payment of three years of retroactive salary payments.
- **State Police.** Increased spending is due to the cost of collective bargaining agreements reached in FY 2019, which includes retroactive payments for FY 2019.
- **Mental Hygiene.** Increased spending includes the continued delivery in State-operated program settings and the cost of a collective bargaining agreement reached in FY 2019, which includes the payment of three years of retroactive salary payments.
- **DOH.** Growth is attributable to the cost increases of QHPs supported under the NYSOH program, offset by funding provided under Medicaid Global Cap local assistance. Additionally, growth in FY 2020 is attributable to the use of nonrecurring Federal credits applied against Medicaid NPS spending in FY 2019.
- **Transportation.** Increases reflect a larger amount of operating costs related to bus, truck and rail inspection, as well as snow and ice removal, which have been reclassified from DHBTf to the General Fund beginning in FY 2019.
- **Children and Family Services.** Higher spending in the outyears is mainly driven by additional funding to support raising the age of criminal responsibility from 16 to 18 by October 1, 2019. A modification to the youth facility billings process will partly offset the increase in FY 2020.

Workforce

In FY 2020, \$14.3 billion, or 13.8 percent, of the State Operating Funds budget is dedicated to supporting roughly 97,700 Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY and CUNY (47,215) and Independent Agencies (18,434); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of Executive agency spending related to the workforce occurs in the mental hygiene agencies and DOCCS.

STATE OPERATING FUNDS		
FY 2020 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY		
(millions of dollars)		
	Dollars	FTEs
SUBJECT TO DIRECT EXECUTIVE CONTROL	7,900	97,680
Mental Hygiene	2,366	32,533
Corrections and Community Supervision	2,358	26,858
State Police	730	5,666
Department of Health	286	4,068
Information Technology Services	300	3,461
Tax and Finance	262	4,085
Children and Family Services	172	2,360
Environmental Conservation	178	2,235
Transportation	162	2,591
Financial Services	154	1,391
All Other	932	12,432
UNIVERSITY SYSTEMS	4,239	47,215
State University	4,190	46,834
City University ²	49	381
INDEPENDENT AGENCIES	2,153	18,434
Law	129	1,533
Audit & Control (OSC)	126	1,524
Judiciary	1,710	15,374
Legislature ³	188	3
Statewide Total	14,292	163,329

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded primarily through an agency trust fund that supports an additional 13,349 FTEs, which are excluded from this table.

³ Legislative employees who are nonannual salaried are excluded from this table.

General State Charges

The State provides a variety of fringe benefits to current and former employees, including health insurance, pensions, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). GSCs also pays the Social Security payroll tax and certain statewide fixed costs, including taxes on State-owned lands, Payments in Lieu of Taxes (PILOT) and judgments and settlements awarded in the Court of Claims. Many of these payments are mandated by law or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from agency fringe benefit assessments.

GSC spending is projected to increase at an average annual rate of 6.7 percent over the multi-year Financial Plan period. The growth is primarily attributable to the Health Insurance, Social Security, and less Escrow receipts received. Growth is offset by reductions in other fringe benefits and fixed costs.

In FY 2020, growth in the health insurance program of \$115 million (2.7 percent) reflects medical inflation offset by savings from the new prescription drug contract and collectively negotiated benefit design changes. The projected increase in Social Security (5.9 percent) and employee benefit funds (6.3 percent) reflect the implementation of recent collective bargaining agreements that increase general salaries. Workers' compensation costs are projected to increase by \$76 million due to underlying growth in the average weekly wage used for benefit calculations and medical costs (\$27 million), and a reduction in available reserve funds to offset costs (\$49 million).

The estimated fringe benefit reimbursement (i.e., escrow receipt collections) from accounts outside of the General Fund has been reduced based upon activity to date, adding \$73 million in costs in the current year and \$60 million in future years.

Overall pension costs are projected to remain relatively stable due to expected investment returns and ongoing savings from Tier 5 and Tier 6 pension reforms. In FY 2020, these costs are offset by \$54 million in interest saving achieved by paying most of the State pension bill in May 2019, rather than on a monthly basis as previously assumed. The preliminary FY 2021 bill includes a reduction by OSC to the expected rate of return on pension assets from 7.0 to 6.8 percent, which was estimated to increase the State's contribution by roughly \$300 million. However, the higher cost is offset by the implementation of a new "mortality improvement" scale and other adjustments.

State Financial Plan Multi-Year Projections



Over the multi-year Financial Plan period, outyear pension costs reflect expected investment performance, projected salary base growth, and assumptions about future normal and administrative costs. Pension costs also reflect prepayment of prior-year amortization, costs for Chapter 41 of 2016 (veteran’s pension credit legislation), and other adjustments.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
TOTAL STATE OPERATING FUNDS	8,204	8,725	6.4%	9,354	7.2%	10,037	7.3%	10,633	5.9%
Fringe Benefits	7,799	8,298	6.4%	8,894	7.2%	9,571	7.6%	10,167	6.2%
Health Insurance	4,193	4,308	2.7%	4,651	8.0%	5,001	7.5%	5,378	7.5%
Pensions	2,432	2,448	0.7%	2,546	4.0%	2,830	11.2%	2,965	4.8%
Social Security	1,036	1,097	5.9%	1,129	2.9%	1,133	0.4%	1,151	1.6%
Workers' Compensation	464	540	16.4%	679	25.7%	736	8.4%	797	8.3%
Employee Benefits	96	102	6.3%	114	11.8%	117	2.6%	121	3.4%
Dental Insurance	59	61	3.4%	63	3.3%	65	3.2%	66	1.5%
Unemployment Insurance	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
All Other/Non-State Escrow	(493)	(270)	45.2%	(300)	-11.1%	(323)	-7.7%	(323)	0.0%
Fixed Costs	405	427	5.4%	460	7.7%	466	1.3%	466	0.0%
Public Land Taxes/PILOTS	271	269	-0.7%	288	7.1%	294	2.1%	294	0.0%
Litigation	134	158	17.9%	172	8.9%	172	0.0%	172	0.0%

Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Updated	Projected	Projected	Projected
TOTAL TRANSFERS TO OTHER FUNDS	4,558	5,936	6,547	6,680	6,861
State Share of Mental Hygiene Medicaid ¹	(29)	0	0	0	0
Debt Service	786	546	689	588	556
SUNY University Operations	1,020	1,185	1,259	1,255	1,255
Capital Projects	1,888	3,019	3,317	3,373	3,435
Extraordinary Monetary Settlements:	769	1,116	823	1,041	705
Dedicated Infrastructure Investment Fund	878	1,191	1,079	926	476
FY 2018 Temporary Loan to Capital Projects Fund ²	(500)	0	0	0	0
Transfer to DIIIF for Javits Expansion	382	320	134	0	0
Bond Proceeds Receipts for Javits Expansion	0	(500)	(500)	0	0
Transfer to Capital Projects Fund - Clean Water Grants	0	0	25	50	175
Mass Transit Capital	3	5	5	2	2
Statewide Health Care Capital	6	100	80	63	52
Dedicated Highway and Bridge Trust Fund	169	431	524	357	474
Environmental Protection Fund	28	28	28	28	96
All Other Capital	922	1,444	1,942	1,947	2,160
ALL OTHER TRANSFERS	893	1,186	1,282	1,464	1,615
Department of Transportation (MTA Payroll Tax)	244	244	244	244	244
SUNY - Medicaid Reimbursement	241	243	243	243	243
NY Central Business District Trust	0	113	150	152	153
Judiciary Funds	117	112	113	113	113
Dedicated Mass Transportation Trust Fund	67	65	116	256	408
Banking Services	37	49	49	49	49
Business Services Center	6	28	30	30	30
Indigent Legal Services	27	28	28	75	75
General Service	22	10	10	3	0
Mass Transportation Operating Assistance	26	21	21	21	21
Correctional Industries	21	21	21	21	21
Public Transportation Systems	17	16	16	16	16
Health Income Fund	11	16	16	16	16
Centralized Technology Services	14	11	11	11	11
Spinal Cord Injury Fund	9	9	9	9	9
Medical Cannabis Fund	7	5	7	7	7
All Other	27	195	198	198	199

¹ Reflects an accounting adjustment associated with prior year reimbursements.

² Reflects the final repayment of Extraordinary Monetary Settlement fund balances that were used to pay for capital projects in the first instance.

General Fund transfers to other funds are expected to total \$5.9 billion in FY 2020, a \$1.4 billion increase from FY 2019. The change is mainly due to capital projects transfers that are expected to increase by \$1.1 billion in FY 2020. The capital increase reflects higher transfers of Extraordinary Monetary Settlements (\$347 million); bond proceed reimbursements executed in FY 2019; and bond restructuring savings related to transportation that ended in FY 2019.

A portion of the capital and operating expenses of DOT and DMV is funded from the DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and HUT. The General Fund subsidizes DHBTF expenses, as expenses routinely exceed revenue deposits and bond proceeds.

Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development Corporation (ESD), DASNY, and the New York State Thruway Authority (NYSTA). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2019 Results	FY 2020 Updated	Change	FY 2021 Projected	Change	FY 2022 Projected	Change	FY 2023 Projected	Change
General Fund	786	546	-30.5%	689	26.2%	588	-14.7%	556	-5.4%
Other State Support	5,913	4,600	-22.2%	5,918	28.7%	6,482	9.5%	6,758	4.3%
State Operating/All Funds Total	6,699	5,146	-23.2%	6,607	28.4%	7,070	7.0%	7,314	3.5%

Total State Operating/All Funds debt service is projected to be \$5.1 billion in FY 2020, of which \$546 million is paid from the General Fund via transfers, and \$4.6 billion is paid from other State funds supported by dedicated tax receipts. The General Fund finances debt service payments on General Obligation and service contract bonds. Debt service for the State’s revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

The Financial Plan estimates for debt service spending have been revised to reflect bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending estimates also reflect the prepayment of \$1.5 billion in FY 2019 in debt service costs due in FY 2020, as well as a planned prepayment of \$200 million in FY 2020 for debt service costs due in FY 2021.



**April Through September 2019
Operating Results**

April – September 2019 Operating Results

This section provides a summary of preliminary operating results for April through September 2019 compared to: (1) results for the prior fiscal year (April through September 2018), (2) the estimates set forth in the Enacted Budget Financial Plan ("initial estimates"), and (3) the most recent projections set forth in the FY 2020 First Quarterly Financial Plan Update ("revised estimates"). The focus of the spending discussion is on State Operating Funds, the basis on which the 2 percent growth benchmark is measured.

Summary of General Fund Operating Results

The receipt and use of Extraordinary Monetary Settlements continue to affect the State's cash position. The table below and the discussion that follows summarizes the variance from the estimates in the initial and revised estimates, excluding Extraordinary Monetary Settlements, unless otherwise noted.

FY 2020 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2020 ENACTED AND REVISED BUDGETS (millions of dollars)					
	Initial	Revised Plan	Results	Variance Above/ (Below)	
				Initial	Revised
Opening Fund Balance (Excl. Extr. Monetary Settlements)	3,012	3,012	3,012	0	0
Total Receipts	40,490	40,885	40,973	483	88
Taxes ¹	39,000	39,226	39,406	406	180
Non-Tax Receipts/Transfers ²	1,490	1,659	1,567	77	(92)
Total Disbursements	40,281	40,389	39,286	(995)	(1,103)
Local Assistance	25,475	25,717	24,596	(879)	(1,121)
Agency Operations	10,700	10,780	10,621	(79)	(159)
Transfers to Other Funds ³	4,106	3,892	4,069	(37)	177
Net Change in Operations	209	496	1,687	1,478	1,191
Closing Fund Balance (Excl. Extr. Monetary Settlements)	3,221	3,508	4,699	1,478	1,191
Extraordinary Monetary Settlements					
Opening Balance	4,194	4,194	4,194	0	0
Settlements Received/Expected ⁴	829	861	861	32	0
Transfers/Uses	(643)	(705)	(704)	(61)	1
Closing Balance	4,380	4,350	4,351	(29)	1
Closing Fund Balance (Incl. Extr. Monetary Settlements)	7,601	7,858	9,050	1,449	1,192

¹ Includes transfers from other funds after debt service.

² Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.

³ Transfers to other funds exclude monetary settlements transferred from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).

⁴ Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

April Through September 2019 Operating Results



General Fund – Results Compared to Initial and Revised Estimates

The General Fund closing balance at the end of September 2019 was \$4.7 billion, which was \$1.5 billion and \$1.2 billion above the initial and revised estimates respectively. Both variances are attributable to lower spending and higher receipts.

PIT collections continue to exceed marginally projections at a marginal rate primarily due to lower than expected tax refund levels. Business Tax collections are also higher than projected mainly in the corporate franchise tax category. The higher collections are partly offset by lower than expected sales tax collections and estate tax payments.

Lower spending was mainly due to the timing of planned local assistance payments in the areas of social services, school aid and higher education, partly offset by higher spending on special education. Operational spending through the first half of year was lower than estimated. Nearly all variances are timing related and expected to resolve in future months.

At the end of September 2019, the Extraordinary Monetary Settlements balance held in the General Fund was \$4.4 billion, consistent with projections.

The table below summarizes the General Fund variances from both the initial and revised estimates, inclusive of Extraordinary Monetary Settlements.

GENERAL FUND OPERATING RESULTS April 2019 through September 2019 (millions of dollars)							
	Initial	Revised Plan	Results	Variance Above/ (Below)			
				Initial		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	7,206	7,206	7,206	0	0.0%	0	0.0%
Total Receipts	41,319	41,746	41,834	515	1.2%	88	0.2%
Taxes:	39,000	39,226	39,406	406	1.0%	180	0.5%
Personal Income Tax ¹	27,616	27,875	28,148	532	1.9%	273	1.0%
Consumption / Use Taxes ¹	7,522	7,460	7,375	(147)	-2.0%	(85)	-1.1%
Business Taxes	2,774	2,828	2,929	155	5.6%	101	3.6%
Other Taxes ¹	1,088	1,063	954	(134)	-12.3%	(109)	-10.3%
Receipts and Grants	1,591	1,662	1,713	122	7.7%	51	3.1%
Transfers From Other Funds	728	858	715	(13)	-1.8%	(143)	-16.7%
Total Spending	40,924	41,094	39,990	(934)	-2.3%	(1,104)	-2.7%
Local Assistance	25,475	25,717	24,596	(879)	-3.5%	(1,121)	-4.4%
Agency Operations (including GSCs)	10,700	10,780	10,621	(79)	-0.7%	(159)	-1.5%
Transfers to Other Funds	4,749	4,597	4,773	24	0.5%	176	3.8%
Debt Service Transfer	227	225	226	(1)	-0.4%	1	0.4%
Capital Projects Transfer	2,948	2,804	2,943	(5)	-0.2%	139	5.0%
SUNY Operations Transfer	1,022	980	1,007	(15)	-1.5%	27	2.8%
All Other Transfers	552	588	597	45	8.2%	9	1.5%
Change in Operations	395	652	1,844	1,449	366.8%	1,192	182.8%
CLOSING BALANCE	7,601	7,858	9,050	1,449	19.1%	1,192	15.2%

¹ Includes transfers from other funds after debt service.

All Governmental Funds -- Results Compared to Prior Year

All Funds receipts were \$6.4 billion higher than the prior year attributable to growth in tax collections and Federal grants. Spending increased by \$607 million over the prior year. Higher Medicaid spending includes the \$1.7 billion payment deferred from March 2019 to the current year. School Aid growth is consistent with the authorized FY 2020 school aid increase. Agency operations and fringe benefits spending also increased due to the payment of retroactive salary increases and higher SUNY spending. These increases are offset by lower spending for Federal operating aid, debt service and other local assistance. Additional information on Medicaid cash management and budgetary risks and uncertainties can be found in “Other Matters Affecting the Financial Plan” section herein.

ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR				
April through September				
(millions of dollars)				
	Results		Increase/(Decrease)	
	FY 2019	FY 2020	\$	%
OPENING BALANCE	12,749	9,975	(2,774)	-21.8%
ALL FUNDS RECEIPTS:	81,083	87,495	6,412	7.9%
Total Taxes	37,292	42,401	5,109	13.7%
Personal Income Tax	23,662	27,981	4,319	18.3%
All Other Taxes	13,630	14,420	790	5.8%
Miscellaneous Receipts	12,896	13,067	171	1.3%
Federal Grants	30,895	32,027	1,132	3.7%
Bond & Note Proceeds	0	0	0	0.0%
ALL FUNDS DISBURSEMENTS:	82,451	83,058	607	0.7%
STATE OPERATING FUNDS	47,663	48,997	1,334	2.8%
Local Assistance	31,828	32,969	1,141	3.6%
School Aid	11,250	11,712	462	4.1%
DOH Medicaid (incl. admin and EP)	11,817	13,213	1,396	11.8%
All Other	8,761	8,044	(717)	-8.2%
State Operations	14,526	15,051	525	3.6%
Agency Operations	9,476	9,872	396	4.2%
Executive Agencies	5,117	5,325	208	4.1%
University Systems	2,979	3,180	201	6.7%
Elected Officials	1,380	1,367	(13)	-0.9%
Fringe Benefits/Fixed Costs	5,050	5,179	129	2.6%
Pension Contribution	2,276	2,311	35	1.5%
Health Insurance	2,099	2,114	15	0.7%
Other Fringe Benefits/Fixed Costs	675	754	79	11.7%
Debt Service	1,309	977	(332)	-25.4%
Capital Projects	0	0	0	0.0%
CAPITAL PROJECTS (State and Federal Funds)	5,665	5,903	238	4.2%
FEDERAL OPERATING AID	29,123	28,158	(965)	-3.3%
NET OTHER FINANCING SOURCES	(47)	(102)	(55)	-117.0%
CHANGE IN OPERATIONS	(1,415)	4,335	5,750	406.4%
CLOSING BALANCE	11,334	14,310	2,976	26.3%

Receipts

PIT collections were \$4.3 billion (18 percent) higher than last year. This growth is due to an increase in April 2019 extensions and final returns related to taxpayer behavior in response to the cap on SALT deductions.

Business tax collections growth (\$534 million) is due to higher corporate franchise tax (CFT) and insurance gross receipts partially offset by higher refunds and lower audits. Consumption/user tax collections increases were (\$338 million) attributable to growth in the sales tax base. These increases were partially offset by the direct remittance of various supplemental fees and taxes to the MTA beginning in FY 2020, which previously passed through the State and was thus included in FY 2019 receipts, as well as lower cigarette tax receipts.

Miscellaneous Receipts were \$171 million (1 percent) higher than the prior year due to unplanned receipts from Extraordinary Monetary Settlements (\$356 million), higher bond proceed reimbursements (\$522 million), and growth in HCRA receipts (\$202 million); partially offset by the nonrecurring receipt of a \$1 billion payment from Fidelis Care in July 2018 pursuant to the sale of substantially all its assets to Centene Corporation.

Federal grants were \$1.1 billion higher in FY 2020 than in FY 2019 largely due to the deferral of the final FY 2019 Medicaid cycle.²³

Spending

State Operating Funds spending totaled \$49 billion through the first half of FY 2020, an increase of \$1.3 billion (2.8 percent) over the same period in in FY 2019.

Local assistance spending growth of \$1.1 billion includes Medicaid and School Aid increases partially offset by lower aggregate spending in all other program areas. Spending growth for Medicaid includes deferred liabilities from FY 2019 that were paid in FY 2020 and increased claims experience, partly offset by the timing of certain offline payments, including the FMAP reconciliation and Voluntary UPL payments. The School Aid spending increase is largely attributable to higher spending on Lottery revenue supported school aid (\$415 million) and General Aid payments (\$227 million); partially offset by lower Universal Prekindergarten payments (\$308 million).

²³ A two business day period exists between the charge to the State's Federal Fund accounts to reflect a payment and the draw down from the Federal Treasury to reimburse the spending. Thus, the Federal share of the deferred payment from March 2019 was charged to Federal Funds spending on March 28 and reimbursed via the receipt of Federal funds on April 1 (\$1.8 billion) in accordance with Federal cash management guidelines.

The most significant other local assistance variances include:

- Transportation (\$312 million lower) attributable to one-time payments made to the MTA in FY 2019 for (i) the MTA Subway Action Plan (\$194 million) and (ii) a final payment of PMT collections attributable to FY 2018 (\$135 million);
- Social Services (\$287 million lower) related to Child Welfare Services (\$85 million), Day Care (\$58 million), Foster Care Block Grants (\$34 million), and Adoption Subsidies (\$29 million) payments;
- Public Health (\$171 million lower) attributable to the timing of payments for the General Public Health Work program (\$47 million) and Early Intervention program (\$88 million);
- Mental Hygiene (\$119 million lower) which primarily reflects the year-to-year increase in Mental Hygiene spending shifted to the Global Cap pursuant to increased Financial Plan savings assumed in the FY 2020 Enacted Budget; and
- Other education spending (\$286 million higher), largely related to timing of payments for special education programs (\$162 million), charter schools (\$48 million), nonpublic schools (\$40 million) and fiscal stabilization grants (\$26 million).

Executive agency operational spending growth is largely attributable to personal service costs associated with the payment of retroactive salary increases. University systems operations increased primarily due to higher costs incurred in SUNY Hospitals and higher personal service costs in SUNY colleges. Fringe Benefits spending increased due to higher Pension and Social Security payments, and lower reimbursements for operations supported by Federal and capital funding.

Capital Projects (State and Federal) spending increased by \$238 million, primarily due to the timing of payments for MTA (\$159 million) and ESD (\$74 million).

Federal operating aid spending decreased by \$965 million over the same period in FY 2019. The largest areas of lower spending include social welfare programs due to the timing of payments to Child Care (\$354 million), Flexible Fund for Family Services (\$281 million), and public assistance benefits (\$252 million); and education programs due to the timing of Individuals with Disabilities in Education (IDEA) flow-through grant payments (\$225 million) based on school district claiming. These spending decreases were offset by higher Medicaid spending (\$468 million) attributable to growth in Medicaid claims and provider rate packages, lower rebate collections and higher offline DSRIP payments to hospitals.

April Through September 2019 Operating Results



All Governmental Funds Results -- Results Compared to Plan

The State ended September 2019 with an All Fund closing balance of \$14.3 billion (\$1.8 billion above the initial estimate and \$2.3 billion above the revised estimate).

ALL GOVERNMENTAL FUNDS COMPARED TO PLAN							
April 2019 through September 2019							
(millions of dollars)							
	Initial	Revised Plan	Results	Variance Above/ (Below)			
				Initial		Revised Plan	
				\$	%	\$	%
OPENING BALANCE	9,975	9,975	9,975	0	0.0%	0	0.0%
ALL FUNDS RECEIPTS:	88,547	86,783	87,495	(1,052)	-1.2%	712	0.8%
Total Taxes	41,865	42,173	42,401	536	1.3%	228	0.5%
Personal Income Tax	27,432	27,690	27,981	549	2.0%	291	1.1%
Consumption / Use Tax	9,273	9,246	9,165	(108)	-1.2%	(81)	-0.9%
Business Taxes	4,001	4,097	4,239	238	5.9%	142	3.5%
Other Taxes	1,159	1,140	1,016	(143)	-12.3%	(124)	-10.9%
Miscellaneous Receipts	12,548	12,662	13,067	519	4.1%	405	3.2%
Federal Grants	34,134	31,948	32,027	(2,107)	-6.2%	79	0.2%
ALL FUNDS DISBURSEMENTS:	85,921	84,695	83,058	(2,863)	-3.3%	(1,637)	-1.9%
STATE OPERATING FUNDS	49,412	49,799	48,997	(415)	-0.8%	(802)	-1.6%
Local Assistance	33,363	33,583	32,969	(394)	-1.2%	(614)	-1.8%
School Aid	12,177	12,090	11,712	(465)	-3.8%	(378)	-3.1%
DOH Medicaid ¹	12,548	12,853	13,213	665	5.3%	360	2.8%
Transportation	1,759	1,726	1,764	5	0.3%	38	2.2%
STAR	0	0	0	0	0.0%	0	0.0%
Social Services	1,361	1,157	859	(502)	-36.9%	(298)	-25.8%
Higher Education	1,802	1,751	1,679	(123)	-6.8%	(72)	-4.1%
Mental Hygiene	942	951	910	(32)	-3.4%	(41)	-4.3%
All Other	2,774	3,055	2,832	58	2.1%	(223)	-7.3%
State Operations	15,076	15,239	15,051	(25)	-0.2%	(188)	-1.2%
Agency Operations	9,815	10,050	9,872	57	0.6%	(178)	-1.8%
Personal Service:	6,974	7,263	7,231	257	3.7%	(32)	-0.4%
Executive Agencies	3,874	4,069	4,075	201	5.2%	6	0.1%
University Systems	2,031	2,107	2,068	37	1.8%	(39)	-1.9%
Elected Officials	1,069	1,087	1,088	19	1.8%	1	0.1%
Non-Personal Service:	2,841	2,787	2,641	(200)	-7.0%	(146)	-5.2%
Executive Agencies	1,450	1,381	1,250	(200)	-13.8%	(131)	-9.5%
University Systems	1,100	1,125	1,112	12	1.1%	(13)	-1.2%
Elected Officials	291	281	279	(12)	-4.1%	(2)	-0.7%
Fringe Benefits/Fixed Costs	5,261	5,189	5,179	(82)	-1.6%	(10)	-0.2%
Pension Contribution	2,309	2,311	2,311	2	0.1%	0	0.0%
Health Insurance	2,103	2,114	2,114	11	0.5%	0	0.0%
Other Fringe Benefits/Fixed Costs	849	764	754	(95)	-11.2%	(10)	-1.3%
Debt Service	973	977	977	4	0.4%	-	0.0%
CAPITAL PROJECTS (State and Federal Funds)	6,659	5,961	5,903	(756)	-11.4%	(58)	-1.0%
FEDERAL OPERATING AID	29,850	28,935	28,158	(1,692)	-5.7%	(777)	-2.7%
NET OTHER FINANCING SOURCES	(51)	(70)	(102)	(51)	-100.0%	(32)	-45.7%
CHANGE IN OPERATIONS	2,575	2,018	4,335	1,760	68.3%	2,317	114.8%
CLOSING BALANCE	12,550	11,993	14,310	1,760	14.0%	2,317	19.3%

1. Includes the Essential Plan

Receipts

Through September 2019, PIT and business tax collections were higher than estimated. Higher PIT collections are attributable to lower than expected current and prior year refunds, stronger wage growth, and slower than expected processing of advanced credit payments, offset by weaker than expected assessments and estimated payments. Business taxes to date reflect stronger than anticipated Corporate Franchise tax collections and lower refunds, partially offset by lower audits. The increased collections are partly offset by lower collections in sales and other taxes, which include fewer than expected super-large and extra-large estate tax payments.

Miscellaneous Receipts have exceeded estimates due to the timing of bond proceed reimbursements for several projects including Moynihan Station as well various economic development and mental hygiene projects, and the timing of monies received for OMH Medicare and 3rd party payments dedicated to support debt service payments on mental hygiene facilities.

Federal grants reflect Federal operating aid disbursements, as well as the timing of reimbursements for program costs initially financed by the State and later reimbursed with Federal funding.

Spending

State Operating Funds spending was below both the initial and revised projections. The following discussion of the variances is focused on the comparison to the initial budget estimates.

Local assistance spending was lower (\$394 million) than estimated due mainly to the timing of payments in various programs that more than offset higher Medicaid spending as summarized below.

- Medicaid (\$665 million higher) variance is due to increased Medicaid claims offset by the timing of other offline payments.
- Social Services (\$502 million lower) variances include slower than expected payments for Child Welfare Services (\$245 million), Day Care programs (\$74 million), Foster Care Block Grants (\$66 million), public assistance benefit payments (\$44 million), and Adoption Subsidies (\$34 million).
- School Aid (\$465 million lower) variance includes slower spending for Universal Prekindergarten (\$286 million), Excess Cost aid (\$82 million), General Aid (\$49 million), Categorical Spending (\$35 million), and Statewide Full-Day Universal Prekindergarten (\$23 million).
- All Other Education (\$348 million higher) variances are largely attributable to timing of payments for special education programs (\$334 million) and charter schools (\$31 million), partially offset by lower than projected spending on various other education programs.

April Through September 2019 Operating Results



- Higher Education (\$123 million lower) variances include slower spending for Higher Education Service Corporation Scholarships (\$68 million) and TAP (\$51 million).

State operations spending, including fringe benefits, was lower than estimated due to slower NPS spending among multiple agencies, most notably Transportation and Corrections, which more than offset the payment of retroactive salary increases.

Capital Projects spending was lower than planned due mainly to the following:

- Education (\$325 million lower) variances include slower spending for the smart schools program (\$140 million), SUNY projects (\$116 million), and safety and security grants for at-risk facilities and library construction grants (\$43 million).
- Economic Development (\$204 million lower) spending was due to delayed approval of spending for the High-Tech innovation program and slower spending on the Moynihan project.
- Transportation (\$181 million lower) construction spending was slower than projected for several large projects (\$387 million) including JFK access, Van Wyck and Bruckner, and Hunts-Point, and was partly offset by higher MTA spending (\$204 million) .
- Housing and Community Renewal (\$142 million lower) spending lags were attributable to variable market and industry conditions and use of off-budget resources.

Federal operating aid spending was \$1.7 billion (5.7 percent) lower than initial projections, driven by:

- Medicaid, including administration (\$1.1 billion lower) due to administrative delays in State approval of certain claims and rate packages and the processing of certain offline payments.
- Social Services (\$676 million lower) reflecting slower spending for the Flexible Fund for Family Services (\$297 million), public assistance benefit payments (\$237 million), Child Care (\$139 million), Home Energy Assistance Program (HEAP) (\$64 million), and Title XX (\$52 million).
- School Aid (\$93 million higher) related to School Breakfast and Lunch Meal reimbursements (\$55 million) and Federal Every Student Succeeds Act (ESSA) grant payments (\$38 million).

Financial Plan Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources and comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue Funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

PS (Personal Service) - Includes the payment of salaries and compensation for State employees.

NPS (Non-Personal Service) - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs (General State Charges) - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits. Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs. For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt contractual-obligation and lease-purchase arrangements with several public authorities and municipalities and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs) and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserves - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2019 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for a) payment of principal, interest, or related expenses; b) retiring or defeasing existing State-supported debt obligations, including accrued interest; and c) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Extraordinary Monetary Settlements - Includes the balance of Extraordinary Monetary Settlements after planned uses.

Note 5 — Items Affecting Annual Comparability

Mental Hygiene Fund Reclassification

Beginning in FY 2019, spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two mental hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central GSCs budget.

DOT/ DMV Operating Cost Reclassification

Beginning in FY 2019, certain DOT and DMV operating costs related to snow and ice removal, bus, truck and rail inspection, and DMV regulatory activities are reclassified from DHBTF to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTF. The reclassification is intended to align operating and capital functions with their revenue sources.

MTA Fees and Taxes

The Budget includes legislation directing various supplemental fees and taxes levied on driver licenses, motor vehicle registration, taxis, and passenger car rentals, to be remitted directly to the MTA without legislative appropriation. This eliminates the pass through of these fees and taxes, ensuring more timely receipt by the MTA, and is consistent with treatment of mobility tax collections (described below). Beginning in FY 2020, the Financial Plan will no longer include these new supplemental fees and taxes or associated local assistance payments, estimated at roughly \$300 million annually.

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the MCTD. Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. The FY 2019 Enacted Budget included legislation directing that Mobility Tax collections be remitted directly to the MTA. This eliminated the pass through of this tax and ensures more timely receipt by the MTA. The State Financial Plan no longer includes new tax receipts or their associated local assistance payments beginning in FY 2019. In FY 2019 PMT receipts and disbursements that have been excluded are estimated at approximately \$1.5 billion and \$1.6 billion, respectively.

In FY 2020, MTA resources attributable to the PMT and supplemental taxes and fees detailed above are estimated at \$1.9 billion.

Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by local social services districts. The statutory indexing provisions were amended through legislation to implement a three-year phased takeover of the local social services districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

STAR

STAR spending in FY 2020 and FY 2021 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$1.2 billion in FY 2020 and \$1.4 billion in FY 2021).

Superstorm Sandy

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in NYC, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. This program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The DSRIP program expires in FY 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of the EP subsidized by the State and Federal governments.

Note 6 – State Funding of SUNY Operating Support

Effective with the 2013 AY that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

Note 7 – Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 8 – General Fund/HCRA Combined Gap

Current HCRA authorization ends March 31, 2020. HCRA is projected to remain balanced over this period. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 9 – Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds, including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 10 – Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 11 – Temporary Loans Summary

The total outstanding loan balance as of March 31, 2019 was \$5.4 billion, comprising of activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds) the State pending Federal receipts, State Special Revenue Funds; and Proprietary Funds. The loan balance as of March 31, 2018 was \$3.1 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike reflects mainly the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received. The increased Federal Funds loan is attributable to the timing of the release of the final cycle payment to Medicaid Managed Care Organizations in FY 2019.

TEMPORARY LOANS OUTSTANDING (millions of dollars)			
	March 31		Annual Change
	2018	2019	
Total Loans Outstanding	3,091	5,405	2,314
State Special Revenue Funds	313	350	37
Federal Funds	1,190	3,417	2,227
Capital Funds	1,286	1,300	14
Proprietary Funds	302	338	36

Note 12 — Adherence to 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2% Spending Benchmark” is the savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The “Net General Fund Surplus (Deficit)” calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

Note 13 - List of Extraordinary Monetary Settlements Received/Expected

From the beginning of FY 2015, the State received or is currently expecting the following Extraordinary Monetary Settlements:

- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries American Life Insurance Company (ALICO) and Delaware American Life Insurance Company (DelAm) (a) solicited, insurance business in New York without a license and (b) made intentional misrepresentations and omissions to DFS concerning such activities.
- ALICO, DelAm, and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.

- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi’s (a) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (b) misleading DFS about Bank Leumi’s improper activities.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA’s violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") paid a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML’s fraudulent conduct in connection with its electronic trading practices.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU’s wrongful actions in misleading DFS concerning BTMU’s U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU’s unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Barclays paid the State \$635 million, which included (a) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (b) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays’ attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays’ automated electronic foreign exchange trading misconduct.

- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Barclays Bank PLC and Barclays Bank PLC, New York Branch (together, "Barclays") paid a \$15 million penalty pursuant to a December 18, 2018 consent order between Barclays and DFS. This consent order pertains to Barclays' (a) failure to implement effective governance and controls with respect to its whistleblowing program; and (b) failure to report on the use of Federal law enforcement resources secured through incomplete or inaccurate information provided to a Federal agency, in order to investigate a non-threatening or non-exigent whistleblower complaint.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively "BNPP") paid nearly \$3.6 billion pursuant to (a) a June 29, 2014 consent order between the New York State DFS and BNPP and (b) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNPP paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and DFS. This consent order pertains to BNPP's significant and material failure with respect to implementing effective controls over its foreign exchange trading business.
- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, "Chubb") paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb's issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.
- Cigna Health and Life Insurance Company (Cigna) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna's violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.

- Citigroup Inc. (Citigroup) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.
- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Conduent Education Services, LLC, f/k/a Xerox Education Services, LLC, f/k/a and d/b/a ACS Education Services, Inc. (hereinafter “ACS”) paid \$1 million in penalties pursuant to a January 4, 2019 consent order between ACS and DFS. This consent order pertains to ACS’s repeated or persistent fraudulent, illegal or deceptive conduct in the servicing of federally-guaranteed student loans.
- Credit Agricole paid \$459 million, which included (a) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (b) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole’s processing billions of dollars of payments on behalf of certain sanctioned parties.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG’s decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (a) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (b) concealed offshore assets and income from the IRS and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.

- Credit Suisse AG and Credit Suisse AG, New York Branch (together, “Credit Suisse”) paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and DFS. This consent order pertains to Credit Suisse’s failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates including (a) the London Interbank Offered Rate, (b) the Euro Interbank Offered Rate and (c) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid a \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- In January 2017, Deutsche Bank paid a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, Deutsche Bank), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- FedEx Corporation, FedEx Ground, Federal Express Corporation, FedEx Freight, and FedEx Corporate Services, Inc. (together, "FedEx") paid \$35 million pursuant to a December 27, 2018 Settlement Agreement between FedEx, the City of New York, and the People of the State of New York. This settlement agreement pertains to FedEx's alleged shipment of illegal cigarettes.

- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman Sachs. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman Sachs in 2006 and 2007.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- The Goldman Sachs Group, Inc. (Goldman Sachs) paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 consent order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Google, LLC (“Google”) and YouTube, LLC (“YouTube”) paid a \$34 million penalty to the State pursuant to a September 10, 2019 stipulated order between Google and YouTube and the Federal Trade Commission and the People of the State of New York. This order pertains to Google and YouTube’s failure to post a privacy policy on their online service in a clear, understandable, and complete way regarding the collection of personal information from children.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-06 to process payments on behalf of Iranian clients and other entities.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, “Lockton”) paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.

- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, “Mashreqbank”) paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and DFS. This consent order pertains to Mashreqbank’s (a) failure to maintain an effective and compliant anti-money laundering (AML) program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions. Aetna Health, Inc., Aetna Health Insurance Company of New York and Aetna Life Insurance Company (hereinafter “Aetna”) paid a \$1.95 million civil penalty pursuant to a December 12, 2018 consent order between Aetna and DFS. This consent order pertains to Aetna’s business practices and fulfillment of their obligations to policyholders and claimants.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- The Metropolitan Life Insurance Company paid a \$19.75 million civil penalty pursuant to a January 28, 2019 Consent Order between the Metropolitan Life Insurance Company and the New York State DFS. This consent order pertains to Metropolitan Life Insurance Company’s pension risk transfer group annuity operations.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley’s creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- MUFG Bank, Ltd., f/k/a The Bank of Tokyo-Mitsubishi UFJ, Ltd. (“MUFG Bank”) paid a \$33 million penalty to the State of New York pursuant to a June 24, 2019 settlement agreement between MUFG Bank and DFS, the DFS Acting Superintendent, and the Office of the New York State Attorney General. This settlement agreement pertains to a dispute between MUFG Bank and DFS over DFS’s authority to issue an order requiring MUFG Bank to continue to submit to DFS’s supervisory authority during the time which MUFG Bank attempted to convert its State-licensed branch in New York to a federally-licensed branch.

- Nationstar Mortgage LLC (Nationstar) paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (a) failure to obtain authorization for the use of multiple domain names, (b) failure to maintain books, records and customer files, (c) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers, (d) operation of two branch locations without authorization, (e) failure to maintain required documentation in servicing files, (f) failure to maintain a schedule of fees on its website, (g) failure to submit quarterly reports in a timely manner, and (h) failure to file multiple 90-day pre-foreclosure notices.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Oscar Insurance Corporation (hereinafter "Oscar Insurance") paid a \$576,950 civil penalty pursuant to a December 12, 2018 consent order between Oscar Insurance and DFS. This consent order pertains to Oscar Insurance's (a) failure to make a determination for prospective and concurrent utilization reviews within the required time; (b) failure to include an accurate and detailed explanation of the clinical rationale for denials in the adverse determination notices; and (c) failure to include forfeiture language in the explanation of benefit statements.
- PHH Mortgage and PHH Homes Loans, LLC (collectively "PHH Mortgage") paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage's failure to (a) maintain books, accounts, records, and files in an appropriate manner, (b) adequately and accurately disclose certain fees, and (c) comply with other laws and regulations.

- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (a) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (b) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (RBS) paid \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- Société Générale SA paid the New York County District Attorney's Office pursuant to a November 18, 2018 deferred prosecution agreement between Société Générale SA and the Office of the United States Attorney for the Southern District of New York. This deferred prosecution agreement pertains to Société Générale SA's violation of U.S. economic sanctions, which caused both affiliated and unaffiliated U.S. financial institutions to process transactions that otherwise should have been rejected, blocked or stopped for investigation. The State received \$77.649 million of the payment.
- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$95 million civil monetary penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's persistent, uncorrected, and serious deficiencies in its Secrecy Act/Anti-Money Laundering compliance program that went uncorrected for multiple examination cycles.

- Société Générale SA and Société Générale, New York Branch (together, "Société Générale") paid a \$325 million penalty pursuant to a November 19, 2018 consent order between Société Générale and DFS. This consent order pertains to Société Générale's (a) unsafe and unsound manner of conducting business; (b) failure to maintain an effective and compliant Office of Foreign Assets Control (OFAC) compliance program; (c) false entries in the books, reports, and statements and omissions of material with the intent to deceive or mislead; (d) failure to maintain and make available, at the New York Branch, appropriate books, accounts and records reflecting all transactions and actions; (e) failure to submit a report immediately upon discovering fraud, dishonesty, making of false entries and omission of true entries, or other misconduct; and (f) failure to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and AML laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$40 million civil monetary penalty pursuant to a January 29, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered's unsafe, unsound, and improper conduct, and its failure to implement effective controls over its foreign exchange business.
- The Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid a \$180 million civil monetary penalty pursuant to an April 9, 2019 Consent Order between Standard Chartered and the New York State DFS. This consent order pertains to Standard Chartered (i) conducting business in an unsafe and unsound manner, (ii) failing to maintain an effective and compliant OFAC compliance program, (iii) failing to maintain and make available appropriate books, accounts, and records, reflecting all transactions and actions, (iv) failing to submit a report immediately upon discovering fraud, dishonesty, making of false entries or omission of true entries, or other misconduct, and (v) failing to submit a report of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or through the state.

- Standard Chartered Bank and Standard Chartered Bank, New York Branch (together, "Standard Chartered") paid the State \$141.7 million. An April 9, 2019 press release issued by the Manhattan District Attorney's Office indicated that such payment was required by an amended Deferred Prosecution Agreement pertaining to Standard Chartered's falsification of records of New York financial institutions.
- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- The Manhattan District Attorney's Office indicates in an April 15, 2019 press release that UniCredit Bank Austria AG has agreed to pay in criminal forfeiture approximately \$316 million to the Manhattan District Attorney's Office in relation to UniCredit Bank Austria AG's falsifying of business records and conspiracy to illicitly move money through New York Banks. The State received \$101.3 million as its share of the forfeiture received by the Manhattan District Attorney's Office in accordance with Section 1349 of the NYS Civil Practice Laws and Rules.
- UniCredit Bank AG and its New York Branch, UniCredit S.p.A and its New York Branch, and UniCredit Bank Austria AG (together, the "UniCredit Group") paid a \$405 million civil monetary penalty pursuant to an April 2019 Consent Order between UniCredit Group and the New York State DFS. This consent order pertains to UniCredit Group's (i) unsafe and unsound business conduct, (ii) failure to maintain an effective and complaint compliance program, (iii) failure to submit reports immediately upon discovering fraud, dishonesty, false entries or omissions of true entries, or other misconduct, and (iv) failure to submit reports of one or more incidents that appear to relate to a plan or scheme that would be of interest to similar organizations located in the same area or throughout the state.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, "UBS") paid \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS's creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$128 million for air quality improvement programs in New York.

- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) paid \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the states of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State was allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This Settlement Agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Wells Fargo & Company (“Wells Fargo”) paid a \$65 million penalty pursuant to an October 18, 2018 settlement agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This settlement agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics in violation of law.
- Western Union Financial Services, Inc. (Western Union) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- William Penn Life Insurance Company of New York (William Penn) paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.

Note 14 – List of Settlement Uses (Prior to FY 2020 Enacted Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2020:

- **Thruway Stabilization (\$2 billion).** The \$2 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from Extraordinary Monetary Settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Health Care/Hospitals (\$725 million).** Provides \$680 million in grants to health care providers to facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities. The Plan also funds capital expenses of the Roswell Park Cancer Institute (RPCI) (\$15.5 million); a community health care revolving loan (\$19.5 million); IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million); and \$125 million to the health care facility transformation program.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing development and services (\$590 million) and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million). Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Broadband Initiative (\$500 million).** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Buffalo Billion Phase II (\$400 million).** The Financial Plan reflects an additional investment of \$400 million from Extraordinary Monetary Settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Financial Plan reflects the commitment of \$320 million from Extraordinary Monetary Settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.

- **MTA Capital Plan (\$315 million).** Includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvements in regional mobility, and construction of four new Metro-North stations in the Bronx. An additional \$65 million paid in FY 2018 was also provided for the MTA's 2015-2019 Capital Program.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** Provides funding for preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and manmade disasters. Additionally, funding has been used for counterterrorism efforts in NYC, including increased security and anti-terror exercises at nine MTA-operated bridges and tunnels.
- **Transportation Capital Plan (\$200 million).** Provides funding for transportation infrastructure projects across the State.
- **Municipal Restructuring and Consolidation Competition (\$170 million).** Includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative, and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens.
- **Transformative Economic Development Projects (\$150 million).** Includes funds to promote economic development in Nassau and Suffolk counties.
- **EPF (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Downtown Revitalization Round Two (\$100 million).** The Downtown Revitalization Initiative funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Economic Development (\$85 million).** Funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million).** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.

- Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- Non-MTA Transit (\$20 million).** Funds will be directed by the DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

Extraordinary Monetary Settlements - Cash Flow Management

Since 2015, the receipt of \$12.8 billion in Extraordinary Monetary Settlements has increased the State's cash on hand and improved its liquidity position. A large portion, \$8.1 billion, of the Extraordinary Monetary Settlements has been enacted, or is proposed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Financial Plan continues to assume that Extraordinary Monetary Settlements will temporarily be used for two different purposes:

- Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2018 to support higher education, transportation, and economic development. This advance from settlement funds has been repaid over a two-year period, as the State reimbursed this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
- Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, spending for the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds from planned issuances in FYs 2020 and 2021.

TEMPORARY USE OF EXTRAORDINARY MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS (millions of dollars)						
	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>Total</u>
Total Settlement Funds Replenished/(Used)	(1,300)	636	118	180	366	0
Transfer to DIIF for Javits Center Expansion	0	(164)	(382)	(320)	(134)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
FY 2017 Temporary Loan to Capital Projects Fund	(1,300)	1,300	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	(500)	500	0	0	0

Note 15 – FY 2020 Savings Plan

For the current fiscal year, DOB is developing a savings plan (the “FY 2020 savings plan”) intended to maintain a balanced General Fund and working with DOH to avoid, to the extent practicable, piercing the Medicaid Global Cap. At a minimum, the FY 2020 savings plan is expected to consist of a permanent adjustment to the timing of certain health care payments, consistent with contractual terms and past practice, and a range of cost containment measures. Savings may include across the board reductions in rates paid to providers and health plans, reductions in discretionary payments, and other actions that can be executed administratively in the current fiscal year. The Mid-Year projections assume that roughly half of the measures identified in the FY 2020 savings plan will provide recurring savings. The FY 2021 Executive Budget, which is due in January 2020, will describe the specific elements of the FY 2020 savings plan and the proposals that will be advanced to eliminate the General Fund budget gap, including the Medicaid Global Cap imbalance, in FY 2021.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ASAP	Accelerated Study in Associate Program
AXA	AXA Equitable Life Insurance Company
AY	Academic Year
BANs	Bond Anticipation Notes
BEA	Bureau of Economic Analysis
BLS	Bureau of Labor Statistics
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BofAML	Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CBO	Congressional Budget Office
CES	Current Employment Statistics
CFT	Corporate Franchise Tax
CHP	Child Health Plus
CIGNA	Cigna Health and Life Insurance Company
CISO	Chief Information Security Office
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CSR	Cost Sharing Reduction
CSX	CSX Transportation, Inc.
CUNY	City University of New York
CW/CA	Clean Water/Clean Air
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTf	Dedicated Highway and Bridge Trust Fund
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSH	Disproportionate Share Hospital
DSRIP	Delivery System Reform Incentive Payment

Glossary of Acronyms



DTF	Department of Taxation and Finance
ECB	European Central Bank
ECEP	Employer Compensation Expense Program
EI	Early Intervention
EISEP	Expanded In-Home Services for the Elderly Program
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESCO	Energy Service Company
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
ESSA	Every Student Succeeds Act
ESSHI	Empire State Supportive Housing Initiative
FFP	Federal Financial Participation
FFY	Federal Fiscal Year (October 1 through September 30)
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FRB	Financial Restructuring Board
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GASBS	Governmental Accounting Standards Board Statement
GDP	Gross Domestic Product
GILTI	Global Intangible Low-Taxed Income
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HCTF	Health Care Transformation Fund
HEAP	Home Energy Assistance Program
HESC	Higher Education Services Corporation
HUT	Highway Use Tax
IAAF	Interim Access Assurance Fund
IDEA	Individuals with Disabilities in Education Act
IMF	International Monetary Fund
IPCC	Intergovernmental Panel on Climate Change of the United Nations
IPO	Initial Public Offering
IRS	Internal Revenue Service
IT	Information Technology
ITS	Information Technology Services
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LLC	Limited Liability Company
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MRT	Medicaid Redesign Team
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NAIRU	Non-Accelerating-Inflation Rate of Unemployment
NBER	National Bureau of Economic Research
NIPA	National Income and Product Accounts

NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYSTPBA	Police Benevolent Association of the New York State Troopers
NYU	New York University
OAG	Office of the Attorney General
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OFAC	Office of Foreign Assets Control
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBM	Pharmacy Benefit Manager
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PMT	Payroll Mobility Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QCEW	Quarterly Census of Employment and Wages
QHP	Qualified Health Plan (NYSOH)
RBS	RBS Financial Products Inc. (f/k/a Greenwich Capital Financial Products, Inc.)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RGGI	Regional Greenhouse Gas Initiative
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SALT	State and Local Tax
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFY	State Fiscal Year (April 1 Through March 31)
SHIN-NY	Statewide Health Information Network for New York
SOF	State Operating Funds
SOFA	State Office for the Aging
SSI	Supplemental Security Income
STAR	School Tax Relief

Glossary of Acronyms



STIP	Short-Term Investment Pool
SUFPK	Statewide Universal Full-Day Prekindergarten
SUNY	State University of New York
SY	School Year (July 1 Through June 30)
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TCJA	Tax Cuts and Jobs Act of 2017
TRS	Teachers' Retirement System
TY	Tax Year (January 1 Through December 31)
UBS	UBS Securities LLC and UBS Real Estate Securities Inc.
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLT	Video Lottery Terminal

Financial Plan Tables

General Fund – Total Budget

Financial Plan Projections FY 2020 through FY 2023	T-1
Financial Plan, Annual Change from FY 2019 to FY 2020	T-2
FY 2020	T-3
FY 2021	T-4
FY 2022	T-5
FY 2023	T-6

General Fund – Revenue Detail (Excluding Transfers)

Financial Plan Projections FY 2020 through FY 2023	T-7
Financial Plan, Annual Change from FY 2019 to FY 2020	T-8

State Operating Funds Budget

FY 2019	T-9
FY 2020	T-10
FY 2021	T-11
FY 2022	T-12
FY 2023	T-13
Annual Change from FY 2019 to FY 2020	T-14

All Governmental Funds – Total Budget

FY 2019	T-15
FY 2020	T-16
FY 2021	T-17
FY 2022	T-18
FY 2023	T-19
Annual Change from FY 2019 to FY 2020	T-20

All Governmental Funds – Revenue Detail

FY 2019	T-21
FY 2020	T-22
FY 2021	T-23
FY 2022	T-24
FY 2023	T-25
Annual Change from FY 2019 to FY 2020	T-26

Special Revenue Funds

FY 2019	T-27
FY 2020	T-28
FY 2021	T-29
FY 2022	T-30
FY 2023	T-31
Annual Change from FY 2019 to FY 2020	T-32
Receipts Detail, FY 2020 through FY 2023	T-33
Receipts Detail, Annual Change from FY 2019 to FY 2020	T-34

Capital Budget

FY 2019	T-35
FY 2020	T-36
FY 2021	T-37
FY 2022	T-38
FY 2023	T-39
Annual Change from FY 2019 to FY 2020	T-40
Receipts Detail, FY 2020 through FY 2023	T-41
Receipts Detail, Annual Change from FY 2019 to FY 2020	T-41
Off-Budget Capital Spending, FY 2019 through FY 2023	T-42

Debt Service Funds – Revenue Detail

Projections FY 2020 through FY 2023	T-43
Annual Change from FY 2019 to FY 2020	T-43

State Funds – Total Budget

FY 2019	T-44
FY 2020	T-45
FY 2021	T-46
FY 2022	T-47
FY 2023	T-48
Annual Change from FY 2019 to FY 2020	T-49

Cash Flow – Update - FY 2019 Results

General Fund	T-50
State Operating Funds	T-51
All Governmental Funds	T-52
Special Revenue Funds - Total	T-53
Special Revenue Funds - State	T-54
Special Revenue Funds - Federal	T-55
Debt Service Funds	T-56
Capital Projects Funds - Total	T-57
Capital Projects Funds - State	T-58
Capital Projects Funds - Federal	T-59
State Funds	T-60

Cash Flow – FY 2020 Monthly Projections

General Fund	T-61
State Operating Funds	T-62
All Governmental Funds	T-63
Special Revenue Funds - Total	T-64
Special Revenue Funds - State	T-65
Special Revenue Funds - Federal	T-66
Debt Service Funds	T-67
Capital Projects Funds - Total	T-68

Capital Projects Funds - State.....	T-69
Capital Projects Funds - Federal.....	T-70
State Funds.....	T-71

Health Care Reform Act Resources Fund

Projections FY 2020 (Change from First Quarter)	T-72
Projections FY 2020 through FY 2023.....	T-73
Annual Change from FY 2019 to FY 2020.....	T-73
FY 2019 Monthly Cash Results.....	T-74
FY 2020 Monthly Cash Flow Projections.....	T-74

Proprietary and Fiduciary Funds (FY 2019 through FY 2023)	T-75
--	------

Workforce Impact Summary (FY 2018 through FY 2020)

General Fund	T-76
State Operating Funds.....	T-77
State Funds	T-79
All Funds	T-81
Special Revenue Fund - Other	T-83
Special Revenue Fund - Federal.....	T-84
Capital Projects Fund - Other	T-85
Capital Projects Fund - Federal.....	T-86
Enterprise Fund	T-87
Internal Service Fund.....	T-88
Agency Trust Fund.....	T-89
Pension Trust Fund.....	T-90
Private Purpose Trust Fund.....	T-91

Spending Detail by Agency (FY 2018 through FY 2023)

General Fund – Total (Agency Detail Excluding Transfers).....	T-92
General Fund – Total	T-100
General Fund – Local Assistance	T-103
General Fund – State Operations.....	T-105
General Fund – Personal Service.....	T-107
General Fund – Non-personal Service.....	T-109
General Fund – General State Charges	T-111

State Operating Funds – Total (Agency Detail)	T-112
State Operating Funds – Total	T-121
State Operating Funds – Local Assistance.....	T-124
State Operating Funds – State Operations	T-126
State Operating Funds – Personal Service.....	T-129
State Operating Funds – Non-personal Service	T-131
State Operating Funds – General State Charges	T-134

Capital Projects Funds – Total.....	T-136
All Governmental Funds – Total (Agency Detail)	T-138
All Governmental Funds – Total	T-149
All Governmental Funds – Local Assistance	T-152
All Governmental Funds – State Operations.....	T-155
All Governmental Funds – Personal Service.....	T-158
All Governmental Funds – Non-personal Service.....	T-160
All Governmental Funds – General State Charges.....	T-163
All Governmental Funds – Capital Projects	T-165
State Funds – Total.....	T-167
State Funds – Local Assistance	T-170
State Funds – State Operations.....	T-172
State Funds – Personal Service	T-175
State Funds – Non-personal Service.....	T-177
State Funds – General State Charges.....	T-180
State Funds – Capital Projects	T-182
Special Revenue State Funds – Local Assistance	T-184
Special Revenue State Funds – Personal Service.....	T-186
Special Revenue State Funds – Non-personal Service.....	T-188
Special Revenue State Funds – General State Charges	T-190
Special Revenue Federal Funds – Local Assistance	T-192
Special Revenue Federal Funds – Personal Service	T-194
Special Revenue Federal Funds – Non-personal Service.....	T-196
Special Revenue Federal Funds – General State Charges.....	T-198
General Fund Transfers (FY 2019 through FY 2023)	
General Fund Transfers from Other Funds	T-200
General Fund Transfers to Other Funds	T-202
FY 2020 Cash Basis Combining Statement	
General Fund.....	T-203
Special Revenue Funds.....	T-204
Special Revenue Other Funds Detail by Account.....	T-207
Miscellaneous Special Revenue Fund (339) Detail by Account.....	T-211
Capital Projects Funds	T-218
Debt Service Funds	T-220
Internal Services	T-221
Enterprise	T-222



Debt Service Tables..... T-223

Financial Plan Table Appendices

List of Joint Custody Funds T-230

State Fund Structure T-233

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2020 Mid-Year	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Receipts:				
Taxes:				
Personal Income Tax	23,899	25,615	27,039	28,731
Consumption/Use Taxes	8,209	8,558	8,817	9,095
Business Taxes	6,077	6,472	6,550	6,871
Other Taxes	1,113	1,175	1,242	1,306
Miscellaneous Receipts	2,904	2,041	1,899	1,874
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	24,653	25,260	25,795	27,226
ECEP in Excess of Revenue Bond Debt Service	1	4	11	12
Sales Tax in Excess of LGAC Bond Debt Service	3,481	3,694	3,942	4,122
Sales Tax in Excess of Revenue Bond Debt Service	2,945	2,751	2,848	2,877
Real Estate Taxes in Excess of CW/CA Debt Service	973	1,013	1,054	1,105
All Other	3,028	2,091	1,745	1,609
Total Receipts	77,283	78,674	80,942	84,828
Disbursements:				
Local Assistance	54,028	59,058	62,167	65,681
State Operations:				
Personal Service	9,075	9,568	9,561	9,772
Non-Personal Service	2,838	3,053	3,090	3,188
General State Charges	7,667	8,234	8,904	9,470
Transfers to Other Funds:				
Debt Service	546	689	588	556
Capital Projects	3,019	3,317	3,373	3,435
SUNY Operations	1,185	1,259	1,255	1,255
Other Purposes	1,186	1,282	1,464	1,615
Total Disbursements	79,544	86,460	90,402	94,972
Use (Reservation) of Fund Balance:				
Community Projects	35	0	0	0
Labor Agreements	206	0	0	0
Business Tax Refund	202	0	0	0
Rainy Day Reserves	(428)	0	0	0
Economic Uncertainties	(890)	0	0	0
Extraordinary Monetary Settlements	1,354	823	1,041	705
Total Use (Reservation) of Fund Balance	479	823	1,041	705
FY 2020 Savings Plan	(1,782)	(890)	(890)	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	(6,073)	(7,529)	(8,549)
Adherence to 2% Spending Benchmark	0	6,993	9,572	11,788
Net General Fund Surplus (Deficit)	0	920	2,043	3,239

CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)

	<u>FY 2019</u> <u>Results</u>	<u>FY 2020</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	9,445	7,206	(2,239)	-23.7%
Receipts:				
Taxes:				
Personal Income Tax	21,621	23,899	2,278	10.5%
Consumption/Use Taxes	7,681	8,209	528	6.9%
Business Taxes	5,501	6,077	576	10.5%
Other Taxes	1,086	1,113	27	2.5%
Miscellaneous Receipts	3,586	2,904	(682)	-19.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	21,346	24,653	3,307	15.5%
ECEP in Excess of Revenue Bond Debt Service	0	1	1	0.0%
Sales Tax in Excess of LGAC Bond Debt Service	3,113	3,481	368	11.8%
Sales Tax in Excess of Revenue Bond Debt Service	2,653	2,945	292	11.0%
Real Estate Taxes in Excess of CW/CA Debt Service	956	973	17	1.8%
All Other	3,001	3,028	27	0.9%
Total Receipts	70,544	77,283	6,739	9.6%
Disbursements:				
Local Assistance	49,745	54,028	4,283	8.6%
State Operations:				
Personal Service	8,719	9,075	356	4.1%
Non-Personal Service	2,622	2,838	216	8.2%
General State Charges	7,139	7,667	528	7.4%
Transfers to Other Funds:				
Debt Service	786	546	(240)	-30.5%
Capital Projects	1,888	3,019	1,131	59.9%
State Share of Mental Hygiene Medicaid	(29)	0	29	100.0%
SUNY Operations	1,020	1,185	165	16.2%
Other Purposes	893	1,186	293	32.8%
Total Disbursements	72,783	79,544	6,761	9.3%
FY 2020 Savings Plan	0	(1,782)	(1,782)	--
Excess (Deficiency) of Receipts Over Disbursements	(2,239)	(479)	1,760	78.6%
Closing Fund Balance	7,206	6,727	(479)	-6.6%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserves	790	1,218	428	
Contingency Reserve	21	21	0	
Community Projects	35	0	(35)	
Reserved For				
Labor Agreements	206	0	(206)	
Business Tax Refund	202	0	(202)	
Debt Management	500	500	0	
Economic Uncertainties	0	890	890	
Extraordinary Monetary Settlements	4,194	2,840	(1,354)	

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	FY 2020 First Quarter	Change	FY 2020 Mid-Year
Receipts:			
Taxes:			
Personal Income Tax	23,899	0	23,899
Consumption/Use Taxes	8,209	0	8,209
Business Taxes	6,077	0	6,077
Other Taxes	1,113	0	1,113
Miscellaneous Receipts	2,890	14	2,904
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	24,631	22	24,653
ECEP in Excess of Revenue Bond Debt Service	1	0	1
Sales Tax in Excess of LGAC Bond Debt Service	3,481	0	3,481
Sales Tax in Excess of Revenue Bond Debt Service	2,945	0	2,945
Real Estate Taxes in Excess of CW/CA Debt Service	973	0	973
All Other	2,900	128	3,028
Total Receipts	77,119	164	77,283
Disbursements:			
Local Assistance	52,091	1,937	54,028
State Operations:			
Personal Service	9,064	11	9,075
Non-Personal Service	2,870	(32)	2,838
General State Charges	7,687	(20)	7,667
Transfers to Other Funds:			
Debt Service	550	(4)	546
Capital Projects	3,191	(172)	3,019
SUNY Operations	1,185	0	1,185
Other Purposes	1,188	(2)	1,186
Total Disbursements	77,826	1,718	79,544
Use (Reservation) of Fund Balance:			
Community Projects	35	0	35
Labor Agreements	206	0	206
Business Tax Refund	202	0	202
Rainy Day Reserves	(428)	0	(428)
Economic Uncertainties	(862)	(28)	(890)
Extraordinary Monetary Settlements	1,554	(200)	1,354
Total Use (Reservation) of Fund Balance	707	(228)	479
FY 2020 Savings Plan	0	(1,782)	(1,782)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	0	0
Adherence to 2% Spending Benchmark	0	0	0
Net General Fund Surplus (Deficit)	0	0	0

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2021 First Quarter</u>	<u>Change</u>	<u>FY 2021 Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	25,615	0	25,615
Consumption/Use Taxes	8,558	0	8,558
Business Taxes	6,472	0	6,472
Other Taxes	1,175	0	1,175
Miscellaneous Receipts	2,049	(8)	2,041
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,064	196	25,260
ECEP in Excess of Revenue Bond Debt Service	4	0	4
Sales Tax in Excess of LGAC Bond Debt Service	3,694	0	3,694
Sales Tax in Excess of Revenue Bond Debt Service	2,697	54	2,751
Real Estate Taxes in Excess of CW/CA Debt Service	1,013	0	1,013
All Other	2,042	49	2,091
Total Receipts	<u>78,383</u>	<u>291</u>	<u>78,674</u>
Disbursements:			
Local Assistance	55,794	3,264	59,058
State Operations:			
Personal Service	9,569	(1)	9,568
Non-Personal Service	3,051	2	3,053
General State Charges	8,230	4	8,234
Transfers to Other Funds:			
Debt Service	738	(49)	689
Capital Projects	3,305	12	3,317
SUNY Operations	1,259	0	1,259
Other Purposes	1,282	0	1,282
Total Disbursements	<u>83,228</u>	<u>3,232</u>	<u>86,460</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	858	(35)	823
Total Use (Reservation) of Fund Balance	<u>858</u>	<u>(35)</u>	<u>823</u>
FY 2020 Savings Plan	0	(890)	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(3,987)</u>	<u>(2,086)</u>	<u>(6,073)</u>
Adherence to 2% Spending Benchmark	3,752	3,241	6,993
Net General Fund Surplus (Deficit)	(235)	1,155	920

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2022 First Quarter</u>	<u>Change</u>	<u>FY 2022 Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	27,039	0	27,039
Consumption/Use Taxes	8,817	0	8,817
Business Taxes	6,550	0	6,550
Other Taxes	1,242	0	1,242
Miscellaneous Receipts	1,892	7	1,899
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	25,755	40	25,795
ECEP in Excess of Revenue Bond Debt Service	11	0	11
Sales Tax in Excess of LGAC Bond Debt Service	3,942	0	3,942
Sales Tax in Excess of Revenue Bond Debt Service	2,848	0	2,848
Real Estate Taxes in Excess of CW/CA Debt Service	1,054	0	1,054
All Other	1,696	49	1,745
Total Receipts	<u>80,846</u>	<u>96</u>	<u>80,942</u>
Disbursements:			
Local Assistance	57,928	4,239	62,167
State Operations:			
Personal Service	9,547	14	9,561
Non-Personal Service	3,100	(10)	3,090
General State Charges	8,797	107	8,904
Transfers to Other Funds:			
Debt Service	637	(49)	588
Capital Projects	3,168	205	3,373
SUNY Operations	1,255	0	1,255
Other Purposes	1,464	0	1,464
Total Disbursements	<u>85,896</u>	<u>4,506</u>	<u>90,402</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	867	174	1,041
Total Use (Reservation) of Fund Balance	<u>867</u>	<u>174</u>	<u>1,041</u>
FY 2020 Savings Plan	0	(890)	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(4,183)</u>	<u>(3,346)</u>	<u>(7,529)</u>
Adherence to 2% Spending Benchmark	5,046	4,526	9,572
Net General Fund Surplus (Deficit)	863	1,180	2,043

**CASH FINANCIAL PLAN
GENERAL FUND
(millions of dollars)**

	<u>FY 2023 First Quarter</u>	<u>Change</u>	<u>FY 2023 Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	28,731	0	28,731
Consumption/Use Taxes	9,095	0	9,095
Business Taxes	6,871	0	6,871
Other Taxes	1,306	0	1,306
Miscellaneous Receipts	1,869	5	1,874
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	27,226	0	27,226
ECEP in Excess of Revenue Bond Debt Service	12	0	12
Sales Tax in Excess of LGAC Bond Debt Service	4,122	0	4,122
Sales Tax in Excess of Revenue Bond Debt Service	2,877	0	2,877
Real Estate Taxes in Excess of CW/CA Debt Service	1,105	0	1,105
All Other	1,560	49	1,609
Total Receipts	<u>84,774</u>	<u>54</u>	<u>84,828</u>
Disbursements:			
Local Assistance	60,969	4,712	65,681
State Operations:			
Personal Service	9,757	15	9,772
Non-Personal Service	3,199	(11)	3,188
General State Charges	9,356	114	9,470
Transfers to Other Funds:			
Debt Service	612	(56)	556
Capital Projects	3,486	(51)	3,435
SUNY Operations	1,255	0	1,255
Other Purposes	1,615	0	1,615
Total Disbursements	<u>90,249</u>	<u>4,723</u>	<u>94,972</u>
Use (Reservation) of Fund Balance:			
Extraordinary Monetary Settlements	793	(88)	705
Total Use (Reservation) of Fund Balance	<u>793</u>	<u>(88)</u>	<u>705</u>
FY 2020 Savings Plan	0	(890)	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(4,682)</u>	<u>(3,867)</u>	<u>(8,549)</u>
Adherence to 2% Spending Benchmark	6,736	5,052	11,788
Net General Fund Surplus (Deficit)	2,054	1,185	3,239

**CASH RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2020 Mid-Year	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Taxes:				
Withholdings	42,900	44,556	46,738	48,672
Estimated Payments	16,972	17,169	18,751	20,391
Final Payments	3,348	3,508	3,632	3,789
Other Payments	1,509	1,606	1,676	1,726
Gross Collections	64,729	66,839	70,797	74,578
State/City Offset	(1,299)	(1,424)	(1,549)	(1,674)
Refunds	(11,280)	(10,039)	(11,212)	(11,726)
Reported Tax Collections	52,150	55,376	58,036	61,178
STAR (Dedicated Deposits)	(2,176)	(2,073)	(1,979)	(1,858)
RBTF (Dedicated Transfers)	(26,075)	(27,688)	(29,018)	(30,589)
Personal Income Tax	23,899	25,615	27,039	28,731
Sales and Use Tax	15,136	15,786	16,320	16,890
Cigarette and Tobacco Taxes	310	296	285	275
Vapor Excise Tax	0	0	0	0
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	265	269	272	275
Opioid Excise Tax	66	100	100	100
Medical Cannabis Excise Tax	0	0	0	0
Adult Use Cannabis Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Consumption/Use Taxes	15,777	16,451	16,977	17,540
LGAC/STBF (Dedicated Transfers)	(7,568)	(7,893)	(8,160)	(8,445)
Consumption/Use Taxes	8,209	8,558	8,817	9,095
Corporation Franchise Tax	3,363	3,843	3,855	4,053
Corporation and Utilities Tax	557	537	543	552
Insurance Taxes	2,017	2,092	2,152	2,266
Bank Tax	140	0	0	0
Petroleum Business Tax	0	0	0	0
Business Taxes	6,077	6,472	6,550	6,871
Estate Tax	1,094	1,153	1,214	1,277
Real Estate Transfer Tax	1,148	1,183	1,219	1,263
Employer Compensation Expense Program	2	8	21	23
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	15	15	15	15
Other Taxes	3	3	3	3
Gross Other Taxes	2,262	2,362	2,472	2,581
Real Estate Transfer Tax (Dedicated)	(1,148)	(1,183)	(1,219)	(1,263)
RBTF (Dedicated Transfers)	(1)	(4)	(11)	(12)
Other Taxes	1,113	1,175	1,242	1,306
Payroll Tax	0	0	0	0
Total Taxes	39,298	41,820	43,648	46,003
Licenses, Fees, Etc.	694	678	678	678
Abandoned Property	450	450	450	450
Motor Vehicle Fees	285	280	210	202
ABC License Fee	66	62	68	64
Reimbursements	112	123	70	70
Investment Income	100	50	25	12
Extraordinary Settlements	787	0	0	0
Other Transactions	410	398	398	398
Miscellaneous Receipts	2,904	2,041	1,899	1,874
Federal Receipts	0	0	0	0
Total	42,202	43,861	45,547	47,877

**CURRENT STATE RECEIPTS
GENERAL FUND
(millions of dollars)**

	FY 2019 Results	FY 2020 Mid-Year	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	41,084	42,900	1,816	4.4%
Estimated Payments	14,010	16,972	2,962	21.1%
Final Payments	2,685	3,348	663	24.7%
Other Payments	1,396	1,509	113	8.1%
Gross Collections	59,175	64,729	5,554	9.4%
State/City Offset	(1,135)	(1,299)	(164)	-14.4%
Refunds	(9,952)	(11,280)	(1,328)	-13.3%
Reported Tax Collections	48,088	52,150	4,062	8.4%
STAR (Dedicated Deposits)	(2,423)	(2,176)	247	10.2%
RBTF (Dedicated Transfers)	(24,044)	(26,075)	(2,031)	-8.4%
Personal Income Tax	21,621	23,899	2,278	10.5%
Sales and Use Tax	14,165	15,136	971	6.9%
Cigarette and Tobacco Taxes	328	310	(18)	-5.5%
Vapor Excise Tax	0	0	0	0.0%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	262	265	3	1.1%
Opioid Excise Tax	0	66	66	0.0%
Medical Cannabis Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Consumption/Use Taxes	14,755	15,777	1,022	6.9%
LGAC/STBF (Dedicated Transfers)	(7,074)	(7,568)	(494)	-7.0%
Consumption/Use Taxes	7,681	8,209	528	6.9%
Corporation Franchise Tax	3,410	3,363	(47)	-1.4%
Corporation and Utilities Tax	495	557	62	12.5%
Insurance Taxes	1,638	2,017	379	23.1%
Bank Tax	(42)	140	182	433.3%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	5,501	6,077	576	10.5%
Estate Tax	1,068	1,094	26	2.4%
Real Estate Transfer Tax	1,135	1,148	13	1.1%
Employer Compensation Expense Program	0	2	2	0.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,221	2,262	41	1.8%
Real Estate Transfer Tax (Dedicated)	(1,135)	(1,148)	(13)	-1.1%
RBTF (Dedicated Transfers)	0	(1)	(1)	0.0%
Other Taxes	1,086	1,113	27	2.5%
Payroll Tax	0	0	0	0.0%
Total Taxes	35,889	39,298	3,409	9.5%
Licenses, Fees, Etc.	744	694	(50)	-6.7%
Abandoned Property	494	450	(44)	-8.9%
Motor Vehicle Fees	317	285	(32)	-10.1%
ABC License Fee	74	66	(8)	-10.8%
Reimbursements	161	112	(49)	-30.4%
Investment Income	134	100	(34)	-25.4%
Extraordinary Settlements	1,106	787	(319)	-28.8%
Other Transactions	556	410	(146)	-26.3%
Miscellaneous Receipts	3,586	2,904	(682)	-19.0%
Federal Receipts	0	0	0	0.0%
Total	39,475	42,202	2,727	6.9%

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	<u>9,445</u>	<u>4,009</u>	<u>153</u>	<u>13,607</u>
Receipts:				
Taxes	35,889	6,121	32,134	74,144
Miscellaneous Receipts	3,586	19,466	433	23,485
Federal Receipts	0	(1)	74	73
Total Receipts	<u>39,475</u>	<u>25,586</u>	<u>32,641</u>	<u>97,702</u>
Disbursements:				
Local Assistance	49,745	16,432	0	66,177
State Operations:				
Personal Service	8,719	4,968	0	13,687
Non-Personal Service	2,622	2,710	38	5,370
General State Charges	7,139	1,065	0	8,204
Debt Service	0	0	6,699	6,699
Capital Projects	0	0	0	0
Total Disbursements	<u>68,225</u>	<u>25,175</u>	<u>6,737</u>	<u>100,137</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	31,069	1,906	3,537	36,512
Transfers to Other Funds	(4,558)	(1,235)	(29,529)	(35,322)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>26,511</u>	<u>671</u>	<u>(25,992)</u>	<u>1,190</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(2,239)</u>	<u>1,082</u>	<u>(88)</u>	<u>(1,245)</u>
Closing Fund Balance	<u><u>7,206</u></u>	<u><u>5,091</u></u>	<u><u>65</u></u>	<u><u>12,362</u></u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	7,206	5,091	65	12,362
Receipts:				
Taxes	39,298	5,882	34,673	79,853
Miscellaneous Receipts	2,904	18,189	374	21,467
Federal Receipts	0	1	74	75
Total Receipts	42,202	24,072	35,121	101,395
Disbursements:				
Local Assistance	54,028	16,598	0	70,626
State Operations:				
Personal Service	9,075	5,217	0	14,292
Non-Personal Service	2,838	2,589	46	5,473
General State Charges	7,667	1,058	0	8,725
Debt Service	0	0	5,146	5,146
Capital Projects	0	0	0	0
Total Disbursements	73,608	25,462	5,192	104,262
Other Financing Sources (Uses):				
Transfers from Other Funds	35,081	2,373	3,683	41,137
Transfers to Other Funds	(5,936)	(1,309)	(33,619)	(40,864)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	29,145	1,064	(29,936)	273
FY 2020 Savings Plan	(1,782)	(327)	0	(2,109)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(479)	1	(7)	(485)
Closing Fund Balance	6,727	5,092	58	11,877

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	41,820	5,824	36,649	84,293
Miscellaneous Receipts	2,041	16,345	374	18,760
Federal Receipts	0	1	73	74
Total Receipts	43,861	22,170	37,096	103,127
Disbursements:				
Local Assistance	59,058	15,912	0	74,970
State Operations:				
Personal Service	9,568	5,133	0	14,701
Non-Personal Service	3,053	2,460	44	5,557
General State Charges	8,234	1,120	0	9,354
Debt Service	0	0	6,607	6,607
Capital Projects	0	0	0	0
Total Disbursements	79,913	24,625	6,651	111,189
Other Financing Sources (Uses):				
Transfers from Other Funds	34,813	2,538	3,572	40,923
Transfers to Other Funds	(6,547)	(584)	(34,024)	(41,155)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	28,266	1,954	(30,452)	(232)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	823	0	0	823
Total Use (Reservation) of Fund Balance	823	0	0	823
FY 2020 Savings Plan	(890)	0	0	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,073)	(501)	(7)	(6,581)
Adherence to 2% Spending Benchmark	6,993	0	0	6,993
Net Surplus (Deficit)	920	(501)	(7)	412

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2022
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	43,648	5,782	38,289	87,719
Miscellaneous Receipts	1,899	16,705	373	18,977
Federal Receipts	0	1	72	73
Total Receipts	45,547	22,488	38,734	106,769
Disbursements:				
Local Assistance	62,167	16,214	0	78,381
State Operations:				
Personal Service	9,561	5,157	0	14,718
Non-Personal Service	3,090	2,512	44	5,646
General State Charges	8,904	1,133	0	10,037
Debt Service	0	0	7,070	7,070
Capital Projects	0	0	0	0
Total Disbursements	83,722	25,016	7,114	115,852
Other Financing Sources (Uses):				
Transfers from Other Funds	35,395	2,712	3,277	41,384
Transfers to Other Funds	(6,680)	(253)	(34,892)	(41,825)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	28,715	2,459	(31,615)	(441)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	1,041	0	0	1,041
Total Use (Reservation) of Fund Balance	1,041	0	0	1,041
FY 2020 Savings Plan	(890)	0	0	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(7,529)	(69)	5	(7,593)
Adherence to 2% Spending Benchmark	9,572	0	0	9,572
Net Surplus (Deficit)	2,043	(69)	5	1,979

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2023
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	46,003	5,720	40,190	91,913
Miscellaneous Receipts	1,874	16,195	372	18,441
Federal Receipts	0	1	69	70
Total Receipts	47,877	21,916	40,631	110,424
Disbursements:				
Local Assistance	65,681	15,842	0	81,523
State Operations:				
Personal Service	9,772	5,235	0	15,007
Non-Personal Service	3,188	2,485	44	5,717
General State Charges	9,470	1,163	0	10,633
Debt Service	0	0	7,314	7,314
Capital Projects	0	0	0	0
Total Disbursements	88,111	24,725	7,358	120,194
Other Financing Sources (Uses):				
Transfers from Other Funds	36,951	2,866	3,233	43,050
Transfers to Other Funds	(6,861)	(173)	(36,500)	(43,534)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	30,090	2,693	(33,267)	(484)
Use (Reservation) of Fund Balance:				
Extraordinary Monetary Settlements	705	0	0	705
Total Use (Reservation) of Fund Balance	705	0	0	705
FY 2020 Savings Plan	(890)	0	0	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(8,549)	(116)	6	(8,659)
Adherence to 2% Spending Benchmark	11,788	0	0	11,788
Net Surplus (Deficit)	3,239	(116)	6	3,129

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
(millions of dollars)**

	FY 2019 Results	FY 2020 Mid-Year	Annual \$ Change	Annual % Change
Opening Fund Balance	13,607	12,362	(1,245)	-9.1%
Receipts:				
Taxes	74,144	79,853	5,709	7.7%
Miscellaneous Receipts	23,485	21,467	(2,018)	-8.6%
Federal Receipts	73	75	2	2.7%
Total Receipts	97,702	101,395	3,693	3.8%
Disbursements:				
Local Assistance	66,177	70,626	4,449	6.7%
State Operations:				
Personal Service	13,687	14,292	605	4.4%
Non-Personal Service	5,370	5,473	103	1.9%
General State Charges	8,204	8,725	521	6.4%
Debt Service	6,699	5,146	(1,553)	-23.2%
Capital Projects	0	0	0	0.0%
Total Disbursements	100,137	104,262	4,125	4.1%
Other Financing Sources (Uses):				
Transfers from Other Funds	36,512	41,137	4,625	12.7%
Transfers to Other Funds	(35,322)	(40,864)	(5,542)	-15.7%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	1,190	273	(917)	-77.1%
FY 2020 Savings Plan	0	(2,109)	(2,109)	--
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,245)	(485)	760	61.0%
Closing Fund Balance	12,362	11,877	(485)	-3.9%

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	9,445	4,302	(1,151)	153	12,749
Receipts:					
Taxes	35,889	6,121	1,434	32,134	75,578
Miscellaneous Receipts	3,586	19,668	7,497	433	31,184
Federal Receipts	0	58,920	2,350	74	61,344
Total Receipts	39,475	84,709	11,281	32,641	168,106
Disbursements:					
Local Assistance	49,745	72,453	5,234	0	127,432
State Operations:					
Personal Service	8,719	5,605	0	0	14,324
Non-Personal Service	2,622	4,104	0	38	6,764
General State Charges	7,139	1,485	0	0	8,624
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	0	7,032	0	7,032
Total Disbursements	68,225	83,647	12,266	6,737	170,875
Other Financing Sources (Uses):					
Transfers from Other Funds	31,069	1,906	2,219	3,537	38,731
Transfers to Other Funds	(4,558)	(3,428)	(1,354)	(29,529)	(38,869)
Bond and Note Proceeds	0	0	133	0	133
Net Other Financing Sources (Uses)	26,511	(1,522)	998	(25,992)	(5)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,239)	(460)	13	(88)	(2,774)
Closing Fund Balance	7,206	3,842	(1,138)	65	9,975

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,206	3,842	(1,138)	65	9,975
Receipts:					
Taxes	39,298	5,882	1,420	34,673	81,273
Miscellaneous Receipts	2,904	18,391	8,124	374	29,793
Federal Receipts	0	60,013	2,229	74	62,316
Total Receipts	42,202	84,286	11,773	35,121	173,382
Disbursements:					
Local Assistance	54,028	72,412	5,267	0	131,707
State Operations:					
Personal Service	9,075	5,851	0	0	14,926
Non-Personal Service	2,838	4,032	0	46	6,916
General State Charges	7,667	1,383	0	0	9,050
Debt Service	0	0	0	5,146	5,146
Capital Projects	0	0	8,545	0	8,545
Total Disbursements	73,608	83,678	13,812	5,192	176,290
Other Financing Sources (Uses):					
Transfers from Other Funds	35,081	2,385	3,360	3,683	44,509
Transfers to Other Funds	(5,936)	(3,503)	(1,597)	(33,619)	(44,655)
Bond and Note Proceeds	0	0	389	0	389
Net Other Financing Sources (Uses)	29,145	(1,118)	2,152	(29,936)	243
FY 2020 Savings Plan	(1,782)	(327)	0	0	(2,109)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(479)	(183)	113	(7)	(556)
Closing Fund Balance	6,727	3,659	(1,025)	58	9,419

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	41,820	5,824	1,393	36,649	85,686
Miscellaneous Receipts	2,041	16,550	7,196	374	26,161
Federal Receipts	0	61,218	2,187	73	63,478
Total Receipts	43,861	83,592	10,776	37,096	175,325
Disbursements:					
Local Assistance	59,058	73,170	5,370	0	137,598
State Operations:					
Personal Service	9,568	5,792	0	0	15,360
Non-Personal Service	3,053	3,848	0	44	6,945
General State Charges	8,234	1,467	0	0	9,701
Debt Service	0	0	0	6,607	6,607
Capital Projects	0	0	8,249	0	8,249
Total Disbursements	79,913	84,277	13,619	6,651	184,460
Other Financing Sources (Uses):					
Transfers from Other Funds	34,813	2,550	3,644	3,572	44,579
Transfers to Other Funds	(6,547)	(2,552)	(1,613)	(34,024)	(44,736)
Bond and Note Proceeds	0	0	800	0	800
Net Other Financing Sources (Uses)	28,266	(2)	2,831	(30,452)	643
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	823	0	0	0	823
Total Use (Reservation) of Fund Balance	823	0	0	0	823
FY 2020 Savings Plan	(890)	0	0	0	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,073)	(687)	(12)	(7)	(6,779)
Adherence to 2% Spending Benchmark	6,993	0	0	0	6,993
Net Surplus (Deficit)	920	(687)	(12)	(7)	214

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	43,648	5,782	1,386	38,289	89,105
Miscellaneous Receipts	1,899	16,910	6,189	373	25,371
Federal Receipts	0	65,560	2,187	72	67,819
Total Receipts	45,547	88,252	9,762	38,734	182,295
Disbursements:					
Local Assistance	62,167	77,542	4,920	0	144,629
State Operations:					
Personal Service	9,561	5,819	0	0	15,380
Non-Personal Service	3,090	3,916	0	44	7,050
General State Charges	8,904	1,503	0	0	10,407
Debt Service	0	0	0	7,070	7,070
Capital Projects	0	0	7,481	0	7,481
Total Disbursements	83,722	88,780	12,401	7,114	192,017
Other Financing Sources (Uses):					
Transfers from Other Funds	35,395	2,724	3,681	3,277	45,077
Transfers to Other Funds	(6,680)	(2,161)	(1,500)	(34,892)	(45,233)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	28,715	563	2,594	(31,615)	257
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,041	0	0	0	1,041
Total Use (Reservation) of Fund Balance	1,041	0	0	0	1,041
FY 2020 Savings Plan	(890)	0	0	0	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(7,529)	35	(45)	5	(7,534)
Adherence to 2% Spending Benchmark	9,572	0	0	0	9,572
Net Surplus (Deficit)	2,043	35	(45)	5	2,038

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	46,003	5,720	1,386	40,190	93,299
Miscellaneous Receipts	1,874	16,400	5,887	372	24,533
Federal Receipts	0	68,177	2,187	69	70,433
Total Receipts	47,877	90,297	9,460	40,631	188,265
Disbursements:					
Local Assistance	65,681	79,608	4,736	0	150,025
State Operations:					
Personal Service	9,772	5,900	0	0	15,672
Non-Personal Service	3,188	3,890	0	44	7,122
General State Charges	9,470	1,534	0	0	11,004
Debt Service	0	0	0	7,314	7,314
Capital Projects	0	0	7,224	0	7,224
Total Disbursements	88,111	90,932	11,960	7,358	198,361
Other Financing Sources (Uses):					
Transfers from Other Funds	36,951	2,878	3,736	3,233	46,798
Transfers to Other Funds	(6,861)	(1,967)	(1,621)	(36,500)	(46,949)
Bond and Note Proceeds	0	0	362	0	362
Net Other Financing Sources (Uses)	30,090	911	2,477	(33,267)	211
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	705	0	0	0	705
Total Use (Reservation) of Fund Balance	705	0	0	0	705
FY 2020 Savings Plan	(890)	0	0	0	(890)
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(8,549)	276	(23)	6	(8,290)
Adherence to 2% Spending Benchmark	11,788	0	0	0	11,788
Net Surplus (Deficit)	3,239	276	(23)	6	3,498

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
(millions of dollars)**

	FY 2019 Results	FY 2020 Mid-Year	Annual \$ Change	Annual % Change
Opening Fund Balance	12,749	9,975	(2,774)	-21.8%
Receipts:				
Taxes	75,578	81,273	5,695	7.5%
Miscellaneous Receipts	31,184	29,793	(1,391)	-4.5%
Federal Receipts	61,344	62,316	972	1.6%
Total Receipts	168,106	173,382	5,276	3.1%
Disbursements:				
Local Assistance	127,432	131,707	4,275	3.4%
State Operations:				
Personal Service	14,324	14,926	602	4.2%
Non-Personal Service	6,764	6,916	152	2.2%
General State Charges	8,624	9,050	426	4.9%
Debt Service	6,699	5,146	(1,553)	-23.2%
Capital Projects	7,032	8,545	1,513	21.5%
Total Disbursements	170,875	176,290	5,415	3.2%
Other Financing Sources (Uses):				
Transfers from Other Funds	38,731	44,509	5,778	14.9%
Transfers to Other Funds	(38,869)	(44,655)	(5,786)	-14.9%
Bond and Note Proceeds	133	389	256	192.5%
Net Other Financing Sources (Uses)	(5)	243	248	4960.0%
FY 2020 Savings Plan	0	(2,109)	(2,109)	--
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,774)	(556)	2,218	80.0%
Closing Fund Balance	9,975	9,419	(556)	-5.6%

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	41,084	0	0	0	41,084
Estimated Payments	14,010	0	0	0	14,010
Final Payments	2,685	0	0	0	2,685
Other Payments	1,396	0	0	0	1,396
Gross Collections	59,175	0	0	0	59,175
State/City Offset	(1,135)	0	0	0	(1,135)
Refunds	(9,952)	0	0	0	(9,952)
Reported Tax Collections	48,088	0	0	0	48,088
STAR (Dedicated Deposits)	(2,423)	2,423	0	0	0
RBTF (Dedicated Transfers)	(24,044)	0	0	24,044	0
Personal Income Tax	21,621	2,423	0	24,044	48,088
Sales and Use Tax	14,165	963	0	0	15,128
Cigarette and Tobacco Taxes	328	780	0	0	1,108
Vapor Excise Tax	0	0	0	0	0
Motor Fuel Tax	0	111	417	0	528
Alcoholic Beverage Taxes	262	0	0	0	262
Opioid Excise Tax	0	0	0	0	0
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	(2)	147	0	145
Auto Rental Tax	0	49	81	0	130
Taxicab Surcharge	0	52	0	0	52
Gross Consumption/Use Taxes	14,755	1,957	645	0	17,357
LGAC/STBF (Dedicated Transfers)	(7,074)	0	0	7,074	0
Consumption/Use Taxes	7,681	1,957	645	7,074	17,357
Corporation Franchise Tax	3,410	887	0	0	4,297
Corporation and Utilities Tax	495	162	15	0	672
Insurance Taxes	1,638	199	0	0	1,837
Bank Tax	(42)	(18)	0	0	(60)
Petroleum Business Tax	0	511	655	0	1,166
Business Taxes	5,501	1,741	670	0	7,912
Estate Tax	1,068	0	0	0	1,068
Real Estate Transfer Tax	1,135	0	0	0	1,135
Employer Compensation Expense Program	0	0	0	0	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,221	0	0	0	2,221
Real Estate Transfer Tax (Dedicated)	(1,135)	0	119	1,016	0
RBTF (Dedicated Transfers)	0	0	0	0	0
Other Taxes	1,086	0	119	1,016	2,221
Payroll Tax	0	0	0	0	0
Total Taxes	35,889	6,121	1,434	32,134	75,578
Licenses, Fees, Etc.	744	0	0	0	744
Abandoned Property	494	0	0	0	494
Motor Vehicle Fees	317	419	794	0	1,530
ABC License Fee	74	0	0	0	74
Reimbursements	161	0	0	0	161
Investment Income	134	0	0	0	134
Extraordinary Settlements	1,106	0	0	0	1,106
Other Transactions	556	19,249	6,703	433	26,941
Miscellaneous Receipts	3,586	19,668	7,497	433	31,184
Federal Receipts	0	58,920	2,350	74	61,344
Total	39,475	84,709	11,281	32,641	168,106

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,900	0	0	0	42,900
Estimated Payments	16,972	0	0	0	16,972
Final Payments	3,348	0	0	0	3,348
Other Payments	1,509	0	0	0	1,509
Gross Collections	64,729	0	0	0	64,729
State/City Offset	(1,299)	0	0	0	(1,299)
Refunds	(11,280)	0	0	0	(11,280)
Reported Tax Collections	52,150	0	0	0	52,150
STAR (Dedicated Deposits)	(2,176)	2,176	0	0	0
RBTF (Dedicated Transfers)	(26,075)	0	0	26,075	0
Personal Income Tax	23,899	2,176	0	26,075	52,150
Sales and Use Tax	15,136	1,022	0	0	16,158
Cigarette and Tobacco Taxes	310	731	0	0	1,041
Vapor Excise Tax	0	10	0	0	10
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	265	0	0	0	265
Opioid Excise Tax	66	0	0	0	66
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	141	0	141
Auto Rental Tax	0	20	88	0	108
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	15,777	1,895	636	0	18,308
LGAC/STBF (Dedicated Transfers)	(7,568)	0	0	7,568	0
Consumption/Use Taxes	8,209	1,895	636	7,568	18,308
Corporation Franchise Tax	3,363	882	0	0	4,245
Corporation and Utilities Tax	557	158	14	0	729
Insurance Taxes	2,017	234	0	0	2,251
Bank Tax	140	27	0	0	167
Petroleum Business Tax	0	510	651	0	1,161
Business Taxes	6,077	1,811	665	0	8,553
Estate Tax	1,094	0	0	0	1,094
Real Estate Transfer Tax	1,148	0	0	0	1,148
Employer Compensation Expense Program	2	0	0	0	2
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,262	0	0	0	2,262
Real Estate Transfer Tax (Dedicated)	(1,148)	0	119	1,029	0
RBTF (Dedicated Transfers)	(1)	0	0	1	0
Other Taxes	1,113	0	119	1,030	2,262
Payroll Tax	0	0	0	0	0
Total Taxes	39,298	5,882	1,420	34,673	81,273
Licenses, Fees, Etc.	694	0	0	0	694
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	285	229	794	0	1,308
ABC License Fee	66	0	0	0	66
Reimbursements	112	0	0	0	112
Investment Income	100	0	0	0	100
Extraordinary Settlements	787	0	0	0	787
Other Transactions	410	18,162	7,330	374	26,276
Miscellaneous Receipts	2,904	18,391	8,124	374	29,793
Federal Receipts	0	60,013	2,229	74	62,316
Total	42,202	84,286	11,773	35,121	173,382

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	44,556	0	0	0	44,556
Estimated Payments	17,169	0	0	0	17,169
Final Payments	3,508	0	0	0	3,508
Other Payments	1,606	0	0	0	1,606
Gross Collections	66,839	0	0	0	66,839
State/City Offset	(1,424)	0	0	0	(1,424)
Refunds	(10,039)	0	0	0	(10,039)
Reported Tax Collections	55,376	0	0	0	55,376
STAR (Dedicated Deposits)	(2,073)	2,073	0	0	0
RBTF (Dedicated Transfers)	(27,688)	0	0	27,688	0
Personal Income Tax	25,615	2,073	0	27,688	55,376
Sales and Use Tax	15,786	1,063	0	0	16,849
Cigarette and Tobacco Taxes	296	685	0	0	981
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	269	0	0	0	269
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	143	0	143
Auto Rental Tax	0	26	88	0	114
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	16,451	1,925	638	0	19,014
LGAC/STBF (Dedicated Transfers)	(7,893)	0	0	7,893	0
Consumption/Use Taxes	8,558	1,925	638	7,893	19,014
Corporation Franchise Tax	3,843	932	0	0	4,775
Corporation and Utilities Tax	537	155	14	0	706
Insurance Taxes	2,092	252	0	0	2,344
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	487	622	0	1,109
Business Taxes	6,472	1,826	636	0	8,934
Estate Tax	1,153	0	0	0	1,153
Real Estate Transfer Tax	1,183	0	0	0	1,183
Employer Compensation Expense Program	8	0	0	0	8
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,362	0	0	0	2,362
Real Estate Transfer Tax (Dedicated)	(1,183)	0	119	1,064	0
RBTF (Dedicated Transfers)	(4)	0	0	4	0
Other Taxes	1,175	0	119	1,068	2,362
Payroll Tax	0	0	0	0	0
Total Taxes	41,820	5,824	1,393	36,649	85,686
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	280	229	794	0	1,303
ABC License Fee	62	0	0	0	62
Reimbursements	123	0	0	0	123
Investment Income	50	0	0	0	50
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	16,321	6,402	374	23,495
Miscellaneous Receipts	2,041	16,550	7,196	374	26,161
Federal Receipts	0	61,218	2,187	73	63,478
Total	43,861	83,592	10,776	37,096	175,325

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2022
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	46,738	0	0	0	46,738
Estimated Payments	18,751	0	0	0	18,751
Final Payments	3,632	0	0	0	3,632
Other Payments	1,676	0	0	0	1,676
Gross Collections	70,797	0	0	0	70,797
State/City Offset	(1,549)	0	0	0	(1,549)
Refunds	(11,212)	0	0	0	(11,212)
Reported Tax Collections	58,036	0	0	0	58,036
STAR (Dedicated Deposits)	(1,979)	1,979	0	0	0
RBTF (Dedicated Transfers)	(29,018)	0	0	29,018	0
Personal Income Tax	27,039	1,979	0	29,018	58,036
Sales and Use Tax	16,320	1,099	0	0	17,419
Cigarette and Tobacco Taxes	285	651	0	0	936
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	272	0	0	0	272
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	1	144	0	145
Auto Rental Tax	0	27	91	0	118
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	16,977	1,929	642	0	19,548
LGAC/STBF (Dedicated Transfers)	(8,160)	0	0	8,160	0
Consumption/Use Taxes	8,817	1,929	642	8,160	19,548
Corporation Franchise Tax	3,855	978	0	0	4,833
Corporation and Utilities Tax	543	156	14	0	713
Insurance Taxes	2,152	261	0	0	2,413
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	479	611	0	1,090
Business Taxes	6,550	1,874	625	0	9,049
Estate Tax	1,214	0	0	0	1,214
Real Estate Transfer Tax	1,219	0	0	0	1,219
Employer Compensation Expense Program	21	0	0	0	21
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,472	0	0	0	2,472
Real Estate Transfer Tax (Dedicated)	(1,219)	0	119	1,100	0
RBTF (Dedicated Transfers)	(11)	0	0	11	0
Other Taxes	1,242	0	119	1,111	2,472
Payroll Tax	0	0	0	0	0
Total Taxes	43,648	5,782	1,386	38,289	89,105
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	210	229	795	0	1,234
ABC License Fee	68	0	0	0	68
Reimbursements	70	0	0	0	70
Investment Income	25	0	0	0	25
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	16,681	5,394	373	22,846
Miscellaneous Receipts	1,899	16,910	6,189	373	25,371
Federal Receipts	0	65,560	2,187	72	67,819
Total	45,547	88,252	9,762	38,734	182,295

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2023
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	48,672	0	0	0	48,672
Estimated Payments	20,391	0	0	0	20,391
Final Payments	3,789	0	0	0	3,789
Other Payments	1,726	0	0	0	1,726
Gross Collections	74,578	0	0	0	74,578
State/City Offset	(1,674)	0	0	0	(1,674)
Refunds	(11,726)	0	0	0	(11,726)
Reported Tax Collections	61,178	0	0	0	61,178
STAR (Dedicated Deposits)	(1,858)	1,858	0	0	0
RBTF (Dedicated Transfers)	(30,589)	0	0	30,589	0
Personal Income Tax	28,731	1,858	0	30,589	61,178
Sales and Use Tax	16,890	1,137	0	0	18,027
Cigarette and Tobacco Taxes	275	620	0	0	895
Vapor Excise Tax	0	39	0	0	39
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	275	0	0	0	275
Opioid Excise Tax	100	0	0	0	100
Medical Cannabis Excise Tax	0	4	0	0	4
Adult Use Cannabis Tax	0	0	0	0	0
Highway Use Tax	0	0	146	0	146
Auto Rental Tax	0	28	94	0	122
Taxicab Surcharge	0	0	0	0	0
Gross Consumption/Use Taxes	17,540	1,936	647	0	20,123
LGAC/STBF (Dedicated Transfers)	(8,445)	0	0	8,445	0
Consumption/Use Taxes	9,095	1,936	647	8,445	20,123
Corporation Franchise Tax	4,053	1,024	0	0	5,077
Corporation and Utilities Tax	552	158	14	0	724
Insurance Taxes	2,266	275	0	0	2,541
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	469	606	0	1,075
Business Taxes	6,871	1,926	620	0	9,417
Estate Tax	1,277	0	0	0	1,277
Real Estate Transfer Tax	1,263	0	0	0	1,263
Employer Compensation Expense Program	23	0	0	0	23
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	15	0	0	0	15
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,581	0	0	0	2,581
Real Estate Transfer Tax (Dedicated)	(1,263)	0	119	1,144	0
RBTF (Dedicated Transfers)	(12)	0	0	12	0
Other Taxes	1,306	0	119	1,156	2,581
Payroll Tax	0	0	0	0	0
Total Taxes	46,003	5,720	1,386	40,190	93,299
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	202	229	788	0	1,219
ABC License Fee	64	0	0	0	64
Reimbursements	70	0	0	0	70
Investment Income	12	0	0	0	12
Extraordinary Settlements	0	0	0	0	0
Other Transactions	398	16,171	5,099	372	22,040
Miscellaneous Receipts	1,874	16,400	5,887	372	24,533
Federal Receipts	0	68,177	2,187	69	70,433
Total	47,877	90,297	9,460	40,631	188,265

STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
(millions of dollars)

	FY 2019 Results	FY 2020 Mid-Year	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	41,084	42,900	1,816	4.4%
Estimated Payments	14,010	16,972	2,962	21.1%
Final Payments	2,685	3,348	663	24.7%
Other Payments	1,396	1,509	113	8.1%
Gross Collections	59,175	64,729	5,554	9.4%
State/City Offset	(1,135)	(1,299)	(164)	-14.4%
Refunds	(9,952)	(11,280)	(1,328)	-13.3%
Reported Tax Collections	48,088	52,150	4,062	8.4%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	48,088	52,150	4,062	8.4%
Sales and Use Tax	15,128	16,158	1,030	6.8%
Cigarette and Tobacco Taxes	1,108	1,041	(67)	-6.0%
Vapor Excise Tax	0	10	10	0.0%
Motor Fuel Tax	528	515	(13)	-2.5%
Alcoholic Beverage Taxes	262	265	3	1.1%
Opioid Excise Tax	0	66	66	0.0%
Medical Cannabis Excise Tax	4	4	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Highway Use Tax	145	141	(4)	-2.8%
Auto Rental Tax	130	108	(22)	-16.9%
Taxicab Surcharge	52	0	(52)	-100.0%
Gross Consumption/Use Taxes	17,357	18,308	951	5.5%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	17,357	18,308	951	5.5%
Corporation Franchise Tax	4,297	4,245	(52)	-1.2%
Corporation and Utilities Tax	672	729	57	8.5%
Insurance Taxes	1,837	2,251	414	22.5%
Bank Tax	(60)	167	227	378.3%
Petroleum Business Tax	1,166	1,161	(5)	-0.4%
Business Taxes	7,912	8,553	641	8.1%
Estate Tax	1,068	1,094	26	2.4%
Real Estate Transfer Tax	1,135	1,148	13	1.1%
Employer Compensation Expense Program	0	2	2	0.0%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	15	15	0	0.0%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,221	2,262	41	1.8%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Other Taxes	2,221	2,262	41	1.8%
Payroll Tax	0	0	0	0.0%
Total Taxes	75,578	81,273	5,695	7.5%
Licenses, Fees, Etc.	744	694	(50)	-6.7%
Abandoned Property	494	450	(44)	-8.9%
Motor Vehicle Fees	1,530	1,308	(222)	-14.5%
ABC License Fee	74	66	(8)	-10.8%
Reimbursements	161	112	(49)	-30.4%
Investment Income	134	100	(34)	-25.4%
Extraordinary Settlements	1,106	787	(319)	-28.8%
Other Transactions	26,941	26,276	(665)	-2.5%
Miscellaneous Receipts	31,184	29,793	(1,391)	-4.5%
Federal Receipts	61,344	62,316	972	1.6%
Total	168,106	173,382	5,276	3.1%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,009	293	4,302
Receipts:			
Taxes	6,121	0	6,121
Miscellaneous Receipts	19,466	202	19,668
Federal Receipts	(1)	58,921	58,920
Total Receipts	25,586	59,123	84,709
Disbursements:			
Local Assistance	16,432	56,021	72,453
State Operations:			
Personal Service	4,968	637	5,605
Non-Personal Service	2,710	1,394	4,104
General State Charges	1,065	420	1,485
Capital Projects	0	0	0
Total Disbursements	25,175	58,472	83,647
Other Financing Sources (Uses):			
Transfers from Other Funds	1,906	0	1,906
Transfers to Other Funds	(1,235)	(2,193)	(3,428)
Net Other Financing Sources (Uses)	671	(2,193)	(1,522)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,082	(1,542)	(460)
Closing Fund Balance	5,091	(1,249)	3,842

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	5,091	(1,249)	3,842
Receipts:			
Taxes	5,882	0	5,882
Miscellaneous Receipts	18,189	202	18,391
Federal Receipts	1	60,012	60,013
Total Receipts	24,072	60,214	84,286
Disbursements:			
Local Assistance	16,598	55,814	72,412
State Operations:			
Personal Service	5,217	634	5,851
Non-Personal Service	2,589	1,443	4,032
General State Charges	1,058	325	1,383
Capital Projects	0	0	0
Total Disbursements	25,462	58,216	83,678
Other Financing Sources (Uses):			
Transfers from Other Funds	2,373	12	2,385
Transfers to Other Funds	(1,309)	(2,194)	(3,503)
Net Other Financing Sources (Uses)	1,064	(2,182)	(1,118)
FY 2020 Savings Plan	(327)	0	(327)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1	(184)	(183)
Closing Fund Balance	5,092	(1,433)	3,659

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	5,092	(1,433)	3,659
Receipts:			
Taxes	5,824	0	5,824
Miscellaneous Receipts	16,345	205	16,550
Federal Receipts	1	61,217	61,218
Total Receipts	22,170	61,422	83,592
Disbursements:			
Local Assistance	15,912	57,258	73,170
State Operations:			
Personal Service	5,133	659	5,792
Non-Personal Service	2,460	1,388	3,848
General State Charges	1,120	347	1,467
Capital Projects	0	0	0
Total Disbursements	24,625	59,652	84,277
Other Financing Sources (Uses):			
Transfers from Other Funds	2,538	12	2,550
Transfers to Other Funds	(584)	(1,968)	(2,552)
Net Other Financing Sources (Uses)	1,954	(1,956)	(2)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(501)	(186)	(687)
Closing Fund Balance	4,591	(1,619)	2,972

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,591	(1,619)	2,972
Receipts:			
Taxes	5,782	0	5,782
Miscellaneous Receipts	16,705	205	16,910
Federal Receipts	1	65,559	65,560
Total Receipts	22,488	65,764	88,252
Disbursements:			
Local Assistance	16,214	61,328	77,542
State Operations:			
Personal Service	5,157	662	5,819
Non-Personal Service	2,512	1,404	3,916
General State Charges	1,133	370	1,503
Capital Projects	0	0	0
Total Disbursements	25,016	63,764	88,780
Other Financing Sources (Uses):			
Transfers from Other Funds	2,712	12	2,724
Transfers to Other Funds	(253)	(1,908)	(2,161)
Net Other Financing Sources (Uses)	2,459	(1,896)	563
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(69)	104	35
Closing Fund Balance	4,522	(1,515)	3,007

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	4,522	(1,515)	3,007
Receipts:			
Taxes	5,720	0	5,720
Miscellaneous Receipts	16,195	205	16,400
Federal Receipts	1	68,176	68,177
Total Receipts	21,916	68,381	90,297
Disbursements:			
Local Assistance	15,842	63,766	79,608
State Operations:			
Personal Service	5,235	665	5,900
Non-Personal Service	2,485	1,405	3,890
General State Charges	1,163	371	1,534
Capital Projects	0	0	0
Total Disbursements	24,725	66,207	90,932
Other Financing Sources (Uses):			
Transfers from Other Funds	2,866	12	2,878
Transfers to Other Funds	(173)	(1,794)	(1,967)
Net Other Financing Sources (Uses)	2,693	(1,782)	911
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(116)	392	276
Closing Fund Balance	4,406	(1,123)	3,283

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
(millions of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	4,302	3,842	(460)	-10.7%
Receipts:				
Taxes	6,121	5,882	(239)	-3.9%
Miscellaneous Receipts	19,668	18,391	(1,277)	-6.5%
Federal Receipts	58,920	60,013	1,093	1.9%
Total Receipts	84,709	84,286	(423)	-0.5%
Disbursements:				
Local Assistance	72,453	72,412	(41)	-0.1%
State Operations:				
Personal Service	5,605	5,851	246	4.4%
Non-Personal Service	4,104	4,032	(72)	-1.8%
General State Charges	1,485	1,383	(102)	-6.9%
Debt Service	0	0	0	0.0%
Capital Projects	0	0	0	0.0%
Total Disbursements	83,647	83,678	31	0.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	1,906	2,385	479	25.1%
Transfers to Other Funds	(3,428)	(3,503)	(75)	-2.2%
Net Other Financing Sources (Uses)	(1,522)	(1,118)	404	26.5%
FY 2020 Savings Plan	0	(327)	(327)	--
Excess (Deficiency) of Receipts and Other	(460)	(183)	277	60.2%
Closing Fund Balance	3,842	3,659	(183)	-4.8%

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2020 Mid-Year	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Income Tax	2,176	2,073	1,979	1,858
Consumption/Use Taxes	1,895	1,925	1,929	1,936
Sales and Use Tax	1,022	1,063	1,099	1,137
Cigarette and Tobacco Taxes	731	685	651	620
Vapor Excise Tax	10	39	39	39
Motor Fuel Tax	108	108	108	108
Highway Use Tax	0	0	1	0
Medical Cannabis Excise Tax	4	4	4	4
Adult Use Cannabis Tax	0	0	0	0
Auto Rental Tax	20	26	27	28
Taxicab Surcharge	0	0	0	0
Business Taxes	1,811	1,826	1,874	1,926
Corporation Franchise Tax	882	932	978	1,024
Corporation and Utilities Tax	158	155	156	158
Insurance Taxes	234	252	261	275
Bank Tax	27	0	0	0
Petroleum Business Tax	510	487	479	469
Payroll Tax	0	0	0	0
Total Taxes	5,882	5,824	5,782	5,720
Miscellaneous Receipts	18,391	16,550	16,910	16,400
HCRA	5,517	5,502	5,593	5,685
State University Income	4,723	4,960	5,140	5,372
Lottery	3,457	3,467	3,467	3,468
Medicaid	931	936	962	989
Industry Assessments	690	709	703	703
Motor Vehicle Fees	229	229	229	229
All Other	2,844	747	816	(46)
Federal Receipts	60,013	61,218	65,560	68,177
Total	84,286	83,592	88,252	90,297

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
(millions of dollars)**

	FY 2019 Results	FY 2020 Mid-Year	Annual \$ Change	Annual % Change
Personal Income Tax	2,423	2,176	(247)	-10.2%
Consumption/Use Taxes	1,957	1,895	(62)	-3.2%
Sales and Use Tax	963	1,022	59	6.1%
Cigarette and Tobacco Taxes	780	731	(49)	-6.3%
Vapor Excise Tax	0	10	10	0.0%
Motor Fuel Tax	111	108	(3)	-2.7%
Highway Use Tax	(2)	0	2	100.0%
Medical Cannabis Excise Tax	4	4	0	0.0%
Adult Use Cannabis Tax	0	0	0	0.0%
Auto Rental Tax	49	20	(29)	-59.2%
Taxicab Surcharge	52	0	(52)	-100.0%
Business Taxes	1,741	1,811	70	4.0%
Corporation Franchise Tax	887	882	(5)	-0.6%
Corporation and Utilities Tax	162	158	(4)	-2.5%
Insurance Taxes	199	234	35	17.6%
Bank Tax	(18)	27	45	250.0%
Petroleum Business Tax	511	510	(1)	-0.2%
Payroll Tax	0	0	0	0.0%
Total Taxes	6,121	5,882	(239)	-3.9%
Miscellaneous Receipts	19,668	18,391	(1,277)	-6.5%
HCRA	5,180	5,517	337	6.5%
State University Income	4,778	4,723	(55)	-1.2%
Lottery	3,519	3,457	(62)	-1.8%
Medicaid	886	931	45	5.1%
Industry Assessments	707	690	(17)	-2.4%
Motor Vehicle Fees	419	229	(190)	-45.3%
All Other	4,179	2,844	(1,335)	-31.9%
Federal Receipts	58,920	60,013	1,093	1.9%
Total	84,709	84,286	(423)	-0.5%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(568)	(583)	(1,151)
Receipts:			
Taxes	1,434	0	1,434
Miscellaneous Receipts	7,496	1	7,497
Federal Receipts	5	2,345	2,350
Total Receipts	8,935	2,346	11,281
Disbursements:			
Local Assistance	4,516	718	5,234
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,806	1,226	7,032
Total Disbursements	10,322	1,944	12,266
Other Financing Sources (Uses):			
Transfers from Other Funds	2,543	(324)	2,219
Transfers to Other Funds	(1,354)	0	(1,354)
Bond and Note Proceeds	133	0	133
Net Other Financing Sources (Uses)	1,322	(324)	998
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(65)	78	13
Closing Fund Balance	(633)	(505)	(1,138)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(633)	(505)	(1,138)
Receipts:			
Taxes	1,420	0	1,420
Miscellaneous Receipts	8,124	0	8,124
Federal Receipts	5	2,224	2,229
Total Receipts	9,549	2,224	11,773
Disbursements:			
Local Assistance	4,561	706	5,267
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,450	1,095	8,545
Total Disbursements	12,011	1,801	13,812
Other Financing Sources (Uses):			
Transfers from Other Funds	3,755	(395)	3,360
Transfers to Other Funds	(1,586)	(11)	(1,597)
Bond and Note Proceeds	389	0	389
Net Other Financing Sources (Uses)	2,558	(406)	2,152
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	96	17	113
Closing Fund Balance	(537)	(488)	(1,025)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(537)	(488)	(1,025)
Receipts:			
Taxes	1,393	0	1,393
Miscellaneous Receipts	7,196	0	7,196
Federal Receipts	5	2,182	2,187
Total Receipts	8,594	2,182	10,776
Disbursements:			
Local Assistance	4,664	706	5,370
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,202	1,047	8,249
Total Disbursements	11,866	1,753	13,619
Other Financing Sources (Uses):			
Transfers from Other Funds	4,046	(402)	3,644
Transfers to Other Funds	(1,601)	(12)	(1,613)
Bond and Note Proceeds	800	0	800
Net Other Financing Sources (Uses)	3,245	(414)	2,831
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(27)	15	(12)
Closing Fund Balance	(564)	(473)	(1,037)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2022
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(564)	(473)	(1,037)
Receipts:			
Taxes	1,386	0	1,386
Miscellaneous Receipts	6,189	0	6,189
Federal Receipts	5	2,182	2,187
Total Receipts	7,580	2,182	9,762
Disbursements:			
Local Assistance	4,214	706	4,920
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,434	1,047	7,481
Total Disbursements	10,648	1,753	12,401
Other Financing Sources (Uses):			
Transfers from Other Funds	4,083	(402)	3,681
Transfers to Other Funds	(1,489)	(11)	(1,500)
Bond and Note Proceeds	413	0	413
Net Other Financing Sources (Uses)	3,007	(413)	2,594
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(61)	16	(45)
Closing Fund Balance	(625)	(457)	(1,082)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2023
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(625)	(457)	(1,082)
Receipts:			
Taxes	1,386	0	1,386
Miscellaneous Receipts	5,887	0	5,887
Federal Receipts	5	2,182	2,187
Total Receipts	7,278	2,182	9,460
Disbursements:			
Local Assistance	4,030	706	4,736
State Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,177	1,047	7,224
Total Disbursements	10,207	1,753	11,960
Other Financing Sources (Uses):			
Transfers from Other Funds	4,138	(402)	3,736
Transfers to Other Funds	(1,610)	(11)	(1,621)
Bond and Note Proceeds	362	0	362
Net Other Financing Sources (Uses)	2,890	(413)	2,477
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(39)	16	(23)
Closing Fund Balance	(664)	(441)	(1,105)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	<u>FY 2019 Results</u>	<u>FY 2020 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>(1,151)</u>	<u>(1,138)</u>	<u>13</u>	<u>1.1%</u>
Receipts:				
Taxes	1,434	1,420	(14)	-1.0%
Miscellaneous Receipts	7,497	8,124	627	8.4%
Federal Receipts	2,350	2,229	(121)	-5.1%
Total Receipts	<u>11,281</u>	<u>11,773</u>	<u>492</u>	<u>4.4%</u>
Disbursements:				
Local Assistance	5,234	5,267	33	0.6%
State Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	7,032	8,545	1,513	21.5%
Total Disbursements	<u>12,266</u>	<u>13,812</u>	<u>1,546</u>	<u>12.6%</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	2,219	3,360	1,141	51.4%
Transfers to Other Funds	(1,354)	(1,597)	(243)	-17.9%
Bond and Note Proceeds	133	389	256	192.5%
Net Other Financing Sources (Uses)	<u>998</u>	<u>2,152</u>	<u>1,154</u>	<u>115.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>13</u>	<u>113</u>	<u>100</u>	<u>769.2%</u>
Closing Fund Balance	<u>(1,138)</u>	<u>(1,025)</u>	<u>113</u>	<u>9.9%</u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2020 Mid-Year	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Consumption/Use Taxes	636	638	642	647
Motor Fuel Tax	407	407	407	407
Highway Use Tax	141	143	144	146
Auto Rental Tax	88	88	91	94
Business Taxes	665	636	625	620
Corporation and Utilities Tax	14	14	14	14
Petroleum Business Tax	651	622	611	606
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,420	1,393	1,386	1,386
Miscellaneous Receipts	8,124	7,196	6,189	5,887
Authority Bond Proceeds	6,505	6,178	5,158	4,864
State Park Fees	159	124	124	124
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	794	794	795	788
All Other	589	23	35	34
Federal Receipts	2,229	2,187	2,187	2,187
Total	11,773	10,776	9,762	9,460

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
(millions of dollars)**

	FY 2019 Results	FY 2020 Mid-Year	Annual \$ Change	Annual % Change
Consumption/Use Taxes	645	636	(9)	-1.4%
Motor Fuel Tax	417	407	(10)	-2.4%
Highway Use Tax	147	141	(6)	-4.1%
Auto Rental Tax	81	88	7	8.6%
Business Taxes	670	665	(5)	-0.7%
Corporation and Utilities Tax	15	14	(1)	-6.7%
Petroleum Business Tax	655	651	(4)	-0.6%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,434	1,420	(14)	-1.0%
Miscellaneous Receipts	7,497	8,124	627	8.4%
Authority Bond Proceeds	6,494	6,505	11	0.2%
State Park Fees	109	159	50	45.9%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	794	794	0	0.0%
All Other	23	589	566	2460.9%
Federal Receipts	2,350	2,229	(121)	-5.1%
Total	11,281	11,773	492	4.4%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	FY 2019 Results	FY 2020 Mid-Year	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	7	5	5	5	5
Empire State Development Corporation	0	0	0	0	0
Functional Total	7	5	5	5	5
TRANSPORTATION					
Transportation, Department of	0	0	0	0	0
Functional Total	0	0	0	0	0
MENTAL HEALTH					
Mental Health, Office of	130	116	122	136	147
People with Developmental Disabilities, Office for	15	35	35	35	35
Alcoholism and Substance Abuse Services, Office of	5	11	11	8	10
Functional Total	150	162	168	179	192
EDUCATION					
Education School Aid	9	15	15	15	17
Functional Total	9	15	15	15	17
HIGHER EDUCATION					
City University of New York	285	376	376	376	376
State University of New York	62	0	0	0	0
Functional Total	347	376	376	376	376
ALL OTHER					
Judiciary	4	1	0	0	0
Functional Total	4	1	0	0	0
TOTAL CAPITAL OFF-BUDGET SPENDING	517	559	564	575	590

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2020 Mid-Year	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Income Tax	26,075	27,688	29,018	30,589
Consumption/Use Taxes	7,568	7,893	8,160	8,445
Sales and Use Tax	7,568	7,893	8,160	8,445
Other Taxes	1,030	1,068	1,111	1,156
Real Estate Transfer Tax	1,029	1,064	1,100	1,144
Employer Compensation Expense Program	1	4	11	12
Total Taxes	34,673	36,649	38,289	40,190
Miscellaneous Receipts	374	374	373	372
Mental Hygiene Patient Receipts	226	226	226	226
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	4	4	3	2
Federal Receipts	74	73	72	69
Total	35,121	37,096	38,734	40,631

**CASH RECEIPTS
DEBT SERVICE FUNDS
(millions of dollars)**

	FY 2019 Results	FY 2020 Mid-Year	Annual \$ Change	Annual % Change
Personal Income Tax	24,044	26,075	2,031	8.4%
Consumption/Use Taxes	7,074	7,568	494	7.0%
Sales and Use Tax	7,074	7,568	494	7.0%
Other Taxes	1,016	1,030	14	1.4%
Real Estate Transfer Tax	1,016	1,029	13	1.3%
Employer Compensation Expense Program	0	1	1	0.0%
Total Taxes	32,134	34,673	2,539	7.9%
Miscellaneous Receipts	433	374	(59)	-13.6%
Mental Hygiene Patient Receipts	284	226	(58)	-20.4%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	145	144	(1)	-0.7%
All Other	4	4	0	0.0%
Federal Receipts	74	74	0	0.0%
Total	32,641	35,121	2,480	7.6%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	9,445	4,009	(568)	153	13,039
Receipts:					
Taxes	35,889	6,121	1,434	32,134	75,578
Miscellaneous Receipts	3,586	19,466	7,496	433	30,981
Federal Receipts	0	(1)	5	74	78
Total Receipts	39,475	25,586	8,935	32,641	106,637
Disbursements:					
Local Assistance	49,745	16,432	4,516	0	70,693
State Operations:					
Personal Service	8,719	4,968	0	0	13,687
Non-Personal Service	2,622	2,710	0	38	5,370
General State Charges	7,139	1,065	0	0	8,204
Debt Service	0	0	0	6,699	6,699
Capital Projects	0	0	5,806	0	5,806
Total Disbursements	68,225	25,175	10,322	6,737	110,459
Other Financing Sources (Uses):					
Transfers from Other Funds	31,069	1,906	2,543	3,537	39,055
Transfers to Other Funds	(4,558)	(1,235)	(1,354)	(29,529)	(36,676)
Bond and Note Proceeds	0	0	133	0	133
Net Other Financing Sources (Uses)	26,511	671	1,322	(25,992)	2,512
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(2,239)	1,082	(65)	(88)	(1,310)
Closing Fund Balance	7,206	5,091	(633)	65	11,729

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,206	5,091	(633)	65	11,729
Receipts:					
Taxes	39,298	5,882	1,420	34,673	81,273
Miscellaneous Receipts	2,904	18,189	8,124	374	29,591
Federal Receipts	0	1	5	74	80
Total Receipts	<u>42,202</u>	<u>24,072</u>	<u>9,549</u>	<u>35,121</u>	<u>110,944</u>
Disbursements:					
Local Assistance	54,028	16,598	4,561	0	75,187
State Operations:					
Personal Service	9,075	5,217	0	0	14,292
Non-Personal Service	2,838	2,589	0	46	5,473
General State Charges	7,667	1,058	0	0	8,725
Debt Service	0	0	0	5,146	5,146
Capital Projects	0	0	7,450	0	7,450
Total Disbursements	<u>73,608</u>	<u>25,462</u>	<u>12,011</u>	<u>5,192</u>	<u>116,273</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	35,081	2,373	3,755	3,683	44,892
Transfers to Other Funds	(5,936)	(1,309)	(1,586)	(33,619)	(42,450)
Bond and Note Proceeds	0	0	389	0	389
Net Other Financing Sources (Uses)	<u>29,145</u>	<u>1,064</u>	<u>2,558</u>	<u>(29,936)</u>	<u>2,831</u>
FY 2020 Savings Plan	(1,782)	(327)	0	0	(2,109)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(479)</u>	<u>1</u>	<u>96</u>	<u>(7)</u>	<u>(389)</u>
Closing Fund Balance	<u>6,727</u>	<u>5,092</u>	<u>(537)</u>	<u>58</u>	<u>11,340</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	41,820	5,824	1,393	36,649	85,686
Miscellaneous Receipts	2,041	16,345	7,196	374	25,956
Federal Receipts	0	1	5	73	79
Total Receipts	43,861	22,170	8,594	37,096	111,721
Disbursements:					
Local Assistance	59,058	15,912	4,664	0	79,634
State Operations:					
Personal Service	9,568	5,133	0	0	14,701
Non-Personal Service	3,053	2,460	0	44	5,557
General State Charges	8,234	1,120	0	0	9,354
Debt Service	0	0	0	6,607	6,607
Capital Projects	0	0	7,202	0	7,202
Total Disbursements	79,913	24,625	11,866	6,651	123,055
Other Financing Sources (Uses):					
Transfers from Other Funds	34,813	2,538	4,046	3,572	44,969
Transfers to Other Funds	(6,547)	(584)	(1,601)	(34,024)	(42,756)
Bond and Note Proceeds	0	0	800	0	800
Net Other Financing Sources (Uses)	28,266	1,954	3,245	(30,452)	3,013
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	823				
Total Use (Reservation) of Fund Balance	823				
FY 2020 Savings Plan	(890)				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(6,073)				
Adherence to 2% Spending Benchmark	6,993				
Net General Fund Surplus (Deficit)	920				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2022
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	43,648	5,782	1,386	38,289	89,105
Miscellaneous Receipts	1,899	16,705	6,189	373	25,166
Federal Receipts	0	1	5	72	78
Total Receipts	45,547	22,488	7,580	38,734	114,349
Disbursements:					
Local Assistance	62,167	16,214	4,214	0	82,595
State Operations:					
Personal Service	9,561	5,157	0	0	14,718
Non-Personal Service	3,090	2,512	0	44	5,646
General State Charges	8,904	1,133	0	0	10,037
Debt Service	0	0	0	7,070	7,070
Capital Projects	0	0	6,434	0	6,434
Total Disbursements	83,722	25,016	10,648	7,114	126,500
Other Financing Sources (Uses):					
Transfers from Other Funds	35,395	2,712	4,083	3,277	45,467
Transfers to Other Funds	(6,680)	(253)	(1,489)	(34,892)	(43,314)
Bond and Note Proceeds	0	0	413	0	413
Net Other Financing Sources (Uses)	28,715	2,459	3,007	(31,615)	2,566
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	1,041				
Total Use (Reservation) of Fund Balance	1,041				
FY 2020 Savings Plan	(890)				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(7,529)				
Adherence to 2% Spending Benchmark	9,572				
Net General Fund Surplus (Deficit)	2,043				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2023
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	46,003	5,720	1,386	40,190	93,299
Miscellaneous Receipts	1,874	16,195	5,887	372	24,328
Federal Receipts	0	1	5	69	75
Total Receipts	47,877	21,916	7,278	40,631	117,702
Disbursements:					
Local Assistance	65,681	15,842	4,030	0	85,553
State Operations:					
Personal Service	9,772	5,235	0	0	15,007
Non-Personal Service	3,188	2,485	0	44	5,717
General State Charges	9,470	1,163	0	0	10,633
Debt Service	0	0	0	7,314	7,314
Capital Projects	0	0	6,177	0	6,177
Total Disbursements	88,111	24,725	10,207	7,358	130,401
Other Financing Sources (Uses):					
Transfers from Other Funds	36,951	2,866	4,138	3,233	47,188
Transfers to Other Funds	(6,861)	(173)	(1,610)	(36,500)	(45,144)
Bond and Note Proceeds	0	0	362	0	362
Net Other Financing Sources (Uses)	30,090	2,693	2,890	(33,267)	2,406
Use (Reservation) of Fund Balance:					
Extraordinary Monetary Settlements	705				
Total Use (Reservation) of Fund Balance	705				
FY 2020 Savings Plan	(890)				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(8,549)				
Adherence to 2% Spending Benchmark	11,788				
Net General Fund Surplus (Deficit)	3,239				

**CASH FINANCIAL PLAN
STATE FUNDS
(millions of dollars)**

	FY 2019 Results	FY 2020 Mid-Year	Annual \$ Change	Annual % Change
Opening Fund Balance	13,039	11,729	(1,310)	-10.0%
Receipts:				
Taxes	75,578	81,273	5,695	7.5%
Miscellaneous Receipts	30,981	29,591	(1,390)	-4.5%
Federal Receipts	78	80	2	2.6%
Total Receipts	106,637	110,944	4,307	4.0%
Disbursements:				
Local Assistance	70,693	75,187	4,494	6.4%
State Operations:				
Personal Service	13,687	14,292	605	4.4%
Non-Personal Service	5,370	5,473	103	1.9%
General State Charges	8,204	8,725	521	6.4%
Debt Service	6,699	5,146	(1,553)	-23.2%
Capital Projects	5,806	7,450	1,644	28.3%
Total Disbursements	110,459	116,273	5,814	5.3%
Other Financing Sources (Uses):				
Transfers from Other Funds	39,055	44,892	5,837	14.9%
Transfers to Other Funds	(36,676)	(42,450)	(5,774)	-15.7%
Bond and Note Proceeds	133	389	256	192.5%
Net Other Financing Sources (Uses)	2,512	2,831	319	12.7%
FY 2020 Savings Plan	0	(2,109)	(2,109)	--
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,310)	(389)	921	70.3%
Closing Fund Balance	11,729	11,340	(389)	-3.3%

CASHFLOW
STATE OPERATING FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	13,607	15,387	10,769	12,337	13,257	12,327	12,615	13,074	12,443	12,892	18,253	19,500		13,607
RECEIPTS:														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,612	3,386	3,560	0	48,088
Consumption/Use Taxes	1,233	1,257	1,718	1,323	1,300	1,659	1,292	1,304	1,677	1,372	1,129	1,448	0	16,712
Business Taxes	518	36	243	243	1,261	1,230	155	44	1,438	282	(63)	2,017	0	7,242
Other Taxes	139	174	160	187	184	206	176	207	225	198	137	109	0	2,102
Total Taxes	7,746	3,579	8,090	4,653	4,611	7,892	4,137	3,743	7,446	10,464	4,589	7,134	0	74,144
Abandoned Property	1	0	0	0	0	10	45	250	9	0	6	158	0	494
ABC License Fee	6	7	7	7	5	5	7	4	9	6	6	5	0	74
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
Investment Income	17	10	11	7	9	11	4	8	16	9	16	22	0	134
Licenses, Fees, etc.	51	43	58	62	63	36	60	101	63	41	76	86	0	744
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medicaid	77	70	77	72	72	78	74	77	84	81	75	48	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	54	87	0	736
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(14)	47	8	0	161
State University Income	291	357	289	290	448	682	397	374	160	563	680	267	0	4,778
Extraordinary Settlements	108	74	205	16	0	0	40	558	19	0	87	(1)	0	1,106
Other Transactions	455	348	294	1,414	304	455	391	318	378	492	257	567	0	5,673
Total Miscellaneous Receipts	1,788	1,649	1,804	2,594	1,746	2,041	1,840	2,591	1,484	2,028	2,029	1,891	0	23,485
Federal Receipts	(2)	(1)	0	2	35	0	0	0	1	1	37	0	0	73
TOTAL RECEIPTS	9,532	5,227	9,894	7,249	6,392	9,933	6,037	6,334	8,931	12,493	6,655	9,025	0	97,702
DISBURSEMENTS:														
School Aid	1,113	3,526	1,892	371	648	3,700	1,019	1,652	2,109	735	862	8,776	0	26,403
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	0	2,980
All Other Education	42	46	52	301	464	33	80	22	487	41	106	469	0	2,143
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medicaid - DOH	1,804	2,465	1,839	1,468	2,690	1,551	1,828	2,069	1,704	1,595	1,673	(654)	0	20,032
Public Health	103	229	136	215	116	83	103	105	116	73	139	165	0	1,583
Mental Hygiene	149	36	323	149	42	330	152	49	287	114	172	346	0	2,149
Children and Families	12	36	41	102	109	216	150	63	220	44	76	590	0	1,659
Temporary & Disability Assistance	67	95	98	106	100	164	112	67	94	64	62	110	0	1,139
Transportation	236	446	366	333	370	325	263	465	778	61	124	170	0	3,937
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	0	763
All Other	40	92	107	62	109	50	55	67	43	84	96	161	0	966
Total Local Assistance	3,591	7,025	5,509	4,263	4,709	6,731	3,806	4,602	6,317	5,201	3,360	11,063	0	66,177
Personal Service	1,051	1,400	1,061	1,061	1,212	1,026	1,403	1,093	1,111	1,177	1,051	1,041	0	13,687
Non-Personal Service	348	531	473	368	521	480	480	406	374	467	521	457	0	5,370
Total State Operations	1,399	1,931	1,534	1,429	1,733	1,450	1,883	1,499	1,485	1,644	1,572	1,498	0	19,057
General State Charges	2,827	445	509	396	360	513	619	550	450	534	476	525	0	8,204
Debt Service	64	126	166	26	96	831	48	47	348	17	729	4,201	0	6,699
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,881	9,527	7,718	6,114	6,898	9,525	6,356	6,698	8,600	7,396	6,137	17,287	0	100,137
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,147	2,122	4,083	2,586	2,015	3,801	2,298	2,009	3,112	4,110	1,392	5,454	(617)	36,512
Transfers to other funds	(4,018)	(2,440)	(4,691)	(2,801)	(2,439)	(3,921)	(1,520)	(2,276)	(2,994)	(3,846)	(663)	(4,330)	617	(35,322)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	129	(318)	(608)	(215)	(424)	(120)	778	(267)	118	264	729	1,124	0	1,190
Excess/(Deficiency) of Receipts over Disbursements	1,780	(4,618)	1,568	920	(930)	288	459	(631)	449	5,361	1,247	(7,138)	0	(1,245)
CLOSING BALANCE	15,387	10,769	12,337	13,257	12,327	12,615	13,074	12,443	12,892	18,253	19,500	12,362	0	12,362

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2019**
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,749	14,013	8,995	11,166	11,703	10,669	11,334	11,181	10,516	12,423	17,387	18,392		12,749
RECEIPTS:														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,612	3,386	3,560	0	48,088
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,353	1,339	1,353	1,748	1,418	1,170	1,502	0	17,357
Business Taxes	585	3	1,321	299	1,321	1,290	208	100	1,492	339	(9)	2,077	0	7,912
Other Taxes	139	174	1,72	1,99	1,96	218	188	219	236	210	149	121	0	2,221
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,309	3,860	7,582	10,579	4,696	7,260	0	75,578
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	0	494
ABC License Fee	6	7	7	7	5	4	7	4	9	6	6	5	0	74
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
Investment Income	17	10	11	11	9	11	4	10	9	8	16	22	0	134
Licenses, Fees, etc.	51	43	58	62	67	36	60	101	63	41	76	86	0	744
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medical	77	70	77	72	73	78	74	77	84	81	75	48	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	54	87	0	736
Reimbursements	(17)	(54)	106	(5)	23	26	(74)	90	25	(14)	47	8	0	161
State University Income	291	357	269	290	448	682	397	374	160	563	680	267	0	4,778
Extraordinary Settlements	108	74	205	16	0	558	40	58	19	0	87	(1)	0	1,106
Other Transactions	876	525	420	1,732	418	573	1,749	427	1,661	1,371	1,433	2,187	0	13,372
Total Miscellaneous Receipts	2,209	1,826	1,930	2,912	1,860	2,159	3,198	2,700	2,767	2,907	3,205	3,511	0	31,184
Federal Receipts	3,616	4,915	5,452	4,088	6,477	6,347	4,741	4,978	6,033	4,787	5,229	4,681	0	61,344
TOTAL RECEIPTS	13,682	10,409	15,610	11,773	13,062	16,547	12,248	11,538	16,382	18,273	13,130	15,452	0	168,106
DISBURSEMENTS:														
School Aid	1,434	4,094	2,107	641	784	3,777	1,149	1,805	2,274	1,070	1,049	9,084	0	29,268
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	0	2,980
All Other Education	107	98	189	387	702	103	149	49	584	92	156	646	0	3,262
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medical - DOH	4,778	6,180	5,595	4,399	6,894	5,699	5,626	5,567	5,171	5,204	5,777	2,981	0	63,871
Public Health	238	394	368	408	256	524	295	308	377	224	356	477	0	4,225
Mental Hygiene	166	62	346	173	55	173	176	64	303	132	197	371	0	2,392
Children and Families	48	95	85	114	341	392	179	331	62	85	80	709	0	2,521
Temporary & Disability Assistance	277	220	279	449	944	284	503	320	594	277	203	736	0	5,086
Transportation	294	489	723	378	473	530	361	559	1,113	142	401	374	0	5,837
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	0	763
All Other	332	438	144	478	281	256	513	502	272	707	364	517	0	4,804
Total Local Assistance	7,699	12,124	10,491	8,583	10,791	12,191	8,995	9,279	11,498	10,323	8,633	16,825	0	127,432
Personal Service	1,102	1,470	1,123	1,107	1,260	1,077	1,472	1,141	1,160	1,223	1,098	1,091	0	14,324
Non-Personal Service	418	622	563	429	652	429	585	479	453	594	710	630	0	6,764
Total State Operations	1,520	2,092	1,686	1,536	1,912	1,706	2,057	1,620	1,613	1,817	1,808	1,721	0	21,088
General State Charges	2,866	473	518	418	484	541	646	576	478	571	501	552	0	8,624
Debt Service	64	126	166	26	96	831	48	47	348	17	729	4,201	0	6,699
Capital Projects	361	591	522	673	761	603	688	679	533	557	451	613	0	7,032
TOTAL DISBURSEMENTS	12,510	15,406	13,383	11,236	14,044	15,872	12,434	12,201	14,470	13,285	12,122	23,912	0	170,875
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,714	2,538	3,117	4,063	969	5,416	(617)	38,731
Transfers to other funds	(4,111)	(2,616)	(4,987)	(2,900)	(2,694)	(4,270)	(1,681)	(2,540)	(3,122)	(4,087)	(972)	(5,506)	617	(38,869)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	133	0	133
NET OTHER FINANCING SOURCES (USES)	92	(21)	(56)	0	(52)	(10)	33	(2)	(5)	(24)	(3)	43	0	(5)
Excess/(Deficiency) of Receipts over Disbursements	1,264	(5,018)	2,171	537	(1,034)	665	(153)	(665)	1,907	4,964	1,005	(8,417)	0	(2,774)
CLOSING BALANCE	14,013	8,995	11,166	11,703	10,669	11,334	11,181	10,516	12,423	17,387	18,392	9,975	0	9,975

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2019**
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
RECEIPTS:														
Personal Income Tax	4,302	4,970	4,749	5,653	6,012	5,493	5,547	6,017	6,174	6,097				4,302
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
Business Taxes	186	151	195	175	166	184	187	152	167	125	13	112	0	1,957
Other Taxes	172	84	241	75	59	238	0	58	255	88	45	357	0	1,741
Total Taxes	358	235	436	250	225	422	225	217	509	259	170	482	0	6,121
HGRA	456	404	474	436	423	446	423	506	371	451	423	359	0	5,180
State University Income	291	357	290	290	397	448	397	374	160	563	680	267	0	4,778
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medicaid	77	70	77	72	74	78	74	77	84	81	75	48	0	886
Motor Vehicle Fees	38	42	34	42	37	30	37	38	33	32	33	31	0	419
Other Transactions	401	248	303	1,312	322	355	322	275	287	427	221	461	0	4,886
Total Miscellaneous Receipts	1,538	1,444	1,413	2,403	1,666	1,850	1,666	1,524	1,196	1,895	1,710	1,451	0	19,668
Federal Receipts	3,557	4,861	5,310	3,773	4,562	6,095	4,562	4,771	5,831	4,449	5,072	4,471	0	58,920
TOTAL RECEIPTS	5,453	6,540	7,159	6,426	6,453	8,367	6,453	6,512	7,536	8,935	6,952	6,404	0	84,709
DISBURSEMENTS:														
School Aid	314	556	531	254	131	2,148	256	281	295	468	314	532	0	6,080
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	50	136	87	217	70	70	26	97	51	49	175	0	1,092
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medicaid - DOH	3,255	4,256	4,214	3,266	4,917	4,491	4,413	3,943	3,927	4,150	4,671	4,028	0	49,531
Public Health	182	191	319	307	170	488	214	251	300	181	299	387	0	3,289
Mental Hygiene	12	21	9	16	6	11	20	12	11	12	11	18	0	159
Children and Families	36	60	44	12	232	176	29	0	111	41	4	121	0	866
Temporary & Disability Assistance	210	125	181	343	844	104	391	253	484	213	141	611	0	3,900
Transportation	192	380	310	288	343	330	265	443	770	65	113	171	0	3,670
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	63	176	112	162	112	98	209	321	90	111	132	36	0	1,443
Total Local Assistance	4,328	5,815	5,677	4,735	6,972	7,916	5,867	5,537	6,152	7,628	5,734	6,092	0	72,453
Personal Service	434	624	439	382	422	417	645	477	474	415	441	435	0	5,605
Non-Personal Service	253	339	335	246	411	417	366	290	287	361	427	372	0	4,104
Total State Operations	687	963	774	628	833	834	1,011	767	761	776	868	807	0	9,709
General State Charges	159	105	48	99	239	106	104	175	103	119	87	141	0	1,485
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,174	6,883	6,499	5,462	8,044	8,856	6,982	6,479	7,016	8,523	6,689	7,040	0	83,647
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	382	286	591	218	136	80	123	291	88	55	34	239	(617)	1,906
Transfers to Other Funds	7	(164)	(347)	(52)	(270)	(156)	(113)	(270)	(138)	(310)	(374)	(1,858)	617	(3,428)
NET OTHER FINANCING SOURCES/(USES)	389	122	244	166	(134)	(76)	10	21	(50)	(255)	(340)	(1,619)	0	(1,522)
Excess/(Deficiency) of Receipts over Disbursements	668	(221)	904	1,130	(206)	(565)	(519)	54	470	157	(77)	(2,255)	0	(460)
CLOSING BALANCE	4,970	4,749	5,653	6,783	6,577	6,012	5,493	5,547	6,017	6,174	6,097	3,842	0	3,842

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,009	5,103	5,119	5,600	7,063	7,026	5,831	5,850	5,909	5,411	5,953	6,115		4,009
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Consumption/Use Taxes	186	151	195	175	157	184	166	152	187	167	125	112	0	1,957
Business Taxes	172	84	241	75	69	238	59	58	255	88	45	357	0	1,741
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	358	235	436	250	226	422	225	217	509	2,591	170	482	0	6,121
HGRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
State University Income	291	357	269	290	448	682	397	374	160	563	680	267	0	4,778
Lottery	275	323	256	251	322	259	413	235	261	341	278	285	0	3,519
Medical	77	70	72	77	78	77	74	84	84	81	75	48	0	886
Motor Vehicle Fees	38	42	34	42	29	30	37	38	33	32	33	31	0	419
Other Transactions	387	200	293	1,299	254	344	305	257	276	414	205	450	0	4,684
Total Miscellaneous Receipts	1,524	1,396	1,403	2,390	1,557	1,839	1,649	1,507	1,185	1,882	1,694	1,440	0	19,466
Federal Receipts	(2)	(1)	0	0	0	0	0	0	1	0	1	0	0	(1)
TOTAL RECEIPTS	1,880	1,630	1,839	2,640	1,783	2,261	1,874	1,724	1,695	4,473	1,865	1,922	0	25,586
DISBURSEMENTS:														
School Aid	0	0	326	0	0	2,083	136	136	136	136	136	234	0	3,323
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	1	1	1	1	2	0	1	1	0	1	0	9
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medical - DOH	281	541	458	335	713	343	615	445	460	541	567	393	0	5,692
Public Health	64	38	98	166	38	61	60	59	91	49	103	93	0	920
Mental Hygiene	(1)	0	0	0	(1)	0	1	0	1	0	0	1	0	1
Children and Families	0	1	0	0	0	0	0	1	0	0	0	2	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	190	377	305	286	338	325	263	441	767	61	111	170	0	3,634
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	49	23	27	40	17	18	47	22	46	27	88	0	426
Total Local Assistance	556	1,006	1,211	815	1,129	2,830	1,095	1,136	1,545	3,170	944	995	0	16,432
Personal Service	383	554	377	336	374	366	576	429	425	369	394	385	0	4,968
Non-Personal Service	183	248	245	185	280	212	261	217	208	234	238	199	0	2,710
Total State Operations	566	802	622	521	654	578	837	646	633	603	632	584	0	7,678
General State Charges	120	77	39	77	115	78	77	149	75	82	62	114	0	1,065
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	1,242	1,885	1,872	1,413	1,898	3,486	2,009	1,931	2,253	3,855	1,638	1,693	0	25,175
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	382	286	591	218	136	80	123	291	88	55	34	239	(617)	1,906
Transfers to Other Funds	74	(15)	(77)	18	(58)	(50)	31	(25)	(28)	(131)	(99)	(1,492)	617	(1,235)
NET OTHER FINANCING SOURCES/(USES)	456	271	514	236	78	30	154	266	60	(76)	(65)	(1,253)	0	671
Excess/(Deficiency) of Receipts over Disbursements	1,094	16	481	1,463	(37)	(1,195)	19	59	(498)	542	162	(1,024)	0	1,082
CLOSING BALANCE	5,103	5,119	5,600	7,063	7,026	5,831	5,850	5,909	5,411	5,953	6,115	5,091	0	5,091

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2019
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Total
OPENING BALANCE	293	(133)	(370)	53	(280)	(449)	181	(357)	(362)	606	221	(18)	293
RECEIPTS:													
Miscellaneous Receipts	14	48	10	13	21	11	17	17	11	13	16	11	202
Federal Receipts	3,559	4,862	5,310	3,773	6,168	6,095	4,562	4,771	5,830	4,449	5,071	4,471	58,921
TOTAL RECEIPTS	3,573	4,910	5,320	3,786	6,189	6,106	4,579	4,788	5,841	4,462	5,087	4,482	59,123
DISBURSEMENTS:													
School Aid	314	556	205	254	131	65	120	145	159	332	178	298	2,757
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	64	50	135	86	216	69	68	26	96	50	49	174	1,083
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,974	3,715	3,756	2,931	4,204	4,148	3,798	3,498	3,467	3,609	4,104	3,635	43,839
Public Health	118	153	221	141	132	427	154	192	209	132	196	294	2,369
Mental Hygiene	13	21	9	16	7	11	19	12	10	12	11	17	158
Children and Families	36	59	44	12	232	41	29	(1)	111	41	4	119	862
Temporary & Disability Assistance	210	125	181	343	844	104	391	253	484	213	141	611	3,900
Transportation	2	3	5	2	5	5	2	2	3	4	2	1	36
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	41	127	(90)	135	72	81	191	274	68	65	105	(52)	1,017
Total Local Assistance	3,772	4,809	4,466	3,920	5,843	5,086	4,772	4,401	4,607	4,458	4,790	5,097	56,021
Personal Service	51	70	62	46	48	51	69	48	49	46	47	50	637
Non-Personal Service	70	91	90	61	131	205	105	73	79	127	189	173	1,394
Total State Operations	121	161	152	107	179	256	174	121	128	173	236	223	2,031
General State Charges	39	28	9	22	124	28	27	26	28	37	25	27	420
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,932	4,998	4,627	4,049	6,146	5,370	4,973	4,548	4,763	4,668	5,051	5,347	58,472
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(67)	(149)	(270)	(70)	(212)	(106)	(144)	(245)	(110)	(179)	(275)	(366)	(2,193)
NET OTHER FINANCING SOURCES/(USES)	(67)	(149)	(270)	(70)	(212)	(106)	(144)	(245)	(110)	(179)	(275)	(366)	(2,193)
Excess/(Deficiency) of Receipts over Disbursements	(426)	(237)	(423)	(333)	(169)	630	(538)	(5)	968	(385)	(239)	(1,231)	(1,542)
CLOSING BALANCE	(133)	(370)	53	(280)	(449)	181	(357)	(362)	606	221	(18)	(1,249)	(1,249)

**CASHFLOW
DEBT SERVICE FUNDS
FY 2019**
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Total
OPENING BALANCE	153	346	520	424	777	1,187	333	658	1,102	831	2,832	3,704	153
RECEIPTS:													
Personal Income Tax	2,928	1,092	2,475	1,450	1,487	2,399	1,287	1,094	2,053	4,306	1,693	1,780	24,044
Consumption/Use Taxes	503	526	724	550	548	710	538	550	721	574	485	645	7,074
Other Taxes	87	92	89	88	110	83	76	80	97	80	80	54	1,016
Total Taxes	3,518	1,710	3,288	2,088	2,145	3,192	1,901	1,724	2,871	4,960	2,258	2,479	32,134
Miscellaneous Receipts	44	48	18	63	24	19	34	12	21	61	40	49	433
Federal Receipts	0	0	0	2	35	0	0	0	0	1	36	0	74
TOTAL RECEIPTS	3,562	1,758	3,306	2,153	2,204	3,211	1,935	1,736	2,892	5,022	2,334	2,528	32,641
DISBURSEMENTS:													
Slate Operations	1	1	7	1	13	2	0	1	1	1	2	8	38
Debt Service	64	126	166	26	96	831	48	47	348	17	729	4,201	6,699
TOTAL DISBURSEMENTS	65	127	173	27	109	833	48	48	349	18	731	4,209	6,737
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	226	188	135	301	101	211	312	224	105	461	291	982	3,537
Transfers to Other Funds	(3,550)	(1,645)	(3,364)	(2,074)	(1,786)	(3,443)	(1,874)	(1,468)	(2,919)	(3,464)	(4,022)	(2,940)	(29,529)
NET OTHER FINANCING SOURCES/(USES)	(3,304)	(1,457)	(3,229)	(1,773)	(1,685)	(3,232)	(1,562)	(1,244)	(2,814)	(3,003)	(731)	(1,958)	(25,992)
Excess/(Deficiency) of Receipts over Disbursements	193	174	(96)	353	410	(854)	325	444	(271)	2,001	872	(3,639)	(88)
CLOSING BALANCE	346	520	424	777	1,187	333	658	1,102	831	2,832	3,704	65	65

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2019
(dollars in millions)**

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Total
OPENING BALANCE	(1,151)	(1,241)	(1,404)	(1,224)	(1,274)	(1,209)	(1,462)	(1,536)	(1,565)	(1,075)	(1,087)	(1,090)	(1,151)
RECEIPTS:													
Consumption/Use Taxes	44	50	66	52	48	77	47	49	71	46	41	54	645
Business Taxes	67	39	60	56	54	60	53	56	54	57	54	60	670
Other Taxes	0	0	12	12	12	12	12	12	11	12	12	12	119
Total Taxes	111	89	138	120	114	149	112	117	136	115	107	126	1,434
Miscellaneous Receipts	407	129	116	305	93	107	1,341	92	1,272	866	1,160	1,609	7,497
Federal Receipts	59	54	142	313	274	252	179	207	202	337	121	210	2,350
TOTAL RECEIPTS	577	272	396	738	481	508	1,632	416	1,610	1,318	1,388	1,945	11,281
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	2	0	22	1	1	1	1	1	1	3	36
Public Health	17	12	11	52	8	14	38	11	52	19	21	18	273
Mental Hygiene	4	5	14	8	6	6	5	3	6	6	14	8	85
School Aid	7	12	10	16	5	12	10	8	6	3	9	10	108
Temporary & Disability Assistance	0	0	0	0	0	16	0	0	16	0	0	15	47
Transportation	56	40	352	43	98	200	96	92	332	77	275	203	1,864
All Other Local	251	219	127	281	100	125	267	161	161	558	163	408	2,821
Total Local Assistance	336	290	516	400	239	374	417	276	574	664	483	665	5,234
Economic Development	10	13	18	12	45	27	9	38	3	11	3	13	202
Parks & the Environment	27	47	52	55	51	40	53	40	45	48	45	82	585
Transportation	208	316	228	344	389	335	381	336	302	262	252	223	3,576
Health & Social Welfare	7	14	11	7	14	13	7	5	8	5	16	28	135
Mental Hygiene	22	32	38	33	32	24	37	23	27	24	22	29	343
Public Protection	19	34	32	47	48	48	45	39	45	45	37	65	504
Education	45	76	61	124	109	62	75	102	57	120	50	99	980
All Other	23	59	82	51	73	54	81	96	46	42	26	74	707
Total Capital Projects	361	591	522	673	761	603	688	679	533	557	451	613	7,032
TOTAL DISBURSEMENTS	697	881	1,038	1,073	1,000	977	1,105	955	1,107	1,221	934	1,278	12,266
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	56	473	848	314	627	459	(584)	529	5	(47)	(423)	(38)	2,219
Transfers to Other Funds	(26)	(27)	(26)	(29)	(43)	(243)	(17)	(19)	(18)	(62)	(34)	(810)	(1,354)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	133	133
NET OTHER FINANCING SOURCES/(USES)	30	446	822	285	584	216	(601)	510	(13)	(109)	(457)	(715)	998
Excess/(Deficiency) of Receipts over Disbursements	(90)	(163)	180	(50)	65	(253)	(74)	(29)	490	(12)	(3)	(48)	13
CLOSING BALANCE	(1,241)	(1,404)	(1,224)	(1,274)	(1,209)	(1,462)	(1,536)	(1,565)	(1,075)	(1,087)	(1,090)	(1,138)	(1,138)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2019
(dollars in millions)**

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Total
OPENING BALANCE	(568)	(610)	(702)	(532)	(748)	(771)	(1,103)	(981)	(1,056)	(609)	(633)	(523)	(568)
RECEIPTS:													
Consumption/Use Taxes	44	50	66	52	48	77	47	49	71	46	41	54	645
Business Taxes	67	39	60	56	54	60	53	56	54	57	54	60	670
Other Taxes	0	0	12	12	12	12	12	12	11	12	12	12	119
Total Taxes	111	89	138	120	114	149	112	117	136	115	107	126	1,434
Miscellaneous Receipts	407	129	116	305	93	107	1,341	91	1,272	866	1,160	1,609	7,496
Federal Receipts	0	0	0	0	0	2	1	0	0	0	0	2	5
TOTAL RECEIPTS	518	218	254	425	207	258	1,454	208	1,408	981	1,267	1,737	8,935
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	2	2	0	22	1	1	1	1	1	1	3	36
Public Health	17	12	11	49	8	14	38	11	10	19	21	15	225
Mental Hygiene	4	5	14	6	6	6	5	3	6	6	14	8	85
School Aid	7	12	10	16	5	12	10	8	6	3	9	10	108
Temporary & Disability Assistance	0	0	0	0	0	16	0	0	16	0	0	15	47
Transportation	5	3	325	26	57	163	71	52	306	10	223	173	1,414
All Other Local	251	219	127	263	100	125	267	161	158	378	163	389	2,601
Total Local Assistance	285	253	489	362	198	337	392	236	503	417	431	613	4,516
Economic Development	10	13	18	12	45	27	9	38	3	11	3	13	202
Parks & the Environment	26	47	51	55	51	39	48	40	44	47	44	77	569
Transportation	155	232	125	238	247	206	225	219	219	187	185	166	2,404
Health & Social Welfare	6	13	13	7	13	12	6	5	7	5	16	28	131
Mental Hygiene	22	32	38	33	32	24	37	23	27	24	22	29	343
Public Protection	17	32	29	44	47	45	42	34	43	44	35	61	473
Education	45	76	61	124	109	62	75	102	57	120	50	99	980
All Other	24	58	82	51	72	54	81	96	45	41	26	74	704
Total Capital Projects	305	503	417	564	616	469	523	557	445	479	381	547	5,806
TOTAL DISBURSEMENTS	590	756	906	926	814	806	915	793	948	896	812	1,160	10,322
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	56	473	848	314	627	459	(400)	529	5	(47)	(311)	(10)	2,543
Transfers to Other Funds	(26)	(27)	(26)	(29)	(43)	(243)	(17)	(19)	(18)	(62)	(34)	(810)	(1,354)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	133	133
NET OTHER FINANCING SOURCES/(USES)	30	446	822	285	584	216	(417)	510	(13)	(109)	(345)	(687)	1,322
Excess/(Deficiency) of Receipts over Disbursements	(42)	(92)	170	(216)	(23)	(332)	122	(75)	447	(24)	110	(110)	(65)
CLOSING BALANCE	(610)	(702)	(532)	(748)	(771)	(1,103)	(981)	(1,056)	(609)	(633)	(523)	(633)	(633)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2019**
(dollars in millions)

	2018 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2019 January Results	February Results	March Results	Total
OPENING BALANCE	(583)	(631)	(702)	(692)	(526)	(438)	(359)	(555)	(509)	(466)	(454)	(567)	(583)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	1	0	0	0	0	1
Federal Receipts	59	54	142	313	274	250	178	207	202	337	121	208	2,345
TOTAL RECEIPTS	59	54	142	313	274	250	178	208	202	337	121	208	2,346
DISBURSEMENTS:													
Public Health	0	0	0	3	0	0	0	0	42	0	0	0	48
Transportation	51	37	27	17	41	37	25	40	26	67	52	30	450
All Other Local	0	0	0	18	0	0	0	0	3	180	0	19	220
Total Local Assistance	51	37	27	38	41	37	25	40	71	247	52	52	718
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	0	1	0	0	1	5	0	1	1	1	5	16
Transportation	53	84	103	106	142	129	156	117	83	75	67	57	1,172
Health & Social Welfare	1	1	(2)	0	1	1	1	0	1	0	0	0	4
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	3	3	1	3	3	5	2	1	2	4	31
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	1	0	0	1	0	0	0	1	1	0	0	3
Total Capital Projects	56	88	105	109	145	134	165	122	88	78	70	66	1,226
TOTAL DISBURSEMENTS	107	125	132	147	186	171	190	162	159	325	122	118	1,944
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	(184)	0	0	0	(112)	(28)	(324)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	(184)	0	0	0	(112)	(28)	(324)
Excess/(Deficiency) of Receipts over Disbursements	(48)	(71)	10	166	88	79	(196)	46	43	12	(113)	62	78
CLOSING BALANCE	(631)	(702)	(692)	(526)	(438)	(359)	(555)	(509)	(466)	(454)	(567)	(505)	(505)

CASHFLOW
STATE FUNDS
FY 2019
(dollars in millions)

	2019												Total	
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results		Intra-Fund Transfer Eliminations
OPENING BALANCE	13,039	14,777	10,067	11,805	12,509	11,556	11,512	12,093	11,387	12,283	17,620	18,977		13,039
RECEIPTS:														
Personal Income Tax	5,856	2,184	4,951	2,900	2,974	4,797	2,574	2,188	4,106	8,612	3,386	3,560	0	48,088
Consumption/Use Taxes	1,277	1,307	1,784	1,375	1,348	1,736	1,339	1,353	1,748	1,418	1,170	1,502	0	17,357
Business Taxes	585	3	1,321	299	207	1,290	188	100	1,492	339	(9)	2,077	0	7,912
Other Taxes	139	174	172	199	196	218	208	210	236	149	149	121	0	2,221
Total Taxes	7,857	3,668	8,228	4,773	4,725	8,041	4,309	3,860	7,582	10,579	4,696	7,260	0	75,578
Abandoned Property	1	0	0	0	0	10	45	250	0	0	30	158	0	494
ABC License Fee	6	7	7	7	5	5	7	4	9	6	6	5	0	74
HCRA	456	404	474	436	431	446	423	506	371	451	423	359	0	5,180
Investment Income	17	10	11	7	9	11	4	10	9	8	16	22	0	134
Licenses, Fees, etc.	51	43	58	62	67	36	60	101	63	41	76	86	0	744
Lottery	275	323	256	251	322	259	413	255	261	341	278	285	0	3,519
Medicaid	77	70	77	72	73	77	74	77	84	81	75	88	0	886
Motor Vehicle Fees	68	67	47	44	64	33	60	48	105	59	54	87	0	736
Reimbursements	(17)	(54)	(106)	(5)	23	26	(74)	90	25	(14)	47	8	0	161
State University Income	291	357	269	290	448	682	397	374	160	563	680	267	0	4,778
Extraordinary Settlements	108	74	205	16	0	0	40	558	19	87	(1)	(1)	0	1,106
Other Transactions	862	477	410	1,719	397	562	1,732	409	1,650	1,358	1,417	2,176	0	13,169
Total Miscellaneous Receipts	2,195	1,778	1,920	2,899	1,839	2,148	3,181	2,682	2,756	2,894	3,189	3,500	0	30,981
Federal Receipts	(2)	(1)	0	2	35	2	1	0	1	1	37	2	0	78
TOTAL RECEIPTS	10,050	5,445	10,148	7,674	6,599	10,191	7,491	6,542	10,339	13,474	7,922	10,762	0	106,637
DISBURSEMENTS:														
School Aid	1,120	3,538	1,902	387	653	3,712	1,029	1,660	2,115	738	871	8,786	0	26,511
Higher Education	25	43	267	1,154	61	178	37	33	227	54	50	851	0	2,980
All Other Education	43	48	54	301	486	34	81	23	488	42	107	472	0	2,179
STAR	0	0	0	0	0	0	0	7	67	2,336	0	13	0	2,423
Medicaid - DOH	1,804	2,465	1,839	1,468	2,690	1,551	1,828	2,069	1,704	1,595	1,673	(654)	0	20,032
Public Health	120	241	147	264	124	97	141	116	126	92	160	180	0	1,808
Mental Hygiene	153	41	337	157	48	336	157	52	293	120	186	354	0	2,234
Children and Families	12	36	41	102	109	216	150	63	220	44	76	590	0	1,659
Temporary & Disability Assistance	67	95	98	106	100	180	112	67	110	64	62	125	0	1,186
Transportation	241	449	691	359	427	488	334	517	1,084	71	347	343	0	5,351
Unrestricted Aid	0	11	388	2	0	101	7	3	185	0	0	66	0	763
All Other	291	311	234	325	209	175	322	228	201	462	259	550	0	3,567
Total Local Assistance	3,876	7,278	5,998	4,625	4,907	7,068	4,198	4,838	6,820	5,618	3,791	11,676	0	70,693
Personal Service	1,051	1,400	1,061	1,061	1,212	1,026	1,403	1,093	1,111	1,177	1,051	1,041	0	13,687
Non-Personal Service	348	531	473	368	521	424	480	406	374	467	521	457	0	5,370
Total State Operations	1,399	1,931	1,534	1,429	1,733	1,450	1,883	1,499	1,485	1,644	1,572	1,498	0	19,057
General State Charges	2,827	445	509	396	360	513	619	550	450	534	476	525	0	8,204
Debt Service	64	126	166	26	96	831	48	47	348	17	729	4,201	0	6,699
Capital Projects	305	503	417	564	616	469	523	557	445	479	381	547	0	5,806
TOTAL DISBURSEMENTS	8,471	10,283	8,624	7,040	7,712	10,331	7,271	7,491	9,548	8,292	6,949	18,447	0	110,459
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,203	2,595	4,931	2,900	2,642	4,260	1,898	2,538	3,117	4,063	1,081	5,444	(617)	39,055
Transfers to other funds	(4,044)	(2,467)	(4,717)	(2,830)	(2,482)	(4,164)	(1,537)	(2,295)	(3,012)	(3,908)	(697)	(5,140)	0	(36,676)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	133	0	133
NET OTHER FINANCING SOURCES/(USES)	159	128	214	70	160	96	361	243	105	155	384	437	0	2,512
Excess/(Deficiency) of Receipts over Disbursements	1,738	(4,710)	1,785	704	(953)	(44)	581	(706)	896	5,337	1,357	(7,248)	0	(1,310)
CLOSING BALANCE	14,777	10,067	11,805	12,509	11,556	11,512	12,093	11,387	12,283	17,620	18,977	11,729	0	11,729

**CASHFLOW
GENERAL FUND
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	7,206	11,969	5,222	6,593	6,614	6,886	9,050	8,904	6,478	6,836	9,293	8,543	7,206
RECEIPTS:													
Personal Income Tax	4,608	1,242	2,605	1,665	1,454	2,417	1,281	820	1,981	2,278	1,760	1,788	23,899
Consumption/Use Taxes	585	598	796	637	630	813	626	644	812	743	558	767	8,209
Business Taxes	543	(181)	1,143	160	(20)	1,284	83	71	1,090	105	(52)	1,851	6,077
Other Taxes	81	58	65	126	44	56	168	104	103	103	103	102	1,113
Total Taxes	5,817	1,717	4,609	2,588	2,108	4,570	2,158	1,639	3,986	3,229	2,369	4,508	39,298
Abandoned Property	1	0	0	0	5	30	35	135	45	0	0	199	450
ABC License Fee	6	6	5	6	7	7	7	4	5	5	4	4	66
Investment Income	22	17	20	13	14	13	0	0	0	0	0	(1)	100
Licenses, Fees, etc.	69	42	50	49	49	67	37	65	65	70	65	66	694
Motor Vehicle Fees	33	35	12	35	24	24	34	17	18	17	18	18	285
Reimbursements	7	29	33	(69)	70	40	22	3	3	2	3	(31)	112
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	787
Other Transactions	19	8	38	20	(1)	98	33	21	48	41	25	60	410
Total Miscellaneous Receipts	742	279	158	87	166	279	198	245	184	135	115	314	2,904
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	4,607	1,126	2,606	1,658	1,476	2,683	1,281	553	2,056	2,712	1,273	2,622	24,653
ECEP in Excess of Revenue Bond Debt Service	0	0	0	0	0	0	0	0	0	0	0	1	1
Tax in Excess of LGAC	261	105	542	290	277	367	304	297	382	310	(17)	363	3,481
Sales Tax Bond Fund	191	198	294	212	213	367	203	210	295	223	175	364	2,945
Real Estate Taxes in Excess of CW/CA Debt Service	70	86	85	114	74	94	56	84	82	84	82	62	973
All Other	49	111	118	249	119	71	211	213	115	169	199	1,404	3,028
Total Transfers from Other Funds	5,178	1,626	3,645	2,523	2,159	3,582	2,055	1,357	2,930	3,498	1,712	4,816	35,081
TOTAL RECEIPTS	11,737	3,622	8,412	5,198	4,435	8,431	4,411	3,241	7,100	6,862	4,196	9,638	77,283
DISBURSEMENTS:													
School Aid	775	3,896	1,722	366	599	1,497	864	1,684	2,157	625	758	8,622	23,565
Higher Education	38	24	337	1,112	28	141	85	91	195	35	329	560	2,975
All Other Education	97	57	130	183	44	706	181	81	148	35	138	535	2,355
Medicaid - DOH	3,302	1,717	1,426	1,270	1,088	1,623	1,623	1,872	1,238	1,063	1,571	94	17,546
Public Health	24	23	100	41	30	32	31	64	204	29	24	29	636
Mental Hygiene	124	63	267	181	75	199	176	53	333	116	135	294	2,016
Children and Families	19	21	30	29	93	79	364	177	263	70	118	267	1,530
Temporary & Disability Assistance	67	65	150	106	95	105	65	156	130	130	131	140	1,340
Transportation	0	24	14	0	24	0	0	24	11	0	13	0	110
Unrestricted Aid	0	11	388	0	7	39	10	8	195	9	9	71	747
All Other	24	(67)	144	32	65	34	18	97	135	120	145	461	1,208
Total Local Assistance	4,470	5,834	4,708	3,320	2,148	4,116	3,418	4,274	4,869	2,407	3,396	11,068	54,028
Personal Service	689	1,072	679	697	853	676	805	704	705	843	691	661	9,075
Non-Personal Service	159	249	219	201	211	189	250	257	215	274	245	369	2,838
Total State Operations	848	1,321	898	898	1,064	865	1,055	961	920	1,117	936	1,030	11,913
General State Charges	728	2,349	365	385	423	477	573	436	415	451	449	616	7,667
Debt Service	135	11	(7)	132	(17)	(28)	124	0	(2)	259	(24)	(37)	546
Capital Projects	499	406	610	252	473	703	(712)	(178)	465	137	137	227	3,019
State Share Medicaid	0	0	0	0	0	0	0	0	0	0	0	0	0
SUNY Operations	219	218	327	181	3	59	0	79	14	12	9	64	1,185
Other Purposes	75	230	140	9	69	75	99	95	61	22	43	268	1,186
Total Transfers to Other Funds	928	865	1,070	574	528	809	(489)	(4)	538	430	165	522	5,936
TOTAL DISBURSEMENTS	6,974	10,369	7,041	5,177	4,163	6,267	4,557	5,667	6,742	4,405	4,946	13,236	79,544
FY 2020 Savings Plan	0	0	0	0	0	0	0	0	0	0	0	(1,782)	(1,782)
Excess/(Deficiency) of Receipts over Disbursements	4,763	(6,747)	1,371	21	272	2,164	(146)	(2,426)	358	2,457	(750)	(1,816)	(479)
CLOSING BALANCE	11,969	5,222	6,593	6,614	6,886	9,050	8,904	6,478	6,836	9,293	8,543	6,727	6,727

CASHFLOW
STATE OPERATING FUNDS
FY 2020
(dollars in millions)

	2019 April Results	2019 May Results	2019 June Results	2019 July Results	2019 August Results	2019 September Results	2019 October Projected	2019 November Projected	2019 December Projected	2020 January Projected	2020 February Projected	2020 March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,362	18,718	12,243	14,050	14,506	14,735	14,634	14,728	12,417	12,236	16,999	16,927	0	12,362
RECEIPTS:														
Personal Income Tax Consumption/Use Taxes	9,216	2,484	5,210	3,331	2,908	4,833	2,562	1,656	4,112	8,722	3,520	3,596	0	52,150
Business Taxes	1,320	1,295	1,709	1,381	1,366	1,386	1,362	1,386	1,750	1,518	1,211	1,618	0	17,672
Other Taxes	747	(139)	144	245	1,436	1,559	146	133	1,316	191	9	2,218	0	7,888
Total Taxes	11,447	3,784	8,507	5,202	4,440	8,289	4,312	3,368	7,367	10,606	4,929	7,602	0	79,853
Abandoned Property	1	0	0	0	5	30	35	135	45	0	0	199	0	450
ABC License Fee	6	6	5	6	7	7	7	4	5	5	4	4	0	66
HCRA	541	448	435	511	441	473	467	477	480	481	477	286	0	5,517
Investment Income	22	17	20	13	2	2	2	0	0	0	0	0	0	100
License, Fees, etc.	69	42	50	49	49	67	37	65	65	70	65	66	0	694
Lottery	298	349	266	316	247	254	315	273	256	334	269	280	0	3,457
Medicaid	92	76	82	73	80	77	75	75	75	75	75	76	0	931
Motor Vehicle Fees	53	56	28	50	43	32	53	39	33	41	42	44	0	514
Reimbursements	7	29	33	(69)	70	40	22	3	3	2	3	(31)	0	112
State University Income	336	258	262	340	441	692	400	319	207	451	665	352	0	4,723
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	332	303	525	387	235	484	421	179	378	224	255	393	0	4,116
Total Miscellaneous Receipts	2,342	1,726	1,706	1,709	1,632	2,169	1,862	1,569	1,547	1,683	1,855	1,667	0	21,467
Federal Receipts	0	0	(1)	1	54	0	0	0	0	0	3	18	0	75
TOTAL RECEIPTS	13,789	5,510	10,212	6,912	6,126	10,458	6,174	4,937	8,914	12,289	6,787	9,287	0	101,395
DISBURSEMENTS:														
School Aid	775	3,896	2,071	366	599	4,005	1,010	1,830	2,303	771	904	8,881	0	27,411
Higher Education	38	24	337	1,112	141	141	85	91	195	35	329	560	0	2,975
All Other Education	97	58	131	183	46	710	182	82	149	36	159	535	0	2,368
STAR	0	0	0	0	0	0	0	0	8	75	0	10	0	2,176
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,335	1,626	1,601	1,977	661	0	23,506
Public Health	84	56	204	84	195	88	88	113	151	297	80	143	0	1,583
Mental Hygiene	124	63	268	181	75	200	176	53	334	116	135	295	0	2,020
Children and Families	20	22	30	29	94	78	364	177	263	170	118	269	0	1,534
Temporary & Disability Assistance	67	65	150	106	95	105	65	156	130	130	131	140	0	1,340
Transportation	68	427	279	274	389	327	280	537	770	48	94	57	0	3,550
Unrestricted Aid	0	11	388	0	7	39	10	8	195	9	9	71	0	747
All Other	70	(30)	163	68	100	77	50	92	110	104	144	468	0	1,416
Total Local Assistance	4,645	7,192	5,813	4,256	3,403	7,660	4,404	5,482	6,301	5,300	4,080	12,090	0	70,626
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,133	1,156	1,240	1,087	1,080	0	14,292
Non-Personal Service	404	500	418	438	473	406	508	458	447	499	465	457	0	5,473
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,872	1,591	1,603	1,739	1,552	1,537	0	19,765
General State Charges	792	2,436	421	464	518	549	639	549	568	523	533	733	0	8,725
Debt Service	72	122	230	45	74	434	48	89	409	45	757	2,821	0	5,146
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	7,004	11,902	7,955	6,350	5,673	10,113	6,963	7,711	8,881	7,607	6,922	17,181	0	104,262
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	5,705	2,418	4,298	3,020	2,505	4,022	2,467	1,755	3,268	4,016	2,005	6,141	(483)	41,137
Transfers to other funds	(6,134)	(2,501)	(4,748)	(3,126)	(2,729)	(4,468)	(1,584)	(1,292)	(3,482)	(3,935)	(1,942)	(5,406)	483	(40,864)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(429)	(83)	(450)	(106)	(224)	(446)	883	463	(214)	81	63	735	0	273
FY 2020 Savings Plan	0	0	0	0	0	0	0	0	0	0	0	(2,109)	0	(2,109)
Excess/(Deficiency) of Receipts over Disbursements	6,356	(6,475)	1,807	456	229	(101)	94	(2,311)	(181)	4,763	(72)	(5,050)	0	(485)
CLOSING BALANCE	18,718	12,243	14,050	14,506	14,735	14,634	14,728	12,417	12,236	16,999	16,927	11,877	0	11,877

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	9,975	18,268	10,925	13,728	12,775	13,740	14,310	14,329	11,027	11,465	15,656	14,652	0	9,975
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,562	1,656	4,112	8,722	3,520	3,596	0	52,150
Consumption/Use Taxes	1,374	1,341	1,427	1,427	1,414	1,835	1,411	1,431	1,817	1,564	1,667	1,667	0	18,308
Business Taxes	807	(84)	1,496	298	103	1,619	200	185	1,370	228	60	2,271	0	8,553
Other Taxes	164	144	164	257	135	152	254	205	201	203	201	182	0	2,262
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,427	3,477	7,500	10,717	5,034	7,716	0	81,273
Abandoned Property	1	0	0	0	5	30	35	135	45	0	0	199	0	450
ABC License Fee	6	6	5	6	7	7	7	4	4	5	4	4	0	66
HCRA	541	448	435	511	441	473	467	477	480	481	477	286	0	5,517
Investment Income	22	17	20	13	14	13	2	0	0	0	0	(1)	0	100
Licenses, Fees, etc.	69	42	50	49	49	67	37	65	65	70	65	66	0	694
Lottery	298	349	266	316	247	254	315	273	256	334	289	280	0	3,457
Medical	92	76	82	73	80	77	75	75	75	75	75	76	0	931
Motor Vehicle Fees	53	56	28	50	43	32	53	39	33	41	42	44	0	514
Reimbursements	7	29	33	(69)	33	40	2	3	3	2	3	(31)	0	112
State University Income	336	258	262	340	441	692	400	319	207	451	665	352	0	4,723
Extraordinary Settlements	585	142	0	33	0	0	28	0	0	0	0	(1)	0	787
Other Transactions	650	447	791	647	436	1,078	2,119	569	1,771	466	353	3,115	0	12,442
Total Miscellaneous Receipts	2,660	1,870	1,972	1,969	1,833	2,763	3,560	1,959	2,940	1,925	1,953	4,389	0	29,793
Federal Receipts	6,242	4,959	5,803	3,275	6,083	5,663	5,710	4,928	5,814	5,199	4,963	3,677	0	62,316
TOTAL RECEIPTS	20,463	10,714	16,419	10,557	12,476	16,865	13,697	10,364	16,254	17,841	11,950	15,782	0	173,382
DISBURSEMENTS:														
School Aid	1,065	4,398	2,300	617	762	4,123	1,161	2,039	2,597	1,000	1,143	9,298	0	30,503
Higher Education	38	24	337	1,112	28	141	85	91	195	35	329	560	0	2,975
All Other Education	154	150	174	229	127	821	252	153	213	100	221	685	0	3,279
STAR	0	0	0	0	0	0	0	8	75	2,083	0	10	0	2,176
Medical - DOH	6,760	6,758	5,309	5,172	5,919	5,371	5,984	6,042	5,475	5,645	6,011	3,329	0	67,775
Public Health	252	219	578	291	422	246	246	275	503	490	270	533	0	4,419
Mental Hygiene	138	71	282	198	97	216	191	77	355	141	164	367	0	2,297
Children and Families	68	56	68	63	194	342	547	221	307	114	162	310	0	2,452
Temporary & Disability Assistance	154	134	377	378	156	338	927	440	604	346	354	638	0	4,846
Transportation	306	479	358	338	439	860	371	603	1,019	107	148	343	0	5,371
Unrestricted Aid	0	11	388	0	7	39	10	8	195	9	9	71	0	747
All Other	286	336	566	243	226	301	362	646	567	401	454	479	0	4,867
Total Local Assistance	9,221	12,636	10,737	8,641	8,295	12,974	10,136	10,603	12,105	10,471	9,265	16,623	0	131,707
Personal Service	1,140	1,718	1,128	1,191	1,253	1,115	1,440	1,180	1,201	1,285	1,137	1,138	0	14,926
Non-Personal Service	474	576	515	520	578	592	641	590	540	662	632	596	0	6,916
Total State Operations	1,614	2,294	1,643	1,711	1,831	1,707	2,081	1,770	1,741	1,947	1,769	1,734	0	21,842
General State Charges	814	2,460	454	500	542	574	665	573	594	548	560	766	0	9,050
Debt Service	72	122	230	45	74	434	48	89	409	45	757	2,821	0	5,146
Capital Projects	434	529	536	600	750	582	745	624	962	634	598	1,551	0	8,545
TOTAL DISBURSEMENTS	12,155	18,041	13,600	11,497	11,492	16,271	13,675	13,659	15,811	13,645	12,949	23,495	0	176,290
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,215	2,831	4,931	3,280	2,991	4,774	1,759	1,583	3,734	4,154	2,160	6,580	(483)	44,509
Transfers to other funds	(6,230)	(2,847)	(4,947)	(3,293)	(3,010)	(4,798)	(1,762)	(1,590)	(3,739)	(4,159)	(2,165)	(6,598)	483	(44,655)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	389	0	389
NET OTHER FINANCING SOURCES/(USES)	(15)	(16)	(16)	(13)	(19)	(24)	(3)	(7)	(5)	(5)	(5)	(371)	0	283
FY 2020 Savings Plan	0	0	0	0	0	0	0	0	0	0	0	(2,109)	0	(2,109)
Excess/(Deficiency) of Receipts over Disbursements	8,293	(7,343)	2,803	(953)	965	570	19	(3,302)	438	4,191	(1,004)	(5,233)	0	(556)
CLOSING BALANCE	18,268	10,925	13,728	12,775	13,740	14,310	14,329	11,027	11,465	15,656	14,652	9,419	0	9,419

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,842	7,002	6,269	7,986	6,880	7,461	6,241	5,926	5,307	5,227	5,317	5,317		3,842
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	8	75	2,083	0	10	0	2,176
Consumption/Use Taxes	197	146	170	184	155	181	156	147	173	155	128	123	0	1,895
Business Taxes	204	42	293	65	63	275	63	62	226	70	61	367	0	1,811
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	219	217	474	2,308	189	500	0	5,882
HGRA	541	448	435	511	441	473	467	477	480	481	477	286	0	5,517
State University Income	336	258	340	340	441	692	400	319	207	451	665	352	0	4,723
Lottery	298	349	266	316	247	254	273	273	256	334	269	280	0	3,457
Medicaid	92	76	82	73	80	77	75	75	75	75	75	76	0	931
Motor Vehicle Fees	20	21	16	15	19	8	19	22	15	24	24	26	0	229
Other Transactions	284	310	461	292	215	382	347	139	316	183	226	379	0	3,534
Total Miscellaneous Receipts	1,571	1,462	1,522	1,547	1,443	1,886	1,623	1,305	1,349	1,548	1,736	1,399	0	18,391
Federal Receipts	6,204	4,816	5,671	3,118	5,874	5,484	5,369	4,691	5,666	5,076	4,783	3,261	0	60,013
TOTAL RECEIPTS	8,176	6,466	7,656	4,914	7,535	7,826	7,211	6,213	7,489	8,932	6,708	5,160	0	84,286
DISBURSEMENTS:														
School Aid	282	499	569	244	155	2,610	288	326	326	346	356	562	0	6,563
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	93	43	44	79	89	66	66	65	65	63	161	0	890
STAR	0	0	0	0	0	0	0	8	75	2,083	0	10	0	2,176
Medicaid - DOH	3,458	5,041	3,883	3,902	4,831	4,089	4,361	4,170	4,237	4,582	4,440	3,235	0	50,229
Public Health	200	156	460	181	294	371	183	209	346	224	175	432	0	3,231
Mental Hygiene	10	7	12	11	20	13	9	13	12	12	13	16	0	148
Children and Families	49	35	38	34	101	263	183	44	44	44	44	43	0	922
Temporary & Disability Assistance	87	69	211	272	61	217	862	284	459	216	223	483	0	3,444
Transportation	72	408	268	277	369	329	282	517	763	52	85	61	0	3,483
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	172	90	9	111	81	112	169	156	111	113	141	61	0	1,326
Total Local Assistance	4,386	6,398	5,493	5,076	5,991	8,093	6,403	5,793	6,438	7,737	5,540	5,064	0	72,412
Personal Service	451	646	449	494	400	439	635	476	496	442	446	477	0	5,851
Non-Personal Service	314	325	294	310	360	402	390	330	324	388	379	216	0	4,032
Total State Operations	765	971	743	804	760	841	1,025	806	820	830	825	693	0	9,883
General State Charges	86	111	89	115	119	97	92	137	179	97	111	150	0	1,383
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	5,237	7,480	6,325	5,995	6,870	9,031	7,520	6,736	7,437	8,664	6,476	5,907	0	83,678
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	309	585	567	214	160	169	135	181	82	51	57	358	(483)	2,385
Transfers to Other Funds	(88)	(304)	(181)	(239)	(244)	(184)	(141)	(277)	(214)	(229)	(289)	(1,596)	483	(3,503)
NET OTHER FINANCING SOURCES/(USES)	221	281	386	(25)	(84)	(15)	(6)	(96)	(132)	(178)	(232)	(1,238)	0	(1,118)
FY 2020 Savings Plan	0	0	0	0	0	0	0	0	0	0	0	(327)	0	(327)
Excess/(Deficiency) of Receipts over Disbursements	3,160	(733)	1,717	(1,106)	581	(1,220)	(315)	(619)	(80)	90	0	(1,658)	0	(1,83)
CLOSING BALANCE	7,002	6,269	7,986	6,880	7,461	6,241	5,926	5,307	5,227	5,317	5,317	3,659	0	3,659

CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	5,091	6,434	6,338	7,095	7,277	7,105	5,277	5,372	5,108	4,744	5,000	5,455		5,091
RECEIPTS:														
Personal Income Tax	0	0	0	0	0	0	0	8	75	2,083	0	10	0	2,176
Consumption/Use Taxes	197	146	170	164	155	181	156	147	173	155	128	123	0	1,895
Business Taxes	204	42	293	85	63	275	63	62	226	70	61	367	0	1,811
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	401	188	463	249	218	456	219	217	474	2,308	189	500	0	5,882
HCRA	541	448	435	511	441	473	467	477	480	481	477	286	0	5,517
State University Income	336	258	262	340	441	692	400	319	207	451	665	352	0	4,723
Lottery	298	349	266	316	247	254	315	273	256	334	269	280	0	3,457
Medicaid	92	76	82	73	80	77	75	75	75	75	75	76	0	931
Motor Vehicle Fees	20	21	16	15	19	8	19	22	15	24	24	26	0	239
Other Transactions	270	259	449	277	193	370	342	144	316	169	214	329	0	3,532
Total Miscellaneous Receipts	1,557	1,411	1,510	1,532	1,421	1,874	1,618	1,310	1,349	1,534	1,724	1,349	0	18,189
Federal Receipts	0	0	(1)	0	19	0	0	0	0	0	0	(17)	0	1
TOTAL RECEIPTS	1,958	1,599	1,972	1,781	1,658	2,330	1,837	1,527	1,823	3,842	1,913	1,832	0	24,072
DISBURSEMENTS:														
School Aid	0	0	349	0	0	2,508	146	146	146	146	146	259	0	3,846
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	1	1	0	2	4	1	1	1	1	1	0	0	13
STAR	0	0	0	0	0	0	0	8	75	2,083	0	10	0	2,176
Medicaid - DOH	60	883	366	583	687	608	471	463	388	538	406	567	0	5,960
Public Health	0	33	104	43	165	54	56	82	87	93	51	119	0	947
Mental Hygiene	0	0	1	0	0	1	0	0	0	0	0	1	0	4
Children and Families	1	1	0	0	1	(1)	0	0	0	0	0	2	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	68	403	265	274	365	327	280	513	759	48	81	57	0	3,440
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	46	37	19	36	35	43	32	(5)	(25)	(16)	(1)	7	0	208
Total Local Assistance	175	1,358	1,105	936	1,255	3,544	986	1,208	1,432	2,893	684	1,022	0	16,598
Personal Service	402	580	394	450	352	388	559	429	451	397	396	419	0	5,217
Non-Personal Service	244	249	197	228	255	216	257	198	231	225	212	77	0	2,589
Total State Operations	646	829	591	678	607	604	816	627	682	622	608	496	0	7,806
General State Charges	64	87	56	79	95	72	66	113	153	72	84	117	0	1,058
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	885	2,274	1,752	1,693	1,957	4,220	1,868	1,948	2,267	3,587	1,376	1,635	0	25,462
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	308	585	567	215	160	169	135	181	82	51	57	346	(483)	2,373
Transfers to Other Funds	(38)	(6)	(30)	(121)	(33)	(107)	(9)	(24)	(2)	(50)	(139)	(1,233)	483	(1,309)
NET OTHER FINANCING SOURCES/(USES)	270	579	537	94	127	62	126	157	80	1	(82)	(887)	0	1,064
FY 2020 Savings Plan	0	0	0	0	0	0	0	0	0	0	0	(327)	0	(327)
Excess/(Deficiency) of Receipts over Disbursements	1,343	(96)	757	182	(172)	(1,828)	95	(264)	(364)	256	455	(363)	0	1
CLOSING BALANCE	6,434	6,338	7,095	7,277	7,105	5,277	5,372	5,108	4,744	5,000	5,455	5,092	0	5,092

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2020
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(1,249)	568	(69)	891	(397)	356	964	554	199	483	317	(138)	(1,249)
RECEIPTS:													
Miscellaneous Receipts	14	51	12	15	22	12	5	(5)	0	14	12	50	202
Federal Receipts	6,204	4,816	5,672	3,118	5,855	5,484	5,369	4,691	5,666	5,076	4,783	3,278	60,012
TOTAL RECEIPTS	6,218	4,867	5,684	3,133	5,877	5,496	5,374	4,686	5,666	5,090	4,795	3,328	60,214
DISBURSEMENTS:													
School Aid	282	499	220	244	155	102	142	180	180	200	210	303	2,717
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	56	92	42	44	77	85	65	65	64	64	62	161	877
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,458	4,158	3,517	3,319	4,144	3,481	3,890	3,707	3,849	4,044	4,034	2,668	44,269
Public Health	140	123	356	138	129	317	127	127	259	131	124	313	2,284
Mental Hygiene	10	7	11	11	20	12	9	13	11	12	13	15	144
Children and Families	48	34	38	34	100	264	183	44	44	44	44	41	918
Temporary & Disability Assistance	87	69	211	272	61	217	862	284	459	216	223	483	3,444
Transportation	4	5	3	3	4	2	2	4	4	4	4	4	43
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	126	53	(10)	75	46	69	137	161	136	129	142	54	1,118
Total Local Assistance	4,211	5,040	4,388	4,140	4,736	4,549	5,417	4,585	5,006	4,844	4,856	4,042	55,814
Personal Service	49	66	55	44	48	51	76	47	45	45	50	58	634
Non-Personal Service	70	76	97	82	105	186	133	132	93	163	167	139	1,443
Total State Operations	119	142	152	126	153	237	209	179	138	208	217	197	2,077
General State Charges	22	24	33	36	24	25	26	24	26	25	27	33	325
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	4,352	5,206	4,573	4,302	4,913	4,811	5,652	4,788	5,170	5,077	5,100	4,272	58,216
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	1	0	0	(1)	0	0	0	0	0	0	0	12	12
Transfers to Other Funds	(50)	(298)	(151)	(118)	(211)	(77)	(132)	(253)	(212)	(179)	(150)	(363)	(2,194)
NET OTHER FINANCING SOURCES/(USES)	(49)	(298)	(151)	(119)	(211)	(77)	(132)	(253)	(212)	(179)	(150)	(351)	(2,182)
Excess/(Deficiency) of Receipts over Disbursements	1,817	(637)	960	(1,288)	753	608	(410)	(355)	284	(166)	(455)	(1,295)	(184)
CLOSING BALANCE	568	(69)	891	(397)	356	964	554	199	483	317	(138)	(1,433)	(1,433)

**CASHFLOW
DEBT SERVICE FUNDS
FY 2020**
(dollars in millions)

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	65	315	683	362	615	744	307	452	831	656	2,706	2,929	65
RECEIPTS:													
Personal Income Tax	4,608	1,242	2,605	1,666	1,454	2,416	1,281	828	2,056	4,361	1,760	1,798	26,075
Consumption/Use Taxes	538	551	743	580	581	762	580	595	765	620	525	728	7,568
Other Taxes	83	86	87	119	79	85	74	89	86	88	86	68	1,030
Total Taxes	5,229	1,879	3,435	2,365	2,114	3,263	1,935	1,512	2,907	5,069	2,371	2,594	34,673
Miscellaneous Receipts	43	36	38	90	43	16	46	14	14	14	16	4	374
Federal Receipts	0	0	0	1	35	0	0	0	0	0	3	35	74
TOTAL RECEIPTS	5,272	1,915	3,473	2,456	2,192	3,279	1,981	1,526	2,921	5,083	2,390	2,633	35,121
DISBURSEMENTS:													
Slate Operations	1	2	2	9	7	1	1	3	1	0	8	11	46
Debt Service	72	122	230	45	74	434	48	89	409	45	757	2,821	5,146
TOTAL DISBURSEMENTS	73	124	232	54	81	435	49	92	410	45	765	2,832	5,192
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	219	207	86	282	186	271	277	217	256	467	236	979	3,683
Transfers to Other Funds	(5,168)	(1,630)	(3,648)	(2,431)	(2,168)	(3,552)	(2,064)	(1,272)	(2,942)	(3,455)	(4,638)	(3,651)	(33,619)
NET OTHER FINANCING SOURCES/(USES)	(4,949)	(1,423)	(3,562)	(2,149)	(1,982)	(3,281)	(1,787)	(1,055)	(2,686)	(2,988)	(4,402)	(2,672)	(29,936)
Excess/(Deficiency) of Receipts over Disbursements	250	368	(321)	253	129	(437)	145	379	(175)	2,050	223	(2,871)	(7)
CLOSING BALANCE	315	683	362	615	744	307	452	831	656	2,706	2,929	58	58

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(1,138)	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(953)	(1,589)	(1,254)	(1,660)	(2,137)	(1,138)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	49	45	67	46	42	49	636
Business Taxes	60	55	60	53	60	60	54	52	54	53	51	53	665
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	115	109	133	111	105	114	1,420
Miscellaneous Receipts	304	93	254	245	179	582	1,693	395	1,393	228	86	2,672	8,124
Federal Receipts	38	143	132	156	174	179	341	237	148	123	177	381	2,229
TOTAL RECEIPTS	456	337	523	512	473	911	2,149	741	1,674	462	368	3,167	11,773
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	6	0	0	0	(11)	34
Public Health	28	40	18	69	16	17	31	35	17	62	66	77	552
Mental Hygiene	4	1	3	6	2	4	6	11	10	13	16	57	133
School Aid	8	3	9	7	8	16	9	29	114	29	29	114	375
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	15	0	0	15	62
Transportation	234	47	76	61	46	531	89	62	245	55	50	282	1,778
All Other Local	90	313	413	100	80	155	175	393	321	168	168	(43)	2,333
Total Local Assistance	365	404	536	245	156	765	315	536	798	327	329	491	5,267
Economic Development	3	3	22	3	28	1	2	114	186	125	134	240	861
Parks & the Environment	34	42	46	49	89	54	59	49	56	59	58	202	797
Transportation	229	279	281	309	333	323	381	365	403	264	241	271	3,679
Health & Social Welfare	4	5	6	5	6	9	42	(26)	11	11	13	103	189
Mental Hygiene	24	32	34	26	25	24	25	24	24	32	23	34	327
Public Protection	39	38	41	56	52	46	31	47	50	48	40	62	550
Education	57	78	47	85	145	72	134	93	93	93	83	145	1,125
All Other	44	52	59	67	72	53	71	(42)	139	2	6	494	1,017
Total Capital Projects	434	529	536	600	750	582	745	624	962	634	598	1,551	8,545
TOTAL DISBURSEMENTS	799	933	1,072	845	906	1,347	1,060	1,160	1,760	961	927	2,042	13,812
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(708)	(172)	466	138	155	427	3,360
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(45)	(45)	(45)	(73)	(829)	(1,597)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	389	389
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(754)	(217)	421	93	82	(13)	2,152
Excess/(Deficiency) of Receipts over Disbursements	120	(231)	36	(121)	(17)	63	335	(636)	335	(406)	(477)	1,112	113
CLOSING BALANCE	(1,018)	(1,249)	(1,213)	(1,334)	(1,351)	(1,288)	(953)	(1,589)	(1,254)	(1,660)	(2,137)	(1,025)	(1,025)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(633)	(446)	(699)	(637)	(771)	(804)	(759)	(380)	(1,145)	(656)	(1,067)	(1,496)	(633)
RECEIPTS:													
Consumption/Use Taxes	54	46	65	46	48	79	49	45	67	46	42	49	636
Business Taxes	60	55	60	53	60	60	54	52	54	53	51	53	665
Other Taxes	0	0	12	12	12	11	12	12	12	12	12	12	119
Total Taxes	114	101	137	111	120	150	115	109	133	111	105	114	1,420
Miscellaneous Receipts	304	93	254	245	179	582	1,693	395	1,393	228	86	2,672	8,124
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	418	194	391	356	299	734	1,808	504	1,526	339	191	2,789	9,549
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	1	0	1	2	4	26	5	6	0	0	0	(11)	34
Public Health	28	40	18	69	16	16	31	35	51	62	63	53	482
Mental Hygiene	4	1	3	6	2	4	6	11	10	13	16	16	133
School Aid	8	3	9	7	8	16	9	29	114	29	29	114	375
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	15	0	0	15	62
Transportation	194	10	28	22	7	499	38	16	204	16	16	247	1,297
All Other Local	90	313	403	100	80	145	175	390	197	167	169	(51)	2,178
Total Local Assistance	325	367	478	206	117	722	264	487	591	287	293	424	4,561
Economic Development	3	3	22	3	28	1	2	114	186	125	134	240	861
Parks & the Environment	33	40	45	48	88	52	58	47	54	56	55	200	776
Transportation	169	199	186	209	217	211	264	275	313	191	182	224	2,640
Health & Social Welfare	3	4	5	5	6	9	4	9	10	11	13	101	180
Mental Hygiene	24	32	34	26	25	24	25	24	24	32	23	34	327
Public Protection	36	37	38	53	50	44	28	45	48	46	38	61	524
Education	57	78	47	85	145	72	134	93	93	93	83	145	1,125
All Other	44	52	59	67	72	53	71	(42)	139	2	6	494	1,017
Total Capital Projects	369	445	436	496	631	466	586	565	867	556	534	1,499	7,450
TOTAL DISBURSEMENTS	694	812	914	702	748	1,188	850	1,052	1,458	843	827	1,923	12,011
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	509	413	633	261	486	752	(533)	(172)	466	138	280	522	3,755
Transfers to Other Funds	(46)	(48)	(48)	(49)	(70)	(253)	(46)	(45)	(45)	(45)	(73)	(818)	(1,586)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	389	389
NET OTHER FINANCING SOURCES/(USES)	463	365	585	212	416	499	(579)	(217)	421	93	207	93	2,558
Excess/(Deficiency) of Receipts over Disbursements	187	(253)	62	(134)	(33)	45	379	(765)	489	(411)	(429)	959	96
CLOSING BALANCE	(446)	(699)	(637)	(771)	(804)	(759)	(380)	(1,145)	(656)	(1,067)	(1,496)	(537)	(537)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2020**
(dollars in millions)

	2019	April	May	June	July	August	September	October	November	December	2020	January	February	March	Total
	Results	Results	Results	Results	Results	Results	Results	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
OPENING BALANCE	(505)	(572)	(550)	(576)	(563)	(547)	(529)	(573)	(444)	(598)	(593)	(641)	(505)		
RECEIPTS:															
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	38	143	132	156	174	177	341	237	148	123	177	378	2,224		2,224
TOTAL RECEIPTS	38	143	132	156	174	177	341	237	148	123	177	378	2,224		2,224
DISBURSEMENTS:															
Public Health	0	0	0	0	0	1	0	0	0	42	0	24	70		70
Transportation	40	37	48	39	39	32	51	46	3	41	39	35	481		481
All Other Local	0	0	10	0	0	10	0	3	124	1	1	8	155		155
Total Local Assistance	40	37	58	39	39	43	51	49	207	40	40	67	706		706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	2	1	1	1	2	1	2	2	2	3	2	21		21
Transportation	60	80	95	100	116	112	117	90	90	90	73	59	47	1,039	1,039
Health & Social Welfare	1	1	1	0	0	0	38	(35)	1	1	0	2	0	2	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	3	1	3	3	2	2	3	2	2	2	2	1	2	1	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Capital Projects	65	84	100	104	119	116	159	59	95	78	64	52	1,095		1,095
TOTAL DISBURSEMENTS	105	121	158	143	158	159	210	108	302	118	100	119	1,801		1,801
OTHER FINANCING SOURCES (USES):															
Transfers from Other Funds	0	0	0	0	0	0	(175)	0	0	0	(125)	(95)	(395)		(395)
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0	0	(11)	(11)		(11)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0		0
NET OTHER FINANCING SOURCES/(USES)	0	0	0	0	0	0	(175)	0	0	0	(125)	(106)	(406)		(406)
Excess/(Deficiency) of Receipts over Disbursements	(67)	22	(26)	13	16	18	(44)	129	(154)	5	(48)	153	17		17
CLOSING BALANCE	(572)	(550)	(576)	(563)	(547)	(529)	(573)	(444)	(598)	(593)	(641)	(488)	(488)		(488)

**CASHFLOW
STATE FUNDS
FY 2020
(dollars in millions)**

	2019 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2020 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,729	18,272	11,544	13,413	13,735	13,931	13,875	14,348	11,272	11,580	15,932	15,431		11,729
RECEIPTS:														
Personal Income Tax	9,216	2,484	5,210	3,331	2,908	4,833	2,562	1,656	4,112	8,722	3,520	3,596	0	52,150
Consumption/Use Taxes	1,374	1,341	1,774	1,427	1,414	1,835	1,411	1,431	1,817	1,564	1,253	1,667	0	18,308
Business Taxes	807	(84)	1,496	298	1,619	1,619	200	185	1,370	228	60	2,271	0	8,553
Other Taxes	164	144	164	257	135	152	254	205	201	203	201	182	0	2,262
Total Taxes	11,561	3,885	8,644	5,313	4,560	8,439	4,427	3,477	7,500	10,717	5,034	7,716	0	81,273
Abandoned Property	1	0	5	30	5	7	35	135	45	199	0	4	0	450
ABC License Fee	6	6	5	6	7	7	7	4	5	5	4	4	0	66
HCRA	541	448	435	511	441	473	467	477	480	481	477	286	0	5,517
Investment Income	22	17	20	13	14	13	2	0	0	0	0	0	0	100
Licenses, Fees, etc.	69	42	50	49	49	67	37	65	65	70	65	66	0	694
Lottery	298	349	266	316	247	254	315	273	256	334	269	280	0	3,457
Medicaid	92	76	80	73	80	77	75	75	75	75	75	76	0	931
Motor Vehicle Fees	53	56	28	50	43	32	53	39	33	41	42	44	0	514
Reimbursements	7	29	33	(69)	70	40	22	3	3	2	3	(31)	0	112
State University Income	336	258	262	340	441	692	400	319	207	451	665	352	0	4,723
Extraordinary Settlements	585	142	0	33	0	28	28	0	0	0	0	(1)	0	787
Other Transactions	636	396	779	632	414	1,066	2,114	574	1,771	452	341	3,065	0	12,240
Total Miscellaneous Receipts	2,646	1,819	1,960	1,954	1,811	2,751	3,555	1,964	2,940	1,911	1,941	4,339	0	29,591
Federal Receipts	0	0	(1)	1	54	2	0	0	0	0	3	21	0	80
TOTAL RECEIPTS	14,207	5,704	10,603	7,268	6,425	11,192	7,982	5,441	10,440	12,628	6,978	12,076	0	110,944
DISBURSEMENTS:														
School Aid	783	3,899	2,080	373	607	4,021	1,019	1,859	2,417	800	933	8,995	0	27,786
Higher Education	38	24	337	1,112	28	141	85	91	195	35	329	560	0	2,975
All Other Education	98	58	132	185	50	736	187	88	149	36	159	524	0	2,402
STAR	0	0	0	0	0	0	0	8	75	2,083	0	10	0	2,176
Medicaid - DOH	3,302	2,600	1,792	1,853	1,775	1,890	2,094	2,335	1,626	1,601	1,977	661	0	23,506
Public Health	112	96	222	153	211	104	119	148	202	359	143	196	0	2,065
Mental Hygiene	128	124	271	187	204	182	182	64	344	129	151	352	0	2,153
Children and Families	20	22	30	29	94	78	364	177	263	70	118	269	0	1,534
Temporary & Disability Assistance	67	65	166	106	95	121	65	156	145	130	131	155	0	1,402
Transportation	262	437	307	296	396	826	318	553	974	64	110	304	0	4,847
Unrestricted Aid	0	11	388	0	7	39	10	8	195	9	9	71	0	747
All Other	160	283	566	168	222	222	225	482	307	271	313	417	0	3,594
Total Local Assistance	4,970	7,559	6,291	4,462	3,520	8,382	4,668	5,969	6,892	5,587	4,373	12,514	0	75,187
Personal Service	1,091	1,652	1,073	1,147	1,205	1,064	1,364	1,133	1,156	1,240	1,087	1,080	0	14,292
Non-Personal Service	404	500	418	438	473	406	508	458	447	499	465	457	0	5,473
Total State Operations	1,495	2,152	1,491	1,585	1,678	1,470	1,872	1,591	1,603	1,739	1,552	1,537	0	19,765
General State Charges	792	2,436	421	464	518	549	639	549	568	523	533	733	0	8,725
Debt Service	72	122	230	45	74	434	48	89	409	45	757	2,821	0	5,146
Capital Projects	369	445	436	496	631	466	586	565	867	556	534	1,499	0	7,450
TOTAL DISBURSEMENTS	7,698	12,714	8,869	7,052	6,421	11,301	7,813	8,763	10,339	8,450	7,749	19,104	0	116,273
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	6,214	2,831	4,931	3,281	2,991	4,774	1,934	1,583	3,734	4,154	2,285	6,663	(483)	44,892
Transfers to other funds	(6,180)	(2,549)	(4,796)	(3,175)	(2,799)	(4,721)	(1,630)	(1,337)	(3,527)	(3,980)	(2,015)	(6,224)	483	(42,450)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	389	0	389
NET OTHER FINANCING SOURCES/(USES)	34	282	135	106	192	53	304	246	207	174	270	828	0	2,831
FY 2020 Savings Plan	0	0	0	0	0	0	0	0	0	0	0	(2,109)	0	(2,109)
Excess/(Deficiency) of Receipts over Disbursements	6,543	(6,728)	1,869	322	196	(56)	473	(3,076)	308	4,352	(501)	(4,091)	0	(389)
CLOSING BALANCE	18,272	11,544	13,413	13,735	13,931	13,875	14,348	11,272	11,580	15,932	15,431	11,340	0	11,340

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year Update</u>
Opening Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>
Receipts:			
Taxes	741	0	741
Miscellaneous receipts	<u>5,256</u>	<u>261</u>	<u>5,517</u>
Total Receipts	<u>5,997</u>	<u>261</u>	<u>6,258</u>
Disbursements and Transfers:			
Medical Assistance Account	3,881	256	4,137
Hospital Indigent Care Fund	892	0	892
HCRA Program Account	379	(1)	378
Child Health Plus (CHP)	428	7	435
Elderly Pharmaceutical Insurance Coverage (EPIC)	128	0	128
Qualified Health Plan Administration	53	(1)	52
SHIN-NY/APCD	40	0	40
All Other	<u>196</u>	<u>0</u>	<u>196</u>
Total Disbursements and Transfers	<u>5,997</u>	<u>261</u>	<u>6,258</u>
Change in Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020 THROUGH FY 2023
(millions of dollars)

	<u>FY 2020</u> <u>Mid-Year</u>	<u>FY 2021</u> <u>Projected</u>	<u>FY 2022</u> <u>Projected</u>	<u>FY 2023</u> <u>Projected</u>
Opening Fund Balance	0	0	0	0
Receipts:				
Taxes	741	724	690	659
Miscellaneous receipts	5,517	5,502	5,592	5,685
Total Receipts	<u>6,258</u>	<u>6,226</u>	<u>6,282</u>	<u>6,344</u>
Disbursements and Transfers:				
Medical Assistance Account	4,137	3,894	3,858	3,922
Hospital Indigent Care	892	892	892	892
HCRA Program Account	378	409	409	409
Child Health Plus	435	649	760	777
Elderly Pharmaceutical Insurance Coverage	128	130	129	129
Qualified Health Plan Administration	52	49	48	47
SHIN-NY/APCD	40	40	40	40
All Other	196	163	146	128
Total Disbursements and Transfers	<u>6,258</u>	<u>6,226</u>	<u>6,282</u>	<u>6,344</u>
Change in Fund Balance	0	0	0	0
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2019 and FY 2020
(millions of dollars)

	<u>FY 2019</u> <u>Results</u>	<u>FY 2020</u> <u>Mid-Year</u>	<u>Annual</u> <u>Change</u>
Opening Fund Balance	<u>15</u>	<u>0</u>	<u>(15)</u>
Receipts:			
Taxes	780	741	(39)
Miscellaneous receipts	5,180	5,517	337
Total Receipts	<u>5,960</u>	<u>6,258</u>	<u>298</u>
Disbursements and Transfers:			
Medical Assistance Account	3,985	4,137	152
Hospital Indigent Care	777	892	115
HCRA Program Account	379	378	(1)
Child Health Plus	409	435	26
Elderly Pharmaceutical Insurance Coverage	137	128	(9)
Qualified Health Plan Administration	44	52	8
SHIN-NY/APCD	40	40	0
All Other	204	196	(8)
Total Disbursements and Transfers	<u>5,975</u>	<u>6,258</u>	<u>283</u>
Change in Fund Balance	<u>(15)</u>	<u>0</u>	<u>15</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2019
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Projected	February Projected	March Projected	Total
Opening Fund Balance	15	174	212	274	279	171	271	239	372	256	339	183	15
Receipts:													
Taxes	64	69	73	78	71	64	70	64	63	65	47	52	780
Miscellaneous receipts	456	404	474	436	431	446	423	506	371	451	423	359	5,180
Total Receipts	520	473	547	514	502	510	493	570	434	516	470	411	5,960
Disbursements and Transfers:													
Medical Assistance Account	220	331	331	263	496	269	385	307	394	314	435	240	3,985
Hospital Indigent Care	61	64	52	72	71	74	77	61	66	62	57	60	777
HCRA Program Account	36	5	54	132	3	22	22	6	5	17	53	24	379
Child Health Plus	20	22	34	21	22	26	28	40	72	21	42	61	409
Elderly Pharmaceutical Insurance Coverage	7	10	12	12	12	13	12	13	11	12	9	14	137
Qualified Health Plan Administration	0	0	0	0	0	0	0	0	0	0	0	44	44
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	40	40
All Other	17	3	2	9	6	6	1	10	2	7	30	111	204
Total	361	435	485	509	610	410	525	437	550	433	626	594	5,975
Change in Fund Balance	159	38	62	5	(108)	100	(32)	133	(116)	83	(156)	(183)	(15)
Closing Fund Balance	174	212	274	279	171	271	239	372	256	339	183	0	0

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2020
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Projected	February Projected	March Projected	Total
Opening Fund Balance	0	543	283	300	420	137	80	150	208	268	326	347	0
Receipts:													
Taxes	63	59	57	75	64	59	65	63	62	68	51	55	741
Miscellaneous receipts	541	448	436	511	441	473	466	477	480	480	477	287	5,517
Total Receipts	604	507	493	586	505	532	531	540	542	548	528	342	6,258
Disbursements and Transfers:													
Medical Assistance Account	0	600	300	330	551	330	330	330	330	330	347	359	4,137
Hospital Indigent Care	0	123	66	89	59	199	66	59	59	58	59	59	892
HCRA Program Account	33	4	53	15	131	2	24	33	3	45	7	28	378
Child Health Plus	22	21	41	19	23	41	26	32	70	32	32	76	435
Elderly Pharmaceutical Insurance Coverage	5	9	10	10	10	10	10	14	14	14	9	13	128
Qualified Health Plan Administration	0	1	4	1	2	2	4	5	5	10	10	8	52
SHIN-NY/APCD	1	9	2	2	12	5	1	9	1	1	43	110	196
All Other	61	767	476	466	788	589	461	482	482	490	507	689	6,258
Total	543	(250)	17	120	(283)	(57)	70	58	60	58	21	(347)	0
Change in Fund Balance	543	283	300	420	137	80	150	208	268	326	347	0	0
Closing Fund Balance	543	283	300	420	137	80	150	208	268	326	347	0	0

CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 (millions of dollars)

	FY 2019 Results			FY 2020 Mid-Year			FY 2021 Projected			FY 2022 Projected			FY 2023 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(270)	26	12	(304)	28	14	(293)	28	15	(286)	25	16	(280)	22	17
Receipts:															
Unemployment Taxes	0	2,013	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	531	62	2	606	91	1	604	91	1	600	91	1	608	92	1
Federal Receipts	0	15	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	531	2,090	2	606	2,591	1	604	2,591	1	600	2,591	1	608	2,592	1
Disbursements:															
Local Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
State Operations:															
Personal Service	110	6	0	151	15	0	158	15	0	152	15	0	152	15	0
Non-Personal Service	469	53	0	487	72	0	489	72	0	489	72	0	494	73	0
Unemployment Benefits	0	2,028	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	74	1	0	74	3	0	78	6	0	79	6	0	79	6	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	653	2,088	0	712	2,590	0	725	2,593	0	720	2,593	0	725	2,594	0
Other Financing Sources (Uses):															
Transfers from Other Funds	116	0	0	138	0	0	140	0	0	134	0	0	130	0	0
Transfers to Other Funds	(28)	0	0	(21)	(1)	0	(12)	(1)	0	(8)	(1)	0	(8)	(1)	0
	88	0	0	117	(1)	0	128	(1)	0	126	(1)	0	122	(1)	0
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(34)	2	2	11	0	1	7	(3)	1	6	(3)	1	5	(3)	1
Closing Fund Balance	(304)	28	14	(293)	28	15	(286)	25	16	(280)	22	17	(275)	19	18

Workforce Impact Summary

General Fund
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Children and Family Services, Office of	2,329	2,429	2,316
Corrections and Community Supervision, Department of	29,003	28,769	26,854
Education Department, State	281	328	279
Environmental Conservation, Department of	994	1,048	1,113
General Services, Office of	930	404	394
Health, Department of	1,463	1,568	1,877
Information Technology Services, Office of	3,464	3,541	3,461
Labor, Department of	0	0	1
Mental Health, Office of	13,351	13,293	13,195
Motor Vehicles, Department of	0	164	167
Parks, Recreation and Historic Preservation, Office of	1,107	1,303	1,238
People with Developmental Disabilities, Office for	18,476	18,640	18,187
State Police, Division of	5,219	5,367	5,330
Taxation and Finance, Department of	3,845	3,750	3,386
Temporary and Disability Assistance, Office of	1,011	1,011	1,014
Transportation, Department of	0	2,692	2,545
Subtotal - Major Agencies	81,473	84,307	81,357
Minor Agencies			
Adirondack Park Agency	54	49	54
Aging, Office for the	11	12	18
Agriculture and Markets, Department of	371	387	386
Alcoholic Beverage Control, Division of	113	108	120
Alcoholism and Substance Abuse Services, Office of	720	714	722
Arts, Council on the	27	28	30
Budget, Division of the	220	238	245
Civil Service, Department of	186	207	185
Correction, Commission of	29	30	32
Criminal Justice Services, Division of	376	380	398
Economic Development, Department of	135	138	152
Elections, State Board of	69	69	77
Employee Relations, Office of	26	66	66
Executive Chamber	97	112	136
Gaming Commission, New York State	58	65	56
Housing and Community Renewal, Division of	33	35	54
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	107	147	124
Inspector General, Office of the	87	81	92
Judicial Conduct, Commission on	40	38	43
Justice Center for the Protection of People with Special Needs	407	413	415
Labor Management Committees	72	68	77
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	203	203	214
Military and Naval Affairs, Division of	100	96	104
Prevention of Domestic Violence, Office for	17	17	20
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	52	51	52
State, Department of	205	212	138
Statewide Financial System	135	134	142
Tax Appeals, Division of	23	22	27
Veterans' Services, Division of	76	80	90
Welfare Inspector General, Office of	7	7	7
Subtotal - Minor Agencies	4,090	4,242	4,317
Subtotal - Subject to Direct Executive Control	85,563	88,549	85,674
University Systems			
State University of New York	3	3	3
Subtotal - University Systems	3	3	3
Independently Elected Agencies			
Audit and Control, Department of	1,324	1,326	1,336
Law, Department of	1,077	1,083	1,065
Subtotal - Independently Elected Agencies	2,401	2,409	2,401
Grand Total	87,967	90,961	88,078

Workforce Impact Summary

State Operating Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Children and Family Services, Office of	2,365	2,486	2,360
Corrections and Community Supervision, Department of	29,008	28,773	26,858
Education Department, State	1,238	1,279	1,263
Environmental Conservation, Department of	2,094	2,128	2,235
Financial Services, Department of	1,356	1,342	1,391
General Services, Office of	988	460	407
Health, Department of	3,437	3,515	4,068
Information Technology Services, Office of	3,464	3,541	3,461
Labor, Department of	342	327	508
Mental Health, Office of	13,351	13,293	13,195
Motor Vehicles, Department of	498	661	665
Parks, Recreation and Historic Preservation, Office of	1,314	1,553	1,524
People with Developmental Disabilities, Office for	18,476	18,640	18,187
State Police, Division of	5,539	5,704	5,666
Taxation and Finance, Department of	3,898	3,806	4,085
Temporary and Disability Assistance, Office of	1,011	1,011	1,014
Transportation, Department of	39	2,729	2,591
Workers' Compensation Board	1,082	1,044	1,109
Subtotal - Major Agencies	89,500	92,292	90,587
Minor Agencies	6,430	6,521	7,093
Subtotal - Subject to Direct Executive Control	95,930	98,813	97,680
University Systems			
City University of New York	375	377	381
State University of New York	45,880	46,447	46,834
Subtotal - University Systems	46,255	46,824	47,215
Independently Elected Agencies			
Audit and Control, Department of	1,490	1,494	1,524
Law, Department of	1,543	1,551	1,533
Subtotal - Independently Elected Agencies	3,033	3,045	3,057
Grand Total	145,218	148,682	147,952

Workforce Impact Summary

State Operating Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Minor Agencies			
Adirondack Park Agency	54	49	54
Aging, Office for the	11	12	18
Agriculture and Markets, Department of	415	422	429
Alcoholic Beverage Control, Division of	113	108	120
Alcoholism and Substance Abuse Services, Office of	720	714	722
Arts, Council on the	27	28	30
Budget, Division of the	234	252	261
Civil Service, Department of	192	211	191
Correction, Commission of	29	30	32
Criminal Justice Services, Division of	381	385	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	136	139	153
Elections, State Board of	69	69	77
Employee Relations, Office of	26	66	66
Executive Chamber	97	112	136
Financial Control Board, New York State	12	10	13
Gaming Commission, New York State	417	397	430
Higher Education Services Corporation, New York State	183	156	176
Homeland Security and Emergency Services, Division of	347	390	509
Housing and Community Renewal, Division of	536	508	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	107	147	124
Indigent Legal Services, Office of	24	31	36
Inspector General, Office of the	87	81	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	40	38	43
Justice Center for the Protection of People with Special Needs	422	425	429
Labor Management Committees	72	68	77
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	203	203	214
Military and Naval Affairs, Division of	102	96	106
Prevention of Domestic Violence, Office for	17	17	20
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	52	51	52
Public Service Department	494	489	503
State, Department of	480	479	548
Statewide Financial System	135	134	142
Tax Appeals, Division of	23	22	27
Veterans' Services, Division of	76	80	90
Victim Services, Office of	43	47	54
Welfare Inspector General, Office of	7	7	7
Subtotal - Minor Agencies	6,430	6,521	7,093

Workforce Impact Summary

State Funds
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Children and Family Services, Office of	2,435	2,552	2,429
Corrections and Community Supervision, Department of	29,038	28,800	27,867
Education Department, State	1,238	1,279	1,263
Environmental Conservation, Department of	2,621	2,717	2,851
Financial Services, Department of	1,356	1,342	1,391
General Services, Office of	1,280	881	827
Health, Department of	3,558	3,639	4,195
Information Technology Services, Office of	3,471	3,566	3,491
Labor, Department of	342	327	508
Mental Health, Office of	13,900	13,846	13,747
Motor Vehicles, Department of	2,284	2,324	2,291
Parks, Recreation and Historic Preservation, Office of	1,729	1,988	2,008
People with Developmental Disabilities, Office for	18,856	19,024	18,572
State Police, Division of	5,609	5,784	5,741
Taxation and Finance, Department of	3,898	3,806	4,085
Temporary and Disability Assistance, Office of	1,019	1,017	1,022
Transportation, Department of	8,390	8,336	8,394
Workers' Compensation Board	1,082	1,044	1,109
Subtotal - Major Agencies	102,106	102,272	101,791
Minor Agencies	6,487	6,594	7,162
Subtotal - Subject to Direct Executive Control	108,593	108,866	108,953
University Systems			
City University of New York	375	377	381
State University Construction Fund	142	142	141
State University of New York	45,880	46,447	46,834
Subtotal - University Systems	46,397	46,966	47,356
Independently Elected Agencies			
Audit and Control, Department of	1,490	1,499	1,524
Law, Department of	1,545	1,555	1,540
Subtotal - Independently Elected Agencies	3,035	3,054	3,064
Grand Total	158,025	158,886	159,373

Workforce Impact Summary

State Funds
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Minor Agencies			
Adirondack Park Agency	54	49	54
Aging, Office for the	11	12	18
Agriculture and Markets, Department of	415	435	429
Alcoholic Beverage Control, Division of	113	108	120
Alcoholism and Substance Abuse Services, Office of	736	728	737
Arts, Council on the	27	28	30
Budget, Division of the	234	252	261
Civil Service, Department of	192	211	191
Correction, Commission of	29	30	32
Criminal Justice Services, Division of	381	385	403
Deferred Compensation Board	4	4	4
Economic Development, Department of	136	139	153
Elections, State Board of	69	69	77
Employee Relations, Office of	26	66	66
Executive Chamber	97	112	136
Financial Control Board, New York State	12	10	13
Gaming Commission, New York State	417	397	430
Higher Education Services Corporation, New York State	183	156	176
Homeland Security and Emergency Services, Division of	347	390	509
Housing and Community Renewal, Division of	536	508	655
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	107	147	124
Indigent Legal Services, Office of	24	31	36
Inspector General, Office of the	87	81	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	40	38	43
Justice Center for the Protection of People with Special Needs	422	425	429
Labor Management Committees	72	68	77
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	203	203	214
Military and Naval Affairs, Division of	143	142	160
Prevention of Domestic Violence, Office for	17	17	20
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	52	51	52
Public Service Department	494	489	503
State, Department of	480	479	548
Statewide Financial System	135	134	142
Tax Appeals, Division of	23	22	27
Veterans' Services, Division of	76	80	90
Victim Services, Office of	43	47	54
Welfare Inspector General, Office of	7	7	7
Subtotal - Minor Agencies	6,487	6,594	7,162

Workforce Impact Summary

All Funds
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Children and Family Services, Office of	2,887	3,008	2,919
Corrections and Community Supervision, Department of	29,351	29,117	28,803
Education Department, State	2,575	2,606	2,692
Environmental Conservation, Department of	2,887	2,996	3,115
Financial Services, Department of	1,356	1,342	1,391
General Services, Office of	1,811	1,803	1,931
Health, Department of	4,690	4,715	5,616
Information Technology Services, Office of	3,471	3,566	3,491
Labor, Department of	2,935	2,838	2,987
Mental Health, Office of	13,911	13,856	13,757
Motor Vehicles, Department of	2,301	2,363	2,344
Parks, Recreation and Historic Preservation, Office of	1,751	2,010	2,041
People with Developmental Disabilities, Office for	18,867	19,037	18,590
State Police, Division of	5,609	5,784	5,741
Taxation and Finance, Department of	3,898	3,806	4,085
Temporary and Disability Assistance, Office of	1,923	1,995	1,987
Transportation, Department of	8,501	8,442	8,520
Workers' Compensation Board	1,082	1,044	1,109
Subtotal - Major Agencies	109,806	110,328	111,119
Minor Agencies	7,591	7,639	8,372
Subtotal - Subject to Direct Executive Control	117,397	117,967	119,491
University Systems			
City University of New York	13,726	13,806	13,730
State University Construction Fund	142	142	141
State University of New York	45,882	46,448	46,836
Subtotal - University Systems	59,750	60,396	60,707
Independently Elected Agencies			
Audit and Control, Department of	2,630	2,610	2,663
Law, Department of	1,822	1,826	1,839
Subtotal - Independently Elected Agencies	4,452	4,436	4,502
Grand Total	181,599	182,799	184,700

Workforce Impact Summary

All Funds
2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Minor Agencies			
Adirondack Park Agency	54	49	54
Aging, Office for the	89	89	95
Agriculture and Markets, Department of	460	461	512
Alcoholic Beverage Control, Division of	113	108	120
Alcoholism and Substance Abuse Services, Office of	736	728	737
Arts, Council on the	27	28	30
Budget, Division of the	234	252	261
Civil Service, Department of	345	346	362
Correction, Commission of	29	30	32
Criminal Justice Services, Division of	408	413	435
Deferred Compensation Board	4	4	4
Economic Development, Department of	136	139	153
Elections, State Board of	69	74	85
Employee Relations, Office of	33	75	77
Executive Chamber	97	112	136
Financial Control Board, New York State	12	10	13
Gaming Commission, New York State	417	397	430
Higher Education Services Corporation, New York State	183	156	176
Homeland Security and Emergency Services, Division of	504	559	614
Housing and Community Renewal, Division of	608	584	776
Hudson River Valley Greenway Communities Council	0	0	1
Human Rights, Division of	160	159	164
Indigent Legal Services, Office of	24	31	36
Inspector General, Office of the	87	81	92
Interest on Lawyer Account	9	9	9
Judicial Conduct, Commission on	40	38	43
Justice Center for the Protection of People with Special Needs	422	425	429
Labor Management Committees	72	68	77
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	405	405	426
Military and Naval Affairs, Division of	390	382	405
Prevention of Domestic Violence, Office for	24	23	27
Public Employment Relations Board	30	30	33
Public Ethics, Joint Commission on	52	51	52
Public Service Department	494	489	528
State, Department of	493	491	565
Statewide Financial System	135	134	142
Tax Appeals, Division of	23	22	27
Veterans' Services, Division of	83	87	98
Victim Services, Office of	79	88	102
Welfare Inspector General, Office of	7	7	7
Subtotal - Minor Agencies	7,591	7,639	8,372

Workforce Impact Summary

Special Revenue Funds - Other 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Children and Family Services, Office of	36	57	44
Corrections and Community Supervision, Department of	5	4	4
Education Department, State	957	951	984
Environmental Conservation, Department of	1,100	1,080	1,122
Financial Services, Department of	1,356	1,342	1,391
General Services, Office of	58	56	13
Health, Department of	1,974	1,947	2,191
Labor, Department of	342	327	507
Motor Vehicles, Department of	498	497	498
Parks, Recreation and Historic Preservation, Office of	207	250	286
State Police, Division of	320	337	336
Taxation and Finance, Department of	53	56	699
Transportation, Department of	39	37	46
Workers' Compensation Board	1,082	1,044	1,109
Subtotal - Major Agencies	8,027	7,985	9,230
Minor Agencies			
Agriculture and Markets, Department of	44	35	43
Budget, Division of the	14	14	16
Civil Service, Department of	6	4	6
Criminal Justice Services, Division of	5	5	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	12	10	13
Gaming Commission, New York State	359	332	374
Higher Education Services Corporation, New York State	183	156	176
Homeland Security and Emergency Services, Division of	347	390	509
Housing and Community Renewal, Division of	503	473	601
Indigent Legal Services, Office of	24	31	36
Interest on Lawyer Account	9	9	9
Justice Center for the Protection of People with Special Needs	15	12	14
Military and Naval Affairs, Division of	2	0	2
Public Service Department	494	489	503
State, Department of	275	267	410
Victim Services, Office of	43	47	54
Subtotal - Minor Agencies	2,340	2,279	2,776
Subtotal - Subject to Direct Executive Control	10,367	10,264	12,006
University Systems			
City University of New York	375	377	381
State University of New York	45,877	46,444	46,831
Subtotal - University Systems	46,252	46,821	47,212
Independently Elected Agencies			
Audit and Control, Department of	166	168	188
Law, Department of	466	468	468
Subtotal - Independently Elected Agencies	632	636	656
Grand Total	57,251	57,721	59,874

Workforce Impact Summary

Special Revenue Funds - Federal 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Children and Family Services, Office of	366	369	391
Corrections and Community Supervision, Department of	36	30	646
Education Department, State	1,198	1,185	1,285
Environmental Conservation, Department of	260	274	260
Health, Department of	1,051	1,037	1,370
Labor, Department of	2,565	2,492	2,463
Motor Vehicles, Department of	17	39	53
Parks, Recreation and Historic Preservation, Office of	22	22	33
People with Developmental Disabilities, Office for	11	13	18
Temporary and Disability Assistance, Office of	904	978	965
Transportation, Department of	111	106	126
Subtotal - Major Agencies	6,541	6,545	7,610
Minor Agencies			
Aging, Office for the	78	77	77
Agriculture and Markets, Department of	6	0	35
Criminal Justice Services, Division of	27	28	32
Elections, State Board of	0	5	8
Homeland Security and Emergency Services, Division of	157	169	105
Housing and Community Renewal, Division of	72	76	121
Human Rights, Division of	53	12	40
Medicaid Inspector General, Office of the	202	202	212
Military and Naval Affairs, Division of	183	177	179
Public Service Department	0	0	25
State, Department of	13	12	17
Veterans' Services, Division of	7	7	8
Victim Services, Office of	36	41	48
Subtotal - Minor Agencies	834	806	907
Subtotal - Subject to Direct Executive Control	7,375	7,351	8,517
University Systems			
State University of New York	2	1	2
Subtotal - University Systems	2	1	2
Independently Elected Agencies			
Audit and Control, Department of	5	5	0
Law, Department of	229	220	249
Subtotal - Independently Elected Agencies	234	225	249
Grand Total	7,611	7,577	8,768

Workforce Impact Summary

Capital Projects Funds - Other 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Children and Family Services, Office of	70	66	69
Corrections and Community Supervision, Department of	30	27	1,009
Environmental Conservation, Department of	527	589	616
General Services, Office of	292	421	420
Health, Department of	121	124	127
Information Technology Services, Office of	7	25	30
Mental Health, Office of	549	553	552
Motor Vehicles, Department of	1,786	1,663	1,626
Parks, Recreation and Historic Preservation, Office of	415	435	484
People with Developmental Disabilities, Office for	380	384	385
State Police, Division of	70	80	75
Temporary and Disability Assistance, Office of	8	6	8
Transportation, Department of	8,351	5,607	5,803
Subtotal - Major Agencies	12,606	9,980	11,204
Minor Agencies			
Agriculture and Markets, Department of	0	13	0
Alcoholism and Substance Abuse Services, Office of	16	14	15
Military and Naval Affairs, Division of	41	46	54
Subtotal - Minor Agencies	57	73	69
Subtotal - Subject to Direct Executive Control	12,663	10,053	11,273
University Systems			
State University Construction Fund	142	142	141
Subtotal - University Systems	142	142	141
Independently Elected Agencies			
Audit and Control, Department of	0	5	0
Law, Department of	2	4	7
Subtotal - Independently Elected Agencies	2	9	7
Grand Total	12,807	10,204	11,421

Workforce Impact Summary

Capital Projects Funds - Federal 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Environmental Conservation, Department of	6	5	4
Health, Department of	81	39	51
Subtotal - Major Agencies	87	44	55
Minor Agencies			
Military and Naval Affairs, Division of	64	63	64
Subtotal - Minor Agencies	64	63	64
Subtotal - Subject to Direct Executive Control	151	107	119
Grand Total	151	107	119

Workforce Impact Summary

Enterprise Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Corrections and Community Supervision, Department of General Services, Office of	6 11	6 9	10 62
Subtotal - Major Agencies	17	15	72
Minor Agencies			
Agriculture and Markets, Department of Military and Naval Affairs, Division of	37 0	25 0	45 2
Subtotal - Minor Agencies	37	25	47
Subtotal - Subject to Direct Executive Control	54	40	119
Grand Total	54	40	119

Workforce Impact Summary

Internal Service Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Major Agencies			
Children and Family Services, Office of	86	87	99
Corrections and Community Supervision, Department of	271	281	280
Education Department, State	139	142	144
General Services, Office of	520	913	1,042
Labor, Department of	28	19	16
Mental Health, Office of	11	10	10
Subtotal - Major Agencies	1,055	1,452	1,591
Minor Agencies			
Civil Service, Department of	153	135	171
Employee Relations, Office of	7	9	11
Prevention of Domestic Violence, Office for	7	6	7
Subtotal - Minor Agencies	167	150	189
Subtotal - Subject to Direct Executive Control	1,222	1,602	1,780
Independently Elected Agencies			
Audit and Control, Department of	168	171	161
Law, Department of	48	51	50
Subtotal - Independently Elected Agencies	216	222	211
Grand Total	1,438	1,824	1,991

Workforce Impact Summary

Agency Trust Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
University Systems			
City University of New York	13,351	13,429	13,349
Subtotal - University Systems	13,351	13,429	13,349
Grand Total	13,351	13,429	13,349

Workforce Impact Summary

Pension Trust Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Independently Elected Agencies			
Audit and Control, Department of	967	935	978
Subtotal - Independently Elected Agencies	967	935	978
Grand Total	967	935	978

Workforce Impact Summary

Private Purpose Trust Funds 2017-18 Through 2019-20

	2017-18 Actuals (03/31/18)	2018-19 Actuals (03/31/19)	2019-20 Estimate (03/31/20)
Minor Agencies			
Agriculture and Markets, Department of	2	1	3
Subtotal - Minor Agencies	2	1	3
Subtotal - Subject to Direct Executive Control	2	1	3
Grand Total	2	1	3

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	65,366	65,377	67,848	61,685	60,073	59,873
Local Assistance	32,318	33,027	32,932	25,197	25,347	25,347
State Operations	33,048	32,350	34,916	36,488	34,726	34,526
Personal Service	28,155	27,105	28,413	29,934	29,148	29,148
Non-Personal Service	4,893	5,245	6,503	6,554	5,578	5,378
<i>Alcoholic Beverage Control, Division of</i>	11,819	11,546	11,275	11,560	11,244	11,244
State Operations	11,819	11,546	11,275	11,560	11,244	11,244
Personal Service	8,131	8,166	8,316	8,901	8,585	8,585
Non-Personal Service	3,688	3,380	2,959	2,659	2,659	2,659
<i>Economic Development, Department of</i>	70,791	71,702	67,475	62,878	62,728	62,728
Local Assistance	52,871	55,760	52,134	47,537	47,387	47,387
State Operations	17,920	15,942	15,341	15,341	15,341	15,341
Personal Service	13,240	13,090	12,826	12,826	12,826	12,826
Non-Personal Service	4,680	2,852	2,515	2,515	2,515	2,515
<i>Empire State Development Corporation</i>	124,880	85,177	75,724	76,054	76,054	76,054
Local Assistance	124,880	85,177	75,724	76,054	76,054	76,054
<i>Olympic Regional Development Authority</i>	2,736	11,143	8,526	8,526	8,526	8,526
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,783	8,526	8,526	8,526	8,526
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service	188	3,188	3,188	3,188	3,188	3,188
Functional Total	275,592	244,945	230,848	220,703	218,625	218,425
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,468	4,297	4,728	4,714	4,556	4,556
State Operations	4,468	4,297	4,728	4,714	4,556	4,556
Personal Service	4,179	3,950	4,401	4,401	4,243	4,243
Non-Personal Service	289	347	327	313	313	313
<i>Environmental Conservation, Department of</i>	96,795	105,456	112,008	121,194	119,497	125,228
Local Assistance	2,491	2,431	1,874	1,699	1,468	1,199
State Operations	94,304	103,025	110,134	119,495	118,029	124,029
Personal Service	82,506	90,087	88,366	94,077	92,611	98,611
Non-Personal Service	11,798	12,938	21,768	25,418	25,418	25,418
<i>Parks, Recreation and Historic Preservation, Office of</i>	105,254	108,081	106,901	110,588	108,279	108,279
Local Assistance	2,931	2,188	440	100	100	100
State Operations	102,323	105,893	106,461	110,488	108,179	108,179
Personal Service	96,935	99,679	98,961	103,809	101,500	101,500
Non-Personal Service	5,388	6,214	7,500	6,679	6,679	6,679
Functional Total	206,517	217,834	223,637	236,496	232,332	238,063
TRANSPORTATION						
<i>Motor Vehicles, Department of</i>	1,600	10,677	12,347	12,586	12,830	12,830
Local Assistance	1,600	375	0	0	0	0
State Operations	0	10,302	12,347	12,586	12,830	12,830
Personal Service	0	8,318	8,694	8,868	9,046	9,046
Non-Personal Service	0	1,984	3,653	3,718	3,784	3,784

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Transportation, Department of	116,103	595,676	441,900	436,908	441,800	441,800
Local Assistance	114,708	303,461	109,851	109,851	109,851	109,851
State Operations	1,395	292,215	332,049	327,057	331,949	331,949
Personal Service	0	153,653	159,060	159,055	159,048	159,048
Non-Personal Service	1,395	138,562	172,989	168,002	172,901	172,901
Functional Total	117,703	606,353	454,247	449,494	454,630	454,630
HEALTH						
Aging, Office for the	125,380	131,371	146,703	147,176	152,279	157,554
Local Assistance	124,180	130,141	144,741	145,171	150,317	155,592
State Operations	1,200	1,230	1,962	2,005	1,962	1,962
Personal Service	1,103	1,125	1,856	1,899	1,856	1,856
Non-Personal Service	97	105	106	106	106	106
Health, Department of	14,611,316	15,474,913	18,647,793	21,158,718	22,989,571	24,133,567
Medical Assistance	13,111,453	13,837,091	17,019,655	19,732,729	21,573,191	22,734,165
Local Assistance	13,111,453	13,837,091	17,019,655	19,732,729	21,573,191	22,734,165
Essential Plan	87,638	76,580	79,726	78,089	75,531	74,003
State Operations	87,638	76,580	79,726	78,089	75,531	74,003
Personal Service	3,148	3,233	4,580	4,692	4,621	4,634
Non-Personal Service	84,490	73,347	75,146	73,397	70,910	69,369
Medicaid Administration	583,789	764,404	769,792	603,777	595,929	580,479
Local Assistance	286,336	503,108	526,198	366,502	352,297	338,613
State Operations	297,453	261,296	243,594	237,275	243,632	241,866
Personal Service	37,196	37,949	37,554	38,093	40,185	42,464
Non-Personal Service	260,257	223,347	206,040	199,182	203,447	199,402
Public Health	828,436	796,838	778,620	744,123	744,920	744,920
Local Assistance	707,236	662,604	635,776	598,174	602,076	602,076
State Operations	121,200	134,234	142,844	145,949	142,844	142,844
Personal Service	81,988	93,657	102,278	105,383	102,278	102,278
Non-Personal Service	39,212	40,577	40,566	40,566	40,566	40,566
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
State Operations	18,282	18,116	18,072	18,679	18,072	18,072
Personal Service	15,864	15,204	15,624	16,231	15,624	15,624
Non-Personal Service	2,418	2,912	2,448	2,448	2,448	2,448
Functional Total	14,754,978	15,624,400	18,812,568	21,324,573	23,159,922	24,309,193
SOCIAL WELFARE						
Children and Family Services, Office of	1,832,432	1,900,002	1,773,211	1,967,923	2,021,486	2,022,069
OCFS	1,755,070	1,827,888	1,692,413	1,875,945	1,925,344	1,925,927
Local Assistance	1,527,520	1,583,160	1,448,826	1,513,978	1,560,995	1,561,578
State Operations	227,550	244,728	243,587	361,967	364,349	364,349
Personal Service	168,186	172,601	168,664	263,398	263,541	263,541
Non-Personal Service	59,364	72,127	74,923	98,569	100,808	100,808
OCFS - Other	77,362	72,114	80,798	91,978	96,142	96,142
Local Assistance	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	9,912	13,881	35,557	51,167	51,678	51,678
Local Assistance	4,119	9,692	31,007	46,262	46,937	46,937
State Operations	5,793	4,189	4,550	4,905	4,741	4,741
Personal Service	3,866	3,049	4,199	4,492	4,328	4,328
Non-Personal Service	1,927	1,140	351	413	413	413
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State Operations	9,465	9,993	9,921	10,590	10,230	10,230
Personal Service	8,662	8,934	9,461	10,121	9,752	9,752
Non-Personal Service	803	1,059	460	469	478	478
Labor, Department of	12,863	15,715	27,710	2,599	5,351	5,351
Local Assistance	12,666	15,373	27,422	2,250	5,000	5,000
State Operations	197	342	288	349	351	351
Personal Service	84	87	88	94	91	91
Non-Personal Service	113	255	200	255	260	260
National and Community Service	770	560	772	781	781	781
Local Assistance	477	270	432	432	432	432
State Operations	293	290	340	349	349	349
Personal Service	292	288	331	340	340	340
Non-Personal Service	1	2	9	9	9	9
Temporary and Disability Assistance, Office of	1,351,164	1,262,856	1,471,962	1,488,737	1,495,257	1,608,057
Welfare Assistance	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
Local Assistance	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
All Other	213,840	224,918	250,226	256,371	262,891	375,691
Local Assistance	92,194	101,093	118,196	118,996	122,796	235,596
State Operations	121,646	123,825	132,030	137,375	140,095	140,095
Personal Service	64,895	68,003	73,244	76,580	78,130	78,130
Non-Personal Service	56,751	55,822	58,786	60,795	61,965	61,965
Functional Total	3,216,606	3,203,007	3,319,133	3,521,797	3,584,783	3,698,166
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,221	426,203	447,655	465,987	479,182	499,785
OASAS	14,896	352,360	381,113	397,112	409,632	429,865
Local Assistance	14,896	324,201	345,305	360,247	373,138	393,064
State Operations	0	28,159	35,808	36,865	36,494	36,801
Personal Service	0	22,378	27,213	27,997	27,347	27,628
Non-Personal Service	0	5,781	8,595	8,868	9,147	9,173
OASAS - Other	21,325	73,843	66,542	68,875	69,550	69,920
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	0	52,518	45,217	47,550	48,225	48,595
Personal Service	0	37,825	33,888	35,334	35,589	35,914
Non-Personal Service	0	14,693	11,329	12,216	12,636	12,681
Justice Center	37,797	40,349	40,099	42,545	41,819	42,360
Local Assistance	170	170	170	170	170	170
State Operations	37,627	40,179	39,929	42,375	41,649	42,190
Personal Service	30,029	31,149	31,761	34,003	33,060	33,373
Non-Personal Service	7,598	9,030	8,168	8,372	8,589	8,817
Mental Health, Office of	273,626	2,626,444	2,519,629	2,640,344	2,729,508	2,824,811
OMH	8,237	1,330,551	1,437,734	1,514,604	1,572,295	1,640,333
Local Assistance	7,540	1,002,556	1,073,485	1,152,853	1,200,981	1,260,200
State Operations	697	327,995	364,249	361,751	371,314	380,133
Personal Service	0	268,080	325,161	322,399	330,718	338,057
Non-Personal Service	697	59,915	39,088	39,352	40,596	42,076
OMH - Other	265,389	1,295,893	1,081,895	1,125,740	1,157,213	1,184,478
Local Assistance	265,389	278,961	77,238	89,517	93,994	102,295
State Operations	0	1,016,932	1,004,657	1,036,223	1,063,219	1,082,183
Personal Service	0	818,694	788,042	809,446	815,255	822,481
Non-Personal Service	0	198,238	216,615	226,777	247,964	259,702
People with Developmental Disabilities, Office for	438,201	1,851,002	1,838,820	2,212,890	2,116,932	2,301,552

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
OPWDD	143	389,699	395,641	380,501	390,678	401,540
Local Assistance	143	389,437	395,641	380,501	390,678	401,540
State Operations	0	262	0	0	0	0
Personal Service	0	262	0	0	0	0
OPWDD - Other	438,058	1,461,303	1,443,179	1,832,389	1,726,254	1,900,012
Local Assistance	438,058	131,150	102,652	485,727	365,406	522,243
State Operations	0	1,330,153	1,340,527	1,346,662	1,360,848	1,377,769
Personal Service	0	1,142,342	1,158,907	1,160,652	1,170,176	1,182,185
Non-Personal Service	0	187,811	181,620	186,010	190,672	195,584
Functional Total	785,845	4,943,998	4,846,203	5,361,766	5,367,441	5,668,508
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
State Operations	2,594	2,541	2,651	2,773	2,735	2,735
Personal Service	2,316	2,276	2,414	2,531	2,488	2,488
Non-Personal Service	278	265	237	242	247	247
Correctional Services, Department of	2,632,319	2,601,761	2,862,113	2,758,444	2,799,528	2,877,398
Local Assistance	5,686	3,435	5,016	4,836	4,836	4,836
State Operations	2,626,633	2,598,326	2,857,097	2,753,608	2,794,692	2,872,562
Personal Service	2,098,248	2,111,029	2,357,940	2,258,757	2,305,040	2,379,585
Non-Personal Service	528,385	487,297	499,157	494,851	489,652	492,977
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Local Assistance	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	170,421	194,937	185,361	175,977	175,707	176,395
Local Assistance	136,570	160,967	151,086	140,738	140,738	140,738
State Operations	33,851	33,970	34,275	35,239	34,969	35,657
Personal Service	26,713	28,029	27,627	28,591	28,150	28,664
Non-Personal Service	7,138	5,941	6,648	6,648	6,819	6,993
Homeland Security and Emergency Services, Division of	4,956	5,188	6,572	5,572	5,290	5,396
Local Assistance	3,886	4,188	5,572	4,572	4,290	4,376
State Operations	1,070	1,000	1,000	1,000	1,000	1,020
Personal Service	1,070	1,000	1,000	1,000	1,000	1,020
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
State Operations	5,552	5,423	6,038	5,936	6,114	6,220
Personal Service	4,238	3,959	4,677	4,548	4,483	4,573
Non-Personal Service	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
State Operations	10	0	30	30	30	30
Non-Personal Service	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
State Operations	14	7	38	38	38	38
Non-Personal Service	14	7	38	38	38	38
Military and Naval Affairs, Division of	23,738	21,330	21,659	21,957	22,593	23,046
Local Assistance	819	885	820	820	886	904
State Operations	22,919	20,445	20,839	21,137	21,707	22,142
Personal Service	15,015	14,335	14,241	14,539	14,615	14,908
Non-Personal Service	7,904	6,110	6,598	6,598	7,092	7,234
State Police, Division of	664,644	640,201	708,658	732,698	730,328	748,606
State Operations	664,644	640,201	708,658	732,698	730,328	748,606
Personal Service	630,445	611,673	680,138	699,138	694,758	712,324
Non-Personal Service	34,199	28,528	28,520	33,560	35,570	36,282
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State Operations	30,137	30,520	30,506	30,949	31,117	31,732
Personal Service	11,094	11,294	11,882	12,325	12,121	12,356
Non-Personal Service	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	(514)	20	0	0	0	0
Local Assistance	(514)	20	0	0	0	0
Functional Total	3,533,871	3,501,928	3,825,626	3,736,374	3,773,480	3,871,596
HIGHER EDUCATION						
City University of New York	1,465,153	1,508,353	1,536,842	1,577,439	1,610,889	1,644,883
Local Assistance	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
State Operations	0	346	0	0	0	0
Non-Personal Service	0	346	0	0	0	0
Higher Education Services Corporation, New York State	879,322	984,334	956,124	881,887	891,454	893,988
Local Assistance	879,322	984,334	955,624	881,387	890,954	893,488
State Operations	0	0	500	500	500	500
Personal Service	0	0	500	500	500	500
State University of New York	489,832	488,672	483,508	487,509	487,509	486,509
Local Assistance	488,783	487,744	482,766	486,767	486,767	485,767
State Operations	1,049	928	742	742	742	742
Non-Personal Service	1,049	928	742	742	742	742
Functional Total	2,834,307	2,981,359	2,976,474	2,946,835	2,989,852	3,025,380
EDUCATION						
Arts, Council on the	39,210	43,514	45,255	45,251	45,155	45,155
Local Assistance	34,950	39,248	40,935	40,835	40,835	40,835
State Operations	4,260	4,266	4,320	4,416	4,320	4,320
Personal Service	2,626	2,636	2,498	2,594	2,498	2,498
Non-Personal Service	1,634	1,630	1,822	1,822	1,822	1,822
Education, Department of	24,209,060	25,270,542	25,980,004	27,311,105	28,552,156	29,870,744
School Aid	21,953,910	22,927,234	23,456,097	24,801,656	25,975,512	27,197,359
Local Assistance	21,953,910	22,927,234	23,456,097	24,801,656	25,975,512	27,197,359
School Aid – Other	61,169	152,867	109,518	109,518	109,518	109,518
Local Assistance	61,169	152,867	109,518	109,518	109,518	109,518
Special Education Categorical Programs	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
Local Assistance	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
All Other	930,276	899,833	1,082,752	1,037,710	1,039,060	1,068,416
Local Assistance	873,880	843,652	1,023,514	978,500	980,822	1,010,178
State Operations	56,396	56,181	59,238	59,210	58,238	58,238
Personal Service	31,037	30,847	29,334	30,306	29,334	29,334
Non-Personal Service	25,359	25,334	29,904	28,904	28,904	28,904
Functional Total	24,248,270	25,314,056	26,025,259	27,356,356	28,597,311	29,915,899
GENERAL GOVERNMENT						
Budget, Division of the	23,931	22,431	23,432	23,749	22,895	22,895
State Operations	23,931	22,431	23,432	23,749	22,895	22,895
Personal Service	20,484	20,534	22,216	23,070	22,216	22,216
Non-Personal Service	3,447	1,897	1,216	679	679	679
Civil Service, Department of	14,117	15,793	15,746	14,216	13,842	13,850
Local Assistance	0	567	2,000	0	0	0
State Operations	14,117	15,226	13,746	14,216	13,842	13,850
Personal Service	13,731	14,874	13,746	14,216	13,842	13,850

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Non-Personal Service	386	352	0	0	0	0
Deferred Compensation Board	33	34	57	58	57	57
State Operations	33	34	57	58	57	57
Personal Service	33	34	32	33	32	32
Non-Personal Service	0	0	25	25	25	25
Elections, State Board of	7,769	8,348	10,147	10,583	10,054	9,428
Local Assistance	50	5	0	0	0	0
State Operations	7,719	8,343	10,147	10,583	10,054	9,428
Personal Service	6,077	5,773	6,288	6,571	6,478	6,316
Non-Personal Service	1,642	2,570	3,859	4,012	3,576	3,112
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
State Operations	3,569	2,097	6,444	6,542	6,444	6,445
Personal Service	2,515	2,040	6,248	6,346	6,248	6,248
Non-Personal Service	1,054	57	196	196	196	197
Gaming Commission, New York State	4,373	4,541	6,362	6,527	6,362	6,362
State Operations	4,373	4,541	6,362	6,527	6,362	6,362
Personal Service	2,872	3,177	4,282	4,447	4,282	4,282
Non-Personal Service	1,501	1,364	2,080	2,080	2,080	2,080
General Services, Office of	138,445	135,673	100,438	99,135	97,731	99,283
State Operations	138,445	135,673	100,438	99,135	97,731	99,283
Personal Service	63,907	57,198	25,412	28,798	26,407	26,407
Non-Personal Service	74,538	78,475	75,026	70,337	71,324	72,876
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
State Operations	7,166	6,844	7,487	7,826	8,061	8,210
Personal Service	6,223	5,909	6,648	6,970	6,857	6,994
Non-Personal Service	943	935	839	856	1,204	1,216
Labor Management Committees	30,630	35,520	36,000	31,211	31,000	31,000
State Operations	24,773	29,699	31,000	26,211	26,000	26,000
Personal Service	7,747	8,618	10,487	5,698	5,487	5,487
Non-Personal Service	17,026	21,081	20,513	20,513	20,513	20,513
General State Charges	5,857	5,821	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,349	2,816	3,094	3,116	3,054	3,054
Local Assistance	791	1,260	1,435	1,385	1,412	1,412
State Operations	1,558	1,556	1,659	1,731	1,642	1,642
Personal Service	1,412	1,394	1,491	1,524	1,431	1,431
Non-Personal Service	146	162	168	207	211	211
Public Employment Relations Board	3,232	3,393	3,589	3,719	3,589	3,589
State Operations	3,232	3,393	3,589	3,719	3,589	3,589
Personal Service	3,059	3,175	3,338	3,468	3,338	3,338
Non-Personal Service	173	218	251	251	251	251
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State Operations	5,115	5,223	5,630	5,835	6,010	6,119
Personal Service	4,386	4,516	4,681	4,867	4,827	4,924
Non-Personal Service	729	707	949	968	1,183	1,195
State, Department of	19,872	23,622	39,952	14,989	14,627	14,627
Local Assistance	9,122	12,989	30,842	5,537	5,537	5,537
State Operations	10,750	10,633	9,110	9,452	9,090	9,090
Personal Service	10,364	10,301	8,854	9,216	8,854	8,854
Non-Personal Service	386	332	256	236	236	236
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
State Operations	2,688	2,820	3,040	3,150	3,040	3,040
Personal Service	2,541	2,513	2,870	2,980	2,870	2,870
Non-Personal Service	147	307	170	170	170	170

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Taxation and Finance, Department of	307,009	304,503	230,349	279,042	270,349	270,349
Local Assistance	888	921	926	926	926	926
State Operations	306,121	303,582	229,423	278,116	269,423	269,423
Personal Service	266,320	262,755	217,987	235,376	227,987	227,987
Non-Personal Service	39,801	40,827	11,436	42,740	41,436	41,436
Technology, Office for	535,977	544,541	539,614	550,060	556,616	567,774
State Operations	535,977	544,541	539,614	550,060	556,616	567,774
Personal Service	289,994	299,018	299,796	305,792	311,907	318,145
Non-Personal Service	245,983	245,523	239,818	244,268	244,709	249,629
Veterans' Services, Division of	13,791	16,219	15,721	14,322	14,257	14,257
Local Assistance	7,906	10,499	9,485	7,840	7,840	7,840
State Operations	5,885	5,720	6,236	6,482	6,417	6,417
Personal Service	5,512	5,161	5,698	6,178	6,107	6,107
Non-Personal Service	373	559	538	304	310	310
Welfare Inspector General, Office of	671	629	701	731	753	768
State Operations	671	629	701	731	753	768
Personal Service	619	615	626	654	646	659
Non-Personal Service	52	14	75	77	107	109
Functional Total	1,120,737	1,135,047	1,047,803	1,074,811	1,068,741	1,081,107
ELECTED OFFICIALS						
Audit and Control, Department of	164,445	166,778	169,441	171,863	169,441	169,441
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	132,420	134,753	137,417	139,839	137,417	137,417
Personal Service	107,894	108,084	112,181	114,603	112,181	112,181
Non-Personal Service	24,526	26,669	25,236	25,236	25,236	25,236
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
State Operations	13,560	12,673	13,578	14,032	13,578	13,578
Personal Service	9,979	9,135	11,113	11,567	11,113	11,113
Non-Personal Service	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	2,691,658	2,824,285	2,834,400	2,906,400	2,849,400	2,849,400
Local Assistance	2,974	3,020	4,000	19,000	19,000	19,000
State Operations	1,966,636	2,063,276	2,057,600	2,104,600	2,047,600	2,047,600
Personal Service	1,565,719	1,669,267	1,641,300	1,698,300	1,641,300	1,641,300
Non-Personal Service	400,917	394,009	416,300	406,300	406,300	406,300
General State Charges	722,048	757,989	772,800	782,800	782,800	782,800
Law, Department of	105,993	107,960	109,689	112,935	109,689	109,689
State Operations	105,993	107,960	109,689	112,935	109,689	109,689
Personal Service	95,500	97,853	97,907	101,153	97,907	97,907
Non-Personal Service	10,493	10,107	11,782	11,782	11,782	11,782
Legislature	222,212	223,009	241,265	248,106	254,999	255,052
State Operations	222,212	223,009	241,265	248,106	254,999	255,052
Personal Service	174,350	175,304	187,511	192,583	198,366	198,419
Non-Personal Service	47,862	47,705	53,754	55,523	56,633	56,633
Lieutenant Governor, Office of the	492	530	614	634	614	614
State Operations	492	530	614	634	614	614
Personal Service	382	414	523	543	523	523
Non-Personal Service	110	116	91	91	91	91
Functional Total	3,198,360	3,335,235	3,368,987	3,453,970	3,397,721	3,397,774
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	721,979	666,385	672,166	704,192	704,192

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	Results	Results	Updated	Projected	Projected	Projected
Local Assistance	721,789	721,732	666,383	672,166	704,192	704,192
State Operations	131	247	2	0	0	0
Non-Personal Service	131	247	2	0	0	0
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Local Assistance	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Local Assistance	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Local Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	760,640	763,082	747,486	768,519	795,545	795,545
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,373,457	6,884,607	7,442,350	8,110,824	8,678,015
General State Charges	4,842,816	6,373,457	6,884,607	7,442,350	8,110,824	8,678,015
Miscellaneous	(24,131)	(19,359)	844,843	2,019,429	1,969,429	2,758,429
Local Assistance	(69,431)	(67,147)	554,998	1,231,741	1,181,741	1,820,741
State Operations	44,005	46,414	285,425	783,268	783,268	933,268
Personal Service	34	68	54,056	390,056	390,056	465,056
Non-Personal Service	43,971	46,346	231,369	393,212	393,212	468,212
General State Charges	1,295	1,374	4,420	4,420	4,420	4,420
Functional Total	4,818,685	6,354,098	7,729,450	9,461,779	10,080,253	11,436,444
TOTAL GENERAL FUND SPENDING	59,872,111	68,225,342	73,607,721	79,913,473	83,720,636	88,110,730

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	65,366	65,377	67,848	61,685	60,073	59,873
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	70,791	71,702	67,475	62,878	62,728	62,728
Empire State Development Corporation	124,880	85,177	75,724	76,054	76,054	76,054
Olympic Regional Development Authority	2,736	11,143	8,526	8,526	8,526	8,526
Functional Total	275,592	244,945	230,848	220,703	218,625	218,425
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	96,795	105,456	112,008	121,194	119,497	125,228
Parks, Recreation and Historic Preservation, Office of	105,254	108,081	106,901	110,588	108,279	108,279
Functional Total	206,517	217,834	223,637	236,496	232,332	238,063
TRANSPORTATION						
Motor Vehicles, Department of	1,600	10,677	12,347	12,586	12,830	12,830
Transportation, Department of	116,103	595,676	441,900	436,908	441,800	441,800
Functional Total	117,703	606,353	454,247	449,494	454,630	454,630
HEALTH						
Aging, Office for the	125,380	131,371	146,703	147,176	152,279	157,554
Health, Department of	14,611,316	15,474,913	18,647,793	21,158,718	22,989,571	24,133,567
<i>Medical Assistance</i>	13,111,453	13,837,091	17,019,655	19,732,729	21,573,191	22,734,165
<i>Essential Plan</i>	87,638	76,580	79,726	78,089	75,531	74,003
<i>Medicaid Administration</i>	583,789	764,404	769,792	603,777	595,929	580,479
<i>Public Health</i>	828,436	796,838	778,620	744,123	744,920	744,920
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
Functional Total	14,754,978	15,624,400	18,812,568	21,324,573	23,159,922	24,309,193
SOCIAL WELFARE						
Children and Family Services, Office of	1,832,432	1,900,002	1,773,211	1,967,923	2,021,486	2,022,069
<i>OCFS</i>	1,755,070	1,827,888	1,692,413	1,875,945	1,925,344	1,925,927
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	9,912	13,881	35,557	51,167	51,678	51,678
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	12,863	15,715	27,710	2,599	5,351	5,351
National and Community Service	770	560	772	781	781	781
Temporary and Disability Assistance, Office of	1,351,164	1,262,856	1,471,962	1,488,737	1,495,257	1,608,057
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	213,840	224,918	250,226	256,371	262,891	375,691
Functional Total	3,216,606	3,203,007	3,319,133	3,521,797	3,584,783	3,698,166
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,221	426,203	447,655	465,987	479,182	499,785
<i>OASAS</i>	14,896	352,360	381,113	397,112	409,632	429,865
<i>OASAS - Other</i>	21,325	73,843	66,542	68,875	69,550	69,920
Justice Center	37,797	40,349	40,099	42,545	41,819	42,360
Mental Health, Office of	273,626	2,626,444	2,519,629	2,640,344	2,729,508	2,824,811
<i>OMH</i>	8,237	1,330,551	1,437,734	1,514,604	1,572,295	1,640,333
<i>OMH - Other</i>	265,389	1,295,893	1,081,895	1,125,740	1,157,213	1,184,478
People with Developmental Disabilities, Office for	438,201	1,851,002	1,838,820	2,212,890	2,116,932	2,301,552
<i>OPWDD</i>	143	389,699	395,641	380,501	390,678	401,540
<i>OPWDD - Other</i>	438,058	1,461,303	1,443,179	1,832,389	1,726,254	1,900,012
Functional Total	785,845	4,943,998	4,846,203	5,361,766	5,367,441	5,668,508
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,632,319	2,601,761	2,862,113	2,758,444	2,799,528	2,877,398
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	170,421	194,937	185,361	175,977	175,707	176,395

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Homeland Security and Emergency Services, Division of	4,956	5,188	6,572	5,572	5,290	5,396
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	23,738	21,330	21,659	21,957	22,593	23,046
State Police, Division of	664,644	640,201	708,658	732,698	730,328	748,606
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	(514)	20	0	0	0	0
Functional Total	3,533,871	3,501,928	3,825,626	3,736,374	3,773,480	3,871,596
HIGHER EDUCATION						
City University of New York	1,465,153	1,508,353	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Services Corporation, New York State	879,322	984,334	956,124	881,887	891,454	893,988
State University of New York	489,832	488,672	483,508	487,509	487,509	486,509
Functional Total	2,834,307	2,981,359	2,976,474	2,946,835	2,989,852	3,025,380
EDUCATION						
Arts, Council on the	39,210	43,514	45,255	45,251	45,155	45,155
Education, Department of	24,209,060	25,270,542	25,980,004	27,311,105	28,552,156	29,870,744
<i>School Aid</i>	21,953,910	22,927,234	23,456,097	24,801,656	25,975,512	27,197,359
<i>School Aid – Other</i>	61,169	152,867	109,518	109,518	109,518	109,518
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
<i>All Other</i>	930,276	899,833	1,082,752	1,037,710	1,039,060	1,068,416
Functional Total	24,248,270	25,314,056	26,025,259	27,356,356	28,597,311	29,915,899
GENERAL GOVERNMENT						
Budget, Division of the	23,931	22,431	23,432	23,749	22,895	22,895
Civil Service, Department of	14,117	15,793	15,746	14,216	13,842	13,850
Deferred Compensation Board	33	34	57	58	57	57
Elections, State Board of	7,769	8,348	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	4,373	4,541	6,362	6,527	6,362	6,362
General Services, Office of	138,445	135,673	100,438	99,135	97,731	99,283
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	30,630	35,520	36,000	31,211	31,000	31,000
Prevention of Domestic Violence, Office for	2,349	2,816	3,094	3,116	3,054	3,054
Public Employment Relations Board	3,232	3,393	3,589	3,719	3,589	3,589
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	19,872	23,622	39,952	14,989	14,627	14,627
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	307,009	304,503	230,349	279,042	270,349	270,349
Technology, Office for	535,977	544,541	539,614	550,060	556,616	567,774
Veterans' Services, Division of	13,791	16,219	15,721	14,322	14,257	14,257
Welfare Inspector General, Office of	671	629	701	731	753	768
Functional Total	1,120,737	1,135,047	1,047,803	1,074,811	1,068,741	1,081,107
ELECTED OFFICIALS						
Audit and Control, Department of	164,445	166,778	169,441	171,863	169,441	169,441
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,691,657	2,824,284	2,834,400	2,906,400	2,849,400	2,849,400
Law, Department of	105,993	107,960	109,689	112,935	109,689	109,689
Legislature	222,212	223,009	241,265	248,106	254,999	255,052
Lieutenant Governor, Office of the	492	530	614	634	614	614
Functional Total	3,198,359	3,335,234	3,368,987	3,453,970	3,397,721	3,397,774

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	721,979	666,385	672,166	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	760,640	763,082	747,486	768,519	795,545	795,545
ALL OTHER CATEGORIES						
General State Charges	4,842,815	6,373,456	6,884,607	7,442,350	8,110,824	8,678,015
Miscellaneous	(24,131)	(19,359)	844,843	2,019,429	1,969,429	2,758,429
Functional Total	4,818,684	6,354,097	7,729,450	9,461,779	10,080,253	11,436,444
TOTAL GENERAL FUND SPENDING	59,872,109	68,225,340	73,607,721	79,913,473	83,720,636	88,110,730

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,318	33,027	32,932	25,197	25,347	25,347
Economic Development, Department of	52,871	55,760	52,134	47,537	47,387	47,387
Empire State Development Corporation	124,880	85,177	75,724	76,054	76,054	76,054
Olympic Regional Development Authority	0	2,360	0	0	0	0
Functional Total	210,069	176,324	160,790	148,788	148,788	148,788
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,491	2,431	1,874	1,699	1,468	1,199
Parks, Recreation and Historic Preservation, Office of	2,931	2,188	440	100	100	100
Functional Total	5,422	4,619	2,314	1,799	1,568	1,299
TRANSPORTATION						
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	114,708	303,461	109,851	109,851	109,851	109,851
Functional Total	116,308	303,836	109,851	109,851	109,851	109,851
HEALTH						
Aging, Office for the	124,180	130,141	144,741	145,171	150,317	155,592
Health, Department of	14,105,025	15,002,803	18,181,629	20,697,405	22,527,564	23,674,854
<i>Medical Assistance</i>	13,111,453	13,837,091	17,019,655	19,732,729	21,573,191	22,734,165
<i>Medicaid Administration</i>	286,336	503,108	526,198	366,502	352,297	338,613
<i>Public Health</i>	707,236	662,604	635,776	598,174	602,076	602,076
Functional Total	14,229,205	15,132,944	18,326,370	20,842,576	22,677,881	23,830,446
SOCIAL WELFARE						
Children and Family Services, Office of	1,604,882	1,655,274	1,529,624	1,605,956	1,657,137	1,657,720
<i>OCFS</i>	1,527,520	1,583,160	1,448,826	1,513,978	1,560,995	1,561,578
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	4,119	9,692	31,007	46,262	46,937	46,937
Labor, Department of	12,666	15,373	27,422	2,250	5,000	5,000
National and Community Service	477	270	432	432	432	432
Temporary and Disability Assistance, Office of	1,229,518	1,139,031	1,339,932	1,351,362	1,355,162	1,467,962
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	92,194	101,093	118,196	118,996	122,796	235,596
Functional Total	2,851,662	2,819,640	2,928,417	3,006,262	3,064,668	3,178,051
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,221	345,526	366,630	381,572	394,463	414,389
<i>OASAS</i>	14,896	324,201	345,305	360,247	373,138	393,064
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	170	170	170	170	170	170
Mental Health, Office of	272,929	1,281,517	1,150,723	1,242,370	1,294,975	1,362,495
<i>OMH</i>	7,540	1,002,556	1,073,485	1,152,853	1,200,981	1,260,200
<i>OMH - Other</i>	265,389	278,961	77,238	89,517	93,994	102,295
People with Developmental Disabilities, Office for	438,201	520,587	498,293	866,228	756,084	923,783
<i>OPWDD</i>	143	389,437	395,641	380,501	390,678	401,540
<i>OPWDD - Other</i>	438,058	131,150	102,652	485,727	365,406	522,243
Functional Total	747,521	2,147,800	2,015,816	2,490,340	2,445,692	2,700,837
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,686	3,435	5,016	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	136,570	160,967	151,086	140,738	140,738	140,738
Homeland Security and Emergency Services, Division of	3,886	4,188	5,572	4,572	4,290	4,376
Military and Naval Affairs, Division of	819	885	820	820	886	904
Victim Services, Office of	(514)	20	0	0	0	0
Functional Total	146,447	169,495	164,494	152,966	150,750	150,854

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
HIGHER EDUCATION						
City University of New York	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Services Corporation, New York State	879,322	984,334	955,624	881,387	890,954	893,488
State University of New York	488,783	487,744	482,766	486,767	486,767	485,767
Functional Total	2,833,258	2,980,085	2,975,232	2,945,593	2,988,610	3,024,138
EDUCATION						
Arts, Council on the	34,950	39,248	40,935	40,835	40,835	40,835
Education, Department of	24,152,664	25,214,361	25,920,766	27,251,895	28,493,918	29,812,506
<i>School Aid</i>	21,953,910	22,927,234	23,456,097	24,801,656	25,975,512	27,197,359
<i>School Aid – Other</i>	61,169	152,867	109,518	109,518	109,518	109,518
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
<i>All Other</i>	873,880	843,652	1,023,514	978,500	980,822	1,010,178
Functional Total	24,187,614	25,253,609	25,961,701	27,292,730	28,534,753	29,853,341
GENERAL GOVERNMENT						
Civil Service, Department of	0	567	2,000	0	0	0
Elections, State Board of	50	5	0	0	0	0
Prevention of Domestic Violence, Office for	791	1,260	1,435	1,385	1,412	1,412
State, Department of	9,122	12,989	30,842	5,537	5,537	5,537
Taxation and Finance, Department of	888	921	926	926	926	926
Veterans' Services, Division of	7,906	10,499	9,485	7,840	7,840	7,840
Functional Total	18,757	26,241	44,688	15,688	15,715	15,715
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	2,974	3,020	4,000	19,000	19,000	19,000
Functional Total	34,999	35,045	36,024	51,024	51,024	51,024
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,789	721,732	666,383	672,166	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	760,509	762,835	747,484	768,519	795,545	795,545
ALL OTHER CATEGORIES						
Miscellaneous	(69,431)	(67,147)	554,998	1,231,741	1,181,741	1,820,741
Functional Total	(69,431)	(67,147)	554,998	1,231,741	1,181,741	1,820,741
TOTAL LOCAL ASSISTANCE SPENDING	46,072,340	49,745,326	54,028,179	59,057,877	62,166,586	65,680,630

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,048	32,350	34,916	36,488	34,726	34,526
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	17,920	15,942	15,341	15,341	15,341	15,341
Olympic Regional Development Authority	2,736	8,783	8,526	8,526	8,526	8,526
Functional Total	65,523	68,621	70,058	71,915	69,837	69,637
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	94,304	103,025	110,134	119,495	118,029	124,029
Parks, Recreation and Historic Preservation, Office of	102,323	105,893	106,461	110,488	108,179	108,179
Functional Total	201,095	213,215	221,323	234,697	230,764	236,764
TRANSPORTATION						
Motor Vehicles, Department of	0	10,302	12,347	12,586	12,830	12,830
Transportation, Department of	1,395	292,215	332,049	327,057	331,949	331,949
Functional Total	1,395	302,517	344,396	339,643	344,779	344,779
HEALTH						
Aging, Office for the	1,200	1,230	1,962	2,005	1,962	1,962
Health, Department of	506,291	472,110	466,164	461,313	462,007	458,713
<i>Essential Plan</i>	87,638	76,580	79,726	78,089	75,531	74,003
<i>Medicaid Administration</i>	297,453	261,296	243,594	237,275	243,632	241,866
<i>Public Health</i>	121,200	134,234	142,844	145,949	142,844	142,844
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
Functional Total	525,773	491,456	486,198	481,997	482,041	478,747
SOCIAL WELFARE						
Children and Family Services, Office of	227,550	244,728	243,587	361,967	364,349	364,349
<i>OCFS</i>	227,550	244,728	243,587	361,967	364,349	364,349
Housing and Community Renewal, Division of	5,793	4,189	4,550	4,905	4,741	4,741
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	197	342	288	349	351	351
National and Community Service	293	290	340	349	349	349
Temporary and Disability Assistance, Office of	121,646	123,825	132,030	137,375	140,095	140,095
<i>All Other</i>	121,646	123,825	132,030	137,375	140,095	140,095
Functional Total	364,944	383,367	390,716	515,535	520,115	520,115
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	80,677	81,025	84,415	84,719	85,396
<i>OASAS</i>	0	28,159	35,808	36,865	36,494	36,801
<i>OASAS - Other</i>	0	52,518	45,217	47,550	48,225	48,595
Justice Center	37,627	40,179	39,929	42,375	41,649	42,190
Mental Health, Office of	697	1,344,927	1,368,906	1,397,974	1,434,533	1,462,316
<i>OMH</i>	697	327,995	364,249	361,751	371,314	380,133
<i>OMH - Other</i>	0	1,016,932	1,004,657	1,036,223	1,063,219	1,082,183
People with Developmental Disabilities, Office for	0	1,330,415	1,340,527	1,346,662	1,360,848	1,377,769
<i>OPWDD</i>	0	262	0	0	0	0
<i>OPWDD - Other</i>	0	1,330,153	1,340,527	1,346,662	1,360,848	1,377,769
Functional Total	38,324	2,796,198	2,830,387	2,871,426	2,921,749	2,967,671
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,626,633	2,598,326	2,857,097	2,753,608	2,794,692	2,872,562
Criminal Justice Services, Division of	33,851	33,970	34,275	35,239	34,969	35,657
Homeland Security and Emergency Services, Division of	1,070	1,000	1,000	1,000	1,000	1,020
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	22,919	20,445	20,839	21,137	21,707	22,142
State Police, Division of	664,644	640,201	708,658	732,698	730,328	748,606

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Functional Total	3,387,424	3,332,433	3,661,132	3,583,408	3,622,730	3,720,742
HIGHER EDUCATION						
City University of New York	0	346	0	0	0	0
Higher Education Services Corporation, New York State	0	0	500	500	500	500
State University of New York	1,049	928	742	742	742	742
Functional Total	1,049	1,274	1,242	1,242	1,242	1,242
EDUCATION						
Arts, Council on the	4,260	4,266	4,320	4,416	4,320	4,320
Education, Department of	56,396	56,181	59,238	59,210	58,238	58,238
<i>All Other</i>	56,396	56,181	59,238	59,210	58,238	58,238
Functional Total	60,656	60,447	63,558	63,626	62,558	62,558
GENERAL GOVERNMENT						
Budget, Division of the	23,931	22,431	23,432	23,749	22,895	22,895
Civil Service, Department of	14,117	15,226	13,746	14,216	13,842	13,850
Deferred Compensation Board	33	34	57	58	57	57
Elections, State Board of	7,719	8,343	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	4,373	4,541	6,362	6,527	6,362	6,362
General Services, Office of	138,445	135,673	100,438	99,135	97,731	99,283
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	29,699	31,000	26,211	26,000	26,000
Prevention of Domestic Violence, Office for	1,558	1,556	1,659	1,731	1,642	1,642
Public Employment Relations Board	3,232	3,393	3,589	3,719	3,589	3,589
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	10,750	10,633	9,110	9,452	9,090	9,090
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	306,121	303,582	229,423	278,116	269,423	269,423
Technology, Office for	535,977	544,541	539,614	550,060	556,616	567,774
Veterans' Services, Division of	5,885	5,720	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	629	701	731	753	768
Functional Total	1,096,123	1,102,985	998,115	1,054,123	1,048,026	1,060,392
ELECTED OFFICIALS						
Audit and Control, Department of	132,420	134,753	137,417	139,839	137,417	137,417
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	1,966,636	2,063,276	2,057,600	2,104,600	2,047,600	2,047,600
Law, Department of	105,993	107,960	109,689	112,935	109,689	109,689
Legislature	222,212	223,009	241,265	248,106	254,999	255,052
Lieutenant Governor, Office of the	492	530	614	634	614	614
Functional Total	2,441,313	2,542,201	2,560,163	2,620,146	2,563,897	2,563,950
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	247	2	0	0	0
Functional Total	131	247	2	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	44,005	46,414	285,425	783,268	783,268	933,268
Functional Total	44,005	46,414	285,425	783,268	783,268	933,268
TOTAL STATE OPERATIONS SPENDING	8,227,755	11,341,375	11,912,715	12,621,026	12,651,006	12,959,865

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	28,155	27,105	28,413	29,934	29,148	29,148
Alcoholic Beverage Control, Division of	8,131	8,166	8,316	8,901	8,585	8,585
Economic Development, Department of	13,240	13,090	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Functional Total	52,074	53,956	54,893	56,999	55,897	55,897
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,179	3,950	4,401	4,401	4,243	4,243
Environmental Conservation, Department of	82,506	90,087	88,366	94,077	92,611	98,611
Parks, Recreation and Historic Preservation, Office of	96,935	99,679	98,961	103,809	101,500	101,500
Functional Total	183,620	193,716	191,728	202,287	198,354	204,354
TRANSPORTATION						
Motor Vehicles, Department of	0	8,318	8,694	8,868	9,046	9,046
Transportation, Department of	0	153,653	159,060	159,055	159,048	159,048
Functional Total	0	161,971	167,754	167,923	168,094	168,094
HEALTH						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	122,332	134,839	144,412	148,168	147,084	149,376
<i>Essential Plan</i>	3,148	3,233	4,580	4,692	4,621	4,634
<i>Medicaid Administration</i>	37,196	37,949	37,554	38,093	40,185	42,464
<i>Public Health</i>	81,988	93,657	102,278	105,383	102,278	102,278
Medicaid Inspector General, Office of the	15,864	15,204	15,624	16,231	15,624	15,624
Functional Total	139,299	151,168	161,892	166,298	164,564	166,856
SOCIAL WELFARE						
Children and Family Services, Office of	168,186	172,601	168,664	263,398	263,541	263,541
<i>OCFS</i>	168,186	172,601	168,664	263,398	263,541	263,541
Housing and Community Renewal, Division of	3,866	3,049	4,199	4,492	4,328	4,328
Human Rights, Division of	8,662	8,934	9,461	10,121	9,752	9,752
Labor, Department of	84	87	88	94	91	91
National and Community Service	292	288	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,003	73,244	76,580	78,130	78,130
<i>All Other</i>	64,895	68,003	73,244	76,580	78,130	78,130
Functional Total	245,985	252,962	255,987	355,025	356,182	356,182
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	60,203	61,101	63,331	62,936	63,542
<i>OASAS</i>	0	22,378	27,213	27,997	27,347	27,628
<i>OASAS - Other</i>	0	37,825	33,888	35,334	35,589	35,914
Justice Center	30,029	31,149	31,761	34,003	33,060	33,373
Mental Health, Office of	0	1,086,774	1,113,203	1,131,845	1,145,973	1,160,538
<i>OMH</i>	0	268,080	325,161	322,399	330,718	338,057
<i>OMH - Other</i>	0	818,694	788,042	809,446	815,255	822,481
People with Developmental Disabilities, Office for	0	1,142,604	1,158,907	1,160,652	1,170,176	1,182,185
<i>OPWDD</i>	0	262	0	0	0	0
<i>OPWDD - Other</i>	0	1,142,342	1,158,907	1,160,652	1,170,176	1,182,185
Functional Total	30,029	2,320,730	2,364,972	2,389,831	2,412,145	2,439,638
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,316	2,276	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,248	2,111,029	2,357,940	2,258,757	2,305,040	2,379,585
Criminal Justice Services, Division of	26,713	28,029	27,627	28,591	28,150	28,664
Homeland Security and Emergency Services, Division of	1,070	1,000	1,000	1,000	1,000	1,020
Judicial Conduct, Commission on	4,238	3,959	4,677	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,015	14,335	14,241	14,539	14,615	14,908
State Police, Division of	630,445	611,673	680,138	699,138	694,758	712,324

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Statewide Financial System	11,094	11,294	11,882	12,325	12,121	12,356
Functional Total	2,789,139	2,783,595	3,099,919	3,021,429	3,062,655	3,155,918
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	0	500	500	500	500
Functional Total	0	0	500	500	500	500
EDUCATION						
Arts, Council on the	2,626	2,636	2,498	2,594	2,498	2,498
Education, Department of	31,037	30,847	29,334	30,306	29,334	29,334
<i>All Other</i>	31,037	30,847	29,334	30,306	29,334	29,334
Functional Total	33,663	33,483	31,832	32,900	31,832	31,832
GENERAL GOVERNMENT						
Budget, Division of the	20,484	20,534	22,216	23,070	22,216	22,216
Civil Service, Department of	13,731	14,874	13,746	14,216	13,842	13,850
Deferred Compensation Board	33	34	32	33	32	32
Elections, State Board of	6,077	5,773	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	2,040	6,248	6,346	6,248	6,248
Gaming Commission, New York State	2,872	3,177	4,282	4,447	4,282	4,282
General Services, Office of	63,907	57,198	25,412	28,798	26,407	26,407
Inspector General, Office of the	6,223	5,909	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	8,618	10,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,412	1,394	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,175	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,516	4,681	4,867	4,827	4,924
State, Department of	10,364	10,301	8,854	9,216	8,854	8,854
Tax Appeals, Division of	2,541	2,513	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	266,320	262,755	217,987	235,376	227,987	227,987
Technology, Office for	289,994	299,018	299,796	305,792	311,907	318,145
Veterans' Services, Division of	5,512	5,161	5,698	6,178	6,107	6,107
Welfare Inspector General, Office of	619	615	626	654	646	659
Functional Total	707,796	707,605	640,700	666,204	659,816	666,147
ELECTED OFFICIALS						
Audit and Control, Department of	107,894	108,084	112,181	114,603	112,181	112,181
Executive Chamber	9,979	9,135	11,113	11,567	11,113	11,113
Judiciary	1,565,719	1,669,267	1,641,300	1,698,300	1,641,300	1,641,300
Law, Department of	95,500	97,853	97,907	101,153	97,907	97,907
Legislature	174,350	175,304	187,511	192,583	198,366	198,419
Lieutenant Governor, Office of the	382	414	523	543	523	523
Functional Total	1,953,824	2,060,057	2,050,535	2,118,749	2,061,390	2,061,443
ALL OTHER CATEGORIES						
Miscellaneous	34	68	54,056	390,056	390,056	465,056
Functional Total	34	68	54,056	390,056	390,056	465,056
TOTAL PERSONAL SERVICE SPENDING	6,135,463	8,719,311	9,074,768	9,568,201	9,561,485	9,771,917

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	4,893	5,245	6,503	6,554	5,578	5,378
Alcoholic Beverage Control, Division of	3,688	3,380	2,959	2,659	2,659	2,659
Economic Development, Department of	4,680	2,852	2,515	2,515	2,515	2,515
Olympic Regional Development Authority	188	3,188	3,188	3,188	3,188	3,188
Functional Total	13,449	14,665	15,165	14,916	13,940	13,740
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	289	347	327	313	313	313
Environmental Conservation, Department of	11,798	12,938	21,768	25,418	25,418	25,418
Parks, Recreation and Historic Preservation, Office of	5,388	6,214	7,500	6,679	6,679	6,679
Functional Total	17,475	19,499	29,595	32,410	32,410	32,410
TRANSPORTATION						
Motor Vehicles, Department of	0	1,984	3,653	3,718	3,784	3,784
Transportation, Department of	1,395	138,562	172,989	168,002	172,901	172,901
Functional Total	1,395	140,546	176,642	171,720	176,685	176,685
HEALTH						
Aging, Office for the	97	105	106	106	106	106
Health, Department of	383,959	337,271	321,752	313,145	314,923	309,337
<i>Essential Plan</i>	84,490	73,347	75,146	73,397	70,910	69,369
<i>Medicaid Administration</i>	260,257	223,347	206,040	199,182	203,447	199,402
<i>Public Health</i>	39,212	40,577	40,566	40,566	40,566	40,566
Medicaid Inspector General, Office of the	2,418	2,912	2,448	2,448	2,448	2,448
Functional Total	386,474	340,288	324,306	315,699	317,477	311,891
SOCIAL WELFARE						
Children and Family Services, Office of	59,364	72,127	74,923	98,569	100,808	100,808
<i>OCFS</i>	59,364	72,127	74,923	98,569	100,808	100,808
Housing and Community Renewal, Division of	1,927	1,140	351	413	413	413
Human Rights, Division of	803	1,059	460	469	478	478
Labor, Department of	113	255	200	255	260	260
National and Community Service	1	2	9	9	9	9
Temporary and Disability Assistance, Office of	56,751	55,822	58,786	60,795	61,965	61,965
<i>All Other</i>	56,751	55,822	58,786	60,795	61,965	61,965
Functional Total	118,959	130,405	134,729	160,510	163,933	163,933
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	20,474	19,924	21,084	21,783	21,854
<i>OASAS</i>	0	5,781	8,595	8,868	9,147	9,173
<i>OASAS - Other</i>	0	14,693	11,329	12,216	12,636	12,681
Justice Center	7,598	9,030	8,168	8,372	8,589	8,817
Mental Health, Office of	697	258,153	255,703	266,129	288,560	301,778
<i>OMH</i>	697	59,915	39,088	39,352	40,596	42,076
<i>OMH - Other</i>	0	198,238	216,615	226,777	247,964	259,702
People with Developmental Disabilities, Office for	0	187,811	181,620	186,010	190,672	195,584
<i>OPWDD - Other</i>	0	187,811	181,620	186,010	190,672	195,584
Functional Total	8,295	475,468	465,415	481,595	509,604	528,033
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	278	265	237	242	247	247
Correctional Services, Department of	528,385	487,297	499,157	494,851	489,652	492,977
Criminal Justice Services, Division of	7,138	5,941	6,648	6,648	6,819	6,993
Judicial Conduct, Commission on	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	7,904	6,110	6,598	6,598	7,092	7,234
State Police, Division of	34,199	28,528	28,520	33,560	35,570	36,282

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Statewide Financial System	19,043	19,226	18,624	18,624	18,996	19,376
Functional Total	598,285	548,838	561,213	561,979	560,075	564,824
HIGHER EDUCATION						
City University of New York	0	346	0	0	0	0
State University of New York	1,049	928	742	742	742	742
Functional Total	1,049	1,274	742	742	742	742
EDUCATION						
Arts, Council on the	1,634	1,630	1,822	1,822	1,822	1,822
Education, Department of	25,359	25,334	29,904	28,904	28,904	28,904
<i>All Other</i>	25,359	25,334	29,904	28,904	28,904	28,904
Functional Total	26,993	26,964	31,726	30,726	30,726	30,726
GENERAL GOVERNMENT						
Budget, Division of the	3,447	1,897	1,216	679	679	679
Civil Service, Department of	386	352	0	0	0	0
Deferred Compensation Board	0	0	25	25	25	25
Elections, State Board of	1,642	2,570	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	57	196	196	196	197
Gaming Commission, New York State	1,501	1,364	2,080	2,080	2,080	2,080
General Services, Office of	74,538	78,475	75,026	70,337	71,324	72,876
Inspector General, Office of the	943	935	839	856	1,204	1,216
Labor Management Committees	17,026	21,081	20,513	20,513	20,513	20,513
Prevention of Domestic Violence, Office for	146	162	168	207	211	211
Public Employment Relations Board	173	218	251	251	251	251
Public Integrity, Commission on	729	707	949	968	1,183	1,195
State, Department of	386	332	256	236	236	236
Tax Appeals, Division of	147	307	170	170	170	170
Taxation and Finance, Department of	39,801	40,827	11,436	42,740	41,436	41,436
Technology, Office for	245,983	245,523	239,818	244,268	244,709	249,629
Veterans' Services, Division of	373	559	538	304	310	310
Welfare Inspector General, Office of	52	14	75	77	107	109
Functional Total	388,327	395,380	357,415	387,919	388,210	394,245
ELECTED OFFICIALS						
Audit and Control, Department of	24,526	26,669	25,236	25,236	25,236	25,236
Executive Chamber	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	400,917	394,009	416,300	406,300	406,300	406,300
Law, Department of	10,493	10,107	11,782	11,782	11,782	11,782
Legislature	47,862	47,705	53,754	55,523	56,633	56,633
Lieutenant Governor, Office of the	110	116	91	91	91	91
Functional Total	487,489	482,144	509,628	501,397	502,507	502,507
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	247	2	0	0	0
Functional Total	131	247	2	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	43,971	46,346	231,369	393,212	393,212	468,212
Functional Total	43,971	46,346	231,369	393,212	393,212	468,212
TOTAL NON-PERSONAL SERVICE SPENDING	2,092,292	2,622,064	2,837,947	3,052,825	3,089,521	3,187,948

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
GENERAL GOVERNMENT						
Labor Management Committees	5,857	5,821	5,000	5,000	5,000	5,000
Functional Total	<u>5,857</u>	<u>5,821</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
ELECTED OFFICIALS						
Judiciary	722,047	757,988	772,800	782,800	782,800	782,800
Functional Total	<u>722,047</u>	<u>757,988</u>	<u>772,800</u>	<u>782,800</u>	<u>782,800</u>	<u>782,800</u>
ALL OTHER CATEGORIES						
General State Charges	4,842,815	6,373,456	6,884,607	7,442,350	8,110,824	8,678,015
Miscellaneous	1,295	1,374	4,420	4,420	4,420	4,420
Functional Total	<u>4,844,110</u>	<u>6,374,830</u>	<u>6,889,027</u>	<u>7,446,770</u>	<u>8,115,244</u>	<u>8,682,435</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>5,572,014</u></u>	<u><u>7,138,639</u></u>	<u><u>7,666,827</u></u>	<u><u>8,234,570</u></u>	<u><u>8,903,044</u></u>	<u><u>9,470,235</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	71,270	73,582	75,147	69,192	83,238	83,073
Local Assistance	32,318	33,027	32,932	25,197	25,347	25,347
State Operations	37,697	37,473	40,322	42,002	55,932	55,732
Personal Service	30,802	30,255	31,378	33,003	32,113	32,113
Non-Personal Service/Indirect Costs	6,895	7,218	8,944	8,999	23,819	23,619
General State Charges	1,255	3,082	1,893	1,993	1,959	1,994
<i>Alcoholic Beverage Control, Division of</i>	11,819	11,546	11,275	11,560	11,244	11,244
State Operations	11,819	11,546	11,275	11,560	11,244	11,244
Personal Service	8,131	8,166	8,316	8,901	8,585	8,585
Non-Personal Service/Indirect Costs	3,688	3,380	2,959	2,659	2,659	2,659
<i>Economic Development, Department of</i>	72,278	73,570	69,453	64,856	69,706	69,706
Local Assistance	52,871	55,760	52,134	47,537	52,387	52,387
State Operations	19,405	17,810	17,291	17,291	17,291	17,291
Personal Service	13,243	13,090	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	6,162	4,720	4,362	4,362	4,362	4,362
General State Charges	2	0	28	28	28	28
<i>Empire State Development Corporation</i>	124,880	85,177	75,724	76,054	76,054	76,054
Local Assistance	124,880	85,177	75,724	76,054	76,054	76,054
<i>Financial Services, Department of</i>	357,557	392,863	369,125	387,954	381,591	381,591
Local Assistance	57,254	64,179	58,602	58,496	58,496	58,496
State Operations	209,379	215,165	208,699	214,981	208,844	208,844
Personal Service	154,146	156,895	153,752	159,736	153,893	153,893
Non-Personal Service/Indirect Costs	55,233	58,270	54,947	55,245	54,951	54,951
General State Charges	90,924	113,519	101,824	114,477	114,251	114,251
<i>Olympic Regional Development Authority</i>	2,736	11,175	8,676	8,676	8,676	8,676
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,784	8,676	8,676	8,676	8,676
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	188	3,189	3,338	3,338	3,338	3,338
General State Charges	0	31	0	0	0	0
<i>Public Service Department</i>	84,881	75,433	81,171	82,664	79,946	79,075
Local Assistance	102	218	1,072	122	122	122
State Operations	52,384	52,432	52,311	53,016	50,850	49,470
Personal Service	42,867	42,628	43,514	45,452	43,854	43,867
Non-Personal Service/Indirect Costs	9,517	9,804	8,797	7,564	6,996	5,603
General State Charges	32,395	22,783	27,788	29,526	28,974	29,483
Functional Total	725,421	723,346	690,571	700,956	710,455	709,419
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,468	4,297	4,728	4,714	4,556	4,556
State Operations	4,468	4,297	4,728	4,714	4,556	4,556
Personal Service	4,179	3,950	4,401	4,401	4,243	4,243
Non-Personal Service/Indirect Costs	289	347	327	313	313	313
<i>Environmental Conservation, Department of</i>	260,839	275,635	265,210	278,638	271,955	272,647
Local Assistance	2,491	2,431	1,874	1,699	1,468	1,199
State Operations	212,775	210,770	215,627	227,062	222,117	222,216
Personal Service	175,514	174,576	178,208	186,718	181,853	181,904
Non-Personal Service/Indirect Costs	37,261	36,194	37,419	40,344	40,264	40,312
General State Charges	45,573	62,434	47,709	49,877	48,370	49,232
<i>Parks, Recreation and Historic Preservation, Office of</i>	179,334	186,543	169,492	174,701	171,265	171,265
Local Assistance	7,087	6,502	4,290	3,950	3,950	3,950
State Operations	168,983	176,384	163,242	168,744	165,374	165,374

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Service	130,555	133,979	128,590	134,913	131,543	131,543
Non-Personal Service/Indirect Costs	38,428	42,405	34,652	33,831	33,831	33,831
General State Charges	3,264	3,657	1,960	2,007	1,941	1,941
Functional Total	444,641	466,475	439,430	458,053	447,776	448,468
TRANSPORTATION						
Motor Vehicles, Department of	71,728	87,876	83,653	86,529	86,862	86,862
Local Assistance	1,600	375	0	0	0	0
State Operations	49,142	59,189	59,535	60,566	60,247	60,247
Personal Service	36,244	43,766	43,263	44,207	44,497	44,497
Non-Personal Service/Indirect Costs	12,898	15,423	16,272	16,359	15,750	15,750
General State Charges	20,986	28,312	24,118	25,963	26,615	26,615
Transportation, Department of	5,034,223	4,240,127	3,894,302	4,224,561	4,408,052	4,654,567
Local Assistance	5,023,364	3,937,827	3,550,207	3,885,112	4,063,773	4,310,288
State Operations	9,139	300,619	341,898	337,037	341,822	341,822
Personal Service	2,803	156,307	162,384	162,506	162,396	162,396
Non-Personal Service/Indirect Costs	6,336	144,312	179,514	174,531	179,426	179,426
General State Charges	1,720	1,681	2,197	2,412	2,457	2,457
Functional Total	5,105,951	4,328,003	3,977,955	4,311,090	4,494,914	4,741,429
HEALTH						
Aging, Office for the	125,380	131,371	146,704	147,177	152,280	157,555
Local Assistance	124,180	130,141	144,741	145,171	150,317	155,592
State Operations	1,200	1,230	1,963	2,006	1,963	1,963
Personal Service	1,103	1,125	1,856	1,899	1,856	1,856
Non-Personal Service/Indirect Costs	97	105	107	107	107	107
Health, Department of	21,460,861	22,376,592	25,897,096	28,414,050	30,345,903	31,597,660
Medical Assistance	18,857,443	19,528,919	22,979,421	25,454,272	27,285,161	28,536,904
Local Assistance	18,857,443	19,528,919	22,979,421	25,454,272	27,285,161	28,536,904
Essential Plan	87,638	76,580	79,726	78,089	75,531	74,003
State Operations	87,638	76,580	79,726	78,089	75,531	74,003
Personal Service	3,148	3,233	4,580	4,692	4,621	4,634
Non-Personal Service/Indirect Costs	84,490	73,347	75,146	73,397	70,910	69,369
Medicaid Administration	583,789	764,404	771,333	605,318	597,470	582,020
Local Assistance	286,336	503,108	526,198	366,502	352,297	338,613
State Operations	297,453	261,296	244,554	238,235	244,592	242,826
Personal Service	37,196	37,949	38,464	39,003	41,095	43,374
Non-Personal Service/Indirect Costs	260,257	223,347	206,090	199,232	203,497	199,452
General State Charges	0	0	581	581	581	581
Public Health	1,931,991	2,006,689	2,066,616	2,276,371	2,387,741	2,404,733
Local Assistance	1,544,070	1,582,129	1,582,574	1,785,498	1,901,086	1,917,479
State Operations	356,208	389,638	446,191	450,455	444,957	445,506
Personal Service	212,539	225,732	242,965	245,862	242,438	242,524
Non-Personal Service/Indirect Costs	143,669	163,906	203,226	204,593	202,519	202,982
General State Charges	31,713	34,922	37,851	40,418	41,698	41,748
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
State Operations	18,282	18,116	18,072	18,679	18,072	18,072
Personal Service	15,864	15,204	15,624	16,231	15,624	15,624
Non-Personal Service/Indirect Costs	2,418	2,912	2,448	2,448	2,448	2,448
Functional Total	21,604,523	22,526,079	26,061,872	28,579,906	30,516,255	31,773,287
SOCIAL WELFARE						

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<i>Children and Family Services, Office of</i>	1,851,516	1,922,296	1,797,513	1,992,782	2,046,636	2,047,219
OCFS	1,774,154	1,850,182	1,716,715	1,900,804	1,950,494	1,951,077
Local Assistance	1,530,772	1,587,065	1,452,408	1,517,560	1,564,577	1,565,160
State Operations	241,724	260,874	262,176	380,994	383,651	383,651
Personal Service	170,908	176,225	172,018	266,881	266,990	266,990
Non-Personal Service/Indirect Costs	70,816	84,649	90,158	114,113	116,661	116,661
General State Charges	1,658	2,243	2,131	2,250	2,266	2,266
OCFS - Other	77,362	72,114	80,798	91,978	96,142	96,142
Local Assistance	77,362	72,114	80,798	91,978	96,142	96,142
<i>Housing and Community Renewal, Division of</i>	72,665	83,223	115,446	133,953	136,439	136,439
Local Assistance	4,410	10,178	31,859	47,114	47,789	47,789
State Operations	52,210	51,137	57,666	60,918	59,500	59,500
Personal Service	41,535	41,155	47,298	50,120	48,544	48,544
Non-Personal Service/Indirect Costs	10,675	9,982	10,368	10,798	10,956	10,956
General State Charges	16,045	21,908	25,921	25,921	29,150	29,150
<i>Human Rights, Division of</i>	9,465	9,993	9,921	10,590	10,230	10,230
State Operations	9,465	9,993	9,921	10,590	10,230	10,230
Personal Service	8,662	8,934	9,461	10,121	9,752	9,752
Non-Personal Service/Indirect Costs	803	1,059	460	469	478	478
<i>Labor, Department of</i>	83,203	91,113	94,578	72,143	76,435	76,435
Local Assistance	12,666	15,469	27,572	2,400	5,150	5,150
State Operations	49,642	49,768	46,519	49,256	48,280	48,280
Personal Service	34,323	33,501	32,618	34,864	33,620	33,620
Non-Personal Service/Indirect Costs	15,319	16,267	13,901	14,392	14,660	14,660
General State Charges	20,895	25,876	20,487	20,487	23,005	23,005
<i>National and Community Service</i>	770	560	772	781	781	781
Local Assistance	477	270	432	432	432	432
State Operations	293	290	340	349	349	349
Personal Service	292	288	331	340	340	340
Non-Personal Service/Indirect Costs	1	2	9	9	9	9
<i>Temporary and Disability Assistance, Office of</i>	1,352,404	1,266,537	1,473,175	1,489,065	1,495,585	1,608,385
Welfare Assistance	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
Local Assistance	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
All Other	215,080	228,599	251,439	256,699	263,219	376,019
Local Assistance	92,194	101,093	118,196	118,996	122,796	235,596
State Operations	122,874	127,470	133,115	137,575	140,295	140,295
Personal Service	64,895	68,003	73,244	76,580	78,130	78,130
Non-Personal Service/Indirect Costs	57,979	59,467	59,871	60,995	62,165	62,165
General State Charges	12	36	128	128	128	128
Functional Total	3,370,023	3,373,722	3,491,405	3,699,314	3,766,106	3,879,489
MENTAL HYGIENE						
<i>Alcoholism and Substance Abuse Services, Office of</i>	441,089	435,819	457,514	475,758	488,968	509,752
OASAS	348,092	361,970	390,972	406,883	419,418	439,832
Local Assistance	301,336	327,526	348,693	363,547	376,438	396,364
State Operations	31,964	34,444	42,279	43,336	42,980	43,468
Personal Service	20,354	22,378	27,213	27,997	27,347	27,628
Non-Personal Service/Indirect Costs	11,610	12,066	15,066	15,339	15,633	15,840
General State Charges	14,792	0	0	0	0	0
OASAS - Other	92,997	73,849	66,542	68,875	69,550	69,920
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	49,508	52,524	45,217	47,550	48,225	48,595

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Service	36,074	37,825	33,888	35,334	35,589	35,914
Non-Personal Service/Indirect Costs	13,434	14,699	11,329	12,216	12,636	12,681
General State Charges	22,164	0	0	0	0	0
Justice Center	40,136	43,551	42,893	45,401	44,674	45,259
Local Assistance	619	679	649	649	649	649
State Operations	38,868	41,652	41,346	43,844	43,130	43,685
Personal Service	31,243	32,560	33,133	35,425	34,495	34,821
Non-Personal Service/Indirect Costs	7,625	9,092	8,213	8,419	8,635	8,864
General State Charges	649	1,220	898	908	895	925
Mental Health, Office of	3,182,496	2,581,803	2,526,149	2,646,864	2,736,028	2,831,331
OMH	1,459,290	1,323,262	1,444,254	1,521,124	1,578,815	1,646,853
Local Assistance	915,920	1,002,843	1,074,560	1,153,928	1,202,056	1,261,275
State Operations	349,973	331,100	369,694	367,196	376,759	385,578
Personal Service	287,281	268,077	325,264	322,502	330,821	338,160
Non-Personal Service/Indirect Costs	62,692	63,023	44,430	44,694	45,938	47,418
General State Charges	193,397	(10,681)	0	0	0	0
OMH - Other	1,723,206	1,258,541	1,081,895	1,125,740	1,157,213	1,184,478
Local Assistance	265,389	278,961	77,238	89,517	93,994	102,295
State Operations	1,000,487	1,013,556	1,004,657	1,036,223	1,063,219	1,082,183
Personal Service	783,120	818,643	788,042	809,446	815,255	822,481
Non-Personal Service/Indirect Costs	217,367	194,913	216,615	226,777	247,964	259,702
General State Charges	457,330	(33,976)	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0	0
State Operations	204	0	0	0	0	0
Non-Personal Service/Indirect Costs	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,862,904	1,839,724	1,839,001	2,213,071	2,117,113	2,301,733
OPWDD	407,423	387,604	395,822	380,682	390,859	401,721
Local Assistance	407,275	387,306	395,641	380,501	390,678	401,540
State Operations	148	298	181	181	181	181
Personal Service	124	262	0	0	0	0
Non-Personal Service/Indirect Costs	24	36	181	181	181	181
OPWDD - Other	2,455,481	1,452,120	1,443,179	1,832,389	1,726,254	1,900,012
Local Assistance	438,663	131,150	102,652	485,727	365,406	522,243
State Operations	1,333,017	1,320,970	1,340,527	1,346,662	1,360,848	1,377,769
Personal Service	1,129,750	1,142,322	1,158,907	1,160,652	1,170,176	1,182,185
Non-Personal Service/Indirect Costs	203,267	178,648	181,620	186,010	190,672	195,584
General State Charges	683,801	0	0	0	0	0
Functional Total	6,526,829	4,900,897	4,865,557	5,381,094	5,386,783	5,688,075
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
State Operations	2,594	2,541	2,651	2,773	2,735	2,735
Personal Service	2,316	2,276	2,414	2,531	2,488	2,488
Non-Personal Service/Indirect Costs	278	265	237	242	247	247
Correctional Services, Department of	2,633,748	2,602,994	2,865,065	2,761,396	2,802,480	2,880,375
Local Assistance	5,686	3,435	5,016	4,836	4,836	4,836
State Operations	2,627,945	2,599,368	2,859,943	2,756,454	2,797,538	2,875,433
Personal Service	2,098,499	2,111,244	2,358,159	2,258,976	2,305,259	2,379,808
Non-Personal Service/Indirect Costs	529,446	488,124	501,784	497,478	492,279	495,625
General State Charges	117	191	106	106	106	106
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Local Assistance	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	193,155	217,569	213,927	204,509	204,330	205,075

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Local Assistance	156,618	181,941	176,519	166,171	166,171	166,171
State Operations	36,502	35,628	37,408	38,338	38,159	38,904
Personal Service	26,993	28,281	28,019	28,994	28,550	29,064
Non-Personal Service/Indirect Costs	9,509	7,347	9,389	9,344	9,609	9,840
General State Charges	35	0	0	0	0	0
Homeland Security and Emergency Services, Division of	60,960	86,408	77,779	133,148	144,342	147,268
Local Assistance	30,642	49,327	37,541	92,142	100,839	102,925
State Operations	29,703	36,318	39,421	40,171	42,598	43,438
Personal Service	16,362	20,611	29,610	30,856	32,215	32,774
Non-Personal Service/Indirect Costs	13,341	15,707	9,811	9,315	10,383	10,664
General State Charges	615	763	817	835	905	905
Indigent Legal Services, Office of	75,499	88,454	150,900	211,080	261,200	311,215
Local Assistance	72,102	83,765	144,660	204,660	254,660	304,660
State Operations	2,328	2,838	4,137	4,203	4,201	4,216
Personal Service	1,873	2,430	3,298	3,364	3,350	3,350
Non-Personal Service/Indirect Costs	455	408	839	839	851	866
General State Charges	1,069	1,851	2,103	2,217	2,339	2,339
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
State Operations	5,552	5,423	6,038	5,936	6,114	6,220
Personal Service	4,238	3,959	4,677	4,548	4,483	4,573
Non-Personal Service/Indirect Costs	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
State Operations	10	0	30	30	30	30
Non-Personal Service/Indirect Costs	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
State Operations	14	7	38	38	38	38
Non-Personal Service/Indirect Costs	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,915	24,968	26,586	25,782	26,420	26,878
Local Assistance	819	885	820	820	886	904
State Operations	25,090	23,869	25,757	24,953	25,524	25,964
Personal Service	15,083	14,432	14,402	14,700	14,776	15,073
Non-Personal Service/Indirect Costs	10,007	9,437	11,355	10,253	10,748	10,891
General State Charges	6	214	9	9	10	10
State Police, Division of	756,006	763,179	818,469	838,521	836,821	856,473
State Operations	741,934	717,416	790,059	809,568	807,498	826,950
Personal Service	677,367	657,453	729,830	749,270	745,128	763,744
Non-Personal Service/Indirect Costs	64,567	59,963	60,229	60,298	62,370	63,206
General State Charges	14,072	45,763	28,410	28,953	29,323	29,523
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
State Operations	30,137	30,520	30,506	30,949	31,117	31,732
Personal Service	11,094	11,294	11,882	12,325	12,121	12,356
Non-Personal Service/Indirect Costs	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	26,080	30,267	36,345	36,462	37,111	37,111
Local Assistance	20,728	24,865	30,744	30,744	31,398	31,398
State Operations	3,714	3,508	3,951	4,068	4,030	4,030
Personal Service	3,184	2,958	3,206	3,323	3,272	3,272
Non-Personal Service/Indirect Costs	530	550	745	745	758	758
General State Charges	1,638	1,894	1,650	1,650	1,683	1,683
Functional Total	3,809,670	3,852,330	4,230,334	4,252,624	4,352,738	4,505,150
HIGHER EDUCATION						
City University of New York	1,568,018	1,604,154	1,633,469	1,674,995	1,709,385	1,744,327
Local Assistance	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
State Operations	102,720	96,018	96,477	97,406	98,346	99,294

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Service	52,623	47,274	48,594	49,045	49,501	49,961
Non-Personal Service/Indirect Costs	50,097	48,744	47,883	48,361	48,845	49,333
General State Charges	145	129	150	150	150	150
Higher Education - Miscellaneous	337	540	441	441	441	441
State Operations	256	340	291	291	291	291
Personal Service	169	232	198	198	198	198
Non-Personal Service/Indirect Costs	87	108	93	93	93	93
General State Charges	81	200	150	150	150	150
Higher Education Services Corporation, New York State	929,204	1,033,360	1,007,918	933,681	943,248	945,782
Local Assistance	879,322	984,334	955,624	881,387	890,954	893,488
State Operations	41,751	39,219	43,274	43,274	43,274	43,274
Personal Service	13,380	12,337	12,916	12,916	12,916	12,916
Non-Personal Service/Indirect Costs	28,371	26,882	30,358	30,358	30,358	30,358
General State Charges	8,131	9,807	9,020	9,020	9,020	9,020
State University of New York	6,999,905	7,011,073	7,235,260	7,522,346	7,624,161	7,855,995
Local Assistance	488,783	487,744	482,766	486,767	486,767	485,767
State Operations	6,080,263	6,000,806	6,234,651	6,488,765	6,575,417	6,780,921
Personal Service	3,753,285	3,952,902	4,189,940	4,409,163	4,458,536	4,615,248
Non-Personal Service/Indirect Costs	2,326,978	2,047,904	2,044,711	2,079,602	2,116,881	2,165,673
General State Charges	430,859	522,523	517,843	546,814	561,977	589,307
Functional Total	9,497,464	9,649,127	9,877,088	10,131,463	10,277,235	10,546,545
EDUCATION						
Arts, Council on the	39,210	43,514	45,353	45,349	45,253	45,253
Local Assistance	34,950	39,248	41,033	40,933	40,933	40,933
State Operations	4,260	4,266	4,320	4,416	4,320	4,320
Personal Service	2,626	2,636	2,498	2,594	2,498	2,498
Non-Personal Service/Indirect Costs	1,634	1,630	1,822	1,822	1,822	1,822
Education, Department of	30,372,803	31,150,503	32,145,048	33,109,949	34,254,315	35,446,976
School Aid	25,396,252	26,250,356	27,301,897	28,379,856	29,553,812	30,770,559
Local Assistance	25,396,252	26,250,356	27,301,897	28,379,856	29,553,812	30,770,559
School Aid – Other	61,169	152,867	109,518	109,518	109,518	109,518
Local Assistance	61,169	152,867	109,518	109,518	109,518	109,518
STAR Property Tax Relief	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
Local Assistance	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
Special Education Categorical Programs	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
Local Assistance	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
All Other	1,062,532	1,033,560	1,226,001	1,185,238	1,183,462	1,213,463
Local Assistance	883,117	852,272	1,036,547	991,533	993,855	1,023,211
State Operations	144,872	138,074	150,213	152,247	149,026	148,983
Personal Service	90,372	88,514	90,726	94,081	90,726	90,726
Non-Personal Service/Indirect Costs	54,500	49,560	59,487	58,166	58,300	58,257
General State Charges	34,543	43,214	39,241	41,458	40,581	41,269
Functional Total	30,412,013	31,194,017	32,190,401	33,155,298	34,299,568	35,492,229
GENERAL GOVERNMENT						
Budget, Division of the	27,312	26,125	30,133	30,603	29,596	29,596
State Operations	26,600	25,218	28,476	28,886	27,939	27,939
Personal Service	21,683	21,921	24,567	25,511	24,567	24,567
Non-Personal Service/Indirect Costs	4,917	3,297	3,909	3,375	3,372	3,372
General State Charges	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	14,975	16,128	16,351	14,848	14,890	14,911

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Local Assistance	0	567	2,000	0	0	0
State Operations	14,795	15,422	14,120	14,604	14,649	14,666
Personal Service	14,053	15,062	14,108	14,592	14,207	14,215
Non-Personal Service/Indirect Costs	742	360	12	12	442	451
General State Charges	180	139	231	244	241	245
Deferred Compensation Board	675	776	891	896	900	904
State Operations	451	474	648	649	648	648
Personal Service	408	424	413	414	413	413
Non-Personal Service/Indirect Costs	43	50	235	235	235	235
General State Charges	224	302	243	247	252	256
Elections, State Board of	7,813	8,621	10,147	10,583	10,054	9,428
Local Assistance	50	5	0	0	0	0
State Operations	7,763	8,616	10,147	10,583	10,054	9,428
Personal Service	6,077	5,773	6,288	6,571	6,478	6,316
Non-Personal Service/Indirect Costs	1,686	2,843	3,859	4,012	3,576	3,112
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
State Operations	3,569	2,097	6,444	6,542	6,444	6,445
Personal Service	2,515	2,040	6,248	6,346	6,248	6,248
Non-Personal Service/Indirect Costs	1,054	57	196	196	196	197
Gaming Commission, New York State	176,850	159,059	285,401	215,100	213,683	212,683
Local Assistance	65,779	69,013	199,668	121,950	122,950	121,950
State Operations	94,531	67,292	68,158	74,685	73,158	73,158
Personal Service	34,862	35,418	38,687	40,178	38,687	38,687
Non-Personal Service/Indirect Costs	59,669	31,874	29,471	34,507	34,471	34,471
General State Charges	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	143,940	142,000	105,028	103,864	102,466	104,093
State Operations	143,571	141,462	104,480	103,281	101,891	103,508
Personal Service	67,107	61,158	26,270	29,695	27,278	27,278
Non-Personal Service/Indirect Costs	76,464	80,304	78,210	73,586	74,613	76,230
General State Charges	369	538	548	583	575	585
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
State Operations	7,166	6,844	7,487	7,826	8,061	8,210
Personal Service	6,223	5,909	6,648	6,970	6,857	6,994
Non-Personal Service/Indirect Costs	943	935	839	856	1,204	1,216
Labor Management Committees	30,630	35,520	36,306	31,517	31,306	31,306
State Operations	24,773	29,699	31,306	26,517	26,306	26,306
Personal Service	7,747	8,618	10,487	5,698	5,487	5,487
Non-Personal Service/Indirect Costs	17,026	21,081	20,819	20,819	20,819	20,819
General State Charges	5,857	5,821	5,000	5,000	5,000	5,000
Prevention of Domestic Violence, Office for	2,349	2,816	3,099	3,121	3,059	3,059
Local Assistance	791	1,260	1,435	1,385	1,412	1,412
State Operations	1,558	1,556	1,664	1,736	1,647	1,647
Personal Service	1,412	1,394	1,491	1,524	1,431	1,431
Non-Personal Service/Indirect Costs	146	162	173	212	216	216
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
State Operations	3,260	3,430	3,634	3,764	3,634	3,634
Personal Service	3,059	3,175	3,338	3,468	3,338	3,338
Non-Personal Service/Indirect Costs	201	255	296	296	296	296
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State Operations	5,115	5,223	5,630	5,835	6,010	6,119
Personal Service	4,386	4,516	4,681	4,867	4,827	4,924
Non-Personal Service/Indirect Costs	729	707	949	968	1,183	1,195
State, Department of	64,267	71,381	85,354	62,378	61,544	61,561
Local Assistance	10,035	13,928	30,842	5,537	5,537	5,537

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State Operations	43,799	42,005	41,588	42,680	41,568	41,568
Personal Service	29,257	28,629	28,254	29,342	28,254	28,254
Non-Personal Service/Indirect Costs	14,542	13,376	13,334	13,338	13,314	13,314
General State Charges	10,433	15,448	12,924	14,161	14,439	14,456
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
State Operations	2,688	2,820	3,040	3,150	3,040	3,040
Personal Service	2,541	2,513	2,870	2,980	2,870	2,870
Non-Personal Service/Indirect Costs	147	307	170	170	170	170
Taxation and Finance, Department of	345,192	320,486	328,922	381,089	368,962	368,322
Local Assistance	1,542	2,435	2,726	2,726	2,726	2,726
State Operations	321,015	312,384	304,887	355,796	344,845	344,278
Personal Service	275,658	269,428	262,371	281,655	272,500	272,386
Non-Personal Service/Indirect Costs	45,357	42,956	42,516	74,141	72,345	71,892
General State Charges	22,635	5,667	21,309	22,567	21,391	21,318
Technology, Office for	535,977	544,541	539,614	550,060	556,616	567,774
State Operations	535,977	544,541	539,614	550,060	556,616	567,774
Personal Service	289,994	299,018	299,796	305,792	311,907	318,145
Non-Personal Service/Indirect Costs	245,983	245,523	239,818	244,268	244,709	249,629
Veterans' Services, Division of	13,791	16,219	15,721	14,322	14,257	14,257
Local Assistance	7,906	10,499	9,485	7,840	7,840	7,840
State Operations	5,885	5,720	6,236	6,482	6,417	6,417
Personal Service	5,512	5,161	5,698	6,178	6,107	6,107
Non-Personal Service/Indirect Costs	373	559	538	304	310	310
Welfare Inspector General, Office of	671	629	701	731	753	768
State Operations	671	629	701	731	753	768
Personal Service	619	615	626	654	646	659
Non-Personal Service/Indirect Costs	52	14	75	77	107	109
Workers' Compensation Board	193,366	198,486	196,439	205,865	196,439	196,439
State Operations	140,971	141,918	143,219	148,002	143,219	143,219
Personal Service	85,117	82,890	84,892	89,608	84,892	84,892
Non-Personal Service/Indirect Costs	55,854	59,028	58,327	58,394	58,327	58,327
General State Charges	52,395	56,568	53,220	57,863	53,220	53,220
Functional Total	1,579,606	1,563,201	1,680,342	1,652,094	1,631,714	1,642,549
ELECTED OFFICIALS						
Audit and Control, Department of	180,421	182,562	191,670	194,334	191,670	191,670
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	146,719	148,498	157,449	160,113	157,449	157,449
Personal Service	119,019	118,729	126,111	128,775	126,111	126,111
Non-Personal Service/Indirect Costs	27,700	29,769	31,338	31,338	31,338	31,338
General State Charges	1,677	2,039	2,197	2,197	2,197	2,197
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
State Operations	13,560	12,673	13,578	14,032	13,578	13,578
Personal Service	9,979	9,135	11,113	11,567	11,113	11,113
Non-Personal Service/Indirect Costs	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	2,945,329	3,057,041	3,095,200	3,167,201	3,110,201	3,110,501
Local Assistance	114,224	101,561	114,900	129,901	129,901	129,901
State Operations	2,080,909	2,168,278	2,175,700	2,222,700	2,165,700	2,166,000
Personal Service	1,624,889	1,731,580	1,709,800	1,766,800	1,709,800	1,710,100
Non-Personal Service/Indirect Costs	456,020	436,698	465,900	455,900	455,900	455,900
General State Charges	750,196	787,202	804,600	814,600	814,600	814,600
Law, Department of	200,091	213,712	202,303	207,704	202,303	202,303
State Operations	183,513	187,295	182,504	187,099	182,504	182,504
Personal Service	132,481	134,904	129,145	133,741	129,145	129,145

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Non-Personal Service/Indirect Costs	51,032	52,391	53,359	53,358	53,359	53,359
General State Charges	16,578	26,417	19,799	20,605	19,799	19,799
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
State Operations	223,372	223,573	242,215	249,056	255,949	256,002
Personal Service	174,350	175,304	187,511	192,583	198,366	198,419
Non-Personal Service/Indirect Costs	49,022	48,269	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	492	530	614	634	614	614
State Operations	492	530	614	634	614	614
Personal Service	382	414	523	543	523	523
Non-Personal Service/Indirect Costs	110	116	91	91	91	91
Functional Total	3,563,265	3,690,091	3,745,580	3,832,961	3,774,315	3,774,668
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	721,979	666,385	672,166	704,192	704,192
Local Assistance	721,789	721,732	666,383	672,166	704,192	704,192
State Operations	131	247	2	0	0	0
Non-Personal Service/Indirect Costs	131	247	2	0	0	0
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Local Assistance	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Local Assistance	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Local Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	760,640	763,082	747,486	768,519	795,545	795,545
ALL OTHER CATEGORIES						
General State Charges	4,842,816	6,373,457	6,884,607	7,442,350	8,110,824	8,678,015
General State Charges	4,842,816	6,373,457	6,884,607	7,442,350	8,110,824	8,678,015
Long-Term Debt Service	5,914,725	6,736,264	5,191,635	6,651,241	7,114,011	7,358,181
State Operations	41,887	37,699	45,706	44,359	44,359	44,359
Non-Personal Service/Indirect Costs	41,887	37,699	45,706	44,359	44,359	44,359
Debt Service	5,872,838	6,698,565	5,145,929	6,606,882	7,069,652	7,313,822
Miscellaneous	(6,585)	(3,357)	185,286	172,579	172,584	161,594
Local Assistance	(56,833)	(56,188)	152,515	139,741	139,741	128,741
State Operations	47,660	49,862	27,001	27,056	27,056	27,056
Personal Service	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
Non-Personal Service/Indirect Costs	45,466	47,730	34,716	34,716	34,716	34,716
General State Charges	2,588	2,969	5,770	5,782	5,787	5,797
Functional Total	10,750,956	13,106,364	12,261,528	14,266,170	15,397,419	16,197,790
TOTAL STATE OPERATING FUNDS SPENDING	98,151,002	100,136,734	104,259,549	111,189,542	115,850,823	120,194,643

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	71,270	73,582	75,147	69,192	83,238	83,073
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	72,278	73,570	69,453	64,856	69,706	69,706
Empire State Development Corporation	124,880	85,177	75,724	76,054	76,054	76,054
Financial Services, Department of	357,557	392,863	369,125	387,954	381,591	381,591
Olympic Regional Development Authority	2,736	11,175	8,676	8,676	8,676	8,676
Public Service Department	84,881	75,433	81,171	82,664	79,946	79,075
Functional Total	725,421	723,346	690,571	700,956	710,455	709,419
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	260,839	275,635	265,210	278,638	271,955	272,647
Parks, Recreation and Historic Preservation, Office of	179,334	186,543	169,492	174,701	171,265	171,265
Functional Total	444,641	466,475	439,430	458,053	447,776	448,468
TRANSPORTATION						
Motor Vehicles, Department of	71,728	87,876	83,653	86,529	86,862	86,862
Transportation, Department of	5,034,223	4,240,127	3,894,302	4,224,561	4,408,052	4,654,567
Functional Total	5,105,951	4,328,003	3,977,955	4,311,090	4,494,914	4,741,429
HEALTH						
Aging, Office for the	125,380	131,371	146,704	147,177	152,280	157,555
Health, Department of	21,460,861	22,376,592	25,897,096	28,414,050	30,345,903	31,597,660
<i>Medical Assistance</i>	18,857,443	19,528,919	22,979,421	25,454,272	27,285,161	28,536,904
<i>Essential Plan</i>	87,638	76,580	79,726	78,089	75,531	74,003
<i>Medicaid Administration</i>	583,789	764,404	771,333	605,318	597,470	582,020
<i>Public Health</i>	1,931,991	2,006,689	2,066,616	2,276,371	2,387,741	2,404,733
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
Functional Total	21,604,523	22,526,079	26,061,872	28,579,906	30,516,255	31,773,287
SOCIAL WELFARE						
Children and Family Services, Office of	1,851,516	1,922,296	1,797,513	1,992,782	2,046,636	2,047,219
<i>OCFS</i>	1,774,154	1,850,182	1,716,715	1,900,804	1,950,494	1,951,077
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	72,665	83,223	115,446	133,953	136,439	136,439
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	83,203	91,113	94,578	72,143	76,435	76,435
National and Community Service	770	560	772	781	781	781
Temporary and Disability Assistance, Office of	1,352,404	1,266,537	1,473,175	1,489,065	1,495,585	1,608,385
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	215,080	228,599	251,439	256,699	263,219	376,019
Functional Total	3,370,023	3,373,722	3,491,405	3,699,314	3,766,106	3,879,489
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	441,089	435,819	457,514	475,758	488,968	509,752
<i>OASAS</i>	348,092	361,970	390,972	406,883	419,418	439,832
<i>OASAS - Other</i>	92,997	73,849	66,542	68,875	69,550	69,920
Justice Center	40,136	43,551	42,893	45,401	44,674	45,259
Mental Health, Office of	3,182,496	2,581,803	2,526,149	2,646,864	2,736,028	2,831,331
<i>OMH</i>	1,459,290	1,323,262	1,444,254	1,521,124	1,578,815	1,646,853
<i>OMH - Other</i>	1,723,206	1,258,541	1,081,895	1,125,740	1,157,213	1,184,478
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,862,904	1,839,724	1,839,001	2,213,071	2,117,113	2,301,733
<i>OPWDD</i>	407,423	387,604	395,822	380,682	390,859	401,721
<i>OPWDD - Other</i>	2,455,481	1,452,120	1,443,179	1,832,389	1,726,254	1,900,012
Functional Total	6,526,829	4,900,897	4,865,557	5,381,094	5,386,783	5,688,075
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,633,748	2,602,994	2,865,065	2,761,396	2,802,480	2,880,375

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	193,155	217,569	213,927	204,509	204,330	205,075
Homeland Security and Emergency Services, Division of	60,960	86,408	77,779	133,148	144,342	147,268
Indigent Legal Services, Office of	75,499	88,454	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,915	24,968	26,586	25,782	26,420	26,878
State Police, Division of	756,006	763,179	818,469	838,521	836,821	856,473
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	26,080	30,267	36,345	36,462	37,111	37,111
Functional Total	3,809,670	3,852,330	4,230,334	4,252,624	4,352,738	4,505,150
HIGHER EDUCATION						
City University of New York	1,568,018	1,604,154	1,633,469	1,674,995	1,709,385	1,744,327
Higher Education - Miscellaneous	337	540	441	441	441	441
Higher Education Services Corporation, New York State	929,204	1,033,360	1,007,918	933,681	943,248	945,782
State University of New York	6,999,907	7,011,074	7,235,260	7,522,346	7,624,161	7,855,995
Functional Total	9,497,466	9,649,128	9,877,088	10,131,463	10,277,235	10,546,545
EDUCATION						
Arts, Council on the	39,210	43,514	45,353	45,349	45,253	45,253
Education, Department of	30,372,803	31,150,503	32,145,048	33,109,949	34,254,315	35,446,976
<i>School Aid</i>	25,396,252	26,250,356	27,301,897	28,379,856	29,553,812	30,770,559
<i>School Aid – Other</i>	61,169	152,867	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
<i>All Other</i>	1,062,532	1,033,560	1,226,001	1,185,238	1,183,462	1,213,463
Functional Total	30,412,013	31,194,017	32,190,401	33,155,298	34,299,568	35,492,229
GENERAL GOVERNMENT						
Budget, Division of the	27,312	26,125	30,133	30,603	29,596	29,596
Civil Service, Department of	14,975	16,128	16,351	14,848	14,890	14,911
Deferred Compensation Board	675	776	891	896	900	904
Elections, State Board of	7,813	8,621	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	159,059	285,401	215,100	213,683	212,683
General Services, Office of	143,940	142,000	105,028	103,864	102,466	104,093
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	30,630	35,520	36,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,349	2,816	3,099	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	64,267	71,381	85,354	62,378	61,544	61,561
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	345,192	320,486	328,922	381,089	368,962	368,322
Technology, Office for	535,977	544,541	539,614	550,060	556,616	567,774
Veterans' Services, Division of	13,791	16,219	15,721	14,322	14,257	14,257
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	193,366	198,486	196,439	205,865	196,439	196,439
Functional Total	1,579,606	1,563,201	1,680,342	1,652,094	1,631,714	1,642,549
ELECTED OFFICIALS						
Audit and Control, Department of	180,421	182,562	191,670	194,334	191,670	191,670
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,945,329	3,057,043	3,095,200	3,167,201	3,110,201	3,110,501
Law, Department of	200,091	213,712	202,303	207,704	202,303	202,303
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
Functional Total	3,563,265	3,690,093	3,745,580	3,832,961	3,774,315	3,774,668
LOCAL GOVERNMENT ASSISTANCE						

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Aid and Incentives for Municipalities	721,920	721,979	666,385	672,166	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	760,640	763,082	747,486	768,519	795,545	795,545
ALL OTHER CATEGORIES						
General State Charges	4,842,815	6,373,456	6,884,607	7,442,350	8,110,824	8,678,015
Long-Term Debt Service	5,914,725	6,736,264	5,191,635	6,651,241	7,114,011	7,358,181
Miscellaneous	(6,585)	(3,357)	185,286	172,579	172,584	161,594
Functional Total	10,750,955	13,106,363	12,261,528	14,266,170	15,397,419	16,197,790
TOTAL STATE OPERATING FUNDS SPENDING	98,151,003	100,136,736	104,259,549	111,189,542	115,850,823	120,194,643

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,318	33,027	32,932	25,197	25,347	25,347
Economic Development, Department of	52,871	55,760	52,134	47,537	52,387	52,387
Empire State Development Corporation	124,880	85,177	75,724	76,054	76,054	76,054
Financial Services, Department of	57,254	64,179	58,602	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Public Service Department	102	218	1,072	122	122	122
Functional Total	267,425	240,721	220,464	207,406	212,406	212,406
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,491	2,431	1,874	1,699	1,468	1,199
Parks, Recreation and Historic Preservation, Office of	7,087	6,502	4,290	3,950	3,950	3,950
Functional Total	9,578	8,933	6,164	5,649	5,418	5,149
TRANSPORTATION						
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	5,023,364	3,937,827	3,550,207	3,885,112	4,063,773	4,310,288
Functional Total	5,024,964	3,938,202	3,550,207	3,885,112	4,063,773	4,310,288
HEALTH						
Aging, Office for the	124,180	130,141	144,741	145,171	150,317	155,592
Health, Department of	20,687,849	21,614,156	25,088,193	27,606,272	29,538,544	30,792,996
<i>Medical Assistance</i>	18,857,443	19,528,919	22,979,421	25,454,272	27,285,161	28,536,904
<i>Medicaid Administration</i>	286,336	503,108	526,198	366,502	352,297	338,613
<i>Public Health</i>	1,544,070	1,582,129	1,582,574	1,785,498	1,901,086	1,917,479
Functional Total	20,812,029	21,744,297	25,232,934	27,751,443	29,688,861	30,948,588
SOCIAL WELFARE						
Children and Family Services, Office of	1,608,134	1,659,179	1,533,206	1,609,538	1,660,719	1,661,302
<i>OCFS</i>	1,530,772	1,587,065	1,452,408	1,517,560	1,564,577	1,565,160
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	4,410	10,178	31,859	47,114	47,789	47,789
Labor, Department of	12,666	15,469	27,572	2,400	5,150	5,150
National and Community Service	477	270	432	432	432	432
Temporary and Disability Assistance, Office of	1,229,518	1,139,031	1,339,932	1,351,362	1,355,162	1,467,962
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	92,194	101,093	118,196	118,996	122,796	235,596
Functional Total	2,855,205	2,824,127	2,933,001	3,010,846	3,069,252	3,182,635
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	322,661	348,851	370,018	384,872	397,763	417,689
<i>OASAS</i>	301,336	327,526	348,693	363,547	376,438	396,364
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	679	649	649	649	649
Mental Health, Office of	1,181,309	1,281,804	1,151,798	1,243,445	1,296,050	1,363,570
<i>OMH</i>	915,920	1,002,843	1,074,560	1,153,928	1,202,056	1,261,275
<i>OMH - Other</i>	265,389	278,961	77,238	89,517	93,994	102,295
People with Developmental Disabilities, Office for	845,938	518,456	498,293	866,228	756,084	923,783
<i>OPWDD</i>	407,275	387,306	395,641	380,501	390,678	401,540
<i>OPWDD - Other</i>	438,663	131,150	102,652	485,727	365,406	522,243
Functional Total	2,350,527	2,149,790	2,020,758	2,495,194	2,450,546	2,705,691
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,686	3,435	5,016	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	156,618	181,941	176,519	166,171	166,171	166,171
Homeland Security and Emergency Services, Division of	30,642	49,327	37,541	92,142	100,839	102,925
Indigent Legal Services, Office of	72,102	83,765	144,660	204,660	254,660	304,660
Military and Naval Affairs, Division of	819	885	820	820	886	904

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Victim Services, Office of	20,728	24,865	30,744	30,744	31,398	31,398
Functional Total	286,595	344,218	397,300	501,373	558,790	610,894
HIGHER EDUCATION						
City University of New York	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Services Corporation, New York State	879,322	984,334	955,624	881,387	890,954	893,488
State University of New York	488,783	487,744	482,766	486,767	486,767	485,767
Functional Total	2,833,258	2,980,085	2,975,232	2,945,593	2,988,610	3,024,138
EDUCATION						
Arts, Council on the	34,950	39,248	41,033	40,933	40,933	40,933
Education, Department of	30,193,388	30,969,215	31,955,594	32,916,244	34,064,708	35,256,724
<i>School Aid</i>	25,396,252	26,250,356	27,301,897	28,379,856	29,553,812	30,770,559
<i>School Aid – Other</i>	61,169	152,867	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
<i>All Other</i>	883,117	852,272	1,036,547	991,533	993,855	1,023,211
Functional Total	30,228,338	31,008,463	31,996,627	32,957,177	34,105,641	35,297,657
GENERAL GOVERNMENT						
Civil Service, Department of	0	567	2,000	0	0	0
Elections, State Board of	50	5	0	0	0	0
Gaming Commission, New York State	65,779	69,013	199,668	121,950	122,950	121,950
Prevention of Domestic Violence, Office for	791	1,260	1,435	1,385	1,412	1,412
State, Department of	10,035	13,928	30,842	5,537	5,537	5,537
Taxation and Finance, Department of	1,542	2,435	2,726	2,726	2,726	2,726
Veterans' Services, Division of	7,906	10,499	9,485	7,840	7,840	7,840
Functional Total	86,103	97,707	246,156	139,438	140,465	139,465
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	114,224	101,561	114,900	129,901	129,901	129,901
Functional Total	146,249	133,586	146,924	161,925	161,925	161,925
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,789	721,732	666,383	672,166	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	760,509	762,835	747,484	768,519	795,545	795,545
ALL OTHER CATEGORIES						
Miscellaneous	(56,833)	(56,188)	152,515	139,741	139,741	128,741
Functional Total	(56,833)	(56,188)	152,515	139,741	139,741	128,741
TOTAL LOCAL ASSISTANCE SPENDING	65,603,947	66,176,776	70,625,766	74,969,416	78,380,973	81,523,122

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,697	37,473	40,322	42,002	55,932	55,732
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	19,405	17,810	17,291	17,291	17,291	17,291
Financial Services, Department of	209,379	215,165	208,699	214,981	208,844	208,844
Olympic Regional Development Authority	2,736	8,784	8,676	8,676	8,676	8,676
Public Service Department	52,384	52,432	52,311	53,016	50,850	49,470
Functional Total	333,420	343,210	338,574	347,526	352,837	351,257
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	212,775	210,770	215,627	227,062	222,117	222,216
Parks, Recreation and Historic Preservation, Office of	168,983	176,384	163,242	168,744	165,374	165,374
Functional Total	386,226	391,451	383,597	400,520	392,047	392,146
TRANSPORTATION						
Motor Vehicles, Department of	49,142	59,189	59,535	60,566	60,247	60,247
Transportation, Department of	9,139	300,619	341,898	337,037	341,822	341,822
Functional Total	58,281	359,808	401,433	397,603	402,069	402,069
HEALTH						
Aging, Office for the	1,200	1,230	1,963	2,006	1,963	1,963
Health, Department of	741,299	727,514	770,471	766,779	765,080	762,335
<i>Essential Plan</i>	87,638	76,580	79,726	78,089	75,531	74,003
<i>Medicaid Administration</i>	297,453	261,296	244,554	238,235	244,592	242,826
<i>Public Health</i>	356,208	389,638	446,191	450,455	444,957	445,506
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
Functional Total	760,781	746,860	790,506	787,464	785,115	782,370
SOCIAL WELFARE						
Children and Family Services, Office of	241,724	260,874	262,176	380,994	383,651	383,651
<i>OCFS</i>	241,724	260,874	262,176	380,994	383,651	383,651
Housing and Community Renewal, Division of	52,210	51,137	57,666	60,918	59,500	59,500
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	49,642	49,768	46,519	49,256	48,280	48,280
National and Community Service	293	290	340	349	349	349
Temporary and Disability Assistance, Office of	122,874	127,470	133,115	137,575	140,295	140,295
<i>All Other</i>	122,874	127,470	133,115	137,575	140,295	140,295
Functional Total	476,208	499,532	509,737	639,682	642,305	642,305
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	81,472	86,968	87,496	90,886	91,205	92,063
<i>OASAS</i>	31,964	34,444	42,279	43,336	42,980	43,468
<i>OASAS - Other</i>	49,508	52,524	45,217	47,550	48,225	48,595
Justice Center	38,868	41,652	41,346	43,844	43,130	43,685
Mental Health, Office of	1,350,460	1,344,656	1,374,351	1,403,419	1,439,978	1,467,761
<i>OMH</i>	349,973	331,100	369,694	367,196	376,759	385,578
<i>OMH - Other</i>	1,000,487	1,013,556	1,004,657	1,036,223	1,063,219	1,082,183
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,165	1,321,268	1,340,708	1,346,843	1,361,029	1,377,950
<i>OPWDD</i>	148	298	181	181	181	181
<i>OPWDD - Other</i>	1,333,017	1,320,970	1,340,527	1,346,662	1,360,848	1,377,769
Functional Total	2,804,169	2,794,544	2,843,901	2,884,992	2,935,342	2,981,459
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,627,945	2,599,368	2,859,943	2,756,454	2,797,538	2,875,433
Criminal Justice Services, Division of	36,502	35,628	37,408	38,338	38,159	38,904
Homeland Security and Emergency Services, Division of	29,703	36,318	39,421	40,171	42,598	43,438

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Indigent Legal Services, Office of	2,328	2,838	4,137	4,203	4,201	4,216
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,090	23,869	25,757	24,953	25,524	25,964
State Police, Division of	741,934	717,416	790,059	809,568	807,498	826,950
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	3,714	3,508	3,951	4,068	4,030	4,030
Functional Total	3,505,523	3,457,436	3,799,939	3,717,481	3,759,582	3,859,690
HIGHER EDUCATION						
City University of New York	102,720	96,018	96,477	97,406	98,346	99,294
Higher Education - Miscellaneous	256	340	291	291	291	291
Higher Education Services Corporation, New York State	41,751	39,219	43,274	43,274	43,274	43,274
State University of New York	6,080,264	6,000,806	6,234,651	6,488,765	6,575,417	6,780,921
Functional Total	6,224,991	6,136,383	6,374,693	6,629,736	6,717,328	6,923,780
EDUCATION						
Arts, Council on the	4,260	4,266	4,320	4,416	4,320	4,320
Education, Department of	144,872	138,074	150,213	152,247	149,026	148,983
<i>All Other</i>	144,872	138,074	150,213	152,247	149,026	148,983
Functional Total	149,132	142,340	154,533	156,663	153,346	153,303
GENERAL GOVERNMENT						
Budget, Division of the	26,600	25,218	28,476	28,886	27,939	27,939
Civil Service, Department of	14,795	15,422	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	474	648	649	648	648
Elections, State Board of	7,763	8,616	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	67,292	68,158	74,685	73,158	73,158
General Services, Office of	143,571	141,462	104,480	103,281	101,891	103,508
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	29,699	31,306	26,517	26,306	26,306
Prevention of Domestic Violence, Office for	1,558	1,556	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	43,799	42,005	41,588	42,680	41,568	41,568
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	321,015	312,384	304,887	355,796	344,845	344,278
Technology, Office for	535,977	544,541	539,614	550,060	556,616	567,774
Veterans' Services, Division of	5,885	5,720	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	140,971	141,918	143,219	148,002	143,219	143,219
Functional Total	1,384,158	1,357,350	1,321,479	1,391,809	1,376,899	1,388,772
ELECTED OFFICIALS						
Audit and Control, Department of	146,719	148,498	157,449	160,113	157,449	157,449
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,080,909	2,168,280	2,175,700	2,222,700	2,165,700	2,166,000
Law, Department of	183,513	187,295	182,504	187,099	182,504	182,504
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
Functional Total	2,648,565	2,740,849	2,772,060	2,833,634	2,775,794	2,776,147
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	247	2	0	0	0
Functional Total	131	247	2	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	47,660	49,862	27,001	27,056	27,056	27,056
Functional Total	<u>89,547</u>	<u>87,561</u>	<u>72,707</u>	<u>71,415</u>	<u>71,415</u>	<u>71,415</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,821,132</u>	<u>19,057,571</u>	<u>19,763,161</u>	<u>20,258,525</u>	<u>20,364,079</u>	<u>20,724,713</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,802	30,255	31,378	33,003	32,113	32,113
Alcoholic Beverage Control, Division of	8,131	8,166	8,316	8,901	8,585	8,585
Economic Development, Department of	13,243	13,090	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,895	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	42,867	42,628	43,514	45,452	43,854	43,867
Functional Total	251,737	256,629	255,227	265,359	256,712	256,725
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,179	3,950	4,401	4,401	4,243	4,243
Environmental Conservation, Department of	175,514	174,576	178,208	186,718	181,853	181,904
Parks, Recreation and Historic Preservation, Office of	130,555	133,979	128,590	134,913	131,543	131,543
Functional Total	310,248	312,505	311,199	326,032	317,639	317,690
TRANSPORTATION						
Motor Vehicles, Department of	36,244	43,766	43,263	44,207	44,497	44,497
Transportation, Department of	2,803	156,307	162,384	162,506	162,396	162,396
Functional Total	39,047	200,073	205,647	206,713	206,893	206,893
HEALTH						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	252,883	266,914	286,009	289,557	288,154	290,532
<i>Essential Plan</i>	3,148	3,233	4,580	4,692	4,621	4,634
<i>Medicaid Administration</i>	37,196	37,949	38,464	39,003	41,095	43,374
<i>Public Health</i>	212,539	225,732	242,965	245,862	242,438	242,524
Medicaid Inspector General, Office of the	15,864	15,204	15,624	16,231	15,624	15,624
Functional Total	269,850	283,243	303,489	307,687	305,634	308,012
SOCIAL WELFARE						
Children and Family Services, Office of	170,908	176,225	172,018	266,881	266,990	266,990
<i>OCFS</i>	170,908	176,225	172,018	266,881	266,990	266,990
Housing and Community Renewal, Division of	41,535	41,155	47,298	50,120	48,544	48,544
Human Rights, Division of	8,662	8,934	9,461	10,121	9,752	9,752
Labor, Department of	34,323	33,501	32,618	34,864	33,620	33,620
National and Community Service	292	288	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,003	73,244	76,580	78,130	78,130
<i>All Other</i>	64,895	68,003	73,244	76,580	78,130	78,130
Functional Total	320,615	328,106	334,970	438,906	437,376	437,376
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	56,428	60,203	61,101	63,331	62,936	63,542
<i>OASAS</i>	20,354	22,378	27,213	27,997	27,347	27,628
<i>OASAS - Other</i>	36,074	37,825	33,888	35,334	35,589	35,914
Justice Center	31,243	32,560	33,133	35,425	34,495	34,821
Mental Health, Office of	1,070,401	1,086,720	1,113,306	1,131,948	1,146,076	1,160,641
<i>OMH</i>	287,281	268,077	325,264	322,502	330,821	338,160
<i>OMH - Other</i>	783,120	818,643	788,042	809,446	815,255	822,481
People with Developmental Disabilities, Office for	1,129,874	1,142,584	1,158,907	1,160,652	1,170,176	1,182,185
<i>OPWDD</i>	124	262	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,142,322	1,158,907	1,160,652	1,170,176	1,182,185
Functional Total	2,287,946	2,322,067	2,366,447	2,391,356	2,413,683	2,441,189
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,316	2,276	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,499	2,111,244	2,358,159	2,258,976	2,305,259	2,379,808
Criminal Justice Services, Division of	26,993	28,281	28,019	28,994	28,550	29,064
Homeland Security and Emergency Services, Division of	16,362	20,611	29,610	30,856	32,215	32,774
Indigent Legal Services, Office of	1,873	2,430	3,298	3,364	3,350	3,350

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Judicial Conduct, Commission on	4,238	3,959	4,677	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,083	14,432	14,402	14,700	14,776	15,073
State Police, Division of	677,367	657,453	729,830	749,270	745,128	763,744
Statewide Financial System	11,094	11,294	11,882	12,325	12,121	12,356
Victim Services, Office of	3,184	2,958	3,206	3,323	3,272	3,272
Functional Total	2,857,009	2,854,938	3,185,497	3,108,887	3,151,642	3,246,502
HIGHER EDUCATION						
City University of New York	52,623	47,274	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	232	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,337	12,916	12,916	12,916	12,916
State University of New York	3,753,286	3,952,903	4,189,940	4,409,163	4,458,536	4,615,248
Functional Total	3,819,458	4,012,746	4,251,648	4,471,322	4,521,151	4,678,323
EDUCATION						
Arts, Council on the	2,626	2,636	2,498	2,594	2,498	2,498
Education, Department of	90,372	88,514	90,726	94,081	90,726	90,726
<i>All Other</i>	90,372	88,514	90,726	94,081	90,726	90,726
Functional Total	92,998	91,150	93,224	96,675	93,224	93,224
GENERAL GOVERNMENT						
Budget, Division of the	21,683	21,921	24,567	25,511	24,567	24,567
Civil Service, Department of	14,053	15,062	14,108	14,592	14,207	14,215
Deferred Compensation Board	408	424	413	414	413	413
Elections, State Board of	6,077	5,773	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	2,040	6,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	35,418	38,687	40,178	38,687	38,687
General Services, Office of	67,107	61,158	26,270	29,695	27,278	27,278
Inspector General, Office of the	6,223	5,909	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	8,618	10,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,412	1,394	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,175	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,516	4,681	4,867	4,827	4,924
State, Department of	29,257	28,629	28,254	29,342	28,254	28,254
Tax Appeals, Division of	2,541	2,513	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	269,428	262,371	281,655	272,500	272,386
Technology, Office for	289,994	299,018	299,796	305,792	311,907	318,145
Veterans' Services, Division of	5,512	5,161	5,698	6,178	6,107	6,107
Welfare Inspector General, Office of	619	615	626	654	646	659
Workers' Compensation Board	85,117	82,890	84,892	89,608	84,892	84,892
Functional Total	858,230	853,662	827,733	862,043	846,994	853,211
ELECTED OFFICIALS						
Audit and Control, Department of	119,019	118,729	126,111	128,775	126,111	126,111
Executive Chamber	9,979	9,135	11,113	11,567	11,113	11,113
Judiciary	1,624,889	1,731,581	1,709,800	1,766,800	1,709,800	1,710,100
Law, Department of	132,481	134,904	129,145	133,741	129,145	129,145
Legislature	174,350	175,304	187,511	192,583	198,366	198,419
Lieutenant Governor, Office of the	382	414	523	543	523	523
Functional Total	2,061,100	2,170,067	2,164,203	2,234,009	2,175,058	2,175,411
ALL OTHER CATEGORIES						
Miscellaneous	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
Functional Total	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
TOTAL PERSONAL SERVICE SPENDING	13,170,432	13,687,318	14,291,569	14,701,329	14,718,346	15,006,896

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	6,895	7,218	8,944	8,999	23,819	23,619
Alcoholic Beverage Control, Division of	3,688	3,380	2,959	2,659	2,659	2,659
Economic Development, Department of	6,162	4,720	4,362	4,362	4,362	4,362
Financial Services, Department of	55,233	58,270	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	188	3,189	3,338	3,338	3,338	3,338
Public Service Department	9,517	9,804	8,797	7,564	6,996	5,603
Functional Total	81,683	86,581	83,347	82,167	96,125	94,532
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	289	347	327	313	313	313
Environmental Conservation, Department of	37,261	36,194	37,419	40,344	40,264	40,312
Parks, Recreation and Historic Preservation, Office of	38,428	42,405	34,652	33,831	33,831	33,831
Functional Total	75,978	78,946	72,398	74,488	74,408	74,456
TRANSPORTATION						
Motor Vehicles, Department of	12,898	15,423	16,272	16,359	15,750	15,750
Transportation, Department of	6,336	144,312	179,514	174,531	179,426	179,426
Functional Total	19,234	159,735	195,786	190,890	195,176	195,176
HEALTH						
Aging, Office for the	97	105	107	107	107	107
Health, Department of	488,416	460,600	484,462	477,222	476,926	471,803
<i>Essential Plan</i>	84,490	73,347	75,146	73,397	70,910	69,369
<i>Medicaid Administration</i>	260,257	223,347	206,090	199,232	203,497	199,452
<i>Public Health</i>	143,669	163,906	203,226	204,593	202,519	202,982
Medicaid Inspector General, Office of the	2,418	2,912	2,448	2,448	2,448	2,448
Functional Total	490,931	463,617	487,017	479,777	479,481	474,358
SOCIAL WELFARE						
Children and Family Services, Office of	70,816	84,649	90,158	114,113	116,661	116,661
<i>OCFS</i>	70,816	84,649	90,158	114,113	116,661	116,661
Housing and Community Renewal, Division of	10,675	9,982	10,368	10,798	10,956	10,956
Human Rights, Division of	803	1,059	460	469	478	478
Labor, Department of	15,319	16,267	13,901	14,392	14,660	14,660
National and Community Service	1	2	9	9	9	9
Temporary and Disability Assistance, Office of	57,979	59,467	59,871	60,995	62,165	62,165
<i>All Other</i>	57,979	59,467	59,871	60,995	62,165	62,165
Functional Total	155,593	171,426	174,767	200,776	204,929	204,929
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,044	26,765	26,395	27,555	28,269	28,521
<i>OASAS</i>	11,610	12,066	15,066	15,339	15,633	15,840
<i>OASAS - Other</i>	13,434	14,699	11,329	12,216	12,636	12,681
Justice Center	7,625	9,092	8,213	8,419	8,635	8,864
Mental Health, Office of	280,059	257,936	261,045	271,471	293,902	307,120
<i>OMH</i>	62,692	63,023	44,430	44,694	45,938	47,418
<i>OMH - Other</i>	217,367	194,913	216,615	226,777	247,964	259,702
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	178,684	181,801	186,191	190,853	195,765
<i>OPWDD</i>	24	36	181	181	181	181
<i>OPWDD - Other</i>	203,267	178,648	181,620	186,010	190,672	195,584
Functional Total	516,223	472,477	477,454	493,636	521,659	540,270
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	278	265	237	242	247	247
Correctional Services, Department of	529,446	488,124	501,784	497,478	492,279	495,625
Criminal Justice Services, Division of	9,509	7,347	9,389	9,344	9,609	9,840
Homeland Security and Emergency Services, Division of	13,341	15,707	9,811	9,315	10,383	10,664

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Indigent Legal Services, Office of	455	408	839	839	851	866
Judicial Conduct, Commission on	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	10,007	9,437	11,355	10,253	10,748	10,891
State Police, Division of	64,567	59,963	60,229	60,298	62,370	63,206
Statewide Financial System	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	530	550	745	745	758	758
Functional Total	648,514	602,498	614,442	608,594	607,940	613,188
HIGHER EDUCATION						
City University of New York	50,097	48,744	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	108	93	93	93	93
Higher Education Services Corporation, New York State	28,371	26,882	30,358	30,358	30,358	30,358
State University of New York	2,326,978	2,047,903	2,044,711	2,079,602	2,116,881	2,165,673
Functional Total	2,405,533	2,123,637	2,123,045	2,158,414	2,196,177	2,245,457
EDUCATION						
Arts, Council on the	1,634	1,630	1,822	1,822	1,822	1,822
Education, Department of	54,500	49,560	59,487	58,166	58,300	58,257
<i>All Other</i>	54,500	49,560	59,487	58,166	58,300	58,257
Functional Total	56,134	51,190	61,309	59,988	60,122	60,079
GENERAL GOVERNMENT						
Budget, Division of the	4,917	3,297	3,909	3,375	3,372	3,372
Civil Service, Department of	742	360	12	12	442	451
Deferred Compensation Board	43	50	235	235	235	235
Elections, State Board of	1,686	2,843	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	57	196	196	196	197
Gaming Commission, New York State	59,669	31,874	29,471	34,507	34,471	34,471
General Services, Office of	76,464	80,304	78,210	73,586	74,613	76,230
Inspector General, Office of the	943	935	839	856	1,204	1,216
Labor Management Committees	17,026	21,081	20,819	20,819	20,819	20,819
Prevention of Domestic Violence, Office for	146	162	173	212	216	216
Public Employment Relations Board	201	255	296	296	296	296
Public Integrity, Commission on	729	707	949	968	1,183	1,195
State, Department of	14,542	13,376	13,334	13,338	13,314	13,314
Tax Appeals, Division of	147	307	170	170	170	170
Taxation and Finance, Department of	45,357	42,956	42,516	74,141	72,345	71,892
Technology, Office for	245,983	245,523	239,818	244,268	244,709	249,629
Veterans' Services, Division of	373	559	538	304	310	310
Welfare Inspector General, Office of	52	14	75	77	107	109
Workers' Compensation Board	55,854	59,028	58,327	58,394	58,327	58,327
Functional Total	525,928	503,688	493,746	529,766	529,905	535,561
ELECTED OFFICIALS						
Audit and Control, Department of	27,700	29,769	31,338	31,338	31,338	31,338
Executive Chamber	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	456,020	436,699	465,900	455,900	455,900	455,900
Law, Department of	51,032	52,391	53,359	53,358	53,359	53,359
Legislature	49,022	48,269	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	116	91	91	91	91
Functional Total	587,465	570,782	607,857	599,625	600,736	600,736
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	247	2	0	0	0
Functional Total	131	247	2	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	45,466	47,730	34,716	34,716	34,716	34,716
Functional Total	<u>87,353</u>	<u>85,429</u>	<u>80,422</u>	<u>79,075</u>	<u>79,075</u>	<u>79,075</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,650,700</u>	<u>5,370,253</u>	<u>5,471,592</u>	<u>5,557,196</u>	<u>5,645,733</u>	<u>5,717,817</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,255	3,082	1,893	1,993	1,959	1,994
Economic Development, Department of	2	0	28	28	28	28
Financial Services, Department of	90,924	113,519	101,824	114,477	114,251	114,251
Olympic Regional Development Authority	0	31	0	0	0	0
Public Service Department	32,395	22,783	27,788	29,526	28,974	29,483
Functional Total	124,576	139,415	131,533	146,024	145,212	145,756
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,573	62,434	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,657	1,960	2,007	1,941	1,941
Functional Total	48,837	66,091	49,669	51,884	50,311	51,173
TRANSPORTATION						
Motor Vehicles, Department of	20,986	28,312	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	1,681	2,197	2,412	2,457	2,457
Functional Total	22,706	29,993	26,315	28,375	29,072	29,072
HEALTH						
Health, Department of	31,713	34,922	38,432	40,999	42,279	42,329
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	34,922	37,851	40,418	41,698	41,748
Functional Total	31,713	34,922	38,432	40,999	42,279	42,329
SOCIAL WELFARE						
Children and Family Services, Office of	1,658	2,243	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,243	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	21,908	25,921	25,921	29,150	29,150
Labor, Department of	20,895	25,876	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	36	128	128	128	128
<i>All Other</i>	12	36	128	128	128	128
Functional Total	38,610	50,063	48,667	48,786	54,549	54,549
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	1,220	898	908	895	925
Mental Health, Office of	650,727	(44,657)	0	0	0	0
<i>OMH</i>	193,397	(10,681)	0	0	0	0
<i>OMH - Other</i>	457,330	(33,976)	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
Functional Total	1,372,133	(43,437)	898	908	895	925
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	117	191	106	106	106	106
Criminal Justice Services, Division of	35	0	0	0	0	0
Homeland Security and Emergency Services, Division of	615	763	817	835	905	905
Indigent Legal Services, Office of	1,069	1,851	2,103	2,217	2,339	2,339
Military and Naval Affairs, Division of	6	214	9	9	10	10
State Police, Division of	14,072	45,763	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,894	1,650	1,650	1,683	1,683
Functional Total	17,552	50,676	33,095	33,770	34,366	34,566
HIGHER EDUCATION						
City University of New York	145	129	150	150	150	150
Higher Education - Miscellaneous	81	200	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,807	9,020	9,020	9,020	9,020

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State University of New York	430,860	522,524	517,843	546,814	561,977	589,307
Functional Total	439,217	532,660	527,163	556,134	571,297	598,627
EDUCATION						
Education, Department of	34,543	43,214	39,241	41,458	40,581	41,269
<i>All Other</i>	34,543	43,214	39,241	41,458	40,581	41,269
Functional Total	34,543	43,214	39,241	41,458	40,581	41,269
GENERAL GOVERNMENT						
Budget, Division of the	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	180	139	231	244	241	245
Deferred Compensation Board	224	302	243	247	252	256
Gaming Commission, New York State	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	369	538	548	583	575	585
Labor Management Committees	5,857	5,821	5,000	5,000	5,000	5,000
State, Department of	10,433	15,448	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	5,667	21,309	22,567	21,391	21,318
Workers' Compensation Board	52,395	56,568	53,220	57,863	53,220	53,220
Functional Total	109,345	108,144	112,707	120,847	114,350	114,312
ELECTED OFFICIALS						
Audit and Control, Department of	1,677	2,039	2,197	2,197	2,197	2,197
Judiciary	750,196	787,202	804,600	814,600	814,600	814,600
Law, Department of	16,578	26,417	19,799	20,605	19,799	19,799
Functional Total	768,451	815,658	826,596	837,402	836,596	836,596
ALL OTHER CATEGORIES						
General State Charges	4,842,815	6,373,456	6,884,607	7,442,350	8,110,824	8,678,015
Miscellaneous	2,588	2,969	5,770	5,782	5,787	5,797
Functional Total	4,845,403	6,376,425	6,890,377	7,448,132	8,116,611	8,683,812
TOTAL GENERAL STATE CHARGES SPENDING	7,853,086	8,203,824	8,724,693	9,354,719	10,036,119	10,632,986

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,264	51,753	12,362	14,265	9,330	5,915
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	8,964	12,821	3,639	1,610	0	0
Empire State Development Corporation	1,082,775	1,241,807	1,659,632	1,935,577	1,926,205	1,734,429
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	38,000	42,700	70,000	30,000	10,000	10,000
Power Authority, New York	243	1,346	36,000	36,500	2,000	2,000
Regional Economic Development Program	2,030	2,938	1,920	0	0	0
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
Functional Total	1,161,761	1,378,858	1,850,590	2,093,735	2,036,922	1,810,730
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	657,267	882,540	984,115	1,066,282	1,144,588	1,264,540
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	179,957	176,743	202,157	167,539	167,989	167,539
Functional Total	837,224	1,059,283	1,191,272	1,248,821	1,327,577	1,444,079
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	221,992	227,603	231,628	243,048	224,662	209,190
Transportation, Department of	4,564,825	4,471,927	4,580,306	4,758,030	4,775,725	4,762,411
Functional Total	4,851,817	5,439,044	5,456,421	5,386,934	5,000,387	4,971,601
HEALTH						
Health, Department of	211,303	349,813	663,922	775,866	829,237	869,022
<i>Public Health</i>	211,303	349,813	663,922	775,866	829,237	869,022
Functional Total	211,303	349,813	663,922	775,866	829,237	869,022
SOCIAL WELFARE						
Children and Family Services, Office of	41,259	55,800	29,858	25,804	23,660	23,673
<i>OCFS</i>	41,259	55,800	29,858	25,804	23,660	23,673
Housing and Community Renewal, Division of	278,873	271,995	732,648	838,272	524,023	514,023
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	48,051	48,216	62,907	62,907	62,907	57,657
<i>All Other</i>	48,051	48,216	62,907	62,907	62,907	57,657
Functional Total	375,134	431,790	869,913	943,032	615,590	595,353
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	39,927	53,646	70,886	92,152	75,306	66,463
<i>OASAS</i>	39,927	53,646	70,886	92,152	75,306	66,463
Mental Health, Office of	284,683	276,317	291,326	234,829	211,171	197,098
<i>OMH</i>	284,683	276,317	291,326	234,829	211,171	197,098
People with Developmental Disabilities, Office for	72,053	97,506	98,110	84,218	84,940	85,707
<i>OPWDD</i>	72,053	97,506	98,110	84,218	84,940	85,707
Functional Total	396,663	427,469	460,322	411,199	371,417	349,268
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	355,744	369,540	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	85,364	39,820	70,507	46,250	41,995	44,367
Military and Naval Affairs, Division of	63,307	75,582	124,263	61,344	52,244	41,607
State Police, Division of	72,903	47,327	47,500	55,853	54,688	47,538
Functional Total	577,318	532,269	608,588	504,093	486,612	450,487

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
HIGHER EDUCATION						
City University of New York	36,608	32,463	36,620	37,352	37,352	37,352
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
State University of New York	940,056	941,297	993,516	1,010,693	1,014,973	1,019,973
Functional Total	981,089	982,529	1,042,011	1,063,795	1,064,975	1,071,475
EDUCATION						
Education, Department of	117,147	150,246	504,134	544,566	476,927	411,285
<i>School Aid</i>	91,584	108,198	375,000	400,000	400,000	350,000
<i>All Other</i>	25,563	42,048	129,134	144,566	76,927	61,285
Functional Total	117,147	150,246	504,134	544,566	476,927	411,285
GENERAL GOVERNMENT						
Elections, State Board of	0	0	3,000	10,200	1,500	0
General Services, Office of	128,849	154,965	231,662	251,749	154,517	124,129
State, Department of	1,233	7,655	12,000	20,000	45,000	67,000
Technology, Office for	102,831	70,350	114,118	68,948	34,451	16,453
Workers' Compensation Board	4,824	0	5,347	22,440	33,764	6,755
Functional Total	237,737	232,970	366,127	373,337	269,232	214,337
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,298	3,520	2,806	0	0
Judiciary	13,943	10,373	22,075	9,600	0	0
Law, Department of	5,035	6,856	4,262	1,818	0	0
Functional Total	18,978	19,527	29,857	14,224	0	0
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	3,421	11,000	5,000	0	0
Miscellaneous	91,065	238,165	(226,282)	(370,343)	(434,000)	(335,188)
Special Infrastructure Account	783,139	1,019,587	984,327	625,460	356,228	107,255
Functional Total	874,204	1,261,173	769,045	260,117	(77,772)	(227,933)
TOTAL CAPITAL PROJECTS FUNDS SPENDING	10,640,375	12,264,971	13,812,202	13,619,719	12,401,104	11,959,704

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	95,102	144,172	102,819	98,803	107,959	104,425
Local Assistance	32,318	33,045	32,932	25,197	25,347	25,347
State Operations	51,225	53,523	53,551	55,223	69,153	68,953
Personal Service	34,271	33,983	34,791	36,416	35,526	35,526
Non-Personal Service/Indirect Costs	16,954	19,540	18,760	18,807	33,627	33,427
General State Charges	3,295	5,851	3,974	4,118	4,129	4,210
Capital Projects	8,264	51,753	12,362	14,265	9,330	5,915
<i>Alcoholic Beverage Control, Division of</i>	11,819	11,546	11,275	11,560	11,244	11,244
State Operations	11,819	11,546	11,275	11,560	11,244	11,244
Personal Service	8,131	8,166	8,316	8,901	8,585	8,585
Non-Personal Service/Indirect Costs	3,688	3,380	2,959	2,659	2,659	2,659
<i>Economic Development Capital</i>	2,751	1,777	20,270	19,569	29,069	29,069
Local Assistance	2,751	1,777	20,270	19,569	29,069	29,069
<i>Economic Development, Department of</i>	88,621	94,207	81,392	74,766	78,006	78,006
Local Assistance	68,718	75,941	63,828	57,202	60,442	60,442
State Operations	19,891	18,266	17,536	17,536	17,536	17,536
Personal Service	13,243	13,090	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	6,648	5,176	4,607	4,607	4,607	4,607
General State Charges	2	0	28	28	28	28
Capital Projects	10	0	0	0	0	0
<i>Empire State Development Corporation</i>	1,207,655	1,327,572	1,735,356	2,011,631	2,002,259	1,810,483
Local Assistance	1,136,529	1,241,923	1,033,768	1,130,017	1,321,138	1,121,138
Capital Projects	71,126	85,649	701,588	881,614	681,121	689,345
<i>Energy Research and Development Authority</i>	17,109	20,828	20,450	15,720	14,001	13,000
Capital Projects	17,109	20,828	20,450	15,720	14,001	13,000
<i>Financial Services, Department of</i>	357,811	394,049	370,525	389,354	382,991	382,991
Local Assistance	57,254	64,179	58,602	58,496	58,496	58,496
State Operations	209,633	216,351	210,099	216,381	210,244	210,244
Personal Service	154,146	156,895	153,752	159,736	153,893	153,893
Non-Personal Service/Indirect Costs	55,487	59,456	56,347	56,645	56,351	56,351
General State Charges	90,924	113,519	101,824	114,477	114,251	114,251
<i>Lake Ontario Resiliency/Economic Development</i>	0	0	20,000	30,000	40,000	10,000
Capital Projects	0	0	20,000	30,000	40,000	10,000
<i>Olympic Regional Development Authority</i>	40,736	53,875	78,676	38,676	18,676	18,676
Local Assistance	0	2,360	0	0	0	0
State Operations	2,736	8,784	8,676	8,676	8,676	8,676
Personal Service	2,548	5,595	5,338	5,338	5,338	5,338
Non-Personal Service/Indirect Costs	188	3,189	3,338	3,338	3,338	3,338
General State Charges	0	31	0	0	0	0
Capital Projects	38,000	42,700	70,000	30,000	10,000	10,000
<i>Power Authority, New York</i>	243	1,346	36,000	36,500	2,000	2,000
Local Assistance	83	0	0	0	0	0
Capital Projects	160	1,346	36,000	36,500	2,000	2,000
<i>Public Service Department</i>	88,976	78,507	83,199	84,706	82,004	81,149
Local Assistance	102	218	1,072	122	122	122
State Operations	54,691	54,273	53,606	54,310	52,144	50,764
Personal Service	44,476	43,935	44,716	46,654	45,056	45,069
Non-Personal Service/Indirect Costs	10,215	10,338	8,890	7,656	7,088	5,695
General State Charges	34,183	24,016	28,521	30,274	29,738	30,263
<i>Regional Economic Development Program</i>	2,030	2,938	1,920	0	0	0
Local Assistance	2,030	2,938	1,583	0	0	0
Capital Projects	0	0	337	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
Local Assistance	1,625	2,888	6,317	10,494	6,317	6,317
Functional Total	1,914,478	2,133,705	2,568,199	2,821,779	2,774,526	2,547,360
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	5,078	5,064	4,906	4,906
State Operations	4,468	4,297	5,078	5,064	4,906	4,906
Personal Service	4,179	3,950	4,401	4,401	4,243	4,243
Non-Personal Service/Indirect Costs	289	347	677	663	663	663
Environmental Conservation, Department of	974,519	1,216,137	1,311,028	1,408,714	1,480,718	1,601,749
Local Assistance	345,907	475,941	396,879	438,465	456,807	461,204
State Operations	252,567	249,167	260,377	272,880	267,935	268,034
Personal Service	200,579	198,080	206,016	215,594	210,729	210,780
Non-Personal Service/Indirect Costs	51,988	51,087	54,361	57,286	57,206	57,254
General State Charges	62,194	81,999	64,662	67,853	66,727	67,976
Capital Projects	313,851	409,030	589,110	629,516	689,249	804,535
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Capital Projects	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	366,435	373,648	375,287	346,048	343,062	342,612
Local Assistance	9,463	11,546	5,560	5,220	5,220	5,220
State Operations	173,759	181,702	165,610	171,258	167,888	167,888
Personal Service	132,575	136,844	129,813	136,280	132,910	132,910
Non-Personal Service/Indirect Costs	41,184	44,858	35,797	34,978	34,978	34,978
General State Charges	3,264	3,657	1,960	2,031	1,965	1,965
Capital Projects	179,949	176,743	202,157	167,539	167,989	167,539
Functional Total	1,345,422	1,594,082	1,696,393	1,774,826	1,843,686	1,961,267
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Local Assistance	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	312,091	336,460	343,364	357,901	339,848	324,376
Local Assistance	14,833	16,526	18,000	18,000	18,000	18,000
State Operations	53,425	63,061	68,898	70,084	69,765	69,765
Personal Service	37,707	45,393	48,098	49,186	49,476	49,476
Non-Personal Service/Indirect Costs	15,718	17,668	20,800	20,898	20,289	20,289
General State Charges	21,841	29,270	24,838	26,769	27,421	27,421
Capital Projects	221,992	227,603	231,628	243,048	224,662	209,190
Transportation, Department of	9,667,708	8,775,801	8,547,520	9,056,073	9,256,689	9,489,890
Local Assistance	6,168,328	5,098,210	4,725,921	5,009,759	5,187,855	5,435,322
State Operations	26,750	320,694	365,817	361,374	365,741	365,741
Personal Service	11,964	164,949	172,868	173,393	172,880	172,880
Non-Personal Service/Indirect Costs	14,786	155,745	192,949	187,981	192,861	192,861
General State Charges	6,070	8,965	8,686	9,053	8,946	8,946
Capital Projects	3,466,560	3,347,932	3,447,096	3,675,887	3,694,147	3,679,881
Functional Total	10,044,799	9,851,775	9,535,371	9,799,830	9,596,537	9,814,266
HEALTH						
Aging, Office for the	234,525	237,763	253,924	256,287	261,390	266,665
Local Assistance	221,725	228,997	241,687	243,865	249,011	254,286
State Operations	12,800	8,722	12,237	12,422	12,379	12,379
Personal Service	7,079	7,193	7,782	7,967	7,924	7,924
Non-Personal Service/Indirect Costs	5,721	1,529	4,455	4,455	4,455	4,455
General State Charges	0	44	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Health, Department of	66,062,707	69,715,870	73,910,954	77,997,831	84,115,448	87,898,304
Medical Assistance	55,644,622	58,623,402	62,763,247	66,850,892	72,860,765	76,574,919
Local Assistance	55,644,622	58,623,402	62,763,247	66,850,892	72,860,765	76,574,919
Essential Plan	3,962,563	4,083,867	4,104,255	4,284,422	4,290,919	4,298,445
Local Assistance	3,874,925	4,007,287	4,024,529	4,206,333	4,215,388	4,224,442
State Operations	87,638	76,580	79,726	78,089	75,531	74,003
Personal Service	3,148	3,233	4,580	4,692	4,621	4,634
Non-Personal Service/Indirect Costs	84,490	73,347	75,146	73,397	70,910	69,369
Medicaid Administration	1,356,021	1,851,372	1,698,032	1,370,840	1,375,622	1,361,914
Local Assistance	716,713	1,240,677	986,949	720,573	707,735	689,151
State Operations	636,474	607,179	706,518	645,527	662,553	667,208
Personal Service	69,223	70,586	68,605	70,688	73,748	78,037
Non-Personal Service/Indirect Costs	567,251	536,593	637,913	574,839	588,805	589,171
General State Charges	2,834	3,516	4,565	4,740	5,334	5,555
Public Health	5,099,501	5,157,229	5,345,420	5,491,677	5,588,142	5,663,026
Local Assistance	4,246,415	4,223,433	4,418,769	4,566,948	4,669,932	4,747,593
State Operations	732,966	777,299	736,181	740,289	732,468	730,811
Personal Service	281,163	279,332	299,894	304,867	299,096	299,193
Non-Personal Service/Indirect Costs	451,803	497,967	436,287	435,422	433,372	431,618
General State Charges	64,888	78,826	78,819	84,096	85,398	84,278
Capital Projects	55,232	77,671	111,651	100,344	100,344	100,344
Medicaid Inspector General, Office of the	46,446	46,912	46,074	48,113	46,892	46,892
State Operations	37,092	35,842	36,549	37,812	36,591	36,591
Personal Service	31,681	30,415	31,249	32,470	31,249	31,249
Non-Personal Service/Indirect Costs	5,411	5,427	5,300	5,342	5,342	5,342
General State Charges	9,354	11,070	9,525	10,301	10,301	10,301
Functional Total	66,343,678	70,000,545	74,210,952	78,302,231	84,423,730	88,211,861
SOCIAL WELFARE						
Children and Family Services, Office of	3,139,782	2,919,962	2,854,654	3,056,628	3,110,276	3,110,872
OCFS	3,062,420	2,847,848	2,773,856	2,964,650	3,014,134	3,014,730
Local Assistance	2,686,986	2,449,310	2,370,708	2,435,860	2,482,877	2,483,460
State Operations	321,311	326,193	359,830	480,955	485,586	485,586
Personal Service	197,353	202,543	201,265	297,332	298,050	298,050
Non-Personal Service/Indirect Costs	123,958	123,650	158,565	183,623	187,536	187,536
General State Charges	12,864	16,545	13,460	22,031	22,011	22,011
Capital Projects	41,259	55,800	29,858	25,804	23,660	23,673
OCFS - Other	77,362	72,114	80,798	91,978	96,142	96,142
Local Assistance	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	420,810	422,463	910,837	1,035,944	725,978	715,978
Local Assistance	340,738	337,864	809,941	930,820	617,246	607,246
State Operations	60,527	58,687	67,970	72,198	71,081	71,081
Personal Service	47,478	46,746	54,893	58,245	56,832	56,832
Non-Personal Service/Indirect Costs	13,049	11,941	13,077	13,953	14,249	14,249
General State Charges	19,545	25,912	29,926	29,926	34,651	34,651
Capital Projects	0	0	3,000	3,000	3,000	3,000
Human Rights, Division of	14,612	14,990	14,343	15,257	14,990	14,990
State Operations	14,612	14,990	14,343	15,257	14,990	14,990
Personal Service	12,315	12,688	12,596	13,475	13,173	13,173
Non-Personal Service/Indirect Costs	2,297	2,302	1,747	1,782	1,817	1,817
Labor, Department of	562,650	585,801	577,906	570,315	602,207	602,207
Local Assistance	161,244	138,948	179,464	154,292	157,042	157,042
State Operations	280,007	287,352	281,762	299,343	304,943	304,943

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Service	206,559	210,868	192,238	206,414	208,606	208,606
Non-Personal Service/Indirect Costs	73,448	76,484	89,524	92,929	96,337	96,337
General State Charges	121,399	159,501	116,680	116,680	140,222	140,222
<i>National and Community Service</i>	15,097	10,334	16,417	16,986	17,305	17,305
Local Assistance	477	270	432	432	432	432
State Operations	14,620	10,064	15,985	16,312	16,631	16,631
Personal Service	551	621	708	730	738	738
Non-Personal Service/Indirect Costs	14,069	9,443	15,277	15,582	15,893	15,893
General State Charges	0	0	0	242	242	242
<i>Nonprofit Infrastructure Capital Investment Program</i>	6,951	30,751	44,500	16,049	5,000	0
Local Assistance	6,951	30,751	0	0	0	0
Capital Projects	0	0	44,500	16,049	5,000	0
<i>Roosevelt Island Operating Corporation</i>	0	25,028	0	0	0	0
Local Assistance	0	25,028	0	0	0	0
<i>Temporary and Disability Assistance, Office of</i>	4,614,149	5,442,618	5,177,338	5,194,229	5,204,769	5,313,359
<i>Welfare Assistance</i>	3,297,890	4,125,669	3,848,312	3,858,942	3,858,942	3,858,942
Local Assistance	3,297,890	4,125,669	3,848,312	3,858,942	3,858,942	3,858,942
<i>All Other</i>	1,316,259	1,316,949	1,329,026	1,335,287	1,345,827	1,454,417
Local Assistance	979,490	960,306	997,303	998,103	1,001,903	1,109,453
State Operations	283,573	290,245	283,384	287,844	293,560	293,560
Personal Service	153,573	155,859	150,999	154,335	157,441	157,441
Non-Personal Service/Indirect Costs	130,000	134,386	132,385	133,509	136,119	136,119
General State Charges	52,395	65,432	47,539	48,540	49,564	50,604
Capital Projects	801	966	800	800	800	800
Functional Total	8,774,051	9,451,947	9,595,995	9,905,408	9,680,525	9,774,711
MENTAL HYGIENE						
<i>Alcoholism and Substance Abuse Services, Office of</i>	596,829	599,319	640,056	679,566	676,034	688,088
<i>OASAS</i>	503,832	525,470	573,514	610,691	606,484	618,168
Local Assistance	448,258	482,038	521,465	556,819	552,810	563,836
State Operations	38,975	39,013	49,445	50,502	50,250	50,851
Personal Service	25,461	24,921	32,213	32,997	32,397	32,729
Non-Personal Service/Indirect Costs	13,514	14,092	17,232	17,505	17,853	18,122
General State Charges	14,792	2,383	0	0	0	0
Capital Projects	1,807	2,036	2,604	3,370	3,424	3,481
<i>OASAS - Other</i>	92,997	73,849	66,542	68,875	69,550	69,920
Local Assistance	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	49,508	52,524	45,217	47,550	48,225	48,595
Personal Service	36,074	37,825	33,888	35,334	35,589	35,914
Non-Personal Service/Indirect Costs	13,434	14,699	11,329	12,216	12,636	12,681
General State Charges	22,164	0	0	0	0	0
<i>Developmental Disabilities Planning Council</i>	4,378	3,948	4,200	4,200	4,200	4,200
State Operations	3,508	3,180	3,415	3,415	3,415	3,415
Personal Service	1,007	1,025	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,501	2,155	2,149	2,149	2,149	2,149
General State Charges	870	768	785	785	785	785
<i>Justice Center</i>	41,365	44,628	43,721	46,229	45,517	46,151
Local Assistance	619	679	649	649	649	649
State Operations	40,037	42,729	42,110	44,608	43,908	44,484
Personal Service	31,552	32,886	33,361	35,653	34,724	35,052
Non-Personal Service/Indirect Costs	8,485	9,843	8,749	8,955	9,184	9,432
General State Charges	709	1,220	962	972	960	1,018
<i>Mental Health, Office of</i>	3,501,554	2,915,984	2,859,291	2,915,555	2,981,061	3,062,291

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
OMH	1,778,348	1,657,443	1,777,396	1,789,815	1,823,848	1,877,813
Local Assistance	1,016,591	1,090,479	1,175,785	1,232,455	1,281,767	1,338,714
State Operations	352,420	332,772	371,062	368,564	378,127	386,946
Personal Service	289,317	269,052	326,077	323,315	331,634	338,973
Non-Personal Service/Indirect Costs	63,103	63,720	44,985	45,249	46,493	47,973
General State Charges	194,563	(10,072)	469	469	469	469
Capital Projects	214,774	244,264	230,080	188,327	163,485	151,684
OMH - Other	1,723,206	1,258,541	1,081,895	1,125,740	1,157,213	1,184,478
Local Assistance	265,389	278,961	77,238	89,517	93,994	102,295
State Operations	1,000,487	1,013,556	1,004,657	1,036,223	1,063,219	1,082,183
Personal Service	783,120	818,643	788,042	809,446	815,255	822,481
Non-Personal Service/Indirect Costs	217,367	194,913	216,615	226,777	247,964	259,702
General State Charges	457,330	(33,976)	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0	0
State Operations	204	0	0	0	0	0
Non-Personal Service/Indirect Costs	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,935,355	1,937,655	1,938,111	2,298,289	2,203,053	2,388,440
OPWDD	479,874	485,535	494,932	465,900	476,799	488,428
Local Assistance	409,319	388,326	399,520	384,380	394,557	405,419
State Operations	546	645	1,181	1,181	1,181	1,181
Personal Service	124	387	0	0	0	0
Non-Personal Service/Indirect Costs	422	258	1,181	1,181	1,181	1,181
General State Charges	0	78	0	0	0	0
Capital Projects	70,009	96,486	94,231	80,339	81,061	81,828
OPWDD - Other	2,455,481	1,452,120	1,443,179	1,832,389	1,726,254	1,900,012
Local Assistance	438,663	131,150	102,652	485,727	365,406	522,243
State Operations	1,333,017	1,320,970	1,340,527	1,346,662	1,360,848	1,377,769
Personal Service	1,129,750	1,142,322	1,158,907	1,160,652	1,170,176	1,182,185
Non-Personal Service/Indirect Costs	203,267	178,648	181,620	186,010	190,672	195,584
General State Charges	683,801	0	0	0	0	0
Functional Total	7,079,685	5,501,534	5,485,379	5,943,839	5,909,865	6,189,170
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
State Operations	2,594	2,541	2,651	2,773	2,735	2,735
Personal Service	2,316	2,276	2,414	2,531	2,488	2,488
Non-Personal Service/Indirect Costs	278	265	237	242	247	247
Correctional Services, Department of	3,017,424	2,976,983	3,249,368	3,120,027	3,158,150	3,215,335
Local Assistance	5,686	3,435	5,016	4,836	4,836	4,836
State Operations	2,654,783	2,602,342	2,876,887	2,773,398	2,814,482	2,892,377
Personal Service	2,124,813	2,113,450	2,373,912	2,274,729	2,321,012	2,395,561
Non-Personal Service/Indirect Costs	529,970	488,892	502,975	498,669	493,470	496,816
General State Charges	1,211	1,666	1,147	1,147	1,147	1,147
Capital Projects	355,744	369,540	366,318	340,646	337,685	316,975
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Local Assistance	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	221,521	268,933	238,926	229,587	229,408	230,245
Local Assistance	170,749	222,515	192,319	181,971	181,971	181,971
State Operations	50,120	45,900	46,259	47,268	47,089	47,919
Personal Service	32,935	33,118	32,621	33,675	33,231	33,745
Non-Personal Service/Indirect Costs	17,185	12,782	13,638	13,593	13,858	14,174
General State Charges	652	518	348	348	348	355
Homeland Security and Emergency Services, Division of	1,524,755	1,217,150	1,314,786	1,234,398	1,188,337	1,143,635
Local Assistance	1,430,243	1,127,676	1,217,870	1,129,142	1,085,839	1,042,925

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State Operations	58,572	68,256	79,421	80,171	82,598	83,438
Personal Service	27,650	34,464	44,610	45,856	47,215	47,774
Non-Personal Service/Indirect Costs	30,922	33,792	34,811	34,315	35,383	35,664
General State Charges	7,269	9,246	5,317	7,835	7,905	7,905
Capital Projects	28,671	11,972	12,178	17,250	11,995	9,367
Indigent Legal Services, Office of	75,499	88,454	150,900	211,080	261,200	311,215
Local Assistance	72,102	83,765	144,660	204,660	254,660	304,660
State Operations	2,328	2,838	4,137	4,203	4,201	4,216
Personal Service	1,873	2,430	3,298	3,364	3,350	3,350
Non-Personal Service/Indirect Costs	455	408	839	839	851	866
General State Charges	1,069	1,851	2,103	2,217	2,339	2,339
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
State Operations	5,552	5,423	6,038	5,936	6,114	6,220
Personal Service	4,238	3,959	4,677	4,548	4,483	4,573
Non-Personal Service/Indirect Costs	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
State Operations	10	0	30	30	30	30
Non-Personal Service/Indirect Costs	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
State Operations	14	7	38	38	38	38
Non-Personal Service/Indirect Costs	14	7	38	38	38	38
Military and Naval Affairs, Division of	132,339	150,526	194,003	130,715	122,253	112,807
Local Assistance	819	885	820	820	886	904
State Operations	62,438	62,111	62,291	61,797	62,368	63,541
Personal Service	36,483	35,883	35,434	35,732	35,808	36,526
Non-Personal Service/Indirect Costs	25,955	26,228	26,857	26,065	26,560	27,015
General State Charges	5,775	11,948	6,629	6,754	6,755	6,755
Capital Projects	63,307	75,582	124,263	61,344	52,244	41,607
State Police, Division of	853,462	840,623	892,444	921,139	918,812	931,831
Local Assistance	62	14	0	0	0	0
State Operations	764,680	745,098	815,034	834,833	833,301	853,270
Personal Service	687,413	670,416	741,805	761,535	757,531	776,396
Non-Personal Service/Indirect Costs	77,267	74,682	73,229	73,298	75,770	76,874
General State Charges	15,817	48,184	29,910	30,453	30,823	31,023
Capital Projects	72,903	47,327	47,500	55,853	54,688	47,538
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
State Operations	30,137	30,520	30,506	30,949	31,117	31,732
Personal Service	11,094	11,294	11,882	12,325	12,121	12,356
Non-Personal Service/Indirect Costs	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	60,952	79,725	105,945	106,062	106,711	106,711
Local Assistance	52,669	70,626	95,744	95,744	96,398	96,398
State Operations	6,645	7,205	8,551	8,668	8,630	8,630
Personal Service	5,044	5,139	6,206	6,323	6,272	6,272
Non-Personal Service/Indirect Costs	1,601	2,066	2,345	2,345	2,358	2,358
General State Charges	1,638	1,894	1,650	1,650	1,683	1,683
Functional Total	5,924,259	5,660,885	6,187,635	5,994,734	6,024,905	6,092,534

HIGHER EDUCATION

City University of New York	1,604,707	1,638,437	1,677,723	1,719,981	1,754,371	1,789,313
Local Assistance	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
State Operations	102,801	97,838	104,111	105,040	105,980	106,928
Personal Service	52,623	47,274	48,594	49,045	49,501	49,961
Non-Personal Service/Indirect Costs	50,178	50,564	55,517	55,995	56,479	56,967
General State Charges	145	129	150	150	150	150
Capital Projects	36,608	32,463	36,620	37,352	37,352	37,352

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Higher Education - Miscellaneous	337	540	441	441	441	441
State Operations	256	340	291	291	291	291
Personal Service	169	232	198	198	198	198
Non-Personal Service/Indirect Costs	87	108	93	93	93	93
General State Charges	81	200	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Local Assistance	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	934,399	1,036,617	1,014,552	940,315	949,882	952,416
Local Assistance	879,384	984,334	955,624	881,387	890,954	893,488
State Operations	46,884	42,475	49,907	49,907	49,907	49,907
Personal Service	13,380	12,339	13,752	13,752	13,752	13,752
Non-Personal Service/Indirect Costs	33,504	30,136	36,155	36,155	36,155	36,155
General State Charges	8,131	9,808	9,021	9,021	9,021	9,021
State University of New York	8,281,819	8,303,886	8,540,816	8,845,079	8,951,174	9,188,008
Local Assistance	489,533	487,978	482,766	486,767	486,767	485,767
State Operations	6,421,347	6,352,032	6,546,640	6,800,754	6,887,406	7,092,910
Personal Service	3,761,248	3,962,540	4,197,169	4,416,392	4,465,765	4,622,477
Non-Personal Service/Indirect Costs	2,660,099	2,389,492	2,349,471	2,384,362	2,421,641	2,470,433
General State Charges	430,884	522,634	517,894	546,865	562,028	589,358
Capital Projects	940,055	941,242	993,516	1,010,693	1,014,973	1,019,973
Functional Total	10,825,687	10,988,249	11,245,407	11,521,566	11,668,518	11,944,328
EDUCATION						
Arts, Council on the	39,750	44,212	46,053	46,049	45,953	45,953
Local Assistance	35,490	39,946	41,633	41,533	41,533	41,533
State Operations	4,260	4,266	4,420	4,516	4,420	4,420
Personal Service	2,626	2,636	2,498	2,594	2,498	2,498
Non-Personal Service/Indirect Costs	1,634	1,630	1,922	1,922	1,922	1,922
Education, Department of	33,883,898	35,368,982	36,445,060	37,511,278	38,588,005	39,715,024
School Aid	27,848,709	29,115,633	30,394,255	31,545,794	32,719,750	33,886,497
Local Assistance	27,848,709	29,115,633	30,394,255	31,545,794	32,719,750	33,886,497
School Aid – Other	61,169	152,867	109,518	109,518	109,518	109,518
Local Assistance	61,169	152,867	109,518	109,518	109,518	109,518
STAR Property Tax Relief	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
Local Assistance	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
Special Education Categorical Programs	2,001,400	2,298,888	2,126,637	2,162,221	2,228,066	2,295,451
Local Assistance	2,001,400	2,298,888	2,126,637	2,162,221	2,228,066	2,295,451
All Other	1,383,475	1,378,482	1,638,655	1,620,629	1,551,214	1,565,573
Local Assistance	985,722	962,535	1,152,254	1,107,240	1,089,562	1,118,918
State Operations	305,724	301,188	299,600	305,365	302,144	302,101
Personal Service	176,266	177,576	175,212	181,818	178,463	178,463
Non-Personal Service/Indirect Costs	129,458	123,612	124,388	123,547	123,681	123,638
General State Charges	85,316	108,613	91,667	97,458	96,581	97,269
Capital Projects	6,713	6,146	95,134	110,566	62,927	47,285
Functional Total	33,923,648	35,413,194	36,491,113	37,557,327	38,633,958	39,760,977
GENERAL GOVERNMENT						
Budget, Division of the	27,312	26,125	30,133	30,603	29,596	29,596
State Operations	26,600	25,218	28,476	28,886	27,939	27,939
Personal Service	21,683	21,921	24,567	25,511	24,567	24,567
Non-Personal Service/Indirect Costs	4,917	3,297	3,909	3,375	3,372	3,372
General State Charges	712	907	1,657	1,717	1,657	1,657

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<i>Civil Service, Department of</i>	14,975	16,128	16,351	14,848	14,890	14,911
Local Assistance	0	567	2,000	0	0	0
State Operations	14,795	15,422	14,120	14,604	14,649	14,666
Personal Service	14,053	15,062	14,108	14,592	14,207	14,215
Non-Personal Service/Indirect Costs	742	360	12	12	442	451
General State Charges	180	139	231	244	241	245
<i>Deferred Compensation Board</i>	675	776	891	896	900	904
State Operations	451	474	648	649	648	648
Personal Service	408	424	413	414	413	413
Non-Personal Service/Indirect Costs	43	50	235	235	235	235
General State Charges	224	302	243	247	252	256
<i>Elections, State Board of</i>	11,951	11,162	19,927	25,563	15,134	11,032
Local Assistance	326	450	3,000	10,200	1,500	0
State Operations	11,625	10,442	16,627	15,063	13,334	10,732
Personal Service	6,176	6,025	6,768	7,051	6,958	6,796
Non-Personal Service/Indirect Costs	5,449	4,417	9,859	8,012	6,376	3,936
General State Charges	0	270	300	300	300	300
<i>Employee Relations, Office of</i>	3,569	2,097	6,444	6,542	6,444	6,445
State Operations	3,569	2,097	6,444	6,542	6,444	6,445
Personal Service	2,515	2,040	6,248	6,346	6,248	6,248
Non-Personal Service/Indirect Costs	1,054	57	196	196	196	197
<i>Gaming Commission, New York State</i>	176,850	159,059	285,401	215,100	213,683	212,683
Local Assistance	65,779	69,013	199,668	121,950	122,950	121,950
State Operations	94,531	67,292	68,158	74,685	73,158	73,158
Personal Service	34,862	35,418	38,687	40,178	38,687	38,687
Non-Personal Service/Indirect Costs	59,669	31,874	29,471	34,507	34,471	34,471
General State Charges	16,540	22,754	17,575	18,465	17,575	17,575
<i>General Services, Office of</i>	280,851	307,115	344,972	363,895	265,265	236,665
Local Assistance	0	0	250	250	250	250
State Operations	151,633	151,612	112,512	111,313	109,923	111,701
Personal Service	67,107	61,158	26,270	29,695	27,278	27,278
Non-Personal Service/Indirect Costs	84,526	90,454	86,242	81,618	82,645	84,423
General State Charges	369	538	548	583	575	585
Capital Projects	128,849	154,965	231,662	251,749	154,517	124,129
<i>Inspector General, Office of the</i>	7,166	6,844	7,487	7,826	8,061	8,210
State Operations	7,166	6,844	7,487	7,826	8,061	8,210
Personal Service	6,223	5,909	6,648	6,970	6,857	6,994
Non-Personal Service/Indirect Costs	943	935	839	856	1,204	1,216
<i>Labor Management Committees</i>	30,630	35,520	36,306	31,517	31,306	31,306
State Operations	24,773	29,699	31,306	26,517	26,306	26,306
Personal Service	7,747	8,618	10,487	5,698	5,487	5,487
Non-Personal Service/Indirect Costs	17,026	21,081	20,819	20,819	20,819	20,819
General State Charges	5,857	5,821	5,000	5,000	5,000	5,000
<i>Prevention of Domestic Violence, Office for</i>	2,372	2,823	3,099	3,121	3,059	3,059
Local Assistance	791	1,267	1,435	1,385	1,412	1,412
State Operations	1,581	1,556	1,664	1,736	1,647	1,647
Personal Service	1,435	1,394	1,491	1,524	1,431	1,431
Non-Personal Service/Indirect Costs	146	162	173	212	216	216
<i>Public Employment Relations Board</i>	3,260	3,430	3,634	3,764	3,634	3,634
State Operations	3,260	3,430	3,634	3,764	3,634	3,634
Personal Service	3,059	3,175	3,338	3,468	3,338	3,338
Non-Personal Service/Indirect Costs	201	255	296	296	296	296
<i>Public Integrity, Commission on</i>	5,115	5,223	5,630	5,835	6,010	6,119
State Operations	5,115	5,223	5,630	5,835	6,010	6,119

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Personal Service	4,386	4,516	4,681	4,867	4,827	4,924
Non-Personal Service/Indirect Costs	729	707	949	968	1,183	1,195
State, Department of	127,596	146,276	165,925	150,950	175,117	197,134
Local Assistance	65,899	80,987	98,799	83,494	108,494	130,494
State Operations	48,251	46,216	49,392	50,484	49,372	49,372
Personal Service	32,267	31,280	32,012	33,100	32,012	32,012
Non-Personal Service/Indirect Costs	15,984	14,936	17,380	17,384	17,360	17,360
General State Charges	12,213	17,835	15,734	16,972	17,251	17,268
Capital Projects	1,233	1,238	2,000	0	0	0
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
State Operations	2,688	2,820	3,040	3,150	3,040	3,040
Personal Service	2,541	2,513	2,870	2,980	2,870	2,870
Non-Personal Service/Indirect Costs	147	307	170	170	170	170
Taxation and Finance, Department of	346,372	320,578	330,142	382,309	370,182	369,542
Local Assistance	1,542	2,435	2,726	2,726	2,726	2,726
State Operations	322,195	312,476	306,107	357,016	346,065	345,498
Personal Service	275,658	269,428	262,371	281,655	272,500	272,386
Non-Personal Service/Indirect Costs	46,537	43,048	43,736	75,361	73,565	73,112
General State Charges	22,635	5,667	21,309	22,567	21,391	21,318
Technology, Office for	641,934	615,182	653,732	619,008	591,067	584,227
State Operations	539,103	544,832	539,614	550,060	556,616	567,774
Personal Service	289,994	299,018	299,796	305,792	311,907	318,145
Non-Personal Service/Indirect Costs	249,109	245,814	239,818	244,268	244,709	249,629
Capital Projects	102,831	70,350	114,118	68,948	34,451	16,453
Veterans' Services, Division of	14,694	17,254	17,673	16,333	16,321	16,332
Local Assistance	7,906	10,499	9,485	7,840	7,840	7,840
State Operations	6,493	6,370	7,698	7,979	7,945	7,945
Personal Service	6,016	5,667	6,502	7,004	6,950	6,950
Non-Personal Service/Indirect Costs	477	703	1,196	975	995	995
General State Charges	295	385	490	514	536	547
Welfare Inspector General, Office of	671	629	701	731	753	768
State Operations	671	629	701	731	753	768
Personal Service	619	615	626	654	646	659
Non-Personal Service/Indirect Costs	52	14	75	77	107	109
Workers' Compensation Board	198,190	198,486	201,786	228,305	230,203	203,194
State Operations	140,971	141,918	143,219	148,002	143,219	143,219
Personal Service	85,117	82,890	84,892	89,608	84,892	84,892
Non-Personal Service/Indirect Costs	55,854	59,028	58,327	58,394	58,327	58,327
General State Charges	52,395	56,568	53,220	57,863	53,220	53,220
Capital Projects	4,824	0	5,347	22,440	33,764	6,755
Functional Total	1,896,871	1,877,527	2,133,274	2,110,296	1,984,665	1,938,801

ELECTED OFFICIALS

Audit and Control, Department of	180,421	184,860	195,190	197,140	191,670	191,670
Local Assistance	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	146,719	148,498	157,449	160,113	157,449	157,449
Personal Service	119,019	118,729	126,111	128,775	126,111	126,111
Non-Personal Service/Indirect Costs	27,700	29,769	31,338	31,338	31,338	31,338
General State Charges	1,677	2,039	2,197	2,197	2,197	2,197
Capital Projects	0	2,298	3,520	2,806	0	0
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
State Operations	13,560	12,673	13,578	14,032	13,578	13,578
Personal Service	9,979	9,135	11,113	11,567	11,113	11,113
Non-Personal Service/Indirect Costs	3,581	3,538	2,465	2,465	2,465	2,465

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Judiciary	2,965,828	3,074,939	3,128,175	3,187,701	3,121,101	3,121,401
Local Assistance	114,224	101,561	114,900	129,901	129,901	129,901
State Operations	2,087,034	2,175,297	2,186,100	2,233,100	2,176,100	2,176,400
Personal Service	1,626,662	1,733,307	1,711,700	1,768,700	1,711,700	1,712,000
Non-Personal Service/Indirect Costs	460,372	441,990	474,400	464,400	464,400	464,400
General State Charges	750,627	787,708	805,100	815,100	815,100	815,100
Capital Projects	13,943	10,373	22,075	9,600	0	0
Law, Department of	243,901	264,200	248,713	253,937	244,451	245,307
State Operations	210,621	215,230	211,845	217,296	211,845	212,701
Personal Service	152,616	155,585	149,909	155,361	149,909	150,765
Non-Personal Service/Indirect Costs	58,005	59,645	61,936	61,935	61,936	61,936
General State Charges	28,245	42,114	32,606	34,823	32,606	32,606
Capital Projects	5,035	6,856	4,262	1,818	0	0
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
State Operations	223,372	223,573	242,215	249,056	255,949	256,002
Personal Service	174,350	175,304	187,511	192,583	198,366	198,419
Non-Personal Service/Indirect Costs	49,022	48,269	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	492	530	614	634	614	614
State Operations	492	530	614	634	614	614
Personal Service	382	414	523	543	523	523
Non-Personal Service/Indirect Costs	110	116	91	91	91	91
Functional Total	3,627,574	3,760,775	3,828,485	3,902,500	3,827,363	3,828,572
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	721,979	666,385	672,166	704,192	704,192
Local Assistance	721,789	721,732	666,383	672,166	704,192	704,192
State Operations	131	247	2	0	0	0
Non-Personal Service/Indirect Costs	131	247	2	0	0	0
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Local Assistance	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Local Assistance	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Local Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Local Assistance	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Local Assistance	217	217	218	218	218	218
Functional Total	760,640	763,082	747,486	768,519	795,545	795,545
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	3,421	11,000	5,000	0	0
Local Assistance	0	3,340	6,000	0	0	0
Capital Projects	0	81	5,000	5,000	0	0
General State Charges	4,842,816	6,373,457	6,884,607	7,442,350	8,110,824	8,678,015
General State Charges	4,842,816	6,373,457	6,884,607	7,442,350	8,110,824	8,678,015
Long-Term Debt Service	5,914,725	6,736,264	5,191,635	6,651,241	7,114,011	7,358,181
State Operations	41,887	37,699	45,706	44,359	44,359	44,359
Non-Personal Service/Indirect Costs	41,887	37,699	45,706	44,359	44,359	44,359
Debt Service	5,872,838	6,698,565	5,145,929	6,606,882	7,069,652	7,313,822
Miscellaneous	(257,877)	(254,961)	(508,934)	(665,702)	(729,354)	(641,532)

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Local Assistance	(329,661)	(343,899)	(191,713)	(179,439)	(164,290)	(175,290)
State Operations	47,603	49,852	27,001	27,056	27,056	27,056
Personal Service	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
Non-Personal Service/Indirect Costs	45,409	47,720	34,716	34,716	34,716	34,716
General State Charges	2,588	2,969	5,770	5,782	5,787	5,797
Capital Projects	21,593	36,117	(349,992)	(519,101)	(597,907)	(499,095)
Special Infrastructure Account	783,139	1,019,587	984,327	625,460	356,228	107,255
Local Assistance	422,467	595,694	5,000	10,000	0	20,000
Capital Projects	360,672	423,893	979,327	615,460	356,228	87,255
Functional Total	11,282,803	13,877,768	12,562,635	14,058,349	14,851,709	15,501,919
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	163,743,595	170,875,068	176,288,324	184,461,204	192,015,532	198,361,311

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	95,102	144,172	102,819	98,803	107,959	104,425
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	88,621	94,207	81,392	74,766	78,006	78,006
Empire State Development Corporation	1,207,655	1,327,572	1,735,356	2,011,631	2,002,259	1,810,483
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Financial Services, Department of	357,811	394,049	370,525	389,354	382,991	382,991
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	40,736	53,875	78,676	38,676	18,676	18,676
Power Authority, New York	243	1,346	36,000	36,500	2,000	2,000
Public Service Department	88,976	78,507	83,199	84,706	82,004	81,149
Regional Economic Development Program	2,030	2,938	1,920	0	0	0
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
Functional Total	1,914,478	2,133,705	2,568,199	2,821,779	2,774,526	2,547,360
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	5,078	5,064	4,906	4,906
Environmental Conservation, Department of	974,519	1,216,137	1,311,028	1,408,714	1,480,718	1,601,749
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	366,435	373,648	375,287	346,048	343,062	342,612
Functional Total	1,345,422	1,594,082	1,696,393	1,774,826	1,843,686	1,961,267
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	312,091	336,460	343,364	357,901	339,848	324,376
Transportation, Department of	9,667,708	8,775,801	8,547,520	9,056,073	9,256,689	9,489,890
Functional Total	10,044,799	9,851,775	9,535,371	9,799,830	9,596,537	9,814,266
HEALTH						
Aging, Office for the	234,525	237,763	253,924	256,287	261,390	266,665
Health, Department of	66,062,707	69,715,870	73,910,954	77,997,831	84,115,448	87,898,304
<i>Medical Assistance</i>	55,644,622	58,623,402	62,763,247	66,850,892	72,860,765	76,574,919
<i>Essential Plan</i>	3,962,563	4,083,867	4,104,255	4,284,422	4,290,919	4,298,445
<i>Medicaid Administration</i>	1,356,021	1,851,372	1,698,032	1,370,840	1,375,622	1,361,914
<i>Public Health</i>	5,099,501	5,157,229	5,345,420	5,491,677	5,588,142	5,663,026
Medicaid Inspector General, Office of the	46,446	46,912	46,074	48,113	46,892	46,892
Functional Total	66,343,678	70,000,545	74,210,952	78,302,231	84,423,730	88,211,861
SOCIAL WELFARE						
Children and Family Services, Office of	3,139,782	2,919,962	2,854,654	3,056,628	3,110,276	3,110,872
<i>OCFS</i>	3,062,420	2,847,848	2,773,856	2,964,650	3,014,134	3,014,730
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	420,810	422,463	910,837	1,035,944	725,978	715,978
Human Rights, Division of	14,612	14,990	14,343	15,257	14,990	14,990
Labor, Department of	562,650	585,801	577,906	570,315	602,207	602,207
National and Community Service	15,097	10,334	16,417	16,986	17,305	17,305
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	4,614,149	5,442,618	5,177,338	5,194,229	5,204,769	5,313,359
<i>Welfare Assistance</i>	3,297,890	4,125,669	3,848,312	3,858,942	3,858,942	3,858,942
<i>All Other</i>	1,316,259	1,316,949	1,329,026	1,335,287	1,345,827	1,454,417
Functional Total	8,774,051	9,451,947	9,595,995	9,905,408	9,680,525	9,774,711
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	596,829	599,319	640,056	679,566	676,034	688,088
<i>OASAS</i>	503,832	525,470	573,514	610,691	606,484	618,168
<i>OASAS - Other</i>	92,997	73,849	66,542	68,875	69,550	69,920
Developmental Disabilities Planning Council	4,378	3,948	4,200	4,200	4,200	4,200
Justice Center	41,365	44,628	43,721	46,229	45,517	46,151

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Mental Health, Office of	3,501,554	2,915,984	2,859,291	2,915,555	2,981,061	3,062,291
<i>OMH</i>	1,778,348	1,657,443	1,777,396	1,789,815	1,823,848	1,877,813
<i>OMH - Other</i>	1,723,206	1,258,541	1,081,895	1,125,740	1,157,213	1,184,478
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,935,355	1,937,655	1,938,111	2,298,289	2,203,053	2,388,440
<i>OPWDD</i>	479,874	485,535	494,932	465,900	476,799	488,428
<i>OPWDD - Other</i>	2,455,481	1,452,120	1,443,179	1,832,389	1,726,254	1,900,012
Functional Total	7,079,685	5,501,534	5,485,379	5,943,839	5,909,865	6,189,170

PUBLIC PROTECTION/CRIMINAL JUSTICE

Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	3,017,424	2,976,983	3,249,368	3,120,027	3,158,150	3,215,335
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	221,521	268,933	238,926	229,587	229,408	230,245
Homeland Security and Emergency Services, Division of	1,524,755	1,217,150	1,314,786	1,234,398	1,188,337	1,143,635
Indigent Legal Services, Office of	75,499	88,454	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	132,339	150,526	194,003	130,715	122,253	112,807
State Police, Division of	853,462	840,623	892,444	921,139	918,812	931,831
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	60,952	79,725	105,945	106,062	106,711	106,711
Functional Total	5,924,259	5,660,885	6,187,635	5,994,734	6,024,905	6,092,534

HIGHER EDUCATION

City University of New York	1,604,707	1,638,437	1,677,723	1,719,981	1,754,371	1,789,313
Higher Education - Miscellaneous	337	540	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	934,399	1,036,617	1,014,552	940,315	949,882	952,416
State University of New York	8,281,822	8,303,889	8,540,816	8,845,079	8,951,174	9,188,008
Functional Total	10,825,690	10,988,252	11,245,407	11,521,566	11,668,518	11,944,328

EDUCATION

Arts, Council on the	39,750	44,212	46,053	46,049	45,953	45,953
Education, Department of	33,883,898	35,368,982	36,445,060	37,511,278	38,588,005	39,715,024
<i>School Aid</i>	27,848,709	29,115,633	30,394,255	31,545,794	32,719,750	33,886,497
<i>School Aid - Other</i>	61,169	152,867	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	2,001,400	2,298,888	2,126,637	2,162,221	2,228,066	2,295,451
<i>All Other</i>	1,383,475	1,378,482	1,638,655	1,620,629	1,551,214	1,565,573
Functional Total	33,923,648	35,413,194	36,491,113	37,557,327	38,633,958	39,760,977

GENERAL GOVERNMENT

Budget, Division of the	27,312	26,125	30,133	30,603	29,596	29,596
Civil Service, Department of	14,975	16,128	16,351	14,848	14,890	14,911
Deferred Compensation Board	675	776	891	896	900	904
Elections, State Board of	11,951	11,162	19,927	25,563	15,134	11,032
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	159,059	285,401	215,100	213,683	212,683
General Services, Office of	280,851	307,115	344,972	363,895	265,265	236,665
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	30,630	35,520	36,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,372	2,823	3,099	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	127,596	146,276	165,925	150,950	175,117	197,134
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	346,372	320,578	330,142	382,309	370,182	369,542
Technology, Office for	641,934	615,182	653,732	619,008	591,067	584,227
Veterans' Services, Division of	14,694	17,254	17,673	16,333	16,321	16,332

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	198,190	198,486	201,786	228,305	230,203	203,194
Functional Total	1,896,871	1,877,527	2,133,274	2,110,296	1,984,665	1,938,801
ELECTED OFFICIALS						
Audit and Control, Department of	180,421	184,860	195,190	197,140	191,670	191,670
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,965,828	3,074,939	3,128,175	3,187,701	3,121,101	3,121,401
Law, Department of	243,901	264,200	248,713	253,937	244,451	245,307
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
Functional Total	3,627,574	3,760,775	3,828,485	3,902,500	3,827,363	3,828,572
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	721,979	666,385	672,166	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	760,640	763,082	747,486	768,519	795,545	795,545
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	3,421	11,000	5,000	0	0
General State Charges	4,842,815	6,373,456	6,884,607	7,442,350	8,110,824	8,678,015
Long-Term Debt Service	5,914,725	6,736,264	5,191,635	6,651,241	7,114,011	7,358,181
Miscellaneous	(257,877)	(254,961)	(508,934)	(665,702)	(729,354)	(641,532)
Special Infrastructure Account	783,139	1,019,587	984,327	625,460	356,228	107,255
Functional Total	11,282,802	13,877,767	12,562,635	14,058,349	14,851,709	15,501,919
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	163,743,597	170,875,070	176,288,324	184,461,204	192,015,532	198,361,311

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,318	33,045	32,932	25,197	25,347	25,347
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	68,718	75,941	63,828	57,202	60,442	60,442
Empire State Development Corporation	1,136,529	1,241,923	1,033,768	1,130,017	1,321,138	1,121,138
Financial Services, Department of	57,254	64,179	58,602	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Power Authority, New York	83	0	0	0	0	0
Public Service Department	102	218	1,072	122	122	122
Regional Economic Development Program	2,030	2,938	1,583	0	0	0
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
Functional Total	1,301,410	1,425,269	1,218,372	1,301,097	1,500,931	1,300,931
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	345,907	475,941	396,879	438,465	456,807	461,204
Parks, Recreation and Historic Preservation, Office of	9,463	11,546	5,560	5,220	5,220	5,220
Functional Total	355,370	487,487	402,439	443,685	462,027	466,424
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	14,833	16,526	18,000	18,000	18,000	18,000
Transportation, Department of	6,168,328	5,098,210	4,725,921	5,009,759	5,187,855	5,435,322
Functional Total	6,248,161	5,854,250	5,388,408	5,413,615	5,205,855	5,453,322
HEALTH						
Aging, Office for the	221,725	228,997	241,687	243,865	249,011	254,286
Health, Department of	64,482,675	68,094,799	72,193,494	76,344,746	82,453,820	86,236,105
<i>Medical Assistance</i>	55,644,622	58,623,402	62,763,247	66,850,892	72,860,765	76,574,919
<i>Essential Plan</i>	3,874,925	4,007,287	4,024,529	4,206,333	4,215,388	4,224,442
<i>Medicaid Administration</i>	716,713	1,240,677	986,949	720,573	707,735	689,151
<i>Public Health</i>	4,246,415	4,223,433	4,418,769	4,566,948	4,669,932	4,747,593
Functional Total	64,704,400	68,323,796	72,435,181	76,588,611	82,702,831	86,490,391
SOCIAL WELFARE						
Children and Family Services, Office of	2,764,348	2,521,424	2,451,506	2,527,838	2,579,019	2,579,602
<i>OCFS</i>	2,686,986	2,449,310	2,370,708	2,435,860	2,482,877	2,483,460
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	340,738	337,864	809,941	930,820	617,246	607,246
Labor, Department of	161,244	138,948	179,464	154,292	157,042	157,042
National and Community Service	477	270	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	0	0	0	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	4,277,380	5,085,975	4,845,615	4,857,045	4,860,845	4,968,395
<i>Welfare Assistance</i>	3,297,890	4,125,669	3,848,312	3,858,942	3,858,942	3,858,942
<i>All Other</i>	979,490	960,306	997,303	998,103	1,001,903	1,109,453
Functional Total	7,551,138	8,140,260	8,286,958	8,470,427	8,214,584	8,312,717
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	469,583	503,363	542,790	578,144	574,135	585,161
<i>OASAS</i>	448,258	482,038	521,465	556,819	552,810	563,836
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	679	649	649	649	649
Mental Health, Office of	1,281,980	1,369,440	1,253,023	1,321,972	1,375,761	1,441,009
<i>OMH</i>	1,016,591	1,090,479	1,175,785	1,232,455	1,281,767	1,338,714
<i>OMH - Other</i>	265,389	278,961	77,238	89,517	93,994	102,295
People with Developmental Disabilities, Office for	847,982	519,476	502,172	870,107	759,963	927,662
<i>OPWDD</i>	409,319	388,326	399,520	384,380	394,557	405,419
<i>OPWDD - Other</i>	438,663	131,150	102,652	485,727	365,406	522,243
Functional Total	2,600,164	2,392,958	2,298,634	2,770,872	2,710,508	2,954,481

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,686	3,435	5,016	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	170,749	222,515	192,319	181,971	181,971	181,971
Homeland Security and Emergency Services, Division of	1,430,243	1,127,676	1,217,870	1,129,142	1,085,839	1,042,925
Indigent Legal Services, Office of	72,102	83,765	144,660	204,660	254,660	304,660
Military and Naval Affairs, Division of	819	885	820	820	886	904
State Police, Division of	62	14	0	0	0	0
Victim Services, Office of	52,669	70,626	95,744	95,744	96,398	96,398
Functional Total	1,732,330	1,508,916	1,658,429	1,619,173	1,624,590	1,631,694
HIGHER EDUCATION						
City University of New York	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	879,384	984,334	955,624	881,387	890,954	893,488
State University of New York	489,533	487,979	482,766	486,767	486,767	485,767
Functional Total	2,838,495	2,989,089	2,987,107	2,961,343	3,001,260	3,038,288
EDUCATION						
Arts, Council on the	35,490	39,946	41,633	41,533	41,533	41,533
Education, Department of	33,486,145	34,953,035	35,958,659	36,997,889	38,126,353	39,268,369
<i>School Aid</i>	27,848,709	29,115,633	30,394,255	31,545,794	32,719,750	33,886,497
<i>School Aid – Other</i>	61,169	152,867	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	2,001,400	2,298,888	2,126,637	2,162,221	2,228,066	2,295,451
<i>All Other</i>	985,722	962,535	1,152,254	1,107,240	1,089,562	1,118,918
Functional Total	33,521,635	34,992,981	36,000,292	37,039,422	38,167,886	39,309,902
GENERAL GOVERNMENT						
Civil Service, Department of	0	567	2,000	0	0	0
Elections, State Board of	326	450	3,000	10,200	1,500	0
Gaming Commission, New York State	65,779	69,013	199,668	121,950	122,950	121,950
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	791	1,267	1,435	1,385	1,412	1,412
State, Department of	65,899	80,987	98,799	83,494	108,494	130,494
Taxation and Finance, Department of	1,542	2,435	2,726	2,726	2,726	2,726
Veterans' Services, Division of	7,906	10,499	9,485	7,840	7,840	7,840
Functional Total	142,243	165,218	317,363	227,845	245,172	264,672
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	114,224	101,561	114,900	129,901	129,901	129,901
Functional Total	146,249	133,586	146,924	161,925	161,925	161,925
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,789	721,732	666,383	672,166	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	760,509	762,835	747,484	768,519	795,545	795,545

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	3,340	6,000	0	0	0
Miscellaneous	(329,661)	(343,899)	(191,713)	(179,439)	(164,290)	(175,290)
Special Infrastructure Account	422,467	595,694	5,000	10,000	0	20,000
Functional Total	<u>92,806</u>	<u>255,135</u>	<u>(180,713)</u>	<u>(169,439)</u>	<u>(164,290)</u>	<u>(155,290)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>121,994,910</u>	<u>127,431,780</u>	<u>131,706,878</u>	<u>137,597,095</u>	<u>144,628,824</u>	<u>150,025,002</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	51,225	53,523	53,551	55,223	69,153	68,953
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	19,891	18,266	17,536	17,536	17,536	17,536
Financial Services, Department of	209,633	216,351	210,099	216,381	210,244	210,244
Olympic Regional Development Authority	2,736	8,784	8,676	8,676	8,676	8,676
Public Service Department	54,691	54,273	53,606	54,310	52,144	50,764
Functional Total	349,995	362,743	354,743	363,686	368,997	367,417
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	5,078	5,064	4,906	4,906
Environmental Conservation, Department of	252,567	249,167	260,377	272,880	267,935	268,034
Parks, Recreation and Historic Preservation, Office of	173,759	181,702	165,610	171,258	167,888	167,888
Functional Total	430,794	435,166	431,065	449,202	440,729	440,828
TRANSPORTATION						
Motor Vehicles, Department of	53,425	63,061	68,898	70,084	69,765	69,765
Transportation, Department of	26,750	320,694	365,817	361,374	365,741	365,741
Functional Total	80,175	383,755	434,715	431,458	435,506	435,506
HEALTH						
Aging, Office for the	12,800	8,722	12,237	12,422	12,379	12,379
Health, Department of	1,457,078	1,461,058	1,522,425	1,463,905	1,470,552	1,472,022
<i>Essential Plan</i>	87,638	76,580	79,726	78,089	75,531	74,003
<i>Medicaid Administration</i>	636,474	607,179	706,518	645,527	662,553	667,208
<i>Public Health</i>	732,966	777,299	736,181	740,289	732,468	730,811
Medicaid Inspector General, Office of the	37,092	35,842	36,549	37,812	36,591	36,591
Functional Total	1,506,970	1,505,622	1,571,211	1,514,139	1,519,522	1,520,992
SOCIAL WELFARE						
Children and Family Services, Office of	321,311	326,193	359,830	480,955	485,586	485,586
<i>OCFS</i>	321,311	326,193	359,830	480,955	485,586	485,586
Housing and Community Renewal, Division of	60,527	58,687	67,970	72,198	71,081	71,081
Human Rights, Division of	14,612	14,990	14,343	15,257	14,990	14,990
Labor, Department of	280,007	287,352	281,762	299,343	304,943	304,943
National and Community Service	14,620	10,064	15,985	16,312	16,631	16,631
Temporary and Disability Assistance, Office of	283,573	290,245	283,384	287,844	293,560	293,560
<i>All Other</i>	283,573	290,245	283,384	287,844	293,560	293,560
Functional Total	974,650	987,531	1,023,274	1,171,909	1,186,791	1,186,791
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	88,483	91,537	94,662	98,052	98,475	99,446
<i>OASAS</i>	38,975	39,013	49,445	50,502	50,250	50,851
<i>OASAS - Other</i>	49,508	52,524	45,217	47,550	48,225	48,595
Developmental Disabilities Planning Council	3,508	3,180	3,415	3,415	3,415	3,415
Justice Center	40,037	42,729	42,110	44,608	43,908	44,484
Mental Health, Office of	1,352,907	1,346,328	1,375,719	1,404,787	1,441,346	1,469,129
<i>OMH</i>	352,420	332,772	371,062	368,564	378,127	386,946
<i>OMH - Other</i>	1,000,487	1,013,556	1,004,657	1,036,223	1,063,219	1,082,183
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,563	1,321,615	1,341,708	1,347,843	1,362,029	1,378,950
<i>OPWDD</i>	546	645	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,333,017	1,320,970	1,340,527	1,346,662	1,360,848	1,377,769
Functional Total	2,818,702	2,805,389	2,857,614	2,898,705	2,949,173	2,995,424
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,654,783	2,602,342	2,876,887	2,773,398	2,814,482	2,892,377
Criminal Justice Services, Division of	50,120	45,900	46,259	47,268	47,089	47,919

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Homeland Security and Emergency Services, Division of	58,572	68,256	79,421	80,171	82,598	83,438
Indigent Legal Services, Office of	2,328	2,838	4,137	4,203	4,201	4,216
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	62,438	62,111	62,291	61,797	62,368	63,541
State Police, Division of	764,680	745,098	815,034	834,833	833,301	853,270
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	6,645	7,205	8,551	8,668	8,630	8,630
Functional Total	3,637,873	3,572,241	3,931,843	3,850,064	3,892,703	3,994,146
HIGHER EDUCATION						
City University of New York	102,801	97,838	104,111	105,040	105,980	106,928
Higher Education - Miscellaneous	256	340	291	291	291	291
Higher Education Services Corporation, New York State	46,884	42,475	49,907	49,907	49,907	49,907
State University of New York	6,421,348	6,352,033	6,546,640	6,800,754	6,887,406	7,092,910
Functional Total	6,571,289	6,492,686	6,700,949	6,955,992	7,043,584	7,250,036
EDUCATION						
Arts, Council on the	4,260	4,266	4,420	4,516	4,420	4,420
Education, Department of	305,724	301,188	299,600	305,365	302,144	302,101
<i>All Other</i>	305,724	301,188	299,600	305,365	302,144	302,101
Functional Total	309,984	305,454	304,020	309,881	306,564	306,521
GENERAL GOVERNMENT						
Budget, Division of the	26,600	25,218	28,476	28,886	27,939	27,939
Civil Service, Department of	14,795	15,422	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	474	648	649	648	648
Elections, State Board of	11,625	10,442	16,627	15,063	13,334	10,732
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	67,292	68,158	74,685	73,158	73,158
General Services, Office of	151,633	151,612	112,512	111,313	109,923	111,701
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	29,699	31,306	26,517	26,306	26,306
Prevention of Domestic Violence, Office for	1,581	1,556	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	48,251	46,216	49,392	50,484	49,372	49,372
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	322,195	312,476	306,107	357,016	346,065	345,498
Technology, Office for	539,103	544,832	539,614	550,060	556,616	567,774
Veterans' Services, Division of	6,493	6,370	7,698	7,979	7,945	7,945
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	140,971	141,918	143,219	148,002	143,219	143,219
Functional Total	1,405,471	1,374,570	1,346,477	1,414,842	1,398,763	1,408,821
ELECTED OFFICIALS						
Audit and Control, Department of	146,719	148,498	157,449	160,113	157,449	157,449
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,087,034	2,175,298	2,186,100	2,233,100	2,176,100	2,176,400
Law, Department of	210,621	215,230	211,845	217,296	211,845	212,701
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
Functional Total	2,681,798	2,775,802	2,811,801	2,874,231	2,815,535	2,816,744
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	247	2	0	0	0
Functional Total	131	247	2	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Miscellaneous	47,603	49,852	27,001	27,056	27,056	27,056
Functional Total	89,490	87,551	72,707	71,415	71,415	71,415
TOTAL STATE OPERATIONS SPENDING	20,857,322	21,088,757	21,840,421	22,305,524	22,429,282	22,794,641

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	34,271	33,983	34,791	36,416	35,526	35,526
Alcoholic Beverage Control, Division of	8,131	8,166	8,316	8,901	8,585	8,585
Economic Development, Department of	13,243	13,090	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,895	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	44,476	43,935	44,716	46,654	45,056	45,069
Functional Total	256,815	261,664	259,842	269,974	261,327	261,340
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,179	3,950	4,401	4,401	4,243	4,243
Environmental Conservation, Department of	200,579	198,080	206,016	215,594	210,729	210,780
Parks, Recreation and Historic Preservation, Office of	132,575	136,844	129,813	136,280	132,910	132,910
Functional Total	337,333	338,874	340,230	356,275	347,882	347,933
TRANSPORTATION						
Motor Vehicles, Department of	37,707	45,393	48,098	49,186	49,476	49,476
Transportation, Department of	11,964	164,949	172,868	173,393	172,880	172,880
Functional Total	49,671	210,342	220,966	222,579	222,356	222,356
HEALTH						
Aging, Office for the	7,079	7,193	7,782	7,967	7,924	7,924
Health, Department of	353,534	353,151	373,079	380,247	377,465	381,864
<i>Essential Plan</i>	3,148	3,233	4,580	4,692	4,621	4,634
<i>Medicaid Administration</i>	69,223	70,586	68,605	70,688	73,748	78,037
<i>Public Health</i>	281,163	279,332	299,894	304,867	299,096	299,193
Medicaid Inspector General, Office of the	31,681	30,415	31,249	32,470	31,249	31,249
Functional Total	392,294	390,759	412,110	420,684	416,638	421,037
SOCIAL WELFARE						
Children and Family Services, Office of	197,353	202,543	201,265	297,332	298,050	298,050
<i>OCFS</i>	197,353	202,543	201,265	297,332	298,050	298,050
Housing and Community Renewal, Division of	47,478	46,746	54,893	58,245	56,832	56,832
Human Rights, Division of	12,315	12,688	12,596	13,475	13,173	13,173
Labor, Department of	206,559	210,868	192,238	206,414	208,606	208,606
National and Community Service	551	621	708	730	738	738
Temporary and Disability Assistance, Office of	153,573	155,859	150,999	154,335	157,441	157,441
<i>All Other</i>	153,573	155,859	150,999	154,335	157,441	157,441
Functional Total	617,829	629,325	612,699	730,531	734,840	734,840
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	61,535	62,746	66,101	68,331	67,986	68,643
<i>OASAS</i>	25,461	24,921	32,213	32,997	32,397	32,729
<i>OASAS - Other</i>	36,074	37,825	33,888	35,334	35,589	35,914
Developmental Disabilities Planning Council	1,007	1,025	1,266	1,266	1,266	1,266
Justice Center	31,552	32,886	33,361	35,653	34,724	35,052
Mental Health, Office of	1,072,437	1,087,695	1,114,119	1,132,761	1,146,889	1,161,454
<i>OMH</i>	289,317	269,052	326,077	323,315	331,634	338,973
<i>OMH - Other</i>	783,120	818,643	788,042	809,446	815,255	822,481
People with Developmental Disabilities, Office for	1,129,874	1,142,709	1,158,907	1,160,652	1,170,176	1,182,185
<i>OPWDD</i>	124	387	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,142,322	1,158,907	1,160,652	1,170,176	1,182,185
Functional Total	2,296,405	2,327,061	2,373,754	2,398,663	2,421,041	2,448,600
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,316	2,276	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,124,813	2,113,450	2,373,912	2,274,729	2,321,012	2,395,561
Criminal Justice Services, Division of	32,935	33,118	32,621	33,675	33,231	33,745
Homeland Security and Emergency Services, Division of	27,650	34,464	44,610	45,856	47,215	47,774

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Indigent Legal Services, Office of	1,873	2,430	3,298	3,364	3,350	3,350
Judicial Conduct, Commission on	4,238	3,959	4,677	4,548	4,483	4,573
Military and Naval Affairs, Division of	36,483	35,883	35,434	35,732	35,808	36,526
State Police, Division of	687,413	670,416	741,805	761,535	757,531	776,396
Statewide Financial System	11,094	11,294	11,882	12,325	12,121	12,356
Victim Services, Office of	5,044	5,139	6,206	6,323	6,272	6,272
Functional Total	2,933,859	2,912,429	3,256,859	3,180,618	3,223,511	3,319,041
HIGHER EDUCATION						
City University of New York	52,623	47,274	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	232	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,339	13,752	13,752	13,752	13,752
State University of New York	3,761,249	3,962,541	4,197,169	4,416,392	4,465,765	4,622,477
Functional Total	3,827,421	4,022,386	4,259,713	4,479,387	4,529,216	4,686,388
EDUCATION						
Arts, Council on the	2,626	2,636	2,498	2,594	2,498	2,498
Education, Department of	176,266	177,576	175,212	181,818	178,463	178,463
<i>All Other</i>	176,266	177,576	175,212	181,818	178,463	178,463
Functional Total	178,892	180,212	177,710	184,412	180,961	180,961
GENERAL GOVERNMENT						
Budget, Division of the	21,683	21,921	24,567	25,511	24,567	24,567
Civil Service, Department of	14,053	15,062	14,108	14,592	14,207	14,215
Deferred Compensation Board	408	424	413	414	413	413
Elections, State Board of	6,176	6,025	6,768	7,051	6,958	6,796
Employee Relations, Office of	2,515	2,040	6,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	35,418	38,687	40,178	38,687	38,687
General Services, Office of	67,107	61,158	26,270	29,695	27,278	27,278
Inspector General, Office of the	6,223	5,909	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	8,618	10,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,435	1,394	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,175	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,516	4,681	4,867	4,827	4,924
State, Department of	32,267	31,280	32,012	33,100	32,012	32,012
Tax Appeals, Division of	2,541	2,513	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	269,428	262,371	281,655	272,500	272,386
Technology, Office for	289,994	299,018	299,796	305,792	311,907	318,145
Veterans' Services, Division of	6,016	5,667	6,502	7,004	6,950	6,950
Welfare Inspector General, Office of	619	615	626	654	646	659
Workers' Compensation Board	85,117	82,890	84,892	89,608	84,892	84,892
Functional Total	861,866	857,071	832,775	867,107	852,075	858,292
ELECTED OFFICIALS						
Audit and Control, Department of	119,019	118,729	126,111	128,775	126,111	126,111
Executive Chamber	9,979	9,135	11,113	11,567	11,113	11,113
Judiciary	1,626,662	1,733,308	1,711,700	1,768,700	1,711,700	1,712,000
Law, Department of	152,616	155,585	149,909	155,361	149,909	150,765
Legislature	174,350	175,304	187,511	192,583	198,366	198,419
Lieutenant Governor, Office of the	382	414	523	543	523	523
Functional Total	2,083,008	2,192,475	2,186,867	2,257,529	2,197,722	2,198,931
ALL OTHER CATEGORIES						
Miscellaneous	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
Functional Total	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
TOTAL PERSONAL SERVICE SPENDING	13,837,587	14,324,730	14,925,810	15,360,099	15,379,909	15,672,059

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	16,954	19,540	18,760	18,807	33,627	33,427
Alcoholic Beverage Control, Division of	3,688	3,380	2,959	2,659	2,659	2,659
Economic Development, Department of	6,648	5,176	4,607	4,607	4,607	4,607
Financial Services, Department of	55,487	59,456	56,347	56,645	56,351	56,351
Olympic Regional Development Authority	188	3,189	3,338	3,338	3,338	3,338
Public Service Department	10,215	10,338	8,890	7,656	7,088	5,695
Functional Total	93,180	101,079	94,901	93,712	107,670	106,077
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	289	347	677	663	663	663
Environmental Conservation, Department of	51,988	51,087	54,361	57,286	57,206	57,254
Parks, Recreation and Historic Preservation, Office of	41,184	44,858	35,797	34,978	34,978	34,978
Functional Total	93,461	96,292	90,835	92,927	92,847	92,895
TRANSPORTATION						
Motor Vehicles, Department of	15,718	17,668	20,800	20,898	20,289	20,289
Transportation, Department of	14,786	155,745	192,949	187,981	192,861	192,861
Functional Total	30,504	173,413	213,749	208,879	213,150	213,150
HEALTH						
Aging, Office for the	5,721	1,529	4,455	4,455	4,455	4,455
Health, Department of	1,103,544	1,107,907	1,149,346	1,083,658	1,093,087	1,090,158
<i>Essential Plan</i>	84,490	73,347	75,146	73,397	70,910	69,369
<i>Medicaid Administration</i>	567,251	536,593	637,913	574,839	588,805	589,171
<i>Public Health</i>	451,803	497,967	436,287	435,422	433,372	431,618
Medicaid Inspector General, Office of the	5,411	5,427	5,300	5,342	5,342	5,342
Functional Total	1,114,676	1,114,863	1,159,101	1,093,455	1,102,884	1,099,955
SOCIAL WELFARE						
Children and Family Services, Office of	123,958	123,650	158,565	183,623	187,536	187,536
<i>OCFS</i>	123,958	123,650	158,565	183,623	187,536	187,536
Housing and Community Renewal, Division of	13,049	11,941	13,077	13,953	14,249	14,249
Human Rights, Division of	2,297	2,302	1,747	1,782	1,817	1,817
Labor, Department of	73,448	76,484	89,524	92,929	96,337	96,337
National and Community Service	14,069	9,443	15,277	15,582	15,893	15,893
Temporary and Disability Assistance, Office of	130,000	134,386	132,385	133,509	136,119	136,119
<i>All Other</i>	130,000	134,386	132,385	133,509	136,119	136,119
Functional Total	356,821	358,206	410,575	441,378	451,951	451,951
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	26,948	28,791	28,561	29,721	30,489	30,803
<i>OASAS</i>	13,514	14,092	17,232	17,505	17,853	18,122
<i>OASAS - Other</i>	13,434	14,699	11,329	12,216	12,636	12,681
Developmental Disabilities Planning Council	2,501	2,155	2,149	2,149	2,149	2,149
Justice Center	8,485	9,843	8,749	8,955	9,184	9,432
Mental Health, Office of	280,470	258,633	261,600	272,026	294,457	307,675
<i>OMH</i>	63,103	63,720	44,985	45,249	46,493	47,973
<i>OMH - Other</i>	217,367	194,913	216,615	226,777	247,964	259,702
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,689	178,906	182,801	187,191	191,853	196,765
<i>OPWDD</i>	422	258	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	203,267	178,648	181,620	186,010	190,672	195,584
Functional Total	522,297	478,328	483,860	500,042	528,132	546,824
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	278	265	237	242	247	247
Correctional Services, Department of	529,970	488,892	502,975	498,669	493,470	496,816
Criminal Justice Services, Division of	17,185	12,782	13,638	13,593	13,858	14,174

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Homeland Security and Emergency Services, Division of	30,922	33,792	34,811	34,315	35,383	35,664
Indigent Legal Services, Office of	455	408	839	839	851	866
Judicial Conduct, Commission on	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,955	26,228	26,857	26,065	26,560	27,015
State Police, Division of	77,267	74,682	73,229	73,298	75,770	76,874
Statewide Financial System	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	1,601	2,066	2,345	2,345	2,358	2,358
Functional Total	704,014	659,812	674,984	669,446	669,192	675,105
HIGHER EDUCATION						
City University of New York	50,178	50,564	55,517	55,995	56,479	56,967
Higher Education - Miscellaneous	87	108	93	93	93	93
Higher Education Services Corporation, New York State	33,504	30,136	36,155	36,155	36,155	36,155
State University of New York	2,660,099	2,389,492	2,349,471	2,384,362	2,421,641	2,470,433
Functional Total	2,743,868	2,470,300	2,441,236	2,476,605	2,514,368	2,563,648
EDUCATION						
Arts, Council on the	1,634	1,630	1,922	1,922	1,922	1,922
Education, Department of	129,458	123,612	124,388	123,547	123,681	123,638
<i>All Other</i>	129,458	123,612	124,388	123,547	123,681	123,638
Functional Total	131,092	125,242	126,310	125,469	125,603	125,560
GENERAL GOVERNMENT						
Budget, Division of the	4,917	3,297	3,909	3,375	3,372	3,372
Civil Service, Department of	742	360	12	12	442	451
Deferred Compensation Board	43	50	235	235	235	235
Elections, State Board of	5,449	4,417	9,859	8,012	6,376	3,936
Employee Relations, Office of	1,054	57	196	196	196	197
Gaming Commission, New York State	59,669	31,874	29,471	34,507	34,471	34,471
General Services, Office of	84,526	90,454	86,242	81,618	82,645	84,423
Inspector General, Office of the	943	935	839	856	1,204	1,216
Labor Management Committees	17,026	21,081	20,819	20,819	20,819	20,819
Prevention of Domestic Violence, Office for	146	162	173	212	216	216
Public Employment Relations Board	201	255	296	296	296	296
Public Integrity, Commission on	729	707	949	968	1,183	1,195
State, Department of	15,984	14,936	17,380	17,384	17,360	17,360
Tax Appeals, Division of	147	307	170	170	170	170
Taxation and Finance, Department of	46,537	43,048	43,736	75,361	73,565	73,112
Technology, Office for	249,109	245,814	239,818	244,268	244,709	249,629
Veterans' Services, Division of	477	703	1,196	975	995	995
Welfare Inspector General, Office of	52	14	75	77	107	109
Workers' Compensation Board	55,854	59,028	58,327	58,394	58,327	58,327
Functional Total	543,605	517,499	513,702	547,735	546,688	550,529
ELECTED OFFICIALS						
Audit and Control, Department of	27,700	29,769	31,338	31,338	31,338	31,338
Executive Chamber	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	460,372	441,990	474,400	464,400	464,400	464,400
Law, Department of	58,005	59,645	61,936	61,935	61,936	61,936
Legislature	49,022	48,269	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	116	91	91	91	91
Functional Total	598,790	583,327	624,934	616,702	617,813	617,813
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	247	2	0	0	0
Functional Total	131	247	2	0	0	0
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
 (thousands of dollars)

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Miscellaneous	45,409	47,720	34,716	34,716	34,716	34,716
Functional Total	87,296	85,419	80,422	79,075	79,075	79,075
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	7,019,735	6,764,027	6,914,611	6,945,425	7,049,373	7,122,582

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,295	5,851	3,974	4,118	4,129	4,210
Economic Development, Department of	2	0	28	28	28	28
Financial Services, Department of	90,924	113,519	101,824	114,477	114,251	114,251
Olympic Regional Development Authority	0	31	0	0	0	0
Public Service Department	34,183	24,016	28,521	30,274	29,738	30,263
Functional Total	128,404	143,417	134,347	148,897	148,146	148,752
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	62,194	81,999	64,662	67,853	66,727	67,976
Parks, Recreation and Historic Preservation, Office of	3,264	3,657	1,960	2,031	1,965	1,965
Functional Total	65,458	85,656	66,622	69,884	68,692	69,941
TRANSPORTATION						
Motor Vehicles, Department of	21,841	29,270	24,838	26,769	27,421	27,421
Transportation, Department of	6,070	8,965	8,686	9,053	8,946	8,946
Functional Total	27,911	38,235	33,524	35,822	36,367	36,367
HEALTH						
Aging, Office for the	0	44	0	0	0	0
Health, Department of	67,722	82,342	83,384	88,836	90,732	89,833
<i>Medicaid Administration</i>	2,834	3,516	4,565	4,740	5,334	5,555
<i>Public Health</i>	64,888	78,826	78,819	84,096	85,398	84,278
Medicaid Inspector General, Office of the	9,354	11,070	9,525	10,301	10,301	10,301
Functional Total	77,076	93,456	92,909	99,137	101,033	100,134
SOCIAL WELFARE						
Children and Family Services, Office of	12,864	16,545	13,460	22,031	22,011	22,011
<i>OCFS</i>	12,864	16,545	13,460	22,031	22,011	22,011
Housing and Community Renewal, Division of	19,545	25,912	29,926	29,926	34,651	34,651
Labor, Department of	121,399	159,501	116,680	116,680	140,222	140,222
National and Community Service	0	0	0	242	242	242
Temporary and Disability Assistance, Office of	52,395	65,432	47,539	48,540	49,564	50,604
<i>All Other</i>	52,395	65,432	47,539	48,540	49,564	50,604
Functional Total	206,203	267,390	207,605	217,419	246,690	247,730
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,956	2,383	0	0	0	0
<i>OASAS</i>	14,792	2,383	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Developmental Disabilities Planning Council	870	768	785	785	785	785
Justice Center	709	1,220	962	972	960	1,018
Mental Health, Office of	651,893	(44,048)	469	469	469	469
<i>OMH</i>	194,563	(10,072)	469	469	469	469
<i>OMH - Other</i>	457,330	(33,976)	0	0	0	0
People with Developmental Disabilities, Office for	683,801	78	0	0	0	0
<i>OPWDD</i>	0	78	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
Functional Total	1,374,229	(39,599)	2,216	2,226	2,214	2,272
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,211	1,666	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	652	518	348	348	348	355
Homeland Security and Emergency Services, Division of	7,269	9,246	5,317	7,835	7,905	7,905
Indigent Legal Services, Office of	1,069	1,851	2,103	2,217	2,339	2,339
Military and Naval Affairs, Division of	5,775	11,948	6,629	6,754	6,755	6,755
State Police, Division of	15,817	48,184	29,910	30,453	30,823	31,023
Victim Services, Office of	1,638	1,894	1,650	1,650	1,683	1,683
Functional Total	33,431	75,307	47,104	50,404	51,000	51,207

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
HIGHER EDUCATION						
City University of New York	145	129	150	150	150	150
Higher Education - Miscellaneous	81	200	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,808	9,021	9,021	9,021	9,021
State University of New York	430,885	522,635	517,894	546,865	562,028	589,358
Functional Total	439,242	532,772	527,215	556,186	571,349	598,679
EDUCATION						
Education, Department of	85,316	108,613	91,667	97,458	96,581	97,269
<i>All Other</i>	85,316	108,613	91,667	97,458	96,581	97,269
Functional Total	85,316	108,613	91,667	97,458	96,581	97,269
GENERAL GOVERNMENT						
Budget, Division of the	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	180	139	231	244	241	245
Deferred Compensation Board	224	302	243	247	252	256
Elections, State Board of	0	270	300	300	300	300
Gaming Commission, New York State	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	369	538	548	583	575	585
Labor Management Committees	5,857	5,821	5,000	5,000	5,000	5,000
State, Department of	12,213	17,835	15,734	16,972	17,251	17,268
Taxation and Finance, Department of	22,635	5,667	21,309	22,567	21,391	21,318
Veterans' Services, Division of	295	385	490	514	536	547
Workers' Compensation Board	52,395	56,568	53,220	57,863	53,220	53,220
Functional Total	111,420	111,186	116,307	124,472	117,998	117,971
ELECTED OFFICIALS						
Audit and Control, Department of	1,677	2,039	2,197	2,197	2,197	2,197
Judiciary	750,627	787,707	805,100	815,100	815,100	815,100
Law, Department of	28,245	42,114	32,606	34,823	32,606	32,606
Functional Total	780,549	831,860	839,903	852,120	849,903	849,903
ALL OTHER CATEGORIES						
General State Charges	4,842,815	6,373,456	6,884,607	7,442,350	8,110,824	8,678,015
Miscellaneous	2,588	2,969	5,770	5,782	5,787	5,797
Functional Total	4,845,403	6,376,425	6,890,377	7,448,132	8,116,611	8,683,812
TOTAL GENERAL STATE CHARGES SPENDING	8,174,642	8,624,718	9,049,796	9,702,157	10,406,584	11,004,037

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,264	51,753	12,362	14,265	9,330	5,915
Economic Development, Department of	10	0	0	0	0	0
Empire State Development Corporation	71,126	85,649	701,588	881,614	681,121	689,345
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	38,000	42,700	70,000	30,000	10,000	10,000
Power Authority, New York	160	1,346	36,000	36,500	2,000	2,000
Regional Economic Development Program	0	0	337	0	0	0
Functional Total	134,669	202,276	860,737	1,008,099	756,452	730,260
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	313,851	409,030	589,110	629,516	689,249	804,535
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	179,949	176,743	202,157	167,539	167,989	167,539
Functional Total	493,800	585,773	796,267	812,055	872,238	984,074
TRANSPORTATION						
Motor Vehicles, Department of	221,992	227,603	231,628	243,048	224,662	209,190
Transportation, Department of	3,466,560	3,347,932	3,447,096	3,675,887	3,694,147	3,679,881
Functional Total	3,688,552	3,575,535	3,678,724	3,918,935	3,918,809	3,889,071
HEALTH						
Health, Department of	55,232	77,671	111,651	100,344	100,344	100,344
<i>Public Health</i>	55,232	77,671	111,651	100,344	100,344	100,344
Functional Total	55,232	77,671	111,651	100,344	100,344	100,344
SOCIAL WELFARE						
Children and Family Services, Office of	41,259	55,800	29,858	25,804	23,660	23,673
<i>OCFS</i>	41,259	55,800	29,858	25,804	23,660	23,673
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	0	44,500	16,049	5,000	0
Temporary and Disability Assistance, Office of	801	966	800	800	800	800
<i>All Other</i>	801	966	800	800	800	800
Functional Total	42,060	56,766	78,158	45,653	32,460	27,473
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,807	2,036	2,604	3,370	3,424	3,481
<i>OASAS</i>	1,807	2,036	2,604	3,370	3,424	3,481
Mental Health, Office of	214,774	244,264	230,080	188,327	163,485	151,684
<i>OMH</i>	214,774	244,264	230,080	188,327	163,485	151,684
People with Developmental Disabilities, Office for	70,009	96,486	94,231	80,339	81,061	81,828
<i>OPWDD</i>	70,009	96,486	94,231	80,339	81,061	81,828
Functional Total	286,590	342,786	326,915	272,036	247,970	236,993
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	355,744	369,540	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	28,671	11,972	12,178	17,250	11,995	9,367
Military and Naval Affairs, Division of	63,307	75,582	124,263	61,344	52,244	41,607
State Police, Division of	72,903	47,327	47,500	55,853	54,688	47,538
Functional Total	520,625	504,421	550,259	475,093	456,612	415,487
HIGHER EDUCATION						
City University of New York	36,608	32,463	36,620	37,352	37,352	37,352
State University of New York	940,056	941,242	993,516	1,010,693	1,014,973	1,019,973
Functional Total	976,664	973,705	1,030,136	1,048,045	1,052,325	1,057,325
EDUCATION						
Education, Department of	6,713	6,146	95,134	110,566	62,927	47,285

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<i>All Other</i>	6,713	6,146	95,134	110,566	62,927	47,285
Functional Total	6,713	6,146	95,134	110,566	62,927	47,285
GENERAL GOVERNMENT						
General Services, Office of	128,849	154,965	231,662	251,749	154,517	124,129
State, Department of	1,233	1,238	2,000	0	0	0
Technology, Office for	102,831	70,350	114,118	68,948	34,451	16,453
Workers' Compensation Board	4,824	0	5,347	22,440	33,764	6,755
Functional Total	237,737	226,553	353,127	343,137	222,732	147,337
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,298	3,520	2,806	0	0
Judiciary	13,943	10,373	22,075	9,600	0	0
Law, Department of	5,035	6,856	4,262	1,818	0	0
Functional Total	18,978	19,527	29,857	14,224	0	0
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	81	5,000	5,000	0	0
Miscellaneous	21,593	36,117	(349,992)	(519,101)	(597,907)	(499,095)
Special Infrastructure Account	360,672	423,893	979,327	615,460	356,228	87,255
Functional Total	382,265	460,091	634,335	101,359	(241,679)	(411,840)
TOTAL CAPITAL PROJECTS SPENDING	6,843,885	7,031,250	8,545,300	8,249,546	7,481,190	7,223,809

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	79,534	125,335	87,509	83,457	92,568	88,988
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	81,242	86,391	73,092	66,466	69,706	69,706
Empire State Development Corporation	1,207,655	1,326,984	1,735,356	2,011,631	2,002,259	1,810,483
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Financial Services, Department of	357,557	392,863	369,125	387,954	381,591	381,591
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	40,736	53,875	78,676	38,676	18,676	18,676
Power Authority, New York	243	1,346	36,000	36,500	2,000	2,000
Public Service Department	84,881	75,433	81,171	82,664	79,946	79,075
Regional Economic Development Program	2,030	2,938	1,920	0	0	0
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
Functional Total	<u>1,887,182</u>	<u>2,102,204</u>	<u>2,541,161</u>	<u>2,794,691</u>	<u>2,747,377</u>	<u>2,520,149</u>
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	760,842	928,743	1,081,738	1,177,333	1,248,956	1,369,600
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	353,493	355,557	363,649	334,240	331,254	330,804
Functional Total	<u>1,118,803</u>	<u>1,288,597</u>	<u>1,455,115</u>	<u>1,531,287</u>	<u>1,599,766</u>	<u>1,716,960</u>
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	293,720	315,479	315,281	329,577	311,524	296,052
Transportation, Department of	7,997,573	7,090,353	6,954,629	7,510,396	7,711,582	7,944,783
Functional Total	<u>8,356,293</u>	<u>8,145,346</u>	<u>7,914,397</u>	<u>8,225,829</u>	<u>8,023,106</u>	<u>8,240,835</u>
HEALTH						
Aging, Office for the	125,380	131,371	146,704	147,177	152,280	157,555
Health, Department of	<u>21,620,700</u>	<u>22,675,217</u>	<u>26,484,729</u>	<u>29,113,627</u>	<u>31,098,851</u>	<u>32,390,393</u>
<i>Medical Assistance</i>	18,857,443	19,528,919	22,979,421	25,454,272	27,285,161	28,536,904
<i>Essential Plan</i>	87,638	76,580	79,726	78,089	75,531	74,003
<i>Medicaid Administration</i>	583,789	764,404	771,333	605,318	597,470	582,020
<i>Public Health</i>	2,091,830	2,305,314	2,654,249	2,975,948	3,140,689	3,197,466
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
Functional Total	<u>21,764,362</u>	<u>22,824,704</u>	<u>26,649,505</u>	<u>29,279,483</u>	<u>31,269,203</u>	<u>32,566,020</u>
SOCIAL WELFARE						
Children and Family Services, Office of	1,892,775	1,978,096	1,827,371	2,018,586	2,070,296	2,070,892
<i>OCFS</i>	1,815,413	1,905,982	1,746,573	1,926,608	1,974,154	1,974,750
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	351,538	355,218	845,094	969,225	657,462	647,462
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	83,203	91,113	94,578	72,143	76,435	76,435
National and Community Service	770	560	772	781	781	781
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	44,500	16,049	5,000	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	1,400,455	1,314,753	1,536,082	1,551,972	1,558,492	1,666,042
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	263,131	276,815	314,346	319,606	326,126	433,676
Functional Total	<u>3,745,157</u>	<u>3,805,512</u>	<u>4,358,318</u>	<u>4,639,346</u>	<u>4,378,696</u>	<u>4,471,842</u>
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	481,016	489,465	528,400	567,910	564,274	576,215
<i>OASAS</i>	388,019	415,616	461,858	499,035	494,724	506,295
<i>OASAS - Other</i>	92,997	73,849	66,542	68,875	69,550	69,920
Justice Center	40,136	43,551	42,893	45,401	44,674	45,259
Mental Health, Office of	3,467,179	2,858,120	2,817,475	2,881,693	2,947,199	3,028,429
<i>OMH</i>	1,743,973	1,599,579	1,735,580	1,755,953	1,789,986	1,843,951

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<i>OMH - Other</i>	1,723,206	1,258,541	1,081,895	1,125,740	1,157,213	1,184,478
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	2,934,957	1,937,230	1,937,111	2,297,289	2,202,053	2,387,440
<i>OPWDD</i>	479,476	485,110	493,932	464,900	475,799	487,428
<i>OPWDD - Other</i>	2,455,481	1,452,120	1,443,179	1,832,389	1,726,254	1,900,012
Functional Total	6,923,492	5,328,366	5,325,879	5,792,293	5,758,200	6,037,343
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,989,492	2,972,534	3,231,383	3,102,042	3,140,165	3,197,350
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	193,155	217,569	213,927	204,509	204,330	205,075
Homeland Security and Emergency Services, Division of	146,324	126,228	148,286	179,398	186,337	191,635
Indigent Legal Services, Office of	75,499	88,454	150,900	211,080	261,200	311,215
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	58,162	69,401	124,849	61,126	52,664	42,485
State Police, Division of	828,909	810,506	865,969	894,374	891,509	904,011
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	26,080	30,267	36,345	36,462	37,111	37,111
Functional Total	4,355,928	4,353,450	4,812,922	4,730,717	4,813,350	4,929,637
HIGHER EDUCATION						
City University of New York	1,604,626	1,636,617	1,670,089	1,712,347	1,746,737	1,781,679
Higher Education - Miscellaneous	337	540	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	929,204	1,033,360	1,007,918	933,681	943,248	945,782
State University of New York	7,939,963	7,952,371	8,228,776	8,533,039	8,639,134	8,875,968
Functional Total	10,478,555	10,631,657	10,919,099	11,195,258	11,342,210	11,618,020
EDUCATION						
Arts, Council on the	39,210	43,514	45,353	45,349	45,253	45,253
Education, Department of	30,489,950	31,300,749	32,649,182	33,654,515	34,731,242	35,858,261
<i>School Aid</i>	25,487,836	26,358,554	27,676,897	28,779,856	29,953,812	31,120,559
<i>School Aid - Other</i>	61,169	152,867	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
<i>All Other</i>	1,088,095	1,075,608	1,355,135	1,329,804	1,260,389	1,274,748
Functional Total	30,529,160	31,344,263	32,694,535	33,699,864	34,776,495	35,903,514
GENERAL GOVERNMENT						
Budget, Division of the	27,312	26,125	30,133	30,603	29,596	29,596
Civil Service, Department of	14,975	16,128	16,351	14,848	14,890	14,911
Deferred Compensation Board	675	776	891	896	900	904
Elections, State Board of	7,813	8,621	13,147	20,783	11,554	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	176,850	159,059	285,401	215,100	213,683	212,683
General Services, Office of	272,789	296,965	336,690	355,613	256,983	228,222
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	30,630	35,520	36,306	31,517	31,306	31,306
Prevention of Domestic Violence, Office for	2,349	2,816	3,099	3,121	3,059	3,059
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	65,500	79,036	97,354	82,378	106,544	128,561
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	345,192	320,486	328,922	381,089	368,962	368,322
Technology, Office for	638,808	614,891	653,732	619,008	591,067	584,227
Veterans' Services, Division of	13,791	16,219	15,721	14,322	14,257	14,257
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	198,190	198,486	201,786	228,305	230,203	203,194
Functional Total	1,817,343	1,796,171	2,046,469	2,025,431	1,900,946	1,856,886

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	180,421	184,860	195,190	197,140	191,670	191,670
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,959,272	3,067,416	3,117,275	3,176,801	3,110,201	3,110,501
Law, Department of	205,126	220,568	206,565	209,522	202,303	202,303
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
Functional Total	<u>3,582,243</u>	<u>3,709,620</u>	<u>3,775,437</u>	<u>3,847,185</u>	<u>3,774,315</u>	<u>3,774,668</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,920	721,979	666,385	672,166	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>760,640</u>	<u>763,082</u>	<u>747,486</u>	<u>768,519</u>	<u>795,545</u>	<u>795,545</u>
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	3,421	11,000	5,000	0	0
General State Charges	4,842,815	6,373,456	6,884,607	7,442,350	8,110,824	8,678,015
Long-Term Debt Service	5,914,725	6,736,264	5,191,635	6,651,241	7,114,011	7,358,181
Miscellaneous	77,386	232,432	(40,996)	(197,764)	(261,416)	(173,594)
Special Infrastructure Account	783,139	1,019,587	984,327	625,460	356,228	107,255
Functional Total	<u>11,618,065</u>	<u>14,365,160</u>	<u>13,030,573</u>	<u>14,526,287</u>	<u>15,319,647</u>	<u>15,969,857</u>
TOTAL STATE FUNDS SPENDING	<u>106,937,223</u>	<u>110,458,132</u>	<u>116,270,896</u>	<u>123,056,190</u>	<u>126,498,856</u>	<u>130,401,276</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	32,318	33,027	32,932	25,197	25,347	25,347
Economic Development Capital	2,751	1,777	20,270	19,569	29,069	29,069
Economic Development, Department of	61,825	68,581	55,773	49,147	52,387	52,387
Empire State Development Corporation	1,136,529	1,241,335	1,033,768	1,130,017	1,321,138	1,121,138
Financial Services, Department of	57,254	64,179	58,602	58,496	58,496	58,496
Olympic Regional Development Authority	0	2,360	0	0	0	0
Power Authority, New York	83	0	0	0	0	0
Public Service Department	102	218	1,072	122	122	122
Regional Economic Development Program	2,030	2,938	1,583	0	0	0
Strategic Investment Program	1,625	2,888	6,317	10,494	6,317	6,317
Functional Total	1,294,517	1,417,303	1,210,317	1,293,042	1,492,876	1,292,876
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	191,133	255,176	241,879	283,465	301,807	306,204
Parks, Recreation and Historic Preservation, Office of	7,095	6,502	4,290	3,950	3,950	3,950
Functional Total	198,228	261,678	246,169	287,415	305,757	310,154
TRANSPORTATION						
Metropolitan Transportation Authority	65,000	739,514	644,487	385,856	0	0
Motor Vehicles, Department of	1,600	375	0	0	0	0
Transportation, Department of	5,624,706	4,612,113	4,202,436	4,486,274	4,664,370	4,911,837
Functional Total	5,691,306	5,352,002	4,846,923	4,872,130	4,664,370	4,911,837
HEALTH						
Aging, Office for the	124,180	130,141	144,741	145,171	150,317	155,592
Health, Department of	20,800,316	21,838,763	25,570,464	28,211,794	30,197,437	31,491,674
<i>Medical Assistance</i>	18,857,443	19,528,919	22,979,421	25,454,272	27,285,161	28,536,904
<i>Medicaid Administration</i>	286,336	503,108	526,198	366,502	352,297	338,613
<i>Public Health</i>	1,656,537	1,806,736	2,064,845	2,391,020	2,559,979	2,616,157
Functional Total	20,924,496	21,968,904	25,715,205	28,356,965	30,347,754	31,647,266
SOCIAL WELFARE						
Children and Family Services, Office of	1,608,134	1,659,179	1,533,206	1,609,538	1,660,719	1,661,302
<i>OCFS</i>	1,530,772	1,587,065	1,452,408	1,517,560	1,564,577	1,565,160
<i>OCFS - Other</i>	77,362	72,114	80,798	91,978	96,142	96,142
Housing and Community Renewal, Division of	283,283	282,173	761,507	882,386	568,812	558,812
Labor, Department of	12,666	15,469	27,572	2,400	5,150	5,150
National and Community Service	477	270	432	432	432	432
Nonprofit Infrastructure Capital Investment Program	6,951	30,751	0	0	0	0
Roosevelt Island Operating Corporation	0	25,028	0	0	0	0
Temporary and Disability Assistance, Office of	1,276,768	1,186,281	1,402,039	1,413,469	1,417,269	1,524,819
<i>Welfare Assistance</i>	1,137,324	1,037,938	1,221,736	1,232,366	1,232,366	1,232,366
<i>All Other</i>	139,444	148,343	180,303	181,103	184,903	292,453
Functional Total	3,188,279	3,199,151	3,724,756	3,908,225	3,652,382	3,750,515
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	360,781	400,461	438,300	473,654	469,645	480,671
<i>OASAS</i>	339,456	379,136	416,975	452,329	448,320	459,346
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	619	679	649	649	649	649
Mental Health, Office of	1,251,218	1,313,857	1,213,044	1,289,947	1,343,736	1,408,984
<i>OMH</i>	985,829	1,034,896	1,135,806	1,200,430	1,249,742	1,306,689
<i>OMH - Other</i>	265,389	278,961	77,238	89,517	93,994	102,295
People with Developmental Disabilities, Office for	847,982	519,476	502,172	870,107	759,963	927,662
<i>OPWDD</i>	409,319	388,326	399,520	384,380	394,557	405,419
<i>OPWDD - Other</i>	438,663	131,150	102,652	485,727	365,406	522,243
Functional Total	2,460,600	2,234,473	2,154,165	2,634,357	2,573,993	2,817,966
PUBLIC PROTECTION/CRIMINAL JUSTICE						

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Correctional Services, Department of	5,686	3,435	5,016	4,836	4,836	4,836
Corrections and Community Supervision Medicaid, Department of	0	0	2,000	2,000	0	0
Criminal Justice Services, Division of	156,618	181,941	176,519	166,171	166,171	166,171
Homeland Security and Emergency Services, Division of	87,335	77,175	95,870	121,142	130,839	137,925
Indigent Legal Services, Office of	72,102	83,765	144,660	204,660	254,660	304,660
Military and Naval Affairs, Division of	819	885	820	820	886	904
Victim Services, Office of	20,728	24,865	30,744	30,744	31,398	31,398
Functional Total	343,288	372,066	455,629	530,373	588,790	645,894
HIGHER EDUCATION						
City University of New York	1,465,153	1,508,007	1,536,842	1,577,439	1,610,889	1,644,883
Higher Education Facilities Capital Matching Grants Program	4,425	8,769	11,875	15,750	12,650	14,150
Higher Education Services Corporation, New York State	879,322	984,334	955,624	881,387	890,954	893,488
State University of New York	488,783	487,799	482,766	486,767	486,767	485,767
Functional Total	2,837,683	2,988,909	2,987,107	2,961,343	3,001,260	3,038,288
EDUCATION						
Arts, Council on the	34,950	39,248	41,033	40,933	40,933	40,933
Education, Department of	30,303,822	31,113,315	32,364,594	33,350,244	34,478,708	35,620,724
<i>School Aid</i>	25,487,836	26,358,554	27,676,897	28,779,856	29,953,812	31,120,559
<i>School Aid – Other</i>	61,169	152,867	109,518	109,518	109,518	109,518
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>Special Education Categorical Programs</i>	1,263,705	1,290,608	1,331,637	1,362,221	1,428,066	1,495,451
<i>All Other</i>	901,967	888,174	1,070,547	1,025,533	1,007,855	1,037,211
Functional Total	30,338,772	31,152,563	32,405,627	33,391,177	34,519,641	35,661,657
GENERAL GOVERNMENT						
Civil Service, Department of	0	567	2,000	0	0	0
Elections, State Board of	50	5	3,000	10,200	1,500	0
Gaming Commission, New York State	65,779	69,013	199,668	121,950	122,950	121,950
Prevention of Domestic Violence, Office for	791	1,260	1,435	1,385	1,412	1,412
State, Department of	10,035	20,345	40,842	25,537	50,537	72,537
Taxation and Finance, Department of	1,542	2,435	2,726	2,726	2,726	2,726
Veterans' Services, Division of	7,906	10,499	9,485	7,840	7,840	7,840
Functional Total	86,103	104,124	259,156	169,638	186,965	206,465
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	114,224	101,561	114,900	129,901	129,901	129,901
Functional Total	146,249	133,586	146,924	161,925	161,925	161,925
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	721,789	721,732	666,383	672,166	704,192	704,192
County-Wide Shared Services Initiative	0	0	40,000	65,000	60,000	60,000
Efficiency Incentive Grants Program	348	0	0	0	0	0
Miscellaneous Financial Assistance	9,270	12,001	11,998	2,250	2,250	2,250
Municipalities with VLT Facilities	28,885	28,885	28,885	28,885	28,885	28,885
Small Government Assistance	217	217	218	218	218	218
Functional Total	760,509	762,835	747,484	768,519	795,545	795,545
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	3,340	6,000	0	0	0
Miscellaneous	12,639	145,860	276,225	288,499	303,648	292,648
Special Infrastructure Account	422,467	595,694	5,000	10,000	0	20,000
Functional Total	435,106	744,894	287,225	298,499	303,648	312,648
TOTAL LOCAL ASSISTANCE SPENDING	68,705,136	70,692,488	75,186,687	79,633,608	82,594,906	85,553,036

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	37,697	37,473	40,322	42,002	55,932	55,732
Alcoholic Beverage Control, Division of	11,819	11,546	11,275	11,560	11,244	11,244
Economic Development, Department of	19,405	17,810	17,291	17,291	17,291	17,291
Financial Services, Department of	209,379	215,165	208,699	214,981	208,844	208,844
Olympic Regional Development Authority	2,736	8,784	8,676	8,676	8,676	8,676
Public Service Department	52,384	52,432	52,311	53,016	50,850	49,470
Functional Total	333,420	343,210	338,574	347,526	352,837	351,257
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,468	4,297	4,728	4,714	4,556	4,556
Environmental Conservation, Department of	212,775	210,770	215,627	227,062	222,117	222,216
Parks, Recreation and Historic Preservation, Office of	168,983	176,384	163,242	168,744	165,374	165,374
Functional Total	386,226	391,451	383,597	400,520	392,047	392,146
TRANSPORTATION						
Motor Vehicles, Department of	49,142	59,189	59,535	60,566	60,247	60,247
Transportation, Department of	9,139	300,619	341,898	337,037	341,822	341,822
Functional Total	58,281	359,808	401,433	397,603	402,069	402,069
HEALTH						
Aging, Office for the	1,200	1,230	1,963	2,006	1,963	1,963
Health, Department of	741,299	727,514	770,471	766,779	765,080	762,335
<i>Essential Plan</i>	87,638	76,580	79,726	78,089	75,531	74,003
<i>Medicaid Administration</i>	297,453	261,296	244,554	238,235	244,592	242,826
<i>Public Health</i>	356,208	389,638	446,191	450,455	444,957	445,506
Medicaid Inspector General, Office of the	18,282	18,116	18,072	18,679	18,072	18,072
Functional Total	760,781	746,860	790,506	787,464	785,115	782,370
SOCIAL WELFARE						
Children and Family Services, Office of	241,724	260,874	262,176	380,994	383,651	383,651
<i>OCFS</i>	241,724	260,874	262,176	380,994	383,651	383,651
Housing and Community Renewal, Division of	52,210	51,137	57,666	60,918	59,500	59,500
Human Rights, Division of	9,465	9,993	9,921	10,590	10,230	10,230
Labor, Department of	49,642	49,768	46,519	49,256	48,280	48,280
National and Community Service	293	290	340	349	349	349
Temporary and Disability Assistance, Office of	122,874	127,470	133,115	137,575	140,295	140,295
<i>All Other</i>	122,874	127,470	133,115	137,575	140,295	140,295
Functional Total	476,208	499,532	509,737	639,682	642,305	642,305
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	81,472	86,968	87,496	90,886	91,205	92,063
<i>OASAS</i>	31,964	34,444	42,279	43,336	42,980	43,468
<i>OASAS - Other</i>	49,508	52,524	45,217	47,550	48,225	48,595
Justice Center	38,868	41,652	41,346	43,844	43,130	43,685
Mental Health, Office of	1,350,460	1,344,656	1,374,351	1,403,419	1,439,978	1,467,761
<i>OMH</i>	349,973	331,100	369,694	367,196	376,759	385,578
<i>OMH - Other</i>	1,000,487	1,013,556	1,004,657	1,036,223	1,063,219	1,082,183
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	1,333,165	1,321,268	1,340,708	1,346,843	1,361,029	1,377,950
<i>OPWDD</i>	148	298	181	181	181	181
<i>OPWDD - Other</i>	1,333,017	1,320,970	1,340,527	1,346,662	1,360,848	1,377,769
Functional Total	2,804,169	2,794,544	2,843,901	2,884,992	2,935,342	2,981,459
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,594	2,541	2,651	2,773	2,735	2,735
Correctional Services, Department of	2,627,945	2,599,368	2,859,943	2,756,454	2,797,538	2,875,433
Criminal Justice Services, Division of	36,502	35,628	37,408	38,338	38,159	38,904
Homeland Security and Emergency Services, Division of	29,703	36,318	39,421	40,171	42,598	43,438

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Indigent Legal Services, Office of	2,328	2,838	4,137	4,203	4,201	4,216
Judicial Conduct, Commission on	5,552	5,423	6,038	5,936	6,114	6,220
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	25,090	23,869	25,757	24,953	25,524	25,964
State Police, Division of	741,934	717,416	790,059	809,568	807,498	826,950
Statewide Financial System	30,137	30,520	30,506	30,949	31,117	31,732
Victim Services, Office of	3,714	3,508	3,951	4,068	4,030	4,030
Functional Total	3,505,523	3,457,436	3,799,939	3,717,481	3,759,582	3,859,690
HIGHER EDUCATION						
City University of New York	102,720	96,018	96,477	97,406	98,346	99,294
Higher Education - Miscellaneous	256	340	291	291	291	291
Higher Education Services Corporation, New York State	41,751	39,219	43,274	43,274	43,274	43,274
State University of New York	6,080,264	6,000,806	6,234,651	6,488,765	6,575,417	6,780,921
Functional Total	6,224,991	6,136,383	6,374,693	6,629,736	6,717,328	6,923,780
EDUCATION						
Arts, Council on the	4,260	4,266	4,320	4,416	4,320	4,320
Education, Department of	144,872	138,074	150,213	152,247	149,026	148,983
<i>All Other</i>	144,872	138,074	150,213	152,247	149,026	148,983
Functional Total	149,132	142,340	154,533	156,663	153,346	153,303
GENERAL GOVERNMENT						
Budget, Division of the	26,600	25,218	28,476	28,886	27,939	27,939
Civil Service, Department of	14,795	15,422	14,120	14,604	14,649	14,666
Deferred Compensation Board	451	474	648	649	648	648
Elections, State Board of	7,763	8,616	10,147	10,583	10,054	9,428
Employee Relations, Office of	3,569	2,097	6,444	6,542	6,444	6,445
Gaming Commission, New York State	94,531	67,292	68,158	74,685	73,158	73,158
General Services, Office of	143,571	141,462	104,480	103,281	101,891	103,508
Inspector General, Office of the	7,166	6,844	7,487	7,826	8,061	8,210
Labor Management Committees	24,773	29,699	31,306	26,517	26,306	26,306
Prevention of Domestic Violence, Office for	1,558	1,556	1,664	1,736	1,647	1,647
Public Employment Relations Board	3,260	3,430	3,634	3,764	3,634	3,634
Public Integrity, Commission on	5,115	5,223	5,630	5,835	6,010	6,119
State, Department of	43,799	42,005	41,588	42,680	41,568	41,568
Tax Appeals, Division of	2,688	2,820	3,040	3,150	3,040	3,040
Taxation and Finance, Department of	321,015	312,384	304,887	355,796	344,845	344,278
Technology, Office for	535,977	544,541	539,614	550,060	556,616	567,774
Veterans' Services, Division of	5,885	5,720	6,236	6,482	6,417	6,417
Welfare Inspector General, Office of	671	629	701	731	753	768
Workers' Compensation Board	140,971	141,918	143,219	148,002	143,219	143,219
Functional Total	1,384,158	1,357,350	1,321,479	1,391,809	1,376,899	1,388,772
ELECTED OFFICIALS						
Audit and Control, Department of	146,719	148,498	157,449	160,113	157,449	157,449
Executive Chamber	13,560	12,673	13,578	14,032	13,578	13,578
Judiciary	2,080,909	2,168,280	2,175,700	2,222,700	2,165,700	2,166,000
Law, Department of	183,513	187,295	182,504	187,099	182,504	182,504
Legislature	223,372	223,573	242,215	249,056	255,949	256,002
Lieutenant Governor, Office of the	492	530	614	634	614	614
Functional Total	2,648,565	2,740,849	2,772,060	2,833,634	2,775,794	2,776,147
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	247	2	0	0	0
Functional Total	131	247	2	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	47,660	49,862	27,001	27,056	27,056	27,056
Functional Total	<u>89,547</u>	<u>87,561</u>	<u>72,707</u>	<u>71,415</u>	<u>71,415</u>	<u>71,415</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,821,132</u>	<u>19,057,571</u>	<u>19,763,161</u>	<u>20,258,525</u>	<u>20,364,079</u>	<u>20,724,713</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	30,802	30,255	31,378	33,003	32,113	32,113
Alcoholic Beverage Control, Division of	8,131	8,166	8,316	8,901	8,585	8,585
Economic Development, Department of	13,243	13,090	12,929	12,929	12,929	12,929
Financial Services, Department of	154,146	156,895	153,752	159,736	153,893	153,893
Olympic Regional Development Authority	2,548	5,595	5,338	5,338	5,338	5,338
Public Service Department	42,867	42,628	43,514	45,452	43,854	43,867
Functional Total	251,737	256,629	255,227	265,359	256,712	256,725
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,179	3,950	4,401	4,401	4,243	4,243
Environmental Conservation, Department of	175,514	174,576	178,208	186,718	181,853	181,904
Parks, Recreation and Historic Preservation, Office of	130,555	133,979	128,590	134,913	131,543	131,543
Functional Total	310,248	312,505	311,199	326,032	317,639	317,690
TRANSPORTATION						
Motor Vehicles, Department of	36,244	43,766	43,263	44,207	44,497	44,497
Transportation, Department of	2,803	156,307	162,384	162,506	162,396	162,396
Functional Total	39,047	200,073	205,647	206,713	206,893	206,893
HEALTH						
Aging, Office for the	1,103	1,125	1,856	1,899	1,856	1,856
Health, Department of	252,883	266,914	286,009	289,557	288,154	290,532
<i>Essential Plan</i>	3,148	3,233	4,580	4,692	4,621	4,634
<i>Medicaid Administration</i>	37,196	37,949	38,464	39,003	41,095	43,374
<i>Public Health</i>	212,539	225,732	242,965	245,862	242,438	242,524
Medicaid Inspector General, Office of the	15,864	15,204	15,624	16,231	15,624	15,624
Functional Total	269,850	283,243	303,489	307,687	305,634	308,012
SOCIAL WELFARE						
Children and Family Services, Office of	170,908	176,225	172,018	266,881	266,990	266,990
<i>OCFS</i>	170,908	176,225	172,018	266,881	266,990	266,990
Housing and Community Renewal, Division of	41,535	41,155	47,298	50,120	48,544	48,544
Human Rights, Division of	8,662	8,934	9,461	10,121	9,752	9,752
Labor, Department of	34,323	33,501	32,618	34,864	33,620	33,620
National and Community Service	292	288	331	340	340	340
Temporary and Disability Assistance, Office of	64,895	68,003	73,244	76,580	78,130	78,130
<i>All Other</i>	64,895	68,003	73,244	76,580	78,130	78,130
Functional Total	320,615	328,106	334,970	438,906	437,376	437,376
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	56,428	60,203	61,101	63,331	62,936	63,542
<i>OASAS</i>	20,354	22,378	27,213	27,997	27,347	27,628
<i>OASAS - Other</i>	36,074	37,825	33,888	35,334	35,589	35,914
Justice Center	31,243	32,560	33,133	35,425	34,495	34,821
Mental Health, Office of	1,070,401	1,086,720	1,113,306	1,131,948	1,146,076	1,160,641
<i>OMH</i>	287,281	268,077	325,264	322,502	330,821	338,160
<i>OMH - Other</i>	783,120	818,643	788,042	809,446	815,255	822,481
People with Developmental Disabilities, Office for	1,129,874	1,142,584	1,158,907	1,160,652	1,170,176	1,182,185
<i>OPWDD</i>	124	262	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	1,142,322	1,158,907	1,160,652	1,170,176	1,182,185
Functional Total	2,287,946	2,322,067	2,366,447	2,391,356	2,413,683	2,441,189
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,316	2,276	2,414	2,531	2,488	2,488
Correctional Services, Department of	2,098,499	2,111,244	2,358,159	2,258,976	2,305,259	2,379,808
Criminal Justice Services, Division of	26,993	28,281	28,019	28,994	28,550	29,064
Homeland Security and Emergency Services, Division of	16,362	20,611	29,610	30,856	32,215	32,774
Indigent Legal Services, Office of	1,873	2,430	3,298	3,364	3,350	3,350

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Judicial Conduct, Commission on	4,238	3,959	4,677	4,548	4,483	4,573
Military and Naval Affairs, Division of	15,083	14,432	14,402	14,700	14,776	15,073
State Police, Division of	677,367	657,453	729,830	749,270	745,128	763,744
Statewide Financial System	11,094	11,294	11,882	12,325	12,121	12,356
Victim Services, Office of	3,184	2,958	3,206	3,323	3,272	3,272
Functional Total	2,857,009	2,854,938	3,185,497	3,108,887	3,151,642	3,246,502
HIGHER EDUCATION						
City University of New York	52,623	47,274	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	232	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,337	12,916	12,916	12,916	12,916
State University of New York	3,753,286	3,952,903	4,189,940	4,409,163	4,458,536	4,615,248
Functional Total	3,819,458	4,012,746	4,251,648	4,471,322	4,521,151	4,678,323
EDUCATION						
Arts, Council on the	2,626	2,636	2,498	2,594	2,498	2,498
Education, Department of	90,372	88,514	90,726	94,081	90,726	90,726
<i>All Other</i>	90,372	88,514	90,726	94,081	90,726	90,726
Functional Total	92,998	91,150	93,224	96,675	93,224	93,224
GENERAL GOVERNMENT						
Budget, Division of the	21,683	21,921	24,567	25,511	24,567	24,567
Civil Service, Department of	14,053	15,062	14,108	14,592	14,207	14,215
Deferred Compensation Board	408	424	413	414	413	413
Elections, State Board of	6,077	5,773	6,288	6,571	6,478	6,316
Employee Relations, Office of	2,515	2,040	6,248	6,346	6,248	6,248
Gaming Commission, New York State	34,862	35,418	38,687	40,178	38,687	38,687
General Services, Office of	67,107	61,158	26,270	29,695	27,278	27,278
Inspector General, Office of the	6,223	5,909	6,648	6,970	6,857	6,994
Labor Management Committees	7,747	8,618	10,487	5,698	5,487	5,487
Prevention of Domestic Violence, Office for	1,412	1,394	1,491	1,524	1,431	1,431
Public Employment Relations Board	3,059	3,175	3,338	3,468	3,338	3,338
Public Integrity, Commission on	4,386	4,516	4,681	4,867	4,827	4,924
State, Department of	29,257	28,629	28,254	29,342	28,254	28,254
Tax Appeals, Division of	2,541	2,513	2,870	2,980	2,870	2,870
Taxation and Finance, Department of	275,658	269,428	262,371	281,655	272,500	272,386
Technology, Office for	289,994	299,018	299,796	305,792	311,907	318,145
Veterans' Services, Division of	5,512	5,161	5,698	6,178	6,107	6,107
Welfare Inspector General, Office of	619	615	626	654	646	659
Workers' Compensation Board	85,117	82,890	84,892	89,608	84,892	84,892
Functional Total	858,230	853,662	827,733	862,043	846,994	853,211
ELECTED OFFICIALS						
Audit and Control, Department of	119,019	118,729	126,111	128,775	126,111	126,111
Executive Chamber	9,979	9,135	11,113	11,567	11,113	11,113
Judiciary	1,624,889	1,731,581	1,709,800	1,766,800	1,709,800	1,710,100
Law, Department of	132,481	134,904	129,145	133,741	129,145	129,145
Legislature	174,350	175,304	187,511	192,583	198,366	198,419
Lieutenant Governor, Office of the	382	414	523	543	523	523
Functional Total	2,061,100	2,170,067	2,164,203	2,234,009	2,175,058	2,175,411
ALL OTHER CATEGORIES						
Miscellaneous	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
Functional Total	2,194	2,132	(7,715)	(7,660)	(7,660)	(7,660)
TOTAL PERSONAL SERVICE SPENDING	13,170,432	13,687,318	14,291,569	14,701,329	14,718,346	15,006,896

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	6,895	7,218	8,944	8,999	23,819	23,619
Alcoholic Beverage Control, Division of	3,688	3,380	2,959	2,659	2,659	2,659
Economic Development, Department of	6,162	4,720	4,362	4,362	4,362	4,362
Financial Services, Department of	55,233	58,270	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	188	3,189	3,338	3,338	3,338	3,338
Public Service Department	9,517	9,804	8,797	7,564	6,996	5,603
Functional Total	81,683	86,581	83,347	82,167	96,125	94,532
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	289	347	327	313	313	313
Environmental Conservation, Department of	37,261	36,194	37,419	40,344	40,264	40,312
Parks, Recreation and Historic Preservation, Office of	38,428	42,405	34,652	33,831	33,831	33,831
Functional Total	75,978	78,946	72,398	74,488	74,408	74,456
TRANSPORTATION						
Motor Vehicles, Department of	12,898	15,423	16,272	16,359	15,750	15,750
Transportation, Department of	6,336	144,312	179,514	174,531	179,426	179,426
Functional Total	19,234	159,735	195,786	190,890	195,176	195,176
HEALTH						
Aging, Office for the	97	105	107	107	107	107
Health, Department of	488,416	460,600	484,462	477,222	476,926	471,803
<i>Essential Plan</i>	84,490	73,347	75,146	73,397	70,910	69,369
<i>Medicaid Administration</i>	260,257	223,347	206,090	199,232	203,497	199,452
<i>Public Health</i>	143,669	163,906	203,226	204,593	202,519	202,982
Medicaid Inspector General, Office of the	2,418	2,912	2,448	2,448	2,448	2,448
Functional Total	490,931	463,617	487,017	479,777	479,481	474,358
SOCIAL WELFARE						
Children and Family Services, Office of	70,816	84,649	90,158	114,113	116,661	116,661
<i>OCFS</i>	70,816	84,649	90,158	114,113	116,661	116,661
Housing and Community Renewal, Division of	10,675	9,982	10,368	10,798	10,956	10,956
Human Rights, Division of	803	1,059	460	469	478	478
Labor, Department of	15,319	16,267	13,901	14,392	14,660	14,660
National and Community Service	1	2	9	9	9	9
Temporary and Disability Assistance, Office of	57,979	59,467	59,871	60,995	62,165	62,165
<i>All Other</i>	57,979	59,467	59,871	60,995	62,165	62,165
Functional Total	155,593	171,426	174,767	200,776	204,929	204,929
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,044	26,765	26,395	27,555	28,269	28,521
<i>OASAS</i>	11,610	12,066	15,066	15,339	15,633	15,840
<i>OASAS - Other</i>	13,434	14,699	11,329	12,216	12,636	12,681
Justice Center	7,625	9,092	8,213	8,419	8,635	8,864
Mental Health, Office of	280,059	257,936	261,045	271,471	293,902	307,120
<i>OMH</i>	62,692	63,023	44,430	44,694	45,938	47,418
<i>OMH - Other</i>	217,367	194,913	216,615	226,777	247,964	259,702
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	178,684	181,801	186,191	190,853	195,765
<i>OPWDD</i>	24	36	181	181	181	181
<i>OPWDD - Other</i>	203,267	178,648	181,620	186,010	190,672	195,584
Functional Total	516,223	472,477	477,454	493,636	521,659	540,270
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	278	265	237	242	247	247
Correctional Services, Department of	529,446	488,124	501,784	497,478	492,279	495,625
Criminal Justice Services, Division of	9,509	7,347	9,389	9,344	9,609	9,840
Homeland Security and Emergency Services, Division of	13,341	15,707	9,811	9,315	10,383	10,664

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Indigent Legal Services, Office of	455	408	839	839	851	866
Judicial Conduct, Commission on	1,314	1,464	1,361	1,388	1,631	1,647
Judicial Nomination, Commission on	10	0	30	30	30	30
Judicial Screening Committees, New York State	14	7	38	38	38	38
Military and Naval Affairs, Division of	10,007	9,437	11,355	10,253	10,748	10,891
State Police, Division of	64,567	59,963	60,229	60,298	62,370	63,206
Statewide Financial System	19,043	19,226	18,624	18,624	18,996	19,376
Victim Services, Office of	530	550	745	745	758	758
Functional Total	648,514	602,498	614,442	608,594	607,940	613,188
HIGHER EDUCATION						
City University of New York	50,097	48,744	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	108	93	93	93	93
Higher Education Services Corporation, New York State	28,371	26,882	30,358	30,358	30,358	30,358
State University of New York	2,326,978	2,047,903	2,044,711	2,079,602	2,116,881	2,165,673
Functional Total	2,405,533	2,123,637	2,123,045	2,158,414	2,196,177	2,245,457
EDUCATION						
Arts, Council on the	1,634	1,630	1,822	1,822	1,822	1,822
Education, Department of	54,500	49,560	59,487	58,166	58,300	58,257
<i>All Other</i>	54,500	49,560	59,487	58,166	58,300	58,257
Functional Total	56,134	51,190	61,309	59,988	60,122	60,079
GENERAL GOVERNMENT						
Budget, Division of the	4,917	3,297	3,909	3,375	3,372	3,372
Civil Service, Department of	742	360	12	12	442	451
Deferred Compensation Board	43	50	235	235	235	235
Elections, State Board of	1,686	2,843	3,859	4,012	3,576	3,112
Employee Relations, Office of	1,054	57	196	196	196	197
Gaming Commission, New York State	59,669	31,874	29,471	34,507	34,471	34,471
General Services, Office of	76,464	80,304	78,210	73,586	74,613	76,230
Inspector General, Office of the	943	935	839	856	1,204	1,216
Labor Management Committees	17,026	21,081	20,819	20,819	20,819	20,819
Prevention of Domestic Violence, Office for	146	162	173	212	216	216
Public Employment Relations Board	201	255	296	296	296	296
Public Integrity, Commission on	729	707	949	968	1,183	1,195
State, Department of	14,542	13,376	13,334	13,338	13,314	13,314
Tax Appeals, Division of	147	307	170	170	170	170
Taxation and Finance, Department of	45,357	42,956	42,516	74,141	72,345	71,892
Technology, Office for	245,983	245,523	239,818	244,268	244,709	249,629
Veterans' Services, Division of	373	559	538	304	310	310
Welfare Inspector General, Office of	52	14	75	77	107	109
Workers' Compensation Board	55,854	59,028	58,327	58,394	58,327	58,327
Functional Total	525,928	503,688	493,746	529,766	529,905	535,561
ELECTED OFFICIALS						
Audit and Control, Department of	27,700	29,769	31,338	31,338	31,338	31,338
Executive Chamber	3,581	3,538	2,465	2,465	2,465	2,465
Judiciary	456,020	436,699	465,900	455,900	455,900	455,900
Law, Department of	51,032	52,391	53,359	53,358	53,359	53,359
Legislature	49,022	48,269	54,704	56,473	57,583	57,583
Lieutenant Governor, Office of the	110	116	91	91	91	91
Functional Total	587,465	570,782	607,857	599,625	600,736	600,736
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	131	247	2	0	0	0
Functional Total	131	247	2	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	41,887	37,699	45,706	44,359	44,359	44,359
Miscellaneous	45,466	47,730	34,716	34,716	34,716	34,716
Functional Total	<u>87,353</u>	<u>85,429</u>	<u>80,422</u>	<u>79,075</u>	<u>79,075</u>	<u>79,075</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,650,700</u>	<u>5,370,253</u>	<u>5,471,592</u>	<u>5,557,196</u>	<u>5,645,733</u>	<u>5,717,817</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,255	3,082	1,893	1,993	1,959	1,994
Economic Development, Department of	2	0	28	28	28	28
Financial Services, Department of	90,924	113,519	101,824	114,477	114,251	114,251
Olympic Regional Development Authority	0	31	0	0	0	0
Public Service Department	32,395	22,783	27,788	29,526	28,974	29,483
Functional Total	124,576	139,415	131,533	146,024	145,212	145,756
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,573	62,434	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,657	1,960	2,007	1,941	1,941
Functional Total	48,837	66,091	49,669	51,884	50,311	51,173
TRANSPORTATION						
Motor Vehicles, Department of	20,986	28,312	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	1,681	2,197	2,412	2,457	2,457
Functional Total	22,706	29,993	26,315	28,375	29,072	29,072
HEALTH						
Health, Department of	31,713	34,922	38,432	40,999	42,279	42,329
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	34,922	37,851	40,418	41,698	41,748
Functional Total	31,713	34,922	38,432	40,999	42,279	42,329
SOCIAL WELFARE						
Children and Family Services, Office of	1,658	2,243	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,243	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	21,908	25,921	25,921	29,150	29,150
Labor, Department of	20,895	25,876	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	36	128	128	128	128
<i>All Other</i>	12	36	128	128	128	128
Functional Total	38,610	50,063	48,667	48,786	54,549	54,549
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	1,220	898	908	895	925
Mental Health, Office of	650,727	(44,657)	0	0	0	0
<i>OMH</i>	193,397	(10,681)	0	0	0	0
<i>OMH - Other</i>	457,330	(33,976)	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
Functional Total	1,372,133	(43,437)	898	908	895	925
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	117	191	106	106	106	106
Criminal Justice Services, Division of	35	0	0	0	0	0
Homeland Security and Emergency Services, Division of	615	763	817	835	905	905
Indigent Legal Services, Office of	1,069	1,851	2,103	2,217	2,339	2,339
Military and Naval Affairs, Division of	6	214	9	9	10	10
State Police, Division of	14,072	45,763	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,894	1,650	1,650	1,683	1,683
Functional Total	17,552	50,676	33,095	33,770	34,366	34,566
HIGHER EDUCATION						
City University of New York	145	129	150	150	150	150
Higher Education - Miscellaneous	81	200	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,807	9,020	9,020	9,020	9,020

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State University of New York	430,860	522,524	517,843	546,814	561,977	589,307
Functional Total	439,217	532,660	527,163	556,134	571,297	598,627
EDUCATION						
Education, Department of	34,543	43,214	39,241	41,458	40,581	41,269
<i>All Other</i>	34,543	43,214	39,241	41,458	40,581	41,269
Functional Total	34,543	43,214	39,241	41,458	40,581	41,269
GENERAL GOVERNMENT						
Budget, Division of the	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	180	139	231	244	241	245
Deferred Compensation Board	224	302	243	247	252	256
Gaming Commission, New York State	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	369	538	548	583	575	585
Labor Management Committees	5,857	5,821	5,000	5,000	5,000	5,000
State, Department of	10,433	15,448	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	5,667	21,309	22,567	21,391	21,318
Workers' Compensation Board	52,395	56,568	53,220	57,863	53,220	53,220
Functional Total	109,345	108,144	112,707	120,847	114,350	114,312
ELECTED OFFICIALS						
Audit and Control, Department of	1,677	2,039	2,197	2,197	2,197	2,197
Judiciary	750,196	787,202	804,600	814,600	814,600	814,600
Law, Department of	16,578	26,417	19,799	20,605	19,799	19,799
Functional Total	768,451	815,658	826,596	837,402	836,596	836,596
ALL OTHER CATEGORIES						
General State Charges	4,842,815	6,373,456	6,884,607	7,442,350	8,110,824	8,678,015
Miscellaneous	2,588	2,969	5,770	5,782	5,787	5,797
Functional Total	4,845,403	6,376,425	6,890,377	7,448,132	8,116,611	8,683,812
TOTAL GENERAL STATE CHARGES SPENDING	7,853,086	8,203,824	8,724,693	9,354,719	10,036,119	10,632,986

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,264	51,753	12,362	14,265	9,330	5,915
Economic Development, Department of	10	0	0	0	0	0
Empire State Development Corporation	71,126	85,649	701,588	881,614	681,121	689,345
Energy Research and Development Authority	17,109	20,828	20,450	15,720	14,001	13,000
Lake Ontario Resiliency/Economic Development	0	0	20,000	30,000	40,000	10,000
Olympic Regional Development Authority	38,000	42,700	70,000	30,000	10,000	10,000
Power Authority, New York	160	1,346	36,000	36,500	2,000	2,000
Regional Economic Development Program	0	0	337	0	0	0
Functional Total	134,669	202,276	860,737	1,008,099	756,452	730,260
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	311,361	400,363	576,523	616,929	676,662	791,948
Hudson River Park Trust	0	0	5,000	15,000	15,000	12,000
Parks, Recreation and Historic Preservation, Office of	174,151	169,014	194,157	159,539	159,989	159,539
Functional Total	485,512	569,377	775,680	791,468	851,651	963,487
TRANSPORTATION						
Motor Vehicles, Department of	221,992	227,603	231,628	243,048	224,662	209,190
Transportation, Department of	2,362,008	2,175,940	2,408,098	2,684,673	2,702,933	2,688,667
Functional Total	2,584,000	2,403,543	2,639,726	2,927,721	2,927,595	2,897,857
HEALTH						
Health, Department of	47,372	74,018	105,362	94,055	94,055	94,055
<i>Public Health</i>	47,372	74,018	105,362	94,055	94,055	94,055
Functional Total	47,372	74,018	105,362	94,055	94,055	94,055
SOCIAL WELFARE						
Children and Family Services, Office of	41,259	55,800	29,858	25,804	23,660	23,673
<i>OCFS</i>	41,259	55,800	29,858	25,804	23,660	23,673
Nonprofit Infrastructure Capital Investment Program	0	0	44,500	16,049	5,000	0
Temporary and Disability Assistance, Office of	801	966	800	800	800	800
<i>All Other</i>	801	966	800	800	800	800
Functional Total	42,060	56,766	75,158	42,653	29,460	24,473
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,807	2,036	2,604	3,370	3,424	3,481
<i>OASAS</i>	1,807	2,036	2,604	3,370	3,424	3,481
Mental Health, Office of	214,774	244,264	230,080	188,327	163,485	151,684
<i>OMH</i>	214,774	244,264	230,080	188,327	163,485	151,684
People with Developmental Disabilities, Office for	70,009	96,486	94,231	80,339	81,061	81,828
<i>OPWDD</i>	70,009	96,486	94,231	80,339	81,061	81,828
Functional Total	286,590	342,786	326,915	272,036	247,970	236,993
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	355,744	369,540	366,318	340,646	337,685	316,975
Homeland Security and Emergency Services, Division of	28,671	11,972	12,178	17,250	11,995	9,367
Military and Naval Affairs, Division of	32,247	44,433	98,263	35,344	26,244	15,607
State Police, Division of	72,903	47,327	47,500	55,853	54,688	47,538
Functional Total	489,565	473,272	524,259	449,093	430,612	389,487
HIGHER EDUCATION						
City University of New York	36,608	32,463	36,620	37,352	37,352	37,352
State University of New York	940,056	941,242	993,516	1,010,693	1,014,973	1,019,973
Functional Total	976,664	973,705	1,030,136	1,048,045	1,052,325	1,057,325

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
EDUCATION						
Education, Department of	6,713	6,146	95,134	110,566	62,927	47,285
<i>All Other</i>	6,713	6,146	95,134	110,566	62,927	47,285
Functional Total	6,713	6,146	95,134	110,566	62,927	47,285
GENERAL GOVERNMENT						
General Services, Office of	128,849	154,965	231,662	251,749	154,517	124,129
State, Department of	1,233	1,238	2,000	0	0	0
Technology, Office for	102,831	70,350	114,118	68,948	34,451	16,453
Workers' Compensation Board	4,824	0	5,347	22,440	33,764	6,755
Functional Total	237,737	226,553	353,127	343,137	222,732	147,337
ELECTED OFFICIALS						
Audit and Control, Department of	0	2,298	3,520	2,806	0	0
Judiciary	13,943	10,373	22,075	9,600	0	0
Law, Department of	5,035	6,856	4,262	1,818	0	0
Functional Total	18,978	19,527	29,857	14,224	0	0
ALL OTHER CATEGORIES						
Arts and Cultural Facilities Improvement	0	81	5,000	5,000	0	0
Miscellaneous	14,499	33,741	(349,992)	(519,101)	(597,907)	(499,095)
Special Infrastructure Account	360,672	423,893	979,327	615,460	356,228	87,255
Functional Total	375,171	457,715	634,335	101,359	(241,679)	(411,840)
TOTAL CAPITAL PROJECTS SPENDING	5,685,031	5,805,684	7,450,426	7,202,456	6,434,100	6,176,719

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	0	0	0	5,000	5,000
Financial Services, Department of	57,254	64,179	58,602	58,496	58,496	58,496
Public Service Department	102	218	1,072	122	122	122
Functional Total	57,356	64,397	59,674	58,618	63,618	63,618
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	4,156	4,314	3,850	3,850	3,850	3,850
Functional Total	4,156	4,314	3,850	3,850	3,850	3,850
TRANSPORTATION						
Transportation, Department of	4,908,656	3,634,366	3,440,356	3,775,261	3,953,922	4,200,437
Functional Total	4,908,656	3,634,366	3,440,356	3,775,261	3,953,922	4,200,437
HEALTH						
Health, Department of	6,582,824	6,611,353	6,906,564	6,908,867	7,010,980	7,118,142
<i>Medical Assistance</i>	5,745,990	5,691,828	5,959,766	5,721,543	5,711,970	5,802,739
<i>Public Health</i>	836,834	919,525	946,798	1,187,324	1,299,010	1,315,403
Functional Total	6,582,824	6,611,353	6,906,564	6,908,867	7,010,980	7,118,142
SOCIAL WELFARE						
Children and Family Services, Office of	3,252	3,905	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,252	3,905	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	291	486	852	852	852	852
Labor, Department of	0	96	150	150	150	150
Functional Total	3,543	4,487	4,584	4,584	4,584	4,584
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	286,440	3,325	3,388	3,300	3,300	3,300
<i>OASAS</i>	286,440	3,325	3,388	3,300	3,300	3,300
Justice Center	449	509	479	479	479	479
Mental Health, Office of	908,380	287	1,075	1,075	1,075	1,075
<i>OMH</i>	908,380	287	1,075	1,075	1,075	1,075
People with Developmental Disabilities, Office for	407,737	(2,131)	0	0	0	0
<i>OPWDD</i>	407,132	(2,131)	0	0	0	0
<i>OPWDD - Other</i>	605	0	0	0	0	0
Functional Total	1,603,006	1,990	4,942	4,854	4,854	4,854
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	20,048	20,974	25,433	25,433	25,433	25,433
Homeland Security and Emergency Services, Division of	26,756	45,139	31,969	87,570	96,549	98,549
Indigent Legal Services, Office of	72,102	83,765	144,660	204,660	254,660	304,660
Victim Services, Office of	21,242	24,845	30,744	30,744	31,398	31,398
Functional Total	140,148	174,723	232,806	348,407	408,040	460,040
EDUCATION						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,040,724	5,754,854	6,034,828	5,664,349	5,570,790	5,444,218
<i>School Aid</i>	3,442,342	3,323,122	3,845,800	3,578,200	3,578,300	3,573,200
<i>STAR Property Tax Relief</i>	2,589,145	2,423,112	2,175,995	2,073,116	1,979,457	1,857,985
<i>All Other</i>	9,237	8,620	13,033	13,033	13,033	13,033
Functional Total	6,040,724	5,754,854	6,034,926	5,664,447	5,570,888	5,444,316
GENERAL GOVERNMENT						
Gaming Commission, New York State	65,779	69,013	199,668	121,950	122,950	121,950
State, Department of	913	939	0	0	0	0
Taxation and Finance, Department of	654	1,514	1,800	1,800	1,800	1,800
Functional Total	67,346	71,466	201,468	123,750	124,750	123,750

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
ELECTED OFFICIALS						
Judiciary	111,250	98,541	110,900	110,901	110,901	110,901
Functional Total	<u>111,250</u>	<u>98,541</u>	<u>110,900</u>	<u>110,901</u>	<u>110,901</u>	<u>110,901</u>
ALL OTHER CATEGORIES						
Miscellaneous	12,598	10,959	(402,483)	(1,092,000)	(1,042,000)	(1,692,000)
Functional Total	<u>12,598</u>	<u>10,959</u>	<u>(402,483)</u>	<u>(1,092,000)</u>	<u>(1,042,000)</u>	<u>(1,692,000)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>19,531,607</u>	<u>16,431,450</u>	<u>16,597,587</u>	<u>15,911,539</u>	<u>16,214,387</u>	<u>15,842,492</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,647	3,150	2,965	3,069	2,965	2,965
Economic Development, Department of	3	0	103	103	103	103
Financial Services, Department of	154,146	156,895	153,752	159,736	153,893	153,893
Public Service Department	42,867	42,628	43,514	45,452	43,854	43,867
Functional Total	199,663	202,673	200,334	208,360	200,815	200,828
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	93,008	84,489	89,842	92,641	89,242	83,293
Parks, Recreation and Historic Preservation, Office of	33,620	34,300	29,629	31,104	30,043	30,043
Functional Total	126,628	118,789	119,471	123,745	119,285	113,336
TRANSPORTATION						
Motor Vehicles, Department of	36,244	35,448	34,569	35,339	35,451	35,451
Transportation, Department of	2,803	2,654	3,324	3,451	3,348	3,348
Functional Total	39,047	38,102	37,893	38,790	38,799	38,799
HEALTH						
Health, Department of	130,551	132,075	141,597	141,389	141,070	141,156
<i>Medicaid Administration</i>	0	0	910	910	910	910
<i>Public Health</i>	130,551	132,075	140,687	140,479	140,160	140,246
Functional Total	130,551	132,075	141,597	141,389	141,070	141,156
SOCIAL WELFARE						
Children and Family Services, Office of	2,722	3,624	3,354	3,483	3,449	3,449
<i>OCFS</i>	2,722	3,624	3,354	3,483	3,449	3,449
Housing and Community Renewal, Division of	37,669	38,106	43,099	45,628	44,216	44,216
Labor, Department of	34,239	33,414	32,530	34,770	33,529	33,529
Functional Total	74,630	75,144	78,983	83,881	81,194	81,194
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	56,428	0	0	0	0	0
<i>OASAS</i>	20,354	0	0	0	0	0
<i>OASAS - Other</i>	36,074	0	0	0	0	0
Justice Center	1,214	1,411	1,372	1,422	1,435	1,448
Mental Health, Office of	1,070,401	(54)	103	103	103	103
<i>OMH</i>	287,281	(3)	103	103	103	103
<i>OMH - Other</i>	783,120	(51)	0	0	0	0
People with Developmental Disabilities, Office for	1,129,874	(20)	0	0	0	0
<i>OPWDD</i>	124	0	0	0	0	0
<i>OPWDD - Other</i>	1,129,750	(20)	0	0	0	0
Functional Total	2,257,917	1,337	1,475	1,525	1,538	1,551
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	251	215	219	219	219	223
Criminal Justice Services, Division of	280	252	392	403	400	400
Homeland Security and Emergency Services, Division of	15,292	19,611	28,610	29,856	31,215	31,754
Indigent Legal Services, Office of	1,873	2,430	3,298	3,364	3,350	3,350
Military and Naval Affairs, Division of	68	97	161	161	161	165
State Police, Division of	46,922	45,780	49,692	50,132	50,370	51,420
Victim Services, Office of	3,184	2,958	3,206	3,323	3,272	3,272
Functional Total	67,870	71,343	85,578	87,458	88,987	90,584
HIGHER EDUCATION						
City University of New York	52,623	47,274	48,594	49,045	49,501	49,961
Higher Education - Miscellaneous	169	232	198	198	198	198
Higher Education Services Corporation, New York State	13,380	12,337	12,416	12,416	12,416	12,416
State University of New York	3,753,285	3,952,902	4,189,940	4,409,163	4,458,536	4,615,248
Functional Total	3,819,457	4,012,745	4,251,148	4,470,822	4,520,651	4,677,823

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
EDUCATION						
Education, Department of	59,335	57,667	61,392	63,775	61,392	61,392
<i>All Other</i>	<u>59,335</u>	<u>57,667</u>	<u>61,392</u>	<u>63,775</u>	<u>61,392</u>	<u>61,392</u>
Functional Total	<u>59,335</u>	<u>57,667</u>	<u>61,392</u>	<u>63,775</u>	<u>61,392</u>	<u>61,392</u>
GENERAL GOVERNMENT						
Budget, Division of the	1,199	1,387	2,351	2,441	2,351	2,351
Civil Service, Department of	322	188	362	376	365	365
Deferred Compensation Board	375	390	381	381	381	381
Gaming Commission, New York State	31,990	32,241	34,405	35,731	34,405	34,405
General Services, Office of	3,200	3,960	858	897	871	871
State, Department of	18,893	18,328	19,400	20,126	19,400	19,400
Taxation and Finance, Department of	9,338	6,673	44,384	46,279	44,513	44,399
Workers' Compensation Board	85,117	82,890	84,892	89,608	84,892	84,892
Functional Total	<u>150,434</u>	<u>146,057</u>	<u>187,033</u>	<u>195,839</u>	<u>187,178</u>	<u>187,064</u>
ELECTED OFFICIALS						
Audit and Control, Department of	11,125	10,645	13,930	14,172	13,930	13,930
Judiciary	59,170	62,313	68,500	68,500	68,500	68,800
Law, Department of	36,981	37,051	31,238	32,588	31,238	31,238
Functional Total	<u>107,276</u>	<u>110,009</u>	<u>113,668</u>	<u>115,260</u>	<u>113,668</u>	<u>113,968</u>
ALL OTHER CATEGORIES						
Miscellaneous	2,160	2,064	(61,771)	(397,716)	(397,716)	(472,716)
Functional Total	<u>2,160</u>	<u>2,064</u>	<u>(61,771)</u>	<u>(397,716)</u>	<u>(397,716)</u>	<u>(472,716)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>7,034,968</u></u>	<u><u>4,968,005</u></u>	<u><u>5,216,801</u></u>	<u><u>5,133,128</u></u>	<u><u>5,156,861</u></u>	<u><u>5,234,979</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,002	1,973	2,441	2,445	18,241	18,241
Economic Development, Department of	1,482	1,868	1,847	1,847	1,847	1,847
Financial Services, Department of	55,233	58,270	54,947	55,245	54,951	54,951
Olympic Regional Development Authority	0	1	150	150	150	150
Public Service Department	9,517	9,804	8,797	7,564	6,996	5,603
Functional Total	68,234	71,916	68,182	67,251	82,185	80,792
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	25,463	23,256	15,651	14,926	14,846	14,894
Parks, Recreation and Historic Preservation, Office of	33,040	36,191	27,152	27,152	27,152	27,152
Functional Total	58,503	59,447	42,803	42,078	41,998	42,046
TRANSPORTATION						
Motor Vehicles, Department of	12,898	13,439	12,619	12,641	11,966	11,966
Transportation, Department of	4,941	5,750	6,525	6,529	6,525	6,525
Functional Total	17,839	19,189	19,144	19,170	18,491	18,491
HEALTH						
Aging, Office for the	0	0	1	1	1	1
Health, Department of	104,457	123,329	162,710	164,077	162,003	162,466
<i>Medicaid Administration</i>	0	0	50	50	50	50
<i>Public Health</i>	104,457	123,329	162,660	164,027	161,953	162,416
Functional Total	104,457	123,329	162,711	164,078	162,004	162,467
SOCIAL WELFARE						
Children and Family Services, Office of	11,452	12,522	15,235	15,544	15,853	15,853
<i>OCFS</i>	11,452	12,522	15,235	15,544	15,853	15,853
Housing and Community Renewal, Division of	8,748	8,842	10,017	10,385	10,543	10,543
Labor, Department of	15,206	16,012	13,701	14,137	14,400	14,400
Temporary and Disability Assistance, Office of	1,228	3,645	1,085	200	200	200
<i>All Other</i>	1,228	3,645	1,085	200	200	200
Functional Total	36,634	41,021	40,038	40,266	40,996	40,996
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,044	6,291	6,471	6,471	6,486	6,667
<i>OASAS</i>	11,610	6,285	6,471	6,471	6,486	6,667
<i>OASAS - Other</i>	13,434	6	0	0	0	0
Justice Center	27	62	45	47	46	47
Mental Health, Office of	279,362	(217)	5,342	5,342	5,342	5,342
<i>OMH</i>	61,995	3,108	5,342	5,342	5,342	5,342
<i>OMH - Other</i>	217,367	(3,325)	0	0	0	0
Mental Hygiene, Department of	204	0	0	0	0	0
People with Developmental Disabilities, Office for	203,291	(9,127)	181	181	181	181
<i>OPWDD</i>	24	36	181	181	181	181
<i>OPWDD - Other</i>	203,267	(9,163)	0	0	0	0
Functional Total	507,928	(2,991)	12,039	12,041	12,055	12,237
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,061	827	2,627	2,627	2,627	2,648
Criminal Justice Services, Division of	2,371	1,406	2,741	2,696	2,790	2,847
Homeland Security and Emergency Services, Division of	13,341	15,707	9,811	9,315	10,383	10,664
Indigent Legal Services, Office of	455	408	839	839	851	866
Military and Naval Affairs, Division of	2,103	3,327	4,757	3,655	3,656	3,657
State Police, Division of	30,368	31,435	31,709	26,738	26,800	26,924
Victim Services, Office of	530	550	745	745	758	758
Functional Total	50,229	53,660	53,229	46,615	47,865	48,364
HIGHER EDUCATION						

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
City University of New York	50,097	48,398	47,883	48,361	48,845	49,333
Higher Education - Miscellaneous	87	108	93	93	93	93
Higher Education Services Corporation, New York State	28,371	26,882	30,358	30,358	30,358	30,358
State University of New York	2,325,929	2,046,976	2,043,969	2,078,860	2,116,139	2,164,931
Functional Total	2,404,484	2,122,364	2,122,303	2,157,672	2,195,435	2,244,715
EDUCATION						
Education, Department of	29,141	24,226	29,583	29,262	29,396	29,353
<i>All Other</i>	29,141	24,226	29,583	29,262	29,396	29,353
Functional Total	29,141	24,226	29,583	29,262	29,396	29,353
GENERAL GOVERNMENT						
Budget, Division of the	1,470	1,400	2,693	2,696	2,693	2,693
Civil Service, Department of	356	8	12	12	442	451
Deferred Compensation Board	43	50	210	210	210	210
Elections, State Board of	44	273	0	0	0	0
Gaming Commission, New York State	58,168	30,510	27,391	32,427	32,391	32,391
General Services, Office of	1,926	1,829	3,184	3,249	3,289	3,354
Labor Management Committees	0	0	306	306	306	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5
Public Employment Relations Board	28	37	45	45	45	45
State, Department of	14,156	13,044	13,078	13,102	13,078	13,078
Taxation and Finance, Department of	5,556	2,129	31,080	31,401	30,909	30,456
Workers' Compensation Board	55,854	59,028	58,327	58,394	58,327	58,327
Functional Total	137,601	108,308	136,331	141,847	141,695	141,316
ELECTED OFFICIALS						
Audit and Control, Department of	3,174	3,100	6,102	6,102	6,102	6,102
Judiciary	55,103	42,689	49,600	49,600	49,600	49,600
Law, Department of	40,539	42,284	41,577	41,576	41,577	41,577
Legislature	1,160	564	950	950	950	950
Functional Total	99,976	88,637	98,229	98,228	98,229	98,229
ALL OTHER CATEGORIES						
Miscellaneous	1,495	1,384	(196,653)	(358,496)	(358,496)	(433,496)
Functional Total	1,495	1,384	(196,653)	(358,496)	(358,496)	(433,496)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	3,516,521	2,710,490	2,587,939	2,460,012	2,511,853	2,485,510

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,255	3,082	1,893	1,993	1,959	1,994
Economic Development, Department of	2	0	28	28	28	28
Financial Services, Department of	90,924	113,519	101,824	114,477	114,251	114,251
Olympic Regional Development Authority	0	31	0	0	0	0
Public Service Department	32,395	22,783	27,788	29,526	28,974	29,483
Functional Total	124,576	139,415	131,533	146,024	145,212	145,756
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,573	62,434	47,709	49,877	48,370	49,232
Parks, Recreation and Historic Preservation, Office of	3,264	3,657	1,960	2,007	1,941	1,941
Functional Total	48,837	66,091	49,669	51,884	50,311	51,173
TRANSPORTATION						
Motor Vehicles, Department of	20,986	28,312	24,118	25,963	26,615	26,615
Transportation, Department of	1,720	1,681	2,197	2,412	2,457	2,457
Functional Total	22,706	29,993	26,315	28,375	29,072	29,072
HEALTH						
Health, Department of	31,713	34,922	38,432	40,999	42,279	42,329
<i>Medicaid Administration</i>	0	0	581	581	581	581
<i>Public Health</i>	31,713	34,922	37,851	40,418	41,698	41,748
Functional Total	31,713	34,922	38,432	40,999	42,279	42,329
SOCIAL WELFARE						
Children and Family Services, Office of	1,658	2,243	2,131	2,250	2,266	2,266
<i>OCFS</i>	1,658	2,243	2,131	2,250	2,266	2,266
Housing and Community Renewal, Division of	16,045	21,908	25,921	25,921	29,150	29,150
Labor, Department of	20,895	25,876	20,487	20,487	23,005	23,005
Temporary and Disability Assistance, Office of	12	36	128	128	128	128
<i>All Other</i>	12	36	128	128	128	128
Functional Total	38,610	50,063	48,667	48,786	54,549	54,549
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	36,956	0	0	0	0	0
<i>OASAS</i>	14,792	0	0	0	0	0
<i>OASAS - Other</i>	22,164	0	0	0	0	0
Justice Center	649	1,220	898	908	895	925
Mental Health, Office of	650,727	(44,657)	0	0	0	0
<i>OMH</i>	193,397	(10,681)	0	0	0	0
<i>OMH - Other</i>	457,330	(33,976)	0	0	0	0
People with Developmental Disabilities, Office for	683,801	0	0	0	0	0
<i>OPWDD - Other</i>	683,801	0	0	0	0	0
Functional Total	1,372,133	(43,437)	898	908	895	925
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	117	191	106	106	106	106
Criminal Justice Services, Division of	35	0	0	0	0	0
Homeland Security and Emergency Services, Division of	615	763	817	835	905	905
Indigent Legal Services, Office of	1,069	1,851	2,103	2,217	2,339	2,339
Military and Naval Affairs, Division of	6	214	9	9	10	10
State Police, Division of	14,072	45,763	28,410	28,953	29,323	29,523
Victim Services, Office of	1,638	1,894	1,650	1,650	1,683	1,683
Functional Total	17,552	50,676	33,095	33,770	34,366	34,566
HIGHER EDUCATION						
City University of New York	145	129	150	150	150	150
Higher Education - Miscellaneous	81	200	150	150	150	150
Higher Education Services Corporation, New York State	8,131	9,807	9,020	9,020	9,020	9,020

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
State University of New York	430,859	522,523	517,843	546,814	561,977	589,307
Functional Total	439,216	532,659	527,163	556,134	571,297	598,627
EDUCATION						
Education, Department of	34,543	43,214	39,241	41,458	40,581	41,269
<i>All Other</i>	34,543	43,214	39,241	41,458	40,581	41,269
Functional Total	34,543	43,214	39,241	41,458	40,581	41,269
GENERAL GOVERNMENT						
Budget, Division of the	712	907	1,657	1,717	1,657	1,657
Civil Service, Department of	180	139	231	244	241	245
Deferred Compensation Board	224	302	243	247	252	256
Gaming Commission, New York State	16,540	22,754	17,575	18,465	17,575	17,575
General Services, Office of	369	538	548	583	575	585
State, Department of	10,433	15,448	12,924	14,161	14,439	14,456
Taxation and Finance, Department of	22,635	5,667	21,309	22,567	21,391	21,318
Workers' Compensation Board	52,395	56,568	53,220	57,863	53,220	53,220
Functional Total	103,488	102,323	107,707	115,847	109,350	109,312
ELECTED OFFICIALS						
Audit and Control, Department of	1,677	2,039	2,197	2,197	2,197	2,197
Judiciary	28,148	29,213	31,800	31,800	31,800	31,800
Law, Department of	16,578	26,417	19,799	20,605	19,799	19,799
Functional Total	46,403	57,669	53,796	54,602	53,796	53,796
ALL OTHER CATEGORIES						
Miscellaneous	1,293	1,595	1,350	1,362	1,367	1,377
Functional Total	1,293	1,595	1,350	1,362	1,367	1,377
TOTAL GENERAL STATE CHARGES SPENDING	2,281,070	1,065,183	1,057,866	1,120,149	1,133,075	1,162,751

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	0	18	0	0	0	0
Economic Development, Department of	6,893	7,360	8,055	8,055	8,055	8,055
Empire State Development Corporation	0	588	0	0	0	0
Functional Total	6,893	7,966	8,055	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	2,368	5,044	1,270	1,270	1,270	1,270
Functional Total	2,368	5,044	1,270	1,270	1,270	1,270
TRANSPORTATION						
Motor Vehicles, Department of	13,233	16,151	18,000	18,000	18,000	18,000
Transportation, Department of	46,699	36,388	42,504	42,504	42,504	42,504
Functional Total	59,932	52,539	60,504	60,504	60,504	60,504
HEALTH						
Aging, Office for the	97,545	98,856	96,946	98,694	98,694	98,694
Health, Department of	43,638,755	46,208,501	46,553,030	48,062,952	52,186,383	54,674,431
<i>Medical Assistance</i>	36,787,179	39,094,483	39,783,826	41,396,620	45,575,604	48,038,015
<i>Essential Plan</i>	3,874,925	4,007,287	4,024,529	4,206,333	4,215,388	4,224,442
<i>Medicaid Administration</i>	430,377	737,569	460,751	354,071	355,438	350,538
<i>Public Health</i>	2,546,274	2,369,162	2,283,924	2,105,928	2,039,953	2,061,436
Functional Total	43,736,300	46,307,357	46,649,976	48,161,646	52,285,077	54,773,125
SOCIAL WELFARE						
Children and Family Services, Office of	1,156,214	862,245	918,300	918,300	918,300	918,300
<i>OCFS</i>	1,156,214	862,245	918,300	918,300	918,300	918,300
Housing and Community Renewal, Division of	57,455	55,691	48,434	48,434	48,434	48,434
Labor, Department of	148,578	123,479	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,000,612	3,899,694	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,160,566	3,087,731	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	840,046	811,963	817,000	817,000	817,000	817,000
Functional Total	4,362,859	4,941,109	4,562,202	4,562,202	4,562,202	4,562,202
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	108,802	102,902	104,490	104,490	104,490	104,490
<i>OASAS</i>	108,802	102,902	104,490	104,490	104,490	104,490
Mental Health, Office of	30,762	55,583	39,979	32,025	32,025	32,025
<i>OMH</i>	30,762	55,583	39,979	32,025	32,025	32,025
Functional Total	139,564	158,485	144,469	136,515	136,515	136,515
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	14,131	40,574	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,342,908	1,050,501	1,122,000	1,008,000	955,000	905,000
State Police, Division of	62	14	0	0	0	0
Victim Services, Office of	31,941	45,761	65,000	65,000	65,000	65,000
Functional Total	1,389,042	1,136,850	1,202,800	1,088,800	1,035,800	985,800
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	62	0	0	0	0	0
State University of New York	750	180	0	0	0	0
Functional Total	812	180	0	0	0	0
EDUCATION						
Arts, Council on the	540	698	600	600	600	600
Education, Department of	3,182,323	3,839,720	3,594,065	3,647,645	3,647,645	3,647,645
<i>School Aid</i>	2,360,873	2,757,079	2,717,358	2,765,938	2,765,938	2,765,938
<i>Special Education Categorical Programs</i>	737,695	1,008,280	795,000	800,000	800,000	800,000
<i>All Other</i>	83,755	74,361	81,707	81,707	81,707	81,707
Functional Total	3,182,863	3,840,418	3,594,665	3,648,245	3,648,245	3,648,245

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE
(thousands of dollars)**

	<u>FY 2018 Results</u>	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>
GENERAL GOVERNMENT						
Elections, State Board of	276	445	0	0	0	0
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	0	7	0	0	0	0
State, Department of	55,864	60,642	57,957	57,957	57,957	57,957
Functional Total	<u>56,140</u>	<u>61,094</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>	<u>58,207</u>
ALL OTHER CATEGORIES						
Miscellaneous	<u>(342,300)</u>	<u>(489,759)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>
Functional Total	<u>(342,300)</u>	<u>(489,759)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u><u>52,594,473</u></u>	<u><u>56,021,283</u></u>	<u><u>55,814,210</u></u>	<u><u>57,257,506</u></u>	<u><u>61,327,937</u></u>	<u><u>63,765,985</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,469	3,728	3,413	3,413	3,413	3,413
Public Service Department	1,609	1,307	1,202	1,202	1,202	1,202
Functional Total	5,078	5,035	4,615	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	25,065	23,504	27,808	28,876	28,876	28,876
Parks, Recreation and Historic Preservation, Office of	2,020	2,865	1,223	1,367	1,367	1,367
Functional Total	27,085	26,369	29,031	30,243	30,243	30,243
TRANSPORTATION						
Motor Vehicles, Department of	1,463	1,627	4,835	4,979	4,979	4,979
Transportation, Department of	9,161	8,642	10,484	10,887	10,484	10,484
Functional Total	10,624	10,269	15,319	15,866	15,463	15,463
HEALTH						
Aging, Office for the	5,976	6,068	5,926	6,068	6,068	6,068
Health, Department of	100,651	86,237	87,070	90,690	89,311	91,332
<i>Medicaid Administration</i>	32,027	32,637	30,141	31,685	32,653	34,663
<i>Public Health</i>	68,624	53,600	56,929	59,005	56,658	56,669
Medicaid Inspector General, Office of the	15,817	15,211	15,625	16,239	15,625	15,625
Functional Total	122,444	107,516	108,621	112,997	111,004	113,025
SOCIAL WELFARE						
Children and Family Services, Office of	26,445	26,318	29,247	30,451	31,060	31,060
<i>OCFS</i>	26,445	26,318	29,247	30,451	31,060	31,060
Housing and Community Renewal, Division of	5,943	5,591	7,595	8,125	8,288	8,288
Human Rights, Division of	3,653	3,754	3,135	3,354	3,421	3,421
Labor, Department of	172,236	177,367	159,620	171,550	174,986	174,986
National and Community Service	259	333	377	390	398	398
Temporary and Disability Assistance, Office of	88,678	87,856	77,755	77,755	79,311	79,311
<i>All Other</i>	88,678	87,856	77,755	77,755	79,311	79,311
Functional Total	297,214	301,219	277,729	291,625	297,464	297,464
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	5,107	2,543	5,000	5,000	5,050	5,101
<i>OASAS</i>	5,107	2,543	5,000	5,000	5,050	5,101
Developmental Disabilities Planning Council	1,007	1,025	1,266	1,266	1,266	1,266
Justice Center	309	326	228	228	229	231
Mental Health, Office of	2,036	975	813	813	813	813
<i>OMH</i>	2,036	975	813	813	813	813
People with Developmental Disabilities, Office for	0	125	0	0	0	0
<i>OPWDD</i>	0	125	0	0	0	0
Functional Total	8,459	4,994	7,307	7,307	7,358	7,411
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	26,314	2,206	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	5,942	4,837	4,602	4,681	4,681	4,681
Homeland Security and Emergency Services, Division of	11,288	13,853	15,000	15,000	15,000	15,000
Military and Naval Affairs, Division of	21,400	21,451	21,032	21,032	21,032	21,453
State Police, Division of	10,046	12,963	11,975	12,265	12,403	12,652
Victim Services, Office of	1,860	2,181	3,000	3,000	3,000	3,000
Functional Total	76,850	57,491	71,362	71,731	71,869	72,539
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	2	836	836	836	836
State University of New York	7,963	9,638	7,229	7,229	7,229	7,229
Functional Total	7,963	9,640	8,065	8,065	8,065	8,065

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
EDUCATION						
Education, Department of	85,894	89,062	84,486	87,737	87,737	87,737
<i>All Other</i>	85,894	89,062	84,486	87,737	87,737	87,737
Functional Total	85,894	89,062	84,486	87,737	87,737	87,737
GENERAL GOVERNMENT						
Elections, State Board of	99	252	480	480	480	480
Prevention of Domestic Violence, Office for	23	0	0	0	0	0
State, Department of	3,010	2,651	3,758	3,758	3,758	3,758
Veterans' Services, Division of	504	506	804	826	843	843
Functional Total	3,636	3,409	5,042	5,064	5,081	5,081
ELECTED OFFICIALS						
Judiciary	1,773	1,727	1,900	1,900	1,900	1,900
Law, Department of	20,135	20,681	20,764	21,620	20,764	21,620
Functional Total	21,908	22,408	22,664	23,520	22,664	23,520
TOTAL PERSONAL SERVICE SPENDING	667,155	637,412	634,241	658,770	661,563	665,163

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	10,059	12,322	9,816	9,808	9,808	9,808
Economic Development, Department of	486	456	245	245	245	245
Financial Services, Department of	254	1,186	1,400	1,400	1,400	1,400
Public Service Department	698	534	93	92	92	92
Functional Total	11,497	14,498	11,554	11,545	11,545	11,545
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	0	0	350	350	350	350
Environmental Conservation, Department of	14,727	14,893	16,942	16,942	16,942	16,942
Parks, Recreation and Historic Preservation, Office of	2,756	2,453	1,145	1,147	1,147	1,147
Functional Total	17,483	17,346	18,437	18,439	18,439	18,439
TRANSPORTATION						
Motor Vehicles, Department of	2,820	2,245	4,528	4,539	4,539	4,539
Transportation, Department of	8,450	11,433	13,435	13,450	13,435	13,435
Functional Total	11,270	13,678	17,963	17,989	17,974	17,974
HEALTH						
Aging, Office for the	5,624	1,424	4,348	4,348	4,348	4,348
Health, Department of	615,128	647,307	664,884	606,436	616,161	618,355
<i>Medicaid Administration</i>	306,994	313,246	431,823	375,607	385,308	389,719
<i>Public Health</i>	308,134	334,061	233,061	230,829	230,853	228,636
Medicaid Inspector General, Office of the	2,993	2,515	2,852	2,894	2,894	2,894
Functional Total	623,745	651,246	672,084	613,678	623,403	625,597
SOCIAL WELFARE						
Children and Family Services, Office of	53,142	39,001	68,407	69,510	70,875	70,875
<i>OCFS</i>	53,142	39,001	68,407	69,510	70,875	70,875
Housing and Community Renewal, Division of	2,374	1,959	2,709	3,155	3,293	3,293
Human Rights, Division of	1,494	1,243	1,287	1,313	1,339	1,339
Labor, Department of	58,129	60,217	75,623	78,537	81,677	81,677
National and Community Service	14,068	9,441	15,268	15,573	15,884	15,884
Temporary and Disability Assistance, Office of	72,021	74,919	72,514	72,514	73,954	73,954
<i>All Other</i>	72,021	74,919	72,514	72,514	73,954	73,954
Functional Total	201,228	186,780	235,808	240,602	247,022	247,022
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,904	2,026	2,166	2,166	2,220	2,282
<i>OASAS</i>	1,904	2,026	2,166	2,166	2,220	2,282
Developmental Disabilities Planning Council	2,501	2,155	2,149	2,149	2,149	2,149
Justice Center	860	751	536	536	549	568
Mental Health, Office of	411	697	555	555	555	555
<i>OMH</i>	411	697	555	555	555	555
People with Developmental Disabilities, Office for	398	222	1,000	1,000	1,000	1,000
<i>OPWDD</i>	398	222	1,000	1,000	1,000	1,000
Functional Total	6,074	5,851	6,406	6,406	6,473	6,554
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	524	768	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	7,676	5,435	4,249	4,249	4,249	4,334
Homeland Security and Emergency Services, Division of	17,581	18,085	25,000	25,000	25,000	25,000
Military and Naval Affairs, Division of	15,948	16,791	15,502	15,812	15,812	16,124
State Police, Division of	12,700	14,719	13,000	13,000	13,400	13,668
Victim Services, Office of	1,071	1,516	1,600	1,600	1,600	1,600
Functional Total	55,500	57,314	60,542	60,852	61,252	61,917
HIGHER EDUCATION						
City University of New York	81	1,820	7,634	7,634	7,634	7,634

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Higher Education Services Corporation, New York State	5,133	3,254	5,797	5,797	5,797	5,797
State University of New York	333,120	341,588	304,760	304,760	304,760	304,760
Functional Total	338,334	346,662	318,191	318,191	318,191	318,191
EDUCATION						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	74,958	74,052	64,901	65,381	65,381	65,381
<i>All Other</i>	74,958	74,052	64,901	65,381	65,381	65,381
Functional Total	74,958	74,052	65,001	65,481	65,481	65,481
GENERAL GOVERNMENT						
Elections, State Board of	3,763	1,574	6,000	4,000	2,800	824
General Services, Office of	8,062	10,150	8,032	8,032	8,032	8,193
State, Department of	1,442	1,560	4,046	4,046	4,046	4,046
Taxation and Finance, Department of	1,180	92	1,220	1,220	1,220	1,220
Technology, Office for	3,126	291	0	0	0	0
Veterans' Services, Division of	104	144	658	671	685	685
Functional Total	17,677	13,811	19,956	17,969	16,783	14,968
ELECTED OFFICIALS						
Judiciary	4,352	5,291	8,500	8,500	8,500	8,500
Law, Department of	6,973	7,254	8,577	8,577	8,577	8,577
Functional Total	11,325	12,545	17,077	17,077	17,077	17,077
ALL OTHER CATEGORIES						
Miscellaneous	(57)	(10)	0	0	0	0
Functional Total	(57)	(10)	0	0	0	0
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	1,369,034	1,393,773	1,443,019	1,388,229	1,403,640	1,404,765

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,040	2,769	2,081	2,125	2,170	2,216
Public Service Department	1,788	1,233	733	748	764	780
Functional Total	3,828	4,002	2,814	2,873	2,934	2,996
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	16,621	19,565	16,953	17,976	18,357	18,744
Parks, Recreation and Historic Preservation, Office of	0	0	0	24	24	24
Functional Total	16,621	19,565	16,953	18,000	18,381	18,768
TRANSPORTATION						
Motor Vehicles, Department of	855	958	720	806	806	806
Transportation, Department of	4,350	7,284	6,489	6,641	6,489	6,489
Functional Total	5,205	8,242	7,209	7,447	7,295	7,295
HEALTH						
Aging, Office for the	0	44	0	0	0	0
Health, Department of	36,009	47,420	44,952	47,837	48,453	47,504
<i>Medicaid Administration</i>	2,834	3,516	3,984	4,159	4,753	4,974
<i>Public Health</i>	33,175	43,904	40,968	43,678	43,700	42,530
Medicaid Inspector General, Office of the	9,354	11,070	9,525	10,301	10,301	10,301
Functional Total	45,363	58,534	54,477	58,138	58,754	57,805
SOCIAL WELFARE						
Children and Family Services, Office of	11,206	14,302	11,329	19,781	19,745	19,745
<i>OCFS</i>	11,206	14,302	11,329	19,781	19,745	19,745
Housing and Community Renewal, Division of	3,500	4,004	4,005	4,005	5,501	5,501
Labor, Department of	100,504	133,625	96,193	96,193	117,217	117,217
National and Community Service	0	0	0	242	242	242
Temporary and Disability Assistance, Office of	52,383	65,396	47,411	48,412	49,436	50,476
<i>All Other</i>	52,383	65,396	47,411	48,412	49,436	50,476
Functional Total	167,593	217,327	158,938	168,633	192,141	193,181
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	0	2,383	0	0	0	0
<i>OASAS</i>	0	2,383	0	0	0	0
Developmental Disabilities Planning Council	870	768	785	785	785	785
Justice Center	60	0	64	64	65	93
Mental Health, Office of	1,166	609	469	469	469	469
<i>OMH</i>	1,166	609	469	469	469	469
People with Developmental Disabilities, Office for	0	78	0	0	0	0
<i>OPWDD</i>	0	78	0	0	0	0
Functional Total	2,096	3,838	1,318	1,318	1,319	1,347
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,094	1,475	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	617	518	348	348	348	355
Homeland Security and Emergency Services, Division of	6,654	8,483	4,500	7,000	7,000	7,000
Military and Naval Affairs, Division of	5,769	11,734	6,620	6,745	6,745	6,745
State Police, Division of	1,745	2,421	1,500	1,500	1,500	1,500
Functional Total	15,879	24,631	14,009	16,634	16,634	16,641
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	0	1	1	1	1	1
State University of New York	25	111	51	51	51	51
Functional Total	25	112	52	52	52	52
EDUCATION						
Education, Department of	50,773	65,399	52,426	56,000	56,000	56,000

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2018 Results	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
<i>All Other</i>	50,773	65,399	52,426	56,000	56,000	56,000
Functional Total	50,773	65,399	52,426	56,000	56,000	56,000
GENERAL GOVERNMENT						
Elections, State Board of	0	270	300	300	300	300
State, Department of	1,780	2,387	2,810	2,811	2,812	2,812
Veterans' Services, Division of	295	385	490	514	536	547
Functional Total	2,075	3,042	3,600	3,625	3,648	3,659
ELECTED OFFICIALS						
Judiciary	431	505	500	500	500	500
Law, Department of	11,667	15,697	12,807	14,218	12,807	12,807
Functional Total	12,098	16,202	13,307	14,718	13,307	13,307
TOTAL GENERAL STATE CHARGES SPENDING	321,556	420,894	325,103	347,438	370,465	371,051

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
RBTF - Dedicated PIT in excess of Debt Service		21,345,790	24,653,297	25,259,518	25,795,336	27,225,847
ECEP in Excess of Revenue Bond Debt Service		0	1,200	4,050	10,600	11,600
STBF - Sales Tax Bond Fund		2,652,893	2,945,286	2,751,327	2,847,919	2,876,683
LGAC - Dedicated Sales Tax in excess of Debt Service		3,112,694	3,481,179	3,693,705	3,941,835	4,122,357
CWCA - Real Estate Transfer Tax in excess of Debt Service		956,287	973,231	1,012,666	1,053,924	1,104,512
Total All Other Transfers		3,001,614	3,028,454	2,090,595	1,745,330	1,609,158
339.21982	Administration Program	99	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
061.20813	Adult Home Resident Council Support Project	32	0	0	0	0
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	799	0	0	0	0
339.21977	Business and Licensing Services Account	85,366	55,674	55,058	53,328	53,328
339.21971	Cable Television Account	2,500	0	0	0	0
339.21920	Certificate of Need Account	1,371	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	3,000	102,230	0	0	0
061.20810	Child Health Insurance Account	696	0	0	0	0
025.20401	Child Performer Protection Account	22	0	0	0	0
061.20822	Cigarette Strike Task Force	473	0	0	0	0
334.55055	Civil Service Administration Account	3,000	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	0	639	639	639	639
339.21962	Clinical Laboratory Reference Fee Account	658	289	289	289	289
S01.23702	Commercial Gaming Regulation	0	2	2	2	2
S01.23701	Commercial Gaming Revenue Account	0	17,712	0	0	0
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	241	357	357	357	357
339.21945	Criminal Justice Improvement Account	9,183	22,237	10,237	10,237	10,237
072.30050	Dedicated Highway and Bridge Trust Fund	62,354	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	1,063	0	0	0	0
323.55010	Design and Construction Account	0	1,866	1,866	1,866	1,866
339.22042	Division of Economic Development Marketing Account	131	131	131	131	131
486.26000	Division of Labor Federal Grants	1,767	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20818	Elderly Pharmaceutical Insurance Coverage Premium Account	2,356	0	0	0	0
S07.24901	Elementary Secondary Education Charitable	0	36,211	0	0	0
061.20809	Emergency Medical Services Training Account	1,258	131	131	131	131
301.21080	Environmental Conservation Magazine Account	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	218	131	131	131	131
301.21081	Environmental Regulatory Account	2,835	2,835	2,835	2,835	2,835
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	0	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	1,284	1,314	1,314	1,314	1,314
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,041	1,041	1,041	1,041
291.313DD	Federal Grants - Capital	223	0	0	0	0
265.25100	Federal Health and Human Services Fund	116,487	111,617	111,617	111,617	111,617
290.25300	Federal Operating Grants Fund	21,247	481	481	481	481
261.25000	Federal USDA/Food and Nutrition Services Fund	33,434	34,694	34,694	34,694	34,694
339.21950	Fingerprint Identification & Technology Account	5,473	28,523	20,543	20,543	20,543
339.21904	Fire Prevention and Code Enforcement Account	14,810	14,810	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	24	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	23,002	25,200	25,200	25,200	25,200
061.20807	HCRA Program Account	5,796	0	0	0	0
061.20811	HCRA Undistributed Revenue	5,936	0	0	0	0
061.20821	Health Care Delivery Administration Account	214	0	0	0	0
S06.24850	Health Care Transformation Account	555,000	678,619	432,000	118,000	68,000
S07.24900	Health Charitable Gifts Trust	0	59,000	0	0	0
396.55300	Health Insurance Internal Services Account	3,121	3,428	3,428	3,428	3,428
061.20819	Health Occupation Development Workplan Demo Account	165	0	0	0	0
S02.23755	Health Operation and Oversight Account	490	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	Higher Education Services Corporation - Insurance Premium Payments	12,292	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	0	201	201	201	201
061.20817	Indigent Care Fund Account	56	0	0	0	0
301.21060	Indirect Charges Account	2,085	2,085	2,085	2,085	2,085
334.55071	Labor Contact Center Account	142	0	0	0	0
339.22096	Legal Services Assistance Fund	9,830	17,080	9,830	9,830	9,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	7	5	5	5	5
160.20902	Lottery Administration - New	5,521	4,274	4,274	4,274	4,274
339.22130	Low Inc Housing Monitor	367	0	0	0	0
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
061.20804	Medical Assistance Account	240	0	0	0	0
304.40100	Mental Health Services Fund	1,318,445	1,411,114	1,148,563	1,098,331	1,015,643
339.21909	Mental Hygiene Patient Income Account	82,191	0	0	0	0
339.21907	Mental Hygiene Program Fund Account	16,122	0	0	0	0
313.21402	Metropolitan Mass Transportation Operating Assistance Account	1,893	0	0	0	0
225.23652	Metropolitan Transportation Authority Aid Trust Account	60	0	0	0	0
S02.23753	MMF - Law Enforcement	0	150	0	0	0
314.21452	Mobile Source Account	0	6,404	6,404	6,404	6,404
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	1,100	1,400	1,100	1,100	0
339.22062	New York City Assessment Account	64,297	0	0	0	0
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119

General Fund Transfers From Other Funds
(thousands of dollars)

Fund	Account Name	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
339.22177	Occupational Health Clinic Account	7	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	1,076	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	1,517	0	0	0	0
323.5502Y	Office of General Services Building Administration Account - Internal Service	20	0	0	0	0
339.219YL	Office of General Services Building Administration Account - Special Revenue State	2,074	0	0	0	0
323.5502X	Office of General Services Executive Direction Account	1,793	105	105	105	105
323.5502Z	Office of General Services Standards and Purchase Account - Internal Service	31	0	0	0	0
339.219YN	Office of General Services Standards and Purchase Account - Special Revenue State	3,009	3,000	3,000	3,000	3,000
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
339.22239	Opioid Stewardship Account	0	34,000	0	0	0
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	76	158	158	158	158
501.23703	Problem Gambling Services	1,500	0	0	0	0
339.22088	Professional Medical Conduct Account	800	291	291	291	291
339.22123	Public Safety Communication Account	4,106	30,161	5,161	5,161	5,161
339.22011	Public Service Account	4,492	7,871	5,671	5,671	5,671
339.21998	Public Work Enforcement	(526)	0	0	0	0
339.21915	Quality of Care Account	67,219	0	0	0	0
339.21965	Radiological Health Protection	348	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	0	115	115	115	115
339.21900	Reserve for Transaction Risks	0	(400,000)	(350,000)	(350,000)	(350,000)
339.22024	Revenue Arrearage Account	18,677	18,677	18,677	18,677	18,677
339.22028	State Central Register Account	6,725	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	115,420	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	22,000	43,700	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	43,352	42,800	46,341	67,023	64,639
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	924	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,240	5,320	5,320	5,320	5,320
339.22192	Tax Return Preparers Fee	186	0	0	0	0
061.20801	Tobacco Control and Cancer Services Account	432	0	0	0	0
339.22055	Traffic Adjudication Account	0	2,288	2,288	2,288	2,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.22067	Transportation Regulation Account	885	1,988	2,428	2,443	2,443
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	0	303,771	137,450	137,450	137,450
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	35,322	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	11,595	11,583	11,583	11,583	11,583
339.22103	Vital Records Management Account	2,672	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	0	666	666	666	666
365.23051	Vocational Rehabilitation Fund	0	32	32	32	32
339.21995	Workers' Compensation Account	12,605	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	147,180	0	0	0	0
		31,069,278	35,082,647	34,811,861	35,394,944	36,950,157

General Fund Transfers To Other Funds
(thousands of dollars)

Fund	Account Name	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
	Transfers to State Share of Mental Hygiene Medicaid¹	(29,023)	0	0	0	0
	Transfers to Debt Service Funds	786,133	545,643	689,201	587,620	555,839
	Transfers to Capital Projects Funds	1,887,945	3,019,029	3,316,503	3,372,597	3,435,379
	Transfers to SUNY University Operations	1,019,649	1,185,370	1,258,613	1,254,800	1,254,800
	Total All Other Transfers	893,631	1,188,299	1,282,246	1,464,481	1,614,600
020.20143	Alzheimers Disease Assistance	253	270	270	270	270
334.55057	Banking Services	37,464	49,190	49,310	49,420	49,420
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	372	500	500	500	500
323.55022	Business Services Center	6,000	28,000	30,000	30,000	30,000
334.55069	Centralized Technology Services	13,960	11,460	11,460	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	159,300	159,300	159,300	159,300
397.55350	Correctional Industries	20,773	20,773	20,773	20,773	20,773
073.20853	Dedicated Mass Transportation Non MTA	5,424	5,274	5,274	5,274	5,274
225.23651	Department of Transportation (MTA Payroll Tax)	244,250	244,250	244,250	244,250	244,250
339.22056	Federal Salary Sharing Account	3,112	2,768	2,811	2,848	2,887
323.5502X	General Service	21,778	9,631	9,628	3,435	0
319.40300	Health Income Fund	10,699	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	8,083	8,083	8,083	8,083	8,083
316.40250	Housing Debt Fund	845	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	27,153	28,000	28,000	74,781	74,781
340.22501	Judiciary Funds	116,995	112,000	113,000	113,000	113,000
313.21402	Mass Transportation Operating Assistance	25,927	21,175	21,175	21,175	21,175
S02.23755	Medical Cannabis Fund	6,550	4,626	6,913	6,913	6,913
339.22128	Medication Reimbursement Account	85	0	0	0	0
334.55059	Neighbor Work Project Account	1,000	1,000	1,000	1,000	1,000
225.23653	New York Central Business District Trust	0	112,500	150,000	151,500	153,015
368.23151	NYC County Clerk Operations Offset Fund	3,051	3,500	3,500	3,500	3,500
323.5502Y	OGS Building Administration Account	1,500	9,500	9,500	9,500	9,500
020.20183	Prostate Cancer Research and Education	155	200	200	200	200
313.21401	Public Transportation Systems	16,696	16,144	16,144	16,144	16,144
073.20852	Railroad Account	9,472	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,061	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury Fund	8,500	8,500	8,500	8,500	8,500
345.22656	State University of New York - Medicaid Reimbursement	240,688	243,000	243,000	243,000	243,000
339.22168	Tax Revenue Arrearage Account	500	1,500	1,500	1,500	1,500
073.20851	Transit Authorities Account	52,839	51,394	102,394	242,394	394,394
020.20128	WB Hoyt Memorial Trust Fund	622	622	622	622	622
		4,558,335	5,938,341	6,546,563	6,679,498	6,860,618

¹ Reflects an accounting adjustment associated with prior year reimbursements.

CASH COMBINING STATEMENT
GENERAL FUND
FY 2020
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Extraordinary Monetary Settlements	Refund Reserve	Debt Management	Escrow Account	Fringe Benefits	Eliminations	Total
Opening Fund Balance	0	1,258	21	35	790	4,194	408	500	0	0	0	7,206
Receipts:												
Taxes	39,298	0	0	0	0	0	0	0	0	0	0	39,298
Miscellaneous Receipts	2,117	0	0	0	0	787	0	0	0	0	0	2,904
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0
Total receipts	41,415	0	0	0	0	787	0	0	0	0	0	42,202
Disbursements:												
Local Assistance	54,008	0	0	20	0	0	0	0	0	0	0	54,028
State Operations	11,913	0	0	0	0	0	0	0	0	0	0	11,913
General State Charges	7,667	0	0	0	0	0	0	0	0	0	0	7,667
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	73,588	0	0	20	0	0	0	0	0	0	0	73,608
Other financing sources (uses):												
Transfers from Other Funds	73,679	0	0	2	428	0	482	0	0	0	(39,510)	35,081
Transfers to Other Funds	(43,288)	0	0	(17)	0	(2,141)	0	0	0	0	39,510	(5,936)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	30,391	0	0	(15)	428	(2,141)	482	0	0	0	0	29,145
FY 2020 Savings Plan	(1,782)	0	0	0	0	0	0	0	0	0	0	(1,782)
Change in Fund Balance	0	0	0	(35)	428	(1,354)	482	0	0	0	0	(479)
Closing Fund Balance	0	1,258	21	0	1,218	2,840	890	500	0	0	0	6,727

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(Thousands of dollars)

	MENTAL HEALTH DONATIONS (20100-20099)	COMBINED EXPENDABLE TRUST (20100-20999)	NEW YORK INTEREST LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)
Opening Fund Balance	808	68,508	58,589	86	9	8,066	4,571	0	6,501	0	87,772
Receipts:											
Taxes	0	0	0	0	0	0	0	2,175,995	0	741,000	483,654
Miscellaneous Receipts	72	(147,478)	59,000	318	120	4,905	9,233	0	0	5,516,638	1,444,489
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	72	(147,478)	59,000	318	120	4,905	9,233	2,175,995	0	6,257,638	6,281,143
Disbursements:											
Local Assistance	0	9,066	20,000	0	0	0	5,056	2,175,995	4,837	5,942,304	695,119
State Operations	72	2,885	1,488	421	247	3,037	2,155	0	0	86,501	0
General State Charges	0	223	551	186	149	1,222	1,114	0	0	9,345	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	72	12,174	22,039	607	396	4,259	8,325	2,175,995	4,837	6,038,150	695,119
Other Financing Sources (Uses):											
Transfers from Other Funds	0	160,892	0	300	300	0	0	0	4,837	0	65,884
Transfers to Other Funds	0	(549)	0	(8)	0	(285)	(1,383)	0	0	(219,488)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	160,343	0	292	300	(285)	(1,383)	0	4,837	(219,488)	65,884
Change in Fund Balance	0	691	36,961	3	24	361	(475)	0	0	0	(1,092)
Closing Fund Balance	808	69,199	95,550	89	33	8,427	4,096	0	6,501	0	86,680

	STATE LOTTERY (20900-20949)	STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	MISCELLANEOUS OPERATING GRANTS (25300-25399)	SEWAGE TREATMENT PROGRAM MANAGEMENT AND ADMINISTRATION (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)	CONSERVATION (21150-21199)	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21200-21249)
Opening Fund Balance	360,880	28,748	33,323	(15,504)	(971,747)	(136,957)	(263,585)	(4,221)	(1,674)	74,061	33,983
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,456,961	6,730	0	100,011	43,998	392	4,255	900	77,111	46,792	57,976
Federal Grants	0	650	0	2,147,358	52,601,243	3,157,921	1,625,963	0	0	0	0
Total Receipts	3,456,961	7,380	0	2,247,369	52,645,241	3,158,313	1,630,218	900	77,111	46,792	57,976
Disbursements:											
Local Assistance	3,684,200	0	276,571	2,136,678	49,638,247	2,572,315	1,315,089	0	0	0	0
State Operations	40,335	2,500	0	61,489	989,655	522,027	268,956	432	65,567	24,483	13,559
General State Charges	11,833	0	0	14,217	116,566	51,399	46,769	266	25,507	13,355	7,718
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,736,368	2,500	276,571	2,212,384	50,744,468	3,145,741	1,630,814	698	91,070	37,838	21,277
Other Financing Sources (Uses):											
Transfers from Other Funds	18,000	0	356,750	0	0	0	11,517	0	19,157	75	19,006
Transfers to Other Funds	(4,940)	0	0	(34,985)	(2,084,624)	(12,572)	(10,921)	0	(10,751)	(1,895)	(49,306)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	13,060	4,880	356,750	(34,985)	(2,084,624)	(12,572)	596	202	8,406	(1,820)	(30,300)
Change in Fund Balance	(266,347)	80,179	80,179	0	(183,851)	0	0	202	(5,553)	7,134	6,399
Closing Fund Balance	94,533	33,628	113,502	(15,504)	(1,155,598)	(136,957)	(263,585)	(4,019)	(7,227)	81,195	40,382

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(Thousands of dollars)

	TRAINING AND EDUCATION PROGRAMS OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FUND FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT LOAN FUND FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)	COMBINED NON- EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)
Opening Fund Balance	3,828	9,747	537	115,463	(27,665)	70	11,894	263,829	466	0	1
Receipts:											
Taxes	0	0	0	2,476,921	0	0	0	0	0	0	0
Miscellaneous Receipts	48,496	9,600	28	17,500	43,200	0	1,719	344,024	115	75	0
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	48,496	9,600	28	2,494,421	43,200	0	1,719	344,024	115	75	0
Disbursements:											
Local Assistance	0	0	0	2,468,666	0	0	0	0	0	0	0
State Operations	33,684	12,700	91	4,031	22,647	0	950	0	59	75	0
General State Charges	13,505	200	0	2,197	12,537	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	47,189	12,900	91	2,474,894	35,184	0	950	0	59	75	0
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	37,319	0	0	0	0	0	0	0
Transfers to Other Funds	0	0	(7)	(6,401)	(6,404)	0	0	(396,516)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	(7)	30,918	(6,404)	0	0	(396,516)	0	0	0
Change in Fund Balance	1,307	(3,300)	(70)	50,445	1,612	0	769	(52,492)	56	0	0
Closing Fund Balance	5,135	6,447	467	1,653,908	(26,053)	70	12,663	211,337	522	0	1
	ARTS CAPITAL REVOLVING (21850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-22499)	COURT FACILITIES INCENTIVE AID (22500-22549)	EMPLOYMENT TRAINING (22550-22599)	STATE UNIVERSITY INCOME (22650-22699)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	LAKE GEORGE PARK TRUST (22750-22799)	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT AND MOTOR VEHICLE THEFT AND INSURANCE FRAUD PREVENTION (22800-22849)	NEW YORK GREAT LAKES PROTECTION (22850-22899)	FEDERAL REVENUE MAXIMIZATION CONTRACT (22900-22949)	HOUSING DEVELOPMENT (22950-22999)
Opening Fund Balance	947	1,139,528	19,201	51	1,543,169	9,995	70	24,915	355	24	10,277
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	60	2,448,810	150	0	4,723,141	108,724	1,208	123,625	160	0	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	60	2,448,899	150	0	4,723,141	108,724	1,208	123,625	160	0	900
Disbursements:											
Local Assistance	98	796,122	110,900	0	0	0	0	4,237	0	0	852
State Operations	0	1,299,499	1,900	0	5,972,984	6,471	972	9,240	155	0	0
General State Charges	0	409,723	800	0	517,688	0	447	0	55	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	98	2,505,344	113,600	0	6,490,672	6,471	1,419	13,477	210	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	688,525	112,000	0	1,919,085	0	0	0	0	0	0
Transfers to Other Funds	0	(404,097)	(3,517)	0	(230,149)	(102,230)	0	(113,820)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	284,428	108,483	0	1,688,936	(102,230)	0	(113,820)	0	0	0
Change in Fund Balance	(38)	227,983	(4,967)	0	(78,595)	23	(211)	(3,672)	(50)	0	48
Closing Fund Balance	909	1,367,511	14,234	51	1,464,574	10,018	(141)	21,243	305	24	10,325

CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2020
(thousands of dollars)

	NYS DOT HIGHWAY SAFETY PROGRAM (23000-23049)	VOCATIONAL REHABILITATION (23050-23099)	DRINKING WATER PROGRAM MANAGEMENT AND ADMINISTRATION (23100-23149)	NEW YORK CITY COUNTY CLERKS' OPERATIONS OFFSET (23150-23199)	JUDICIARY PROCESSING DATA OFFSET (23200-23249)	CITY UNIVERSITY TUITION REIMBURSEMENT (23250-23449)	US OLYMPIC COMMITTEE/ LAKELAND OLYMPIC TRAINING (23500-23549)	INDIGENT LEGAL SERVICES (23550-23599)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (25950-25999)
Opening Fund Balance	(12,683)	31	(5,351)	(28,280)	37,796	190,533	183	313,697	141,983	34,816	(524)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	100	0	30,100	47,500	99,130	85	202,662	53,840	15,866	0
Federal Grants	0	0	0	0	0	0	0	0	306,741	0	7,780
Total Receipts	3,068	100	0	30,100	47,500	99,130	85	202,662	360,581	15,866	7,780
Disbursements:											
Local Assistance	0	20	0	0	0	0	0	150,756	9,339	0	7,780
State Operations	3,449	25	0	25,000	26,400	96,477	75	29,137	212,779	2,685	0
General State Charges	0	0	0	12,400	10,000	150	0	2,103	87,894	1,173	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	3,449	45	0	37,400	36,400	96,627	75	181,996	310,012	3,858	7,780
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	3,500	0	0	0	28,000	0	0	0
Transfers to Other Funds	0	(32)	(1,108)	0	0	0	0	0	(50,569)	(11,583)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(32)	(1,108)	3,500	0	0	0	28,000	(50,569)	(11,583)	0
Change in Fund Balance	(381)	23	(1,108)	(3,800)	11,100	2,503	10	48,666	0	425	0
Closing Fund Balance	(13,064)	54	(6,459)	(32,080)	48,896	193,036	193	362,363	141,983	35,241	(524)

	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23899)	FANTASY SPORTS (24950-24999)	HEALTH CARE TRANSFER (24850-24899)	CHARITABLE GIFTS TRUST FUND (24900-24949)	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	(2,015)	31,687	8,152	1,810	13,433	524,619	93,599	0	3,842,405	0	3,842,405
Receipts:											
Taxes	0	0	4,000	400	0	0	0	0	5,881,970	0	5,881,970
Miscellaneous Receipts	0	206,259	2,000	1,700	5,000	468,000	1,820	0	18,391,088	0	18,391,088
Federal Grants	165,374	0	0	0	0	0	0	0	60,013,119	0	60,013,119
Total Receipts	165,374	206,259	6,000	2,100	5,000	468,000	1,820	0	84,286,177	0	84,286,177
Disbursements:											
Local Assistance	134,762	203,988	1,800	0	0	0	0	47,000	72,411,797	0	72,411,797
State Operations	22,354	3,523	4,371	3,754	708	0	0	1,000	9,883,000	0	9,883,000
General State Charges	8,258	1,346	1,160	621	292	0	0	0	1,382,969	0	1,382,969
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	165,374	208,857	7,331	4,375	1,000	0	0	48,000	83,677,766	0	83,677,766
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	4,626	0	0	0	0	0	3,449,773	(1,064,991)	2,384,782
Transfers to Other Funds	0	(17,714)	(150)	(60)	(18,000)	(678,619)	(95,211)	0	(4,567,894)	1,064,991	(3,502,903)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	(17,714)	4,476	(60)	(18,000)	(678,619)	(95,211)	0	(1,118,121)	0	(1,118,121)
FY 2020 Savings Plan	0	0	0	0	0	0	0	(327,000)	(327,000)	0	(327,000)
Change in Fund Balance	0	(20,312)	3,145	(2,335)	(14,000)	(210,619)	(93,391)	279,000	(182,710)	0	(182,710)
Closing Fund Balance	(2,015)	11,375	11,297	(525)	(567)	314,000	208	279,000	3,659,695	0	3,659,695

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Distb.	Closing Balance
019.20000-Ment Hyg Gifts	806	0	72	0	0	0	72	0	0	72	0	0	0	0	0	0	72	806
020.20100-Combined Exp Tr	(35)	0	(159,300)	0	0	159,300	0	0	0	0	0	0	0	0	0	0	0	(35)
020.20101-Planting Fields	1,540	0	350	0	0	0	350	216	0	48	7	139	0	0	0	0	410	1,480
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	53	0	5	0	0	0	5	0	5	5	0	0	0	0	0	0	5	53
020.20109-Helen Hayes Hsp	61	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	26
020.20110-Oxford Donation	320	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	436
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	107	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	104
020.20113-Donations-Batav	16	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	(5)
020.20114-Montrose Donati	195	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	194
020.20116-IBR Genetic Cou	(1)	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	(1)
020.20118-Tech Transfer	(1)	0	50	0	0	0	50	0	0	24	0	0	0	0	0	0	24	25
020.20120-Spec Events	2,660	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	2,798
020.20123-L.M. Josephthal	49	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	49
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,493	0	744	0	0	0	744	44	0	518	1	28	0	0	0	0	591	1,646
020.20127-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	4,253	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	4,125
020.20129-NYSCB Gift& Beq	180	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	17	163
020.20130-St Transm Money	20,140	0	230	0	0	0	230	0	0	0	0	0	0	0	0	0	0	20,370
020.20142-Youth Grants &	281	0	4	0	0	0	4	43	0	418	0	17	0	0	0	0	478	(193)
020.20143-Alzheimers Dis	1,586	0	270	0	0	270	540	820	0	0	0	0	0	0	0	0	820	1,306
020.20144-Local Gov Comm	148	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	153
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	436	0	10	0	0	0	10	0	0	22	0	0	0	0	0	0	22	424
020.20150-Emergency Serv	17,526	0	5,688	0	0	0	5,688	3,101	127	93	4	30	0	0	0	0	3,355	19,859
020.20151-Batavia-Charlot	348	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	345
020.20152-Rome-Gifts And	86	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	87
020.20155-Br Can Res & Ed	6,919	0	540	0	0	500	1,040	2,580	0	0	0	0	0	0	0	0	2,580	5,379
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMNA Youth Prog	107	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	107
020.20166-Erie Canal Muse	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,447	0	400	0	0	0	400	0	0	177	0	0	0	0	0	0	177	1,670
020.20176-Misc. Gifts Acc	(1,051)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,051)
020.20178-Multiple Sclero	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.20182-Parole Ofcr Mem	43	0	0	0	0	200	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	2,583	0	240	0	0	0	440	520	0	0	0	0	0	0	0	0	520	2,503
020.20185-Percy T Phillip	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
020.20192-Missing Children	75	0	407	0	0	0	407	256	0	142	0	0	0	0	0	0	398	84
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	545	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	545
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	153	0	100	0	0	0	100	14	0	90	1	9	0	0	0	0	114	139
020.201HH-OWH Grant & Beq	73	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73
020.201MH-RPMI Schoellkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)

**CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)**

FY 2020
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Distb.	Closing Balance	
020.201XK-Grants Account	1,605	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	549	1,616	1,489	
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12	
020.201ZS-Grants	312	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	612	
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)	
020.20200-NY Teen Hth Ed	76	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	76	
020.20201-Veterans Rem Ce	1,257	0	75	0	0	0	75	75	0	0	0	0	0	0	0	0	0	1,332	
020.20205-Mental Illness	182	0	58	0	0	0	58	75	0	0	0	0	0	0	0	0	0	165	
020.20206-Women's Cancer	195	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	75	100	
020.20209-Combined Gifts	2,301	0	222	0	0	0	222	0	0	225	0	0	0	0	0	0	225	2,298	
023.20300-N Y Int Lawyers	58,589	0	59,000	0	0	0	59,000	20,000	840	619	29	0	551	0	0	0	22,039	95,550	
024.20350-NYS Archvs Pine	85	0	318	0	0	300	618	0	292	119	10	0	186	0	0	8	615	88	
025.20401-Child Performer	12	0	120	0	0	300	420	0	232	9	6	0	149	0	0	0	396	36	
050.20451-Tuition Reimb	4,981	0	705	0	0	0	705	0	0	200	0	0	101	0	0	23	324	5,362	
050.20452-Voc School Supe	3,087	0	4,200	0	0	0	4,200	0	1,755	1,022	60	0	1,121	0	0	282	4,220	3,067	
052.20501-Loc Govt Record	4,569	0	9,233	0	0	0	9,233	5,056	1,745	350	60	0	1,114	0	0	1,383	9,708	4,094	
053.20550-Sch Tax Relief	(1)	2,175,995	0	0	0	0	2,175,995	2,175,995	0	0	0	0	0	0	0	0	2,175,995	(1)	
054.20601-Chatter School	6,502	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,502	
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	1,901	142	65	0	1,214	0	0	0	3,322	(1)	
061.20801-Tobacco Cntr &	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
061.20803-Medicaid Fraud	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
061.20804-Medical Assist.	(1)	0	0	0	0	0	0	4,137,266	0	0	0	0	0	0	0	0	4,137,266	(1)	
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
061.20807-HCRA Program	(1,148)	0	0	0	0	0	0	369,558	0	8,209	0	0	0	0	0	0	377,767	(978,915)	
061.20809-EMS Training	(2)	0	0	0	0	0	0	10,570	2,118	1,381	73	0	1,353	0	0	131	15,626	(15,628)	
061.20810-Child Health In	(2)	0	0	0	0	0	0	424,879	808	8,630	304	0	714	0	0	0	435,335	(435,335)	
061.20811-HCRA Undistribu	1	741,000	5,455,398	0	0	0	6,196,398	0	0	0	0	0	0	0	0	210,097	210,097	5,986,302	
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
061.20813-Ad Home Res Co	0	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(60)	
061.20814-Primary Care In	(3)	0	0	0	0	0	0	348	0	0	9	0	183	0	0	158	698	(701)	
061.20815-Prev Coll Monit	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)	
061.20817-Indigent Care	1,148	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(890,352)	
061.20818-EPIC Premium	4	0	61,240	0	0	0	61,240	1,17,471	677	9,768	23	0	473	0	0	0	128,412	(67,168)	
061.20819-Health Occup De	0	0	0	0	0	0	0	0	649	25	22	0	415	0	0	0	1,111	(1,111)	
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
061.20821-Health Care Del	(3)	0	0	0	0	0	0	0	231	2	8	0	148	0	0	0	389	(392)	
061.20822-Cig Task Force	0	0	0	0	0	0	0	2,419	206	0	64	0	1,487	0	0	0	4,176	(4,176)	
061.20823-NYSOH	0	0	0	0	0	0	0	5,663	39,501	0	3,255	0	3,358	0	0	0	51,777	(51,777)	
073.20851-Transit Authori	60,749	377,782	112,872	0	0	51,394	542,048	545,267	0	0	0	0	0	0	0	0	545,267	57,530	
073.20852-Railroad Account	10,788	66,727	19,802	0	0	9,216	95,745	95,243	0	0	0	0	0	0	0	0	95,243	11,290	
073.20853-DMTF	16,233	39,145	11,815	0	0	5,274	56,234	54,609	0	0	0	0	0	0	0	0	54,609	17,858	
160.20901-Education - New	239,190	0	2,452,000	0	0	18,000	2,470,000	2,709,000	0	0	0	0	0	0	0	0	2,709,000	190	
160.20902-Lottery Adm New	64,512	0	42,921	0	0	0	42,921	0	17,772	17,736	488	0	10,151	0	0	4,274	50,421	57,012	
160.20903-VLT Administrat	24,905	0	12,040	0	0	0	12,040	975,200	2,978	1,280	81	0	1,682	0	0	666	6,687	30,258	
160.20904-VLT - Education	32,274	0	950,000	0	0	0	950,000	0	0	2,500	0	0	0	0	0	0	975,200	7,074	
221.20950-Comb Student Ln	28,750	0	6,730	650	0	0	7,380	0	0	0	0	0	0	0	0	0	2,500	33,630	
225.23651-Mobility Tax Tr	1,005	0	0	0	0	244,250	244,250	244,250	0	0	0	0	0	0	0	0	244,250	1,005	
225.23652-MTA Aid Trust	32,321	0	0	0	0	0	0	32,321	0	0	0	0	0	0	0	0	32,321	0	
225.23653-NY Cen Bus Dis	0	0	0	0	0	112,500	112,500	0	0	0	0	0	0	0	0	0	0	112,500	0
300.21002-Encon Adm In Acc	(4,222)	0	900	0	0	0	900	0	418	14	0	0	266	0	0	0	698	(4,020)	
301.21051-EnCon Energy Ef	77	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77	
301.21052-EnCon-Seized As	79	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	99	
301.21053-Wst Tire Migr/Re	30,650	0	19,200	0	0	0	19,200	0	12,967	1,925	416	0	8,200	0	0	0	23,508	26,342	

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Distb.	Closing Balance
301.21054-Oil & Gas Accou	95	0	108	0	0	0	108	0	0	118	0	0	0	0	0	0	118	85
301.21055-Marine/Coastal	192	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	205
301.21060-Indirect Charge	1,797	0	0	0	0	10,157	10,157	7,471	7,471	2,853	160	0	3,125	0	0	2,085	15,694	(3,740)
301.21061-Hazardous Sub B	1,190	0	350	0	0	0	350	212	212	38	8	0	101	0	0	0	359	1,181
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21065-Federal Grant I	(1,671)	0	0	0	0	9,000	9,040	1,250	1,250	168	33	0	639	0	0	1,041	1,922	(3,593)
301.21064-Utility Envir R	654	0	40	0	0	0	40	8,492	8,492	168	0	0	0	0	0	433	9,701	(7)
301.21066-Low Level Radio	(4,328)	0	2,811	0	0	0	2,811	1,438	1,438	192	47	0	890	0	0	0	3,000	(4,517)
301.21067-Recreation Acco	4,431	0	10,200	0	0	0	10,200	2,367	2,367	862	95	0	1,088	0	0	455	4,867	9,764
301.21077-Public Safety R	10	0	30	0	0	0	30	0	0	38	0	0	0	0	0	0	38	2
301.21080-Encon Magazine	877	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,268
301.21081-Environmental R	(47,411)	0	28,600	0	0	0	28,600	13,095	13,095	2,436	427	0	7,154	0	0	6,187	29,299	(48,110)
301.21082-Natural Resourc	(13,354)	0	4,811	0	0	0	4,811	577	577	397	19	0	369	0	0	400	1,762	(10,305)
301.21083-UST-Trust Recov	460	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	472
301.21084-Mined Land Recl	3,777	0	4,210	0	0	0	4,210	2,171	2,171	117	72	0	1,381	0	0	0	3,741	4,246
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2102Z-Monitors-Aggre	20,807	0	6,000	0	0	0	6,000	4,274	4,274	533	130	0	2,560	0	0	0	7,497	19,310
302.21150-Conservation	5,791	0	43,222	0	0	75	43,297	20,440	20,440	2,108	641	0	12,670	0	0	1,820	37,679	11,409
302.21151-Marine Resource	3,831	0	1,480	0	0	0	1,480	1,077	1,077	0	33	0	633	0	0	0	1,743	3,568
302.21152-Migratory Bird	33	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	(2)
302.21153-Guides License	225	0	55	0	0	0	55	63	63	6	2	0	34	0	0	0	105	175
302.21154-Fish And Game T	64,149	0	2,000	0	0	0	2,000	0	0	75	0	0	0	0	0	75	75	66,074
302.21155-Surf Clam/Quaho	(3)	0	0	0	0	0	0	32	32	35	1	0	18	0	0	0	86	(89)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27
302.21158-OUTDOOR REC & T	26	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	26
303.21201-Oil Spill - DAC	4	0	280	0	0	705	985	667	667	58	23	0	427	0	0	0	1,175	(186)
303.21202-Oil Sp Relocain	2	0	0	0	0	301	301	191	191	8	7	0	122	0	0	0	328	(25)
303.21203-Oil Spill - DEC	(2)	0	0	0	0	18,000	18,000	11,292	11,292	951	362	0	7,169	0	0	0	19,774	(1,776)
303.21204-Oil Spill - DAC	33,979	0	43,996	0	0	0	43,996	0	0	0	0	0	0	0	0	35,606	35,606	42,369
303.21205-License Fee Sur	1	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	1
305.21251-OSH Trng & Educ	2,567	0	26,357	0	0	0	26,357	10,802	10,802	7,201	277	0	7,172	0	0	0	25,452	3,472
305.21252-OSHA Inspection	1,257	0	22,139	0	0	0	22,139	11,875	11,875	3,224	305	0	6,333	0	0	0	21,737	1,659
306.21301-CSF Regis Fee	9,748	0	9,600	0	0	0	9,600	500	500	12,200	0	0	200	0	0	0	12,900	6,448
307.21351-Equipment Loan	540	0	28	0	0	0	28	0	0	91	0	0	0	0	0	7	98	470
313.21401-Pub Tran Sytms	14,153	98,204	0	0	0	16,144	114,348	112,229	660	195	20	0	436	0	0	0	113,540	14,961
313.21402-Metropolitan Ma	101,209	2,378,717	17,500	0	0	21,175	2,417,392	2,356,437	2,664	410	82	0	1,761	0	0	6,401	2,367,755	150,846
313.21403-Urban Mass Tran	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(27,258)	0	9,200	0	0	0	9,200	2,602	2,602	147	85	0	1,662	0	0	0	4,496	(22,554)
314.21452-Mobile Source	(414)	0	34,000	0	0	0	34,000	16,455	16,455	2,851	507	0	10,875	0	0	6,404	37,092	(3,506)
318.21501-Housing Reserve	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
321.21551-Legis Comp R&D	11,829	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	12,596
321.21552-Demographics/Re	63	0	2	0	0	2	2	0	0	0	0	0	0	0	0	0	0	65
330.40350-S U Dorm Income	263,825	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	396,516	396,516	211,333
332.21651-Brummer Award	39	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	39
332.21652-William Vorce F	237	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	237
332.21653-Rocky Pocanico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	946	0	60	0	0	0	60	98	98	0	0	0	0	0	0	0	98	908
340.22501-CFIA Undistrib	19,201	0	150	0	0	112,000	112,150	110,900	1,800	100	0	0	800	0	0	3,517	117,117	14,234

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2020

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Dist.	Closing Balance
341.22552-DFY-NYC Summer	52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52
345.22652-L I Vets Home	25,659	0	47,474	0	0	0	47,474	0	30,464	18,719	0	0	0	0	0	0	48,183	23,950
345.22653-S U Genl IFR	863,399	0	701,028	0	0	31,487	732,515	0	193,462	384,859	0	0	15,523	0	0	79,460	673,304	922,610
345.22654-S U Inc Offset	(20,179)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(20,179)
345.22655-Gen Rev Offset	154,396	0	1,742,595	0	0	1,185,370	2,927,965	0	2,437,897	388,032	0	0	563	0	0	107,889	2,934,381	147,980
345.22656-S U Hosp Ops	310,162	0	2,049,215	0	0	662,461	2,711,676	0	1,297,724	1,083,777	0	0	497,117	0	0	42,800	2,921,418	100,420
345.22657-SUNY Stabilizat	76,216	0	0	0	0	39,767	39,767	0	400	600	0	0	0	0	0	0	1,000	114,983
345.22658-State Univ Hosp	15,083	0	51,244	0	0	0	51,244	0	48,671	3,403	0	0	0	0	0	0	52,074	14,253
345.22659-SUNY Tuition Re	119,826	0	131,585	0	0	0	131,585	0	58,043	26,933	0	0	4,485	0	0	0	89,461	161,950
345.22660-Brigde Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	9,993	0	108,724	0	0	0	108,724	0	6,471	0	0	0	0	0	0	102,230	108,701	10,016
349.22751-Lk George Park	72	0	1,208	0	0	0	1,208	0	701	250	21	0	447	0	0	0	1,419	(139)
354.22901-MVIFA	3,636	0	4,800	0	0	0	4,800	4,237	136	4	0	0	0	0	0	1,400	5,777	2,659
354.22902-St Police MV En	21,279	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	18,584
355.22851-Great Lakes Pro	351	0	160	0	0	0	160	0	82	70	3	0	55	0	0	0	210	301
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,276	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,324
362.23001-DOT Comm Veh Sa	(12,683)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(13,064)
365.23051-Vocatl Rehabil	33	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	56
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,460)
368.23151-NYC County Cler	(28,280)	0	30,100	0	0	3,500	33,600	0	21,600	3,400	0	0	12,400	0	0	0	37,400	(32,080)
369.23201-Jud Data Proc O	37,795	0	47,500	0	0	0	47,500	0	26,400	0	0	0	10,000	0	0	0	36,400	48,895
377.23267-CUNY Stabilizn	5,059	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,059
377.23270-CUNY Tuin Reim	91,511	0	5,108	0	0	0	5,108	0	3,493	0	0	0	0	0	0	0	3,493	93,126
377.23271-CUNY Inc Reimb	93,963	0	94,022	0	0	0	94,022	0	45,101	47,883	0	0	150	0	0	0	93,134	94,851
385.23501-Lk Placid Train	183	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	193
390.23551-Indigent Legal	313,695	0	202,662	0	0	28,000	230,662	150,756	3,298	25,723	116	0	2,103	0	0	0	181,996	362,361
482.23601-UJ Sp Int & Pen	34,816	0	15,866	0	0	0	15,866	0	1,643	1,000	42	0	1,173	0	0	11,583	15,441	35,241
501.23701-Commercial Gami	43,321	0	198,000	0	0	0	198,000	200,600	0	0	0	0	0	0	0	17,712	218,312	23,009
501.23702-Comm Game Regul	(13,796)	0	4,871	0	0	0	4,871	0	2,236	1,223	64	0	1,346	0	0	2	4,871	(13,796)
501.23703-Prob Gambli Svcs	2,158	0	3,388	0	0	0	3,388	3,388	0	0	0	0	0	0	0	0	3,388	2,158
502.23750-Med Wairth Colle	2,851	1,800	0	0	0	0	1,800	1,800	0	0	0	0	0	0	0	0	0	4,651
502.23752-MMF - County Di	517	1,800	0	0	0	0	1,800	1,800	0	0	0	0	0	0	0	0	1,800	517
502.23753-MMF - Law Enfor	317	200	0	0	0	0	200	0	0	150	0	0	0	0	150	0	150	367
502.23754-MMF - Addictio	317	200	0	0	0	0	200	0	0	0	0	0	0	0	0	0	0	517
502.23755-Health Operatio	4,151	0	2,000	0	0	4,626	6,626	0	1,817	2,492	62	0	1,160	0	0	0	5,531	5,246
503.23800-Inter Recip Pos	1,283	0	1,200	0	0	0	1,200	0	435	427	15	0	278	0	0	60	1,215	1,268
503.23801-Hwy Use Tax Adm	467	400	500	0	0	0	900	0	181	202	6	0	111	0	0	0	500	867
503.23802-Cure Childhood	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
503.23806-NYS Secure Choi	0	0	0	0	0	0	0	0	361	2,116	11	0	232	0	0	0	2,720	(2,720)
503.23807-Military Fam Re	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23
504.24950-Fan Sports Educ	13,480	0	5,000	0	0	0	5,000	0	0	0	0	0	0	0	0	18,000	18,000	480
504.24951-Fan Sport Adm	(48)	0	0	0	0	0	0	0	480	214	14	0	292	0	0	0	1,000	(1,048)
506.24850-Hlth Care Trans	524,619	0	468,000	0	0	0	468,000	0	0	0	0	0	0	0	0	678,619	678,619	314,000
507.24900-Hlth Caritable	58,005	0	1,130	0	0	0	1,130	0	0	0	0	0	0	0	0	59,000	59,000	135
507.24901-Elem Sec Ed Cha	35,594	0	690	0	0	0	690	0	0	0	0	0	0	0	0	36,211	36,211	73

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	5,971	0	1,450	0	0	0	1,450	1,010	0	0	0	0	0	0	0	0	6,411
339.21902-S P A R C S	6,685	0	6,600	0	0	0	6,600	0	495	648	17	0	316	0	0	4,214	7,595
339.21904-Fire Prev/Code	41,967	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	41,967
339.21905-NYS Twy Police	(6,909)	0	63,312	0	0	0	63,312	0	37,886	25	0	0	25,401	0	0	0	(6,909)
339.21906-DMV Seiz Assets	118	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	118
339.21907-Mental Hygiene	(2,140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,140)
339.21909-M H Patient Inc	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.21911-Fin Cntrl Board	(747)	0	3,044	0	0	0	3,044	0	1,389	811	45	0	799	0	0	0	(747)
339.21912-Reg of Racing	(2,744)	0	12,647	0	0	0	12,647	0	6,809	5,577	171	0	1,755	0	0	458	(4,867)
339.21913-NY Metro Trans	(18,293)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(18,293)
339.21914-S U Constr Fund	111	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	111
339.21916-Nurses Aide Reg	1,040	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,040
339.21917-Med Frd Seized	240	0	238	0	0	0	238	0	0	238	0	0	0	0	0	0	240
339.21918-Child Care & Pr	2,077	0	425	0	0	0	425	100	0	0	0	0	0	0	0	0	2,402
339.21919-Cyber Sec Upgr	914	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	914
339.21920-Cert of Need	10,220	0	2,959	0	0	0	2,959	0	1,722	1,516	59	0	1,100	0	0	7,769	1,013
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Continuing Care	1,142	0	131	0	0	0	131	0	66	4	2	0	42	0	0	2	1,157
339.21923-DOL Fee Penalty	4,107	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	4,167	0	0	300	12,663
339.21924-Educ Museum	284	0	868	0	0	0	868	0	282	334	10	0	180	0	0	62	284
339.21925-Ns Hm Receiptship	2,936	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,961
339.21926-3rd Party Hlth	468	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	468
339.21927-Boating Noise L	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21928-I Love NY Ves	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.21929-Summer Sch AftS	77	0	684	0	0	0	684	0	111	528	4	0	22	0	0	0	96
339.21930-I Lve NY W Boat	96	0	245	0	0	0	245	0	130	25	4	0	84	0	0	0	98
339.21932-Snowmobile	6,141	0	6,150	0	0	0	6,150	3,850	111	363	9	0	72	0	0	0	7,886
339.21933-Tr Surplus Prop	5,314	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	4,737
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Pftnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	123,279	135,146	0	0	155	0	0	0	51,440
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21944-Radiology	3,722	0	6,000	0	0	0	6,000	3,000	703	687	30	0	453	0	0	1,350	3,499
339.21945-Crim Jus Improv	33,086	0	41,724	0	0	0	41,724	30,744	2,733	396	116	0	1,650	0	0	22,237	16,934
339.21948-Farm Prod Insp-	195	0	1,390	0	0	0	1,390	0	648	123	22	0	414	0	0	0	378
339.21950-FgprintID&Tech	44,758	0	15,000	0	0	0	15,000	0	0	2,515	0	0	0	0	0	28,523	28,720
339.21953-NY Fire Academy	354	0	468	0	0	0	468	0	278	314	9	0	239	0	0	0	(18)
339.21958-Domestic Awaren	100	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	104
339.21959-Environmental L	3,643	0	3,700	0	0	0	3,700	0	1,491	502	51	0	952	0	0	131	4,216
339.21960-HESC Ins Prem P	68,723	0	69,881	0	0	0	69,881	0	12,416	29,936	422	0	9,020	0	0	15,827	70,983
339.21961-Train Mgmt Eval	645	0	1,400	0	0	0	1,400	0	1,572	507	51	0	1,004	0	0	8	(1,097)
339.21962-Clin Lab Refnc	(9,328)	0	18,059	0	0	0	18,059	0	5,666	1,424	194	0	3,618	0	0	4,848	(7,019)
339.21964-Pub Emp Rel Brd	800	0	86	0	0	0	86	0	0	45	0	0	0	0	0	0	841
339.21965-Radio Hlth Prot	1,166	0	4,048	0	0	0	4,048	0	2,263	133	78	0	1,445	0	0	796	499
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	3,432	0	51	0	0	1,400	1,451	0	0	3,452	0	0	0	0	0	0	1,431

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatin Library	161	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	166
339.21969-Teacher Certif	7,231	0	6,600	0	0	0	6,600	0	3,400	643	117	0	2,171	0	0	537	6,963
339.21970-Banking Deprimt	24,672	0	100,832	0	0	0	100,832	0	50,110	12,609	1,542	0	33,164	0	0	0	28,079
339.21971-Cable TV Acct	3,958	0	3,130	0	0	0	3,130	0	1,361	109	47	0	869	0	0	0	4,702
339.21972-Econ Devel Asst	319	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	319
339.21973-Fin Svcs Seized	729	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	729
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	258	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	258
339.21977-Business and Li	54,985	0	86,443	0	0	0	86,443	0	17,146	10,607	529	0	11,317	0	0	55,674	46,155
339.21978-Indir Cost Reco	3,694	0	0	0	0	18,954	18,954	0	9,125	4,362	0	0	5,827	0	0	3,941	(607)
339.21979-High School Equ	1,348	0	225	0	0	0	225	0	0	225	0	0	0	0	0	10	1,338
339.21980-OTDA Program	1,733	0	0	0	0	500	500	0	0	1,085	0	0	128	0	0	0	1,020
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	250	0	13	0	0	9,000	9,013	0	3,822	2,181	131	0	2,440	0	0	1,343	(654)
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	2	0	15,896	0	0	0	15,896	0	10,386	5,820	0	0	0	0	0	0	(308)
339.21986-Seized Assets	5	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	7
339.21987-Spinal Injury	6,113	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	0	6,113
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	1,625	0	0	0	0	12,000	12,000	0	1,551	9,158	50	0	990	0	0	0	1,876
339.21990-OCTF Crime Forf	4,545	0	956	0	0	0	956	0	0	956	0	0	0	0	0	0	4,545
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	268	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	268
339.21993-Radon Detection	494	0	20	0	0	0	20	0	0	12	0	0	0	0	0	2	500
339.21994-Insurance Dept	149,049	0	276,166	0	0	0	276,166	58,111	103,642	37,301	3,195	0	68,660	0	0	0	154,306
339.21995-Workers' Compn	18,350	0	226,202	0	0	0	226,202	84,892	84,892	56,088	2,239	0	53,220	0	0	36,352	11,761
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	9,307	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	9,541
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wi	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219G-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Prblm Solv Cou	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF-Tran Fees Pernis	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	765	0	1,200	0	0	1,200	1,200	0	425	14	15	0	271	0	0	0	1,240
339.219YL-OGS Bldg Admin	18,160	0	10,000	0	0	10,000	10,000	0	0	1,119	0	0	0	0	0	0	27,041
339.219YN-OGS Std & Purch	11,140	0	5,660	0	0	5,660	5,660	0	858	1,831	28	0	548	0	3,000	0	10,535
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(3,750)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3,750)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	160	0	2,500	0	0	2,500	2,500	2,000	182	100	6	0	116	0	52	0	204
339.22003-Bell Jar Collec	0	0	1,875	0	0	1,875	1,875	0	689	124	20	0	427	0	0	0	615
339.22004-Ind & Util Serv	3,520	0	2,547	0	0	2,547	2,547	0	1,750	150	46	0	1,076	0	0	0	3,045
339.22008-Courts Special	964	0	2,400	0	0	2,400	2,400	0	0	2,500	0	0	0	0	0	0	864
339.22009-Asbestos Trng	(94)	0	330	0	0	330	330	0	226	2	8	0	144	0	0	0	(134)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	44,337	0	87,082	0	0	87,082	87,082	0	42,968	7,515	1,472	0	27,451	0	7,871	0	44,142
339.22012-Aty Licensing	6,447	0	30,000	0	0	30,000	30,000	0	18,200	6,400	0	0	8,400	0	0	0	3,447
339.22014-DSS Prov Recovs	195	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	195
339.22015-Crimes Against	560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	560
339.22017-Camp Smith Bill	(88)	0	197	0	0	197	197	0	161	9	4	0	9	0	0	0	(74)
339.22018-Fire Safe Cigar	0	0	1	0	0	1	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	2,240	0	20	0	0	20	20	0	0	0	0	0	0	0	0	20	2,240
339.22022-College Savings	18,490	0	813	0	0	813	813	0	198	75	18	0	150	0	0	0	18,862
339.22023-Discover Queens	22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	22
339.22024-Reven Arrearage	76,968	0	25,000	0	0	25,000	25,000	0	1,622	2,500	45	0	984	0	20,503	0	76,314
339.22025-Comm Svce Assis	8,490	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,490
339.22026-Cell Phone Towe	3,985	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,985
339.22027-Spec Conserv Ac	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
339.22028-State Central R	5,134	0	4,600	0	0	4,600	4,600	0	130	0	4	0	83	0	1,822	0	7,695
339.22029-Plant Industry	51	0	529	0	0	529	529	0	274	0	9	0	175	0	0	0	122
339.22032-Batavia School	(8,521)	0	9,600	0	0	9,600	10,500	0	5,364	628	195	0	3,426	0	0	512	(8,146)
339.22034-Investment Serv	1,813	0	4,038	0	0	4,038	4,038	0	2,070	673	64	0	1,231	0	0	0	1,813

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22035-Diabetes Resear	41	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	47
339.22037-Keep Kids Drug	62	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	71
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,832)	0	4,848	0	0	0	4,848	0	2,877	125	76	0	1,770	0	0	0	(1,832)
339.22040-Senate Recyclab	624	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	644
339.22041-Medicaid Fraud	22,535	0	13,810	0	0	0	13,810	0	6,920	2,423	198	0	4,269	0	0	0	22,535
339.22042-DED Marketing A	3,038	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	3,048
339.22044-Tug Hill Admin	140	0	38	0	0	0	38	0	29	3	0	0	0	0	10	0	136
339.22045-Settlement Enf	411	0	541	0	0	0	541	491	0	50	0	0	0	0	0	0	411
339.22046-Regulation of I	(90,856)	0	13,388	0	0	0	13,388	0	8,293	765	207	0	4,931	0	0	329	(91,993)
339.22047-NYS FLEX Spend	609	0	300	0	0	0	300	0	0	306	0	0	0	0	0	0	603
339.22050-Crime Victims B	5	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	5
339.22051-Ofc of Professi	41,808	0	55,352	0	0	0	55,352	0	22,770	11,283	781	0	14,541	0	13,299	0	34,486
339.22052-Armory Rental A	4,044	0	0	0	0	0	0	0	0	1,240	0	0	0	0	0	0	2,804
339.22053-Rome School	(1,824)	0	9,600	0	0	1,020	10,620	0	4,019	652	147	0	2,566	0	427	0	985
339.22054-Seized Assets	(4,456)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4,456)
339.22055-Traf Adjudicatin	(14,376)	0	44,500	0	0	0	44,500	0	18,099	8,605	617	0	13,234	0	2,288	0	(12,719)
339.22056-Fed Salary Shar	0	0	0	0	0	2,768	2,768	419	1,372	0	45	0	898	0	0	0	34
339.22057-Cook/Chill Acco	1,588	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,588
339.22060-Credential Srvs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22062-NYC Assessment	40,054	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	16,799	0	0	0	40,054
339.22063-Cultural Educat	(4,172)	0	28,362	0	0	0	28,362	0	11,622	6,592	408	0	7,421	0	2,947	0	(4,800)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	(39)	0	3,150	0	0	0	3,150	0	362	0	12	0	231	0	1,961	0	545
339.22067-Trans Regul Acc	10,109	0	0	0	0	0	0	0	0	0	0	0	0	0	2,282	0	7,827
339.22068-Cons Prot Act	2,018	0	91	0	0	0	91	0	0	67	0	0	156	0	0	0	1,886
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	31	0	0	0	0	0	0	0	235	7	8	0	150	0	95	0	31
339.22075-Funeral	2,219	0	470	0	0	0	470	0	0	0	0	0	0	0	0	0	2,194
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	31	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	31
339.22078-Local Services	1,222	0	1,153	0	0	0	1,153	0	722	50	19	0	373	0	0	0	1,211
339.22080-Adult Shelter	21,187	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	23,787
339.22081-QAA Earned Rev	408	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	408
339.22082-Family Pres Svc	3,223	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	4,109
339.22083-Electronic Bene	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
339.22084-Federal-Seized	0	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(38)
339.22085-DHCR Mortgage S	(13,242)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(13,827)
339.22086-OMH-Research OH	78	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	6
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	7,850	0	24,900	0	0	0	24,900	990	10,944	6,231	335	0	6,989	0	3,960	0	3,301
339.22089-Hway Const & Ma	1,793	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,918
339.22090-Housing Indirec	(1,836)	0	193	0	0	5,739	5,739	0	2,471	0	0	0	0	0	201	0	1,231
339.22091-Adult Home Qual	3,062	0	0	0	0	193	193	0	0	0	0	0	0	0	21	0	3,234
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	240	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	240

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22095-IG Szd Assets	102	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102
339.22096-Leg Svcs Assist	44,624	0	25,100	0	0	0	25,100	15,100	0	0	0	0	0	0	0	17,080	37,544
339.22097-Loc Pub Hlth	7,699	0	84	0	0	0	84	0	295	2	10	0	189	0	0	59	7,228
339.22099-Voting Mach Exa	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.2202DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(3,789)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,584	0	0	489	(3,737)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22103-Vital Records M	8,581	0	4,840	0	0	0	4,840	0	619	438	21	0	395	0	0	4,687	7,261
339.22104-CHCCDP Transfer	36	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,067	0	210	0	0	0	210	0	0	232	0	0	0	0	0	0	1,045
339.22109-Conference & Sp	129	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	129
339.22110-Assisted Living	1,935	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,935
339.22111-OCFS Program	381	0	0	0	0	0	0	0	0	645	0	0	0	0	0	0	(264)
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	38	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	38
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	8,389	0	74,235	0	0	0	74,235	0	23,125	35,595	747	0	14,768	0	0	0	8,389
339.22118-Animal Populati	443	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	431
339.22119-Love Your Libra	105	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	111
339.22120-DISTINCTIVE PLA	0	0	0	0	0	0	0	0	15	0	1	0	9	0	0	0	(25)
339.22122-Local Wireless	120	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	120
339.22123-Pub Safe Commun	84,786	0	137,000	0	0	0	137,000	25,668	27,359	33,662	0	0	0	0	0	33,754	101,343
339.22124-Cuba Lake Mgmt	164	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	158
339.22126-St Justice Inst	71	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	71
339.22128-Med Reimb Acct	1,438	0	1,500	0	0	0	1,500	1,000	0	0	0	0	0	0	0	0	1,938
339.22130-Low Inc Housing	3,352	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	812	0	0	150	3,687
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	56	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	56
339.22133-Procure Op News	259	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	84
339.22134-OVS RESTITUTION	672	0	593	0	0	0	593	0	473	120	0	0	0	0	0	0	672
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	591	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	531
339.22137-Pet Dealer	21	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	53
339.22138-Auth Bdgt Office	1,215	0	2,088	0	0	1,826	3,914	0	989	254	30	0	654	0	0	45	3,157
339.22139-Patient Safety	3,883	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,883
339.22140-Helen Hayes Hos	10,401	0	3,115	0	0	59,583	62,698	0	35,356	21,220	21	0	2,423	0	0	1,585	12,494
339.22141-NYS Veterans	(483)	0	350	0	0	30,837	31,187	0	18,399	8,735	12	0	7,157	0	0	392	(3,991)
339.22142-NYS Home-Vetera	330	0	120	0	0	23,669	23,789	0	15,422	6,313	9	0	182	0	0	581	1,612
339.22143-WNY Vets Home	565	0	55	0	0	12,708	12,763	0	8,738	4,196	5	0	94	0	0	132	163
339.22144-Montrose S V H	2,561	0	30	0	0	27,785	27,815	0	19,182	7,742	11	0	216	0	0	295	2,930
339.22145-DOH Hospital Ho	337	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	123,745	(217)
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	9,215	0	1,387	0	0	1,387	1,387	1,000	0	0	0	0	0	0	0	0	9,602
339.22149-Motor Fuel Qual	1,103	0	2,800	0	0	2,800	2,800	0	1,142	1,214	39	0	729	0	0	0	779
339.22150-Weights Measure	20	0	325	0	0	0	325	0	221	101	8	0	141	0	0	0	(126)
339.22151-Defer Comp Adm	(118)	0	820	0	0	0	820	0	381	187	23	0	243	0	0	0	(132)
339.22152-Hazard Abatemen	971	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	1,021

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22153-Education Stats	931	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	986
339.22154-Real Estate Fin	3,722	0	3,375	0	0	0	3,375	0	1,193	1,381	39	0	762	0	0	0	3,722
339.22156-NYC Rent Rev	6,148	0	62,862	0	0	0	62,862	0	30,749	8,300	1,579	0	23,400	0	10,115	0	(5,133)
339.22157-Medicaid Income	2,423	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,423
339.22158-Rent Revenue	108	0	550	0	0	0	550	0	380	0	10	0	125	0	0	0	143
339.22159-CSFP Salvage Ac	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
339.22161-ES Stem Cell Tr	(78)	0	0	0	0	30,555	30,555	0	468	25,882	14	0	301	0	510	0	3,302
339.22162-Systems & Tech	12,852	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	5,320	0	13,282
339.22163-Paron Services	5,824	0	62,416	0	0	0	62,416	0	29,112	26,592	0	0	1,626	0	3,992	0	6,918
339.22165-Trans Aviatn	1,727	0	4,410	0	0	0	4,410	0	0	4,709	0	0	0	0	0	0	1,428
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	369	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	369
339.22168-Tax Rev Arrear	4,026	0	0	0	0	1,500	1,500	0	0	1,500	0	0	0	0	0	0	4,026
339.22169-TSCR Account	135,066	0	475,278	0	0	0	475,278	160,668	0	0	0	0	0	0	303,771	0	145,905
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	2,137	0	39	0	0	2,087	2,126	0	0	3,384	0	0	0	0	0	0	879
339.22172-Undrgrnd Sfty T	3,351	0	110	0	0	0	110	0	0	0	0	0	0	0	175	0	3,286
339.22173-Vol Fire Rec&Re	1,083	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	1,083
339.22174-HAVA Match	1,389	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,389
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,142	0	9,000	0	0	0	9,000	9,560	397	11	14	0	253	0	76	0	(169)
339.22178-Crim Back Check	393	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	393
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22182-OWIG Adm Reimb	201	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	201
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	714	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	754
339.22186-Yth Fac PerDiem	1	0	17,000	0	0	0	17,000	0	0	0	0	0	0	0	0	0	17,001
339.22187-Provider Assess	416	0	931,000	0	0	0	931,000	931,000	0	0	0	0	0	0	0	0	416
339.22188-Fed Indirect Re	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22189-DOCS Asset Forf	222	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	222
339.22190-Conference&Sign	76	0	35	0	0	0	35	0	0	80	0	0	0	0	0	0	31
339.22191-Educ Assessment	7,853	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7,853
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,645	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,645
339.22196-C & F Qual Enhn	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVI Radia Dev	668	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	668
339.22198-HEP	55	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(245)
339.22199-Airport Securit	522	0	343	0	0	0	343	0	0	506	0	0	0	0	360	0	(1)
339.22202-SBCI Account	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	105	0	0	0	0	0	0	62	0	0	0	0	0	0	0	0	43
339.22206-Wholesale Mkt	7,203	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	6,503
339.22207-Tech Financing	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	54	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	54
339.22213-BOE Enforcement	297	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	297
339.22214-Fireworks Reven	1,184	0	320	0	0	0	320	0	143	0	5	0	95	0	0	0	1,261
339.22215-Delivery Transf	1,559	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,559
339.22217-Eq Sh DTF Just	77	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	77

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2020

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22218-Eq Sh DTF Treas	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.22231-Eq Sh DEC Justi	172	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	172
339.22233-Eq Shar-DMN Jus	144	0	112	0	0	0	112	0	0	112	0	0	0	0	0	0	144
339.22234-Eq Shar-DMN Tre	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
339.22235-Instt Accred	421	0	570	0	0	0	570	0	290	56	6	0	171	0	0	47	421
339.22238-Eq Sh PRK Treas	18	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	18
339.22239-Opioid Steward	567	0	34,000	0	0	0	34,000	0	0	0	0	0	0	0	0	34,000	567
339.22240-NYS Med Indmnty	0	0	1,541	0	0	0	1,541	0	910	0	50	0	581	0	0	0	0
339.22243-Securing Cities	0	0	0	0	0	360	360	0	0	0	0	0	0	0	0	0	360

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2020**
(thousands of dollars)

	STATE CAPITAL PROJECTS (80000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (80050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (80100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (80300-30349)	STATE PARK INFRASTRUCTURE (80350-30399)	PASSENGER FACILITY CHARGE (80400-30449)	ENVIRONMENTAL PROTECTION (80450-30499)	ENERGY CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (80600-30609)	PURE WATERS BOND (80620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (80630-30639)
Opening Fund Balance	0	(89,804)	145,596	11,592	(25,302)	15	119,984	164	668	3,328
Receipts:										
Taxes	0	1,301,825	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,600,348	1,400,334	0	500	159,175	0	79,650	0	0	0
Federal Grants	0	4,505	0	0	0	0	0	0	0	0
Total Receipts	4,600,348	2,706,664	0	500	159,175	0	198,750	0	0	0
Disbursements:										
Local Assistance	3,526,670	63,532	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	3,105,680	1,873,823	62,500	500	161,100	0	255,275	0	0	0
Total Disbursements	6,632,350	1,937,355	62,500	500	161,100	0	255,275	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	2,034,543	826,603	62,500	0	0	0	28,000	0	0	0
Transfers to Other Funds	(2,575)	(1,560,491)	0	0	0	0	0	(25)	(288)	(25)
Bond & Note Proceeds	0	0	0	0	0	0	0	25	288	25
Net Other Financing Sources (Uses)	2,031,968	(733,888)	62,500	0	0	0	28,000	0	0	0
Change in Fund Balance	(34)	35,421	0	(1,925)	(1,925)	0	(28,525)	0	0	0
Closing Fund Balance	(34)	(54,383)	145,596	11,592	(27,227)	15	91,459	164	668	3,328

	ENVIRONMENTAL PROTECTION BOND ACT (1972) (80640-30649)	REBUILD AND RENEW NEW YORK TRANSPORTATION BOND (80650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (80660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (80670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (80680-30689)	CLEAN WATER/ CLEAN AIR BOND (80690-30699)	FEDERAL CAPITAL PROJECTS (81350-31449)	FOREST EXPANSION BOND (81450-31459)	HAZARDOUS WASTE REMEDIAL (81500-31549)	SUBURBAN TRANSPORTATION BOND (81600-31609)
Opening Fund Balance	1,419	18,876	4,255	5,551	2,778	1,428	(504,674)	1,056	(96,650)	527
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,223,542	0	0	0
Total Receipts	0	0	0	0	0	0	2,223,542	10	103,250	0
Disbursements:										
Local Assistance	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,094,874	10	104,996	0
Total Disbursements	0	0	0	0	0	0	1,800,855	10	104,996	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Transfers to Other Funds	(615)	(3,046)	(25)	(3,608)	(25)	(3,714)	(406,449)	0	(25,200)	0
Bond & Note Proceeds	615	3,046	25	4,807	25	4,714	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	1,199	0	1,000	(406,449)	0	(9,700)	0
Change in Fund Balance	0	0	0	1,199	0	1,000	16,238	0	(11,446)	0
Closing Fund Balance	1,419	18,876	4,255	6,750	2,778	2,428	(488,436)	1,056	(108,096)	527

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2020**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31769)	HOUSING ASSISTANCE (31800-31869)	HOUSING PROGRAM (31950-31999)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32300-32399)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32400-32449)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32500-32599)
Opening Fund Balance	(21,348)	(12,942)	(177,077)	17,054	(12,346)	120,004	83,477	(18)	(470,121)	(193,029)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	21,889	0	613,894	1,000	0	62,500	26,058	0	274,322	258,453
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	21,889	0	613,894	1,000	0	62,500	26,058	0	274,322	258,453
Disbursements:										
Local Assistance	0	0	614,469	0	0	0	0	0	118,052	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	21,889	0	0	1,017	0	62,500	62,910	0	156,520	366,318
Total Disbursements	21,889	0	614,469	1,017	0	62,500	62,910	0	274,572	366,318
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	0	55,800	0	2,000	107,865
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	0	55,800	0	2,000	107,865
Change in Fund Balance	0	0	0	(17)	0	0	18,948	0	1,750	0
Closing Fund Balance	(21,348)	(12,942)	(177,077)	17,037	(12,346)	120,004	102,425	(18)	(468,371)	(193,029)

	SMART SCHOOLS BOND FUND (80710-30749)	NEW YORK STATE STORM RECOVERY (83000-83049)	DEDICATED INFRASTRUCTURE INVESTMENT (83050-83051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(88,664)	16,299	0	(1,137,904)	0	(1,137,904)
Receipts:							
Taxes	0	0	0	(1,000)	1,419,925	0	1,419,925
Miscellaneous Receipts	0	22,774	500,000	301	8,124,458	0	8,124,458
Federal Grants	0	0	0	500	2,228,547	0	2,228,547
Total Receipts	0	22,774	500,000	(199)	11,772,930	0	11,772,930
Disbursements:							
Local Assistance	0	(9,054)	247,286	0	5,266,936	0	5,266,936
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	(14,582)	1,229,970	0	8,545,300	0	8,545,300
Total Disbursements	0	(23,636)	1,477,256	0	13,812,236	0	13,812,236
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	1,010,957	0	4,144,343	(783,878)	3,360,465
Transfers to Other Funds	(375,000)	0	0	0	(2,381,086)	783,878	(1,597,208)
Bond & Note Proceeds	375,000	0	0	0	388,570	0	388,570
Net Other Financing Sources (Uses)	0	0	1,010,957	0	2,151,827	0	2,151,827
Change in Fund Balance	0	46,410	35,701	(199)	117,521	0	117,521
Closing Fund Balance	0	(42,254)	50,000	(199)	(1,025,383)	0	(1,025,383)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2020

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	28,431	0	0	36,349	0	0	64,780	0	64,780
Receipts:									
Taxes	0	29,860,276	0	0	1,028,900	3,783,750	34,672,926	0	34,672,926
Miscellaneous Receipts	225,855	0	3,494	143,702	0	500	373,551	0	373,551
Federal Grants	0	73,651	0	0	0	0	73,651	0	73,651
Total Receipts	225,855	29,933,927	3,494	143,702	1,028,900	3,784,250	35,120,128	0	35,120,128
Disbursements:									
Local Assistance	0	0	0	0	0	0	0	0	0
State Operations	895	40,185	0	2,471	0	2,155	45,706	0	45,706
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	10,766	4,803,595	4,494	26,158	0	300,916	5,145,929	0	5,145,929
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	11,661	4,843,780	4,494	28,629	0	303,071	5,191,635	0	5,191,635
Other Financing Sources (Uses):									
Transfers from Other Funds	1,497,517	2,509,605	1,000	31,260	0	0	4,039,382	(356,265)	3,683,117
Transfers to Other Funds	(1,711,711)	(27,599,783)	0	(154,028)	(1,028,899)	(3,481,179)	(33,975,600)	356,265	(33,619,335)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(214,194)	(25,090,178)	1,000	(122,768)	(1,028,899)	(3,481,179)	(29,936,218)	0	(29,936,218)
Change in Fund Balance	0	(31)	0	(7,695)	1	0	(7,725)	0	(7,725)
Closing Fund Balance	28,431	(31)	0	28,654	1	0	57,055	0	57,055

**CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2020**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(14,025)	0	69,626	0	0	0	69,626	0	29,251	15,423	945	0	18,680	0	0	1,866	66,165	(10,564)
323.55020-OGS Ent Contr	(63,104)	0	150,000	0	0	0	150,000	0	600	149,074	19	0	383	0	0	0	150,076	(63,180)
323.55022-Business Svc Ct	(8,053)	0	0	0	0	28,000	28,000	0	27,500	2,500	0	0	0	0	0	0	30,000	(10,053)
323.550ML-Broome St Mastie	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Svc	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	5,930	0	118,000	0	0	9,631	127,631	0	4,447	109,208	144	0	2,840	0	0	13,123	129,762	3,799
323.5502Y-OGS Bldg Admin	(9,093)	0	23,729	0	0	9,500	33,229	0	2,231	27,876	72	0	1,425	0	0	0	31,604	(7,468)
323.5502Z-OGS Sld & Purch	(3,127)	0	11,057	0	0	0	11,057	0	3,211	5,430	104	0	2,051	0	0	0	10,796	(2,866)
334.55050-Agencies Int Sv	(36)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(36)
334.55052-Archives R	342	0	1,654	0	0	0	1,654	0	920	114	27	0	504	0	0	0	1,565	431
334.55053-Fedl Single Aud	1,973	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	1,973
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,836	0	5,963	0	0	0	5,963	0	2,792	3,066	90	0	1,783	0	0	1,651	9,382	(683)
334.55056-EHS Occup Hlth	(119)	0	870	0	0	0	870	0	635	517	21	0	406	0	0	0	1,579	(828)
334.55057-Banking Service	(7)	0	500	0	0	49,190	49,690	0	0	49,690	0	0	0	0	0	0	49,690	(7)
334.55058-Cult Resources	(2,892)	0	7,329	0	0	0	7,329	0	1,429	4,082	42	0	865	0	0	284	6,702	(2,265)
334.55059-Neighbor Work P	(7,653)	0	8,200	0	0	1,000	9,200	0	0	9,200	0	0	0	0	0	0	9,200	(7,653)
334.55060-Auto/Print Chgb	608	0	17,927	0	0	0	17,927	0	8,419	4,345	0	0	5,092	0	0	0	17,856	679
334.55061-NYT Account	(2,339)	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	(2,339)
334.55062-State Data Ctr	(45,428)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(45,428)
334.55063-Human Svcs Tele	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7
334.55065-OPWDD Copy Cent	792	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	792
334.55066-Intrusion Detecl	(1,263)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,263)
334.55067-Dom Violence Cr	(166)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(166)
334.55068-Statewide Train	93	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	93
334.55069-Centralized Tec	(72,303)	0	45,837	0	0	11,460	57,297	0	0	41,402	0	0	0	0	0	0	41,402	(56,408)
334.55070-Learning Mgmt S	1,542	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,542
334.55071-Labor Cont Ctr	(254)	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	(133)
334.55072-HS Cont Ctr	1,061	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	5,419	0	0	0	17,629	1,149
334.55074-Civil Recoverie	(17,475)	0	16,700	0	0	0	16,700	0	10,740	0	361	0	5,599	0	0	0	16,700	(17,475)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Finanng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	71	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	101
347.55150-DFY Voc Educatn	69	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	94
394.55200-Joint Labor-Mgt	476	0	1,000	0	0	0	1,000	0	912	406	30	0	582	0	0	0	1,930	(454)
395.55251-Ex Dir Intl Aud	(8,722)	0	4,804	0	0	0	4,804	0	2,766	178	92	0	1,768	0	0	0	4,804	(8,722)
395.55252-CIO INFO TECH C	(22,366)	0	29,300	0	0	0	29,300	0	6,917	15,141	359	0	6,883	0	0	0	29,300	(22,366)
396.55300-Health Insuranc	(13,625)	0	14,121	0	0	8,083	22,204	0	9,150	2,555	296	0	5,843	0	0	3,428	21,272	(12,693)
396.55301-CS EBD Adm Reim	(1,155)	0	4,500	0	0	0	4,500	0	1,850	335	60	0	1,181	0	0	639	4,065	(720)
397.55350-Correctional In	(25,297)	0	49,000	0	0	20,773	69,773	0	25,700	34,831	535	0	12,011	0	0	357	73,434	(28,958)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2020**
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local Assistance	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DPY Commissary	97	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	97
325.50050-State Fair Rece	1,260	0	14,400	0	0	0	14,400	0	4,124	8,596	0	0	0	0	0	0	12,720	2,940
326.50100-DOCS Commissary	4,076	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	4,158
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	178	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	202
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	(274)	0	1,497	0	0	0	1,497	0	609	441	20	0	389	0	0	0	1,459	(236)
331.50319-Attica Emp Mess	1,939	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,993
331.50322-Asset Preservat	109	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	100
331.50323-Farm Program	607	0	629	0	0	0	629	0	123	465	2	0	63	0	0	0	643	593
331.50327-Emp Plz Gift Sh	(186)	0	500	0	0	0	500	0	105	331	3	0	67	0	0	0	506	(192)
331.50331-Retail Sales	0	0	1,250	0	0	0	1,250	0	750	500	0	0	0	0	0	0	1,250	0
331.50332-Golf	0	0	17,000	0	0	0	17,000	0	5,000	12,000	0	0	0	0	0	0	17,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	94	0	1,852	0	0	1,000	9,458	(1,366)
331.OGSSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	18	0	0	0	880	(6)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	3	0	64	0	0	0	172	(67)
351.50400-OWH Sheit Wikshs	2,159	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,159
352.50450-MR Shel Wikshop	1,735	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,635
353.50500-MH & MR Communi	4,581	0	2,200	0	0	0	2,200	0	383	1,172	10	0	238	0	0	0	1,803	4,978
353.50516-MR Community St	140	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	137
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-UJ Benefit Frnd	864,333	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	864,333
481.50651-Interest Assess	5,743	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,743
481.506F-S-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

STATE DEBT OUTSTANDING
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2019 THROUGH FY 2024
(thousands of dollars)

	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL OBLIGATION BONDS	<u>2,285,635</u>	<u>2,537,049</u>	<u>3,146,046</u>	<u>3,343,096</u>	<u>3,443,103</u>	<u>3,354,413</u>
REVENUE BONDS						
Personal Income Tax	34,903,575	38,343,885	41,377,487	43,065,736	44,457,365	46,487,694
Sales Tax	10,421,470	11,462,982	12,267,792	13,119,242	13,947,801	14,791,662
Dedicated Highway	1,389,245	1,285,390	899,150	838,250	773,445	701,475
Mental Health Services	269,150	225,805	181,340	137,760	103,130	75,180
SUNY Dorms	367,930	345,995	274,260	141,415	-	-
Health Income	147,680	128,610	108,620	88,320	68,455	48,350
LGAC	<u>1,195,000</u>	<u>821,675</u>	<u>533,170</u>	<u>303,265</u>	<u>178,250</u>	<u>86,170</u>
Subtotal Revenue Bonds	<u>48,694,050</u>	<u>52,614,342</u>	<u>55,641,819</u>	<u>57,693,988</u>	<u>59,528,446</u>	<u>62,190,531</u>
SERVICE CONTRACT	<u>2,244,736</u>	<u>1,632,768</u>	<u>1,230,733</u>	<u>1,032,341</u>	<u>891,185</u>	<u>725,065</u>
TOTAL STATE-SUPPORTED	<u>53,224,421</u>	<u>56,784,159</u>	<u>60,018,598</u>	<u>62,069,425</u>	<u>63,862,734</u>	<u>66,270,009</u>
BY PROGRAM AREA						
Economic Development & Housing	6,895,322	7,826,939	9,418,146	10,548,520	11,605,107	12,815,866
Education	17,621,672	18,382,274	18,979,654	19,099,000	19,061,805	19,195,277
Environment	2,708,519	3,030,566	3,427,479	3,645,432	3,967,341	4,301,815
Health & Mental Hygiene	4,096,915	4,610,226	5,123,945	5,473,408	5,854,318	6,219,525
State Facilities & Equipment	5,479,465	5,589,190	5,552,351	5,584,991	5,534,706	5,601,342
Transportation	15,227,528	16,523,288	16,983,851	17,414,808	17,661,207	18,050,015
LGAC	<u>1,195,000</u>	<u>821,675</u>	<u>533,170</u>	<u>303,265</u>	<u>178,250</u>	<u>86,170</u>
TOTAL STATE-SUPPORTED	<u>53,224,421</u>	<u>56,784,158</u>	<u>60,018,596</u>	<u>62,069,424</u>	<u>63,862,734</u>	<u>66,270,010</u>

STATE DEBT OUTSTANDING
FY 2019 THROUGH FY 2024
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
SUBTOTAL STATE-SUPPORTED	<u>53,224,421</u>	<u>56,784,159</u>	<u>60,018,598</u>	<u>62,069,425</u>	<u>63,862,734</u>	<u>66,270,009</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	165,020	135,480	104,395	77,635	59,300	40,055
Moral Obligation						
Housing Finance Agency	155	0	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	138,605	104,165	67,985	30,000	0	0
SUBTOTAL OTHER STATE	<u>303,780</u>	<u>239,645</u>	<u>172,380</u>	<u>107,635</u>	<u>59,300</u>	<u>40,055</u>
GRAND TOTAL STATE-RELATED	<u>53,528,201</u>	<u>57,023,804</u>	<u>60,190,978</u>	<u>62,177,060</u>	<u>63,922,034</u>	<u>66,310,064</u>

STATE DEBT SERVICE
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2019 THROUGH FY 2024
(thousands of dollars)

	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL OBLIGATION BONDS	302,123	149,125	247,057	306,556	318,983	337,790
REVENUE BONDS						
Personal Income Tax	4,134,874	2,879,645	4,272,005	4,936,780	5,149,897	5,226,988
Sales Tax	883,790	838,464	1,195,073	1,231,982	1,345,717	1,420,261
Dedicated Highway	199,622	448,181	106,482	107,368	111,433	115,011
Mental Health Services	112,061	10,767	9,874	8,266	(0)	-
Health Income	26,132	26,158	25,821	24,799	23,733	21,709
LGAC	423,548	300,915	251,140	136,511	98,489	57,415
Subtotal Revenue Bonds	5,780,027	4,504,130	5,860,395	6,445,706	6,729,268	6,841,384
SERVICE CONTRACT	616,415	492,675	499,430	317,392	265,571	276,157
TOTAL STATE-SUPPORTED	6,698,565	5,145,930	6,606,882	7,069,654	7,313,822	7,455,331
BY PROGRAM AREA						
Economic Development & Housing	1,213,303	815,534	914,229	1,198,998	1,305,665	1,355,528
Education	1,598,332	1,400,685	1,583,851	1,888,491	1,980,552	2,015,647
Environment	368,214	240,620	382,427	578,532	496,503	580,953
Health & Mental Hygiene	625,944	418,695	480,845	580,638	542,864	629,979
State Facilities & Equipment	638,191	498,178	769,393	644,997	701,297	629,741
Transportation	1,831,032	1,471,303	2,224,998	2,041,488	2,188,452	2,186,068
LGAC	423,548	300,915	251,140	136,511	98,489	57,415
TOTAL STATE-SUPPORTED	6,698,565	5,145,930	6,606,882	7,069,654	7,313,822	7,455,331

STATE DEBT SERVICE
FY 2019 THROUGH FY 2024
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
SUBTOTAL STATE-SUPPORTED	<u>6,698,565</u>	<u>5,145,930</u>	<u>6,606,882</u>	<u>7,069,654</u>	<u>7,313,822</u>	<u>7,455,331</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program ¹	12,312	4,587	4,603	4,599	0	0
Moral Obligation						
Housing Finance Agency	697	161	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	40,964	41,204	41,263	41,265	31,470	0
SUBTOTAL OTHER STATE	<u>53,973</u>	<u>45,952</u>	<u>45,866</u>	<u>45,864</u>	<u>31,470</u>	<u>0</u>
GRAND TOTAL STATE-RELATED	<u>6,752,538</u>	<u>5,191,882</u>	<u>6,652,748</u>	<u>7,115,519</u>	<u>7,345,292</u>	<u>7,455,331</u>

¹ Debt service in the Secured Hospital Program that is assumed to be paid by the State is captured in the State-supported category.

STATE DEBT ISSUANCES
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2019 THROUGH FY 2024
(thousands of dollars)

	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL OBLIGATION BONDS	114,040	441,449	798,167	412,311	322,319	137,328
REVENUE BONDS						
Personal Income Tax	2,731,530	5,300,875	5,457,378	4,286,856	4,167,393	4,902,867
Sales Tax	2,588,280	1,366,521	1,407,517	1,449,742	1,493,235	1,538,032
Subtotal Revenue Bonds	5,319,810	6,667,396	6,864,895	5,736,598	5,660,628	6,440,899
TOTAL STATE-SUPPORTED	5,433,850	7,108,845	7,663,062	6,148,909	5,982,947	6,578,227
BY PROGRAM AREA						
Economic Development & Housing	1,503,474	1,691,000	2,275,590	1,825,954	1,776,671	1,953,443
Education	804,023	1,467,015	1,401,519	1,124,591	1,094,238	1,203,110
Environment	343,265	507,805	683,356	548,331	533,531	586,615
Health & Mental Hygiene	395,290	825,406	820,512	658,386	640,616	704,355
State Facilities & Equipment	441,775	394,419	482,932	387,509	377,049	414,564
Transportation	1,946,023	2,223,200	1,999,153	1,604,138	1,560,842	1,716,140
SUBTOTAL STATE-SUPPORTED	5,433,850	7,108,845	7,663,062	6,148,909	5,982,947	6,578,227

STATE DEBT RETIREMENTS
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2019 THROUGH FY 2024
(thousands of dollars)

	FY 2019 Results	FY 2020 Updated	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected	FY 2024 Projected
GENERAL OBLIGATION BONDS	199,790	190,035	189,170	215,261	222,312	226,019
REVENUE BONDS						
Personal Income Tax	1,654,395	2,072,470	2,423,776	2,598,606	2,775,764	2,872,539
Sales Tax	427,930	324,845	602,706	598,293	664,676	694,171
Dedicated Highway	126,665	103,855	386,240	60,900	64,805	71,970
Mental Health Services	90,110	43,300	44,465	43,580	34,630	27,950
SUNY Dorms	25,810	21,935	19,095	15,885	7,050	-
Health Income	18,170	19,070	19,990	20,300	19,865	20,105
LGAC	174,580	318,370	288,505	229,905	125,015	92,080
Subtotal Revenue Bonds	2,517,660	2,903,845	3,784,777	3,567,469	3,691,805	3,778,815
SERVICE CONTRACT	600,099	371,728	402,035	198,391	141,156	166,120
TOTAL STATE-SUPPORTED	3,317,549	3,465,608	4,375,982	3,981,121	4,055,273	4,170,954
BY PROGRAM AREA						
Economic Development & Housing	742,798	756,393	684,383	695,579	720,085	742,685
Education	605,046	682,963	751,498	888,286	997,067	1,069,639
Environment	198,220	185,758	286,442	330,378	211,622	252,142
Health & Mental Hygiene	333,105	311,655	306,793	308,923	259,706	339,147
State Facilities & Equipment	294,355	283,029	519,771	354,869	427,335	347,928
Transportation	969,445	927,440	1,538,590	1,173,181	1,314,443	1,327,332
LGAC	174,580	318,370	288,505	229,905	125,015	92,080
TOTAL STATE-SUPPORTED	3,317,549	3,465,608	4,375,982	3,981,121	4,055,273	4,170,953

STATE DEBT RETIREMENTS
FY 2019 THROUGH FY 2024
(thousands of dollars)

	<u>FY 2019 Results</u>	<u>FY 2020 Updated</u>	<u>FY 2021 Projected</u>	<u>FY 2022 Projected</u>	<u>FY 2023 Projected</u>	<u>FY 2024 Projected</u>
SUBTOTAL STATE-SUPPORTED	<u>3,317,549</u>	<u>3,465,608</u>	<u>4,375,982</u>	<u>3,981,121</u>	<u>4,055,273</u>	<u>4,170,954</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	28,170	29,540	31,085	26,760	18,335	19,245
Moral Obligation						
Housing Finance Agency	645	155	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	33,000	34,440	36,180	37,985	30,000	0
SUBTOTAL OTHER STATE	<u>61,815</u>	<u>64,135</u>	<u>67,265</u>	<u>64,745</u>	<u>48,335</u>	<u>19,245</u>
GRAND TOTAL STATE-RELATED	<u>3,379,364</u>	<u>3,529,743</u>	<u>4,443,247</u>	<u>4,045,866</u>	<u>4,103,608</u>	<u>4,190,199</u>

STATE OF NEW YORK				APPENDIX
LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC				
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION	
10000-10049	001	Local Assistance Account	General	
10050-10099	003	State Operations Account	General	
10100-10149	004	Tax Stabilization Reserve Account	General	
10150-10199	005	Contingency Reserve Fund	General	
10200-10249	006	Universal Pre-Kindergarten Reserve	General	
10250-10299	007	Community Projects Fund	General	
10300-10349	008	Rainy Day Reserve Fund	General	
10400-10449	017	Refund Reserve Account	General	
10450-10499	100	General Fund	General	
10500-10549	166	Fringe Benefit Escrow Account	General	
10550-10599	348	Tobacco Revenue Guarantee Fund	General	
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue	
20100-20299	020	Combined Expendable Trust Fund	Special Revenue	
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue	
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue	
20400-20449	025	Child Performer's Protection Fund	Special Revenue	
20450-20499	050	Tuition Reimbursement Fund	Special Revenue	
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue	
20550-20599	053	School Tax Relief Fund	Special Revenue	
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue	
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue	
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue	
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue	
20900-20949	160	State Lottery Fund	Special Revenue	
20950-20999	221	Combined Student Loan Fund	Special Revenue	
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue	
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue	
21150-21199	302	Conservation Fund	Special Revenue	
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue	
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue	
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue	
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue	
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue	
21450-21499	314	Clean Air Fund	Special Revenue	
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue	
21550-21599	321	Legislative Computer Services Fund	Special Revenue	
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue	
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue	
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue	
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue	
21850-21899	338	Arts Capital Revolving Fund	Special Revenue	
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue	
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue	
22550-22599	341	Employment Training Fund	Special Revenue	
22650-22699	345	State University Income Fund	Special Revenue	
22700-22749	346	Chemical Dependence Service Fund	Special Revenue	
22750-22799	349	Lake George Park Trust Fund	Special Revenue	
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue	
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue	
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue	
22950-22999	360	Housing Development Fund	Special Revenue	
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue	
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue	
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue	
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue	
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue	
23250-23449	377	IFR/City University Tuition Fund	Special Revenue	
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue	
23550-23599	390	Indigent Legal Services Fund	Special Revenue	
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue	
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue	
23700-23749		Commercial Gaming Revenue Fund	Special Revenue	

STATE OF NEW YORK				APPENDIX
LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC				
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION	
23750-23799		Medical Marihuana Trust Fund	Special Revenue	
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue	
24850-24899		Health Care Transformation Fund	Special Revenue	
24900-24949		Charitable Gifts Trust Fund	Special Revenue	
24950-24999		Interactive Fantasy Sports Fund	Special Revenue	
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue	
25100-25199	265	Federal Health and Human Services Fund	Special Revenue	
25200-25249	267	Federal Education Fund	Special Revenue	
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue	
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue	
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue	
26000-26049	486	Federal Employment and Training Grants Fund	Special Revenue	
40350-40399	330	State University Dormitory Income Fund	Special Revenue	
30000-30049	002	State Capital Projects Fund	Capital Projects	
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects	
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects	
30300-30349	075	NYS Canal System Development Fund	Capital Projects	
30350-30399	076	State Park Infrastructure Fund	Capital Projects	
30400-30449	077	Passenger Facility Charge Fund	Capital Projects	
30450-30499	078	Environmental Protection Fund	Capital Projects	
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects	
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects	
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects	
30620-30629	105	Pure Waters Bond Fund	Capital Projects	
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects	
30640-30649	115	Environmental Quality Protection Fund	Capital Projects	
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects	
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects	
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects	
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects	
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects	
30700-30709	119	State Housing Bond Fund	Capital Projects	
30710-30719		Smart Schools Bond Fund	Capital Projects	
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects	
30900-30949	118	Rail Preservation and Development Bond Fund	Capital Projects	
31350-31449	291	Federal Capital Projects Fund	Capital Projects	
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects	
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects	
31650-31699	327	Suburban Transportation Fund	Capital Projects	
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects	
31800-31849	374	Housing Assistance Fund	Capital Projects	
31850-31899	376	Housing Program Fund	Capital Projects	
31900-31949	378	Natural Resource Damages Fund	Capital Projects	
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects	
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects	
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects	
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects	
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects	
32400-32999	384	State University Capital Projects Fund	Capital Projects	
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects	
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects	
40000-40049	064	Debt Reduction Reserve Fund	Debt Service	
40100-40149	304	Mental Health Services Fund	Debt Service	
40150-40199	311	General Debt Service Fund	Debt Service	
40250-40299	316	Housing Debt Fund	Debt Service	
40300-40349	319	Department of Health Income Fund	Debt Service	
40400-40449	361	Clean Water/Clean Air Fund	Debt Service	
40450-40499	364	Local Government Assistance Tax Fund	Debt Service	
50000-50049	324	Youth Commissary Account	Enterprise	
50050-50099	325	State Exposition Special Account	Enterprise	
50100-50299	326	Correctional Services Commissary Account	Enterprise	
50300-50399	331	Agencies Enterprise Fund	Enterprise	

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

