



**NEW YORK**  
STATE OF  
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# FY 2019 Mid-Year Update

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# Introduction



## Introduction

This is the Mid-Year Update to the Financial Plan (“Financial Plan” or “Mid-Year Update”) for Fiscal Year (FY) 2019. Except for specific revisions described herein, the projections in the Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the First Quarterly Update to the Financial Plan (the “First Quarterly Update” or “Prior Financial Plan”) issued in August 2018. The State’s FY 2019 began on April 1, 2018 and ends on March 31, 2019. The Division of the Budget (DOB) expects to next update the State’s official Financial Plan projections with the FY 2020 Executive Budget.

The factors affecting the State’s financial condition are numerous and complex. This Financial Plan contains “forward-looking statements” relating to future results and economic performance as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State’s expectations at the time they were prepared and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made, but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, “assumes” and analogous expressions are intended to identify forward-looking statements in this Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from projections. Such risks and uncertainties include, but are not limited to, general economic and business conditions; changes in political, social, economic, and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances. Many of these risks and uncertainties are beyond the control of the State. These forward-looking statements are based on the State’s expectations as of the date of this Financial Plan.

## Significant Budgetary and Accounting Practices

Unless clearly noted otherwise, all financial information in this Financial Plan is presented on a cash basis of accounting.

The State's **General Fund** receives most State taxes and all income not earmarked for a specified program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced. The General Fund is balanced using the cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTFF), and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Financial Plan is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically, but not uniformly, identified with the phrase "reserved for," are not held in distinct accounts within the General Fund, and may be used for other purposes.

**State Operating Funds** is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity in the General Fund, as well as State-funded special revenue funds and Debt Service Funds (spending from Capital Project Funds and Federal funds is excluded). As a significant amount of financial activity occurs in funds outside of the General Fund, State Operating Funds is, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (e.g., taxes, assessments, fees and tuition). The State Operating Funds perspective eliminates certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursement projections often emphasizes the State Operating Funds



perspective. The State's adherence to a 2 percent annual spending growth benchmark is calculated on the State Operating Funds basis.

As described later, the Financial Plan reflects some actions that have affected, or are intended to affect, the amount of annual spending accounted for in the State Operating Funds basis of reporting. These include but are not limited to: (a) realignment of certain operating costs to the capital budget to provide greater consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (b) the payment of certain operating costs using available resources in accounts outside the State Operating Funds basis of reporting; (c) the restructuring of the STAR program such that the spending for certain benefits is instead provided as a tax credit consistent with other State tax credits; (iv) appropriation of certain operating costs for the Department of Transportation (DOT) and Department of Motor Vehicles (DMV) from the General Fund instead of the DHBTf, a change which will increase reported disbursements from State Operating Funds; and (v) an amendment to the enabling statute for the Payroll Mobility Tax (PMT) to no longer require that receipts payable to the Metropolitan Transportation Authority (MTA) be appropriated by the State Legislature, a change which is intended to improve the credit quality of MTA bonds that may be secured by the PMT and which has the effect of lowering State Operating Funds receipts and disbursements. In general, if these and other transactions are not executed or reported in a manner consistent with DOB's interpretation of legislation included with the FY 2019 Enacted Budget, then annual spending growth in State Operating Funds would be higher than Financial Plan projections.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP). The GAAP-basis financial plan is informational only. It is not used by DOB as a benchmark for managing State finances during the fiscal year, nor is it updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements, but there can be no assurance that the pro forma GAAP financial plan conforms to all GAAP principles.

The Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on many assumptions and are developed by DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, taking into consideration the current and projected fiscal position of the State.

The Financial Plan projections for FY 2020 and thereafter reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending, as State Operating Funds is currently constituted in the Financial Plan, to no greater than 2 percent. Total disbursements in the tables and narrative contained in this Financial Plan do not reflect these assumed savings, which are reflected instead on a distinct line and labeled “Adherence to 2% Spending Benchmark.” Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors that are currently unknown. If the 2 percent annual State Operating Funds spending growth benchmark is not adhered to, projected budget gaps would be higher (or projected surpluses would be lower).

Differences may occur from time to time between the State's Financial Plan and OSC's financial reports in the presentation and reporting of receipts and disbursements. For example, the Financial Plan may reflect a net expenditure amount while OSC may report the gross amount of the expenditure. Any such differences in reporting between DOB and OSC could result in differences in the presentation and reporting of receipts and disbursements for discrete funds, as well as differences in the presentation and reporting for total receipts and disbursements under different fund perspectives (e.g., State Operating Funds and All Governmental Funds).

# Overview of the Financial Plan



## Financial Plan At-A-Glance: Key Measures

| FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES<br>(millions of dollars)             |           |               |           |
|---|-----------|---------------|-----------|
|   | FY 2018   | FY 2019       |           |
|   | Results   | First Quarter | Mid-Year  |
| <b>State Operating Funds Disbursements</b>                                    |           |               |           |
| Size of Budget  | \$98,151  | \$100,135     | \$100,135 |
| Annual Growth   | 2.0%      | 2.0%          | 2.0%      |
| <b>Other Disbursement Measures</b>  |           |               |           |
| General Fund (Excluding Transfers) <sup>1</sup>                               | \$59,872  | \$64,173      | \$64,082  |
| Annual Growth   | 3.2%      | 7.2%          | 7.0%      |
| General Fund (Including Transfers) <sup>1,2</sup>                             | \$69,724  | \$75,570      | \$74,768  |
| Annual Growth   | 2.4%      | 8.4%          | 7.2%      |
| Capital Budget (Federal and State)  | \$10,640  | \$14,474      | \$13,170  |
| Annual Growth   | 4.8%      | 36.0%         | 23.8%     |
| Federal Operating Aid   | \$54,953  | \$55,673      | \$58,282  |
| Annual Growth   | 8.5%      | 1.3%          | 6.1%      |
| All Funds <sup>3</sup>  | \$163,744 | \$170,282     | \$171,587 |
| Annual Growth   | 4.3%      | 4.0%          | 4.8%      |
| Capital Budget (Including "Off-Budget" Capital <sup>4</sup> )                 | \$11,284  | \$15,146      | \$13,864  |
| Annual Growth   | 5.1%      | 34.2%         | 22.9%     |
| All Funds (Including "Off-Budget" Capital <sup>4</sup> )                      | \$164,388 | \$170,954     | \$172,281 |
| Annual Growth   | 4.3%      | 4.0%          | 4.8%      |
| <b>Inflation (CPI)</b>  | 2.1%      | 2.5%          | 2.5%      |
| <b>All Funds Receipts</b>   |           |               |           |
| Taxes   | \$79,266  | \$77,923      | \$77,923  |
| Annual Growth   | 6.6%      | -1.7%         | -1.7%     |
| Miscellaneous Receipts  | \$27,262  | \$28,300      | \$28,178  |
| Annual Growth   | 2.5%      | 3.8%          | 3.4%      |
| Federal Receipts (Operating and Capital)                                      | \$58,942  | \$60,083      | \$62,510  |
| Annual Growth   | 6.4%      | 1.9%          | 6.1%      |
| Total Receipts <sup>3</sup>   | \$165,470 | \$166,306     | \$168,611 |
| Annual Growth   | 5.8%      | 0.5%          | 1.9%      |
| <b>General Fund Cash Balance</b>  | \$9,445   | \$5,799       | \$6,456   |
| Tax Stabilization/Rainy Day Reserve   | \$1,798   | \$1,798       | \$1,798   |
| Extraordinary Monetary Settlements  | \$5,020   | \$3,308       | \$3,949   |
| All Other Reserves/Fund Balances  | \$2,627   | \$693         | \$709     |
| <b>Debt</b>   |           |               |           |
| Debt Service as % All Funds Receipts  | 4.0%      | 3.3%          | 3.3%      |
| State-Related Debt Outstanding  | \$51,631  | \$54,964      | \$53,866  |
| Debt Outstanding as % Personal Income   | 4.0%      | 4.3%          | 4.0%      |
| <b>State Workforce FTEs (Subject to Direct Executive Control) - All Funds</b> | 117,397   | 118,868       | 118,868   |

<sup>1</sup> FY 2019 General Fund disbursements, before and after transfers, exclude reclassification of mental hygiene funds to the General Fund from Special Revenue Funds and movement of certain DOT and DMV operating expenses to the General Fund from the Dedicated Highway and Bridge Trust Fund.

<sup>2</sup> Includes the planned transfer of Extraordinary Monetary Settlements from the General Fund to other funds.

<sup>3</sup> All Funds disbursements are expected to exceed receipts (including other financing sources) in FY 2019 with the difference funded from other available resources, including Extraordinary Monetary Settlements and GO bond proceeds used to reimburse capital spending planned from other funding sources in the first instance.

<sup>4</sup> Represents capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

## Summary

### Financial Plan Highlights

The FY 2019 Financial Plan projects that the General Fund will remain in balance on a cash basis of accounting. Estimates for tax receipts remain unchanged in this Mid-Year Update, with results through September 2018 generally on track to meet expectations. Estimates for General Fund disbursements have been reduced in each year of the Financial Plan, based on a review of operating results to date and other information.

The General Fund budget gap for FY 2020 is now estimated at \$3.1 billion, a decrease of nearly \$1 billion compared to the First Quarterly Update. If spending growth in State Operating Funds were to be held to 2 percent in FY 2020, DOB estimates the General Fund budget gap would be \$402 million.<sup>1</sup>

State Operating Funds disbursements are estimated at \$100.1 billion in FY 2019, an increase of 2 percent over FY 2018 results. The estimate reflects downward revisions in this Mid-Year Update to disbursements in each Financial Plan category. To maintain annual spending growth at 2 percent, the Financial Plan includes in the current-year a planned payment of \$145 million for debt service due in FY 2020.<sup>2</sup> DOB actively manages disbursements with the goal of adhering as closely as possible to the 2 percent spending benchmark.

On September 4, 2018, the United University Professions (UUP) ratified a six-year collective bargaining agreement for academic years 2017 through 2022. The agreement provides for a 2 percent general salary increase in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within the New York State Health Insurance Program (NYSHIP). The multi-year costs of the agreement (approximately \$225 million in FY 2019) are reflected in this Mid-Year Update.

On October 10, 2018, the Police Benevolent Association of the New York State Troopers (NYSTPBA) ratified a five-year collective bargaining agreement for FY 2019 through FY 2023. The agreement provides for a 2 percent general salary increase in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP, similar to UUP and the Civil Service Employees Association (CSEA). Costs are expected to be funded within agency operating budgets, consistent with the treatment of other negotiated salary increases.

Since the First Quarterly Update to the Financial Plan, the State received a \$40 million payment from Mashreqbank will be held in reserve, consistent with treatment of other settlements.

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<sup>1</sup> Assumes the current composition of State Operating Funds, and that all savings from reducing spending growth to 2 percent are made available to the General Fund.

<sup>2</sup> The planned payment was sized to maintain State Operating Funds disbursements at the level estimated in the First Quarterly Update.

Extraordinary Monetary Settlements held in the General Fund are expected to total \$3.95 billion at the close of FY 2019, of which \$411 million has not been designated for specific purposes. In addition, the General Fund is expected to maintain \$1.8 billion in rainy day reserves, \$500 million for debt management, and smaller balances in other reserves.

The U.S. Bureau of Economic Analysis (BEA) has released the State personal income data used to calculate the final School Aid Growth Cap for the 2019-20 school year pursuant to State Education Law Section 3602. The resulting Personal Income Growth Index (PIGI), equal to the annual growth rate in New York State personal income from FY 2017 to FY 2018, is 6.1 percent. The Mid-Year Update assumes School Aid growth of 3.6 percent. Consistent with DOB's practice since enactment of the PIGI as the statutory growth cap for School Aid, the PIGI impact will be reflected in the upcoming Executive Budget Financial Plan as part of a comprehensive recommendation for School Aid.

The Governor is expected to submit his FY 2020 Executive Budget to the Legislature no later than February 1, 2019. DOB expects the current Administration will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. The spending benchmark is calculated using the cash basis of accounting, as described herein, and is based on the current composition of the State Operating Funds perspective as reported by DOB. The General Fund operating projections<sup>3</sup> for FY 2020, FY 2021, and FY 2022 are calculated based on this assumption. DOB expects that specific proposals to limit annual spending growth in State Operating Funds to 2 percent will be included in future Budget proposals.

## Other Developments

On August 23, 2018, the Internal Revenue Service (IRS) issued proposed regulations – IRS REG-112176-18 – that seek to provide new rules governing the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a state or local tax credit for such charitable contributions. In the case of state tax credits received by a taxpayer making a charitable contribution, the proposed regulations would reduce the Federal income tax deduction available to such taxpayer by the amount of the state tax credit received by the taxpayer for such charitable contribution; provided, however, this rule would not apply, if the value of the state tax credit to be received for the charitable contribution does not exceed 15 percent of the charitable contribution. The Treasury Department and the IRS have collected comments from the general public on these proposed regulations and held a public hearing on November 5, 2018. Based on its review of the proposed regulations, DOB anticipates that if the proposed regulations are adopted in their current form, then contributions to the State Charitable Gifts Trust Fund may decline. The proposed regulations, by their terms, do not impact the Federal tax reduction that DOB expects would result for certain taxpayers employed by entities enrolled in the Employer Compensation Expense Program (ECEP).

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<sup>3</sup> The Financial Plan displays General Fund budget gaps both before and after savings that would be estimated to occur if annual growth in State Operating Funds spending is held to 2 percent in FY 2020 and each year thereafter.

## General Fund Operating Results Through September

The State ended September 2018 with a General Fund cash balance of \$6.5 billion, \$533 million above the Enacted Budget Financial Plan estimate. The higher balance is mainly attributable to lower spending for agency operations and capital projects, and receipt of unplanned extraordinary monetary settlements.

Receipts, including transfers from other funds, totaled \$35.9 billion through September 2018. Tax receipts were generally consistent with Enacted Budget Financial Plan estimates. Non-tax receipts were \$262 million above planned levels, due mainly to Extraordinary Monetary Settlements.

Disbursements, including transfers to other funds, totaled \$38.9 billion through September 2018, \$350 million lower than initial projections in the Enacted Budget Financial Plan. Lower spending for local assistance reflects payments for education, higher education, and social services programs. Many of these payments are expected to occur by the end of the third quarter of FY 2019. However, some of the spending reduction has been recognized in the revisions described herein. In addition, certain General Fund transfers to other funds were not needed in the first half of FY 2019, as spending for capital projects and other purposes occurred more slowly than expected. These transfers are expected to be made later in FY 2019.



## Multi-Year Financial Plan Revisions

### General Fund

The following table summarizes revisions to the FY 2019 First Quarterly Financial Plan Update. Descriptions of significant changes follow the table below.

| SUMMARY OF REVISIONS TO FIRST QUARTERLY FINANCIAL PLAN         |              |                |                |                |
|--|--------------|----------------|----------------|----------------|
| GENERAL FUND BUDGETARY BASIS OF ACCOUNTING                     |              |                |                |                |
| SAVINGS/(COSTS)  |              |                |                |                |
| (millions of dollars)  |              |                |                |                |
|  | FY 2019      | FY 2020        | FY 2021        | FY 2022        |
| <b>FIRST QUARTERLY UPDATE SURPLUS/(GAP)</b>                    | <b>0</b>     | <b>(4,027)</b> | <b>(6,946)</b> | <b>(6,957)</b> |
| <b>Receipts Revisions<sup>1</sup></b>                          | <b>(145)</b> | <b>385</b>     | <b>214</b>     | <b>(128)</b>   |
| Tax Receipts (before Debt Service)                             | 0            | 0              | 0              | 0              |
| Debt Service (impact on Tax Receipts) <sup>1</sup>             | (209)        | 166            | (76)           | (142)          |
| Debt Service Prepayment  | (145)        | 145            | 0              | 0              |
| Other Debt Service Revisions                                   | (64)         | 21             | (76)           | (142)          |
| Non-Tax Receipts   | 24           | 219            | 290            | 14             |
| Mashreqbank Settlement Payment                                 | 40           | 0              | 0              | 0              |
| <b>Disbursements Revisions</b>                                 | <b>802</b>   | <b>662</b>     | <b>418</b>     | <b>254</b>     |
| Local Assistance   | 35           | 56             | (149)          | 141            |
| Agency Operations  | 56           | 299            | 292            | 228            |
| Transfers to DIIF  | 601          | 107            | 114            | (280)          |
| Transfers to Debt Service Funds                                | 52           | 125            | 113            | 129            |
| Transfers to Other Funds                                       | 58           | 75             | 48             | 36             |
| <b>Use/(Reserve) of Fund Balance</b>                           | <b>(657)</b> | <b>(90)</b>    | <b>(115)</b>   | <b>280</b>     |
| Extraordinary Monetary Settlements                             | (641)        | (107)          | (114)          | 280            |
| Settlement Payments Received                                   | (40)         | 0              | 0              | 0              |
| Transfer to DIIF   | (601)        | (107)          | (114)          | 280            |
| Community Projects Fund  | (16)         | 17             | (1)            | 0              |
| <b>Change in Adherence to 2% Spending - Calculated Savings</b> | <b>0</b>     | <b>579</b>     | <b>117</b>     | <b>235</b>     |
| <b>MID-YEAR UPDATE SURPLUS/(GAP)<sup>2</sup></b>               | <b>0</b>     | <b>(3,070)</b> | <b>(6,429)</b> | <b>(6,551)</b> |
| Adherence to 2% SOF Spending - Calculated Savings <sup>3</sup> | 0            | 2,668          | 5,431          | 6,235          |
| <b>MID-YEAR UPDATE SURPLUS/(GAP)</b>                           | <b>0</b>     | <b>(402)</b>   | <b>(998)</b>   | <b>(316)</b>   |

<sup>1</sup> Includes impact of changes to estimated debt service that alter the amount of tax receipts transferred to the General Fund.

<sup>2</sup> Before actions to adhere to the 2 percent benchmark.

<sup>3</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on current FY 2019 projections), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. Assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher (or the projected surpluses lower).

## Receipts Revisions

General Fund receipts, including transfers from other funds, are projected to total \$72.8 billion in FY 2019, a decrease of \$145 million from the First Quarterly Update. Projected General Fund tax receipts remain unchanged. However, transfers of tax receipts after payment of debt service have been revised to reflect the planned payment in FY 2019 of \$145 million in debt service due in FY 2020<sup>4</sup>. In addition, miscellaneous receipts and transfers from other State funds have been revised to reflect the receipt of an unplanned Extraordinary Monetary Settlement payment, updated information and accounting reclassifications, as well as certain transactions that may not be completed by year-end.

## Disbursements Revisions

General Fund disbursements, including transfers to other funds, are expected to total \$75.8 billion in FY 2019, a decrease of \$802 million from First Quarterly Update projections. Revisions to General Fund disbursements are based on a review of operating results to date and updated information on programs and activities.

### Local Assistance

Projected General Fund disbursements for local assistance have been revised downward in each year of the Financial Plan. General Fund disbursements for local assistance are expected to total \$51 billion in FY 2019, a \$35 million decrease from the First Quarterly Update. Notable revisions include:

- **Mental Hygiene (FY 2019: \$57 million; FY 2020 \$13 million):** Lowered General Fund spending in FYs 2019 and 2020 is largely driven by the timing of Federal support for a variety of Medicaid-funded programs including children's residential treatment services, and care coordination expenses.

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<sup>4</sup> Prepayments will be funded with resources that DOB expects to be available after operations. The level of prepayments may change, depending on FY 2019 operating results. Debt service revisions typically affect both transfers from and to the General Fund. Thus, the reduction in tax receipts transferred to the General Fund is offset in part by a downward revision to General Fund transfers to support spending from the Debt Service Fund.

- **School Aid/Special Education (FY 2019: \$42 million; FY 2020 \$63 million):** School Aid changes include recoveries of overpayments for the 2018-19 school year, as well as underspending for Statewide Universal Full-Day Prekindergarten outside of New York City. Spending for the preschool special education and summer school special education programs has been revised downward due to lower than anticipated enrollment and minimum wage costs.
- **Social Services (FY 2019: \$0; FY 2020: \$33 million):** Spending reductions are due mainly to updated assumptions for detention and foster care spending.
- **Health/Medicaid (FY 2019: \$33 million; FY 2020: \$17 million):** Estimated HCRA surcharge receipts have been increased by \$101 million in FY 2019 and in each year thereafter, based on prior year trends and actual collections to date. Savings in FY 2019 are offset by a claims corrections that is expected to drive a one-time \$50 million increase in State costs. Child Health Plus (CHP) enrollment continues to grow above current estimates, resulting in upward revisions to spending over the multi-year Financial Plan. Beginning in FY 2020, the projected cost of the minimum wage increase to the Medicaid program has been revised upward by roughly \$100 million annually, due to increased utilization. In addition, health care transformation spending has been increased [see the Health Care Transformation Fund (HCTF) summary included in the “State Financial Plan Projections Fiscal Years 2019 Through 2022” herein].
- **Human Services Cost-of-Living Adjustment (COLA) (FY 2019: \$0; FY 2020: \$32 million):** The statutory human services COLA calculation affecting service providers, primarily in children and family and mental hygiene programs, was updated in August 2018. The updated COLA for FY 2020 is 2.9 percent, compared to 2.5 percent estimated in the Enacted Budget.
- **Other:** Local assistance spending estimates have been revised for other programs based on enrollment, applications, participation and spending to date.

## Agency Operations

General Fund disbursements for agency operations, including employee fringe benefits, are expected to total \$19.2 billion in the current year, a decrease of \$56 million from First Quarterly Update projections. The most significant changes include:

- **Health Insurance (FY 2019: \$71 million; FY 2020: \$231 million):** Reflects revised estimates for health care inflation and enrollment trends, including lower costs associated with the competitively bid NYSHIP prescription drug contract, which is pending final approval from the State Comptroller.
- **Pensions (FY 2019: \$38 million; FY 2020: \$72 million):** Reflects revised estimates from the pension system, including updated information on salary trends, veterans pension credit costs, and higher than anticipated investment returns.
- **Other:** State Operations estimates have been revised across several areas based on spending to date. Notable changes include an upward revision for mental hygiene spending due mainly to delayed timing of the expansion of community-based services. Revisions also reflect an increase in Social Security costs due to the UUP contract,<sup>5</sup> which are entirely funded by the State.

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<sup>5</sup> The cost of the SUNY UUP salary contract ratified by members in September 2019 has been added to the Financial Plan, including retroactive payments to academic year 2017. Nearly all the spending (roughly \$225 million in FY 2019 and \$265 million in FY 2020) occurs outside of the General Fund in SUNY special revenue accounts.

## Transfers to Other Funds

General Fund transfers to other funds are expected to total \$5.5 billion in FY 2019, a decrease of \$711 million from the First Quarterly Update. The most significant changes include:

- **Transfers to the Dedicated Infrastructure Investment Fund (DIIF) (FY 2019: \$601 million; FY 2020: \$107 million):** Timing of transfers of settlement reserves to support activities appropriated in other funds has been updated based on spending to date.
- **Transfers to Debt Service Funds (FY 2019: \$52 million; FY 2020: \$125 million):** Updated debt service costs reflect actual bond sales to date and savings from refunding certain bonds.
- **Transfers to Other Funds (FY 2019: \$58 million; FY 2020: \$75 million):** Other General Fund transfers are expected to be lower than previously anticipated mainly due to revised levels of spending for capital projects and other programs, and other accounting reclassifications and adjustments.

## Annual Spending Growth

DOB estimates spending in State Operating Funds will grow at 2 percent from FY 2018 to FY 2019, consistent with the 2 percent spending growth benchmark. The table below illustrates major sources of the change in annual State spending by major program, purpose and fund.

| STATE SPENDING MEASURES<br>(millions of dollars)  |                    |                    |               |              |
|---|--------------------|--------------------|---------------|--------------|
|   | FY 2018<br>Results | FY 2019<br>Updated | Annual Change |              |
|   |                    |                    | \$            | %            |
| <b>LOCAL ASSISTANCE</b>                           | <b>65,604</b>      | <b>66,700</b>      | <b>1,096</b>  | <b>1.7%</b>  |
| School Aid (School Year Basis)                    | 25,639             | 26,553             | 914           | 3.6%         |
| DOH Medicaid <sup>1</sup>                         | 19,143             | 20,396             | 1,253         | 6.5%         |
| Transportation <sup>2</sup>                       | 5,025              | 3,936              | (1,089)       | -21.7%       |
| STAR <sup>3</sup>                                 | 2,589              | 2,459              | (130)         | -5.0%        |
| Social Services                                   | 2,837              | 2,971              | 134           | 4.7%         |
| Higher Education                                  | 2,833              | 3,057              | 224           | 7.9%         |
| Mental Hygiene                                    | 2,350              | 2,181              | (169)         | -7.2%        |
| All Other <sup>4</sup>                            | 5,188              | 5,147              | (41)          | -0.8%        |
| <b>STATE OPERATIONS/GENERAL STATE CHARGES</b>     | <b>26,674</b>      | <b>27,915</b>      | <b>1,241</b>  | <b>4.7%</b>  |
| <b>State Operations</b>                           | <b>18,821</b>      | <b>19,505</b>      | <b>684</b>    | <b>3.6%</b>  |
| Personal Service:                                 | <b>13,170</b>      | <b>13,716</b>      | <b>546</b>    | <b>4.1%</b>  |
| Executive Agencies                                | 7,314              | 7,434              | 120           | 1.6%         |
| DOT/DMV Operations Reclassification               | 0                  | 167                | 167           | 0.0%         |
| University Systems                                | 3,806              | 4,022              | 216           | 5.7%         |
| Elected Officials                                 | 2,050              | 2,093              | 43            | 2.1%         |
| Non-Personal Service:                             | <b>5,651</b>       | <b>5,789</b>       | <b>138</b>    | <b>2.4%</b>  |
| Executive Agencies                                | 2,690              | 2,798              | 108           | 4.0%         |
| DOT/DMV Operations Reclassification               | 0                  | 115                | 115           | 0.0%         |
| University Systems                                | 2,377              | 2,273              | (104)         | -4.4%        |
| Elected Officials                                 | 584                | 603                | 19            | 3.3%         |
| <b>General State Charges</b>                      | <b>7,853</b>       | <b>8,410</b>       | <b>557</b>    | <b>7.1%</b>  |
| Pension Contribution                              | 2,442              | 2,417              | (25)          | -1.0%        |
| Health Insurance                                  | 3,963              | 4,205              | 242           | 6.1%         |
| Other Fringe Benefits/Fixed Costs                 | 1,448              | 1,788              | 340           | 23.5%        |
| <b>DEBT SERVICE</b>                               | <b>5,873</b>       | <b>5,520</b>       | <b>(353)</b>  | <b>-6.0%</b> |
| <b>TOTAL STATE OPERATING FUNDS</b>                | <b>98,151</b>      | <b>100,135</b>     | <b>1,984</b>  | <b>2.0%</b>  |
| <b>Capital Projects (State and Federal Funds)</b> | <b>10,640</b>      | <b>13,170</b>      | <b>2,530</b>  | <b>23.8%</b> |
| <b>Federal Operating Aid</b>                      | <b>54,953</b>      | <b>58,282</b>      | <b>3,329</b>  | <b>6.1%</b>  |
| <b>TOTAL ALL GOVERNMENTAL FUNDS</b>               | <b>163,744</b>     | <b>171,587</b>     | <b>7,843</b>  | <b>4.8%</b>  |

<sup>1</sup> Total State share Medicaid funding excludes Master Settlement Agreement (MSA) payments to the State that will be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund to defray the cost of the State's takeover of Medicaid costs for counties and New York City.

<sup>2</sup> The FY 2019 transportation estimate does not include the MTA PMT. The Enacted Budget provided that the PMT be remitted to MTA without an appropriation beginning in FY 2019.

<sup>3</sup> The FY 2018 Enacted Budget converted the New York City Personal Income Tax (PIT) rate reduction benefit to a nonrefundable State PIT credit. This change has no impact on STAR benefits received by homeowners; it will decrease reported disbursements for STAR and decrease reported PIT receipts by an identical amount. See "STAR Program" in "State Financial Plan Projections Fiscal Years 2019 Through 2022" herein.

<sup>4</sup> "All Other" includes a reconciliation between school year and State fiscal year spending for School Aid. On a State fiscal year basis, School Aid is estimated to total \$26.5 billion in FY 2019, an increase of \$1 billion from FY 2018. It also reflects a reduction for the portion of the State's takeover of Medicaid costs for counties and New York City that will be funded from MSA payments deposited directly to the MMIS Escrow Fund (\$435 million in FY 2019), which reduces reported disbursements. Lastly, it includes spending for public health, other education, local government assistance, parks, environment, economic development, and public safety, as well as reclassifications.

## State Operating Funds — Summary of Annual Spending Change

A significant amount of spending that occurs in State Operating Funds is supported with resources generated in exchange for services provided by State entities. Examples include State University of New York (SUNY) operations that are funded with tuition, patient and fee revenue; and regulatory activities by the Workers' Compensation Board (WCB) and Department of Financial Services (DFS) that are funded with industry assessments. In addition, other spending is supported with revenues that are used exclusively, or nearly exclusively, for dedicated purposes, such as the various taxes collected and disbursed to the MTA and other transit systems from the Metropolitan Mass Transportation Fund and public transportation funds. These “own-source” revenues contribute more than \$400 million in spending growth from FY 2018 to FY 2019. These activities have no direct bearing on the State’s ability to maintain a balanced budget in the General Fund.

Spending growth in recent years reflects the State’s effort to address long-standing public policy issues. For example, in FY 2015, the State absorbed the full cost of growth in Medicaid on behalf of local governments, creating the most significant mandate relief initiative for counties in decades. The State takeover of local government Medicaid costs had been proposed as early as 1991, followed by many subsequent unsuccessful attempts. Additionally, in FY 2017, the State enacted a law that provides for predictable increases in the minimum wage. In 1970, the State’s minimum wage was \$1.85. Over the following 45 years, the State increased the minimum wage sporadically, with long periods of no change. By 2013, in inflation-adjusted dollars, the value of the State’s minimum wage had fallen to about 65 percent of its 1970 value. The FY 2019 State Operating Funds spending increases include over \$900 million for the incremental cost of the local Medicaid growth takeover, and nearly \$800 million for the direct cost of the minimum wage increase to health care providers.

### Local Assistance

Medicaid and School Aid are the State’s largest local aid programs, comprising approximately 45 percent of State Operating Funds spending. In School Year (SY) 2019, School Aid is expected to total \$26.6 billion, an increase of \$914 million (3.6 percent), due primarily to a \$618 million increase in Foundation Aid.<sup>6</sup> Medicaid spending subject to the Medicaid spending cap (or “Global Cap”) is expected to grow at the indexed rate of 3.2 percent to \$18.9 billion. In total, Medicaid spending funded from State resources will increase to \$20.4 billion. Increases are primarily attributable to the takeover of local Medicaid growth (\$182 million), the cost of minimum wage increases, (\$448 million), and other spending outside the Global Cap.

In FY 2018, bonds secured by annual payments from tobacco manufactures under the Master Settlement Agreement (MSA) were retired, with no remaining debt service to be paid on these bonds. Thus, DOB expects payments under the MSA of approximately \$435 million to be available in FY 2019 (including \$103 million from FY 2018) and additional payments to be available in subsequent years. Legislation included in the FY 2018 Enacted Budget directed these payments

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<sup>6</sup> Total education aid, including reimbursement for charter school supplemental tuition and facilities aid, is projected at \$26.7 billion in SY 2019, an increase of nearly \$1 billion (3.9 percent) from SY 2018.

be used to help defray costs of the State's takeover of Medicaid costs for counties and New York City. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, is expected to cost the State \$917 million in FY 2019 and \$1.1 billion in FY 2020. Consistent with State law, DOB expects MSA payments to be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund. The deposit mechanism has no impact on overall Medicaid spending funded with State resources, but does reduce reported State-supported Medicaid spending accounted for in FY 2019 State Operating Funds by \$435 million.

The FY 2019 Enacted Budget includes legislation dedicating \$836 million to the MTA's NYC Subway Action Plan, which will provide \$508 million for operating purposes and \$328 million for capital purposes. The State and NYC will each fund 50 percent of the MTA's NYC Subway Action Plan (\$418 million). The State will provide \$194 million from Extraordinary Monetary Settlements and \$60 million in accelerated PMT pass-through resources, which constitute the State's operating obligation consistent with the Subway Action Plan. The Action Plan will address system failures, breakdowns, delays and deteriorating customer service.

The PMT will no longer be appropriated annually by the State Legislature. Previously, the State collected the PMT on behalf of, and disbursed the entire amount to, the MTA. The FY 2019 Enacted Budget amended the enabling statute to no longer require the PMT to be appropriated annually by the State Legislature but instead be paid directly to MTA from a sole custody fund. This allows the MTA to collect PMT receipts without delays or uncertainty related to the appropriation process. Consistent with this statutory change, the Financial Plan does not include PMT receipts and related local assistance disbursements. In FY 2019 the PMT receipts and disbursements that are no longer reported in the Financial Plan are estimated at approximately \$1.5 billion and \$1.4 billion, respectively.

STAR spending in FY 2018 and FY 2019 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$775 million in FY 2018 and \$937 million in FY 2019).

Higher Education spending growth is due mainly to the second phase of the Excelsior Free Tuition Program, the timing of certain payments during academic year 2018, and increased funding for other scholarships, which provide financial aid to approximately 400,000 students.

Local assistance spending reported in the Financial Plan is affected by the accounting treatment of State payments to the Sales Tax Asset Receivable Corporation (STARC). Pursuant to legislation enacted in FY 2017, NYC is remitting savings to the State from a 2014 refunding of STARC bonds, which are supported solely by the annual payment of State aid. The legislation specifies that the money refunded from STARC could be received by the State as a miscellaneous receipt, or directed by the State to a State public authority to offset debt service costs on State-supported bonds. The Legislature authorized, via specific language in the STARC appropriation, that the STARC refunding money recouped from NYC be treated as an offset to State spending. The



Financial Plan reflects the offset in the calculation of FY 2019 State Operating Funds spending. In prior years, the reimbursement money was reflected as a miscellaneous receipt.

## State Operations/Fringe Benefits

Spending for Executive agency operations is expected to increase by 2.3 percent in FY 2019, excluding the reclassification of certain DOT and DMV operating costs to the General Fund. Beginning in FY 2019 certain transportation operating costs are appropriated from the General Fund instead of the DHBTF. These operating expenses were previously funded by a transfer from the General Fund to the DHBTF. The change, which will increase disbursements in State Operating Funds by roughly \$280 million in FY 2019, applies to operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities.

Operations spending for the University Systems and elected officials, which include the Attorney General, Comptroller, Legislature and Judiciary, is expected to increase by 1.8 percent and 2.4 percent, respectively.

Spending growth for fringe benefits is due mainly to rising employee health care and prescription drug costs. The State continues to repay the State Retirement System for amounts amortized from FY 2011 through FY 2016. The payments were amortized to mitigate the extraordinary increase in annual contributions following investment losses. In FY 2019 amortization repayment totals \$432 million.

## Debt Service

The decline in Debt Service Funds spending is mainly due to the payment of \$594 million in planned FY 2019 debt service costs during FY 2018, which is partly offset by the planned payment of \$145 million of FY 2020 debt service costs in FY 2019.

## Impact of Federal Tax Law Changes

On December 22, 2017, President Trump signed into law the Tax Cuts and Jobs Act of 2017 (TCJA) (H.R. 1, P.L. 115-97), making major changes to the Federal Internal Revenue Code, most of which are effective in the 2018 tax year. The new Federal tax law makes extensive changes to Federal personal income taxes, corporate income taxes, and estate taxes.

The State's income tax system interacts with the Federal system in numerous ways. The changes to the Federal tax code will have significant flow-through effects on state tax burdens and state tax receipts. From the standpoint of individual New York State taxpayers, one of the most onerous provisions in the TCJA is a new \$10,000 limit on the deductibility of State and Local Tax (SALT) payments, effective for tax year 2018. The TCJA's SALT deduction limit represents a large increase in the State's effective tax rate relative to historical experience, and may adversely affect New York's economic competitiveness.

DOB and the Department of Taxation and Finance (DTF) estimate that the SALT deduction limit raises Federal tax liability for New York taxpayers by \$14.3 billion for tax year 2018, relative to what taxpayers would have paid absent the limitation. Over the course of the eight years the SALT deduction limit is scheduled to be in effect, the State estimates that resident taxpayers who itemize at the Federal level for each year through 2025 will collectively pay an additional \$121 billion in Federal taxes relative to what they would have paid absent the SALT deduction limit.

Moreover, the TCJA contains numerous provisions that may adversely affect residential real estate prices in New York State and elsewhere, of which the SALT deduction limit is the most significant. A loss of wealth associated with a decline in home prices could have a statistically significant impact on household spending in the State through the wealth effect, whereby consumers perceive the rise and fall of the value of an asset, such as a home, as a corresponding increase or decline in income, causing them to alter their spending practices. Reductions in household spending by New York residents, if they were to occur, would be expected to result in lower sales for the State's businesses, which, in turn, would cause further reductions in economic activity and employment. Lastly, falling home prices could result in homeowners delaying the sale of their homes. The combined impact of lower home prices and fewer sales transactions could result in lower real estate transfer tax collections.

In sum, the Federal tax law changes may intensify migration pressures and erode the value of home prices, thereby posing risks to the State's tax base.

## State Response to Federal Tax Law Changes

The FY 2019 Enacted Budget includes State tax reforms intended to mitigate issues arising from the Federal law, including decoupling many State tax provisions from the Federal changes, the creation of an optional payroll tax program, and the establishment of a new State charitable giving vehicle, all of which are described below. The State is evaluating other tax law changes in response to the TCJA, including the feasibility of an unincorporated business tax.

On July 17, 2018, the State, joined by Connecticut, Maryland, and New Jersey, filed a lawsuit intended to protect New York taxpayers from the new Federal limit on the SALT deduction. The lawsuit argues that the new SALT limit was enacted to target New York and similarly situated states, that it interferes with states' rights to make their own fiscal decisions, and that it will disproportionately harm taxpayers in these states.

## Decoupling From Federal Tax Code

The State tax code is closely aligned in many respects with the Federal tax code. The FY 2019 Enacted Budget includes legislation that decouples the State tax code from the Federal tax code, where appropriate, to minimize roughly \$1 billion in State tax increases that would otherwise have been the result of the Federal tax changes. Those decoupled Federal changes, which would have affected the General Fund budget gaps projected in FY 2020 and beyond, include:

- **Federal Limit on SALT Deduction.** The TCJA capped the itemized deductions for SALT at \$10,000. The State tax code is updated to decouple from this limit, preventing a State tax increase from the linkage of this Federal limit to State income tax returns.
- **Federal Changes and Eliminations to Certain Deductions.** The State decoupled from new Federal limits on other deductions.
- **Temporary Federal Medical Expense Deduction Increase.** Federal changes impose a two-year increase in the itemized medical expense deduction, thereby lowering taxpayer liability. The State has not changed its tax code.
- **Child Tax Credit.** Federal law changes the value of, and eligibility for, the child tax credit. The Empire State Child Tax Credit program will remain unchanged.
- **New York Single Filer Standard Deduction.** The Federal repeal of personal exemptions would have eliminated the ability of New York single-filer taxpayers to claim the standard deduction on their State tax returns. The FY 2019 Enacted Budget includes legislation to address this issue. Absent this legislation, New York State taxpayers would have been subject to an annual State tax increase of \$840 million, beginning in FY 2020.

## Employer Compensation Expense Program (ECEP)

Under legislation approved with the FY 2019 Enacted Budget, employers may opt in to a new ECEP, which is intended to mitigate the tax burden for employees affected by the SALT deduction limit. While the TCJA limits deductibility for individuals, it does not cap deductibility for ordinary and necessary business expenses paid or incurred by employers in carrying on a trade or business.

Employers that elect to participate in the ECEP will be subject to a 5 percent State tax on all annual payroll expenses in excess of \$40,000 per employee, phased in over three years beginning on January 1, 2019 as follows: 1.5 percent in tax year 2019, 3 percent in 2020, and 5 percent in 2021. Employers must elect to participate in the ECEP for the upcoming tax year by December 1 of the preceding calendar year, with the first annual election due by December 1, 2018 for the tax year beginning on January 1, 2019.

The ECEP is intended to be revenue neutral, with any decrease in New York State PIT receipts expected to be offset by a comparable increase in ECEP revenue. Remittance of ECEP revenue to the State is expected to occur on the same schedule as PIT withholdings, with remittances starting in the fourth quarter of FY 2019. A new State PIT credit will be available to employees whose wages are subject to the tax; any decrease in New York State PIT receipts is expected to be offset by a comparable increase in ECEP revenue because the formula used to calculate the State PIT credit corresponds in value to the ECEP.

DOB expects to include information on actual ECEP participation by the third quarterly update to the Enacted Budget Financial Plan, as information on employer elections becomes available.

## State Charitable Gifts Trust Fund

The FY 2019 Enacted Budget created a new State Charitable Gifts Trust Fund to accept gifts, starting in tax year 2018, for the purposes of improving health care and education in New York State. Taxpayers who itemize deductions may claim these charitable gifts as deductions on their Federal and State income tax returns. Any taxpayer making a donation may also claim a State tax credit equal to 85 percent of the donation amount for the tax year after the donation is made. State PIT receipts will be reduced by the State tax deduction and 85 percent tax credit.<sup>7</sup> Amounts on deposit in the Charitable Gifts Trust Fund at the close of calendar year 2018 are expected to be appropriated for authorized purposes in the FY 2020 Budget.

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<sup>7</sup> The FY 2019 Enacted Budget also provides that the SUNY Research Foundation, the CUNY Research Foundation, and Health Research, Inc. may accept up to \$10 million each in charitable gifts on an annual basis. State PIT receipts will also be reduced by the State tax deduction and an 85 percent credit for those donations that will be available beginning in tax year 2019.

To date, the State has received \$93 million in charitable gifts that have been deposited to the Charitable Gifts Trust Fund for healthcare and education (\$57 million and \$35 million, respectively). See the discussion below under “Impact of Tax Changes on PIT Revenue Bonds” for a stress test projection of the potential impact to bondholders from charitable giving.

## Impact of Tax Law Changes on PIT Revenue Bonds

To offset the potential reduction in the level of New York State PIT receipts resulting from activity of the ECEP and the State Charitable Gifts Trust Fund, the FY 2019 Enacted Budget amended the State Finance Law provisions creating the Revenue Bond Tax Fund to increase the percentage of New York State PIT receipts required to be deposited upon receipt in the Revenue Bond Tax Fund from 25 percent to 50 percent. In addition, the legislation that created the ECEP requires that 50 percent of ECEP receipts received by the State be deposited to the Revenue Bond Tax Fund. These changes became effective April 1, 2018.

The amendments also increase the amount of all New York State PIT receipts collected from payroll withholding and ECEP receipts that must be deposited in the Revenue Bond Tax Fund in the event that (a) the State Legislature fails to appropriate amounts required to make all debt service payments on State PIT Revenue Bonds or (b) having been appropriated and set aside pursuant to a certificate of the Director of the Budget, debt service payments and other cash requirements under the Financing Agreement have not been made when due on the State PIT Revenue Bonds. Under prior law, New York State PIT receipts from payroll withholding were to be deposited to the Revenue Bond Tax Fund until amounts on deposit in the Revenue Bond Tax Fund equaled the greater of 25 percent of annual New York State PIT receipts or \$6 billion. Under the new law, New York State PIT receipts and ECEP receipts are deposited to the Revenue Bond Tax Fund until amounts on deposit in the Revenue Bond Tax Fund equal the greater of 40 percent of the aggregate of annual New York State PIT receipts and ECEP receipts or \$12 billion.

Donations to the Charitable Gifts Trust Fund could reduce State PIT receipts by nearly one dollar for every dollar donated. Accordingly, the amount of donations to the State Charitable Gifts Trust Fund is the principal direct risk to the amount of New York State PIT receipts deposited to the Revenue Bond Tax Fund under the tax law changes enacted by the State as part of the FY 2019 Enacted Budget. To address this risk, the State increased the amount of PIT receipts deposited into the Revenue Bond Tax Fund from 25 percent to 50 percent.

DOB and DTF performed a calculation of the maximum amount of charitable donations to the State Charitable Gifts Trust Fund that could occur annually under varying assumptions as of the FY 2019 Enacted Budget. The calculation of this ceiling is intended as a stress test on State PIT receipts that may flow to the Revenue Bond Tax Fund under different levels of assumed taxpayer participation. It should not, under any circumstances, be viewed either as an estimate or projection of likely donations. The factors that may influence donation activity are complex and include, but are not limited to, possible statements, actions, or interpretive guidance by the IRS or other governmental actors relating to the deductibility of such donations; the liquidity position, risk tolerance, and knowledge of individual taxpayers; advice or guidance of tax advisors or other

professionals; changes in general economic conditions; adoption of similar trusts in other states; and tax reciprocity agreements among states.

The ceiling on the amount of potential donations is calculated to be in the range of \$28 billion annually, on average (2018 through 2022).<sup>8</sup> The calculation of the ceiling assumes that every resident taxpayer who has an incentive to donate will do so, and such donations will be equal to the total value of each resident taxpayer's SALT payments, less the value of the \$10,000 Federal SALT deduction limit, up to the value of the taxpayer's total State tax liability. The calculation is dependent on several assumptions concerning the number of itemized filers. It relies on the most recent personal income tax population study file (2015), as trended forward, as well as the impact of the TCJA and State law changes on the number and distribution of itemized and standardized filers. The calculation also assumes that (i) no further changes in Federal tax law occur, and (ii) DOB projections of the level of State taxpayer liability for the forecast period as set forth in the Enacted Budget Financial Plan are materially accurate.

In general, taxpayer behavior is simplified using assumptions that maximize the impact of charitable giving on PIT receipts in each year. After these adjustments and with inclusion of ECEP revenues, receipts to the Revenue Bond Tax Fund are projected to remain above the level of receipts that would have been expected under statutes effective prior to enactment of the FY 2019 Budget, even in a maximum participation scenario.

The DOB and DTF calculation of the projected ceiling on the amount of donations is necessarily based on many assumptions that may change materially over time. While DOB believes that these factors can be expected to constrain donation activity, there can be no assurance that, under conditions of maximum participation, the amount of annual charitable gifts will not reduce the level of PIT receipts deposited into the Revenue Bond Tax Fund below the levels projected in the FY 2019 Executive Budget Financial Plan, as amended. If that were to occur, it is DOB's expectation that changes to the tax law would be recommended to further increase the percentage of PIT receipts deposited into the Revenue Bond Tax Fund.

Accordingly, although the calculation of a maximum amount reflects DOB's and DTF's current best judgment and estimates, such amount may be higher.

## IRS Guidance

On August 23, 2018, the IRS issued proposed regulations – IRS REG-112176-18 – that seek to provide new rules governing the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a state or local tax credit for such charitable contributions. In the case of state tax credits received by a taxpayer making a charitable contribution, the proposed regulations would reduce the Federal income tax deduction available to such taxpayer by the amount of the state tax credit received by the taxpayer for such charitable contribution; provided, however, this rule would not apply, if the value of the state tax credit to be

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<sup>8</sup> The calculation of maximum potential donations is based on current law, including the scheduled reversion of the top PIT rate to 6.85 percent starting in tax year 2020.

received for the charitable contribution does not exceed 15 percent of the charitable contribution. The Treasury Department and the IRS have collected comments from the general public on these proposed regulations and held a public hearing on November 5, 2018. Based on its review of the proposed regulations, DOB anticipates that if the proposed regulations are adopted in their current form, then contributions to the State Charitable Gifts Trust Fund may decline. The proposed regulations, by their terms, do not impact the Federal tax reduction that DOB expects would result for certain taxpayers employed by entities enrolled in the ECEP.

## Implementation

The State developed the ECEP and Charitable Gifts Trust Fund based on a review of existing laws, regulations, and precedents. However, there can be no assurance that the IRS will allow taxes paid under the ECEP by an electing employer, or donations made by taxpayers to the Charitable Gifts Trust Fund, to be deductible for Federal tax purposes under current law and the TCJA. As noted above, the IRS has proposed regulations that would impair the ability of taxpayers to deduct donations to the Charitable Gifts Trust Fund from Federally taxable income while receiving State tax credits for such donations.

The FY 2019 Enacted Budget allows taxpayers to claim reimbursement from the State for interest on underpayments of Federal tax liability for the 2019, 2020 and 2021 tax years if the underpayments arise from reliance on amendments to State tax law enacted in 2018. To receive reimbursement, taxpayers are required to submit their reimbursement claims to DTF within 60 days of making an interest payment to the IRS.

There could be a material expense to the State if taxpayer participation in the ECEP and Charitable Gifts initiatives for the 2019, 2020 and 2021 tax years results in Federal determinations of underpayment of Federal income tax. Any cost to the Financial Plan from State reimbursement of interest charges would occur in FY 2021 at the earliest, for determinations on 2019 tax payments due in April 2020, or thereafter.

The Financial Plan does not include any estimate of the magnitude of the possible interest expense to the State, which depends on several factors, including: rates of participation in the ECEP; the magnitude of donations to the State Charitable Gifts Trust Fund; the amount of determinations of underpayment attributable to reliance on other changes in State tax law made in 2018; the amount of time between the due date of the return and the date any Federal determination is issued; the interest rate applied; and the frequency at which taxpayers submit timely reimbursement claims to the State. Interest on unpaid Federal tax generally accrues from the due date of the return until the date of payment in full. Under current Federal law, the interest rate is determined quarterly and is the Federal short-term rate plus 3 percent, compounded daily.







**FY 2019**  
**General Fund Financial Plan**



## General Fund Cash-Basis Financial Plan

The General Fund is affected by two fund reclassifications approved in the FY 2019 Enacted Budget. The changes have no net impact on General Fund operations, but change the reporting of receipts and disbursements in total and among spending categories.

- **Mental Hygiene Fund Reclassification.** Spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and the Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two Mental Hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central General State Charges (GSCs) budget.
- **DOT/DMV Operating Cost Reclassification.** Certain DOT and DMV operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities are reclassified from the DHBTf to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTf. The reclassification is intended to align operating and capital functions with their revenue sources.

# FY 2019 General Fund Financial Plan



The reclassifications affect the comparability of FY 2018 results to FY 2019 estimates. In addition, General Fund receipts and disbursements continue to be affected by the receipt and use of Extraordinary Monetary Settlements. The following table adjusts for the impact of the reclassifications and Extraordinary Monetary Settlements. Unless otherwise noted, the discussions of receipts and disbursements that follow the table exclude (a) the receipts and use of Extraordinary Monetary Settlements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that take effect in FY 2019. See “Financial Plan Tables and Accompanying Notes” herein for the unadjusted plan.

| GENERAL FUND FINANCIAL PLAN<br>(millions of dollars)                                    |                    |                     |               |                    |                    |                        |               |
|---|--------------------|---------------------|---------------|--------------------|--------------------|------------------------|---------------|
|   | FY 2018<br>Results | FY 2019<br>Adjusted | MH<br>Reclass | DOT/DMV<br>Reclass | FY 2019<br>Updated | Adjusted Annual Change |               |
|   |                    |                     |               |                    |                    | Dollar                 | Percent       |
| <b>Opening Fund Balance (Excluding Extraordinary Monetary Settlements)</b>              | 2,414              | 4,425               | 0             | 0                  | 4,425              | 2,011                  | 83.3%         |
| <b>Total Receipts</b>   | <u>70,642</u>      | <u>71,336</u>       | <u>986</u>    | <u>30</u>          | <u>72,352</u>      | <u>694</u>             | <u>1.0%</u>   |
| Taxes   | 67,370             | 67,486              | 0             | 0                  | 67,486             | 116                    | 0.2%          |
| Miscellaneous Receipts/Federal Grants <sup>1</sup>                                      | 2,351              | 2,167               | (166)         | 30                 | 2,031              | (184)                  | -7.8%         |
| Transfers from Other Funds  | 921                | 1,683               | 1,152         | 0                  | 2,835              | 762                    | 82.7%         |
| <b>Total Disbursements</b>  | <u>69,323</u>      | <u>73,637</u>       | <u>986</u>    | <u>30</u>          | <u>74,653</u>      | <u>4,314</u>           | <u>6.2%</u>   |
| Local Assistance  | 46,072             | 49,303              | 1,710         | 0                  | 51,013             | 3,231                  | 7.0%          |
| State Operations  | 13,800             | 14,779              | 4,075         | 388                | 19,242             | 979                    | 7.1%          |
| Transfers to Other Funds <sup>2</sup>   | 9,451              | 9,555               | (4,799)       | (358)              | 4,398              | 104                    | 1.1%          |
| <b>Net Change in Operations</b>   | 1,319              | (2,301)             | 0             | 0                  | (2,301)            | (3,620)                | -274.5%       |
| <b>General Fund Use of Extraordinary Monetary Settlements <sup>3</sup></b>              | 692                | 383                 |               |                    | 383                | (309)                  | -44.7%        |
| <b>Closing Fund Balance (Excluding Extraordinary Monetary Settlements) <sup>2</sup></b> | <u>4,425</u>       | <u>2,507</u>        | <u>0</u>      | <u>0</u>           | <u>2,507</u>       | <u>(1,918)</u>         | <u>-43.3%</u> |
| <b>Extraordinary Monetary Settlements</b>   |                    |                     |               |                    |                    |                        |               |
| Opening Balance   | 5,335              | 5,020               |               |                    | 5,020              | (315)                  | -5.9%         |
| New Settlements Received <sup>4</sup>   | 805                | 518                 |               |                    | 518                | (287)                  | -35.7%        |
| Transfers/Uses  | (1,120)            | (1,589)             |               |                    | (1,589)            | (469)                  | 41.9%         |
| <b>Closing Balance (Extraordinary Monetary Settlements)</b>                             | <u>5,020</u>       | <u>3,949</u>        |               |                    | <u>3,949</u>       | <u>(1,071)</u>         | <u>-21.3%</u> |
| <b>Closing Fund Balance (Including Extraordinary Monetary Settlements)</b>              | <u>9,445</u>       | <u>6,456</u>        |               |                    | <u>6,456</u>       | <u>(2,989)</u>         | <u>-31.6%</u> |

<sup>1</sup> Excludes the Extraordinary Monetary Settlements received by the General Fund, except those amounts retained by the Department of Law in other funds to support operational costs.

<sup>2</sup> Excludes the use of Extraordinary Monetary Settlements to support transfers from the General Fund to other funds (e.g., Dedicated Infrastructure Investment Fund).

<sup>3</sup> FY 2018: \$461 million for operations, \$76 million for an unbudgeted litigation payment, and \$155 million set aside to fund potential retroactive salary increases. FY 2019: \$383 million expected to be used for operations.

<sup>4</sup> Includes the gross value of all settlements received by the State, including funds retained by the Department of Law in other funds to support operational costs.

## Receipts<sup>9</sup>

General Fund receipts, including transfers from other funds, are projected to total \$71.3 billion in FY 2019, an increase of \$694 million (1.0 percent) from FY 2018 results. The annual change is impacted by the shift of an estimated \$1.9 billion of receipts from FY 2019 into FY 2018 due to the TCJA and the payment of \$500 million in additional PIT refunds in the last quarter of FY 2018. Excluding these shifts, the total receipts increase is \$4.0 billion or 5.8 percent.

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to total \$46.4 billion, an annual decrease of \$580 million. Excluding the shifts described above, the underlying PIT growth of roughly 6.0 percent is consistent with forecasted economic growth and revisions based on April 2018 results.

General Fund consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$13.4 billion, an annual increase of \$207 million (1.6 percent). This reflects projected growth in disposable income and taxable consumption.

General Fund business tax receipts are estimated at \$5.6 billion, an increase of \$710 million (14.4 percent). This growth is due to projected increases in corporate profits and new for-profit insurance providers subject to a premium insurance tax.

Other tax receipts to the General Fund are expected to total \$2.0 billion including transfers after payment of debt service on Clean Water/Clean Air (CW/CA) bonds, a decrease of \$221 million (9.7 percent). This decrease reflects reflecting a return to an average number of estate tax payments exceeding \$25 million.

Non-tax receipts are estimated at \$3.9 billion, an increase of \$578 million. The growth is mainly due to the expected transfer of resources from the HCTF.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Projections Fiscal Years 2019 Through 2022" herein.

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<sup>9</sup> The reported activity by Financial Plan category excludes the impact of (a) Extraordinary Monetary Settlements on receipts and disbursements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that take effect in FY 2019.

## Disbursements<sup>10</sup>

General Fund disbursements, including transfers to other funds, are expected to total \$73.6 billion in FY 2019, an annual increase of \$4.3 billion (6.2 percent) from FY 2018 results.

Local assistance grants are expected to total \$49.3 billion in FY 2019, an annual increase of \$3.2 billion (7.0 percent). The largest increases are \$1.0 billion for School Aid (on a State fiscal year basis) and \$971 million for Medicaid.

General Fund disbursements for agency operations, including fringe benefits and fixed costs, are expected to total \$14.8 billion, an annual increase of \$979 million (7.1 percent). Personal and non-personal service costs increased \$685 million from FY 2018, reflecting increased personal service costs driven by labor agreements. Fringe benefit costs associated with State employees, including retiree health insurance costs, are expected to increase by \$294 million (5.3 percent), mainly due to negotiated rate increases reflecting medical cost inflation and current enrollment levels. The State's costs for Workers' Compensation are expected to increase by \$174 million, due to underlying growth in the average weekly wage benefit and medical costs (\$69 million), as well as a reduction in other resources available to offset costs (\$105 million).

General Fund transfers to other funds are estimated to total \$9.6 billion, an increase of \$104 million. The increase is mainly due to transfers for capital projects (excluding transfers funded with Extraordinary Monetary Settlements), reflecting the timing of General Fund capital reimbursements from bond proceeds.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursement projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Projections Fiscal Years 2019 through 2022" herein.

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<sup>10</sup> The reported activity by Financial Plan category excludes the impacts of (a) Extraordinary Monetary Settlements on receipts and disbursements and (b) the fund reclassifications for mental hygiene activity and the DHBTf that take effect in FY 2019.

## Closing Balance for FY 2019

DOB projects that the State will end FY 2019 with a General Fund cash balance of \$6.5 billion, a decrease of \$3.0 billion from FY 2018. The General Fund closing balance, excluding Extraordinary Monetary Settlements, is estimated at \$2.5 billion, or \$1.9 billion lower than the closing balance at the end of FY 2018. The change is due almost entirely to the expected use of the \$1.9 billion in cash received in FY 2018 that is attributed to taxpayer behavior, principally the acceleration of tax payments in response to the Federal limit on SALT deductibility, which became effective January 1, 2018.

Balances in the State’s principal "rainy day" reserves, the Tax Stabilization Reserve and the Rainy Day Reserve, are expected to remain unchanged at \$1.8 billion. The Financial Plan continues to maintain a reserve of \$500 million for debt management purposes. DOB will decide on the use of these funds based on market conditions, financial plan needs, and other factors.

The balance from Extraordinary Monetary Settlements is expected to total \$3.9 billion at the close of FY 2019, a decrease of \$1.1 billion from FY 2018. The anticipated decrease reflects the use of Extraordinary Monetary Settlements to fund activities appropriated from other funds (\$937 million); the MTA Subway Action Plan (\$194 million); and general operations (\$383 million), partly offset by the receipt of settlement payments to date in FY 2019 (\$518 million less \$75 million retained by the Department of Law in other funds).

| <b>TOTAL BALANCES</b><br>(millions of dollars)                 |                            |                            |                          |
|--|----------------------------|----------------------------|--------------------------|
|  | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>Annual<br/>Change</b> |
| <b>TOTAL GENERAL FUND BALANCE</b>                              | <b>9,445</b>               | <b>6,456</b>               | <b>(2,989)</b>           |
| <b>General Fund (Excl. Extraordinary Monetary Settlements)</b> | <b>4,425</b>               | <b>2,507</b>               | <b>(1,918)</b>           |
| <b>Statutory Reserves:</b>                                     |                            |                            |                          |
| "Rainy Day" Reserves   | 1,798                      | 1,798                      | 0                        |
| Community Projects   | 46                         | 33                         | (13)                     |
| Contingency Reserve  | 21                         | 21                         | 0                        |
| <b>Fund Balance Reserved for:</b>                              |                            |                            |                          |
| Debt Management  | 500                        | 500                        | 0                        |
| Labor Agreements   | 155                        | 155                        | 0                        |
| Undesignated Fund Balance                                      | 1,905                      | 0                          | (1,905)                  |
| <b>Extraordinary Monetary Settlements Fund Balance</b>         | <b>5,020</b>               | <b>3,949</b>               | <b>(1,071)</b>           |

## Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or until the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2019 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

| <b>PROJECTED MONTH-END CASH BALANCES</b> |                         |                        |                      |
|--|-------------------------|------------------------|----------------------|
| <b>FY 2019</b>                           |                         |                        |                      |
| <b>(millions of dollars)</b>             |                         |                        |                      |
|  | <b>General<br/>Fund</b> | <b>Other<br/>Funds</b> | <b>All<br/>Funds</b> |
| <b>April</b>                             | 9,938                   | 4,075                  | 14,013               |
| <b>May</b>                               | 5,130                   | 3,865                  | 8,995                |
| <b>June</b>                              | 6,313                   | 4,853                  | 11,166               |
| <b>July</b>                              | 5,417                   | 6,286                  | 11,703               |
| <b>August</b>                            | 4,114                   | 6,555                  | 10,669               |
| <b>September</b>                         | 6,451                   | 4,883                  | 11,334               |
| <b>October</b>                           | 6,612                   | 4,516                  | 11,128               |
| <b>November</b>                          | 3,883                   | 3,521                  | 7,404                |
| <b>December</b>                          | 6,478                   | 4,743                  | 11,221               |
| <b>January</b>                           | 12,456                  | 4,418                  | 16,874               |
| <b>February</b>                          | 12,748                  | 3,763                  | 16,511               |
| <b>March</b>                             | 6,456                   | 3,899                  | 10,355               |



## Extraordinary Monetary Settlements

From the beginning of FY 2015 through October 2018, DOB calculates that the State has received a total of \$11.2 billion in Extraordinary Monetary Settlements for violations of State laws by major financial and other institutions. The following table lists the Extraordinary Monetary Settlements by firm and amount.

| SUMMARY OF RECEIPTS OF EXTRAORDINARY MONETARY SETTLEMENTS BETWEEN<br>REGULATORS AND FINANCIAL INSTITUTIONS<br>(millions of dollars) |              |              |              |            |            |               |
|---|--------------|--------------|--------------|------------|------------|---------------|
|   | FY 2015      | FY 2016      | FY 2017      | FY 2018    | FY 2019    | Total         |
| <b>Extraordinary Monetary Settlements</b>   | <b>4,942</b> | <b>3,605</b> | <b>1,317</b> | <b>805</b> | <b>518</b> | <b>11,187</b> |
| BNP Paribas   | 2,243        | 1,348        | 0            | 350        | 0          | 3,941         |
| Department of Financial Services (DFS)  | 2,243        | 0            | 0            | 350        | 0          | 2,593         |
| Asset Forfeiture (DANY)   | 0            | 1,348        | 0            | 0          | 0          | 1,348         |
| Deutsche Bank   | 0            | 800          | 444          | 0          | 205        | 1,449         |
| Credit Suisse AG  | 715          | 30           | 0            | 135        | 0          | 880           |
| Commerzbank   | 610          | 82           | 0            | 0          | 0          | 692           |
| Barclays  | 0            | 670          | 0            | 0          | 0          | 670           |
| Credit Agricole   | 0            | 459          | 0            | 0          | 0          | 459           |
| Bank of Tokyo Mitsubishi  | 315          | 0            | 0            | 0          | 0          | 315           |
| Bank of America   | 300          | 0            | 0            | 0          | 0          | 300           |
| Standard Chartered Bank   | 300          | 0            | 0            | 0          | 0          | 300           |
| Goldman Sachs   | 0            | 50           | 190          | 0          | 55         | 295           |
| Morgan Stanley  | 0            | 150          | 0            | 0          | 0          | 150           |
| Bank Leumi  | 130          | 0            | 0            | 0          | 0          | 130           |
| Ocwen Financial   | 100          | 0            | 0            | 0          | 0          | 100           |
| Citigroup (State Share)   | 92           | 0            | 0            | 0          | 0          | 92            |
| MetLife Parties   | 50           | 0            | 0            | 0          | 0          | 50            |
| American International Group, Inc.  | 35           | 0            | 0            | 0          | 0          | 35            |
| PricewaterhouseCoopers LLP  | 25           | 0            | 0            | 0          | 0          | 25            |
| AXA Equitable Life Insurance Company  | 20           | 0            | 0            | 0          | 0          | 20            |
| Promontory  | 0            | 15           | 0            | 0          | 0          | 15            |
| New Day   | 0            | 1            | 0            | 0          | 0          | 1             |
| Volkswagen  | 0            | 0            | 32           | 33         | 0          | 65            |
| Mega Bank   | 0            | 0            | 180          | 0          | 0          | 180           |
| Agricultural Bank of China  | 0            | 0            | 215          | 0          | 0          | 215           |
| PHH Mortgage  | 0            | 0            | 28           | 0          | 0          | 28            |
| Intesa SanPaolo   | 0            | 0            | 235          | 0          | 0          | 235           |
| Habib Bank  | 0            | 0            | 0            | 225        | 0          | 225           |
| Cigna   | 0            | 0            | 0            | 2          | 0          | 2             |
| Western Union   | 0            | 0            | 0            | 60         | 0          | 60            |
| RBS Financial Products Inc.   | 0            | 0            | 0            | 0          | 100        | 100           |
| Bank of America Merrill Lynch   | 0            | 0            | 0            | 0          | 42         | 42            |
| UBS   | 0            | 0            | 0            | 0          | 41         | 41            |
| Athene Life Insurance   | 0            | 0            | 0            | 0          | 15         | 15            |
| Nationstar Mortgage   | 0            | 0            | 0            | 0          | 5          | 5             |
| William Penn  | 0            | 0            | 0            | 0          | 6          | 6             |
| Lockton Affinity  | 0            | 0            | 0            | 0          | 7          | 7             |
| Chubb   | 0            | 0            | 0            | 0          | 1          | 1             |
| Mashreqbank   | 0            | 0            | 0            | 0          | 40         | 40            |
| Other Settlements   | 7            | 0            | (7)          | 0          | 1          | 1             |

Since the First Quarterly Update, the State received \$40 million from Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, Mashreqbank) for a penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and the DFS. This consent order pertains to Mashreqbank's (a) failure to maintain an effective and compliant anti-money laundering program, and (b) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions.

In addition, Wells Fargo & Company ("Wells Fargo") will pay a \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General. This Settlement Agreement pertains to Wells Fargo's representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.

## Uses of Extraordinary Monetary Settlements

A total of \$10.8 billion in Extraordinary Monetary Settlements has been allocated to date. The remaining balance of \$411 million remains unallocated.

| GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM EXTRAORDINARY MONETARY SETTLEMENTS<br>BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS<br>(millions of dollars) |         |         |         |         |         |         |         |         |         |         |
|--|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
|  | FY 2015 | FY 2016 | FY 2017 | FY 2018 | FY 2019 | FY 2020 | FY 2021 | FY 2022 | FY 2023 | FY 2024 |
| <b>Opening Settlement Balance in General Fund</b>  | 0       | 4,667   | 6,300   | 5,335   | 5,020   | 3,949   | 2,908   | 2,167   | 1,282   | 613     |
| <b>Receipt of Extraordinary Monetary Settlement Payment</b>  | 4,942   | 3,605   | 1,317   | 805     | 518     | 0       | 0       | 0       | 0       | 0       |
| <b>Use/Transfer of Funds</b>   | 275     | 1,972   | 2,282   | 1,120   | 1,589   | 1,041   | 741     | 885     | 669     | 202     |
| Capital Purposes:  |         |         |         |         |         |         |         |         |         |         |
| Transfer to DIIF   | 0       | 857     | 697     | 941     | 1,009   | 1,145   | 989     | 822     | 646     | 202     |
| Transfer to Environmental Protection Fund  | 0       | 0       | 120     | 0       | 0       | 0       | 0       | 0       | 0       | 0       |
| Transfer to Capital Projects Fund - Mass Transit   | 0       | 0       | 0       | 67      | 6       | 6       | 6       | 0       | 0       | 0       |
| Transfer to Capital Projects Fund - Healthcare   | 0       | 0       | 0       | 19      | 72      | 70      | 80      | 63      | 23      | 0       |
| Transfer to DIIF for Javits Center Expansion   | 0       | 0       | 0       | 164     | 350     | 320     | 166     | 0       | 0       | 0       |
| Bond Proceed Receipts for Javits Center Expansion  | 0       | 0       | 0       | 0       | 0       | (500)   | (500)   | 0       | 0       | 0       |
| FY 2017 Temporary Loan to Capital Projects Fund  | 0       | 0       | 1,300   | (1,300) | 0       | 0       | 0       | 0       | 0       | 0       |
| FY 2018 Temporary Loan to Capital Projects Fund  | 0       | 0       | 0       | 500     | (500)   | 0       | 0       | 0       | 0       | 0       |
| Other Purposes:  |         |         |         |         |         |         |         |         |         |         |
| Transfer to Audit Disallowance - Federal Settlement  | 0       | 850     | 0       | 0       | 0       | 0       | 0       | 0       | 0       | 0       |
| CSX Litigation Payment   | 0       | 0       | 0       | 76      | 0       | 0       | 0       | 0       | 0       | 0       |
| Financial Plan - General Fund Operating Purposes   | 275     | 250     | 102     | 461     | 383     | 0       | 0       | 0       | 0       | 0       |
| Transfer to Local Assistance Account - Mass Transit Operating  | 0       | 0       | 0       | 10      | 0       | 0       | 0       | 0       | 0       | 0       |
| MTA Operating Aid  | 0       | 0       | 0       | 0       | 194     | 0       | 0       | 0       | 0       | 0       |
| Department of Law - Litigation Services Operations   | 0       | 10      | 63      | 27      | 75      | 0       | 0       | 0       | 0       | 0       |
| Transfer to OASAS Chemical Dependence Program  | 0       | 5       | 0       | 0       | 0       | 0       | 0       | 0       | 0       | 0       |
| Reservation of Funds:  |         |         |         |         |         |         |         |         |         |         |
| Reserve for Retroactive Labor Settlements  | 0       | 0       | 0       | 155     | 0       | 0       | 0       | 0       | 0       | 0       |
| <b>Closing Settlement Balance in General Fund</b>  | 4,667   | 6,300   | 5,335   | 5,020   | 3,949   | 2,908   | 2,167   | 1,282   | 613     | 411     |

A total of \$7.8 billion of Extraordinary Monetary Settlement receipts has been, or is expected to be, used to finance various spending from capital appropriations, including operating activities associated with the maintenance, protection, preservation, and operation of capital assets. Another \$2.9 billion has been, or is expected to be, used for other purposes, including resolution of certain matters such as Office for People with Developmental Disabilities (OPWDD) Federal disallowances in FY 2016, retroactive labor costs, General Fund operations, one-time litigation payments to CSX Transportation, Inc. (CSX), and costs of the Department of Law's Litigation Services Bureau.

The Financial Plan reflects use of previously unallocated Extraordinary Monetary Settlements to support:

- **General Fund Operations (\$383 million).** Consistent with prior years, the Financial Plan reflects the use of funds not appropriated for other purposes.
- **MTA Subsidy (\$194 million).** Additional support will be provided to the MTA for its operations in FY 2019 as part of the State's commitment to fund 50 percent of the MTA's New York City Subway Action Plan.
- **Health Care Capital Grants (\$125 million).** An additional \$525 million will be provided to the Health Care Facility Transformation Program, of which \$125 million will be funded from Extraordinary Monetary Settlements over a multi-year period.



# **Other Matters Affecting the Financial Plan**



## General

The Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. For instance, actual receipts collections have fallen substantially below the levels forecasted in certain fiscal years. In addition, certain projections contained in this Financial Plan assume that annual growth in State Operating Funds spending will be limited to 2 percent in FY 2020, FY 2021, and FY 2022, and that all savings that result from the 2 percent spending growth benchmark will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex Budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, and adhere to the limit of the State's 2 percent annual spending growth benchmark.

The Financial Plan is based on numerous assumptions, including condition of the State and national economies, and the concomitant collection of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning economic and receipts forecasts include impacts of: national and international events; ongoing financial risks in the eurozone; changes in consumer confidence, oil and gas supplies and prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; Federal tax law and other programmatic purposes; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; effect of household debt on consumer spending and State tax collections; and outcomes of litigation and other claims affecting the State.

The Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; willingness and ability of the Federal government to provide the aid projected in the Financial Plan; ability of the State to implement cost reduction initiatives, including reductions in State agency operations, success with which the State controls expenditures; and ability of the State and its public authorities to issue securities successfully in the public credit markets. Some of these issues are described in more detail herein. Projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

## Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; use of non-recurring resources; or other measures. In some cases, the ability of the State to implement such actions requires approval of the Legislature and cannot be implemented solely by action of the Governor.

Financial Plan projections for the FYs 2020 through 2022 assume that School Aid and Medicaid disbursements will not exceed the annual growth in NYS personal income, and the ten-year rolling average growth of the medical component of the Consumer Price Index (CPI), respectively. However, since FY 2014, the State has annually authorized School Aid spending increases above the PIGI. In SY 2019, the Financial Plan reflects a 3.6 percent School Aid increase, compared to 1.5 percent growth in the PIGI. For SY 2020 the Financial Plan reflects a School Aid increase of 3.6 percent. However, recently updated personal income growth data results in a 6.1 percent index.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the Financial Plan. Over the past six years, the Department of Health (DOH) State Funds Medicaid spending levels have remained at or below indexed levels without requiring the Commissioner to exercise this authority. However, Medicaid program spending is sensitive to several factors including fluctuations in economic conditions, which may increase caseload, and changes in Federal aid, which could affect State health care spending. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing reimbursements to providers. These actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Global Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds and, therefore, General Fund spending remains sensitive to revenue performance in the State's HCRA fund. The HCRA fund finances approximately one-quarter of the State's share of Medicaid costs.

The Financial Plan forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of certain revenue sharing payments under the Tribal-State compact, including payments from the Seneca Nation<sup>11</sup>; receipt of miscellaneous revenues at the levels expected in the Financial Plan; and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years, or both.

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<sup>11</sup> The Seneca Nation has withheld payments to the State expected in FY 2018 and FY 2019 (between April 1, 2017 and September 30, 2018). The State and Seneca Nation are currently in arbitration. The Financial Plan assumes successful resolution by the end of FY 2019.



The Financial Plan also includes actions that affect spending reported on a State Operating Funds basis of reporting including (a) realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (b) payment of certain operating costs using available resources in accounts outside the State Operating Funds basis of reporting; and (c) restructuring of the STAR program as a tax credit for consistency with the reporting of other State tax credits. If these and other transactions are not implemented as planned, annual spending growth in State Operating Funds would increase above current estimates.

In developing the Financial Plan, DOB attempts to mitigate financial risks from receipts volatility, litigation, and unexpected costs, with particular emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements, and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a given year, acceleration of tax refunds above the level budgeted in a given year, and prepayment of expenses). There can be no assurance that such resources will be sufficient to address risks that may materialize in a given fiscal year.

### Federal Issues

The State receives substantial Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from, severe weather events and other disasters. Many policies that drive this Federal aid may be subject to change under the Trump Administration and current Congress. Current Federal aid projections, and assumptions on which they rely, are subject to revision as a result of changes in Federal policy. Actions by the Federal government, including the TCJA, pose a heightened risk to State finances. Enactment of Federal tax law changes is currently projected to add \$1.9 trillion to the Federal deficit over the next ten years,<sup>12</sup> increasing the likelihood that Congress will seek material cuts in Federal aid programs or impose new barriers to the receipt of Federal aid by families and individuals.

In addition, the Financial Plan may also be adversely affected by other Federal government actions, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For instance, the Financial Plan includes reimbursement to the Federal government of \$100 million annually through FY 2027 pursuant to a March 2015 agreement between the State and the Centers for Medicare and Medicaid Services (CMS). The agreement resolved a pending disallowance for FY 2011 and all related payment disputes for State-provided services prior to April 1, 2013, including home and community-based waiver services. Pursuant to the agreement, the State must adjust the Federal/State share of future Medicaid costs to reimburse the Federal government. The State used \$850 million in Extraordinary Monetary Settlement payments, previously set aside for financial risks, to finance the initial repayment amount in FY 2016.

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<sup>12</sup> Congressional Budget Office, “The Budget and Economic Outlook: 2018 to 2028”, April 2018.

Given this uncertainty, the Enacted Budget includes legislation to continue authorization for a process by which the State could manage any potentially significant reductions in Federal aid during FYs 2019 and 2020. Specifically, the legislation allows the Budget Director to prepare a plan for consideration by the Legislature if Federal policymakers (a) reduce Federal Financial Participation (FFP) in Medicaid funding to New York State or its subdivisions by \$850 million or more; or (b) reduce FFP or other Federal aid to New York State that affects the State Operating Funds financial plan by \$850 million or more, exclusive of any cuts to Medicaid. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must equally and proportionally reduce appropriations and cash disbursements in the General Fund and State Special Revenue Funds. Upon receipt of the plan, the Legislature has 90 days to prepare its own corrective action plan, which may be adopted by concurrent resolution passed by both the Senate and Assembly. Otherwise, the plan submitted by the Budget Director takes effect automatically.

### Current Federal Aid

President Trump has proposed significant cuts to mandatory and discretionary domestic programs in Federal Fiscal Years (FFYs) 2018 and 2019. Proposed cuts for FFY 2018 were largely rejected by the Consolidated Appropriations Act of 2018, enacted in March 2018. Portions of the FFY 2019 budget are still under consideration by Congress. If the proposed cuts are adopted, Federal aid to New York could be reduced by billions of dollars.

The Budget Control Act (BCA) of 2011, which temporarily raised the Federal debt limit, established discretionary spending caps on the Federal government through FFY 2021, and under certain conditions institutes automatic spending cuts for specific Federal funds on which the State relies. Discretionary Federal funding to the State could be reduced if these caps are not adjusted, suspended or eliminated. On February 9, 2018, the Federal government enacted legislation increasing the spending caps for FFYs 2018 and 2019, lessening the potential for significant spending cuts in discretionary domestic programs through FFY 2019.

### Medicaid Disproportionate Share Hospital (DSH) Payments

Provisions within the Medicaid statute allow for a capped amount of payments to hospitals that treat a disproportionate number of Medicaid recipients. Changes made initially in the Affordable Care Act (ACA) to reduce the aggregate amount of Federal reimbursements for DSH payments came into effect with the start of FFY 2018, beginning October 1, 2017. These changes have since been delayed to FFY 2020 (beginning October 1, 2019) by Federal legislation enacted on February 9, 2018. The legislation also accelerates full implementation of the DSH cuts to begin in FFY 2021, in contrast to the previous multi-year phase-in.

DOB estimates that if the changes take effect as scheduled, New York will see the largest reduction among all states, costing the State billions of dollars in lost Federal DSH payments when fully phased in. DOB continues to monitor Federal Medicaid DSH payment policies.

## Federal Health Care Policy

In 2017, the Federal government attempted to end the Basic Health Program Essential Plan (EP) in New York State, the ACA's Medicaid expansion, and to shift a larger share of growth in Medicaid costs to states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended entitlement. If these bills had been enacted into law, these policies would have had a substantial adverse impact on the Financial Plan.

Additionally, the Trump Administration withheld Cost Sharing Reduction (CSR) payments, threatening low-cost health insurance coverage for income-eligible recipients purchasing Qualified Health Plan (QHP) or EP coverage through the New York State of Health (NYSOH), New York's official health plan marketplace. However, recent actions by the Trump Administration in response to litigation brought by the State will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology. The Financial Plan continues to reflect support for the EP program.

While Federal funding for the Children's Health Insurance Program (CHIP) has been reauthorized through FFY 2027, other Federal changes could potentially affect the State's health care policies. DOB continues to monitor Federal health care policy.

## Excise Tax on High-Cost Employer-Sponsored Health Coverage ("Cadillac Tax")

The Excise Tax on High-Cost Employer-Sponsored Health Coverage (26 USC 4980I) is a 40 percent excise tax assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The provision was initially included in the ACA to offset mandatory spending increases but has since been altered by intervening laws that delay the implementation of the tax until 2022.

Regulations from the IRS have yet to be published. DOB has no current estimate as to the potential impact to the Financial Plan from this Federal excise tax.

## Medicaid Redesign Team (MRT) Medicaid Waiver

The Federal CMS and the State have an agreement authorizing up to \$8 billion in new Federal funding over several years to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, the State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. DOH continues to work with the CMS and to refine eligibility data systems to draw the appropriate amount of enhanced FMAP funding. This reconciliation may result in a modification of payments to the State and local governments.

### Federal Debt Limit

On February 9, 2018, the Federal government enacted legislation suspending the Federal debt limit through March 1, 2019, forestalling possible default by the Federal government until at least that time. A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on both national and State economies, financial markets, and intergovernmental aid payments. Specific effects on the Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, including the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

### **Current Labor Negotiations and Agreements (Current Contract Period)**

The State has multi-year labor agreements in place with the majority of the unionized workforce. CSEA and DC-37 (Rent Regulation) employees have a five-year labor contract that provides annual salary increases of 2 percent for FYs 2017 through 2021 and additional compensation changes, offset by benefit design changes within NYSHIP and reductions in overtime costs.

On September 4, 2018, the United University Professions (UUP) ratified a six-year collective bargaining agreement that covers academic years 2017 through 2022. The agreement provides for a 2 percent general salary increase in each year of the contract and additional compensation changes, which are partly offset by benefit design changes within NYSHIP. The cost of the agreement (approximately \$225 million in FY 2019) has been included in this Mid-Year Update and is primarily funded by SUNY with the exception of the related fringe benefit costs, which are paid by the State.

On October 10, 2018, the Police Benevolent Association of the New York State Troopers (NYSTPBA) ratified a five-year collective bargaining agreement for FY 2019 through FY 2023. The agreement provides for a 2 percent annual general salary increase in each year of the contract and additional compensation changes, which are partly offset by changes to health insurance benefit design within NYSHIP, similar to UUP and CSEA. The cost of this agreement is expected to be offset by agency management plan savings, consistent with past practice.

Employees represented by the Public Employees Federation (PEF) and the Graduate Student Employees Union (GSEU) have a three-year collective bargaining agreement providing 2 percent annual salary increases in FYs 2017 through 2019. Salary increases provided to PEF and GSEU employees have also been extended to Management/Confidential (M/C) employees.

The State is in negotiations with all other employee unions whose contracts concluded in previous fiscal years, including the New York State Police Investigators Association (NYSPIA), Council 82, and the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA) following the March 2017 membership rejection of a tentative collective bargaining agreement on a five-year labor contract through FY 2021.<sup>13</sup> Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose contract expired at the end of FY 2015.

The Judiciary has reached agreements with all 12 unions represented within its workforce. The contract periods are as follows: FY 2018 to FY 2020 for CSEA; FY 2012 to FY 2019 for Court Officers Benevolent Association of Nassau County (COBANC); FY 2012 to FY 2021 for the NYS Supreme Court Officers Association, the NYS Court Officers Association and the Court Clerks Association; and FY 2017 to FY 2019 for seven other unions.

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<sup>13</sup> The five-year collective bargaining agreement with NYSCOPBA that was not ratified would have provided for annual 2 percent general salary increases through FY 2021, and differentials typically received within the law enforcement community (e.g., Hazardous Duty Pay), the costs of which were offset by benefit design changes within NYSHIP and reductions in overtime costs.

## Pension Contributions

### Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in Employees' Retirement System (ERS) and Police and Fire Retirement System (PFRS). This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.<sup>14</sup> All estimates are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the NYSLRS announced that employer contribution rates would decrease beginning in FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. Salary scale assumptions were also changed – for ERS the scale was reduced from 4.9 percent to 3.8 percent and for PFRS the scale was reduced from 6.0 percent to 4.5 percent. During FY 2019, salary scale assumptions were further changed via a one-time 10 percent increase for both ERS and PFRS, to be reflected in FY 2020 contribution rates.

### FY 2019 Projections

The State's FY 2019 ERS/PFRS pension estimate of \$2.2 billion is based on the most recent bill prepared by OSC as of October 2018. The estimate includes payment of \$432 million towards the balance outstanding on prior-year deferrals (i.e., amortizations) and additional interest savings from paying the majority of the pension bill in April 2018. The State's FY 2019 ERS/PFRS pension estimate is revised downward based on actual FY 2018 salary base (used to calculate the FY 2019 bill), which was lower than previously projected.

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<sup>14</sup> The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both SUNY and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).



## Other Matters Affecting the Financial Plan

### FY 2020 Projections

The preliminary FY 2020 ERS/PFRS pension liability of \$2.3 billion is impacted by FY 2018 investment returns of 11.4 percent, which was above the Comptroller's assumed rate of return (7 percent). The estimate also reflects the impact of past investment performance and growth in the number of lower cost Tier 6 members. As a result, the average contribution rate for ERS will decrease from 14.9 percent of payroll to 14.6, while the average contribution rate for PFRS will remain stable at 23.5 percent.<sup>15</sup>

The pension liability also reflects changes to military service credit provisions found in Section 1000 of the Retirement and Social Security Law (RSSL) enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may, upon application, receive extra service credit for up to three years of military duty if such veterans (a) were honorably discharged, (b) have achieved five years of credited service in a public retirement system, and (c) have agreed to pay the employee share of such additional pension credit. Costs to the State for employees in the ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed across PFRS employers and billed on a two-year lag (e.g. FY 2017 costs will first be billed in FY 2019). Additionally, Section 25 of the RSSL requires the State to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits newly incurred in a given fiscal year; however, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The ERS cost to the State (including the costs covered for local ERS) was \$52 million in FY 2018 based on actual credit purchased through December 31, 2017. DOB currently estimates ERS costs of \$30 million in FY 2019 and \$30 million in FY 2020. Additionally, the State expects ongoing costs of \$7 million beginning in FY 2021 as additional veterans become eligible to purchase the credit.

### Outyear Projections

Pension estimates for FY 2021 and beyond, as projected by DOB, reflect growth in normal costs based primarily on the expectation that collective bargaining will result in continued salary increases, and that investment returns will be below the actuarially assumed 7 percent rate of return in the near-to-mid-term.

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<sup>15</sup> Average contribution rates include the Group Life Insurance Program (GLIP), and thus differ from the system average normal rates reported in the pension amortization section.



## Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorized the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower recalculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e., the normal rate<sup>16</sup>) to a system-wide amortization threshold (i.e., the graded rate). Graded rates are determined for ERS and PFRS according to a formula enacted in the 2010 legislation, and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate. Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates. Chapter 48 of the Laws of 2017 changed the graded rate computation to provide an employer specific graded rate based on the employer's own tier and plan demographics.

The State has not amortized its pension costs [including the Office of Court Administration (OCA)] since FY 2016.

The amortization threshold is projected to equal the normal rate in upcoming State fiscal years. The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS.

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<sup>16</sup> For the purpose of this discussion, "normal rate" refers to all amortization-eligible costs (i.e. normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.



| EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM<br>IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS<br>(millions of dollars) |   |  |                           |                                  |  |   |          |                                      |          |
|---|---|--|---------------------------|----------------------------------|--|---|----------|--------------------------------------|----------|
| Fiscal Year   | Statewide Pension Payments <sup>1</sup> |  |                           |                                  | Rates for Determining (Amortization Amount) / Excess Contributions |   |          |                                      |          |
|   | Normal Costs <sup>2</sup>               | (Amortization Amount) / Excess Contributions | Repayment of Amortization | Total Statewide Pension Payments | Interest Rate on Amortization Amount (%) <sup>3</sup>              | System Average Normal Rate <sup>4</sup> |          | Amortization Threshold (Graded Rate) |          |
|   |   |  |                           |                                  |  | ERS (%)                                 | PFRS (%) | ERS (%)                              | PFRS (%) |
| 2011  | 1,543.2                                 | (249.6)                                      | 0.0                       | 1,293.6                          | 5.0  | 11.5                                    | 18.1     | 9.5                                  | 17.5     |
| 2012  | 2,037.6                                 | (562.9)                                      | 32.3                      | 1,507.0                          | 3.8  | 15.9                                    | 21.6     | 10.5                                 | 18.5     |
| 2013  | 2,076.1                                 | (778.5)                                      | 100.8                     | 1,398.4                          | 3.0  | 18.5                                    | 25.7     | 11.5                                 | 19.5     |
| 2014  | 2,633.8                                 | (937.0)                                      | 192.0                     | 1,888.8                          | 3.7  | 20.5                                    | 28.9     | 12.5                                 | 20.5     |
| 2015  | 2,325.7                                 | (713.1)                                      | 305.7                     | 1,918.3                          | 3.2  | 19.7                                    | 27.5     | 13.5                                 | 21.5     |
| 2016  | 1,972.1                                 | (356.1)                                      | 389.9                     | 2,005.9                          | 3.2  | 17.7                                    | 24.7     | 14.5                                 | 22.5     |
| 2017  | 1,788.6                                 | 0.0  | 432.1                     | 2,220.7                          | 2.3  | 15.1                                    | 24.3     | 15.1                                 | 23.5     |
| 2018  | 1,786.6                                 | 0.0  | 432.1                     | 2,218.7                          | 2.8  | 14.9                                    | 24.3     | 14.9                                 | 24.3     |
| 2019 Est.   | 1,774.8                                 | 0.0  | 432.1                     | 2,206.9                          | 3.6  | 14.4                                    | 23.5     | 14.4                                 | 23.5     |
| 2020 Est.   | 1,838.3                                 | 0.0  | 432.1                     | 2,270.4                          | TBD  | 14.2                                    | 23.5     | 14.2                                 | 23.5     |
| <i>Projected by DOB<sup>5</sup></i>   |   |  |                           |                                  |  |   |          |                                      |          |
| 2021  | 1,922.7                                 | 0.0  | 432.1                     | 2,354.8                          | TBD  | 15.3                                    | 24.5     | 15.2                                 | 24.5     |
| 2022  | 2,157.9                                 | 0.0  | 399.8                     | 2,557.7                          | TBD  | 16.2                                    | 25.5     | 16.2                                 | 25.5     |
| 2023  | 2,477.5                                 | 0.0  | 331.3                     | 2,808.8                          | TBD  | 17.2                                    | 26.5     | 17.2                                 | 26.5     |
| 2024  | 1,860.6                                 | 0.0  | 240.1                     | 2,100.7                          | TBD  | 16.2                                    | 25.5     | 16.2                                 | 25.5     |
| 2025  | 1,876.0                                 | 0.0  | 126.4                     | 2,002.4                          | TBD  | 15.2                                    | 24.5     | 15.2                                 | 24.5     |
| 2026  | 1,891.3                                 | 0.0  | 42.2                      | 1,933.5                          | TBD  | 14.2                                    | 23.5     | 14.2                                 | 23.5     |

<sup>1</sup> Pension Contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

<sup>2</sup> Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

<sup>3</sup> Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

<sup>4</sup> The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, administrative costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortization Amount) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

<sup>5</sup> Outyear projections are prepared by DOB. The Retirement System does not prepare, or make available, outyear projections of pension costs.

The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before amortization. The “(Amortization Amount) / Excess Contributions” column shows amounts amortized. The “Repayment of Amortization” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total Statewide Pension Payments” column provides the State’s actual or planned pension contribution, inclusive of amortization. The “Interest Rate on Amortization Amount (%)” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

### **Other Post-Employment Benefits (OPEB)**

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State; are enrolled in either NYSHIP or the NYSHIP opt-out program at the time they reach retirement; and have at least ten years of eligible service. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State’s Basic Financial Statements for FY 2018, the State’s Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year, and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State’s Basic Financial Statements for FY 2018, the unfunded actuarial accrued liability for FY 2018 is \$90.5 billion (\$72.8 billion for the State and \$17.7 billion for SUNY), an increase of \$3.3 billion from FY 2017 (attributable entirely to SUNY). The unfunded actuarial accrued liability for FY 2018 used an actuarial valuation of OPEB liabilities as of April 1, 2016. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. A significant portion of the annual growth in the State’s unfunded actuarial accrued liability is due to the reduction of the discount rate from 3.155 to 2.637 percent, calculated as the average STIP rate for the past 20 years at the time of valuation. The decline in the discount rate increases the present value of the projected benefit obligation.



## Other Matters Affecting the Financial Plan

The actuarially determined annual OPEB cost for FY 2018 totaled \$5.5 billion (\$4.3 billion for the State and \$1.2 billion for SUNY), an increase of 1.3 billion from FY 2017 (\$1 billion for the State and \$264 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level percentage of earnings basis. The actuarially determined cost was \$3.6 billion (\$2.7 billion for the State and \$878 million for SUNY) greater than the PAYGO required cash payments for retiree costs made by the State in FY 2018. This difference between the State's PAYGO costs, and the actuarially determined ARC under GASB Statement 45, reduced the State's net position at the end of FY 2018 by \$3.6 billion.

GASB has no authority to require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis, meaning the State pays these costs as they become due.

There is no provision in the Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The FY 2018 Enacted Budget included legislation creating a Retiree Health Benefit Trust Fund (the "Trust Fund") that authorizes the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit into the Trust Fund, in any given fiscal year, up to 0.5 percent of total then-current unfunded actuarial accrued OPEB liability. The Financial Plan does not include any deposits to the Trust Fund.

The provisions of GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, is expected to be incorporated into the State's FY 2019 Basic Financial Statements. The FY 2019 Basic Financial Statements are expected to be issued in July 2019. The GASB Statements, as amended by GASB Statement 75, alter the actuarial methods used to calculate OPEB liabilities, standardizes asset smoothing and discount rates, and requires the unfunded net OPEB obligation to be reported by the State in its Statement of Net Position. Reporting the unfunded OPEB liability on the Statement of Net Position, rather than as a note to the Basic Financial Statements, is expected to significantly increase the State's total long-term liabilities and show the State in a negative net position.

GASB Statement 75 is not expected to alter the Financial Plan cash PAYGO projections for health insurance costs. DOB's methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement.

## Litigation

Litigation against the State may include, among other things, potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions resulting from various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Financial Plan.

## Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. Climate change risks, if they materialize, can adversely impact the Financial Plan in current or future years. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed to adapt existing infrastructure to climate change risks.

On October 8, 2018, the United Nations' Intergovernmental Panel on Climate Change (IPCC) released a special report on the impacts of global warming. The report finds that human activity has already caused approximately 1.0°C of warming and is continuing to increase average global temperatures at 0.2°C per decade due to past and ongoing emissions. The IPCC states that global warming is likely to reach 1.5°C of warming between 2030 and 2052 if temperatures continue to increase at the current rate. This increase is expected to produce a range of adverse outcomes ("reasons for concern"). For example, the IPCC rates global risks of extreme weather events and coastal flooding as increasing from moderate ("detectable") today, to high ("severe and widespread") at 1.5°C of warming. The risk of severe impacts increases further at higher temperatures. Using current trends, climate change risks increasingly fall within the term of State bonds, which may be issued with a term of up to 30 years under State statute.

Participants in financial markets are acknowledging climate change risks. In June 2017, an industry-led Task Force on Climate-Related Financial Disclosure convened by the Financial Stability Board (an international body which monitors the global financial system), published recommendations stating that climate risk affects most market sectors and that climate-related risk should be publicly disclosed to investors in annual financial filings.<sup>17</sup> In November 2017, Moody's Investors Service issued guidance to state and local governments that climate change is forecast to heighten exposure to economic losses, placing potential pressure on credit ratings. The Moody's report identified rising sea levels and the effect on coastal infrastructure as the primary climate risks for

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<sup>17</sup> For further context to the June 2017 disclosure recommendations, the Financial Stability Board was asked by an international coalition of G20 Finance Ministers and Central Bank Governors to address concerns that undisclosed climate risk could destabilize global financial markets.



## Other Matters Affecting the Financial Plan

the northeastern U.S., including New York State. These risks are heightened by population concentration in coastal counties.

The State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various State counties. In September 2011, Tropical Storm Lee caused flooding in additional State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that the State, MTA, and State localities may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across the State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

### Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking, viruses, malware and other attacks on computer and other sensitive digital networks and systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of business operations impact and/or damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. The State's Chief Information Security Office (CISO) within the State's Office of Information Technology Services (ITS) maintains comprehensive policies and standards, programs, and services relating to the security of State government networks and geographic information systems<sup>18</sup>, and annually assesses the implementation of security policies and standards to ensure compliance through the Nationwide Cyber Security Review. In addition, the CISO maintains the New York State Cyber Command Center team and hotline, which provides a security operations center, digital forensics capabilities, and related procedures for cyber incident reporting and response, distributes real-time advisories and alerts, provides managed security services, and implements statewide information security training and exercises for State and local government. While controls are routinely reviewed and tested, no assurances can be given that such security and operational control measures will be completely successful at guarding against cyber threats and attacks. The results of any such attack

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<sup>18</sup> Statewide security policies can be found at: <https://its.ny.gov/eiso/policies/security>.

could impact business operations and/or damage State digital networks and systems, and the costs of remedying any such damage could be substantial.

The State has also adopted regulations designed to protect the financial services industry from cyberattacks. Banks, insurance companies and other covered entities regulated by DFS are, unless eligible for limited exemptions, required to: (a) maintain a cyber security program, (b) create written cybersecurity policies and perform risk assessments, (c) designate a CISO with responsibility to oversee the cybersecurity program, (d) annually certify compliance with the cybersecurity regulations, and (e) report to DFS cybersecurity events that have a reasonable likelihood of materially harming any material part of the entity's normal operation(s) or of which notice is required to any government body, self-regulatory agency, or supervisory body.

### **Financial Condition of New York State Localities**

The State's localities rely in part on State aid to balance their budgets and meet their cash requirements. As such, unanticipated financial need among localities can adversely affect the State's financial plan projections. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to aid distressed local governments. The Restructuring Board performs comprehensive reviews and provides grants and loans on the condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit [www.frb.ny.gov](http://www.frb.ny.gov).

### **Bond Market**

Successful implementation of the Financial Plan is dependent on the State's ability to market bonds. The State finances much of its capital spending, in the first instance, from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other things. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt. The TCJA adversely impacts the State and its public authorities by removing certain refunding opportunities for Federal tax exempt financing, including advance refundings for debt service savings when interest rates are favorable.

## Debt Reform Act Limit

The Debt Reform Act of 2000 (“Debt Reform Act”) restricts the issuance of State-supported debt funding to capital purposes only, and limits the maximum term of bonds to 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued after April 1, 2000. DOB, as administrator of the Debt Reform Act, determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2018).

The statute requires that limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in the Mid-Year Financial Plan. If the actual amount of new State-supported debt outstanding and debt service costs for the prior fiscal year are below the caps at that time, State-supported debt may continue to be issued. However, if either the debt outstanding or debt service caps are met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and debt is found to be within the applicable limitations.

For FY 2018, the cumulative debt outstanding and debt service caps are 4 and 5 percent, respectively. As shown in the following tables, actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2018, the State has issued new debt resulting in \$44.7 billion of debt outstanding applicable to the debt reform cap. This is about \$6.5 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$4.5 billion in FY 2018, or roughly \$3.8 billion below the statutory debt service limitation.

| DEBT OUTSTANDING CAP<br>(millions of dollars) |           |         |
|---|-----------|---------|
|   | Dollar    | Percent |
| Personal Income (CY 2017) <sup>1</sup>        | 1,281,082 |         |
| Max. Allowable Debt Outstanding               | 51,243    | 4.00%   |
| Debt Outstanding Subject to Cap               | 44,744    | 3.49%   |
| Remaining Capacity                            | 6,499     | 0.51%   |

<sup>1</sup> Bureau of Economic Analysis (BEA).

| DEBT SERVICE CAP<br>(millions of dollars) |         |         |
|---|---------|---------|
|   | Dollar  | Percent |
| All Funds Receipts (FY 2018)              | 165,470 |         |
| Max. Allowable Debt Service               | 8,274   | 5.00%   |
| Debt Service Subject to Cap               | 4,472   | 2.70%   |
| Remaining Capacity                        | 3,802   | 2.30%   |

The State uses personal income estimates published by the Federal government to calculate the cap on debt outstanding, as required by statute. From January to October 2018, the State experienced volatility in FY 2018 debt capacity because of changes in Federal personal income estimates. The BEA increased its calendar year 2017 personal income estimate by \$70 billion from March to October 2018, resulting in a \$2.8 billion increase in debt outstanding capacity. Notably, this material fluctuation in statutory debt capacity occurred between the end of FY 2018, when debt outstanding is measured, and the final compliance determination in October 2018. This



volatility could compromise the State's ability to manage within its statutory debt cap, particularly if the personal income estimate is revised downward unexpectedly by a similar amount.

The volatility in estimates has prompted DOB to reexamine how BEA calculates personal income, in particular the apportionment of income among states. For Federal reporting purposes, BEA reassigns income from the state where it was earned to the state in which a person resides, for situations where a person lives and earns income in different states (the “residency adjustment”). The BEA residency adjustment has the effect of understating New York State personal income because income earned in New York by nonresidents regularly exceeds income earned in other states by New York residents. The net residency adjustment reported by BEA decreased 2017 State personal income by \$73 billion at the time of the FY 2018 debt outstanding calculation. The State taxes all personal income earned in New York, regardless of place of residency. Therefore, including the BEA personal income residency adjustment in the debt cap calculation reduces alignment with the State tax base and understates the PIT revenues available to support State debt. To date, in administering the debt reform cap, DOB has used State personal income, as understated by the BEA residency adjustment, in debt outstanding cap calculations and projections.

Current projections anticipate that debt outstanding and debt service will continue to remain below limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the availability under the debt outstanding cap is expected to decline from \$6.5 billion in FY 2018 to about \$2.1 billion in FY 2023. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. In addition, the projected availability under the debt cap depends on expected growth in State personal income. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue Bond credit (which are not backed by a general obligation pledge of SUNY) are not included in the State’s calculation of debt caps because these bonds do not meet the definition of “State-supported debt” as set forth in the Debt Reform Act. The bonds are backed solely with dormitory rental revenue. The State may adjust capital spending priorities and debt financing practices from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.



| DEBT OUTSTANDING SUBJECT TO CAP<br>(millions of dollars) |                 |       |        |                                      |                       |                   |                      | TOTAL STATE-SUPPORTED DEBT<br>(millions of dollars) |  |
|--|-----------------|-------|--------|--------------------------------------|-----------------------|-------------------|----------------------|---|--|
| Year   | Personal Income | Cap % | Cap \$ | Debt Outstanding Since April 1, 2000 | \$ Remaining Capacity | Debt as a % of PI | % Remaining Capacity | Debt Outstanding Prior to April 1, 2000             | Total State-Supported Debt Outstanding |
| FY 2018  | \$1,281,082     | 4.00% | 51,243 | 44,744                               | 6,499                 | 3.49%             | 0.51%                | 6,522   | 51,266                                 |
| FY 2019  | \$1,342,794     | 4.00% | 53,712 | 47,918                               | 5,794                 | 3.57%             | 0.43%                | 5,645   | 53,562                                 |
| FY 2020  | \$1,402,865     | 4.00% | 56,115 | 51,939                               | 4,175                 | 3.70%             | 0.30%                | 4,909   | 56,849                                 |
| FY 2021  | \$1,464,797     | 4.00% | 58,592 | 55,154                               | 3,438                 | 3.77%             | 0.23%                | 3,428   | 58,582                                 |
| FY 2022  | \$1,528,675     | 4.00% | 61,147 | 58,269                               | 2,878                 | 3.81%             | 0.19%                | 2,812   | 61,081                                 |
| FY 2023  | \$1,595,090     | 4.00% | 63,804 | 61,737                               | 2,066                 | 3.87%             | 0.13%                | 2,210   | 63,948                                 |

| DEBT SERVICE SUBJECT TO CAP<br>(millions of dollars) |                    |       |        |                                  |                       |                      |                      | TOTAL STATE-SUPPORTED DEBT SERVICE<br>(millions of dollars) |                                    |
|--|--------------------|-------|--------|----------------------------------|-----------------------|----------------------|----------------------|---|------------------------------------|
| Year   | All Funds Receipts | Cap % | Cap \$ | Debt Service Since April 1, 2000 | \$ Remaining Capacity | DS as a % of Revenue | % Remaining Capacity | Debt Service Prior to April 1, 2000                         | Total State-Supported Debt Service |
| FY 2018  | \$165,470          | 5.00% | 8,274  | 4,472                            | 3,802                 | 2.70%                | 2.30%                | 1,386   | 5,858                              |
| FY 2019  | \$168,611          | 5.00% | 8,431  | 4,801                            | 3,630                 | 2.85%                | 2.15%                | 718   | 5,520                              |
| FY 2020  | \$172,608          | 5.00% | 8,630  | 5,206                            | 3,424                 | 3.02%                | 1.98%                | 1,414   | 6,620                              |
| FY 2021  | \$174,709          | 5.00% | 8,735  | 5,638                            | 3,097                 | 3.23%                | 1.77%                | 1,483   | 7,121                              |
| FY 2022  | \$180,351          | 5.00% | 9,018  | 6,000                            | 3,018                 | 3.33%                | 1.67%                | 1,097   | 7,097                              |
| FY 2023  | \$183,808          | 5.00% | 9,190  | 6,489                            | 2,701                 | 3.53%                | 1.47%                | 862   | 7,352                              |

The State's available debt capacity under its statutory debt cap reflects the impact of several factors since the July Update Financial Plan. These include a significant increase in the personal income forecast, actual bond sale results to date, and adjustment of debt issuances to align with projected bond-financed capital spending. Debt capacity amounts continue to assume that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit within one year of their call dates. The impact on the debt cap is shown in the following chart.

| DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY<br>(millions of dollars) |                 |                  |                   |                   |                   |                   |
|---|-----------------|------------------|-------------------|-------------------|-------------------|-------------------|
|   | FY 2018 Results | FY 2019 Mid-Year | FY 2020 Projected | FY 2021 Projected | FY 2022 Projected | FY 2023 Projected |
| <b>First Quarterly Update Financial Plan</b>  | <b>3,872</b>    | <b>1,751</b>     | <b>507</b>        | <b>49</b>         | <b>93</b>         | <b>117</b>        |
| Personal Income Forecast Adjustment   | 2,627           | 3,025            | 3,114             | 3,222             | 3,338             | 3,452             |
| Capital Reestimates   | 0               | 1,018            | 554               | 167               | (553)             | (1,503)           |
| <b>Mid-Year Update to the Financial Plan</b>  | <b>6,499</b>    | <b>5,794</b>     | <b>4,175</b>      | <b>3,438</b>      | <b>2,878</b>      | <b>2,066</b>      |

### Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals, and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2018, there were approximately \$193 million of bonds outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$99 million for debt service costs. DASNY also estimates the State will pay debt service costs of approximately \$26 million in FY 2019, \$28 million annually in FY 2020 and FY 2021, \$22 million in FY 2022, and \$17 million in FY 2023. These amounts are based on actual experience to date of participants in the program, and would cover debt service costs for one hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$9 million annually, if all hospitals in the Program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

### **SUNY Downstate Hospital and the Long Island College Hospital (LICH)**

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with (a) the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and (b) NYU Hospitals Center, which proposes to provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Titles to 17 of the 20 properties were conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The physical demolition has been completed, and final review of the environmental paperwork is nearing completion.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other criteria, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the health care services expected to be provided by NYU Hospitals Center. To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement.

## Other Matters Affecting the Financial Plan



The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, titles to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



# **State Financial Plan Projections Fiscal Years 2019 Through 2022**





# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements. Projections reflect the impact of forecast revisions in FYs 2019 through FY 2022, with an emphasis on the FY 2019 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts.** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before distribution to various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking tax receipts for specific purposes.
- **Disbursements.** Roughly 30 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund, primarily in the areas of health care, School Aid, higher education, and transportation. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of the Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2019 budget, FY 2020, is the most relevant from a planning perspective.

## Summary

The Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to a 2 percent annual spending growth benchmark.

The projections for FY 2020 and thereafter reflect savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Financial Plan. From time to time, the State has approved legislation that has affected the spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Financial Plan tables as “Adherence to 2% Spending Benchmark.” The total disbursements in the Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2020, FY 2021, and/or FY 2022, the projected operating position could decline.

The following tables present the Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.



## General Fund Projections

| <b>GENERAL FUND PROJECTIONS</b><br>(millions of dollars) |                                  |                                  |                                    |                                    |                                    |
|--|----------------------------------|----------------------------------|------------------------------------|------------------------------------|------------------------------------|
|  | <u>FY 2018</u><br><u>Results</u> | <u>FY 2019</u><br><u>Updated</u> | <u>FY 2020</u><br><u>Projected</u> | <u>FY 2021</u><br><u>Projected</u> | <u>FY 2022</u><br><u>Projected</u> |
| <b>RECEIPTS</b>  |                                  |                                  |                                    |                                    |                                    |
| Taxes (After Debt Service)                               | 67,370                           | 67,486                           | 71,424                             | 73,051                             | 75,959                             |
| Miscellaneous Receipts/Federal Grants                    | 3,129                            | 2,474                            | 2,028                              | 2,001                              | 1,883                              |
| Other Transfers  | 921                              | 2,835                            | 2,459                              | 2,091                              | 1,762                              |
| <b>Total Receipts</b>                                    | <b>71,420</b>                    | <b>72,795</b>                    | <b>75,911</b>                      | <b>77,143</b>                      | <b>79,604</b>                      |
| <b>DISBURSEMENTS</b>                                     |                                  |                                  |                                    |                                    |                                    |
| Local Assistance   | 46,072                           | 51,013                           | 53,851                             | 57,147                             | 59,320                             |
| School Aid   | 22,015                           | 23,143                           | 24,090                             | 25,194                             | 26,453                             |
| Medicaid/EP  | 13,397                           | 14,313                           | 15,734                             | 16,780                             | 17,659                             |
| All Other  | 10,660                           | 13,557                           | 14,027                             | 15,173                             | 15,208                             |
| State Operations   | 8,228                            | 11,850                           | 12,080                             | 12,617                             | 12,599                             |
| Personal Service   | 6,136                            | 8,812                            | 9,001                              | 9,506                              | 9,437                              |
| Non-Personal Service                                     | 2,092                            | 3,038                            | 3,079                              | 3,111                              | 3,162                              |
| General State Charges                                    | 5,572                            | 7,392                            | 7,781                              | 8,319                              | 8,889                              |
| Transfers to Other Funds                                 | 9,852                            | 5,529                            | 6,336                              | 6,237                              | 6,232                              |
| Debt Service   | 1,047                            | 775                              | 823                                | 904                                | 747                                |
| Capital Projects   | 2,191                            | 2,635                            | 3,425                              | 3,171                              | 3,182                              |
| State Share of Mental Hygiene Medicaid <sup>1</sup>      | 1,333                            | 0                                | 0                                  | 0                                  | 0                                  |
| SUNY Operations  | 1,015                            | 1,020                            | 1,008                              | 1,004                              | 1,004                              |
| All Other  | 4,266                            | 1,099                            | 1,080                              | 1,158                              | 1,299                              |
| <b>Total Disbursements</b>                               | <b>69,724</b>                    | <b>75,784</b>                    | <b>80,048</b>                      | <b>84,320</b>                      | <b>87,040</b>                      |
| <b>Use (Reservation) of Fund Balance:</b>                | <b>(1,696)</b>                   | <b>2,989</b>                     | <b>1,067</b>                       | <b>748</b>                         | <b>885</b>                         |
| Community Projects                                       | 10                               | 13                               | 26                                 | 7                                  | 0                                  |
| Labor Agreements   | (130)                            | 0                                | 0                                  | 0                                  | 0                                  |
| Undesignated Fund Balance                                | (1,891)                          | 1,905                            | 0                                  | 0                                  | 0                                  |
| Extraordinary Monetary Settlements <sup>2</sup>          | 315                              | 1,071                            | 1,041                              | 741                                | 885                                |
| <b>BUDGET SURPLUS/(GAP) PROJECTIONS<sup>3</sup></b>      | <b>0</b>                         | <b>0</b>                         | <b>(3,070)</b>                     | <b>(6,429)</b>                     | <b>(6,551)</b>                     |
| <b>Adherence to 2% Spending Benchmark<sup>4</sup></b>    | <b>n/a</b>                       | <b>n/a</b>                       | <b>2,668</b>                       | <b>5,431</b>                       | <b>6,235</b>                       |
| <b>BUDGET SURPLUS/(GAP) ESTIMATE AT 2%</b>               | <b>0</b>                         | <b>0</b>                         | <b>(402)</b>                       | <b>(998)</b>                       | <b>(316)</b>                       |

<sup>1</sup> The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from certain Special Revenue Funds to the General Fund, the State share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than to a Special Revenue Fund.

<sup>2</sup> Reflects transfers of Extraordinary Monetary Settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

<sup>3</sup> Before actions to adhere to the 2 percent spending growth benchmark.

<sup>4</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on current FY 2019 projections), assuming that the Governor continues to propose, and the Legislature continues to enact, a budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. Assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gap would be higher (or the projected surplus lower).

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## State Operating Funds Projections

| STATE OPERATING FUNDS DISBURSEMENTS<br>(millions of dollars) |                    |                    |                      |                      |                      |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
|  | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
| <b>RECEIPTS</b>  |                    |                    |                      |                      |                      |
| Taxes  | 77,953             | 76,528             | 81,350               | 83,397               | 86,679               |
| Miscellaneous Receipts/Federal Grants                        | 21,408             | 20,625             | 19,830               | 19,218               | 19,270               |
| <b>Total Receipts</b>  | <b>99,361</b>      | <b>97,153</b>      | <b>101,180</b>       | <b>102,615</b>       | <b>105,949</b>       |
| <b>DISBURSEMENTS</b>   |                    |                    |                      |                      |                      |
| Local Assistance   | 65,604             | 66,700             | 69,542               | 72,666               | 75,073               |
| School Aid (School Year Basis)                               | 25,639             | 26,553             | 27,509               | 28,692               | 29,983               |
| DOH Medicaid <sup>1</sup>                                    | 19,143             | 20,396             | 21,655               | 22,700               | 23,560               |
| Transportation   | 5,025              | 3,936              | 3,617                | 3,664                | 3,795                |
| STAR   | 2,589              | 2,459              | 2,417                | 2,402                | 2,402                |
| Higher Education   | 2,833              | 3,057              | 3,175                | 3,233                | 3,275                |
| Social Services  | 2,837              | 2,971              | 3,030                | 3,051                | 3,090                |
| Mental Hygiene   | 2,350              | 2,181              | 2,609                | 3,127                | 3,117                |
| All Other <sup>2</sup>                                       | 5,188              | 5,147              | 5,530                | 5,797                | 5,851                |
| State Operations   | 18,821             | 19,505             | 19,830               | 20,429               | 20,367               |
| Personal Service   | 13,170             | 13,716             | 13,990               | 14,547               | 14,393               |
| Non-Personal Service   | 5,651              | 5,789              | 5,840                | 5,882                | 5,974                |
| General State Charges  | 7,853              | 8,410              | 8,814                | 9,396                | 9,963                |
| Pension Contribution   | 2,442              | 2,417              | 2,518                | 2,628                | 2,822                |
| Health Insurance   | 3,963              | 4,205              | 4,361                | 4,708                | 5,058                |
| All Other  | 1,448              | 1,788              | 1,935                | 2,060                | 2,083                |
| Debt Service   | 5,873              | 5,520              | 6,620                | 7,121                | 7,097                |
| Capital Projects   | 0                  | 0                  | 0                    | 0                    | 0                    |
| <b>Total Disbursements<sup>3</sup></b>                       | <b>98,151</b>      | <b>100,135</b>     | <b>104,806</b>       | <b>109,612</b>       | <b>112,500</b>       |
| Net Other Financing Sources/(Uses)                           | 772                | 378                | (455)                | (236)                | (381)                |
| <b>RECONCILIATION TO GENERAL FUND GAP</b>                    |                    |                    |                      |                      |                      |
| Designated Fund Balances:                                    | (1,982)            | 2,604              | 1,011                | 804                  | 381                  |
| General Fund   | (1,696)            | 2,989              | 1,067                | 748                  | 885                  |
| Special Revenue Funds  | (277)              | (366)              | (28)                 | 86                   | (432)                |
| Debt Service Funds   | (9)                | (19)               | (28)                 | (30)                 | (72)                 |
| <b>GENERAL FUND BUDGET SURPLUS/(GAP)<sup>3</sup></b>         | <b>0</b>           | <b>0</b>           | <b>(3,070)</b>       | <b>(6,429)</b>       | <b>(6,551)</b>       |
| <b>Adherence to 2% Spending Benchmark<sup>4</sup></b>        | <b>n/a</b>         | <b>n/a</b>         | <b>2,668</b>         | <b>5,431</b>         | <b>6,235</b>         |
| <b>BUDGET SURPLUS/(GAP) ESTIMATE AT 2%</b>                   | <b>0</b>           | <b>0</b>           | <b>(402)</b>         | <b>(998)</b>         | <b>(316)</b>         |

<sup>1</sup> Total State share Medicaid funding includes the utilization of tobacco MSA payments which will be directly deposited to the MMIS Escrow Fund to cover a portion of local Medicaid growth.

<sup>2</sup> All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School Aid.

<sup>3</sup> Before actions to adhere to the 2 percent spending growth benchmark.

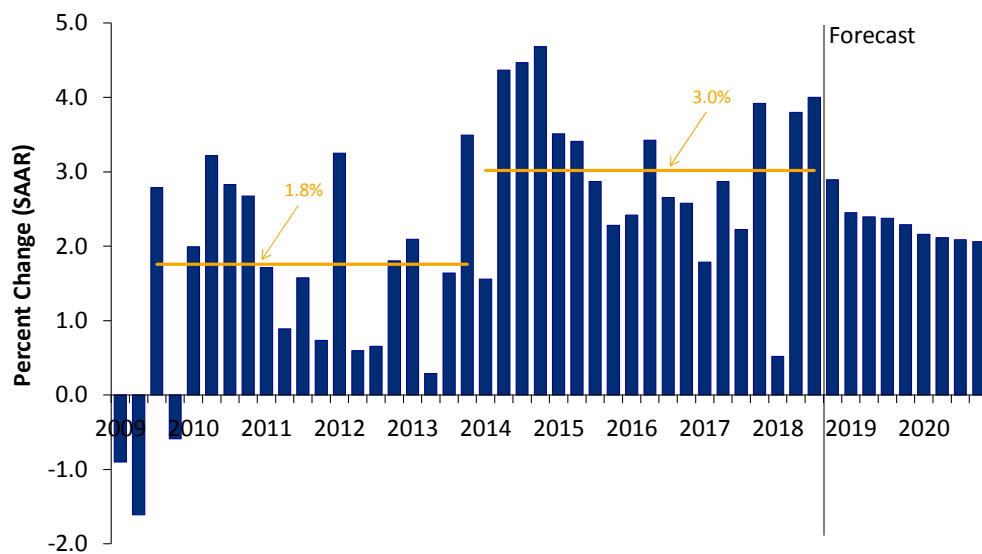
<sup>4</sup> Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on current FY 2019 projections), assuming that the Governor continues to propose, and the Legislature continues to enact, a budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. Assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gap would be higher (or the projected surplus lower).

## Economic Backdrop

### The National Economy

The national economy grew a solid 3.5 percent in the third quarter of 2018, on the heels of even stronger 4.2 percent growth in the second. The third quarter was propelled primarily by stronger than expected household spending growth of 4.0 percent and a large swing in the change in private inventories from a decline of \$36.8 billion in the second quarter to a \$76.3 billion increase in the third. That swing likely reflects some “stocking up” on the part of businesses seeking to avoid or minimize the impact of tariffs on their input costs. In contrast, both residential and nonresidential fixed investment were lower than reflected in the First Quarterly Update forecast completed in July. However, the most recent data indicate that global economic growth is slowing and that rising interest rates are beginning to take their toll. Thus, as the impact of the Federal tax cuts fades, interest rates rise, and global growth slows, DOB projects that the U.S. economy will decelerate in the fourth quarter of 2018 and slow even further in 2019. U.S. real GDP growth is estimated to fall from 2.9 percent in 2018 to 2.7 percent in 2019. Although the overall outlook is virtually unchanged from July, the risks have heightened significantly going forward.

### Real Household Spending Growth Has Improved with the Labor Market and More Recently with Tax Cuts



Source: Moody's Analytics, DOB staff estimates.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



The strong labor market, coupled with moderate growth in wages, continues to support solid growth in household spending. As illustrated in the figure above, average quarterly real consumption growth has accelerated from 1.8 percent during the first half of the expansion to 3.0 percent during the more recent period starting in 2014Q1. Household spending has been further buoyed in 2018 by the tax reductions embodied in the Federal TCJA. However, the impact of the tax cuts is expected to taper gradually starting in the first quarter of next year. After growing 2.5 percent in 2017, real household spending growth has been revised up to 2.7 percent for both 2018 and 2019 on an annual average basis, but is expected to fall to 2.2 percent by 2020. One factor offsetting the positive impact of a lower tax bite on consumer spending has been a moribund housing market. Although long-term mortgage rates remain low from a historical perspective, their recent rise appears to be having a negative impact on the demand for new homes. DOB now estimates real growth in residential fixed investment of 0.4 percent for 2018 and 1.7 percent for 2019, representing a significant downward revision from the July forecast.

The private sector labor market added around 215,000 jobs per month during the first half of 2018, well above average gains of the prior two years, but private sector job gains stepped down to 170,000 per month in the third quarter. Although weather events have likely contributed to recent labor market volatility, it is also likely that job gains will decelerate going forward as labor market slack continues to diminish. The conventional unemployment rate has averaged 3.9 percent over the six months ending in September, fully half a percentage point below its pre-recession level. A broader measure of the unemployment rate that includes workers who are marginally attached to the labor force has also fallen below its pre-recession level, while the percentage of the workforce working part-time, a measure of underemployment, is also inching closer to where it was before the Great Recession. Moreover, evidence of labor shortages continues to emerge in a number of sectors requiring special skills, such as construction and manufacturing. Total nonagricultural employment growth of 1.6 percent is projected for 2018, followed by 1.4 percent growth in 2019, unchanged from the First Quarterly Update forecast.

Business investment growth substantially improved during the first half of 2018, driven mainly by increasing global demand for U.S. exports, expanded energy sector production, and a lift from the TCJA. However, the most recent data suggest that the impact of all of these developments on investment growth may have peaked. Moreover, tariffs are winding their way through the supply chain, significantly raising input costs for some manufacturing firms. The most recent round of tariffs imposed by the US on another \$200 billion of Chinese imports is likely to further slow domestic demand, and modestly boost inflation. With easing global growth and a strengthening dollar, net exports may become even more of a drag on national economic growth in 2019. Consequently, real export growth has been revised down by 0.5 percentage point to 4.3 percent for 2018 and down by 0.6 percentage point to 3.4 percent for 2019. Real business fixed investment growth of 6.9 percent is now estimated for 2018, two-tenths of a percentage point higher than the First Quarterly Update forecast, with provisions of the TCJA likely to have pulled some spending forward into the first half of 2018. In contrast, real business fixed investment growth has been revised down to 4.4 percent for 2019.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

Inflation has picked up modestly, consistent with the First Quarterly Update forecast. Rising energy prices have led to a 2.5 percent rise in consumer prices for the first nine months of 2018, following 2.1 percent inflation for all of 2017. In the meantime, core CPI inflation, which excludes the volatile food and energy components, has also accelerated in 2018 from 1.8 percent in 2017 to 2.1 percent over the first nine months of this year. However, inflation remains moderate from a historical perspective and well within the Federal Reserve’s target range. Moreover, domestic oil prices appear to be stabilizing just below \$70 per barrel, as evidence of slowing global growth provides a counterweight to the uncertainty that a complicated geopolitical landscape is having on supply. Consumer price inflation of 2.5 percent is estimated for 2018 on annual average basis, falling to 2.3 percent for 2019. However, the uncertainty surrounding both future energy prices and the impact of present and future tariffs represent substantial risks to this forecast.

A tightening labor market also represents a risk to DOB’s inflation forecast. Wage growth of 4.8 percent is projected for 2018, two-tenths of a percentage point higher than the First Quarterly Update forecast. Overall personal income growth of 4.5 percent is estimated for 2018, four-tenths of a percentage point above the July estimate. In addition to stronger growth in pre-tax income, after-tax disposable income will continue to be supported by federal income tax cuts before their simulative impact fades in 2019. With the national economy continuing to exhibit strength, the Federal Reserve has stayed on its interest rate normalization path, raising its federal funds rate target three times thus far this year. Although the Federal Reserve appears poised to raise rates again this December and three more times in 2019, the risk of a policy error in this environment of relatively tame wage and price inflation is becoming substantial.

| <b>U.S. ECONOMIC INDICATORS</b><br>(Percent change from prior calendar year) |                                |                                  |                                  |
|--|--------------------------------|----------------------------------|----------------------------------|
|  | <b>2017</b><br><b>(Actual)</b> | <b>2018</b><br><b>(Forecast)</b> | <b>2019</b><br><b>(Forecast)</b> |
| Real U.S. Gross Domestic Product   | 2.2                            | 2.9                              | 2.7                              |
| Consumer Price Index (CPI)   | 2.1                            | 2.5                              | 2.3                              |
| Personal Income  | 4.4                            | 4.5                              | 4.4                              |
| Nonagricultural Employment   | 1.6                            | 1.6                              | 1.4                              |
| Source: Moody’s Analytics; DOB staff estimates.                              |                                |                                  |                                  |

Despite rising short-term rates, long-term interest rates have failed to sustain a commensurate rise. As a result, the yield curve, which tracks the difference between long- and short-term rates, remains relatively flat. Since yield curve inversion, which occurs when short-term rates actually exceed long-term rates, often precedes a recession, a flattening curve can be a cause of concern. But even in the absence of inversion, a flat yield curve could put downward pressure on banking system profits, particularly now that the rates that banks pay their depositors are finally rising from their historically low levels, as banks are forced to compete with the rise in risk-free short-term Treasury yields. The Federal Reserve Bank of New York decomposes long-term treasury bond yields into two components: (1) expectations for future risk-neutral short-term interest rates, which

# State Financial Plan Projections Fiscal Years 2019 Through 2022



have been trending higher consistent with recent monetary policy moves, and (2) a term premium to compensate for the inflation risk associated with holding a multi-period instrument, which is currently near historic lows.<sup>19</sup> Indeed, low inflation expectations and central bank purchases of long-duration assets to promote the recovery from the Great Recession are the main forces that held term premia down. Term premia are expected to increase as the Fed shrinks its balance sheet and inflation expectations firm, but this rebalancing is occurring quite slowly. For example, the ten-year Treasury yield has risen only about 10 basis points since the end of September, when the Federal Reserve raised its federal funds rate target by a full 25 basis points. This sluggishness is due in large part to the safe haven status of US Treasury securities and is therefore likely to persist, particularly as the global economy decelerates. DOB expects the ten-year Treasury yield to continue to rise only gradually, approaching 4 percent by the end of 2020.

Although the current outlook remains largely unchanged from the prior forecast, the risks loom larger. Slower global growth than projected could result in lower demand for US exports, resulting in weaker growth in corporate profits, investment, and employment than reflected in this forecast. The disruption of trade flows due to the uncertainty surrounding tariffs could represent a significant setback for the manufacturing sector if firms delay production plans. In addition, if tariffs result in even higher input prices than anticipated, the current relatively benign inflation environment could deteriorate, possibly resulting in lower job and investment growth than reflected in this forecast. Higher inflation than anticipated could induce the Federal Reserve to tighten even faster than anticipated, which could result in slower household spending and residential construction growth. The risks associated with tariffs, rising interest rates, and the impact of slower global growth on corporate earnings have injected a large degree of volatility into equity markets in recent weeks. Lower and more volatile equity prices can result in lower household spending through both the wealth effect and as a signal that the road ahead is uncertain. This volatility could be further exacerbated by the risk surrounding the long-term impact of federal tax reductions on budget deficits and the national debt.

On the positive side, if the impact of federal tax reform on consumer spending and business investment is stronger than projected without significantly raising inflation, stronger growth in employment, wages, and the overall economy could result. Recent data indicate that year-over-year growth in the inventory of existing single-family homes has been tilting up since June after dropping for an unprecedented 36 straight months. If rising inventories result in slower home price growth, the resulting rise in home affordability could stimulate greater home sales than currently projected, which in turn could fuel stronger household spending than reflected in this forecast.

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<sup>19</sup> Please see Tobias Adrian, Richard K. Crump, and Emanuel Moench (ACM), "Pricing the Term Structure with Linear Regressions," Federal Reserve Bank of New York Staff Reports, no. 340, August 2008; revised April 2013, [https://www.newyorkfed.org/research/data\\_indicators/term\\_premia.html](https://www.newyorkfed.org/research/data_indicators/term_premia.html), viewed October 29, 2018.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## The New York State Economy

New York State private sector job growth appears to be stabilizing at a historically healthy rate. The State’s leading industrial sectors continue to be healthcare, management and administrative services, information, education, and construction. In contrast, the manufacturing, wholesale trade, and retail trade sectors continue to exhibit losses, while growth in the leisure and hospitality sector and the professional, scientific, and technical services sector is slowing. On balance, State private sector job growth remains strong, with growth of 1.5 percent estimated for 2018. Slower growth of 1.3 percent is projected for 2019 as national and global economic growth taper off. Both estimates are unchanged from the First Quarterly Update forecast.

| NEW YORK STATE ECONOMIC INDICATORS<br>(Percent change from prior State fiscal year) |                     |                        |                       |
|---|---------------------|------------------------|-----------------------|
|   | FY 2017<br>(Actual) | FY 2018<br>(Estimated) | FY 2019<br>(Forecast) |
| Personal Income   | 4.2                 | 5.8                    | 4.5                   |
| Wages   | 3.9                 | 4.7                    | 3.8                   |
| Nonagricultural Employment  | 1.4                 | 1.4                    | 1.4                   |

Source: Moody’s Analytics; New York State Department of Labor; DOB staff estimates.

Finance and insurance sector bonuses are estimated to have grown 13.7 percent for the FY 2018 bonus season, the strongest growth in four years. This growth was associated with an impressive 2017 revenue performance for New York Stock Exchange member firms that was the strongest since 2006. However, FY 2018 Wall Street bonuses are also suspected to have been buttressed by one-time payments associated with the reduction in the corporate tax rate embodied in the TCJA. These payments are not expected to recur in FY 2019, nor is last year’s strong revenue performance expected to be repeated this year. Consequently, finance and insurance sector bonuses are expected to fall 2.3 percent for FY 2019, virtually unchanged from the July estimate. Overall wage growth of 3.8 percent is estimated for FY 2019, also little changed from July, following upwardly revised growth of 4.7 percent for FY 2018.

In September 2018, the U.S. BEA published a comprehensive revision to its state-level personal income estimates going back to 1947. The \$68.5 billion revision in the NYS personal income estimate for the 2018 State fiscal year was an unusually large 2.2 percentage points, raising growth to 6.1 percent from the initial estimate of a 3.9 percent increase, as the table below shows. The revision to BEA’s estimate of New York State wages aligns BEA’s estimate more closely to the source data, the Quarterly Census of Employment and Wages (QCEW).<sup>20</sup> Although over half of total State personal income is comprised of wages, the September 2018 revision to wages accounts for only 13 percent of the total personal income revision for FY 2018.

<sup>20</sup> The QCEW is the series used by DOB to forecast State wages and bonuses.



# State Financial Plan Projections Fiscal Years 2019 Through 2022



## RECENT REVISIONS TO NEW YORK STATE BEA PERSONAL INCOME GROWTH (Percent change from prior State fiscal year)

| <u>Fiscal Year</u> | <u>Initial Release (June)</u> | <u>Second Release (Sept.)</u> | <u>Difference from First Release</u> | <u>Current (Sept. 2018)</u> | <u>Difference from Second Release</u> | <u>Total Revision To-date</u> |
|--------------------|-------------------------------|-------------------------------|--------------------------------------|-----------------------------|---------------------------------------|-------------------------------|
| 2010               | -1.1                          | -0.5                          | 0.6                                  | 0.9                         | 1.4                                   | 2.0                           |
| 2011               | 3.4                           | 4.7                           | 1.3                                  | 5.0                         | 0.3                                   | 1.6                           |
| 2012               | 3.5                           | 3.0                           | -0.5                                 | 4.7                         | 1.7                                   | 1.2                           |
| 2013               | 3.3                           | 3.1                           | -0.2                                 | 5.2                         | 2.1                                   | 1.9                           |
| 2014               | 2.6                           | 1.7                           | -0.9                                 | 2.0                         | 0.3                                   | -0.6                          |
| 2015               | 4.1                           | 3.9                           | -0.2                                 | 4.0                         | 0.1                                   | -0.1                          |
| 2016               | 4.4                           | 3.9                           | -0.5                                 | 4.4                         | 0.5                                   | 0.0                           |
| 2017               | 2.5                           | 1.5                           | -1.0                                 | 3.1                         | 1.6                                   | 0.6                           |
| 2018               | 3.9                           | 6.1                           | 2.2                                  | 6.1                         | -                                     | 2.2                           |

Source: U.S. Bureau of Economic Analysis, Moody's Analytics.

The source data for two other large personal income components – property income and proprietors' income – includes state tax return data, though with a lag. Indeed, the September release only now incorporates data from 2016 tax returns. In addition, a large portion of property income, which combines interest, dividend, and rental income, is imputed by BEA. BEA cites a recent improvement in the measurement of the implicit services of savings institutions and credit unions, such as free checking, as a source of the September revision. Property income accounts for 30 percent of the total value of the FY 2018 revision. Finally, a significant contributor to the large upward revision to proprietors' income was the incorporation of results from the 2008-2010 IRS Tax Gap study. The IRS defines the tax gap as the amount of tax liability faced by taxpayers that is not paid on time and is thus a measure of tax compliance. Since the incorporation of the 2008-2010 study was the first since the incorporation of results from the 2006 study in 2012, the associated revision was substantial. Proprietors' income accounts for 47 percent of the total FY 2018 revision. Together, the revisions to these three income components comprise just under 90 percent of the total revision to FY 2018 New York personal income. However, as suggested by the data presented in the above table, FY 2018 personal income growth will look quite different by the next comprehensive revision, three to five years from now.





# State Financial Plan Projections Fiscal Years 2019 Through 2022

Although the State's private-sector labor market has stabilized at a healthy pace of growth, there are many risks to the forecast. All the risks to the U.S. forecast apply to the State forecast as well, although, as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. The uncertainty surrounding the macroeconomic outlook for the national and global economies is amplified in the financial markets, as demonstrated by the recent 9.3 percent decline in equity prices as represented by the S&P 500. Risks related to the impact of tariffs, the strong dollar, and weakening global growth are likely to continue to create volatility and restrain equity market growth over the near-term. Due to the disproportionate global tilt of financial markets, the State's finance sector is particularly vulnerable to these risks. Financial markets also tend to amplify the perturbations associated with shifting monetary policy, as businesses and investors adjust to interest rates that are approaching their highest levels in ten years. Weaker and/or more volatile markets than anticipated could result in weaker bonus and wage growth, as well as lower realizations of taxable capital gains than reflected in this forecast. In contrast, stronger equity markets, along with stronger national and global growth, could result in stronger employment and wage growth than is reflected in this forecast.

## Receipts

The Financial Plan receipts results and projections include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the DTF and other agencies which collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth (i.e. absent law changes) in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, non-wage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

The projections described below do not consider the impact that the ECEP or the State Charitable Gifts Trust Fund may have on PIT receipts.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Overview of the Receipts Forecast

All Funds receipts in FY 2019 are projected to total \$168.6 billion, 1.9 percent above FY 2018 results.

| ALL FUNDS RECEIPTS<br>(millions of dollars) |                    |                    |              |                      |             |                      |             |                      |             |
|---|--------------------|--------------------|--------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
|   | FY 2018<br>Results | FY 2019<br>Updated | Change       | FY 2020<br>Projected | Change      | FY 2021<br>Projected | Change      | FY 2022<br>Projected | Change      |
| Personal Income Tax                         | 51,501             | 50,410             | -2.1%        | 53,952               | 7.0%        | 55,010               | 2.0%        | 57,456               | 4.4%        |
| Consumption/Use Taxes                       | 16,711             | 17,303             | 3.5%         | 17,839               | 3.1%        | 18,390               | 3.1%        | 18,962               | 3.1%        |
| Business Taxes                              | 7,164              | 7,981              | 11.4%        | 8,630                | 8.1%        | 8,966                | 3.9%        | 9,123                | 1.8%        |
| Other Taxes                                 | 2,451              | 2,229              | -9.1%        | 2,341                | 5.0%        | 2,440                | 4.2%        | 2,543                | 4.2%        |
| Payroll Mobility Tax <sup>1</sup>           | 1,439              | 0                  | -100.0%      | 0                    | 0.0%        | 0                    | 0.0%        | 0                    | 0.0%        |
| <b>Total State Taxes</b>                    | <b>79,266</b>      | <b>77,923</b>      | <b>-1.7%</b> | <b>82,762</b>        | <b>6.2%</b> | <b>84,806</b>        | <b>2.5%</b> | <b>88,084</b>        | <b>3.9%</b> |
| Miscellaneous Receipts                      | 27,262             | 28,178             | 3.4%         | 26,474               | -6.0%       | 25,496               | -3.7%       | 25,813               | 1.2%        |
| Federal Receipts                            | 58,942             | 62,510             | 6.1%         | 63,372               | 1.4%        | 64,407               | 1.6%        | 66,454               | 3.2%        |
| <b>Total All Funds Receipts</b>             | <b>165,470</b>     | <b>168,611</b>     | <b>1.9%</b>  | <b>172,608</b>       | <b>2.4%</b> | <b>174,709</b>       | <b>1.2%</b> | <b>180,351</b>       | <b>3.2%</b> |

<sup>1</sup> The FY 2019 amount does not include MTA PMT because the Enacted Budget provided that the PMT be remitted to MTA without an appropriation beginning in FY 2019.

State tax receipts are projected to decrease 1.7 percent from FY 2018 results, largely due to the PMT moving off budget. After accounting for this change, State tax receipt estimates remain flat compared to FY 2018 results. Refer to the PIT section herein for additional explanation of the atypical growth rate pattern for FY 2018 and FY 2019.

Consistent with projected growth in the State economy over the multi-year Financial Plan period beyond FY 2019, all tax categories are projected to exhibit underlying annual growth in outyears.

After controlling for the impact of tax law changes, base tax revenue increased 6.5 percent in FY 2018, and is projected to decrease by 0.1 percent in FY 2019 and increase by 11.8 percent in FY 2020.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Personal Income Tax

| PERSONAL INCOME TAX<br>(millions of dollars) |               |               |               |               |             |               |             |               |             |
|--|---------------|---------------|---------------|---------------|-------------|---------------|-------------|---------------|-------------|
|  | FY 2018       | FY 2019       |               | FY 2020       |             | FY 2021       |             | FY 2022       |             |
|  | Results       | Updated       | Change        | Projected     | Change      | Projected     | Change      | Projected     | Change      |
| <b>STATE/ALL FUNDS</b>                       | <b>51,501</b> | <b>50,410</b> | <b>-2.1%</b>  | <b>53,952</b> | <b>7.0%</b> | <b>55,010</b> | <b>2.0%</b> | <b>57,456</b> | <b>4.4%</b> |
| Gross Collections                            | 62,035        | 62,102        | 0.1%          | 65,502        | 5.5%        | 66,335        | 1.3%        | 69,807        | 5.2%        |
| Refunds (Incl. State/City Offset)            | (10,534)      | (11,692)      | -11.0%        | (11,550)      | 1.2%        | (11,325)      | 1.9%        | (12,351)      | -9.1%       |
| <b>GENERAL FUND<sup>1</sup></b>              | <b>36,037</b> | <b>22,746</b> | <b>-36.9%</b> | <b>24,559</b> | <b>8.0%</b> | <b>25,103</b> | <b>2.2%</b> | <b>26,326</b> | <b>4.9%</b> |
| Gross Collections                            | 62,035        | 62,102        | 0.1%          | 65,502        | 5.5%        | 66,335        | 1.3%        | 69,807        | 5.2%        |
| Refunds (Incl. State/City Offset)            | (10,534)      | (11,692)      | -11.0%        | (11,550)      | 1.2%        | (11,325)      | 1.9%        | (12,351)      | -9.1%       |
| STAR   | (2,589)       | (2,459)       | 5.0%          | (2,417)       | 1.7%        | (2,402)       | 0.6%        | (2,402)       | 0.0%        |
| RBTF   | (12,875)      | (25,205)      | -95.8%        | (26,976)      | -7.0%       | (27,505)      | -2.0%       | (28,728)      | -4.4%       |

<sup>1</sup>Excludes Transfers.

All Funds PIT receipts for FY 2019 are estimated to total \$50.4 billion, a decrease of \$1.1 billion (2.1 percent) from FY 2018 results. This decrease is primarily driven by a decline in estimated payments related to the 2018 tax year coupled with an increase in total refunds. This decline is partially offset by growth in withholding and final returns.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

The following table summarizes, by component, actual receipts for FY 2018 and forecast amounts through FY 2022.

| <b>ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS</b> |                |                |                  |                  |                  |
|--|----------------|----------------|------------------|------------------|------------------|
| <b>(millions of dollars)</b>   |                |                |                  |                  |                  |
|  | <b>FY 2018</b> | <b>FY 2019</b> | <b>FY 2020</b>   | <b>FY 2021</b>   | <b>FY 2022</b>   |
|  | <b>Results</b> | <b>Updated</b> | <b>Projected</b> | <b>Projected</b> | <b>Projected</b> |
| <b>Receipts</b>  |                |                |                  |                  |                  |
| Withholding  | 40,269         | 41,782         | 43,033           | 44,024           | 46,144           |
| Estimated Payments   | 17,781         | 16,221         | 18,157           | 17,802           | 18,960           |
| Current Year   | 14,329         | 12,729         | 13,741           | 12,582           | 13,536           |
| Prior Year <sup>1</sup>  | 3,452          | 3,492          | 4,416            | 5,220            | 5,424            |
| Final Returns  | 2,478          | 2,599          | 2,748            | 2,908            | 3,032            |
| Current Year   | 308            | 286            | 301              | 316              | 331              |
| Prior Year <sup>1</sup>  | 2,170          | 2,313          | 2,447            | 2,592            | 2,701            |
| Delinquent   | <u>1,507</u>   | <u>1,500</u>   | <u>1,564</u>     | <u>1,601</u>     | <u>1,671</u>     |
| Gross Receipts   | 62,035         | 62,102         | 65,502           | 66,335           | 69,807           |
| <b>Refunds</b>   |                |                |                  |                  |                  |
| Prior Year <sup>1</sup>  | 6,292          | 6,699          | 6,739            | 7,689            | 8,565            |
| Previous Years   | 527            | 523            | 553              | 583              | 614              |
| Current Year <sup>1</sup>  | 2,249          | 2,250          | 1,750            | 1,750            | 1,750            |
| Advanced Credit Payment  | 610            | 1,247          | 1,709            | 479              | 573              |
| State/City Offset <sup>1</sup>   | <u>856</u>     | <u>973</u>     | <u>799</u>       | <u>824</u>       | <u>849</u>       |
| Total Refunds  | 10,534         | 11,692         | 11,550           | 11,325           | 12,351           |
| <b>Net Receipts</b>  | <b>51,501</b>  | <b>50,410</b>  | <b>53,952</b>    | <b>55,010</b>    | <b>57,456</b>    |

<sup>1</sup>These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2019 is estimated to be \$1.5 billion (3.8 percent) higher than FY 2018 results, driven by modest wage growth. Extension payments related to tax year 2017 are expected to increase by \$40 million (1.2 percent), primarily due to one-time payments related to the expiration of the Federal ten-year window to repatriate foreign hedge fund earnings. These one-time payments have been offset by an acceleration of New York State tax liability payments into December 2017 to take advantage of the uncapped Federal itemized deduction for State and local taxes paid for tax year 2017, leaving growth in extension payments nearly flat. Estimated payments for tax year 2018 are projected to decrease by \$1.6 billion (11.2 percent), driven by a combination of a decline in nonwage income and an inflated tax year 2017 estimated payments base, stemming from the TCJA and repatriation of foreign hedge fund earnings. FY 2019 final return payments are projected to increase by \$121 million (4.9 percent) and delinquencies are projected to decline by \$7 million (0.5 percent).

# State Financial Plan Projections Fiscal Years 2019 Through 2022



The projected growth in total refunds of \$1.2 billion (11 percent) includes increases of \$407 million (6.5 percent) in prior tax year (2017) refunds, \$637 million (104.4 percent) in advanced credit payments related to tax year 2018, and \$117 million (13.7 percent) in the state-city offset, partially offset by a decline of \$4 million (0.8 percent) in previous tax year (2016 and earlier) refunds. The administrative January-March refund cap will remain at the higher level in FY 2019, as in FY 2018.

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2019 of \$22.7 billion are projected to decrease by \$13.3 billion (36.9 percent) from FY 2018 results, reflecting a combination of enacted legislation that doubled RBTF deposits from 25 percent to 50 percent of net PIT receipts, and the decline in All Funds receipts noted above. As a result, RBTF deposits are projected to nearly double to \$25.2 billion. The FY 2019 STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts for FY 2020 of \$54 billion are projected to increase by \$3.5 billion (7 percent) from FY 2019 estimates. Gross PIT receipts are projected to increase 5.5 percent, reflecting increases of \$1.3 billion (3 percent) in withholding, \$1 billion (8 percent) in estimated payments related to tax year 2019, \$924 million (26.5 percent) in extension payments related to tax year 2018, \$149 million (5.7 percent) in final returns, and \$64 million (4.3 percent) in delinquencies. Total refunds are projected to decline \$142 million (1.2 percent), due to the combination of a \$500 million (22.2 percent) expected decline in the administrative refund cap and a \$174 million (17.9 percent) decline in the state-city offset, partially offset by increases of \$40 million (0.6 percent) in prior tax year (2018) refunds, \$30 million (5.7 percent) in previous tax years (2017 and earlier) refunds, and \$462 million (37 percent) in advanced credit payments. The modest growth in withholding is attributable to the expiration of the temporary high-income surcharge, scheduled to sunset after tax year 2019.

General Fund PIT receipts for FY 2020 of \$24.6 billion are projected to increase by \$1.8 billion (8 percent) from current year estimates, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$27 billion and the STAR transfer is projected to be \$2.4 billion.

All Funds PIT receipts for FY 2021 of \$55 billion are projected to increase by \$1.1 billion (2 percent) from FY 2020 estimates. Gross PIT receipts are projected to increase 1.3 percent, reflecting withholding that is projected to grow by \$991 million (2.3 percent) and total refunds that are projected to decline by \$225 million (1.9 percent), partially offset by a projected decrease in total estimated payments of \$355 million (2 percent).



# State Financial Plan Projections Fiscal Years 2019 Through 2022

The relatively low withholding growth rate and the decline in total estimated payments reflect the aforementioned expiration of the high-income surcharge. The decline in total estimated payments includes a projected decline of \$1.2 billion (8.4 percent) in estimated payments for tax year 2020, partially offset by a \$804 million (18.2 percent) increase in extensions for tax year 2019. Final returns are expected to increase by \$160 million (5.8 percent) and delinquencies are projected to increase by \$37 million (2.4 percent). The decline in total refunds is attributable to the scheduled expiration of the Property Tax Relief Credit.

General Fund PIT receipts for FY 2021 of \$25.1 billion are projected to increase by \$544 million (2.2 percent). RBTF deposits are projected to be \$27.5 billion, and the STAR transfer is projected to be \$2.4 billion.

All Funds PIT receipts in FY 2022 are projected to increase by \$2.4 billion to \$57.5 billion, while General Fund PIT receipts are projected to total \$26.3 billion. Growth is projected to be suppressed by the combination of modest growth in extension payments related to tax year 2020 and an increase in tax year 2020-related refunds, both resulting from the aforementioned surcharge expiration.

## Consumption/Use Taxes

| CONSUMPTION/USE TAXES<br>(millions of dollars) |               |               |             |               |             |               |             |               |             |
|--|---------------|---------------|-------------|---------------|-------------|---------------|-------------|---------------|-------------|
|  | FY 2018       | FY 2019       |             | FY 2020       |             | FY 2021       |             | FY 2022       |             |
|  | Results       | Updated       | Change      | Projected     | Change      | Projected     | Change      | Projected     | Change      |
| <b>STATE/ALL FUNDS</b>                         | <b>16,711</b> | <b>17,303</b> | <b>3.5%</b> | <b>17,839</b> | <b>3.1%</b> | <b>18,390</b> | <b>3.1%</b> | <b>18,962</b> | <b>3.1%</b> |
| Sales Tax                                      | 14,495        | 15,086        | 4.1%        | 15,670        | 3.9%        | 16,263        | 3.8%        | 16,871        | 3.7%        |
| Cigarette and Tobacco Taxes                    | 1,171         | 1,119         | -4.4%       | 1,068         | -4.6%       | 1,020         | -4.5%       | 977           | -4.2%       |
| Motor Fuel Tax                                 | 512           | 512           | 0.0%        | 507           | -1.0%       | 504           | -0.6%       | 501           | -0.6%       |
| Highway Use Tax                                | 93            | 142           | 52.7%       | 142           | 0.0%        | 143           | 0.7%        | 145           | 1.4%        |
| Alcoholic Beverage Taxes                       | 259           | 262           | 1.2%        | 265           | 1.1%        | 269           | 1.5%        | 272           | 1.1%        |
| Medical Marihuana Excise Tax                   | 2             | 2             | 0.0%        | 2             | 0.0%        | 2             | 0.0%        | 2             | 0.0%        |
| Taxicab Surcharge                              | 56            | 50            | -10.7%      | 50            | 0.0%        | 50            | 0.0%        | 50            | 0.0%        |
| Auto Rental Tax                                | 123           | 130           | 5.7%        | 135           | 3.8%        | 139           | 3.0%        | 144           | 3.6%        |
| <b>GENERAL FUND<sup>1</sup></b>                | <b>7,377</b>  | <b>7,647</b>  | <b>3.7%</b> | <b>7,913</b>  | <b>3.5%</b> | <b>8,185</b>  | <b>3.4%</b> | <b>8,464</b>  | <b>3.4%</b> |
| Sales Tax                                      | 6,776         | 7,057         | 4.1%        | 7,332         | 3.9%        | 7,612         | 3.8%        | 7,898         | 3.8%        |
| Cigarette and Tobacco Taxes                    | 342           | 328           | -4.1%       | 316           | -3.7%       | 304           | -3.8%       | 294           | -3.3%       |
| Alcoholic Beverage Taxes                       | 259           | 262           | 1.2%        | 265           | 1.1%        | 269           | 1.5%        | 272           | 1.1%        |

<sup>1</sup>Excludes Transfers.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



All Funds consumption/use tax receipts for FY 2019 are estimated to total \$17.3 billion, a \$592 million (3.5 percent) increase from FY 2018 results. Sales tax receipts are estimated to increase \$591 million (4.1 percent) from FY 2018 results, reflecting base growth (i.e., absent law changes) of 5.4 percent. This base growth stems from estimated disposable income and consumption of taxable services. Cigarette and tobacco tax collections are projected to decrease by \$52 million (4.4 percent), reflecting a trend decline in taxable cigarette consumption. Highway use tax (HUT) collections are estimated to increase by \$49 million (52.7 percent) as long-term trend levels resume following litigation-induced refund increases in FY 2018. Taxicab surcharge receipts are estimated to decline by \$6 million (10.7 percent) resulting from consumers choosing alternative transportation services not subject to the surcharge. Auto rental tax receipts are estimated to increase by \$7 million (5.7 percent).

General Fund sales tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

General Fund consumption/use tax receipts for FY 2019 are projected to total over \$7.6 billion, a \$270 million (3.7 percent) increase from FY 2018 results. This increase largely reflects the All Funds sales and use tax and cigarette tax trends, noted above.

All Funds consumption/use tax receipts for FY 2020 are projected to total over \$17.8 billion, a \$536 million (3.1 percent) increase from FY 2019 estimates. The projected \$584 million (3.9 percent) increase in sales tax receipts reflects sales tax base growth of 3.9 percent due to projected growth in disposable income and consumption, partially offset by the continued trend decline in taxable cigarette consumption.

General Fund consumption/use tax receipts are projected to total over \$7.9 billion in FY 2020, a \$266 million (3.5 percent) increase from FY 2019. The projected increase largely reflects the All Funds sales and use tax and cigarette tax trends, noted above.

All Funds consumption/use tax receipts for FY 2021 are projected to increase to nearly \$18.4 billion, a \$551 million (3.1 percent) increase from FY 2020. The projected increase reflects sales tax base growth of 3.9 percent, and a continued trend decline in taxable cigarette consumption. FY 2021 General Fund consumption/use tax receipts are projected to increase to nearly \$8.2 billion, a \$272 million (3.4 percent) increase from FY 2020 projections.

All Funds consumption/use tax receipts are projected to increase to nearly \$19 billion (3.1 percent growth) in FY 2022, largely representing base growth in sales tax receipts, which is slightly offset by a continued trend decline in taxable cigarette consumption. General Fund consumption/use tax receipts are projected to increase to nearly \$8.5 billion (3.4 percent growth) in FY 2022, reflecting the All Funds sales and use tax and cigarette tax trends, noted above.



## Business Taxes

| BUSINESS TAXES<br>(millions of dollars) |              |              |              |              |             |              |             |              |             |
|---|--------------|--------------|--------------|--------------|-------------|--------------|-------------|--------------|-------------|
|   | FY 2018      | FY 2019      |              | FY 2020      |             | FY 2021      |             | FY 2022      |             |
|   | Results      | Updated      | Change       | Projected    | Change      | Projected    | Change      | Projected    | Change      |
| <b>STATE/ALL FUNDS</b>                  | <b>7,164</b> | <b>7,981</b> | <b>11.4%</b> | <b>8,630</b> | <b>8.1%</b> | <b>8,966</b> | <b>3.9%</b> | <b>9,123</b> | <b>1.8%</b> |
| Corporate Franchise Tax                 | 3,080        | 4,027        | 30.7%        | 4,482        | 11.3%       | 4,736        | 5.7%        | 4,780        | 0.9%        |
| Corporation and Utilities Tax           | 748          | 700          | -6.4%        | 710          | 1.4%        | 718          | 1.1%        | 727          | 1.3%        |
| Insurance Tax                           | 1,777        | 1,976        | 11.2%        | 2,201        | 11.4%       | 2,354        | 7.0%        | 2,467        | 4.8%        |
| Bank Tax                                | 467          | 142          | -69.6%       | 71           | -50.0%      | 0            | -100.0%     | 0            | 0.0%        |
| Petroleum Business Tax                  | 1,092        | 1,136        | 4.0%         | 1,166        | 2.6%        | 1,158        | -0.7%       | 1,149        | -0.8%       |
| <b>GENERAL FUND</b>                     | <b>4,916</b> | <b>5,626</b> | <b>14.4%</b> | <b>6,170</b> | <b>9.7%</b> | <b>6,470</b> | <b>4.9%</b> | <b>6,577</b> | <b>1.7%</b> |
| Corporate Franchise Tax                 | 2,326        | 3,212        | 38.1%        | 3,610        | 12.4%       | 3,828        | 6.0%        | 3,828        | 0.0%        |
| Corporation and Utilities Tax           | 570          | 530          | -7.0%        | 537          | 1.3%        | 541          | 0.7%        | 547          | 1.1%        |
| Insurance Tax                           | 1,610        | 1,762        | 9.4%         | 1,963        | 11.4%       | 2,101        | 7.0%        | 2,202        | 4.8%        |
| Bank Tax                                | 410          | 122          | -70.2%       | 60           | -50.8%      | 0            | -100.0%     | 0            | 0.0%        |
| Petroleum Business Tax                  | 0            | 0            | 0.0%         | 0            | 0.0%        | 0            | 0.0%        | 0            | 0.0%        |

All Funds business tax receipts for FY 2019 are estimated to total nearly \$8 billion, an increase of \$817 million (11.4 percent) from FY 2018 results. The estimate reflects increases in corporate franchise tax, insurance tax and petroleum business tax (PBT) receipts. Corporation and utilities tax and bank tax receipts are estimated to decline primarily due to higher receipts in FY 2018 that are not expected to recur in FY 2019.

Corporation franchise tax receipts are estimated to increase \$947 million (30.7 percent) in FY 2019, reflecting higher gross receipts and audits. FY 2018 results were negatively impacted by a shortfall in cash remittances from taxpayers that continue to have overpayment balances from tax year 2015 that they can use to satisfy current year liability. It will likely be several years before many larger taxpayers need to remit cash payments for current liability. FY 2019 estimates also include \$60 million resulting from taxable interest associated with the Federal TCJA repatriated earnings provision and \$20 million from other TCJA flow-through impacts. Audit receipts are projected to increase in FY 2019 (by \$137 million) as a greater number of large cases are expected to be closed.

Corporation and utilities tax receipts are estimated to decrease \$48 million (6.4 percent) in FY 2019. Audits are projected to fall by \$44 million as FY 2018 included payments from telecommunication companies that are not expected to recur. Gross receipts from telecommunications companies are expected to decline due to industry competitiveness and the movement of most communications to internet-based solutions which are not taxable. In 2017, over 90 percent of the population owned a smartphone.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



Insurance tax receipts for FY 2019 are estimated to increase \$199 million (11.2 percent) from FY 2018. Projected growth in tax year 2018 liability as well as lower expected credit claims for assessments paid to the Life Insurance Company Guaranty Corporation (LICGC) account for the year-over-year increase. The LICGC exists to protect policyholders from the insolvency of their life insurers. This is the third year of claims for the credit for assessments paid earlier. FY 2019 also includes a part-year revenue increase from the conversion of a not-for-profit health insurer to a for-profit health insurer.

Receipts from the repealed bank tax (all from prior liability periods) are estimated to decrease by \$325 million (69.6 percent) from FY 2019, stemming from lower audit receipts (\$296 million) and smaller prior period adjustments. PBT receipts are estimated to increase \$44 million (4 percent) in FY 2019, primarily due to the 5 percent increase in the PBT rate index effective January 1, 2018 and the projected 5 percent increase in the PBT rate index effective January 1, 2019.

General Fund business tax receipts for FY 2019 of \$5.6 billion are projected to increase by \$710 million (14.4 percent) from FY 2018 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2020 of \$8.6 billion are projected to increase by \$649 million (8.1 percent) from FY 2019 estimates. The corporation franchise tax receipts increase of \$455 million (11.3 percent) reflects projected growth in corporate profits and a stabilization of liability as taxpayers adjust to all aspects of State corporate tax reform (effective tax year 2015). The corporation and utilities tax receipts increase of \$10 million (1.4 percent) is primarily attributable to growth in the utilities section of the tax. This projection includes \$71 million in TCJA flow-through impacts in the corporation franchise tax.

Insurance tax receipts for FY 2020 of \$2.2 billion are projected to increase by \$225 million (11.4 percent) from current year estimates. Projected growth in insurance tax premiums combined with lower expected LICGC credit claims contribute to this year-over-year growth. FY 2020 includes the full year impact from the health insurer conversion described earlier. Receipts from the repealed bank tax are projected to decrease by \$71 million (50 percent) in FY 2019, due to lower projected audit receipts. PBT receipts are projected to increase by \$30 million (2.6 percent) in FY 2020 due to a projected 5 percent increase in the PBT rate index effective January 1, 2019.

General Fund business tax receipts for FY 2020 of nearly \$6.2 billion are projected to increase by \$544 million (9.7 percent) from current year estimates, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2021 of nearly \$9 billion are projected to increase by \$336 million (3.9 percent), and General Fund business tax receipts are projected to increase to nearly \$6.5 billion (4.9 percent growth) from FY 2020 projections. The increase is primarily reflective of growth in corporation franchise tax receipts driven by higher gross receipts and lower refunds. Increases in projected corporation and utilities tax and insurance tax receipts are partially offset by a decline in projected bank tax and PBT receipts. This projection includes \$52 million in TCJA flow-through impacts in the corporation franchise tax.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

All Funds business tax receipts for FY 2022 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2022, All Funds business tax receipts are projected to increase to \$9.1 billion (1.8 percent growth), and General Fund business tax receipts are projected to increase to nearly \$6.6 billion (1.7 percent growth). This projection includes \$53 million in TCJA flow-through impacts.

## Other Taxes

| OTHER TAXES<br>(millions of dollars)  |                    |                    |               |                      |             |                      |             |                      |             |
|---------------------------------------|--------------------|--------------------|---------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
|                                       | FY 2018<br>Results | FY 2019<br>Updated | Change        | FY 2020<br>Projected | Change      | FY 2021<br>Projected | Change      | FY 2022<br>Projected | Change      |
| <b>STATE/ALL FUNDS</b>                | <b>2,451</b>       | <b>2,229</b>       | <b>-9.1%</b>  | <b>2,341</b>         | <b>5.0%</b> | <b>2,440</b>         | <b>4.2%</b> | <b>2,543</b>         | <b>4.2%</b> |
| Estate Tax                            | 1,308              | 1,033              | -21.0%        | 1,092                | 5.7%        | 1,155                | 5.8%        | 1,220                | 5.6%        |
| Real Estate Transfer Tax              | 1,125              | 1,178              | 4.7%          | 1,231                | 4.5%        | 1,267                | 2.9%        | 1,305                | 3.0%        |
| Employer Compensation Expense Program | 0                  | TBD                | 0.0%          | TBD                  | TBD         | TBD                  | TBD         | TBD                  | TBD         |
| Gift Tax                              | 0                  | 0                  | 0.0%          | 0                    | 0.0%        | 0                    | 0.0%        | 0                    | 0.0%        |
| Real Property Gains Tax               | 0                  | 0                  | 0.0%          | 0                    | 0.0%        | 0                    | 0.0%        | 0                    | 0.0%        |
| Pari-Mutuel Taxes                     | 15                 | 15                 | 0.0%          | 15                   | 0.0%        | 15                   | 0.0%        | 15                   | 0.0%        |
| All Other Taxes                       | 3                  | 3                  | 0.0%          | 3                    | 0.0%        | 3                    | 0.0%        | 3                    | 0.0%        |
| <b>GENERAL FUND<sup>1</sup></b>       | <b>1,326</b>       | <b>1,051</b>       | <b>-20.7%</b> | <b>1,110</b>         | <b>5.6%</b> | <b>1,173</b>         | <b>5.7%</b> | <b>1,238</b>         | <b>5.5%</b> |
| Estate Tax                            | 1,308              | 1,033              | -21.0%        | 1,092                | 5.7%        | 1,155                | 5.8%        | 1,220                | 5.6%        |
| Employer Compensation Expense Program | 0                  | TBD                | 0.0%          | TBD                  | TBD         | TBD                  | TBD         | TBD                  | TBD         |
| Gift Tax                              | 0                  | 0                  | 0.0%          | 0                    | 0.0%        | 0                    | 0.0%        | 0                    | 0.0%        |
| Real Property Gains Tax               | 0                  | 0                  | 0.0%          | 0                    | 0.0%        | 0                    | 0.0%        | 0                    | 0.0%        |
| Pari-Mutuel Taxes                     | 15                 | 15                 | 0.0%          | 15                   | 0.0%        | 15                   | 0.0%        | 15                   | 0.0%        |
| All Other Taxes                       | 3                  | 3                  | 0.0%          | 3                    | 0.0%        | 3                    | 0.0%        | 3                    | 0.0%        |

<sup>1</sup>Excludes Transfers.

All Funds other tax receipts for FY 2019 are estimated to total over \$2.2 billion, a decrease of \$222 million (9.1 percent) from FY 2018 results. This is primarily due to an estimated \$275 million (21 percent) decrease in estate tax receipts which is a result of a return to a historical average in both the number and payment value of super-large (i.e., over \$25 million) payments. Real estate transfer tax receipts are expected to increase by \$53 million (4.7 percent) due to estimated growth in housing starts and housing prices.

General Fund other tax receipts are estimated to approach \$1.1 billion in FY 2019, a decrease of \$275 million (20.7 percent) from FY 2018 results, reflecting the estate tax receipts decrease noted above.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



All Funds other tax receipts for FY 2020 are projected to total over \$2.3 billion, a \$112 million (5 percent) increase from FY 2019 estimates. The \$59 million (5.7 percent) projected increase in estate tax receipts reflects projected growth in household net worth. Real estate transfer tax receipts are projected to increase by \$53 million (4.5 percent), reflecting projected growth in housing starts and prices.

General Fund other tax receipts for FY 2020 are projected to be slightly above \$1.1 billion, an increase of \$59 million (5.6 percent) from FY 2019 estimates due to the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 are projected to be over \$2.4 billion, a \$99 million (4.2 percent) increase from FY 2020 projections. Estate tax receipts are projected to increase by \$63 million (5.8 percent) in FY 2021, reflecting projected growth in household net worth. The \$36 million (2.9 percent) projected increase in real estate transfer tax receipts in FY 2021 reflects projected growth in housing starts and prices.

General Fund other tax receipts for FY 2021 are projected to total slightly below \$1.2 billion, an increase of \$63 million (5.7 percent), resulting from the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2022 reflect projected trend growth in household net worth, housing starts, and housing prices. All Funds other tax receipts are projected to be over \$2.5 billion in FY 2022, an increase of \$103 million (4.2 percent).

General Fund other tax receipts are projected to be over \$1.2 billion in FY 2022, an increase of \$65 million (5.5 percent).

## Miscellaneous Receipts

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, Tribal-State compact revenue, Extraordinary Monetary Settlements and a variety of fees. As such, miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, tuition income revenue and other miscellaneous receipts.

| MISCELLANEOUS RECEIPTS<br>(millions of dollars) |                |                |               |                  |               |                  |               |                  |               |
|---|----------------|----------------|---------------|------------------|---------------|------------------|---------------|------------------|---------------|
|   | FY 2018        | FY 2019        |               | FY 2020          |               | FY 2021          |               | FY 2022          |               |
|   | <u>Results</u> | <u>Updated</u> | <u>Change</u> | <u>Projected</u> | <u>Change</u> | <u>Projected</u> | <u>Change</u> | <u>Projected</u> | <u>Change</u> |
| <b>ALL FUNDS</b>                                | <b>27,262</b>  | <b>28,178</b>  | <b>3.4%</b>   | <b>26,474</b>    | <b>-6.0%</b>  | <b>25,496</b>    | <b>-3.7%</b>  | <b>25,813</b>    | <b>1.2%</b>   |
| General Fund                                    | 3,129          | 2,474          | -20.9%        | 2,028            | -18.0%        | 2,001            | -1.3%         | 1,883            | -5.9%         |
| Special Revenue Funds                           | 17,933         | 17,780         | -0.9%         | 17,461           | -1.8%         | 16,879           | -3.3%         | 17,051           | 1.0%          |
| Capital Projects Funds                          | 5,729          | 7,426          | 29.6%         | 6,516            | -12.3%        | 6,147            | -5.7%         | 6,411            | 4.3%          |
| Debt Service Funds                              | 471            | 498            | 5.7%          | 469              | -5.8%         | 469              | 0.0%          | 468              | -0.2%         |

All Funds miscellaneous receipts are projected to total \$28.2 billion in FY 2019, an increase of 3.4 percent from FY 2018 results. This increase is primarily due to higher bond financed capital spending on a year-over-year basis. Bond-financed capital expenses are paid from the General Fund (or STIP) in the first instance and subsequently reimbursed with PIT or Sales Tax Revenue Bond proceeds, at which time they are captured as miscellaneous receipts.

All Funds miscellaneous receipts are projected to decline in FY 2020 and FY 2021, reflecting the impact of Extraordinary Monetary Settlements received and a decrease in bond proceeds reimbursements in later years, which subsequently corresponds to the spending out of bond-financed capital projects.

## Federal Grants

| FEDERAL GRANTS<br>(millions of dollars) |                |                |               |                  |               |                  |               |                  |               |
|---|----------------|----------------|---------------|------------------|---------------|------------------|---------------|------------------|---------------|
|   | FY 2018        | FY 2019        |               | FY 2020          |               | FY 2021          |               | FY 2022          |               |
|   | <u>Results</u> | <u>Updated</u> | <u>Change</u> | <u>Projected</u> | <u>Change</u> | <u>Projected</u> | <u>Change</u> | <u>Projected</u> | <u>Change</u> |
| <b>ALL FUNDS</b>                        | <b>58,942</b>  | <b>62,510</b>  | <b>6.1%</b>   | <b>63,372</b>    | <b>1.4%</b>   | <b>64,407</b>    | <b>1.6%</b>   | <b>66,454</b>    | <b>3.2%</b>   |
| General Fund                            | 0              | 0              | 0.0%          | 0                | 0.0%          | 0                | 0.0%          | 0                | 0.0%          |
| Special Revenue Funds                   | 56,744         | 60,003         | 5.7%          | 61,070           | 1.8%          | 62,147           | 1.8%          | 64,195           | 3.3%          |
| Capital Projects Funds                  | 2,125          | 2,433          | 14.5%         | 2,229            | -8.4%         | 2,187            | -1.9%         | 2,187            | 0.0%          |
| Debt Service Funds                      | 73             | 74             | 1.4%          | 73               | -1.4%         | 73               | 0.0%          | 72               | -1.4%         |

# State Financial Plan Projections Fiscal Years 2019 Through 2022



Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in Federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants projections primarily reflect the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to Congressional authorization, appropriations and budget action.

Under the Trump Administration and the current Congress, many of the policies that drive Federal aid may be subject to change. At this time, it is not possible to assess the potential fiscal impact of policies that may be proposed and adopted by the Trump Administration and current Congress. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan. The FY 2018 Enacted Budget included authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

## Responding to Federal Tax Reform

| RESPONDING TO FEDERAL TAX REFORM                                       |              |                |              |                |
|--|--------------|----------------|--------------|----------------|
| (millions of dollars)  |              |                |              |                |
|  | General Fund |                | All Funds    |                |
|  | FY 2019      | FY 2020        | FY 2019      | FY 2020        |
| Maintain 2017 Empire State Child Tax Credit Benefits                   | 0            | 0              | 0            | 0              |
| Decouple PIT from Federal SALT & State Single Filer Standard Deduction | 0            | (1,525)        | 0            | (1,525)        |
| Eliminate Repatriation Tax Deduction                                   | 2,000        | 0              | 2,000        | 0              |
| Establish State and Local Charitable Gifts Trust Funds                 | 0            | TBD            | 0            | TBD            |
| Allow NYC PIT Decoupling from Charity Itemized Deduction               | 0            | 0              | 0            | 0              |
| Impose an Employer Compensation Expense Tax                            | TBD          | TBD            | TBD          | TBD            |
| <b>TOTAL RESPONDING TO FEDERAL TAX REFORM</b>                          | <b>2,000</b> | <b>(1,525)</b> | <b>2,000</b> | <b>(1,525)</b> |

- Maintain 2017 Empire State Child Tax Credit Benefits.** The TCJA of 2017 changed the maximum value of the Federal child tax credit as well as the range of incomes eligible for the credit. Absent Enacted Budget legislation, these changes would have increased the total tax expenditure related to the Empire State Child Tax Credit, since the State credit is based on the Federal credit. This Budget permanently calculates the State credit based on the Federal credit calculation in effect prior to the TCJA.

- **Decouple PIT from Federal SALT & State Single Filer Standard Deduction.** Effective tax year 2018, the TCJA limits the SALT deduction to \$10,000 annually and eliminates personal exemptions entirely. Absent Enacted Budget legislation, the SALT deduction cap would have restricted property tax deductions on State returns to the \$10,000 limit, and the elimination of personal exemptions would have limited the standard deduction for single filers to the amount claimable by dependent filers. The FY 2019 Enacted Budget allows unrestricted deductibility of property taxes on State returns and retains the full value of the State standard deduction for single filers.
- **Eliminate Repatriation Tax Deduction.** To avoid a large unintended revenue loss, the State decoupled from the new Federal tax deduction for repatriation of certain foreign income.
- **Establish State and Local Charitable Gifts Funds.** While the TCJA limits the SALT deduction to \$10,000 annually, the deduction for charitable gifts remains uncapped. Newly established state and local charitable gifts funds allow taxpayers to make gifts to funds of their choice and benefit from charitable gifts deductions on both Federal and State returns, while receiving tax credits equal to up to 85 percent of their charitable gifts.
- **Allow NYC to Decouple from the Charitable Gifts Deduction.** The Federal itemized deduction for charitable gifts flows-through to State returns and, for NYC residents, into the calculation of NYC PIT liability. NYC is authorized to decouple from the charitable gifts itemized deduction with respect to gifts made to the newly established State and local charitable gifts funds, thereby protecting NYC tax revenue.
- **Impose an Employer Compensation Expense Tax.** Businesses, unlike individuals, retain full deductibility of SALT payments following enactment of the TCJA. To mitigate the impact of the cap on SALT deductions on individual taxpayers, legislation included with the FY 2019 Enacted Budget imposes a tax on compensation expenses paid by employers that elect to participate in the ECEP. The tax is applicable to the participating employer's calendar year payroll expenses in excess of \$40,000 for each individual employee. The tax rate gradually increases to 5 percent over a three-year phase-in period. Employees of participating employers are entitled to a credit to offset the expected impact of the Employer Compensation Expense Tax on wages.

See the discussion under the heading “Overview of the Financial Plan– Impact of Federal Tax Law changes” for more information on the State’s response to Federal tax law changes, including a summary of the IRS’ August 23, 2018 proposed regulations governing the availability of Federal income tax deductions for charitable contributions when a taxpayer receives or expects to receive a state or local tax credit for such charitable contributions.

## Disbursements

In FY 2019, total disbursements from the State's General Fund, including transfers, are expected to be \$75.8 billion and total disbursements from State Operating Funds are expected to be \$100.1 billion. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth, as further described in this section.

The multi-year disbursements projections take into account various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.





# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Local Assistance Grants

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$66.7 billion in FY 2019, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State's major local assistance programs and activities are summarized below.

| FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES<br>(millions of dollars) |                    |                    |                      |                      |                      |
|--|--------------------|--------------------|----------------------|----------------------|----------------------|
|  | FY 2018<br>Results | FY 2019<br>Updated | Forecast             |                      |                      |
|  |                    |                    | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
| <b>HEALTH CARE</b>   |                    |                    |                      |                      |                      |
| Medicaid - Individuals Covered   | 6,207,104          | 6,262,173          | 6,289,708            | 6,303,475            | 6,310,359            |
| Essential Plan - Individuals Covered   | 728,807            | 733,755            | 737,615              | 739,715              | 741,821              |
| Child Health Plus - Individuals Covered  | 364,401            | 395,199            | 410,703              | 418,455              | 422,493              |
| State Takeover of County/NYC Costs <sup>1</sup>  | \$2,996            | \$3,337            | \$3,677              | \$4,027              | \$4,389              |
| <b>EDUCATION</b>   |                    |                    |                      |                      |                      |
| School Aid (School Year Basis Funding)   | \$25,639           | \$26,553           | \$27,509             | \$28,692             | \$29,983             |
| <b>HIGHER EDUCATION</b>  |                    |                    |                      |                      |                      |
| Public Higher Education Enrollment (FTEs)  | 557,854            | 557,854            | N/A                  | N/A                  | N/A                  |
| Tuition Assistance Program (Recipients)  | 275,916            | 276,207            | N/A                  | N/A                  | N/A                  |
| <b>PUBLIC ASSISTANCE</b>   |                    |                    |                      |                      |                      |
| Family Assistance Program (Families)   | 217,760            | 214,749            | 211,525              | 208,308              | 205,200              |
| Safety Net Program (Families)  | 120,905            | 119,575            | 117,673              | 115,815              | 114,035              |
| Safety Net Program (Singles)   | 206,880            | 209,570            | 211,088              | 213,086              | 215,112              |
| <b>MENTAL HYGIENE</b>  |                    |                    |                      |                      |                      |
| OMH Community Beds   | 43,347             | 45,006             | 46,528               | 47,324               | 47,874               |
| OPWDD Community Beds   | 43,080             | 43,511             | 43,859               | 44,210               | 44,563               |
| OASAS Community Beds   | <u>13,256</u>      | <u>13,532</u>      | <u>13,778</u>        | <u>14,066</u>        | <u>14,150</u>        |
| Total  | 99,683             | 102,049            | 104,165              | 105,600              | 106,587              |
| <b>PRISON POPULATION</b>   |                    |                    |                      |                      |                      |
|  | 49,800             | 48,200             | 48,200               | 48,200               | 48,200               |

<sup>1</sup> Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015. A portion of the State takeover costs are funded from Master Settlement Agreement resources.

## Education

### School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

#### School Year (July 1 – June 30)

School Aid is expected to total \$26.6 billion in SY 2019, an annual increase of \$914 million (3.6 percent), including a \$618 million Foundation Aid increase. A Community Schools set-aside of \$200 million within Foundation Aid (a \$50 million increase from the prior year) provides funds intended to facilitate the transformation of schools into community hubs. In addition, another \$245 million supports increased reimbursement in expense-based and categorical aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Financial Plan provides \$50 million for new competitive grant programs, including a \$15 million investment to expand prekindergarten programs for three- and four-year-old students targeted to high-need school districts, and \$10 million to expand the Empire State After-School Program, helping to keep young people safe and engaged during after school hours. The State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten (SUFPK) programs.

The Financial Plan projections assume that year-over-year growth in School Aid disbursements will not exceed the annual percent growth in NYS personal income. However, since FY 2014, the State has annually authorized School Aid increases above the PIGI. In SY 2019, the Financial Plan reflects a 3.6 percent School Aid increase, compared to 1.5 percent growth in the PIGI. For SY 2020, the Financial Plan reflects a School Aid increase of 3.6 percent; however, the recently updated personal income growth data results in a 6.1 percent index.

| SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30) <sup>1</sup> |                |                |               |                |               |                |               |                |               |
|--|----------------|----------------|---------------|----------------|---------------|----------------|---------------|----------------|---------------|
| (millions of dollars)  |                |                |               |                |               |                |               |                |               |
|  | <u>SY 2018</u> | <u>SY 2019</u> | <u>Change</u> | <u>SY 2020</u> | <u>Change</u> | <u>SY 2021</u> | <u>Change</u> | <u>SY 2022</u> | <u>Change</u> |
| Total  | 25,639         | 26,553         | 914           | 27,509         | 956           | 28,692         | 1,183         | 29,983         | 1,291         |
|  |                |                | 3.6%          |                | 3.6%          |                | 4.3%          |                | 4.5%          |

<sup>1</sup>School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## State Fiscal Year

The State finances School Aid from the General Fund, commercial gaming and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

| SCHOOL AID - STATE FISCAL YEAR BASIS<br>(millions of dollars) |               |               |             |               |             |               |             |               |             |
|---|---------------|---------------|-------------|---------------|-------------|---------------|-------------|---------------|-------------|
|   | FY 2018       | FY 2019       | FY 2020     |               | FY 2021     |               | FY 2022     |               |             |
|   | Results       | Updated       | Change      | Projected     | Change      | Projected     | Change      | Projected     | Change      |
| <b>TOTAL STATE OPERATING FUNDS</b>                            | <b>25,457</b> | <b>26,483</b> | <b>4.0%</b> | <b>27,460</b> | <b>3.7%</b> | <b>28,567</b> | <b>4.0%</b> | <b>29,826</b> | <b>4.4%</b> |
| General Fund Local Assistance                                 | 21,954        | 23,043        | 5.0%        | 24,012        | 4.2%        | 25,116        | 4.6%        | 26,375        | 5.0%        |
| Medicaid  | 61            | 99            | 62.3%       | 78            | -21.2%      | 78            | 0.0%        | 78            | 0.0%        |
| Core Lottery Aid  | 2,395         | 2,294         | -4.2%       | 2,288         | -0.3%       | 2,291         | 0.1%        | 2,291         | 0.0%        |
| VLT Lottery Aid   | 958           | 907           | -5.3%       | 934           | 3.0%        | 934           | 0.0%        | 934           | 0.0%        |
| Commercial Gaming - VLT Offset                                | 8             | 0             | -100.0%     | 0             | 0.0%        | 0             | 0.0%        | 0             | 0.0%        |
| Commercial Gaming   | 81            | 140           | 72.8%       | 148           | 5.7%        | 148           | 0.0%        | 148           | 0.0%        |

State fiscal year spending for School Aid is projected to total \$26.5 billion in FY 2019, a 4.0 percent increase over FY 2018. Over the multi-year Financial Plan, the share of School Aid spending projected to be financed by the General Fund is expected to increase as core lottery, video lottery and commercial gaming revenues are expected to remain largely flat beginning in FY 2020. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid are supplemented by commercial gaming revenues shared with the State by commercial gaming facilities. These receipts are expected to increase in FY 2020 by \$8 million but remain flat thereafter. Between December 2014 and August 2016, four casino resorts were recommended by the State's Gaming Facility Location Board and approved by the State Gaming Commission. The approved casinos have since opened and are in operation. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 Education; cultural education; higher and professional education programs; and adult career and continuing education services.

| OTHER EDUCATION<br>(millions of dollars) |                    |                    |             |                      |              |                      |             |                      |             |
|--|--------------------|--------------------|-------------|----------------------|--------------|----------------------|-------------|----------------------|-------------|
|  | FY 2018<br>Results | FY 2019<br>Updated | Change      | FY 2020<br>Projected | Change       | FY 2021<br>Projected | Change      | FY 2022<br>Projected | Change      |
| <b>TOTAL STATE OPERATING FUNDS</b>       | <b>2,147</b>       | <b>2,332</b>       | <b>8.6%</b> | <b>2,295</b>         | <b>-1.6%</b> | <b>2,375</b>         | <b>3.5%</b> | <b>2,463</b>         | <b>3.7%</b> |
| Special Education                        | 1,264              | 1,329              | 5.1%        | 1,350                | 1.6%         | 1,417                | 5.0%        | 1,483                | 4.7%        |
| All Other Education                      | 883                | 1,003              | 13.6%       | 945                  | -5.8%        | 958                  | 1.4%        | 980                  | 2.3%        |

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State's adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

The increase in Special Education spending in FY 2019 is due to lower-than-expected summer school and preschool special education claims submitted during FY 2018 that are expected to materialize in FY 2019. Outyear growth for Special Education is attributable to increased State reimbursement to special education providers for minimum wage costs and projected cost growth in the preschool and summer school special education programs.

The projected increase in All Other Education spending in FY 2019 primarily reflects a continuation or increase of one-time aid and grants. The decrease in spending levels in All Other Education in FY 2020 is largely attributable to the discontinuation of one-time aid and grants. Projected increases for charter school supplemental basic tuition and nonpublic schools are expected to drive growth in FY 2021 and thereafter.

## School Tax Relief Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$66,800 exemption in FY 2019. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares of projected FY 2019 program costs are: the Basic school property tax exemption or credit for homeowners with incomes under \$500,000 (53 percent); Enhanced school property tax exemption or credit for senior citizen homeowners with incomes under \$86,000 (28 percent); and a credit for income-eligible resident NYC personal income taxpayers (19 percent). The FY 2018 Enacted Budget converted the NYC PIT rate reduction benefit into a PIT tax credit. The conversion has reduced and will eventually eliminate the NYC payment as a component of State Operating Funds spending. This change has no impact on the value of the STAR benefit received by taxpayers.

STAR property tax exemption spending reflects reimbursements made to school districts to offset a reduction in the amount of property tax revenue collected from STAR-eligible homeowners. In FY 2017, the STAR exemption program began a gradual shift from a spending program into an advance refundable PIT credit program. First-time homebuyers and homeowners who move now receive a refundable PIT credit, in lieu of a property tax exemption. Likewise, this change has no impact on the value of the STAR benefit received by homeowners.

| SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS |                    |                    |                |                      |              |                      |              |                      |             |
|--|--------------------|--------------------|----------------|----------------------|--------------|----------------------|--------------|----------------------|-------------|
| (millions of dollars)  |                    |                    |                |                      |              |                      |              |                      |             |
|  | FY 2018<br>Results | FY 2019<br>Updated | Change         | FY 2020<br>Projected | Change       | FY 2021<br>Projected | Change       | FY 2022<br>Projected | Change      |
| <b>TOTAL STATE OPERATING FUNDS</b>                                       | <b>2,589</b>       | <b>2,459</b>       | <b>-5.0%</b>   | <b>2,417</b>         | <b>-1.7%</b> | <b>2,402</b>         | <b>-0.6%</b> | <b>2,402</b>         | <b>0.0%</b> |
| Gross Program Costs  | 3,422              | 3,396              | -0.8%          | 3,472                | 2.2%         | 3,577                | 3.0%         | 3,698                | 3.4%        |
| Program Conversion   | (277)              | 0                  | 100.0%         | 0                    | 0.0%         | 0                    | 0.0%         | 0                    | 0.0%        |
| Personal Income Tax Credit   | (498)              | (937)              | -88.2%         | (1,055)              | -12.6%       | (1,175)              | -11.4%       | (1,296)              | -10.3%      |
| FY 2017 Overpayment <sup>1</sup>   | (58)               | 0                  | 100.0%         | 0                    | 0.0%         | 0                    | 0.0%         | 0                    | 0.0%        |
| <b>Basic Exemption</b>   | <b>1,623</b>       | <b>1,592</b>       | <b>-1.9%</b>   | <b>1,565</b>         | <b>-1.7%</b> | <b>1,556</b>         | <b>-0.6%</b> | <b>1,556</b>         | <b>0.0%</b> |
| Gross Program Costs  | 1,796              | 1,852              | 3.1%           | 1,912                | 3.2%         | 1,989                | 4.0%         | 2,076                | 4.4%        |
| Personal Income Tax Credit   | (173)              | (260)              | -50.3%         | (347)                | -33.5%       | (433)                | -24.8%       | (520)                | -20.1%      |
| <b>Enhanced (Senior) Exemption</b>                                       | <b>908</b>         | <b>867</b>         | <b>-4.5%</b>   | <b>852</b>           | <b>-1.7%</b> | <b>846</b>           | <b>-0.7%</b> | <b>846</b>           | <b>0.0%</b> |
| Gross Program Costs  | 943                | 918                | -2.7%          | 920                  | 0.2%         | 932                  | 1.3%         | 949                  | 1.8%        |
| Personal Income Tax Credit   | (35)               | (51)               | -45.7%         | (68)                 | -33.3%       | (86)                 | -26.5%       | (103)                | -19.8%      |
| <b>New York City PIT</b>   | <b>58</b>          | <b>0</b>           | <b>-100.0%</b> | <b>0</b>             | <b>0.0%</b>  | <b>0</b>             | <b>0.0%</b>  | <b>0</b>             | <b>0.0%</b> |
| Gross Program Costs  | 683                | 626                | -8.3%          | 640                  | 2.2%         | 656                  | 2.5%         | 673                  | 2.6%        |
| Program Conversion   | (277)              | 0                  | 100.0%         | 0                    | 0.0%         | 0                    | 0.0%         | 0                    | 0.0%        |
| Personal Income Tax Credit   | (290)              | (626)              | -115.9%        | (640)                | -2.2%        | (656)                | -2.5%        | (673)                | -2.6%       |
| FY 2017 Overpayment <sup>1</sup>   | (58)               | 0                  | 100.0%         | 0                    | 0.0%         | 0                    | 0.0%         | 0                    | 0.0%        |

<sup>1</sup> Conversion of the NYC Rate Reduction Benefit to Personal Income Credit pertains to 2017 tax year. As such, it was retroactively made effective to January 1, 2017.

\* The gross program costs and PIT credit values reported in the Enacted Budget Financial Plan table were reported on a tax year basis. The values in the table above have been changed to a State fiscal year basis, and reflect updated PIT credit information. These changes do not impact the projected net cost of the STAR Program.

Much of the spending decline projected for FY 2019 is attributable to the timing of the New York City rate reduction benefit payout, upon conversion to a PIT credit. STAR actions that were enacted with the FY 2017 Enacted Budget will continue to reduce revenues in addition to the spending changes noted above. Projected revenue reductions will increase over the course of the Financial Plan as STAR actions are implemented, particularly those revenue reductions driven by the conversion of the New York City PIT rate reduction benefit.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

| HIGHER EDUCATION<br>(millions of dollars) |                    |                    |              |                      |             |                      |             |                      |             |
|---|--------------------|--------------------|--------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
|   | FY 2018<br>Results | FY 2019<br>Updated | Change       | FY 2020<br>Projected | Change      | FY 2021<br>Projected | Change      | FY 2022<br>Projected | Change      |
| <b>TOTAL STATE OPERATING FUNDS</b>        | <b>2,833</b>       | <b>3,057</b>       | <b>7.9%</b>  | <b>3,175</b>         | <b>3.9%</b> | <b>3,233</b>         | <b>1.8%</b> | <b>3,275</b>         | <b>1.3%</b> |
| <b>City University</b>                    | <b>1,465</b>       | <b>1,494</b>       | <b>2.0%</b>  | <b>1,533</b>         | <b>2.6%</b> | <b>1,573</b>         | <b>2.6%</b> | <b>1,608</b>         | <b>2.2%</b> |
| Senior Colleges                           | 1,211              | 1,234              | 1.9%         | 1,277                | 3.5%        | 1,317                | 3.1%        | 1,352                | 2.7%        |
| Community College                         | 254                | 260                | 2.4%         | 256                  | -1.5%       | 256                  | 0.0%        | 256                  | 0.0%        |
| <b>Higher Education Services</b>          | <b>879</b>         | <b>1,081</b>       | <b>23.0%</b> | <b>1,157</b>         | <b>7.0%</b> | <b>1,175</b>         | <b>1.6%</b> | <b>1,182</b>         | <b>0.6%</b> |
| Tuition Assistance Program                | 813                | 899                | 10.6%        | 939                  | 4.4%        | 949                  | 1.1%        | 956                  | 0.7%        |
| Scholarships/Awards                       | 55                 | 170                | 209.1%       | 206                  | 21.2%       | 214                  | 3.9%        | 214                  | 0.0%        |
| Aid for Part-Time Study                   | 11                 | 12                 | 9.1%         | 12                   | 0.0%        | 12                   | 0.0%        | 12                   | 0.0%        |
| <b>State University</b>                   | <b>489</b>         | <b>482</b>         | <b>-1.4%</b> | <b>485</b>           | <b>0.6%</b> | <b>485</b>           | <b>0.0%</b> | <b>485</b>           | <b>0.0%</b> |
| Community College                         | 484                | 477                | -1.4%        | 481                  | 0.8%        | 481                  | 0.0%        | 481                  | 0.0%        |
| Other/Cornell                             | 5                  | 5                  | 0.0%         | 4                    | -20.0%      | 4                    | 0.0%        | 4                    | 0.0%        |

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 404,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 320,000 students. State funds support a significant portion of SUNY and CUNY operations. In addition to the spending reflected in the above table, the State also provides more than \$1.0 billion annually for SUNY campus operations through a General Fund transfer and fully supports the fringe benefits costs of SUNY employees at state-operated campuses, which total nearly \$2.0 billion. The State also pays debt service for bond-financed capital projects of the university systems. State debt service payments for capital projects at SUNY and CUNY are estimated at \$1.2 billion in FY 2019, an increase of \$24 million from FY 2018 levels.

HESC is New York State's student financial aid agency and oversees numerous State-funded financial aid programs, including the Excelsior Scholarship, TAP, and 24 other scholarship and loan forgiveness programs. Together, these programs provide financial aid to approximately 400,000 students.

Higher education spending is projected to increase by \$224 million, or 7.9 percent, from FY 2018 to FY 2019. This change in spending largely reflects the launch of the second phase of the Excelsior Free Tuition Program, increased funding for scholarships, fringe benefit increases at CUNY, and the timing of certain payments during academic year 2018. Along with other sources of tuition assistance, the Excelsior Scholarship will allow approximately 53 percent of full-time SUNY and CUNY in-state students to attend college tuition-free when it is fully phased in.

## Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment that allows the State to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, with the goal of achieving a 25 percent reduction in avoidable hospital use over a five year period. The Financial Plan reflects the impact of the DSRIP program by showing additional Federal funds disbursements of nearly \$8 billion through FY 2021. The remaining funds are expected to be disbursed after FY 2021. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

## Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funded Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The Financial Plan reflects the continuation of the “Global Cap” through FY 2022, and the projections assume that statutory authority will be extended in subsequent years. Allowable Growth under the cap for medical services is 3.2 percent in FY 2019 and estimated at 3.1 percent for each subsequent year through FY 2022.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



| MEDICAID GLOBAL CAP FORECAST<br>(millions of dollars) |               |               |               |               |               |
|---|---------------|---------------|---------------|---------------|---------------|
|   | FY 2018       | FY 2019       | FY 2020       | FY 2021       | FY 2022       |
| <b>Global Medicaid Cap<sup>1</sup></b>                | <b>18,270</b> | <b>18,863</b> | <b>19,446</b> | <b>20,048</b> | <b>20,667</b> |
| Annual % Change                                       |               | 3.2%          | 3.1%          | 3.1%          | 3.1%          |

<sup>1</sup> Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration costs, increased FFP pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, education aid and corrections.





# State Financial Plan Projections Fiscal Years 2019 Through 2022

| <b>TOTAL STATE-SHARE MEDICAID DISBURSEMENTS<sup>1</sup></b><br>(millions of dollars) |                |                |                  |                  |                  |
|--|----------------|----------------|------------------|------------------|------------------|
|  | <b>FY 2018</b> | <b>FY 2019</b> | <b>FY 2020</b>   | <b>FY 2021</b>   | <b>FY 2022</b>   |
|  | <b>Results</b> | <b>Updated</b> | <b>Projected</b> | <b>Projected</b> | <b>Projected</b> |
| Department of Health Medicaid  | 19,441         | 20,294         | 21,644           | 22,639           | 23,501           |
| Local Assistance   | 19,143         | 20,396         | 21,655           | 22,700           | 23,560           |
| State Operations   | 298            | 333            | 316              | 310              | 312              |
| MSA Payments (Share of Local Growth) <sup>2</sup>                                    | 0              | (435)          | (327)            | (371)            | (371)            |
| Other State Agency Medicaid Spending   | 4,409          | 3,037          | 3,316            | 3,806            | 3,759            |
| Mental Hygiene <sup>3</sup>  | 4,271          | 2,851          | 3,145            | 3,632            | 3,583            |
| Foster Care  | 77             | 85             | 91               | 94               | 98               |
| Education  | 61             | 99             | 78               | 78               | 78               |
| Corrections  | 0              | 2              | 2                | 2                | 0                |
| <b>Total State Share Medicaid (All Agencies)</b>                                     | <b>23,850</b>  | <b>23,331</b>  | <b>24,960</b>    | <b>26,445</b>    | <b>27,260</b>    |
| Annual \$ Change   |                | (519)          | 1,629            | 1,485            | 815              |
| Annual % Change  |                | -2.2%          | 7.0%             | 5.9%             | 3.1%             |
| <b>Essential Plan<sup>4</sup></b>  | <b>88</b>      | <b>87</b>      | <b>84</b>        | <b>79</b>        | <b>76</b>        |
| Local Assistance   | 0              | 0              | 0                | 0                | 0                |
| State Operations   | 88             | 87             | 84               | 79               | 76               |

<sup>1</sup> DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and minimum wage increases.

<sup>2</sup> MSA payments will be deposited directly to the MMIS Escrow Fund to cover total State share support for Medicaid.

<sup>3</sup> The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies' fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

<sup>4</sup> The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on the financing sources for State Medicaid spending (More information on HCRA can be found in the section entitled "HCRA Financial Plan").

# State Financial Plan Projections Fiscal Years 2019 Through 2022



| DEPARTMENT OF HEALTH MEDICAID <sup>1</sup><br>(millions of dollars) |                    |                    |              |                      |               |                      |              |                      |             |
|---|--------------------|--------------------|--------------|----------------------|---------------|----------------------|--------------|----------------------|-------------|
|   | FY 2018<br>Results | FY 2019<br>Updated | Change       | FY 2020<br>Projected | Change        | FY 2021<br>Projected | Change       | FY 2022<br>Projected | Change      |
| <b>STATE OPERATING FUNDS</b>  | <b>23,938</b>      | <b>23,418</b>      | <b>-2.2%</b> | <b>25,044</b>        | <b>6.9%</b>   | <b>26,524</b>        | <b>5.9%</b>  | <b>27,336</b>        | <b>3.1%</b> |
| <b>Department of Health Medicaid</b>                                | <b>19,529</b>      | <b>20,381</b>      | <b>4.4%</b>  | <b>21,728</b>        | <b>6.6%</b>   | <b>22,718</b>        | <b>4.6%</b>  | <b>23,577</b>        | <b>3.8%</b> |
| General Fund - DOH Medicaid Local                                   | 13,397             | 14,313             | 6.8%         | 15,734               | 9.9%          | 16,780               | 6.6%         | 17,659               | 5.2%        |
| DOH Medicaid  | 11,138             | 11,475             | 3.0%         | 12,283               | 7.0%          | 13,222               | 7.6%         | 13,560               | 2.6%        |
| Mental Hygiene - Global Cap Adjustment <sup>2</sup>                 | 1,269              | 1,653              | 30.3%        | 1,547                | -6.4%         | 1,439                | -7.0%        | 1,718                | 19.4%       |
| Minimum Wage  | 255                | 703                | 175.7%       | 1,131                | 60.9%         | 1,207                | 6.7%         | 1,287                | 6.6%        |
| Local Growth Takeover (Zero Growth Phase-in) <sup>3</sup>           | 735                | 917                | 24.8%        | 1,100                | 20.0%         | 1,283                | 16.6%        | 1,465                | 14.2%       |
| MSA Payments (Share of Local Growth) <sup>4</sup>                   | 0                  | (435)              | 0.0%         | (327)                | 24.8%         | (371)                | -13.5%       | (371)                | 0.0%        |
| General Fund - DOH Medicaid State Ops                               | 298                | 333                | 11.7%        | 316                  | -5.1%         | 310                  | -1.9%        | 312                  | 0.6%        |
| General Fund - Essential Plan                                       | 88                 | 87                 | -1.1%        | 84                   | -3.4%         | 79                   | -6.0%        | 76                   | -3.8%       |
| Local Assistance  | 0                  | 0                  | 0.0%         | 0                    | 0.0%          | 0                    | 0.0%         | 0                    | 0.0%        |
| State Operations  | 88                 | 87                 | -1.1%        | 84                   | -3.4%         | 79                   | -6.0%        | 76                   | -3.8%       |
| Other State Funds - DOH Medicaid Local                              | 5,746              | 5,648              | -1.7%        | 5,594                | -1.0%         | 5,549                | -0.8%        | 5,530                | -0.3%       |
| HCRA Financing  | 3,966              | 3,871              | -2.4%        | 3,857                | -0.4%         | 3,782                | -1.9%        | 3,732                | -1.3%       |
| Indigent Care Support   | 922                | 891                | -3.4%        | 892                  | 0.1%          | 892                  | 0.0%         | 892                  | 0.0%        |
| Provider Assessment Revenue   | 858                | 886                | 3.3%         | 845                  | -4.6%         | 875                  | 3.6%         | 906                  | 3.5%        |
| Other State Agency Medicaid Spending <sup>5</sup>                   | 4,409              | 3,037              | -31.1%       | 3,316                | 9.2%          | 3,806                | 14.8%        | 3,759                | -1.2%       |
| <b>USE OF MSA PAYMENTS (Share of Local Growth)<sup>4</sup></b>      | <b>0</b>           | <b>435</b>         | <b>0.0%</b>  | <b>327</b>           | <b>-24.8%</b> | <b>371</b>           | <b>13.5%</b> | <b>371</b>           | <b>0.0%</b> |
| <b>LOCAL SHARE OF MEDICAID<sup>6,7</sup></b>                        | <b>7,949</b>       | <b>8,516</b>       | <b>7.1%</b>  | <b>7,328</b>         | <b>-14.0%</b> | <b>7,036</b>         | <b>-4.0%</b> | <b>7,204</b>         | <b>2.4%</b> |
| <b>FEDERAL SHARE OF MEDICAID</b>                                    | <b>41,434</b>      | <b>44,991</b>      | <b>8.6%</b>  | <b>47,146</b>        | <b>4.8%</b>   | <b>47,846</b>        | <b>1.5%</b>  | <b>49,429</b>        | <b>3.3%</b> |
| DOH Medicaid  | 37,559             | 40,300             | 7.3%         | 42,183               | 4.7%          | 42,834               | 1.5%         | 44,404               | 3.7%        |
| Essential Plan  | 3,875              | 4,691              | 21.1%        | 4,963                | 5.8%          | 5,012                | 1.0%         | 5,025                | 0.3%        |
| <b>ALL FUNDING SOURCES</b>  | <b>73,321</b>      | <b>77,360</b>      | <b>5.5%</b>  | <b>79,845</b>        | <b>3.2%</b>   | <b>81,777</b>        | <b>2.4%</b>  | <b>84,340</b>        | <b>3.1%</b> |

<sup>1</sup> The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.  
<sup>2</sup> The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.  
<sup>3</sup> As of County Year (CY) 2015 the full share of local Medicaid services growth has been financed with State resources.  
<sup>4</sup> MSA payments will be deposited directly to the MMIS Escrow Fund to cover a portion of the State's share of local Medicaid growth.  
<sup>5</sup> The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies' fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.  
<sup>6</sup> The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals.  
<sup>7</sup> Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

The Financial Plan includes \$425 million in annual savings from funding certain OPWDD-related Medicaid expenses under the Medicaid Global Cap. To achieve savings within the Global Cap necessary to support these additional costs, DOH will continue to implement various MRT actions to improve efficiency and effective delivery of the statewide Medicaid program. These reforms represent modifications to the Medicaid long-term care program to ensure access to long-term care services and support for a growing aging population; incentives supporting the transition to value-based payment arrangements; additional program integrity efficiencies; and enhancement of certain Medicaid services and practices, including covered telehealth and claims editing development.

MSA payments will be used to fund a portion of the non-Federal share of annual Medicaid growth, formerly borne by local governments, which the State now pays on behalf of local governments. The use of MSA payments will not affect total funding for the Medicaid program. The Financial Plan assumes that the MSA payments will provide financial plan relief through lower annual General Fund Medicaid disbursements. The table below displays the adjusted funding shares.

| <b>FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS</b> |                      |                      |                      |                      |                      |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|
| (millions of dollars)                                   |                      |                      |                      |                      |                      |
|   | <u>FY 2018</u>       | <u>FY 2019</u>       | <u>FY 2020</u>       | <u>FY 2021</u>       | <u>FY 2022</u>       |
|   | <u>Results</u>       | <u>Updated</u>       | <u>Projected</u>     | <u>Projected</u>     | <u>Projected</u>     |
| <b>State Share Support</b>                              | <b><u>23,938</u></b> | <b><u>23,853</u></b> | <b><u>25,371</u></b> | <b><u>26,895</u></b> | <b><u>27,707</u></b> |
| State Funds Medicaid Disbursements <sup>1,2</sup>       | 23,938               | 23,418               | 25,044               | 26,524               | 27,336               |
| MSA Payments (Local Growth)                             | 0                    | 435                  | 327                  | 371                  | 371                  |

<sup>1</sup> The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.

<sup>2</sup> The FY 2019 Enacted Budget reclassifies all spending from two State special revenue accounts, the Mental Hygiene Program Fund and the Patient Income Account, to the General Fund in order to conform cash basis reporting with GAAP accounting. Effective FY 2019, approximately \$1.4 billion in spending associated with Mental Hygiene agencies' fringe benefits will now be centrally accounted for in the General Fund General State Charges Budget. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

The Financial Plan includes additional General Fund support for costs associated with the regionally-based, multi-year increase in the statewide minimum wage, including the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in New York City, and Westchester, Nassau, and Suffolk counties will be increased commensurate with the schedule of statutory minimum wage increases.<sup>21</sup> The impact of these minimum wage initiatives is projected to increase annual Medicaid spending above statutory Global Cap limits by \$703 million in FY 2019; \$1.1 billion in FY 2020; \$1.2 billion in FY 2021; and \$1.3 billion in FY 2022.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients is expected to reach about 6.3 million by the end of FY 2019, a slight increase from FY 2018. This moderate increase is in part driven by an increase in elderly enrollees in the Medicaid program.

The ability to offset rising costs within the Medicaid Global Cap exists through the Medicaid integrity and efficiency initiative, which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide savings. Savings associated with the Medicaid program are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap, as noted above.

<sup>21</sup> Home health care workers in New York City and certain counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York City; \$3.22 for Westchester, Nassau, and Suffolk counties), resulting in total compensation which otherwise would have exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Health Care Transformation Fund (HCTF)

In September 2017, Fidelis Care (a nonprofit insurer associated with the Catholic Diocese of New York) agreed to sell substantially all its assets to Centene Corporation (under Sections 510 and 511-a of the Not-for-Profit Corporation Law “N-PCL”), a for-profit health insurer based in St. Louis, Missouri, in order to enter New York’s health insurance marketplace. Consistent with previous transactions of similar nature in New York, the transaction was subject to regulatory approval by the Department of Health, DFS and the Office of the Attorney General. The transaction included the health care companies’ agreement to contribute an estimated \$2 billion in both direct payments and taxes over the next five years.

The funds are expected to be used over the next five years to offset State costs for health care transformation activities, including enhancing access to affordable quality healthcare and healthcare related services for the poor, disabled, disadvantaged, elderly and/or underserved people of the State, and/or to assist populations with any unmet healthcare related needs including, but not limited to, those associated with the social determinants of health.

Following the completion of all regulatory approvals, the initial \$1 billion direct payment from Fidelis Care was deposited into the HCTF<sup>22</sup> in July 2018. Future conversion proceeds expected to be deposited to the HCTF include \$468 million in FY 2020, followed by a \$118 million in both FY 2021 and FY 2022, and \$68 million in FY 2023. The direct payments to the HCTF do not include the impact of increased insurance tax receipts from Centene or higher Medicaid provider rates paid to Centene, which are reflected in the General Fund.

| HEALTH CARE TRANSFORMATION FUND<br>PURSUANT TO PART FFF OF CHAPTER 59 OF THE LAWS OF 2018<br>(millions of dollars) |              |              |              |              |
|--|--------------|--------------|--------------|--------------|
|  | FY 2019      | FY 2020      | FY 2021      | FY 2022      |
| <b>Opening Balance</b>   | 0            | 513          | 314          | 0            |
| <b>Receipts</b>  | <b>1,068</b> | <b>468</b>   | <b>118</b>   | <b>118</b>   |
| Fidelis Payment  | 1,000        | 400          | 50           | 50           |
| Centene Payment  | 68           | 68           | 68           | 68           |
| <b>Planned Uses</b>  | <b>(555)</b> | <b>(667)</b> | <b>(432)</b> | <b>(118)</b> |
| Housing Rental Subsidies   | (274)        | (274)        | (154)        | (118)        |
| State Only Medicaid Payments   | (136)        | (136)        | 0            | 0            |
| Capital Projects   | (90)         | (90)         | 0            | 0            |
| Transitional Operational Support for Health Care Providers   | (55)         | (167)        | (278)        | 0            |
| <b>Closing Balance</b>   | <b>513</b>   | <b>314</b>   | <b>0</b>     | <b>0</b>     |

DOB expects to transfer HCTF funds to the General Fund to offset State costs for eligible health care transformation activities, including capital investments, debt restructuring activities, housing and other social purposes, and transitional operating support for health care facilities. The actual transfers will be reported in future Financial Plan Updates, as appropriate.

<sup>22</sup> The HCTF was created pursuant to Part FFF of Chapter 59 of the Laws of 2018 to account for receipts such as those associated with the Fidelis- Centene sale. Moneys in HCTF shall be available for transfer to any other fund of the State as authorized and directed by the Director of the Budget to support health care delivery.

## Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments.

| ESSENTIAL PLAN<br>(millions of dollars) |                    |                    |              |                      |              |                      |              |                      |              |
|---|--------------------|--------------------|--------------|----------------------|--------------|----------------------|--------------|----------------------|--------------|
|   | FY 2018<br>Results | FY 2019<br>Updated | Change       | FY 2020<br>Projected | Change       | FY 2021<br>Projected | Change       | FY 2022<br>Projected | Change       |
| <b>TOTAL ALL FUNDS SPENDING</b>         | <b>3,963</b>       | <b>4,778</b>       | <b>20.6%</b> | <b>5,047</b>         | <b>5.6%</b>  | <b>5,091</b>         | <b>0.9%</b>  | <b>5,101</b>         | <b>0.2%</b>  |
| <b>State Operating Funds</b>            | <b>88</b>          | <b>87</b>          | <b>-1.1%</b> | <b>84</b>            | <b>-3.4%</b> | <b>79</b>            | <b>-6.0%</b> | <b>76</b>            | <b>-3.8%</b> |
| Local Assistance                        | 0                  | 0                  | 0.0%         | 0                    | 0.0%         | 0                    | 0.0%         | 0                    | 0.0%         |
| State Operations                        | 88                 | 87                 | -1.1%        | 84                   | -3.4%        | 79                   | -6.0%        | 76                   | -3.8%        |
| <b>Federal Operating Funds</b>          | <b>3,875</b>       | <b>4,691</b>       | <b>21.1%</b> | <b>4,963</b>         | <b>5.8%</b>  | <b>5,012</b>         | <b>1.0%</b>  | <b>5,025</b>         | <b>0.3%</b>  |

The multi-year Financial Plan reflects a mix of factors, including stabilizing enrollment trends and growth in the Federal marketplace premium index for base program expenses. This change in the premium index generates a higher Federal reimbursement rate, eliminating EP program costs for the State and allowing for the local assistance program to be fully Federally financed.

State savings associated with the EP local assistance program are managed within the total available resources of the Medicaid Global Cap. This includes a portion of the spending associated with increasing EP enrollment, reflecting the transition of certain individuals from the Medicaid program to the EP program based on changes in income levels.

In FY 2018, the Trump Administration withheld CSR payments, putting low-cost health insurance coverage for income-eligible recipients at risk. However, recent actions by the Trump Administration, in response to litigation brought by the State, will allow the State to recoup some of the withheld EP funding through changes to the reimbursement methodology. The Financial Plan continues to reflect support for the EP program.

It is not possible at this time to assess the potential fiscal impact of long-term policies that may be adopted. The FY 2019 Enacted Budget includes authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Public Health/Aging Programs

Public Health includes the CHP program that finances health insurance coverage for children of low-income families, up to the age of 19; the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

| PUBLIC HEALTH AND AGING<br>(millions of dollars) |                    |                    |        |                      |        |                      |        |                      |        |
|--|--------------------|--------------------|--------|----------------------|--------|----------------------|--------|----------------------|--------|
|  | FY 2018<br>Results | FY 2019<br>Updated | Change | FY 2020<br>Projected | Change | FY 2021<br>Projected | Change | FY 2022<br>Projected | Change |
| <b>TOTAL STATE OPERATING FUNDS</b>               | 1,668              | 1,684              | 1.0%   | 1,776                | 5.5%   | 1,959                | 10.3%  | 2,075                | 5.9%   |
| <b>Public Health</b>                             | 1,544              | 1,555              | 0.7%   | 1,644                | 5.7%   | 1,822                | 10.8%  | 1,933                | 6.1%   |
| Child Health Plus                                | 291                | 364                | 25.1%  | 416                  | 14.3%  | 609                  | 46.4%  | 716                  | 17.6%  |
| General Public Health Work                       | 195                | 155                | -20.5% | 204                  | 31.6%  | 208                  | 2.0%   | 212                  | 1.9%   |
| EPIC   | 126                | 128                | 1.6%   | 131                  | 2.3%   | 128                  | -2.3%  | 128                  | 0.0%   |
| Early Intervention                               | 175                | 173                | -1.1%  | 173                  | 0.0%   | 165                  | -4.6%  | 165                  | 0.0%   |
| HCRA Program                                     | 388                | 367                | -5.4%  | 394                  | 7.4%   | 384                  | -2.5%  | 384                  | 0.0%   |
| All Other  | 369                | 368                | -0.3%  | 326                  | -11.4% | 328                  | 0.6%   | 328                  | 0.0%   |
| <b>Aging</b>                                     | 124                | 129                | 4.0%   | 132                  | 2.3%   | 137                  | 3.8%   | 142                  | 3.6%   |

Declining spending for HCRA and other public health programs is partly due to the decision to fund a greater portion of the Roswell Park Cancer Institute (RPCI) with Capital Project Fund dollars.

The FY 2019 Enacted Budget included a one-time recoupment of ineligible GPHW claims paid during program years 2015 and 2016. Effective November 2018, these savings will be offset by the current year rebasing of FY 2019 costs to accelerate reimbursements to counties and NYC. Increased CHP spending over the multi-year financial plan reflects substantiated claims that enrollment continues to increase at a strong pace. A one-time claims correction will increase State costs by \$50 million in FY 2019. The increase in FY 2020 reflects the September 2019 phase down of enhanced Federal support currently provided through the ACA. Growth in FY 2021 reflects the full annual impact of the expiration of enhanced Federal support and increased enrollment.



## State Financial Plan Projections Fiscal Years 2019 Through 2022

The Enacted Budget included SOFA savings realized by eliminating the planned 1.9 percent increase in the Human Services Cost of Living increase, resulting in \$4 million in annual savings for FY 2019 through FY 2022. These savings are offset by the rebasing of annual program costs for the Naturally Occurring Retirement Community (NORC) and Community Services for the Elderly (CSE) for \$6 million effective FY 2020.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and the Doctors Across New York program. HCRA authorization is extended through FY 2020, pursuant to legislation included in the FY 2018 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

| HCRA FINANCIAL PLAN FY 2018 THROUGH FY 2022 |              |              |              |              |              |
|---|--------------|--------------|--------------|--------------|--------------|
| (millions of dollars)                       |              |              |              |              |              |
|   | FY 2018      | FY 2019      | FY 2020      | FY 2021      | FY 2022      |
|   | Results      | Updated      | Projected    | Projected    | Projected    |
| <b>OPENING BALANCE</b>                      | 12           | 15           | 0            | 0            | 0            |
| <b>TOTAL RECEIPTS</b>                       | <b>5,873</b> | <b>5,872</b> | <b>5,958</b> | <b>5,995</b> | <b>6,037</b> |
| Surcharges                                  | 3,407        | 3,470        | 3,597        | 3,735        | 3,810        |
| Covered Lives Assessment                    | 1,103        | 1,110        | 1,110        | 1,045        | 1,045        |
| Cigarette Tax Revenue                       | 829          | 791          | 752          | 716          | 683          |
| Hospital Assessments                        | 437          | 424          | 424          | 424          | 424          |
| NYC Cigarette Tax Transfer/Other            | 97           | 77           | 75           | 75           | 75           |
| <b>TOTAL DISBURSEMENTS AND TRANSFERS</b>    | <b>5,870</b> | <b>5,887</b> | <b>5,958</b> | <b>5,995</b> | <b>6,037</b> |
| Medicaid Assistance Account <sup>1</sup>    | <u>3,966</u> | <u>3,871</u> | <u>3,857</u> | <u>3,782</u> | <u>3,732</u> |
| Medicaid Costs                              | 3,769        | 3,674        | 3,660        | 3,585        | 3,535        |
| Workforce Recruitment & Retention           | 197          | 197          | 197          | 197          | 197          |
| Hospital Indigent Care                      | 922          | 892          | 892          | 892          | 892          |
| HCRA Program Account                        | 394          | 375          | 402          | 392          | 392          |
| Child Health Plus                           | 295          | 375          | 429          | 625          | 734          |
| Elderly Pharmaceutical Insurance Coverage   | 137          | 140          | 142          | 140          | 139          |
| SHIN-NY/APCD                                | 17           | 40           | 40           | 0            | 0            |
| All Other                                   | 139          | 194          | 196          | 164          | 148          |
| <b>ANNUAL OPERATING SURPLUS/(DEFICIT)</b>   | <b>3</b>     | <b>(15)</b>  | <b>0</b>     | <b>0</b>     | <b>0</b>     |
| <b>CLOSING BALANCE</b>                      | <b>15</b>    | <b>0</b>     | <b>0</b>     | <b>0</b>     | <b>0</b>     |

<sup>1</sup> NYSOH spending will be financed with available HCRA resources through the Medicaid program.





## State Financial Plan Projections Fiscal Years 2019 Through 2022

Total HCRA receipts are forecasted to remain stable in total over the multi-year plan. On a multi-year basis, projected increases in surcharges are partly offset by declines in estimated covered lives assessments and cigarette tax collections, attributable to lower consumption.

Total HCRA disbursements are commensurate with the multi-year revenue forecast. The Financial Plan reflects increased FY 2019 HCRA funding for the Diversity in Medicine program, Rural Health Access initiative, and the Rural Health Network Development programs. The Diversity in Medicine program works to help address the gap in physician diversity through outreach and scholarships. The Rural Health Access and Rural Health Development programs provide support and grants to rural healthcare systems to promote more effective delivery through planning, coordination, development, implementation and operation of local networks.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any such spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Mental Hygiene

The Department of Mental Hygiene is comprised of the Office for People with Developmental Disabilities (OPWDD), Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

| <b>MENTAL HYGIENE</b><br>(millions of dollars) |                |                |               |                |              |                |              |                |               |
|--|----------------|----------------|---------------|----------------|--------------|----------------|--------------|----------------|---------------|
|  | FY 2018        | FY 2019        |               | FY 2020        |              | FY 2021        |              | FY 2022        |               |
|  | Results        | Updated        | Change        | Projected      | Change       | Projected      | Change       | Projected      | Change        |
| <b>TOTAL STATE OPERATING FUNDS</b>             | <b>2,350</b>   | <b>2,181</b>   | <b>-7.2%</b>  | <b>2,609</b>   | <b>19.6%</b> | <b>3,127</b>   | <b>19.9%</b> | <b>3,117</b>   | <b>-0.3%</b>  |
| <b>People with Developmental Disabilities</b>  | <b>2,115</b>   | <b>2,183</b>   | <b>3.2%</b>   | <b>2,366</b>   | <b>8.4%</b>  | <b>2,668</b>   | <b>12.8%</b> | <b>2,855</b>   | <b>7.0%</b>   |
| Residential Services                           | 1,299          | 1,367          | 5.2%          | 1,454          | 6.4%         | 1,595          | 9.7%         | 1,708          | 7.1%          |
| Day Programs                                   | 609            | 641            | 5.3%          | 682            | 6.4%         | 748            | 9.7%         | 801            | 7.1%          |
| Clinic   | 19             | 20             | 5.3%          | 21             | 5.0%         | 23             | 9.5%         | 25             | 8.7%          |
| All Other Services (Net)                       | 188            | 155            | -17.6%        | 209            | 34.8%        | 302            | 44.5%        | 321            | 6.3%          |
| <b>Mental Health</b>                           | <b>1,181</b>   | <b>1,296</b>   | <b>9.7%</b>   | <b>1,408</b>   | <b>8.6%</b>  | <b>1,499</b>   | <b>6.5%</b>  | <b>1,566</b>   | <b>4.5%</b>   |
| Adult Local Services                           | 939            | 1,022          | 8.8%          | 1,116          | 9.2%         | 1,191          | 6.7%         | 1,246          | 4.6%          |
| Children Local Services                        | 242            | 274            | 13.2%         | 292            | 6.6%         | 308            | 5.5%         | 320            | 3.9%          |
| <b>Alcohol and Substance Abuse</b>             | <b>322</b>     | <b>354</b>     | <b>9.9%</b>   | <b>381</b>     | <b>7.6%</b>  | <b>398</b>     | <b>4.5%</b>  | <b>413</b>     | <b>3.8%</b>   |
| Outpatient/Methadone                           | 117            | 129            | 10.3%         | 136            | 5.4%         | 141            | 3.7%         | 145            | 2.8%          |
| Residential                                    | 128            | 145            | 13.3%         | 156            | 7.6%         | 169            | 8.3%         | 177            | 4.7%          |
| Prevention and Program Support                 | 68             | 72             | 5.9%          | 82             | 13.9%        | 82             | 0.0%         | 85             | 3.7%          |
| Crisis   | 9              | 8              | -11.1%        | 7              | -12.5%       | 6              | -14.3%       | 6              | 0.0%          |
| <b>Justice Center</b>                          | <b>1</b>       | <b>1</b>       | <b>0.0%</b>   | <b>1</b>       | <b>0.0%</b>  | <b>1</b>       | <b>0.0%</b>  | <b>1</b>       | <b>0.0%</b>   |
| <b>SUBTOTAL BEFORE ADJUSTMENTS</b>             | <b>3,619</b>   | <b>3,834</b>   | <b>5.9%</b>   | <b>4,156</b>   | <b>8.4%</b>  | <b>4,566</b>   | <b>9.9%</b>  | <b>4,835</b>   | <b>5.9%</b>   |
| <b>Global Cap Adjustment</b>                   | <b>(1,269)</b> | <b>(1,653)</b> | <b>-30.3%</b> | <b>(1,547)</b> | <b>6.4%</b>  | <b>(1,439)</b> | <b>7.0%</b>  | <b>(1,718)</b> | <b>-19.4%</b> |



# State Financial Plan Projections Fiscal Years 2019 Through 2022

Local assistance spending accounts for approximately 44 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 7.5 percent in the outyears. The main factors driving this level of growth are: enhancements in community mental health services; enhancements in community-based employment and residential opportunities for individuals with disabilities; and new or increased funding for not-for-profit providers for growth in employee wages related to minimum wage increases.

The Financial Plan includes an approximately \$215 million or 5.9 percent increase in local assistance funding for the mental hygiene agencies. This increase is largely related to \$132 million used to support a 6.5 percent increase for direct care professionals and a 3.25 percent increase for clinical staff employed by not-for-profit organizations delivering services on behalf of OPWDD, OMH and OASAS. Additionally, \$44 million will be used to support the minimum wage and related fringe benefit increases associated with the movement to a \$15 an hour living wage. Other increases include community investments, new service investments in the OPWDD system, and funding in OASAS to combat the heroin and opioid crisis.

In addition to investments in salaries for the not-for-profit workforce, there is a \$60 million annualized State-share investment in new OPWDD program services. Partly offsetting the cost of these investments are savings associated with ongoing service delivery transformation and efforts to ensure the efficient use of State resources.

The Financial Plan reflects the continued expansion of community-based services and provides \$10 million in enhanced support for existing OMH housing programs. The Financial Plan also reflects continued support for OASAS program expansion begun in FY 2018 to address the opioid crisis. These include increased Residential Treatment capacity, expansion of outpatient Opioid Treatment Programs, Family Support Navigators, Certified Peer Recovery Advocates, Jail based substance abuse disorder programs, and other evidence-based programs.

The additional funding increase is offset by technical adjustments to the Medicaid Global Cap, as a greater share of OPWDD-related spending will be financed from Global Cap resources. These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Social Services

### Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled persons.

| TEMPORARY AND DISABILITY ASSISTANCE<br>(millions of dollars) |                    |                    |             |                      |             |                      |             |                      |             |
|--|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
|  | FY 2018<br>Results | FY 2019<br>Updated | Change      | FY 2020<br>Projected | Change      | FY 2021<br>Projected | Change      | FY 2022<br>Projected | Change      |
| <b>TOTAL STATE OPERATING FUNDS</b>                           | <b>1,229</b>       | <b>1,280</b>       | <b>4.1%</b> | <b>1,357</b>         | <b>6.0%</b> | <b>1,360</b>         | <b>0.2%</b> | <b>1,364</b>         | <b>0.3%</b> |
| SSI  | 649                | 661                | 1.8%        | 663                  | 0.3%        | 667                  | 0.6%        | 667                  | 0.0%        |
| Public Assistance Benefits                                   | 475                | 506                | 6.5%        | 545                  | 7.7%        | 541                  | -0.7%       | 541                  | 0.0%        |
| Public Assistance Initiatives                                | 13                 | 11                 | -15.4%      | 33                   | 200.0%      | 33                   | 0.0%        | 33                   | 0.0%        |
| All Other  | 92                 | 102                | 10.9%       | 116                  | 13.7%       | 119                  | 2.6%        | 123                  | 3.4%        |

DOB’s caseload models project a total of 543,894 public assistance recipients in FY 2019. Approximately 214,749 families are expected to receive benefits through the Family Assistance program in FY 2019, a decrease of 1.4 percent from FY 2018. The Safety Net caseload for families is projected at 119,575 in FY 2019, a decrease of 1.1 percent from FY 2018. The caseload for single adults/childless couples supported through the Safety Net program is projected at 209,570 in FY 2019, an increase of 1.3 percent from FY 2018.

SSI spending is projected to increase gradually over the course of the multi-year Financial Plan as caseload is expected to grow. Public assistance benefits will increase in FY 2019 and FY 2020 due to a variety of factors including the expansion of NYC HIV/AIDS Services Administration (HASA) benefits to public assistance recipients living in NYC and increased costs associated with litigation proceedings that will increase Safety Net Assistance expenditures. Other spending growth includes increased spending on homeless services and prevention, the Response to Human Trafficking program, and a new program intended to prevent unaccompanied refugee children from joining the MS-13 gang on Long Island.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

| CHILDREN AND FAMILY SERVICES<br>(millions of dollars) |              |              |             |              |              |              |             |              |             |
|---|--------------|--------------|-------------|--------------|--------------|--------------|-------------|--------------|-------------|
|   | FY 2018      | FY 2019      |             | FY 2020      |              | FY 2021      |             | FY 2022      |             |
|   | Results      | Updated      | Change      | Projected    | Change       | Projected    | Change      | Projected    | Change      |
| <b>TOTAL STATE OPERATING FUNDS</b>                    | <b>1,608</b> | <b>1,691</b> | <b>5.2%</b> | <b>1,673</b> | <b>-1.1%</b> | <b>1,691</b> | <b>1.1%</b> | <b>1,726</b> | <b>2.1%</b> |
| Child Welfare Service                                 | 509          | 506          | -0.6%       | 491          | -3.0%        | 501          | 2.0%        | 501          | 0.0%        |
| Foster Care Block Grant                               | 384          | 384          | 0.0%        | 389          | 1.3%         | 393          | 1.0%        | 403          | 2.5%        |
| Adoption  | 148          | 148          | 0.0%        | 155          | 4.7%         | 157          | 1.3%        | 157          | 0.0%        |
| Child Care  | 203          | 290          | 42.9%       | 270          | -6.9%        | 271          | 0.4%        | 271          | 0.0%        |
| Youth Programs  | 105          | 90           | -14.3%      | 99           | 10.0%        | 92           | -7.1%       | 92           | 0.0%        |
| Medicaid  | 77           | 85           | 10.4%       | 91           | 7.1%         | 95           | 4.4%        | 99           | 4.2%        |
| Adult Protective/Domestic Violence                    | 53           | 45           | -15.1%      | 48           | 6.7%         | 51           | 6.3%        | 54           | 5.9%        |
| Committees on Special Education                       | 37           | 22           | -40.5%      | 24           | 9.1%         | 26           | 8.3%        | 28           | 7.7%        |
| All Other   | 92           | 121          | 31.5%       | 106          | -12.4%       | 105          | -0.9%       | 121          | 15.2%       |

OCFS State Operating Funds spending is projected to increase from FY 2018 to FY 2019 due to several factors, including restoring funding for child care subsidies to FY 2017 levels, costs associated with the regionally-based, multi-year increase in the statewide minimum wage, and legislative spending additions to fund a range of OCFS programs. These increases are partially offset by savings actions allowing the expiration of provisions authorizing State reimbursement to NYC for Close to Home costs, which provide juvenile justice services to NYC youth classified by the court system as juvenile delinquents, and the elimination of the human services COLA in FY 2019.

Increased spending in the outyears is primarily due to implementation of the “Raise the Age” initiative, which will increase the age of criminal responsibility from 16 to 18, and planned increases consistent with anticipated program growth for a number of initiatives. These increases are partly offset by a reduction in Detention spending to date.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Transportation

In FY 2019, the State expects to provide almost \$5.4 billion in operating aid to mass transit systems, including over \$1.4 billion in off-budget aid to the MTA (not included in the table below). This aid is funded mainly from various dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of the mass transit aid - totaling \$4.8 billion in FY 2019.

The MTA receives additional, exclusive operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss.

| TRANSPORTATION<br>(millions of dollars) |              |              |               |              |              |              |             |              |             |
|---|--------------|--------------|---------------|--------------|--------------|--------------|-------------|--------------|-------------|
|   | FY 2018      | FY 2019      |               | FY 2020      |              | FY 2021      |             | FY 2022      |             |
|   | Results      | Updated      | Change        | Projected    | Change       | Projected    | Change      | Projected    | Change      |
| <b>STATE OPERATING FUNDS SUPPORT</b>    | <b>5,025</b> | <b>3,936</b> | <b>-21.7%</b> | <b>3,617</b> | <b>-8.1%</b> | <b>3,664</b> | <b>1.3%</b> | <b>3,795</b> | <b>3.6%</b> |
| Mass Transit Operating Aid:             | <u>2,283</u> | <u>2,324</u> | <u>1.8%</u>   | <u>2,326</u> | <u>0.1%</u>  | <u>2,326</u> | <u>0.0%</u> | <u>2,326</u> | <u>0.0%</u> |
| Metro Mass Transit Aid                  | 2,152        | 2,188        | 1.7%          | 2,190        | 0.1%         | 2,190        | 0.0%        | 2,190        | 0.0%        |
| Public Transit Aid                      | 87           | 92           | 5.7%          | 92           | 0.0%         | 92           | 0.0%        | 92           | 0.0%        |
| 18-b General Fund Aid                   | 19           | 19           | 0.0%          | 19           | 0.0%         | 19           | 0.0%        | 19           | 0.0%        |
| School Fare                             | 25           | 25           | 0.0%          | 25           | 0.0%         | 25           | 0.0%        | 25           | 0.0%        |
| Mobility Tax                            | 1,709        | 378          | -77.9%        | 242          | -36.0%       | 242          | 0.0%        | 242          | 0.0%        |
| MTA Aid Trust                           | 283          | 292          | 3.2%          | 296          | 1.4%         | 292          | -1.4%       | 294          | 0.7%        |
| Dedicated Mass Transit                  | 678          | 683          | 0.7%          | 696          | 1.9%         | 746          | 7.2%        | 876          | 17.4%       |
| AMTAP                                   | 70           | 260          | 271.4%        | 57           | -78.1%       | 57           | 0.0%        | 57           | 0.0%        |
| All Other                               | 2            | (1)          | -150.0%       | 0            | 100.0%       | 1            | 0.0%        | 0            | -100.0%     |

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Financial Plan includes revised spending estimates for transit assistance in each year that reflect the most recent revenue forecast assumptions.

The FY 2019 Enacted Budget includes legislation directing the Mobility Tax collections to be remitted directly to the MTA. This will eliminate the pass through of this tax and ensure more timely receipt by the MTA, which provides a one-time benefit of \$60 million for the MTA. Beginning in FY 2019, the Financial Plan will no longer include new Mobility Tax receipts or associated local assistance payments. Combining on-budget (\$402 million) and off-budget (\$1.4 billion) spending, the MTA will receive over \$1.8 billion of Mobility Tax resources in FY 2019.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, cities, towns, and villages; and efficiency-based incentive grants to local governments.

| LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM<br>(millions of dollars) |            |            |             |            |             |            |             |            |             |
|--|------------|------------|-------------|------------|-------------|------------|-------------|------------|-------------|
|  | FY 2018    | FY 2019    |             | FY 2020    |             | FY 2021    |             | FY 2022    |             |
|  | Results    | Updated    | Change      | Projected  | Change      | Projected  | Change      | Projected  | Change      |
| <b>TOTAL STATE OPERATING FUNDS</b>                                 | <b>722</b> | <b>724</b> | <b>0.3%</b> | <b>731</b> | <b>1.0%</b> | <b>763</b> | <b>4.4%</b> | <b>763</b> | <b>0.0%</b> |
| Big Four Cities  | 429        | 429        | 0.0%        | 429        | 0.0%        | 429        | 0.0%        | 429        | 0.0%        |
| Other Cities   | 218        | 218        | 0.0%        | 218        | 0.0%        | 218        | 0.0%        | 218        | 0.0%        |
| Towns and Villages   | 68         | 68         | 0.0%        | 68         | 0.0%        | 68         | 0.0%        | 68         | 0.0%        |
| Restructuring/Efficiency   | 7          | 9          | 28.6%       | 16         | 77.8%       | 48         | 200.0%      | 48         | 0.0%        |

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to grow modestly from FY 2019 to FY 2022, due to revisions in the timing of spending, including potential awards from the Financial Restructuring Board (FRB) for Local Governments. Based on current outstanding awards and reimbursement amounts from the FRB and lower than expected spending on efficiency grants, the State anticipates that spending on Municipality Restructuring/Efficiency will be \$24 million lower than previously planned for FY 2020. In addition, \$8 million of General Fund spending is shifted to capital funds.

## Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and GSCs. PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions and health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigation. Certain agency operating costs of DOT and DMV (adjusted for the reclassification discussed above) are included in Capital Projects Funds and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 93 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.



| FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS |         |         |                      |                      |                      |
|--|---------|---------|----------------------|----------------------|----------------------|
|  | FY 2018 | FY 2019 | Forecast             |                      |                      |
|  | Results | Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
| Negotiated Base Salary Increases <sup>1</sup>  |         |         |                      |                      |                      |
| UUP  | 2%      | 2%      | 2%                   | 2%                   | 2%                   |
| NYS PBA  | 2%      | 2%      | 2%                   | 2%                   | 2%                   |
| CSEA/DC-37 (Rent Regulation Unit)  | 2%      | 2%      | 2%                   | 2%                   | TBD                  |
| PEF/GSEU/MC  | 2%      | 2%      | TBD                  | TBD                  | TBD                  |
| NYSPIA <sup>2</sup>  | 1.5%    | TBD     | TBD                  | TBD                  | TBD                  |
| Council 82/NYSCOPBA/PBANYS   | TBD     | TBD     | TBD                  | TBD                  | TBD                  |
| State Workforce <sup>3</sup>   | 117,397 | 118,868 | TBD                  | TBD                  | TBD                  |
| ERS Contribution Rate  |         |         |                      |                      |                      |
| Before Amortization <sup>4</sup>   | 16.2%   | 15.7%   | 15.9%                | 17.2%                | 18.6%                |
| After Amortization <sup>5</sup>  | 20.0%   | 19.4%   | 19.6%                | 20.8%                | 21.8%                |
| PFRS Contribution Rate   |         |         |                      |                      |                      |
| Before Amortization <sup>4</sup>   | 25.1%   | 24.1%   | 24.0%                | 25.1%                | 26.2%                |
| After Amortization <sup>5</sup>  | 28.1%   | 26.9%   | 27.4%                | 28.4%                | 29.4%                |
| Employee/Retiree Health Insurance Growth Rates                                       | 7.0%    | 7.9%    | 6.9%                 | 6.6%                 | 6.6%                 |
| PS/Fringe as % of Receipts (All Funds Basis)   | 13.1%   | 13.5%   | 13.5%                | 14.0%                | 13.8%                |

<sup>1</sup> Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.

<sup>2</sup> Contracts contain "reopener" language which allows these unions to reopen negotiations if any other State bargaining unit receives a general salary increase exceeding 1.5 percent in FY 2017 and in FY 2018.

<sup>3</sup> Reflects workforce that is subject to direct Executive control.

<sup>4</sup> Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GUP), and Chapter 41 of 2016 veterans' pension credit legislation.

<sup>5</sup> After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$18.8 billion in FY 2018 to \$20.4 billion in FY 2022. Most Executive agencies are expected to hold spending at FY 2018 levels. In addition to the DOT/DMV operations classification discussed previously, increases in the outyears of the Financial Plan are driven mainly by juvenile justice reform, anticipated labor agreements, growth in SUNY operating costs including costs of the recently settled UUP contract, and an additional administrative payroll in FY 2021.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



| STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS |               |               |               |               |               |
|---|---------------|---------------|---------------|---------------|---------------|
| (millions of dollars)   |               |               |               |               |               |
|   | FY 2018       | FY 2019       | FY 2020       | FY 2021       | FY 2022       |
|   | Results       | Updated       | Projected     | Projected     | Projected     |
| <b>SUBJECT TO DIRECT EXECUTIVE CONTROL</b>                            | <b>10,004</b> | <b>10,232</b> | <b>10,448</b> | <b>10,807</b> | <b>10,840</b> |
| Mental Hygiene  | 2,804         | 2,792         | 2,820         | 2,896         | 2,945         |
| Corrections and Community Supervision                                 | 2,628         | 2,606         | 2,619         | 2,624         | 2,616         |
| State Police  | 742           | 731           | 725           | 751           | 766           |
| Information Technology Services <sup>1</sup>                          | 536           | 545           | 540           | 551           | 557           |
| Medicaid Admin/EP   | 385           | 419           | 400           | 389           | 387           |
| Public Health   | 356           | 393           | 389           | 395           | 394           |
| Tax and Finance   | 321           | 332           | 331           | 341           | 330           |
| Children and Family Services  | 242           | 289           | 376           | 443           | 446           |
| Environmental Conservation  | 213           | 208           | 205           | 216           | 210           |
| Financial Services  | 209           | 209           | 213           | 219           | 213           |
| Parks, Recreation and Historic Preservation                           | 169           | 173           | 171           | 178           | 173           |
| Workers' Compensation Board   | 141           | 143           | 145           | 151           | 146           |
| Temporary and Disability Assistance                                   | 123           | 127           | 133           | 138           | 141           |
| General Services  | 144           | 137           | 133           | 132           | 130           |
| Gaming  | 95            | 81            | 81            | 82            | 81            |
| Potential and Settled Labor Agreements <sup>2</sup>                   | 495           | 626           | 741           | 856           | 856           |
| Agency Financial Management Plan                                      | (500)         | (500)         | (500)         | (500)         | (500)         |
| All Other   | 901           | 921           | 926           | 945           | 949           |
| <b>DOT/DMV Operations Reclassification</b>                            | <b>0</b>      | <b>282</b>    | <b>332</b>    | <b>337</b>    | <b>343</b>    |
| <b>UNIVERSITY SYSTEMS</b>   | <b>6,183</b>  | <b>6,295</b>  | <b>6,359</b>  | <b>6,518</b>  | <b>6,481</b>  |
| State University  | 6,080         | 6,200         | 6,262         | 6,421         | 6,382         |
| City University   | 103           | 95            | 97            | 97            | 99            |
| <b>INDEPENDENT AGENCIES</b>   | <b>330</b>    | <b>333</b>    | <b>336</b>    | <b>350</b>    | <b>338</b>    |
| Law   | 183           | 179           | 182           | 189           | 183           |
| Audit & Control (OSC)   | 147           | 154           | 154           | 161           | 155           |
| <b>TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE</b>                     | <b>16,517</b> | <b>17,142</b> | <b>17,475</b> | <b>18,012</b> | <b>18,002</b> |
| Judiciary   | 2,081         | 2,133         | 2,121         | 2,178         | 2,121         |
| Legislature   | 223           | 230           | 234           | 239           | 244           |
| <b>Statewide Total</b>  | <b>18,821</b> | <b>19,505</b> | <b>19,830</b> | <b>20,429</b> | <b>20,367</b> |
| Personal Service  | 13,170        | 13,716        | 13,990        | 14,547        | 14,393        |
| Non-Personal Service  | 5,651         | 5,789         | 5,840         | 5,882         | 5,974         |

<sup>1</sup> Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.

<sup>2</sup> Includes the estimated potential and settled cost for executive agencies.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

The most significant changes to spending for agency operations include:

- **Mental Hygiene.** As a result of the Mental Hygiene Fund reclassification, spending will decline in FY 2019 due to the elimination of indirect costs previously charged to the Special Revenue Fund. Cost increases in the out years are driven by operating expenses at the institutional facilities and personal service costs for direct care professionals and clinical staff delivering services on behalf the OPWDD, OMH and OASAS.
- **State Police.** The modest decline in spending reflects the reclassification of certain personal service spending, offset by the full year cost of recruit classes and added resources to combat MS-13 gang activities.
- **Medicaid Admin/EP.** Increased spending in FY 2019 is mainly attributable to higher costs associated with NYSOH enrollment trends partially offset by lower than anticipated operating expenses including contract costs.
- **Public Health.** Higher spending in outyears is due to certification and surveillance activities, additional funding to support stem cell research, and higher operating costs for NYSOH.
- **Children and Family Services.** Increases reflect additional funding in OCFS to support raising the age of criminal responsibility from 16 to 18 by October 1, 2019.
- **Gaming.** Decreases reflect a change in the accounting structure related to advertising costs, so that direct payments are made to the vendors from a third party instead of through the Gaming Commission.
- **Potential and Settled Labor Agreements.** Spending reflects negotiated settlement agreements with PEF, which have been extended to unrepresented M/C employees, the five-year settlement with CSEA, DC-37 (Rent Regulation Unit), and parity, with remaining unsettled unions assumed to follow the PEF model.
- **Agency Financial Management Plans.** All Executive agencies have been directed to implement cost-control measures on a recurring basis, starting in FY 2018.
- **DOT/DMV Operations Reclassification.** Reflects the reclassification of certain DOT and DMV operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities from the DHBTF to the General Fund.
- **SUNY.** Higher spending reflects the cost of the UUP salary contract ratified by members in September 2019, partially offset by revisions to agency operations spending based on a review of operating results to date.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



## Workforce

In FY 2019, \$13.7 billion or 13.7 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 97,500 FTE employees under direct Executive control; individuals employed by SUNY and CUNY (46,038) and Independent Agencies (18,176); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in the mental hygiene agencies and Department of Corrections and Community Supervision (DOCCS).

| STATE OPERATING FUNDS  |               |                |
|--|---------------|----------------|
| FY 2019 FTEs <sup>1</sup> AND PERSONAL SERVICE SPENDING BY AGENCY<br>(millions of dollars) |               |                |
|  | Dollars       | FTEs           |
| <b>Subject to Direct Executive Control</b>   | <b>7,434</b>  | <b>94,741</b>  |
| Mental Hygiene Agencies  | 2,318         | 32,334         |
| Corrections and Community Supervision  | 2,043         | 27,238         |
| State Police   | 669           | 5,666          |
| Information Technology Services  | 286           | 3,401          |
| Health   | 280           | 3,974          |
| Tax and Finance  | 264           | 3,977          |
| Children and Family Services   | 192           | 2,406          |
| Environmental Conservation   | 170           | 2,124          |
| Financial Services   | 157           | 1,382          |
| Parks, Recreation and Historic Preservation  | 134           | 1,304          |
| Education  | 88            | 1,263          |
| Workers' Compensation Board  | 85            | 1,110          |
| Temporary and Disability Assistance  | 68            | 1,019          |
| General Services   | 48            | 832            |
| All Other  | 632           | 6,711          |
| <b>DOT/DMV Operations Reclassification</b>   | <b>167</b>    | <b>2,712</b>   |
| <b>University Systems</b>  | <b>4,022</b>  | <b>46,038</b>  |
| State University   | 3,974         | 45,655         |
| City University <sup>2</sup>   | 48            | 383            |
| <b>Independent Agencies</b>  | <b>2,093</b>  | <b>18,176</b>  |
| Law  | 126           | 1,583          |
| Audit & Control (OSC)  | 123           | 1,527          |
| Judiciary  | 1,668         | 15,064         |
| Legislature <sup>3</sup>   | 176           | 2              |
| <b>Total</b>   | <b>13,716</b> | <b>161,667</b> |

<sup>1</sup> FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

<sup>2</sup> CUNY employees are funded primarily through an agency trust fund that supports an additional 13,166 FTEs, which are excluded from this table.

<sup>3</sup> Legislative employees are nonannual salaried and are excluded from this table.



# State Financial Plan Projections Fiscal Years 2019 Through 2022

## GSCs

The State provides a variety of fringe benefits to its current and former employees, including health insurance, pensions, the Social Security payroll tax, workers' compensation coverage, unemployment insurance, survivors' benefits, and dental and vision benefits (some of which are provided through union-specific Employee Benefit Funds). GSCs also pay for certain statewide fixed costs, including taxes on State-owned lands, payments in lieu of taxes (for the City of Albany) and judgments / settlements awarded in the Court of Claims. Many of these payments are mandated by statute or collective bargaining agreements.

Employee fringe benefits paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from agency fringe benefit assessments. Commencing in FY 2019, there are two fund reclassifications that will impact fringe benefit collections from other agencies. First, the mental hygiene spending in the Mental Hygiene Program Fund and the Patient Income Fund (\$1.4 billion) will be accounted for in the General Fund. Similarly, DOT and DMV spending related to snow and ice removal, bus, truck and rail inspection and regulatory activities in the DHBTF will be accounted for in the General Fund (\$107 million).

GSC spending is projected to increase at an average annual rate of 6.1 percent over the multi-year Financial Plan period. This growth is primarily attributable to the health insurance, pensions, and workers' compensation programs. Growth is offset by reductions in other fringe benefits and fixed costs.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



Growth in the health insurance program of \$242 million (6.1 percent) is reflective of medical inflation at stable enrollment levels. Workers' compensation costs are increasing by \$174 million in FY 2019 primarily due to underlying growth in the average weekly wage used in benefit calculations and medical costs (\$69 million / 13.4 percent), as well as a reduction in the use of offsetting reserve funds (\$105 million). Overall pension costs are projected to increase by a modest amount due to improved investment returns and ongoing savings from the Tier 5 and Tier 6 pension reforms. Social Security spending is also relatively stable due to steady workforce levels.

| GENERAL STATE CHARGES<br>(millions of dollars) |                    |                    |             |                      |             |                      |             |                      |             |
|--|--------------------|--------------------|-------------|----------------------|-------------|----------------------|-------------|----------------------|-------------|
|  | FY 2018<br>Results | FY 2019<br>Updated | Change      | FY 2020<br>Projected | Change      | FY 2021<br>Projected | Change      | FY 2022<br>Projected | Change      |
| <b>TOTAL STATE OPERATING FUNDS</b>             | <b>7,853</b>       | <b>8,410</b>       | <b>7.1%</b> | <b>8,814</b>         | <b>4.8%</b> | <b>9,396</b>         | <b>6.6%</b> | <b>9,963</b>         | <b>6.0%</b> |
| <b>Fringe Benefits</b>                         | <b>7,440</b>       | <b>7,980</b>       | <b>7.3%</b> | <b>8,378</b>         | <b>5.0%</b> | <b>8,949</b>         | <b>6.8%</b> | <b>9,510</b>         | <b>6.3%</b> |
| Health Insurance                               | 3,963              | 4,205              | 6.1%        | 4,361                | 3.7%        | 4,708                | 8.0%        | 5,058                | 7.4%        |
| Pensions                                       | 2,442              | 2,417              | -1.0%       | 2,518                | 4.2%        | 2,628                | 4.4%        | 2,822                | 7.4%        |
| Social Security                                | 1,014              | 1,037              | 2.3%        | 1,055                | 1.7%        | 1,092                | 3.5%        | 1,081                | -1.0%       |
| Workers' Compensation                          | 306                | 480                | 56.9%       | 592                  | 23.3%       | 679                  | 14.7%       | 736                  | 8.4%        |
| Employee Benefits                              | 92                 | 99                 | 7.6%        | 103                  | 4.0%        | 108                  | 4.9%        | 111                  | 2.8%        |
| Dental Insurance                               | 64                 | 60                 | -6.3%       | 61                   | 1.7%        | 63                   | 3.3%        | 65                   | 3.2%        |
| Unemployment Insurance                         | 13                 | 12                 | -7.7%       | 12                   | 0.0%        | 12                   | 0.0%        | 12                   | 0.0%        |
| All Other                                      | 2,359              | 1,118              | -52.6%      | 1,129                | 1.0%        | 1,172                | 3.8%        | 1,169                | -0.3%       |
| Non-State Escrow                               | (2,813)            | (1,448)            | 48.5%       | (1,453)              | -0.3%       | (1,513)              | -4.1%       | (1,544)              | -2.0%       |
| <b>Fixed Costs</b>                             | <b>413</b>         | <b>430</b>         | <b>4.1%</b> | <b>436</b>           | <b>1.4%</b> | <b>447</b>           | <b>2.5%</b> | <b>453</b>           | <b>1.3%</b> |
| Public Land Taxes/PILOTS                       | 254                | 263                | 3.5%        | 269                  | 2.3%        | 275                  | 2.2%        | 282                  | 2.5%        |
| Litigation                                     | 159                | 167                | 5.0%        | 167                  | 0.0%        | 172                  | 3.0%        | 171                  | -0.6%       |

Growth in GSC spending in FY 2019 has been partly offset by gap-closing savings in ERS/PFRS. Approximately \$62 million in additional pension interest savings was achieved by paying most of the State pension bill in April 2018, rather than monthly as previously assumed. Over the multi-year Financial Plan period, outyear pension costs reflect expected investment performance, projected growth in the salary base, and assumptions about future normal and administrative costs. Pension costs also reflect repayment of prior-year amortizations, costs for Chapter 41 of 2016 (veteran's pension credit legislation), and other adjustments. Similarly, reimbursements collected from agency fringe benefit assessments have been revised based on projected personal service spending and reimbursement rates.

## Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State’s share of Medicaid costs for mental hygiene facilities (FY 2018 only), debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

| <b>GENERAL FUND TRANSFERS TO OTHER FUNDS</b>                 |              |              |              |              |              |
|--|--------------|--------------|--------------|--------------|--------------|
| (millions of dollars)  |              |              |              |              |              |
|  | FY 2018      | FY 2019      | FY 2020      | FY 2021      | FY 2022      |
|  | Results      | Updated      | Projected    | Projected    | Projected    |
| <b>TOTAL TRANSFERS TO OTHER FUNDS</b>                        | <b>9,852</b> | <b>5,529</b> | <b>6,336</b> | <b>6,237</b> | <b>6,232</b> |
| State Share of Mental Hygiene Medicaid <sup>1</sup>          | 1,333        | 0            | 0            | 0            | 0            |
| Debt Service   | 1,047        | 775          | 823          | 904          | 747          |
| SUNY University Operations                                   | 1,015        | 1,020        | 1,008        | 1,004        | 1,004        |
| Capital Projects   | <b>2,191</b> | <b>2,635</b> | <b>3,425</b> | <b>3,171</b> | <b>3,182</b> |
| Dedicated Highway and Bridge Trust Fund                      | 771          | 167          | 447          | 524          | 368          |
| Dedicated Infrastructure Investment Fund                     | 941          | 1,009        | 1,145        | 989          | 822          |
| FY 2017 Temporary Loan to Capital Projects Fund <sup>2</sup> | (1,300)      | 0            | 0            | 0            | 0            |
| FY 2018 Temporary Loan to Capital Projects Fund <sup>2</sup> | 500          | (500)        | 0            | 0            | 0            |
| Transfer to DIIF for Javits Expansion                        | 164          | 350          | 320          | 166          | 0            |
| Bond Proceeds Receipts for Javits Expansion                  | 0            | 0            | (500)        | (500)        | 0            |
| Mass Transit Capital from Settlements                        | 67           | 6            | 6            | 6            | 0            |
| Statewide Health Care Capital from Settlements               | 19           | 72           | 70           | 80           | 63           |
| Environmental Protection Fund                                | 28           | 28           | 28           | 28           | 28           |
| All Other Capital  | <b>1,001</b> | <b>1,503</b> | <b>1,909</b> | <b>1,878</b> | <b>1,901</b> |
| <b>ALL OTHER TRANSFERS</b>                                   | <b>4,266</b> | <b>1,099</b> | <b>1,080</b> | <b>1,158</b> | <b>1,299</b> |
| Mental Hygiene <sup>1</sup>                                  | 3,127        | 0            | 0            | 0            | 0            |
| Department of Transportation (MTA Payroll Tax)               | 268          | 244          | 244          | 244          | 244          |
| SUNY - Medicaid Reimbursement                                | 405          | 243          | 243          | 243          | 243          |
| Judiciary Funds  | 109          | 110          | 109          | 110          | 110          |
| Dedicated Mass Transportation Trust Fund                     | 63           | 65           | 65           | 116          | 256          |
| Banking Services   | 41           | 60           | 53           | 53           | 53           |
| Indigent Legal Services                                      | 25           | 35           | 58           | 82           | 82           |
| General Service Executive Direction                          | 22           | 22           | 22           | 22           | 22           |
| Mass Transportation Operating Assistance                     | 21           | 21           | 21           | 21           | 21           |
| Correctional Industries                                      | 12           | 21           | 21           | 21           | 21           |
| Public Transportation Systems                                | 15           | 16           | 16           | 16           | 16           |
| Health Income Fund   | 15           | 16           | 16           | 16           | 16           |
| Centralized Technology Services                              | 9            | 14           | 11           | 11           | 11           |
| Spinal Cord Injury   | 9            | 9            | 9            | 9            | 9            |
| Medical Marijuana Fund                                       | 5            | 7            | 5            | 7            | 7            |
| All Other  | 120          | 216          | 187          | 187          | 188          |

<sup>1</sup> The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from certain Special Revenue Funds to the General Fund, the State share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than to a Special Revenue Fund.

<sup>2</sup> Represents the temporary use of Extraordinary Monetary Settlement fund balances to pay for capital projects in the first instance. These advances will be repaid in the following year when the State reimburses the capital spending from bond proceeds.

# State Financial Plan Projections Fiscal Years 2019 Through 2022



A significant portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and HUT. The Financial Plan includes transfers from the General Fund that effectively subsidize DHBTF expenses, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) routinely exceed current and projected revenue deposits and bond proceeds. This transfer is reduced in FY 2019 and in the ensuing years of the Financial Plan as certain DOT and DMV operating costs related to snow and ice removal; bus, truck and rail inspection; and DMV regulatory activities have been reclassified from the DHBTF to the General Fund.

General Fund transfers to other funds are expected to total \$5.5 billion in FY 2019, a \$4.3 billion decrease from FY 2018. The change is primarily driven by the consolidation of certain Mental Hygiene Special Revenue Funds into the General Fund, which eliminate the General Fund transfers for mental hygiene activity, offset by an increase in transfers appropriated to DIIF and the Capital Projects Fund in FY 2019, including temporary loans and planned repayment of funds related to debt management actions.



## Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), DASNY, and the New York State Thruway Authority (NYSTA), the payment obligation on which is subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

| DEBT SERVICE SPENDING PROJECTIONS<br>(millions of dollars) |                    |                    |              |                      |              |                      |             |                      |              |
|--|--------------------|--------------------|--------------|----------------------|--------------|----------------------|-------------|----------------------|--------------|
|  | FY 2018<br>Results | FY 2019<br>Updated | Change       | FY 2020<br>Projected | Change       | FY 2021<br>Projected | Change      | FY 2022<br>Projected | Change       |
| General Fund   | 1,047              | 775                | -26.0%       | 823                  | 6.2%         | 904                  | 9.8%        | 747                  | -17.4%       |
| Other State Support  | 4,826              | 4,745              | -1.7%        | 5,797                | 22.2%        | 6,217                | 7.2%        | 6,350                | 2.1%         |
| <b>State Operating/All Funds Total</b>                     | <b>5,873</b>       | <b>5,520</b>       | <b>-6.0%</b> | <b>6,620</b>         | <b>19.9%</b> | <b>7,121</b>         | <b>7.6%</b> | <b>7,097</b>         | <b>-0.3%</b> |

Total State Operating/All Funds debt service is projected to be \$5.5 billion in FY 2019, of which \$775 million is paid from the General Fund via transfers, and \$4.7 billion is from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other dedicated State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

The Financial Plan estimates for debt service spending have been revised to reflect a number of factors, including bond sale results to date, refunding savings, and the adjustment of debt issuances to align with projected bond-financed capital spending. Debt service spending estimates also reflect the prepayment in FY 2018 of \$594 million in debt service costs due in FY 2019, as well as a planned prepayment of \$145 million in FY 2019 for debt service costs due in FY 2020.



# **FY 2019 Mid-Year Operating Results**





# FY 2019 Mid-Year Operating Results

This section provides a summary of preliminary operating results for the first half of FY 2019 (April through September 2018) compared to: (a) results for the prior fiscal year (April through September 2017), (b) the “initial” projections set forth in the FY 2019 Enacted Budget Financial Plan, and (c) the most recent “updated” projections set forth in the FY 2019 First Quarterly Financial Plan Update. The summary of spending results compared to estimates is focused on the State Operating Funds basis, which is the 2 percent growth benchmark measure.

## Summary of General Fund Operating Results

Monetary settlements continue to affect the State’s cash position. The table below summarizes the variances from the initial and updated estimates, excluding monetary settlements. The discussion of balances, receipts, and disbursements that follows also excludes the receipts and use of monetary settlements, unless otherwise noted.

| FY 2019 GENERAL FUND OPERATING RESULTS COMPARED TO FY 2019 INITIAL AND UPDATED BUDGETS |                      |                      |                |                          |              |
|--|----------------------|----------------------|----------------|--------------------------|--------------|
| (millions of dollars)  |                      |                      |                |                          |              |
|  | Initial<br>Estimates | Updated<br>Estimates | Results        | Variance Above / (Below) |              |
|  |                      |                      |                | Initial                  | Updated      |
| <b>Opening Fund Balance (Excl. Extr. Monetary Settlements)</b>                         | <b>4,425</b>         | <b>4,425</b>         | <b>4,425</b>   | <b>0</b>                 | <b>0</b>     |
| <b>Total Receipts</b>  | <b>35,606</b>        | <b>35,708</b>        | <b>35,496</b>  | <b>(110)</b>             | <b>(212)</b> |
| Taxes  | 34,066               | 34,156               | 33,985         | (81)                     | (171)        |
| Non-Tax Receipts/Transfers <sup>1</sup>  | 1,540                | 1,552                | 1,511          | (29)                     | (41)         |
| <b>Total Disbursements</b>   | <b>38,362</b>        | <b>37,821</b>        | <b>37,945</b>  | <b>(417)</b>             | <b>124</b>   |
| Local Assistance   | 24,130               | 23,847               | 24,281         | 151                      | 434          |
| Agency Operations  | 10,590               | 10,397               | 10,252         | (338)                    | (145)        |
| Transfers to Other Funds <sup>2</sup>  | 3,642                | 3,577                | 3,412          | (230)                    | (165)        |
| <b>Net Change in Operations</b>  | <b>(2,756)</b>       | <b>(2,113)</b>       | <b>(2,449)</b> | <b>307</b>               | <b>(336)</b> |
| <b>Closing Fund Balance (Excl. Extr. Monetary Settlements)</b>                         | <b>1,669</b>         | <b>2,312</b>         | <b>1,976</b>   | <b>307</b>               | <b>(336)</b> |
| <b>Extraordinary Monetary Settlements</b>  |                      |                      |                |                          |              |
| Opening Balance  | 5,020                | 5,020                | 5,020          | 0                        | 0            |
| Settlements Received/Expected <sup>3</sup>   | 183                  | 478                  | 478            | 295                      | 0            |
| Transfers/Uses   | (954)                | (1,139)              | (1,023)        | (69)                     | 116          |
| <b>Closing Balance</b>   | <b>4,249</b>         | <b>4,359</b>         | <b>4,475</b>   | <b>226</b>               | <b>116</b>   |
| <b>Closing Fund Balance (Incl. Extr. Monetary Settlements)</b>                         | <b>5,918</b>         | <b>6,671</b>         | <b>6,451</b>   | <b>533</b>               | <b>(220)</b> |

<sup>1</sup> Non-tax receipts exclude the monetary settlements received by the General Fund less amounts retained by the Department of Law in other funds to support operational costs.

<sup>2</sup> Transfers/Uses exclude the use of monetary settlements to support transfers from the General Fund to other funds (e.g., Dedicated Investment Infrastructure Fund).

<sup>3</sup> Includes gross value of all settlements received/expected by the State, including amounts retained by the Department of Law in other funds to support operational costs.

# FY 2019 Mid-Year Operating Results



## Results Compared to Enacted and Updated Estimates

The General Fund closing balance at the end of September was \$6.5 billion (\$533 million above the initial estimate and \$220 million lower than the updated estimate).

Tax receipts were stronger than expected for withholding and tax year (TY) 2018 current estimated payments. However, timing-related refund payments more than offset the positive variance through September.

Higher local assistance spending was mainly due to Medicaid, partly offset by lower spending for Child Welfare Services and lower spending on education. Operational spending and transfers fell below estimates. Nearly all variances are timing-related and expected to resolve in future months.

## Extraordinary Monetary Settlements

The State ended September 2018 with a balance of \$4.5 billion in monetary settlement funds held in the General Fund. The higher than planned balance includes receipt of unplanned settlement moneys reflected in the FY 2019 First Quarterly Update and slower than expected uses of the moneys.

The table below summarizes the variances from the initial and updated estimates, including monetary settlements.

| GENERAL FUND OPERATING RESULTS<br>APRIL 2018 THROUGH SEPTEMBER 2018<br>(millions of dollars) |              |              |         |                        |        |              |       |
|--|--------------|--------------|---------|------------------------|--------|--------------|-------|
|  | Initial Plan | Updated Plan | Results | Above/(Below) Variance |        |              |       |
|  |              |              |         | Initial Plan           |        | Updated Plan |       |
|  |              |              |         | \$                     | %      | \$           | %     |
| <b>OPENING BALANCE</b>   | 9,445        | 9,445        | 9,445   | 0                      | 0.0%   | 0            | 0.0%  |
| <b>Total Receipts</b>  | 35,714       | 36,111       | 35,899  | 185                    | 0.5%   | (212)        | -0.6% |
| Taxes:   | 34,066       | 34,156       | 33,985  | (81)                   | -0.2%  | (171)        | -0.5% |
| Personal Income Tax <sup>1</sup>   | 23,523       | 23,527       | 23,458  | (65)                   | -0.3%  | (69)         | -0.3% |
| Consumption / Use Taxes <sup>1</sup>   | 6,971        | 7,019        | 7,017   | 46                     | 0.7%   | (2)          | 0.0%  |
| Business Taxes   | 2,514        | 2,587        | 2,490   | (24)                   | -1.0%  | (97)         | -3.7% |
| Other Taxes <sup>1</sup>   | 1,058        | 1,023        | 1,020   | (38)                   | -3.6%  | (3)          | -0.3% |
| Receipts and Grants  | 919          | 1,319        | 1,297   | 378                    | 41.1%  | (22)         | -1.7% |
| Transfers From Other Funds   | 729          | 636          | 617     | (112)                  | -15.4% | (19)         | -3.0% |
| <b>Total Spending</b>  | 39,241       | 38,885       | 38,893  | (348)                  | -0.9%  | 8            | 0.0%  |
| Local Assistance   | 24,130       | 23,847       | 24,281  | 151                    | 0.6%   | 434          | 1.8%  |
| Agency Operations (including GSCs)   | 10,590       | 10,397       | 10,252  | (338)                  | -3.2%  | (145)        | -1.4% |
| Transfers to Other Funds   | 4,521        | 4,641        | 4,360   | (161)                  | -3.6%  | (281)        | -6.1% |
| Debt Service Transfer  | 336          | 332          | 310     | (26)                   | -7.7%  | (22)         | -6.6% |
| Capital Projects Transfer  | 2,906        | 2,980        | 2,749   | (157)                  | -5.4%  | (231)        | -7.8% |
| SUNY Operations Transfer   | 842          | 836          | 838     | (4)                    | -0.5%  | 2            | 0.2%  |
| All Other Transfers  | 437          | 493          | 463     | 26                     | 5.9%   | (30)         | -6.1% |
| <b>Change in Operations</b>  | (3,527)      | (2,774)      | (2,994) | 533                    | 15.1%  | (220)        | -7.9% |
| <b>CLOSING BALANCE</b>   | 5,918        | 6,671        | 6,451   | 533                    | 9.0%   | (220)        | -3.3% |

<sup>1</sup> Includes transfers from other funds after debt service.



# FY 2019 Mid-Year Operating Results

## All Governmental Funds -- Results Compared to Prior Year

All Funds receipts through September 2018 were \$4.5 billion higher than the same prior year period, comprised of increased receipts in all major categories. Spending also increased for all major funds with the largest growth seen in Medicaid, Capital and Federal spending.

| ALL GOVERNMENTAL FUNDS - RESULTS COMPARED TO PRIOR YEAR |                |                |                     |               |
|---|----------------|----------------|---------------------|---------------|
| APRIL THROUGH SEPTEMBER                                 |                |                |                     |               |
| (millions of dollars)                                   |                |                |                     |               |
|   | Results        |                | Increase/(Decrease) |               |
|   | FY 2018        | FY 2019        | \$                  | %             |
| <b>OPENING BALANCE</b>                                  | <b>11,105</b>  | <b>12,749</b>  | <b>1,644</b>        | <b>14.8%</b>  |
| <b>ALL FUNDS RECEIPTS:</b>                              | <b>76,561</b>  | <b>81,083</b>  | <b>4,522</b>        | <b>5.9%</b>   |
| <b>Total Taxes</b>                                      | <b>36,087</b>  | <b>37,292</b>  | <b>1,205</b>        | <b>3.3%</b>   |
| Personal Income Tax                                     | 22,175         | 23,662         | 1,487               | 6.7%          |
| All Other Taxes   | 13,912         | 13,630         | (282)               | -2.0%         |
| <b>Miscellaneous Receipts</b>                           | <b>12,438</b>  | <b>12,896</b>  | <b>458</b>          | <b>3.7%</b>   |
| <b>Federal Grants</b>                                   | <b>28,036</b>  | <b>30,895</b>  | <b>2,859</b>        | <b>10.2%</b>  |
| <b>Bond &amp; Note Proceeds</b>                         | <b>0</b>       | <b>0</b>       | <b>0</b>            | <b>0.0%</b>   |
| <b>ALL FUNDS DISBURSEMENTS:</b>                         | <b>77,752</b>  | <b>82,451</b>  | <b>4,699</b>        | <b>6.0%</b>   |
| <b>STATE OPERATING FUNDS</b>                            | <b>46,494</b>  | <b>47,663</b>  | <b>1,169</b>        | <b>2.5%</b>   |
| <b>Local Assistance</b>                                 | <b>30,608</b>  | <b>31,828</b>  | <b>1,220</b>        | <b>4.0%</b>   |
| School Aid  | 10,981         | 11,250         | 269                 | 2.4%          |
| DOH Medicaid (incl. admin and EP)                       | 10,491         | 11,812         | 1,321               | 12.6%         |
| All Other   | 9,136          | 8,766          | (370)               | -4.0%         |
| <b>State Operations</b>                                 | <b>14,331</b>  | <b>14,526</b>  | <b>195</b>          | <b>1.4%</b>   |
| <b>Agency Operations</b>                                | <b>9,309</b>   | <b>9,476</b>   | <b>167</b>          | <b>1.8%</b>   |
| Executive Agencies                                      | 4,993          | 5,118          | 125                 | 2.5%          |
| University Systems                                      | 3,021          | 2,979          | (42)                | -1.4%         |
| Elected Officials                                       | 1,295          | 1,379          | 84                  | 6.5%          |
| <b>Fringe Benefits/Fixed Costs</b>                      | <b>5,022</b>   | <b>5,050</b>   | <b>28</b>           | <b>0.6%</b>   |
| Pension Contribution                                    | 2,303          | 2,276          | (27)                | -1.2%         |
| Health Insurance  | 1,941          | 2,098          | 157                 | 8.1%          |
| Other Fringe Benefits/Fixed Costs                       | 778            | 676            | (102)               | -13.1%        |
| <b>Debt Service</b>                                     | <b>1,555</b>   | <b>1,309</b>   | <b>(246)</b>        | <b>-15.8%</b> |
| <b>Capital Projects</b>                                 | <b>0</b>       | <b>0</b>       | <b>0</b>            | <b>0.0%</b>   |
| <b>CAPITAL PROJECTS (State and Federal Funds)</b>       | <b>4,844</b>   | <b>5,665</b>   | <b>821</b>          | <b>16.9%</b>  |
| <b>FEDERAL OPERATING AID</b>                            | <b>26,414</b>  | <b>29,123</b>  | <b>2,709</b>        | <b>10.3%</b>  |
| <b>NET OTHER FINANCING SOURCES</b>                      | <b>(63)</b>    | <b>(47)</b>    | <b>16</b>           | <b>25.4%</b>  |
| <b>CHANGE IN OPERATIONS</b>                             | <b>(1,254)</b> | <b>(1,415)</b> | <b>(161)</b>        | <b>12.8%</b>  |
| <b>CLOSING BALANCE</b>                                  | <b>9,851</b>   | <b>11,334</b>  | <b>1,483</b>        | <b>15.1%</b>  |

## Receipts

PIT tax receipts grew \$1.5 billion (6.7 percent) primarily due to a combination of strong growth in current estimated tax payments, moderate growth in withholding receipts, and a decline in Tax Year 2017 current refunds as the result of a nearly \$500 million year-over-year increase in the FY 2018 January to March administrative refund cap. The growth is partially offset by increased Property Tax Relief Credit payments.

The decline in all other taxes includes the direct remittance of the PMT collections to the MTA beginning in FY 2019, which previously passed through the State and was thus included in the FY 2018 receipts (\$636 million), as well as lower estate tax payments due to the receipt of three super-large extraordinary payments last year (\$173 million). The declines were partly offset by higher Consumption/User Tax collections including growth in sales tax (\$435 million) and corporate franchise tax receipts (\$110 million).

Miscellaneous receipts were \$458 million (3.7 percent) higher in the current year mainly due to the receipt of a \$1 billion payment from Fidelis Care pursuant to the sale of substantially all its assets to Centene Corporation; higher HCRA receipts (\$137 million); and revenues deposited into the newly created Charitable Gifts Trust Fund (\$93 million). Lower bond proceed reimbursements (\$754 million), primarily associated with SUNY and Environmental Conservation, partly offset the higher receipts.

Federal grants were \$2.9 billion higher, and follow the increase in Federal operating aid disbursements described below.

## Spending

State Operating Funds spending totaled \$47.7 billion, an increase of \$1.2 billion (2.5 percent) compared to the prior year. Growth in Medicaid (\$1.3 billion) and School Aid (\$269 million) was partially offset by lower aggregate spending in all other local assistance programs (\$370 million).



Increased Medicaid spending was largely driven by:

- Increased claiming for monthly managed care and managed long-term care payments related to rate package changes that were expected to be processed later in this fiscal year (\$645 million);
- Higher State reimbursements due to Federal Part D Clawback (\$135 million);
- Timing of various program costs, including Supplemental Medical Insurance (\$88 million), Managed Long-Term Care Quality Pool Awards (\$62 million), Voluntary UPL (\$48 million), reimbursements to local governments for FMAP savings reconciliations (\$90 million), Community First Choice (\$139 million) and other offline payments such as Advanced Training Initiative and CINERGY; and
- Underspending in the Essential Plan (EP) (\$82 million) associated with the timing of Federal receipts.

The increase in School Aid spending was largely due to timing of SUFPK aid payments to New York City (\$222 million) and an increase in General Aid payments (\$182 million), partially offset by lower spending for Teachers' Retirement (\$83 million) and Excess Cost Aid (\$58 million).

The decline in all other local assistance spending is primarily attributable to direct flow of the Payroll Mobility Tax (PMT) collections to the MTA, which was previously passed through the State and included in the FY 2018 results (\$584 million). This decline is partially offset by increased spending for higher education driven by TAP (\$139 million) and CUNY Senior Colleges (\$50 million).

Executive agency operational spending growth was largely attributable to increased spending for transportation (\$84 million), which includes certain operating costs that were moved from the DHBTf to the General Fund beginning in the current year. Operating spending for Elected Officials increased due to Judiciary personal service costs associated with collectively bargained retro-active payments (\$65 million).

Higher fringe benefits spending (\$28 million) includes planned cost increases for the State's share of employee health insurance and Workers' Compensation payments, offset by lower pension costs and other timing-related transactional adjustments.

Lower Debt Service spending (\$246 million) is mainly due to prepayment of FY 2019 obligations at the end of FY 2018.

Capital Projects Fund spending increased by \$821 million primarily due to expenses for the MTA (\$423 million), the Jacob Javits Convention center (\$151 million), and faster than anticipated spending on the Housing Capital Plan (\$85 million).

Growth in Federal operating aid spending (\$2.7 billion) was driven by increases in several programs including:

- Medicaid (\$1.4 billion higher), reflecting increased claiming and payments for Quality Pool/ Quality Incentive Vital Access Provider Pool (QIVAPP).
- Social Services (\$774 million higher), primarily attributable to timing of payments related to Child Care (\$425 million) and the Flexible Federal Fund for Family Services (\$345 million) programs relative to the same period in the prior year.
- School Aid (\$363 million higher), driven by increases in Title I School Grants, Title II Teacher Quality Improvement Grants and School Lunch Act Grants.
- EP (\$265 million higher), due to enrollment growth.
- Public Health (\$219 million lower), driven by the Child Health Plus (CHP) program as result of a large Federal retro payment in the prior year.



# FY 2019 Mid-Year Operating Results

## All Governmental Funds Results -- Results Compared to Plan

The State ended September 2018 with an All Funds closing balance of \$11.3 billion, higher than both estimates (\$2.2 billion above the initial estimate and \$867 million higher than the updated estimate).

| ALL GOVERNMENTAL FUNDS COMPARED TO PLAN<br>APRIL 2018 THROUGH SEPTEMBER 2018<br>(millions of dollars) |                |                |                |                |               |              |                 |
|---|----------------|----------------|----------------|----------------|---------------|--------------|-----------------|
|   | Initial Plan   | Updated Plan   | Results        | Above/(Below)  |               |              |                 |
|   |                |                |                | Initial Plan   |               | Updated Plan |                 |
|   |                |                |                | \$             | %             | \$           | %               |
| <b>OPENING BALANCE</b>  | <b>12,749</b>  | <b>12,749</b>  | <b>12,749</b>  | <b>0</b>       | <b>0.0%</b>   | <b>0</b>     | <b>0.0%</b>     |
| <b>ALL FUNDS RECEIPTS:</b>  | <b>78,338</b>  | <b>79,161</b>  | <b>81,083</b>  | <b>2,745</b>   | <b>3.5%</b>   | <b>1,922</b> | <b>2.4%</b>     |
| <b>Total Taxes</b>  | <b>37,313</b>  | <b>37,474</b>  | <b>37,292</b>  | <b>(21)</b>    | <b>-0.1%</b>  | <b>(182)</b> | <b>-0.5%</b>    |
| Personal Income Tax   | 23,747         | 23,749         | 23,662         | (85)           | -0.4%         | (87)         | -0.4%           |
| Consumption / Use Tax   | 8,747          | 8,816          | 8,827          | 80             | 0.9%          | 11           | 0.1%            |
| Business Taxes  | 3,693          | 3,809          | 3,705          | 12             | 0.3%          | (104)        | -2.7%           |
| Other Taxes   | 1,126          | 1,100          | 1,098          | (28)           | -2.5%         | (2)          | -0.2%           |
| <b>Miscellaneous Receipts</b>   | <b>12,570</b>  | <b>12,974</b>  | <b>12,896</b>  | <b>326</b>     | <b>2.6%</b>   | <b>(78)</b>  | <b>-0.6%</b>    |
| <b>Federal Grants</b>   | <b>28,455</b>  | <b>28,713</b>  | <b>30,895</b>  | <b>2,440</b>   | <b>8.6%</b>   | <b>2,182</b> | <b>7.6%</b>     |
| <b>ALL FUNDS DISBURSEMENTS:</b>   | <b>81,861</b>  | <b>81,448</b>  | <b>82,451</b>  | <b>590</b>     | <b>0.7%</b>   | <b>1,003</b> | <b>1.2%</b>     |
| <b>STATE OPERATING FUNDS</b>  | <b>47,997</b>  | <b>47,493</b>  | <b>47,663</b>  | <b>(334)</b>   | <b>-0.7%</b>  | <b>170</b>   | <b>0.4%</b>     |
| <b>Local Assistance</b>   | <b>31,707</b>  | <b>31,493</b>  | <b>31,828</b>  | <b>121</b>     | <b>0.4%</b>   | <b>335</b>   | <b>1.1%</b>     |
| School Aid  | 11,319         | 11,216         | 11,250         | (69)           | -0.6%         | 34           | 0.3%            |
| DOH Medicaid <sup>1</sup>   | 11,050         | 10,891         | 11,812         | 762            | 6.9%          | 921          | 8.5%            |
| Transportation  | 2,096          | 2,112          | 2,076          | (20)           | -1.0%         | (36)         | -1.7%           |
| STAR  | 0              | 0              | 0              | 0              | 0.0%          | 0            | 0.0%            |
| Social Services   | 1,413          | 1,238          | 1,146          | (267)          | -18.9%        | (92)         | -7.4%           |
| Higher Education  | 1,674          | 1,785          | 1,728          | 54             | 3.2%          | (57)         | -3.2%           |
| Mental Hygiene  | 1,099          | 1,066          | 1,029          | (70)           | -6.4%         | (37)         | -3.5%           |
| All Other   | 3,056          | 3,185          | 2,787          | (269)          | -8.8%         | (398)        | -12.5%          |
| <b>State Operations</b>   | <b>14,948</b>  | <b>14,663</b>  | <b>14,526</b>  | <b>(422)</b>   | <b>-2.8%</b>  | <b>(137)</b> | <b>-0.9%</b>    |
| <b>Agency Operations</b>  | <b>9,708</b>   | <b>9,575</b>   | <b>9,476</b>   | <b>(232)</b>   | <b>-2.4%</b>  | <b>(99)</b>  | <b>-1.0%</b>    |
| <b>Personal Service:</b>  | <b>6,854</b>   | <b>6,805</b>   | <b>6,811</b>   | <b>(43)</b>    | <b>-0.6%</b>  | <b>6</b>     | <b>0.1%</b>     |
| Executive Agencies  | 3,865          | 3,779          | 3,790          | (75)           | -1.9%         | 11           | 0.3%            |
| University Systems  | 1,947          | 1,904          | 1,902          | (45)           | -2.3%         | (2)          | -0.1%           |
| Elected Officials   | 1,042          | 1,122          | 1,119          | 77             | 7.4%          | (3)          | -0.3%           |
| <b>Non-Personal Service:</b>  | <b>2,854</b>   | <b>2,770</b>   | <b>2,665</b>   | <b>(189)</b>   | <b>-6.6%</b>  | <b>(105)</b> | <b>-3.8%</b>    |
| Executive Agencies  | 1,466          | 1,391          | 1,328          | (138)          | -9.4%         | (63)         | -4.5%           |
| University Systems  | 1,118          | 1,104          | 1,077          | (41)           | -3.7%         | (27)         | -2.4%           |
| Elected Officials   | 270            | 275            | 260            | (10)           | -3.7%         | (15)         | -5.5%           |
| <b>Fringe Benefits/Fixed Costs</b>  | <b>5,240</b>   | <b>5,088</b>   | <b>5,050</b>   | <b>(190)</b>   | <b>-3.6%</b>  | <b>(38)</b>  | <b>-0.7%</b>    |
| Pension Contribution  | 2,282          | 2,281          | 2,276          | (6)            | -0.3%         | (5)          | -0.2%           |
| Health Insurance  | 2,120          | 2,105          | 2,098          | (22)           | -1.0%         | (7)          | -0.3%           |
| Other Fringe Benefits/Fixed Costs   | 838            | 702            | 676            | (162)          | -19.3%        | (26)         | -3.7%           |
| <b>Debt Service</b>   | <b>1,342</b>   | <b>1,337</b>   | <b>1,309</b>   | <b>(33)</b>    | <b>-2.5%</b>  | <b>(28)</b>  | <b>-2.1%</b>    |
| <b>CAPITAL PROJECTS (State and Federal Funds)</b>   | <b>7,014</b>   | <b>6,478</b>   | <b>5,665</b>   | <b>(1,349)</b> | <b>-19.2%</b> | <b>(813)</b> | <b>-12.6%</b>   |
| <b>FEDERAL OPERATING AID</b>  | <b>26,850</b>  | <b>27,477</b>  | <b>29,123</b>  | <b>2,273</b>   | <b>8.5%</b>   | <b>1,646</b> | <b>6.0%</b>     |
| <b>NET OTHER FINANCING SOURCES</b>  | <b>(47)</b>    | <b>5</b>       | <b>(47)</b>    | <b>-</b>       | <b>0.0%</b>   | <b>(52)</b>  | <b>-1040.0%</b> |
| <b>CHANGE IN OPERATIONS</b>   | <b>(3,570)</b> | <b>(2,282)</b> | <b>(1,415)</b> | <b>2,155</b>   | <b>60.4%</b>  | <b>867</b>   | <b>38.0%</b>    |
| <b>CLOSING BALANCE</b>  | <b>9,179</b>   | <b>10,467</b>  | <b>11,334</b>  | <b>2,155</b>   | <b>23.5%</b>  | <b>867</b>   | <b>8.3%</b>     |

1. Includes the Essential Plan

## Receipts

Through September, PIT collections were lower than both forecasts due to timing-related refund payments, partly offset by stronger than expected withholding and TY 2018 current estimated payments. Consumption/Use Tax collections exceeded both forecasts due to higher sales tax base growth. Compared to the updated estimate, lower than projected business tax receipts were driven by timing of insurance tax payments.

Miscellaneous Receipts exceeded the initial projection mainly due to the receipt of unplanned monetary settlements (\$295 million). Compared to the updated plan, which included unplanned monetary settlements, miscellaneous receipts were \$78 million lower, driven by lower bond proceed reimbursements associated with transportation.

Federal grants receipts were higher than both estimates (\$2.4 billion and \$2.2 billion, respectively), driven by Federal operating aid disbursements, as well as timing of reimbursements for program costs initially financed by the State and later reimbursed with Federal funding.

## Spending

Total State Operating Funds spending was \$334 million (0.7 percent) lower than initial estimates and \$170 million (0.4 percent) higher than updated estimates. The following discussion of the variances is focused on the comparison to Enacted estimates.

Higher local assistance spending (\$121 million) is driven mainly by the following large variances:

- Medicaid (\$762 million higher) includes increased claims for monthly managed care and managed long-term care attributable to timing-related rate package changes (\$506 million); lower than anticipated rebates (\$106 million); and earlier than expected payments for various programs, including local government share of FMAP savings payments (\$90 million), Voluntary Upper Payment Limit (UPL) (\$79 million), and Supplemental Medical Insurance (\$26 million).
- Social Services spending (\$267 million lower) was slower than expected for Child Welfare Services, Day Care, and Foster Care Block Grant.
- School Aid (\$69 million lower) underspending in Excess Cost Aid set-asides for students with disabilities (\$125 million) is partially offset by higher spending for the State Share Medicaid (\$24 million) related to an increase in the Medicaid reimbursement rate for the School Supportive Health Services program, UPK (\$22 million), and various other claims-based programs (\$14 million).
- Mental Hygiene (\$70 million lower) is due primarily to the timing of agency payment activity.
- Higher Education (\$54 million higher) includes higher spending on TAP (\$105 million), partially offset by year-to-date underspending on Scholarships (\$46 million).

- All Other local assistance spending (\$269 million lower) includes lower education spending (\$229 million), largely related to timing of preschool special education payments and Public Health (\$76 million) due to lower than projected spending across many programs, including Diagnostic and Treatment Centers, Bad Debt and Charity, EI, Family Planning, EPIC, and Physician Medical Malpractice.

Agency Operations spending was \$232 million lower than planned. Lower spending for Executive agencies and University Systems was partially offset by increased Judiciary spending related to retro-active salary increases. Lower than projected Executive agency spending was primarily attributable to Medicaid Administration costs and DOCCS.

GSCs were \$190 million lower than initial estimates, primarily due to timing of reimbursements for operations supported by Federal and capital funding.

Capital spending was \$1.3 billion below initial projections, mainly due to lower than anticipated spending on Economic Development (\$436 million) related to the Regional Economic Development Council's delayed implementation, and slower than anticipated spending for Long Island Transformation projects, Wadsworth Laboratory, and the High Tech Innovative Initiative. Additional underspending occurred in Transportation (\$335 million), attributable to slower than projected spending for Hunts-Point/Bruckner, Legislative Acceleration projects, and JFK Access projects; Health and Social Welfare (\$195 million), primarily due to the delayed implementation of programs; Lower/Higher Education (\$194 million) related to Smart Schools Bond Act claims and SUNY/CUNY underspending; and Parks and Environment (\$81 million) related to Department of Environmental Conservation (DEC).

Compared to updated estimates, spending variances were generally consistent with the initial estimates described above, except for capital projects and Federal funds, which varied to a lesser degree compared to the Plan, due to timing adjustments reflected in the First Quarterly Update.



# Glossary of Acronyms





|        |  |
|--------|--|
| AAA    | Area Agencies on Aging   |
| ACA    | Affordable Care Act  |
| AG     | Attorney General   |
| AGI    | Adjusted Gross Income  |
| AIG    | American International Group, Inc.   |
| AIM    | Aid and Incentives for Municipalities  |
| ALICO  | American Life Insurance Company  |
| AML    | Anti-Money Laundering  |
| AMTAP  | Additional Mass Transportation Assistance Program                                    |
| APCD   | All-Payer Claims Databases   |
| ARC    | Annual Required Contribution   |
| AXA    | AXA Equitable Life Insurance Company   |
| BANs   | Bond Anticipation Notes  |
| BCA    | Budget Control Act of 2011   |
| BEA    | Bureau of Economic Analysis  |
| BNPP   | BNP Paribas, S.A., New York Branch   |
| BOCES  | Boards of Cooperative Educational Services   |
| BofA   | Bank of America  |
| BofAML | Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated |
| BSA    | Bank Security Act  |
| BTMU   | Bank of Tokyo-Mitsubishi UFJ, Ltd.   |
| CHIP   | Children's Health Insurance Program  |
| CHP    | Child Health Plus  |
| CISO   | Chief Information Security Office  |
| CMS    | Centers for Medicare & Medicaid Services   |
| COBANC | Court Officers Benevolent Association of Nassau County                               |
| COLA   | Cost-of-Living Adjustment  |
| CPI    | Consumer Price Index   |
| CSE    | Community Services for the Elderly   |
| CSEA   | Civil Service Employees Association  |
| CSR    | Cost Sharing Reduction   |
| CSX    | CSX Transportation, Inc.   |
| CUNY   | City University of New York  |
| CW/CA  | Clean Water/Clean Air  |
| CY     | Calendar Year  |
| DA     | District Attorney  |
| DANY   | New York County District Attorney  |
| DASNY  | Dormitory Authority of the State of New York   |
| DC-37  | District Council 37  |
| DDPC   | Developmental Disabilities Planning Council  |
| DEC    | Department of Environmental Conservation   |
| DelAm  | Delaware American Life Insurance Company   |
| DFS    | Department of Financial Services   |
| DHBTF  | Dedicated Highway and Bridge Trust Fund  |
| DIIF   | Dedicated Infrastructure Investment Fund   |
| DMV    | Department of Motor Vehicles   |
| DOB    | Division of the Budget   |
| DOCCS  | Department of Corrections and Community Supervision                                  |
| DOH    | Department of Health   |
| DOS    | Department of State  |
| DOT    | Department of Transportation   |
| DS     | Debt Service   |
| DSH    | Disproportionate Share Hospital  |
| DSRIP  | Delivery System Reform Incentive Payment   |
| DTF    | Department of Taxation and Finance   |

# Glossary of Acronyms



|          |  |
|----------|--|
| ECEP     | Employer Compensation Expense Program                                  |
| EI       | Early Intervention   |
| EP       | Essential Plan   |
| EPF      | Environmental Protection Fund  |
| EPIC     | Elderly Pharmaceutical Insurance Coverage                              |
| ERS      | Employees' Retirement System   |
| ESD      | Empire State Development   |
| ESPRI    | Empire State Poverty Reduction Initiative                              |
| FFP      | Federal Financial Participation  |
| FFY      | Federal Fiscal Year (October 1 through September 30)                   |
| FHP      | Family Health Plus   |
| FMAP     | Federal Medical Assistance Percentage                                  |
| FPG      | Fortis Property Group  |
| FRB      | Financial Restructuring Board  |
| FTE      | Full-Time Equivalent   |
| FY       | Fiscal Year  |
| GAAP     | Generally Accepted Accounting Principles                               |
| GASB     | Governmental Accounting Standards Board                                |
| GDP      | Gross Domestic Product   |
| GEA      | Gap Elimination Adjustment   |
| GLIP     | Group Life Insurance Plan  |
| GO       | General Obligation   |
| GPHW     | General Public Health Work   |
| GSCs     | General State Charges  |
| GSEU     | Graduate Student Employees Union                                       |
| HASA     | HIV/AIDS Services Administration                                       |
| HCRA     | Health Care Reform Act   |
| HCTF     | Health Care Transformation Fund  |
| HESC     | Higher Education Services Corporation                                  |
| HUT      | Highway Use Tax  |
| IAAF     | Interim Access Assurance Fund  |
| IPCC     | Intergovernmental Panel on Climate Change                              |
| IRS      | Internal Revenue Service   |
| IT       | Information Technology   |
| ITS      | Information Technology Services  |
| LGAC     | Local Government Assistance Corporation                                |
| LICGC    | Life Insurance Company Guaranty Corporation                            |
| LICH     | Long Island College Hospital   |
| LLC      | Limited Liability Company  |
| MA       | Medicaid   |
| M/C      | Management/Confidential  |
| MCTD     | Metropolitan Commuter Transportation District                          |
| MH       | Mental Hygiene   |
| MMIS     | Medicaid Management Information System                                 |
| MRT      | Medicaid Redesign Team   |
| MSA      | Master Settlement Agreement  |
| MTA      | Metropolitan Transportation Authority                                  |
| NMS      | New Medical Site   |
| NORC     | Naturally Occurring Retirement Community                               |
| NPS      | Non-Personal Service   |
| NYC      | New York City  |
| NYS      | New York State   |
| NYSCOPBA | New York State Correctional Officers and Police Benevolent Association |
| NYSHIP   | New York State Health Insurance Program                                |
| NYSLRS   | New York State and Local Retirement System                             |



## Glossary of Acronyms

|         |  |
|---------|--|
| NYSOH   | New York State of Health   |
| NYSPIA  | New York State Police Investigators Association                                |
| NYSTA   | New York State Thruway Authority   |
| NYSTPBA | Police Benevolent Association of the New York State Troopers                   |
| NYU     | New York University  |
| OASAS   | Office of Alcoholism and Substance Abuse Services                              |
| OCA     | Office of Court Administration   |
| OCFS    | Office of Children and Family Services   |
| OMH     | Office of Mental Health  |
| OPEB    | Other Post-Employment Benefits   |
| OPWDD   | Office for People with Developmental Disabilities                              |
| ORP     | Optional Retirement Program  |
| OSC     | Office of the State Comptroller  |
| OTDA    | Office of Temporary and Disability Assistance                                  |
| PA      | Public Assistance  |
| PAYGO   | Pay-As-You-Go  |
| PBANYS  | Police Benevolent Association of New York State                                |
| PBT     | Petroleum Business Tax   |
| PEF     | Public Employees Federation  |
| PFRS    | Police and Fire Retirement System  |
| PI      | Personal Income  |
| PIGI    | Personal Income Growth Index   |
| PILOT   | Payments in Lieu of Taxes  |
| PIT     | Personal Income Tax  |
| PMT     | Payroll Mobility Tax   |
| PS      | Personal Service   |
| PwC     | PricewaterhouseCoopers LLP   |
| QCEW    | Quarterly Census of Employment and Wages                                       |
| QHP     | Qualified Health Plan (NYSOH)  |
| QIVAPP  | Quality Incentive Vital Access Provider Pool                                   |
| RBS     | RBS Financial Products Inc. (f/k/a Greenwich Capital Financial Products, Inc.) |
| RBTF    | Revenue Bond Tax Fund  |
| RFP     | Request for Proposals  |
| RPCI    | Roswell Park Cancer Institute  |
| RSSL    | Retirement and Social Security Law   |
| SALT    | State and Local Tax  |
| SCB     | Standard Chartered Bank  |
| SCB NY  | Standard Chartered Bank, New York Branch                                       |
| SED     | State Education Department   |
| SFY     | State Fiscal Year  |
| SIF     | State Insurance Fund   |
| SOF     | State Operating Funds  |
| SOFA    | State Office for the Aging   |
| SRO     | State Special Revenue  |
| SSI     | Supplemental Security Income   |
| STAR    | School Tax Relief  |
| STARC   | Sales Tax Asset Receivable Corporation   |
| STIP    | Short-Term Investment Pool   |
| SUFPK   | Statewide Universal Full-Day Prekindergarten                                   |
| SUNY    | State University of New York   |
| SY      | School Year  |
| TAP     | Tuition Assistance Program   |
| TCJA    | Tax Cuts and Jobs Act of 2017  |
| TRS     | Teachers' Retirement System  |
| TY      | Tax Year   |

# Glossary of Acronyms



|      |  |
|------|--|
| UBS  | UBS Securities LLC and UBS Real Estate Securities Inc. |
| UPK  | Universal Prekindergarten                              |
| UPL  | Upper Payment Limit                                    |
| URI  | Upstate Revitalization Initiative                      |
| U.S. | United States  |
| UUP  | United University Professions                          |
| VDC  | Voluntary Defined Contribution                         |
| VLТ  | Video Lottery Terminal                                 |
| WCB  | Workers' Compensation Board                            |



# **Financial Plan Tables and Accompanying Notes**



The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

## Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

## Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

### Governmental Funds

**General Fund** - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

**Special Revenue** - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

**Debt Service** - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

**Capital Projects** - These funds finance a variety of capital construction costs including: (a) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (b) highway, parkway and rail preservation projects; (c) outdoor recreation and environmental conservation projects; (d) buildings and other capital facilities required by various State departments and agencies; (e) payments to local governments to help finance their capital

programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (f) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

## **State Operating Funds**

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It is comprised of the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

## **Proprietary Funds**

**Internal Service Funds** - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

**Enterprise Funds** - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

## **Fiduciary Funds**

**Private-Purpose Trust Funds** - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

**Pension Trust Fund** - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

**Agency Funds** - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.



### Note 3 — Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

**Local Assistance Grants** - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

**PS** - Includes the payment of salaries and compensation for State employees.

**NPS** - Includes payments for operational costs such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

**GSCs** - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

**Fringe Benefits.** Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

**Fixed Costs.** For State Payments in Lieu of Taxes (PILOT) programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel, or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

**Debt Service** - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

**Capital Projects** - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

**Bond Proceeds** - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

**Operating Transfers** - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

## Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

**Tax Stabilization Reserve** - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

**Rainy Day Reserve** - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

**Contingency Reserve** - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

**Community Projects** - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2019 Enacted Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

**Debt Management** - Reserved for i) payment of principal, interest, or related expenses; ii) retiring or defeasing existing State-supported debt obligations, including accrued interest; and iii) funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

**Undesignated Fund Balance** - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

**Extraordinary Monetary Settlements** - Includes the balance of Extraordinary Monetary Settlements after planned uses.

## Note 5 — Items Affecting Annual Comparability

### Mental Hygiene Fund Reclassification

Beginning in FY 2019, spending from two State Special Revenue Fund accounts, the Mental Hygiene Program Fund and Patient Income Account, is reclassified to the General Fund to improve reporting transparency by eliminating large transfers between funds. The reclassification moves local assistance and operations spending, as well as the supporting revenue, into the General Fund, and eliminates transfers from the General Fund to the two Mental Hygiene State Special Revenue Fund accounts. In addition, roughly \$1.4 billion of fringe benefit spending associated with Mental Hygiene agencies will move from the respective agencies to the central GSCs budget.

### DOT/DMV Operating Cost Reclassification

Beginning in FY 2019, certain DOT and DMV operating costs related to snow and ice removal, bus, truck and rail inspection, and DMV regulatory activities are reclassified from the DHBTF to the General Fund. In the General Fund, the increased operating spending is offset by an identical reduction in the transfer to the DHBTF. The reclassification is intended to align operating and capital functions with their revenue sources.

### MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax is imposed on certain employers and self-employed individuals engaging in business within the MCTD. Through FY 2018, the State collected these taxes and fees on behalf of, and disbursed the entire amount to, the MTA. The Enacted Budget includes legislation directing that Mobility Tax collections be remitted directly to the MTA. This eliminated the pass-through of this tax and ensures more timely receipt by the MTA. The State Financial Plan will no longer include new tax receipts or their associated local assistance payments beginning in FY 2019. In FY 2019 the PMT receipts and disbursements that have been excluded are estimated at approximately \$1.5 billion and \$1.4 billion, respectively.

### Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Fiscal Year 2013, with the State assuming all growth in County Fiscal Year 2015.

## **STAR**

STAR spending in FY 2018 and FY 2019 is affected by the conversion of STAR benefits to State PIT credits. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers. It does, however, decrease the level of reported PIT receipts and reported disbursements for STAR on a State Operating Funds basis by an identical amount (estimated at \$775 million in FY 2018 and \$937 million in FY 2019).

## **Superstorm Sandy**

In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan period to continue the State's recovery from Superstorm Sandy.

## **Federal Health Care Transformation**

The ACA, effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH insurance exchange, which is the State's single point of access insurance marketplace, in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.

On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. This program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The DSRIP program expires in FY 2021.

The EP is a health insurance program authorized through the ACA. The FY 2016 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing-in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH insurance exchange, with the cost of insurance premiums subsidized by the State and Federal governments.

## **Note 6 — State Funding of SUNY Operating Support**

Effective with the 2013 academic year that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

## **Note 7 — Off-Budget Transactions**

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

## **Note 8 — General Fund/HCRA Combined Gap**

Current HCRA authorization ends April 1, 2020. HCRA is projected to remain balanced through FY 2022. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

## **Note 9 — Statewide Cash Flow Administration**

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, that result from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

## Note 10 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller's accounting practices shown in Exhibit A of the Comptroller's Annual Report to the Legislature.

## Note 11 — Temporary Loans Summary

The total outstanding loan balance as of March 31, 2018 was \$3.1 billion, comprised of activities that are financed initially by advances for bond-reimbursable capital spending pending receipt of bond proceeds; the State pending Federal receipts; State Special Revenue Funds; and Proprietary Funds. The loan balance as of March 31, 2017 was \$2.8 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

| <b>TEMPORARY LOANS OUTSTANDING</b> |                     |                     |                          |
|------------------------------------|---------------------|---------------------|--------------------------|
| <b>(millions of dollars)</b>       |                     |                     |                          |
|                                    | <b>March 31</b>     |                     | <b>Annual<br/>Change</b> |
|                                    | <b>2017</b>         | <b>2018</b>         |                          |
| <b>Total Loans Outstanding</b>     | <b><u>2,759</u></b> | <b><u>3,091</u></b> | <b><u>332</u></b>        |
| State Special Revenue Funds        | 298                 | 313                 | 15                       |
| Federal Funds                      | 980                 | 1,190               | 210                      |
| Capital Funds                      | 1,246               | 1,286               | 40                       |
| Proprietary Funds                  | 235                 | 302                 | 67                       |

## Note 12 — Adherence to 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2% Spending Benchmark” is the savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 unaudited results), assuming that the Governor continues to propose, and the Legislature continues to enact, a Budget in each fiscal year that restricts State Operating Funds spending growth to 2 percent. The “Net General Fund Surplus (Deficit) calculation assumes that all savings from holding spending growth to 2 percent are made available to the General Fund.

## Note 13 - List of Extraordinary Monetary Settlements Received/Expected

From the beginning of FY 2015, the State received or is currently expecting the following Extraordinary Monetary Settlements:

- BNPP paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between the DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy, and raised serious safety and soundness concerns for regulators. BNPP’s conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- BNP Paribas S.A. and BNP Paribas S.A., New York Branch (collectively “BNPP”) paid a \$350 million civil monetary penalty pursuant to a May 24, 2017 consent order between BNPP and the New York State DFS. This consent order pertains to BNPP’s significant and material failure with respect to implementing effective controls over its Foreign Exchange trading business.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG’s decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.



- Credit Suisse AG and Credit Suisse AG, New York Branch (together, “Credit Suisse”) paid a \$135 million civil monetary penalty pursuant to a November 13, 2017 consent order between Credit Suisse and the New York State DFS. This consent order pertains to Credit Suisse’s failure to comply with laws and regulations designed to combat improper, unsafe, and unsound conduct in the foreign exchange trading business.
- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks that processed the illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- In January 2017, Deutsche Bank Securities Inc. (“Deutsche Bank”) paid an \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank’s material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank’s routing technology.



- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") in accordance with a consent order between Deutsche Bank and DFS. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Barclays paid the State \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays' attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays' automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General of the State of New York and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney's office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties.
- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar-clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms resulting from BofA's violations of State law regarding the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.

- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar-clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Citigroup Inc. ("Citigroup") paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations into Citigroup by Federal and State entities.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively "Goldman") paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman's failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.

- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS’s investigation of PwC’s actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory’s performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS’s requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day’s violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking Laws.

- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, “Volkswagen”) paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen’s violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and DEC will administer, approximately \$128 million for air quality improvement programs in New York.
- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively “Volkswagen”) will pay \$157.4 million pursuant to a March 29, 2017 Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, “Porsche”) (Volkswagen and Porsche together, “Defendants”) and the Attorneys General of the States of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the Commonwealths of Massachusetts and Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against Defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) Federal, State and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State has been allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with State law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York State Banking Law.
- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between the NYS DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a rigorous compliance infrastructure, and inadequate attention to the state of compliance.

- PHH Mortgage and PHH Homes Loans, LLC (collectively “PHH Mortgage”) paid a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and DFS. This consent order pertained to PHH Mortgage’s failure to (i) maintain books, accounts, records, and files in an appropriate manner, (ii) adequately and accurately disclose certain fees, and (iii) comply with other laws and regulations.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between the NYS DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-2006 to process payments on behalf of Iranian clients and other entities.
- Habib Bank Limited and Habib Bank Limited, New York Branch (together “Habib Bank”) paid a \$225 million civil monetary penalty pursuant to a September 7, 2017 consent order between Habib Bank and DFS. This consent order pertains to Habib Bank’s failure to comply with laws and regulations designed to combat money laundering, terrorist financing, and other illicit financial transactions.
- Cigna Health and Life Insurance Company (“Cigna”) paid a \$2 million civil penalty pursuant to a November 14, 2017 consent order between Cigna and DFS. This consent order pertains to Cigna’s violation of laws and regulations by selling stop-loss and fully-insured health insurance policies out of state to New York-based small groups with employees in the State.
- Western Union Financial Services, Inc. (“Western Union”) paid a \$60 million civil monetary penalty pursuant to a January 4, 2018 consent order between Western Union and DFS. This consent order pertains to Western Union’s willful failure to comply with laws and regulations designed to combat money laundering and other illicit financial transactions.
- UBS Securities LLC and UBS Real Estate Securities Inc. (collectively, “UBS”) will pay \$41 million to New York State and another \$189 million in consumer relief credits in New York State pursuant to a March 20, 2018 settlement agreement between UBS and the New York State Office of the Attorney General. This settlement agreement pertains to UBS’s creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.
- RBS Financial Products Inc. f/k/a Greenwich Capital Financial Products, Inc. (“RBS”) will pay \$100 million to New York State and another \$400 million in consumer relief credits in New York State pursuant to a March 6, 2018 settlement agreement between RBS and the New York State Office of the Attorney General. This settlement agreement pertains to RBS’s creation, packaging, structuring, arrangement, underwriting, issuance, marketing and sale of residential mortgage-backed securities prior to January 1, 2009.

- Bank of America Corporation and Merrill Lynch, Pierce, Fenner and Smith Incorporated (collectively, "BofAML") will pay a \$42 million penalty to the State of New York pursuant to a March 22, 2018 settlement agreement between BofAML and the Office of the Attorney General of the State of New York. This settlement agreement pertains to BofAML's fraudulent conduct in connection with its electronic trading practices.
- Nationstar Mortgage LLC ("Nationstar") paid a \$5 million penalty pursuant to an April 11, 2018 consent order between Nationstar and DFS. This consent order pertains to Nationstar's violations of laws, regulations and applicable industry guidance, including (i) failure to obtain authorization for the use of multiple domain names; (ii) failure to maintain books, records and customer files; (iii) failure to fund mortgage loans within the timeframe set forth in various loan or other documents for individual borrowers; (iv) operation of two branch locations without authorization; (v) failure to maintain required documentation in servicing files; (vi) failure to maintain a schedule of fees on its website; (vii) failure to submit quarterly reports in a timely manner; and (viii) failure to file multiple 90-day pre-foreclosure notices.
- The Goldman Sachs Group, Inc. ("Goldman Sachs") paid a \$54.8 million civil monetary penalty pursuant to a May 1, 2018 Consent Order between Goldman Sachs and DFS. This consent order pertains to Goldman Sachs conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Lockton Affinity, LLC and Lockton Companies, LLC (collectively, "Lockton") paid a \$7 million civil monetary penalty pursuant to a May 2, 2018 consent order between Lockton and DFS. This consent order pertains to brokerage, administration, solicitation and marketing of insurance coverage that violated New York State laws and regulations, and submission of inaccurate affidavits required by the insurance law pertaining to excess lines insurance coverage.
- William Penn Life Insurance Company of New York ("William Penn") paid a \$6.3 million civil penalty pursuant to a May 3, 2018 consent order between William Penn and DFS. This consent order pertains to unapproved reinsurance transactions in 2014 through 2018, and materially inaccurate statements to DFS regarding such reinsurance transactions.
- Chubb Group Holdings Inc. and Illinois Union Insurance Company (collectively, "Chubb") paid a \$1.3 million civil monetary penalty pursuant to a May 7, 2018 consent order between Chubb and DFS. This consent order pertains to Chubb's issuance of insurance policies in New York State, or policies otherwise covering New York State residents, which may not be offered in the New York State excess line market. Chubb also issued liability insurance coverage to New York residents that failed to contain required liability insurance policy provisions.





## Financial Plan Tables and Accompanying Notes

- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively, “Deutsche Bank”), paid a \$205 million civil monetary penalty pursuant to a June 20, 2018 consent order between Deutsche Bank and DFS. This consent order pertains to Deutsche Bank conducting business in an improper, unsafe, and unsound manner by failing to implement effective controls over its foreign exchange business.
- Athene Life Insurance Company of New York (“Athene”) paid a \$15 million civil monetary penalty pursuant to a June 28, 2018 consent order between Athene and DFS. This consent order pertains to Athene’s failure to provide required information to about 15,000 policyholders from 2015 to 2017.
- American Family Life Assurance Company of New York paid a \$176,890 civil penalty pursuant to a July 2, 2018 consent order between American Family Life Assurance Company of New York and the New York State DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- Transamerica Financial Life Insurance Company paid a \$762,700 civil penalty pursuant to a July 2, 2018 consent order between Transamerica Financial Life Insurance Company and the New York State DFS. This consent order pertains to violations of New York State Insurance laws and regulations in connection with the life, annuities and accident and health insurance business.
- Wells Fargo & Company (“Wells Fargo”) will pay \$65 million penalty pursuant to an October 18, 2018 Settlement Agreement between Wells Fargo and the Office of the Attorney General of the State of New York. This Settlement Agreement pertains to Wells Fargo’s representations to investors regarding its cross-selling business model and publicly reported cross-sell metrics. This payment has not been reflected as the disposition of the funds by the Attorney General is not yet known.
- Mashreqbank, psc and Mashreqbank, psc, New York Branch (together, “Mashreqbank”) paid a \$40 million penalty pursuant to an October 10, 2018 Consent Order between Mashreqbank and the New York State DFS. This consent order pertains to Mashreqbank’s (i) failure to maintain an effective and compliant anti-money laundering program, and (ii) failure to maintain and make available appropriate books, accounts and records reflecting all transactions and actions.

## Note 14 – List of Settlement Uses (Prior to FY 2019 Enacted Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with Extraordinary Monetary Settlement funds reappropriated in FY 2019:

- **Thruway Stabilization (\$2.0 billion).** The \$2.0 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion).** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from Extraordinary Monetary Settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Affordable and Homeless Housing (\$640 million).** Settlement funds will augment the multi-year investment in affordable housing development and services (\$590 million), and provide housing opportunities for individuals and families who are homeless or at risk of homelessness (\$50 million). Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Health Care/Hospitals (\$600 million).** Provides \$555 million in grants to health care providers to facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities. The Plan also funds capital expenses of the RPCI (\$15.5 million); a community health care revolving loan (\$19.5 million); and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).
- **Broadband Initiative (\$500 million).** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Buffalo Billion Phase II (\$400 million).** The Financial Plan reflects an additional investment of \$400 million from Extraordinary Monetary Settlement funds to support the second phase of the Buffalo Billion initiative, which totals \$500 million.
- **Life Sciences (\$320 million).** The Financial Plan reflects the commitment of \$320 million from Extraordinary Monetary Settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.



- **MTA Capital Plan (\$315 million).** Includes \$250 million for the MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station. The project is expected to provide enhanced system resiliency, improvements in regional mobility, and construction of four new Metro-North stations in the Bronx. An additional \$65 million paid in FY 2018 was also provided for the MTA's 2015-2019 Capital Program.
- **Resiliency, Mitigation, Security, and Emergency Response (\$250 million).** Provides funding for preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and manmade disasters. Additionally, funding has been used for counterterrorism efforts in New York City, including increased security and anti-terror exercises at nine MTA-operated bridges and tunnels.
- **Transportation Capital Plan (\$200 million).** Provides funding for transportation infrastructure projects across the State.
- **Municipal Restructuring and Consolidation Competition (\$170 million).** Includes \$20 million in funding for a municipal consolidation competition to encourage the reduction of costs through a competitive process administered by the Department of State (DOS). This funding is in addition to \$150 million for the first Downtown Revitalization Initiative, and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that are intended to reduce operating costs and property tax burdens.
- **Transformative Economic Development Projects (\$150 million).** Includes funds to promote economic development in Nassau and Suffolk counties.
- **Environmental Protection Fund (EPF) (\$120 million).** This \$120 million and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, respond to problems caused by climate change, and reduce greenhouse gas emissions.
- **Infrastructure Improvements (\$115 million).** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Downtown Revitalization Round Two (\$100 million).** The Downtown Revitalization Initiative funds housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns.
- **Economic Development (\$85 million).** Funding for economic development with the intention of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

- Southern Tier/Hudson Valley Farm Initiative (\$50 million).** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- Empire State Poverty Reduction Initiative (ESPRI) (\$25 million).** The ESPRI will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- Non-MTA Transit (\$20 million).** Funds will be directed by the DOT toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions.

## Extraordinary Monetary Settlements - Cash Flow Management

Since 2015, the receipt of \$11.2 billion in Extraordinary Monetary Settlements has increased the State's cash on hand and improved its liquidity position. A large portion, \$7.8 billion, of the Extraordinary Monetary Settlements has been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Financial Plan continues to assume that Extraordinary Monetary Settlements will temporarily be used for two different purposes:

- Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2018 to support higher education, transportation, and economic development. This advance from settlement funds will be repaid when the State reimburses this capital spending with bond proceeds in FY 2018 (\$800 million) and FY 2019 (\$500 million).
- Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, spending for the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed from bond proceeds from planned issuances in FYs 2020 and 2021.

| TEMPORARY USE OF EXTRAORDINARY MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS<br>(millions of dollars) |                |            |            |            |            |          |
|---|----------------|------------|------------|------------|------------|----------|
|   | FY 2017        | FY 2018    | FY 2019    | FY 2020    | FY 2021    | Total    |
| <b>Total Settlement Funds Replenished/(Used)</b>  | <b>(1,300)</b> | <b>636</b> | <b>150</b> | <b>180</b> | <b>334</b> | <b>0</b> |
| Transfer to DIIF for Javits Center Expansion  | 0              | (164)      | (350)      | (320)      | (166)      | (1,000)  |
| Bond Proceed Receipts for Javits Center Expansion   | 0              | 0          | 0          | 500        | 500        | 1,000    |
| FY 2017 Temporary Loan to Capital Projects Fund   | (1,300)        | 1,300      | 0          | 0          | 0          | 0        |
| FY 2018 Temporary Loan to Capital Projects Fund   | 0              | (500)      | 500        | 0          | 0          | 0        |



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# Financial Plan Tables and Accompanying Notes

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**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

|   | <u>FY 2019<br/>Mid-Year</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|---|-----------------------------|------------------------------|------------------------------|------------------------------|
| <b>Receipts:</b>  |                             |                              |                              |                              |
| Taxes:  |                             |                              |                              |                              |
| Personal Income Tax   | 22,746                      | 24,559                       | 25,103                       | 26,326                       |
| Consumption/Use Taxes   | 7,647                       | 7,913                        | 8,185                        | 8,464                        |
| Business Taxes  | 5,626                       | 6,170                        | 6,470                        | 6,577                        |
| Other Taxes   | 1,051                       | 1,110                        | 1,173                        | 1,238                        |
| Miscellaneous Receipts  | 2,474                       | 2,028                        | 2,001                        | 1,883                        |
| Federal Receipts  | 0                           | 0                            | 0                            | 0                            |
| Transfers from Other Funds:   |                             |                              |                              |                              |
| PIT in Excess of Revenue Bond Debt Service  | 23,620                      | 24,646                       | 25,053                       | 25,813                       |
| ECEP in Excess of Revenue Bond Debt Service   | TBD                         | TBD                          | TBD                          | TBD                          |
| Sales Tax in Excess of LGAC Bond Debt Service   | 3,156                       | 3,293                        | 3,542                        | 3,810                        |
| Sales Tax in Excess of Revenue Bond Debt Service  | 2,642                       | 2,677                        | 2,428                        | 2,591                        |
| Real Estate Taxes in Excess of CW/CA Debt Service   | 998                         | 1,056                        | 1,097                        | 1,140                        |
| All Other   | 2,835                       | 2,459                        | 2,091                        | 1,762                        |
| <b>Total Receipts</b>   | <b><u>72,795</u></b>        | <b><u>75,911</u></b>         | <b><u>77,143</u></b>         | <b><u>79,604</u></b>         |
| <b>Disbursements:</b>   |                             |                              |                              |                              |
| Local Assistance  | 51,013                      | 53,851                       | 57,147                       | 59,320                       |
| State Operations:   |                             |                              |                              |                              |
| Personal Service  | 8,812                       | 9,001                        | 9,506                        | 9,437                        |
| Non-Personal Service  | 3,038                       | 3,079                        | 3,111                        | 3,162                        |
| General State Charges   | 7,392                       | 7,781                        | 8,319                        | 8,889                        |
| Transfers to Other Funds:   |                             |                              |                              |                              |
| Debt Service  | 775                         | 823                          | 904                          | 747                          |
| Capital Projects  | 2,635                       | 3,425                        | 3,171                        | 3,182                        |
| State Share of Mental Hygiene Medicaid  | 0                           | 0                            | 0                            | 0                            |
| SUNY Operations   | 1,020                       | 1,008                        | 1,004                        | 1,004                        |
| Other Purposes  | 1,099                       | 1,080                        | 1,158                        | 1,299                        |
| <b>Total Disbursements</b>  | <b><u>75,784</u></b>        | <b><u>80,048</u></b>         | <b><u>84,320</u></b>         | <b><u>87,040</u></b>         |
| <b>Use (Reservation) of Fund Balance:</b>   |                             |                              |                              |                              |
| Community Projects  | 13                          | 26                           | 7                            | 0                            |
| Undesignated Fund Balance   | 1,905                       | 0                            | 0                            | 0                            |
| Extraordinary Monetary Settlements  | 1,071                       | 1,041                        | 741                          | 885                          |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>2,989</u></b>         | <b><u>1,067</u></b>          | <b><u>748</u></b>            | <b><u>885</u></b>            |
| <b>Excess (Deficiency) of Receipts and Use (Reservation)<br/>of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>0</b>                    | <b>(3,070)</b>               | <b>(6,429)</b>               | <b>(6,551)</b>               |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>0</b>                    | <b>2,668</b>                 | <b>5,431</b>                 | <b>6,235</b>                 |
| <b>Net General Fund Surplus (Deficit)</b>   | <b><u>0</u></b>             | <b><u>(402)</u></b>          | <b><u>(998)</u></b>          | <b><u>(316)</u></b>          |

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

|   | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Mid-Year</b> | <b>Annual<br/>\$ Change</b> | <b>Annual<br/>% Change</b> |
|---|----------------------------|-----------------------------|-----------------------------|----------------------------|
| <b>Opening Fund Balance</b>                               | <b>7,749</b>               | <b>9,445</b>                | <b>1,696</b>                | <b>21.9%</b>               |
| <b>Receipts:</b>  |                            |                             |                             |                            |
| Taxes:  |                            |                             |                             |                            |
| Personal Income Tax                                       | 36,037                     | 22,746                      | (13,291)                    | -36.9%                     |
| Consumption/Use Taxes                                     | 7,377                      | 7,647                       | 270                         | 3.7%                       |
| Business Taxes  | 4,916                      | 5,626                       | 710                         | 14.4%                      |
| Other Taxes   | 1,326                      | 1,051                       | (275)                       | -20.7%                     |
| Miscellaneous Receipts                                    | 3,129                      | 2,474                       | (655)                       | -20.9%                     |
| Federal Receipts  | 0                          | 0                           | 0                           | 0.0%                       |
| Transfers from Other Funds:                               |                            |                             |                             |                            |
| PIT in Excess of Revenue Bond Debt Service                | 10,909                     | 23,620                      | 12,711                      | 116.5%                     |
| ECEP in Excess of Revenue Bond Debt Service               | 0                          | TBD                         | TBD                         | TBD                        |
| Sales Tax in Excess of LGAC Bond Debt Service             | 3,098                      | 3,156                       | 58                          | 1.9%                       |
| Sales Tax in Excess of Revenue Bond Debt Service          | 2,763                      | 2,642                       | (121)                       | -4.4%                      |
| Real Estate Taxes in Excess of CW/CA Debt Service         | 944                        | 998                         | 54                          | 5.7%                       |
| All Other   | 921                        | 2,835                       | 1,914                       | 207.8%                     |
| <b>Total Receipts</b>                                     | <b>71,420</b>              | <b>72,795</b>               | <b>1,375</b>                | <b>1.9%</b>                |
| <b>Disbursements:</b>                                     |                            |                             |                             |                            |
| Local Assistance  | 46,072                     | 51,013                      | 4,941                       | 10.7%                      |
| State Operations:   |                            |                             |                             |                            |
| Personal Service  | 6,136                      | 8,812                       | 2,676                       | 43.6%                      |
| Non-Personal Service                                      | 2,092                      | 3,038                       | 946                         | 45.2%                      |
| General State Charges                                     | 5,572                      | 7,392                       | 1,820                       | 32.7%                      |
| Transfers to Other Funds:                                 |                            |                             |                             |                            |
| Debt Service  | 1,047                      | 775                         | (272)                       | -26.0%                     |
| Capital Projects  | 2,191                      | 2,635                       | 444                         | 20.3%                      |
| State Share of Mental Hygiene Medicaid                    | 1,333                      | 0                           | (1,333)                     | -100.0%                    |
| SUNY Operations   | 1,015                      | 1,020                       | 5                           | 0.5%                       |
| Other Purposes  | 4,266                      | 1,099                       | (3,167)                     | -74.2%                     |
| <b>Total Disbursements</b>                                | <b>69,724</b>              | <b>75,784</b>               | <b>6,060</b>                | <b>8.7%</b>                |
| <b>Excess (Deficiency) of Receipts Over Disbursements</b> | <b>1,696</b>               | <b>(2,989)</b>              | <b>(4,685)</b>              | <b>-276.2%</b>             |
| <b>Closing Fund Balance</b>                               | <b>9,445</b>               | <b>6,456</b>                | <b>(2,989)</b>              | <b>-31.6%</b>              |
| <b>Statutory Reserves</b>                                 |                            |                             |                             |                            |
| Tax Stabilization Reserve                                 | 1,258                      | 1,258                       | 0                           |                            |
| Rainy Day Reserve   | 540                        | 540                         | 0                           |                            |
| Contingency Reserve                                       | 21                         | 21                          | 0                           |                            |
| Community Projects  | 46                         | 33                          | (13)                        |                            |
| <b>Reserved For</b>                                       |                            |                             |                             |                            |
| Potential Labor Agreements                                | 155                        | 155                         | 0                           |                            |
| Undesignated Fund Balance                                 | 1,905                      | 0                           | (1,905)                     |                            |
| Debt Management   | 500                        | 500                         | 0                           |                            |
| Extraordinary Monetary Settlements                        | 5,020                      | 3,949                       | (1,071)                     |                            |

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

|   | <u>FY 2019<br/>First Quarter</u> | <u>Change</u>       | <u>FY 2019<br/>Mid-Year</u> |
|---|----------------------------------|---------------------|-----------------------------|
| <b>Receipts:</b>  |                                  |                     |                             |
| Taxes:  |                                  |                     |                             |
| Personal Income Tax   | 22,746                           | 0                   | 22,746                      |
| Consumption/Use Taxes   | 7,647                            | 0                   | 7,647                       |
| Business Taxes  | 5,626                            | 0                   | 5,626                       |
| Other Taxes   | 1,051                            | 0                   | 1,051                       |
| Miscellaneous Receipts  | 2,422                            | 52                  | 2,474                       |
| Federal Receipts  | 0                                | 0                   | 0                           |
| Transfers from Other Funds:   |                                  |                     |                             |
| PIT in Excess of Revenue Bond Debt Service  | 23,714                           | (94)                | 23,620                      |
| ECEP in Excess of Revenue Bond Debt Service   | TBD                              | TBD                 | TBD                         |
| Sales Tax in Excess of LGAC Bond Debt Service   | 3,156                            | 0                   | 3,156                       |
| Sales Tax in Excess of Revenue Bond Debt Service  | 2,757                            | (115)               | 2,642                       |
| Real Estate Taxes in Excess of CW/CA Debt Service   | 998                              | 0                   | 998                         |
| All Other   | 2,823                            | 12                  | 2,835                       |
| <b>Total Receipts</b>   | <b><u>72,940</u></b>             | <b><u>(145)</u></b> | <b><u>72,795</u></b>        |
| <b>Disbursements:</b>   |                                  |                     |                             |
| Local Assistance  | 51,048                           | (35)                | 51,013                      |
| State Operations:   |                                  |                     |                             |
| Personal Service  | 8,726                            | 86                  | 8,812                       |
| Non-Personal Service  | 3,047                            | (9)                 | 3,038                       |
| General State Charges   | 7,525                            | (133)               | 7,392                       |
| Transfers to Other Funds:   |                                  |                     |                             |
| Debt Service  | 827                              | (52)                | 775                         |
| Capital Projects  | 3,257                            | (622)               | 2,635                       |
| State Share of Mental Hygiene Medicaid  | 0                                | 0                   | 0                           |
| SUNY Operations   | 1,034                            | (14)                | 1,020                       |
| Other Purposes  | 1,122                            | (23)                | 1,099                       |
| <b>Total Disbursements</b>  | <b><u>76,586</u></b>             | <b><u>(802)</u></b> | <b><u>75,784</u></b>        |
| <b>Use (Reservation) of Fund Balance:</b>   |                                  |                     |                             |
| Community Projects  | 29                               | (16)                | 13                          |
| Undesignated Fund Balance   | 1,905                            | 0                   | 1,905                       |
| Extraordinary Monetary Settlements  | 1,712                            | (641)               | 1,071                       |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>3,646</u></b>              | <b><u>(657)</u></b> | <b><u>2,989</u></b>         |
| <b>Excess (Deficiency) of Receipts and Use (Reservation)<br/>of Fund Balance Over Disbursements (Before 2% Adherence)</b> |                                  |                     |                             |
|   | <b>0</b>                         | <b>0</b>            | <b>0</b>                    |
| <b>Adherence to 2% Spending Benchmark</b>   |                                  |                     |                             |
|   | <b>0</b>                         | <b>0</b>            | <b>0</b>                    |
| <b>Net General Fund Surplus (Deficit)</b>   | <b><u>0</u></b>                  | <b><u>0</u></b>     | <b><u>0</u></b>             |

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

|   | <u>FY 2020<br/>First Quarter</u> | <u>Change</u>            | <u>FY 2020<br/>Mid-Year</u> |
|---|----------------------------------|--------------------------|-----------------------------|
| <b>Receipts:</b>  |                                  |                          |                             |
| Taxes:  |                                  |                          |                             |
| Personal Income Tax   | 24,559                           | 0                        | 24,559                      |
| Consumption/Use Taxes   | 7,913                            | 0                        | 7,913                       |
| Business Taxes  | 6,170                            | 0                        | 6,170                       |
| Other Taxes   | 1,110                            | 0                        | 1,110                       |
| Miscellaneous Receipts  | 2,028                            | 0                        | 2,028                       |
| Federal Receipts  | 0                                | 0                        | 0                           |
| Transfers from Other Funds:   |                                  |                          |                             |
| PIT in Excess of Revenue Bond Debt Service  | 24,260                           | 386                      | 24,646                      |
| ECEP in Excess of Revenue Bond Debt Service   | TBD                              | TBD                      | TBD                         |
| Sales Tax in Excess of LGAC Bond Debt Service   | 3,293                            | 0                        | 3,293                       |
| Sales Tax in Excess of Revenue Bond Debt Service  | 2,897                            | (220)                    | 2,677                       |
| Real Estate Taxes in Excess of CW/CA Debt Service   | 1,056                            | 0                        | 1,056                       |
| All Other   | 2,240                            | 219                      | 2,459                       |
| <b>Total Receipts</b>   | <u><b>75,526</b></u>             | <u><b>385</b></u>        | <u><b>75,911</b></u>        |
| <b>Disbursements:</b>   |                                  |                          |                             |
| Local Assistance  | 53,907                           | (56)                     | 53,851                      |
| State Operations:   |                                  |                          |                             |
| Personal Service  | 8,951                            | 50                       | 9,001                       |
| Non-Personal Service  | 3,125                            | (46)                     | 3,079                       |
| General State Charges   | 8,084                            | (303)                    | 7,781                       |
| Transfers to Other Funds:   |                                  |                          |                             |
| Debt Service  | 948                              | (125)                    | 823                         |
| Capital Projects  | 3,567                            | (142)                    | 3,425                       |
| State Share of Mental Hygiene Medicaid  | 0                                | 0                        | 0                           |
| SUNY Operations   | 1,025                            | (17)                     | 1,008                       |
| Other Purposes  | 1,103                            | (23)                     | 1,080                       |
| <b>Total Disbursements</b>  | <u><b>80,710</b></u>             | <u><b>(662)</b></u>      | <u><b>80,048</b></u>        |
| <b>Use (Reservation) of Fund Balance:</b>   |                                  |                          |                             |
| Community Projects  | 9                                | 17                       | 26                          |
| Extraordinary Monetary Settlements  | 1,148                            | (107)                    | 1,041                       |
| <b>Total Use (Reservation) of Fund Balance</b>  | <u><b>1,157</b></u>              | <u><b>(90)</b></u>       | <u><b>1,067</b></u>         |
| <b>Excess (Deficiency) of Receipts and Use (Reservation)<br/>of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <u><b>(4,027)</b></u>            | <u><b>957</b></u>        | <u><b>(3,070)</b></u>       |
| <b>Adherence to 2% Spending Benchmark</b>   | <u><b>3,247</b></u>              | <u><b>(579)</b></u>      | <u><b>2,668</b></u>         |
| <b>Net General Fund Surplus (Deficit)</b>   | <u><u><b>(780)</b></u></u>       | <u><u><b>378</b></u></u> | <u><u><b>(402)</b></u></u>  |

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

|   | <u>FY 2021<br/>First Quarter</u> | <u>Change</u>       | <u>FY 2021<br/>Mid-Year</u> |
|---|----------------------------------|---------------------|-----------------------------|
| <b>Receipts:</b>  |                                  |                     |                             |
| Taxes:  |                                  |                     |                             |
| Personal Income Tax   | 25,103                           | 0                   | 25,103                      |
| Consumption/Use Taxes   | 8,185                            | 0                   | 8,185                       |
| Business Taxes  | 6,470                            | 0                   | 6,470                       |
| Other Taxes   | 1,173                            | 0                   | 1,173                       |
| Miscellaneous Receipts  | 2,001                            | 0                   | 2,001                       |
| Federal Receipts  | 0                                | 0                   | 0                           |
| Transfers from Other Funds:   |                                  |                     |                             |
| PIT in Excess of Revenue Bond Debt Service  | 24,807                           | 246                 | 25,053                      |
| ECEP in Excess of Revenue Bond Debt Service   | TBD                              | TBD                 | TBD                         |
| Sales Tax in Excess of LGAC Bond Debt Service   | 3,542                            | 0                   | 3,542                       |
| Sales Tax in Excess of Revenue Bond Debt Service  | 2,750                            | (322)               | 2,428                       |
| Real Estate Taxes in Excess of CW/CA Debt Service   | 1,097                            | 0                   | 1,097                       |
| All Other   | 1,801                            | 290                 | 2,091                       |
| <b>Total Receipts</b>   | <b><u>76,929</u></b>             | <b><u>214</u></b>   | <b><u>77,143</u></b>        |
| <b>Disbursements:</b>   |                                  |                     |                             |
| Local Assistance  | 56,998                           | 149                 | 57,147                      |
| State Operations:   |                                  |                     |                             |
| Personal Service  | 9,456                            | 50                  | 9,506                       |
| Non-Personal Service  | 3,168                            | (57)                | 3,111                       |
| General State Charges   | 8,604                            | (285)               | 8,319                       |
| Transfers to Other Funds:   |                                  |                     |                             |
| Debt Service  | 1,017                            | (113)               | 904                         |
| Capital Projects  | 3,292                            | (121)               | 3,171                       |
| State Share of Mental Hygiene Medicaid  | 0                                | 0                   | 0                           |
| SUNY Operations   | 1,021                            | (17)                | 1,004                       |
| Other Purposes  | 1,182                            | (24)                | 1,158                       |
| <b>Total Disbursements</b>  | <b><u>84,738</u></b>             | <b><u>(418)</u></b> | <b><u>84,320</u></b>        |
| <b>Use (Reservation) of Fund Balance:</b>   |                                  |                     |                             |
| Community Projects  | 8                                | (1)                 | 7                           |
| Extraordinary Monetary Settlements  | 855                              | (114)               | 741                         |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>863</u></b>                | <b><u>(115)</u></b> | <b><u>748</u></b>           |
| <b>Excess (Deficiency) of Receipts and Use (Reservation)<br/>of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>(6,946)</b>                   | <b>517</b>          | <b>(6,429)</b>              |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>5,548</b>                     | <b>(117)</b>        | <b>5,431</b>                |
| <b>Net General Fund Surplus (Deficit)</b>   | <b><u>(1,398)</u></b>            | <b><u>400</u></b>   | <b><u>(998)</u></b>         |

**CASH FINANCIAL PLAN  
GENERAL FUND  
(millions of dollars)**

|   | <u>FY 2022<br/>First Quarter</u> | <u>Change</u>       | <u>FY 2022<br/>Mid-Year</u> |
|---|----------------------------------|---------------------|-----------------------------|
| <b>Receipts:</b>  |                                  |                     |                             |
| Taxes:  |                                  |                     |                             |
| Personal Income Tax   | 26,326                           | 0                   | 26,326                      |
| Consumption/Use Taxes   | 8,464                            | 0                   | 8,464                       |
| Business Taxes  | 6,577                            | 0                   | 6,577                       |
| Other Taxes   | 1,238                            | 0                   | 1,238                       |
| Miscellaneous Receipts  | 1,883                            | 0                   | 1,883                       |
| Federal Receipts  | 0                                | 0                   | 0                           |
| Transfers from Other Funds:   |                                  |                     |                             |
| PIT in Excess of Revenue Bond Debt Service  | 25,588                           | 225                 | 25,813                      |
| ECEP in Excess of Revenue Bond Debt Service   | TBD                              | TBD                 | TBD                         |
| Sales Tax in Excess of LGAC Bond Debt Service   | 3,810                            | 0                   | 3,810                       |
| Sales Tax in Excess of Revenue Bond Debt Service  | 2,958                            | (367)               | 2,591                       |
| Real Estate Taxes in Excess of CW/CA Debt Service   | 1,140                            | 0                   | 1,140                       |
| All Other   | 1,748                            | 14                  | 1,762                       |
| <b>Total Receipts</b>   | <b><u>79,732</u></b>             | <b><u>(128)</u></b> | <b><u>79,604</u></b>        |
| <b>Disbursements:</b>   |                                  |                     |                             |
| Local Assistance  | 59,461                           | (141)               | 59,320                      |
| State Operations:   |                                  |                     |                             |
| Personal Service  | 9,388                            | 49                  | 9,437                       |
| Non-Personal Service  | 3,201                            | (39)                | 3,162                       |
| General State Charges   | 9,127                            | (238)               | 8,889                       |
| Transfers to Other Funds:   |                                  |                     |                             |
| Debt Service  | 876                              | (129)               | 747                         |
| Capital Projects  | 2,897                            | 285                 | 3,182                       |
| State Share of Mental Hygiene Medicaid  | 0                                | 0                   | 0                           |
| SUNY Operations   | 1,021                            | (17)                | 1,004                       |
| Other Purposes  | 1,323                            | (24)                | 1,299                       |
| <b>Total Disbursements</b>  | <b><u>87,294</u></b>             | <b><u>(254)</u></b> | <b><u>87,040</u></b>        |
| <b>Use (Reservation) of Fund Balance:</b>   |                                  |                     |                             |
| Extraordinary Monetary Settlements  | 605                              | 280                 | 885                         |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>605</u></b>                | <b><u>280</u></b>   | <b><u>885</u></b>           |
| <b>Excess (Deficiency) of Receipts and Use (Reservation)<br/>of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>(6,957)</b>                   | <b>406</b>          | <b>(6,551)</b>              |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>6,470</b>                     | <b>(235)</b>        | <b>6,235</b>                |
| <b>Net General Fund Surplus (Deficit)</b>   | <b><u>(487)</u></b>              | <b><u>171</u></b>   | <b><u>(316)</u></b>         |

**CASH RECEIPTS  
GENERAL FUND  
(millions of dollars)**

|                                       | FY 2019<br>Mid-Year | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---------------------------------------|---------------------|----------------------|----------------------|----------------------|
| <b>Taxes:</b>                         |                     |                      |                      |                      |
| Withholdings                          | 41,782              | 43,033               | 44,024               | 46,144               |
| Estimated Payments                    | 16,221              | 18,157               | 17,802               | 18,960               |
| Final Payments                        | 2,599               | 2,748                | 2,908                | 3,032                |
| Other Payments                        | 1,500               | 1,564                | 1,601                | 1,671                |
| <b>Gross Collections</b>              | <b>62,102</b>       | <b>65,502</b>        | <b>66,335</b>        | <b>69,807</b>        |
| State/City Offset                     | (973)               | (799)                | (824)                | (849)                |
| Refunds                               | (10,719)            | (10,751)             | (10,501)             | (11,502)             |
| <b>Reported Tax Collections</b>       | <b>50,410</b>       | <b>53,952</b>        | <b>55,010</b>        | <b>57,456</b>        |
| STAR (Dedicated Deposits)             | (2,459)             | (2,417)              | (2,402)              | (2,402)              |
| RBTF (Dedicated Transfers)            | (25,205)            | (26,976)             | (27,505)             | (28,728)             |
| <b>Personal Income Tax</b>            | <b>22,746</b>       | <b>24,559</b>        | <b>25,103</b>        | <b>26,326</b>        |
| Sales and Use Tax                     | 14,114              | 14,664               | 15,224               | 15,796               |
| Cigarette and Tobacco Taxes           | 328                 | 316                  | 304                  | 294                  |
| Motor Fuel Tax                        | 0                   | 0                    | 0                    | 0                    |
| Alcoholic Beverage Taxes              | 262                 | 265                  | 269                  | 272                  |
| Medical Marihuana Excise Tax          | 0                   | 0                    | 0                    | 0                    |
| Highway Use Tax                       | 0                   | 0                    | 0                    | 0                    |
| Auto Rental Tax                       | 0                   | 0                    | 0                    | 0                    |
| Taxicab Surcharge                     | 0                   | 0                    | 0                    | 0                    |
| <b>Gross Consumption/Use Taxes</b>    | <b>14,704</b>       | <b>15,245</b>        | <b>15,797</b>        | <b>16,362</b>        |
| LGAC/STBF (Dedicated Transfers)       | (7,057)             | (7,332)              | (7,612)              | (7,898)              |
| <b>Consumption/Use Taxes</b>          | <b>7,647</b>        | <b>7,913</b>         | <b>8,185</b>         | <b>8,464</b>         |
| Corporation Franchise Tax             | 3,212               | 3,610                | 3,828                | 3,828                |
| Corporation and Utilities Tax         | 530                 | 537                  | 541                  | 547                  |
| Insurance Taxes                       | 1,762               | 1,963                | 2,101                | 2,202                |
| Bank Tax                              | 122                 | 60                   | 0                    | 0                    |
| Petroleum Business Tax                | 0                   | 0                    | 0                    | 0                    |
| <b>Business Taxes</b>                 | <b>5,626</b>        | <b>6,170</b>         | <b>6,470</b>         | <b>6,577</b>         |
| Estate Tax                            | 1,033               | 1,092                | 1,155                | 1,220                |
| Real Estate Transfer Tax              | 1,178               | 1,231                | 1,267                | 1,305                |
| Employer Compensation Expense Program | TBD                 | TBD                  | TBD                  | TBD                  |
| Gift Tax                              | 0                   | 0                    | 0                    | 0                    |
| Real Property Gains Tax               | 0                   | 0                    | 0                    | 0                    |
| Pari-Mutuel Taxes                     | 15                  | 15                   | 15                   | 15                   |
| Other Taxes                           | 3                   | 3                    | 3                    | 3                    |
| <b>Gross Other Taxes</b>              | <b>2,229</b>        | <b>2,341</b>         | <b>2,440</b>         | <b>2,543</b>         |
| Real Estate Transfer Tax (Dedicated)  | (1,178)             | (1,231)              | (1,267)              | (1,305)              |
| RBTF (Dedicated Transfers)            | TBD                 | TBD                  | TBD                  | TBD                  |
| <b>Other Taxes</b>                    | <b>1,051</b>        | <b>1,110</b>         | <b>1,173</b>         | <b>1,238</b>         |
| <b>Payroll Tax</b>                    | <b>0</b>            | <b>0</b>             | <b>0</b>             | <b>0</b>             |
| <b>Total Taxes</b>                    | <b>37,070</b>       | <b>39,752</b>        | <b>40,931</b>        | <b>42,605</b>        |
| Licenses, Fees, Etc.                  | 670                 | 692                  | 676                  | 676                  |
| Abandoned Property                    | 450                 | 450                  | 450                  | 450                  |
| Motor Vehicle Fees                    | 269                 | 285                  | 280                  | 210                  |
| ABC License Fee                       | 66                  | 66                   | 62                   | 68                   |
| Reimbursements                        | 107                 | 109                  | 107                  | 53                   |
| Investment Income                     | 40                  | 18                   | 8                    | 8                    |
| Extraordinary Settlements             | 443                 | 0                    | 0                    | 0                    |
| Other Transactions                    | 429                 | 408                  | 418                  | 418                  |
| <b>Miscellaneous Receipts</b>         | <b>2,474</b>        | <b>2,028</b>         | <b>2,001</b>         | <b>1,883</b>         |
| <b>Federal Receipts</b>               | <b>0</b>            | <b>0</b>             | <b>0</b>             | <b>0</b>             |
| <b>Total</b>                          | <b>39,544</b>       | <b>41,780</b>        | <b>42,932</b>        | <b>44,488</b>        |

**CURRENT STATE RECEIPTS  
GENERAL FUND  
(millions of dollars)**

|                                       | FY 2018<br>Results | FY 2019<br>Mid-Year | Annual<br>\$ Change | Annual<br>% Change |
|---------------------------------------|--------------------|---------------------|---------------------|--------------------|
| <b>Taxes:</b>                         |                    |                     |                     |                    |
| Withholdings                          | 40,269             | 41,782              | 1,513               | 3.8%               |
| Estimated Payments                    | 17,781             | 16,221              | (1,560)             | -8.8%              |
| Final Payments                        | 2,478              | 2,599               | 121                 | 4.9%               |
| Other Payments                        | 1,507              | 1,500               | (7)                 | -0.5%              |
| <b>Gross Collections</b>              | <b>62,035</b>      | <b>62,102</b>       | <b>67</b>           | <b>0.1%</b>        |
| State/City Offset                     | (856)              | (973)               | (117)               | -13.7%             |
| Refunds                               | (9,678)            | (10,719)            | (1,041)             | -10.8%             |
| <b>Reported Tax Collections</b>       | <b>51,501</b>      | <b>50,410</b>       | <b>(1,091)</b>      | <b>-2.1%</b>       |
| STAR (Dedicated Deposits)             | (2,589)            | (2,459)             | 130                 | 5.0%               |
| RBTF (Dedicated Transfers)            | (12,875)           | (25,205)            | (12,330)            | -95.8%             |
| <b>Personal Income Tax</b>            | <b>36,037</b>      | <b>22,746</b>       | <b>(13,291)</b>     | <b>-36.9%</b>      |
| Sales and Use Tax                     | 13,553             | 14,114              | 561                 | 4.1%               |
| Cigarette and Tobacco Taxes           | 342                | 328                 | (14)                | -4.1%              |
| Motor Fuel Tax                        | 0                  | 0                   | 0                   | 0.0%               |
| Alcoholic Beverage Taxes              | 259                | 262                 | 3                   | 1.2%               |
| Medical Marihuana Excise Tax          | 0                  | 0                   | 0                   | 0.0%               |
| Highway Use Tax                       | 0                  | 0                   | 0                   | 0.0%               |
| Auto Rental Tax                       | 0                  | 0                   | 0                   | 0.0%               |
| Taxicab Surcharge                     | 0                  | 0                   | 0                   | 0.0%               |
| <b>Gross Consumption/Use Taxes</b>    | <b>14,154</b>      | <b>14,704</b>       | <b>550</b>          | <b>3.9%</b>        |
| LGAC/STBF (Dedicated Transfers)       | (6,777)            | (7,057)             | (280)               | -4.1%              |
| <b>Consumption/Use Taxes</b>          | <b>7,377</b>       | <b>7,647</b>        | <b>270</b>          | <b>3.7%</b>        |
| Corporation Franchise Tax             | 2,326              | 3,212               | 886                 | 38.1%              |
| Corporation and Utilities Tax         | 570                | 530                 | (40)                | -7.0%              |
| Insurance Taxes                       | 1,610              | 1,762               | 152                 | 9.4%               |
| Bank Tax                              | 410                | 122                 | (288)               | -70.2%             |
| Petroleum Business Tax                | 0                  | 0                   | 0                   | 0.0%               |
| <b>Business Taxes</b>                 | <b>4,916</b>       | <b>5,626</b>        | <b>710</b>          | <b>14.4%</b>       |
| Estate Tax                            | 1,308              | 1,033               | (275)               | -21.0%             |
| Real Estate Transfer Tax              | 1,125              | 1,178               | 53                  | 4.7%               |
| Employer Compensation Expense Program | 0                  | TBD                 | TBD                 | TBD                |
| Gift Tax                              | 0                  | 0                   | 0                   | 0.0%               |
| Real Property Gains Tax               | 0                  | 0                   | 0                   | 0.0%               |
| Pari-Mutuel Taxes                     | 15                 | 15                  | 0                   | 0.0%               |
| Other Taxes                           | 3                  | 3                   | 0                   | 0.0%               |
| <b>Gross Other Taxes</b>              | <b>2,451</b>       | <b>2,229</b>        | <b>(222)</b>        | <b>-9.1%</b>       |
| Real Estate Transfer Tax (Dedicated)  | (1,125)            | (1,178)             | (53)                | -4.7%              |
| RBTF (Dedicated Transfers)            | 0                  | TBD                 | TBD                 | TBD                |
| <b>Other Taxes</b>                    | <b>1,326</b>       | <b>1,051</b>        | <b>(275)</b>        | <b>-20.7%</b>      |
| <b>Payroll Tax</b>                    | <b>0</b>           | <b>0</b>            | <b>0</b>            | <b>0.0%</b>        |
| <b>Total Taxes</b>                    | <b>49,656</b>      | <b>37,070</b>       | <b>(12,586)</b>     | <b>-25.3%</b>      |
| Licenses, Fees, Etc.                  | 669                | 670                 | 1                   | 0.1%               |
| Abandoned Property                    | 460                | 450                 | (10)                | -2.2%              |
| Motor Vehicle Fees                    | 252                | 269                 | 17                  | 6.7%               |
| ABC License Fee                       | 65                 | 66                  | 1                   | 1.5%               |
| Reimbursements                        | 275                | 107                 | (168)               | -61.1%             |
| Investment Income                     | 60                 | 40                  | (20)                | -33.3%             |
| Extraordinary Settlements             | 778                | 443                 | (335)               | -43.1%             |
| Other Transactions                    | 570                | 429                 | (141)               | -24.7%             |
| <b>Miscellaneous Receipts</b>         | <b>3,129</b>       | <b>2,474</b>        | <b>(655)</b>        | <b>-20.9%</b>      |
| <b>Federal Receipts</b>               | <b>0</b>           | <b>0</b>            | <b>0</b>            | <b>0.0%</b>        |
| <b>Total</b>                          | <b>52,785</b>      | <b>39,544</b>       | <b>(13,241)</b>     | <b>-25.1%</b>      |



**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2018**  
(millions of dollars)

|  | General<br>Fund | State Special<br>Revenue<br>Funds | Debt<br>Service<br>Funds | State<br>Operating<br>Funds<br>Total |
|--|-----------------|-----------------------------------|--------------------------|--------------------------------------|
| <b>Opening Fund Balance</b>  | <b>7,749</b>    | <b>3,732</b>                      | <b>144</b>               | <b>11,625</b>                        |
| <b>Receipts:</b>   |                 |                                   |                          |                                      |
| Taxes  | 49,656          | 7,639                             | 20,658                   | 77,953                               |
| Miscellaneous Receipts   | 3,129           | 17,734                            | 471                      | 21,334                               |
| Federal Receipts   | 0               | 1                                 | 73                       | 74                                   |
| <b>Total Receipts</b>  | <b>52,785</b>   | <b>25,374</b>                     | <b>21,202</b>            | <b>99,361</b>                        |
| <b>Disbursements:</b>  |                 |                                   |                          |                                      |
| Local Assistance   | 46,072          | 19,532                            | 0                        | 65,604                               |
| State Operations:  |                 |                                   |                          |                                      |
| Personal Service   | 6,136           | 7,034                             | 0                        | 13,170                               |
| Non-Personal Service   | 2,092           | 3,517                             | 42                       | 5,651                                |
| General State Charges  | 5,572           | 2,281                             | 0                        | 7,853                                |
| Debt Service   | 0               | 0                                 | 5,873                    | 5,873                                |
| Capital Projects   | 0               | 0                                 | 0                        | 0                                    |
| <b>Total Disbursements</b>   | <b>59,872</b>   | <b>32,364</b>                     | <b>5,915</b>             | <b>98,151</b>                        |
| <b>Other Financing Sources (Uses):</b>   |                 |                                   |                          |                                      |
| Transfers from Other Funds   | 18,635          | 7,949                             | 3,873                    | 30,457                               |
| Transfers to Other Funds   | (9,852)         | (682)                             | (19,151)                 | (29,685)                             |
| Bond and Note Proceeds   | 0               | 0                                 | 0                        | 0                                    |
| <b>Net Other Financing Sources (Uses)</b>  | <b>8,783</b>    | <b>7,267</b>                      | <b>(15,278)</b>          | <b>772</b>                           |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources (Uses) Over Disbursements</b> | <b>1,696</b>    | <b>277</b>                        | <b>9</b>                 | <b>1,982</b>                         |
| <b>Closing Fund Balance</b>  | <b>9,445</b>    | <b>4,009</b>                      | <b>153</b>               | <b>13,607</b>                        |

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2019  
(millions of dollars)**

|  | <b>General<br/>Fund</b> | <b>State Special<br/>Revenue<br/>Funds</b> | <b>Debt<br/>Service<br/>Funds</b> | <b>State<br/>Operating<br/>Funds<br/>Total</b> |
|--|-------------------------|--|-----------------------------------|--|
| <b>Opening Fund Balance</b>  | <b>9,445</b>            | <b>4,009</b>                               | <b>153</b>                        | <b>13,607</b>                                  |
| <b>Receipts:</b>   |                         |  |                                   |  |
| Taxes  | 37,070                  | 6,137                                      | 33,321                            | 76,528   |
| Miscellaneous Receipts   | 2,474                   | 17,578                                     | 498                               | 20,550   |
| Federal Receipts   | 0                       | 1  | 74                                | 75   |
| <b>Total Receipts</b>  | <b>39,544</b>           | <b>23,716</b>                              | <b>33,893</b>                     | <b>97,153</b>                                  |
| <b>Disbursements:</b>  |                         |  |                                   |  |
| Local Assistance   | 51,013                  | 15,687                                     | 0                                 | 66,700   |
| State Operations:  |                         |  |                                   |  |
| Personal Service   | 8,812                   | 4,904                                      | 0                                 | 13,716   |
| Non-Personal Service   | 3,038                   | 2,704                                      | 47                                | 5,789  |
| General State Charges  | 7,392                   | 1,018                                      | 0                                 | 8,410  |
| Debt Service   | 0                       | 0  | 5,520                             | 5,520  |
| Capital Projects   | 0                       | 0  | 0                                 | 0  |
| <b>Total Disbursements</b>   | <b>70,255</b>           | <b>24,313</b>                              | <b>5,567</b>                      | <b>100,135</b>                                 |
| <b>Other Financing Sources (Uses):</b>   |                         |  |                                   |  |
| Transfers from Other Funds   | 33,251                  | 2,123                                      | 3,599                             | 38,973   |
| Transfers to Other Funds   | (5,529)                 | (1,160)                                    | (31,906)                          | (38,595)                                       |
| Bond and Note Proceeds   | 0                       | 0  | 0                                 | 0  |
| <b>Net Other Financing Sources (Uses)</b>  | <b>27,722</b>           | <b>963</b>                                 | <b>(28,307)</b>                   | <b>378</b>                                     |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>(2,989)</b>          | <b>366</b>                                 | <b>19</b>                         | <b>(2,604)</b>                                 |
| <b>Closing Fund Balance</b>  | <b>6,456</b>            | <b>4,375</b>                               | <b>172</b>                        | <b>11,003</b>                                  |

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2020**  
(millions of dollars)

|   | General<br>Fund | State Special<br>Revenue<br>Funds | Debt<br>Service<br>Funds | State<br>Operating<br>Funds<br>Total |
|---|-----------------|-----------------------------------|--------------------------|--------------------------------------|
| <b>Receipts:</b>  |                 |                                   |                          |                                      |
| Taxes   | 39,752          | 6,178                             | 35,420                   | 81,350                               |
| Miscellaneous Receipts  | 2,028           | 17,259                            | 469                      | 19,756                               |
| Federal Receipts  | 0               | 1                                 | 73                       | 74                                   |
| <b>Total Receipts</b>   | <b>41,780</b>   | <b>23,438</b>                     | <b>35,962</b>            | <b>101,180</b>                       |
| <b>Disbursements:</b>   |                 |                                   |                          |                                      |
| Local Assistance  | 53,851          | 15,691                            | 0                        | 69,542                               |
| State Operations:   |                 |                                   |                          |                                      |
| Personal Service  | 9,001           | 4,989                             | 0                        | 13,990                               |
| Non-Personal Service  | 3,079           | 2,714                             | 47                       | 5,840                                |
| General State Charges   | 7,781           | 1,033                             | 0                        | 8,814                                |
| Debt Service  | 0               | 0                                 | 6,620                    | 6,620                                |
| Capital Projects  | 0               | 0                                 | 0                        | 0                                    |
| <b>Total Disbursements</b>  | <b>73,712</b>   | <b>24,427</b>                     | <b>6,667</b>             | <b>104,806</b>                       |
| <b>Other Financing Sources (Uses):</b>  |                 |                                   |                          |                                      |
| Transfers from Other Funds  | 34,131          | 2,101                             | 3,607                    | 39,839                               |
| Transfers to Other Funds  | (6,336)         | (1,084)                           | (32,874)                 | (40,294)                             |
| Bond and Note Proceeds  | 0               | 0                                 | 0                        | 0                                    |
| <b>Net Other Financing Sources (Uses)</b>   | <b>27,795</b>   | <b>1,017</b>                      | <b>(29,267)</b>          | <b>(455)</b>                         |
| <b>Use (Reservation) of Fund Balance:</b>   |                 |                                   |                          |                                      |
| Community Projects  | 26              | 0                                 | 0                        | 26                                   |
| Extraordinary Monetary Settlements  | 1,041           | 0                                 | 0                        | 1,041                                |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b>1,067</b>    | <b>0</b>                          | <b>0</b>                 | <b>1,067</b>                         |
| <b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>(3,070)</b>  | <b>28</b>                         | <b>28</b>                | <b>(3,014)</b>                       |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>2,668</b>    | <b>0</b>                          | <b>0</b>                 | <b>2,668</b>                         |
| <b>Net Surplus (Deficit)</b>  | <b>(402)</b>    | <b>28</b>                         | <b>28</b>                | <b>(346)</b>                         |

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2021**  
(millions of dollars)

|   | General<br>Fund | State Special<br>Revenue<br>Funds | Debt<br>Service<br>Funds | State<br>Operating<br>Funds<br>Total |
|---|-----------------|-----------------------------------|--------------------------|--------------------------------------|
| <b>Receipts:</b>  |                 |                                   |                          |                                      |
| Taxes   | 40,931          | 6,201                             | 36,265                   | 83,397                               |
| Miscellaneous Receipts  | 2,001           | 16,674                            | 469                      | 19,144                               |
| Federal Receipts  | 0               | 1                                 | 73                       | 74                                   |
| <b>Total Receipts</b>   | <b>42,932</b>   | <b>22,876</b>                     | <b>36,807</b>            | <b>102,615</b>                       |
| <b>Disbursements:</b>   |                 |                                   |                          |                                      |
| Local Assistance  | 57,147          | 15,519                            | 0                        | 72,666                               |
| State Operations:   |                 |                                   |                          |                                      |
| Personal Service  | 9,506           | 5,041                             | 0                        | 14,547                               |
| Non-Personal Service  | 3,111           | 2,724                             | 47                       | 5,882                                |
| General State Charges   | 8,319           | 1,077                             | 0                        | 9,396                                |
| Debt Service  | 0               | 0                                 | 7,121                    | 7,121                                |
| Capital Projects  | 0               | 0                                 | 0                        | 0                                    |
| <b>Total Disbursements</b>  | <b>78,083</b>   | <b>24,361</b>                     | <b>7,168</b>             | <b>109,612</b>                       |
| <b>Other Financing Sources (Uses):</b>  |                 |                                   |                          |                                      |
| Transfers from Other Funds  | 34,211          | 2,175                             | 3,566                    | 39,952                               |
| Transfers to Other Funds  | (6,237)         | (776)                             | (33,175)                 | (40,188)                             |
| Bond and Note Proceeds  | 0               | 0                                 | 0                        | 0                                    |
| <b>Net Other Financing Sources (Uses)</b>   | <b>27,974</b>   | <b>1,399</b>                      | <b>(29,609)</b>          | <b>(236)</b>                         |
| <b>Use (Reservation) of Fund Balance:</b>   |                 |                                   |                          |                                      |
| Community Projects  | 7               | 0                                 | 0                        | 7                                    |
| Extraordinary Monetary Settlements  | 741             | 0                                 | 0                        | 741                                  |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b>748</b>      | <b>0</b>                          | <b>0</b>                 | <b>748</b>                           |
| <b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>(6,429)</b>  | <b>(86)</b>                       | <b>30</b>                | <b>(6,485)</b>                       |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>5,431</b>    | <b>0</b>                          | <b>0</b>                 | <b>5,431</b>                         |
| <b>Net Surplus (Deficit)</b>  | <b>(998)</b>    | <b>(86)</b>                       | <b>30</b>                | <b>(1,054)</b>                       |

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2022**  
(millions of dollars)

|   | General<br>Fund | State Special<br>Revenue<br>Funds | Debt<br>Service<br>Funds | State<br>Operating<br>Funds<br>Total |
|---|-----------------|-----------------------------------|--------------------------|--------------------------------------|
| <b>Receipts:</b>  |                 |                                   |                          |                                      |
| Taxes   | 42,605          | 6,262                             | 37,812                   | 86,679                               |
| Miscellaneous Receipts  | 1,883           | 16,846                            | 468                      | 19,197                               |
| Federal Receipts  | 0               | 1                                 | 72                       | 73                                   |
| <b>Total Receipts</b>   | <b>44,488</b>   | <b>23,109</b>                     | <b>38,352</b>            | <b>105,949</b>                       |
| <b>Disbursements:</b>   |                 |                                   |                          |                                      |
| Local Assistance  | 59,320          | 15,753                            | 0                        | 75,073                               |
| State Operations:   |                 |                                   |                          |                                      |
| Personal Service  | 9,437           | 4,956                             | 0                        | 14,393                               |
| Non-Personal Service  | 3,162           | 2,765                             | 47                       | 5,974                                |
| General State Charges   | 8,889           | 1,074                             | 0                        | 9,963                                |
| Debt Service  | 0               | 0                                 | 7,097                    | 7,097                                |
| Capital Projects  | 0               | 0                                 | 0                        | 0                                    |
| <b>Total Disbursements</b>  | <b>80,808</b>   | <b>24,548</b>                     | <b>7,144</b>             | <b>112,500</b>                       |
| <b>Other Financing Sources (Uses):</b>  |                 |                                   |                          |                                      |
| Transfers from Other Funds  | 35,116          | 2,305                             | 3,236                    | 40,657                               |
| Transfers to Other Funds  | (6,232)         | (434)                             | (34,372)                 | (41,038)                             |
| Bond and Note Proceeds  | 0               | 0                                 | 0                        | 0                                    |
| <b>Net Other Financing Sources (Uses)</b>   | <b>28,884</b>   | <b>1,871</b>                      | <b>(31,136)</b>          | <b>(381)</b>                         |
| <b>Use (Reservation) of Fund Balance:</b>   |                 |                                   |                          |                                      |
| Extraordinary Monetary Settlements  | 885             | 0                                 | 0                        | 885                                  |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b>885</b>      | <b>0</b>                          | <b>0</b>                 | <b>885</b>                           |
| <b>Excess (Deficiency) of Receipts and Use (Reservation)<br/>of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>(6,551)</b>  | <b>432</b>                        | <b>72</b>                | <b>(6,047)</b>                       |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>6,235</b>    | <b>0</b>                          | <b>0</b>                 | <b>6,235</b>                         |
| <b>Net Surplus (Deficit)</b>  | <b>(316)</b>    | <b>432</b>                        | <b>72</b>                | <b>188</b>                           |

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
(millions of dollars)

|  | <u>FY 2018</u><br><u>Results</u> | <u>FY 2019</u><br><u>Mid-Year</u> | <u>Annual</u><br><u>\$ Change</u> | <u>Annual</u><br><u>% Change</u> |
|--|----------------------------------|-----------------------------------|-----------------------------------|----------------------------------|
| <b>Opening Fund Balance</b>  | <b>11,625</b>                    | <b>13,607</b>                     | <b>1,982</b>                      | <b>17.0%</b>                     |
| <b>Receipts:</b>   |                                  |                                   |                                   |                                  |
| Taxes  | 77,953                           | 76,528                            | (1,425)                           | -1.8%                            |
| Miscellaneous Receipts   | 21,334                           | 20,550                            | (784)                             | -3.7%                            |
| Federal Receipts   | 74                               | 75                                | 1                                 | 1.4%                             |
| <b>Total Receipts</b>  | <b>99,361</b>                    | <b>97,153</b>                     | <b>(2,208)</b>                    | <b>-2.2%</b>                     |
| <b>Disbursements:</b>  |                                  |                                   |                                   |                                  |
| Local Assistance   | 65,604                           | 66,700                            | 1,096                             | 1.7%                             |
| State Operations:  |                                  |                                   |                                   |                                  |
| Personal Service   | 13,170                           | 13,716                            | 546                               | 4.1%                             |
| Non-Personal Service   | 5,651                            | 5,789                             | 138                               | 2.4%                             |
| General State Charges  | 7,853                            | 8,410                             | 557                               | 7.1%                             |
| Debt Service   | 5,873                            | 5,520                             | (353)                             | -6.0%                            |
| Capital Projects   | 0                                | 0                                 | 0                                 | 0.0%                             |
| <b>Total Disbursements</b>   | <b>98,151</b>                    | <b>100,135</b>                    | <b>1,984</b>                      | <b>2.0%</b>                      |
| <b>Other Financing Sources (Uses):</b>   |                                  |                                   |                                   |                                  |
| Transfers from Other Funds   | 30,457                           | 38,973                            | 8,516                             | 28.0%                            |
| Transfers to Other Funds   | (29,685)                         | (38,595)                          | (8,910)                           | -30.0%                           |
| Bond and Note Proceeds   | 0                                | 0                                 | 0                                 | 0.0%                             |
| <b>Net Other Financing Sources (Uses)</b>  | <b>772</b>                       | <b>378</b>                        | <b>(394)</b>                      | <b>-51.0%</b>                    |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>1,982</b>                     | <b>(2,604)</b>                    | <b>(4,586)</b>                    | <b>-231.4%</b>                   |
| <b>Closing Fund Balance</b>  | <b>13,607</b>                    | <b>11,003</b>                     | <b>(2,604)</b>                    | <b>-19.1%</b>                    |

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2018  
(millions of dollars)**

|  | <b>General<br/>Fund</b> | <b>Special<br/>Revenue<br/>Funds</b> | <b>Capital<br/>Projects<br/>Funds</b> | <b>Debt<br/>Service<br/>Funds</b> | <b>All<br/>Funds<br/>Total</b> |
|--|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| <b>Opening Fund Balance</b>  | <b>7,749</b>            | <b>4,272</b>                         | <b>(1,060)</b>                        | <b>144</b>                        | <b>11,105</b>                  |
| <b>Receipts:</b>   |                         |                                      |                                       |                                   |                                |
| Taxes  | 49,656                  | 7,639                                | 1,313                                 | 20,658                            | 79,266                         |
| Miscellaneous Receipts   | 3,129                   | 17,933                               | 5,729                                 | 471                               | 27,262                         |
| Federal Receipts   | 0                       | 56,744                               | 2,125                                 | 73                                | 58,942                         |
| <b>Total Receipts</b>  | <b>52,785</b>           | <b>82,316</b>                        | <b>9,167</b>                          | <b>21,202</b>                     | <b>165,470</b>                 |
| <b>Disbursements:</b>  |                         |                                      |                                       |                                   |                                |
| Local Assistance   | 46,072                  | 72,126                               | 3,797                                 | 0                                 | 121,995                        |
| State Operations:  |                         |                                      |                                       |                                   |                                |
| Personal Service   | 6,136                   | 7,702                                | 0                                     | 0                                 | 13,838                         |
| Non-Personal Service   | 2,092                   | 4,886                                | 0                                     | 42                                | 7,020                          |
| General State Charges  | 5,572                   | 2,603                                | 0                                     | 0                                 | 8,175                          |
| Debt Service   | 0                       | 0                                    | 0                                     | 5,873                             | 5,873                          |
| Capital Projects   | 0                       | 0                                    | 6,843                                 | 0                                 | 6,843                          |
| <b>Total Disbursements</b>   | <b>59,872</b>           | <b>87,317</b>                        | <b>10,640</b>                         | <b>5,915</b>                      | <b>163,744</b>                 |
| <b>Other Financing Sources (Uses):</b>   |                         |                                      |                                       |                                   |                                |
| Transfers from Other Funds   | 18,635                  | 7,949                                | 2,607                                 | 3,873                             | 33,064                         |
| Transfers to Other Funds   | (9,852)                 | (2,918)                              | (1,385)                               | (19,151)                          | (33,306)                       |
| Bond and Note Proceeds   | 0                       | 0                                    | 160                                   | 0                                 | 160                            |
| <b>Net Other Financing Sources (Uses)</b>  | <b>8,783</b>            | <b>5,031</b>                         | <b>1,382</b>                          | <b>(15,278)</b>                   | <b>(82)</b>                    |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources (Uses) Over Disbursements</b> | <b>1,696</b>            | <b>30</b>                            | <b>(91)</b>                           | <b>9</b>                          | <b>1,644</b>                   |
| <b>Closing Fund Balance</b>  | <b>9,445</b>            | <b>4,302</b>                         | <b>(1,151)</b>                        | <b>153</b>                        | <b>12,749</b>                  |

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2019**  
(millions of dollars)

|  | General<br>Fund | Special<br>Revenue<br>Funds | Capital<br>Projects<br>Funds | Debt<br>Service<br>Funds | All<br>Funds<br>Total |
|--|-----------------|-----------------------------|------------------------------|--------------------------|-----------------------|
| <b>Opening Fund Balance</b>  | <u>9,445</u>    | <u>4,302</u>                | <u>(1,151)</u>               | <u>153</u>               | <u>12,749</u>         |
| <b>Receipts:</b>   |                 |                             |                              |                          |                       |
| Taxes  | 37,070          | 6,137                       | 1,395                        | 33,321                   | 77,923                |
| Miscellaneous Receipts   | 2,474           | 17,780                      | 7,426                        | 498                      | 28,178                |
| Federal Receipts   | 0               | 60,003                      | 2,433                        | 74                       | 62,510                |
| <b>Total Receipts</b>  | <u>39,544</u>   | <u>83,920</u>               | <u>11,254</u>                | <u>33,893</u>            | <u>168,611</u>        |
| <b>Disbursements:</b>  |                 |                             |                              |                          |                       |
| Local Assistance   | 51,013          | 71,553                      | 5,246                        | 0                        | 127,812               |
| State Operations:  |                 |                             |                              |                          |                       |
| Personal Service   | 8,812           | 5,565                       | 0                            | 0                        | 14,377                |
| Non-Personal Service   | 3,038           | 4,113                       | 0                            | 47                       | 7,198                 |
| General State Charges  | 7,392           | 1,364                       | 0                            | 0                        | 8,756                 |
| Debt Service   | 0               | 0                           | 0                            | 5,520                    | 5,520                 |
| Capital Projects   | 0               | 0                           | 7,924                        | 0                        | 7,924                 |
| <b>Total Disbursements</b>   | <u>70,255</u>   | <u>82,595</u>               | <u>13,170</u>                | <u>5,567</u>             | <u>171,587</u>        |
| <b>Other Financing Sources (Uses):</b>   |                 |                             |                              |                          |                       |
| Transfers from Other Funds   | 33,251          | 2,135                       | 2,982                        | 3,599                    | 41,967                |
| Transfers to Other Funds   | (5,529)         | (3,334)                     | (1,360)                      | (31,906)                 | (42,129)              |
| Bond and Note Proceeds   | 0               | 0                           | 744                          | 0                        | 744                   |
| <b>Net Other Financing Sources (Uses)</b>  | <u>27,722</u>   | <u>(1,199)</u>              | <u>2,366</u>                 | <u>(28,307)</u>          | <u>582</u>            |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <u>(2,989)</u>  | <u>126</u>                  | <u>450</u>                   | <u>19</u>                | <u>(2,394)</u>        |
| <b>Closing Fund Balance</b>  | <u>6,456</u>    | <u>4,428</u>                | <u>(701)</u>                 | <u>172</u>               | <u>10,355</u>         |



**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2020**  
(millions of dollars)

|   | <u>General<br/>Fund</u> | <u>Special<br/>Revenue<br/>Funds</u> | <u>Capital<br/>Projects<br/>Funds</u> | <u>Debt<br/>Service<br/>Funds</u> | <u>All<br/>Funds<br/>Total</u> |
|---|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| <b>Receipts:</b>  |                         |                                      |                                       |                                   |                                |
| Taxes   | 39,752                  | 6,178                                | 1,412                                 | 35,420                            | 82,762                         |
| Miscellaneous Receipts  | 2,028                   | 17,461                               | 6,516                                 | 469                               | 26,474                         |
| Federal Receipts  | 0                       | 61,070                               | 2,229                                 | 73                                | 63,372                         |
| <b>Total Receipts</b>   | <b><u>41,780</u></b>    | <b><u>84,709</u></b>                 | <b><u>10,157</u></b>                  | <b><u>35,962</u></b>              | <b><u>172,608</u></b>          |
| <b>Disbursements:</b>   |                         |                                      |                                       |                                   |                                |
| Local Assistance  | 53,851                  | 73,407                               | 5,383                                 | 0                                 | 132,641                        |
| State Operations:   |                         |                                      |                                       |                                   |                                |
| Personal Service  | 9,001                   | 5,642                                | 0                                     | 0                                 | 14,643                         |
| Non-Personal Service  | 3,079                   | 4,124                                | 0                                     | 47                                | 7,250                          |
| General State Charges   | 7,781                   | 1,362                                | 0                                     | 0                                 | 9,143                          |
| Debt Service  | 0                       | 0                                    | 0                                     | 6,620                             | 6,620                          |
| Capital Projects  | 0                       | 0                                    | 7,716                                 | 0                                 | 7,716                          |
| <b>Total Disbursements</b>  | <b><u>73,712</u></b>    | <b><u>84,535</u></b>                 | <b><u>13,099</u></b>                  | <b><u>6,667</u></b>               | <b><u>178,013</u></b>          |
| <b>Other Financing Sources (Uses):</b>  |                         |                                      |                                       |                                   |                                |
| Transfers from Other Funds  | 34,131                  | 2,113                                | 3,737                                 | 3,607                             | 43,588                         |
| Transfers to Other Funds  | (6,336)                 | (2,910)                              | (1,602)                               | (32,874)                          | (43,722)                       |
| Bond and Note Proceeds  | 0                       | 0                                    | 779                                   | 0                                 | 779                            |
| <b>Net Other Financing Sources (Uses)</b>   | <b><u>27,795</u></b>    | <b><u>(797)</u></b>                  | <b><u>2,914</u></b>                   | <b><u>(29,267)</u></b>            | <b><u>645</u></b>              |
| <b>Use (Reservation) of Fund Balance:</b>   |                         |                                      |                                       |                                   |                                |
| Community Projects  | 26                      | 0                                    | 0                                     | 0                                 | 26                             |
| Extraordinary Monetary Settlements  | 1,041                   | 0                                    | 0                                     | 0                                 | 1,041                          |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>1,067</u></b>     | <b><u>0</u></b>                      | <b><u>0</u></b>                       | <b><u>0</u></b>                   | <b><u>1,067</u></b>            |
| <b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b> |                         |                                      |                                       |                                   |                                |
|   | <b>(3,070)</b>          | <b>(623)</b>                         | <b>(28)</b>                           | <b>28</b>                         | <b>(3,693)</b>                 |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>2,668</b>            | <b>0</b>                             | <b>0</b>                              | <b>0</b>                          | <b>2,668</b>                   |
| <b>Net Surplus (Deficit)</b>  | <b><u>(402)</u></b>     | <b><u>(623)</u></b>                  | <b><u>(28)</u></b>                    | <b><u>28</u></b>                  | <b><u>(1,025)</u></b>          |

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2021**  
(millions of dollars)

|   | <u>General<br/>Fund</u> | <u>Special<br/>Revenue<br/>Funds</u> | <u>Capital<br/>Projects<br/>Funds</u> | <u>Debt<br/>Service<br/>Funds</u> | <u>All<br/>Funds<br/>Total</u> |
|---|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| <b>Receipts:</b>  |                         |                                      |                                       |                                   |                                |
| Taxes   | 40,931                  | 6,201                                | 1,409                                 | 36,265                            | 84,806                         |
| Miscellaneous Receipts  | 2,001                   | 16,879                               | 6,147                                 | 469                               | 25,496                         |
| Federal Receipts  | 0                       | 62,147                               | 2,187                                 | 73                                | 64,407                         |
| <b>Total Receipts</b>   | <b><u>42,932</u></b>    | <b><u>85,227</u></b>                 | <b><u>9,743</u></b>                   | <b><u>36,807</u></b>              | <b><u>174,709</u></b>          |
| <b>Disbursements:</b>   |                         |                                      |                                       |                                   |                                |
| Local Assistance  | 57,147                  | 73,891                               | 4,734                                 | 0                                 | 135,772                        |
| State Operations:   |                         |                                      |                                       |                                   |                                |
| Personal Service  | 9,506                   | 5,720                                | 0                                     | 0                                 | 15,226                         |
| Non-Personal Service  | 3,111                   | 4,103                                | 0                                     | 47                                | 7,261                          |
| General State Charges   | 8,319                   | 1,417                                | 0                                     | 0                                 | 9,736                          |
| Debt Service  | 0                       | 0                                    | 0                                     | 7,121                             | 7,121                          |
| Capital Projects  | 0                       | 0                                    | 7,473                                 | 0                                 | 7,473                          |
| <b>Total Disbursements</b>  | <b><u>78,083</u></b>    | <b><u>85,131</u></b>                 | <b><u>12,207</u></b>                  | <b><u>7,168</u></b>               | <b><u>182,589</u></b>          |
| <b>Other Financing Sources (Uses):</b>  |                         |                                      |                                       |                                   |                                |
| Transfers from Other Funds  | 34,211                  | 2,187                                | 3,433                                 | 3,566                             | 43,397                         |
| Transfers to Other Funds  | (6,237)                 | (2,529)                              | (1,598)                               | (33,175)                          | (43,539)                       |
| Bond and Note Proceeds  | 0                       | 0                                    | 609                                   | 0                                 | 609                            |
| <b>Net Other Financing Sources (Uses)</b>   | <b><u>27,974</u></b>    | <b><u>(342)</u></b>                  | <b><u>2,444</u></b>                   | <b><u>(29,609)</u></b>            | <b><u>467</u></b>              |
| <b>Use (Reservation) of Fund Balance:</b>   |                         |                                      |                                       |                                   |                                |
| Community Projects  | 7                       | 0                                    | 0                                     | 0                                 | 7                              |
| Extraordinary Monetary Settlements  | 741                     | 0                                    | 0                                     | 0                                 | 741                            |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>748</u></b>       | <b><u>0</u></b>                      | <b><u>0</u></b>                       | <b><u>0</u></b>                   | <b><u>748</u></b>              |
| <b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b> |                         |                                      |                                       |                                   |                                |
|   | <b>(6,429)</b>          | <b>(246)</b>                         | <b>(20)</b>                           | <b>30</b>                         | <b>(6,665)</b>                 |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>5,431</b>            | <b>0</b>                             | <b>0</b>                              | <b>0</b>                          | <b>5,431</b>                   |
| <b>Net Surplus (Deficit)</b>  | <b><u>(998)</u></b>     | <b><u>(246)</u></b>                  | <b><u>(20)</u></b>                    | <b><u>30</u></b>                  | <b><u>(1,234)</u></b>          |

**CASH FINANCIAL PLAN**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2022**  
(millions of dollars)

|   | <u>General<br/>Fund</u> | <u>Special<br/>Revenue<br/>Funds</u> | <u>Capital<br/>Projects<br/>Funds</u> | <u>Debt<br/>Service<br/>Funds</u> | <u>All<br/>Funds<br/>Total</u> |
|---|-------------------------|--------------------------------------|---------------------------------------|-----------------------------------|--------------------------------|
| <b>Receipts:</b>  |                         |                                      |                                       |                                   |                                |
| Taxes   | 42,605                  | 6,262                                | 1,405                                 | 37,812                            | 88,084                         |
| Miscellaneous Receipts  | 1,883                   | 17,051                               | 6,411                                 | 468                               | 25,813                         |
| Federal Receipts  | 0                       | 64,195                               | 2,187                                 | 72                                | 66,454                         |
| <b>Total Receipts</b>   | <b><u>44,488</u></b>    | <b><u>87,508</u></b>                 | <b><u>10,003</u></b>                  | <b><u>38,352</u></b>              | <b><u>180,351</u></b>          |
| <b>Disbursements:</b>   |                         |                                      |                                       |                                   |                                |
| Local Assistance  | 59,320                  | 75,639                               | 4,515                                 | 0                                 | 139,474                        |
| State Operations:   |                         |                                      |                                       |                                   |                                |
| Personal Service  | 9,437                   | 5,637                                | 0                                     | 0                                 | 15,074                         |
| Non-Personal Service  | 3,162                   | 4,153                                | 0                                     | 47                                | 7,362                          |
| General State Charges   | 8,889                   | 1,445                                | 0                                     | 0                                 | 10,334                         |
| Debt Service  | 0                       | 0                                    | 0                                     | 7,097                             | 7,097                          |
| Capital Projects  | 0                       | 0                                    | 7,696                                 | 0                                 | 7,696                          |
| <b>Total Disbursements</b>  | <b><u>80,808</u></b>    | <b><u>86,874</u></b>                 | <b><u>12,211</u></b>                  | <b><u>7,144</u></b>               | <b><u>187,037</u></b>          |
| <b>Other Financing Sources (Uses):</b>  |                         |                                      |                                       |                                   |                                |
| Transfers from Other Funds  | 35,116                  | 2,317                                | 3,420                                 | 3,236                             | 44,089                         |
| Transfers to Other Funds  | (6,232)                 | (2,153)                              | (1,479)                               | (34,372)                          | (44,236)                       |
| Bond and Note Proceeds  | 0                       | 0                                    | 263                                   | 0                                 | 263                            |
| <b>Net Other Financing Sources (Uses)</b>   | <b><u>28,884</u></b>    | <b><u>164</u></b>                    | <b><u>2,204</u></b>                   | <b><u>(31,136)</u></b>            | <b><u>116</u></b>              |
| <b>Use (Reservation) of Fund Balance:</b>   |                         |                                      |                                       |                                   |                                |
| Extraordinary Monetary Settlements  | 885                     | 0                                    | 0                                     | 0                                 | 885                            |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>885</u></b>       | <b><u>0</u></b>                      | <b><u>0</u></b>                       | <b><u>0</u></b>                   | <b><u>885</u></b>              |
| <b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b> |                         |                                      |                                       |                                   |                                |
|   | <b>(6,551)</b>          | <b>798</b>                           | <b>(4)</b>                            | <b>72</b>                         | <b>(5,685)</b>                 |
| <b>Adherence to 2% Spending Benchmark</b>   |                         |                                      |                                       |                                   |                                |
|   | <b>6,235</b>            | <b>0</b>                             | <b>0</b>                              | <b>0</b>                          | <b>6,235</b>                   |
| <b>Net Surplus (Deficit)</b>  | <b><u>(316)</u></b>     | <b><u>798</u></b>                    | <b><u>(4)</u></b>                     | <b><u>72</u></b>                  | <b><u>550</u></b>              |

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
(millions of dollars)**

|  | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>Annual<br/>\$ Change</u> | <u>Annual<br/>% Change</u> |
|--|----------------------------|-----------------------------|-----------------------------|----------------------------|
| <b>Opening Fund Balance</b>  | <b>11,105</b>              | <b>12,749</b>               | <b>1,644</b>                | <b>14.8%</b>               |
| <b>Receipts:</b>   |                            |                             |                             |                            |
| Taxes  | 79,266                     | 77,923                      | (1,343)                     | -1.7%                      |
| Miscellaneous Receipts   | 27,262                     | 28,178                      | 916                         | 3.4%                       |
| Federal Receipts   | 58,942                     | 62,510                      | 3,568                       | 6.1%                       |
| <b>Total Receipts</b>  | <b>165,470</b>             | <b>168,611</b>              | <b>3,141</b>                | <b>1.9%</b>                |
| <b>Disbursements:</b>  |                            |                             |                             |                            |
| Local Assistance   | 121,995                    | 127,812                     | 5,817                       | 4.8%                       |
| State Operations:  |                            |                             |                             |                            |
| Personal Service   | 13,838                     | 14,377                      | 539                         | 3.9%                       |
| Non-Personal Service   | 7,020                      | 7,198                       | 178                         | 2.5%                       |
| General State Charges  | 8,175                      | 8,756                       | 581                         | 7.1%                       |
| Debt Service   | 5,873                      | 5,520                       | (353)                       | -6.0%                      |
| Capital Projects   | 6,843                      | 7,924                       | 1,081                       | 15.8%                      |
| <b>Total Disbursements</b>   | <b>163,744</b>             | <b>171,587</b>              | <b>7,843</b>                | <b>4.8%</b>                |
| <b>Other Financing Sources (Uses):</b>   |                            |                             |                             |                            |
| Transfers from Other Funds   | 33,064                     | 41,967                      | 8,903                       | 26.9%                      |
| Transfers to Other Funds   | (33,306)                   | (42,129)                    | (8,823)                     | -26.5%                     |
| Bond and Note Proceeds   | 160                        | 744                         | 584                         | 365.0%                     |
| <b>Net Other Financing Sources (Uses)</b>  | <b>(82)</b>                | <b>582</b>                  | <b>664</b>                  | <b>809.8%</b>              |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources (Uses) Over Disbursements</b> | <b>1,644</b>               | <b>(2,394)</b>              | <b>(4,038)</b>              | <b>-245.6%</b>             |
| <b>Closing Fund Balance</b>  | <b>12,749</b>              | <b>10,355</b>               | <b>(2,394)</b>              | <b>-18.8%</b>              |

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2018**  
(millions of dollars)

|                                      | General<br>Fund | Special<br>Revenue<br>Funds | Capital<br>Projects<br>Funds | Debt<br>Service<br>Funds | Total          |
|--------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|----------------|
| <b>Taxes:</b>                        |                 |                             |                              |                          |                |
| Withholdings                         | 40,269          | 0                           | 0                            | 0                        | 40,269         |
| Estimated Payments                   | 17,781          | 0                           | 0                            | 0                        | 17,781         |
| Final Payments                       | 2,478           | 0                           | 0                            | 0                        | 2,478          |
| Other Payments                       | 1,507           | 0                           | 0                            | 0                        | 1,507          |
| <b>Gross Collections</b>             | <b>62,035</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>62,035</b>  |
| State/City Offset                    | (856)           | 0                           | 0                            | 0                        | (856)          |
| Refunds                              | (9,678)         | 0                           | 0                            | 0                        | (9,678)        |
| <b>Reported Tax Collections</b>      | <b>51,501</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>51,501</b>  |
| STAR (Dedicated Deposits)            | (2,589)         | 2,589                       | 0                            | 0                        | 0              |
| RBTF (Dedicated Transfers)           | (12,875)        | 0                           | 0                            | 12,875                   | 0              |
| <b>Personal Income Tax</b>           | <b>36,037</b>   | <b>2,589</b>                | <b>0</b>                     | <b>12,875</b>            | <b>51,501</b>  |
| Sales and Use Tax                    | 13,553          | 942                         | 0                            | 0                        | 14,495         |
| Cigarette and Tobacco Taxes          | 342             | 829                         | 0                            | 0                        | 1,171          |
| Motor Fuel Tax                       | 0               | 109                         | 403                          | 0                        | 512            |
| Alcoholic Beverage Taxes             | 259             | 0                           | 0                            | 0                        | 259            |
| Medical Marihuana Excise Tax         | 0               | 2                           | 0                            | 0                        | 2              |
| Highway Use Tax                      | 0               | 2                           | 91                           | 0                        | 93             |
| Auto Rental Tax                      | 0               | 45                          | 78                           | 0                        | 123            |
| Taxicab Surcharge                    | 0               | 56                          | 0                            | 0                        | 56             |
| <b>Gross Consumption/Use Taxes</b>   | <b>14,154</b>   | <b>1,985</b>                | <b>572</b>                   | <b>0</b>                 | <b>16,711</b>  |
| LGAC/STBF (Dedicated Transfers)      | (6,777)         | 0                           | 0                            | 6,777                    | 0              |
| <b>Consumption/Use Taxes</b>         | <b>7,377</b>    | <b>1,985</b>                | <b>572</b>                   | <b>6,777</b>             | <b>16,711</b>  |
| Corporation Franchise Tax            | 2,326           | 754                         | 0                            | 0                        | 3,080          |
| Corporation and Utilities Tax        | 570             | 164                         | 14                           | 0                        | 748            |
| Insurance Taxes                      | 1,610           | 167                         | 0                            | 0                        | 1,777          |
| Bank Tax                             | 410             | 57                          | 0                            | 0                        | 467            |
| Petroleum Business Tax               | 0               | 484                         | 608                          | 0                        | 1,092          |
| <b>Business Taxes</b>                | <b>4,916</b>    | <b>1,626</b>                | <b>622</b>                   | <b>0</b>                 | <b>7,164</b>   |
| Estate Tax                           | 1,308           | 0                           | 0                            | 0                        | 1,308          |
| Real Estate Transfer Tax             | 1,125           | 0                           | 0                            | 0                        | 1,125          |
| Gift Tax                             | 0               | 0                           | 0                            | 0                        | 0              |
| Real Property Gains Tax              | 0               | 0                           | 0                            | 0                        | 0              |
| Pari-Mutuel Taxes                    | 15              | 0                           | 0                            | 0                        | 15             |
| Other Taxes                          | 3               | 0                           | 0                            | 0                        | 3              |
| <b>Gross Other Taxes</b>             | <b>2,451</b>    | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>2,451</b>   |
| Real Estate Transfer Tax (Dedicated) | (1,125)         | 0                           | 119                          | 1,006                    | 0              |
| RBTF (Dedicated Transfers)           | 0               | 0                           | 0                            | 0                        | 0              |
| <b>Other Taxes</b>                   | <b>1,326</b>    | <b>0</b>                    | <b>119</b>                   | <b>1,006</b>             | <b>2,451</b>   |
| <b>Payroll Tax</b>                   | <b>0</b>        | <b>1,439</b>                | <b>0</b>                     | <b>0</b>                 | <b>1,439</b>   |
| <b>Total Taxes</b>                   | <b>49,656</b>   | <b>7,639</b>                | <b>1,313</b>                 | <b>20,658</b>            | <b>79,266</b>  |
| Licenses, Fees, Etc.                 | 669             | 0                           | 0                            | 0                        | 669            |
| Abandoned Property                   | 460             | 0                           | 0                            | 0                        | 460            |
| Motor Vehicle Fees                   | 252             | 414                         | 833                          | 0                        | 1,499          |
| ABC License Fee                      | 65              | 0                           | 0                            | 0                        | 65             |
| Reimbursements                       | 275             | 0                           | 0                            | 0                        | 275            |
| Investment Income                    | 60              | 0                           | 0                            | 0                        | 60             |
| Extraordinary Settlements            | 778             | 0                           | 0                            | 0                        | 778            |
| Other Transactions                   | 570             | 17,519                      | 4,896                        | 471                      | 23,456         |
| <b>Miscellaneous Receipts</b>        | <b>3,129</b>    | <b>17,933</b>               | <b>5,729</b>                 | <b>471</b>               | <b>27,262</b>  |
| <b>Federal Receipts</b>              | <b>0</b>        | <b>56,744</b>               | <b>2,125</b>                 | <b>73</b>                | <b>58,942</b>  |
| <b>Total</b>                         | <b>52,785</b>   | <b>82,316</b>               | <b>9,167</b>                 | <b>21,202</b>            | <b>165,470</b> |

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2019**  
(millions of dollars)

|                                       | General<br>Fund | Special<br>Revenue<br>Funds | Capital<br>Projects<br>Funds | Debt<br>Service<br>Funds | Total          |
|---------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|----------------|
| <b>Taxes:</b>                         |                 |                             |                              |                          |                |
| Withholdings                          | 41,782          | 0                           | 0                            | 0                        | 41,782         |
| Estimated Payments                    | 16,221          | 0                           | 0                            | 0                        | 16,221         |
| Final Payments                        | 2,599           | 0                           | 0                            | 0                        | 2,599          |
| Other Payments                        | <b>1,500</b>    | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>1,500</b>   |
| <b>Gross Collections</b>              | <b>62,102</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>62,102</b>  |
| State/City Offset                     | (973)           | 0                           | 0                            | 0                        | (973)          |
| Refunds                               | (10,719)        | 0                           | 0                            | 0                        | (10,719)       |
| <b>Reported Tax Collections</b>       | <b>50,410</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>50,410</b>  |
| STAR (Dedicated Deposits)             | (2,459)         | 2,459                       | 0                            | 0                        | 0              |
| RBTF (Dedicated Transfers)            | (25,205)        | 0                           | 0                            | 25,205                   | 0              |
| <b>Personal Income Tax</b>            | <b>22,746</b>   | <b>2,459</b>                | <b>0</b>                     | <b>25,205</b>            | <b>50,410</b>  |
| Sales and Use Tax                     | 14,114          | 972                         | 0                            | 0                        | 15,086         |
| Cigarette and Tobacco Taxes           | 328             | 791                         | 0                            | 0                        | 1,119          |
| Motor Fuel Tax                        | 0               | 107                         | 405                          | 0                        | 512            |
| Alcoholic Beverage Taxes              | 262             | 0                           | 0                            | 0                        | 262            |
| Medical Marihuana Excise Tax          | 0               | 2                           | 0                            | 0                        | 2              |
| Highway Use Tax                       | 0               | 3                           | 139                          | 0                        | 142            |
| Auto Rental Tax                       | 0               | 49                          | 81                           | 0                        | 130            |
| Taxicab Surcharge                     | 0               | 50                          | 0                            | 0                        | 50             |
| <b>Gross Consumption/Use Taxes</b>    | <b>14,704</b>   | <b>1,974</b>                | <b>625</b>                   | <b>0</b>                 | <b>17,303</b>  |
| LGAC/STBF (Dedicated Transfers)       | (7,057)         | 0                           | 0                            | 7,057                    | 0              |
| <b>Consumption/Use Taxes</b>          | <b>7,647</b>    | <b>1,974</b>                | <b>625</b>                   | <b>7,057</b>             | <b>17,303</b>  |
| Corporation Franchise Tax             | 3,212           | 815                         | 0                            | 0                        | 4,027          |
| Corporation and Utilities Tax         | 530             | 156                         | 14                           | 0                        | 700            |
| Insurance Taxes                       | 1,762           | 214                         | 0                            | 0                        | 1,976          |
| Bank Tax                              | 122             | 20                          | 0                            | 0                        | 142            |
| Petroleum Business Tax                | 0               | 499                         | 637                          | 0                        | 1,136          |
| <b>Business Taxes</b>                 | <b>5,626</b>    | <b>1,704</b>                | <b>651</b>                   | <b>0</b>                 | <b>7,981</b>   |
| Estate Tax                            | 1,033           | 0                           | 0                            | 0                        | 1,033          |
| Real Estate Transfer Tax              | 1,178           | 0                           | 0                            | 0                        | 1,178          |
| Employer Compensation Expense Program | TBD             | 0                           | 0                            | TBD                      | TBD            |
| Gift Tax                              | 0               | 0                           | 0                            | 0                        | 0              |
| Real Property Gains Tax               | 0               | 0                           | 0                            | 0                        | 0              |
| Pari-Mutuel Taxes                     | 15              | 0                           | 0                            | 0                        | 15             |
| Other Taxes                           | 3               | 0                           | 0                            | 0                        | 3              |
| <b>Gross Other Taxes</b>              | <b>2,229</b>    | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>2,229</b>   |
| Real Estate Transfer Tax (Dedicated)  | (1,178)         | 0                           | 119                          | 1,059                    | 0              |
| RBTF (Dedicated Transfers)            | TBD             | 0                           | 0                            | TBD                      | TBD            |
| <b>Other Taxes</b>                    | <b>1,051</b>    | <b>0</b>                    | <b>119</b>                   | <b>1,059</b>             | <b>2,229</b>   |
| <b>Payroll Tax</b>                    | <b>0</b>        | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>0</b>       |
| <b>Total Taxes</b>                    | <b>37,070</b>   | <b>6,137</b>                | <b>1,395</b>                 | <b>33,321</b>            | <b>77,923</b>  |
| Licenses, Fees, Etc.                  | 670             | 0                           | 0                            | 0                        | 670            |
| Abandoned Property                    | 450             | 0                           | 0                            | 0                        | 450            |
| Motor Vehicle Fees                    | 269             | 422                         | 808                          | 0                        | 1,499          |
| ABC License Fee                       | 66              | 0                           | 0                            | 0                        | 66             |
| Reimbursements                        | 107             | 0                           | 0                            | 0                        | 107            |
| Investment Income                     | 40              | 0                           | 0                            | 0                        | 40             |
| Extraordinary Settlements             | 443             | 0                           | 0                            | 0                        | 443            |
| Other Transactions                    | 429             | 17,358                      | 6,618                        | 498                      | 24,903         |
| <b>Miscellaneous Receipts</b>         | <b>2,474</b>    | <b>17,780</b>               | <b>7,426</b>                 | <b>498</b>               | <b>28,178</b>  |
| <b>Federal Receipts</b>               | <b>0</b>        | <b>60,003</b>               | <b>2,433</b>                 | <b>74</b>                | <b>62,510</b>  |
| <b>Total</b>                          | <b>39,544</b>   | <b>83,920</b>               | <b>11,254</b>                | <b>33,893</b>            | <b>168,611</b> |

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2020**  
(millions of dollars)

|                                       | General<br>Fund | Special<br>Revenue<br>Funds | Capital<br>Projects<br>Funds | Debt<br>Service<br>Funds | Total          |
|---------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|----------------|
| <b>Taxes:</b>                         |                 |                             |                              |                          |                |
| Withholdings                          | 43,033          | 0                           | 0                            | 0                        | 43,033         |
| Estimated Payments                    | 18,157          | 0                           | 0                            | 0                        | 18,157         |
| Final Payments                        | 2,748           | 0                           | 0                            | 0                        | 2,748          |
| Other Payments                        | 1,564           | 0                           | 0                            | 0                        | 1,564          |
| <b>Gross Collections</b>              | <b>65,502</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>65,502</b>  |
| State/City Offset                     | (799)           | 0                           | 0                            | 0                        | (799)          |
| Refunds                               | (10,751)        | 0                           | 0                            | 0                        | (10,751)       |
| <b>Reported Tax Collections</b>       | <b>53,952</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>53,952</b>  |
| STAR (Dedicated Deposits)             | (2,417)         | 2,417                       | 0                            | 0                        | 0              |
| RBTF (Dedicated Transfers)            | (26,976)        | 0                           | 0                            | 26,976                   | 0              |
| <b>Personal Income Tax</b>            | <b>24,559</b>   | <b>2,417</b>                | <b>0</b>                     | <b>26,976</b>            | <b>53,952</b>  |
| Sales and Use Tax                     | 14,664          | 1,006                       | 0                            | 0                        | 15,670         |
| Cigarette and Tobacco Taxes           | 316             | 752                         | 0                            | 0                        | 1,068          |
| Motor Fuel Tax                        | 0               | 106                         | 401                          | 0                        | 507            |
| Alcoholic Beverage Taxes              | 265             | 0                           | 0                            | 0                        | 265            |
| Medical Marijuana Excise Tax          | 0               | 2                           | 0                            | 0                        | 2              |
| Highway Use Tax                       | 0               | 2                           | 140                          | 0                        | 142            |
| Auto Rental Tax                       | 0               | 51                          | 84                           | 0                        | 135            |
| Taxicab Surcharge                     | 0               | 50                          | 0                            | 0                        | 50             |
| <b>Gross Consumption/Use Taxes</b>    | <b>15,245</b>   | <b>1,969</b>                | <b>625</b>                   | <b>0</b>                 | <b>17,839</b>  |
| LGAC/STBF (Dedicated Transfers)       | (7,332)         | 0                           | 0                            | 7,332                    | 0              |
| <b>Consumption/Use Taxes</b>          | <b>7,913</b>    | <b>1,969</b>                | <b>625</b>                   | <b>7,332</b>             | <b>17,839</b>  |
| Corporation Franchise Tax             | 3,610           | 872                         | 0                            | 0                        | 4,482          |
| Corporation and Utilities Tax         | 537             | 159                         | 14                           | 0                        | 710            |
| Insurance Taxes                       | 1,963           | 238                         | 0                            | 0                        | 2,201          |
| Bank Tax                              | 60              | 11                          | 0                            | 0                        | 71             |
| Petroleum Business Tax                | 0               | 512                         | 654                          | 0                        | 1,166          |
| <b>Business Taxes</b>                 | <b>6,170</b>    | <b>1,792</b>                | <b>668</b>                   | <b>0</b>                 | <b>8,630</b>   |
| Estate Tax                            | 1,092           | 0                           | 0                            | 0                        | 1,092          |
| Real Estate Transfer Tax              | 1,231           | 0                           | 0                            | 0                        | 1,231          |
| Employer Compensation Expense Program | TBD             | 0                           | 0                            | TBD                      | TBD            |
| Gift Tax                              | 0               | 0                           | 0                            | 0                        | 0              |
| Real Property Gains Tax               | 0               | 0                           | 0                            | 0                        | 0              |
| Pari-Mutuel Taxes                     | 15              | 0                           | 0                            | 0                        | 15             |
| Other Taxes                           | 3               | 0                           | 0                            | 0                        | 3              |
| <b>Gross Other Taxes</b>              | <b>2,341</b>    | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>2,341</b>   |
| Real Estate Transfer Tax (Dedicated)  | (1,231)         | 0                           | 119                          | 1,112                    | 0              |
| RBTF (Dedicated Transfers)            | TBD             | 0                           | 0                            | TBD                      | TBD            |
| <b>Other Taxes</b>                    | <b>1,110</b>    | <b>0</b>                    | <b>119</b>                   | <b>1,112</b>             | <b>2,341</b>   |
| <b>Payroll Tax</b>                    | <b>0</b>        | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>0</b>       |
| <b>Total Taxes</b>                    | <b>39,752</b>   | <b>6,178</b>                | <b>1,412</b>                 | <b>35,420</b>            | <b>82,762</b>  |
| Licenses, Fees, Etc.                  | 692             | 0                           | 0                            | 0                        | 692            |
| Abandoned Property                    | 450             | 0                           | 0                            | 0                        | 450            |
| Motor Vehicle Fees                    | 285             | 425                         | 794                          | 0                        | 1,504          |
| ABC License Fee                       | 66              | 0                           | 0                            | 0                        | 66             |
| Reimbursements                        | 109             | 0                           | 0                            | 0                        | 109            |
| Investment Income                     | 18              | 0                           | 0                            | 0                        | 18             |
| Extraordinary Settlements             | 0               | 0                           | 0                            | 0                        | 0              |
| Other Transactions                    | 408             | 17,036                      | 5,722                        | 469                      | 23,635         |
| <b>Miscellaneous Receipts</b>         | <b>2,028</b>    | <b>17,461</b>               | <b>6,516</b>                 | <b>469</b>               | <b>26,474</b>  |
| <b>Federal Receipts</b>               | <b>0</b>        | <b>61,070</b>               | <b>2,229</b>                 | <b>73</b>                | <b>63,372</b>  |
| <b>Total</b>                          | <b>41,780</b>   | <b>84,709</b>               | <b>10,157</b>                | <b>35,962</b>            | <b>172,608</b> |

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2021**  
(millions of dollars)

|                                       | General<br>Fund | Special<br>Revenue<br>Funds | Capital<br>Projects<br>Funds | Debt<br>Service<br>Funds | Total          |
|---------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|----------------|
| <b>Taxes:</b>                         |                 |                             |                              |                          |                |
| Withholdings                          | 44,024          | 0                           | 0                            | 0                        | 44,024         |
| Estimated Payments                    | 17,802          | 0                           | 0                            | 0                        | 17,802         |
| Final Payments                        | 2,908           | 0                           | 0                            | 0                        | 2,908          |
| Other Payments                        | 1,601           | 0                           | 0                            | 0                        | 1,601          |
| <b>Gross Collections</b>              | <b>66,335</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>66,335</b>  |
| State/City Offset                     | (824)           | 0                           | 0                            | 0                        | (824)          |
| Refunds                               | (10,501)        | 0                           | 0                            | 0                        | (10,501)       |
| <b>Reported Tax Collections</b>       | <b>55,010</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>55,010</b>  |
| STAR (Dedicated Deposits)             | (2,402)         | 2,402                       | 0                            | 0                        | 0              |
| RBTF (Dedicated Transfers)            | (27,505)        | 0                           | 0                            | 27,505                   | 0              |
| <b>Personal Income Tax</b>            | <b>25,103</b>   | <b>2,402</b>                | <b>0</b>                     | <b>27,505</b>            | <b>55,010</b>  |
| Sales and Use Tax                     | 15,224          | 1,039                       | 0                            | 0                        | 16,263         |
| Cigarette and Tobacco Taxes           | 304             | 716                         | 0                            | 0                        | 1,020          |
| Motor Fuel Tax                        | 0               | 105                         | 399                          | 0                        | 504            |
| Alcoholic Beverage Taxes              | 269             | 0                           | 0                            | 0                        | 269            |
| Medical Marihuana Excise Tax          | 0               | 2                           | 0                            | 0                        | 2              |
| Highway Use Tax                       | 0               | 2                           | 141                          | 0                        | 143            |
| Auto Rental Tax                       | 0               | 52                          | 87                           | 0                        | 139            |
| Taxicab Surcharge                     | 0               | 50                          | 0                            | 0                        | 50             |
| <b>Gross Consumption/Use Taxes</b>    | <b>15,797</b>   | <b>1,966</b>                | <b>627</b>                   | <b>0</b>                 | <b>18,390</b>  |
| LGAC/STBF (Dedicated Transfers)       | (7,612)         | 0                           | 0                            | 7,612                    | 0              |
| <b>Consumption/Use Taxes</b>          | <b>8,185</b>    | <b>1,966</b>                | <b>627</b>                   | <b>7,612</b>             | <b>18,390</b>  |
| Corporation Franchise Tax             | 3,828           | 908                         | 0                            | 0                        | 4,736          |
| Corporation and Utilities Tax         | 541             | 163                         | 14                           | 0                        | 718            |
| Insurance Taxes                       | 2,101           | 253                         | 0                            | 0                        | 2,354          |
| Bank Tax                              | 0               | 0                           | 0                            | 0                        | 0              |
| Petroleum Business Tax                | 0               | 509                         | 649                          | 0                        | 1,158          |
| <b>Business Taxes</b>                 | <b>6,470</b>    | <b>1,833</b>                | <b>663</b>                   | <b>0</b>                 | <b>8,966</b>   |
| Estate Tax                            | 1,155           | 0                           | 0                            | 0                        | 1,155          |
| Real Estate Transfer Tax              | 1,267           | 0                           | 0                            | 0                        | 1,267          |
| Employer Compensation Expense Program | TBD             | 0                           | 0                            | TBD                      | TBD            |
| Gift Tax                              | 0               | 0                           | 0                            | 0                        | 0              |
| Real Property Gains Tax               | 0               | 0                           | 0                            | 0                        | 0              |
| Pari-Mutuel Taxes                     | 15              | 0                           | 0                            | 0                        | 15             |
| Other Taxes                           | 3               | 0                           | 0                            | 0                        | 3              |
| <b>Gross Other Taxes</b>              | <b>2,440</b>    | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>2,440</b>   |
| Real Estate Transfer Tax (Dedicated)  | (1,267)         | 0                           | 119                          | 1,148                    | 0              |
| RBTF (Dedicated Transfers)            | TBD             | 0                           | 0                            | TBD                      | TBD            |
| <b>Other Taxes</b>                    | <b>1,173</b>    | <b>0</b>                    | <b>119</b>                   | <b>1,148</b>             | <b>2,440</b>   |
| <b>Payroll Tax</b>                    | <b>0</b>        | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>0</b>       |
| <b>Total Taxes</b>                    | <b>40,931</b>   | <b>6,201</b>                | <b>1,409</b>                 | <b>36,265</b>            | <b>84,806</b>  |
| Licenses, Fees, Etc.                  | 676             | 0                           | 0                            | 0                        | 676            |
| Abandoned Property                    | 450             | 0                           | 0                            | 0                        | 450            |
| Motor Vehicle Fees                    | 280             | 424                         | 794                          | 0                        | 1,498          |
| ABC License Fee                       | 62              | 0                           | 0                            | 0                        | 62             |
| Reimbursements                        | 107             | 0                           | 0                            | 0                        | 107            |
| Investment Income                     | 8               | 0                           | 0                            | 0                        | 8              |
| Extraordinary Settlements             | 0               | 0                           | 0                            | 0                        | 0              |
| Other Transactions                    | 418             | 16,455                      | 5,353                        | 469                      | 22,695         |
| <b>Miscellaneous Receipts</b>         | <b>2,001</b>    | <b>16,879</b>               | <b>6,147</b>                 | <b>469</b>               | <b>25,496</b>  |
| <b>Federal Receipts</b>               | <b>0</b>        | <b>62,147</b>               | <b>2,187</b>                 | <b>73</b>                | <b>64,407</b>  |
| <b>Total</b>                          | <b>42,932</b>   | <b>85,227</b>               | <b>9,743</b>                 | <b>36,807</b>            | <b>174,709</b> |



**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2022**  
(millions of dollars)

|                                       | General<br>Fund | Special<br>Revenue<br>Funds | Capital<br>Projects<br>Funds | Debt<br>Service<br>Funds | Total          |
|---------------------------------------|-----------------|-----------------------------|------------------------------|--------------------------|----------------|
| <b>Taxes:</b>                         |                 |                             |                              |                          |                |
| Withholdings                          | 46,144          | 0                           | 0                            | 0                        | 46,144         |
| Estimated Payments                    | 18,960          | 0                           | 0                            | 0                        | 18,960         |
| Final Payments                        | 3,032           | 0                           | 0                            | 0                        | 3,032          |
| Other Payments                        | 1,671           | 0                           | 0                            | 0                        | 1,671          |
| <b>Gross Collections</b>              | <b>69,807</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>69,807</b>  |
| State/City Offset                     | (849)           | 0                           | 0                            | 0                        | (849)          |
| Refunds                               | (11,502)        | 0                           | 0                            | 0                        | (11,502)       |
| <b>Reported Tax Collections</b>       | <b>57,456</b>   | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>57,456</b>  |
| STAR (Dedicated Deposits)             | (2,402)         | 2,402                       | 0                            | 0                        | 0              |
| RBTF (Dedicated Transfers)            | (28,728)        | 0                           | 0                            | 28,728                   | 0              |
| <b>Personal Income Tax</b>            | <b>26,326</b>   | <b>2,402</b>                | <b>0</b>                     | <b>28,728</b>            | <b>57,456</b>  |
| Sales and Use Tax                     | 15,796          | 1,075                       | 0                            | 0                        | 16,871         |
| Cigarette and Tobacco Taxes           | 294             | 683                         | 0                            | 0                        | 977            |
| Motor Fuel Tax                        | 0               | 105                         | 396                          | 0                        | 501            |
| Alcoholic Beverage Taxes              | 272             | 0                           | 0                            | 0                        | 272            |
| Medical Marihuana Excise Tax          | 0               | 2                           | 0                            | 0                        | 2              |
| Highway Use Tax                       | 0               | 3                           | 142                          | 0                        | 145            |
| Auto Rental Tax                       | 0               | 54                          | 90                           | 0                        | 144            |
| Taxicab Surcharge                     | 0               | 50                          | 0                            | 0                        | 50             |
| <b>Gross Consumption/Use Taxes</b>    | <b>16,362</b>   | <b>1,972</b>                | <b>628</b>                   | <b>0</b>                 | <b>18,962</b>  |
| LGAC/STBF (Dedicated Transfers)       | (7,898)         | 0                           | 0                            | 7,898                    | 0              |
| <b>Consumption/Use Taxes</b>          | <b>8,464</b>    | <b>1,972</b>                | <b>628</b>                   | <b>7,898</b>             | <b>18,962</b>  |
| Corporation Franchise Tax             | 3,828           | 952                         | 0                            | 0                        | 4,780          |
| Corporation and Utilities Tax         | 547             | 166                         | 14                           | 0                        | 727            |
| Insurance Taxes                       | 2,202           | 265                         | 0                            | 0                        | 2,467          |
| Bank Tax                              | 0               | 0                           | 0                            | 0                        | 0              |
| Petroleum Business Tax                | 0               | 505                         | 644                          | 0                        | 1,149          |
| <b>Business Taxes</b>                 | <b>6,577</b>    | <b>1,888</b>                | <b>658</b>                   | <b>0</b>                 | <b>9,123</b>   |
| Estate Tax                            | 1,220           | 0                           | 0                            | 0                        | 1,220          |
| Real Estate Transfer Tax              | 1,305           | 0                           | 0                            | 0                        | 1,305          |
| Employer Compensation Expense Program | TBD             | 0                           | 0                            | TBD                      | TBD            |
| Gift Tax                              | 0               | 0                           | 0                            | 0                        | 0              |
| Real Property Gains Tax               | 0               | 0                           | 0                            | 0                        | 0              |
| Pari-Mutuel Taxes                     | 15              | 0                           | 0                            | 0                        | 15             |
| Other Taxes                           | 3               | 0                           | 0                            | 0                        | 3              |
| <b>Gross Other Taxes</b>              | <b>2,543</b>    | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>2,543</b>   |
| Real Estate Transfer Tax (Dedicated)  | (1,305)         | 0                           | 119                          | 1,186                    | 0              |
| RBTF (Dedicated Transfers)            | TBD             | 0                           | 0                            | TBD                      | TBD            |
| <b>Other Taxes</b>                    | <b>1,238</b>    | <b>0</b>                    | <b>119</b>                   | <b>1,186</b>             | <b>2,543</b>   |
| <b>Payroll Tax</b>                    | <b>0</b>        | <b>0</b>                    | <b>0</b>                     | <b>0</b>                 | <b>0</b>       |
| <b>Total Taxes</b>                    | <b>42,605</b>   | <b>6,262</b>                | <b>1,405</b>                 | <b>37,812</b>            | <b>88,084</b>  |
| Licenses, Fees, Etc.                  | 676             | 0                           | 0                            | 0                        | 676            |
| Abandoned Property                    | 450             | 0                           | 0                            | 0                        | 450            |
| Motor Vehicle Fees                    | 210             | 418                         | 795                          | 0                        | 1,423          |
| ABC License Fee                       | 68              | 0                           | 0                            | 0                        | 68             |
| Reimbursements                        | 53              | 0                           | 0                            | 0                        | 53             |
| Investment Income                     | 8               | 0                           | 0                            | 0                        | 8              |
| Extraordinary Settlements             | 0               | 0                           | 0                            | 0                        | 0              |
| Other Transactions                    | 418             | 16,633                      | 5,616                        | 468                      | 23,135         |
| <b>Miscellaneous Receipts</b>         | <b>1,883</b>    | <b>17,051</b>               | <b>6,411</b>                 | <b>468</b>               | <b>25,813</b>  |
| <b>Federal Receipts</b>               | <b>0</b>        | <b>64,195</b>               | <b>2,187</b>                 | <b>72</b>                | <b>66,454</b>  |
| <b>Total</b>                          | <b>44,488</b>   | <b>87,508</b>               | <b>10,003</b>                | <b>38,352</b>            | <b>180,351</b> |

**STATE RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
(millions of dollars)

|                                       | FY 2018<br>Results | FY 2019<br>Mid-Year | Annual<br>\$ Change | Annual<br>% Change |
|---------------------------------------|--------------------|---------------------|---------------------|--------------------|
| <b>Taxes:</b>                         |                    |                     |                     |                    |
| Withholdings                          | 40,269             | 41,782              | 1,513               | 3.8%               |
| Estimated Payments                    | 17,781             | 16,221              | (1,560)             | -8.8%              |
| Final Payments                        | 2,478              | 2,599               | 121                 | 4.9%               |
| Other Payments                        | 1,507              | 1,500               | (7)                 | -0.5%              |
| <b>Gross Collections</b>              | <b>62,035</b>      | <b>62,102</b>       | <b>67</b>           | <b>0.1%</b>        |
| State/City Offset                     | (856)              | (973)               | (117)               | -13.7%             |
| Refunds                               | (9,678)            | (10,719)            | (1,041)             | -10.8%             |
| <b>Reported Tax Collections</b>       | <b>51,501</b>      | <b>50,410</b>       | <b>(1,091)</b>      | <b>-2.1%</b>       |
| STAR (Dedicated Deposits)             | 0                  | 0                   | 0                   | 0.0%               |
| RBTF (Dedicated Transfers)            | 0                  | 0                   | 0                   | 0.0%               |
| <b>Personal Income Tax</b>            | <b>51,501</b>      | <b>50,410</b>       | <b>(1,091)</b>      | <b>-2.1%</b>       |
| Sales and Use Tax                     | 14,495             | 15,086              | 591                 | 4.1%               |
| Cigarette and Tobacco Taxes           | 1,171              | 1,119               | (52)                | -4.4%              |
| Motor Fuel Tax                        | 512                | 512                 | 0                   | 0.0%               |
| Alcoholic Beverage Taxes              | 259                | 262                 | 3                   | 1.2%               |
| Medical Marihuana Excise Tax          | 2                  | 2                   | 0                   | 0.0%               |
| Highway Use Tax                       | 93                 | 142                 | 49                  | 52.7%              |
| Auto Rental Tax                       | 123                | 130                 | 7                   | 5.7%               |
| Taxicab Surcharge                     | 56                 | 50                  | (6)                 | -10.7%             |
| <b>Gross Consumption/Use Taxes</b>    | <b>16,711</b>      | <b>17,303</b>       | <b>592</b>          | <b>3.5%</b>        |
| LGAC/STBF (Dedicated Transfers)       | 0                  | 0                   | 0                   | 0.0%               |
| <b>Consumption/Use Taxes</b>          | <b>16,711</b>      | <b>17,303</b>       | <b>592</b>          | <b>3.5%</b>        |
| Corporation Franchise Tax             | 3,080              | 4,027               | 947                 | 30.7%              |
| Corporation and Utilities Tax         | 748                | 700                 | (48)                | -6.4%              |
| Insurance Taxes                       | 1,777              | 1,976               | 199                 | 11.2%              |
| Bank Tax                              | 467                | 142                 | (325)               | -69.6%             |
| Petroleum Business Tax                | 1,092              | 1,136               | 44                  | 4.0%               |
| <b>Business Taxes</b>                 | <b>7,164</b>       | <b>7,981</b>        | <b>817</b>          | <b>11.4%</b>       |
| Estate Tax                            | 1,308              | 1,033               | (275)               | -21.0%             |
| Real Estate Transfer Tax              | 1,125              | 1,178               | 53                  | 4.7%               |
| Employer Compensation Expense Program | 0                  | TBD                 | TBD                 | TBD                |
| Gift Tax                              | 0                  | 0                   | 0                   | 0.0%               |
| Real Property Gains Tax               | 0                  | 0                   | 0                   | 0.0%               |
| Pari-Mutuel Taxes                     | 15                 | 15                  | 0                   | 0.0%               |
| Other Taxes                           | 3                  | 3                   | 0                   | 0.0%               |
| <b>Gross Other Taxes</b>              | <b>2,451</b>       | <b>2,229</b>        | <b>(222)</b>        | <b>-9.1%</b>       |
| Real Estate Transfer Tax (Dedicated)  | 0                  | 0                   | 0                   | 0.0%               |
| RBTF (Dedicated Transfers)            | 0                  | TBD                 | TBD                 | TBD                |
| <b>Other Taxes</b>                    | <b>2,451</b>       | <b>2,229</b>        | <b>(222)</b>        | <b>-9.1%</b>       |
| <b>Payroll Tax</b>                    | <b>1,439</b>       | <b>0</b>            | <b>(1,439)</b>      | <b>-100.0%</b>     |
| <b>Total Taxes</b>                    | <b>79,266</b>      | <b>77,923</b>       | <b>(1,343)</b>      | <b>-1.7%</b>       |
| Licenses, Fees, Etc.                  | 669                | 670                 | 1                   | 0.1%               |
| Abandoned Property                    | 460                | 450                 | (10)                | -2.2%              |
| Motor Vehicle Fees                    | 1,499              | 1,499               | 0                   | 0.0%               |
| ABC License Fee                       | 65                 | 66                  | 1                   | 1.5%               |
| Reimbursements                        | 275                | 107                 | (168)               | -61.1%             |
| Investment Income                     | 60                 | 40                  | (20)                | -33.3%             |
| Extraordinary Settlements             | 778                | 443                 | (335)               | -43.1%             |
| Other Transactions                    | 23,456             | 24,903              | 1,447               | 6.2%               |
| <b>Miscellaneous Receipts</b>         | <b>27,262</b>      | <b>28,178</b>       | <b>916</b>          | <b>3.4%</b>        |
| <b>Federal Receipts</b>               | <b>58,942</b>      | <b>62,510</b>       | <b>3,568</b>        | <b>6.1%</b>        |
| <b>Total</b>                          | <b>165,470</b>     | <b>168,611</b>      | <b>3,141</b>        | <b>1.9%</b>        |

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2018  
(millions of dollars)**

|  | <u>State</u>  | <u>Federal</u> | <u>Total</u>  |
|--|---------------|----------------|---------------|
| <b>Opening Fund Balance</b>  | <u>3,732</u>  | <u>540</u>     | <u>4,272</u>  |
| <b>Receipts:</b>   |               |                |               |
| Taxes  | 7,639         | 0              | 7,639         |
| Miscellaneous Receipts   | 17,734        | 199            | 17,933        |
| Federal Receipts   | 1             | 56,743         | 56,744        |
| <b>Total Receipts</b>  | <u>25,374</u> | <u>56,942</u>  | <u>82,316</u> |
| <b>Disbursements:</b>  |               |                |               |
| Local Assistance   | 19,532        | 52,594         | 72,126        |
| State Operations:  |               |                |               |
| Personal Service   | 7,034         | 668            | 7,702         |
| Non-Personal Service   | 3,517         | 1,369          | 4,886         |
| General State Charges  | 2,281         | 322            | 2,603         |
| Capital Projects   | 0             | 0              | 0             |
| <b>Total Disbursements</b>   | <u>32,364</u> | <u>54,953</u>  | <u>87,317</u> |
| <b>Other Financing Sources (Uses):</b>   |               |                |               |
| Transfers from Other Funds   | 7,949         | 0              | 7,949         |
| Transfers to Other Funds   | (682)         | (2,236)        | (2,918)       |
| <b>Net Other Financing Sources (Uses)</b>  | <u>7,267</u>  | <u>(2,236)</u> | <u>5,031</u>  |
| <b>Excess (Deficiency) of Receipts and<br/>Other Financing Sources (Uses) Over Disbursements</b> | <b>277</b>    | <b>(247)</b>   | <b>30</b>     |
| <b>Closing Fund Balance</b>  | <u>4,009</u>  | <u>293</u>     | <u>4,302</u>  |

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2019  
(millions of dollars)**

|  | <u>State</u>         | <u>Federal</u>        | <u>Total</u>          |
|--|----------------------|-----------------------|-----------------------|
| <b>Opening Fund Balance</b>  | <b><u>4,009</u></b>  | <b><u>293</u></b>     | <b><u>4,302</u></b>   |
| <b>Receipts:</b>   |                      |                       |                       |
| Taxes  | 6,137                | 0                     | 6,137                 |
| Miscellaneous Receipts   | 17,578               | 202                   | 17,780                |
| Federal Receipts   | <u>1</u>             | <u>60,002</u>         | <u>60,003</u>         |
| <b>Total Receipts</b>  | <b><u>23,716</u></b> | <b><u>60,204</u></b>  | <b><u>83,920</u></b>  |
| <b>Disbursements:</b>  |                      |                       |                       |
| Local Assistance   | 15,687               | 55,866                | 71,553                |
| State Operations:  |                      |                       |                       |
| Personal Service   | 4,904                | 661                   | 5,565                 |
| Non-Personal Service   | 2,704                | 1,409                 | 4,113                 |
| General State Charges  | 1,018                | 346                   | 1,364                 |
| Capital Projects   | <u>0</u>             | <u>0</u>              | <u>0</u>              |
| <b>Total Disbursements</b>   | <b><u>24,313</u></b> | <b><u>58,282</u></b>  | <b><u>82,595</u></b>  |
| <b>Other Financing Sources (Uses):</b>   |                      |                       |                       |
| Transfers from Other Funds   | 2,123                | 12                    | 2,135                 |
| Transfers to Other Funds   | <u>(1,160)</u>       | <u>(2,174)</u>        | <u>(3,334)</u>        |
| <b>Net Other Financing Sources (Uses)</b>  | <b><u>963</u></b>    | <b><u>(2,162)</u></b> | <b><u>(1,199)</u></b> |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>366</b>           | <b>(240)</b>          | <b>126</b>            |
| <b>Closing Fund Balance</b>  | <b><u>4,375</u></b>  | <b><u>53</u></b>      | <b><u>4,428</u></b>   |

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2020  
(millions of dollars)**

|  | <u>State</u>  | <u>Federal</u> | <u>Total</u>  |
|--|---------------|----------------|---------------|
| <b>Opening Fund Balance</b>  | <b>4,375</b>  | <b>53</b>      | <b>4,428</b>  |
| <b>Receipts:</b>   |               |                |               |
| Taxes  | 6,178         | 0              | 6,178         |
| Miscellaneous Receipts   | 17,259        | 202            | 17,461        |
| Federal Receipts   | 1             | 61,069         | 61,070        |
| <b>Total Receipts</b>  | <b>23,438</b> | <b>61,271</b>  | <b>84,709</b> |
| <b>Disbursements:</b>  |               |                |               |
| Local Assistance   | 15,691        | 57,716         | 73,407        |
| State Operations:  |               |                |               |
| Personal Service   | 4,989         | 653            | 5,642         |
| Non-Personal Service   | 2,714         | 1,410          | 4,124         |
| General State Charges  | 1,033         | 329            | 1,362         |
| Capital Projects   | 0             | 0              | 0             |
| <b>Total Disbursements</b>   | <b>24,427</b> | <b>60,108</b>  | <b>84,535</b> |
| <b>Other Financing Sources (Uses):</b>   |               |                |               |
| Transfers from Other Funds   | 2,101         | 12             | 2,113         |
| Transfers to Other Funds   | (1,084)       | (1,826)        | (2,910)       |
| <b>Net Other Financing Sources (Uses)</b>  | <b>1,017</b>  | <b>(1,814)</b> | <b>(797)</b>  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>28</b>     | <b>(651)</b>   | <b>(623)</b>  |
| <b>Closing Fund Balance</b>  | <b>4,403</b>  | <b>(598)</b>   | <b>3,805</b>  |

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2021  
(millions of dollars)**

|  | <u>State</u>  | <u>Federal</u> | <u>Total</u>  |
|--|---------------|----------------|---------------|
| <b>Opening Fund Balance</b>  | <b>4,403</b>  | <b>(598)</b>   | <b>3,805</b>  |
| <b>Receipts:</b>   |               |                |               |
| Taxes  | 6,201         | 0              | 6,201         |
| Miscellaneous Receipts   | 16,674        | 205            | 16,879        |
| Federal Receipts   | 1             | 62,146         | 62,147        |
| <b>Total Receipts</b>  | <b>22,876</b> | <b>62,351</b>  | <b>85,227</b> |
| <b>Disbursements:</b>  |               |                |               |
| Local Assistance   | 15,519        | 58,372         | 73,891        |
| State Operations:  |               |                |               |
| Personal Service   | 5,041         | 679            | 5,720         |
| Non-Personal Service   | 2,724         | 1,379          | 4,103         |
| General State Charges  | 1,077         | 340            | 1,417         |
| Capital Projects   | 0             | 0              | 0             |
| <b>Total Disbursements</b>   | <b>24,361</b> | <b>60,770</b>  | <b>85,131</b> |
| <b>Other Financing Sources (Uses):</b>   |               |                |               |
| Transfers from Other Funds   | 2,175         | 12             | 2,187         |
| Transfers to Other Funds   | (776)         | (1,753)        | (2,529)       |
| <b>Net Other Financing Sources (Uses)</b>  | <b>1,399</b>  | <b>(1,741)</b> | <b>(342)</b>  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>(86)</b>   | <b>(160)</b>   | <b>(246)</b>  |
| <b>Closing Fund Balance</b>  | <b>4,317</b>  | <b>(758)</b>   | <b>3,559</b>  |

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2022  
(millions of dollars)**

|  | <u>State</u>  | <u>Federal</u> | <u>Total</u>  |
|--|---------------|----------------|---------------|
| <b>Opening Fund Balance</b>  | <u>4,317</u>  | <u>(758)</u>   | <u>3,559</u>  |
| <b>Receipts:</b>   |               |                |               |
| Taxes  | 6,262         | 0              | 6,262         |
| Miscellaneous Receipts   | 16,846        | 205            | 17,051        |
| Federal Receipts   | 1             | 64,194         | 64,195        |
| <b>Total Receipts</b>  | <u>23,109</u> | <u>64,399</u>  | <u>87,508</u> |
| <b>Disbursements:</b>  |               |                |               |
| Local Assistance   | 15,753        | 59,886         | 75,639        |
| State Operations:  |               |                |               |
| Personal Service   | 4,956         | 681            | 5,637         |
| Non-Personal Service   | 2,765         | 1,388          | 4,153         |
| General State Charges  | 1,074         | 371            | 1,445         |
| Capital Projects   | 0             | 0              | 0             |
| <b>Total Disbursements</b>   | <u>24,548</u> | <u>62,326</u>  | <u>86,874</u> |
| <b>Other Financing Sources (Uses):</b>   |               |                |               |
| Transfers from Other Funds   | 2,305         | 12             | 2,317         |
| Transfers to Other Funds   | (434)         | (1,719)        | (2,153)       |
| <b>Net Other Financing Sources (Uses)</b>  | <u>1,871</u>  | <u>(1,707)</u> | <u>164</u>    |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <u>432</u>    | <u>366</u>     | <u>798</u>    |
| <b>Closing Fund Balance</b>  | <u>4,749</u>  | <u>(392)</u>   | <u>4,357</u>  |

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
(millions of dollars)**

|  | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>Annual<br/>\$ Change</u> | <u>Annual<br/>% Change</u> |
|--|----------------------------|-----------------------------|-----------------------------|----------------------------|
| <b>Opening Fund Balance</b>                      | <u>4,272</u>               | <u>4,302</u>                | <u>30</u>                   | <u>0.7%</u>                |
| <b>Receipts:</b>                                 |                            |                             |                             |                            |
| Taxes  | 7,639                      | 6,137                       | (1,502)                     | -19.7%                     |
| Miscellaneous Receipts                           | 17,933                     | 17,780                      | (153)                       | -0.9%                      |
| Federal Receipts                                 | 56,744                     | 60,003                      | 3,259                       | 5.7%                       |
| <b>Total Receipts</b>                            | <u>82,316</u>              | <u>83,920</u>               | <u>1,604</u>                | <u>1.9%</u>                |
| <b>Disbursements:</b>                            |                            |                             |                             |                            |
| Local Assistance                                 | 72,126                     | 71,553                      | (573)                       | -0.8%                      |
| State Operations:                                |                            |                             |                             |                            |
| Personal Service                                 | 7,702                      | 5,565                       | (2,137)                     | -27.7%                     |
| Non-Personal Service                             | 4,886                      | 4,113                       | (773)                       | -15.8%                     |
| General State Charges                            | 2,603                      | 1,364                       | (1,239)                     | -47.6%                     |
| Debt Service                                     | 0                          | 0                           | 0                           | 0.0%                       |
| Capital Projects                                 | 0                          | 0                           | 0                           | 0.0%                       |
| <b>Total Disbursements</b>                       | <u>87,317</u>              | <u>82,595</u>               | <u>(4,722)</u>              | <u>-5.4%</u>               |
| <b>Other Financing Sources (Uses):</b>           |                            |                             |                             |                            |
| Transfers from Other Funds                       | 7,949                      | 2,135                       | (5,814)                     | -73.1%                     |
| Transfers to Other Funds                         | (2,918)                    | (3,334)                     | (416)                       | -14.3%                     |
| <b>Net Other Financing Sources (Uses)</b>        | <u>5,031</u>               | <u>(1,199)</u>              | <u>(6,230)</u>              | <u>-123.8%</u>             |
| <b>Excess (Deficiency) of Receipts and Other</b> | <u>30</u>                  | <u>126</u>                  | <u>96</u>                   | <u>320.0%</u>              |
| <b>Closing Fund Balance</b>                      | <u>4,302</u>               | <u>4,428</u>                | <u>126</u>                  | <u>2.9%</u>                |



**CASH RECEIPTS**  
**SPECIAL REVENUE FUNDS**  
(millions of dollars)

|                               | <u>FY 2019</u><br><u>Mid-Year</u> | <u>FY 2020</u><br><u>Projected</u> | <u>FY 2021</u><br><u>Projected</u> | <u>FY 2022</u><br><u>Projected</u> |
|-------------------------------|-----------------------------------|------------------------------------|------------------------------------|------------------------------------|
| <b>Personal Income Tax</b>    | <b>2,459</b>                      | <b>2,417</b>                       | <b>2,402</b>                       | <b>2,402</b>                       |
| <b>Consumption/Use Taxes</b>  | <b>1,974</b>                      | <b>1,969</b>                       | <b>1,966</b>                       | <b>1,972</b>                       |
| Sales and Use Tax             | 972                               | 1,006                              | 1,039                              | 1,075                              |
| Cigarette and Tobacco Taxes   | 791                               | 752                                | 716                                | 683                                |
| Motor Fuel Tax                | 107                               | 106                                | 105                                | 105                                |
| Highway Use Tax               | 3                                 | 2                                  | 2                                  | 3                                  |
| Medical Marihuana Excise Tax  | 2                                 | 2                                  | 2                                  | 2                                  |
| Auto Rental Tax               | 49                                | 51                                 | 52                                 | 54                                 |
| Taxicab Surcharge             | 50                                | 50                                 | 50                                 | 50                                 |
| <b>Business Taxes</b>         | <b>1,704</b>                      | <b>1,792</b>                       | <b>1,833</b>                       | <b>1,888</b>                       |
| Corporation Franchise Tax     | 815                               | 872                                | 908                                | 952                                |
| Corporation and Utilities Tax | 156                               | 159                                | 163                                | 166                                |
| Insurance Taxes               | 214                               | 238                                | 253                                | 265                                |
| Bank Tax                      | 20                                | 11                                 | 0                                  | 0                                  |
| Petroleum Business Tax        | 499                               | 512                                | 509                                | 505                                |
| <b>Payroll Tax</b>            | <b>0</b>                          | <b>0</b>                           | <b>0</b>                           | <b>0</b>                           |
| <b>Total Taxes</b>            | <b>6,137</b>                      | <b>6,178</b>                       | <b>6,201</b>                       | <b>6,262</b>                       |
| <b>Miscellaneous Receipts</b> | <b>17,780</b>                     | <b>17,461</b>                      | <b>16,879</b>                      | <b>17,051</b>                      |
| HCRA                          | 5,081                             | 5,206                              | 5,279                              | 5,354                              |
| State University Income       | 4,879                             | 5,040                              | 5,210                              | 5,274                              |
| Lottery                       | 3,254                             | 3,277                              | 3,281                              | 3,280                              |
| Medicaid                      | 886                               | 845                                | 875                                | 906                                |
| Industry Assessments          | 687                               | 691                                | 710                                | 704                                |
| Motor Vehicle Fees            | 422                               | 425                                | 424                                | 418                                |
| All Other                     | 2,571                             | 1,977                              | 1,100                              | 1,115                              |
| <b>Federal Receipts</b>       | <b>60,003</b>                     | <b>61,070</b>                      | <b>62,147</b>                      | <b>64,195</b>                      |
| <b>Total</b>                  | <b>83,920</b>                     | <b>84,709</b>                      | <b>85,227</b>                      | <b>87,508</b>                      |

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
(millions of dollars)**

|                               | <b>FY 2018</b> | <b>FY 2019</b>  | <b>Annual</b>    | <b>Annual</b>   |
|-------------------------------|----------------|-----------------|------------------|-----------------|
|                               | <b>Results</b> | <b>Mid-Year</b> | <b>\$ Change</b> | <b>% Change</b> |
| <b>Personal Income Tax</b>    | <b>2,589</b>   | <b>2,459</b>    | <b>(130)</b>     | <b>-5.0%</b>    |
| <b>Consumption/Use Taxes</b>  | <b>1,985</b>   | <b>1,974</b>    | <b>(11)</b>      | <b>-0.6%</b>    |
| Sales and Use Tax             | 942            | 972             | 30               | 3.2%            |
| Cigarette and Tobacco Taxes   | 829            | 791             | (38)             | -4.6%           |
| Motor Fuel Tax                | 109            | 107             | (2)              | -1.8%           |
| Highway Use Tax               | 2              | 3               | 1                | 50.0%           |
| Medical Marihuana Excise Tax  | 2              | 2               | 0                | 0.0%            |
| Auto Rental Tax               | 45             | 49              | 4                | 8.9%            |
| Taxicab Surcharge             | 56             | 50              | (6)              | -10.7%          |
| <b>Business Taxes</b>         | <b>1,626</b>   | <b>1,704</b>    | <b>78</b>        | <b>4.8%</b>     |
| Corporation Franchise Tax     | 754            | 815             | 61               | 8.1%            |
| Corporation and Utilities Tax | 164            | 156             | (8)              | -4.9%           |
| Insurance Taxes               | 167            | 214             | 47               | 28.1%           |
| Bank Tax                      | 57             | 20              | (37)             | -64.9%          |
| Petroleum Business Tax        | 484            | 499             | 15               | 3.1%            |
| <b>Payroll Tax</b>            | <b>1,439</b>   | <b>0</b>        | <b>(1,439)</b>   | <b>-100.0%</b>  |
| <b>Total Taxes</b>            | <b>7,639</b>   | <b>6,137</b>    | <b>(1,502)</b>   | <b>-19.7%</b>   |
| <b>Miscellaneous Receipts</b> | <b>17,933</b>  | <b>17,780</b>   | <b>(153)</b>     | <b>-0.9%</b>    |
| HCRA                          | 5,044          | 5,081           | 37               | 0.7%            |
| State University Income       | 4,776          | 4,879           | 103              | 2.2%            |
| Lottery                       | 3,425          | 3,254           | (171)            | -5.0%           |
| Medicaid                      | 858            | 886             | 28               | 3.3%            |
| Industry Assessments          | 698            | 687             | (11)             | -1.6%           |
| Motor Vehicle Fees            | 414            | 422             | 8                | 1.9%            |
| All Other                     | 2,718          | 2,571           | (147)            | -5.4%           |
| <b>Federal Receipts</b>       | <b>56,744</b>  | <b>60,003</b>   | <b>3,259</b>     | <b>5.7%</b>     |
| <b>Total</b>                  | <b>82,316</b>  | <b>83,920</b>   | <b>1,604</b>     | <b>1.9%</b>     |

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2018  
(millions of dollars)**

|  | <u>State</u> | <u>Federal</u> | <u>Total</u>   |
|--|--------------|----------------|----------------|
| <b>Opening Fund Balance</b>  | <b>(491)</b> | <b>(569)</b>   | <b>(1,060)</b> |
| <b>Receipts:</b>   |              |                |                |
| Taxes  | 1,313        | 0              | 1,313          |
| Miscellaneous Receipts   | 5,727        | 2              | 5,729          |
| Federal Receipts   | 5            | 2,120          | 2,125          |
| <b>Total Receipts</b>  | <b>7,045</b> | <b>2,122</b>   | <b>9,167</b>   |
| <b>Disbursements:</b>  |              |                |                |
| Local Assistance   | 3,101        | 696            | 3,797          |
| State Operations:  |              |                |                |
| Personal Service   | 0            | 0              | 0              |
| Non-Personal Service   | 0            | 0              | 0              |
| General State Charges  | 0            | 0              | 0              |
| Debt Service   | 0            | 0              | 0              |
| Capital Projects   | 5,684        | 1,159          | 6,843          |
| <b>Total Disbursements</b>   | <b>8,785</b> | <b>1,855</b>   | <b>10,640</b>  |
| <b>Other Financing Sources (Uses):</b>   |              |                |                |
| Transfers from Other Funds   | 2,883        | (276)          | 2,607          |
| Transfers to Other Funds   | (1,380)      | (5)            | (1,385)        |
| Bond and Note Proceeds   | 160          | 0              | 160            |
| <b>Net Other Financing Sources (Uses)</b>  | <b>1,663</b> | <b>(281)</b>   | <b>1,382</b>   |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>(77)</b>  | <b>(14)</b>    | <b>(91)</b>    |
| <b>Closing Fund Balance</b>  | <b>(568)</b> | <b>(583)</b>   | <b>(1,151)</b> |

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2019  
(millions of dollars)**

|  | <u>State</u>        | <u>Federal</u>      | <u>Total</u>        |
|--|---------------------|---------------------|---------------------|
| <b>Opening Fund Balance</b>  | <u>(568)</u>        | <u>(583)</u>        | <u>(1,151)</u>      |
| <b>Receipts:</b>   |                     |                     |                     |
| Taxes  | 1,395               | 0                   | 1,395               |
| Miscellaneous Receipts   | 7,426               | 0                   | 7,426               |
| Federal Receipts   | 5                   | 2,428               | 2,433               |
| <b>Total Receipts</b>  | <u>8,826</u>        | <u>2,428</u>        | <u>11,254</u>       |
| <b>Disbursements:</b>  |                     |                     |                     |
| Local Assistance   | 4,540               | 706                 | 5,246               |
| State Operations:  |                     |                     |                     |
| Personal Service   | 0                   | 0                   | 0                   |
| Non-Personal Service   | 0                   | 0                   | 0                   |
| General State Charges  | 0                   | 0                   | 0                   |
| Debt Service   | 0                   | 0                   | 0                   |
| Capital Projects   | 6,609               | 1,315               | 7,924               |
| <b>Total Disbursements</b>   | <u>11,149</u>       | <u>2,021</u>        | <u>13,170</u>       |
| <b>Other Financing Sources (Uses):</b>   |                     |                     |                     |
| Transfers from Other Funds   | 3,362               | (380)               | 2,982               |
| Transfers to Other Funds   | (1,348)             | (12)                | (1,360)             |
| Bond and Note Proceeds   | 744                 | 0                   | 744                 |
| <b>Net Other Financing Sources (Uses)</b>  | <u>2,758</u>        | <u>(392)</u>        | <u>2,366</u>        |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>435</b>          | <b>15</b>           | <b>450</b>          |
| <b>Closing Fund Balance</b>  | <u><u>(133)</u></u> | <u><u>(568)</u></u> | <u><u>(701)</u></u> |

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2020  
(millions of dollars)**

|  | <u>State</u>  | <u>Federal</u> | <u>Total</u>  |
|--|---------------|----------------|---------------|
| <b>Opening Fund Balance</b>  | <b>(133)</b>  | <b>(568)</b>   | <b>(701)</b>  |
| <b>Receipts:</b>   |               |                |               |
| Taxes  | 1,412         | 0              | 1,412         |
| Miscellaneous Receipts   | 6,516         | 0              | 6,516         |
| Federal Receipts   | 5             | 2,224          | 2,229         |
| <b>Total Receipts</b>  | <b>7,933</b>  | <b>2,224</b>   | <b>10,157</b> |
| <b>Disbursements:</b>  |               |                |               |
| Local Assistance   | 4,677         | 706            | 5,383         |
| State Operations:  |               |                |               |
| Personal Service   | 0             | 0              | 0             |
| Non-Personal Service   | 0             | 0              | 0             |
| General State Charges  | 0             | 0              | 0             |
| Debt Service   | 0             | 0              | 0             |
| Capital Projects   | 6,621         | 1,095          | 7,716         |
| <b>Total Disbursements</b>   | <b>11,298</b> | <b>1,801</b>   | <b>13,099</b> |
| <b>Other Financing Sources (Uses):</b>   |               |                |               |
| Transfers from Other Funds   | 4,131         | (394)          | 3,737         |
| Transfers to Other Funds   | (1,591)       | (11)           | (1,602)       |
| Bond and Note Proceeds   | 779           | 0              | 779           |
| <b>Net Other Financing Sources (Uses)</b>  | <b>3,319</b>  | <b>(405)</b>   | <b>2,914</b>  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>(46)</b>   | <b>18</b>      | <b>(28)</b>   |
| <b>Closing Fund Balance</b>  | <b>(179)</b>  | <b>(550)</b>   | <b>(729)</b>  |

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2021  
(millions of dollars)**

|  | <u>State</u>  | <u>Federal</u> | <u>Total</u>  |
|--|---------------|----------------|---------------|
| <b>Opening Fund Balance</b>  | <b>(179)</b>  | <b>(550)</b>   | <b>(729)</b>  |
| <b>Receipts:</b>   |               |                |               |
| Taxes  | 1,409         | 0              | 1,409         |
| Miscellaneous Receipts   | 6,147         | 0              | 6,147         |
| Federal Receipts   | 5             | 2,182          | 2,187         |
| <b>Total Receipts</b>  | <b>7,561</b>  | <b>2,182</b>   | <b>9,743</b>  |
| <b>Disbursements:</b>  |               |                |               |
| Local Assistance   | 4,028         | 706            | 4,734         |
| State Operations:  |               |                |               |
| Personal Service   | 0             | 0              | 0             |
| Non-Personal Service   | 0             | 0              | 0             |
| General State Charges  | 0             | 0              | 0             |
| Debt Service   | 0             | 0              | 0             |
| Capital Projects   | 6,426         | 1,047          | 7,473         |
| <b>Total Disbursements</b>   | <b>10,454</b> | <b>1,753</b>   | <b>12,207</b> |
| <b>Other Financing Sources (Uses):</b>   |               |                |               |
| Transfers from Other Funds   | 3,834         | (401)          | 3,433         |
| Transfers to Other Funds   | (1,586)       | (12)           | (1,598)       |
| Bond and Note Proceeds   | 609           | 0              | 609           |
| <b>Net Other Financing Sources (Uses)</b>  | <b>2,857</b>  | <b>(413)</b>   | <b>2,444</b>  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>(36)</b>   | <b>16</b>      | <b>(20)</b>   |
| <b>Closing Fund Balance</b>  | <b>(215)</b>  | <b>(534)</b>   | <b>(749)</b>  |

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2022  
(millions of dollars)**

|  | <u>State</u>  | <u>Federal</u> | <u>Total</u>  |
|--|---------------|----------------|---------------|
| <b>Opening Fund Balance</b>  | <b>(215)</b>  | <b>(534)</b>   | <b>(749)</b>  |
| <b>Receipts:</b>   |               |                |               |
| Taxes  | 1,405         | 0              | 1,405         |
| Miscellaneous Receipts   | 6,411         | 0              | 6,411         |
| Federal Receipts   | 5             | 2,182          | 2,187         |
| <b>Total Receipts</b>  | <b>7,821</b>  | <b>2,182</b>   | <b>10,003</b> |
| <b>Disbursements:</b>  |               |                |               |
| Local Assistance   | 3,809         | 706            | 4,515         |
| State Operations:  |               |                |               |
| Personal Service   | 0             | 0              | 0             |
| Non-Personal Service   | 0             | 0              | 0             |
| General State Charges  | 0             | 0              | 0             |
| Debt Service   | 0             | 0              | 0             |
| Capital Projects   | 6,649         | 1,047          | 7,696         |
| <b>Total Disbursements</b>   | <b>10,458</b> | <b>1,753</b>   | <b>12,211</b> |
| <b>Other Financing Sources (Uses):</b>   |               |                |               |
| Transfers from Other Funds   | 3,822         | (402)          | 3,420         |
| Transfers to Other Funds   | (1,467)       | (12)           | (1,479)       |
| Bond and Note Proceeds   | 263           | 0              | 263           |
| <b>Net Other Financing Sources (Uses)</b>  | <b>2,618</b>  | <b>(414)</b>   | <b>2,204</b>  |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>(19)</b>   | <b>15</b>      | <b>(4)</b>    |
| <b>Closing Fund Balance</b>  | <b>(234)</b>  | <b>(519)</b>   | <b>(753)</b>  |

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

|  | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>Annual<br/>\$ Change</u> | <u>Annual<br/>% Change</u> |
|--|----------------------------|-----------------------------|-----------------------------|----------------------------|
| <b>Opening Fund Balance</b>  | <b>(1,060)</b>             | <b>(1,151)</b>              | <b>(91)</b>                 | <b>-8.6%</b>               |
| <b>Receipts:</b>   |                            |                             |                             |                            |
| Taxes  | 1,313                      | 1,395                       | 82                          | 6.2%                       |
| Miscellaneous Receipts   | 5,729                      | 7,426                       | 1,697                       | 29.6%                      |
| Federal Receipts   | 2,125                      | 2,433                       | 308                         | 14.5%                      |
| <b>Total Receipts</b>  | <b>9,167</b>               | <b>11,254</b>               | <b>2,087</b>                | <b>22.8%</b>               |
| <b>Disbursements:</b>  |                            |                             |                             |                            |
| Local Assistance   | 3,797                      | 5,246                       | 1,449                       | 38.2%                      |
| State Operations:  |                            |                             |                             |                            |
| Personal Service   | 0                          | 0                           | 0                           | 0.0%                       |
| Non-Personal Service   | 0                          | 0                           | 0                           | 0.0%                       |
| General State Charges  | 0                          | 0                           | 0                           | 0.0%                       |
| Debt Service   | 0                          | 0                           | 0                           | 0.0%                       |
| Capital Projects   | 6,843                      | 7,924                       | 1,081                       | 15.8%                      |
| <b>Total Disbursements</b>   | <b>10,640</b>              | <b>13,170</b>               | <b>2,530</b>                | <b>23.8%</b>               |
| <b>Other Financing Sources (Uses):</b>   |                            |                             |                             |                            |
| Transfers From Other Funds   | 2,607                      | 2,982                       | 375                         | 14.4%                      |
| Transfers to Other Funds   | (1,385)                    | (1,360)                     | 25                          | 1.8%                       |
| Bond and Note Proceeds   | 160                        | 744                         | 584                         | 365.0%                     |
| <b>Net Other Financing Sources (Uses)</b>  | <b>1,382</b>               | <b>2,366</b>                | <b>984</b>                  | <b>71.2%</b>               |
| <b>Excess (Deficiency) of Receipts and Other<br/>Financing Sources (Uses) Over Disbursements</b> | <b>(91)</b>                | <b>450</b>                  | <b>541</b>                  | <b>594.5%</b>              |
| <b>Closing Fund Balance</b>  | <b>(1,151)</b>             | <b>(701)</b>                | <b>450</b>                  | <b>39.1%</b>               |



**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

|                               | FY 2019<br>Mid-Year | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|-------------------------------|---------------------|----------------------|----------------------|----------------------|
| <b>Consumption/Use Taxes</b>  | <b>625</b>          | <b>625</b>           | <b>627</b>           | <b>628</b>           |
| Motor Fuel Tax                | 405                 | 401                  | 399                  | 396                  |
| Highway Use Tax               | 139                 | 140                  | 141                  | 142                  |
| Auto Rental Tax               | 81                  | 84                   | 87                   | 90                   |
| <b>Business Taxes</b>         | <b>651</b>          | <b>668</b>           | <b>663</b>           | <b>658</b>           |
| Corporation and Utilities Tax | 14                  | 14                   | 14                   | 14                   |
| Petroleum Business Tax        | 637                 | 654                  | 649                  | 644                  |
| <b>Other Taxes</b>            | <b>119</b>          | <b>119</b>           | <b>119</b>           | <b>119</b>           |
| Real Estate Transfer Tax      | 119                 | 119                  | 119                  | 119                  |
| <b>Total Taxes</b>            | <b>1,395</b>        | <b>1,412</b>         | <b>1,409</b>         | <b>1,405</b>         |
| <b>Miscellaneous Receipts</b> | <b>7,426</b>        | <b>6,516</b>         | <b>6,147</b>         | <b>6,411</b>         |
| Authority Bond Proceeds       | 6,339               | 5,456                | 5,087                | 5,359                |
| State Park Fees               | 137                 | 139                  | 123                  | 123                  |
| Environmental Revenues        | 77                  | 77                   | 77                   | 77                   |
| Motor Vehicle Fees            | 808                 | 794                  | 794                  | 795                  |
| All Other                     | 65                  | 50                   | 66                   | 57                   |
| <b>Federal Receipts</b>       | <b>2,433</b>        | <b>2,229</b>         | <b>2,187</b>         | <b>2,187</b>         |
| <b>Total</b>                  | <b>11,254</b>       | <b>10,157</b>        | <b>9,743</b>         | <b>10,003</b>        |

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
(millions of dollars)**

|                               | FY 2018<br>Results | FY 2019<br>Mid-Year | Annual<br>\$ Change | Annual<br>% Change |
|-------------------------------|--------------------|---------------------|---------------------|--------------------|
| <b>Consumption/Use Taxes</b>  | <b>572</b>         | <b>625</b>          | <b>53</b>           | <b>9.3%</b>        |
| Motor Fuel Tax                | 403                | 405                 | 2                   | 0.5%               |
| Highway Use Tax               | 91                 | 139                 | 48                  | 52.7%              |
| Auto Rental Tax               | 78                 | 81                  | 3                   | 3.8%               |
| <b>Business Taxes</b>         | <b>622</b>         | <b>651</b>          | <b>29</b>           | <b>4.7%</b>        |
| Corporation and Utilities Tax | 14                 | 14                  | 0                   | 0.0%               |
| Petroleum Business Tax        | 608                | 637                 | 29                  | 4.8%               |
| <b>Other Taxes</b>            | <b>119</b>         | <b>119</b>          | <b>0</b>            | <b>0.0%</b>        |
| Real Estate Transfer Tax      | 119                | 119                 | 0                   | 0.0%               |
| <b>Total Taxes</b>            | <b>1,313</b>       | <b>1,395</b>        | <b>82</b>           | <b>6.2%</b>        |
| <b>Miscellaneous Receipts</b> | <b>5,729</b>       | <b>7,426</b>        | <b>1,697</b>        | <b>29.6%</b>       |
| Authority Bond Proceeds       | 4,620              | 6,339               | 1,719               | 37.2%              |
| State Park Fees               | 209                | 137                 | (72)                | -34.4%             |
| Environmental Revenues        | 77                 | 77                  | 0                   | 0.0%               |
| Motor Vehicle Fees            | 833                | 808                 | (25)                | -3.0%              |
| All Other                     | (10)               | 65                  | 75                  | 750.0%             |
| <b>Federal Receipts</b>       | <b>2,125</b>       | <b>2,433</b>        | <b>308</b>          | <b>14.5%</b>       |
| <b>Total</b>                  | <b>9,167</b>       | <b>11,254</b>       | <b>2,087</b>        | <b>22.8%</b>       |

**CASH DISBURSEMENTS BY FUNCTION**  
**CAPITAL OFF-BUDGET SPENDING**  
(millions of dollars)

|  | <u>FY 2018</u><br><u>Results</u> | <u>FY 2019</u><br><u>Mid-Year</u> | <u>FY 2020</u><br><u>Projected</u> | <u>FY 2021</u><br><u>Projected</u> | <u>FY 2022</u><br><u>Projected</u> |
|--|----------------------------------|-----------------------------------|------------------------------------|------------------------------------|------------------------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b> |                                  |                                   |                                    |                                    |                                    |
| Economic Development                                 | 8                                | 9                                 | 5                                  | 5                                  | 5                                  |
| Empire State Development Corporation                 | 9                                | 13                                | 13                                 | 13                                 | 13                                 |
| <b>Functional Total</b>                              | <b>17</b>                        | <b>22</b>                         | <b>18</b>                          | <b>18</b>                          | <b>18</b>                          |
| <b>TRANSPORTATION</b>                                |                                  |                                   |                                    |                                    |                                    |
| Transportation, Department of                        | 0                                | 0                                 | 0                                  | 0                                  | 0                                  |
| <b>Functional Total</b>                              | <b>0</b>                         | <b>0</b>                          | <b>0</b>                           | <b>0</b>                           | <b>0</b>                           |
| <b>MENTAL HEALTH</b>                                 |                                  |                                   |                                    |                                    |                                    |
| Mental Health, Office of                             | 128                              | 123                               | 93                                 | 101                                | 101                                |
| People with Developmental Disabilities, Office for   | 12                               | 37                                | 35                                 | 35                                 | 35                                 |
| Alcoholism and Substance Abuse Services, Office of   | 4                                | 10                                | 9                                  | 9                                  | 8                                  |
| <b>Functional Total</b>                              | <b>144</b>                       | <b>170</b>                        | <b>137</b>                         | <b>145</b>                         | <b>144</b>                         |
| <b>EDUCATION</b>                                     |                                  |                                   |                                    |                                    |                                    |
| Education School Aid                                 | 8                                | 15                                | 15                                 | 15                                 | 15                                 |
| <b>Functional Total</b>                              | <b>8</b>                         | <b>15</b>                         | <b>15</b>                          | <b>15</b>                          | <b>15</b>                          |
| <b>HIGHER EDUCATION</b>                              |                                  |                                   |                                    |                                    |                                    |
| City University of New York                          | 395                              | 390                               | 375                                | 376                                | 376                                |
| State University of New York                         | 70                               | 90                                | 86                                 | 86                                 | 86                                 |
| <b>Functional Total</b>                              | <b>465</b>                       | <b>480</b>                        | <b>461</b>                         | <b>462</b>                         | <b>462</b>                         |
| <b>ALL OTHER</b>                                     |                                  |                                   |                                    |                                    |                                    |
| Judiciary  | 10                               | 7                                 | 0                                  | 0                                  | 0                                  |
| <b>Functional Total</b>                              | <b>10</b>                        | <b>7</b>                          | <b>0</b>                           | <b>0</b>                           | <b>0</b>                           |
| <b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>             | <b>644</b>                       | <b>694</b>                        | <b>631</b>                         | <b>640</b>                         | <b>639</b>                         |

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
(millions of dollars)**

|                                       | FY 2019<br>Mid-Year | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---------------------------------------|---------------------|----------------------|----------------------|----------------------|
| <b>Personal Income Tax</b>            | <b>25,205</b>       | <b>26,976</b>        | <b>27,505</b>        | <b>28,728</b>        |
| <b>Consumption/Use Taxes</b>          | <b>7,057</b>        | <b>7,332</b>         | <b>7,612</b>         | <b>7,898</b>         |
| Sales and Use Tax                     | 7,057               | 7,332                | 7,612                | 7,898                |
| <b>Other Taxes</b>                    | <b>1,059</b>        | <b>1,112</b>         | <b>1,148</b>         | <b>1,186</b>         |
| Real Estate Transfer Tax              | 1,059               | 1,112                | 1,148                | 1,186                |
| Employer Compensation Expense Program | TBD                 | TBD                  | TBD                  | TBD                  |
| <b>Total Taxes</b>                    | <b>33,321</b>       | <b>35,420</b>        | <b>36,265</b>        | <b>37,812</b>        |
| <b>Miscellaneous Receipts</b>         | <b>498</b>          | <b>469</b>           | <b>469</b>           | <b>468</b>           |
| Mental Hygiene Patient Receipts       | 350                 | 321                  | 321                  | 321                  |
| SUNY Dormitory Fees                   | 0                   | 0                    | 0                    | 0                    |
| Health Patient Receipts               | 144                 | 144                  | 144                  | 144                  |
| All Other                             | 4                   | 4                    | 4                    | 3                    |
| <b>Federal Receipts</b>               | <b>74</b>           | <b>73</b>            | <b>73</b>            | <b>72</b>            |
| <b>Total</b>                          | <b>33,893</b>       | <b>35,962</b>        | <b>36,807</b>        | <b>38,352</b>        |

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
(millions of dollars)**

|                                       | FY 2018<br>Results | FY 2019<br>Mid-Year | Annual<br>\$ Change | Annual<br>% Change |
|---------------------------------------|--------------------|---------------------|---------------------|--------------------|
| <b>Personal Income Tax</b>            | <b>12,875</b>      | <b>25,205</b>       | <b>12,330</b>       | <b>95.8%</b>       |
| <b>Consumption/Use Taxes</b>          | <b>6,777</b>       | <b>7,057</b>        | <b>280</b>          | <b>4.1%</b>        |
| Sales and Use Tax                     | 6,777              | 7,057               | 280                 | 4.1%               |
| <b>Other Taxes</b>                    | <b>1,006</b>       | <b>1,059</b>        | <b>53</b>           | <b>5.3%</b>        |
| Real Estate Transfer Tax              | 1,006              | 1,059               | 53                  | 5.3%               |
| Employer Compensation Expense Program | 0                  | TBD                 | TBD                 | TBD                |
| <b>Total Taxes</b>                    | <b>20,658</b>      | <b>33,321</b>       | <b>12,663</b>       | <b>61.3%</b>       |
| <b>Miscellaneous Receipts</b>         | <b>471</b>         | <b>498</b>          | <b>27</b>           | <b>5.7%</b>        |
| Mental Hygiene Patient Receipts       | 314                | 350                 | 36                  | 11.5%              |
| SUNY Dormitory Fees                   | 0                  | 0                   | 0                   | 0.0%               |
| Health Patient Receipts               | 153                | 144                 | (9)                 | -5.9%              |
| All Other                             | 4                  | 4                   | 0                   | 0.0%               |
| <b>Federal Receipts</b>               | <b>73</b>          | <b>74</b>           | <b>1</b>            | <b>1.4%</b>        |
| <b>Total</b>                          | <b>21,202</b>      | <b>33,893</b>       | <b>12,691</b>       | <b>59.9%</b>       |

CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2018  
(millions of dollars)

|  | General<br>Fund | State Special<br>Revenue<br>Funds | State Capital<br>Projects<br>Funds | Debt<br>Service<br>Funds | State<br>Funds<br>Total |
|--|-----------------|-----------------------------------|------------------------------------|--------------------------|-------------------------|
| <b>Opening Fund Balance</b>  | <u>7,749</u>    | <u>3,732</u>                      | <u>(491)</u>                       | <u>144</u>               | <u>11,134</u>           |
| <b>Receipts:</b>   |                 |                                   |                                    |                          |                         |
| Taxes  | 49,656          | 7,639                             | 1,313                              | 20,658                   | 79,266                  |
| Miscellaneous Receipts   | 3,129           | 17,734                            | 5,727                              | 471                      | 27,061                  |
| Federal Receipts   | 0               | 1                                 | 5                                  | 73                       | 79                      |
| <b>Total Receipts</b>  | <u>52,785</u>   | <u>25,374</u>                     | <u>7,045</u>                       | <u>21,202</u>            | <u>106,406</u>          |
| <b>Disbursements:</b>  |                 |                                   |                                    |                          |                         |
| Local Assistance   | 46,072          | 19,532                            | 3,101                              | 0                        | 68,705                  |
| State Operations:  |                 |                                   |                                    |                          |                         |
| Personal Service   | 6,136           | 7,034                             | 0                                  | 0                        | 13,170                  |
| Non-Personal Service   | 2,092           | 3,517                             | 0                                  | 42                       | 5,651                   |
| General State Charges  | 5,572           | 2,281                             | 0                                  | 0                        | 7,853                   |
| Debt Service   | 0               | 0                                 | 0                                  | 5,873                    | 5,873                   |
| Capital Projects   | 0               | 0                                 | 5,684                              | 0                        | 5,684                   |
| <b>Total Disbursements</b>   | <u>59,872</u>   | <u>32,364</u>                     | <u>8,785</u>                       | <u>5,915</u>             | <u>106,936</u>          |
| <b>Other Financing Sources (Uses):</b>   |                 |                                   |                                    |                          |                         |
| Transfers from Other Funds   | 18,635          | 7,949                             | 2,883                              | 3,873                    | 33,340                  |
| Transfers to Other Funds   | (9,852)         | (682)                             | (1,380)                            | (19,151)                 | (31,065)                |
| Bond and Note Proceeds   | 0               | 0                                 | 160                                | 0                        | 160                     |
| <b>Net Other Financing Sources (Uses)</b>  | <u>8,783</u>    | <u>7,267</u>                      | <u>1,663</u>                       | <u>(15,278)</u>          | <u>2,435</u>            |
| <b>Excess (Deficiency) of Receipts and<br/>Other Financing Sources (Uses) Over Disbursements</b> | <u>1,696</u>    | <u>277</u>                        | <u>(77)</u>                        | <u>9</u>                 | <u>1,905</u>            |
| <b>Closing Fund Balance</b>  | <u>9,445</u>    | <u>4,009</u>                      | <u>(568)</u>                       | <u>153</u>               | <u>13,039</u>           |

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2019**  
(millions of dollars)

|  | <u>General<br/>Fund</u> | <u>State Special<br/>Revenue<br/>Funds</u> | <u>State Capital<br/>Projects<br/>Funds</u> | <u>Debt<br/>Service<br/>Funds</u> | <u>State<br/>Funds<br/>Total</u> |
|--|-------------------------|--|---|-----------------------------------|----------------------------------|
| <b>Opening Fund Balance</b>  | <b>9,445</b>            | <b>4,009</b>                               | <b>(568)</b>                                | <b>153</b>                        | <b>13,039</b>                    |
| <b>Receipts:</b>   |                         |  |   |                                   |                                  |
| Taxes  | 37,070                  | 6,137                                      | 1,395                                       | 33,321                            | 77,923                           |
| Miscellaneous Receipts   | 2,474                   | 17,578                                     | 7,426                                       | 498                               | 27,976                           |
| Federal Receipts   | 0                       | 1  | 5   | 74                                | 80                               |
| <b>Total Receipts</b>  | <b>39,544</b>           | <b>23,716</b>                              | <b>8,826</b>                                | <b>33,893</b>                     | <b>105,979</b>                   |
| <b>Disbursements:</b>  |                         |  |   |                                   |                                  |
| Local Assistance   | 51,013                  | 15,687                                     | 4,540                                       | 0                                 | 71,240                           |
| State Operations:  |                         |  |   |                                   |                                  |
| Personal Service   | 8,812                   | 4,904                                      | 0   | 0                                 | 13,716                           |
| Non-Personal Service   | 3,038                   | 2,704                                      | 0   | 47                                | 5,789                            |
| General State Charges  | 7,392                   | 1,018                                      | 0   | 0                                 | 8,410                            |
| Debt Service   | 0                       | 0  | 0   | 5,520                             | 5,520                            |
| Capital Projects   | 0                       | 0  | 6,609                                       | 0                                 | 6,609                            |
| <b>Total Disbursements</b>   | <b>70,255</b>           | <b>24,313</b>                              | <b>11,149</b>                               | <b>5,567</b>                      | <b>111,284</b>                   |
| <b>Other Financing Sources (Uses):</b>   |                         |  |   |                                   |                                  |
| Transfers from Other Funds   | 33,251                  | 2,123                                      | 3,362                                       | 3,599                             | 42,335                           |
| Transfers to Other Funds   | (5,529)                 | (1,160)                                    | (1,348)                                     | (31,906)                          | (39,943)                         |
| Bond and Note Proceeds   | 0                       | 0  | 744   | 0                                 | 744                              |
| <b>Net Other Financing Sources (Uses)</b>  | <b>27,722</b>           | <b>963</b>                                 | <b>2,758</b>                                | <b>(28,307)</b>                   | <b>3,136</b>                     |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses)<br/>Over Disbursements</b> | <b>(2,989)</b>          | <b>366</b>                                 | <b>435</b>                                  | <b>19</b>                         | <b>(2,169)</b>                   |
| <b>Closing Fund Balance</b>  | <b>6,456</b>            | <b>4,375</b>                               | <b>(133)</b>                                | <b>172</b>                        | <b>10,870</b>                    |

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2020**  
(millions of dollars)

|   | <u>General<br/>Fund</u> | <u>State Special<br/>Revenue<br/>Funds</u> | <u>State Capital<br/>Projects<br/>Funds</u> | <u>Debt<br/>Service<br/>Funds</u> | <u>State<br/>Funds<br/>Total</u> |
|---|-------------------------|--|---|-----------------------------------|----------------------------------|
| <b>Receipts:</b>  |                         |  |   |                                   |                                  |
| Taxes   | 39,752                  | 6,178                                      | 1,412                                       | 35,420                            | 82,762                           |
| Miscellaneous Receipts  | 2,028                   | 17,259                                     | 6,516                                       | 469                               | 26,272                           |
| Federal Receipts  | 0                       | 1  | 5   | 73                                | 79                               |
| <b>Total Receipts</b>   | <b><u>41,780</u></b>    | <b><u>23,438</u></b>                       | <b><u>7,933</u></b>                         | <b><u>35,962</u></b>              | <b><u>109,113</u></b>            |
| <b>Disbursements:</b>   |                         |  |   |                                   |                                  |
| Local Assistance  | 53,851                  | 15,691                                     | 4,677                                       | 0                                 | 74,219                           |
| State Operations:   |                         |  |   |                                   |                                  |
| Personal Service  | 9,001                   | 4,989                                      | 0   | 0                                 | 13,990                           |
| Non-Personal Service  | 3,079                   | 2,714                                      | 0   | 47                                | 5,840                            |
| General State Charges   | 7,781                   | 1,033                                      | 0   | 0                                 | 8,814                            |
| Debt Service  | 0                       | 0  | 0   | 6,620                             | 6,620                            |
| Capital Projects  | 0                       | 0  | 6,621                                       | 0                                 | 6,621                            |
| <b>Total Disbursements</b>  | <b><u>73,712</u></b>    | <b><u>24,427</u></b>                       | <b><u>11,298</u></b>                        | <b><u>6,667</u></b>               | <b><u>116,104</u></b>            |
| <b>Other Financing Sources (Uses):</b>  |                         |  |   |                                   |                                  |
| Transfers from Other Funds  | 34,131                  | 2,101                                      | 4,131                                       | 3,607                             | 43,970                           |
| Transfers to Other Funds  | (6,336)                 | (1,084)                                    | (1,591)                                     | (32,874)                          | (41,885)                         |
| Bond and Note Proceeds  | 0                       | 0  | 779   | 0                                 | 779                              |
| <b>Net Other Financing Sources (Uses)</b>   | <b><u>27,795</u></b>    | <b><u>1,017</u></b>                        | <b><u>3,319</u></b>                         | <b><u>(29,267)</u></b>            | <b><u>2,864</u></b>              |
| <b>Use (Reservation) of Fund Balance:</b>   |                         |  |   |                                   |                                  |
| Community Projects  | 26                      |  |   |                                   |                                  |
| Extraordinary Monetary Settlements  | 1,041                   |  |   |                                   |                                  |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>1,067</u></b>     |  |   |                                   |                                  |
| <b>Excess (Deficiency) of Receipts and Use (Reservation)<br/>of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>(3,070)</b>          |  |   |                                   |                                  |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>2,668</b>            |  |   |                                   |                                  |
| <b>Net General Fund Surplus (Deficit)</b>   | <b><u>(402)</u></b>     |  |   |                                   |                                  |

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2021**  
(millions of dollars)

|   | <u>General</u><br><u>Fund</u> | <u>State Special</u><br><u>Revenue</u><br><u>Funds</u> | <u>State Capital</u><br><u>Projects</u><br><u>Funds</u> | <u>Debt</u><br><u>Service</u><br><u>Funds</u> | <u>State</u><br><u>Funds</u><br><u>Total</u> |
|---|-------------------------------|--|---|---|--|
| <b>Receipts:</b>  |                               |  |   |   |  |
| Taxes   | 40,931                        | 6,201  | 1,409   | 36,265  | 84,806                                       |
| Miscellaneous Receipts  | 2,001                         | 16,674   | 6,147   | 469   | 25,291                                       |
| Federal Receipts  | 0                             | 1  | 5   | 73  | 79   |
| <b>Total Receipts</b>   | <b><u>42,932</u></b>          | <b><u>22,876</u></b>                                   | <b><u>7,561</u></b>                                     | <b><u>36,807</u></b>                          | <b><u>110,176</u></b>                        |
| <b>Disbursements:</b>   |                               |  |   |   |  |
| Local Assistance  | 57,147                        | 15,519   | 4,028   | 0   | 76,694                                       |
| State Operations:   |                               |  |   |   |  |
| Personal Service  | 9,506                         | 5,041  | 0   | 0   | 14,547                                       |
| Non-Personal Service  | 3,111                         | 2,724  | 0   | 47  | 5,882  |
| General State Charges   | 8,319                         | 1,077  | 0   | 0   | 9,396  |
| Debt Service  | 0                             | 0  | 0   | 7,121   | 7,121  |
| Capital Projects  | 0                             | 0  | 6,426   | 0   | 6,426  |
| <b>Total Disbursements</b>  | <b><u>78,083</u></b>          | <b><u>24,361</u></b>                                   | <b><u>10,454</u></b>                                    | <b><u>7,168</u></b>                           | <b><u>120,066</u></b>                        |
| <b>Other Financing Sources (Uses):</b>  |                               |  |   |   |  |
| Transfers from Other Funds  | 34,211                        | 2,175  | 3,834   | 3,566   | 43,786                                       |
| Transfers to Other Funds  | (6,237)                       | (776)  | (1,586)   | (33,175)                                      | (41,774)                                     |
| Bond and Note Proceeds  | 0                             | 0  | 609   | 0   | 609  |
| <b>Net Other Financing Sources (Uses)</b>   | <b><u>27,974</u></b>          | <b><u>1,399</u></b>                                    | <b><u>2,857</u></b>                                     | <b><u>(29,609)</u></b>                        | <b><u>2,621</u></b>                          |
| <b>Use (Reservation) of Fund Balance:</b>   |                               |  |   |   |  |
| Community Projects  | 7                             |  |   |   |  |
| Extraordinary Monetary Settlements  | 741                           |  |   |   |  |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b><u>748</u></b>             |  |   |   |  |
| <b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>(6,429)</b>                |  |   |   |  |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>5,431</b>                  |  |   |   |  |
| <b>Net General Fund Surplus (Deficit)</b>   | <b><u>(998)</u></b>           |  |   |   |  |

**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
**FY 2022**  
(millions of dollars)

|   | <u>General<br/>Fund</u> | <u>State Special<br/>Revenue<br/>Funds</u> | <u>State Capital<br/>Projects<br/>Funds</u> | <u>Debt<br/>Service<br/>Funds</u> | <u>State<br/>Funds<br/>Total</u> |
|---|-------------------------|--|---|-----------------------------------|----------------------------------|
| <b>Receipts:</b>  |                         |  |   |                                   |                                  |
| Taxes   | 42,605                  | 6,262                                      | 1,405                                       | 37,812                            | 88,084                           |
| Miscellaneous Receipts  | 1,883                   | 16,846                                     | 6,411                                       | 468                               | 25,608                           |
| Federal Receipts  | 0                       | 1  | 5   | 72                                | 78                               |
| <b>Total Receipts</b>   | <b>44,488</b>           | <b>23,109</b>                              | <b>7,821</b>                                | <b>38,352</b>                     | <b>113,770</b>                   |
| <b>Disbursements:</b>   |                         |  |   |                                   |                                  |
| Local Assistance  | 59,320                  | 15,753                                     | 3,809                                       | 0                                 | 78,882                           |
| State Operations:   |                         |  |   |                                   |                                  |
| Personal Service  | 9,437                   | 4,956                                      | 0   | 0                                 | 14,393                           |
| Non-Personal Service  | 3,162                   | 2,765                                      | 0   | 47                                | 5,974                            |
| General State Charges   | 8,889                   | 1,074                                      | 0   | 0                                 | 9,963                            |
| Debt Service  | 0                       | 0  | 0   | 7,097                             | 7,097                            |
| Capital Projects  | 0                       | 0  | 6,649                                       | 0                                 | 6,649                            |
| <b>Total Disbursements</b>  | <b>80,808</b>           | <b>24,548</b>                              | <b>10,458</b>                               | <b>7,144</b>                      | <b>122,958</b>                   |
| <b>Other Financing Sources (Uses):</b>  |                         |  |   |                                   |                                  |
| Transfers from Other Funds  | 35,116                  | 2,305                                      | 3,822                                       | 3,236                             | 44,479                           |
| Transfers to Other Funds  | (6,232)                 | (434)                                      | (1,467)                                     | (34,372)                          | (42,505)                         |
| Bond and Note Proceeds  | 0                       | 0  | 263   | 0                                 | 263                              |
| <b>Net Other Financing Sources (Uses)</b>   | <b>28,884</b>           | <b>1,871</b>                               | <b>2,618</b>                                | <b>(31,136)</b>                   | <b>2,237</b>                     |
| <b>Use (Reservation) of Fund Balance:</b>   |                         |  |   |                                   |                                  |
| Extraordinary Monetary Settlements  | 885                     |  |   |                                   |                                  |
| <b>Total Use (Reservation) of Fund Balance</b>  | <b>885</b>              |  |   |                                   |                                  |
| <b>Excess (Deficiency) of Receipts and Use (Reservation)<br/>of Fund Balance Over Disbursements (Before 2% Adherence)</b> | <b>(6,551)</b>          |  |   |                                   |                                  |
| <b>Adherence to 2% Spending Benchmark</b>   | <b>6,235</b>            |  |   |                                   |                                  |
| <b>Net General Fund Surplus (Deficit)</b>   | <b>(316)</b>            |  |   |                                   |                                  |



**CASH FINANCIAL PLAN**  
**STATE FUNDS**  
(millions of dollars)

|  | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Mid-Year</b> | <b>Annual<br/>\$ Change</b> | <b>Annual<br/>% Change</b> |
|--|----------------------------|-----------------------------|-----------------------------|----------------------------|
| <b>Opening Fund Balance</b>  | <b>11,134</b>              | <b>13,039</b>               | <b>1,905</b>                | <b>17.1%</b>               |
| <b>Receipts:</b>   |                            |                             |                             |                            |
| Taxes  | 79,266                     | 77,923                      | (1,343)                     | -1.7%                      |
| Miscellaneous Receipts   | 27,061                     | 27,976                      | 915                         | 3.4%                       |
| Federal Receipts   | 79                         | 80                          | 1                           | 1.3%                       |
| <b>Total Receipts</b>  | <b>106,406</b>             | <b>105,979</b>              | <b>(427)</b>                | <b>-0.4%</b>               |
| <b>Disbursements:</b>  |                            |                             |                             |                            |
| Local Assistance   | 68,705                     | 71,240                      | 2,535                       | 3.7%                       |
| State Operations:  |                            |                             |                             |                            |
| Personal Service   | 13,170                     | 13,716                      | 546                         | 4.1%                       |
| Non-Personal Service   | 5,651                      | 5,789                       | 138                         | 2.4%                       |
| General State Charges  | 7,853                      | 8,410                       | 557                         | 7.1%                       |
| Debt Service   | 5,873                      | 5,520                       | (353)                       | -6.0%                      |
| Capital Projects   | 5,684                      | 6,609                       | 925                         | 16.3%                      |
| <b>Total Disbursements</b>   | <b>106,936</b>             | <b>111,284</b>              | <b>4,348</b>                | <b>4.1%</b>                |
| <b>Other Financing Sources (Uses):</b>   |                            |                             |                             |                            |
| Transfers from Other Funds   | 33,340                     | 42,335                      | 8,995                       | 27.0%                      |
| Transfers to Other Funds   | (31,065)                   | (39,943)                    | (8,878)                     | -28.6%                     |
| Bond and Note Proceeds   | 160                        | 744                         | 584                         | 365.0%                     |
| <b>Net Other Financing Sources (Uses)</b>  | <b>2,435</b>               | <b>3,136</b>                | <b>701</b>                  | <b>28.8%</b>               |
| <b>Excess (Deficiency) of Receipts and<br/>Other Financing Sources (Uses) Over Disbursements</b> | <b>1,905</b>               | <b>(2,169)</b>              | <b>(4,074)</b>              | <b>-213.9%</b>             |
| <b>Closing Fund Balance</b>  | <b>13,039</b>              | <b>10,870</b>               | <b>(2,169)</b>              | <b>-16.6%</b>              |

**CASHFLOW  
GENERAL FUND  
FY 2018  
(dollars in millions)**

|  | 2018           |                |              |              |                |                   |                 |                  |                  |                 |                  |                |               |
|--|----------------|----------------|--------------|--------------|----------------|-------------------|-----------------|------------------|------------------|-----------------|------------------|----------------|---------------|
|  | April Results  | May Results    | June Results | July Results | August Results | September Results | October Results | November Results | December Results | January Results | February Results | March Results  | Total         |
| <b>OPENING BALANCE</b>                             | 7,749          | 7,405          | 3,140        | 3,014        | 3,774          | 3,883             | 6,523           | 6,363            | 4,509            | 10,145          | 14,864           | 15,318         | 7,749         |
| <b>RECEIPTS:</b>                                   |                |                |              |              |                |                   |                 |                  |                  |                 |                  |                |               |
| Personal Income Tax                                | 3,751          | 1,576          | 3,431        | 1,987        | 2,292          | 3,536             | 2,016           | 1,782            | 4,991            | 6,008           | 2,435            | 2,232          | 36,037        |
| Consumption/Use Taxes                              | 522            | 539            | 731          | 582          | 567            | 737               | 570             | 603              | 743              | 619             | 508              | 656            | 7,377         |
| Business Taxes                                     | 421            | 114            | 770          | 55           | 376            | 782               | (46)            | 18               | 1,141            | (136)           | (83)             | 1,481          | 4,916         |
| Other Taxes  | 91             | 114            | 103          | 66           | 86             | 214               | 77              | 73               | 154              | 174             | 81               | 93             | 1,326         |
| Total Taxes  | 4,785          | 2,366          | 5,035        | 2,690        | 3,321          | 5,269             | 2,617           | 2,476            | 7,029            | 6,665           | 2,941            | 4,462          | 49,656        |
| Abandoned Property                                 | 0              | 0              | 0            | 0            | 1              | 64                | 5               | 170              | 21               | 24              | 0                | 175            | 460           |
| ABC License Fee                                    | 6              | 6              | 6            | 5            | 6              | 6                 | 7               | 4                | 5                | 6               | 5                | 3              | 65            |
| Investment Income                                  | 4              | 1              | 2            | 1            | 2              | 2                 | 4               | 4                | 3                | 4               | 16               | 17             | 60            |
| Licenses, Fees, etc.                               | 27             | 73             | 36           | 51           | 36             | 77                | 63              | 36               | 89               | 61              | 78               | 42             | 669           |
| Motor Vehicle Fees                                 | 36             | 29             | 31           | (5)          | 34             | 7                 | 18              | 16               | 5                | 15              | 20               | 46             | 252           |
| Reimbursements                                     | 2              | 23             | 37           | 9            | 24             | 26                | 11              | 28               | 23               | 25              | 15               | 52             | 275           |
| Extraordinary Settlements                          | 0              | 350            | 0            | 0            | 0              | 231               | 0               | 137              | 0                | 60              | 0                | 0              | 778           |
| Other Transactions                                 | 20             | 31             | 52           | 51           | 24             | 106               | 45              | 36               | 82               | 27              | 23               | 73             | 570           |
| Total Miscellaneous Receipts                       | 95             | 513            | 164          | 112          | 127            | 519               | 153             | 431              | 228              | 222             | 157              | 408            | 3,129         |
| Federal Receipts                                   | 0              | 0              | 0            | 0            | 0              | 0                 | 0               | 0                | 0                | 0               | 0                | 0              | 0             |
| PIT in Excess of Revenue Bond Debt Service         | 1,249          | 509            | 1,162        | 455          | 356            | 1,400             | 674             | 396              | 1,687            | 966             | 784              | 1,271          | 10,909        |
| Tax in Excess of LGAC                              | 234            | 54             | 508          | 263          | 226            | 341               | 260             | 274              | 346              | 280             | 6                | 306            | 3,098         |
| Sales Tax Bond Fund                                | 179            | 186            | 280          | 205          | 210            | 310               | 196             | 211              | 282              | 216             | 171              | 317            | 2,763         |
| Real Estate Taxes in Excess of CW/CA Debt Service  | 81             | 95             | 89           | 84           | 86             | 93                | 65              | 80               | 62               | 78              | 77               | 54             | 944           |
| All Other  | 10             | 2              | 3            | 6            | 5              | 94                | 12              | 40               | 94               | 82              | 111              | 462            | 921           |
| Total Transfers from Other Funds                   | 1,753          | 846            | 2,042        | 1,013        | 883            | 2,238             | 1,207           | 1,001            | 2,471            | 1,622           | 1,149            | 2,410          | 18,635        |
| <b>TOTAL RECEIPTS</b>                              | <b>6,633</b>   | <b>3,725</b>   | <b>7,241</b> | <b>3,815</b> | <b>4,331</b>   | <b>8,026</b>      | <b>3,977</b>    | <b>3,908</b>     | <b>9,728</b>     | <b>8,509</b>    | <b>4,247</b>     | <b>7,280</b>   | <b>71,420</b> |
| <b>DISBURSEMENTS:</b>                              |                |                |              |              |                |                   |                 |                  |                  |                 |                  |                |               |
| School Aid   | 868            | 3,358          | 1,878        | 38           | 570            | 1,753             | 801             | 1,563            | 1,845            | 540             | 651              | 8,150          | 22,015        |
| Higher Education                                   | 19             | 37             | 954          | 221          | 128            | 181               | 93              | 37               | 188              | 27              | 36               | 912            | 2,833         |
| All Other Education                                | 95             | 484            | 75           | 158          | 56             | 49                | 61              | 407              | 83               | 34              | 117              | 519            | 2,138         |
| Medicaid - DOH                                     | 1,376          | 1,408          | 1,370        | 1,165        | 1,248          | 1,150             | 1,220           | 1,416            | 994              | 1,446           | 767              | 138            | 13,398        |
| Public Health                                      | 68             | 177            | 50           | 63           | 44             | 36                | 35              | 42               | 30               | 14              | 67               | 81             | 707           |
| Mental Hygiene                                     | 2              | 3              | 184          | (1)          | 2              | 216               | 0               | 0                | 65               | 116             | 72               | 88             | 747           |
| Children and Families                              | 36             | 101            | 194          | 71           | 23             | 134               | 70              | 73               | 170              | 45              | 316              | 372            | 1,605         |
| Temporary & Disability Assistance                  | 95             | 112            | 143          | 92           | 93             | 67                | 63              | 67               | 63               | 63              | 197              | 175            | 1,230         |
| Transportation                                     | 0              | 25             | 14           | 0            | 25             | 0                 | 0               | 24               | 11               | 0               | 13               | 3              | 115           |
| Unrestricted Aid                                   | 0              | 11             | 388          | 2            | 0              | 102               | 7               | 2                | 182              | 1               | 1                | 65             | 761           |
| All Other  | 11             | 16             | 90           | 30           | 74             | 31                | 15              | 50               | (29)             | 104             | 37               | 94             | 523           |
| Total Local Assistance                             | 2,570          | 5,732          | 5,340        | 1,839        | 2,263          | 3,719             | 2,365           | 3,681            | 3,602            | 2,090           | 2,274            | 10,597         | 46,072        |
| Personal Service                                   | 485            | 642            | 475          | 466          | 565            | 477               | 498             | 622              | 488              | 446             | 450              | 522            | 6,136         |
| Non-Personal Service                               | 91             | 226            | 185          | 142          | 212            | 179               | 181             | 182              | 128              | 222             | 121              | 223            | 2,092         |
| Total State Operations                             | 576            | 868            | 660          | 608          | 777            | 656               | 679             | 804              | 616              | 668             | 571              | 745            | 8,228         |
| General State Charges                              | 2,398          | 292            | 409          | 347          | 41             | 514               | 396             | 86               | 404              | 225             | 317              | 143            | 5,572         |
| Debt Service                                       | 274            | (1)            | 2            | 148          | 14             | (87)              | 362             | (3)              | 0                | 306             | (28)             | 60             | 1,047         |
| Capital Projects                                   | 310            | 268            | 438          | (602)        | 495            | 437               | (270)           | 370              | (791)            | 247             | 360              | 929            | 2,191         |
| State Share Medicaid                               | 100            | 138            | 98           | 64           | 151            | 95                | 63              | 162              | 92               | 122             | 136              | 112            | 1,333         |
| SUNY Operations                                    | 531            | 475            | 202          | 470          | 481            | 52                | 542             | 481              | 170              | 132             | 163              | 567            | 4,266         |
| Other Purposes                                     | 1,433          | 1,098          | 958          | 261          | 1,141          | 497               | 697             | 1,191            | (530)            | 807             | 631              | 1,668          | 9,852         |
| Total Transfers to Other Funds                     | 6,977          | 7,990          | 7,367        | 3,055        | 4,222          | 5,386             | 4,137           | 5,762            | 4,092            | 3,790           | 3,793            | 13,153         | 69,724        |
| <b>TOTAL DISBURSEMENTS</b>                         | <b>(3,444)</b> | <b>(4,265)</b> | <b>(126)</b> | <b>760</b>   | <b>109</b>     | <b>2,640</b>      | <b>(160)</b>    | <b>(1,854)</b>   | <b>5,636</b>     | <b>4,719</b>    | <b>454</b>       | <b>(5,873)</b> | <b>1,696</b>  |
| Excess/(Deficiency) of Receipts over Disbursements | 7,405          | 3,140          | 3,014        | 3,774        | 3,883          | 6,523             | 6,363           | 4,509            | 10,145           | 14,864          | 15,318           | 9,445          | 9,445         |
| <b>CLOSING BALANCE</b>                             |                |                |              |              |                |                   |                 |                  |                  |                 |                  |                |               |

**CASHFLOW  
STATE OPERATING FUNDS  
FY 2018  
(dollars in millions)**

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Intra-Fund<br>Transfer<br>Eliminations | Total    |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|--|----------|
| <b>OPENING BALANCE</b>                             | 11,625                   | 12,636         | 8,247           | 8,315           | 10,096            | 10,520               | 10,984             | 11,587              | 9,744               | 14,492                     | 21,562              | 22,062           | 0                                      | 11,625   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |          |
| Personal Income Tax                                | 5,001                    | 2,102          | 4,652           | 2,649           | 3,056             | 4,715                | 2,695              | 2,390               | 6,772               | 11,229                     | 3,248               | 2,992            | 0                                      | 51,501   |
| Consumption/Use Taxes                              | 1,178                    | 1,184          | 1,590           | 1,280           | 1,251             | 1,597                | 1,263              | 1,314               | 1,614               | 1,352                      | 1,102               | 1,414            | 0                                      | 16,139   |
| Business Taxes                                     | 556                      | 198            | 977             | 130             | 474               | 995                  | 18                 | 99                  | 1,417               | (37)                       | (39)                | 1,754            | 0                                      | 6,542    |
| Other Taxes  | 306                      | 316            | 298             | 249             | 289               | 413                  | 264                | 248                 | 339                 | 461                        | 311                 | 277              | 0                                      | 3,771    |
| Total Taxes  | 7,041                    | 3,800          | 7,517           | 4,308           | 5,070             | 7,720                | 4,240              | 4,051               | 10,142              | 13,005                     | 4,622               | 6,437            | 0                                      | 77,953   |
| Abandoned Property                                 | 0                        | 0              | 0               | 0               | 1                 | 64                   | 5                  | 170                 | 21                  | 24                         | 0                   | 175              | 0                                      | 460      |
| ABC License Fee                                    | 6                        | 6              | 6               | 5               | 6                 | 6                    | 6                  | 4                   | 5                   | 6                          | 5                   | 3                | 0                                      | 65       |
| HCRA   | 397                      | 395            | 398             | 473             | 440               | 407                  | 436                | 401                 | 458                 | 428                        | 400                 | 411              | 0                                      | 5,044    |
| Investment Income                                  | 4                        | 1              | 2               | 2               | 2                 | 2                    | 4                  | 4                   | 3                   | 4                          | 16                  | 17               | 0                                      | 60       |
| Licenses, Fees, etc.                               | 27                       | 73             | 36              | 51              | 36                | 77                   | 63                 | 36                  | 89                  | 61                         | 78                  | 42               | 0                                      | 669      |
| Lottery  | 263                      | 308            | 288             | 259             | 362               | 260                  | 256                | 315                 | 264                 | 337                        | 259                 | 254              | 0                                      | 3,425    |
| Medical  | 71                       | 68             | 68              | 75              | 70                | 73                   | 78                 | 74                  | 75                  | 69                         | 71                  | 66               | 0                                      | 858      |
| Motor Vehicle Fees                                 | 71                       | 66             | 69              | 30              | 71                | 43                   | 53                 | 49                  | 34                  | 47                         | 57                  | 76               | 0                                      | 666      |
| Reimbursements                                     | 2                        | 23             | 37              | 9               | 24                | 26                   | 11                 | 28                  | 23                  | 25                         | 15                  | 52               | 0                                      | 275      |
| State University Income                            | 290                      | 248            | 301             | 245             | 364               | 753                  | 408                | 340                 | 265                 | 553                        | 673                 | 336              | 0                                      | 4,776    |
| Extraordinary Settlements                          | 0                        | 350            | 0               | 0               | 0                 | 231                  | 0                  | 137                 | 504                 | 191                        | 353                 | 575              | 0                                      | 4,258    |
| Other Transactions                                 | 257                      | 206            | 477             | 287             | 207               | 640                  | 238                | 313                 | 504                 | 191                        | 353                 | 575              | 0                                      | 4,258    |
| Total Miscellaneous Receipts                       | 1,388                    | 1,744          | 1,682           | 1,445           | 1,583             | 2,582                | 1,559              | 1,871               | 1,741               | 1,805                      | 1,927               | 2,007            | 0                                      | 21,334   |
| Federal Receipts                                   | 0                        | 0              | 0               | 2               | 35                | 0                    | 0                  | 0                   | 0                   | 2                          | 34                  | 1                | 0                                      | 74       |
| <b>TOTAL RECEIPTS</b>                              | 8,429                    | 5,544          | 9,199           | 5,755           | 6,688             | 10,302               | 5,799              | 5,922               | 11,883              | 14,812                     | 6,583               | 8,445            | 0                                      | 99,361   |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |          |
| School Aid   | 868                      | 3,358          | 2,205           | 38              | 570               | 3,942                | 946                | 1,708               | 1,990               | 685                        | 796                 | 8,351            | 0                                      | 25,457   |
| Higher Education                                   | 19                       | 37             | 954             | 221             | 128               | 181                  | 93                 | 37                  | 188                 | 27                         | 36                  | 912              | 0                                      | 2,833    |
| All Other Education                                | 95                       | 484            | 76              | 160             | 58                | 50                   | 61                 | 407                 | 85                  | 34                         | 118                 | 519              | 0                                      | 2,147    |
| STAR   | 0                        | 0              | 58              | 0               | 0                 | 0                    | 1                  | 11                  | 92                  | 2,414                      | 2                   | 11               | 0                                      | 2,589    |
| Medical - DOH                                      | 1,765                    | 1,939          | 1,717           | 1,486           | 1,915             | 1,669                | 1,611              | 2,028               | 1,464               | 1,627                      | 1,422               | 501              | 0                                      | 19,144   |
| Public Health                                      | 111                      | 223            | 142             | 255             | 89                | 74                   | 87                 | 114                 | 73                  | 84                         | 102                 | 190              | 0                                      | 1,544    |
| Mental Hygiene                                     | 39                       | 124            | 448             | 106             | 41                | 417                  | 48                 | 53                  | 345                 | 218                        | 147                 | 364              | 0                                      | 2,350    |
| Children and Families                              | 36                       | 102            | 194             | 71              | 23                | 134                  | 70                 | 74                  | 170                 | 45                         | 317                 | 372              | 0                                      | 1,608    |
| Temporary & Disability Assistance                  | 95                       | 112            | 143             | 92              | 93                | 67                   | 63                 | 67                  | 63                  | 63                         | 197                 | 175              | 0                                      | 1,230    |
| Transportation                                     | 245                      | 504            | 434             | 372             | 496               | 413                  | 362                | 584                 | 862                 | 204                        | 319                 | 229              | 0                                      | 5,024    |
| Unrestricted Aid                                   | 0                        | 11             | 388             | 2               | 0                 | 102                  | 7                  | 2                   | 182                 | 1                          | 1                   | 65               | 0                                      | 761      |
| All Other  | 42                       | 41             | 110             | 60              | 114               | 50                   | 66                 | 83                  | (7)                 | 128                        | 124                 | 106              | 0                                      | 917      |
| Total Local Assistance                             | 3,315                    | 6,995          | 6,869           | 2,863           | 3,527             | 7,099                | 3,415              | 5,168               | 5,507               | 5,530                      | 3,581               | 11,795           | 0                                      | 65,604   |
| Personal Service                                   | 1,050                    | 1,371          | 1,013           | 998             | 1,181             | 998                  | 1,064              | 1,344               | 1,034               | 983                        | 995                 | 1,139            | 0                                      | 13,170   |
| Non-Personal Service                               | 322                      | 500            | 526             | 364             | 526               | 460                  | 506                | 474                 | 421                 | 557                        | 409                 | 586              | 0                                      | 5,651    |
| Total State Operations                             | 1,372                    | 1,871          | 1,539           | 1,362           | 1,707             | 1,458                | 1,570              | 1,818               | 1,455               | 1,540                      | 1,404               | 1,725            | 0                                      | 18,821   |
| General State Charges                              | 2,452                    | 739            | 467             | 393             | 429               | 542                  | 533                | 521                 | 541                 | 476                        | 399                 | 361              | 0                                      | 7,853    |
| Debt Service                                       | 87                       | 148            | 186             | 29              | 348               | 757                  | 27                 | 82                  | 529                 | 31                         | 709                 | 2,940            | 0                                      | 5,873    |
| Capital Projects                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0                                      | 0        |
| <b>TOTAL DISBURSEMENTS</b>                         | 7,226                    | 9,693          | 9,061           | 4,647           | 6,011             | 9,856                | 5,545              | 7,589               | 8,032               | 7,577                      | 6,093               | 16,821           | 0                                      | 98,151   |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |          |
| Transfers from other funds                         | 3,169                    | 1,936          | 3,151           | 2,091           | 1,945             | 2,800                | 2,362              | 2,065               | 2,980               | 2,343                      | 1,939               | 4,307            | (631)                                  | 30,457   |
| Transfers to other funds                           | (3,361)                  | (2,176)        | (3,221)         | (1,418)         | (2,198)           | (2,782)              | (2,013)            | (2,241)             | (2,083)             | (2,508)                    | (1,929)             | (4,386)          | 631                                    | (29,685) |
| Bond and note proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0                                      | 0        |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | (192)                    | (240)          | (70)            | 673             | (253)             | 18                   | 349                | (176)               | 897                 | (165)                      | 10                  | (79)             | 0                                      | 772      |
| Excess/(Deficiency) of Receipts over Disbursements | 1,011                    | (4,359)        | 68              | 1,781           | 424               | 464                  | 603                | (1,843)             | 4,748               | 7,070                      | 500                 | (8,455)          | 0                                      | 1,982    |
| <b>CLOSING BALANCE</b>                             | 12,636                   | 8,247          | 8,315           | 10,096          | 10,520            | 10,984               | 11,587             | 9,744               | 14,492              | 21,562                     | 22,062              | 13,607           | 0                                      | 13,607   |

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2018  
(dollars in millions)**

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Intra-Fund<br>Transfer<br>Eliminations | Total          |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|--|----------------|
| <b>OPENING BALANCE</b>                             | 11,105                   | 11,516         | 6,484           | 7,680           | 9,317             | 9,495                | 9,851              | 9,874               | 8,187               | 13,854                     | 20,138              | 18,809           |  | 11,105         |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |                |
| Personal Income Tax                                | 5,001                    | 2,102          | 4,652           | 2,649           | 3,056             | 4,715                | 2,695              | 2,390               | 6,772               | 11,229                     | 3,248               | 2,992            | 0                                      | 51,501         |
| Consumption/Use Taxes                              | 1,230                    | 1,188          | 1,643           | 1,335           | 1,306             | 1,652                | 1,316              | 1,365               | 1,666               | 1,401                      | 1,145               | 1,464            | 0                                      | 16,711         |
| Business Taxes                                     | 603                      | 244            | 1,032           | 185             | 524               | 1,053                | 69                 | 151                 | 1,469               | 15                         | 16                  | 1,804            | 0                                      | 7,165          |
| Other Taxes  | 306                      | 316            | 310             | 261             | 276               | 425                  | 276                | 259                 | 351                 | 473                        | 323                 | 288              | 0                                      | 3,889          |
| Total Taxes  | 7,140                    | 3,850          | 7,637           | 4,430           | 5,187             | 7,845                | 4,356              | 4,165               | 10,258              | 13,118                     | 4,732               | 6,548            | 0                                      | 79,266         |
| Abandoned Property                                 | 0                        | 0              | 0               | 0               | 1                 | 64                   | 5                  | 170                 | 21                  | 24                         | 0                   | 175              | 0                                      | 460            |
| ABC License Fee                                    | 6                        | 6              | 6               | 5               | 6                 | 6                    | 7                  | 4                   | 5                   | 6                          | 5                   | 3                | 0                                      | 65             |
| HCRA   | 397                      | 395            | 398             | 473             | 440               | 407                  | 436                | 401                 | 458                 | 428                        | 400                 | 411              | 0                                      | 5,044          |
| Investment Income                                  | 4                        | 1              | 2               | 1               | 2                 | 2                    | 4                  | 4                   | 3                   | 4                          | 16                  | 17               | 0                                      | 60             |
| Licenses, Fees, etc.                               | 27                       | 73             | 36              | 51              | 36                | 77                   | 63                 | 36                  | 89                  | 61                         | 78                  | 42               | 0                                      | 669            |
| Lottery  | 263                      | 308            | 288             | 259             | 362               | 260                  | 256                | 315                 | 264                 | 337                        | 259                 | 254              | 0                                      | 3,425          |
| Medicaid   | 71                       | 68             | 68              | 75              | 70                | 73                   | 78                 | 74                  | 75                  | 69                         | 71                  | 66               | 0                                      | 858            |
| Motor Vehicle Fees                                 | 71                       | 66             | 69              | 30              | 43                | 43                   | 53                 | 49                  | 34                  | 47                         | 57                  | 76               | 0                                      | 666            |
| Reimbursements                                     | 2                        | 23             | 37              | 9               | 24                | 26                   | 11                 | 28                  | 23                  | 25                         | 15                  | 52               | 0                                      | 275            |
| State University Income                            | 290                      | 248            | 301             | 245             | 364               | 753                  | 408                | 340                 | 265                 | 553                        | 673                 | 336              | 0                                      | 4,776          |
| Extraordinary Settlements                          | 0                        | 350            | 0               | 0               | 0                 | 231                  | 0                  | 137                 | 0                   | 60                         | 0                   | 1,186            | 0                                      | 778            |
| Other Transactions                                 | 363                      | 348            | 680             | 1,522           | 388               | 796                  | 1,222              | 433                 | 2,342               | 426                        | 480                 | 2,618            | 0                                      | 10,186         |
| Total Miscellaneous Receipts                       | 1,494                    | 1,886          | 1,885           | 2,670           | 1,764             | 2,738                | 2,543              | 1,991               | 3,579               | 2,040                      | 2,054               | 2,618            | 0                                      | 27,262         |
| Federal Receipts                                   | 3,473                    | 4,696          | 5,680           | 3,774           | 5,262             | 5,150                | 4,215              | 5,350               | 5,051               | 3,762                      | 3,963               | 8,566            | 0                                      | 58,942         |
| <b>TOTAL RECEIPTS</b>                              | <b>12,107</b>            | <b>10,432</b>  | <b>15,202</b>   | <b>10,874</b>   | <b>12,213</b>     | <b>15,733</b>        | <b>11,114</b>      | <b>11,506</b>       | <b>18,888</b>       | <b>18,920</b>              | <b>10,749</b>       | <b>17,732</b>    | <b>0</b>                               | <b>165,470</b> |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |                |
| School Aid   | 1,081                    | 3,564          | 2,523           | 239             | 723               | 4,051                | 1,079              | 1,928               | 2,323               | 894                        | 966                 | 8,539            | 0                                      | 27,910         |
| Higher Education                                   | 19                       | 37             | 954             | 221             | 128               | 181                  | 93                 | 37                  | 188                 | 27                         | 36                  | 913              | 0                                      | 2,834          |
| All Other Education                                | 146                      | 643            | 235             | 229             | 142               | 235                  | 128                | 456                 | 119                 | 72                         | 164                 | 560              | 0                                      | 2,987          |
| STAR   | 0                        | 0              | 58              | 0               | 0                 | 0                    | 1                  | 11                  | 92                  | 2,414                      | 2                   | 11               | 0                                      | 2,589          |
| Medicaid - DOH                                     | 4,786                    | 5,844          | 4,698           | 4,462           | 6,008             | 4,698                | 4,806              | 5,680               | 4,774               | 4,856                      | 5,483               | 4,141            | 0                                      | 60,236         |
| Public Health                                      | 171                      | 408            | 322             | 433             | 192               | 835                  | 226                | 244                 | 196                 | 278                        | 243                 | 698              | 0                                      | 4,246          |
| Mental Hygiene                                     | 58                       | 155            | 469             | 122             | 56                | 441                  | 82                 | 63                  | 362                 | 230                        | 170                 | 392              | 0                                      | 2,600          |
| Children and Families                              | 127                      | 291            | 303             | 102             | 166               | 181                  | 162                | 158                 | 182                 | 54                         | 375                 | 663              | 0                                      | 2,764          |
| Temporary & Disability Assistance                  | 256                      | 236            | 378             | 242             | 195               | 300                  | 653                | 249                 | 146                 | 187                        | 651                 | 785              | 0                                      | 4,278          |
| Transportation                                     | 285                      | 559            | 522             | 402             | 632               | 512                  | 435                | 627                 | 1,154               | 268                        | 394                 | 445              | 0                                      | 6,235          |
| Unrestricted Aid                                   | 0                        | 11             | 388             | 2               | 0                 | 102                  | 7                  | 2                   | 182                 | 1                          | 283                 | 65               | 0                                      | 761            |
| All Other  | 376                      | 239            | 287             | 322             | 477               | 359                  | 352                | 410                 | 328                 | 511                        | 283                 | 611              | 0                                      | 4,555          |
| Total Local Assistance                             | 7,305                    | 11,987         | 11,137          | 6,776           | 8,719             | 11,753               | 8,024              | 9,865               | 10,046              | 9,792                      | 8,768               | 17,823           | 0                                      | 121,995        |
| Personal Service                                   | 1,100                    | 1,450          | 1,065           | 1,045           | 1,228             | 1,047                | 1,112              | 1,422               | 1,082               | 1,031                      | 1,045               | 1,211            | 0                                      | 13,838         |
| Non-Personal Service                               | 369                      | 619            | 612             | 427             | 659               | 647                  | 645                | 489                 | 489                 | 693                        | 530                 | 734              | 0                                      | 7,020          |
| Total State Operations                             | 1,469                    | 2,069          | 1,677           | 1,472           | 1,887             | 1,694                | 1,757              | 2,018               | 1,571               | 1,724                      | 1,575               | 1,945            | 0                                      | 20,858         |
| General State Charges                              | 2,459                    | 785            | 186             | 404             | 487               | 562                  | 557                | 565                 | 552                 | 479                        | 458                 | 389              | 0                                      | 8,175          |
| Debt Service                                       | 87                       | 148            | 186             | 29              | 348               | 757                  | 27                 | 82                  | 529                 | 31                         | 709                 | 2,940            | 0                                      | 5,873          |
| Capital Projects                                   | 350                      | 472            | 525             | 556             | 577               | 596                  | 719                | 645                 | 518                 | 606                        | 564                 | 715              | 0                                      | 6,843          |
| <b>TOTAL DISBURSEMENTS</b>                         | <b>11,670</b>            | <b>15,461</b>  | <b>14,003</b>   | <b>9,237</b>    | <b>12,018</b>     | <b>15,362</b>        | <b>11,084</b>      | <b>13,175</b>       | <b>13,216</b>       | <b>12,632</b>              | <b>12,074</b>       | <b>23,812</b>    | <b>0</b>                               | <b>163,744</b> |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |                |
| Transfers from other funds                         | 3,485                    | 2,313          | 3,628           | 1,507           | 2,457             | 3,239                | 2,096              | 2,430               | 2,280               | 2,595                      | 2,302               | 5,363            | (631)                                  | 33,064         |
| Transfers to other funds                           | (3,511)                  | (2,316)        | (3,631)         | (1,507)         | (2,474)           | (3,254)              | (2,103)            | (2,448)             | (2,285)             | (2,599)                    | (2,306)             | (5,503)          | 631                                    | (33,306)       |
| Bond and note proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 160              | 0                                      | 160            |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | <b>(26)</b>              | <b>(3)</b>     | <b>(3)</b>      | <b>0</b>        | <b>(17)</b>       | <b>(15)</b>          | <b>(7)</b>         | <b>(18)</b>         | <b>(5)</b>          | <b>(4)</b>                 | <b>(4)</b>          | <b>20</b>        | <b>0</b>                               | <b>(82)</b>    |
| Excess/(Deficiency) of Receipts over Disbursements | 411                      | (5,029)        | 1,196           | 1,637           | 178               | 356                  | 23                 | (1,687)             | 5,667               | 6,284                      | (1,329)             | (6,060)          | 0                                      | 1,644          |
| <b>CLOSING BALANCE</b>                             | <b>11,516</b>            | <b>6,484</b>   | <b>7,680</b>    | <b>9,317</b>    | <b>9,495</b>      | <b>9,851</b>         | <b>9,874</b>       | <b>8,187</b>        | <b>13,854</b>       | <b>20,138</b>              | <b>18,809</b>       | <b>12,749</b>    | <b>0</b>                               | <b>12,749</b>  |

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2018**  
(dollars in millions)

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Intra-Fund<br>Transfer<br>Eliminations | Total   |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|--|---------|
| <b>OPENING BALANCE</b>                             | 4,272                    | 4,732          | 3,896           | 5,154           | 5,592             | 5,523                | 4,213              | 4,049               | 3,888               | 3,916                      | 3,393               | 1,990            |  | 4,272   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |         |
| Personal Income Tax                                | 0                        | 0              | 58              | 0               | 0                 | 0                    | 5                  | 11                  | 88                  | 2,414                      | 1                   | 12               | 0                                      | 2,589   |
| Consumption/Use Taxes                              | 183                      | 157            | 183             | 171             | 169               | 179                  | 173                | 160                 | 178                 | 173                        | 125                 | 134              | 0                                      | 1,985   |
| Business Taxes                                     | 135                      | 61             | 207             | 75              | 98                | 213                  | 64                 | 81                  | 276                 | 99                         | 44                  | 273              | 0                                      | 1,626   |
| Other Taxes  | 120                      | 107            | 106             | 99              | 105               | 100                  | 117                | 91                  | 117                 | 204                        | 148                 | 125              | 0                                      | 1,439   |
| Total Taxes  | 438                      | 325            | 554             | 345             | 372               | 492                  | 359                | 343                 | 659                 | 2,890                      | 318                 | 544              | 0                                      | 7,639   |
| HCRA   | 397                      | 395            | 398             | 473             | 440               | 407                  | 436                | 401                 | 458                 | 428                        | 400                 | 411              | 0                                      | 5,044   |
| State/University Income                            | 290                      | 248            | 301             | 245             | 364               | 753                  | 408                | 340                 | 265                 | 553                        | 673                 | 336              | 0                                      | 4,776   |
| Lottery  | 263                      | 308            | 288             | 259             | 362               | 260                  | 256                | 335                 | 264                 | 337                        | 259                 | 254              | 0                                      | 3,425   |
| Medicaid   | 71                       | 68             | 68              | 75              | 70                | 73                   | 78                 | 74                  | 75                  | 69                         | 71                  | 66               | 0                                      | 858     |
| Motor Vehicle Fees                                 | 35                       | 37             | 38              | 35              | 37                | 36                   | 35                 | 33                  | 41                  | 32                         | 37                  | 30               | 0                                      | 414     |
| Other Transactions                                 | 200                      | 181            | 381             | 218             | 238               | 448                  | 179                | 261                 | 415                 | 130                        | 315                 | 450              | 0                                      | 3,416   |
| Total Miscellaneous Receipts                       | 1,256                    | 1,237          | 1,474           | 1,305           | 1,511             | 1,977                | 1,392              | 1,424               | 1,506               | 1,549                      | 1,755               | 1,547            | 0                                      | 17,933  |
| Federal Receipts                                   | 3,337                    | 4,583          | 5,398           | 3,633           | 5,067             | 5,002                | 4,086              | 5,080               | 4,748               | 3,634                      | 3,783               | 8,393            | 0                                      | 56,744  |
| <b>TOTAL RECEIPTS</b>                              | 5,031                    | 6,145          | 7,426           | 5,283           | 6,950             | 7,471                | 5,837              | 6,847               | 6,913               | 8,073                      | 5,856               | 10,484           | 0                                      | 82,316  |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |         |
| School Aid   | 213                      | 206            | 623             | 195             | 149               | 2,292                | 258                | 358                 | 468                 | 350                        | 309                 | 382              | 0                                      | 5,803   |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 1                | 0                                      | 1       |
| All Other Education                                | 51                       | 159            | 160             | 54              | 86                | 44                   | 67                 | 49                  | 36                  | 38                         | 47                  | 39               | 0                                      | 830     |
| STAR   | 0                        | 0              | 58              | 0               | 0                 | 0                    | 1                  | 11                  | 92                  | 2,414                      | 2                   | 11               | 0                                      | 2,589   |
| Medicaid - DOH                                     | 3,410                    | 4,436          | 3,328           | 3,297           | 4,760             | 3,548                | 3,586              | 4,264               | 3,780               | 3,710                      | 4,716               | 4,003            | 0                                      | 46,838  |
| Public Health                                      | 103                      | 222            | 270             | 360             | 142               | 700                  | 174                | 198                 | 130                 | 228                        | 165                 | 601              | 0                                      | 3,383   |
| Mental Hygiene                                     | 50                       | 136            | 273             | 116             | 45                | 213                  | 71                 | 64                  | 291                 | 110                        | 84                  | 290              | 0                                      | 1,743   |
| Children and Families                              | 91                       | 190            | 109             | 31              | 143               | 47                   | 92                 | 85                  | 12                  | 9                          | 59                  | 291              | 0                                      | 1,159   |
| Temporary & Disability Assistance                  | 161                      | 124            | 235             | 150             | 86                | 233                  | 590                | 182                 | 67                  | 124                        | 454                 | 595              | 0                                      | 3,001   |
| Transportation                                     | 251                      | 481            | 426             | 374             | 473               | 415                  | 364                | 570                 | 854                 | 206                        | 309                 | 233              | 0                                      | 4,956   |
| Unrestricted Aid                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0                                      | 0       |
| All Other  | 153                      | 93             | 22              | 136             | 150               | 84                   | 206                | 214                 | 90                  | 301                        | 141                 | 233              | 0                                      | 1,823   |
| Total Local Assistance                             | 4,483                    | 6,047          | 5,504           | 4,713           | 6,034             | 7,666                | 5,409              | 5,995               | 5,820               | 7,490                      | 6,286               | 6,679            | 0                                      | 72,126  |
| Personal Service                                   | 615                      | 808            | 590             | 579             | 663               | 570                  | 614                | 800                 | 594                 | 585                        | 595                 | 689              | 0                                      | 7,702   |
| Non-Personal Service                               | 277                      | 391            | 423             | 277             | 446               | 464                  | 463                | 414                 | 350                 | 470                        | 408                 | 503              | 0                                      | 4,886   |
| Total State Operations                             | 892                      | 1,199          | 1,013           | 856             | 1,109             | 1,034                | 1,077              | 1,214               | 944                 | 1,055                      | 1,003               | 1,192            | 0                                      | 12,588  |
| General State Charges                              | 61                       | 493            | 69              | 57              | 446               | 48                   | 161                | 479                 | 148                 | 254                        | 141                 | 246              | 0                                      | 2,603   |
| Capital Projects                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0                                      | 0       |
| <b>TOTAL DISBURSEMENTS</b>                         | 5,436                    | 7,739          | 6,586           | 5,626           | 7,589             | 8,748                | 6,647              | 7,688               | 6,912               | 8,799                      | 7,430               | 8,117            | 0                                      | 87,317  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |         |
| Transfers from Other Funds                         | 1,026                    | 977            | 834             | 856             | 856               | 234                  | 724                | 887                 | 388                 | 350                        | 575                 | 873              | (631)                                  | 7,949   |
| Transfers to Other Funds                           | (161)                    | (219)          | (416)           | (75)            | (286)             | (267)                | (78)               | (207)               | (361)               | (147)                      | (404)               | (928)            | 631                                    | (2,918) |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | 865                      | 758            | 418             | 781             | 570               | (33)                 | 646                | 680                 | 27                  | 203                        | 171                 | (55)             | 0                                      | 5,031   |
| Excess/(Deficiency) of Receipts over Disbursements | 460                      | (836)          | 1,258           | 438             | (69)              | (1,310)              | (164)              | (161)               | 28                  | (523)                      | (1,403)             | 2,312            | 0                                      | 30      |
| <b>CLOSING BALANCE</b>                             | 4,732                    | 3,896          | 5,154           | 5,592           | 5,523             | 4,213                | 4,049              | 3,888               | 3,916               | 3,393                      | 1,990               | 4,302            | 0                                      | 4,302   |

**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS**  
**FY 2018**  
(dollars in millions)

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Intra-Fund<br>Transfer<br>Eliminations | Total  |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|--|--------|
| <b>OPENING BALANCE</b>                             | 3,732                    | 4,805          | 4,536           | 4,883           | 5,542             | 5,634                | 4,065              | 4,437               | 4,117               | 3,610                      | 3,744               | 4,035            |  | 3,732  |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |        |
| Personal Income Tax                                | 0                        | 0              | 58              | 0               | 0                 | 0                    | 5                  | 11                  | 88                  | 2,414                      | 1                   | 12               | 0                                      | 2,589  |
| Consumption/Use Taxes                              | 183                      | 157            | 183             | 171             | 169               | 179                  | 173                | 160                 | 178                 | 173                        | 125                 | 134              | 0                                      | 1,985  |
| Business Taxes                                     | 135                      | 61             | 207             | 75              | 98                | 213                  | 64                 | 81                  | 276                 | 99                         | 44                  | 273              | 0                                      | 1,626  |
| Other Taxes  | 120                      | 107            | 106             | 99              | 105               | 100                  | 117                | 91                  | 117                 | 2,041                      | 148                 | 125              | 0                                      | 1,439  |
| Total Taxes  | 438                      | 325            | 554             | 345             | 372               | 492                  | 359                | 343                 | 659                 | 2,890                      | 318                 | 544              | 0                                      | 7,639  |
| HCRA   | 397                      | 395            | 398             | 473             | 440               | 407                  | 436                | 401                 | 458                 | 428                        | 400                 | 411              | 0                                      | 5,044  |
| State University Income                            | 290                      | 248            | 301             | 245             | 364               | 408                  | 364                | 340                 | 265                 | 553                        | 673                 | 336              | 0                                      | 4,776  |
| Lottery  | 263                      | 308            | 288             | 259             | 362               | 260                  | 256                | 315                 | 264                 | 337                        | 259                 | 254              | 0                                      | 3,425  |
| Medicaid   | 71                       | 68             | 68              | 75              | 70                | 75                   | 78                 | 74                  | 75                  | 69                         | 71                  | 66               | 0                                      | 858    |
| Motor Vehicle Fees                                 | 35                       | 37             | 38              | 35              | 37                | 36                   | 35                 | 33                  | 29                  | 32                         | 37                  | 30               | 0                                      | 414    |
| Other Transactions                                 | 187                      | 133            | 369             | 205             | 213               | 439                  | 166                | 244                 | 405                 | 118                        | 298                 | 440              | 0                                      | 3,217  |
| Total Miscellaneous Receipts                       | 1,243                    | 1,189          | 1,462           | 1,292           | 1,486             | 1,968                | 1,379              | 1,407               | 1,496               | 1,537                      | 1,738               | 1,537            | 0                                      | 17,734 |
| Federal Receipts                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 1                | 0                                      | 1      |
| <b>TOTAL RECEIPTS</b>                              | 1,681                    | 1,514          | 2,016           | 1,637           | 1,858             | 2,460                | 1,738              | 1,750               | 2,155               | 4,427                      | 2,056               | 2,082            | 0                                      | 25,374 |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |        |
| School Aid   | 0                        | 0              | 327             | 0               | 0                 | 2,189                | 145                | 145                 | 145                 | 145                        | 145                 | 201              | 0                                      | 3,442  |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0                                      | 0      |
| All Other Education                                | 0                        | 0              | 1               | 2               | 2                 | 1                    | 0                  | 0                   | 2                   | 0                          | 1                   | 0                | 0                                      | 9      |
| STAR   | 0                        | 0              | 58              | 0               | 0                 | 0                    | 1                  | 11                  | 92                  | 2,414                      | 2                   | 11               | 0                                      | 2,589  |
| Medicaid - DOH                                     | 389                      | 531            | 347             | 321             | 667               | 519                  | 391                | 612                 | 470                 | 481                        | 655                 | 363              | 0                                      | 5,746  |
| Public Health                                      | 43                       | 46             | 92              | 192             | 45                | 38                   | 52                 | 72                  | 43                  | 70                         | 35                  | 109              | 0                                      | 837    |
| Mental Hygiene                                     | 37                       | 121            | 264             | 107             | 39                | 201                  | 48                 | 53                  | 280                 | 102                        | 75                  | 276              | 0                                      | 1,603  |
| Children and Families                              | 0                        | 1              | 0               | 0               | 0                 | 0                    | 0                  | 1                   | 0                   | 0                          | 1                   | 0                | 0                                      | 3      |
| Temporary & Disability Assistance                  | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0                                      | 0      |
| Transportation                                     | 245                      | 479            | 420             | 372             | 471               | 413                  | 362                | 560                 | 851                 | 204                        | 306                 | 226              | 0                                      | 4,909  |
| Unrestricted Aid                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0                                      | 0      |
| All Other  | 31                       | 25             | 20              | 30              | 40                | 19                   | 51                 | 33                  | 22                  | 24                         | 87                  | 12               | 0                                      | 394    |
| Total Local Assistance                             | 745                      | 1,203          | 1,529           | 1,024           | 1,264             | 3,380                | 1,050              | 1,487               | 1,905               | 3,440                      | 1,307               | 1,198            | 0                                      | 19,532 |
| Personal Service                                   | 565                      | 729            | 538             | 532             | 616               | 521                  | 566                | 722                 | 546                 | 537                        | 545                 | 617              | 0                                      | 7,034  |
| Non-Personal Service                               | 230                      | 272            | 337             | 214             | 323               | 277                  | 324                | 292                 | 282                 | 334                        | 287                 | 355              | 0                                      | 3,517  |
| Total State Operations                             | 795                      | 1,001          | 875             | 746             | 929               | 798                  | 890                | 1,014               | 828                 | 871                        | 832                 | 972              | 0                                      | 10,551 |
| General State Charges                              | 54                       | 447            | 58              | 46              | 388               | 28                   | 137                | 435                 | 137                 | 251                        | 82                  | 218              | 0                                      | 2,281  |
| Capital Projects                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0                                      | 0      |
| <b>TOTAL DISBURSEMENTS</b>                         | 1,594                    | 2,651          | 2,462           | 1,816           | 2,581             | 4,206                | 2,077              | 2,936               | 2,870               | 4,562                      | 2,221               | 2,388            | 0                                      | 32,364 |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |        |
| Transfers from Other Funds                         | 1,026                    | 977            | 834             | 856             | 856               | 234                  | 724                | 887                 | 388                 | 350                        | 575                 | 873              | (631)                                  | 7,949  |
| Transfers to Other Funds                           | (40)                     | (109)          | (41)            | (18)            | (41)              | (57)                 | (13)               | (21)                | (180)               | (81)                       | (119)               | (593)            | 631                                    | (682)  |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | 986                      | 868            | 793             | 838             | 815               | 177                  | 711                | 866                 | 208                 | 269                        | 456                 | 280              | 0                                      | 7,267  |
| Excess/(Deficiency) of Receipts over Disbursements | 1,073                    | (269)          | 347             | 659             | 92                | (1,569)              | 372                | (320)               | (507)               | 134                        | 291                 | (26)             | 0                                      | 277    |
| <b>CLOSING BALANCE</b>                             | 4,805                    | 4,536          | 4,883           | 5,542           | 5,634             | 4,065                | 4,437              | 4,117               | 3,610               | 3,744                      | 4,035               | 4,009            | 0                                      | 4,009  |

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2018**  
(dollars in millions)

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Total          |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|----------------|
| <b>OPENING BALANCE</b>                             | 540                      | (73)           | (640)           | 271             | 50                | (111)                | 148                | (388)               | (229)               | 306                        | (351)               | (2,045)          | 540            |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |                |
| Miscellaneous Receipts                             | 13                       | 48             | 12              | 13              | 25                | 9                    | 13                 | 17                  | 10                  | 12                         | 17                  | 10               | 199            |
| Federal Receipts                                   | 3,337                    | 4,583          | 5,398           | 3,633           | 5,067             | 5,002                | 4,086              | 5,080               | 4,748               | 3,634                      | 3,783               | 8,392            | 56,743         |
| <b>TOTAL RECEIPTS</b>                              | <b>3,350</b>             | <b>4,631</b>   | <b>5,410</b>    | <b>3,646</b>    | <b>5,092</b>      | <b>5,011</b>         | <b>4,099</b>       | <b>5,097</b>        | <b>4,758</b>        | <b>3,646</b>               | <b>3,800</b>        | <b>8,402</b>     | <b>56,942</b>  |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |                |
| School Aid   | 213                      | 206            | 296             | 195             | 149               | 103                  | 113                | 213                 | 323                 | 205                        | 164                 | 181              | 2,361          |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 1              |
| All Other Education                                | 51                       | 159            | 159             | 52              | 84                | 43                   | 67                 | 49                  | 34                  | 38                         | 46                  | 39               | 821            |
| STAR   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0              |
| Medical - DOH                                      | 3,021                    | 3,905          | 2,981           | 2,976           | 4,083             | 3,029                | 3,195              | 3,652               | 3,310               | 3,229                      | 4,061               | 3,640            | 41,092         |
| Public Health                                      | 60                       | 176            | 178             | 168             | 97                | 732                  | 122                | 126                 | 87                  | 158                        | 130                 | 492              | 2,546          |
| Mental Hygiene                                     | 13                       | 15             | 9               | 9               | 6                 | 12                   | 23                 | 11                  | 11                  | 8                          | 9                   | 14               | 140            |
| Children and Families                              | 91                       | 189            | 109             | 31              | 143               | 47                   | 92                 | 84                  | 12                  | 9                          | 58                  | 291              | 1,156          |
| Temporary & Disability Assistance                  | 161                      | 124            | 235             | 150             | 86                | 233                  | 590                | 182                 | 67                  | 124                        | 454                 | 595              | 3,001          |
| Transportation                                     | 6                        | 2              | 6               | 2               | 2                 | 2                    | 2                  | 10                  | 3                   | 2                          | 3                   | 7                | 47             |
| Unrestricted Aid                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0              |
| All Other  | 122                      | 68             | 2               | 106             | 110               | 65                   | 155                | 181                 | 68                  | 277                        | 54                  | 221              | 1,429          |
| <b>Total Local Assistance</b>                      | <b>3,738</b>             | <b>4,848</b>   | <b>3,975</b>    | <b>3,689</b>    | <b>4,770</b>      | <b>4,286</b>         | <b>4,359</b>       | <b>4,508</b>        | <b>3,915</b>        | <b>4,050</b>               | <b>4,979</b>        | <b>5,481</b>     | <b>52,594</b>  |
| Personal Service                                   | 50                       | 79             | 52              | 47              | 47                | 49                   | 48                 | 78                  | 48                  | 48                         | 50                  | 72               | 668            |
| Non-Personal Service                               | 47                       | 119            | 86              | 63              | 133               | 187                  | 139                | 122                 | 68                  | 136                        | 121                 | 148              | 1,369          |
| <b>Total State Operations</b>                      | <b>97</b>                | <b>198</b>     | <b>138</b>      | <b>110</b>      | <b>180</b>        | <b>236</b>           | <b>187</b>         | <b>200</b>          | <b>116</b>          | <b>184</b>                 | <b>171</b>          | <b>220</b>       | <b>2,037</b>   |
| General State Charges                              | 7                        | 46             | 11              | 11              | 58                | 20                   | 24                 | 44                  | 11                  | 3                          | 59                  | 28               | 322            |
| Capital Projects                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0              |
| <b>TOTAL DISBURSEMENTS</b>                         | <b>3,842</b>             | <b>5,088</b>   | <b>4,124</b>    | <b>3,810</b>    | <b>5,008</b>      | <b>4,542</b>         | <b>4,570</b>       | <b>4,752</b>        | <b>4,042</b>        | <b>4,237</b>               | <b>5,209</b>        | <b>5,729</b>     | <b>54,953</b>  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |                |
| Transfers from Other Funds                         | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0              |
| Transfers to Other Funds                           | (121)                    | (110)          | (375)           | (57)            | (245)             | (210)                | (65)               | (186)               | (181)               | (66)                       | (285)               | (335)            | (2,236)        |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | <b>(121)</b>             | <b>(110)</b>   | <b>(375)</b>    | <b>(57)</b>     | <b>(245)</b>      | <b>(210)</b>         | <b>(65)</b>        | <b>(186)</b>        | <b>(181)</b>        | <b>(66)</b>                | <b>(285)</b>        | <b>(335)</b>     | <b>(2,236)</b> |
| Excess/(Deficiency) of Receipts over Disbursements | (613)                    | (567)          | 911             | (221)           | (161)             | 259                  | (536)              | 159                 | 535                 | (657)                      | (1,694)             | 2,338            | (247)          |
| <b>CLOSING BALANCE</b>                             | <b>(73)</b>              | <b>(640)</b>   | <b>271</b>      | <b>50</b>       | <b>(111)</b>      | <b>148</b>           | <b>(388)</b>       | <b>(229)</b>        | <b>306</b>          | <b>(351)</b>               | <b>(2,045)</b>      | <b>293</b>       | <b>293</b>     |

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2018  
(dollars in millions)**

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Total    |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|----------|
| <b>OPENING BALANCE</b>                             | 144                      | 426            | 571             | 418             | 780               | 1,003                | 396                | 787                 | 1,118               | 737                        | 2,954               | 2,709            | 144      |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |          |
| Personal Income Tax                                | 1,250                    | 526            | 1,163           | 662             | 764               | 1,179                | 674                | 597                 | 1,693               | 2,807                      | 812                 | 748              | 12,875   |
| Consumption/Use Taxes                              | 473                      | 488            | 676             | 527             | 515               | 681                  | 520                | 551                 | 693                 | 560                        | 469                 | 624              | 6,777    |
| Other Taxes  | 95                       | 95             | 89              | 84              | 98                | 99                   | 70                 | 84                  | 68                  | 83                         | 82                  | 59               | 1,006    |
| Total Taxes  | 1,818                    | 1,109          | 1,928           | 1,273           | 1,377             | 1,959                | 1,264              | 1,232               | 2,454               | 3,450                      | 1,363               | 1,431            | 20,658   |
| Miscellaneous Receipts                             | 50                       | 42             | 56              | 41              | (30)              | 95                   | 27                 | 33                  | 17                  | 46                         | 32                  | 62               | 471      |
| Federal Receipts                                   | 0                        | 0              | 0               | 2               | 35                | 0                    | 0                  | 0                   | 0                   | 2                          | 34                  | 0                | 73       |
| <b>TOTAL RECEIPTS</b>                              | 1,868                    | 1,151          | 1,984           | 1,316           | 1,382             | 2,054                | 1,291              | 1,265               | 2,471               | 3,498                      | 1,429               | 1,493            | 21,202   |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |          |
| State Operations                                   | 1                        | 2              | 4               | 8               | 1                 | 4                    | 1                  | 0                   | 11                  | 1                          | 1                   | 8                | 42       |
| Debt Service                                       | 87                       | 148            | 186             | 29              | 348               | 757                  | 27                 | 82                  | 529                 | 31                         | 709                 | 2,940            | 5,873    |
| <b>TOTAL DISBURSEMENTS</b>                         | 88                       | 150            | 190             | 37              | 349               | 761                  | 28                 | 82                  | 540                 | 32                         | 710                 | 2,948            | 5,915    |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |          |
| Transfers from Other Funds                         | 390                      | 113            | 275             | 222             | 206               | 328                  | 431                | 177                 | 121                 | 371                        | 215                 | 1,024            | 3,873    |
| Transfers to Other Funds                           | (1,888)                  | (969)          | (2,222)         | (1,139)         | (1,016)           | (2,228)              | (1,303)            | (1,029)             | (2,433)             | (1,620)                    | (1,179)             | (2,125)          | (19,151) |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | (1,498)                  | (856)          | (1,947)         | (917)           | (810)             | (1,900)              | (872)              | (852)               | (2,312)             | (1,249)                    | (964)               | (1,101)          | (15,278) |
| Excess/(Deficiency) of Receipts over Disbursements | 282                      | 145            | (153)           | 362             | 223               | (607)                | 391                | 331                 | (381)               | 2,217                      | (245)               | (2,556)          | 9        |
| <b>CLOSING BALANCE</b>                             | 426                      | 571            | 418             | 780             | 1,003             | 396                  | 787                | 1,118               | 737                 | 2,954                      | 2,709               | 153              | 153      |



**CASHFLOW  
CAPITAL PROJECTS FUNDS  
FY 2018**  
(dollars in millions)

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Total          |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|----------------|
| <b>OPENING BALANCE</b>                             | (1,060)                  | (1,047)        | (1,123)         | (906)           | (829)             | (914)                | (1,281)            | (1,325)             | (1,328)             | (944)                      | (1,073)             | (1,208)          | (1,060)        |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |                |
| Consumption/Use Taxes                              | 52                       | 4              | 53              | 55              | 55                | 55                   | 53                 | 51                  | 52                  | 49                         | 43                  | 50               | 572            |
| Business Taxes                                     | 47                       | 46             | 55              | 55              | 50                | 58                   | 51                 | 52                  | 52                  | 52                         | 55                  | 50               | 623            |
| Other Taxes  | 0                        | 0              | 12              | 12              | 12                | 12                   | 12                 | 11                  | 12                  | 12                         | 12                  | 11               | 118            |
| Total Taxes  | 99                       | 50             | 120             | 122             | 117               | 125                  | 116                | 114                 | 116                 | 113                        | 110                 | 111              | 1,313          |
| Miscellaneous Receipts                             | 93                       | 94             | 191             | 1,212           | 156               | 147                  | 971                | 103                 | 1,828               | 223                        | 110                 | 601              | 5,729          |
| Federal Receipts                                   | 136                      | 113            | 282             | 139             | 160               | 148                  | 129                | 270                 | 303                 | 126                        | 146                 | 173              | 2,125          |
| <b>TOTAL RECEIPTS</b>                              | <b>328</b>               | <b>257</b>     | <b>593</b>      | <b>1,473</b>    | <b>433</b>        | <b>420</b>           | <b>1,216</b>       | <b>487</b>          | <b>2,247</b>        | <b>462</b>                 | <b>366</b>          | <b>885</b>       | <b>9,167</b>   |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |                |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0              |
| All Other Education                                | 0                        | 0              | 0               | 17              | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 19             |
| Public Health                                      | 0                        | 9              | 2               | 10              | 6                 | 9                    | 17                 | 4                   | 36                  | 36                         | 11                  | 16               | 156            |
| Mental Hygiene                                     | 6                        | 16             | 12              | 7               | 9                 | 12                   | 11                 | (1)                 | 6                   | 6                          | 14                  | 14               | 110            |
| School Aid   | 0                        | 0              | 22              | 6               | 0                 | 6                    | 20                 | 7                   | 10                  | 4                          | 6                   | 7                | 92             |
| Temporary & Disability Assistance                  | 0                        | 0              | 0               | 0               | 16                | 0                    | 0                  | 0                   | 16                  | 0                          | 0                   | 15               | 47             |
| Transportation                                     | 34                       | 53             | 82              | 28              | 134               | 97                   | 71                 | 33                  | 289                 | 62                         | 72                  | 209              | 1,164          |
| All Other Local                                    | 212                      | 130            | 175             | 156             | 253               | 244                  | 131                | 146                 | 267                 | 106                        | 105                 | 284              | 2,209          |
| Total Local Assistance                             | 252                      | 208            | 293             | 224             | 422               | 368                  | 250                | 189                 | 624                 | 212                        | 208                 | 547              | 3,797          |
| Economic Development                               | 1                        | 4              | 11              | 5               | 17                | 14                   | 6                  | 29                  | 17                  | 6                          | 10                  | 15               | 135            |
| Parks & the Environment                            | 20                       | 34             | 48              | 34              | 42                | 35                   | 48                 | 48                  | 36                  | 44                         | 47                  | 58               | 494            |
| Transportation                                     | 216                      | 262            | 266             | 354             | 297               | 362                  | 442                | 338                 | 239                 | 365                        | 207                 | 341              | 3,689          |
| Health & Social Welfare                            | 2                        | 0              | 5               | 4               | 7                 | (11)                 | 9                  | 10                  | 9                   | 14                         | 11                  | 37               | 97             |
| Mental Hygiene                                     | 17                       | 18             | 34              | 13              | 25                | 24                   | 18                 | 38                  | 19                  | 22                         | 20                  | 39               | 287            |
| Public Protection                                  | 19                       | 36             | 51              | 43              | 31                | 46                   | 35                 | 47                  | 37                  | 53                         | 50                  | 73               | 521            |
| Education  | 49                       | 81             | 70              | 75              | 93                | 78                   | 108                | 75                  | 83                  | 65                         | 102                 | 105              | 984            |
| All Other  | 26                       | 37             | 40              | 28              | 65                | 48                   | 53                 | 60                  | 78                  | 37                         | 117                 | 47               | 636            |
| Total Capital Projects                             | 350                      | 472            | 525             | 556             | 577               | 596                  | 719                | 645                 | 518                 | 606                        | 564                 | 715              | 6,843          |
| <b>TOTAL DISBURSEMENTS</b>                         | <b>602</b>               | <b>680</b>     | <b>818</b>      | <b>780</b>      | <b>999</b>        | <b>964</b>           | <b>969</b>         | <b>834</b>          | <b>1,142</b>        | <b>818</b>                 | <b>772</b>          | <b>1,262</b>     | <b>10,640</b>  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |                |
| Transfers from Other Funds                         | 316                      | 377            | 477             | (584)           | 512               | 439                  | (266)              | 365                 | (700)               | 252                        | 363                 | 1,056            | 2,607          |
| Transfers to Other Funds                           | (29)                     | (30)           | (35)            | (32)            | (31)              | (262)                | (25)               | (21)                | (700)               | (29)                       | (92)                | (782)            | (1,385)        |
| Bond and Note Proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 160              | 160            |
| NET OTHER FINANCING SOURCES/(USES)                 | 287                      | 347            | 442             | (616)           | 481               | 177                  | (291)              | 344                 | (721)               | 227                        | 271                 | 434              | 1,382          |
| Excess/(Deficiency) of Receipts over Disbursements | 13                       | (76)           | 217             | 77              | (85)              | (367)                | (44)               | (3)                 | 384                 | (129)                      | (135)               | 57               | (91)           |
| <b>CLOSING BALANCE</b>                             | <b>(1,047)</b>           | <b>(1,123)</b> | <b>(906)</b>    | <b>(829)</b>    | <b>(914)</b>      | <b>(1,281)</b>       | <b>(1,325)</b>     | <b>(1,328)</b>      | <b>(944)</b>        | <b>(1,073)</b>             | <b>(1,208)</b>      | <b>(1,151)</b>   | <b>(1,151)</b> |

**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**FY 2018**  
(dollars in millions)

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Total   |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|---------|
| <b>OPENING BALANCE</b>                             | (491)                    | (502)          | (557)           | (470)           | (406)             | (471)                | (815)              | (788)               | (921)               | (526)                      | (659)               | (772)            | (491)   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |         |
| Consumption/Use Taxes                              | 52                       | 4              | 53              | 55              | 55                | 55                   | 53                 | 51                  | 52                  | 49                         | 43                  | 51               | 573     |
| Business Taxes                                     | 47                       | 46             | 55              | 55              | 50                | 58                   | 52                 | 52                  | 52                  | 52                         | 55                  | 49               | 622     |
| Other Taxes  | 0                        | 0              | 12              | 12              | 12                | 12                   | 12                 | 11                  | 12                  | 12                         | 12                  | 11               | 118     |
| Total Taxes  | 99                       | 50             | 120             | 122             | 117               | 125                  | 116                | 114                 | 116                 | 113                        | 110                 | 111              | 1,313   |
| Miscellaneous Receipts                             | 92                       | 94             | 191             | 1,212           | 156               | 147                  | 971                | 103                 | 1,827               | 223                        | 110                 | 601              | 5,727   |
| Federal Receipts                                   | 0                        | 0              | 0               | 0               | 0                 | 3                    | 0                  | 0                   | 0                   | 0                          | 0                   | 2                | 5       |
| TOTAL RECEIPTS                                     | 191                      | 144            | 311             | 1,334           | 273               | 275                  | 1,087              | 217                 | 1,943               | 336                        | 220                 | 714              | 7,045   |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |         |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 0       |
| All Other Education                                | 0                        | 0              | 0               | 17              | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 0                | 19      |
| Public Health                                      | 0                        | 9              | 2               | 10              | 6                 | 4                    | 17                 | 4                   | 3                   | 34                         | 11                  | 12               | 112     |
| Mental Hygiene                                     | 6                        | 16             | 12              | 12              | 9                 | 12                   | 11                 | (1)                 | 6                   | 4                          | 14                  | 14               | 110     |
| School Aid   | 0                        | 0              | 22              | 6               | 4                 | 6                    | 20                 | 7                   | 10                  | 4                          | 6                   | 7                | 92      |
| Temporary & Disability Assistance                  | 0                        | 0              | 0               | 0               | 16                | 0                    | 0                  | 0                   | 16                  | 0                          | 0                   | 15               | 47      |
| Transportation                                     | 3                        | 10             | 51              | 3               | 73                | 72                   | 12                 | 6                   | 230                 | 11                         | 23                  | 172              | 666     |
| All Other Local                                    | 212                      | 130            | 175             | 156             | 253               | 241                  | 131                | 146                 | 122                 | 104                        | 105                 | 280              | 2,055   |
| Total Local Assistance                             | 221                      | 165            | 262             | 199             | 361               | 335                  | 191                | 162                 | 387                 | 157                        | 159                 | 502              | 3,101   |
| Economic Development                               | 1                        | 4              | 11              | 5               | 17                | 14                   | 6                  | 29                  | 17                  | 6                          | 10                  | 15               | 135     |
| Parks & the Environment                            | 20                       | 33             | 47              | 33              | 42                | 34                   | 47                 | 47                  | 36                  | 44                         | 46                  | 57               | 486     |
| Transportation                                     | 136                      | 175            | 154             | 257             | 184               | 232                  | 308                | 229                 | 164                 | 301                        | 180                 | 264              | 2,584   |
| Health & Social Welfare                            | 2                        | 0              | 4               | 4               | 6                 | (11)                 | 9                  | 9                   | 8                   | 13                         | 10                  | 35               | 89      |
| Mental Hygiene                                     | 17                       | 18             | 34              | 13              | 25                | 24                   | 18                 | 38                  | 19                  | 22                         | 20                  | 39               | 287     |
| Public Protection                                  | 17                       | 34             | 49              | 40              | 29                | 42                   | 32                 | 45                  | 35                  | 51                         | 46                  | 70               | 490     |
| Education  | 49                       | 81             | 70              | 75              | 93                | 78                   | 108                | 75                  | 83                  | 65                         | 102                 | 105              | 984     |
| All Other  | 26                       | 36             | 40              | 28              | 62                | 48                   | 50                 | 60                  | 78                  | 37                         | 117                 | 147              | 629     |
| Total Capital Projects                             | 268                      | 381            | 409             | 455             | 458               | 461                  | 578                | 532                 | 440                 | 539                        | 531                 | 632              | 5,684   |
| TOTAL DISBURSEMENTS                                | 489                      | 546            | 671             | 654             | 819               | 796                  | 769                | 694                 | 827                 | 696                        | 690                 | 1,134            | 8,785   |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |         |
| Transfers from Other Funds                         | 316                      | 377            | 477             | (584)           | 513               | 438                  | (266)              | 365                 | (700)               | 252                        | 450                 | 1,245            | 2,883   |
| Transfers to Other Funds                           | (29)                     | (30)           | (30)            | (32)            | (32)              | (261)                | (21)               | (21)                | (21)                | (25)                       | (93)                | (781)            | (1,380) |
| Bond and Note Proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 160              | 160     |
| NET OTHER FINANCING SOURCES/(USES)                 | 287                      | 347            | 447             | (616)           | 481               | 177                  | (291)              | 344                 | (721)               | 227                        | 357                 | 624              | 1,663   |
| Excess/(Deficiency) of Receipts over Disbursements | (11)                     | (55)           | 87              | 64              | (65)              | (344)                | 27                 | (133)               | 395                 | (133)                      | (113)               | 204              | (77)    |
| <b>CLOSING BALANCE</b>                             | (502)                    | (557)          | (470)           | (406)           | (471)             | (815)                | (788)              | (921)               | (526)               | (659)                      | (772)               | (568)            | (568)   |

**CASHFLOW  
CAPITAL PROJECTS FEDERAL FUNDS  
FY 2018**  
(dollars in millions)

|  | 2017    | 2018    | 2017    | 2018    | 2017    | 2018      | 2017    | 2018     | 2017     | 2018    | 2017     | 2018    | 2017    | 2018    | 2017    | 2018    | 2017    | 2018      |         |         |       |       |       |       |       |       |    |
|--|---------|---------|---------|---------|---------|-----------|---------|----------|----------|---------|----------|---------|---------|---------|---------|---------|---------|-----------|---------|---------|-------|-------|-------|-------|-------|-------|----|
|  | April   | May     | June    | July    | August  | September | October | November | December | January | February | March   | April   | May     | June    | July    | August  | September | October |         |       |       |       |       |       |       |    |
|  | Results | Results | Results | Results | Results | Results   | Results | Results  | Results  | Results | Results  | Results | Results | Results | Results | Results | Results | Results   | Results | Results |       |       |       |       |       |       |    |
| <b>OPENING BALANCE</b>                             | (569)   | (545)   | (566)   | (436)   | (423)   | (443)     | (466)   | (537)    | (407)    | (418)   | (414)    | (437)   | (569)   | (545)   | (566)   | (436)   | (423)   | (443)     | (466)   | (537)   | (407) | (418) | (414) | (437) | (569) |       |    |
| <b>RECEIPTS:</b>                                   |         |         |         |         |         |           |         |          |          |         |          |         |         |         |         |         |         |           |         |         |       |       |       |       |       |       |    |
| Consumption/Use Taxes                              | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     |    |
| Business Taxes                                     | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     |    |
| Other Taxes  | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     |    |
| Total Taxes  | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     |    |
| Miscellaneous Receipts                             | 1       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 1        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 2     |    |
| Federal Receipts                                   | 136     | 113     | 282     | 139     | 160     | 145       | 129     | 270      | 303      | 126     | 146      | 171     | 2,120   | 136     | 113     | 282     | 139     | 160       | 145     | 129     | 270   | 303   | 126   | 146   | 171   | 2,120 |    |
| <b>TOTAL RECEIPTS</b>                              | 137     | 113     | 282     | 139     | 160     | 145       | 129     | 270      | 304      | 126     | 146      | 171     | 2,122   | 137     | 113     | 282     | 139     | 160       | 145     | 129     | 270   | 304   | 126   | 146   | 171   | 2,122 |    |
| <b>DISBURSEMENTS:</b>                              |         |         |         |         |         |           |         |          |          |         |          |         |         |         |         |         |         |           |         |         |       |       |       |       |       |       |    |
| Public Health                                      | 0       | 0       | 0       | 0       | 0       | 5         | 0       | 0        | 0        | 2       | 0        | 3       | 43      | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     | 43 |
| Transportation                                     | 31      | 43      | 31      | 25      | 61      | 25        | 59      | 27       | 59       | 51      | 49       | 38      | 499     | 31      | 43      | 31      | 25      | 61        | 25      | 59      | 27    | 59    | 51    | 49    | 38    | 499   |    |
| All Other Local                                    | 0       | 0       | 0       | 0       | 0       | 3         | 0       | 0        | 0        | 2       | 0        | 4       | 154     | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 4     | 154   |    |
| Total Local Assistance                             | 31      | 43      | 31      | 25      | 61      | 33        | 59      | 27       | 59       | 55      | 49       | 45      | 696     | 31      | 43      | 31      | 25      | 61        | 33      | 59      | 27    | 59    | 55    | 49    | 45    | 696   |    |
| Economic Development                               | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     | 0  |
| Parks & the Environment                            | 0       | 1       | 1       | 1       | 0       | 1         | 1       | 1        | 0        | 0       | 1        | 1       | 8       | 0       | 1       | 1       | 1       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 1     | 8     |    |
| Transportation                                     | 80      | 87      | 112     | 97      | 113     | 130       | 134     | 109      | 75       | 64      | 27       | 77      | 1,105   | 80      | 87      | 112     | 97      | 113       | 130     | 134     | 109   | 75    | 64    | 27    | 77    | 1,105 |    |
| Health & Social Welfare                            | 0       | 0       | 1       | 0       | 1       | 0         | 0       | 1        | 1        | 1       | 1        | 2       | 8       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 2     | 8     |    |
| Mental Hygiene                                     | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     | 0  |
| Public Protection                                  | 2       | 2       | 2       | 3       | 2       | 4         | 3       | 2        | 2        | 2       | 4        | 3       | 31      | 2       | 2       | 2       | 3       | 4         | 3       | 2       | 2     | 2     | 2     | 4     | 3     | 31    |    |
| Education  | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     | 0  |
| All Other  | 0       | 1       | 0       | 0       | 3       | 0         | 3       | 0        | 0        | 0       | 0        | 0       | 7       | 0       | 1       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 7     |    |
| Total Capital Projects                             | 82      | 91      | 116     | 101     | 119     | 135       | 141     | 113      | 78       | 67      | 33       | 83      | 1,159   | 82      | 91      | 116     | 101     | 119       | 135     | 141     | 113   | 78    | 67    | 33    | 83    | 1,159 |    |
| <b>TOTAL DISBURSEMENTS</b>                         | 113     | 134     | 147     | 126     | 180     | 168       | 200     | 140      | 315      | 122     | 82       | 128     | 1,855   | 113     | 134     | 147     | 126     | 180       | 168     | 200     | 140   | 315   | 122   | 82    | 128   | 1,855 |    |
| <b>OTHER FINANCING SOURCES (USES):</b>             |         |         |         |         |         |           |         |          |          |         |          |         |         |         |         |         |         |           |         |         |       |       |       |       |       |       |    |
| Transfers from Other Funds                         | 0       | 0       | 0       | 0       | (1)     | 1         | 0       | 0        | 0        | 0       | (87)     | (189)   | (276)   | 0       | 0       | 0       | (1)     | 1         | 0       | 0       | 0     | 0     | 0     | (87)  | (189) | (276) |    |
| Transfers to Other Funds                           | 0       | 0       | (5)     | 0       | 1       | (1)       | 0       | 0        | 0        | 0       | 0        | 0       | (5)     | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | (5)   |    |
| Bond and Note Proceeds                             | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | 0       | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | 0     | 0  |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | 0       | 0       | (5)     | 0       | 0       | 0         | 0       | 0        | 0        | 0       | 0        | 0       | (281)   | 0       | 0       | 0       | 0       | 0         | 0       | 0       | 0     | 0     | 0     | 0     | 0     | (281) |    |
| Excess/(Deficiency) of Receipts over Disbursements | 24      | (21)    | 130     | 13      | (20)    | (23)      | (71)    | 130      | (11)     | 4       | (23)     | (146)   | (14)    | 24      | (21)    | 130     | 13      | (20)      | (23)    | (71)    | 130   | (11)  | 4     | (23)  | (146) | (14)  |    |
| <b>CLOSING BALANCE</b>                             | (545)   | (566)   | (436)   | (423)   | (443)   | (466)     | (537)   | (407)    | (418)    | (414)   | (437)    | (569)   | (545)   | (566)   | (436)   | (423)   | (443)   | (466)     | (537)   | (407)   | (418) | (414) | (437) | (569) | (545) |       |    |

**CASHFLOW  
STATE FUNDS  
FY 2018  
(dollars in millions)**

|  | 2017<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Results | November<br>Results | December<br>Results | 2018<br>January<br>Results | February<br>Results | March<br>Results | Intra-Fund<br>Transfer<br>Eliminations | Total    |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|--------------------|---------------------|---------------------|----------------------------|---------------------|------------------|--|----------|
| <b>OPENING BALANCE</b>                             | 11,134                   | 12,134         | 7,690           | 7,845           | 9,690             | 10,049               | 10,169             | 10,799              | 8,823               | 13,966                     | 20,903              | 21,290           |  | 11,134   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |          |
| Personal Income Tax                                | 5,001                    | 2,102          | 4,652           | 2,649           | 3,056             | 4,715                | 2,695              | 2,990               | 6,772               | 11,229                     | 3,248               | 2,992            | 0                                      | 51,501   |
| Consumption/Use Taxes                              | 1,230                    | 1,188          | 1,643           | 1,335           | 1,306             | 1,652                | 1,316              | 1,365               | 1,666               | 1,401                      | 1,445               | 1,465            | 0                                      | 16,712   |
| Business Taxes                                     | 603                      | 244            | 1,032           | 185             | 524               | 1,053                | 69                 | 151                 | 1,469               | 15                         | 16                  | 1,803            | 0                                      | 7,164    |
| Other Taxes  | 306                      | 316            | 310             | 261             | 301               | 425                  | 276                | 259                 | 351                 | 473                        | 323                 | 288              | 0                                      | 3,889    |
| Total Taxes  | 7,140                    | 3,850          | 7,637           | 4,430           | 5,187             | 7,845                | 4,356              | 4,165               | 10,258              | 13,118                     | 4,732               | 6,548            | 0                                      | 79,265   |
| Abandoned Property                                 | 0                        | 0              | 0               | 0               | 1                 | 64                   | 5                  | 170                 | 21                  | 24                         | 0                   | 175              | 0                                      | 460      |
| ABC License Fee                                    | 6                        | 6              | 6               | 5               | 6                 | 6                    | 4                  | 4                   | 5                   | 6                          | 5                   | 3                | 0                                      | 65       |
| HCRA   | 397                      | 395            | 398             | 473             | 440               | 407                  | 436                | 401                 | 458                 | 428                        | 400                 | 411              | 0                                      | 5,044    |
| Investment Income                                  | 4                        | 1              | 2               | 2               | 2                 | 2                    | 4                  | 4                   | 3                   | 4                          | 16                  | 17               | 0                                      | 60       |
| Licenses, Fees, etc.                               | 27                       | 73             | 36              | 51              | 36                | 77                   | 63                 | 36                  | 89                  | 61                         | 78                  | 42               | 0                                      | 669      |
| Lottery  | 263                      | 308            | 288             | 259             | 362               | 260                  | 256                | 315                 | 264                 | 337                        | 259                 | 254              | 0                                      | 3,425    |
| Medical  | 71                       | 68             | 68              | 75              | 70                | 73                   | 74                 | 78                  | 74                  | 69                         | 71                  | 66               | 0                                      | 858      |
| Motor Vehicle Fees                                 | 71                       | 66             | 69              | 30              | 43                | 53                   | 47                 | 34                  | 47                  | 34                         | 57                  | 76               | 0                                      | 666      |
| Reimbursements                                     | 2                        | 23             | 37              | 9               | 24                | 26                   | 11                 | 28                  | 23                  | 25                         | 15                  | 52               | 0                                      | 275      |
| State University Income                            | 290                      | 248            | 301             | 245             | 364               | 753                  | 408                | 340                 | 265                 | 553                        | 673                 | 336              | 0                                      | 4,776    |
| Extraordinary Settlements                          | 0                        | 350            | 0               | 0               | 0                 | 231                  | 0                  | 137                 | 0                   | 60                         | 0                   | 0                | 0                                      | 778      |
| Other Transactions                                 | 349                      | 300            | 668             | 1,509           | 363               | 787                  | 1,209              | 416                 | 2,331               | 414                        | 463                 | 1,176            | 0                                      | 9,985    |
| Total Miscellaneous Receipts                       | 1,480                    | 1,838          | 1,873           | 2,657           | 1,739             | 2,729                | 2,530              | 1,974               | 3,568               | 2,028                      | 2,037               | 2,608            | 0                                      | 27,061   |
| Federal Receipts                                   | 0                        | 0              | 0               | 2               | 35                | 3                    | 0                  | 0                   | 0                   | 2                          | 34                  | 3                | 0                                      | 79       |
| <b>TOTAL RECEIPTS</b>                              | 8,620                    | 5,688          | 9,510           | 7,089           | 6,961             | 10,577               | 6,886              | 6,139               | 13,826              | 15,148                     | 6,803               | 9,159            | 0                                      | 106,406  |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |          |
| School Aid   | 868                      | 3,358          | 2,227           | 44              | 574               | 3,948                | 966                | 1,715               | 2,000               | 689                        | 802                 | 8,358            | 0                                      | 25,549   |
| Higher Education                                   | 19                       | 37             | 954             | 221             | 128               | 181                  | 93                 | 37                  | 188                 | 27                         | 36                  | 912              | 0                                      | 2,833    |
| All Other Education                                | 95                       | 484            | 76              | 177             | 58                | 50                   | 61                 | 407                 | 85                  | 34                         | 118                 | 521              | 0                                      | 2,166    |
| STAR   | 0                        | 0              | 58              | 0               | 0                 | 0                    | 1                  | 11                  | 92                  | 2,414                      | 2                   | 11               | 0                                      | 2,589    |
| Medical - DOH                                      | 1,765                    | 1,939          | 1,717           | 1,486           | 1,915             | 1,669                | 1,611              | 2,028               | 1,464               | 1,627                      | 1,422               | 501              | 0                                      | 19,144   |
| Public Health                                      | 111                      | 232            | 144             | 265             | 95                | 78                   | 104                | 118                 | 76                  | 118                        | 113                 | 202              | 0                                      | 1,656    |
| Mental Hygiene                                     | 45                       | 140            | 460             | 113             | 50                | 429                  | 59                 | 52                  | 351                 | 222                        | 161                 | 378              | 0                                      | 2,460    |
| Children and Families                              | 36                       | 102            | 194             | 71              | 23                | 134                  | 70                 | 74                  | 170                 | 45                         | 317                 | 372              | 0                                      | 1,608    |
| Temporary & Disability Assistance                  | 95                       | 112            | 143             | 92              | 109               | 67                   | 63                 | 67                  | 79                  | 63                         | 197                 | 190              | 0                                      | 1,277    |
| Transportation                                     | 248                      | 514            | 485             | 375             | 569               | 485                  | 374                | 590                 | 1,082               | 215                        | 342                 | 401              | 0                                      | 5,690    |
| Unrestricted Aid                                   | 0                        | 11             | 388             | 2               | 0                 | 102                  | 7                  | 2                   | 182                 | 0                          | 1                   | 65               | 0                                      | 761      |
| All Other  | 254                      | 171            | 285             | 216             | 367               | 291                  | 197                | 229                 | 115                 | 232                        | 229                 | 386              | 0                                      | 2,972    |
| Total Local Assistance                             | 3,536                    | 7,100          | 7,131           | 3,062           | 3,888             | 7,434                | 3,606              | 5,330               | 5,894               | 5,687                      | 3,740               | 12,297           | 0                                      | 68,705   |
| Personal Service                                   | 1,050                    | 1,371          | 1,013           | 998             | 1,181             | 998                  | 1,064              | 1,344               | 1,034               | 983                        | 995                 | 1,139            | 0                                      | 13,170   |
| Non-Personal Service                               | 322                      | 500            | 526             | 364             | 526               | 460                  | 506                | 474                 | 421                 | 557                        | 409                 | 586              | 0                                      | 5,651    |
| Total State Operations                             | 1,372                    | 1,871          | 1,539           | 1,362           | 1,707             | 1,458                | 1,570              | 1,818               | 1,455               | 1,540                      | 1,404               | 1,725            | 0                                      | 18,821   |
| General State Charges                              | 2,452                    | 739            | 467             | 393             | 429               | 542                  | 533                | 521                 | 541                 | 476                        | 399                 | 361              | 0                                      | 7,853    |
| Debt Service                                       | 87                       | 148            | 186             | 29              | 348               | 757                  | 27                 | 82                  | 529                 | 31                         | 709                 | 2,940            | 0                                      | 5,873    |
| Capital Projects                                   | 268                      | 381            | 409             | 455             | 458               | 461                  | 578                | 532                 | 440                 | 539                        | 531                 | 632              | 0                                      | 5,684    |
| <b>TOTAL DISBURSEMENTS</b>                         | 7,715                    | 10,239         | 9,732           | 5,301           | 6,830             | 10,652               | 6,314              | 8,283               | 8,859               | 8,273                      | 6,783               | 17,955           | 0                                      | 106,936  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                    |                     |                     |                            |                     |                  |  |          |
| Transfers from other funds                         | 3,485                    | 2,313          | 3,628           | 1,507           | 2,458             | 3,238                | 2,096              | 2,430               | 2,280               | 2,595                      | 2,389               | 5,552            | (631)                                  | 33,340   |
| Transfers to other funds                           | (3,990)                  | (2,066)        | (3,251)         | (1,450)         | (2,230)           | (3,043)              | (2,038)            | (2,262)             | (2,104)             | (2,533)                    | (2,022)             | (5,167)          | 631                                    | (31,065) |
| Bond and note proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                  | 0                   | 0                   | 0                          | 0                   | 160              | 0                                      | 160      |
| <b>NET OTHER FINANCING SOURCES (USES)</b>          | 95                       | 107            | 377             | 57              | 228               | 195                  | 58                 | 168                 | 176                 | 62                         | 367                 | 545              | 0                                      | 2,435    |
| Excess/(Deficiency) of Receipts over Disbursements | 1,000                    | (4,444)        | 155             | 1,845           | 359               | 120                  | 630                | (1,976)             | 5,143               | 6,937                      | 387                 | (8,251)          | 0                                      | 1,905    |
| <b>CLOSING BALANCE</b>                             | 12,134                   | 7,690          | 7,845           | 9,690           | 10,049            | 10,169               | 10,799             | 8,823               | 13,966              | 20,903                     | 21,290              | 13,039           | 0                                      | 13,039   |

**CASHFLOW  
GENERAL FUND  
FY 2019  
(dollars in millions)**

|  | 2018          |             |              |              |                | 2019              |                   |                    |                    |                   | Total  |                    |                 |
|--|---------------|-------------|--------------|--------------|----------------|-------------------|-------------------|--------------------|--------------------|-------------------|--------|--------------------|-----------------|
|  | April Results | May Results | June Results | July Results | August Results | September Results | October Projected | November Projected | December Projected | January Projected |        | February Projected | March Projected |
| <b>OPENING BALANCE</b>                             | 9,445         | 9,938       | 5,130        | 6,313        | 5,417          | 4,114             | 6,451             | 6,612              | 3,883              | 6,478             | 12,456 | 12,748             | 9,445           |
| <b>RECEIPTS:</b>                                   |               |             |              |              |                |                   |                   |                    |                    |                   |        |                    |                 |
| Personal Income Tax                                | 2,928         | 1,092       | 2,476        | 1,450        | 1,487          | 2,398             | 1,273             | 826                | 2,375              | 3,108             | 1,759  | 1,574              | 22,746          |
| Consumption/Use Taxes                              | 544           | 580         | 799          | 598          | 595            | 765               | 585               | 586                | 760                | 628               | 522    | 685                | 7,647           |
| Business Taxes                                     | 346           | (120)       | 1,020        | 168          | 84             | 992               | 94                | 85                 | 1,355              | (107)             | 100    | 1,609              | 5,626           |
| Other Taxes  | 52            | 82          | 71           | 99           | 74             | 123               | 114               | 88                 | 87                 | 87                | 87     | 87                 | 1,051           |
| Total Taxes  | 3,870         | 1,634       | 4,366        | 2,315        | 2,240          | 4,278             | 2,066             | 1,585              | 4,577              | 3,716             | 2,468  | 3,955              | 37,070          |
| Abandoned Property                                 | 1             | 0           | 0            | 0            | 0              | 10                | 45                | 120                | 15                 | 15                | 0      | 244                | 450             |
| ABC License Fee                                    | 6             | 7           | 7            | 7            | 5              | 5                 | 6                 | 5                  | 4                  | 5                 | 5      | 4                  | 66              |
| Investment Income                                  | 17            | 10          | 11           | 7            | 9              | 11                | 4                 | 0                  | 0                  | 0                 | 0      | (29)               | 40              |
| Licenses, Fees, etc.                               | 51            | 43          | 58           | 63           | 67             | 36                | 71                | 45                 | 68                 | 70                | 50     | 48                 | 670             |
| Motor Vehicle Fees                                 | 30            | 25          | 13           | 2            | 35             | 3                 | 23                | 25                 | 30                 | 26                | 30     | 27                 | 269             |
| Reimbursements                                     | (17)          | (54)        | 106          | (5)          | 23             | 26                | (90)              | 4                  | 4                  | 4                 | 4      | 102                | 107             |
| Extraordinary Settlements                          | 108           | 74          | 205          | 15           | 0              | 0                 | 40                | 0                  | 0                  | 0                 | 0      | 1                  | 443             |
| Other Transactions                                 | 24            | 100         | (17)         | 52           | 26             | 92                | 76                | 31                 | 70                 | 33                | 120    | (89)               | 429             |
| Total Miscellaneous Receipts                       | 220           | 205         | 383          | 141          | 165            | 183               | 175               | 230                | 191                | 153               | 120    | 308                | 2,474           |
| Federal Receipts                                   | 0             | 0           | 0            | 0            | 0              | 0                 | 0                 | 0                  | 0                  | 0                 | 0      | 0                  | 0               |
| PIT in Excess of Revenue Bond Debt Service         | 2,928         | 1,070       | 2,487        | 1,438        | 1,056          | 2,648             | 1,273             | 837                | 2,295              | 5,457             | 1,474  | 657                | 23,620          |
| Tax in Excess of LGAC                              | 249           | 218         | 405          | 275          | 250            | 355               | 269               | 268                | 355                | 285               | 244    | (17)               | 3,156           |
| Sales Tax Bond Fund                                | 187           | 187         | 291          | 204          | 195            | 320               | 171               | 170                | 257                | 187               | 146    | 327                | 2,642           |
| Real Estate Taxes in Excess of CW/CA Debt Service  | 74            | 92          | 88           | 82           | 105            | 78                | 71                | 85                 | 75                 | 87                | 85     | 76                 | 998             |
| All Other  | 101           | 81          | 86           | 68           | 172            | 109               | 115               | 121                | 109                | 146               | 210    | 1,517              | 2,835           |
| Total Transfers from Other Funds                   | 3,539         | 1,648       | 3,357        | 2,067        | 1,778          | 3,510             | 1,899             | 1,481              | 3,091              | 6,162             | 2,159  | 2,560              | 33,251          |
| <b>TOTAL RECEIPTS</b>                              | 7,629         | 3,487       | 8,106        | 4,523        | 4,183          | 7,971             | 4,140             | 3,296              | 7,859              | 10,031            | 4,747  | 6,823              | 72,795          |
| <b>DISBURSEMENTS:</b>                              |               |             |              |              |                |                   |                   |                    |                    |                   |        |                    |                 |
| School Aid   | 1,113         | 3,526       | 1,566        | 371          | 648            | 1,617             | 888               | 1,623              | 2,071              | 505               | 746    | 8,469              | 23,143          |
| Higher Education                                   | 25            | 43          | 267          | 1,154        | 61             | 178               | 37                | 110                | 220                | 70                | 347    | 545                | 3,057           |
| All Other Education                                | 42            | 46          | 51           | 300          | 463            | 32                | 89                | 313                | 154                | 43                | 331    | 455                | 2,319           |
| Medicaid - DOH                                     | 1,523         | 1,924       | 1,381        | 1,133        | 1,977          | 1,203             | 1,214             | 1,346              | 680                | 1,052             | 704    | 176                | 14,313          |
| Public Health                                      | 39            | 191         | 38           | 49           | 78             | 22                | 43                | 36                 | 60                 | 41                | 29     | 35                 | 661             |
| Mental Hygiene                                     | 150           | 36          | 323          | 149          | 43             | 330               | 142               | 48                 | 315                | 143               | 140    | 356                | 2,175           |
| Children and Families                              | 12            | 35          | 41           | 102          | 109            | 216               | 149               | 242                | 127                | 143               | 147    | 265                | 1,687           |
| Temporary & Disability Assistance                  | 67            | 95          | 98           | 106          | 100            | 164               | 106               | 106                | 110                | 105               | 106    | 117                | 1,280           |
| Transportation                                     | 46            | 69          | 61           | 47           | 32             | 0                 | 0                 | 24                 | 11                 | 0                 | 13     | 1                  | 304             |
| Unrestricted Aid                                   | 0             | 11          | 388          | 2            | 0              | 101               | 7                 | 4                  | 185                | 1                 | 1      | 65                 | 765             |
| All Other  | 18            | 43          | 84           | 35           | 69             | 38                | 34                | 111                | 124                | 161               | 186    | 406                | 1,309           |
| Total Local Assistance                             | 3,035         | 6,019       | 4,298        | 3,448        | 3,580          | 3,901             | 2,709             | 3,963              | 4,057              | 2,363             | 2,750  | 10,890             | 51,013          |
| Personal Service                                   | 668           | 846         | 684          | 725          | 838            | 660               | 825               | 657                | 674                | 853               | 693    | 689                | 8,812           |
| Non-Personal Service                               | 164           | 282         | 221          | 182          | 228            | 210               | 219               | 291                | 256                | 281               | 301    | 403                | 3,038           |
| Total State Operations                             | 832           | 1,128       | 905          | 907          | 1,066          | 870               | 1,044             | 948                | 930                | 1,134             | 994    | 1,092              | 11,850          |
| General State Charges                              | 2,707         | 368         | 470          | 319          | 245            | 435               | 542               | 422                | 410                | 444               | 479    | 551                | 7,392           |
| Debt Service                                       | 192           | 38          | 20           | 223          | (100)          | (63)              | 201               | 0                  | (2)                | 342               | (25)   | (51)               | 775             |
| Capital Projects                                   | 54            | 468         | 818          | 333          | 622            | 454               | (582)             | 440                | (230)              | (245)             | 242    | 261                | 2,635           |
| State Share Medicaid                               | (29)          | 0           | 0            | 0            | 0              | 0                 | 0                 | 0                  | 0                  | 0                 | 0      | 29                 | 0               |
| SUNY Operations                                    | 218           | 218         | 182          | 182          | 2              | 0                 | 0                 | 182                | 0                  | 0                 | 0      | 0                  | 1,020           |
| Other Purposes                                     | 127           | 56          | 194          | 7            | 71             | 37                | 65                | 70                 | 99                 | 15                | 15     | 343                | 1,099           |
| Total Transfers to Other Funds                     | 562           | 780         | 1,250        | 745          | 595            | 428               | (316)             | 692                | (133)              | 112               | 232    | 582                | 5,529           |
| <b>TOTAL DISBURSEMENTS</b>                         | 7,136         | 8,295       | 6,923        | 5,419        | 5,486          | 5,634             | 3,979             | 6,025              | 5,264              | 4,053             | 4,455  | 13,115             | 75,784          |
| Excess/(Deficiency) of Receipts over Disbursements | 493           | (4,808)     | 1,183        | (896)        | (1,303)        | 2,337             | 161               | (2,729)            | 2,595              | 5,978             | 292    | (6,292)            | (2,989)         |
| <b>CLOSING BALANCE</b>                             | 9,938         | 5,130       | 6,313        | 5,417        | 4,114          | 6,451             | 6,612             | 3,883              | 6,478              | 12,456            | 12,748 | 6,456              | 6,456           |

**CASHFLOW  
STATE OPERATING FUNDS  
FY 2019  
(dollars in millions)**

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Intra-Fund<br>Transfer<br>Eliminations | Total    |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|--|----------|
| <b>OPENING BALANCE</b>                             | 13,607                   | 15,387         | 10,769          | 12,337          | 13,257            | 12,327               | 12,615               | 13,051                | 10,271                | 12,558                       | 19,210                | 19,539             |  | 13,607   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| Personal Income Tax                                | 5,856                    | 2,184          | 4,951           | 2,900           | 2,974             | 4,797                | 2,547                | 1,674                 | 4,928                 | 10,914                       | 3,518                 | 3,167              | 0                                      | 50,410   |
| Consumption/Use Taxes                              | 1,233                    | 1,257          | 1,718           | 1,323           | 1,300             | 1,659                | 1,272                | 1,288                 | 1,649                 | 1,368                        | 1,134                 | 1,477              | 0                                      | 16,678   |
| Business Taxes                                     | 518                      | 1,366          | 1,261           | 243             | 153               | 1,230                | 150                  | 158                   | 1,623                 | (30)                         | 162                   | 1,898              | 0                                      | 7,330    |
| Other Taxes  | 139                      | 174            | 160             | 187             | 184               | 206                  | 190                  | 178                   | 167                   | 179                          | 177                   | 169                | 0                                      | 2,110    |
| Total Taxes  | 7,746                    | 3,579          | 8,090           | 4,653           | 4,611             | 7,892                | 4,175                | 3,282                 | 8,367                 | 12,431                       | 4,991                 | 6,711              | 0                                      | 76,528   |
| Abandoned Property                                 | 1                        | 0              | 0               | 0               | 0                 | 10                   | 45                   | 120                   | 15                    | 15                           | 0                     | 244                | 0                                      | 450      |
| ABC License Fee                                    | 6                        | 7              | 7               | 7               | 5                 | 6                    | 6                    | 5                     | 4                     | 5                            | 5                     | 4                  | 0                                      | 66       |
| HCRA   | 456                      | 404            | 474             | 436             | 431               | 446                  | 423                  | 397                   | 435                   | 381                          | 377                   | 421                | 0                                      | 5,081    |
| Investment Income                                  | 17                       | 10             | 11              | 7               | 9                 | 11                   | 4                    | 0                     | 0                     | 0                            | 0                     | (29)               | 0                                      | 40       |
| Licenses, Fees, etc.                               | 51                       | 43             | 58              | 63              | 67                | 36                   | 71                   | 45                    | 68                    | 70                           | 50                    | 48                 | 0                                      | 670      |
| Lottery  | 275                      | 323            | 256             | 251             | 322               | 259                  | 419                  | 234                   | 236                   | 280                          | 244                   | 155                | 0                                      | 3,254    |
| Medicaid   | 68                       | 70             | 77              | 72              | 73                | 74                   | 74                   | 74                    | 74                    | 74                           | 74                    | 69                 | 0                                      | 886      |
| Motor Vehicle Fees                                 | 68                       | 67             | 47              | 44              | 64                | 33                   | 60                   | 59                    | 63                    | 59                           | 64                    | 63                 | 0                                      | 691      |
| Reimbursements                                     | (17)                     | (54)           | 106             | (5)             | 23                | 26                   | (90)                 | 4                     | 4                     | 4                            | 4                     | 102                | 0                                      | 107      |
| State University Income                            | 291                      | 357            | 269             | 290             | 448               | 682                  | 306                  | 266                   | 250                   | 517                          | 628                   | 575                | 0                                      | 4,879    |
| Extraordinary Settlements                          | 108                      | 74             | 205             | 15              | 0                 | 0                    | 40                   | 0                     | 0                     | 0                            | 0                     | 1                  | 0                                      | 443      |
| Other Transactions                                 | 455                      | 348            | 294             | 1,414           | 304               | 455                  | 453                  | 134                   | 212                   | 90                           | 131                   | (307)              | 0                                      | 3,983    |
| Total Miscellaneous Receipts                       | 1,788                    | 1,649          | 1,804           | 2,594           | 1,746             | 2,041                | 1,811                | 1,338                 | 1,361                 | 1,495                        | 1,577                 | 1,346              | 0                                      | 20,550   |
| Federal Receipts                                   | (2)                      | (1)            | 0               | 2               | 35                | 0                    | 0                    | 0                     | 0                     | 0                            | 2                     | 39                 | 0                                      | 75       |
| TOTAL RECEIPTS                                     | 9,532                    | 5,227          | 9,894           | 7,249           | 6,392             | 9,933                | 5,986                | 4,620                 | 9,728                 | 13,926                       | 6,570                 | 8,096              | 0                                      | 97,153   |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| School Aid   | 1,113                    | 3,526          | 1,892           | 371             | 648               | 3,700                | 1,024                | 1,759                 | 2,207                 | 641                          | 882                   | 8,721              | 0                                      | 26,484   |
| Higher Education                                   | 25                       | 43             | 267             | 1,154           | 61                | 178                  | 37                   | 110                   | 220                   | 70                           | 347                   | 545                | 0                                      | 3,057    |
| All Other Education                                | 42                       | 46             | 52              | 301             | 464               | 33                   | 91                   | 314                   | 156                   | 44                           | 332                   | 456                | 0                                      | 2,331    |
| STAR   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 1                    | 11                    | 89                    | 2,349                        | 0                     | 9                  | 0                                      | 2,459    |
| Medicaid - DOH                                     | 1,804                    | 2,465          | 1,839           | 1,468           | 2,690             | 1,546                | 1,826                | 1,817                 | 1,140                 | 1,582                        | 1,182                 | 602                | 0                                      | 19,961   |
| Public Health                                      | 103                      | 229            | 136             | 215             | 116               | 83                   | 103                  | 81                    | 147                   | 81                           | 68                    | 193                | 0                                      | 1,555    |
| Mental Hygiene                                     | 149                      | 36             | 323             | 149             | 42                | 330                  | 144                  | 48                    | 316                   | 143                          | 140                   | 360                | 0                                      | 2,180    |
| Children and Families                              | 12                       | 36             | 41              | 102             | 109               | 216                  | 149                  | 242                   | 127                   | 242                          | 147                   | 268                | 0                                      | 1,691    |
| Temporary & Disability Assistance                  | 67                       | 95             | 98              | 106             | 100               | 164                  | 106                  | 106                   | 110                   | 105                          | 106                   | 117                | 0                                      | 1,280    |
| Transportation                                     | 236                      | 446            | 366             | 333             | 370               | 325                  | 263                  | 504                   | 804                   | 49                           | 91                    | 150                | 0                                      | 3,937    |
| Unrestricted Aid                                   | 0                        | 11             | 388             | 2               | 0                 | 101                  | 7                    | 4                     | 185                   | 1                            | 1                     | 65                 | 0                                      | 765      |
| All Other  | 40                       | 92             | 107             | 62              | 109               | 55                   | 56                   | 77                    | 95                    | 87                           | 106                   | 114                | 0                                      | 1,000    |
| Total Local Assistance                             | 3,591                    | 7,025          | 5,509           | 4,263           | 4,709             | 6,731                | 3,807                | 5,073                 | 5,596                 | 5,394                        | 3,402                 | 11,600             | 0                                      | 66,700   |
| Personal Service                                   | 1,051                    | 1,400          | 1,061           | 1,061           | 1,212             | 1,026                | 1,401                | 1,062                 | 1,035                 | 1,229                        | 1,071                 | 1,107              | 0                                      | 13,716   |
| Non-Personal Service                               | 348                      | 531            | 473             | 368             | 424               | 482                  | 473                  | 482                   | 464                   | 483                          | 518                   | 704                | 0                                      | 5,789    |
| Total State Operations                             | 1,399                    | 1,931          | 1,534           | 1,429           | 1,733             | 1,450                | 1,874                | 1,544                 | 1,499                 | 1,712                        | 1,589                 | 1,811              | 0                                      | 19,505   |
| General State Charges                              | 2,827                    | 445            | 509             | 396             | 360               | 513                  | 615                  | 503                   | 501                   | 493                          | 538                   | 710                | 0                                      | 8,410    |
| Debt Service                                       | 64                       | 126            | 166             | 26              | 96                | 831                  | 38                   | 53                    | 343                   | 17                           | 748                   | 3,012              | 0                                      | 5,520    |
| Capital Projects                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0        |
| TOTAL DISBURSEMENTS                                | 7,881                    | 9,527          | 7,718           | 6,114           | 6,898             | 9,525                | 6,334                | 7,173                 | 7,939                 | 7,616                        | 6,277                 | 17,133             | 0                                      | 100,135  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| Transfers from other funds                         | 4,147                    | 2,122          | 4,083           | 2,586           | 2,015             | 3,801                | 2,380                | 1,956                 | 3,469                 | 6,630                        | 2,440                 | 3,855              | (511)                                  | 38,973   |
| Transfers to other funds                           | (4,018)                  | (2,40)         | (4,691)         | (2,801)         | (2,439)           | (3,921)              | (1,596)              | (2,183)               | (2,971)               | (6,288)                      | (2,404)               | (3,354)            | 511                                    | (38,595) |
| Bond and note proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0        |
| NET OTHER FINANCING SOURCES (USES)                 | 129                      | (318)          | (608)           | (215)           | (424)             | (120)                | 784                  | (227)                 | 498                   | 342                          | 36                    | 501                | 0                                      | 378      |
| Excess/(Deficiency) of Receipts over Disbursements | 1,780                    | (4,518)        | 1,568           | 920             | (930)             | 288                  | 436                  | (2,780)               | 2,287                 | 6,652                        | 329                   | (8,536)            | 0                                      | (2,604)  |
| <b>CLOSING BALANCE</b>                             | 15,387                   | 10,769         | 12,337          | 13,257          | 13,257            | 12,327               | 13,051               | 10,271                | 12,558                | 19,210                       | 19,539                | 11,003             | 0                                      | 11,003   |

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2019**  
(dollars in millions)

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Intra-Fund<br>Transfer<br>Eliminations | Total    |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|--|----------|
| <b>OPENING BALANCE</b>                             | 12,749                   | 14,013         | 8,995           | 11,166          | 11,703            | 10,669               | 11,334               | 11,128                | 7,404                 | 11,221                       | 16,874                | 16,511             |  | 12,749   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| Personal Income Tax                                | 5,856                    | 2,184          | 4,951           | 2,900           | 2,974             | 4,797                | 2,547                | 1,674                 | 4,928                 | 10,914                       | 3,518                 | 3,167              | 0                                      | 50,410   |
| Consumption/Use Taxes                              | 1,277                    | 1,307          | 1,784           | 1,375           | 1,348             | 1,736                | 1,313                | 1,409                 | 1,708                 | 1,409                        | 1,175                 | 1,531              | 0                                      | 17,303   |
| Business Taxes                                     | 585                      | 3              | 1,321           | 299             | 207               | 1,290                | 205                  | 209                   | 1,676                 | 21                           | 213                   | 1,952              | 0                                      | 7,981    |
| Other Taxes  | 139                      | 174            | 172             | 199             | 196               | 218                  | 202                  | 190                   | 179                   | 191                          | 189                   | 180                | 0                                      | 2,229    |
| Total Taxes  | 7,857                    | 3,668          | 8,228           | 4,773           | 4,725             | 8,041                | 4,294                | 3,386                 | 8,491                 | 12,535                       | 5,095                 | 6,830              | 0                                      | 77,923   |
| Abandoned Property                                 | 1                        | 0              | 0               | 0               | 0                 | 10                   | 45                   | 120                   | 15                    | 15                           | 0                     | 244                | 0                                      | 450      |
| ABC License Fee                                    | 6                        | 7              | 7               | 7               | 5                 | 5                    | 6                    | 5                     | 4                     | 4                            | 5                     | 4                  | 0                                      | 66       |
| HCRA   | 456                      | 404            | 474             | 436             | 431               | 446                  | 423                  | 397                   | 435                   | 381                          | 377                   | 421                | 0                                      | 5,081    |
| Investment Income                                  | 17                       | 10             | 11              | 11              | 9                 | 11                   | 4                    | 0                     | 0                     | 0                            | 0                     | (29)               | 0                                      | 40       |
| Licenses, Fees, etc.                               | 51                       | 43             | 58              | 63              | 67                | 36                   | 41                   | 45                    | 68                    | 70                           | 50                    | 48                 | 0                                      | 670      |
| Lottery  | 275                      | 323            | 256             | 251             | 322               | 259                  | 419                  | 234                   | 236                   | 280                          | 244                   | 155                | 0                                      | 3,254    |
| Medical  | 68                       | 70             | 77              | 72              | 74                | 78                   | 74                   | 74                    | 74                    | 74                           | 74                    | 69                 | 0                                      | 886      |
| Motor Vehicle Fees                                 | 68                       | 67             | 47              | 44              | 64                | 33                   | 60                   | 59                    | 63                    | 59                           | 64                    | 63                 | 0                                      | 691      |
| Reimbursements                                     | (17)                     | (54)           | 106             | (5)             | 23                | 26                   | (90)                 | 4                     | 4                     | 4                            | 4                     | 102                | 0                                      | 107      |
| State University Income                            | 291                      | 357            | 269             | 290             | 448               | 682                  | 306                  | 266                   | 250                   | 517                          | 628                   | 575                | 0                                      | 4,879    |
| Extraordinary Settlements                          | 108                      | 74             | 205             | 15              | 0                 | 0                    | 40                   | 0                     | 0                     | 0                            | 0                     | 1                  | 0                                      | 443      |
| Other Transactions                                 | 876                      | 525            | 420             | 1,732           | 418               | 573                  | 1,805                | 216                   | 2,862                 | 563                          | 216                   | 1,405              | 0                                      | 11,611   |
| Total Miscellaneous Receipts                       | 2,209                    | 1,826          | 1,930           | 2,912           | 1,860             | 2,159                | 3,163                | 1,420                 | 4,011                 | 1,968                        | 1,662                 | 3,058              | 0                                      | 28,178   |
| Federal Receipts                                   | 3,616                    | 4,915          | 5,452           | 4,088           | 6,477             | 6,347                | 4,708                | 4,497                 | 5,803                 | 4,834                        | 4,514                 | 7,259              | 0                                      | 62,510   |
| <b>TOTAL RECEIPTS</b>                              | 13,682                   | 10,409         | 15,610          | 11,773          | 13,062            | 16,547               | 12,165               | 9,303                 | 18,305                | 19,337                       | 11,271                | 17,147             | 0                                      | 168,611  |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| School Aid   | 1,434                    | 4,094          | 2,107           | 641             | 784               | 3,777                | 1,154                | 1,993                 | 2,646                 | 875                          | 1,052                 | 8,972              | 0                                      | 29,529   |
| Higher Education                                   | 25                       | 43             | 267             | 1,154           | 61                | 178                  | 37                   | 110                   | 220                   | 70                           | 347                   | 545                | 0                                      | 3,057    |
| All Other Education                                | 107                      | 98             | 189             | 387             | 702               | 103                  | 160                  | 373                   | 172                   | 54                           | 340                   | 467                | 0                                      | 3,152    |
| STAR   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 1                    | 11                    | 89                    | 2,349                        | 0                     | 9                  | 0                                      | 2,459    |
| Medical - DOH                                      | 4,778                    | 6,180          | 5,595           | 4,399           | 6,894             | 5,694                | 5,613                | 5,280                 | 4,659                 | 5,616                        | 4,712                 | 5,059              | 0                                      | 64,479   |
| Public Health                                      | 238                      | 394            | 368             | 408             | 256               | 524                  | 301                  | 316                   | 350                   | 291                          | 262                   | 443                | 0                                      | 4,151    |
| Mental Hygiene                                     | 166                      | 62             | 346             | 173             | 55                | 347                  | 175                  | 67                    | 333                   | 165                          | 163                   | 396                | 0                                      | 2,448    |
| Children and Families                              | 48                       | 95             | 85              | 114             | 341               | 392                  | 173                  | 293                   | 178                   | 293                          | 198                   | 319                | 0                                      | 2,529    |
| Temporary & Disability Assistance                  | 277                      | 220            | 279             | 449             | 944               | 284                  | 488                  | 373                   | 612                   | 321                          | 311                   | 526                | 0                                      | 5,084    |
| Transportation                                     | 294                      | 489            | 723             | 378             | 473               | 530                  | 369                  | 602                   | 1,244                 | 105                          | 147                   | 501                | 0                                      | 5,855    |
| Unrestricted Aid                                   | 0                        | 11             | 388             | 2               | 0                 | 101                  | 7                    | 4                     | 185                   | 1                            | 1                     | 65                 | 0                                      | 765      |
| All Other  | 332                      | 438            | 144             | 478             | 281               | 261                  | 437                  | 494                   | 412                   | 395                          | 399                   | 233                | 0                                      | 4,304    |
| Total Local Assistance                             | 7,699                    | 12,124         | 10,491          | 8,583           | 10,791            | 12,191               | 8,915                | 9,916                 | 11,100                | 10,535                       | 7,932                 | 17,535             | 0                                      | 127,812  |
| Personal Service                                   | 1,102                    | 1,470          | 1,123           | 1,107           | 1,260             | 1,077                | 1,467                | 1,114                 | 1,086                 | 1,279                        | 1,123                 | 1,169              | 0                                      | 14,377   |
| Non-Personal Service                               | 418                      | 622            | 563             | 629             | 652               | 618                  | 588                  | 618                   | 597                   | 600                          | 629                   | 853                | 0                                      | 7,198    |
| Total State Operations                             | 1,520                    | 2,092          | 1,686           | 1,536           | 1,912             | 1,706                | 2,055                | 1,732                 | 1,683                 | 1,879                        | 1,752                 | 2,022              | 0                                      | 21,575   |
| General State Charges                              | 2,866                    | 473            | 518             | 418             | 484               | 541                  | 640                  | 518                   | 837                   | 503                          | 549                   | 727                | 0                                      | 8,756    |
| Debt Service                                       | 64                       | 126            | 166             | 26              | 96                | 831                  | 38                   | 53                    | 343                   | 17                           | 748                   | 3,012              | 0                                      | 5,520    |
| Capital Projects                                   | 361                      | 591            | 522             | 673             | 761               | 603                  | 718                  | 802                   | 837                   | 746                          | 648                   | 662                | 0                                      | 7,924    |
| <b>TOTAL DISBURSEMENTS</b>                         | 12,510                   | 15,406         | 13,383          | 11,236          | 14,044            | 15,872               | 12,366               | 13,021                | 14,482                | 13,680                       | 11,629                | 23,958             | 0                                      | 171,587  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| Transfers from other funds                         | 4,203                    | 2,595          | 4,931           | 2,900           | 2,642             | 4,260                | 1,799                | 2,406                 | 3,245                 | 6,388                        | 2,685                 | 4,424              | (511)                                  | 41,967   |
| Transfers to other funds                           | (4,111)                  | (2,616)        | (4,987)         | (2,900)         | (2,694)           | (4,270)              | (1,804)              | (2,412)               | (3,251)               | (6,392)                      | (2,690)               | (4,513)            | 511                                    | (42,129) |
| Bond and note proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 744                | 0                                      | 744      |
| <b>NET OTHER FINANCING SOURCES (USES)</b>          | 92                       | (21)           | (56)            | 0               | (52)              | (10)                 | (5)                  | (6)                   | (6)                   | (4)                          | (5)                   | 655                | 0                                      | 582      |
| Excess/(Deficiency) of Receipts over Disbursements | 1,264                    | (5,018)        | 2,171           | 537             | (1,034)           | 665                  | (206)                | (3,724)               | 3,817                 | 5,653                        | (363)                 | (6,156)            | 0                                      | (2,394)  |
| <b>CLOSING BALANCE</b>                             | 14,013                   | 8,995          | 11,166          | 11,703          | 10,669            | 11,334               | 11,128               | 7,404                 | 11,221                | 16,874                       | 16,511                | 10,355             | 0                                      | 10,355   |

**CASHFLOW**  
**SPECIAL REVENUE FUNDS**  
**FY 2019**  
**(dollars in millions)**

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Intra-Fund<br>Transfer<br>Eliminations | Total   |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|--|---------|
| <b>OPENING BALANCE</b>                             | 4,302                    | 4,970          | 4,749           | 5,653           | 6,783             | 6,577                | 6,012                | 5,431                 | 4,728                 | 4,663                        | 4,542                 | 4,484              |  | 4,302   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |         |
| Personal Income Tax                                | 0                        | 0              | 0               | 0               | 0                 | 0                    | 1                    | 11                    | 89                    | 2,349                        | 0                     | 9                  | 0                                      | 2,459   |
| Consumption/Use Taxes                              | 186                      | 151            | 195             | 175             | 157               | 184                  | 165                  | 149                   | 178                   | 170                          | 123                   | 141                | 0                                      | 1,974   |
| Business Taxes                                     | 172                      | 84             | 241             | 75              | 69                | 238                  | 56                   | 73                    | 268                   | 77                           | 62                    | 289                | 0                                      | 1,704   |
| Other Taxes  | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| Total Taxes  | 358                      | 235            | 436             | 250             | 226               | 422                  | 222                  | 233                   | 535                   | 2,596                        | 185                   | 439                | 0                                      | 6,137   |
| HCRA   | 456                      | 404            | 474             | 436             | 431               | 446                  | 423                  | 397                   | 435                   | 381                          | 377                   | 421                | 0                                      | 5,081   |
| State University Income                            | 291                      | 357            | 269             | 290             | 448               | 682                  | 306                  | 266                   | 250                   | 517                          | 628                   | 575                | 0                                      | 4,879   |
| Lottery  | 275                      | 323            | 256             | 251             | 322               | 259                  | 419                  | 234                   | 236                   | 280                          | 244                   | 155                | 0                                      | 3,254   |
| Medical  | 38                       | 70             | 77              | 72              | 73                | 78                   | 74                   | 74                    | 74                    | 74                           | 74                    | 69                 | 0                                      | 886     |
| Motor Vehicle Fees                                 | 401                      | 42             | 34              | 42              | 29                | 30                   | 34                   | 34                    | 33                    | 33                           | 34                    | 36                 | 0                                      | 422     |
| Other Transactions                                 | 401                      | 248            | 303             | 1,312           | 275               | 355                  | 340                  | 63                    | 108                   | 31                           | 66                    | (244)              | 0                                      | 3,258   |
| Total Miscellaneous Receipts                       | 1,538                    | 1,444          | 1,413           | 2,403           | 1,578             | 1,850                | 1,599                | 1,068                 | 1,136                 | 1,316                        | 1,423                 | 1,012              | 0                                      | 17,780  |
| Federal Receipts                                   | 3,557                    | 4,861          | 5,310           | 3,773           | 6,168             | 6,095                | 4,528                | 4,254                 | 5,600                 | 4,661                        | 4,359                 | 6,837              | 0                                      | 60,003  |
| <b>TOTAL RECEIPTS</b>                              | 5,453                    | 6,540          | 7,159           | 6,426           | 7,972             | 8,367                | 6,349                | 5,555                 | 7,271                 | 8,573                        | 5,967                 | 8,288              | 0                                      | 83,920  |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |         |
| School Aid   | 314                      | 556            | 531             | 254             | 131               | 2,148                | 256                  | 355                   | 560                   | 355                          | 291                   | 360                | 0                                      | 6,111   |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| All Other Education                                | 64                       | 50             | 136             | 87              | 217               | 70                   | 71                   | 57                    | 15                    | 8                            | 6                     | 3                  | 0                                      | 784     |
| STAR   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 1                    | 11                    | 89                    | 2,349                        | 0                     | 9                  | 0                                      | 2,459   |
| Medical - DOH                                      | 3,255                    | 4,256          | 4,214           | 3,266           | 4,917             | 4,491                | 4,399                | 3,934                 | 3,979                 | 4,564                        | 4,008                 | 4,883              | 0                                      | 50,166  |
| Public Health                                      | 182                      | 191            | 319             | 307             | 170               | 488                  | 214                  | 191                   | 246                   | 191                          | 179                   | 344                | 0                                      | 3,022   |
| Mental Hygiene                                     | 12                       | 21             | 9               | 16              | 6                 | 11                   | 28                   | 11                    | 11                    | 13                           | 13                    | 8                  | 0                                      | 159     |
| Children and Families                              | 36                       | 60             | 44              | 12              | 232               | 176                  | 24                   | 51                    | 51                    | 51                           | 51                    | 54                 | 0                                      | 842     |
| Temporary & Disability Assistance                  | 210                      | 125            | 181             | 343             | 844               | 104                  | 382                  | 252                   | 502                   | 201                          | 205                   | 395                | 0                                      | 3,744   |
| Transportation                                     | 192                      | 380            | 310             | 288             | 343               | 330                  | 267                  | 483                   | 797                   | 52                           | 82                    | 152                | 0                                      | 3,676   |
| Unrestricted Aid                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| All Other  | 63                       | 176            | (67)            | 162             | 112               | 98                   | 140                  | 71                    | 81                    | 39                           | 30                    | (315)              | 0                                      | 590     |
| Total Local Assistance                             | 4,328                    | 5,815          | 5,677           | 4,735           | 6,972             | 7,916                | 5,782                | 5,416                 | 6,331                 | 7,823                        | 4,865                 | 5,893              | 0                                      | 71,553  |
| Personal Service                                   | 434                      | 624            | 439             | 382             | 422               | 417                  | 642                  | 457                   | 412                   | 426                          | 430                   | 480                | 0                                      | 5,565   |
| Non-Personal Service                               | 253                      | 339            | 335             | 246             | 411               | 417                  | 369                  | 324                   | 340                   | 319                          | 326                   | 434                | 0                                      | 4,113   |
| Total State Operations                             | 687                      | 963            | 774             | 628             | 833               | 834                  | 1,011                | 781                   | 752                   | 745                          | 756                   | 914                | 0                                      | 9,678   |
| General State Charges                              | 159                      | 105            | 48              | 99              | 239               | 106                  | 98                   | 96                    | 109                   | 59                           | 70                    | 176                | 0                                      | 1,364   |
| Capital Projects                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| <b>TOTAL DISBURSEMENTS</b>                         | 5,174                    | 6,883          | 6,499           | 5,462           | 8,044             | 8,856                | 6,891                | 6,293                 | 7,192                 | 8,627                        | 5,691                 | 6,983              | 0                                      | 82,595  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |         |
| Transfers from Other Funds                         | 382                      | 286            | 591             | 218             | 136               | 80                   | 162                  | 258                   | 115                   | 62                           | 21                    | 335                | (511)                                  | 2,135   |
| Transfers to Other Funds                           | 7                        | (164)          | (347)           | (52)            | (270)             | (156)                | (201)                | (223)                 | (259)                 | (129)                        | (355)                 | (1,696)            | 5.1                                    | (3,334) |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | 389                      | 122            | 244             | 166             | (134)             | (76)                 | (39)                 | 35                    | (144)                 | (67)                         | (334)                 | (1,361)            | 0                                      | (1,199) |
| Excess/(Deficiency) of Receipts over Disbursements | 668                      | (221)          | 904             | 1,130           | (206)             | (565)                | (581)                | (703)                 | (65)                  | (121)                        | (58)                  | (56)               | 0                                      | 126     |
| <b>CLOSING BALANCE</b>                             | 4,970                    | 4,749          | 5,653           | 6,783           | 6,577             | 6,012                | 5,431                | 4,728                 | 4,663                 | 4,542                        | 4,484                 | 4,428              | 0                                      | 4,428   |



**CASHFLOW**  
**SPECIAL REVENUE STATE FUNDS**  
**FY 2019**  
(dollars in millions)

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Intra-Fund<br>Transfer<br>Eliminations | Total   |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|--|---------|
| <b>OPENING BALANCE</b>                             | 4,009                    | 5,103          | 5,119           | 5,600           | 7,063             | 7,026                | 5,831                | 5,796                 | 5,565                 | 5,146                        | 5,406                 | 5,616              |  | 4,009   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |         |
| Personal Income Tax                                | 0                        | 0              | 0               | 0               | 0                 | 0                    | 1                    | 11                    | 89                    | 2,349                        | 0                     | 9                  | 0                                      | 2,459   |
| Consumption/Use Taxes                              | 186                      | 151            | 195             | 175             | 157               | 184                  | 165                  | 149                   | 178                   | 170                          | 123                   | 141                | 0                                      | 1,974   |
| Business Taxes                                     | 172                      | 84             | 241             | 75              | 69                | 238                  | 56                   | 73                    | 268                   | 77                           | 62                    | 289                | 0                                      | 1,704   |
| Other Taxes  | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| Total Taxes  | 358                      | 235            | 436             | 250             | 226               | 422                  | 222                  | 233                   | 535                   | 2,596                        | 185                   | 439                | 0                                      | 6,137   |
| HCRA   | 456                      | 404            | 474             | 436             | 431               | 446                  | 423                  | 397                   | 435                   | 381                          | 377                   | 421                | 0                                      | 5,081   |
| State University Income                            | 291                      | 357            | 269             | 290             | 448               | 682                  | 306                  | 266                   | 250                   | 517                          | 628                   | 575                | 0                                      | 4,879   |
| Lottery  | 275                      | 323            | 256             | 251             | 322               | 259                  | 419                  | 234                   | 236                   | 280                          | 244                   | 155                | 0                                      | 3,254   |
| Medicaid   | 77                       | 70             | 77              | 72              | 73                | 78                   | 74                   | 74                    | 74                    | 74                           | 74                    | 69                 | 0                                      | 886     |
| Motor Vehicle Fees                                 | 38                       | 42             | 34              | 42              | 29                | 34                   | 37                   | 34                    | 33                    | 33                           | 34                    | 36                 | 0                                      | 422     |
| Other Transactions                                 | 387                      | 200            | 293             | 1,299           | 254               | 344                  | 332                  | 67                    | 108                   | 16                           | 53                    | (297)              | 0                                      | 3,056   |
| Total Miscellaneous Receipts                       | 1,524                    | 1,396          | 1,403           | 2,390           | 1,557             | 1,839                | 1,591                | 1,072                 | 1,136                 | 1,301                        | 1,410                 | 959                | 0                                      | 17,578  |
| Federal Receipts                                   | (2)                      | (1)            | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 4                  | 0                                      | 1       |
| <b>TOTAL RECEIPTS</b>                              | 1,880                    | 1,630          | 1,839           | 2,640           | 1,783             | 2,261                | 1,813                | 1,305                 | 1,671                 | 3,897                        | 1,595                 | 1,402              | 0                                      | 23,716  |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |         |
| School Aid   | 0                        | 0              | 326             | 0               | 0                 | 2,083                | 136                  | 136                   | 136                   | 136                          | 136                   | 252                | 0                                      | 3,341   |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| All Other Education                                | 0                        | 0              | 1               | 1               | 1                 | 1                    | 2                    | 1                     | 2                     | 1                            | 1                     | 1                  | 0                                      | 12      |
| STAR   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 1                    | 11                    | 89                    | 2,349                        | 0                     | 9                  | 0                                      | 2,459   |
| Medicaid - DOH                                     | 281                      | 541            | 458             | 335             | 713               | 343                  | 612                  | 471                   | 460                   | 530                          | 478                   | 426                | 0                                      | 5,648   |
| Public Health                                      | 64                       | 38             | 98              | 166             | 38                | 61                   | 60                   | 45                    | 87                    | 40                           | 39                    | 158                | 0                                      | 894     |
| Mental Hygiene                                     | (1)                      | 0              | 0               | 0               | (1)               | 0                    | 2                    | 0                     | 1                     | 0                            | 0                     | 4                  | 0                                      | 5       |
| Children and Families                              | 0                        | 1              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 3                  | 0                                      | 4       |
| Temporary & Disability Assistance                  | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| Transportation                                     | 190                      | 377            | 305             | 286             | 338               | 325                  | 263                  | 480                   | 793                   | 49                           | 78                    | 149                | 0                                      | 3,633   |
| Unrestricted Aid                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| All Other  | 22                       | 49             | 23              | 27              | 40                | 17                   | 22                   | (34)                  | (29)                  | (74)                         | (80)                  | (292)              | 0                                      | (309)   |
| Total Local Assistance                             | 556                      | 1,006          | 1,211           | 815             | 1,129             | 2,830                | 1,098                | 1,110                 | 1,539                 | 3,031                        | 652                   | 710                | 0                                      | 15,887  |
| Personal Service                                   | 383                      | 554            | 377             | 336             | 374               | 366                  | 576                  | 405                   | 361                   | 376                          | 378                   | 418                | 0                                      | 4,904   |
| Non-Personal Service                               | 183                      | 248            | 245             | 185             | 212               | 212                  | 254                  | 188                   | 207                   | 202                          | 215                   | 285                | 0                                      | 2,704   |
| Total State Operations                             | 566                      | 802            | 622             | 521             | 654               | 578                  | 830                  | 593                   | 568                   | 578                          | 593                   | 703                | 0                                      | 7,608   |
| General State Charges                              | 120                      | 77             | 39              | 77              | 115               | 78                   | 73                   | 81                    | 91                    | 49                           | 59                    | 159                | 0                                      | 1,018   |
| Capital Projects                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0                                      | 0       |
| <b>TOTAL DISBURSEMENTS</b>                         | 1,242                    | 1,885          | 1,872           | 1,413           | 1,898             | 3,486                | 2,001                | 1,784                 | 2,198                 | 3,658                        | 1,304                 | 1,572              | 0                                      | 24,313  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |         |
| Transfers from Other Funds                         | 382                      | 286            | 591             | 218             | 136               | 80                   | 162                  | 258                   | 109                   | 62                           | 21                    | 329                | (511)                                  | 2,123   |
| Transfers to Other Funds                           | 74                       | (15)           | (77)            | 18              | (58)              | (50)                 | (9)                  | (10)                  | (1)                   | (41)                         | (102)                 | (1,400)            | 511                                    | (1,160) |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | 456                      | 271            | 514             | 236             | 78                | 30                   | 153                  | 248                   | 108                   | 21                           | (81)                  | (1,071)            | 0                                      | 963     |
| Excess/(Deficiency) of Receipts over Disbursements | 1,094                    | 16             | 481             | 1,463           | (37)              | (1,195)              | (35)                 | (231)                 | (419)                 | 260                          | 210                   | (1,241)            | 0                                      | 366     |
| <b>CLOSING BALANCE</b>                             | 5,103                    | 5,119          | 5,600           | 7,063           | 7,026             | 5,831                | 5,796                | 5,565                 | 5,146                 | 5,406                        | 5,616                 | 4,375              | 0                                      | 4,375   |

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2019**  
(dollars in millions)

|  | 2018         | April        | May          | June         | July         | August       | September    | October      | November     | December     | 2019         | January        | February       | March        | Total          |
|--|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|----------------|----------------|--------------|----------------|
|  | Results      | Results      | Results      | Results      | Results      | Results      | Results      | Projected    | Projected    | Projected    | Projected    | Projected      | Projected      | Projected    |                |
| <b>RECEIPTS:</b>                                   |              |              |              |              |              |              |              |              |              |              |              |                |                |              |                |
| Miscellaneous Receipts                             | 14           | 48           | 10           | 13           | 21           | 11           | 11           | 8            | (4)          | 0            | 15           | 13             | 13             | 53           | 202            |
| Federal Receipts                                   | 3,559        | 4,862        | 5,310        | 3,773        | 6,168        | 6,095        | 4,528        | 4,528        | 4,254        | 5,600        | 4,661        | 4,359          | 4,359          | 6,833        | 60,002         |
| <b>TOTAL RECEIPTS</b>                              | <b>3,573</b> | <b>4,910</b> | <b>5,320</b> | <b>3,786</b> | <b>6,189</b> | <b>6,106</b> | <b>4,536</b> | <b>4,536</b> | <b>4,250</b> | <b>5,600</b> | <b>4,676</b> | <b>4,372</b>   | <b>4,372</b>   | <b>6,886</b> | <b>60,204</b>  |
| <b>DISBURSEMENTS:</b>                              |              |              |              |              |              |              |              |              |              |              |              |                |                |              |                |
| School Aid   | 314          | 556          | 205          | 254          | 131          | 131          | 120          | 120          | 219          | 424          | 219          | 155            | 155            | 108          | 2,770          |
| Higher Education                                   | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0              | 0              | 0            | 0              |
| All Other Education                                | 64           | 50           | 135          | 86           | 216          | 69           | 69           | 69           | 56           | 13           | 7            | 5              | 5              | 2            | 772            |
| STAR   | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0              | 0              | 0            | 0              |
| Medicaid - DOH                                     | 2,974        | 3,715        | 3,756        | 2,931        | 4,204        | 4,148        | 3,787        | 3,463        | 3,463        | 3,519        | 4,034        | 3,530          | 3,530          | 4,457        | 44,518         |
| Public Health                                      | 118          | 153          | 221          | 141          | 132          | 427          | 154          | 154          | 146          | 159          | 151          | 140            | 140            | 186          | 2,128          |
| Mental Hygiene                                     | 13           | 21           | 9            | 16           | 7            | 11           | 26           | 26           | 11           | 10           | 13           | 13             | 13             | 4            | 154            |
| Children and Families                              | 36           | 59           | 44           | 12           | 232          | 176          | 24           | 24           | 51           | 51           | 51           | 51             | 51             | 51           | 838            |
| Temporary & Disability Assistance                  | 210          | 125          | 181          | 343          | 844          | 104          | 382          | 382          | 252          | 502          | 201          | 205            | 205            | 395          | 3,744          |
| Transportation                                     | 2            | 3            | 5            | 2            | 5            | 5            | 4            | 4            | 3            | 4            | 3            | 4              | 4              | 3            | 43             |
| Unrestricted Aid                                   | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0              | 0              | 0            | 0              |
| All Other  | 41           | 127          | (90)         | 135          | 72           | 81           | 118          | 118          | 105          | 110          | 113          | 110            | 110            | (23)         | 899            |
| Total Local Assistance                             | 3,772        | 4,809        | 4,466        | 3,920        | 5,843        | 5,086        | 4,684        | 4,684        | 4,306        | 4,792        | 4,792        | 4,213          | 4,213          | 5,183        | 55,866         |
| Personal Service                                   | 51           | 70           | 62           | 46           | 48           | 51           | 66           | 66           | 52           | 51           | 50           | 52             | 52             | 62           | 661            |
| Non-Personal Service                               | 70           | 91           | 90           | 61           | 131          | 205          | 115          | 136          | 136          | 133          | 117          | 111            | 111            | 149          | 1,409          |
| Total State Operations                             | 121          | 161          | 152          | 107          | 179          | 256          | 181          | 181          | 188          | 184          | 167          | 163            | 163            | 211          | 2,070          |
| General State Charges                              | 39           | 28           | 9            | 22           | 124          | 28           | 25           | 25           | 15           | 18           | 10           | 11             | 11             | 17           | 346            |
| Capital Projects                                   | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0              | 0              | 0            | 0              |
| <b>TOTAL DISBURSEMENTS</b>                         | <b>3,932</b> | <b>4,998</b> | <b>4,627</b> | <b>4,049</b> | <b>6,146</b> | <b>5,370</b> | <b>4,890</b> | <b>4,890</b> | <b>4,509</b> | <b>4,994</b> | <b>4,969</b> | <b>4,387</b>   | <b>4,387</b>   | <b>5,411</b> | <b>58,282</b>  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |              |              |              |              |              |              |              |              |              |              |              |                |                |              |                |
| Transfers from Other Funds                         | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 0            | 6            | 0            | 0              | 0              | 6            | 12             |
| Transfers to Other Funds                           | (67)         | (149)        | (270)        | (70)         | (212)        | (106)        | (192)        | (192)        | (213)        | (258)        | (88)         | (253)          | (253)          | (296)        | (2,174)        |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | <b>(67)</b>  | <b>(149)</b> | <b>(270)</b> | <b>(70)</b>  | <b>(212)</b> | <b>(106)</b> | <b>(192)</b> | <b>(192)</b> | <b>(213)</b> | <b>(252)</b> | <b>(88)</b>  | <b>(253)</b>   | <b>(253)</b>   | <b>(290)</b> | <b>(2,162)</b> |
| Excess/(Deficiency) of Receipts over Disbursements | (426)        | (237)        | 423          | (333)        | (169)        | 630          | (546)        | (546)        | (472)        | 354          | (381)        | (268)          | (268)          | 1,185        | (240)          |
| <b>CLOSING BALANCE</b>                             | <b>(133)</b> | <b>(370)</b> | <b>53</b>    | <b>(280)</b> | <b>(449)</b> | <b>181</b>   | <b>(365)</b> | <b>(365)</b> | <b>(837)</b> | <b>(483)</b> | <b>(864)</b> | <b>(1,132)</b> | <b>(1,132)</b> | <b>53</b>    | <b>53</b>      |

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2019**  
(dollars in millions)

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Total    |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|----------|
| <b>OPENING BALANCE</b>                             | 153                      | 346            | 520             | 424             | 777               | 1,187                | 333                  | 643                   | 823                   | 934                          | 1,348                 | 1,175              | 153      |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |          |
| Personal Income Tax                                | 2,928                    | 1,092          | 2,475           | 1,450           | 1,487             | 2,399                | 1,273                | 837                   | 2,464                 | 5,457                        | 1,759                 | 1,584              | 25,205   |
| Consumption/Use Taxes                              | 503                      | 526            | 724             | 550             | 548               | 710                  | 538                  | 537                   | 711                   | 570                          | 489                   | 651                | 7,057    |
| Other Taxes  | 87                       | 92             | 89              | 88              | 110               | 83                   | 76                   | 90                    | 80                    | 92                           | 90                    | 82                 | 1,059    |
| Total Taxes  | 3,518                    | 1,710          | 3,288           | 2,088           | 2,145             | 3,192                | 1,887                | 1,464                 | 3,255                 | 6,119                        | 2,338                 | 2,317              | 33,321   |
| Miscellaneous Receipts                             | 44                       | 48             | 18              | 63              | 24                | 19                   | 45                   | 36                    | 34                    | 41                           | 47                    | 79                 | 498      |
| Federal Receipts                                   | 0                        | 0              | 0               | 2               | 35                | 0                    | 0                    | 0                     | 0                     | 0                            | 2                     | 35                 | 74       |
| TOTAL RECEIPTS                                     | 3,562                    | 1,758          | 3,306           | 2,153           | 2,204             | 3,211                | 1,932                | 1,500                 | 3,289                 | 6,160                        | 2,387                 | 2,431              | 33,893   |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |          |
| State Operations                                   | 1                        | 1              | 7               | 1               | 13                | 2                    | 0                    | 3                     | 1                     | 0                            | 2                     | 16                 | 47       |
| Debt Service                                       | 64                       | 126            | 166             | 26              | 96                | 831                  | 38                   | 53                    | 343                   | 17                           | 748                   | 3,012              | 5,520    |
| TOTAL DISBURSEMENTS                                | 65                       | 127            | 173             | 27              | 109               | 833                  | 38                   | 56                    | 344                   | 17                           | 750                   | 3,028              | 5,567    |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |          |
| Transfers from Other Funds                         | 226                      | 188            | 135             | 301             | 101               | 211                  | 319                  | 217                   | 269                   | 406                          | 260                   | 966                | 3,599    |
| Transfers to Other Funds                           | (3,550)                  | (1,645)        | (3,364)         | (2,074)         | (1,786)           | (3,443)              | (1,903)              | (1,481)               | (3,103)               | (6,135)                      | (2,070)               | (1,372)            | (31,906) |
| NET OTHER FINANCING SOURCES/(USES)                 | (3,304)                  | (1,457)        | (3,229)         | (1,773)         | (1,685)           | (3,232)              | (1,584)              | (1,264)               | (2,834)               | (5,729)                      | (1,810)               | (406)              | (28,307) |
| Excess/(Deficiency) of Receipts over Disbursements | 193                      | 174            | (96)            | 353             | 410               | (854)                | 310                  | 180                   | 111                   | 414                          | (173)                 | (1,003)            | 19       |
| <b>CLOSING BALANCE</b>                             | 346                      | 520            | 424             | 777             | 1,187             | 333                  | 643                  | 823                   | 934                   | 1,348                        | 1,175                 | 172                | 172      |

**CASHFLOW**  
**CAPITAL PROJECTS FUNDS**  
**FY 2019**  
**(dollars in millions)**

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Total   |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|---------|
| <b>OPENING BALANCE</b>                             | (1,151)                  | (1,241)        | (1,404)         | (1,224)         | (1,274)           | (1,209)              | (1,462)              | (1,558)               | (2,030)               | (854)                        | (1,472)               | (1,896)            | (1,151) |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |         |
| Consumption/Use Taxes                              | 44                       | 50             | 66              | 52              | 48                | 77                   | 52                   | 41                    | 59                    | 41                           | 41                    | 54                 | 625     |
| Business Taxes                                     | 67                       | 39             | 60              | 56              | 54                | 60                   | 55                   | 51                    | 53                    | 51                           | 51                    | 54                 | 651     |
| Other Taxes  | 0                        | 0              | 12              | 12              | 12                | 12                   | 12                   | 12                    | 12                    | 12                           | 12                    | 11                 | 119     |
| Total Taxes  | 111                      | 89             | 138             | 120             | 114               | 149                  | 119                  | 104                   | 124                   | 104                          | 104                   | 119                | 1,395   |
| Miscellaneous Receipts                             | 407                      | 129            | 116             | 305             | 93                | 107                  | 1,344                | 86                    | 2,650                 | 458                          | 72                    | 1,659              | 7,426   |
| Federal Receipts                                   | 59                       | 54             | 142             | 313             | 274               | 252                  | 180                  | 243                   | 203                   | 173                          | 153                   | 387                | 2,433   |
| <b>TOTAL RECEIPTS</b>                              | 577                      | 272            | 396             | 738             | 481               | 508                  | 1,643                | 433                   | 2,977                 | 735                          | 329                   | 2,165              | 11,254  |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |         |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0       |
| All Other Education                                | 1                        | 2              | 0               | 0               | 22                | 1                    | 0                    | 3                     | 3                     | 3                            | 3                     | 9                  | 49      |
| Public Health                                      | 17                       | 12             | 11              | 52              | 8                 | 14                   | 44                   | 89                    | 44                    | 59                           | 54                    | 64                 | 468     |
| Mental Hygiene                                     | 4                        | 5              | 14              | 8               | 6                 | 6                    | 5                    | 8                     | 7                     | 9                            | 10                    | 32                 | 114     |
| School Aid   | 7                        | 12             | 10              | 16              | 5                 | 12                   | 10                   | 15                    | 15                    | 15                           | 15                    | 143                | 275     |
| Temporary & Disability Assistance                  | 0                        | 0              | 0               | 0               | 0                 | 16                   | 0                    | 15                    | 0                     | 15                           | 0                     | 14                 | 60      |
| Transportation                                     | 56                       | 40             | 352             | 43              | 98                | 200                  | 102                  | 95                    | 436                   | 53                           | 52                    | 348                | 1,875   |
| All Other Local                                    | 251                      | 219            | 127             | 281             | 100               | 125                  | 263                  | 312                   | 207                   | 195                          | 183                   | 142                | 2,405   |
| Total Local Assistance                             | 336                      | 290            | 516             | 400             | 239               | 374                  | 424                  | 537                   | 712                   | 349                          | 317                   | 752                | 5,246   |
| Economic Development                               | 10                       | 13             | 18              | 12              | 45                | 27                   | 10                   | 69                    | 58                    | 59                           | 9                     | 156                | 486     |
| Parks & the Environment                            | 27                       | 47             | 52              | 55              | 51                | 40                   | 51                   | 83                    | 81                    | 81                           | 92                    | 242                | 902     |
| Transportation                                     | 208                      | 316            | 228             | 344             | 389               | 335                  | 377                  | 401                   | 358                   | 306                          | 263                   | 316                | 3,841   |
| Health & Social Welfare                            | 7                        | 14             | 11              | 7               | 14                | 13                   | 10                   | 14                    | 23                    | 21                           | 19                    | 79                 | 232     |
| Mental Hygiene                                     | 22                       | 32             | 38              | 33              | 32                | 24                   | 37                   | 21                    | 19                    | 17                           | 14                    | 1                  | 290     |
| Public Protection                                  | 19                       | 34             | 32              | 47              | 48                | 48                   | 47                   | 32                    | 48                    | 28                           | 28                    | 83                 | 494     |
| Education  | 45                       | 76             | 61              | 124             | 109               | 62                   | 82                   | 89                    | 98                    | 97                           | 88                    | 109                | 1,040   |
| All Other  | 23                       | 59             | 82              | 51              | 73                | 54                   | 104                  | 93                    | 152                   | 137                          | 135                   | (324)              | 639     |
| Total Capital Projects                             | 361                      | 591            | 522             | 673             | 761               | 603                  | 718                  | 802                   | 837                   | 746                          | 648                   | 662                | 7,924   |
| <b>TOTAL DISBURSEMENTS</b>                         | 697                      | 881            | 1,038           | 1,073           | 1,000             | 977                  | 1,142                | 1,339                 | 1,549                 | 1,095                        | 965                   | 1,414              | 13,170  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |         |
| Transfers from Other Funds                         | 56                       | 473            | 848             | 314             | 627               | 459                  | (581)                | 450                   | (230)                 | (242)                        | 245                   | 563                | 2,982   |
| Transfers to Other Funds                           | (26)                     | (27)           | (26)            | (29)            | (43)              | (243)                | (16)                 | (16)                  | (22)                  | (16)                         | (33)                  | (863)              | (1,360) |
| Bond and Note Proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 744                | 744     |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | 30                       | 446            | 822             | 285             | 584               | 216                  | (597)                | 434                   | (252)                 | (258)                        | 212                   | 444                | 2,366   |
| Excess/(Deficiency) of Receipts over Disbursements | (90)                     | (163)          | 180             | (50)            | 65                | (253)                | (96)                 | (472)                 | 1,176                 | (618)                        | (424)                 | 1,195              | 450     |
| <b>CLOSING BALANCE</b>                             | (1,241)                  | (1,404)        | (1,224)         | (1,274)         | (1,209)           | (1,462)              | (1,558)              | (2,030)               | (854)                 | (1,472)                      | (1,896)               | (701)              | (701)   |

**CASHFLOW  
CAPITAL PROJECTS STATE FUNDS  
FY 2019  
(dollars in millions)**

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Total   |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|---------|
| <b>OPENING BALANCE</b>                             | (568)                    | (610)          | (702)           | (532)           | (748)             | (771)                | (1,103)              | (1,002)               | (1,476)               | (324)                        | (961)                 | (1,306)            | (568)   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |         |
| Consumption/Use Taxes                              | 44                       | 50             | 66              | 52              | 48                | 77                   | 52                   | 41                    | 59                    | 41                           | 41                    | 54                 | 625     |
| Business Taxes                                     | 67                       | 39             | 60              | 56              | 54                | 60                   | 55                   | 51                    | 53                    | 51                           | 51                    | 54                 | 651     |
| Other Taxes  | 0                        | 0              | 12              | 12              | 12                | 12                   | 12                   | 12                    | 12                    | 12                           | 12                    | 11                 | 119     |
| Total Taxes  | 111                      | 89             | 138             | 120             | 114               | 149                  | 119                  | 104                   | 124                   | 104                          | 104                   | 119                | 1,395   |
| Miscellaneous Receipts                             | 407                      | 129            | 116             | 305             | 93                | 107                  | 1,344                | 86                    | 2,650                 | 458                          | 72                    | 1,659              | 7,426   |
| Federal Receipts                                   | 0                        | 0              | 0               | 0               | 0                 | 2                    | 0                    | 0                     | 0                     | 0                            | 0                     | 3                  | 5       |
| <b>TOTAL RECEIPTS</b>                              | 518                      | 218            | 254             | 425             | 207               | 258                  | 1,463                | 190                   | 2,774                 | 562                          | 176                   | 1,781              | 8,826   |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |         |
| Higher Education                                   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0       |
| All Other Education                                | 1                        | 2              | 2               | 0               | 22                | 1                    | 0                    | 3                     | 3                     | 3                            | 3                     | 9                  | 49      |
| Public Health                                      | 17                       | 12             | 11              | 49              | 8                 | 14                   | 44                   | 34                    | 44                    | 52                           | 53                    | 61                 | 399     |
| Mental Hygiene                                     | 4                        | 5              | 14              | 6               | 6                 | 6                    | 5                    | 8                     | 7                     | 9                            | 10                    | 32                 | 114     |
| School Aid   | 7                        | 12             | 10              | 16              | 5                 | 12                   | 10                   | 15                    | 15                    | 15                           | 15                    | 143                | 275     |
| Temporary & Disability Assistance                  | 0                        | 0              | 0               | 0               | 0                 | 16                   | 0                    | 15                    | 0                     | 15                           | 0                     | 14                 | 60      |
| Transportation                                     | 5                        | 3              | 325             | 26              | 57                | 163                  | 76                   | 45                    | 391                   | 12                           | 12                    | 279                | 1,394   |
| All Other Local                                    | 251                      | 219            | 127             | 263             | 100               | 125                  | 263                  | 312                   | 207                   | 185                          | 183                   | 4                  | 2,249   |
| Total Local Assistance                             | 285                      | 253            | 489             | 362             | 198               | 337                  | 398                  | 432                   | 667                   | 301                          | 276                   | 542                | 4,540   |
| Economic Development                               | 10                       | 13             | 18              | 12              | 45                | 27                   | 10                   | 69                    | 58                    | 59                           | 9                     | 156                | 486     |
| Parks & the Environment                            | 26                       | 47             | 51              | 55              | 51                | 39                   | 43                   | 81                    | 79                    | 79                           | 90                    | 240                | 881     |
| Transportation                                     | 155                      | 232            | 125             | 238             | 247               | 206                  | 221                  | 271                   | 238                   | 206                          | 193                   | 266                | 2,598   |
| Health & Social Welfare                            | 6                        | 13             | 13              | 7               | 13                | 12                   | 10                   | 13                    | 20                    | 20                           | 18                    | 78                 | 223     |
| Mental Hygiene                                     | 22                       | 32             | 38              | 33              | 32                | 24                   | 37                   | 21                    | 19                    | 17                           | 14                    | 1                  | 290     |
| Public Protection                                  | 17                       | 32             | 29              | 44              | 47                | 45                   | 45                   | 30                    | 46                    | 26                           | 26                    | 81                 | 488     |
| Education  | 45                       | 76             | 61              | 124             | 109               | 62                   | 82                   | 97                    | 98                    | 97                           | 88                    | 109                | 1,040   |
| All Other  | 24                       | 58             | 82              | 51              | 72                | 54                   | 103                  | 92                    | 151                   | 136                          | 134                   | (334)              | 623     |
| Total Capital Projects                             | 305                      | 503            | 417             | 564             | 616               | 469                  | 551                  | 666                   | 709                   | 640                          | 572                   | 597                | 6,609   |
| <b>TOTAL DISBURSEMENTS</b>                         | 590                      | 756            | 906             | 926             | 814               | 806                  | 949                  | 1,098                 | 1,376                 | 941                          | 848                   | 1,139              | 11,149  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |         |
| Transfers from Other Funds                         | 56                       | 473            | 848             | 314             | 627               | 459                  | (397)                | 450                   | (230)                 | (242)                        | 361                   | 643                | 3,362   |
| Transfers to Other Funds                           | (26)                     | (27)           | (26)            | (29)            | (43)              | (243)                | (16)                 | (16)                  | (16)                  | (16)                         | (34)                  | (856)              | (1,348) |
| Bond and Note Proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 744     |
| NET OTHER FINANCING SOURCES/(USES)                 | 30                       | 446            | 822             | 285             | 584               | 216                  | (413)                | 434                   | (246)                 | (258)                        | 327                   | 531                | 2,758   |
| Excess/(Deficiency) of Receipts over Disbursements | (42)                     | (92)           | 170             | (216)           | (23)              | (332)                | 101                  | (474)                 | 1,152                 | (637)                        | (345)                 | 1,173              | 435     |
| <b>CLOSING BALANCE</b>                             | (610)                    | (702)          | (532)           | (748)           | (771)             | (1,103)              | (1,002)              | (1,476)               | (324)                 | (961)                        | (1,306)               | (1,333)            | (133)   |

**CASHFLOW  
CAPITAL PROJECTS FEDERAL FUNDS  
FY 2019  
(dollars in millions)**

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Total |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|-------|
| <b>OPENING BALANCE</b>                             | (583)                    | (631)          | (702)           | (692)           | (526)             | (438)                | (360)                | (557)                 | (555)                 | (531)                        | (512)                 | (591)              | (583) |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |       |
| Consumption/Use Taxes                              | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| Business Taxes                                     | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| Other Taxes  | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| Total Taxes  | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| Miscellaneous Receipts                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| Federal Receipts                                   | 59                       | 54             | 142             | 313             | 274               | 250                  | 180                  | 243                   | 203                   | 173                          | 153                   | 384                | 2,428 |
| <b>TOTAL RECEIPTS</b>                              | 59                       | 54             | 142             | 313             | 274               | 250                  | 180                  | 243                   | 203                   | 173                          | 153                   | 384                | 2,428 |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |       |
| Public Health                                      | 0                        | 0              | 0               | 3               | 0                 | 0                    | 0                    | 55                    | 0                     | 7                            | 1                     | 3                  | 69    |
| Transportation                                     | 51                       | 37             | 27              | 17              | 41                | 37                   | 26                   | 50                    | 45                    | 41                           | 40                    | 69                 | 481   |
| All Other Local                                    | 0                        | 0              | 0               | 18              | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 138                | 156   |
| Total Local Assistance                             | 51                       | 37             | 27              | 38              | 41                | 37                   | 26                   | 105                   | 45                    | 48                           | 41                    | 210                | 706   |
| Economic Development                               | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| Parks & the Environment                            | 1                        | 0              | 1               | 0               | 0                 | 1                    | 8                    | 2                     | 2                     | 2                            | 2                     | 2                  | 21    |
| Transportation                                     | 53                       | 84             | 103             | 106             | 142               | 129                  | 156                  | 130                   | 120                   | 100                          | 70                    | 50                 | 1,243 |
| Health & Social Welfare                            | 1                        | 1              | (2)             | 0               | 1                 | 1                    | 0                    | 1                     | 3                     | 1                            | 1                     | 1                  | 9     |
| Mental Hygiene                                     | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| Public Protection                                  | 2                        | 2              | 3               | 3               | 1                 | 3                    | 2                    | 2                     | 2                     | 2                            | 2                     | 2                  | 26    |
| Education  | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| All Other  | (1)                      | 1              | 0               | 0               | 0                 | 0                    | 1                    | 1                     | 1                     | 1                            | 1                     | 10                 | 16    |
| Total Capital Projects                             | 56                       | 88             | 105             | 109             | 145               | 134                  | 167                  | 136                   | 128                   | 106                          | 76                    | 65                 | 1,315 |
| <b>TOTAL DISBURSEMENTS</b>                         | 107                      | 125            | 132             | 147             | 186               | 171                  | 193                  | 241                   | 173                   | 154                          | 117                   | 275                | 2,021 |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |       |
| Transfers from Other Funds                         | 0                        | 0              | 0               | 0               | 0                 | (1)                  | (184)                | 0                     | 0                     | 0                            | (116)                 | (79)               | (380) |
| Transfers to Other Funds                           | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | (6)                   | 0                            | 1                     | (7)                | (12)  |
| Bond and Note Proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 0                  | 0     |
| <b>NET OTHER FINANCING SOURCES/(USES)</b>          | 0                        | 0              | 0               | 0               | 0                 | (1)                  | (184)                | 0                     | (6)                   | 0                            | (115)                 | (86)               | (392) |
| Excess/(Deficiency) of Receipts over Disbursements | (48)                     | (71)           | (10)            | 166             | 88                | 78                   | (197)                | 2                     | 24                    | 19                           | (79)                  | 23                 | 15    |
| <b>CLOSING BALANCE</b>                             | (631)                    | (702)          | (692)           | (526)           | (438)             | (360)                | (557)                | (555)                 | (531)                 | (512)                        | (591)                 | (568)              | (568) |

**CASHFLOW  
STATE FUNDS  
FY 2019**  
(dollars in millions)

|  | 2018<br>April<br>Results | May<br>Results | June<br>Results | July<br>Results | August<br>Results | September<br>Results | October<br>Projected | November<br>Projected | December<br>Projected | 2019<br>January<br>Projected | February<br>Projected | March<br>Projected | Intra-Fund<br>Transfer<br>Eliminations | Total    |
|--|--------------------------|----------------|-----------------|-----------------|-------------------|----------------------|----------------------|-----------------------|-----------------------|------------------------------|-----------------------|--------------------|--|----------|
| <b>OPENING BALANCE</b>                             | 13,039                   | 14,777         | 10,067          | 11,805          | 12,509            | 11,556               | 11,512               | 12,049                | 8,795                 | 12,234                       | 18,249                | 18,233             |  | 13,039   |
| <b>RECEIPTS:</b>                                   |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| Personal Income Tax                                | 5,856                    | 2,184          | 4,951           | 2,900           | 2,974             | 4,797                | 2,547                | 1,674                 | 4,928                 | 10,914                       | 3,518                 | 3,167              | 0                                      | 50,410   |
| Consumption/Use Taxes                              | 1,277                    | 1,307          | 1,784           | 1,375           | 1,348             | 1,736                | 1,340                | 1,313                 | 1,708                 | 1,409                        | 1,175                 | 1,531              | 0                                      | 17,303   |
| Business Taxes                                     | 585                      | 3              | 1,321           | 299             | 207               | 1,290                | 205                  | 209                   | 1,676                 | 21                           | 213                   | 1,952              | 0                                      | 7,981    |
| Other Taxes  | 139                      | 174            | 172             | 199             | 196               | 218                  | 202                  | 190                   | 179                   | 191                          | 189                   | 180                | 0                                      | 2,229    |
| Total Taxes  | 7,857                    | 3,668          | 8,228           | 4,773           | 4,725             | 8,041                | 4,294                | 3,386                 | 8,491                 | 12,535                       | 5,095                 | 6,830              | 0                                      | 77,923   |
| Abandoned Property                                 | 1                        | 0              | 0               | 0               | 0                 | 10                   | 45                   | 120                   | 15                    | 15                           | 0                     | 244                | 0                                      | 450      |
| ABC License Fee                                    | 6                        | 7              | 7               | 7               | 5                 | 5                    | 6                    | 5                     | 4                     | 5                            | 5                     | 4                  | 0                                      | 66       |
| HCRA   | 456                      | 404            | 474             | 436             | 431               | 446                  | 423                  | 397                   | 435                   | 381                          | 377                   | 421                | 0                                      | 5,081    |
| Investment Income                                  | 17                       | 10             | 11              | 7               | 9                 | 11                   | 0                    | 0                     | 0                     | 0                            | 0                     | (29)               | 0                                      | 40       |
| Licenses, Fees, etc.                               | 51                       | 43             | 58              | 63              | 67                | 36                   | 71                   | 45                    | 68                    | 70                           | 50                    | 48                 | 0                                      | 670      |
| Lottery  | 275                      | 323            | 256             | 251             | 322               | 259                  | 419                  | 234                   | 236                   | 280                          | 244                   | 155                | 0                                      | 3,254    |
| Medicaid   | 68                       | 70             | 77              | 72              | 73                | 74                   | 74                   | 74                    | 74                    | 74                           | 74                    | 69                 | 0                                      | 886      |
| Motor Vehicle Fees                                 | 68                       | 67             | 47              | 44              | 64                | 33                   | 60                   | 59                    | 63                    | 59                           | 64                    | 63                 | 0                                      | 691      |
| Reimbursements                                     | (17)                     | (54)           | 106             | (5)             | 23                | 26                   | (90)                 | 4                     | 4                     | 4                            | 4                     | 102                | 0                                      | 107      |
| State University Income                            | 291                      | 357            | 269             | 290             | 448               | 682                  | 306                  | 266                   | 250                   | 517                          | 628                   | 575                | 0                                      | 4,879    |
| Extraordinary Settlements                          | 108                      | 74             | 205             | 15              | 0                 | 0                    | 40                   | 0                     | 0                     | 0                            | 0                     | 1                  | 0                                      | 443      |
| Other Transactions                                 | 862                      | 477            | 410             | 1,719           | 397               | 562                  | 1,797                | 220                   | 2,862                 | 548                          | 203                   | 1,352              | 0                                      | 11,409   |
| Total Miscellaneous Receipts                       | 2,195                    | 1,778          | 1,920           | 2,899           | 1,839             | 2,148                | 3,155                | 1,424                 | 4,011                 | 1,953                        | 1,649                 | 3,005              | 0                                      | 27,976   |
| Federal Receipts                                   | (2)                      | (1)            | 0               | 2               | 35                | 2                    | 0                    | 0                     | 0                     | 0                            | 2                     | 42                 | 0                                      | 80       |
| TOTAL RECEIPTS                                     | 10,050                   | 5,445          | 10,148          | 7,674           | 6,599             | 10,191               | 7,449                | 4,810                 | 12,502                | 14,488                       | 6,746                 | 9,877              | 0                                      | 105,979  |
| <b>DISBURSEMENTS:</b>                              |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| School Aid   | 1,120                    | 3,538          | 1,902           | 387             | 653               | 3,712                | 1,034                | 1,774                 | 2,222                 | 656                          | 897                   | 8,864              | 0                                      | 26,759   |
| Higher Education                                   | 25                       | 43             | 267             | 1,154           | 61                | 178                  | 37                   | 110                   | 220                   | 70                           | 347                   | 545                | 0                                      | 3,057    |
| All Other Education                                | 43                       | 48             | 54              | 301             | 486               | 34                   | 91                   | 317                   | 159                   | 479                          | 335                   | 465                | 0                                      | 2,380    |
| STAR   | 0                        | 0              | 0               | 0               | 0                 | 0                    | 1                    | 11                    | 89                    | 2,349                        | 0                     | 9                  | 0                                      | 2,459    |
| Medicaid - DOH                                     | 1,804                    | 2,465          | 1,839           | 1,468           | 2,690             | 1,546                | 1,826                | 1,817                 | 1,140                 | 1,582                        | 1,182                 | 602                | 0                                      | 19,961   |
| Public Health                                      | 120                      | 241            | 147             | 264             | 124               | 120                  | 147                  | 115                   | 191                   | 133                          | 121                   | 254                | 0                                      | 1,954    |
| Mental Hygiene                                     | 153                      | 41             | 337             | 157             | 48                | 336                  | 149                  | 56                    | 323                   | 152                          | 150                   | 392                | 0                                      | 2,294    |
| Children and Families                              | 12                       | 36             | 41              | 102             | 109               | 216                  | 149                  | 242                   | 127                   | 242                          | 147                   | 268                | 0                                      | 1,691    |
| Temporary & Disability Assistance                  | 67                       | 95             | 98              | 106             | 100               | 180                  | 106                  | 121                   | 110                   | 120                          | 106                   | 131                | 0                                      | 1,340    |
| Transportation                                     | 241                      | 449            | 691             | 359             | 427               | 488                  | 339                  | 549                   | 1,195                 | 61                           | 103                   | 429                | 0                                      | 5,331    |
| Unrestricted Aid                                   | 0                        | 11             | 388             | 2               | 0                 | 101                  | 7                    | 4                     | 185                   | 1                            | 1                     | 65                 | 0                                      | 765      |
| All Other  | 291                      | 311            | 234             | 325             | 209               | 180                  | 319                  | 389                   | 302                   | 282                          | 289                   | 118                | 0                                      | 3,249    |
| Total Local Assistance                             | 3,876                    | 7,278          | 5,998           | 4,625           | 4,907             | 7,068                | 4,205                | 5,505                 | 6,263                 | 5,695                        | 3,678                 | 12,142             | 0                                      | 71,240   |
| Personal Service                                   | 1,051                    | 1,400          | 1,061           | 1,061           | 1,212             | 1,026                | 1,401                | 1,062                 | 1,035                 | 1,229                        | 1,071                 | 1,107              | 0                                      | 13,716   |
| Non-Personal Service                               | 348                      | 531            | 473             | 368             | 521               | 424                  | 473                  | 482                   | 464                   | 483                          | 518                   | 704                | 0                                      | 5,789    |
| Total State Operations                             | 1,399                    | 1,931          | 1,534           | 1,429           | 1,733             | 1,450                | 1,874                | 1,544                 | 1,499                 | 1,712                        | 1,589                 | 1,811              | 0                                      | 19,505   |
| General State Charges                              | 2,827                    | 445            | 509             | 396             | 360               | 513                  | 615                  | 503                   | 501                   | 493                          | 538                   | 710                | 0                                      | 8,410    |
| Debt Service                                       | 64                       | 126            | 166             | 26              | 96                | 831                  | 38                   | 53                    | 343                   | 17                           | 748                   | 3,012              | 0                                      | 5,520    |
| Capital Projects                                   | 305                      | 503            | 417             | 564             | 616               | 469                  | 551                  | 666                   | 709                   | 640                          | 572                   | 597                | 0                                      | 6,609    |
| TOTAL DISBURSEMENTS                                | 8,471                    | 10,283         | 8,624           | 7,040           | 7,712             | 10,331               | 7,283                | 8,271                 | 9,315                 | 8,557                        | 7,125                 | 18,272             | 0                                      | 111,284  |
| <b>OTHER FINANCING SOURCES (USES):</b>             |                          |                |                 |                 |                   |                      |                      |                       |                       |                              |                       |                    |  |          |
| Transfers from other funds                         | 4,203                    | 2,595          | 4,931           | 2,900           | 2,642             | 4,260                | 1,983                | 2,406                 | 3,239                 | 6,388                        | 2,801                 | 4,498              | (511)                                  | 42,335   |
| Transfers to other funds                           | (4,044)                  | (2,467)        | (4,717)         | (2,830)         | (2,482)           | (4,164)              | (1,612)              | (2,199)               | (2,887)               | (6,304)                      | (2,438)               | (4,210)            | 511                                    | (39,943) |
| Bond and note proceeds                             | 0                        | 0              | 0               | 0               | 0                 | 0                    | 0                    | 0                     | 0                     | 0                            | 0                     | 744                | 0                                      | 744      |
| NET OTHER FINANCING SOURCES/(USES)                 | 159                      | 128            | 214             | 70              | 160               | 96                   | 371                  | 207                   | 252                   | 84                           | 363                   | 1,032              | 0                                      | 3,136    |
| Excess/(Deficiency) of Receipts over Disbursements | 1,738                    | (4,710)        | 1,738           | 704             | (953)             | (44)                 | 537                  | (3,254)               | 3,439                 | 6,015                        | (16)                  | (7,363)            | 0                                      | (2,169)  |
| <b>CLOSING BALANCE</b>                             | 14,777                   | 10,067         | 11,805          | 12,509          | 11,556            | 11,512               | 12,049               | 8,795                 | 12,234                | 18,249                       | 18,233                | 10,870             | 0                                      | 10,870   |

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2019**  
(millions of dollars)

|  | <u>First Quarter</u> | <u>Change</u> | <u>Mid-Year Update</u> |
|--|----------------------|---------------|------------------------|
| <b>Opening Fund Balance</b>                      | <u>15</u>            | <u>0</u>      | <u>15</u>              |
| <b>Receipts:</b>                                 |                      |               |                        |
| Taxes  | 791                  | 0             | 791                    |
| Miscellaneous receipts                           | <u>4,980</u>         | <u>101</u>    | <u>5,081</u>           |
| <b>Total Receipts</b>                            | <u>5,771</u>         | <u>101</u>    | <u>5,872</u>           |
| <b>Disbursements and Transfers:</b>              |                      |               |                        |
| Medical Assistance Account                       | 3,837                | 34            | 3,871                  |
| Hospital Indigent Care Fund                      | 892                  | 0             | 892                    |
| HCRA Program Account                             | 380                  | (5)           | 375                    |
| Child Health Plus (CHP)                          | 307                  | 68            | 375                    |
| Elderly Pharmaceutical Insurance Coverage (EPIC) | 136                  | 4             | 140                    |
| SHIN-NY/APCD                                     | 40                   | 0             | 40                     |
| All Other  | <u>194</u>           | <u>0</u>      | <u>194</u>             |
| <b>Total Disbursements and Transfers</b>         | <u>5,786</u>         | <u>101</u>    | <u>5,887</u>           |
| <b>Change in Fund Balance</b>                    | <u>(15)</u>          | <u>0</u>      | <u>(15)</u>            |
| <b>Closing Fund Balance</b>                      | <u>0</u>             | <u>0</u>      | <u>0</u>               |



**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2019 THROUGH FY 2022**  
(millions of dollars)

|   | <u>FY 2019</u><br><u>Mid-Year</u> | <u>FY 2020</u><br><u>Projected</u> | <u>FY 2021</u><br><u>Projected</u> | <u>FY 2022</u><br><u>Projected</u> |
|---|-----------------------------------|------------------------------------|------------------------------------|------------------------------------|
| <b>Opening Fund Balance</b>               | 15                                | 0                                  | 0                                  | 0                                  |
| <b>Receipts:</b>                          |                                   |                                    |                                    |                                    |
| Taxes                                     | 791                               | 752                                | 716                                | 683                                |
| Miscellaneous receipts                    | 5,081                             | 5,206                              | 5,279                              | 5,354                              |
| <b>Total Receipts</b>                     | <u>5,872</u>                      | <u>5,958</u>                       | <u>5,995</u>                       | <u>6,037</u>                       |
| <b>Disbursements and Transfers:</b>       |                                   |                                    |                                    |                                    |
| Medical Assistance Account                | 3,871                             | 3,857                              | 3,782                              | 3,732                              |
| Hospital Indigent Care                    | 892                               | 892                                | 892                                | 892                                |
| HCRA Program Account                      | 375                               | 402                                | 392                                | 392                                |
| Child Health Plus                         | 375                               | 429                                | 625                                | 734                                |
| Elderly Pharmaceutical Insurance Coverage | 140                               | 142                                | 140                                | 139                                |
| SHIN-NY/APCD                              | 40                                | 40                                 | 0                                  | 0                                  |
| All Other                                 | 194                               | 196                                | 164                                | 148                                |
| <b>Total Disbursements and Transfers</b>  | <u>5,887</u>                      | <u>5,958</u>                       | <u>5,995</u>                       | <u>6,037</u>                       |
| <b>Change in Fund Balance</b>             | <u>(15)</u>                       | <u>0</u>                           | <u>0</u>                           | <u>0</u>                           |
| <b>Closing Fund Balance</b>               | <u>0</u>                          | <u>0</u>                           | <u>0</u>                           | <u>0</u>                           |

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2018 and FY 2019**  
(millions of dollars)

|   | <u>FY 2018</u><br><u>Results</u> | <u>FY 2019</u><br><u>Mid-Year</u> | <u>Annual</u><br><u>Change</u> |
|---|----------------------------------|-----------------------------------|--------------------------------|
| <b>Opening Fund Balance</b>               | 12                               | 15                                | 3                              |
| <b>Receipts:</b>                          |                                  |                                   |                                |
| Taxes                                     | 829                              | 791                               | (38)                           |
| Miscellaneous receipts                    | 5,044                            | 5,081                             | 37                             |
| <b>Total Receipts</b>                     | <u>5,873</u>                     | <u>5,872</u>                      | <u>(1)</u>                     |
| <b>Disbursements and Transfers:</b>       |                                  |                                   |                                |
| Medical Assistance Account                | 3,966                            | 3,871                             | (95)                           |
| Hospital Indigent Care                    | 922                              | 892                               | (30)                           |
| HCRA Program Account                      | 394                              | 375                               | (19)                           |
| Child Health Plus                         | 295                              | 375                               | 80                             |
| Elderly Pharmaceutical Insurance Coverage | 137                              | 140                               | 3                              |
| SHIN-NY/APCD                              | 17                               | 40                                | 23                             |
| All Other                                 | 139                              | 194                               | 55                             |
| <b>Total Disbursements and Transfers</b>  | <u>5,870</u>                     | <u>5,887</u>                      | <u>17</u>                      |
| <b>Change in Fund Balance</b>             | <u>3</u>                         | <u>(15)</u>                       | <u>(18)</u>                    |
| <b>Closing Fund Balance</b>               | <u>15</u>                        | <u>0</u>                          | <u>(15)</u>                    |

CASH FLOW  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2018  
(dollars in millions)

|   | April Results | May Results | June Results | July Results | August Results | September Results | October Results | November Results | December Results | January Projected | February Projected | March Projected | Total |
|---|---------------|-------------|--------------|--------------|----------------|-------------------|-----------------|------------------|------------------|-------------------|--------------------|-----------------|-------|
| <b>Opening Fund Balance</b>               | 12            | 111         | 58           | 158          | 190            | 140               | 124             | 189              | 123              | 210               | 228                | 51              | 12    |
| <b>Receipts:</b>                          |               |             |              |              |                |                   |                 |                  |                  |                   |                    |                 |       |
| Taxes                                     | 64            | 77          | 75           | 71           | 84             | 70                | 75              | 68               | 68               | 72                | 48                 | 57              | 829   |
| Miscellaneous receipts                    | 397           | 395         | 398          | 473          | 440            | 407               | 436             | 401              | 458              | 428               | 400                | 411             | 5,044 |
| <b>Total Receipts</b>                     | 461           | 472         | 473          | 544          | 524            | 477               | 511             | 469              | 526              | 500               | 448                | 468             | 5,873 |
| <b>Disbursements and Transfers:</b>       |               |             |              |              |                |                   |                 |                  |                  |                   |                    |                 |       |
| Medical Assistance Account                | 250           | 377         | 248          | 225          | 450            | 318               | 353             | 373              | 329              | 348               | 476                | 219             | 3,966 |
| Hospital Indigent Care                    | 69            | 84          | 31           | 96           | 72             | 128               | 38              | 90               | 66               | 64                | 109                | 75              | 922   |
| HGRA Program Account                      | 38            | 1           | 54           | 142          | 24             | 4                 | 21              | 38               | 11               | 33                | 7                  | 21              | 394   |
| Child Health Plus                         | (1)           | 34          | 26           | 33           | 8              | 20                | 19              | 19               | 19               | 21                | 20                 | 77              | 295   |
| Elderly Pharmaceutical Insurance Coverage | 3             | 11          | 12           | 12           | 15             | 13                | 12              | 14               | 13               | 15                | 6                  | 11              | 137   |
| SHIN-NY/APCD                              | 0             | 0           | 0            | 0            | 0              | 0                 | 0               | 0                | 0                | 0                 | 0                  | 0               | 17    |
| All Other                                 | 3             | 18          | 2            | 4            | 5              | 10                | 3               | 1                | 1                | 1                 | 7                  | 84              | 139   |
| <b>Total</b>                              | 362           | 525         | 373          | 512          | 574            | 493               | 446             | 535              | 439              | 482               | 625                | 504             | 5,870 |
| <b>Change in Fund Balance</b>             | 99            | (53)        | 100          | 32           | (50)           | (16)              | 65              | (66)             | 87               | 18                | (177)              | (36)            | 3     |
| <b>Closing Fund Balance</b>               | 111           | 58          | 158          | 190          | 140            | 124               | 189             | 123              | 210              | 228               | 51                 | 15              | 15    |

CASH FLOW  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2019  
(dollars in millions)

|   | April Results | May Results | June Results | July Results | August Results | September Results | October Projected | November Projected | December Projected | January Projected | February Projected | March Projected | Total |
|---|---------------|-------------|--------------|--------------|----------------|-------------------|-------------------|--------------------|--------------------|-------------------|--------------------|-----------------|-------|
| <b>Opening Fund Balance</b>               | 15            | 174         | 212          | 274          | 279            | 171               | 271               | 234                | 254                | 207               | 229                | 183             | 15    |
| <b>Receipts:</b>                          |               |             |              |              |                |                   |                   |                    |                    |                   |                    |                 |       |
| Taxes                                     | 64            | 69          | 73           | 78           | 71             | 64                | 70                | 65                 | 65                 | 70                | 46                 | 56              | 791   |
| Miscellaneous receipts                    | 456           | 404         | 474          | 436          | 431            | 446               | 423               | 397                | 435                | 381               | 377                | 421             | 5,081 |
| <b>Total Receipts</b>                     | 520           | 473         | 547          | 514          | 502            | 510               | 493               | 462                | 500                | 451               | 423                | 477             | 5,872 |
| <b>Disbursements and Transfers:</b>       |               |             |              |              |                |                   |                   |                    |                    |                   |                    |                 |       |
| Medical Assistance Account                | 220           | 331         | 331          | 263          | 496            | 269               | 385               | 307                | 394                | 314               | 335                | 226             | 3,871 |
| Hospital Indigent Care                    | 61            | 64          | 52           | 72           | 71             | 74                | 74                | 90                 | 66                 | 68                | 69                 | 131             | 892   |
| HGRA Program Account                      | 36            | 5           | 54           | 132          | 3              | 22                | 21                | 8                  | 32                 | 4                 | 4                  | 4               | 375   |
| Child Health Plus                         | 20            | 22          | 34           | 21           | 22             | 26                | 28                | 22                 | 40                 | 22                | 24                 | 94              | 375   |
| Elderly Pharmaceutical Insurance Coverage | 7             | 10          | 12           | 12           | 12             | 13                | 13                | 13                 | 13                 | 14                | 9                  | 11              | 140   |
| SHIN-NY/APCD                              | 0             | 0           | 0            | 0            | 0              | 0                 | 0                 | 0                  | 0                  | 0                 | 0                  | 0               | 40    |
| All Other                                 | 17            | 3           | 2            | 9            | 6              | 6                 | 9                 | 1                  | 2                  | 7                 | 28                 | 104             | 194   |
| <b>Total</b>                              | 361           | 435         | 485          | 509          | 610            | 410               | 530               | 442                | 547                | 429               | 469                | 660             | 5,887 |
| <b>Change in Fund Balance</b>             | 159           | 38          | 62           | 5            | (108)          | 100               | (37)              | 20                 | (47)               | 22                | (46)               | (183)           | (15)  |
| <b>Closing Fund Balance</b>               | 174           | 212         | 274          | 279          | 171            | 271               | 234               | 254                | 207                | 229               | 183                | 0               | 0     |

**CASH FINANCIAL PLAN  
PROPRIETARY AND FIDUCIARY FUNDS  
(millions of dollars)**

|  | FY 2018 Results  |              |           | FY 2019 Mid-Year |              |           | FY 2020 Projected |              |           | FY 2021 Projected |              |           | FY 2022 Projected |              |           |
|--|------------------|--------------|-----------|------------------|--------------|-----------|-------------------|--------------|-----------|-------------------|--------------|-----------|-------------------|--------------|-----------|
|  | Internal Service | Enterprise   | Fiduciary | Internal Service | Enterprise   | Fiduciary | Internal Service  | Enterprise   | Fiduciary | Internal Service  | Enterprise   | Fiduciary | Internal Service  | Enterprise   | Fiduciary |
| <b>Opening Fund Balance</b>  | (200)            | 24           | 11        | (269)            | 25           | 12        | (240)             | 25           | 13        | (226)             | 21           | 14        | (218)             | 17           | 15        |
| <b>Receipts:</b>   |                  |              |           |                  |              |           |                   |              |           |                   |              |           |                   |              |           |
| Unemployment Taxes   | 0                | 2,174        | 0         | 0                | 2,450        | 0         | 0                 | 2,450        | 0         | 0                 | 2,450        | 0         | 0                 | 2,450        | 0         |
| Miscellaneous Receipts   | 523              | 58           | 1         | 667              | 73           | 1         | 647               | 73           | 1         | 648               | 73           | 1         | 648               | 73           | 1         |
| Federal Receipts   | 0                | 18           | 0         | 0                | 50           | 0         | 0                 | 50           | 0         | 0                 | 50           | 0         | 0                 | 50           | 0         |
| <b>Total Receipts</b>  | <b>523</b>       | <b>2,250</b> | <b>1</b>  | <b>667</b>       | <b>2,573</b> | <b>1</b>  | <b>647</b>        | <b>2,573</b> | <b>1</b>  | <b>648</b>        | <b>2,573</b> | <b>1</b>  | <b>648</b>        | <b>2,573</b> | <b>1</b>  |
| <b>Disbursements:</b>  |                  |              |           |                  |              |           |                   |              |           |                   |              |           |                   |              |           |
| Local Assistance   | 0                | 0            | 0         | 0                | 0            | 0         | 0                 | 0            | 0         | 0                 | 0            | 0         | 0                 | 0            | 0         |
| State Operations:  |                  |              |           |                  |              |           |                   |              |           |                   |              |           |                   |              |           |
| Personal Service   | 102              | 6            | 0         | 125              | 9            | 0         | 122               | 10           | 0         | 125               | 10           | 0         | 123               | 10           | 0         |
| Non-Personal Service   | 485              | 50           | 0         | 562              | 60           | 0         | 540               | 60           | 0         | 548               | 60           | 0         | 548               | 60           | 0         |
| Unemployment Benefits  | 0                | 2,192        | 0         | 0                | 2,500        | 0         | 0                 | 2,500        | 0         | 0                 | 2,500        | 0         | 0                 | 2,500        | 0         |
| General State Charges  | 61               | 1            | 0         | 74               | 3            | 0         | 74                | 6            | 0         | 79                | 6            | 0         | 80                | 6            | 0         |
| Debt Service   | 0                | 0            | 0         | 0                | 0            | 0         | 0                 | 0            | 0         | 0                 | 0            | 0         | 0                 | 0            | 0         |
| Capital Projects   | 0                | 0            | 0         | 0                | 0            | 0         | 0                 | 0            | 0         | 0                 | 0            | 0         | 0                 | 0            | 0         |
| <b>Total Disbursements</b>   | <b>648</b>       | <b>2,249</b> | <b>0</b>  | <b>761</b>       | <b>2,572</b> | <b>0</b>  | <b>736</b>        | <b>2,576</b> | <b>0</b>  | <b>752</b>        | <b>2,576</b> | <b>0</b>  | <b>751</b>        | <b>2,576</b> | <b>0</b>  |
| <b>Other Financing Sources (Uses):</b>   |                  |              |           |                  |              |           |                   |              |           |                   |              |           |                   |              |           |
| Transfers from Other Funds   | 92               | 0            | 0         | 151              | 0            | 0         | 124               | 0            | 0         | 124               | 0            | 0         | 124               | 0            | 0         |
| Transfers to Other Funds   | (36)             | 0            | 0         | (28)             | (1)          | 0         | (21)              | (1)          | 0         | (12)              | (1)          | 0         | (8)               | (1)          | 0         |
|  | 56               | 0            | 0         | 123              | (1)          | 0         | 103               | (1)          | 0         | 112               | (1)          | 0         | 116               | (1)          | 0         |
| <b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b> | <b>(69)</b>      | <b>1</b>     | <b>1</b>  | <b>29</b>        | <b>0</b>     | <b>1</b>  | <b>14</b>         | <b>(4)</b>   | <b>1</b>  | <b>8</b>          | <b>(4)</b>   | <b>1</b>  | <b>13</b>         | <b>(4)</b>   | <b>1</b>  |
| <b>Closing Fund Balance</b>  | <b>(269)</b>     | <b>25</b>    | <b>12</b> | <b>(240)</b>     | <b>25</b>    | <b>13</b> | <b>(226)</b>      | <b>21</b>    | <b>14</b> | <b>(218)</b>      | <b>17</b>    | <b>15</b> | <b>(205)</b>      | <b>13</b>    | <b>16</b> |

# Workforce Impact Summary

## General Fund

2016-17 Through 2018-19

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Major Agencies</b>                                  |                                  |                                  |                                   |
| Children and Family Services, Office of                | 2,440                            | 2,329                            | 2,362                             |
| Corrections and Community Supervision, Department of   | 28,846                           | 29,003                           | 27,234                            |
| Education Department, State                            | 278                              | 281                              | 279                               |
| Environmental Conservation, Department of              | 941                              | 994                              | 993                               |
| General Services, Office of                            | 1,188                            | 930                              | 819                               |
| Health, Department of                                  | 1,540                            | 1,463                            | 1,873                             |
| Information Technology Services, Office of             | 3,486                            | 3,464                            | 3,401                             |
| Labor, Department of                                   | 0                                | 0                                | 1                                 |
| Mental Health, Office of                               | 0                                | 0                                | 12,972                            |
| Motor Vehicles, Department of                          | 0                                | 0                                | 167                               |
| Parks, Recreation and Historic Preservation, Office of | 1,268                            | 1,107                            | 1,123                             |
| People with Developmental Disabilities, Office for     | 0                                | 0                                | 18,203                            |
| State Police, Division of                              | 5,295                            | 5,219                            | 5,330                             |
| Taxation and Finance, Department of                    | 3,888                            | 3,845                            | 3,276                             |
| Temporary and Disability Assistance, Office of         | 1,002                            | 1,011                            | 1,019                             |
| Transportation, Department of                          | 0                                | 0                                | 2,545                             |
| <b>Subtotal - Major Agencies</b>                       | <b>50,172</b>                    | <b>49,646</b>                    | <b>81,597</b>                     |
| <b>Minor Agencies</b>                                  | <b>3,518</b>                     | <b>3,370</b>                     | <b>4,263</b>                      |
| <b>Subtotal - Subject to Direct Executive Control</b>  | <b>53,690</b>                    | <b>53,016</b>                    | <b>85,860</b>                     |
| <b>University Systems</b>                              |                                  |                                  |                                   |
| State University of New York                           | 0                                | 3                                | 0                                 |
| <b>Subtotal - University Systems</b>                   | <b>0</b>                         | <b>3</b>                         | <b>0</b>                          |
| <b>Independently Elected Agencies</b>                  |                                  |                                  |                                   |
| Audit and Control, Department of                       | 1,356                            | 1,324                            | 1,354                             |
| Law, Department of                                     | 1,075                            | 1,077                            | 1,065                             |
| <b>Subtotal - Independently Elected Agencies</b>       | <b>2,431</b>                     | <b>2,401</b>                     | <b>2,419</b>                      |
| <b>Grand Total</b>                                     | <b>56,121</b>                    | <b>55,420</b>                    | <b>88,279</b>                     |

# Workforce Impact Summary

## General Fund

2016-17 Through 2018-19

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Minor Agencies</b>  |                                  |                                  |                                   |
| Adirondack Park Agency   | 53                               | 54                               | 54                                |
| Aging, Office for the  | 11                               | 11                               | 12                                |
| Agriculture and Markets, Department of                         | 380                              | 371                              | 357                               |
| Alcoholic Beverage Control, Division of                        | 112                              | 113                              | 120                               |
| Alcoholism and Substance Abuse Services, Office of             | 2                                | 0                                | 723                               |
| Arts, Council on the   | 28                               | 27                               | 30                                |
| Budget, Division of the  | 224                              | 220                              | 245                               |
| Civil Service, Department of                                   | 158                              | 186                              | 173                               |
| Correction, Commission of                                      | 31                               | 29                               | 32                                |
| Criminal Justice Services, Division of                         | 377                              | 376                              | 399                               |
| Economic Development, Department of                            | 146                              | 135                              | 152                               |
| Elections, State Board of                                      | 75                               | 69                               | 76                                |
| Employee Relations, Office of                                  | 26                               | 26                               | 26                                |
| Executive Chamber  | 121                              | 97                               | 136                               |
| Gaming Commission, New York State                              | 56                               | 58                               | 59                                |
| Housing and Community Renewal, Division of                     | 67                               | 33                               | 54                                |
| Hudson River Valley Greenway Communities Council               | 0                                | 0                                | 1                                 |
| Human Rights, Division of                                      | 125                              | 107                              | 124                               |
| Inspector General, Office of the                               | 92                               | 87                               | 109                               |
| Judicial Conduct, Commission on                                | 44                               | 40                               | 50                                |
| Justice Center for the Protection of People with Special Needs | 402                              | 407                              | 422                               |
| Labor Management Committees                                    | 75                               | 72                               | 77                                |
| Lieutenant Governor, Office of the                             | 5                                | 4                                | 7                                 |
| Medicaid Inspector General, Office of the                      | 217                              | 203                              | 214                               |
| Military and Naval Affairs, Division of                        | 145                              | 100                              | 104                               |
| Prevention of Domestic Violence, Office for                    | 18                               | 17                               | 18                                |
| Public Employment Relations Board                              | 30                               | 30                               | 33                                |
| Public Ethics, Joint Commission on                             | 51                               | 52                               | 52                                |
| State, Department of   | 198                              | 205                              | 139                               |
| Statewide Financial System                                     | 137                              | 135                              | 141                               |
| Tax Appeals, Division of                                       | 23                               | 23                               | 27                                |
| Veterans' Affairs, Division of                                 | 82                               | 76                               | 90                                |
| Welfare Inspector General, Office of                           | 7                                | 7                                | 7                                 |
| <b>Subtotal - Minor Agencies</b>                               | <b>3,518</b>                     | <b>3,370</b>                     | <b>4,263</b>                      |

**Note:** To conform the budgeting of mental hygiene program operations with Generally Accepted Accounting Principles (GAAP), the FY 2019 Enacted Budget shifts FTEs from Special Revenue funds to the General Fund. This technical change does not impact overall FTE levels; however, the shift is presented as a current year adjustment on a cash basis (3/31/18 to 3/31/19) and as a prior year shift on an appropriation basis (FY 2017 actuals to 3/31/18).

**Workforce Impact Summary**  
**State Operating Funds**  
**2016-17 Through 2018-19**

|  | <b>2016-17</b>    | <b>2017-18</b>    | <b>2018-19</b>    |
|--|-------------------|-------------------|-------------------|
|  | <b>Actuals</b>    | <b>Actuals</b>    | <b>Estimate</b>   |
|  | <b>(03/31/17)</b> | <b>(03/31/18)</b> | <b>(03/31/19)</b> |
| <b>Major Agencies</b>                                  |                   |                   |                   |
| Children and Family Services, Office of                | 2,483             | 2,365             | 2,406             |
| Corrections and Community Supervision, Department of   | 28,849            | 29,008            | 27,238            |
| Education Department, State                            | 1,216             | 1,238             | 1,263             |
| Environmental Conservation, Department of              | 2,147             | 2,094             | 2,124             |
| Financial Services, Department of                      | 1,325             | 1,356             | 1,382             |
| General Services, Office of                            | 1,247             | 988               | 832               |
| Health, Department of                                  | 3,615             | 3,437             | 3,974             |
| Information Technology Services, Office of             | 3,486             | 3,464             | 3,401             |
| Labor, Department of                                   | 348               | 342               | 508               |
| Mental Health, Office of                               | 14,156            | 13,351            | 12,972            |
| Motor Vehicles, Department of                          | 501               | 498               | 665               |
| Parks, Recreation and Historic Preservation, Office of | 1,509             | 1,314             | 1,304             |
| People with Developmental Disabilities, Office for     | 18,946            | 18,476            | 18,203            |
| State Police, Division of                              | 5,645             | 5,539             | 5,666             |
| Taxation and Finance, Department of                    | 3,946             | 3,898             | 3,977             |
| Temporary and Disability Assistance, Office of         | 1,002             | 1,011             | 1,019             |
| Transportation, Department of                          | 90                | 39                | 2,591             |
| Workers' Compensation Board                            | 1,122             | 1,082             | 1,110             |
| <b>Subtotal - Major Agencies</b>                       | <b>91,633</b>     | <b>89,500</b>     | <b>90,635</b>     |
| <b>Minor Agencies</b>                                  | <b>6,577</b>      | <b>6,430</b>      | <b>6,818</b>      |
| <b>Subtotal - Subject to Direct Executive Control</b>  | <b>98,210</b>     | <b>95,930</b>     | <b>97,453</b>     |
| <b>University Systems</b>                              |                   |                   |                   |
| City University of New York                            | 391               | 375               | 383               |
| State University of New York                           | 45,314            | 45,880            | 45,655            |
| <b>Subtotal - University Systems</b>                   | <b>45,705</b>     | <b>46,255</b>     | <b>46,038</b>     |
| <b>Independently Elected Agencies</b>                  |                   |                   |                   |
| Audit and Control, Department of                       | 1,530             | 1,490             | 1,527             |
| Law, Department of                                     | 1,562             | 1,543             | 1,583             |
| <b>Subtotal - Independently Elected Agencies</b>       | <b>3,092</b>      | <b>3,033</b>      | <b>3,110</b>      |
| <b>Grand Total</b>                                     | <b>147,007</b>    | <b>145,218</b>    | <b>146,601</b>    |

**Workforce Impact Summary**  
**State Operating Funds**  
**2016-17 Through 2018-19**

|  | <b>2016-17<br/>Actuals<br/>(03/31/17)</b> | <b>2017-18<br/>Actuals<br/>(03/31/18)</b> | <b>2018-19<br/>Estimate<br/>(03/31/19)</b> |
|--|---|---|--|
| <b>Minor Agencies</b>  |   |   |  |
| Adirondack Park Agency   | 53  | 54  | 54   |
| Aging, Office for the  | 11  | 11  | 12   |
| Agriculture and Markets, Department of                         | 427                                       | 415                                       | 400  |
| Alcoholic Beverage Control, Division of                        | 112                                       | 113                                       | 120  |
| Alcoholism and Substance Abuse Services, Office of             | 730                                       | 720                                       | 723  |
| Arts, Council on the   | 28  | 27  | 30   |
| Budget, Division of the  | 234                                       | 234                                       | 261  |
| Civil Service, Department of                                   | 160                                       | 192                                       | 179  |
| Correction, Commission of                                      | 31  | 29  | 32   |
| Criminal Justice Services, Division of                         | 379                                       | 381                                       | 404  |
| Deferred Compensation Board                                    | 4   | 4   | 4  |
| Economic Development, Department of                            | 147                                       | 136                                       | 153  |
| Elections, State Board of                                      | 75  | 69  | 76   |
| Employee Relations, Office of                                  | 26  | 26  | 26   |
| Executive Chamber  | 121                                       | 97  | 136  |
| Financial Control Board, New York State                        | 12  | 12  | 12   |
| Gaming Commission, New York State                              | 410                                       | 417                                       | 411  |
| Higher Education Services Corporation, New York State          | 200                                       | 183                                       | 190  |
| Homeland Security and Emergency Services, Division of          | 312                                       | 347                                       | 441  |
| Housing and Community Renewal, Division of                     | 564                                       | 536                                       | 561  |
| Hudson River Valley Greenway Communities Council               | 0   | 0   | 1  |
| Human Rights, Division of                                      | 125                                       | 107                                       | 124  |
| Indigent Legal Services, Office of                             | 17  | 24  | 34   |
| Inspector General, Office of the                               | 92  | 87  | 109  |
| Interest on Lawyer Account                                     | 9   | 9   | 9  |
| Judicial Conduct, Commission on                                | 44  | 40  | 50   |
| Justice Center for the Protection of People with Special Needs | 417                                       | 422                                       | 436  |
| Labor Management Committees                                    | 75  | 72  | 77   |
| Lieutenant Governor, Office of the                             | 5   | 4   | 7  |
| Medicaid Inspector General, Office of the                      | 217                                       | 203                                       | 214  |
| Military and Naval Affairs, Division of                        | 147                                       | 102                                       | 106  |
| Prevention of Domestic Violence, Office for                    | 18  | 17  | 18   |
| Public Employment Relations Board                              | 30  | 30  | 33   |
| Public Ethics, Joint Commission on                             | 51  | 52  | 52   |
| Public Service Department                                      | 508                                       | 494                                       | 495  |
| State, Department of   | 486                                       | 480                                       | 509  |
| Statewide Financial System                                     | 137                                       | 135                                       | 141  |
| Tax Appeals, Division of                                       | 23  | 23  | 27   |
| Veterans' Affairs, Division of                                 | 82  | 76  | 90   |
| Victim Services, Office of                                     | 51  | 43  | 54   |
| Welfare Inspector General, Office of                           | 7   | 7   | 7  |
| <b>Subtotal - Minor Agencies</b>                               | <b>6,577</b>                              | <b>6,430</b>                              | <b>6,818</b>                               |

# Workforce Impact Summary

## State Funds

2016-17 Through 2018-19

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Major Agencies</b>                                  |                                  |                                  |                                   |
| Children and Family Services, Office of                | 2,487                            | 2,435                            | 2,475                             |
| Corrections and Community Supervision, Department of   | 28,879                           | 29,038                           | 28,247                            |
| Education Department, State                            | 1,216                            | 1,238                            | 1,263                             |
| Environmental Conservation, Department of              | 2,680                            | 2,621                            | 2,717                             |
| Financial Services, Department of                      | 1,325                            | 1,356                            | 1,382                             |
| General Services, Office of                            | 1,247                            | 1,280                            | 1,252                             |
| Health, Department of                                  | 3,685                            | 3,558                            | 4,106                             |
| Information Technology Services, Office of             | 3,486                            | 3,471                            | 3,405                             |
| Labor, Department of                                   | 348                              | 342                              | 508                               |
| Mental Health, Office of                               | 14,209                           | 13,900                           | 13,655                            |
| Motor Vehicles, Department of                          | 2,166                            | 2,284                            | 2,328                             |
| Parks, Recreation and Historic Preservation, Office of | 1,733                            | 1,729                            | 1,729                             |
| People with Developmental Disabilities, Office for     | 18,946                           | 18,856                           | 18,580                            |
| State Police, Division of                              | 5,645                            | 5,609                            | 5,741                             |
| Taxation and Finance, Department of                    | 3,946                            | 3,898                            | 3,977                             |
| Temporary and Disability Assistance, Office of         | 1,007                            | 1,019                            | 1,027                             |
| Transportation, Department of                          | 8,423                            | 8,390                            | 8,397                             |
| Workers' Compensation Board                            | 1,122                            | 1,082                            | 1,110                             |
| <b>Subtotal - Major Agencies</b>                       | <b>102,550</b>                   | <b>102,106</b>                   | <b>101,899</b>                    |
| <b>Minor Agencies</b>                                  | <b>6,592</b>                     | <b>6,487</b>                     | <b>6,887</b>                      |
| <b>Subtotal - Subject to Direct Executive Control</b>  | <b>109,142</b>                   | <b>108,593</b>                   | <b>108,786</b>                    |
| <b>University Systems</b>                              |                                  |                                  |                                   |
| City University of New York                            | 391                              | 375                              | 383                               |
| State University Construction Fund                     | 144                              | 142                              | 152                               |
| State University of New York                           | 45,314                           | 45,880                           | 45,655                            |
| <b>Subtotal - University Systems</b>                   | <b>45,849</b>                    | <b>46,397</b>                    | <b>46,190</b>                     |
| <b>Independently Elected Agencies</b>                  |                                  |                                  |                                   |
| Audit and Control, Department of                       | 1,530                            | 1,490                            | 1,527                             |
| Law, Department of                                     | 1,566                            | 1,545                            | 1,590                             |
| <b>Subtotal - Independently Elected Agencies</b>       | <b>3,096</b>                     | <b>3,035</b>                     | <b>3,117</b>                      |
| <b>Grand Total</b>                                     | <b>158,087</b>                   | <b>158,025</b>                   | <b>158,093</b>                    |



**Workforce Impact Summary**  
**State Funds**  
**2016-17 Through 2018-19**

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Minor Agencies</b>  |                                  |                                  |                                   |
| Adirondack Park Agency   | 53                               | 54                               | 54                                |
| Aging, Office for the  | 11                               | 11                               | 12                                |
| Agriculture and Markets, Department of                         | 427                              | 415                              | 400                               |
| Alcoholic Beverage Control, Division of                        | 112                              | 113                              | 120                               |
| Alcoholism and Substance Abuse Services, Office of             | 745                              | 736                              | 738                               |
| Arts, Council on the   | 28                               | 27                               | 30                                |
| Budget, Division of the  | 234                              | 234                              | 261                               |
| Civil Service, Department of                                   | 160                              | 192                              | 179                               |
| Correction, Commission of                                      | 31                               | 29                               | 32                                |
| Criminal Justice Services, Division of                         | 379                              | 381                              | 404                               |
| Deferred Compensation Board                                    | 4                                | 4                                | 4                                 |
| Economic Development, Department of                            | 147                              | 136                              | 153                               |
| Elections, State Board of                                      | 75                               | 69                               | 76                                |
| Employee Relations, Office of                                  | 26                               | 26                               | 26                                |
| Executive Chamber  | 121                              | 97                               | 136                               |
| Financial Control Board, New York State                        | 12                               | 12                               | 12                                |
| Gaming Commission, New York State                              | 410                              | 417                              | 411                               |
| Higher Education Services Corporation, New York State          | 200                              | 183                              | 190                               |
| Homeland Security and Emergency Services, Division of          | 312                              | 347                              | 441                               |
| Housing and Community Renewal, Division of                     | 564                              | 536                              | 561                               |
| Hudson River Valley Greenway Communities Council               | 0                                | 0                                | 1                                 |
| Human Rights, Division of                                      | 125                              | 107                              | 124                               |
| Indigent Legal Services, Office of                             | 17                               | 24                               | 34                                |
| Inspector General, Office of the                               | 92                               | 87                               | 109                               |
| Interest on Lawyer Account                                     | 9                                | 9                                | 9                                 |
| Judicial Conduct, Commission on                                | 44                               | 40                               | 50                                |
| Justice Center for the Protection of People with Special Needs | 417                              | 422                              | 436                               |
| Labor Management Committees                                    | 75                               | 72                               | 77                                |
| Lieutenant Governor, Office of the                             | 5                                | 4                                | 7                                 |
| Medicaid Inspector General, Office of the                      | 217                              | 203                              | 214                               |
| Military and Naval Affairs, Division of                        | 147                              | 143                              | 160                               |
| Prevention of Domestic Violence, Office for                    | 18                               | 17                               | 18                                |
| Public Employment Relations Board                              | 30                               | 30                               | 33                                |
| Public Ethics, Joint Commission on                             | 51                               | 52                               | 52                                |
| Public Service Department                                      | 508                              | 494                              | 495                               |
| State, Department of   | 486                              | 480                              | 509                               |
| Statewide Financial System                                     | 137                              | 135                              | 141                               |
| Tax Appeals, Division of                                       | 23                               | 23                               | 27                                |
| Veterans' Affairs, Division of                                 | 82                               | 76                               | 90                                |
| Victim Services, Office of                                     | 51                               | 43                               | 54                                |
| Welfare Inspector General, Office of                           | 7                                | 7                                | 7                                 |
| <b>Subtotal - Minor Agencies</b>                               | <b>6,592</b>                     | <b>6,487</b>                     | <b>6,887</b>                      |

# Workforce Impact Summary

## All Funds

2016-17 Through 2018-19

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Major Agencies</b>                                  |                                  |                                  |                                   |
| Children and Family Services, Office of                | 2,932                            | 2,887                            | 2,965                             |
| Corrections and Community Supervision, Department of   | 29,189                           | 29,351                           | 29,183                            |
| Education Department, State                            | 2,590                            | 2,575                            | 2,692                             |
| Environmental Conservation, Department of              | 2,956                            | 2,887                            | 2,994                             |
| Financial Services, Department of                      | 1,325                            | 1,356                            | 1,382                             |
| General Services, Office of                            | 1,755                            | 1,811                            | 1,932                             |
| Health, Department of                                  | 4,853                            | 4,690                            | 5,463                             |
| Information Technology Services, Office of             | 3,486                            | 3,471                            | 3,405                             |
| Labor, Department of                                   | 2,861                            | 2,935                            | 2,990                             |
| Mental Health, Office of                               | 14,221                           | 13,911                           | 13,684                            |
| Motor Vehicles, Department of                          | 2,184                            | 2,301                            | 2,345                             |
| Parks, Recreation and Historic Preservation, Office of | 1,752                            | 1,751                            | 1,762                             |
| People with Developmental Disabilities, Office for     | 18,958                           | 18,867                           | 18,598                            |
| State Police, Division of                              | 5,645                            | 5,609                            | 5,741                             |
| Taxation and Finance, Department of                    | 3,946                            | 3,898                            | 3,977                             |
| Temporary and Disability Assistance, Office of         | 1,973                            | 1,923                            | 1,992                             |
| Transportation, Department of                          | 8,487                            | 8,501                            | 8,523                             |
| Workers' Compensation Board                            | 1,122                            | 1,082                            | 1,110                             |
| <b>Subtotal - Major Agencies</b>                       | <b>110,235</b>                   | <b>109,806</b>                   | <b>110,738</b>                    |
| <b>Minor Agencies</b>                                  | <b>7,672</b>                     | <b>7,591</b>                     | <b>8,130</b>                      |
| <b>Subtotal - Subject to Direct Executive Control</b>  | <b>117,907</b>                   | <b>117,397</b>                   | <b>118,868</b>                    |
| <b>University Systems</b>                              |                                  |                                  |                                   |
| City University of New York                            | 13,635                           | 13,726                           | 13,549                            |
| State University Construction Fund                     | 144                              | 142                              | 152                               |
| State University of New York                           | 45,316                           | 45,882                           | 45,657                            |
| <b>Subtotal - University Systems</b>                   | <b>59,095</b>                    | <b>59,750</b>                    | <b>59,358</b>                     |
| <b>Independently Elected Agencies</b>                  |                                  |                                  |                                   |
| Audit and Control, Department of                       | 2,647                            | 2,630                            | 2,663                             |
| Law, Department of                                     | 1,787                            | 1,822                            | 1,839                             |
| <b>Subtotal - Independently Elected Agencies</b>       | <b>4,434</b>                     | <b>4,452</b>                     | <b>4,502</b>                      |
| <b>Grand Total</b>                                     | <b>181,436</b>                   | <b>181,599</b>                   | <b>182,728</b>                    |

# Workforce Impact Summary

## All Funds

2016-17 Through 2018-19

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Minor Agencies</b>  |                                  |                                  |                                   |
| Adirondack Park Agency   | 53                               | 54                               | 54                                |
| Aging, Office for the  | 90                               | 89                               | 95                                |
| Agriculture and Markets, Department of                         | 472                              | 460                              | 483                               |
| Alcoholic Beverage Control, Division of                        | 112                              | 113                              | 120                               |
| Alcoholism and Substance Abuse Services, Office of             | 745                              | 736                              | 738                               |
| Arts, Council on the   | 28                               | 27                               | 30                                |
| Budget, Division of the  | 234                              | 234                              | 261                               |
| Civil Service, Department of                                   | 324                              | 345                              | 350                               |
| Correction, Commission of                                      | 31                               | 29                               | 32                                |
| Criminal Justice Services, Division of                         | 410                              | 408                              | 436                               |
| Deferred Compensation Board                                    | 4                                | 4                                | 4                                 |
| Economic Development, Department of                            | 147                              | 136                              | 153                               |
| Elections, State Board of                                      | 75                               | 69                               | 76                                |
| Employee Relations, Office of                                  | 32                               | 33                               | 37                                |
| Executive Chamber  | 121                              | 97                               | 136                               |
| Financial Control Board, New York State                        | 12                               | 12                               | 12                                |
| Gaming Commission, New York State                              | 410                              | 417                              | 411                               |
| Higher Education Services Corporation, New York State          | 200                              | 183                              | 190                               |
| Homeland Security and Emergency Services, Division of          | 467                              | 504                              | 599                               |
| Housing and Community Renewal, Division of                     | 644                              | 608                              | 682                               |
| Hudson River Valley Greenway Communities Council               | 0                                | 0                                | 1                                 |
| Human Rights, Division of                                      | 155                              | 160                              | 164                               |
| Indigent Legal Services, Office of                             | 17                               | 24                               | 34                                |
| Inspector General, Office of the                               | 92                               | 87                               | 109                               |
| Interest on Lawyer Account                                     | 9                                | 9                                | 9                                 |
| Judicial Conduct, Commission on                                | 44                               | 40                               | 50                                |
| Justice Center for the Protection of People with Special Needs | 417                              | 422                              | 441                               |
| Labor Management Committees                                    | 75                               | 72                               | 77                                |
| Lieutenant Governor, Office of the                             | 5                                | 4                                | 7                                 |
| Medicaid Inspector General, Office of the                      | 432                              | 405                              | 426                               |
| Military and Naval Affairs, Division of                        | 366                              | 390                              | 390                               |
| Prevention of Domestic Violence, Office for                    | 26                               | 24                               | 27                                |
| Public Employment Relations Board                              | 30                               | 30                               | 33                                |
| Public Ethics, Joint Commission on                             | 51                               | 52                               | 52                                |
| Public Service Department                                      | 508                              | 494                              | 520                               |
| State, Department of   | 499                              | 493                              | 526                               |
| Statewide Financial System                                     | 137                              | 135                              | 141                               |
| Tax Appeals, Division of                                       | 23                               | 23                               | 27                                |
| Veterans' Affairs, Division of                                 | 89                               | 83                               | 98                                |
| Victim Services, Office of                                     | 79                               | 79                               | 92                                |
| Welfare Inspector General, Office of                           | 7                                | 7                                | 7                                 |
| <b>Subtotal - Minor Agencies</b>                               | <b>7,672</b>                     | <b>7,591</b>                     | <b>8,130</b>                      |

**Workforce Impact Summary**  
**Special Revenue Funds - Other**  
**2016-17 Through 2018-19**

|  | <b>2016-17<br/>Actuals<br/>(03/31/17)</b> | <b>2017-18<br/>Actuals<br/>(03/31/18)</b> | <b>2018-19<br/>Estimate<br/>(03/31/19)</b> |
|--|---|---|--|
| <b>Major Agencies</b>                                  |   |   |  |
| Children and Family Services, Office of                | 43  | 36  | 44   |
| Corrections and Community Supervision, Department of   | 3   | 5   | 4  |
| Education Department, State                            | 938                                       | 957                                       | 984  |
| Environmental Conservation, Department of              | 1,206                                     | 1,100                                     | 1,131                                      |
| Financial Services, Department of                      | 1,325                                     | 1,356                                     | 1,382                                      |
| General Services, Office of                            | 59  | 58  | 13   |
| Health, Department of                                  | 2,075                                     | 1,974                                     | 2,101                                      |
| Labor, Department of                                   | 348                                       | 342                                       | 507  |
| Mental Health, Office of                               | 14,156                                    | 13,351                                    | 0  |
| Motor Vehicles, Department of                          | 501                                       | 498                                       | 498  |
| Parks, Recreation and Historic Preservation, Office of | 241                                       | 207                                       | 181  |
| People with Developmental Disabilities, Office for     | 18,946                                    | 18,476                                    | 0  |
| State Police, Division of                              | 350                                       | 320                                       | 336  |
| Taxation and Finance, Department of                    | 58  | 53  | 701  |
| Transportation, Department of                          | 90  | 39  | 46   |
| Workers' Compensation Board                            | 1,122                                     | 1,082                                     | 1,110                                      |
| <b>Subtotal - Major Agencies</b>                       | <b>41,461</b>                             | <b>39,854</b>                             | <b>9,038</b>                               |
| <b>Minor Agencies</b>                                  | <b>3,059</b>                              | <b>3,060</b>                              | <b>2,555</b>                               |
| <b>Subtotal - Subject to Direct Executive Control</b>  | <b>44,520</b>                             | <b>42,914</b>                             | <b>11,593</b>                              |
| <b>University Systems</b>                              |   |   |  |
| City University of New York                            | 391                                       | 375                                       | 383  |
| State University of New York                           | 45,314                                    | 45,877                                    | 45,655                                     |
| <b>Subtotal - University Systems</b>                   | <b>45,705</b>                             | <b>46,252</b>                             | <b>46,038</b>                              |
| <b>Independently Elected Agencies</b>                  |   |   |  |
| Audit and Control, Department of                       | 174                                       | 166                                       | 173  |
| Law, Department of                                     | 487                                       | 466                                       | 518  |
| <b>Subtotal - Independently Elected Agencies</b>       | <b>661</b>                                | <b>632</b>                                | <b>691</b>                                 |
| <b>Grand Total</b>                                     | <b>90,886</b>                             | <b>89,798</b>                             | <b>58,322</b>                              |

**Workforce Impact Summary**  
**Special Revenue Funds - Other**  
**2016-17 Through 2018-19**

|  | <b>2016-17</b><br><b>Actuals</b><br><b>(03/31/17)</b> | <b>2017-18</b><br><b>Actuals</b><br><b>(03/31/18)</b> | <b>2018-19</b><br><b>Estimate</b><br><b>(03/31/19)</b> |
|--|---|---|--|
| <b>Minor Agencies</b>  |   |   |  |
| Agriculture and Markets, Department of                         | 47  | 44  | 43   |
| Alcoholism and Substance Abuse Services, Office of             | 728   | 720   | 0  |
| Budget, Division of the  | 10  | 14  | 16   |
| Civil Service, Department of                                   | 2   | 6   | 6  |
| Criminal Justice Services, Division of                         | 2   | 5   | 5  |
| Deferred Compensation Board                                    | 4   | 4   | 4  |
| Economic Development, Department of                            | 1   | 1   | 1  |
| Financial Control Board, New York State                        | 12  | 12  | 12   |
| Gaming Commission, New York State                              | 354   | 359   | 352  |
| Higher Education Services Corporation, New York State          | 200   | 183   | 190  |
| Homeland Security and Emergency Services, Division of          | 312   | 347   | 441  |
| Housing and Community Renewal, Division of                     | 497   | 503   | 507  |
| Indigent Legal Services, Office of                             | 17  | 24  | 34   |
| Interest on Lawyer Account                                     | 9   | 9   | 9  |
| Justice Center for the Protection of People with Special Needs | 15  | 15  | 14   |
| Military and Naval Affairs, Division of                        | 2   | 2   | 2  |
| Public Service Department                                      | 508   | 494   | 495  |
| State, Department of   | 288   | 275   | 370  |
| Victim Services, Office of                                     | 51  | 43  | 54   |
| <b>Subtotal - Minor Agencies</b>                               | <b>3,059</b>  | <b>3,060</b>  | <b>2,555</b>   |

**Note:** To conform the budgeting of mental hygiene program operations with Generally Accepted Accounting Principles (GAAP), the FY 2019 Enacted Budget shifts FTEs from Special Revenue funds to the General Fund. This technical change does not impact overall FTE levels; however, the shift is presented as a current year adjustment on a cash basis (3/31/18 to 3/31/19) and as a prior year shift on an appropriation basis (FY 2017 actuals to 3/31/18).

**Workforce Impact Summary**  
**Special Revenue Funds - Federal**  
**2016-17 Through 2018-19**

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Major Agencies</b>  |                                  |                                  |                                   |
| Children and Family Services, Office of                        | 367                              | 366                              | 391                               |
| Corrections and Community Supervision, Department of           | 37                               | 36                               | 646                               |
| Education Department, State                                    | 1,227                            | 1,198                            | 1,285                             |
| Environmental Conservation, Department of                      | 271                              | 260                              | 269                               |
| Health, Department of  | 1,122                            | 1,051                            | 1,311                             |
| Labor, Department of   | 2,494                            | 2,565                            | 2,466                             |
| Mental Health, Office of                                       | 0                                | 0                                | 11                                |
| Motor Vehicles, Department of                                  | 18                               | 17                               | 17                                |
| Parks, Recreation and Historic Preservation, Office of         | 19                               | 22                               | 33                                |
| People with Developmental Disabilities, Office for             | 12                               | 11                               | 18                                |
| Temporary and Disability Assistance, Office of                 | 966                              | 904                              | 965                               |
| Transportation, Department of                                  | 64                               | 111                              | 126                               |
| <b>Subtotal - Major Agencies</b>                               | <b>6,597</b>                     | <b>6,541</b>                     | <b>7,538</b>                      |
| <b>Minor Agencies</b>  |                                  |                                  |                                   |
| Aging, Office for the  | 79                               | 78                               | 83                                |
| Agriculture and Markets, Department of                         | 6                                | 6                                | 35                                |
| Criminal Justice Services, Division of                         | 31                               | 27                               | 32                                |
| Homeland Security and Emergency Services, Division of          | 155                              | 157                              | 158                               |
| Housing and Community Renewal, Division of                     | 80                               | 72                               | 101                               |
| Human Rights, Division of                                      | 30                               | 53                               | 40                                |
| Justice Center for the Protection of People with Special Needs | 0                                | 0                                | 5                                 |
| Medicaid Inspector General, Office of the                      | 215                              | 202                              | 212                               |
| Military and Naval Affairs, Division of                        | 187                              | 183                              | 179                               |
| Public Service Department                                      | 0                                | 0                                | 25                                |
| State, Department of   | 13                               | 13                               | 17                                |
| Veterans' Affairs, Division of                                 | 7                                | 7                                | 8                                 |
| Victim Services, Office of                                     | 28                               | 36                               | 38                                |
| <b>Subtotal - Minor Agencies</b>                               | <b>831</b>                       | <b>834</b>                       | <b>933</b>                        |
| <b>Subtotal - Subject to Direct Executive Control</b>          | <b>7,428</b>                     | <b>7,375</b>                     | <b>8,471</b>                      |
| <b>University Systems</b>                                      |                                  |                                  |                                   |
| State University of New York                                   | 2                                | 2                                | 2                                 |
| <b>Subtotal - University Systems</b>                           | <b>2</b>                         | <b>2</b>                         | <b>2</b>                          |
| <b>Independently Elected Agencies</b>                          |                                  |                                  |                                   |
| Audit and Control, Department of                               | 5                                | 5                                | 0                                 |
| Law, Department of   | 221                              | 229                              | 249                               |
| <b>Subtotal - Independently Elected Agencies</b>               | <b>226</b>                       | <b>234</b>                       | <b>249</b>                        |
| <b>Grand Total</b>   | <b>7,656</b>                     | <b>7,611</b>                     | <b>8,722</b>                      |

**Workforce Impact Summary**  
**Capital Projects Funds - Other**  
**2016-17 Through 2018-19**

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Major Agencies</b>                                  |                                  |                                  |                                   |
| Children and Family Services, Office of                | 4                                | 70                               | 69                                |
| Corrections and Community Supervision, Department of   | 30                               | 30                               | 1,009                             |
| Environmental Conservation, Department of              | 533                              | 527                              | 593                               |
| General Services, Office of                            | 0                                | 292                              | 420                               |
| Health, Department of                                  | 70                               | 121                              | 132                               |
| Information Technology Services, Office of             | 0                                | 7                                | 4                                 |
| Mental Health, Office of                               | 53                               | 549                              | 683                               |
| Motor Vehicles, Department of                          | 1,665                            | 1,786                            | 1,663                             |
| Parks, Recreation and Historic Preservation, Office of | 224                              | 415                              | 425                               |
| People with Developmental Disabilities, Office for     | 0                                | 380                              | 377                               |
| State Police, Division of                              | 0                                | 70                               | 75                                |
| Temporary and Disability Assistance, Office of         | 5                                | 8                                | 8                                 |
| Transportation, Department of                          | 8,333                            | 8,351                            | 5,806                             |
| <b>Subtotal - Major Agencies</b>                       | <b>10,917</b>                    | <b>12,606</b>                    | <b>11,264</b>                     |
| <b>Minor Agencies</b>                                  |                                  |                                  |                                   |
| Alcoholism and Substance Abuse Services, Office of     | 15                               | 16                               | 15                                |
| Military and Naval Affairs, Division of                | 0                                | 41                               | 54                                |
| <b>Subtotal - Minor Agencies</b>                       | <b>15</b>                        | <b>57</b>                        | <b>69</b>                         |
| <b>Subtotal - Subject to Direct Executive Control</b>  | <b>10,932</b>                    | <b>12,663</b>                    | <b>11,333</b>                     |
| <b>University Systems</b>                              |                                  |                                  |                                   |
| State University Construction Fund                     | 144                              | 142                              | 152                               |
| <b>Subtotal - University Systems</b>                   | <b>144</b>                       | <b>142</b>                       | <b>152</b>                        |
| <b>Independently Elected Agencies</b>                  |                                  |                                  |                                   |
| Law, Department of                                     | 4                                | 2                                | 7                                 |
| <b>Subtotal - Independently Elected Agencies</b>       | <b>4</b>                         | <b>2</b>                         | <b>7</b>                          |
| <b>Grand Total</b>                                     | <b>11,080</b>                    | <b>12,807</b>                    | <b>11,492</b>                     |

**Workforce Impact Summary**  
**Capital Projects Funds - Federal**  
**2016-17 Through 2018-19**

|   | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|---|----------------------------------|----------------------------------|-----------------------------------|
| <b>Major Agencies</b>                                 |                                  |                                  |                                   |
| Environmental Conservation, Department of             | 5                                | 6                                | 8                                 |
| Health, Department of                                 | 46                               | 81                               | 46                                |
| <b>Subtotal - Major Agencies</b>                      | <b>51</b>                        | <b>87</b>                        | <b>54</b>                         |
| <b>Minor Agencies</b>                                 |                                  |                                  |                                   |
| Housing and Community Renewal, Division of            | 0                                | 0                                | 20                                |
| Military and Naval Affairs, Division of               | 32                               | 64                               | 49                                |
| <b>Subtotal - Minor Agencies</b>                      | <b>32</b>                        | <b>64</b>                        | <b>69</b>                         |
| <b>Subtotal - Subject to Direct Executive Control</b> | <b>83</b>                        | <b>151</b>                       | <b>123</b>                        |
| <b>Grand Total</b>                                    | <b>83</b>                        | <b>151</b>                       | <b>123</b>                        |



**Workforce Impact Summary**  
**Enterprise Funds**  
**2016-17 Through 2018-19**

|   | <b>2016-17<br/>Actuals<br/>(03/31/17)</b> | <b>2017-18<br/>Actuals<br/>(03/31/18)</b> | <b>2018-19<br/>Estimate<br/>(03/31/19)</b> |
|---|---|---|--|
| <b>Major Agencies</b>                                 |   |   |  |
| Corrections and Community Supervision, Department of  | 5   | 6   | 10   |
| General Services, Office of                           | 9   | 11  | 62   |
| Mental Health, Office of                              | 0   | 0   | 5  |
| <b>Subtotal - Major Agencies</b>                      | <b>14</b>                                 | <b>17</b>                                 | <b>77</b>                                  |
| <b>Minor Agencies</b>                                 |   |   |  |
| Agriculture and Markets, Department of                | 36  | 37  | 45   |
| Military and Naval Affairs, Division of               | 0   | 0   | 2  |
| <b>Subtotal - Minor Agencies</b>                      | <b>36</b>                                 | <b>37</b>                                 | <b>47</b>                                  |
| <b>Subtotal - Subject to Direct Executive Control</b> | <b>50</b>                                 | <b>54</b>                                 | <b>124</b>                                 |
| <b>Grand Total</b>                                    | <b>50</b>                                 | <b>54</b>                                 | <b>124</b>                                 |

# Workforce Impact Summary

## Internal Service Funds

2016-17 Through 2018-19

|   | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|---|----------------------------------|----------------------------------|-----------------------------------|
| <b>Major Agencies</b>                                 |                                  |                                  |                                   |
| Children and Family Services, Office of               | 78                               | 86                               | 99                                |
| Corrections and Community Supervision, Department of  | 268                              | 271                              | 280                               |
| Education Department, State                           | 147                              | 139                              | 144                               |
| General Services, Office of                           | 499                              | 520                              | 618                               |
| Labor, Department of                                  | 19                               | 28                               | 16                                |
| Mental Health, Office of                              | 12                               | 11                               | 13                                |
| <b>Subtotal - Major Agencies</b>                      | <b>1,023</b>                     | <b>1,055</b>                     | <b>1,170</b>                      |
| <b>Minor Agencies</b>                                 |                                  |                                  |                                   |
| Civil Service, Department of                          | 164                              | 153                              | 171                               |
| Employee Relations, Office of                         | 6                                | 7                                | 11                                |
| Prevention of Domestic Violence, Office for           | 8                                | 7                                | 9                                 |
| <b>Subtotal - Minor Agencies</b>                      | <b>178</b>                       | <b>167</b>                       | <b>191</b>                        |
| <b>Subtotal - Subject to Direct Executive Control</b> | <b>1,201</b>                     | <b>1,222</b>                     | <b>1,361</b>                      |
| <b>Independently Elected Agencies</b>                 |                                  |                                  |                                   |
| Audit and Control, Department of                      | 174                              | 168                              | 158                               |
| Law, Department of                                    | 0                                | 48                               | 0                                 |
| <b>Subtotal - Independently Elected Agencies</b>      | <b>174</b>                       | <b>216</b>                       | <b>158</b>                        |
| <b>Grand Total</b>                                    | <b>1,375</b>                     | <b>1,438</b>                     | <b>1,519</b>                      |

# Workforce Impact Summary

## Agency Trust Funds

2016-17 Through 2018-19

|                                      | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--------------------------------------|----------------------------------|----------------------------------|-----------------------------------|
| <b>University Systems</b>            |                                  |                                  |                                   |
| City University of New York          | 13,244                           | 13,351                           | 13,166                            |
| <b>Subtotal - University Systems</b> | <b>13,244</b>                    | <b>13,351</b>                    | <b>13,166</b>                     |
| <b>Grand Total</b>                   | <b>13,244</b>                    | <b>13,351</b>                    | <b>13,166</b>                     |

# Workforce Impact Summary

## Pension Trust Funds

2016-17 Through 2018-19

|  | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|--|----------------------------------|----------------------------------|-----------------------------------|
| <b>Independently Elected Agencies</b>            |                                  |                                  |                                   |
| Audit and Control, Department of                 | 938                              | 967                              | 978                               |
| <b>Subtotal - Independently Elected Agencies</b> | <b>938</b>                       | <b>967</b>                       | <b>978</b>                        |
| <b>Grand Total</b>                               | <b>938</b>                       | <b>967</b>                       | <b>978</b>                        |

# Workforce Impact Summary

## Private Purpose Trust Funds

2016-17 Through 2018-19

|   | 2016-17<br>Actuals<br>(03/31/17) | 2017-18<br>Actuals<br>(03/31/18) | 2018-19<br>Estimate<br>(03/31/19) |
|---|----------------------------------|----------------------------------|-----------------------------------|
| <b>Minor Agencies</b>                                 |                                  |                                  |                                   |
| Agriculture and Markets, Department of                | 3                                | 2                                | 3                                 |
| <b>Subtotal - Minor Agencies</b>                      | <b>3</b>                         | <b>2</b>                         | <b>3</b>                          |
| <b>Subtotal - Subject to Direct Executive Control</b> | <b>3</b>                         | <b>2</b>                         | <b>3</b>                          |
| <b>Grand Total</b>                                    | <b>3</b>                         | <b>2</b>                         | <b>3</b>                          |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>                 |                    |                    |                    |                      |                      |                      |
| <b><i>Agriculture and Markets, Department of</i></b>                 | <b>64,846</b>      | <b>65,366</b>      | <b>70,719</b>      | <b>61,430</b>        | <b>57,441</b>        | <b>56,380</b>        |
| Local Assistance   | 31,980             | 32,318             | 38,271             | 28,757               | 24,757               | 24,907               |
| State Operations   | 32,866             | 33,048             | 32,448             | 32,673               | 32,684               | 31,473               |
| Personal Service   | 27,374             | 28,155             | 26,463             | 26,463               | 27,474               | 26,463               |
| Non-Personal Service   | 5,492              | 4,893              | 5,985              | 6,210                | 5,210                | 5,010                |
| <b><i>Alcoholic Beverage Control, Division of</i></b>                | <b>10,843</b>      | <b>11,819</b>      | <b>12,483</b>      | <b>11,244</b>        | <b>11,560</b>        | <b>11,244</b>        |
| State Operations   | 10,843             | 11,819             | 12,483             | 11,244               | 11,560               | 11,244               |
| Personal Service   | 7,567              | 8,131              | 8,147              | 8,585                | 8,901                | 8,585                |
| Non-Personal Service   | 3,276              | 3,688              | 4,336              | 2,659                | 2,659                | 2,659                |
| <b><i>Economic Development, Department of</i></b>                    | <b>73,002</b>      | <b>70,791</b>      | <b>74,040</b>      | <b>68,985</b>        | <b>68,985</b>        | <b>68,835</b>        |
| Local Assistance   | 53,748             | 52,871             | 58,699             | 53,644               | 53,644               | 53,494               |
| State Operations   | 19,254             | 17,920             | 15,341             | 15,341               | 15,341               | 15,341               |
| Personal Service   | 13,152             | 13,240             | 12,826             | 12,826               | 12,826               | 12,826               |
| Non-Personal Service   | 6,102              | 4,680              | 2,515              | 2,515                | 2,515                | 2,515                |
| <b><i>Empire State Development Corporation</i></b>                   | <b>122,298</b>     | <b>124,880</b>     | <b>95,576</b>      | <b>86,746</b>        | <b>91,746</b>        | <b>91,746</b>        |
| Local Assistance   | 122,298            | 124,880            | 95,576             | 86,746               | 91,746               | 91,746               |
| <b><i>Olympic Regional Development Authority</i></b>                 | <b>2,736</b>       | <b>2,736</b>       | <b>11,143</b>      | <b>5,736</b>         | <b>5,736</b>         | <b>5,736</b>         |
| Local Assistance   | 0                  | 0                  | 2,360              | 0                    | 0                    | 0                    |
| State Operations   | 2,736              | 2,736              | 8,783              | 5,736                | 5,736                | 5,736                |
| Personal Service   | 2,548              | 2,548              | 5,595              | 2,548                | 2,548                | 2,548                |
| Non-Personal Service   | 188                | 188                | 3,188              | 3,188                | 3,188                | 3,188                |
| <b>Functional Total</b>  | <b>273,725</b>     | <b>275,592</b>     | <b>263,961</b>     | <b>234,141</b>       | <b>235,468</b>       | <b>233,941</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                                     |                    |                    |                    |                      |                      |                      |
| <b><i>Adirondack Park Agency</i></b>                                 | <b>4,535</b>       | <b>4,468</b>       | <b>4,469</b>       | <b>4,414</b>         | <b>4,572</b>         | <b>4,414</b>         |
| State Operations   | 4,535              | 4,468              | 4,469              | 4,414                | 4,572                | 4,414                |
| Personal Service   | 4,112              | 4,179              | 4,108              | 4,108                | 4,266                | 4,108                |
| Non-Personal Service   | 423                | 289                | 361                | 306                  | 306                  | 306                  |
| <b><i>Environmental Conservation, Department of</i></b>              | <b>92,279</b>      | <b>96,795</b>      | <b>106,048</b>     | <b>112,832</b>       | <b>129,102</b>       | <b>126,136</b>       |
| Local Assistance   | 1,906              | 2,491              | 4,095              | 3,019                | 3,019                | 3,019                |
| State Operations   | 90,373             | 94,304             | 101,953            | 109,813              | 126,083              | 123,117              |
| Personal Service   | 83,931             | 82,506             | 81,529             | 89,429               | 100,899              | 97,933               |
| Non-Personal Service   | 6,442              | 11,798             | 20,424             | 20,384               | 25,184               | 25,184               |
| <b><i>Parks, Recreation and Historic Preservation, Office of</i></b> | <b>113,058</b>     | <b>105,254</b>     | <b>104,718</b>     | <b>105,525</b>       | <b>110,473</b>       | <b>106,729</b>       |
| Local Assistance   | 4,298              | 2,931              | 406                | 100                  | 100                  | 100                  |
| State Operations   | 108,760            | 102,323            | 104,312            | 105,425              | 110,373              | 106,629              |
| Personal Service   | 103,327            | 96,935             | 96,377             | 97,490               | 102,438              | 98,694               |
| Non-Personal Service   | 5,433              | 5,388              | 7,935              | 7,935                | 7,935                | 7,935                |
| <b>Functional Total</b>  | <b>209,872</b>     | <b>206,517</b>     | <b>215,235</b>     | <b>222,771</b>       | <b>244,147</b>       | <b>237,279</b>       |
| <b>TRANSPORTATION</b>  |                    |                    |                    |                      |                      |                      |
| <b><i>Motor Vehicles, Department of</i></b>                          | <b>0</b>           | <b>1,600</b>       | <b>12,088</b>      | <b>11,947</b>        | <b>12,186</b>        | <b>12,430</b>        |
| Local Assistance   | 0                  | 1,600              | 375                | 0                    | 0                    | 0                    |
| State Operations   | 0                  | 0                  | 11,713             | 11,947               | 12,186               | 12,430               |
| Personal Service   | 0                  | 0                  | 8,524              | 8,694                | 8,868                | 9,046                |
| Non-Personal Service   | 0                  | 0                  | 3,189              | 3,253                | 3,318                | 3,384                |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>Transportation, Department of</b>              | <b>107,383</b>             | <b>116,103</b>             | <b>574,281</b>             | <b>434,703</b>               | <b>435,765</b>               | <b>440,664</b>               |
| Local Assistance                                  | 106,214                    | 114,708                    | 303,851                    | 100,851                      | 100,851                      | 100,851                      |
| State Operations                                  | 1,169                      | 1,395                      | 270,430                    | 333,852                      | 334,914                      | 339,813                      |
| Personal Service                                  | 0                          | 0                          | 158,494                    | 166,980                      | 166,980                      | 166,980                      |
| Non-Personal Service                              | 1,169                      | 1,395                      | 111,936                    | 166,872                      | 167,934                      | 172,833                      |
| <b>Functional Total</b>                           | <b>107,383</b>             | <b>117,703</b>             | <b>586,369</b>             | <b>446,650</b>               | <b>447,951</b>               | <b>453,094</b>               |
| <b>HEALTH</b>                                     |                            |                            |                            |                              |                              |                              |
| <b>Aging, Office for the</b>                      | <b>126,347</b>             | <b>125,380</b>             | <b>130,509</b>             | <b>132,816</b>               | <b>138,041</b>               | <b>143,310</b>               |
| Local Assistance                                  | 125,116                    | 124,180                    | 129,278                    | 131,585                      | 136,767                      | 142,079                      |
| State Operations                                  | 1,231                      | 1,200                      | 1,231                      | 1,231                        | 1,274                        | 1,231                        |
| Personal Service                                  | 1,125                      | 1,103                      | 1,125                      | 1,125                        | 1,168                        | 1,125                        |
| Non-Personal Service                              | 106                        | 97                         | 106                        | 106                          | 106                          | 106                          |
| <b>Health, Department of</b>                      | <b>13,614,139</b>          | <b>14,611,316</b>          | <b>15,527,506</b>          | <b>16,936,292</b>            | <b>17,971,558</b>            | <b>18,850,760</b>            |
| <b>Medical Assistance</b>                         | <b>11,709,956</b>          | <b>13,111,453</b>          | <b>13,727,999</b>          | <b>15,305,819</b>            | <b>16,368,125</b>            | <b>17,263,123</b>            |
| Local Assistance                                  | 11,709,956                 | 13,111,453                 | 13,727,999                 | 15,305,819                   | 16,368,125                   | 17,263,123                   |
| <b>Essential Plan</b>                             | <b>312,837</b>             | <b>87,638</b>              | <b>86,723</b>              | <b>84,224</b>                | <b>78,757</b>                | <b>76,397</b>                |
| Local Assistance                                  | 269,000                    | 0                          | 0                          | 0                            | 0                            | 0                            |
| State Operations                                  | 43,837                     | 87,638                     | 86,723                     | 84,224                       | 78,757                       | 76,397                       |
| Personal Service                                  | 1,367                      | 3,148                      | 4,206                      | 4,580                        | 4,418                        | 4,384                        |
| Non-Personal Service                              | 42,470                     | 84,490                     | 82,517                     | 79,644                       | 74,339                       | 72,013                       |
| <b>Medicaid Administration</b>                    | <b>728,644</b>             | <b>583,789</b>             | <b>917,523</b>             | <b>744,037</b>               | <b>721,913</b>               | <b>707,680</b>               |
| Local Assistance                                  | 467,643                    | 286,336                    | 585,173                    | 428,149                      | 411,867                      | 396,295                      |
| State Operations                                  | 261,001                    | 297,453                    | 332,350                    | 315,888                      | 310,046                      | 311,385                      |
| Personal Service                                  | 36,908                     | 37,196                     | 51,113                     | 54,086                       | 57,098                       | 55,666                       |
| Non-Personal Service                              | 224,093                    | 260,257                    | 281,237                    | 261,802                      | 252,948                      | 255,719                      |
| <b>Public Health</b>                              | <b>862,702</b>             | <b>828,436</b>             | <b>795,261</b>             | <b>802,212</b>               | <b>802,763</b>               | <b>803,560</b>               |
| Local Assistance                                  | 730,107                    | 707,236                    | 661,497                    | 668,348                      | 665,794                      | 669,696                      |
| State Operations                                  | 132,595                    | 121,200                    | 133,764                    | 133,864                      | 136,969                      | 133,864                      |
| Personal Service                                  | 87,337                     | 81,988                     | 93,295                     | 93,295                       | 96,400                       | 93,295                       |
| Non-Personal Service                              | 45,258                     | 39,212                     | 40,469                     | 40,569                       | 40,569                       | 40,569                       |
| <b>Medicaid Inspector General, Office of the</b>  | <b>20,489</b>              | <b>18,282</b>              | <b>18,111</b>              | <b>18,111</b>                | <b>18,718</b>                | <b>18,111</b>                |
| State Operations                                  | 20,489                     | 18,282                     | 18,111                     | 18,111                       | 18,718                       | 18,111                       |
| Personal Service                                  | 16,415                     | 15,864                     | 14,936                     | 14,936                       | 15,543                       | 14,936                       |
| Non-Personal Service                              | 4,074                      | 2,418                      | 3,175                      | 3,175                        | 3,175                        | 3,175                        |
| <b>Functional Total</b>                           | <b>13,760,975</b>          | <b>14,754,978</b>          | <b>15,676,126</b>          | <b>17,087,219</b>            | <b>18,128,317</b>            | <b>19,012,181</b>            |
| <b>SOCIAL WELFARE</b>                             |                            |                            |                            |                              |                              |                              |
| <b>Children and Family Services, Office of</b>    | <b>1,929,379</b>           | <b>1,832,432</b>           | <b>1,958,154</b>           | <b>2,025,737</b>             | <b>2,111,037</b>             | <b>2,148,600</b>             |
| <b>OCFS</b>                                       | <b>1,847,132</b>           | <b>1,755,070</b>           | <b>1,873,412</b>           | <b>1,934,930</b>             | <b>2,017,050</b>             | <b>2,050,449</b>             |
| Local Assistance                                  | 1,629,928                  | 1,527,520                  | 1,602,747                  | 1,578,002                    | 1,593,427                    | 1,624,444                    |
| State Operations                                  | 217,204                    | 227,550                    | 270,665                    | 356,928                      | 423,623                      | 426,005                      |
| Personal Service                                  | 166,257                    | 168,186                    | 189,011                    | 258,122                      | 311,749                      | 311,892                      |
| Non-Personal Service                              | 50,947                     | 59,364                     | 81,654                     | 98,806                       | 111,874                      | 114,113                      |
| <b>OCFS - Other</b>                               | <b>82,247</b>              | <b>77,362</b>              | <b>84,742</b>              | <b>90,807</b>                | <b>93,987</b>                | <b>98,151</b>                |
| Local Assistance                                  | 82,247                     | 77,362                     | 84,742                     | 90,807                       | 93,987                       | 98,151                       |
| <b>Housing and Community Renewal, Division of</b> | <b>12,376</b>              | <b>9,912</b>               | <b>13,956</b>              | <b>43,987</b>                | <b>44,995</b>                | <b>45,506</b>                |
| Local Assistance                                  | 4,585                      | 4,119                      | 9,406                      | 39,437                       | 40,090                       | 40,765                       |
| State Operations                                  | 7,791                      | 5,793                      | 4,550                      | 4,550                        | 4,905                        | 4,741                        |
| Personal Service                                  | 5,000                      | 3,866                      | 4,199                      | 4,199                        | 4,492                        | 4,328                        |
| Non-Personal Service                              | 2,791                      | 1,927                      | 351                        | 351                          | 413                          | 413                          |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>Human Rights, Division of</b>                          | <b>10,382</b>              | <b>9,465</b>               | <b>9,921</b>               | <b>9,921</b>                 | <b>10,590</b>                | <b>10,230</b>                |
| State Operations  | 10,382                     | 9,465                      | 9,921                      | 9,921                        | 10,590                       | 10,230                       |
| Personal Service  | 9,533                      | 8,662                      | 9,461                      | 9,461                        | 10,121                       | 9,752                        |
| Non-Personal Service                                      | 849                        | 803                        | 460                        | 460                          | 469                          | 478                          |
| <b>Labor, Department of</b>                               | <b>15,392</b>              | <b>12,863</b>              | <b>14,800</b>              | <b>288</b>                   | <b>349</b>                   | <b>351</b>                   |
| Local Assistance  | 15,081                     | 12,666                     | 14,512                     | 0                            | 0                            | 0                            |
| State Operations  | 311                        | 197                        | 288                        | 288                          | 349                          | 351                          |
| Personal Service  | 120                        | 84                         | 88                         | 88                           | 94                           | 91                           |
| Non-Personal Service                                      | 191                        | 113                        | 200                        | 200                          | 255                          | 260                          |
| <b>National and Community Service</b>                     | <b>471</b>                 | <b>770</b>                 | <b>690</b>                 | <b>690</b>                   | <b>699</b>                   | <b>699</b>                   |
| Local Assistance  | 267                        | 477                        | 350                        | 350                          | 350                          | 350                          |
| State Operations  | 204                        | 293                        | 340                        | 340                          | 349                          | 349                          |
| Personal Service  | 203                        | 292                        | 331                        | 331                          | 340                          | 340                          |
| Non-Personal Service                                      | 1                          | 1                          | 9                          | 9                            | 9                            | 9                            |
| <b>Temporary and Disability Assistance, Office of</b>     | <b>1,356,683</b>           | <b>1,351,164</b>           | <b>1,403,666</b>           | <b>1,487,645</b>             | <b>1,494,938</b>             | <b>1,501,458</b>             |
| <b>Welfare Assistance</b>                                 | <b>1,130,191</b>           | <b>1,137,324</b>           | <b>1,177,821</b>           | <b>1,241,366</b>             | <b>1,241,366</b>             | <b>1,241,366</b>             |
| Local Assistance  | 1,130,191                  | 1,137,324                  | 1,177,821                  | 1,241,366                    | 1,241,366                    | 1,241,366                    |
| <b>All Other</b>  | <b>226,492</b>             | <b>213,840</b>             | <b>225,845</b>             | <b>246,279</b>               | <b>253,572</b>               | <b>260,092</b>               |
| Local Assistance  | 89,812                     | 92,194                     | 102,093                    | 116,196                      | 118,996                      | 122,796                      |
| State Operations  | 136,680                    | 121,646                    | 123,752                    | 130,083                      | 134,576                      | 137,296                      |
| Personal Service  | 73,429                     | 64,895                     | 68,444                     | 73,603                       | 76,972                       | 78,522                       |
| Non-Personal Service                                      | 63,251                     | 56,751                     | 55,308                     | 56,480                       | 57,604                       | 58,774                       |
| <b>Functional Total</b>                                   | <b>3,324,683</b>           | <b>3,216,606</b>           | <b>3,401,187</b>           | <b>3,568,268</b>             | <b>3,662,608</b>             | <b>3,706,844</b>             |
| <b>MENTAL HYGIENE</b>                                     |                            |                            |                            |                              |                              |                              |
| <b>Alcoholism and Substance Abuse Services, Office of</b> | <b>27,098</b>              | <b>36,221</b>              | <b>427,899</b>             | <b>456,991</b>               | <b>477,050</b>               | <b>491,862</b>               |
| <b>OASAS</b>  | <b>5,773</b>               | <b>14,896</b>              | <b>362,890</b>             | <b>390,782</b>               | <b>409,399</b>               | <b>423,484</b>               |
| Local Assistance  | 5,773                      | 14,896                     | 329,395                    | 356,377                      | 373,935                      | 388,390                      |
| State Operations  | 0                          | 0                          | 33,495                     | 34,405                       | 35,464                       | 35,094                       |
| Personal Service  | 0                          | 0                          | 26,697                     | 27,133                       | 27,919                       | 27,270                       |
| Non-Personal Service                                      | 0                          | 0                          | 6,798                      | 7,272                        | 7,545                        | 7,824                        |
| <b>OASAS - Other</b>                                      | <b>21,325</b>              | <b>21,325</b>              | <b>65,009</b>              | <b>66,209</b>                | <b>67,651</b>                | <b>68,378</b>                |
| Local Assistance  | 21,325                     | 21,325                     | 21,325                     | 21,325                       | 21,325                       | 21,325                       |
| State Operations  | 0                          | 0                          | 43,684                     | 44,884                       | 46,326                       | 47,053                       |
| Personal Service  | 0                          | 0                          | 33,257                     | 33,781                       | 34,797                       | 35,092                       |
| Non-Personal Service                                      | 0                          | 0                          | 10,427                     | 11,103                       | 11,529                       | 11,961                       |
| <b>Justice Center</b>                                     | <b>37,222</b>              | <b>37,797</b>              | <b>40,192</b>              | <b>41,975</b>                | <b>43,859</b>                | <b>43,187</b>                |
| Local Assistance  | 200                        | 170                        | 170                        | 170                          | 170                          | 170                          |
| State Operations  | 37,022                     | 37,627                     | 40,022                     | 41,805                       | 43,689                       | 43,017                       |
| Personal Service  | 28,113                     | 30,029                     | 31,377                     | 32,717                       | 34,340                       | 33,397                       |
| Non-Personal Service                                      | 8,909                      | 7,598                      | 8,645                      | 9,088                        | 9,349                        | 9,620                        |
| <b>Mental Health, Office of</b>                           | <b>277,868</b>             | <b>273,626</b>             | <b>2,631,087</b>           | <b>2,763,159</b>             | <b>2,890,571</b>             | <b>2,992,284</b>             |
| <b>OMH</b>  | <b>7,368</b>               | <b>8,237</b>               | <b>1,312,570</b>           | <b>1,446,406</b>             | <b>1,529,736</b>             | <b>1,593,040</b>             |
| Local Assistance  | 6,587                      | 7,540                      | 995,563                    | 1,096,276                    | 1,176,206                    | 1,232,122                    |
| State Operations  | 781                        | 697                        | 317,007                    | 350,130                      | 353,530                      | 360,918                      |
| Personal Service  | 0                          | 0                          | 269,985                    | 311,021                      | 314,021                      | 320,071                      |
| Non-Personal Service                                      | 781                        | 697                        | 47,022                     | 39,109                       | 39,509                       | 40,847                       |
| <b>OMH - Other</b>  | <b>270,500</b>             | <b>265,389</b>             | <b>1,318,517</b>           | <b>1,316,753</b>             | <b>1,360,835</b>             | <b>1,399,244</b>             |
| Local Assistance  | 270,500                    | 265,389                    | 299,325                    | 309,711                      | 320,630                      | 332,099                      |
| State Operations  | 0                          | 0                          | 1,019,192                  | 1,007,042                    | 1,040,205                    | 1,067,145                    |
| Personal Service  | 0                          | 0                          | 816,887                    | 784,927                      | 805,641                      | 810,776                      |
| Non-Personal Service                                      | 0                          | 0                          | 202,305                    | 222,115                      | 234,564                      | 256,369                      |



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>People with Developmental Disabilities, Office for</b>            | <b>583,212</b>             | <b>438,201</b>             | <b>1,855,411</b>           | <b>2,146,103</b>             | <b>2,592,598</b>             | <b>2,516,055</b>             |
| <b>OPWDD</b>   | <b>92</b>                  | <b>143</b>                 | <b>388,271</b>             | <b>383,644</b>               | <b>389,573</b>               | <b>399,750</b>               |
| Local Assistance   | 92                         | 143                        | 388,271                    | 383,644                      | 389,573                      | 399,750                      |
| <b>OPWDD - Other</b>   | <b>583,120</b>             | <b>438,058</b>             | <b>1,467,140</b>           | <b>1,762,459</b>             | <b>2,203,025</b>             | <b>2,116,305</b>             |
| Local Assistance   | 583,120                    | 438,058                    | 141,501                    | 434,826                      | 838,871                      | 737,192                      |
| State Operations   | 0                          | 0                          | 1,325,639                  | 1,327,633                    | 1,364,154                    | 1,379,113                    |
| Personal Service   | 0                          | 0                          | 1,137,939                  | 1,145,989                    | 1,177,306                    | 1,186,856                    |
| Non-Personal Service   | 0                          | 0                          | 187,700                    | 181,644                      | 186,848                      | 192,257                      |
| <b>Functional Total</b>  | <b>925,400</b>             | <b>785,845</b>             | <b>4,954,589</b>           | <b>5,408,228</b>             | <b>6,004,078</b>             | <b>6,043,388</b>             |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                            |                            |                            |                            |                              |                              |                              |
| <b>Correction, Commission of</b>                                     | <b>2,431</b>               | <b>2,594</b>               | <b>2,651</b>               | <b>2,651</b>                 | <b>2,773</b>                 | <b>2,735</b>                 |
| State Operations   | 2,431                      | 2,594                      | 2,651                      | 2,651                        | 2,773                        | 2,735                        |
| Personal Service   | 2,234                      | 2,316                      | 2,414                      | 2,414                        | 2,531                        | 2,488                        |
| Non-Personal Service   | 197                        | 278                        | 237                        | 237                          | 242                          | 247                          |
| <b>Correctional Services, Department of</b>                          | <b>2,643,575</b>           | <b>2,632,319</b>           | <b>2,608,171</b>           | <b>2,620,544</b>             | <b>2,626,174</b>             | <b>2,617,544</b>             |
| Local Assistance   | 4,457                      | 5,686                      | 4,836                      | 4,836                        | 4,836                        | 4,836                        |
| State Operations   | 2,639,118                  | 2,626,633                  | 2,603,335                  | 2,615,708                    | 2,621,338                    | 2,612,708                    |
| Personal Service   | 2,113,239                  | 2,098,248                  | 2,042,613                  | 2,056,117                    | 2,061,747                    | 2,056,117                    |
| Non-Personal Service   | 525,879                    | 528,385                    | 560,722                    | 559,591                      | 559,591                      | 556,591                      |
| <b>Corrections and Community Supervision Medicaid, Department of</b> | <b>0</b>                   | <b>0</b>                   | <b>2,000</b>               | <b>2,000</b>                 | <b>2,000</b>                 | <b>0</b>                     |
| Local Assistance   | 0                          | 0                          | 2,000                      | 2,000                        | 2,000                        | 0                            |
| <b>Criminal Justice Services, Division of</b>                        | <b>163,222</b>             | <b>170,421</b>             | <b>195,507</b>             | <b>165,721</b>               | <b>166,685</b>               | <b>166,415</b>               |
| Local Assistance   | 129,354                    | 136,570                    | 161,786                    | 132,000                      | 132,000                      | 132,000                      |
| State Operations   | 33,868                     | 33,851                     | 33,721                     | 33,721                       | 34,685                       | 34,415                       |
| Personal Service   | 26,740                     | 26,713                     | 27,190                     | 27,190                       | 28,154                       | 27,713                       |
| Non-Personal Service   | 7,128                      | 7,138                      | 6,531                      | 6,531                        | 6,531                        | 6,702                        |
| <b>Homeland Security and Emergency Services, Division of</b>         | <b>6,640</b>               | <b>4,956</b>               | <b>6,572</b>               | <b>4,972</b>                 | <b>4,972</b>                 | <b>5,290</b>                 |
| Local Assistance   | 5,501                      | 3,886                      | 5,572                      | 3,972                        | 3,972                        | 4,290                        |
| State Operations   | 1,139                      | 1,070                      | 1,000                      | 1,000                        | 1,000                        | 1,000                        |
| Personal Service   | 992                        | 1,070                      | 1,000                      | 1,000                        | 1,000                        | 1,000                        |
| Non-Personal Service   | 147                        | 0                          | 0                          | 0                            | 0                            | 0                            |
| <b>Judicial Conduct, Commission on</b>                               | <b>5,505</b>               | <b>5,552</b>               | <b>5,696</b>               | <b>5,708</b>                 | <b>5,936</b>                 | <b>6,114</b>                 |
| State Operations   | 5,505                      | 5,552                      | 5,696                      | 5,708                        | 5,936                        | 6,114                        |
| Personal Service   | 4,181                      | 4,238                      | 4,312                      | 4,347                        | 4,548                        | 4,483                        |
| Non-Personal Service   | 1,324                      | 1,314                      | 1,384                      | 1,361                        | 1,388                        | 1,631                        |
| <b>Judicial Nomination, Commission on</b>                            | <b>10</b>                  | <b>10</b>                  | <b>30</b>                  | <b>30</b>                    | <b>30</b>                    | <b>30</b>                    |
| State Operations   | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| Non-Personal Service   | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| <b>Judicial Screening Committees, New York State</b>                 | <b>9</b>                   | <b>14</b>                  | <b>38</b>                  | <b>38</b>                    | <b>38</b>                    | <b>38</b>                    |
| State Operations   | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| Non-Personal Service   | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| <b>Military and Naval Affairs, Division of</b>                       | <b>24,734</b>              | <b>23,738</b>              | <b>21,438</b>              | <b>21,579</b>                | <b>21,875</b>                | <b>22,509</b>                |
| Local Assistance   | 786                        | 819                        | 820                        | 820                          | 820                          | 886                          |
| State Operations   | 23,948                     | 22,919                     | 20,618                     | 20,759                       | 21,055                       | 21,623                       |
| Personal Service   | 16,761                     | 15,015                     | 14,161                     | 14,161                       | 14,457                       | 14,531                       |
| Non-Personal Service   | 7,187                      | 7,904                      | 6,457                      | 6,598                        | 6,598                        | 7,092                        |
| <b>State Police, Division of</b>                                     | <b>652,546</b>             | <b>664,644</b>             | <b>651,846</b>             | <b>651,623</b>               | <b>676,833</b>               | <b>691,342</b>               |
| State Operations   | 652,546                    | 664,644                    | 651,846                    | 651,623                      | 676,833                      | 691,342                      |
| Personal Service   | 608,046                    | 630,445                    | 621,559                    | 618,103                      | 643,273                      | 655,772                      |
| Non-Personal Service   | 44,500                     | 34,199                     | 30,287                     | 33,520                       | 33,560                       | 35,570                       |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>Statewide Financial System</b>                            | <b>30,309</b>              | <b>30,137</b>              | <b>30,382</b>              | <b>30,506</b>                | <b>30,949</b>                | <b>31,117</b>                |
| State Operations   | 30,309                     | 30,137                     | 30,382                     | 30,506                       | 30,949                       | 31,117                       |
| Personal Service   | 11,045                     | 11,094                     | 11,758                     | 11,882                       | 12,325                       | 12,121                       |
| Non-Personal Service   | 19,264                     | 19,043                     | 18,624                     | 18,624                       | 18,624                       | 18,996                       |
| <b>Victim Services, Office of</b>                            | <b>1,201</b>               | <b>(514)</b>               | <b>0</b>                   | <b>2,788</b>                 | <b>2,788</b>                 | <b>2,788</b>                 |
| Local Assistance   | 1,201                      | (514)                      | 0                          | 2,788                        | 2,788                        | 2,788                        |
| <b>Functional Total</b>                                      | <b>3,530,182</b>           | <b>3,533,871</b>           | <b>3,524,331</b>           | <b>3,508,160</b>             | <b>3,541,053</b>             | <b>3,545,922</b>             |
| <b>HIGHER EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| <b>City University of New York</b>                           | <b>1,424,632</b>           | <b>1,465,153</b>           | <b>1,493,737</b>           | <b>1,533,264</b>             | <b>1,572,884</b>             | <b>1,607,831</b>             |
| Local Assistance   | 1,424,129                  | 1,465,153                  | 1,493,737                  | 1,533,264                    | 1,572,884                    | 1,607,831                    |
| State Operations   | 503                        | 0                          | 0                          | 0                            | 0                            | 0                            |
| Non-Personal Service   | 503                        | 0                          | 0                          | 0                            | 0                            | 0                            |
| <b>Higher Education Services Corporation, New York State</b> | <b>957,504</b>             | <b>879,322</b>             | <b>1,080,675</b>           | <b>1,156,934</b>             | <b>1,175,207</b>             | <b>1,182,313</b>             |
| Local Assistance   | 957,504                    | 879,322                    | 1,080,675                  | 1,156,934                    | 1,175,207                    | 1,182,313                    |
| <b>State University of New York</b>                          | <b>492,548</b>             | <b>489,832</b>             | <b>482,989</b>             | <b>485,343</b>               | <b>485,343</b>               | <b>485,343</b>               |
| Local Assistance   | 491,891                    | 488,783                    | 482,289                    | 484,643                      | 484,643                      | 484,643                      |
| State Operations   | 657                        | 1,049                      | 700                        | 700                          | 700                          | 700                          |
| Non-Personal Service   | 657                        | 1,049                      | 700                        | 700                          | 700                          | 700                          |
| <b>Functional Total</b>                                      | <b>2,874,684</b>           | <b>2,834,307</b>           | <b>3,057,401</b>           | <b>3,175,541</b>             | <b>3,233,434</b>             | <b>3,275,487</b>             |
| <b>EDUCATION</b>   |                            |                            |                            |                              |                              |                              |
| <b>Arts, Council on the</b>                                  | <b>42,832</b>              | <b>39,210</b>              | <b>45,315</b>              | <b>45,155</b>                | <b>45,251</b>                | <b>45,155</b>                |
| Local Assistance   | 38,907                     | 34,950                     | 40,995                     | 40,835                       | 40,835                       | 40,835                       |
| State Operations   | 3,925                      | 4,260                      | 4,320                      | 4,320                        | 4,416                        | 4,320                        |
| Personal Service   | 2,491                      | 2,626                      | 2,498                      | 2,498                        | 2,594                        | 2,498                        |
| Non-Personal Service   | 1,434                      | 1,634                      | 1,822                      | 1,822                        | 1,822                        | 1,822                        |
| <b>Education, Department of</b>                              | <b>23,260,901</b>          | <b>24,209,060</b>          | <b>25,522,389</b>          | <b>26,430,187</b>            | <b>27,614,973</b>            | <b>28,961,263</b>            |
| <b>School Aid</b>  | <b>20,959,530</b>          | <b>21,953,910</b>          | <b>23,043,568</b>          | <b>24,011,597</b>            | <b>25,115,674</b>            | <b>26,375,025</b>            |
| Local Assistance   | 20,959,530                 | 21,953,910                 | 23,043,568                 | 24,011,597                   | 25,115,674                   | 26,375,025                   |
| <b>School Aid – Other</b>                                    | <b>57,079</b>              | <b>61,169</b>              | <b>99,342</b>              | <b>78,195</b>                | <b>78,195</b>                | <b>78,195</b>                |
| Local Assistance   | 57,079                     | 61,169                     | 99,342                     | 78,195                       | 78,195                       | 78,195                       |
| <b>Special Education Categorical Programs</b>                | <b>1,317,359</b>           | <b>1,263,705</b>           | <b>1,328,600</b>           | <b>1,349,837</b>             | <b>1,417,421</b>             | <b>1,483,266</b>             |
| Local Assistance   | 1,317,359                  | 1,263,705                  | 1,328,600                  | 1,349,837                    | 1,417,421                    | 1,483,266                    |
| <b>All Other</b>   | <b>926,933</b>             | <b>930,276</b>             | <b>1,050,879</b>           | <b>990,558</b>               | <b>1,003,683</b>             | <b>1,024,777</b>             |
| Local Assistance   | 868,560                    | 873,880                    | 990,093                    | 932,320                      | 944,473                      | 966,539                      |
| State Operations   | 58,373                     | 56,396                     | 60,786                     | 58,238                       | 59,210                       | 58,238                       |
| Personal Service   | 30,913                     | 31,037                     | 29,812                     | 29,334                       | 30,306                       | 29,334                       |
| Non-Personal Service   | 27,460                     | 25,359                     | 30,974                     | 28,904                       | 28,904                       | 28,904                       |
| <b>Functional Total</b>                                      | <b>23,303,733</b>          | <b>24,248,270</b>          | <b>25,567,704</b>          | <b>26,475,342</b>            | <b>27,660,224</b>            | <b>29,006,418</b>            |
| <b>GENERAL GOVERNMENT</b>                                    |                            |                            |                            |                              |                              |                              |
| <b>Budget, Division of the</b>                               | <b>22,118</b>              | <b>23,931</b>              | <b>23,722</b>              | <b>22,895</b>                | <b>23,749</b>                | <b>22,895</b>                |
| State Operations   | 22,118                     | 23,931                     | 23,722                     | 22,895                       | 23,749                       | 22,895                       |
| Personal Service   | 19,346                     | 20,484                     | 22,216                     | 22,216                       | 23,070                       | 22,216                       |
| Non-Personal Service   | 2,772                      | 3,447                      | 1,506                      | 679                          | 679                          | 679                          |
| <b>Civil Service, Department of</b>                          | <b>12,614</b>              | <b>14,117</b>              | <b>12,544</b>              | <b>12,643</b>                | <b>13,113</b>                | <b>12,739</b>                |
| State Operations   | 12,614                     | 14,117                     | 12,544                     | 12,643                       | 13,113                       | 12,739                       |
| Personal Service   | 12,061                     | 13,731                     | 12,138                     | 12,229                       | 12,699                       | 12,320                       |
| Non-Personal Service   | 553                        | 386                        | 406                        | 414                          | 414                          | 419                          |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>Deferred Compensation Board</b>                 | <b>39</b>          | <b>33</b>          | <b>57</b>          | <b>57</b>            | <b>58</b>            | <b>57</b>            |
| State Operations                                   | 39                 | 33                 | 57                 | 57                   | 58                   | 57                   |
| Personal Service                                   | 29                 | 33                 | 32                 | 32                   | 33                   | 32                   |
| Non-Personal Service                               | 10                 | 0                  | 25                 | 25                   | 25                   | 25                   |
| <b>Elections, State Board of</b>                   | <b>7,084</b>       | <b>7,769</b>       | <b>9,837</b>       | <b>10,147</b>        | <b>10,583</b>        | <b>10,054</b>        |
| Local Assistance                                   | 93                 | 50                 | 0                  | 0                    | 0                    | 0                    |
| State Operations                                   | 6,991              | 7,719              | 9,837              | 10,147               | 10,583               | 10,054               |
| Personal Service                                   | 5,765              | 6,077              | 6,143              | 6,288                | 6,571                | 6,478                |
| Non-Personal Service                               | 1,226              | 1,642              | 3,694              | 3,859                | 4,012                | 3,576                |
| <b>Employee Relations, Office of</b>               | <b>2,334</b>       | <b>3,569</b>       | <b>2,601</b>       | <b>2,621</b>         | <b>2,719</b>         | <b>2,621</b>         |
| State Operations                                   | 2,334              | 3,569              | 2,601              | 2,621                | 2,719                | 2,621                |
| Personal Service                                   | 2,269              | 2,515              | 2,529              | 2,548                | 2,646                | 2,548                |
| Non-Personal Service                               | 65                 | 1,054              | 72                 | 73                   | 73                   | 73                   |
| <b>Gaming Commission, New York State</b>           | <b>5,709</b>       | <b>4,373</b>       | <b>6,362</b>       | <b>6,362</b>         | <b>6,527</b>         | <b>6,362</b>         |
| State Operations                                   | 5,709              | 4,373              | 6,362              | 6,362                | 6,527                | 6,362                |
| Personal Service                                   | 3,724              | 2,872              | 4,282              | 4,282                | 4,447                | 4,282                |
| Non-Personal Service                               | 1,985              | 1,501              | 2,080              | 2,080                | 2,080                | 2,080                |
| <b>General Services, Office of</b>                 | <b>153,855</b>     | <b>138,445</b>     | <b>132,981</b>     | <b>128,903</b>       | <b>127,600</b>       | <b>126,196</b>       |
| State Operations                                   | 153,855            | 138,445            | 132,981            | 128,903              | 127,600              | 126,196              |
| Personal Service                                   | 73,515             | 63,907             | 47,592             | 47,592               | 50,978               | 48,587               |
| Non-Personal Service                               | 80,340             | 74,538             | 85,389             | 81,311               | 76,622               | 77,609               |
| <b>Inspector General, Office of the</b>            | <b>7,179</b>       | <b>7,166</b>       | <b>7,427</b>       | <b>7,487</b>         | <b>7,826</b>         | <b>8,061</b>         |
| State Operations                                   | 7,179              | 7,166              | 7,427              | 7,487                | 7,826                | 8,061                |
| Personal Service                                   | 5,833              | 6,223              | 6,600              | 6,648                | 6,970                | 6,857                |
| Non-Personal Service                               | 1,346              | 943                | 827                | 839                  | 856                  | 1,204                |
| <b>Labor Management Committees</b>                 | <b>28,818</b>      | <b>24,773</b>      | <b>31,000</b>      | <b>31,000</b>        | <b>31,211</b>        | <b>31,000</b>        |
| State Operations                                   | 28,818             | 24,773             | 31,000             | 31,000               | 31,211               | 31,000               |
| Personal Service                                   | 7,871              | 7,747              | 5,446              | 5,487                | 5,698                | 5,487                |
| Non-Personal Service                               | 20,947             | 17,026             | 25,554             | 25,513               | 25,513               | 25,513               |
| <b>Prevention of Domestic Violence, Office for</b> | <b>2,028</b>       | <b>2,349</b>       | <b>2,944</b>       | <b>3,044</b>         | <b>3,116</b>         | <b>3,054</b>         |
| Local Assistance                                   | 528                | 791                | 1,285              | 1,385                | 1,385                | 1,412                |
| State Operations                                   | 1,500              | 1,558              | 1,659              | 1,659                | 1,731                | 1,642                |
| Personal Service                                   | 1,338              | 1,412              | 1,469              | 1,491                | 1,524                | 1,431                |
| Non-Personal Service                               | 162                | 146                | 190                | 168                  | 207                  | 211                  |
| <b>Public Employment Relations Board</b>           | <b>3,269</b>       | <b>3,232</b>       | <b>3,560</b>       | <b>3,589</b>         | <b>3,719</b>         | <b>3,589</b>         |
| State Operations                                   | 3,269              | 3,232              | 3,560              | 3,589                | 3,719                | 3,589                |
| Personal Service                                   | 3,056              | 3,059              | 3,313              | 3,338                | 3,468                | 3,338                |
| Non-Personal Service                               | 213                | 173                | 247                | 251                  | 251                  | 251                  |
| <b>Public Integrity, Commission on</b>             | <b>4,876</b>       | <b>5,115</b>       | <b>5,576</b>       | <b>5,630</b>         | <b>5,835</b>         | <b>6,010</b>         |
| State Operations                                   | 4,876              | 5,115              | 5,576              | 5,630                | 5,835                | 6,010                |
| Personal Service                                   | 3,808              | 4,386              | 4,646              | 4,681                | 4,867                | 4,827                |
| Non-Personal Service                               | 1,068              | 729                | 930                | 949                  | 968                  | 1,183                |
| <b>State, Department of</b>                        | <b>24,962</b>      | <b>19,872</b>      | <b>23,414</b>      | <b>22,632</b>        | <b>15,994</b>        | <b>15,632</b>        |
| Local Assistance                                   | 11,701             | 9,122              | 14,022             | 13,440               | 6,440                | 6,440                |
| State Operations                                   | 13,261             | 10,750             | 9,392              | 9,192                | 9,554                | 9,192                |
| Personal Service                                   | 12,334             | 10,364             | 9,003              | 8,953                | 9,315                | 8,953                |
| Non-Personal Service                               | 927                | 386                | 389                | 239                  | 239                  | 239                  |
| <b>Tax Appeals, Division of</b>                    | <b>2,833</b>       | <b>2,688</b>       | <b>3,040</b>       | <b>3,040</b>         | <b>3,150</b>         | <b>3,040</b>         |
| State Operations                                   | 2,833              | 2,688              | 3,040              | 3,040                | 3,150                | 3,040                |
| Personal Service                                   | 2,604              | 2,541              | 2,870              | 2,870                | 2,980                | 2,870                |
| Non-Personal Service                               | 229                | 147                | 170                | 170                  | 170                  | 170                  |
| <b>Taxation and Finance, Department of</b>         | <b>263,572</b>     | <b>307,009</b>     | <b>255,928</b>     | <b>256,553</b>       | <b>265,246</b>       | <b>256,553</b>       |
| Local Assistance                                   | 908                | 888                | 926                | 926                  | 926                  | 926                  |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| State Operations                             | 262,664                    | 306,121                    | 255,002                    | 255,627                      | 264,320                      | 255,627                      |
| Personal Service                             | 234,901                    | 266,320                    | 219,408                    | 219,939                      | 227,328                      | 219,939                      |
| Non-Personal Service                         | 27,763                     | 39,801                     | 35,594                     | 35,688                       | 36,992                       | 35,688                       |
| <b>Technology, Office for</b>                | <b>548,366</b>             | <b>535,977</b>             | <b>544,532</b>             | <b>540,286</b>               | <b>550,699</b>               | <b>557,255</b>               |
| State Operations                             | 548,366                    | 535,977                    | 544,532                    | 540,286                      | 550,699                      | 557,255                      |
| Personal Service                             | 298,543                    | 289,994                    | 286,118                    | 291,603                      | 308,070                      | 303,412                      |
| Non-Personal Service                         | 249,823                    | 245,983                    | 258,414                    | 248,683                      | 242,629                      | 253,843                      |
| <b>Veterans' Affairs, Division of</b>        | <b>14,291</b>              | <b>13,791</b>              | <b>16,028</b>              | <b>13,873</b>                | <b>14,119</b>                | <b>14,054</b>                |
| Local Assistance                             | 8,340                      | 7,906                      | 9,792                      | 7,637                        | 7,637                        | 7,637                        |
| State Operations                             | 5,951                      | 5,885                      | 6,236                      | 6,236                        | 6,482                        | 6,417                        |
| Personal Service                             | 5,086                      | 5,512                      | 5,938                      | 5,938                        | 6,178                        | 6,107                        |
| Non-Personal Service                         | 865                        | 373                        | 298                        | 298                          | 304                          | 310                          |
| <b>Welfare Inspector General, Office of</b>  | <b>592</b>                 | <b>671</b>                 | <b>686</b>                 | <b>701</b>                   | <b>731</b>                   | <b>753</b>                   |
| State Operations                             | 592                        | 671                        | 686                        | 701                          | 731                          | 753                          |
| Personal Service                             | 565                        | 619                        | 621                        | 626                          | 654                          | 646                          |
| Non-Personal Service                         | 27                         | 52                         | 65                         | 75                           | 77                           | 107                          |
| <b>Functional Total</b>                      | <b>1,104,539</b>           | <b>1,114,880</b>           | <b>1,082,239</b>           | <b>1,071,463</b>             | <b>1,085,995</b>             | <b>1,079,925</b>             |
| <b>ELECTED OFFICIALS</b>                     |                            |                            |                            |                              |                              |                              |
| <b>Audit and Control, Department of</b>      | <b>165,774</b>             | <b>164,445</b>             | <b>166,737</b>             | <b>166,737</b>               | <b>172,424</b>               | <b>167,291</b>               |
| Local Assistance                             | 32,025                     | 32,025                     | 32,024                     | 32,024                       | 32,024                       | 32,024                       |
| State Operations                             | 133,749                    | 132,420                    | 134,713                    | 134,713                      | 140,400                      | 135,267                      |
| Personal Service                             | 103,758                    | 107,894                    | 109,470                    | 109,470                      | 114,603                      | 109,470                      |
| Non-Personal Service                         | 29,991                     | 24,526                     | 25,243                     | 25,243                       | 25,797                       | 25,797                       |
| <b>Executive Chamber</b>                     | <b>14,653</b>              | <b>13,560</b>              | <b>13,578</b>              | <b>13,578</b>                | <b>14,032</b>                | <b>13,578</b>                |
| State Operations                             | 14,653                     | 13,560                     | 13,578                     | 13,578                       | 14,032                       | 13,578                       |
| Personal Service                             | 10,746                     | 9,979                      | 11,113                     | 11,113                       | 11,567                       | 11,113                       |
| Non-Personal Service                         | 3,907                      | 3,581                      | 2,465                      | 2,465                        | 2,465                        | 2,465                        |
| <b>Judiciary</b>                             | <b>2,589,330</b>           | <b>2,691,658</b>           | <b>2,768,354</b>           | <b>2,783,829</b>             | <b>2,840,829</b>             | <b>2,783,829</b>             |
| Local Assistance                             | 2,443                      | 2,974                      | 3,500                      | 18,500                       | 18,500                       | 18,500                       |
| State Operations                             | 1,911,837                  | 1,966,636                  | 2,020,225                  | 2,009,200                    | 2,066,200                    | 2,009,200                    |
| Personal Service                             | 1,509,278                  | 1,565,719                  | 1,608,025                  | 1,597,000                    | 1,654,000                    | 1,597,000                    |
| Non-Personal Service                         | 402,559                    | 400,917                    | 412,200                    | 412,200                      | 412,200                      | 412,200                      |
| General State Charges                        | 675,050                    | 722,048                    | 744,629                    | 756,129                      | 756,129                      | 756,129                      |
| <b>Law, Department of</b>                    | <b>104,150</b>             | <b>105,993</b>             | <b>107,538</b>             | <b>108,461</b>               | <b>113,235</b>               | <b>108,735</b>               |
| State Operations                             | 104,150                    | 105,993                    | 107,538                    | 108,461                      | 113,235                      | 108,735                      |
| Personal Service                             | 92,699                     | 95,500                     | 95,988                     | 96,653                       | 101,153                      | 96,653                       |
| Non-Personal Service                         | 11,451                     | 10,493                     | 11,550                     | 11,808                       | 12,082                       | 12,082                       |
| <b>Legislature</b>                           | <b>224,312</b>             | <b>222,212</b>             | <b>228,908</b>             | <b>233,486</b>               | <b>238,156</b>               | <b>242,919</b>               |
| State Operations                             | 224,312                    | 222,212                    | 228,908                    | 233,486                      | 238,156                      | 242,919                      |
| Personal Service                             | 170,623                    | 174,350                    | 175,541                    | 179,052                      | 182,633                      | 186,286                      |
| Non-Personal Service                         | 53,689                     | 47,862                     | 53,367                     | 54,434                       | 55,523                       | 56,633                       |
| <b>Lieutenant Governor, Office of the</b>    | <b>510</b>                 | <b>492</b>                 | <b>614</b>                 | <b>614</b>                   | <b>634</b>                   | <b>614</b>                   |
| State Operations                             | 510                        | 492                        | 614                        | 614                          | 634                          | 614                          |
| Personal Service                             | 364                        | 382                        | 523                        | 523                          | 543                          | 523                          |
| Non-Personal Service                         | 146                        | 110                        | 91                         | 91                           | 91                           | 91                           |
| <b>Functional Total</b>                      | <b>3,098,729</b>           | <b>3,198,360</b>           | <b>3,285,729</b>           | <b>3,306,705</b>             | <b>3,379,310</b>             | <b>3,316,966</b>             |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>           |                            |                            |                            |                              |                              |                              |
| <b>Aid and Incentives for Municipalities</b> | <b>714,839</b>             | <b>721,920</b>             | <b>723,783</b>             | <b>731,363</b>               | <b>763,347</b>               | <b>763,347</b>               |
| Local Assistance                             | 714,732                    | 721,789                    | 723,533                    | 731,363                      | 763,347                      | 763,347                      |
| State Operations                             | 107                        | 131                        | 250                        | 0                            | 0                            | 0                            |
| Non-Personal Service                         | 107                        | 131                        | 250                        | 0                            | 0                            | 0                            |

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND (excludes transfers)**  
(thousands of dollars)

|   | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>County-Wide Shared Services Initiative</b> | <b>0</b>                   | <b>0</b>                   | <b>0</b>                   | <b>100,000</b>               | <b>125,000</b>               | <b>0</b>                     |
| Local Assistance                              | 0                          | 0                          | 0                          | 100,000                      | 125,000                      | 0                            |
| <b>Efficiency Incentive Grants Program</b>    | <b>0</b>                   | <b>348</b>                 | <b>0</b>                   | <b>0</b>                     | <b>0</b>                     | <b>0</b>                     |
| Local Assistance                              | 0                          | 348                        | 0                          | 0                            | 0                            | 0                            |
| <b>Miscellaneous Financial Assistance</b>     | <b>16,046</b>              | <b>9,270</b>               | <b>12,001</b>              | <b>2,250</b>                 | <b>2,250</b>                 | <b>2,250</b>                 |
| Local Assistance                              | 16,046                     | 9,270                      | 12,001                     | 2,250                        | 2,250                        | 2,250                        |
| <b>Municipalities with VLT Facilities</b>     | <b>29,331</b>              | <b>28,885</b>              | <b>28,885</b>              | <b>28,885</b>                | <b>28,885</b>                | <b>28,885</b>                |
| Local Assistance                              | 29,331                     | 28,885                     | 28,885                     | 28,885                       | 28,885                       | 28,885                       |
| <b>Small Government Assistance</b>            | <b>217</b>                 | <b>217</b>                 | <b>218</b>                 | <b>218</b>                   | <b>218</b>                   | <b>218</b>                   |
| Local Assistance                              | 217                        | 217                        | 218                        | 218                          | 218                          | 218                          |
| <b>Functional Total</b>                       | <b>760,433</b>             | <b>760,640</b>             | <b>764,887</b>             | <b>862,716</b>               | <b>919,700</b>               | <b>794,700</b>               |
| <b>ALL OTHER CATEGORIES</b>                   |                            |                            |                            |                              |                              |                              |
| <b>General State Charges</b>                  | <b>4,782,944</b>           | <b>4,842,816</b>           | <b>6,642,283</b>           | <b>7,020,211</b>             | <b>7,558,961</b>             | <b>8,129,073</b>             |
| General State Charges                         | 4,782,944                  | 4,842,816                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| <b>Miscellaneous</b>                          | <b>(69,320)</b>            | <b>(18,274)</b>            | <b>1,232,592</b>           | <b>1,323,717</b>             | <b>1,981,561</b>             | <b>1,973,684</b>             |
| Local Assistance                              | (95,195)                   | (69,431)                   | 677,683                    | 704,390                      | 1,118,934                    | 1,111,057                    |
| State Operations                              | 21,571                     | 44,005                     | 550,489                    | 614,907                      | 858,207                      | 858,207                      |
| Personal Service                              | 49                         | 34                         | 259,056                    | 327,195                      | 554,995                      | 554,995                      |
| Non-Personal Service                          | 21,522                     | 43,971                     | 291,433                    | 287,712                      | 303,212                      | 303,212                      |
| General State Charges                         | 4,304                      | 7,152                      | 4,420                      | 4,420                        | 4,420                        | 4,420                        |
| <b>Functional Total</b>                       | <b>4,713,624</b>           | <b>4,824,542</b>           | <b>7,874,875</b>           | <b>8,343,928</b>             | <b>9,540,522</b>             | <b>10,102,757</b>            |
| <b>TOTAL GENERAL FUND SPENDING</b>            | <b>57,987,962</b>          | <b>59,872,111</b>          | <b>70,254,633</b>          | <b>73,711,132</b>            | <b>78,082,807</b>            | <b>80,808,902</b>            |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>          |                            |                            |                            |                              |                              |                              |
| Agriculture and Markets, Department of                        | 64,846                     | 65,366                     | 70,719                     | 61,430                       | 57,441                       | 56,380                       |
| Alcoholic Beverage Control, Division of                       | 10,843                     | 11,819                     | 12,483                     | 11,244                       | 11,560                       | 11,244                       |
| Economic Development, Department of                           | 73,002                     | 70,791                     | 74,040                     | 68,985                       | 68,985                       | 68,835                       |
| Empire State Development Corporation                          | 122,298                    | 124,880                    | 95,576                     | 86,746                       | 91,746                       | 91,746                       |
| Olympic Regional Development Authority                        | 2,736                      | 2,736                      | 11,143                     | 5,736                        | 5,736                        | 5,736                        |
| <b>Functional Total</b>                                       | <b>273,725</b>             | <b>275,592</b>             | <b>263,961</b>             | <b>234,141</b>               | <b>235,468</b>               | <b>233,941</b>               |
| <b>PARKS AND THE ENVIRONMENT</b>                              |                            |                            |                            |                              |                              |                              |
| Adirondack Park Agency  | 4,535                      | 4,468                      | 4,469                      | 4,414                        | 4,572                        | 4,414                        |
| Environmental Conservation, Department of                     | 92,279                     | 96,795                     | 106,048                    | 112,832                      | 129,102                      | 126,136                      |
| Parks, Recreation and Historic Preservation, Office of        | 113,058                    | 105,254                    | 104,718                    | 105,525                      | 110,473                      | 106,729                      |
| <b>Functional Total</b>                                       | <b>209,872</b>             | <b>206,517</b>             | <b>215,235</b>             | <b>222,771</b>               | <b>244,147</b>               | <b>237,279</b>               |
| <b>TRANSPORTATION</b>   |                            |                            |                            |                              |                              |                              |
| Motor Vehicles, Department of                                 | 0                          | 1,600                      | 12,088                     | 11,947                       | 12,186                       | 12,430                       |
| Transportation, Department of                                 | 107,383                    | 116,103                    | 574,281                    | 434,703                      | 435,765                      | 440,664                      |
| <b>Functional Total</b>                                       | <b>107,383</b>             | <b>117,703</b>             | <b>586,369</b>             | <b>446,650</b>               | <b>447,951</b>               | <b>453,094</b>               |
| <b>HEALTH</b>   |                            |                            |                            |                              |                              |                              |
| Aging, Office for the   | 126,347                    | 125,380                    | 130,509                    | 132,816                      | 138,041                      | 143,310                      |
| Health, Department of   | 13,614,139                 | 14,611,316                 | 15,527,506                 | 16,936,292                   | 17,971,558                   | 18,850,760                   |
| <i>Medical Assistance</i>                                     | 11,709,956                 | 13,111,453                 | 13,727,999                 | 15,305,819                   | 16,368,125                   | 17,263,123                   |
| <i>Essential Plan</i>   | 312,837                    | 87,638                     | 86,723                     | 84,224                       | 78,757                       | 76,397                       |
| <i>Medicaid Administration</i>                                | 728,644                    | 583,789                    | 917,523                    | 744,037                      | 721,913                      | 707,680                      |
| <i>Public Health</i>  | 862,702                    | 828,436                    | 795,261                    | 802,212                      | 802,763                      | 803,560                      |
| Medicaid Inspector General, Office of the                     | 20,489                     | 18,282                     | 18,111                     | 18,111                       | 18,718                       | 18,111                       |
| <b>Functional Total</b>                                       | <b>13,760,975</b>          | <b>14,754,978</b>          | <b>15,676,126</b>          | <b>17,087,219</b>            | <b>18,128,317</b>            | <b>19,012,181</b>            |
| <b>SOCIAL WELFARE</b>   |                            |                            |                            |                              |                              |                              |
| Children and Family Services, Office of                       | 1,929,379                  | 1,832,432                  | 1,958,154                  | 2,025,737                    | 2,111,037                    | 2,148,600                    |
| <i>OCFS</i>   | 1,847,132                  | 1,755,070                  | 1,873,412                  | 1,934,930                    | 2,017,050                    | 2,050,449                    |
| <i>OCFS - Other</i>   | 82,247                     | 77,362                     | 84,742                     | 90,807                       | 93,987                       | 98,151                       |
| Housing and Community Renewal, Division of                    | 12,376                     | 9,912                      | 13,956                     | 43,987                       | 44,995                       | 45,506                       |
| Human Rights, Division of                                     | 10,382                     | 9,465                      | 9,921                      | 9,921                        | 10,590                       | 10,230                       |
| Labor, Department of  | 15,392                     | 12,863                     | 14,800                     | 288                          | 349                          | 351                          |
| National and Community Service                                | 471                        | 770                        | 690                        | 690                          | 699                          | 699                          |
| Temporary and Disability Assistance, Office of                | 1,356,683                  | 1,351,164                  | 1,403,666                  | 1,487,645                    | 1,494,938                    | 1,501,458                    |
| <i>Welfare Assistance</i>                                     | 1,130,191                  | 1,137,324                  | 1,177,821                  | 1,241,366                    | 1,241,366                    | 1,241,366                    |
| <i>All Other</i>  | 226,492                    | 213,840                    | 225,845                    | 246,279                      | 253,572                      | 260,092                      |
| <b>Functional Total</b>                                       | <b>3,324,683</b>           | <b>3,216,606</b>           | <b>3,401,187</b>           | <b>3,568,268</b>             | <b>3,662,608</b>             | <b>3,706,844</b>             |
| <b>MENTAL HYGIENE</b>   |                            |                            |                            |                              |                              |                              |
| Alcoholism and Substance Abuse Services, Office of            | 27,098                     | 36,221                     | 427,899                    | 456,991                      | 477,050                      | 491,862                      |
| <i>OASAS</i>  | 5,773                      | 14,896                     | 362,890                    | 390,782                      | 409,399                      | 423,484                      |
| <i>OASAS - Other</i>  | 21,325                     | 21,325                     | 65,009                     | 66,209                       | 67,651                       | 68,378                       |
| Justice Center  | 37,222                     | 37,797                     | 40,192                     | 41,975                       | 43,859                       | 43,187                       |
| Mental Health, Office of                                      | 277,868                    | 273,626                    | 2,631,087                  | 2,763,159                    | 2,890,571                    | 2,992,284                    |
| <i>OMH</i>  | 7,368                      | 8,237                      | 1,312,570                  | 1,446,406                    | 1,529,736                    | 1,593,040                    |
| <i>OMH - Other</i>  | 270,500                    | 265,389                    | 1,318,517                  | 1,316,753                    | 1,360,835                    | 1,399,244                    |
| People with Developmental Disabilities, Office for            | 583,212                    | 438,201                    | 1,855,411                  | 2,146,103                    | 2,592,598                    | 2,516,055                    |
| <i>OPWDD</i>  | 92                         | 143                        | 388,271                    | 383,644                      | 389,573                      | 399,750                      |
| <i>OPWDD - Other</i>  | 583,120                    | 438,058                    | 1,467,140                  | 1,762,459                    | 2,203,025                    | 2,116,305                    |
| <b>Functional Total</b>                                       | <b>925,400</b>             | <b>785,845</b>             | <b>4,954,589</b>           | <b>5,408,228</b>             | <b>6,004,078</b>             | <b>6,043,388</b>             |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                     |                            |                            |                            |                              |                              |                              |
| Correction, Commission of                                     | 2,431                      | 2,594                      | 2,651                      | 2,651                        | 2,773                        | 2,735                        |
| Correctional Services, Department of                          | 2,643,575                  | 2,632,319                  | 2,608,171                  | 2,620,544                    | 2,626,174                    | 2,617,544                    |
| Corrections and Community Supervision Medicaid, Department of | 0                          | 0                          | 2,000                      | 2,000                        | 2,000                        | 0                            |
| Criminal Justice Services, Division of                        | 163,222                    | 170,421                    | 195,507                    | 165,721                      | 166,685                      | 166,415                      |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Homeland Security and Emergency Services, Division of | 6,640                      | 4,956                      | 6,572                      | 4,972                        | 4,972                        | 5,290                        |
| Judicial Conduct, Commission on                       | 5,505                      | 5,552                      | 5,696                      | 5,708                        | 5,936                        | 6,114                        |
| Judicial Nomination, Commission on                    | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| Judicial Screening Committees, New York State         | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| Military and Naval Affairs, Division of               | 24,734                     | 23,738                     | 21,438                     | 21,579                       | 21,875                       | 22,509                       |
| State Police, Division of                             | 652,546                    | 664,644                    | 651,846                    | 651,623                      | 676,833                      | 691,342                      |
| Statewide Financial System                            | 30,309                     | 30,137                     | 30,382                     | 30,506                       | 30,949                       | 31,117                       |
| Victim Services, Office of                            | 1,201                      | (514)                      | 0                          | 2,788                        | 2,788                        | 2,788                        |
| <b>Functional Total</b>                               | <b>3,530,182</b>           | <b>3,533,871</b>           | <b>3,524,331</b>           | <b>3,508,160</b>             | <b>3,541,053</b>             | <b>3,545,922</b>             |
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 1,424,632                  | 1,465,153                  | 1,493,737                  | 1,533,264                    | 1,572,884                    | 1,607,831                    |
| Higher Education Services Corporation, New York State | 957,504                    | 879,322                    | 1,080,675                  | 1,156,934                    | 1,175,207                    | 1,182,313                    |
| State University of New York                          | 492,548                    | 489,832                    | 482,989                    | 485,343                      | 485,343                      | 485,343                      |
| <b>Functional Total</b>                               | <b>2,874,684</b>           | <b>2,834,307</b>           | <b>3,057,401</b>           | <b>3,175,541</b>             | <b>3,233,434</b>             | <b>3,275,487</b>             |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Arts, Council on the                                  | 42,832                     | 39,210                     | 45,315                     | 45,155                       | 45,251                       | 45,155                       |
| Education, Department of                              | 23,260,901                 | 24,209,060                 | 25,522,389                 | 26,430,187                   | 27,614,973                   | 28,961,263                   |
| <i>School Aid</i>                                     | 20,959,530                 | 21,953,910                 | 23,043,568                 | 24,011,597                   | 25,115,674                   | 26,375,025                   |
| <i>School Aid – Other</i>                             | 57,079                     | 61,169                     | 99,342                     | 78,195                       | 78,195                       | 78,195                       |
| <i>Special Education Categorical Programs</i>         | 1,317,359                  | 1,263,705                  | 1,328,600                  | 1,349,837                    | 1,417,421                    | 1,483,266                    |
| <i>All Other</i>                                      | 926,933                    | 930,276                    | 1,050,879                  | 990,558                      | 1,003,683                    | 1,024,777                    |
| <b>Functional Total</b>                               | <b>23,303,733</b>          | <b>24,248,270</b>          | <b>25,567,704</b>          | <b>26,475,342</b>            | <b>27,660,224</b>            | <b>29,006,418</b>            |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 22,118                     | 23,931                     | 23,722                     | 22,895                       | 23,749                       | 22,895                       |
| Civil Service, Department of                          | 12,614                     | 14,117                     | 12,544                     | 12,643                       | 13,113                       | 12,739                       |
| Deferred Compensation Board                           | 39                         | 33                         | 57                         | 57                           | 58                           | 57                           |
| Elections, State Board of                             | 7,084                      | 7,769                      | 9,837                      | 10,147                       | 10,583                       | 10,054                       |
| Employee Relations, Office of                         | 2,334                      | 3,569                      | 2,601                      | 2,621                        | 2,719                        | 2,621                        |
| Gaming Commission, New York State                     | 5,709                      | 4,373                      | 6,362                      | 6,362                        | 6,527                        | 6,362                        |
| General Services, Office of                           | 153,855                    | 138,445                    | 132,981                    | 128,903                      | 127,600                      | 126,196                      |
| Inspector General, Office of the                      | 7,179                      | 7,166                      | 7,427                      | 7,487                        | 7,826                        | 8,061                        |
| Labor Management Committees                           | 28,818                     | 24,773                     | 31,000                     | 31,000                       | 31,211                       | 31,000                       |
| Prevention of Domestic Violence, Office for           | 2,028                      | 2,349                      | 2,944                      | 3,044                        | 3,116                        | 3,054                        |
| Public Employment Relations Board                     | 3,269                      | 3,232                      | 3,560                      | 3,589                        | 3,719                        | 3,589                        |
| Public Integrity, Commission on                       | 4,876                      | 5,115                      | 5,576                      | 5,630                        | 5,835                        | 6,010                        |
| State, Department of                                  | 24,962                     | 19,872                     | 23,414                     | 22,632                       | 15,994                       | 15,632                       |
| Tax Appeals, Division of                              | 2,833                      | 2,688                      | 3,040                      | 3,040                        | 3,150                        | 3,040                        |
| Taxation and Finance, Department of                   | 263,572                    | 307,009                    | 255,928                    | 256,553                      | 265,246                      | 256,553                      |
| Technology, Office for                                | 548,366                    | 535,977                    | 544,532                    | 540,286                      | 550,699                      | 557,255                      |
| Veterans' Affairs, Division of                        | 14,291                     | 13,791                     | 16,028                     | 13,873                       | 14,119                       | 14,054                       |
| Welfare Inspector General, Office of                  | 592                        | 671                        | 686                        | 701                          | 731                          | 753                          |
| <b>Functional Total</b>                               | <b>1,104,539</b>           | <b>1,114,880</b>           | <b>1,082,239</b>           | <b>1,071,463</b>             | <b>1,085,995</b>             | <b>1,079,925</b>             |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 165,774                    | 164,445                    | 166,737                    | 166,737                      | 172,424                      | 167,291                      |
| Executive Chamber                                     | 14,653                     | 13,560                     | 13,578                     | 13,578                       | 14,032                       | 13,578                       |
| Judiciary   | 2,589,330                  | 2,691,657                  | 2,768,354                  | 2,783,829                    | 2,840,829                    | 2,783,829                    |
| Law, Department of                                    | 104,150                    | 105,993                    | 107,538                    | 108,461                      | 113,235                      | 108,735                      |
| Legislature   | 224,312                    | 222,212                    | 228,908                    | 233,486                      | 238,156                      | 242,919                      |
| Lieutenant Governor, Office of the                    | 510                        | 492                        | 614                        | 614                          | 634                          | 614                          |
| <b>Functional Total</b>                               | <b>3,098,729</b>           | <b>3,198,359</b>           | <b>3,285,729</b>           | <b>3,306,705</b>             | <b>3,379,310</b>             | <b>3,316,966</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>LOCAL GOVERNMENT ASSISTANCE</b>     |                            |                            |                            |                              |                              |                              |
| Aid and Incentives for Municipalities  | 714,839                    | 721,920                    | 723,783                    | 731,363                      | 763,347                      | 763,347                      |
| County-Wide Shared Services Initiative | 0                          | 0                          | 0                          | 100,000                      | 125,000                      | 0                            |
| Efficiency Incentive Grants Program    | 0                          | 348                        | 0                          | 0                            | 0                            | 0                            |
| Miscellaneous Financial Assistance     | 16,046                     | 9,270                      | 12,001                     | 2,250                        | 2,250                        | 2,250                        |
| Municipalities with VLT Facilities     | 29,331                     | 28,885                     | 28,885                     | 28,885                       | 28,885                       | 28,885                       |
| Small Government Assistance            | 217                        | 217                        | 218                        | 218                          | 218                          | 218                          |
| <b>Functional Total</b>                | <b>760,433</b>             | <b>760,640</b>             | <b>764,887</b>             | <b>862,716</b>               | <b>919,700</b>               | <b>794,700</b>               |
| <b>ALL OTHER CATEGORIES</b>            |                            |                            |                            |                              |                              |                              |
| General State Charges                  | 4,782,943                  | 4,842,815                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| Miscellaneous                          | (69,320)                   | (18,274)                   | 1,232,592                  | 1,323,717                    | 1,981,561                    | 1,973,684                    |
| <b>Functional Total</b>                | <b>4,713,623</b>           | <b>4,824,541</b>           | <b>7,874,875</b>           | <b>8,343,928</b>             | <b>9,540,522</b>             | <b>10,102,757</b>            |
| <b>TOTAL GENERAL FUND SPENDING</b>     | <b>57,987,961</b>          | <b>59,872,109</b>          | <b>70,254,633</b>          | <b>73,711,132</b>            | <b>78,082,807</b>            | <b>80,808,902</b>            |



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>          |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                        | 31,980             | 32,318             | 38,271             | 28,757               | 24,757               | 24,907               |
| Economic Development, Department of                           | 53,748             | 52,871             | 58,699             | 53,644               | 53,644               | 53,494               |
| Empire State Development Corporation                          | 122,298            | 124,880            | 95,576             | 86,746               | 91,746               | 91,746               |
| Olympic Regional Development Authority                        | 0                  | 0                  | 2,360              | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                                       | <b>208,026</b>     | <b>210,069</b>     | <b>194,906</b>     | <b>169,147</b>       | <b>170,147</b>       | <b>170,147</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                              |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of                     | 1,906              | 2,491              | 4,095              | 3,019                | 3,019                | 3,019                |
| Parks, Recreation and Historic Preservation, Office of        | 4,298              | 2,931              | 406                | 100                  | 100                  | 100                  |
| <b>Functional Total</b>                                       | <b>6,204</b>       | <b>5,422</b>       | <b>4,501</b>       | <b>3,119</b>         | <b>3,119</b>         | <b>3,119</b>         |
| <b>TRANSPORTATION</b>   |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                                 | 0                  | 1,600              | 375                | 0                    | 0                    | 0                    |
| Transportation, Department of                                 | 106,214            | 114,708            | 303,851            | 100,851              | 100,851              | 100,851              |
| <b>Functional Total</b>                                       | <b>106,214</b>     | <b>116,308</b>     | <b>304,226</b>     | <b>100,851</b>       | <b>100,851</b>       | <b>100,851</b>       |
| <b>HEALTH</b>   |                    |                    |                    |                      |                      |                      |
| Aging, Office for the   | 125,116            | 124,180            | 129,278            | 131,585              | 136,767              | 142,079              |
| Health, Department of   | 13,176,706         | 14,105,025         | 14,974,669         | 16,402,316           | 17,445,786           | 18,329,114           |
| <i>Medical Assistance</i>                                     | 11,709,956         | 13,111,453         | 13,727,999         | 15,305,819           | 16,368,125           | 17,263,123           |
| <i>Essential Plan</i>   | 269,000            | 0                  | 0                  | 0                    | 0                    | 0                    |
| <i>Medicaid Administration</i>                                | 467,643            | 286,336            | 585,173            | 428,149              | 411,867              | 396,295              |
| <i>Public Health</i>  | 730,107            | 707,236            | 661,497            | 668,348              | 665,794              | 669,696              |
| <b>Functional Total</b>                                       | <b>13,301,822</b>  | <b>14,229,205</b>  | <b>15,103,947</b>  | <b>16,533,901</b>    | <b>17,582,553</b>    | <b>18,471,193</b>    |
| <b>SOCIAL WELFARE</b>   |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                       | 1,712,175          | 1,604,882          | 1,687,489          | 1,668,809            | 1,687,414            | 1,722,595            |
| <i>OCFS</i>   | 1,629,928          | 1,527,520          | 1,602,747          | 1,578,002            | 1,593,427            | 1,624,444            |
| <i>OCFS - Other</i>   | 82,247             | 77,362             | 84,742             | 90,807               | 93,987               | 98,151               |
| Housing and Community Renewal, Division of                    | 4,585              | 4,119              | 9,406              | 39,437               | 40,090               | 40,765               |
| Labor, Department of  | 15,081             | 12,666             | 14,512             | 0                    | 0                    | 0                    |
| National and Community Service                                | 267                | 477                | 350                | 350                  | 350                  | 350                  |
| Temporary and Disability Assistance, Office of                | 1,220,003          | 1,229,518          | 1,279,914          | 1,357,562            | 1,360,362            | 1,364,162            |
| <i>Welfare Assistance</i>                                     | 1,130,191          | 1,137,324          | 1,177,821          | 1,241,366            | 1,241,366            | 1,241,366            |
| <i>All Other</i>  | 89,812             | 92,194             | 102,093            | 116,196              | 118,996              | 122,796              |
| <b>Functional Total</b>                                       | <b>2,952,111</b>   | <b>2,851,662</b>   | <b>2,991,671</b>   | <b>3,066,158</b>     | <b>3,088,216</b>     | <b>3,127,872</b>     |
| <b>MENTAL HYGIENE</b>   |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of            | 27,098             | 36,221             | 350,720            | 377,702              | 395,260              | 409,715              |
| <i>OASAS</i>  | 5,773              | 14,896             | 329,395            | 356,377              | 373,935              | 388,390              |
| <i>OASAS - Other</i>  | 21,325             | 21,325             | 21,325             | 21,325               | 21,325               | 21,325               |
| Justice Center  | 200                | 170                | 170                | 170                  | 170                  | 170                  |
| Mental Health, Office of                                      | 277,087            | 272,929            | 1,294,888          | 1,405,987            | 1,496,836            | 1,564,221            |
| <i>OMH</i>  | 6,587              | 7,540              | 995,563            | 1,096,276            | 1,176,206            | 1,232,122            |
| <i>OMH - Other</i>  | 270,500            | 265,389            | 299,325            | 309,711              | 320,630              | 332,099              |
| People with Developmental Disabilities, Office for            | 583,212            | 438,201            | 529,772            | 818,470              | 1,228,444            | 1,136,942            |
| <i>OPWDD</i>  | 92                 | 143                | 388,271            | 383,644              | 389,573              | 399,750              |
| <i>OPWDD - Other</i>  | 583,120            | 438,058            | 141,501            | 434,826              | 838,871              | 737,192              |
| <b>Functional Total</b>                                       | <b>887,597</b>     | <b>747,521</b>     | <b>2,175,550</b>   | <b>2,602,329</b>     | <b>3,120,710</b>     | <b>3,111,048</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                     |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                          | 4,457              | 5,686              | 4,836              | 4,836                | 4,836                | 4,836                |
| Corrections and Community Supervision Medicaid, Department of | 0                  | 0                  | 2,000              | 2,000                | 2,000                | 0                    |
| Criminal Justice Services, Division of                        | 129,354            | 136,570            | 161,786            | 132,000              | 132,000              | 132,000              |
| Homeland Security and Emergency Services, Division of         | 5,501              | 3,886              | 5,572              | 3,972                | 3,972                | 4,290                |
| Military and Naval Affairs, Division of                       | 786                | 819                | 820                | 820                  | 820                  | 886                  |
| Victim Services, Office of                                    | 1,201              | (514)              | 0                  | 2,788                | 2,788                | 2,788                |
| <b>Functional Total</b>                                       | <b>141,299</b>     | <b>146,447</b>     | <b>175,014</b>     | <b>146,416</b>       | <b>146,416</b>       | <b>144,800</b>       |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 1,424,129                  | 1,465,153                  | 1,493,737                  | 1,533,264                    | 1,572,884                    | 1,607,831                    |
| Higher Education Services Corporation, New York State | 957,504                    | 879,322                    | 1,080,675                  | 1,156,934                    | 1,175,207                    | 1,182,313                    |
| State University of New York                          | 491,891                    | 488,783                    | 482,289                    | 484,643                      | 484,643                      | 484,643                      |
| <b>Functional Total</b>                               | <b>2,873,524</b>           | <b>2,833,258</b>           | <b>3,056,701</b>           | <b>3,174,841</b>             | <b>3,232,734</b>             | <b>3,274,787</b>             |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Arts, Council on the                                  | 38,907                     | 34,950                     | 40,995                     | 40,835                       | 40,835                       | 40,835                       |
| Education, Department of                              | 23,202,528                 | 24,152,664                 | 25,461,603                 | 26,371,949                   | 27,555,763                   | 28,903,025                   |
| <i>School Aid</i>                                     | 20,959,530                 | 21,953,910                 | 23,043,568                 | 24,011,597                   | 25,115,674                   | 26,375,025                   |
| <i>School Aid – Other</i>                             | 57,079                     | 61,169                     | 99,342                     | 78,195                       | 78,195                       | 78,195                       |
| <i>Special Education Categorical Programs</i>         | 1,317,359                  | 1,263,705                  | 1,328,600                  | 1,349,837                    | 1,417,421                    | 1,483,266                    |
| <i>All Other</i>                                      | 868,560                    | 873,880                    | 990,093                    | 932,320                      | 944,473                      | 966,539                      |
| <b>Functional Total</b>                               | <b>23,241,435</b>          | <b>24,187,614</b>          | <b>25,502,598</b>          | <b>26,412,784</b>            | <b>27,596,598</b>            | <b>28,943,860</b>            |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Elections, State Board of                             | 93                         | 50                         | 0                          | 0                            | 0                            | 0                            |
| Prevention of Domestic Violence, Office for           | 528                        | 791                        | 1,285                      | 1,385                        | 1,385                        | 1,412                        |
| State, Department of                                  | 11,701                     | 9,122                      | 14,022                     | 13,440                       | 6,440                        | 6,440                        |
| Taxation and Finance, Department of                   | 908                        | 888                        | 926                        | 926                          | 926                          | 926                          |
| Veterans' Affairs, Division of                        | 8,340                      | 7,906                      | 9,792                      | 7,637                        | 7,637                        | 7,637                        |
| <b>Functional Total</b>                               | <b>21,570</b>              | <b>18,757</b>              | <b>26,025</b>              | <b>23,388</b>                | <b>16,388</b>                | <b>16,415</b>                |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 32,025                     | 32,025                     | 32,024                     | 32,024                       | 32,024                       | 32,024                       |
| Judiciary   | 2,443                      | 2,974                      | 3,500                      | 18,500                       | 18,500                       | 18,500                       |
| <b>Functional Total</b>                               | <b>34,468</b>              | <b>34,999</b>              | <b>35,524</b>              | <b>50,524</b>                | <b>50,524</b>                | <b>50,524</b>                |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                    |                            |                            |                            |                              |                              |                              |
| Aid and Incentives for Municipalities                 | 714,732                    | 721,789                    | 723,533                    | 731,363                      | 763,347                      | 763,347                      |
| County-Wide Shared Services Initiative                | 0                          | 0                          | 0                          | 100,000                      | 125,000                      | 0                            |
| Efficiency Incentive Grants Program                   | 0                          | 348                        | 0                          | 0                            | 0                            | 0                            |
| Miscellaneous Financial Assistance                    | 16,046                     | 9,270                      | 12,001                     | 2,250                        | 2,250                        | 2,250                        |
| Municipalities with VLT Facilities                    | 29,331                     | 28,885                     | 28,885                     | 28,885                       | 28,885                       | 28,885                       |
| Small Government Assistance                           | 217                        | 217                        | 218                        | 218                          | 218                          | 218                          |
| <b>Functional Total</b>                               | <b>760,326</b>             | <b>760,509</b>             | <b>764,637</b>             | <b>862,716</b>               | <b>919,700</b>               | <b>794,700</b>               |
| <b>ALL OTHER CATEGORIES</b>                           |                            |                            |                            |                              |                              |                              |
| Miscellaneous   | (95,195)                   | (69,431)                   | 677,683                    | 704,390                      | 1,118,934                    | 1,111,057                    |
| <b>Functional Total</b>                               | <b>(95,195)</b>            | <b>(69,431)</b>            | <b>677,683</b>             | <b>704,390</b>               | <b>1,118,934</b>             | <b>1,111,057</b>             |
| <b>TOTAL LOCAL ASSISTANCE SPENDING</b>                | <b>44,439,401</b>          | <b>46,072,340</b>          | <b>51,012,983</b>          | <b>53,850,564</b>            | <b>57,146,890</b>            | <b>59,320,373</b>            |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 32,866             | 33,048             | 32,448             | 32,673               | 32,684               | 31,473               |
| Alcoholic Beverage Control, Division of                | 10,843             | 11,819             | 12,483             | 11,244               | 11,560               | 11,244               |
| Economic Development, Department of                    | 19,254             | 17,920             | 15,341             | 15,341               | 15,341               | 15,341               |
| Olympic Regional Development Authority                 | 2,736              | 2,736              | 8,783              | 5,736                | 5,736                | 5,736                |
| <b>Functional Total</b>                                | <b>65,699</b>      | <b>65,523</b>      | <b>69,055</b>      | <b>64,994</b>        | <b>65,321</b>        | <b>63,794</b>        |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,535              | 4,468              | 4,469              | 4,414                | 4,572                | 4,414                |
| Environmental Conservation, Department of              | 90,373             | 94,304             | 101,953            | 109,813              | 126,083              | 123,117              |
| Parks, Recreation and Historic Preservation, Office of | 108,760            | 102,323            | 104,312            | 105,425              | 110,373              | 106,629              |
| <b>Functional Total</b>                                | <b>203,668</b>     | <b>201,095</b>     | <b>210,734</b>     | <b>219,652</b>       | <b>241,028</b>       | <b>234,160</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 0                  | 0                  | 11,713             | 11,947               | 12,186               | 12,430               |
| Transportation, Department of                          | 1,169              | 1,395              | 270,430            | 333,852              | 334,914              | 339,813              |
| <b>Functional Total</b>                                | <b>1,169</b>       | <b>1,395</b>       | <b>282,143</b>     | <b>345,799</b>       | <b>347,100</b>       | <b>352,243</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 1,231              | 1,200              | 1,231              | 1,231                | 1,274                | 1,231                |
| Health, Department of                                  | 437,433            | 506,291            | 552,837            | 533,976              | 525,772              | 521,646              |
| <i>Essential Plan</i>                                  | 43,837             | 87,638             | 86,723             | 84,224               | 78,757               | 76,397               |
| <i>Medicaid Administration</i>                         | 261,001            | 297,453            | 332,350            | 315,888              | 310,046              | 311,385              |
| <i>Public Health</i>                                   | 132,595            | 121,200            | 133,764            | 133,864              | 136,969              | 133,864              |
| Medicaid Inspector General, Office of the              | 20,489             | 18,282             | 18,111             | 18,111               | 18,718               | 18,111               |
| <b>Functional Total</b>                                | <b>459,153</b>     | <b>525,773</b>     | <b>572,179</b>     | <b>553,318</b>       | <b>545,764</b>       | <b>540,988</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 217,204            | 227,550            | 270,665            | 356,928              | 423,623              | 426,005              |
| <i>OCFS</i>  | 217,204            | 227,550            | 270,665            | 356,928              | 423,623              | 426,005              |
| Housing and Community Renewal, Division of             | 7,791              | 5,793              | 4,550              | 4,550                | 4,905                | 4,741                |
| Human Rights, Division of                              | 10,382             | 9,465              | 9,921              | 9,921                | 10,590               | 10,230               |
| Labor, Department of                                   | 311                | 197                | 288                | 288                  | 349                  | 351                  |
| National and Community Service                         | 204                | 293                | 340                | 340                  | 349                  | 349                  |
| Temporary and Disability Assistance, Office of         | 136,680            | 121,646            | 123,752            | 130,083              | 134,576              | 137,296              |
| <i>All Other</i>                                       | 136,680            | 121,646            | 123,752            | 130,083              | 134,576              | 137,296              |
| <b>Functional Total</b>                                | <b>372,572</b>     | <b>364,944</b>     | <b>409,516</b>     | <b>502,110</b>       | <b>574,392</b>       | <b>578,972</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 0                  | 0                  | 77,179             | 79,289               | 81,790               | 82,147               |
| <i>OASAS</i>   | 0                  | 0                  | 33,495             | 34,405               | 35,464               | 35,094               |
| <i>OASAS - Other</i>                                   | 0                  | 0                  | 43,684             | 44,884               | 46,326               | 47,053               |
| Justice Center   | 37,022             | 37,627             | 40,022             | 41,805               | 43,689               | 43,017               |
| Mental Health, Office of                               | 781                | 697                | 1,336,199          | 1,357,172            | 1,393,735            | 1,428,063            |
| <i>OMH</i>   | 781                | 697                | 317,007            | 350,130              | 353,530              | 360,918              |
| <i>OMH - Other</i>                                     | 0                  | 0                  | 1,019,192          | 1,007,042            | 1,040,205            | 1,067,145            |
| People with Developmental Disabilities, Office for     | 0                  | 0                  | 1,325,639          | 1,327,633            | 1,364,154            | 1,379,113            |
| <i>OPWDD - Other</i>                                   | 0                  | 0                  | 1,325,639          | 1,327,633            | 1,364,154            | 1,379,113            |
| <b>Functional Total</b>                                | <b>37,803</b>      | <b>38,324</b>      | <b>2,779,039</b>   | <b>2,805,899</b>     | <b>2,883,368</b>     | <b>2,932,340</b>     |

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND**  
**STATE OPERATIONS**  
(thousands of dollars)

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>             |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                             | 2,431              | 2,594              | 2,651              | 2,651                | 2,773                | 2,735                |
| Correctional Services, Department of                  | 2,639,118          | 2,626,633          | 2,603,335          | 2,615,708            | 2,621,338            | 2,612,708            |
| Criminal Justice Services, Division of                | 33,868             | 33,851             | 33,721             | 33,721               | 34,685               | 34,415               |
| Homeland Security and Emergency Services, Division of | 1,139              | 1,070              | 1,000              | 1,000                | 1,000                | 1,000                |
| Judicial Conduct, Commission on                       | 5,505              | 5,552              | 5,696              | 5,708                | 5,936                | 6,114                |
| Judicial Nomination, Commission on                    | 10                 | 10                 | 30                 | 30                   | 30                   | 30                   |
| Judicial Screening Committees, New York State         | 9                  | 14                 | 38                 | 38                   | 38                   | 38                   |
| Military and Naval Affairs, Division of               | 23,948             | 22,919             | 20,618             | 20,759               | 21,055               | 21,623               |
| State Police, Division of                             | 652,546            | 664,644            | 651,846            | 651,623              | 676,833              | 691,342              |
| Statewide Financial System                            | 30,309             | 30,137             | 30,382             | 30,506               | 30,949               | 31,117               |
| <b>Functional Total</b>                               | <b>3,388,883</b>   | <b>3,387,424</b>   | <b>3,349,317</b>   | <b>3,361,744</b>     | <b>3,394,637</b>     | <b>3,401,122</b>     |
| <b>HIGHER EDUCATION</b>                               |                    |                    |                    |                      |                      |                      |
| City University of New York                           | 503                | 0                  | 0                  | 0                    | 0                    | 0                    |
| State University of New York                          | 657                | 1,049              | 700                | 700                  | 700                  | 700                  |
| <b>Functional Total</b>                               | <b>1,160</b>       | <b>1,049</b>       | <b>700</b>         | <b>700</b>           | <b>700</b>           | <b>700</b>           |
| <b>EDUCATION</b>                                      |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                                  | 3,925              | 4,260              | 4,320              | 4,320                | 4,416                | 4,320                |
| Education, Department of                              | 58,373             | 56,396             | 60,786             | 58,238               | 59,210               | 58,238               |
| <i>All Other</i>                                      | 58,373             | 56,396             | 60,786             | 58,238               | 59,210               | 58,238               |
| <b>Functional Total</b>                               | <b>62,298</b>      | <b>60,656</b>      | <b>65,106</b>      | <b>62,558</b>        | <b>63,626</b>        | <b>62,558</b>        |
| <b>GENERAL GOVERNMENT</b>                             |                    |                    |                    |                      |                      |                      |
| Budget, Division of the                               | 22,118             | 23,931             | 23,722             | 22,895               | 23,749               | 22,895               |
| Civil Service, Department of                          | 12,614             | 14,117             | 12,544             | 12,643               | 13,113               | 12,739               |
| Deferred Compensation Board                           | 39                 | 33                 | 57                 | 57                   | 58                   | 57                   |
| Elections, State Board of                             | 6,991              | 7,719              | 9,837              | 10,147               | 10,583               | 10,054               |
| Employee Relations, Office of                         | 2,334              | 3,569              | 2,601              | 2,621                | 2,719                | 2,621                |
| Gaming Commission, New York State                     | 5,709              | 4,373              | 6,362              | 6,362                | 6,527                | 6,362                |
| General Services, Office of                           | 153,855            | 138,445            | 132,981            | 128,903              | 127,600              | 126,196              |
| Inspector General, Office of the                      | 7,179              | 7,166              | 7,427              | 7,487                | 7,826                | 8,061                |
| Labor Management Committees                           | 28,818             | 24,773             | 31,000             | 31,000               | 31,211               | 31,000               |
| Prevention of Domestic Violence, Office for           | 1,500              | 1,558              | 1,659              | 1,659                | 1,731                | 1,642                |
| Public Employment Relations Board                     | 3,269              | 3,232              | 3,560              | 3,589                | 3,719                | 3,589                |
| Public Integrity, Commission on                       | 4,876              | 5,115              | 5,576              | 5,630                | 5,835                | 6,010                |
| State, Department of                                  | 13,261             | 10,750             | 9,392              | 9,192                | 9,554                | 9,192                |
| Tax Appeals, Division of                              | 2,833              | 2,688              | 3,040              | 3,040                | 3,150                | 3,040                |
| Taxation and Finance, Department of                   | 262,664            | 306,121            | 255,002            | 255,627              | 264,320              | 255,627              |
| Technology, Office for                                | 548,366            | 535,977            | 544,532            | 540,286              | 550,699              | 557,255              |
| Veterans' Affairs, Division of                        | 5,951              | 5,885              | 6,236              | 6,236                | 6,482                | 6,417                |
| Welfare Inspector General, Office of                  | 592                | 671                | 686                | 701                  | 731                  | 753                  |
| <b>Functional Total</b>                               | <b>1,082,969</b>   | <b>1,096,123</b>   | <b>1,056,214</b>   | <b>1,048,075</b>     | <b>1,069,607</b>     | <b>1,063,510</b>     |
| <b>ELECTED OFFICIALS</b>                              |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                      | 133,749            | 132,420            | 134,713            | 134,713              | 140,400              | 135,267              |
| Executive Chamber                                     | 14,653             | 13,560             | 13,578             | 13,578               | 14,032               | 13,578               |
| Judiciary   | 1,911,837          | 1,966,636          | 2,020,225          | 2,009,200            | 2,066,200            | 2,009,200            |
| Law, Department of                                    | 104,150            | 105,993            | 107,538            | 108,461              | 113,235              | 108,735              |
| Legislature   | 224,312            | 222,212            | 228,908            | 233,486              | 238,156              | 242,919              |
| Lieutenant Governor, Office of the                    | 510                | 492                | 614                | 614                  | 634                  | 614                  |
| <b>Functional Total</b>                               | <b>2,389,211</b>   | <b>2,441,313</b>   | <b>2,505,576</b>   | <b>2,500,052</b>     | <b>2,572,657</b>     | <b>2,510,313</b>     |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                    |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities                 | 107                | 131                | 250                | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                               | <b>107</b>         | <b>131</b>         | <b>250</b>         | <b>0</b>             | <b>0</b>             | <b>0</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ALL OTHER CATEGORIES</b>            |                            |                            |                            |                              |                              |                              |
| Miscellaneous                          | 21,571                     | 44,005                     | 550,489                    | 614,907                      | 858,207                      | 858,207                      |
| <b>Functional Total</b>                | <u>21,571</u>              | <u>44,005</u>              | <u>550,489</u>             | <u>614,907</u>               | <u>858,207</u>               | <u>858,207</u>               |
| <b>TOTAL STATE OPERATIONS SPENDING</b> | <u><u>8,086,263</u></u>    | <u><u>8,227,755</u></u>    | <u><u>11,850,318</u></u>   | <u><u>12,079,808</u></u>     | <u><u>12,616,407</u></u>     | <u><u>12,598,907</u></u>     |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 27,374             | 28,155             | 26,463             | 26,463               | 27,474               | 26,463               |
| Alcoholic Beverage Control, Division of                | 7,567              | 8,131              | 8,147              | 8,585                | 8,901                | 8,585                |
| Economic Development, Department of                    | 13,152             | 13,240             | 12,826             | 12,826               | 12,826               | 12,826               |
| Olympic Regional Development Authority                 | 2,548              | 2,548              | 5,595              | 2,548                | 2,548                | 2,548                |
| <b>Functional Total</b>                                | <b>50,641</b>      | <b>52,074</b>      | <b>53,031</b>      | <b>50,422</b>        | <b>51,749</b>        | <b>50,422</b>        |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,112              | 4,179              | 4,108              | 4,108                | 4,266                | 4,108                |
| Environmental Conservation, Department of              | 83,931             | 82,506             | 81,529             | 89,429               | 100,899              | 97,933               |
| Parks, Recreation and Historic Preservation, Office of | 103,327            | 96,935             | 96,377             | 97,490               | 102,438              | 98,694               |
| <b>Functional Total</b>                                | <b>191,370</b>     | <b>183,620</b>     | <b>182,014</b>     | <b>191,027</b>       | <b>207,603</b>       | <b>200,735</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 0                  | 0                  | 8,524              | 8,694                | 8,868                | 9,046                |
| Transportation, Department of                          | 0                  | 0                  | 158,494            | 166,980              | 166,980              | 166,980              |
| <b>Functional Total</b>                                | <b>0</b>           | <b>0</b>           | <b>167,018</b>     | <b>175,674</b>       | <b>175,848</b>       | <b>176,026</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 1,125              | 1,103              | 1,125              | 1,125                | 1,168                | 1,125                |
| Health, Department of                                  | 125,612            | 122,332            | 148,614            | 151,961              | 157,916              | 153,345              |
| <i>Essential Plan</i>                                  | 1,367              | 3,148              | 4,206              | 4,580                | 4,418                | 4,384                |
| <i>Medicaid Administration</i>                         | 36,908             | 37,196             | 51,113             | 54,086               | 57,098               | 55,666               |
| <i>Public Health</i>                                   | 87,337             | 81,988             | 93,295             | 93,295               | 96,400               | 93,295               |
| Medicaid Inspector General, Office of the              | 16,415             | 15,864             | 14,936             | 14,936               | 15,543               | 14,936               |
| <b>Functional Total</b>                                | <b>143,152</b>     | <b>139,299</b>     | <b>164,675</b>     | <b>168,022</b>       | <b>174,627</b>       | <b>169,406</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 166,257            | 168,186            | 189,011            | 258,122              | 311,749              | 311,892              |
| <i>OCFS</i>  | 166,257            | 168,186            | 189,011            | 258,122              | 311,749              | 311,892              |
| Housing and Community Renewal, Division of             | 5,000              | 3,866              | 4,199              | 4,199                | 4,492                | 4,328                |
| Human Rights, Division of                              | 9,533              | 8,662              | 9,461              | 9,461                | 10,121               | 9,752                |
| Labor, Department of                                   | 120                | 84                 | 88                 | 88                   | 94                   | 91                   |
| National and Community Service                         | 203                | 292                | 331                | 331                  | 340                  | 340                  |
| Temporary and Disability Assistance, Office of         | 73,429             | 64,895             | 68,444             | 73,603               | 76,972               | 78,522               |
| <i>All Other</i>                                       | 73,429             | 64,895             | 68,444             | 73,603               | 76,972               | 78,522               |
| <b>Functional Total</b>                                | <b>254,542</b>     | <b>245,985</b>     | <b>271,534</b>     | <b>345,804</b>       | <b>403,768</b>       | <b>404,925</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 0                  | 0                  | 59,954             | 60,914               | 62,716               | 62,362               |
| <i>OASAS</i>   | 0                  | 0                  | 26,697             | 27,133               | 27,919               | 27,270               |
| <i>OASAS - Other</i>                                   | 0                  | 0                  | 33,257             | 33,781               | 34,797               | 35,092               |
| Justice Center   | 28,113             | 30,029             | 31,377             | 32,717               | 34,340               | 33,397               |
| Mental Health, Office of                               | 0                  | 0                  | 1,086,872          | 1,095,948            | 1,119,662            | 1,130,847            |
| <i>OMH</i>   | 0                  | 0                  | 269,985            | 311,021              | 314,021              | 320,071              |
| <i>OMH - Other</i>                                     | 0                  | 0                  | 816,887            | 784,927              | 805,641              | 810,776              |
| People with Developmental Disabilities, Office for     | 0                  | 0                  | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| <i>OPWDD - Other</i>                                   | 0                  | 0                  | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| <b>Functional Total</b>                                | <b>28,113</b>      | <b>30,029</b>      | <b>2,316,142</b>   | <b>2,335,568</b>     | <b>2,394,024</b>     | <b>2,413,462</b>     |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>             |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                             | 2,234              | 2,316              | 2,414              | 2,414                | 2,531                | 2,488                |
| Correctional Services, Department of                  | 2,113,239          | 2,098,248          | 2,042,613          | 2,056,117            | 2,061,747            | 2,056,117            |
| Criminal Justice Services, Division of                | 26,740             | 26,713             | 27,190             | 27,190               | 28,154               | 27,713               |
| Homeland Security and Emergency Services, Division of | 992                | 1,070              | 1,000              | 1,000                | 1,000                | 1,000                |
| Judicial Conduct, Commission on                       | 4,181              | 4,238              | 4,312              | 4,347                | 4,548                | 4,483                |
| Military and Naval Affairs, Division of               | 16,761             | 15,015             | 14,161             | 14,161               | 14,457               | 14,531               |
| State Police, Division of                             | 608,046            | 630,445            | 621,559            | 618,103              | 643,273              | 655,772              |
| Statewide Financial System                            | 11,045             | 11,094             | 11,758             | 11,882               | 12,325               | 12,121               |
| <b>Functional Total</b>                               | <b>2,783,238</b>   | <b>2,789,139</b>   | <b>2,725,007</b>   | <b>2,735,214</b>     | <b>2,768,035</b>     | <b>2,774,225</b>     |
| <b>EDUCATION</b>                                      |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                                  | 2,491              | 2,626              | 2,498              | 2,498                | 2,594                | 2,498                |
| Education, Department of                              | 30,913             | 31,037             | 29,812             | 29,334               | 30,306               | 29,334               |
| <i>All Other</i>                                      | 30,913             | 31,037             | 29,812             | 29,334               | 30,306               | 29,334               |
| <b>Functional Total</b>                               | <b>33,404</b>      | <b>33,663</b>      | <b>32,310</b>      | <b>31,832</b>        | <b>32,900</b>        | <b>31,832</b>        |
| <b>GENERAL GOVERNMENT</b>                             |                    |                    |                    |                      |                      |                      |
| Budget, Division of the                               | 19,346             | 20,484             | 22,216             | 22,216               | 23,070               | 22,216               |
| Civil Service, Department of                          | 12,061             | 13,731             | 12,138             | 12,229               | 12,699               | 12,320               |
| Deferred Compensation Board                           | 29                 | 33                 | 32                 | 32                   | 33                   | 32                   |
| Elections, State Board of                             | 5,765              | 6,077              | 6,143              | 6,288                | 6,571                | 6,478                |
| Employee Relations, Office of                         | 2,269              | 2,515              | 2,529              | 2,548                | 2,646                | 2,548                |
| Gaming Commission, New York State                     | 3,724              | 2,872              | 4,282              | 4,282                | 4,447                | 4,282                |
| General Services, Office of                           | 73,515             | 63,907             | 47,592             | 47,592               | 50,978               | 48,587               |
| Inspector General, Office of the                      | 5,833              | 6,223              | 6,600              | 6,648                | 6,970                | 6,857                |
| Labor Management Committees                           | 7,871              | 7,747              | 5,446              | 5,487                | 5,698                | 5,487                |
| Prevention of Domestic Violence, Office for           | 1,338              | 1,412              | 1,469              | 1,491                | 1,524                | 1,431                |
| Public Employment Relations Board                     | 3,056              | 3,059              | 3,313              | 3,338                | 3,468                | 3,338                |
| Public Integrity, Commission on                       | 3,808              | 4,386              | 4,646              | 4,681                | 4,867                | 4,827                |
| State, Department of                                  | 12,334             | 10,364             | 9,003              | 8,953                | 9,315                | 8,953                |
| Tax Appeals, Division of                              | 2,604              | 2,541              | 2,870              | 2,870                | 2,980                | 2,870                |
| Taxation and Finance, Department of                   | 234,901            | 266,320            | 219,408            | 219,939              | 227,328              | 219,939              |
| Technology, Office for                                | 298,543            | 289,994            | 286,118            | 291,603              | 308,070              | 303,412              |
| Veterans' Affairs, Division of                        | 5,086              | 5,512              | 5,938              | 5,938                | 6,178                | 6,107                |
| Welfare Inspector General, Office of                  | 565                | 619                | 621                | 626                  | 654                  | 646                  |
| <b>Functional Total</b>                               | <b>692,648</b>     | <b>707,796</b>     | <b>640,364</b>     | <b>646,761</b>       | <b>677,496</b>       | <b>660,330</b>       |
| <b>ELECTED OFFICIALS</b>                              |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                      | 103,758            | 107,894            | 109,470            | 109,470              | 114,603              | 109,470              |
| Executive Chamber                                     | 10,746             | 9,979              | 11,113             | 11,113               | 11,567               | 11,113               |
| Judiciary   | 1,509,278          | 1,565,719          | 1,608,025          | 1,597,000            | 1,654,000            | 1,597,000            |
| Law, Department of                                    | 92,699             | 95,500             | 95,988             | 96,653               | 101,153              | 96,653               |
| Legislature   | 170,623            | 174,350            | 175,541            | 179,052              | 182,633              | 186,286              |
| Lieutenant Governor, Office of the                    | 364                | 382                | 523                | 523                  | 543                  | 523                  |
| <b>Functional Total</b>                               | <b>1,887,468</b>   | <b>1,953,824</b>   | <b>2,000,660</b>   | <b>1,993,811</b>     | <b>2,064,499</b>     | <b>2,001,045</b>     |
| <b>ALL OTHER CATEGORIES</b>                           |                    |                    |                    |                      |                      |                      |
| Miscellaneous   | 49                 | 34                 | 259,056            | 327,195              | 554,995              | 554,995              |
| <b>Functional Total</b>                               | <b>49</b>          | <b>34</b>          | <b>259,056</b>     | <b>327,195</b>       | <b>554,995</b>       | <b>554,995</b>       |
| <b>TOTAL PERSONAL SERVICE SPENDING</b>                | <b>6,064,625</b>   | <b>6,135,463</b>   | <b>8,811,811</b>   | <b>9,001,330</b>     | <b>9,505,544</b>     | <b>9,437,403</b>     |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 5,492              | 4,893              | 5,985              | 6,210                | 5,210                | 5,010                |
| Alcoholic Beverage Control, Division of                | 3,276              | 3,688              | 4,336              | 2,659                | 2,659                | 2,659                |
| Economic Development, Department of                    | 6,102              | 4,680              | 2,515              | 2,515                | 2,515                | 2,515                |
| Olympic Regional Development Authority                 | 188                | 188                | 3,188              | 3,188                | 3,188                | 3,188                |
| <b>Functional Total</b>                                | <b>15,058</b>      | <b>13,449</b>      | <b>16,024</b>      | <b>14,572</b>        | <b>13,572</b>        | <b>13,372</b>        |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 423                | 289                | 361                | 306                  | 306                  | 306                  |
| Environmental Conservation, Department of              | 6,442              | 11,798             | 20,424             | 20,384               | 25,184               | 25,184               |
| Parks, Recreation and Historic Preservation, Office of | 5,433              | 5,388              | 7,935              | 7,935                | 7,935                | 7,935                |
| <b>Functional Total</b>                                | <b>12,298</b>      | <b>17,475</b>      | <b>28,720</b>      | <b>28,625</b>        | <b>33,425</b>        | <b>33,425</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 0                  | 0                  | 3,189              | 3,253                | 3,318                | 3,384                |
| Transportation, Department of                          | 1,169              | 1,395              | 111,936            | 166,872              | 167,934              | 172,833              |
| <b>Functional Total</b>                                | <b>1,169</b>       | <b>1,395</b>       | <b>115,125</b>     | <b>170,125</b>       | <b>171,252</b>       | <b>176,217</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 106                | 97                 | 106                | 106                  | 106                  | 106                  |
| Health, Department of                                  | 311,821            | 383,959            | 404,223            | 382,015              | 367,856              | 368,301              |
| <i>Essential Plan</i>                                  | 42,470             | 84,490             | 82,517             | 79,644               | 74,339               | 72,013               |
| <i>Medicaid Administration</i>                         | 224,093            | 260,257            | 281,237            | 261,802              | 252,948              | 255,719              |
| <i>Public Health</i>                                   | 45,258             | 39,212             | 40,469             | 40,569               | 40,569               | 40,569               |
| Medicaid Inspector General, Office of the              | 4,074              | 2,418              | 3,175              | 3,175                | 3,175                | 3,175                |
| <b>Functional Total</b>                                | <b>316,001</b>     | <b>386,474</b>     | <b>407,504</b>     | <b>385,296</b>       | <b>371,137</b>       | <b>371,582</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 50,947             | 59,364             | 81,654             | 98,806               | 111,874              | 114,113              |
| <i>OCFS</i>  | 50,947             | 59,364             | 81,654             | 98,806               | 111,874              | 114,113              |
| Housing and Community Renewal, Division of             | 2,791              | 1,927              | 351                | 351                  | 413                  | 413                  |
| Human Rights, Division of                              | 849                | 803                | 460                | 460                  | 469                  | 478                  |
| Labor, Department of                                   | 191                | 113                | 200                | 200                  | 255                  | 260                  |
| National and Community Service                         | 1                  | 1                  | 9                  | 9                    | 9                    | 9                    |
| Temporary and Disability Assistance, Office of         | 63,251             | 56,751             | 55,308             | 56,480               | 57,604               | 58,774               |
| <i>All Other</i>                                       | 63,251             | 56,751             | 55,308             | 56,480               | 57,604               | 58,774               |
| <b>Functional Total</b>                                | <b>118,030</b>     | <b>118,959</b>     | <b>137,982</b>     | <b>156,306</b>       | <b>170,624</b>       | <b>174,047</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 0                  | 0                  | 17,225             | 18,375               | 19,074               | 19,785               |
| <i>OASAS</i>   | 0                  | 0                  | 6,798              | 7,272                | 7,545                | 7,824                |
| <i>OASAS - Other</i>                                   | 0                  | 0                  | 10,427             | 11,103               | 11,529               | 11,961               |
| Justice Center   | 8,909              | 7,598              | 8,645              | 9,088                | 9,349                | 9,620                |
| Mental Health, Office of                               | 781                | 697                | 249,327            | 261,224              | 274,073              | 297,216              |
| <i>OMH</i>   | 781                | 697                | 47,022             | 39,109               | 39,509               | 40,847               |
| <i>OMH - Other</i>                                     | 0                  | 0                  | 202,305            | 222,115              | 234,564              | 256,369              |
| People with Developmental Disabilities, Office for     | 0                  | 0                  | 187,700            | 181,644              | 186,848              | 192,257              |
| <i>OPWDD - Other</i>                                   | 0                  | 0                  | 187,700            | 181,644              | 186,848              | 192,257              |
| <b>Functional Total</b>                                | <b>9,690</b>       | <b>8,295</b>       | <b>462,897</b>     | <b>470,331</b>       | <b>489,344</b>       | <b>518,878</b>       |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 197                | 278                | 237                | 237                  | 242                  | 247                  |
| Correctional Services, Department of                   | 525,879            | 528,385            | 560,722            | 559,591              | 559,591              | 556,591              |
| Criminal Justice Services, Division of                 | 7,128              | 7,138              | 6,531              | 6,531                | 6,531                | 6,702                |
| Homeland Security and Emergency Services, Division of  | 147                | 0                  | 0                  | 0                    | 0                    | 0                    |
| Judicial Conduct, Commission on                        | 1,324              | 1,314              | 1,384              | 1,361                | 1,388                | 1,631                |
| Judicial Nomination, Commission on                     | 10                 | 10                 | 30                 | 30                   | 30                   | 30                   |
| Judicial Screening Committees, New York State          | 9                  | 14                 | 38                 | 38                   | 38                   | 38                   |
| Military and Naval Affairs, Division of                | 7,187              | 7,904              | 6,457              | 6,598                | 6,598                | 7,092                |



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| State Police, Division of                   | 44,500             | 34,199             | 30,287             | 33,520               | 33,560               | 35,570               |
| Statewide Financial System                  | 19,264             | 19,043             | 18,624             | 18,624               | 18,624               | 18,996               |
| <b>Functional Total</b>                     | <b>605,645</b>     | <b>598,285</b>     | <b>624,310</b>     | <b>626,530</b>       | <b>626,602</b>       | <b>626,897</b>       |
| <b>HIGHER EDUCATION</b>                     |                    |                    |                    |                      |                      |                      |
| City University of New York                 | 503                | 0                  | 0                  | 0                    | 0                    | 0                    |
| State University of New York                | 657                | 1,049              | 700                | 700                  | 700                  | 700                  |
| <b>Functional Total</b>                     | <b>1,160</b>       | <b>1,049</b>       | <b>700</b>         | <b>700</b>           | <b>700</b>           | <b>700</b>           |
| <b>EDUCATION</b>                            |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                        | 1,434              | 1,634              | 1,822              | 1,822                | 1,822                | 1,822                |
| Education, Department of                    | 27,460             | 25,359             | 30,974             | 28,904               | 28,904               | 28,904               |
| <i>All Other</i>                            | 27,460             | 25,359             | 30,974             | 28,904               | 28,904               | 28,904               |
| <b>Functional Total</b>                     | <b>28,894</b>      | <b>26,993</b>      | <b>32,796</b>      | <b>30,726</b>        | <b>30,726</b>        | <b>30,726</b>        |
| <b>GENERAL GOVERNMENT</b>                   |                    |                    |                    |                      |                      |                      |
| Budget, Division of the                     | 2,772              | 3,447              | 1,506              | 679                  | 679                  | 679                  |
| Civil Service, Department of                | 553                | 386                | 406                | 414                  | 414                  | 419                  |
| Deferred Compensation Board                 | 10                 | 0                  | 25                 | 25                   | 25                   | 25                   |
| Elections, State Board of                   | 1,226              | 1,642              | 3,694              | 3,859                | 4,012                | 3,576                |
| Employee Relations, Office of               | 65                 | 1,054              | 72                 | 73                   | 73                   | 73                   |
| Gaming Commission, New York State           | 1,985              | 1,501              | 2,080              | 2,080                | 2,080                | 2,080                |
| General Services, Office of                 | 80,340             | 74,538             | 85,389             | 81,311               | 76,622               | 77,609               |
| Inspector General, Office of the            | 1,346              | 943                | 827                | 839                  | 856                  | 1,204                |
| Labor Management Committees                 | 20,947             | 17,026             | 25,554             | 25,513               | 25,513               | 25,513               |
| Prevention of Domestic Violence, Office for | 162                | 146                | 190                | 168                  | 207                  | 211                  |
| Public Employment Relations Board           | 213                | 173                | 247                | 251                  | 251                  | 251                  |
| Public Integrity, Commission on             | 1,068              | 729                | 930                | 949                  | 968                  | 1,183                |
| State, Department of                        | 927                | 386                | 389                | 239                  | 239                  | 239                  |
| Tax Appeals, Division of                    | 229                | 147                | 170                | 170                  | 170                  | 170                  |
| Taxation and Finance, Department of         | 27,763             | 39,801             | 35,594             | 35,688               | 36,992               | 35,688               |
| Technology, Office for                      | 249,823            | 245,983            | 258,414            | 248,683              | 242,629              | 253,843              |
| Veterans' Affairs, Division of              | 865                | 373                | 298                | 298                  | 304                  | 310                  |
| Welfare Inspector General, Office of        | 27                 | 52                 | 65                 | 75                   | 77                   | 107                  |
| <b>Functional Total</b>                     | <b>390,321</b>     | <b>388,327</b>     | <b>415,850</b>     | <b>401,314</b>       | <b>392,111</b>       | <b>403,180</b>       |
| <b>ELECTED OFFICIALS</b>                    |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of            | 29,991             | 24,526             | 25,243             | 25,243               | 25,797               | 25,797               |
| Executive Chamber                           | 3,907              | 3,581              | 2,465              | 2,465                | 2,465                | 2,465                |
| Judiciary                                   | 402,559            | 400,917            | 412,200            | 412,200              | 412,200              | 412,200              |
| Law, Department of                          | 11,451             | 10,493             | 11,550             | 11,808               | 12,082               | 12,082               |
| Legislature                                 | 53,689             | 47,862             | 53,367             | 54,434               | 55,523               | 56,633               |
| Lieutenant Governor, Office of the          | 146                | 110                | 91                 | 91                   | 91                   | 91                   |
| <b>Functional Total</b>                     | <b>501,743</b>     | <b>487,489</b>     | <b>504,916</b>     | <b>506,241</b>       | <b>508,158</b>       | <b>509,268</b>       |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>          |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities       | 107                | 131                | 250                | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                     | <b>107</b>         | <b>131</b>         | <b>250</b>         | <b>0</b>             | <b>0</b>             | <b>0</b>             |
| <b>ALL OTHER CATEGORIES</b>                 |                    |                    |                    |                      |                      |                      |
| Miscellaneous                               | 21,522             | 43,971             | 291,433            | 287,712              | 303,212              | 303,212              |
| <b>Functional Total</b>                     | <b>21,522</b>      | <b>43,971</b>      | <b>291,433</b>     | <b>287,712</b>       | <b>303,212</b>       | <b>303,212</b>       |
| <b>TOTAL NON-PERSONAL SERVICE SPENDING</b>  | <b>2,021,638</b>   | <b>2,092,292</b>   | <b>3,038,507</b>   | <b>3,078,478</b>     | <b>3,110,863</b>     | <b>3,161,504</b>     |

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES  
(thousands of dollars)**

|   | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ELECTED OFFICIALS</b>                    |                            |                            |                            |                              |                              |                              |
| Judiciary                                   | 675,050                    | 722,047                    | 744,629                    | 756,129                      | 756,129                      | 756,129                      |
| <b>Functional Total</b>                     | <u>675,050</u>             | <u>722,047</u>             | <u>744,629</u>             | <u>756,129</u>               | <u>756,129</u>               | <u>756,129</u>               |
| <b>ALL OTHER CATEGORIES</b>                 |                            |                            |                            |                              |                              |                              |
| General State Charges                       | 4,782,943                  | 4,842,815                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| Miscellaneous                               | 4,304                      | 7,152                      | 4,420                      | 4,420                        | 4,420                        | 4,420                        |
| <b>Functional Total</b>                     | <u>4,787,247</u>           | <u>4,849,967</u>           | <u>6,646,703</u>           | <u>7,024,631</u>             | <u>7,563,381</u>             | <u>8,133,493</u>             |
| <b>TOTAL GENERAL STATE CHARGES SPENDING</b> | <u>5,462,297</u>           | <u>5,572,014</u>           | <u>7,391,332</u>           | <u>7,780,760</u>             | <u>8,319,510</u>             | <u>8,889,622</u>             |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>                 |                    |                    |                    |                      |                      |                      |
| <b><i>Agriculture and Markets, Department of</i></b>                 | <b>78,233</b>      | <b>71,270</b>      | <b>78,000</b>      | <b>68,785</b>        | <b>65,072</b>        | <b>79,766</b>        |
| Local Assistance   | 31,980             | 32,318             | 38,271             | 28,757               | 24,757               | 24,907               |
| State Operations   | 44,534             | 37,697             | 37,838             | 38,068               | 38,187               | 52,668               |
| Personal Service   | 30,523             | 30,802             | 29,423             | 29,428               | 30,543               | 29,428               |
| Non-Personal Service/Indirect Costs                                  | 14,011             | 6,895              | 8,415              | 8,640                | 7,644                | 23,240               |
| General State Charges  | 1,719              | 1,255              | 1,891              | 1,960                | 2,128                | 2,191                |
| <b><i>Alcoholic Beverage Control, Division of</i></b>                | <b>11,248</b>      | <b>11,819</b>      | <b>12,483</b>      | <b>11,244</b>        | <b>11,560</b>        | <b>11,244</b>        |
| State Operations   | 11,127             | 11,819             | 12,483             | 11,244               | 11,560               | 11,244               |
| Personal Service   | 7,742              | 8,131              | 8,147              | 8,585                | 8,901                | 8,585                |
| Non-Personal Service/Indirect Costs                                  | 3,385              | 3,688              | 4,336              | 2,659                | 2,659                | 2,659                |
| General State Charges  | 121                | 0                  | 0                  | 0                    | 0                    | 0                    |
| <b><i>Economic Development, Department of</i></b>                    | <b>75,181</b>      | <b>72,278</b>      | <b>77,418</b>      | <b>70,963</b>        | <b>70,963</b>        | <b>75,813</b>        |
| Local Assistance   | 53,998             | 52,871             | 60,099             | 53,644               | 53,644               | 58,494               |
| State Operations   | 21,183             | 19,405             | 17,291             | 17,291               | 17,291               | 17,291               |
| Personal Service   | 13,152             | 13,243             | 12,929             | 12,929               | 12,929               | 12,929               |
| Non-Personal Service/Indirect Costs                                  | 8,031              | 6,162              | 4,362              | 4,362                | 4,362                | 4,362                |
| General State Charges  | 0                  | 2                  | 28                 | 28                   | 28                   | 28                   |
| <b><i>Empire State Development Corporation</i></b>                   | <b>122,298</b>     | <b>124,880</b>     | <b>95,576</b>      | <b>86,746</b>        | <b>91,746</b>        | <b>91,746</b>        |
| Local Assistance   | 122,298            | 124,880            | 95,576             | 86,746               | 91,746               | 91,746               |
| <b><i>Financial Services, Department of</i></b>                      | <b>362,563</b>     | <b>357,557</b>     | <b>374,035</b>     | <b>378,571</b>       | <b>397,475</b>       | <b>391,112</b>       |
| Local Assistance   | 66,703             | 57,254             | 64,954             | 61,886               | 61,855               | 61,855               |
| State Operations   | 209,208            | 209,379            | 208,909            | 212,543              | 218,825              | 212,688              |
| Personal Service   | 151,522            | 154,146            | 156,697            | 157,475              | 163,459              | 157,616              |
| Non-Personal Service/Indirect Costs                                  | 57,686             | 55,233             | 52,212             | 55,068               | 55,366               | 55,072               |
| General State Charges  | 86,652             | 90,924             | 100,172            | 104,142              | 116,795              | 116,569              |
| <b><i>Olympic Regional Development Authority</i></b>                 | <b>2,736</b>       | <b>2,736</b>       | <b>11,293</b>      | <b>5,886</b>         | <b>5,886</b>         | <b>5,886</b>         |
| Local Assistance   | 0                  | 0                  | 2,360              | 0                    | 0                    | 0                    |
| State Operations   | 2,736              | 2,736              | 8,933              | 5,886                | 5,886                | 5,886                |
| Personal Service   | 2,548              | 2,548              | 5,595              | 2,548                | 2,548                | 2,548                |
| Non-Personal Service/Indirect Costs                                  | 188                | 188                | 3,338              | 3,338                | 3,338                | 3,338                |
| <b><i>Public Service Department</i></b>                              | <b>75,529</b>      | <b>84,881</b>      | <b>77,674</b>      | <b>78,645</b>        | <b>80,874</b>        | <b>80,921</b>        |
| Local Assistance   | 0                  | 102                | 136                | 136                  | 136                  | 136                  |
| State Operations   | 50,006             | 52,384             | 50,777             | 50,827               | 51,440               | 49,827               |
| Personal Service   | 41,485             | 42,867             | 42,100             | 42,100               | 43,711               | 42,100               |
| Non-Personal Service/Indirect Costs                                  | 8,521              | 9,517              | 8,677              | 8,727                | 7,729                | 7,727                |
| General State Charges  | 25,523             | 32,395             | 26,761             | 27,682               | 29,298               | 30,958               |
| <b>Functional Total</b>  | <b>727,788</b>     | <b>725,421</b>     | <b>726,479</b>     | <b>700,840</b>       | <b>723,576</b>       | <b>736,488</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                                     |                    |                    |                    |                      |                      |                      |
| <b><i>Adirondack Park Agency</i></b>                                 | <b>4,535</b>       | <b>4,468</b>       | <b>4,469</b>       | <b>4,414</b>         | <b>4,572</b>         | <b>4,414</b>         |
| State Operations   | 4,535              | 4,468              | 4,469              | 4,414                | 4,572                | 4,414                |
| Personal Service   | 4,112              | 4,179              | 4,108              | 4,108                | 4,266                | 4,108                |
| Non-Personal Service/Indirect Costs                                  | 423                | 289                | 361                | 306                  | 306                  | 306                  |
| <b><i>Environmental Conservation, Department of</i></b>              | <b>274,904</b>     | <b>260,839</b>     | <b>263,712</b>     | <b>252,785</b>       | <b>262,808</b>       | <b>254,714</b>       |
| Local Assistance   | 2,105              | 2,491              | 4,095              | 3,019                | 3,019                | 3,019                |
| State Operations   | 225,085            | 212,775            | 208,217            | 204,773              | 216,247              | 209,602              |
| Personal Service   | 176,058            | 175,514            | 170,420            | 168,321              | 176,631              | 170,066              |
| Non-Personal Service/Indirect Costs                                  | 49,027             | 37,261             | 37,797             | 36,452               | 39,616               | 39,536               |
| General State Charges  | 47,714             | 45,573             | 51,400             | 44,993               | 43,542               | 42,093               |
| <b><i>Parks, Recreation and Historic Preservation, Office of</i></b> | <b>191,925</b>     | <b>179,334</b>     | <b>182,232</b>     | <b>180,091</b>       | <b>186,561</b>       | <b>181,690</b>       |
| Local Assistance   | 7,963              | 7,087              | 5,856              | 5,550                | 5,550                | 5,550                |
| State Operations   | 178,446            | 168,983            | 173,224            | 171,381              | 177,704              | 172,799              |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Personal Service                                 | 135,346            | 130,555            | 133,829            | 132,271              | 138,592              | 133,687              |
| Non-Personal Service/Indirect Costs              | 43,100             | 38,428             | 39,395             | 39,110               | 39,112               | 39,112               |
| General State Charges                            | 2,972              | 3,264              | 3,152              | 3,160                | 3,307                | 3,341                |
| Capital Projects                                 | 2,544              | 0                  | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                          | <b>471,364</b>     | <b>444,641</b>     | <b>450,413</b>     | <b>437,290</b>       | <b>453,941</b>       | <b>440,818</b>       |
| <b>TRANSPORTATION</b>                            |                    |                    |                    |                      |                      |                      |
| <b>Motor Vehicles, Department of</b>             | <b>70,033</b>      | <b>71,728</b>      | <b>84,516</b>      | <b>85,178</b>        | <b>88,054</b>        | <b>88,387</b>        |
| Local Assistance                                 | 0                  | 1,600              | 375                | 0                    | 0                    | 0                    |
| State Operations                                 | 49,560             | 49,142             | 60,826             | 61,060               | 62,091               | 61,772               |
| Personal Service                                 | 35,655             | 36,244             | 45,018             | 45,188               | 46,132               | 46,422               |
| Non-Personal Service/Indirect Costs              | 13,905             | 12,898             | 15,808             | 15,872               | 15,959               | 15,350               |
| General State Charges                            | 20,473             | 20,986             | 23,315             | 24,118               | 25,963               | 26,615               |
| <b>Transportation, Department of</b>             | <b>5,002,277</b>   | <b>5,034,223</b>   | <b>4,218,625</b>   | <b>3,963,258</b>     | <b>4,011,278</b>     | <b>4,147,514</b>     |
| Local Assistance                                 | 4,977,299          | 5,023,364          | 3,936,260          | 3,617,086            | 3,663,712            | 3,795,148            |
| State Operations                                 | 21,228             | 9,139              | 280,220            | 343,908              | 345,113              | 349,869              |
| Personal Service                                 | 6,497              | 2,803              | 161,818            | 170,555              | 170,693              | 170,555              |
| Non-Personal Service/Indirect Costs              | 14,731             | 6,336              | 118,402            | 173,353              | 174,420              | 179,314              |
| General State Charges                            | 3,750              | 1,720              | 2,145              | 2,264                | 2,453                | 2,497                |
| <b>Functional Total</b>                          | <b>5,072,310</b>   | <b>5,105,951</b>   | <b>4,303,141</b>   | <b>4,048,436</b>     | <b>4,099,332</b>     | <b>4,235,901</b>     |
| <b>HEALTH</b>                                    |                    |                    |                    |                      |                      |                      |
| <b>Aging, Office for the</b>                     | <b>126,347</b>     | <b>125,380</b>     | <b>130,510</b>     | <b>132,817</b>       | <b>138,042</b>       | <b>143,311</b>       |
| Local Assistance                                 | 125,116            | 124,180            | 129,278            | 131,585              | 136,767              | 142,079              |
| State Operations                                 | 1,231              | 1,200              | 1,232              | 1,232                | 1,275                | 1,232                |
| Personal Service                                 | 1,125              | 1,103              | 1,125              | 1,125                | 1,168                | 1,125                |
| Non-Personal Service/Indirect Costs              | 106                | 97                 | 107                | 107                  | 107                  | 107                  |
| <b>Health, Department of</b>                     | <b>20,477,016</b>  | <b>21,460,861</b>  | <b>22,366,569</b>  | <b>23,794,785</b>    | <b>24,970,279</b>    | <b>25,940,481</b>    |
| <b>Medical Assistance</b>                        | <b>17,505,933</b>  | <b>18,857,443</b>  | <b>19,376,415</b>  | <b>20,899,531</b>    | <b>21,916,649</b>    | <b>22,792,661</b>    |
| Local Assistance                                 | 17,505,933         | 18,857,443         | 19,376,415         | 20,899,531           | 21,916,649           | 22,792,661           |
| <b>Essential Plan</b>                            | <b>312,837</b>     | <b>87,638</b>      | <b>86,723</b>      | <b>84,224</b>        | <b>78,757</b>        | <b>76,397</b>        |
| Local Assistance                                 | 269,000            | 0                  | 0                  | 0                    | 0                    | 0                    |
| State Operations                                 | 43,837             | 87,638             | 86,723             | 84,224               | 78,757               | 76,397               |
| Personal Service                                 | 1,367              | 3,148              | 4,206              | 4,580                | 4,418                | 4,384                |
| Non-Personal Service/Indirect Costs              | 42,470             | 84,490             | 82,517             | 79,644               | 74,339               | 72,013               |
| <b>Medicaid Administration</b>                   | <b>728,644</b>     | <b>583,789</b>     | <b>917,523</b>     | <b>744,037</b>       | <b>721,913</b>       | <b>707,680</b>       |
| Local Assistance                                 | 467,643            | 286,336            | 585,173            | 428,149              | 411,867              | 396,295              |
| State Operations                                 | 261,001            | 297,453            | 332,350            | 315,888              | 310,046              | 311,385              |
| Personal Service                                 | 36,908             | 37,196             | 51,113             | 54,086               | 57,098               | 55,666               |
| Non-Personal Service/Indirect Costs              | 224,093            | 260,257            | 281,237            | 261,802              | 252,948              | 255,719              |
| <b>Public Health</b>                             | <b>1,929,602</b>   | <b>1,931,991</b>   | <b>1,985,908</b>   | <b>2,066,993</b>     | <b>2,252,960</b>     | <b>2,363,743</b>     |
| Local Assistance                                 | 1,515,383          | 1,544,070          | 1,555,166          | 1,644,317            | 1,822,020            | 1,932,801            |
| State Operations                                 | 383,676            | 356,208            | 393,062            | 388,597              | 395,083              | 393,800              |
| Personal Service                                 | 223,681            | 212,539            | 224,961            | 225,400              | 229,055              | 225,639              |
| Non-Personal Service/Indirect Costs              | 159,995            | 143,669            | 168,101            | 163,197              | 166,028              | 168,161              |
| General State Charges                            | 30,543             | 31,713             | 37,680             | 34,079               | 35,857               | 37,142               |
| <b>Medicaid Inspector General, Office of the</b> | <b>20,489</b>      | <b>18,282</b>      | <b>18,111</b>      | <b>18,111</b>        | <b>18,718</b>        | <b>18,111</b>        |
| State Operations                                 | 20,489             | 18,282             | 18,111             | 18,111               | 18,718               | 18,111               |
| Personal Service                                 | 16,415             | 15,864             | 14,936             | 14,936               | 15,543               | 14,936               |
| Non-Personal Service/Indirect Costs              | 4,074              | 2,418              | 3,175              | 3,175                | 3,175                | 3,175                |
| <b>Functional Total</b>                          | <b>20,623,852</b>  | <b>21,604,523</b>  | <b>22,515,190</b>  | <b>23,945,713</b>    | <b>25,127,039</b>    | <b>26,101,903</b>    |

**SOCIAL WELFARE**

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>Children and Family Services, Office of</b>            | <b>1,966,820</b>   | <b>1,851,516</b>   | <b>1,982,381</b>   | <b>2,049,994</b>     | <b>2,135,764</b>     | <b>2,173,609</b>     |
| <b>OCFS</b>   | <b>1,884,573</b>   | <b>1,774,154</b>   | <b>1,897,639</b>   | <b>1,959,187</b>     | <b>2,041,777</b>     | <b>2,075,458</b>     |
| Local Assistance  | 1,633,092          | 1,530,772          | 1,606,329          | 1,581,584            | 1,597,009            | 1,628,026            |
| State Operations  | 249,825            | 241,724            | 289,267            | 375,809              | 442,944              | 445,608              |
| Personal Service  | 169,024            | 170,908            | 192,365            | 261,476              | 315,232              | 315,341              |
| Non-Personal Service/Indirect Costs                       | 80,801             | 70,816             | 96,902             | 114,333              | 127,712              | 130,267              |
| General State Charges                                     | 1,656              | 1,658              | 2,043              | 1,794                | 1,824                | 1,824                |
| <b>OCFS - Other</b>                                       | <b>82,247</b>      | <b>77,362</b>      | <b>84,742</b>      | <b>90,807</b>        | <b>93,987</b>        | <b>98,151</b>        |
| Local Assistance  | 82,247             | 77,362             | 84,742             | 90,807               | 93,987               | 98,151               |
| <b>Housing and Community Renewal, Division of</b>         | <b>60,118</b>      | <b>72,665</b>      | <b>85,845</b>      | <b>115,876</b>       | <b>119,781</b>       | <b>122,267</b>       |
| Local Assistance  | 4,370              | 4,410              | 10,258             | 40,289               | 40,942               | 41,617               |
| State Operations  | 50,299             | 52,210             | 52,467             | 52,467               | 55,719               | 54,301               |
| Personal Service  | 42,730             | 41,535             | 42,828             | 42,828               | 45,650               | 44,074               |
| Non-Personal Service/Indirect Costs                       | 7,569              | 10,675             | 9,639              | 9,639                | 10,069               | 10,227               |
| General State Charges                                     | 5,449              | 16,045             | 23,120             | 23,120               | 23,120               | 26,349               |
| <b>Human Rights, Division of</b>                          | <b>10,382</b>      | <b>9,465</b>       | <b>9,921</b>       | <b>9,921</b>         | <b>10,590</b>        | <b>10,230</b>        |
| State Operations  | 10,382             | 9,465              | 9,921              | 9,921                | 10,590               | 10,230               |
| Personal Service  | 9,533              | 8,662              | 9,461              | 9,461                | 10,121               | 9,752                |
| Non-Personal Service/Indirect Costs                       | 849                | 803                | 460                | 460                  | 469                  | 478                  |
| <b>Labor, Department of</b>                               | <b>82,569</b>      | <b>83,203</b>      | <b>81,668</b>      | <b>67,156</b>        | <b>69,893</b>        | <b>71,435</b>        |
| Local Assistance  | 15,403             | 12,666             | 14,662             | 150                  | 150                  | 150                  |
| State Operations  | 47,669             | 49,642             | 46,519             | 46,519               | 49,256               | 48,280               |
| Personal Service  | 34,150             | 34,323             | 32,618             | 32,618               | 34,864               | 33,620               |
| Non-Personal Service/Indirect Costs                       | 13,519             | 15,319             | 13,901             | 13,901               | 14,392               | 14,660               |
| General State Charges                                     | 19,497             | 20,895             | 20,487             | 20,487               | 20,487               | 23,005               |
| <b>National and Community Service</b>                     | <b>471</b>         | <b>770</b>         | <b>690</b>         | <b>690</b>           | <b>699</b>           | <b>699</b>           |
| Local Assistance  | 267                | 477                | 350                | 350                  | 350                  | 350                  |
| State Operations  | 204                | 293                | 340                | 340                  | 349                  | 349                  |
| Personal Service  | 203                | 292                | 331                | 331                  | 340                  | 340                  |
| Non-Personal Service/Indirect Costs                       | 1                  | 1                  | 9                  | 9                    | 9                    | 9                    |
| <b>Temporary and Disability Assistance, Office of</b>     | <b>1,357,751</b>   | <b>1,352,404</b>   | <b>1,407,194</b>   | <b>1,491,173</b>     | <b>1,498,466</b>     | <b>1,504,986</b>     |
| <b>Welfare Assistance</b>                                 | <b>1,130,191</b>   | <b>1,137,324</b>   | <b>1,177,821</b>   | <b>1,241,366</b>     | <b>1,241,366</b>     | <b>1,241,366</b>     |
| Local Assistance  | 1,130,191          | 1,137,324          | 1,177,821          | 1,241,366            | 1,241,366            | 1,241,366            |
| <b>All Other</b>  | <b>227,560</b>     | <b>215,080</b>     | <b>229,373</b>     | <b>249,807</b>       | <b>257,100</b>       | <b>263,620</b>       |
| Local Assistance  | 89,812             | 92,194             | 102,093            | 116,196              | 118,996              | 122,796              |
| State Operations  | 137,626            | 122,874            | 127,152            | 133,483              | 137,976              | 140,696              |
| Personal Service  | 73,429             | 64,895             | 68,444             | 73,603               | 76,972               | 78,522               |
| Non-Personal Service/Indirect Costs                       | 64,197             | 57,979             | 58,708             | 59,880               | 61,004               | 62,174               |
| General State Charges                                     | 122                | 12                 | 128                | 128                  | 128                  | 128                  |
| <b>Functional Total</b>                                   | <b>3,478,111</b>   | <b>3,370,023</b>   | <b>3,567,699</b>   | <b>3,734,810</b>     | <b>3,835,193</b>     | <b>3,883,226</b>     |
| <b>MENTAL HYGIENE</b>                                     |                    |                    |                    |                      |                      |                      |
| <b>Alcoholism and Substance Abuse Services, Office of</b> | <b>420,226</b>     | <b>441,089</b>     | <b>437,680</b>     | <b>466,850</b>       | <b>486,821</b>       | <b>501,648</b>       |
| <b>OASAS</b>  | <b>330,886</b>     | <b>348,092</b>     | <b>372,671</b>     | <b>400,641</b>       | <b>419,170</b>       | <b>433,270</b>       |
| Local Assistance  | 282,770            | 301,336            | 332,720            | 359,765              | 377,235              | 391,690              |
| State Operations  | 34,313             | 31,964             | 39,951             | 40,876               | 41,935               | 41,580               |
| Personal Service  | 19,701             | 20,354             | 26,697             | 27,133               | 27,919               | 27,270               |
| Non-Personal Service/Indirect Costs                       | 14,612             | 11,610             | 13,254             | 13,743               | 14,016               | 14,310               |
| General State Charges                                     | 13,803             | 14,792             | 0                  | 0                    | 0                    | 0                    |
| <b>OASAS - Other</b>                                      | <b>89,340</b>      | <b>92,997</b>      | <b>65,009</b>      | <b>66,209</b>        | <b>67,651</b>        | <b>68,378</b>        |
| Local Assistance  | 21,325             | 21,325             | 21,325             | 21,325               | 21,325               | 21,325               |
| State Operations  | 48,092             | 49,508             | 43,684             | 44,884               | 46,326               | 47,053               |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Personal Service   | 34,856             | 36,074             | 33,257             | 33,781               | 34,797               | 35,092               |
| Non-Personal Service/Indirect Costs                                  | 13,236             | 13,434             | 10,427             | 11,103               | 11,529               | 11,961               |
| General State Charges  | 19,923             | 22,164             | 0                  | 0                    | 0                    | 0                    |
| <b>Justice Center</b>  | <b>39,719</b>      | <b>40,136</b>      | <b>42,979</b>      | <b>44,832</b>        | <b>46,798</b>        | <b>46,205</b>        |
| Local Assistance   | 679                | 619                | 649                | 649                  | 649                  | 649                  |
| State Operations   | 38,190             | 38,868             | 41,456             | 43,254               | 45,156               | 44,496               |
| Personal Service   | 29,240             | 31,243             | 32,769             | 34,123               | 35,762               | 34,832               |
| Non-Personal Service/Indirect Costs                                  | 8,950              | 7,625              | 8,687              | 9,131                | 9,394                | 9,664                |
| General State Charges  | 850                | 649                | 874                | 929                  | 993                  | 1,060                |
| <b>Mental Health, Office of</b>                                      | <b>3,152,278</b>   | <b>3,182,496</b>   | <b>2,638,032</b>   | <b>2,770,104</b>     | <b>2,897,516</b>     | <b>2,999,229</b>     |
| <b>OMH</b>   | <b>1,457,079</b>   | <b>1,459,290</b>   | <b>1,319,515</b>   | <b>1,453,351</b>     | <b>1,536,681</b>     | <b>1,599,985</b>     |
| Local Assistance   | 916,016            | 915,920            | 997,063            | 1,097,776            | 1,177,706            | 1,233,622            |
| State Operations   | 348,833            | 349,973            | 322,452            | 355,575              | 358,975              | 366,363              |
| Personal Service   | 288,507            | 287,281            | 270,088            | 311,124              | 314,124              | 320,174              |
| Non-Personal Service/Indirect Costs                                  | 60,326             | 62,692             | 52,364             | 44,451               | 44,851               | 46,189               |
| General State Charges  | 192,230            | 193,397            | 0                  | 0                    | 0                    | 0                    |
| <b>OMH - Other</b>   | <b>1,695,199</b>   | <b>1,723,206</b>   | <b>1,318,517</b>   | <b>1,316,753</b>     | <b>1,360,835</b>     | <b>1,399,244</b>     |
| Local Assistance   | 270,500            | 265,389            | 299,325            | 309,711              | 320,630              | 332,099              |
| State Operations   | 995,433            | 1,000,487          | 1,019,192          | 1,007,042            | 1,040,205            | 1,067,145            |
| Personal Service   | 784,057            | 783,120            | 816,887            | 784,927              | 805,641              | 810,776              |
| Non-Personal Service/Indirect Costs                                  | 211,376            | 217,367            | 202,305            | 222,115              | 234,564              | 256,369              |
| General State Charges  | 429,266            | 457,330            | 0                  | 0                    | 0                    | 0                    |
| <b>Mental Hygiene, Department of</b>                                 | <b>227</b>         | <b>204</b>         | <b>0</b>           | <b>0</b>             | <b>0</b>             | <b>0</b>             |
| State Operations   | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| Non-Personal Service/Indirect Costs                                  | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| <b>People with Developmental Disabilities, Office for</b>            | <b>2,903,369</b>   | <b>2,862,904</b>   | <b>1,855,592</b>   | <b>2,146,284</b>     | <b>2,592,779</b>     | <b>2,516,236</b>     |
| <b>OPWDD</b>   | <b>384,881</b>     | <b>407,423</b>     | <b>388,452</b>     | <b>383,825</b>       | <b>389,754</b>       | <b>399,931</b>       |
| Local Assistance   | 384,818            | 407,275            | 388,271            | 383,644              | 389,573              | 399,750              |
| State Operations   | 63                 | 148                | 181                | 181                  | 181                  | 181                  |
| Personal Service   | 0                  | 124                | 0                  | 0                    | 0                    | 0                    |
| Non-Personal Service/Indirect Costs                                  | 63                 | 24                 | 181                | 181                  | 181                  | 181                  |
| <b>OPWDD - Other</b>   | <b>2,518,488</b>   | <b>2,455,481</b>   | <b>1,467,140</b>   | <b>1,762,459</b>     | <b>2,203,025</b>     | <b>2,116,305</b>     |
| Local Assistance   | 583,954            | 438,663            | 141,501            | 434,826              | 838,871              | 737,192              |
| State Operations   | 1,297,334          | 1,333,017          | 1,325,639          | 1,327,633            | 1,364,154            | 1,379,113            |
| Personal Service   | 1,092,002          | 1,129,750          | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| Non-Personal Service/Indirect Costs                                  | 205,332            | 203,267            | 187,700            | 181,644              | 186,848              | 192,257              |
| General State Charges  | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>  | <b>6,515,819</b>   | <b>6,526,829</b>   | <b>4,974,283</b>   | <b>5,428,070</b>     | <b>6,023,914</b>     | <b>6,063,318</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                            |                    |                    |                    |                      |                      |                      |
| <b>Correction, Commission of</b>                                     | <b>2,431</b>       | <b>2,594</b>       | <b>2,651</b>       | <b>2,651</b>         | <b>2,773</b>         | <b>2,735</b>         |
| State Operations   | 2,431              | 2,594              | 2,651              | 2,651                | 2,773                | 2,735                |
| Personal Service   | 2,234              | 2,316              | 2,414              | 2,414                | 2,531                | 2,488                |
| Non-Personal Service/Indirect Costs                                  | 197                | 278                | 237                | 237                  | 242                  | 247                  |
| <b>Correctional Services, Department of</b>                          | <b>2,645,220</b>   | <b>2,633,748</b>   | <b>2,611,123</b>   | <b>2,623,496</b>     | <b>2,629,126</b>     | <b>2,620,496</b>     |
| Local Assistance   | 4,457              | 5,686              | 4,836              | 4,836                | 4,836                | 4,836                |
| State Operations   | 2,640,662          | 2,627,945          | 2,606,181          | 2,618,554            | 2,624,184            | 2,615,554            |
| Personal Service   | 2,113,404          | 2,098,499          | 2,042,832          | 2,056,336            | 2,061,966            | 2,056,336            |
| Non-Personal Service/Indirect Costs                                  | 527,258            | 529,446            | 563,349            | 562,218              | 562,218              | 559,218              |
| General State Charges  | 101                | 117                | 106                | 106                  | 106                  | 106                  |
| <b>Corrections and Community Supervision Medicaid, Department of</b> | <b>0</b>           | <b>0</b>           | <b>2,000</b>       | <b>2,000</b>         | <b>2,000</b>         | <b>0</b>             |
| Local Assistance   | 0                  | 0                  | 2,000              | 2,000                | 2,000                | 0                    |
| <b>Criminal Justice Services, Division of</b>                        | <b>191,089</b>     | <b>193,155</b>     | <b>222,552</b>     | <b>193,281</b>       | <b>194,256</b>       | <b>194,078</b>       |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Local Assistance   | 155,863            | 156,618            | 185,704            | 156,433              | 156,433              | 156,433              |
| State Operations   | 35,193             | 36,502             | 36,813             | 36,813               | 37,788               | 37,610               |
| Personal Service   | 26,998             | 26,993             | 27,582             | 27,582               | 28,557               | 28,113               |
| Non-Personal Service/Indirect Costs                          | 8,195              | 9,509              | 9,231              | 9,231                | 9,231                | 9,497                |
| General State Charges  | 33                 | 35                 | 35                 | 35                   | 35                   | 35                   |
| <b>Homeland Security and Emergency Services, Division of</b> | <b>70,123</b>      | <b>60,960</b>      | <b>93,699</b>      | <b>96,171</b>        | <b>131,846</b>       | <b>143,640</b>       |
| Local Assistance   | 43,427             | 30,642             | 56,339             | 57,141               | 91,542               | 100,839              |
| State Operations   | 26,030             | 29,703             | 36,551             | 38,213               | 39,469               | 41,896               |
| Personal Service   | 16,748             | 16,362             | 23,313             | 29,610               | 30,856               | 32,215               |
| Non-Personal Service/Indirect Costs                          | 9,282              | 13,341             | 13,238             | 8,603                | 8,613                | 9,681                |
| General State Charges  | 666                | 615                | 809                | 817                  | 835                  | 905                  |
| <b>Indigent Legal Services, Office of</b>                    | <b>63,641</b>      | <b>75,499</b>      | <b>166,393</b>     | <b>190,581</b>       | <b>214,855</b>       | <b>214,975</b>       |
| Local Assistance   | 60,503             | 72,102             | 160,672            | 184,765              | 208,859              | 208,859              |
| State Operations   | 2,171              | 2,328              | 3,815              | 3,813                | 3,879                | 3,877                |
| Personal Service   | 1,678              | 1,873              | 2,982              | 2,982                | 3,048                | 3,034                |
| Non-Personal Service/Indirect Costs                          | 493                | 455                | 833                | 831                  | 831                  | 843                  |
| General State Charges  | 967                | 1,069              | 1,906              | 2,003                | 2,117                | 2,239                |
| <b>Judicial Conduct, Commission on</b>                       | <b>5,505</b>       | <b>5,552</b>       | <b>5,696</b>       | <b>5,708</b>         | <b>5,936</b>         | <b>6,114</b>         |
| State Operations   | 5,505              | 5,552              | 5,696              | 5,708                | 5,936                | 6,114                |
| Personal Service   | 4,181              | 4,238              | 4,312              | 4,347                | 4,548                | 4,483                |
| Non-Personal Service/Indirect Costs                          | 1,324              | 1,314              | 1,384              | 1,361                | 1,388                | 1,631                |
| <b>Judicial Nomination, Commission on</b>                    | <b>10</b>          | <b>10</b>          | <b>30</b>          | <b>30</b>            | <b>30</b>            | <b>30</b>            |
| State Operations   | 10                 | 10                 | 30                 | 30                   | 30                   | 30                   |
| Non-Personal Service/Indirect Costs                          | 10                 | 10                 | 30                 | 30                   | 30                   | 30                   |
| <b>Judicial Screening Committees, New York State</b>         | <b>9</b>           | <b>14</b>          | <b>38</b>          | <b>38</b>            | <b>38</b>            | <b>38</b>            |
| State Operations   | 9                  | 14                 | 38                 | 38                   | 38                   | 38                   |
| Non-Personal Service/Indirect Costs                          | 9                  | 14                 | 38                 | 38                   | 38                   | 38                   |
| <b>Military and Naval Affairs, Division of</b>               | <b>27,594</b>      | <b>25,915</b>      | <b>23,255</b>      | <b>22,956</b>        | <b>23,252</b>        | <b>23,983</b>        |
| Local Assistance   | 786                | 819                | 820                | 820                  | 820                  | 886                  |
| State Operations   | 26,799             | 25,090             | 22,376             | 22,127               | 22,423               | 23,087               |
| Personal Service   | 17,164             | 15,083             | 14,322             | 14,322               | 14,618               | 14,692               |
| Non-Personal Service/Indirect Costs                          | 9,635              | 10,007             | 8,054              | 7,805                | 7,805                | 8,395                |
| General State Charges  | 9                  | 6                  | 59                 | 9                    | 9                    | 10                   |
| <b>State Police, Division of</b>                             | <b>738,493</b>     | <b>756,006</b>     | <b>754,438</b>     | <b>750,125</b>       | <b>776,047</b>       | <b>790,925</b>       |
| State Operations   | 720,382            | 741,934            | 730,538            | 725,315              | 750,894              | 765,602              |
| Personal Service   | 649,718            | 677,367            | 668,551            | 665,095              | 690,605              | 703,242              |
| Non-Personal Service/Indirect Costs                          | 70,664             | 64,567             | 61,987             | 60,220               | 60,289               | 62,360               |
| General State Charges  | 18,111             | 14,072             | 23,900             | 24,810               | 25,153               | 25,323               |
| <b>Statewide Financial System</b>                            | <b>30,309</b>      | <b>30,137</b>      | <b>30,382</b>      | <b>30,506</b>        | <b>30,949</b>        | <b>31,117</b>        |
| State Operations   | 30,309             | 30,137             | 30,382             | 30,506               | 30,949               | 31,117               |
| Personal Service   | 11,045             | 11,094             | 11,758             | 11,882               | 12,325               | 12,121               |
| Non-Personal Service/Indirect Costs                          | 19,264             | 19,043             | 18,624             | 18,624               | 18,624               | 18,996               |
| <b>Victim Services, Office of</b>                            | <b>28,996</b>      | <b>26,080</b>      | <b>33,557</b>      | <b>36,345</b>        | <b>36,462</b>        | <b>37,111</b>        |
| Local Assistance   | 23,748             | 20,728             | 27,956             | 30,744               | 30,744               | 31,398               |
| State Operations   | 3,678              | 3,714              | 3,951              | 3,951                | 4,068                | 4,030                |
| Personal Service   | 3,145              | 3,184              | 3,176              | 3,176                | 3,293                | 3,242                |
| Non-Personal Service/Indirect Costs                          | 533                | 530                | 775                | 775                  | 775                  | 788                  |
| General State Charges  | 1,570              | 1,638              | 1,650              | 1,650                | 1,650                | 1,683                |
| <b>Functional Total</b>                                      | <b>3,803,420</b>   | <b>3,809,670</b>   | <b>3,945,814</b>   | <b>3,953,888</b>     | <b>4,047,570</b>     | <b>4,065,242</b>     |
| <b>HIGHER EDUCATION</b>                                      |                    |                    |                    |                      |                      |                      |
| <b>City University of New York</b>                           | <b>1,526,003</b>   | <b>1,568,018</b>   | <b>1,588,979</b>   | <b>1,629,891</b>     | <b>1,670,440</b>     | <b>1,706,327</b>     |
| Local Assistance   | 1,424,129          | 1,465,153          | 1,493,737          | 1,533,264            | 1,572,884            | 1,607,831            |
| State Operations   | 94,213             | 102,720            | 95,092             | 96,477               | 97,406               | 98,346               |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Personal Service   | 48,975             | 52,623             | 48,148             | 48,594               | 49,045               | 49,501               |
| Non-Personal Service/Indirect Costs                          | 45,238             | 50,097             | 46,944             | 47,883               | 48,361               | 48,845               |
| General State Charges  | 7,661              | 145                | 150                | 150                  | 150                  | 150                  |
| <b>Higher Education - Miscellaneous</b>                      | <b>529</b>         | <b>337</b>         | <b>441</b>         | <b>441</b>           | <b>441</b>           | <b>441</b>           |
| State Operations   | 386                | 256                | 291                | 291                  | 291                  | 291                  |
| Personal Service   | 283                | 169                | 198                | 198                  | 198                  | 198                  |
| Non-Personal Service/Indirect Costs                          | 103                | 87                 | 93                 | 93                   | 93                   | 93                   |
| General State Charges  | 143                | 81                 | 150                | 150                  | 150                  | 150                  |
| <b>Higher Education Services Corporation, New York State</b> | <b>1,014,320</b>   | <b>929,204</b>     | <b>1,132,969</b>   | <b>1,209,228</b>     | <b>1,227,501</b>     | <b>1,234,607</b>     |
| Local Assistance   | 957,504            | 879,322            | 1,080,675          | 1,156,934            | 1,175,207            | 1,182,313            |
| State Operations   | 48,292             | 41,751             | 43,274             | 43,274               | 43,274               | 43,274               |
| Personal Service   | 14,475             | 13,380             | 12,916             | 12,916               | 12,916               | 12,916               |
| Non-Personal Service/Indirect Costs                          | 33,817             | 28,371             | 30,358             | 30,358               | 30,358               | 30,358               |
| General State Charges  | 8,524              | 8,131              | 9,020              | 9,020                | 9,020                | 9,020                |
| <b>State University of New York</b>                          | <b>6,914,895</b>   | <b>6,999,905</b>   | <b>7,166,919</b>   | <b>7,246,571</b>     | <b>7,419,662</b>     | <b>7,371,152</b>     |
| Local Assistance   | 491,891            | 488,783            | 482,289            | 484,643              | 484,643              | 484,643              |
| State Operations   | 6,002,721          | 6,080,263          | 6,199,559          | 6,261,362            | 6,420,899            | 6,382,236            |
| Personal Service   | 3,766,633          | 3,753,285          | 3,973,548          | 4,014,523            | 4,153,020            | 4,093,105            |
| Non-Personal Service/Indirect Costs                          | 2,236,088          | 2,326,978          | 2,226,011          | 2,246,839            | 2,267,879            | 2,289,131            |
| General State Charges  | 420,283            | 430,859            | 485,071            | 500,566              | 514,120              | 504,273              |
| <b>Functional Total</b>                                      | <b>9,455,747</b>   | <b>9,497,464</b>   | <b>9,889,308</b>   | <b>10,086,131</b>    | <b>10,318,044</b>    | <b>10,312,527</b>    |
| <b>EDUCATION</b>   |                    |                    |                    |                      |                      |                      |
| <b>Arts, Council on the</b>                                  | <b>42,832</b>      | <b>39,210</b>      | <b>45,413</b>      | <b>45,253</b>        | <b>45,349</b>        | <b>45,253</b>        |
| Local Assistance   | 38,907             | 34,950             | 41,093             | 40,933               | 40,933               | 40,933               |
| State Operations   | 3,925              | 4,260              | 4,320              | 4,320                | 4,416                | 4,320                |
| Personal Service   | 2,491              | 2,626              | 2,498              | 2,498                | 2,594                | 2,498                |
| Non-Personal Service/Indirect Costs                          | 1,434              | 1,634              | 1,822              | 1,822                | 1,822                | 1,822                |
| <b>Education, Department of</b>                              | <b>29,854,304</b>  | <b>30,372,803</b>  | <b>31,457,126</b>  | <b>32,353,760</b>    | <b>33,532,259</b>    | <b>34,876,998</b>    |
| <b>School Aid</b>  | <b>24,293,624</b>  | <b>25,396,252</b>  | <b>26,384,408</b>  | <b>27,381,797</b>    | <b>28,488,874</b>    | <b>29,748,225</b>    |
| Local Assistance   | 24,293,624         | 25,396,252         | 26,384,408         | 27,381,797           | 28,488,874           | 29,748,225           |
| <b>School Aid – Other</b>                                    | <b>57,079</b>      | <b>61,169</b>      | <b>99,342</b>      | <b>78,195</b>        | <b>78,195</b>        | <b>78,195</b>        |
| Local Assistance   | 57,079             | 61,169             | 99,342             | 78,195               | 78,195               | 78,195               |
| <b>STAR Property Tax Relief</b>                              | <b>3,139,051</b>   | <b>2,589,145</b>   | <b>2,459,259</b>   | <b>2,417,238</b>     | <b>2,401,995</b>     | <b>2,401,995</b>     |
| Local Assistance   | 3,139,051          | 2,589,145          | 2,459,259          | 2,417,238            | 2,401,995            | 2,401,995            |
| <b>Special Education Categorical Programs</b>                | <b>1,317,359</b>   | <b>1,263,705</b>   | <b>1,328,600</b>   | <b>1,349,837</b>     | <b>1,417,421</b>     | <b>1,483,266</b>     |
| Local Assistance   | 1,317,359          | 1,263,705          | 1,328,600          | 1,349,837            | 1,417,421            | 1,483,266            |
| <b>All Other</b>   | <b>1,047,191</b>   | <b>1,062,532</b>   | <b>1,185,517</b>   | <b>1,126,693</b>     | <b>1,145,774</b>     | <b>1,165,317</b>     |
| Local Assistance   | 875,873            | 883,117            | 1,003,126          | 945,353              | 957,506              | 979,572              |
| State Operations   | 139,176            | 144,872            | 144,928            | 142,548              | 145,816              | 142,550              |
| Personal Service   | 86,602             | 90,372             | 88,090             | 87,774               | 90,983               | 87,774               |
| Non-Personal Service/Indirect Costs                          | 52,574             | 54,500             | 56,838             | 54,774               | 54,833               | 54,776               |
| General State Charges  | 32,142             | 34,543             | 37,463             | 38,792               | 42,452               | 43,195               |
| <b>Functional Total</b>                                      | <b>29,897,136</b>  | <b>30,412,013</b>  | <b>31,502,539</b>  | <b>32,399,013</b>    | <b>33,577,608</b>    | <b>34,922,251</b>    |
| <b>GENERAL GOVERNMENT</b>                                    |                    |                    |                    |                      |                      |                      |
| <b>Budget, Division of the</b>                               | <b>25,335</b>      | <b>27,312</b>      | <b>30,423</b>      | <b>29,596</b>        | <b>30,603</b>        | <b>29,596</b>        |
| State Operations   | 24,559             | 26,600             | 28,766             | 27,939               | 28,886               | 27,939               |
| Personal Service   | 20,619             | 21,683             | 24,567             | 24,567               | 25,511               | 24,567               |
| Non-Personal Service/Indirect Costs                          | 3,940              | 4,917              | 4,199              | 3,372                | 3,375                | 3,372                |
| General State Charges  | 776                | 712                | 1,657              | 1,657                | 1,717                | 1,657                |
| <b>Civil Service, Department of</b>                          | <b>13,379</b>      | <b>14,975</b>      | <b>13,560</b>      | <b>13,680</b>        | <b>14,189</b>        | <b>13,815</b>        |



**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
(thousands of dollars)

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| State Operations                                   | 13,295             | 14,795             | 13,331             | 13,441               | 13,926               | 13,545               |
| Personal Service                                   | 12,212             | 14,053             | 12,497             | 12,591               | 13,075               | 12,685               |
| Non-Personal Service/Indirect Costs                | 1,083              | 742                | 834                | 850                  | 851                  | 860                  |
| General State Charges                              | 84                 | 180                | 229                | 239                  | 263                  | 270                  |
| <b>Deferred Compensation Board</b>                 | <b>613</b>         | <b>675</b>         | <b>846</b>         | <b>853</b>           | <b>854</b>           | <b>853</b>           |
| State Operations                                   | 418                | 451                | 641                | 648                  | 649                  | 648                  |
| Personal Service                                   | 368                | 408                | 410                | 413                  | 414                  | 413                  |
| Non-Personal Service/Indirect Costs                | 50                 | 43                 | 231                | 235                  | 235                  | 235                  |
| General State Charges                              | 195                | 224                | 205                | 205                  | 205                  | 205                  |
| <b>Elections, State Board of</b>                   | <b>7,188</b>       | <b>7,813</b>       | <b>9,837</b>       | <b>10,147</b>        | <b>10,583</b>        | <b>10,054</b>        |
| Local Assistance                                   | 93                 | 50                 | 0                  | 0                    | 0                    | 0                    |
| State Operations                                   | 7,095              | 7,763              | 9,837              | 10,147               | 10,583               | 10,054               |
| Personal Service                                   | 5,765              | 6,077              | 6,143              | 6,288                | 6,571                | 6,478                |
| Non-Personal Service/Indirect Costs                | 1,330              | 1,686              | 3,694              | 3,859                | 4,012                | 3,576                |
| <b>Employee Relations, Office of</b>               | <b>2,334</b>       | <b>3,569</b>       | <b>2,601</b>       | <b>2,621</b>         | <b>2,719</b>         | <b>2,621</b>         |
| State Operations                                   | 2,334              | 3,569              | 2,601              | 2,621                | 2,719                | 2,621                |
| Personal Service                                   | 2,269              | 2,515              | 2,529              | 2,548                | 2,646                | 2,548                |
| Non-Personal Service/Indirect Costs                | 65                 | 1,054              | 72                 | 73                   | 73                   | 73                   |
| <b>Gaming Commission, New York State</b>           | <b>253,064</b>     | <b>176,850</b>     | <b>238,873</b>     | <b>212,703</b>       | <b>215,120</b>       | <b>212,703</b>       |
| Local Assistance                                   | 101,820            | 65,779             | 140,570            | 114,400              | 114,400              | 114,400              |
| State Operations                                   | 138,467            | 94,531             | 80,728             | 80,728               | 82,255               | 80,728               |
| Personal Service                                   | 32,822             | 34,862             | 38,757             | 38,757               | 40,248               | 38,757               |
| Non-Personal Service/Indirect Costs                | 105,645            | 59,669             | 41,971             | 41,971               | 42,007               | 41,971               |
| General State Charges                              | 12,777             | 16,540             | 17,575             | 17,575               | 18,465               | 17,575               |
| <b>General Services, Office of</b>                 | <b>161,219</b>     | <b>143,940</b>     | <b>137,569</b>     | <b>133,510</b>       | <b>132,372</b>       | <b>130,999</b>       |
| State Operations                                   | 160,812            | 143,571            | 137,021            | 132,943              | 131,745              | 130,355              |
| Personal Service                                   | 77,580             | 67,107             | 48,450             | 48,450               | 51,875               | 49,458               |
| Non-Personal Service/Indirect Costs                | 83,232             | 76,464             | 88,571             | 84,493               | 79,870               | 80,897               |
| General State Charges                              | 407                | 369                | 548                | 567                  | 627                  | 644                  |
| <b>Inspector General, Office of the</b>            | <b>7,179</b>       | <b>7,166</b>       | <b>7,427</b>       | <b>7,487</b>         | <b>7,826</b>         | <b>8,061</b>         |
| State Operations                                   | 7,179              | 7,166              | 7,427              | 7,487                | 7,826                | 8,061                |
| Personal Service                                   | 5,833              | 6,223              | 6,600              | 6,648                | 6,970                | 6,857                |
| Non-Personal Service/Indirect Costs                | 1,346              | 943                | 827                | 839                  | 856                  | 1,204                |
| <b>Labor Management Committees</b>                 | <b>28,818</b>      | <b>24,773</b>      | <b>31,300</b>      | <b>31,306</b>        | <b>31,517</b>        | <b>31,306</b>        |
| State Operations                                   | 28,818             | 24,773             | 31,300             | 31,306               | 31,517               | 31,306               |
| Personal Service                                   | 7,871              | 7,747              | 5,446              | 5,487                | 5,698                | 5,487                |
| Non-Personal Service/Indirect Costs                | 20,947             | 17,026             | 25,854             | 25,819               | 25,819               | 25,819               |
| <b>Prevention of Domestic Violence, Office for</b> | <b>2,028</b>       | <b>2,349</b>       | <b>2,949</b>       | <b>3,049</b>         | <b>3,121</b>         | <b>3,059</b>         |
| Local Assistance                                   | 528                | 791                | 1,285              | 1,385                | 1,385                | 1,412                |
| State Operations                                   | 1,500              | 1,558              | 1,664              | 1,664                | 1,736                | 1,647                |
| Personal Service                                   | 1,338              | 1,412              | 1,469              | 1,491                | 1,524                | 1,431                |
| Non-Personal Service/Indirect Costs                | 162                | 146                | 195                | 173                  | 212                  | 216                  |
| <b>Public Employment Relations Board</b>           | <b>3,281</b>       | <b>3,260</b>       | <b>3,604</b>       | <b>3,634</b>         | <b>3,764</b>         | <b>3,634</b>         |
| State Operations                                   | 3,281              | 3,260              | 3,604              | 3,634                | 3,764                | 3,634                |
| Personal Service                                   | 3,056              | 3,059              | 3,313              | 3,338                | 3,468                | 3,338                |
| Non-Personal Service/Indirect Costs                | 225                | 201                | 291                | 296                  | 296                  | 296                  |
| <b>Public Integrity, Commission on</b>             | <b>4,876</b>       | <b>5,115</b>       | <b>5,576</b>       | <b>5,630</b>         | <b>5,835</b>         | <b>6,010</b>         |
| State Operations                                   | 4,876              | 5,115              | 5,576              | 5,630                | 5,835                | 6,010                |
| Personal Service                                   | 3,808              | 4,386              | 4,646              | 4,681                | 4,867                | 4,827                |
| Non-Personal Service/Indirect Costs                | 1,068              | 729                | 930                | 949                  | 968                  | 1,183                |
| <b>State, Department of</b>                        | <b>69,942</b>      | <b>64,267</b>      | <b>68,091</b>      | <b>67,724</b>        | <b>63,055</b>        | <b>62,203</b>        |
| Local Assistance                                   | 12,643             | 10,035             | 14,961             | 14,379               | 7,379                | 7,379                |
| State Operations                                   | 47,676             | 43,799             | 41,020             | 40,821               | 41,933               | 40,821               |
| Personal Service                                   | 29,588             | 29,257             | 27,774             | 27,724               | 28,812               | 27,724               |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Non-Personal Service/Indirect Costs         | 18,088             | 14,542             | 13,246             | 13,097               | 13,121               | 13,097               |
| General State Charges                       | 9,623              | 10,433             | 12,110             | 12,524               | 13,743               | 14,003               |
| <b>Tax Appeals, Division of</b>             | <b>2,833</b>       | <b>2,688</b>       | <b>3,040</b>       | <b>3,040</b>         | <b>3,150</b>         | <b>3,040</b>         |
| State Operations                            | 2,833              | 2,688              | 3,040              | 3,040                | 3,150                | 3,040                |
| Personal Service                            | 2,604              | 2,541              | 2,870              | 2,870                | 2,980                | 2,870                |
| Non-Personal Service/Indirect Costs         | 229                | 147                | 170                | 170                  | 170                  | 170                  |
| <b>Taxation and Finance, Department of</b>  | <b>357,907</b>     | <b>345,192</b>     | <b>362,336</b>     | <b>360,880</b>       | <b>371,807</b>       | <b>360,280</b>       |
| Local Assistance                            | 1,080              | 1,542              | 2,726              | 2,726                | 2,726                | 2,726                |
| State Operations                            | 331,810            | 321,015            | 332,346            | 331,091              | 340,898              | 330,482              |
| Personal Service                            | 284,526            | 275,658            | 264,119            | 264,323              | 273,392              | 264,338              |
| Non-Personal Service/Indirect Costs         | 47,284             | 45,357             | 68,227             | 66,768               | 67,506               | 66,144               |
| General State Charges                       | 25,017             | 22,635             | 27,264             | 27,063               | 28,183               | 27,072               |
| <b>Technology, Office for</b>               | <b>548,366</b>     | <b>535,977</b>     | <b>544,532</b>     | <b>540,286</b>       | <b>550,699</b>       | <b>557,255</b>       |
| State Operations                            | 548,366            | 535,977            | 544,532            | 540,286              | 550,699              | 557,255              |
| Personal Service                            | 298,543            | 289,994            | 286,118            | 291,603              | 308,070              | 303,412              |
| Non-Personal Service/Indirect Costs         | 249,823            | 245,983            | 258,414            | 248,683              | 242,629              | 253,843              |
| <b>Veterans' Affairs, Division of</b>       | <b>14,291</b>      | <b>13,791</b>      | <b>16,028</b>      | <b>13,873</b>        | <b>14,119</b>        | <b>14,054</b>        |
| Local Assistance                            | 8,340              | 7,906              | 9,792              | 7,637                | 7,637                | 7,637                |
| State Operations                            | 5,951              | 5,885              | 6,236              | 6,236                | 6,482                | 6,417                |
| Personal Service                            | 5,086              | 5,512              | 5,938              | 5,938                | 6,178                | 6,107                |
| Non-Personal Service/Indirect Costs         | 865                | 373                | 298                | 298                  | 304                  | 310                  |
| <b>Welfare Inspector General, Office of</b> | <b>592</b>         | <b>671</b>         | <b>686</b>         | <b>701</b>           | <b>731</b>           | <b>753</b>           |
| State Operations                            | 592                | 671                | 686                | 701                  | 731                  | 753                  |
| Personal Service                            | 565                | 619                | 621                | 626                  | 654                  | 646                  |
| Non-Personal Service/Indirect Costs         | 27                 | 52                 | 65                 | 75                   | 77                   | 107                  |
| <b>Workers' Compensation Board</b>          | <b>187,641</b>     | <b>193,366</b>     | <b>196,543</b>     | <b>198,595</b>       | <b>208,376</b>       | <b>204,271</b>       |
| State Operations                            | 138,722            | 140,971            | 143,323            | 145,193              | 150,513              | 146,408              |
| Personal Service                            | 82,632             | 85,117             | 84,993             | 85,604               | 89,709               | 85,604               |
| Non-Personal Service/Indirect Costs         | 56,090             | 55,854             | 58,330             | 59,589               | 60,804               | 60,804               |
| General State Charges                       | 48,919             | 52,395             | 53,220             | 53,402               | 57,863               | 57,863               |
| <b>Functional Total</b>                     | <b>1,690,886</b>   | <b>1,573,749</b>   | <b>1,675,821</b>   | <b>1,639,315</b>     | <b>1,670,440</b>     | <b>1,654,567</b>     |
| <b>ELECTED OFFICIALS</b>                    |                    |                    |                    |                      |                      |                      |
| <b>Audit and Control, Department of</b>     | <b>182,905</b>     | <b>180,421</b>     | <b>188,511</b>     | <b>188,694</b>       | <b>195,069</b>       | <b>189,387</b>       |
| Local Assistance                            | 32,025             | 32,025             | 32,024             | 32,024               | 32,024               | 32,024               |
| State Operations                            | 149,275            | 146,719            | 154,352            | 154,535              | 160,878              | 155,196              |
| Personal Service                            | 115,964            | 119,019            | 123,015            | 123,093              | 128,775              | 123,093              |
| Non-Personal Service/Indirect Costs         | 33,311             | 27,700             | 31,337             | 31,442               | 32,103               | 32,103               |
| General State Charges                       | 1,605              | 1,677              | 2,135              | 2,135                | 2,167                | 2,167                |
| <b>Executive Chamber</b>                    | <b>14,653</b>      | <b>13,560</b>      | <b>13,578</b>      | <b>13,578</b>        | <b>14,032</b>        | <b>13,578</b>        |
| State Operations                            | 14,653             | 13,560             | 13,578             | 13,578               | 14,032               | 13,578               |
| Personal Service                            | 10,746             | 9,979              | 11,113             | 11,113               | 11,567               | 11,113               |
| Non-Personal Service/Indirect Costs         | 3,907              | 3,581              | 2,465              | 2,465                | 2,465                | 2,465                |
| <b>Judiciary</b>                            | <b>2,822,160</b>   | <b>2,945,329</b>   | <b>3,019,129</b>   | <b>3,034,128</b>     | <b>3,091,129</b>     | <b>3,034,129</b>     |
| Local Assistance                            | 101,099            | 114,224            | 112,200            | 127,199              | 127,200              | 127,200              |
| State Operations                            | 2,018,899          | 2,080,909          | 2,132,700          | 2,121,200            | 2,178,200            | 2,121,200            |
| Personal Service                            | 1,568,100          | 1,624,889          | 1,667,500          | 1,656,000            | 1,713,000            | 1,656,000            |
| Non-Personal Service/Indirect Costs         | 450,799            | 456,020            | 465,200            | 465,200              | 465,200              | 465,200              |
| General State Charges                       | 702,162            | 750,196            | 774,229            | 785,729              | 785,729              | 785,729              |
| <b>Law, Department of</b>                   | <b>184,901</b>     | <b>200,091</b>     | <b>198,698</b>     | <b>201,421</b>       | <b>209,617</b>       | <b>203,561</b>       |
| State Operations                            | 170,170            | 183,513            | 179,396            | 181,842              | 189,005              | 182,949              |
| Personal Service                            | 120,219            | 132,481            | 126,309            | 127,662              | 133,718              | 127,662              |
| Non-Personal Service/Indirect Costs         | 49,951             | 51,032             | 53,087             | 54,180               | 55,287               | 55,287               |
| General State Charges                       | 14,731             | 16,578             | 19,302             | 19,579               | 20,612               | 20,612               |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>Legislature</b>                            | <b>225,893</b>             | <b>223,372</b>             | <b>229,858</b>             | <b>234,436</b>               | <b>239,106</b>               | <b>243,869</b>               |
| State Operations                              | 225,893                    | 223,372                    | 229,858                    | 234,436                      | 239,106                      | 243,869                      |
| Personal Service                              | 170,623                    | 174,350                    | 175,541                    | 179,052                      | 182,633                      | 186,286                      |
| Non-Personal Service/Indirect Costs           | 55,270                     | 49,022                     | 54,317                     | 55,384                       | 56,473                       | 57,583                       |
| <b>Lieutenant Governor, Office of the</b>     | <b>510</b>                 | <b>492</b>                 | <b>614</b>                 | <b>614</b>                   | <b>634</b>                   | <b>614</b>                   |
| State Operations                              | 510                        | 492                        | 614                        | 614                          | 634                          | 614                          |
| Personal Service                              | 364                        | 382                        | 523                        | 523                          | 543                          | 523                          |
| Non-Personal Service/Indirect Costs           | 146                        | 110                        | 91                         | 91                           | 91                           | 91                           |
| <b>Functional Total</b>                       | <b>3,431,022</b>           | <b>3,563,265</b>           | <b>3,650,388</b>           | <b>3,672,871</b>             | <b>3,749,587</b>             | <b>3,685,138</b>             |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>            |                            |                            |                            |                              |                              |                              |
| <b>Aid and Incentives for Municipalities</b>  | <b>714,839</b>             | <b>721,920</b>             | <b>723,783</b>             | <b>731,363</b>               | <b>763,347</b>               | <b>763,347</b>               |
| Local Assistance                              | 714,732                    | 721,789                    | 723,533                    | 731,363                      | 763,347                      | 763,347                      |
| State Operations                              | 107                        | 131                        | 250                        | 0                            | 0                            | 0                            |
| Non-Personal Service/Indirect Costs           | 107                        | 131                        | 250                        | 0                            | 0                            | 0                            |
| <b>County-Wide Shared Services Initiative</b> | <b>0</b>                   | <b>0</b>                   | <b>0</b>                   | <b>100,000</b>               | <b>125,000</b>               | <b>0</b>                     |
| Local Assistance                              | 0                          | 0                          | 0                          | 100,000                      | 125,000                      | 0                            |
| <b>Efficiency Incentive Grants Program</b>    | <b>0</b>                   | <b>348</b>                 | <b>0</b>                   | <b>0</b>                     | <b>0</b>                     | <b>0</b>                     |
| Local Assistance                              | 0                          | 348                        | 0                          | 0                            | 0                            | 0                            |
| <b>Miscellaneous Financial Assistance</b>     | <b>16,046</b>              | <b>9,270</b>               | <b>12,001</b>              | <b>2,250</b>                 | <b>2,250</b>                 | <b>2,250</b>                 |
| Local Assistance                              | 16,046                     | 9,270                      | 12,001                     | 2,250                        | 2,250                        | 2,250                        |
| <b>Municipalities with VLT Facilities</b>     | <b>29,331</b>              | <b>28,885</b>              | <b>28,885</b>              | <b>28,885</b>                | <b>28,885</b>                | <b>28,885</b>                |
| Local Assistance                              | 29,331                     | 28,885                     | 28,885                     | 28,885                       | 28,885                       | 28,885                       |
| <b>Small Government Assistance</b>            | <b>217</b>                 | <b>217</b>                 | <b>218</b>                 | <b>218</b>                   | <b>218</b>                   | <b>218</b>                   |
| Local Assistance                              | 217                        | 217                        | 218                        | 218                          | 218                          | 218                          |
| <b>Functional Total</b>                       | <b>760,433</b>             | <b>760,640</b>             | <b>764,887</b>             | <b>862,716</b>               | <b>919,700</b>               | <b>794,700</b>               |
| <b>ALL OTHER CATEGORIES</b>                   |                            |                            |                            |                              |                              |                              |
| <b>General State Charges</b>                  | <b>4,782,944</b>           | <b>4,842,816</b>           | <b>6,642,283</b>           | <b>7,020,211</b>             | <b>7,558,961</b>             | <b>8,129,073</b>             |
| General State Charges                         | 4,782,944                  | 4,842,816                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| <b>Long-Term Debt Service</b>                 | <b>5,552,429</b>           | <b>5,914,725</b>           | <b>5,566,764</b>           | <b>6,667,152</b>             | <b>7,168,443</b>             | <b>7,144,263</b>             |
| State Operations                              | 38,647                     | 41,887                     | 47,183                     | 47,183                       | 47,183                       | 47,183                       |
| Non-Personal Service/Indirect Costs           | 38,647                     | 41,887                     | 47,183                     | 47,183                       | 47,183                       | 47,183                       |
| Debt Service                                  | 5,513,782                  | 5,872,838                  | 5,519,581                  | 6,619,969                    | 7,121,260                    | 7,097,080                    |
| <b>Miscellaneous</b>                          | <b>(64,162)</b>            | <b>(728)</b>               | <b>(39,586)</b>            | <b>209,161</b>               | <b>338,772</b>               | <b>330,900</b>               |
| Local Assistance                              | (94,357)                   | (56,833)                   | (219,943)                  | (84,610)                     | (70,066)                     | (77,943)                     |
| State Operations                              | 24,783                     | 47,660                     | 174,610                    | 288,022                      | 403,077                      | 403,077                      |
| Personal Service                              | 1,939                      | 2,194                      | 128,275                    | 243,285                      | 358,340                      | 358,340                      |
| Non-Personal Service/Indirect Costs           | 22,844                     | 45,466                     | 46,335                     | 44,737                       | 44,737                       | 44,737                       |
| General State Charges                         | 5,412                      | 8,445                      | 5,747                      | 5,749                        | 5,761                        | 5,766                        |
| <b>Functional Total</b>                       | <b>10,271,211</b>          | <b>10,756,813</b>          | <b>12,169,461</b>          | <b>13,896,524</b>            | <b>15,066,176</b>            | <b>15,604,236</b>            |
| <b>TOTAL STATE OPERATING FUNDS SPENDING</b>   | <b>96,199,099</b>          | <b>98,151,002</b>          | <b>100,135,423</b>         | <b>104,805,617</b>           | <b>109,612,120</b>           | <b>112,500,315</b>           |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 78,233             | 71,270             | 78,000             | 68,785               | 65,072               | 79,766               |
| Alcoholic Beverage Control, Division of                | 11,248             | 11,819             | 12,483             | 11,244               | 11,560               | 11,244               |
| Economic Development, Department of                    | 75,181             | 72,278             | 77,418             | 70,963               | 70,963               | 75,813               |
| Empire State Development Corporation                   | 122,298            | 124,880            | 95,576             | 86,746               | 91,746               | 91,746               |
| Financial Services, Department of                      | 362,563            | 357,557            | 374,035            | 378,571              | 397,475              | 391,112              |
| Olympic Regional Development Authority                 | 2,736              | 2,736              | 11,293             | 5,886                | 5,886                | 5,886                |
| Public Service Department                              | 75,529             | 84,881             | 77,674             | 78,645               | 80,874               | 80,921               |
| <b>Functional Total</b>                                | <b>727,788</b>     | <b>725,421</b>     | <b>726,479</b>     | <b>700,840</b>       | <b>723,576</b>       | <b>736,488</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,535              | 4,468              | 4,469              | 4,414                | 4,572                | 4,414                |
| Environmental Conservation, Department of              | 274,904            | 260,839            | 263,712            | 252,785              | 262,808              | 254,714              |
| Parks, Recreation and Historic Preservation, Office of | 191,925            | 179,334            | 182,232            | 180,091              | 186,561              | 181,690              |
| <b>Functional Total</b>                                | <b>471,364</b>     | <b>444,641</b>     | <b>450,413</b>     | <b>437,290</b>       | <b>453,941</b>       | <b>440,818</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 70,033             | 71,728             | 84,516             | 85,178               | 88,054               | 88,387               |
| Transportation, Department of                          | 5,002,277          | 5,034,223          | 4,218,625          | 3,963,258            | 4,011,278            | 4,147,514            |
| <b>Functional Total</b>                                | <b>5,072,310</b>   | <b>5,105,951</b>   | <b>4,303,141</b>   | <b>4,048,436</b>     | <b>4,099,332</b>     | <b>4,235,901</b>     |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 126,347            | 125,380            | 130,510            | 132,817              | 138,042              | 143,311              |
| Health, Department of                                  | 20,477,016         | 21,460,861         | 22,366,569         | 23,794,785           | 24,970,279           | 25,940,481           |
| <i>Medical Assistance</i>                              | 17,505,933         | 18,857,443         | 19,376,415         | 20,899,531           | 21,916,649           | 22,792,661           |
| <i>Essential Plan</i>                                  | 312,837            | 87,638             | 86,723             | 84,224               | 78,757               | 76,397               |
| <i>Medicaid Administration</i>                         | 728,644            | 583,789            | 917,523            | 744,037              | 721,913              | 707,680              |
| <i>Public Health</i>                                   | 1,929,602          | 1,931,991          | 1,985,908          | 2,066,993            | 2,252,960            | 2,363,743            |
| Medicaid Inspector General, Office of the              | 20,489             | 18,282             | 18,111             | 18,111               | 18,718               | 18,111               |
| <b>Functional Total</b>                                | <b>20,623,852</b>  | <b>21,604,523</b>  | <b>22,515,190</b>  | <b>23,945,713</b>    | <b>25,127,039</b>    | <b>26,101,903</b>    |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 1,966,820          | 1,851,516          | 1,982,381          | 2,049,994            | 2,135,764            | 2,173,609            |
| <i>OCFS</i>  | 1,884,573          | 1,774,154          | 1,897,639          | 1,959,187            | 2,041,777            | 2,075,458            |
| <i>OCFS - Other</i>                                    | 82,247             | 77,362             | 84,742             | 90,807               | 93,987               | 98,151               |
| Housing and Community Renewal, Division of             | 60,118             | 72,665             | 85,845             | 115,876              | 119,781              | 122,267              |
| Human Rights, Division of                              | 10,382             | 9,465              | 9,921              | 9,921                | 10,590               | 10,230               |
| Labor, Department of                                   | 82,569             | 83,203             | 81,668             | 67,156               | 69,893               | 71,435               |
| National and Community Service                         | 471                | 770                | 690                | 690                  | 699                  | 699                  |
| Temporary and Disability Assistance, Office of         | 1,357,751          | 1,352,404          | 1,407,194          | 1,491,173            | 1,498,466            | 1,504,986            |
| <i>Welfare Assistance</i>                              | 1,130,191          | 1,137,324          | 1,177,821          | 1,241,366            | 1,241,366            | 1,241,366            |
| <i>All Other</i>                                       | 227,560            | 215,080            | 229,373            | 249,807              | 257,100              | 263,620              |
| <b>Functional Total</b>                                | <b>3,478,111</b>   | <b>3,370,023</b>   | <b>3,567,699</b>   | <b>3,734,810</b>     | <b>3,835,193</b>     | <b>3,883,226</b>     |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 420,226            | 441,089            | 437,680            | 466,850              | 486,821              | 501,648              |
| <i>OASAS</i>   | 330,886            | 348,092            | 372,671            | 400,641              | 419,170              | 433,270              |
| <i>OASAS - Other</i>                                   | 89,340             | 92,997             | 65,009             | 66,209               | 67,651               | 68,378               |
| Justice Center   | 39,719             | 40,136             | 42,979             | 44,832               | 46,798               | 46,205               |
| Mental Health, Office of                               | 3,152,278          | 3,182,496          | 2,638,032          | 2,770,104            | 2,897,516            | 2,999,229            |
| <i>OMH</i>   | 1,457,079          | 1,459,290          | 1,319,515          | 1,453,351            | 1,536,681            | 1,599,985            |
| <i>OMH - Other</i>                                     | 1,695,199          | 1,723,206          | 1,318,517          | 1,316,753            | 1,360,835            | 1,399,244            |
| Mental Hygiene, Department of                          | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 2,903,369          | 2,862,904          | 1,855,592          | 2,146,284            | 2,592,779            | 2,516,236            |
| <i>OPWDD</i>   | 384,881            | 407,423            | 388,452            | 383,825              | 389,754              | 399,931              |
| <i>OPWDD - Other</i>                                   | 2,518,488          | 2,455,481          | 1,467,140          | 1,762,459            | 2,203,025            | 2,116,305            |
| <b>Functional Total</b>                                | <b>6,515,819</b>   | <b>6,526,829</b>   | <b>4,974,283</b>   | <b>5,428,070</b>     | <b>6,023,914</b>     | <b>6,063,318</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 2,431              | 2,594              | 2,651              | 2,651                | 2,773                | 2,735                |
| Correctional Services, Department of                   | 2,645,220          | 2,633,748          | 2,611,123          | 2,623,496            | 2,629,126            | 2,620,496            |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Corrections and Community Supervision Medicaid, Department of | 0                          | 0                          | 2,000                      | 2,000                        | 2,000                        | 0                            |
| Criminal Justice Services, Division of                        | 191,089                    | 193,155                    | 222,552                    | 193,281                      | 194,256                      | 194,078                      |
| Homeland Security and Emergency Services, Division of         | 70,123                     | 60,960                     | 93,699                     | 96,171                       | 131,846                      | 143,640                      |
| Indigent Legal Services, Office of                            | 63,641                     | 75,499                     | 166,393                    | 190,581                      | 214,855                      | 214,975                      |
| Judicial Conduct, Commission on                               | 5,505                      | 5,552                      | 5,696                      | 5,708                        | 5,936                        | 6,114                        |
| Judicial Nomination, Commission on                            | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| Judicial Screening Committees, New York State                 | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| Military and Naval Affairs, Division of                       | 27,594                     | 25,915                     | 23,255                     | 22,956                       | 23,252                       | 23,983                       |
| State Police, Division of                                     | 738,493                    | 756,006                    | 754,438                    | 750,125                      | 776,047                      | 790,925                      |
| Statewide Financial System                                    | 30,309                     | 30,137                     | 30,382                     | 30,506                       | 30,949                       | 31,117                       |
| Victim Services, Office of                                    | 28,996                     | 26,080                     | 33,557                     | 36,345                       | 36,462                       | 37,111                       |
| <b>Functional Total</b>                                       | <b>3,803,420</b>           | <b>3,809,670</b>           | <b>3,945,814</b>           | <b>3,953,888</b>             | <b>4,047,570</b>             | <b>4,065,242</b>             |
| <b>HIGHER EDUCATION</b>                                       |                            |                            |                            |                              |                              |                              |
| City University of New York                                   | 1,526,003                  | 1,568,018                  | 1,588,979                  | 1,629,891                    | 1,670,440                    | 1,706,327                    |
| Higher Education - Miscellaneous                              | 529                        | 337                        | 441                        | 441                          | 441                          | 441                          |
| Higher Education Services Corporation, New York State         | 1,014,320                  | 929,204                    | 1,132,969                  | 1,209,228                    | 1,227,501                    | 1,234,607                    |
| State University of New York                                  | 6,914,894                  | 6,999,907                  | 7,166,919                  | 7,246,571                    | 7,419,662                    | 7,371,152                    |
| <b>Functional Total</b>                                       | <b>9,455,746</b>           | <b>9,497,466</b>           | <b>9,889,308</b>           | <b>10,086,131</b>            | <b>10,318,044</b>            | <b>10,312,527</b>            |
| <b>EDUCATION</b>  |                            |                            |                            |                              |                              |                              |
| Arts, Council on the  | 42,832                     | 39,210                     | 45,413                     | 45,253                       | 45,349                       | 45,253                       |
| Education, Department of                                      | 29,854,304                 | 30,372,803                 | 31,457,126                 | 32,353,760                   | 33,532,259                   | 34,876,998                   |
| <i>School Aid</i>   | 24,293,624                 | 25,396,252                 | 26,384,408                 | 27,381,797                   | 28,488,874                   | 29,748,225                   |
| <i>School Aid – Other</i>                                     | 57,079                     | 61,169                     | 99,342                     | 78,195                       | 78,195                       | 78,195                       |
| <i>STAR Property Tax Relief</i>                               | 3,139,051                  | 2,589,145                  | 2,459,259                  | 2,417,238                    | 2,401,995                    | 2,401,995                    |
| <i>Special Education Categorical Programs</i>                 | 1,317,359                  | 1,263,705                  | 1,328,600                  | 1,349,837                    | 1,417,421                    | 1,483,266                    |
| <i>All Other</i>  | 1,047,191                  | 1,062,532                  | 1,185,517                  | 1,126,693                    | 1,145,774                    | 1,165,317                    |
| <b>Functional Total</b>                                       | <b>29,897,136</b>          | <b>30,412,013</b>          | <b>31,502,539</b>          | <b>32,399,013</b>            | <b>33,577,608</b>            | <b>34,922,251</b>            |
| <b>GENERAL GOVERNMENT</b>                                     |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                                       | 25,335                     | 27,312                     | 30,423                     | 29,596                       | 30,603                       | 29,596                       |
| Civil Service, Department of                                  | 13,379                     | 14,975                     | 13,560                     | 13,680                       | 14,189                       | 13,815                       |
| Deferred Compensation Board                                   | 613                        | 675                        | 846                        | 853                          | 854                          | 853                          |
| Elections, State Board of                                     | 7,188                      | 7,813                      | 9,837                      | 10,147                       | 10,583                       | 10,054                       |
| Employee Relations, Office of                                 | 2,334                      | 3,569                      | 2,601                      | 2,621                        | 2,719                        | 2,621                        |
| Gaming Commission, New York State                             | 253,064                    | 176,850                    | 238,873                    | 212,703                      | 215,120                      | 212,703                      |
| General Services, Office of                                   | 161,219                    | 143,940                    | 137,569                    | 133,510                      | 132,372                      | 130,999                      |
| Inspector General, Office of the                              | 7,179                      | 7,166                      | 7,427                      | 7,487                        | 7,826                        | 8,061                        |
| Labor Management Committees                                   | 28,818                     | 24,773                     | 31,300                     | 31,306                       | 31,517                       | 31,306                       |
| Prevention of Domestic Violence, Office for                   | 2,028                      | 2,349                      | 2,949                      | 3,049                        | 3,121                        | 3,059                        |
| Public Employment Relations Board                             | 3,281                      | 3,260                      | 3,604                      | 3,634                        | 3,764                        | 3,634                        |
| Public Integrity, Commission on                               | 4,876                      | 5,115                      | 5,576                      | 5,630                        | 5,835                        | 6,010                        |
| State, Department of  | 69,942                     | 64,267                     | 68,091                     | 67,724                       | 63,055                       | 62,203                       |
| Tax Appeals, Division of                                      | 2,833                      | 2,688                      | 3,040                      | 3,040                        | 3,150                        | 3,040                        |
| Taxation and Finance, Department of                           | 357,907                    | 345,192                    | 362,336                    | 360,880                      | 371,807                      | 360,280                      |
| Technology, Office for  | 548,366                    | 535,977                    | 544,532                    | 540,286                      | 550,699                      | 557,255                      |
| Veterans' Affairs, Division of                                | 14,291                     | 13,791                     | 16,028                     | 13,873                       | 14,119                       | 14,054                       |
| Welfare Inspector General, Office of                          | 592                        | 671                        | 686                        | 701                          | 731                          | 753                          |
| Workers' Compensation Board                                   | 187,641                    | 193,366                    | 196,543                    | 198,595                      | 208,376                      | 204,271                      |
| <b>Functional Total</b>                                       | <b>1,690,886</b>           | <b>1,573,749</b>           | <b>1,675,821</b>           | <b>1,639,315</b>             | <b>1,670,440</b>             | <b>1,654,567</b>             |
| <b>ELECTED OFFICIALS</b>                                      |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                              | 182,905                    | 180,421                    | 188,511                    | 188,694                      | 195,069                      | 189,387                      |
| Executive Chamber   | 14,653                     | 13,560                     | 13,578                     | 13,578                       | 14,032                       | 13,578                       |
| Judiciary   | 2,822,161                  | 2,945,329                  | 3,019,129                  | 3,034,128                    | 3,091,129                    | 3,034,129                    |
| Law, Department of  | 184,901                    | 200,091                    | 198,698                    | 201,421                      | 209,617                      | 203,561                      |
| Legislature   | 225,893                    | 223,372                    | 229,858                    | 234,436                      | 239,106                      | 243,869                      |
| Lieutenant Governor, Office of the                            | 510                        | 492                        | 614                        | 614                          | 634                          | 614                          |
| <b>Functional Total</b>                                       | <b>3,431,023</b>           | <b>3,563,265</b>           | <b>3,650,388</b>           | <b>3,672,871</b>             | <b>3,749,587</b>             | <b>3,685,138</b>             |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                            |                            |                            |                            |                              |                              |                              |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Aid and Incentives for Municipalities       | 714,839                    | 721,920                    | 723,783                    | 731,363                      | 763,347                      | 763,347                      |
| County-Wide Shared Services Initiative      | 0                          | 0                          | 0                          | 100,000                      | 125,000                      | 0                            |
| Efficiency Incentive Grants Program         | 0                          | 348                        | 0                          | 0                            | 0                            | 0                            |
| Miscellaneous Financial Assistance          | 16,046                     | 9,270                      | 12,001                     | 2,250                        | 2,250                        | 2,250                        |
| Municipalities with VLT Facilities          | 29,331                     | 28,885                     | 28,885                     | 28,885                       | 28,885                       | 28,885                       |
| Small Government Assistance                 | 217                        | 217                        | 218                        | 218                          | 218                          | 218                          |
| <b>Functional Total</b>                     | <b>760,433</b>             | <b>760,640</b>             | <b>764,887</b>             | <b>862,716</b>               | <b>919,700</b>               | <b>794,700</b>               |
| <b>ALL OTHER CATEGORIES</b>                 |                            |                            |                            |                              |                              |                              |
| General State Charges                       | 4,782,943                  | 4,842,815                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| Long-Term Debt Service                      | 5,552,429                  | 5,914,725                  | 5,566,764                  | 6,667,152                    | 7,168,443                    | 7,144,263                    |
| Miscellaneous                               | (64,162)                   | (728)                      | (39,586)                   | 209,161                      | 338,772                      | 330,900                      |
| <b>Functional Total</b>                     | <b>10,271,210</b>          | <b>10,756,812</b>          | <b>12,169,461</b>          | <b>13,896,524</b>            | <b>15,066,176</b>            | <b>15,604,236</b>            |
| <b>TOTAL STATE OPERATING FUNDS SPENDING</b> | <b>96,199,098</b>          | <b>98,151,003</b>          | <b>100,135,423</b>         | <b>104,805,617</b>           | <b>109,612,120</b>           | <b>112,500,315</b>           |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>          |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                        | 31,980             | 32,318             | 38,271             | 28,757               | 24,757               | 24,907               |
| Economic Development, Department of                           | 53,998             | 52,871             | 60,099             | 53,644               | 53,644               | 58,494               |
| Empire State Development Corporation                          | 122,298            | 124,880            | 95,576             | 86,746               | 91,746               | 91,746               |
| Financial Services, Department of                             | 66,703             | 57,254             | 64,954             | 61,886               | 61,855               | 61,855               |
| Olympic Regional Development Authority                        | 0                  | 0                  | 2,360              | 0                    | 0                    | 0                    |
| Public Service Department                                     | 0                  | 102                | 136                | 136                  | 136                  | 136                  |
| <b>Functional Total</b>                                       | <b>274,979</b>     | <b>267,425</b>     | <b>261,396</b>     | <b>231,169</b>       | <b>232,138</b>       | <b>237,138</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                              |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of                     | 2,105              | 2,491              | 4,095              | 3,019                | 3,019                | 3,019                |
| Parks, Recreation and Historic Preservation, Office of        | 7,963              | 7,087              | 5,856              | 5,550                | 5,550                | 5,550                |
| <b>Functional Total</b>                                       | <b>10,068</b>      | <b>9,578</b>       | <b>9,951</b>       | <b>8,569</b>         | <b>8,569</b>         | <b>8,569</b>         |
| <b>TRANSPORTATION</b>   |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                                 | 0                  | 1,600              | 375                | 0                    | 0                    | 0                    |
| Transportation, Department of                                 | 4,977,299          | 5,023,364          | 3,936,260          | 3,617,086            | 3,663,712            | 3,795,148            |
| <b>Functional Total</b>                                       | <b>4,977,299</b>   | <b>5,024,964</b>   | <b>3,936,635</b>   | <b>3,617,086</b>     | <b>3,663,712</b>     | <b>3,795,148</b>     |
| <b>HEALTH</b>   |                    |                    |                    |                      |                      |                      |
| Aging, Office for the   | 125,116            | 124,180            | 129,278            | 131,585              | 136,767              | 142,079              |
| Health, Department of   | 19,757,959         | 20,687,849         | 21,516,754         | 22,971,997           | 24,150,536           | 25,121,757           |
| <i>Medical Assistance</i>                                     | 17,505,933         | 18,857,443         | 19,376,415         | 20,899,531           | 21,916,649           | 22,792,661           |
| <i>Essential Plan</i>   | 269,000            | 0                  | 0                  | 0                    | 0                    | 0                    |
| <i>Medicaid Administration</i>                                | 467,643            | 286,336            | 585,173            | 428,149              | 411,867              | 396,295              |
| <i>Public Health</i>  | 1,515,383          | 1,544,070          | 1,555,166          | 1,644,317            | 1,822,020            | 1,932,801            |
| <b>Functional Total</b>                                       | <b>19,883,075</b>  | <b>20,812,029</b>  | <b>21,646,032</b>  | <b>23,103,582</b>    | <b>24,287,303</b>    | <b>25,263,836</b>    |
| <b>SOCIAL WELFARE</b>   |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                       | 1,715,339          | 1,608,134          | 1,691,071          | 1,672,391            | 1,690,996            | 1,726,177            |
| <i>OCFS</i>   | 1,633,092          | 1,530,772          | 1,606,329          | 1,581,584            | 1,597,009            | 1,628,026            |
| <i>OCFS - Other</i>   | 82,247             | 77,362             | 84,742             | 90,807               | 93,987               | 98,151               |
| Housing and Community Renewal, Division of                    | 4,370              | 4,410              | 10,258             | 40,289               | 40,942               | 41,617               |
| Labor, Department of  | 15,403             | 12,666             | 14,662             | 150                  | 150                  | 150                  |
| National and Community Service                                | 267                | 477                | 350                | 350                  | 350                  | 350                  |
| Temporary and Disability Assistance, Office of                | 1,220,003          | 1,229,518          | 1,279,914          | 1,357,562            | 1,360,362            | 1,364,162            |
| <i>Welfare Assistance</i>                                     | 1,130,191          | 1,137,324          | 1,177,821          | 1,241,366            | 1,241,366            | 1,241,366            |
| <i>All Other</i>  | 89,812             | 92,194             | 102,093            | 116,196              | 118,996              | 122,796              |
| <b>Functional Total</b>                                       | <b>2,955,382</b>   | <b>2,855,205</b>   | <b>2,996,255</b>   | <b>3,070,742</b>     | <b>3,092,800</b>     | <b>3,132,456</b>     |
| <b>MENTAL HYGIENE</b>   |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of            | 304,095            | 322,661            | 354,045            | 381,090              | 398,560              | 413,015              |
| <i>OASAS</i>  | 282,770            | 301,336            | 332,720            | 359,765              | 377,235              | 391,690              |
| <i>OASAS - Other</i>  | 21,325             | 21,325             | 21,325             | 21,325               | 21,325               | 21,325               |
| Justice Center  | 679                | 619                | 649                | 649                  | 649                  | 649                  |
| Mental Health, Office of                                      | 1,186,516          | 1,181,309          | 1,296,388          | 1,407,487            | 1,498,336            | 1,565,721            |
| <i>OMH</i>  | 916,016            | 915,920            | 997,063            | 1,097,776            | 1,177,706            | 1,233,622            |
| <i>OMH - Other</i>  | 270,500            | 265,389            | 299,325            | 309,711              | 320,630              | 332,099              |
| People with Developmental Disabilities, Office for            | 968,772            | 845,938            | 529,772            | 818,470              | 1,228,444            | 1,136,942            |
| <i>OPWDD</i>  | 384,818            | 407,275            | 388,271            | 383,644              | 389,573              | 399,750              |
| <i>OPWDD - Other</i>  | 583,954            | 438,663            | 141,501            | 434,826              | 838,871              | 737,192              |
| <b>Functional Total</b>                                       | <b>2,460,062</b>   | <b>2,350,527</b>   | <b>2,180,854</b>   | <b>2,607,696</b>     | <b>3,125,989</b>     | <b>3,116,327</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                     |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                          | 4,457              | 5,686              | 4,836              | 4,836                | 4,836                | 4,836                |
| Corrections and Community Supervision Medicaid, Department of | 0                  | 0                  | 2,000              | 2,000                | 2,000                | 0                    |
| Criminal Justice Services, Division of                        | 155,863            | 156,618            | 185,704            | 156,433              | 156,433              | 156,433              |
| Homeland Security and Emergency Services, Division of         | 43,427             | 30,642             | 56,339             | 57,141               | 91,542               | 100,839              |
| Indigent Legal Services, Office of                            | 60,503             | 72,102             | 160,672            | 184,765              | 208,859              | 208,859              |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Military and Naval Affairs, Division of                             | 786                | 819                | 820                | 820                  | 820                  | 886                  |
| Victim Services, Office of  | 23,748             | 20,728             | 27,956             | 30,744               | 30,744               | 31,398               |
| <b>Functional Total</b>   | <b>288,784</b>     | <b>286,595</b>     | <b>438,327</b>     | <b>436,739</b>       | <b>495,234</b>       | <b>503,251</b>       |
| <b>HIGHER EDUCATION</b>   |                    |                    |                    |                      |                      |                      |
| City University of New York   | 1,424,129          | 1,465,153          | 1,493,737          | 1,533,264            | 1,572,884            | 1,607,831            |
| Higher Education Services Corporation, New York State               | 957,504            | 879,322            | 1,080,675          | 1,156,934            | 1,175,207            | 1,182,313            |
| State University of New York  | 491,891            | 488,783            | 482,289            | 484,643              | 484,643              | 484,643              |
| <b>Functional Total</b>   | <b>2,873,524</b>   | <b>2,833,258</b>   | <b>3,056,701</b>   | <b>3,174,841</b>     | <b>3,232,734</b>     | <b>3,274,787</b>     |
| <b>EDUCATION</b>  |                    |                    |                    |                      |                      |                      |
| Arts, Council on the  | 38,907             | 34,950             | 41,093             | 40,933               | 40,933               | 40,933               |
| Education, Department of  | 29,682,986         | 30,193,388         | 31,274,735         | 32,172,420           | 33,343,991           | 34,691,253           |
| <i>School Aid</i>   | 24,293,624         | 25,396,252         | 26,384,408         | 27,381,797           | 28,488,874           | 29,748,225           |
| <i>School Aid – Other</i>   | 57,079             | 61,169             | 99,342             | 78,195               | 78,195               | 78,195               |
| <i>STAR Property Tax Relief</i>                                     | 3,139,051          | 2,589,145          | 2,459,259          | 2,417,238            | 2,401,995            | 2,401,995            |
| <i>Special Education Categorical Programs</i>                       | 1,317,359          | 1,263,705          | 1,328,600          | 1,349,837            | 1,417,421            | 1,483,266            |
| <i>All Other</i>  | 875,873            | 883,117            | 1,003,126          | 945,353              | 957,506              | 979,572              |
| <b>Functional Total</b>   | <b>29,721,893</b>  | <b>30,228,338</b>  | <b>31,315,828</b>  | <b>32,213,353</b>    | <b>33,384,924</b>    | <b>34,732,186</b>    |
| <b>GENERAL GOVERNMENT</b>   |                    |                    |                    |                      |                      |                      |
| Elections, State Board of   | 93                 | 50                 | 0                  | 0                    | 0                    | 0                    |
| Gaming Commission, New York State                                   | 101,820            | 65,779             | 140,570            | 114,400              | 114,400              | 114,400              |
| Prevention of Domestic Violence, Office for<br>State, Department of | 528                | 791                | 1,285              | 1,385                | 1,385                | 1,412                |
| Taxation and Finance, Department of                                 | 12,643             | 10,035             | 14,961             | 14,379               | 7,379                | 7,379                |
| Veterans' Affairs, Division of                                      | 1,080              | 1,542              | 2,726              | 2,726                | 2,726                | 2,726                |
| <b>Functional Total</b>   | <b>8,340</b>       | <b>7,906</b>       | <b>9,792</b>       | <b>7,637</b>         | <b>7,637</b>         | <b>7,637</b>         |
| <b>Functional Total</b>   | <b>124,504</b>     | <b>86,103</b>      | <b>169,334</b>     | <b>140,527</b>       | <b>133,527</b>       | <b>133,554</b>       |
| <b>ELECTED OFFICIALS</b>  |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of<br>Judiciary                       | 32,025             | 32,025             | 32,024             | 32,024               | 32,024               | 32,024               |
| <b>Functional Total</b>   | <b>101,099</b>     | <b>114,224</b>     | <b>112,200</b>     | <b>127,199</b>       | <b>127,200</b>       | <b>127,200</b>       |
| <b>Functional Total</b>   | <b>133,124</b>     | <b>146,249</b>     | <b>144,224</b>     | <b>159,223</b>       | <b>159,224</b>       | <b>159,224</b>       |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                                  |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities                               | 714,732            | 721,789            | 723,533            | 731,363              | 763,347              | 763,347              |
| County-Wide Shared Services Initiative                              | 0                  | 0                  | 0                  | 100,000              | 125,000              | 0                    |
| Efficiency Incentive Grants Program                                 | 0                  | 348                | 0                  | 0                    | 0                    | 0                    |
| Miscellaneous Financial Assistance                                  | 16,046             | 9,270              | 12,001             | 2,250                | 2,250                | 2,250                |
| Municipalities with VLT Facilities                                  | 29,331             | 28,885             | 28,885             | 28,885               | 28,885               | 28,885               |
| Small Government Assistance   | 217                | 217                | 218                | 218                  | 218                  | 218                  |
| <b>Functional Total</b>   | <b>760,326</b>     | <b>760,509</b>     | <b>764,637</b>     | <b>862,716</b>       | <b>919,700</b>       | <b>794,700</b>       |
| <b>ALL OTHER CATEGORIES</b>   |                    |                    |                    |                      |                      |                      |
| Miscellaneous   | (94,357)           | (56,833)           | (219,943)          | (84,610)             | (70,066)             | (77,943)             |
| <b>Functional Total</b>   | <b>(94,357)</b>    | <b>(56,833)</b>    | <b>(219,943)</b>   | <b>(84,610)</b>      | <b>(70,066)</b>      | <b>(77,943)</b>      |
| <b>TOTAL LOCAL ASSISTANCE SPENDING</b>                              | <b>64,368,663</b>  | <b>65,603,947</b>  | <b>66,700,231</b>  | <b>69,541,633</b>    | <b>72,665,788</b>    | <b>75,073,233</b>    |



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 44,534             | 37,697             | 37,838             | 38,068               | 38,187               | 52,668               |
| Alcoholic Beverage Control, Division of                | 11,127             | 11,819             | 12,483             | 11,244               | 11,560               | 11,244               |
| Economic Development, Department of                    | 21,183             | 19,405             | 17,291             | 17,291               | 17,291               | 17,291               |
| Financial Services, Department of                      | 209,208            | 209,379            | 208,909            | 212,543              | 218,825              | 212,688              |
| Olympic Regional Development Authority                 | 2,736              | 2,736              | 8,933              | 5,886                | 5,886                | 5,886                |
| Public Service Department                              | 50,006             | 52,384             | 50,777             | 50,827               | 51,440               | 49,827               |
| <b>Functional Total</b>                                | <b>338,794</b>     | <b>333,420</b>     | <b>336,231</b>     | <b>335,859</b>       | <b>343,189</b>       | <b>349,604</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,535              | 4,468              | 4,469              | 4,414                | 4,572                | 4,414                |
| Environmental Conservation, Department of              | 225,085            | 212,775            | 208,217            | 204,773              | 216,247              | 209,602              |
| Parks, Recreation and Historic Preservation, Office of | 178,446            | 168,983            | 173,224            | 171,381              | 177,704              | 172,799              |
| <b>Functional Total</b>                                | <b>408,066</b>     | <b>386,226</b>     | <b>385,910</b>     | <b>380,568</b>       | <b>398,523</b>       | <b>386,815</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 49,560             | 49,142             | 60,826             | 61,060               | 62,091               | 61,772               |
| Transportation, Department of                          | 21,228             | 9,139              | 280,220            | 343,908              | 345,113              | 349,869              |
| <b>Functional Total</b>                                | <b>70,788</b>      | <b>58,281</b>      | <b>341,046</b>     | <b>404,968</b>       | <b>407,204</b>       | <b>411,641</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 1,231              | 1,200              | 1,232              | 1,232                | 1,275                | 1,232                |
| Health, Department of                                  | 688,514            | 741,299            | 812,135            | 788,709              | 783,886              | 781,582              |
| <i>Essential Plan</i>                                  | 43,837             | 87,638             | 86,723             | 84,224               | 78,757               | 76,397               |
| <i>Medicaid Administration</i>                         | 261,001            | 297,453            | 332,350            | 315,888              | 310,046              | 311,385              |
| <i>Public Health</i>                                   | 383,676            | 356,208            | 393,062            | 388,597              | 395,083              | 393,800              |
| Medicaid Inspector General, Office of the              | 20,489             | 18,282             | 18,111             | 18,111               | 18,718               | 18,111               |
| <b>Functional Total</b>                                | <b>710,234</b>     | <b>760,781</b>     | <b>831,478</b>     | <b>808,052</b>       | <b>803,879</b>       | <b>800,925</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 249,825            | 241,724            | 289,267            | 375,809              | 442,944              | 445,608              |
| <i>OCFS</i>  | 249,825            | 241,724            | 289,267            | 375,809              | 442,944              | 445,608              |
| Housing and Community Renewal, Division of             | 50,299             | 52,210             | 52,467             | 52,467               | 55,719               | 54,301               |
| Human Rights, Division of                              | 10,382             | 9,465              | 9,921              | 9,921                | 10,590               | 10,230               |
| Labor, Department of                                   | 47,669             | 49,642             | 46,519             | 46,519               | 49,256               | 48,280               |
| National and Community Service                         | 204                | 293                | 340                | 340                  | 349                  | 349                  |
| Temporary and Disability Assistance, Office of         | 137,626            | 122,874            | 127,152            | 133,483              | 137,976              | 140,696              |
| <i>All Other</i>                                       | 137,626            | 122,874            | 127,152            | 133,483              | 137,976              | 140,696              |
| <b>Functional Total</b>                                | <b>496,005</b>     | <b>476,208</b>     | <b>525,666</b>     | <b>618,539</b>       | <b>696,834</b>       | <b>699,464</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 82,405             | 81,472             | 83,635             | 85,760               | 88,261               | 88,633               |
| <i>OASAS</i>   | 34,313             | 31,964             | 39,951             | 40,876               | 41,935               | 41,580               |
| <i>OASAS - Other</i>                                   | 48,092             | 49,508             | 43,684             | 44,884               | 46,326               | 47,053               |
| Justice Center   | 38,190             | 38,868             | 41,456             | 43,254               | 45,156               | 44,496               |
| Mental Health, Office of                               | 1,344,266          | 1,350,460          | 1,341,644          | 1,362,617            | 1,399,180            | 1,433,508            |
| <i>OMH</i>   | 348,833            | 349,973            | 322,452            | 355,575              | 358,975              | 366,363              |
| <i>OMH - Other</i>                                     | 995,433            | 1,000,487          | 1,019,192          | 1,007,042            | 1,040,205            | 1,067,145            |
| Mental Hygiene, Department of                          | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 1,297,397          | 1,333,165          | 1,325,820          | 1,327,814            | 1,364,335            | 1,379,294            |
| <i>OPWDD</i>   | 63                 | 148                | 181                | 181                  | 181                  | 181                  |
| <i>OPWDD - Other</i>                                   | 1,297,334          | 1,333,017          | 1,325,639          | 1,327,633            | 1,364,154            | 1,379,113            |
| <b>Functional Total</b>                                | <b>2,762,485</b>   | <b>2,804,169</b>   | <b>2,792,555</b>   | <b>2,819,445</b>     | <b>2,896,932</b>     | <b>2,945,931</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 2,431              | 2,594              | 2,651              | 2,651                | 2,773                | 2,735                |
| Correctional Services, Department of                   | 2,640,662          | 2,627,945          | 2,606,181          | 2,618,554            | 2,624,184            | 2,615,554            |
| Criminal Justice Services, Division of                 | 35,193             | 36,502             | 36,813             | 36,813               | 37,788               | 37,610               |
| Homeland Security and Emergency Services, Division of  | 26,030             | 29,703             | 36,551             | 38,213               | 39,469               | 41,896               |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Indigent Legal Services, Office of                    | 2,171              | 2,328              | 3,815              | 3,813                | 3,879                | 3,877                |
| Judicial Conduct, Commission on                       | 5,505              | 5,552              | 5,696              | 5,708                | 5,936                | 6,114                |
| Judicial Nomination, Commission on                    | 10                 | 10                 | 30                 | 30                   | 30                   | 30                   |
| Judicial Screening Committees, New York State         | 9                  | 14                 | 38                 | 38                   | 38                   | 38                   |
| Military and Naval Affairs, Division of               | 26,799             | 25,090             | 22,376             | 22,127               | 22,423               | 23,087               |
| State Police, Division of                             | 720,382            | 741,934            | 730,538            | 725,315              | 750,894              | 765,602              |
| Statewide Financial System                            | 30,309             | 30,137             | 30,382             | 30,506               | 30,949               | 31,117               |
| Victim Services, Office of                            | 3,678              | 3,714              | 3,951              | 3,951                | 4,068                | 4,030                |
| <b>Functional Total</b>                               | <b>3,493,179</b>   | <b>3,505,523</b>   | <b>3,479,022</b>   | <b>3,487,719</b>     | <b>3,522,431</b>     | <b>3,531,690</b>     |
| <b>HIGHER EDUCATION</b>                               |                    |                    |                    |                      |                      |                      |
| City University of New York                           | 94,213             | 102,720            | 95,092             | 96,477               | 97,406               | 98,346               |
| Higher Education - Miscellaneous                      | 386                | 256                | 291                | 291                  | 291                  | 291                  |
| Higher Education Services Corporation, New York State | 48,292             | 41,751             | 43,274             | 43,274               | 43,274               | 43,274               |
| State University of New York                          | 6,002,720          | 6,080,264          | 6,199,559          | 6,261,362            | 6,420,899            | 6,382,236            |
| <b>Functional Total</b>                               | <b>6,145,611</b>   | <b>6,224,991</b>   | <b>6,338,216</b>   | <b>6,401,404</b>     | <b>6,561,870</b>     | <b>6,524,147</b>     |
| <b>EDUCATION</b>                                      |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                                  | 3,925              | 4,260              | 4,320              | 4,320                | 4,416                | 4,320                |
| Education, Department of                              | 139,176            | 144,872            | 144,928            | 142,548              | 145,816              | 142,550              |
| <i>All Other</i>                                      | 139,176            | 144,872            | 144,928            | 142,548              | 145,816              | 142,550              |
| <b>Functional Total</b>                               | <b>143,101</b>     | <b>149,132</b>     | <b>149,248</b>     | <b>146,868</b>       | <b>150,232</b>       | <b>146,870</b>       |
| <b>GENERAL GOVERNMENT</b>                             |                    |                    |                    |                      |                      |                      |
| Budget, Division of the                               | 24,559             | 26,600             | 28,766             | 27,939               | 28,886               | 27,939               |
| Civil Service, Department of                          | 13,295             | 14,795             | 13,331             | 13,441               | 13,926               | 13,545               |
| Deferred Compensation Board                           | 418                | 451                | 641                | 648                  | 649                  | 648                  |
| Elections, State Board of                             | 7,095              | 7,763              | 9,837              | 10,147               | 10,583               | 10,054               |
| Employee Relations, Office of                         | 2,334              | 3,569              | 2,601              | 2,621                | 2,719                | 2,621                |
| Gaming Commission, New York State                     | 138,467            | 94,531             | 80,728             | 80,728               | 82,255               | 80,728               |
| General Services, Office of                           | 160,812            | 143,571            | 137,021            | 132,943              | 131,745              | 130,355              |
| Inspector General, Office of the                      | 7,179              | 7,166              | 7,427              | 7,487                | 7,826                | 8,061                |
| Labor Management Committees                           | 28,818             | 24,773             | 31,300             | 31,306               | 31,517               | 31,306               |
| Prevention of Domestic Violence, Office for           | 1,500              | 1,558              | 1,664              | 1,664                | 1,736                | 1,647                |
| Public Employment Relations Board                     | 3,281              | 3,260              | 3,604              | 3,634                | 3,764                | 3,634                |
| Public Integrity, Commission on                       | 4,876              | 5,115              | 5,576              | 5,630                | 5,835                | 6,010                |
| State, Department of                                  | 47,676             | 43,799             | 41,020             | 40,821               | 41,933               | 40,821               |
| Tax Appeals, Division of                              | 2,833              | 2,688              | 3,040              | 3,040                | 3,150                | 3,040                |
| Taxation and Finance, Department of                   | 331,810            | 321,015            | 332,346            | 331,091              | 340,898              | 330,482              |
| Technology, Office for                                | 548,366            | 535,977            | 544,532            | 540,286              | 550,699              | 557,255              |
| Veterans' Affairs, Division of                        | 5,951              | 5,885              | 6,236              | 6,236                | 6,482                | 6,417                |
| Welfare Inspector General, Office of                  | 592                | 671                | 686                | 701                  | 731                  | 753                  |
| Workers' Compensation Board                           | 138,722            | 140,971            | 143,323            | 145,193              | 150,513              | 146,408              |
| <b>Functional Total</b>                               | <b>1,468,584</b>   | <b>1,384,158</b>   | <b>1,393,679</b>   | <b>1,385,556</b>     | <b>1,415,847</b>     | <b>1,401,724</b>     |
| <b>ELECTED OFFICIALS</b>                              |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                      | 149,275            | 146,719            | 154,352            | 154,535              | 160,878              | 155,196              |
| Executive Chamber                                     | 14,653             | 13,560             | 13,578             | 13,578               | 14,032               | 13,578               |
| Judiciary   | 2,018,899          | 2,080,909          | 2,132,700          | 2,121,200            | 2,178,200            | 2,121,200            |
| Law, Department of                                    | 170,170            | 183,513            | 179,396            | 181,842              | 189,005              | 182,949              |
| Legislature   | 225,893            | 223,372            | 229,858            | 234,436              | 239,106              | 243,869              |
| Lieutenant Governor, Office of the                    | 510                | 492                | 614                | 614                  | 634                  | 614                  |
| <b>Functional Total</b>                               | <b>2,579,400</b>   | <b>2,648,565</b>   | <b>2,710,498</b>   | <b>2,706,205</b>     | <b>2,781,855</b>     | <b>2,717,406</b>     |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                    |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities                 | 107                | 131                | 250                | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                               | <b>107</b>         | <b>131</b>         | <b>250</b>         | <b>0</b>             | <b>0</b>             | <b>0</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ALL OTHER CATEGORIES</b>            |                            |                            |                            |                              |                              |                              |
| Long-Term Debt Service                 | 38,647                     | 41,887                     | 47,183                     | 47,183                       | 47,183                       | 47,183                       |
| Miscellaneous                          | 24,783                     | 47,660                     | 174,610                    | 288,022                      | 403,077                      | 403,077                      |
| <b>Functional Total</b>                | <u>63,430</u>              | <u>89,547</u>              | <u>221,793</u>             | <u>335,205</u>               | <u>450,260</u>               | <u>450,260</u>               |
| <b>TOTAL STATE OPERATIONS SPENDING</b> | <u>18,679,784</u>          | <u>18,821,132</u>          | <u>19,505,592</u>          | <u>19,830,388</u>            | <u>20,429,056</u>            | <u>20,366,477</u>            |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 30,523             | 30,802             | 29,423             | 29,428               | 30,543               | 29,428               |
| Alcoholic Beverage Control, Division of                | 7,742              | 8,131              | 8,147              | 8,585                | 8,901                | 8,585                |
| Economic Development, Department of                    | 13,152             | 13,243             | 12,929             | 12,929               | 12,929               | 12,929               |
| Financial Services, Department of                      | 151,522            | 154,146            | 156,697            | 157,475              | 163,459              | 157,616              |
| Olympic Regional Development Authority                 | 2,548              | 2,548              | 5,595              | 2,548                | 2,548                | 2,548                |
| Public Service Department                              | 41,485             | 42,867             | 42,100             | 42,100               | 43,711               | 42,100               |
| <b>Functional Total</b>                                | <b>246,972</b>     | <b>251,737</b>     | <b>254,891</b>     | <b>253,065</b>       | <b>262,091</b>       | <b>253,206</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,112              | 4,179              | 4,108              | 4,108                | 4,266                | 4,108                |
| Environmental Conservation, Department of              | 176,058            | 175,514            | 170,420            | 168,321              | 176,631              | 170,066              |
| Parks, Recreation and Historic Preservation, Office of | 135,346            | 130,555            | 133,829            | 132,271              | 138,592              | 133,687              |
| <b>Functional Total</b>                                | <b>315,516</b>     | <b>310,248</b>     | <b>308,357</b>     | <b>304,700</b>       | <b>319,489</b>       | <b>307,861</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 35,655             | 36,244             | 45,018             | 45,188               | 46,132               | 46,422               |
| Transportation, Department of                          | 6,497              | 2,803              | 161,818            | 170,555              | 170,693              | 170,555              |
| <b>Functional Total</b>                                | <b>42,152</b>      | <b>39,047</b>      | <b>206,836</b>     | <b>215,743</b>       | <b>216,825</b>       | <b>216,977</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 1,125              | 1,103              | 1,125              | 1,125                | 1,168                | 1,125                |
| Health, Department of                                  | 261,956            | 252,883            | 280,280            | 284,066              | 290,571              | 285,689              |
| <i>Essential Plan</i>                                  | 1,367              | 3,148              | 4,206              | 4,580                | 4,418                | 4,384                |
| <i>Medicaid Administration</i>                         | 36,908             | 37,196             | 51,113             | 54,086               | 57,098               | 55,666               |
| <i>Public Health</i>                                   | 223,681            | 212,539            | 224,961            | 225,400              | 229,055              | 225,639              |
| Medicaid Inspector General, Office of the              | 16,415             | 15,864             | 14,936             | 14,936               | 15,543               | 14,936               |
| <b>Functional Total</b>                                | <b>279,496</b>     | <b>269,850</b>     | <b>296,341</b>     | <b>300,127</b>       | <b>307,282</b>       | <b>301,750</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 169,024            | 170,908            | 192,365            | 261,476              | 315,232              | 315,341              |
| <i>OCFS</i>  | 169,024            | 170,908            | 192,365            | 261,476              | 315,232              | 315,341              |
| Housing and Community Renewal, Division of             | 42,730             | 41,535             | 42,828             | 42,828               | 45,650               | 44,074               |
| Human Rights, Division of                              | 9,533              | 8,662              | 9,461              | 9,461                | 10,121               | 9,752                |
| Labor, Department of                                   | 34,150             | 34,323             | 32,618             | 32,618               | 34,864               | 33,620               |
| National and Community Service                         | 203                | 292                | 331                | 331                  | 340                  | 340                  |
| Temporary and Disability Assistance, Office of         | 73,429             | 64,895             | 68,444             | 73,603               | 76,972               | 78,522               |
| <i>All Other</i>                                       | 73,429             | 64,895             | 68,444             | 73,603               | 76,972               | 78,522               |
| <b>Functional Total</b>                                | <b>329,069</b>     | <b>320,615</b>     | <b>346,047</b>     | <b>420,317</b>       | <b>483,179</b>       | <b>481,649</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 54,557             | 56,428             | 59,954             | 60,914               | 62,716               | 62,362               |
| <i>OASAS</i>   | 19,701             | 20,354             | 26,697             | 27,133               | 27,919               | 27,270               |
| <i>OASAS - Other</i>                                   | 34,856             | 36,074             | 33,257             | 33,781               | 34,797               | 35,092               |
| Justice Center   | 29,240             | 31,243             | 32,769             | 34,123               | 35,762               | 34,832               |
| Mental Health, Office of                               | 1,072,564          | 1,070,401          | 1,086,975          | 1,096,051            | 1,119,765            | 1,130,950            |
| <i>OMH</i>   | 288,507            | 287,281            | 270,088            | 311,124              | 314,124              | 320,174              |
| <i>OMH - Other</i>                                     | 784,057            | 783,120            | 816,887            | 784,927              | 805,641              | 810,776              |
| People with Developmental Disabilities, Office for     | 1,092,002          | 1,129,874          | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| <i>OPWDD</i>   | 0                  | 124                | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 1,092,002          | 1,129,750          | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| <b>Functional Total</b>                                | <b>2,248,363</b>   | <b>2,287,946</b>   | <b>2,317,637</b>   | <b>2,337,077</b>     | <b>2,395,549</b>     | <b>2,415,000</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 2,234              | 2,316              | 2,414              | 2,414                | 2,531                | 2,488                |
| Correctional Services, Department of                   | 2,113,404          | 2,098,499          | 2,042,832          | 2,056,336            | 2,061,966            | 2,056,336            |
| Criminal Justice Services, Division of                 | 26,998             | 26,993             | 27,582             | 27,582               | 28,557               | 28,113               |
| Homeland Security and Emergency Services, Division of  | 16,748             | 16,362             | 23,313             | 29,610               | 30,856               | 32,215               |
| Indigent Legal Services, Office of                     | 1,678              | 1,873              | 2,982              | 2,982                | 3,048                | 3,034                |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Judicial Conduct, Commission on                       | 4,181                      | 4,238                      | 4,312                      | 4,347                        | 4,548                        | 4,483                        |
| Military and Naval Affairs, Division of               | 17,164                     | 15,083                     | 14,322                     | 14,322                       | 14,618                       | 14,692                       |
| State Police, Division of                             | 649,718                    | 677,367                    | 668,551                    | 665,095                      | 690,605                      | 703,242                      |
| Statewide Financial System                            | 11,045                     | 11,094                     | 11,758                     | 11,882                       | 12,325                       | 12,121                       |
| Victim Services, Office of                            | 3,145                      | 3,184                      | 3,176                      | 3,176                        | 3,293                        | 3,242                        |
| <b>Functional Total</b>                               | <b>2,846,315</b>           | <b>2,857,009</b>           | <b>2,801,242</b>           | <b>2,817,746</b>             | <b>2,852,347</b>             | <b>2,859,966</b>             |
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 48,975                     | 52,623                     | 48,148                     | 48,594                       | 49,045                       | 49,501                       |
| Higher Education - Miscellaneous                      | 283                        | 169                        | 198                        | 198                          | 198                          | 198                          |
| Higher Education Services Corporation, New York State | 14,475                     | 13,380                     | 12,916                     | 12,916                       | 12,916                       | 12,916                       |
| State University of New York                          | 3,766,631                  | 3,753,286                  | 3,973,548                  | 4,014,523                    | 4,153,020                    | 4,093,105                    |
| <b>Functional Total</b>                               | <b>3,830,364</b>           | <b>3,819,458</b>           | <b>4,034,810</b>           | <b>4,076,231</b>             | <b>4,215,179</b>             | <b>4,155,720</b>             |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Arts, Council on the                                  | 2,491                      | 2,626                      | 2,498                      | 2,498                        | 2,594                        | 2,498                        |
| Education, Department of                              | 86,602                     | 90,372                     | 88,090                     | 87,774                       | 90,983                       | 87,774                       |
| <i>All Other</i>                                      | 86,602                     | 90,372                     | 88,090                     | 87,774                       | 90,983                       | 87,774                       |
| <b>Functional Total</b>                               | <b>89,093</b>              | <b>92,998</b>              | <b>90,588</b>              | <b>90,272</b>                | <b>93,577</b>                | <b>90,272</b>                |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 20,619                     | 21,683                     | 24,567                     | 24,567                       | 25,511                       | 24,567                       |
| Civil Service, Department of                          | 12,212                     | 14,053                     | 12,497                     | 12,591                       | 13,075                       | 12,685                       |
| Deferred Compensation Board                           | 368                        | 408                        | 410                        | 413                          | 414                          | 413                          |
| Elections, State Board of                             | 5,765                      | 6,077                      | 6,143                      | 6,288                        | 6,571                        | 6,478                        |
| Employee Relations, Office of                         | 2,269                      | 2,515                      | 2,529                      | 2,548                        | 2,646                        | 2,548                        |
| Gaming Commission, New York State                     | 32,822                     | 34,862                     | 38,757                     | 38,757                       | 40,248                       | 38,757                       |
| General Services, Office of                           | 77,580                     | 67,107                     | 48,450                     | 48,450                       | 51,875                       | 49,458                       |
| Inspector General, Office of the                      | 5,833                      | 6,223                      | 6,600                      | 6,648                        | 6,970                        | 6,857                        |
| Labor Management Committees                           | 7,871                      | 7,747                      | 5,446                      | 5,487                        | 5,698                        | 5,487                        |
| Prevention of Domestic Violence, Office for           | 1,338                      | 1,412                      | 1,469                      | 1,491                        | 1,524                        | 1,431                        |
| Public Employment Relations Board                     | 3,056                      | 3,059                      | 3,313                      | 3,338                        | 3,468                        | 3,338                        |
| Public Integrity, Commission on                       | 3,808                      | 4,386                      | 4,646                      | 4,681                        | 4,867                        | 4,827                        |
| State, Department of                                  | 29,588                     | 29,257                     | 27,774                     | 27,724                       | 28,812                       | 27,724                       |
| Tax Appeals, Division of                              | 2,604                      | 2,541                      | 2,870                      | 2,870                        | 2,980                        | 2,870                        |
| Taxation and Finance, Department of                   | 284,526                    | 275,658                    | 264,119                    | 264,323                      | 273,392                      | 264,338                      |
| Technology, Office for                                | 298,543                    | 289,994                    | 286,118                    | 291,603                      | 308,070                      | 303,412                      |
| Veterans' Affairs, Division of                        | 5,086                      | 5,512                      | 5,938                      | 5,938                        | 6,178                        | 6,107                        |
| Welfare Inspector General, Office of                  | 565                        | 619                        | 621                        | 626                          | 654                          | 646                          |
| Workers' Compensation Board                           | 82,632                     | 85,117                     | 84,993                     | 85,604                       | 89,709                       | 85,604                       |
| <b>Functional Total</b>                               | <b>877,085</b>             | <b>858,230</b>             | <b>827,260</b>             | <b>833,947</b>               | <b>872,662</b>               | <b>847,547</b>               |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 115,964                    | 119,019                    | 123,015                    | 123,093                      | 128,775                      | 123,093                      |
| Executive Chamber                                     | 10,746                     | 9,979                      | 11,113                     | 11,113                       | 11,567                       | 11,113                       |
| Judiciary   | 1,568,100                  | 1,624,889                  | 1,667,500                  | 1,656,000                    | 1,713,000                    | 1,656,000                    |
| Law, Department of                                    | 120,219                    | 132,481                    | 126,309                    | 127,662                      | 133,718                      | 127,662                      |
| Legislature   | 170,623                    | 174,350                    | 175,541                    | 179,052                      | 182,633                      | 186,286                      |
| Lieutenant Governor, Office of the                    | 364                        | 382                        | 523                        | 523                          | 543                          | 523                          |
| <b>Functional Total</b>                               | <b>1,986,016</b>           | <b>2,061,100</b>           | <b>2,104,001</b>           | <b>2,097,443</b>             | <b>2,170,236</b>             | <b>2,104,677</b>             |
| <b>ALL OTHER CATEGORIES</b>                           |                            |                            |                            |                              |                              |                              |
| Miscellaneous   | 1,939                      | 2,194                      | 128,275                    | 243,285                      | 358,340                      | 358,340                      |
| <b>Functional Total</b>                               | <b>1,939</b>               | <b>2,194</b>               | <b>128,275</b>             | <b>243,285</b>               | <b>358,340</b>               | <b>358,340</b>               |
| <b>TOTAL PERSONAL SERVICE SPENDING</b>                | <b>13,092,380</b>          | <b>13,170,432</b>          | <b>13,716,285</b>          | <b>13,989,953</b>            | <b>14,546,756</b>            | <b>14,392,965</b>            |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 14,011             | 6,895              | 8,415              | 8,640                | 7,644                | 23,240               |
| Alcoholic Beverage Control, Division of                | 3,385              | 3,688              | 4,336              | 2,659                | 2,659                | 2,659                |
| Economic Development, Department of                    | 8,031              | 6,162              | 4,362              | 4,362                | 4,362                | 4,362                |
| Financial Services, Department of                      | 57,686             | 55,233             | 52,212             | 55,068               | 55,366               | 55,072               |
| Olympic Regional Development Authority                 | 188                | 188                | 3,338              | 3,338                | 3,338                | 3,338                |
| Public Service Department                              | 8,521              | 9,517              | 8,677              | 8,727                | 7,729                | 7,727                |
| <b>Functional Total</b>                                | <b>91,822</b>      | <b>81,683</b>      | <b>81,340</b>      | <b>82,794</b>        | <b>81,098</b>        | <b>96,398</b>        |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 423                | 289                | 361                | 306                  | 306                  | 306                  |
| Environmental Conservation, Department of              | 49,027             | 37,261             | 37,797             | 36,452               | 39,616               | 39,536               |
| Parks, Recreation and Historic Preservation, Office of | 43,100             | 38,428             | 39,395             | 39,110               | 39,112               | 39,112               |
| <b>Functional Total</b>                                | <b>92,550</b>      | <b>75,978</b>      | <b>77,553</b>      | <b>75,868</b>        | <b>79,034</b>        | <b>78,954</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 13,905             | 12,898             | 15,808             | 15,872               | 15,959               | 15,350               |
| Transportation, Department of                          | 14,731             | 6,336              | 118,402            | 173,353              | 174,420              | 179,314              |
| <b>Functional Total</b>                                | <b>28,636</b>      | <b>19,234</b>      | <b>134,210</b>     | <b>189,225</b>       | <b>190,379</b>       | <b>194,664</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 106                | 97                 | 107                | 107                  | 107                  | 107                  |
| Health, Department of                                  | 426,558            | 488,416            | 531,855            | 504,643              | 493,315              | 495,893              |
| <i>Essential Plan</i>                                  | 42,470             | 84,490             | 82,517             | 79,644               | 74,339               | 72,013               |
| <i>Medicaid Administration</i>                         | 224,093            | 260,257            | 281,237            | 261,802              | 252,948              | 255,719              |
| <i>Public Health</i>                                   | 159,995            | 143,669            | 168,101            | 163,197              | 166,028              | 168,161              |
| Medicaid Inspector General, Office of the              | 4,074              | 2,418              | 3,175              | 3,175                | 3,175                | 3,175                |
| <b>Functional Total</b>                                | <b>430,738</b>     | <b>490,931</b>     | <b>535,137</b>     | <b>507,925</b>       | <b>496,597</b>       | <b>499,175</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 80,801             | 70,816             | 96,902             | 114,333              | 127,712              | 130,267              |
| <i>OCFS</i>  | 80,801             | 70,816             | 96,902             | 114,333              | 127,712              | 130,267              |
| Housing and Community Renewal, Division of             | 7,569              | 10,675             | 9,639              | 9,639                | 10,069               | 10,227               |
| Human Rights, Division of                              | 849                | 803                | 460                | 460                  | 469                  | 478                  |
| Labor, Department of                                   | 13,519             | 15,319             | 13,901             | 13,901               | 14,392               | 14,660               |
| National and Community Service                         | 1                  | 1                  | 9                  | 9                    | 9                    | 9                    |
| Temporary and Disability Assistance, Office of         | 64,197             | 57,979             | 58,708             | 59,880               | 61,004               | 62,174               |
| <i>All Other</i>                                       | 64,197             | 57,979             | 58,708             | 59,880               | 61,004               | 62,174               |
| <b>Functional Total</b>                                | <b>166,936</b>     | <b>155,593</b>     | <b>179,619</b>     | <b>198,222</b>       | <b>213,655</b>       | <b>217,815</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 27,848             | 25,044             | 23,681             | 24,846               | 25,545               | 26,271               |
| <i>OASAS</i>   | 14,612             | 11,610             | 13,254             | 13,743               | 14,016               | 14,310               |
| <i>OASAS - Other</i>                                   | 13,236             | 13,434             | 10,427             | 11,103               | 11,529               | 11,961               |
| Justice Center   | 8,950              | 7,625              | 8,687              | 9,131                | 9,394                | 9,664                |
| Mental Health, Office of                               | 271,702            | 280,059            | 254,669            | 266,566              | 279,415              | 302,558              |
| <i>OMH</i>   | 60,326             | 62,692             | 52,364             | 44,451               | 44,851               | 46,189               |
| <i>OMH - Other</i>                                     | 211,376            | 217,367            | 202,305            | 222,115              | 234,564              | 256,369              |
| Mental Hygiene, Department of                          | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 205,395            | 203,291            | 187,881            | 181,825              | 187,029              | 192,438              |
| <i>OPWDD</i>   | 63                 | 24                 | 181                | 181                  | 181                  | 181                  |
| <i>OPWDD - Other</i>                                   | 205,332            | 203,267            | 187,700            | 181,644              | 186,848              | 192,257              |
| <b>Functional Total</b>                                | <b>514,122</b>     | <b>516,223</b>     | <b>474,918</b>     | <b>482,368</b>       | <b>501,383</b>       | <b>530,931</b>       |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 197                | 278                | 237                | 237                  | 242                  | 247                  |
| Correctional Services, Department of                   | 527,258            | 529,446            | 563,349            | 562,218              | 562,218              | 559,218              |
| Criminal Justice Services, Division of                 | 8,195              | 9,509              | 9,231              | 9,231                | 9,231                | 9,497                |
| Homeland Security and Emergency Services, Division of  | 9,282              | 13,341             | 13,238             | 8,603                | 8,613                | 9,681                |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Indigent Legal Services, Office of                    | 493                | 455                | 833                | 831                  | 831                  | 843                  |
| Judicial Conduct, Commission on                       | 1,324              | 1,314              | 1,384              | 1,361                | 1,388                | 1,631                |
| Judicial Nomination, Commission on                    | 10                 | 10                 | 30                 | 30                   | 30                   | 30                   |
| Judicial Screening Committees, New York State         | 9                  | 14                 | 38                 | 38                   | 38                   | 38                   |
| Military and Naval Affairs, Division of               | 9,635              | 10,007             | 8,054              | 7,805                | 7,805                | 8,395                |
| State Police, Division of                             | 70,664             | 64,567             | 61,987             | 60,220               | 60,289               | 62,360               |
| Statewide Financial System                            | 19,264             | 19,043             | 18,624             | 18,624               | 18,624               | 18,996               |
| Victim Services, Office of                            | 533                | 530                | 775                | 775                  | 775                  | 788                  |
| <b>Functional Total</b>                               | <b>646,864</b>     | <b>648,514</b>     | <b>677,780</b>     | <b>669,973</b>       | <b>670,084</b>       | <b>671,724</b>       |
| <b>HIGHER EDUCATION</b>                               |                    |                    |                    |                      |                      |                      |
| City University of New York                           | 45,238             | 50,097             | 46,944             | 47,883               | 48,361               | 48,845               |
| Higher Education - Miscellaneous                      | 103                | 87                 | 93                 | 93                   | 93                   | 93                   |
| Higher Education Services Corporation, New York State | 33,817             | 28,371             | 30,358             | 30,358               | 30,358               | 30,358               |
| State University of New York                          | 2,236,089          | 2,326,978          | 2,226,011          | 2,246,839            | 2,267,879            | 2,289,131            |
| <b>Functional Total</b>                               | <b>2,315,247</b>   | <b>2,405,533</b>   | <b>2,303,406</b>   | <b>2,325,173</b>     | <b>2,346,691</b>     | <b>2,368,427</b>     |
| <b>EDUCATION</b>                                      |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                                  | 1,434              | 1,634              | 1,822              | 1,822                | 1,822                | 1,822                |
| Education, Department of                              | 52,574             | 54,500             | 56,838             | 54,774               | 54,833               | 54,776               |
| <i>All Other</i>                                      | 52,574             | 54,500             | 56,838             | 54,774               | 54,833               | 54,776               |
| <b>Functional Total</b>                               | <b>54,008</b>      | <b>56,134</b>      | <b>58,660</b>      | <b>56,596</b>        | <b>56,655</b>        | <b>56,598</b>        |
| <b>GENERAL GOVERNMENT</b>                             |                    |                    |                    |                      |                      |                      |
| Budget, Division of the                               | 3,940              | 4,917              | 4,199              | 3,372                | 3,375                | 3,372                |
| Civil Service, Department of                          | 1,083              | 742                | 834                | 850                  | 851                  | 860                  |
| Deferred Compensation Board                           | 50                 | 43                 | 231                | 235                  | 235                  | 235                  |
| Elections, State Board of                             | 1,330              | 1,686              | 3,694              | 3,859                | 4,012                | 3,576                |
| Employee Relations, Office of                         | 65                 | 1,054              | 72                 | 73                   | 73                   | 73                   |
| Gaming Commission, New York State                     | 105,645            | 59,669             | 41,971             | 41,971               | 42,007               | 41,971               |
| General Services, Office of                           | 83,232             | 76,464             | 88,571             | 84,493               | 79,870               | 80,897               |
| Inspector General, Office of the                      | 1,346              | 943                | 827                | 839                  | 856                  | 1,204                |
| Labor Management Committees                           | 20,947             | 17,026             | 25,854             | 25,819               | 25,819               | 25,819               |
| Prevention of Domestic Violence, Office for           | 162                | 146                | 195                | 173                  | 212                  | 216                  |
| Public Employment Relations Board                     | 225                | 201                | 291                | 296                  | 296                  | 296                  |
| Public Integrity, Commission on                       | 1,068              | 729                | 930                | 949                  | 968                  | 1,183                |
| State, Department of                                  | 18,088             | 14,542             | 13,246             | 13,097               | 13,121               | 13,097               |
| Tax Appeals, Division of                              | 229                | 147                | 170                | 170                  | 170                  | 170                  |
| Taxation and Finance, Department of                   | 47,284             | 45,357             | 68,227             | 66,768               | 67,506               | 66,144               |
| Technology, Office for                                | 249,823            | 245,983            | 258,414            | 248,683              | 242,629              | 253,843              |
| Veterans' Affairs, Division of                        | 865                | 373                | 298                | 298                  | 304                  | 310                  |
| Welfare Inspector General, Office of                  | 27                 | 52                 | 65                 | 75                   | 77                   | 107                  |
| Workers' Compensation Board                           | 56,090             | 55,854             | 58,330             | 59,589               | 60,804               | 60,804               |
| <b>Functional Total</b>                               | <b>591,499</b>     | <b>525,928</b>     | <b>566,419</b>     | <b>551,609</b>       | <b>543,185</b>       | <b>554,177</b>       |
| <b>ELECTED OFFICIALS</b>                              |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                      | 33,311             | 27,700             | 31,337             | 31,442               | 32,103               | 32,103               |
| Executive Chamber                                     | 3,907              | 3,581              | 2,465              | 2,465                | 2,465                | 2,465                |
| Judiciary   | 450,799            | 456,020            | 465,200            | 465,200              | 465,200              | 465,200              |
| Law, Department of                                    | 49,951             | 51,032             | 53,087             | 54,180               | 55,287               | 55,287               |
| Legislature   | 55,270             | 49,022             | 54,317             | 55,384               | 56,473               | 57,583               |
| Lieutenant Governor, Office of the                    | 146                | 110                | 91                 | 91                   | 91                   | 91                   |
| <b>Functional Total</b>                               | <b>593,384</b>     | <b>587,465</b>     | <b>606,497</b>     | <b>608,762</b>       | <b>611,619</b>       | <b>612,729</b>       |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                    |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities                 | 107                | 131                | 250                | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                               | <b>107</b>         | <b>131</b>         | <b>250</b>         | <b>0</b>             | <b>0</b>             | <b>0</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|   | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ALL OTHER CATEGORIES</b>                               |                            |                            |                            |                              |                              |                              |
| Long-Term Debt Service                                    | 38,647                     | 41,887                     | 47,183                     | 47,183                       | 47,183                       | 47,183                       |
| Miscellaneous   | 22,844                     | 45,466                     | 46,335                     | 44,737                       | 44,737                       | 44,737                       |
| <b>Functional Total</b>                                   | <u>61,491</u>              | <u>87,353</u>              | <u>93,518</u>              | <u>91,920</u>                | <u>91,920</u>                | <u>91,920</u>                |
| <b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b> | <u>5,587,404</u>           | <u>5,650,700</u>           | <u>5,789,307</u>           | <u>5,840,435</u>             | <u>5,882,300</u>             | <u>5,973,512</u>             |



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 1,719              | 1,255              | 1,891              | 1,960                | 2,128                | 2,191                |
| Alcoholic Beverage Control, Division of                | 121                | 0                  | 0                  | 0                    | 0                    | 0                    |
| Economic Development, Department of                    | 0                  | 2                  | 28                 | 28                   | 28                   | 28                   |
| Financial Services, Department of                      | 86,652             | 90,924             | 100,172            | 104,142              | 116,795              | 116,569              |
| Public Service Department                              | 25,523             | 32,395             | 26,761             | 27,682               | 29,298               | 30,958               |
| <b>Functional Total</b>                                | <b>114,015</b>     | <b>124,576</b>     | <b>128,852</b>     | <b>133,812</b>       | <b>148,249</b>       | <b>149,746</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 47,714             | 45,573             | 51,400             | 44,993               | 43,542               | 42,093               |
| Parks, Recreation and Historic Preservation, Office of | 2,972              | 3,264              | 3,152              | 3,160                | 3,307                | 3,341                |
| <b>Functional Total</b>                                | <b>50,686</b>      | <b>48,837</b>      | <b>54,552</b>      | <b>48,153</b>        | <b>46,849</b>        | <b>45,434</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 20,473             | 20,986             | 23,315             | 24,118               | 25,963               | 26,615               |
| Transportation, Department of                          | 3,750              | 1,720              | 2,145              | 2,264                | 2,453                | 2,497                |
| <b>Functional Total</b>                                | <b>24,223</b>      | <b>22,706</b>      | <b>25,460</b>      | <b>26,382</b>        | <b>28,416</b>        | <b>29,112</b>        |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 30,543             | 31,713             | 37,680             | 34,079               | 35,857               | 37,142               |
| <i>Public Health</i>                                   | 30,543             | 31,713             | 37,680             | 34,079               | 35,857               | 37,142               |
| <b>Functional Total</b>                                | <b>30,543</b>      | <b>31,713</b>      | <b>37,680</b>      | <b>34,079</b>        | <b>35,857</b>        | <b>37,142</b>        |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 1,656              | 1,658              | 2,043              | 1,794                | 1,824                | 1,824                |
| <i>OCFS</i>  | 1,656              | 1,658              | 2,043              | 1,794                | 1,824                | 1,824                |
| Housing and Community Renewal, Division of             | 5,449              | 16,045             | 23,120             | 23,120               | 23,120               | 26,349               |
| Labor, Department of                                   | 19,497             | 20,895             | 20,487             | 20,487               | 20,487               | 23,005               |
| Temporary and Disability Assistance, Office of         | 122                | 12                 | 128                | 128                  | 128                  | 128                  |
| <i>All Other</i>                                       | 122                | 12                 | 128                | 128                  | 128                  | 128                  |
| <b>Functional Total</b>                                | <b>26,724</b>      | <b>38,610</b>      | <b>45,778</b>      | <b>45,529</b>        | <b>45,559</b>        | <b>51,306</b>        |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 33,726             | 36,956             | 0                  | 0                    | 0                    | 0                    |
| <i>OASAS</i>   | 13,803             | 14,792             | 0                  | 0                    | 0                    | 0                    |
| <i>OASAS - Other</i>                                   | 19,923             | 22,164             | 0                  | 0                    | 0                    | 0                    |
| Justice Center   | 850                | 649                | 874                | 929                  | 993                  | 1,060                |
| Mental Health, Office of                               | 621,496            | 650,727            | 0                  | 0                    | 0                    | 0                    |
| <i>OMH</i>   | 192,230            | 193,397            | 0                  | 0                    | 0                    | 0                    |
| <i>OMH - Other</i>                                     | 429,266            | 457,330            | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                                | <b>1,293,272</b>   | <b>1,372,133</b>   | <b>874</b>         | <b>929</b>           | <b>993</b>           | <b>1,060</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 101                | 117                | 106                | 106                  | 106                  | 106                  |
| Criminal Justice Services, Division of                 | 33                 | 35                 | 35                 | 35                   | 35                   | 35                   |
| Homeland Security and Emergency Services, Division of  | 666                | 615                | 809                | 817                  | 835                  | 905                  |
| Indigent Legal Services, Office of                     | 967                | 1,069              | 1,906              | 2,003                | 2,117                | 2,239                |
| Military and Naval Affairs, Division of                | 9                  | 6                  | 59                 | 9                    | 9                    | 10                   |
| State Police, Division of                              | 18,111             | 14,072             | 23,900             | 24,810               | 25,153               | 25,323               |
| Victim Services, Office of                             | 1,570              | 1,638              | 1,650              | 1,650                | 1,650                | 1,683                |
| <b>Functional Total</b>                                | <b>21,457</b>      | <b>17,552</b>      | <b>28,465</b>      | <b>29,430</b>        | <b>29,905</b>        | <b>30,301</b>        |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 7,661                      | 145                        | 150                        | 150                          | 150                          | 150                          |
| Higher Education - Miscellaneous                      | 143                        | 81                         | 150                        | 150                          | 150                          | 150                          |
| Higher Education Services Corporation, New York State | 8,524                      | 8,131                      | 9,020                      | 9,020                        | 9,020                        | 9,020                        |
| State University of New York                          | 420,283                    | 430,860                    | 485,071                    | 500,566                      | 514,120                      | 504,273                      |
| <b>Functional Total</b>                               | <b>436,611</b>             | <b>439,217</b>             | <b>494,391</b>             | <b>509,886</b>               | <b>523,440</b>               | <b>513,593</b>               |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Education, Department of                              | 32,142                     | 34,543                     | 37,463                     | 38,792                       | 42,452                       | 43,195                       |
| <i>All Other</i>                                      | 32,142                     | 34,543                     | 37,463                     | 38,792                       | 42,452                       | 43,195                       |
| <b>Functional Total</b>                               | <b>32,142</b>              | <b>34,543</b>              | <b>37,463</b>              | <b>38,792</b>                | <b>42,452</b>                | <b>43,195</b>                |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 776                        | 712                        | 1,657                      | 1,657                        | 1,717                        | 1,657                        |
| Civil Service, Department of                          | 84                         | 180                        | 229                        | 239                          | 263                          | 270                          |
| Deferred Compensation Board                           | 195                        | 224                        | 205                        | 205                          | 205                          | 205                          |
| Gaming Commission, New York State                     | 12,777                     | 16,540                     | 17,575                     | 17,575                       | 18,465                       | 17,575                       |
| General Services, Office of                           | 407                        | 369                        | 548                        | 567                          | 627                          | 644                          |
| State, Department of                                  | 9,623                      | 10,433                     | 12,110                     | 12,524                       | 13,743                       | 14,003                       |
| Taxation and Finance, Department of                   | 25,017                     | 22,635                     | 27,264                     | 27,063                       | 28,183                       | 27,072                       |
| Workers' Compensation Board                           | 48,919                     | 52,395                     | 53,220                     | 53,402                       | 57,863                       | 57,863                       |
| <b>Functional Total</b>                               | <b>97,798</b>              | <b>103,488</b>             | <b>112,808</b>             | <b>113,232</b>               | <b>121,066</b>               | <b>119,289</b>               |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 1,605                      | 1,677                      | 2,135                      | 2,135                        | 2,167                        | 2,167                        |
| Judiciary   | 702,163                    | 750,196                    | 774,229                    | 785,729                      | 785,729                      | 785,729                      |
| Law, Department of                                    | 14,731                     | 16,578                     | 19,302                     | 19,579                       | 20,612                       | 20,612                       |
| <b>Functional Total</b>                               | <b>718,499</b>             | <b>768,451</b>             | <b>795,666</b>             | <b>807,443</b>               | <b>808,508</b>               | <b>808,508</b>               |
| <b>ALL OTHER CATEGORIES</b>                           |                            |                            |                            |                              |                              |                              |
| General State Charges                                 | 4,782,943                  | 4,842,815                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| Miscellaneous   | 5,412                      | 8,445                      | 5,747                      | 5,749                        | 5,761                        | 5,766                        |
| <b>Functional Total</b>                               | <b>4,788,355</b>           | <b>4,851,260</b>           | <b>6,648,030</b>           | <b>7,025,960</b>             | <b>7,564,722</b>             | <b>8,134,839</b>             |
| <b>TOTAL GENERAL STATE CHARGES SPENDING</b>           | <b>7,634,325</b>           | <b>7,853,086</b>           | <b>8,410,019</b>           | <b>8,813,627</b>             | <b>9,396,016</b>             | <b>9,963,525</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>PARKS AND THE ENVIRONMENT</b>                       |                            |                            |                            |                              |                              |                              |
| Parks, Recreation and Historic Preservation, Office of | 2,544                      | 0                          | 0                          | 0                            | 0                            | 0                            |
| <b>Functional Total</b>                                | <u>2,544</u>               | <u>0</u>                   | <u>0</u>                   | <u>0</u>                     | <u>0</u>                     | <u>0</u>                     |
| <b>TOTAL CAPITAL PROJECTS SPENDING</b>                 | <u><u>2,544</u></u>        | <u><u>0</u></u>            | <u><u>0</u></u>            | <u><u>0</u></u>              | <u><u>0</u></u>              | <u><u>0</u></u>              |

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>        |                            |                            |                            |                              |                              |                              |
| Agriculture and Markets, Department of                      | 4,337                      | 8,264                      | 64,699                     | 16,915                       | 5,415                        | 5,415                        |
| Economic Development Capital                                | 1,844                      | 2,751                      | 22,587                     | 20,270                       | 19,569                       | 29,069                       |
| Economic Development, Department of                         | 4,617                      | 8,964                      | 13,977                     | 0                            | 5,249                        | 0                            |
| Empire State Development Corporation                        | 985,203                    | 1,082,775                  | 1,541,774                  | 1,750,951                    | 1,798,531                    | 1,659,955                    |
| Energy Research and Development Authority                   | 14,545                     | 17,109                     | 22,877                     | 17,595                       | 15,720                       | 14,001                       |
| Olympic Regional Development Authority                      | 7,500                      | 38,000                     | 50,000                     | 20,000                       | 10,000                       | 10,000                       |
| Power Authority, New York                                   | 1,916                      | 243                        | 13,750                     | 29,000                       | 32,700                       | 2,000                        |
| Regional Economic Development Program                       | 4,156                      | 2,030                      | 3,500                      | 320                          | 320                          | 320                          |
| Strategic Investment Program                                | 683                        | 1,625                      | 7,002                      | 6,317                        | 10,494                       | 6,317                        |
| <b>Functional Total</b>                                     | <b>1,024,801</b>           | <b>1,161,761</b>           | <b>1,740,166</b>           | <b>1,861,368</b>             | <b>1,897,998</b>             | <b>1,727,077</b>             |
| <b>PARKS AND THE ENVIRONMENT</b>                            |                            |                            |                            |                              |                              |                              |
| Environmental Conservation, Department of                   | 692,871                    | 657,267                    | 909,744                    | 967,845                      | 987,862                      | 1,061,711                    |
| Hudson River Park Trust                                     | 0                          | 0                          | 0                          | 5,000                        | 10,000                       | 10,000                       |
| Parks, Recreation and Historic Preservation, Office of      | 151,320                    | 179,957                    | 177,903                    | 179,732                      | 164,914                      | 165,364                      |
| <b>Functional Total</b>                                     | <b>844,191</b>             | <b>837,224</b>             | <b>1,087,647</b>           | <b>1,152,577</b>             | <b>1,162,776</b>             | <b>1,237,075</b>             |
| <b>TRANSPORTATION</b>                                       |                            |                            |                            |                              |                              |                              |
| Metropolitan Transportation Authority                       | 250,000                    | 65,000                     | 800,000                    | 675,000                      | 194,856                      | 0                            |
| Motor Vehicles, Department of                               | 217,104                    | 221,992                    | 228,495                    | 231,724                      | 243,144                      | 224,758                      |
| Transportation, Department of                               | 4,887,049                  | 4,564,825                  | 4,686,596                  | 4,540,859                    | 4,684,928                    | 4,733,816                    |
| <b>Functional Total</b>                                     | <b>5,354,153</b>           | <b>4,851,817</b>           | <b>5,715,091</b>           | <b>5,447,583</b>             | <b>5,122,928</b>             | <b>4,958,574</b>             |
| <b>HEALTH</b>   |                            |                            |                            |                              |                              |                              |
| Health, Department of                                       | 105,396                    | 211,303                    | 580,865                    | 739,365                      | 711,622                      | 690,173                      |
| <i>Public Health</i>  | 105,396                    | 211,303                    | 580,865                    | 739,365                      | 711,622                      | 690,173                      |
| <b>Functional Total</b>                                     | <b>105,396</b>             | <b>211,303</b>             | <b>580,865</b>             | <b>739,365</b>               | <b>711,622</b>               | <b>690,173</b>               |
| <b>SOCIAL WELFARE</b>                                       |                            |                            |                            |                              |                              |                              |
| Children and Family Services, Office of                     | 24,392                     | 41,259                     | 68,695                     | 22,872                       | 23,050                       | 22,906                       |
| <i>OCFS</i>   | 24,392                     | 41,259                     | 68,695                     | 22,872                       | 23,050                       | 22,906                       |
| Housing and Community Renewal, Division of                  | 130,959                    | 278,873                    | 767,215                    | 504,252                      | 503,023                      | 459,023                      |
| Nonprofit Infrastructure Capital Investment Program         | 0                          | 6,951                      | 47,500                     | 44,500                       | 16,049                       | 5,000                        |
| Roosevelt Island Operating Corporation                      | 0                          | 0                          | 25,028                     | 0                            | 0                            | 0                            |
| Temporary and Disability Assistance, Office of              | 54,596                     | 48,051                     | 60,650                     | 62,907                       | 62,907                       | 62,907                       |
| <i>All Other</i>  | 54,596                     | 48,051                     | 60,650                     | 62,907                       | 62,907                       | 62,907                       |
| <b>Functional Total</b>                                     | <b>209,947</b>             | <b>375,134</b>             | <b>969,088</b>             | <b>634,531</b>               | <b>605,029</b>               | <b>549,836</b>               |
| <b>MENTAL HYGIENE</b>                                       |                            |                            |                            |                              |                              |                              |
| Alcoholism and Substance Abuse Services, Office of          | 55,331                     | 39,927                     | 67,922                     | 80,886                       | 84,444                       | 57,592                       |
| <i>OASAS</i>  | 55,331                     | 39,927                     | 67,922                     | 80,886                       | 84,444                       | 57,592                       |
| Mental Health, Office of                                    | 184,328                    | 284,683                    | 243,144                    | 226,853                      | 228,624                      | 256,901                      |
| <i>OMH</i>  | 184,328                    | 284,683                    | 243,144                    | 226,853                      | 228,624                      | 256,901                      |
| People with Developmental Disabilities, Office for          | 69,870                     | 72,053                     | 92,577                     | 93,170                       | 87,316                       | 88,536                       |
| <i>OPWDD</i>  | 69,870                     | 72,053                     | 92,577                     | 93,170                       | 87,316                       | 88,536                       |
| <b>Functional Total</b>                                     | <b>309,529</b>             | <b>396,663</b>             | <b>403,643</b>             | <b>400,909</b>               | <b>400,384</b>               | <b>403,029</b>               |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                   |                            |                            |                            |                              |                              |                              |
| Correctional Services, Department of                        | 303,275                    | 355,744                    | 378,637                    | 342,168                      | 316,496                      | 316,985                      |
| Homeland Security and Emergency Services, Division of       | 64,492                     | 85,364                     | 42,256                     | 59,539                       | 36,000                       | 37,000                       |
| Military and Naval Affairs, Division of                     | 70,039                     | 63,307                     | 47,692                     | 66,313                       | 48,844                       | 42,244                       |
| State Police, Division of                                   | 31,235                     | 72,903                     | 44,725                     | 42,684                       | 52,753                       | 51,488                       |
| <b>Functional Total</b>                                     | <b>469,041</b>             | <b>577,318</b>             | <b>513,310</b>             | <b>510,704</b>               | <b>454,093</b>               | <b>447,717</b>               |
| <b>HIGHER EDUCATION</b>                                     |                            |                            |                            |                              |                              |                              |
| City University of New York                                 | 36,527                     | 36,608                     | 35,900                     | 36,620                       | 37,352                       | 37,352                       |
| Higher Education Facilities Capital Matching Grants Program | 0                          | 4,425                      | 12,500                     | 11,875                       | 14,250                       | 6,650                        |
| State University of New York                                | 760,044                    | 940,056                    | 951,643                    | 923,016                      | 940,193                      | 944,473                      |
| <b>Functional Total</b>                                     | <b>796,571</b>             | <b>981,089</b>             | <b>1,000,043</b>           | <b>971,511</b>               | <b>991,795</b>               | <b>988,475</b>               |

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>EDUCATION</b>                             |                            |                            |                            |                              |                              |                              |
| Education, Department of                     | 54,635                     | 117,147                    | 375,500                    | 652,368                      | 451,557                      | 311,500                      |
| <i>School Aid</i>                            | 35,205                     | 91,584                     | 275,000                    | 575,000                      | 400,000                      | 250,000                      |
| <i>All Other</i>                             | 19,430                     | 25,563                     | 100,500                    | 77,368                       | 51,557                       | 61,500                       |
| <b>Functional Total</b>                      | <b>54,635</b>              | <b>117,147</b>             | <b>375,500</b>             | <b>652,368</b>               | <b>451,557</b>               | <b>311,500</b>               |
| <b>GENERAL GOVERNMENT</b>                    |                            |                            |                            |                              |                              |                              |
| General Services, Office of                  | 92,531                     | 128,849                    | 209,828                    | 187,662                      | 190,879                      | 154,517                      |
| State, Department of                         | 866                        | 1,233                      | 12,767                     | 62,000                       | 50,000                       | 40,000                       |
| Technology, Office for                       | 86,220                     | 102,831                    | 118,865                    | 18,751                       | 24,700                       | 33,700                       |
| Workers' Compensation Board                  | 3,752                      | 4,824                      | 2,500                      | 30,000                       | 35,806                       | 0                            |
| <b>Functional Total</b>                      | <b>183,369</b>             | <b>237,737</b>             | <b>343,960</b>             | <b>298,413</b>               | <b>301,385</b>               | <b>228,217</b>               |
| <b>ELECTED OFFICIALS</b>                     |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of             | 2,100                      | 0                          | 5,024                      | 1,400                        | 0                            | 0                            |
| Judiciary                                    | 0                          | 13,943                     | 15,000                     | 3,500                        | 0                            | 0                            |
| Law, Department of                           | 6,201                      | 5,035                      | 9,452                      | 968                          | 0                            | 0                            |
| <b>Functional Total</b>                      | <b>8,301</b>               | <b>18,978</b>              | <b>29,476</b>              | <b>5,868</b>                 | <b>0</b>                     | <b>0</b>                     |
| <b>ALL OTHER CATEGORIES</b>                  |                            |                            |                            |                              |                              |                              |
| Arts and Cultural Facilities Improvement     | 0                          | 0                          | 15,000                     | 5,000                        | 0                            | 0                            |
| Miscellaneous                                | 147,950                    | 91,065                     | (453,093)                  | (459,282)                    | (495,000)                    | 241,000                      |
| Special Infrastructure Account               | 649,103                    | 783,139                    | 848,864                    | 878,602                      | 602,050                      | 427,650                      |
| <b>Functional Total</b>                      | <b>797,053</b>             | <b>874,204</b>             | <b>410,771</b>             | <b>424,320</b>               | <b>107,050</b>               | <b>668,650</b>               |
| <b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b> | <b>10,156,987</b>          | <b>10,640,375</b>          | <b>13,169,560</b>          | <b>13,099,517</b>            | <b>12,206,617</b>            | <b>12,210,323</b>            |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>    |                    |                    |                    |                      |                      |                      |
| <b><i>Agriculture and Markets, Department of</i></b>    | <b>96,908</b>      | <b>95,102</b>      | <b>158,101</b>     | <b>100,905</b>       | <b>85,806</b>        | <b>100,633</b>       |
| Local Assistance  | 31,980             | 32,318             | 38,271             | 28,757               | 24,757               | 24,907               |
| State Operations  | 56,825             | 51,225             | 51,083             | 51,122               | 51,233               | 65,714               |
| Personal Service  | 34,188             | 34,271             | 32,871             | 32,841               | 33,956               | 32,841               |
| Non-Personal Service/Indirect Costs                     | 22,637             | 16,954             | 18,212             | 18,281               | 17,277               | 32,873               |
| General State Charges                                   | 3,766              | 3,295              | 4,048              | 4,111                | 4,401                | 4,597                |
| Capital Projects  | 4,337              | 8,264              | 64,699             | 16,915               | 5,415                | 5,415                |
| <b><i>Alcoholic Beverage Control, Division of</i></b>   | <b>11,248</b>      | <b>11,819</b>      | <b>12,483</b>      | <b>11,244</b>        | <b>11,560</b>        | <b>11,244</b>        |
| State Operations  | 11,127             | 11,819             | 12,483             | 11,244               | 11,560               | 11,244               |
| Personal Service  | 7,742              | 8,131              | 8,147              | 8,585                | 8,901                | 8,585                |
| Non-Personal Service/Indirect Costs                     | 3,385              | 3,688              | 4,336              | 2,659                | 2,659                | 2,659                |
| General State Charges                                   | 121                | 0                  | 0                  | 0                    | 0                    | 0                    |
| <b><i>Economic Development Capital</i></b>              | <b>1,844</b>       | <b>2,751</b>       | <b>22,587</b>      | <b>20,270</b>        | <b>19,569</b>        | <b>29,069</b>        |
| Local Assistance  | 1,844              | 2,751              | 0                  | (1,330)              | (1,293)              | (1,793)              |
| Capital Projects  | 0                  | 0                  | 22,587             | 21,600               | 20,862               | 30,862               |
| <b><i>Economic Development, Department of</i></b>       | <b>84,240</b>      | <b>88,621</b>      | <b>99,695</b>      | <b>79,263</b>        | <b>84,512</b>        | <b>84,113</b>        |
| Local Assistance  | 62,448             | 68,718             | 68,154             | 61,699               | 61,423               | 66,549               |
| State Operations  | 21,775             | 19,891             | 17,536             | 17,536               | 17,536               | 17,536               |
| Personal Service  | 13,152             | 13,243             | 12,929             | 12,929               | 12,929               | 12,929               |
| Non-Personal Service/Indirect Costs                     | 8,623              | 6,648              | 4,607              | 4,607                | 4,607                | 4,607                |
| General State Charges                                   | 0                  | 2                  | 28                 | 28                   | 28                   | 28                   |
| Capital Projects  | 17                 | 10                 | 13,977             | 0                    | 5,525                | 0                    |
| <b><i>Empire State Development Corporation</i></b>      | <b>1,107,501</b>   | <b>1,207,655</b>   | <b>1,637,350</b>   | <b>1,837,697</b>     | <b>1,890,277</b>     | <b>1,751,701</b>     |
| Local Assistance  | 1,031,640          | 1,136,529          | 1,349,598          | 1,144,790            | 1,145,709            | 1,336,830            |
| Capital Projects  | 75,861             | 71,126             | 287,752            | 692,907              | 744,568              | 414,871              |
| <b><i>Energy Research and Development Authority</i></b> | <b>14,545</b>      | <b>17,109</b>      | <b>22,877</b>      | <b>17,595</b>        | <b>15,720</b>        | <b>14,001</b>        |
| Capital Projects  | 14,545             | 17,109             | 22,877             | 17,595               | 15,720               | 14,001               |
| <b><i>Financial Services, Department of</i></b>         | <b>362,898</b>     | <b>357,811</b>     | <b>375,435</b>     | <b>379,971</b>       | <b>398,875</b>       | <b>392,512</b>       |
| Local Assistance  | 66,703             | 57,254             | 64,954             | 61,886               | 61,855               | 61,855               |
| State Operations  | 209,543            | 209,633            | 210,309            | 213,943              | 220,225              | 214,088              |
| Personal Service  | 151,522            | 154,146            | 156,697            | 157,475              | 163,459              | 157,616              |
| Non-Personal Service/Indirect Costs                     | 58,021             | 55,487             | 53,612             | 56,468               | 56,766               | 56,472               |
| General State Charges                                   | 86,652             | 90,924             | 100,172            | 104,142              | 116,795              | 116,569              |
| <b><i>Olympic Regional Development Authority</i></b>    | <b>10,236</b>      | <b>40,736</b>      | <b>61,293</b>      | <b>25,886</b>        | <b>15,886</b>        | <b>15,886</b>        |
| Local Assistance  | 0                  | 0                  | 2,360              | 0                    | 0                    | 0                    |
| State Operations  | 2,736              | 2,736              | 8,933              | 5,886                | 5,886                | 5,886                |
| Personal Service  | 2,548              | 2,548              | 5,595              | 2,548                | 2,548                | 2,548                |
| Non-Personal Service/Indirect Costs                     | 188                | 188                | 3,338              | 3,338                | 3,338                | 3,338                |
| Capital Projects  | 7,500              | 38,000             | 50,000             | 20,000               | 10,000               | 10,000               |
| <b><i>Power Authority, New York</i></b>                 | <b>1,916</b>       | <b>243</b>         | <b>13,750</b>      | <b>29,000</b>        | <b>32,700</b>        | <b>2,000</b>         |
| Local Assistance  | 1,916              | 83                 | 0                  | 0                    | 0                    | 0                    |
| Capital Projects  | 0                  | 160                | 13,750             | 29,000               | 32,700               | 2,000                |
| <b><i>Public Service Department</i></b>                 | <b>78,938</b>      | <b>88,976</b>      | <b>80,639</b>      | <b>81,610</b>        | <b>83,839</b>        | <b>83,886</b>        |
| Local Assistance  | 0                  | 102                | 136                | 136                  | 136                  | 136                  |
| State Operations  | 51,775             | 54,691             | 52,019             | 52,069               | 52,682               | 51,069               |
| Personal Service  | 42,918             | 44,476             | 43,302             | 43,302               | 44,913               | 43,302               |
| Non-Personal Service/Indirect Costs                     | 8,857              | 10,215             | 8,717              | 8,767                | 7,769                | 7,767                |
| General State Charges                                   | 27,163             | 34,183             | 28,484             | 29,405               | 31,021               | 32,681               |
| <b><i>Regional Economic Development Program</i></b>     | <b>4,156</b>       | <b>2,030</b>       | <b>3,500</b>       | <b>320</b>           | <b>320</b>           | <b>320</b>           |
| Local Assistance  | 4,156              | 2,030              | 0                  | (17)                 | (17)                 | (17)                 |
| Capital Projects  | 0                  | 0                  | 3,500              | 337                  | 337                  | 337                  |
| <b><i>Strategic Investment Program</i></b>              | <b>683</b>         | <b>1,625</b>       | <b>7,002</b>       | <b>6,317</b>         | <b>10,494</b>        | <b>6,317</b>         |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Local Assistance  | 683                        | 1,625                      | 0                          | (333)                        | (552)                        | (333)                        |
| Capital Projects  | 0                          | 0                          | 7,002                      | 6,650                        | 11,046                       | 6,650                        |
| <b>Functional Total</b>                                       | <b>1,775,113</b>           | <b>1,914,478</b>           | <b>2,494,712</b>           | <b>2,590,078</b>             | <b>2,649,558</b>             | <b>2,491,682</b>             |
| <b>PARKS AND THE ENVIRONMENT</b>                              |                            |                            |                            |                              |                              |                              |
| <b>Adirondack Park Agency</b>                                 | <b>4,547</b>               | <b>4,468</b>               | <b>4,819</b>               | <b>4,764</b>                 | <b>4,922</b>                 | <b>4,764</b>                 |
| State Operations  | 4,547                      | 4,468                      | 4,819                      | 4,764                        | 4,922                        | 4,764                        |
| Personal Service  | 4,112                      | 4,179                      | 4,108                      | 4,108                        | 4,266                        | 4,108                        |
| Non-Personal Service/Indirect Costs                           | 435                        | 289                        | 711                        | 656                          | 656                          | 656                          |
| <b>Environmental Conservation, Department of</b>              | <b>1,026,898</b>           | <b>974,519</b>             | <b>1,235,581</b>           | <b>1,282,333</b>             | <b>1,314,464</b>             | <b>1,380,600</b>             |
| Local Assistance  | 327,482                    | 345,907                    | 190,095                    | 398,024                      | 409,785                      | 453,358                      |
| State Operations  | 269,816                    | 252,567                    | 252,967                    | 249,523                      | 262,065                      | 255,420                      |
| Personal Service  | 202,238                    | 200,579                    | 198,228                    | 196,129                      | 205,507                      | 198,942                      |
| Non-Personal Service/Indirect Costs                           | 67,578                     | 51,988                     | 54,739                     | 53,394                       | 56,558                       | 56,478                       |
| General State Charges   | 62,106                     | 62,194                     | 68,775                     | 61,946                       | 61,518                       | 60,450                       |
| Capital Projects  | 367,494                    | 313,851                    | 723,744                    | 572,840                      | 581,096                      | 611,372                      |
| <b>Hudson River Park Trust</b>                                | <b>0</b>                   | <b>0</b>                   | <b>0</b>                   | <b>5,000</b>                 | <b>10,000</b>                | <b>10,000</b>                |
| Capital Projects  | 0                          | 0                          | 0                          | 5,000                        | 10,000                       | 10,000                       |
| <b>Parks, Recreation and Historic Preservation, Office of</b> | <b>353,753</b>             | <b>366,435</b>             | <b>363,673</b>             | <b>363,461</b>               | <b>355,283</b>               | <b>350,862</b>               |
| Local Assistance  | 13,281                     | 9,463                      | 7,126                      | 6,820                        | 6,820                        | 6,820                        |
| State Operations  | 183,671                    | 173,759                    | 175,492                    | 173,749                      | 180,218                      | 175,313                      |
| Personal Service  | 138,056                    | 132,575                    | 134,952                    | 133,494                      | 139,959                      | 135,054                      |
| Non-Personal Service/Indirect Costs                           | 45,615                     | 41,184                     | 40,540                     | 40,255                       | 40,259                       | 40,259                       |
| General State Charges   | 2,972                      | 3,264                      | 3,152                      | 3,160                        | 3,331                        | 3,365                        |
| Capital Projects  | 153,829                    | 179,949                    | 177,903                    | 179,732                      | 164,914                      | 165,364                      |
| <b>Functional Total</b>                                       | <b>1,385,198</b>           | <b>1,345,422</b>           | <b>1,604,073</b>           | <b>1,655,558</b>             | <b>1,684,669</b>             | <b>1,746,226</b>             |
| <b>TRANSPORTATION</b>   |                            |                            |                            |                              |                              |                              |
| <b>Metropolitan Transportation Authority</b>                  | <b>250,000</b>             | <b>65,000</b>              | <b>800,000</b>             | <b>675,000</b>               | <b>194,856</b>               | <b>0</b>                     |
| Local Assistance  | 250,000                    | 65,000                     | 800,000                    | 675,000                      | 194,856                      | 0                            |
| <b>Motor Vehicles, Department of</b>                          | <b>308,315</b>             | <b>312,091</b>             | <b>339,279</b>             | <b>343,170</b>               | <b>357,707</b>               | <b>339,654</b>               |
| Local Assistance  | 15,199                     | 14,833                     | 18,375                     | 18,000                       | 18,000                       | 18,000                       |
| State Operations  | 54,854                     | 53,425                     | 68,374                     | 68,608                       | 69,794                       | 69,475                       |
| Personal Service  | 37,012                     | 37,707                     | 48,753                     | 48,923                       | 50,011                       | 50,301                       |
| Non-Personal Service/Indirect Costs                           | 17,842                     | 15,718                     | 19,621                     | 19,685                       | 19,783                       | 19,174                       |
| General State Charges   | 21,158                     | 21,841                     | 24,035                     | 24,838                       | 26,769                       | 27,421                       |
| Capital Projects  | 217,104                    | 221,992                    | 228,495                    | 231,724                      | 243,144                      | 224,758                      |
| <b>Transportation, Department of</b>                          | <b>9,950,606</b>           | <b>9,667,708</b>           | <b>8,978,344</b>           | <b>8,577,087</b>             | <b>8,769,003</b>             | <b>8,954,243</b>             |
| Local Assistance  | 6,331,110                  | 6,168,328                  | 5,053,351                  | 4,740,864                    | 4,774,959                    | 4,900,023                    |
| State Operations  | 31,448                     | 26,750                     | 304,289                    | 367,827                      | 369,023                      | 373,788                      |
| Personal Service  | 12,251                     | 11,964                     | 172,302                    | 181,039                      | 181,178                      | 181,039                      |
| Non-Personal Service/Indirect Costs                           | 19,197                     | 14,786                     | 131,987                    | 186,788                      | 187,845                      | 192,749                      |
| General State Charges   | 6,299                      | 6,070                      | 8,695                      | 8,811                        | 8,836                        | 8,987                        |
| Capital Projects  | 3,581,749                  | 3,466,560                  | 3,612,009                  | 3,459,585                    | 3,616,185                    | 3,671,445                    |
| <b>Functional Total</b>                                       | <b>10,508,921</b>          | <b>10,044,799</b>          | <b>10,117,623</b>          | <b>9,595,257</b>             | <b>9,321,566</b>             | <b>9,293,897</b>             |
| <b>HEALTH</b>   |                            |                            |                            |                              |                              |                              |
| <b>Aging, Office for the</b>                                  | <b>225,544</b>             | <b>234,525</b>             | <b>235,956</b>             | <b>240,768</b>               | <b>247,883</b>               | <b>253,152</b>               |
| Local Assistance  | 213,003                    | 221,725                    | 224,924                    | 228,531                      | 235,461                      | 240,773                      |
| State Operations  | 12,541                     | 12,800                     | 11,032                     | 12,237                       | 12,422                       | 12,379                       |
| Personal Service  | 7,196                      | 7,079                      | 7,646                      | 7,782                        | 7,967                        | 7,924                        |
| Non-Personal Service/Indirect Costs                           | 5,345                      | 5,721                      | 3,386                      | 4,455                        | 4,455                        | 4,455                        |
| <b>Health, Department of</b>                                  | <b>60,565,508</b>          | <b>66,062,707</b>          | <b>70,391,609</b>          | <b>74,167,847</b>            | <b>75,887,110</b>            | <b>78,350,743</b>            |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>Medical Assistance</b>                         | <b>51,178,257</b>          | <b>55,644,622</b>          | <b>58,800,383</b>          | <b>62,185,233</b>            | <b>63,885,628</b>            | <b>66,324,670</b>            |
| Local Assistance                                  | 51,178,257                 | 55,644,622                 | 58,800,383                 | 62,185,233                   | 63,885,628                   | 66,324,670                   |
| <b>Essential Plan</b>                             | <b>3,569,893</b>           | <b>3,962,563</b>           | <b>4,777,550</b>           | <b>5,046,998</b>             | <b>5,091,168</b>             | <b>5,101,502</b>             |
| Local Assistance                                  | 3,526,056                  | 3,874,925                  | 4,690,827                  | 4,962,774                    | 5,012,411                    | 5,025,105                    |
| State Operations                                  | 43,837                     | 87,638                     | 86,723                     | 84,224                       | 78,757                       | 76,397                       |
| Personal Service                                  | 1,367                      | 3,148                      | 4,206                      | 4,580                        | 4,418                        | 4,384                        |
| Non-Personal Service/Indirect Costs               | 42,470                     | 84,490                     | 82,517                     | 79,644                       | 74,339                       | 72,013                       |
| <b>Medicaid Administration</b>                    | <b>1,518,498</b>           | <b>1,356,021</b>           | <b>1,793,664</b>           | <b>1,640,501</b>             | <b>1,587,138</b>             | <b>1,578,723</b>             |
| Local Assistance                                  | 843,305                    | 716,713                    | 989,609                    | 832,585                      | 816,303                      | 800,731                      |
| State Operations                                  | 673,671                    | 636,474                    | 797,840                    | 801,274                      | 763,786                      | 770,636                      |
| Personal Service                                  | 71,191                     | 69,223                     | 100,758                    | 108,802                      | 115,113                      | 112,482                      |
| Non-Personal Service/Indirect Costs               | 602,480                    | 567,251                    | 697,082                    | 692,472                      | 648,673                      | 658,154                      |
| General State Charges                             | 1,522                      | 2,834                      | 6,215                      | 6,642                        | 7,049                        | 7,356                        |
| <b>Public Health</b>                              | <b>4,298,860</b>           | <b>5,099,501</b>           | <b>5,020,012</b>           | <b>5,295,115</b>             | <b>5,323,176</b>             | <b>5,345,848</b>             |
| Local Assistance                                  | 3,482,012                  | 4,246,415                  | 4,151,627                  | 4,463,057                    | 4,531,925                    | 4,559,383                    |
| State Operations                                  | 708,621                    | 732,966                    | 673,210                    | 644,667                      | 651,412                      | 645,307                      |
| Personal Service                                  | 288,062                    | 281,163                    | 285,585                    | 282,501                      | 288,211                      | 282,463                      |
| Non-Personal Service/Indirect Costs               | 420,559                    | 451,803                    | 387,625                    | 362,166                      | 363,201                      | 362,844                      |
| General State Charges                             | 67,062                     | 64,888                     | 82,831                     | 75,047                       | 79,495                       | 80,814                       |
| Capital Projects                                  | 41,165                     | 55,232                     | 112,344                    | 112,344                      | 60,344                       | 60,344                       |
| <b>Medicaid Inspector General, Office of the</b>  | <b>50,994</b>              | <b>46,446</b>              | <b>48,043</b>              | <b>48,406</b>                | <b>50,445</b>                | <b>49,224</b>                |
| State Operations                                  | 41,851                     | 37,092                     | 38,175                     | 38,175                       | 39,438                       | 38,217                       |
| Personal Service                                  | 32,737                     | 31,681                     | 30,066                     | 30,066                       | 31,287                       | 30,066                       |
| Non-Personal Service/Indirect Costs               | 9,114                      | 5,411                      | 8,109                      | 8,109                        | 8,151                        | 8,151                        |
| General State Charges                             | 9,143                      | 9,354                      | 9,868                      | 10,231                       | 11,007                       | 11,007                       |
| <b>Functional Total</b>                           | <b>60,842,046</b>          | <b>66,343,678</b>          | <b>70,675,608</b>          | <b>74,457,021</b>            | <b>76,185,438</b>            | <b>78,653,119</b>            |
| <b>SOCIAL WELFARE</b>                             |                            |                            |                            |                              |                              |                              |
| <b>Children and Family Services, Office of</b>    | <b>2,941,351</b>           | <b>3,139,782</b>           | <b>2,996,327</b>           | <b>3,018,635</b>             | <b>3,107,833</b>             | <b>3,147,469</b>             |
| <b>OCFS</b>                                       | <b>2,859,104</b>           | <b>3,062,420</b>           | <b>2,911,585</b>           | <b>2,927,828</b>             | <b>3,013,846</b>             | <b>3,049,318</b>             |
| Local Assistance                                  | 2,494,992                  | 2,686,986                  | 2,444,629                  | 2,419,884                    | 2,435,309                    | 2,466,326                    |
| State Operations                                  | 328,252                    | 321,311                    | 380,776                    | 472,047                      | 541,423                      | 546,022                      |
| Personal Service                                  | 194,832                    | 197,353                    | 221,612                    | 290,723                      | 345,683                      | 346,401                      |
| Non-Personal Service/Indirect Costs               | 133,420                    | 123,958                    | 159,164                    | 181,324                      | 195,740                      | 199,621                      |
| General State Charges                             | 11,468                     | 12,864                     | 17,485                     | 13,025                       | 14,064                       | 14,064                       |
| Capital Projects                                  | 24,392                     | 41,259                     | 68,695                     | 22,872                       | 23,050                       | 22,906                       |
| <b>OCFS - Other</b>                               | <b>82,247</b>              | <b>77,362</b>              | <b>84,742</b>              | <b>90,807</b>                | <b>93,987</b>                | <b>98,151</b>                |
| Local Assistance                                  | 82,247                     | 77,362                     | 84,742                     | 90,807                       | 93,987                       | 98,151                       |
| <b>Housing and Community Renewal, Division of</b> | <b>256,695</b>             | <b>420,810</b>             | <b>915,803</b>             | <b>682,871</b>               | <b>686,523</b>               | <b>646,806</b>               |
| Local Assistance                                  | 187,653                    | 340,738                    | 822,907                    | 589,975                      | 589,399                      | 546,074                      |
| State Operations                                  | 59,782                     | 60,527                     | 62,771                     | 62,771                       | 66,999                       | 65,882                       |
| Personal Service                                  | 49,632                     | 47,478                     | 50,423                     | 50,423                       | 53,775                       | 52,362                       |
| Non-Personal Service/Indirect Costs               | 10,150                     | 13,049                     | 12,348                     | 12,348                       | 13,224                       | 13,520                       |
| General State Charges                             | 9,260                      | 19,545                     | 27,125                     | 27,125                       | 27,125                       | 31,850                       |
| Capital Projects                                  | 0                          | 0                          | 3,000                      | 3,000                        | 3,000                        | 3,000                        |
| <b>Human Rights, Division of</b>                  | <b>14,890</b>              | <b>14,612</b>              | <b>14,343</b>              | <b>14,343</b>                | <b>15,257</b>                | <b>14,990</b>                |
| State Operations                                  | 14,890                     | 14,612                     | 14,343                     | 14,343                       | 15,257                       | 14,990                       |
| Personal Service                                  | 12,205                     | 12,315                     | 12,596                     | 12,596                       | 13,475                       | 13,173                       |
| Non-Personal Service/Indirect Costs               | 2,685                      | 2,297                      | 1,747                      | 1,747                        | 1,782                        | 1,817                        |
| <b>Labor, Department of</b>                       | <b>534,361</b>             | <b>562,650</b>             | <b>564,441</b>             | <b>550,739</b>               | <b>568,320</b>               | <b>597,462</b>               |
| Local Assistance                                  | 150,118                    | 161,244                    | 166,554                    | 152,042                      | 152,042                      | 152,042                      |
| State Operations                                  | 273,212                    | 280,007                    | 282,017                    | 282,017                      | 299,598                      | 305,198                      |
| Personal Service                                  | 204,836                    | 206,559                    | 192,484                    | 192,484                      | 206,660                      | 208,852                      |
| Non-Personal Service/Indirect Costs               | 68,376                     | 73,448                     | 89,533                     | 89,533                       | 92,938                       | 96,346                       |



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| General State Charges                                      | 111,031                    | 121,399                    | 115,870                    | 116,680                      | 116,680                      | 140,222                      |
| <b>National and Community Service</b>                      | <b>17,282</b>              | <b>15,097</b>              | <b>16,564</b>              | <b>16,571</b>                | <b>16,904</b>                | <b>17,223</b>                |
| Local Assistance   | 267                        | 477                        | 350                        | 350                          | 350                          | 350                          |
| State Operations   | 17,015                     | 14,620                     | 15,985                     | 15,985                       | 16,312                       | 16,631                       |
| Personal Service   | 465                        | 551                        | 708                        | 708                          | 730                          | 738                          |
| Non-Personal Service/Indirect Costs                        | 16,550                     | 14,069                     | 15,277                     | 15,277                       | 15,582                       | 15,893                       |
| General State Charges                                      | 0                          | 0                          | 229                        | 236                          | 242                          | 242                          |
| <b>Nonprofit Infrastructure Capital Investment Program</b> | <b>0</b>                   | <b>6,951</b>               | <b>47,500</b>              | <b>44,500</b>                | <b>16,049</b>                | <b>5,000</b>                 |
| Local Assistance   | 0                          | 6,951                      | 0                          | 0                            | 0                            | 0                            |
| Capital Projects   | 0                          | 0                          | 47,500                     | 44,500                       | 16,049                       | 5,000                        |
| <b>Roosevelt Island Operating Corporation</b>              | <b>0</b>                   | <b>0</b>                   | <b>25,028</b>              | <b>0</b>                     | <b>0</b>                     | <b>0</b>                     |
| Local Assistance   | 0                          | 0                          | 25,028                     | 0                            | 0                            | 0                            |
| <b>Temporary and Disability Assistance, Office of</b>      | <b>4,722,939</b>           | <b>4,614,149</b>           | <b>5,425,388</b>           | <b>5,197,479</b>             | <b>5,204,772</b>             | <b>5,222,556</b>             |
| <b>Welfare Assistance</b>                                  | <b>3,430,246</b>           | <b>3,297,890</b>           | <b>4,104,397</b>           | <b>3,867,942</b>             | <b>3,867,942</b>             | <b>3,867,942</b>             |
| Local Assistance   | 3,430,246                  | 3,297,890                  | 4,104,397                  | 3,867,942                    | 3,867,942                    | 3,867,942                    |
| <b>All Other</b>   | <b>1,292,693</b>           | <b>1,316,259</b>           | <b>1,320,991</b>           | <b>1,329,537</b>             | <b>1,336,830</b>             | <b>1,354,614</b>             |
| Local Assistance   | 963,125                    | 979,490                    | 978,943                    | 995,303                      | 998,103                      | 1,001,903                    |
| State Operations   | 286,879                    | 283,573                    | 291,517                    | 288,936                      | 293,429                      | 299,145                      |
| Personal Service   | 154,062                    | 153,573                    | 154,575                    | 151,358                      | 154,727                      | 157,833                      |
| Non-Personal Service/Indirect Costs                        | 132,817                    | 130,000                    | 136,942                    | 137,578                      | 138,702                      | 141,312                      |
| General State Charges                                      | 42,343                     | 52,395                     | 49,731                     | 44,498                       | 44,498                       | 52,766                       |
| Capital Projects   | 346                        | 801                        | 800                        | 800                          | 800                          | 800                          |
| <b>Functional Total</b>                                    | <b>8,487,518</b>           | <b>8,774,051</b>           | <b>10,005,394</b>          | <b>9,525,138</b>             | <b>9,615,658</b>             | <b>9,651,506</b>             |
| <b>MENTAL HYGIENE</b>                                      |                            |                            |                            |                              |                              |                              |
| <b>Alcoholism and Substance Abuse Services, Office of</b>  | <b>604,265</b>             | <b>596,829</b>             | <b>627,105</b>             | <b>669,588</b>               | <b>693,117</b>               | <b>681,198</b>               |
| <b>OASAS</b>   | <b>514,925</b>             | <b>503,832</b>             | <b>562,096</b>             | <b>603,379</b>               | <b>625,466</b>               | <b>612,820</b>               |
| Local Assistance   | 458,058                    | 448,258                    | 512,283                    | 552,537                      | 573,507                      | 561,062                      |
| State Operations   | 41,998                     | 38,975                     | 44,580                     | 46,210                       | 47,200                       | 46,858                       |
| Personal Service   | 24,808                     | 25,461                     | 29,239                     | 30,204                       | 30,923                       | 30,234                       |
| Non-Personal Service/Indirect Costs                        | 17,190                     | 13,514                     | 15,341                     | 16,006                       | 16,277                       | 16,624                       |
| General State Charges                                      | 13,803                     | 14,792                     | 2,384                      | 2,028                        | 2,097                        | 2,190                        |
| Capital Projects   | 1,066                      | 1,807                      | 2,849                      | 2,604                        | 2,662                        | 2,710                        |
| <b>OASAS - Other</b>                                       | <b>89,340</b>              | <b>92,997</b>              | <b>65,009</b>              | <b>66,209</b>                | <b>67,651</b>                | <b>68,378</b>                |
| Local Assistance   | 21,325                     | 21,325                     | 21,325                     | 21,325                       | 21,325                       | 21,325                       |
| State Operations   | 48,092                     | 49,508                     | 43,684                     | 44,884                       | 46,326                       | 47,053                       |
| Personal Service   | 34,856                     | 36,074                     | 33,257                     | 33,781                       | 34,797                       | 35,092                       |
| Non-Personal Service/Indirect Costs                        | 13,236                     | 13,434                     | 10,427                     | 11,103                       | 11,529                       | 11,961                       |
| General State Charges                                      | 19,923                     | 22,164                     | 0                          | 0                            | 0                            | 0                            |
| <b>Developmental Disabilities Planning Council</b>         | <b>3,892</b>               | <b>4,378</b>               | <b>4,200</b>               | <b>4,200</b>                 | <b>4,200</b>                 | <b>4,200</b>                 |
| State Operations   | 3,661                      | 3,508                      | 3,415                      | 3,415                        | 3,415                        | 3,415                        |
| Personal Service   | 976                        | 1,007                      | 1,266                      | 1,266                        | 1,266                        | 1,266                        |
| Non-Personal Service/Indirect Costs                        | 2,685                      | 2,501                      | 2,149                      | 2,149                        | 2,149                        | 2,149                        |
| General State Charges                                      | 231                        | 870                        | 785                        | 785                          | 785                          | 785                          |
| <b>Justice Center</b>                                      | <b>41,625</b>              | <b>41,365</b>              | <b>43,807</b>              | <b>45,660</b>                | <b>47,626</b>                | <b>47,048</b>                |
| Local Assistance   | 679                        | 619                        | 649                        | 649                          | 649                          | 649                          |
| State Operations   | 40,096                     | 40,037                     | 42,220                     | 44,018                       | 45,920                       | 45,274                       |
| Personal Service   | 29,334                     | 31,552                     | 32,997                     | 34,351                       | 35,990                       | 35,061                       |
| Non-Personal Service/Indirect Costs                        | 10,762                     | 8,485                      | 9,223                      | 9,667                        | 9,930                        | 10,213                       |
| General State Charges                                      | 850                        | 709                        | 938                        | 993                          | 1,057                        | 1,125                        |
| <b>Mental Health, Office of</b>                            | <b>3,379,732</b>           | <b>3,501,554</b>           | <b>2,922,979</b>           | <b>3,038,773</b>             | <b>3,160,002</b>             | <b>3,289,992</b>             |
| <b>OMH</b>   | <b>1,684,533</b>           | <b>1,778,348</b>           | <b>1,604,462</b>           | <b>1,722,020</b>             | <b>1,799,167</b>             | <b>1,890,748</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Local Assistance   | 1,001,866                  | 1,016,591                  | 1,082,042                  | 1,189,001                    | 1,256,233                    | 1,313,333                    |
| State Operations   | 350,032                    | 352,420                    | 323,820                    | 356,943                      | 360,343                      | 367,731                      |
| Personal Service   | 289,320                    | 289,317                    | 270,901                    | 311,937                      | 314,937                      | 320,987                      |
| Non-Personal Service/Indirect Costs                                  | 60,712                     | 63,103                     | 52,919                     | 45,006                       | 45,406                       | 46,744                       |
| General State Charges  | 192,665                    | 194,563                    | 456                        | 469                          | 469                          | 469                          |
| Capital Projects   | 139,970                    | 214,774                    | 198,144                    | 175,607                      | 182,122                      | 209,215                      |
| <b>OMH - Other</b>   | <b>1,695,199</b>           | <b>1,723,206</b>           | <b>1,318,517</b>           | <b>1,316,753</b>             | <b>1,360,835</b>             | <b>1,399,244</b>             |
| Local Assistance   | 270,500                    | 265,389                    | 299,325                    | 309,711                      | 320,630                      | 332,099                      |
| State Operations   | 995,433                    | 1,000,487                  | 1,019,192                  | 1,007,042                    | 1,040,205                    | 1,067,145                    |
| Personal Service   | 784,057                    | 783,120                    | 816,887                    | 784,927                      | 805,641                      | 810,776                      |
| Non-Personal Service/Indirect Costs                                  | 211,376                    | 217,367                    | 202,305                    | 222,115                      | 234,564                      | 256,369                      |
| General State Charges  | 429,266                    | 457,330                    | 0                          | 0                            | 0                            | 0                            |
| <b>Mental Hygiene, Department of</b>                                 | <b>227</b>                 | <b>204</b>                 | <b>0</b>                   | <b>0</b>                     | <b>0</b>                     | <b>0</b>                     |
| State Operations   | 227                        | 204                        | 0                          | 0                            | 0                            | 0                            |
| Non-Personal Service/Indirect Costs                                  | 227                        | 204                        | 0                          | 0                            | 0                            | 0                            |
| <b>People with Developmental Disabilities, Office for</b>            | <b>2,974,349</b>           | <b>2,935,355</b>           | <b>1,949,169</b>           | <b>2,240,454</b>             | <b>2,681,095</b>             | <b>2,605,772</b>             |
| <b>OPWDD</b>   | <b>455,861</b>             | <b>479,874</b>             | <b>482,029</b>             | <b>477,995</b>               | <b>478,070</b>               | <b>489,467</b>               |
| Local Assistance   | 385,635                    | 409,319                    | 392,150                    | 387,523                      | 393,452                      | 403,629                      |
| State Operations   | 1,173                      | 546                        | 1,181                      | 1,181                        | 1,181                        | 1,181                        |
| Personal Service   | 0                          | 124                        | 0                          | 0                            | 0                            | 0                            |
| Non-Personal Service/Indirect Costs                                  | 1,173                      | 422                        | 1,181                      | 1,181                        | 1,181                        | 1,181                        |
| Capital Projects   | 69,053                     | 70,009                     | 88,698                     | 89,291                       | 83,437                       | 84,657                       |
| <b>OPWDD - Other</b>   | <b>2,518,488</b>           | <b>2,455,481</b>           | <b>1,467,140</b>           | <b>1,762,459</b>             | <b>2,203,025</b>             | <b>2,116,305</b>             |
| Local Assistance   | 583,954                    | 438,663                    | 141,501                    | 434,826                      | 838,871                      | 737,192                      |
| State Operations   | 1,297,334                  | 1,333,017                  | 1,325,639                  | 1,327,633                    | 1,364,154                    | 1,379,113                    |
| Personal Service   | 1,092,002                  | 1,129,750                  | 1,137,939                  | 1,145,989                    | 1,177,306                    | 1,186,856                    |
| Non-Personal Service/Indirect Costs                                  | 205,332                    | 203,267                    | 187,700                    | 181,644                      | 186,848                      | 192,257                      |
| General State Charges  | 637,200                    | 683,801                    | 0                          | 0                            | 0                            | 0                            |
| <b>Functional Total</b>  | <b>7,004,090</b>           | <b>7,079,685</b>           | <b>5,547,260</b>           | <b>5,998,675</b>             | <b>6,586,040</b>             | <b>6,628,210</b>             |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                            |                            |                            |                            |                              |                              |                              |
| <b>Correction, Commission of</b>                                     | <b>2,431</b>               | <b>2,594</b>               | <b>2,651</b>               | <b>2,651</b>                 | <b>2,773</b>                 | <b>2,735</b>                 |
| State Operations   | 2,431                      | 2,594                      | 2,651                      | 2,651                        | 2,773                        | 2,735                        |
| Personal Service   | 2,234                      | 2,316                      | 2,414                      | 2,414                        | 2,531                        | 2,488                        |
| Non-Personal Service/Indirect Costs                                  | 197                        | 278                        | 237                        | 237                          | 242                          | 247                          |
| <b>Correctional Services, Department of</b>                          | <b>2,971,422</b>           | <b>3,017,424</b>           | <b>3,007,745</b>           | <b>2,983,649</b>             | <b>2,963,607</b>             | <b>2,955,466</b>             |
| Local Assistance   | 4,457                      | 5,686                      | 4,836                      | 4,836                        | 4,836                        | 4,836                        |
| State Operations   | 2,662,598                  | 2,654,783                  | 2,623,125                  | 2,635,498                    | 2,641,128                    | 2,632,498                    |
| Personal Service   | 2,134,801                  | 2,124,813                  | 2,058,585                  | 2,072,089                    | 2,077,719                    | 2,072,089                    |
| Non-Personal Service/Indirect Costs                                  | 527,797                    | 529,970                    | 564,540                    | 563,409                      | 563,409                      | 560,409                      |
| General State Charges  | 1,092                      | 1,211                      | 1,147                      | 1,147                        | 1,147                        | 1,147                        |
| Capital Projects   | 303,275                    | 355,744                    | 378,637                    | 342,168                      | 316,496                      | 316,985                      |
| <b>Corrections and Community Supervision Medicaid, Department of</b> | <b>0</b>                   | <b>0</b>                   | <b>2,000</b>               | <b>2,000</b>                 | <b>2,000</b>                 | <b>0</b>                     |
| Local Assistance   | 0                          | 0                          | 2,000                      | 2,000                        | 2,000                        | 0                            |
| <b>Criminal Justice Services, Division of</b>                        | <b>215,234</b>             | <b>221,521</b>             | <b>273,551</b>             | <b>218,280</b>               | <b>219,334</b>               | <b>219,156</b>               |
| Local Assistance   | 171,426                    | 170,749                    | 227,504                    | 172,233                      | 172,233                      | 172,233                      |
| State Operations   | 43,444                     | 50,120                     | 45,664                     | 45,664                       | 46,718                       | 46,540                       |
| Personal Service   | 31,283                     | 32,935                     | 32,184                     | 32,184                       | 33,238                       | 32,794                       |
| Non-Personal Service/Indirect Costs                                  | 12,161                     | 17,185                     | 13,480                     | 13,480                       | 13,480                       | 13,746                       |
| General State Charges  | 364                        | 652                        | 383                        | 383                          | 383                          | 383                          |
| <b>Homeland Security and Emergency Services, Division of</b>         | <b>1,432,507</b>           | <b>1,524,755</b>           | <b>1,104,289</b>           | <b>1,015,977</b>             | <b>1,037,113</b>             | <b>1,049,907</b>             |
| Local Assistance   | 1,353,983                  | 1,430,243                  | 999,186                    | 919,295                      | 949,313                      | 959,610                      |
| State Operations   | 62,691                     | 58,572                     | 74,050                     | 74,130                       | 75,386                       | 77,813                       |
| Personal Service   | 26,736                     | 27,650                     | 38,313                     | 42,337                       | 43,583                       | 44,942                       |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Non-Personal Service/Indirect Costs                  | 35,955                     | 30,922                     | 35,737                     | 31,793                       | 31,803                       | 32,871                       |
| General State Charges                                | 7,388                      | 7,269                      | 7,873                      | 5,396                        | 5,414                        | 5,484                        |
| Capital Projects                                     | 8,445                      | 28,671                     | 23,180                     | 17,156                       | 7,000                        | 7,000                        |
| <b>Indigent Legal Services, Office of</b>            | <b>63,641</b>              | <b>75,499</b>              | <b>166,393</b>             | <b>190,581</b>               | <b>214,855</b>               | <b>214,975</b>               |
| Local Assistance                                     | 60,503                     | 72,102                     | 160,672                    | 184,765                      | 208,859                      | 208,859                      |
| State Operations                                     | 2,171                      | 2,328                      | 3,815                      | 3,813                        | 3,879                        | 3,877                        |
| Personal Service                                     | 1,678                      | 1,873                      | 2,982                      | 2,982                        | 3,048                        | 3,034                        |
| Non-Personal Service/Indirect Costs                  | 493                        | 455                        | 833                        | 831                          | 831                          | 843                          |
| General State Charges                                | 967                        | 1,069                      | 1,906                      | 2,003                        | 2,117                        | 2,239                        |
| <b>Judicial Conduct, Commission on</b>               | <b>5,505</b>               | <b>5,552</b>               | <b>5,696</b>               | <b>5,708</b>                 | <b>5,936</b>                 | <b>6,114</b>                 |
| State Operations                                     | 5,505                      | 5,552                      | 5,696                      | 5,708                        | 5,936                        | 6,114                        |
| Personal Service                                     | 4,181                      | 4,238                      | 4,312                      | 4,347                        | 4,548                        | 4,483                        |
| Non-Personal Service/Indirect Costs                  | 1,324                      | 1,314                      | 1,384                      | 1,361                        | 1,388                        | 1,631                        |
| <b>Judicial Nomination, Commission on</b>            | <b>10</b>                  | <b>10</b>                  | <b>30</b>                  | <b>30</b>                    | <b>30</b>                    | <b>30</b>                    |
| State Operations                                     | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| Non-Personal Service/Indirect Costs                  | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| <b>Judicial Screening Committees, New York State</b> | <b>9</b>                   | <b>14</b>                  | <b>38</b>                  | <b>38</b>                    | <b>38</b>                    | <b>38</b>                    |
| State Operations                                     | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| Non-Personal Service/Indirect Costs                  | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| <b>Military and Naval Affairs, Division of</b>       | <b>130,428</b>             | <b>132,339</b>             | <b>117,695</b>             | <b>132,423</b>               | <b>115,685</b>               | <b>109,816</b>               |
| Local Assistance                                     | 786                        | 819                        | 820                        | 820                          | 820                          | 886                          |
| State Operations                                     | 53,525                     | 62,438                     | 58,606                     | 58,661                       | 59,267                       | 59,931                       |
| Personal Service                                     | 29,020                     | 36,483                     | 35,354                     | 35,354                       | 35,650                       | 35,724                       |
| Non-Personal Service/Indirect Costs                  | 24,505                     | 25,955                     | 23,252                     | 23,307                       | 23,617                       | 24,207                       |
| General State Charges                                | 6,078                      | 5,775                      | 10,577                     | 6,629                        | 6,754                        | 6,755                        |
| Capital Projects                                     | 70,039                     | 63,307                     | 47,692                     | 66,313                       | 48,844                       | 42,244                       |
| <b>State Police, Division of</b>                     | <b>788,957</b>             | <b>853,462</b>             | <b>825,638</b>             | <b>819,284</b>               | <b>855,565</b>               | <b>869,716</b>               |
| Local Assistance                                     | 91                         | 62                         | 0                          | 0                            | 0                            | 0                            |
| State Operations                                     | 737,575                    | 764,680                    | 755,513                    | 750,290                      | 776,159                      | 791,405                      |
| Personal Service                                     | 655,292                    | 687,413                    | 680,526                    | 677,070                      | 702,870                      | 715,645                      |
| Non-Personal Service/Indirect Costs                  | 82,283                     | 77,267                     | 74,987                     | 73,220                       | 73,289                       | 75,760                       |
| General State Charges                                | 20,056                     | 15,817                     | 25,400                     | 26,310                       | 26,653                       | 26,823                       |
| Capital Projects                                     | 31,235                     | 72,903                     | 44,725                     | 42,684                       | 52,753                       | 51,488                       |
| <b>Statewide Financial System</b>                    | <b>30,309</b>              | <b>30,137</b>              | <b>30,382</b>              | <b>30,506</b>                | <b>30,949</b>                | <b>31,117</b>                |
| State Operations                                     | 30,309                     | 30,137                     | 30,382                     | 30,506                       | 30,949                       | 31,117                       |
| Personal Service                                     | 11,045                     | 11,094                     | 11,758                     | 11,882                       | 12,325                       | 12,121                       |
| Non-Personal Service/Indirect Costs                  | 19,264                     | 19,043                     | 18,624                     | 18,624                       | 18,624                       | 18,996                       |
| <b>Victim Services, Office of</b>                    | <b>73,166</b>              | <b>60,952</b>              | <b>83,227</b>              | <b>85,780</b>                | <b>85,948</b>                | <b>86,735</b>                |
| Local Assistance                                     | 65,814                     | 52,669                     | 74,956                     | 77,744                       | 77,744                       | 78,492                       |
| State Operations                                     | 5,782                      | 6,645                      | 6,621                      | 6,386                        | 6,554                        | 6,560                        |
| Personal Service                                     | 4,638                      | 5,044                      | 4,834                      | 4,834                        | 5,002                        | 4,985                        |
| Non-Personal Service/Indirect Costs                  | 1,144                      | 1,601                      | 1,787                      | 1,552                        | 1,552                        | 1,575                        |
| General State Charges                                | 1,570                      | 1,638                      | 1,650                      | 1,650                        | 1,650                        | 1,683                        |
| <b>Functional Total</b>                              | <b>5,713,619</b>           | <b>5,924,259</b>           | <b>5,619,335</b>           | <b>5,486,907</b>             | <b>5,533,833</b>             | <b>5,545,805</b>             |
| <b>HIGHER EDUCATION</b>                              |                            |                            |                            |                              |                              |                              |
| <b>City University of New York</b>                   | <b>1,564,711</b>           | <b>1,604,707</b>           | <b>1,632,513</b>           | <b>1,674,145</b>             | <b>1,715,426</b>             | <b>1,751,313</b>             |
| Local Assistance                                     | 1,424,129                  | 1,465,153                  | 1,493,737                  | 1,533,264                    | 1,572,884                    | 1,607,831                    |
| State Operations                                     | 96,394                     | 102,801                    | 102,726                    | 104,111                      | 105,040                      | 105,980                      |
| Personal Service                                     | 48,975                     | 52,623                     | 48,148                     | 48,594                       | 49,045                       | 49,501                       |
| Non-Personal Service/Indirect Costs                  | 47,419                     | 50,178                     | 54,578                     | 55,517                       | 55,995                       | 56,479                       |
| General State Charges                                | 7,661                      | 145                        | 150                        | 150                          | 150                          | 150                          |
| Capital Projects                                     | 36,527                     | 36,608                     | 35,900                     | 36,620                       | 37,352                       | 37,352                       |
| <b>Higher Education - Miscellaneous</b>              | <b>529</b>                 | <b>337</b>                 | <b>441</b>                 | <b>441</b>                   | <b>441</b>                   | <b>441</b>                   |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| State Operations   | 386                | 256                | 291                | 291                  | 291                  | 291                  |
| Personal Service   | 283                | 169                | 198                | 198                  | 198                  | 198                  |
| Non-Personal Service/Indirect Costs                                | 103                | 87                 | 93                 | 93                   | 93                   | 93                   |
| General State Charges  | 143                | 81                 | 150                | 150                  | 150                  | 150                  |
| <b>Higher Education Facilities Capital Matching Grants Program</b> | <b>0</b>           | <b>4,425</b>       | <b>12,500</b>      | <b>11,875</b>        | <b>14,250</b>        | <b>6,650</b>         |
| Local Assistance   | 0                  | 4,425              | 12,500             | 11,875               | 14,250               | 6,650                |
| <b>Higher Education Services Corporation, New York State</b>       | <b>1,020,224</b>   | <b>934,399</b>     | <b>1,139,603</b>   | <b>1,215,862</b>     | <b>1,234,135</b>     | <b>1,241,241</b>     |
| Local Assistance   | 957,549            | 879,384            | 1,080,675          | 1,156,934            | 1,175,207            | 1,182,313            |
| State Operations   | 54,150             | 46,884             | 49,907             | 49,907               | 49,907               | 49,907               |
| Personal Service   | 14,530             | 13,380             | 13,752             | 13,752               | 13,752               | 13,752               |
| Non-Personal Service/Indirect Costs                                | 39,620             | 33,504             | 36,155             | 36,155               | 36,155               | 36,155               |
| General State Charges  | 8,525              | 8,131              | 9,021              | 9,021                | 9,021                | 9,021                |
| <b>State University of New York</b>                                | <b>7,985,267</b>   | <b>8,281,819</b>   | <b>8,430,602</b>   | <b>8,481,627</b>     | <b>8,671,895</b>     | <b>8,627,665</b>     |
| Local Assistance   | 491,891            | 489,533            | 482,289            | 484,643              | 484,643              | 484,643              |
| State Operations   | 6,313,023          | 6,421,347          | 6,511,548          | 6,573,351            | 6,732,888            | 6,694,225            |
| Personal Service   | 3,775,120          | 3,761,248          | 3,980,777          | 4,021,752            | 4,160,249            | 4,100,334            |
| Non-Personal Service/Indirect Costs                                | 2,537,903          | 2,660,099          | 2,530,771          | 2,551,599            | 2,572,639            | 2,593,891            |
| General State Charges  | 420,308            | 430,884            | 485,122            | 500,617              | 514,171              | 504,324              |
| Capital Projects   | 760,045            | 940,055            | 951,643            | 923,016              | 940,193              | 944,473              |
| <b>Functional Total</b>  | <b>10,570,731</b>  | <b>10,825,687</b>  | <b>11,215,659</b>  | <b>11,383,950</b>    | <b>11,636,147</b>    | <b>11,627,310</b>    |
| <b>EDUCATION</b>   |                    |                    |                    |                      |                      |                      |
| <b>Arts, Council on the</b>  | <b>43,884</b>      | <b>39,750</b>      | <b>46,113</b>      | <b>45,953</b>        | <b>46,049</b>        | <b>45,953</b>        |
| Local Assistance   | 39,959             | 35,490             | 41,693             | 41,533               | 41,533               | 41,533               |
| State Operations   | 3,925              | 4,260              | 4,420              | 4,420                | 4,516                | 4,420                |
| Personal Service   | 2,491              | 2,626              | 2,498              | 2,498                | 2,594                | 2,498                |
| Non-Personal Service/Indirect Costs                                | 1,434              | 1,634              | 1,922              | 1,922                | 1,922                | 1,922                |
| <b>Education, Department of</b>                                    | <b>33,672,197</b>  | <b>33,883,898</b>  | <b>35,574,505</b>  | <b>36,802,006</b>    | <b>37,840,579</b>    | <b>39,045,261</b>    |
| <b>School Aid</b>  | <b>27,193,544</b>  | <b>27,848,709</b>  | <b>29,429,258</b>  | <b>30,774,155</b>    | <b>31,754,812</b>    | <b>32,864,163</b>    |
| Local Assistance   | 27,193,544         | 27,848,709         | 29,429,258         | 30,774,155           | 31,754,812           | 32,864,163           |
| <b>School Aid – Other</b>  | <b>57,079</b>      | <b>61,169</b>      | <b>99,342</b>      | <b>78,195</b>        | <b>78,195</b>        | <b>78,195</b>        |
| Local Assistance   | 57,079             | 61,169             | 99,342             | 78,195               | 78,195               | 78,195               |
| <b>STAR Property Tax Relief</b>                                    | <b>3,139,051</b>   | <b>2,589,145</b>   | <b>2,459,259</b>   | <b>2,417,238</b>     | <b>2,401,995</b>     | <b>2,401,995</b>     |
| Local Assistance   | 3,139,051          | 2,589,145          | 2,459,259          | 2,417,238            | 2,401,995            | 2,401,995            |
| <b>Special Education Categorical Programs</b>                      | <b>1,907,839</b>   | <b>2,001,400</b>   | <b>2,018,600</b>   | <b>2,044,837</b>     | <b>2,117,421</b>     | <b>2,183,266</b>     |
| Local Assistance   | 1,907,839          | 2,001,400          | 2,018,600          | 2,044,837            | 2,117,421            | 2,183,266            |
| <b>All Other</b>   | <b>1,374,684</b>   | <b>1,383,475</b>   | <b>1,568,046</b>   | <b>1,487,581</b>     | <b>1,488,156</b>     | <b>1,517,642</b>     |
| Local Assistance   | 979,302            | 985,722            | 1,133,833          | 1,061,060            | 1,053,213            | 1,075,279            |
| State Operations   | 310,252            | 305,724            | 294,315            | 291,935              | 298,934              | 295,668              |
| Personal Service   | 174,795            | 176,266            | 172,576            | 172,260              | 178,720              | 175,511              |
| Non-Personal Service/Indirect Costs                                | 135,457            | 129,458            | 121,739            | 119,675              | 120,214              | 120,157              |
| General State Charges  | 79,985             | 85,316             | 88,398             | 91,218               | 98,452               | 99,195               |
| Capital Projects   | 5,145              | 6,713              | 51,500             | 43,368               | 37,557               | 47,500               |
| <b>Functional Total</b>  | <b>33,716,081</b>  | <b>33,923,648</b>  | <b>35,620,618</b>  | <b>36,847,959</b>    | <b>37,886,628</b>    | <b>39,091,214</b>    |
| <b>GENERAL GOVERNMENT</b>  |                    |                    |                    |                      |                      |                      |
| <b>Budget, Division of the</b>                                     | <b>25,335</b>      | <b>27,312</b>      | <b>30,423</b>      | <b>29,596</b>        | <b>30,603</b>        | <b>29,596</b>        |
| State Operations   | 24,559             | 26,600             | 28,766             | 27,939               | 28,886               | 27,939               |
| Personal Service   | 20,619             | 21,683             | 24,567             | 24,567               | 25,511               | 24,567               |
| Non-Personal Service/Indirect Costs                                | 3,940              | 4,917              | 4,199              | 3,372                | 3,375                | 3,372                |
| General State Charges  | 776                | 712                | 1,657              | 1,657                | 1,717                | 1,657                |
| <b>Civil Service, Department of</b>                                | <b>13,379</b>      | <b>14,975</b>      | <b>13,560</b>      | <b>13,680</b>        | <b>14,189</b>        | <b>13,815</b>        |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| State Operations                                   | 13,295             | 14,795             | 13,331             | 13,441               | 13,926               | 13,545               |
| Personal Service                                   | 12,212             | 14,053             | 12,497             | 12,591               | 13,075               | 12,685               |
| Non-Personal Service/Indirect Costs                | 1,083              | 742                | 834                | 850                  | 851                  | 860                  |
| General State Charges                              | 84                 | 180                | 229                | 239                  | 263                  | 270                  |
| <b>Deferred Compensation Board</b>                 | <b>613</b>         | <b>675</b>         | <b>846</b>         | <b>853</b>           | <b>854</b>           | <b>853</b>           |
| State Operations                                   | 418                | 451                | 641                | 648                  | 649                  | 648                  |
| Personal Service                                   | 368                | 408                | 410                | 413                  | 414                  | 413                  |
| Non-Personal Service/Indirect Costs                | 50                 | 43                 | 231                | 235                  | 235                  | 235                  |
| General State Charges                              | 195                | 224                | 205                | 205                  | 205                  | 205                  |
| <b>Elections, State Board of</b>                   | <b>10,289</b>      | <b>11,951</b>      | <b>14,077</b>      | <b>15,427</b>        | <b>15,363</b>        | <b>13,634</b>        |
| Local Assistance                                   | 647                | 326                | 0                  | 0                    | 0                    | 0                    |
| State Operations                                   | 9,593              | 11,625             | 13,927             | 15,127               | 15,063               | 13,334               |
| Personal Service                                   | 5,863              | 6,176              | 6,383              | 6,768                | 7,051                | 6,958                |
| Non-Personal Service/Indirect Costs                | 3,730              | 5,449              | 7,544              | 8,359                | 8,012                | 6,376                |
| General State Charges                              | 49                 | 0                  | 150                | 300                  | 300                  | 300                  |
| <b>Employee Relations, Office of</b>               | <b>2,334</b>       | <b>3,569</b>       | <b>2,601</b>       | <b>2,621</b>         | <b>2,719</b>         | <b>2,621</b>         |
| State Operations                                   | 2,334              | 3,569              | 2,601              | 2,621                | 2,719                | 2,621                |
| Personal Service                                   | 2,269              | 2,515              | 2,529              | 2,548                | 2,646                | 2,548                |
| Non-Personal Service/Indirect Costs                | 65                 | 1,054              | 72                 | 73                   | 73                   | 73                   |
| <b>Gaming Commission, New York State</b>           | <b>253,064</b>     | <b>176,850</b>     | <b>238,873</b>     | <b>212,703</b>       | <b>215,120</b>       | <b>212,703</b>       |
| Local Assistance                                   | 101,820            | 65,779             | 140,570            | 114,400              | 114,400              | 114,400              |
| State Operations                                   | 138,467            | 94,531             | 80,728             | 80,728               | 82,255               | 80,728               |
| Personal Service                                   | 32,822             | 34,862             | 38,757             | 38,757               | 40,248               | 38,757               |
| Non-Personal Service/Indirect Costs                | 105,645            | 59,669             | 41,971             | 41,971               | 42,007               | 41,971               |
| General State Charges                              | 12,777             | 16,540             | 17,575             | 17,575               | 18,465               | 17,575               |
| <b>General Services, Office of</b>                 | <b>262,189</b>     | <b>280,851</b>     | <b>355,679</b>     | <b>329,454</b>       | <b>331,533</b>       | <b>293,798</b>       |
| Local Assistance                                   | 0                  | 0                  | 250                | 250                  | 250                  | 250                  |
| State Operations                                   | 169,251            | 151,633            | 145,053            | 140,975              | 139,777              | 138,387              |
| Personal Service                                   | 77,580             | 67,107             | 48,450             | 48,450               | 51,875               | 49,458               |
| Non-Personal Service/Indirect Costs                | 91,671             | 84,526             | 96,603             | 92,525               | 87,902               | 88,929               |
| General State Charges                              | 407                | 369                | 548                | 567                  | 627                  | 644                  |
| Capital Projects                                   | 92,531             | 128,849            | 209,828            | 187,662              | 190,879              | 154,517              |
| <b>Inspector General, Office of the</b>            | <b>7,179</b>       | <b>7,166</b>       | <b>7,427</b>       | <b>7,487</b>         | <b>7,826</b>         | <b>8,061</b>         |
| State Operations                                   | 7,179              | 7,166              | 7,427              | 7,487                | 7,826                | 8,061                |
| Personal Service                                   | 5,833              | 6,223              | 6,600              | 6,648                | 6,970                | 6,857                |
| Non-Personal Service/Indirect Costs                | 1,346              | 943                | 827                | 839                  | 856                  | 1,204                |
| <b>Labor Management Committees</b>                 | <b>28,818</b>      | <b>24,773</b>      | <b>31,300</b>      | <b>31,306</b>        | <b>31,517</b>        | <b>31,306</b>        |
| State Operations                                   | 28,818             | 24,773             | 31,300             | 31,306               | 31,517               | 31,306               |
| Personal Service                                   | 7,871              | 7,747              | 5,446              | 5,487                | 5,698                | 5,487                |
| Non-Personal Service/Indirect Costs                | 20,947             | 17,026             | 25,854             | 25,819               | 25,819               | 25,819               |
| <b>Prevention of Domestic Violence, Office for</b> | <b>2,062</b>       | <b>2,372</b>       | <b>2,949</b>       | <b>3,049</b>         | <b>3,121</b>         | <b>3,059</b>         |
| Local Assistance                                   | 528                | 791                | 1,285              | 1,385                | 1,385                | 1,412                |
| State Operations                                   | 1,534              | 1,581              | 1,664              | 1,664                | 1,736                | 1,647                |
| Personal Service                                   | 1,368              | 1,435              | 1,469              | 1,491                | 1,524                | 1,431                |
| Non-Personal Service/Indirect Costs                | 166                | 146                | 195                | 173                  | 212                  | 216                  |
| <b>Public Employment Relations Board</b>           | <b>3,281</b>       | <b>3,260</b>       | <b>3,604</b>       | <b>3,634</b>         | <b>3,764</b>         | <b>3,634</b>         |
| State Operations                                   | 3,281              | 3,260              | 3,604              | 3,634                | 3,764                | 3,634                |
| Personal Service                                   | 3,056              | 3,059              | 3,313              | 3,338                | 3,468                | 3,338                |
| Non-Personal Service/Indirect Costs                | 225                | 201                | 291                | 296                  | 296                  | 296                  |
| <b>Public Integrity, Commission on</b>             | <b>4,876</b>       | <b>5,115</b>       | <b>5,576</b>       | <b>5,630</b>         | <b>5,835</b>         | <b>6,010</b>         |
| State Operations                                   | 4,876              | 5,115              | 5,576              | 5,630                | 5,835                | 6,010                |
| Personal Service                                   | 3,808              | 4,386              | 4,646              | 4,681                | 4,867                | 4,827                |
| Non-Personal Service/Indirect Costs                | 1,068              | 729                | 930                | 949                  | 968                  | 1,183                |
| <b>State, Department of</b>                        | <b>133,681</b>     | <b>127,596</b>     | <b>149,428</b>     | <b>198,295</b>       | <b>181,627</b>       | <b>170,776</b>       |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Local Assistance                            | 71,828             | 65,899             | 82,918             | 132,336              | 115,336              | 105,336              |
| State Operations                            | 51,058             | 48,251             | 48,824             | 48,625               | 49,737               | 48,625               |
| Personal Service                            | 32,134             | 32,267             | 31,532             | 31,482               | 32,570               | 31,482               |
| Non-Personal Service/Indirect Costs         | 18,924             | 15,984             | 17,292             | 17,143               | 17,167               | 17,143               |
| General State Charges                       | 10,795             | 12,213             | 14,919             | 15,334               | 16,554               | 16,815               |
| Capital Projects                            | 0                  | 1,233              | 2,767              | 2,000                | 0                    | 0                    |
| <b>Tax Appeals, Division of</b>             | <b>2,833</b>       | <b>2,688</b>       | <b>3,040</b>       | <b>3,040</b>         | <b>3,150</b>         | <b>3,040</b>         |
| State Operations                            | 2,833              | 2,688              | 3,040              | 3,040                | 3,150                | 3,040                |
| Personal Service                            | 2,604              | 2,541              | 2,870              | 2,870                | 2,980                | 2,870                |
| Non-Personal Service/Indirect Costs         | 229                | 147                | 170                | 170                  | 170                  | 170                  |
| <b>Taxation and Finance, Department of</b>  | <b>359,123</b>     | <b>346,372</b>     | <b>363,556</b>     | <b>362,100</b>       | <b>373,027</b>       | <b>361,500</b>       |
| Local Assistance                            | 1,080              | 1,542              | 2,726              | 2,726                | 2,726                | 2,726                |
| State Operations                            | 333,026            | 322,195            | 333,566            | 332,311              | 342,118              | 331,702              |
| Personal Service                            | 284,526            | 275,658            | 264,119            | 264,323              | 273,392              | 264,338              |
| Non-Personal Service/Indirect Costs         | 48,500             | 46,537             | 69,447             | 67,988               | 68,726               | 67,364               |
| General State Charges                       | 25,017             | 22,635             | 27,264             | 27,063               | 28,183               | 27,072               |
| <b>Technology, Office for</b>               | <b>635,173</b>     | <b>641,934</b>     | <b>663,397</b>     | <b>559,037</b>       | <b>575,399</b>       | <b>590,955</b>       |
| State Operations                            | 548,953            | 539,103            | 544,532            | 540,286              | 550,699              | 557,255              |
| Personal Service                            | 298,543            | 289,994            | 286,118            | 291,603              | 308,070              | 303,412              |
| Non-Personal Service/Indirect Costs         | 250,410            | 249,109            | 258,414            | 248,683              | 242,629              | 253,843              |
| Capital Projects                            | 86,220             | 102,831            | 118,865            | 18,751               | 24,700               | 33,700               |
| <b>Veterans' Affairs, Division of</b>       | <b>15,067</b>      | <b>14,694</b>      | <b>17,636</b>      | <b>15,496</b>        | <b>15,789</b>        | <b>15,753</b>        |
| Local Assistance                            | 8,340              | 7,906              | 9,792              | 7,637                | 7,637                | 7,637                |
| State Operations                            | 6,509              | 6,493              | 7,604              | 7,604                | 7,883                | 7,847                |
| Personal Service                            | 5,512              | 6,016              | 6,742              | 6,742                | 7,004                | 6,950                |
| Non-Personal Service/Indirect Costs         | 997                | 477                | 862                | 862                  | 879                  | 897                  |
| General State Charges                       | 218                | 295                | 240                | 255                  | 269                  | 269                  |
| <b>Welfare Inspector General, Office of</b> | <b>592</b>         | <b>671</b>         | <b>686</b>         | <b>701</b>           | <b>731</b>           | <b>753</b>           |
| State Operations                            | 592                | 671                | 686                | 701                  | 731                  | 753                  |
| Personal Service                            | 565                | 619                | 621                | 626                  | 654                  | 646                  |
| Non-Personal Service/Indirect Costs         | 27                 | 52                 | 65                 | 75                   | 77                   | 107                  |
| <b>Workers' Compensation Board</b>          | <b>193,275</b>     | <b>198,190</b>     | <b>199,043</b>     | <b>228,595</b>       | <b>244,182</b>       | <b>204,271</b>       |
| State Operations                            | 140,604            | 140,971            | 143,323            | 145,193              | 150,513              | 146,408              |
| Personal Service                            | 82,632             | 85,117             | 84,993             | 85,604               | 89,709               | 85,604               |
| Non-Personal Service/Indirect Costs         | 57,972             | 55,854             | 58,330             | 59,589               | 60,804               | 60,804               |
| General State Charges                       | 48,919             | 52,395             | 53,220             | 53,402               | 57,863               | 57,863               |
| Capital Projects                            | 3,752              | 4,824              | 2,500              | 30,000               | 35,806               | 0                    |
| <b>Functional Total</b>                     | <b>1,953,163</b>   | <b>1,891,014</b>   | <b>2,103,701</b>   | <b>2,022,704</b>     | <b>2,056,349</b>     | <b>1,966,138</b>     |
| <b>ELECTED OFFICIALS</b>                    |                    |                    |                    |                      |                      |                      |
| <b>Audit and Control, Department of</b>     | <b>185,005</b>     | <b>180,421</b>     | <b>193,535</b>     | <b>190,094</b>       | <b>195,069</b>       | <b>189,387</b>       |
| Local Assistance                            | 32,025             | 32,025             | 32,024             | 32,024               | 32,024               | 32,024               |
| State Operations                            | 149,275            | 146,719            | 154,352            | 154,535              | 160,878              | 155,196              |
| Personal Service                            | 115,964            | 119,019            | 123,015            | 123,093              | 128,775              | 123,093              |
| Non-Personal Service/Indirect Costs         | 33,311             | 27,700             | 31,337             | 31,442               | 32,103               | 32,103               |
| General State Charges                       | 1,605              | 1,677              | 2,135              | 2,135                | 2,167                | 2,167                |
| Capital Projects                            | 2,100              | 0                  | 5,024              | 1,400                | 0                    | 0                    |
| <b>Executive Chamber</b>                    | <b>14,653</b>      | <b>13,560</b>      | <b>13,578</b>      | <b>13,578</b>        | <b>14,032</b>        | <b>13,578</b>        |
| State Operations                            | 14,653             | 13,560             | 13,578             | 13,578               | 14,032               | 13,578               |
| Personal Service                            | 10,746             | 9,979              | 11,113             | 11,113               | 11,567               | 11,113               |
| Non-Personal Service/Indirect Costs         | 3,907              | 3,581              | 2,465              | 2,465                | 2,465                | 2,465                |
| <b>Judiciary</b>                            | <b>2,828,688</b>   | <b>2,965,828</b>   | <b>3,044,529</b>   | <b>3,048,028</b>     | <b>3,101,529</b>     | <b>3,044,529</b>     |
| Local Assistance                            | 101,099            | 114,224            | 112,200            | 127,199              | 127,200              | 127,200              |
| State Operations                            | 2,024,995          | 2,087,034          | 2,142,700          | 2,131,200            | 2,188,200            | 2,131,200            |
| Personal Service                            | 1,570,097          | 1,626,662          | 1,669,700          | 1,658,200            | 1,715,200            | 1,658,200            |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Non-Personal Service/Indirect Costs             | 454,898            | 460,372            | 473,000            | 473,000              | 473,000              | 473,000              |
| General State Charges                           | 702,594            | 750,627            | 774,629            | 786,129              | 786,129              | 786,129              |
| Capital Projects                                | 0                  | 13,943             | 15,000             | 3,500                | 0                    | 0                    |
| <b>Law, Department of</b>                       | <b>226,651</b>     | <b>243,901</b>     | <b>251,798</b>     | <b>247,036</b>       | <b>256,423</b>       | <b>250,367</b>       |
| State Operations                                | 195,421            | 210,621            | 210,315            | 213,260              | 221,593              | 215,537              |
| Personal Service                                | 138,838            | 152,616            | 146,569            | 148,270              | 155,342              | 149,286              |
| Non-Personal Service/Indirect Costs             | 56,583             | 58,005             | 63,746             | 64,990               | 66,251               | 66,251               |
| General State Charges                           | 25,029             | 28,245             | 32,031             | 32,808               | 34,830               | 34,830               |
| Capital Projects                                | 6,201              | 5,035              | 9,452              | 968                  | 0                    | 0                    |
| <b>Legislature</b>                              | <b>225,893</b>     | <b>223,372</b>     | <b>229,858</b>     | <b>234,436</b>       | <b>239,106</b>       | <b>243,869</b>       |
| State Operations                                | 225,893            | 223,372            | 229,858            | 234,436              | 239,106              | 243,869              |
| Personal Service                                | 170,623            | 174,350            | 175,541            | 179,052              | 182,633              | 186,286              |
| Non-Personal Service/Indirect Costs             | 55,270             | 49,022             | 54,317             | 55,384               | 56,473               | 57,583               |
| <b>Lieutenant Governor, Office of the</b>       | <b>510</b>         | <b>492</b>         | <b>614</b>         | <b>614</b>           | <b>634</b>           | <b>614</b>           |
| State Operations                                | 510                | 492                | 614                | 614                  | 634                  | 614                  |
| Personal Service                                | 364                | 382                | 523                | 523                  | 543                  | 523                  |
| Non-Personal Service/Indirect Costs             | 146                | 110                | 91                 | 91                   | 91                   | 91                   |
| <b>Functional Total</b>                         | <b>3,481,400</b>   | <b>3,627,574</b>   | <b>3,733,912</b>   | <b>3,733,786</b>     | <b>3,806,793</b>     | <b>3,742,344</b>     |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>              |                    |                    |                    |                      |                      |                      |
| <b>Aid and Incentives for Municipalities</b>    | <b>714,839</b>     | <b>721,920</b>     | <b>723,783</b>     | <b>731,363</b>       | <b>763,347</b>       | <b>763,347</b>       |
| Local Assistance                                | 714,732            | 721,789            | 723,533            | 731,363              | 763,347              | 763,347              |
| State Operations                                | 107                | 131                | 250                | 0                    | 0                    | 0                    |
| Non-Personal Service/Indirect Costs             | 107                | 131                | 250                | 0                    | 0                    | 0                    |
| <b>County-Wide Shared Services Initiative</b>   | <b>0</b>           | <b>0</b>           | <b>0</b>           | <b>100,000</b>       | <b>125,000</b>       | <b>0</b>             |
| Local Assistance                                | 0                  | 0                  | 0                  | 100,000              | 125,000              | 0                    |
| <b>Efficiency Incentive Grants Program</b>      | <b>0</b>           | <b>348</b>         | <b>0</b>           | <b>0</b>             | <b>0</b>             | <b>0</b>             |
| Local Assistance                                | 0                  | 348                | 0                  | 0                    | 0                    | 0                    |
| <b>Miscellaneous Financial Assistance</b>       | <b>16,046</b>      | <b>9,270</b>       | <b>12,001</b>      | <b>2,250</b>         | <b>2,250</b>         | <b>2,250</b>         |
| Local Assistance                                | 16,046             | 9,270              | 12,001             | 2,250                | 2,250                | 2,250                |
| <b>Municipalities with VLT Facilities</b>       | <b>29,331</b>      | <b>28,885</b>      | <b>28,885</b>      | <b>28,885</b>        | <b>28,885</b>        | <b>28,885</b>        |
| Local Assistance                                | 29,331             | 28,885             | 28,885             | 28,885               | 28,885               | 28,885               |
| <b>Small Government Assistance</b>              | <b>217</b>         | <b>217</b>         | <b>218</b>         | <b>218</b>           | <b>218</b>           | <b>218</b>           |
| Local Assistance                                | 217                | 217                | 218                | 218                  | 218                  | 218                  |
| <b>Functional Total</b>                         | <b>760,433</b>     | <b>760,640</b>     | <b>764,887</b>     | <b>862,716</b>       | <b>919,700</b>       | <b>794,700</b>       |
| <b>ALL OTHER CATEGORIES</b>                     |                    |                    |                    |                      |                      |                      |
| <b>Arts and Cultural Facilities Improvement</b> | <b>0</b>           | <b>0</b>           | <b>15,000</b>      | <b>5,000</b>         | <b>0</b>             | <b>0</b>             |
| Local Assistance                                | 0                  | 0                  | 9,800              | 0                    | 0                    | 0                    |
| Capital Projects                                | 0                  | 0                  | 5,200              | 5,000                | 0                    | 0                    |
| <b>General State Charges</b>                    | <b>4,782,944</b>   | <b>4,842,816</b>   | <b>6,642,283</b>   | <b>7,020,211</b>     | <b>7,558,961</b>     | <b>8,129,073</b>     |
| General State Charges                           | 4,782,944          | 4,842,816          | 6,642,283          | 7,020,211            | 7,558,961            | 8,129,073            |
| <b>Long-Term Debt Service</b>                   | <b>5,552,429</b>   | <b>5,914,725</b>   | <b>5,566,764</b>   | <b>6,667,152</b>     | <b>7,168,443</b>     | <b>7,144,263</b>     |
| State Operations                                | 38,647             | 41,887             | 47,183             | 47,183               | 47,183               | 47,183               |
| Non-Personal Service/Indirect Costs             | 38,647             | 41,887             | 47,183             | 47,183               | 47,183               | 47,183               |
| Debt Service                                    | 5,513,782          | 5,872,838          | 5,519,581          | 6,619,969            | 7,121,260            | 7,097,080            |
| <b>Miscellaneous</b>                            | <b>(167,516)</b>   | <b>(252,020)</b>   | <b>(989,344)</b>   | <b>(718,059)</b>     | <b>(624,166)</b>     | <b>103,962</b>       |
| Local Assistance                                | (230,963)          | (329,661)          | (602,608)          | (428,838)            | (389,246)            | (381,974)            |
| State Operations                                | 24,815             | 47,603             | 174,610            | 288,022              | 403,077              | 403,077              |
| Personal Service                                | 1,939              | 2,194              | 128,275            | 243,285              | 358,340              | 358,340              |
| Non-Personal Service/Indirect Costs             | 22,876             | 45,409             | 46,335             | 44,737               | 44,737               | 44,737               |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| General State Charges                        | 5,412                      | 8,445                      | 5,747                      | 5,749                        | 5,761                        | 5,766                        |
| Capital Projects                             | 33,220                     | 21,593                     | (567,093)                  | (582,992)                    | (643,758)                    | 77,093                       |
| <b><i>Special Infrastructure Account</i></b> | <b>649,103</b>             | <b>783,139</b>             | <b>848,864</b>             | <b>878,602</b>               | <b>602,050</b>               | <b>427,650</b>               |
| Local Assistance                             | 230,875                    | 422,467                    | 10,000                     | 5,000                        | 10,000                       | 0                            |
| Capital Projects                             | 418,228                    | 360,672                    | 838,864                    | 873,602                      | 592,050                      | 427,650                      |
| <b>Functional Total</b>                      | <b><u>10,816,960</u></b>   | <b><u>11,288,660</u></b>   | <b><u>12,083,567</u></b>   | <b><u>13,852,906</u></b>     | <b><u>14,705,288</u></b>     | <b><u>15,804,948</u></b>     |
| <b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b> | <b><u>157,015,273</u></b>  | <b><u>163,743,595</u></b>  | <b><u>171,586,349</u></b>  | <b><u>178,012,655</u></b>    | <b><u>182,587,667</u></b>    | <b><u>187,037,099</u></b>    |



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                            |                            |                            |                              |                              |                              |
| Agriculture and Markets, Department of                 | 96,908                     | 95,102                     | 158,101                    | 100,905                      | 85,806                       | 100,633                      |
| Alcoholic Beverage Control, Division of                | 11,248                     | 11,819                     | 12,483                     | 11,244                       | 11,560                       | 11,244                       |
| Economic Development Capital                           | 1,844                      | 2,751                      | 22,587                     | 20,270                       | 19,569                       | 29,069                       |
| Economic Development, Department of                    | 84,240                     | 88,621                     | 99,695                     | 79,263                       | 84,512                       | 84,113                       |
| Empire State Development Corporation                   | 1,107,501                  | 1,207,655                  | 1,637,350                  | 1,837,697                    | 1,890,277                    | 1,751,701                    |
| Energy Research and Development Authority              | 14,545                     | 17,109                     | 22,877                     | 17,595                       | 15,720                       | 14,001                       |
| Financial Services, Department of                      | 362,898                    | 357,811                    | 375,435                    | 379,971                      | 398,875                      | 392,512                      |
| Olympic Regional Development Authority                 | 10,236                     | 40,736                     | 61,293                     | 25,886                       | 15,886                       | 15,886                       |
| Power Authority, New York                              | 1,916                      | 243                        | 13,750                     | 29,000                       | 32,700                       | 2,000                        |
| Public Service Department                              | 78,938                     | 88,976                     | 80,639                     | 81,610                       | 83,839                       | 83,886                       |
| Regional Economic Development Program                  | 4,156                      | 2,030                      | 3,500                      | 320                          | 320                          | 320                          |
| Strategic Investment Program                           | 683                        | 1,625                      | 7,002                      | 6,317                        | 10,494                       | 6,317                        |
| <b>Functional Total</b>                                | <b>1,775,113</b>           | <b>1,914,478</b>           | <b>2,494,712</b>           | <b>2,590,078</b>             | <b>2,649,558</b>             | <b>2,491,682</b>             |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                            |                            |                            |                              |                              |                              |
| Adirondack Park Agency                                 | 4,547                      | 4,468                      | 4,819                      | 4,764                        | 4,922                        | 4,764                        |
| Environmental Conservation, Department of              | 1,026,898                  | 974,519                    | 1,235,581                  | 1,282,333                    | 1,314,464                    | 1,380,600                    |
| Hudson River Park Trust                                | 0                          | 0                          | 0                          | 5,000                        | 10,000                       | 10,000                       |
| Parks, Recreation and Historic Preservation, Office of | 353,753                    | 366,435                    | 363,673                    | 363,461                      | 355,283                      | 350,862                      |
| <b>Functional Total</b>                                | <b>1,385,198</b>           | <b>1,345,422</b>           | <b>1,604,073</b>           | <b>1,655,558</b>             | <b>1,684,669</b>             | <b>1,746,226</b>             |
| <b>TRANSPORTATION</b>                                  |                            |                            |                            |                              |                              |                              |
| Metropolitan Transportation Authority                  | 250,000                    | 65,000                     | 800,000                    | 675,000                      | 194,856                      | 0                            |
| Motor Vehicles, Department of                          | 308,315                    | 312,091                    | 339,279                    | 343,170                      | 357,707                      | 339,654                      |
| Transportation, Department of                          | 9,950,606                  | 9,667,708                  | 8,978,344                  | 8,577,087                    | 8,769,003                    | 8,954,243                    |
| <b>Functional Total</b>                                | <b>10,508,921</b>          | <b>10,044,799</b>          | <b>10,117,623</b>          | <b>9,595,257</b>             | <b>9,321,566</b>             | <b>9,293,897</b>             |
| <b>HEALTH</b>  |                            |                            |                            |                              |                              |                              |
| Aging, Office for the                                  | 225,544                    | 234,525                    | 235,956                    | 240,768                      | 247,883                      | 253,152                      |
| Health, Department of                                  | 60,565,508                 | 66,062,707                 | 70,391,609                 | 74,167,847                   | 75,887,110                   | 78,350,743                   |
| <i>Medical Assistance</i>                              | 51,178,257                 | 55,644,622                 | 58,800,383                 | 62,185,233                   | 63,885,628                   | 66,324,670                   |
| <i>Essential Plan</i>                                  | 3,569,893                  | 3,962,563                  | 4,777,550                  | 5,046,998                    | 5,091,168                    | 5,101,502                    |
| <i>Medicaid Administration</i>                         | 1,518,498                  | 1,356,021                  | 1,793,664                  | 1,640,501                    | 1,587,138                    | 1,578,723                    |
| <i>Public Health</i>                                   | 4,298,860                  | 5,099,501                  | 5,020,012                  | 5,295,115                    | 5,323,176                    | 5,345,848                    |
| Medicaid Inspector General, Office of the              | 50,994                     | 46,446                     | 48,043                     | 48,406                       | 50,445                       | 49,224                       |
| <b>Functional Total</b>                                | <b>60,842,046</b>          | <b>66,343,678</b>          | <b>70,675,608</b>          | <b>74,457,021</b>            | <b>76,185,438</b>            | <b>78,653,119</b>            |
| <b>SOCIAL WELFARE</b>                                  |                            |                            |                            |                              |                              |                              |
| Children and Family Services, Office of                | 2,941,351                  | 3,139,782                  | 2,996,327                  | 3,018,635                    | 3,107,833                    | 3,147,469                    |
| <i>OCFS</i>  | 2,859,104                  | 3,062,420                  | 2,911,585                  | 2,927,828                    | 3,013,846                    | 3,049,318                    |
| <i>OCFS - Other</i>                                    | 82,247                     | 77,362                     | 84,742                     | 90,807                       | 93,987                       | 98,151                       |
| Housing and Community Renewal, Division of             | 256,695                    | 420,810                    | 915,803                    | 682,871                      | 686,523                      | 646,806                      |
| Human Rights, Division of                              | 14,890                     | 14,612                     | 14,343                     | 14,343                       | 15,257                       | 14,990                       |
| Labor, Department of                                   | 534,361                    | 562,650                    | 564,441                    | 550,739                      | 568,320                      | 597,462                      |
| National and Community Service                         | 17,282                     | 15,097                     | 16,564                     | 16,571                       | 16,904                       | 17,223                       |
| Nonprofit Infrastructure Capital Investment Program    | 0                          | 6,951                      | 47,500                     | 44,500                       | 16,049                       | 5,000                        |
| Roosevelt Island Operating Corporation                 | 0                          | 0                          | 25,028                     | 0                            | 0                            | 0                            |
| Temporary and Disability Assistance, Office of         | 4,722,939                  | 4,614,149                  | 5,425,388                  | 5,197,479                    | 5,204,772                    | 5,222,556                    |
| <i>Welfare Assistance</i>                              | 3,430,246                  | 3,297,890                  | 4,104,397                  | 3,867,942                    | 3,867,942                    | 3,867,942                    |
| <i>All Other</i>                                       | 1,292,693                  | 1,316,259                  | 1,320,991                  | 1,329,537                    | 1,336,830                    | 1,354,614                    |
| <b>Functional Total</b>                                | <b>8,487,518</b>           | <b>8,774,051</b>           | <b>10,005,394</b>          | <b>9,525,138</b>             | <b>9,615,658</b>             | <b>9,651,506</b>             |
| <b>MENTAL HYGIENE</b>                                  |                            |                            |                            |                              |                              |                              |
| Alcoholism and Substance Abuse Services, Office of     | 604,265                    | 596,829                    | 627,105                    | 669,588                      | 693,117                      | 681,198                      |
| <i>OASAS</i>   | 514,925                    | 503,832                    | 562,096                    | 603,379                      | 625,466                      | 612,820                      |
| <i>OASAS - Other</i>                                   | 89,340                     | 92,997                     | 65,009                     | 66,209                       | 67,651                       | 68,378                       |
| Developmental Disabilities Planning Council            | 3,892                      | 4,378                      | 4,200                      | 4,200                        | 4,200                        | 4,200                        |
| Justice Center   | 41,625                     | 41,365                     | 43,807                     | 45,660                       | 47,626                       | 47,048                       |
| Mental Health, Office of                               | 3,379,732                  | 3,501,554                  | 2,922,979                  | 3,038,773                    | 3,160,002                    | 3,289,992                    |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <i>OMH</i>   | 1,684,533                  | 1,778,348                  | 1,604,462                  | 1,722,020                    | 1,799,167                    | 1,890,748                    |
| <i>OMH - Other</i>                                 | 1,695,199                  | 1,723,206                  | 1,318,517                  | 1,316,753                    | 1,360,835                    | 1,399,244                    |
| Mental Hygiene, Department of                      | 227                        | 204                        | 0                          | 0                            | 0                            | 0                            |
| People with Developmental Disabilities, Office for | 2,974,349                  | 2,935,355                  | 1,949,169                  | 2,240,454                    | 2,681,095                    | 2,605,772                    |
| <i>OPWDD</i>                                       | 455,861                    | 479,874                    | 482,029                    | 477,995                      | 478,070                      | 489,467                      |
| <i>OPWDD - Other</i>                               | 2,518,488                  | 2,455,481                  | 1,467,140                  | 1,762,459                    | 2,203,025                    | 2,116,305                    |
| <b>Functional Total</b>                            | <b>7,004,090</b>           | <b>7,079,685</b>           | <b>5,547,260</b>           | <b>5,998,675</b>             | <b>6,586,040</b>             | <b>6,628,210</b>             |

**PUBLIC PROTECTION/CRIMINAL JUSTICE**

|   |                  |                  |                  |                  |                  |                  |
|---|------------------|------------------|------------------|------------------|------------------|------------------|
| Correction, Commission of                                     | 2,431            | 2,594            | 2,651            | 2,651            | 2,773            | 2,735            |
| Correctional Services, Department of                          | 2,971,422        | 3,017,424        | 3,007,745        | 2,983,649        | 2,963,607        | 2,955,466        |
| Corrections and Community Supervision Medicaid, Department of | 0                | 0                | 2,000            | 2,000            | 2,000            | 0                |
| Criminal Justice Services, Division of                        | 215,234          | 221,521          | 273,551          | 218,280          | 219,334          | 219,156          |
| Homeland Security and Emergency Services, Division of         | 1,432,507        | 1,524,755        | 1,104,289        | 1,015,977        | 1,037,113        | 1,049,907        |
| Indigent Legal Services, Office of                            | 63,641           | 75,499           | 166,393          | 190,581          | 214,855          | 214,975          |
| Judicial Conduct, Commission on                               | 5,505            | 5,552            | 5,696            | 5,708            | 5,936            | 6,114            |
| Judicial Nomination, Commission on                            | 10               | 10               | 30               | 30               | 30               | 30               |
| Judicial Screening Committees, New York State                 | 9                | 14               | 38               | 38               | 38               | 38               |
| Military and Naval Affairs, Division of                       | 130,428          | 132,339          | 117,695          | 132,423          | 115,685          | 109,816          |
| State Police, Division of                                     | 788,957          | 853,462          | 825,638          | 819,284          | 855,565          | 869,716          |
| Statewide Financial System                                    | 30,309           | 30,137           | 30,382           | 30,506           | 30,949           | 31,117           |
| Victim Services, Office of                                    | 73,166           | 60,952           | 83,227           | 85,780           | 85,948           | 86,735           |
| <b>Functional Total</b>                                       | <b>5,713,619</b> | <b>5,924,259</b> | <b>5,619,335</b> | <b>5,486,907</b> | <b>5,533,833</b> | <b>5,545,805</b> |

**HIGHER EDUCATION**

|   |                   |                   |                   |                   |                   |                   |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| City University of New York                                 | 1,564,711         | 1,604,707         | 1,632,513         | 1,674,145         | 1,715,426         | 1,751,313         |
| Higher Education - Miscellaneous                            | 529               | 337               | 441               | 441               | 441               | 441               |
| Higher Education Facilities Capital Matching Grants Program | 0                 | 4,425             | 12,500            | 11,875            | 14,250            | 6,650             |
| Higher Education Services Corporation, New York State       | 1,020,224         | 934,399           | 1,139,603         | 1,215,862         | 1,234,135         | 1,241,241         |
| State University of New York                                | 7,985,264         | 8,281,822         | 8,430,602         | 8,481,627         | 8,671,895         | 8,627,665         |
| <b>Functional Total</b>                                     | <b>10,570,728</b> | <b>10,825,690</b> | <b>11,215,659</b> | <b>11,383,950</b> | <b>11,636,147</b> | <b>11,627,310</b> |

**EDUCATION**

|   |                   |                   |                   |                   |                   |                   |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Arts, Council on the                          | 43,884            | 39,750            | 46,113            | 45,953            | 46,049            | 45,953            |
| Education, Department of                      | 33,672,197        | 33,883,898        | 35,574,505        | 36,802,006        | 37,840,579        | 39,045,261        |
| <i>School Aid</i>                             | 27,193,544        | 27,848,709        | 29,429,258        | 30,774,155        | 31,754,812        | 32,864,163        |
| <i>School Aid - Other</i>                     | 57,079            | 61,169            | 99,342            | 78,195            | 78,195            | 78,195            |
| <i>STAR Property Tax Relief</i>               | 3,139,051         | 2,589,145         | 2,459,259         | 2,417,238         | 2,401,995         | 2,401,995         |
| <i>Special Education Categorical Programs</i> | 1,907,839         | 2,001,400         | 2,018,600         | 2,044,837         | 2,117,421         | 2,183,266         |
| <i>All Other</i>                              | 1,374,684         | 1,383,475         | 1,568,046         | 1,487,581         | 1,488,156         | 1,517,642         |
| <b>Functional Total</b>                       | <b>33,716,081</b> | <b>33,923,648</b> | <b>35,620,618</b> | <b>36,847,959</b> | <b>37,886,628</b> | <b>39,091,214</b> |

**GENERAL GOVERNMENT**

|   |         |         |         |         |         |         |
|---|---------|---------|---------|---------|---------|---------|
| Budget, Division of the                     | 25,335  | 27,312  | 30,423  | 29,596  | 30,603  | 29,596  |
| Civil Service, Department of                | 13,379  | 14,975  | 13,560  | 13,680  | 14,189  | 13,815  |
| Deferred Compensation Board                 | 613     | 675     | 846     | 853     | 854     | 853     |
| Elections, State Board of                   | 10,289  | 11,951  | 14,077  | 15,427  | 15,363  | 13,634  |
| Employee Relations, Office of               | 2,334   | 3,569   | 2,601   | 2,621   | 2,719   | 2,621   |
| Gaming Commission, New York State           | 253,064 | 176,850 | 238,873 | 212,703 | 215,120 | 212,703 |
| General Services, Office of                 | 262,189 | 280,851 | 355,679 | 329,454 | 331,533 | 293,798 |
| Inspector General, Office of the            | 7,179   | 7,166   | 7,427   | 7,487   | 7,826   | 8,061   |
| Labor Management Committees                 | 28,818  | 24,773  | 31,300  | 31,306  | 31,517  | 31,306  |
| Prevention of Domestic Violence, Office for | 2,062   | 2,372   | 2,949   | 3,049   | 3,121   | 3,059   |
| Public Employment Relations Board           | 3,281   | 3,260   | 3,604   | 3,634   | 3,764   | 3,634   |
| Public Integrity, Commission on             | 4,876   | 5,115   | 5,576   | 5,630   | 5,835   | 6,010   |
| State, Department of                        | 133,681 | 127,596 | 149,428 | 198,295 | 181,627 | 170,776 |
| Tax Appeals, Division of                    | 2,833   | 2,688   | 3,040   | 3,040   | 3,150   | 3,040   |
| Taxation and Finance, Department of         | 359,123 | 346,372 | 363,556 | 362,100 | 373,027 | 361,500 |
| Technology, Office for                      | 635,173 | 641,934 | 663,397 | 559,037 | 575,399 | 590,955 |
| Veterans' Affairs, Division of              | 15,067  | 14,694  | 17,636  | 15,496  | 15,789  | 15,753  |
| Welfare Inspector General, Office of        | 592     | 671     | 686     | 701     | 731     | 753     |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Workers' Compensation Board                  | 193,275                    | 198,190                    | 199,043                    | 228,595                      | 244,182                      | 204,271                      |
| <b>Functional Total</b>                      | <u>1,953,163</u>           | <u>1,891,014</u>           | <u>2,103,701</u>           | <u>2,022,704</u>             | <u>2,056,349</u>             | <u>1,966,138</u>             |
| <b>ELECTED OFFICIALS</b>                     |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of             | 185,005                    | 180,421                    | 193,535                    | 190,094                      | 195,069                      | 189,387                      |
| Executive Chamber                            | 14,653                     | 13,560                     | 13,578                     | 13,578                       | 14,032                       | 13,578                       |
| Judiciary                                    | 2,828,689                  | 2,965,828                  | 3,044,529                  | 3,048,028                    | 3,101,529                    | 3,044,529                    |
| Law, Department of                           | 226,651                    | 243,901                    | 251,798                    | 247,036                      | 256,423                      | 250,367                      |
| Legislature                                  | 225,893                    | 223,372                    | 229,858                    | 234,436                      | 239,106                      | 243,869                      |
| Lieutenant Governor, Office of the           | 510                        | 492                        | 614                        | 614                          | 634                          | 614                          |
| <b>Functional Total</b>                      | <u>3,481,401</u>           | <u>3,627,574</u>           | <u>3,733,912</u>           | <u>3,733,786</u>             | <u>3,806,793</u>             | <u>3,742,344</u>             |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>           |                            |                            |                            |                              |                              |                              |
| Aid and Incentives for Municipalities        | 714,839                    | 721,920                    | 723,783                    | 731,363                      | 763,347                      | 763,347                      |
| County-Wide Shared Services Initiative       | 0                          | 0                          | 0                          | 100,000                      | 125,000                      | 0                            |
| Efficiency Incentive Grants Program          | 0                          | 348                        | 0                          | 0                            | 0                            | 0                            |
| Miscellaneous Financial Assistance           | 16,046                     | 9,270                      | 12,001                     | 2,250                        | 2,250                        | 2,250                        |
| Municipalities with VLT Facilities           | 29,331                     | 28,885                     | 28,885                     | 28,885                       | 28,885                       | 28,885                       |
| Small Government Assistance                  | 217                        | 217                        | 218                        | 218                          | 218                          | 218                          |
| <b>Functional Total</b>                      | <u>760,433</u>             | <u>760,640</u>             | <u>764,887</u>             | <u>862,716</u>               | <u>919,700</u>               | <u>794,700</u>               |
| <b>ALL OTHER CATEGORIES</b>                  |                            |                            |                            |                              |                              |                              |
| Arts and Cultural Facilities Improvement     | 0                          | 0                          | 15,000                     | 5,000                        | 0                            | 0                            |
| General State Charges                        | 4,782,943                  | 4,842,815                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| Long-Term Debt Service                       | 5,552,429                  | 5,914,725                  | 5,566,764                  | 6,667,152                    | 7,168,443                    | 7,144,263                    |
| Miscellaneous                                | (167,516)                  | (252,020)                  | (989,344)                  | (718,059)                    | (624,166)                    | 103,962                      |
| Special Infrastructure Account               | 649,103                    | 783,139                    | 848,864                    | 878,602                      | 602,050                      | 427,650                      |
| <b>Functional Total</b>                      | <u>10,816,959</u>          | <u>11,288,659</u>          | <u>12,083,567</u>          | <u>13,852,906</u>            | <u>14,705,288</u>            | <u>15,804,948</u>            |
| <b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b> | <u>157,015,270</u>         | <u>163,743,597</u>         | <u>171,586,349</u>         | <u>178,012,655</u>           | <u>182,587,667</u>           | <u>187,037,099</u>           |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 31,980             | 32,318             | 38,271             | 28,757               | 24,757               | 24,907               |
| Economic Development Capital                           | 1,844              | 2,751              | 0                  | (1,330)              | (1,293)              | (1,793)              |
| Economic Development, Department of                    | 62,448             | 68,718             | 68,154             | 61,699               | 61,423               | 66,549               |
| Empire State Development Corporation                   | 1,031,640          | 1,136,529          | 1,349,598          | 1,144,790            | 1,145,709            | 1,336,830            |
| Financial Services, Department of                      | 66,703             | 57,254             | 64,954             | 61,886               | 61,855               | 61,855               |
| Olympic Regional Development Authority                 | 0                  | 0                  | 2,360              | 0                    | 0                    | 0                    |
| Power Authority, New York                              | 1,916              | 83                 | 0                  | 0                    | 0                    | 0                    |
| Public Service Department                              | 0                  | 102                | 136                | 136                  | 136                  | 136                  |
| Regional Economic Development Program                  | 4,156              | 2,030              | 0                  | (17)                 | (17)                 | (17)                 |
| Strategic Investment Program                           | 683                | 1,625              | 0                  | (333)                | (552)                | (333)                |
| <b>Functional Total</b>                                | <b>1,201,370</b>   | <b>1,301,410</b>   | <b>1,523,473</b>   | <b>1,295,588</b>     | <b>1,292,018</b>     | <b>1,488,134</b>     |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 327,482            | 345,907            | 190,095            | 398,024              | 409,785              | 453,358              |
| Parks, Recreation and Historic Preservation, Office of | 13,281             | 9,463              | 7,126              | 6,820                | 6,820                | 6,820                |
| <b>Functional Total</b>                                | <b>340,763</b>     | <b>355,370</b>     | <b>197,221</b>     | <b>404,844</b>       | <b>416,605</b>       | <b>460,178</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Metropolitan Transportation Authority                  | 250,000            | 65,000             | 800,000            | 675,000              | 194,856              | 0                    |
| Motor Vehicles, Department of                          | 15,199             | 14,833             | 18,375             | 18,000               | 18,000               | 18,000               |
| Transportation, Department of                          | 6,331,110          | 6,168,328          | 5,053,351          | 4,740,864            | 4,774,959            | 4,900,023            |
| <b>Functional Total</b>                                | <b>6,596,309</b>   | <b>6,248,161</b>   | <b>5,871,726</b>   | <b>5,433,864</b>     | <b>4,987,815</b>     | <b>4,918,023</b>     |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 213,003            | 221,725            | 224,924            | 228,531              | 235,461              | 240,773              |
| Health, Department of                                  | 59,029,630         | 64,482,675         | 68,632,446         | 72,443,649           | 74,246,267           | 76,709,889           |
| <i>Medical Assistance</i>                              | 51,178,257         | 55,644,622         | 58,800,383         | 62,185,233           | 63,885,628           | 66,324,670           |
| <i>Essential Plan</i>                                  | 3,526,056          | 3,874,925          | 4,690,827          | 4,962,774            | 5,012,411            | 5,025,105            |
| <i>Medicaid Administration</i>                         | 843,305            | 716,713            | 989,609            | 832,585              | 816,303              | 800,731              |
| <i>Public Health</i>                                   | 3,482,012          | 4,246,415          | 4,151,627          | 4,463,057            | 4,531,925            | 4,559,383            |
| <b>Functional Total</b>                                | <b>59,242,633</b>  | <b>64,704,400</b>  | <b>68,857,370</b>  | <b>72,672,180</b>    | <b>74,481,728</b>    | <b>76,950,662</b>    |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 2,577,239          | 2,764,348          | 2,529,371          | 2,510,691            | 2,529,296            | 2,564,477            |
| <i>OCFS</i>  | 2,494,992          | 2,686,986          | 2,444,629          | 2,419,884            | 2,435,309            | 2,466,326            |
| <i>OCFS - Other</i>                                    | 82,247             | 77,362             | 84,742             | 90,807               | 93,987               | 98,151               |
| Housing and Community Renewal, Division of             | 187,653            | 340,738            | 822,907            | 589,975              | 589,399              | 546,074              |
| Labor, Department of                                   | 150,118            | 161,244            | 166,554            | 152,042              | 152,042              | 152,042              |
| National and Community Service                         | 267                | 477                | 350                | 350                  | 350                  | 350                  |
| Nonprofit Infrastructure Capital Investment Program    | 0                  | 6,951              | 0                  | 0                    | 0                    | 0                    |
| Roosevelt Island Operating Corporation                 | 0                  | 0                  | 25,028             | 0                    | 0                    | 0                    |
| Temporary and Disability Assistance, Office of         | 4,393,371          | 4,277,380          | 5,083,340          | 4,863,245            | 4,866,045            | 4,869,845            |
| <i>Welfare Assistance</i>                              | 3,430,246          | 3,297,890          | 4,104,397          | 3,867,942            | 3,867,942            | 3,867,942            |
| <i>All Other</i>                                       | 963,125            | 979,490            | 978,943            | 995,303              | 998,103              | 1,001,903            |
| <b>Functional Total</b>                                | <b>7,308,648</b>   | <b>7,551,138</b>   | <b>8,627,550</b>   | <b>8,116,303</b>     | <b>8,137,132</b>     | <b>8,132,788</b>     |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 479,383            | 469,583            | 533,608            | 573,862              | 594,832              | 582,387              |
| <i>OASAS</i>   | 458,058            | 448,258            | 512,283            | 552,537              | 573,507              | 561,062              |
| <i>OASAS - Other</i>                                   | 21,325             | 21,325             | 21,325             | 21,325               | 21,325               | 21,325               |
| Justice Center   | 679                | 619                | 649                | 649                  | 649                  | 649                  |
| Mental Health, Office of                               | 1,272,366          | 1,281,980          | 1,381,367          | 1,498,712            | 1,576,863            | 1,645,432            |
| <i>OMH</i>   | 1,001,866          | 1,016,591          | 1,082,042          | 1,189,001            | 1,256,233            | 1,313,333            |
| <i>OMH - Other</i>                                     | 270,500            | 265,389            | 299,325            | 309,711              | 320,630              | 332,099              |
| People with Developmental Disabilities, Office for     | 969,589            | 847,982            | 533,651            | 822,349              | 1,232,323            | 1,140,821            |
| <i>OPWDD</i>   | 385,635            | 409,319            | 392,150            | 387,523              | 393,452              | 403,629              |
| <i>OPWDD - Other</i>                                   | 583,954            | 438,663            | 141,501            | 434,826              | 838,871              | 737,192              |
| <b>Functional Total</b>                                | <b>2,722,017</b>   | <b>2,600,164</b>   | <b>2,449,275</b>   | <b>2,895,572</b>     | <b>3,404,667</b>     | <b>3,369,289</b>     |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                     |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                          | 4,457              | 5,686              | 4,836              | 4,836                | 4,836                | 4,836                |
| Corrections and Community Supervision Medicaid, Department of | 0                  | 0                  | 2,000              | 2,000                | 2,000                | 0                    |
| Criminal Justice Services, Division of                        | 171,426            | 170,749            | 227,504            | 172,233              | 172,233              | 172,233              |
| Homeland Security and Emergency Services, Division of         | 1,353,983          | 1,430,243          | 999,186            | 919,295              | 949,313              | 959,610              |
| Indigent Legal Services, Office of                            | 60,503             | 72,102             | 160,672            | 184,765              | 208,859              | 208,859              |
| Military and Naval Affairs, Division of                       | 786                | 819                | 820                | 820                  | 820                  | 886                  |
| State Police, Division of                                     | 91                 | 62                 | 0                  | 0                    | 0                    | 0                    |
| Victim Services, Office of                                    | 65,814             | 52,669             | 74,956             | 77,744               | 77,744               | 78,492               |
| <b>Functional Total</b>                                       | <b>1,657,060</b>   | <b>1,732,330</b>   | <b>1,469,974</b>   | <b>1,361,693</b>     | <b>1,415,805</b>     | <b>1,424,916</b>     |
| <b>HIGHER EDUCATION</b>                                       |                    |                    |                    |                      |                      |                      |
| City University of New York                                   | 1,424,129          | 1,465,153          | 1,493,737          | 1,533,264            | 1,572,884            | 1,607,831            |
| Higher Education Facilities Capital Matching Grants Program   | 0                  | 4,425              | 12,500             | 11,875               | 14,250               | 6,650                |
| Higher Education Services Corporation, New York State         | 957,549            | 879,384            | 1,080,675          | 1,156,934            | 1,175,207            | 1,182,313            |
| State University of New York                                  | 491,891            | 489,533            | 482,289            | 484,643              | 484,643              | 484,643              |
| <b>Functional Total</b>                                       | <b>2,873,569</b>   | <b>2,838,495</b>   | <b>3,069,201</b>   | <b>3,186,716</b>     | <b>3,246,984</b>     | <b>3,281,437</b>     |
| <b>EDUCATION</b>  |                    |                    |                    |                      |                      |                      |
| Arts, Council on the  | 39,959             | 35,490             | 41,693             | 41,533               | 41,533               | 41,533               |
| Education, Department of                                      | 33,276,815         | 33,486,145         | 35,140,292         | 36,375,485           | 37,405,636           | 38,602,898           |
| <i>School Aid</i>   | 27,193,544         | 27,848,709         | 29,429,258         | 30,774,155           | 31,754,812           | 32,864,163           |
| <i>School Aid – Other</i>                                     | 57,079             | 61,169             | 99,342             | 78,195               | 78,195               | 78,195               |
| <i>STAR Property Tax Relief</i>                               | 3,139,051          | 2,589,145          | 2,459,259          | 2,417,238            | 2,401,995            | 2,401,995            |
| <i>Special Education Categorical Programs</i>                 | 1,907,839          | 2,001,400          | 2,018,600          | 2,044,837            | 2,117,421            | 2,183,266            |
| <i>All Other</i>  | 979,302            | 985,722            | 1,133,833          | 1,061,060            | 1,053,213            | 1,075,279            |
| <b>Functional Total</b>                                       | <b>33,316,774</b>  | <b>33,521,635</b>  | <b>35,181,985</b>  | <b>36,417,018</b>    | <b>37,447,169</b>    | <b>38,644,431</b>    |
| <b>GENERAL GOVERNMENT</b>                                     |                    |                    |                    |                      |                      |                      |
| Elections, State Board of                                     | 647                | 326                | 0                  | 0                    | 0                    | 0                    |
| Gaming Commission, New York State                             | 101,820            | 65,779             | 140,570            | 114,400              | 114,400              | 114,400              |
| General Services, Office of                                   | 0                  | 0                  | 250                | 250                  | 250                  | 250                  |
| Prevention of Domestic Violence, Office for                   | 528                | 791                | 1,285              | 1,385                | 1,385                | 1,412                |
| State, Department of  | 71,828             | 65,899             | 82,918             | 132,336              | 115,336              | 105,336              |
| Taxation and Finance, Department of                           | 1,080              | 1,542              | 2,726              | 2,726                | 2,726                | 2,726                |
| Veterans' Affairs, Division of                                | 8,340              | 7,906              | 9,792              | 7,637                | 7,637                | 7,637                |
| <b>Functional Total</b>                                       | <b>184,243</b>     | <b>142,243</b>     | <b>237,541</b>     | <b>258,734</b>       | <b>241,734</b>       | <b>231,761</b>       |
| <b>ELECTED OFFICIALS</b>                                      |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                              | 32,025             | 32,025             | 32,024             | 32,024               | 32,024               | 32,024               |
| Judiciary   | 101,099            | 114,224            | 112,200            | 127,199              | 127,200              | 127,200              |
| <b>Functional Total</b>                                       | <b>133,124</b>     | <b>146,249</b>     | <b>144,224</b>     | <b>159,223</b>       | <b>159,224</b>       | <b>159,224</b>       |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                            |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities                         | 714,732            | 721,789            | 723,533            | 731,363              | 763,347              | 763,347              |
| County-Wide Shared Services Initiative                        | 0                  | 0                  | 0                  | 100,000              | 125,000              | 0                    |
| Efficiency Incentive Grants Program                           | 0                  | 348                | 0                  | 0                    | 0                    | 0                    |
| Miscellaneous Financial Assistance                            | 16,046             | 9,270              | 12,001             | 2,250                | 2,250                | 2,250                |
| Municipalities with VLT Facilities                            | 29,331             | 28,885             | 28,885             | 28,885               | 28,885               | 28,885               |
| Small Government Assistance                                   | 217                | 217                | 218                | 218                  | 218                  | 218                  |
| <b>Functional Total</b>                                       | <b>760,326</b>     | <b>760,509</b>     | <b>764,637</b>     | <b>862,716</b>       | <b>919,700</b>       | <b>794,700</b>       |
| <b>ALL OTHER CATEGORIES</b>                                   |                    |                    |                    |                      |                      |                      |
| Arts and Cultural Facilities Improvement                      | 0                  | 0                  | 9,800              | 0                    | 0                    | 0                    |
| Miscellaneous   | (230,963)          | (329,661)          | (602,608)          | (428,838)            | (389,246)            | (381,974)            |
| Special Infrastructure Account                                | 230,875            | 422,467            | 10,000             | 5,000                | 10,000               | 0                    |
| <b>Functional Total</b>                                       | <b>(88)</b>        | <b>92,806</b>      | <b>(582,808)</b>   | <b>(423,838)</b>     | <b>(379,246)</b>     | <b>(381,974)</b>     |
| <b>TOTAL LOCAL ASSISTANCE SPENDING</b>                        | <b>116,336,748</b> | <b>121,994,910</b> | <b>127,811,369</b> | <b>132,640,613</b>   | <b>135,771,335</b>   | <b>139,473,569</b>   |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 56,825             | 51,225             | 51,083             | 51,122               | 51,233               | 65,714               |
| Alcoholic Beverage Control, Division of                | 11,127             | 11,819             | 12,483             | 11,244               | 11,560               | 11,244               |
| Economic Development, Department of                    | 21,775             | 19,891             | 17,536             | 17,536               | 17,536               | 17,536               |
| Financial Services, Department of                      | 209,543            | 209,633            | 210,309            | 213,943              | 220,225              | 214,088              |
| Olympic Regional Development Authority                 | 2,736              | 2,736              | 8,933              | 5,886                | 5,886                | 5,886                |
| Public Service Department                              | 51,775             | 54,691             | 52,019             | 52,069               | 52,682               | 51,069               |
| <b>Functional Total</b>                                | <b>353,781</b>     | <b>349,995</b>     | <b>352,363</b>     | <b>351,800</b>       | <b>359,122</b>       | <b>365,537</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,547              | 4,468              | 4,819              | 4,764                | 4,922                | 4,764                |
| Environmental Conservation, Department of              | 269,816            | 252,567            | 252,967            | 249,523              | 262,065              | 255,420              |
| Parks, Recreation and Historic Preservation, Office of | 183,671            | 173,759            | 175,492            | 173,749              | 180,218              | 175,313              |
| <b>Functional Total</b>                                | <b>458,034</b>     | <b>430,794</b>     | <b>433,278</b>     | <b>428,036</b>       | <b>447,205</b>       | <b>435,497</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 54,854             | 53,425             | 68,374             | 68,608               | 69,794               | 69,475               |
| Transportation, Department of                          | 31,448             | 26,750             | 304,289            | 367,827              | 369,023              | 373,788              |
| <b>Functional Total</b>                                | <b>86,302</b>      | <b>80,175</b>      | <b>372,663</b>     | <b>436,435</b>       | <b>438,817</b>       | <b>443,263</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 12,541             | 12,800             | 11,032             | 12,237               | 12,422               | 12,379               |
| Health, Department of                                  | 1,426,129          | 1,457,078          | 1,557,773          | 1,530,165            | 1,493,955            | 1,492,340            |
| <i>Essential Plan</i>                                  | 43,837             | 87,638             | 86,723             | 84,224               | 78,757               | 76,397               |
| <i>Medicaid Administration</i>                         | 673,671            | 636,474            | 797,840            | 801,274              | 763,786              | 770,636              |
| <i>Public Health</i>                                   | 708,621            | 732,966            | 673,210            | 644,667              | 651,412              | 645,307              |
| Medicaid Inspector General, Office of the              | 41,851             | 37,092             | 38,175             | 38,175               | 39,438               | 38,217               |
| <b>Functional Total</b>                                | <b>1,480,521</b>   | <b>1,506,970</b>   | <b>1,606,980</b>   | <b>1,580,577</b>     | <b>1,545,815</b>     | <b>1,542,936</b>     |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 328,252            | 321,311            | 380,776            | 472,047              | 541,423              | 546,022              |
| <i>OCFS</i>  | 328,252            | 321,311            | 380,776            | 472,047              | 541,423              | 546,022              |
| Housing and Community Renewal, Division of             | 59,782             | 60,527             | 62,771             | 62,771               | 66,999               | 65,882               |
| Human Rights, Division of                              | 14,890             | 14,612             | 14,343             | 14,343               | 15,257               | 14,990               |
| Labor, Department of                                   | 273,212            | 280,007            | 282,017            | 282,017              | 299,598              | 305,198              |
| National and Community Service                         | 17,015             | 14,620             | 15,985             | 15,985               | 16,312               | 16,631               |
| Temporary and Disability Assistance, Office of         | 286,879            | 283,573            | 291,517            | 288,936              | 293,429              | 299,145              |
| <i>All Other</i>                                       | 286,879            | 283,573            | 291,517            | 288,936              | 293,429              | 299,145              |
| <b>Functional Total</b>                                | <b>980,030</b>     | <b>974,650</b>     | <b>1,047,409</b>   | <b>1,136,099</b>     | <b>1,233,018</b>     | <b>1,247,868</b>     |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 90,090             | 88,483             | 88,264             | 91,094               | 93,526               | 93,911               |
| <i>OASAS</i>   | 41,998             | 38,975             | 44,580             | 46,210               | 47,200               | 46,858               |
| <i>OASAS - Other</i>                                   | 48,092             | 49,508             | 43,684             | 44,884               | 46,326               | 47,053               |
| Developmental Disabilities Planning Council            | 3,661              | 3,508              | 3,415              | 3,415                | 3,415                | 3,415                |
| Justice Center   | 40,096             | 40,037             | 42,220             | 44,018               | 45,920               | 45,274               |
| Mental Health, Office of                               | 1,345,465          | 1,352,907          | 1,343,012          | 1,363,985            | 1,400,548            | 1,434,876            |
| <i>OMH</i>   | 350,032            | 352,420            | 323,820            | 356,943              | 360,343              | 367,731              |
| <i>OMH - Other</i>                                     | 995,433            | 1,000,487          | 1,019,192          | 1,007,042            | 1,040,205            | 1,067,145            |
| Mental Hygiene, Department of                          | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 1,298,507          | 1,333,563          | 1,326,820          | 1,328,814            | 1,365,335            | 1,380,294            |
| <i>OPWDD</i>   | 1,173              | 546                | 1,181              | 1,181                | 1,181                | 1,181                |
| <i>OPWDD - Other</i>                                   | 1,297,334          | 1,333,017          | 1,325,639          | 1,327,633            | 1,364,154            | 1,379,113            |
| <b>Functional Total</b>                                | <b>2,778,046</b>   | <b>2,818,702</b>   | <b>2,803,731</b>   | <b>2,831,326</b>     | <b>2,908,744</b>     | <b>2,957,770</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 2,431              | 2,594              | 2,651              | 2,651                | 2,773                | 2,735                |
| Correctional Services, Department of                   | 2,662,598          | 2,654,783          | 2,623,125          | 2,635,498            | 2,641,128            | 2,632,498            |
| Criminal Justice Services, Division of                 | 43,444             | 50,120             | 45,664             | 45,664               | 46,718               | 46,540               |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
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|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Homeland Security and Emergency Services, Division of | 62,691                     | 58,572                     | 74,050                     | 74,130                       | 75,386                       | 77,813                       |
| Indigent Legal Services, Office of                    | 2,171                      | 2,328                      | 3,815                      | 3,813                        | 3,879                        | 3,877                        |
| Judicial Conduct, Commission on                       | 5,505                      | 5,552                      | 5,696                      | 5,708                        | 5,936                        | 6,114                        |
| Judicial Nomination, Commission on                    | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| Judicial Screening Committees, New York State         | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| Military and Naval Affairs, Division of               | 53,525                     | 62,438                     | 58,606                     | 58,661                       | 59,267                       | 59,931                       |
| State Police, Division of                             | 737,575                    | 764,680                    | 755,513                    | 750,290                      | 776,159                      | 791,405                      |
| Statewide Financial System                            | 30,309                     | 30,137                     | 30,382                     | 30,506                       | 30,949                       | 31,117                       |
| Victim Services, Office of                            | 5,782                      | 6,645                      | 6,621                      | 6,386                        | 6,554                        | 6,560                        |
| <b>Functional Total</b>                               | <b>3,606,050</b>           | <b>3,637,873</b>           | <b>3,606,191</b>           | <b>3,613,375</b>             | <b>3,648,817</b>             | <b>3,658,658</b>             |
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 96,394                     | 102,801                    | 102,726                    | 104,111                      | 105,040                      | 105,980                      |
| Higher Education - Miscellaneous                      | 386                        | 256                        | 291                        | 291                          | 291                          | 291                          |
| Higher Education Services Corporation, New York State | 54,150                     | 46,884                     | 49,907                     | 49,907                       | 49,907                       | 49,907                       |
| State University of New York                          | 6,313,021                  | 6,421,348                  | 6,511,548                  | 6,573,351                    | 6,732,888                    | 6,694,225                    |
| <b>Functional Total</b>                               | <b>6,463,951</b>           | <b>6,571,289</b>           | <b>6,664,472</b>           | <b>6,727,660</b>             | <b>6,888,126</b>             | <b>6,850,403</b>             |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Arts, Council on the                                  | 3,925                      | 4,260                      | 4,420                      | 4,420                        | 4,516                        | 4,420                        |
| Education, Department of                              | 310,252                    | 305,724                    | 294,315                    | 291,935                      | 298,934                      | 295,668                      |
| <i>All Other</i>                                      | 310,252                    | 305,724                    | 294,315                    | 291,935                      | 298,934                      | 295,668                      |
| <b>Functional Total</b>                               | <b>314,177</b>             | <b>309,984</b>             | <b>298,735</b>             | <b>296,355</b>               | <b>303,450</b>               | <b>300,088</b>               |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 24,559                     | 26,600                     | 28,766                     | 27,939                       | 28,886                       | 27,939                       |
| Civil Service, Department of                          | 13,295                     | 14,795                     | 13,331                     | 13,441                       | 13,926                       | 13,545                       |
| Deferred Compensation Board                           | 418                        | 451                        | 641                        | 648                          | 649                          | 648                          |
| Elections, State Board of                             | 9,593                      | 11,625                     | 13,927                     | 15,127                       | 15,063                       | 13,334                       |
| Employee Relations, Office of                         | 2,334                      | 3,569                      | 2,601                      | 2,621                        | 2,719                        | 2,621                        |
| Gaming Commission, New York State                     | 138,467                    | 94,531                     | 80,728                     | 80,728                       | 82,255                       | 80,728                       |
| General Services, Office of                           | 169,251                    | 151,633                    | 145,053                    | 140,975                      | 139,777                      | 138,387                      |
| Inspector General, Office of the                      | 7,179                      | 7,166                      | 7,427                      | 7,487                        | 7,826                        | 8,061                        |
| Labor Management Committees                           | 28,818                     | 24,773                     | 31,300                     | 31,306                       | 31,517                       | 31,306                       |
| Prevention of Domestic Violence, Office for           | 1,534                      | 1,581                      | 1,664                      | 1,664                        | 1,736                        | 1,647                        |
| Public Employment Relations Board                     | 3,281                      | 3,260                      | 3,604                      | 3,634                        | 3,764                        | 3,634                        |
| Public Integrity, Commission on                       | 4,876                      | 5,115                      | 5,576                      | 5,630                        | 5,835                        | 6,010                        |
| State, Department of                                  | 51,058                     | 48,251                     | 48,824                     | 48,625                       | 49,737                       | 48,625                       |
| Tax Appeals, Division of                              | 2,833                      | 2,688                      | 3,040                      | 3,040                        | 3,150                        | 3,040                        |
| Taxation and Finance, Department of                   | 333,026                    | 322,195                    | 333,566                    | 332,311                      | 342,118                      | 331,702                      |
| Technology, Office for                                | 548,953                    | 539,103                    | 544,532                    | 540,286                      | 550,699                      | 557,255                      |
| Veterans' Affairs, Division of                        | 6,509                      | 6,493                      | 7,604                      | 7,604                        | 7,883                        | 7,847                        |
| Welfare Inspector General, Office of                  | 592                        | 671                        | 686                        | 701                          | 731                          | 753                          |
| Workers' Compensation Board                           | 140,604                    | 140,971                    | 143,323                    | 145,193                      | 150,513                      | 146,408                      |
| <b>Functional Total</b>                               | <b>1,487,180</b>           | <b>1,405,471</b>           | <b>1,416,193</b>           | <b>1,408,960</b>             | <b>1,438,784</b>             | <b>1,423,490</b>             |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 149,275                    | 146,719                    | 154,352                    | 154,535                      | 160,878                      | 155,196                      |
| Executive Chamber                                     | 14,653                     | 13,560                     | 13,578                     | 13,578                       | 14,032                       | 13,578                       |
| Judiciary   | 2,024,994                  | 2,087,034                  | 2,142,700                  | 2,131,200                    | 2,188,200                    | 2,131,200                    |
| Law, Department of                                    | 195,421                    | 210,621                    | 210,315                    | 213,260                      | 221,593                      | 215,537                      |
| Legislature   | 225,893                    | 223,372                    | 229,858                    | 234,436                      | 239,106                      | 243,869                      |
| Lieutenant Governor, Office of the                    | 510                        | 492                        | 614                        | 614                          | 634                          | 614                          |
| <b>Functional Total</b>                               | <b>2,610,746</b>           | <b>2,681,798</b>           | <b>2,751,417</b>           | <b>2,747,623</b>             | <b>2,824,443</b>             | <b>2,759,994</b>             |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                    |                            |                            |                            |                              |                              |                              |
| Aid and Incentives for Municipalities                 | 107                        | 131                        | 250                        | 0                            | 0                            | 0                            |
| <b>Functional Total</b>                               | <b>107</b>                 | <b>131</b>                 | <b>250</b>                 | <b>0</b>                     | <b>0</b>                     | <b>0</b>                     |
| <b>ALL OTHER CATEGORIES</b>                           |                            |                            |                            |                              |                              |                              |
| Long-Term Debt Service                                | 38,647                     | 41,887                     | 47,183                     | 47,183                       | 47,183                       | 47,183                       |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Miscellaneous                          | 24,815                     | 47,603                     | 174,610                    | 288,022                      | 403,077                      | 403,077                      |
| <b>Functional Total</b>                | <b>63,462</b>              | <b>89,490</b>              | <b>221,793</b>             | <b>335,205</b>               | <b>450,260</b>               | <b>450,260</b>               |
| <b>TOTAL STATE OPERATIONS SPENDING</b> | <b>20,682,387</b>          | <b>20,857,322</b>          | <b>21,575,475</b>          | <b>21,893,451</b>            | <b>22,486,601</b>            | <b>22,435,764</b>            |



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 34,188             | 34,271             | 32,871             | 32,841               | 33,956               | 32,841               |
| Alcoholic Beverage Control, Division of                | 7,742              | 8,131              | 8,147              | 8,585                | 8,901                | 8,585                |
| Economic Development, Department of                    | 13,152             | 13,243             | 12,929             | 12,929               | 12,929               | 12,929               |
| Financial Services, Department of                      | 151,522            | 154,146            | 156,697            | 157,475              | 163,459              | 157,616              |
| Olympic Regional Development Authority                 | 2,548              | 2,548              | 5,595              | 2,548                | 2,548                | 2,548                |
| Public Service Department                              | 42,918             | 44,476             | 43,302             | 43,302               | 44,913               | 43,302               |
| <b>Functional Total</b>                                | <b>252,070</b>     | <b>256,815</b>     | <b>259,541</b>     | <b>257,680</b>       | <b>266,706</b>       | <b>257,821</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,112              | 4,179              | 4,108              | 4,108                | 4,266                | 4,108                |
| Environmental Conservation, Department of              | 202,238            | 200,579            | 198,228            | 196,129              | 205,507              | 198,942              |
| Parks, Recreation and Historic Preservation, Office of | 138,056            | 132,575            | 134,952            | 133,494              | 139,959              | 135,054              |
| <b>Functional Total</b>                                | <b>344,406</b>     | <b>337,333</b>     | <b>337,288</b>     | <b>333,731</b>       | <b>349,732</b>       | <b>338,104</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 37,012             | 37,707             | 48,753             | 48,923               | 50,011               | 50,301               |
| Transportation, Department of                          | 12,251             | 11,964             | 172,302            | 181,039              | 181,178              | 181,039              |
| <b>Functional Total</b>                                | <b>49,263</b>      | <b>49,671</b>      | <b>221,055</b>     | <b>229,962</b>       | <b>231,189</b>       | <b>231,340</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 7,196              | 7,079              | 7,646              | 7,782                | 7,967                | 7,924                |
| Health, Department of                                  | 360,620            | 353,534            | 390,549            | 395,883              | 407,742              | 399,329              |
| <i>Essential Plan</i>                                  | 1,367              | 3,148              | 4,206              | 4,580                | 4,418                | 4,384                |
| <i>Medicaid Administration</i>                         | 71,191             | 69,223             | 100,758            | 108,802              | 115,113              | 112,482              |
| <i>Public Health</i>                                   | 288,062            | 281,163            | 285,585            | 282,501              | 288,211              | 282,463              |
| Medicaid Inspector General, Office of the              | 32,737             | 31,681             | 30,066             | 30,066               | 31,287               | 30,066               |
| <b>Functional Total</b>                                | <b>400,553</b>     | <b>392,294</b>     | <b>428,261</b>     | <b>433,731</b>       | <b>446,996</b>       | <b>437,319</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 194,832            | 197,353            | 221,612            | 290,723              | 345,683              | 346,401              |
| <i>OCFS</i>  | 194,832            | 197,353            | 221,612            | 290,723              | 345,683              | 346,401              |
| Housing and Community Renewal, Division of             | 49,632             | 47,478             | 50,423             | 50,423               | 53,775               | 52,362               |
| Human Rights, Division of                              | 12,205             | 12,315             | 12,596             | 12,596               | 13,475               | 13,173               |
| Labor, Department of                                   | 204,836            | 206,559            | 192,484            | 192,484              | 206,660              | 208,852              |
| National and Community Service                         | 465                | 551                | 708                | 708                  | 730                  | 738                  |
| Temporary and Disability Assistance, Office of         | 154,062            | 153,573            | 154,575            | 151,358              | 154,727              | 157,833              |
| <i>All Other</i>                                       | 154,062            | 153,573            | 154,575            | 151,358              | 154,727              | 157,833              |
| <b>Functional Total</b>                                | <b>616,032</b>     | <b>617,829</b>     | <b>632,398</b>     | <b>698,292</b>       | <b>775,050</b>       | <b>779,359</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 59,664             | 61,535             | 62,496             | 63,985               | 65,720               | 65,326               |
| <i>OASAS</i>   | 24,808             | 25,461             | 29,239             | 30,204               | 30,923               | 30,234               |
| <i>OASAS - Other</i>                                   | 34,856             | 36,074             | 33,257             | 33,781               | 34,797               | 35,092               |
| Developmental Disabilities Planning Council            | 976                | 1,007              | 1,266              | 1,266                | 1,266                | 1,266                |
| Justice Center   | 29,334             | 31,552             | 32,997             | 34,351               | 35,990               | 35,061               |
| Mental Health, Office of                               | 1,073,377          | 1,072,437          | 1,087,788          | 1,096,864            | 1,120,578            | 1,131,763            |
| <i>OMH</i>   | 289,320            | 289,317            | 270,901            | 311,937              | 314,937              | 320,987              |
| <i>OMH - Other</i>                                     | 784,057            | 783,120            | 816,887            | 784,927              | 805,641              | 810,776              |
| People with Developmental Disabilities, Office for     | 1,092,002          | 1,129,874          | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| <i>OPWDD</i>   | 0                  | 124                | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 1,092,002          | 1,129,750          | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| <b>Functional Total</b>                                | <b>2,255,353</b>   | <b>2,296,405</b>   | <b>2,322,486</b>   | <b>2,342,455</b>     | <b>2,400,860</b>     | <b>2,420,272</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 2,234              | 2,316              | 2,414              | 2,414                | 2,531                | 2,488                |
| Correctional Services, Department of                   | 2,134,801          | 2,124,813          | 2,058,585          | 2,072,089            | 2,077,719            | 2,072,089            |
| Criminal Justice Services, Division of                 | 31,283             | 32,935             | 32,184             | 32,184               | 33,238               | 32,794               |
| Homeland Security and Emergency Services, Division of  | 26,736             | 27,650             | 38,313             | 42,337               | 43,583               | 44,942               |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Indigent Legal Services, Office of                    | 1,678                      | 1,873                      | 2,982                      | 2,982                        | 3,048                        | 3,034                        |
| Judicial Conduct, Commission on                       | 4,181                      | 4,238                      | 4,312                      | 4,347                        | 4,548                        | 4,483                        |
| Military and Naval Affairs, Division of               | 29,020                     | 36,483                     | 35,354                     | 35,354                       | 35,650                       | 35,724                       |
| State Police, Division of                             | 655,292                    | 687,413                    | 680,526                    | 677,070                      | 702,870                      | 715,645                      |
| Statewide Financial System                            | 11,045                     | 11,094                     | 11,758                     | 11,882                       | 12,325                       | 12,121                       |
| Victim Services, Office of                            | 4,638                      | 5,044                      | 4,834                      | 4,834                        | 5,002                        | 4,985                        |
| <b>Functional Total</b>                               | <b>2,900,908</b>           | <b>2,933,859</b>           | <b>2,871,262</b>           | <b>2,885,493</b>             | <b>2,920,514</b>             | <b>2,928,305</b>             |
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 48,975                     | 52,623                     | 48,148                     | 48,594                       | 49,045                       | 49,501                       |
| Higher Education - Miscellaneous                      | 283                        | 169                        | 198                        | 198                          | 198                          | 198                          |
| Higher Education Services Corporation, New York State | 14,530                     | 13,380                     | 13,752                     | 13,752                       | 13,752                       | 13,752                       |
| State University of New York                          | 3,775,119                  | 3,761,249                  | 3,980,777                  | 4,021,752                    | 4,160,249                    | 4,100,334                    |
| <b>Functional Total</b>                               | <b>3,838,907</b>           | <b>3,827,421</b>           | <b>4,042,875</b>           | <b>4,084,296</b>             | <b>4,223,244</b>             | <b>4,163,785</b>             |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Arts, Council on the                                  | 2,491                      | 2,626                      | 2,498                      | 2,498                        | 2,594                        | 2,498                        |
| Education, Department of                              | 174,795                    | 176,266                    | 172,576                    | 172,260                      | 178,720                      | 175,511                      |
| <i>All Other</i>                                      | 174,795                    | 176,266                    | 172,576                    | 172,260                      | 178,720                      | 175,511                      |
| <b>Functional Total</b>                               | <b>177,286</b>             | <b>178,892</b>             | <b>175,074</b>             | <b>174,758</b>               | <b>181,314</b>               | <b>178,009</b>               |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 20,619                     | 21,683                     | 24,567                     | 24,567                       | 25,511                       | 24,567                       |
| Civil Service, Department of                          | 12,212                     | 14,053                     | 12,497                     | 12,591                       | 13,075                       | 12,685                       |
| Deferred Compensation Board                           | 368                        | 408                        | 410                        | 413                          | 414                          | 413                          |
| Elections, State Board of                             | 5,863                      | 6,176                      | 6,383                      | 6,768                        | 7,051                        | 6,958                        |
| Employee Relations, Office of                         | 2,269                      | 2,515                      | 2,529                      | 2,548                        | 2,646                        | 2,548                        |
| Gaming Commission, New York State                     | 32,822                     | 34,862                     | 38,757                     | 38,757                       | 40,248                       | 38,757                       |
| General Services, Office of                           | 77,580                     | 67,107                     | 48,450                     | 48,450                       | 51,875                       | 49,458                       |
| Inspector General, Office of the                      | 5,833                      | 6,223                      | 6,600                      | 6,648                        | 6,970                        | 6,857                        |
| Labor Management Committees                           | 7,871                      | 7,747                      | 5,446                      | 5,487                        | 5,698                        | 5,487                        |
| Prevention of Domestic Violence, Office for           | 1,368                      | 1,435                      | 1,469                      | 1,491                        | 1,524                        | 1,431                        |
| Public Employment Relations Board                     | 3,056                      | 3,059                      | 3,313                      | 3,338                        | 3,468                        | 3,338                        |
| Public Integrity, Commission on                       | 3,808                      | 4,386                      | 4,646                      | 4,681                        | 4,867                        | 4,827                        |
| State, Department of                                  | 32,134                     | 32,267                     | 31,532                     | 31,482                       | 32,570                       | 31,482                       |
| Tax Appeals, Division of                              | 2,604                      | 2,541                      | 2,870                      | 2,870                        | 2,980                        | 2,870                        |
| Taxation and Finance, Department of                   | 284,526                    | 275,658                    | 264,119                    | 264,323                      | 273,392                      | 264,338                      |
| Technology, Office for                                | 298,543                    | 289,994                    | 286,118                    | 291,603                      | 308,070                      | 303,412                      |
| Veterans' Affairs, Division of                        | 5,512                      | 6,016                      | 6,742                      | 6,742                        | 7,004                        | 6,950                        |
| Welfare Inspector General, Office of                  | 565                        | 619                        | 621                        | 626                          | 654                          | 646                          |
| Workers' Compensation Board                           | 82,632                     | 85,117                     | 84,993                     | 85,604                       | 89,709                       | 85,604                       |
| <b>Functional Total</b>                               | <b>880,185</b>             | <b>861,866</b>             | <b>832,062</b>             | <b>838,989</b>               | <b>877,726</b>               | <b>852,628</b>               |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 115,964                    | 119,019                    | 123,015                    | 123,093                      | 128,775                      | 123,093                      |
| Executive Chamber                                     | 10,746                     | 9,979                      | 11,113                     | 11,113                       | 11,567                       | 11,113                       |
| Judiciary   | 1,570,097                  | 1,626,662                  | 1,669,700                  | 1,658,200                    | 1,715,200                    | 1,658,200                    |
| Law, Department of                                    | 138,838                    | 152,616                    | 146,569                    | 148,270                      | 155,342                      | 149,286                      |
| Legislature   | 170,623                    | 174,350                    | 175,541                    | 179,052                      | 182,633                      | 186,286                      |
| Lieutenant Governor, Office of the                    | 364                        | 382                        | 523                        | 523                          | 543                          | 523                          |
| <b>Functional Total</b>                               | <b>2,006,632</b>           | <b>2,083,008</b>           | <b>2,126,461</b>           | <b>2,120,251</b>             | <b>2,194,060</b>             | <b>2,128,501</b>             |
| <b>ALL OTHER CATEGORIES</b>                           |                            |                            |                            |                              |                              |                              |
| Miscellaneous   | 1,939                      | 2,194                      | 128,275                    | 243,285                      | 358,340                      | 358,340                      |
| <b>Functional Total</b>                               | <b>1,939</b>               | <b>2,194</b>               | <b>128,275</b>             | <b>243,285</b>               | <b>358,340</b>               | <b>358,340</b>               |
| <b>TOTAL PERSONAL SERVICE SPENDING</b>                | <b>13,723,534</b>          | <b>13,837,587</b>          | <b>14,377,038</b>          | <b>14,642,923</b>            | <b>15,225,731</b>            | <b>15,073,783</b>            |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 22,637             | 16,954             | 18,212             | 18,281               | 17,277               | 32,873               |
| Alcoholic Beverage Control, Division of                | 3,385              | 3,688              | 4,336              | 2,659                | 2,659                | 2,659                |
| Economic Development, Department of                    | 8,623              | 6,648              | 4,607              | 4,607                | 4,607                | 4,607                |
| Financial Services, Department of                      | 58,021             | 55,487             | 53,612             | 56,468               | 56,766               | 56,472               |
| Olympic Regional Development Authority                 | 188                | 188                | 3,338              | 3,338                | 3,338                | 3,338                |
| Public Service Department                              | 8,857              | 10,215             | 8,717              | 8,767                | 7,769                | 7,767                |
| <b>Functional Total</b>                                | <b>101,711</b>     | <b>93,180</b>      | <b>92,822</b>      | <b>94,120</b>        | <b>92,416</b>        | <b>107,716</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 435                | 289                | 711                | 656                  | 656                  | 656                  |
| Environmental Conservation, Department of              | 67,578             | 51,988             | 54,739             | 53,394               | 56,558               | 56,478               |
| Parks, Recreation and Historic Preservation, Office of | 45,615             | 41,184             | 40,540             | 40,255               | 40,259               | 40,259               |
| <b>Functional Total</b>                                | <b>113,628</b>     | <b>93,461</b>      | <b>95,990</b>      | <b>94,305</b>        | <b>97,473</b>        | <b>97,393</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 17,842             | 15,718             | 19,621             | 19,685               | 19,783               | 19,174               |
| Transportation, Department of                          | 19,197             | 14,786             | 131,987            | 186,788              | 187,845              | 192,749              |
| <b>Functional Total</b>                                | <b>37,039</b>      | <b>30,504</b>      | <b>151,608</b>     | <b>206,473</b>       | <b>207,628</b>       | <b>211,923</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 5,345              | 5,721              | 3,386              | 4,455                | 4,455                | 4,455                |
| Health, Department of                                  | 1,065,509          | 1,103,544          | 1,167,224          | 1,134,282            | 1,086,213            | 1,093,011            |
| <i>Essential Plan</i>                                  | 42,470             | 84,490             | 82,517             | 79,644               | 74,339               | 72,013               |
| <i>Medicaid Administration</i>                         | 602,480            | 567,251            | 697,082            | 692,472              | 648,673              | 658,154              |
| <i>Public Health</i>                                   | 420,559            | 451,803            | 387,625            | 362,166              | 363,201              | 362,844              |
| Medicaid Inspector General, Office of the              | 9,114              | 5,411              | 8,109              | 8,109                | 8,151                | 8,151                |
| <b>Functional Total</b>                                | <b>1,079,968</b>   | <b>1,114,676</b>   | <b>1,178,719</b>   | <b>1,146,846</b>     | <b>1,098,819</b>     | <b>1,105,617</b>     |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 133,420            | 123,958            | 159,164            | 181,324              | 195,740              | 199,621              |
| <i>OCFS</i>  | 133,420            | 123,958            | 159,164            | 181,324              | 195,740              | 199,621              |
| Housing and Community Renewal, Division of             | 10,150             | 13,049             | 12,348             | 12,348               | 13,224               | 13,520               |
| Human Rights, Division of                              | 2,685              | 2,297              | 1,747              | 1,747                | 1,782                | 1,817                |
| Labor, Department of                                   | 68,376             | 73,448             | 89,533             | 89,533               | 92,938               | 96,346               |
| National and Community Service                         | 16,550             | 14,069             | 15,277             | 15,277               | 15,582               | 15,893               |
| Temporary and Disability Assistance, Office of         | 132,817            | 130,000            | 136,942            | 137,578              | 138,702              | 141,312              |
| <i>All Other</i>                                       | 132,817            | 130,000            | 136,942            | 137,578              | 138,702              | 141,312              |
| <b>Functional Total</b>                                | <b>363,998</b>     | <b>356,821</b>     | <b>415,011</b>     | <b>437,807</b>       | <b>457,968</b>       | <b>468,509</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 30,426             | 26,948             | 25,768             | 27,109               | 27,806               | 28,585               |
| <i>OASAS</i>   | 17,190             | 13,514             | 15,341             | 16,006               | 16,277               | 16,624               |
| <i>OASAS - Other</i>                                   | 13,236             | 13,434             | 10,427             | 11,103               | 11,529               | 11,961               |
| Developmental Disabilities Planning Council            | 2,685              | 2,501              | 2,149              | 2,149                | 2,149                | 2,149                |
| Justice Center   | 10,762             | 8,485              | 9,223              | 9,667                | 9,930                | 10,213               |
| Mental Health, Office of                               | 272,088            | 280,470            | 255,224            | 267,121              | 279,970              | 303,113              |
| <i>OMH</i>   | 60,712             | 63,103             | 52,919             | 45,006               | 45,406               | 46,744               |
| <i>OMH - Other</i>                                     | 211,376            | 217,367            | 202,305            | 222,115              | 234,564              | 256,369              |
| Mental Hygiene, Department of                          | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 206,505            | 203,689            | 188,881            | 182,825              | 188,029              | 193,438              |
| <i>OPWDD</i>   | 1,173              | 422                | 1,181              | 1,181                | 1,181                | 1,181                |
| <i>OPWDD - Other</i>                                   | 205,332            | 203,267            | 187,700            | 181,644              | 186,848              | 192,257              |
| <b>Functional Total</b>                                | <b>522,693</b>     | <b>522,297</b>     | <b>481,245</b>     | <b>488,871</b>       | <b>507,884</b>       | <b>537,498</b>       |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 197                | 278                | 237                | 237                  | 242                  | 247                  |
| Correctional Services, Department of                   | 527,797            | 529,970            | 564,540            | 563,409              | 563,409              | 560,409              |
| Criminal Justice Services, Division of                 | 12,161             | 17,185             | 13,480             | 13,480               | 13,480               | 13,746               |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Homeland Security and Emergency Services, Division of | 35,955             | 30,922             | 35,737             | 31,793               | 31,803               | 32,871               |
| Indigent Legal Services, Office of                    | 493                | 455                | 833                | 831                  | 831                  | 843                  |
| Judicial Conduct, Commission on                       | 1,324              | 1,314              | 1,384              | 1,361                | 1,388                | 1,631                |
| Judicial Nomination, Commission on                    | 10                 | 10                 | 30                 | 30                   | 30                   | 30                   |
| Judicial Screening Committees, New York State         | 9                  | 14                 | 38                 | 38                   | 38                   | 38                   |
| Military and Naval Affairs, Division of               | 24,505             | 25,955             | 23,252             | 23,307               | 23,617               | 24,207               |
| State Police, Division of                             | 82,283             | 77,267             | 74,987             | 73,220               | 73,289               | 75,760               |
| Statewide Financial System                            | 19,264             | 19,043             | 18,624             | 18,624               | 18,624               | 18,996               |
| Victim Services, Office of                            | 1,144              | 1,601              | 1,787              | 1,552                | 1,552                | 1,575                |
| <b>Functional Total</b>                               | <b>705,142</b>     | <b>704,014</b>     | <b>734,929</b>     | <b>727,882</b>       | <b>728,303</b>       | <b>730,353</b>       |
| <b>HIGHER EDUCATION</b>                               |                    |                    |                    |                      |                      |                      |
| City University of New York                           | 47,419             | 50,178             | 54,578             | 55,517               | 55,995               | 56,479               |
| Higher Education - Miscellaneous                      | 103                | 87                 | 93                 | 93                   | 93                   | 93                   |
| Higher Education Services Corporation, New York State | 39,620             | 33,504             | 36,155             | 36,155               | 36,155               | 36,155               |
| State University of New York                          | 2,537,902          | 2,660,099          | 2,530,771          | 2,551,599            | 2,572,639            | 2,593,891            |
| <b>Functional Total</b>                               | <b>2,625,044</b>   | <b>2,743,868</b>   | <b>2,621,597</b>   | <b>2,643,364</b>     | <b>2,664,882</b>     | <b>2,686,618</b>     |
| <b>EDUCATION</b>                                      |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                                  | 1,434              | 1,634              | 1,922              | 1,922                | 1,922                | 1,922                |
| Education, Department of                              | 135,457            | 129,458            | 121,739            | 119,675              | 120,214              | 120,157              |
| <i>All Other</i>                                      | 135,457            | 129,458            | 121,739            | 119,675              | 120,214              | 120,157              |
| <b>Functional Total</b>                               | <b>136,891</b>     | <b>131,092</b>     | <b>123,661</b>     | <b>121,597</b>       | <b>122,136</b>       | <b>122,079</b>       |
| <b>GENERAL GOVERNMENT</b>                             |                    |                    |                    |                      |                      |                      |
| Budget, Division of the                               | 3,940              | 4,917              | 4,199              | 3,372                | 3,375                | 3,372                |
| Civil Service, Department of                          | 1,083              | 742                | 834                | 850                  | 851                  | 860                  |
| Deferred Compensation Board                           | 50                 | 43                 | 231                | 235                  | 235                  | 235                  |
| Elections, State Board of                             | 3,730              | 5,449              | 7,544              | 8,359                | 8,012                | 6,376                |
| Employee Relations, Office of                         | 65                 | 1,054              | 72                 | 73                   | 73                   | 73                   |
| Gaming Commission, New York State                     | 105,645            | 59,669             | 41,971             | 41,971               | 42,007               | 41,971               |
| General Services, Office of                           | 91,671             | 84,526             | 96,603             | 92,525               | 87,902               | 88,929               |
| Inspector General, Office of the                      | 1,346              | 943                | 827                | 839                  | 856                  | 1,204                |
| Labor Management Committees                           | 20,947             | 17,026             | 25,854             | 25,819               | 25,819               | 25,819               |
| Prevention of Domestic Violence, Office for           | 166                | 146                | 195                | 173                  | 212                  | 216                  |
| Public Employment Relations Board                     | 225                | 201                | 291                | 296                  | 296                  | 296                  |
| Public Integrity, Commission on                       | 1,068              | 729                | 930                | 949                  | 968                  | 1,183                |
| State, Department of                                  | 18,924             | 15,984             | 17,292             | 17,143               | 17,167               | 17,143               |
| Tax Appeals, Division of                              | 229                | 147                | 170                | 170                  | 170                  | 170                  |
| Taxation and Finance, Department of                   | 48,500             | 46,537             | 69,447             | 67,988               | 68,726               | 67,364               |
| Technology, Office for                                | 250,410            | 249,109            | 258,414            | 248,683              | 242,629              | 253,843              |
| Veterans' Affairs, Division of                        | 997                | 477                | 862                | 862                  | 879                  | 897                  |
| Welfare Inspector General, Office of                  | 27                 | 52                 | 65                 | 75                   | 77                   | 107                  |
| Workers' Compensation Board                           | 57,972             | 55,854             | 58,330             | 59,589               | 60,804               | 60,804               |
| <b>Functional Total</b>                               | <b>606,995</b>     | <b>543,605</b>     | <b>584,131</b>     | <b>569,971</b>       | <b>561,058</b>       | <b>570,862</b>       |
| <b>ELECTED OFFICIALS</b>                              |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                      | 33,311             | 27,700             | 31,337             | 31,442               | 32,103               | 32,103               |
| Executive Chamber                                     | 3,907              | 3,581              | 2,465              | 2,465                | 2,465                | 2,465                |
| Judiciary   | 454,897            | 460,372            | 473,000            | 473,000              | 473,000              | 473,000              |
| Law, Department of                                    | 56,583             | 58,005             | 63,746             | 64,990               | 66,251               | 66,251               |
| Legislature   | 55,270             | 49,022             | 54,317             | 55,384               | 56,473               | 57,583               |
| Lieutenant Governor, Office of the                    | 146                | 110                | 91                 | 91                   | 91                   | 91                   |
| <b>Functional Total</b>                               | <b>604,114</b>     | <b>598,790</b>     | <b>624,956</b>     | <b>627,372</b>       | <b>630,383</b>       | <b>631,493</b>       |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                    |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities                 | 107                | 131                | 250                | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                               | <b>107</b>         | <b>131</b>         | <b>250</b>         | <b>0</b>             | <b>0</b>             | <b>0</b>             |
| <b>ALL OTHER CATEGORIES</b>                           |                    |                    |                    |                      |                      |                      |
| Long-Term Debt Service                                | 38,647             | 41,887             | 47,183             | 47,183               | 47,183               | 47,183               |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Miscellaneous   | 22,876                     | 45,409                     | 46,335                     | 44,737                       | 44,737                       | 44,737                       |
| <b>Functional Total</b>                                   | <b>61,523</b>              | <b>87,296</b>              | <b>93,518</b>              | <b>91,920</b>                | <b>91,920</b>                | <b>91,920</b>                |
| <b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b> | <b>6,958,853</b>           | <b>7,019,735</b>           | <b>7,198,437</b>           | <b>7,250,528</b>             | <b>7,260,870</b>             | <b>7,361,981</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 3,766              | 3,295              | 4,048              | 4,111                | 4,401                | 4,597                |
| Alcoholic Beverage Control, Division of                | 121                | 0                  | 0                  | 0                    | 0                    | 0                    |
| Economic Development, Department of                    | 0                  | 2                  | 28                 | 28                   | 28                   | 28                   |
| Financial Services, Department of                      | 86,652             | 90,924             | 100,172            | 104,142              | 116,795              | 116,569              |
| Public Service Department                              | 27,163             | 34,183             | 28,484             | 29,405               | 31,021               | 32,681               |
| <b>Functional Total</b>                                | <b>117,702</b>     | <b>128,404</b>     | <b>132,732</b>     | <b>137,686</b>       | <b>152,245</b>       | <b>153,875</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 62,106             | 62,194             | 68,775             | 61,946               | 61,518               | 60,450               |
| Parks, Recreation and Historic Preservation, Office of | 2,972              | 3,264              | 3,152              | 3,160                | 3,331                | 3,365                |
| <b>Functional Total</b>                                | <b>65,078</b>      | <b>65,458</b>      | <b>71,927</b>      | <b>65,106</b>        | <b>64,849</b>        | <b>63,815</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 21,158             | 21,841             | 24,035             | 24,838               | 26,769               | 27,421               |
| Transportation, Department of                          | 6,299              | 6,070              | 8,695              | 8,811                | 8,836                | 8,987                |
| <b>Functional Total</b>                                | <b>27,457</b>      | <b>27,911</b>      | <b>32,730</b>      | <b>33,649</b>        | <b>35,605</b>        | <b>36,408</b>        |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 68,584             | 67,722             | 89,046             | 81,689               | 86,544               | 88,170               |
| <i>Medicaid Administration</i>                         | 1,522              | 2,834              | 6,215              | 6,642                | 7,049                | 7,356                |
| <i>Public Health</i>                                   | 67,062             | 64,888             | 82,831             | 75,047               | 79,495               | 80,814               |
| Medicaid Inspector General, Office of the              | 9,143              | 9,354              | 9,868              | 10,231               | 11,007               | 11,007               |
| <b>Functional Total</b>                                | <b>77,727</b>      | <b>77,076</b>      | <b>98,914</b>      | <b>91,920</b>        | <b>97,551</b>        | <b>99,177</b>        |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 11,468             | 12,864             | 17,485             | 13,025               | 14,064               | 14,064               |
| <i>OCFS</i>  | 11,468             | 12,864             | 17,485             | 13,025               | 14,064               | 14,064               |
| Housing and Community Renewal, Division of             | 9,260              | 19,545             | 27,125             | 27,125               | 27,125               | 31,850               |
| Labor, Department of                                   | 111,031            | 121,399            | 115,870            | 116,680              | 116,680              | 140,222              |
| National and Community Service                         | 0                  | 0                  | 229                | 236                  | 242                  | 242                  |
| Temporary and Disability Assistance, Office of         | 42,343             | 52,395             | 49,731             | 44,498               | 44,498               | 52,766               |
| <i>All Other</i>                                       | 42,343             | 52,395             | 49,731             | 44,498               | 44,498               | 52,766               |
| <b>Functional Total</b>                                | <b>174,102</b>     | <b>206,203</b>     | <b>210,440</b>     | <b>201,564</b>       | <b>202,609</b>       | <b>239,144</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 33,726             | 36,956             | 2,384              | 2,028                | 2,097                | 2,190                |
| <i>OASAS</i>   | 13,803             | 14,792             | 2,384              | 2,028                | 2,097                | 2,190                |
| <i>OASAS - Other</i>                                   | 19,923             | 22,164             | 0                  | 0                    | 0                    | 0                    |
| Developmental Disabilities Planning Council            | 231                | 870                | 785                | 785                  | 785                  | 785                  |
| Justice Center   | 850                | 709                | 938                | 993                  | 1,057                | 1,125                |
| Mental Health, Office of                               | 621,931            | 651,893            | 456                | 469                  | 469                  | 469                  |
| <i>OMH</i>   | 192,665            | 194,563            | 456                | 469                  | 469                  | 469                  |
| <i>OMH - Other</i>                                     | 429,266            | 457,330            | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                                | <b>1,293,938</b>   | <b>1,374,229</b>   | <b>4,563</b>       | <b>4,275</b>         | <b>4,408</b>         | <b>4,569</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 1,092              | 1,211              | 1,147              | 1,147                | 1,147                | 1,147                |
| Criminal Justice Services, Division of                 | 364                | 652                | 383                | 383                  | 383                  | 383                  |
| Homeland Security and Emergency Services, Division of  | 7,388              | 7,269              | 7,873              | 5,396                | 5,414                | 5,484                |
| Indigent Legal Services, Office of                     | 967                | 1,069              | 1,906              | 2,003                | 2,117                | 2,239                |
| Military and Naval Affairs, Division of                | 6,078              | 5,775              | 10,577             | 6,629                | 6,754                | 6,755                |
| State Police, Division of                              | 20,056             | 15,817             | 25,400             | 26,310               | 26,653               | 26,823               |
| Victim Services, Office of                             | 1,570              | 1,638              | 1,650              | 1,650                | 1,650                | 1,683                |
| <b>Functional Total</b>                                | <b>37,515</b>      | <b>33,431</b>      | <b>48,936</b>      | <b>43,518</b>        | <b>44,118</b>        | <b>44,514</b>        |
| <b>HIGHER EDUCATION</b>                                |                    |                    |                    |                      |                      |                      |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| City University of New York                           | 7,661                      | 145                        | 150                        | 150                          | 150                          | 150                          |
| Higher Education - Miscellaneous                      | 143                        | 81                         | 150                        | 150                          | 150                          | 150                          |
| Higher Education Services Corporation, New York State | 8,525                      | 8,131                      | 9,021                      | 9,021                        | 9,021                        | 9,021                        |
| State University of New York                          | 420,308                    | 430,885                    | 485,122                    | 500,617                      | 514,171                      | 504,324                      |
| <b>Functional Total</b>                               | <b>436,637</b>             | <b>439,242</b>             | <b>494,443</b>             | <b>509,938</b>               | <b>523,492</b>               | <b>513,645</b>               |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Education, Department of                              | 79,985                     | 85,316                     | 88,398                     | 91,218                       | 98,452                       | 99,195                       |
| <i>All Other</i>                                      | 79,985                     | 85,316                     | 88,398                     | 91,218                       | 98,452                       | 99,195                       |
| <b>Functional Total</b>                               | <b>79,985</b>              | <b>85,316</b>              | <b>88,398</b>              | <b>91,218</b>                | <b>98,452</b>                | <b>99,195</b>                |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 776                        | 712                        | 1,657                      | 1,657                        | 1,717                        | 1,657                        |
| Civil Service, Department of                          | 84                         | 180                        | 229                        | 239                          | 263                          | 270                          |
| Deferred Compensation Board                           | 195                        | 224                        | 205                        | 205                          | 205                          | 205                          |
| Elections, State Board of                             | 49                         | 0                          | 150                        | 300                          | 300                          | 300                          |
| Gaming Commission, New York State                     | 12,777                     | 16,540                     | 17,575                     | 17,575                       | 18,465                       | 17,575                       |
| General Services, Office of                           | 407                        | 369                        | 548                        | 567                          | 627                          | 644                          |
| State, Department of                                  | 10,795                     | 12,213                     | 14,919                     | 15,334                       | 16,554                       | 16,815                       |
| Taxation and Finance, Department of                   | 25,017                     | 22,635                     | 27,264                     | 27,063                       | 28,183                       | 27,072                       |
| Veterans' Affairs, Division of                        | 218                        | 295                        | 240                        | 255                          | 269                          | 269                          |
| Workers' Compensation Board                           | 48,919                     | 52,395                     | 53,220                     | 53,402                       | 57,863                       | 57,863                       |
| <b>Functional Total</b>                               | <b>99,237</b>              | <b>105,563</b>             | <b>116,007</b>             | <b>116,597</b>               | <b>124,446</b>               | <b>122,670</b>               |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 1,605                      | 1,677                      | 2,135                      | 2,135                        | 2,167                        | 2,167                        |
| Judiciary   | 702,596                    | 750,627                    | 774,629                    | 786,129                      | 786,129                      | 786,129                      |
| Law, Department of                                    | 25,029                     | 28,245                     | 32,031                     | 32,808                       | 34,830                       | 34,830                       |
| <b>Functional Total</b>                               | <b>729,230</b>             | <b>780,549</b>             | <b>808,795</b>             | <b>821,072</b>               | <b>823,126</b>               | <b>823,126</b>               |
| <b>ALL OTHER CATEGORIES</b>                           |                            |                            |                            |                              |                              |                              |
| General State Charges                                 | 4,782,943                  | 4,842,815                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| Miscellaneous   | 5,412                      | 8,445                      | 5,747                      | 5,749                        | 5,761                        | 5,766                        |
| <b>Functional Total</b>                               | <b>4,788,355</b>           | <b>4,851,260</b>           | <b>6,648,030</b>           | <b>7,025,960</b>             | <b>7,564,722</b>             | <b>8,134,839</b>             |
| <b>TOTAL GENERAL STATE CHARGES SPENDING</b>           | <b>7,926,963</b>           | <b>8,174,642</b>           | <b>8,755,915</b>           | <b>9,142,503</b>             | <b>9,735,623</b>             | <b>10,334,977</b>            |

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 4,337              | 8,264              | 64,699             | 16,915               | 5,415                | 5,415                |
| Economic Development Capital                           | 0                  | 0                  | 22,587             | 21,600               | 20,862               | 30,862               |
| Economic Development, Department of                    | 17                 | 10                 | 13,977             | 0                    | 5,525                | 0                    |
| Empire State Development Corporation                   | 75,861             | 71,126             | 287,752            | 692,907              | 744,568              | 414,871              |
| Energy Research and Development Authority              | 14,545             | 17,109             | 22,877             | 17,595               | 15,720               | 14,001               |
| Olympic Regional Development Authority                 | 7,500              | 38,000             | 50,000             | 20,000               | 10,000               | 10,000               |
| Power Authority, New York                              | 0                  | 160                | 13,750             | 29,000               | 32,700               | 2,000                |
| Regional Economic Development Program                  | 0                  | 0                  | 3,500              | 337                  | 337                  | 337                  |
| Strategic Investment Program                           | 0                  | 0                  | 7,002              | 6,650                | 11,046               | 6,650                |
| <b>Functional Total</b>                                | <b>102,260</b>     | <b>134,669</b>     | <b>486,144</b>     | <b>805,004</b>       | <b>846,173</b>       | <b>484,136</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 367,494            | 313,851            | 723,744            | 572,840              | 581,096              | 611,372              |
| Hudson River Park Trust                                | 0                  | 0                  | 0                  | 5,000                | 10,000               | 10,000               |
| Parks, Recreation and Historic Preservation, Office of | 153,829            | 179,949            | 177,903            | 179,732              | 164,914              | 165,364              |
| <b>Functional Total</b>                                | <b>521,323</b>     | <b>493,800</b>     | <b>901,647</b>     | <b>757,572</b>       | <b>756,010</b>       | <b>786,736</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 217,104            | 221,992            | 228,495            | 231,724              | 243,144              | 224,758              |
| Transportation, Department of                          | 3,581,749          | 3,466,560          | 3,612,009          | 3,459,585            | 3,616,185            | 3,671,445            |
| <b>Functional Total</b>                                | <b>3,798,853</b>   | <b>3,688,552</b>   | <b>3,840,504</b>   | <b>3,691,309</b>     | <b>3,859,329</b>     | <b>3,896,203</b>     |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 41,165             | 55,232             | 112,344            | 112,344              | 60,344               | 60,344               |
| <i>Public Health</i>                                   | 41,165             | 55,232             | 112,344            | 112,344              | 60,344               | 60,344               |
| <b>Functional Total</b>                                | <b>41,165</b>      | <b>55,232</b>      | <b>112,344</b>     | <b>112,344</b>       | <b>60,344</b>        | <b>60,344</b>        |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 24,392             | 41,259             | 68,695             | 22,872               | 23,050               | 22,906               |
| <i>OCFS</i>  | 24,392             | 41,259             | 68,695             | 22,872               | 23,050               | 22,906               |
| Housing and Community Renewal, Division of             | 0                  | 0                  | 3,000              | 3,000                | 3,000                | 3,000                |
| Nonprofit Infrastructure Capital Investment Program    | 0                  | 0                  | 47,500             | 44,500               | 16,049               | 5,000                |
| Temporary and Disability Assistance, Office of         | 346                | 801                | 800                | 800                  | 800                  | 800                  |
| <i>All Other</i>                                       | 346                | 801                | 800                | 800                  | 800                  | 800                  |
| <b>Functional Total</b>                                | <b>24,738</b>      | <b>42,060</b>      | <b>119,995</b>     | <b>71,172</b>        | <b>42,899</b>        | <b>31,706</b>        |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 1,066              | 1,807              | 2,849              | 2,604                | 2,662                | 2,710                |
| <i>OASAS</i>   | 1,066              | 1,807              | 2,849              | 2,604                | 2,662                | 2,710                |
| Mental Health, Office of                               | 139,970            | 214,774            | 198,144            | 175,607              | 182,122              | 209,215              |
| <i>OMH</i>   | 139,970            | 214,774            | 198,144            | 175,607              | 182,122              | 209,215              |
| People with Developmental Disabilities, Office for     | 69,053             | 70,009             | 88,698             | 89,291               | 83,437               | 84,657               |
| <i>OPWDD</i>   | 69,053             | 70,009             | 88,698             | 89,291               | 83,437               | 84,657               |
| <b>Functional Total</b>                                | <b>210,089</b>     | <b>286,590</b>     | <b>289,691</b>     | <b>267,502</b>       | <b>268,221</b>       | <b>296,582</b>       |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 303,275            | 355,744            | 378,637            | 342,168              | 316,496              | 316,985              |
| Homeland Security and Emergency Services, Division of  | 8,445              | 28,671             | 23,180             | 17,156               | 7,000                | 7,000                |
| Military and Naval Affairs, Division of                | 70,039             | 63,307             | 47,692             | 66,313               | 48,844               | 42,244               |
| State Police, Division of                              | 31,235             | 72,903             | 44,725             | 42,684               | 52,753               | 51,488               |
| <b>Functional Total</b>                                | <b>412,994</b>     | <b>520,625</b>     | <b>494,234</b>     | <b>468,321</b>       | <b>425,093</b>       | <b>417,717</b>       |
| <b>HIGHER EDUCATION</b>                                |                    |                    |                    |                      |                      |                      |
| City University of New York                            | 36,527             | 36,608             | 35,900             | 36,620               | 37,352               | 37,352               |
| State University of New York                           | 760,044            | 940,056            | 951,643            | 923,016              | 940,193              | 944,473              |
| <b>Functional Total</b>                                | <b>796,571</b>     | <b>976,664</b>     | <b>987,543</b>     | <b>959,636</b>       | <b>977,545</b>       | <b>981,825</b>       |
| <b>EDUCATION</b>                                       |                    |                    |                    |                      |                      |                      |



**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
**CAPITAL PROJECTS**  
(thousands of dollars)

|  | FY 2017<br>Results      | FY 2018<br>Results      | FY 2019<br>Updated      | FY 2020<br>Projected    | FY 2021<br>Projected    | FY 2022<br>Projected    |
|--|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Education, Department of                 | 5,145                   | 6,713                   | 51,500                  | 43,368                  | 37,557                  | 47,500                  |
| <i>All Other</i>                         | 5,145                   | 6,713                   | 51,500                  | 43,368                  | 37,557                  | 47,500                  |
| <b>Functional Total</b>                  | <u>5,145</u>            | <u>6,713</u>            | <u>51,500</u>           | <u>43,368</u>           | <u>37,557</u>           | <u>47,500</u>           |
| <b>GENERAL GOVERNMENT</b>                |                         |                         |                         |                         |                         |                         |
| General Services, Office of              | 92,531                  | 128,849                 | 209,828                 | 187,662                 | 190,879                 | 154,517                 |
| State, Department of                     | 0                       | 1,233                   | 2,767                   | 2,000                   | 0                       | 0                       |
| Technology, Office for                   | 86,220                  | 102,831                 | 118,865                 | 18,751                  | 24,700                  | 33,700                  |
| Workers' Compensation Board              | 3,752                   | 4,824                   | 2,500                   | 30,000                  | 35,806                  | 0                       |
| <b>Functional Total</b>                  | <u>182,503</u>          | <u>237,737</u>          | <u>333,960</u>          | <u>238,413</u>          | <u>251,385</u>          | <u>188,217</u>          |
| <b>ELECTED OFFICIALS</b>                 |                         |                         |                         |                         |                         |                         |
| Audit and Control, Department of         | 2,100                   | 0                       | 5,024                   | 1,400                   | 0                       | 0                       |
| Judiciary                                | 0                       | 13,943                  | 15,000                  | 3,500                   | 0                       | 0                       |
| Law, Department of                       | 6,201                   | 5,035                   | 9,452                   | 968                     | 0                       | 0                       |
| <b>Functional Total</b>                  | <u>8,301</u>            | <u>18,978</u>           | <u>29,476</u>           | <u>5,868</u>            | <u>0</u>                | <u>0</u>                |
| <b>ALL OTHER CATEGORIES</b>              |                         |                         |                         |                         |                         |                         |
| Arts and Cultural Facilities Improvement | 0                       | 0                       | 5,200                   | 5,000                   | 0                       | 0                       |
| Miscellaneous                            | 33,220                  | 21,593                  | (567,093)               | (582,992)               | (643,758)               | 77,093                  |
| Special Infrastructure Account           | 418,228                 | 360,672                 | 838,864                 | 873,602                 | 592,050                 | 427,650                 |
| <b>Functional Total</b>                  | <u>451,448</u>          | <u>382,265</u>          | <u>276,971</u>          | <u>295,610</u>          | <u>(51,708)</u>         | <u>504,743</u>          |
| <b>TOTAL CAPITAL PROJECTS SPENDING</b>   | <u><u>6,555,390</u></u> | <u><u>6,843,885</u></u> | <u><u>7,924,009</u></u> | <u><u>7,716,119</u></u> | <u><u>7,472,848</u></u> | <u><u>7,695,709</u></u> |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                            |                            |                            |                              |                              |                              |
| Agriculture and Markets, Department of                 | 82,570                     | 79,534                     | 142,699                    | 85,700                       | 70,487                       | 85,181                       |
| Alcoholic Beverage Control, Division of                | 11,248                     | 11,819                     | 12,483                     | 11,244                       | 11,560                       | 11,244                       |
| Economic Development Capital                           | 1,844                      | 2,751                      | 22,587                     | 20,270                       | 19,569                       | 29,069                       |
| Economic Development, Department of                    | 79,798                     | 81,242                     | 91,395                     | 70,963                       | 76,212                       | 75,813                       |
| Empire State Development Corporation                   | 1,107,501                  | 1,207,655                  | 1,637,350                  | 1,837,697                    | 1,890,277                    | 1,751,701                    |
| Energy Research and Development Authority              | 14,545                     | 17,109                     | 22,877                     | 17,595                       | 15,720                       | 14,001                       |
| Financial Services, Department of                      | 362,563                    | 357,557                    | 374,035                    | 378,571                      | 397,475                      | 391,112                      |
| Olympic Regional Development Authority                 | 10,236                     | 40,736                     | 61,293                     | 25,886                       | 15,886                       | 15,886                       |
| Power Authority, New York                              | 1,916                      | 243                        | 13,750                     | 29,000                       | 32,700                       | 2,000                        |
| Public Service Department                              | 75,529                     | 84,881                     | 77,674                     | 78,645                       | 80,874                       | 80,921                       |
| Regional Economic Development Program                  | 4,156                      | 2,030                      | 3,500                      | 320                          | 320                          | 320                          |
| Strategic Investment Program                           | 683                        | 1,625                      | 7,002                      | 6,317                        | 10,494                       | 6,317                        |
| <b>Functional Total</b>                                | <b>1,752,589</b>           | <b>1,887,182</b>           | <b>2,466,645</b>           | <b>2,562,208</b>             | <b>2,621,574</b>             | <b>2,463,565</b>             |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                            |                            |                            |                              |                              |                              |
| Adirondack Park Agency                                 | 4,535                      | 4,468                      | 4,469                      | 4,414                        | 4,572                        | 4,414                        |
| Environmental Conservation, Department of              | 754,553                    | 760,842                    | 1,005,869                  | 1,053,043                    | 1,083,083                    | 1,148,838                    |
| Hudson River Park Trust                                | 0                          | 0                          | 0                          | 5,000                        | 10,000                       | 10,000                       |
| Parks, Recreation and Historic Preservation, Office of | 338,130                    | 353,493                    | 352,135                    | 351,823                      | 343,475                      | 339,054                      |
| <b>Functional Total</b>                                | <b>1,097,218</b>           | <b>1,118,803</b>           | <b>1,362,473</b>           | <b>1,414,280</b>             | <b>1,441,130</b>             | <b>1,502,306</b>             |
| <b>TRANSPORTATION</b>                                  |                            |                            |                            |                              |                              |                              |
| Metropolitan Transportation Authority                  | 250,000                    | 65,000                     | 800,000                    | 675,000                      | 194,856                      | 0                            |
| Motor Vehicles, Department of                          | 287,137                    | 293,720                    | 313,011                    | 316,902                      | 331,198                      | 313,145                      |
| Transportation, Department of                          | 7,876,559                  | 7,997,573                  | 7,181,645                  | 6,984,138                    | 7,224,011                    | 7,409,135                    |
| <b>Functional Total</b>                                | <b>8,413,696</b>           | <b>8,356,293</b>           | <b>8,294,656</b>           | <b>7,976,040</b>             | <b>7,750,065</b>             | <b>7,722,280</b>             |
| <b>HEALTH</b>  |                            |                            |                            |                              |                              |                              |
| Aging, Office for the                                  | 126,347                    | 125,380                    | 130,510                    | 132,817                      | 138,042                      | 143,311                      |
| Health, Department of                                  | 20,529,647                 | 21,620,700                 | 22,871,145                 | 24,457,861                   | 25,605,612                   | 26,554,365                   |
| <i>Medical Assistance</i>                              | 17,505,933                 | 18,857,443                 | 19,376,415                 | 20,899,531                   | 21,916,649                   | 22,792,661                   |
| <i>Essential Plan</i>                                  | 312,837                    | 87,638                     | 86,723                     | 84,224                       | 78,757                       | 76,397                       |
| <i>Medicaid Administration</i>                         | 728,644                    | 583,789                    | 917,523                    | 744,037                      | 721,913                      | 707,680                      |
| <i>Public Health</i>                                   | 1,982,233                  | 2,091,830                  | 2,490,484                  | 2,730,069                    | 2,888,293                    | 2,977,627                    |
| Medicaid Inspector General, Office of the              | 20,489                     | 18,282                     | 18,111                     | 18,111                       | 18,718                       | 18,111                       |
| <b>Functional Total</b>                                | <b>20,676,483</b>          | <b>21,764,362</b>          | <b>23,019,766</b>          | <b>24,608,789</b>            | <b>25,762,372</b>            | <b>26,715,787</b>            |
| <b>SOCIAL WELFARE</b>                                  |                            |                            |                            |                              |                              |                              |
| Children and Family Services, Office of                | 1,991,212                  | 1,892,775                  | 2,051,076                  | 2,072,866                    | 2,158,814                    | 2,196,515                    |
| <i>OCFS</i>  | 1,908,965                  | 1,815,413                  | 1,966,334                  | 1,982,059                    | 2,064,827                    | 2,098,364                    |
| <i>OCFS - Other</i>                                    | 82,247                     | 77,362                     | 84,742                     | 90,807                       | 93,987                       | 98,151                       |
| Housing and Community Renewal, Division of             | 191,077                    | 351,538                    | 850,060                    | 617,128                      | 619,804                      | 578,290                      |
| Human Rights, Division of                              | 10,382                     | 9,465                      | 9,921                      | 9,921                        | 10,590                       | 10,230                       |
| Labor, Department of                                   | 82,569                     | 83,203                     | 81,668                     | 67,156                       | 69,893                       | 71,435                       |
| National and Community Service                         | 471                        | 770                        | 690                        | 690                          | 699                          | 699                          |
| Nonprofit Infrastructure Capital Investment Program    | 0                          | 6,951                      | 47,500                     | 44,500                       | 16,049                       | 5,000                        |
| Roosevelt Island Operating Corporation                 | 0                          | 0                          | 25,028                     | 0                            | 0                            | 0                            |
| Temporary and Disability Assistance, Office of         | 1,412,347                  | 1,400,455                  | 1,467,844                  | 1,554,080                    | 1,561,373                    | 1,567,893                    |
| <i>Welfare Assistance</i>                              | 1,130,191                  | 1,137,324                  | 1,177,821                  | 1,241,366                    | 1,241,366                    | 1,241,366                    |
| <i>All Other</i>                                       | 282,156                    | 263,131                    | 290,023                    | 312,714                      | 320,007                      | 326,527                      |
| <b>Functional Total</b>                                | <b>3,688,058</b>           | <b>3,745,157</b>           | <b>4,533,787</b>           | <b>4,366,341</b>             | <b>4,437,222</b>             | <b>4,430,062</b>             |
| <b>MENTAL HYGIENE</b>                                  |                            |                            |                            |                              |                              |                              |
| Alcoholism and Substance Abuse Services, Office of     | 475,557                    | 481,016                    | 505,602                    | 547,736                      | 571,265                      | 559,240                      |
| <i>OASAS</i>   | 386,217                    | 388,019                    | 440,593                    | 481,527                      | 503,614                      | 490,862                      |
| <i>OASAS - Other</i>                                   | 89,340                     | 92,997                     | 65,009                     | 66,209                       | 67,651                       | 68,378                       |
| Justice Center   | 39,719                     | 40,136                     | 42,979                     | 44,832                       | 46,798                       | 46,205                       |
| Mental Health, Office of                               | 3,336,606                  | 3,467,179                  | 2,881,176                  | 2,996,957                    | 3,126,140                    | 3,256,130                    |
| <i>OMH</i>   | 1,641,407                  | 1,743,973                  | 1,562,659                  | 1,680,204                    | 1,765,305                    | 1,856,886                    |
| <i>OMH - Other</i>                                     | 1,695,199                  | 1,723,206                  | 1,318,517                  | 1,316,753                    | 1,360,835                    | 1,399,244                    |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Mental Hygiene, Department of                                 | 227                        | 204                        | 0                          | 0                            | 0                            | 0                            |
| People with Developmental Disabilities, Office for            | 2,973,239                  | 2,934,957                  | 1,948,169                  | 2,239,454                    | 2,680,095                    | 2,604,772                    |
| <i>OPWDD</i>  | 454,751                    | 479,476                    | 481,029                    | 476,995                      | 477,070                      | 488,467                      |
| <i>OPWDD - Other</i>  | 2,518,488                  | 2,455,481                  | 1,467,140                  | 1,762,459                    | 2,203,025                    | 2,116,305                    |
| <b>Functional Total</b>                                       | <b>6,825,348</b>           | <b>6,923,492</b>           | <b>5,377,926</b>           | <b>5,828,979</b>             | <b>6,424,298</b>             | <b>6,466,347</b>             |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                     |                            |                            |                            |                              |                              |                              |
| Correction, Commission of                                     | 2,431                      | 2,594                      | 2,651                      | 2,651                        | 2,773                        | 2,735                        |
| Correctional Services, Department of                          | 2,948,495                  | 2,989,492                  | 2,989,760                  | 2,965,664                    | 2,945,622                    | 2,937,481                    |
| Corrections and Community Supervision Medicaid, Department of | 0                          | 0                          | 2,000                      | 2,000                        | 2,000                        | 0                            |
| Criminal Justice Services, Division of                        | 191,089                    | 193,155                    | 222,552                    | 193,281                      | 194,256                      | 194,078                      |
| Homeland Security and Emergency Services, Division of         | 134,615                    | 146,324                    | 135,955                    | 155,710                      | 167,846                      | 180,640                      |
| Indigent Legal Services, Office of                            | 63,641                     | 75,499                     | 166,393                    | 190,581                      | 214,855                      | 214,975                      |
| Judicial Conduct, Commission on                               | 5,505                      | 5,552                      | 5,696                      | 5,708                        | 5,936                        | 6,114                        |
| Judicial Nomination, Commission on                            | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| Judicial Screening Committees, New York State                 | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| Military and Naval Affairs, Division of                       | 62,989                     | 58,162                     | 44,947                     | 63,269                       | 46,096                       | 40,227                       |
| State Police, Division of                                     | 769,728                    | 828,909                    | 799,163                    | 792,809                      | 828,800                      | 842,413                      |
| Statewide Financial System                                    | 30,309                     | 30,137                     | 30,382                     | 30,506                       | 30,949                       | 31,117                       |
| Victim Services, Office of                                    | 28,996                     | 26,080                     | 33,557                     | 36,345                       | 36,462                       | 37,111                       |
| <b>Functional Total</b>                                       | <b>4,237,817</b>           | <b>4,355,928</b>           | <b>4,433,124</b>           | <b>4,438,592</b>             | <b>4,475,663</b>             | <b>4,486,959</b>             |
| <b>HIGHER EDUCATION</b>                                       |                            |                            |                            |                              |                              |                              |
| City University of New York                                   | 1,562,530                  | 1,604,626                  | 1,624,879                  | 1,666,511                    | 1,707,792                    | 1,743,679                    |
| Higher Education - Miscellaneous                              | 529                        | 337                        | 441                        | 441                          | 441                          | 441                          |
| Higher Education Facilities Capital Matching Grants Program   | 0                          | 4,425                      | 12,500                     | 11,875                       | 14,250                       | 6,650                        |
| Higher Education Services Corporation, New York State         | 1,014,320                  | 929,204                    | 1,132,969                  | 1,209,228                    | 1,227,501                    | 1,234,607                    |
| State University of New York                                  | 7,674,938                  | 7,939,963                  | 8,118,562                  | 8,169,587                    | 8,359,855                    | 8,315,625                    |
| <b>Functional Total</b>                                       | <b>10,252,317</b>          | <b>10,478,555</b>          | <b>10,889,351</b>          | <b>11,057,642</b>            | <b>11,309,839</b>            | <b>11,301,002</b>            |
| <b>EDUCATION</b>  |                            |                            |                            |                              |                              |                              |
| Arts, Council on the  | 42,832                     | 39,210                     | 45,413                     | 45,253                       | 45,349                       | 45,253                       |
| Education, Department of                                      | 29,908,939                 | 30,489,950                 | 31,832,626                 | 33,006,128                   | 33,983,816                   | 35,188,498                   |
| <i>School Aid</i>   | 24,328,829                 | 25,487,836                 | 26,659,408                 | 27,956,797                   | 28,888,874                   | 29,998,225                   |
| <i>School Aid – Other</i>                                     | 57,079                     | 61,169                     | 99,342                     | 78,195                       | 78,195                       | 78,195                       |
| <i>STAR Property Tax Relief</i>                               | 3,139,051                  | 2,589,145                  | 2,459,259                  | 2,417,238                    | 2,401,995                    | 2,401,995                    |
| <i>Special Education Categorical Programs</i>                 | 1,317,359                  | 1,263,705                  | 1,328,600                  | 1,349,837                    | 1,417,421                    | 1,483,266                    |
| <i>All Other</i>  | 1,066,621                  | 1,088,095                  | 1,286,017                  | 1,204,061                    | 1,197,331                    | 1,226,817                    |
| <b>Functional Total</b>                                       | <b>29,951,771</b>          | <b>30,529,160</b>          | <b>31,878,039</b>          | <b>33,051,381</b>            | <b>34,029,165</b>            | <b>35,233,751</b>            |
| <b>GENERAL GOVERNMENT</b>                                     |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                                       | 25,335                     | 27,312                     | 30,423                     | 29,596                       | 30,603                       | 29,596                       |
| Civil Service, Department of                                  | 13,379                     | 14,975                     | 13,560                     | 13,680                       | 14,189                       | 13,815                       |
| Deferred Compensation Board                                   | 613                        | 675                        | 846                        | 853                          | 854                          | 853                          |
| Elections, State Board of                                     | 7,188                      | 7,813                      | 9,837                      | 10,147                       | 10,583                       | 10,054                       |
| Employee Relations, Office of                                 | 2,334                      | 3,569                      | 2,601                      | 2,621                        | 2,719                        | 2,621                        |
| Gaming Commission, New York State                             | 253,064                    | 176,850                    | 238,873                    | 212,703                      | 215,120                      | 212,703                      |
| General Services, Office of                                   | 253,750                    | 272,789                    | 347,397                    | 321,172                      | 323,251                      | 285,516                      |
| Inspector General, Office of the                              | 7,179                      | 7,166                      | 7,427                      | 7,487                        | 7,826                        | 8,061                        |
| Labor Management Committees                                   | 28,818                     | 24,773                     | 31,300                     | 31,306                       | 31,517                       | 31,306                       |
| Prevention of Domestic Violence, Office for                   | 2,028                      | 2,349                      | 2,949                      | 3,049                        | 3,121                        | 3,059                        |
| Public Employment Relations Board                             | 3,281                      | 3,260                      | 3,604                      | 3,634                        | 3,764                        | 3,634                        |
| Public Integrity, Commission on                               | 4,876                      | 5,115                      | 5,576                      | 5,630                        | 5,835                        | 6,010                        |
| State, Department of  | 70,808                     | 65,500                     | 80,858                     | 129,724                      | 113,055                      | 102,203                      |
| Tax Appeals, Division of                                      | 2,833                      | 2,688                      | 3,040                      | 3,040                        | 3,150                        | 3,040                        |
| Taxation and Finance, Department of                           | 357,907                    | 345,192                    | 362,336                    | 360,880                      | 371,807                      | 360,280                      |
| Technology, Office for  | 634,586                    | 638,808                    | 663,397                    | 559,037                      | 575,399                      | 590,955                      |
| Veterans' Affairs, Division of                                | 14,291                     | 13,791                     | 16,028                     | 13,873                       | 14,119                       | 14,054                       |
| Welfare Inspector General, Office of                          | 592                        | 671                        | 686                        | 701                          | 731                          | 753                          |
| Workers' Compensation Board                                   | 191,393                    | 198,190                    | 199,043                    | 228,595                      | 244,182                      | 204,271                      |
| <b>Functional Total</b>                                       | <b>1,874,255</b>           | <b>1,811,486</b>           | <b>2,019,781</b>           | <b>1,937,728</b>             | <b>1,971,825</b>             | <b>1,882,784</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ELECTED OFFICIALS</b>                 |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of         | 185,005                    | 180,421                    | 193,535                    | 190,094                      | 195,069                      | 189,387                      |
| Executive Chamber                        | 14,653                     | 13,560                     | 13,578                     | 13,578                       | 14,032                       | 13,578                       |
| Judiciary                                | 2,822,161                  | 2,959,272                  | 3,034,129                  | 3,037,628                    | 3,091,129                    | 3,034,129                    |
| Law, Department of                       | 191,102                    | 205,126                    | 208,150                    | 202,389                      | 209,617                      | 203,561                      |
| Legislature                              | 225,893                    | 223,372                    | 229,858                    | 234,436                      | 239,106                      | 243,869                      |
| Lieutenant Governor, Office of the       | 510                        | 492                        | 614                        | 614                          | 634                          | 614                          |
| <b>Functional Total</b>                  | <u>3,439,324</u>           | <u>3,582,243</u>           | <u>3,679,864</u>           | <u>3,678,739</u>             | <u>3,749,587</u>             | <u>3,685,138</u>             |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>       |                            |                            |                            |                              |                              |                              |
| Aid and Incentives for Municipalities    | 714,839                    | 721,920                    | 723,783                    | 731,363                      | 763,347                      | 763,347                      |
| County-Wide Shared Services Initiative   | 0                          | 0                          | 0                          | 100,000                      | 125,000                      | 0                            |
| Efficiency Incentive Grants Program      | 0                          | 348                        | 0                          | 0                            | 0                            | 0                            |
| Miscellaneous Financial Assistance       | 16,046                     | 9,270                      | 12,001                     | 2,250                        | 2,250                        | 2,250                        |
| Municipalities with VLT Facilities       | 29,331                     | 28,885                     | 28,885                     | 28,885                       | 28,885                       | 28,885                       |
| Small Government Assistance              | 217                        | 217                        | 218                        | 218                          | 218                          | 218                          |
| <b>Functional Total</b>                  | <u>760,433</u>             | <u>760,640</u>             | <u>764,887</u>             | <u>862,716</u>               | <u>919,700</u>               | <u>794,700</u>               |
| <b>ALL OTHER CATEGORIES</b>              |                            |                            |                            |                              |                              |                              |
| Arts and Cultural Facilities Improvement | 0                          | 0                          | 15,000                     | 5,000                        | 0                            | 0                            |
| General State Charges                    | 4,782,943                  | 4,842,815                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| Long-Term Debt Service                   | 5,552,429                  | 5,914,725                  | 5,566,764                  | 6,667,152                    | 7,168,443                    | 7,144,263                    |
| Miscellaneous                            | 76,129                     | 83,243                     | (508,979)                  | (250,121)                    | (156,228)                    | 571,900                      |
| Special Infrastructure Account           | 649,103                    | 783,139                    | 848,864                    | 878,602                      | 602,050                      | 427,650                      |
| <b>Functional Total</b>                  | <u>11,060,604</u>          | <u>11,623,922</u>          | <u>12,563,932</u>          | <u>14,320,844</u>            | <u>15,173,226</u>            | <u>16,272,886</u>            |
| <b>TOTAL STATE FUNDS SPENDING</b>        | <u>104,029,913</u>         | <u>106,937,223</u>         | <u>111,284,231</u>         | <u>116,104,279</u>           | <u>120,065,666</u>           | <u>122,957,567</u>           |

**CASH DISBURSEMENTS BY FUNCTION**

**STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                            |                            |                            |                              |                              |                              |
| Agriculture and Markets, Department of                 | 31,980                     | 32,318                     | 38,271                     | 28,757                       | 24,757                       | 24,907                       |
| Economic Development Capital                           | 1,844                      | 2,751                      | 0                          | (1,330)                      | (1,293)                      | (1,793)                      |
| Economic Development, Department of                    | 58,598                     | 61,825                     | 60,099                     | 53,644                       | 53,368                       | 58,494                       |
| Empire State Development Corporation                   | 1,031,640                  | 1,136,529                  | 1,349,598                  | 1,144,790                    | 1,145,709                    | 1,336,830                    |
| Financial Services, Department of                      | 66,703                     | 57,254                     | 64,954                     | 61,886                       | 61,855                       | 61,855                       |
| Olympic Regional Development Authority                 | 0                          | 0                          | 2,360                      | 0                            | 0                            | 0                            |
| Power Authority, New York                              | 1,916                      | 83                         | 0                          | 0                            | 0                            | 0                            |
| Public Service Department                              | 0                          | 102                        | 136                        | 136                          | 136                          | 136                          |
| Regional Economic Development Program                  | 4,156                      | 2,030                      | 0                          | (17)                         | (17)                         | (17)                         |
| Strategic Investment Program                           | 683                        | 1,625                      | 0                          | (333)                        | (552)                        | (333)                        |
| <b>Functional Total</b>                                | <b>1,197,520</b>           | <b>1,294,517</b>           | <b>1,515,418</b>           | <b>1,287,533</b>             | <b>1,283,963</b>             | <b>1,480,079</b>             |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                            |                            |                            |                              |                              |                              |
| Environmental Conservation, Department of              | 169,993                    | 191,133                    | 35,095                     | 243,024                      | 254,785                      | 298,358                      |
| Parks, Recreation and Historic Preservation, Office of | 7,998                      | 7,095                      | 5,856                      | 5,550                        | 5,550                        | 5,550                        |
| <b>Functional Total</b>                                | <b>177,991</b>             | <b>198,228</b>             | <b>40,951</b>              | <b>248,574</b>               | <b>260,335</b>               | <b>303,908</b>               |
| <b>TRANSPORTATION</b>                                  |                            |                            |                            |                              |                              |                              |
| Metropolitan Transportation Authority                  | 250,000                    | 65,000                     | 800,000                    | 675,000                      | 194,856                      | 0                            |
| Motor Vehicles, Department of                          | 0                          | 1,600                      | 375                        | 0                            | 0                            | 0                            |
| Transportation, Department of                          | 5,637,160                  | 5,624,706                  | 4,529,866                  | 4,217,379                    | 4,251,474                    | 4,376,538                    |
| <b>Functional Total</b>                                | <b>5,887,160</b>           | <b>5,691,306</b>           | <b>5,330,241</b>           | <b>4,892,379</b>             | <b>4,446,330</b>             | <b>4,376,538</b>             |
| <b>HEALTH</b>  |                            |                            |                            |                              |                              |                              |
| Aging, Office for the                                  | 125,116                    | 124,180                    | 129,278                    | 131,585                      | 136,767                      | 142,079                      |
| Health, Department of                                  | 19,775,413                 | 20,800,316                 | 21,915,275                 | 23,529,018                   | 24,731,814                   | 25,681,586                   |
| <i>Medical Assistance</i>                              | 17,505,933                 | 18,857,443                 | 19,376,415                 | 20,899,531                   | 21,916,649                   | 22,792,661                   |
| <i>Essential Plan</i>                                  | 269,000                    | 0                          | 0                          | 0                            | 0                            | 0                            |
| <i>Medicaid Administration</i>                         | 467,643                    | 286,336                    | 585,173                    | 428,149                      | 411,867                      | 396,295                      |
| <i>Public Health</i>                                   | 1,532,837                  | 1,656,537                  | 1,953,687                  | 2,201,338                    | 2,403,298                    | 2,492,630                    |
| <b>Functional Total</b>                                | <b>19,900,529</b>          | <b>20,924,496</b>          | <b>22,044,553</b>          | <b>23,660,603</b>            | <b>24,868,581</b>            | <b>25,823,665</b>            |
| <b>SOCIAL WELFARE</b>                                  |                            |                            |                            |                              |                              |                              |
| Children and Family Services, Office of                | 1,715,339                  | 1,608,134                  | 1,691,071                  | 1,672,391                    | 1,690,996                    | 1,726,177                    |
| <i>OCFS</i>  | 1,633,092                  | 1,530,772                  | 1,606,329                  | 1,581,584                    | 1,597,009                    | 1,628,026                    |
| <i>OCFS - Other</i>                                    | 82,247                     | 77,362                     | 84,742                     | 90,807                       | 93,987                       | 98,151                       |
| Housing and Community Renewal, Division of             | 135,329                    | 283,283                    | 774,473                    | 541,541                      | 540,965                      | 497,640                      |
| Labor, Department of                                   | 15,403                     | 12,666                     | 14,662                     | 150                          | 150                          | 150                          |
| National and Community Service                         | 267                        | 477                        | 350                        | 350                          | 350                          | 350                          |
| Nonprofit Infrastructure Capital Investment Program    | 0                          | 6,951                      | 0                          | 0                            | 0                            | 0                            |
| Roosevelt Island Operating Corporation                 | 0                          | 0                          | 25,028                     | 0                            | 0                            | 0                            |
| Temporary and Disability Assistance, Office of         | 1,274,253                  | 1,276,768                  | 1,339,764                  | 1,419,669                    | 1,422,469                    | 1,426,269                    |
| <i>Welfare Assistance</i>                              | 1,130,191                  | 1,137,324                  | 1,177,821                  | 1,241,366                    | 1,241,366                    | 1,241,366                    |
| <i>All Other</i>                                       | 144,062                    | 139,444                    | 161,943                    | 178,303                      | 181,103                      | 184,903                      |
| <b>Functional Total</b>                                | <b>3,140,591</b>           | <b>3,188,279</b>           | <b>3,845,348</b>           | <b>3,634,101</b>             | <b>3,654,930</b>             | <b>3,650,586</b>             |
| <b>MENTAL HYGIENE</b>                                  |                            |                            |                            |                              |                              |                              |
| Alcoholism and Substance Abuse Services, Office of     | 358,360                    | 360,781                    | 419,118                    | 459,372                      | 480,342                      | 467,897                      |
| <i>OASAS</i>   | 337,035                    | 339,456                    | 397,793                    | 438,047                      | 459,017                      | 446,572                      |
| <i>OASAS - Other</i>                                   | 21,325                     | 21,325                     | 21,325                     | 21,325                       | 21,325                       | 21,325                       |
| Justice Center   | 679                        | 619                        | 649                        | 649                          | 649                          | 649                          |
| Mental Health, Office of                               | 1,230,874                  | 1,251,218                  | 1,341,388                  | 1,458,733                    | 1,544,838                    | 1,613,407                    |
| <i>OMH</i>   | 960,374                    | 985,829                    | 1,042,063                  | 1,149,022                    | 1,224,208                    | 1,281,308                    |
| <i>OMH - Other</i>                                     | 270,500                    | 265,389                    | 299,325                    | 309,711                      | 320,630                      | 332,099                      |
| People with Developmental Disabilities, Office for     | 969,589                    | 847,982                    | 533,651                    | 822,349                      | 1,232,323                    | 1,140,821                    |
| <i>OPWDD</i>   | 385,635                    | 409,319                    | 392,150                    | 387,523                      | 393,452                      | 403,629                      |
| <i>OPWDD - Other</i>                                   | 583,954                    | 438,663                    | 141,501                    | 434,826                      | 838,871                      | 737,192                      |
| <b>Functional Total</b>                                | <b>2,559,502</b>           | <b>2,460,600</b>           | <b>2,294,806</b>           | <b>2,741,103</b>             | <b>3,258,152</b>             | <b>3,222,774</b>             |

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**LOCAL ASSISTANCE**  
**(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>                     |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                          | 4,457              | 5,686              | 4,836              | 4,836                | 4,836                | 4,836                |
| Corrections and Community Supervision Medicaid, Department of | 0                  | 0                  | 2,000              | 2,000                | 2,000                | 0                    |
| Criminal Justice Services, Division of                        | 155,863            | 156,618            | 185,704            | 156,433              | 156,433              | 156,433              |
| Homeland Security and Emergency Services, Division of         | 99,474             | 87,335             | 75,415             | 99,524               | 120,542              | 130,839              |
| Indigent Legal Services, Office of                            | 60,503             | 72,102             | 160,672            | 184,765              | 208,859              | 208,859              |
| Military and Naval Affairs, Division of                       | 786                | 819                | 820                | 820                  | 820                  | 886                  |
| Victim Services, Office of                                    | 23,748             | 20,728             | 27,956             | 30,744               | 30,744               | 31,398               |
| <b>Functional Total</b>                                       | <b>344,831</b>     | <b>343,288</b>     | <b>457,403</b>     | <b>479,122</b>       | <b>524,234</b>       | <b>533,251</b>       |
| <b>HIGHER EDUCATION</b>                                       |                    |                    |                    |                      |                      |                      |
| City University of New York                                   | 1,424,129          | 1,465,153          | 1,493,737          | 1,533,264            | 1,572,884            | 1,607,831            |
| Higher Education Facilities Capital Matching Grants Program   | 0                  | 4,425              | 12,500             | 11,875               | 14,250               | 6,650                |
| Higher Education Services Corporation, New York State         | 957,504            | 879,322            | 1,080,675          | 1,156,934            | 1,175,207            | 1,182,313            |
| State University of New York                                  | 491,891            | 488,783            | 482,289            | 484,643              | 484,643              | 484,643              |
| <b>Functional Total</b>                                       | <b>2,873,524</b>   | <b>2,837,683</b>   | <b>3,069,201</b>   | <b>3,186,716</b>     | <b>3,246,984</b>     | <b>3,281,437</b>     |
| <b>EDUCATION</b>  |                    |                    |                    |                      |                      |                      |
| Arts, Council on the  | 38,907             | 34,950             | 41,093             | 40,933               | 40,933               | 40,933               |
| Education, Department of                                      | 29,732,476         | 30,303,822         | 31,598,735         | 32,781,420           | 33,757,991           | 34,955,253           |
| <i>School Aid</i>   | 24,328,829         | 25,487,836         | 26,659,408         | 27,956,797           | 28,888,874           | 29,998,225           |
| <i>School Aid – Other</i>                                     | 57,079             | 61,169             | 99,342             | 78,195               | 78,195               | 78,195               |
| <i>STAR Property Tax Relief</i>                               | 3,139,051          | 2,589,145          | 2,459,259          | 2,417,238            | 2,401,995            | 2,401,995            |
| <i>Special Education Categorical Programs</i>                 | 1,317,359          | 1,263,705          | 1,328,600          | 1,349,837            | 1,417,421            | 1,483,266            |
| <i>All Other</i>  | 890,158            | 901,967            | 1,052,126          | 979,353              | 971,506              | 993,572              |
| <b>Functional Total</b>                                       | <b>29,771,383</b>  | <b>30,338,772</b>  | <b>31,639,828</b>  | <b>32,822,353</b>    | <b>33,798,924</b>    | <b>34,996,186</b>    |
| <b>GENERAL GOVERNMENT</b>                                     |                    |                    |                    |                      |                      |                      |
| Elections, State Board of                                     | 93                 | 50                 | 0                  | 0                    | 0                    | 0                    |
| Gaming Commission, New York State                             | 101,820            | 65,779             | 140,570            | 114,400              | 114,400              | 114,400              |
| Prevention of Domestic Violence, Office for                   | 528                | 791                | 1,285              | 1,385                | 1,385                | 1,412                |
| State, Department of  | 13,509             | 10,035             | 24,961             | 74,379               | 57,379               | 47,379               |
| Taxation and Finance, Department of                           | 1,080              | 1,542              | 2,726              | 2,726                | 2,726                | 2,726                |
| Veterans' Affairs, Division of                                | 8,340              | 7,906              | 9,792              | 7,637                | 7,637                | 7,637                |
| <b>Functional Total</b>                                       | <b>125,370</b>     | <b>86,103</b>      | <b>179,334</b>     | <b>200,527</b>       | <b>183,527</b>       | <b>173,554</b>       |
| <b>ELECTED OFFICIALS</b>                                      |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                              | 32,025             | 32,025             | 32,024             | 32,024               | 32,024               | 32,024               |
| Judiciary   | 101,099            | 114,224            | 112,200            | 127,199              | 127,200              | 127,200              |
| <b>Functional Total</b>                                       | <b>133,124</b>     | <b>146,249</b>     | <b>144,224</b>     | <b>159,223</b>       | <b>159,224</b>       | <b>159,224</b>       |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                            |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities                         | 714,732            | 721,789            | 723,533            | 731,363              | 763,347              | 763,347              |
| County-Wide Shared Services Initiative                        | 0                  | 0                  | 0                  | 100,000              | 125,000              | 0                    |
| Efficiency Incentive Grants Program                           | 0                  | 348                | 0                  | 0                    | 0                    | 0                    |
| Miscellaneous Financial Assistance                            | 16,046             | 9,270              | 12,001             | 2,250                | 2,250                | 2,250                |
| Municipalities with VLT Facilities                            | 29,331             | 28,885             | 28,885             | 28,885               | 28,885               | 28,885               |
| Small Government Assistance                                   | 217                | 217                | 218                | 218                  | 218                  | 218                  |
| <b>Functional Total</b>                                       | <b>760,326</b>     | <b>760,509</b>     | <b>764,637</b>     | <b>862,716</b>       | <b>919,700</b>       | <b>794,700</b>       |
| <b>ALL OTHER CATEGORIES</b>                                   |                    |                    |                    |                      |                      |                      |
| Arts and Cultural Facilities Improvement                      | 0                  | 0                  | 9,800              | 0                    | 0                    | 0                    |
| Miscellaneous   | 20,373             | 12,639             | (105,943)          | 39,100               | 78,692               | 85,964               |
| Special Infrastructure Account                                | 230,875            | 422,467            | 10,000             | 5,000                | 10,000               | 0                    |
| <b>Functional Total</b>                                       | <b>251,248</b>     | <b>435,106</b>     | <b>(86,143)</b>    | <b>44,100</b>        | <b>88,692</b>        | <b>85,964</b>        |
| <b>TOTAL LOCAL ASSISTANCE SPENDING</b>                        | <b>67,123,099</b>  | <b>68,705,136</b>  | <b>71,239,801</b>  | <b>74,219,050</b>    | <b>76,693,576</b>    | <b>78,881,866</b>    |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 44,534             | 37,697             | 37,838             | 38,068               | 38,187               | 52,668               |
| Alcoholic Beverage Control, Division of                | 11,127             | 11,819             | 12,483             | 11,244               | 11,560               | 11,244               |
| Economic Development, Department of                    | 21,183             | 19,405             | 17,291             | 17,291               | 17,291               | 17,291               |
| Financial Services, Department of                      | 209,208            | 209,379            | 208,909            | 212,543              | 218,825              | 212,688              |
| Olympic Regional Development Authority                 | 2,736              | 2,736              | 8,933              | 5,886                | 5,886                | 5,886                |
| Public Service Department                              | 50,006             | 52,384             | 50,777             | 50,827               | 51,440               | 49,827               |
| <b>Functional Total</b>                                | <b>338,794</b>     | <b>333,420</b>     | <b>336,231</b>     | <b>335,859</b>       | <b>343,189</b>       | <b>349,604</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,535              | 4,468              | 4,469              | 4,414                | 4,572                | 4,414                |
| Environmental Conservation, Department of              | 225,085            | 212,775            | 208,217            | 204,773              | 216,247              | 209,602              |
| Parks, Recreation and Historic Preservation, Office of | 178,446            | 168,983            | 173,224            | 171,381              | 177,704              | 172,799              |
| <b>Functional Total</b>                                | <b>408,066</b>     | <b>386,226</b>     | <b>385,910</b>     | <b>380,568</b>       | <b>398,523</b>       | <b>386,815</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 49,560             | 49,142             | 60,826             | 61,060               | 62,091               | 61,772               |
| Transportation, Department of                          | 21,228             | 9,139              | 280,220            | 343,908              | 345,113              | 349,869              |
| <b>Functional Total</b>                                | <b>70,788</b>      | <b>58,281</b>      | <b>341,046</b>     | <b>404,968</b>       | <b>407,204</b>       | <b>411,641</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 1,231              | 1,200              | 1,232              | 1,232                | 1,275                | 1,232                |
| Health, Department of                                  | 688,514            | 741,299            | 812,135            | 788,709              | 783,886              | 781,582              |
| <i>Essential Plan</i>                                  | 43,837             | 87,638             | 86,723             | 84,224               | 78,757               | 76,397               |
| <i>Medicaid Administration</i>                         | 261,001            | 297,453            | 332,350            | 315,888              | 310,046              | 311,385              |
| <i>Public Health</i>                                   | 383,676            | 356,208            | 393,062            | 388,597              | 395,083              | 393,800              |
| Medicaid Inspector General, Office of the              | 20,489             | 18,282             | 18,111             | 18,111               | 18,718               | 18,111               |
| <b>Functional Total</b>                                | <b>710,234</b>     | <b>760,781</b>     | <b>831,478</b>     | <b>808,052</b>       | <b>803,879</b>       | <b>800,925</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 249,825            | 241,724            | 289,267            | 375,809              | 442,944              | 445,608              |
| <i>OCFS</i>  | 249,825            | 241,724            | 289,267            | 375,809              | 442,944              | 445,608              |
| Housing and Community Renewal, Division of             | 50,299             | 52,210             | 52,467             | 52,467               | 55,719               | 54,301               |
| Human Rights, Division of                              | 10,382             | 9,465              | 9,921              | 9,921                | 10,590               | 10,230               |
| Labor, Department of                                   | 47,669             | 49,642             | 46,519             | 46,519               | 49,256               | 48,280               |
| National and Community Service                         | 204                | 293                | 340                | 340                  | 349                  | 349                  |
| Temporary and Disability Assistance, Office of         | 137,626            | 122,874            | 127,152            | 133,483              | 137,976              | 140,696              |
| <i>All Other</i>                                       | 137,626            | 122,874            | 127,152            | 133,483              | 137,976              | 140,696              |
| <b>Functional Total</b>                                | <b>496,005</b>     | <b>476,208</b>     | <b>525,666</b>     | <b>618,539</b>       | <b>696,834</b>       | <b>699,464</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 82,405             | 81,472             | 83,635             | 85,760               | 88,261               | 88,633               |
| <i>OASAS</i>   | 34,313             | 31,964             | 39,951             | 40,876               | 41,935               | 41,580               |
| <i>OASAS - Other</i>                                   | 48,092             | 49,508             | 43,684             | 44,884               | 46,326               | 47,053               |
| Justice Center   | 38,190             | 38,868             | 41,456             | 43,254               | 45,156               | 44,496               |
| Mental Health, Office of                               | 1,344,266          | 1,350,460          | 1,341,644          | 1,362,617            | 1,399,180            | 1,433,508            |
| <i>OMH</i>   | 348,833            | 349,973            | 322,452            | 355,575              | 358,975              | 366,363              |
| <i>OMH - Other</i>                                     | 995,433            | 1,000,487          | 1,019,192          | 1,007,042            | 1,040,205            | 1,067,145            |
| Mental Hygiene, Department of                          | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 1,297,397          | 1,333,165          | 1,325,820          | 1,327,814            | 1,364,335            | 1,379,294            |
| <i>OPWDD</i>   | 63                 | 148                | 181                | 181                  | 181                  | 181                  |
| <i>OPWDD - Other</i>                                   | 1,297,334          | 1,333,017          | 1,325,639          | 1,327,633            | 1,364,154            | 1,379,113            |
| <b>Functional Total</b>                                | <b>2,762,485</b>   | <b>2,804,169</b>   | <b>2,792,555</b>   | <b>2,819,445</b>     | <b>2,896,932</b>     | <b>2,945,931</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 2,431              | 2,594              | 2,651              | 2,651                | 2,773                | 2,735                |
| Correctional Services, Department of                   | 2,640,662          | 2,627,945          | 2,606,181          | 2,618,554            | 2,624,184            | 2,615,554            |
| Criminal Justice Services, Division of                 | 35,193             | 36,502             | 36,813             | 36,813               | 37,788               | 37,610               |
| Homeland Security and Emergency Services, Division of  | 26,030             | 29,703             | 36,551             | 38,213               | 39,469               | 41,896               |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Indigent Legal Services, Office of                    | 2,171                      | 2,328                      | 3,815                      | 3,813                        | 3,879                        | 3,877                        |
| Judicial Conduct, Commission on                       | 5,505                      | 5,552                      | 5,696                      | 5,708                        | 5,936                        | 6,114                        |
| Judicial Nomination, Commission on                    | 10                         | 10                         | 30                         | 30                           | 30                           | 30                           |
| Judicial Screening Committees, New York State         | 9                          | 14                         | 38                         | 38                           | 38                           | 38                           |
| Military and Naval Affairs, Division of               | 26,799                     | 25,090                     | 22,376                     | 22,127                       | 22,423                       | 23,087                       |
| State Police, Division of                             | 720,382                    | 741,934                    | 730,538                    | 725,315                      | 750,894                      | 765,602                      |
| Statewide Financial System                            | 30,309                     | 30,137                     | 30,382                     | 30,506                       | 30,949                       | 31,117                       |
| Victim Services, Office of                            | 3,678                      | 3,714                      | 3,951                      | 3,951                        | 4,068                        | 4,030                        |
| <b>Functional Total</b>                               | <b>3,493,179</b>           | <b>3,505,523</b>           | <b>3,479,022</b>           | <b>3,487,719</b>             | <b>3,522,431</b>             | <b>3,531,690</b>             |
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 94,213                     | 102,720                    | 95,092                     | 96,477                       | 97,406                       | 98,346                       |
| Higher Education - Miscellaneous                      | 386                        | 256                        | 291                        | 291                          | 291                          | 291                          |
| Higher Education Services Corporation, New York State | 48,292                     | 41,751                     | 43,274                     | 43,274                       | 43,274                       | 43,274                       |
| State University of New York                          | 6,002,720                  | 6,080,264                  | 6,199,559                  | 6,261,362                    | 6,420,899                    | 6,382,236                    |
| <b>Functional Total</b>                               | <b>6,145,611</b>           | <b>6,224,991</b>           | <b>6,338,216</b>           | <b>6,401,404</b>             | <b>6,561,870</b>             | <b>6,524,147</b>             |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Arts, Council on the                                  | 3,925                      | 4,260                      | 4,320                      | 4,320                        | 4,416                        | 4,320                        |
| Education, Department of                              | 139,176                    | 144,872                    | 144,928                    | 142,548                      | 145,816                      | 142,550                      |
| <i>All Other</i>                                      | 139,176                    | 144,872                    | 144,928                    | 142,548                      | 145,816                      | 142,550                      |
| <b>Functional Total</b>                               | <b>143,101</b>             | <b>149,132</b>             | <b>149,248</b>             | <b>146,868</b>               | <b>150,232</b>               | <b>146,870</b>               |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 24,559                     | 26,600                     | 28,766                     | 27,939                       | 28,886                       | 27,939                       |
| Civil Service, Department of                          | 13,295                     | 14,795                     | 13,331                     | 13,441                       | 13,926                       | 13,545                       |
| Deferred Compensation Board                           | 418                        | 451                        | 641                        | 648                          | 649                          | 648                          |
| Elections, State Board of                             | 7,095                      | 7,763                      | 9,837                      | 10,147                       | 10,583                       | 10,054                       |
| Employee Relations, Office of                         | 2,334                      | 3,569                      | 2,601                      | 2,621                        | 2,719                        | 2,621                        |
| Gaming Commission, New York State                     | 138,467                    | 94,531                     | 80,728                     | 80,728                       | 82,255                       | 80,728                       |
| General Services, Office of                           | 160,812                    | 143,571                    | 137,021                    | 132,943                      | 131,745                      | 130,355                      |
| Inspector General, Office of the                      | 7,179                      | 7,166                      | 7,427                      | 7,487                        | 7,826                        | 8,061                        |
| Labor Management Committees                           | 28,818                     | 24,773                     | 31,300                     | 31,306                       | 31,517                       | 31,306                       |
| Prevention of Domestic Violence, Office for           | 1,500                      | 1,558                      | 1,664                      | 1,664                        | 1,736                        | 1,647                        |
| Public Employment Relations Board                     | 3,281                      | 3,260                      | 3,604                      | 3,634                        | 3,764                        | 3,634                        |
| Public Integrity, Commission on                       | 4,876                      | 5,115                      | 5,576                      | 5,630                        | 5,835                        | 6,010                        |
| State, Department of                                  | 47,676                     | 43,799                     | 41,020                     | 40,821                       | 41,933                       | 40,821                       |
| Tax Appeals, Division of                              | 2,833                      | 2,688                      | 3,040                      | 3,040                        | 3,150                        | 3,040                        |
| Taxation and Finance, Department of                   | 331,810                    | 321,015                    | 332,346                    | 331,091                      | 340,898                      | 330,482                      |
| Technology, Office for                                | 548,366                    | 535,977                    | 544,532                    | 540,286                      | 550,699                      | 557,255                      |
| Veterans' Affairs, Division of                        | 5,951                      | 5,885                      | 6,236                      | 6,236                        | 6,482                        | 6,417                        |
| Welfare Inspector General, Office of                  | 592                        | 671                        | 686                        | 701                          | 731                          | 753                          |
| Workers' Compensation Board                           | 138,722                    | 140,971                    | 143,323                    | 145,193                      | 150,513                      | 146,408                      |
| <b>Functional Total</b>                               | <b>1,468,584</b>           | <b>1,384,158</b>           | <b>1,393,679</b>           | <b>1,385,556</b>             | <b>1,415,847</b>             | <b>1,401,724</b>             |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 149,275                    | 146,719                    | 154,352                    | 154,535                      | 160,878                      | 155,196                      |
| Executive Chamber                                     | 14,653                     | 13,560                     | 13,578                     | 13,578                       | 14,032                       | 13,578                       |
| Judiciary   | 2,018,899                  | 2,080,909                  | 2,132,700                  | 2,121,200                    | 2,178,200                    | 2,121,200                    |
| Law, Department of                                    | 170,170                    | 183,513                    | 179,396                    | 181,842                      | 189,005                      | 182,949                      |
| Legislature   | 225,893                    | 223,372                    | 229,858                    | 234,436                      | 239,106                      | 243,869                      |
| Lieutenant Governor, Office of the                    | 510                        | 492                        | 614                        | 614                          | 634                          | 614                          |
| <b>Functional Total</b>                               | <b>2,579,400</b>           | <b>2,648,565</b>           | <b>2,710,498</b>           | <b>2,706,205</b>             | <b>2,781,855</b>             | <b>2,717,406</b>             |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                    |                            |                            |                            |                              |                              |                              |
| Aid and Incentives for Municipalities                 | 107                        | 131                        | 250                        | 0                            | 0                            | 0                            |
| <b>Functional Total</b>                               | <b>107</b>                 | <b>131</b>                 | <b>250</b>                 | <b>0</b>                     | <b>0</b>                     | <b>0</b>                     |



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ALL OTHER CATEGORIES</b>            |                            |                            |                            |                              |                              |                              |
| Long-Term Debt Service                 | 38,647                     | 41,887                     | 47,183                     | 47,183                       | 47,183                       | 47,183                       |
| Miscellaneous                          | 24,783                     | 47,660                     | 174,610                    | 288,022                      | 403,077                      | 403,077                      |
| <b>Functional Total</b>                | <u>63,430</u>              | <u>89,547</u>              | <u>221,793</u>             | <u>335,205</u>               | <u>450,260</u>               | <u>450,260</u>               |
| <b>TOTAL STATE OPERATIONS SPENDING</b> | <u>18,679,784</u>          | <u>18,821,132</u>          | <u>19,505,592</u>          | <u>19,830,388</u>            | <u>20,429,056</u>            | <u>20,366,477</u>            |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 30,523             | 30,802             | 29,423             | 29,428               | 30,543               | 29,428               |
| Alcoholic Beverage Control, Division of                | 7,742              | 8,131              | 8,147              | 8,585                | 8,901                | 8,585                |
| Economic Development, Department of                    | 13,152             | 13,243             | 12,929             | 12,929               | 12,929               | 12,929               |
| Financial Services, Department of                      | 151,522            | 154,146            | 156,697            | 157,475              | 163,459              | 157,616              |
| Olympic Regional Development Authority                 | 2,548              | 2,548              | 5,595              | 2,548                | 2,548                | 2,548                |
| Public Service Department                              | 41,485             | 42,867             | 42,100             | 42,100               | 43,711               | 42,100               |
| <b>Functional Total</b>                                | <b>246,972</b>     | <b>251,737</b>     | <b>254,891</b>     | <b>253,065</b>       | <b>262,091</b>       | <b>253,206</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 4,112              | 4,179              | 4,108              | 4,108                | 4,266                | 4,108                |
| Environmental Conservation, Department of              | 176,058            | 175,514            | 170,420            | 168,321              | 176,631              | 170,066              |
| Parks, Recreation and Historic Preservation, Office of | 135,346            | 130,555            | 133,829            | 132,271              | 138,592              | 133,687              |
| <b>Functional Total</b>                                | <b>315,516</b>     | <b>310,248</b>     | <b>308,357</b>     | <b>304,700</b>       | <b>319,489</b>       | <b>307,861</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 35,655             | 36,244             | 45,018             | 45,188               | 46,132               | 46,422               |
| Transportation, Department of                          | 6,497              | 2,803              | 161,818            | 170,555              | 170,693              | 170,555              |
| <b>Functional Total</b>                                | <b>42,152</b>      | <b>39,047</b>      | <b>206,836</b>     | <b>215,743</b>       | <b>216,825</b>       | <b>216,977</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 1,125              | 1,103              | 1,125              | 1,125                | 1,168                | 1,125                |
| Health, Department of                                  | 261,956            | 252,883            | 280,280            | 284,066              | 290,571              | 285,689              |
| <i>Essential Plan</i>                                  | 1,367              | 3,148              | 4,206              | 4,580                | 4,418                | 4,384                |
| <i>Medicaid Administration</i>                         | 36,908             | 37,196             | 51,113             | 54,086               | 57,098               | 55,666               |
| <i>Public Health</i>                                   | 223,681            | 212,539            | 224,961            | 225,400              | 229,055              | 225,639              |
| Medicaid Inspector General, Office of the              | 16,415             | 15,864             | 14,936             | 14,936               | 15,543               | 14,936               |
| <b>Functional Total</b>                                | <b>279,496</b>     | <b>269,850</b>     | <b>296,341</b>     | <b>300,127</b>       | <b>307,282</b>       | <b>301,750</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 169,024            | 170,908            | 192,365            | 261,476              | 315,232              | 315,341              |
| <i>OCFS</i>  | 169,024            | 170,908            | 192,365            | 261,476              | 315,232              | 315,341              |
| Housing and Community Renewal, Division of             | 42,730             | 41,535             | 42,828             | 42,828               | 45,650               | 44,074               |
| Human Rights, Division of                              | 9,533              | 8,662              | 9,461              | 9,461                | 10,121               | 9,752                |
| Labor, Department of                                   | 34,150             | 34,323             | 32,618             | 32,618               | 34,864               | 33,620               |
| National and Community Service                         | 203                | 292                | 331                | 331                  | 340                  | 340                  |
| Temporary and Disability Assistance, Office of         | 73,429             | 64,895             | 68,444             | 73,603               | 76,972               | 78,522               |
| <i>All Other</i>                                       | 73,429             | 64,895             | 68,444             | 73,603               | 76,972               | 78,522               |
| <b>Functional Total</b>                                | <b>329,069</b>     | <b>320,615</b>     | <b>346,047</b>     | <b>420,317</b>       | <b>483,179</b>       | <b>481,649</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 54,557             | 56,428             | 59,954             | 60,914               | 62,716               | 62,362               |
| <i>OASAS</i>   | 19,701             | 20,354             | 26,697             | 27,133               | 27,919               | 27,270               |
| <i>OASAS - Other</i>                                   | 34,856             | 36,074             | 33,257             | 33,781               | 34,797               | 35,092               |
| Justice Center   | 29,240             | 31,243             | 32,769             | 34,123               | 35,762               | 34,832               |
| Mental Health, Office of                               | 1,072,564          | 1,070,401          | 1,086,975          | 1,096,051            | 1,119,765            | 1,130,950            |
| <i>OMH</i>   | 288,507            | 287,281            | 270,088            | 311,124              | 314,124              | 320,174              |
| <i>OMH - Other</i>                                     | 784,057            | 783,120            | 816,887            | 784,927              | 805,641              | 810,776              |
| People with Developmental Disabilities, Office for     | 1,092,002          | 1,129,874          | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| <i>OPWDD</i>   | 0                  | 124                | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 1,092,002          | 1,129,750          | 1,137,939          | 1,145,989            | 1,177,306            | 1,186,856            |
| <b>Functional Total</b>                                | <b>2,248,363</b>   | <b>2,287,946</b>   | <b>2,317,637</b>   | <b>2,337,077</b>     | <b>2,395,549</b>     | <b>2,415,000</b>     |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 2,234              | 2,316              | 2,414              | 2,414                | 2,531                | 2,488                |
| Correctional Services, Department of                   | 2,113,404          | 2,098,499          | 2,042,832          | 2,056,336            | 2,061,966            | 2,056,336            |
| Criminal Justice Services, Division of                 | 26,998             | 26,993             | 27,582             | 27,582               | 28,557               | 28,113               |
| Homeland Security and Emergency Services, Division of  | 16,748             | 16,362             | 23,313             | 29,610               | 30,856               | 32,215               |
| Indigent Legal Services, Office of                     | 1,678              | 1,873              | 2,982              | 2,982                | 3,048                | 3,034                |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Judicial Conduct, Commission on                       | 4,181              | 4,238              | 4,312              | 4,347                | 4,548                | 4,483                |
| Military and Naval Affairs, Division of               | 17,164             | 15,083             | 14,322             | 14,322               | 14,618               | 14,692               |
| State Police, Division of                             | 649,718            | 677,367            | 668,551            | 665,095              | 690,605              | 703,242              |
| Statewide Financial System                            | 11,045             | 11,094             | 11,758             | 11,882               | 12,325               | 12,121               |
| Victim Services, Office of                            | 3,145              | 3,184              | 3,176              | 3,176                | 3,293                | 3,242                |
| <b>Functional Total</b>                               | <b>2,846,315</b>   | <b>2,857,009</b>   | <b>2,801,242</b>   | <b>2,817,746</b>     | <b>2,852,347</b>     | <b>2,859,966</b>     |
| <b>HIGHER EDUCATION</b>                               |                    |                    |                    |                      |                      |                      |
| City University of New York                           | 48,975             | 52,623             | 48,148             | 48,594               | 49,045               | 49,501               |
| Higher Education - Miscellaneous                      | 283                | 169                | 198                | 198                  | 198                  | 198                  |
| Higher Education Services Corporation, New York State | 14,475             | 13,380             | 12,916             | 12,916               | 12,916               | 12,916               |
| State University of New York                          | 3,766,631          | 3,753,286          | 3,973,548          | 4,014,523            | 4,153,020            | 4,093,105            |
| <b>Functional Total</b>                               | <b>3,830,364</b>   | <b>3,819,458</b>   | <b>4,034,810</b>   | <b>4,076,231</b>     | <b>4,215,179</b>     | <b>4,155,720</b>     |
| <b>EDUCATION</b>                                      |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                                  | 2,491              | 2,626              | 2,498              | 2,498                | 2,594                | 2,498                |
| Education, Department of                              | 86,602             | 90,372             | 88,090             | 87,774               | 90,983               | 87,774               |
| <i>All Other</i>                                      | 86,602             | 90,372             | 88,090             | 87,774               | 90,983               | 87,774               |
| <b>Functional Total</b>                               | <b>89,093</b>      | <b>92,998</b>      | <b>90,588</b>      | <b>90,272</b>        | <b>93,577</b>        | <b>90,272</b>        |
| <b>GENERAL GOVERNMENT</b>                             |                    |                    |                    |                      |                      |                      |
| Budget, Division of the                               | 20,619             | 21,683             | 24,567             | 24,567               | 25,511               | 24,567               |
| Civil Service, Department of                          | 12,212             | 14,053             | 12,497             | 12,591               | 13,075               | 12,685               |
| Deferred Compensation Board                           | 368                | 408                | 410                | 413                  | 414                  | 413                  |
| Elections, State Board of                             | 5,765              | 6,077              | 6,143              | 6,288                | 6,571                | 6,478                |
| Employee Relations, Office of                         | 2,269              | 2,515              | 2,529              | 2,548                | 2,646                | 2,548                |
| Gaming Commission, New York State                     | 32,822             | 34,862             | 38,757             | 38,757               | 40,248               | 38,757               |
| General Services, Office of                           | 77,580             | 67,107             | 48,450             | 48,450               | 51,875               | 49,458               |
| Inspector General, Office of the                      | 5,833              | 6,223              | 6,600              | 6,648                | 6,970                | 6,857                |
| Labor Management Committees                           | 7,871              | 7,747              | 5,446              | 5,487                | 5,698                | 5,487                |
| Prevention of Domestic Violence, Office for           | 1,338              | 1,412              | 1,469              | 1,491                | 1,524                | 1,431                |
| Public Employment Relations Board                     | 3,056              | 3,059              | 3,313              | 3,338                | 3,468                | 3,338                |
| Public Integrity, Commission on                       | 3,808              | 4,386              | 4,646              | 4,681                | 4,867                | 4,827                |
| State, Department of                                  | 29,588             | 29,257             | 27,774             | 27,724               | 28,812               | 27,724               |
| Tax Appeals, Division of                              | 2,604              | 2,541              | 2,870              | 2,870                | 2,980                | 2,870                |
| Taxation and Finance, Department of                   | 284,526            | 275,658            | 264,119            | 264,323              | 273,392              | 264,338              |
| Technology, Office for                                | 298,543            | 289,994            | 286,118            | 291,603              | 308,070              | 303,412              |
| Veterans' Affairs, Division of                        | 5,086              | 5,512              | 5,938              | 5,938                | 6,178                | 6,107                |
| Welfare Inspector General, Office of                  | 565                | 619                | 621                | 626                  | 654                  | 646                  |
| Workers' Compensation Board                           | 82,632             | 85,117             | 84,993             | 85,604               | 89,709               | 85,604               |
| <b>Functional Total</b>                               | <b>877,085</b>     | <b>858,230</b>     | <b>827,260</b>     | <b>833,947</b>       | <b>872,662</b>       | <b>847,547</b>       |
| <b>ELECTED OFFICIALS</b>                              |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                      | 115,964            | 119,019            | 123,015            | 123,093              | 128,775              | 123,093              |
| Executive Chamber                                     | 10,746             | 9,979              | 11,113             | 11,113               | 11,567               | 11,113               |
| Judiciary   | 1,568,100          | 1,624,889          | 1,667,500          | 1,656,000            | 1,713,000            | 1,656,000            |
| Law, Department of                                    | 120,219            | 132,481            | 126,309            | 127,662              | 133,718              | 127,662              |
| Legislature   | 170,623            | 174,350            | 175,541            | 179,052              | 182,633              | 186,286              |
| Lieutenant Governor, Office of the                    | 364                | 382                | 523                | 523                  | 543                  | 523                  |
| <b>Functional Total</b>                               | <b>1,986,016</b>   | <b>2,061,100</b>   | <b>2,104,001</b>   | <b>2,097,443</b>     | <b>2,170,236</b>     | <b>2,104,677</b>     |
| <b>ALL OTHER CATEGORIES</b>                           |                    |                    |                    |                      |                      |                      |
| Miscellaneous   | 1,939              | 2,194              | 128,275            | 243,285              | 358,340              | 358,340              |
| <b>Functional Total</b>                               | <b>1,939</b>       | <b>2,194</b>       | <b>128,275</b>     | <b>243,285</b>       | <b>358,340</b>       | <b>358,340</b>       |
| <b>TOTAL PERSONAL SERVICE SPENDING</b>                | <b>13,092,380</b>  | <b>13,170,432</b>  | <b>13,716,285</b>  | <b>13,989,953</b>    | <b>14,546,756</b>    | <b>14,392,965</b>    |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 14,011             | 6,895              | 8,415              | 8,640                | 7,644                | 23,240               |
| Alcoholic Beverage Control, Division of                | 3,385              | 3,688              | 4,336              | 2,659                | 2,659                | 2,659                |
| Economic Development, Department of                    | 8,031              | 6,162              | 4,362              | 4,362                | 4,362                | 4,362                |
| Financial Services, Department of                      | 57,686             | 55,233             | 52,212             | 55,068               | 55,366               | 55,072               |
| Olympic Regional Development Authority                 | 188                | 188                | 3,338              | 3,338                | 3,338                | 3,338                |
| Public Service Department                              | 8,521              | 9,517              | 8,677              | 8,727                | 7,729                | 7,727                |
| <b>Functional Total</b>                                | <b>91,822</b>      | <b>81,683</b>      | <b>81,340</b>      | <b>82,794</b>        | <b>81,098</b>        | <b>96,398</b>        |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 423                | 289                | 361                | 306                  | 306                  | 306                  |
| Environmental Conservation, Department of              | 49,027             | 37,261             | 37,797             | 36,452               | 39,616               | 39,536               |
| Parks, Recreation and Historic Preservation, Office of | 43,100             | 38,428             | 39,395             | 39,110               | 39,112               | 39,112               |
| <b>Functional Total</b>                                | <b>92,550</b>      | <b>75,978</b>      | <b>77,553</b>      | <b>75,868</b>        | <b>79,034</b>        | <b>78,954</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 13,905             | 12,898             | 15,808             | 15,872               | 15,959               | 15,350               |
| Transportation, Department of                          | 14,731             | 6,336              | 118,402            | 173,353              | 174,420              | 179,314              |
| <b>Functional Total</b>                                | <b>28,636</b>      | <b>19,234</b>      | <b>134,210</b>     | <b>189,225</b>       | <b>190,379</b>       | <b>194,664</b>       |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 106                | 97                 | 107                | 107                  | 107                  | 107                  |
| Health, Department of                                  | 426,558            | 488,416            | 531,855            | 504,643              | 493,315              | 495,893              |
| <i>Essential Plan</i>                                  | 42,470             | 84,490             | 82,517             | 79,644               | 74,339               | 72,013               |
| <i>Medicaid Administration</i>                         | 224,093            | 260,257            | 281,237            | 261,802              | 252,948              | 255,719              |
| <i>Public Health</i>                                   | 159,995            | 143,669            | 168,101            | 163,197              | 166,028              | 168,161              |
| Medicaid Inspector General, Office of the              | 4,074              | 2,418              | 3,175              | 3,175                | 3,175                | 3,175                |
| <b>Functional Total</b>                                | <b>430,738</b>     | <b>490,931</b>     | <b>535,137</b>     | <b>507,925</b>       | <b>496,597</b>       | <b>499,175</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 80,801             | 70,816             | 96,902             | 114,333              | 127,712              | 130,267              |
| <i>OCFS</i>  | 80,801             | 70,816             | 96,902             | 114,333              | 127,712              | 130,267              |
| Housing and Community Renewal, Division of             | 7,569              | 10,675             | 9,639              | 9,639                | 10,069               | 10,227               |
| Human Rights, Division of                              | 849                | 803                | 460                | 460                  | 469                  | 478                  |
| Labor, Department of                                   | 13,519             | 15,319             | 13,901             | 13,901               | 14,392               | 14,660               |
| National and Community Service                         | 1                  | 1                  | 9                  | 9                    | 9                    | 9                    |
| Temporary and Disability Assistance, Office of         | 64,197             | 57,979             | 58,708             | 59,880               | 61,004               | 62,174               |
| <i>All Other</i>                                       | 64,197             | 57,979             | 58,708             | 59,880               | 61,004               | 62,174               |
| <b>Functional Total</b>                                | <b>166,936</b>     | <b>155,593</b>     | <b>179,619</b>     | <b>198,222</b>       | <b>213,655</b>       | <b>217,815</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 27,848             | 25,044             | 23,681             | 24,846               | 25,545               | 26,271               |
| <i>OASAS</i>   | 14,612             | 11,610             | 13,254             | 13,743               | 14,016               | 14,310               |
| <i>OASAS - Other</i>                                   | 13,236             | 13,434             | 10,427             | 11,103               | 11,529               | 11,961               |
| Justice Center   | 8,950              | 7,625              | 8,687              | 9,131                | 9,394                | 9,664                |
| Mental Health, Office of                               | 271,702            | 280,059            | 254,669            | 266,566              | 279,415              | 302,558              |
| <i>OMH</i>   | 60,326             | 62,692             | 52,364             | 44,451               | 44,851               | 46,189               |
| <i>OMH - Other</i>                                     | 211,376            | 217,367            | 202,305            | 222,115              | 234,564              | 256,369              |
| Mental Hygiene, Department of                          | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 205,395            | 203,291            | 187,881            | 181,825              | 187,029              | 192,438              |
| <i>OPWDD</i>   | 63                 | 24                 | 181                | 181                  | 181                  | 181                  |
| <i>OPWDD - Other</i>                                   | 205,332            | 203,267            | 187,700            | 181,644              | 186,848              | 192,257              |
| <b>Functional Total</b>                                | <b>514,122</b>     | <b>516,223</b>     | <b>474,918</b>     | <b>482,368</b>       | <b>501,383</b>       | <b>530,931</b>       |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correction, Commission of                              | 197                | 278                | 237                | 237                  | 242                  | 247                  |
| Correctional Services, Department of                   | 527,258            | 529,446            | 563,349            | 562,218              | 562,218              | 559,218              |
| Criminal Justice Services, Division of                 | 8,195              | 9,509              | 9,231              | 9,231                | 9,231                | 9,497                |
| Homeland Security and Emergency Services, Division of  | 9,282              | 13,341             | 13,238             | 8,603                | 8,613                | 9,681                |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|   | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|---|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| Indigent Legal Services, Office of                    | 493                | 455                | 833                | 831                  | 831                  | 843                  |
| Judicial Conduct, Commission on                       | 1,324              | 1,314              | 1,384              | 1,361                | 1,388                | 1,631                |
| Judicial Nomination, Commission on                    | 10                 | 10                 | 30                 | 30                   | 30                   | 30                   |
| Judicial Screening Committees, New York State         | 9                  | 14                 | 38                 | 38                   | 38                   | 38                   |
| Military and Naval Affairs, Division of               | 9,635              | 10,007             | 8,054              | 7,805                | 7,805                | 8,395                |
| State Police, Division of                             | 70,664             | 64,567             | 61,987             | 60,220               | 60,289               | 62,360               |
| Statewide Financial System                            | 19,264             | 19,043             | 18,624             | 18,624               | 18,624               | 18,996               |
| Victim Services, Office of                            | 533                | 530                | 775                | 775                  | 775                  | 788                  |
| <b>Functional Total</b>                               | <b>646,864</b>     | <b>648,514</b>     | <b>677,780</b>     | <b>669,973</b>       | <b>670,084</b>       | <b>671,724</b>       |
| <b>HIGHER EDUCATION</b>                               |                    |                    |                    |                      |                      |                      |
| City University of New York                           | 45,238             | 50,097             | 46,944             | 47,883               | 48,361               | 48,845               |
| Higher Education - Miscellaneous                      | 103                | 87                 | 93                 | 93                   | 93                   | 93                   |
| Higher Education Services Corporation, New York State | 33,817             | 28,371             | 30,358             | 30,358               | 30,358               | 30,358               |
| State University of New York                          | 2,236,089          | 2,326,978          | 2,226,011          | 2,246,839            | 2,267,879            | 2,289,131            |
| <b>Functional Total</b>                               | <b>2,315,247</b>   | <b>2,405,533</b>   | <b>2,303,406</b>   | <b>2,325,173</b>     | <b>2,346,691</b>     | <b>2,368,427</b>     |
| <b>EDUCATION</b>                                      |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                                  | 1,434              | 1,634              | 1,822              | 1,822                | 1,822                | 1,822                |
| Education, Department of                              | 52,574             | 54,500             | 56,838             | 54,774               | 54,833               | 54,776               |
| <i>All Other</i>                                      | 52,574             | 54,500             | 56,838             | 54,774               | 54,833               | 54,776               |
| <b>Functional Total</b>                               | <b>54,008</b>      | <b>56,134</b>      | <b>58,660</b>      | <b>56,596</b>        | <b>56,655</b>        | <b>56,598</b>        |
| <b>GENERAL GOVERNMENT</b>                             |                    |                    |                    |                      |                      |                      |
| Budget, Division of the                               | 3,940              | 4,917              | 4,199              | 3,372                | 3,375                | 3,372                |
| Civil Service, Department of                          | 1,083              | 742                | 834                | 850                  | 851                  | 860                  |
| Deferred Compensation Board                           | 50                 | 43                 | 231                | 235                  | 235                  | 235                  |
| Elections, State Board of                             | 1,330              | 1,686              | 3,694              | 3,859                | 4,012                | 3,576                |
| Employee Relations, Office of                         | 65                 | 1,054              | 72                 | 73                   | 73                   | 73                   |
| Gaming Commission, New York State                     | 105,645            | 59,669             | 41,971             | 41,971               | 42,007               | 41,971               |
| General Services, Office of                           | 83,232             | 76,464             | 88,571             | 84,493               | 79,870               | 80,897               |
| Inspector General, Office of the                      | 1,346              | 943                | 827                | 839                  | 856                  | 1,204                |
| Labor Management Committees                           | 20,947             | 17,026             | 25,854             | 25,819               | 25,819               | 25,819               |
| Prevention of Domestic Violence, Office for           | 162                | 146                | 195                | 173                  | 212                  | 216                  |
| Public Employment Relations Board                     | 225                | 201                | 291                | 296                  | 296                  | 296                  |
| Public Integrity, Commission on                       | 1,068              | 729                | 930                | 949                  | 968                  | 1,183                |
| State, Department of                                  | 18,088             | 14,542             | 13,246             | 13,097               | 13,121               | 13,097               |
| Tax Appeals, Division of                              | 229                | 147                | 170                | 170                  | 170                  | 170                  |
| Taxation and Finance, Department of                   | 47,284             | 45,357             | 68,227             | 66,768               | 67,506               | 66,144               |
| Technology, Office for                                | 249,823            | 245,983            | 258,414            | 248,683              | 242,629              | 253,843              |
| Veterans' Affairs, Division of                        | 865                | 373                | 298                | 298                  | 304                  | 310                  |
| Welfare Inspector General, Office of                  | 27                 | 52                 | 65                 | 75                   | 77                   | 107                  |
| Workers' Compensation Board                           | 56,090             | 55,854             | 58,330             | 59,589               | 60,804               | 60,804               |
| <b>Functional Total</b>                               | <b>591,499</b>     | <b>525,928</b>     | <b>566,419</b>     | <b>551,609</b>       | <b>543,185</b>       | <b>554,177</b>       |
| <b>ELECTED OFFICIALS</b>                              |                    |                    |                    |                      |                      |                      |
| Audit and Control, Department of                      | 33,311             | 27,700             | 31,337             | 31,442               | 32,103               | 32,103               |
| Executive Chamber                                     | 3,907              | 3,581              | 2,465              | 2,465                | 2,465                | 2,465                |
| Judiciary   | 450,799            | 456,020            | 465,200            | 465,200              | 465,200              | 465,200              |
| Law, Department of                                    | 49,951             | 51,032             | 53,087             | 54,180               | 55,287               | 55,287               |
| Legislature   | 55,270             | 49,022             | 54,317             | 55,384               | 56,473               | 57,583               |
| Lieutenant Governor, Office of the                    | 146                | 110                | 91                 | 91                   | 91                   | 91                   |
| <b>Functional Total</b>                               | <b>593,384</b>     | <b>587,465</b>     | <b>606,497</b>     | <b>608,762</b>       | <b>611,619</b>       | <b>612,729</b>       |
| <b>LOCAL GOVERNMENT ASSISTANCE</b>                    |                    |                    |                    |                      |                      |                      |
| Aid and Incentives for Municipalities                 | 107                | 131                | 250                | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                               | <b>107</b>         | <b>131</b>         | <b>250</b>         | <b>0</b>             | <b>0</b>             | <b>0</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|   | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ALL OTHER CATEGORIES</b>                               |                            |                            |                            |                              |                              |                              |
| Long-Term Debt Service                                    | 38,647                     | 41,887                     | 47,183                     | 47,183                       | 47,183                       | 47,183                       |
| Miscellaneous   | 22,844                     | 45,466                     | 46,335                     | 44,737                       | 44,737                       | 44,737                       |
| <b>Functional Total</b>                                   | <u>61,491</u>              | <u>87,353</u>              | <u>93,518</u>              | <u>91,920</u>                | <u>91,920</u>                | <u>91,920</u>                |
| <b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b> | <u>5,587,404</u>           | <u>5,650,700</u>           | <u>5,789,307</u>           | <u>5,840,435</u>             | <u>5,882,300</u>             | <u>5,973,512</u>             |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 1,719              | 1,255              | 1,891              | 1,960                | 2,128                | 2,191                |
| Alcoholic Beverage Control, Division of                | 121                | 0                  | 0                  | 0                    | 0                    | 0                    |
| Economic Development, Department of                    | 0                  | 2                  | 28                 | 28                   | 28                   | 28                   |
| Financial Services, Department of                      | 86,652             | 90,924             | 100,172            | 104,142              | 116,795              | 116,569              |
| Public Service Department                              | 25,523             | 32,395             | 26,761             | 27,682               | 29,298               | 30,958               |
| <b>Functional Total</b>                                | <b>114,015</b>     | <b>124,576</b>     | <b>128,852</b>     | <b>133,812</b>       | <b>148,249</b>       | <b>149,746</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 47,714             | 45,573             | 51,400             | 44,993               | 43,542               | 42,093               |
| Parks, Recreation and Historic Preservation, Office of | 2,972              | 3,264              | 3,152              | 3,160                | 3,307                | 3,341                |
| <b>Functional Total</b>                                | <b>50,686</b>      | <b>48,837</b>      | <b>54,552</b>      | <b>48,153</b>        | <b>46,849</b>        | <b>45,434</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 20,473             | 20,986             | 23,315             | 24,118               | 25,963               | 26,615               |
| Transportation, Department of                          | 3,750              | 1,720              | 2,145              | 2,264                | 2,453                | 2,497                |
| <b>Functional Total</b>                                | <b>24,223</b>      | <b>22,706</b>      | <b>25,460</b>      | <b>26,382</b>        | <b>28,416</b>        | <b>29,112</b>        |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 30,543             | 31,713             | 37,680             | 34,079               | 35,857               | 37,142               |
| <i>Public Health</i>                                   | 30,543             | 31,713             | 37,680             | 34,079               | 35,857               | 37,142               |
| <b>Functional Total</b>                                | <b>30,543</b>      | <b>31,713</b>      | <b>37,680</b>      | <b>34,079</b>        | <b>35,857</b>        | <b>37,142</b>        |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 1,656              | 1,658              | 2,043              | 1,794                | 1,824                | 1,824                |
| <i>OCFS</i>  | 1,656              | 1,658              | 2,043              | 1,794                | 1,824                | 1,824                |
| Housing and Community Renewal, Division of             | 5,449              | 16,045             | 23,120             | 23,120               | 23,120               | 26,349               |
| Labor, Department of                                   | 19,497             | 20,895             | 20,487             | 20,487               | 20,487               | 23,005               |
| Temporary and Disability Assistance, Office of         | 122                | 12                 | 128                | 128                  | 128                  | 128                  |
| <i>All Other</i>                                       | 122                | 12                 | 128                | 128                  | 128                  | 128                  |
| <b>Functional Total</b>                                | <b>26,724</b>      | <b>38,610</b>      | <b>45,778</b>      | <b>45,529</b>        | <b>45,559</b>        | <b>51,306</b>        |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 33,726             | 36,956             | 0                  | 0                    | 0                    | 0                    |
| <i>OASAS</i>   | 13,803             | 14,792             | 0                  | 0                    | 0                    | 0                    |
| <i>OASAS - Other</i>                                   | 19,923             | 22,164             | 0                  | 0                    | 0                    | 0                    |
| Justice Center   | 850                | 649                | 874                | 929                  | 993                  | 1,060                |
| Mental Health, Office of                               | 621,496            | 650,727            | 0                  | 0                    | 0                    | 0                    |
| <i>OMH</i>   | 192,230            | 193,397            | 0                  | 0                    | 0                    | 0                    |
| <i>OMH - Other</i>                                     | 429,266            | 457,330            | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                                | <b>1,293,272</b>   | <b>1,372,133</b>   | <b>874</b>         | <b>929</b>           | <b>993</b>           | <b>1,060</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 101                | 117                | 106                | 106                  | 106                  | 106                  |
| Criminal Justice Services, Division of                 | 33                 | 35                 | 35                 | 35                   | 35                   | 35                   |
| Homeland Security and Emergency Services, Division of  | 666                | 615                | 809                | 817                  | 835                  | 905                  |
| Indigent Legal Services, Office of                     | 967                | 1,069              | 1,906              | 2,003                | 2,117                | 2,239                |
| Military and Naval Affairs, Division of                | 9                  | 6                  | 59                 | 9                    | 9                    | 10                   |
| State Police, Division of                              | 18,111             | 14,072             | 23,900             | 24,810               | 25,153               | 25,323               |
| Victim Services, Office of                             | 1,570              | 1,638              | 1,650              | 1,650                | 1,650                | 1,683                |
| <b>Functional Total</b>                                | <b>21,457</b>      | <b>17,552</b>      | <b>28,465</b>      | <b>29,430</b>        | <b>29,905</b>        | <b>30,301</b>        |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 7,661                      | 145                        | 150                        | 150                          | 150                          | 150                          |
| Higher Education - Miscellaneous                      | 143                        | 81                         | 150                        | 150                          | 150                          | 150                          |
| Higher Education Services Corporation, New York State | 8,524                      | 8,131                      | 9,020                      | 9,020                        | 9,020                        | 9,020                        |
| State University of New York                          | 420,283                    | 430,860                    | 485,071                    | 500,566                      | 514,120                      | 504,273                      |
| <b>Functional Total</b>                               | <b>436,611</b>             | <b>439,217</b>             | <b>494,391</b>             | <b>509,886</b>               | <b>523,440</b>               | <b>513,593</b>               |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Education, Department of                              | 32,142                     | 34,543                     | 37,463                     | 38,792                       | 42,452                       | 43,195                       |
| <i>All Other</i>                                      | 32,142                     | 34,543                     | 37,463                     | 38,792                       | 42,452                       | 43,195                       |
| <b>Functional Total</b>                               | <b>32,142</b>              | <b>34,543</b>              | <b>37,463</b>              | <b>38,792</b>                | <b>42,452</b>                | <b>43,195</b>                |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 776                        | 712                        | 1,657                      | 1,657                        | 1,717                        | 1,657                        |
| Civil Service, Department of                          | 84                         | 180                        | 229                        | 239                          | 263                          | 270                          |
| Deferred Compensation Board                           | 195                        | 224                        | 205                        | 205                          | 205                          | 205                          |
| Gaming Commission, New York State                     | 12,777                     | 16,540                     | 17,575                     | 17,575                       | 18,465                       | 17,575                       |
| General Services, Office of                           | 407                        | 369                        | 548                        | 567                          | 627                          | 644                          |
| State, Department of                                  | 9,623                      | 10,433                     | 12,110                     | 12,524                       | 13,743                       | 14,003                       |
| Taxation and Finance, Department of                   | 25,017                     | 22,635                     | 27,264                     | 27,063                       | 28,183                       | 27,072                       |
| Workers' Compensation Board                           | 48,919                     | 52,395                     | 53,220                     | 53,402                       | 57,863                       | 57,863                       |
| <b>Functional Total</b>                               | <b>97,798</b>              | <b>103,488</b>             | <b>112,808</b>             | <b>113,232</b>               | <b>121,066</b>               | <b>119,289</b>               |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 1,605                      | 1,677                      | 2,135                      | 2,135                        | 2,167                        | 2,167                        |
| Judiciary   | 702,163                    | 750,196                    | 774,229                    | 785,729                      | 785,729                      | 785,729                      |
| Law, Department of                                    | 14,731                     | 16,578                     | 19,302                     | 19,579                       | 20,612                       | 20,612                       |
| <b>Functional Total</b>                               | <b>718,499</b>             | <b>768,451</b>             | <b>795,666</b>             | <b>807,443</b>               | <b>808,508</b>               | <b>808,508</b>               |
| <b>ALL OTHER CATEGORIES</b>                           |                            |                            |                            |                              |                              |                              |
| General State Charges                                 | 4,782,943                  | 4,842,815                  | 6,642,283                  | 7,020,211                    | 7,558,961                    | 8,129,073                    |
| Miscellaneous   | 5,412                      | 8,445                      | 5,747                      | 5,749                        | 5,761                        | 5,766                        |
| <b>Functional Total</b>                               | <b>4,788,355</b>           | <b>4,851,260</b>           | <b>6,648,030</b>           | <b>7,025,960</b>             | <b>7,564,722</b>             | <b>8,134,839</b>             |
| <b>TOTAL GENERAL STATE CHARGES SPENDING</b>           | <b>7,634,325</b>           | <b>7,853,086</b>           | <b>8,410,019</b>           | <b>8,813,627</b>             | <b>9,396,016</b>             | <b>9,963,525</b>             |



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 4,337              | 8,264              | 64,699             | 16,915               | 5,415                | 5,415                |
| Economic Development Capital                           | 0                  | 0                  | 22,587             | 21,600               | 20,862               | 30,862               |
| Economic Development, Department of                    | 17                 | 10                 | 13,977             | 0                    | 5,525                | 0                    |
| Empire State Development Corporation                   | 75,861             | 71,126             | 287,752            | 692,907              | 744,568              | 414,871              |
| Energy Research and Development Authority              | 14,545             | 17,109             | 22,877             | 17,595               | 15,720               | 14,001               |
| Olympic Regional Development Authority                 | 7,500              | 38,000             | 50,000             | 20,000               | 10,000               | 10,000               |
| Power Authority, New York                              | 0                  | 160                | 13,750             | 29,000               | 32,700               | 2,000                |
| Regional Economic Development Program                  | 0                  | 0                  | 3,500              | 337                  | 337                  | 337                  |
| Strategic Investment Program                           | 0                  | 0                  | 7,002              | 6,650                | 11,046               | 6,650                |
| <b>Functional Total</b>                                | <b>102,260</b>     | <b>134,669</b>     | <b>486,144</b>     | <b>805,004</b>       | <b>846,173</b>       | <b>484,136</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 311,761            | 311,361            | 711,157            | 560,253              | 568,509              | 598,785              |
| Hudson River Park Trust                                | 0                  | 0                  | 0                  | 5,000                | 10,000               | 10,000               |
| Parks, Recreation and Historic Preservation, Office of | 148,714            | 174,151            | 169,903            | 171,732              | 156,914              | 157,364              |
| <b>Functional Total</b>                                | <b>460,475</b>     | <b>485,512</b>     | <b>881,060</b>     | <b>736,985</b>       | <b>735,423</b>       | <b>766,149</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 217,104            | 221,992            | 228,495            | 231,724              | 243,144              | 224,758              |
| Transportation, Department of                          | 2,214,421          | 2,362,008          | 2,369,414          | 2,420,587            | 2,624,971            | 2,680,231            |
| <b>Functional Total</b>                                | <b>2,431,525</b>   | <b>2,584,000</b>   | <b>2,597,909</b>   | <b>2,652,311</b>     | <b>2,868,115</b>     | <b>2,904,989</b>     |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 35,177             | 47,372             | 106,055            | 106,055              | 54,055               | 54,055               |
| <i>Public Health</i>                                   | 35,177             | 47,372             | 106,055            | 106,055              | 54,055               | 54,055               |
| <b>Functional Total</b>                                | <b>35,177</b>      | <b>47,372</b>      | <b>106,055</b>     | <b>106,055</b>       | <b>54,055</b>        | <b>54,055</b>        |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 24,392             | 41,259             | 68,695             | 22,872               | 23,050               | 22,906               |
| <i>OCFS</i>  | 24,392             | 41,259             | 68,695             | 22,872               | 23,050               | 22,906               |
| Nonprofit Infrastructure Capital Investment Program    | 0                  | 0                  | 47,500             | 44,500               | 16,049               | 5,000                |
| Temporary and Disability Assistance, Office of         | 346                | 801                | 800                | 800                  | 800                  | 800                  |
| <i>All Other</i>                                       | 346                | 801                | 800                | 800                  | 800                  | 800                  |
| <b>Functional Total</b>                                | <b>24,738</b>      | <b>42,060</b>      | <b>116,995</b>     | <b>68,172</b>        | <b>39,899</b>        | <b>28,706</b>        |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 1,066              | 1,807              | 2,849              | 2,604                | 2,662                | 2,710                |
| <i>OASAS</i>   | 1,066              | 1,807              | 2,849              | 2,604                | 2,662                | 2,710                |
| Mental Health, Office of                               | 139,970            | 214,774            | 198,144            | 175,607              | 182,122              | 209,215              |
| <i>OMH</i>   | 139,970            | 214,774            | 198,144            | 175,607              | 182,122              | 209,215              |
| People with Developmental Disabilities, Office for     | 69,053             | 70,009             | 88,698             | 89,291               | 83,437               | 84,657               |
| <i>OPWDD</i>   | 69,053             | 70,009             | 88,698             | 89,291               | 83,437               | 84,657               |
| <b>Functional Total</b>                                | <b>210,089</b>     | <b>286,590</b>     | <b>289,691</b>     | <b>267,502</b>       | <b>268,221</b>       | <b>296,582</b>       |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 303,275            | 355,744            | 378,637            | 342,168              | 316,496              | 316,985              |
| Homeland Security and Emergency Services, Division of  | 8,445              | 28,671             | 23,180             | 17,156               | 7,000                | 7,000                |
| Military and Naval Affairs, Division of                | 35,395             | 32,247             | 21,692             | 40,313               | 22,844               | 16,244               |
| State Police, Division of                              | 31,235             | 72,903             | 44,725             | 42,684               | 52,753               | 51,488               |
| <b>Functional Total</b>                                | <b>378,350</b>     | <b>489,565</b>     | <b>468,234</b>     | <b>442,321</b>       | <b>399,093</b>       | <b>391,717</b>       |
| <b>HIGHER EDUCATION</b>                                |                    |                    |                    |                      |                      |                      |
| City University of New York                            | 36,527             | 36,608             | 35,900             | 36,620               | 37,352               | 37,352               |
| State University of New York                           | 760,044            | 940,056            | 951,643            | 923,016              | 940,193              | 944,473              |
| <b>Functional Total</b>                                | <b>796,571</b>     | <b>976,664</b>     | <b>987,543</b>     | <b>959,636</b>       | <b>977,545</b>       | <b>981,825</b>       |
| <b>EDUCATION</b>                                       |                    |                    |                    |                      |                      |                      |
| Education, Department of                               | 5,145              | 6,713              | 51,500             | 43,368               | 37,557               | 47,500               |

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <i>All Other</i>                         | 5,145                      | 6,713                      | 51,500                     | 43,368                       | 37,557                       | 47,500                       |
| <b>Functional Total</b>                  | <u>5,145</u>               | <u>6,713</u>               | <u>51,500</u>              | <u>43,368</u>                | <u>37,557</u>                | <u>47,500</u>                |
| <b>GENERAL GOVERNMENT</b>                |                            |                            |                            |                              |                              |                              |
| General Services, Office of              | 92,531                     | 128,849                    | 209,828                    | 187,662                      | 190,879                      | 154,517                      |
| State, Department of                     | 0                          | 1,233                      | 2,767                      | 2,000                        | 0                            | 0                            |
| Technology, Office for                   | 86,220                     | 102,831                    | 118,865                    | 18,751                       | 24,700                       | 33,700                       |
| Workers' Compensation Board              | 3,752                      | 4,824                      | 2,500                      | 30,000                       | 35,806                       | 0                            |
| <b>Functional Total</b>                  | <u>182,503</u>             | <u>237,737</u>             | <u>333,960</u>             | <u>238,413</u>               | <u>251,385</u>               | <u>188,217</u>               |
| <b>ELECTED OFFICIALS</b>                 |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of         | 2,100                      | 0                          | 5,024                      | 1,400                        | 0                            | 0                            |
| Judiciary                                | 0                          | 13,943                     | 15,000                     | 3,500                        | 0                            | 0                            |
| Law, Department of                       | 6,201                      | 5,035                      | 9,452                      | 968                          | 0                            | 0                            |
| <b>Functional Total</b>                  | <u>8,301</u>               | <u>18,978</u>              | <u>29,476</u>              | <u>5,868</u>                 | <u>0</u>                     | <u>0</u>                     |
| <b>ALL OTHER CATEGORIES</b>              |                            |                            |                            |                              |                              |                              |
| Arts and Cultural Facilities Improvement | 0                          | 0                          | 5,200                      | 5,000                        | 0                            | 0                            |
| Miscellaneous                            | 25,561                     | 14,499                     | (583,393)                  | (582,992)                    | (643,758)                    | 77,093                       |
| Special Infrastructure Account           | 418,228                    | 360,672                    | 838,864                    | 873,602                      | 592,050                      | 427,650                      |
| <b>Functional Total</b>                  | <u>443,789</u>             | <u>375,171</u>             | <u>260,671</u>             | <u>295,610</u>               | <u>(51,708)</u>              | <u>504,743</u>               |
| <b>TOTAL CAPITAL PROJECTS SPENDING</b>   | <u>5,078,923</u>           | <u>5,685,031</u>           | <u>6,609,238</u>           | <u>6,621,245</u>             | <u>6,425,758</u>             | <u>6,648,619</u>             |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Economic Development, Department of                    | 250                | 0                  | 1,400              | 0                    | 0                    | 5,000                |
| Financial Services, Department of                      | 66,703             | 57,254             | 64,954             | 61,886               | 61,855               | 61,855               |
| Public Service Department                              | 0                  | 102                | 136                | 136                  | 136                  | 136                  |
| <b>Functional Total</b>                                | <b>66,953</b>      | <b>57,356</b>      | <b>66,490</b>      | <b>62,022</b>        | <b>61,991</b>        | <b>66,991</b>        |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 199                | 0                  | 0                  | 0                    | 0                    | 0                    |
| Parks, Recreation and Historic Preservation, Office of | 3,665              | 4,156              | 5,450              | 5,450                | 5,450                | 5,450                |
| <b>Functional Total</b>                                | <b>3,864</b>       | <b>4,156</b>       | <b>5,450</b>       | <b>5,450</b>         | <b>5,450</b>         | <b>5,450</b>         |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Transportation, Department of                          | 4,871,085          | 4,908,656          | 3,632,409          | 3,516,235            | 3,562,861            | 3,694,297            |
| <b>Functional Total</b>                                | <b>4,871,085</b>   | <b>4,908,656</b>   | <b>3,632,409</b>   | <b>3,516,235</b>     | <b>3,562,861</b>     | <b>3,694,297</b>     |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 6,581,253          | 6,582,824          | 6,542,085          | 6,569,681            | 6,704,750            | 6,792,643            |
| <i>Medical Assistance</i>                              | 5,795,977          | 5,745,990          | 5,648,416          | 5,593,712            | 5,548,524            | 5,529,538            |
| <i>Public Health</i>                                   | 785,276            | 836,834            | 893,669            | 975,969              | 1,156,226            | 1,263,105            |
| <b>Functional Total</b>                                | <b>6,581,253</b>   | <b>6,582,824</b>   | <b>6,542,085</b>   | <b>6,569,681</b>     | <b>6,704,750</b>     | <b>6,792,643</b>     |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 3,164              | 3,252              | 3,582              | 3,582                | 3,582                | 3,582                |
| <i>OCFS</i>  | 3,164              | 3,252              | 3,582              | 3,582                | 3,582                | 3,582                |
| Housing and Community Renewal, Division of             | (215)              | 291                | 852                | 852                  | 852                  | 852                  |
| Labor, Department of                                   | 322                | 0                  | 150                | 150                  | 150                  | 150                  |
| <b>Functional Total</b>                                | <b>3,271</b>       | <b>3,543</b>       | <b>4,584</b>       | <b>4,584</b>         | <b>4,584</b>         | <b>4,584</b>         |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 276,997            | 286,440            | 3,325              | 3,388                | 3,300                | 3,300                |
| <i>OASAS</i>   | 276,997            | 286,440            | 3,325              | 3,388                | 3,300                | 3,300                |
| Justice Center   | 479                | 449                | 479                | 479                  | 479                  | 479                  |
| Mental Health, Office of                               | 909,429            | 908,380            | 1,500              | 1,500                | 1,500                | 1,500                |
| <i>OMH</i>   | 909,429            | 908,380            | 1,500              | 1,500                | 1,500                | 1,500                |
| People with Developmental Disabilities, Office for     | 385,560            | 407,737            | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD</i>   | 384,726            | 407,132            | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 834                | 605                | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                                | <b>1,572,465</b>   | <b>1,603,006</b>   | <b>5,304</b>       | <b>5,367</b>         | <b>5,279</b>         | <b>5,279</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Criminal Justice Services, Division of                 | 26,509             | 20,048             | 23,918             | 24,433               | 24,433               | 24,433               |
| Homeland Security and Emergency Services, Division of  | 37,926             | 26,756             | 50,767             | 53,169               | 87,570               | 96,549               |
| Indigent Legal Services, Office of                     | 60,503             | 72,102             | 160,672            | 184,765              | 208,859              | 208,859              |
| Victim Services, Office of                             | 22,547             | 21,242             | 27,956             | 27,956               | 27,956               | 28,610               |
| <b>Functional Total</b>                                | <b>147,485</b>     | <b>140,148</b>     | <b>263,313</b>     | <b>290,323</b>       | <b>348,818</b>       | <b>358,451</b>       |
| <b>EDUCATION</b>                                       |                    |                    |                    |                      |                      |                      |
| Arts, Council on the                                   | 0                  | 0                  | 98                 | 98                   | 98                   | 98                   |
| Education, Department of                               | 6,480,458          | 6,040,724          | 5,813,132          | 5,800,471            | 5,788,228            | 5,788,228            |
| <i>School Aid</i>                                      | 3,334,094          | 3,442,342          | 3,340,840          | 3,370,200            | 3,373,200            | 3,373,200            |
| <i>STAR Property Tax Relief</i>                        | 3,139,051          | 2,589,145          | 2,459,259          | 2,417,238            | 2,401,995            | 2,401,995            |
| <i>All Other</i>                                       | 7,313              | 9,237              | 13,033             | 13,033               | 13,033               | 13,033               |
| <b>Functional Total</b>                                | <b>6,480,458</b>   | <b>6,040,724</b>   | <b>5,813,230</b>   | <b>5,800,569</b>     | <b>5,788,326</b>     | <b>5,788,326</b>     |
| <b>GENERAL GOVERNMENT</b>                              |                    |                    |                    |                      |                      |                      |
| Gaming Commission, New York State                      | 101,820            | 65,779             | 140,570            | 114,400              | 114,400              | 114,400              |
| State, Department of                                   | 942                | 913                | 939                | 939                  | 939                  | 939                  |
| Taxation and Finance, Department of                    | 172                | 654                | 1,800              | 1,800                | 1,800                | 1,800                |
| <b>Functional Total</b>                                | <b>102,934</b>     | <b>67,346</b>      | <b>143,309</b>     | <b>117,139</b>       | <b>117,139</b>       | <b>117,139</b>       |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ELECTED OFFICIALS</b>               |                            |                            |                            |                              |                              |                              |
| Judiciary                              | 98,656                     | 111,250                    | 108,700                    | 108,699                      | 108,700                      | 108,700                      |
| <b>Functional Total</b>                | <u>98,656</u>              | <u>111,250</u>             | <u>108,700</u>             | <u>108,699</u>               | <u>108,700</u>               | <u>108,700</u>               |
| <b>ALL OTHER CATEGORIES</b>            |                            |                            |                            |                              |                              |                              |
| Miscellaneous                          | 838                        | 12,598                     | (897,626)                  | (789,000)                    | (1,189,000)                  | (1,189,000)                  |
| <b>Functional Total</b>                | <u>838</u>                 | <u>12,598</u>              | <u>(897,626)</u>           | <u>(789,000)</u>             | <u>(1,189,000)</u>           | <u>(1,189,000)</u>           |
| <b>TOTAL LOCAL ASSISTANCE SPENDING</b> | <u><u>19,929,262</u></u>   | <u><u>19,531,607</u></u>   | <u><u>15,687,248</u></u>   | <u><u>15,691,069</u></u>     | <u><u>15,518,898</u></u>     | <u><u>15,752,860</u></u>     |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 3,149              | 2,647              | 2,960              | 2,965                | 3,069                | 2,965                |
| Alcoholic Beverage Control, Division of                | 175                | 0                  | 0                  | 0                    | 0                    | 0                    |
| Economic Development, Department of                    | 0                  | 3                  | 103                | 103                  | 103                  | 103                  |
| Financial Services, Department of                      | 151,522            | 154,146            | 156,697            | 157,475              | 163,459              | 157,616              |
| Public Service Department                              | 41,485             | 42,867             | 42,100             | 42,100               | 43,711               | 42,100               |
| <b>Functional Total</b>                                | <b>196,331</b>     | <b>199,663</b>     | <b>201,860</b>     | <b>202,643</b>       | <b>210,342</b>       | <b>202,784</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 92,127             | 93,008             | 88,891             | 78,892               | 75,732               | 72,133               |
| Parks, Recreation and Historic Preservation, Office of | 32,019             | 33,620             | 37,452             | 34,781               | 36,154               | 34,993               |
| <b>Functional Total</b>                                | <b>124,146</b>     | <b>126,628</b>     | <b>126,343</b>     | <b>113,673</b>       | <b>111,886</b>       | <b>107,126</b>       |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 35,655             | 36,244             | 36,494             | 36,494               | 37,264               | 37,376               |
| Transportation, Department of                          | 6,497              | 2,803              | 3,324              | 3,575                | 3,713                | 3,575                |
| <b>Functional Total</b>                                | <b>42,152</b>      | <b>39,047</b>      | <b>39,818</b>      | <b>40,069</b>        | <b>40,977</b>        | <b>40,951</b>        |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 136,344            | 130,551            | 131,666            | 132,105              | 132,655              | 132,344              |
| <i>Public Health</i>                                   | 136,344            | 130,551            | 131,666            | 132,105              | 132,655              | 132,344              |
| <b>Functional Total</b>                                | <b>136,344</b>     | <b>130,551</b>     | <b>131,666</b>     | <b>132,105</b>       | <b>132,655</b>       | <b>132,344</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 2,767              | 2,722              | 3,354              | 3,354                | 3,483                | 3,449                |
| <i>OCFS</i>  | 2,767              | 2,722              | 3,354              | 3,354                | 3,483                | 3,449                |
| Housing and Community Renewal, Division of             | 37,730             | 37,669             | 38,629             | 38,629               | 41,158               | 39,746               |
| Labor, Department of                                   | 34,030             | 34,239             | 32,530             | 32,530               | 34,770               | 33,529               |
| <b>Functional Total</b>                                | <b>74,527</b>      | <b>74,630</b>      | <b>74,513</b>      | <b>74,513</b>        | <b>79,411</b>        | <b>76,724</b>        |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 54,557             | 56,428             | 0                  | 0                    | 0                    | 0                    |
| <i>OASAS</i>   | 19,701             | 20,354             | 0                  | 0                    | 0                    | 0                    |
| <i>OASAS - Other</i>                                   | 34,856             | 36,074             | 0                  | 0                    | 0                    | 0                    |
| Justice Center   | 1,127              | 1,214              | 1,392              | 1,406                | 1,422                | 1,435                |
| Mental Health, Office of                               | 1,072,564          | 1,070,401          | 103                | 103                  | 103                  | 103                  |
| <i>OMH</i>   | 288,507            | 287,281            | 103                | 103                  | 103                  | 103                  |
| <i>OMH - Other</i>                                     | 784,057            | 783,120            | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 1,092,002          | 1,129,874          | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD</i>   | 0                  | 124                | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 1,092,002          | 1,129,750          | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                                | <b>2,220,250</b>   | <b>2,257,917</b>   | <b>1,495</b>       | <b>1,509</b>         | <b>1,525</b>         | <b>1,538</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 165                | 251                | 219                | 219                  | 219                  | 219                  |
| Criminal Justice Services, Division of                 | 258                | 280                | 392                | 392                  | 403                  | 400                  |
| Homeland Security and Emergency Services, Division of  | 15,756             | 15,292             | 22,313             | 28,610               | 29,856               | 31,215               |
| Indigent Legal Services, Office of                     | 1,678              | 1,873              | 2,982              | 2,982                | 3,048                | 3,034                |
| Military and Naval Affairs, Division of                | 403                | 68                 | 161                | 161                  | 161                  | 161                  |
| State Police, Division of                              | 41,672             | 46,922             | 46,992             | 46,992               | 47,332               | 47,470               |
| Victim Services, Office of                             | 3,145              | 3,184              | 3,176              | 3,176                | 3,293                | 3,242                |
| <b>Functional Total</b>                                | <b>63,077</b>      | <b>67,870</b>      | <b>76,235</b>      | <b>82,532</b>        | <b>84,312</b>        | <b>85,741</b>        |
| <b>HIGHER EDUCATION</b>                                |                    |                    |                    |                      |                      |                      |
| City University of New York                            | 48,975             | 52,623             | 48,148             | 48,594               | 49,045               | 49,501               |
| Higher Education - Miscellaneous                       | 283                | 169                | 198                | 198                  | 198                  | 198                  |
| Higher Education Services Corporation, New York State  | 14,475             | 13,380             | 12,916             | 12,916               | 12,916               | 12,916               |
| State University of New York                           | 3,766,633          | 3,753,285          | 3,973,548          | 4,014,523            | 4,153,020            | 4,093,105            |
| <b>Functional Total</b>                                | <b>3,830,366</b>   | <b>3,819,457</b>   | <b>4,034,810</b>   | <b>4,076,231</b>     | <b>4,215,179</b>     | <b>4,155,720</b>     |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|  | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>EDUCATION</b>                       |                            |                            |                            |                              |                              |                              |
| Education, Department of               | 55,689                     | 59,335                     | 58,278                     | 58,440                       | 60,677                       | 58,440                       |
| <i>All Other</i>                       | <u>55,689</u>              | <u>59,335</u>              | <u>58,278</u>              | <u>58,440</u>                | <u>60,677</u>                | <u>58,440</u>                |
| <b>Functional Total</b>                | <u>55,689</u>              | <u>59,335</u>              | <u>58,278</u>              | <u>58,440</u>                | <u>60,677</u>                | <u>58,440</u>                |
| <b>GENERAL GOVERNMENT</b>              |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                | 1,273                      | 1,199                      | 2,351                      | 2,351                        | 2,441                        | 2,351                        |
| Civil Service, Department of           | 151                        | 322                        | 359                        | 362                          | 376                          | 365                          |
| Deferred Compensation Board            | 339                        | 375                        | 378                        | 381                          | 381                          | 381                          |
| Gaming Commission, New York State      | 29,098                     | 31,990                     | 34,475                     | 34,475                       | 35,801                       | 34,475                       |
| General Services, Office of            | 4,065                      | 3,200                      | 858                        | 858                          | 897                          | 871                          |
| State, Department of                   | 17,254                     | 18,893                     | 18,771                     | 18,771                       | 19,497                       | 18,771                       |
| Taxation and Finance, Department of    | 49,625                     | 9,338                      | 44,711                     | 44,384                       | 46,064                       | 44,399                       |
| Workers' Compensation Board            | 82,632                     | 85,117                     | 84,993                     | 85,604                       | 89,709                       | 85,604                       |
| <b>Functional Total</b>                | <u>184,437</u>             | <u>150,434</u>             | <u>186,896</u>             | <u>187,186</u>               | <u>195,166</u>               | <u>187,217</u>               |
| <b>ELECTED OFFICIALS</b>               |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of       | 12,206                     | 11,125                     | 13,545                     | 13,623                       | 14,172                       | 13,623                       |
| Judiciary                              | 58,822                     | 59,170                     | 59,475                     | 59,000                       | 59,000                       | 59,000                       |
| Law, Department of                     | 27,520                     | 36,981                     | 30,321                     | 31,009                       | 32,565                       | 31,009                       |
| <b>Functional Total</b>                | <u>98,548</u>              | <u>107,276</u>             | <u>103,341</u>             | <u>103,632</u>               | <u>105,737</u>               | <u>103,632</u>               |
| <b>ALL OTHER CATEGORIES</b>            |                            |                            |                            |                              |                              |                              |
| Miscellaneous                          | 1,890                      | 2,160                      | (130,781)                  | (83,910)                     | (196,655)                    | (196,655)                    |
| <b>Functional Total</b>                | <u>1,890</u>               | <u>2,160</u>               | <u>(130,781)</u>           | <u>(83,910)</u>              | <u>(196,655)</u>             | <u>(196,655)</u>             |
| <b>TOTAL PERSONAL SERVICE SPENDING</b> | <u><u>7,027,757</u></u>    | <u><u>7,034,968</u></u>    | <u><u>4,904,474</u></u>    | <u><u>4,988,623</u></u>      | <u><u>5,041,212</u></u>      | <u><u>4,955,562</u></u>      |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 8,519              | 2,002              | 2,430              | 2,430                | 2,434                | 18,230               |
| Alcoholic Beverage Control, Division of                | 109                | 0                  | 0                  | 0                    | 0                    | 0                    |
| Economic Development, Department of                    | 1,929              | 1,482              | 1,847              | 1,847                | 1,847                | 1,847                |
| Financial Services, Department of                      | 57,686             | 55,233             | 52,212             | 55,068               | 55,366               | 55,072               |
| Olympic Regional Development Authority                 | 0                  | 0                  | 150                | 150                  | 150                  | 150                  |
| Public Service Department                              | 8,521              | 9,517              | 8,677              | 8,727                | 7,729                | 7,727                |
| <b>Functional Total</b>                                | <b>76,764</b>      | <b>68,234</b>      | <b>65,316</b>      | <b>68,222</b>        | <b>67,526</b>        | <b>83,026</b>        |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 42,585             | 25,463             | 17,373             | 16,068               | 14,432               | 14,352               |
| Parks, Recreation and Historic Preservation, Office of | 37,667             | 33,040             | 31,460             | 31,175               | 31,177               | 31,177               |
| <b>Functional Total</b>                                | <b>80,252</b>      | <b>58,503</b>      | <b>48,833</b>      | <b>47,243</b>        | <b>45,609</b>        | <b>45,529</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 13,905             | 12,898             | 12,619             | 12,619               | 12,641               | 11,966               |
| Transportation, Department of                          | 13,562             | 4,941              | 6,466              | 6,481                | 6,486                | 6,481                |
| <b>Functional Total</b>                                | <b>27,467</b>      | <b>17,839</b>      | <b>19,085</b>      | <b>19,100</b>        | <b>19,127</b>        | <b>18,447</b>        |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 0                  | 0                  | 1                  | 1                    | 1                    | 1                    |
| Health, Department of                                  | 114,737            | 104,457            | 127,632            | 122,628              | 125,459              | 127,592              |
| <i>Public Health</i>                                   | 114,737            | 104,457            | 127,632            | 122,628              | 125,459              | 127,592              |
| <b>Functional Total</b>                                | <b>114,737</b>     | <b>104,457</b>     | <b>127,633</b>     | <b>122,629</b>       | <b>125,460</b>       | <b>127,593</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 29,854             | 11,452             | 15,248             | 15,527               | 15,838               | 16,154               |
| <i>OCFS</i>  | 29,854             | 11,452             | 15,248             | 15,527               | 15,838               | 16,154               |
| Housing and Community Renewal, Division of             | 4,778              | 8,748              | 9,288              | 9,288                | 9,656                | 9,814                |
| Labor, Department of                                   | 13,328             | 15,206             | 13,701             | 13,701               | 14,137               | 14,400               |
| Temporary and Disability Assistance, Office of         | 946                | 1,228              | 3,400              | 3,400                | 3,400                | 3,400                |
| <i>All Other</i>                                       | 946                | 1,228              | 3,400              | 3,400                | 3,400                | 3,400                |
| <b>Functional Total</b>                                | <b>48,906</b>      | <b>36,634</b>      | <b>41,637</b>      | <b>41,916</b>        | <b>43,031</b>        | <b>43,768</b>        |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 27,848             | 25,044             | 6,456              | 6,471                | 6,471                | 6,486                |
| <i>OASAS</i>   | 14,612             | 11,610             | 6,456              | 6,471                | 6,471                | 6,486                |
| <i>OASAS - Other</i>                                   | 13,236             | 13,434             | 0                  | 0                    | 0                    | 0                    |
| Justice Center   | 41                 | 27                 | 42                 | 43                   | 45                   | 44                   |
| Mental Health, Office of                               | 270,921            | 279,362            | 5,342              | 5,342                | 5,342                | 5,342                |
| <i>OMH</i>   | 59,545             | 61,995             | 5,342              | 5,342                | 5,342                | 5,342                |
| <i>OMH - Other</i>                                     | 211,376            | 217,367            | 0                  | 0                    | 0                    | 0                    |
| Mental Hygiene, Department of                          | 227                | 204                | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 205,395            | 203,291            | 181                | 181                  | 181                  | 181                  |
| <i>OPWDD</i>   | 63                 | 24                 | 181                | 181                  | 181                  | 181                  |
| <i>OPWDD - Other</i>                                   | 205,332            | 203,267            | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                                | <b>504,432</b>     | <b>507,928</b>     | <b>12,021</b>      | <b>12,037</b>        | <b>12,039</b>        | <b>12,053</b>        |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 1,379              | 1,061              | 2,627              | 2,627                | 2,627                | 2,627                |
| Criminal Justice Services, Division of                 | 1,067              | 2,371              | 2,700              | 2,700                | 2,700                | 2,795                |
| Homeland Security and Emergency Services, Division of  | 9,135              | 13,341             | 13,238             | 8,603                | 8,613                | 9,681                |
| Indigent Legal Services, Office of                     | 493                | 455                | 833                | 831                  | 831                  | 843                  |
| Military and Naval Affairs, Division of                | 2,448              | 2,103              | 1,597              | 1,207                | 1,207                | 1,303                |
| State Police, Division of                              | 26,164             | 30,368             | 31,700             | 26,700               | 26,729               | 26,790               |
| Victim Services, Office of                             | 533                | 530                | 775                | 775                  | 775                  | 788                  |
| <b>Functional Total</b>                                | <b>41,219</b>      | <b>50,229</b>      | <b>53,470</b>      | <b>43,443</b>        | <b>43,482</b>        | <b>44,827</b>        |
| <b>HIGHER EDUCATION</b>                                |                    |                    |                    |                      |                      |                      |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| City University of New York                               | 44,735                     | 50,097                     | 46,944                     | 47,883                       | 48,361                       | 48,845                       |
| Higher Education - Miscellaneous                          | 103                        | 87                         | 93                         | 93                           | 93                           | 93                           |
| Higher Education Services Corporation, New York State     | 33,817                     | 28,371                     | 30,358                     | 30,358                       | 30,358                       | 30,358                       |
| State University of New York                              | 2,235,431                  | 2,325,929                  | 2,225,311                  | 2,246,139                    | 2,267,179                    | 2,288,431                    |
| <b>Functional Total</b>                                   | <b>2,314,086</b>           | <b>2,404,484</b>           | <b>2,302,706</b>           | <b>2,324,473</b>             | <b>2,345,991</b>             | <b>2,367,727</b>             |
| <b>EDUCATION</b>  |                            |                            |                            |                              |                              |                              |
| Education, Department of                                  | 25,114                     | 29,141                     | 25,864                     | 25,870                       | 25,929                       | 25,872                       |
| <i>All Other</i>  | 25,114                     | 29,141                     | 25,864                     | 25,870                       | 25,929                       | 25,872                       |
| <b>Functional Total</b>                                   | <b>25,114</b>              | <b>29,141</b>              | <b>25,864</b>              | <b>25,870</b>                | <b>25,929</b>                | <b>25,872</b>                |
| <b>GENERAL GOVERNMENT</b>                                 |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                                   | 1,168                      | 1,470                      | 2,693                      | 2,693                        | 2,696                        | 2,693                        |
| Civil Service, Department of                              | 530                        | 356                        | 428                        | 436                          | 437                          | 441                          |
| Deferred Compensation Board                               | 40                         | 43                         | 206                        | 210                          | 210                          | 210                          |
| Elections, State Board of                                 | 104                        | 44                         | 0                          | 0                            | 0                            | 0                            |
| Gaming Commission, New York State                         | 103,660                    | 58,168                     | 39,891                     | 39,891                       | 39,927                       | 39,891                       |
| General Services, Office of                               | 2,892                      | 1,926                      | 3,182                      | 3,182                        | 3,248                        | 3,288                        |
| Labor Management Committees                               | 0                          | 0                          | 300                        | 306                          | 306                          | 306                          |
| Prevention of Domestic Violence, Office for               | 0                          | 0                          | 5                          | 5                            | 5                            | 5                            |
| Public Employment Relations Board                         | 12                         | 28                         | 44                         | 45                           | 45                           | 45                           |
| State, Department of                                      | 17,161                     | 14,156                     | 12,857                     | 12,858                       | 12,882                       | 12,858                       |
| Taxation and Finance, Department of                       | 19,521                     | 5,556                      | 32,633                     | 31,080                       | 30,514                       | 30,456                       |
| Workers' Compensation Board                               | 56,090                     | 55,854                     | 58,330                     | 59,589                       | 60,804                       | 60,804                       |
| <b>Functional Total</b>                                   | <b>201,178</b>             | <b>137,601</b>             | <b>150,569</b>             | <b>150,295</b>               | <b>151,074</b>               | <b>150,997</b>               |
| <b>ELECTED OFFICIALS</b>                                  |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                          | 3,320                      | 3,174                      | 6,094                      | 6,199                        | 6,306                        | 6,306                        |
| Judiciary   | 48,240                     | 55,103                     | 53,000                     | 53,000                       | 53,000                       | 53,000                       |
| Law, Department of  | 38,500                     | 40,539                     | 41,537                     | 42,372                       | 43,205                       | 43,205                       |
| Legislature   | 1,581                      | 1,160                      | 950                        | 950                          | 950                          | 950                          |
| <b>Functional Total</b>                                   | <b>91,641</b>              | <b>99,976</b>              | <b>101,581</b>             | <b>102,521</b>               | <b>103,461</b>               | <b>103,461</b>               |
| <b>ALL OTHER CATEGORIES</b>                               |                            |                            |                            |                              |                              |                              |
| Miscellaneous   | 1,322                      | 1,495                      | (245,098)                  | (242,975)                    | (258,475)                    | (258,475)                    |
| <b>Functional Total</b>                                   | <b>1,322</b>               | <b>1,495</b>               | <b>(245,098)</b>           | <b>(242,975)</b>             | <b>(258,475)</b>             | <b>(258,475)</b>             |
| <b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b> | <b>3,527,118</b>           | <b>3,516,521</b>           | <b>2,703,617</b>           | <b>2,714,774</b>             | <b>2,724,254</b>             | <b>2,764,825</b>             |



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 1,719              | 1,255              | 1,891              | 1,960                | 2,128                | 2,191                |
| Alcoholic Beverage Control, Division of                | 121                | 0                  | 0                  | 0                    | 0                    | 0                    |
| Economic Development, Department of                    | 0                  | 2                  | 28                 | 28                   | 28                   | 28                   |
| Financial Services, Department of                      | 86,652             | 90,924             | 100,172            | 104,142              | 116,795              | 116,569              |
| Public Service Department                              | 25,523             | 32,395             | 26,761             | 27,682               | 29,298               | 30,958               |
| <b>Functional Total</b>                                | <b>114,015</b>     | <b>124,576</b>     | <b>128,852</b>     | <b>133,812</b>       | <b>148,249</b>       | <b>149,746</b>       |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 47,714             | 45,573             | 51,400             | 44,993               | 43,542               | 42,093               |
| Parks, Recreation and Historic Preservation, Office of | 2,972              | 3,264              | 3,152              | 3,160                | 3,307                | 3,341                |
| <b>Functional Total</b>                                | <b>50,686</b>      | <b>48,837</b>      | <b>54,552</b>      | <b>48,153</b>        | <b>46,849</b>        | <b>45,434</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 20,473             | 20,986             | 23,315             | 24,118               | 25,963               | 26,615               |
| Transportation, Department of                          | 3,750              | 1,720              | 2,145              | 2,264                | 2,453                | 2,497                |
| <b>Functional Total</b>                                | <b>24,223</b>      | <b>22,706</b>      | <b>25,460</b>      | <b>26,382</b>        | <b>28,416</b>        | <b>29,112</b>        |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 30,543             | 31,713             | 37,680             | 34,079               | 35,857               | 37,142               |
| <i>Public Health</i>                                   | 30,543             | 31,713             | 37,680             | 34,079               | 35,857               | 37,142               |
| <b>Functional Total</b>                                | <b>30,543</b>      | <b>31,713</b>      | <b>37,680</b>      | <b>34,079</b>        | <b>35,857</b>        | <b>37,142</b>        |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 1,656              | 1,658              | 2,043              | 1,794                | 1,824                | 1,824                |
| <i>OCFS</i>  | 1,656              | 1,658              | 2,043              | 1,794                | 1,824                | 1,824                |
| Housing and Community Renewal, Division of             | 5,449              | 16,045             | 23,120             | 23,120               | 23,120               | 26,349               |
| Labor, Department of                                   | 19,497             | 20,895             | 20,487             | 20,487               | 20,487               | 23,005               |
| Temporary and Disability Assistance, Office of         | 122                | 12                 | 128                | 128                  | 128                  | 128                  |
| <i>All Other</i>                                       | 122                | 12                 | 128                | 128                  | 128                  | 128                  |
| <b>Functional Total</b>                                | <b>26,724</b>      | <b>38,610</b>      | <b>45,778</b>      | <b>45,529</b>        | <b>45,559</b>        | <b>51,306</b>        |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 33,726             | 36,956             | 0                  | 0                    | 0                    | 0                    |
| <i>OASAS</i>   | 13,803             | 14,792             | 0                  | 0                    | 0                    | 0                    |
| <i>OASAS - Other</i>                                   | 19,923             | 22,164             | 0                  | 0                    | 0                    | 0                    |
| Justice Center   | 850                | 649                | 874                | 929                  | 993                  | 1,060                |
| Mental Health, Office of                               | 621,496            | 650,727            | 0                  | 0                    | 0                    | 0                    |
| <i>OMH</i>   | 192,230            | 193,397            | 0                  | 0                    | 0                    | 0                    |
| <i>OMH - Other</i>                                     | 429,266            | 457,330            | 0                  | 0                    | 0                    | 0                    |
| People with Developmental Disabilities, Office for     | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <i>OPWDD - Other</i>                                   | 637,200            | 683,801            | 0                  | 0                    | 0                    | 0                    |
| <b>Functional Total</b>                                | <b>1,293,272</b>   | <b>1,372,133</b>   | <b>874</b>         | <b>929</b>           | <b>993</b>           | <b>1,060</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 101                | 117                | 106                | 106                  | 106                  | 106                  |
| Criminal Justice Services, Division of                 | 33                 | 35                 | 35                 | 35                   | 35                   | 35                   |
| Homeland Security and Emergency Services, Division of  | 666                | 615                | 809                | 817                  | 835                  | 905                  |
| Indigent Legal Services, Office of                     | 967                | 1,069              | 1,906              | 2,003                | 2,117                | 2,239                |
| Military and Naval Affairs, Division of                | 9                  | 6                  | 59                 | 9                    | 9                    | 10                   |
| State Police, Division of                              | 18,111             | 14,072             | 23,900             | 24,810               | 25,153               | 25,323               |
| Victim Services, Office of                             | 1,570              | 1,638              | 1,650              | 1,650                | 1,650                | 1,683                |
| <b>Functional Total</b>                                | <b>21,457</b>      | <b>17,552</b>      | <b>28,465</b>      | <b>29,430</b>        | <b>29,905</b>        | <b>30,301</b>        |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>HIGHER EDUCATION</b>                               |                            |                            |                            |                              |                              |                              |
| City University of New York                           | 7,661                      | 145                        | 150                        | 150                          | 150                          | 150                          |
| Higher Education - Miscellaneous                      | 143                        | 81                         | 150                        | 150                          | 150                          | 150                          |
| Higher Education Services Corporation, New York State | 8,524                      | 8,131                      | 9,020                      | 9,020                        | 9,020                        | 9,020                        |
| State University of New York                          | 420,283                    | 430,859                    | 485,071                    | 500,566                      | 514,120                      | 504,273                      |
| <b>Functional Total</b>                               | <b>436,611</b>             | <b>439,216</b>             | <b>494,391</b>             | <b>509,886</b>               | <b>523,440</b>               | <b>513,593</b>               |
| <b>EDUCATION</b>                                      |                            |                            |                            |                              |                              |                              |
| Education, Department of                              | 32,142                     | 34,543                     | 37,463                     | 38,792                       | 42,452                       | 43,195                       |
| <i>All Other</i>                                      | 32,142                     | 34,543                     | 37,463                     | 38,792                       | 42,452                       | 43,195                       |
| <b>Functional Total</b>                               | <b>32,142</b>              | <b>34,543</b>              | <b>37,463</b>              | <b>38,792</b>                | <b>42,452</b>                | <b>43,195</b>                |
| <b>GENERAL GOVERNMENT</b>                             |                            |                            |                            |                              |                              |                              |
| Budget, Division of the                               | 776                        | 712                        | 1,657                      | 1,657                        | 1,717                        | 1,657                        |
| Civil Service, Department of                          | 84                         | 180                        | 229                        | 239                          | 263                          | 270                          |
| Deferred Compensation Board                           | 195                        | 224                        | 205                        | 205                          | 205                          | 205                          |
| Gaming Commission, New York State                     | 12,777                     | 16,540                     | 17,575                     | 17,575                       | 18,465                       | 17,575                       |
| General Services, Office of                           | 407                        | 369                        | 548                        | 567                          | 627                          | 644                          |
| State, Department of                                  | 9,623                      | 10,433                     | 12,110                     | 12,524                       | 13,743                       | 14,003                       |
| Taxation and Finance, Department of                   | 25,017                     | 22,635                     | 27,264                     | 27,063                       | 28,183                       | 27,072                       |
| Workers' Compensation Board                           | 48,919                     | 52,395                     | 53,220                     | 53,402                       | 57,863                       | 57,863                       |
| <b>Functional Total</b>                               | <b>97,798</b>              | <b>103,488</b>             | <b>112,808</b>             | <b>113,232</b>               | <b>121,066</b>               | <b>119,289</b>               |
| <b>ELECTED OFFICIALS</b>                              |                            |                            |                            |                              |                              |                              |
| Audit and Control, Department of                      | 1,605                      | 1,677                      | 2,135                      | 2,135                        | 2,167                        | 2,167                        |
| Judiciary   | 27,112                     | 28,148                     | 29,600                     | 29,600                       | 29,600                       | 29,600                       |
| Law, Department of                                    | 14,731                     | 16,578                     | 19,302                     | 19,579                       | 20,612                       | 20,612                       |
| <b>Functional Total</b>                               | <b>43,448</b>              | <b>46,403</b>              | <b>51,037</b>              | <b>51,314</b>                | <b>52,379</b>                | <b>52,379</b>                |
| <b>ALL OTHER CATEGORIES</b>                           |                            |                            |                            |                              |                              |                              |
| Miscellaneous   | 1,108                      | 1,293                      | 1,327                      | 1,329                        | 1,341                        | 1,346                        |
| <b>Functional Total</b>                               | <b>1,108</b>               | <b>1,293</b>               | <b>1,327</b>               | <b>1,329</b>                 | <b>1,341</b>                 | <b>1,346</b>                 |
| <b>TOTAL GENERAL STATE CHARGES SPENDING</b>           | <b>2,172,027</b>           | <b>2,281,070</b>           | <b>1,018,687</b>           | <b>1,032,867</b>             | <b>1,076,506</b>             | <b>1,073,903</b>             |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                            |                            |                            |                              |                              |                              |
| Economic Development, Department of                    | 3,850                      | 6,893                      | 8,055                      | 8,055                        | 8,055                        | 8,055                        |
| <b>Functional Total</b>                                | <b>3,850</b>               | <b>6,893</b>               | <b>8,055</b>               | <b>8,055</b>                 | <b>8,055</b>                 | <b>8,055</b>                 |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                            |                            |                            |                              |                              |                              |
| Parks, Recreation and Historic Preservation, Office of | 5,283                      | 2,368                      | 1,270                      | 1,270                        | 1,270                        | 1,270                        |
| <b>Functional Total</b>                                | <b>5,283</b>               | <b>2,368</b>               | <b>1,270</b>               | <b>1,270</b>                 | <b>1,270</b>                 | <b>1,270</b>                 |
| <b>TRANSPORTATION</b>                                  |                            |                            |                            |                              |                              |                              |
| Motor Vehicles, Department of                          | 15,199                     | 13,233                     | 18,000                     | 18,000                       | 18,000                       | 18,000                       |
| Transportation, Department of                          | 48,511                     | 46,699                     | 42,504                     | 42,504                       | 42,504                       | 42,504                       |
| <b>Functional Total</b>                                | <b>63,710</b>              | <b>59,932</b>              | <b>60,504</b>              | <b>60,504</b>                | <b>60,504</b>                | <b>60,504</b>                |
| <b>HEALTH</b>  |                            |                            |                            |                              |                              |                              |
| Aging, Office for the                                  | 87,887                     | 97,545                     | 95,646                     | 96,946                       | 98,694                       | 98,694                       |
| Health, Department of                                  | 39,207,440                 | 43,638,755                 | 46,647,171                 | 48,844,631                   | 49,444,453                   | 50,958,303                   |
| <i>Medical Assistance</i>                              | 33,672,324                 | 36,787,179                 | 39,423,968                 | 41,285,702                   | 41,968,979                   | 43,532,009                   |
| <i>Essential Plan</i>                                  | 3,257,056                  | 3,874,925                  | 4,690,827                  | 4,962,774                    | 5,012,411                    | 5,025,105                    |
| <i>Medicaid Administration</i>                         | 375,662                    | 430,377                    | 404,436                    | 404,436                      | 404,436                      | 404,436                      |
| <i>Public Health</i>                                   | 1,902,398                  | 2,546,274                  | 2,127,940                  | 2,191,719                    | 2,058,627                    | 1,996,753                    |
| <b>Functional Total</b>                                | <b>39,295,327</b>          | <b>43,736,300</b>          | <b>46,742,817</b>          | <b>48,941,577</b>            | <b>49,543,147</b>            | <b>51,056,997</b>            |
| <b>SOCIAL WELFARE</b>                                  |                            |                            |                            |                              |                              |                              |
| Children and Family Services, Office of                | 861,900                    | 1,156,214                  | 838,300                    | 838,300                      | 838,300                      | 838,300                      |
| <i>OCFS</i>  | 861,900                    | 1,156,214                  | 838,300                    | 838,300                      | 838,300                      | 838,300                      |
| Housing and Community Renewal, Division of             | 52,324                     | 57,455                     | 48,434                     | 48,434                       | 48,434                       | 48,434                       |
| Labor, Department of                                   | 134,715                    | 148,578                    | 151,892                    | 151,892                      | 151,892                      | 151,892                      |
| Temporary and Disability Assistance, Office of         | 3,119,118                  | 3,000,612                  | 3,743,576                  | 3,443,576                    | 3,443,576                    | 3,443,576                    |
| <i>Welfare Assistance</i>                              | 2,300,055                  | 2,160,566                  | 2,926,576                  | 2,626,576                    | 2,626,576                    | 2,626,576                    |
| <i>All Other</i>                                       | 819,063                    | 840,046                    | 817,000                    | 817,000                      | 817,000                      | 817,000                      |
| <b>Functional Total</b>                                | <b>4,168,057</b>           | <b>4,362,859</b>           | <b>4,782,202</b>           | <b>4,482,202</b>             | <b>4,482,202</b>             | <b>4,482,202</b>             |
| <b>MENTAL HYGIENE</b>                                  |                            |                            |                            |                              |                              |                              |
| Alcoholism and Substance Abuse Services, Office of     | 121,023                    | 108,802                    | 114,490                    | 114,490                      | 114,490                      | 114,490                      |
| <i>OASAS</i>   | 121,023                    | 108,802                    | 114,490                    | 114,490                      | 114,490                      | 114,490                      |
| Mental Health, Office of                               | 41,492                     | 30,762                     | 39,979                     | 39,979                       | 32,025                       | 32,025                       |
| <i>OMH</i>   | 41,492                     | 30,762                     | 39,979                     | 39,979                       | 32,025                       | 32,025                       |
| <b>Functional Total</b>                                | <b>162,515</b>             | <b>139,564</b>             | <b>154,469</b>             | <b>154,469</b>               | <b>146,515</b>               | <b>146,515</b>               |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                            |                            |                            |                              |                              |                              |
| Criminal Justice Services, Division of                 | 15,563                     | 14,131                     | 41,800                     | 15,800                       | 15,800                       | 15,800                       |
| Homeland Security and Emergency Services, Division of  | 1,254,509                  | 1,342,908                  | 923,771                    | 819,771                      | 828,771                      | 828,771                      |
| State Police, Division of                              | 91                         | 62                         | 0                          | 0                            | 0                            | 0                            |
| Victim Services, Office of                             | 42,066                     | 31,941                     | 47,000                     | 47,000                       | 47,000                       | 47,094                       |
| <b>Functional Total</b>                                | <b>1,312,229</b>           | <b>1,389,042</b>           | <b>1,012,571</b>           | <b>882,571</b>               | <b>891,571</b>               | <b>891,665</b>               |
| <b>HIGHER EDUCATION</b>                                |                            |                            |                            |                              |                              |                              |
| Higher Education Services Corporation, New York State  | 45                         | 62                         | 0                          | 0                            | 0                            | 0                            |
| State University of New York                           | 0                          | 750                        | 0                          | 0                            | 0                            | 0                            |
| <b>Functional Total</b>                                | <b>45</b>                  | <b>812</b>                 | <b>0</b>                   | <b>0</b>                     | <b>0</b>                     | <b>0</b>                     |
| <b>EDUCATION</b>                                       |                            |                            |                            |                              |                              |                              |
| Arts, Council on the                                   | 1,052                      | 540                        | 600                        | 600                          | 600                          | 600                          |
| Education, Department of                               | 3,544,339                  | 3,182,323                  | 3,541,557                  | 3,594,065                    | 3,647,645                    | 3,647,645                    |
| <i>School Aid</i>                                      | 2,864,715                  | 2,360,873                  | 2,769,850                  | 2,817,358                    | 2,865,938                    | 2,865,938                    |
| <i>Special Education Categorical Programs</i>          | 590,480                    | 737,695                    | 690,000                    | 695,000                      | 700,000                      | 700,000                      |
| <i>All Other</i>                                       | 89,144                     | 83,755                     | 81,707                     | 81,707                       | 81,707                       | 81,707                       |
| <b>Functional Total</b>                                | <b>3,545,391</b>           | <b>3,182,863</b>           | <b>3,542,157</b>           | <b>3,594,665</b>             | <b>3,648,245</b>             | <b>3,648,245</b>             |
| <b>GENERAL GOVERNMENT</b>                              |                            |                            |                            |                              |                              |                              |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE  
(thousands of dollars)**

|  | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|--|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Elections, State Board of              | 554                        | 276                        | 0                          | 0                            | 0                            | 0                            |
| General Services, Office of            | 0                          | 0                          | 250                        | 250                          | 250                          | 250                          |
| State, Department of                   | 58,319                     | 55,864                     | 57,957                     | 57,957                       | 57,957                       | 57,957                       |
| <b>Functional Total</b>                | <b>58,873</b>              | <b>56,140</b>              | <b>58,207</b>              | <b>58,207</b>                | <b>58,207</b>                | <b>58,207</b>                |
| <b>ALL OTHER CATEGORIES</b>            |                            |                            |                            |                              |                              |                              |
| Miscellaneous                          | (251,336)                  | (342,300)                  | (496,665)                  | (467,938)                    | (467,938)                    | (467,938)                    |
| <b>Functional Total</b>                | <b>(251,336)</b>           | <b>(342,300)</b>           | <b>(496,665)</b>           | <b>(467,938)</b>             | <b>(467,938)</b>             | <b>(467,938)</b>             |
| <b>TOTAL LOCAL ASSISTANCE SPENDING</b> | <b>48,363,944</b>          | <b>52,594,473</b>          | <b>55,865,587</b>          | <b>57,715,582</b>            | <b>58,371,778</b>            | <b>59,885,722</b>            |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 3,665              | 3,469              | 3,448              | 3,413                | 3,413                | 3,413                |
| Public Service Department                              | 1,433              | 1,609              | 1,202              | 1,202                | 1,202                | 1,202                |
| <b>Functional Total</b>                                | <b>5,098</b>       | <b>5,078</b>       | <b>4,650</b>       | <b>4,615</b>         | <b>4,615</b>         | <b>4,615</b>         |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 26,180             | 25,065             | 27,808             | 27,808               | 28,876               | 28,876               |
| Parks, Recreation and Historic Preservation, Office of | 2,710              | 2,020              | 1,123              | 1,223                | 1,367                | 1,367                |
| <b>Functional Total</b>                                | <b>28,890</b>      | <b>27,085</b>      | <b>28,931</b>      | <b>29,031</b>        | <b>30,243</b>        | <b>30,243</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 1,357              | 1,463              | 3,735              | 3,735                | 3,879                | 3,879                |
| Transportation, Department of                          | 5,754              | 9,161              | 10,484             | 10,484               | 10,485               | 10,484               |
| <b>Functional Total</b>                                | <b>7,111</b>       | <b>10,624</b>      | <b>14,219</b>      | <b>14,219</b>        | <b>14,364</b>        | <b>14,363</b>        |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 6,071              | 5,976              | 6,521              | 6,657                | 6,799                | 6,799                |
| Health, Department of                                  | 98,664             | 100,651            | 110,269            | 111,817              | 117,171              | 113,640              |
| <i>Medicaid Administration</i>                         | 34,283             | 32,027             | 49,645             | 54,716               | 58,015               | 56,816               |
| <i>Public Health</i>                                   | 64,381             | 68,624             | 60,624             | 57,101               | 59,156               | 56,824               |
| Medicaid Inspector General, Office of the              | 16,322             | 15,817             | 15,130             | 15,130               | 15,744               | 15,130               |
| <b>Functional Total</b>                                | <b>121,057</b>     | <b>122,444</b>     | <b>131,920</b>     | <b>133,604</b>       | <b>139,714</b>       | <b>135,569</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 25,808             | 26,445             | 29,247             | 29,247               | 30,451               | 31,060               |
| <i>OCFS</i>  | 25,808             | 26,445             | 29,247             | 29,247               | 30,451               | 31,060               |
| Housing and Community Renewal, Division of             | 6,902              | 5,943              | 7,595              | 7,595                | 8,125                | 8,288                |
| Human Rights, Division of                              | 2,672              | 3,653              | 3,135              | 3,135                | 3,354                | 3,421                |
| Labor, Department of                                   | 170,686            | 172,236            | 159,866            | 159,866              | 171,796              | 175,232              |
| National and Community Service                         | 262                | 259                | 377                | 377                  | 390                  | 398                  |
| Temporary and Disability Assistance, Office of         | 80,633             | 88,678             | 86,131             | 77,755               | 77,755               | 79,311               |
| <i>All Other</i>                                       | 80,633             | 88,678             | 86,131             | 77,755               | 77,755               | 79,311               |
| <b>Functional Total</b>                                | <b>286,963</b>     | <b>297,214</b>     | <b>286,351</b>     | <b>277,975</b>       | <b>291,871</b>       | <b>297,710</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 5,107              | 5,107              | 2,542              | 3,071                | 3,004                | 2,964                |
| <i>OASAS</i>   | 5,107              | 5,107              | 2,542              | 3,071                | 3,004                | 2,964                |
| Developmental Disabilities Planning Council            | 976                | 1,007              | 1,266              | 1,266                | 1,266                | 1,266                |
| Justice Center   | 94                 | 309                | 228                | 228                  | 228                  | 229                  |
| Mental Health, Office of                               | 813                | 2,036              | 813                | 813                  | 813                  | 813                  |
| <i>OMH</i>   | 813                | 2,036              | 813                | 813                  | 813                  | 813                  |
| <b>Functional Total</b>                                | <b>6,990</b>       | <b>8,459</b>       | <b>4,849</b>       | <b>5,378</b>         | <b>5,311</b>         | <b>5,272</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 21,397             | 26,314             | 15,753             | 15,753               | 15,753               | 15,753               |
| Criminal Justice Services, Division of                 | 4,285              | 5,942              | 4,602              | 4,602                | 4,681                | 4,681                |
| Homeland Security and Emergency Services, Division of  | 9,988              | 11,288             | 15,000             | 12,727               | 12,727               | 12,727               |
| Military and Naval Affairs, Division of                | 11,856             | 21,400             | 21,032             | 21,032               | 21,032               | 21,032               |
| State Police, Division of                              | 5,574              | 10,046             | 11,975             | 11,975               | 12,265               | 12,403               |
| Victim Services, Office of                             | 1,493              | 1,860              | 1,658              | 1,658                | 1,709                | 1,743                |
| <b>Functional Total</b>                                | <b>54,593</b>      | <b>76,850</b>      | <b>70,020</b>      | <b>67,747</b>        | <b>68,167</b>        | <b>68,339</b>        |
| <b>HIGHER EDUCATION</b>                                |                    |                    |                    |                      |                      |                      |
| Higher Education Services Corporation, New York State  | 55                 | 0                  | 836                | 836                  | 836                  | 836                  |
| State University of New York                           | 8,488              | 7,963              | 7,229              | 7,229                | 7,229                | 7,229                |
| <b>Functional Total</b>                                | <b>8,543</b>       | <b>7,963</b>       | <b>8,065</b>       | <b>8,065</b>         | <b>8,065</b>         | <b>8,065</b>         |
| <b>EDUCATION</b>                                       |                    |                    |                    |                      |                      |                      |
| Education, Department of                               | 88,193             | 85,894             | 84,486             | 84,486               | 87,737               | 87,737               |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

|   | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <i>All Other</i>                            | 88,193                     | 85,894                     | 84,486                     | 84,486                       | 87,737                       | 87,737                       |
| <b>Functional Total</b>                     | <u>88,193</u>              | <u>85,894</u>              | <u>84,486</u>              | <u>84,486</u>                | <u>87,737</u>                | <u>87,737</u>                |
| <b>GENERAL GOVERNMENT</b>                   |                            |                            |                            |                              |                              |                              |
| Elections, State Board of                   | 98                         | 99                         | 240                        | 480                          | 480                          | 480                          |
| Prevention of Domestic Violence, Office for | 30                         | 23                         | 0                          | 0                            | 0                            | 0                            |
| State, Department of                        | 2,546                      | 3,010                      | 3,758                      | 3,758                        | 3,758                        | 3,758                        |
| Veterans' Affairs, Division of              | 426                        | 504                        | 804                        | 804                          | 826                          | 843                          |
| <b>Functional Total</b>                     | <u>3,100</u>               | <u>3,636</u>               | <u>4,802</u>               | <u>5,042</u>                 | <u>5,064</u>                 | <u>5,081</u>                 |
| <b>ELECTED OFFICIALS</b>                    |                            |                            |                            |                              |                              |                              |
| Judiciary                                   | 1,997                      | 1,773                      | 2,200                      | 2,200                        | 2,200                        | 2,200                        |
| Law, Department of                          | 18,619                     | 20,135                     | 20,260                     | 20,608                       | 21,624                       | 21,624                       |
| <b>Functional Total</b>                     | <u>20,616</u>              | <u>21,908</u>              | <u>22,460</u>              | <u>22,808</u>                | <u>23,824</u>                | <u>23,824</u>                |
| <b>TOTAL PERSONAL SERVICE SPENDING</b>      | <u><u>631,154</u></u>      | <u><u>667,155</u></u>      | <u><u>660,753</u></u>      | <u><u>652,970</u></u>        | <u><u>678,975</u></u>        | <u><u>680,818</u></u>        |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 8,626              | 10,059             | 9,797              | 9,641                | 9,633                | 9,633                |
| Economic Development, Department of                    | 592                | 486                | 245                | 245                  | 245                  | 245                  |
| Financial Services, Department of                      | 335                | 254                | 1,400              | 1,400                | 1,400                | 1,400                |
| Public Service Department                              | 336                | 698                | 40                 | 40                   | 40                   | 40                   |
| <b>Functional Total</b>                                | <b>9,889</b>       | <b>11,497</b>      | <b>11,482</b>      | <b>11,326</b>        | <b>11,318</b>        | <b>11,318</b>        |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Adirondack Park Agency                                 | 12                 | 0                  | 350                | 350                  | 350                  | 350                  |
| Environmental Conservation, Department of              | 18,551             | 14,727             | 16,942             | 16,942               | 16,942               | 16,942               |
| Parks, Recreation and Historic Preservation, Office of | 2,515              | 2,756              | 1,145              | 1,145                | 1,147                | 1,147                |
| <b>Functional Total</b>                                | <b>21,078</b>      | <b>17,483</b>      | <b>18,437</b>      | <b>18,437</b>        | <b>18,439</b>        | <b>18,439</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 3,937              | 2,820              | 3,813              | 3,813                | 3,824                | 3,824                |
| Transportation, Department of                          | 4,466              | 8,450              | 13,585             | 13,435               | 13,425               | 13,435               |
| <b>Functional Total</b>                                | <b>8,403</b>       | <b>11,270</b>      | <b>17,398</b>      | <b>17,248</b>        | <b>17,249</b>        | <b>17,259</b>        |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Aging, Office for the                                  | 5,239              | 5,624              | 3,279              | 4,348                | 4,348                | 4,348                |
| Health, Department of                                  | 638,951            | 615,128            | 635,369            | 629,639              | 592,898              | 597,118              |
| <i>Medicaid Administration</i>                         | 378,387            | 306,994            | 415,845            | 430,670              | 395,725              | 402,435              |
| <i>Public Health</i>                                   | 260,564            | 308,134            | 219,524            | 198,969              | 197,173              | 194,683              |
| Medicaid Inspector General, Office of the              | 5,040              | 2,993              | 4,934              | 4,934                | 4,976                | 4,976                |
| <b>Functional Total</b>                                | <b>649,230</b>     | <b>623,745</b>     | <b>643,582</b>     | <b>638,921</b>       | <b>602,222</b>       | <b>606,442</b>       |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 52,619             | 53,142             | 62,262             | 66,991               | 68,028               | 69,354               |
| <i>OCFS</i>  | 52,619             | 53,142             | 62,262             | 66,991               | 68,028               | 69,354               |
| Housing and Community Renewal, Division of             | 2,581              | 2,374              | 2,709              | 2,709                | 3,155                | 3,293                |
| Human Rights, Division of                              | 1,836              | 1,494              | 1,287              | 1,287                | 1,313                | 1,339                |
| Labor, Department of                                   | 54,857             | 58,129             | 75,632             | 75,632               | 78,546               | 81,686               |
| National and Community Service                         | 16,549             | 14,068             | 15,268             | 15,268               | 15,573               | 15,884               |
| Temporary and Disability Assistance, Office of         | 68,620             | 72,021             | 78,234             | 77,698               | 77,698               | 79,138               |
| <i>All Other</i>                                       | 68,620             | 72,021             | 78,234             | 77,698               | 77,698               | 79,138               |
| <b>Functional Total</b>                                | <b>197,062</b>     | <b>201,228</b>     | <b>235,392</b>     | <b>239,585</b>       | <b>244,313</b>       | <b>250,694</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 2,578              | 1,904              | 2,087              | 2,263                | 2,261                | 2,314                |
| <i>OASAS</i>   | 2,578              | 1,904              | 2,087              | 2,263                | 2,261                | 2,314                |
| Developmental Disabilities Planning Council            | 2,685              | 2,501              | 2,149              | 2,149                | 2,149                | 2,149                |
| Justice Center   | 1,812              | 860                | 536                | 536                  | 536                  | 549                  |
| Mental Health, Office of                               | 386                | 411                | 555                | 555                  | 555                  | 555                  |
| <i>OMH</i>   | 386                | 411                | 555                | 555                  | 555                  | 555                  |
| People with Developmental Disabilities, Office for     | 1,110              | 398                | 1,000              | 1,000                | 1,000                | 1,000                |
| <i>OPWDD</i>   | 1,110              | 398                | 1,000              | 1,000                | 1,000                | 1,000                |
| <b>Functional Total</b>                                | <b>8,571</b>       | <b>6,074</b>       | <b>6,327</b>       | <b>6,503</b>         | <b>6,501</b>         | <b>6,567</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 539                | 524                | 1,191              | 1,191                | 1,191                | 1,191                |
| Criminal Justice Services, Division of                 | 3,966              | 7,676              | 4,249              | 4,249                | 4,249                | 4,249                |
| Homeland Security and Emergency Services, Division of  | 26,673             | 17,581             | 22,499             | 23,190               | 23,190               | 23,190               |
| Military and Naval Affairs, Division of                | 14,870             | 15,948             | 15,198             | 15,502               | 15,812               | 15,812               |
| State Police, Division of                              | 11,619             | 12,700             | 13,000             | 13,000               | 13,000               | 13,400               |
| Victim Services, Office of                             | 611                | 1,071              | 1,012              | 777                  | 777                  | 787                  |
| <b>Functional Total</b>                                | <b>58,278</b>      | <b>55,500</b>      | <b>57,149</b>      | <b>57,909</b>        | <b>58,219</b>        | <b>58,629</b>        |
| <b>HIGHER EDUCATION</b>                                |                    |                    |                    |                      |                      |                      |
| City University of New York                            | 2,181              | 81                 | 7,634              | 7,634                | 7,634                | 7,634                |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

|   | <b>FY 2017<br/>Results</b> | <b>FY 2018<br/>Results</b> | <b>FY 2019<br/>Updated</b> | <b>FY 2020<br/>Projected</b> | <b>FY 2021<br/>Projected</b> | <b>FY 2022<br/>Projected</b> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| Higher Education Services Corporation, New York State     | 5,803                      | 5,133                      | 5,797                      | 5,797                        | 5,797                        | 5,797                        |
| State University of New York                              | 301,813                    | 333,120                    | 304,760                    | 304,760                      | 304,760                      | 304,760                      |
| <b>Functional Total</b>                                   | <b>309,797</b>             | <b>338,334</b>             | <b>318,191</b>             | <b>318,191</b>               | <b>318,191</b>               | <b>318,191</b>               |
| <b>EDUCATION</b>  |                            |                            |                            |                              |                              |                              |
| Arts, Council on the                                      | 0                          | 0                          | 100                        | 100                          | 100                          | 100                          |
| Education, Department of                                  | 82,883                     | 74,958                     | 64,901                     | 64,901                       | 65,381                       | 65,381                       |
| <i>All Other</i>  | 82,883                     | 74,958                     | 64,901                     | 64,901                       | 65,381                       | 65,381                       |
| <b>Functional Total</b>                                   | <b>82,883</b>              | <b>74,958</b>              | <b>65,001</b>              | <b>65,001</b>                | <b>65,481</b>                | <b>65,481</b>                |
| <b>GENERAL GOVERNMENT</b>                                 |                            |                            |                            |                              |                              |                              |
| Elections, State Board of                                 | 2,400                      | 3,763                      | 3,850                      | 4,500                        | 4,000                        | 2,800                        |
| General Services, Office of                               | 8,439                      | 8,062                      | 8,032                      | 8,032                        | 8,032                        | 8,032                        |
| Prevention of Domestic Violence, Office for               | 4                          | 0                          | 0                          | 0                            | 0                            | 0                            |
| State, Department of                                      | 836                        | 1,442                      | 4,046                      | 4,046                        | 4,046                        | 4,046                        |
| Taxation and Finance, Department of                       | 1,216                      | 1,180                      | 1,220                      | 1,220                        | 1,220                        | 1,220                        |
| Technology, Office for                                    | 587                        | 3,126                      | 0                          | 0                            | 0                            | 0                            |
| Veterans' Affairs, Division of                            | 132                        | 104                        | 564                        | 564                          | 575                          | 587                          |
| Workers' Compensation Board                               | 1,882                      | 0                          | 0                          | 0                            | 0                            | 0                            |
| <b>Functional Total</b>                                   | <b>15,496</b>              | <b>17,677</b>              | <b>17,712</b>              | <b>18,362</b>                | <b>17,873</b>                | <b>16,685</b>                |
| <b>ELECTED OFFICIALS</b>                                  |                            |                            |                            |                              |                              |                              |
| Judiciary   | 4,098                      | 4,352                      | 7,800                      | 7,800                        | 7,800                        | 7,800                        |
| Law, Department of  | 6,632                      | 6,973                      | 10,659                     | 10,810                       | 10,964                       | 10,964                       |
| <b>Functional Total</b>                                   | <b>10,730</b>              | <b>11,325</b>              | <b>18,459</b>              | <b>18,610</b>                | <b>18,764</b>                | <b>18,764</b>                |
| <b>ALL OTHER CATEGORIES</b>                               |                            |                            |                            |                              |                              |                              |
| Miscellaneous   | 32                         | (57)                       | 0                          | 0                            | 0                            | 0                            |
| <b>Functional Total</b>                                   | <b>32</b>                  | <b>(57)</b>                | <b>0</b>                   | <b>0</b>                     | <b>0</b>                     | <b>0</b>                     |
| <b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b> | <b>1,371,449</b>           | <b>1,369,034</b>           | <b>1,409,130</b>           | <b>1,410,093</b>             | <b>1,378,570</b>             | <b>1,388,469</b>             |



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|  | FY 2017<br>Results | FY 2018<br>Results | FY 2019<br>Updated | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--------------------|--------------------|--------------------|----------------------|----------------------|----------------------|
| <b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>   |                    |                    |                    |                      |                      |                      |
| Agriculture and Markets, Department of                 | 2,047              | 2,040              | 2,157              | 2,151                | 2,273                | 2,406                |
| Public Service Department                              | 1,640              | 1,788              | 1,723              | 1,723                | 1,723                | 1,723                |
| <b>Functional Total</b>                                | <b>3,687</b>       | <b>3,828</b>       | <b>3,880</b>       | <b>3,874</b>         | <b>3,996</b>         | <b>4,129</b>         |
| <b>PARKS AND THE ENVIRONMENT</b>                       |                    |                    |                    |                      |                      |                      |
| Environmental Conservation, Department of              | 14,392             | 16,621             | 17,375             | 16,953               | 17,976               | 18,357               |
| Parks, Recreation and Historic Preservation, Office of | 0                  | 0                  | 0                  | 0                    | 24                   | 24                   |
| <b>Functional Total</b>                                | <b>14,392</b>      | <b>16,621</b>      | <b>17,375</b>      | <b>16,953</b>        | <b>18,000</b>        | <b>18,381</b>        |
| <b>TRANSPORTATION</b>                                  |                    |                    |                    |                      |                      |                      |
| Motor Vehicles, Department of                          | 685                | 855                | 720                | 720                  | 806                  | 806                  |
| Transportation, Department of                          | 2,549              | 4,350              | 6,550              | 6,547                | 6,383                | 6,490                |
| <b>Functional Total</b>                                | <b>3,234</b>       | <b>5,205</b>       | <b>7,270</b>       | <b>7,267</b>         | <b>7,189</b>         | <b>7,296</b>         |
| <b>HEALTH</b>  |                    |                    |                    |                      |                      |                      |
| Health, Department of                                  | 38,041             | 36,009             | 51,366             | 47,610               | 50,687               | 51,028               |
| <i>Medicaid Administration</i>                         | 1,522              | 2,834              | 6,215              | 6,642                | 7,049                | 7,356                |
| <i>Public Health</i>                                   | 36,519             | 33,175             | 45,151             | 40,968               | 43,638               | 43,672               |
| Medicaid Inspector General, Office of the              | 9,143              | 9,354              | 9,868              | 10,231               | 11,007               | 11,007               |
| <b>Functional Total</b>                                | <b>47,184</b>      | <b>45,363</b>      | <b>61,234</b>      | <b>57,841</b>        | <b>61,694</b>        | <b>62,035</b>        |
| <b>SOCIAL WELFARE</b>                                  |                    |                    |                    |                      |                      |                      |
| Children and Family Services, Office of                | 9,812              | 11,206             | 15,442             | 11,231               | 12,240               | 12,240               |
| <i>OCFS</i>  | 9,812              | 11,206             | 15,442             | 11,231               | 12,240               | 12,240               |
| Housing and Community Renewal, Division of             | 3,811              | 3,500              | 4,005              | 4,005                | 4,005                | 5,501                |
| Labor, Department of                                   | 91,534             | 100,504            | 95,383             | 96,193               | 96,193               | 117,217              |
| National and Community Service                         | 0                  | 0                  | 229                | 236                  | 242                  | 242                  |
| Temporary and Disability Assistance, Office of         | 42,221             | 52,383             | 49,603             | 44,370               | 44,370               | 52,638               |
| <i>All Other</i>                                       | 42,221             | 52,383             | 49,603             | 44,370               | 44,370               | 52,638               |
| <b>Functional Total</b>                                | <b>147,378</b>     | <b>167,593</b>     | <b>164,662</b>     | <b>156,035</b>       | <b>157,050</b>       | <b>187,838</b>       |
| <b>MENTAL HYGIENE</b>                                  |                    |                    |                    |                      |                      |                      |
| Alcoholism and Substance Abuse Services, Office of     | 0                  | 0                  | 2,384              | 2,028                | 2,097                | 2,190                |
| <i>OASAS</i>   | 0                  | 0                  | 2,384              | 2,028                | 2,097                | 2,190                |
| Developmental Disabilities Planning Council            | 231                | 870                | 785                | 785                  | 785                  | 785                  |
| Justice Center   | 0                  | 60                 | 64                 | 64                   | 64                   | 65                   |
| Mental Health, Office of                               | 435                | 1,166              | 456                | 469                  | 469                  | 469                  |
| <i>OMH</i>   | 435                | 1,166              | 456                | 469                  | 469                  | 469                  |
| <b>Functional Total</b>                                | <b>666</b>         | <b>2,096</b>       | <b>3,689</b>       | <b>3,346</b>         | <b>3,415</b>         | <b>3,509</b>         |
| <b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>              |                    |                    |                    |                      |                      |                      |
| Correctional Services, Department of                   | 991                | 1,094              | 1,041              | 1,041                | 1,041                | 1,041                |
| Criminal Justice Services, Division of                 | 331                | 617                | 348                | 348                  | 348                  | 348                  |
| Homeland Security and Emergency Services, Division of  | 6,722              | 6,654              | 7,064              | 4,579                | 4,579                | 4,579                |
| Military and Naval Affairs, Division of                | 6,069              | 5,769              | 10,518             | 6,620                | 6,745                | 6,745                |
| State Police, Division of                              | 1,945              | 1,745              | 1,500              | 1,500                | 1,500                | 1,500                |
| <b>Functional Total</b>                                | <b>16,058</b>      | <b>15,879</b>      | <b>20,471</b>      | <b>14,088</b>        | <b>14,213</b>        | <b>14,213</b>        |
| <b>HIGHER EDUCATION</b>                                |                    |                    |                    |                      |                      |                      |
| Higher Education Services Corporation, New York State  | 1                  | 0                  | 1                  | 1                    | 1                    | 1                    |
| State University of New York                           | 25                 | 25                 | 51                 | 51                   | 51                   | 51                   |
| <b>Functional Total</b>                                | <b>26</b>          | <b>25</b>          | <b>52</b>          | <b>52</b>            | <b>52</b>            | <b>52</b>            |
| <b>EDUCATION</b>                                       |                    |                    |                    |                      |                      |                      |
| Education, Department of                               | 47,843             | 50,773             | 50,935             | 52,426               | 56,000               | 56,000               |
| <i>All Other</i>                                       | 47,843             | 50,773             | 50,935             | 52,426               | 56,000               | 56,000               |
| <b>Functional Total</b>                                | <b>47,843</b>      | <b>50,773</b>      | <b>50,935</b>      | <b>52,426</b>        | <b>56,000</b>        | <b>56,000</b>        |

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

|   | <u>FY 2017<br/>Results</u> | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Updated</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> |
|---|----------------------------|----------------------------|----------------------------|------------------------------|------------------------------|------------------------------|
| <b>GENERAL GOVERNMENT</b>                   |                            |                            |                            |                              |                              |                              |
| Elections, State Board of                   | 49                         | 0                          | 150                        | 300                          | 300                          | 300                          |
| State, Department of                        | 1,172                      | 1,780                      | 2,809                      | 2,810                        | 2,811                        | 2,812                        |
| Veterans' Affairs, Division of              | 218                        | 295                        | 240                        | 255                          | 269                          | 269                          |
| <b>Functional Total</b>                     | <u>1,439</u>               | <u>2,075</u>               | <u>3,199</u>               | <u>3,365</u>                 | <u>3,380</u>                 | <u>3,381</u>                 |
| <b>ELECTED OFFICIALS</b>                    |                            |                            |                            |                              |                              |                              |
| Judiciary                                   | 433                        | 431                        | 400                        | 400                          | 400                          | 400                          |
| Law, Department of                          | 10,298                     | 11,667                     | 12,729                     | 13,229                       | 14,218                       | 14,218                       |
| <b>Functional Total</b>                     | <u>10,731</u>              | <u>12,098</u>              | <u>13,129</u>              | <u>13,629</u>                | <u>14,618</u>                | <u>14,618</u>                |
| <b>TOTAL GENERAL STATE CHARGES SPENDING</b> | <u>292,638</u>             | <u>321,556</u>             | <u>345,896</u>             | <u>328,876</u>               | <u>339,607</u>               | <u>371,452</u>               |

**General Fund Transfers From Other Funds**  
(thousands of dollars)

| SFS Fund   | Account Name   | FY 2018<br>Results | FY 2019<br>Update | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|--|--|--------------------|-------------------|----------------------|----------------------|----------------------|
| <b>RBTF - Dedicated PIT in excess of Debt Service</b>            |  | <b>10,908,781</b>  | <b>23,619,961</b> | <b>24,646,169</b>    | <b>25,052,739</b>    | <b>25,813,447</b>    |
| <b>STBF - Sales Tax Bond Fund</b>                                |  | <b>2,762,646</b>   | <b>2,642,377</b>  | <b>2,677,223</b>     | <b>2,428,312</b>     | <b>2,591,443</b>     |
| <b>LGAC - Dedicated Sales Tax in excess of Debt Service</b>      |  | <b>3,098,439</b>   | <b>3,155,874</b>  | <b>3,292,686</b>     | <b>3,542,241</b>     | <b>3,810,136</b>     |
| <b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b> |  | <b>943,564</b>     | <b>998,430</b>    | <b>1,056,121</b>     | <b>1,096,556</b>     | <b>1,139,814</b>     |
| <b>Total All Other Transfers</b>                                 |  | <b>921,708</b>     | <b>2,834,665</b>  | <b>2,460,314</b>     | <b>2,091,487</b>     | <b>1,761,781</b>     |
| 339.21982  | Administration Program   | 296                | 1,301             | 1,301                | 1,301                | 1,301                |
| 339.22091  | Adult Home Quality Enhancement Account   | 21                 | 21                | 21                   | 21                   | 21                   |
| 339.22110  | Assisted Living Residence Quality Oversight Account                                | 9                  | 9                 | 9                    | 9                    | 9                    |
| 339.22138  | Authority Budget Office Account  | 45                 | 45                | 45                   | 45                   | 45                   |
| 339.22003  | Bell Jar Collection Account  | 639                | 0                 | 0                    | 0                    | 0                    |
| 339.21977  | Business and Licensing Services Account  | 3,785              | 52,145            | 51,841               | 51,225               | 49,495               |
| 339.21971  | Cable Television Account   | 0                  | 2,500             | 0                    | 0                    | 0                    |
| 339.21920  | Certificate of Need Account  | 1,292              | 1,086             | 1,086                | 1,086                | 1,086                |
| 346.22700  | Chemical Dependence Services   | 3,500              | 3,000             | 0                    | 0                    | 0                    |
| 061.20810  | Child Health Insurance Account   | 30                 | 0                 | 0                    | 0                    | 0                    |
| 025.20401  | Child Performer Protection Account   | 87                 | 0                 | 0                    | 0                    | 0                    |
| 334.55055  | Civil Service Administration Account   | 1,400              | 1,651             | 1,651                | 1,651                | 1,651                |
| 396.55301  | Civil Service EBD Administration Reimbursement Account                             | 0                  | 639               | 639                  | 639                  | 639                  |
| 339.21962  | Clinical Laboratory Reference Fee Account  | 786                | 289               | 289                  | 289                  | 289                  |
| 501.23702  | Commercial Gaming Regulation   | 0                  | 2                 | 2                    | 2                    | 2                    |
| 339.21922  | Continuing Care Retirement Community Account                                       | 2                  | 2                 | 2                    | 2                    | 2                    |
| 397.55350  | Correctional Industries Account  | 227                | 357               | 357                  | 357                  | 357                  |
| 339.21945  | Criminal Justice Improvement Account   | 8,847              | 8,596             | 8,596                | 8,596                | 8,596                |
| 072.30050  | Dedicated Highway and Bridge Trust Fund  | 57,208             | 57,567            | 57,567               | 57,567               | 57,567               |
| 339.21923  | Department of Labor Fee and Penalty Account  | 3,252              | 0                 | 0                    | 0                    | 0                    |
| 323.55010  | Design and Construction Account  | 0                  | 1,866             | 1,866                | 1,866                | 1,866                |
| 339.22042  | Division of Economic Development Marketing Account                                 | 131                | 131               | 131                  | 131                  | 131                  |
| 486.26000  | Division of Labor Federal Grants   | 1,571              | 0                 | 0                    | 0                    | 0                    |
| 366.23102  | Drinking Water Program Management and Administration - Health Account              | 0                  | 1,108             | 1,108                | 1,108                | 1,108                |
| 061.20818  | Elderly Pharmaceutical Insurance Coverage Premium Account                          | 33                 | 0                 | 0                    | 0                    | 0                    |
| 061.20809  | Emergency Medical Services Training Account  | 216                | 131               | 131                  | 131                  | 131                  |
| 301.21080  | Environmental Conservation Magazine Account  | 150                | 150               | 150                  | 150                  | 150                  |
| 339.21959  | Environmental Laboratory Fee Account   | 189                | 131               | 131                  | 131                  | 131                  |
| 301.21081  | Environmental Regulatory Account   | 2,835              | 2,835             | 2,835                | 2,835                | 2,835                |
| 307.21351  | Equipment Loan Fund Account  | 0                  | 7                 | 7                    | 7                    | 7                    |
| 339.22065  | Examination and Miscellaneous Revenue Account                                      | 1,600              | 1,961             | 1,961                | 1,961                | 1,961                |
| 267.25200  | Federal Education Fund   | 420                | 1,569             | 1,569                | 1,569                | 1,569                |
| 301.21065  | Federal Grant Indirect Cost Recovery Account                                       | 1,041              | 1,041             | 1,041                | 1,041                | 1,041                |
| 291.313DD  | Federal Grants - Capital   | 220                | 0                 | 0                    | 0                    | 0                    |
| 265.25100  | Federal Health and Human Services Fund   | 97,240             | 117,423           | 103,423              | 103,423              | 103,423              |
| 290.25300  | Federal Operating Grants Fund  | 2,761              | 23,076            | 576                  | 576                  | 576                  |
| 261.25000  | Federal USDA/Food and Nutrition Services Fund                                      | 51,392             | 33,801            | 33,801               | 33,801               | 33,801               |
| 339.21950  | Fingerprint Identification & Technology Account                                    | 12,135             | 20,543            | 20,543               | 20,543               | 20,543               |
| 339.21904  | Fire Prevention and Code Enforcement Account                                       | 0                  | 14,810            | 14,810               | 14,810               | 14,810               |
| 339.22075  | Funeral Directing Program Account  | 24                 | 8                 | 8                    | 8                    | 8                    |
| 312.31500  | Hazardous Waste Remedial Fund  | 24,100             | 25,200            | 25,200               | 25,200               | 25,200               |
| 061.20821  | Health Care Delivery Administration Account  | 16                 | 0                 | 0                    | 0                    | 0                    |
| 506.24850  | Health Care Transformation Account   | 0                  | 555,000           | 667,000              | 432,000              | 118,000              |
| 396.55300  | Health Insurance Internal Services Account   | 3,704              | 3,428             | 3,428                | 3,428                | 3,428                |
| 061.20819  | Health Occupation Development Workplan Demo Account                                | 57                 | 0                 | 0                    | 0                    | 0                    |
| 502.23755  | Health Operation and Oversight Account   | 612                | 0                 | 0                    | 0                    | 0                    |
| 339.22140  | Helen Hayes Hospital Account   | 0                  | 299               | 299                  | 299                  | 299                  |
| 339.21960  | Higher Education Services Corporation - Insurance Premium Payments                 | 12,903             | 16,221            | 15,827               | 15,827               | 15,827               |
| 339.22090  | Housing Indirect Cost Recovery Account   | 201                | 201               | 201                  | 201                  | 201                  |
| 301.21060  | Indirect Charges Account   | 2,608              | 2,085             | 2,085                | 2,085                | 2,085                |
| 334.55071  | Labor Contact Center Account   | 125                | 0                 | 0                    | 0                    | 0                    |
| 339.22096  | Legal Services Assistance Fund   | 9,545              | 9,830             | 9,830                | 9,830                | 9,830                |
| 052.20501  | Local Government Records Management Account  | 782                | 782               | 782                  | 782                  | 782                  |
| 339.22097  | Local Public Health Services Account   | 10                 | 5                 | 5                    | 5                    | 5                    |
| 160.20902  | Lottery Administration - New   | 5,521              | 4,204             | 4,204                | 4,204                | 4,204                |
| 301.21066  | Low Level Radioactive Waste Account  | 103                | 103               | 103                  | 103                  | 103                  |
| 304.40100  | Mental Health Services Fund  | 0                  | 1,335,814         | 1,048,199            | 901,072              | 873,089              |
| 339.21909  | Mental Hygiene Patient Income Account  | 0                  | 37,958            | 0                    | 0                    | 0                    |
| 313.21402  | Metropolitan Mass Transportation Operating Assistance Account                      | 1,886              | 0                 | 0                    | 0                    | 0                    |
| 225.23652  | Metropolitan Transportation Authority Aid Trust Account                            | 33                 | 225               | 225                  | 225                  | 225                  |
| 020.20176  | Miscellaneous Gifts Account Capital  | 1                  | 0                 | 0                    | 0                    | 0                    |
| 314.21452  | Mobile Source Account  | 5,146              | 6,404             | 6,404                | 6,404                | 6,404                |
| 225.23651  | Mobility Tax Trust Account   | 1,016              | 2,000             | 2,000                | 2,000                | 2,000                |
| 339.22144  | Montrose State Veterans Home   | 0                  | 67                | 67                   | 67                   | 67                   |
| 354.22801  | Motor Vehicle Theft and Insurance Fraud Account                                    | 4,300              | 1,100             | 1,100                | 1,100                | 1,100                |
| 339.22062  | New York City Assessment Account   | 48,000             | 0                 | 0                    | 0                    | 0                    |
| 339.22142  | New York State Home for Veterans and their Dependents (Oxford) Account             | 0                  | 119               | 119                  | 119                  | 119                  |
| 339.22141  | NYC Veterans Home (St. Albans) Account   | 0                  | 107               | 107                  | 107                  | 107                  |
| 339.22177  | Occupational Health Clinic Account   | 21                 | 0                 | 0                    | 0                    | 0                    |
| 305.21252  | Occupational Safety and Health Inspection Account                                  | 827                | 0                 | 0                    | 0                    | 0                    |
| 305.21251  | Occupational Safety and Health Training and Education Account                      | 1,106              | 0                 | 0                    | 0                    | 0                    |
| 323.5502Y  | Office of General Services Building Administration Account - Internal Service      | 11                 | 0                 | 0                    | 0                    | 0                    |
| 339.219YL  | Office of General Services Building Administration Account - Special Revenue State | 2,043              | 0                 | 0                    | 0                    | 0                    |
| 323.5502X  | Office of General Services Executive Direction Account                             | 1,867              | 105               | 105                  | 105                  | 105                  |
| 323.5502Z  | Office of General Services Standards and Purchase Account - Internal Service       | 21                 | 0                 | 0                    | 0                    | 0                    |
| 339.219YN  | Office of General Services Standards and Purchase Account - Special Revenue State  | 3,029              | 3,000             | 3,000                | 3,000                | 3,000                |
| 339.22051  | Office of the Professions Account  | 2,777              | 2,777             | 2,777                | 2,777                | 2,777                |
| 339.22239  | Opioid Stewardship Account   | 0                  | 100,000           | 100,000              | 100,000              | 100,000              |

General Fund Transfers From Other Funds  
(thousands of dollars)

| SFS Fund  | Account Name  | FY 2018<br>Results | FY 2019<br>Update | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|-----------|---|--------------------|-------------------|----------------------|----------------------|----------------------|
| 331.OGSPS | Parking Services  | 0                  | 1,000             | 1,000                | 1,000                | 1,000                |
| 339.22163 | Patron Services Account   | 1,568              | 1,568             | 1,568                | 1,568                | 1,568                |
| 061.20816 | Pilot Health Insurance  | 0                  | 102               | 102                  | 102                  | 102                  |
| 061.20814 | Primary Care Initiatives Account                                    | 15                 | 158               | 158                  | 158                  | 158                  |
| S01.23703 | Problem Gambling Services   | 0                  | 1,500             | 0                    | 0                    | 0                    |
| 339.22088 | Professional Medical Conduct Account                                | 874                | 291               | 291                  | 291                  | 291                  |
| 339.22123 | Public Safety Communication Account                                 | 53,651             | 5,161             | 5,161                | 5,161                | 5,161                |
| 339.22011 | Public Service Account  | 4,613              | 5,671             | 5,671                | 5,671                | 5,671                |
| 339.21998 | Public Work Enforcement   | 3,316              | 0                 | 0                    | 0                    | 0                    |
| 339.21965 | Radiological Health Protection                                      | 352                | 216               | 216                  | 216                  | 216                  |
| 339.21944 | Radiology Emergency Preparedness Account                            | 1,350              | 1,350             | 1,350                | 1,350                | 1,350                |
| 339.21993 | Radon Detection Device Account                                      | 2                  | 2                 | 2                    | 2                    | 2                    |
| 301.21067 | Recreation Account  | 200                | 200               | 200                  | 200                  | 200                  |
| 339.22046 | Regulation of Indian Gaming Account                                 | 0                  | 329               | 329                  | 329                  | 329                  |
| 339.22021 | Regulation of Manufactured Housing Account                          | 20                 | 20                | 20                   | 20                   | 20                   |
| 339.21912 | Regulation of Racing Account  | 0                  | 458               | 458                  | 458                  | 458                  |
| 339.22156 | Rent Revenue Other - New York City                                  | 7,449              | 115               | 115                  | 115                  | 115                  |
| 339.21900 | Reserve for Transaction Risks                                       | 0                  | (357,334)         | (257,334)            | (257,334)            | (257,334)            |
| 339.22024 | Revenue Arrearage Account   | 8,645              | 18,677            | 18,677               | 18,677               | 18,677               |
| 339.22028 | State Central Register Account                                      | 1,822              | 6,725             | 1,822                | 1,822                | 1,822                |
| 354.22802 | State Police Motor Vehicle Enforcement Account                      | 113,420            | 115,420           | 112,420              | 112,420              | 112,420              |
| 384.32400 | State University Capital Projects Fund                              | 1                  | 0                 | 0                    | 0                    | 0                    |
| 345.22653 | State University General IFR Account                                | 176,384            | 32,000            | 32,000               | 32,000               | 32,000               |
| 345.22656 | State University Hospital IFR Operations Account                    | 40,000             | 43,352            | 39,884               | 43,308               | 57,300               |
| 339.21902 | Statewide Planning and Research Cooperative System (SPARCS) Account | 1,122              | 4,214             | 4,214                | 4,214                | 4,214                |
| 339.22162 | Systems and Technology Account                                      | 4,502              | 5,320             | 5,320                | 5,320                | 5,320                |
| 061.20801 | Tobacco Control and Cancer Services Account                         | 128                | 0                 | 0                    | 0                    | 0                    |
| 339.22055 | Traffic Adjudication Account  | 1,401              | 0                 | 2,288                | 2,288                | 2,288                |
| 339.21961 | Training Management and Evaluation Account                          | 0                  | 8                 | 8                    | 8                    | 8                    |
| 339.22067 | Transportation Regulation Account                                   | 0                  | 885               | 1,988                | 2,428                | 2,443                |
| 339.21933 | Transportation Surplus Property Account                             | 1,803              | 1,803             | 1,803                | 1,803                | 1,803                |
| 339.22169 | Tribal State Compact Revenue Account                                | 0                  | 207,200           | 126,600              | 126,600              | 126,600              |
| 339.22044 | Tug Hill Administrative Account                                     | 10                 | 10                | 10                   | 10                   | 10                   |
| 050.20451 | Tuition Reimbursement Account                                       | 23                 | 23                | 23                   | 23                   | 23                   |
| 339.22172 | Underground Facilities Safety Training Account                      | 175                | 175               | 175                  | 175                  | 175                  |
| 480.25900 | Unemployment Insurance Administration Fund                          | 28,317             | 50,569            | 50,569               | 50,569               | 50,569               |
| 482.23601 | Unemployment Insurance Special Interest and Penalty Fund            | 3,328              | 11,583            | 11,583               | 11,583               | 11,583               |
| 339.22103 | Vital Records Management Account                                    | 2,807              | 2,405             | 2,405                | 2,405                | 2,405                |
| 160.20903 | VLT Administration Account  | 0                  | 666               | 666                  | 666                  | 666                  |
| 365.23051 | Vocational Rehabilitation Fund                                      | 32                 | 32                | 32                   | 32                   | 32                   |
| 050.20452 | Vocational School Supervision Account                               | 297                | 0                 | 0                    | 0                    | 0                    |
| 339.21995 | Workers' Compensation Account                                       | 13,326             | 16,352            | 16,352               | 16,352               | 16,352               |
| 339.22186 | Youth Facilities Per Diem Account                                   | 65,000             | 199,604           | 71,604               | 81,656               | 81,656               |
|           |   | <b>18,635,138</b>  | <b>33,251,307</b> | <b>34,132,513</b>    | <b>34,211,335</b>    | <b>35,116,621</b>    |

**General Fund Transfers To Other Funds**  
(thousands of dollars)

| SFS Fund  | Account Name   | FY 2018<br>Results | FY 2019<br>Update | FY 2020<br>Projected | FY 2021<br>Projected | FY 2022<br>Projected |
|-----------|--|--------------------|-------------------|----------------------|----------------------|----------------------|
|           | <b>Transfers to State Share of Mental Hygiene Medicaid<sup>1</sup></b> | <b>1,333,315</b>   | <b>0</b>          | <b>0</b>             | <b>0</b>             | <b>0</b>             |
|           | <b>Transfers to Debt Service Funds</b>                                 | <b>1,047,221</b>   | <b>775,415</b>    | <b>823,128</b>       | <b>904,188</b>       | <b>747,443</b>       |
|           | <b>Transfers to Capital Projects Funds</b>                             | <b>2,190,643</b>   | <b>2,635,081</b>  | <b>3,424,610</b>     | <b>3,171,133</b>     | <b>3,181,951</b>     |
|           | <b>Transfers to SUNY University Operations</b>                         | <b>1,014,993</b>   | <b>1,020,245</b>  | <b>1,008,149</b>     | <b>1,003,778</b>     | <b>1,003,778</b>     |
|           | <b>Total All Other Transfers</b>                                       | <b>4,265,316</b>   | <b>1,098,742</b>  | <b>1,079,736</b>     | <b>1,158,255</b>     | <b>1,298,336</b>     |
| 020.20143 | Alzheimers Disease Assistance  | 271                | 270               | 270                  | 270                  | 270                  |
| 334.55057 | Banking Services Account   | 40,514             | 60,109            | 53,435               | 53,435               | 53,435               |
| 339.22032 | Batavia School For the Blind Account                                   | 900                | 900               | 900                  | 900                  | 900                  |
| 020.20155 | Breast Cancer Research and Education Account                           | 412                | 500               | 500                  | 500                  | 500                  |
| 323.55022 | Business Services Center   | 0                  | 6,000             | 6,000                | 6,000                | 6,000                |
| 334.55069 | Centralized Technology Services Account                                | 8,960              | 13,960            | 11,460               | 11,460               | 11,460               |
| 054.20601 | Charter School Stimulus Account  | 4,837              | 4,837             | 4,837                | 4,837                | 4,837                |
| 020.20100 | Combined Expendable Trust Fund   | 0                  | 178,889           | 149,300              | 149,300              | 149,300              |
| 397.55350 | Correctional Industries Account  | 12,000             | 20,773            | 20,773               | 20,773               | 20,773               |
| 340.22501 | Court Facility Income Account  | 109,396            | 110,000           | 109,000              | 110,000              | 110,000              |
| 339.22015 | Crimes Against Revenue Program Account                                 | 2,000              | 0                 | 0                    | 0                    | 0                    |
| 073.20853 | Dedicated Mass Transportation Non MTA                                  | 5,013              | 5,274             | 5,274                | 5,274                | 5,274                |
| 339.22056 | Federal Salary Sharing Account   | 0                  | 2,727             | 2,787                | 2,859                | 2,940                |
| 319.40300 | Health Income Fund   | 15,259             | 16,079            | 16,079               | 16,079               | 16,079               |
| 396.55300 | Health Insurance Internal Services Account                             | 8,083              | 8,083             | 8,083                | 8,083                | 8,083                |
| 502.23755 | Health Operation and Oversight Account                                 | 4,540              | 6,550             | 4,626                | 6,913                | 6,913                |
| 316.40250 | Housing Debt Fund  | 1,006              | 1,000             | 1,000                | 1,000                | 1,000                |
| 390.23551 | Indigent Legal Services  | 25,347             | 35,000            | 57,621               | 81,781               | 81,781               |
| 339.22157 | Medicaid Income Account  | 211                | 0                 | 0                    | 0                    | 0                    |
| 339.21909 | Mental Hygiene Patient Income Account <sup>2</sup>                     | 1,463,076          | 0                 | 0                    | 0                    | 0                    |
| 339.21907 | Mental Hygiene Program Fund Account <sup>2</sup>                       | 1,664,165          | 0                 | 0                    | 0                    | 0                    |
| 313.21402 | Metropolitan Mass Transportation Operating Assistance Account          | 20,975             | 21,175            | 21,175               | 21,175               | 21,175               |
| 225.23651 | Mobility Tax Trust Account   | 268,436            | 244,250           | 244,250              | 244,250              | 244,250              |
| 334.55059 | Neighbor Work Project Account  | 1,000              | 1,000             | 1,000                | 1,000                | 1,000                |
| 368.23151 | NYC County Clerk Operations Offset Fund                                | 3,656              | 4,400             | 4,400                | 4,400                | 4,400                |
| 323.5502Y | OGS Building Administration Account                                    | 0                  | 1,500             | 1,500                | 1,500                | 1,500                |
| 323.5502X | OGS Executive Direction Account  | 21,783             | 21,783            | 21,783               | 21,783               | 21,783               |
| 020.20183 | Prostate Cancer Research and Education                                 | 172                | 200               | 200                  | 200                  | 200                  |
| 313.21401 | Public Transportation Systems Operating Assistance Account             | 15,058             | 16,144            | 16,144               | 16,144               | 16,144               |
| 073.20852 | Railroad Account   | 8,772              | 9,216             | 9,216                | 9,216                | 9,216                |
| 339.22171 | Recruitment Incentive Account  | 2,087              | 2,087             | 2,087                | 2,087                | 2,087                |
| 339.22053 | Rome School for the Deaf Account                                       | 1,032              | 1,020             | 1,020                | 1,020                | 1,020                |
| 339.21987 | Spinal Cord Injury   | 8,500              | 8,500             | 8,500                | 8,500                | 8,500                |
| 345.22653 | State University General IFR Account                                   | 13,540             | 0                 | 0                    | 0                    | 0                    |
| 345.22656 | State University Hospital IFR Operations Account                       | 483,317            | 243,000           | 243,000              | 243,000              | 243,000              |
| 339.22168 | Tax Revenue Arrearage Account  | 1,500              | 1,500             | 1,500                | 1,500                | 1,500                |
| 073.20851 | Transit Authorities Account  | 48,876             | 51,394            | 51,394               | 102,394              | 242,394              |
| 020.20128 | WB Hoyt Memorial Trust Fund  | 622                | 622               | 622                  | 622                  | 622                  |
|           |  | <b>9,851,488</b>   | <b>5,529,483</b>  | <b>6,335,623</b>     | <b>6,237,354</b>     | <b>6,231,508</b>     |

<sup>1</sup>The State will continue to pay its share of Medicaid costs; however, after the reclassification of Mental Hygiene spending from the Mental Hygiene Program Fund and the Patient Income Account to the General Fund, the State Share of Mental Hygiene Medicaid will be transferred within the General Fund, rather than the Special Revenue Funds.

<sup>2</sup>Effective FY 2019, spending associated with Mental Hygiene agencies' will now be centrally accounted for in the General Fund. On a statewide basis, transactions related to the Mental Hygiene reclassification are technical in nature and have no impact on programmatic spending across the Mental Hygiene agencies.

**CASH COMBINING STATEMENT**  
**GENERAL FUND**  
**FY 2019**  
(millions of dollars)

|   | General Fund | Stabilization Reserve Fund | Tax Reserve Fund | Contingency Reserve Fund | Community Projects Fund | Rainy Day Reserve Fund | Extraordinary Monetary Settlements | Refund Reserve | Debt Management | Escrow Account | Fringe Benefits | Total   |
|---|--------------|----------------------------|------------------|--------------------------|-------------------------|------------------------|------------------------------------|----------------|-----------------|----------------|-----------------|---------|
| <b>Opening Fund Balance</b>               | 0            | 1,258                      | 21               | 46                       | 540                     | 5,020                  | 2,060                              | 500            | 0               | 0              | 0               | 9,445   |
| <b>Receipts:</b>                          |              |                            |                  |                          |                         |                        |                                    |                |                 |                |                 |         |
| Taxes                                     | 37,070       | 0                          | 0                | 0                        | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 37,070  |
| Miscellaneous Receipts                    | 2,030        | 0                          | 0                | 0                        | 0                       | 444                    | 0                                  | 0              | 0               | 0              | 0               | 2,474   |
| Federal Grants                            | 0            | 0                          | 0                | 0                        | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 0       |
| <b>Total receipts</b>                     | 39,100       | 0                          | 0                | 0                        | 0                       | 444                    | 0                                  | 0              | 0               | 0              | 0               | 39,544  |
| <b>Disbursements:</b>                     |              |                            |                  |                          |                         |                        |                                    |                |                 |                |                 |         |
| Local Assistance                          | 51,003       | 0                          | 0                | 10                       | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 51,013  |
| State Operations                          | 11,850       | 0                          | 0                | 0                        | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 11,850  |
| General State Charges                     | 7,392        | 0                          | 0                | 0                        | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 7,392   |
| Debt Service                              | 0            | 0                          | 0                | 0                        | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 0       |
| Capital Projects                          | 0            | 0                          | 0                | 0                        | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 0       |
| <b>Total disbursements</b>                | 70,245       | 0                          | 0                | 10                       | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 70,255  |
| <b>Other financing sources (uses):</b>    |              |                            |                  |                          |                         |                        |                                    |                |                 |                |                 |         |
| Transfers from Other Funds                | 73,502       | 0                          | 0                | 0                        | 0                       | 0                      | 512                                | 0              | 0               | (40,763)       | 0               | 33,251  |
| Transfers to Other Funds                  | (42,357)     | 0                          | 0                | (3)                      | 0                       | (1,515)                | (2,417)                            | 0              | 0               | 40,763         | 0               | (5,529) |
| Bond and Note Proceeds                    | 0            | 0                          | 0                | 0                        | 0                       | 0                      | 0                                  | 0              | 0               | 0              | 0               | 0       |
| <b>Net other financing sources (uses)</b> | 31,145       | 0                          | 0                | (3)                      | 0                       | (1,515)                | (1,905)                            | 0              | 0               | 0              | 0               | 27,722  |
| <b>Change in Fund Balance</b>             | 0            | 0                          | 0                | (13)                     | 0                       | (1,071)                | (1,905)                            | 0              | 0               | 0              | 0               | (2,989) |
| <b>Closing Fund Balance</b>               | 0            | 1,258                      | 21               | 33                       | 540                     | 3,949                  | 155                                | 500            | 0               | 0              | 0               | 6,456   |

**CASH COMPARING STATEMENT  
SPECIAL FUND C/F FUND  
FY 2019**  
(Thousands of dollars)

|                                    | MENTAL<br>HEALTH GIFTS<br>DON. AND<br>LOAN (2000-2099) | COMBINED<br>EXPENDABLE<br>LOAN (2000-2099) | NEW YORK<br>INTEREST ON<br>LAWYER<br>ASSISTANCE (2090-2099) | NEW YORK STATE<br>ARCHIVES<br>PARTNERSHIP (2095-2099) | PERFORMERS<br>(2000-2048) | CHILD<br>CARE (2000-2048) | TUITION<br>REIMBURSEMENT (2045-2089) | LOCAL<br>GOVERNMENT<br>RECORDS<br>MANAGEMENT (2000-2048) | SCHOOL TAX<br>EXEMPTION (2050-2099) | CHARTER<br>SCHOOL<br>OPERATION (2060-2068) | HEALTH CARE<br>REFORM ACT (2080-2089) | DEDICATED<br>MASS<br>TRANSPORTATION (2080-2089) |
|------------------------------------|--|--|---|---|---------------------------|---------------------------|--------------------------------------|--|-------------------------------------|--|---------------------------------------|---|
| Opening Fund Balance               | 2,334  | 64,073                                     | 39,952  | 51  | 23                        | 4,907                     | 0                                    | 5,664  | 0                                   | 14,572                                     | 75,055                                |   |
| Receipts:                          |  |  |   |   |                           |                           |                                      |  |                                     |  |                                       |   |
| Taxes                              | 0  | 0  | 0   | 0   | 0                         | 0                         | 0                                    | 0  | 2,459,259                           | 0  | 791,000                               | 474,800   |
| Miscellaneous Receipts             | 72   | (167,120)                                  | 33,000  | 318   | 65                        | 9,233                     | 0                                    | 0  | 0                                   | 0  | 5,080,879                             | 144,489   |
| Federal Grants                     | 0  | 0  | 0   | 0   | 0                         | 0                         | 0                                    | 0  | 0                                   | 0  | 0                                     | 0   |
| Total Receipts                     | 72   | (167,120)                                  | 33,000  | 318   | 65                        | 9,233                     | 0                                    | 0  | 2,459,259                           | 0  | 5,871,879                             | 619,289   |
| Disbursements:                     |  |  |   |   |                           |                           |                                      |  |                                     |  |                                       |   |
| Local Assistance                   | 0  | 9,019                                      | 23,000  | 0   | 0                         | 5,056                     | 0                                    | 4,837  | 2,459,259                           | 0  | 5,622,825                             | 682,844   |
| State Operations                   | 72   | 2,881                                      | 1,499   | 420   | 247                       | 2,149                     | 0                                    | 0  | 0                                   | 0  | 39,040                                | 0   |
| General State Changes              | 0  | 220  | 528   | 187   | 149                       | 1,115                     | 0                                    | 0  | 0                                   | 0  | 6,603                                 | 0   |
| Debt Service                       | 0  | 0  | 0   | 0   | 0                         | 0                         | 0                                    | 0  | 0                                   | 0  | 0                                     | 0   |
| Capital Projects                   | 0  | 0  | 0   | 0   | 0                         | 0                         | 0                                    | 0  | 0                                   | 0  | 0                                     | 0   |
| Total Disbursements                | 72   | 12,120                                     | 25,027  | 607   | 396                       | 8,320                     | 0                                    | 4,837  | 2,459,259                           | 0  | 5,668,468                             | 682,844   |
| Other Financing Sources (Uses):    |  |  |   |   |                           |                           |                                      |  |                                     |  |                                       |   |
| Transfers from Other Funds         | 0  | 180,481                                    | 0   | 300   | 300                       | 0                         | 0                                    | 0  | 0                                   | 0  | 0                                     | 65,884  |
| Transfers to Other Funds           | 0  | 0  | 0   | (8)   | 0                         | (265)                     | 0                                    | (1,383)  | 0                                   | 0  | (217,978)                             | 0   |
| Bond & Note Proceeds               | 0  | 0  | 0   | 0   | 0                         | 0                         | 0                                    | 0  | 0                                   | 0  | 0                                     | 0   |
| Net Other Financing Sources (Uses) | 0  | 180,481                                    | 0   | 292   | 300                       | (265)                     | 0                                    | (1,383)  | 0                                   | 0  | (217,978)                             | 65,884  |
| Change in Fund Balance             | 0  | 1,241                                      | 7,973   | 3   | (31)                      | 779                       | 0                                    | (470)  | 0                                   | 0  | (14,567)                              | 2,329   |
| Closing Fund Balance               | 2,334  | 65,314                                     | 47,925  | 54  | (8)                       | 7,513                     | 0                                    | 4,437  | 0                                   | 5,664                                      | 5                                     | 77,384  |

|                                    | STATE<br>LOTTERY (2090-2099) | COMBINED<br>STUDENT LOAN (2090-2099) | MTA FINANCIAL<br>ASSISTANCE (2090-2099) | FEDERAL<br>UNION/FOOD<br>AND SERVICE (2090-2099) | FEDERAL<br>HEALTH AND<br>WELFARE (2090-2099) | FEDERAL<br>MISCELLANEOUS<br>GRANTS (2090-2099) | FEDERAL<br>EDUCATION (2090-2099) | SEWAGE<br>TREATMENT<br>PROGRAM<br>ADMINISTRATION (2090-2099) | HOON<br>SCHOOL<br>REVENUE (2090-2099) | CONSERVATION (2090-2099) | ENVIRONMENTAL<br>PROTECTION<br>ADMINISTRATION (2090-2099) |
|------------------------------------|------------------------------|--------------------------------------|---|--|--|--|----------------------------------|--|---------------------------------------|--------------------------|---|
| Opening Fund Balance               | 105,952                      | 9,489                                | 165,728                                 | 25,452   | 370,545                                      | (227,683)                                      | (1,094)                          | (3,342)  | (4,708)                               | 73,557                   | 36,754  |
| Receipts:                          |                              |                                      |   |  |  |  |                                  |  |                                       |                          |   |
| Taxes                              | 0                            | 0                                    | 99,000                                  | 0  | 0  | 0  | 0                                | 0  | 0                                     | 0                        | 0   |
| Miscellaneous Receipts             | 3,253,761                    | 27,467                               | 193,500                                 | 100,011  | 43,998                                       | 4,255  | 392                              | 900  | 77,111                                | 46,792                   | 57,976  |
| Federal Grants                     | 0                            | 650                                  | 0                                       | 2,115,926  | 52,852,483                                   | 1,417,488                                      | 3,130,867                        | 0  | 0                                     | 0                        | 0   |
| Total Receipts                     | 3,253,761                    | 28,117                               | 292,500                                 | 2,215,937  | 52,856,481                                   | 1,421,743                                      | 3,140,259                        | 900  | 77,111                                | 46,792                   | 57,976  |
| Disbursements:                     |                              |                                      |   |  |  |  |                                  |  |                                       |                          |   |
| Local Assistance                   | 3,200,800                    | 0                                    | 669,699                                 | 2,062,563  | 49,977,762                                   | 1,124,760                                      | 2,548,621                        | 0  | 0                                     | 0                        | 0   |
| State Operations                   | 52,905                       | 23,888                               | 96,539                                  | 954,542  | 520,234                                      | 265,180  | 432                              | 432  | 65,887                                | 24,525                   | 13,390  |
| General State Changes              | 11,833                       | 0                                    | 0                                       | 21,751   | 115,040                                      | 58,006   | 55,757                           | 266  | 28,746                                | 13,808                   | 7,691   |
| Debt Service                       | 0                            | 0                                    | 0                                       | 0  | 0  | 0  | 0                                | 0  | 0                                     | 0                        | 0   |
| Capital Projects                   | 0                            | 0                                    | 0                                       | 0  | 0  | 0  | 0                                | 0  | 0                                     | 0                        | 0   |
| Total Disbursements                | 3,265,538                    | 23,888                               | 669,699                                 | 2,180,853  | 51,047,344                                   | 1,445,946                                      | 3,126,612                        | 698  | 94,633                                | 38,333                   | 21,081  |
| Other Financing Sources (Uses):    |                              |                                      |   |  |  |  |                                  |  |                                       |                          |   |
| Transfers from Other Funds         | 0                            | 0                                    | 244,250                                 | 0  | 0  | 11,517   | 0                                | 0  | 19,157                                | 75                       | 19,006  |
| Transfers to Other Funds           | (4,870)                      | 0                                    | (2,225)                                 | (34,092)   | (2,042,485)                                  | (33,833)                                       | (12,827)                         | 0  | (10,751)                              | (1,895)                  | (49,306)  |
| Bond & Note Proceeds               | 0                            | 0                                    | 0                                       | 0  | 0  | 0  | 0                                | 0  | 0                                     | 0                        | 0   |
| Net Other Financing Sources (Uses) | (4,870)                      | 0                                    | 242,025                                 | (34,092)   | (2,042,485)                                  | (22,316)                                       | (12,827)                         | 0  | 8,406                                 | (1,820)                  | (30,300)  |
| Change in Fund Balance             | (4,870)                      | 4,529                                | (16,647)                                | 992  | (193,348)                                    | (2,820)  | 2,820                            | 202  | (9,116)                               | 6,639                    | 6,595   |
| Closing Fund Balance               | 89,305                       | 14,018                               | 30,554                                  | 26,444   | 177,197                                      | (274,202)                                      | 1,726                            | (3,140)  | (1,382.4)                             | 80,196                   | 43,349  |

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2019**  
(Thousands of dollars)

|   | TRAINING AND<br>EDUCATION<br>PROGRAM ON<br>OCCUPATIONAL<br>SAFETY<br>(21250-21299) | LAWYERS'<br>FUND<br>FOR THE<br>CLIENT<br>PROGRAM<br>(21300-21349) | EQUIPMENT<br>LOAN FUND<br>FOR THE<br>CLIENT<br>(21350-21399) | MASS<br>TRANSPORTATION<br>OPERATING<br>PROGRAM<br>(21400-21449) | CLEAN<br>ENERGY<br>PROGRAM<br>(21450-21499) | NEW YORK STATE<br>INFRASTRUCTURE<br>PROGRAM<br>(21500-21549) | LEGISLATIVE<br>COMPUTER<br>PROGRAM<br>(21550-21599) | STATE<br>UNIVERSITY<br>DOMINIUM<br>PROGRAM<br>(21600-21649) | COMBINED<br>NON-<br>EXPENDABLE<br>PROGRAM<br>(21650-21699) | WINTER<br>SPORTS<br>EDUCATION<br>PROGRAM<br>(21700-21749) | MUSICAL<br>INSTRUMENT<br>REVOLVING<br>FUND<br>(21750-21799) |
|---|--|---|--|---|---|--|---|---|--|---|---|
| <b>Opening Fund Balance</b>               | 4,333  | 7,630   | 537  | 17,716  | (25,556)                                    | 68   | 10,926  | 240,235   | 461  | 0   | 1   |
| <b>Receipts:</b>                          |  |   |  |   |   |  |   |   |  |   |   |
| Taxes                                     | 0  | 0   | 0  | 2,308,600   | 0   | 0  | 0   | 0   | 0  | 0   | 0   |
| Miscellaneous Receipts                    | 48,496   | 10,000  | 50   | 17,500  | 43,200                                      | 0  | 1,719   | 344,024   | 115  | 75  | 0   |
| Federal Grants                            | 0  | 0   | 0  | 0   | 0   | 0  | 0   | 0   | 0  | 0   | 0   |
| <b>Total Receipts</b>                     | 48,496   | 10,000  | 50   | 2,326,100   | 43,200                                      | 0  | 1,719   | 344,024   | 115  | 75  | 0   |
| <b>Disbursements:</b>                     |  |   |  |   |   |  |   |   |  |   |   |
| Local Assistance                          | 0  | 0   | 0  | 2,279,866   | 0   | 0  | 0   | 0   | 0  | 0   | 0   |
| State Operations                          | 33,684   | 12,600  | 91   | 4,031   | 23,149                                      | 0  | 950   | 0   | 59   | 75  | 0   |
| General State Charges                     | 13,505   | 200   | 0  | 2,124   | 12,176                                      | 0  | 0   | 0   | 0  | 0   | 0   |
| Debt Service                              | 0  | 0   | 0  | 0   | 0   | 0  | 0   | 0   | 0  | 0   | 0   |
| Capital Projects                          | 0  | 0   | 0  | 0   | 0   | 0  | 0   | 0   | 0  | 0   | 0   |
| <b>Total Disbursements</b>                | 47,189   | 12,800  | 91   | 2,286,021   | 35,325                                      | 0  | 950   | 0   | 59   | 75  | 0   |
| <b>Other Financing Sources (Uses):</b>    |  |   |  |   |   |  |   |   |  |   |   |
| Transfers from Other Funds                | 0  | 0   | 0  | 37,319  | 0   | 0  | 0   | 0   | 0  | 0   | 0   |
| Transfers to Other Funds                  | 0  | 0   | (7)  | (8,205)   | (6,404)                                     | 0  | 0   | (384,016)   | 0  | 0   | 0   |
| Bond & Note Proceeds                      | 0  | 0   | 0  | 0   | 0   | 0  | 0   | 0   | 0  | 0   | 0   |
| <b>Net Other Financing Sources (Uses)</b> | 0  | 0   | (7)  | 29,114  | (6,404)                                     | 0  | 0   | (384,016)   | 0  | 0   | 0   |
| <b>Change in Fund Balance</b>             | 1,207  | (2,800)   | (48)   | 69,193  | 1,471                                       | 0  | 769   | (39,992)  | 56   | 0   | 0   |
| <b>Closing Fund Balance</b>               | 5,640  | 4,830   | 489  | 86,909  | (24,085)                                    | 68   | 11,695  | 200,243   | 517  | 0   | 1   |

|   | ARTS<br>CAPITAL<br>PROGRAM<br>(21850-21899) | MISCELLANEOUS<br>STATE SPECIAL<br>PROGRAM<br>(21900-21949) | COURT<br>FACILITIES<br>PROGRAM<br>(22500-22549) | EMPLOYMENT<br>PROGRAM<br>(22550-22599) | STATE<br>UNIVERSITY<br>PROGRAM<br>(22600-22649) | CHEMICAL<br>DEPENDENCE<br>PROGRAM<br>(22700-22749) | LAW GEORGE<br>PROGRAM<br>(22750-22799) | LAW ENFORCEMENT<br>& MOTOR VEHICLE<br>THEFT & INSURANCE<br>PROGRAM<br>(22800-22849) | NEW YORK<br>GREAT LAKES<br>PROGRAM<br>(22850-22899) | FEDERAL<br>REVENUE<br>MAINTENANCE<br>PROGRAM<br>(22900-22949) | HOUSING<br>DEVELOPMENT<br>PROGRAM<br>(22950-22999) |
|---|---|--|---|--|---|--|--|---|---|---|--|
| <b>Opening Fund Balance</b>               | 916   | 1,240,418  | 2,883   | 50                                     | 1,370,597                                       | 14,526   | (142)                                  | 27,137  | 704   | 23  | 10,558   |
| <b>Receipts:</b>                          |   |  |   |  |   |  |  |   |   |   |  |
| Taxes                                     | 0   | 0  | 0   | 0                                      | 0   | 0  | 0                                      | 0   | 0   | 0   | 0  |
| Miscellaneous Receipts                    | 60  | 1,714,016  | 150   | 0                                      | 4,878,783                                       | 6,479  | 1,208                                  | 123,567   | 160   | 0   | 900  |
| Federal Grants                            | 0   | 89   | 0   | 0                                      | 0   | 0  | 0                                      | 0   | 0   | 0   | 0  |
| <b>Total Receipts</b>                     | 60  | 1,714,105  | 150   | 0                                      | 4,878,783                                       | 6,479  | 1,208                                  | 123,567   | 160   | 0   | 900  |
| <b>Disbursements:</b>                     |   |  |   |  |   |  |  |   |   |   |  |
| Local Assistance                          | 98  | 274,718  | 108,700   | 0                                      | 0   | 0  | 0                                      | 4,237   | 0   | 0   | 852  |
| State Operations                          | 0   | 1,129,385  | 1,800   | 0                                      | 5,916,323                                       | 6,456  | 971                                    | 9,244   | 155   | 0   | 0  |
| General State Charges                     | 0   | 404,698  | 800   | 0                                      | 485,071   | 0  | 425                                    | 35  | 53  | 0   | 0  |
| Debt Service                              | 0   | 0  | 0   | 0                                      | 0   | 0  | 0                                      | 0   | 0   | 0   | 0  |
| Capital Projects                          | 0   | 0  | 0   | 0                                      | 0   | 0  | 0                                      | 0   | 0   | 0   | 0  |
| <b>Total Disbursements</b>                | 98  | 1,808,801  | 111,300   | 0                                      | 6,401,394                                       | 6,456  | 1,396                                  | 13,516  | 208   | 0   | 852  |
| <b>Other Financing Sources (Uses):</b>    |   |  |   |  |   |  |  |   |   |   |  |
| Transfers from Other Funds                | 0   | 690,156  | 110,000   | 0                                      | 1,783,200                                       | 0  | 0                                      | 0   | 0   | 0   | 0  |
| Transfers to Other Funds                  | 0   | (597,131)  | (167)   | 0                                      | (254,090)                                       | (13,500)   | 0                                      | (116,520)   | 0   | 0   | 0  |
| Bond & Note Proceeds                      | 0   | 0  | 0   | 0                                      | 0   | 0  | 0                                      | 0   | 0   | 0   | 0  |
| <b>Net Other Financing Sources (Uses)</b> | 0   | 93,025   | 109,833   | 0                                      | 1,529,110                                       | (13,500)   | (188)                                  | (116,520)   | 0   | 0   | 0  |
| <b>Change in Fund Balance</b>             | (38)  | (1,671)  | (1,317)   | 0                                      | 6,499   | (13,477)   | (6,469)                                | (6,469)   | (48)  | 0   | 48   |
| <b>Closing Fund Balance</b>               | 878   | 1,238,747  | 1,566   | 50                                     | 1,377,096                                       | 1,049  | (330)                                  | 20,668  | 656   | 23  | 10,606   |



CASH-COMBINING STATEMENT  
SPECIAL FUND  
FY 2019  
(Thousands of Dollars)

|  | MISDOT<br>HIGHWAY<br>SAFETY<br>PROGRAM<br>(23000-23499) | VOCATIONAL<br>REPAIRS<br>(23000-23699) | DRINKING<br>WATER<br>PROGRAM<br>AND<br>MAINTENANCE<br>(23100-23199) | NEW YORK CITY<br>COUNTY CLERKS'<br>OPERATIONS<br>(23150-23199) | JUDICIARY<br>DATA<br>PROCESSING<br>(23300-23499) | CITY<br>UNIVERSITY<br>TUITION<br>REPAIRS<br>(23250-23499) | US CIVICIC<br>LAKE PLACID<br>OLYMPIC<br>COMMITTEE<br>(23500-23499) | INDIGENT<br>LEGAL<br>FEES<br>(23550-23599) | UNEMPLOYMENT<br>INSURANCE<br>ADMINISTRATION<br>(23500-23599) | UNEMPLOYMENT<br>INSURANCE<br>INTEREST<br>AND<br>PENALTIES<br>(23600-23649) | UNEMPLOYMENT<br>INSURANCE<br>OCCUPATIONAL<br>INVESTMENT<br>(23590-23599) |
|--|---|--|---|--|--|---|--|--|--|--|--|
| Opening Fund Balance                   | (10,567)  | 4                                      | (5,351)   | (25,782)   | 19,608   | 169,199   | 180  | 263,674                                    | 128,160  | 35,533   | (511)  |
| Receipts:                              |   |  |   |  |  |   |  |  |  |  |  |
| Taxes                                  | 0   | 0                                      | 0   | 0  | 0  | 0   | 0  | 0  | 0  | 0  | 0  |
| Miscellaneous Receipts                 | 3,068   | 100                                    | 0   | 34,900   | 40,000   | 97,641  | 85   | 132,000                                    | 53,840   | 15,866   | 0  |
| Federal Grants                         | 0   | 0                                      | 0   | 0  | 0  | 0   | 0  | 0  | 306,186  | 0  | 7,780  |
| <b>Total Receipts</b>                  | <b>3,068</b>  | <b>100</b>                             | <b>0</b>  | <b>34,900</b>  | <b>40,000</b>                                    | <b>97,641</b>   | <b>85</b>  | <b>132,000</b>                             | <b>360,026</b>   | <b>15,866</b>  | <b>7,780</b>   |
| Disbursements:                         |   |  |   |  |  |   |  |  |  |  |  |
| Local Assistance                       | 0   | 20                                     | 0   | 0  | 0  | 0   | 0  | 166,253                                    | 9,339  | 0  | 7,780  |
| State Operations                       | 3,449   | 25                                     | 0   | 23,573   | 24,861   | 95,092  | 75   | 28,815                                     | 213,034  | 2,685  | 0  |
| General State Charges                  | 0   | 0                                      | 0   | 11,500   | 8,900  | 150   | 0  | 1,906                                      | 87,084   | 1,173  | 0  |
| Debt Service                           | 0   | 0                                      | 0   | 0  | 0  | 0   | 0  | 0  | 0  | 0  | 0  |
| Capital Projects                       | 0   | 0                                      | 0   | 0  | 0  | 0   | 0  | 0  | 0  | 0  | 0  |
| <b>Total Disbursements</b>             | <b>3,449</b>  | <b>45</b>                              | <b>0</b>  | <b>35,073</b>  | <b>33,761</b>                                    | <b>95,242</b>   | <b>75</b>  | <b>196,974</b>                             | <b>309,457</b>   | <b>3,858</b>   | <b>7,780</b>   |
| <b>Other Financing Sources (Uses):</b> |   |  |   |  |  |   |  |  |  |  |  |
| Transfers from Other Funds             | 0   | 0                                      | 0   | 4,400  | 0  | 0   | 0  | 35,000                                     | 0  | 0  | 0  |
| Transfers to Other Funds               | (32)  | (32)                                   | (1,108)   | (1,108)  | 0  | 0   | 0  | (50,569)                                   | (11,583)   | 0  | 0  |
| Bond & Note Proceeds                   | 0   | 0                                      | 0   | 0  | 0  | 0   | 0  | 0  | 0  | 0  | 0  |
| Net Other Financing Sources (Uses)     | 0   | (32)                                   | (1,108)   | 4,400  | 0  | 0   | 0  | 35,000                                     | (50,569)   | (11,583)   | 0  |
| Change in Fund Balance                 | (32)  | 23                                     | (1,108)   | 4,227  | 6,239  | 2,399   | 10   | (29,974)                                   | 0  | 45   | 0  |
| Closing Fund Balance                   | (10,948)  | 27                                     | (6,459)   | (21,555)   | 25,847   | 171,588   | 190  | 233,700                                    | 128,160  | 35,958   | (511)  |

|  | FEDERAL<br>EMPLOYMENT<br>AND TRAINING<br>GRANTS<br>(26000-26049) | NEW YORK<br>COMMERCIAL<br>GRANTS<br>(23700-23749) | MEDICAL<br>MARIJUANA<br>TRUST<br>(23750-23799) | DUPLICATED<br>MISCELLANEOUS<br>REVENUE<br>(23800-23801) | FANTASY<br>SPORTS<br>(24890-24951) | HEALTHCARE<br>TRANSFERS<br>(24850) | CHARITABLE<br>GIFTS<br>TRUST FUND<br>(24900-24901) | SPECIAL<br>REVENUE<br>OTHER | SUB TOTAL         | ELIMINATIONS | FINANCIAL<br>PLAN |
|--|--|---|--|---|------------------------------------|------------------------------------|--|-----------------------------|-------------------|--------------|-------------------|
| Opening Fund Balance                   | (1,270)  | 23,436  | 5,616  | 4,112   | 8,008                              | 0                                  | 0  | 0                           | 4,302,085         | 0            | 4,302,085         |
| Receipts:                              |  |   |  |   |                                    |                                    |  |                             |                   |              |                   |
| Taxes                                  | 0  | 0   | 2,100  | 3,000   | 0                                  | 0                                  | 0  | (1,000)                     | 6,136,759         | 0            | 6,136,759         |
| Miscellaneous Receipts                 | 0  | 168,196   | 0  | 1,867   | 5,000                              | 1,065,000                          | 57,428   | 0                           | 17,780,497        | 0            | 17,780,497        |
| Federal Grants                         | 162,466  | 0   | 0  | 0   | 0                                  | 0                                  | 0  | 0                           | 60,002,935        | 0            | 60,002,935        |
| <b>Total Receipts</b>                  | <b>162,466</b>   | <b>168,196</b>                                    | <b>2,100</b>                                   | <b>4,867</b>  | <b>5,000</b>                       | <b>1,068,000</b>                   | <b>57,428</b>                                      | <b>(1,000)</b>              | <b>83,920,191</b> | <b>0</b>     | <b>83,920,191</b> |
| Disbursements:                         |  |   |  |   |                                    |                                    |  |                             |                   |              |                   |
| Local Assistance                       | 134,762  | 173,365   | 1,800  | 0   | 0                                  | 0                                  | 0  | 0                           | 71,552,835        | 0            | 71,552,835        |
| State Operations                       | 22,354   | 3,523   | 4,503  | 5,108   | 708                                | 0                                  | 0  | 47,000                      | 9,677,974         | 0            | 9,677,974         |
| General State Charges                  | 8,258  | 1,346   | 1,274  | 718   | 292                                | 0                                  | 0  | (1,000)                     | 1,363,583         | 0            | 1,363,583         |
| Debt Service                           | 0  | 0   | 0  | 0   | 0                                  | 0                                  | 0  | 0                           | 0                 | 0            | 0                 |
| Capital Projects                       | 0  | 0   | 0  | 0   | 0                                  | 0                                  | 0  | 0                           | 0                 | 0            | 0                 |
| <b>Total Disbursements</b>             | <b>165,374</b>   | <b>178,234</b>                                    | <b>7,577</b>                                   | <b>5,826</b>  | <b>1,000</b>                       | <b>0</b>                           | <b>0</b>   | <b>46,000</b>               | <b>82,594,392</b> | <b>0</b>     | <b>82,594,392</b> |
| <b>Other Financing Sources (Uses):</b> |  |   |  |   |                                    |                                    |  |                             |                   |              |                   |
| Transfers from Other Funds             | 0  | 0   | 6,550  | 0   | 0                                  | 0                                  | 0  | 0                           | 3,212,432         | (1,077,903)  | 2,134,529         |
| Transfers to Other Funds               | 0  | (1,502)   | 0  | (45)  | 0                                  | (555,000)                          | 0  | 0                           | (4,411,797)       | 1,077,903    | (3,333,894)       |
| Bond & Note Proceeds                   | 0  | 0   | 0  | 0   | 0                                  | 0                                  | 0  | 0                           | 0                 | 0            | 0                 |
| Net Other Financing Sources (Uses)     | 0  | (1,502)   | 6,550  | (45)  | 0                                  | (555,000)                          | 0  | 0                           | (1,199,365)       | 0            | (1,199,365)       |
| Change in Fund Balance                 | (2,908)  | (11,540)  | 1,073  | (1,004)   | 4,000                              | 513,000                            | 57,428   | (47,000)                    | 4,428,519         | 0            | 4,428,519         |
| Closing Fund Balance                   | (4,178)  | 11,896  | 6,689  | 3,108   | 12,008                             | 513,000                            | 57,428   | (47,000)                    | 4,428,519         | 0            | 4,428,519         |

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2019

(thousands of dollars)

| Fund Account               | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local Assistance | PS  | NPS | Indirect Costs | UI Benefits | GSCS | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|----------------------------|-----------------|-------|----------------|----------------|---------------|----------------|----------------|------------------|-----|-----|----------------|-------------|------|------|---------|--------------|-------------|-----------------|
| 019.20000-Went Hyg Gifts   | 2,332           | 0     | 72             | 0              | 0             | 0              | 72             | 0                | 0   | 72  | 0              | 0           | 0    | 0    | 0       | 0            | 72          | 2,332           |
| 020.20100-Combined Exp Tr  | (35)            | 0     | (179,300)      | 0              | 0             | 178,889        | (411)          | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (446)           |
| 020.20101-Planting Fields  | 1,453           | 0     | 350            | 0              | 0             | 0              | 350            | 0                | 216 | 48  | 7              | 0           | 136  | 0    | 0       | 0            | 407         | 1,396           |
| 020.20103-Chambers Restor  | 1               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 1               |
| 020.20105-Animal Disease   | 51              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 51              |
| 020.20107-DOCS Gift & Don  | 52              | 0     | 5              | 0              | 0             | 0              | 5              | 0                | 0   | 5   | 0              | 0           | 0    | 0    | 0       | 0            | 5           | 52              |
| 020.20109-Helen Hayes Hsp  | 59              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 35  | 0              | 0           | 0    | 0    | 0       | 0            | 35          | 24              |
| 020.20110-Oxford Donation  | 308             | 0     | 166            | 0              | 0             | 0              | 166            | 0                | 0   | 50  | 0              | 0           | 0    | 0    | 0       | 0            | 50          | 424             |
| 020.20111-Donat-St.Albans  | 5               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 13  | 0              | 0           | 0    | 0    | 0       | 0            | 13          | (8)             |
| 020.20112-CVB Gifts & Beq  | 89              | 0     | 5              | 0              | 0             | 0              | 5              | 0                | 0   | 8   | 0              | 0           | 0    | 0    | 0       | 0            | 8           | 86              |
| 020.20113-Donations-Batav  | 24              | 0     | 19             | 0              | 0             | 0              | 19             | 0                | 0   | 40  | 0              | 0           | 0    | 0    | 0       | 0            | 40          | 3               |
| 020.20114-Montrose Donati  | 180             | 0     | 12             | 0              | 0             | 0              | 12             | 0                | 0   | 13  | 0              | 0           | 0    | 0    | 0       | 0            | 13          | 179             |
| 020.20116-IBR Genetic Cou  | 33              | 0     | 108            | 0              | 0             | 0              | 108            | 0                | 0   | 108 | 0              | 0           | 0    | 0    | 0       | 0            | 108         | 33              |
| 020.20118-Tech Transfer    | 15              | 0     | 50             | 0              | 0             | 0              | 50             | 0                | 0   | 24  | 0              | 0           | 0    | 0    | 0       | 0            | 24          | 41              |
| 020.20120-Spec Events      | 2,506           | 0     | 138            | 0              | 0             | 0              | 138            | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 2,644           |
| 020.20123-L.M. Josephthal  | 48              | 0     | 1              | 0              | 0             | 0              | 1              | 0                | 0   | 1   | 0              | 0           | 0    | 0    | 0       | 0            | 1           | 48              |
| 020.20124-OSC Misc Grant   | 14              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 14              |
| 020.20126-NYSCB Ven Stand  | 1,304           | 0     | 950            | 0              | 0             | 0              | 950            | 0                | 44  | 518 | 1              | 0           | 28   | 0    | 0       | 0            | 591         | 1,663           |
| 020.20127-DMNA Military    | 12              | 0     | 1              | 0              | 0             | 0              | 1              | 0                | 0   | 1   | 0              | 0           | 0    | 0    | 0       | 0            | 1           | 12              |
| 020.20128-WB Hoyt Memoria  | 3,561           | 0     | 0              | 0              | 0             | 622            | 622            | 750              | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 750         | 3,433           |
| 020.20129-NYSCB Gift& Beq  | 176             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 17  | 0              | 0           | 0    | 0    | 0       | 0            | 17          | 159             |
| 020.20130-St Transm Money  | 19,725          | 0     | 220            | 0              | 0             | 0              | 220            | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 19,945          |
| 020.20142-Youth Grants &   | 275             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 43  | 418 | 0              | 0           | 17   | 0    | 0       | 0            | 478         | (203)           |
| 020.20143-Alzheimers Dis   | 1,640           | 0     | 270            | 0              | 0             | 270            | 540            | 820              | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 820         | 1,360           |
| 020.20144-Local Gov Comm   | 145             | 0     | 12             | 0              | 0             | 0              | 12             | 0                | 0   | 7   | 0              | 0           | 0    | 0    | 0       | 0            | 7           | 150             |
| 020.20147-Prostate/Testic  | 1               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 1               |
| 020.20149-Autism Aware &   | 292             | 0     | 10             | 0              | 0             | 0              | 10             | 0                | 0   | 18  | 0              | 0           | 0    | 0    | 0       | 0            | 18          | 284             |
| 020.20150-Emergency Serv   | 15,884          | 0     | 5,688          | 0              | 0             | 0              | 5,688          | 3,101            | 127 | 93  | 4              | 0           | 30   | 0    | 0       | 0            | 3,355       | 18,217          |
| 020.20151-Batavia-Charlot  | 345             | 0     | 20             | 0              | 0             | 0              | 20             | 0                | 0   | 23  | 0              | 0           | 0    | 0    | 0       | 0            | 23          | 342             |
| 020.20152-Rome-Gifts And   | 79              | 0     | 20             | 0              | 0             | 0              | 20             | 0                | 0   | 19  | 0              | 0           | 0    | 0    | 0       | 0            | 19          | 80              |
| 020.20155-Br Can Res & Ed  | 7,659           | 0     | 540            | 0              | 0             | 500            | 1,040          | 2,580            | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 2,580       | 6,119           |
| 020.20159-Community Relat  | 1               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 1               |
| 020.20162-Disab Tech Asst  | 30              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 30              |
| 020.20165-DMNA Youth Prog  | 105             | 0     | 5              | 0              | 0             | 0              | 5              | 0                | 0   | 5   | 0              | 0           | 0    | 0    | 0       | 0            | 5           | 105             |
| 020.20166-Erie Canal Muse  | 14              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 14              |
| 020.20167-Grants and Bequ  | 8               | 0     | 1              | 0              | 0             | 0              | 1              | 0                | 0   | 2   | 0              | 0           | 0    | 0    | 0       | 0            | 2           | 7               |
| 020.20174-Life Pass It on  | 1,392           | 0     | 400            | 0              | 0             | 0              | 400            | 0                | 0   | 177 | 0              | 0           | 0    | 0    | 0       | 0            | 177         | 1,615           |
| 020.20176-Misc. Gifts Acc  | (1,051)         | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (1,051)         |
| 020.20178-Multiple Solero  | 8               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 8               |
| 020.20182-Parole Ofcr Mem  | 43              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 43              |
| 020.20183-Prostate Cancer  | 2,778           | 0     | 240            | 0              | 0             | 200            | 440            | 548              | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 548         | 2,670           |
| 020.20185-Percy T Phillip  | 41              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 142 | 0              | 0           | 0    | 0    | 0       | 0            | 142         | 105             |
| 020.20192-Missing Children | 96              | 0     | 407            | 0              | 0             | 0              | 407            | 0                | 256 | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 398         | 41              |
| 020.20198-HESC Gifts Dona  | 534             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (6)             |
| 020.201B4-DEFY Rec & Welfr | (3)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (3)             |
| 020.201B8-DAAA Grnts And   | (2)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (2)             |
| 020.201DR-Human Rights Dis | (3)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (3)             |
| 020.201F1-Women Vet Monum  | 150             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 150             |
| 020.201FF-Ford Foundation  | (1)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (1)             |
| 020.201GW-CCF Grts & Beqs  | 62              | 0     | 100            | 0              | 0             | 0              | 100            | 0                | 14  | 90  | 1              | 0           | 9    | 0    | 0       | 0            | 114         | 48              |
| 020.201HH-OWH Grant & Beq  | 794             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 794             |
| 020.201MI-RPMI Schoellkpf  | 1               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | 1               |
| 020.201PG-DCJS - MUNY Pol  | (1)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (1)             |
| 020.201RW-RW Johnson Foun  | (5)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0   | 0   | 0              | 0           | 0    | 0    | 0       | 0            | 0           | (5)             |

CASH REVENUE STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2019

(thousands of dollars)

| Fund Account               | Opening Balance | Taxes     | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local Assistance | PS     | NPS    | Indirect Costs | UI Benefits | GSCS   | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|----------------------------|-----------------|-----------|----------------|----------------|---------------|----------------|----------------|------------------|--------|--------|----------------|-------------|--------|------|---------|--------------|-------------|-----------------|
| 020.201XK-Grants Account   | 1,777           | 0         | 1,500          | 0              | 0             | 0              | 1,500          | 1,000            | 0      | 67     | 0              | 0           | 0      | 0    | 0       | 0            | 1,067       | 2,210           |
| 020.201XX-S U Restrict Cur | 12              | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 12              |
| 020.201ZS-Grants           | 262             | 0         | 300            | 0              | 0             | 0              | 300            | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 562             |
| 020.201ZZ-Donated Funds    | (92)            | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (92)            |
| 020.20200-NY Teen Hth Ed   | 0               | 0         | 120            | 0              | 0             | 0              | 120            | 120              | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 120         | 0               |
| 020.20201-Veterans Rem Ce  | 902             | 0         | 241            | 0              | 0             | 0              | 241            | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 1,143           |
| 020.20205-Mental Illness   | 155             | 0         | 58             | 0              | 0             | 0              | 58             | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 213             |
| 020.20206-Women's Cancer   | 157             | 0         | 0              | 0              | 0             | 0              | 0              | 100              | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 100         | 57              |
| 020.20209-Combined Gifts   | 0               | 0         | 222            | 0              | 0             | 0              | 222            | 0                | 0      | 225    | 0              | 0           | 0      | 0    | 0       | 0            | 225         | (3)             |
| 023.20300-N Y Int Lawyers  | 39,951          | 0         | 33,000         | 0              | 0             | 0              | 33,000         | 23,000           | 830    | 619    | 50             | 0           | 528    | 0    | 0       | 0            | 25,027      | 47,924          |
| 024.20350-NYS Archvs Pine  | 51              | 0         | 318            | 0              | 0             | 300            | 618            | 0                | 292    | 119    | 9              | 0           | 187    | 0    | 0       | 8            | 615         | 54              |
| 025.20401-Child Performer  | 27              | 0         | 65             | 0              | 0             | 300            | 365            | 0                | 232    | 9      | 6              | 0           | 149    | 0    | 0       | 0            | 396         | (4)             |
| 050.20451-Tuition Reimb    | 4,671           | 0         | 705            | 0              | 0             | 0              | 705            | 0                | 0      | 200    | 0              | 0           | 101    | 0    | 0       | 23           | 324         | 5,052           |
| 050.20452-Voc School Supe  | 2,064           | 0         | 4,200          | 0              | 0             | 0              | 4,200          | 0                | 1,713  | 700    | 53             | 0           | 1,094  | 0    | 0       | 242          | 3,802       | 2,462           |
| 052.20501-Loc Govt Record  | 4,906           | 0         | 9,233          | 0              | 0             | 0              | 9,233          | 5,056            | 1,745  | 350    | 54             | 0           | 1,115  | 0    | 0       | 1,383        | 9,703       | 4,436           |
| 053.20550-Sch Tax Relief   | (1)             | 2,459,259 | 0              | 0              | 0             | 0              | 2,459,259      | 2,459,259        | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2,459,259   | (1)             |
| 054.20601-Charter School   | 5,665           | 0         | 0              | 0              | 0             | 4,837          | 4,837          | 4,837            | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 4,837       | 5,665           |
| 056.20701-Greenway Commun  | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (1)             |
| 056.20702-Greenway Herit   | 1               | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 1               |
| 059.20751-Alcohol&Subst A  | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (1)             |
| 061.20800-LTC Ins Res Acc  | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (1)             |
| 061.20801-Tobacco Cntr &   | 83              | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 1,889  | 140    | 42             | 0           | 1,475  | 0    | 0       | 0            | 3,546       | (3,463)         |
| 061.20802-Health Care Srv  | 1               | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 1               |
| 061.20803-Medicaid Fraud   | (2)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (2)             |
| 061.20804-Medical Assist.  | 0               | 0         | 0              | 0              | 0             | 0              | 0              | 3,870,916        | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 3,870,916   | (3,870,916)     |
| 061.20805-Enhanced Com     | (2)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (2)             |
| 061.20807-HCRA Program     | 696             | 0         | 0              | 0              | 0             | 0              | 0              | 366,664          | 0      | 8,209  | 0              | 0           | 0      | 0    | 0       | 0            | 374,873     | (374,177)       |
| 061.20808-HCRA Transition  | (2)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (2)             |
| 061.20809-EMS Training     | 997             | 0         | 0              | 0              | 0             | 0              | 0              | 10,570           | 2,078  | 1,381  | 45             | 0           | 1,590  | 0    | 0       | 131          | 15,795      | (14,798)        |
| 061.20810-Child Health In  | (45)            | 0         | 0              | 0              | 0             | 0              | 0              | 363,809          | 774    | 9,338  | 207            | 0           | 589    | 0    | 0       | 0            | 374,717     | (374,762)       |
| 061.20811-HCRA Undistrib   | 10,578          | 791,000   | 5,037,502      | 0              | 0             | 0              | 5,828,502      | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 208,587      | 208,587     | 5,630,493       |
| 061.20812-Hospital Based   | (2)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (2)             |
| 061.20813-Ad Home Res Co   | 61              | 0         | 0              | 0              | 0             | 0              | 0              | 60               | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 60           | 0           | 1               |
| 061.20814-Primary Care In  | 78              | 0         | 0              | 0              | 0             | 0              | 0              | 180              | 0      | 0      | 4              | 0           | 147    | 0    | 0       | 158          | 489         | (411)           |
| 061.20815-Prev Coll Monit  | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (1)             |
| 061.20816-Pilot Health In  | 3               | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 102          | 102         | (99)            |
| 061.20817-Indigent Care    | 1,169           | 0         | 0              | 0              | 0             | 0              | 0              | 882,500          | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 9,000        | 891,500     | (890,331)       |
| 061.20818-EPIC Premium     | 306             | 0         | 43,377         | 0              | 0             | 0              | 43,377         | 128,306          | 715    | 10,193 | 23             | 0           | 457    | 0    | 0       | 0            | 139,694     | (96,011)        |
| 061.20819-Health Occup De  | 277             | 0         | 0              | 0              | 0             | 0              | 0              | 526              | 189    | 0      | 22             | 0           | 580    | 0    | 0       | 0            | 1,317       | (1,040)         |
| 061.20820-Matern & Ch HIV  | 1               | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 1               |
| 061.20822-Health Care Del  | 244             | 0         | 0              | 0              | 0             | 0              | 0              | 384              | 2      | 0      | 10             | 0           | 278    | 0    | 0       | 0            | 674         | (430)           |
| 061.20822-Cig Task Force   | 128             | 0         | 0              | 0              | 0             | 0              | 0              | 2,419            | 206    | 0      | 64             | 0           | 1,487  | 0    | 0       | 0            | 4,176       | (4,048)         |
| 073.20851-Transit Authori  | 51,663          | 370,828   | 112,872        | 0              | 0             | 51,394         | 535,094        | 534,825          | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 534,825     | 51,932          |
| 073.20852-Railroad Account | 9,248           | 65,464    | 19,802         | 0              | 0             | 9,216          | 94,482         | 93,410           | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 93,410      | 10,320          |
| 073.20853-DMTF             | 14,141          | 38,508    | 11,815         | 0              | 0             | 5,274          | 55,597         | 54,609           | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 54,609      | 15,129          |
| 160.20901-Education - New  | 135             | 0         | 2,294,000      | 0              | 0             | 0              | 2,294,000      | 2,294,000        | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2,294,000   | 135             |
| 160.20902-Lottery Adm New  | 97,278          | 0         | 40,921         | 0              | 0             | 0              | 40,921         | 17,842           | 30,236 | 0      | 488            | 0           | 10,151 | 0    | 0       | 4,204        | 62,921      | 75,278          |
| 160.20903-VLT Administrat  | 8,481           | 0         | 12,040         | 0              | 0             | 0              | 12,040         | 2,978            | 1,280  | 0      | 81             | 0           | 1,682  | 0    | 0       | 666          | 6,687       | 13,834          |
| 160.20904-VLT - Education  | 59              | 0         | 906,800        | 0              | 0             | 0              | 906,800        | 906,800          | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 906,800     | 59              |
| 221.20950-Comb Student Ln  | 9,490           | 0         | 27,467         | 650            | 0             | 244,250        | 244,250        | 377,699          | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 2,000        | 379,699     | (440)           |
| 225.23651-Mobility Tax Tr  | 135,009         | 0         | 0              | 0              | 0             | 0              | 0              | 292,000          | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 225          | 292,225     | 30,994          |
| 225.23652-MTA Aid Trust    | 30,719          | 99,000    | 193,500        | 0              | 0             | 0              | 900            | 418              | 0      | 14     | 0              | 0           | 266    | 0    | 0       | 0            | 698         | (3,142)         |
| 300.21002-EnCon Admin Acc  | (3,344)         | 0         | 900            | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 0               |
| 301.21051-EnCon Energy Ef  | 156             | 0         | 20             | 0              | 0             | 0              | 20             | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 156             |
| 301.21052-EnCon-Seized As  | 224             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 224             |
| 301.21053-Wst Tire MgrRe   | 24,941          | 0         | 19,200         | 0              | 0             | 0              | 19,200         | 12,842           | 2,000  | 0      | 428            | 0           | 8,205  | 0    | 0       | 0            | 23,475      | 20,666          |

CASH REVENUE STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2019

(thousands of dollars)

| Fund Account               | Opening Balance | Taxes     | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local Assistance | PS     | NPS    | Indirect Costs | UI Benefits | GSCS   | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|----------------------------|-----------------|-----------|----------------|----------------|---------------|----------------|----------------|------------------|--------|--------|----------------|-------------|--------|------|---------|--------------|-------------|-----------------|
| 301.21054-Oil & Gas Accou  | 129             | 0         | 108            | 0              | 0             | 0              | 108            | 0                | 0      | 98     | 0              | 0           | 0      | 0    | 0       | 0            | 98          | 139             |
| 301.21055-Marine/Coastal   | 177             | 0         | 13             | 0              | 0             | 0              | 13             | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 190             |
| 301.21060-Indirect Charge  | 2,207           | 0         | 0              | 0              | 0             | 10,157         | 10,157         | 0                | 4,895  | 3,856  | 164            | 0           | 3,128  | 0    | 0       | 2,085        | 14,128      | (1,764)         |
| 301.21061-Hazardous Sub B  | 948             | 0         | 350            | 0              | 0             | 0              | 350            | 0                | 243    | 40     | 9              | 0           | 156    | 0    | 0       | 0            | 448         | 850             |
| 301.21063-S-Area Landfill  | 20              | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 20              |
| 301.21064-Utility Envir R  | 1               | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 2,000  | 0      | 67             | 0           | 1,278  | 0    | 0       | 0            | 3,345       | (3,344)         |
| 301.21065-Federal Grant I  | 635             | 0         | 40             | 0              | 0             | 9,000          | 9,040          | 0                | 8,452  | 168    | 0              | 0           | 894    | 0    | 0       | 1,041        | 9,661       | 14              |
| 301.21066-Low Level Radio  | (3,849)         | 0         | 2,811          | 0              | 0             | 0              | 2,811          | 0                | 1,368  | 227    | 39             | 0           | 0      | 0    | 0       | 433          | 2,961       | (3,999)         |
| 301.21067-Recreation Acco  | (1,669)         | 0         | 10,200         | 0              | 0             | 0              | 10,200         | 0                | 2,917  | 970    | 97             | 0           | 1,863  | 0    | 0       | 455          | 6,302       | 2,229           |
| 301.21077-Public Safety R  | 6               | 0         | 30             | 0              | 0             | 0              | 30             | 0                | 0      | 30     | 0              | 0           | 0      | 0    | 0       | 0            | 30          | 6               |
| 301.21080-Encon Magazine   | 780             | 0         | 705            | 0              | 0             | 0              | 705            | 0                | 0      | 164    | 0              | 0           | 0      | 0    | 0       | 150          | 314         | 1,171           |
| 301.21081-Environmental R  | (37,620)        | 0         | 28,600         | 0              | 0             | 0              | 28,600         | 0                | 13,943 | 2,424  | 464            | 0           | 8,909  | 0    | 0       | 6,187        | 31,927      | (40,947)        |
| 301.21082-Natural Resourc  | (15,497)        | 0         | 4,811          | 0              | 0             | 0              | 4,811          | 0                | 577    | 397    | 20             | 0           | 369    | 0    | 0       | 400          | 1,763       | (12,449)        |
| 301.21083-UST-Trust Recov  | 397             | 0         | 12             | 0              | 0             | 0              | 12             | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 409             |
| 301.21084-Mined Land Recl  | 3,617           | 0         | 4,210          | 0              | 0             | 0              | 4,210          | 0                | 2,162  | 117    | 72             | 0           | 1,382  | 0    | 0       | 0            | 3,733       | 4,084           |
| 301.21089-SEQR Review      | (43)            | 0         | 1              | 0              | 0             | 0              | 1              | 0                | 0      | 1      | 0              | 0           | 0      | 0    | 0       | 0            | 1           | (43)            |
| 301.21087-Town Of Riverhe  | 17              | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 17              |
| 301.21082-Monitors-Aggr    | 19,716          | 0         | 6,000          | 0              | 0             | 0              | 6,000          | 0                | 4,009  | 493    | 134            | 0           | 2,562  | 0    | 0       | 0            | 7,198       | 18,518          |
| 302.21150-Conservation     | 8,097           | 0         | 43,222         | 0              | 0             | 75             | 43,297         | 0                | 20,540 | 2,108  | 692            | 0           | 13,124 | 0    | 0       | 1,820        | 38,284      | 13,110          |
| 302.21151-Marine Resource  | 3,479           | 0         | 1,480          | 0              | 0             | 0              | 1,480          | 0                | 991    | 0      | 34             | 0           | 634    | 0    | 0       | 0            | 1,659       | 3,300           |
| 302.21152-Migratory Bird   | 97              | 0         | 10             | 0              | 0             | 0              | 10             | 0                | 0      | 45     | 0              | 0           | 0      | 0    | 0       | 0            | 45          | 62              |
| 302.21153-Guides License   | 164             | 0         | 55             | 0              | 0             | 0              | 55             | 0                | 51     | 6      | 2              | 0           | 33     | 0    | 0       | 0            | 92          | 127             |
| 302.21154-Fish And Game T  | 61,697          | 0         | 2,000          | 0              | 0             | 0              | 2,000          | 0                | 20,540 | 2,108  | 692            | 0           | 13,124 | 0    | 0       | 75           | 75          | 63,622          |
| 302.21155-Surf Clam/Quaho  | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 26     | 29     | 1              | 0           | 17     | 0    | 0       | 0            | 73          | (74)            |
| 302.21156-Habitat Account  | 1               | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 1               |
| 302.21157-Venison Donatio  | 2               | 0         | 25             | 0              | 0             | 0              | 25             | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 27              |
| 302.21158-OUTDOOR REC & T  | 17              | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 17              |
| 303.21201-Oil Spill - DAC  | 4               | 0         | 280            | 0              | 0             | 705            | 985            | 0                | 592    | 58     | 15             | 0           | 365    | 0    | 0       | 0            | 1,030       | (41)            |
| 303.21202-Oil Sp Relocatr  | 3               | 0         | 0              | 0              | 0             | 301            | 301            | 0                | 197    | 14     | 4              | 0           | 153    | 0    | 0       | 0            | 388         | (64)            |
| 303.21203-Oil Spill - DEC  | (2)             | 0         | 0              | 0              | 0             | 18,000         | 18,000         | 0                | 11,226 | 910    | 374            | 0           | 7,173  | 0    | 0       | 0            | 19,683      | (1,685)         |
| 303.21204-Oil Spill - DAC  | 36,750          | 0         | 43,996         | 0              | 0             | 0              | 43,996         | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 35,606       | 35,606      | 45,140          |
| 303.21205-License Fee Sur  | 0               | 0         | 13,700         | 0              | 0             | 0              | 13,700         | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 13,700       | 13,700      | 0               |
| 305.21251-OSH Trng & Educ  | 850             | 0         | 26,357         | 0              | 0             | 0              | 26,357         | 0                | 10,802 | 7,201  | 277            | 0           | 7,172  | 0    | 0       | 0            | 25,462      | 1,755           |
| 305.21252-OSHA Inspection  | 3,479           | 0         | 22,139         | 0              | 0             | 0              | 22,139         | 0                | 11,875 | 3,224  | 305            | 0           | 6,333  | 0    | 0       | 0            | 21,737      | 3,881           |
| 306.21301-CSF Regis Fee    | 7,632           | 0         | 10,000         | 0              | 0             | 0              | 10,000         | 0                | 500    | 12,100 | 0              | 0           | 200    | 0    | 0       | 0            | 12,800      | 4,832           |
| 307.21351-Equipment Loan   | 540             | 0         | 50             | 0              | 0             | 0              | 50             | 0                | 0      | 91     | 0              | 0           | 0      | 0    | 0       | 7            | 98          | 492             |
| 313.21401-Pub Tran Systems | 9,777           | 75,420    | 0              | 0              | 0             | 16,144         | 91,564         | 92,429           | 660    | 195    | 20             | 0           | 422    | 0    | 0       | 0            | 93,726      | 7,615           |
| 313.21402-Metropolitan Ma  | 7,841           | 2,233,180 | 17,500         | 0              | 0             | 21,175         | 2,271,855      | 2,187,437        | 2,664  | 410    | 82             | 0           | 1,702  | 0    | 0       | 8,205        | 2,200,500   | 79,196          |
| 313.21403-Urban Mass Tran  | 108             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 108             |
| 313.21404-Add Mass Trans   | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (1)             |
| 314.21451-Operating Permit | (22,802)        | 0         | 9,200          | 0              | 0             | 0              | 9,200          | 0                | 2,602  | 647    | 87             | 0           | 1,663  | 0    | 0       | 0            | 4,999       | (18,601)        |
| 314.21452-Mobile Source    | (2,760)         | 0         | 34,000         | 0              | 0             | 0              | 34,000         | 0                | 16,455 | 2,851  | 507            | 0           | 10,513 | 0    | 0       | 6,404        | 36,730      | (5,490)         |
| 318.21501-Housing Resene   | 67              | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 67              |
| 321.21551-Legisl Comp R&D  | 10,863          | 0         | 1,717          | 0              | 0             | 0              | 1,717          | 0                | 0      | 950    | 0              | 0           | 0      | 0    | 0       | 0            | 950         | 11,630          |
| 321.21552-Demographics/Re  | 62              | 0         | 2              | 0              | 0             | 0              | 2              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 64              |
| 330.40350-S U Dorm Income  | 240,232         | 0         | 344,024        | 0              | 0             | 0              | 344,024        | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 384,016      | 384,016     | 200,240         |
| 332.21651-Brummer Award    | 38              | 0         | 6              | 0              | 0             | 0              | 6              | 0                | 0      | 6      | 0              | 0           | 0      | 0    | 0       | 0            | 6           | 38              |
| 332.21652-William Vorce F  | 232             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 232             |
| 332.21653-Rocky Pocanico   | 5               | 0         | 110            | 0              | 0             | 0              | 110            | 0                | 0      | 52     | 0              | 0           | 0      | 0    | 0       | 0            | 52          | 63              |
| 332.21654-OPWDD Nonexp Tr  | 74              | 0         | (1)            | 0              | 0             | 0              | (1)            | 0                | 0      | 1      | 0              | 0           | 0      | 0    | 0       | 0            | 1           | 72              |
| 332.21656-Helen Hayes Hos  | 15              | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 15              |
| 332.21657-Cunningham Fund  | 100             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 100             |
| 333.21700-Wintr Sports Ed  | 0               | 0         | 75             | 0              | 0             | 0              | 75             | 0                | 0      | 75     | 0              | 0           | 0      | 0    | 0       | 0            | 75          | 0               |
| 335.21750-NYS Musical Ins  | 1               | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 1               |
| 338.21851-Arts Capital Re  | 9.15            | 0         | 60             | 0              | 0             | 0              | 60             | 98               | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 98          | 877             |
| 340.22501-CFIA Undistrib   | 2,883           | 0         | 150            | 0              | 0             | 110,000        | 110,150        | 108,700          | 1,700  | 100    | 0              | 0           | 800    | 0    | 0       | 167          | 111,467     | 1,566           |

CASH REVENUE STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2019

(thousands of dollars)

| Fund Account              | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local Assistance | PS        | NPS     | Indirect Costs | UI Benefits | GSCS    | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|---------------------------|-----------------|-------|----------------|----------------|---------------|----------------|----------------|------------------|-----------|---------|----------------|-------------|---------|------|---------|--------------|-------------|-----------------|
| 341.22552-DFY-NYC Summer  | 51              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 51              |
| 345.22652-L1 Vets Home    | 19,437          | 0     | 49,728         | 0              | 0             | 0              | 49,728         | 0                | 29,809    | 21,034  | 0              | 0           | 0       | 0    | 0       | 0            | 50,843      | 18,322          |
| 345.22653-S U Gentl IFR   | 749,819         | 0     | 862,404        | 0              | 0             | 41,063         | 903,467        | 0                | 192,558   | 509,035 | 0              | 0           | 4,000   | 0    | 0       | 77,336       | 782,929     | 870,357         |
| 345.22654-S U Inc Offset  | (19,765)        | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | (19,765)        |
| 345.22655-Cen Rev Offset  | 164,319         | 0     | 1,967,916      | 0              | 0             | 1,020,245      | 2,988,161      | 0                | 2,395,052 | 510,269 | 0              | 0           | 4,270   | 0    | 0       | 108,402      | 2,957,993   | 194,487         |
| 345.22656-S U Hosp Ops    | 283,372         | 0     | 1,853,259      | 0              | 0             | 681,612        | 2,534,871      | 0                | 1,199,447 | 981,297 | 0              | 0           | 472,404 | 0    | 0       | 68,352       | 2,721,500   | 96,743          |
| 345.22657-SUNY Stabilizat | 41,457          | 0     | 0              | 0              | 0             | 40,280         | 40,280         | 0                | 400       | 600     | 0              | 0           | 0       | 0    | 0       | 0            | 1,000       | 80,737          |
| 345.22658-State Univ Hosp | 14,832          | 0     | 44,897         | 0              | 0             | 0              | 44,897         | 0                | 42,086    | 2,919   | 0              | 0           | 0       | 0    | 0       | 0            | 45,005      | 14,724          |
| 345.22659-SUNY Tuition Re | 117,127         | 0     | 100,579        | 0              | 0             | 0              | 100,579        | 0                | 54,958    | 36,859  | 0              | 0           | 4,397   | 0    | 0       | 0            | 96,214      | 121,492         |
| 345.2268P-Bridge Program  | 2               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 2               |
| 346.22700-Chem Dep Svcs   | 14,525          | 0     | 6,479          | 0              | 0             | 0              | 6,479          | 0                | 0         | 6,456   | 0              | 0           | 0       | 0    | 0       | 13,500       | 19,956      | 1,048           |
| 349.22751-Lk George Park  | (1,40)          | 0     | 1,208          | 0              | 0             | 0              | 1,208          | 0                | 701       | 250     | 20             | 0           | 425     | 0    | 0       | 0            | 1,396       | (328)           |
| 354.22801-MVTIFA          | 3,873           | 0     | 4,742          | 0              | 0             | 0              | 4,742          | 4,237            | 136       | 4       | 4              | 0           | 35      | 0    | 0       | 1,100        | 5,516       | 3,099           |
| 354.22802-St Police MV En | 23,265          | 0     | 118,825        | 0              | 0             | 0              | 118,825        | 0                | 4,000     | 5,100   | 0              | 0           | 0       | 0    | 0       | 115,420      | 124,520     | 17,570          |
| 355.22851-Great Lakes Pro | 700             | 0     | 160            | 0              | 0             | 0              | 160            | 0                | 82        | 70      | 3              | 0           | 53      | 0    | 0       | 0            | 208         | 652             |
| 359.22901-Revenue Maximiz | 1,577           | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 1,577           |
| 359.22902-Local Maximizat | 1               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 1               |
| 359.22903-Rev Maxim Contr | (1,555)         | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | (1,555)         |
| 360.22950-Housing Develop | 10,557          | 0     | 900            | 0              | 0             | 0              | 900            | 852              | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 852         | 10,605          |
| 362.23001-DOT Comm Veh Sa | (10,567)        | 0     | 3,068          | 0              | 0             | 0              | 3,068          | 0                | 2,954     | 495     | 0              | 0           | 0       | 0    | 0       | 0            | 3,449       | (10,948)        |
| 365.23051-Vocatl Rehabil  | 5               | 0     | 100            | 0              | 0             | 0              | 100            | 20               | 0         | 25      | 0              | 0           | 0       | 0    | 0       | 32           | 77          | 28              |
| 366.23101-Drinking Water  | 1               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 1               |
| 366.23102-Drink Water DOH | (5,352)         | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | (5,352)         |
| 368.23151-NYC County Cler | (25,781)        | 0     | 34,900         | 0              | 0             | 4,400          | 39,300         | 0                | 20,173    | 3,400   | 0              | 0           | 11,500  | 0    | 0       | 1,108        | 1,108       | (6,460)         |
| 369.23201-Jud Data Proc O | 19,608          | 0     | 40,000         | 0              | 0             | 0              | 40,000         | 0                | 18,861    | 6,000   | 0              | 0           | 8,900   | 0    | 0       | 0            | 35,761      | (21,554)        |
| 377.23267-CUNY Stabilizn  | 4,955           | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 4,955           |
| 377.2322X-CUNY Tuitn Reim | 81,575          | 0     | 5,108          | 0              | 0             | 0              | 5,108          | 0                | 3,493     | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 3,493       | 83,190          |
| 377.2322Y-CUNY Inc Reimb  | 82,669          | 0     | 92,533         | 0              | 0             | 0              | 92,533         | 0                | 44,655    | 46,944  | 0              | 0           | 150     | 0    | 0       | 0            | 91,749      | 83,453          |
| 385.23501-Lk Placid Train | 179             | 0     | 85             | 0              | 0             | 0              | 85             | 0                | 0         | 75      | 0              | 0           | 0       | 0    | 0       | 0            | 75          | 189             |
| 390.23551-Indigent Legal  | 263,673         | 0     | 132,000        | 0              | 0             | 35,000         | 167,000        | 166,253          | 2,982     | 25,738  | 95             | 0           | 1,906   | 0    | 0       | 0            | 196,974     | 233,699         |
| 482.23601-UJ Sp Int & Pen | 35,532          | 0     | 15,866         | 0              | 0             | 0              | 15,866         | 0                | 1,643     | 1,000   | 42             | 0           | 1,173   | 0    | 0       | 11,583       | 15,441      | 35,957          |
| 501.23701-Commercial Gami | 28,326          | 0     | 160,000        | 0              | 0             | 0              | 160,000        | 170,040          | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 170,040     | 18,286          |
| 501.23702-Comm Game Regul | (8,465)         | 0     | 4,871          | 0              | 0             | 0              | 4,871          | 0                | 2,236     | 1,223   | 64             | 0           | 1,346   | 0    | 0       | 2            | 4,871       | (8,465)         |
| 501.23703-Prob Gambi Svcs | 3,572           | 0     | 3,325          | 0              | 0             | 0              | 3,325          | 3,325            | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 1,500        | 4,825       | 2,072           |
| 502.23750-Med Marth Colle | 1,112           | 945   | 0              | 0              | 0             | 0              | 945            | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 2,057           |
| 502.23752-MMF - County Di | 290             | 945   | 0              | 0              | 0             | 0              | 945            | 1,800            | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 1,800       | (565)           |
| 502.23753-MMF - Law Enfor | 124             | 105   | 0              | 0              | 0             | 0              | 105            | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 229             |
| 502.23754-MMF - Addiction | 124             | 105   | 0              | 0              | 0             | 0              | 105            | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 229             |
| 502.23755-Health Operatio | 3,968           | 0     | 0              | 0              | 0             | 6,550          | 6,550          | 0                | 1,670     | 2,794   | 39             | 0           | 1,274   | 0    | 0       | 0            | 5,777       | 4,741           |
| 503.23800-Inter Recip Pos | 848             | 0     | 567            | 0              | 0             | 0              | 567            | 0                | 273       | 70      | 8              | 0           | 174     | 0    | 0       | 45           | 570         | 845             |
| 503.23801-Hwy Use Tax Adm | 3,260           | 3,000 | 1,300          | 0              | 0             | 0              | 4,300          | 0                | 515       | 455     | 14             | 0           | 317     | 0    | 0       | 0            | 1,301       | 6,259           |
| 503.23802-Cure Childhood  | 4               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 4               |
| 503.23806-NYS Secure Choi | 0               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 354       | 3,408   | 11             | 0           | 227     | 0    | 0       | 0            | 4,000       | (4,000)         |
| 504.24950-Fan Sports Educ | 8,007           | 0     | 5,000          | 0              | 0             | 0              | 5,000          | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 13,007          |
| 504.24951-Fan Sport Admin | 0               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 480       | 214     | 14             | 0           | 292     | 0    | 0       | 0            | 1,000       | (1,000)         |
| 506.24850-Hlth Care Trans | 0               | 0     | 1,068,000      | 0              | 0             | 0              | 1,068,000      | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 555,000      | 555,000     | 513,000         |
| 507.24900-Hlth Caritative | 0               | 0     | 57,428         | 0              | 0             | 0              | 57,428         | 0                | 0         | 0       | 0              | 0           | 0       | 0    | 0       | 0            | 0           | 57,428          |

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

| Account Code-Name          | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local Assistance | PS      | NPS     | Indirect Costs | UI Benefits | GSCs   | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|------------------|---------|---------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.21901-Article VII Int  | 5,897           | 0     | 500                    | 0              | 0                    | 0              | 500            | 67               | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 6,330           |
| 339.21902-S P A R C S      | 6,996           | 0     | 6,600                  | 0              | 0                    | 0              | 6,600          | 0                | 490     | 559     | 11             | 0           | 363    | 0    | 0       | 4,214        | 7,959           |
| 339.21904-Fire Prev/Code   | 42,774          | 0     | 14,810                 | 0              | 0                    | 0              | 14,810         | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 14,810       | 42,774          |
| 339.21905-NYS Twy Police   | 10,902          | 0     | 56,203                 | 0              | 0                    | 0              | 56,203         | 35,186           | 35,186  | 16      | 0              | 0           | 21,001 | 0    | 0       | 0            | 10,902          |
| 339.21906-DMV Seiz Assets  | 115             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 115             |
| 339.21907-Mental Hygiene   | (2,140)         | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | (2,140)         |
| 339.21909-M H Patient Inc  | 37,958          | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 37,958       | 0               |
| 339.21911-Fin Cntrl Board  | (723)           | 0     | 3,044                  | 0              | 0                    | 0              | 3,044          | 1,389            | 1,389   | 811     | 45             | 0           | 799    | 0    | 0       | 0            | (723)           |
| 339.21912-Reg of Racing    | (3,719)         | 0     | 12,647                 | 0              | 0                    | 0              | 12,647         | 6,809            | 6,809   | 5,577   | 171            | 0           | 1,755  | 0    | 0       | 458          | (5,842)         |
| 339.21913-NY Metro Trans   | (18,293)        | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | (18,293)        |
| 339.21914-S U Constr Fund  | 109             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 109             |
| 339.21915-Quality Care     | 65,839          | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 65,839          |
| 339.21916-Nurses Aide Reg  | 1,019           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 1,019           |
| 339.21917-Med Frd Seized   | 173             | 0     | 238                    | 0              | 0                    | 0              | 238            | 0                | 0       | 238     | 0              | 0           | 0      | 0    | 0       | 0            | 173             |
| 339.21918-Child Care & Pr  | 1,659           | 0     | 463                    | 0              | 0                    | 0              | 463            | 100              | 100     | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 2,022           |
| 339.21919-Cyber Sec Upgr   | 895             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 895             |
| 339.21920-Cert of Need     | 9,063           | 0     | 2,959                  | 0              | 0                    | 0              | 2,959          | 1,996            | 1,996   | 1,516   | 47             | 0           | 1,552  | 0    | 0       | 7,769        | (858)           |
| 339.21922-Continuing Care  | 2               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.21923-DOL Fee Penalty  | 1,067           | 0     | 131                    | 0              | 0                    | 0              | 131            | 26               | 26      | 5       | 0              | 0           | 16     | 0    | 0       | 2            | 1,149           |
| 339.21924-Educ Museum      | 3,688           | 0     | 20,383                 | 0              | 0                    | 0              | 20,383         | 5,991            | 5,991   | 1,215   | 154            | 0           | 4,167  | 0    | 0       | 300          | 12,244          |
| 339.21924-Educ Museum      | 207             | 0     | 863                    | 0              | 0                    | 0              | 863            | 282              | 282     | 334     | 9              | 0           | 180    | 0    | 0       | 62           | 203             |
| 339.21925-Ns Hm Receiptshp | 2,876           | 0     | 25                     | 0              | 0                    | 0              | 25             | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 2,901           |
| 339.21926-3rd Party Hlth   | 458             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 458             |
| 339.21927-Boating Noise L  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.21928-Love NY Ves      | 1               | 0     | 921                    | 0              | 0                    | 0              | 921            | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 922             |
| 339.21929-Summer Sch Arts  | 90              | 0     | 684                    | 0              | 0                    | 0              | 684            | 111              | 111     | 528     | 3              | 0           | 20     | 0    | 0       | 0            | 112             |
| 339.21930-H Lve NY W Boat  | 90              | 0     | 245                    | 0              | 0                    | 0              | 245            | 206              | 206     | 25      | 3              | 0           | 82     | 0    | 0       | 0            | 19              |
| 339.21932-Snowmobile       | 5,680           | 0     | 6,150                  | 0              | 0                    | 0              | 6,150          | 5,450            | 1,417   | 649     | 9              | 0           | 70     | 0    | 0       | 0            | 4,235           |
| 339.21933-Tr Surplus Prop  | 3,803           | 0     | 2,200                  | 0              | 0                    | 0              | 2,200          | 0                | 0       | 974     | 0              | 0           | 0      | 0    | 0       | 1,803        | 3,226           |
| 339.21934-Hosp & Nurs Mgt  | 4               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 4               |
| 339.21935-Watershed Ptrnr  | (4)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | (4)             |
| 339.21936-World Univ Game  | 3               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 3               |
| 339.21937-S U Dorm Reimb   | (5)             | 0     | 0                      | 0              | 0                    | 310,025        | 310,025        | 0                | 119,238 | 139,710 | 0              | 0           | 0      | 0    | 0       | 0            | 51,072          |
| 339.21938-ODTA Train Cont  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.21939-ODTA State Matc  | 3               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 3               |
| 339.21941-Methadone Regis  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.21943-Energy Research  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.21944-Radiology        | 3,270           | 0     | 6,000                  | 0              | 0                    | 0              | 6,000          | 3,000            | 703     | 687     | 30             | 0           | 453    | 0    | 0       | 1,350        | 3,047           |
| 339.21945-Crim Jus Improv  | 31,842          | 0     | 42,724                 | 0              | 0                    | 0              | 42,724         | 27,956           | 2,733   | 396     | 116            | 0           | 1,650  | 0    | 0       | 8,596        | 33,119          |
| 339.21948-Farm Prod Insp   | 606             | 0     | 1,390                  | 0              | 0                    | 0              | 1,390          | 648              | 648     | 123     | 20             | 0           | 414    | 0    | 0       | 0            | 791             |
| 339.21950-FgprintID&Tech   | 34,704          | 0     | 15,000                 | 0              | 0                    | 0              | 15,000         | 0                | 0       | 2,515   | 0              | 0           | 0      | 0    | 0       | 20,543       | 26,646          |
| 339.21953-NY Fire Academy  | 336             | 0     | 468                    | 0              | 0                    | 0              | 468            | 278              | 278     | 314     | 9              | 0           | 239    | 0    | 0       | 0            | (36)            |
| 339.21958-Domestic Awaren  | 92              | 0     | 7                      | 0              | 0                    | 0              | 7              | 0                | 0       | 3       | 0              | 0           | 0      | 0    | 0       | 0            | 96              |
| 339.21959-Environmental L  | 3,413           | 0     | 3,700                  | 0              | 0                    | 0              | 3,700          | 1,479            | 1,479   | 568     | 35             | 0           | 1,166  | 0    | 0       | 131          | 3,734           |
| 339.21960-HESC-Ins Prem P  | 64,022          | 0     | 69,881                 | 0              | 0                    | 0              | 69,881         | 0                | 12,916  | 29,936  | 422            | 0           | 9,020  | 0    | 0       | 16,221       | 65,388          |
| 339.21961-Train Mgmt Eval  | 13              | 0     | 1,720                  | 0              | 0                    | 0              | 1,720          | 0                | 1,572   | 92      | 46             | 0           | 987    | 0    | 0       | 8            | (972)           |
| 339.21962-Clin Lab Refrnc  | (8,756)         | 0     | 18,059                 | 0              | 0                    | 0              | 18,059         | 0                | 5,562   | 2,478   | 127            | 0           | 4,032  | 0    | 0       | 4,848        | (7,744)         |
| 339.21964-Pub Emp Rel Brd  | 748             | 0     | 86                     | 0              | 0                    | 0              | 86             | 0                | 0       | 44      | 0              | 0           | 0      | 0    | 0       | 0            | 790             |
| 339.21965-Radio Hlth Prot  | 1,631           | 0     | 4,048                  | 0              | 0                    | 0              | 4,048          | 0                | 2,121   | 141     | 49             | 0           | 1,629  | 0    | 0       | 796          | 943             |
| 339.21966-Cons Food Indus  | 57              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 57              |

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

| Account Code-Name          | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local Assistance | PS      | NPS    | Indirect Costs | UI Benefits | GSCs   | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|------------------|---------|--------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.21967-OHRD St Match    | 1,410           | 0     | 11                     | 0              | 0                    | 6,000          | 6,011          | 0                | 0       | 3,867  | 0              | 0           | 0      | 0    | 0       | 0            | 3,554           |
| 339.21968-Educatin Library | 155             | 0     | 65                     | 0              | 0                    | 0              | 65             | 0                | 0       | 60     | 0              | 0           | 0      | 0    | 0       | 0            | 160             |
| 339.21969-Teacher Certif   | 4,512           | 0     | 6,600                  | 0              | 0                    | 0              | 6,600          | 0                | 3,400   | 643    | 105            | 0           | 2,172  | 0    | 0       | 450          | 4,342           |
| 339.21970-Banking Deptmnt  | 33,467          | 0     | 99,682                 | 0              | 0                    | 0              | 99,682         | 0                | 52,149  | 12,609 | 1,607          | 0           | 33,317 | 0    | 0       | 0            | 33,467          |
| 339.21971-Cable TV Accont  | 6,516           | 0     | 3,130                  | 0              | 0                    | 0              | 3,130          | 0                | 1,361   | 109    | 42             | 0           | 870    | 0    | 0       | 2,500        | 4,764           |
| 339.21972-Econ Devel Asst  | 309             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 309             |
| 339.21973-Fin Svcs Seized  | 715             | 0     | 250                    | 0              | 0                    | 0              | 250            | 0                | 0       | 250    | 0              | 0           | 0      | 0    | 0       | 0            | 715             |
| 339.21975-ODD Earned Revn  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.21976-Motorcycle Sfty  | 249             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 249             |
| 339.21977-Business and Li  | 87,745          | 0     | 86,443                 | 0              | 0                    | 18,907         | 86,443         | 939              | 16,517  | 10,407 | 509            | 0           | 10,552 | 0    | 0       | 52,145       | 83,119          |
| 339.21978-Indir Cost Reco  | 4,402           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 9,125   | 4,362  | 0              | 0           | 5,830  | 0    | 0       | 0            | 3,992           |
| 339.21979-High School Equ  | 1,193           | 0     | 225                    | 0              | 0                    | 0              | 225            | 0                | 0       | 225    | 0              | 0           | 0      | 0    | 0       | 0            | 1,193           |
| 339.21980-OTDA Program     | 2,862           | 0     | 0                      | 0              | 0                    | 500            | 500            | 0                | 0       | 3,400  | 0              | 0           | 128    | 0    | 0       | 0            | (166)           |
| 339.21981-Disas Prep Conf  | 24              | 0     | 1                      | 0              | 0                    | 0              | 1              | 0                | 0       | 1      | 0              | 0           | 0      | 0    | 0       | 0            | 24              |
| 339.21982-Administration   | 1,551           | 0     | 13                     | 0              | 0                    | 8,500          | 8,513          | 0                | 4,394   | 2,181  | 100            | 0           | 3,388  | 0    | 0       | 1,343        | (1,342)         |
| 339.21983-Rail Safety Ins  | 4               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 4               |
| 339.21984-Fedl Admin Reim  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.21985-Abandon Prop Au  | 1               | 0     | 15,896                 | 0              | 0                    | 0              | 15,896         | 0                | 10,076  | 5,820  | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.21986-Seized Assets    | 18              | 0     | 2                      | 0              | 0                    | 0              | 2              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 20              |
| 339.21987-Spinal Injury    | 6,011           | 0     | 0                      | 0              | 0                    | 8,500          | 8,500          | 8,500            | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 6,011           |
| 339.21988-Child Supp Rev   | 78              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 78              |
| 339.21989-Mult Agen Train  | 9,258           | 0     | 0                      | 0              | 0                    | 7,400          | 7,400          | 0                | 1,551   | 8,879  | 46             | 0           | 921    | 0    | 0       | 0            | 5,261           |
| 339.21990-OCTF Crime Forf  | 29              | 0     | 1,436                  | 0              | 0                    | 0              | 1,436          | 0                | 0       | 1,436  | 0              | 0           | 0      | 0    | 0       | 0            | 29              |
| 339.21991-DMNA-Seiz Asset  | 3               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 3               |
| 339.21992-Critical Infrs   | 263             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 263             |
| 339.21993-Radon Detection  | 410             | 0     | 20                     | 0              | 0                    | 0              | 20             | 0                | 0       | 9      | 0              | 0           | 0      | 0    | 0       | 2            | 419             |
| 339.21994-Insurance Dept   | 133,423         | 0     | 273,578                | 0              | 0                    | 0              | 273,578        | 64,404           | 104,548 | 34,472 | 3,224          | 0           | 66,855 | 0    | 0       | 0            | 133,498         |
| 339.21995-Workers' Compn   | 21,021          | 0     | 226,202                | 0              | 0                    | 0              | 226,202        | 0                | 84,993  | 56,091 | 2,239          | 0           | 53,220 | 0    | 0       | 31,352       | 19,328          |
| 339.21996-Fire Protection  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.21998-Public Work Enfr | 8,897           | 0     | 3,982                  | 0              | 0                    | 0              | 3,982          | 0                | 1,987   | 217    | 51             | 0           | 1,493  | 0    | 0       | 0            | 9,131           |
| 339.21999-Asset Forfeitur  | 0               | 0     | 250                    | 0              | 0                    | 0              | 250            | 0                | 0       | 250    | 0              | 0           | 0      | 0    | 0       | 0            | 0               |
| 339.219A2-MMIA             | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219AC-Non-Ivd Wage Wi  | (58)            | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (58)            |
| 339.219AF-Hosp Grants      | 2               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.219AK-Ins Voucher Pro  | 2               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.219AM-Hlth Care Advls  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219AR-Adoptd Info Regi | (1)             | 0     | 50                     | 0              | 0                    | 0              | 50             | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 49              |
| 339.219AS-Quality Assuran  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219BO-Primary Care In  | 2               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.219BU-Land Utilizatio  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219C2-Jones Bch Theat  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219CB-FS Reinvestment  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219CG-Tech & Scientif  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219CH-Child Hlth Ins   | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219D1-Food Stp Rec Fr  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219DM-EAD Metallurgl   | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219DN-Fines Penalties  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219E7-Unif Commerc Cd  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219EA-Bus & Licen Srv  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219EB-Antitrust Enfor  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0       | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

| Account Code-Name          | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local Assistance | PS     | NPS   | Indirect Costs | UI Benefits | GSCs   | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|------------------|--------|-------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.219EE-Map Revenue      | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219EF-TAP Sys Redesign | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219EM-Emerg Med Svcs   | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219F6-Lc On Solid Was  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219FC-Fostr Care Savi  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219H3-Pilot Health In  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219H5-Triple Prescr F  | (2)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (2)             |
| 339.219IG-Ins Genl Opems   | (22)            | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (22)            |
| 339.219JD-Probim Solv Cou  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219K2-Equip Repair     | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219K3-Catastrophic HI  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219KA-Primary Hlth Cr  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219L5-Adult Cyst Fibr  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219L8-DOS Licensing    | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219LB-Health Occup De  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.219LC-Matern Chld Hiv  | 2               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.219SA-Health Services  | 31              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 31              |
| 339.219SS-DOT Sign Shop    | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219TF--Tran Fees Perms | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219XX-A&M-Aggregated   | 829             | 0     | 1,200                  | 0              | 0                    | 0              | 1,200          | 0                | 425    | 14    | 13             | 0           | 272    | 0    | 0       | 0            | 1,305           |
| 339.219YL-OGS Bldg Admin   | 13,319          | 0     | 10,000                 | 0              | 0                    | 0              | 10,000         | 0                | 0      | 1,119 | 0              | 0           | 0      | 0    | 0       | 12,000       | 10,200          |
| 339.219YN-OGS Sid & Puch   | 8,725           | 0     | 5,660                  | 0              | 0                    | 0              | 5,660          | 0                | 858    | 1,831 | 26             | 0           | 548    | 0    | 3,000   | 3,000        | 8,122           |
| 339.219Z3-MHPIA OMR NPS    | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219Z6-Human Rights Ac  | (2)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (2)             |
| 339.219Z7-Just Ct Oper     | (3,750)         | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (3,750)         |
| 339.219ZR-Milk Producers   | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.219ZV-S T A Research   | (19)            | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (19)            |
| 339.22001-VESID SS         | 2,409           | 0     | 2,500                  | 0              | 0                    | 0              | 2,500          | 2,000            | 182    | 100   | 6              | 0           | 116    | 0    | 0       | 52           | 2,453           |
| 339.22003-Bell Jar Collec  | 16              | 0     | 1,875                  | 0              | 0                    | 0              | 1,875          | 0                | 689    | 124   | 20             | 0           | 427    | 0    | 0       | 0            | 631             |
| 339.22004-Ind & Util Serv  | 3,468           | 0     | 2,547                  | 0              | 0                    | 0              | 2,547          | 0                | 1,750  | 150   | 46             | 0           | 1,076  | 0    | 0       | 0            | 2,993           |
| 339.22008-Courts Special   | 1,265           | 0     | 3,000                  | 0              | 0                    | 0              | 3,000          | 0                | 0      | 1,300 | 0              | 0           | 0      | 0    | 0       | 0            | 2,965           |
| 339.22009-Asbestos Trning  | (214)           | 0     | 330                    | 0              | 0                    | 0              | 330            | 0                | 141    | 15    | 2              | 0           | 114    | 0    | 0       | 0            | (156)           |
| 339.22010-IMP R P Tax Adm  | 3               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 3               |
| 339.22011-Public Service   | 36,562          | 0     | 87,082                 | 0              | 0                    | 0              | 87,082         | 0                | 41,554 | 7,598 | 1,273          | 0           | 26,412 | 0    | 5,671   | 5,671        | 41,136          |
| 339.22012-Aty Licensing    | 5,921           | 0     | 32,000                 | 0              | 0                    | 0              | 32,000         | 0                | 18,241 | 5,100 | 0              | 0           | 8,200  | 0    | 0       | 0            | 6,380           |
| 339.22014-DSS Prov Recovs  | 191             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 191             |
| 339.22015-Crimes Against   | 560             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 560             |
| 339.22017-Camp Smith Bill  | 57              | 0     | 197                    | 0              | 0                    | 0              | 197            | 0                | 161    | 9     | 4              | 0           | 59     | 0    | 0       | 0            | 21              |
| 339.22018-Fire Safe Cigar  | 0               | 0     | 1                      | 0              | 0                    | 0              | 1              | 0                | 0      | 1     | 0              | 0           | 0      | 0    | 0       | 0            | 0               |
| 339.22020-Comm Feed Lic    | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.22021-Regulation of M  | 1,898           | 0     | 20                     | 0              | 0                    | 0              | 20             | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 20           | 1,898           |
| 339.22022-College Savings  | 15,034          | 0     | 813                    | 0              | 0                    | 0              | 813            | 0                | 198    | 75    | 18             | 0           | 150    | 0    | 0       | 0            | 15,406          |
| 339.22023-Discover Queens  | 20              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 20              |
| 339.22024-Reven Arrearage  | 70,700          | 0     | 25,000                 | 0              | 0                    | 0              | 25,000         | 0                | 1,622  | 2,500 | 45             | 0           | 984    | 0    | 20,503  | 20,503       | 70,046          |
| 339.22025-Comm Svce Assis  | 8,490           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 8,490           |
| 339.22026-Cell Phone Towe  | 3,371           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 3,371           |
| 339.22027-Spec Conserv Ac  | 28              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0     | 0              | 0           | 0      | 0    | 0       | 0            | 28              |
| 339.22028-State Central R  | 7,615           | 0     | 4,700                  | 0              | 0                    | 0              | 4,700          | 0                | 130    | 0     | 4              | 0           | 81     | 0    | 6,725   | 6,725        | 5,375           |
| 339.22029-Plant Industry   | 455             | 0     | 529                    | 0              | 0                    | 0              | 529            | 0                | 274    | 0     | 8              | 0           | 175    | 0    | 0       | 0            | 527             |
| 339.22032-Batavia School   | (9,575)         | 0     | 9,600                  | 0              | 0                    | 900            | 10,500         | 0                | 5,676  | 628   | 175            | 0           | 3,646  | 0    | 0       | 0            | (9,200)         |



CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

| Account Code-Name         | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local Assistance | PS     | NPS    | Indirect Costs | UI Benefits | GSCs   | Debt | Capital | Transfers To | Closing Balance |
|---------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|------------------|--------|--------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.22034-Investment Serv | 1,385           | 0     | 4,038                  | 0              | 0                    | 0              | 4,038          | 0                | 2,070  | 673    | 64             | 0           | 1,231  | 0    | 0       | 0            | 1,385           |
| 339.22035-Diabetes Resear | 33              | 0     | 6                      | 0              | 0                    | 0              | 6              | 0                | 0      | 44     | 0              | 0           | 0      | 0    | 0       | 0            | (5)             |
| 339.22037-Keep Kids Drug  | 54              | 0     | 9                      | 0              | 0                    | 0              | 9              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 63              |
| 339.22038-OPWDD Day Servi | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.22039-OSDC Finan Over | (1,843)         | 0     | 4,848                  | 0              | 0                    | 0              | 4,848          | 0                | 2,877  | 125    | 76             | 0           | 1,770  | 0    | 0       | 0            | (1,843)         |
| 339.22040-Senate Recyclab | 590             | 0     | 20                     | 0              | 0                    | 0              | 20             | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 610             |
| 339.22041-Medicaid Fraud  | 14,056          | 0     | 13,593                 | 0              | 0                    | 0              | 13,593         | 0                | 6,752  | 2,401  | 197            | 0           | 4,243  | 0    | 0       | 0            | 14,056          |
| 339.22042-DED Marketing A | 3,322           | 0     | 1,944                  | 0              | 0                    | 0              | 1,944          | 0                | 63     | 1,710  | 2              | 0           | 28     | 0    | 0       | 131          | 3,332           |
| 339.22044-Tug Hill Admin  | 139             | 0     | 38                     | 0              | 0                    | 0              | 38             | 0                | 29     | 3      | 0              | 0           | 0      | 0    | 0       | 10           | 135             |
| 339.22045-Settlement Enf  | 594             | 0     | 600                    | 0              | 0                    | 0              | 600            | 550              | 0      | 50     | 0              | 0           | 0      | 0    | 0       | 0            | 594             |
| 339.22046-Regulation of I | (72,940)        | 0     | 13,388                 | 0              | 0                    | 0              | 13,388         | 0                | 8,293  | 765    | 207            | 0           | 4,821  | 0    | 0       | 329          | (73,967)        |
| 339.22047-NYS FLEX Spend  | 277             | 0     | 300                    | 0              | 0                    | 0              | 300            | 0                | 0      | 300    | 0              | 0           | 0      | 0    | 0       | 0            | 277             |
| 339.22050-Crime Victims B | 5               | 0     | 105                    | 0              | 0                    | 0              | 105            | 0                | 0      | 105    | 0              | 0           | 0      | 0    | 0       | 0            | 5               |
| 339.22051-Ofc of Professi | 42,739          | 0     | 54,000                 | 0              | 0                    | 0              | 54,000         | 0                | 19,327 | 9,795  | 595            | 0           | 12,348 | 0    | 0       | 10,332       | 44,342          |
| 339.22052-Armory Rental A | 3,503           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 3,503           |
| 339.22053-Rome School     | (2,746)         | 0     | 9,600                  | 0              | 0                    | 1,020          | 10,620         | 0                | 4,280  | 652    | 132            | 0           | 2,895  | 0    | 0       | 0            | (85)            |
| 339.22054-Seized Assets   | (5,599)         | 0     | 543                    | 0              | 0                    | 0              | 543            | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (5,056)         |
| 339.22055-Traf Adjudicatn | (13,172)        | 0     | 44,500                 | 0              | 0                    | 0              | 44,500         | 0                | 20,024 | 8,605  | 617            | 0           | 12,793 | 0    | 0       | 0            | (10,711)        |
| 339.22056-Fed Salary Shar | 0               | 0     | 0                      | 0              | 0                    | 2,727          | 2,727          | 419              | 1,392  | 0      | 42             | 0           | 874    | 0    | 0       | 0            | 0               |
| 339.22057-Cook/Chill Acco | 1,374           | 0     | 1,000                  | 0              | 0                    | 0              | 1,000          | 0                | 0      | 1,000  | 0              | 0           | 0      | 0    | 0       | 0            | 1,374           |
| 339.22060-Credential Svcs | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.22061-Seized Assets   | (2)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (2)             |
| 339.22062-NYC Assessment  | 24,698          | 0     | 79,653                 | 0              | 0                    | 0              | 79,653         | 0                | 36,881 | 24,553 | 1,420          | 0           | 22,553 | 0    | 0       | 1,976        | 18,944          |
| 339.22063-Cultural Educat | (2,560)         | 0     | 27,170                 | 0              | 0                    | 0              | 27,170         | 0                | 11,872 | 5,400  | 366            | 0           | 7,585  | 0    | 0       | 0            | (2,569)         |
| 339.22064-Distance Learn  | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |
| 339.22065-Exam & Misc Rev | 43              | 0     | 3,150                  | 0              | 0                    | 0              | 3,150          | 0                | 359    | 417    | 11             | 0           | 229    | 0    | 0       | 1,961        | 216             |
| 339.22067-Trans Regul Acc | 11,631          | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 2,165        | 9,466           |
| 339.22068-Cons Prot Act   | 1,962           | 0     | 91                     | 0              | 0                    | 0              | 91             | 0                | 0      | 67     | 0              | 0           | 151    | 0    | 0       | 0            | 1,835           |
| 339.22070-OER NASDER      | (4)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (4)             |
| 339.22071-Fin Aid Audit   | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.22072-8th Air Force H | 2               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.22074-FMS Account     | 30              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 30              |
| 339.22075-Funeral         | 2,132           | 0     | 470                    | 0              | 0                    | 0              | 470            | 0                | 241    | 9      | 5              | 0           | 188    | 0    | 95      | 2,064        | 2,064           |
| 339.22076-FSHRP           | 13              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 13              |
| 339.22077-Educ Archives   | 40              | 0     | 15                     | 0              | 0                    | 0              | 15             | 0                | 0      | 15     | 0              | 0           | 0      | 0    | 0       | 0            | 40              |
| 339.22078-Local Services  | 1,195           | 0     | 1,153                  | 0              | 0                    | 0              | 1,153          | 0                | 722    | 50     | 19             | 0           | 373    | 0    | 0       | 0            | 1,184           |
| 339.22080-Adult Shelter   | 18,285          | 0     | 2,600                  | 0              | 0                    | 0              | 2,600          | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 20,885          |
| 339.22081-QAA Earned Rev  | 400             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 400             |
| 339.22082-Family Pres Svc | 3,052           | 0     | 0                      | 0              | 0                    | 3,618          | 3,618          | 2,732            | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 3,938           |
| 339.22083-Electronic Bene | 45              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 45              |
| 339.22084-Federal-Seized  | 0               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 38     | 0              | 0           | 0      | 0    | 0       | 0            | (38)            |
| 339.22085-DHCR Mortgage S | (9,788)         | 0     | 3,833                  | 0              | 0                    | 0              | 3,833          | 0                | 4,418  | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (10,373)        |
| 339.22086-OMH-Research OH | 74              | 0     | 2,848                  | 0              | 0                    | 0              | 2,848          | 0                | 103    | 2,817  | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.22087-DMV-Compulsory  | 770             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 770             |
| 339.22088-Prof Medic Cond | 8,738           | 0     | 24,900                 | 0              | 0                    | 0              | 24,900         | 990              | 10,584 | 6,341  | 242            | 0           | 8,139  | 0    | 3,960   | 3,382        | 3,382           |
| 339.22089-Hwy Const & Ma  | 1,726           | 0     | 260                    | 0              | 0                    | 0              | 260            | 0                | 0      | 135    | 0              | 0           | 0      | 0    | 0       | 0            | 1,851           |
| 339.22090-Housing Indirec | (3,485)         | 0     | 0                      | 0              | 0                    | 5,739          | 5,739          | 0                | 2,471  | 0      | 0              | 0           | 0      | 0    | 0       | 201          | (418)           |
| 339.22091-Adult Home Qual | 2,344           | 0     | 193                    | 0              | 0                    | 0              | 193            | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 21           | 2,516           |
| 339.22092-Homeless Hsg    | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.22093-COCOT           | (1)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (1)             |

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

| Account Code-Name          | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local Assistance | PS     | NPS    | Indirect Costs | UI Benefits | GSCs   | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|------------------|--------|--------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.22094-Accid Prevent C  | 231             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 231             |
| 339.22095-IG Szd Assets    | 100             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 100             |
| 339.22096-Leg Svcs Assist  | 37,376          | 0     | 20,500                 | 0              | 0                    | 0              | 20,500         | 14,100           | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 9,830        | 33,946          |
| 339.22097-Loc Pub Hlth     | 6,376           | 0     | 84                     | 0              | 0                    | 0              | 84             | 0                | 43     | 4      | 1              | 0           | 38     | 0    | 0       | 59           | 6,315           |
| 339.22098-Local Dist Trail | 0               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 301    | 0              | 0           | 0      | 0    | 0       | 0            | (301)           |
| 339.22099-Voting Mach Exa  | 58              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 58              |
| 339.2209Z-Interest Assess  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.22100-DHCR HCA Applic  | (3,381)         | 0     | 5,000                  | 0              | 0                    | 0              | 5,000          | 0                | 2,804  | 0      | 71             | 0           | 1,584  | 0    | 0       | 489          | (3,329)         |
| 339.22101-EPIC Premium Ac  | (2)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (2)             |
| 339.22102-Drug Enforce Ta  | 7               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 7               |
| 339.22103-Vital Records M  | 7,443           | 0     | 4,840                  | 0              | 0                    | 0              | 4,840          | 696              | 0      | 354    | 16             | 0           | 537    | 0    | 0       | 4,687        | 5,993           |
| 339.22104-CHCCDP Transfer  | 35              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 35              |
| 339.22105-Tobacco Enforce  | 2               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.22108-Hwy Rev/Soc Sec  | 1,023           | 0     | 267                    | 0              | 0                    | 0              | 267            | 0                | 0      | 232    | 0              | 0           | 0      | 0    | 0       | 0            | 1,058           |
| 339.22109-Conference & Sp  | 96              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 96              |
| 339.22110-Assisted Living  | 1,419           | 0     | 259                    | 0              | 0                    | 0              | 259            | 250              | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 9            | 1,419           |
| 339.22111-OCFS Program     | 373             | 0     | 6                      | 0              | 0                    | 0              | 6              | 0                | 0      | 645    | 0              | 0           | 0      | 0    | 0       | 0            | (266)           |
| 339.22112-OTDA Income Acc  | 139             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 139             |
| 339.22114-Disabil Determs  | 3               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 3               |
| 339.22115-OPWDD JT Clin O  | 37              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 37              |
| 339.22116-Special Medical  | 2               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2               |
| 339.22117-Litigation Sett  | 12,498          | 0     | 73,581                 | 0              | 0                    | 0              | 73,581         | 0                | 22,413 | 35,157 | 691            | 0           | 14,320 | 0    | 0       | 1,000        | 12,498          |
| 339.22118-Animal Populati  | 464             | 0     | 855                    | 0              | 0                    | 0              | 855            | 0                | 0      | 867    | 0              | 0           | 0      | 0    | 0       | 0            | 452             |
| 339.22119-Love Your Libra  | 76              | 0     | 6                      | 0              | 0                    | 0              | 6              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 82              |
| 339.22120-DISTINCTIVE PLA  | 0               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 15     | 0      | 1              | 0           | 9      | 0    | 0       | 0            | (25)            |
| 339.22122-Local Wireless   | 118             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 118             |
| 339.22123-Pub Safe Commun  | 53,972          | 0     | 123,000                | 0              | 0                    | 0              | 123,000        | 44,466           | 21,070 | 37,545 | 0              | 0           | 0      | 0    | 0       | 8,161        | 65,730          |
| 339.22124-Cuba Lake Mgmt   | 162             | 0     | 200                    | 0              | 0                    | 0              | 200            | 0                | 0      | 206    | 0              | 0           | 0      | 0    | 0       | 0            | 156             |
| 339.22126-St Justice Inst  | 70              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 70              |
| 339.22128-Med Reimb Acct   | 1,590           | 0     | 1,500                  | 0              | 0                    | 0              | 1,500          | 1,500            | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1,590           |
| 339.22130-Low Inc Housing  | 3,373           | 0     | 3,631                  | 0              | 0                    | 0              | 3,631          | 0                | 2,277  | (1)    | 58             | 0           | 812    | 0    | 0       | 150          | 3,708           |
| 339.22131-Medicaid Inquir  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.22132-New York Alert   | 55              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 55              |
| 339.22133-Procure Op News  | 348             | 0     | 0                      | 0              | 0                    | 0              | 0              | 40               | 0      | 135    | 0              | 0           | 0      | 0    | 0       | 0            | 173             |
| 339.22134-OVS RESTITUTION  | 605             | 0     | 593                    | 0              | 0                    | 0              | 593            | 0                | 443    | 150    | 0              | 0           | 0      | 0    | 0       | 0            | 605             |
| 339.22135-EFC Corp Admin   | (2)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (2)             |
| 339.22136-Food Prod Cir    | 842             | 0     | 1,323                  | 0              | 0                    | 0              | 1,323          | 0                | 219    | 1,052  | 6              | 0           | 106    | 0    | 0       | 0            | 782             |
| 339.22137-Pet Dealer       | 111             | 0     | 32                     | 0              | 0                    | 0              | 32             | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 143             |
| 339.22138-Auth Bdgt Office | 1,389           | 0     | 2,088                  | 0              | 0                    | 0              | 3,477          | 0                | 989    | 254    | 30             | 0           | 642    | 0    | 0       | 45           | 3,343           |
| 339.22139-Patient Safety   | 3,459           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 3,459           |
| 339.22140-Helen Hayes Hos  | 13,259          | 0     | 115                    | 0              | 0                    | 0              | 59,698         | 34,618           | 20,788 | 20,788 | 0              | 0           | 2,000  | 0    | 0       | 1,585        | 13,966          |
| 339.22141-NYC Veterans     | 2,462           | 0     | 350                    | 0              | 0                    | 0              | 31,187         | 17,450           | 8,538  | 8,538  | 0              | 0           | 6,925  | 0    | 0       | 392          | 344             |
| 339.22142-NYS Home-Vetera  | 455             | 0     | 120                    | 0              | 0                    | 0              | 23,789         | 14,425           | 6,176  | 6,176  | 0              | 0           | 0      | 0    | 0       | 581          | 3,062           |
| 339.22143-WNY Vets Home    | 858             | 0     | 55                     | 0              | 0                    | 0              | 12,763         | 8,734            | 4,117  | 4,117  | 0              | 0           | 0      | 0    | 0       | 132          | 638             |
| 339.22144-Montrose S V H   | 818             | 0     | 30                     | 0              | 0                    | 0              | 27,785         | 18,951           | 7,588  | 7,588  | 0              | 0           | 0      | 0    | 0       | 295          | 1,799           |
| 339.22145-DOH Hospital Ho  | 3,143           | 0     | 0                      | 0              | 0                    | 0              | 123,191        | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 123,745      | 2,589           |
| 339.22146-HEAP Earned Rev  | (2)             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (2)             |
| 339.22147-Quality of Care  | 8,269           | 0     | 1,387                  | 0              | 0                    | 0              | 1,387          | 972              | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 8,684           |
| 339.22149-Motor Fuel Qual  | 1,572           | 0     | 2,800                  | 0              | 0                    | 0              | 2,800          | 0                | 1,137  | 1,214  | 35             | 0           | 726    | 0    | 0       | 0            | 1,260           |
| 339.22150-Weights Measure  | 69              | 0     | 325                    | 0              | 0                    | 0              | 325            | 0                | 221    | 101    | 7              | 0           | 141    | 0    | 0       | 0            | (76)            |

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

| Account Code-Name          | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local Assistance | PS     | NPS    | Indirect Costs | UI Benefits | GSCs   | Debt | Capital | Transfers To | Closing Balance |
|----------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|------------------|--------|--------|----------------|-------------|--------|------|---------|--------------|-----------------|
| 339.22151-Defer Comp Adm   | (110)           | 0     | 820                    | 0              | 0                    | 0              | 820            | 0                | 378    | 183    | 23             | 0           | 205    | 0    | 0       | 0            | (79)            |
| 339.22152-Hazard Abatement | 999             | 0     | 200                    | 0              | 0                    | 0              | 200            | 150              | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1,049           |
| 339.22153-Education Stats  | 819             | 0     | 0                      | 89             | 0                    | 0              | 89             | 0                | 0      | 34     | 0              | 0           | 0      | 0    | 0       | 0            | 874             |
| 339.22154-Real Estate Fin  | 4,748           | 0     | 3,312                  | 0              | 0                    | 0              | 3,312          | 0                | 1,156  | 1,381  | 36             | 0           | 739    | 0    | 0       | 0            | 4,748           |
| 339.22156-NYC Rent Rev     | 2,107           | 0     | 48,862                 | 0              | 0                    | 0              | 48,862         | 0                | 26,279 | 7,750  | 1,400          | 0           | 20,599 | 0    | 0       | 4,115        | (9,174)         |
| 339.22157-Medicaid Income  | 619             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 380    | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 619             |
| 339.22158-Rent Revenue     | (155)           | 0     | 550                    | 0              | 0                    | 0              | 550            | 0                | 0      | 0      | 10             | 0           | 125    | 0    | 0       | 0            | (120)           |
| 339.22159-CSFP Salvage Ac  | 63              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 63              |
| 339.22161-ES Stem Cell Tr  | (145)           | 0     | 0                      | 0              | 0                    | 33,134         | 33,134         | 0                | 559    | 32,382 | 13             | 0           | 515    | 0    | 0       | 510          | (990)           |
| 339.22162-Systems & Tech   | 11,427          | 0     | 7,300                  | 0              | 0                    | 0              | 7,300          | 0                | 729    | 120    | 28             | 0           | 673    | 0    | 0       | 5,320        | 11,857          |
| 339.22163-Patron Services  | 5,580           | 0     | 72,235                 | 0              | 0                    | 0              | 72,235         | 0                | 35,553 | 30,637 | 28             | 0           | 2,826  | 0    | 0       | 3,992        | 4,779           |
| 339.22165-Trans Aviati     | 1,692           | 0     | 3,660                  | 0              | 0                    | 0              | 3,660          | 0                | 0      | 4,649  | 1              | 0           | 21     | 0    | 0       | 0            | 681             |
| 339.22166-Teacher Ed Accr  | 11              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 11              |
| 339.22167-Training Academ  | 537             | 0     | 10                     | 0              | 0                    | 0              | 10             | 0                | 0      | 10     | 0              | 0           | 0      | 0    | 0       | 0            | 537             |
| 339.22168-Tax Rev Arrear   | 4,438           | 0     | 0                      | 0              | 0                    | 1,500          | 1,500          | 0                | 0      | 1,500  | 0              | 0           | 0      | 0    | 0       | 0            | 4,438           |
| 339.22169-TSCR Account     | 82,181          | 0     | 328,000                | 0              | 0                    | 0              | 328,000        | 110,570          | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 207,200      | 92,411          |
| 339.22170-Statewide Gamin  | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.22171-Recruitment Inc  | 3,292           | 0     | 39                     | 0              | 0                    | 2,087          | 2,126          | 0                | 0      | 1,576  | 0              | 0           | 0      | 0    | 0       | 0            | 3,842           |
| 339.22172-Undgrnd Sfty T   | 2,299           | 0     | 110                    | 0              | 0                    | 0              | 110            | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 175          | 2,234           |
| 339.22173-Vol Fire Rec&Re  | 903             | 0     | 200                    | 0              | 0                    | 0              | 200            | 200              | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 903             |
| 339.22174-HAVA Match       | 1,554           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1,554           |
| 339.22175-VRSS             | 16              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 16              |
| 339.22177-Occ Hlth Clinic  | 889             | 0     | 9,000                  | 0              | 0                    | 0              | 9,000          | 9,560            | 290    | 11     | 6              | 0           | 226    | 0    | 0       | 76           | (280)           |
| 339.22178-Crim Back Check  | 385             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 385             |
| 339.22180-SR-Connections   | 1               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 1               |
| 339.22181-NYS Water Rescu  | 11              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 11              |
| 339.22182-OWIG Adm Reimb   | 3,176           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 3,176           |
| 339.22184-Wine Industry    | 5               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 5               |
| 339.22185-Assembly Recyc   | 685             | 0     | 40                     | 0              | 0                    | 0              | 40             | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 725             |
| 339.22186-Yth Fac PerDiem  | 90,504          | 0     | 199,604                | 0              | 0                    | 0              | 199,604        | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 199,604      | 90,504          |
| 339.22187-Provider Assess  | 113             | 0     | 886,000                | 0              | 0                    | 0              | 886,000        | 886,000          | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 113             |
| 339.22188-Fed Indirect Re  | 7               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 7               |
| 339.22189-DOCS Asset Forf  | 306             | 0     | 314                    | 0              | 0                    | 0              | 314            | 0                | 0      | 314    | 0              | 0           | 0      | 0    | 0       | 0            | 306             |
| 339.22190-Conference&Sign  | 109             | 0     | 35                     | 0              | 0                    | 0              | 35             | 0                | 0      | 35     | 0              | 0           | 0      | 0    | 0       | 0            | 109             |
| 339.22191-Educ Assessment  | 6               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 6               |
| 339.22192-Tax Ret Prep Fe  | 6,286           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 6,286           |
| 339.22193-Sales Tax Re Fe  | 12              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 12              |
| 339.22195-Equitable Shari  | 2,428           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 2,428           |
| 339.22196-C & F Qual Enha  | 14              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 14              |
| 339.22197-ULTVI Radia Dev  | 600             | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 600             |
| 339.22198-HEP              | 69              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 300    | 0              | 0           | 0      | 0    | 0       | 0            | (231)           |
| 339.22199-Airport Securit  | 43              | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 43              |
| 339.22202-SBCI Account     | 13              | 0     | 5,000                  | 0              | 0                    | 0              | 5,000          | 1,400            | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 3,613           |
| 339.22203-Article X Inter  | 103             | 0     | 0                      | 0              | 0                    | 0              | 0              | 69               | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | 34              |
| 339.22206-Wholesale Mkt    | 7,927           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 700    | 0              | 0           | 0      | 0    | 0       | 0            | 7,227           |
| 339.22207-Tech Financing   | 5,414           | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 0            | (86)            |
| 339.22208-Offender Progra  | 0               | 0     | 0                      | 0              | 0                    | 0              | 0              | 0                | 0      | 0      | 0              | 0           | 0      | 0    | 0       | 5,500        | 0               |
| 339.22212-Lake George Inv  | 231             | 0     | 1,000                  | 0              | 0                    | 0              | 1,000          | 0                | 0      | 1,000  | 0              | 0           | 0      | 0    | 0       | 0            | 231             |
| 339.22213-BOE Enforcement  | 171             | 0     | 350                    | 0              | 0                    | 0              | 350            | 0                | 35     | 285    | 10             | 0           | 20     | 0    | 0       | 0            | 171             |
| 339.22214-Fireworks Reven  | 827             | 0     | 320                    | 0              | 0                    | 0              | 320            | 0                | 135    | 50     | 5              | 0           | 87     | 0    | 0       | 0            | 870             |

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2019

(thousands of dollars)

| Account Code-Name         | Opening Balance | Taxes | Miscellaneous Receipts | Federal Grants | Bond & Note Proceeds | Transfers From | Total Receipts | Local Assistance | PS | NPS   | Indirect Costs | UI Benefits | GSCs | Debt | Capital | Transfers To | Closing Balance |
|---------------------------|-----------------|-------|------------------------|----------------|----------------------|----------------|----------------|------------------|----|-------|----------------|-------------|------|------|---------|--------------|-----------------|
| 339.22215-Delivery Transf | 969             | 0     | 1,000                  | 0              | 0                    | 0              | 1,000          | 0                | 0  | 1,000 | 0              | 0           | 0    | 0    | 0       | 0            | 969             |
| 339.22235-Insitt Accredi  | 0               | 0     | 48                     | 0              | 0                    | 0              | 48             | 0                | 0  | 0     | 0              | 0           | 0    | 0    | 0       | 0            | 48              |
| 339.22239-Opioid Steward  | 0               | 0     | 100,000                | 0              | 0                    | 0              | 100,000        | 0                | 0  | 0     | 0              | 0           | 0    | 0    | 0       | 100,000      | 0               |

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2019**  
(thousands of dollars)

|   | STATE CAPITAL PROJECTS (30000-30049) | DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099) | STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299) | NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349) | INFRASTRUCTURE PARK (30350-30399) | STATE PARK (30350-30399) | PASSENGER FACILITY CHARGE (30400-30449) | ENVIRONMENTAL PROTECTION (30450-30499) | CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30699) | PURE WATERS BOND (30620-30629) | TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639) |
|---|--------------------------------------|--|--|---|-----------------------------------|--------------------------|---|--|---|--------------------------------|--|
| <b>Opening Fund Balance</b>               | 0                                    | (40,624)   | 142,533  | 8,554   | (9,454)                           | 0                        | 15                                      | 150,959                                | 164   | 668                            | 3,328  |
| <b>Receipts:</b>                          |                                      |  |  |   |                                   |                          |   |  |   |                                |  |
| Taxes                                     | 0                                    | 1,275,800  | 0  | 0   | 0                                 | 0                        | 0                                       | 119,100                                | 0   | 0                              | 0  |
| Miscellaneous Receipts                    | 4,398,359                            | 1,536,751  | 0  | 250   | 137,100                           | 0                        | 0                                       | 34,650                                 | 0   | 0                              | 0  |
| Federal Grants                            | 0                                    | (4,892)  | 0  | 0   | 0                                 | 0                        | 0                                       | 0                                      | 0   | 0                              | 0  |
| <b>Total Receipts</b>                     | 4,398,359                            | 2,817,443  | 0  | 250   | 137,100                           | 0                        | 0                                       | 153,750                                | 0   | 0                              | 0  |
| <b>Disbursements:</b>                     |                                      |  |  |   |                                   |                          |   |  |   |                                |  |
| Local Assistance                          | 3,490,177                            | 61,940   | 0  | 0   | 0                                 | 0                        | 0                                       | 0                                      | 0   | 0                              | 0  |
| State Operations                          | 0                                    | 0  | 0  | 0   | 0                                 | 0                        | 0                                       | 0                                      | 0   | 0                              | 0  |
| General State Charges                     | 0                                    | 0  | 0  | 0   | 0                                 | 0                        | 0                                       | 0                                      | 0   | 0                              | 0  |
| Debt Service                              | 0                                    | 0  | 0  | 0   | 0                                 | 0                        | 0                                       | 0                                      | 0   | 0                              | 0  |
| Capital Projects                          | 2,377,376                            | 1,972,106  | 50,000   | 250   | 137,100                           | 0                        | 0                                       | 240,275                                | 0   | 0                              | 0  |
| <b>Total Disbursements</b>                | 5,867,553                            | 2,034,046  | 50,000   | 250   | 137,100                           | 0                        | 0                                       | 240,275                                | 0   | 0                              | 0  |
| <b>Other Financing Sources (Uses):</b>    |                                      |  |  |   |                                   |                          |   |  |   |                                |  |
| Transfers from Other Funds                | 1,473,572                            | 548,626  | 50,000   | 0   | 0                                 | 0                        | 0                                       | 28,000                                 | 0   | 0                              | 0  |
| Transfers to Other Funds                  | (4,412)                              | (1,322,873)                                      | 0  | 0   | 0                                 | 0                        | 0                                       | (25)                                   | (25)  | (240)                          | (25)   |
| Bond & Note Proceeds                      | 0                                    | 0  | 0  | 0   | 0                                 | 0                        | 0                                       | 0                                      | 25  | 240                            | 25   |
| <b>Net Other Financing Sources (Uses)</b> | 1,469,160                            | (774,247)  | 50,000   | 0   | 0                                 | 0                        | 0                                       | 28,000                                 | 0   | 0                              | 0  |
| <b>Change in Fund Balance</b>             | (34)                                 | 9,150  | 0  | 0   | 0                                 | 0                        | 0                                       | (58,525)                               | 0   | 0                              | 0  |
| <b>Closing Fund Balance</b>               | (34)                                 | (31,474)   | 142,533  | 8,554   | (9,454)                           | 0                        | 15                                      | 92,434                                 | 164   | 668                            | 3,328  |

|   | ENVIRONMENTAL QUALITY PROTECTION BOND ACT (1972) (30640-30649) | REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659) | ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679) | ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689) | CLEAN WATER/CLEAN AIR BOND (30690-30699) | FEDERAL CAPITAL PROJECTS (31350-31449) | FOREST PRESERVE EXPANSION (31450-31499) | HAZARDOUS WASTE REMEDIAL (31500-31549) | SUBURBAN TRANSPORTATION (31650-31699) |
|---|--|--|---|---|--|--|---|--|---------------------------------------|
| <b>Opening Fund Balance</b>               | 1,420  | 18,980   | 6,217   | 2,778   | 1,428                                    | (582,764)                              | 917                                     | (79,180)                               | 516                                   |
| <b>Receipts:</b>                          |  |  |   |   |  |  |   |  |                                       |
| Taxes                                     | 0  | 0  | 0   | 0   | 0  | 0                                      | 0                                       | 0                                      | 0                                     |
| Miscellaneous Receipts                    | 0  | 0  | 0   | 0   | 0  | 0                                      | 0                                       | 0                                      | 0                                     |
| Federal Grants                            | 0  | 0  | 0   | 0   | 0  | 0                                      | 10                                      | 103,250                                | 0                                     |
| <b>Total Receipts</b>                     | 0  | 0  | 0   | 0   | 0  | 0                                      | 10                                      | 103,250                                | 0                                     |
| <b>Disbursements:</b>                     |  |  |   |   |  |  |   |  |                                       |
| Local Assistance                          | 0  | 0  | 0   | 0   | 0  | 0                                      | 0                                       | 0                                      | 0                                     |
| State Operations                          | 0  | 0  | 0   | 0   | 0  | 0                                      | 0                                       | 0                                      | 0                                     |
| General State Charges                     | 0  | 0  | 0   | 0   | 0  | 0                                      | 0                                       | 0                                      | 0                                     |
| Debt Service                              | 0  | 0  | 0   | 0   | 0  | 0                                      | 0                                       | 0                                      | 0                                     |
| Capital Projects                          | 0  | 0  | 0   | 0   | 0  | 0                                      | 10                                      | 104,996                                | 0                                     |
| <b>Total Disbursements</b>                | 0  | 0  | 0   | 0   | 0  | 0                                      | 10                                      | 104,996                                | 0                                     |
| <b>Other Financing Sources (Uses):</b>    |  |  |   |   |  |  |   |  |                                       |
| Transfers from Other Funds                | 0  | 0  | 0   | 0   | 0  | 0                                      | 0                                       | 20,200                                 | 0                                     |
| Transfers to Other Funds                  | (615)  | (12,711)   | (3,807)   | (25)  | (3,075)                                  | (391,398)                              | 0                                       | (25,200)                               | 0                                     |
| Bond & Note Proceeds                      | 615  | 12,711   | 4,807   | 25  | 10,075                                   | 0                                      | 0                                       | 0                                      | 0                                     |
| <b>Net Other Financing Sources (Uses)</b> | 0  | 0  | 1,000   | 0   | 7,000                                    | (391,398)                              | 0                                       | (5,000)                                | 0                                     |
| <b>Change in Fund Balance</b>             | 0  | 0  | 1,000   | 0   | 7,000                                    | 16,238                                 | 0                                       | (6,746)                                | 0                                     |
| <b>Closing Fund Balance</b>               | 1,420  | 18,980   | 7,217   | 2,778   | 8,428                                    | (566,526)                              | 917                                     | (85,926)                               | 516                                   |

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2019**  
(thousands of dollars)

|   | DIVISION FOR<br>YOUTH<br>ENRICHMENT<br>(31700-31749) | HOUSING<br>ASSISTANCE<br>(31800-31849) | HOUSING<br>PROGRAM<br>(31850-31899) | NATURAL<br>RESOURCE<br>DAMAGES<br>(31900-31949) | TRANSPORTATION<br>ENGINEERING<br>SERVICES<br>(31950-31999) | STATE UNIVERSITY<br>CAPITAL PROJECTS<br>(32400-32999) | MISCELLANEOUS<br>CAPITAL<br>PROJECTS<br>(32500-32249) | CITY UNIVERSITY<br>OF NEW YORK<br>PROJECTS<br>(3250-32299) | MENTAL HYGIENE<br>FACILITIES<br>IMPROVEMENT<br>(32300-32349) | CORRECTIONAL<br>FACILITIES<br>IMPROVEMENT<br>(32350-32399) |
|---|--|--|-------------------------------------|---|--|---|---|--|--|--|
| Opening Fund Balance                      | (25,429)   | (13,109)                               | (176,007)                           | 17,582  | (12,441)   | 160,419   | 60,983  | (26)   | (599,930)  | (180,019)  |
| Receipts:                                 |  |  |                                     |   |  |   |   |  |  |  |
| Taxes                                     | 0  | 0                                      | 0                                   | 0   | 0  | 0   | 0   | 0  | 0  | 0  |
| Miscellaneous Receipts                    | 62,329   | 0                                      | 544,081                             | 1,000   | 0  | 90,000  | 25,265  | 0  | 217,369  | 271,564  |
| Federal Grants                            | 0  | 0                                      | 0                                   | 0   | 0  | 0   | 0   | 0  | 0  | 0  |
| <b>Total Receipts</b>                     | <b>62,329</b>  | <b>0</b>                               | <b>544,081</b>                      | <b>1,000</b>                                    | <b>0</b>   | <b>90,000</b>   | <b>25,265</b>   | <b>0</b>   | <b>217,369</b>   | <b>271,564</b>   |
| Disbursements:                            |  |  |                                     |   |  |   |   |  |  |  |
| Local Assistance                          | 0  | 0                                      | 544,656                             | 0   | 0  | 0   | 0   | 0  | 93,422   | 0  |
| State Operations                          | 0  | 0                                      | 0                                   | 0   | 0  | 0   | 0   | 0  | 0  | 0  |
| General State Charges                     | 0  | 0                                      | 0                                   | 0   | 0  | 0   | 0   | 0  | 0  | 0  |
| Debt Service                              | 0  | 0                                      | 0                                   | 0   | 0  | 0   | 0   | 0  | 0  | 0  |
| Capital Projects                          | 61,829   | 0                                      | 0                                   | 1,017   | 0  | 90,000  | 56,400  | 0  | 124,197  | 378,637  |
| <b>Total Disbursements</b>                | <b>61,829</b>  | <b>0</b>                               | <b>544,656</b>                      | <b>1,017</b>                                    | <b>0</b>   | <b>90,000</b>   | <b>56,400</b>   | <b>0</b>   | <b>217,619</b>   | <b>378,637</b>   |
| Other Financing Sources (Uses):           |  |  |                                     |   |  |   |   |  |  |  |
| Transfers from Other Funds                | 0  | 0                                      | 575                                 | 0   | 0  | 25,000  | 41,900  | 0  | 6,837  | 107,073  |
| Transfers to Other Funds                  | 0  | 0                                      | 0                                   | 0   | 0  | 0   | 0   | 0  | 0  | 0  |
| Bond & Note Proceeds                      | 0  | 0                                      | 0                                   | 0   | 0  | 0   | 0   | 0  | 0  | 0  |
| <b>Net Other Financing Sources (Uses)</b> | <b>0</b>   | <b>0</b>                               | <b>575</b>                          | <b>0</b>  | <b>0</b>   | <b>25,000</b>   | <b>41,900</b>   | <b>0</b>   | <b>6,837</b>   | <b>107,073</b>   |
| <b>Change in Fund Balance</b>             | <b>500</b>   | <b>0</b>                               | <b>(17)</b>                         | <b>(17)</b>                                     | <b>0</b>   | <b>25,000</b>   | <b>30,765</b>   | <b>0</b>   | <b>6,587</b>   | <b>0</b>   |
| Closing Fund Balance                      | (24,929)   | (13,109)                               | (176,007)                           | 17,565  | (12,441)   | 185,419   | 71,748  | (26)   | (593,343)  | (180,019)  |

|   | SMART SCHOOLS<br>AND<br>YOUTH CENTER<br>(30710-30749) | NEW YORK STATE<br>STORAGE<br>(32800-33049) | DEDICATED<br>INFRASTRUCTURE<br>PROJECTS<br>(33050-33055) | CAPITAL<br>PROJECTS<br>OTHER | Sub Total         | Eliminations | Financial Plan    |
|---|---|--|--|------------------------------|-------------------|--------------|-------------------|
| Opening Fund Balance                      | 0   | (75,606)                                   | 61,656   | 0                            | (1,151,217)       | 0            | (1,151,217)       |
| Receipts:                                 |   |  |  |                              |                   |              |                   |
| Taxes                                     | 0   | 0  | 0  | 0                            | 1,394,900         | 0            | 1,394,900         |
| Miscellaneous Receipts                    | 0   | 4,181                                      | 0  | 301                          | 7,426,460         | 0            | 7,426,460         |
| Federal Grants                            | 0   | 0  | 0  | 0                            | 2,433,280         | 0            | 2,433,280         |
| <b>Total Receipts</b>                     | <b>0</b>  | <b>4,181</b>                               | <b>0</b>   | <b>301</b>                   | <b>11,254,640</b> | <b>0</b>     | <b>11,254,640</b> |
| Disbursements:                            |   |  |  |                              |                   |              |                   |
| Local Assistance                          | 0   | 0  | 349,409  | 0                            | 5,245,585         | 0            | 5,245,585         |
| State Operations                          | 0   | 0  | 0  | 0                            | 0                 | 0            | 0                 |
| General State Charges                     | 0   | 0  | 0  | 0                            | 0                 | 0            | 0                 |
| Debt Service                              | 0   | 0  | 0  | 0                            | 0                 | 0            | 0                 |
| Capital Projects                          | 0   | 4,181                                      | 1,010,864  | 0                            | 7,924,009         | 0            | 7,924,009         |
| <b>Total Disbursements</b>                | <b>0</b>  | <b>4,181</b>                               | <b>1,360,273</b>   | <b>0</b>                     | <b>13,169,594</b> | <b>0</b>     | <b>13,169,594</b> |
| Other Financing Sources (Uses):           |   |  |  |                              |                   |              |                   |
| Transfers from Other Funds                | 0   | 0  | 1,360,273  | 0                            | 3,662,056         | (679,841)    | 2,982,215         |
| Transfers to Other Funds                  | (275,000)   | 0  | 0  | 0                            | (2,039,431)       | 679,841      | (1,359,590)       |
| Bond & Note Proceeds                      | 715,000   | 0  | 0  | 0                            | 743,548           | 0            | 743,548           |
| <b>Net Other Financing Sources (Uses)</b> | <b>440,000</b>  | <b>0</b>                                   | <b>1,360,273</b>   | <b>0</b>                     | <b>2,366,173</b>  | <b>0</b>     | <b>2,366,173</b>  |
| <b>Change in Fund Balance</b>             | <b>440,000</b>  | <b>0</b>                                   | <b>0</b>   | <b>301</b>                   | <b>451,219</b>    | <b>0</b>     | <b>451,219</b>    |
| Closing Fund Balance                      | 440,000   | (75,606)                                   | 61,656   | 301                          | (699,998)         | 0            | (699,998)         |

CASH COMBINING STATEMENT  
DEBT SERVICE  
FY 2019

|   | MENTAL HEALTH SERVICES<br>(40100-40149) | GENERAL DEBT SERVICE<br>(40150-40199) | HOUSING DEBT<br>(40250-40299) | DEPARTMENT OF HEALTH INCOME<br>(40300-40349) | CLEAN WATER/<br>CLEAN AIR<br>(40400-40449) | LOCAL GOVERNMENT ASSISTANCE TAX<br>(40450-40499) | Sub Total    | Eliminations | Financial Plan |
|---|---|---------------------------------------|-------------------------------|--|--|--|--------------|--------------|----------------|
| Opening Fund Balance                      | 117,944                                 | 0                                     | 0                             | 35,165                                       | 0  | 0  | 153,109      | 0            | 153,109        |
| <b>Receipts:</b>                          |   |                                       |                               |  |  |  |              |              |                |
| Taxes                                     | 0                                       | 28,733,690                            | 0                             | 0  | 1,058,900                                  | 3,528,900  | 33,321,490   | 0            | 33,321,490     |
| Miscellaneous Receipts                    | 350,178                                 | 0                                     | 3,624                         | 143,702                                      | 0  | 500  | 498,004      | 0            | 498,004        |
| Federal Grants                            | 0                                       | 73,630                                | 0                             | 0  | 0  | 0  | 73,630       | 0            | 73,630         |
| <b>Total Receipts</b>                     | 350,178                                 | 28,807,320                            | 3,624                         | 143,702                                      | 1,058,900                                  | 3,529,400  | 33,893,124   | 0            | 33,893,124     |
| <b>Disbursements:</b>                     |   |                                       |                               |  |  |  |              |              |                |
| Local Assistance                          | 0                                       | 0                                     | 0                             | 0  | 0  | 0  | 0            | 0            | 0              |
| State Operations                          | 1,535                                   | 40,632                                | 0                             | 2,161  | 0  | 2,855  | 47,183       | 0            | 47,183         |
| General State Charges                     | 0                                       | 0                                     | 0                             | 0  | 0  | 0  | 0            | 0            | 0              |
| Debt Service                              | 123,059                                 | 4,995,461                             | 4,624                         | 26,166                                       | 0  | 370,271  | 5,519,581    | 0            | 5,519,581      |
| Capital Projects                          | 0                                       | 0                                     | 0                             | 0  | 0  | 0  | 0            | 0            | 0              |
| <b>Total Disbursements</b>                | 124,594                                 | 5,036,093                             | 4,624                         | 28,327                                       | 0  | 373,126  | 5,566,764    | 0            | 5,566,764      |
| <b>Other Financing Sources (Uses):</b>    |   |                                       |                               |  |  |  |              |              |                |
| Transfers from Other Funds                | 1,410,853                               | 2,491,112                             | 1,000                         | 42,069                                       | 0  | 0  | 3,945,034    | (346,261)    | 3,598,773      |
| Transfers to Other Funds                  | (1,621,604)                             | (26,262,338)                          | 0                             | (154,028)                                    | (1,058,901)                                | (3,155,874)                                      | (32,252,745) | 346,261      | (31,906,484)   |
| Bond & Note Proceeds                      | 0                                       | 0                                     | 0                             | 0  | 0  | 0  | 0            | 0            | 0              |
| <b>Net Other Financing Sources (Uses)</b> | (210,751)                               | (23,771,226)                          | 1,000                         | (111,959)                                    | (1,058,901)                                | (3,155,874)                                      | (28,307,711) | 0            | (28,307,711)   |
| <b>Change in Fund Balance</b>             | 14,833                                  | 1                                     | 0                             | 3,416  | (1)  | 400  | 18,649       | 0            | 18,649         |
| <b>Closing Fund Balance</b>               | 132,777                                 | 1                                     | 0                             | 38,581                                       | (1)  | 400  | 171,758      | 0            | 171,758        |

**CASH COMBINING STATEMENT BY ACCOUNT  
INTERNAL SERVICE  
FY 2019**  
(thousands of dollars)

| Fund Account               | Opening Balance | Taxes | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local Assistance | PS     | NPS     | Indirect Costs | UI Benefits | GSCs   | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|----------------------------|-----------------|-------|----------------|----------------|---------------|----------------|----------------|------------------|--------|---------|----------------|-------------|--------|------|---------|--------------|-------------|-----------------|
| 323.55000-Centr Services   | 1               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 1               |
| 323.55009-Admin Support    | 2               | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 2               |
| 323.55010-Design & Constr  | (4,429)         | 0     | 64,626         | 0              | 0             | 0              | 64,626         | 0                | 29,251 | 15,423  | 901            | 0           | 18,688 | 0    | 0       | 1,866        | 66,129      | (5,932)         |
| 323.55020-OGS Ent Contr    | (72,553)        | 0     | 200,000        | 0              | 0             | 0              | 200,000        | 0                | 600    | 199,074 | 18             | 0           | 383    | 0    | 0       | 0            | 200,075     | (72,628)        |
| 323.55022-Business Srv Ct  | (4,638)         | 0     | 0              | 0              | 0             | 6,000          | 6,000          | 0                | 5,686  | 5,000   | 175            | 0           | 3,633  | 0    | 0       | 0            | 14,494      | (13,132)        |
| 323.550ML-Broome St Waste  | (1)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (1)             |
| 323.550XX-Misc Centr Srv   | 107             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 107             |
| 323.5502X-OGS Exec Direct  | (11,667)        | 0     | 134,630        | 0              | 0             | 21,783         | 156,413        | 0                | 4,447  | 129,208 | 137            | 0           | 2,841  | 0    | 0       | 19,919       | 156,552     | (11,806)        |
| 323.5502Y-OGS Bldg Admin   | (3,654)         | 0     | 26,429         | 0              | 0             | 13,500         | 39,929         | 0                | 2,231  | 19,876  | 69             | 0           | 1,425  | 0    | 0       | 0            | 23,601      | 12,674          |
| 323.5502Z-OGS Std & Purch  | (2,150)         | 0     | 12,057         | 0              | 0             | 0              | 12,057         | 0                | 3,211  | 5,430   | 99             | 0           | 2,052  | 0    | 0       | 0            | 10,792      | (885)           |
| 334.55050-Agencies Int Sv  | 14              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 14              |
| 334.55052-Archives R       | 223             | 0     | 1,638          | 0              | 0             | 0              | 1,638          | 0                | 920    | 114     | 27             | 0           | 488    | 0    | 0       | 0            | 1,549       | 312             |
| 334.55053-Fedl Single Aud  | 2,295           | 0     | 1,500          | 0              | 0             | 0              | 1,500          | 0                | 0      | 1,500   | 0              | 0           | 0      | 0    | 0       | 0            | 1,500       | 2,295           |
| 334.55054-Quick Copy Cent  | (3)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (3)             |
| 334.55055-CS Administrat   | 2,285           | 0     | 5,963          | 0              | 0             | 0              | 5,963          | 0                | 2,792  | 500     | 86             | 0           | 1,784  | 0    | 0       | 1,651        | 6,813       | 1,435           |
| 334.55056-EHS Occup Hlth   | 251             | 0     | 870            | 0              | 0             | 0              | 870            | 0                | 635    | 517     | 20             | 0           | 406    | 0    | 0       | 0            | 1,578       | (457)           |
| 334.55057-Banking Service  | (8)             | 0     | 500            | 0              | 0             | 60,109         | 60,609         | 0                | 0      | 57,254  | 0              | 0           | 0      | 0    | 0       | 0            | 57,254      | 3,347           |
| 334.55058-Cult Resources   | (4,376)         | 0     | 7,329          | 0              | 0             | 0              | 7,329          | 0                | 1,429  | 4,082   | 42             | 0           | 840    | 0    | 0       | 284          | 6,677       | (3,724)         |
| 334.55059-Neighbor Work P  | (12,383)        | 0     | 8,200          | 0              | 0             | 1,000          | 9,200          | 0                | 0      | 9,200   | 0              | 0           | 0      | 0    | 0       | 0            | 9,200       | (12,383)        |
| 334.55060-Audio/Print Chgb | 2,660           | 0     | 17,613         | 0              | 0             | 0              | 17,613         | 0                | 8,419  | 4,345   | 0              | 0           | 4,946  | 0    | 0       | 0            | 17,710      | 2,563           |
| 334.55061-NYT Account      | (2,146)         | 0     | 9,800          | 0              | 0             | 0              | 9,800          | 0                | 0      | 9,800   | 0              | 0           | 0      | 0    | 0       | 0            | 9,800       | (2,146)         |
| 334.55062-State Data Ctr   | (55,237)        | 0     | 0              | 0              | 0             | 18,300         | 18,300         | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 12,500       | 12,500      | (8,432)         |
| 334.55063-Human Svcs Tele  | 4,068           | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 150     | 0              | 0           | 0      | 0    | 0       | 0            | 150         | 754             |
| 334.55065-OPWDD Copy Cent  | 754             | 0     | 150            | 0              | 0             | 0              | 150            | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 754             |
| 334.55066-Intrusion Detec  | (1,263)         | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (1,263)         |
| 334.55067-Dom Violence Cr  | (93)            | 0     | 807            | 0              | 0             | 0              | 807            | 0                | 703    | 101     | 3              | 0           | 0      | 0    | 0       | 0            | 807         | (93)            |
| 334.55068-Statewide Train  | 93              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 93              |
| 334.55069-Centralized Tec  | (43,985)        | 0     | 30,000         | 0              | 0             | 13,960         | 43,960         | 0                | 0      | 30,000  | 0              | 0           | 908    | 0    | 0       | 0            | 30,908      | (30,983)        |
| 334.55070-Learning Mgmt S  | 1,801           | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 300          | 300         | 1,501           |
| 334.55071-Labor Cont Ctr   | 110             | 0     | 2,021          | 0              | 0             | 0              | 2,021          | 0                | 1,055  | 164     | 27             | 0           | 654    | 0    | 0       | 0            | 1,900       | 231             |
| 334.55072-HS Cont Ctr      | 920             | 0     | 17,717         | 0              | 0             | 0              | 17,717         | 0                | 8,815  | 3,127   | 268            | 0           | 5,334  | 0    | 0       | 0            | 17,544      | 1,093           |
| 334.55074-Civil Recoverie  | (4,752)         | 0     | 20,530         | 0              | 0             | 0              | 20,530         | 0                | 3,370  | 14,902  | 104            | 0           | 2,154  | 0    | 0       | 0            | 20,530      | (4,752)         |
| 334.550CR-Civil Recover    | (2)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (2)             |
| 334.550MI-Personnel Mgmt   | 29              | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | 29              |
| 334.550PF-Public Financong | (1)             | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (1)             |
| 334.550XZ-Misc Intl Serv   | (116)           | 0     | 0              | 0              | 0             | 0              | 0              | 0                | 0      | 0       | 0              | 0           | 0      | 0    | 0       | 0            | 0           | (116)           |
| 343.55100-Mental Hygiene   | 38              | 0     | 1,600          | 0              | 0             | 0              | 1,600          | 0                | 652    | 500     | 17             | 0           | 401    | 0    | 0       | 0            | 1,570       | 68              |
| 347.55150-DFY Voc Educatn  | 68              | 0     | 25             | 0              | 0             | 0              | 25             | 0                | 0      | 14      | 0              | 0           | 0      | 0    | 0       | 0            | 14          | 79              |
| 394.55200-Joint Labor-Mgt  | 1,750           | 0     | 2,000          | 0              | 0             | 0              | 2,000          | 0                | 912    | 406     | 30             | 0           | 322    | 0    | 0       | 0            | 1,670       | 2,080           |
| 395.55251-Ex Dir Intl Aud  | (6,473)         | 0     | 4,269          | 0              | 0             | 0              | 4,269          | 0                | 2,558  | 71      | 67             | 0           | 1,573  | 0    | 0       | 0            | 4,269       | (6,473)         |
| 395.55252-CIO INFO TECH C  | (21,979)        | 0     | 29,110         | 0              | 0             | 8,083          | 29,110         | 0                | 11,091 | 11,410  | 272            | 0           | 6,337  | 0    | 0       | 0            | 29,110      | (21,979)        |
| 396.55300-Health Insuranc  | (4,546)         | 0     | 14,121         | 0              | 0             | 0              | 22,204         | 0                | 9,150  | 1,700   | 282            | 0           | 5,846  | 0    | 0       | 3,428        | 20,406      | (2,748)         |
| 396.55301-CS EBD Adm Reim  | (5,266)         | 0     | 4,500          | 0              | 0             | 0              | 4,500          | 0                | 1,850  | 335     | 57             | 0           | 1,182  | 0    | 0       | 639          | 4,063       | (4,829)         |
| 397.55350-Correctional In  | (24,930)        | 0     | 49,000         | 0              | 0             | 20,773         | 69,773         | 0                | 25,700 | 34,831  | 535            | 0           | 12,011 | 0    | 0       | 357          | 73,434      | (28,591)        |



**CASH COMBINING STATEMENT BY ACCOUNT  
ENTERPRISE  
FY 2019**  
(thousands of dollars)

| Fund Account              | Opening Balance | Taxes     | Misc. Receipts | Federal Grants | Bond Proceeds | Transfers From | Total Receipts | Local Assistance | PS    | NPS    | Indirect Costs | UI Benefits | GSCs  | Debt | Capital | Transfers To | Total Disb. | Closing Balance |
|---------------------------|-----------------|-----------|----------------|----------------|---------------|----------------|----------------|------------------|-------|--------|----------------|-------------|-------|------|---------|--------------|-------------|-----------------|
| 324.50000-DPY Commissary  | 104             | 0         | 120            | 0              | 0             | 0              | 120            | 0                | 0     | 120    | 0              | 0           | 0     | 0    | 0       | 0            | 120         | 104             |
| 325.50050-State Fair Rece | 1,410           | 0         | 14,350         | 0              | 0             | 0              | 14,350         | 0                | 4,074 | 8,596  | 0              | 0           | 0     | 0    | 0       | 0            | 12,670      | 3,090           |
| 326.50100-DOCS Commissary | 3,449           | 0         | 39,262         | 0              | 0             | 0              | 39,262         | 0                | 0     | 39,180 | 0              | 0           | 0     | 0    | 0       | 0            | 39,180      | 3,531           |
| 331.50301-Mental Disab Pr | 17              | 0         | 7              | 0              | 0             | 0              | 7              | 0                | 0     | 7      | 0              | 0           | 0     | 0    | 0       | 0            | 7           | 17              |
| 331.50302-DFY Products    | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0     | 0      | 0              | 0           | 0     | 0    | 0       | 0            | 0           | (1)             |
| 331.50303-Aging Enterpris | 0               | 0         | 2              | 0              | 0             | 0              | 2              | 0                | 0     | 2      | 0              | 0           | 0     | 0    | 0       | 0            | 2           | 0               |
| 331.50304-Maps And Demogr | 13              | 0         | 1              | 0              | 0             | 0              | 1              | 0                | 0     | 0      | 0              | 0           | 0     | 0    | 0       | 0            | 0           | 14              |
| 331.50305-Empire St Games | (3)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0     | 0      | 0              | 0           | 0     | 0    | 0       | 0            | 0           | (3)             |
| 331.50306-DSS Trng Matrls | 174             | 0         | 24             | 0              | 0             | 0              | 24             | 0                | 0     | 0      | 0              | 0           | 0     | 0    | 0       | 0            | 0           | 198             |
| 331.50311-Arts & Crafts   | 1               | 0         | 1              | 0              | 0             | 0              | 1              | 0                | 0     | 1      | 0              | 0           | 0     | 0    | 0       | 0            | 1           | 1               |
| 331.50313-TRAID Services  | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0     | 0      | 0              | 0           | 0     | 0    | 0       | 0            | 0           | (1)             |
| 331.50318-Convention Cir  | (72)            | 0         | 1,497          | 0              | 0             | 0              | 1,497          | 0                | 609   | 441    | 19             | 0           | 446   | 0    | 0       | 0            | 1,515       | (90)            |
| 331.50319-Attica Emp Mess | 1,435           | 0         | 1,256          | 0              | 0             | 0              | 1,256          | 0                | 282   | 803    | 10             | 0           | 167   | 0    | 0       | 0            | 1,262       | 1,429           |
| 331.50322-Asset Preservat | 98              | 0         | 14             | 0              | 0             | 0              | 14             | 0                | 0     | 23     | 0              | 0           | 0     | 0    | 0       | 0            | 23          | 89              |
| 331.50323-Farm Program    | 685             | 0         | 629            | 0              | 0             | 0              | 629            | 0                | 123   | 465    | 2              | 0           | 63    | 0    | 0       | 0            | 643         | 671             |
| 331.50327-Emp Plz Gift Sh | (89)            | 0         | 500            | 0              | 0             | 0              | 500            | 0                | 105   | 331    | 3              | 0           | 67    | 0    | 0       | 0            | 506         | (95)            |
| 331.5032Z-DDPC Pub Acct   | 0               | 0         | 10             | 0              | 0             | 0              | 10             | 0                | 0     | 10     | 0              | 0           | 0     | 0    | 0       | 0            | 10          | 0               |
| 331.ARMRT-Armory Rental   | 0               | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0     | 0      | 18             | 0           | 66    | 0    | 0       | 0            | 1,434       | (1,434)         |
| 331.OGSPS-Parking Servs   | 0               | 0         | 8,092          | 0              | 0             | 0              | 8,092          | 0                | 2,900 | 3,612  | 89             | 0           | 1,853 | 0    | 0       | 1,000        | 9,454       | (1,362)         |
| 331.OGSSP-Special Events  | 0               | 0         | 874            | 0              | 0             | 0              | 874            | 0                | 28    | 833    | 1              | 0           | 18    | 0    | 0       | 0            | 880         | (6)             |
| 331.OGSSW-Solid Waste     | 0               | 0         | 105            | 0              | 0             | 0              | 105            | 0                | 100   | 5      | 3              | 0           | 64    | 0    | 0       | 0            | 172         | (67)            |
| 351.50400-OMH Sheit Wkshs | 2,138           | 0         | 2,200          | 0              | 0             | 0              | 2,200          | 0                | 0     | 2,200  | 0              | 0           | 0     | 0    | 0       | 0            | 2,200       | 2,138           |
| 352.50450-MR Shel Wkshop  | 1,869           | 0         | 950            | 0              | 0             | 0              | 950            | 0                | 0     | 1,050  | 0              | 0           | 0     | 0    | 0       | 0            | 1,050       | 1,769           |
| 353.50500-MH & MR Communi | 4,210           | 0         | 2,200          | 0              | 0             | 0              | 2,200          | 0                | 383   | 1,172  | 10             | 0           | 229   | 0    | 0       | 0            | 1,794       | 4,616           |
| 353.50516-MR Community St | 147             | 0         | 551            | 0              | 0             | 0              | 551            | 0                | 219   | 326    | 9              | 0           | 0     | 0    | 0       | 0            | 554         | 144             |
| 450.2595F-IEA / State Fai | (1)             | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0     | 0      | 0              | 0           | 0     | 0    | 0       | 0            | 0           | (1)             |
| 481.50650-U / Benefit Fnd | 863,441         | 2,450,000 | 0              | 50,000         | 0             | 0              | 2,500,000      | 0                | 0     | 0      | 0              | 2,500,000   | 0     | 0    | 0       | 0            | 2,500,000   | 863,441         |
| 481.50651-Interest Assess | 5,478           | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0     | 0      | 0              | 0           | 0     | 0    | 0       | 0            | 0           | 5,478           |
| 481.506FS-Federal Stimulu | (860,933)       | 0         | 0              | 0              | 0             | 0              | 0              | 0                | 0     | 0      | 0              | 0           | 0     | 0    | 0       | 0            | 0           | (860,933)       |

**STATE DEBT OUTSTANDING**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

|                                 | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> | <u>FY 2023<br/>Projected</u> |
|---------------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| <b>GENERAL OBLIGATION BONDS</b> | <u>2,371,385</u>           | <u>2,467,221</u>            | <u>3,047,698</u>             | <u>3,431,127</u>             | <u>3,437,991</u>             | <u>3,333,387</u>             |
| <b>REVENUE BONDS</b>            |                            |                             |                              |                              |                              |                              |
| Personal Income Tax             | 33,588,605                 | 33,456,727                  | 36,167,966                   | 38,215,493                   | 40,681,511                   | 43,493,242                   |
| Sales Tax                       | 7,376,930                  | 11,426,096                  | 12,399,030                   | 13,075,553                   | 13,850,959                   | 14,601,179                   |
| Dedicated Highway               | 1,622,115                  | 1,389,245                   | 1,285,390                    | 899,150                      | 838,250                      | 773,445                      |
| Mental Health Services          | 598,645                    | 508,420                     | 437,325                      | 373,145                      | 329,550                      | 294,910                      |
| SUNY Dorms                      | 393,740                    | 367,930                     | 345,995                      | 274,260                      | 141,415                      | -                            |
| Health Income                   | 165,850                    | 147,680                     | 128,610                      | 108,620                      | 88,320                       | 68,455                       |
| LGAC                            | <u>1,369,580</u>           | <u>1,195,000</u>            | <u>876,630</u>               | <u>542,935</u>               | <u>303,265</u>               | <u>178,250</u>               |
| <b>Subtotal Revenue Bonds</b>   | <u>45,115,465</u>          | <u>48,491,098</u>           | <u>51,640,946</u>            | <u>53,489,156</u>            | <u>56,233,270</u>            | <u>59,409,481</u>            |
| <b>SERVICE CONTRACT</b>         | <u>3,778,662</u>           | <u>2,603,758</u>            | <u>2,160,010</u>             | <u>1,661,333</u>             | <u>1,409,627</u>             | <u>1,204,656</u>             |
| <b>TOTAL STATE-SUPPORTED</b>    | <u>51,265,512</u>          | <u>53,562,077</u>           | <u>56,848,654</u>            | <u>58,581,616</u>            | <u>61,080,888</u>            | <u>63,947,524</u>            |
| <b>BY PROGRAM AREA</b>          |                            |                             |                              |                              |                              |                              |
| Economic Development & Housing  | 6,188,504                  | 6,485,163                   | 7,917,219                    | 9,245,330                    | 10,722,540                   | 12,282,689                   |
| Education                       | 17,443,198                 | 18,035,981                  | 18,659,231                   | 19,031,122                   | 19,251,899                   | 19,451,348                   |
| Environment                     | 2,563,370                  | 2,648,174                   | 3,066,329                    | 3,350,228                    | 3,625,786                    | 4,051,585                    |
| Health & Mental Hygiene         | 4,078,355                  | 4,358,380                   | 4,783,280                    | 5,096,038                    | 5,467,725                    | 5,936,505                    |
| State Facilities & Equipment    | 5,345,765                  | 5,217,220                   | 5,257,136                    | 5,119,752                    | 5,125,802                    | 5,109,911                    |
| Transportation                  | 14,276,740                 | 15,622,160                  | 16,288,830                   | 16,196,210                   | 16,583,871                   | 16,937,236                   |
| LGAC                            | <u>1,369,580</u>           | <u>1,195,000</u>            | <u>876,630</u>               | <u>542,935</u>               | <u>303,265</u>               | <u>178,250</u>               |
| <b>TOTAL STATE-SUPPORTED</b>    | <u>51,265,512</u>          | <u>53,562,077</u>           | <u>56,848,654</u>            | <u>58,581,616</u>            | <u>61,080,888</u>            | <u>63,947,524</u>            |

**STATE DEBT OUTSTANDING**

**FY 2018 THROUGH FY 2023**

(thousands of dollars)

|                                       | <u>FY 2018<br/>Results</u> | <u>FY 2019 Mid-<br/>Year</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> | <u>FY 2023<br/>Projected</u> |
|---------------------------------------|----------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| <b>SUBTOTAL STATE-SUPPORTED</b>       | <u>51,265,512</u>          | <u>53,562,077</u>            | <u>56,848,654</u>            | <u>58,581,616</u>            | <u>61,080,888</u>            | <u>63,947,524</u>            |
| <b>OTHER STATE DEBT OBLIGATIONS</b>   |                            |                              |                              |                              |                              |                              |
| <b>Contingent Contractual</b>         |                            |                              |                              |                              |                              |                              |
| DASNY/MCFFA Secured Hospitals Program | 193,190                    | 165,020                      | 135,480                      | 104,395                      | 77,635                       | 59,300                       |
| Tobacco Settlement Financing Corp.    | 0                          | 0                            | 0                            | 0                            | 0                            | 0                            |
| <b>Moral Obligation</b>               |                            |                              |                              |                              |                              |                              |
| Housing Finance Agency                | 800                        | 155                          | 0                            | 0                            | 0                            | 0                            |
| <b>State Guaranteed Debt</b>          |                            |                              |                              |                              |                              |                              |
| Job Development Authority             | 0                          | 0                            | 0                            | 0                            | 0                            | 0                            |
| <b>Other</b>                          |                            |                              |                              |                              |                              |                              |
| MBBA Prior Year School Aid Claims     | 171,605                    | 138,605                      | 104,165                      | 67,985                       | 30,000                       | 0                            |
| <b>SUBTOTAL OTHER STATE</b>           | <u>365,595</u>             | <u>303,780</u>               | <u>239,645</u>               | <u>172,381</u>               | <u>107,635</u>               | <u>59,300</u>                |
| <b>GRAND TOTAL STATE-RELATED</b>      | <u>51,631,107</u>          | <u>53,865,857</u>            | <u>57,088,299</u>            | <u>58,753,997</u>            | <u>61,188,523</u>            | <u>64,006,824</u>            |

**STATE DEBT SERVICE**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

|                                 | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> | <u>FY 2023<br/>Projected</u> |
|---------------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| <b>GENERAL OBLIGATION BONDS</b> | 335,619                    | 302,711                     | 304,550                      | 358,880                      | 410,145                      | 419,589                      |
| <b>REVENUE BONDS</b>            |                            |                             |                              |                              |                              |                              |
| Personal Income Tax             | 3,297,207                  | 3,009,129                   | 3,776,012                    | 4,311,438                    | 4,633,660                    | 4,858,798                    |
| Sales Tax                       | 625,077                    | 886,015                     | 988,420                      | 1,377,580                    | 1,357,450                    | 1,466,973                    |
| Dedicated Highway               | 249,128                    | 199,099                     | 452,139                      | 106,483                      | 107,368                      | 111,433                      |
| Mental Health Services          | 171,419                    | 123,059                     | 83,605                       | 73,385                       | 49,848                       | 39,242                       |
| Health Income                   | 26,545                     | 26,166                      | 26,158                       | 25,821                       | 24,799                       | 23,733                       |
| LGAC                            | 287,244                    | 370,271                     | 370,708                      | 261,404                      | 136,510                      | 98,488                       |
| <b>Subtotal Revenue Bonds</b>   | <u>4,656,620</u>           | <u>4,613,739</u>            | <u>5,697,043</u>             | <u>6,156,111</u>             | <u>6,309,635</u>             | <u>6,598,668</u>             |
| <b>SERVICE CONTRACT</b>         | 866,099                    | 603,131                     | 618,379                      | 606,269                      | 377,302                      | 333,440                      |
| <b>TOTAL STATE-SUPPORTED</b>    | <u>5,858,337</u>           | <u>5,519,581</u>            | <u>6,619,971</u>             | <u>7,121,260</u>             | <u>7,097,082</u>             | <u>7,351,698</u>             |
| <b>BY PROGRAM AREA</b>          |                            |                             |                              |                              |                              |                              |
| Economic Development & Housing  | 959,935                    | 868,552                     | 1,158,155                    | 1,234,981                    | 1,289,958                    | 1,413,121                    |
| Education                       | 1,461,595                  | 1,389,927                   | 1,628,340                    | 1,756,111                    | 1,929,269                    | 2,081,068                    |
| Environment                     | 291,258                    | 267,840                     | 341,961                      | 476,067                      | 535,463                      | 436,317                      |
| Health & Mental Hygiene         | 520,086                    | 505,346                     | 541,624                      | 643,950                      | 620,252                      | 604,442                      |
| State Facilities & Equipment    | 626,294                    | 570,881                     | 626,346                      | 731,018                      | 626,831                      | 613,539                      |
| Transportation                  | 1,711,926                  | 1,546,763                   | 1,952,837                    | 2,017,728                    | 1,958,798                    | 2,104,722                    |
| LGAC                            | 287,244                    | 370,271                     | 370,708                      | 261,404                      | 136,510                      | 98,488                       |
| <b>TOTAL STATE-SUPPORTED</b>    | <u>5,858,337</u>           | <u>5,519,581</u>            | <u>6,619,971</u>             | <u>7,121,260</u>             | <u>7,097,082</u>             | <u>7,351,698</u>             |

**STATE DEBT SERVICE**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

|  | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> | <u>FY 2023<br/>Projected</u> |
|--|----------------------------|-----------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| <b>SUBTOTAL STATE-SUPPORTED</b>                    | <u>5,858,337</u>           | <u>5,519,581</u>            | <u>6,619,971</u>             | <u>7,121,260</u>             | <u>7,097,082</u>             | <u>7,351,698</u>             |
| <b>OTHER STATE DEBT OBLIGATIONS</b>                |                            |                             |                              |                              |                              |                              |
| <b>Contingent Contractual</b>                      |                            |                             |                              |                              |                              |                              |
| DASNY/MCFFA Secured Hospitals Program <sup>1</sup> | 37,845                     | 11,598                      | 9,386                        | 9,403                        | 9,424                        | 4,838                        |
| Tobacco Settlement Financing Corp.                 | 676,288                    | 0                           | 0                            | 0                            | 0                            | 0                            |
| <b>Moral Obligation</b>                            |                            |                             |                              |                              |                              |                              |
| Housing Finance Agency                             | 700                        | 697                         | 161                          | 0                            | 0                            | 0                            |
| <b>State Guaranteed Debt</b>                       |                            |                             |                              |                              |                              |                              |
| Job Development Authority                          | 3,252                      | 0                           | 0                            | 0                            | 0                            | 0                            |
| <b>Other</b>                                       |                            |                             |                              |                              |                              |                              |
| MBBA Prior Year School Aid Claims                  | 40,986                     | 40,964                      | 41,204                       | 41,263                       | 41,265                       | 31,470                       |
| <b>SUBTOTAL OTHER STATE</b>                        | <u>759,071</u>             | <u>53,259</u>               | <u>50,751</u>                | <u>50,666</u>                | <u>50,689</u>                | <u>36,308</u>                |
| <b>GRAND TOTAL STATE-RELATED</b>                   | <u>6,617,408</u>           | <u>5,572,839</u>            | <u>6,670,722</u>             | <u>7,171,926</u>             | <u>7,147,771</u>             | <u>7,388,006</u>             |

<sup>1</sup> Starting in FY 2019 debt service in the Secured Hospital Program that is assumed to be paid by the State is captured in the State-supported category.

**STATE DEBT ISSUANCES**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

|                                 | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> | <u>FY 2023<br/>Projected</u> |
|---------------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| <b>GENERAL OBLIGATION BONDS</b> | <u>144,890</u>             | <u>295,626</u>              | <u>776,961</u>               | <u>606,679</u>               | <u>261,823</u>               | <u>158,594</u>               |
| <b>REVENUE BONDS</b>            |                            |                             |                              |                              |                              |                              |
| Personal Income Tax             | 2,885,880                  | 1,547,134                   | 4,829,672                    | 4,439,639                    | 5,006,635                    | 5,549,391                    |
| Sales Tax                       | <u>2,710,275</u>           | <u>3,915,000</u>            | <u>1,366,521</u>             | <u>1,407,517</u>             | <u>1,449,742</u>             | <u>1,493,235</u>             |
| <b>Subtotal Revenue Bonds</b>   | <u>5,596,155</u>           | <u>5,462,134</u>            | <u>6,196,193</u>             | <u>5,847,156</u>             | <u>6,456,378</u>             | <u>7,042,626</u>             |
| <b>TOTAL STATE-SUPPORTED</b>    | <u>5,741,045</u>           | <u>5,757,760</u>            | <u>6,973,154</u>             | <u>6,453,835</u>             | <u>6,718,201</u>             | <u>7,201,220</u>             |
| <b>BY PROGRAM AREA</b>          |                            |                             |                              |                              |                              |                              |
| Economic Development & Housing  | 1,375,615                  | 1,109,664                   | 2,260,881                    | 2,092,504                    | 2,178,219                    | 2,334,826                    |
| Education                       | 2,018,820                  | 1,219,844                   | 1,319,934                    | 1,221,633                    | 1,271,674                    | 1,363,104                    |
| Environment                     | 467,180                    | 287,048                     | 631,532                      | 584,499                      | 608,442                      | 652,187                      |
| Health & Mental Hygiene         | 300,830                    | 618,619                     | 746,655                      | 691,049                      | 719,356                      | 771,076                      |
| State Facilities & Equipment    | 391,880                    | 168,923                     | 371,647                      | 343,969                      | 358,059                      | 383,803                      |
| Transportation                  | 1,186,720                  | 2,353,661                   | 1,642,504                    | 1,520,181                    | 1,582,451                    | 1,696,225                    |
| <b>SUBTOTAL STATE-SUPPORTED</b> | <u>5,741,045</u>           | <u>5,757,760</u>            | <u>6,973,154</u>             | <u>6,453,835</u>             | <u>6,718,201</u>             | <u>7,201,220</u>             |

**STATE DEBT RETIREMENTS**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

|                                 | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> | <u>FY 2023<br/>Projected</u> |
|---------------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| <b>GENERAL OBLIGATION BONDS</b> | <u>229,585</u>             | <u>199,790</u>              | <u>196,484</u>               | <u>223,250</u>               | <u>254,959</u>               | <u>263,198</u>               |
| <b>REVENUE BONDS</b>            |                            |                             |                              |                              |                              |                              |
| Personal Income Tax             | 1,508,935                  | 1,673,333                   | 2,118,433                    | 2,392,112                    | 2,540,617                    | 2,737,660                    |
| Sales Tax                       | 341,510                    | 460,624                     | 393,587                      | 730,994                      | 674,337                      | 743,015                      |
| Dedicated Highway               | 137,850                    | 126,665                     | 103,855                      | 386,240                      | 60,900                       | 64,805                       |
| Mental Health Services          | 137,425                    | 90,110                      | 71,095                       | 64,180                       | 43,595                       | 34,640                       |
| SUNY Dorms                      | 29,835                     | 25,810                      | 21,935                       | 19,095                       | 15,885                       | 7,050                        |
| Health Income                   | 18,270                     | 18,170                      | 19,070                       | 19,990                       | 20,300                       | 19,865                       |
| LGAC                            | <u>261,380</u>             | <u>174,580</u>              | <u>318,370</u>               | <u>333,695</u>               | <u>239,670</u>               | <u>125,015</u>               |
| <b>Subtotal Revenue Bonds</b>   | <u>2,435,205</u>           | <u>2,569,291</u>            | <u>3,046,345</u>             | <u>3,946,306</u>             | <u>3,595,303</u>             | <u>3,732,050</u>             |
| <b>SERVICE CONTRACT</b>         | <u>832,532</u>             | <u>600,099</u>              | <u>443,748</u>               | <u>498,677</u>               | <u>251,706</u>               | <u>204,971</u>               |
| <b>TOTAL STATE-SUPPORTED</b>    | <u>3,497,322</u>           | <u>3,369,180</u>            | <u>3,686,577</u>             | <u>4,668,233</u>             | <u>4,101,968</u>             | <u>4,200,219</u>             |
| <b>BY PROGRAM AREA</b>          |                            |                             |                              |                              |                              |                              |
| Economic Development & Housing  | 735,611                    | 759,146                     | 828,825                      | 764,393                      | 701,009                      | 774,677                      |
| Education                       | 626,475                    | 614,811                     | 696,684                      | 797,102                      | 933,937                      | 1,029,290                    |
| Environment                     | 192,264                    | 202,243                     | 213,376                      | 300,600                      | 332,884                      | 226,388                      |
| Health & Mental Hygiene         | 324,160                    | 338,480                     | 321,754                      | 378,291                      | 347,670                      | 302,295                      |
| State Facilities & Equipment    | 358,011                    | 297,469                     | 331,731                      | 481,353                      | 352,009                      | 399,694                      |
| Transportation                  | 999,421                    | 982,451                     | 975,835                      | 1,612,800                    | 1,194,790                    | 1,342,860                    |
| LGAC                            | <u>261,380</u>             | <u>174,580</u>              | <u>318,370</u>               | <u>333,695</u>               | <u>239,670</u>               | <u>125,015</u>               |
| <b>TOTAL STATE-SUPPORTED</b>    | <u>3,497,322</u>           | <u>3,369,180</u>            | <u>3,686,577</u>             | <u>4,668,233</u>             | <u>4,101,968</u>             | <u>4,200,219</u>             |

**STATE DEBT RETIREMENTS**  
**FY 2018 THROUGH FY 2023**  
(thousands of dollars)

|                                       | <u>FY 2018<br/>Results</u> | <u>FY 2019<br/>Mid-Year</u> | <u>FY 2020<br/>Projected</u> | <u>FY 2021<br/>Projected</u> | <u>FY 2022<br/>Projected</u> | <u>FY 2023<br/>Projected</u> |
|---------------------------------------|----------------------------|-----------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| <b>SUBTOTAL STATE-SUPPORTED</b>       | <u>3,497,322</u>           | <u>3,369,180</u>            | <u>3,686,577</u>             | <u>4,668,233</u>             | <u>4,101,968</u>             | <u>4,200,219</u>             |
| <b>OTHER STATE DEBT OBLIGATIONS</b>   |                            |                             |                              |                              |                              |                              |
| <b>Contingent Contractual</b>         |                            |                             |                              |                              |                              |                              |
| DASNY/MCFFA Secured Hospitals Program | 26,850                     | 28,170                      | 29,540                       | 31,085                       | 26,760                       | 18,335                       |
| Tobacco Settlement Financing Corp.    | 659,865                    | 0                           | 0                            | 0                            | 0                            | 0                            |
| <b>Moral Obligation</b>               |                            |                             |                              |                              |                              |                              |
| Housing Finance Agency                | 600                        | 645                         | 155                          | 0                            | 0                            | 0                            |
| <b>State Guaranteed Debt</b>          |                            |                             |                              |                              |                              |                              |
| Job Development Authority             | 3,085                      | 0                           | 0                            | 0                            | 0                            | 0                            |
| <b>Other</b>                          |                            |                             |                              |                              |                              |                              |
| MBBA Prior Year School Aid Claims     | 31,770                     | 33,000                      | 34,440                       | 36,180                       | 37,985                       | 30,000                       |
| <b>SUBTOTAL OTHER STATE</b>           | <u>722,170</u>             | <u>61,815</u>               | <u>64,135</u>                | <u>67,266</u>                | <u>64,745</u>                | <u>48,335</u>                |
| <b>GRAND TOTAL STATE-RELATED</b>      | <u>4,219,492</u>           | <u>3,430,995</u>            | <u>3,750,712</u>             | <u>4,735,499</u>             | <u>4,166,713</u>             | <u>4,248,554</u>             |



| STATE OF NEW YORK<br>LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC |                 |  | APPENDIX            |
|--|-----------------|--|---------------------|
| SFS FUND RANGE   | CAS FUND NUMBER | FUND NAME  | FUND CLASSIFICATION |
| 10000-10049  | 001             | Local Assistance Account   | General             |
| 10050-10099  | 003             | State Operations Account   | General             |
| 10100-10149  | 004             | Tax Stabilization Reserve Account  | General             |
| 10150-10199  | 005             | Contingency Reserve Fund   | General             |
| 10200-10249  | 006             | Universal Pre-Kindergarten Reserve   | General             |
| 10250-10299  | 007             | Community Projects Fund  | General             |
| 10300-10349  | 008             | Rainy Day Reserve Fund   | General             |
| 10400-10449  | 017             | Refund Reserve Account   | General             |
| 10450-10499  | 100             | General Fund   | General             |
| 10500-10549  | 166             | Fringe Benefit Escrow Account  | General             |
| 10550-10599  | 348             | Tobacco Revenue Guarantee Fund   | General             |
| 20000-20099  | 019             | Mental Health Gifts and Donations Fund   | Special Revenue     |
| 20100-20299  | 020             | Combined Expendable Trust Fund   | Special Revenue     |
| 20300-20349  | 023             | New York Interest on Lawyer Account Fund   | Special Revenue     |
| 20350-20399  | 024             | NYS Archives Partnership Trust Fund  | Special Revenue     |
| 20400-20449  | 025             | Child Performer's Protection Fund  | Special Revenue     |
| 20450-20499  | 050             | Tuition Reimbursement Fund   | Special Revenue     |
| 20500-20549  | 052             | NYS Local Government Records Management Improvement Fund   | Special Revenue     |
| 20550-20599  | 053             | School Tax Relief Fund   | Special Revenue     |
| 20600-20649  | 054             | Charter Schools Stimulus Fund  | Special Revenue     |
| 20650-20699  | 055             | Not-For-Profit Short-Term Revolving Loan Fund  | Special Revenue     |
| 20800-20849  | 061             | Health Care Reform Act (HCRA) Resources Fund   | Special Revenue     |
| 20850-20899  | 073             | Dedicated Mass Transportation Trust Fund   | Special Revenue     |
| 20900-20949  | 160             | State Lottery Fund   | Special Revenue     |
| 20950-20999  | 221             | Combined Student Loan Fund   | Special Revenue     |
| 21000-21049  | 300             | Sewage Treatment Program Management and Administration Fund  | Special Revenue     |
| 21050-21149  | 301             | Environmental Conservation Special Revenue Fund  | Special Revenue     |
| 21150-21199  | 302             | Conservation Fund  | Special Revenue     |
| 21200-21249  | 303             | Environmental Protection and Oil Spill Compensation Fund   | Special Revenue     |
| 21250-21299  | 305             | Training and Education Program on Occupational Safety and Health Fund                                  | Special Revenue     |
| 21300-21349  | 306             | Lawyers' Fund For Client Protection  | Special Revenue     |
| 21350-21399  | 307             | Equipment Loan Fund for the Disabled   | Special Revenue     |
| 21400-21449  | 313             | Mass Transportation Operating Assistance Fund  | Special Revenue     |
| 21450-21499  | 314             | Clean Air Fund   | Special Revenue     |
| 21500-21549  | 318             | New York State Infrastructure Trust Fund   | Special Revenue     |
| 21550-21599  | 321             | Legislative Computer Services Fund   | Special Revenue     |
| 21600-21649  | 328             | Biodiversity Stewardship and Research Fund   | Special Revenue     |
| 21650-21699  | 332             | Combined Non-Expendable Trust Fund   | Special Revenue     |
| 21700-21749  | 333             | Winter Sports Education Trust Fund   | Special Revenue     |
| 21750-21799  | 335             | Musical Instrument Revolving Fund  | Special Revenue     |
| 21850-21899  | 338             | Arts Capital Revolving Fund  | Special Revenue     |
| 21900-22499  | 339             | Miscellaneous Special Revenue Account  | Special Revenue     |
| 22500-22549  | 340             | Court Facilities Incentive Aid Fund  | Special Revenue     |
| 22550-22599  | 341             | Employment Training Fund   | Special Revenue     |
| 22650-22699  | 345             | State University Income Fund   | Special Revenue     |
| 22700-22749  | 346             | Chemical Dependence Service Fund   | Special Revenue     |
| 22750-22799  | 349             | Lake George Park Trust Fund  | Special Revenue     |
| 22800-22849  | 354             | State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund | Special Revenue     |
| 22850-22899  | 355             | New York Great Lakes Protection Fund   | Special Revenue     |
| 22900-22949  | 359             | Federal Revenue Maximization Fund  | Special Revenue     |
| 22950-22999  | 360             | Housing Development Fund   | Special Revenue     |
| 23000-23049  | 362             | NYS DOT Highway Safety Program Fund  | Special Revenue     |
| 23050-23099  | 365             | Vocational Rehabilitation Fund   | Special Revenue     |
| 23100-23149  | 366             | Drinking Water Program Management and Administration Fund  | Special Revenue     |
| 23150-23199  | 368             | New York City County Clerks' Operations Offset Fund  | Special Revenue     |
| 23200-23249  | 369             | Judiciary Data Processing Offset Fund  | Special Revenue     |
| 23250-23449  | 377             | IFR/City University Tuition Fund   | Special Revenue     |
| 23500-23549  | 385             | US Olympic Committee/Lake Placid Olympic Training Fund   | Special Revenue     |
| 23550-23599  | 390             | Indigent Legal Services Fund   | Special Revenue     |
| 23600-23649  | 482             | Unemployment Insurance Interest and Penalty Fund   | Special Revenue     |
| 23650-23699  | 225             | Metropolitan Transportation Authority (MTA) Financial Assistance Fund                                  | Special Revenue     |
| 23700-23749  |                 | New York State Commercial Gaming Fund  | Special Revenue     |
| 23750-23799  |                 | Medical Marihuana Trust Fund   | Special Revenue     |
| 23800-23899  |                 | Dedicated Miscellaneous Special Revenue Account  | Special Revenue     |
| 24950-24999  |                 | Interactive Fantasy Sports Fund  | Special Revenue     |

| STATE OF NEW YORK<br>LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC |                 |  | APPENDIX            |
|--|-----------------|--|---------------------|
| SFS FUND RANGE   | CAS FUND NUMBER | FUND NAME  | FUND CLASSIFICATION |
| 25000-25099  | 261             | Federal USDA-Food and Nutrition Services Fund                              | Special Revenue     |
| 25100-25199  | 265             | Federal Health and Human Services Fund                                     | Special Revenue     |
| 25200-25249  | 267             | Federal Education Fund   | Special Revenue     |
| 25300-25899  | 290             | Federal Miscellaneous Operating Grants Fund                                | Special Revenue     |
| 25900-25949  | 480             | Federal Unemployment Insurance Administration Fund                         | Special Revenue     |
| 25950-25999  | 484             | Federal Unemployment Insurance Occupational Training Fund                  | Special Revenue     |
| 26000-26049  | 486             | Federal Employment and Training Grants                                     | Special Revenue     |
| 40350-40399  | 330             | State University Dormitory Income Fund                                     | Special Revenue     |
| 30000-30049  | 002             | State Capital Projects Fund  | Capital Projects    |
| 30050-30099  | 072             | Dedicated Highway and Bridge Trust Fund                                    | Capital Projects    |
| 30100-30299  | 074             | SUNY Residence Halls Rehabilitation and Repair Fund                        | Capital Projects    |
| 30300-30349  | 075             | NYS Canal System Development Fund  | Capital Projects    |
| 30350-30399  | 076             | State Park Infrastructure Fund   | Capital Projects    |
| 30400-30449  | 077             | Passenger Facility Charge Fund   | Capital Projects    |
| 30450-30499  | 078             | Environmental Protection Fund  | Capital Projects    |
| 30500-30549  | 079             | Clean Water/Clean Air Implementation Fund                                  | Capital Projects    |
| 30600-30609  | 101             | Energy Conservation Thru Improved Transportation Bond Fund                 | Capital Projects    |
| 30610-30619  | 103             | Park and Recreation Land Acquisition Bond Fund                             | Capital Projects    |
| 30620-30629  | 105             | Pure Waters Bond Fund  | Capital Projects    |
| 30630-30639  | 109             | Transportation Capital Facilities Bond Fund                                | Capital Projects    |
| 30640-30649  | 115             | Environmental Quality Protection Fund                                      | Capital Projects    |
|  | 118             | Rail Preservation and Development Bond Fund                                | Capital Projects    |
| 30650-30659  | 121             | Rebuild and Renew New York Transportation Bond Fund                        | Capital Projects    |
| 30660-30669  | 123             | Transportation Infrastructure Renewal Bond Fund                            | Capital Projects    |
| 30670-30679  | 124             | Environmental Quality Bond Act Fund  | Capital Projects    |
| 30680-30689  | 126             | Accelerated Capacity and Transportation Improvements Bond Fund             | Capital Projects    |
| 30690-30699  | 127             | Clean Water/Clean Air Bond Fund  | Capital Projects    |
| 30700-30709  | 119             | State Housing Bond Fund  | Capital Projects    |
| 30710-30719  |                 | Smart School Bond Fund   | Capital Projects    |
| 30750-30799  | 106             | Outdoor Recreation Development Bond Fund                                   | Capital Projects    |
| 31350-31449  | 291             | Federal Capital Projects Fund  | Capital Projects    |
| 31450-31499  | 310             | Forest Preserve Expansion Fund   | Capital Projects    |
| 31500-31549  | 312             | Hazardous Waste Remedial Fund  | Capital Projects    |
| 31650-31699  | 327             | Suburban Transportation Fund   | Capital Projects    |
| 31700-31749  | 357             | Division for Youth Facilities Improvement Fund                             | Capital Projects    |
| 31800-31849  | 374             | Housing Assistance Fund  | Capital Projects    |
| 31850-31899  | 376             | Housing Program Fund   | Capital Projects    |
| 31900-31949  | 378             | Natural Resource Damages Fund  | Capital Projects    |
| 31950-31999  | 380             | Department of Transportation Engineering Services Fund                     | Capital Projects    |
| 32200-32249  | 387             | Miscellaneous Capital Projects Fund  | Capital Projects    |
| 32250-32299  | 388             | City University of New York Capital Projects Fund                          | Capital Projects    |
| 32300-32349  | 389             | Mental Hygiene Facilities Capital Improvement Fund                         | Capital Projects    |
| 32350-32399  | 399             | Correctional Facilities Capital Improvement Fund                           | Capital Projects    |
| 32400-32999  | 384             | State University Capital Projects Fund                                     | Capital Projects    |
| 33000-33049  |                 | New York State Storm Recovery Capital Fund                                 | Capital Projects    |
| 33050-33099  |                 | Dedicated Infrastructure Investment Fund                                   | Capital Projects    |
| 40000-40049  | 064             | Debt Reduction Reserve Fund  | Debt Service        |
| 40100-40149  | 304             | Mental Health Services Fund  | Debt Service        |
| 40150-40199  | 311             | General Debt Service Fund  | Debt Service        |
| 40250-40299  | 316             | Housing Debt Fund  | Debt Service        |
| 40300-40349  | 319             | Department of Health Income Fund   | Debt Service        |
| 40400-40449  | 361             | Clean Water/Clean Air Fund   | Debt Service        |
| 40450-40499  | 364             | Local Government Assistance Tax Fund                                       | Debt Service        |
| 50000-50049  | 324             | Youth Commissary Account   | Enterprise          |
| 50050-50099  | 325             | State Exposition Special Account   | Enterprise          |
| 50100-50299  | 326             | Correctional Services Commissary Account                                   | Enterprise          |
| 50300-50399  | 331             | Agencies Enterprise Fund   | Enterprise          |
| 50400-50449  | 351             | Office of Mental Health Sheltered Workshop Fund                            | Enterprise          |
| 50450-50499  | 352             | Office for Persons with Developmental Disabilities Sheltered Workshop Fund | Enterprise          |
| 50500-50599  | 353             | Mental Hygiene Community Stores Account                                    | Enterprise          |
| 50650-50699  | 481             | Unemployment Insurance Benefit Fund  | Enterprise          |
| 55000-55049  | 323             | Centralized Services Account   | Internal Service    |
| 55050-55099  | 334             | Agencies Internal Service Account  | Internal Service    |
| 55100-55149  | 343             | Mental Hygiene Revolving Account   | Internal Service    |
| 55150-55199  | 347             | Youth Vocational Education Account   | Internal Service    |

| STATE OF NEW YORK<br>LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC |                   |  | APPENDIX              |
|--|-------------------|--|-----------------------|
| SFS FUND RANGE   | CAS FUND NUMBER   | FUND NAME  | FUND CLASSIFICATION   |
| 55200-55249  | 394               | Joint Labor/Management Administration Account        | Internal Service      |
| 55250-55299  | 395               | Audit and Control Revolving Account                  | Internal Service      |
| 55300-55349  | 396               | Health Insurance Revolving Account                   | Internal Service      |
| 55350-55399  | 397               | Correctional Industries Revolving Account            | Internal Service      |
| 60050-60149  | 130               | School Capital Facilities Financing Reserve Fund     | Agency                |
| 60150-60199  | 135<br>136<br>137 | Child Performer's Holding Fund                       | Agency                |
| 60200-60249  | 152               | Employees Health Insurance Fund                      | Agency                |
| 60250-60299  | 153               | Social Security Contribution Fund                    | Agency                |
| 60300-60399  | 154               | Payroll Deduction Escrow Fund                        | Agency                |
| 60400-60449  | 162               | Employees Dental Insurance Fund                      | Agency                |
| 60450-60499  | 163               | Management Confidential Group Insurance Fund         | Agency                |
| 60500-60549  | 165               | Lottery Prize Fund                                   | Agency                |
| 60550-60599  | 167               | Health Insurance Reserve Receipts Fund               | Agency                |
| 60600-60799  | 169               | Miscellaneous NYS Agency Fund                        | Agency                |
| 60800-60849  | 175               | EPIC Escrow Fund                                     | Agency                |
| 60850-60899  | 176               | CUNY Senior College Operating Fund                   | Agency                |
| 60900-60949  | 179               | MMIS Statewide Escrow Fund                           | Agency                |
| 60950-60999  | 309               | Special Education Fund                               | Agency                |
| 61000-61099  | 344               | State University New York Revenue Collection Fund    | Agency                |
| 61100-61999  | 382               | State University Federal Direct Lending Program Fund | Agency                |
| 62000-62049  |                   | SSP SSI Payment Escrow Fund                          | Agency                |
| 65000-65049  | 400               | Common Retirement Fund                               | Pension Trust         |
| 66000-66049  | 021               | Agriculture Producers' Security Fund                 | Private Purpose Trust |
| 66050-66099  | 022               | Milk Producers' Security Fund                        | Private Purpose Trust |

# STATE OF NEW YORK FUND STRUCTURE

