



FY 2018

Enacted Budget Financial Plan

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Introduction

Introduction

The Enacted Budget Financial Plan for Fiscal Year (FY) 2018 (the "Enacted Budget Financial Plan" or "Current Financial Plan") summarizes the State of New York's official Financial Plan projections for FY 2018 through FY 2021. The State's FY 2018 began on April 1, 2017 and ends on March 31, 2018.

Factors affecting the State's financial condition are complex. This Enacted Budget Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time they were prepared, and contains statements relating to future results and economic performance that are "forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Enacted Budget Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State's expectations and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words "expects", "forecasts", "projects", "intends", "anticipates", "estimates", and analogous expressions are intended to identify forward-looking statements in this Enacted Budget Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Enacted Budget Financial Plan.

Significant Budgetary/Accounting Practices

Unless clearly noted otherwise, all financial information in this Enacted Budget Financial Plan is presented on a cash basis of accounting.

The State's **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), the Lottery Fund, and the mental hygiene program and patient income accounts. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Enacted Budget Financial Plan is generally weighted toward the General Fund.

From time to time, the Division of the Budget (DOB) will informally designate unrestricted balances in the General Fund for specific purposes (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically identified with the phrase "reserved for," and are not held in distinct accounts within the General Fund and may be used for other purposes.

State Operating Funds is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity not only in the General Fund, but also State-funded special revenue funds and debt service funds (spending from capital projects funds and Federal funds is excluded). As more financial activity occurred in funds outside of the General Fund, State Operating Funds became, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (e.g., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both of these fund types, giving a more complete accounting of

State-funded Medicaid disbursements. For such reasons, the discussion of disbursement projections often emphasizes the State Operating Funds perspective.

As described later in this Enacted Budget Financial Plan, the FY 2018 Enacted Budget includes some actions that are expected to reduce the amount of annual spending that is accounted for in the State Operating Funds basis of reporting, including (i) realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget, (ii) the payment of certain operating costs using available resources in accounts outside of the State Operating Funds basis of reporting, and (iii) the restructuring of the STAR program such that the spending for certain benefits is instead provided in the form of a tax credit for consistency with how other State tax credits are reported. If these and other transactions are not implemented as planned, it would add upward pressure to annual spending growth in State Operating Funds.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Enacted Budget Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees, and other revenues that are used for specified purposes; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance, and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP), although this requirement is for informational purposes. GAAP is a financial reporting regime, not a budgeting system. Thus, the GAAP-basis financial plan is not used by DOB as a benchmark for managing State finances during the fiscal year and is not updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements.

The Enacted Budget Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on a number of assumptions and are developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Enacted Budget Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, taking into account the current and projected fiscal position of the State.

The Enacted Budget Financial Plan projections for FY 2019 and thereafter, set forth in this Enacted Budget Financial Plan, reflect the savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending, as State Operating Funds is currently constituted, to no greater than 2 percent. Total disbursements in the Enacted Budget Financial Plan tables and narrative, contained in this Enacted Budget Financial Plan, do not reflect these assumed savings, which are instead reflected on a distinct line and labeled as “Adherence to 2 Percent Spending Benchmark.” Enacted Budget Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors not known at this time. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.



Overview of the Enacted Budget Financial Plan

Financial Plan At-A-Glance: Key Measures

The following table provides certain financial information for FY 2017 and FY 2018.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2017		FY 2018	
	Revised ¹	Results	Executive Amended ²	Enacted
State Operating Funds Disbursements				
Size of Budget	\$96,200	\$96,199	\$98,062	\$98,134
Annual Growth	2.0%	2.0%	1.9%	2.0%
Other Disbursement Measures				
General Fund (Excluding Transfers)	\$58,570	\$57,988	\$61,293	\$61,035
Annual Growth	3.4%	2.3%	5.7%	5.3%
General Fund (Including Transfers) ³	\$69,692	\$68,080	\$72,398	\$71,199
Annual Growth	2.4%	0.1%	6.3%	4.6%
State Funds (Including Capital)	\$105,306	\$104,029	\$110,200	\$109,396
Annual Growth	4.0%	2.8%	5.9%	5.2%
Capital Budget (Federal and State)	\$10,903	\$10,156	\$13,845	\$13,111
Annual Growth	21.4%	13.1%	36.3%	29.1%
Federal Operating Aid ⁴	\$40,178	\$41,397	\$40,458	\$41,625
Annual Growth	-1.0%	2.0%	-2.3%	0.6%
All Funds ^{4,5}	\$147,281	\$147,752	\$152,365	\$152,870
Annual Growth	2.4%	2.7%	3.1%	3.5%
Capital Budget (Including "Off-Budget" Capital ⁶)	\$11,615	\$10,737	\$14,602	\$13,794
Annual Growth	21.6%	12.4%	36.0%	28.5%
All Funds (Including "Off-Budget" Capital ^{4,6})	\$147,993	\$148,333	\$153,122	\$153,553
Annual Growth	2.5%	2.7%	3.2%	3.5%
Inflation (CPI)	1.7%	1.6%	2.6%	2.2%
All Funds Receipts				
Taxes	\$74,973	\$74,372	\$79,534	\$77,926
Annual Growth	0.4%	-0.4%	6.9%	4.8%
Miscellaneous Receipts	\$26,175	\$26,594	\$26,611	\$26,509
Annual Growth	-4.0%	-2.5%	0.1%	-0.3%
Federal Grants ⁴	\$44,001	\$46,144	\$44,370	\$45,884
Annual Growth	-1.1%	3.7%	-3.8%	-0.6%
Total Receipts ^{4,5}	\$145,149	\$147,110	\$150,515	\$150,319
Annual Growth	-0.9%	0.5%	2.3%	2.2%
General Fund Cash Balance				
Tax Stabilization/Rainy Day Reserve	\$7,232	\$7,749	\$5,917	\$6,384
Monetary Settlements	\$1,798	\$1,798	\$1,948	\$1,798
All Other Reserves/Fund Balances	\$4,860	\$5,335	\$3,256	\$3,870
	\$574	\$616	\$713	\$716
Debt				
Debt Service as % All Funds Receipts	4.0%	4.1%	3.9%	3.8%
State-Related Debt Outstanding	\$50,759	\$50,709	\$53,468	\$52,337
Debt Outstanding as % Personal Income	4.2%	4.2%	4.2%	4.2%
State Workforce FTEs (Subject to Direct Executive Control) - All Funds	118,809	117,909	118,673	118,481

¹ Updated as part of the FY 2018 Executive Budget, as amended.

² The annual percentage change calculations in the FY 2018 "Executive Amended" column have been updated for FY 2017 results.

³ Annual growth includes planned transfer of monetary settlements from the General Fund to other funds.

⁴ All Funds and Federal Operating Funds receipts and disbursements exclude: (a) Federal disaster aid for Superstorm Sandy, and (b) additional Federal aid associated with Federal health care reform. Federal grants reimburse certain transactions accounted for as transfers, not shown in disbursement totals in this table.

⁵ All Funds disbursements are expected to exceed receipts by \$2.6 billion in FY 2018. The financing sources for the difference primarily include \$1.5 billion of monetary settlements and nearly \$1.0 billion in GO bond proceeds to reimburse planned first-instance capital spending.

⁶ Represents capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities.

Executive Summary

FY 2017 Results

The State ended FY 2017 in balance on a cash basis in the General Fund, based on preliminary, unaudited results. General Fund receipts, including transfers from other funds, totaled \$66.9 billion. General Fund disbursements, including transfers to other funds, totaled \$68.1 billion. The State ended FY 2017 with a General Fund balance of \$7.7 billion, a decrease of \$1.2 billion from FY 2016 results, mainly due to the change in monetary settlement funds on hand, including the planned transfer to pay for spending appropriated from capital projects funds (\$965 million). The decrease also reflects the use of balances, as planned, to fund: the costs of labor settlements reached in FY 2017 that covered current and prior contract periods (\$140 million); expenses related to the timing of FY 2016 payments (\$73 million); and disbursements from Community Projects Fund reappropriations (\$7 million). See "Monetary Settlements" and "FY 2017 Preliminary Year-End Results."

State Operating Funds disbursements totaled \$96.2 billion, an increase of 2 percent from FY 2016, consistent with the spending benchmark established by the current administration. As in prior years, DOB managed cash disbursements, including the payment of expenses due in FY 2018, to maintain annual spending growth in FY 2017 as close as possible to the spending benchmark.

FY 2018

DOB estimates that the FY 2018 Enacted Budget (the "Enacted Budget") is balanced on a cash basis in the General Fund, as required by law, and limits the expected annual growth in State Operating Funds spending to 2 percent, consistent with the spending benchmark. The Enacted Budget projections reflect the changes to the Executive Budget proposal made during negotiations, updated data on economic activity and tax receipts, and operating results for FY 2017. (See "FY 2018 Financial Plan" and "FY 2018 Detailed Gap-Closing Plan" herein.)

Budget Gaps Before Budget Adoption ("Base" Or "Current Services" Gaps)

In developing the Executive Budget proposal for FY 2018, DOB estimated that the State faced a budget gap of \$3.5 billion for FY 2018. Budget gaps represent the difference between (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain anticipated service levels and specific commitments, and (b) the expected level of resources to pay for them. The estimates are based on a number of assumptions and projections developed by the DOB in consultation with other State agencies.

Executive Budget Proposal

The Governor submitted the FY 2018 Executive Budget proposal to the Legislature on January 17, 2017, and amendments to it on February 16, 2017 (the "Executive Budget"), as permitted by law. DOB estimated that, if enacted without modification, the Executive Budget proposal would have provided for balanced operations in the General Fund, as required by law, and limited annual spending growth in State Operating Funds to less than 2 percent, consistent with the spending benchmark established by the current administration.

Enacted Budget

Legislative Action on the Executive Budget

The Legislature completed action on the appropriations and accompanying legislation that comprise the FY 2018 budget on April 9, 2017. Consistent with past practice, the Legislature enacted the annual debt service appropriations in advance of the other appropriations (the debt service appropriations were passed on March 28, 2017). The Governor completed his review of all FY 2018 budget bills, including exercising his line-item veto of certain appropriations added by the Legislature, on April 20, 2017.

During budget negotiations, the Executive and Legislature agreed to \$718 million in General Fund additions, spending restorations, and tax law changes to the Executive Budget proposal. The budget agreement added \$272 million in Foundation Aid funding for School Aid above the Executive proposal (a net increase of \$86 million on a State Fiscal Year basis)¹, bringing the annual Foundation Aid increase to \$700 million. Other spending additions of \$304 million were approved to fund a range of purposes, including education, higher education, and human services, as well as a wage increase for direct care and clinical care workers. Executive cost containment proposals that were not approved added \$157 million in costs in FY 2018. Lastly, several tax and revenue proposals were not approved or were modified in a manner that will yield less revenue than proposed, adding \$171 million in costs in FY 2018.

The Enacted Budget included most of the Executive's proposed initiatives. These initiatives include: a new scholarship program to allow families and individuals earning up to \$125,000 per year to attend college tuition-free at all public universities in New York State (Excelsior Scholarship); juvenile justice reform, which raises, over a period of time, the age of criminal responsibility for juveniles from 16 to 18; and reforms to the State's indigent criminal defense system. In addition, both the current income tax rate for high-income earners and the cap on high-income charitable deductions were extended for two years (rather than three years as proposed in the Executive Budget).

¹ The Legislature added \$122 million on a school year basis. In addition, the Executive Budget included \$150 million in School Aid on a school year basis for allocation by the Legislature. The \$150 million was also added to Foundation Aid as part of the Enacted Budget.

New Costs

Based on its review of March and April 2017 tax collections, DOB has lowered its estimates for tax receipts in each fiscal year by approximately \$1.5 billion compared to the Executive Budget Financial Plan. The downward revisions, which are concentrated in PIT and business tax receipts, appear to be due in large part to taxpayer behavior in response to real and potential changes in State and Federal tax law. For PIT collections, which have been reduced by \$1.3 billion² in FY 2018, taxpayers and employers appear to have been anticipating that the Federal government will lower personal income tax rates in 2017, prompting a shift of capital gains from 2016 to 2017. It now appears that the extent of that shift likely exceeded DOB's estimates in the Executive Budget Financial Plan. For business tax collections, which have been reduced by \$237 million in FY 2018, it appears that taxpayers responded to the State corporate tax reforms enacted in 2014 by overpaying their tax liability in calendar year 2015 to avoid future penalties, and are now reconciling the amounts owed by reducing payments in calendar years 2016 and 2017. As described later in this Financial Plan, the potential for major changes in Federal tax and expenditure policy will continue to present risks to the State's economic and receipts forecasts.

In addition to tax receipts, DOB has updated its calculation of the amounts due to the General Fund from other funds for fringe benefit costs. This recalculation, which is based on FY 2017 experience, results in General Fund costs of \$34 million in FY 2018 and roughly \$60 million thereafter.

New Savings and Resources

The Enacted Budget Financial Plan includes savings and resources in FY 2018 that DOB estimates will be sufficient both to fund the negotiated additions and restorations to the budget, and fully cover the new costs described above. Savings (as compared to the Executive Budget) include downward re-estimates to FY 2018 disbursements in most Financial Plan categories based on a review of FY 2017 operating results, and the use of available resources accumulated in, and carried forward from, prior years. Specifically, local assistance aid claims for STAR, TAP and various other programs in FY 2017 were lower than expected, and this trend is expected to continue in FY 2018; debt service costs are expected to be reduced from refundings and other portfolio management; transfers to other funds will be reduced, reflecting the use of available bond proceeds to reimburse first-instance capital spending from prior years; and transfers from other funds will be increased, reflecting the use of balances programmed but not needed for General Fund purposes in prior years. In addition, General Fund disbursements, which are calculated using conservative estimates to create an informal reserve against risks, have been adjusted downward across Financial Plan categories.

Other resources for FY 2018 include the use of \$461 million in cash from monetary settlements that had not been appropriated in the Enacted Budget (to address the shortfall in current-year tax receipts) and savings from the payment of FY 2018 expenses in FY 2017 beyond the level assumed in the Executive Budget Financial Plan (\$210 million). (See "FY 2018 Financial Plan – Changes to the Executive Budget" herein.)

² Excludes the impact of debt service changes on transfers of PIT collections to the General Fund.

FY 2018 Closing Balance

DOB expects the State to end FY 2018 with a General Fund balance of \$6.4 billion, a decrease of \$1.4 billion from FY 2017 results. The planned transfer of monetary settlements to capital projects funds is the main cause for the expected decrease in fund balance. DOB intends to transfer settlements on an as-needed basis over the next five years as spending occurs from the capital projects funds where the activity funded from settlements is appropriated.³ In addition, the Financial Plan reflects the use of \$461 million in cash from settlements that were not appropriated in the Enacted Budget to address the shortfall in current-year tax receipts. This amount includes \$150 million that the Executive Budget Financial Plan had earmarked for a possible deposit to the rainy day reserves, fiscal conditions permitting.

The State's general reserves (consisting of the State's rainy day reserves, the contingency reserve, and the fund balances set aside for debt management and labor costs) are expected to total \$2.5 billion at the end of FY 2018. (See "FY 2018 Financial Plan -- FY 2018 Closing Balance" herein.)

DOB expects the State will have sufficient liquidity in FY 2018 to make all planned payments as they become due. The State continues to reserve General Fund resources on a quarterly basis for debt service payments. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants. (See "FY 2018 Financial Plan – Cashflow" and the Financial Plan tables herein.)

Other Significant Legislation

The Federal government may enact budgetary changes or take other actions that adversely affect State finances. State legislation approved with the Enacted Budget sets forth a process by which the State would manage significant reductions in Federal aid during FY 2018 should they arise. Specifically, the legislation directs the Budget Director to prepare a corrective action plan for consideration by the Legislature in the event that (a) Federal aid for Medicaid is reduced by \$850 million or more or (b) Federal aid for all other programs is reduced by \$850 million or more. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must uniformly reduce appropriations and cash disbursements in the General Fund and State special revenue funds. Upon receipt of the plan, the Legislature has 90 days to adopt a corrective action plan by concurrent resolution, or the plan submitted by the Budget Director takes effect automatically.

In addition, the Enacted Budget includes legislation to create a Retiree Health Benefit Trust Fund (the "Trust Fund") that provides a mechanism for the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit cash in an amount not to exceed 0.5 percent of the total Other Post-Employment Benefits (OPEB) liability, as updated (the current OPEB liability is calculated at \$63.4 billion for the State and \$14.4 billion for the State University of New York (SUNY)). The Current Financial Plan does not include any deposits to the Trust Fund.

³ Legislation enacted with the FY 2017 Budget provides transfer authority from the General Fund to the Dedicated Infrastructure Investment Fund (DIIF) through FY 2021.

Overview of the Enacted Budget Financial Plan



Multi-Year Financial Plan

The General Fund budget gap for FY 2018 is closed with reestimates to projected disbursements, specific cost containment proposals, payment of expenses in FY 2017 that were due in FY 2018, and the use of resources accumulated in prior years but not needed to maintain budget balance in those years (e.g., fund balances). In addition, the current income tax rate for high-income earners was extended for two years, through calendar year 2019. (See "FY 2018 Detailed Gap-Closing Plan" herein.)

The following table summarizes the multi-year impact of the Enacted Budget on General Fund operations. The table begins with the initial "base level" budget gaps (i.e., the gaps projected prior to the Executive Budget⁴) and ends with the Enacted Budget surplus/(gap) projections.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS ENACTED BUDGET GAP-CLOSING PLAN GAP-CLOSING PLAN (millions of dollars)				
	FY 2018	FY 2019	FY 2020	FY 2021
INITIAL BUDGET SURPLUS/(GAP) ESTIMATE¹	(3,533)	(7,122)	(8,935)	(6,816)
Spending Changes	3,571	1,799	1,609	1,387
Resource Changes	(779)	(2,225)	(2,304)	(2,967)
Tax Actions	741	3,527	3,777	884
ENACTED BUDGET SURPLUS/(GAP) (BEFORE)²	0	(4,021)	(5,853)	(7,512)
Adherence to 2% Spending Benchmark ³	n/a	3,230	5,855	7,955
ENACTED BUDGET SURPLUS/(GAP) (AFTER)⁴	0	(791)	2	443

¹ FY 2017 Mid-Year Update, dated November 2016.
² Before actions to adhere to 2 percent benchmark.
³ Savings estimated from limiting annual spending growth in future years to 2 percent (calculation based on FY 2018 Enacted Budget spending estimate). The Governor is expected to propose, and negotiate with the Legislature to enact, an annual budget that restricts State Operating Funds spending growth to 2 percent. The "Surplus/(Gap)" estimate assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussions do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.
⁴ After savings from adherence to 2 percent benchmark.

⁴ Projected as of FY 2017 Mid-Year Update, dated November 2016.



Overview of the Enacted Budget Financial Plan

The Financial Plan projections for FY 2019 and thereafter set forth in this Enacted Budget Financial Plan are based on an assumption that the Governor will continue to propose, and the Legislature will continue to enact, budgets balanced on a cash basis that limit annual growth in State Operating Funds disbursements to no greater than 2 percent. The spending benchmark is calculated using the cash basis of accounting, as described elsewhere in this Enacted Budget Financial Plan, and based on the current composition of the State Operating Funds perspective.

The Enacted Budget Financial Plan projects a potential General Fund budget gap of \$791 million in FY 2019, followed by surpluses of \$2 million in FY 2020 and \$443 million in FY 2021, if the annual growth in spending is limited to 2 percent on a State Operating Funds basis. The calculation is based on a number of assumptions, including that all savings in State special revenue funds and debt service funds can be made available to the General Fund.

Overview of the Enacted Budget Financial Plan



Annual Spending Growth

DOB estimates spending in State Operating Funds will grow at 2 percent in FY 2018, consistent with the spending benchmark adopted by the current administration. The table below illustrates the major sources of annual change in State spending by major program, purpose, and fund perspective.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2017 Results	FY 2018 Enacted	Annual Change \$	%
LOCAL ASSISTANCE	64,369	66,058	1,689	2.6%
School Aid (School Year Basis)	24,689	25,727	1,038	4.2%
DOH Medicaid ¹	18,243	19,093	850	4.7%
Transportation	4,977	5,027	50	1.0%
STAR ²	3,139	2,630	(509)	-16.2%
Social Services	2,935	2,968	33	1.1%
Higher Education	2,874	2,800	(74)	-2.6%
Mental Hygiene	2,461	2,485	24	1.0%
All Other ³	5,051	5,328	277	5.5%
STATE OPERATIONS/FRINGE BENEFITS	26,314	26,755	441	1.7%
Agency Operations	18,680	18,692	12	0.1%
Personal Service:	<u>13,093</u>	<u>12,910</u>	<u>(183)</u>	<u>-1.4%</u>
Executive Agencies	7,302	7,138	(164)	-2.2%
University Systems	3,816	3,770	(46)	-1.2%
Elected Officials	1,975	2,002	27	1.4%
Non-Personal Service:	<u>5,587</u>	<u>5,782</u>	<u>195</u>	<u>3.5%</u>
Executive Agencies	2,717	2,859	142	5.2%
University Systems	2,281	2,309	28	1.2%
Elected Officials	589	614	25	4.2%
Fringe Benefits/Fixed Costs	7,634	8,063	429	5.6%
Pension Contribution	2,446	2,540	94	3.8%
Health Insurance	3,708	3,983	275	7.4%
Other Fringe Benefits/Fixed Costs	1,480	1,540	60	4.1%
DEBT SERVICE	5,514	5,319	(195)	-3.5%
CAPITAL PROJECTS	2	2	0	0.0%
TOTAL STATE OPERATING FUNDS	96,199	98,134	1,935	2.0%
Capital Projects (State and Federal Funds)	10,156	13,111	2,955	29.1%
Federal Operating Aid ⁴	41,397	41,625	228	0.6%
TOTAL ALL GOVERNMENTAL FUNDS⁵	147,752	152,870	5,118	3.5%

¹ Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The EP is not a Medicaid program; but State-funded support is managed within total DOH Medicaid Global Cap resources. In addition, total State share Medicaid funding excludes MSA payments to the State that will be deposited directly to the Medicaid Management Information System (MMIS) Escrow Fund to defray the State cost of the local MA takeover.

² The FY 2018 Enacted Budget converts the NYC PIT credit to a nonrefundable State PIT credit. This change has no impact on the STAR benefits received by homeowners; it will decrease reported disbursements for STAR and decrease reported PIT receipts by an identical amount. See "STAR Program" in "State Financial Plan Projections Fiscal Years 2018 through 2021" herein.

³ "All Other" includes a reconciliation between school year and State fiscal year spending for School Aid. On a State Fiscal Year basis, School Aid is estimated to total \$25.8 billion in FY 2018, an increase of \$1.4 billion from FY 2017. It also includes the portion of the MA takeover that will be funded from MSA payments deposited directly to the MMIS escrow fund (\$97 million in FY 2018). Lastly, it includes spending for public health, other education, local government assistance, parks, environment, economic development, and public safety.

^{4,5} Federal Operating Funds and All Funds disbursements exclude extraordinary aid for Federal health care reform and Superstorm Sandy.

State Operating Funds -- Summary of Annual Spending Change

Local Assistance

- Medicaid and School Aid are the State's largest local aid programs, comprising approximately 45 percent of State Operating Funds spending. In SY 2018, School Aid will total \$25.7 billion, an increase of \$1.0 billion (4.2 percent), including a \$700 million increase in Foundation Aid.⁵ Medicaid subject to the Global Cap will grow at the indexed rate of 3.2 percent⁶ to \$18.3 billion. In total, Medicaid funded from State resources will increase to \$19.5 billion, including the Essential Plan (EP),⁷ the takeover of local Medicaid costs, and other spending outside the Global Cap.
- In FY 2018, the bonds secured by annual payments under the MSA with tobacco manufacturers will be fully retired. DOB expects MSA payments of approximately \$97 million in FY 2018, \$329 million in FY 2019, \$327 million in 2020, and \$371 million in FY 2021 will be available for State purposes. The Enacted Budget authorizes using the payments to help defray the costs of the State's takeover of Medicaid costs borne by counties and New York City, one of the State's most important mandate relief measures enacted in recent years. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, began in FY 2016 and is expected to cost the State \$735 million in FY 2018 and \$917 million in FY 2019. As authorized in State law, MSA payments will be directly deposited to the Medicaid Management Information System (MMIS) Escrow Fund. The deposit mechanism has no impact on overall Medicaid spending funded with State resources, but does decrease reported State-supported Medicaid spending accounted for in State Operating Funds.
- STAR spending is affected by the conversion of STAR benefits to State PIT credits, in addition to other program reestimates. The conversion of STAR benefits to PIT credits has no impact on the value of the STAR benefits received by taxpayers, but does decrease reported disbursements for STAR on a State Operating Funds basis of reporting and decreases the level of reported PIT receipts by an identical amount.
- The annual change in local assistance spending is affected by the accounting treatment of State payments to the Sales Tax Asset Receivable Corporation (STARC). Pursuant to legislation enacted in FY 2017, New York City is remitting savings to the State from a 2014 refunding of STARC bonds, which are supported solely by the annual payment of State

⁵ Total education aid, including charter school supplemental tuition reimbursement and Smart Schools Bond Act debt service, will total \$25.8 billion, an increase of \$1.1 billion or 4.4 percent from School Year 2017.

⁶ The Medicaid Global Cap is a statutory limit on annual State-funded Medicaid expenditures, indexed to the Medical component of the Consumer Price Index (CPI). Total State-funded Medicaid expenditures also include certain program costs which are not subject to the indexed provisions of the Global Cap.

⁷ The EP is an insurance plan for individuals who are not eligible for Medicaid and that meet certain income threshold standards. Approximately 90 percent of program expenses are subsidized with Federal funds made available through the Affordable Care Act (ACA). The EP is not a Medicaid program; however, the State Funds support is managed within total Department of Health (DOH) Medicaid Global Cap resources.

aid. The FY 2017 legislation specified that the money refunded from STARC could be received by the State as a miscellaneous receipt, or directed by the State to a State public authority to offset debt service costs on State-supported bonds. In the FY 2018 Enacted Budget, the Legislature reaffirmed that money recouped from the STARC refunding can be treated as an offset to State spending by adding specific "refund of appropriation" language to the STARC appropriation. The 2018 Enacted Budget reflects the offset to spending in the calculation of State Operating Funds spending. In FY 2017, the State accounted for the money as a miscellaneous receipt.

State Operations/Fringe Benefits

- Spending for Executive agency operations is expected to decline slightly in FY 2018 from the prior year. The current spending estimates for personal service reflect the potential costs of labor agreements with all State unions patterned on the labor contract ratified by the Public Employees Federation (PEF) in December 2016. In addition, Executive agencies are required to implement management plans intended to ensure that they can operate within existing cash ceilings. The estimated FY 2018 costs of potential labor agreements reflected in the Financial Plan for Executive agencies are roughly equal to the savings expected from the management plans.
- Agency spending growth is also affected by the reclassification of 3,173 Full-Time Equivalent (FTE) positions whose titles are associated with the maintenance, preservation and/or operation of facilities (e.g., Plant Utilities Engineers, General Mechanics, Electricians, etc.) to the Capital Projects Fund.
- Operations spending for the university systems and elected officials is expected to decrease by 0.3 percent and increase by 2.0 percent, respectively. More than half of the FTE reclassifications to capital project funds occur in SUNY, affecting the annual growth.
- Spending growth for fringe benefits is due to rising employee health care and prescription drug costs, as well as an increase in the annual pension contribution.

Debt Service

- Spending from debt service funds is expected to decrease by 3.5 percent from 2017, reflecting the payment of certain FY 2018 debt service costs in FY 2017.

All Funds Spending

All Funds spending, which includes spending from capital funds and Federal funds, is budgeted to increase by 3.5 percent from FY 2017 to FY 2018, excluding extraordinary Federal aid for disaster-related costs and health care transformation.

TOTAL DISBURSEMENTS (millions of dollars)				
	FY 2017 Results	FY 2018 Enacted	Annual Change	Annual % Change
STATE OPERATING FUNDS	96,199	98,134	1,935	2.0%
General Fund (excluding transfers)	57,988	61,035	3,047	5.3%
Other State Funds	32,659	31,743	(916)	-2.8%
Debt Service Funds	5,552	5,356	(196)	-3.5%
ALL GOVERNMENTAL FUNDS (Excluding Extraordinary Aid)	147,752	152,870	5,118	3.5%
ALL GOVERNMENTAL FUNDS	157,014	163,628	6,614	4.2%
State Operating Funds	96,199	98,134	1,935	2.0%
Capital Projects Funds	10,156	13,111	2,955	29.1%
Federal Operating Funds	<u>50,659</u>	<u>52,383</u>	<u>1,724</u>	<u>3.4%</u>
Federal Disaster Aid for Superstorm Sandy	881	525	(356)	-40.4%
Federal Health Care Reform	8,381	10,233	1,852	22.1%
All Other Federal Aid	41,397	41,625	228	0.6%
GENERAL FUND (INCLUDING TRANSFERS)	68,080	71,199	3,119	4.6%
STATE FUNDS	104,029	109,396	5,367	5.2%

Growth in Capital Projects spending, which affects All Funds and State Funds spending, as well as transfers from the General Fund, reflects the continued implementation of major initiatives enacted in prior years, as well as new initiatives enacted in FY 2018. Major initiatives include: over \$55 billion for the Department of Transportation (DOT) and Metropolitan Transportation Authority (MTA) multi-year capital plans; \$2.5 billion in capital funding for Affordable and Homeless Housing; nearly \$3 billion for clean water infrastructure and increases to the Environmental Protection Fund (EPF); \$2 billion for the Smart Schools Bond Act; nearly \$3 billion in Health Care capital grants; and capital grants for economic development programs, including the Buffalo Billion, expansion of the Jacob Javits Center, and redevelopment of Moynihan Station.

In addition, capital spending is expected to increase due to the multi-year disbursements of \$7.7 billion from monetary settlements that have been appropriated from Capital Projects Funds. This funding will support initiatives such as the Thruway Stabilization Program, Upstate Revitalization Initiative, and the expansion of statewide broadband services.

Increased Federal spending is mainly concentrated in health care and is partly offset by diminishing levels of Federal reimbursement for recovery costs related to severe storms (e.g., Superstorm Sandy).

General Fund spending, including transfers, is expected to grow by approximately 4.6 percent from FY 2017, and includes planned transfers of monetary settlements to fund spending appropriated in capital projects funds. In addition, funding for many agencies and programs is charged to several funds, and is affected by offsets and accounting reclassifications.

General Fund Financial Plan

FY 2017 Year-End Summary

Monetary settlements continue to affect the State's receipts and cash position.⁸ The following table summarizes the variance between the Revised FY 2017 Estimate dated February 2017 and year-end results, with and without monetary settlements (beyond the settlement amounts annually budgeted in the General Fund Financial Plan for operating purposes).⁹

FY 2017 GENERAL FUND FINANCIAL PLAN			
SUMMARY OF CHANGES FROM EXECUTIVE BUDGET			
(millions of dollars)			
	Revised Plan	Results	Variance
Opening Fund Balance (Excluding Monetary Settlements)	2,634	2,634	0
Receipts	<u>66,838</u>	<u>65,743</u>	<u>(1,095)</u>
Tax Receipts	62,969	62,264	(705)
Miscellaneous Receipts/Other Non-Tax Revenue ¹	3,869	3,479	(390)
Disbursements	<u>67,100</u>	<u>65,963</u>	<u>(1,137)</u>
Local Assistance	44,826	44,439	(387)
Agency Operations	13,744	13,549	(195)
Transfers to Other Funds ¹	8,530	7,975	(555)
Net Change in Operations	(262)	(220)	42
Closing Fund Balance (Excluding Monetary Settlements)	<u>2,372</u>	<u>2,414</u>	<u>42</u>
Monetary Settlements ¹			
Settlements on Hand as of April 1, 2016	6,300	6,300	0
New Settlements Received in FY 2017	1,317	1,317	0
Transfers/Uses	(2,757)	(2,282)	475
Closing Balance	<u>4,860</u>	<u>5,335</u>	<u>475</u>
Closing Fund Balance (Including Monetary Settlements)	<u>7,232</u>	<u>7,749</u>	<u>517</u>

¹ New settlements received reflect the gross value of monetary settlements paid to the State and the uses of such funds are accounted for by purpose. However, the General Fund miscellaneous receipts and transfers to other funds only exclude the amount that is received by the General Fund and transferred to other funds. Thus, it does not include any amounts retained and used for General Fund operations or Department of Law operations.

As shown in the table above, the State ended FY 2017 with a General Fund cash balance of \$7.7 billion, including monetary settlements. The closing balance was \$517 million higher than the Revised FY 2017 Estimate. Most of the variance was due to the timing of transfers of monetary settlements from the General Fund to other funds. DOB continues to process the transfers on an as-needed basis over the multi-year plan as spending from DIIF and other funds occurs.

⁸ The sources and uses of monetary settlements are described elsewhere in this Financial Plan. See Financial Plan tables for General Fund receipts and disbursements including monetary settlements.

⁹ The Financial Plan included \$102 million budgeted for General Fund balance in FY 2017.

The following discussion of balances, receipts, and disbursements exclude the receipts and use of monetary settlements, unless otherwise noted. The General Fund tables later in this Financial Plan include monetary settlements.

Excluding monetary settlements, the State ended FY 2017 in balance on a General Fund basis. Disbursements exceeded receipts by \$220 million, which was funded by the planned use of reserves set aside for labor contracts (\$140 million), resources carried in from FY 2016 (\$73 million), and use of Community Projects Fund resources to support spending reappropriated from that subfund within the General Fund (\$7 million).

General Fund receipts, including transfers from other funds, totaled \$65.7 billion or \$1.1 billion lower than the Revised FY 2017 Estimate. The variance was mainly due to lower business tax collections (\$810 million) resulting from shortfalls in audit collections and cash payments associated with final tax year 2015 returns. PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, were \$115 million higher than the Revised FY 2017 Estimate, reflecting the net impact of lower refunds and STAR deposits, as well as lower withholding and final returns.

General Fund non-tax receipts and transfers were \$390 million below the Revised FY 2017 Estimate. Several planned transfers from other funds were not needed for operating purposes in FY 2017, and are now scheduled for FY 2018.

General Fund disbursements, including transfers to other funds, totaled \$66 billion in FY 2017, a decrease of \$1.1 billion from the Revised FY 2017 Estimate. The lower spending in both local assistance and agency operations was due in large part to the cautious calculation of General Fund expenses. In local assistance, disbursements for higher education, community school grant programs, and a range of other programs fell below planned levels.

In agency operations, including fringe benefits and fixed costs, lower spending occurred across many agencies with the most significant variance in DOH.

Transfers to capital projects funds were lower than estimated, reflecting both the pace of capital projects spending and the use of bond proceeds to reimburse first-instance capital spending.

In comparison to the last update, the State paid an additional \$210 million in debt service that was due in FY 2018. The pre-payments are reflected in the totals for tax receipts reported above. The payment of FY 2018 expenses during FY 2017 totaled \$490 million.

The State ended FY 2017 with a General Fund closing balance of \$2.4 billion, excluding monetary settlements. The balance consists of \$1.8 billion in the State's Rainy Day Funds, \$56 million in the Community Projects Fund, and \$21 million in the Contingency Reserve Fund. In addition, the balance included \$500 million set aside for debt management, \$25 million for costs of retroactive labor agreements, and an undesignated balance of \$14 million.

The closing balance, excluding settlements, was \$42 million higher than the Revised FY 2017 Estimate. This change reflects \$25 million for FY 2017 salary payments for M/C employees that did not occur in FY 2017 but will now be paid in the first quarter of FY 2018, \$14 million from delays of certain payments, and \$3 million more in the Community Projects Fund due to lower spending.

FY 2018 Financial Plan

DOB estimates that the Enacted Budget Financial Plan will provide for balanced operations in the General Fund in FY 2018. Excluding monetary settlement funds, estimated General Fund disbursements exceed receipts by \$516 million. The difference is financed by the use of monetary settlements that were not appropriated in the Enacted Budget (\$461 million), reserves set aside in FY 2017 to fund new labor contracts (\$25 million), resources carried in from FY 2016 (\$14 million), and Community Projects Fund resources (\$16 million).

The following table summarizes the projected annual change from FY 2017 to FY 2018 in General Fund receipts, disbursements, and fund balances, with and without the impact of monetary settlements.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2017 Results	FY 2018 Enacted	Annual Change	
			Dollar	Percent
Opening Fund Balance (Excluding Monetary Settlements)	2,634	2,414	(220)	-8.4%
Total Receipts	<u>65,743</u>	<u>69,801</u>	<u>4,058</u>	<u>6.2%</u>
Taxes	62,264	66,466	4,202	6.7%
Miscellaneous Receipts/Federal Grants ¹	2,661	2,119	(542)	-20.4%
Transfers from Other Funds	818	1,216	398	48.7%
Total Disbursements	<u>65,963</u>	<u>70,317</u>	<u>4,354</u>	<u>6.6%</u>
Local Assistance Grants	44,439	47,069	2,630	5.9%
Agency Operations	13,549	13,966	417	3.1%
Transfers to Other Funds ¹	7,975	9,282	1,307	16.4%
Net Change in Operations	(220)	(516)	(296)	-134.5%
Deposit to/ Use Of Reserves ²	0	616	616	0.0%
Closing Fund Balance (Excluding Monetary Settlements) ²	<u>2,414</u>	<u>2,514</u>	<u>100</u>	<u>4.1%</u>
Monetary Settlements ¹				
Settlements on Hand as of April 1	6,300	5,335	(965)	-15.3%
New Settlements Received	1,317	33	(1,284)	-97.5%
Transfers/Uses ²	<u>(2,282)</u>	<u>(1,498)</u>	<u>784</u>	<u>34.4%</u>
Closing Balance (Monetary Settlements)	<u>5,335</u>	<u>3,870</u>	<u>(1,465)</u>	<u>-27.5%</u>
Closing Fund Balance (Including Monetary Settlements)	<u>7,749</u>	<u>6,384</u>	<u>(1,365)</u>	<u>-17.6%</u>

¹ New settlements received reflect the gross value of monetary settlements paid to the State and the uses of such funds are accounted for by purpose. However, the General Fund miscellaneous receipts and transfers to other funds only exclude the amount that is received by the General Fund and transferred to other funds. Thus, it does not include any amounts retained and used for General Fund operations or Department of Law operations.

² In FY 2018, \$461 million in monetary settlements will be utilized to support ongoing operations. \$155 million will be retained in the General Fund to fund potential retroactive salary increases and is thus included in the General Fund closing balance.

As shown in the table above, the State expects to end FY 2018 with a General Fund cash balance of \$6.4 billion, a decrease of \$1.4 billion from FY 2017 results. DOB intends to make transfers of monetary settlements on an as-needed basis each year as spending occurs from appropriations funded with the settlements. Legislation approved in the FY 2017 Enacted Budget provides transfer authority from the General Fund to the DIIF through FY 2021.

FY 2018 – General Fund Projections, Excluding Monetary Settlements

The following discussion of balances, receipts, and disbursements exclude the receipts and use of monetary settlements, unless otherwise noted. The General Fund tables later in this Financial Plan include monetary settlements.

Receipts

General Fund receipts estimates, including transfers from other funds, total \$69.8 billion in FY 2018, an increase of \$4.1 billion (6.2 percent) from FY 2017 results. Tax collections, including transfers of tax receipts to the General Fund after payment of debt service, total \$66.5 billion in FY 2018, an increase of \$4.2 billion (6.7 percent) from FY 2017 results.

Estimated PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, total \$45.3 billion, an increase of \$2.5 billion (5.9 percent) from FY 2017. This primarily reflects growth in withholding and estimated payments attributable to the net effect of the first year of middle income tax cuts enacted with the FY 2017 Budget and extension of the top rate for high-income earners authorized in the FY 2018 Budget; and a decline in STAR Fund deposits associated with legislation included in the Enacted Budget.

Consumption/use tax receipts, including transfers after payment of debt service on the Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$13.3 billion in FY 2018, an increase of \$681 million (5.4 percent) from FY 2017, which mainly reflects projected growth in employment and taxable consumption.

Business tax receipts are estimated at \$5.7 billion in FY 2018, an increase of \$957 million (20.1 percent) from FY 2017. The significant growth is primarily attributable to one-time factors affecting FY 2017 receipts. FY 2017 collections were lower than planned due to lower audit receipts from corporate franchise taxpayers and a shortfall in cash payments associated with tax year 2015 final returns. These issues are not expected to recur.

Other tax receipts, including transfers after payment of debt service on Clean Water/Clean Air Bonds, are expected to total \$2.1 billion in FY 2018, an increase of \$45 million (2.2 percent) from FY 2017. This increase is mainly attributable to projected growth in the real estate transfer tax receipts due to an anticipated increase in housing starts and appreciation of home prices, partly offset by the continued phase-in of estate tax cuts.

Non-tax receipts and transfers are estimated at \$3.3 billion in FY 2018, a decrease of \$144 million (-4.1 percent) from FY 2017. The decrease includes \$250 million in State Insurance Fund (SIF) reserves released in FY 2017 that is not included in FY 2018, and the accounting of the STARC debt refunding savings as an offset of the State's payment of New York City debt rather than a miscellaneous receipt. (See "Annual Spending Growth" herein.) These declines are partly offset by an increase in the transfer of resources from other funds due to the accumulation of resources in prior years.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances among funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Projections Fiscal Years 2018 Through 2021" herein.

Disbursements

General Fund disbursements, including transfers to other funds, are expected to total \$70.3 billion in FY 2018, an increase of \$4.4 billion (6.6 percent) from FY 2017. General Fund disbursements reflect the cautious estimation of disbursements in each Financial Plan category, a practice that provides a cushion for potential receipts shortfalls and other unanticipated costs.

Projected local assistance spending is \$47.1 billion in FY 2018, an increase of \$2.6 billion (5.9 percent) from FY 2017. The increase includes \$1.4 billion for School Aid (on a State fiscal year basis) and \$914 million for Medicaid and the EP. Additional annual changes reflect anticipated growth in payments for social services, higher education, and other programs, as well as accounting reclassifications that have the effect of moving spending between Financial Plan categories and across fund types.

On a State Operating Funds basis, most executive agencies are expected to hold operations spending at FY 2017 levels (limited exceptions, include DOH costs attributable to the New York State of Health (NYSOH) marketplace and the EP program). The Financial Plan estimates for State Operations are affected by the reclassification to capital projects funds of certain personnel expenses related to maintenance and preservation of State assets; potential costs of labor agreements with unsettled State unions patterned on the labor contract ratified by PEF in December 2016; and expected savings from agency management plans. General Fund personal and non-personal service costs are expected to total \$8.2 billion in FY 2018, an increase of \$90 million (1.1 percent) from FY 2017. Operating costs for many agencies are charged to several funds outside the General Fund, and are thus affected by varying levels of offsets and accounting reclassifications.

General State Charges (GSCs), which account for fringe benefits and certain fixed costs, are expected to increase by \$327 million (6.0 percent) over FY 2017. Health insurance costs for State employees and retirees increase by \$275 million (7.4 percent), mainly due to increases in premiums. The State's annual pension payment grows by \$95 million (3.9 percent).

General Fund transfers to other funds total \$9.3 billion in FY 2018, an increase of \$1.3 billion from FY 2017. Transfers for capital projects (excluding transfers funded with monetary settlements) increase by \$1.3 billion, reflecting the timing of reimbursement from bond proceeds and planned disbursements from the DHBTF.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Projections Fiscal Years 2018 through 2021" herein.

FY 2018 Closing Balance

DOB projects that the State will end FY 2018 with a General Fund cash balance of \$6.4 billion, a decrease of \$1.4 billion from FY 2017. The estimated balance of monetary settlements at the close of FY 2018 is \$3.9 billion, a decrease of \$1.5 billion from FY 2017. The decrease is due to the expected transfer of \$882 million in settlements to capital projects funds to support initiatives funded with settlements and the use of \$461 million for operating purposes from settlements not appropriated in the Enacted Budget (including \$33 million expected in June pursuant to a pending settlement with Volkswagen). In addition, \$155 million in settlements is set aside for labor contracts and displayed in the Financial Plan tables as "Reserved for Potential Labor Agreements." (See "Uses of Monetary Settlements" herein.)

The estimated General Fund cash balance, excluding settlements expected to be transferred to capital projects funds, is \$2.5 billion at the close of FY 2018, or \$100 million higher than FY 2017. The change in the balance includes \$155 million of monetary settlements in the General Fund that DOB has informally earmarked to fund retroactive salary increases for FY 2017 that may occur in FY 2018 or later. During the fiscal year, DOB may change the purposes for which the money is currently earmarked, depending on the fiscal environment. Other changes include the planned use of reserves for the payment of retroactive salary increases for M/C employees (\$25 million), resources carried in the Community Projects Fund (\$16 million), and the undesignated fund balance carried in from FY 2017 (\$14 million).

The Enacted Budget Financial Plan maintains a reserve of \$500 million for debt management purposes in FY 2018, unchanged from the level held at the end of FY 2017. DOB will decide on the use of these funds based on market conditions, financial needs, and other factors.

TOTAL BALANCES (millions of dollars)			
	FY 2017 Results	FY 2018 Enacted	Annual Change
TOTAL GENERAL FUND BALANCE	7,749	6,384	(1,365)
General Fund Total (Excluding Monetary Settlements)	2,414	2,514	100
Statutory Reserves:			
"Rainy Day" Reserves	1,798	1,798	0
Community Projects	56	40	(16)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Debt Management	500	500	0
Labor Agreements	25	155	130
Undesignated Fund Balance	14	0	(14)
Monetary Settlements Fund Balance	5,335	3,870	(1,465)

FY 2018 Detailed Gap-Closing Plan

The following table and narrative summarize the Enacted Budget gap-closing plan. The plan consists of specific actions and reestimates to the "baseline" projection of General Fund receipts and disbursements (contained in the FY 2017 Mid-Year Update, dated November 2016). To the extent the State adheres to the 2 percent spending growth benchmark, the level of savings required in each subsequent year to hold spending to 2 percent will be lower.

FY 2018 ENACTED BUDGET GENERAL FUND GAP-CLOSING PLAN (millions of dollars)				
	FY 2018	FY 2019	FY 2020	FY 2021
INITIAL BUDGET SURPLUS/(GAP) ESTIMATE¹	(3,533)	(7,122)	(8,935)	(6,816)
SPENDING CHANGES	3,571	1,799	1,609	1,387
Agency Operations	735	(9)	(105)	(295)
Executive Agency Operations	391	103	78	3
Agency Financial Management Plans	500	500	500	500
NYPA Repayment	193	(21)	(43)	(43)
Fringe Benefits/Fixed Costs	213	56	56	49
Elected Officials	(43)	(44)	(43)	(101)
Potential Labor Agreements	(519)	(603)	(653)	(703)
Local Assistance	1,986	2,147	2,507	2,686
Health Care	697	919	951	929
Education	212	545	864	1,044
Higher Education	308	96	96	96
Human Services/Housing	117	85	82	84
Mental Hygiene	83	58	55	55
STAR - Program Conversion ²	277	352	367	382
STAR - Other	70	50	50	50
All Other	222	42	42	46
Capital Projects/Debt Management	1,354	374	330	392
Initiatives/Investments³	(504)	(713)	(1,123)	(1,396)
School Aid	(86)	(195)	(233)	(244)
Education/Higher Education	(127)	(103)	(112)	(128)
Excelsior Scholarship	(71)	(133)	(152)	(163)
Human Services/Labor	(65)	0	0	0
Juvenile Justice Reform ("Raise the Age")	0	(78)	(276)	(378)
Debt Service Cost for Capital Adds	(33)	(148)	(272)	(380)
Direct Care & Clinical Care Worker Wage Increase/COLA Deferral	(14)	(39)	(39)	(39)
Indigent Legal Services	0	0	(23)	(47)
All Other	(108)	(17)	(16)	(17)
RESOURCE CHANGES	(779)	(2,225)	(2,304)	(2,967)
Tax Revisions	(1,915)	(1,811)	(1,911)	(2,310)
STAR Conversion ²	0	(340)	(354)	(369)
Use of Monetary Settlement Funds	461	0	0	0
Miscellaneous Receipts/Transfers	675	(74)	(39)	(288)
TAX ACTIONS	741	3,527	3,777	884
PIT Top Rate Extension	683	3,375	3,695	902
Other Tax Actions/Extenders	58	152	82	(18)
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE (Before)¹	0	(4,021)	(5,853)	(7,512)
ADHERENCE TO 2% SPENDING BENCHMARK⁴	n/a	3,230	5,855	7,955
ENACTED BUDGET SURPLUS/(GAP)	0	(791)	2	443

¹ FY 2017 Mid-Year Update, dated November 2016.

² The FY 2018 Enacted Budget converts the NYC PIT credit to a nonrefundable State PIT credit. This change has no impact on the STAR benefits received by homeowners; it will decrease reported disbursements for STAR and decrease reported PIT receipts by an identical amount. See "STAR Program" in "State Financial Plan Projections Fiscal Years 2018 through 2021" herein.

³ Reflects Executive initiatives and distinct new spending additions to the Executive Budget agreed to during negotiations. Restorations to Executive Budget proposals are reflected in the appropriate "Spending Changes" and "Tax Actions" categories.

⁴ Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2018 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. The "Surplus/(Gap)" estimate assumes that all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected gaps would be higher.

As shown in the previous table, the Enacted Budget Financial Plan is projected to require additional gap-closing measures in FYs 2019, 2020 and 2021 in order to adhere to the 2 percent spending growth benchmark for each of those fiscal years, and to reduce or eliminate General Fund gaps in each of those fiscal years. Such gap-closing measures may include, but are not limited to, reduced appropriations, as well as changes in law regarding the requirements of various State programs, the conversion of disbursements into tax expenditures or changes regarding the timing of certain payments.

Spending Changes

Agency Operations

Operating costs for State agencies include salaries, wages, fringe benefits, and non-personal service costs (e.g., supplies, utilities). Reductions from current-services projections for agency operations contribute \$735 million to the General Fund gap-closing plan for FY 2018. Specifically:

- **Executive Agencies:** The Enacted Budget holds agency spending flat on a State Operating Funds basis with limited exceptions, such as DOH costs attributable to the NYSOH marketplace and the EP program. Agencies are expected to continue to use less costly forms of service deliveries, improve administrative practices, and pursue statewide solutions, including using Lean initiatives to streamline operations and management.

The Enacted Budget includes savings from the continued transition of individuals from mental hygiene institutions to appropriate community settings. In addition, the Enacted Budget Financial Plan provides a more consistent approach for funding the costs of employees who maintain and preserve State assets in the capital budget. Agencies have been accounting for these costs differently for years, with some capturing the expenses in their capital budget, while others reflect them in their operating budgets. Beginning in FY 2018, approximately 3,173 FTEs whose job duties are related to the maintenance, protection, preservation, and operation of facilities (e.g., Plant Utilities Engineers, General Mechanics, Electricians, etc.) will be paid from capital projects funds.

Spending increases in the later years of the Financial Plan are driven mainly by revised spending assumptions across multiple agencies to account for inflationary cost increases, an additional administrative payroll in FY 2021, and higher Medicaid administration expenses expected to support the NYSOH insurance exchange as available Federal funding expires.

- **Agency Financial Management Plans:** The Enacted Budget Financial Plan includes \$500 million in annual savings that will be allocated to agencies as agency management plans are completed. All Executive agencies have been directed to implement cost-control measures on a recurring basis, starting in FY 2018. Agency plans identifying cost reductions and efficiencies to achieve the targeted savings are expected to be reviewed and approved by DOB prior to implementation. Plans must preserve funding for core services and strategic initiatives.
- **New York Power Authority (NYPA) Repayment Agreement:** The terms of the annual payment schedule to NYPA have been extended through 2023, resulting in \$193 million in expected savings in FY 2018.
- **Fringe Benefits/Fixed Costs:** Savings reflect the payment of the majority of the FY 2018 Employees' Retirement System (ERS)/Police and Fire Retirement System (PFRS) pension bill in April 2017, rather than in monthly increments, thereby avoiding interest expense charged by the System. The Financial Plan also reflects the continued use of available SIF resources to offset the cost of Workers' Compensation claims. These resources will reduce reported spending in State Operating Funds by \$210 million in FY 2017 and \$205 million in FY 2018.
- **Judiciary:** The Enacted Budget reflects the Judiciary's request to increase operating support, including an additional 200 non-judicial positions in support of trial court operations, and temporary service funding for acting city, town and village justices.
- **Legislature:** The Enacted Budget reflects the Legislature's request to increase operating funding by 3 percent, including increased costs for personal service and equipment.
- **Labor Agreements (Executive Agencies):** The New York State PEF ratified a three-year labor contract, which provides for a 2 percent annual increase in general salary for FY 2017, FY 2018, and FY 2019. Legislation to implement the agreement, including a comparable increase for Management/Confidential (M/C) employees, has been approved. The FY 2017 PEF increase was paid in FY 2017. The FY 2017 M/C increase will be paid in FY 2018. The Financial Plan includes a reserve of \$155 million for retroactive salary increases for remaining unions that have not yet reached final agreements. Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose contract expired at the end of FY 2015.

For planning purposes, the Financial Plan includes an estimate of Executive agency costs assuming the PEF contract terms were applied to all remaining unions. DOB estimates this would result in General Fund costs of roughly \$200 million for the first year of the contract, \$385 million for the second year, and \$600 million for the third year and each year thereafter. These estimated costs include the cost of the PEF and M/C salary increases discussed above.

The Enacted Budget projections assume that all unsettled unions will reach agreements with the State in FY 2018, and that costs for the first and second contract years will be paid in FY 2018. The following table summarizes costs of potential labor agreements for Executive agencies included in the Financial Plan.

GENERAL FUND POSSIBLE COSTS OF LABOR AGREEMENTS FOR EXECUTIVE AGENCIES ¹ (millions of dollars)				
	Potential Contract Cost	Financial Plan		
		PEF/MC	Other	Total
Year 1 (FY 2017)	201	67	0	67
Year 2 (FY 2018)	385	203	316	519
Year 3 (FY 2019)	603	275	328	603
Total	1,189	545	644	1,189

¹ Assumes the PEF contract is extended to other unions and the Year 1 and 2 cost for M/C and all remaining unions are paid out in FY 2018.

Local Assistance

Local assistance spending includes financial aid to local governments and nonprofit organizations, as well as entitlement payments to individuals. Reductions from prior projections for local assistance spending generate nearly \$2 billion in General Fund savings.¹⁰ Savings are expected from targeted actions, reestimates based on updated information, and continuation of prior-year cost containment. Specifically:

- Health Care:** An additional \$382 million in non-DOH Medicaid expenses will be funded within the Medicaid Global Cap. To achieve savings within the Global Cap, DOH will continue to implement various Medicaid Redesign Team (MRT) actions to improve the efficiency and effectiveness in delivery of the statewide Medicaid program, including proposals to collaborate with New York City for achieving efficiencies; establish a Medicaid pharmacy drug spending cap, which includes a process to mitigate excessive pricing; and to use Balancing Incentive Program (BIP) funds to support wage requirements under the Fair Labor Standards Act (FLSA).

In FY 2018, bonds issued in 2003 that were secured by annual payments under the MSA with tobacco manufacturers will be fully retired. DOB expects that MSA payments of approximately \$97 million in FY 2018 and roughly \$300 million annually thereafter will be available for State purposes. The Enacted Budget Financial Plan reflects the proposed use of the payments, outside the State Operating Funds basis of reporting, to defray a portion of the State's takeover of Medicaid costs borne by counties and New York City. The State takeover, in which local Medicaid costs are capped permanently at 2015 calendar year levels, is expected to cost the State \$735 million in FY 2018, growing to

¹⁰ Local assistance includes payments for School Aid, STAR, Medicaid, public assistance, child welfare, local government assistance and a range of other purposes.

\$917 million in FY 2019. The use of the MSA payments to fund a portion of these costs will have no impact on total funding for the Medicaid program, but will reduce reported Medicaid spending on a State Operating Funds basis of reporting.

Other health care savings include a 20 percent reduction to certain public health programs (\$25 million annually), and a shift of \$21 million in operating to capital support for Roswell Park Cancer Institute (RPCI) in FY 2018. The availability of additional Federal funds for the NYSOH Qualified Health Plan (QHP) is expected to offset State costs by \$17 million in FY 2018.

The Financial Plan also includes an upward revision of \$168 million to estimated HCRA resources, including additional revenues from hospital surcharges and covered lives assessments. It also includes a three-year extension of funding for the Statewide Health Information Network for New York (SHIN-NY)/All-Payer Claims Databases (APCD) infrastructure development initiative.

- **Education:** The School Year (SY) 2018 Personal Income Growth Index (PIGI) is 3.9 percent, compared to the baseline estimate of 4.5 percent, which resulted in an updated growth calculation included in the Executive Budget. In addition, updates to the School Aid database indicate a decline in SY 2017 aid compared with FY 2017 Enacted Budget estimates. Similarly, spending related to special education programs and grant-based awards for School Aid is occurring more slowly than anticipated.
- **Higher Education:** The sale of certain City University of New York (CUNY) capital assets is expected to result in available resources to partially support CUNY operations. In addition, the reconciliation of prior year payment advances to higher education institutions for TAP financial awards is expected to reduce FY 2018 spending by \$166 million.
- **Human Services:** Savings are expected to result from restructuring of the financing approach for foster care tuition and residential school placements of children with special needs in New York City, and reducing the State's Foster Care Block Grant reimbursement to an estimated 50 percent share, net of Federal Funding. Funding is included for increased public assistance costs, which include providing safety net benefits for immigrants with Temporary Protected Status, pursuant to litigation filed against the State. This status is given to noncitizens residing in the United States whose home countries have experienced natural disasters or are involved in armed conflict.
- **Mental Hygiene:** Spending revisions reflect updated assumptions and revised timelines for ongoing transformation efforts in the mental hygiene service delivery system, and the Federal government's extension of the BIP. The Office for People with Developmental Disabilities (OPWDD) will maximize Federal reimbursement by aligning services such as Family Support Services to meet Medicaid eligibility and only provide supplemental support for other Medicaid-eligible programs.

- **STAR:** The Enacted Budget includes a conversion of the rate reduction benefit to a nonrefundable New York State PIT credit for New York City taxpayers which, due to the timing of its implementation, results in short-term savings to the Enacted Budget Financial Plan (\$277 million in FY 2018; \$12 million in each of FYs 2019 and 2020). This change has no effect on the value of the STAR benefit, but eliminates the need for New York City to make payments in the first instance and to be reimbursed by the State.
- **All Other:** Savings are expected as a result of updated program and grant spending across a number of areas, including use of available Mortgage Insurance Fund (MIF) resources to fund housing and homelessness program and spending revisions based on utilization trends in other local assistance programs.

Capital Projects/Debt Management

- The Enacted Budget Financial Plan reflects reduced debt service costs from refundings and other portfolio management; and lower capital transfers reflecting the use of available bond proceeds to reimburse first-instance capital spending from prior years.
- FY 2018 debt service savings reflect the payment of \$490 million (\$280 million previously planned plus an additional \$210 million) of FY 2018 expenses in FY 2017.

Initiatives/Investments/New Costs

- **School Aid:** The Budget increases School Aid by \$1.0 billion (4.2 percent), including \$700 million in Foundation Aid, bringing the new School Aid SY total to \$25.7 billion. In comparison to the base forecast, which already included a school aid increase of 3.9 percent, the Enacted Budget added \$122 million (\$86 million on a State fiscal year basis).
- **Educational/Higher Education:** The Budget provides additional funding for charter schools, a new Enhanced Tuition Award for students attending private not-for-profit colleges, and open educational resources, a low cost alternative to traditional textbooks for students. At the State's direction, both universities will use this funding to target high-enrollment courses, including general education, to maximize student savings.

- **Excelsior Scholarship:** The scholarship program will allow students of working and middle-class families to attend college tuition-free at all public universities in New York State. The program will be phased in over three years, beginning in the fall of 2017 for New Yorkers making up to \$100,000 annually, increasing to \$110,000 in 2018, and reaching \$125,000 in 2019. The Excelsior Scholarship is a “last mile” program, which extends the state’s existing aid programs, including the nearly \$1 billion Tuition Assistance Program and any applicable Federal grants, and fills in any remaining gaps to cover the full cost of tuition. The estimates reported in the Financial Plan are on a State fiscal year basis.
- **Human Services/Labor:** The Enacted Budget adds funding for several program areas supporting children, families, and communities. During the negotiation process, funding was committed for eligible families with child care costs, services for sexually exploited children, services for disabled New Yorkers, job seekers and the working community.
- **Juvenile Justice Reform (“Raise the Age”):** The FY 2018 Enacted Budget includes legislation that raises the age of criminal responsibility to 18-years-old. The new measures will be phased in over time, raising the age of juvenile jurisdiction from age 16- to 17-years-old beginning on October 1, 2018, then raising the age of juvenile jurisdiction to 18-years-old on October 1, 2019. Added funding will support the housing treatment and services provided for youth.

These youth will no longer be housed in adult facilities or jails. Those under the age of 18 will not be placed or held at Rikers Island in New York City beyond October 1, 2018. They will be placed in specialized juvenile detention facilities certified by the New York City Administration for Children’s Services and the State’s Office of Children and Family Services (OCFS), and in conjunction with the State’s Commission of Correction and the New York City Department of Corrections. The State will also create a Raise the Age implementation task force, with committee members designated by the Governor. Additionally, individuals who have been crime free for ten years after serving a sentence will be able to apply for the sealing of previous criminal convictions depending on their offence.

- **Direct Care and Clinical Care Worker Wage Increase:** The Enacted Budget also provides funding to support a 6.5 percent raise over the next two years for direct care workers, and a 3.25 percent raise for clinical workers in FY 2019, serving the mental hygiene community, aimed at assisting non-profits in the recruitment and retention of employees. Partly offsetting the outyear cost of these increases is a deferral of the statutory Cost-of-Living Adjustment (COLA) in FY 2018 and FY 2019.
- **Indigent Legal Services:** To help ensure fair and equal representation for individuals who cannot afford counsel, the FY 2018 Budget includes resources to develop the framework through which the State will fund 100 percent of costs necessary to extend to all 62 counties in New York the 2014 indigent defense service reforms provided for in the Hurrell-Harring settlement.

- **All Other:** The Enacted Budget provides additional funding for various purposes agreed to during budget negotiations, including a legal defense fund for immigrants, local gaming aid of \$2.25 million for Madison County, as well as additional funding to support Taste NY; water quality aid for the City of Newburgh; and debt service costs for new bond-financed capital initiatives. In addition, funding has been added to provide for faster processing of sexual offense evidence kits¹¹ submitted by New York State law enforcement agencies to the State Police forensic lab. The Enacted Budget Financial Plan also includes funding to support a new Cyber Incident Response Team to provide cybersecurity support to State entities, local governments, and entities managing infrastructure assets.

Resource Changes

- **Tax Revisions:** The multi-year tax receipts forecast reflects downward revisions based on recent collection experience and an updated economic forecast.
- **NYC STAR PIT Rate Reduction Benefit Conversion:** The conversion of the rate reduction benefit to a nonrefundable New York State PIT credit for New York City taxpayers with incomes below \$500,000 will not affect STAR benefits, but will result in lower General Fund tax collections. This action is consistent with the conversion of the NYC STAR PIT credit to a State credit in the FY 2017 Enacted Budget.
- **Use of Monetary Settlement Funds:** The Enacted Budget includes the use of monetary settlement funds for General Fund operations in FY 2018. This includes \$311 million in unallocated funds (including \$33 million expected from Volkswagen) and \$150 million that was previously planned for deposit into the Rainy Day Fund if fiscal conditions permitted.
- **Public Safety Communications Surcharge:** The Public Safety Communications Surcharge is expanded to prepaid purchases of mobile communication services, with purchases subject to a 90-cent surcharge. The surcharge will be imposed at the point of purchase for a prepaid device or data. Currently, mobile plan subscribers pay \$1.20 per month, while purchasers of prepaid mobile services pay no surcharge. Local governments, including those that don't currently impose the surcharge on mobile plan contracts, can also opt in for a 30 cent surcharge on prepaid purchases of mobile communication services. This surcharge supports the State's public safety activities and funds the Statewide Interoperable Communications Grant (SICG) program.
- **Other Resource Changes:** Other changes include (i) updated estimates of various miscellaneous receipts and transfers from other funds, (ii) reimbursement for Mental Hygiene services in excess of debt service spending, and (iii) reductions reflecting the refinement of cautious estimates included in the Financial Plan. In addition, the Enacted Budget includes the establishment of a special license to sell craft beverages along with food and souvenir items at certain Taste-NY stores.

¹¹ Sexual Offense Evidence Kit Bill (Chapter 500 of the Laws of 2016) was signed by the Governor on November 28, 2016.

Tax Actions

- **Extend the PIT Top Bracket:** The Enacted Budget reflects a two-year extension, through the end of tax year 2019, of the current income tax rate for high-income earners. The current top-bracket rate has been in place since January 1, 2012, when the top-bracket rate was reduced from 8.97 percent to 8.82 percent.
- **High Income Charitable Contribution Deduction:** The Enacted Budget extends to the end of tax year 2019, the charitable contribution deduction limitation of 25 percent.
- **Ride Sharing for Upstate New York:** The FY 2018 Budget authorizes Transportation Network Companies, such as Uber and Lyft, to operate across New York and creates uniform licensing standards. The Department of Motor Vehicles (DMV) will have oversight of rideshare companies to help ensure compliance with all laws, rules, and regulations required as part of a Transportation Network Company's (TNC's) operational license. TNC companies will be required to maintain minimum insurance coverage levels of \$1.25 million while a TNC driver is traveling to pick up a passenger and until the drop-off is completed. The State will also establish minimum standards to ensure passenger safety. TNCs will be charged a 4 percent State assessment fee.
- **Child and Dependent Care Credit:** The Enacted Budget increases benefits under the New York State Child and Dependent Care Credit. This credit provides households who qualified for the Federal Child and Dependent Care Credit the ability to claim a percentage of the Federal credit on their State income taxes. The Enacted Budget increases the percentage of the Federal credit for tax filers with New York Adjusted Gross Income (AGI) between \$50,000 and \$150,000, resulting in an increase in the benefit by an average of 123 percent.
- **Warrantless Wage Garnishment:** The Enacted Budget extends the authorization for the Department of Taxation and Finance (DTF) to garnish wages of delinquent taxpayers without filing a warrant with the Department of State (DOS) or County Clerks. The current program, extended for three years, has been successful in eliminating the unfunded mandate on counties to receive warrants from DTF.
- **Other Actions:** The Enacted Budget includes other tax credits/extensions, enforcement initiatives and tax code reforms. These include extending the Empire State Film and Post-Production Tax Credits for three years; renaming the Urban Youth Jobs Program to the New York Youth Jobs Program; extending the tax credit for five years to 2022; and adding a carve-out for the new Empire State Apprenticeship Tax Credit Program; providing farmers a credit for food bank donations; and closing tax loopholes associated with non-resident activities related to co-ops and asset sales.

Changes to the Executive Budget

General Fund

The table below summarizes all the changes to the Executive Budget Financial Plan.

CHANGES TO THE EXECUTIVE BUDGET FINANCIAL PLAN				
GENERAL FUND				
(millions of dollars)				
	FY 2018	FY 2019	FY 2020	FY 2021
TOTAL NEGOTIATED CHANGES TO EXECUTIVE PLAN	(718)	(891)	(1,917)	(4,546)
SPENDING RESTORATIONS/ADDITIONS	(547)	(620)	(775)	(989)
<u>New Spending Adds:</u>	<u>(390)</u>	<u>(374)</u>	<u>(445)</u>	<u>(491)</u>
School Aid - Foundation Aid Increase	(86)	(195)	(233)	(244)
Charter Schools	0	(26)	(46)	(61)
Other Education Aid	(65)	(21)	(16)	(16)
Direct Care & Clinical Care Worker Wage Increase	(14)	(146)	(146)	(146)
FY 2019 COLA Deferral	0	107	107	107
Human Services/Labor	(65)	0	0	0
Higher Education	(63)	(57)	(49)	(52)
All Other	(97)	(36)	(62)	(79)
<u>Restorations/Modifications:</u>	<u>(157)</u>	<u>(246)</u>	<u>(330)</u>	<u>(498)</u>
STAR Exemption Cap/Mandatory Income Verification	(74)	(122)	(167)	(209)
Child Care Title XX	(20)	(20)	(20)	(20)
Bundy Aid Reduction	(16)	(27)	(27)	(27)
GPHW Reimbursement Reduction	(11)	(22)	(22)	(22)
Raise the Age Modification	0	19	(71)	(184)
SUNY Hospital Subsidy	(9)	(9)	(9)	(9)
Retiree Health Insurance	(9)	(32)	(39)	(51)
Modify Interest on Court of Claims Judgements	(6)	(6)	(6)	(6)
Other Restorations/Modifications/Rejected Initiatives	(12)	(27)	31	30
TAX LAW/REVENUE CHANGES	(171)	(271)	(1,142)	(3,557)
<u>Not Accepted:</u>	<u>(170)</u>	<u>(270)</u>	<u>(266)</u>	<u>(264)</u>
Marketplace Sales Tax Collection	(64)	(128)	(128)	(128)
Reform Taxation of Cigars	(12)	(23)	(23)	(23)
DMV REAL ID and Title Fee Increases	(81)	(97)	(98)	(97)
All Other	(13)	(22)	(17)	(16)
<u>Modified/New:</u>	<u>(1)</u>	<u>(1)</u>	<u>(876)</u>	<u>(3,293)</u>
PIT Top Bracket Extension	0	0	(810)	(3,127)
High Income Charitable Deduction	0	0	0	(70)
Food Donation Tax Credit	0	0	(10)	(10)
Union Dues Tax Deduction	0	0	(35)	(35)
All Other	(1)	(1)	(21)	(51)
NEW COSTS	(1,534)	(1,395)	(1,290)	(1,339)
Tax Receipt Revisions	(1,500)	(1,336)	(1,232)	(1,271)
Fringe Benefits	(34)	(59)	(58)	(68)
NEW SAVINGS AND RESOURCES	2,252	38	15	154
Use of Monetary Settlement Funds	461	0	0	0
FY 2017 Prepayments/Advances	210	0	0	0
Accumulated Transfers From Other Funds	264	0	0	0
Capital Transfers (Excluding Monetary Settlements)	545	6	(5)	(1)
Local Assistance	405	59	69	143
Agency Operations	194	(48)	(73)	(86)
Debt Service	39	(10)	23	76
All Other Resources/Transfers	134	31	1	22
NET SAVINGS/(COSTS)¹	0	(2,248)	(3,192)	(5,731)

¹ Before projected savings achieved by limiting future annual growth to 2 percent.

Cash Flow

State Finance Law authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2018 to make all planned payments as they become due without having to temporarily borrow from STIP. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

ALL FUNDS MONTH-END CASH BALANCES			
FY 2018			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April	7,405	4,111	11,516
May	2,216	3,667	5,883
June	2,466	4,042	6,508
July	3,359	4,972	8,331
August	2,862	4,746	7,608
September	5,825	2,450	8,275
October	5,572	3,047	8,619
November	3,885	2,216	6,101
December	7,513	2,111	9,624
January	9,630	3,435	13,065
February	9,442	3,319	12,761
March	6,384	3,049	9,433

Monetary Settlements

DOB estimates that from FY 2015 through FY 2017, the State will have received a total of \$9.9 billion in monetary settlements for violations of New York State laws. Since the release of the Executive Budget, DOB has increased the estimate of payments expected from monetary settlements by \$33 million, pursuant to a pending settlement from Volkswagen. (See “Financial Plan Tables and Accompanying Notes, Note 15: List of Settlements Received” herein.)

The following table lists the settlements by firm and amount.

SUMMARY OF RECEIPTS OF SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)					
	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>Total</u>
Monetary Settlements	4,942	3,605	1,317	33	9,897
BNP Paribas	<u>2,243</u>	<u>1,348</u>	<u>0</u>	<u>0</u>	<u>3,591</u>
Department of Financial Services (DFS)	2,243	0	0	0	2,243
Asset Forfeiture (DANY)	0	1,348	0	0	1,348
Deutsche Bank	0	800	444	0	1,244
Credit Suisse AG	715	30	0	0	745
Commerzbank	610	82	0	0	692
Barclays	0	670	0	0	670
Credit Agricole	0	459	0	0	459
Bank of Tokyo Mitsubishi	315	0	0	0	315
Bank of America	300	0	0	0	300
Standard Chartered Bank	300	0	0	0	300
Goldman Sachs	0	50	190	0	240
Morgan Stanley	0	150	0	0	150
Bank Leumi	130	0	0	0	130
Ocwen Financial	100	0	0	0	100
Citigroup (State Share)	92	0	0	0	92
MetLife Parties	50	0	0	0	50
American International Group, Inc.	35	0	0	0	35
PricewaterhouseCoopers LLP	25	0	0	0	25
AXA Equitable Life Insurance Company	20	0	0	0	20
Promontory	0	15	0	0	15
New Day	0	1	0	0	1
Volkswagen	0	0	32	33	65
Mega Bank	0	0	180	0	180
Agricultural Bank of China	0	0	215	0	215
PHH Mortgage	0	0	28	0	28
Intesa SanPaolo	0	0	235	0	235
Other Settlements	7	0	(7)	0	0

Uses of Monetary Settlements

Consistent with the Executive's intention to use the majority of extraordinary monetary settlements to fund capital investments and nonrecurring expenditures, the Enacted Budget authorizes the transfer/use of \$5.4 billion in remaining monetary settlements over a five-year period, in addition to \$4.5 billion used as of the close of FY 2017.

Since FY 2015, DOB estimates the State has, or expects to receive, nearly \$10 billion in monetary settlements for violations of State laws. A total of \$7.7 billion is expected to finance various purposes from capital appropriations, including operating activities associated with the maintenance, protection, preservation, and operation of capital assets. Another \$2.2 billion is or will be used for, other purposes, including resolution of Federal OPWDD disallowances in FY 2016; funding for retroactive labor costs, General Fund operations, and costs of the Department of Law's Litigation Services Bureau.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)									
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	Total
Opening Settlement Balance in General Fund	0	4,667	6,300	5,335	3,870	2,208	1,121	468	0
Receipt of Settlement Payment	4,942	3,605	1,317	33	0	0	0	0	9,897
Use/Transfer of Funds	275	1,972	2,282	1,498	1,662	1,087	653	468	9,897
Capital Purposes:									
Transfer to DIIIF	0	857	697	1,402	1,767	1,217	933	438	7,311
Transfer to Environmental Protection Fund	0	0	120	0	0	0	0	0	120
Transfer to Capital Projects Fund - Mass Transit	0	0	0	85	0	0	0	0	85
Transfer to Capital Projects Fund - Healthcare	0	0	0	25	45	50	50	30	200
Transfer to DIIIF for Javits Center Expansion	0	0	0	160	350	320	170	0	1,000
Bond Proceed Receipts for Javits Center Expansion	0	0	0	0	0	(500)	(500)	0	(1,000)
FY 2017 Temporary Loan to Capital Projects Fund	0	0	1,300	(1,300)	0	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	0	0	500	(500)	0	0	0	0
Other Purposes:									
Transfer to Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	0	850
Financial Plan - General Fund Operating Purposes	275	250	102	461	0	0	0	0	1,088
Transfer to Local Assistance Account - Mass Transit Operating	0	0	0	10	0	0	0	0	10
Department of Law - Litigation Services Operations	0	10	63	0	0	0	0	0	73
Transfer to OASAS Chemical Dependence Program	0	5	0	0	0	0	0	0	5
Reservation of Funds:									
Reserve for Retroactive Labor Settlements	0	0	0	155	0	0	0	0	155
Closing Settlement Balance in General Fund	4,667	6,300	5,335	3,870	2,208	1,121	468	0	0

The Enacted Budget Financial Plan reflects the allocation of an additional \$1.8 billion in unbudgeted monetary settlements to support the following measures:

- **Buffalo Billion Phase II (\$400 million):** The Enacted Budget Financial Plan reflects an additional investment of \$400 million from monetary settlement funds to support the second phase of the Buffalo Billion Initiative, which totals \$500 million.
- **Life Sciences (\$320 million):** The Enacted Budget Financial Plan reflects the commitment of \$320 million from monetary settlement funds to support the State's multi-year \$620 million Life Sciences Initiative. The State will provide \$220 million to support state-of-the-art laboratory space, equipment, and technology. Furthermore, \$100 million will be provided in investment capital for early stage life science firms, which is expected to be matched by private sector partners.
- **Health Care Capital Grants (\$200 million):** The Budget includes a \$500 million increase to the health care facility transformation program, of which \$200 million will be funded from monetary settlements.
- **Security and Emergency Response Preparedness (\$100 million):** The Enacted Budget Financial Plan reflects the commitment of \$100 million over the next two years to continue counter-terrorism efforts in New York City including increased security and anti-terror exercises at nine MTA-operated bridges and tunnels and to sustain the increased deployment of National Guard at transportation hubs that began in September 2014.
- **Downtown Revitalization (\$100 million):** The Enacted Budget Financial Plan reflects an additional \$100 million for the Downtown Revitalization Initiative to fund housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns. The existing program provides \$100 million to ten communities currently experiencing population loss and/or economic decline.
- **MTA Capital Plan (\$65 million):** The Enacted Budget Financial Plan reflects the commitment of an additional \$65 million to the MTA's 2015-2019 Capital Program. These new resources must be paid to the Authority before December 31, 2018.
- **Non MTA Transit (\$30 million):** The Enacted Budget Financial Plan invests an additional \$20 million in funds from monetary settlements toward DOT's mass transit capital program. Funds will be directed by the Department toward upstate and downstate public transportation systems other than the MTA to defray the costs of capital projects or acquisitions. The Enacted Budget also provides \$10 million for operating costs related to non-MTA Mass Transit purposes.

- **First-Year Costs of Potential Labor Agreements (\$155 million):** The Enacted Budget Financial Plan reserves \$155 million in monetary settlements to fund the year one costs of potential labor agreements with the remaining unionized employees, patterned on the PEF contract.
- **General Fund Operations (\$461 million):** The Enacted Budget Financial Plan reflects the use of monetary settlements, including \$33 million expected in June pursuant to a pending settlement with Volkswagen, that were not appropriated in the Enacted Budget to cover a portion of the receipts shortfall.



Other Matters Affecting the State Financial Plan

General

The State's Enacted Budget Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Enacted Budget Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecasted. In addition, projections in future years are based on the assumption that annual growth in State Operating Funds spending is limited to 2 percent, and that all savings resulting from the 2 percent limit will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across State fiscal years, assisting in the adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration, and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, and adhere to the limit of the State's 2 percent spending benchmark.

The Enacted Budget Financial Plan is based on numerous assumptions, including the condition of the State and national economies, and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks that can affect the economic and receipts forecasts include: national and international events; ongoing financial instability in the Eurozone; changes in consumer confidence, oil supplies and oil prices; cybersecurity attacks, major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt on consumer spending and State tax collections; and the outcomes of litigation and other claims affecting the State.

The Enacted Budget Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Enacted Budget Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Enacted Budget Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which

depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Enacted Budget Financial Plan projections for the outyears generally assume School Aid and Medicaid disbursements will be limited to the annual growth in NYS personal income, and the ten-year average growth of the medical component of the CPI, respectively. However, in SY 2019 School Aid is projected to increase by 4.3 percent, a level \$100 million higher than the estimated 3.9 percent growth in personal income. In addition, since FY 2014, the State has annually authorized spending for School Aid to increase above the personal income growth index; in FY 2018, the Enacted Budget Financial Plan reflects a 4.2 percent School Aid increase, compared to the 3.9 percent growth in the index.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the Enacted Budget Financial Plan. Over the past six years, DOH State Funds Medicaid spending levels have remained at or below indexed levels without requiring the Commissioner to exercise this authority. However, Medicaid program spending is sensitive to a number of factors including fluctuations in economic conditions, which may increase caseload. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. However, these actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds and, therefore, General Fund spending remains sensitive to revenue performance in the State's HCRA fund (which finances approximately one-quarter of the DOH State-share costs of Medicaid).

The Enacted Budget Financial Plan forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of miscellaneous revenues at the levels expected in the Enacted Budget Financial Plan, and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Enacted Budget Financial Plan in current or future years.

The Enacted Budget Financial Plan also includes actions that affect the spending reported in State Operating Funds basis of reporting, including (i) the realignment of certain operating costs to the capital budget to provide consistency in reporting across all agencies and a more accurate accounting of the overall capital budget; (ii) the payment of certain operating costs using available resources in accounts outside of the State Operating Funds basis of reporting; and (iii) the restructuring of the STAR program such that the spending for certain benefits is instead provided in the form of a tax credit for consistency with how other State tax credits are reported. If these and other transactions are not implemented as planned, this could add upward pressure to the reported level of annual spending growth in State Operating Funds.

In developing the Enacted Budget Financial Plan, DOB attempts to mitigate the financial risks from receipts volatility, litigation, and other unexpected costs, with a particular emphasis on the General Fund. It does this by, among other things, exercising caution when calculating total General Fund disbursements, and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a given year, acceleration of tax refunds above the level budgeted in a given year, and pre-payment of expenses). There can be no assurance that such resources will be sufficient to address risks that may materialize in a given fiscal year.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to respond to, and recover from, severe weather events and other disasters. Many of the policies that drive this Federal aid are subject to change under the current presidential administration and Congress. Current financial projections concerning Federal aid, and the assumptions on which they rely, are subject to revision in future Financial Plan updates as a result of changes in Federal policy.

The Federal government may enact budgetary changes or take other actions that adversely affect State finances. State legislation approved with the Enacted Budget sets forth a process by which the State would manage significant reductions in Federal aid during FY 2018 should they arise. Specifically, the legislation directs the Budget Director to prepare a corrective action plan for consideration by the Legislature in the event that (a) Federal aid for Medicaid is reduced by \$850 million or more or (b) Federal aid for all other programs is reduced by \$850 million or more. Each limit is triggered separately and is not additive. The plan prepared by the Budget Director must uniformly reduce appropriations and cash disbursements in the General Fund and State special revenue funds. Upon receipt of the plan, the Legislature has 90 days to adopt a corrective action plan by concurrent resolution, or the plan submitted by the Budget Director takes effect automatically.

In addition to the potential fiscal impact of policies that may be adopted by the Federal government, the Enacted Budget Financial Plan may also be adversely affected by other Federal government actions, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules.

Current issues of particular concern are described below.

Maintaining Current Federal Aid

The Administration has proposed significant cuts to domestic programs in Federal FY 2018, and numerous mandatory programs such as the Children's Health Insurance Program are set to expire in Federal FY 2017. If the Administration's proposed cuts are adopted or the mandatory programs set to expire in Federal FY 2017 are not continued, it could lead to a reduction of billions of dollars to the State.

Federal Health Care Policy

Passage of H.R. 1628, the American Health Care Act, in the House of Representatives, puts at risk a significant amount of Federal Aid for health care. Major components of the bill include ending the Basic Health Plan, the Patient Protection and Affordable Care Act's (ACA's) Medicaid expansion, and shifting a larger share of the growth in Medicaid costs to the states by imposing per capita caps on Medicaid spending in lieu of Medicaid's current open-ended entitlement. If adopted, these policies would have a substantial adverse impact on the Enacted Budget Financial Plan.

The bill now moves to the Senate, where it appears unlikely to pass in its current form. DOB will continue to monitor the legislation.

MRT Medicaid Waiver

The Federal Centers for Medicare & Medicaid Services (CMS) and the State have reached an agreement authorizing up to \$8 billion in new Federal funding, over several years, to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the ACA, New York State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS, and to refine the eligibility data systems to draw the appropriate amount of enhanced FMAP. This reconciliation may result in a modification of payments to the State and local governments.

Federal Debt Ceiling

In October 2013, an impasse in Congress caused a temporary Federal government shutdown and raised concern for a time that the Federal debt ceiling would not be raised in a timely manner. Including the temporary suspension of the debt limit that ended that standoff in 2013, Congress has passed three suspensions of the debt limit since then, the most recent extension having expired in March of 2017. Since then, the Treasury has operated under "extraordinary measures" to finance Federal outlays without further borrowing. The Congressional Budget Office estimates that these cash management measures can forestall default until the fall of 2017.

A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and State economies, financial markets, and intergovernmental aid payments. The specific effects on the Enacted Budget Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

Current Labor Negotiations (Current Contract Period)

Legislation has been enacted to implement a three year collective bargaining agreement providing 2 percent annual increases (FY 2017, FY 2018, and FY 2019) for employees represented by PEF and comparable increases for M/C employees. The agreement with PEF follows the one-year retroactive labor agreement authorizing payment of a 2 percent general salary increase to members for the period April 1, 2015 through March 31, 2016. The Graduate Student Employees Union (GSEU) have agreed to a similar three-year deal. The GSEU membership voted to ratify on March 3, 2017.

The New York State Police Investigators Association (NYSPIA) achieved a multi-year collective bargaining agreement patterned after the State's 2015 legislative session deals with the State Police Troopers and Commissioned- and Non-Commissioned Officers. The enacted NYSPIA pay bill provides the same schedule of general salary increases provided to the Police Benevolent Association of the New York State Troopers (NYSPBA) members; specifically, a 2 percent general salary increase for each of FY 2015 and FY 2016, in their entirety, and a 1.5 percent general salary increase for each of FY 2017 and FY 2018, respectively.

Most recently, the NYSCOPBA membership voted not to ratify a tentative agreement on a five-year labor contract through FY 2021, which would have provided for annual 2 percent general salary increases through FY 2021, and differentials typically received within the law enforcement community (e.g., Hazardous Duty Pay), the costs of which were offset by benefit design changes

within the New York State Health Insurance Program (NYSHIP) and reductions in overtime costs. The State will continue negotiations with NYSCOPBA.

The State is in active negotiations with all other employee unions whose contracts concluded in FY 2016, including CSEA, United University Professions (UUP), Council 82, and District Council 37 (DC-37 Housing). Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose contract expired at the end of FY 2015.

On June 27, 2016, the CUNY Board of Trustees approved collective bargaining agreements between CUNY and unions representing almost all of the University's faculty and staff. For CUNY senior colleges, these agreements are estimated to cost approximately \$250 million for retroactive payments and \$150 million in ongoing annual costs. At the request of CUNY, the State expects to advance its planned payment of approximately \$250 million State support for CUNY senior colleges from October 2017 to June 2017, to make resources available for retroactive payments in the academic year ending June 2017.

Pension Amortization

Under legislation enacted in August 2010, the State and local governments may amortize a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when the amortized amount is repaid with interest.

The State and local governments are required to begin repayment on each new amortization in the fiscal year immediately following the year in which the amortization was initiated. The full amount of each amortization must be repaid within ten years at a fixed interest rate determined by OSC. Legislation included in the FY 2017 Enacted Budget authorizes the State to prepay a portion of remaining principal associated with an amortization, and then pay a lower re-calculated interest installment in any subsequent year for which the principal has been prepaid. This option does not allow the State to delay the original ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

The portion of an employer's annual pension costs that may be amortized is determined by comparing the employer's amortization-eligible contributions as a percentage of employee salaries (i.e. the normal rate¹²) to a system-wide amortization threshold (i.e. the graded rate). Graded rates are determined for the Employees' Retirement System (ERS) and the Police and Fire Retirement System (PFRS) according to a formula enacted in the 2010 legislation and generally move toward their system's average normal rate by up to one percentage point per year. When an employer's normal rate is greater than the system-wide graded rate, the employer can elect to amortize the difference. However, when the normal rate of an employer that previously amortized is less than the system-wide graded rate, the employer is required to pay the graded rate.

¹² For the purpose of this discussion, the "normal rate" refers to all amortization-eligible costs (i.e. normal and administrative costs, as well as certain employer-provided options such as sick leave credit) divided by salary base.

Additional contributions are first used to pay off existing amortizations and are then deposited into a reserve account to offset future increases in contribution rates.

The amortization threshold is projected to approximate the normal rate in upcoming fiscal years. Therefore, the Financial Plan no longer assumes amortization of State pension costs (including the Office of Court Administration) beyond FY 2016.

The following table reflects projected pension contributions and amortizations exclusively for Executive branch and Judiciary employers participating in ERS and PFRS. The “Normal Costs” column shows the State’s underlying pension cost in each fiscal year, before the effects of amortization as authorized in 2010. The “(Amortized) / Excess Contributions” column shows amounts amortized. The “Amortization Payments” column provides the amount paid in principal and interest towards the outstanding balance on prior-year amortizations. The “Total” column provides the State’s actual or planned pension contribution, inclusive of amortization. The “Interest Rate” column provides the interest rate at which the State will repay the amortized contribution, as determined by OSC. The remaining columns provide information on the normal rate and graded rate, which are used to determine the maximum allowed “(Amortized)” amount or the mandatory “Excess Contributions” amount for a given fiscal year.

Other Matters Affecting the State Financial Plan



EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS (millions of dollars)

Fiscal Year	Statewide Pension Payments ¹				Interest Rate on Amortization Amount (%) ⁽³⁾	Rates for Determining (Amortization Amount) / Excess Contributions				
	Normal Costs ²	(Amortized) / Excess Contributions	Amortization Payments	Total Statewide Pension Payments		System Average Normal Rate ⁴		Amortization Threshold (Graded Rate)		
						ERS (%)	PFRS (%)	ERS (%)	PFRS (%)	
2011	1,543.2	(249.6)	0.0	1,293.6	5.00	11.5	18.1	9.5	17.5	
2012	2,037.6	(562.9)	32.3	1,507.0	3.75	15.9	21.6	10.5	18.5	
2013	2,076.1	(778.5)	100.8	1,398.4	3.00	18.5	25.7	11.5	19.5	
2014	2,633.8	(937.0)	192.0	1,888.8	3.67	20.5	28.9	12.5	20.5	
2015	2,325.7	(713.1)	305.7	1,918.3	3.15	19.7	27.5	13.5	21.5	
2016	1,972.1	(356.1)	389.9	2,005.9	3.21	17.8	24.7	14.5	22.5	
2017	1,788.6	0.0	432.1	2,220.7	2.33	15.0	24.3	15.1	23.5	
2018 Est.	1,881.0	0.0	432.1	2,313.1		14.9	24.3	14.9	24.3	
-----Projected by DOB ⁵ -----										
2019	1,982.6	0.0	432.1	2,414.7		15.6	25.3	15.6	25.3	
2020	2,093.0	0.0	432.1	2,525.1		16.6	26.3	16.6	26.3	
2021	2,316.7	0.0	432.1	2,748.8		17.6	27.3	17.6	27.3	
2022	2,530.6	0.0	399.8	2,930.4		18.6	28.3	18.6	28.3	
2023	2,556.6	0.0	331.3	2,887.9		18.7	29.3	18.7	29.3	
2024	2,582.7	0.0	240.1	2,822.8		18.5	29.1	18.5	29.1	
2025	2,609.0	0.0	126.4	2,735.4		18.3	28.7	18.3	28.7	
2026	2,635.0	0.0	42.2	2,677.2		18.1	28.3	18.1	28.3	

¹ Pension contribution values in this table do not include pension costs related to the ORP, VDC, and TRS for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

³ Interest rates are determined by the Comptroller based on the market rate of return on comparable taxed fixed income investments (e.g., Ten-Year Treasuries). The interest rate is fixed for the duration of the ten-year repayment period.

⁴ The system average normal rate represents system-wide amortization-eligible costs (i.e. normal and administrative costs, as well as the cost of certain employer options) as a percentage of the system's total salary base. The normal rate does not include the following costs, which are not eligible for amortization: Group Life Insurance Program (GLIP) contributions, deficiency contributions, previous amortizations, incentive costs, administrative costs, costs of new legislation in some cases, and prior-year adjustments. "(Amortized) / Excess Contributions" are calculated for each employer in the system using employer-specific normal rates, which may differ from the system average.

⁵ Outyear projections are prepared by DOB. The retirement system does not prepare, or make available, outyear projections of pension costs.

Pension Contributions

Overview

The State makes annual contributions to the New York State and Local Retirement System (NYSLRS) for employees in ERS and PFRS. This section discusses contributions from the State, including the Judiciary, to the NYSLRS, which account for the majority of the State's pension costs.¹³ All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the System announced that employer contribution rates would decrease for FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed – for ERS the scale was reduced from 4.8 percent to 3.8 percent and for PFRS the scale was reduced from 5.4 percent to 4.5 percent.

FY 2018 Projections

The State's FY 2018 ERS/PFRS pension estimate of \$2.3 billion is based on the most recent bill prepared by OSC as of February 2017. The estimate includes payment of \$432 million towards the balance outstanding on prior-year deferrals (i.e. amortizations) and additional interest savings from paying the majority of the pension bill in April 2017.

The preliminary FY 2018 ERS/PFRS pension estimate is impacted by FY 2016 investment returns of 0.2 percent, which was below the Comptroller's assumed rate of return (7 percent). However, the past year's underperformance is expected to be offset by stronger investment returns in the previous four years and growth in the number of lower cost Tier 6 members. As a result, the average contribution rate for ERS will decrease slightly from 15.5 percent of payroll to 15.3, while the average contribution rate for PFRS will increase slightly from 24.3 percent of payroll to 24.4 percent.¹⁴

Pension estimates also reflect changes to military service credit provisions enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016). All veterans who are members of NYSLRS may receive extra pension credit for up to three years of military service if they were honorably discharged, have achieved five years of service in a public retirement system, and agree to pay the employee share of such additional pension credit. Costs to the State for employees in ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed

¹³ The State's aggregate pension costs also include costs for State employees in the Teachers' Retirement System (TRS) for both the State University of New York (SUNY) and the State Education Department (SED), the Optional Retirement Program (ORP) for both SUNY and SED, and the New York State Voluntary Defined Contribution Plan (VDC).

¹⁴ Average contribution rates include the Group Life Insurance Program (GLIP), and thus differ from the system average normal rates reported in the previous table.

across system employers and billed on a two-year lag (e.g. FY 2017 costs will first be billed in FY 2019). Additionally, under Section 25 of Retirement and Social Security Law (RSSL), the State is required to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the cost of past service credits newly incurred in a given fiscal year; however, the State does not anticipate choosing this option as there would be an interest rate of 7 percent applied to this amortization. The cost to the State for ERS (including the costs covered for local ERS) was \$77 million in FY 2017 based on actual credit purchased through December 31, 2016. DOB currently estimates ERS costs of \$100 million in FY 2018; \$79 million in FY 2019; and \$49 million in FY 2020. Additionally, the State expects ongoing costs of \$7 million beginning in FY 2021 as new cohorts of veterans become eligible to purchase the credit.

Outyear Projections

Pension estimates for FY 2019 and beyond, as projected by DOB, reflect growth in normal costs primarily based on the expectation that collective bargaining will result in continued salary increases and that investment returns will be below the actuarially assumed 7 percent rate of return in the near-to-mid-term.

OPEB

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State and are enrolled in NYSHIP, or are enrolled in the NYSHIP opt-out program, at the time they reach retirement and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the Governmental Accounting Standards Board (GASB) Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2016, the State's Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2016, the unfunded actuarial accrued liability for FY 2016 is \$77.9 billion (\$63.426 billion for the State and \$14.427 billion for SUNY), an increase of \$494 million from FY 2015 (attributable entirely to SUNY). The unfunded actuarial accrued liability for FY 2016 used an actuarial valuation of OPEB liabilities as of April 1, 2014 for the State and for SUNY. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. A significant portion of the annual growth in the State's

unfunded actuarial accrued liability has been driven by the adoption of new generational mortality projection tables developed by the Society of Actuaries. The new tables reflect an improvement in life expectancy in future years resulting in increases to accrued liabilities and the present value of projected benefits. A portion of the annual growth has also been driven by expected increases in NYSHIP costs due to health care cost trends and utilization increases.

The actuarially determined annual OPEB cost for FY 2016 totaled \$4.2 billion (\$3.246 billion for the State and \$926 million for SUNY), an increase of \$1.2 billion from FY 2015 (\$959 million for the State and \$207 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$2.6 billion (\$1.905 billion for the State and \$662 million for SUNY) greater than the cash payments for retiree costs made by the State in FY 2016. This difference between the State's PAYGO costs, and the actuarially determined ARC under GASB Statement 45, reduced the State's net asset condition at the end of FY 2016 by \$2.6 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the Enacted Budget Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Enacted Budget Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The State is also currently examining GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, and is expected to be incorporated into the State's FY 2019 financial statements. The GASB Statement 75 will alter the actuarial methods used to calculate OPEB liabilities, standardize asset smoothing and discount rates, and require the unfunded net OPEB obligation to be reported by the State. The inclusions of the remaining balance of the unfunded OPEB liability is expected to significantly increase the State's total long-term liabilities and act to lower the State's overall net position.

GASB Statement 75 is not expected to alter the Enacted Budget Financial Plan PAYGO projections for health insurance, as the DOB methodology for forecasting these costs over a multi-year period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the GASB Statement. The Enacted Budget includes legislation to establish a Retiree Health Benefit Trust Fund for the purpose of funding health benefits of retired State employees and their dependents.

Retiree Health Benefit Trust

The Budget includes legislation to create a Retiree Health Benefit Trust Fund (the “Trust Fund”) that provides a mechanism for the State to reserve money for the payment of health benefits of retired employees and their dependents. Under the legislation, the State may deposit up to 0.5 percent of total OPEB liability (currently \$63.4 billion for the State and \$14.4 billion for SUNY). The Current Financial Plan does not include any deposits to the Trust Fund.

Litigation

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions resulting from various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Enacted Budget Financial Plan.

Storm Recovery

New York State continues to recover from the damage sustained during three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties. In September 2011, Tropical Storm Lee caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response, recovery, and future mitigation efforts continue, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding, and mitigation activity nationwide. It is anticipated that New York State, MTA, and New York State localities may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across New York State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed for adapting existing infrastructure to climate change risks.

Cybersecurity

New York State government, like many other large public and private entities, relies on a large and complex technology environment to conduct its operations. As a recipient and provider of personal, private, or sensitive information, the State and its public corporations and municipalities face multiple cyber threats including, but not limited to, hacking attacks, viruses and similar malware attacks on computer and other sensitive systems. Entities or individuals may attempt to gain unauthorized access to the State's digital systems for the purposes of misappropriating assets or information or causing operational disruption and damage. To mitigate the risk of damage from cyber incidents or cyber-attacks, the State invests in multiple forms of cybersecurity and operational controls. However, no assurances can be given that such security and operational control measures will be completely successful to guard against cyber threats and attacks. The results of any such attack could damage State digital networks and systems and the costs of remedying any such damage could be substantial.

Financial Condition of New York State Localities

The financial demands on State aid may be affected by the fiscal conditions of New York City and other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews, and providing grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

Bond Market

Implementation of the Enacted Budget Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other factors. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt.

Debt Reform Act Limit

The Debt Reform Act of 2000 ("Debt Reform Act") restricts the issuance of State-supported debt to capital purposes only, and for a maximum term of 30 years. The Debt Reform Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001, and was fully phased in at 4 percent of personal income during FY 2011. The cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001, and was fully phased in at 5 percent during FY 2014. DOB, as administrator of the Act, determined that the State was in compliance with the statutory caps in the most recent calculation period (FY 2016).

Current projections anticipate that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$6.2 billion in FY 2017 to about \$82 million in FY 2021. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. In addition, the projected room under the debt cap is dependent on expected growth for State personal income. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue credit (which are not backed by a general obligation pledge of SUNY) are not included in the State's calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Year	Personal Income	Cap %	Cap \$	Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity	Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
FY 2017	1,195,263	4.00%	47,811	41,623	6,188	3.48%	0.52%	7,999	49,622
FY 2018	1,258,906	4.00%	50,356	45,186	5,170	3.59%	0.41%	6,785	51,972
FY 2019	1,312,774	4.00%	52,511	50,374	2,137	3.84%	0.16%	5,760	56,133
FY 2020	1,372,947	4.00%	54,918	54,374	544	3.96%	0.04%	4,888	59,263
FY 2021	1,435,631	4.00%	57,425	57,343	82	3.99%	0.01%	3,415	60,758
FY 2022	1,500,293	4.00%	60,012	59,522	490	3.97%	0.03%	2,785	62,307

DEBT SERVICE SUBJECT TO CAP (millions of dollars)								TOTAL STATE-SUPPORTED DEBT SERVICE (millions of dollars)	
Year	All Funds Receipts	Cap %	Cap \$	Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity	Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
FY 2017	156,372	5.00%	7,819	4,279	3,540	2.74%	2.26%	1,206	5,484
FY 2018	161,076	5.00%	8,054	4,572	3,482	2.84%	2.16%	733	5,304
FY 2019	165,389	5.00%	8,269	5,164	3,106	3.12%	1.88%	1,308	6,471
FY 2020	168,650	5.00%	8,433	5,781	2,651	3.43%	1.57%	1,324	7,105
FY 2021	170,599	5.00%	8,530	6,208	2,322	3.64%	1.36%	1,166	7,373
FY 2022	170,770	5.00%	8,538	6,524	2,015	3.82%	1.18%	739	7,263

The State's available debt capacity under its statutory debt cap reflects the impact of several factors in the Enacted Budget. These include a reduction to the personal income forecast, additional capital commitments approved in the Enacted Budget, and revised estimates for bond-financed capital spending, including potential underspending projected to occur as a result of normal timing related to the delivery of capital projects. Debt capacity amounts continue to assume that SUNY Dormitory Facilities lease revenue bonds will be refunded into the new SUNY Dormitory Facilities Revenue Bond credit within one year of their call dates, and are adjusted to reflect refunding results to date. The impact on the debt cap is shown in the following chart.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY (millions of dollars)						
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
FY 2018 Executive Budget Financial Plan	6,340	4,121	2,273	865	443	1,217
Personal Income Forecast Adjustment	(172)	(66)	(101)	(125)	(126)	(142)
Enacted Capital Reestimates	20	1,212	436	498	683	493
Enacted Capital Adds	0	(296)	(634)	(810)	(960)	(1,078)
SUNY Dorms Refunding Adjustment	0	199	163	116	42	0
FY 2018 Enacted Budget Financial Plan	6,188	5,170	2,137	544	82	490

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. Revenues pledged to pay debt service on the bonds include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2017, there were approximately \$220 million of bonds outstanding for this program.

Three of the four remaining hospitals in the State's Secured Hospital Program are in poor financial condition. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014. Since then the State has paid \$85 million for debt service costs. DASNY also estimates the State will pay debt service costs of approximately \$14 million in FY 2018, \$28 million annually in FY 2019 through FY 2021, and \$22 million in FY 2022. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for one hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, a second hospital which closed in 2010, and a third hospital that is currently delinquent in its payments. The State has estimated additional exposure of up to \$9 million annually, if all hospitals in the Program failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation ("Holdings"), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds ("PIT Bonds"), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property. The structure of the settlement also increased the likelihood that sufficient proceeds from the transaction would be available to support defeasance of the PIT Bonds by setting a minimum purchase price.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with the Fortis Property Group (FPG) Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and with NYU Hospitals Center, which will provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015, and on September 3, 2015 sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds, which were paid and legally defeased from such proceeds. Title to 17 of the 20 properties was conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing), is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The external demolition of the buildings had been the subject of a court-ordered restraint that was removed as of October 29, 2015. In its efforts to complete the demolitions and environmental remediation, the Purchaser has continued to deal with challenges raised by adjoining property owners and community groups. These challenges have delayed, and may continue to delay, demolition and environmental remediation.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other things, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the healthcare services expected to be provided by NYU Hospitals Center.

To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement. As an alternative to termination, in light of the delays, each of Holdings and NYU Hospitals Center has the contractual right at any time to take over and complete the demolition and environmental remediation at the Purchaser's sole cost and expense. If Holdings elects to take over the demolition and environmental remediation, it may do so directly or through a designee (i.e., a contractor).

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, title to the two remaining portions of the LICH properties will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



State Financial Plan Projections Fiscal Years 2018 Through 2021

Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements for FY 2018 through FY 2021, with an emphasis on FY 2018 projections, which reflect the impact of the Enacted Budget Financial Plan.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to reduce the distortions caused by these factors and, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 38 percent of projected State-financed spending for State Operating Funds (excluding transfers) is accounted for outside of the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, transportation, and mental hygiene. To provide a clear picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of this Enacted Budget Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" is the most relevant from a planning perspective.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Summary

The Enacted Budget Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to a 2 percent spending growth benchmark.

The projections for FY 2019 and thereafter set forth in the Enacted Budget Financial Plan reflect savings that DOB estimates would be realized if the current Administration continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The calculations are developed using the State Operating Funds accounting perspective, as it is currently reflected in the Financial Plan. From time to time, the State has approved legislation that has affected the spending reflected in State Operating Funds.

Estimated savings are labeled on a distinct line in the Enacted Budget Financial Plan tables as “Adherence to 2 percent Spending Benchmark.” The total disbursements in the Enacted Budget Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2019, FY 2020, and/or FY 2021, the projected operating position would be worse.

The following tables present the Enacted Budget Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RECEIPTS					
Taxes (After Debt Service)	62,264	66,466	68,803	71,479	73,826
Miscellaneous Receipts/Federal Grants	3,813	2,152	2,128	2,135	2,058
Other Transfers	818	1,216	739	723	723
Total Receipts	66,895	69,834	71,670	74,337	76,607
DISBURSEMENTS					
Local Assistance Grants	44,439	47,069	50,112	53,101	55,745
School Aid	21,017	22,320	23,438	24,519	25,783
Medicaid/EP	12,447	13,361	14,163	15,226	16,039
All Other	10,975	11,388	12,511	13,356	13,923
State Operations	8,087	8,177	8,750	9,085	9,514
Personal Service	6,065	5,950	6,237	6,424	6,796
Non-Personal Service	2,022	2,227	2,513	2,661	2,718
General State Charges	5,462	5,789	6,328	6,792	7,357
Transfers to Other Funds	10,092	10,164	12,163	12,299	12,156
Debt Service	924	921	1,155	1,053	1,074
Capital Projects	2,569	2,627	4,068	3,899	3,479
State Share of Mental Hygiene Medicaid	1,239	1,301	1,231	1,119	1,119
SUNY Operations	996	1,015	1,005	1,001	1,001
All Other	4,364	4,300	4,704	5,227	5,483
Total Disbursements	68,080	71,199	77,353	81,277	84,772
Use (Reservation) of Fund Balance:	1,185	1,365	1,662	1,087	653
Community Projects	7	16	0	0	0
Labor Agreements	140	(130)	0	0	0
Undesignated Fund Balance	73	14	0	0	0
Monetary Settlements ¹	965	1,465	1,662	1,087	653
BUDGET SURPLUS/(GAP) PROJECTIONS²	0	0	(4,021)	(5,853)	(7,512)
Adherence to 2% Spending Benchmark³	n/a	n/a	3,230	5,855	7,955
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	(791)	2	443

¹ Reflect transfers of monetary settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund, the Environmental Protection Fund, and the Capital Projects Fund.

² Before actions to adhere to the 2 percent benchmark.

³ Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2018 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.

State Financial Plan Projections Fiscal Years 2018 Through 2021



State Operating Funds Projections

STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RECEIPTS					
Taxes	72,989	76,599	79,898	83,142	85,813
Miscellaneous Receipts/Federal Grants	21,830	19,429	18,979	18,885	18,575
Total Receipts	94,819	96,028	98,877	102,027	104,388
DISBURSEMENTS					
Local Assistance Grants	64,369	66,058	68,990	72,041	74,742
School Aid (School Year Basis)	24,689	25,727	26,827	27,950	29,260
DOH Medicaid ¹	18,243	19,093	20,104	21,050	21,792
Tobacco Funding of Local Medicaid Takeover	0	(97)	(329)	(327)	(371)
Transportation	4,977	5,027	5,078	5,174	5,239
STAR	3,139	2,630	2,520	2,453	2,437
Higher Education	2,874	2,800	3,134	3,197	3,248
Social Services	2,935	2,968	3,056	3,203	3,276
Mental Hygiene	2,461	2,485	2,962	3,241	3,460
All Other ²	5,051	5,425	5,638	6,100	6,401
State Operations	18,680	18,692	19,175	19,577	20,119
Personal Service	13,093	12,910	13,179	13,454	13,925
Non-Personal Service	5,587	5,782	5,996	6,123	6,194
General State Charges	7,634	8,063	8,663	9,202	9,833
Pension Contribution	2,446	2,540	2,647	2,761	2,990
Health Insurance	3,708	3,983	4,260	4,551	4,860
All Other	1,480	1,540	1,756	1,890	1,983
Debt Service	5,514	5,319	6,499	7,134	7,402
Capital Projects	2	2	0	0	0
Total Disbursements	96,199	98,134	103,327	107,954	112,096
Net Other Financing Sources/(Uses)	364	353	(993)	(769)	(342)
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	1,016	1,753	1,422	843	538
General Fund	1,185	1,365	1,662	1,087	653
Special Revenue Funds	(185)	391	(237)	(239)	(110)
Debt Service Funds	16	(3)	(3)	(5)	(5)
GENERAL FUND BUDGET SURPLUS/(GAP)³	0	0	(4,021)	(5,853)	(7,512)
Adherence to 2% Spending Benchmark⁴	n/a	n/a	3,230	5,855	7,955
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	(791)	2	443

¹ Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The Essential Plan is not a Medicaid program; however, State-funded support is managed within total DOH Medicaid Global Cap resources. In addition, total state share Medicaid funding includes the utilization of tobacco MSA proceeds which will be directly deposited to the MMIS Escrow Fund to cover a portion of local Medicaid growth.

² All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School Aid.

³ Before actions to adhere to the 2 percent benchmark.

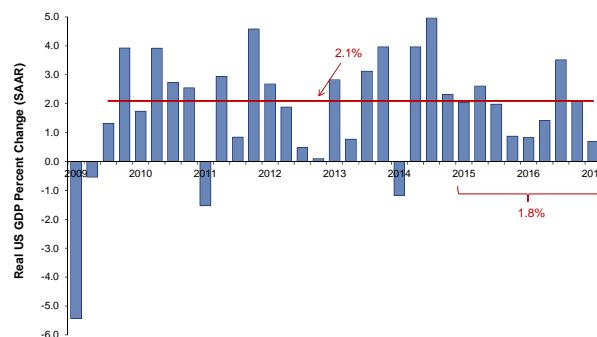
⁴ Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2018 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, projected budget gaps would be higher.

Economic Backdrop

The National Economy

For the fourth consecutive year, the U.S. economy posted another very weak first quarter, expanding 1.2 percent at an annual rate in the first quarter of 2017. Unusually warm winter temperatures reduced spending on electric and gas utilities and may have factored into a decline in apparel expenditures. A delay in the payout of a segment of federal tax refunds may have also played a part in constraining household spending, particularly among low income households who live paycheck to paycheck. It also looks increasingly likely that auto sales peaked in the fourth quarter of last year. Finally, residual seasonality recently cited by the U.S. Bureau of Economic Analysis as reducing growth in the first quarter may also have played a role. Some of these factors are expected to reverse in the second quarter, boosting real U.S. GDP growth closer to 3 percent, with improving business investment, single-family construction, and export growth expected to support national economic growth for the remainder of 2017. On balance, DOB’s outlook for this year is only marginally weaker than the updated Executive Budget forecast released in February. The national economy is estimated to grow 2.1 percent in 2017, signaling a return to its post-recession average following subpar growth of 1.6 percent in 2016.

US Economic Growth Remains Stuck in Low Gear



Source: Moody's Analytics.

The unusual weather during the first quarter also likely accounts in part for recent national labor market fluctuations. Two consecutive months of private sector job gains above 200,000 were followed by a gain of 89,000 in March and 194,000 in April. On average, the labor market added 174,000 jobs during the first four months of 2017, just 4,000 above the 2016 average. Assuming weather patterns normalize, private job gains are expected to decelerate as the expansion matures and labor market slack diminishes. Total nonagricultural employment growth of 1.5 percent is projected for 2017, virtually unchanged from the February forecast, but a significant deceleration from 1.8 percent growth in 2016.

Consistent with a tightening labor market, average wage growth is expected to post its strongest year since the end of the Great Recession. Wage growth of 4.6 percent is projected for 2017, a modest upward revision from the February forecast. In contrast, growth in some of the non-wage components of personal income, such as interest income, has been revised downward,

State Financial Plan Projections Fiscal Years 2018 Through 2021



consistent with weaker long term interest rates. On balance, overall personal income growth of 4.3 percent is estimated for 2017, down one tenth of a percentage point from the February forecast.

U.S. ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2016 (Actual)	2017 (Forecast)	2018 (Forecast)
Real U.S. Gross Domestic Product	1.6	2.1	2.4
Consumer Price Index (CPI)	1.3	2.4	2.3
Personal Income	3.6	4.3	4.6
Nonagricultural Employment	1.8	1.5	1.3
Source: Moody's Analytics; DOB staff estimates.			

Recent data indicate that both the European and Chinese economies are improving, helping to support strong corporate earnings growth in the first quarter, the strongest since 2011 based on preliminary data. Indeed, in a reversal of recent trends, the domestic U.S. economy likely underperformed the rest of the global economy during the first three months of the year. Consequently, DOB revised up its projection for real U.S. export growth for 2017 to 3.8 percent, representing a significant improvement from the 0.4 percent growth observed in 2016, but still weak by historical standards.

Stabilizing energy prices have helped to relieve some of the inflationary pressure that had been building coming out of 2016. Consequently, DOB expects consumer price inflation of 2.4 percent for 2017, two-tenths of a percentage point lower than the February forecast. Accordingly, DOB continues to expect only two short-term interest rate hikes in 2017. At the same time, longer term interest rates have retreated by more than 20 basis points as post-election hopes for accelerated policy-driven growth have receded. Although a flattening yield curve could restrain banking sector profits over the near-term, lower long-term interest rates support the continued recovery of the housing market, along with a long-awaited shift in preferences away from renting toward home ownership. Among newly formed households in the first quarter of 2017, the number of new homeowners exceeded the number of new renters for the first time since the fourth quarter of 2006. Real growth in residential investment has been revised up 0.3 percentage point to 5.6 percent for 2017.

DOB's baseline forecast continues to abstract from future fiscal policy changes at the federal level due to the substantial degree of uncertainty that exists at this time. However, the potential for major changes in federal tax and expenditure policy represents both upside and downside risks to this forecast. Policies that stimulate more public or private business investment than anticipated could result in stronger growth in both the near-term and the long-term, particularly if those investments raise productivity growth. If recent above-trend labor market gains are more representative of true underlying strength than assumed, employment and income growth could exceed the current forecast. A stronger housing market than projected could have a similar result.

On the negative side, policies that substantially widen the federal budget deficit without enhancing productivity growth could result in both accelerating inflation and higher interest rates, which, in turn, could result in weaker household and business investment spending than anticipated. In addition, policies resulting in heightened international tensions could result in less global growth and diminished demand for U.S. exports relative to current projections. Similarly, any development that serves to undermine the upward momentum of the European and Chinese economies could result in weaker growth in U.S. exports, corporate profits, and equity market prices. Finally, the response of both domestic and global financial markets to the unwinding of the Federal Reserve's unprecedentedly accommodative policies continues to pose risks, particularly in light of the uncertainty stemming from the fiscal policy side.

The New York State Economy

New York State private sector employment growth has continued to decelerate against a backdrop of weak national and global growth. After 10 consecutive quarters of growth above 2 percent, the rate of private job gains fell to 1.9 percent and 1.8 percent in the second and third quarters of 2016, respectively. Preliminary data suggest that fourth quarter growth slowed even further to 1.4 percent. As a result, private sector job growth has been revised down one tenth of a percentage point to 1.4 percent for 2017, following 1.8 percent growth for 2016.

Since the election, the anticipation of lower personal income tax rates at the Federal level has impacted both public debate and private taxpayer behavior, prompting a shifting of bonus payouts and other financial transactions out of 2016 and into the current year. Indeed, stronger than expected first quarter withholding implies that the extent of that shifting likely exceeded the February estimates, which in turn implies that the FY 2017 decline in finance and insurance sector bonuses was not as steep as that signaled by the weakness in withholding observed in the fourth quarter of 2016. As a result, finance and insurance sector bonus growth for FY 2017 has been revised up from a decline of 1.7 percent to a decline of 0.7 percent. However, projected growth in underlying non-bonus wage growth was revised down by 0.3 percentage point to a still healthy 4.3 percent, due to modestly slower labor market growth. On balance, total State wage growth for FY 2017 has been revised down to 3.6 percent.

State Financial Plan Projections Fiscal Years 2018 Through 2021



In contrast to the start of 2016, the first quarter of this year saw strong financial sector revenues. IPOs rebounded in force, consistent with robust equity market and debt growth, after a significant number of IPOs had been withdrawn during the final quarter of 2016. This strong start led to an upward revision to FY 2018 finance and insurance sector bonus growth from 3.0 percent to 4.4 percent, though the current estimate is still weak by historical standards. Stronger bonus growth is expected to be partially offset by a small downward revision to non-bonus wages, resulting in total State wage growth for FY 2018 of 4.3 percent, a modest upward revision to the February forecast.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior State fiscal year)			
	FY 2016 (Actual)	FY 2017 (Estimated)	FY 2018 (Forecast)
Personal Income	3.8	3.2	4.8
Wages	4.3	3.6	4.3
Nonagricultural Employment	1.9	1.4	1.2

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.

The volume of financial transactions that appears to have been deferred by taxpayers from the end of 2016 into the future also appears to have exceeded DOB's February estimates. Consequently, DOB has revised down its estimate for positive capital gains realizations for the 2016 tax year from a decline of 8.3 percent to a decline of 19.4 percent. However, it remains uncertain how much of the deferral of activity was a result of weak market conditions and how much represented actions taken by taxpayers to maximize their tax advantage. A moderate rebound in realizations of 12.5 percent is projected for the 2017 tax year.

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. Under a still evolving regulatory environment, the pattern of Wall Street bonus payouts continues to shift, with payments now more widely dispersed throughout the year. Taxable payouts can represent both current-year awards and deferred payments from prior years, with the deferral ratio itself proving to be unstable. Finally, with federal tax policy in flux, and taxpayers strategically responding to anticipated changes in tax policy, the uncertainty surrounding the forecast for bonuses and various forms of taxable non-wage income is even further heightened. In particular, should substantial reductions in federal tax rates for the nation's top income earners be enacted and scheduled to take effect January 1, 2018, yet another round of income shifting could ensue, creating downside risk to income and tax revenue estimates related to the 2017 tax year.

Receipts

Enacted Budget Financial Plan receipts include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll mobility tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies that collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

State Financial Plan Projections Fiscal Years 2018 Through 2021



Overview of the Receipts Forecast

All Funds receipts in FY 2018 are projected to total \$161.1 billion, an increase of 3 percent from FY 2017 preliminary results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
Personal Income Tax	47,565	49,382	3.8%	51,873	5.0%	53,919	3.9%	55,467	2.9%
Consumption/Use Taxes	16,212	16,861	4.0%	17,479	3.7%	18,029	3.1%	18,600	3.2%
Business Taxes	6,979	7,969	14.2%	8,127	2.0%	8,587	5.7%	8,957	4.3%
Other Taxes	2,236	2,276	1.8%	2,311	1.5%	2,420	4.7%	2,535	4.8%
Payroll Mobility Tax	1,380	1,438	4.2%	1,503	4.5%	1,578	5.0%	1,645	4.2%
Total State Taxes	74,372	77,926	4.8%	81,293	4.3%	84,533	4.0%	87,204	3.2%
Miscellaneous Receipts	26,594	26,509	-0.3%	26,580	0.3%	26,301	-1.0%	25,398	-3.4%
Federal Receipts	55,406	56,642	2.2%	57,516	1.5%	57,819	0.5%	57,998	0.3%
Total All Funds Receipts	156,372	161,077	3.0%	165,389	2.7%	168,653	2.0%	170,600	1.2%

State tax receipts are estimated to increase 4.8 percent in FY 2018, with increases across all tax categories. The estimated rebound to moderate growth is due to the tax-cut and taxpayer behavior impacts that reduced FY 2017 collections but do not recur in FY 2018.

Consistent with the projected growth in the New York economy over the multi-year Financial Plan period beyond FY 2018, all tax categories are projected to exhibit growth.

After controlling for the impact of tax law changes, base tax revenue decreased 0.1 percent in FY 2017, and is projected to increase by 4.6 percent in FY 2018 and 4.7 percent in FY 2019.

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	47,565	49,382	3.8%	51,873	5.0%	53,919	3.9%	55,467	2.9%
Gross Collections	56,517	59,310	4.9%	62,991	6.2%	65,995	4.8%	66,821	1.3%
Refunds (Incl. State/City Offset)	(8,952)	(9,928)	-10.9%	(11,118)	-12.0%	(12,076)	-8.6%	(11,354)	6.0%
GENERAL FUND¹	32,535	34,406	5.8%	36,385	5.8%	37,986	4.4%	39,215	3.2%
Gross Collections	56,517	59,310	4.9%	62,991	6.2%	65,995	4.8%	66,821	1.3%
Refunds (Incl. State/City Offset)	(8,952)	(9,928)	-10.9%	(11,118)	-12.0%	(12,076)	-8.6%	(11,354)	6.0%
STAR	(3,139)	(2,630)	16.2%	(2,520)	4.2%	(2,453)	2.7%	(2,385)	2.8%
RBTF	(11,891)	(12,346)	-3.8%	(12,968)	-5.0%	(13,480)	-3.9%	(13,867)	-2.9%

¹Excludes Transfers.

All Funds PIT receipts for FY 2018 are estimated to be \$49.4 billion, an increase of \$1.8 billion (3.8 percent) from FY 2017 results. This increase includes growth in withholding and estimated vouchers for tax year 2017. Growth in these categories is partially offset by declines in final return and extension payments attributable to the 2016 tax year, and total refunds.

The following table summarizes, by component, actual receipts for FY 2017 and forecast amounts through FY 2021.

State Financial Plan Projections Fiscal Years 2018 Through 2021



ALL FUNDS PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS (millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Enacted	Projected	Projected	Projected
Receipts					
Withholding	37,524	39,459	41,314	42,557	43,543
Estimated Payments	14,972	15,924	17,521	19,069	18,712
Current Year	10,912	12,428	13,379	14,392	13,233
Prior Year ¹	4,060	3,496	4,142	4,677	5,479
Final Returns	2,588	2,511	2,669	2,818	2,978
Current Year	260	274	289	304	319
Prior Year ¹	2,328	2,237	2,380	2,514	2,659
Delinquent	1,433	1,416	1,487	1,551	1,588
Gross Receipts	56,517	59,310	62,991	65,995	66,821
Refunds					
Prior Year ¹	4,952	5,818	6,385	6,895	7,321
Previous Years	468	495	520	550	580
Current Year ¹	2,003	2,053	2,068	2,098	2,125
Advanced Credit Payment	678	689	1,247	1,709	479
State/City Offset ¹	851	873	898	824	849
Total Refunds	8,952	9,928	11,118	12,076	11,354
Net Receipts	47,565	49,382	51,873	53,919	55,467

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2018 is estimated to be \$1.9 billion (5.2 percent) higher than FY 2017 results, driven by moderate wage growth partially associated with improved bonus growth. Extension payments related to tax year 2016 declined by \$564 million (13.9 percent), primarily due to declines in capital gains resulting, at least in part, from apparent taxpayer belief that lower Federal tax rates were on tap for tax year 2017. Estimated payments for tax year 2017 are projected to grow 1.5 billion (13.9 percent), primarily due to an increase in net capital gains income, coming off a lower base from tax year 2016. Final return payments and delinquencies are projected to be \$77 million (3 percent) lower and \$17 million (1.2 percent) lower than FY 2017 results, respectively.

The projected increase in total refunds of \$976 million (10.9 percent) includes a \$866 million increase (17.5 percent) in prior (tax year 2016) refunds, a \$27 million (5.8 percent) increase in previous (tax year 2015 and earlier) refunds, an \$11 million (1.6 percent) increase in advanced credit payments related to tax year 2017, and a \$22 million (2.6 percent) increase in the state-city offset.

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2018 of \$34.4 billion are estimated to increase by \$1.9 billion (5.8 percent) from FY 2017 results, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$12.3 billion and the STAR transfer is projected to be \$2.6 billion.

All Funds PIT receipts for FY 2019 of \$51.9 billion are projected to increase by \$2.5 billion (5 percent) from FY 2018 estimates. Gross PIT receipts are projected to increase 6.2 percent, reflecting withholding that is projected to grow by \$1.9 billion (4.7 percent) and estimated payments related to tax year 2018 that are projected to grow by \$951 million (7.7 percent). Payments from extensions for tax year 2017 are projected to increase by \$646 million (18.5 percent), reflecting the taxpayer behavior related to potential Federal tax rate changes noted earlier. Final returns are expected to increase by \$158 million (6.3 percent) and delinquencies are projected to increase \$71 million (5 percent) from the prior year. Total refunds are projected to increase by \$1.2 billion (12 percent) from the prior year.

General Fund PIT receipts for FY 2019 of \$36.4 billion are projected to increase by nearly \$2 billion (5.8 percent). RBTF deposits are projected to be \$13 billion, and the STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts for FY 2020 of \$53.9 billion are projected to increase by \$2 billion (3.9 percent) from FY 2019 estimates. Gross PIT receipts are projected to increase 4.8 percent, reflecting withholding that is projected to grow by \$1.2 billion (3 percent). The relatively low growth rate reflects the expiration of the FY 2018 Enacted Budget two-year high-income surcharge extension through tax year 2019. Estimated payments related to tax year 2019 are projected to grow by \$1 billion (7.6 percent), while payments from extensions for tax year 2018 are projected to increase by \$535 million (12.9 percent), and final returns are expected to increase by \$149 million (5.6 percent). Delinquencies are projected to increase \$64 million (4.3 percent) from the prior year. Total refunds are projected to increase by \$1 billion (8.6 percent) from the prior year.

General Fund PIT receipts for FY 2020 of \$38 billion are projected to increase by \$1.6 billion (4.4 percent). RBTF deposits are projected to be \$13.5 billion, and the STAR transfer is projected to be \$2.5 billion.

All Funds PIT receipts in FY 2021 are projected to increase by \$1.5 billion to \$55.5 billion, while General Fund PIT receipts are projected to total \$39.2 billion. This projected slow growth is driven by the expiration of the high-income surcharge rate extension in tax year 2020, combined with continued phase-in of the FY 2017 Enacted Budget middle income tax cuts.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	16,212	16,861	4.0%	17,479	3.7%	18,029	3.1%	18,600	3.2%
Sales Tax	13,869	14,584	5.2%	15,178	4.1%	15,766	3.9%	16,371	3.8%
Cigarette and Tobacco Taxes	1,236	1,190	-3.7%	1,150	-3.4%	1,104	-4.0%	1,061	-3.9%
Motor Fuel Tax	519	515	-0.8%	512	-0.6%	507	-1.0%	504	-0.6%
Highway Use Tax	138	96	-30.4%	142	47.9%	142	0.0%	143	0.7%
Alcoholic Beverage Taxes	258	262	1.6%	267	1.9%	272	1.9%	276	1.5%
Medical Marihuana Excise Tax	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Taxicab Surcharge	64	64	0.0%	64	0.0%	64	0.0%	64	0.0%
TNC Assessment	0	12	0.0%	24	100.0%	24	0.0%	24	0.0%
Auto Rental Tax	127	137	7.9%	141	2.9%	149	5.7%	156	4.7%
GENERAL FUND¹	7,101	7,438	4.7%	7,726	3.9%	7,996	3.5%	8,274	3.5%
Sales Tax	6,483	6,821	5.2%	7,101	4.1%	7,377	3.9%	7,661	3.8%
Cigarette and Tobacco Taxes	360	343	-4.7%	334	-2.6%	323	-3.3%	313	-3.1%
Alcoholic Beverage Taxes	258	262	1.6%	267	1.9%	272	1.9%	276	1.5%
TNC Assessment	0	12	0.0%	24	100.0%	24	0.0%	24	0.0%

¹Excludes Transfers.

All Funds consumption/use tax receipts for FY 2018 are estimated to be nearly \$16.9 billion, a \$649 million (4 percent) increase from FY 2017 results. Sales tax receipts are estimated to increase \$715 million (5.2 percent) from the prior year. Cash growth exceeds base growth (i.e., absent law changes) of 4.1 percent primarily due to agreements between certain mobile telecommunication providers and the State to allow such providers to remit less sales tax for a period, ending in April 2017, in lieu of receiving State refunds due to them under Tax Law Section 184. These agreements resulted from acknowledgement by DTF that a mobile telecommunications provider was not subject to the Tax Law Section 184 franchise tax imposed on them between 2005 and 2014. Cigarette and tobacco tax collections are estimated to decrease by \$46 million (3.7 percent). This mainly reflects an expected return to a trend decline in taxable cigarette consumption. This is due in part to collections from enforcement efforts of the Cigarette Strike Force. Highway use tax (HUT) collections are estimated to decrease by \$42 million (30.4 percent) due to an increase in refund payments of \$44.4 million as a result of the Independent Owner Operator Drivers Association v. New York Department of Taxation and Finance court decision that lowered HUT registration and decal fees (per truck) from \$19 to \$1.50. Motor fuel tax collections are estimated to decrease by \$4 million (0.8 percent), reflecting an increase in refund payments partially offset by slight growth in both taxable motor fuel and diesel fuel consumption. The newly enacted Transportation Network Company (TNC) assessment is estimated to generate \$12 million in All Funds receipts, as it will only be in effect for part of the fiscal year. Auto rental Tax receipts are estimated to increase by \$10 million (7.9 percent).

General Fund sales tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on bonds issued under LGAC and State Sales Tax Revenue Bond programs. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

General Fund consumption/use tax receipts for FY 2018 are estimated to total over \$7.4 billion, a \$337 million (4.7 percent) increase from FY 2017 results. This increase largely reflects the All Funds sales and cigarette and tobacco tax trends noted above and the partial impact of the newly enacted TNC assessment.

All Funds consumption/use tax receipts for FY 2019 are projected to be nearly \$17.5 billion, a \$618 million (3.7 percent) increase from FY 2018. The projected \$594 million (4.1 percent) increase in sales tax receipts reflects sales tax base growth of 3.9 percent, slightly lower than the prior fiscal year. Consumption of taxable services and disposable income are projected to grow at a slower pace than in FY 2018. The TNC assessment in its first fully effective year is projected to generate \$24 million in FY 2019. The projected \$46 million (47.9 percent) increase in HUT collections are due to lower refund payments as they return to long-term trend levels following the prior year's significant increase as a result of the court decision noted above. A continued trend decline in taxable cigarette consumption is also projected.

General Fund consumption/use tax receipts are projected to total over \$7.7 billion in FY 2019, a \$288 million (3.9 percent) increase from FY 2018. The projected increase largely reflects the All Funds sales and cigarette and tobacco tax trends noted above and the first full year impact of the TNC assessment.

All Funds consumption/use tax receipts for FY 2020 are projected to be \$18 billion, a \$550 million (3.1 percent) increase from FY 2019. The projected \$588 million (3.9 percent) increase in sales tax receipts reflects sales tax base growth of 4 percent. The All Funds sales tax increase is slightly offset by a trend decline in taxable cigarette consumption. FY 2020 General Fund consumption/use tax receipts are projected to increase to nearly \$8 billion, a \$270 million (3.5 percent) increase from FY 2019.

All Funds consumption/use tax receipts are projected to reach \$18.6 billion (3.2 percent growth) in FY 2021, largely representing base growth in sales tax receipts, slightly offset by a continued trend decline in taxable cigarette consumption.

General Fund consumption/use tax receipts are projected to increase to nearly \$8.3 billion (3.5 percent growth) in FY 2021, reflecting the All Funds trends noted above.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	6,979	7,969	14.2%	8,127	2.0%	8,587	5.7%	8,957	4.3%
Corporate Franchise Tax	3,166	4,175	31.9%	4,373	4.7%	4,823	10.3%	5,123	6.2%
Corporation and Utilities Tax	720	765	6.3%	744	-2.7%	754	1.3%	764	1.3%
Insurance Tax	1,580	1,616	2.3%	1,745	8.0%	1,828	4.8%	1,965	7.5%
Bank Tax	389	328	-15.7%	143	-56.4%	71	-50.3%	0	-100.0%
Petroleum Business Tax	1,124	1,085	-3.5%	1,122	3.4%	1,111	-1.0%	1,105	-0.5%
GENERAL FUND	4,761	5,718	20.1%	5,770	0.9%	6,190	7.3%	6,522	5.4%
Corporate Franchise Tax	2,476	3,406	37.6%	3,524	3.5%	3,924	11.4%	4,187	6.7%
Corporation and Utilities Tax	538	585	8.7%	563	-3.8%	569	1.1%	575	1.1%
Insurance Tax	1,410	1,447	2.6%	1,561	7.9%	1,637	4.9%	1,760	7.5%
Bank Tax	337	280	-16.9%	122	-56.4%	60	-50.8%	0	-100.0%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2018 are estimated to total nearly \$8 billion, an increase of \$990 million (14.2 percent) from FY 2017 results. The estimate reflects increases for the corporation franchise tax, corporation and utilities tax and insurance tax partially offset by decreases in the bank tax and the petroleum business tax.

Corporation franchise tax receipts are estimated to increase \$1 billion (31.9 percent) in FY 2018, reflecting a rebound in gross receipts and audits. FY 2017 results were negatively impacted by a cut in the business income tax rate from 7.1 to 6.5 percent as well as a shortfall in cash remittances on tax year 2015 final returns. This lack of March 2017 cash remittances indicated taxpayers significantly overpaid on 2015 liability during previous estimated payment events. This is not expected to be repeated when tax year 2016 final returns are submitted in FY 2018. Additionally, corporate profit growth is estimated to be 6.3 percent for tax year 2017. Audit receipts are estimated to be significantly higher in FY 2018 (\$288 million) as a greater number of large cases are expected to be closed.

Corporation and utilities tax receipts are estimated to increase \$45 million (6.3 percent) in FY 2018. Gross receipts are expected to increase from FY 2017 results as a result of late filings of mandatory first installment payments. The 2017 mandatory first installment was due March 15th, but several taxpayers filed these payments in April 2017. Audits are expected to decline slightly.

Insurance tax receipts for FY 2018 are estimated to increase \$36 million (2.3 percent) from FY 2017 results. Projected growth in tax year 2017 liability is partially offset by higher refunds as life insurers continue to claim the tax credit for assessments paid to the Life Insurance Guaranty Corporation (LIGC). The LIGC exists to protect policyholders from the insolvency of their life insurers. This is the second year of refund claims for the credit for assessments paid earlier.

Receipts from the repealed bank tax (all from prior liability periods) are estimated to decrease by \$61 million in FY 2018. This decrease stems from lower audit receipts (\$99 million) partially offset by lower prior period adjustments.

Petroleum Business Tax (PBT) receipts are estimated to decrease \$39 million (3.5 percent) in FY 2018, primarily due to the 5 percent decrease in the PBT rate index effective January 2017. This decline is partially offset by estimated slight growth in both taxable motor fuel and diesel fuel consumption and the estimated 5 percent increase in the PBT rate index effective January 2018.

General Fund business tax receipts for FY 2018 of \$5.7 billion are estimated to increase \$957 million (20.1 percent) from FY 2017 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2019 of \$8.1 billion are projected to increase by \$158 million (2 percent) from the current year. The increase in corporation franchise tax receipts of \$198 million (4.7 percent) reflects projected growth in corporate profits. The corporation and utilities tax receipts decline of \$21 million (2.7 percent) is attributable to the one-time late filings (April 2017) that are not expected to repeat in FY 2019.

Insurance tax receipts for FY 2019 of over \$1.7 billion are projected to increase \$129 million (8 percent) from the current year. Projected growth in insurance tax premiums combined with lower expected LIGC credit claims contribute to this year-over-year growth. Bank tax receipts are projected to decrease by \$185 million (56.4 percent), due to lower projected audit receipts. PBT receipts are projected to increase \$37 million (3.4 percent) in FY 2019, primarily due to the estimated 5 percent increase in the PBT rate index effective January 2018 and a projected 4.7 percent increase in the PBT rate index effective January 2019. These increases are partially offset by a projected slight decline in taxable motor fuel and diesel fuel consumption.

General Fund business tax receipts for FY 2019 of nearly \$5.8 billion are projected to increase \$52 million (0.9 percent), reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2020 of \$8.6 billion are projected to increase by \$460 million (5.7 percent), and General Fund business tax receipts are projected to increase to \$6.2 billion (7.3 percent growth) from the previous year. The projection primarily reflects growth in the corporation franchise tax driven by higher gross receipts and lower refunds. Increases in the corporation and utilities and insurance taxes are offset by declines in the bank and petroleum business taxes.

All Funds business tax receipts for FY 2021 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. In FY 2021, All Funds business tax receipts are projected to increase to \$9 billion (4.3 percent growth), and General Fund business tax receipts are projected to increase to \$6.5 billion (5.4 percent growth).

State Financial Plan Projections Fiscal Years 2018 Through 2021



Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
STATE/ALL FUNDS	2,236	2,276	1.8%	2,311	1.5%	2,420	4.7%	2,535	4.8%
Estate Tax	1,091	1,052	-3.6%	1,033	-1.8%	1,092	5.7%	1,155	5.8%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Estate Transfer Tax	1,126	1,204	6.9%	1,258	4.5%	1,308	4.0%	1,360	4.0%
Pari-Mutuel Taxes	16	17	6.3%	17	0.0%	17	0.0%	17	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%
GENERAL FUND¹	1,110	1,072	-3.4%	1,053	-1.8%	1,112	5.6%	1,175	5.7%
Estate Tax	1,091	1,052	-3.6%	1,033	-1.8%	1,092	5.7%	1,155	5.8%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	16	17	6.3%	17	0.0%	17	0.0%	17	0.0%
All Other Taxes	3	3	0.0%	3	0.0%	3	0.0%	3	0.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2018 are estimated to be nearly \$2.3 billion, an increase of \$40 million (1.8 percent) from FY 2017 results. This largely reflects an estimated decrease in estate tax receipts of \$39 million (3.6 percent) from the continued phase-in of the increased filing threshold, partially offset by an estimated increase in real estate transfer tax receipts of \$78 million (6.9 percent) primarily due to projected growth in both housing starts and housing prices.

General Fund other tax receipts are estimated to be just under \$1.1 billion in FY 2018, a decrease of \$38 million (3.4 percent) from FY 2017 results, reflecting the decrease in estate tax receipts noted above.

All Funds other tax receipts for FY 2019 are projected to be over \$2.3 billion, an increase of \$35 million (1.5 percent) from FY 2018. Estate tax receipts are projected to decrease by \$19 million (1.8 percent) reflecting the continuation of the phase-in of the increased filing threshold, partially offset by projected growth in household net worth. Real estate transfer tax receipts are projected to increase by \$54 million (4.5 percent), reflecting projected growth in housing starts and housing prices.

General Fund other tax receipts for FY 2019 are projected to decrease by \$19 million (1.8 percent) due to the projected decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2020 are projected to be over \$2.4 billion, a \$109 million (4.7 percent) increase from FY 2019. Estate tax receipts are projected to increase by \$59 million (5.7 percent) reflecting projected growth in household net worth and the conclusion of the phase-in of the increased filing threshold. Real estate transfer tax receipts are projected to increase by \$50 million (4 percent), reflecting projected growth in housing starts and prices.

General Fund other tax receipts for FY 2020 are projected to increase by \$59 million (5.6 percent), due to the projected increase in estate tax receipts noted above.

All Funds other tax receipts for FY 2021 reflect projected trend growth in household net worth, housing starts, and housing prices. FY 2021 All Funds other tax receipts are projected to increase by \$115 million (4.8 percent growth), and General Fund other tax receipts are projected to increase by \$63 million (5.7 percent growth), reflecting the household net worth trends noted above.

Miscellaneous Receipts and Federal Grants

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, tribal-state compact revenue, monetary settlements and a variety of fees and licenses.

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
ALL FUNDS	26,594	26,509	-0.3%	26,580	0.3%	26,301	-1.0%	25,398	-3.4%
General Fund	3,813	2,152	-43.6%	2,128	-1.1%	2,135	0.3%	2,058	-3.6%
Special Revenue Funds	17,686	16,956	-4.1%	16,531	-2.5%	16,429	-0.6%	16,206	-1.4%
Capital Projects Funds	4,637	6,942	49.7%	7,463	7.5%	7,278	-2.5%	6,682	-8.2%
Debt Service Funds	458	459	0.2%	458	-0.2%	459	0.2%	452	-1.5%

All Funds miscellaneous receipts are estimated to total \$26.5 billion in FY 2018, a decrease of -0.3 percent from FY 2017 results. This decrease is primarily due to the impact of extraordinary monetary settlements received in the General Fund during FY 2017, as described earlier in this Enacted Budget Financial Plan. In addition to the impact of monetary settlements, declining FY 2018 miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, and tuition income revenue.

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All Funds miscellaneous receipts are projected to remain relatively flat in FY 2019 and FY 2020, then decrease in FY 2021 related to a year-over-year decrease in bond proceed reimbursements, which subsequently corresponds to the spend out of bond-financed capital projects.

FEDERAL GRANTS (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
ALL FUNDS	55,406	56,642	2.2%	57,516	1.5%	57,819	0.5%	57,998	0.3%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	52,725	54,323	3.0%	55,014	1.3%	55,531	0.9%	55,754	0.4%
Capital Projects Funds	2,608	2,246	-13.9%	2,429	8.1%	2,215	-8.8%	2,171	-2.0%
Debt Service Funds	73	73	0.0%	73	0.0%	73	0.0%	73	0.0%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants are projected to grow to \$58 billion by FY 2021, primarily reflecting the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to continuing administration and Congressional authorization, appropriations and budget action.

Many of the policies that drive Federal aid may be subject to change with the new presidential administration and Congress that began in January 2017. It is not possible at this time to assess the potential fiscal impact of policies that may be proposed and subsequently adopted by the new administration and Congress. If Federal funding to the State were reduced, this could have a materially adverse impact on the Financial Plan.

Enacted Budget Tax Law Changes

The Enacted Budget contains tax law changes that strengthen opportunities for working and middle class families, promote economic activity, simplify taxation, and reduce STAR spending.

TAX CUTS AND CREDITS (millions of dollars)				
	General Fund		All Funds	
	FY 2018	FY 2019	FY 2018	FY 2019
Establish Life Sciences Tax Credits	-	-	-	-
Enhance the Child and Dependent Care Credit	-	-	-	-
Expand a Workforce Training Credit	-	-	-	-
Exempt Cemetery Monuments from the Sales and Use Tax	(2)	(2)	(2)	(2)
Double the Excelsior Research and Development Credit Cap	-	-	-	-
Provide Food Bank Donation Credit to Farmers	-	-	-	-
Allow a PIT Deduction for Union Dues	-	-	-	-
TOTAL TAX CUTS AND CREDITS	(2)	(2)	(2)	(2)

Tax Cuts and Credits

- **Establish Life Sciences Tax Credits.** As part of a the State’s new life sciences initiative, the Enacted Budget provides two new tax incentives, worth \$200 million over 10 years to be funded from the existing Excelsior Jobs Program allocation:

 - Existing life science businesses are eligible for an annual allocation of \$10 million in Excelsior tax credits;
 - New life science businesses will receive a 15 percent refundable tax credit on all new qualifying research and development expenditures. Small businesses in the life sciences industry may be eligible for a 20 percent credit.

- **Enhance the Child and Dependent Care Credit.** To assist families in offsetting the costs of child and dependent care, the Budget supplements the current New York State Child and Dependent Care Tax Credit and more than doubles the benefit for families earning between \$60,000 and \$150,000. This credit provides households who qualified for the Federal Child and Dependent Care Credit the ability to claim a percentage of the Federal credit on their State income taxes. The Budget increases the benefit by an average of 123 percent for tax filers with New York adjusted gross income (AGI) between \$50,000 and \$150,000. For a family with an income of \$70,000 and childcare costs of \$3,000, the expansion of this credit lowers out-of-pocket childcare expenses by \$240. Additionally, maximum expense thresholds are increased for taxpayers with three or more qualifying dependents.

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- Expand the Workforce Training Credit.** To encourage employer investment in the State's workforce, the current Employee Training Incentive Program (ETIP) credit is expanded. Under the new program, incumbent worker trainings are included as eligible expenses for the credit, given that such trainings are part of a company's expansion and retention projects. The requirement to create additional jobs is removed.
- Exempt Cemetery Monuments from the Sales and Use Tax.** The Enacted Budget exempts from State sales and use taxes monuments or memorials that are constructed on a cemetery lot or plot.
- Double the Excelsior Research and Development Credit Cap.** The excelsior research and development tax credit cap is doubled from three to six percent.
- Provide Food Bank Donation Credit to Farmers.** Creates a new credit for a portion of the value of food items that qualifying farmers donate to eligible food bank organizations.
- Allow a PIT Deduction for Union Dues.** Taxpayers who itemize personal income tax are permitted to deduct the full amount of union dues they pay.

TAX REFORM ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2018	FY 2019	FY 2018	FY 2019
Reduce the Jobs Creation Threshold for the Excelsior Jobs Program	-	-	-	-
Treat Disregarded Entities As A Single Taxpayer for Tax Credit Purposes	-	-	-	-
Reform the Investment Tax Credit	-	-	-	-
Allow Transportation Network Companies to Operate Outside New York City and Impose a State Assessment Fee on Fares	12	24	12	24
Close Co-Op Sale Loophole	10	10	10	10
Close Non-Resident Asset Sale Loophole	10	10	10	10
Close Sales Tax Related Entities Loopholes	8	10	9	11
Reform Motor Fuel Prepayment	-	-	-	-
Amend Allocation and FDM for REITS/RICS	-	-	-	-
TOTAL TAX REFORM ACTIONS	40	54	41	55

Tax Reform Actions

- **Reduce the Jobs Creation Threshold for the Excelsior Jobs Program.** Reduce the minimum required net new job requirements for most industries and add a definition for significant capital investment.
- **Treat Disregarded Entities As A Single Taxpayer for Tax Credit Purposes.** The Enacted Budget protects existing tax credit structures following a recent decision of the Tax Appeals Tribunal that could have resulted in certain taxpayers losing their tax credits. An individual taxpayer and associated single-member Limited Liability Companies (disregarded entities) will now be treated as one entity for tax credit purposes. Absent this action, an individual taxpayer with three qualifying disregarded entities would have only been able to claim the credit due to one of them.
- **Reform the Investment Tax Credit.** The investment tax credit is modified to exclude costs related to the production and delivery of steam or water and the delivery of natural gas as credit-eligible activities.
- **Allow Transportation Network Companies to Operate Outside New York City.** Transportation network companies (TNC's), such as Uber and Lyft, are authorized outside the City of New York and throughout the State, with uniform licensing requirements. Municipalities have the option to license TNC's. TNC rides that commence outside of NYC are subject to a 4 percent assessment. The comparable tax in NYC is 8.875 percent. TNC's are expected to create jobs while expanding much needed transportation options.
- **Close Co-Op Sale Loophole.** The Enacted Budget eliminates a loophole that occurred when a New York co-op owned by a non-resident was made part of a business interest and the business interest (non-taxable) was sold instead of the co-op itself (taxable).
- **Close Non-Resident Asset Sale Loophole.** Federal law provides special rules for the sale of a trade or business treated as an asset acquisition. An Internal Revenue Code (IRC) §1060 election allows the seller to consider the transaction to be the sale of an intangible interest (non-taxable), while the buyer deems the transaction to be the purchase of an asset. As a result, IRC §1060 elections afford an opportunity for non-residents to avoid NYS taxation on transactions that involve the purchase of New York-source assets. The Enacted Budget closes this loophole by treating an IRC §1060 election as a tangible asset sale for both the buyer and seller.
- **Close Sales Tax Related Entities Loopholes.** Tax loopholes related to non-resident business purchases, and leasing below cost to related entities, are closed.
- **Reform Motor Fuel Pre-payment.** The Enacted Budget reduces the prepaid sales tax amount on motor fuel and diesel motor fuel throughout the State and allows for the Commissioner of DTF to adjust these amounts in future, should significant changes in fuel prices occur.

State Financial Plan Projections Fiscal Years 2018 Through 2021



- Amend Allocation and FDM for REITS/RICS.** Real Estate Investment Trusts (REITS) and Regulated Investment Companies (RICS) are now permitted to utilize the 8 percent qualified financial instrument allocation election for sourcing New York income and a separate State fixed dollar minimum (FDM) schedule for REITS and RICS is added.

ENFORCEMENT INITIATIVES (millions of dollars)				
	General Fund		All Funds	
	FY 2018	FY 2019	FY 2018	FY 2019
Streamline Bank Account Data Matching	5	15	5	15
TOTAL ENFORCEMENT INITIATIVES	5	15	5	15

Enforcement Initiatives

- Streamline Bank Account Data Matching.** The Department of Taxation and Finance (DTF) is authorized to submit fixed and final debt to financial institutions for delinquent taxpayer data matching purposes through March 31, 2020. Previously, DTF had authorization only to provide warranted tax debt to financial institutions for data matching.

TAX LAW EXTENDERS (millions of dollars)				
	General Fund		All Funds	
	FY 2018	FY 2019	FY 2018	FY 2019
Extend the Personal Income Tax Top Bracket for Two Years	683	3,375	683	3,375
Extend the High Income Charitable Contribution Deduction Limitation for Two Years	-	70	-	70
Extend the Empire State Film and Post-Production Tax Credits for Three Years	-	-	-	-
Expanding Employment Opportunities for Youth	-	-	-	-
Extend the Alternative Fuels Property and Electric Vehicle Recharging Property Credit for Five Years	-	-	-	-
Extend Warrantless Wage Garnishment for Three Years	15	15	15	15
TOTAL TAX LAW EXTENDERS	698	3,460	698	3,460

Tax Law Extenders

- Extend the Personal Income Tax Top Bracket for Two Years.** The top personal income tax bracket was scheduled to sunset on December 31, 2017, which would have resulted in a decline of the top marginal tax rate from 8.82 percent to 6.85 percent. The current top bracket and associated tax rate is extended for two years.
- Extend the High Income Charitable Contribution Deduction Limitation for Two Years.** The Enacted Budget extends the existing charitable contribution deduction limitation of 25 percent, to the end of tax year 2019. The limitation on tax deductions for State and New York City taxpayers with adjusted gross income over \$10 million has had no noticeable impact on charitable giving.

- **Extend the Empire State Film and Post-Production Tax Credits for Three Years.** The credit is extended for three years through 2022 with an annual allocation of \$420 million. The extension includes the enhanced Upstate credit for wages and salaries.
- **Expanding Employment Opportunities for Youth.** Extends the Urban Youth Jobs Program through 2022 and formally changes the name to the New York Youth Jobs Program, recognizing the availability of this opportunity throughout the State. A new Empire State Apprenticeship Tax Credit Program is also created. Ten million of the annual \$50 million annual allocation for the New York Youth Jobs Program is dedicated to the new apprenticeship program.
- **Extend the Alternative Fuels Property and Electric Vehicle Recharging Property Credit for Five Years.** Extend the tax credit supporting the Governor’s effort to combat climate change by reducing carbon emissions for five years through 2022.
- **Extend Warrantless Wage Garnishment for Three Years.** The Enacted Budget extends the authorization for DTF to garnish wages of delinquent taxpayers without filing a warrant with the Department of State or County Clerks. The current program, extended to April 1, 2020, has been successful in eliminating the unfunded mandate on counties to receive warrants from DTF. The program has also proven to be taxpayer friendly, since warrants appear on an individual's credit report for seven years, even if the delinquency has been resolved.

SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS				
Net Financial Plan Impact (millions of dollars)				
	General Fund		All Funds	
	FY 2018	FY 2019	FY 2018	FY 2019
Convert New York City Personal Income Tax Rate Reduction Benefit Into a State Personal Income Tax Credit				
• Credit Portion	-	(340)	-	(340)
• Spending Savings	277	352	-	-
Allow Taxpayers to Make Partial Real Property Tax Payments	-	-	-	-
Allow for Confidential Intergovernmental Sharing of STAR Information	-	-	-	-
Make Technical Amendments to Co-op STAR Credit	-	-	-	-
TOTAL SCHOOL TAX RELIEF (STAR) PROGRAM ACTIONS	277	12	-	(340)

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School Tax Relief (STAR) Program Actions

- Convert New York City Personal Income Tax Rate Reduction Benefit into a State Personal Income Tax Credit.** Previously, New York City (NYC) (City) taxpayers with incomes below \$500,000 received an approximate 6 percent rate reduction benefit on their City personal income taxes. The State then reimbursed the City for the cost of the PIT rate reduction. The Enacted Budget converts the rate reduction benefit into a nonrefundable NYS PIT credit for 2.9 million NYC taxpayers, thereby eliminating the need to reimburse the City government. This is consistent with the conversion of the NYC STAR PIT credit from an NYC credit to a State credit in the FY 2017 Enacted Budget.
- Allow Taxpayers to Make Partial Real Property Tax Payments.** The Enacted Budget reversed the general prohibition on partial property tax payments. When a partial payment was made, the outstanding balance may have been subject to penalties and interest. Collecting officers will now be required to accept partial payments that meet the applicable restrictions unless local authorities have taken specific action to restrict or prohibit such payments.
- Allow for Confidential Intergovernmental Sharing of STAR Information.** The Enacted Budget allows DTF to share STAR information with local assessors enabling them to help DTF locate primary residency changes or duplicate exemptions. This provision also protects the confidentiality of beneficiary information, preventing its public release.
- Make Technical Amendments to Co-op STAR Credit.** Previously, it was possible that a co-op owner received a greater STAR credit than their school tax liability. The Enacted Budget amends the STAR Credit law to provide that a co-op STAR PIT credit not exceed what the co-op owner would have been charged in school taxes if it were separately assessed. Assessors are now required to report the taxable assessed values of individual co-ops to DTF (previously, DTF was only provided the taxable assessed value of the entire apartment building).

GAMING INITIATIVES (millions of dollars)				
	General Fund		All Funds	
	FY 2018	FY 2019	FY 2018	FY 2019
Reprivatize the New York Racing Association	-	-	-	-
Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year	-	-	-	-
Extend Monticello Video Lottery Terminal Rates for One Year	-	-	(2)	-
Extend the Video Lottery Gaming (VLG) Vendor's Capital Awards Program for One Year	-	-	-	-
Improve the Operation of Drug Testing in Horse Racing	-	-	-	-
Modernize Charitable Gaming Laws	-	-	-	-
Amend the Jockey Injury Compensation Fund	-	-	-	-
TOTAL GAMING INITIATIVES	-	-	(2)	-

Gaming Initiatives

- Reprivatize the New York Racing Association (NYRA).** The Enacted Budget re-establishes a privately controlled board of directors for NYRA, a culmination of the Governor’s multi-year effort to ensure horseracing in New York is competently managed, accountable, and transparent. This provision also increases oversight by enhancing the powers of the Franchise Oversight Board (FOB), safeguarding the accomplishments of the previous Board of Directors. Under certain circumstances, the FOB could require an independent financial review of NYRA finances, a corrective action plan, and is authorized to encumber racing support payments. Additionally, NYRA is authorized to reduce racing during the winter meet at Aqueduct Racetrack under certain circumstances.
- Extend Certain Tax Rates and Certain Simulcasting Provisions for One Year.** The pari-mutuel tax rate and other racing-related provisions are extended for one year.
- Extend Monticello Video Lottery Terminal Rates for One Year.** The higher commission rate for the Monticello Video Lottery Terminal Facility is extended for one additional year.
- Extend the Video Lottery Gaming (VLG) Vendor's Capital Awards Program for One Year.** VLG operators are provided one additional year to earn qualifying capital awards, which encourage facility upgrades and improvements.
- Improve the Operation of Drug Testing in Horse Racing.** The provision modified requirements for horsemen and racetracks to contribute to equine drug testing. Each year, horsemen and tracks will equally share the costs of equine drug tests not covered by racing revenues.
- Modernize Charitable Gaming Laws.** The regulation of charitable gaming is modernized to allow more flexibility to organizations who conduct gaming activities to support their charitable purposes.
- Amend the Jockey Injury Compensation Fund.** Allows the fund to self-insure its workers’ compensation obligations and expand coverage, with Gaming Commission approval. The one percent increase of racing support payments to increase purse payouts is extended for three additional years, and the authority to use a portion of purse reserve funds to cover workers’ compensation costs is extended for an additional year.

FEE ACTIONS (millions of dollars)				
	General Fund		All Funds	
	FY 2018	FY 2019	FY 2018	FY 2019
Extend Fees for the Establishment of Oil and Gas Unit of Production Values	-	-	-	-
Realign Production Costs for Realtor Identification Cards	-	-	1	1
Apply the Public Safety Communications Surcharge to Prepaid Devices	3	11	7	26
Increase the Cap on Divisible Load Permits	-	-	1	1
TOTAL FEE ACTIONS	3	11	9	28

Fee Actions

- **Extend Fees for the Establishment of Oil and Gas Unit of Production Values.** DTF's program that establishes unit of production values used by local assessors when assessing oil and gas wells and related facilities is extended through March 31, 2021.
- **Realign Production Costs for Realtor Identification Cards.** Realtors previously paid a licensing fee to the Department of State that did not reflect the cost of producing a realtor identification card. The Budget assures that realtors – not the taxpayers – assume the \$5 cost of producing the card.
- **Apply the Public Safety Communications Surcharge to Prepaid Devices.** The Public Safety Communications Surcharge is expanded to prepaid purchases of mobile communication services, with purchases subject to a 90 cent surcharge. The surcharge will be imposed at the point of purchase for a prepaid device or data. Currently, mobile plan subscribers pay \$1.20 per month, while purchasers of prepaid mobile services pay no surcharge. Local governments, including those that don't currently impose the surcharge on mobile plan contracts, can also opt in for a 30 cent surcharge on prepaid purchases of mobile communication services. This surcharge supports the State's public safety activities and funds the Statewide Interoperable Communications Operations Grant Awards (SICG) program.
- **Increase the Cap on Divisible Load Permits.** The Enacted Budget increased the statutory cap on the number of divisible load permits the Department of Transportation may issue from 25,000 to 27,000.

Technical Amendments

- **Make Technical Amendments to the State and Local Sales Tax Statute.** The Budget clarifies the imposition of sales tax on charges for transporting, transmitting, or delivering gas or electricity when the transportation, transmission or distribution is sold by the provider of the commodity.

Disbursements

Total disbursements in FY 2018 are estimated at \$71.2 billion in the State's General Fund (including transfers) and \$98.2 billion in total State Operating Funds. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth.

The multi-year disbursements projections take into account various factors including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

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Local Assistance Grants

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$66 billion in FY 2018, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Significant factors considered in preparing the spending projections for the State's major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2017 Results ¹	FY 2018 Enacted	Forecast		
			FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
MEDICAID					
Individuals Covered	6,217,239	6,284,551	6,318,208	6,335,036	6,343,450
- Essential Plan	713,091	723,020	727,880	730,483	733,095
- Child Health Plus (Caseload)	305,560	309,866	314,232	318,659	323,149
State Takeover of County/NYC Costs ²	\$2,891	\$3,228	\$3,565	\$3,889	\$4,212
EDUCATION					
School Aid (School Year Basis Funding)	\$24,689	\$25,727	\$26,827	\$27,950	\$29,260
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	562,873	574,523	N/A	N/A	N/A
Tuition Assistance Program (Recipients)	285,920	293,473	N/A	N/A	N/A
PUBLIC ASSISTANCE					
Family Assistance Program (Families)	234,902	230,387	227,493	224,803	222,161
Safety Net Program (Families)	123,264	121,194	119,638	118,217	116,832
Safety Net Program (Singles)	204,947	207,139	209,728	212,134	214,779
MENTAL HYGIENE					
OMH Community Beds	43,077	44,526	46,957	48,057	48,257
OPWDD Community Beds	42,737	43,165	43,596	44,032	44,472
OASAS Community Beds	13,370	13,491	13,532	13,672	13,707
Total	99,184	101,182	104,085	105,761	106,436
PRISON POPULATION					
	51,300	51,000	51,000	51,000	51,000

¹ Reflects preliminary unaudited results.
² Reflects the total State cost of taking over the local share of Medicaid growth, which was initially capped at approximately 3 percent annually, then phased-out completely as of calendar year 2015.

Education

School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses, such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

School Aid is expected to increase by \$1.0 billion (4.2 percent) in SY 2018, including a \$700 million Foundation Aid increase. A Community Schools set-aside of \$150 million within Foundation Aid, a \$50 million increase from the prior year, provides funds intended to facilitate the transformation of schools into community hub. In addition, another \$288 million supports increased reimbursement in expense-based aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Enacted Budget Financial Plan also provides \$50 million in new competitive grant programs, highlighted by a \$35 million investment to expand after-school programs targeted towards low-income students within high need communities, and \$5 million to expand prekindergarten for three- and four-year olds in high-need school districts. New York State provides over \$800 million in recurring annual support for three- and four-year old prekindergarten programs, including \$340 million for the Statewide Universal Full-Day Prekindergarten programs.

School Aid is projected to increase by an additional \$1.1 billion (4.3 percent) in SY 2019, based largely on personal income growth.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30) ¹									
(millions of dollars)									
	<u>SY 2017</u>	<u>SY 2018</u>	<u>Change</u>	<u>SY 2019</u>	<u>Change</u>	<u>SY 2020</u>	<u>Change</u>	<u>SY 2021</u>	<u>Change</u>
Total	24,689	25,727	1,038 4.2%	26,827	1,100 4.3%	27,950	1,123 4.2%	29,260	1,310 4.7%

¹School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.

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State Fiscal Year

The State finances School Aid from General Fund, commercial gaming and Lottery Fund receipts, including video lottery terminals (VLTs). Commercial gaming and Lottery Fund receipts are accounted for and disbursed from dedicated accounts. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the projected sources of School Aid spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	24,351	25,762	5.8%	26,806	4.1%	27,923	4.2%	29,190	4.5%
General Fund Local Assistance	21,017	22,319	6.2%	23,438	5.0%	24,519	4.6%	25,783	5.2%
Core Lottery Aid	2,360	2,395	1.5%	2,294	-4.2%	2,288	-0.3%	2,291	0.1%
VLT Lottery Aid	957	927	-3.1%	870	-6.1%	888	2.1%	888	0.0%
Commercial Gaming - VLT Offset	4	40	900.0%	88	120.0%	70	-20.5%	70	0.0%
Commercial Gaming	13	81	523.1%	116	43.2%	158	36.2%	158	0.0%

State fiscal year spending for School Aid is projected to total \$25.8 billion in FY 2018. Over the multi-year Financial Plan, an increasing share of School Aid spending is projected to be financed by commercial gaming revenues as opposed to traditional core lottery sales, as described in greater detail below. In addition to State aid, school districts currently receive more than \$3 billion annually in Federal aid.

State aid payments for School Aid have been supplemented by commercial gaming revenues in FY 2017, following the State's receipt of one-time licensing fees in FY 2016. These receipts are expected to increase in FY 2018 and the outyears, with gaming revenues shared by the State and commercial gaming facilities. Three casino resorts were recommended by the State's Gaming Facility Location Board (the "Location Board") in December 2014, and approved by the State Gaming Commission in December 2015. A fourth casino was recommended by the Location Board in October 2015 and approved by the State Gaming Commission in August 2016. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources must be paid from the General Fund.

Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	2,193	2,269	3.5%	2,385	5.1%	2,475	3.8%	2,619	5.8%
Special Education	1,317	1,338	1.6%	1,453	8.6%	1,571	8.1%	1,690	7.6%
All Other Education	876	931	6.3%	932	0.1%	904	-3.0%	929	2.8%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses of the major cultural institutions, State Archives, State Library, and State Museum, as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a one-stop source for all their employment needs, and are made aware of the full range of services available in other agencies.

FY 2018 Special Education spending is projected to increase by 2.0 percent over FY 2017 due, in part, to the timing of rate-setting related to these programs. All Other Education growth reflects increased reimbursement of charter school supplemental basic tuition and facilities aid, to New York City charter schools in privately-leased space.

Continued growth in FY 2019 and beyond for Special Education is attributable to increased State reimbursement to special education providers for minimum wage costs, funding for excessive teacher turnover prevention, and projected enrollment and cost growth in preschool and summer school special education programs.

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STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$65,500 exemption in FY 2018. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate shares of projected FY 2018 program costs are: the basic school property tax exemption or credit for homeowners with incomes under \$500,000 (58 percent); enhanced school property tax exemption or credit for senior citizen homeowners with incomes under \$86,000 (31 percent); and a credit for income-eligible resident New York City personal income taxpayers (11 percent). The FY 2018 Enacted Budget includes the conversion of the New York City PIT rate reduction benefit into a PIT tax credit, which will reduce and eventually eliminate it as a component of State Operating Funds spending. This change will have no impact on the value of the STAR benefit received by taxpayers.

STAR property tax exemption spending reflects reimbursements made to school districts to offset a reduction in the amount of property tax revenue collected from STAR-eligible homeowners. In FY 2017, the STAR exemption program began a gradual shift from a spending program into a prepaid refundable PIT credit program, with this change applying to first-time homebuyers and to homeowners who move. Likewise, this change will have no impact on the value of the STAR benefit received by homeowners.

SCHOOL TAX RELIEF (STAR) - REVENUE REDUCTION RESULTING FROM STAR ACTIONS									
(millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	3,139	2,630	-16.2%	2,520	-4.2%	2,453	-2.7%	2,437	-0.7%
Gross Program Costs	3,330	3,457	3.8%	3,458	0.0%	3,509	1.5%	3,613	3.0%
Program Conversion	0	(277)	0.0%	0	100.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(191)	(492)	-157.6%	(938)	-90.7%	(1,056)	-12.6%	(1,176)	-11.4%
FY 2017 Overpayment ¹	0	(58)	0.0%	0	100.0%	0	0.0%	0	0.0%
Basic Exemption	1,695	1,672	-1.4%	1,636	-2.2%	1,592	-2.7%	1,582	-0.6%
Gross Program Costs	1,763	1,807	2.5%	1,839	1.8%	1,862	1.3%	1,920	3.1%
Personal Income Tax Credit	(68)	(135)	-98.5%	(203)	-50.4%	(270)	-33.0%	(338)	-25.2%
Enhanced (Senior) Exemption	916	903	-1.4%	884	-2.1%	861	-2.6%	855	-0.7%
Gross Program Costs	952	976	2.5%	993	1.7%	1,007	1.4%	1,037	3.0%
Personal Income Tax Credit	(36)	(73)	-102.8%	(109)	-49.3%	(146)	-33.9%	(182)	-24.7%
New York City PIT	528	55	-89.6%	0	-100.0%	0	0.0%	0	0.0%
Gross Program Costs	615	674	9.6%	626	-7.1%	640	2.2%	656	2.5%
Program Conversion	0	(277)	0.0%	0	100.0%	0	0.0%	0	0.0%
Personal Income Tax Credit	(87)	(284)	-226.4%	(626)	-120.4%	(640)	-2.2%	(656)	-2.5%
FY 2017 Overpayment ¹	0	(58)	0.0%	0	100.0%	0	0.0%	0	0.0%

¹ Conversion of the NYC Rate Reduction Benefit to Personal Income Credit pertains to 2017 tax year, as such, it was retroactively made effective to January 1, 2017.

Much of the spending decline projected for FY 2018 is due to a timing change involving when the NYC rate reduction benefit is paid out upon conversion to a PIT credit. STAR actions enacted with the FY 2017 budget, will result in reduced revenues in addition to the spending changes noted above. Projected revenue reductions will increase over the course of the Financial Plan as STAR actions are implemented, in particular those driven by the conversion of the New York City PIT rate reduction benefit.

Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	2,874	2,800	-2.6%	3,134	11.9%	3,197	2.0%	3,248	1.6%
City University	1,424	1,410	-1.0%	1,485	5.3%	1,509	1.6%	1,535	1.7%
Senior Colleges	1,176	1,151	-2.1%	1,230	6.9%	1,254	2.0%	1,280	2.1%
Community College	248	259	4.4%	255	-1.5%	255	0.0%	255	0.0%
Higher Education Services	958	898	-6.3%	1,149	28.0%	1,188	3.4%	1,213	2.1%
Tuition Assistance Program	896	774	-13.6%	954	23.3%	965	1.2%	972	0.7%
Scholarships/Awards	51	112	119.6%	183	63.4%	211	15.3%	229	8.5%
Aid for Part-Time Study	11	12	9.1%	12	0.0%	12	0.0%	12	0.0%
State University	492	492	0.0%	500	1.6%	500	0.0%	500	0.0%
Community College	487	487	0.0%	496	1.8%	496	0.0%	496	0.0%
Other/Cornell	5	5	0.0%	4	-20.0%	4	0.0%	4	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 403,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 324,000 students. Spending for SUNY is concentrated in State Operations and thus does not appear in the table above. State funds support a significant portion of SUNY and CUNY operations. In addition to a \$1.1 billion General Fund transfer to support operations, the State pays employee fringe benefit costs for SUNY¹⁵. The State support for SUNY fringe benefits is estimated at nearly \$1.8 billion. The State also provides a sizeable benefit to the university systems by paying debt service on bond-financed capital projects. State debt service payments for capital projects at SUNY and CUNY are estimated at \$1.3 billion in FY 2018, an increase of \$94 million from FY 2017 levels. Neither the fringe benefits nor debt service costs are reflected in annual spending totals for the university systems.

HESC administers TAP, which provides financial awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal government.

¹⁵ State support for CUNY fringe benefit costs are included in total spending for Senior Colleges and reflected in local assistance.

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Higher Education spending is projected to modestly decrease between FY 2017 to FY 2018. This change in spending reflects the timing of CUNY payments in FY 2018, the potential sale of certain CUNY building assets, and the reconciliation of prior year TAP payment advances to colleges. Lower spending is partially offset in FY 2018 by new higher education initiatives. The Excelsior Scholarship program will allow students of working and middle-class families to attend college tuition-free at all public universities in New York State. Enrollment growth associated with the Excelsior Scholarship program will also drive additional future spending in community college operating aid and TAP. The Budget also provides new funding to begin implementation of open educational resources (low cost alternative to traditional textbooks) for students at SUNY and CUNY. SUNY and CUNY will use this funding to target high-enrollment courses to maximize student savings.

In addition, a new Enhanced Tuition Award will enable students attending private not-for-profit colleges to receive financial assistance to complete their college degree. The program provides a maximum award of \$3,000, requires private colleges to provide a match and freeze student tuition for the duration of the award, maximizing the financial benefit to the student. The Enacted Budget includes \$19 million for the program.

Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The State DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the Delivery System Reform Incentive Payment (DSRIP) program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, with a goal to achieve 25 percent reduction in avoidable hospital use over five years. The Enacted Budget Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of nearly \$8 billion through FY 2021, with the remaining funds expected to be disbursed beyond FY 2021. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

State Financial Plan Projections Fiscal Years 2018 Through 2021



The Enacted Budget Financial Plan reflects the continuation of the Medicaid spending cap through FY 2019, and the projections assume that statutory authority will be extended in subsequent years. Allowable growth under the cap for medical services is 3.2 percent for FY 2018. Projecting medical CPI growth, DOB currently forecasts allowable cap growth at 3.1 percent in FY 2019; 2.9 percent in FY 2020; and 2.8 percent in FY 2021.

MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
Global Medicaid Cap¹	17,692	18,259	18,825	19,371	19,914
Annual % Change		3.2%	3.1%	2.9%	2.8%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration, increased Federal Financial Participation (FFP) pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Enacted Budget Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, and education aid.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars)					
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Enacted	Projected	Projected	Projected
Department of Health Medicaid	18,235	18,929	19,842	20,810	21,520
Local Assistance	17,974	18,662	19,749	20,685	21,417
State Operations	261	364	422	452	474
MSA Payments (Share of Local Growth) ²	0	(97)	(329)	(327)	(371)
Other State Agency Medicaid Spending	4,441	4,464	4,741	4,945	5,151
Mental Hygiene	4,302	4,328	4,590	4,790	4,987
Foster Care	82	86	101	105	114
Education	57	50	50	50	50
Total State Share Medicaid (All Agencies)	22,676	23,393	24,583	25,755	26,671
Annual \$ Change		717	1,190	1,172	916
Annual % Change		3.2%	5.1%	4.8%	3.6%
Essential Plan³	313	491	419	439	455

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; increased Federal Financial Participation that became effective in January 2014; and minimum wage increases.

² Tobacco MSA proceeds will be deposited directly to the MMIS Escrow Fund to cover total State share support for Medicaid.

³ The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, provider assessment revenue, and tobacco settlement proceeds. The following table provides information on the financing sources for State Medicaid spending. (More information on HCRA can be found in the section entitled "HCRA Financial Plan.")

DEPARTMENT OF HEALTH MEDICAID ¹ (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
STATE OPERATING FUNDS	22,989	23,884	3.9%	25,002	4.7%	26,194	4.8%	27,126	3.6%
Department of Health Medicaid	18,548	19,420	4.7%	20,261	4.3%	21,249	4.9%	21,975	3.4%
General Fund - DOH Medicaid Local	12,178	12,930	6.2%	13,808	6.8%	14,861	7.6%	15,664	5.4%
DOH Medicaid	10,457	10,788	3.2%	11,459	6.2%	12,070	5.3%	12,695	5.2%
Mental Hygiene - Global Cap Adjustment ²	1,125	1,249	11.0%	1,182	-5.4%	1,180	-0.2%	1,175	-0.4%
Minimum Wage	44	255	479.5%	579	127.1%	838	44.7%	882	5.3%
Local Growth Takeover (Zero Growth Phase-in) ³	552	735	33.2%	917	24.8%	1,100	20.0%	1,283	16.6%
MSA Payments (Share of Local Growth) ⁴	0	(97)	0.0%	(329)	-239.2%	(327)	0.6%	(371)	-13.5%
General Fund - DOH Medicaid State Ops	261	364	39.5%	422	15.9%	452	7.1%	474	4.9%
General Fund - Essential Plan	313	491	56.9%	419	-14.7%	439	4.8%	455	3.6%
Local Assistance	269	431	60.2%	355	-17.6%	365	2.8%	375	2.7%
State Operations	44	60	36.4%	64	6.7%	74	15.6%	80	8.1%
Other State Funds - DOH Medicaid Local	5,796	5,635	-2.8%	5,612	-0.4%	5,497	-2.0%	5,382	-2.1%
HCRA Financing	3,981	3,912	-1.7%	3,888	-0.6%	3,774	-2.9%	3,659	-3.0%
Indigent Care Support	965	892	-7.6%	892	0.0%	892	0.0%	892	0.0%
Provider Assessment Revenue	850	831	-2.2%	832	0.1%	831	-0.1%	831	0.0%
Other State Agency Medicaid Spending	4,441	4,464	0.5%	4,741	6.2%	4,945	4.3%	5,151	4.2%
USE OF MSA PAYMENTS (Share of Local Growth) ⁴	0	97	0.0%	329	239.2%	327	-0.6%	371	13.5%
LOCAL SHARE OF MEDICAID ^{5,6}	8,343	8,085	-3.1%	8,131	0.6%	8,061	-0.9%	8,090	0.4%
FEDERAL SHARE OF MEDICAID	37,719	39,622	5.0%	40,409	2.0%	41,362	2.4%	41,814	1.1%
DOH Medicaid	34,462	35,875	4.1%	36,304	1.2%	36,884	1.6%	36,873	0.0%
Essential Plan	3,257	3,747	15.0%	4,105	9.6%	4,478	9.1%	4,941	10.3%
ALL FUNDING SOURCES	69,051	71,688	3.8%	73,871	3.0%	75,944	2.8%	77,401	1.9%

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.
² The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
³ As of County Year (CY) 2015 the full share of local Medicaid services growth has been financed with State resources.
⁴ MSA payments will be deposited directly to the MMIS Escrow Fund to cover a portion of the State's share of local Medicaid growth.
⁵ The Local Share of Medicaid is paid by the Local Social Service Districts (counties), and is not included in the State's All Governmental Funds disbursement totals.
⁶ Fluctuation in the local share of Medicaid is related to certain supplemental payments made by local districts. Local Medicaid services payments are capped at CY 2015 levels.

The FY 2018 Enacted Budget Financial Plan includes \$382 million in annual savings from funding certain OPWDD-related Medicaid expenses under the Medicaid Global Cap. DOH will continue to implement various MRT actions to improve the efficiency and effectiveness in delivery of the statewide Medicaid program. These actions include savings associated with proposals to collaborate with New York City for achieving efficiencies; the establishment of a Medicaid pharmacy drug spending growth cap, which is inclusive of a process to mitigate excessive pricing; utilization of BIP funds to support Federal wage requirements; a requirement for Medicare enrollment among Medicaid beneficiaries who are dually eligible for both programs, with Medicare providing the first level of insurance coverage; efficiencies available through DSRIP; and funding for the QHP portion of the NYSOH health benefit exchange, which will be reduced by \$17 million in FY 2018 through the utilization of additional available Federal resources.

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The FY 2018 Enacted Budget Financial Plan includes authorization to use MSA payments to fund a portion of the non-Federal share of annual Medicaid growth, which the State pays on behalf of local governments. The use of MSA payments will not affect total funding for the Medicaid program, but is expected to provide Financial Plan relief through lower annual General Fund Medicaid disbursements. The table below lists the adjusted funding shares.

FUNDING SOURCES FOR STATE MEDICAID CONTRIBUTIONS (millions of dollars)					
	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Share Support	<u>22,989</u>	<u>23,981</u>	<u>25,331</u>	<u>26,521</u>	<u>27,497</u>
State Funds Medicaid Disbursements ¹	22,989	23,884	25,002	26,194	27,126
MSA Payments (Local Growth)	0	97	329	327	371

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.

The FY 2018 Enacted Budget Financial Plan provides General Fund support to the Global Cap to fund the costs of the regionally-based, multi-year increase in the statewide minimum wage, including the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in Westchester, New York, Nassau, and Suffolk counties will be increased commensurate with the schedule of statutory minimum wage increases.¹⁶ The impact of these Minimum wage initiatives is projected to increase annual Medicaid spending above statutory Global Cap limits by \$255 million in FY 2018; \$579 million in FY 2019; \$838 million in FY 2020; and \$882 million in FY 2021.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients are expected to exceed 6.2 million by the end of FY 2018, a slight increase from FY 2017.

The ability to offset rising costs within the Medicaid Global Cap exists through the Medicaid integrity and efficiency initiative, which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide savings. Financial Plan savings associated with the Medicaid program are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap, as noted above.

¹⁶ Home health care workers in these counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York; \$3.22 for Westchester, Nassau, and Suffolk), resulting in total compensation which otherwise would have exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.

With the new presidential administration and Congress, many policies that drive Federal aid are subject to change. It is not possible at this time to predict potential fiscal impacts of new policies that may be proposed and adopted. The FY 2018 Enacted Budget includes Federal Flexibility provisions to allow for the management of reductions of \$850 million or more in Federal funding for the State’s Medicaid program during FY 2018. Management of such reduction levels would occur only through actions within the State’s Medicaid program.

Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH health benefit exchange, with the cost of insurance premiums subsidized by the State and Federal governments. When fully implemented, approximately 90 percent of program expenditures are expected to be paid by the Federal government.

ESSENTIAL PLAN (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL ALL FUNDS SPENDING	3,570	4,238	18.7%	4,524	6.7%	4,917	8.7%	5,396	9.7%
State Operating Funds	313	491	56.9%	419	-14.7%	439	4.8%	455	3.6%
Local Assistance	269	431	60.2%	355	-17.6%	365	2.8%	375	2.7%
State Operations	44	60	36.4%	64	6.7%	74	15.6%	80	8.1%
Federal Operating Funds	3,257	3,747	15.0%	4,105	9.6%	4,478	9.1%	4,941	10.3%

In future years, increased program costs associated with rising enrollment levels are anticipated to be partially or fully offset from Federal resources, as growth in the NYSOH index premium that is linked to Federal Basic Health Plan Trust Fund contribution is expected to exceed the growth rate of State-funded EP premium reimbursement. The Federal match percentage is forecasted in the range of 90 percent over the multiyear plan, in recognition of anticipated growth in the NYSOH index premium.

State costs associated with the EP program and related savings are managed within the total available resources of the Medicaid Global Cap. This includes a portion of spending associated with increasing EP enrollment in part, reflecting the transition of certain individuals from the Medicaid program to the EP program based on changes in income levels.

Many of the policies that drive Federal aid are subject to change with the new presidential administration and Congress. It is not possible at this time to assess the potential fiscal impact of policies that may be proposed and adopted by the new administration and Congress. The FY 2018 Budget includes authorization to develop a mitigation plan to offset the impact of significant Federal funding reductions.

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Public Health/Aging Programs

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families, up to the age of 19; the GPHW program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three, with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	1,640	1,625	-0.9%	1,658	2.0%	1,807	9.0%	1,976	9.4%
Public Health	1,515	1,502	-0.9%	1,533	2.1%	1,677	9.4%	1,841	9.8%
Child Health Plus	219	235	7.3%	250	6.4%	380	52.0%	537	41.3%
General Public Health Work	194	198	2.1%	200	1.0%	204	2.0%	208	2.0%
EPIC	132	133	0.8%	128	-3.8%	128	0.0%	128	0.0%
Early Intervention	173	175	1.2%	173	-1.1%	173	0.0%	166	-4.0%
HCRA Program	405	398	-1.7%	399	0.3%	398	-0.3%	398	0.0%
All Other	392	363	-7.4%	383	5.5%	394	2.9%	404	2.5%
Aging	125	123	-1.6%	125	1.6%	130	4.0%	135	3.8%

The FY 2018 Enacted Budget Financial Plan includes initiatives to reduce certain public health programs by 20 percent, resulting in total annual savings of \$25 million funded from the General Fund and HCRA resources.

Declining spending for HCRA and other health programs is partly affected by funding Roswell Park Cancer Institute (RPCI) from capital projects funds. Outyear increases are driven largely by anticipated growth in COLA funding. The decline is also driven by a shift of funding for the Medicaid Utilization Review Program under the Medicaid Global Cap.

CHP spending is anticipated to increase significantly in FY 2020, reflecting the September 2019 expiration of enhanced Federal support currently provided through the ACA. Growth in FY 2021 reflects the full annual impact of the expiration of enhanced Federal support.

Spending for Aging reflects the use of available Federal BIP funds to support the expansion of the NY Connects/No Wrong Door program. After the expiration of Federal BIP funds in September 2017, the funding will be supported by the Medicaid Global Cap.

HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and Doctors Across New York program. HCRA authorization is extended through FY 2020, pursuant to legislation included in the FY 2018 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA FINANCIAL PLAN FY 2017 THROUGH FY 2021 (millions of dollars)					
	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
OPENING BALANCE	78	12	0	0	0
TOTAL RECEIPTS	5,799	5,772	5,796	5,817	5,788
Surcharges	3,262	3,311	3,369	3,428	3,496
Covered Lives Assessment	1,161	1,110	1,110	1,110	1,045
Cigarette Tax Revenue	876	847	816	781	748
Hospital Assessments	412	424	424	424	424
NYC Cigarette Tax Transfer/Other	88	80	77	74	75
TOTAL DISBURSEMENTS AND TRANSERS	5,865	5,784	5,796	5,817	5,788
Medicaid Assistance Account ¹	<u>3,981</u>	<u>3,912</u>	<u>3,888</u>	<u>3,774</u>	<u>3,659</u>
Medicaid Costs	3,784	3,715	3,691	3,577	3,462
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	965	892	892	892	892
HCRA Program Account	413	407	407	407	406
Child Health Plus	223	238	254	384	542
Elderly Pharmaceutical Insurance Coverage	143	145	140	140	140
SHIN-NY/APCD	10	40	40	40	0
All Other	130	150	175	180	149
ANNUAL OPERATING SURPLUS/(DEFICIT)	(66)	(12)	0	0	0
CLOSING BALANCE	12	0	0	0	0

¹ NYSOH spending will be financed with available HCRA resources through the Medicaid program.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Beginning in FY 2018, total HCRA receipts are forecasted to grow moderately through FY 2020, due primarily to higher surcharge collections generated from continued growth in health care utilization levels. Relative to previous assumptions and trends, this growth has been reflected in the FY 2018 Enacted Budget Financial Plan through additional surcharge collections of \$78 million in FY 2018; \$76 million in FY 2019; \$75 million in FY 2020 and \$84 million in FY 2021. Covered lives revenue is driven by utilization for certain public health programs, and reflects the extension of the reconciliation suspension in FYs 2018 through 2020.

The level of annual growth forecast for total HCRA revenue through the remainder of the multi-year planning period mainly reflects increases consistent with historic collection patterns. Continued outyear declines for cigarette tax collections, attributable to declining taxable consumption, partly offsets total HCRA receipts growth.

HCRA Program Savings are derived from a 20 percent reduction in certain public health programs included in the Enacted budget agreement. Additionally, \$21 million in RPCI funding will be shifted into the Capital Projects Fund.

The Enacted Budget also includes a three-year extension of program support, estimated at \$40 million in each year, for the SHIN-NY/APCD infrastructure development initiative to improve informational and data capabilities associated with claiming records.

Over the multi-year Financial Plan period, the most significant area of spending growth is in the CHP program, as the enhanced level of Federal resources provided through the ACA is scheduled to expire after September 30, 2019.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

Mental Hygiene

The Department of Mental Hygiene is comprised of OPWDD, Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs (Justice Center). Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,461	2,485	1.0%	2,962	19.2%	3,241	9.4%	3,460	6.8%
People with Developmental Disabilities	2,208	2,284	3.4%	2,477	8.5%	2,657	7.3%	2,813	5.9%
Residential Services	1,333	1,379	3.5%	1,496	8.5%	1,605	7.3%	1,699	5.9%
Day Programs	554	573	3.4%	622	8.6%	667	7.2%	706	5.8%
Clinic	24	25	4.2%	27	8.0%	29	7.4%	30	3.4%
All Other Local/Resources	297	307	3.4%	332	8.1%	356	7.2%	378	6.2%
Mental Health	1,187	1,205	1.5%	1,395	15.8%	1,480	6.1%	1,533	3.6%
Adult Local Services	952	979	2.8%	1,143	16.8%	1,208	5.7%	1,251	3.6%
Children Local Services	235	226	-3.8%	252	11.5%	272	7.9%	282	3.7%
Alcohol and Substance Abuse	304	334	9.8%	351	5.1%	373	6.3%	386	3.5%
Outpatient/Methadone	117	129	10.5%	135	4.7%	144	6.9%	149	3.5%
Residential	120	132	9.7%	138	4.5%	146	5.8%	151	3.4%
Prevention and Program Support	58	64	10.5%	67	5.2%	72	6.3%	74	3.5%
Crisis	9	9	-1.2%	11	17.7%	11	6.3%	12	3.5%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
SUBTOTAL BEFORE ADJUSTMENTS	3,700	3,824	3.4%	4,224	10.5%	4,511	6.8%	4,733	4.9%
OPWDD Offsets and Recoupments¹	(1,239)	(1,339)	-8.1%	(1,262)	5.8%	(1,270)	-0.6%	(1,273)	-0.2%

¹ Includes the DOH Global Cap Adjustment (\$1.1 billion in FY 2017 and \$1.2 billion in FYs 2018-2021), and other offsets and recoupment actions to reduce net expenses.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Local assistance accounts for approximately 40 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 8.9 percent annually. The main factors driving this level of growth are enhancing community mental health services; enhancing community-based employment and residential opportunities for individuals with disabilities; and funding not-for-profit providers for growth in employee wages related to minimum wage increases.

The FY 2018 Enacted Budget provides approximately \$124 million in increased local assistance funding for mental hygiene agencies. The spending increase is largely related to new community investments in OPWDD and OMH, as individuals are transitioned from State-operated services to community-integrated settings; new service investments in the OPWDD system, including funding to promote access to vital supports and services for individuals aging out of their educational settings or moving from home; community reinvestment for individuals relocating from institutional settings; expansion of the Systemic Therapeutic Assessment Respite and Treatment (START) model to downstate and funding to support the direct cost of minimum wage increases; transition of new residential beds opening in the mental health provider community; and funding in OASAS to address the heroin and opioid crisis.

The Enacted Budget also provides funding to support a 6.5 percent raise over the next two years for direct care workers, and a 3.25 percent raise for clinical workers serving the mental hygiene community, both aimed at assisting non-profits in the recruitment and retention of employees. Partly offsetting these cost increases is a deferral of the statutory COLA in FY 2018 and FY 2019.

The additional funding increase is offset by technical adjustments to the Medicaid Global Cap (\$100 million), as a greater share of OPWDD-related spending will be financed from Global Cap resources. These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

State Funds local assistance spending for mental hygiene services is expected to increase in FY 2019 relative to the current-year projections due primarily to continued investments in community services.

The Enacted Budget Financial Plan reflects state operations savings associated with the transition of certain State-operated inpatient and supported residential placements, to integrated community-based settings where individual service needs can be sized more appropriately and provided more cost-efficiently, as noted above.

Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, funded by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, funded by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	1,220	1,316	7.9%	1,345	2.2%	1,356	0.8%	1,359	0.2%
SSI	645	658	2.0%	661	0.5%	663	0.3%	667	0.6%
Public Assistance Benefits	474	526	11.0%	545	3.6%	545	0.0%	541	-0.7%
Public Assistance Initiatives	11	26	136.4%	33	26.9%	33	0.0%	33	0.0%
All Other	90	106	17.8%	106	0.0%	115	8.5%	118	2.6%

OTDA spending on SSI is projected to increase between FY 2017 and FY 2018 and to continue to increase gradually over the course of the multi-year Financial Plan due to updated caseload projections. Public assistance benefits spending is projected to increase from FY 2017 to FY 2018 based on an update to DOB's caseload models, with DOB projecting a total of 558,720 recipients in FY 2018. Approximately 230,387 families are expected to receive benefits through the Family Assistance program in FY 2018, a decrease of 1.9 percent from FY 2017. The Safety Net caseload for families is projected at 121,194 in FY 2018, a decrease of 1.7 percent from FY 2017. The caseload for single adults/childless couples supported through the Safety Net program is projected at 207,139 in FY 2018, an increase of 1.1 percent from FY 2017.

Spending in public assistance will increase from FY 2017 to FY 2018 due to a variety of factors including the expansion of HIV/AIDS Services Administration (HASA) benefits to all public assistance recipients living in New York City, and increased costs associated with litigation proceedings that will increase Safety Net Assistance expenditures. Growth is expected to be more gradual in the outyears.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State’s system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES									
(millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,715	1,652	-3.7%	1,711	3.6%	1,847	7.9%	1,917	3.8%
Child Welfare Service	551	472	-14.3%	482	2.1%	491	1.9%	501	2.0%
Foster Care Block Grant	446	388	-13.0%	393	1.3%	399	1.5%	405	1.5%
Adoption	150	144	-4.0%	143	-0.7%	141	-1.4%	135	-4.3%
Day Care	203	262	29.1%	234	-10.7%	235	0.4%	236	0.4%
Youth Programs	100	147	47.0%	182	23.8%	294	61.5%	339	15.3%
Medicaid	82	86	4.9%	101	17.4%	105	4.0%	114	8.6%
Committees on Special Education	50	26	-48.0%	27	3.8%	30	11.1%	33	10.0%
Adult Protective/Domestic Violence	43	33	-23.3%	35	6.1%	36	2.9%	38	5.6%
All Other	90	94	4.4%	114	21.3%	116	1.8%	116	0.0%

OCFS State Operating Funds spending is projected to decline from FY 2017 to FY 2018 due to a variety of factors, including restructuring the financing approach for foster care tuition and residential school placements of children with special needs in New York City; adjustments to the State share reimbursement under the Foster Care Block Grant to an estimated 50 percent, net of Federal funding; and the elimination of the planned Human Services COLA in FY 2018.

Spending is projected to increase in FY 2019 and into the outyears, primarily due to implementation of the “Raise the Age” initiative, which will increase the age of juvenile jurisdiction from 16 to 18.

Transportation

In FY 2018, the State will provide approximately \$5 billion in operating aid to mass transit systems, funded mainly from various dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of this aid. The MTA receives additional, exclusive operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund provides additional annual support to the MTA, subject to appropriation, to partially offset this revenue loss.

TRANSPORTATION (millions of dollars)									
	FY 2017	FY 2018		FY 2019		FY 2020		FY 2021	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	4,977	5,027	1.0%	5,078	1.0%	5,174	1.9%	5,239	1.3%
Mass Transit Operating Aid:	<u>2,279</u>	<u>2,282</u>	<u>0.1%</u>	<u>2,282</u>	<u>0.0%</u>	<u>2,282</u>	<u>0.0%</u>	<u>2,282</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,152	2,152	0.0%	2,152	0.0%	2,152	0.0%	2,152	0.0%
Public Transit Aid	83	86	3.6%	86	0.0%	86	0.0%	86	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,967	2,005	1.9%	2,063	2.9%	2,159	4.7%	2,223	3.0%
Dedicated Mass Transit	669	668	-0.1%	676	1.2%	676	0.0%	677	0.1%
AMTAP	62	70	12.9%	57	-18.6%	57	0.0%	57	0.0%
All Other	0	2	0.0%	0	-100.0%	0	0.0%	0	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Enacted Budget Financial Plan includes revised spending estimates for transit assistance in each year to reflect the most recent revenue forecast assumptions. Funding is also included for Department of Motor Vehicles county special traffic options programs for driving while intoxicated.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2017	FY 2018	Change	FY 2019	Change	FY 2020	Change	FY 2021	Change
	Results	Enacted		Projected		Projected		Projected	
TOTAL STATE OPERATING FUNDS	715	723	1.1%	763	5.5%	763	0.0%	763	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	0	8	100.0%	48	500.0%	48	0.0%	48	0.0%

State Operating Funds spending for the various efficiency and restructuring grants within the AIM program is projected to grow modestly from FY 2017 to FY 2018, due to revisions in the timing of spending. Additional increases in the outyears reflect potential awards from the Financial Restructuring Board for Local Governments.

Agency Operations

Agency operating costs consist of Personal Service (PS), Non-Personal Service (NPS), and GSCs. PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, Information Technology (IT), and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of the DOT and DMV are included in the capital projects fund type and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The largest unions include the Civil Service Employees Association (CSEA), which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2017 Results ¹	FY 2018 Enacted	Forecast		
			FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Negotiated Base Salary Increases ²					
CSEA/Council 82/UUP/DC-37/NYSCOPBA/PBANYS	TBD	TBD	TBD	TBD	TBD
PEF/GSEU/MC	2%	2%	2%	TBD	TBD
NYSBPA/NYSPIA ³	1.5%	1.5%	TBD	TBD	TBD
State Workforce ⁴	117,909	118,481	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁵	16.6%	16.7%	16.7%	17.4%	19.2%
After Amortization ⁶	20.2%	20.5%	20.5%	21.1%	22.8%
PFRS Contribution Rate					
Before Amortization ⁵	25.1%	25.3%	25.7%	27.1%	29.7%
After Amortization ⁶	28.5%	28.3%	29.3%	30.7%	33.2%
Employee/Retiree Health Insurance Growth Rates	7.0%	7.4%	6.9%	6.8%	6.8%
PS/Fringe as % of Receipts (All Funds Basis)	13.6%	13.3%	13.5%	13.7%	14.2%

¹ Reflects preliminary unaudited results.
² Reflects current collective bargaining agreements with settled unions. GSEU requires enactment of paybills. Does not reflect potential impact of future negotiated labor agreements.
³ Contracts contain "reopener" language which allows the union to reopen negotiations if any other State bargaining unit receives a general salary increase exceeding 1.5 percent in FY 2017 and in FY 2018.
⁴ Reflects workforce that is subject to direct Executive control.
⁵ Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veterans' pension credit legislation.
⁶ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Operating costs for PS/NPS are projected to increase over the Financial Plan period, from \$18.7 billion in FY 2018 to \$20.1 billion in FY 2021. Most executive agencies are expected to hold spending at FY 2017 levels. Increases in later years of the Financial Plan are driven mainly by juvenile justice reform, higher Medicaid administration expenses expected to support the NYSOH insurance exchange as available Federal funding expires, and an additional administrative payroll in FY 2021.

Executive agency operational costs are expected to total \$10 billion in FY 2018, a decline of \$24 million from FY 2017, driven by the reclassification of certain agency operating and equipment costs to better align with capital and Federal financing sources.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS

(millions of dollars)

	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	Results	Enacted	Projected	Projected	Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL	10,019	9,997	10,223	10,455	10,746
Mental Hygiene	2,761	2,744	2,728	2,764	2,808
Corrections and Community Supervision	2,641	2,617	2,620	2,627	2,633
State Police	720	671	670	670	695
Information Technology Services ¹	548	536	560	560	570
Public Health	384	367	364	365	370
Tax and Finance	332	330	329	329	340
Medicaid Admin/EP	305	422	486	527	554
Children and Family Services	250	244	289	375	442
Environmental Conservation	225	211	211	212	218
Financial Services	209	207	207	211	211
Parks, Recreation and Historic Preservation	178	169	169	170	176
General Services	161	144	142	138	139
Gaming	138	115	100	100	101
Temporary and Disability Assistance	138	125	125	132	136
Workers' Compensation Board	139	142	143	145	151
Potential Labor Agreements ²	0	555	650	700	750
Agency Financial Management Plan	0	(500)	(500)	(500)	(500)
All Other	890	898	930	930	952
UNIVERSITY SYSTEMS	6,097	6,079	6,321	6,487	6,666
State University	6,003	5,962	6,230	6,395	6,572
City University	94	117	91	92	94
INDEPENDENT AGENCIES	319	324	321	323	337
Law	170	175	174	176	184
Audit & Control (OSC)	149	149	147	147	153
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	16,435	16,400	16,865	17,265	17,749
Judiciary	2,019	2,066	2,092	2,094	2,151
Legislature	226	226	218	218	219
Statewide Total	18,680	18,692	19,175	19,577	20,119
Personal Service	13,093	12,910	13,179	13,454	13,925
Non-Personal Service	5,587	5,782	5,996	6,123	6,194

¹ Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.

² Excludes the value of a settlement with UUP.

State Financial Plan Projections Fiscal Years 2018 Through 2021



The most significant changes to spending for agency operations include:

- **State Police:** Cost reductions primarily reflect the financing of certain State Police services by the recipients of such services. In addition, certain personal service spending related to titles associated with the maintenance and preservation of State assets has been reclassified to the capital projects fund.
- **Medicaid Administration/EP:** Increased spending starting in FY 2018 is mainly attributable to moving the QHP component of NYSOH administrative costs into the Global Medicaid Cap (from HCRA in Public Health), as well as anticipated higher Medicaid costs.
- **General Services:** Certain personal service spending related to titles associated with the maintenance and preservation of State assets are reclassified to the capital projects fund, which drives the spending decline in FY 2018.
- **Gaming:** A change in the accounting structure related to advertising costs whereby direct payment is made to the vendor instead of reimbursing the Gaming Commission, resulting in lower State Operating Funds spending by the Gaming Commission.
- **Children and Family Services:** The Enacted Budget proposes additional funding in OCFS to support raising the age of juvenile jurisdiction from 16 to 18 by October 1, 2019.
- **IT Services:** Increases in spending for IT Services from FY 2018 to FY 2021 are attributable to agency transfers for the continuous statewide IT consolidation, which is offset by efficiencies realized through the IT consolidation.
- **State University:** SUNY spending over the Financial Plan period reflects anticipated operating needs at SUNY campuses and hospitals, supported through campus revenues, State funding and hospital revenues.
- **Judiciary:** The Enacted Budget reflects the Judiciary's request to increase operating support, including the addition of 200 non-judicial positions in support of trial court operations, and temporary service funding for acting city, town and village justices.
- **Potential Labor Agreements:** Costs of the recently negotiated settlement agreements with PEF, now signed into law by the Governor and extended to unrepresented M/C employees, assuming the PEF model, will be provided to all other employee unions.
- **Agency Financial Management Plans:** The Enacted Budget Financial Plan includes \$500 million in annual savings that will be allocated to agencies as agency management plans are completed. All Executive agencies have been directed to implement cost-control measures on a recurring basis, starting in FY 2018. Agency plans identifying cost reductions and efficiencies to achieve the targeted savings are expected to be reviewed and approved by DOB prior to implementation. Plans must preserve funding for core services and strategic initiatives.

Workforce

In FY 2018, \$12.9 billion or 13.2 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 95,000 FTE employees under direct Executive control; individuals employed by SUNY and CUNY (43,252) and Independent Agencies (18,276); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in three areas: SUNY, the mental hygiene agencies, and the Department of Corrections and Community Supervision (DOCCS).

STATE OPERATING FUNDS FY 2018 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY (millions of dollars)		
	Dollars	FTEs
Subject to Direct Executive Control	7,138	94,888
Mental Hygiene Agencies	2,231	32,587
Corrections and Community Supervision	2,052	27,309
State Police	611	5,636
Tax and Finance	270	3,978
Information Technology Services	271	3,406
Health	254	3,693
Environmental Conservation	170	2,124
Children and Family Services	160	2,406
Financial Services	152	1,382
Parks, Recreation and Historic Preservation	124	1,305
Education	88	1,263
Workers' Compensation Board	81	1,165
Temporary and Disability Assistance	69	1,033
General Services	57	907
All Other	548	6,694
University Systems	3,770	43,252
State University	3,694	42,869
City University ²	76	383
Independent Agencies	2,002	18,276
Law	121	1,583
Audit & Control (OSC)	115	1,603
Judiciary	1,595	15,089
Legislature ³	171	1
Total	12,910	156,416

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time, or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded primarily through an agency trust fund that supports an additional 13,166 FTEs, which are excluded from this table.

³ Legislative employees are nonannual salaried and are excluded from this table, with the exception of the Lieutenant Governor, who serves as President of the Senate.

State Financial Plan Projections Fiscal Years 2018 Through 2021



General State Charges

Employee fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State’s employer-share of Social Security, health insurance, workers’ compensation, unemployment insurance, survivors’ benefits fund, employee benefits funds, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations in the GSCs budget.¹⁷ The Judiciary pays its fringe benefit costs directly.

Employee fringe benefits that are paid through GSCs are financed from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments. The largest reimbursement to the General Fund comes from the mental hygiene agencies, which combined account for nearly half of all payments.

GSCs also include fixed costs for several categories including State payments in lieu of taxes (PILOT), payments for local assessments on State-owned land, and judgments against the State pursuant to the Court of Claims Act.

GSCs are projected to increase at an average annual rate of 6.6 percent over the multi-year Financial Plan period, driven primarily by cost increases for workers’ compensation, growing pension contribution levels, and the employer share of costs for employee and retiree health insurance benefits.

In FY 2018, State Operating Funds spending for GSCs is projected to increase by \$429 million (5.6 percent). Health insurance increases reflect rising prescription drug costs, greater use of more expensive specialty drugs for chronic conditions, generic drug price inflation, increased outpatient utilization, and increased inpatient/outpatient utilization in Mental Health. Pension cost increases are driven by actual and forecasted salary base assumptions and the repayment of prior-year amortizations, partially offset by an increase in lower cost Tier 6 entrants.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
TOTAL STATE OPERATING FUNDS	7,634	8,063	5.6%	8,663	7.4%	9,202	6.2%	9,833	6.9%
Fringe Benefits	7,212	7,636	5.9%	8,230	7.8%	8,762	6.5%	9,386	7.1%
Health Insurance	3,708	3,983	7.4%	4,260	7.0%	4,551	6.8%	4,860	6.8%
Pensions	2,446	2,540	3.8%	2,647	4.2%	2,761	4.3%	2,990	8.3%
Social Security	992	992	0.0%	995	0.3%	1,003	0.8%	1,009	0.6%
Workers' Compensation	230	326	41.7%	476	46.0%	591	24.2%	681	15.2%
Employee Benefits	94	95	1.1%	95	0.0%	95	0.0%	95	0.0%
Dental Insurance	64	65	1.6%	65	0.0%	66	1.5%	67	1.5%
Unemployment Insurance	11	15	36.4%	15	0.0%	15	0.0%	15	0.0%
All Other/Non-State Escrow	(333)	(380)	-14.1%	(323)	15.0%	(320)	0.9%	(331)	-3.4%
Fixed Costs	422	427	1.2%	433	1.4%	440	1.6%	447	1.6%

¹⁷ As of July 2015, SUNY Teachers Insurance and Annuity Association - College Retirement Equities Fund (TIAA- CREF) and other SUNY fringe benefit costs are no longer paid directly by SUNY, and have been shifted to the central statewide appropriation.

Growth in base GSC spending in FY 2018 has been partly offset by gap-closing savings of approximately \$81 million included as part of the Enacted Budget Financial Plan, as well as the expected use of an additional \$105 million from SIF reserves to reduce Workers' Compensation costs in FY 2018. The savings are primarily driven by \$63 million in interest savings achieved by paying the majority of the State pension bill in April 2017, rather than on a monthly basis as the Financial Plan previously assumed.

Over the multi-year Financial Plan period, outyear pension costs are anticipated to increase based on a model that reflects forecasted salary base information and continuation of modest investment returns, as experienced in the past year. Health insurance growth reflects utilization and costs leading to higher forecasted rate renewal increases. Underlying workers' compensation growth is driven by an increase in funding liability, while net Financial Plan funding reflects the use of excess balances which are scheduled to be transferred by the Workers' Compensation Board (WCB) directly to SIF, or accessed directly from available balances residing with SIF, to partially offset workers' compensation payments from General Fund resources through FY 2020.

Agency fringe benefit spending, and the reimbursement of such payments to the General Fund, have been updated based on recent billing and payment activity.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State's share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)					
	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
TOTAL TRANSFERS TO OTHER FUNDS	10,092	10,164	12,163	12,299	12,156
State Share of Mental Hygiene Medicaid ¹	1,239	1,301	1,231	1,119	1,119
Debt Service	924	921	1,155	1,053	1,074
SUNY University Operations	996	1,015	1,005	1,001	1,001
Capital Projects	2,569	2,627	4,068	3,899	3,479
Dedicated Highway and Bridge Trust Fund	562	696	671	982	997
Dedicated Infrastructure Investment Fund	697	1,402	1,767	1,217	933
FY 2017 Temporary Loan to Capital Projects Fund	1,300	(1,300)	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	500	(500)	0	0
Transfer to DIIF for Javits Expansion	0	160	350	320	170
Bond Proceeds Receipts for Javits Expansion	0	0	0	(500)	(500)
Mass Transit Capital from Settlements	0	85	0	0	0
Statewide Health Care Capital from Settlements	0	25	45	50	50
Environmental Protection Fund	146	28	28	28	28
All Other Capital	(136)	1,031	1,707	1,802	1,801
ALL OTHER TRANSFERS	4,364	4,300	4,704	5,227	5,483
Mental Hygiene	3,287	3,197	3,598	4,102	4,330
Department of Transportation (MTA Payroll Tax)	334	268	269	269	270
SUNY - Medicaid Reimbursement	267	232	243	243	243
Judiciary Funds	107	106	110	109	110
SUNY - Hospital Operations	88	79	79	79	79
Dedicated Mass Transportation Trust Fund	63	66	66	66	66
Banking Services	42	53	53	53	53
Indigent Legal Services	31	35	35	58	82
Mass Transportation Operating Assistance	19	21	21	21	21
Public Transportation Systems	15	16	16	16	16
Correctional Industries	11	12	12	12	12
Spinal Cord Injury	8	9	9	9	9
Medical Marihuana Fund	5	5	7	5	7
SUNY - General Income Fund Reimbursable Account	14	14	0	0	0
All Other	73	187	186	185	185

¹ Includes transfers related to the multi-year OPWDD disallowance repayments.



State Financial Plan Projections Fiscal Years 2018 Through 2021

A significant portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of the Petroleum Business Tax (PBT), motor fuel tax, and highway use taxes. The Enacted Budget Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$10.2 billion in FY 2018, a \$72 million increase from FY 2017. This growth is primarily attributable to an increase in transfers to capital projects, including increased support for the DHBTF (\$134 million); as well as lower than anticipated transfers to capital projects funds in 2017, reflecting the timing of bond proceeds reimbursements from a March 2017 bond sale (\$1.2 billion). These increases are almost entirely offset by a \$1.2 billion decline in transfers of monetary settlement funds for projects appropriated from DIIF and the capital projects fund in FY 2018, including temporary loans and planned repayment of funds related to debt management actions.

State Financial Plan Projections Fiscal Years 2018 Through 2021



Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), the Dormitory Authority of the State of New York (DASNY), and the New York State Thruway Authority (NYSTA), subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2017 Results	FY 2018 Enacted	Change	FY 2019 Projected	Change	FY 2020 Projected	Change	FY 2021 Projected	Change
General Fund	924	921	-0.3%	1,155	25.4%	1,053	-8.8%	1,074	2.0%
Other State Support	4,590	4,398	-4.2%	5,344	21.5%	6,081	13.8%	6,328	4.1%
State Operating/All Funds Total	5,514	5,319	-3.5%	6,499	22.2%	7,134	9.8%	7,402	3.8%

Total State Operating/All Funds debt service is projected at \$5.3 billion in FY 2018, of which approximately \$921 million is paid from the General Fund via transfers, and \$4.4 billion from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

Enacted Budget estimates for debt service spending have been revised to reflect a number of factors, including bond sale results to date, assumed debt management savings, revised bond-financed capital spending estimates, and increased debt service costs associated with enacted additional capital commitment levels. Debt service spending in FY 2017 reflected pre-payments of about \$490 million of debt service due during FY 2018.



FY 2017
Preliminary Year-End Results

This section provides a summary of operating results for April 2016 through March 2017 compared to: (1) the initial projections set forth in the FY 2017 Enacted Budget (the “Initial FY 2017 Budget”); (2) the revised projections of the FY 2018 Executive Budget, as amended (the “Revised FY 2017 Estimate”); and (3) the results for the prior fiscal year (April 2016 through March 2017). The explanation of variances primarily focuses on results compared to the Initial FY 2017 Budget.

The results below include monetary settlements. For a summary discussion of operating results that exclude monetary settlements, see the earlier discussion of the FY 2017 General Fund.

General Fund Results

Monetary settlements continue to affect the State's receipts, transfers, and cash position and as such, the State ended March 2017 with a General Fund closing balance of \$7.7 billion. Of the year-end closing balance, \$5.3 billion was attributable to monetary settlements, including a total of \$1.3 billion received by the General Fund during FY 2017.

GENERAL FUND OPERATING RESULTS APRIL 2016 THROUGH MARCH 2017 (millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
Opening Balance	8,934	8,934	8,934	0	0.0%	0	0.0%
Total Receipts	68,976	67,990	66,895	(2,081)	-3.0%	(1,095)	-1.6%
Taxes:	65,117	62,969	62,264	(2,853)	-4.4%	(705)	-1.1%
Personal Income Tax ¹	44,769	42,695	42,810	(1,959)	-4.4%	115	0.3%
Consumption / Use Taxes ¹	12,602	12,617	12,643	41	0.3%	26	0.2%
Business Taxes	5,750	5,571	4,761	(989)	-17.2%	(810)	-14.5%
Other Taxes ¹	1,996	2,086	2,050	54	2.7%	(36)	-1.7%
Receipts and Grants	2,813	3,799	3,813	1,000	35.5%	14	0.4%
Transfers From Other Funds	1,046	1,222	818	(228)	-21.8%	(404)	-33.1%
Total Spending	71,841	69,692	68,080	(3,761)	-5.2%	(1,612)	-2.3%
Local Assistance	45,957	44,826	44,439	(1,518)	-3.3%	(387)	-0.9%
Agency Operations (including GSCs)	13,724	13,744	13,549	(175)	-1.3%	(195)	-1.4%
Debt Service Transfer	706	927	924	218	30.9%	(3)	-0.3%
Capital Projects Transfer	4,461	3,458	2,569	(1,892)	-42.4%	(889)	-25.7%
State Share of Medicaid Transfer	1,437	1,432	1,239	(198)	-13.8%	(193)	-13.5%
SUNY Operations Transfer	996	996	996	-	0.0%	-	0.0%
All Other Transfers	4,560	4,309	4,364	(196)	-4.3%	55	1.3%
Change in Operations	(2,865)	(1,702)	(1,185)	1,680	58.6%	517	30.4%
Closing Balance	6,069	7,232	7,749	1,680	27.7%	517	7.1%

¹ Includes transfers from other funds after debt service.

General Fund receipts, including transfers from other funds, totaled \$66.9 billion through March 2017, \$2.1 billion below the Initial FY 2017 Budget. As shown in the table above, tax collections were \$2.9 billion below the estimate, with a \$2 billion shortfall in PIT receipts and \$989 million shortage in Business Taxes. The shortfall in PIT receipts was primarily due to underlying weakness in estimated payments and withholding growth, while lower business tax receipts were mainly due to shortfalls in both audit collections and cash payments associated with tax year 2015 final returns. The lower receipts were partly offset by higher than estimated estate tax collections related to stronger than anticipated growth in household net worth. Miscellaneous receipts were \$1 billion higher than initial projections due almost entirely to additional monetary settlement collections not anticipated in the Initial FY 2017 Budget. Transfers from other funds that were not processed in FY 2017 have been rescheduled for FY 2018.

General Fund disbursements, including transfers to other funds, totaled \$68.1 billion through March 2017, which was \$3.8 billion lower than the Initial FY 2017 Budget, in large part reflecting lower than anticipated transfers to capital projects and under-spending across a number of local assistance program areas. The General Fund disbursement estimate was updated throughout the fiscal year to reflect general under-spending across a number of program areas, as well as substantial revisions to recognize the utilization of other financing sources for capital projects spending and a greater availability of HCRA revenue resources to finance State-funded Medicaid.

The State ended March 2017 with a General Fund closing balance of \$7.7 billion, approximately \$1.7 billion above the Initial FY 2017 Budget and \$517 million higher than the Revised FY 2017 Estimate. Below is a table comparing General Fund Results to the Initial FY 2017 Budget, with and without the impact of receipts from monetary settlements.

GENERAL FUND OPERATING RESULTS APRIL 2016 THROUGH MARCH 2017 (millions of dollars)			
	Results Excluding Monetary Settlements	Monetary Settlements	Reported Results
Opening Balance	2,634	6,300	8,934
Total Receipts	65,743	1,152	66,895
Taxes	62,264	0	62,264
Non-Tax Receipts	3,479	1,152	4,631
Total Spending	65,963	2,117	68,080
Local Assistance	44,439	0	44,439
Agency Operations (including GSCs)	13,549	0	13,549
Transfers	7,975	2,117	10,092
Change in Operations	(220)	(965)	(1,185)
Closing Balance	2,414	5,335	7,749

Receipts

Total receipts were \$2.1 billion lower than projected in the Initial FY 2017 Budget. Lower PIT collections (\$2 billion) primarily reflected weaker than expected estimated payment and withholding growth through March 2017. Business Taxes were \$989 million below the initial projection due to lower audit receipts and a shortfall in cash payments associated with tax year 2015 final returns. Partly offsetting the overall tax variance in comparison to Initial FY 2017 Budget was approximately \$1.1 billion in unanticipated monetary settlement collections received from various banks and Volkswagen.

Compared to the Revised FY 2017 Estimate, total receipts were \$1.1 billion lower than projected, driven primarily by lower business tax receipts (\$810 million), related to lower corporate franchise taxes consistent with the variance to initial projections. Transfers from other funds were lower than planned (\$404 million), which was primarily due to timing associated with the availability of fund balances. These transfers are now scheduled for FY 2018, pending the availability of fund balance.

Spending

Through March 2017, General Fund disbursements, including transfers to other funds, were \$3.8 billion lower than the Initial FY 2017 Budget, reflecting the net impact of lower spending for local assistance (\$1.5 billion) and agency operations (\$175 million), as well as lower transfers to other funds (\$2.1 billion).

Lower than anticipated spending for General Fund local assistance primarily reflected the use of available fund balance from HCRA to finance State share Medicaid spending, a result driven primarily by strong results in HCRA surcharge and covered lives revenue collections. In addition, approximately \$75 million in state operations expenses, and approximately \$65 million in adjustments to the EP for enrollment updates and the use of Federal resources to cover retroactive claims, were subsequently adjusted to accommodate other Medicaid program costs within the Global Cap. In total, Medicaid local assistance was \$236 million below initial projections. Education spending was lower than planned (\$215 million) as a result of updated claim information in School Aid, and a moderation in cost and enrollment growth in Preschool Special Education. Lower spending for Higher Education (\$157 million) was mostly attributable to TAP and Scholarships, while other areas of under-spending for local assistance was reflected in a number of different program areas, including Medicaid-related expenses for Mental Hygiene programs and other spending variances that crossed funds and financial plan categories that was mostly offsetting in total.

Lower than planned spending for agency operations primarily reflected under-spending for non-personal service expenses across multiple agencies, particularly in DOCCS, Medicaid administration, OCFS, SED and Judiciary. Base personal service expenses trended lower than initial projections; however, total spending for personal service was driven upward at various times during the year in relation to the impact of retroactive collective bargaining agreements reached with PEF and the Bureau of Criminal Investigation (BCI).

Transfers to other funds were lower than initially planned primarily due to significant levels of under-spending across a number of capital program areas, including economic development, special infrastructure, smart schools, transportation, as the progression of certain projects, including those funded with monetary settlements, occurred at slower pace than initially anticipated. In addition, Capital Projects transfers related to the utilization of monetary settlements for Thruway projects, including the New NY Bridge program, were lowered during the fiscal year to reflect the utilization of \$450 million in bond proceeds.

Compared to the Revised FY 2017 Estimate, spending was \$1.6 billion lower, largely due to lower than projected transfers to capital projects, primarily for economic development and special infrastructure projects, and under-spending in local assistance and non-personal services. Lower local assistance expenses were mainly attributable to lower than planned spending for certain higher education expenses, including TAP (\$79 million) and CUNY Senior Colleges (\$30 million). The lower spending for non-personal service (\$132 million) was mainly consistent with factors associated with the Initial FY 2017 Budget variances described above, including under-spending for Medicaid administration and DOCCS.

State Operating Funds Results

The State ended March 2017 with a closing balance of \$11.6 billion in State Operating Funds, or \$2.2 billion above the Initial FY 2017 Budget. The higher balance is due to other financing sources, reflecting transfers to capital projects funds adjusted downward throughout the year in relation to under-spending and the utilization of bond proceeds, as noted above. Compared to projections in the Revised FY 2017 Estimate, the closing balance in State Operating Funds was \$809 million above projections.

STATE OPERATING FUNDS RESULTS APRIL 2016 THROUGH MARCH 2017 (millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
Opening Balance	12,641	12,641	12,641	0	0.0%	0	0.0%
Total Receipts	94,639	94,800	94,819	180	0.2%	19	0.0%
Taxes:	75,832	73,615	72,989	(2,843)	-3.7%	(626)	-0.9%
Personal Income Tax	49,464	47,309	47,565	(1,899)	-3.8%	256	0.5%
Consumption / Use Taxes	15,579	15,568	15,588	9	0.1%	20	0.1%
Business Taxes	7,372	7,224	6,339	(1,033)	-14.0%	(885)	-12.3%
Other Taxes	3,417	3,514	3,497	80	2.3%	(17)	-0.5%
Miscellaneous/Federal Receipts	18,807	21,185	21,830	3,023	16.1%	645	3.0%
Total Spending	96,180	96,200	96,199	19	0.0%	(1)	0.0%
Local Assistance	64,889	64,465	64,369	(520)	-0.8%	(96)	-0.1%
Personal Service	12,841	13,035	13,093	252	2.0%	58	0.4%
Non-Personal Service	5,693	5,757	5,587	(106)	-1.9%	(170)	-3.0%
Agency Operations (including GSCs)	26,085	26,423	26,314	229	0.9%	(109)	-0.4%
Debt Service	5,203	5,310	5,514	311	6.0%	204	3.8%
Capital Projects	3	2	2	(1)	-33.3%	-	0.0%
Other Financing Sources	(1,657)	(425)	364	2,021	122.0%	789	185.6%
Change in Operations	(3,198)	(1,825)	(1,016)	2,182	68.2%	809	44.3%
Closing Balance	9,443	10,816	11,625	2,182	23.1%	809	7.5%

Receipts

Through March 2017, total receipts were \$180 million higher than the Initial FY 2017 Budget. Tax collections were \$2.8 billion below the Initial FY 2017 Budget, consistent with the General Fund results described above. Miscellaneous receipts exceeded the Initial FY 2017 Budget due to the monetary settlement payments from various banks and Volkswagen, as described. HCRA assessment revenue results were higher than planned (\$257 million) due primarily to strength in surcharge and covered lives collections, reflecting continued growth in the utilization of health care services consistent with expanded health care coverage provided to individuals through the ACA. SUNY income revenue also ended above initial planned levels (\$397 million), reflecting the impact of expanded operating activity across the SUNY system. Other variances attributable to the projection of financial transactions which are neutral across funds, and transactional categories are reflected in the tables above.

State Operating Funds receipts were \$19 million higher projections included with the Revised FY 2017 Estimate. Tax receipts were \$626 million lower due to lower corporate franchise taxes (\$885 million), offset by higher than expected PIT (\$257 million), as noted above. Partly offsetting this variance was higher collections for SUNY income revenue (\$397 million) and HCRA assessment revenue (\$72 million), and higher collections across a number of other special revenue funds, including SUNY dormitory income (\$50 million) and indigent legal services (\$35 million).

Spending

State Operating Funds spending in total was in line with the Initial FY 2017 Budget, reflecting a mix of lower expenses for local assistance (\$520 million) and higher expenses for agency operations (\$229 million), mainly for personal service as a result of multiple retroactive collective bargaining agreements settled during FY 2017. Higher debt service spending (\$311 million) due to lower spending in other areas, permitted the pre-payment of a total of \$490 million in expenses to lower FY 2018 obligation levels (\$60 million in pre-payment expenses was planned for in the Initial FY 2017 Budget).

Local Assistance variances (\$520 million) were due to under-spending in Pre-School programs (\$135 million), TAP and Scholarships (\$109 million), OPWDD (\$90 million), STAR (\$89 million), and School Aid (\$72 million).

Higher personal service costs were mostly due to collective bargaining settlements with PEF and BCI, while under-spending in non-Personal service was consistent with spending patterns of prior years. Higher debt service was the result of debt service savings and certain pre-payments, as noted above.

State Operating Funds spending was only \$1 million lower than projections in the Revised FY 2017 Estimate, reflecting under-spending from higher education programs (\$110 million) offset by higher debt service spending (\$204 million), which was primarily driven by additional debt service pre-payments to reduce FY 2018 obligation levels (\$280 million was planned).

Other Financing Sources

Other Financing Sources, which represent the difference between transfers to and from State Operating Funds, were \$2 billion above the Initial FY 2017 Budget, were primarily attributable to the lower transfers from the General Fund to Capital Projects Funds due to updated spending assumptions and utilization of bond financing for the Thruway Authority's New NY York Bridge project, as noted above. In comparison to the revised projections, other financing sources were \$789 million higher than projected, driven by a reduced level of General Fund transfers necessary to support capital spending for certain special infrastructure and DOT projects, which experienced slower than anticipated spending during FY 2017.

Capital Projects Results

The State ended March 2017 with a Capital Projects Funds closing balance of negative \$1.1 billion, approximately \$230 million lower than both the Initial FY 2017 Budget and the Revised FY 2017 Estimate. The variance was mainly attributable to mix of general under-spending, and the timing and utilization of other financing sources during FY 2017, including the use of bond proceeds and General Fund transfers.

CAPITAL PROJECTS FUNDS RESULTS APRIL 2016 THROUGH MARCH 2017 (millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
Opening Balance	(891)	(891)	(891)	0	0.0%	0	0.0%
Total Receipts	8,076	8,348	8,628	552	6.8%	280	3.4%
Taxes:	<u>1,296</u>	<u>1,358</u>	<u>1,383</u>	<u>87</u>	6.7%	<u>25</u>	1.8%
Consumption / Use Taxes	555	616	624	69	12.4%	8	1.3%
Business Taxes	622	623	640	18	2.9%	17	2.7%
Other Taxes	119	119	119	0	0.0%	-	0.0%
Miscellaneous Receipts	4,618	4,829	4,637	19	0.4%	(192)	-4.0%
Federal Grants	2,162	2,161	2,608	446	20.6%	447	20.7%
Total Spending	11,923	10,903	10,156	(1,767)	-14.8%	(747)	-6.9%
Economic Development	1,557	1,293	1,025	(532)	-34.2%	(268)	-20.7%
Parks & the Environment	787	809	844	57	7.2%	35	4.3%
Transportation	5,243	5,093	5,354	111	2.1%	261	5.1%
Health & Social Welfare	546	359	315	(231)	-42.3%	(44)	-12.3%
Mental Hygiene	358	333	310	(48)	-13.4%	(23)	-6.9%
Public Protection	452	472	469	17	3.8%	(3)	-0.6%
Education	1,324	1,084	851	(473)	-35.7%	(233)	-21.5%
All Other	1,656	1,460	988	(668)	-40.3%	(472)	-32.3%
Other Financing Sources	3,910	2,617	1,359	(2,551)	-65.2%	(1,258)	-48.1%
Change in Operations	63	62	(169)	(232)	-368.3%	(231)	-372.6%
Closing Balance	(828)	(829)	(1,060)	(232)	-28.0%	(231)	-27.9%

Through March 2017, total receipts in Capital Projects Funds were \$552 million higher than initial projections, primarily reflecting an increase in available Federal support for the State's highway program.

Receipts were \$280 million higher compared to the projections in the Revised FY 2017 Estimate, reflecting the additional Federal funding for the State's highway program, partly offset by fewer revenue collections attributable to under-spending for projects related to economic development, state and municipal facilities and transportation during the fiscal year.

The most significant areas contributing to lower capital spending (\$1.8 billion) were the Smart Schools Bond Act, the timing of economic development grants, specifically for SUNY Polytechnic projects, and spending related to the Thruway Stabilization Initiative, which was partially supplanted with off-budget Thruway Authority bond financing in May 2016.

All Governmental Funds Results

The State ended March 2017 with an All Governmental Funds closing balance of \$11.1 billion, \$2.5 billion above the amount projected in the Initial FY 2017 Budget. This variance reflected the net combination of higher receipts (\$4 billion), higher spending (\$906 million), and reduced financing from other sources (\$597 million) contribute to the variance. The All Governmental Funds closing balance was \$1.1 billion higher than Revised FY 2017 Estimate.

All GOVERNMENTAL FUNDS RESULTS APRIL 2016 THROUGH MARCH 2017 (millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
Opening Balance	11,810	11,810	11,810	0	0.0%	0	0.0%
Total Receipts	152,346	154,033	156,372	4,026	2.6%	2,339	1.5%
Taxes:	<u>77,128</u>	<u>74,973</u>	<u>74,372</u>	<u>(2,756)</u>	<u>-3.6%</u>	<u>(601)</u>	<u>-0.8%</u>
Personal Income Tax	49,464	47,309	47,565	(1,899)	-3.8%	256	0.5%
Consumption / Use Taxes	16,134	16,184	16,212	78	0.5%	28	0.2%
Business Taxes	7,994	7,847	6,979	(1,015)	-12.7%	(868)	-11.1%
Other Taxes	3,536	3,633	3,616	80	2.3%	(17)	-0.5%
Miscellaneous Receipts	23,567	26,175	26,594	3,027	12.8%	419	1.6%
Federal Grants	51,651	52,885	55,406	3,755	7.3%	2,521	4.8%
Total Spending	156,108	156,165	157,014	906	0.6%	849	0.5%
State Operating Funds:	<u>96,180</u>	<u>96,200</u>	<u>96,199</u>	<u>19</u>	<u>0.0%</u>	<u>(1)</u>	<u>0.0%</u>
Local Assistance	64,889	64,465	64,369	(520)	-0.8%	(96)	-0.1%
Agency Operations (including GSCs)	26,085	26,423	26,314	229	0.9%	(109)	-0.4%
Debt Service	5,203	5,310	5,514	311	6.0%	204	3.8%
Capital Projects	3	2	2	(1)	-33.3%	0	0.0%
Capital Projects Funds	11,923	10,903	10,156	(1,767)	-14.8%	(747)	-6.9%
Federal Operating Funds	48,005	49,062	50,659	2,654	5.5%	1,597	3.3%
Other Financing Sources	534	368	(63)	(597)	-111.8%	(431)	-117.1%
Change in Operations	(3,228)	(1,764)	(705)	2,523	78.2%	1,059	60.1%
Closing Balance	8,582	10,046	11,105	2,523	29.4%	1,059	10.5%

Through March 2017, total All Governmental Funds receipts were \$4 billion above the initial projections in the Initial FY 2017 Budget, reflecting lower PIT and business tax collections as described above, offset by higher receipts in all other receipts categories. Aside from taxes and monetary settlements, as described above, higher receipts was driven primarily by additional Federal funding roughly commensurate to additional Federal spending, most notably in relation to Medicaid spending as described in more detail below.

Compared to the Revised FY 2017 Estimate, All Governmental Funds receipts were \$2.3 billion higher, largely due to timing of Federal spending and reimbursement, offset by lower business taxes as described above.

Through March 2017, All Governmental Funds spending was \$906 million higher than initially planned, reflecting the net impact of higher federal spending due to health care spending for Medicaid (\$2.1 billion) and the Essential Plan (\$1.2 billion). Medicaid spending was driven higher by reconciliation actions to correct shares between State and Federal funds going back to December 2015, as well as to reflect an updated forecast of underlying program growth. The higher spending for Essential Plan was driven by updated enrollment levels, which increased significantly in comparison to the Initial FY 2017 Budget, as well as additional spending related to reconciliation of prior year claims. The overall variance in Federal spending was partially offset

by under-spending in welfare (\$327 million), homeland security (\$269 million) and special education (\$231 million) based on claiming patterns experience during the fiscal year.

All Governmental Funds spending was \$849 million higher than the Revised FY 2017 Estimate, mostly due to higher Federal spending in Medicaid and EP as result of the retroactive shares and claiming adjustments, offset by lower spending for capital projects.

All Governmental Funds Annual Change

All Governmental Funds results, as compared to the same period during the prior year, include a higher opening balance (\$2.5 billion), growth in receipts (\$3.1 billion), and higher spending (\$6.3 billion). The combination of these annual changes resulted in a \$705 million decrease in overall balance.

All GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR APRIL 2016 THROUGH MARCH 2017 (millions of dollars)				
	FY 2016 Results	FY 2017 Results	Increase/(Decrease)	
			\$	%
Opening Balance	9,355	11,810	2,455	26.2%
Total Receipts	153,265	156,372	3,107	2.0%
Taxes:	<u>74,673</u>	<u>74,372</u>	<u>(301)</u>	<u>-0.4%</u>
Personal Income Tax	47,055	47,565	510	1.1%
Consumption / Use Taxes	15,725	16,212	487	3.1%
Business Taxes	7,884	6,979	(905)	-11.5%
Other Taxes	4,009	3,616	(393)	-9.8%
Miscellaneous Receipts	27,268	26,594	(674)	-2.5%
Federal Grants	51,324	55,406	4,082	8.0%
Total Spending	150,708	157,014	6,305	4.2%
State Operating Funds:	<u>94,288</u>	<u>96,199</u>	<u>1,910</u>	<u>2.0%</u>
Local Assistance	62,653	64,369	1,715	2.7%
Agency Operations (including GSCs)	26,035	26,314	279	1.1%
Debt Service	5,598	5,514	(84)	-1.5%
Capital Projects	2	2	-	0.0%
Capital Projects Funds	8,981	10,156	1,175	13.1%
Federal Operating Funds	47,439	50,659	3,220	6.8%
Other Financing Sources	(102)	(63)	39	-38.2%
Change in Operations	2,455	(705)	(3,160)	-128.7%
Closing Balance	11,810	11,105	(705)	-6.0%

Receipts

All Funds tax receipts were \$301 million (-0.4 percent) lower than the results in last year, primarily attributable to a decline in Business taxes (\$905 million) due to lower gross receipts and a year-over-year decline in other taxes (\$393 million) as a result of the continued phase-in of the estate tax cut enacted in 2014. Partly offsetting the annual decline was higher PIT receipts (\$510 million), which experienced relatively low growth due to weaker than anticipated estimated payments and withholding components, and an increase Consumption/Use Taxes (\$487 million) consistent with anticipated levels.

Miscellaneous receipts were \$674 million below the prior year, largely due to the timing associated with the receipt of one-time settlement proceeds in FY 2016, including over \$1.3 billion from BNP alone.

Federal grants were \$4.1 billion higher than FY 2016, consistent with the impact of the annual changes in Federal spending described in more detail below.

Spending

Through March 2017, All Funds spending was \$6.3 billion (4.2 percent) higher than last year, which was comprised of higher spending for State Operating Funds (\$1.9 billion), Capital Projects Funds (\$1.2 billion), and Federal Operating Funds (\$3.2 billion).

State Operating Funds spending through March was \$1.9 billion, or 2 percent, higher than the same period in the prior year. This increase was primarily attributable to the growth in School Aid (\$1 billion), Medicaid and EP (\$887 million), and transportation (\$232 million), all of which was consistent with budgeted growth levels. Agency operations grew by \$279 million, or 1.1 percent, reflecting the impact of retroactive collective bargaining agreements, which drove personal service costs, and budgeted growth in pension and health insurance expenses. Debt service spending declined by \$85 million, or 1.5 percent, from the prior year, which was due largely to the impact of pre-payment expenses over the multi-year period; of which, a greater share was made during FY 2016, thus driving an annual decline in base expenses for FY 2017. This decline was later mostly back-filled with additional pre-payments afforded by under-spending from other program areas.

School aid growth of \$1 billion from the prior year was consistent with program growth budgeted on an annual basis. Growth in Medicaid spending (\$887 million) was consistent with initial spending projections, with the exception of an additional \$31 million increase to the Medicaid Global Cap to fund updated costs associated with minimum wage. In addition, significant levels of Medicaid spending moved from the General Fund to HCRA and from state operations categories to local assistance, with no net impact to overall spending estimates within the Financial Plan and Global Cap. Annual spending growth for transit aid was largely attributable to increased revenue pass-thru to MTA (\$116 million) and Metropolitan Mass Transportation Operating Assistance (MMTOA) (\$121 million).

Growth in agency operations (\$279 million) was due to higher personal service costs (\$112 million), which was primarily attributable to general salary increases that were agreed to as part of multiple retroactive collective bargaining settlements reached during FY 2017. In addition, higher annual fringe benefit expenses (\$182 million) reflected growth for pensions, including additional costs associated with legislation allowing for extra pension credits for eligible veterans meeting specific criteria in their application for such credits, and health insurance as a result of growth in utilization expenses and rate renewal costs.

Federal spending growth is largely driven by Medicaid and EP spending (\$4.2 billion), most significantly reflecting the escalating cost impact associated with various Federal health care transformation initiatives (including new spending for the EP), and several significant retroactive share and claiming adjustments from prior years that were effectuated during FY 2017. Significant Federal spending declines relative to FY 2016 were driven largely by Social Services (\$615 million), in part a result of claiming patterns, and Homeland Security (\$522 million), which related to the timing and approval of various project submissions.

Growth in capital projects spending was primarily attributable to the continued implementation of several projects funded from DIIF, where initial spending did not occur until July 2016, and economic development programs.

Fiscal Impact on Local Governments

Fiscal Impact on Local Governments

This section presents the estimated fiscal impact of changes proposed in the FY 2018 Enacted Budget on New York's municipalities as required by State Finance Law. To supplement this narrative, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

Notable Actions Affecting Local Governments

- **County-wide Shared Services Initiative:** The FY 2018 Enacted Budget empowers citizens and local leaders to control the cost of local government through the creation of County-wide Shared Services Property Tax Savings Plans. Each county will gather the mayors and supervisors in the county and develop a plan that generates real, recurring taxpayer savings. The plan should include actions such as the elimination of duplicative services; shared services, such as joint purchasing, shared highway equipment, shared storage facilities, shared plowing services, and energy and insurance purchasing cooperatives; reduction in back office administrative overhead, and/or better coordination of services. The State will match the first year of savings from new shared services actions in approved plans.
- **Clean Water Infrastructure Act of 2017:** To ensure that current and future New Yorkers have access to clean water, the Enacted Budget initiates the \$2.5 billion Clean Water Infrastructure Act, including over \$1.5 billion which will be provided in grants for local governments to improve water infrastructure. This investment will protect public health, safeguard the environment, and preserve the state's water resources. These funds will help local governments address water emergencies, pay for local infrastructure construction projects, underwrite land acquisition for source water protection, and investigate and mitigate emerging contaminants in drinking water. These projects will improve the quality and safety of municipal drinking water distribution, filtration systems, and wastewater treatment infrastructure.
- **Extreme Winter Recovery (EWR) Program:** The Enacted Budget includes \$65 million of additional funding for local roads and bridges through the EWR. As was the case with the prior EWR programs in FY 2015 and FY 2016 (\$40 million and \$50 million), funding will be allocated to municipalities in accordance with the Consolidated Local Street and Highway Improvement Program (CHIPS) program formula.
- **Downtown Revitalization Initiative Round II:** The Enacted Budget provides \$100 million for a second round of the Downtown Revitalization Initiative, which was created to fund transformative housing, economic development, transportation, and community projects to attract and retain residents, visitors, and businesses to downtowns. The existing program provides \$100 million to ten communities that are currently experiencing population loss and/or economic decline to develop revitalization plans for their downtown area, developed in collaboration with policy and planning experts. The

FY 2018 Enacted Budget expands this initiative by providing another \$100 million to ten new communities, bringing the total program funding to \$200 million.

- **Raise the Age:** New York State is one of only two states in the nation that automatically prosecutes 16- and 17-year olds as adults. To address this injustice, the Enacted Budget includes legislation that raises the age of juvenile jurisdiction from age 16 to age 18 beginning in October 2018. In addition, other reform measures are also included, such as sealing provisions, parental notification, creating a Youth Part in superior criminal court and adjustment and diversion options. The costs of implementing the Raise the Age proposal will be assumed by the State, with the condition that counties subject to the property tax cap do not exceed the tax levy limit and that the Director of the Budget may grant a waiver for any such county that exceeds the tax cap and demonstrates financial hardship. NYC is not subject to the tax cap and can be granted a waiver by the Director of the Budget if it demonstrates financial hardship.
- **Upstate Ridesharing:** The Enacted Budget authorizes municipalities and local governments, with a city population of 100,000 or more, to optionally prohibit the pick-up of any person by a state regulated TNC, such as Uber and Lyft, for constituents within the local jurisdictions. The Department of Motor Vehicles will have broad oversight of rideshare companies and will ensure compliance with all laws, rules, and regulations required as part of a TNC's operational license.
- **Runaway and Homeless Youth Act Reforms:** The Enacted Budget provides an option for counties to increase, at their cost, the allowable length of stay in transitional independent living support services and crisis services programs, as well as increase the age of eligibility for such programs to 24. These reforms will allow youth and young adult's greater stability and longer term access to the services they need.

Local FY 2018 Fiscal Summary

The Enacted Budget will result in a positive local impact of more than \$1.0 billion for local fiscal years ending in 2018 — the first full-annual local fiscal year affected by the FY 2018 Enacted Budget. The fiscal summary of the impact on local governments for local fiscal year 2018 is as follows:

- **School Districts:** The Enacted Budget will provide a statewide school aid increase of \$987.9 million for the 2017-18 school year. School districts outside of New York City are expected to benefit by \$601.1 million in 2018 from this increase. In addition to this increase, school districts will also be eligible for a portion of \$50 million in new competitive grants.

- **New York City:** Enacted Budget actions will have a net positive \$343.7 million impact on the City of New York in City Fiscal Year 2018. This is primarily due to a \$386.8 million school aid increase and a net \$16.1 million impact from various revenue actions. New York City will also be eligible for additional education funds through \$50 million in new competitive grants. These benefits will be primarily offset by several human services proposals, including a \$23.0 million impact from shifted foster care tuition costs, a \$21.3 million impact from reducing the Foster Care Block Grant, a \$19.1 million impact from shifted Committee on Special Education (CSE) maintenance costs, and a \$4.4 million impact as a result of reduced child care subsidies.
- **Counties:** In 2018, county governments will experience an \$8.3 million net negative impact from Enacted Budget actions, primarily due to a \$19.5 million impact from the reduction of the Foster Care Block Grant, a \$2.6 million impact as a result of reduced child care subsidies, and a \$2.2 million impact from reductions to local criminal justice programs. These impacts will be offset by a net \$8.0 million positive impact from various revenue actions, a \$5.7 million benefit from increased highway assistance for extreme winter recovery and aid to transit systems, and \$2.3 million in new aid to Madison County.
- **Other Municipalities:** Other cities, towns, and villages will experience an overall \$16.5 million net positive impact in local fiscal years ending in 2018, primarily due a \$14.0 million impact from increased transportation aid.

State FY 2018 Fiscal Summary

The FY 2018 Enacted Budget provides local governments with nearly \$40.8 billion in State support through major local aid programs and savings initiatives. This includes \$18.3 billion for school districts, \$16.7 billion for New York City, \$4.3 billion for counties, and more than \$1.0 billion for other cities, towns, and villages.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
AG	Attorney General
AGI	Adjusted Gross Income
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
AMTAP	Additional Mass Transportation Assistance Program
APCD	All-Payer Claims Databases
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
ATTAIN	Advance Technology Training and Information Networking
AXA	AXA Equitable Life Insurance Company
BANs	Bond Anticipation Notes
BCI	Bureau of Criminal Investigation (New York State Police Investigators Association)
BEA	Bureau of Economic Analysis
BIP	Balancing Incentive Program
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CHIPS	Consolidated Local Street and Highway Improvement Program
CHP	Child Health Plus
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CPI-U	Consumer Price Index for All Urban Consumers
CSE	Committee on Special Education
CSEA	Civil Service Employees Association
CUNY	City University of New York
DA	District Attorney
DANY	New York County District Attorney
DAP	Disability Advocacy Program
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTf	Dedicated Highway and Bridge Trust Fund
DHSES	Division of Homeland Security & Emergency Services
DIIF	Dedicated Infrastructure Investment Fund
DMNA	Division of Military and Naval Affairs
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health

Glossary of Acronyms



DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EI	Early Intervention
EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
EWR	Extreme Winter Recovery
FFP	Federal Financial Participation
FHP	Family Health Plus
FLSA	Fair Labor Standards Act
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
HSI	Health Services Initiative
IAAF	Interim Access Assurance Fund
ICF	Intermediate Care Facility
IOLA	Interest on Lawyers Account Fund
IPOs	Initial Public Offerings
IRMAA	Income-Related Monthly Adjustment Amount
IT	Information Technology
ITS	Information Technology Services
IVP	Income Verification Program
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LIGC	Life Insurance Guaranty Corporation
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MIF	Mortgage Insurance Fund
MMIS	Medicaid Management Information System
MMTOA	Metropolitan Mass Transportation Operating Assistance

MRT	Medicaid Redesign Team
MSA	Master Settlement Agreement
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYPA	New York Power Authority
NYS	New York State
NYS AFL-CIO	New York State American Federation of Labor and Congress of Industrial Organizations
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPBA	Police Benevolent Association of the New York State Troopers
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYU	New York University
OAG	Office of the Attorney General
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORDA	Olympic Regional Development Authority
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PA	Public Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PIGI	Personal Income Growth Index
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PS	Personal Service
PSC	Public Service Commission
PwC	PricewaterhouseCoopers LLP
QHP	Qualified Health Plan (NYSOH)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RPCI	Roswell Park Cancer Institute
RSSL	Retirement and Social Security Law
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department

Glossary of Acronyms



SHIN-NY	Statewide Health Information Network for New York
SICG	Statewide Interoperable Communications Grant
SIF	State Insurance Fund
SNA	Safety Net Assistance
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
START	Systemic Therapeutic Assessment Respite and Treatment
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TIAA - CREF	Teachers Insurance and Annuity Association - College Retirement Equities Fund
TNC	Transportation Network Company
TRS	Teachers' Retirement System
UPL	Upper Payment Limit
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution
VLT	Video Lottery Terminal
WCB	Workers' Compensation Board



Financial Plan Tables and Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (i) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (ii) highway, parkway and rail preservation projects; (iii) outdoor recreation and environmental conservation projects; (iv) buildings and other capital facilities required by various State departments and agencies; (v) payments to local governments to help finance their

capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (vi) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Account for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

PS - Includes the payment of salaries and compensation for State employees.

NPS - Includes payments for operational costs, such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, and telephone.

GSCs - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserve - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2018 Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for i) the payment of principal, interest, or related expenses; ii) retiring or defeasing existing State-supported debt obligations, including accrued interest; and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific purposes. These amounts are typically identified with the phrase "reserved for." For example, due to the nature of the timing of labor agreements,

DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Monetary Settlements - Includes the balance of monetary settlements after planned uses.

Note 5 – Items Affecting Annual Comparability

Superstorm Sandy - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year Plan to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

- **ACA** - The ACA, which became effective January 1, 2014, is intended to provide access to health insurance coverage to ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH health benefit exchange, which is the State's single point of access insurance marketplace in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.
- **Federal DSRIP** - On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion over the five-year planning period. The remainder of the DSRIP program reinvestment funding is expected to be disbursed beyond the current planning period.
- **EP** - The EP is a health insurance program authorized through the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing-in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH health benefit exchange, with the cost of insurance premiums subsidized by the State and Federal governments. Upon full implementation of EP,

approximately 90 percent of program expenditures are expected to be funded by the Federal government. State funding for the EP is offset by lower State Funds Medicaid program spending and additional Federal Funds.

Note 6 — State Funding of SUNY Operating Support

Effective with the 2013 academic year that began in July 2012, all General Fund support for SUNY operations is transferred from the General Fund to the State University Income special revenue fund, from which all university operations are funded.

Note 7 — Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 8 — General Fund/HCRA Combined Gap

Current HCRA authorization ends April 1, 2020. HCRA is projected to remain balanced through FY 2021. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 9 — MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the MCTD. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.

Additional funding to support the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

Note 10 — Changes to the Medicaid Program

Since January 2006, the State has paid any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

Note 11 — Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are “receivable on account” or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 12 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller’s accounting practices shown in Exhibit A of the Comptroller’s Annual Report to the Legislature.

Note 13 — Temporary Loans Summary

The total outstanding loan balance as of March 31, 2017 was \$2.8 billion, comprised of activities that are financed initially by the advances for bond-reimbursable capital spending pending the receipt of bond proceeds, State pending Federal receipt, State Special Revenue Funds, and Proprietary Funds. The low balance as of March 31, 2016 was \$2.7 billion.

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

TEMPORARY LOANS OUTSTANDING			
(millions of dollars)			
	March 31		Annual Change
	2016	2017	
Total Loans Outstanding	2,748	2,759	11
State Special Revenue Funds	345	298	(47)
Federal Funds	1,258	980	(278)
Capital Funds	966	1,246	280
Proprietary Funds	179	235	56

Note 14 — 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The current Administration is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

Note 15 - List of Settlements Received

The following monetary settlements were received, or expected to be received, by the State between April 1, 2014 through June 2017.

- BNP Paribas, S.A. New York Branch (BNPP) paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between the Department of Financial Services (DFS) and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General and Credit Suisse Securities, to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.
- Commerzbank AG New York Branch and Commerzbank AG (collectively "Commerzbank") paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank's transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney's Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank's actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks into processing illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank's manipulation of benchmark interest rates, including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.

- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank's use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department's Office of Foreign Assets Control.
- Deutsche Bank Securities Inc. ("Deutsche Bank") will pay a \$18.5 million monetary penalty in accordance with a 2016 settlement agreement between Deutsche Bank and the Office of the Attorney General of the State of New York. This settlement agreement pertained to Deutsche Bank's material misstatements and omissions in various written materials disseminated to clients and potential clients with respect to Deutsche bank's routing technology.
- On February 7, 2017, the State received a \$425 million fine from Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively "Deutsche Bank") in accordance with a consent order with the Department of Financial Services. The consent order addresses compliance deficiencies at Deutsche Bank that allowed bank traders and offshore entities to improperly and covertly transfer more than \$10 billion out of Russia through security trading schemes known as "mirror trades."
- Barclays paid \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays' attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays' automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney's office. Both the consent order and deferred prosecution agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties.

- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms related to BofA's violations of State law in connection with the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and BofA Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of compliance risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State allegedly resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.

- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York’s housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.
- Citigroup Inc. (“Citigroup”) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into Citigroup.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.

- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.
- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its "AXA Tactical Manager" strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory's performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS's requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day's violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking laws.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, "Volkswagen") paid the State \$32 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$117 million for air quality improvement programs in New York.
- Volkswagen AG, Audi AG, Volkswagen Group of America, Inc. (d/b/a Volkswagen of America, Inc. or Audi of America, Inc.), Audi of America, L.L.C., and Volkswagen Group of America Chattanooga Operations LLC (collectively "Volkswagen"), will pay \$157.4 million pursuant to a March 29, 2017, Second Partial Settlement Agreement between Volkswagen and Dr. Ing. h.c. F. Porsche AG and Porsche Cars North America, Inc. (together, "Porsche") (Volkswagen and Porsche together, "Defendants") and the Attorneys General of the States of Connecticut, Delaware, Maine, New York, Oregon, Rhode Island, Vermont, and Washington, and the Commonwealths of Massachusetts and

Pennsylvania. The Second Partial Settlement Agreement resolves any claims or potential claims against Defendants for their use of “Defeat Devices” in certain vehicles made pursuant to (a) federal, state and/or local environmental laws, rules and/or regulations, including but not limited to, laws, rules and/or regulations regarding (i) mobile source emissions, (ii) certification, (iii) reporting of information, inspection and maintenance of vehicles, and/or (iv) anti-tampering provisions, and (b) with the exception of Vermont, claims that could be brought for injunctive relief and/or restitution or other monetary payments to consumers under applicable consumer protection, unfair trade, or deceptive acts and practices laws, rules and/or regulations, as well as common law and equitable claims to the extent not previously resolved under the First Partial Settlement Agreement. New York State has been allocated \$32.5 million of the \$157.4 million settlement to be paid by Volkswagen and may, consistent with state law and at its sole discretion, use all or a portion of its allocation for any environmentally beneficial purpose.

- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York Banking Law.
- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) paid the State a \$215 million civil monetary penalty pursuant to a consent order between the NYS DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a vigorous compliance infrastructure, and inadequate attention to the state of compliance.
- PHH Mortgage and PHH Homes Loans, LLC (collectively “PHH Mortgage”) will pay a \$28 million penalty in accordance with a 2016 consent order between PHH Mortgage and the Department of Financial Services. This consent order pertained to PHH Mortgage’s failure to (i) maintain books, accounts, records, and files in an appropriate manner, (ii) adequately and accurately disclose certain fees, and (iii) comply with other laws and regulations.
- Intesa Sanpaola S.p.A. and Intesa Sanpaola S.p.A. New York Branch (collectively “Intesa Bank”) paid the State a \$235 million civil monetary penalty pursuant to a consent order between the NYS DFS and Intesa Bank. This consent order pertains to compliance failures by Intesa Bank in 2005-06 and over the past several years, and Intesa Bank’s use of non-transparent practices from 2002-2006 to process payments on behalf of Iranian clients and other entities.

Note 16 – List of Settlement Uses (Prior to FY 2018 Enacted Budget)

The following purposes were identified in past budgets and are expected to continue to be funded with monetary settlement funds reappropriated in FY 2018.

- **Thruway Stabilization (\$2.0 billion):** The \$2.0 billion investment will support both the New NY Bridge project and other transportation infrastructure needs for the rest of the Thruway system.
- **Upstate Revitalization Program (\$1.7 billion):** Awarded \$1.5 billion in 2015 to the three Upstate regions selected as the Upstate Revitalization Initiative (URI) best plan awardees. An additional \$200 million (\$170 million from monetary settlements) was provided in 2016 to support projects in the remaining four eligible Upstate regions.
- **Affordable and Homeless Housing (\$640 million):** Settlement funds will augment the multi-year investment in affordable housing services, and provide housing opportunities for individuals and families who are homeless or at risk of homelessness. Funds will be invested over five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.
- **Broadband Initiative (\$500 million):** Funds the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.
- **Health Care/Hospitals (\$400 million):** Provides \$355 million in grants to essential health care providers that facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities to create a financially sustainable system of care. The Plan also funds capital expenses of the RPCI (\$15.5 million); a community health care revolving loan (\$19.5 million), and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).
- **Penn Station Access (\$250 million):** The MTA Penn Station Access project, which will open a new Metro-North link directly into Penn Station, is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx.
- **Transportation Capital Plan (\$200 million):** Provides funding for transportation infrastructure projects across the State.

- **Municipal Restructuring and Consolidation Competition (\$170 million):** Includes \$20 million in funding for a Municipal Consolidation Competition to encourage the reduction of costs through a competitive process to be administered by the DOS. This funding is in addition to \$150 million for the first Downtown Revitalization Initiative and for municipal restructuring to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and property tax burdens.
- **Resiliency, Mitigation, Security, and Emergency Response (\$150 million):** Provides funding for operating purposes such as preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters.
- **Transformative Economic Development Projects (\$150 million):** Includes funds to promote economic development in Nassau and Suffolk counties.
- **Infrastructure Improvements (\$115 million):** Funding for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.
- **Economic Development (\$85 million):** Funding for the economic development strategy of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.
- **Southern Tier/Hudson Valley Farm Initiative (\$50 million):** Funding to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.
- **ESPRI (\$25 million):** To combat poverty throughout the State, \$25 million was provided for the ESPRI. This program will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.
- **EPF (\$120 million):** This \$120 million and other EPF resources would provide dedicated funding to communities throughout New York State to improve the environment, combat climate change, and reduce greenhouse gas emissions.

A large portion, \$7.7 billion, of the monetary settlements have been programmed for capital projects that are expected to spend over multiple years. This has provided the flexibility to use these cash resources temporarily to support capital spending and avoid issuing debt immediately, saving on interest costs. Accordingly, the Enacted Budget continues to assume that monetary settlements will temporarily be used for two different purposes:

1. Use \$1.3 billion to fund bond-financed capital disbursements. As shown in the table below, settlement resources were used to pay for \$1.3 billion of capital spending in FY 2017 to support higher education, transportation, and economic development. This \$1.3 billion advance from settlement funds will be repaid when the State reimburses this capital spending with bond proceeds in FY 2018. This will allow the settlement funds to be made available for the projects appropriated from DIIF. In addition, \$500 million of settlement resources will be utilized to support capital spending in FY 2018 with repayment projected in FY 2019.
2. Meet initial capital funding requirements for the Javits expansion project. As shown in the table below, the spending for the Javits expansion will be supported by settlement fund balances in the first instance, beginning in FY 2019. Subsequently, these expenses will be reimbursed from bond proceeds that are planned to be issued in FYs 2020 and 2021.

TEMPORARY USE OF MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS (millions of dollars)						
	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>Total</u>
Total Settlement Funds Replenished/(Used)	(1,300)	640	150	180	330	0
Transfer to DIIF for Javits Center Expansion	0	(160)	(350)	(320)	(170)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
FY 2017 Temporary Loan to Capital Projects Fund	(1,300)	1,300	0	0	0	0
FY 2018 Temporary Loan to Capital Projects Fund	0	(500)	500	0	0	0

Note 17 – Budget Gap Reconciliation with the Quarterly Updates

The budget gap for FY 2018 that was closed with the Enacted Budget totaled \$3.53 billion. The budget gap represents the difference between: (a) the projected General Fund disbursements needed to maintain current services levels and specific commitments, and (b) the projected level of resources to pay for these disbursements.

In the quarterly updates to the Financial Plan, DOB’s calculation of the out-year budget surpluses or gaps includes the potential savings that would be achieved if the future budgets hold annual spending growth to 2 percent. The quarterly updates note clearly that the potential savings would occur only “if the Governor continues to propose, and the Legislature continues to enact, budgets in future years” that hold spending growth to 2 percent or less. They further note that “such savings will be developed and proposed in future budgets.” In other words, the Enacted Budget must include a specific plan to achieve the savings that were assumed in the calculation.

In the Mid-Year Update for FY 2017, the budget gap projected for FY 2018 was \$689 million. This is in addition to the calculated savings of \$2.844 billion in FY 2018 from adherence to the 2 percent spending benchmark. The Enacted Budget includes a plan that achieves both the \$2.844 billion in assumed savings and eliminates any additional gap. Therefore:

	<u>FY 2018</u>
Mid-Year Gap	(689)
Plus: Value of 2%	<u>(2,844)</u>
Savings to be Identified	<u>(3,533)</u>

The FY 2018 gap of \$3.53 billion closed with the Enacted Budget was calculated by adding the savings that must be proposed to hold spending growth at 2 percent, plus any additional savings needed to provide for a balanced General Fund budget. The budget gaps for future years can be calculated in the same manner.

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**CASH FINANCIAL PLAN
GENERAL FUND
FY 2018 through FY 2021
(millions of dollars)**

	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	34,406	36,385	37,986	39,215
Consumption/Use Taxes	7,438	7,726	7,996	8,274
Business Taxes	5,718	5,770	6,190	6,522
Other Taxes	1,072	1,053	1,112	1,175
Miscellaneous Receipts	2,152	2,128	2,135	2,058
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,923	10,838	10,789	11,054
Sales Tax in Excess of LGAC	3,120	3,154	3,292	3,567
Sales Tax in Excess of Revenue Bond Debt Service	2,766	2,797	2,981	2,830
Real Estate Taxes in Excess of CW/CA Debt Service	1,023	1,080	1,133	1,189
All Other	1,216	739	723	723
Total Receipts	69,834	71,670	74,337	76,607
Disbursements:				
Local Assistance Grants	47,069	50,112	53,101	55,745
Departmental Operations:				
Personal Service	5,950	6,237	6,424	6,796
Non-Personal Service	2,227	2,513	2,661	2,718
General State Charges	5,789	6,328	6,792	7,357
Transfers to Other Funds:				
Debt Service	921	1,155	1,053	1,074
Capital Projects	2,627	4,068	3,899	3,479
State Share of Mental Hygiene Medicaid	1,301	1,231	1,119	1,119
SUNY Operations	1,015	1,005	1,001	1,001
Other Purposes	4,300	4,704	5,227	5,483
Total Disbursements	71,199	77,353	81,277	84,772
Use (Reservation) of Fund Balance:				
Community Projects	16	0	0	0
Potential Labor Agreements	(130)	0	0	0
Undesignated Fund Balance	14	0	0	0
Monetary Settlements	1,465	1,662	1,087	653
Total Use (Reservation) of Fund Balance	1,365	1,662	1,087	653
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	0	(4,021)	(5,853)	(7,512)
Adherence to 2% Spending Benchmark	0	3,230	5,855	7,955
Net General Fund Surplus (Deficit)	0	(791)	2	443

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE FROM CURRENT YEAR
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Results</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	7,300	8,934	1,634	22.4%
Receipts:				
Taxes:				
Personal Income Tax	31,957	32,535	578	1.8%
Consumption/Use Taxes	6,819	7,101	282	4.1%
Business Taxes	5,647	4,761	(886)	-15.7%
Other Taxes	1,540	1,110	(430)	-27.9%
Miscellaneous Receipts	5,842	3,813	(2,029)	-34.7%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,159	10,275	116	1.1%
Sales Tax in Excess of LGAC	2,728	2,870	142	5.2%
Sales Tax in Excess of Revenue Bond Debt Service	2,759	2,672	(87)	-3.2%
Real Estate Taxes in Excess of CW/CA Debt Service	972	940	(32)	-3.3%
All Other	1,253	818	(435)	-34.7%
Total Receipts	69,676	66,895	(2,781)	-4.0%
Disbursements:				
Local Assistance Grants	43,314	44,439	1,125	2.6%
Departmental Operations:				
Personal Service	6,011	6,065	54	0.9%
Non-Personal Service	1,944	2,022	78	4.0%
General State Charges	5,397	5,462	65	1.2%
Transfers to Other Funds:				
Debt Service	1,196	924	(272)	-22.7%
Capital Projects	2,721	2,569	(152)	-5.6%
State Share of Mental Hygiene Medicaid	2,036	1,239	(797)	-39.1%
SUNY Operations	998	996	(2)	-0.2%
Other Purposes	4,425	4,364	(61)	-1.4%
Total Disbursements	68,042	68,080	38	0.1%
Excess (Deficiency) of Receipts Over Disbursements	1,634	(1,185)	(2,819)	-172.5%
Closing Fund Balance	8,934	7,749	(1,185)	-13.3%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	540	0	
Contingency Reserve	21	21	0	
Community Projects	63	56	(7)	
Reserved For				
Potential Labor Agreements	165	25	(140)	
Undesignated Fund Balance	87	14	(73)	
Debt Management	500	500	0	
Monetary Settlements	6,300	5,335	(965)	

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE FROM CURRENT YEAR
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	8,934	7,749	(1,185)	-13.3%
Receipts:				
Taxes:				
Personal Income Tax	32,535	34,406	1,871	5.8%
Consumption/Use Taxes	7,101	7,438	337	4.7%
Business Taxes	4,761	5,718	957	20.1%
Other Taxes	1,110	1,072	(38)	-3.4%
Miscellaneous Receipts	3,813	2,152	(1,661)	-43.6%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,275	10,923	648	6.3%
Sales Tax in Excess of LGAC	2,870	3,120	250	8.7%
Sales Tax in Excess of Revenue Bond Debt Service	2,672	2,766	94	3.5%
Real Estate Taxes in Excess of CW/CA Debt Service	940	1,023	83	8.8%
All Other	818	1,216	398	48.7%
Total Receipts	66,895	69,834	2,939	4.4%
Disbursements:				
Local Assistance Grants	44,439	47,069	2,630	5.9%
Departmental Operations:				
Personal Service	6,065	5,950	(115)	-1.9%
Non-Personal Service	2,022	2,227	205	10.1%
General State Charges	5,462	5,789	327	6.0%
Transfers to Other Funds:				
Debt Service	924	921	(3)	-0.3%
Capital Projects	2,569	2,627	58	2.3%
State Share of Mental Hygiene Medicaid	1,239	1,301	62	5.0%
SUNY Operations	996	1,015	19	1.9%
Other Purposes	4,364	4,300	(64)	-1.5%
Total Disbursements	68,080	71,199	3,119	4.6%
Excess (Deficiency) of Receipts Over Disbursements	(1,185)	(1,365)	(180)	-15.2%
Closing Fund Balance	7,749	6,384	(1,365)	-17.6%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	540	0	
Contingency Reserve	21	21	0	
Community Projects	56	40	(16)	
Reserved For				
Potential Labor Agreements	25	155	130	
Undesignated Fund Balance	14	0	(14)	
Debt Management	500	500	0	
Monetary Settlements	5,335	3,870	(1,465)	

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2017
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Receipts:			
Taxes:			
Personal Income Tax	32,274	261	32,535
Consumption/Use Taxes	7,082	19	7,101
Business Taxes	5,571	(810)	4,761
Other Taxes	1,134	(24)	1,110
Miscellaneous Receipts	3,799	14	3,813
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,421	(146)	10,275
Sales Tax in Excess of LGAC	2,866	4	2,870
Sales Tax in Excess of Revenue Bond Debt Service	2,669	3	2,672
Real Estate Taxes in Excess of CW/CA Debt Service	952	(12)	940
All Other	1,222	(404)	818
Total Receipts	<u>67,990</u>	<u>(1,095)</u>	<u>66,895</u>
Disbursements:			
Local Assistance Grants	44,826	(387)	44,439
Departmental Operations:			
Personal Service	6,099	(34)	6,065
Non-Personal Service	2,154	(132)	2,022
General State Charges	5,491	(29)	5,462
Transfers to Other Funds:			
Debt Service	927	(3)	924
Capital Projects	3,458	(889)	2,569
State Share of Mental Hygiene Medicaid	1,432	(193)	1,239
SUNY Operations	996	0	996
Other Purposes	4,309	55	4,364
Total Disbursements	<u>69,692</u>	<u>(1,612)</u>	<u>68,080</u>
Use (Reservation) of Fund Balance:			
Community Projects	10	(3)	7
Potential Labor Agreements	15	125	140
Undesignated Fund Balance	237	(164)	73
Monetary Settlements	1,440	(475)	965
Total Use (Reservation) of Fund Balance	<u>1,702</u>	<u>(517)</u>	<u>1,185</u>
Net General Fund Surplus (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2017
(millions of dollars)**

	<u>FY 2017 Enacted</u>	<u>Change</u>	<u>Results</u>
Receipts:			
Taxes:			
Personal Income Tax	33,870	(1,335)	32,535
Consumption/Use Taxes	7,087	14	7,101
Business Taxes	5,750	(989)	4,761
Other Taxes	1,045	65	1,110
Miscellaneous Receipts	2,813	1,000	3,813
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,899	(624)	10,275
Sales Tax in Excess of LGAC	2,868	2	2,870
Sales Tax in Excess of Revenue Bond Debt Service	2,647	25	2,672
Real Estate Taxes in Excess of CW/CA Debt Service	951	(11)	940
All Other	1,046	(228)	818
Total Receipts	<u>68,976</u>	<u>(2,081)</u>	<u>66,895</u>
Disbursements:			
Local Assistance Grants	45,957	(1,518)	44,439
Departmental Operations:			
Personal Service	6,054	11	6,065
Non-Personal Service	2,245	(223)	2,022
General State Charges	5,425	37	5,462
Transfers to Other Funds:			
Debt Service	706	218	924
Capital Projects	4,461	(1,892)	2,569
State Share of Mental Hygiene Medicaid	1,437	(198)	1,239
SUNY Operations	996	0	996
Other Purposes	4,560	(196)	4,364
Total Disbursements	<u>71,841</u>	<u>(3,761)</u>	<u>68,080</u>
Use (Reservation) of Fund Balance:			
Community Projects	10	(3)	7
Potential Labor Agreements	15	125	140
Undesignated Fund Balance	87	(14)	73
Monetary Settlements	2,753	(1,788)	965
Total Use (Reservation) of Fund Balance	<u>2,865</u>	<u>(1,680)</u>	<u>1,185</u>
Net General Fund Surplus (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2018
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	35,406	(1,000)	34,406
Consumption/Use Taxes	7,514	(76)	7,438
Business Taxes	5,955	(237)	5,718
Other Taxes	969	103	1,072
Miscellaneous Receipts	2,298	(146)	2,152
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	11,030	(107)	10,923
Sales Tax in Excess of LGAC	3,156	(36)	3,120
Sales Tax in Excess of Revenue Bond Debt Service	2,803	(37)	2,766
Real Estate Taxes in Excess of CW/CA Debt Service	1,028	(5)	1,023
All Other	924	292	1,216
Total Receipts	<u>71,083</u>	<u>(1,249)</u>	<u>69,834</u>
Disbursements:			
Local Assistance Grants	47,247	(178)	47,069
Departmental Operations:			
Personal Service	6,015	(65)	5,950
Non-Personal Service	2,290	(63)	2,227
General State Charges	5,741	48	5,789
Transfers to Other Funds:			
Debt Service	946	(25)	921
Capital Projects	3,517	(890)	2,627
State Share of Mental Hygiene Medicaid	1,301	0	1,301
SUNY Operations	1,000	15	1,015
Other Purposes	4,341	(41)	4,300
Total Disbursements	<u>72,398</u>	<u>(1,199)</u>	<u>71,199</u>
Use (Reservation) of Fund Balance:			
Community Projects	16	0	16
Potential Labor Agreements	(155)	25	(130)
Undesignated Fund Balance	0	14	14
Rainy Day Reserve	(150)	150	0
Monetary Settlements	1,604	(139)	1,465
Total Use (Reservation) of Fund Balance	<u>1,315</u>	<u>50</u>	<u>1,365</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>0</u>	<u>0</u>	<u>0</u>
Adherence to 2% Spending Benchmark	<u>0</u>	<u>0</u>	<u>0</u>
Net General Fund Surplus (Deficit)	<u><u>0</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2019
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	37,369	(984)	36,385
Consumption/Use Taxes	7,841	(115)	7,726
Business Taxes	5,972	(202)	5,770
Other Taxes	931	122	1,053
Miscellaneous Receipts	2,290	(162)	2,128
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	11,189	(351)	10,838
Sales Tax in Excess of LGAC	3,200	(46)	3,154
Sales Tax in Excess of Revenue Bond Debt Service	2,845	(48)	2,797
Real Estate Taxes in Excess of CW/CA Debt Service	1,085	(5)	1,080
All Other	741	(2)	739
Total Receipts	<u>73,463</u>	<u>(1,793)</u>	<u>71,670</u>
Disbursements:			
Local Assistance Grants	49,971	141	50,112
Departmental Operations:			
Personal Service	6,236	1	6,237
Non-Personal Service	2,388	125	2,513
General State Charges	6,231	97	6,328
Transfers to Other Funds:			
Debt Service	1,156	(1)	1,155
Capital Projects	3,927	141	4,068
State Share of Mental Hygiene Medicaid	1,231	0	1,231
SUNY Operations	997	8	1,005
Other Purposes	4,712	(8)	4,704
Total Disbursements	<u>76,849</u>	<u>504</u>	<u>77,353</u>
Use (Reservation) of Fund Balance:			
Monetary Settlements	1,613	49	1,662
Total Use (Reservation) of Fund Balance	<u>1,613</u>	<u>49</u>	<u>1,662</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(1,773)</u>	<u>(2,248)</u>	<u>(4,021)</u>
Adherence to 2% Spending Benchmark	<u>2,465</u>	<u>765</u>	<u>3,230</u>
Net General Fund Surplus (Deficit)	<u>692</u>	<u>(1,483)</u>	<u>(791)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2020
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	39,660	(1,674)	37,986
Consumption/Use Taxes	8,109	(113)	7,996
Business Taxes	6,310	(120)	6,190
Other Taxes	982	130	1,112
Miscellaneous Receipts	2,175	(40)	2,135
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	11,346	(557)	10,789
Sales Tax in Excess of LGAC	3,337	(45)	3,292
Sales Tax in Excess of Revenue Bond Debt Service	3,027	(46)	2,981
Real Estate Taxes in Excess of CW/CA Debt Service	1,137	(4)	1,133
All Other	726	(3)	723
Total Receipts	<u>76,809</u>	<u>(2,472)</u>	<u>74,337</u>
Disbursements:			
Local Assistance Grants	52,777	324	53,101
Departmental Operations:			
Personal Service	6,390	34	6,424
Non-Personal Service	2,499	162	2,661
General State Charges	6,689	103	6,792
Transfers to Other Funds:			
Debt Service	1,050	3	1,053
Capital Projects	3,781	118	3,899
State Share of Mental Hygiene Medicaid	1,119	0	1,119
SUNY Operations	997	4	1,001
Other Purposes	5,231	(4)	5,227
Total Disbursements	<u>80,533</u>	<u>744</u>	<u>81,277</u>
Use (Reservation) of Fund Balance:			
Monetary Settlements	1,063	24	1,087
Total Use (Reservation) of Fund Balance	<u>1,063</u>	<u>24</u>	<u>1,087</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(2,661)</u>	<u>(3,192)</u>	<u>(5,853)</u>
Adherence to 2% Spending Benchmark	<u>4,753</u>	<u>1,102</u>	<u>5,855</u>
Net General Fund Surplus (Deficit)	<u>2,092</u>	<u>(2,090)</u>	<u>2</u>

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2021
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	42,818	(3,603)	39,215
Consumption/Use Taxes	8,390	(116)	8,274
Business Taxes	6,595	(73)	6,522
Other Taxes	1,027	148	1,175
Miscellaneous Receipts	2,051	7	2,058
Federal Receipts	0	0	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	12,250	(1,196)	11,054
Sales Tax in Excess of LGAC	3,612	(45)	3,567
Sales Tax in Excess of Revenue Bond Debt Service	2,876	(46)	2,830
Real Estate Taxes in Excess of CW/CA Debt Service	1,193	(4)	1,189
All Other	725	(2)	723
Total Receipts	<u>81,537</u>	<u>(4,930)</u>	<u>76,607</u>
Disbursements:			
Local Assistance Grants	55,400	345	55,745
Departmental Operations:			
Personal Service	6,717	79	6,796
Non-Personal Service	2,527	191	2,718
General State Charges	7,232	125	7,357
Transfers to Other Funds:			
Debt Service	1,115	(41)	1,074
Capital Projects	3,076	403	3,479
State Share of Mental Hygiene Medicaid	1,263	(144)	1,119
SUNY Operations	997	4	1,001
Other Purposes	5,342	141	5,483
Total Disbursements	<u>83,669</u>	<u>1,103</u>	<u>84,772</u>
Use (Reservation) of Fund Balance:			
Monetary Settlements	351	302	653
Total Use (Reservation) of Fund Balance	<u>351</u>	<u>302</u>	<u>653</u>
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(1,781)</u>	<u>(5,731)</u>	<u>(7,512)</u>
Adherence to 2% Spending Benchmark	<u>6,741</u>	<u>1,214</u>	<u>7,955</u>
Net General Fund Surplus (Deficit)	<u>4,960</u>	<u>(4,517)</u>	<u>443</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018	FY 2019	FY 2020	FY 2021
	Enacted	Projected	Projected	Projected
Taxes:				
Withholdings	39,459	41,314	42,557	43,543
Estimated Payments	15,924	17,521	19,069	18,712
Final Payments	2,511	2,669	2,818	2,978
Other Payments	1,416	1,487	1,551	1,588
Gross Collections	59,310	62,991	65,995	66,821
State/City Offset	(873)	(898)	(824)	(849)
Refunds	(9,055)	(10,220)	(11,252)	(10,505)
Reported Tax Collections	49,382	51,873	53,919	55,467
STAR (Dedicated Deposits)	(2,630)	(2,520)	(2,453)	(2,385)
RBTF (Dedicated Transfers)	(12,346)	(12,968)	(13,480)	(13,867)
Personal Income Tax	34,406	36,385	37,986	39,215
Sales and Use Tax	13,642	14,202	14,754	15,322
Cigarette and Tobacco Taxes	343	334	323	313
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	262	267	272	276
Medical Marihuana Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
TNC Assessment	12	24	24	24
Gross Utility Taxes and Fees	14,259	14,827	15,373	15,935
LGAC/STBF (Dedicated Transfers)	(6,821)	(7,101)	(7,377)	(7,661)
Consumption/Use Taxes	7,438	7,726	7,996	8,274
Corporation Franchise Tax	3,406	3,524	3,924	4,187
Corporation and Utilities Tax	585	563	569	575
Insurance Taxes	1,447	1,561	1,637	1,760
Bank Tax	280	122	60	0
Petroleum Business Tax	0	0	0	0
Business Taxes	5,718	5,770	6,190	6,522
Estate Tax	1,052	1,033	1,092	1,155
Real Estate Transfer Tax	1,204	1,258	1,308	1,360
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	17	17	17	17
Other Taxes	3	3	3	3
Gross Other Taxes	2,276	2,311	2,420	2,535
Real Estate Transfer Tax (Dedicated)	(1,204)	(1,258)	(1,308)	(1,360)
Other Taxes	1,072	1,053	1,112	1,175
Payroll Tax	0	0	0	0
Total Taxes	48,634	50,934	53,284	55,186
Licenses, Fees, Etc.	653	634	657	640
Abandoned Property	450	450	450	450
Motor Vehicle Fees	229	242	254	249
ABC License Fee	63	66	66	62
Reimbursements	289	286	288	288
Investment Income	21	8	8	8
Other Transactions	447	442	412	361
Miscellaneous Receipts	2,152	2,128	2,135	2,058
Federal Receipts	0	0	0	0
Total	50,786	53,062	55,419	57,244

**CURRENT STATE RECEIPTS
GENERAL FUND
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	37,524	39,459	1,935	5.2%
Estimated Payments	14,972	15,924	952	6.4%
Final Payments	2,588	2,511	(77)	-3.0%
Other Payments	1,433	1,416	(17)	-1.2%
Gross Collections	56,517	59,310	2,793	4.9%
State/City Offset	(851)	(873)	(22)	-2.6%
Refunds	(8,101)	(9,055)	(954)	-11.8%
Reported Tax Collections	47,565	49,382	1,817	3.8%
STAR (Dedicated Deposits)	(3,139)	(2,630)	509	16.2%
RBTF (Dedicated Transfers)	(11,891)	(12,346)	(455)	-3.8%
Personal Income Tax	32,535	34,406	1,871	5.8%
Sales and Use Tax	12,966	13,642	676	5.2%
Cigarette and Tobacco Taxes	360	343	(17)	-4.7%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	258	262	4	1.6%
Medical Marihuana Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
TNC Assessment	0	12	12	0.0%
Gross Utility Taxes and Fees	13,584	14,259	675	5.0%
LGAC/STBF (Dedicated Transfers)	(6,483)	(6,821)	(338)	-5.2%
Consumption/Use Taxes	7,101	7,438	337	4.7%
Corporation Franchise Tax	2,476	3,406	930	37.6%
Corporation and Utilities Tax	538	585	47	8.7%
Insurance Taxes	1,410	1,447	37	2.6%
Bank Tax	337	280	(57)	-16.9%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	4,761	5,718	957	20.1%
Estate Tax	1,091	1,052	(39)	-3.6%
Real Estate Transfer Tax	1,126	1,204	78	6.9%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	16	17	1	6.3%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,236	2,276	40	1.8%
Real Estate Transfer Tax (Dedicated)	(1,126)	(1,204)	(78)	-6.9%
Other Taxes	1,110	1,072	(38)	-3.4%
Payroll Tax	0	0	0	0.0%
Total Taxes	45,507	48,634	3,127	6.9%
Licenses, Fees, Etc.	644	653	9	1.4%
Abandoned Property	438	450	12	2.7%
Motor Vehicle Fees	174	229	55	31.6%
ABC License Fee	61	63	2	3.3%
Reimbursements	246	289	43	17.5%
Investment Income	24	21	(3)	-12.5%
Other Transactions	2,226	447	(1,779)	-79.9%
Miscellaneous Receipts	3,813	2,152	(1,661)	-43.6%
Federal Receipts	0	0	0	0.0%
Total	49,320	50,786	1,466	3.0%

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2016
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Opening Fund Balance	7,300	2,472	118	9,890
Receipts:				
Taxes	45,963	8,266	19,050	73,279
Miscellaneous Receipts	5,842	16,926	487	23,255
Federal Receipts	0	0	73	73
Total Receipts	51,805	25,192	19,610	96,607
Disbursements:				
Local Assistance Grants	43,314	19,339	0	62,653
Departmental Operations:				
Personal Service	6,011	6,970	0	12,981
Non-Personal Service	1,944	3,621	37	5,602
General State Charges	5,397	2,055	0	7,452
Debt Service	0	0	5,598	5,598
Capital Projects	0	2	0	2
Total Disbursements	56,666	31,987	5,635	94,288
Other Financing Sources (Uses):				
Transfers from Other Funds	17,871	8,631	4,007	30,509
Transfers to Other Funds	(11,376)	(761)	(17,940)	(30,077)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	6,495	7,870	(13,933)	432
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,634	1,075	42	2,751
Closing Fund Balance	8,934	3,547	160	12,641

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	8,934	3,547	160	12,641
Receipts:				
Taxes	45,507	8,101	19,381	72,989
Miscellaneous Receipts	3,813	17,487	458	21,758
Federal Receipts	0	(1)	73	72
Total Receipts	49,320	25,587	19,912	94,819
Disbursements:				
Local Assistance Grants	44,439	19,930	0	64,369
Departmental Operations:				
Personal Service	6,065	7,028	0	13,093
Non-Personal Service	2,022	3,527	38	5,587
General State Charges	5,462	2,172	0	7,634
Debt Service	0	0	5,514	5,514
Capital Projects	0	2	0	2
Total Disbursements	57,988	32,659	5,552	96,199
Other Financing Sources (Uses):				
Transfers from Other Funds	17,575	7,733	3,609	28,917
Transfers to Other Funds	(10,092)	(476)	(17,985)	(28,553)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	7,483	7,257	(14,376)	364
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,185)	185	(16)	(1,016)
Closing Fund Balance	7,749	3,732	144	11,625

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	7,749	3,732	144	11,625
Receipts:				
Taxes	48,634	7,713	20,252	76,599
Miscellaneous Receipts	2,152	16,744	459	19,355
Federal Receipts	0	1	73	74
Total Receipts	<u>50,786</u>	<u>24,458</u>	<u>20,784</u>	<u>96,028</u>
Disbursements:				
Local Assistance Grants	47,069	18,989	0	66,058
Departmental Operations:				
Personal Service	5,950	6,960	0	12,910
Non-Personal Service	2,227	3,518	37	5,782
General State Charges	5,789	2,274	0	8,063
Debt Service	0	0	5,319	5,319
Capital Projects	0	2	0	2
Total Disbursements	<u>61,035</u>	<u>31,743</u>	<u>5,356</u>	<u>98,134</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	19,048	7,848	3,765	30,661
Transfers to Other Funds	(10,164)	(954)	(19,190)	(30,308)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>8,884</u>	<u>6,894</u>	<u>(15,425)</u>	<u>353</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,365)</u>	<u>(391)</u>	<u>3</u>	<u>(1,753)</u>
Closing Fund Balance	<u><u>6,384</u></u>	<u><u>3,341</u></u>	<u><u>147</u></u>	<u><u>9,872</u></u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	50,934	7,756	21,208	79,898
Miscellaneous Receipts	2,128	16,319	458	18,905
Federal Receipts	0	1	73	74
Total Receipts	53,062	24,076	21,739	98,877
Disbursements:				
Local Assistance Grants	50,112	18,878	0	68,990
Departmental Operations:				
Personal Service	6,237	6,942	0	13,179
Non-Personal Service	2,513	3,433	50	5,996
General State Charges	6,328	2,335	0	8,663
Debt Service	0	0	6,499	6,499
Capital Projects	0	0	0	0
Total Disbursements	65,190	31,588	6,549	103,327
Other Financing Sources (Uses):				
Transfers from Other Funds	18,608	8,091	3,959	30,658
Transfers to Other Funds	(12,163)	(342)	(19,146)	(31,651)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	6,445	7,749	(15,187)	(993)
Use (Reservation) of Fund Balance:				
Monetary Settlements	1,662	0	0	1,662
Total Use (Reservation) of Fund Balance	1,662	0	0	1,662
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(4,021)	237	3	(3,781)
Adherence to 2% Spending Benchmark	3,230	0	0	3,230
Net Surplus (Deficit)	(791)	237	3	(551)

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	53,284	7,812	22,046	83,142
Miscellaneous Receipts	2,135	16,217	459	18,811
Federal Receipts	0	1	73	74
Total Receipts	55,419	24,030	22,578	102,027
Disbursements:				
Local Assistance Grants	53,101	18,940	0	72,041
Departmental Operations:				
Personal Service	6,424	7,030	0	13,454
Non-Personal Service	2,661	3,412	50	6,123
General State Charges	6,792	2,410	0	9,202
Debt Service	0	0	7,134	7,134
Capital Projects	0	0	0	0
Total Disbursements	68,978	31,792	7,184	107,954
Other Financing Sources (Uses):				
Transfers from Other Funds	18,918	8,339	3,923	31,180
Transfers to Other Funds	(12,299)	(338)	(19,312)	(31,949)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	6,619	8,001	(15,389)	(769)
Use (Reservation) of Fund Balance:				
Monetary Settlements	1,087	0	0	1,087
Total Use (Reservation) of Fund Balance	1,087	0	0	1,087
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(5,853)	239	5	(5,609)
Adherence to 2% Spending Benchmark	5,855	0	0	5,855
Net Surplus (Deficit)	2	239	5	246

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	55,186	7,858	22,769	85,813
Miscellaneous Receipts	2,058	15,991	452	18,501
Federal Receipts	0	1	73	74
Total Receipts	57,244	23,850	23,294	104,388
Disbursements:				
Local Assistance Grants	55,745	18,997	0	74,742
Departmental Operations:				
Personal Service	6,796	7,129	0	13,925
Non-Personal Service	2,718	3,426	50	6,194
General State Charges	7,357	2,476	0	9,833
Debt Service	0	0	7,402	7,402
Capital Projects	0	0	0	0
Total Disbursements	72,616	32,028	7,452	112,096
Other Financing Sources (Uses):				
Transfers from Other Funds	19,363	8,529	3,854	31,746
Transfers to Other Funds	(12,156)	(241)	(19,691)	(32,088)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	7,207	8,288	(15,837)	(342)
Use (Reservation) of Fund Balance:				
Monetary Settlements	653	0	0	653
Total Use (Reservation) of Fund Balance	653	0	0	653
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(7,512)	110	5	(7,397)
Adherence to 2% Spending Benchmark	7,955	0	0	7,955
Net Surplus (Deficit)	443	110	5	558

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	12,641	11,625	(1,016)	-8.0%
Receipts:				
Taxes	72,989	76,599	3,610	4.9%
Miscellaneous Receipts	21,758	19,355	(2,403)	-11.0%
Federal Receipts	72	74	2	2.8%
Total Receipts	94,819	96,028	1,209	1.3%
Disbursements:				
Local Assistance Grants	64,369	66,058	1,689	2.6%
Departmental Operations:				
Personal Service	13,093	12,910	(183)	-1.4%
Non-Personal Service	5,587	5,782	195	3.5%
General State Charges	7,634	8,063	429	5.6%
Debt Service	5,514	5,319	(195)	-3.5%
Capital Projects	2	2	0	0.0%
Total Disbursements	96,199	98,134	1,935	2.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	28,917	30,661	1,744	6.0%
Transfers to Other Funds	(28,553)	(30,308)	(1,755)	-6.1%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	364	353	(11)	-3.0%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,016)	(1,753)	(737)	-72.5%
Closing Fund Balance	11,625	9,872	(1,753)	-15.1%

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	12,641	0	12,641
Receipts:			
Taxes	73,615	(626)	72,989
Miscellaneous Receipts	21,111	647	21,758
Federal Receipts	74	(2)	72
Total Receipts	94,800	19	94,819
Disbursements:			
Local Assistance Grants	64,465	(96)	64,369
Departmental Operations:			
Personal Service	13,035	58	13,093
Non-Personal Service	5,757	(170)	5,587
General State Charges	7,631	3	7,634
Debt Service	5,310	204	5,514
Capital Projects	2	0	2
Total Disbursements	96,200	(1)	96,199
Other Financing Sources (Uses):			
Transfers from Other Funds	29,428	(511)	28,917
Transfers to Other Funds	(29,853)	1,300	(28,553)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	(425)	789	364
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,825)	809	(1,016)
Closing Fund Balance	10,816	809	11,625

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)

	FY 2017 Enacted	Change	Results
Opening Fund Balance	12,641	0	12,641
Receipts:			
Taxes	75,832	(2,843)	72,989
Miscellaneous Receipts	18,733	3,025	21,758
Federal Receipts	74	(2)	72
Total Receipts	94,639	180	94,819
Disbursements:			
Local Assistance Grants	64,889	(520)	64,369
Departmental Operations:			
Personal Service	12,841	252	13,093
Non-Personal Service	5,693	(106)	5,587
General State Charges	7,551	83	7,634
Debt Service	5,203	311	5,514
Capital Projects	3	(1)	2
Total Disbursements	96,180	19	96,199
Other Financing Sources (Uses):			
Transfers from Other Funds	29,526	(609)	28,917
Transfers to Other Funds	(31,183)	2,630	(28,553)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	(1,657)	2,021	364
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(3,198)	2,182	(1,016)
Closing Fund Balance	9,443	2,182	11,625

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	7,300	2,661	(724)	118	9,355
Receipts:					
Taxes	45,963	8,266	1,394	19,050	74,673
Miscellaneous Receipts	5,842	17,117	3,822	487	27,268
Federal Receipts	0	49,105	2,146	73	51,324
Total Receipts	51,805	74,488	7,362	19,610	153,265
Disbursements:					
Local Assistance Grants	43,314	64,502	2,498	0	110,314
Departmental Operations:					
Personal Service	6,011	7,586	0	0	13,597
Non-Personal Service	1,944	4,994	0	37	6,975
General State Charges	5,397	2,342	0	0	7,739
Debt Service	0	0	0	5,598	5,598
Capital Projects	0	2	6,483	0	6,485
Total Disbursements	56,666	79,426	8,981	5,635	150,708
Other Financing Sources (Uses):					
Transfers from Other Funds	17,871	8,670	2,895	4,007	33,443
Transfers to Other Funds	(11,376)	(2,786)	(1,443)	(17,940)	(33,545)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	6,495	5,884	1,452	(13,933)	(102)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,634	946	(167)	42	2,455
Closing Fund Balance	8,934	3,607	(891)	160	11,810

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	8,934	3,607	(891)	160	11,810
Receipts:					
Taxes	45,507	8,101	1,383	19,381	74,372
Miscellaneous Receipts	3,813	17,686	4,637	458	26,594
Federal Receipts	0	52,725	2,608	73	55,406
Total Receipts	49,320	78,512	8,628	19,912	156,372
Disbursements:					
Local Assistance Grants	44,439	68,294	3,604	0	116,337
Departmental Operations:					
Personal Service	6,065	7,659	0	0	13,724
Non-Personal Service	2,022	4,898	0	38	6,958
General State Charges	5,462	2,465	0	0	7,927
Debt Service	0	0	0	5,514	5,514
Capital Projects	0	2	6,552	0	6,554
Total Disbursements	57,988	83,318	10,156	5,552	157,014
Other Financing Sources (Uses):					
Transfers from Other Funds	17,575	7,733	2,751	3,609	31,668
Transfers to Other Funds	(10,092)	(2,262)	(1,392)	(17,985)	(31,731)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	7,483	5,471	1,359	(14,376)	(63)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,185)	665	(169)	(16)	(705)
Closing Fund Balance	7,749	4,272	(1,060)	144	11,105

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,749	4,272	(1,060)	144	11,105
Receipts:					
Taxes	48,634	7,713	1,327	20,252	77,926
Miscellaneous Receipts	2,152	16,956	6,942	459	26,509
Federal Receipts	0	54,323	2,246	73	56,642
Total Receipts	50,786	78,992	10,515	20,784	161,077
Disbursements:					
Local Assistance Grants	47,069	69,057	5,164	0	121,290
Departmental Operations:					
Personal Service	5,950	7,577	0	0	13,527
Non-Personal Service	2,227	4,902	0	37	7,166
General State Charges	5,789	2,588	0	0	8,377
Debt Service	0	0	0	5,319	5,319
Capital Projects	0	2	7,947	0	7,949
Total Disbursements	61,035	84,126	13,111	5,356	163,628
Other Financing Sources (Uses):					
Transfers from Other Funds	19,048	7,860	3,056	3,765	33,729
Transfers to Other Funds	(10,164)	(3,018)	(1,466)	(19,190)	(33,838)
Bond and Note Proceeds	0	0	988	0	988
Net Other Financing Sources (Uses)	8,884	4,842	2,578	(15,425)	879
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,365)	(292)	(18)	3	(1,672)
Closing Fund Balance	6,384	3,980	(1,078)	147	9,433

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	50,934	7,756	1,395	21,208	81,293
Miscellaneous Receipts	2,128	16,531	7,463	458	26,580
Federal Receipts	0	55,014	2,429	73	57,516
Total Receipts	53,062	79,301	11,287	21,739	165,389
Disbursements:					
Local Assistance Grants	50,112	69,656	4,897	0	124,665
Departmental Operations:					
Personal Service	6,237	7,567	0	0	13,804
Non-Personal Service	2,513	4,842	0	50	7,405
General State Charges	6,328	2,650	0	0	8,978
Debt Service	0	0	0	6,499	6,499
Capital Projects	0	0	9,760	0	9,760
Total Disbursements	65,190	84,715	14,657	6,549	171,111
Other Financing Sources (Uses):					
Transfers from Other Funds	18,608	8,103	4,355	3,959	35,025
Transfers to Other Funds	(12,163)	(2,351)	(1,485)	(19,146)	(35,145)
Bond and Note Proceeds	0	0	478	0	478
Net Other Financing Sources (Uses)	6,445	5,752	3,348	(15,187)	358
Use (Reservation) of Fund Balance:					
Monetary Settlements	1,662	0	0	0	1,662
Total Use (Reservation) of Fund Balance	1,662	0	0	0	1,662
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(4,021)	338	(22)	3	(3,702)
Adherence to 2% Spending Benchmark					
	3,230	0	0	0	3,230
Net Surplus (Deficit)	(791)	338	(22)	3	(472)

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	53,284	7,812	1,391	22,046	84,533
Miscellaneous Receipts	2,135	16,429	7,278	459	26,301
Federal Receipts	0	55,531	2,215	73	57,819
Total Receipts	55,419	79,772	10,884	22,578	168,653
Disbursements:					
Local Assistance Grants	53,101	70,394	4,645	0	128,140
Departmental Operations:					
Personal Service	6,424	7,659	0	0	14,083
Non-Personal Service	2,661	4,875	0	50	7,586
General State Charges	6,792	2,730	0	0	9,522
Debt Service	0	0	0	7,134	7,134
Capital Projects	0	0	9,059	0	9,059
Total Disbursements	68,978	85,658	13,704	7,184	175,524
Other Financing Sources (Uses):					
Transfers from Other Funds	18,918	8,351	4,161	3,923	35,353
Transfers to Other Funds	(12,299)	(2,118)	(1,740)	(19,312)	(35,469)
Bond and Note Proceeds	0	0	387	0	387
Net Other Financing Sources (Uses)	6,619	6,233	2,808	(15,389)	271
Use (Reservation) of Fund Balance:					
Monetary Settlements	1,087	0	0	0	1,087
Total Use (Reservation) of Fund Balance	1,087	0	0	0	1,087
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(5,853)	347	(12)	5	(5,513)
Adherence to 2% Spending Benchmark					
	5,855	0	0	0	5,855
Net Surplus (Deficit)	2	347	(12)	5	342

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Receipts:					
Taxes	55,186	7,858	1,391	22,769	87,204
Miscellaneous Receipts	2,058	16,206	6,682	452	25,398
Federal Receipts	0	55,754	2,171	73	57,998
Total Receipts	57,244	79,818	10,244	23,294	170,600
Disbursements:					
Local Assistance Grants	55,745	70,749	4,124	0	130,618
Departmental Operations:					
Personal Service	6,796	7,784	0	0	14,580
Non-Personal Service	2,718	4,869	0	50	7,637
General State Charges	7,357	2,806	0	0	10,163
Debt Service	0	0	0	7,402	7,402
Capital Projects	0	0	8,407	0	8,407
Total Disbursements	72,616	86,208	12,531	7,452	178,807
Other Financing Sources (Uses):					
Transfers from Other Funds	19,363	8,541	3,675	3,854	35,433
Transfers to Other Funds	(12,156)	(2,028)	(1,684)	(19,691)	(35,559)
Bond and Note Proceeds	0	0	301	0	301
Net Other Financing Sources (Uses)	7,207	6,513	2,292	(15,837)	175
Use (Reservation) of Fund Balance:					
Monetary Settlements	653	0	0	0	653
Total Use (Reservation) of Fund Balance	653	0	0	0	653
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)					
	(7,512)	123	5	5	(7,379)
Adherence to 2% Spending Benchmark					
	7,955	0	0	0	7,955
Net Surplus (Deficit)	443	123	5	5	576

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	11,810	11,105	(705)	-6.0%
Receipts:				
Taxes	74,372	77,926	3,554	4.8%
Miscellaneous Receipts	26,594	26,509	(85)	-0.3%
Federal Receipts	55,406	56,642	1,236	2.2%
Total Receipts	156,372	161,077	4,705	3.0%
Disbursements:				
Local Assistance Grants	116,337	121,290	4,953	4.3%
Departmental Operations:				
Personal Service	13,724	13,527	(197)	-1.4%
Non-Personal Service	6,958	7,166	208	3.0%
General State Charges	7,927	8,377	450	5.7%
Debt Service	5,514	5,319	(195)	-3.5%
Capital Projects	6,554	7,949	1,395	21.3%
Total Disbursements	157,014	163,628	6,614	4.2%
Other Financing Sources (Uses):				
Transfers from Other Funds	31,668	33,729	2,061	6.5%
Transfers to Other Funds	(31,731)	(33,838)	(2,107)	-6.6%
Bond and Note Proceeds	0	988	988	0.0%
Net Other Financing Sources (Uses)	(63)	879	942	1495.2%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(705)	(1,672)	(967)	-137.2%
Closing Fund Balance	11,105	9,433	(1,672)	-15.1%

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
Opening Fund Balance	11,810	0	11,810
Receipts:			
Taxes	74,973	(601)	74,372
Miscellaneous Receipts	26,175	419	26,594
Federal Receipts	52,885	2,521	55,406
Total Receipts	154,033	2,339	156,372
Disbursements:			
Local Assistance Grants	114,732	1,605	116,337
Departmental Operations:			
Personal Service	13,667	57	13,724
Non-Personal Service	7,186	(228)	6,958
General State Charges	7,934	(7)	7,927
Debt Service	5,310	204	5,514
Capital Projects	7,336	(782)	6,554
Total Disbursements	156,165	849	157,014
Other Financing Sources (Uses):			
Transfers from Other Funds	33,061	(1,393)	31,668
Transfers to Other Funds	(33,127)	1,396	(31,731)
Bond and Note Proceeds	434	(434)	0
Net Other Financing Sources (Uses)	368	(431)	(63)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,764)	1,059	(705)
Closing Fund Balance	10,046	1,059	11,105

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

	FY 2017 Enacted	Change	Results
Opening Fund Balance	11,810	0	11,810
Receipts:			
Taxes	77,128	(2,756)	74,372
Miscellaneous Receipts	23,567	3,027	26,594
Federal Receipts	51,651	3,755	55,406
Total Receipts	152,346	4,026	156,372
Disbursements:			
Local Assistance Grants	114,897	1,440	116,337
Departmental Operations:			
Personal Service	13,496	228	13,724
Non-Personal Service	6,919	39	6,958
General State Charges	7,870	57	7,927
Debt Service	5,203	311	5,514
Capital Projects	7,720	(1,166)	6,554
Total Disbursements	156,105	909	157,014
Other Financing Sources (Uses):			
Transfers from Other Funds	34,284	(2,616)	31,668
Transfers to Other Funds	(34,359)	2,628	(31,731)
Bond and Note Proceeds	609	(609)	0
Net Other Financing Sources (Uses)	534	(597)	(63)
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(3,225)	2,520	(705)
Closing Fund Balance	8,585	2,520	11,105

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	37,524	0	0	0	37,524
Estimated Payments	14,972	0	0	0	14,972
Final Payments	2,588	0	0	0	2,588
Other Payments	1,433	0	0	0	1,433
Gross Collections	56,517	0	0	0	56,517
State/City Offset	(851)	0	0	0	(851)
Refunds	(8,101)	0	0	0	(8,101)
Reported Tax Collections	47,565	0	0	0	47,565
STAR (Dedicated Deposits)	(3,139)	3,139	0	0	0
RBTF (Dedicated Transfers)	(11,891)	0	0	11,891	0
Personal Income Tax	32,535	3,139	0	11,891	47,565
Sales and Use Tax	12,966	903	0	0	13,869
Cigarette and Tobacco Taxes	360	876	0	0	1,236
Motor Fuel Tax	0	109	410	0	519
Alcoholic Beverage Taxes	258	0	0	0	258
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	2	136	0	138
Auto Rental Tax	0	49	78	0	127
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	0	0	0	0	0
Gross Utility Taxes and Fees	13,584	2,004	624	0	16,212
LGAC/STBF (Dedicated Transfers)	(6,483)	0	0	6,483	0
Consumption/Use Taxes	7,101	2,004	624	6,483	16,212
Corporation Franchise Tax	2,476	690	0	0	3,166
Corporation and Utilities Tax	538	167	15	0	720
Insurance Taxes	1,410	170	0	0	1,580
Bank Tax	337	52	0	0	389
Petroleum Business Tax	0	499	625	0	1,124
Business Taxes	4,761	1,578	640	0	6,979
Estate Tax	1,091	0	0	0	1,091
Real Estate Transfer Tax	1,126	0	0	0	1,126
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,236	0	0	0	2,236
Real Estate Transfer Tax (Dedicated)	(1,126)	0	119	1,007	0
Other Taxes	1,110	0	119	1,007	2,236
Payroll Tax	0	1,380	0	0	1,380
Total Taxes	45,507	8,101	1,383	19,381	74,372
Licenses, Fees, Etc.	644	0	0	0	644
Abandoned Property	438	0	0	0	438
Motor Vehicle Fees	174	401	787	0	1,362
ABC License Fee	61	0	0	0	61
Reimbursements	246	0	0	0	246
Investment Income	24	0	0	0	24
Other Transactions	2,226	17,285	3,850	458	23,819
Miscellaneous Receipts	3,813	17,686	4,637	458	26,594
Federal Receipts	0	52,725	2,608	73	55,406
Total	49,320	78,512	8,628	19,912	156,372

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	39,459	0	0	0	39,459
Estimated Payments	15,924	0	0	0	15,924
Final Payments	2,511	0	0	0	2,511
Other Payments	1,416	0	0	0	1,416
Gross Collections	59,310	0	0	0	59,310
State/City Offset	(873)	0	0	0	(873)
Refunds	(9,055)	0	0	0	(9,055)
Reported Tax Collections	49,382	0	0	0	49,382
STAR (Dedicated Deposits)	(2,630)	2,630	0	0	0
RBTF (Dedicated Transfers)	(12,346)	0	0	12,346	0
Personal Income Tax	34,406	2,630	0	12,346	49,382
Sales and Use Tax	13,642	942	0	0	14,584
Cigarette and Tobacco Taxes	343	847	0	0	1,190
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Taxes	262	0	0	0	262
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	1	95	0	96
Auto Rental Tax	0	51	86	0	137
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	12	0	0	0	12
Gross Utility Taxes and Fees	14,259	2,014	588	0	16,861
LGAC/STBF (Dedicated Transfers)	(6,821)	0	0	6,821	0
Consumption/Use Taxes	7,438	2,014	588	6,821	16,861
Corporation Franchise Tax	3,406	769	0	0	4,175
Corporation and Utilities Tax	585	165	15	0	765
Insurance Taxes	1,447	169	0	0	1,616
Bank Tax	280	48	0	0	328
Petroleum Business Tax	0	480	605	0	1,085
Business Taxes	5,718	1,631	620	0	7,969
Estate Tax	1,052	0	0	0	1,052
Real Estate Transfer Tax	1,204	0	0	0	1,204
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,276	0	0	0	2,276
Real Estate Transfer Tax (Dedicated)	(1,204)	0	119	1,085	0
Other Taxes	1,072	0	119	1,085	2,276
Payroll Tax	0	1,438	0	0	1,438
Total Taxes	48,634	7,713	1,327	20,252	77,926
Licenses, Fees, Etc.	653	0	0	0	653
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	229	416	786	0	1,431
ABC License Fee	63	0	0	0	63
Reimbursements	289	0	0	0	289
Investment Income	21	0	0	0	21
Other Transactions	447	16,540	6,156	459	23,602
Miscellaneous Receipts	2,152	16,956	6,942	459	26,509
Federal Receipts	0	54,323	2,246	73	56,642
Total	50,786	78,992	10,515	20,784	161,077

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	41,314	0	0	0	41,314
Estimated Payments	17,521	0	0	0	17,521
Final Payments	2,669	0	0	0	2,669
Other Payments	<u>1,487</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,487</u>
Gross Collections	62,991	0	0	0	62,991
State/City Offset	(898)	0	0	0	(898)
Refunds	<u>(10,220)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(10,220)</u>
Reported Tax Collections	51,873	0	0	0	51,873
STAR (Dedicated Deposits)	(2,520)	2,520	0	0	0
RBTF (Dedicated Transfers)	<u>(12,968)</u>	<u>0</u>	<u>0</u>	<u>12,968</u>	<u>0</u>
Personal Income Tax	36,385	2,520	0	12,968	51,873
Sales and Use Tax	14,202	976	0	0	15,178
Cigarette and Tobacco Taxes	334	816	0	0	1,150
Motor Fuel Tax	0	107	405	0	512
Alcoholic Beverage Taxes	267	0	0	0	267
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	2	140	0	142
Auto Rental Tax	0	53	88	0	141
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	<u>24</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>24</u>
Gross Utility Taxes and Fees	14,827	2,019	633	0	17,479
LGAC/STBF (Dedicated Transfers)	<u>(7,101)</u>	<u>0</u>	<u>0</u>	<u>7,101</u>	<u>0</u>
Consumption/Use Taxes	7,726	2,019	633	7,101	17,479
Corporation Franchise Tax	3,524	849	0	0	4,373
Corporation and Utilities Tax	563	167	14	0	744
Insurance Taxes	1,561	184	0	0	1,745
Bank Tax	122	21	0	0	143
Petroleum Business Tax	<u>0</u>	<u>493</u>	<u>629</u>	<u>0</u>	<u>1,122</u>
Business Taxes	5,770	1,714	643	0	8,127
Estate Tax	1,033	0	0	0	1,033
Real Estate Transfer Tax	1,258	0	0	0	1,258
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>3</u>
Gross Other Taxes	2,311	0	0	0	2,311
Real Estate Transfer Tax (Dedicated)	<u>(1,258)</u>	<u>0</u>	<u>119</u>	<u>1,139</u>	<u>0</u>
Other Taxes	1,053	0	119	1,139	2,311
Payroll Tax	0	1,503	0	0	1,503
Total Taxes	50,934	7,756	1,395	21,208	81,293
Licenses, Fees, Etc.	634	0	0	0	634
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	242	418	793	0	1,453
ABC License Fee	66	0	0	0	66
Reimbursements	286	0	0	0	286
Investment Income	8	0	0	0	8
Other Transactions	<u>442</u>	<u>16,113</u>	<u>6,670</u>	<u>458</u>	<u>23,683</u>
Miscellaneous Receipts	2,128	16,531	7,463	458	26,580
Federal Receipts	0	55,014	2,429	73	57,516
Total	53,062	79,301	11,287	21,739	165,389

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,557	0	0	0	42,557
Estimated Payments	19,069	0	0	0	19,069
Final Payments	2,818	0	0	0	2,818
Other Payments	1,551	0	0	0	1,551
Gross Collections	65,995	0	0	0	65,995
State/City Offset	(824)	0	0	0	(824)
Refunds	(11,252)	0	0	0	(11,252)
Reported Tax Collections	53,919	0	0	0	53,919
STAR (Dedicated Deposits)	(2,453)	2,453	0	0	0
RBTF (Dedicated Transfers)	(13,480)	0	0	13,480	0
Personal Income Tax	37,986	2,453	0	13,480	53,919
Sales and Use Tax	14,754	1,012	0	0	15,766
Cigarette and Tobacco Taxes	323	781	0	0	1,104
Motor Fuel Tax	0	106	401	0	507
Alcoholic Beverage Taxes	272	0	0	0	272
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	1	141	0	142
Auto Rental Tax	0	56	93	0	149
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	24	0	0	0	24
Gross Utility Taxes and Fees	15,373	2,021	635	0	18,029
LGAC/STBF (Dedicated Transfers)	(7,377)	0	0	7,377	0
Consumption/Use Taxes	7,996	2,021	635	7,377	18,029
Corporation Franchise Tax	3,924	899	0	0	4,823
Corporation and Utilities Tax	569	171	14	0	754
Insurance Taxes	1,637	191	0	0	1,828
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	488	623	0	1,111
Business Taxes	6,190	1,760	637	0	8,587
Estate Tax	1,092	0	0	0	1,092
Real Estate Transfer Tax	1,308	0	0	0	1,308
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,420	0	0	0	2,420
Real Estate Transfer Tax (Dedicated)	(1,308)	0	119	1,189	0
Other Taxes	1,112	0	119	1,189	2,420
Payroll Tax	0	1,578	0	0	1,578
Total Taxes	53,284	7,812	1,391	22,046	84,533
Licenses, Fees, Etc.	657	0	0	0	657
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	254	421	786	0	1,461
ABC License Fee	66	0	0	0	66
Reimbursements	288	0	0	0	288
Investment Income	8	0	0	0	8
Other Transactions	412	16,008	6,492	459	23,371
Miscellaneous Receipts	2,135	16,429	7,278	459	26,301
Federal Receipts	0	55,531	2,215	73	57,819
Total	55,419	79,772	10,884	22,578	168,653

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2021
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	43,543	0	0	0	43,543
Estimated Payments	18,712	0	0	0	18,712
Final Payments	2,978	0	0	0	2,978
Other Payments	1,588	0	0	0	1,588
Gross Collections	66,821	0	0	0	66,821
State/City Offset	(849)	0	0	0	(849)
Refunds	(10,505)	0	0	0	(10,505)
Reported Tax Collections	55,467	0	0	0	55,467
STAR (Dedicated Deposits)	(2,385)	2,385	0	0	0
RBTF (Dedicated Transfers)	(13,867)	0	0	13,867	0
Personal Income Tax	39,215	2,385	0	13,867	55,467
Sales and Use Tax	15,322	1,049	0	0	16,371
Cigarette and Tobacco Taxes	313	748	0	0	1,061
Motor Fuel Tax	0	105	399	0	504
Alcoholic Beverage Taxes	276	0	0	0	276
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	1	142	0	143
Auto Rental Tax	0	59	97	0	156
Taxicab Surcharge	0	64	0	0	64
TNC Assessment	24	0	0	0	24
Gross Utility Taxes and Fees	15,935	2,027	638	0	18,600
LGAC/STBF (Dedicated Transfers)	(7,661)	0	0	7,661	0
Consumption/Use Taxes	8,274	2,027	638	7,661	18,600
Corporation Franchise Tax	4,187	936	0	0	5,123
Corporation and Utilities Tax	575	175	14	0	764
Insurance Taxes	1,760	205	0	0	1,965
Bank Tax	0	0	0	0	0
Petroleum Business Tax	0	485	620	0	1,105
Business Taxes	6,522	1,801	634	0	8,957
Estate Tax	1,155	0	0	0	1,155
Real Estate Transfer Tax	1,360	0	0	0	1,360
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,535	0	0	0	2,535
Real Estate Transfer Tax (Dedicated)	(1,360)	0	119	1,241	0
Other Taxes	1,175	0	119	1,241	2,535
Payroll Tax	0	1,645	0	0	1,645
Total Taxes	55,186	7,858	1,391	22,769	87,204
Licenses, Fees, Etc.	640	0	0	0	640
Abandoned Property	450	0	0	0	450
Motor Vehicle Fees	249	420	786	0	1,455
ABC License Fee	62	0	0	0	62
Reimbursements	288	0	0	0	288
Investment Income	8	0	0	0	8
Other Transactions	361	15,786	5,896	452	22,495
Miscellaneous Receipts	2,058	16,206	6,682	452	25,398
Federal Receipts	0	55,754	2,171	73	57,998
Total	57,244	79,818	10,244	23,294	170,600

**CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 Enacted	Annual \$ Change	Annual % Change
Taxes:				
Withholdings	37,524	39,459	1,935	5.2%
Estimated Payments	14,972	15,924	952	6.4%
Final Payments	2,588	2,511	(77)	-3.0%
Other Payments	1,433	1,416	(17)	-1.2%
Gross Collections	56,517	59,310	2,793	4.9%
State/City Offset	(851)	(873)	(22)	-2.6%
Refunds	(8,101)	(9,055)	(954)	-11.8%
Reported Tax Collections	47,565	49,382	1,817	3.8%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	47,565	49,382	1,817	3.8%
Sales and Use Tax	13,869	14,584	715	5.2%
Cigarette and Tobacco Taxes	1,236	1,190	(46)	-3.7%
Motor Fuel Tax	519	515	(4)	-0.8%
Alcoholic Beverage Taxes	258	262	4	1.6%
Medical Marihuana Excise Tax	1	1	0	0.0%
Highway Use Tax	138	96	(42)	-30.4%
Auto Rental Tax	127	137	10	7.9%
Taxicab Surcharge	64	64	0	0.0%
TNC Assessment	0	12	12	0.0%
Gross Utility Taxes and Fees	16,212	16,861	649	4.0%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	16,212	16,861	649	4.0%
Corporation Franchise Tax	3,166	4,175	1,009	31.9%
Corporation and Utilities Tax	720	765	45	6.3%
Insurance Taxes	1,580	1,616	36	2.3%
Bank Tax	389	328	(61)	-15.7%
Petroleum Business Tax	1,124	1,085	(39)	-3.5%
Business Taxes	6,979	7,969	990	14.2%
Estate Tax	1,091	1,052	(39)	-3.6%
Real Estate Transfer Tax	1,126	1,204	78	6.9%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	16	17	1	6.3%
Other Taxes	3	3	0	0.0%
Gross Other Taxes	2,236	2,276	40	1.8%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
Other Taxes	2,236	2,276	40	1.8%
Payroll Tax	1,380	1,438	58	4.2%
Total Taxes	74,372	77,926	3,554	4.8%
Licenses, Fees, Etc.	644	653	9	1.4%
Abandoned Property	438	450	12	2.7%
Motor Vehicle Fees	1,362	1,431	69	5.1%
ABC License Fee	61	63	2	3.3%
Reimbursements	246	289	43	17.5%
Investment Income	24	21	(3)	-12.5%
Other Transactions	23,819	23,602	(217)	-0.9%
Miscellaneous Receipts	26,594	26,509	(85)	-0.3%
Federal Receipts	55,406	56,642	1,236	2.2%
Total	156,372	161,077	4,705	3.0%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,472	189	2,661
Receipts:			
Taxes	8,266	0	8,266
Miscellaneous Receipts	16,926	191	17,117
Federal Receipts	0	49,105	49,105
Total Receipts	25,192	49,296	74,488
Disbursements:			
Local Assistance Grants	19,339	45,163	64,502
Departmental Operations:			
Personal Service	6,970	616	7,586
Non-Personal Service	3,621	1,373	4,994
General State Charges	2,055	287	2,342
Capital Projects	2	0	2
Total Disbursements	31,987	47,439	79,426
Other Financing Sources (Uses):			
Transfers from Other Funds	8,631	39	8,670
Transfers to Other Funds	(761)	(2,025)	(2,786)
Net Other Financing Sources (Uses)	7,870	(1,986)	5,884
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	1,075	(129)	946
Closing Fund Balance	3,547	60	3,607

CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,547	60	3,607
Receipts:			
Taxes	8,101	0	8,101
Miscellaneous Receipts	17,487	199	17,686
Federal Receipts	(1)	52,726	52,725
Total Receipts	25,587	52,925	78,512
Disbursements:			
Local Assistance Grants	19,930	48,364	68,294
Departmental Operations:			
Personal Service	7,028	631	7,659
Non-Personal Service	3,527	1,371	4,898
General State Charges	2,172	293	2,465
Capital Projects	2	0	2
Total Disbursements	32,659	50,659	83,318
Other Financing Sources (Uses):			
Transfers from Other Funds	7,733	0	7,733
Transfers to Other Funds	(476)	(1,786)	(2,262)
Net Other Financing Sources (Uses)	7,257	(1,786)	5,471
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	185	480	665
Closing Fund Balance	3,732	540	4,272

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,732	540	4,272
Receipts:			
Taxes	7,713	0	7,713
Miscellaneous Receipts	16,744	212	16,956
Federal Receipts	1	54,322	54,323
Total Receipts	24,458	54,534	78,992
Disbursements:			
Local Assistance Grants	18,989	50,068	69,057
Departmental Operations:			
Personal Service	6,960	617	7,577
Non-Personal Service	3,518	1,384	4,902
General State Charges	2,274	314	2,588
Capital Projects	2	0	2
Total Disbursements	31,743	52,383	84,126
Other Financing Sources (Uses):			
Transfers from Other Funds	7,848	12	7,860
Transfers to Other Funds	(954)	(2,064)	(3,018)
Net Other Financing Sources (Uses)	6,894	(2,052)	4,842
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(391)	99	(292)
Closing Fund Balance	3,341	639	3,980

CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,341	639	3,980
Receipts:			
Taxes	7,756	0	7,756
Miscellaneous Receipts	16,319	212	16,531
Federal Receipts	1	55,013	55,014
Total Receipts	24,076	55,225	79,301
Disbursements:			
Local Assistance Grants	18,878	50,778	69,656
Departmental Operations:			
Personal Service	6,942	625	7,567
Non-Personal Service	3,433	1,409	4,842
General State Charges	2,335	315	2,650
Capital Projects	0	0	0
Total Disbursements	31,588	53,127	84,715
Other Financing Sources (Uses):			
Transfers from Other Funds	8,091	12	8,103
Transfers to Other Funds	(342)	(2,009)	(2,351)
Net Other Financing Sources (Uses)	7,749	(1,997)	5,752
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	237	101	338
Closing Fund Balance	3,578	740	4,318

CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,578	740	4,318
Receipts:			
Taxes	7,812	0	7,812
Miscellaneous Receipts	16,217	212	16,429
Federal Receipts	1	55,530	55,531
Total Receipts	24,030	55,742	79,772
Disbursements:			
Local Assistance Grants	18,940	51,454	70,394
Departmental Operations:			
Personal Service	7,030	629	7,659
Non-Personal Service	3,412	1,463	4,875
General State Charges	2,410	320	2,730
Capital Projects	0	0	0
Total Disbursements	31,792	53,866	85,658
Other Financing Sources (Uses):			
Transfers from Other Funds	8,339	12	8,351
Transfers to Other Funds	(338)	(1,780)	(2,118)
Net Other Financing Sources (Uses)	8,001	(1,768)	6,233
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	239	108	347
Closing Fund Balance	3,817	848	4,665

CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2021
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,817	848	4,665
Receipts:			
Taxes	7,858	0	7,858
Miscellaneous Receipts	15,991	215	16,206
Federal Receipts	1	55,753	55,754
Total Receipts	23,850	55,968	79,818
Disbursements:			
Local Assistance Grants	18,997	51,752	70,749
Departmental Operations:			
Personal Service	7,129	655	7,784
Non-Personal Service	3,426	1,443	4,869
General State Charges	2,476	330	2,806
Capital Projects	0	0	0
Total Disbursements	32,028	54,180	86,208
Other Financing Sources (Uses):			
Transfers from Other Funds	8,529	12	8,541
Transfers to Other Funds	(241)	(1,787)	(2,028)
Net Other Financing Sources (Uses)	8,288	(1,775)	6,513
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	110	13	123
Closing Fund Balance	3,927	861	4,788

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	3,607	4,272	665	18.4%
Receipts:				
Taxes	8,101	7,713	(388)	-4.8%
Miscellaneous Receipts	17,686	16,956	(730)	-4.1%
Federal Receipts	52,725	54,323	1,598	3.0%
Total Receipts	78,512	78,992	480	0.6%
Disbursements:				
Local Assistance Grants	68,294	69,057	763	1.1%
Departmental Operations:				
Personal Service	7,659	7,577	(82)	-1.1%
Non-Personal Service	4,898	4,902	4	0.1%
General State Charges	2,465	2,588	123	5.0%
Debt Service	0	0	0	0.0%
Capital Projects	2	2	0	0.0%
Total Disbursements	83,318	84,126	808	1.0%
Other Financing Sources (Uses):				
Transfers from Other Funds	7,733	7,860	127	1.6%
Transfers to Other Funds	(2,262)	(3,018)	(756)	-33.4%
Net Other Financing Sources (Uses)	5,471	4,842	(629)	-11.5%
Excess (Deficiency) of Receipts and Other	665	(292)	(957)	-143.9%
Closing Fund Balance	4,272	3,980	(292)	-6.8%

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018	FY 2019	FY 2020	FY 2021
	Enacted	Projected	Projected	Projected
Personal Income Tax	2,630	2,520	2,453	2,385
Consumption/Use Taxes	2,014	2,019	2,021	2,027
Sales and Use Tax	942	976	1,012	1,049
Cigarette and Tobacco Taxes	847	816	781	748
Motor Fuel Tax	108	107	106	105
Highway Use Tax	1	2	1	1
Medical Marihuana Excise Tax	1	1	1	1
Auto Rental Tax	51	53	56	59
Taxicab Surcharge	64	64	64	64
Business Taxes	1,631	1,714	1,760	1,801
Corporation Franchise Tax	769	849	899	936
Corporation and Utilities Tax	165	167	171	175
Insurance Taxes	169	184	191	205
Bank Tax	48	21	11	0
Petroleum Business Tax	480	493	488	485
Payroll Tax	1,438	1,503	1,578	1,645
Total Taxes	7,713	7,756	7,812	7,858
Miscellaneous Receipts	16,956	16,531	16,429	16,206
HCRA	4,925	4,980	5,036	5,040
State University Income	4,519	4,695	4,861	4,926
Lottery	3,385	3,330	3,342	3,345
Medicaid	832	832	832	832
Industry Assessments	832	832	832	832
Motor Vehicle Fees	416	418	421	420
All Other	2,047	1,444	1,105	811
Federal Receipts	54,323	55,014	55,531	55,754
Total	78,992	79,301	79,772	79,818

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 Enacted	Annual \$ Change	Annual % Change
Personal Income Tax	3,139	2,630	(509)	-16.2%
Consumption/Use Taxes	2,004	2,014	10	0.5%
Sales and Use Tax	903	942	39	4.3%
Cigarette and Tobacco Taxes	876	847	(29)	-3.3%
Motor Fuel Tax	109	108	(1)	-0.9%
Highway Use Tax	2	1	(1)	-50.0%
Medical Marihuana Excise Tax	1	1	0	0.0%
Auto Rental Tax	49	51	2	4.1%
Taxicab Surcharge	64	64	0	0.0%
Business Taxes	1,578	1,631	53	3.4%
Corporation Franchise Tax	690	769	79	11.4%
Corporation and Utilities Tax	167	165	(2)	-1.2%
Insurance Taxes	170	169	(1)	-0.6%
Bank Tax	52	48	(4)	-7.7%
Petroleum Business Tax	499	480	(19)	-3.8%
Payroll Tax	1,380	1,438	58	4.2%
Total Taxes	8,101	7,713	(388)	-4.8%
Miscellaneous Receipts	17,686	16,956	(730)	-4.1%
HCRA	4,923	4,925	2	0.0%
State University Income	4,689	4,519	(170)	-3.6%
Lottery	3,380	3,385	5	0.1%
Medicaid	850	832	(18)	-2.1%
Industry Assessments	577	832	255	44.2%
Motor Vehicle Fees	401	416	15	3.7%
All Other	2,866	2,047	(819)	-28.6%
Federal Receipts	52,725	54,323	1,598	3.0%
Total	78,512	78,992	480	0.6%

CASH FINANCIAL PLAN
 CAPITAL PROJECTS FUNDS
 FY 2016
 (millions of dollars)

	State	Federal	Total
Opening Fund Balance	(342)	(382)	(724)
Receipts:			
Taxes	1,394	0	1,394
Miscellaneous Receipts	3,821	1	3,822
Federal Receipts	5	2,141	2,146
Total Receipts	5,220	2,142	7,362
Disbursements:			
Local Assistance Grants	1,887	611	2,498
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,057	1,426	6,483
Total Disbursements	6,944	2,037	8,981
Other Financing Sources (Uses):			
Transfers from Other Funds	3,172	(277)	2,895
Transfers to Other Funds	(1,439)	(4)	(1,443)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	1,733	(281)	1,452
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	9	(176)	(167)
Closing Fund Balance	(333)	(558)	(891)

CASH FINANCIAL PLAN
 CAPITAL PROJECTS FUNDS
 FY 2017
 (millions of dollars)

	State	Federal	Total
Opening Fund Balance	(333)	(558)	(891)
Receipts:			
Taxes	1,383	0	1,383
Miscellaneous Receipts	4,635	2	4,637
Federal Receipts	5	2,603	2,608
Total Receipts	6,023	2,605	8,628
Disbursements:			
Local Assistance Grants	2,754	850	3,604
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,076	1,476	6,552
Total Disbursements	7,830	2,326	10,156
Other Financing Sources (Uses):			
Transfers from Other Funds	3,032	(281)	2,751
Transfers to Other Funds	(1,383)	(9)	(1,392)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	1,649	(290)	1,359
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(158)	(11)	(169)
Closing Fund Balance	(491)	(569)	(1,060)

CASH FINANCIAL PLAN
 CAPITAL PROJECTS FUNDS
 FY 2018
 (millions of dollars)

	State	Federal	Total
Opening Fund Balance	(491)	(569)	(1,060)
Receipts:			
Taxes	1,327	0	1,327
Miscellaneous Receipts	6,941	1	6,942
Federal Receipts	5	2,241	2,246
Total Receipts	8,273	2,242	10,515
Disbursements:			
Local Assistance Grants	4,458	706	5,164
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,804	1,143	7,947
Total Disbursements	11,262	1,849	13,111
Other Financing Sources (Uses):			
Transfers from Other Funds	3,417	(361)	3,056
Transfers to Other Funds	(1,454)	(12)	(1,466)
Bond and Note Proceeds	988	0	988
Net Other Financing Sources (Uses)	2,951	(373)	2,578
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(38)	20	(18)
Closing Fund Balance	(529)	(549)	(1,078)

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(529)	(549)	(1,078)
Receipts:			
Taxes	1,395	0	1,395
Miscellaneous Receipts	7,463	0	7,463
Federal Receipts	5	2,424	2,429
Total Receipts	8,863	2,424	11,287
Disbursements:			
Local Assistance Grants	4,191	706	4,897
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	8,450	1,310	9,760
Total Disbursements	12,641	2,016	14,657
Other Financing Sources (Uses):			
Transfers from Other Funds	4,730	(375)	4,355
Transfers to Other Funds	(1,474)	(11)	(1,485)
Bond and Note Proceeds	478	0	478
Net Other Financing Sources (Uses)	3,734	(386)	3,348
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(44)	22	(22)
Closing Fund Balance	(573)	(527)	(1,100)

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(573)	(527)	(1,100)
Receipts:			
Taxes	1,391	0	1,391
Miscellaneous Receipts	7,278	0	7,278
Federal Receipts	5	2,210	2,215
Total Receipts	8,674	2,210	10,884
Disbursements:			
Local Assistance Grants	3,939	706	4,645
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,983	1,076	9,059
Total Disbursements	11,922	1,782	13,704
Other Financing Sources (Uses):			
Transfers from Other Funds	4,556	(395)	4,161
Transfers to Other Funds	(1,728)	(12)	(1,740)
Bond and Note Proceeds	387	0	387
Net Other Financing Sources (Uses)	3,215	(407)	2,808
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(33)	21	(12)
Closing Fund Balance	(606)	(506)	(1,112)

CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2021
(millions of dollars)

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(606)	(506)	(1,112)
Receipts:			
Taxes	1,391	0	1,391
Miscellaneous Receipts	6,682	0	6,682
Federal Receipts	5	2,166	2,171
Total Receipts	8,078	2,166	10,244
Disbursements:			
Local Assistance Grants	3,418	706	4,124
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,381	1,026	8,407
Total Disbursements	10,799	1,732	12,531
Other Financing Sources (Uses):			
Transfers from Other Funds	4,077	(402)	3,675
Transfers to Other Funds	(1,673)	(11)	(1,684)
Bond and Note Proceeds	301	0	301
Net Other Financing Sources (Uses)	2,705	(413)	2,292
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(16)	21	5
Closing Fund Balance	(622)	(485)	(1,107)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	(891)	(1,060)	(169)	-19.0%
Receipts:				
Taxes	1,383	1,327	(56)	-4.0%
Miscellaneous Receipts	4,637	6,942	2,305	49.7%
Federal Receipts	2,608	2,246	(362)	-13.9%
Total Receipts	8,628	10,515	1,887	21.9%
Disbursements:				
Local Assistance Grants	3,604	5,164	1,560	43.3%
Departmental Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,552	7,947	1,395	21.3%
Total Disbursements	10,156	13,111	2,955	29.1%
Other Financing Sources (Uses):				
Transfers From Other Funds	2,751	3,056	305	11.1%
Transfers to Other Funds	(1,392)	(1,466)	(74)	-5.3%
Bond and Note Proceeds	0	988	988	0.0%
Net Other Financing Sources (Uses)	1,359	2,578	1,219	89.7%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(169)	(18)	151	89.3%
Closing Fund Balance	(1,060)	(1,078)	(18)	-1.7%

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Consumption/Use Taxes	588	633	635	638
Motor Fuel Tax	407	405	401	399
Highway Use Tax	95	140	141	142
Auto Rental Tax	86	88	93	97
Business Taxes	620	643	637	634
Corporation and Utilities Tax	15	14	14	14
Petroleum Business Tax	605	629	623	620
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,327	1,395	1,391	1,391
Miscellaneous Receipts	6,942	7,463	7,278	6,682
Authority Bond Proceeds	5,415	6,393	6,214	5,632
State Park Fees	123	127	129	114
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	786	793	786	786
All Other	541	73	72	73
Federal Receipts	2,246	2,429	2,215	2,171
Total	10,515	11,287	10,884	10,244

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 Results	FY 2018 Enacted	Annual \$ Change	Annual % Change
Consumption/Use Taxes	624	588	(36)	-5.8%
Motor Fuel Tax	410	407	(3)	-0.7%
Highway Use Tax	136	95	(41)	-30.1%
Auto Rental Tax	78	86	8	10.3%
Business Taxes	640	620	(20)	-3.1%
Corporation and Utilities Tax	15	15	0	0.0%
Petroleum Business Tax	625	605	(20)	-3.2%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,383	1,327	(56)	-4.0%
Miscellaneous Receipts	4,637	6,942	2,305	49.7%
Authority Bond Proceeds	3,619	5,415	1,796	49.6%
State Park Fees	125	123	(2)	-1.6%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	787	786	(1)	-0.1%
All Other	29	541	512	1765.5%
Federal Receipts	2,608	2,246	(362)	-13.9%
Total	8,628	10,515	1,887	21.9%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>Enacted</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	7	9	9	5	5
Empire State Development Corporation	<u>1</u>	<u>13</u>	<u>13</u>	<u>13</u>	<u>13</u>
Functional Total	<u>8</u>	<u>22</u>	<u>22</u>	<u>18</u>	<u>18</u>
TRANSPORTATION					
Transportation, Department of	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	83	109	103	103	107
People with Developmental Disabilities, Office for	13	36	37	38	38
Alcoholism and Substance Abuse Services, Office of	<u>4</u>	<u>5</u>	<u>4</u>	<u>4</u>	<u>4</u>
Functional Total	<u>100</u>	<u>150</u>	<u>144</u>	<u>145</u>	<u>149</u>
EDUCATION					
Education School Aid	<u>15</u>	<u>20</u>	<u>20</u>	<u>20</u>	<u>10</u>
Functional Total	<u>15</u>	<u>20</u>	<u>20</u>	<u>20</u>	<u>10</u>
HIGHER EDUCATION					
City University of New York	359	385	395	395	394
State University of New York	<u>83</u>	<u>90</u>	<u>90</u>	<u>90</u>	<u>90</u>
Functional Total	<u>442</u>	<u>475</u>	<u>485</u>	<u>485</u>	<u>484</u>
ALL OTHER					
Judiciary	<u>16</u>	<u>16</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>16</u>	<u>16</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u><u>581</u></u>	<u><u>683</u></u>	<u><u>671</u></u>	<u><u>668</u></u>	<u><u>661</u></u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2018 THROUGH FY 2021
(millions of dollars)**

	FY 2018 <u>Enacted</u>	FY 2019 <u>Projected</u>	FY 2020 <u>Projected</u>	FY 2021 <u>Projected</u>
Personal Income Tax	12,346	12,968	13,480	13,867
Consumption/Use Taxes	6,821	7,101	7,377	7,661
Sales and Use Tax	6,821	7,101	7,377	7,661
Other Taxes	1,085	1,139	1,189	1,241
Real Estate Transfer Tax	1,085	1,139	1,189	1,241
Total Taxes	20,252	21,208	22,046	22,769
Miscellaneous Receipts	459	458	459	452
Mental Hygiene Patient Receipts	310	311	311	304
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	144	144	144	144
All Other	5	3	4	4
Federal Receipts	73	73	73	73
Total	20,784	21,739	22,578	23,294

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2017 and FY 2018
(millions of dollars)**

	FY 2017 <u>Results</u>	FY 2018 <u>Enacted</u>	Annual <u>\$ Change</u>	Annual <u>% Change</u>
Personal Income Tax	11,891	12,346	455	3.8%
Consumption/Use Taxes	6,483	6,821	338	5.2%
Sales and Use Tax	6,483	6,821	338	5.2%
Other Taxes	1,007	1,085	78	7.7%
Real Estate Transfer Tax	1,007	1,085	78	7.7%
Total Taxes	19,381	20,252	871	4.5%
Miscellaneous Receipts	458	459	1	0.2%
Mental Hygiene Patient Receipts	329	310	(19)	-5.8%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	123	144	21	17.1%
All Other	6	5	(1)	-16.7%
Federal Receipts	73	73	0	0.0%
Total	19,912	20,784	872	4.4%

CASH FINANCIAL PLAN
STATE FUNDS
FY 2016
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Opening Fund Balance	7,300	2,472	(342)	118	9,548
Receipts:					
Taxes	45,963	8,266	1,394	19,050	74,673
Miscellaneous Receipts	5,842	16,926	3,821	487	27,076
Federal Receipts	0	0	5	73	78
Total Receipts	51,805	25,192	5,220	19,610	101,827
Disbursements:					
Local Assistance Grants	43,314	19,339	1,887	0	64,540
Departmental Operations:					
Personal Service	6,011	6,970	0	0	12,981
Non-Personal Service	1,944	3,621	0	37	5,602
General State Charges	5,397	2,055	0	0	7,452
Debt Service	0	0	0	5,598	5,598
Capital Projects	0	2	5,057	0	5,059
Total Disbursements	56,666	31,987	6,944	5,635	101,232
Other Financing Sources (Uses):					
Transfers from Other Funds	17,871	8,631	3,172	4,007	33,681
Transfers to Other Funds	(11,376)	(761)	(1,439)	(17,940)	(31,516)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	6,495	7,870	1,733	(13,933)	2,165
Excess (Deficiency) of Receipts and	1,634	1,075	9	42	2,760
Closing Fund Balance	8,934	3,547	(333)	160	12,308

CASH FINANCIAL PLAN
STATE FUNDS
FY 2017
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	<u>8,934</u>	<u>3,547</u>	<u>(333)</u>	<u>160</u>	<u>12,308</u>
Receipts:					
Taxes	45,507	8,101	1,383	19,381	74,372
Miscellaneous Receipts	3,813	17,487	4,635	458	26,393
Federal Receipts	0	(1)	5	73	77
Total Receipts	<u>49,320</u>	<u>25,587</u>	<u>6,023</u>	<u>19,912</u>	<u>100,842</u>
Disbursements:					
Local Assistance Grants	44,439	19,930	2,754	0	67,123
Departmental Operations:					
Personal Service	6,065	7,028	0	0	13,093
Non-Personal Service	2,022	3,527	0	38	5,587
General State Charges	5,462	2,172	0	0	7,634
Debt Service	0	0	0	5,514	5,514
Capital Projects	0	2	5,076	0	5,078
Total Disbursements	<u>57,988</u>	<u>32,659</u>	<u>7,830</u>	<u>5,552</u>	<u>104,029</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,575	7,733	3,032	3,609	31,949
Transfers to Other Funds	(10,092)	(476)	(1,383)	(17,985)	(29,936)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,483</u>	<u>7,257</u>	<u>1,649</u>	<u>(14,376)</u>	<u>2,013</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(1,185)</u>	<u>185</u>	<u>(158)</u>	<u>(16)</u>	<u>(1,174)</u>
Closing Fund Balance	<u><u>7,749</u></u>	<u><u>3,732</u></u>	<u><u>(491)</u></u>	<u><u>144</u></u>	<u><u>11,134</u></u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,749	3,732	(491)	144	11,134
Receipts:					
Taxes	48,634	7,713	1,327	20,252	77,926
Miscellaneous Receipts	2,152	16,744	6,941	459	26,296
Federal Receipts	0	1	5	73	79
Total Receipts	50,786	24,458	8,273	20,784	104,301
Disbursements:					
Local Assistance Grants	47,069	18,989	4,458	0	70,516
Departmental Operations:					
Personal Service	5,950	6,960	0	0	12,910
Non-Personal Service	2,227	3,518	0	37	5,782
General State Charges	5,789	2,274	0	0	8,063
Debt Service	0	0	0	5,319	5,319
Capital Projects	0	2	6,804	0	6,806
Total Disbursements	61,035	31,743	11,262	5,356	109,396
Other Financing Sources (Uses):					
Transfers from Other Funds	19,048	7,848	3,417	3,765	34,078
Transfers to Other Funds	(10,164)	(954)	(1,454)	(19,190)	(31,762)
Bond and Note Proceeds	0	0	988	0	988
Net Other Financing Sources (Uses)	8,884	6,894	2,951	(15,425)	3,304
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,365)	(391)	(38)	3	(1,791)
Closing Fund Balance	6,384	3,341	(529)	147	9,343

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	50,934	7,756	1,395	21,208	81,293
Miscellaneous Receipts	2,128	16,319	7,463	458	26,368
Federal Receipts	0	1	5	73	79
Total Receipts	<u>53,062</u>	<u>24,076</u>	<u>8,863</u>	<u>21,739</u>	<u>107,740</u>
Disbursements:					
Local Assistance Grants	50,112	18,878	4,191	0	73,181
Departmental Operations:					
Personal Service	6,237	6,942	0	0	13,179
Non-Personal Service	2,513	3,433	0	50	5,996
General State Charges	6,328	2,335	0	0	8,663
Debt Service	0	0	0	6,499	6,499
Capital Projects	0	0	8,450	0	8,450
Total Disbursements	<u>65,190</u>	<u>31,588</u>	<u>12,641</u>	<u>6,549</u>	<u>115,968</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,608	8,091	4,730	3,959	35,388
Transfers to Other Funds	(12,163)	(342)	(1,474)	(19,146)	(33,125)
Bond and Note Proceeds	0	0	478	0	478
Net Other Financing Sources (Uses)	<u>6,445</u>	<u>7,749</u>	<u>3,734</u>	<u>(15,187)</u>	<u>2,741</u>
Use (Reservation) of Fund Balance:					
Monetary Settlements	1,662				
Total Use (Reservation) of Fund Balance	<u>1,662</u>				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	<u>(4,021)</u>				
Adherence to 2% Spending Benchmark	<u>3,230</u>				
Net General Fund Surplus (Deficit)	<u>(791)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>State Capital</u> <u>Projects</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Funds</u> <u>Total</u>
Receipts:					
Taxes	53,284	7,812	1,391	22,046	84,533
Miscellaneous Receipts	2,135	16,217	7,278	459	26,089
Federal Receipts	0	1	5	73	79
Total Receipts	<u>55,419</u>	<u>24,030</u>	<u>8,674</u>	<u>22,578</u>	<u>110,701</u>
Disbursements:					
Local Assistance Grants	53,101	18,940	3,939	0	75,980
Departmental Operations:					
Personal Service	6,424	7,030	0	0	13,454
Non-Personal Service	2,661	3,412	0	50	6,123
General State Charges	6,792	2,410	0	0	9,202
Debt Service	0	0	0	7,134	7,134
Capital Projects	0	0	7,983	0	7,983
Total Disbursements	<u>68,978</u>	<u>31,792</u>	<u>11,922</u>	<u>7,184</u>	<u>119,876</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,918	8,339	4,556	3,923	35,736
Transfers to Other Funds	(12,299)	(338)	(1,728)	(19,312)	(33,677)
Bond and Note Proceeds	0	0	387	0	387
Net Other Financing Sources (Uses)	<u>6,619</u>	<u>8,001</u>	<u>3,215</u>	<u>(15,389)</u>	<u>2,446</u>
Use (Reservation) of Fund Balance:					
Monetary Settlements	<u>1,087</u>				
Total Use (Reservation) of Fund Balance	<u>1,087</u>				
Excess (Deficiency) of Receipts and Use (Reservation)	(5,853)				
Adherence to 2% Spending Benchmark	5,855				
Net General Fund Surplus (Deficit)	<u><u>2</u></u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2021
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Receipts:					
Taxes	55,186	7,858	1,391	22,769	87,204
Miscellaneous Receipts	2,058	15,991	6,682	452	25,183
Federal Receipts	0	1	5	73	79
Total Receipts	57,244	23,850	8,078	23,294	112,466
Disbursements:					
Local Assistance Grants	55,745	18,997	3,418	0	78,160
Departmental Operations:					
Personal Service	6,796	7,129	0	0	13,925
Non-Personal Service	2,718	3,426	0	50	6,194
General State Charges	7,357	2,476	0	0	9,833
Debt Service	0	0	0	7,402	7,402
Capital Projects	0	0	7,381	0	7,381
Total Disbursements	72,616	32,028	10,799	7,452	122,895
Other Financing Sources (Uses):					
Transfers from Other Funds	19,363	8,529	4,077	3,854	35,823
Transfers to Other Funds	(12,156)	(241)	(1,673)	(19,691)	(33,761)
Bond and Note Proceeds	0	0	301	0	301
Net Other Financing Sources (Uses)	7,207	8,288	2,705	(15,837)	2,363
Use (Reservation) of Fund Balance:					
Monetary Settlements	653				
Total Use (Reservation) of Fund Balance	653				
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements (Before 2% Adherence)	(7,512)				
Adherence to 2% Spending Benchmark	7,955				
Net General Fund Surplus (Deficit)	443				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>Enacted</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	12,308	11,134	(1,174)	-9.5%
Receipts:				
Taxes	74,372	77,926	3,554	4.8%
Miscellaneous Receipts	26,393	26,296	(97)	-0.4%
Federal Receipts	77	79	2	2.6%
Total Receipts	100,842	104,301	3,459	3.4%
Disbursements:				
Local Assistance Grants	67,123	70,516	3,393	5.1%
Departmental Operations:				
Personal Service	13,093	12,910	(183)	-1.4%
Non-Personal Service	5,587	5,782	195	3.5%
General State Charges	7,634	8,063	429	5.6%
Debt Service	5,514	5,319	(195)	-3.5%
Capital Projects	5,078	6,806	1,728	34.0%
Total Disbursements	104,029	109,396	5,367	5.2%
Other Financing Sources (Uses):				
Transfers from Other Funds	31,949	34,078	2,129	6.7%
Transfers to Other Funds	(29,936)	(31,762)	(1,826)	-6.1%
Bond and Note Proceeds	0	988	988	0.0%
Net Other Financing Sources (Uses)	2,013	3,304	1,291	64.1%
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(1,174)	(1,791)	(617)	-52.6%
Closing Fund Balance	11,134	9,343	(1,791)	-16.1%

**CASHFLOW
GENERAL FUND
FY 2016
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
OPENING BALANCE	7,900	10,344	9,591	11,064	9,625	9,489	10,717	11,388	10,312	12,741	13,779	14,341	7,900
RECEIPTS:													
Personal Income Tax	5,086	1,741	3,171	2,041	1,909	3,653	1,838	1,730	3,622	2,862	2,248	2,106	31,957
Consumption/Use Taxes	507	512	693	560	532	699	488	534	645	552	450	647	6,819
Business Taxes	203	(33)	1,106	133	205	1,094	81	12	1,084	(122)	81	1,874	5,647
Other Taxes	150	151	119	209	165	138	144	104	75	108	95	82	1,540
Total Taxes	5,896	2,371	5,089	2,943	2,811	5,584	2,551	2,380	5,426	3,400	2,803	4,709	45,963
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	527
ABC License Fee	7	6	7	6	6	7	6	4	5	4	5	3	66
Investment Income	0	0	0	1	1	1	1	1	1	0	3	3	13
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	83	630
Motor Vehicle Fees	36	0	28	15	(3)	20	21	8	22	(6)	23	30	194
Reimbursements	6	7	36	9	26	29	4	8	50	4	27	27	232
Other Transactions	89	2,387	411	203	15	255	412	514	72	15	12	345	4,180
Total Miscellaneous Receipts	178	2,445	188	100	120	382	497	683	256	105	123	765	5,842
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,679	539	1,201	524	261	1,462	563	430	1,249	565	534	1,132	10,159
Tax in Excess of LGAC	238	33	319	249	195	319	219	241	298	254	3	160	2,728
Sales Tax Bond Fund	224	216	303	232	149	300	176	216	258	215	168	302	2,759
Real Estate Taxes in Excess of CW/CA Debt Service	74	57	76	76	81	95	69	63	78	95	73	75	972
All Other	15	298	4	21	2	30	8	1	41	5	206	508	1,253
Total Transfers from Other Funds	2,230	1,183	2,103	1,102	688	2,266	1,055	951	1,924	1,388	984	2,197	17,871
TOTAL RECEIPTS	8,304	5,999	7,380	4,145	3,619	8,232	4,103	4,014	7,606	4,693	3,910	7,671	69,676
DISBURSEMENTS:													
School Aid	535	2,703	1,975	67	562	2,604	111	1,663	1,620	429	488	7,376	20,133
Higher Education	18	20	646	248	123	186	345	53	66	151	333	750	2,939
All Other Education	18	98	508	85	108	381	34	31	187	22	221	382	2,075
Medicaid - DOH	1,231	1,606	495	889	1,273	1,123	825	986	1,316	903	1,123	366	12,136
Public Health	11	187	57	69	26	48	41	43	73	42	46	71	714
Mental Hygiene	3	2	278	3	315	315	1	1	303	15	49	202	1,174
Children and Families	39	39	96	303	30	323	81	72	274	70	187	219	1,733
Temporary & Disability Assistance	93	98	158	97	95	105	93	89	93	92	101	99	1,213
Transportation	0	24	0	0	35	0	0	22	15	15	14	0	111
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	771
All Other	2	38	189	31	(96)	45	36	28	(47)	31	89	(31)	315
Total Local Assistance Grants	1,951	4,827	4,791	1,793	2,158	5,239	1,567	2,988	4,092	1,756	2,651	9,501	43,314
Personal Service	554	443	457	638	471	489	543	441	629	447	419	480	6,011
Non-Personal Service	68	125	141	173	166	211	81	185	138	160	218	278	1,944
Total Departmental Operations	622	568	598	811	637	700	624	626	767	607	637	758	7,955
General State Charges	612	504	364	1,816	(50)	473	473	406	3	492	(138)	442	5,397
Debt Service	302	(9)	(22)	167	(12)	(70)	145	(3)	(2)	347	(25)	378	1,196
Capital Projects	73	148	(196)	200	326	353	326	336	8	281	23	1,015	2,721
State Share Medicaid	992	0	65	171	40	233	103	436	136	128	42	77	2,036
SUNY Operations	210	210	211	191	0	0	176	0	0	0	0	0	998
Other Purposes	498	504	96	435	656	76	497	512	173	44	27	907	4,425
Total Transfers to Other Funds	2,075	853	154	1,164	1,010	592	768	1,070	315	800	198	2,377	11,376
TOTAL DISBURSEMENTS	5,260	6,752	5,907	5,584	3,755	7,004	3,432	5,090	5,177	3,655	3,348	13,078	68,042
Excess/(Deficiency) of Receipts over Disbursements	3,044	(753)	1,473	(1,439)	(136)	1,228	671	(1,076)	2,429	1,038	562	(5,407)	1,634
CLOSING BALANCE	10,344	9,591	11,064	9,625	9,489	10,717	11,388	10,312	12,741	13,779	14,341	8,934	8,934

CASHFLOW
STATE OPERATING FUNDS
FY 2016
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	9,890	14,307	14,119	15,152	14,616	15,047	13,857	15,562	14,816	16,342	19,241	19,558		9,890
RECEIPTS:														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0	47,056
Consumption/Use Taxes	1,191	1,124	1,528	1,241	1,169	1,546	1,090	1,163	1,420	1,229	993	1,396	0	15,090
Business Taxes	263	11	1,348	204	286	1,296	152	87	1,315	(40)	63	2,259	0	7,244
Other Taxes	355	337	297	337	337	339	326	268	270	356	320	285	0	3,889
Total Taxes	8,542	3,791	7,976	4,553	4,337	8,305	4,019	3,859	8,001	8,766	4,373	6,757	0	73,279
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	0	527
ABC License Fee	7	6	7	6	6	7	6	4	5	4	5	3	0	66
HCR	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
Investment Income	0	0	1	1	1	1	1	1	1	0	3	3	0	13
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	83	0	630
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0	833
Motor Vehicle Fees	70	45	69	60	32	54	49	38	65	24	53	66	0	625
Reimbursements	6	7	36	9	26	29	3	8	50	4	27	27	0	232
State University Income	311	243	256	347	288	600	448	294	266	465	631	412	0	4,561
Other Transactions	(543)	3,033	412	275	319	620	640	667	599	213	284	1,050	0	7,569
Total Miscellaneous Receipts	609	4,092	1,631	1,563	1,438	2,142	1,905	1,879	1,839	1,586	1,772	2,799	0	23,255
Federal Receipts	0	0	0	2	35	0	0	1	0	0	37	(2)	0	73
TOTAL RECEIPTS	9,151	7,883	9,607	6,118	5,810	10,447	5,924	5,739	9,840	10,352	6,182	9,554	0	96,607
DISBURSEMENTS:														
School Aid	535	2,703	2,283	67	562	4,752	111	1,805	1,762	571	631	7,520	0	23,302
Higher Education	18	20	646	248	123	186	345	53	66	151	333	766	0	2,955
All Other Education	18	99	508	85	109	383	35	32	189	23	221	383	0	2,085
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	1,641	1,917	927	1,454	1,650	1,625	1,338	1,405	1,742	1,367	1,349	1,038	0	17,453
Public Health	35	226	136	228	183	106	60	99	148	158	71	198	0	1,648
Mental Hygiene	65	33	472	134	70	631	25	(4)	582	84	64	489	0	2,645
Children and Families	39	40	96	303	30	323	81	73	274	70	188	218	0	1,735
Temporary & Disability Assistance	93	98	158	97	105	105	93	89	93	92	101	99	0	1,213
Transportation	125	494	452	313	468	401	352	584	867	187	249	253	0	4,745
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	26	70	207	60	(72)	132	40	71	(22)	91	163	0	0	766
Total Local Assistance Grants	2,596	5,713	6,705	2,990	3,218	8,943	2,480	4,232	6,018	5,348	3,370	11,040	0	62,653
Personal Service	1,487	977	978	1,316	988	1,017	1,161	972	1,343	971	967	1,104	0	12,981
Non-Personal Service	291	375	499	412	517	549	491	491	509	470	555	707	0	5,602
Total Departmental Operations	1,478	1,352	1,477	1,728	1,505	1,566	1,388	1,463	1,852	1,441	1,523	1,811	0	18,583
General State Charges	650	699	552	1,856	363	559	484	455	455	526	323	530	0	7,452
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0	5,598
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
TOTAL DISBURSEMENTS	4,890	8,019	8,898	6,658	5,360	11,801	4,370	6,252	8,641	7,349	5,774	16,276	0	94,288
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,552	2,119	2,714	2,426	1,823	3,106	2,107	1,892	2,626	2,018	1,267	4,394	(535)	30,509
Transfers to other funds	(4,396)	(2,171)	(2,390)	(2,422)	(1,842)	(2,942)	(1,956)	(2,125)	(2,999)	(2,122)	(1,358)	(4,589)	535	(30,077)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	156	(52)	324	4	(19)	164	151	(233)	327	(104)	(91)	(195)	0	432
Excess/(Deficiency) of Receipts over Disbursements	4,417	(188)	1,033	(536)	431	(1,190)	1,705	(746)	1,526	2,899	317	(6,917)	0	2,751
CLOSING BALANCE	14,307	14,119	15,152	14,616	15,047	13,857	15,562	14,816	16,342	19,241	19,558	12,641	0	12,641

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2016**
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	9,355	12,664	13,192	14,764	13,782	14,367	12,328	14,556	14,096	16,219	18,620	18,275		9,355
RECEIPTS:														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0	47,056
Consumption/Use Taxes	1,240	1,167	1,592	1,288	1,217	1,616	1,139	1,214	1,488	1,475	1,035	1,455	0	15,726
Business Taxes	314	61	1,402	258	344	1,351	206	138	1,368	16	110	2,315	0	7,883
Other Taxes	369	335	308	399	349	351	338	280	282	368	332	297	0	4,008
Total Taxes	8,642	3,884	8,105	4,666	4,455	8,442	4,134	3,973	8,134	8,880	4,474	6,884	0	74,673
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	0	527
ABC License Fee	7	6	7	6	6	7	6	4	5	4	5	3	0	66
HCRA	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
Investment Income	0	0	1	1	1	1	1	1	1	0	0	3	0	13
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	83	0	630
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0	833
Motor Vehicle Fees	70	45	69	60	32	54	49	38	65	24	53	66	0	625
Reimbursements	6	7	36	9	26	29	49	8	50	4	27	27	0	232
State University Income	311	243	256	347	288	600	448	294	1,379	465	631	412	0	4,561
Other Transactions	(445)	3,216	1,367	426	437	924	1,034	793	1,379	308	364	1,779	0	11,382
Total Miscellaneous Receipts	707	4,275	2,586	1,714	1,556	2,446	2,299	2,005	2,619	1,881	1,852	3,528	0	27,268
Federal Receipts	1,728	4,649	4,628	3,879	4,470	4,371	3,333	4,763	5,411	4,193	3,887	6,012	0	51,324
TOTAL RECEIPTS	11,077	12,808	15,319	10,259	10,481	15,259	9,766	10,741	16,164	14,754	10,213	16,424	0	153,265
DISBURSEMENTS:														
School Aid	700	2,937	2,430	193	636	4,898	205	2,350	2,019	662	806	7,665	0	25,501
Higher Education	18	20	646	248	123	186	345	53	66	164	333	767	0	2,969
All Other Education	177	309	593	186	179	505	143	203	242	62	449	510	0	3,558
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	3,201	4,480	4,128	4,323	4,083	4,556	3,317	4,085	4,553	5,153	4,021	4,725	0	50,625
Public Health	138	332	261	350	489	223	135	275	294	265	157	637	0	3,556
Mental Hygiene	90	57	486	163	91	663	35	13	603	104	78	522	0	2,905
Children and Families	58	157	132	391	192	473	132	98	358	104	326	235	0	2,632
Temporary & Disability Assistance	295	290	573	266	709	488	292	292	561	296	378	554	0	4,960
Transportation	157	527	528	346	484	602	368	639	1,136	204	288	402	0	5,681
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	225	256	341	330	67	402	229	463	338	172	487	511	0	3,821
Total Local Assistance Grants	5,060	9,778	10,938	6,797	7,053	13,295	5,167	8,496	10,487	9,716	7,323	16,604	0	110,314
Personal Service	1,238	1,023	1,025	1,380	1,032	1,060	1,208	1,018	1,408	1,016	1,017	1,172	0	13,597
Non-Personal Service	348	452	610	484	614	773	267	565	621	565	752	924	0	6,975
Total Departmental Operations	1,586	1,475	1,635	1,864	1,646	1,833	1,475	1,583	2,029	1,581	1,769	2,096	0	20,572
General State Charges	663	743	559	1,862	410	574	485	475	503	592	365	568	0	7,739
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0	5,598
Capital Projects	289	426	451	569	536	857	293	644	695	479	532	714	0	6,485
TOTAL DISBURSEMENTS	7,764	12,277	13,747	11,176	9,919	17,292	7,438	11,300	14,030	12,342	10,548	22,875	0	150,708
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,629	2,267	2,552	2,634	2,149	3,465	2,135	2,228	2,650	2,307	1,456	5,506	(535)	33,443
Transfers to other funds	(4,633)	(2,270)	(2,552)	(2,699)	(2,126)	(3,471)	(2,135)	(2,235)	(2,655)	(2,318)	(1,466)	(5,520)	535	(33,545)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(4)	(3)	0	(65)	23	(6)	0	(7)	(5)	(11)	(10)	(14)	0	(102)
Excess/(Deficiency) of Receipts over Disbursements	3,309	528	1,572	(982)	585	(2,039)	2,328	(566)	2,129	2,401	(345)	(6,465)	0	2,455
CLOSING BALANCE	12,664	13,192	14,764	13,782	14,367	12,328	14,656	14,990	16,219	18,620	18,275	11,810	0	1,1810

**CASHFLOW
GENERAL FUND
FY 2017
(dollars in millions)**

	2016	2017							Total				
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results		December Results	January Results	February Results	March Results
OPENING BALANCE	8,934	10,893	7,751	7,210	6,765	6,229	9,566	8,923	7,532	9,054	11,085	12,026	8,934
RECEIPTS:													
Personal Income Tax	4,787	1,639	3,123	1,921	2,205	3,420	1,895	1,560	3,457	3,452	2,620	2,456	32,535
Consumption/Use Taxes	547	523	711	569	537	713	549	552	687	594	474	645	7,101
Business Taxes	158	84	871	71	42	1,079	409	(116)	1,045	(8)	(301)	1,427	4,761
Other Taxes	75	108	79	74	125	88	100	94	158	64	59	86	1,110
Total Taxes	5,567	2,354	4,784	2,635	2,909	5,300	2,953	2,090	5,347	4,102	2,852	4,614	45,507
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	438
ABC License Fee	6	5	4	4	5	5	5	4	4	4	6	7	61
Investment Income	2	2	2	1	1	1	1	2	2	1	1	3	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	644
Motor Vehicle Fees	17	16	26	(4)	30	6	(50)	69	17	8	10	29	174
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	246
Other Transactions	16	404	54	29	216	149	56	304	345	52	453	148	2,226
Total Miscellaneous Receipts	69	513	176	87	321	281	97	619	509	139	521	481	3,813
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,594	531	1,181	469	270	1,430	633	246	1,187	582	602	1,550	10,275
Tax in Excess of LGAC	247	111	453	254	203	327	250	248	317	268	4	188	2,870
Sales Tax Bond Fund	196	184	274	201	189	295	191	205	260	211	162	304	2,672
Real Estate Taxes in Excess of CW/CA Debt Service	74	74	91	96	85	83	79	77	78	78	75	57	940
All Other	5	39	23	6	0	44	21	43	4	87	50	496	818
Total Transfers from Other Funds	2,116	939	2,022	1,026	747	2,179	1,174	819	1,839	1,226	893	2,595	17,575
TOTAL RECEIPTS	7,752	3,806	6,982	3,748	3,977	7,760	4,224	3,528	7,695	5,467	4,266	7,690	66,895
DISBURSEMENTS:													
School Aid	754	2,900	1,898	48	645	1,620	911	1,421	1,782	585	625	7,828	21,017
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	2,874
All Other Education	52	118	514	146	238	68	113	195	79	33	212	418	2,186
Medicaid - DOH	998	1,267	1,441	1,087	1,288	1,216	1,020	1,367	1,223	822	405	313	12,447
Public Health	20	207	52	57	39	36	41	65	41	96	34	42	730
Mental Hygiene	3	1	202	1	4	199	2	242	2	(1)	139	83	887
Children and Families	27	33	271	194	69	88	65	96	315	120	178	256	1,712
Temporary & Disability Assistance	95	94	156	93	97	110	96	93	91	94	95	106	1,220
Transportation	0	23	11	0	24	0	0	24	10	0	14	0	106
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	760
All Other	9	19	93	31	46	53	58	30	36	(64)	125	64	500
Total Local Assistance Grants	1,977	4,698	5,675	1,884	2,574	3,654	2,681	3,336	4,151	1,736	1,934	10,139	44,439
Personal Service	475	488	609	476	490	578	462	621	484	430	451	501	6,065
Non-Personal Service	103	135	162	132	186	171	221	205	130	152	163	262	2,022
Total Departmental Operations	578	623	771	608	676	749	683	826	614	582	614	763	8,087
General State Charges	2,440	193	391	404	365	0	500	(20)	402	350	220	217	5,462
Debt Service	245	(2)	(3)	167	(18)	(80)	104	(2)	(2)	344	(15)	186	924
Capital Projects	162	179	107	344	342	(8)	281	287	443	481	(292)	(2569)	2,569
State Share Medicaid	95	105	162	127	89	61	80	178	94	50	129	69	1,239
SUNY Operations	213	213	212	179	0	0	0	179	0	0	0	0	996
Other Purposes	83	939	208	480	485	47	538	135	471	131	(38)	885	4,364
Total Transfers to Other Funds	798	1,434	686	1,297	898	20	1,003	777	1,006	768	557	848	10,092
TOTAL DISBURSEMENTS	5,793	6,948	7,523	4,193	4,513	4,423	4,867	4,919	6,173	3,436	3,325	11,967	68,080
Excess/(Deficiency) of Receipts over Disbursements	1,959	(3,142)	(541)	(445)	(536)	3,337	(643)	(1,391)	1,522	2,031	941	(4,277)	(1,185)
CLOSING BALANCE	10,893	7,751	7,210	6,765	6,229	9,566	8,923	7,532	9,054	11,085	12,026	7,749	7,749

CASHFLOW
STATE OPERATING FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,641	15,345	13,151	12,549	13,152	13,391	13,902	13,932	11,976	13,325	17,202	18,041		12,641
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0	47,565
Consumption/Use Taxes	1,230	1,143	1,566	1,250	1,189	1,550	1,219	1,213	1,504	1,301	1,042	1,381	0	15,588
Business Taxes	231	139	1,096	139	112	1,326	543	(69)	1,315	80	(273)	1,700	0	6,339
Other Taxes	8,127	3,744	7,656	4,212	4,564	7,862	4,593	3,511	7,912	9,303	284	279	0	32,977
Total Taxes														72,989
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	0	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	0	61
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
Investment Income	2	2	2	1	1	1	1	2	1	1	3	7	0	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	0	644
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medicaid	77	72	72	71	76	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	0	575
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	0	246
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Other Transactions	279	660	423	322	496	608	253	501	794	335	651	606	0	5,928
Total Miscellaneous Receipts	1,358	1,857	1,677	1,439	1,863	2,191	1,485	1,879	2,074	1,538	2,250	2,147	0	21,758
Federal Receipts	15	1	0	1	20	1	3	(3)	(2)	2	35	(1)	0	72
TOTAL RECEIPTS	9,500	5,602	9,333	5,652	6,447	10,054	6,081	5,387	9,984	10,843	6,831	9,105	0	94,819
DISBURSEMENTS:														
School Aid	754	2,900	2,203	48	645	3,771	1,056	1,565	1,926	729	769	7,985	0	24,351
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	0	2,874
All Other Education	52	118	514	146	240	70	114	195	80	107	212	419	0	2,193
STAR	1	0	416	0	0	108	1	19	19	2,243	0	244	0	3,139
Medicaid - DOH	1,335	1,765	1,870	1,729	1,766	1,623	1,455	2,011	1,653	1,315	980	741	0	18,243
Public Health	82	235	188	113	90	95	94	103	87	166	61	201	0	1,515
Mental Hygiene	90	40	439	107	49	447	112	35	519	91	195	335	0	2,459
Children and Families	27	34	271	194	69	88	65	97	315	120	179	256	0	1,715
Temporary & Disability Assistance	95	94	156	93	97	110	96	93	91	94	95	106	0	1,220
Transportation	192	481	524	308	490	429	376	622	882	206	298	169	0	4,977
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	0	760
All Other	31	57	134	57	95	76	8	90	63	(35)	151	136	0	923
Total Local Assistance Grants	2,678	5,766	7,752	3,022	3,665	7,081	3,802	4,873	6,055	5,013	3,047	11,621	0	64,369
Personal Service	1,026	1,016	1,314	1,004	1,036	1,211	994	1,335	1,025	994	994	1,144	0	13,093
Non-Personal Service	318	429	486	365	515	477	503	495	447	425	474	653	0	5,587
Total Departmental Operations	1,344	1,445	1,800	1,369	1,551	1,688	1,497	1,830	1,472	1,419	1,468	1,797	0	18,680
General State Charges	2,619	431	495	430	433	417	523	472	508	438	438	430	0	7,634
Debt Service	113	163	90	25	282	790	74	74	364	26	660	2,894	0	5,514
Capital Projects	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
TOTAL DISBURSEMENTS	6,754	7,799	10,137	4,847	5,931	9,977	5,854	7,250	8,400	6,896	5,613	16,741	0	96,199
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,034	2,443	2,969	2,323	1,506	2,729	2,038	1,598	2,690	2,003	1,248	4,709	(373)	28,917
Transfers to other funds	(3,076)	(2,440)	(2,767)	(2,525)	(1,783)	(2,295)	(2,335)	(1,691)	(2,925)	(2,073)	(1,627)	(3,489)	373	(28,553)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(42)	3	202	(202)	(277)	434	(197)	(93)	(235)	(70)	(379)	1,220	0	364
Excess/(Deficiency) of Receipts over Disbursements	2,704	(2,194)	(602)	603	239	511	30	(1,956)	1,349	3,877	839	(6,416)	0	(1,016)
CLOSING BALANCE	15,345	13,151	12,549	13,152	13,391	13,902	13,932	11,976	13,325	17,202	18,041	11,625	0	11,625

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,810	14,230	12,089	12,220	12,500	12,187	13,427	13,271	10,457	12,970	16,730	16,886		11,810
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0	47,565
Consumption/Use Taxes	1,774	1,183	1,632	1,299	1,241	1,620	1,266	1,261	1,571	1,351	1,089	1,425	0	16,212
Business Taxes	280	182	1,150	206	164	1,382	598	(17)	1,374	134	(226)	1,752	0	6,979
Other Taxes	282	277	282	273	334	295	310	283	354	339	296	291	0	3,616
Total Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,707	3,621	8,051	9,419	4,652	7,067	0	74,372
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	0	438
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	0	61
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
Investment Income	2	2	2	1	1	1	1	2	1	1	3	7	0	24
Licenses, Fees, etc.	22	70	54	49	62	33	52	65	65	69	28	87	0	644
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medical	77	72	76	71	70	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	0	575
Reimbursements	6	16	36	8	7	49	4	28	28	3	21	40	0	246
State University Income	283	265	249	237	439	278	424	273	273	399	757	390	0	4,689
Other Transactions	377	903	1,094	425	599	1,275	593	593	930	568	748	2,659	0	10,764
Total Miscellaneous Receipts	1,456	2,100	2,348	1,542	1,966	2,858	1,825	1,971	2,210	1,771	2,342	4,200	0	26,594
Federal Receipts	3,276	4,197	5,209	3,646	4,205	5,382	3,562	4,207	5,992	4,075	3,129	8,526	0	55,406
TOTAL RECEIPTS	12,952	10,124	15,345	9,528	10,850	16,241	10,094	9,799	16,253	15,265	10,128	19,793	0	156,372
DISBURSEMENTS:														
School Aid	955	3,083	2,431	174	751	3,859	1,334	1,698	2,088	877	1,470	8,531	0	27,251
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	0	2,874
All Other Education	119	218	583	195	331	111	187	235	105	74	262	467	0	2,887
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	3,835	4,615	5,070	4,636	5,024	3,789	4,064	5,643	4,725	4,498	2,940	6,709	0	55,548
Public Health	220	381	309	243	216	432	212	209	328	323	178	430	0	3,481
Mental Hygiene	120	58	457	128	63	472	136	53	535	116	217	366	0	2,721
Children and Families	87	136	324	295	237	326	138	112	348	125	187	262	0	2,577
Temporary & Disability Assistance	269	274	417	249	257	843	281	326	435	277	342	423	0	4,393
Transportation	225	524	608	385	574	663	449	661	1,166	253	504	568	0	6,580
Unrestricted Aid	0	11	389	9	0	98	8	1	181	0	0	63	0	760
All Other	181	238	327	300	499	288	288	379	513	116	472	525	0	4,126
Total Local Assistance Grants	6,031	9,563	11,979	6,832	8,076	11,155	7,455	9,378	10,682	8,953	6,679	19,554	0	116,337
Personal Service	1,075	1,060	1,386	1,045	1,095	1,258	1,039	1,405	1,074	1,038	1,043	1,206	0	13,724
Non-Personal Service	363	523	603	444	667	656	605	606	606	564	642	754	0	6,958
Total Departmental Operations	1,438	1,583	1,989	1,489	1,762	1,914	1,644	2,011	1,680	1,602	1,685	1,960	0	20,682
General State Charges	2,630	466	509	430	467	454	527	502	548	462	458	474	0	7,927
Debt Service	113	163	90	25	282	790	33	364	26	26	660	2,894	0	5,514
Capital Projects	313	486	647	471	561	691	586	646	540	465	485	663	0	6,554
TOTAL DISBURSEMENTS	10,525	12,261	15,214	9,247	11,148	15,004	10,245	12,611	13,739	11,508	9,967	25,545	0	157,014
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,195	2,627	3,108	2,686	1,859	2,729	2,325	1,898	3,145	2,259	1,749	4,461	(373)	31,668
Transfers to other funds	(3,202)	(2,631)	(3,108)	(2,687)	(1,874)	(2,726)	(2,330)	(1,900)	(3,146)	(2,256)	(1,754)	(4,490)	373	(31,731)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(7)	(4)	0	(1)	(15)	3	(5)	(2)	(1)	3	(5)	(29)	0	(63)
Excess/(Deficiency) of Receipts over Disbursements	2,420	(2,141)	131	280	(313)	1,240	(156)	(2,814)	2,513	3,760	156	(5,281)	0	(705)
CLOSING BALANCE	14,230	12,089	12,220	12,500	12,187	13,427	13,271	10,457	12,970	16,730	16,886	11,105	0	11,105

CASHFLOW
SPECIAL REVENUE FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,607	3,870	4,768	5,296	5,554	5,577	4,365	4,566	3,001	4,178	4,121	3,489		3,607
RECEIPTS:														
Personal Income Tax	1	0	420	0	0	108	5	11	107	2,244	0	243	0	3,139
Consumption/Use Taxes	185	145	200	173	167	184	170	163	184	172	131	130	0	2,004
Business Taxes	73	55	225	68	70	247	134	47	270	88	28	273	0	1,578
Other Taxes	117	94	100	85	108	105	114	96	106	180	144	144	0	1,380
Total Taxes	376	294	945	326	345	644	423	317	667	2,684	303	777	0	8,101
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0	4,689
Lottery	267	280	336	278	321	247	247	305	282	221	263	331	0	3,380
Medical	77	72	72	71	76	69	70	66	66	70	73	68	0	850
Motor Vehicle Fees	33	38	35	38	32	31	32	31	29	33	30	37	0	401
Other Transactions	265	275	416	169	245	428	180	184	434	213	179	455	0	3,443
Total Miscellaneous Receipts	1,291	1,363	1,548	1,228	1,507	1,879	1,371	1,247	1,550	1,329	1,710	1,663	0	17,686
Federal Receipts	3,150	4,041	4,981	3,491	3,940	5,020	3,397	4,004	5,612	3,934	2,959	8,196	0	52,725
TOTAL RECEIPTS	4,817	5,698	7,474	5,045	5,792	7,543	5,191	5,568	7,829	7,947	4,972	10,636	0	78,512
DISBURSEMENTS:														
School Aid	201	183	533	126	106	2,237	420	274	299	292	838	690	0	6,199
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	67	100	69	41	87	43	74	40	26	41	50	49	0	687
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medical - DOH	2,837	3,348	3,629	3,549	3,736	2,573	3,044	4,276	3,502	3,676	2,535	6,396	0	43,101
Public Health	200	170	257	182	177	392	167	144	284	189	140	385	0	2,687
Mental Hygiene	113	49	248	121	55	265	116	44	286	105	70	263	0	1,735
Children and Families	60	103	53	101	168	238	73	16	33	5	9	6	0	865
Temporary & Disability Assistance	174	164	261	156	160	717	185	233	344	168	247	310	0	3,119
Transportation	197	461	519	310	471	431	378	600	877	210	286	180	0	4,920
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	111	190	32	155	250	144	132	218	205	71	154	180	0	1,842
Total Local Assistance Grants	3,961	4,768	6,017	4,741	5,210	7,148	4,590	5,864	5,963	7,000	4,329	8,703	0	68,294
Personal Service	600	572	777	569	605	680	577	784	590	608	592	705	0	7,659
Non-Personal Service	259	386	440	297	478	482	384	399	400	411	478	484	0	4,898
Total Departmental Operations	859	958	1,217	866	1,083	1,162	961	1,183	990	1,019	1,070	1,189	0	12,557
General State Charges	190	273	118	26	102	454	27	522	146	112	238	257	0	2,465
Capital Projects	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
TOTAL DISBURSEMENTS	5,010	5,999	7,352	5,634	6,395	8,765	5,577	7,570	7,100	8,131	5,637	10,148	0	83,318
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	560	1,365	755	996	708	219	677	635	656	372	197	966	(373)	7,733
Transfers to Other Funds	(104)	(166)	(349)	(149)	(82)	(209)	(90)	(198)	(208)	(245)	(164)	(671)	373	(2,262)
NET OTHER FINANCING SOURCES/(USES)	456	1,199	406	847	626	10	587	437	448	127	33	295	0	5,471
Excess/(Deficiency) of Receipts over Disbursements	263	898	528	258	23	(1,212)	201	(1,565)	1,177	(57)	(632)	783	0	665
CLOSING BALANCE	3,870	4,768	5,296	5,554	5,577	4,365	4,566	3,001	4,178	4,121	3,489	4,272	0	4,272

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,547	4,127	4,982	4,973	5,579	6,054	3,961	4,436	3,568	3,552	3,634	3,571		3,547
RECEIPTS:														
Personal Income Tax	1	0	420	0	0	108	5	11	107	2,244	0	243	0	3,139
Consumption/Use Taxes	185	145	200	173	167	184	170	163	184	172	131	130	0	2,004
Business Taxes	73	55	225	68	70	247	134	270	270	88	28	273	0	1,578
Other Taxes	117	94	100	85	108	105	114	96	106	180	144	131	0	1,380
Total Taxes	376	294	945	326	345	644	423	317	667	2,684	303	777	0	8,101
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0	4,923
State University Income	283	249	237	237	439	695	424	278	273	399	757	390	0	4,669
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0	3,380
Medicaid	73	72	72	72	69	69	70	66	66	70	70	68	0	850
Motor Vehicle Fees	73	36	36	36	36	36	36	36	36	36	36	36	0	301
Other Transactions	232	231	407	152	228	413	163	163	425	201	163	433	0	3,244
Total Miscellaneous Receipts	1,278	1,319	1,539	1,214	1,490	1,868	1,354	1,232	1,541	1,317	1,694	1,641	0	17,487
Federal Receipts	15	1	0	(1)	(15)	1	3	(3)	(1)	0	0	(1)	0	(1)
TOTAL RECEIPTS	1,669	1,614	2,484	1,539	1,820	2,513	1,780	1,546	2,207	4,001	1,897	2,417	0	25,587
DISBURSEMENTS:														
School Aid	0	0	305	0	0	2,151	145	144	144	144	144	157	0	3,334
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	0	2	2	1	0	1	0	0	1	0	7
STAR	1	0	416	0	0	108	1	19	107	2,243	0	244	0	3,139
Medicaid - DOH	337	498	429	642	478	407	435	644	430	493	575	428	0	5,796
Public Health	62	28	136	56	51	59	53	38	46	70	27	159	0	785
Mental Hygiene	87	39	237	106	45	248	100	31	277	92	56	252	0	1,572
Children and Families	0	1	0	0	0	0	0	1	0	0	1	0	0	3
Temporary & Disability Assistance	192	458	513	308	466	429	376	598	872	206	284	169	0	4,871
Transportation	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unrestricted Aid	22	38	41	26	49	23	10	60	27	29	26	72	0	423
All Other	701	1,062	2,077	1,138	1,091	3,427	1,121	1,537	1,904	3,277	1,113	1,482	0	19,930
Total Local Assistance Grants	51	528	705	528	546	633	532	714	541	564	543	643	0	7,028
Personal Service	214	292	323	218	326	303	282	288	316	272	310	383	0	3,527
Non-Personal Service	765	820	1,028	746	872	936	814	1,002	857	836	853	1,026	0	10,555
Total Departmental Operations	179	238	104	26	68	417	23	492	106	88	218	213	0	2,172
General State Charges	0	0	0	1	0	1	(1)	1	1	0	0	(1)	0	2
Capital Projects	1,645	2,120	3,209	1,911	2,031	4,781	1,957	3,032	2,868	4,201	2,184	2,720	0	32,659
TOTAL DISBURSEMENTS	560	1,365	755	996	708	219	677	635	656	372	197	966	(373)	7,733
OTHER FINANCING SOURCES (USES):	(4)	(4)	(39)	(18)	(22)	(44)	(25)	(17)	(11)	(90)	(73)	(502)	373	(416)
Transfers from Other Funds	556	1,361	716	978	686	175	652	618	645	282	124	464	0	7,257
Transfers to Other Funds	580	855	(9)	606	475	(2,093)	475	(868)	(16)	82	(63)	161	0	185
NET OTHER FINANCING SOURCES (USES)	4,127	4,982	4,973	5,579	6,054	3,961	4,436	3,568	3,552	3,634	3,571	3,732	0	3,732
Excess/(Deficiency) of Receipts over Disbursements														
CLOSING BALANCE														

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	60	(257)	(214)	323	(25)	(477)	404	130	(567)	626	487	(82)	60
RECEIPTS:													
Miscellaneous Receipts	13	44	9	14	17	11	17	15	9	12	16	22	199
Federal Receipts	3,135	4,040	4,981	3,492	3,955	5,019	3,394	4,007	5,613	3,934	2,959	8,197	52,726
TOTAL RECEIPTS	3,148	4,084	4,990	3,506	3,972	5,030	3,411	4,022	5,622	3,946	2,975	8,219	52,925
DISBURSEMENTS:													
School Aid	201	183	228	126	106	86	275	130	155	148	694	533	2,865
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	67	100	69	41	85	41	73	40	25	41	50	48	680
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,500	2,850	3,200	2,907	3,258	2,166	2,609	3,632	3,072	3,183	1,960	5,968	37,305
Public Health	138	142	121	126	126	333	114	106	238	119	113	226	1,902
Mental Hygiene	26	10	11	15	10	17	16	11	9	13	14	11	163
Children and Families	60	102	53	101	168	238	73	15	33	5	8	6	862
Temporary & Disability Assistance	174	164	261	156	160	717	185	233	344	168	247	310	3,119
Transportation	5	3	6	2	5	2	2	2	5	4	2	11	49
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	89	152	(9)	129	201	121	122	158	178	42	128	108	1,419
Total Local Assistance Grants	3,260	3,706	3,940	3,603	4,119	3,721	3,469	4,327	4,059	3,723	3,216	7,221	48,364
Personal Service	49	44	72	41	59	47	45	70	49	44	49	62	631
Non-Personal Service	45	94	117	79	152	179	102	111	84	139	168	101	1,371
Total Departmental Operations	94	138	189	120	211	226	147	181	133	183	217	163	2,002
General State Charges	11	35	14	0	34	37	4	30	40	24	20	44	293
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,365	3,879	4,143	3,723	4,364	3,984	3,620	4,538	4,232	3,930	3,453	7,428	50,659
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(100)	(162)	(310)	(131)	(60)	(165)	(65)	(181)	(197)	(155)	(91)	(169)	(1,786)
NET OTHER FINANCING SOURCES/(USES)	(100)	(162)	(310)	(131)	(60)	(165)	(65)	(181)	(197)	(155)	(91)	(169)	(1,786)
Excess/(Deficiency) of Receipts over Disbursements	(317)	43	537	(348)	(452)	881	(274)	(697)	1,193	(139)	(569)	622	480
CLOSING BALANCE	(257)	(214)	323	(25)	(477)	404	130	(567)	626	487	(82)	540	540

**CASHFLOW
DEBT SERVICE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	160	325	418	366	808	1,108	375	573	876	719	2,483	2,444	160
RECEIPTS:													
Personal Income Tax	1,596	546	1,181	641	735	1,176	633	523	1,188	1,899	873	900	11,891
Consumption/Use Taxes	498	475	655	508	485	653	500	498	633	535	437	606	6,483
Other Taxes	90	75	91	102	90	89	84	83	77	83	81	62	1,007
Total Taxes	2,184	1,096	1,927	1,251	1,310	1,918	1,217	1,104	1,898	2,517	1,391	1,568	19,381
Miscellaneous Receipts	11	25	(38)	138	52	42	34	28	24	82	35	25	458
Federal Receipts	0	0	0	2	35	0	0	0	(1)	2	35	0	73
TOTAL RECEIPTS	2,195	1,121	1,889	1,391	1,397	1,960	1,251	1,132	1,921	2,601	1,461	1,593	19,912
DISBURSEMENTS:													
Departmental Operations	1	2	1	15	3	3	0	2	1	1	1	8	38
Debt Service	113	163	90	25	282	790	33	74	364	26	660	2,894	5,514
TOTAL DISBURSEMENTS	114	165	91	40	285	793	33	76	365	27	661	2,902	5,552
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	358	139	192	301	51	331	187	144	195	405	158	1,148	3,609
Transfers to Other Funds	(2,274)	(1,002)	(2,042)	(1,210)	(863)	(2,231)	(1,207)	(897)	(1,908)	(1,215)	(997)	(2,139)	(17,985)
NET OTHER FINANCING SOURCES/(USES)	(1,916)	(863)	(1,850)	(909)	(812)	(1,900)	(1,020)	(753)	(1,713)	(810)	(839)	(991)	(14,376)
Excess/(Deficiency) of Receipts over Disbursements	165	93	(52)	442	300	(733)	198	303	(457)	1,764	(39)	(2,300)	(16)
CLOSING BALANCE	325	418	366	808	1,108	375	573	876	719	2,483	2,444	144	160

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Projected	March Projected	Total
OPENING BALANCE	(891)	(858)	(848)	(652)	(627)	(727)	(879)	(791)	(952)	(981)	(959)	(1,073)	(891)
RECEIPTS:													
Consumption/Use Taxes	44	40	66	49	52	70	47	48	67	50	47	44	624
Business Taxes	49	43	54	67	52	56	55	52	59	54	47	52	640
Other Taxes	0	0	12	12	11	13	12	10	13	12	12	12	119
Total Taxes	93	83	132	128	115	139	114	110	139	116	106	108	1,383
Miscellaneous Receipts	85	199	662	89	86	656	323	77	127	221	81	2,031	4,637
Federal Receipts	126	156	228	153	230	362	165	203	381	139	135	330	2,608
TOTAL RECEIPTS	304	438	1,022	370	431	1,157	602	390	647	476	322	2,469	8,628
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	6	0	0	0	0	0	0	0	14
Public Health	0	4	0	4	0	4	4	0	3	38	4	3	64
Mental Hygiene	4	8	7	6	4	8	8	7	7	12	8	20	99
School Aid	0	0	0	0	0	0	3	3	7	0	7	13	35
Temporary & Disability Assistance	0	16	0	0	0	16	0	0	0	15	0	7	54
Transportation	28	40	78	75	79	232	71	37	279	43	204	388	1,554
All Other Local	61	29	202	114	203	91	98	131	272	109	193	281	1,784
Total Local Assistance	93	97	287	207	292	353	184	178	568	217	416	712	3,604
Economic Development	6	7	7	14	8	13	10	9	12	6	3	7	102
Parks & the Environment	18	30	39	28	33	82	30	35	60	54	40	70	519
Transportation	175	263	365	268	331	425	340	442	305	275	296	314	3,799
Health & Social Welfare	3	2	(11)	3	3	0	21	11	7	(15)	11	31	66
Mental Hygiene	11	11	23	13	10	14	24	15	21	16	11	41	210
Public Protection	18	29	31	26	46	24	35	34	30	31	33	76	413
Education	22	66	81	70	71	81	77	66	73	67	59	69	802
All Other	60	78	112	48	59	51	50	31	31	31	32	56	641
Total Capital Projects	313	486	647	470	561	690	587	645	539	465	485	664	6,552
TOTAL DISBURSEMENTS	406	583	934	677	853	1,043	771	823	1,107	682	901	1,376	10,156
OTHER FINANCING SOURCES (USES):													
Transfers to Other Funds	161	184	139	363	353	0	287	300	455	256	501	(248)	2,751
Transfers from Other Funds	(26)	(29)	(31)	(31)	(31)	(266)	(30)	(28)	(24)	(28)	(36)	(832)	(1,392)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	135	155	108	332	322	(266)	257	272	431	228	465	(1,080)	1,359
Excess/(Deficiency) of Receipts over Disbursements	33	10	196	25	(100)	(452)	88	(161)	(29)	22	(114)	13	(169)
CLOSING BALANCE	(858)	(848)	(652)	(627)	(727)	(879)	(791)	(952)	(981)	(959)	(1,073)	(1,060)	(1,060)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	(333)	(348)	(348)	(220)	(157)	(271)	(433)	(283)	(455)	(536)	(455)	(321)	(333)
RECEIPTS:													
Consumption/Use Taxes	44	40	66	49	52	70	47	48	67	50	47	44	624
Business Taxes	49	43	54	67	52	56	55	52	59	54	47	52	640
Other Taxes	0	0	12	12	11	13	12	10	13	12	12	12	119
Total Taxes	93	83	132	128	115	139	114	110	139	116	106	108	1,383
Miscellaneous Receipts	84	199	662	89	86	656	323	76	127	221	81	2,031	4,635
Federal Receipts	0	0	0	0	0	2	0	1	0	0	0	2	5
TOTAL RECEIPTS	177	282	794	217	201	797	437	187	266	337	187	2,141	6,023
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	6	0	0	0	0	0	0	0	14
Public Health	0	0	0	4	0	0	4	0	0	2	4	(1)	17
Mental Hygiene	4	8	7	6	8	8	8	7	7	12	8	20	99
School Aid	0	0	0	0	0	0	3	3	7	0	7	13	35
Temporary & Disability Assistance	0	16	0	0	0	16	0	0	0	15	0	7	54
Transportation	6	3	51	11	14	117	10	6	221	6	121	344	910
All Other Local	61	29	202	114	203	89	98	131	130	109	183	266	1,625
Total Local Assistance Grants	71	60	260	143	227	232	123	147	365	144	333	649	2,754
Economic Development	6	7	7	14	8	13	10	9	12	6	3	7	102
Parks & the Environment	17	29	39	28	33	28	30	35	60	51	39	69	458
Transportation	121	159	241	149	183	283	178	287	184	156	233	258	2,432
Health & Social Welfare	3	2	(11)	3	3	0	21	11	7	(16)	11	26	60
Mental Hygiene	11	11	23	13	10	14	24	15	21	16	11	41	210
Public Protection	17	26	28	23	43	22	32	30	26	30	29	72	378
Education	22	66	81	70	71	81	77	66	73	67	59	69	802
All Other	61	77	108	44	59	50	50	33	29	31	33	59	634
Total Capital Projects	258	377	516	344	410	491	422	486	412	341	418	601	5,076
TOTAL DISBURSEMENTS	329	437	776	487	637	723	545	633	777	485	751	1,250	7,830
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	162	184	138	362	353	30	287	300	455	258	734	(231)	3,032
Transfers to Other Funds	(25)	(29)	(28)	(29)	(31)	(266)	(29)	(26)	(25)	(29)	(36)	(830)	(1,383)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	137	155	110	333	322	(236)	258	274	430	229	698	(1,061)	1,649
Excess/(Deficiency) of Receipts over Disbursements	(15)	0	128	63	(114)	(162)	150	(172)	(81)	81	134	(170)	(158)
CLOSING BALANCE	(348)	(348)	(220)	(157)	(271)	(433)	(283)	(455)	(536)	(455)	(321)	(333)	(491)

**CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	(558)	(510)	(500)	(432)	(471)	(457)	(447)	(511)	(498)	(446)	(505)	(753)	(558)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	1	0	0	0	0	2
Federal Receipts	126	156	228	153	230	360	165	202	381	139	135	328	2,603
TOTAL RECEIPTS	127	156	228	153	230	360	165	203	381	139	135	328	2,605
DISBURSEMENTS:													
Public Health	0	0	0	0	0	4	0	0	3	36	0	4	47
Transportation	22	37	27	64	65	115	61	31	58	37	83	44	644
All Other Local	0	0	0	0	0	2	0	0	142	0	0	15	159
Total Local Assistance Grants	22	37	27	64	65	121	61	31	203	73	83	63	850
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	1	0	0	0	54	0	0	0	3	1	1	61
Transportation	54	104	124	119	148	142	162	155	121	119	63	56	1,367
Health & Social Welfare	0	0	0	0	0	0	0	0	0	1	0	5	6
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	3	3	3	3	2	3	4	4	1	4	4	35
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	1	4	4	0	1	0	0	2	0	(1)	(4)	6
Total Capital Projects	55	109	131	126	151	199	165	159	127	124	67	62	1,475
TOTAL DISBURSEMENTS	77	146	158	190	216	320	226	190	330	197	150	125	2,325
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(1)	0	1	0	0	(30)	0	0	0	(2)	(233)	(16)	(281)
Transfers to Other Funds	(1)	0	(3)	(2)	0	0	(3)	0	1	1	0	(2)	(9)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(2)	0	(2)	(2)	0	(30)	(3)	0	1	(1)	(233)	(18)	(290)
Excess/(Deficiency) of Receipts over Disbursements	48	10	68	(39)	14	10	(64)	13	52	(59)	(248)	185	(10)
CLOSING BALANCE	(510)	(500)	(432)	(471)	(457)	(447)	(511)	(498)	(446)	(505)	(753)	(568)	(568)

**CASHFLOW
STATE FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2017 January Results	February Results	March Results	Total
OPENING BALANCE	12,308	14,997	12,803	12,329	12,995	13,120	13,469	13,649	11,521	12,789	16,747	17,720	12,308
RECEIPTS:													
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,533	2,094	4,752	7,595	3,493	3,599	0
Consumption/Use Taxes	1,274	1,183	1,632	1,299	1,241	1,620	1,266	1,261	1,571	1,351	1,089	1,425	0
Business Taxes	280	182	1,150	206	1,382	1,382	598	(17)	1,374	134	(226)	1,752	0
Other Taxes	282	277	282	273	334	295	310	283	354	339	296	291	0
Total Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,707	3,621	8,051	9,419	4,652	7,067	0
Abandoned Property	0	0	0	0	0	38	29	159	49	0	0	163	0
ABC License Fee	6	5	4	4	5	5	5	4	4	6	6	7	0
HCRA	366	433	440	435	394	405	418	383	466	393	408	382	0
Investment Income	2	2	2	1	1	1	2	1	1	1	3	7	0
Licenses, Fees, etc.	22	70	54	49	62	33	52	53	65	69	28	87	0
Lottery	267	280	336	278	321	249	247	305	282	221	263	331	0
Medicaid	77	72	71	71	76	69	70	66	66	70	73	68	0
Motor Vehicle Fees	50	54	61	34	62	39	(18)	100	46	41	40	66	0
Reimbursements	6	16	36	8	7	49	4	28	273	399	21	40	0
State University Income	283	265	249	237	439	695	424	278	273	399	757	390	0
Other Transactions	363	859	1,085	411	582	1,264	576	577	921	556	732	2,637	0
Total Miscellaneous Receipts	1,442	2,056	2,339	1,528	1,949	2,847	1,808	1,955	2,201	1,759	2,331	4,178	0
Federal Receipts	15	1	0	1	20	3	3	(2)	(2)	2	35	1	77
TOTAL RECEIPTS	9,677	5,884	10,127	5,869	6,648	10,851	6,518	5,574	10,250	11,180	7,018	11,246	100,842
DISBURSEMENTS:													
School Aid	754	2,900	2,203	48	645	3,773	1,059	1,568	1,933	729	776	7,998	0
Higher Education	19	25	648	218	124	166	357	42	151	51	107	966	0
All Other Education	52	118	514	154	246	70	114	195	80	33	212	419	0
STAR	1,335	1,765	1,870	1,729	1,766	1,623	1,455	2,011	1,653	1,315	980	244	0
Medicaid - DOH	82	239	188	117	90	95	98	103	87	168	65	741	0
Public Health	94	48	446	113	53	455	120	42	526	103	203	355	0
Mental Hygiene	95	34	271	194	69	88	65	97	315	120	179	256	0
Children and Families	95	110	156	93	110	126	96	93	91	109	95	113	0
Temporary & Disability Assistance	198	484	575	319	504	546	386	628	1,103	212	419	513	0
Transportation	0	11	389	9	0	98	8	1	181	0	0	63	0
Unrestricted Aid	92	86	336	171	298	165	166	221	193	74	344	402	0
All Other	2,749	5,820	8,012	3,165	3,892	7,313	3,925	5,020	6,420	5,157	3,380	12,270	0
Total Local Assistance Grants	1,026	1,016	1,314	1,004	1,036	1,211	994	1,335	1,025	994	994	1,144	0
Personal Service	318	429	486	365	515	477	503	495	447	425	474	653	0
Non-Personal Service	1,344	1,445	1,800	1,369	1,551	1,688	1,497	1,830	1,472	1,419	1,468	1,797	0
Total Departmental Operations	2,619	431	495	430	433	417	523	472	508	438	438	430	0
General State Charges	113	163	90	25	282	790	33	74	364	26	660	2,894	0
Debt Service	258	377	516	345	410	492	421	487	413	341	418	600	0
Capital Projects	7,083	8,236	10,913	5,334	6,568	10,700	6,399	7,883	9,177	7,381	6,364	17,991	0
TOTAL DISBURSEMENTS	31,996	2,627	31,077	2,685	1,859	27,599	2,325	1,898	3,145	2,261	1,982	4,478	31,949
OTHER FINANCING SOURCES (USES):													
Transfers from other funds	(3,101)	(2,469)	(2,795)	(2,554)	(1,814)	(2,561)	(2,264)	(1,717)	(2,950)	(2,102)	(1,663)	(4,319)	(29,936)
Transfers to other funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Bond and note proceeds	95	158	312	131	45	198	61	181	195	159	319	159	2,013
NET OTHER FINANCING SOURCES/(USES)	2,689	(2,194)	(474)	666	125	349	180	(2,128)	1,268	3,958	973	(6,586)	(1,174)
Excess/(Deficiency) of Receipts over Disbursements	14,997	12,803	12,329	12,995	13,120	13,469	13,649	11,521	12,789	16,747	17,720	11,134	11,134
CLOSING BALANCE													

**CASHFLOW
GENERAL FUND
FY 2018
(dollars in millions)**

	2017	2018	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
OPENING BALANCE	7,749	7,405	7,749	7,405	2,216	2,466	3,359	2,862	5,825	5,572	3,885	7,513	9,630	9,442	7,749
RECEIPTS:															
Personal Income Tax	3,751	1,697	3,751	1,697	3,684	2,105	2,273	3,779	2,043	1,743	4,015	4,244	2,577	2,495	34,406
Consumption/Use Taxes	522	543	522	543	769	591	561	756	584	591	739	613	489	680	7,438
Business Taxes	421	58	421	58	677	(97)	161	985	12	170	1,308	92	89	1,878	5,718
Other Taxes	91	89	91	89	89	89	90	89	89	89	89	89	89	89	1,072
Total Taxes	4,785	2,387	4,785	2,387	5,219	2,688	3,085	5,610	2,728	2,593	6,151	4,999	3,247	5,142	48,634
Abandoned Property	0	0	0	0	0	0	0	35	30	155	50	0	0	180	450
ABC License Fee	6	5	6	5	5	5	5	6	6	5	5	5	6	4	63
Investment Income	4	1	4	1	2	1	2	1	2	1	2	2	2	2	21
Licenses, Fees, etc.	27	70	27	70	55	50	60	35	50	55	65	70	30	86	653
Motor Vehicle Fees	36	2	36	2	19	19	20	21	19	21	17	18	19	18	229
Reimbursements	2	15	2	15	45	10	5	50	5	25	45	10	20	57	289
Other Transactions	20	30	20	30	78	17	18	72	41	18	59	18	18	58	447
Total Miscellaneous Receipts	95	123	95	123	204	102	110	220	153	280	243	122	95	405	2,152
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,249	548	1,249	548	1,247	494	301	1,539	683	383	1,386	759	648	1,686	10,923
Tax in Excess of LGAC	234	59	234	59	519	267	225	351	265	264	343	280	3	310	3,120
Sales Tax Bond Fund	179	190	179	190	292	209	199	319	201	199	279	216	165	318	2,766
Real Estate Taxes in Excess of CW/CA Debt Service	81	84	81	84	87	88	91	93	91	84	84	93	79	72	1,023
All Other	10	1	10	1	10	5	1	103	12	14	5	38	148	869	1,216
Total Transfers from Other Funds	1,753	882	1,753	882	2,155	1,063	817	2,405	1,252	940	2,097	1,386	1,043	3,255	19,048
TOTAL RECEIPTS	6,633	3,392	6,633	3,392	7,578	3,853	4,012	8,235	4,133	3,813	8,491	6,507	4,385	8,802	69,834
DISBURSEMENTS:															
School Aid	868	3,388	868	3,388	1,911	71	685	1,561	953	1,590	1,998	496	642	8,157	22,320
Higher Education	19	19	19	19	947	234	127	237	108	46	185	78	316	483	2,799
All Other Education	95	574	95	574	156	118	256	116	52	31	277	34	173	374	2,256
Medicaid - DOH	1,376	1,925	1,376	1,925	1,079	822	1,241	1,072	926	1,314	969	1,174	930	534	13,362
Public Health	68	181	68	181	56	91	43	39	33	40	70	34	29	18	702
Mental Hygiene	2	4	2	4	209	4	4	208	2	3	232	3	57	160	888
Children and Families	36	45	36	45	355	72	72	269	72	72	269	72	105	210	1,649
Temporary & Disability Assistance	95	104	95	104	163	104	105	105	104	106	106	105	104	115	1,316
Transportation	0	25	0	25	14	0	25	0	0	25	12	0	13	0	114
Unrestricted Aid	0	12	0	12	389	0	0	101	7	0	187	0	0	65	761
All Other	11	(89)	11	(89)	164	14	24	32	104	143	112	106	130	151	902
Total Local Assistance Grants	2,570	6,188	2,570	6,188	5,443	1,530	2,582	3,740	2,361	3,370	4,417	2,102	2,499	10,267	47,069
Personal Service	485	639	485	639	473	430	605	427	428	577	437	480	454	515	5,950
Non-Personal Service	91	215	91	215	178	170	187	182	170	180	187	189	184	294	2,227
Total Departmental Operations	576	854	576	854	651	600	792	609	598	757	624	669	638	809	8,177
General State Charges	2,398	272	2,398	272	290	405	108	352	479	163	290	519	239	274	5,789
Debt Service	274	0	274	0	(2)	141	(3)	(59)	362	0	(2)	302	(20)	(72)	921
Capital Projects	310	331	310	331	481	(487)	516	399	6	414	(723)	581	545	254	2,627
State Share Medicaid	100	119	100	119	162	107	41	179	47	108	182	46	116	94	1,301
SUNY Operations	218	218	218	218	218	181	0	0	0	181	0	0	0	(1)	1,015
Other Purposes	531	599	531	599	85	483	473	52	533	507	75	171	556	235	4,300
Total Transfers to Other Funds	1,433	1,267	1,433	1,267	944	425	1,027	571	948	1,210	(468)	1,100	1,197	510	10,164
TOTAL DISBURSEMENTS	6,977	8,581	6,977	8,581	7,328	2,960	4,509	5,272	4,386	5,500	4,863	4,390	4,573	11,860	71,199
Excess/(Deficiency) of Receipts over Disbursements	(344)	(5,189)	(344)	(5,189)	250	893	(497)	2,963	(253)	(1,687)	3,628	2,117	(188)	(3,058)	(1,365)
CLOSING BALANCE	7,405	2,216	7,405	2,216	2,466	3,359	2,862	5,825	5,572	3,885	7,513	9,630	9,442	6,384	6,384

CASHFLOW
STATE OPERATING FUNDS
FY 2018
(dollars in millions)

	2017 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,625	12,656	7,871	7,787	9,865	9,650	10,090	10,810	9,099	11,666	15,950	16,157		11,625
RECEIPTS:														
Personal Income Tax	5,001	2,263	4,989	2,807	3,031	5,184	2,731	2,344	5,545	8,711	3,436	3,340	0	49,382
Consumption/Use Taxes	1,178	1,192	1,664	1,299	1,241	1,646	1,286	1,279	1,610	1,347	1,082	1,449	0	16,273
Business Taxes	556	114	840	(40)	233	1,209	66	241	1,589	104	144	2,293	0	7,349
Other Taxes	306	286	280	271	299	295	307	278	290	383	319	281	0	3,595
Total Taxes	7,041	3,855	7,773	4,337	4,804	8,334	4,390	4,142	9,034	10,545	4,981	7,363	0	76,599
Abandoned Property	0	0	0	0	0	35	30	155	50	0	0	180	0	450
ABC License Fee	6	5	5	5	5	6	6	5	5	5	6	4	0	63
HCRA	396	433	440	433	378	405	419	425	416	364	417	399	0	4,925
Investment Income	4	1	2	1	2	1	2	1	2	1	2	2	0	21
Licenses, Fees, etc.	27	70	55	50	60	35	50	55	65	70	30	86	0	653
Lottery	263	315	265	269	335	272	275	287	253	303	248	300	0	3,385
Medicaid	71	79	63	79	63	63	79	63	63	79	63	67	0	832
Motor Vehicle Fees	71	42	55	58	53	55	53	53	47	51	51	56	0	645
Reimbursements	2	15	45	10	5	50	5	25	45	10	20	57	0	289
State University Income	338	248	231	279	380	676	386	259	260	459	651	352	0	4,519
Other Transactions	210	302	420	352	347	367	248	197	313	264	234	319	0	3,573
Total Miscellaneous Receipts	1,388	1,510	1,581	1,536	1,628	1,953	1,525	1,519	1,606	1,722	1,822	1,822	0	19,355
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	35	0	74
TOTAL RECEIPTS	8,429	5,365	9,354	5,873	6,434	10,334	5,943	5,667	10,533	12,151	6,705	9,220	0	96,028
DISBURSEMENTS:														
School Aid	868	3,388	2,238	71	685	3,750	1,098	1,735	2,143	641	787	8,358	0	25,762
Higher Education	19	19	947	234	127	237	108	46	185	78	316	483	0	2,799
All Other Education	95	575	157	119	257	120	53	31	278	34	173	377	0	2,269
STAR	0	0	58	0	0	109	5	15	144	2,289	0	10	0	2,630
Medicaid - DOH	1,765	2,451	1,460	1,343	1,714	1,546	1,428	1,854	1,457	1,535	1,436	1,008	0	18,997
Public Health	111	225	259	143	93	96	85	69	129	168	54	71	0	1,503
Mental Hygiene	39	115	445	91	49	473	76	52	507	91	118	428	0	2,484
Children and Families	36	45	355	72	72	269	72	73	269	72	106	212	0	1,653
Temporary & Disability Assistance	95	104	163	104	105	105	104	106	106	105	104	115	0	1,316
Transportation	245	513	420	337	510	418	349	624	907	200	262	240	0	5,025
Unrestricted Aid	0	12	389	0	0	101	7	0	187	0	0	65	0	761
All Other	42	(65)	191	54	77	62	60	100	92	66	87	93	0	859
Total Local Assistance Grants	3,315	7,383	7,082	2,568	3,689	7,286	3,405	4,705	6,404	5,279	3,443	11,460	0	66,058
Personal Service	1,050	1,299	1,034	973	1,225	960	960	1,272	1,010	1,020	994	1,113	0	12,910
Non-Personal Service	322	510	479	410	510	503	444	472	512	468	490	662	0	5,782
Total Departmental Operations	1,372	1,809	1,513	1,383	1,735	1,463	1,404	1,744	1,522	1,488	1,484	1,775	0	18,692
General State Charges	2,452	686	394	458	474	473	527	571	466	587	613	362	0	8,063
Debt Service	87	148	182	29	318	827	34	90	539	29	656	2,380	0	5,319
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
TOTAL DISBURSEMENTS	7,226	10,025	9,171	4,438	6,216	10,049	5,410	7,110	8,931	7,383	6,196	15,979	0	98,134
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,169	2,261	2,938	2,241	1,527	3,192	2,499	1,980	2,684	2,113	1,974	4,573	(490)	30,661
Transfers to other funds	(3,361)	(2,366)	(3,205)	(1,598)	(1,960)	(3,037)	(2,312)	(2,248)	(1,739)	(2,397)	(2,276)	(4,099)	490	(30,308)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(192)	(1,051)	(267)	(643)	(433)	(155)	(187)	(268)	(945)	(484)	(302)	(474)	0	353
Excess/(Deficiency) of Receipts over Disbursements	1,011	(4,765)	(84)	2,078	(215)	440	720	(1,711)	2,567	4,284	207	(6,285)	0	(1,753)
CLOSING BALANCE	12,636	7,871	7,871	9,865	9,650	10,090	10,810	9,099	11,666	15,950	16,157	9,872	0	9,872

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2018
(dollars in millions)**

	2017 April Projected	2017 May Projected	2017 June Projected	2017 July Projected	2017 August Projected	2017 September Projected	2017 October Projected	2017 November Projected	2017 December Projected	2018 January Projected	2018 February Projected	2018 March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,105	11,516	5,883	6,508	8,331	7,608	8,275	8,619	6,101	9,624	13,065	12,761		11,105
RECEIPTS:														
Personal Income Tax	5,001	2,263	4,989	2,807	3,031	5,184	2,731	2,344	5,545	8,711	3,436	3,340	0	49,382
Consumption/Use Taxes	1,230	1,201	1,718	1,356	1,297	1,700	1,341	1,332	1,664	1,398	1,130	1,494	0	16,861
Business Taxes	603	162	892	15	282	1,264	118	291	1,645	154	197	2,346	0	7,969
Other Taxes	306	286	292	283	311	307	319	290	302	395	331	292	0	3,714
Total Taxes	7,140	3,912	7,891	4,461	4,921	8,455	4,509	4,257	9,156	10,658	5,094	7,472	0	77,926
Abandoned Property	0	0	0	0	0	35	30	155	50	5	0	180	0	450
ABC License Fee	6	5	5	5	5	6	6	5	5	5	6	4	0	63
HCRA	396	433	440	433	378	405	419	425	416	364	417	399	0	4,923
Investment Income	4	1	2	1	2	1	2	1	2	1	2	2	0	21
Licenses, Fees, etc.	27	70	55	50	60	50	50	55	65	70	30	86	0	653
Lottery	263	315	265	269	335	272	253	287	253	303	248	300	0	3,385
Medical	71	79	63	79	63	63	79	63	63	79	63	67	0	832
Motor Vehicle Fees	71	42	55	58	53	50	53	53	45	51	51	56	0	645
Reimbursements	2	15	45	10	5	50	5	25	47	10	20	57	0	289
State University Income	338	248	231	279	380	676	386	259	260	459	651	352	0	4,519
Other Transactions	316	436	710	1,859	448	675	1,018	287	2,204	429	322	2,023	0	10,727
Total Miscellaneous Receipts	1,494	1,644	1,871	3,043	1,729	2,273	2,323	1,615	3,410	1,771	1,810	3,526	0	26,509
Federal Receipts	3,473	5,119	5,391	4,008	4,620	5,477	3,622	5,199	5,122	4,265	4,175	6,171	0	56,642
TOTAL RECEIPTS	12,107	10,675	15,153	11,512	11,270	16,205	10,454	11,071	17,688	16,694	11,079	17,169	0	161,077
DISBURSEMENTS:														
School Aid	1,081	3,643	2,514	319	933	3,998	1,367	2,004	2,412	951	1,097	8,742	0	29,061
Higher Education	19	19	947	224	127	227	108	46	185	78	316	483	0	2,799
All Other Education	146	670	249	196	334	197	131	109	356	101	182	382	0	3,053
STAR	0	0	58	0	0	109	5	15	144	2,289	0	10	0	2,630
Medicaid - DOH	4,786	6,874	4,391	4,369	5,369	4,403	4,209	5,923	4,141	5,378	4,572	3,747	0	58,156
Public Health	171	505	442	278	230	348	229	195	392	357	246	599	0	3,992
Mental Hygiene	58	143	463	110	67	499	97	77	529	118	141	497	0	2,799
Children and Families	127	187	392	148	159	455	109	110	306	109	143	246	0	2,491
Temporary & Disability Assistance	256	261	439	363	364	424	386	412	539	418	420	541	0	4,823
Transportation	285	672	589	495	659	622	501	945	1,065	349	425	602	0	7,209
Unrestricted Aid	0	12	389	0	0	101	7	0	187	0	0	65	0	761
All Other	376	(92)	399	361	319	260	213	355	392	232	268	433	0	3,516
Total Local Assistance Grants	7,305	12,831	11,335	6,867	8,561	11,653	7,362	10,191	10,648	10,380	7,810	16,347	0	121,290
Personal Service	1,100	1,364	1,083	1,019	1,272	1,008	1,006	1,339	1,058	1,065	1,040	1,173	0	13,527
Non-Personal Service	369	595	591	524	628	627	536	590	631	584	615	876	0	7,166
Total Departmental Operations	1,469	1,959	1,674	1,543	1,900	1,635	1,542	1,929	1,689	1,649	1,655	2,049	0	20,693
General State Charges	2,459	713	438	466	493	521	536	588	517	595	636	415	0	8,377
Debt Service	87	148	182	29	318	827	34	90	539	29	656	2,380	0	5,319
Capital Projects	350	654	896	779	718	906	632	786	761	596	619	252	0	7,949
TOTAL DISBURSEMENTS	11,670	16,305	14,525	9,684	11,990	15,542	10,106	13,584	14,154	13,249	11,376	21,443	0	163,628
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,485	2,702	3,426	1,759	2,047	3,598	2,511	2,397	1,967	2,699	2,523	5,105	(490)	33,729
Transfers to other funds	(3,511)	(2,705)	(3,429)	(1,764)	(2,050)	(3,594)	(2,515)	(2,402)	(1,978)	(2,703)	(2,530)	(5,147)	490	(33,838)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	988	0	988
NET OTHER FINANCING SOURCES/(USES)	(26)	(3)	(3)	(5)	(3)	4	(4)	(5)	(11)	(4)	(7)	946	0	879
Excess/(Deficiency) of Receipts over Disbursements	411	(5,633)	625	1,823	(723)	667	344	(2,518)	3,523	3,441	(304)	(3,328)	0	(1,672)
CLOSING BALANCE	11,516	5,883	6,508	8,331	7,608	8,275	8,619	6,101	9,624	13,065	12,761	9,433	0	9,433

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2018
(dollars in millions)**

	2017 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,272	4,732	4,409	5,225	5,599	5,318	4,094	4,301	3,696	3,700	3,276	3,378		4,272
RECEIPTS:														
Personal Income Tax	0	0	58	0	0	109	5	15	144	2,289	0	10	0	2,630
Consumption/Use Taxes	183	153	195	174	166	185	172	161	184	174	131	136	0	2,014
Business Taxes	135	56	163	57	72	224	54	71	281	51	415	415	0	1,631
Other Taxes	120	108	98	89	112	107	122	104	112	196	146	124	0	1,438
Total Taxes	438	317	514	320	350	625	353	351	721	2,710	329	685	0	7,713
HCRA	396	433	440	433	378	405	419	425	416	364	417	399	0	4,925
State University Income	338	248	231	279	380	676	386	259	260	459	651	352	0	4,519
Lottery	263	315	265	269	335	272	275	287	253	303	248	300	0	3,385
Medicaid	71	79	63	79	63	63	79	63	63	79	63	67	0	832
Motor Vehicle Fees	35	40	36	39	33	34	34	32	30	33	32	38	0	416
Other Transactions	153	273	314	284	305	273	189	166	239	206	185	292	0	2,879
Total Miscellaneous Receipts	1,256	1,388	1,349	1,383	1,494	1,723	1,382	1,232	1,261	1,444	1,596	1,448	0	16,956
Federal Receipts	3,337	5,032	5,236	3,847	4,452	5,158	3,500	4,910	4,952	4,139	4,049	5,711	0	54,323
TOTAL RECEIPTS	5,031	6,737	7,099	5,550	6,296	7,506	5,235	6,493	6,934	8,293	5,974	7,844	0	78,992
DISBURSEMENTS:														
School Aid	213	234	561	227	227	2,416	372	372	372	372	372	428	0	6,166
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	51	92	90	75	75	78	76	75	76	64	6	7	0	765
STAR	0	0	58	0	0	109	5	15	144	2,289	0	10	0	2,630
Medicaid - DOH	3,410	4,949	3,312	3,541	4,128	3,331	3,283	4,609	3,172	4,204	3,642	3,213	0	44,794
Public Health	103	252	440	173	176	288	167	140	303	252	196	342	0	2,832
Mental Hygiene	50	124	245	98	84	280	84	61	286	101	72	301	0	1,759
Children and Families	91	142	37	76	87	186	37	38	37	37	38	36	0	842
Temporary & Disability Assistance	161	157	260	259	259	303	282	306	417	313	316	411	0	3,444
Transportation	251	490	408	339	487	420	351	601	897	202	251	248	0	4,945
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	153	(108)	92	136	164	142	(27)	66	96	53	64	49	0	880
Total Local Assistance Grants	4,483	6,332	5,503	4,924	5,660	7,553	4,630	6,283	5,800	7,887	4,957	5,045	0	69,057
Personal Service	615	725	610	589	667	581	578	762	621	585	586	658	0	7,577
Non-Personal Service	277	375	408	354	428	442	366	408	442	394	429	579	0	4,902
Total Departmental Operations	892	1,100	1,018	943	1,095	1,023	944	1,170	1,063	979	1,015	1,237	0	12,479
General State Charges	61	441	148	61	385	169	57	425	227	76	397	141	0	2,588
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
TOTAL DISBURSEMENTS	5,436	7,873	6,669	5,928	7,140	8,745	5,631	7,878	7,090	8,942	6,369	6,425	0	84,126
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,026	1,234	586	896	628	356	794	910	373	344	784	419	(490)	7,860
Transfers to Other Funds	(161)	(421)	(200)	(144)	(65)	(341)	(191)	(130)	(213)	(119)	(287)	(1,236)	490	(3,018)
NET OTHER FINANCING SOURCES/USES	865	813	386	752	563	15	603	780	160	225	497	(817)	0	4,842
Excess/(Deficiency) of Receipts over Disbursements	460	(321)	816	374	(281)	(1,224)	207	(605)	4	(424)	102	602	0	(292)
CLOSING BALANCE	4,732	4,409	5,225	5,599	4,094	3,318	4,301	3,696	3,700	3,276	3,378	3,980	0	3,980

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2018
(dollars in millions)**

	2017 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,732	4,805	5,042	4,873	5,582	5,626	3,745	4,309	4,054	3,331	3,710	4,177		3,732
RECEIPTS:														
Personal Income Tax	0	0	58	0	0	109	5	15	144	2,289	0	10	0	2,630
Consumption/Use Taxes	183	153	195	174	166	185	172	161	184	174	131	136	0	2,014
Business Taxes	135	56	163	57	72	224	54	71	281	51	52	415	0	1,631
Other Taxes	120	108	98	89	112	107	122	104	112	196	146	124	0	1,438
Total Taxes	438	317	514	320	350	625	353	351	721	2,710	329	685	0	7,713
DISBURSEMENTS:														
HCRA	396	433	440	433	378	405	419	425	416	364	417	399	0	4,925
State University Income	338	248	231	279	380	676	386	259	260	459	651	352	0	4,519
Lottery	263	315	265	269	335	272	275	287	253	303	248	300	0	3,385
Medicaid	71	79	63	39	63	93	79	79	63	63	63	67	0	832
Motor Vehicle Fees	15	4	36	3	3	34	3	3	3	3	3	3	0	67
Other Transactions	140	239	306	274	287	266	178	150	228	193	172	232	0	2,667
Total Miscellaneous Receipts	1,243	1,354	1,341	1,373	1,476	1,714	1,371	1,216	1,250	1,429	1,589	1,388	0	16,744
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL RECEIPTS	1,681	1,671	1,855	1,693	1,826	2,339	1,724	1,567	1,971	4,139	1,918	2,074	0	24,458
DISBURSEMENTS:														
School Aid	0	0	327	0	0	2,189	145	145	145	145	145	201	0	3,442
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	1	1	1	1	4	1	0	0	0	0	3	0	13
STAR	0	0	58	0	0	109	5	15	144	2,289	0	10	0	2,630
Medicaid - DOH	389	526	381	521	473	474	502	540	488	361	506	474	0	5,635
Public Health	43	44	203	52	50	57	52	29	59	134	25	53	0	801
Mental Hygiene	37	111	236	87	45	265	74	49	275	88	61	268	0	1,596
Children and Families	0	0	0	0	0	0	0	1	0	0	1	2	0	4
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	245	488	406	337	485	418	349	599	895	200	249	240	0	4,911
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	31	24	27	40	53	30	(44)	(43)	(20)	(40)	(43)	(58)	0	(43)
Total Local Assistance Grants	745	1,194	1,639	1,038	1,107	3,546	1,084	1,335	1,987	3,177	944	1,193	0	38,989
Personal Service	565	660	561	543	620	533	532	695	573	540	540	598	0	6,960
Non-Personal Service	230	290	296	240	310	318	274	290	323	278	304	365	0	3,518
Total Departmental Operations	795	950	857	783	930	851	806	985	896	818	844	963	0	10,478
General State Charges	54	414	104	53	366	121	48	408	176	68	374	88	0	2,274
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
TOTAL DISBURSEMENTS	1,594	2,558	2,600	1,874	2,403	4,518	1,938	2,728	3,059	4,063	2,162	2,246	0	31,743
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,026	1,234	583	896	628	353	794	910	370	344	784	416	(490)	7,848
Transfers to Other Funds	(40)	(110)	(7)	(6)	(7)	(55)	(16)	(4)	(5)	(41)	(73)	(1,080)	490	(954)
NET OTHER FINANCING SOURCES (USES)	986	1,124	576	890	621	298	778	906	365	303	711	(664)	0	6,894
Excess/(Deficiency) of Receipts over Disbursements	1,073	237	(169)	709	44	(1,881)	564	(255)	(723)	379	467	(836)	0	(391)
CLOSING BALANCE	4,805	5,042	4,873	5,582	5,626	3,745	4,309	4,054	3,331	3,710	4,177	3,341	0	3,341

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2018
(dollars in millions)

	2017 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	540	(73)	(633)	352	17	(308)	349	(8)	(358)	369	(434)	(799)	540
RECEIPTS:													
Miscellaneous Receipts	13	34	8	10	18	9	11	16	11	15	7	60	212
Federal Receipts	3,337	5,032	5,236	3,847	4,452	5,158	3,500	4,910	4,952	4,139	4,049	5,710	54,322
TOTAL RECEIPTS	3,350	5,066	5,244	3,857	4,470	5,167	3,511	4,926	4,963	4,154	4,056	5,770	54,534
DISBURSEMENTS:													
School Aid	213	234	234	227	227	227	227	227	227	227	227	226	2,723
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	51	91	89	74	74	74	75	75	75	64	6	4	752
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	3,021	4,423	2,931	3,020	3,655	2,857	2,781	4,069	2,684	3,843	3,136	2,739	39,159
Public Health	60	208	237	121	126	231	115	111	244	118	171	289	2,031
Mental Hygiene	13	13	9	11	12	15	10	12	11	13	11	33	163
Children and Families	91	142	37	76	87	186	37	37	37	37	37	34	838
Temporary & Disability Assistance	161	157	260	259	259	303	282	306	417	313	316	411	3,444
Transportation	6	2	2	2	2	2	2	2	2	2	2	8	34
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	122	(132)	65	96	111	112	17	109	116	93	107	108	924
Total Local Assistance Grants	3,738	5,138	3,864	3,886	4,553	4,007	3,546	4,948	3,813	4,710	4,013	3,852	50,068
Personal Service	50	65	49	46	47	48	46	67	48	45	46	60	617
Non-Personal Service	47	85	112	114	118	124	92	118	119	116	125	214	1,384
Total Departmental Operations	97	150	161	160	165	172	138	185	167	161	171	274	2,001
General State Charges	7	27	44	8	19	48	9	17	51	8	23	53	314
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,842	5,315	4,069	4,054	4,737	4,227	3,693	5,150	4,031	4,879	4,207	4,179	52,383
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	3	0	0	3	0	0	3	0	0	3	12
Transfers to Other Funds	(121)	(311)	(193)	(138)	(58)	(286)	(175)	(126)	(208)	(78)	(214)	(156)	(2,064)
NET OTHER FINANCING SOURCES/(USES)	(121)	(311)	(190)	(138)	(58)	(283)	(175)	(126)	(205)	(78)	(214)	(153)	(2,052)
Excess/(Deficiency) of Receipts over Disbursements	(613)	(560)	(985)	(335)	(325)	(657)	(357)	(350)	(727)	(803)	(365)	(1,438)	99
CLOSING BALANCE	(73)	(633)	352	17	(308)	349	(8)	(358)	369	(434)	(799)	639	639

**CASHFLOW
DEBT SERVICE FUNDS
FY 2018
(dollars in millions)**

	2017 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	144	426	613	448	924	1,162	520	929	1,160	822	2,610	2,538	144
RECEIPTS:													
Personal Income Tax	1,250	566	1,247	702	758	1,296	683	586	1,386	2,178	859	835	12,346
Consumption/Use Taxes	473	496	700	534	514	705	530	527	687	560	462	633	6,821
Other Taxes	95	89	93	93	97	98	96	85	89	98	84	68	1,085
Total Taxes	1,818	1,151	2,040	1,329	1,369	2,099	1,309	1,198	2,162	2,836	1,405	1,536	20,252
Miscellaneous Receipts	50	33	36	61	42	31	29	29	26	55	38	29	459
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	34	73
TOTAL RECEIPTS	1,868	1,184	2,076	1,390	1,413	2,165	1,338	1,227	2,188	2,891	1,445	1,599	20,784
DISBURSEMENTS:													
Departmental Operations	1	5	5	0	13	3	0	2	2	2	2	3	37
Debt Service	87	148	182	29	318	827	34	90	539	29	656	2,380	5,319
TOTAL DISBURSEMENTS	88	153	187	29	331	830	34	92	541	30	658	2,383	5,356
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	390	145	200	282	82	434	453	130	217	383	147	902	3,765
Transfers to Other Funds	(1,888)	(989)	(2,254)	(1,167)	(926)	(2,411)	(1,348)	(1,034)	(2,202)	(1,456)	(1,006)	(2,509)	(19,190)
NET OTHER FINANCING SOURCES/(USES)	(1,498)	(844)	(2,054)	(885)	(844)	(1,977)	(895)	(904)	(1,985)	(1,073)	(859)	(1,607)	(15,425)
Excess/(Deficiency) of Receipts over Disbursements	282	187	(165)	476	238	(642)	409	231	(338)	1,788	(72)	(2,391)	3
CLOSING BALANCE	426	613	448	924	1,162	520	929	1,160	822	2,610	2,538	147	147

CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2018
(dollars in millions)

	2017 April Projected	2017 May Projected	2017 June Projected	2017 July Projected	2017 August Projected	2017 September Projected	2017 October Projected	2017 November Projected	2017 December Projected	2018 January Projected	2018 February Projected	2018 March Projected	Total
OPENING BALANCE	(1,060)	(1,047)	(1,355)	(1,631)	(1,551)	(1,734)	(2,164)	(2,183)	(2,640)	(2,411)	(2,451)	(2,597)	(1,060)
RECEIPTS:													
Consumption/Use Taxes	52	9	54	57	56	54	55	53	54	51	48	45	588
Business Taxes	47	48	52	55	49	55	52	50	55	50	53	53	620
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	99	57	118	124	117	121	119	115	122	113	113	109	1,327
Miscellaneous Receipts	93	100	282	1,497	83	299	759	74	1,880	150	81	1,644	6,942
Federal Receipts	136	87	155	161	166	284	122	289	170	126	124	426	2,246
TOTAL RECEIPTS	328	244	555	1,782	366	704	1,000	478	2,172	389	318	2,179	10,515
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	4	3	3	3	3	3	3	3	3	3	1	32
Public Health	0	9	9	14	11	21	29	15	19	71	21	239	458
Mental Hygiene	6	15	9	8	6	11	11	13	11	14	12	36	152
School Aid	0	21	42	21	21	21	42	42	42	83	83	157	575
Temporary & Disability Assistance	0	0	16	0	0	16	0	0	16	0	0	15	63
Transportation	34	157	167	156	147	202	150	319	156	147	161	354	2,150
All Other Local	212	105	143	211	131	86	136	146	184	73	74	233	1,734
Total Local Assistance	252	311	389	413	319	360	371	538	431	391	354	1,035	5,164
Economic Development	1	46	71	43	50	40	69	41	59	47	49	128	644
Parks & the Environment	20	60	104	98	73	89	65	64	65	64	64	66	832
Transportation	216	333	461	383	331	502	255	400	359	215	223	311	3,989
Health & Social Welfare	2	1	12	10	15	15	15	16	14	17	18	31	166
Mental Hygiene	17	23	23	19	20	20	17	20	23	18	20	38	258
Public Protection	19	37	53	42	47	45	45	45	45	39	43	89	549
Education	49	66	66	88	92	95	68	80	73	72	83	61	893
All Other	26	88	106	96	90	100	98	120	123	124	119	(474)	616
Total Capital Projects	350	654	896	779	718	906	632	786	761	596	619	250	7,947
TOTAL DISBURSEMENTS	602	965	1,285	1,192	1,037	1,266	1,003	1,324	1,192	987	973	1,285	13,111
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	316	441	485	(482)	520	403	12	417	(720)	586	549	529	3,056
Transfers to Other Funds	(29)	(28)	(31)	(28)	(32)	(271)	(28)	(28)	(31)	(28)	(40)	(892)	(1,466)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	988
NET OTHER FINANCING SOURCES/(USES)	287	413	454	(510)	488	(132)	(16)	389	(751)	558	509	625	2,578
Excess/(Deficiency) of Receipts over Disbursements	13	(308)	(276)	80	(183)	(430)	(49)	(457)	229	(40)	(146)	1,519	(18)
CLOSING BALANCE	(1,047)	(1,355)	(1,631)	(1,551)	(1,734)	(2,164)	(2,183)	(2,640)	(2,411)	(2,451)	(2,597)	(1,078)	(1,078)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2018
(dollars in millions)

	2017 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(491)	(502)	(770)	(1,048)	(951)	(1,167)	(1,637)	(1,680)	(2,095)	(1,915)	(1,961)	(2,099)	(491)
RECEIPTS:													
Consumption/Use Taxes	52	9	54	57	56	54	55	53	54	51	48	45	588
Business Taxes	47	48	52	55	49	55	52	50	56	50	53	53	620
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	99	57	118	124	117	121	119	115	122	113	113	109	1,327
Miscellaneous Receipts	92	100	282	1,497	83	299	759	74	1,880	150	81	1,644	6,941
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	191	157	400	1,621	200	422	878	189	2,002	263	194	1,756	8,273
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	4	3	3	3	3	3	3	3	3	3	1	32
Public Health	0	9	8	14	10	17	29	15	15	34	10	228	389
Mental Hygiene	6	15	9	8	6	11	11	13	11	14	12	36	152
School Aid	0	21	42	21	21	21	42	42	42	83	83	157	575
Temporary & Disability Assistance	0	0	0	0	0	16	0	0	16	0	0	15	63
Transportation	3	135	136	137	138	142	139	138	137	136	134	294	1,669
All Other Local	212	91	129	197	117	72	122	132	170	59	60	217	1,578
Total Local Assistance Grants	221	275	343	380	295	282	346	343	394	329	302	948	4,458
Economic Development	1	46	71	43	50	40	69	41	59	47	49	128	644
Parks & the Environment	20	59	103	96	71	88	64	63	64	63	63	63	817
Transportation	136	247	363	245	229	347	188	270	284	163	179	266	2,919
Health & Social Welfare	2	1	11	9	14	14	14	15	13	16	17	31	157
Mental Hygiene	17	23	23	19	20	20	17	20	20	18	20	38	258
Public Protection	17	35	51	40	45	43	43	43	43	37	40	86	523
Education	49	66	66	88	92	95	68	80	73	72	83	61	893
All Other	26	86	104	94	88	98	96	118	121	122	117	(477)	593
Total Capital Projects	268	563	792	634	609	745	559	650	680	538	568	198	6,804
TOTAL DISBURSEMENTS	489	838	1,135	1,014	904	1,027	905	993	1,074	867	870	1,146	11,262
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	316	441	485	(482)	520	403	12	417	(720)	586	578	861	3,417
Transfers to Other Funds	(29)	(28)	(28)	(28)	(32)	(268)	(28)	(28)	(28)	(28)	(40)	(889)	(1,454)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	988
NET OTHER FINANCING SOURCES/(USES)	287	413	457	(510)	488	135	(16)	389	(748)	558	538	960	2,951
Excess/(Deficiency) of Receipts over Disbursements	(111)	(268)	(278)	97	(216)	(470)	(43)	(415)	180	(46)	(138)	1,570	(38)
CLOSING BALANCE	(502)	(770)	(1,048)	(951)	(1,167)	(1,637)	(1,680)	(2,095)	(1,915)	(1,961)	(2,099)	(529)	(529)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2018
(dollars in millions)

	2017 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total
	(569)	(545)	(585)	(583)	(600)	(567)	(527)	(503)	(545)	(496)	(490)	(498)	(569)
OPENING BALANCE													
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	0	0	0	0	0	1
Federal Receipts	136	87	155	161	166	282	122	289	170	126	124	423	2,241
TOTAL RECEIPTS	137	87	155	161	166	282	122	289	170	126	124	423	2,242
DISBURSEMENTS:													
Public Health	0	0	1	0	1	4	0	0	4	37	11	11	69
Transportation	31	22	31	19	9	60	11	181	19	11	27	60	481
All Other Local	0	14	14	14	14	14	14	14	14	14	14	16	156
Total Local Assistance Grants	31	36	46	33	24	78	25	195	37	62	52	87	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	0	1	1	2	2	1	1	1	1	1	1	3	15
Transportation	80	86	98	138	102	155	67	130	75	52	44	43	1,070
Health & Social Welfare	0	0	1	1	1	1	1	1	1	1	1	0	9
Mental Hygiene	2	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	2	2	2	2	2	2	2	2	3	3	26
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	2	2	2	2	2	2	2	2	2	2	3	23
Total Capital Projects	82	91	104	145	109	161	73	136	81	58	51	52	1,143
TOTAL DISBURSEMENTS	113	127	150	178	133	239	98	331	118	120	103	139	1,849
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	(32)	(361)
Transfers to Other Funds	0	0	(3)	0	0	(3)	0	0	(3)	0	(29)	(3)	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	0	0	(3)	0	0	(3)	0	0	(3)	0	(29)	(335)	(373)
Excess/(Deficiency) of Receipts over Disbursements	24	(40)	2	(17)	33	40	24	(42)	49	6	(8)	(51)	20
CLOSING BALANCE	(545)	(585)	(583)	(600)	(567)	(527)	(503)	(545)	(496)	(490)	(498)	(549)	(549)

**CASHFLOW
STATE FUNDS
FY 2018**
(dollars in millions)

	2017 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2018 January Projected	February Projected	March Projected	Total	Intra-Fund Transfer Eliminations
OPENING BALANCE	11,134	12,134	7,101	6,739	8,914	8,483	8,453	9,130	7,004	9,751	13,989	14,058	11,134	
RECEIPTS:														
Personal Income Tax	5,001	2,263	4,989	2,807	3,031	5,184	2,731	2,344	5,545	8,711	3,436	3,340	49,382	0
Consumption/Use Taxes	1,230	1,201	1,718	1,356	1,297	1,700	1,341	1,332	1,664	1,398	1,130	1,494	16,861	0
Business Taxes	603	162	892	15	282	1,264	118	291	1,645	154	197	2,346	7,969	0
Other Taxes	306	289	292	283	311	307	319	290	302	395	331	292	3,714	0
Total Taxes	7,140	3,912	7,891	4,461	4,921	8,455	4,509	4,257	9,156	10,658	5,094	7,472	77,926	0
Abandoned Property	0	0	0	0	0	35	30	155	50	5	0	180	450	0
ABC License Fee	6	5	5	5	5	6	6	5	5	5	6	4	63	0
HCRA	396	433	440	433	378	405	419	425	416	364	417	399	4,925	0
Investment Income	4	1	2	1	2	1	2	1	2	1	2	2	21	0
Licenses, Fees, Etc.	27	70	55	50	60	35	50	55	65	70	30	86	653	0
Lottery	263	315	265	269	335	272	275	287	253	303	248	300	3,385	0
Medicaid	71	79	63	79	63	63	79	63	63	79	63	67	832	0
Motor Vehicle Fees	71	42	55	58	53	55	53	53	47	51	51	56	645	0
Reimbursements	2	15	45	10	5	5	5	25	45	10	20	57	289	0
State University Income	338	248	231	279	380	676	386	259	260	459	651	352	4,519	0
Other Transactions	302	402	702	1,849	430	666	1,007	271	2,193	414	315	1,963	10,514	0
Total Miscellaneous Receipts	1,480	1,610	1,863	3,033	1,711	2,264	2,312	1,599	3,399	1,756	1,803	3,466	26,296	0
Federal Receipts	0	0	0	0	2	37	0	0	0	0	2	38	79	0
TOTAL RECEIPTS	8,620	5,522	9,754	7,494	6,634	10,756	6,821	5,856	12,555	12,414	6,899	10,976	104,301	0
DISBURSEMENTS:														
School Aid	868	3,409	2,280	92	706	3,771	1,140	1,777	2,185	724	870	8,515	26,337	0
Higher Education	19	19	947	234	127	237	108	46	185	78	316	483	2,799	0
All Other Education	95	579	160	122	260	123	34	176	281	34	176	378	2,301	0
STAR	0	0	58	0	0	109	5	15	144	2,289	0	10	2,630	0
Medicaid - DOH	1,765	2,451	1,460	1,343	1,714	1,546	1,428	1,854	1,457	1,535	1,436	1,008	18,997	0
Public Health	111	234	267	157	103	113	114	84	144	202	64	299	1,892	0
Mental Hygiene	45	130	454	99	55	484	87	65	518	105	130	464	2,636	0
Children and Families	36	45	355	72	72	269	72	73	269	72	106	212	1,653	0
Temporary & Disability Assistance	95	104	179	104	105	121	104	106	122	105	104	130	1,279	0
Transportation	248	648	556	474	648	560	488	762	1,044	336	396	534	6,694	0
Unrestricted Aid	0	12	389	0	0	101	7	0	187	0	0	65	761	0
All Other	254	26	320	251	194	134	182	232	262	125	147	310	2,437	0
Total Local Assistance Grants	3,536	7,657	7,425	2,948	3,984	7,568	3,791	5,048	6,798	5,608	3,745	12,408	70,516	0
Personal Service	1,050	1,299	1,034	973	1,225	960	960	1,272	1,010	1,020	994	1,113	12,910	0
Non-Personal Service	322	510	479	410	510	503	444	472	512	468	490	662	5,782	0
Total Departmental Operations	1,372	1,809	1,513	1,383	1,735	1,463	1,404	1,744	1,522	1,488	1,484	1,775	18,692	0
General State Charges	2,452	686	394	458	474	473	527	571	466	587	613	362	8,063	0
Debt Service	87	148	182	29	318	827	34	90	539	29	656	2,380	5,319	0
Capital Projects	268	563	792	634	609	745	559	650	680	538	568	200	6,806	0
TOTAL DISBURSEMENTS	7,715	10,863	10,306	5,452	7,120	11,076	6,315	8,103	10,005	8,250	7,066	17,125	109,996	0
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,485	2,702	3,423	1,759	2,047	3,595	2,511	2,397	1,964	2,699	2,552	5,434	34,078	(490)
Transfers to other funds	(3,390)	(2,394)	(3,233)	(1,626)	(1,992)	(3,305)	(2,340)	(2,276)	(1,767)	(2,625)	(2,316)	(4,988)	(31,762)	490
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	988	988	0
NET OTHER FINANCING SOURCES/(USES)	95	308	190	133	55	290	171	121	197	74	236	1,434	3,304	0
Excess/(Deficiency) of Receipts over Disbursements	1,000	(5,033)	(362)	2,175	(431)	(30)	677	(2,126)	2,747	4,238	69	(4,715)	(1,791)	0
CLOSING BALANCE	12,134	7,101	6,739	8,914	8,483	8,453	9,130	7,004	9,751	13,989	14,058	9,343	9,343	0

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018
(millions of dollars)

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Opening Fund Balance	<u>0</u>	<u>12</u>	<u>12</u>
Receipts:			
Taxes	854	(7)	847
Miscellaneous receipts	<u>4,848</u>	<u>77</u>	<u>4,925</u>
Total Receipts	<u>5,702</u>	<u>70</u>	<u>5,772</u>
Disbursements and Transfers:			
Medical Assistance Account	3,840	72	3,912
Hospital Indigent Care Fund	892	0	892
HCRA Program Account	330	77	407
Child Health Plus (CHP)	238	0	238
Elderly Pharmaceutical Insurance Coverage (EPIC)	145	0	145
SHIN-NY/APCD	40	0	40
New York State of Health	66	(66)	0
All Other	<u>151</u>	<u>(1)</u>	<u>150</u>
Total	<u>5,702</u>	<u>82</u>	<u>5,784</u>
Change in Fund Balance	<u>0</u>	<u>(12)</u>	<u>(12)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018 THROUGH FY 2021
(millions of dollars)

	<u>FY 2018</u> <u>Enacted</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>	<u>FY 2021</u> <u>Projected</u>
Opening Fund Balance	12	0	0	0
Receipts:				
Taxes	847	816	781	748
Miscellaneous receipts	4,925	4,980	5,036	5,040
Total Receipts	<u>5,772</u>	<u>5,796</u>	<u>5,817</u>	<u>5,788</u>
Disbursements and Transfers:				
Medical Assistance Account	3,912	3,888	3,774	3,659
Hospital Indigent Care Fund	892	892	892	892
HCRA Program Account	407	407	407	406
Child Health Plus (CHP)	238	254	384	542
Elderly Pharmaceutical Insurance Coverage (EPIC)	145	140	140	140
SHIN-NY/APCD	40	40	40	0
All Other	150	175	180	149
Total	<u>5,784</u>	<u>5,796</u>	<u>5,817</u>	<u>5,788</u>
Change in Fund Balance	<u>(12)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017 and FY 2018
(millions of dollars)

	<u>FY 2017</u> <u>Results</u>	<u>FY 2018</u> <u>Enacted</u>	<u>Annual</u> <u>Change</u>
Opening Fund Balance	78	12	(66)
Receipts:			
Taxes	876	847	(29)
Miscellaneous receipts	4,923	4,925	2
	<u>5,799</u>	<u>5,772</u>	<u>(27)</u>
Disbursements and Transfers:			
Medical Assistance Account	3,981	3,912	(69)
Hospital Indigent Care Fund	965	892	(73)
HCRA Program Account	413	407	(6)
Child Health Plus (CHP)	223	238	15
Elderly Pharmaceutical Insurance Coverage (EPIC)	143	145	2
SHIN-NY/APCD	10	40	30
All Other	130	150	20
Total	<u>5,865</u>	<u>5,784</u>	<u>(81)</u>
Change in Fund Balance	<u>(66)</u>	<u>(12)</u>	<u>54</u>
Closing Fund Balance	<u>12</u>	<u>0</u>	<u>(12)</u>

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017**
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	78	175	151	253	136	159	214	227	143	252	234	167	78
Receipts:													
Taxes	70	70	87	75	86	76	73	79	72	73	57	60	876
Miscellaneous receipts	366	433	440	435	394	405	418	383	466	393	408	381	4,923
Total Receipts	436	504	527	510	479	481	490	461	539	466	465	441	5,799
Disbursements and Transfers:													
Medical Assistance Account	260	355	249	415	338	287	326	442	314	352	436	207	3,981
Hospital Indigent Care Fund	0	143	36	156	64	63	97	65	62	59	66	153	965
HCRA Program Account	42	4	114	25	25	1	25	10	3	41	5	120	413
Child Health Plus (CHP)	17	15	10	16	12	42	14	13	30	12	15	28	223
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	10	13	13	14	14	13	13	13	15	6	15	143
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	17	2	3	2	3	18	2	2	9	3	5	62	130
Total	339	529	425	626	457	425	477	546	430	483	533	596	5,865
Change in Fund Balance	97	(25)	102	(117)	23	55	13	(84)	109	(17)	(68)	(155)	(66)
Closing Fund Balance	175	151	253	136	159	214	227	143	252	234	167	12	12

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2018**
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening Fund Balance	12	110	101	101	92	102	97	93	99	91	103	99	12
Receipts:													
Taxes	64	68	84	72	83	74	71	76	70	70	56	59	847
Miscellaneous receipts	396	433	440	433	378	405	419	425	416	364	417	399	4,925
Total Receipts	460	502	524	505	461	479	490	501	487	434	473	457	5,772
Disbursements and Transfers:													
Medical Assistance Account	250	377	248	301	329	347	357	396	363	216	381	347	3,912
Hospital Indigent Care Fund	69	69	70	156	64	63	81	65	62	66	62	65	892
HCRA Program Account	38	0	158	22	21	11	22	0	14	109	2	11	407
Child Health Plus (CHP)	(1)	28	31	14	14	31	14	14	31	14	14	31	238
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	15	13	13	14	14	14	14	13	11	10	12	145
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	2	21	5	6	9	18	6	5	13	6	9	49	150
Total	362	511	525	514	451	483	494	495	494	422	477	556	5,784
Change in Fund Balance	98	(10)	(0)	(9)	10	(5)	(4)	6	(8)	12	(4)	(99)	(12)
Closing Fund Balance	110	101	101	92	102	97	93	99	91	103	99	0	0

**CASH FINANCIAL PLAN
PROPRIETARY AND FIDUCIARY FUNDS**
(millions of dollars)

	FY 2017 Results			FY 2018 Enacted			FY 2019 Projected			FY 2020 Projected			FY 2021 Projected			
	Internal Service	Fiduciary	Enterprise	Internal Service	Fiduciary	Enterprise	Internal Service	Fiduciary	Enterprise	Internal Service	Fiduciary	Enterprise	Internal Service	Fiduciary	Enterprise	
Opening Fund Balance	(127)	(47)	66	(200)	(38)	23	(185)	(69)	23	(166)	(63)	22	(139)	(58)	22	
Receipts:																
Unemployment Taxes	0	0	2,156	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	443	(1)	59	651	5	73	644	5	73	645	5	73	646	5	73	5
Federal Receipts	0	0	19	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	443	(1)	2,234	651	5	2,573	644	5	2,573	645	5	2,573	646	5	2,573	5
Disbursements:																
Local Assistance Grants	0	0	0	9	73	0	9	40	0	9	31	0	9	31	0	31
Departmental Operations:																
Personal Service	94	0	5	108	0	9	107	9	9	107	10	10	110	0	10	0
Non-Personal Service	421	0	51	533	0	60	530	0	60	530	0	60	538	0	60	0
Unemployment Benefits	0	0	2,220	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	53	0	1	58	0	3	59	0	3	59	0	3	60	0	3	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	568	0	2,277	708	73	2,572	705	40	2,572	705	31	2,573	717	31	2,573	31
Other Financing Sources (Uses):																
Transfers from Other Funds	88	10	0	109	37	0	108	41	0	108	31	0	108	31	0	31
Transfers to Other Funds	(36)	0	0	(37)	0	(1)	(28)	0	(1)	(21)	0	(1)	(12)	0	(1)	0
	52	10	0	72	37	(1)	80	41	(1)	87	31	(1)	96	31	(1)	31
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	(73)	9	(43)	15	(31)	0	19	6	0	27	5	(1)	25	(1)	(1)	5
Closing Fund Balance	(200)	(38)	23	(185)	(69)	23	(166)	(63)	23	(139)	(58)	22	(114)	(53)	21	(53)

Workforce Impact Summary

General Fund 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,402	2,440	2,362
Corrections and Community Supervision, Department of	28,742	28,848	27,305
Education Department, State	280	278	279
Environmental Conservation, Department of	1,065	941	933
General Services, Office of	1,073	1,188	894
Health, Department of	1,482	1,539	1,592
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	0	0	1
Parks, Recreation and Historic Preservation, Office of	1,372	1,268	1,124
People with Developmental Disabilities, Office for	0	0	0
State Police, Division of	5,369	5,295	5,300
Taxation and Finance, Department of	4,154	3,888	3,277
Temporary and Disability Assistance, Office of	949	1,002	1,033
Subtotal - Major Agencies	50,484	50,173	47,506
Minor Agencies	3,386	3,518	3,537
Subtotal - Subject to Direct Executive Control	53,870	53,691	51,043
Independently Elected Agencies			
Audit and Control, Department of	1,297	1,356	1,425
Law, Department of	1,075	1,075	1,065
Subtotal - Independently Elected Agencies	2,372	2,431	2,490
Grand Total	56,242	56,122	53,533

Workforce Impact Summary

General Fund 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	377	380	357
Alcoholic Beverage Control, Division of	0	112	127
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	27	28	30
Budget, Division of the	222	224	245
Civil Service, Department of	141	158	171
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	379	377	399
Economic Development, Department of	146	146	147
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Gaming Commission, New York State	53	56	57
Housing and Community Renewal, Division of	78	67	54
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Inspector General, Office of the	89	92	109
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	400	402	422
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	139	145	94
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
State, Department of	199	198	139
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	3,386	3,518	3,537

Workforce Impact Summary

State Operating Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,441	2,483	2,406
Corrections and Community Supervision, Department of	28,746	28,851	27,309
Education Department, State	1,258	1,216	1,263
Environmental Conservation, Department of	2,238	2,147	2,124
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,131	1,247	907
Health, Department of	3,697	3,614	3,693
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	371	348	508
Mental Health, Office of	14,350	14,156	13,191
Motor Vehicles, Department of	669	501	503
Parks, Recreation and Historic Preservation, Office of	1,574	1,509	1,305
People with Developmental Disabilities, Office for	18,953	18,947	18,235
State Police, Division of	5,435	5,645	5,636
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	949	1,002	1,033
Transportation, Department of	82	90	46
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	92,183	91,635	88,090
Minor Agencies	6,579	6,577	6,798
Subtotal - Subject to Direct Executive Control	98,762	98,212	94,888
University Systems			
City University of New York	338	391	383
State University of New York	44,249	45,314	42,869
Subtotal - University Systems	44,587	45,705	43,252
Independently Elected Agencies			
Audit and Control, Department of	1,467	1,530	1,603
Law, Department of	1,559	1,562	1,583
Subtotal - Independently Elected Agencies	3,026	3,092	3,186
Grand Total	146,375	147,009	141,326

Workforce Impact Summary

State Operating Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	421	427	400
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	730	725
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	142	160	176
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	383	379	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	412
Housing and Community Renewal, Division of	600	564	561
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	417	417	436
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	143	147	96
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	495
State, Department of	494	486	508
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Victim Services, Office of	44	51	54
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	6,579	6,577	6,798

Workforce Impact Summary

State Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,445	2,487	2,475
Corrections and Community Supervision, Department of	28,774	28,881	28,318
Education Department, State	1,258	1,216	1,263
Environmental Conservation, Department of	2,617	2,680	2,668
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,131	1,247	1,235
Health, Department of	3,770	3,684	3,831
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	371	348	508
Mental Health, Office of	14,382	14,209	13,874
Motor Vehicles, Department of	2,146	2,166	2,239
Parks, Recreation and Historic Preservation, Office of	1,734	1,733	1,730
People with Developmental Disabilities, Office for	18,953	18,947	18,612
State Police, Division of	5,435	5,645	5,711
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	954	1,007	1,041
Transportation, Department of	8,361	8,423	8,327
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	102,620	102,552	101,763
Minor Agencies	6,579	6,592	6,868
Subtotal - Subject to Direct Executive Control	109,199	109,144	108,631
University Systems			
City University of New York	338	391	383
State University Construction Fund	143	144	152
State University of New York	44,249	45,314	44,732
Subtotal - University Systems	44,730	45,849	45,267
Independently Elected Agencies			
Audit and Control, Department of	1,467	1,530	1,603
Law, Department of	1,564	1,566	1,590
Subtotal - Independently Elected Agencies	3,031	3,096	3,193
Grand Total	156,960	158,089	157,091

Workforce Impact Summary

State Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	10	11	12
Agriculture and Markets, Department of	421	427	400
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	745	741
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	142	160	176
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	383	379	404
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	79
Employee Relations, Office of	22	26	26
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	412
Housing and Community Renewal, Division of	600	564	561
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	139	125	124
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	417	417	436
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	224	217	214
Military and Naval Affairs, Division of	143	147	150
Prevention of Domestic Violence, Office for	17	18	18
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	495
State, Department of	494	486	508
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	76	82	90
Victim Services, Office of	44	51	54
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	6,579	6,592	6,868

Workforce Impact Summary

All Funds

2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	2,842	2,932	2,965
Corrections and Community Supervision, Department of	29,094	29,191	29,254
Education Department, State	2,700	2,590	2,692
Environmental Conservation, Department of	2,900	2,956	2,945
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	1,643	1,755	1,805
Health, Department of	4,898	4,852	5,082
Information Technology Services, Office of	3,596	3,486	3,406
Labor, Department of	2,880	2,861	2,992
Mental Health, Office of	14,391	14,221	13,903
Motor Vehicles, Department of	2,163	2,184	2,256
Parks, Recreation and Historic Preservation, Office of	1,751	1,752	1,749
People with Developmental Disabilities, Office for	18,963	18,959	18,630
State Police, Division of	5,435	5,645	5,711
Taxation and Finance, Department of	4,249	3,946	3,978
Temporary and Disability Assistance, Office of	1,868	1,973	2,026
Transportation, Department of	8,419	8,487	8,453
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	110,236	110,237	110,394
Minor Agencies	7,626	7,672	8,087
Subtotal - Subject to Direct Executive Control	117,862	117,909	118,481
University Systems			
City University of New York	13,681	13,635	13,549
State University Construction Fund	143	144	152
State University of New York	44,250	45,316	44,732
Subtotal - University Systems	58,074	59,095	58,433
Independently Elected Agencies			
Audit and Control, Department of	2,508	2,647	2,663
Law, Department of	1,776	1,787	1,839
Subtotal - Independently Elected Agencies	4,284	4,434	4,502
Grand Total	180,220	181,438	181,416

Workforce Impact Summary

All Funds
2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Adirondack Park Agency	54	53	54
Aging, Office for the	93	90	95
Agriculture and Markets, Department of	467	472	483
Alcoholic Beverage Control, Division of	111	112	127
Alcoholism and Substance Abuse Services, Office of	740	745	741
Arts, Council on the	27	28	30
Budget, Division of the	237	234	261
Civil Service, Department of	300	324	347
Correction, Commission of	30	31	32
Criminal Justice Services, Division of	415	410	436
Deferred Compensation Board	4	4	4
Economic Development, Department of	147	147	148
Elections, State Board of	73	75	80
Employee Relations, Office of	30	32	37
Executive Chamber	122	121	136
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	381	410	404
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	449	467	570
Housing and Community Renewal, Division of	693	644	682
Hudson River Valley Greenway Communities Council	1	0	1
Human Rights, Division of	158	155	164
Indigent Legal Services, Office of	18	17	30
Inspector General, Office of the	89	92	109
Interest on Lawyer Account	8	9	8
Judicial Conduct, Commission on	43	44	50
Justice Center for the Protection of People with Special Needs	417	417	441
Labor Management Committees	72	75	77
Lieutenant Governor, Office of the	5	5	7
Medicaid Inspector General, Office of the	446	432	426
Military and Naval Affairs, Division of	326	366	354
Prevention of Domestic Violence, Office for	26	26	28
Public Employment Relations Board	31	30	33
Public Ethics, Joint Commission on	47	51	58
Public Service Department	486	508	520
State, Department of	510	499	525
Statewide Financial System	138	137	141
Tax Appeals, Division of	24	23	27
Veterans' Affairs, Division of	82	89	98
Victim Services, Office of	68	79	92
Welfare Inspector General, Office of	6	7	7
Subtotal - Minor Agencies	7,626	7,672	8,087

Workforce Impact Summary

Special Revenue Funds - Other 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	39	43	44
Corrections and Community Supervision, Department of	4	3	4
Education Department, State	978	938	984
Environmental Conservation, Department of	1,173	1,206	1,191
Financial Services, Department of	1,351	1,325	1,382
General Services, Office of	58	59	13
Health, Department of	2,215	2,075	2,101
Labor, Department of	371	348	507
Mental Health, Office of	14,350	14,156	13,191
Motor Vehicles, Department of	669	501	503
Parks, Recreation and Historic Preservation, Office of	202	241	181
People with Developmental Disabilities, Office for	18,953	18,947	18,235
State Police, Division of	66	350	336
Taxation and Finance, Department of	95	58	701
Transportation, Department of	82	90	46
Workers' Compensation Board	1,093	1,122	1,165
Subtotal - Major Agencies	41,699	41,462	40,584
Minor Agencies	3,193	3,059	3,261
Subtotal - Subject to Direct Executive Control	44,892	44,521	43,845
University Systems			
City University of New York	338	391	383
State University of New York	44,249	45,314	42,869
Subtotal - University Systems	44,587	45,705	43,252
Independently Elected Agencies			
Audit and Control, Department of	170	174	178
Law, Department of	484	487	518
Subtotal - Independently Elected Agencies	654	661	696
Grand Total	90,133	90,887	87,793

Workforce Impact Summary

Special Revenue Funds - Other 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Agriculture and Markets, Department of	44	47	43
Alcoholic Beverage Control, Division of	111	0	0
Alcoholism and Substance Abuse Services, Office of	738	728	725
Budget, Division of the	15	10	16
Civil Service, Department of	1	2	5
Criminal Justice Services, Division of	4	2	5
Deferred Compensation Board	4	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	11	12	12
Gaming Commission, New York State	328	354	347
Higher Education Services Corporation, New York State	241	200	212
Homeland Security and Emergency Services, Division of	301	312	412
Housing and Community Renewal, Division of	522	497	507
Indigent Legal Services, Office of	18	17	30
Interest on Lawyer Account	8	9	8
Justice Center for the Protection of People with Special Needs	17	15	14
Military and Naval Affairs, Division of	4	2	2
Public Service Department	486	508	495
State, Department of	295	288	369
Victim Services, Office of	44	51	54
Subtotal - Minor Agencies	3,193	3,059	3,261

Workforce Impact Summary

Special Revenue Funds - Federal 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	354	367	391
Corrections and Community Supervision, Department of	37	37	646
Education Department, State	1,292	1,227	1,285
Environmental Conservation, Department of	276	271	269
Health, Department of	1,128	1,122	1,211
Labor, Department of	2,487	2,494	2,468
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	17	18	17
Parks, Recreation and Historic Preservation, Office of	17	19	19
People with Developmental Disabilities, Office for	10	12	18
Temporary and Disability Assistance, Office of	914	966	985
Transportation, Department of	58	64	126
Subtotal - Major Agencies	6,590	6,597	7,446
Minor Agencies			
Aging, Office for the	83	79	83
Agriculture and Markets, Department of	6	6	35
Criminal Justice Services, Division of	32	31	32
Elections, State Board of	0	0	1
Homeland Security and Emergency Services, Division of	148	155	158
Housing and Community Renewal, Division of	93	80	101
Human Rights, Division of	19	30	40
Justice Center for the Protection of People with Special Needs	0	0	5
Medicaid Inspector General, Office of the	222	215	212
Military and Naval Affairs, Division of	183	187	202
Public Service Department	0	0	25
State, Department of	16	13	17
Veterans' Affairs, Division of	6	7	8
Victim Services, Office of	24	28	38
Subtotal - Minor Agencies	832	831	957
Subtotal - Subject to Direct Executive Control	7,422	7,428	8,403
University Systems			
State University of New York	1	2	0
Subtotal - University Systems	1	2	0
Independently Elected Agencies			
Audit and Control, Department of	5	5	5
Law, Department of	212	221	249
Subtotal - Independently Elected Agencies	217	226	254
Grand Total	7,640	7,656	8,657

Workforce Impact Summary

Capital Projects Funds - Other 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	4	4	69
Corrections and Community Supervision, Department of	28	30	1,009
Environmental Conservation, Department of	379	533	544
General Services, Office of	0	0	328
Health, Department of	73	70	138
Mental Health, Office of	32	53	683
Motor Vehicles, Department of	1,477	1,665	1,736
Parks, Recreation and Historic Preservation, Office of	160	224	425
People with Developmental Disabilities, Office for	0	0	377
State Police, Division of	0	0	75
Temporary and Disability Assistance, Office of	5	5	8
Transportation, Department of	8,279	8,333	8,281
Subtotal - Major Agencies	10,437	10,917	13,673
Minor Agencies			
Alcoholism and Substance Abuse Services, Office of	0	15	16
Military and Naval Affairs, Division of	0	0	54
Subtotal - Minor Agencies	0	15	70
Subtotal - Subject to Direct Executive Control	10,437	10,932	13,743
University Systems			
State University Construction Fund	143	144	152
State University of New York	0	0	1,863
Subtotal - University Systems	143	144	2,015
Independently Elected Agencies			
Law, Department of	5	4	7
Subtotal - Independently Elected Agencies	5	4	7
Grand Total	10,585	11,080	15,765

Workforce Impact Summary

Capital Projects Funds - Federal 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Environmental Conservation, Department of	7	5	8
Health, Department of	0	46	40
Temporary and Disability Assistance, Office of	0	0	0
Subtotal - Major Agencies	7	51	48
Minor Agencies			
Housing and Community Renewal, Division of	0	0	20
Military and Naval Affairs, Division of	0	32	0
Subtotal - Minor Agencies	0	32	20
Subtotal - Subject to Direct Executive Control	7	83	68
Grand Total	7	83	68

Workforce Impact Summary

Enterprise Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Corrections and Community Supervision, Department of	7	5	10
General Services, Office of	11	9	62
Mental Health, Office of	0	0	5
Subtotal - Major Agencies	18	14	77
Minor Agencies			
Agriculture and Markets, Department of	38	36	45
Military and Naval Affairs, Division of	0	0	2
Subtotal - Minor Agencies	38	36	47
Subtotal - Subject to Direct Executive Control	56	50	124
Grand Total	56	50	124

Workforce Impact Summary

Internal Service Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Major Agencies			
Children and Family Services, Office of	43	78	99
Corrections and Community Supervision, Department of	276	268	280
Education Department, State	150	147	144
General Services, Office of	501	499	508
Labor, Department of	22	19	16
Mental Health, Office of	9	12	13
Subtotal - Major Agencies	1,001	1,023	1,060
Minor Agencies			
Civil Service, Department of	158	164	171
Employee Relations, Office of	8	6	11
Prevention of Domestic Violence, Office for	9	8	10
Subtotal - Minor Agencies	175	178	192
Subtotal - Subject to Direct Executive Control	1,176	1,201	1,252
Independently Elected Agencies			
Audit and Control, Department of	79	174	78
Subtotal - Independently Elected Agencies	79	174	78
Grand Total	1,255	1,375	1,330

Workforce Impact Summary

Agency Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
University Systems			
City University of New York	13,343	13,244	13,166
Subtotal - University Systems	13,343	13,244	13,166
Grand Total	13,343	13,244	13,166

Workforce Impact Summary

Pension Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Independently Elected Agencies			
Audit and Control, Department of	957	938	977
Subtotal - Independently Elected Agencies	957	938	977
Grand Total	957	938	977

Workforce Impact Summary

Private Purpose Trust Funds 2015-16 Through 2017-18

	2015-16 Actuals (03/31/16)	2016-17 Actuals (03/31/17)	2017-18 Estimate (03/31/18)
Minor Agencies			
Agriculture and Markets, Department of	2	3	3
Subtotal - Minor Agencies	2	3	3
Subtotal - Subject to Direct Executive Control	2	3	3
Grand Total	2	3	3

Impact of SFY 2018 Enacted Budget on Local Governments, LFY Ending in 2017
Includes SFY 2017 Major Local Aid Programs for Local Governments

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid - Total SFY 2017 Major Local Aid Programs	24,544.3	9,843.3	14,701.0	0.0	0.0	0.0
Other Education - Total SFY 2017 Major Local Aid Programs	144.5	0.0	0.0	0.0	0.0	0.0
Special Education - Total SFY 2017 Major Local Aid Programs	1,317.0	694.0	240.0	383.0	0.0	0.0
STAR - Total SFY 2017 Major Local Aid Program	3,334.0	822.0	2,512.0	0.0	0.0	0.0
Medicaid - Total SFY 2017 Major Local Aid Programs/Savings	2,891.0	1,426.0	0.0	1,465.0	0.0	0.0
Human Services						
- Reduce Foster Care Block Grant	(18.8)	(5.3)	0.0	(13.5)	0.0	0.0
- Shift Foster Care Tuition Costs to NYC	(5.8)	(5.8)	0.0	0.0	0.0	0.0
- Shift CSE Maintenance Costs to NYC	(4.7)	(4.7)	0.0	0.0	0.0	0.0
- Reduce Child Care Subsidies	(3.1)	(1.1)	0.0	(2.0)	0.0	0.0
Human Services - Total SFY 2018 Enacted Budget Impact on LFY 2017	(32.4)	(16.9)	0.0	(15.5)	0.0	0.0
Human Services - Total SFY 2017 Major Local Aid Programs	4,428.0	2,801.0	0.0	1,627.0	0.0	0.0
Health - Total SFY 2017 Major Local Aid Programs	473.0	266.0	0.0	207.0	0.0	0.0
Mental Hygiene - Total SFY 2017 Major Local Aid Programs	69.4	34.6	3.0	31.8	0.0	0.0
Transportation						
- Increase Highway Assistance for Extreme Winter Recovery	39.3	2.3	0.0	14.6	3.0	19.4
- Increase Transit Operating Aid	2.9	0.6	0.0	2.3	0.0	0.0
Transportation - Total SFY 2018 Enacted Budget Impact on LFY 2017	42.2	2.9	0.0	16.9	3.0	19.4
Transportation - Total SFY 2017 Major Local Aid Programs	810.0	227.0	0.0	334.0	50.0	199.0
Municipal Aid						
- Provide Aid to the City of Albany	12.5	0.0	0.0	0.0	12.5	0.0
- Provide Aid to Madison County	2.3	0.0	0.0	2.3	0.0	0.0
- Provide Aid to Onondaga County for a School Discipline Project	2.0	0.0	0.0	2.0	0.0	0.0
- Provide Assistance to the City of Jamestown	1.0	0.0	0.0	0.0	1.0	0.0
- Provide Village Per Capita Aid	0.1	0.0	0.0	0.0	0.0	0.1
- Provide Aid to Certain Municipalities through Misc. Financial Assistance	0.9	0.0	0.0	0.4	0.4	0.5
- Provide Interim Financial Assistance to Seneca, Cayuga, and Franklin Counties	0.7	0.0	0.0	0.7	0.0	0.0
Municipal Aid - Total SFY 2018 Enacted Budget Impact on LFY 2017	19.5	0.0	0.0	5.0	13.9	0.6
Municipal Aid - Total SFY 2017 Major Local Aid Programs	744.3	0.0	0.0	2.7	669.4	72.3
Public Protection						
- Reduce DCJS Local Assistance Programs	(1.7)	0.0	0.0	(1.7)	0.0	0.0
Public Protection - Total SFY 2018 Enacted Budget Impact on LFY 2017	(1.7)	0.0	0.0	(1.7)	0.0	0.0
Public Protection - Total SFY 2017 Major Local Aid Programs	178.0	54.0	0.0	124.0	0.0	0.0
Environment - Total SFY 2017 Major Local Aid Programs	175.0	0.0	0.0	10.5	51.0	113.5
All Other - Total SFY 2017 Major Local Aid Programs	405.0	187.0	143.0	29.0	0.0	46.0
Revenue Actions						
- Close Sales Tax Related Entities Loophole	3.8	0.9	0.0	2.6	0.2	0.1
- Extend Warrantless Income Executions	0.8	0.8	0.0	0.0	0.0	0.0
- Apply the Public Safety Communications Surcharge to Prepaid Devices	1.3	0.2	0.0	1.1	0.0	0.0
- Allow Warrantless Bank Account Data Matching	0.3	0.3	0.0	0.0	0.0	0.0
- Exempt Sale of Monuments from Sales Tax	(0.5)	(0.1)	0.0	(0.4)	0.0	0.0
Revenue Actions - Total SFY 2018 Enacted Budget Impact on LFY 2017	5.7	2.1	0.0	3.3	0.2	0.1
Grand Total SFY 2018 Enacted Budget Impact on LFY 2017	33.3	(11.9)	0.0	8.0	17.1	20.1
Grand Total SFY 2017 Major Local Aid Programs/Savings	39,513.5	16,354.9	17,599.0	4,214.0	770.4	430.8

Impact of SFY 2018 Enacted Budget on Local Governments, LFY Ending in 2018
Includes SFY 2018 Major Local Aid Programs for Local Governments

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid						
- Increase School Aid	987.9	386.8	601.1	0.0	0.0	0.0
School Aid - Total SFY 2018 Enacted Budget Impact on LFY 2018	987.9	386.8	601.1	0.0	0.0	0.0
School Aid - Total SFY 2018 Major Local Aid Programs	25,532.1	10,230.1	15,302.0	0.0	0.0	0.0
Other Education - Total SFY 2018 Major Local Aid Programs	194.5	TBD	TBD	0.0	0.0	0.0
Special Education - Total SFY 2018 Major Local Aid Programs	1,337.0	706.0	238.0	393.0	0.0	0.0
STAR - Total SFY 2018 Major Local Aid Program	3,122.0	551.0	2,571.0	0.0	0.0	0.0
Medicaid - Total SFY 2018 Major Local Aid Programs/Savings	3,228.0	1,644.0	0.0	1,584.0	0.0	0.0
Human Services						
- Reduce Foster Care Block Grant	(40.8)	(21.3)	0.0	(19.5)	0.0	0.0
- Shift Foster Care Tuition Costs to NYC	(23.0)	(23.0)	0.0	0.0	0.0	0.0
- Shift CSE Maintenance Costs to NYC	(19.1)	(19.1)	0.0	0.0	0.0	0.0
- Reduce Child Care Subsidies	(7.0)	(4.4)	0.0	(2.6)	0.0	0.0
Human Services - Total SFY 2018 Enacted Budget Impact on LFY 2018	(89.9)	(67.8)	0.0	(22.1)	0.0	0.0
Human Services - Total SFY 2018 Major Local Aid Programs	4,358.0	2,773.0	0.0	1,585.0	0.0	0.0
Health - Total SFY 2018 Major Local Aid Programs	465.0	257.0	0.0	208.0	0.0	0.0
Mental Hygiene - Total SFY 2018 Major Local Aid Programs	70.1	34.9	3.0	32.2	0.0	0.0
Transportation						
- Increase Highway Assistance for Extreme Winter Recovery	25.7	6.8	0.0	4.9	3.0	11.0
- Increase Transit Operating Aid	2.6	1.8	0.0	0.8	0.0	0.0
Transportation - Total SFY 2018 Enacted Budget Impact on LFY 2018	28.3	8.6	0.0	5.7	3.0	11.0
Transportation - Total SFY 2018 Major Local Aid Programs	880.0	238.0	0.0	357.0	56.0	229.0
Municipal Aid						
- Provide Aid to Madison County	2.3	0.0	0.0	2.3	0.0	0.0
- Provide Village Per Capita Aid	1.7	0.0	0.0	0.0	0.0	1.7
- Provide Aid to Certain Municipalities through Misc. Financial Assistance	0.1	0.0	0.0	0.0	0.1	0.0
Municipal Aid - Total SFY 2018 Enacted Budget Impact on LFY 2018	4.1	0.0	0.0	2.3	0.1	1.7
Municipal Aid - Total SFY 2018 Major Local Aid Programs	743.6	0.0	0.0	2.4	689.3	72.0
Public Protection						
- Reduce DCJS Local Assistance Programs	(2.2)	0.0	0.0	(2.2)	0.0	0.0
Public Protection - Total SFY 2018 Enacted Budget Impact on LFY 2018	(2.2)	0.0	0.0	(2.2)	0.0	0.0
Public Protection - Total SFY 2018 Major Local Aid Programs	176.0	54.0	0.0	122.0	0.0	0.0
Environment - Total SFY 2018 Major Local Aid Programs	255.0	0.0	0.0	TBD	TBD	TBD
All Other - Total SFY 2018 Major Local Aid Programs	412.0	187.0	147.0	30.0	0.0	48.0
Revenue Actions						
- Close Sales Tax Related Entities Loophole	9.4	4.0	0.1	4.4	0.5	0.4
- Extend Limitation on Itemized Deductions	6.5	6.5	0.0	0.0	0.0	0.0
- Apply the Public Safety Communications Surcharge to Prepaid Devices	6.0	1.6	0.0	4.4	0.0	0.0
- Extend Warrantless Income Executions	3.0	3.0	0.0	0.0	0.0	0.0
- Allow Warrantless Bank Account Data Matching	1.5	1.5	0.0	0.0	0.0	0.0
- Exempt Sale of Monuments from Sales Tax	(1.5)	(0.5)	0.0	(0.8)	(0.1)	(0.1)
Revenue Actions - Total SFY 2018 Enacted Budget Impact on LFY 2018	24.9	16.1	0.1	8.0	0.4	0.3
Total SFY 2018 Enacted Budget Impact on LFY 2018	953.1	343.7	601.2	(8.3)	3.5	13.0
- New Competitive School Grants	50.0	TBD	TBD	0.0	0.0	0.0
- Intermunicipal Water Grant Program	30.0	0.0	0.0	TBD	TBD	TBD
Grand Total SFY 2018 Enacted Budget Impact on LFY 2018	1,033.1	343.7	601.2	(8.3)	3.5	13.0
Grand Total SFY 2018 Major Local Aid Programs/Savings	40,773.0	16,675.0	18,261.0	4,313.6	725.3	349.0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	64,846	62,823	59,969	59,969	56,980
Local Assistance Grants	31,980	31,761	28,907	28,907	24,907
State Operations	32,866	31,062	31,062	31,062	32,073
Personal Service	27,374	26,278	26,278	26,278	27,289
Non-Personal Service	5,492	4,784	4,784	4,784	4,784
<i>Alcoholic Beverage Control, Division of</i>	10,843	12,683	12,683	12,744	13,060
State Operations	10,843	12,683	12,683	12,744	13,060
Personal Service	7,567	8,147	8,147	8,208	8,524
Non-Personal Service	3,276	4,536	4,536	4,536	4,536
<i>Economic Development, Department of</i>	73,002	70,921	71,580	71,580	71,580
Local Assistance Grants	53,748	53,320	53,979	53,979	53,979
State Operations	19,254	17,601	17,601	17,601	17,601
Personal Service	13,152	12,826	12,826	12,826	12,826
Non-Personal Service	6,102	4,775	4,775	4,775	4,775
<i>Empire State Development Corporation</i>	122,298	133,981	132,246	132,246	132,246
Local Assistance Grants	122,298	133,981	132,246	132,246	132,246
<i>Olympic Regional Development Authority</i>	2,736	2,536	5,736	5,736	5,736
State Operations	2,736	2,536	5,736	5,736	5,736
Personal Service	2,548	2,548	2,548	2,548	2,548
Non-Personal Service	188	(12)	3,188	3,188	3,188
Functional Total	273,725	282,944	282,214	282,275	279,602
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,535	4,413	4,413	4,413	4,571
State Operations	4,535	4,413	4,413	4,413	4,571
Personal Service	4,112	4,108	4,108	4,108	4,266
Non-Personal Service	423	305	305	305	305
<i>Environmental Conservation, Department of</i>	92,279	97,389	100,477	108,477	122,502
Local Assistance Grants	1,906	6,911	4,618	4,618	4,618
State Operations	90,373	90,478	95,859	103,859	117,884
Personal Service	83,931	77,111	77,111	84,311	93,536
Non-Personal Service	6,442	13,367	18,748	19,548	24,348
<i>Parks, Recreation and Historic Preservation, Office of</i>	113,058	103,126	103,129	104,243	109,191
Local Assistance Grants	4,298	1,790	750	750	750
State Operations	108,760	101,336	102,379	103,493	108,441
Personal Service	103,327	93,989	95,032	96,146	101,094
Non-Personal Service	5,433	7,347	7,347	7,347	7,347
Functional Total	209,872	204,928	208,019	217,133	236,264
TRANSPORTATION					
<i>Motor Vehicles, Department of</i>	0	1,600	0	0	0
Local Assistance Grants	0	1,600	0	0	0
<i>Transportation, Department of</i>	107,383	115,520	102,020	102,020	102,020
Local Assistance Grants	106,214	114,351	100,851	100,851	100,851
State Operations	1,169	1,169	1,169	1,169	1,169
Non-Personal Service	1,169	1,169	1,169	1,169	1,169
Functional Total	107,383	117,120	102,020	102,020	102,020
HEALTH					
<i>Aging, Office for the</i>	126,347	124,579	125,882	131,105	136,501

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance Grants	125,116	123,348	124,651	129,874	135,227
State Operations	1,231	1,231	1,231	1,231	1,274
Personal Service	1,125	1,125	1,125	1,125	1,168
Non-Personal Service	106	106	106	106	106
Health, Department of	13,614,139	14,606,334	15,489,340	16,606,431	17,456,577
Medical Assistance	11,709,956	12,496,011	13,390,476	14,473,595	15,276,796
Local Assistance Grants	11,709,956	12,496,011	13,390,476	14,473,595	15,276,796
Essential Plan	312,837	491,693	418,576	438,643	454,574
Local Assistance Grants	269,000	431,367	354,940	364,878	374,730
State Operations	43,837	60,326	63,636	73,765	79,844
Personal Service	1,367	1,843	1,899	1,956	2,162
Non-Personal Service	42,470	58,483	61,737	71,809	77,682
Medicaid Administration	728,644	796,776	839,531	839,363	860,944
Local Assistance Grants	467,643	434,306	417,908	386,511	386,511
State Operations	261,001	362,470	421,623	452,852	474,433
Personal Service	36,908	41,622	47,576	51,106	54,512
Non-Personal Service	224,093	320,848	374,047	401,746	419,921
Public Health	862,702	821,854	840,757	854,830	864,263
Local Assistance Grants	730,107	701,548	721,981	735,954	742,282
State Operations	132,595	120,306	118,776	118,876	121,981
Personal Service	87,337	78,138	77,195	77,195	80,300
Non-Personal Service	45,258	42,168	41,581	41,681	41,681
Medicaid Inspector General, Office of the	20,489	18,533	18,111	18,111	18,718
State Operations	20,489	18,533	18,111	18,111	18,718
Personal Service	16,415	15,358	14,936	14,936	15,543
Non-Personal Service	4,074	3,175	3,175	3,175	3,175
Functional Total	13,760,975	14,749,446	15,633,333	16,755,647	17,611,796
SOCIAL WELFARE					
Children and Family Services, Office of	1,929,379	1,874,999	1,976,649	2,199,024	2,335,859
OCFS	1,847,132	1,788,627	1,876,093	2,094,225	2,221,985
Local Assistance Grants	1,629,928	1,562,558	1,606,463	1,738,089	1,799,038
State Operations	217,204	226,069	269,630	356,136	422,947
Personal Service	166,257	156,386	188,064	257,269	311,012
Non-Personal Service	50,947	69,683	81,566	98,867	111,935
OCFS - Other	82,247	86,372	100,556	104,799	113,874
Local Assistance Grants	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	12,376	9,180	33,783	43,783	44,138
Local Assistance Grants	4,585	4,630	29,233	39,233	39,233
State Operations	7,791	4,550	4,550	4,550	4,905
Personal Service	5,000	4,199	4,199	4,199	4,492
Non-Personal Service	2,791	351	351	351	413
Human Rights, Division of	10,382	9,921	9,921	9,921	10,590
State Operations	10,382	9,921	9,921	9,921	10,590
Personal Service	9,533	9,461	9,461	9,461	10,121
Non-Personal Service	849	460	460	460	469
Labor, Department of	15,392	15,558	288	288	349
Local Assistance Grants	15,081	15,270	0	0	0
State Operations	311	288	288	288	349
Personal Service	120	88	88	88	94
Non-Personal Service	191	200	200	200	255
National and Community Service	471	687	690	690	699

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance Grants	267	350	350	350	350
State Operations	204	337	340	340	349
Personal Service	203	328	331	331	340
Non-Personal Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	1,356,683	1,441,372	1,470,983	1,488,114	1,495,407
Welfare Assistance	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
Local Assistance Grants	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
All Other	226,492	231,638	231,560	246,691	253,984
Local Assistance Grants	89,812	106,474	106,396	115,196	117,996
State Operations	136,680	125,164	125,164	131,495	135,988
Personal Service	73,429	68,985	68,985	74,144	77,513
Non-Personal Service	63,251	56,179	56,179	57,351	58,475
Functional Total	3,324,683	3,351,717	3,492,314	3,741,820	3,887,042
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	27,098	40,156	34,853	34,853	34,853
OASAS	5,773	18,831	13,528	13,528	13,528
Local Assistance Grants	5,773	18,831	13,528	13,528	13,528
OASAS - Other	21,325	21,325	21,325	21,325	21,325
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
Justice Center	37,222	39,049	38,705	38,971	40,985
Local Assistance Grants	200	170	170	170	170
State Operations	37,022	38,879	38,535	38,801	40,815
Personal Service	28,113	29,773	29,773	29,773	31,173
Non-Personal Service	8,909	9,106	8,762	9,028	9,642
Mental Health, Office of	277,868	269,624	300,614	311,817	323,300
OMH	7,368	800	800	800	800
Local Assistance Grants	6,587	0	0	0	0
State Operations	781	800	800	800	800
Non-Personal Service	781	800	800	800	800
OMH - Other	270,500	268,824	299,814	311,017	322,500
Local Assistance Grants	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	583,212	578,632	809,759	926,884	1,043,209
OPWDD	92	0	0	0	0
Local Assistance Grants	92	0	0	0	0
OPWDD - Other	583,120	578,632	809,759	926,884	1,043,209
Local Assistance Grants	583,120	578,632	809,759	926,884	1,043,209
Functional Total	925,400	927,461	1,183,931	1,312,525	1,442,347
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
State Operations	2,431	2,651	2,651	2,651	2,773
Personal Service	2,234	2,365	2,414	2,414	2,531
Non-Personal Service	197	286	237	237	242
Correctional Services, Department of	2,643,575	2,619,924	2,622,680	2,629,835	2,635,465
Local Assistance Grants	4,457	5,497	5,497	5,497	5,497
State Operations	2,639,118	2,614,427	2,617,183	2,624,338	2,629,968
Personal Service	2,113,239	2,052,008	2,054,764	2,061,919	2,067,549
Non-Personal Service	525,879	562,419	562,419	562,419	562,419

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
<i>Criminal Justice Services, Division of</i>	163,222	172,942	172,830	172,830	173,794
Local Assistance Grants	129,354	139,221	139,109	139,109	139,109
State Operations	33,868	33,721	33,721	33,721	34,685
Personal Service	26,740	25,190	25,190	25,190	26,154
Non-Personal Service	7,128	8,531	8,531	8,531	8,531
<i>Homeland Security and Emergency Services, Division of</i>	6,640	4,972	4,972	4,972	4,972
Local Assistance Grants	5,501	3,972	3,972	3,972	3,972
State Operations	1,139	1,000	1,000	1,000	1,000
Personal Service	992	1,000	1,000	1,000	1,000
Non-Personal Service	147	0	0	0	0
<i>Judicial Conduct, Commission on</i>	5,505	5,584	5,643	5,708	5,936
State Operations	5,505	5,584	5,643	5,708	5,936
Personal Service	4,181	4,281	4,312	4,347	4,548
Non-Personal Service	1,324	1,303	1,331	1,361	1,388
<i>Judicial Nomination, Commission on</i>	10	30	30	30	30
State Operations	10	30	30	30	30
Non-Personal Service	10	30	30	30	30
<i>Judicial Screening Committees, New York State</i>	9	38	38	38	38
State Operations	9	38	38	38	38
Non-Personal Service	9	38	38	38	38
<i>Military and Naval Affairs, Division of</i>	24,734	21,155	21,155	21,155	21,451
Local Assistance Grants	786	820	820	820	820
State Operations	23,948	20,335	20,335	20,335	20,631
Personal Service	16,761	14,161	14,161	14,161	14,457
Non-Personal Service	7,187	6,174	6,174	6,174	6,174
<i>State Police, Division of</i>	652,546	627,361	631,431	631,511	656,591
State Operations	652,546	627,361	631,431	631,511	656,591
Personal Service	608,046	599,682	598,732	598,782	623,832
Non-Personal Service	44,500	27,679	32,699	32,729	32,759
<i>Statewide Financial System</i>	30,309	30,137	30,137	30,137	30,580
State Operations	30,309	30,137	30,137	30,137	30,580
Personal Service	11,045	11,513	11,513	11,513	11,956
Non-Personal Service	19,264	18,624	18,624	18,624	18,624
<i>Victim Services, Office of</i>	1,201	2,788	2,788	2,788	2,788
Local Assistance Grants	1,201	2,788	2,788	2,788	2,788
Functional Total	3,530,182	3,487,582	3,494,355	3,501,655	3,534,418
HIGHER EDUCATION					
<i>City University of New York</i>	1,424,632	1,409,629	1,485,289	1,509,267	1,534,691
Local Assistance Grants	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
State Operations	503	0	0	0	0
Non-Personal Service	503	0	0	0	0
<i>Higher Education Services Corporation, New York State</i>	957,504	897,528	1,149,206	1,188,080	1,213,231
Local Assistance Grants	957,504	897,528	1,149,206	1,188,080	1,213,231
<i>State University of New York</i>	492,548	492,021	500,063	499,730	499,730
Local Assistance Grants	491,891	492,021	500,063	499,730	499,730
State Operations	657	0	0	0	0
Non-Personal Service	657	0	0	0	0
Functional Total	2,874,684	2,799,178	3,134,558	3,197,077	3,247,652

EDUCATION

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Arts, Council on the	42,832	45,315	45,155	45,155	45,251
Local Assistance Grants	38,907	40,995	40,835	40,835	40,835
State Operations	3,925	4,320	4,320	4,320	4,416
Personal Service	2,491	2,498	2,498	2,498	2,594
Non-Personal Service	1,434	1,822	1,822	1,822	1,822
Education, Department of	23,260,901	24,639,084	25,869,897	27,039,702	28,448,470
School Aid	21,016,609	22,320,109	23,437,940	24,518,934	25,782,770
Local Assistance Grants	21,016,609	22,320,109	23,437,940	24,518,934	25,782,770
Special Education Categorical Programs	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
Local Assistance Grants	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
All Other	926,933	980,785	979,277	950,240	975,382
Local Assistance Grants	868,560	917,972	918,491	891,432	915,602
State Operations	58,373	62,813	60,786	58,808	59,780
Personal Service	30,913	29,811	29,812	29,334	30,306
Non-Personal Service	27,460	33,002	30,974	29,474	29,474
Functional Total	23,303,733	24,684,399	25,915,052	27,084,857	28,493,721
GENERAL GOVERNMENT					
Budget, Division of the	22,118	24,422	23,895	23,895	24,749
State Operations	22,118	24,422	23,895	23,895	24,749
Personal Service	19,346	22,216	22,216	22,216	23,070
Non-Personal Service	2,772	2,206	1,679	1,679	1,679
Civil Service, Department of	12,614	12,451	12,544	12,643	13,113
State Operations	12,614	12,451	12,544	12,643	13,113
Personal Service	12,061	12,050	12,138	12,229	12,699
Non-Personal Service	553	401	406	414	414
Deferred Compensation Board	39	57	57	57	58
State Operations	39	57	57	57	58
Personal Service	29	32	32	32	33
Non-Personal Service	10	25	25	25	25
Elections, State Board of	7,084	8,482	8,587	8,697	9,033
Local Assistance Grants	93	0	0	0	0
State Operations	6,991	8,482	8,587	8,697	9,033
Personal Service	5,765	5,875	6,018	6,063	6,346
Non-Personal Service	1,226	2,607	2,569	2,634	2,687
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
State Operations	2,334	2,581	2,601	2,621	2,719
Personal Service	2,269	2,510	2,529	2,548	2,646
Non-Personal Service	65	71	72	73	73
Gaming Commission, New York State	5,709	6,771	6,771	6,771	6,936
State Operations	5,709	6,771	6,771	6,771	6,936
Personal Service	3,724	4,282	4,282	4,282	4,447
Non-Personal Service	1,985	2,489	2,489	2,489	2,489
General Services, Office of	153,855	139,889	138,089	133,989	134,451
State Operations	153,855	139,889	138,089	133,989	134,451
Personal Service	73,515	56,093	56,093	56,093	59,479
Non-Personal Service	80,340	83,796	81,996	77,896	74,972
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
State Operations	7,179	7,367	7,427	7,487	7,826
Personal Service	5,833	6,552	6,600	6,648	6,970
Non-Personal Service	1,346	815	827	839	856
Labor Management Committees	28,818	25,000	25,000	25,000	25,211

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	28,818	25,000	25,000	25,000	25,211
Personal Service	7,871	5,446	5,446	5,487	5,698
Non-Personal Service	20,947	19,554	19,554	19,513	19,513
Prevention of Domestic Violence, Office for	2,028	2,876	2,876	2,976	3,048
Local Assistance Grants	528	1,285	1,285	1,385	1,385
State Operations	1,500	1,591	1,591	1,591	1,663
Personal Service	1,338	1,388	1,388	1,388	1,456
Non-Personal Service	162	203	203	203	207
Public Employment Relations Board	3,269	3,529	3,560	3,589	3,719
State Operations	3,269	3,529	3,560	3,589	3,719
Personal Service	3,056	3,336	3,363	3,388	3,518
Non-Personal Service	213	193	197	201	201
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State Operations	4,876	5,531	5,576	5,630	5,835
Personal Service	3,808	4,620	4,646	4,681	4,867
Non-Personal Service	1,068	911	930	949	968
State, Department of	24,962	26,608	16,103	16,103	16,103
Local Assistance Grants	11,701	16,945	6,440	6,440	6,440
State Operations	13,261	9,663	9,663	9,663	9,663
Personal Service	12,334	9,424	9,424	9,424	9,424
Non-Personal Service	927	239	239	239	239
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
State Operations	2,833	3,040	3,040	3,040	3,150
Personal Service	2,604	2,870	2,870	2,870	2,980
Non-Personal Service	229	170	170	170	170
Taxation and Finance, Department of	263,572	257,033	255,908	256,533	265,226
Local Assistance Grants	908	926	926	926	926
State Operations	262,664	256,107	254,982	255,607	264,300
Personal Service	234,901	226,554	226,253	226,554	235,247
Non-Personal Service	27,763	29,553	28,729	29,053	29,053
Technology, Office for	548,366	536,032	559,582	559,582	569,995
State Operations	548,366	536,032	559,582	559,582	569,995
Personal Service	298,543	270,712	270,712	270,712	281,125
Non-Personal Service	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	14,291	15,553	13,873	13,873	14,119
Local Assistance Grants	8,340	9,382	7,637	7,637	7,637
State Operations	5,951	6,171	6,236	6,236	6,482
Personal Service	5,086	5,879	5,938	5,938	6,178
Non-Personal Service	865	292	298	298	304
Welfare Inspector General, Office of	592	672	686	701	731
State Operations	592	672	686	701	731
Personal Service	565	617	621	626	654
Non-Personal Service	27	55	65	75	77
Functional Total	1,104,539	1,077,894	1,086,175	1,083,187	1,106,022
ELECTED OFFICIALS					
Audit and Control, Department of	165,774	164,355	162,404	162,404	168,091
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	133,749	132,331	130,380	130,380	136,067
Personal Service	103,758	104,068	102,672	102,672	107,805
Non-Personal Service	29,991	28,263	27,708	27,708	28,262
Executive Chamber	14,653	13,578	13,578	13,578	14,032
State Operations	14,653	13,578	13,578	13,578	14,032
Personal Service	10,746	11,113	11,113	11,113	11,567

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Non-Personal Service	3,907	2,465	2,465	2,465	2,465
Judiciary	2,589,330	2,693,700	2,751,503	2,751,503	2,808,503
Local Assistance Grants	2,443	3,000	18,000	18,000	18,000
State Operations	1,911,837	1,956,000	1,981,400	1,983,900	2,040,900
Personal Service	1,509,278	1,536,400	1,561,800	1,564,300	1,621,300
Non-Personal Service	402,559	419,600	419,600	419,600	419,600
General State Charges	675,050	734,700	752,103	749,603	749,603
Law, Department of	104,150	105,434	102,838	103,761	108,535
State Operations	104,150	105,434	102,838	103,761	108,535
Personal Service	92,699	92,098	89,405	90,070	94,570
Non-Personal Service	11,451	13,336	13,433	13,691	13,965
Legislature	224,312	224,380	217,845	217,845	218,161
State Operations	224,312	224,380	217,845	217,845	218,161
Personal Service	170,623	171,104	166,331	166,331	166,647
Non-Personal Service	53,689	53,276	51,514	51,514	51,514
Lieutenant Governor, Office of the	510	614	614	614	634
State Operations	510	614	614	614	634
Personal Service	364	523	523	523	543
Non-Personal Service	146	91	91	91	91
Functional Total	3,098,729	3,202,061	3,248,782	3,249,705	3,317,956
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,839	722,974	763,347	763,347	763,347
Local Assistance Grants	714,732	722,974	763,347	763,347	763,347
State Operations	107	0	0	0	0
Non-Personal Service	107	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Local Assistance Grants	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Local Assistance Grants	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	760,433	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES					
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Miscellaneous	(69,320)	338,221	1,043,445	1,616,778	1,961,745
Local Assistance Grants	(95,195)	271,533	556,057	944,390	1,111,057
State Operations	21,571	62,268	482,968	667,968	846,268
Personal Service	49	19,056	250,256	340,256	503,056
Non-Personal Service	21,522	43,212	232,712	327,712	343,212
General State Charges	4,304	4,420	4,420	4,420	4,420
Functional Total	4,713,623	5,388,600	6,615,179	7,655,256	8,564,692
TOTAL GENERAL FUND SPENDING	57,987,961	61,034,678	65,190,632	68,977,857	72,615,982

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	64,846	62,823	59,969	59,969	56,980
Alcoholic Beverage Control, Division of	10,843	12,683	12,683	12,744	13,060
Economic Development, Department of	73,002	70,921	71,580	71,580	71,580
Empire State Development Corporation	122,298	133,981	132,246	132,246	132,246
Olympic Regional Development Authority	2,736	2,536	5,736	5,736	5,736
Functional Total	273,725	282,944	282,214	282,275	279,602
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	92,279	97,389	100,477	108,477	122,502
Parks, Recreation and Historic Preservation, Office of	113,058	103,126	103,129	104,243	109,191
Functional Total	209,872	204,928	208,019	217,133	236,264
TRANSPORTATION					
Motor Vehicles, Department of	0	1,600	0	0	0
Transportation, Department of	107,383	115,520	102,020	102,020	102,020
Functional Total	107,383	117,120	102,020	102,020	102,020
HEALTH					
Aging, Office for the	126,347	124,579	125,882	131,105	136,501
Health, Department of	13,614,139	14,606,334	15,489,340	16,606,431	17,456,577
<i>Medical Assistance</i>	11,709,956	12,496,011	13,390,476	14,473,595	15,276,796
<i>Essential Plan</i>	312,837	491,693	418,576	438,643	454,574
<i>Medicaid Administration</i>	728,644	796,776	839,531	839,363	860,944
<i>Public Health</i>	862,702	821,854	840,757	854,830	864,263
Medicaid Inspector General, Office of the	20,489	18,533	18,111	18,111	18,718
Functional Total	13,760,975	14,749,446	15,633,333	16,755,647	17,611,796
SOCIAL WELFARE					
Children and Family Services, Office of	1,929,379	1,874,999	1,976,649	2,199,024	2,335,859
<i>OCFS</i>	1,847,132	1,788,627	1,876,093	2,094,225	2,221,985
<i>OCFS - Other</i>	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	12,376	9,180	33,783	43,783	44,138
Human Rights, Division of	10,382	9,921	9,921	9,921	10,590
Labor, Department of	15,392	15,558	288	288	349
National and Community Service	471	687	690	690	699
Temporary and Disability Assistance, Office of	1,356,683	1,441,372	1,470,983	1,488,114	1,495,407
<i>Welfare Assistance</i>	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	226,492	231,638	231,560	246,691	253,984
Functional Total	3,324,683	3,351,717	3,492,314	3,741,820	3,887,042
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	27,098	40,156	34,853	34,853	34,853
<i>OASAS</i>	5,773	18,831	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	37,222	39,049	38,705	38,971	40,985
Mental Health, Office of	277,868	269,624	300,614	311,817	323,300
<i>OMH</i>	7,368	800	800	800	800
<i>OMH - Other</i>	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	583,212	578,632	809,759	926,884	1,043,209
<i>OPWDD</i>	92	0	0	0	0
<i>OPWDD - Other</i>	583,120	578,632	809,759	926,884	1,043,209
Functional Total	925,400	927,461	1,183,931	1,312,525	1,442,347
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,643,575	2,619,924	2,622,680	2,629,835	2,635,465
Criminal Justice Services, Division of	163,222	172,942	172,830	172,830	173,794
Homeland Security and Emergency Services, Division of	6,640	4,972	4,972	4,972	4,972
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Military and Naval Affairs, Division of	24,734	21,155	21,155	21,155	21,451
State Police, Division of	652,546	627,361	631,431	631,511	656,591
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	1,201	2,788	2,788	2,788	2,788
Functional Total	<u>3,530,182</u>	<u>3,487,582</u>	<u>3,494,355</u>	<u>3,501,655</u>	<u>3,534,418</u>
HIGHER EDUCATION					
City University of New York	1,424,632	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Services Corporation, New York State	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	492,548	492,021	500,063	499,730	499,730
Functional Total	<u>2,874,684</u>	<u>2,799,178</u>	<u>3,134,558</u>	<u>3,197,077</u>	<u>3,247,652</u>
EDUCATION					
Arts, Council on the	42,832	45,315	45,155	45,155	45,251
Education, Department of	23,260,901	24,639,084	25,869,897	27,039,702	28,448,470
<i>School Aid</i>	21,016,609	22,320,109	23,437,940	24,518,934	25,782,770
<i>Special Education Categorical Programs</i>	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	926,933	980,785	979,277	950,240	975,382
Functional Total	<u>23,303,733</u>	<u>24,684,399</u>	<u>25,915,052</u>	<u>27,084,857</u>	<u>28,493,721</u>
GENERAL GOVERNMENT					
Budget, Division of the	22,118	24,422	23,895	23,895	24,749
Civil Service, Department of	12,614	12,451	12,544	12,643	13,113
Deferred Compensation Board	39	57	57	57	58
Elections, State Board of	7,084	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	5,709	6,771	6,771	6,771	6,936
General Services, Office of	153,855	139,889	138,089	133,989	134,451
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	28,818	25,000	25,000	25,000	25,211
Prevention of Domestic Violence, Office for	2,028	2,876	2,876	2,976	3,048
Public Employment Relations Board	3,269	3,529	3,560	3,589	3,719
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State, Department of	24,962	26,608	16,103	16,103	16,103
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	263,572	257,033	255,908	256,533	265,226
Technology, Office for	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	14,291	15,553	13,873	13,873	14,119
Welfare Inspector General, Office of	592	672	686	701	731
Functional Total	<u>1,104,539</u>	<u>1,077,894</u>	<u>1,086,175</u>	<u>1,083,187</u>	<u>1,106,022</u>
ELECTED OFFICIALS					
Audit and Control, Department of	165,774	164,355	162,404	162,404	168,091
Executive Chamber	14,653	13,578	13,578	13,578	14,032
Judiciary	2,589,330	2,693,700	2,751,503	2,751,503	2,808,503
Law, Department of	104,150	105,434	102,838	103,761	108,535
Legislature	224,312	224,380	217,845	217,845	218,161
Lieutenant Governor, Office of the	510	614	614	614	634
Functional Total	<u>3,098,729</u>	<u>3,202,061</u>	<u>3,248,782</u>	<u>3,249,705</u>	<u>3,317,956</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,839	722,974	763,347	763,347	763,347
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,433</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES					
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Miscellaneous	(69,320)	338,221	1,043,445	1,616,778	1,961,745
Functional Total	<u>4,713,623</u>	<u>5,388,600</u>	<u>6,615,179</u>	<u>7,655,256</u>	<u>8,564,692</u>
TOTAL GENERAL FUND SPENDING	<u><u>57,987,961</u></u>	<u><u>61,034,678</u></u>	<u><u>65,190,632</u></u>	<u><u>68,977,857</u></u>	<u><u>72,615,982</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,980	31,761	28,907	28,907	24,907
Economic Development, Department of	53,748	53,320	53,979	53,979	53,979
Empire State Development Corporation	122,298	133,981	132,246	132,246	132,246
Functional Total	<u>208,026</u>	<u>219,062</u>	<u>215,132</u>	<u>215,132</u>	<u>211,132</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	1,906	6,911	4,618	4,618	4,618
Parks, Recreation and Historic Preservation, Office of	4,298	1,790	750	750	750
Functional Total	<u>6,204</u>	<u>8,701</u>	<u>5,368</u>	<u>5,368</u>	<u>5,368</u>
TRANSPORTATION					
Motor Vehicles, Department of	0	1,600	0	0	0
Transportation, Department of	106,214	114,351	100,851	100,851	100,851
Functional Total	<u>106,214</u>	<u>115,951</u>	<u>100,851</u>	<u>100,851</u>	<u>100,851</u>
HEALTH					
Aging, Office for the	125,116	123,348	124,651	129,874	135,227
Health, Department of	<u>13,176,706</u>	<u>14,063,232</u>	<u>14,885,305</u>	<u>15,960,938</u>	<u>16,780,319</u>
<i>Medical Assistance</i>	11,709,956	12,496,011	13,390,476	14,473,595	15,276,796
<i>Essential Plan</i>	269,000	431,367	354,940	364,878	374,730
<i>Medicaid Administration</i>	467,643	434,306	417,908	386,511	386,511
<i>Public Health</i>	730,107	701,548	721,981	735,954	742,282
Functional Total	<u>13,301,822</u>	<u>14,186,580</u>	<u>15,009,956</u>	<u>16,090,812</u>	<u>16,915,546</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,712,175	1,648,930	1,707,019	1,842,888	1,912,912
<i>OCFS</i>	1,629,928	1,562,558	1,606,463	1,738,089	1,799,038
<i>OCFS - Other</i>	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	4,585	4,630	29,233	39,233	39,233
Labor, Department of	15,081	15,270	0	0	0
National and Community Service	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,220,003	1,316,208	1,345,819	1,356,619	1,359,419
<i>Welfare Assistance</i>	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	89,812	106,474	106,396	115,196	117,996
Functional Total	<u>2,952,111</u>	<u>2,985,388</u>	<u>3,082,421</u>	<u>3,239,090</u>	<u>3,311,914</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	27,098	40,156	34,853	34,853	34,853
<i>OASAS</i>	5,773	18,831	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	200	170	170	170	170
Mental Health, Office of	277,087	268,824	299,814	311,017	322,500
<i>OMH</i>	6,587	0	0	0	0
<i>OMH - Other</i>	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	583,212	578,632	809,759	926,884	1,043,209
<i>OPWDD</i>	92	0	0	0	0
<i>OPWDD - Other</i>	583,120	578,632	809,759	926,884	1,043,209
Functional Total	<u>887,597</u>	<u>887,782</u>	<u>1,144,596</u>	<u>1,272,924</u>	<u>1,400,732</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	129,354	139,221	139,109	139,109	139,109
Homeland Security and Emergency Services, Division of	5,501	3,972	3,972	3,972	3,972
Military and Naval Affairs, Division of	786	820	820	820	820
Victim Services, Office of	1,201	2,788	2,788	2,788	2,788
Functional Total	<u>141,299</u>	<u>152,298</u>	<u>152,186</u>	<u>152,186</u>	<u>152,186</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
HIGHER EDUCATION					
City University of New York	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Services Corporation, New York State	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	491,891	492,021	500,063	499,730	499,730
Functional Total	<u>2,873,524</u>	<u>2,799,178</u>	<u>3,134,558</u>	<u>3,197,077</u>	<u>3,247,652</u>
EDUCATION					
Arts, Council on the	38,907	40,995	40,835	40,835	40,835
Education, Department of	23,202,528	24,576,271	25,809,111	26,980,894	28,388,690
<i>School Aid</i>	21,016,609	22,320,109	23,437,940	24,518,934	25,782,770
<i>Special Education Categorical Programs</i>	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	868,560	917,972	918,491	891,432	915,602
Functional Total	<u>23,241,435</u>	<u>24,617,266</u>	<u>25,849,946</u>	<u>27,021,729</u>	<u>28,429,525</u>
GENERAL GOVERNMENT					
Elections, State Board of	93	0	0	0	0
Prevention of Domestic Violence, Office for	528	1,285	1,285	1,385	1,385
State, Department of	11,701	16,945	6,440	6,440	6,440
Taxation and Finance, Department of	908	926	926	926	926
Veterans' Affairs, Division of	8,340	9,382	7,637	7,637	7,637
Functional Total	<u>21,570</u>	<u>28,538</u>	<u>16,288</u>	<u>16,388</u>	<u>16,388</u>
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	2,443	3,000	18,000	18,000	18,000
Functional Total	<u>34,468</u>	<u>35,024</u>	<u>50,024</u>	<u>50,024</u>	<u>50,024</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,732	722,974	763,347	763,347	763,347
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,326</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES					
Miscellaneous	(95,195)	271,533	556,057	944,390	1,111,057
Functional Total	<u>(95,195)</u>	<u>271,533</u>	<u>556,057</u>	<u>944,390</u>	<u>1,111,057</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>44,439,401</u>	<u>47,068,649</u>	<u>50,112,083</u>	<u>53,100,671</u>	<u>55,744,825</u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	32,866	31,062	31,062	31,062	32,073
Alcoholic Beverage Control, Division of	10,843	12,683	12,683	12,744	13,060
Economic Development, Department of	19,254	17,601	17,601	17,601	17,601
Olympic Regional Development Authority	2,736	2,536	5,736	5,736	5,736
Functional Total	65,699	63,882	67,082	67,143	68,470
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	90,373	90,478	95,859	103,859	117,884
Parks, Recreation and Historic Preservation, Office of	108,760	101,336	102,379	103,493	108,441
Functional Total	203,668	196,227	202,651	211,765	230,896
TRANSPORTATION					
Transportation, Department of	1,169	1,169	1,169	1,169	1,169
Functional Total	1,169	1,169	1,169	1,169	1,169
HEALTH					
Aging, Office for the	1,231	1,231	1,231	1,231	1,274
Health, Department of	437,433	543,102	604,035	645,493	676,258
<i>Essential Plan</i>	43,837	60,326	63,636	73,765	79,844
<i>Medicaid Administration</i>	261,001	362,470	421,623	452,852	474,433
<i>Public Health</i>	132,595	120,306	118,776	118,876	121,981
Medicaid Inspector General, Office of the	20,489	18,533	18,111	18,111	18,718
Functional Total	459,153	562,866	623,377	664,835	696,250
SOCIAL WELFARE					
Children and Family Services, Office of	217,204	226,069	269,630	356,136	422,947
<i>OCFS</i>	217,204	226,069	269,630	356,136	422,947
Housing and Community Renewal, Division of	7,791	4,550	4,550	4,550	4,905
Human Rights, Division of	10,382	9,921	9,921	9,921	10,590
Labor, Department of	311	288	288	288	349
National and Community Service	204	337	340	340	349
Temporary and Disability Assistance, Office of	136,680	125,164	125,164	131,495	135,988
<i>All Other</i>	136,680	125,164	125,164	131,495	135,988
Functional Total	372,572	366,329	409,893	502,730	575,128
MENTAL HYGIENE					
Justice Center	37,022	38,879	38,535	38,801	40,815
Mental Health, Office of	781	800	800	800	800
<i>OMH</i>	781	800	800	800	800
Functional Total	37,803	39,679	39,335	39,601	41,615
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,639,118	2,614,427	2,617,183	2,624,338	2,629,968
Criminal Justice Services, Division of	33,868	33,721	33,721	33,721	34,685
Homeland Security and Emergency Services, Division of	1,139	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	23,948	20,335	20,335	20,335	20,631
State Police, Division of	652,546	627,361	631,431	631,511	656,591
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
Functional Total	3,388,883	3,335,284	3,342,169	3,349,469	3,382,232
HIGHER EDUCATION					
City University of New York	503	0	0	0	0
State University of New York	657	0	0	0	0
Functional Total	1,160	0	0	0	0
EDUCATION					
Arts, Council on the	3,925	4,320	4,320	4,320	4,416

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Education, Department of	58,373	62,813	60,786	58,808	59,780
<i>All Other</i>	58,373	62,813	60,786	58,808	59,780
Functional Total	<u>62,298</u>	<u>67,133</u>	<u>65,106</u>	<u>63,128</u>	<u>64,196</u>
GENERAL GOVERNMENT					
Budget, Division of the	22,118	24,422	23,895	23,895	24,749
Civil Service, Department of	12,614	12,451	12,544	12,643	13,113
Deferred Compensation Board	39	57	57	57	58
Elections, State Board of	6,991	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	5,709	6,771	6,771	6,771	6,936
General Services, Office of	153,855	139,889	138,089	133,989	134,451
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	28,818	25,000	25,000	25,000	25,211
Prevention of Domestic Violence, Office for	1,500	1,591	1,591	1,591	1,663
Public Employment Relations Board	3,269	3,529	3,560	3,589	3,719
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State, Department of	13,261	9,663	9,663	9,663	9,663
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	262,664	256,107	254,982	255,607	264,300
Technology, Office for	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	592	672	686	701	731
Functional Total	<u>1,082,969</u>	<u>1,049,356</u>	<u>1,069,887</u>	<u>1,066,799</u>	<u>1,089,634</u>
ELECTED OFFICIALS					
Audit and Control, Department of	133,749	132,331	130,380	130,380	136,067
Executive Chamber	14,653	13,578	13,578	13,578	14,032
Judiciary	1,911,837	1,956,000	1,981,400	1,983,900	2,040,900
Law, Department of	104,150	105,434	102,838	103,761	108,535
Legislature	224,312	224,380	217,845	217,845	218,161
Lieutenant Governor, Office of the	510	614	614	614	634
Functional Total	<u>2,389,211</u>	<u>2,432,337</u>	<u>2,446,655</u>	<u>2,450,078</u>	<u>2,518,329</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	107	0	0	0	0
Functional Total	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	21,571	62,268	482,968	667,968	846,268
Functional Total	<u>21,571</u>	<u>62,268</u>	<u>482,968</u>	<u>667,968</u>	<u>846,268</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>8,086,263</u></u>	<u><u>8,176,530</u></u>	<u><u>8,750,292</u></u>	<u><u>9,084,685</u></u>	<u><u>9,514,187</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	27,374	26,278	26,278	26,278	27,289
Alcoholic Beverage Control, Division of	7,567	8,147	8,147	8,208	8,524
Economic Development, Department of	13,152	12,826	12,826	12,826	12,826
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
Functional Total	50,641	49,799	49,799	49,860	51,187
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	83,931	77,111	77,111	84,311	93,536
Parks, Recreation and Historic Preservation, Office of	103,327	93,989	95,032	96,146	101,094
Functional Total	191,370	175,208	176,251	184,565	198,896
HEALTH					
Aging, Office for the	1,125	1,125	1,125	1,125	1,168
Health, Department of	125,612	121,603	126,670	130,257	136,974
<i>Essential Plan</i>	1,367	1,843	1,899	1,956	2,162
<i>Medicaid Administration</i>	36,908	41,622	47,576	51,106	54,512
<i>Public Health</i>	87,337	78,138	77,195	77,195	80,300
Medicaid Inspector General, Office of the	16,415	15,358	14,936	14,936	15,543
Functional Total	143,152	138,086	142,731	146,318	153,685
SOCIAL WELFARE					
Children and Family Services, Office of	166,257	156,386	188,064	257,269	311,012
<i>OCFS</i>	166,257	156,386	188,064	257,269	311,012
Housing and Community Renewal, Division of	5,000	4,199	4,199	4,199	4,492
Human Rights, Division of	9,533	9,461	9,461	9,461	10,121
Labor, Department of	120	88	88	88	94
National and Community Service	203	328	331	331	340
Temporary and Disability Assistance, Office of	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	73,429	68,985	68,985	74,144	77,513
Functional Total	254,542	239,447	271,128	345,492	403,572
MENTAL HYGIENE					
Justice Center	28,113	29,773	29,773	29,773	31,173
Functional Total	28,113	29,773	29,773	29,773	31,173
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,234	2,365	2,414	2,414	2,531
Correctional Services, Department of	2,113,239	2,052,008	2,054,764	2,061,919	2,067,549
Criminal Justice Services, Division of	26,740	25,190	25,190	25,190	26,154
Homeland Security and Emergency Services, Division of	992	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	16,761	14,161	14,161	14,161	14,457
State Police, Division of	608,046	599,682	598,732	598,782	623,832
Statewide Financial System	11,045	11,513	11,513	11,513	11,956
Functional Total	2,783,238	2,710,200	2,712,086	2,719,326	2,752,027
EDUCATION					
Arts, Council on the	2,491	2,498	2,498	2,498	2,594
Education, Department of	30,913	29,811	29,812	29,334	30,306
<i>All Other</i>	30,913	29,811	29,812	29,334	30,306
Functional Total	33,404	32,309	32,310	31,832	32,900
GENERAL GOVERNMENT					
Budget, Division of the	19,346	22,216	22,216	22,216	23,070
Civil Service, Department of	12,061	12,050	12,138	12,229	12,699
Deferred Compensation Board	29	32	32	32	33
Elections, State Board of	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	3,724	4,282	4,282	4,282	4,447
General Services, Office of	73,515	56,093	56,093	56,093	59,479

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Inspector General, Office of the	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,338	1,388	1,388	1,388	1,456
Public Employment Relations Board	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,808	4,620	4,646	4,681	4,867
State, Department of	12,334	9,424	9,424	9,424	9,424
Tax Appeals, Division of	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	234,901	226,554	226,253	226,554	235,247
Technology, Office for	298,543	270,712	270,712	270,712	281,125
Veterans' Affairs, Division of	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	565	617	621	626	654
Functional Total	<u>692,648</u>	<u>640,456</u>	<u>640,569</u>	<u>641,179</u>	<u>666,837</u>
ELECTED OFFICIALS					
Audit and Control, Department of	103,758	104,068	102,672	102,672	107,805
Executive Chamber	10,746	11,113	11,113	11,113	11,567
Judiciary	1,509,278	1,536,400	1,561,800	1,564,300	1,621,300
Law, Department of	92,699	92,098	89,405	90,070	94,570
Legislature	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	364	523	523	523	543
Functional Total	<u>1,887,468</u>	<u>1,915,306</u>	<u>1,931,844</u>	<u>1,935,009</u>	<u>2,002,432</u>
ALL OTHER CATEGORIES					
Miscellaneous	49	19,056	250,256	340,256	503,056
Functional Total	<u>49</u>	<u>19,056</u>	<u>250,256</u>	<u>340,256</u>	<u>503,056</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>6,064,625</u></u>	<u><u>5,949,640</u></u>	<u><u>6,236,747</u></u>	<u><u>6,423,610</u></u>	<u><u>6,795,765</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,492	4,784	4,784	4,784	4,784
Alcoholic Beverage Control, Division of	3,276	4,536	4,536	4,536	4,536
Economic Development, Department of	6,102	4,775	4,775	4,775	4,775
Olympic Regional Development Authority	188	(12)	3,188	3,188	3,188
Functional Total	15,058	14,083	17,283	17,283	17,283
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	423	305	305	305	305
Environmental Conservation, Department of	6,442	13,367	18,748	19,548	24,348
Parks, Recreation and Historic Preservation, Office of	5,433	7,347	7,347	7,347	7,347
Functional Total	12,298	21,019	26,400	27,200	32,000
TRANSPORTATION					
Transportation, Department of	1,169	1,169	1,169	1,169	1,169
Functional Total	1,169	1,169	1,169	1,169	1,169
HEALTH					
Aging, Office for the	106	106	106	106	106
Health, Department of	311,821	421,499	477,365	515,236	539,284
<i>Essential Plan</i>	42,470	58,483	61,737	71,809	77,682
<i>Medicaid Administration</i>	224,093	320,848	374,047	401,746	419,921
<i>Public Health</i>	45,258	42,168	41,581	41,681	41,681
Medicaid Inspector General, Office of the	4,074	3,175	3,175	3,175	3,175
Functional Total	316,001	424,780	480,646	518,517	542,565
SOCIAL WELFARE					
Children and Family Services, Office of	50,947	69,683	81,566	98,867	111,935
<i>OCFS</i>	50,947	69,683	81,566	98,867	111,935
Housing and Community Renewal, Division of	2,791	351	351	351	413
Human Rights, Division of	849	460	460	460	469
Labor, Department of	191	200	200	200	255
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	63,251	56,179	56,179	57,351	58,475
<i>All Other</i>	63,251	56,179	56,179	57,351	58,475
Functional Total	118,030	126,882	138,765	157,238	171,556
MENTAL HYGIENE					
Justice Center	8,909	9,106	8,762	9,028	9,642
Mental Health, Office of	781	800	800	800	800
<i>OMH</i>	781	800	800	800	800
Functional Total	9,690	9,906	9,562	9,828	10,442
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	197	286	237	237	242
Correctional Services, Department of	525,879	562,419	562,419	562,419	562,419
Criminal Justice Services, Division of	7,128	8,531	8,531	8,531	8,531
Homeland Security and Emergency Services, Division of	147	0	0	0	0
Judicial Conduct, Commission on	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	7,187	6,174	6,174	6,174	6,174
State Police, Division of	44,500	27,679	32,699	32,729	32,759
Statewide Financial System	19,264	18,624	18,624	18,624	18,624
Functional Total	605,645	625,084	630,083	630,143	630,205
HIGHER EDUCATION					
City University of New York	503	0	0	0	0
State University of New York	657	0	0	0	0
Functional Total	1,160	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
EDUCATION					
Arts, Council on the	1,434	1,822	1,822	1,822	1,822
Education, Department of	<u>27,460</u>	<u>33,002</u>	<u>30,974</u>	<u>29,474</u>	<u>29,474</u>
<i>All Other</i>	<u>27,460</u>	<u>33,002</u>	<u>30,974</u>	<u>29,474</u>	<u>29,474</u>
Functional Total	<u>28,894</u>	<u>34,824</u>	<u>32,796</u>	<u>31,296</u>	<u>31,296</u>
GENERAL GOVERNMENT					
Budget, Division of the	2,772	2,206	1,679	1,679	1,679
Civil Service, Department of	553	401	406	414	414
Deferred Compensation Board	10	25	25	25	25
Elections, State Board of	1,226	2,607	2,569	2,634	2,687
Employee Relations, Office of	65	71	72	73	73
Gaming Commission, New York State	1,985	2,489	2,489	2,489	2,489
General Services, Office of	80,340	83,796	81,996	77,896	74,972
Inspector General, Office of the	1,346	815	827	839	856
Labor Management Committees	20,947	19,554	19,554	19,513	19,513
Prevention of Domestic Violence, Office for	162	203	203	203	207
Public Employment Relations Board	213	193	197	201	201
Public Integrity, Commission on	1,068	911	930	949	968
State, Department of	927	239	239	239	239
Tax Appeals, Division of	229	170	170	170	170
Taxation and Finance, Department of	27,763	29,553	28,729	29,053	29,053
Technology, Office for	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	865	292	298	298	304
Welfare Inspector General, Office of	27	55	65	75	77
Functional Total	<u>390,321</u>	<u>408,900</u>	<u>429,318</u>	<u>425,620</u>	<u>422,797</u>
ELECTED OFFICIALS					
Audit and Control, Department of	29,991	28,263	27,708	27,708	28,262
Executive Chamber	3,907	2,465	2,465	2,465	2,465
Judiciary	402,559	419,600	419,600	419,600	419,600
Law, Department of	11,451	13,336	13,433	13,691	13,965
Legislature	53,689	53,276	51,514	51,514	51,514
Lieutenant Governor, Office of the	146	91	91	91	91
Functional Total	<u>501,743</u>	<u>517,031</u>	<u>514,811</u>	<u>515,069</u>	<u>515,897</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	107	0	0	0	0
Functional Total	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Miscellaneous	<u>21,522</u>	<u>43,212</u>	<u>232,712</u>	<u>327,712</u>	<u>343,212</u>
Functional Total	<u>21,522</u>	<u>43,212</u>	<u>232,712</u>	<u>327,712</u>	<u>343,212</u>
TOTAL NON-PERSONAL SERVICE SPENDING	<u><u>2,021,638</u></u>	<u><u>2,226,890</u></u>	<u><u>2,513,545</u></u>	<u><u>2,661,075</u></u>	<u><u>2,718,422</u></u>

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ELECTED OFFICIALS					
Judiciary	675,050	734,700	752,103	749,603	749,603
Functional Total	<u>675,050</u>	<u>734,700</u>	<u>752,103</u>	<u>749,603</u>	<u>749,603</u>
ALL OTHER CATEGORIES					
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Miscellaneous	4,304	4,420	4,420	4,420	4,420
Functional Total	<u>4,787,247</u>	<u>5,054,799</u>	<u>5,576,154</u>	<u>6,042,898</u>	<u>6,607,367</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>5,462,297</u></u>	<u><u>5,789,499</u></u>	<u><u>6,328,257</u></u>	<u><u>6,792,501</u></u>	<u><u>7,356,970</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	78,233	70,021	83,032	83,085	80,326
Local Assistance Grants	31,980	31,761	28,907	28,907	24,907
State Operations	44,534	36,454	52,247	52,252	53,369
Personal Service	30,523	29,183	29,238	29,243	30,358
Non-Personal Service/Indirect Costs	14,011	7,271	23,009	23,009	23,011
General State Charges	1,719	1,806	1,878	1,926	2,050
<i>Alcoholic Beverage Control, Division of</i>	11,248	12,683	12,683	12,744	13,060
State Operations	11,127	12,683	12,683	12,744	13,060
Personal Service	7,742	8,147	8,147	8,208	8,524
Non-Personal Service/Indirect Costs	3,385	4,536	4,536	4,536	4,536
General State Charges	121	0	0	0	0
<i>Economic Development, Department of</i>	75,181	77,899	78,558	78,558	78,558
Local Assistance Grants	53,998	58,320	58,979	58,979	58,979
State Operations	21,183	19,551	19,551	19,551	19,551
Personal Service	13,152	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	8,031	6,622	6,622	6,622	6,622
General State Charges	0	28	28	28	28
<i>Empire State Development Corporation</i>	122,298	133,981	132,246	132,246	132,246
Local Assistance Grants	122,298	133,981	132,246	132,246	132,246
<i>Financial Services, Department of</i>	362,563	359,644	361,581	368,179	371,354
Local Assistance Grants	66,703	59,488	59,430	59,412	59,406
State Operations	209,208	206,743	206,790	210,728	210,825
Personal Service	151,522	151,883	151,928	152,663	152,737
Non-Personal Service/Indirect Costs	57,686	54,860	54,862	58,065	58,088
General State Charges	86,652	93,413	95,361	98,039	101,123
<i>Olympic Regional Development Authority</i>	2,736	2,686	5,886	5,886	5,886
State Operations	2,736	2,686	5,886	5,886	5,886
Personal Service	2,548	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	188	138	3,338	3,338	3,338
<i>Public Service Department</i>	75,529	76,535	77,070	77,800	80,131
Local Assistance Grants	0	155	155	155	155
State Operations	50,006	49,558	49,558	49,558	51,169
Personal Service	41,485	41,886	41,886	41,886	43,497
Non-Personal Service/Indirect Costs	8,521	7,672	7,672	7,672	7,672
General State Charges	25,523	26,822	27,357	28,087	28,807
Functional Total	727,788	733,449	751,056	758,498	761,561
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>	4,535	4,413	4,413	4,413	4,571
State Operations	4,535	4,413	4,413	4,413	4,571
Personal Service	4,112	4,108	4,108	4,108	4,266
Non-Personal Service/Indirect Costs	423	305	305	305	305
<i>Environmental Conservation, Department of</i>	274,904	268,260	267,227	264,812	270,627
Local Assistance Grants	2,105	6,911	4,618	4,618	4,618
State Operations	225,085	211,205	211,234	211,937	218,437
Personal Service	176,058	170,279	170,560	170,677	177,242
Non-Personal Service/Indirect Costs	49,027	40,926	40,674	41,260	41,195
General State Charges	47,714	50,144	51,375	48,257	47,572
<i>Parks, Recreation and Historic Preservation, Office of</i>	191,925	181,391	177,853	179,164	185,625
Local Assistance Grants	7,963	7,240	6,200	6,200	6,200
State Operations	178,446	168,553	168,530	169,841	176,164
Personal Service	135,346	123,847	124,824	126,135	132,456
Non-Personal Service/Indirect Costs	43,100	44,706	43,706	43,706	43,708

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
General State Charges	2,972	3,123	3,123	3,123	3,261
Capital Projects	2,544	2,475	0	0	0
Functional Total	<u>471,364</u>	<u>454,064</u>	<u>449,493</u>	<u>448,389</u>	<u>460,823</u>
TRANSPORTATION					
Motor Vehicles, Department of	<u>70,033</u>	<u>72,467</u>	<u>70,945</u>	<u>70,945</u>	<u>73,210</u>
Local Assistance Grants	0	1,600	0	0	0
State Operations	49,560	49,352	49,352	49,352	50,794
Personal Service	35,655	35,959	35,959	35,959	37,361
Non-Personal Service/Indirect Costs	13,905	13,393	13,393	13,393	13,433
General State Charges	20,473	21,515	21,593	21,593	22,416
Transportation, Department of	<u>5,002,277</u>	<u>5,039,399</u>	<u>5,091,603</u>	<u>5,187,750</u>	<u>5,252,500</u>
Local Assistance Grants	4,977,299	5,025,262	5,078,348	5,174,495	5,239,039
State Operations	21,228	11,442	11,253	11,253	11,382
Personal Service	6,497	3,413	3,256	3,256	3,381
Non-Personal Service/Indirect Costs	14,731	8,029	7,997	7,997	8,001
General State Charges	3,750	2,695	2,002	2,002	2,079
Functional Total	<u>5,072,310</u>	<u>5,111,866</u>	<u>5,162,548</u>	<u>5,258,695</u>	<u>5,325,710</u>
HEALTH					
Aging, Office for the	<u>126,347</u>	<u>124,580</u>	<u>125,883</u>	<u>131,106</u>	<u>136,502</u>
Local Assistance Grants	125,116	123,348	124,651	129,874	135,227
State Operations	1,231	1,232	1,232	1,232	1,275
Personal Service	1,125	1,125	1,125	1,125	1,168
Non-Personal Service/Indirect Costs	106	107	107	107	107
Health, Department of	<u>20,477,016</u>	<u>21,321,700</u>	<u>22,189,362</u>	<u>23,324,369</u>	<u>24,219,737</u>
Medical Assistance	<u>17,505,933</u>	<u>18,131,267</u>	<u>19,001,541</u>	<u>19,971,466</u>	<u>20,658,879</u>
Local Assistance Grants	17,505,933	18,131,267	19,001,541	19,971,466	20,658,879
Essential Plan	<u>312,837</u>	<u>491,693</u>	<u>418,576</u>	<u>438,643</u>	<u>454,574</u>
Local Assistance Grants	269,000	431,367	354,940	364,878	374,730
State Operations	43,837	60,326	63,636	73,765	79,844
Personal Service	1,367	1,843	1,899	1,956	2,162
Non-Personal Service/Indirect Costs	42,470	58,483	61,737	71,809	77,682
Medicaid Administration	<u>728,644</u>	<u>796,776</u>	<u>839,531</u>	<u>839,363</u>	<u>860,944</u>
Local Assistance Grants	467,643	434,306	417,908	386,511	386,511
State Operations	261,001	362,470	421,623	452,852	474,433
Personal Service	36,908	41,622	47,576	51,106	54,512
Non-Personal Service/Indirect Costs	224,093	320,848	374,047	401,746	419,921
Public Health	<u>1,929,602</u>	<u>1,901,964</u>	<u>1,929,714</u>	<u>2,074,897</u>	<u>2,245,340</u>
Local Assistance Grants	1,515,383	1,502,412	1,533,426	1,676,840	1,840,606
State Operations	383,676	366,757	364,041	364,904	369,647
Personal Service	223,681	210,246	208,806	208,811	213,380
Non-Personal Service/Indirect Costs	159,995	156,511	155,235	156,093	156,267
General State Charges	30,543	32,795	32,247	33,153	35,087
Medicaid Inspector General, Office of the	<u>20,489</u>	<u>18,533</u>	<u>18,111</u>	<u>18,111</u>	<u>18,718</u>
State Operations	20,489	18,533	18,111	18,111	18,718
Personal Service	16,415	15,358	14,936	14,936	15,543
Non-Personal Service/Indirect Costs	4,074	3,175	3,175	3,175	3,175
Functional Total	<u>20,623,852</u>	<u>21,464,813</u>	<u>22,333,356</u>	<u>23,473,586</u>	<u>24,374,957</u>
SOCIAL WELFARE					
Children and Family Services, Office of	<u>1,966,820</u>	<u>1,898,589</u>	<u>2,000,876</u>	<u>2,223,281</u>	<u>2,360,586</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
OCFS	1,884,573	1,812,217	1,900,320	2,118,482	2,246,712
Local Assistance Grants	1,633,092	1,566,140	1,610,045	1,741,671	1,802,620
State Operations	249,825	244,337	288,511	375,017	442,268
Personal Service	169,024	159,708	191,418	260,623	314,495
Non-Personal Service/Indirect Costs	80,801	84,629	97,093	114,394	127,773
General State Charges	1,656	1,740	1,764	1,794	1,824
OCFS - Other	82,247	86,372	100,556	104,799	113,874
Local Assistance Grants	82,247	86,372	100,556	104,799	113,874
<i>Housing and Community Renewal, Division of</i>	60,118	69,205	89,735	99,735	102,987
Local Assistance Grants	4,370	5,482	30,085	40,085	40,085
State Operations	50,299	49,246	49,246	49,246	52,498
Personal Service	42,730	40,403	40,403	40,403	43,225
Non-Personal Service/Indirect Costs	7,569	8,843	8,843	8,843	9,273
General State Charges	5,449	14,477	10,404	10,404	10,404
<i>Human Rights, Division of</i>	10,382	9,921	9,921	9,921	10,590
State Operations	10,382	9,921	9,921	9,921	10,590
Personal Service	9,533	9,461	9,461	9,461	10,121
Non-Personal Service/Indirect Costs	849	460	460	460	469
<i>Labor, Department of</i>	82,569	82,426	67,156	67,156	69,893
Local Assistance Grants	15,403	15,420	150	150	150
State Operations	47,669	46,519	46,519	46,519	49,256
Personal Service	34,150	32,618	32,618	32,618	34,864
Non-Personal Service/Indirect Costs	13,519	13,901	13,901	13,901	14,392
General State Charges	19,497	20,487	20,487	20,487	20,487
<i>National and Community Service</i>	471	687	690	690	699
Local Assistance Grants	267	350	350	350	350
State Operations	204	337	340	340	349
Personal Service	203	328	331	331	340
Non-Personal Service/Indirect Costs	1	9	9	9	9
<i>Temporary and Disability Assistance, Office of</i>	1,357,751	1,441,700	1,471,311	1,488,442	1,495,735
Welfare Assistance	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
Local Assistance Grants	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
All Other	227,560	231,966	231,888	247,019	254,312
Local Assistance Grants	89,812	106,474	106,396	115,196	117,996
State Operations	137,626	125,364	125,364	131,695	136,188
Personal Service	73,429	68,985	68,985	74,144	77,513
Non-Personal Service/Indirect Costs	64,197	56,379	56,379	57,551	58,675
General State Charges	122	128	128	128	128
Functional Total	3,478,111	3,502,528	3,639,689	3,889,225	4,040,490
MENTAL HYGIENE					
<i>Alcoholism and Substance Abuse Services, Office of</i>	420,226	443,911	463,810	488,592	505,680
OASAS	330,886	360,957	379,145	402,616	417,502
Local Assistance Grants	282,770	312,641	329,898	352,210	365,440
State Operations	34,313	31,155	31,829	32,318	33,128
Personal Service	19,701	23,287	23,746	23,997	24,627
Non-Personal Service/Indirect Costs	14,612	7,868	8,083	8,321	8,501
General State Charges	13,803	17,161	17,418	18,088	18,934
OASAS - Other	89,340	82,954	84,665	85,976	88,178
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
State Operations	48,092	42,499	42,974	43,576	44,727
Personal Service	34,856	31,621	31,776	32,090	32,961
Non-Personal Service/Indirect Costs	13,236	10,878	11,198	11,486	11,766
General State Charges	19,923	19,130	20,366	21,075	22,126

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Justice Center	39,719	41,745	41,480	41,765	43,779
Local Assistance Grants	679	649	649	649	649
State Operations	38,190	40,203	39,860	40,127	42,141
Personal Service	29,240	31,060	31,060	31,060	32,460
Non-Personal Service/Indirect Costs	8,950	9,143	8,800	9,067	9,681
General State Charges	850	893	971	989	989
Mental Health, Office of	3,152,278	3,152,430	3,357,130	3,483,817	3,557,831
OMH	1,457,079	1,460,233	1,633,280	1,717,104	1,757,482
Local Assistance Grants	916,016	935,845	1,095,393	1,169,451	1,209,829
State Operations	348,833	348,053	352,410	356,815	356,815
Personal Service	288,507	299,096	302,088	305,124	305,124
Non-Personal Service/Indirect Costs	60,326	48,957	50,322	51,691	51,691
General State Charges	192,230	176,335	185,477	190,838	190,838
OMH - Other	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Local Assistance Grants	270,500	268,824	299,814	311,017	322,500
State Operations	995,433	983,172	966,593	981,897	1,004,050
Personal Service	784,057	754,672	735,243	746,094	762,281
Non-Personal Service/Indirect Costs	211,376	228,500	231,350	235,803	241,769
General State Charges	429,266	440,201	457,443	473,799	473,799
Mental Hygiene, Department of	227	0	0	0	0
State Operations	227	0	0	0	0
Non-Personal Service/Indirect Costs	227	0	0	0	0
People with Developmental Disabilities, Office for	2,903,369	2,919,622	3,186,502	3,396,485	3,595,920
OPWDD	384,881	366,665	405,212	459,808	497,074
Local Assistance Grants	384,818	366,484	405,031	459,627	496,893
State Operations	63	181	181	181	181
Non-Personal Service/Indirect Costs	63	181	181	181	181
OPWDD - Other	2,518,488	2,552,957	2,781,290	2,936,677	3,098,846
Local Assistance Grants	583,954	578,632	809,759	926,884	1,043,209
State Operations	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Personal Service	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Non-Personal Service/Indirect Costs	205,332	208,948	209,262	213,973	218,913
General State Charges	637,200	674,773	677,487	701,146	728,533
Functional Total	6,515,819	6,557,708	7,048,922	7,410,659	7,703,210
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
State Operations	2,431	2,651	2,651	2,651	2,773
Personal Service	2,234	2,365	2,414	2,414	2,531
Non-Personal Service/Indirect Costs	197	286	237	237	242
Correctional Services, Department of	2,645,220	2,622,876	2,625,632	2,632,787	2,638,417
Local Assistance Grants	4,457	5,497	5,497	5,497	5,497
State Operations	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Personal Service	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Non-Personal Service/Indirect Costs	527,258	565,046	565,046	565,046	565,046
General State Charges	101	106	106	106	106
Criminal Justice Services, Division of	191,089	197,486	195,374	195,374	196,349
Local Assistance Grants	155,863	158,658	156,546	156,546	156,546
State Operations	35,193	38,793	38,793	38,793	39,768
Personal Service	26,998	25,582	25,582	25,582	26,557
Non-Personal Service/Indirect Costs	8,195	13,211	13,211	13,211	13,211
General State Charges	33	35	35	35	35
Homeland Security and Emergency Services, Division of	70,123	63,988	94,817	130,251	129,145
Local Assistance Grants	43,427	32,733	59,039	93,773	91,542

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	26,030	30,556	35,056	35,756	36,881
Personal Service	16,748	18,428	22,428	23,128	24,253
Non-Personal Service/Indirect Costs	9,282	12,128	12,628	12,628	12,628
General State Charges	666	699	722	722	722
Indigent Legal Services, Office of	63,641	99,781	165,528	189,621	213,781
Local Assistance Grants	60,503	95,465	160,672	184,765	208,859
State Operations	2,171	3,300	3,300	3,300	3,366
Personal Service	1,678	2,520	2,520	2,520	2,586
Non-Personal Service/Indirect Costs	493	780	780	780	780
General State Charges	967	1,016	1,556	1,556	1,556
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
State Operations	5,505	5,584	5,643	5,708	5,936
Personal Service	4,181	4,281	4,312	4,347	4,548
Non-Personal Service/Indirect Costs	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	10	30	30	30	30
State Operations	10	30	30	30	30
Non-Personal Service/Indirect Costs	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38
State Operations	9	38	38	38	38
Non-Personal Service/Indirect Costs	9	38	38	38	38
Military and Naval Affairs, Division of	27,594	22,505	22,505	22,505	22,806
Local Assistance Grants	786	820	820	820	820
State Operations	26,799	21,676	21,676	21,676	21,977
Personal Service	17,164	14,295	14,295	14,295	14,596
Non-Personal Service/Indirect Costs	9,635	7,381	7,381	7,381	7,381
General State Charges	9	9	9	9	9
State Police, Division of	738,493	673,674	672,823	673,013	698,433
State Operations	720,382	670,853	669,923	670,003	695,423
Personal Service	649,718	611,489	610,539	610,589	635,979
Non-Personal Service/Indirect Costs	70,664	59,364	59,384	59,414	59,444
General State Charges	18,111	2,821	2,900	3,010	3,010
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
State Operations	30,309	30,137	30,137	30,137	30,580
Personal Service	11,045	11,513	11,513	11,513	11,956
Non-Personal Service/Indirect Costs	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	28,996	41,067	41,067	41,067	41,184
Local Assistance Grants	23,748	35,466	35,466	35,466	35,466
State Operations	3,678	3,951	3,951	3,951	4,068
Personal Service	3,145	3,176	3,176	3,176	3,293
Non-Personal Service/Indirect Costs	533	775	775	775	775
General State Charges	1,570	1,650	1,650	1,650	1,650
Functional Total	3,803,420	3,759,817	3,856,245	3,923,182	3,979,472
HIGHER EDUCATION					
City University of New York	1,526,003	1,534,553	1,583,640	1,609,107	1,636,048
Local Assistance Grants	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
State Operations	94,213	117,032	90,459	91,948	93,465
Personal Service	48,975	75,996	47,596	48,228	48,870
Non-Personal Service/Indirect Costs	45,238	41,036	42,863	43,720	44,595
General State Charges	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	529	441	441	441	441
State Operations	386	291	291	291	291
Personal Service	283	198	198	198	198
Non-Personal Service/Indirect Costs	103	93	93	93	93
General State Charges	143	150	150	150	150

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Higher Education Services Corporation, New York State	1,014,320	955,538	1,207,216	1,246,090	1,271,241
Local Assistance Grants	957,504	897,528	1,149,206	1,188,080	1,213,231
State Operations	48,292	49,052	49,052	49,052	49,052
Personal Service	14,475	13,314	13,314	13,314	13,314
Non-Personal Service/Indirect Costs	33,817	35,738	35,738	35,738	35,738
General State Charges	8,524	8,958	8,958	8,958	8,958
State University of New York	6,914,894	6,915,476	7,217,386	7,407,497	7,604,238
Local Assistance Grants	491,891	492,021	500,063	499,730	499,730
State Operations	6,002,720	5,961,568	6,229,897	6,394,720	6,571,461
Personal Service	3,766,631	3,693,572	3,857,106	3,964,807	4,122,542
Non-Personal Service/Indirect Costs	2,236,089	2,267,996	2,372,791	2,429,913	2,448,919
General State Charges	420,283	461,887	487,426	513,047	533,047
Functional Total	9,455,746	9,406,008	10,008,683	10,263,135	10,511,968
EDUCATION					
Arts, Council on the	42,832	45,413	45,253	45,253	45,349
Local Assistance Grants	38,907	41,093	40,933	40,933	40,933
State Operations	3,925	4,320	4,320	4,320	4,416
Personal Service	2,491	2,498	2,498	2,498	2,594
Non-Personal Service/Indirect Costs	1,434	1,822	1,822	1,822	1,822
Education, Department of	29,854,304	30,843,696	31,890,569	33,030,501	34,431,801
School Aid	24,350,703	25,762,457	26,806,040	27,923,434	29,190,270
Local Assistance Grants	24,350,703	25,762,457	26,806,040	27,923,434	29,190,270
STAR Property Tax Relief	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Local Assistance Grants	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Special Education Categorical Programs	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
Local Assistance Grants	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
All Other	1,047,191	1,113,052	1,112,290	1,084,001	1,113,918
Local Assistance Grants	875,873	931,005	931,524	904,465	928,635
State Operations	139,176	146,888	144,861	142,699	145,966
Personal Service	86,602	88,089	88,090	87,484	90,693
Non-Personal Service/Indirect Costs	52,574	58,799	56,771	55,215	55,273
General State Charges	32,142	35,159	35,905	36,837	39,317
Functional Total	29,897,136	30,889,109	31,935,822	33,075,754	34,477,150
GENERAL GOVERNMENT					
Budget, Division of the	25,335	31,123	30,596	30,596	31,603
State Operations	24,559	29,466	28,939	28,939	29,886
Personal Service	20,619	24,567	24,567	24,567	25,511
Non-Personal Service/Indirect Costs	3,940	4,899	4,372	4,372	4,375
General State Charges	776	1,657	1,657	1,657	1,717
Civil Service, Department of	13,379	13,293	13,419	13,529	14,013
State Operations	13,295	13,205	13,331	13,441	13,925
Personal Service	12,212	12,384	12,497	12,591	13,075
Non-Personal Service/Indirect Costs	1,083	821	834	850	850
General State Charges	84	88	88	88	88
Deferred Compensation Board	613	846	846	853	854
State Operations	418	641	641	648	649
Personal Service	368	410	410	413	414
Non-Personal Service/Indirect Costs	50	231	231	235	235
General State Charges	195	205	205	205	205
Elections, State Board of	7,188	8,482	8,587	8,697	9,033
Local Assistance Grants	93	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
State Operations	7,095	8,482	8,587	8,697	9,033
Personal Service	5,765	5,875	6,018	6,063	6,346
Non-Personal Service/Indirect Costs	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
State Operations	2,334	2,581	2,601	2,621	2,719
Personal Service	2,269	2,510	2,529	2,548	2,646
Non-Personal Service/Indirect Costs	65	71	72	73	73
Gaming Commission, New York State	253,064	233,303	238,703	244,803	247,220
Local Assistance Grants	101,820	105,000	125,400	131,500	131,500
State Operations	138,467	114,876	99,876	99,876	101,403
Personal Service	32,822	38,757	38,757	38,757	40,248
Non-Personal Service/Indirect Costs	105,645	76,119	61,119	61,119	61,155
General State Charges	12,777	13,427	13,427	13,427	14,317
General Services, Office of	161,219	144,297	142,627	138,527	139,092
State Operations	160,812	143,870	142,175	138,075	138,640
Personal Service	77,580	56,951	56,951	56,951	60,376
Non-Personal Service/Indirect Costs	83,232	86,919	85,224	81,124	78,264
General State Charges	407	427	452	452	452
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
State Operations	7,179	7,367	7,427	7,487	7,826
Personal Service	5,833	6,552	6,600	6,648	6,970
Non-Personal Service/Indirect Costs	1,346	815	827	839	856
Labor Management Committees	28,818	25,300	25,300	25,306	25,517
State Operations	28,818	25,300	25,300	25,306	25,517
Personal Service	7,871	5,446	5,446	5,487	5,698
Non-Personal Service/Indirect Costs	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	2,028	2,881	2,881	2,981	3,053
Local Assistance Grants	528	1,285	1,285	1,385	1,385
State Operations	1,500	1,596	1,596	1,596	1,668
Personal Service	1,338	1,388	1,388	1,388	1,456
Non-Personal Service/Indirect Costs	162	208	208	208	212
Public Employment Relations Board	3,281	3,573	3,604	3,634	3,764
State Operations	3,281	3,573	3,604	3,634	3,764
Personal Service	3,056	3,336	3,363	3,388	3,518
Non-Personal Service/Indirect Costs	225	237	241	246	246
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State Operations	4,876	5,531	5,576	5,630	5,835
Personal Service	3,808	4,620	4,646	4,681	4,867
Non-Personal Service/Indirect Costs	1,068	911	930	949	968
State, Department of	69,942	71,642	61,358	61,662	61,980
Local Assistance Grants	12,643	17,884	7,379	7,379	7,379
State Operations	47,676	42,130	42,130	42,130	42,130
Personal Service	29,588	28,337	28,337	28,337	28,337
Non-Personal Service/Indirect Costs	18,088	13,793	13,793	13,793	13,793
General State Charges	9,623	11,628	11,849	12,153	12,471
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
State Operations	2,833	3,040	3,040	3,040	3,150
Personal Service	2,604	2,870	2,870	2,870	2,980
Non-Personal Service/Indirect Costs	229	170	170	170	170
Taxation and Finance, Department of	357,907	358,640	358,315	358,140	369,667
Local Assistance Grants	1,080	2,726	2,726	2,726	2,726
State Operations	331,810	329,624	329,132	329,124	339,531
Personal Service	284,526	269,907	269,907	269,907	280,269
Non-Personal Service/Indirect Costs	47,284	59,717	59,225	59,217	59,262
General State Charges	25,017	26,290	26,457	26,290	27,410

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Technology, Office for	548,366	536,032	559,582	559,582	569,995
State Operations	548,366	536,032	559,582	559,582	569,995
Personal Service	298,543	270,712	270,712	270,712	281,125
Non-Personal Service/Indirect Costs	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	14,291	15,553	13,873	13,873	14,119
Local Assistance Grants	8,340	9,382	7,637	7,637	7,637
State Operations	5,951	6,171	6,236	6,236	6,482
Personal Service	5,086	5,879	5,938	5,938	6,178
Non-Personal Service/Indirect Costs	865	292	298	298	304
Welfare Inspector General, Office of	592	672	686	701	731
State Operations	592	672	686	701	731
Personal Service	565	617	621	626	654
Non-Personal Service/Indirect Costs	27	55	65	75	77
Workers' Compensation Board	187,641	193,016	196,792	198,595	208,376
State Operations	138,722	141,607	143,390	145,193	150,513
Personal Service	82,632	80,878	81,493	82,104	86,209
Non-Personal Service/Indirect Costs	56,090	60,729	61,897	63,089	64,304
General State Charges	48,919	51,409	53,402	53,402	57,863
Functional Total	1,690,886	1,657,172	1,675,813	1,680,257	1,718,547
ELECTED OFFICIALS					
Audit and Control, Department of	182,905	183,148	181,070	181,253	187,628
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	149,275	149,094	146,661	146,844	153,187
Personal Service	115,964	115,350	113,609	113,687	119,369
Non-Personal Service/Indirect Costs	33,311	33,744	33,052	33,157	33,818
General State Charges	1,605	2,030	2,385	2,385	2,417
Executive Chamber	14,653	13,578	13,578	13,578	14,032
State Operations	14,653	13,578	13,578	13,578	14,032
Personal Service	10,746	11,113	11,113	11,113	11,567
Non-Personal Service/Indirect Costs	3,907	2,465	2,465	2,465	2,465
Judiciary	2,822,161	2,938,497	2,996,306	2,996,305	3,053,306
Local Assistance Grants	101,099	108,497	123,503	123,502	123,503
State Operations	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Personal Service	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Non-Personal Service/Indirect Costs	450,799	470,500	470,500	470,500	470,500
General State Charges	702,163	764,300	781,703	779,203	779,203
Law, Department of	184,901	193,308	194,641	197,364	205,560
State Operations	170,170	175,579	174,387	176,833	183,996
Personal Service	120,219	121,274	119,097	120,450	126,506
Non-Personal Service/Indirect Costs	49,951	54,305	55,290	56,383	57,490
General State Charges	14,731	17,729	20,254	20,531	21,564
Legislature	225,893	225,980	218,795	218,795	219,111
State Operations	225,893	225,980	218,795	218,795	219,111
Personal Service	170,623	171,104	166,331	166,331	166,647
Non-Personal Service/Indirect Costs	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	510	614	614	614	634
State Operations	510	614	614	614	634
Personal Service	364	523	523	523	543
Non-Personal Service/Indirect Costs	146	91	91	91	91
Functional Total	3,431,023	3,555,125	3,605,004	3,607,909	3,680,271

LOCAL GOVERNMENT ASSISTANCE

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
<i>Aid and Incentives for Municipalities</i>	714,839	722,974	763,347	763,347	763,347
Local Assistance Grants	714,732	722,974	763,347	763,347	763,347
State Operations	107	0	0	0	0
Non-Personal Service/Indirect Costs	107	0	0	0	0
<i>Miscellaneous Financial Assistance</i>	16,046	9,271	2,250	2,250	0
Local Assistance Grants	16,046	9,271	2,250	2,250	0
<i>Municipalities with VLT Facilities</i>	29,331	28,885	28,885	28,885	28,885
Local Assistance Grants	29,331	28,885	28,885	28,885	28,885
<i>Small Government Assistance</i>	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	<u>760,433</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES					
<i>General State Charges</i>	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
<i>Long-Term Debt Service</i>	5,552,429	5,355,838	6,549,584	7,184,331	7,452,328
State Operations	38,647	36,852	50,389	50,389	50,389
Non-Personal Service/Indirect Costs	38,647	36,852	50,389	50,389	50,389
Debt Service	5,513,782	5,318,986	6,499,195	7,133,942	7,401,939
<i>Miscellaneous</i>	(64,162)	(125,334)	(55,971)	147,378	214,146
Local Assistance Grants	(94,357)	(232,743)	(258,443)	(105,110)	(88,443)
State Operations	24,783	101,825	196,841	246,857	296,940
Personal Service	1,939	57,053	152,058	202,063	252,144
Non-Personal Service/Indirect Costs	22,844	44,772	44,783	44,794	44,796
General State Charges	5,412	5,584	5,631	5,631	5,649
Functional Total	<u>10,271,210</u>	<u>10,280,883</u>	<u>12,065,347</u>	<u>13,370,187</u>	<u>14,269,421</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u>96,199,098</u>	<u>98,133,890</u>	<u>103,326,678</u>	<u>107,954,176</u>	<u>112,096,030</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	78,233	70,021	83,032	83,085	80,326
Alcoholic Beverage Control, Division of	11,248	12,683	12,683	12,744	13,060
Economic Development, Department of	75,181	77,899	78,558	78,558	78,558
Empire State Development Corporation	122,298	133,981	132,246	132,246	132,246
Financial Services, Department of	362,563	359,644	361,581	368,179	371,354
Olympic Regional Development Authority	2,736	2,686	5,886	5,886	5,886
Public Service Department	75,529	76,535	77,070	77,800	80,131
Functional Total	727,788	733,449	751,056	758,498	761,561
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	274,904	268,260	267,227	264,812	270,627
Parks, Recreation and Historic Preservation, Office of	191,925	181,391	177,853	179,164	185,625
Functional Total	471,364	454,064	449,493	448,389	460,823
TRANSPORTATION					
Motor Vehicles, Department of	70,033	72,467	70,945	70,945	73,210
Transportation, Department of	5,002,277	5,039,399	5,091,603	5,187,750	5,252,500
Functional Total	5,072,310	5,111,866	5,162,548	5,258,695	5,325,710
HEALTH					
Aging, Office for the	126,347	124,580	125,883	131,106	136,502
Health, Department of	20,477,016	21,321,700	22,189,362	23,324,369	24,219,737
<i>Medical Assistance</i>	17,505,933	18,131,267	19,001,541	19,971,466	20,658,879
<i>Essential Plan</i>	312,837	491,693	418,576	438,643	454,574
<i>Medicaid Administration</i>	728,644	796,776	839,531	839,363	860,944
<i>Public Health</i>	1,929,602	1,901,964	1,929,714	2,074,897	2,245,340
Medicaid Inspector General, Office of the	20,489	18,533	18,111	18,111	18,718
Functional Total	20,623,852	21,464,813	22,333,356	23,473,586	24,374,957
SOCIAL WELFARE					
Children and Family Services, Office of	1,966,820	1,898,589	2,000,876	2,223,281	2,360,586
<i>OCFS</i>	1,884,573	1,812,217	1,900,320	2,118,482	2,246,712
<i>OCFS - Other</i>	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	60,118	69,205	89,735	99,735	102,987
Human Rights, Division of	10,382	9,921	9,921	9,921	10,590
Labor, Department of	82,569	82,426	67,156	67,156	69,893
National and Community Service	471	687	690	690	699
Temporary and Disability Assistance, Office of	1,357,751	1,441,700	1,471,311	1,488,442	1,495,735
<i>Welfare Assistance</i>	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	227,560	231,966	231,888	247,019	254,312
Functional Total	3,478,111	3,502,528	3,639,689	3,889,225	4,040,490
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	420,226	443,911	463,810	488,592	505,680
<i>OASAS</i>	330,886	360,957	379,145	402,616	417,502
<i>OASAS - Other</i>	89,340	82,954	84,665	85,976	88,178
Justice Center	39,719	41,745	41,480	41,765	43,779
Mental Health, Office of	3,152,278	3,152,430	3,357,130	3,483,817	3,557,831
<i>OMH</i>	1,457,079	1,460,233	1,633,280	1,717,104	1,757,482
<i>OMH - Other</i>	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	2,903,369	2,919,622	3,186,502	3,396,485	3,595,920
<i>OPWDD</i>	384,881	366,665	405,212	459,808	497,074
<i>OPWDD - Other</i>	2,518,488	2,552,957	2,781,290	2,936,677	3,098,846
Functional Total	6,515,819	6,557,708	7,048,922	7,410,659	7,703,210
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,645,220	2,622,876	2,625,632	2,632,787	2,638,417
Criminal Justice Services, Division of	191,089	197,486	195,374	195,374	196,349
Homeland Security and Emergency Services, Division of	70,123	63,988	94,817	130,251	129,145
Indigent Legal Services, Office of	63,641	99,781	165,528	189,621	213,781

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	27,594	22,505	22,505	22,505	22,806
State Police, Division of	738,493	673,674	672,823	673,013	698,433
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	28,996	41,067	41,067	41,067	41,184
Functional Total	3,803,420	3,759,817	3,856,245	3,923,182	3,979,472
HIGHER EDUCATION					
City University of New York	1,526,003	1,534,553	1,583,640	1,609,107	1,636,048
Higher Education - Miscellaneous	529	441	441	441	441
Higher Education Services Corporation, New York State	1,014,320	955,538	1,207,216	1,246,090	1,271,241
State University of New York	6,914,894	6,915,476	7,217,386	7,407,497	7,604,238
Functional Total	9,455,746	9,406,008	10,008,683	10,263,135	10,511,968
EDUCATION					
Arts, Council on the	42,832	45,413	45,253	45,253	45,349
Education, Department of	29,854,304	30,843,696	31,890,569	33,030,501	34,431,801
<i>School Aid</i>	24,350,703	25,762,457	26,806,040	27,923,434	29,190,270
<i>STAR Property Tax Relief</i>	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	1,047,191	1,113,052	1,112,290	1,084,001	1,113,918
Functional Total	29,897,136	30,889,109	31,935,822	33,075,754	34,477,150
GENERAL GOVERNMENT					
Budget, Division of the	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,379	13,293	13,419	13,529	14,013
Deferred Compensation Board	613	846	846	853	854
Elections, State Board of	7,188	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	253,064	233,303	238,703	244,803	247,220
General Services, Office of	161,219	144,297	142,627	138,527	139,092
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,028	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State, Department of	69,942	71,642	61,358	61,662	61,980
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	357,907	358,640	358,315	358,140	369,667
Technology, Office for	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	14,291	15,553	13,873	13,873	14,119
Welfare Inspector General, Office of	592	672	686	701	731
Workers' Compensation Board	187,641	193,016	196,792	198,595	208,376
Functional Total	1,690,886	1,657,172	1,675,813	1,680,257	1,718,547
ELECTED OFFICIALS					
Audit and Control, Department of	182,905	183,148	181,070	181,253	187,628
Executive Chamber	14,653	13,578	13,578	13,578	14,032
Judiciary	2,822,161	2,938,497	2,996,306	2,996,305	3,053,306
Law, Department of	184,901	193,308	194,641	197,364	205,560
Legislature	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	510	614	614	614	634
Functional Total	3,431,023	3,555,125	3,605,004	3,607,909	3,680,271
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,839	722,974	763,347	763,347	763,347
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	760,433	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES					
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Long-Term Debt Service	5,552,429	5,355,838	6,549,584	7,184,331	7,452,328
Miscellaneous	(64,162)	(125,334)	(55,971)	147,378	214,146
Functional Total	<u>10,271,210</u>	<u>10,280,883</u>	<u>12,065,347</u>	<u>13,370,187</u>	<u>14,269,421</u>
TOTAL STATE OPERATING FUNDS SPENDING	<u><u>96,199,098</u></u>	<u><u>98,133,890</u></u>	<u><u>103,326,678</u></u>	<u><u>107,954,176</u></u>	<u><u>112,096,030</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,980	31,761	28,907	28,907	24,907
Economic Development, Department of	53,998	58,320	58,979	58,979	58,979
Empire State Development Corporation	122,298	133,981	132,246	132,246	132,246
Financial Services, Department of	66,703	59,488	59,430	59,412	59,406
Public Service Department	0	155	155	155	155
Functional Total	274,979	283,705	279,717	279,699	275,693
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,105	6,911	4,618	4,618	4,618
Parks, Recreation and Historic Preservation, Office of	7,963	7,240	6,200	6,200	6,200
Functional Total	10,068	14,151	10,818	10,818	10,818
TRANSPORTATION					
Motor Vehicles, Department of	0	1,600	0	0	0
Transportation, Department of	4,977,299	5,025,262	5,078,348	5,174,495	5,239,039
Functional Total	4,977,299	5,026,862	5,078,348	5,174,495	5,239,039
HEALTH					
Aging, Office for the	125,116	123,348	124,651	129,874	135,227
Health, Department of	19,757,959	20,499,352	21,307,815	22,399,695	23,260,726
<i>Medical Assistance</i>	17,505,933	18,131,267	19,001,541	19,971,466	20,658,879
<i>Essential Plan</i>	269,000	431,367	354,940	364,878	374,730
<i>Medicaid Administration</i>	467,643	434,306	417,908	386,511	386,511
<i>Public Health</i>	1,515,383	1,502,412	1,533,426	1,676,840	1,840,606
Functional Total	19,883,075	20,622,700	21,432,466	22,529,569	23,395,953
SOCIAL WELFARE					
Children and Family Services, Office of	1,715,339	1,652,512	1,710,601	1,846,470	1,916,494
<i>OCFS</i>	1,633,092	1,566,140	1,610,045	1,741,671	1,802,620
<i>OCFS - Other</i>	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	4,370	5,482	30,085	40,085	40,085
Labor, Department of	15,403	15,420	150	150	150
National and Community Service	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,220,003	1,316,208	1,345,819	1,356,619	1,359,419
<i>Welfare Assistance</i>	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	89,812	106,474	106,396	115,196	117,996
Functional Total	2,955,382	2,989,972	3,087,005	3,243,674	3,316,498
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	304,095	333,966	351,223	373,535	386,765
<i>OASAS</i>	282,770	312,641	329,898	352,210	365,440
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649
Mental Health, Office of	1,186,516	1,204,669	1,395,207	1,480,468	1,532,329
<i>OMH</i>	916,016	935,845	1,095,393	1,169,451	1,209,829
<i>OMH - Other</i>	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	968,772	945,116	1,214,790	1,386,511	1,540,102
<i>OPWDD</i>	384,818	366,484	405,031	459,627	496,893
<i>OPWDD - Other</i>	583,954	578,632	809,759	926,884	1,043,209
Functional Total	2,460,062	2,484,400	2,961,869	3,241,163	3,459,845
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	155,863	158,658	156,546	156,546	156,546
Homeland Security and Emergency Services, Division of	43,427	32,733	59,039	93,773	91,542
Indigent Legal Services, Office of	60,503	95,465	160,672	184,765	208,859
Military and Naval Affairs, Division of	786	820	820	820	820
Victim Services, Office of	23,748	35,466	35,466	35,466	35,466
Functional Total	288,784	328,639	418,040	476,867	498,730
HIGHER EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
City University of New York	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Services Corporation, New York State	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	491,891	492,021	500,063	499,730	499,730
Functional Total	<u>2,873,524</u>	<u>2,799,178</u>	<u>3,134,558</u>	<u>3,197,077</u>	<u>3,247,652</u>
EDUCATION					
Arts, Council on the	38,907	41,093	40,933	40,933	40,933
Education, Department of	<u>29,682,986</u>	<u>30,661,649</u>	<u>31,709,803</u>	<u>32,850,965</u>	<u>34,246,518</u>
<i>School Aid</i>	24,350,703	25,762,457	26,806,040	27,923,434	29,190,270
<i>STAR Property Tax Relief</i>	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	875,873	931,005	931,524	904,465	928,635
Functional Total	<u>29,721,893</u>	<u>30,702,742</u>	<u>31,750,736</u>	<u>32,891,898</u>	<u>34,287,451</u>
GENERAL GOVERNMENT					
Elections, State Board of	93	0	0	0	0
Gaming Commission, New York State	101,820	105,000	125,400	131,500	131,500
Prevention of Domestic Violence, Office for	528	1,285	1,285	1,385	1,385
State, Department of	12,643	17,884	7,379	7,379	7,379
Taxation and Finance, Department of	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	8,340	9,382	7,637	7,637	7,637
Functional Total	<u>124,504</u>	<u>136,277</u>	<u>144,427</u>	<u>150,627</u>	<u>150,627</u>
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>101,099</u>	<u>108,497</u>	<u>123,503</u>	<u>123,502</u>	<u>123,503</u>
Functional Total	<u>133,124</u>	<u>140,521</u>	<u>155,527</u>	<u>155,526</u>	<u>155,527</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,732	722,974	763,347	763,347	763,347
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,326</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES					
Miscellaneous	(94,357)	(232,743)	(258,443)	(105,110)	(88,443)
Functional Total	<u>(94,357)</u>	<u>(232,743)</u>	<u>(258,443)</u>	<u>(105,110)</u>	<u>(88,443)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u>64,368,663</u>	<u>66,057,752</u>	<u>68,989,768</u>	<u>72,041,003</u>	<u>74,741,840</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	44,534	36,454	52,247	52,252	53,369
Alcoholic Beverage Control, Division of	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	21,183	19,551	19,551	19,551	19,551
Financial Services, Department of	209,208	206,743	206,790	210,728	210,825
Olympic Regional Development Authority	2,736	2,686	5,886	5,886	5,886
Public Service Department	50,006	49,558	49,558	49,558	51,169
Functional Total	338,794	327,675	346,715	350,719	353,860
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	225,085	211,205	211,234	211,937	218,437
Parks, Recreation and Historic Preservation, Office of	178,446	168,553	168,530	169,841	176,164
Functional Total	408,066	384,171	384,177	386,191	399,172
TRANSPORTATION					
Motor Vehicles, Department of	49,560	49,352	49,352	49,352	50,794
Transportation, Department of	21,228	11,442	11,253	11,253	11,382
Functional Total	70,788	60,794	60,605	60,605	62,176
HEALTH					
Aging, Office for the	1,231	1,232	1,232	1,232	1,275
Health, Department of	688,514	789,553	849,300	891,521	923,924
<i>Essential Plan</i>	43,837	60,326	63,636	73,765	79,844
<i>Medicaid Administration</i>	261,001	362,470	421,623	452,852	474,433
<i>Public Health</i>	383,676	366,757	364,041	364,904	369,647
Medicaid Inspector General, Office of the	20,489	18,533	18,111	18,111	18,718
Functional Total	710,234	809,318	868,643	910,864	943,917
SOCIAL WELFARE					
Children and Family Services, Office of	249,825	244,337	288,511	375,017	442,268
<i>OCFS</i>	249,825	244,337	288,511	375,017	442,268
Housing and Community Renewal, Division of	50,299	49,246	49,246	49,246	52,498
Human Rights, Division of	10,382	9,921	9,921	9,921	10,590
Labor, Department of	47,669	46,519	46,519	46,519	49,256
National and Community Service	204	337	340	340	349
Temporary and Disability Assistance, Office of	137,626	125,364	125,364	131,695	136,188
<i>All Other</i>	137,626	125,364	125,364	131,695	136,188
Functional Total	496,005	475,724	519,901	612,738	691,149
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	82,405	73,654	74,803	75,894	77,855
<i>OASAS</i>	34,313	31,155	31,829	32,318	33,128
<i>OASAS - Other</i>	48,092	42,499	42,974	43,576	44,727
Justice Center	38,190	40,203	39,860	40,127	42,141
Mental Health, Office of	1,344,266	1,331,225	1,319,003	1,338,712	1,360,865
<i>OMH</i>	348,833	348,053	352,410	356,815	356,815
<i>OMH - Other</i>	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	1,297,397	1,299,733	1,294,225	1,308,828	1,327,285
<i>OPWDD</i>	63	181	181	181	181
<i>OPWDD - Other</i>	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,762,485	2,744,815	2,727,891	2,763,561	2,808,146
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Criminal Justice Services, Division of	35,193	38,793	38,793	38,793	39,768
Homeland Security and Emergency Services, Division of	26,030	30,556	35,056	35,756	36,881
Indigent Legal Services, Office of	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Military and Naval Affairs, Division of	26,799	21,676	21,676	21,676	21,977
State Police, Division of	720,382	670,853	669,923	670,003	695,423
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	3,678	3,951	3,951	3,951	4,068
Functional Total	<u>3,493,179</u>	<u>3,424,842</u>	<u>3,431,227</u>	<u>3,439,227</u>	<u>3,473,654</u>
HIGHER EDUCATION					
City University of New York	94,213	117,032	90,459	91,948	93,465
Higher Education - Miscellaneous	386	291	291	291	291
Higher Education Services Corporation, New York State	48,292	49,052	49,052	49,052	49,052
State University of New York	6,002,720	5,961,568	6,229,897	6,394,720	6,571,461
Functional Total	<u>6,145,611</u>	<u>6,127,943</u>	<u>6,369,699</u>	<u>6,536,011</u>	<u>6,714,269</u>
EDUCATION					
Arts, Council on the	3,925	4,320	4,320	4,320	4,416
Education, Department of	139,176	146,888	144,861	142,699	145,966
<i>All Other</i>	139,176	146,888	144,861	142,699	145,966
Functional Total	<u>143,101</u>	<u>151,208</u>	<u>149,181</u>	<u>147,019</u>	<u>150,382</u>
GENERAL GOVERNMENT					
Budget, Division of the	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,295	13,205	13,331	13,441	13,925
Deferred Compensation Board	418	641	641	648	649
Elections, State Board of	7,095	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	138,467	114,876	99,876	99,876	101,403
General Services, Office of	160,812	143,870	142,175	138,075	138,640
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,500	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State, Department of	47,676	42,130	42,130	42,130	42,130
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	331,810	329,624	329,132	329,124	339,531
Technology, Office for	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	592	672	686	701	731
Workers' Compensation Board	138,722	141,607	143,390	145,193	150,513
Functional Total	<u>1,468,584</u>	<u>1,415,764</u>	<u>1,423,849</u>	<u>1,421,956</u>	<u>1,453,397</u>
ELECTED OFFICIALS					
Audit and Control, Department of	149,275	149,094	146,661	146,844	153,187
Executive Chamber	14,653	13,578	13,578	13,578	14,032
Judiciary	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Law, Department of	170,170	175,579	174,387	176,833	183,996
Legislature	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	510	614	614	614	634
Functional Total	<u>2,579,400</u>	<u>2,630,545</u>	<u>2,645,135</u>	<u>2,650,264</u>	<u>2,721,560</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	107	0	0	0	0
Functional Total	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,783	101,825	196,841	246,857	296,940
Functional Total	<u>63,430</u>	<u>138,677</u>	<u>247,230</u>	<u>297,246</u>	<u>347,329</u>
TOTAL STATE OPERATIONS SPENDING	<u><u>18,679,784</u></u>	<u><u>18,691,476</u></u>	<u><u>19,174,253</u></u>	<u><u>19,576,401</u></u>	<u><u>20,119,011</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	30,523	29,183	29,238	29,243	30,358
Alcoholic Beverage Control, Division of	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	13,152	12,929	12,929	12,929	12,929
Financial Services, Department of	151,522	151,883	151,928	152,663	152,737
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
Public Service Department	41,485	41,886	41,886	41,886	43,497
Functional Total	246,972	246,576	246,676	247,477	250,593
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	176,058	170,279	170,560	170,677	177,242
Parks, Recreation and Historic Preservation, Office of	135,346	123,847	124,824	126,135	132,456
Functional Total	315,516	298,234	299,492	300,920	313,964
TRANSPORTATION					
Motor Vehicles, Department of	35,655	35,959	35,959	35,959	37,361
Transportation, Department of	6,497	3,413	3,256	3,256	3,381
Functional Total	42,152	39,372	39,215	39,215	40,742
HEALTH					
Aging, Office for the	1,125	1,125	1,125	1,125	1,168
Health, Department of	261,956	253,711	258,281	261,873	270,054
<i>Essential Plan</i>	1,367	1,843	1,899	1,956	2,162
<i>Medicaid Administration</i>	36,908	41,622	47,576	51,106	54,512
<i>Public Health</i>	223,681	210,246	208,806	208,811	213,380
Medicaid Inspector General, Office of the	16,415	15,358	14,936	14,936	15,543
Functional Total	279,496	270,194	274,342	277,934	286,765
SOCIAL WELFARE					
Children and Family Services, Office of	169,024	159,708	191,418	260,623	314,495
<i>OCFS</i>	169,024	159,708	191,418	260,623	314,495
Housing and Community Renewal, Division of	42,730	40,403	40,403	40,403	43,225
Human Rights, Division of	9,533	9,461	9,461	9,461	10,121
Labor, Department of	34,150	32,618	32,618	32,618	34,864
National and Community Service	203	328	331	331	340
Temporary and Disability Assistance, Office of	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	73,429	68,985	68,985	74,144	77,513
Functional Total	329,069	311,503	343,216	417,580	480,558
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,856	31,621	31,776	32,090	32,961
Justice Center	29,240	31,060	31,060	31,060	32,460
Mental Health, Office of	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,248,363	2,230,340	2,208,695	2,233,039	2,265,644
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,234	2,365	2,414	2,414	2,531
Correctional Services, Department of	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Criminal Justice Services, Division of	26,998	25,582	25,582	25,582	26,557
Homeland Security and Emergency Services, Division of	16,748	18,428	22,428	23,128	24,253
Indigent Legal Services, Office of	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	17,164	14,295	14,295	14,295	14,596
State Police, Division of	649,718	611,489	610,539	610,589	635,979
Statewide Financial System	11,045	11,513	11,513	11,513	11,956

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Victim Services, Office of	3,145	3,176	3,176	3,176	3,293
Functional Total	<u>2,846,315</u>	<u>2,745,876</u>	<u>2,751,762</u>	<u>2,759,702</u>	<u>2,794,067</u>
HIGHER EDUCATION					
City University of New York	48,975	75,996	47,596	48,228	48,870
Higher Education - Miscellaneous	283	198	198	198	198
Higher Education Services Corporation, New York State	14,475	13,314	13,314	13,314	13,314
State University of New York	3,766,631	3,693,572	3,857,106	3,964,807	4,122,542
Functional Total	<u>3,830,364</u>	<u>3,783,080</u>	<u>3,918,214</u>	<u>4,026,547</u>	<u>4,184,924</u>
EDUCATION					
Arts, Council on the	2,491	2,498	2,498	2,498	2,594
Education, Department of	86,602	88,089	88,090	87,484	90,693
<i>All Other</i>	86,602	88,089	88,090	87,484	90,693
Functional Total	<u>89,093</u>	<u>90,587</u>	<u>90,588</u>	<u>89,982</u>	<u>93,287</u>
GENERAL GOVERNMENT					
Budget, Division of the	20,619	24,567	24,567	24,567	25,511
Civil Service, Department of	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	368	410	410	413	414
Elections, State Board of	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	32,822	38,757	38,757	38,757	40,248
General Services, Office of	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,338	1,388	1,388	1,388	1,456
Public Employment Relations Board	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,808	4,620	4,646	4,681	4,867
State, Department of	29,588	28,337	28,337	28,337	28,337
Tax Appeals, Division of	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	284,526	269,907	269,907	269,907	280,269
Technology, Office for	298,543	270,712	270,712	270,712	281,125
Veterans' Affairs, Division of	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	565	617	621	626	654
Workers' Compensation Board	82,632	80,878	81,493	82,104	86,209
Functional Total	<u>877,085</u>	<u>821,996</u>	<u>823,050</u>	<u>823,976</u>	<u>856,877</u>
ELECTED OFFICIALS					
Audit and Control, Department of	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,746	11,113	11,113	11,113	11,567
Judiciary	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Law, Department of	120,219	121,274	119,097	120,450	126,506
Legislature	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	364	523	523	523	543
Functional Total	<u>1,986,016</u>	<u>2,014,564</u>	<u>2,031,273</u>	<u>2,035,204</u>	<u>2,104,732</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,939	57,053	152,058	202,063	252,144
Functional Total	<u>1,939</u>	<u>57,053</u>	<u>152,058</u>	<u>202,063</u>	<u>252,144</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>13,092,380</u></u>	<u><u>12,909,375</u></u>	<u><u>13,178,581</u></u>	<u><u>13,453,639</u></u>	<u><u>13,924,297</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	14,011	7,271	23,009	23,009	23,011
Alcoholic Beverage Control, Division of	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	8,031	6,622	6,622	6,622	6,622
Financial Services, Department of	57,686	54,860	54,862	58,065	58,088
Olympic Regional Development Authority	188	138	3,338	3,338	3,338
Public Service Department	8,521	7,672	7,672	7,672	7,672
Functional Total	91,822	81,099	100,039	103,242	103,267
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	423	305	305	305	305
Environmental Conservation, Department of	49,027	40,926	40,674	41,260	41,195
Parks, Recreation and Historic Preservation, Office of	43,100	44,706	43,706	43,706	43,708
Functional Total	92,550	85,937	84,685	85,271	85,208
TRANSPORTATION					
Motor Vehicles, Department of	13,905	13,393	13,393	13,393	13,433
Transportation, Department of	14,731	8,029	7,997	7,997	8,001
Functional Total	28,636	21,422	21,390	21,390	21,434
HEALTH					
Aging, Office for the	106	107	107	107	107
Health, Department of	426,558	535,842	591,019	629,648	653,870
<i>Essential Plan</i>	42,470	58,483	61,737	71,809	77,682
<i>Medicaid Administration</i>	224,093	320,848	374,047	401,746	419,921
<i>Public Health</i>	159,995	156,511	155,235	156,093	156,267
Medicaid Inspector General, Office of the	4,074	3,175	3,175	3,175	3,175
Functional Total	430,738	539,124	594,301	632,930	657,152
SOCIAL WELFARE					
Children and Family Services, Office of	80,801	84,629	97,093	114,394	127,773
<i>OCFS</i>	80,801	84,629	97,093	114,394	127,773
Housing and Community Renewal, Division of	7,569	8,843	8,843	8,843	9,273
Human Rights, Division of	849	460	460	460	469
Labor, Department of	13,519	13,901	13,901	13,901	14,392
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	64,197	56,379	56,379	57,551	58,675
<i>All Other</i>	64,197	56,379	56,379	57,551	58,675
Functional Total	166,936	164,221	176,685	195,158	210,591
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	13,236	10,878	11,198	11,486	11,766
Justice Center	8,950	9,143	8,800	9,067	9,681
Mental Health, Office of	271,702	277,457	281,672	287,494	293,460
<i>OMH</i>	60,326	48,957	50,322	51,691	51,691
<i>OMH - Other</i>	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	63	181	181	181	181
<i>OPWDD - Other</i>	205,332	208,948	209,262	213,973	218,913
Functional Total	514,122	514,475	519,196	530,522	542,502
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	197	286	237	237	242
Correctional Services, Department of	527,258	565,046	565,046	565,046	565,046
Criminal Justice Services, Division of	8,195	13,211	13,211	13,211	13,211
Homeland Security and Emergency Services, Division of	9,282	12,128	12,628	12,628	12,628
Indigent Legal Services, Office of	493	780	780	780	780
Judicial Conduct, Commission on	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	10	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	9,635	7,381	7,381	7,381	7,381
State Police, Division of	70,664	59,364	59,384	59,414	59,444
Statewide Financial System	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	533	775	775	775	775
Functional Total	<u>646,864</u>	<u>678,966</u>	<u>679,465</u>	<u>679,525</u>	<u>679,587</u>
HIGHER EDUCATION					
City University of New York	45,238	41,036	42,863	43,720	44,595
Higher Education - Miscellaneous	103	93	93	93	93
Higher Education Services Corporation, New York State	33,817	35,738	35,738	35,738	35,738
State University of New York	2,236,089	2,267,996	2,372,791	2,429,913	2,448,919
Functional Total	<u>2,315,247</u>	<u>2,344,863</u>	<u>2,451,485</u>	<u>2,509,464</u>	<u>2,529,345</u>
EDUCATION					
Arts, Council on the	1,434	1,822	1,822	1,822	1,822
Education, Department of	52,574	58,799	56,771	55,215	55,273
<i>All Other</i>	52,574	58,799	56,771	55,215	55,273
Functional Total	<u>54,008</u>	<u>60,621</u>	<u>58,593</u>	<u>57,037</u>	<u>57,095</u>
GENERAL GOVERNMENT					
Budget, Division of the	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,083	821	834	850	850
Deferred Compensation Board	50	231	231	235	235
Elections, State Board of	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	65	71	72	73	73
Gaming Commission, New York State	105,645	76,119	61,119	61,119	61,155
General Services, Office of	83,232	86,919	85,224	81,124	78,264
Inspector General, Office of the	1,346	815	827	839	856
Labor Management Committees	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	162	208	208	208	212
Public Employment Relations Board	225	237	241	246	246
Public Integrity, Commission on	1,068	911	930	949	968
State, Department of	18,088	13,793	13,793	13,793	13,793
Tax Appeals, Division of	229	170	170	170	170
Taxation and Finance, Department of	47,284	59,717	59,225	59,217	59,262
Technology, Office for	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	865	292	298	298	304
Welfare Inspector General, Office of	27	55	65	75	77
Workers' Compensation Board	56,090	60,729	61,897	63,089	64,304
Functional Total	<u>591,499</u>	<u>593,768</u>	<u>600,799</u>	<u>597,980</u>	<u>596,520</u>
ELECTED OFFICIALS					
Audit and Control, Department of	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,907	2,465	2,465	2,465	2,465
Judiciary	450,799	470,500	470,500	470,500	470,500
Law, Department of	49,951	54,305	55,290	56,383	57,490
Legislature	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	146	91	91	91	91
Functional Total	<u>593,384</u>	<u>615,981</u>	<u>613,862</u>	<u>615,060</u>	<u>616,828</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	107	0	0	0	0
Functional Total	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,844	44,772	44,783	44,794	44,796
Functional Total	<u>61,491</u>	<u>81,624</u>	<u>95,172</u>	<u>95,183</u>	<u>95,185</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,587,404</u>	<u>5,782,101</u>	<u>5,995,672</u>	<u>6,122,762</u>	<u>6,194,714</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,719	1,806	1,878	1,926	2,050
Alcoholic Beverage Control, Division of	121	0	0	0	0
Economic Development, Department of	0	28	28	28	28
Financial Services, Department of	86,652	93,413	95,361	98,039	101,123
Public Service Department	25,523	26,822	27,357	28,087	28,807
Functional Total	114,015	122,069	124,624	128,080	132,008
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	47,714	50,144	51,375	48,257	47,572
Parks, Recreation and Historic Preservation, Office of	2,972	3,123	3,123	3,123	3,261
Functional Total	50,686	53,267	54,498	51,380	50,833
TRANSPORTATION					
Motor Vehicles, Department of	20,473	21,515	21,593	21,593	22,416
Transportation, Department of	3,750	2,695	2,002	2,002	2,079
Functional Total	24,223	24,210	23,595	23,595	24,495
HEALTH					
Health, Department of	30,543	32,795	32,247	33,153	35,087
<i>Public Health</i>	30,543	32,795	32,247	33,153	35,087
Functional Total	30,543	32,795	32,247	33,153	35,087
SOCIAL WELFARE					
Children and Family Services, Office of	1,656	1,740	1,764	1,794	1,824
<i>OCFS</i>	1,656	1,740	1,764	1,794	1,824
Housing and Community Renewal, Division of	5,449	14,477	10,404	10,404	10,404
Labor, Department of	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	122	128	128	128	128
<i>All Other</i>	122	128	128	128	128
Functional Total	26,724	36,832	32,783	32,813	32,843
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	19,923	19,130	20,366	21,075	22,126
Justice Center	850	893	971	989	989
Mental Health, Office of	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	637,200	674,773	677,487	701,146	728,533
Functional Total	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	101	106	106	106	106
Criminal Justice Services, Division of	33	35	35	35	35
Homeland Security and Emergency Services, Division of	666	699	722	722	722
Indigent Legal Services, Office of	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	9	9	9	9	9
State Police, Division of	18,111	2,821	2,900	3,010	3,010
Victim Services, Office of	1,570	1,650	1,650	1,650	1,650
Functional Total	21,457	6,336	6,978	7,088	7,088
HIGHER EDUCATION					
City University of New York	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	143	150	150	150	150
Higher Education Services Corporation, New York State	8,524	8,958	8,958	8,958	8,958
State University of New York	420,283	461,887	487,426	513,047	533,047
Functional Total	436,611	478,887	504,426	530,047	550,047

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
EDUCATION					
Education, Department of	32,142	35,159	35,905	36,837	39,317
<i>All Other</i>	<u>32,142</u>	<u>35,159</u>	<u>35,905</u>	<u>36,837</u>	<u>39,317</u>
Functional Total	<u>32,142</u>	<u>35,159</u>	<u>35,905</u>	<u>36,837</u>	<u>39,317</u>
GENERAL GOVERNMENT					
Budget, Division of the	776	1,657	1,657	1,657	1,717
Civil Service, Department of	84	88	88	88	88
Deferred Compensation Board	195	205	205	205	205
Gaming Commission, New York State	12,777	13,427	13,427	13,427	14,317
General Services, Office of	407	427	452	452	452
State, Department of	9,623	11,628	11,849	12,153	12,471
Taxation and Finance, Department of	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	48,919	51,409	53,402	53,402	57,863
Functional Total	<u>97,798</u>	<u>105,131</u>	<u>107,537</u>	<u>107,674</u>	<u>114,523</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,605	2,030	2,385	2,385	2,417
Judiciary	702,163	764,300	781,703	779,203	779,203
Law, Department of	14,731	17,729	20,254	20,531	21,564
Functional Total	<u>718,499</u>	<u>784,059</u>	<u>804,342</u>	<u>802,119</u>	<u>803,184</u>
ALL OTHER CATEGORIES					
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Miscellaneous	5,412	5,584	5,631	5,631	5,649
Functional Total	<u>4,788,355</u>	<u>5,055,963</u>	<u>5,577,365</u>	<u>6,044,109</u>	<u>6,608,596</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,634,325</u></u>	<u><u>8,063,201</u></u>	<u><u>8,663,462</u></u>	<u><u>9,202,830</u></u>	<u><u>9,833,240</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	2,544	2,475	0	0	0
Functional Total	<u>2,544</u>	<u>2,475</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>2,544</u>	<u>2,475</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	4,617	3,274	13,442	0	7,525
Empire State Development Corporation	985,203	1,550,593	1,802,800	1,584,461	1,642,415
Energy Research and Development Authority	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	24,000	13,300	0	0
Power Authority, New York	1,916	28,328	18,000	37,500	2,500
Regional Economic Development Program	4,156	512	338	337	337
Strategic Investment Program	683	6,000	7,002	6,650	11,046
Functional Total	1,024,801	1,696,471	1,938,476	1,679,615	1,703,120
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	692,871	873,250	944,680	923,434	899,741
Parks, Recreation and Historic Preservation, Office of	151,320	148,242	153,757	155,477	140,430
Functional Total	844,191	1,021,492	1,098,437	1,078,911	1,040,171
TRANSPORTATION					
Metropolitan Transportation Authority	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	217,104	223,036	232,491	234,316	240,184
Transportation, Department of	4,887,049	4,945,221	5,298,978	5,017,354	4,887,594
Functional Total	5,354,153	6,139,113	5,781,469	5,601,670	5,127,778
HEALTH					
Health, Department of	105,396	552,739	887,042	830,071	681,001
<i>Public Health</i>	105,396	552,739	887,042	830,071	681,001
Functional Total	105,396	552,739	887,042	830,071	681,001
SOCIAL WELFARE					
Children and Family Services, Office of	24,392	34,936	38,695	38,738	38,801
<i>OCFS</i>	24,392	34,936	38,695	38,738	38,801
Housing and Community Renewal, Division of	130,959	283,227	473,215	478,970	482,994
Nonprofit Infrastructure Capital Investment Program	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	54,596	63,800	60,650	60,650	60,650
<i>All Other</i>	54,596	63,800	60,650	60,650	60,650
Functional Total	209,947	414,963	617,560	605,358	597,445
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	55,331	77,317	59,936	54,961	54,961
<i>OASAS</i>	55,331	77,317	59,936	54,961	54,961
Mental Health, Office of	184,328	255,045	223,523	219,218	219,218
<i>OMH</i>	184,328	255,045	223,523	219,218	219,218
People with Developmental Disabilities, Office for	69,870	77,486	77,808	78,257	78,257
<i>OPWDD</i>	69,870	77,486	77,808	78,257	78,257
Functional Total	309,529	409,848	361,267	352,436	352,436
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	64,492	91,321	36,153	5,000	5,000
Military and Naval Affairs, Division of	70,039	65,725	35,019	24,100	22,100
State Police, Division of	31,235	74,867	46,874	43,334	41,408
Functional Total	469,041	595,235	471,683	403,776	395,940
HIGHER EDUCATION					
City University of New York	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	0	5,000	12,500	12,500	15,000
State University of New York	760,044	840,337	909,760	911,133	903,310
Functional Total	796,571	880,737	958,160	960,253	955,662
EDUCATION					
Education, Department of	54,635	623,780	534,787	428,000	328,957

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
<i>School Aid</i>	35,205	575,000	460,000	375,000	288,250
<i>All Other</i>	19,430	48,780	74,787	53,000	40,707
Functional Total	<u>54,635</u>	<u>623,780</u>	<u>534,787</u>	<u>428,000</u>	<u>328,957</u>
GENERAL GOVERNMENT					
General Services, Office of	92,531	172,478	229,757	165,628	114,944
State, Department of	866	4,000	2,000	2,000	0
Technology, Office for	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,752	15,000	15,000	15,000	0
Functional Total	<u>183,369</u>	<u>331,194</u>	<u>276,622</u>	<u>201,379</u>	<u>139,644</u>
ELECTED OFFICIALS					
Audit and Control, Department of	2,100	3,900	0	0	0
Judiciary	0	8,000	7,000	0	0
Law, Department of	6,201	10,000	2,000	1,287	0
Functional Total	<u>8,301</u>	<u>21,900</u>	<u>9,000</u>	<u>1,287</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	5,000	5,000	0	0
Miscellaneous	147,950	(499,241)	406,131	580,750	629,296
Special Infrastructure Account	649,103	917,071	1,310,923	980,604	579,520
Functional Total	<u>797,053</u>	<u>422,830</u>	<u>1,722,054</u>	<u>1,561,354</u>	<u>1,208,816</u>
TOTAL CAPITAL PROJECTS FUNDS SPENDING	<u><u>10,156,987</u></u>	<u><u>13,110,302</u></u>	<u><u>14,656,557</u></u>	<u><u>13,704,110</u></u>	<u><u>12,530,970</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
<i>Agriculture and Markets, Department of</i>	96,908	114,588	134,478	112,111	100,399
Local Assistance Grants	31,980	31,761	28,907	28,907	24,907
State Operations	56,825	49,655	65,351	65,325	66,434
Personal Service	34,188	32,596	32,651	32,656	33,771
Non-Personal Service/Indirect Costs	22,637	17,059	32,700	32,669	32,663
General State Charges	3,766	3,957	4,055	4,164	4,343
Capital Projects	4,337	29,215	36,165	13,715	4,715
<i>Alcoholic Beverage Control, Division of</i>	11,248	12,683	12,683	12,744	13,060
State Operations	11,127	12,683	12,683	12,744	13,060
Personal Service	7,742	8,147	8,147	8,208	8,524
Non-Personal Service/Indirect Costs	3,385	4,536	4,536	4,536	4,536
General State Charges	121	0	0	0	0
<i>Economic Development Capital</i>	1,844	29,276	22,587	21,600	20,862
Local Assistance Grants	1,844	12	0	0	0
Capital Projects	0	29,264	22,587	21,600	20,862
<i>Economic Development, Department of</i>	84,240	89,473	100,300	86,858	94,383
Local Assistance Grants	62,448	66,375	67,034	67,034	67,034
State Operations	21,775	19,796	19,796	19,796	19,796
Personal Service	13,152	12,929	12,929	12,929	12,929
Non-Personal Service/Indirect Costs	8,623	6,867	6,867	6,867	6,867
General State Charges	0	28	28	28	28
Capital Projects	17	3,274	13,442	0	7,525
<i>Empire State Development Corporation</i>	1,107,501	1,684,574	1,935,046	1,716,707	1,774,661
Local Assistance Grants	1,031,640	1,176,896	1,386,268	1,244,029	1,239,733
Capital Projects	75,861	507,678	548,778	472,678	534,928
<i>Energy Research and Development Authority</i>	14,545	25,273	24,842	15,352	13,720
Capital Projects	14,545	25,273	24,842	15,352	13,720
<i>Financial Services, Department of</i>	362,898	361,044	361,581	368,179	371,354
Local Assistance Grants	66,703	60,888	59,430	59,412	59,406
State Operations	209,543	206,743	206,790	210,728	210,825
Personal Service	151,522	151,883	151,928	152,663	152,737
Non-Personal Service/Indirect Costs	58,021	54,860	54,862	58,065	58,088
General State Charges	86,652	93,413	95,361	98,039	101,123
<i>Olympic Regional Development Authority</i>	10,236	26,686	19,186	5,886	5,886
Local Assistance Grants	0	10,000	0	0	0
State Operations	2,736	2,686	5,886	5,886	5,886
Personal Service	2,548	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	188	138	3,338	3,338	3,338
Capital Projects	7,500	14,000	13,300	0	0
<i>Power Authority, New York</i>	1,916	28,328	18,000	37,500	2,500
Local Assistance Grants	1,916	0	0	0	0
Capital Projects	0	28,328	18,000	37,500	2,500
<i>Public Service Department</i>	78,938	79,500	80,035	80,765	83,096
Local Assistance Grants	0	155	155	155	155
State Operations	51,775	50,800	50,800	50,800	52,411
Personal Service	42,918	43,088	43,088	43,088	44,699
Non-Personal Service/Indirect Costs	8,857	7,712	7,712	7,712	7,712
General State Charges	27,163	28,545	29,080	29,810	30,530
<i>Regional Economic Development Program</i>	4,156	512	338	337	337
Local Assistance Grants	4,156	0	0	0	0
Capital Projects	0	512	338	337	337
<i>Strategic Investment Program</i>	683	6,000	7,002	6,650	11,046
Local Assistance Grants	683	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Capital Projects	0	6,000	7,002	6,650	11,046
Functional Total	1,775,113	2,457,937	2,716,078	2,464,689	2,491,304
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,547	4,763	4,763	4,763	4,921
State Operations	4,547	4,763	4,763	4,763	4,921
Personal Service	4,112	4,108	4,108	4,108	4,266
Non-Personal Service/Indirect Costs	435	655	655	655	655
Environmental Conservation, Department of	1,026,898	1,201,520	1,271,937	1,248,276	1,231,906
Local Assistance Grants	327,482	195,966	190,618	190,618	190,618
State Operations	269,816	256,090	256,119	256,822	264,404
Personal Service	202,238	198,038	198,319	198,436	206,069
Non-Personal Service/Indirect Costs	67,578	58,052	57,800	58,386	58,335
General State Charges	62,106	65,269	66,520	63,402	63,143
Capital Projects	367,494	684,195	758,680	737,434	713,741
Parks, Recreation and Historic Preservation, Office of	353,753	333,171	335,148	338,179	329,663
Local Assistance Grants	13,281	8,510	7,470	7,470	7,470
State Operations	183,671	170,821	170,798	172,109	178,478
Personal Service	138,056	124,970	125,947	127,258	133,623
Non-Personal Service/Indirect Costs	45,615	45,851	44,851	44,851	44,855
General State Charges	2,972	3,123	3,123	3,123	3,285
Capital Projects	153,829	150,717	153,757	155,477	140,430
Functional Total	1,385,198	1,539,454	1,611,848	1,591,218	1,566,490
TRANSPORTATION					
Metropolitan Transportation Authority	250,000	970,856	250,000	350,000	0
Local Assistance Grants	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	308,315	321,771	329,704	331,529	339,903
Local Assistance Grants	15,199	19,600	18,000	18,000	18,000
State Operations	54,854	56,900	56,900	56,900	58,497
Personal Service	37,012	39,694	39,694	39,694	41,240
Non-Personal Service/Indirect Costs	17,842	17,206	17,206	17,206	17,257
General State Charges	21,158	22,235	22,313	22,313	23,222
Capital Projects	217,104	223,036	232,491	234,316	240,184
Transportation, Department of	9,950,606	10,048,851	10,454,812	10,269,335	10,204,950
Local Assistance Grants	6,331,110	6,238,405	6,196,034	6,279,523	6,332,037
State Operations	31,448	35,100	34,911	34,911	35,425
Personal Service	12,251	13,760	13,603	13,603	14,099
Non-Personal Service/Indirect Costs	19,197	21,340	21,308	21,308	21,326
General State Charges	6,299	9,341	8,648	8,648	8,965
Capital Projects	3,581,749	3,766,005	4,215,219	3,946,253	3,828,523
Functional Total	10,508,921	11,341,478	11,034,516	10,950,864	10,544,853
HEALTH					
Aging, Office for the	225,544	239,289	222,338	227,561	233,194
Local Assistance Grants	213,003	227,649	213,854	219,077	224,430
State Operations	12,541	11,640	8,484	8,484	8,764
Personal Service	7,196	7,285	7,285	7,285	7,565
Non-Personal Service/Indirect Costs	5,345	4,355	1,199	1,199	1,199
Health, Department of	60,565,508	63,824,990	65,829,527	67,705,369	68,741,714
Medical Assistance	51,178,257	53,190,932	54,435,537	55,927,411	56,627,056
Local Assistance Grants	51,178,257	53,190,932	54,435,537	55,927,411	56,627,056

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Essential Plan	3,569,893	4,238,290	4,523,655	4,916,984	5,396,147
Local Assistance Grants	3,526,056	4,177,964	4,460,019	4,843,219	5,316,303
State Operations	43,837	60,326	63,636	73,765	79,844
Personal Service	1,367	1,843	1,899	1,956	2,162
Non-Personal Service/Indirect Costs	42,470	58,483	61,737	71,809	77,682
Medicaid Administration	1,518,498	1,612,384	1,709,667	1,767,081	1,765,187
Local Assistance Grants	843,305	786,742	770,344	738,947	738,947
State Operations	673,671	823,705	939,323	1,028,134	1,026,240
Personal Service	71,191	83,152	95,132	102,246	108,840
Non-Personal Service/Indirect Costs	602,480	740,553	844,191	925,888	917,400
General State Charges	1,522	1,937	0	0	0
Public Health	4,298,860	4,783,384	5,160,668	5,093,893	4,953,324
Local Assistance Grants	3,482,012	3,991,955	4,388,544	4,318,702	4,218,511
State Operations	708,621	626,041	606,093	606,952	613,866
Personal Service	288,062	266,694	265,199	265,192	271,932
Non-Personal Service/Indirect Costs	420,559	359,347	340,894	341,760	341,934
General State Charges	67,062	71,170	71,510	73,689	78,367
Capital Projects	41,165	94,218	94,521	94,550	42,580
Medicaid Inspector General, Office of the	50,994	48,627	48,043	48,406	50,445
State Operations	41,851	39,019	38,175	38,175	39,438
Personal Service	32,737	30,910	30,066	30,066	31,287
Non-Personal Service/Indirect Costs	9,114	8,109	8,109	8,109	8,151
General State Charges	9,143	9,608	9,868	10,231	11,007
Functional Total	60,842,046	64,112,906	66,099,908	67,981,336	69,025,353
SOCIAL WELFARE					
Children and Family Services, Office of	2,941,351	2,876,776	2,984,822	3,207,788	3,348,406
OCFS	2,859,104	2,790,404	2,884,266	3,102,989	3,234,532
Local Assistance Grants	2,494,992	2,404,440	2,448,345	2,579,971	2,640,920
State Operations	328,252	338,977	384,749	471,255	540,747
Personal Service	194,832	188,665	220,665	289,870	344,946
Non-Personal Service/Indirect Costs	133,420	150,312	164,084	181,385	195,801
General State Charges	11,468	12,051	12,477	13,025	14,064
Capital Projects	24,392	34,936	38,695	38,738	38,801
OCFS - Other	82,247	86,372	100,556	104,799	113,874
Local Assistance Grants	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	256,695	415,051	625,693	641,448	649,700
Local Assistance Grants	187,653	334,143	548,734	564,489	568,513
State Operations	59,782	59,426	59,550	59,550	63,778
Personal Service	49,632	47,923	47,998	47,998	51,350
Non-Personal Service/Indirect Costs	10,150	11,503	11,552	11,552	12,428
General State Charges	9,260	18,482	14,409	14,409	14,409
Capital Projects	0	3,000	3,000	3,000	3,000
Human Rights, Division of	14,890	14,289	14,343	14,343	15,257
State Operations	14,890	14,289	14,343	14,343	15,257
Personal Service	12,205	12,567	12,596	12,596	13,475
Non-Personal Service/Indirect Costs	2,685	1,722	1,747	1,747	1,782
Labor, Department of	534,361	566,009	549,929	550,739	568,320
Local Assistance Grants	150,118	167,312	152,042	152,042	152,042
State Operations	273,212	282,017	282,017	282,017	299,598
Personal Service	204,836	192,484	192,484	192,484	206,660
Non-Personal Service/Indirect Costs	68,376	89,533	89,533	89,533	92,938
General State Charges	111,031	116,680	115,870	116,680	116,680
National and Community Service	17,282	16,253	16,564	16,571	16,904

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Local Assistance Grants	267	350	350	350	350
State Operations	17,015	15,679	15,985	15,985	16,312
Personal Service	465	701	708	708	730
Non-Personal Service/Indirect Costs	16,550	14,978	15,277	15,277	15,582
General State Charges	0	224	229	236	242
Nonprofit Infrastructure Capital Investment Program	0	33,000	45,000	27,000	15,000
Capital Projects	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	4,722,939	5,146,328	5,175,360	5,192,491	5,199,784
Welfare Assistance	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
Local Assistance Grants	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
All Other	1,292,693	1,310,018	1,309,361	1,324,492	1,331,785
Local Assistance Grants	963,125	986,474	983,246	992,046	994,846
State Operations	286,879	278,246	280,817	287,148	291,641
Personal Service	154,062	145,924	146,740	151,899	155,268
Non-Personal Service/Indirect Costs	132,817	132,322	134,077	135,249	136,373
General State Charges	42,343	44,498	44,498	44,498	44,498
Capital Projects	346	800	800	800	800
Functional Total	8,487,518	9,067,706	9,411,711	9,650,380	9,813,371
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	604,265	642,925	645,519	665,405	682,493
OASAS	514,925	559,971	560,854	579,429	594,315
Local Assistance Grants	458,058	499,448	499,524	516,961	530,191
State Operations	41,998	38,362	39,112	39,680	40,490
Personal Service	24,808	28,396	28,899	29,193	29,823
Non-Personal Service/Indirect Costs	17,190	9,966	10,213	10,487	10,667
General State Charges	13,803	17,161	17,418	18,088	18,934
Capital Projects	1,066	5,000	4,800	4,700	4,700
OASAS - Other	89,340	82,954	84,665	85,976	88,178
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325
State Operations	48,092	42,499	42,974	43,576	44,727
Personal Service	34,856	31,621	31,776	32,090	32,961
Non-Personal Service/Indirect Costs	13,236	10,878	11,198	11,486	11,766
General State Charges	19,923	19,130	20,366	21,075	22,126
Developmental Disabilities Planning Council	3,892	4,200	4,200	4,200	4,200
State Operations	3,661	3,456	3,415	3,415	3,415
Personal Service	976	1,266	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,685	2,190	2,149	2,149	2,149
General State Charges	231	744	785	785	785
Justice Center	41,625	42,447	42,183	42,468	44,482
Local Assistance Grants	679	649	649	649	649
State Operations	40,096	40,842	40,499	40,766	42,780
Personal Service	29,334	31,163	31,163	31,163	32,563
Non-Personal Service/Indirect Costs	10,762	9,679	9,336	9,603	10,217
General State Charges	850	956	1,035	1,053	1,053
Mental Health, Office of	3,379,732	3,449,268	3,622,456	3,744,851	3,810,911
OMH	1,684,533	1,757,071	1,898,606	1,978,138	2,010,562
Local Assistance Grants	1,001,866	1,051,907	1,198,645	1,267,703	1,300,127
State Operations	350,032	349,421	353,778	358,183	358,183
Personal Service	289,320	299,909	302,901	305,937	305,937
Non-Personal Service/Indirect Costs	60,712	49,512	50,877	52,246	52,246
General State Charges	192,665	176,781	185,933	191,307	191,307
Capital Projects	139,970	178,962	160,250	160,945	160,945

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
OMH - Other	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Local Assistance Grants	270,500	268,824	299,814	311,017	322,500
State Operations	995,433	983,172	966,593	981,897	1,004,050
Personal Service	784,057	754,672	735,243	746,094	762,281
Non-Personal Service/Indirect Costs	211,376	228,500	231,350	235,803	241,769
General State Charges	429,266	440,201	457,443	473,799	473,799
Mental Hygiene, Department of	227	0	0	0	0
State Operations	227	0	0	0	0
Non-Personal Service/Indirect Costs	227	0	0	0	0
People with Developmental Disabilities, Office for	2,974,349	3,006,608	3,273,810	3,484,242	3,683,677
OPWDD	455,861	453,651	492,520	547,565	584,831
Local Assistance Grants	385,635	378,863	417,410	472,006	509,272
State Operations	1,173	1,181	1,181	1,181	1,181
Non-Personal Service/Indirect Costs	1,173	1,181	1,181	1,181	1,181
Capital Projects	69,053	73,607	73,929	74,378	74,378
OPWDD - Other	2,518,488	2,552,957	2,781,290	2,936,677	3,098,846
Local Assistance Grants	583,954	578,632	809,759	926,884	1,043,209
State Operations	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Personal Service	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Non-Personal Service/Indirect Costs	205,332	208,948	209,262	213,973	218,913
General State Charges	637,200	674,773	677,487	701,146	728,533
Functional Total	7,004,090	7,145,448	7,588,168	7,941,166	8,225,763
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
State Operations	2,431	2,651	2,651	2,651	2,773
Personal Service	2,234	2,365	2,414	2,414	2,531
Non-Personal Service/Indirect Costs	197	286	237	237	242
Correctional Services, Department of	2,971,422	3,004,183	2,997,254	2,982,114	2,983,834
Local Assistance Grants	4,457	5,497	5,497	5,497	5,497
State Operations	2,662,598	2,634,217	2,636,973	2,644,128	2,649,758
Personal Service	2,134,801	2,067,980	2,070,736	2,077,891	2,083,521
Non-Personal Service/Indirect Costs	527,797	566,237	566,237	566,237	566,237
General State Charges	1,092	1,147	1,147	1,147	1,147
Capital Projects	303,275	363,322	353,637	331,342	327,432
Criminal Justice Services, Division of	215,234	222,485	220,373	220,373	221,427
Local Assistance Grants	171,426	174,458	172,346	172,346	172,346
State Operations	43,444	47,644	47,644	47,644	48,698
Personal Service	31,283	30,184	30,184	30,184	31,238
Non-Personal Service/Indirect Costs	12,161	17,460	17,460	17,460	17,460
General State Charges	364	383	383	383	383
Homeland Security and Emergency Services, Division of	1,432,507	1,124,799	1,015,107	875,388	818,282
Local Assistance Grants	1,353,983	1,027,917	944,810	813,544	755,313
State Operations	62,691	43,858	48,358	49,058	50,183
Personal Service	26,736	24,918	28,918	29,618	30,743
Non-Personal Service/Indirect Costs	35,955	18,940	19,440	19,440	19,440
General State Charges	7,388	7,763	7,786	7,786	7,786
Capital Projects	8,445	45,261	14,153	5,000	5,000
Indigent Legal Services, Office of	63,641	99,781	165,528	189,621	213,781
Local Assistance Grants	60,503	95,465	160,672	184,765	208,859
State Operations	2,171	3,300	3,300	3,300	3,366
Personal Service	1,678	2,520	2,520	2,520	2,586
Non-Personal Service/Indirect Costs	493	780	780	780	780
General State Charges	967	1,016	1,556	1,556	1,556

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
State Operations	5,505	5,584	5,643	5,708	5,936
Personal Service	4,181	4,281	4,312	4,347	4,548
Non-Personal Service/Indirect Costs	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	10	30	30	30	30
State Operations	10	30	30	30	30
Non-Personal Service/Indirect Costs	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38
State Operations	9	38	38	38	38
Non-Personal Service/Indirect Costs	9	38	38	38	38
Military and Naval Affairs, Division of	130,428	121,508	91,460	81,212	80,197
Local Assistance Grants	786	820	820	820	820
State Operations	53,525	48,576	49,114	49,663	50,523
Personal Service	29,020	26,295	26,535	26,780	27,330
Non-Personal Service/Indirect Costs	24,505	22,281	22,579	22,883	23,193
General State Charges	6,078	6,387	6,507	6,629	6,754
Capital Projects	70,039	65,725	35,019	24,100	22,100
State Police, Division of	788,957	777,041	748,197	744,847	768,631
Local Assistance Grants	91	0	0	0	0
State Operations	737,575	697,853	696,923	697,003	722,713
Personal Service	655,292	618,489	617,539	617,589	643,269
Non-Personal Service/Indirect Costs	82,283	79,364	79,384	79,414	79,444
General State Charges	20,056	4,321	4,400	4,510	4,510
Capital Projects	31,235	74,867	46,874	43,334	41,408
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
State Operations	30,309	30,137	30,137	30,137	30,580
Personal Service	11,045	11,513	11,513	11,513	11,956
Non-Personal Service/Indirect Costs	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	73,166	86,737	90,237	90,237	90,405
Local Assistance Grants	65,814	78,966	82,466	82,466	82,466
State Operations	5,782	6,121	6,121	6,121	6,289
Personal Service	4,638	4,834	4,834	4,834	5,002
Non-Personal Service/Indirect Costs	1,144	1,287	1,287	1,287	1,287
General State Charges	1,570	1,650	1,650	1,650	1,650
Functional Total	5,713,619	5,474,974	5,366,655	5,222,356	5,215,914
HIGHER EDUCATION					
City University of New York	1,564,711	1,577,587	1,627,174	1,653,361	1,681,034
Local Assistance Grants	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
State Operations	96,394	124,666	98,093	99,582	101,099
Personal Service	48,975	75,996	47,596	48,228	48,870
Non-Personal Service/Indirect Costs	47,419	48,670	50,497	51,354	52,229
General State Charges	7,661	7,892	7,892	7,892	7,892
Capital Projects	36,527	35,400	35,900	36,620	37,352
Higher Education - Miscellaneous	529	441	441	441	441
State Operations	386	291	291	291	291
Personal Service	283	198	198	198	198
Non-Personal Service/Indirect Costs	103	93	93	93	93
General State Charges	143	150	150	150	150
Higher Education Facilities Capital Matching Grants Program	0	5,000	12,500	12,500	15,000
Capital Projects	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,020,224	962,172	1,213,850	1,252,724	1,277,875
Local Assistance Grants	957,549	897,528	1,149,206	1,188,080	1,213,231
State Operations	54,150	55,685	55,685	55,685	55,685

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Personal Service	14,530	14,150	14,150	14,150	14,150
Non-Personal Service/Indirect Costs	39,620	41,535	41,535	41,535	41,535
General State Charges	8,525	8,959	8,959	8,959	8,959
State University of New York	7,985,264	8,067,853	8,439,186	8,630,670	8,819,588
Local Assistance Grants	491,891	492,021	500,063	499,730	499,730
State Operations	6,313,021	6,273,557	6,541,886	6,706,709	6,883,450
Personal Service	3,775,119	3,700,801	3,864,335	3,972,036	4,129,771
Non-Personal Service/Indirect Costs	2,537,902	2,572,756	2,677,551	2,734,673	2,753,679
General State Charges	420,308	461,938	487,477	513,098	533,098
Capital Projects	760,044	840,337	909,760	911,133	903,310
Functional Total	10,570,728	10,613,053	11,293,151	11,549,696	11,793,938
EDUCATION					
Arts, Council on the	43,884	46,113	45,953	45,953	46,049
Local Assistance Grants	39,959	41,693	41,533	41,533	41,533
State Operations	3,925	4,420	4,420	4,420	4,516
Personal Service	2,491	2,498	2,498	2,498	2,594
Non-Personal Service/Indirect Costs	1,434	1,922	1,922	1,922	1,922
Education, Department of	33,672,197	35,142,867	36,167,235	37,254,379	38,617,521
School Aid	27,250,623	29,060,857	30,035,890	31,115,792	32,344,458
Local Assistance Grants	27,250,623	29,060,857	30,035,890	31,115,792	32,344,458
STAR Property Tax Relief	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Local Assistance Grants	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
Special Education Categorical Programs	1,907,839	2,018,190	2,142,680	2,265,528	2,390,318
Local Assistance Grants	1,907,839	2,018,190	2,142,680	2,265,528	2,390,318
All Other	1,374,684	1,433,823	1,469,106	1,420,521	1,445,450
Local Assistance Grants	979,302	1,034,831	1,049,731	1,000,172	1,024,342
State Operations	310,252	296,275	294,248	292,086	299,084
Personal Service	174,795	172,575	172,576	171,970	178,430
Non-Personal Service/Indirect Costs	135,457	123,700	121,672	120,116	120,654
General State Charges	79,985	85,437	86,840	89,263	95,317
Capital Projects	5,145	17,280	38,287	39,000	26,707
Functional Total	33,716,081	35,188,980	36,213,188	37,300,332	38,663,570
GENERAL GOVERNMENT					
Budget, Division of the	25,335	31,123	30,596	30,596	31,603
State Operations	24,559	29,466	28,939	28,939	29,886
Personal Service	20,619	24,567	24,567	24,567	25,511
Non-Personal Service/Indirect Costs	3,940	4,899	4,372	4,372	4,375
General State Charges	776	1,657	1,657	1,657	1,717
Civil Service, Department of	13,379	13,293	13,419	13,529	14,013
State Operations	13,295	13,205	13,331	13,441	13,925
Personal Service	12,212	12,384	12,497	12,591	13,075
Non-Personal Service/Indirect Costs	1,083	821	834	850	850
General State Charges	84	88	88	88	88
Deferred Compensation Board	613	846	846	853	854
State Operations	418	641	641	648	649
Personal Service	368	410	410	413	414
Non-Personal Service/Indirect Costs	50	231	231	235	235
General State Charges	195	205	205	205	205
Elections, State Board of	10,289	17,982	8,587	8,697	9,033
Local Assistance Grants	647	300	0	0	0
State Operations	9,593	17,682	8,587	8,697	9,033

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Personal Service	5,863	5,875	6,018	6,063	6,346
Non-Personal Service/Indirect Costs	3,730	11,807	2,569	2,634	2,687
General State Charges	49	0	0	0	0
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
State Operations	2,334	2,581	2,601	2,621	2,719
Personal Service	2,269	2,510	2,529	2,548	2,646
Non-Personal Service/Indirect Costs	65	71	72	73	73
Gaming Commission, New York State	253,064	233,303	238,703	244,803	247,220
Local Assistance Grants	101,820	105,000	125,400	131,500	131,500
State Operations	138,467	114,876	99,876	99,876	101,403
Personal Service	32,822	38,757	38,757	38,757	40,248
Non-Personal Service/Indirect Costs	105,645	76,119	61,119	61,119	61,155
General State Charges	12,777	13,427	13,427	13,427	14,317
General Services, Office of	262,189	322,012	377,621	309,392	259,273
Local Assistance Grants	0	250	250	250	250
State Operations	169,251	148,857	147,162	143,062	143,627
Personal Service	77,580	56,951	56,951	56,951	60,376
Non-Personal Service/Indirect Costs	91,671	91,906	90,211	86,111	83,251
General State Charges	407	427	452	452	452
Capital Projects	92,531	172,478	229,757	165,628	114,944
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
State Operations	7,179	7,367	7,427	7,487	7,826
Personal Service	5,833	6,552	6,600	6,648	6,970
Non-Personal Service/Indirect Costs	1,346	815	827	839	856
Labor Management Committees	28,818	25,300	25,300	25,306	25,517
State Operations	28,818	25,300	25,300	25,306	25,517
Personal Service	7,871	5,446	5,446	5,487	5,698
Non-Personal Service/Indirect Costs	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	2,062	2,881	2,881	2,981	3,053
Local Assistance Grants	528	1,285	1,285	1,385	1,385
State Operations	1,534	1,596	1,596	1,596	1,668
Personal Service	1,368	1,388	1,388	1,388	1,456
Non-Personal Service/Indirect Costs	166	208	208	208	212
Public Employment Relations Board	3,281	3,573	3,604	3,634	3,764
State Operations	3,281	3,573	3,604	3,634	3,764
Personal Service	3,056	3,336	3,363	3,388	3,518
Non-Personal Service/Indirect Costs	225	237	241	246	246
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State Operations	4,876	5,531	5,576	5,630	5,835
Personal Service	3,808	4,620	4,646	4,681	4,867
Non-Personal Service/Indirect Costs	1,068	911	930	949	968
State, Department of	133,681	141,661	129,377	129,681	127,999
Local Assistance Grants	71,828	73,341	62,836	62,836	62,836
State Operations	51,058	49,900	49,900	49,900	49,900
Personal Service	32,134	32,068	32,068	32,068	32,068
Non-Personal Service/Indirect Costs	18,924	17,832	17,832	17,832	17,832
General State Charges	10,795	14,420	14,641	14,945	15,263
Capital Projects	0	4,000	2,000	2,000	0
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
State Operations	2,833	3,040	3,040	3,040	3,150
Personal Service	2,604	2,870	2,870	2,870	2,980
Non-Personal Service/Indirect Costs	229	170	170	170	170
Taxation and Finance, Department of	359,123	359,860	359,535	359,360	370,887
Local Assistance Grants	1,080	2,726	2,726	2,726	2,726
State Operations	333,026	330,844	330,352	330,344	340,751

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Personal Service	284,526	269,907	269,907	269,907	280,269
Non-Personal Service/Indirect Costs	48,500	60,937	60,445	60,437	60,482
General State Charges	25,017	26,290	26,457	26,290	27,410
Technology, Office for	635,173	675,748	589,447	578,333	594,695
State Operations	548,953	536,032	559,582	559,582	569,995
Personal Service	298,543	270,712	270,712	270,712	281,125
Non-Personal Service/Indirect Costs	250,410	265,320	288,870	288,870	288,870
Capital Projects	86,220	139,716	29,865	18,751	24,700
Veterans' Affairs, Division of	15,067	17,130	15,481	15,496	15,789
Local Assistance Grants	8,340	9,382	7,637	7,637	7,637
State Operations	6,509	7,519	7,604	7,604	7,883
Personal Service	5,512	6,675	6,742	6,742	7,004
Non-Personal Service/Indirect Costs	997	844	862	862	879
General State Charges	218	229	240	255	269
Welfare Inspector General, Office of	592	672	686	701	731
State Operations	592	672	686	701	731
Personal Service	565	617	621	626	654
Non-Personal Service/Indirect Costs	27	55	65	75	77
Workers' Compensation Board	193,275	208,016	211,792	213,595	208,376
State Operations	140,604	141,607	143,390	145,193	150,513
Personal Service	82,632	80,878	81,493	82,104	86,209
Non-Personal Service/Indirect Costs	57,972	60,729	61,897	63,089	64,304
General State Charges	48,919	51,409	53,402	53,402	57,863
Capital Projects	3,752	15,000	15,000	15,000	0
Functional Total	1,953,163	2,071,919	2,026,519	1,955,735	1,932,337
ELECTED OFFICIALS					
Audit and Control, Department of	185,005	187,048	181,070	181,253	187,628
Local Assistance Grants	32,025	32,024	32,024	32,024	32,024
State Operations	149,275	149,094	146,661	146,844	153,187
Personal Service	115,964	115,350	113,609	113,687	119,369
Non-Personal Service/Indirect Costs	33,311	33,744	33,052	33,157	33,818
General State Charges	1,605	2,030	2,385	2,385	2,417
Capital Projects	2,100	3,900	0	0	0
Executive Chamber	14,653	13,578	13,578	13,578	14,032
State Operations	14,653	13,578	13,578	13,578	14,032
Personal Service	10,746	11,113	11,113	11,113	11,567
Non-Personal Service/Indirect Costs	3,907	2,465	2,465	2,465	2,465
Judiciary	2,828,689	2,953,997	3,010,806	3,003,805	3,060,806
Local Assistance Grants	101,099	108,497	123,503	123,502	123,503
State Operations	2,024,994	2,072,800	2,098,200	2,100,700	2,157,700
Personal Service	1,570,097	1,596,800	1,622,200	1,624,700	1,681,700
Non-Personal Service/Indirect Costs	454,897	476,000	476,000	476,000	476,000
General State Charges	702,596	764,700	782,103	779,603	779,603
Capital Projects	0	8,000	7,000	0	0
Law, Department of	226,651	241,984	236,944	239,953	249,021
State Operations	195,421	203,433	202,510	205,455	213,788
Personal Service	138,838	140,969	139,078	140,779	147,851
Non-Personal Service/Indirect Costs	56,583	62,464	63,432	64,676	65,937
General State Charges	25,029	28,551	32,434	33,211	35,233
Capital Projects	6,201	10,000	2,000	1,287	0
Legislature	225,893	225,980	218,795	218,795	219,111
State Operations	225,893	225,980	218,795	218,795	219,111
Personal Service	170,623	171,104	166,331	166,331	166,647
Non-Personal Service/Indirect Costs	55,270	54,876	52,464	52,464	52,464

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Lieutenant Governor, Office of the	510	614	614	614	634
State Operations	510	614	614	614	634
Personal Service	364	523	523	523	543
Non-Personal Service/Indirect Costs	146	91	91	91	91
Functional Total	3,481,401	3,623,201	3,661,807	3,657,998	3,731,232
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,839	722,974	763,347	763,347	763,347
Local Assistance Grants	714,732	722,974	763,347	763,347	763,347
State Operations	107	0	0	0	0
Non-Personal Service/Indirect Costs	107	0	0	0	0
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Local Assistance Grants	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Local Assistance Grants	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Local Assistance Grants	217	218	218	218	218
Functional Total	760,433	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	5,000	5,000	0	0
Capital Projects	0	5,000	5,000	0	0
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Long-Term Debt Service	5,552,429	5,355,838	6,549,584	7,184,331	7,452,328
State Operations	38,647	36,852	50,389	50,389	50,389
Non-Personal Service/Indirect Costs	38,647	36,852	50,389	50,389	50,389
Debt Service	5,513,782	5,318,986	6,499,195	7,133,942	7,401,939
Miscellaneous	(167,516)	(1,099,285)	(146,505)	260,190	375,504
Local Assistance Grants	(230,963)	(582,453)	(635,108)	(449,338)	(407,623)
State Operations	24,815	101,825	196,841	246,857	296,940
Personal Service	1,939	57,053	152,058	202,063	252,144
Non-Personal Service/Indirect Costs	22,876	44,772	44,783	44,794	44,796
General State Charges	5,412	5,584	5,631	5,631	5,649
Capital Projects	33,220	(624,241)	286,131	457,040	480,538
Special Infrastructure Account	649,103	917,071	1,310,923	980,604	579,520
Local Assistance Grants	230,875	40,000	40,000	30,000	30,000
Capital Projects	418,228	877,071	1,270,923	950,604	549,520
Functional Total	10,816,959	10,229,003	13,290,736	14,463,603	15,010,299
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	157,015,270	163,627,407	171,108,985	175,524,073	178,806,874

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	96,908	114,588	134,478	112,111	100,399
Alcoholic Beverage Control, Division of	11,248	12,683	12,683	12,744	13,060
Economic Development Capital	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	84,240	89,473	100,300	86,858	94,383
Empire State Development Corporation	1,107,501	1,684,574	1,935,046	1,716,707	1,774,661
Energy Research and Development Authority	14,545	25,273	24,842	15,352	13,720
Financial Services, Department of	362,898	361,044	361,581	368,179	371,354
Olympic Regional Development Authority	10,236	26,686	19,186	5,886	5,886
Power Authority, New York	1,916	28,328	18,000	37,500	2,500
Public Service Department	78,938	79,500	80,035	80,765	83,096
Regional Economic Development Program	4,156	512	338	337	337
Strategic Investment Program	683	6,000	7,002	6,650	11,046
Functional Total	1,775,113	2,457,937	2,716,078	2,464,689	2,491,304
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,547	4,763	4,763	4,763	4,921
Environmental Conservation, Department of	1,026,898	1,201,520	1,271,937	1,248,276	1,231,906
Parks, Recreation and Historic Preservation, Office of	353,753	333,171	335,148	338,179	329,663
Functional Total	1,385,198	1,539,454	1,611,848	1,591,218	1,566,490
TRANSPORTATION					
Metropolitan Transportation Authority	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	308,315	321,771	329,704	331,529	339,903
Transportation, Department of	9,950,606	10,048,851	10,454,812	10,269,335	10,204,950
Functional Total	10,508,921	11,341,478	11,034,516	10,950,864	10,544,853
HEALTH					
Aging, Office for the	225,544	239,289	222,338	227,561	233,194
Health, Department of	60,565,508	63,824,990	65,829,527	67,705,369	68,741,714
<i>Medical Assistance</i>	51,178,257	53,190,932	54,435,537	55,927,411	56,627,056
<i>Essential Plan</i>	3,569,893	4,238,290	4,523,655	4,916,984	5,396,147
<i>Medicaid Administration</i>	1,518,498	1,612,384	1,709,667	1,767,081	1,765,187
<i>Public Health</i>	4,298,860	4,783,384	5,160,668	5,093,893	4,953,324
Medicaid Inspector General, Office of the	50,994	48,627	48,043	48,406	50,445
Functional Total	60,842,046	64,112,906	66,099,908	67,981,336	69,025,353
SOCIAL WELFARE					
Children and Family Services, Office of	2,941,351	2,876,776	2,984,822	3,207,788	3,348,406
<i>OCFS</i>	2,859,104	2,790,404	2,884,266	3,102,989	3,234,532
<i>OCFS - Other</i>	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	256,695	415,051	625,693	641,448	649,700
Human Rights, Division of	14,890	14,289	14,343	14,343	15,257
Labor, Department of	534,361	566,009	549,929	550,739	568,320
National and Community Service	17,282	16,253	16,564	16,571	16,904
Nonprofit Infrastructure Capital Investment Program	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	4,722,939	5,146,328	5,175,360	5,192,491	5,199,784
<i>Welfare Assistance</i>	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
<i>All Other</i>	1,292,693	1,310,018	1,309,361	1,324,492	1,331,785
Functional Total	8,487,518	9,067,706	9,411,711	9,650,380	9,813,371
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	604,265	642,925	645,519	665,405	682,493
<i>OASAS</i>	514,925	559,971	560,854	579,429	594,315
<i>OASAS - Other</i>	89,340	82,954	84,665	85,976	88,178
Developmental Disabilities Planning Council	3,892	4,200	4,200	4,200	4,200
Justice Center	41,625	42,447	42,183	42,468	44,482
Mental Health, Office of	3,379,732	3,449,268	3,622,456	3,744,851	3,810,911
<i>OMH</i>	1,684,533	1,757,071	1,898,606	1,978,138	2,010,562
<i>OMH - Other</i>	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	2,974,349	3,006,608	3,273,810	3,484,242	3,683,677
<i>OPWDD</i>	455,861	453,651	492,520	547,565	584,831
<i>OPWDD - Other</i>	2,518,488	2,552,957	2,781,290	2,936,677	3,098,846
Functional Total	7,004,090	7,145,448	7,588,168	7,941,166	8,225,763

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,971,422	3,004,183	2,997,254	2,982,114	2,983,834
Criminal Justice Services, Division of	215,234	222,485	220,373	220,373	221,427
Homeland Security and Emergency Services, Division of	1,432,507	1,124,799	1,015,107	875,388	818,282
Indigent Legal Services, Office of	63,641	99,781	165,528	189,621	213,781
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	130,428	121,508	91,460	81,212	80,197
State Police, Division of	788,957	777,041	748,197	744,847	768,631
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	73,166	86,737	90,237	90,237	90,405
Functional Total	<u>5,713,619</u>	<u>5,474,974</u>	<u>5,366,655</u>	<u>5,222,356</u>	<u>5,215,914</u>
HIGHER EDUCATION					
City University of New York	1,564,711	1,577,587	1,627,174	1,653,361	1,681,034
Higher Education - Miscellaneous	529	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,020,224	962,172	1,213,850	1,252,724	1,277,875
State University of New York	7,985,264	8,067,853	8,439,186	8,630,670	8,819,588
Functional Total	<u>10,570,728</u>	<u>10,613,053</u>	<u>11,293,151</u>	<u>11,549,696</u>	<u>11,793,938</u>
EDUCATION					
Arts, Council on the	43,884	46,113	45,953	45,953	46,049
Education, Department of	33,672,197	35,142,867	36,167,235	37,254,379	38,617,521
<i>School Aid</i>	27,250,623	29,060,857	30,035,890	31,115,792	32,344,458
<i>STAR Property Tax Relief</i>	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,907,839	2,018,190	2,142,680	2,265,528	2,390,318
<i>All Other</i>	1,374,684	1,433,823	1,469,106	1,420,521	1,445,450
Functional Total	<u>33,716,081</u>	<u>35,188,980</u>	<u>36,213,188</u>	<u>37,300,332</u>	<u>38,663,570</u>
GENERAL GOVERNMENT					
Budget, Division of the	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,379	13,293	13,419	13,529	14,013
Deferred Compensation Board	613	846	846	853	854
Elections, State Board of	10,289	17,982	8,587	8,697	9,033
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	253,064	233,303	238,703	244,803	247,220
General Services, Office of	262,189	322,012	377,621	309,392	259,273
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,062	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State, Department of	133,681	141,661	129,377	129,681	127,999
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	359,123	359,860	359,535	359,360	370,887
Technology, Office for	635,173	675,748	589,447	578,333	594,695
Veterans' Affairs, Division of	15,067	17,130	15,481	15,496	15,789
Welfare Inspector General, Office of	592	672	686	701	731
Workers' Compensation Board	193,275	208,016	211,792	213,595	208,376
Functional Total	<u>1,953,163</u>	<u>2,071,919</u>	<u>2,026,519</u>	<u>1,955,735</u>	<u>1,932,337</u>
ELECTED OFFICIALS					
Audit and Control, Department of	185,005	187,048	181,070	181,253	187,628
Executive Chamber	14,653	13,578	13,578	13,578	14,032
Judiciary	2,828,689	2,953,997	3,010,806	3,003,805	3,060,806
Law, Department of	226,651	241,984	236,944	239,953	249,021
Legislature	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	510	614	614	614	634
Functional Total	<u>3,481,401</u>	<u>3,623,201</u>	<u>3,661,807</u>	<u>3,657,998</u>	<u>3,731,232</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,839	722,974	763,347	763,347	763,347
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,433</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	5,000	5,000	0	0
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Long-Term Debt Service	5,552,429	5,355,838	6,549,584	7,184,331	7,452,328
Miscellaneous	(167,516)	(1,099,285)	(146,505)	260,190	375,504
Special Infrastructure Account	649,103	917,071	1,310,923	980,604	579,520
Functional Total	<u>10,816,959</u>	<u>10,229,003</u>	<u>13,290,736</u>	<u>14,463,603</u>	<u>15,010,299</u>
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	<u>157,015,270</u>	<u>163,627,407</u>	<u>171,108,985</u>	<u>175,524,073</u>	<u>178,806,874</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,980	31,761	28,907	28,907	24,907
Economic Development Capital	1,844	12	0	0	0
Economic Development, Department of	62,448	66,375	67,034	67,034	67,034
Empire State Development Corporation	1,031,640	1,176,896	1,386,268	1,244,029	1,239,733
Financial Services, Department of	66,703	60,888	59,430	59,412	59,406
Olympic Regional Development Authority	0	10,000	0	0	0
Power Authority, New York	1,916	0	0	0	0
Public Service Department	0	155	155	155	155
Regional Economic Development Program	4,156	0	0	0	0
Strategic Investment Program	683	0	0	0	0
Functional Total	1,201,370	1,346,087	1,541,794	1,399,537	1,391,235
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	327,482	195,966	190,618	190,618	190,618
Parks, Recreation and Historic Preservation, Office of	13,281	8,510	7,470	7,470	7,470
Functional Total	340,763	204,476	198,088	198,088	198,088
TRANSPORTATION					
Metropolitan Transportation Authority	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	15,199	19,600	18,000	18,000	18,000
Transportation, Department of	6,331,110	6,238,405	6,196,034	6,279,523	6,332,037
Functional Total	6,596,309	7,228,861	6,464,034	6,647,523	6,350,037
HEALTH					
Aging, Office for the	213,003	227,649	213,854	219,077	224,430
Health, Department of	59,029,630	62,147,593	64,054,444	65,828,279	66,900,817
<i>Medical Assistance</i>	51,178,257	53,190,932	54,435,537	55,927,411	56,627,056
<i>Essential Plan</i>	3,526,056	4,177,964	4,460,019	4,843,219	5,316,303
<i>Medicaid Administration</i>	843,305	786,742	770,344	738,947	738,947
<i>Public Health</i>	3,482,012	3,991,955	4,388,544	4,318,702	4,218,511
Functional Total	59,242,633	62,375,242	64,268,298	66,047,356	67,125,247
SOCIAL WELFARE					
Children and Family Services, Office of	2,577,239	2,490,812	2,548,901	2,684,770	2,754,794
<i>OCFS</i>	2,494,992	2,404,440	2,448,345	2,579,971	2,640,920
<i>OCFS - Other</i>	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	187,653	334,143	548,734	564,489	568,513
Labor, Department of	150,118	167,312	152,042	152,042	152,042
National and Community Service	267	350	350	350	350
Temporary and Disability Assistance, Office of	4,393,371	4,822,784	4,849,245	4,860,045	4,862,845
<i>Welfare Assistance</i>	3,430,246	3,836,310	3,865,999	3,867,999	3,867,999
<i>All Other</i>	963,125	986,474	983,246	992,046	994,846
Functional Total	7,308,648	7,815,401	8,099,272	8,261,696	8,338,544
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	479,383	520,773	520,849	538,286	551,516
<i>OASAS</i>	458,058	499,448	499,524	516,961	530,191
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649
Mental Health, Office of	1,272,366	1,320,731	1,498,459	1,578,720	1,622,627
<i>OMH</i>	1,001,866	1,051,907	1,198,645	1,267,703	1,300,127
<i>OMH - Other</i>	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	969,589	957,495	1,227,169	1,398,890	1,552,481
<i>OPWDD</i>	385,635	378,863	417,410	472,006	509,272
<i>OPWDD - Other</i>	583,954	578,632	809,759	926,884	1,043,209
Functional Total	2,722,017	2,799,648	3,247,126	3,516,545	3,727,273
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	171,426	174,458	172,346	172,346	172,346
Homeland Security and Emergency Services, Division of	1,353,983	1,027,917	944,810	813,544	755,313
Indigent Legal Services, Office of	60,503	95,465	160,672	184,765	208,859

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Military and Naval Affairs, Division of	786	820	820	820	820
State Police, Division of	91	0	0	0	0
Victim Services, Office of	65,814	78,966	82,466	82,466	82,466
Functional Total	1,657,060	1,383,123	1,366,611	1,259,438	1,225,301
HIGHER EDUCATION					
City University of New York	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Services Corporation, New York State	957,549	897,528	1,149,206	1,188,080	1,213,231
State University of New York	491,891	492,021	500,063	499,730	499,730
Functional Total	2,873,569	2,799,178	3,134,558	3,197,077	3,247,652
EDUCATION					
Arts, Council on the	39,959	41,693	41,533	41,533	41,533
Education, Department of	33,276,815	34,743,875	35,747,860	36,834,030	38,196,413
<i>School Aid</i>	27,250,623	29,060,857	30,035,890	31,115,792	32,344,458
<i>STAR Property Tax Relief</i>	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,907,839	2,018,190	2,142,680	2,265,528	2,390,318
<i>All Other</i>	979,302	1,034,831	1,049,731	1,000,172	1,024,342
Functional Total	33,316,774	34,785,568	35,789,393	36,875,563	38,237,946
GENERAL GOVERNMENT					
Elections, State Board of	647	300	0	0	0
Gaming Commission, New York State	101,820	105,000	125,400	131,500	131,500
General Services, Office of	0	250	250	250	250
Prevention of Domestic Violence, Office for	528	1,285	1,285	1,385	1,385
State, Department of	71,828	73,341	62,836	62,836	62,836
Taxation and Finance, Department of	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	8,340	9,382	7,637	7,637	7,637
Functional Total	184,243	192,284	200,134	206,334	206,334
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	101,099	108,497	123,503	123,502	123,503
Functional Total	133,124	140,521	155,527	155,526	155,527
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,732	722,974	763,347	763,347	763,347
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	760,326	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES					
Miscellaneous	(230,963)	(582,453)	(635,108)	(449,338)	(407,623)
Special Infrastructure Account	230,875	40,000	40,000	30,000	30,000
Functional Total	(88)	(542,453)	(595,108)	(419,338)	(377,623)
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	116,336,748	121,289,284	124,664,427	128,140,045	130,618,011

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	56,825	49,655	65,351	65,325	66,434
Alcoholic Beverage Control, Division of	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	21,775	19,796	19,796	19,796	19,796
Financial Services, Department of	209,543	206,743	206,790	210,728	210,825
Olympic Regional Development Authority	2,736	2,686	5,886	5,886	5,886
Public Service Department	51,775	50,800	50,800	50,800	52,411
Functional Total	353,781	342,363	361,306	365,279	368,412
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,547	4,763	4,763	4,763	4,921
Environmental Conservation, Department of	269,816	256,090	256,119	256,822	264,404
Parks, Recreation and Historic Preservation, Office of	183,671	170,821	170,798	172,109	178,478
Functional Total	458,034	431,674	431,680	433,694	447,803
TRANSPORTATION					
Motor Vehicles, Department of	54,854	56,900	56,900	56,900	58,497
Transportation, Department of	31,448	35,100	34,911	34,911	35,425
Functional Total	86,302	92,000	91,811	91,811	93,922
HEALTH					
Aging, Office for the	12,541	11,640	8,484	8,484	8,764
Health, Department of	1,426,129	1,510,072	1,609,052	1,708,851	1,719,950
<i>Essential Plan</i>	43,837	60,326	63,636	73,765	79,844
<i>Medicaid Administration</i>	673,671	823,705	939,323	1,028,134	1,026,240
<i>Public Health</i>	708,621	626,041	606,093	606,952	613,866
Medicaid Inspector General, Office of the	41,851	39,019	38,175	38,175	39,438
Functional Total	1,480,521	1,560,731	1,655,711	1,755,510	1,768,152
SOCIAL WELFARE					
Children and Family Services, Office of	328,252	338,977	384,749	471,255	540,747
<i>OCFS</i>	328,252	338,977	384,749	471,255	540,747
Housing and Community Renewal, Division of	59,782	59,426	59,550	59,550	63,778
Human Rights, Division of	14,890	14,289	14,343	14,343	15,257
Labor, Department of	273,212	282,017	282,017	282,017	299,598
National and Community Service	17,015	15,679	15,985	15,985	16,312
Temporary and Disability Assistance, Office of	286,879	278,246	280,817	287,148	291,641
<i>All Other</i>	286,879	278,246	280,817	287,148	291,641
Functional Total	980,030	988,634	1,037,461	1,130,298	1,227,333
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	90,090	80,861	82,086	83,256	85,217
<i>OASAS</i>	41,998	38,362	39,112	39,680	40,490
<i>OASAS - Other</i>	48,092	42,499	42,974	43,576	44,727
Developmental Disabilities Planning Council	3,661	3,456	3,415	3,415	3,415
Justice Center	40,096	40,842	40,499	40,766	42,780
Mental Health, Office of	1,345,465	1,332,593	1,320,371	1,340,080	1,362,233
<i>OMH</i>	350,032	349,421	353,778	358,183	358,183
<i>OMH - Other</i>	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	1,298,507	1,300,733	1,295,225	1,309,828	1,328,285
<i>OPWDD</i>	1,173	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,778,046	2,758,485	2,741,596	2,777,345	2,821,930
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,662,598	2,634,217	2,636,973	2,644,128	2,649,758
Criminal Justice Services, Division of	43,444	47,644	47,644	47,644	48,698
Homeland Security and Emergency Services, Division of	62,691	43,858	48,358	49,058	50,183
Indigent Legal Services, Office of	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	53,525	48,576	49,114	49,663	50,523
State Police, Division of	737,575	697,853	696,923	697,003	722,713
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	5,782	6,121	6,121	6,121	6,289
Functional Total	3,606,050	3,520,009	3,526,932	3,535,481	3,570,887
HIGHER EDUCATION					
City University of New York	96,394	124,666	98,093	99,582	101,099
Higher Education - Miscellaneous	386	291	291	291	291
Higher Education Services Corporation, New York State	54,150	55,685	55,685	55,685	55,685
State University of New York	6,313,021	6,273,557	6,541,886	6,706,709	6,883,450
Functional Total	6,463,951	6,454,199	6,695,955	6,862,267	7,040,525
EDUCATION					
Arts, Council on the	3,925	4,420	4,420	4,420	4,516
Education, Department of	310,252	296,275	294,248	292,086	299,084
<i>All Other</i>	310,252	296,275	294,248	292,086	299,084
Functional Total	314,177	300,695	298,668	296,506	303,600
GENERAL GOVERNMENT					
Budget, Division of the	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,295	13,205	13,331	13,441	13,925
Deferred Compensation Board	418	641	641	648	649
Elections, State Board of	9,593	17,682	8,587	8,697	9,033
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	138,467	114,876	99,876	99,876	101,403
General Services, Office of	169,251	148,857	147,162	143,062	143,627
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,534	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State, Department of	51,058	49,900	49,900	49,900	49,900
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	333,026	330,844	330,352	330,344	340,751
Technology, Office for	548,953	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	6,509	7,519	7,604	7,604	7,883
Welfare Inspector General, Office of	592	672	686	701	731
Workers' Compensation Board	140,604	141,607	143,390	145,193	150,513
Functional Total	1,487,180	1,440,289	1,439,194	1,437,301	1,468,775
ELECTED OFFICIALS					
Audit and Control, Department of	149,275	149,094	146,661	146,844	153,187
Executive Chamber	14,653	13,578	13,578	13,578	14,032
Judiciary	2,024,994	2,072,800	2,098,200	2,100,700	2,157,700
Law, Department of	195,421	203,433	202,510	205,455	213,788
Legislature	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	510	614	614	614	634
Functional Total	2,610,746	2,665,499	2,680,358	2,685,986	2,758,452
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	107	0	0	0	0
Functional Total	107	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,815	101,825	196,841	246,857	296,940
Functional Total	63,462	138,677	247,230	297,246	347,329
TOTAL STATE OPERATIONS SPENDING	20,682,387	20,693,255	21,207,902	21,668,724	22,217,120

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	34,188	32,596	32,651	32,656	33,771
Alcoholic Beverage Control, Division of	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	13,152	12,929	12,929	12,929	12,929
Financial Services, Department of	151,522	151,883	151,928	152,663	152,737
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
Public Service Department	42,918	43,088	43,088	43,088	44,699
Functional Total	252,070	251,191	251,291	252,092	255,208
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	202,238	198,038	198,319	198,436	206,069
Parks, Recreation and Historic Preservation, Office of	138,056	124,970	125,947	127,258	133,623
Functional Total	344,406	327,116	328,374	329,802	343,958
TRANSPORTATION					
Motor Vehicles, Department of	37,012	39,694	39,694	39,694	41,240
Transportation, Department of	12,251	13,760	13,603	13,603	14,099
Functional Total	49,263	53,454	53,297	53,297	55,339
HEALTH					
Aging, Office for the	7,196	7,285	7,285	7,285	7,565
Health, Department of	360,620	351,689	362,230	369,394	382,934
<i>Essential Plan</i>	1,367	1,843	1,899	1,956	2,162
<i>Medicaid Administration</i>	71,191	83,152	95,132	102,246	108,840
<i>Public Health</i>	288,062	266,694	265,199	265,192	271,932
Medicaid Inspector General, Office of the	32,737	30,910	30,066	30,066	31,287
Functional Total	400,553	389,884	399,581	406,745	421,786
SOCIAL WELFARE					
Children and Family Services, Office of	194,832	188,665	220,665	289,870	344,946
<i>OCFS</i>	194,832	188,665	220,665	289,870	344,946
Housing and Community Renewal, Division of	49,632	47,923	47,998	47,998	51,350
Human Rights, Division of	12,205	12,567	12,596	12,596	13,475
Labor, Department of	204,836	192,484	192,484	192,484	206,660
National and Community Service	465	701	708	708	730
Temporary and Disability Assistance, Office of	154,062	145,924	146,740	151,899	155,268
<i>All Other</i>	154,062	145,924	146,740	151,899	155,268
Functional Total	616,032	588,264	621,191	695,555	772,429
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	59,664	60,017	60,675	61,283	62,784
<i>OASAS</i>	24,808	28,396	28,899	29,193	29,823
<i>OASAS - Other</i>	34,856	31,621	31,776	32,090	32,961
Developmental Disabilities Planning Council	976	1,266	1,266	1,266	1,266
Justice Center	29,334	31,163	31,163	31,163	32,563
Mental Health, Office of	1,073,377	1,054,581	1,038,144	1,052,031	1,068,218
<i>OMH</i>	289,320	299,909	302,901	305,937	305,937
<i>OMH - Other</i>	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,255,353	2,237,631	2,216,030	2,240,417	2,273,022
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,234	2,365	2,414	2,414	2,531
Correctional Services, Department of	2,134,801	2,067,980	2,070,736	2,077,891	2,083,521
Criminal Justice Services, Division of	31,283	30,184	30,184	30,184	31,238
Homeland Security and Emergency Services, Division of	26,736	24,918	28,918	29,618	30,743
Indigent Legal Services, Office of	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	29,020	26,295	26,535	26,780	27,330
State Police, Division of	655,292	618,489	617,539	617,589	643,269
Statewide Financial System	11,045	11,513	11,513	11,513	11,956

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Victim Services, Office of	4,638	4,834	4,834	4,834	5,002
Functional Total	<u>2,900,908</u>	<u>2,793,379</u>	<u>2,799,505</u>	<u>2,807,690</u>	<u>2,842,724</u>
HIGHER EDUCATION					
City University of New York	48,975	75,996	47,596	48,228	48,870
Higher Education - Miscellaneous	283	198	198	198	198
Higher Education Services Corporation, New York State	14,530	14,150	14,150	14,150	14,150
State University of New York	3,775,119	3,700,801	3,864,335	3,972,036	4,129,771
Functional Total	<u>3,838,907</u>	<u>3,791,145</u>	<u>3,926,279</u>	<u>4,034,612</u>	<u>4,192,989</u>
EDUCATION					
Arts, Council on the	2,491	2,498	2,498	2,498	2,594
Education, Department of	174,795	172,575	172,576	171,970	178,430
<i>All Other</i>	174,795	172,575	172,576	171,970	178,430
Functional Total	<u>177,286</u>	<u>175,073</u>	<u>175,074</u>	<u>174,468</u>	<u>181,024</u>
GENERAL GOVERNMENT					
Budget, Division of the	20,619	24,567	24,567	24,567	25,511
Civil Service, Department of	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	368	410	410	413	414
Elections, State Board of	5,863	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	32,822	38,757	38,757	38,757	40,248
General Services, Office of	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,368	1,388	1,388	1,388	1,456
Public Employment Relations Board	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,808	4,620	4,646	4,681	4,867
State, Department of	32,134	32,068	32,068	32,068	32,068
Tax Appeals, Division of	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	284,526	269,907	269,907	269,907	280,269
Technology, Office for	298,543	270,712	270,712	270,712	281,125
Veterans' Affairs, Division of	5,512	6,675	6,742	6,742	7,004
Welfare Inspector General, Office of	565	617	621	626	654
Workers' Compensation Board	82,632	80,878	81,493	82,104	86,209
Functional Total	<u>880,185</u>	<u>826,523</u>	<u>827,585</u>	<u>828,511</u>	<u>861,434</u>
ELECTED OFFICIALS					
Audit and Control, Department of	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,746	11,113	11,113	11,113	11,567
Judiciary	1,570,097	1,596,800	1,622,200	1,624,700	1,681,700
Law, Department of	138,838	140,969	139,078	140,779	147,851
Legislature	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	364	523	523	523	543
Functional Total	<u>2,006,632</u>	<u>2,035,859</u>	<u>2,052,854</u>	<u>2,057,133</u>	<u>2,127,677</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,939	57,053	152,058	202,063	252,144
Functional Total	<u>1,939</u>	<u>57,053</u>	<u>152,058</u>	<u>202,063</u>	<u>252,144</u>
TOTAL PERSONAL SERVICE SPENDING	<u>13,723,534</u>	<u>13,526,572</u>	<u>13,803,119</u>	<u>14,082,385</u>	<u>14,579,734</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	22,637	17,059	32,700	32,669	32,663
Alcoholic Beverage Control, Division of	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	8,623	6,867	6,867	6,867	6,867
Financial Services, Department of	58,021	54,860	54,862	58,065	58,088
Olympic Regional Development Authority	188	138	3,338	3,338	3,338
Public Service Department	8,857	7,712	7,712	7,712	7,712
Functional Total	101,711	91,172	110,015	113,187	113,204
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	435	655	655	655	655
Environmental Conservation, Department of	67,578	58,052	57,800	58,386	58,335
Parks, Recreation and Historic Preservation, Office of	45,615	45,851	44,851	44,851	44,855
Functional Total	113,628	104,558	103,306	103,892	103,845
TRANSPORTATION					
Motor Vehicles, Department of	17,842	17,206	17,206	17,206	17,257
Transportation, Department of	19,197	21,340	21,308	21,308	21,326
Functional Total	37,039	38,546	38,514	38,514	38,583
HEALTH					
Aging, Office for the	5,345	4,355	1,199	1,199	1,199
Health, Department of	1,065,509	1,158,383	1,246,822	1,339,457	1,337,016
<i>Essential Plan</i>	42,470	58,483	61,737	71,809	77,682
<i>Medicaid Administration</i>	602,480	740,553	844,191	925,888	917,400
<i>Public Health</i>	420,559	359,347	340,894	341,760	341,934
Medicaid Inspector General, Office of the	9,114	8,109	8,109	8,109	8,151
Functional Total	1,079,968	1,170,847	1,256,130	1,348,765	1,346,366
SOCIAL WELFARE					
Children and Family Services, Office of	133,420	150,312	164,084	181,385	195,801
<i>OCFS</i>	133,420	150,312	164,084	181,385	195,801
Housing and Community Renewal, Division of	10,150	11,503	11,552	11,552	12,428
Human Rights, Division of	2,685	1,722	1,747	1,747	1,782
Labor, Department of	68,376	89,533	89,533	89,533	92,938
National and Community Service	16,550	14,978	15,277	15,277	15,582
Temporary and Disability Assistance, Office of	132,817	132,322	134,077	135,249	136,373
<i>All Other</i>	132,817	132,322	134,077	135,249	136,373
Functional Total	363,998	400,370	416,270	434,743	454,904
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	30,426	20,844	21,411	21,973	22,433
<i>OASAS</i>	17,190	9,966	10,213	10,487	10,667
<i>OASAS - Other</i>	13,236	10,878	11,198	11,486	11,766
Developmental Disabilities Planning Council	2,685	2,190	2,149	2,149	2,149
Justice Center	10,762	9,679	9,336	9,603	10,217
Mental Health, Office of	272,088	278,012	282,227	288,049	294,015
<i>OMH</i>	60,712	49,512	50,877	52,246	52,246
<i>OMH - Other</i>	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	206,505	210,129	210,443	215,154	220,094
<i>OPWDD</i>	1,173	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	205,332	208,948	209,262	213,973	218,913
Functional Total	522,693	520,854	525,566	536,928	548,908
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	197	286	237	237	242
Correctional Services, Department of	527,797	566,237	566,237	566,237	566,237
Criminal Justice Services, Division of	12,161	17,460	17,460	17,460	17,460
Homeland Security and Emergency Services, Division of	35,955	18,940	19,440	19,440	19,440
Indigent Legal Services, Office of	493	780	780	780	780
Judicial Conduct, Commission on	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	10	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	24,505	22,281	22,579	22,883	23,193
State Police, Division of	82,283	79,364	79,384	79,414	79,444
Statewide Financial System	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	1,144	1,287	1,287	1,287	1,287
Functional Total	705,142	726,630	727,427	727,791	728,163
HIGHER EDUCATION					
City University of New York	47,419	48,670	50,497	51,354	52,229
Higher Education - Miscellaneous	103	93	93	93	93
Higher Education Services Corporation, New York State	39,620	41,535	41,535	41,535	41,535
State University of New York	2,537,902	2,572,756	2,677,551	2,734,673	2,753,679
Functional Total	2,625,044	2,663,054	2,769,676	2,827,655	2,847,536
EDUCATION					
Arts, Council on the	1,434	1,922	1,922	1,922	1,922
Education, Department of	135,457	123,700	121,672	120,116	120,654
<i>All Other</i>	135,457	123,700	121,672	120,116	120,654
Functional Total	136,891	125,622	123,594	122,038	122,576
GENERAL GOVERNMENT					
Budget, Division of the	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,083	821	834	850	850
Deferred Compensation Board	50	231	231	235	235
Elections, State Board of	3,730	11,807	2,569	2,634	2,687
Employee Relations, Office of	65	71	72	73	73
Gaming Commission, New York State	105,645	76,119	61,119	61,119	61,155
General Services, Office of	91,671	91,906	90,211	86,111	83,251
Inspector General, Office of the	1,346	815	827	839	856
Labor Management Committees	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	166	208	208	208	212
Public Employment Relations Board	225	237	241	246	246
Public Integrity, Commission on	1,068	911	930	949	968
State, Department of	18,924	17,832	17,832	17,832	17,832
Tax Appeals, Division of	229	170	170	170	170
Taxation and Finance, Department of	48,500	60,937	60,445	60,437	60,482
Technology, Office for	250,410	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	997	844	862	862	879
Welfare Inspector General, Office of	27	55	65	75	77
Workers' Compensation Board	57,972	60,729	61,897	63,089	64,304
Functional Total	606,995	613,766	611,609	608,790	607,341
ELECTED OFFICIALS					
Audit and Control, Department of	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,907	2,465	2,465	2,465	2,465
Judiciary	454,897	476,000	476,000	476,000	476,000
Law, Department of	56,583	62,464	63,432	64,676	65,937
Legislature	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	146	91	91	91	91
Functional Total	604,114	629,640	627,504	628,853	630,775
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	107	0	0	0	0
Functional Total	107	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,876	44,772	44,783	44,794	44,796
Functional Total	61,523	81,624	95,172	95,183	95,185
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	6,958,853	7,166,683	7,404,783	7,586,339	7,637,386

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,766	3,957	4,055	4,164	4,343
Alcoholic Beverage Control, Division of	121	0	0	0	0
Economic Development, Department of	0	28	28	28	28
Financial Services, Department of	86,652	93,413	95,361	98,039	101,123
Public Service Department	27,163	28,545	29,080	29,810	30,530
Functional Total	<u>117,702</u>	<u>125,943</u>	<u>128,524</u>	<u>132,041</u>	<u>136,024</u>
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	62,106	65,269	66,520	63,402	63,143
Parks, Recreation and Historic Preservation, Office of	2,972	3,123	3,123	3,123	3,285
Functional Total	<u>65,078</u>	<u>68,392</u>	<u>69,643</u>	<u>66,525</u>	<u>66,428</u>
TRANSPORTATION					
Motor Vehicles, Department of	21,158	22,235	22,313	22,313	23,222
Transportation, Department of	6,299	9,341	8,648	8,648	8,965
Functional Total	<u>27,457</u>	<u>31,576</u>	<u>30,961</u>	<u>30,961</u>	<u>32,187</u>
HEALTH					
Health, Department of	68,584	73,107	71,510	73,689	78,367
<i>Medicaid Administration</i>	1,522	1,937	0	0	0
<i>Public Health</i>	67,062	71,170	71,510	73,689	78,367
Medicaid Inspector General, Office of the	9,143	9,608	9,868	10,231	11,007
Functional Total	<u>77,727</u>	<u>82,715</u>	<u>81,378</u>	<u>83,920</u>	<u>89,374</u>
SOCIAL WELFARE					
Children and Family Services, Office of	11,468	12,051	12,477	13,025	14,064
<i>OCFS</i>	11,468	12,051	12,477	13,025	14,064
Housing and Community Renewal, Division of	9,260	18,482	14,409	14,409	14,409
Labor, Department of	111,031	116,680	115,870	116,680	116,680
National and Community Service	0	224	229	236	242
Temporary and Disability Assistance, Office of	42,343	44,498	44,498	44,498	44,498
<i>All Other</i>	42,343	44,498	44,498	44,498	44,498
Functional Total	<u>174,102</u>	<u>191,935</u>	<u>187,483</u>	<u>188,848</u>	<u>189,893</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	19,923	19,130	20,366	21,075	22,126
Developmental Disabilities Planning Council	231	744	785	785	785
Justice Center	850	956	1,035	1,053	1,053
Mental Health, Office of	621,931	616,982	643,376	665,106	665,106
<i>OMH</i>	192,665	176,781	185,933	191,307	191,307
<i>OMH - Other</i>	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	637,200	674,773	677,487	701,146	728,533
Functional Total	<u>1,293,938</u>	<u>1,329,746</u>	<u>1,360,467</u>	<u>1,407,253</u>	<u>1,436,537</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,092	1,147	1,147	1,147	1,147
Criminal Justice Services, Division of	364	383	383	383	383
Homeland Security and Emergency Services, Division of	7,388	7,763	7,786	7,786	7,786
Indigent Legal Services, Office of	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	6,078	6,387	6,507	6,629	6,754
State Police, Division of	20,056	4,321	4,400	4,510	4,510
Victim Services, Office of	1,570	1,650	1,650	1,650	1,650
Functional Total	<u>37,515</u>	<u>22,667</u>	<u>23,429</u>	<u>23,661</u>	<u>23,786</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
HIGHER EDUCATION					
City University of New York	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	143	150	150	150	150
Higher Education Services Corporation, New York State	8,525	8,959	8,959	8,959	8,959
State University of New York	420,308	461,938	487,477	513,098	533,098
Functional Total	<u>436,637</u>	<u>478,939</u>	<u>504,478</u>	<u>530,099</u>	<u>550,099</u>
EDUCATION					
Education, Department of	79,985	85,437	86,840	89,263	95,317
<i>All Other</i>	79,985	85,437	86,840	89,263	95,317
Functional Total	<u>79,985</u>	<u>85,437</u>	<u>86,840</u>	<u>89,263</u>	<u>95,317</u>
GENERAL GOVERNMENT					
Budget, Division of the	776	1,657	1,657	1,657	1,717
Civil Service, Department of	84	88	88	88	88
Deferred Compensation Board	195	205	205	205	205
Elections, State Board of	49	0	0	0	0
Gaming Commission, New York State	12,777	13,427	13,427	13,427	14,317
General Services, Office of	407	427	452	452	452
State, Department of	10,795	14,420	14,641	14,945	15,263
Taxation and Finance, Department of	25,017	26,290	26,457	26,290	27,410
Veterans' Affairs, Division of	218	229	240	255	269
Workers' Compensation Board	48,919	51,409	53,402	53,402	57,863
Functional Total	<u>99,237</u>	<u>108,152</u>	<u>110,569</u>	<u>110,721</u>	<u>117,584</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,605	2,030	2,385	2,385	2,417
Judiciary	702,596	764,700	782,103	779,603	779,603
Law, Department of	25,029	28,551	32,434	33,211	35,233
Functional Total	<u>729,230</u>	<u>795,281</u>	<u>816,922</u>	<u>815,199</u>	<u>817,253</u>
ALL OTHER CATEGORIES					
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Miscellaneous	5,412	5,584	5,631	5,631	5,649
Functional Total	<u>4,788,355</u>	<u>5,055,963</u>	<u>5,577,365</u>	<u>6,044,109</u>	<u>6,608,596</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,926,963</u></u>	<u><u>8,376,746</u></u>	<u><u>8,978,059</u></u>	<u><u>9,522,600</u></u>	<u><u>10,163,078</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	0	29,264	22,587	21,600	20,862
Economic Development, Department of	17	3,274	13,442	0	7,525
Empire State Development Corporation	75,861	507,678	548,778	472,678	534,928
Energy Research and Development Authority	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	14,000	13,300	0	0
Power Authority, New York	0	28,328	18,000	37,500	2,500
Regional Economic Development Program	0	512	338	337	337
Strategic Investment Program	0	6,000	7,002	6,650	11,046
Functional Total	102,260	643,544	684,454	567,832	595,633
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	367,494	684,195	758,680	737,434	713,741
Parks, Recreation and Historic Preservation, Office of	153,829	150,717	153,757	155,477	140,430
Functional Total	521,323	834,912	912,437	892,911	854,171
TRANSPORTATION					
Motor Vehicles, Department of	217,104	223,036	232,491	234,316	240,184
Transportation, Department of	3,581,749	3,766,005	4,215,219	3,946,253	3,828,523
Functional Total	3,798,853	3,989,041	4,447,710	4,180,569	4,068,707
HEALTH					
Health, Department of	41,165	94,218	94,521	94,550	42,580
<i>Public Health</i>	41,165	94,218	94,521	94,550	42,580
Functional Total	41,165	94,218	94,521	94,550	42,580
SOCIAL WELFARE					
Children and Family Services, Office of	24,392	34,936	38,695	38,738	38,801
<i>OCFS</i>	24,392	34,936	38,695	38,738	38,801
Housing and Community Renewal, Division of	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	346	800	800	800	800
<i>All Other</i>	346	800	800	800	800
Functional Total	24,738	71,736	87,495	69,538	57,601
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	1,066	5,000	4,800	4,700	4,700
<i>OASAS</i>	1,066	5,000	4,800	4,700	4,700
Mental Health, Office of	139,970	178,962	160,250	160,945	160,945
<i>OMH</i>	139,970	178,962	160,250	160,945	160,945
People with Developmental Disabilities, Office for	69,053	73,607	73,929	74,378	74,378
<i>OPWDD</i>	69,053	73,607	73,929	74,378	74,378
Functional Total	210,089	257,569	238,979	240,023	240,023
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	8,445	45,261	14,153	5,000	5,000
Military and Naval Affairs, Division of	70,039	65,725	35,019	24,100	22,100
State Police, Division of	31,235	74,867	46,874	43,334	41,408
Functional Total	412,994	549,175	449,683	403,776	395,940
HIGHER EDUCATION					
City University of New York	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	0	5,000	12,500	12,500	15,000
State University of New York	760,044	840,337	909,760	911,133	903,310
Functional Total	796,571	880,737	958,160	960,253	955,662

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
EDUCATION					
Education, Department of	5,145	17,280	38,287	39,000	26,707
<i>All Other</i>	5,145	17,280	38,287	39,000	26,707
Functional Total	<u>5,145</u>	<u>17,280</u>	<u>38,287</u>	<u>39,000</u>	<u>26,707</u>
GENERAL GOVERNMENT					
General Services, Office of	92,531	172,478	229,757	165,628	114,944
State, Department of	0	4,000	2,000	2,000	0
Technology, Office for	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,752	15,000	15,000	15,000	0
Functional Total	<u>182,503</u>	<u>331,194</u>	<u>276,622</u>	<u>201,379</u>	<u>139,644</u>
ELECTED OFFICIALS					
Audit and Control, Department of	2,100	3,900	0	0	0
Judiciary	0	8,000	7,000	0	0
Law, Department of	6,201	10,000	2,000	1,287	0
Functional Total	<u>8,301</u>	<u>21,900</u>	<u>9,000</u>	<u>1,287</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	5,000	5,000	0	0
Miscellaneous	33,220	(624,241)	286,131	457,040	480,538
Special Infrastructure Account	418,228	877,071	1,270,923	950,604	549,520
Functional Total	<u>451,448</u>	<u>257,830</u>	<u>1,562,054</u>	<u>1,407,644</u>	<u>1,030,058</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>6,555,390</u></u>	<u><u>7,949,136</u></u>	<u><u>9,759,402</u></u>	<u><u>9,058,762</u></u>	<u><u>8,406,726</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	82,570	99,236	119,197	96,800	85,041
Alcoholic Beverage Control, Division of	11,248	12,683	12,683	12,744	13,060
Economic Development Capital	1,844	29,276	22,587	21,600	20,862
Economic Development, Department of	79,798	81,173	92,000	78,558	86,083
Empire State Development Corporation	1,107,501	1,684,574	1,935,046	1,716,707	1,774,661
Energy Research and Development Authority	14,545	25,273	24,842	15,352	13,720
Financial Services, Department of	362,563	359,644	361,581	368,179	371,354
Olympic Regional Development Authority	10,236	26,686	19,186	5,886	5,886
Power Authority, New York	1,916	28,328	18,000	37,500	2,500
Public Service Department	75,529	76,535	77,070	77,800	80,131
Regional Economic Development Program	4,156	512	338	337	337
Strategic Investment Program	683	6,000	7,002	6,650	11,046
Functional Total	<u>1,752,589</u>	<u>2,429,920</u>	<u>2,689,532</u>	<u>2,438,113</u>	<u>2,464,681</u>
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	754,553	973,923	1,044,320	1,020,659	1,002,781
Parks, Recreation and Historic Preservation, Office of	338,130	326,833	328,810	331,841	323,255
Functional Total	<u>1,097,218</u>	<u>1,305,169</u>	<u>1,377,543</u>	<u>1,356,913</u>	<u>1,330,607</u>
TRANSPORTATION					
Metropolitan Transportation Authority	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	287,137	295,503	303,436	305,261	313,394
Transportation, Department of	7,876,559	8,433,580	8,667,005	8,685,125	8,667,899
Functional Total	<u>8,413,696</u>	<u>9,699,939</u>	<u>9,220,441</u>	<u>9,340,386</u>	<u>8,981,293</u>
HEALTH					
Aging, Office for the	126,347	124,580	125,883	131,106	136,502
Health, Department of	20,529,647	21,798,150	23,000,115	24,078,151	24,824,449
<i>Medical Assistance</i>	17,505,933	18,131,267	19,001,541	19,971,466	20,658,879
<i>Essential Plan</i>	312,837	491,693	418,576	438,643	454,574
<i>Medicaid Administration</i>	728,644	796,776	839,531	839,363	860,944
<i>Public Health</i>	1,982,233	2,378,414	2,740,467	2,828,679	2,850,052
Medicaid Inspector General, Office of the	20,489	18,533	18,111	18,111	18,718
Functional Total	<u>20,676,483</u>	<u>21,941,263</u>	<u>23,144,109</u>	<u>24,227,368</u>	<u>24,979,669</u>
SOCIAL WELFARE					
Children and Family Services, Office of	1,991,212	1,933,525	2,039,571	2,262,019	2,399,387
OCFS	1,908,965	1,847,153	1,939,015	2,157,220	2,285,513
OCFS - Other	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	191,077	349,432	559,950	575,705	582,981
Human Rights, Division of	10,382	9,921	9,921	9,921	10,590
Labor, Department of	82,569	82,426	67,156	67,156	69,893
National and Community Service	471	687	690	690	699
Nonprofit Infrastructure Capital Investment Program	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	1,412,347	1,505,500	1,531,961	1,549,092	1,556,385
Welfare Assistance	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
All Other	282,156	295,766	292,538	307,669	314,962
Functional Total	<u>3,688,058</u>	<u>3,914,491</u>	<u>4,254,249</u>	<u>4,491,583</u>	<u>4,634,935</u>
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	475,557	521,228	523,746	543,553	560,641
OASAS	386,217	438,274	439,081	457,577	472,463
OASAS - Other	89,340	82,954	84,665	85,976	88,178
Justice Center	39,719	41,745	41,480	41,765	43,779
Mental Health, Office of	3,336,606	3,407,475	3,580,653	3,703,035	3,777,049
OMH	1,641,407	1,715,278	1,856,803	1,936,322	1,976,700
OMH - Other	1,695,199	1,692,197	1,723,850	1,766,713	1,800,349
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	2,973,239	2,997,108	3,264,310	3,474,742	3,674,177
OPWDD	454,751	444,151	483,020	538,065	575,331
OPWDD - Other	2,518,488	2,552,957	2,781,290	2,936,677	3,098,846
Functional Total	<u>6,825,348</u>	<u>6,967,556</u>	<u>7,410,189</u>	<u>7,763,095</u>	<u>8,055,646</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,948,495	2,986,198	2,979,269	2,964,129	2,965,849
Criminal Justice Services, Division of	191,089	197,486	195,374	195,374	196,349
Homeland Security and Emergency Services, Division of	134,615	155,309	130,970	135,251	134,145
Indigent Legal Services, Office of	63,641	99,781	165,528	189,621	213,781
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	62,989	62,230	31,524	34,605	34,906
State Police, Division of	769,728	748,541	719,697	716,347	739,841
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	28,996	41,067	41,067	41,067	41,184
Functional Total	<u>4,237,817</u>	<u>4,329,052</u>	<u>4,301,928</u>	<u>4,314,958</u>	<u>4,365,412</u>
HIGHER EDUCATION					
City University of New York	1,562,530	1,569,953	1,619,540	1,645,727	1,673,400
Higher Education - Miscellaneous	529	441	441	441	441
Higher Education Facilities Capital Matching Grants Program	0	5,000	12,500	12,500	15,000
Higher Education Services Corporation, New York State	1,014,320	955,538	1,207,216	1,246,090	1,271,241
State University of New York	7,674,938	7,755,813	8,127,146	8,318,630	8,507,548
Functional Total	<u>10,252,317</u>	<u>10,286,745</u>	<u>10,966,843</u>	<u>11,223,388</u>	<u>11,467,630</u>
EDUCATION					
Arts, Council on the	42,832	45,413	45,253	45,253	45,349
Education, Department of	29,908,939	31,467,476	32,425,356	33,458,501	34,760,758
<i>School Aid</i>	24,385,908	26,337,457	27,266,040	28,298,434	29,478,520
<i>STAR Property Tax Relief</i>	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	1,066,621	1,161,832	1,187,077	1,137,001	1,154,625
Functional Total	<u>29,951,771</u>	<u>31,512,889</u>	<u>32,470,609</u>	<u>33,503,754</u>	<u>34,806,107</u>
GENERAL GOVERNMENT					
Budget, Division of the	25,335	31,123	30,596	30,596	31,603
Civil Service, Department of	13,379	13,293	13,419	13,529	14,013
Deferred Compensation Board	613	846	846	853	854
Elections, State Board of	7,188	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	253,064	233,303	238,703	244,803	247,220
General Services, Office of	253,750	316,775	372,384	304,155	254,036
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	2,028	2,881	2,881	2,981	3,053
Public Employment Relations Board	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State, Department of	70,808	75,642	63,358	63,662	61,980
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	357,907	358,640	358,315	358,140	369,667
Technology, Office for	634,586	675,748	589,447	578,333	594,695
Veterans' Affairs, Division of	14,291	15,553	13,873	13,873	14,119
Welfare Inspector General, Office of	592	672	686	701	731
Workers' Compensation Board	191,393	208,016	211,792	213,595	208,376
Functional Total	<u>1,874,255</u>	<u>1,988,366</u>	<u>1,952,435</u>	<u>1,881,636</u>	<u>1,858,191</u>
ELECTED OFFICIALS					
Audit and Control, Department of	185,005	187,048	181,070	181,253	187,628
Executive Chamber	14,653	13,578	13,578	13,578	14,032
Judiciary	2,822,161	2,946,497	3,003,306	2,996,305	3,053,306
Law, Department of	191,102	203,308	196,641	198,651	205,560
Legislature	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	510	614	614	614	634
Functional Total	<u>3,439,324</u>	<u>3,577,025</u>	<u>3,614,004</u>	<u>3,609,196</u>	<u>3,680,271</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,839	722,974	763,347	763,347	763,347

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	<u>760,433</u>	<u>761,348</u>	<u>794,700</u>	<u>794,700</u>	<u>792,450</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	5,000	5,000	0	0
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Long-Term Debt Service	5,552,429	5,355,838	6,549,584	7,184,331	7,452,328
Miscellaneous	76,129	(646,575)	333,860	728,128	843,442
Special Infrastructure Account	649,103	917,071	1,310,923	980,604	579,520
Functional Total	<u>11,060,604</u>	<u>10,681,713</u>	<u>13,771,101</u>	<u>14,931,541</u>	<u>15,478,237</u>
TOTAL STATE FUNDS SPENDING	<u>104,029,913</u>	<u>109,395,476</u>	<u>115,967,683</u>	<u>119,876,631</u>	<u>122,895,129</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,980	31,761	28,907	28,907	24,907
Economic Development Capital	1,844	12	0	0	0
Economic Development, Department of	58,598	58,320	58,979	58,979	58,979
Empire State Development Corporation	1,031,640	1,176,896	1,386,268	1,244,029	1,239,733
Financial Services, Department of	66,703	59,488	59,430	59,412	59,406
Olympic Regional Development Authority	0	10,000	0	0	0
Power Authority, New York	1,916	0	0	0	0
Public Service Department	0	155	155	155	155
Regional Economic Development Program	4,156	0	0	0	0
Strategic Investment Program	683	0	0	0	0
Functional Total	1,197,520	1,336,632	1,533,739	1,391,482	1,383,180
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	169,993	40,966	35,618	35,618	35,618
Parks, Recreation and Historic Preservation, Office of	7,998	7,240	6,200	6,200	6,200
Functional Total	177,991	48,206	41,818	41,818	41,818
TRANSPORTATION					
Metropolitan Transportation Authority	250,000	970,856	250,000	350,000	0
Motor Vehicles, Department of	0	1,600	0	0	0
Transportation, Department of	5,637,160	5,723,497	5,681,126	5,764,615	5,817,129
Functional Total	5,887,160	6,695,953	5,931,126	6,114,615	5,817,129
HEALTH					
Aging, Office for the	125,116	123,348	124,651	129,874	135,227
Health, Department of	19,775,413	20,887,873	22,030,336	23,065,216	23,829,147
<i>Medical Assistance</i>	17,505,933	18,131,267	19,001,541	19,971,466	20,658,879
<i>Essential Plan</i>	269,000	431,367	354,940	364,878	374,730
<i>Medicaid Administration</i>	467,643	434,306	417,908	386,511	386,511
<i>Public Health</i>	1,532,837	1,890,933	2,255,947	2,342,361	2,409,027
Functional Total	19,900,529	21,011,221	22,154,987	23,195,090	23,964,374
SOCIAL WELFARE					
Children and Family Services, Office of	1,715,339	1,652,512	1,710,601	1,846,470	1,916,494
<i>OCFS</i>	1,633,092	1,566,140	1,610,045	1,741,671	1,802,620
<i>OCFS - Other</i>	82,247	86,372	100,556	104,799	113,874
Housing and Community Renewal, Division of	135,329	285,709	500,300	516,055	520,079
Labor, Department of	15,403	15,420	150	150	150
National and Community Service	267	350	350	350	350
Temporary and Disability Assistance, Office of	1,274,253	1,379,208	1,405,669	1,416,469	1,419,269
<i>Welfare Assistance</i>	1,130,191	1,209,734	1,239,423	1,241,423	1,241,423
<i>All Other</i>	144,062	169,474	166,246	175,046	177,846
Functional Total	3,140,591	3,333,199	3,617,070	3,779,494	3,856,342
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	358,360	406,283	406,359	423,796	437,026
<i>OASAS</i>	337,035	384,958	385,034	402,471	415,701
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325
Justice Center	679	649	649	649	649
Mental Health, Office of	1,230,874	1,280,752	1,458,480	1,538,741	1,590,602
<i>OMH</i>	960,374	1,011,928	1,158,666	1,227,724	1,268,102
<i>OMH - Other</i>	270,500	268,824	299,814	311,017	322,500
People with Developmental Disabilities, Office for	969,589	948,995	1,218,669	1,390,390	1,543,981
<i>OPWDD</i>	385,635	370,363	408,910	463,506	500,772
<i>OPWDD - Other</i>	583,954	578,632	809,759	926,884	1,043,209
Functional Total	2,559,502	2,636,679	3,084,157	3,353,576	3,572,258
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	4,457	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	155,863	158,658	156,546	156,546	156,546
Homeland Security and Emergency Services, Division of	99,474	78,793	81,039	93,773	91,542
Indigent Legal Services, Office of	60,503	95,465	160,672	184,765	208,859

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Military and Naval Affairs, Division of	786	820	820	820	820
Victim Services, Office of	23,748	35,466	35,466	35,466	35,466
Functional Total	344,831	374,699	440,040	476,867	498,730
HIGHER EDUCATION					
City University of New York	1,424,129	1,409,629	1,485,289	1,509,267	1,534,691
Higher Education Services Corporation, New York State	957,504	897,528	1,149,206	1,188,080	1,213,231
State University of New York	491,891	492,021	500,063	499,730	499,730
Functional Total	2,873,524	2,799,178	3,134,558	3,197,077	3,247,652
EDUCATION					
Arts, Council on the	38,907	41,093	40,933	40,933	40,933
Education, Department of	29,732,476	31,268,149	32,206,303	33,239,965	34,548,768
<i>School Aid</i>	24,385,908	26,337,457	27,266,040	28,298,434	29,478,520
<i>STAR Property Tax Relief</i>	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>Special Education Categorical Programs</i>	1,317,359	1,338,190	1,452,680	1,570,528	1,690,318
<i>All Other</i>	890,158	962,505	968,024	918,465	942,635
Functional Total	29,771,383	31,309,242	32,247,236	33,280,898	34,589,701
GENERAL GOVERNMENT					
Elections, State Board of	93	0	0	0	0
Gaming Commission, New York State	101,820	105,000	125,400	131,500	131,500
Prevention of Domestic Violence, Office for	528	1,285	1,285	1,385	1,385
State, Department of	13,509	17,884	7,379	7,379	7,379
Taxation and Finance, Department of	1,080	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	8,340	9,382	7,637	7,637	7,637
Functional Total	125,370	136,277	144,427	150,627	150,627
ELECTED OFFICIALS					
Audit and Control, Department of	32,025	32,024	32,024	32,024	32,024
Judiciary	101,099	108,497	123,503	123,502	123,503
Functional Total	133,124	140,521	155,527	155,526	155,527
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	714,732	722,974	763,347	763,347	763,347
Miscellaneous Financial Assistance	16,046	9,271	2,250	2,250	0
Municipalities with VLT Facilities	29,331	28,885	28,885	28,885	28,885
Small Government Assistance	217	218	218	218	218
Functional Total	760,326	761,348	794,700	794,700	792,450
ALL OTHER CATEGORIES					
Miscellaneous	20,373	(107,743)	(138,443)	18,600	60,315
Special Infrastructure Account	230,875	40,000	40,000	30,000	30,000
Functional Total	251,248	(67,743)	(98,443)	48,600	90,315
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	67,123,099	70,515,412	73,180,942	75,980,370	78,160,103

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	44,534	36,454	52,247	52,252	53,369
Alcoholic Beverage Control, Division of	11,127	12,683	12,683	12,744	13,060
Economic Development, Department of	21,183	19,551	19,551	19,551	19,551
Financial Services, Department of	209,208	206,743	206,790	210,728	210,825
Olympic Regional Development Authority	2,736	2,686	5,886	5,886	5,886
Public Service Department	50,006	49,558	49,558	49,558	51,169
Functional Total	338,794	327,675	346,715	350,719	353,860
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,535	4,413	4,413	4,413	4,571
Environmental Conservation, Department of	225,085	211,205	211,234	211,937	218,437
Parks, Recreation and Historic Preservation, Office of	178,446	168,553	168,530	169,841	176,164
Functional Total	408,066	384,171	384,177	386,191	399,172
TRANSPORTATION					
Motor Vehicles, Department of	49,560	49,352	49,352	49,352	50,794
Transportation, Department of	21,228	11,442	11,253	11,253	11,382
Functional Total	70,788	60,794	60,605	60,605	62,176
HEALTH					
Aging, Office for the	1,231	1,232	1,232	1,232	1,275
Health, Department of	688,514	789,553	849,300	891,521	923,924
<i>Essential Plan</i>	43,837	60,326	63,636	73,765	79,844
<i>Medicaid Administration</i>	261,001	362,470	421,623	452,852	474,433
<i>Public Health</i>	383,676	366,757	364,041	364,904	369,647
Medicaid Inspector General, Office of the	20,489	18,533	18,111	18,111	18,718
Functional Total	710,234	809,318	868,643	910,864	943,917
SOCIAL WELFARE					
Children and Family Services, Office of	249,825	244,337	288,511	375,017	442,268
<i>OCFS</i>	249,825	244,337	288,511	375,017	442,268
Housing and Community Renewal, Division of	50,299	49,246	49,246	49,246	52,498
Human Rights, Division of	10,382	9,921	9,921	9,921	10,590
Labor, Department of	47,669	46,519	46,519	46,519	49,256
National and Community Service	204	337	340	340	349
Temporary and Disability Assistance, Office of	137,626	125,364	125,364	131,695	136,188
<i>All Other</i>	137,626	125,364	125,364	131,695	136,188
Functional Total	496,005	475,724	519,901	612,738	691,149
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	82,405	73,654	74,803	75,894	77,855
<i>OASAS</i>	34,313	31,155	31,829	32,318	33,128
<i>OASAS - Other</i>	48,092	42,499	42,974	43,576	44,727
Justice Center	38,190	40,203	39,860	40,127	42,141
Mental Health, Office of	1,344,266	1,331,225	1,319,003	1,338,712	1,360,865
<i>OMH</i>	348,833	348,053	352,410	356,815	356,815
<i>OMH - Other</i>	995,433	983,172	966,593	981,897	1,004,050
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	1,297,397	1,299,733	1,294,225	1,308,828	1,327,285
<i>OPWDD</i>	63	181	181	181	181
<i>OPWDD - Other</i>	1,297,334	1,299,552	1,294,044	1,308,647	1,327,104
Functional Total	2,762,485	2,744,815	2,727,891	2,763,561	2,808,146
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,431	2,651	2,651	2,651	2,773
Correctional Services, Department of	2,640,662	2,617,273	2,620,029	2,627,184	2,632,814
Criminal Justice Services, Division of	35,193	38,793	38,793	38,793	39,768
Homeland Security and Emergency Services, Division of	26,030	30,556	35,056	35,756	36,881
Indigent Legal Services, Office of	2,171	3,300	3,300	3,300	3,366
Judicial Conduct, Commission on	5,505	5,584	5,643	5,708	5,936
Judicial Nomination, Commission on	10	30	30	30	30
Judicial Screening Committees, New York State	9	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
Military and Naval Affairs, Division of	26,799	21,676	21,676	21,676	21,977
State Police, Division of	720,382	670,853	669,923	670,003	695,423
Statewide Financial System	30,309	30,137	30,137	30,137	30,580
Victim Services, Office of	3,678	3,951	3,951	3,951	4,068
Functional Total	3,493,179	3,424,842	3,431,227	3,439,227	3,473,654
HIGHER EDUCATION					
City University of New York	94,213	117,032	90,459	91,948	93,465
Higher Education - Miscellaneous	386	291	291	291	291
Higher Education Services Corporation, New York State	48,292	49,052	49,052	49,052	49,052
State University of New York	6,002,720	5,961,568	6,229,897	6,394,720	6,571,461
Functional Total	6,145,611	6,127,943	6,369,699	6,536,011	6,714,269
EDUCATION					
Arts, Council on the	3,925	4,320	4,320	4,320	4,416
Education, Department of	139,176	146,888	144,861	142,699	145,966
<i>All Other</i>	139,176	146,888	144,861	142,699	145,966
Functional Total	143,101	151,208	149,181	147,019	150,382
GENERAL GOVERNMENT					
Budget, Division of the	24,559	29,466	28,939	28,939	29,886
Civil Service, Department of	13,295	13,205	13,331	13,441	13,925
Deferred Compensation Board	418	641	641	648	649
Elections, State Board of	7,095	8,482	8,587	8,697	9,033
Employee Relations, Office of	2,334	2,581	2,601	2,621	2,719
Gaming Commission, New York State	138,467	114,876	99,876	99,876	101,403
General Services, Office of	160,812	143,870	142,175	138,075	138,640
Inspector General, Office of the	7,179	7,367	7,427	7,487	7,826
Labor Management Committees	28,818	25,300	25,300	25,306	25,517
Prevention of Domestic Violence, Office for	1,500	1,596	1,596	1,596	1,668
Public Employment Relations Board	3,281	3,573	3,604	3,634	3,764
Public Integrity, Commission on	4,876	5,531	5,576	5,630	5,835
State, Department of	47,676	42,130	42,130	42,130	42,130
Tax Appeals, Division of	2,833	3,040	3,040	3,040	3,150
Taxation and Finance, Department of	331,810	329,624	329,132	329,124	339,531
Technology, Office for	548,366	536,032	559,582	559,582	569,995
Veterans' Affairs, Division of	5,951	6,171	6,236	6,236	6,482
Welfare Inspector General, Office of	592	672	686	701	731
Workers' Compensation Board	138,722	141,607	143,390	145,193	150,513
Functional Total	1,468,584	1,415,764	1,423,849	1,421,956	1,453,397
ELECTED OFFICIALS					
Audit and Control, Department of	149,275	149,094	146,661	146,844	153,187
Executive Chamber	14,653	13,578	13,578	13,578	14,032
Judiciary	2,018,899	2,065,700	2,091,100	2,093,600	2,150,600
Law, Department of	170,170	175,579	174,387	176,833	183,996
Legislature	225,893	225,980	218,795	218,795	219,111
Lieutenant Governor, Office of the	510	614	614	614	634
Functional Total	2,579,400	2,630,545	2,645,135	2,650,264	2,721,560
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	107	0	0	0	0
Functional Total	107	0	0	0	0
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,647	36,852	50,389	50,389	50,389
Miscellaneous	24,783	101,825	196,841	246,857	296,940
Functional Total	63,430	138,677	247,230	297,246	347,329
TOTAL STATE OPERATIONS SPENDING	18,679,784	18,691,476	19,174,253	19,576,401	20,119,011

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	30,523	29,183	29,238	29,243	30,358
Alcoholic Beverage Control, Division of	7,742	8,147	8,147	8,208	8,524
Economic Development, Department of	13,152	12,929	12,929	12,929	12,929
Financial Services, Department of	151,522	151,883	151,928	152,663	152,737
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548
Public Service Department	41,485	41,886	41,886	41,886	43,497
Functional Total	246,972	246,576	246,676	247,477	250,593
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,112	4,108	4,108	4,108	4,266
Environmental Conservation, Department of	176,058	170,279	170,560	170,677	177,242
Parks, Recreation and Historic Preservation, Office of	135,346	123,847	124,824	126,135	132,456
Functional Total	315,516	298,234	299,492	300,920	313,964
TRANSPORTATION					
Motor Vehicles, Department of	35,655	35,959	35,959	35,959	37,361
Transportation, Department of	6,497	3,413	3,256	3,256	3,381
Functional Total	42,152	39,372	39,215	39,215	40,742
HEALTH					
Aging, Office for the	1,125	1,125	1,125	1,125	1,168
Health, Department of	261,956	253,711	258,281	261,873	270,054
<i>Essential Plan</i>	1,367	1,843	1,899	1,956	2,162
<i>Medicaid Administration</i>	36,908	41,622	47,576	51,106	54,512
<i>Public Health</i>	223,681	210,246	208,806	208,811	213,380
Medicaid Inspector General, Office of the	16,415	15,358	14,936	14,936	15,543
Functional Total	279,496	270,194	274,342	277,934	286,765
SOCIAL WELFARE					
Children and Family Services, Office of	169,024	159,708	191,418	260,623	314,495
<i>OCFS</i>	169,024	159,708	191,418	260,623	314,495
Housing and Community Renewal, Division of	42,730	40,403	40,403	40,403	43,225
Human Rights, Division of	9,533	9,461	9,461	9,461	10,121
Labor, Department of	34,150	32,618	32,618	32,618	34,864
National and Community Service	203	328	331	331	340
Temporary and Disability Assistance, Office of	73,429	68,985	68,985	74,144	77,513
<i>All Other</i>	73,429	68,985	68,985	74,144	77,513
Functional Total	329,069	311,503	343,216	417,580	480,558
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,856	31,621	31,776	32,090	32,961
Justice Center	29,240	31,060	31,060	31,060	32,460
Mental Health, Office of	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,248,363	2,230,340	2,208,695	2,233,039	2,265,644
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,234	2,365	2,414	2,414	2,531
Correctional Services, Department of	2,113,404	2,052,227	2,054,983	2,062,138	2,067,768
Criminal Justice Services, Division of	26,998	25,582	25,582	25,582	26,557
Homeland Security and Emergency Services, Division of	16,748	18,428	22,428	23,128	24,253
Indigent Legal Services, Office of	1,678	2,520	2,520	2,520	2,586
Judicial Conduct, Commission on	4,181	4,281	4,312	4,347	4,548
Military and Naval Affairs, Division of	17,164	14,295	14,295	14,295	14,596
State Police, Division of	649,718	611,489	610,539	610,589	635,979
Statewide Financial System	11,045	11,513	11,513	11,513	11,956

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Victim Services, Office of	3,145	3,176	3,176	3,176	3,293
Functional Total	<u>2,846,315</u>	<u>2,745,876</u>	<u>2,751,762</u>	<u>2,759,702</u>	<u>2,794,067</u>
HIGHER EDUCATION					
City University of New York	48,975	75,996	47,596	48,228	48,870
Higher Education - Miscellaneous	283	198	198	198	198
Higher Education Services Corporation, New York State	14,475	13,314	13,314	13,314	13,314
State University of New York	3,766,631	3,693,572	3,857,106	3,964,807	4,122,542
Functional Total	<u>3,830,364</u>	<u>3,783,080</u>	<u>3,918,214</u>	<u>4,026,547</u>	<u>4,184,924</u>
EDUCATION					
Arts, Council on the	2,491	2,498	2,498	2,498	2,594
Education, Department of	86,602	88,089	88,090	87,484	90,693
<i>All Other</i>	86,602	88,089	88,090	87,484	90,693
Functional Total	<u>89,093</u>	<u>90,587</u>	<u>90,588</u>	<u>89,982</u>	<u>93,287</u>
GENERAL GOVERNMENT					
Budget, Division of the	20,619	24,567	24,567	24,567	25,511
Civil Service, Department of	12,212	12,384	12,497	12,591	13,075
Deferred Compensation Board	368	410	410	413	414
Elections, State Board of	5,765	5,875	6,018	6,063	6,346
Employee Relations, Office of	2,269	2,510	2,529	2,548	2,646
Gaming Commission, New York State	32,822	38,757	38,757	38,757	40,248
General Services, Office of	77,580	56,951	56,951	56,951	60,376
Inspector General, Office of the	5,833	6,552	6,600	6,648	6,970
Labor Management Committees	7,871	5,446	5,446	5,487	5,698
Prevention of Domestic Violence, Office for	1,338	1,388	1,388	1,388	1,456
Public Employment Relations Board	3,056	3,336	3,363	3,388	3,518
Public Integrity, Commission on	3,808	4,620	4,646	4,681	4,867
State, Department of	29,588	28,337	28,337	28,337	28,337
Tax Appeals, Division of	2,604	2,870	2,870	2,870	2,980
Taxation and Finance, Department of	284,526	269,907	269,907	269,907	280,269
Technology, Office for	298,543	270,712	270,712	270,712	281,125
Veterans' Affairs, Division of	5,086	5,879	5,938	5,938	6,178
Welfare Inspector General, Office of	565	617	621	626	654
Workers' Compensation Board	82,632	80,878	81,493	82,104	86,209
Functional Total	<u>877,085</u>	<u>821,996</u>	<u>823,050</u>	<u>823,976</u>	<u>856,877</u>
ELECTED OFFICIALS					
Audit and Control, Department of	115,964	115,350	113,609	113,687	119,369
Executive Chamber	10,746	11,113	11,113	11,113	11,567
Judiciary	1,568,100	1,595,200	1,620,600	1,623,100	1,680,100
Law, Department of	120,219	121,274	119,097	120,450	126,506
Legislature	170,623	171,104	166,331	166,331	166,647
Lieutenant Governor, Office of the	364	523	523	523	543
Functional Total	<u>1,986,016</u>	<u>2,014,564</u>	<u>2,031,273</u>	<u>2,035,204</u>	<u>2,104,732</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,939	57,053	152,058	202,063	252,144
Functional Total	<u>1,939</u>	<u>57,053</u>	<u>152,058</u>	<u>202,063</u>	<u>252,144</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>13,092,380</u></u>	<u><u>12,909,375</u></u>	<u><u>13,178,581</u></u>	<u><u>13,453,639</u></u>	<u><u>13,924,297</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	14,011	7,271	23,009	23,009	23,011
Alcoholic Beverage Control, Division of	3,385	4,536	4,536	4,536	4,536
Economic Development, Department of	8,031	6,622	6,622	6,622	6,622
Financial Services, Department of	57,686	54,860	54,862	58,065	58,088
Olympic Regional Development Authority	188	138	3,338	3,338	3,338
Public Service Department	8,521	7,672	7,672	7,672	7,672
Functional Total	91,822	81,099	100,039	103,242	103,267
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	423	305	305	305	305
Environmental Conservation, Department of	49,027	40,926	40,674	41,260	41,195
Parks, Recreation and Historic Preservation, Office of	43,100	44,706	43,706	43,706	43,708
Functional Total	92,550	85,937	84,685	85,271	85,208
TRANSPORTATION					
Motor Vehicles, Department of	13,905	13,393	13,393	13,393	13,433
Transportation, Department of	14,731	8,029	7,997	7,997	8,001
Functional Total	28,636	21,422	21,390	21,390	21,434
HEALTH					
Aging, Office for the	106	107	107	107	107
Health, Department of	426,558	535,842	591,019	629,648	653,870
<i>Essential Plan</i>	42,470	58,483	61,737	71,809	77,682
<i>Medicaid Administration</i>	224,093	320,848	374,047	401,746	419,921
<i>Public Health</i>	159,995	156,511	155,235	156,093	156,267
Medicaid Inspector General, Office of the	4,074	3,175	3,175	3,175	3,175
Functional Total	430,738	539,124	594,301	632,930	657,152
SOCIAL WELFARE					
Children and Family Services, Office of	80,801	84,629	97,093	114,394	127,773
<i>OCFS</i>	80,801	84,629	97,093	114,394	127,773
Housing and Community Renewal, Division of	7,569	8,843	8,843	8,843	9,273
Human Rights, Division of	849	460	460	460	469
Labor, Department of	13,519	13,901	13,901	13,901	14,392
National and Community Service	1	9	9	9	9
Temporary and Disability Assistance, Office of	64,197	56,379	56,379	57,551	58,675
<i>All Other</i>	64,197	56,379	56,379	57,551	58,675
Functional Total	166,936	164,221	176,685	195,158	210,591
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	13,236	10,878	11,198	11,486	11,766
Justice Center	8,950	9,143	8,800	9,067	9,681
Mental Health, Office of	271,702	277,457	281,672	287,494	293,460
<i>OMH</i>	60,326	48,957	50,322	51,691	51,691
<i>OMH - Other</i>	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	63	181	181	181	181
<i>OPWDD - Other</i>	205,332	208,948	209,262	213,973	218,913
Functional Total	514,122	514,475	519,196	530,522	542,502
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	197	286	237	237	242
Correctional Services, Department of	527,258	565,046	565,046	565,046	565,046
Criminal Justice Services, Division of	8,195	13,211	13,211	13,211	13,211
Homeland Security and Emergency Services, Division of	9,282	12,128	12,628	12,628	12,628
Indigent Legal Services, Office of	493	780	780	780	780
Judicial Conduct, Commission on	1,324	1,303	1,331	1,361	1,388
Judicial Nomination, Commission on	10	30	30	30	30

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Judicial Screening Committees, New York State	9	38	38	38	38
Military and Naval Affairs, Division of	9,635	7,381	7,381	7,381	7,381
State Police, Division of	70,664	59,364	59,384	59,414	59,444
Statewide Financial System	19,264	18,624	18,624	18,624	18,624
Victim Services, Office of	533	775	775	775	775
Functional Total	<u>646,864</u>	<u>678,966</u>	<u>679,465</u>	<u>679,525</u>	<u>679,587</u>
HIGHER EDUCATION					
City University of New York	45,238	41,036	42,863	43,720	44,595
Higher Education - Miscellaneous	103	93	93	93	93
Higher Education Services Corporation, New York State	33,817	35,738	35,738	35,738	35,738
State University of New York	2,236,089	2,267,996	2,372,791	2,429,913	2,448,919
Functional Total	<u>2,315,247</u>	<u>2,344,863</u>	<u>2,451,485</u>	<u>2,509,464</u>	<u>2,529,345</u>
EDUCATION					
Arts, Council on the	1,434	1,822	1,822	1,822	1,822
Education, Department of	52,574	58,799	56,771	55,215	55,273
<i>All Other</i>	52,574	58,799	56,771	55,215	55,273
Functional Total	<u>54,008</u>	<u>60,621</u>	<u>58,593</u>	<u>57,037</u>	<u>57,095</u>
GENERAL GOVERNMENT					
Budget, Division of the	3,940	4,899	4,372	4,372	4,375
Civil Service, Department of	1,083	821	834	850	850
Deferred Compensation Board	50	231	231	235	235
Elections, State Board of	1,330	2,607	2,569	2,634	2,687
Employee Relations, Office of	65	71	72	73	73
Gaming Commission, New York State	105,645	76,119	61,119	61,119	61,155
General Services, Office of	83,232	86,919	85,224	81,124	78,264
Inspector General, Office of the	1,346	815	827	839	856
Labor Management Committees	20,947	19,854	19,854	19,819	19,819
Prevention of Domestic Violence, Office for	162	208	208	208	212
Public Employment Relations Board	225	237	241	246	246
Public Integrity, Commission on	1,068	911	930	949	968
State, Department of	18,088	13,793	13,793	13,793	13,793
Tax Appeals, Division of	229	170	170	170	170
Taxation and Finance, Department of	47,284	59,717	59,225	59,217	59,262
Technology, Office for	249,823	265,320	288,870	288,870	288,870
Veterans' Affairs, Division of	865	292	298	298	304
Welfare Inspector General, Office of	27	55	65	75	77
Workers' Compensation Board	56,090	60,729	61,897	63,089	64,304
Functional Total	<u>591,499</u>	<u>593,768</u>	<u>600,799</u>	<u>597,980</u>	<u>596,520</u>
ELECTED OFFICIALS					
Audit and Control, Department of	33,311	33,744	33,052	33,157	33,818
Executive Chamber	3,907	2,465	2,465	2,465	2,465
Judiciary	450,799	470,500	470,500	470,500	470,500
Law, Department of	49,951	54,305	55,290	56,383	57,490
Legislature	55,270	54,876	52,464	52,464	52,464
Lieutenant Governor, Office of the	146	91	91	91	91
Functional Total	<u>593,384</u>	<u>615,981</u>	<u>613,862</u>	<u>615,060</u>	<u>616,828</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	107	0	0	0	0
Functional Total	<u>107</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES					
Long-Term Debt Service	38,647	36,852	50,389	50,389	50,389
Miscellaneous	22,844	44,772	44,783	44,794	44,796
Functional Total	<u>61,491</u>	<u>81,624</u>	<u>95,172</u>	<u>95,183</u>	<u>95,185</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,587,404</u>	<u>5,782,101</u>	<u>5,995,672</u>	<u>6,122,762</u>	<u>6,194,714</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,719	1,806	1,878	1,926	2,050
Alcoholic Beverage Control, Division of	121	0	0	0	0
Economic Development, Department of	0	28	28	28	28
Financial Services, Department of	86,652	93,413	95,361	98,039	101,123
Public Service Department	25,523	26,822	27,357	28,087	28,807
Functional Total	114,015	122,069	124,624	128,080	132,008
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	47,714	50,144	51,375	48,257	47,572
Parks, Recreation and Historic Preservation, Office of	2,972	3,123	3,123	3,123	3,261
Functional Total	50,686	53,267	54,498	51,380	50,833
TRANSPORTATION					
Motor Vehicles, Department of	20,473	21,515	21,593	21,593	22,416
Transportation, Department of	3,750	2,695	2,002	2,002	2,079
Functional Total	24,223	24,210	23,595	23,595	24,495
HEALTH					
Health, Department of	30,543	32,795	32,247	33,153	35,087
<i>Public Health</i>	30,543	32,795	32,247	33,153	35,087
Functional Total	30,543	32,795	32,247	33,153	35,087
SOCIAL WELFARE					
Children and Family Services, Office of	1,656	1,740	1,764	1,794	1,824
<i>OCFS</i>	1,656	1,740	1,764	1,794	1,824
Housing and Community Renewal, Division of	5,449	14,477	10,404	10,404	10,404
Labor, Department of	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	122	128	128	128	128
<i>All Other</i>	122	128	128	128	128
Functional Total	26,724	36,832	32,783	32,813	32,843
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	19,923	19,130	20,366	21,075	22,126
Justice Center	850	893	971	989	989
Mental Health, Office of	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	637,200	674,773	677,487	701,146	728,533
Functional Total	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	101	106	106	106	106
Criminal Justice Services, Division of	33	35	35	35	35
Homeland Security and Emergency Services, Division of	666	699	722	722	722
Indigent Legal Services, Office of	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	9	9	9	9	9
State Police, Division of	18,111	2,821	2,900	3,010	3,010
Victim Services, Office of	1,570	1,650	1,650	1,650	1,650
Functional Total	21,457	6,336	6,978	7,088	7,088
HIGHER EDUCATION					
City University of New York	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	143	150	150	150	150
Higher Education Services Corporation, New York State	8,524	8,958	8,958	8,958	8,958
State University of New York	420,283	461,887	487,426	513,047	533,047
Functional Total	436,611	478,887	504,426	530,047	550,047

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
EDUCATION					
Education, Department of	32,142	35,159	35,905	36,837	39,317
<i>All Other</i>	32,142	35,159	35,905	36,837	39,317
Functional Total	<u>32,142</u>	<u>35,159</u>	<u>35,905</u>	<u>36,837</u>	<u>39,317</u>
GENERAL GOVERNMENT					
Budget, Division of the	776	1,657	1,657	1,657	1,717
Civil Service, Department of	84	88	88	88	88
Deferred Compensation Board	195	205	205	205	205
Gaming Commission, New York State	12,777	13,427	13,427	13,427	14,317
General Services, Office of	407	427	452	452	452
State, Department of	9,623	11,628	11,849	12,153	12,471
Taxation and Finance, Department of	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	48,919	51,409	53,402	53,402	57,863
Functional Total	<u>97,798</u>	<u>105,131</u>	<u>107,537</u>	<u>107,674</u>	<u>114,523</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,605	2,030	2,385	2,385	2,417
Judiciary	702,163	764,300	781,703	779,203	779,203
Law, Department of	14,731	17,729	20,254	20,531	21,564
Functional Total	<u>718,499</u>	<u>784,059</u>	<u>804,342</u>	<u>802,119</u>	<u>803,184</u>
ALL OTHER CATEGORIES					
General State Charges	4,782,943	5,050,379	5,571,734	6,038,478	6,602,947
Miscellaneous	5,412	5,584	5,631	5,631	5,649
Functional Total	<u>4,788,355</u>	<u>5,055,963</u>	<u>5,577,365</u>	<u>6,044,109</u>	<u>6,608,596</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>7,634,325</u></u>	<u><u>8,063,201</u></u>	<u><u>8,663,462</u></u>	<u><u>9,202,830</u></u>	<u><u>9,833,240</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,337	29,215	36,165	13,715	4,715
Economic Development Capital	0	29,264	22,587	21,600	20,862
Economic Development, Department of	17	3,274	13,442	0	7,525
Empire State Development Corporation	75,861	507,678	548,778	472,678	534,928
Energy Research and Development Authority	14,545	25,273	24,842	15,352	13,720
Olympic Regional Development Authority	7,500	14,000	13,300	0	0
Power Authority, New York	0	28,328	18,000	37,500	2,500
Regional Economic Development Program	0	512	338	337	337
Strategic Investment Program	0	6,000	7,002	6,650	11,046
Functional Total	102,260	643,544	684,454	567,832	595,633
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	311,761	671,608	746,093	724,847	701,154
Parks, Recreation and Historic Preservation, Office of	148,714	147,917	150,957	152,677	137,630
Functional Total	460,475	819,525	897,050	877,524	838,784
TRANSPORTATION					
Motor Vehicles, Department of	217,104	223,036	232,491	234,316	240,184
Transportation, Department of	2,214,421	2,695,946	2,972,624	2,907,255	2,837,309
Functional Total	2,431,525	2,918,982	3,205,115	3,141,571	3,077,493
HEALTH					
Health, Department of	35,177	87,929	88,232	88,261	36,291
<i>Public Health</i>	35,177	87,929	88,232	88,261	36,291
Functional Total	35,177	87,929	88,232	88,261	36,291
SOCIAL WELFARE					
Children and Family Services, Office of	24,392	34,936	38,695	38,738	38,801
<i>OCFS</i>	24,392	34,936	38,695	38,738	38,801
Nonprofit Infrastructure Capital Investment Program	0	33,000	45,000	27,000	15,000
Temporary and Disability Assistance, Office of	346	800	800	800	800
<i>All Other</i>	346	800	800	800	800
Functional Total	24,738	68,736	84,495	66,538	54,601
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	1,066	5,000	4,800	4,700	4,700
<i>OASAS</i>	1,066	5,000	4,800	4,700	4,700
Mental Health, Office of	139,970	178,962	160,250	160,945	160,945
<i>OMH</i>	139,970	178,962	160,250	160,945	160,945
People with Developmental Disabilities, Office for	69,053	73,607	73,929	74,378	74,378
<i>OPWDD</i>	69,053	73,607	73,929	74,378	74,378
Functional Total	210,089	257,569	238,979	240,023	240,023
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	303,275	363,322	353,637	331,342	327,432
Homeland Security and Emergency Services, Division of	8,445	45,261	14,153	5,000	5,000
Military and Naval Affairs, Division of	35,395	39,725	9,019	12,100	12,100
State Police, Division of	31,235	74,867	46,874	43,334	41,408
Functional Total	378,350	523,175	423,683	391,776	385,940
HIGHER EDUCATION					
City University of New York	36,527	35,400	35,900	36,620	37,352
Higher Education Facilities Capital Matching Grants Program	0	5,000	12,500	12,500	15,000
State University of New York	760,044	840,337	909,760	911,133	903,310
Functional Total	796,571	880,737	958,160	960,253	955,662
EDUCATION					
Education, Department of	5,145	17,280	38,287	39,000	26,707
<i>All Other</i>	5,145	17,280	38,287	39,000	26,707
Functional Total	5,145	17,280	38,287	39,000	26,707

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
GENERAL GOVERNMENT					
General Services, Office of	92,531	172,478	229,757	165,628	114,944
State, Department of	0	4,000	2,000	2,000	0
Technology, Office for	86,220	139,716	29,865	18,751	24,700
Workers' Compensation Board	3,752	15,000	15,000	15,000	0
Functional Total	<u>182,503</u>	<u>331,194</u>	<u>276,622</u>	<u>201,379</u>	<u>139,644</u>
ELECTED OFFICIALS					
Audit and Control, Department of	2,100	3,900	0	0	0
Judiciary	0	8,000	7,000	0	0
Law, Department of	6,201	10,000	2,000	1,287	0
Functional Total	<u>8,301</u>	<u>21,900</u>	<u>9,000</u>	<u>1,287</u>	<u>0</u>
ALL OTHER CATEGORIES					
Arts and Cultural Facilities Improvement	0	5,000	5,000	0	0
Miscellaneous	25,561	(646,241)	269,831	457,040	480,538
Special Infrastructure Account	418,228	877,071	1,270,923	950,604	549,520
Functional Total	<u>443,789</u>	<u>235,830</u>	<u>1,545,754</u>	<u>1,407,644</u>	<u>1,030,058</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>5,078,923</u></u>	<u><u>6,806,401</u></u>	<u><u>8,449,831</u></u>	<u><u>7,983,088</u></u>	<u><u>7,380,836</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	250	5,000	5,000	5,000	5,000
Financial Services, Department of	66,703	59,488	59,430	59,412	59,406
Public Service Department	0	155	155	155	155
Functional Total	66,953	64,643	64,585	64,567	64,561
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	199	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	3,665	5,450	5,450	5,450	5,450
Functional Total	3,864	5,450	5,450	5,450	5,450
TRANSPORTATION					
Transportation, Department of	4,871,085	4,910,911	4,977,497	5,073,644	5,138,188
Functional Total	4,871,085	4,910,911	4,977,497	5,073,644	5,138,188
HEALTH					
Health, Department of	6,581,253	6,436,120	6,422,510	6,438,757	6,480,407
<i>Medical Assistance</i>	5,795,977	5,635,256	5,611,065	5,497,871	5,382,083
<i>Public Health</i>	785,276	800,864	811,445	940,886	1,098,324
Functional Total	6,581,253	6,436,120	6,422,510	6,438,757	6,480,407
SOCIAL WELFARE					
Children and Family Services, Office of	3,164	3,582	3,582	3,582	3,582
<i>OCFS</i>	3,164	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	(215)	852	852	852	852
Labor, Department of	322	150	150	150	150
Functional Total	3,271	4,584	4,584	4,584	4,584
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	276,997	293,810	316,370	338,682	351,912
<i>OASAS</i>	276,997	293,810	316,370	338,682	351,912
Justice Center	479	479	479	479	479
Mental Health, Office of	909,429	935,845	1,095,393	1,169,451	1,209,829
<i>OMH</i>	909,429	935,845	1,095,393	1,169,451	1,209,829
People with Developmental Disabilities, Office for	385,560	366,484	405,031	459,627	496,893
<i>OPWDD</i>	384,726	366,484	405,031	459,627	496,893
<i>OPWDD - Other</i>	834	0	0	0	0
Functional Total	1,572,465	1,596,618	1,817,273	1,968,239	2,059,113
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	26,509	19,437	17,437	17,437	17,437
Homeland Security and Emergency Services, Division of	37,926	28,761	55,067	89,801	87,570
Indigent Legal Services, Office of	60,503	95,465	160,672	184,765	208,859
Victim Services, Office of	22,547	32,678	32,678	32,678	32,678
Functional Total	147,485	176,341	265,854	324,681	346,544
EDUCATION					
Arts, Council on the	0	98	98	98	98
Education, Department of	6,480,458	6,085,378	5,900,692	5,870,071	5,857,828
<i>School Aid</i>	3,334,094	3,442,348	3,368,100	3,404,500	3,407,500
<i>STAR Property Tax Relief</i>	3,139,051	2,629,997	2,519,559	2,452,538	2,437,295
<i>All Other</i>	7,313	13,033	13,033	13,033	13,033
Functional Total	6,480,458	6,085,476	5,900,790	5,870,169	5,857,926
GENERAL GOVERNMENT					
Gaming Commission, New York State	101,820	105,000	125,400	131,500	131,500
State, Department of	942	939	939	939	939
Taxation and Finance, Department of	172	1,800	1,800	1,800	1,800
Functional Total	102,934	107,739	128,139	134,239	134,239
ELECTED OFFICIALS					

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Judiciary	98,656	105,497	105,503	105,502	105,503
Functional Total	<u>98,656</u>	<u>105,497</u>	<u>105,503</u>	<u>105,502</u>	<u>105,503</u>
 ALL OTHER CATEGORIES					
Miscellaneous	838	(504,276)	(814,500)	(1,049,500)	(1,199,500)
Functional Total	<u>838</u>	<u>(504,276)</u>	<u>(814,500)</u>	<u>(1,049,500)</u>	<u>(1,199,500)</u>
 TOTAL LOCAL ASSISTANCE GRANTS SPENDING	 <u>19,929,262</u>	 <u>18,989,103</u>	 <u>18,877,685</u>	 <u>18,940,332</u>	 <u>18,997,015</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,149	2,905	2,960	2,965	3,069
Alcoholic Beverage Control, Division of	175	0	0	0	0
Economic Development, Department of	0	103	103	103	103
Financial Services, Department of	151,522	151,883	151,928	152,663	152,737
Public Service Department	41,485	41,886	41,886	41,886	43,497
Functional Total	196,331	196,777	196,877	197,617	199,406
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	92,127	93,168	93,449	86,366	83,706
Parks, Recreation and Historic Preservation, Office of	32,019	29,858	29,792	29,989	31,362
Functional Total	124,146	123,026	123,241	116,355	115,068
TRANSPORTATION					
Motor Vehicles, Department of	35,655	35,959	35,959	35,959	37,361
Transportation, Department of	6,497	3,413	3,256	3,256	3,381
Functional Total	42,152	39,372	39,215	39,215	40,742
HEALTH					
Health, Department of	136,344	132,108	131,611	131,616	133,080
<i>Public Health</i>	136,344	132,108	131,611	131,616	133,080
Functional Total	136,344	132,108	131,611	131,616	133,080
SOCIAL WELFARE					
Children and Family Services, Office of	2,767	3,322	3,354	3,354	3,483
<i>OCFS</i>	2,767	3,322	3,354	3,354	3,483
Housing and Community Renewal, Division of	37,730	36,204	36,204	36,204	38,733
Labor, Department of	34,030	32,530	32,530	32,530	34,770
Functional Total	74,527	72,056	72,088	72,088	76,986
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	54,557	54,908	55,522	56,087	57,588
<i>OASAS</i>	19,701	23,287	23,746	23,997	24,627
<i>OASAS - Other</i>	34,856	31,621	31,776	32,090	32,961
Justice Center	1,127	1,287	1,287	1,287	1,287
Mental Health, Office of	1,072,564	1,053,768	1,037,331	1,051,218	1,067,405
<i>OMH</i>	288,507	299,096	302,088	305,124	305,124
<i>OMH - Other</i>	784,057	754,672	735,243	746,094	762,281
People with Developmental Disabilities, Office for	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
<i>OPWDD - Other</i>	1,092,002	1,090,604	1,084,782	1,094,674	1,108,191
Functional Total	2,220,250	2,200,567	2,178,922	2,203,266	2,234,471
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	165	219	219	219	219
Criminal Justice Services, Division of	258	392	392	392	403
Homeland Security and Emergency Services, Division of	15,756	17,428	21,428	22,128	23,253
Indigent Legal Services, Office of	1,678	2,520	2,520	2,520	2,586
Military and Naval Affairs, Division of	403	134	134	134	139
State Police, Division of	41,672	11,807	11,807	11,807	12,147
Victim Services, Office of	3,145	3,176	3,176	3,176	3,293
Functional Total	63,077	35,676	39,676	40,376	42,040
HIGHER EDUCATION					
City University of New York	48,975	75,996	47,596	48,228	48,870
Higher Education - Miscellaneous	283	198	198	198	198
Higher Education Services Corporation, New York State	14,475	13,314	13,314	13,314	13,314
State University of New York	3,766,631	3,693,572	3,857,106	3,964,807	4,122,542
Functional Total	3,830,364	3,783,080	3,918,214	4,026,547	4,184,924

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
EDUCATION					
Education, Department of	55,689	58,278	58,278	58,150	60,387
<i>All Other</i>	55,689	58,278	58,278	58,150	60,387
Functional Total	<u>55,689</u>	<u>58,278</u>	<u>58,278</u>	<u>58,150</u>	<u>60,387</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,273	2,351	2,351	2,351	2,441
Civil Service, Department of	151	334	359	362	376
Deferred Compensation Board	339	378	378	381	381
Gaming Commission, New York State	29,098	34,475	34,475	34,475	35,801
General Services, Office of	4,065	858	858	858	897
State, Department of	17,254	18,913	18,913	18,913	18,913
Taxation and Finance, Department of	49,625	43,353	43,654	43,353	45,022
Workers' Compensation Board	82,632	80,878	81,493	82,104	86,209
Functional Total	<u>184,437</u>	<u>181,540</u>	<u>182,481</u>	<u>182,797</u>	<u>190,040</u>
ELECTED OFFICIALS					
Audit and Control, Department of	12,206	11,282	10,937	11,015	11,564
Judiciary	58,822	58,800	58,800	58,800	58,800
Law, Department of	27,520	29,176	29,692	30,380	31,936
Functional Total	<u>98,548</u>	<u>99,258</u>	<u>99,429</u>	<u>100,195</u>	<u>102,300</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,890	37,997	(98,198)	(138,193)	(250,912)
Functional Total	<u>1,890</u>	<u>37,997</u>	<u>(98,198)</u>	<u>(138,193)</u>	<u>(250,912)</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>7,027,755</u></u>	<u><u>6,959,735</u></u>	<u><u>6,941,834</u></u>	<u><u>7,030,029</u></u>	<u><u>7,128,532</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,519	2,487	18,225	18,225	18,227
Alcoholic Beverage Control, Division of	109	0	0	0	0
Economic Development, Department of	1,929	1,847	1,847	1,847	1,847
Financial Services, Department of	57,686	54,860	54,862	58,065	58,088
Olympic Regional Development Authority	0	150	150	150	150
Public Service Department	8,521	7,672	7,672	7,672	7,672
Functional Total	76,764	67,016	82,756	85,959	85,984
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	42,585	27,559	21,926	21,712	16,847
Parks, Recreation and Historic Preservation, Office of	37,667	37,359	36,359	36,359	36,361
Functional Total	80,252	64,918	58,285	58,071	53,208
TRANSPORTATION					
Motor Vehicles, Department of	13,905	13,393	13,393	13,393	13,433
Transportation, Department of	13,562	6,860	6,828	6,828	6,832
Functional Total	27,467	20,253	20,221	20,221	20,265
HEALTH					
Aging, Office for the	0	1	1	1	1
Health, Department of	114,737	114,343	113,654	114,412	114,586
<i>Public Health</i>	114,737	114,343	113,654	114,412	114,586
Functional Total	114,737	114,344	113,655	114,413	114,587
SOCIAL WELFARE					
Children and Family Services, Office of	29,854	14,946	15,527	15,527	15,838
<i>OCFS</i>	29,854	14,946	15,527	15,527	15,838
Housing and Community Renewal, Division of	4,778	8,492	8,492	8,492	8,860
Labor, Department of	13,328	13,701	13,701	13,701	14,137
Temporary and Disability Assistance, Office of	946	200	200	200	200
<i>All Other</i>	946	200	200	200	200
Functional Total	48,906	37,339	37,920	37,920	39,035
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	27,848	18,746	19,281	19,807	20,267
<i>OASAS</i>	14,612	7,868	8,083	8,321	8,501
<i>OASAS - Other</i>	13,236	10,878	11,198	11,486	11,766
Justice Center	41	37	38	39	39
Mental Health, Office of	270,921	276,657	280,872	286,694	292,660
<i>OMH</i>	59,545	48,157	49,522	50,891	50,891
<i>OMH - Other</i>	211,376	228,500	231,350	235,803	241,769
Mental Hygiene, Department of	227	0	0	0	0
People with Developmental Disabilities, Office for	205,395	209,129	209,443	214,154	219,094
<i>OPWDD</i>	63	181	181	181	181
<i>OPWDD - Other</i>	205,332	208,948	209,262	213,973	218,913
Functional Total	504,432	504,569	509,634	520,694	532,060
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	1,379	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	1,067	4,680	4,680	4,680	4,680
Homeland Security and Emergency Services, Division of	9,135	12,128	12,628	12,628	12,628
Indigent Legal Services, Office of	493	780	780	780	780
Military and Naval Affairs, Division of	2,448	1,207	1,207	1,207	1,207
State Police, Division of	26,164	31,685	26,685	26,685	26,685
Victim Services, Office of	533	775	775	775	775
Functional Total	41,219	53,882	49,382	49,382	49,382
HIGHER EDUCATION					
City University of New York	44,735	41,036	42,863	43,720	44,595
Higher Education - Miscellaneous	103	93	93	93	93
Higher Education Services Corporation, New York State	33,817	35,738	35,738	35,738	35,738

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
State University of New York	2,235,432	2,267,996	2,372,791	2,429,913	2,448,919
Functional Total	<u>2,314,087</u>	<u>2,344,863</u>	<u>2,451,485</u>	<u>2,509,464</u>	<u>2,529,345</u>
EDUCATION					
Education, Department of	25,114	25,797	25,797	25,741	25,799
<i>All Other</i>	<u>25,114</u>	<u>25,797</u>	<u>25,797</u>	<u>25,741</u>	<u>25,799</u>
Functional Total	<u>25,114</u>	<u>25,797</u>	<u>25,797</u>	<u>25,741</u>	<u>25,799</u>
GENERAL GOVERNMENT					
Budget, Division of the	1,168	2,693	2,693	2,693	2,696
Civil Service, Department of	530	420	428	436	436
Deferred Compensation Board	40	206	206	210	210
Elections, State Board of	104	0	0	0	0
Gaming Commission, New York State	103,660	73,630	58,630	58,630	58,666
General Services, Office of	2,892	3,123	3,228	3,228	3,292
Labor Management Committees	0	300	300	306	306
Prevention of Domestic Violence, Office for	0	5	5	5	5
Public Employment Relations Board	12	44	44	45	45
State, Department of	17,161	13,554	13,554	13,554	13,554
Taxation and Finance, Department of	19,521	30,164	30,496	30,164	30,209
Workers' Compensation Board	56,090	60,729	61,897	63,089	64,304
Functional Total	<u>201,178</u>	<u>184,868</u>	<u>171,481</u>	<u>172,360</u>	<u>173,723</u>
ELECTED OFFICIALS					
Audit and Control, Department of	3,320	5,481	5,344	5,449	5,556
Judiciary	48,240	50,900	50,900	50,900	50,900
Law, Department of	38,500	40,969	41,857	42,692	43,525
Legislature	<u>1,581</u>	<u>1,600</u>	<u>950</u>	<u>950</u>	<u>950</u>
Functional Total	<u>91,641</u>	<u>98,950</u>	<u>99,051</u>	<u>99,991</u>	<u>100,931</u>
ALL OTHER CATEGORIES					
Miscellaneous	<u>1,322</u>	<u>1,560</u>	<u>(187,929)</u>	<u>(282,918)</u>	<u>(298,416)</u>
Functional Total	<u>1,322</u>	<u>1,560</u>	<u>(187,929)</u>	<u>(282,918)</u>	<u>(298,416)</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>3,527,119</u></u>	<u><u>3,518,359</u></u>	<u><u>3,431,738</u></u>	<u><u>3,411,298</u></u>	<u><u>3,425,903</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,719	1,806	1,878	1,926	2,050
Alcoholic Beverage Control, Division of	121	0	0	0	0
Economic Development, Department of	0	28	28	28	28
Financial Services, Department of	86,652	93,413	95,361	98,039	101,123
Public Service Department	25,523	26,822	27,357	28,087	28,807
Functional Total	114,015	122,069	124,624	128,080	132,008
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	47,714	50,144	51,375	48,257	47,572
Parks, Recreation and Historic Preservation, Office of	2,972	3,123	3,123	3,123	3,261
Functional Total	50,686	53,267	54,498	51,380	50,833
TRANSPORTATION					
Motor Vehicles, Department of	20,473	21,515	21,593	21,593	22,416
Transportation, Department of	3,750	2,695	2,002	2,002	2,079
Functional Total	24,223	24,210	23,595	23,595	24,495
HEALTH					
Health, Department of	30,543	32,795	32,247	33,153	35,087
<i>Public Health</i>	30,543	32,795	32,247	33,153	35,087
Functional Total	30,543	32,795	32,247	33,153	35,087
SOCIAL WELFARE					
Children and Family Services, Office of	1,656	1,740	1,764	1,794	1,824
<i>OCFS</i>	1,656	1,740	1,764	1,794	1,824
Housing and Community Renewal, Division of	5,449	14,477	10,404	10,404	10,404
Labor, Department of	19,497	20,487	20,487	20,487	20,487
Temporary and Disability Assistance, Office of	122	128	128	128	128
<i>All Other</i>	122	128	128	128	128
Functional Total	26,724	36,832	32,783	32,813	32,843
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	33,726	36,291	37,784	39,163	41,060
<i>OASAS</i>	13,803	17,161	17,418	18,088	18,934
<i>OASAS - Other</i>	19,923	19,130	20,366	21,075	22,126
Justice Center	850	893	971	989	989
Mental Health, Office of	621,496	616,536	642,920	664,637	664,637
<i>OMH</i>	192,230	176,335	185,477	190,838	190,838
<i>OMH - Other</i>	429,266	440,201	457,443	473,799	473,799
People with Developmental Disabilities, Office for	637,200	674,773	677,487	701,146	728,533
<i>OPWDD - Other</i>	637,200	674,773	677,487	701,146	728,533
Functional Total	1,293,272	1,328,493	1,359,162	1,405,935	1,435,219
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	101	106	106	106	106
Criminal Justice Services, Division of	33	35	35	35	35
Homeland Security and Emergency Services, Division of	666	699	722	722	722
Indigent Legal Services, Office of	967	1,016	1,556	1,556	1,556
Military and Naval Affairs, Division of	9	9	9	9	9
State Police, Division of	18,111	2,821	2,900	3,010	3,010
Victim Services, Office of	1,570	1,650	1,650	1,650	1,650
Functional Total	21,457	6,336	6,978	7,088	7,088
HIGHER EDUCATION					
City University of New York	7,661	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	143	150	150	150	150
Higher Education Services Corporation, New York State	8,524	8,958	8,958	8,958	8,958
State University of New York	420,283	461,887	487,426	513,047	533,047
Functional Total	436,611	478,887	504,426	530,047	550,047

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
EDUCATION					
Education, Department of	32,142	35,159	35,905	36,837	39,317
<i>All Other</i>	32,142	35,159	35,905	36,837	39,317
Functional Total	<u>32,142</u>	<u>35,159</u>	<u>35,905</u>	<u>36,837</u>	<u>39,317</u>
GENERAL GOVERNMENT					
Budget, Division of the	776	1,657	1,657	1,657	1,717
Civil Service, Department of	84	88	88	88	88
Deferred Compensation Board	195	205	205	205	205
Gaming Commission, New York State	12,777	13,427	13,427	13,427	14,317
General Services, Office of	407	427	452	452	452
State, Department of	9,623	11,628	11,849	12,153	12,471
Taxation and Finance, Department of	25,017	26,290	26,457	26,290	27,410
Workers' Compensation Board	48,919	51,409	53,402	53,402	57,863
Functional Total	<u>97,798</u>	<u>105,131</u>	<u>107,537</u>	<u>107,674</u>	<u>114,523</u>
ELECTED OFFICIALS					
Audit and Control, Department of	1,605	2,030	2,385	2,385	2,417
Judiciary	27,113	29,600	29,600	29,600	29,600
Law, Department of	14,731	17,729	20,254	20,531	21,564
Functional Total	<u>43,449</u>	<u>49,359</u>	<u>52,239</u>	<u>52,516</u>	<u>53,581</u>
ALL OTHER CATEGORIES					
Miscellaneous	1,108	1,164	1,211	1,211	1,229
Functional Total	<u>1,108</u>	<u>1,164</u>	<u>1,211</u>	<u>1,211</u>	<u>1,229</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>2,172,028</u></u>	<u><u>2,273,702</u></u>	<u><u>2,335,205</u></u>	<u><u>2,410,329</u></u>	<u><u>2,476,270</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	3,850	8,055	8,055	8,055	8,055
Financial Services, Department of	0	1,400	0	0	0
Functional Total	3,850	9,455	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	5,283	1,270	1,270	1,270	1,270
Functional Total	5,283	1,270	1,270	1,270	1,270
TRANSPORTATION					
Motor Vehicles, Department of	15,199	18,000	18,000	18,000	18,000
Transportation, Department of	48,511	33,927	33,927	33,927	33,927
Functional Total	63,710	51,927	51,927	51,927	51,927
HEALTH					
Aging, Office for the	87,887	104,301	89,203	89,203	89,203
Health, Department of	39,207,440	41,189,720	41,954,108	42,693,063	43,001,670
<i>Medical Assistance</i>	33,672,324	35,059,665	35,433,996	35,955,945	35,968,177
<i>Essential Plan</i>	3,257,056	3,746,597	4,105,079	4,478,341	4,941,573
<i>Medicaid Administration</i>	375,662	352,436	352,436	352,436	352,436
<i>Public Health</i>	1,902,398	2,031,022	2,062,597	1,906,341	1,739,484
Functional Total	39,295,327	41,294,021	42,043,311	42,782,266	43,090,873
SOCIAL WELFARE					
Children and Family Services, Office of	861,900	838,300	838,300	838,300	838,300
<i>OCFS</i>	861,900	838,300	838,300	838,300	838,300
Housing and Community Renewal, Division of	52,324	48,434	48,434	48,434	48,434
Labor, Department of	134,715	151,892	151,892	151,892	151,892
Temporary and Disability Assistance, Office of	3,119,118	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,300,055	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	819,063	817,000	817,000	817,000	817,000
Functional Total	4,168,057	4,482,202	4,482,202	4,482,202	4,482,202
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	121,023	114,490	114,490	114,490	114,490
<i>OASAS</i>	121,023	114,490	114,490	114,490	114,490
Mental Health, Office of	41,492	39,979	39,979	39,979	32,025
<i>OMH</i>	41,492	39,979	39,979	39,979	32,025
People with Developmental Disabilities, Office for	0	8,500	8,500	8,500	8,500
<i>OPWDD</i>	0	8,500	8,500	8,500	8,500
Functional Total	162,515	162,969	162,969	162,969	155,015
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	15,563	15,800	15,800	15,800	15,800
Homeland Security and Emergency Services, Division of	1,254,509	949,124	863,771	719,771	663,771
State Police, Division of	91	0	0	0	0
Victim Services, Office of	42,066	43,500	47,000	47,000	47,000
Functional Total	1,312,229	1,008,424	926,571	782,571	726,571
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	45	0	0	0	0
Functional Total	45	0	0	0	0
EDUCATION					
Arts, Council on the	1,052	600	600	600	600
Education, Department of	3,544,339	3,475,726	3,541,557	3,594,065	3,647,645
<i>School Aid</i>	2,864,715	2,723,400	2,769,850	2,817,358	2,865,938
<i>Special Education Categorical Programs</i>	590,480	680,000	690,000	695,000	700,000
<i>All Other</i>	89,144	72,326	81,707	81,707	81,707
Functional Total	3,545,391	3,476,326	3,542,157	3,594,665	3,648,245
GENERAL GOVERNMENT					

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Elections, State Board of	554	300	0	0	0
General Services, Office of	0	250	250	250	250
State, Department of	58,319	55,457	55,457	55,457	55,457
Functional Total	<u>58,873</u>	<u>56,007</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>
ALL OTHER CATEGORIES					
Miscellaneous	(251,336)	(474,710)	(496,665)	(467,938)	(467,938)
Functional Total	<u>(251,336)</u>	<u>(474,710)</u>	<u>(496,665)</u>	<u>(467,938)</u>	<u>(467,938)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>48,363,944</u></u>	<u><u>50,067,891</u></u>	<u><u>50,777,504</u></u>	<u><u>51,453,694</u></u>	<u><u>51,751,927</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	3,665	3,413	3,413	3,413	3,413
Public Service Department	1,433	1,202	1,202	1,202	1,202
Functional Total	5,098	4,615	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	26,180	27,759	27,759	27,759	28,827
Parks, Recreation and Historic Preservation, Office of	2,710	1,123	1,123	1,123	1,167
Functional Total	28,890	28,882	28,882	28,882	29,994
TRANSPORTATION					
Motor Vehicles, Department of	1,357	3,735	3,735	3,735	3,879
Transportation, Department of	5,754	10,347	10,347	10,347	10,718
Functional Total	7,111	14,082	14,082	14,082	14,597
HEALTH					
Aging, Office for the	6,071	6,160	6,160	6,160	6,397
Health, Department of	98,664	97,978	103,949	107,521	112,880
<i>Medicaid Administration</i>	34,283	41,530	47,556	51,140	54,328
<i>Public Health</i>	64,381	56,448	56,393	56,381	58,552
Medicaid Inspector General, Office of the	16,322	15,552	15,130	15,130	15,744
Functional Total	121,057	119,690	125,239	128,811	135,021
SOCIAL WELFARE					
Children and Family Services, Office of	25,808	28,957	29,247	29,247	30,451
<i>OCFS</i>	25,808	28,957	29,247	29,247	30,451
Housing and Community Renewal, Division of	6,902	7,520	7,595	7,595	8,125
Human Rights, Division of	2,672	3,106	3,135	3,135	3,354
Labor, Department of	170,686	159,866	159,866	159,866	171,796
National and Community Service	262	373	377	377	390
Temporary and Disability Assistance, Office of	80,633	76,939	77,755	77,755	77,755
<i>All Other</i>	80,633	76,939	77,755	77,755	77,755
Functional Total	286,963	276,761	277,975	277,975	291,871
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	5,107	5,109	5,153	5,196	5,196
<i>OASAS</i>	5,107	5,109	5,153	5,196	5,196
Developmental Disabilities Planning Council	976	1,266	1,266	1,266	1,266
Justice Center	94	103	103	103	103
Mental Health, Office of	813	813	813	813	813
<i>OMH</i>	813	813	813	813	813
Functional Total	6,990	7,291	7,335	7,378	7,378
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	21,397	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	4,285	4,602	4,602	4,602	4,681
Homeland Security and Emergency Services, Division of	9,988	6,490	6,490	6,490	6,490
Military and Naval Affairs, Division of	11,856	12,000	12,240	12,485	12,734
State Police, Division of	5,574	7,000	7,000	7,000	7,290
Victim Services, Office of	1,493	1,658	1,658	1,658	1,709
Functional Total	54,593	47,503	47,743	47,988	48,657
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	55	836	836	836	836
State University of New York	8,488	7,229	7,229	7,229	7,229
Functional Total	8,543	8,065	8,065	8,065	8,065
EDUCATION					
Education, Department of	88,193	84,486	84,486	84,486	87,737
<i>All Other</i>	88,193	84,486	84,486	84,486	87,737
Functional Total	88,193	84,486	84,486	84,486	87,737

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
GENERAL GOVERNMENT					
Elections, State Board of	98	0	0	0	0
Prevention of Domestic Violence, Office for	30	0	0	0	0
State, Department of	2,546	3,731	3,731	3,731	3,731
Veterans' Affairs, Division of	426	796	804	804	826
Functional Total	<u>3,100</u>	<u>4,527</u>	<u>4,535</u>	<u>4,535</u>	<u>4,557</u>
ELECTED OFFICIALS					
Judiciary	1,997	1,600	1,600	1,600	1,600
Law, Department of	18,619	19,695	19,981	20,329	21,345
Functional Total	<u>20,616</u>	<u>21,295</u>	<u>21,581</u>	<u>21,929</u>	<u>22,945</u>
TOTAL PERSONAL SERVICE SPENDING	<u>631,154</u>	<u>617,197</u>	<u>624,538</u>	<u>628,746</u>	<u>655,437</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	8,626	9,788	9,691	9,660	9,652
Economic Development, Department of	592	245	245	245	245
Financial Services, Department of	335	0	0	0	0
Public Service Department	336	40	40	40	40
Functional Total	9,889	10,073	9,976	9,945	9,937
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	12	350	350	350	350
Environmental Conservation, Department of	18,551	17,126	17,126	17,126	17,140
Parks, Recreation and Historic Preservation, Office of	2,515	1,145	1,145	1,145	1,147
Functional Total	21,078	18,621	18,621	18,621	18,637
TRANSPORTATION					
Motor Vehicles, Department of	3,937	3,813	3,813	3,813	3,824
Transportation, Department of	4,466	13,311	13,311	13,311	13,325
Functional Total	8,403	17,124	17,124	17,124	17,149
HEALTH					
Aging, Office for the	5,239	4,248	1,092	1,092	1,092
Health, Department of	638,951	622,541	655,803	709,809	683,146
<i>Medicaid Administration</i>	378,387	419,705	470,144	524,142	497,479
<i>Public Health</i>	260,564	202,836	185,659	185,667	185,667
Medicaid Inspector General, Office of the	5,040	4,934	4,934	4,934	4,976
Functional Total	649,230	631,723	661,829	715,835	689,214
SOCIAL WELFARE					
Children and Family Services, Office of	52,619	65,683	66,991	66,991	68,028
<i>OCFS</i>	52,619	65,683	66,991	66,991	68,028
Housing and Community Renewal, Division of	2,581	2,660	2,709	2,709	3,155
Human Rights, Division of	1,836	1,262	1,287	1,287	1,313
Labor, Department of	54,857	75,632	75,632	75,632	78,546
National and Community Service	16,549	14,969	15,268	15,268	15,573
Temporary and Disability Assistance, Office of	68,620	75,943	77,698	77,698	77,698
<i>All Other</i>	68,620	75,943	77,698	77,698	77,698
Functional Total	197,062	236,149	239,585	239,585	244,313
MENTAL HYGIENE					
Alcoholism and Substance Abuse Services, Office of	2,578	2,098	2,130	2,166	2,166
<i>OASAS</i>	2,578	2,098	2,130	2,166	2,166
Developmental Disabilities Planning Council	2,685	2,190	2,149	2,149	2,149
Justice Center	1,812	536	536	536	536
Mental Health, Office of	386	555	555	555	555
<i>OMH</i>	386	555	555	555	555
People with Developmental Disabilities, Office for	1,110	1,000	1,000	1,000	1,000
<i>OPWDD</i>	1,110	1,000	1,000	1,000	1,000
Functional Total	8,571	6,379	6,370	6,406	6,406
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	539	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	3,966	4,249	4,249	4,249	4,249
Homeland Security and Emergency Services, Division of	26,673	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	14,870	14,900	15,198	15,502	15,812
State Police, Division of	11,619	20,000	20,000	20,000	20,000
Victim Services, Office of	611	512	512	512	512
Functional Total	58,278	47,664	47,962	48,266	48,576

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
HIGHER EDUCATION					
City University of New York	2,181	7,634	7,634	7,634	7,634
Higher Education Services Corporation, New York State	5,803	5,797	5,797	5,797	5,797
State University of New York	301,813	304,760	304,760	304,760	304,760
Functional Total	<u>309,797</u>	<u>318,191</u>	<u>318,191</u>	<u>318,191</u>	<u>318,191</u>
EDUCATION					
Arts, Council on the	0	100	100	100	100
Education, Department of	82,883	64,901	64,901	64,901	65,381
<i>All Other</i>	82,883	64,901	64,901	64,901	65,381
Functional Total	<u>82,883</u>	<u>65,001</u>	<u>65,001</u>	<u>65,001</u>	<u>65,481</u>
GENERAL GOVERNMENT					
Elections, State Board of	2,400	9,200	0	0	0
General Services, Office of	8,439	4,987	4,987	4,987	4,987
Prevention of Domestic Violence, Office for	4	0	0	0	0
State, Department of	836	4,039	4,039	4,039	4,039
Taxation and Finance, Department of	1,216	1,220	1,220	1,220	1,220
Technology, Office for	587	0	0	0	0
Veterans' Affairs, Division of	132	552	564	564	575
Workers' Compensation Board	1,882	0	0	0	0
Functional Total	<u>15,496</u>	<u>19,998</u>	<u>10,810</u>	<u>10,810</u>	<u>10,821</u>
ELECTED OFFICIALS					
Judiciary	4,098	5,500	5,500	5,500	5,500
Law, Department of	6,632	8,159	8,142	8,293	8,447
Functional Total	<u>10,730</u>	<u>13,659</u>	<u>13,642</u>	<u>13,793</u>	<u>13,947</u>
ALL OTHER CATEGORIES					
Miscellaneous	32	0	0	0	0
Functional Total	<u>32</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>1,371,449</u>	<u>1,384,582</u>	<u>1,409,111</u>	<u>1,463,577</u>	<u>1,442,672</u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,047	2,151	2,177	2,238	2,293
Public Service Department	1,640	1,723	1,723	1,723	1,723
Functional Total	3,687	3,874	3,900	3,961	4,016
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	14,392	15,125	15,145	15,145	15,571
Parks, Recreation and Historic Preservation, Office of	0	0	0	0	24
Functional Total	14,392	15,125	15,145	15,145	15,595
TRANSPORTATION					
Motor Vehicles, Department of	685	720	720	720	806
Transportation, Department of	2,549	6,646	6,646	6,646	6,886
Functional Total	3,234	7,366	7,366	7,366	7,692
HEALTH					
Health, Department of	38,041	40,312	39,263	40,536	43,280
<i>Medicaid Administration</i>	1,522	1,937	0	0	0
<i>Public Health</i>	36,519	38,375	39,263	40,536	43,280
Medicaid Inspector General, Office of the	9,143	9,608	9,868	10,231	11,007
Functional Total	47,184	49,920	49,131	50,767	54,287
SOCIAL WELFARE					
Children and Family Services, Office of	9,812	10,311	10,713	11,231	12,240
<i>OCFS</i>	9,812	10,311	10,713	11,231	12,240
Housing and Community Renewal, Division of	3,811	4,005	4,005	4,005	4,005
Labor, Department of	91,534	96,193	95,383	96,193	96,193
National and Community Service	0	224	229	236	242
Temporary and Disability Assistance, Office of	42,221	44,370	44,370	44,370	44,370
<i>All Other</i>	42,221	44,370	44,370	44,370	44,370
Functional Total	147,378	155,103	154,700	156,035	157,050
MENTAL HYGIENE					
Developmental Disabilities Planning Council	231	744	785	785	785
Justice Center	0	63	64	64	64
Mental Health, Office of	435	446	456	469	469
<i>OMH</i>	435	446	456	469	469
Functional Total	666	1,253	1,305	1,318	1,318
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	991	1,041	1,041	1,041	1,041
Criminal Justice Services, Division of	331	348	348	348	348
Homeland Security and Emergency Services, Division of	6,722	7,064	7,064	7,064	7,064
Military and Naval Affairs, Division of	6,069	6,378	6,498	6,620	6,745
State Police, Division of	1,945	1,500	1,500	1,500	1,500
Functional Total	16,058	16,331	16,451	16,573	16,698
HIGHER EDUCATION					
Higher Education Services Corporation, New York State	1	1	1	1	1
State University of New York	25	51	51	51	51
Functional Total	26	52	52	52	52
EDUCATION					
Education, Department of	47,843	50,278	50,935	52,426	56,000
<i>All Other</i>	47,843	50,278	50,935	52,426	56,000
Functional Total	47,843	50,278	50,935	52,426	56,000
GENERAL GOVERNMENT					
Elections, State Board of	49	0	0	0	0
State, Department of	1,172	2,792	2,792	2,792	2,792
Veterans' Affairs, Division of	218	229	240	255	269
Functional Total	1,439	3,021	3,032	3,047	3,061
ELECTED OFFICIALS					

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
 (thousands of dollars)

	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
Judiciary	433	400	400	400	400
Law, Department of	10,298	10,822	12,180	12,680	13,669
Functional Total	<u>10,731</u>	<u>11,222</u>	<u>12,580</u>	<u>13,080</u>	<u>14,069</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>292,638</u>	<u>313,545</u>	<u>314,597</u>	<u>319,770</u>	<u>329,838</u>

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
RBTF - Dedicated PIT in excess of Debt Service		10,274,975	10,922,527	10,838,458	10,789,254	11,053,588
STBF - Sales Tax Bond Fund		2,671,910	2,766,260	2,797,251	2,981,037	2,830,112
LGAC - Dedicated Sales Tax in excess of Debt Service		2,870,297	3,120,004	3,153,763	3,291,779	3,566,533
CWCA - Real Estate Transfer Tax in excess of Debt Service		940,201	1,023,128	1,080,136	1,133,209	1,189,219
Total All Other Transfers		817,702	1,216,314	739,310	723,216	722,965
339.21982	Administration Program	399	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22033	Alcohol Beverage Control	2,236	0	0	0	0
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	955	1	1	1	1
339.21977	Business and Licensing Services Account	40,171	66,508	49,807	49,581	49,330
323.55022	Business Services Center	54	0	0	0	0
339.21920	Certificate of Need Account	3,577	1,086	1,086	1,086	1,086
061.20810	Child Health Insurance Account	32	0	0	0	0
025.20401	Child Performer Protection Account	10	0	0	0	0
334.55055	Civil Service Administration Account	2,123	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	0	639	639	639	639
334.55056	Civil Service EHS Occupation Health Program Account	0	8	8	8	8
339.21962	Clinical Laboratory Reference Fee Account	510	289	289	289	289
501.23702	Commercial Gaming Regulation	0	2	2	2	2
501.23701	Commercial Gaming Revenue Account	120,800	0	0	0	0
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	357	357	357	357	357
339.21945	Criminal Justice Improvement Account	8,864	8,596	8,596	8,596	8,596
339.22042	DED Marketing Account	131	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund	0	57,567	57,567	57,567	57,567
339.21923	Department of Labor Fee and Penalty Account	9,040	8,372	8,372	8,372	8,372
323.55010	Design and Construction Account	1,434	1,866	1,866	1,866	1,866
486.26000	DOL Federal Grants	2,185	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	361	131	131	131	131
301.21080	EnCon Magazine Acct	150	150	150	150	150
339.21959	Environmental Laboratory Fee Account	0	131	131	131	131
301.21081	Environmental Regulatory Account	2,242	2,242	2,242	2,242	2,242
061.20818	EPIC Premium Account	69	0	0	0	0
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	3,301	1,961	1,961	1,961	1,961
291.313DD	Fed Grants - Capital	197	0	0	0	0
267.25200	Federal Education Fund	1,538	1,569	1,569	1,569	1,569
301.21065	Federal Grant Indirect Cost Recovery Account	1,041	1,634	1,634	1,634	1,634
265.25100	Federal Health and Human Services Fund	107,710	117,423	117,423	103,423	103,423
290.25300	Federal Operating Grants Fund	97	576	576	576	576
261.25000	Federal USDA/Food and Nutrition Services Fund	38,496	33,801	33,801	33,801	33,801
339.21950	Fingerprint Identification & Technology Account	6,218	18,504	12,563	12,563	12,563
339.21904	Fire Prevention and Code Enforcement Account	0	43,692	14,810	14,810	14,810
339.22075	Funeral Directing Program Account	27	8	8	8	8
312.31500	Hazardous Waste Remedial Fund	25,277	28,849	28,849	28,849	28,849
061.20821	Health Care Delivery Administration Account	13	0	0	0	0
396.55300	Health Insurance Internal Services Account	2,100	3,428	3,428	3,428	3,428
061.20819	Health Occup Dev Wkpl Dem	43	0	0	0	0
502.23755	Health Operation and Oversight Account	160	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	HESC - Insurance Premium Payments	14,439	17,402	16,221	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	201	201	201	201	201
301.21060	Indirect Charges Account	2,163	2,608	2,085	2,085	2,085
339.21994	Insurance Department Account	1,178	0	0	0	0
334.55071	Labor Contact Center Account	150	0	0	0	0
339.22096	Legal Services Assistance Fund	2,830	9,545	2,830	2,830	2,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	12	5	5	5	5
160.20902	Lottery Administration - New	4,466	4,204	4,204	4,204	4,204
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
225.23652	Metropolitan Transportation Authority Aid Trust Account	250	225	225	225	225
314.21452	Mobile Source Account	7,386	6,404	6,404	6,404	6,404
225.23651	Mobility Tax Trust Account	1,249	5,400	5,400	5,400	5,400
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	300	4,300	300	300	300
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
339.22177	Occupational Health Clinic Account	13	0	0	0	0
305.21252	Occupational Safety and Health Inspection Account	1,299	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	2,161	0	0	0	0
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
339.219YL	OGS Building Administration Account	1,086	0	0	0	0
323.550ZY	OGS Building Administration Account	19	0	0	0	0
323.550ZX	OGS Executive Direction Account	287	105	105	105	105
339.219YN	OGS Standards and Purchase Account	3,000	3,000	3,000	3,000	3,000
323.550ZZ	OGS Standards and Purchase Account	34	0	0	0	0
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2017 Results	FY 2018 Enacted	FY 2019 Projected	FY 2020 Projected	FY 2021 Projected
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	0	158	158	158	158
339.22088	Professional Medical Conduct Account	1,008	291	291	291	291
061.20815	Provider Collection Monitoring Account	79	0	0	0	0
339.22123	Public Safety Communication Account	3,257	55,161	5,161	5,161	5,161
339.22011	Public Service Account	4,652	5,671	5,671	5,671	5,671
339.21998	Public Work Enforcement	3,769	0	0	0	0
339.21915	Quality of Care Account	0	65,099	0	0	0
339.21965	Radiological Health Protection	393	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
301.21067	Recreation Account	200	200	200	200	200
339.22046	Regulation of Indian Gaming Account	0	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	0	458	458	458	458
339.22156	Rent Revenue Other - New York City	7,449	7,115	115	115	115
339.21900	Reserve for Transaction Risks	0	0	(144,465)	(145,939)	(145,939)
339.22024	Revenue Arrearage Account	0	60,442	18,677	18,677	18,677
020.20120	Special Events Account	27	0	0	0	0
339.22028	State Central Register Account	1,822	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	111,600	112,420	112,420	112,420	112,420
345.22653	State University General IFR Account	4,000	32,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	36,423	43,130	38,564	38,564	38,564
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	1,308	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,328	5,320	5,320	5,320	5,320
061.20801	Tobacco Control and Cancer Services Account	182	0	0	0	0
339.22055	Traffic Adjudication Account	3,132	5,288	5,288	5,288	5,288
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	136,016	121,200	121,200	121,200	121,200
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	39,051	50,569	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	4,873	3,211	3,211	3,211	3,211
339.22103	Vital Records Management Account	3,001	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	866	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
050.20452	Vocational School Supervision Account	297	297	0	0	0
339.21995	Workers' Compensation Account	15,806	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	0	154,869	55,000	55,000	55,000
		17,575,085	19,048,233	18,608,918	18,918,495	19,362,417

General Fund Transfers To Other Funds
(thousands of dollars)

<u>SFS Fund</u>	<u>Account Name</u>	<u>FY 2017 Results</u>	<u>FY 2018 Enacted</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>	<u>FY 2021 Projected</u>
	Transfers to State Share of Mental Hygiene Medicaid	1,238,987	1,301,239	1,231,172	1,118,733	1,118,637
	Transfers to Debt Service Funds	923,569	920,909	1,154,866	1,052,792	1,073,754
	Transfers to Capital Projects Funds	2,569,547	2,627,197	4,068,289	3,899,309	3,479,079
	Transfers to SUNY University Operations	996,256	1,014,990	1,004,582	1,000,778	1,000,778
	Total All Other Transfers	4,364,298	4,300,176	4,703,802	5,227,415	5,483,339
020.20143	Alzheimers Disease Assistance	257	270	270	270	270
334.55057	Banking Services Account	41,618	53,435	53,435	53,435	53,435
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	400	500	500	500	500
334.55069	Centralized Technology Services Account	2,360	13,060	11,860	11,460	11,460
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	107,227	109,300	109,300	109,300
397.55350	Correctional Industries Account	10,500	12,000	12,000	12,000	12,000
340.22501	Court Facility Income Account	107,237	106,000	110,000	109,000	110,000
339.22015	Crimes Against Revenue Program Account	6,300	2,000	0	0	0
073.20853	Dedicated Mass Transportation Non MTA	5,013	5,274	5,274	5,274	5,274
319.40300	Health Income Fund	13,410	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	11,311	8,083	8,083	8,083	8,083
502.23755	Health Operation and Oversight Account	4,886	4,540	6,550	4,626	6,913
316.40250	Housing Debt Fund	1,803	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	31,394	35,000	35,000	57,621	81,781
339.22157	Medicaid Income Account	527	0	0	0	0
339.21909	Mental Hygiene Patient Income Account	1,617,712	1,488,001	1,555,376	1,764,326	1,884,160
339.21907	Mental Hygiene Program Fund Account	1,669,351	1,708,439	2,042,192	2,337,112	2,445,346
313.21402	Metropolitan Mass Transportation Operating Assistance Account	18,713	21,175	21,175	21,175	21,175
225.23651	Mobility Tax Trust Account	334,035	268,710	269,165	269,611	270,020
334.55059	Neighbor Work Proj Acct	0	1,000	1,000	1,000	1,000
368.23151	NYC County Clerk Operations Offset Fund	4,378	4,400	4,400	4,400	4,400
323.5502X	OGS Executive Direction Account	21,789	21,783	21,783	21,783	21,783
020.20183	Prostate Cancer Research and Education	172	200	200	200	200
313.21401	Public Transportation Systems Operating Assistance Account	15,046	16,020	16,020	16,020	16,020
073.20852	Railroad Account	8,773	9,216	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,025	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	7,500	8,500	8,500	8,500	8,500
345.22653	State University General IFR Account	14,251	13,540	0	0	0
345.22656	State University Hospital IFR Operations Account	354,837	310,864	321,564	321,564	321,564
339.22168	Tax Revenue Arrearage Account	3,000	3,000	3,000	3,000	3,000
073.20851	Transit Authorities Account	48,876	51,394	51,394	51,394	51,394
020.20128	WB Hoyt Memorial Trust Fund	0	622	622	622	622
		10,092,657	10,164,511	12,162,711	12,299,027	12,155,587

FY 2017 SPECIAL REVENUE FUND BALANCE TRANSFERS
PURSUANT TO TO SECTION 15 OF PART UU OF CHAPTER 54 OF THE LAWS OF 2016
(in dollars)

<u>Sending Fund</u>		<u>Receiving Fund</u>	<u>Transfer Amount</u>
339.22156	Rent Revenue Other New York City Fund	003 General Fund	7,334,000.00
354.22802	State Police Motor Vehicle Enforcement Account	003 General Fund	5,600,000.00
345.22653	SUNY Income Fund Revenues	003 General Fund	4,000,000.00
339.22051	Professional Education Services	003 General Fund	2,777,000.00
339.22103	Vital Records Management Account	003 General Fund	2,252,000.00
339.22033	Alcohol Beverage Control	003 General Fund	2,236,225.76
339.21933	Transportation Surplus Property	003 General Fund	1,803,000.00
339.21920	Certificate of Need Account	003 General Fund	1,086,000.00
339.21902	Statewide Planning and Research	003 General Fund	885,000.00
339.22162	Systems & Technology	003 General Fund	841,000.00
052.20501	Local Government Records Management Improvement	003 General Fund	782,000.00
301.21060	Indirect Charges Account	003 General Fund	523,000.00
050.20452	Proprietary Vocational School Supervision Fund	003 General Fund	297,000.00
339.21965	Radiological Health Protection Program Account	003 General Fund	216,000.00
339.22042	DED Marketing Account	003 General Fund	131,000.00
339.22138	Authority Budget Office	003 General Fund	45,000.00
365.23051	Vocational Rehabilitation Fund	003 General Fund	32,000.00
050.20451	Tuition Reimbursement Fund	003 General Fund	23,000.00
339.22091	Adult Home Quality Enhancement Account	003 General Fund	21,000.00
339.22021	Regulation of Manufactured Housing Account	003 General Fund	20,000.00
339.22044	Tug Hill Administration Account	003 General Fund	10,000.00
339.22110	Assisted Living Residence Quality Oversight Account	003 General Fund	9,000.00
339.22075	Funeral Directing Account	003 General Fund	8,000.00
339.22097	Local Public Health Services Account	003 General Fund	5,000.00
339.21922	Continuing Care Retirement Community Account	003 General Fund	2,000.00
339.21993	Radon Detection Device Account	003 General Fund	2,000.00
		FY 2017 Total Transfers	30,940,225.76

CASH COMBINING STATEMENT
GENERAL FUND
FY 2018
(millions of dollars)

	General Fund	Tax Stabilization Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Refund Reserve	Debt Management	General Reserve Fund	Eliminations	Total
Opening Fund Balance	0	1,258	21	56	540	5,874	0	0	0	7,749
Receipts:										
Taxes	48,634	0	0	0	0	0	0	0	0	48,634
Miscellaneous Receipts	2,152	0	0	0	0	0	0	0	0	2,152
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total receipts	50,786	0	0	0	0	0	0	0	0	50,786
Disbursements:										
Grants to Local Governments	47,069	0	0	0	0	0	0	0	0	47,069
State Operations	8,177	0	0	0	0	0	0	0	0	8,177
General State Charges	5,789	0	0	0	0	0	0	0	0	5,789
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	61,035	0	0	0	0	0	0	0	0	61,035
Other financing sources (uses):										
Transfers from Other Funds	49,827	0	0	0	0	167	500	5,368	(36,814)	19,048
Transfers to Other Funds	(39,578)	0	0	(16)	0	(5,886)	0	(1,498)	36,814	(10,164)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	10,249	0	0	(16)	0	(5,719)	500	3,870	0	8,884
Change in Fund Balance	0	0	0	(16)	0	(5,719)	500	3,870	0	(1,365)
Closing Fund Balance	0	1,258	21	40	540	155	500	3,870	0	6,384

**CASH COMBINING STATEMENT
SPECIAL FUND BALANCE FUNDS
Fiscal Year 2018**
(Thousands of dollars)

	MENTAL HEALTH AND DONATIONS (20800-20199)	COMBINED HEALTHCARE EXPENDITURE (20100-20299)	NEW YORK INTEREST ON LAWYER FEES (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP (20350-20399)	CHILD PERFORMERS (20400-20449)	REIMBURSEMENT (20450-20499)	TUTORIAL (20460-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT (20500-20549)	SCHOOL TAX (20550-20599)	CHARTER SCHOOL (20600-20649)	HEALTH CARE REFORM ACT (20800-20849)
Opening Fund Balance	2,281	59,928	41,036	62	54	6,250	4,306	0	0	6,564	11,906
Receipts:											
Taxes	0	0	0	0	0	0	0	0	2,629,997	0	847,000
Miscellaneous Receipts	142	(85,968)	12,000	318	65	4,305	9,233	0	0	0	4,925,480
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	142	(85,968)	12,000	318	65	4,305	9,233	0	2,629,997	0	5,772,480
Disbursements:											
Grants to Local Governments	0	9,311	9,500	0	0	0	5,056	2,629,997	4,837	0	5,570,540
State Operations	144	4,840	1,255	420	247	2,664	2,146	0	0	0	33,286
General State Charges	0	197	422	170	149	1,162	936	0	0	0	5,607
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	2,475	0	0	0	0	0	0	0	0	0
Total Disbursements	144	16,823	11,177	590	396	3,826	8,138	2,629,997	4,837	0	5,609,433
Other Financing Sources (Uses):											
Transfers from Other Funds	0	1,068,819	0	300	300	0	0	0	0	4,837	0
Transfers to Other Funds	0	0	0	(8)	0	(562)	(1,383)	0	0	0	(174,947)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(2)	1,068,819	0	292	300	(562)	(1,383)	0	0	4,837	(174,947)
Change in Fund Balance	2,279	65,956	41,859	82	23	6,497	4,018	0	0	6,564	(11,900)
Closing Fund Balance	4,560	125,884	82,895	144	77	12,747	8,326	0	0	13,128	0

	DEDICATED MASS TRANSPORTATION (20850-20899)	STATE OF MASSACHUSETTS (20900-20949)	COMBINED STATE OF MASSACHUSETTS (20950-20999)	FEDERAL HEALTH AND HUMAN SERVICES (21000-21049)	FEDERAL USA/FOOD AND NUTRITION ASSISTANCE (21050-21099)	FEDERAL HEALTH AND HUMAN SERVICES (21100-21149)	FEDERAL MISCELLANEOUS OPERATING (21300-21349)	FEDERAL TREATMENT PROGRAM AND SPECIAL ADJUSTMENT (21000-21049)	ENKON SPECIAL PROGRAM (21850-21899)
Opening Fund Balance	80,920	122,398	5,682	628,949	13,226	(10,810)	(174,706)	(3,499)	(13,888)
Receipts:									
Taxes	460,800	0	0	0	0	0	0	0	0
Miscellaneous Receipts	141,206	3,385,127	27,728	53,586	10,001	392	4,373	900	81,911
Federal Grants	0	0	650	47,316,238	2,052,904	3,101,790	1,386,034	0	0
Total Receipts	602,006	3,385,127	28,378	47,369,824	2,152,915	3,102,822	1,390,407	900	81,911
Disbursements:									
Grants to Local Governments	667,825	3,361,348	0	2,048,114	2,512,540	2,512,540	1,110,863	0	0
State Operations	0	86,947	27,792	58,117	520,840	520,840	231,059	145	66,737
General State Charges	0	7,685	0	13,257	100,397	55,661	48,078	84	24,952
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	667,825	3,455,980	27,792	45,301,265	2,119,488	3,089,041	1,390,000	229	91,689
Other Financing Sources (Uses):									
Transfers from Other Funds	65,884	39,734	0	268,710	0	0	11,517	0	19,121
Transfers to Other Funds	0	(4,870)	0	(5,625)	(34,092)	(12,827)	(11,554)	0	(11,274)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	65,884	34,864	0	263,085	(34,092)	(12,827)	(37)	0	7,847
Change in Fund Balance	65	(35,989)	586	113,622	(665)	314	(174,336)	671	(1,931)
Closing Fund Balance	80,985	86,409	6,268	157,844	12,561	(10,496)	(174,336)	(2,828)	(15,819)

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2018**
(Thousands of Dollars)

	ENVIRONMENTAL PROTECTION CONSERVATION (21150-21189)	TRAINING AND EDUCATION PROGRAM ON OCCUPATIONAL SAFETY AND HEALTH (21250-21259)	LAWYERS' FUND FOR FUND PROTECTION (21300-21349)	EQUIPMENT LEASING FOR DISABLED (21350-21399)	TRANSPORTATION SERVICES ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE SERVICES (21550-21599)	STATE UNIVERSITY DOMESTIC INCOME (40350-40399)
Opening Fund Balance	74,632	33,305	4,212	531	100,622	(22,160)	67	10,717	238,246
Receipts:									
Taxes	0	0	0	0	2,220,200	0	0	0	0
Miscellaneous Receipts	46,792	48,496	8,800	50	17,500	43,200	0	1,719	344,024
Federal Grants	0	0	0	0	0	0	0	0	0
Total Receipts	46,792	48,496	8,800	50	2,237,700	43,200	0	1,719	344,024
Disbursements:									
Grants to Local Governments	0	0	0	0	2,238,186	0	0	0	0
State Operations	34,888	33,684	10,700	89	4,130	25,845	0	1,500	0
General State Charges	15,835	13,505	200	0	1,921	11,963	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	50,723	47,189	10,900	89	2,244,237	37,808	0	1,500	0
Other Financing Sources (Uses):									
Transfers from Other Funds	75	19,006	0	0	37,195	0	0	0	0
Transfers to Other Funds	(1,859)	(56,706)	0	(7)	(121,548)	(6,404)	0	0	(560,025)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Source (Uses)	(1,784)	(37,700)	(2,100)	(7)	(84,353)	(6,404)	0	0	(560,025)
Change in Fund Balance	(5,715)	6,083	(1,207)	(46)	(90,890)	(1,012)	0	219	(16,001)
Closing Fund Balance	68,917	39,388	2,112	485	9,732	(23,172)	67	10,936	222,245

	COMBINED NON-EXPENDABLE FEDERAL GRANTS (21650-21699)	WINTER SHORTS EDUCATION TRUST (21700-21749)	MISCELLANEOUS INSTRUMENT REVOLVING FUND (21750-21799)	ARTS CAPITAL PROJECTS (21850-21899)	MISCELLANEOUS STATE SPECIAL INVESTMENT FUND (21900-21949)	COURT FACILITIES (21950-21999)	EMPLOYMENT (22050-22099)	STATE UNIVERSITY ECONOMIC DEVELOPMENT (22050-22099)	CHEMICAL DEPENDENCE (22200-22249)	LAKE GEORGE STATE PARK (22250-22299)
Opening Fund Balance	458	0	1	846	1,070,989	8,357	49	1,232,580	37,239	(13)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	115	75	0	60	2,381,526	150	0	4,519,444	6,467	1,208
Federal Grants	0	0	0	0	89	0	0	0	0	0
Total Receipts	115	75	0	60	2,381,615	150	0	4,519,444	6,467	1,208
Disbursements:										
Grants to Local Governments	0	0	0	98	2,162,564	105,497	0	0	5,870	0
State Operations	59	75	0	0	4,184,226	1,800	0	5,684,545	574	946
General State Charges	0	0	0	0	1,685,418	800	0	461,887	0	404
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	59	75	0	98	8,032,208	108,097	0	6,146,432	6,444	1,350
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	7,767,189	106,000	0	1,797,114	0	0
Transfers to Other Funds	0	0	0	0	(2,271,348)	(1,203)	0	(1,999,560)	(26,000)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Source (Uses)	0	0	0	0	5,495,841	104,697	0	1,597,554	(26,000)	0
Change in Fund Balance	56	0	0	(38)	(154,752)	(3,250)	0	(29,434)	(25,977)	(142)
Closing Fund Balance	514	0	1	808	9,162,237	5,107	49	1,203,146	11,262	(155)

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
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(Thousands of dollars)

	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT & MOTOR VEHICLE PROPERTY FRAUD PREVENTION (22850-22849)	NEW YORK CORRECTION PROTECTION (22850-22859)	FEDERAL REVENUE MARKETING CONTRACT (22900-22949)	HOUSING DEVELOPMENT (22950-22999)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (22950-25999)	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23801)	FANTASY SPORTS (24950-24951)	NON MTA TRANSIT ASSISTANCE ACCOUNT	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	33,127	612	23	10,660	(9,325)	82	(5,352)	(25,438)	9,757	177,019			4,271,692	0	4,271,692
Receipts:															
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	7,712,997	0	7,712,997
Miscellaneous Receipts	123,567	160	0	900	3,068	100	0	34,900	40,000	94,206	0	0	16,955,096	0	16,955,096
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	54,323,431	0	54,323,431
Total Receipts	123,567	160	0	900	3,068	100	0	34,900	40,000	94,206	0	0	78,992,124	0	78,992,124
Disbursements:															
Grants to Local Governments	4,237	0	0	852	0	20	0	0	0	0	0	0	69,056,994	0	69,056,994
State Operations	9,244	155	0	0	3,449	25	0	23,100	24,700	117,032	0	0	12,478,873	0	12,478,873
General State Charges	35	50	0	0	0	0	0	11,400	8,800	7,892	0	0	2,588,247	0	2,588,247
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	13,516	205	0	852	3,449	45	0	34,500	33,500	124,924	0	0	84,126,589	0	84,126,589
Other Financing Sources (Uses):															
Transfers from Other Funds	0	0	0	0	0	0	0	4,400	0	0	0	0	0	0	0
Transfers to Other Funds	(116,720)	0	0	0	0	(32)	(1,108)	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(116,720)	0	0	0	0	(32)	(1,108)	4,400	0	0	0	0	0	0	0
Change in Fund Balance	(6,669)	(45)	0	48	(981)	23	(1,108)	4,800	6,500	(30,718)	0	0	0	0	0
Closing Fund Balance	26,458	567	23	10,708	(9,706)	105	(6,460)	(20,638)	16,257	146,301	0	0	4,271,692	0	4,271,692

	US OLWHPIC COMMITTEE/ UNEMPLOYMENT TRAINING (23500-23549)	INDIGENT SERVICES (23550-23599)	UNEMPLOYMENT INSURANCE ADMINISTRATION (25900-25949)	UNEMPLOYMENT INTEREST AND PENALTY (23600-23649)	UNEMPLOYMENT OCCUPATIONAL TRAINING (25950-25999)	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23750-23799)	DEDICATED MISCELLANEOUS REVENUE (23800-23801)	FANTASY SPORTS (24950-24951)	NON MTA TRANSIT ASSISTANCE ACCOUNT	SPECIAL REVENUE OTHER	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
Opening Fund Balance	91	215,264	82,991	26,506	1,171	(944)	17,176	3,951	2,156	3,163	0	(507)	4,271,692	0	4,271,692
Receipts:															
Taxes	0	0	0	0	0	0	0	1,000	1,000	0	0	0	7,712,997	0	7,712,997
Miscellaneous Receipts	85	132,000	53,840	9,600	7,780	0	140,364	2,000	1,064	4,000	0	(1,000)	16,955,096	0	16,955,096
Federal Grants	0	0	300,952	0	7,780	155,994	0	0	0	0	0	1,000	54,323,431	0	54,323,431
Total Receipts	85	132,000	354,792	9,600	7,780	155,994	140,364	3,000	2,064	4,000	0	0	78,992,124	0	78,992,124
Disbursements:															
Grants to Local Governments	0	95,465	9,339	0	7,780	134,762	111,200	1,800	0	0	0	0	69,056,994	0	69,056,994
State Operations	75	28,300	213,034	2,685	0	22,354	3,523	4,591	746	708	0	35,000	12,478,873	0	12,478,873
General State Charges	0	1,016	87,894	1,173	0	8,258	1,346	771	273	292	0	1,000	2,588,247	0	2,588,247
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	75	124,781	310,267	3,858	7,780	165,374	116,069	7,162	1,019	1,000	0	36,000	84,126,589	0	84,126,589
Other Financing Sources (Uses):															
Transfers from Other Funds	0	35,000	0	0	0	0	0	4,540	0	0	0	0	10,289,741	(2,429,367)	7,860,374
Transfers to Other Funds	0	0	(50,569)	(3,211)	0	0	(39,736)	0	(45)	0	0	1,000	(5,447,260)	2,429,367	(3,017,893)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	10	35,000	(50,569)	(3,211)	0	(9,380)	(39,736)	4,540	(45)	0	0	1,000	4,842,481	0	4,842,481
Change in Fund Balance	0	42,219	(6,044)	2,531	0	(9,380)	(35,441)	378	1,000	3,000	0	(95,000)	(291,984)	0	(291,984)
Closing Fund Balance	101	257,483	76,947	29,037	1,171	(10,324)	1,735	4,329	3,156	6,163	0	(35,507)	3,979,708	0	3,979,708

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2018

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Went Hyg Gifts	2,277	0	142	0	0	0	142	0	0	144	0	0	0	0	0	0	144	2,275
020.20100-Combined Exp Tr	(35)	0	(109,300)	0	0	107,227	(2,073)	0	0	0	0	0	0	0	0	0	0	(2,108)
020.20101-Planting Fields	1,443	0	350	0	0	0	350	0	216	48	7	0	116	0	0	0	387	1,406
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	53	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	53
020.20109-Helen Hayes Hsp	45	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	10
020.20110-Oxford Donation	277	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	393
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	62	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	59
020.20113-Donations-Batav	16	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	(5)
020.20114-Montrose Donat	174	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	173
020.20116-IBR Genetic Cou	37	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	37
020.20118-Tech Transfer	30	0	0	0	0	0	0	0	0	25	0	0	0	0	0	0	25	55
020.20120-Spec Events	1,206	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	1,344
020.20123-L.M. Josephthal	48	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	48
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,193	0	715	0	0	0	715	0	44	508	1	0	27	0	0	0	580	1,328
020.20127-DMINA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.20128-WB Hoyt Memoria	2,713	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	0	2,585
020.20129-NYSCB Gift& Beq	189	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	17	172
020.20130-St. Transm Money	19,502	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	26,572
020.20142-Youth Grants &	273	0	0	0	0	0	0	0	43	410	0	0	17	0	0	0	470	(197)
020.20143-Alzheimers Dis	1,764	0	270	0	0	270	540	820	0	0	0	0	0	0	0	0	820	1,484
020.20144-Local Gov Comm	143	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	148
020.20147-Prostate/Testic	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20149-Autism Aware &	149	0	10	0	0	0	10	0	0	18	0	0	0	0	0	0	18	141
020.20150-Emergency Serv	13,635	0	5,688	0	0	0	5,688	3,101	127	93	4	0	28	0	0	0	3,353	15,970
020.20151-Batavia-Charlot	347	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	344
020.20152-Rome-Gifts And	74	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	75
020.20155-Br. Can Res & Ed	8,303	0	540	0	0	500	1,040	2,580	0	0	0	0	0	0	0	0	2,580	6,763
020.20159-Community Relat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20162-Disab Tech Asst	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20165-DMINA Youth Prog	98	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	98
020.20166-Erie Canal Muse	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.20167-Grants and Bequ	8	0	1	0	0	0	1	0	0	2	0	0	0	0	0	0	2	7
020.20174-Life Pass It on	1,311	0	400	0	0	0	400	0	0	179	0	0	0	0	0	0	179	1,532
020.20176-Misc. Gifts Acc	(1,051)	0	3,975	0	0	0	3,975	0	250	1,000	0	0	0	0	2,475	0	3,725	(801)
020.20178-Multiple Sclero	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	3,259	0	240	0	0	200	440	840	0	0	0	0	0	0	0	0	840	2,859
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.20192-Missing Children	392	0	407	0	0	0	407	0	256	142	0	0	0	0	0	0	398	401
020.20197-DCJ01 Comb Gift	(6)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(6)
020.20199-HESC Gifts Dona	528	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	528
020.20184-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.20188-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	155	0	100	0	0	0	100	0	14	88	1	0	9	0	0	0	112	143
020.201HH-OMH Grant & Beq	864	0	20	0	0	0	20	0	0	21	0	0	0	0	0	0	21	863
020.201MH-RPMI Schoelkopf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS - MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)

CASH REVENUE STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2018

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,349	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,782
020.201XX-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	240	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	540
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	0	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	0
020.20201-Veterans Rem Ce	597	0	75	0	0	0	75	0	0	0	0	0	0	0	0	0	0	672
020.20205-Mental Illness	117	0	58	0	0	0	58	0	0	0	0	0	0	0	0	0	0	175
020.20206-Women's Cancer	148	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	100	48
023.20300-N Y Int Lawyers	41,035	0	12,000	0	0	0	12,000	9,500	647	554	54	0	422	0	0	0	11,177	41,858
024.20350-NYS Archvs Pline	62	0	318	0	0	300	618	0	292	119	9	0	170	0	0	8	598	82
025.20401-Child Performer	58	0	65	0	0	300	365	0	232	9	6	0	149	0	0	0	396	27
050.20451-Tuition Reimb	4,752	0	705	0	0	0	705	0	0	200	0	0	101	0	0	23	324	5,133
050.20452-Voc School Suple	1,829	0	3,600	0	0	0	3,600	0	1,713	700	51	0	1,061	0	0	539	4,064	1,365
052.20501-Loc Govt Record	4,306	0	9,233	0	0	0	9,233	5,056	1,745	350	51	0	936	0	0	1,383	9,521	4,018
053.20550-Sch Tax Relief	0	2,629,997	0	0	0	0	2,629,997	2,629,997	0	0	0	0	0	0	0	0	2,629,997	0
054.20601-Charter School	6,564	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	6,564
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	117	0	0	0	0	0	0	0	1,583	144	42	0	1,218	0	0	0	2,987	(2,870)
061.20802-Health Care Srv	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20804-Medical Assit.	6	0	0	0	0	0	0	3,911,756	0	0	0	0	0	0	0	0	3,911,756	(3,911,750)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	1,049	0	0	0	0	0	0	398,398	0	8,328	0	0	0	0	0	0	406,726	(405,677)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EMS Training	860	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	860
061.20810-Child Health In	344	0	0	0	0	0	0	10,570	2,158	1,421	5	0	1,306	0	0	131	15,591	(14,731)
061.20811-HCRA Undistrib	5,463	847,000	4,880,803	0	0	0	5,727,803	234,676	462	2,348	19	0	351	0	0	0	237,856	(237,512)
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,567,710
061.20813-Ad Home Res Co	90	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	30
061.20814-Primary Care In	0	0	0	0	0	0	0	0	204	0	5	0	164	0	0	158	531	(531)
061.20815-Priv Coll Monit	24	0	0	0	0	0	0	0	46	0	0	0	73	0	0	0	119	(95)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	1,207	0	0	0	0	0	0	882,500	0	0	0	0	0	0	0	9,000	891,500	(890,293)
061.20818-EPIC Premium	1,854	0	44,677	0	0	0	44,677	132,580	1,136	10,342	4	0	697	0	0	0	144,759	(98,228)
061.20819-Health Occup De	298	0	0	0	0	0	0	0	386	694	10	0	443	0	0	0	1,533	(1,235)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	104	0	0	0	0	0	0	0	343	2	8	0	173	0	0	0	526	(422)
061.20822-Cig Task Force	486	0	0	0	0	0	0	0	1,942	1,605	49	0	1,182	0	0	0	4,778	(4,292)
073.20851-Transit Authori	56,637	360,100	109,504	0	0	51,394	520,998	522,156	0	0	0	0	0	0	0	0	522,156	55,479
073.20852-Railroad Account	14,247	63,400	19,204	0	0	9,216	91,820	91,060	0	0	0	0	0	0	0	0	91,060	10,792
073.20853-DWTF	93,718	37,300	12,498	0	0	5,274	55,072	54,609	0	0	0	0	0	0	0	0	54,609	14,710
160.20901-Education - New	18,708	0	2,301,000	0	0	0	2,301,000	2,394,714	0	0	0	0	0	0	0	0	2,394,714	4
160.20902-Lottery Adm New	1,571	0	153,587	0	0	0	153,587	0	17,842	64,384	488	0	6,003	0	0	4,204	92,921	79,374
160.20903-VLT Administrat	8,400	0	12,040	0	0	0	12,040	0	2,978	1,174	81	0	1,682	0	0	666	6,581	7,030
160.20904-VLT - Education	5,684	0	918,500	0	0	39,734	958,234	966,634	0	0	0	0	0	0	0	0	966,634	0
221.20950-Comb Student Ln	128,825	1,438,000	100	0	0	0	28,378	0	0	27,792	0	0	0	0	0	0	27,792	6,270
225.23651-Mobility Tax Tr	20,153	115,000	191,090	0	0	268,710	1,706,810	1,698,900	0	0	0	0	0	0	0	5,400	1,704,300	131,335
300.21002-Encon Admin Acc	(3,501)	0	900	0	0	0	306,090	306,000	136	9	0	0	84	0	0	225	306,225	20,018
301.21051-EnCon Energy Ef	161	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	161
301.21052-EnCon-Seized As	446	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	466

**CASH COMBINING STATEMENT BY ACCOUNT
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(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.21053-Wst Tire Mgr/Re	19,863	0	24,000	0	0	0	24,000	0	12,727	4,800	379	0	7,825	0	0	0	25,731	18,132
301.21054-Oil & Gas Accou	122	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	132
301.21055-Marine/Coastal	143	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	156
301.21060-Indirect Charge	2,661	0	0	0	0	10,121	10,121	0	2,971	3,306	100	0	782	0	0	2,608	9,767	3,015
301.21061-Hazardous Sub B	691	0	350	0	0	0	350	0	152	33	8	0	93	0	0	0	286	755
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envr R	1	0	0	0	0	0	0	0	1,000	0	30	0	614	0	0	0	1,644	(1,643)
301.21065-Federal Grant I	505	0	40	0	0	9,000	9,040	0	7,152	168	0	0	469	0	0	1,634	9,423	122
301.21066-Low Level Radio	(4,516)	0	2,811	0	0	0	2,811	0	1,190	171	31	0	795	0	0	433	2,620	(4,325)
301.21067-Recreation Acco	(6,712)	0	10,200	0	0	0	10,200	0	2,917	812	230	0	608	0	0	455	5,022	(1,534)
301.21077-Public Safety R	22	0	30	0	0	0	30	0	0	30	0	0	0	0	0	0	30	22
301.21080-Encon Magazine	827	0	705	0	0	0	705	0	0	164	0	0	0	0	0	150	314	1,218
301.21081-Environmental R	(83,668)	0	28,600	0	0	0	28,600	0	15,698	2,424	514	0	8,938	0	0	5,594	33,168	(8,236)
301.21082-Natural Resourc	(17,256)	0	4,811	0	0	0	4,811	0	2,127	397	136	0	1,308	0	0	400	4,368	(16,813)
301.21083-UST-Trust Recov	350	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	362
301.21084-Mined Land Recl	2,858	0	4,210	0	0	0	4,210	0	2,162	117	72	0	1,329	0	0	0	3,680	3,388
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.2102Z-Monitors-Aggre	19,622	0	6,000	0	0	0	6,000	0	4,009	493	118	0	2,191	0	0	0	6,811	18,811
302.21150-Conservation	11,697	0	43,222	0	0	75	43,297	0	24,674	6,961	814	0	15,170	0	0	1,784	49,403	5,591
302.21151-Marine Resource	2,793	0	1,480	0	0	0	1,480	0	991	1,216	74	0	618	0	0	0	2,899	1,374
302.21152-Migratory Bird	139	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	104
302.21153-Guides License	92	0	55	0	0	0	55	0	51	6	1	0	31	0	0	0	89	58
302.21154-Fish And Game T	59,869	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	75	75	61,794
302.21155-Surf Clam/Quaho	20	0	0	0	0	0	0	0	26	29	0	0	16	0	0	0	71	(51)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	2	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	27
302.21158-OUTDOOR REC & T	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
303.21201-Oil Spill - DAC	3	0	121	0	0	705	826	0	523	223	(59)	0	343	0	0	0	1,030	(201)
303.21202-Oil Sp Relocati	3	0	0	0	0	301	301	0	184	14	0	0	112	0	0	0	310	(6)
303.21203-Oil Spill - DEC	(1)	0	0	0	0	18,000	18,000	0	11,226	1,635	331	0	6,902	0	0	4,000	24,094	(6,095)
303.21204-Oil Spill - DAC	33,299	0	31,396	0	0	0	31,396	0	0	0	0	0	0	0	0	19,006	19,006	45,689
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	342	0	26,357	0	0	0	26,357	0	10,802	7,201	277	0	7,172	0	0	0	25,452	1,247
305.21252-OSHA Inspection	2,028	0	22,139	0	0	0	22,139	0	11,875	3,224	305	0	6,333	0	0	0	21,737	2,430
306.21301-CSF Regis Fee	4,214	0	8,800	0	0	0	8,800	0	500	10,200	0	0	200	0	0	0	10,900	2,114
307.21351-Equipment Loan	532	0	50	0	0	0	50	0	0	89	0	0	0	0	0	7	96	486
313.21401-Pub Tran Systs	6,733	76,000	0	0	0	16,020	92,020	86,446	636	504	19	0	391	0	0	0	87,996	10,757
313.21402-Metropolitan Ma	93,779	2,144,200	17,500	0	0	21,175	2,182,875	2,151,740	2,488	410	73	0	1,530	0	0	121,548	2,277,789	(1,135)
313.21403-Urban Mass Tran	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(20,346)	0	9,200	0	0	0	9,200	0	4,152	1,647	209	0	2,553	0	0	0	8,561	(19,707)
314.21452-Mobile Source	(1,816)	0	34,000	0	0	0	34,000	0	15,935	3,415	487	0	9,410	0	0	6,404	35,651	(3,467)
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legis/ Comp R&D	10,656	0	1,717	0	0	0	1,717	0	0	1,500	0	0	0	0	0	0	1,500	10,873
321.21552-Demographics/Re	61	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	63
330.40350-S U Dorm Income	238,243	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	360,025	360,025	222,242
332.21651-Brunner Award	38	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	38
332.21652-William Vorce F	229	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	229
332.21653-Rocky Pocanico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

CASH COMBINING STATEMENT BY ACCOUNT
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(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
338.21851-Arts Capital Re	846	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	808
340.22501-CFIA Undistrib	8,357	0	150	0	0	106,000	106,150	105,497	1,700	100	0	0	800	0	0	1,303	109,400	5,107
341.22552-DFY-NYC Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
345.22652-L I Veis Home	32,592	0	45,459	0	0	0	45,459	0	28,032	16,100	0	0	0	0	0	443	44,575	33,476
345.22653-S U Genl IFR	650,769	0	750,398	0	0	50,851	801,249	0	179,336	526,423	0	0	2,070	0	0	72,246	780,075	671,943
345.22654-S U Inc Offset	(19,543)	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	0	(19,533)
345.22655-Gen Rev Offset	91,839	0	1,857,916	0	0	1,014,990	2,872,906	0	2,223,983	569,142	0	0	4,270	0	0	58,504	2,855,899	108,846
345.22656-S U Hosp Ops	303,009	0	1,737,009	0	0	731,273	2,468,282	0	1,063,839	954,924	0	0	452,175	0	0	68,130	2,539,068	232,223
345.22657-SUNY Stablizat	48,079	0	10	0	0	0	10	0	45	87	0	0	0	0	0	0	132	47,957
345.22658-Slate Univ Hosp	13,738	0	38,812	0	0	0	38,812	0	35,267	2,862	0	0	0	0	0	0	38,129	14,421
345.22659-SUNY Tuition Re	111,545	0	89,830	0	0	0	89,830	0	50,549	33,956	0	0	3,372	0	0	237	88,114	113,261
345.2268P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	37,238	0	6,467	0	0	0	6,467	5,870	0	574	0	0	0	0	0	26,000	32,444	11,261
349.22751-Lk George Park	(11)	0	1,208	0	0	0	1,208	0	677	250	19	0	404	0	0	0	1,350	(153)
354.22801-MVTIFA	7,252	0	4,742	0	0	0	4,742	4,237	136	4	4	0	35	0	0	4,300	8,716	3,278
354.22802-St Police MV En	25,876	0	118,825	0	0	0	118,825	0	4,000	5,100	0	0	0	0	0	112,420	121,520	23,181
355.22851-Great Lakes Pro	608	0	160	0	0	0	160	0	82	70	3	0	50	0	0	0	205	563
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,659	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,707
362.23001-DOE Comm Veh Sa	(9,324)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(9,705)
365.23051-Vocatl Rehabil	83	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	106
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,352)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,108	1,108	(6,460)
368.23151-NYC County Cler	(25,437)	0	34,900	0	0	4,400	39,300	0	19,800	3,300	0	0	11,400	0	0	0	34,500	(20,637)
369.23201-Jud Data Proc O	9,757	0	40,000	0	0	0	40,000	0	18,700	6,000	0	0	8,800	0	0	0	33,500	16,257
377.23267-CUNY Stablizn	4,899	0	3,000	0	0	0	3,000	0	0	0	0	0	0	0	0	0	0	7,899
377.2322X-CUNY Tuitn Reim	74,470	0	5,108	0	0	0	5,108	0	5,818	0	0	0	0	0	0	0	5,818	73,760
377.2322Y-CUNY Inc Reimb	97,650	0	86,098	0	0	0	86,098	0	70,178	41,036	0	0	7,892	0	0	0	119,106	64,642
385.23501-Lk Placid Train	91	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	101
390.23551-Indigent Legal	215,263	0	132,000	0	0	35,000	167,000	95,465	2,520	25,610	170	0	1,016	0	0	0	124,781	257,482
482.23601-UI Sp Int & Pen	26,504	0	9,600	0	0	0	9,600	0	1,643	1,000	42	0	1,173	0	0	3,211	7,069	29,035
501.23701-Commercial Gami	20,763	0	130,171	0	0	0	130,171	111,200	0	0	0	0	0	0	0	39,734	150,934	0
501.23702-Comm Game Regul	(4,522)	0	10,193	0	0	0	10,193	0	2,236	1,223	64	0	1,346	0	0	2	4,871	800
501.23703-Prob Gambli Svcs	935	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	935
502.23750-Med Marth Colle	266	450	0	0	0	0	450	0	0	0	0	0	0	0	0	0	0	716
502.23752-MMF - County Di	98	450	0	0	0	0	450	1,800	0	0	0	0	0	0	0	0	1,800	(1,252)
502.23753-MMF - Law Enfor	30	50	0	0	0	0	50	0	0	0	0	0	0	0	0	0	0	80
502.23754-MMF - Addition	30	50	0	0	0	0	50	0	0	0	0	0	0	0	0	0	0	80
502.23755-Health Operatio	3,527	0	2,000	0	0	4,540	6,540	0	3,192	1,399	0	0	771	0	0	0	5,362	4,705
503.23800-Inter Recip Pos	210	0	564	0	0	0	564	0	273	70	8	0	168	0	0	45	564	210
503.23801-Hwy Use Tax Adm	1,946	1,000	500	0	0	0	1,500	0	188	202	5	0	105	0	0	0	500	2,946
504.24950-Fan Sports Educ	3,163	0	4,000	0	0	0	4,000	0	0	0	0	0	0	0	0	0	0	7,163
504.24951-Fan Sport Admin	0	0	0	0	0	0	0	0	480	214	14	0	292	0	0	0	1,000	(1,000)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.211901-Article VII Int	5,062	0	500	0	0	0	500	77	0	0	0	0	0	0	0	0	5,485
339.211902-S P A R C S	6,390	0	6,600	0	0	0	6,600	0	547	588	0	0	372	0	0	4,214	7,269
339.211904-Fire Prev/Code	28,882	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	43,692	0
339.211905-NYS Twp Police	(1,913)	0	3	0	0	0	3	0	1	1	0	0	1	0	0	0	(1,913)
339.211906-DMV Seiz Assets	226	0	0	0	0	0	0	0	0	0	0	0	0	0	0	114	112
339.211907-Mental Hygiene	8,733	0	(100,000)	0	0	3,024,678	2,924,678	1,588,769	712,966	118,237	20,887	0	426,419	0	0	57,400	8,733
339.211909-M H Patient Inc	60,556	0	199	0	0	2,749,320	2,749,519	0	1,486,211	318,676	40,767	0	901,181	0	0	2,576	60,664
339.211911-Fin Cntrl Board	(733)	0	3,132	0	0	0	3,132	0	1,350	913	39	0	742	0	0	0	(645)
339.211912-Reg of Racing	(4,601)	0	12,647	0	0	0	12,647	0	6,809	5,577	171	0	1,755	0	0	458	(6,724)
339.211913-NY Metro Trans	(22,970)	0	0	0	0	882	882	0	157	0	32	0	693	0	0	0	(22,970)
339.211914-S U Constr Fund	108	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	108
339.211915-Quality Care	65,099	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65,099	0
339.211916-Nurses Aide Reg	1,008	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,008
339.211917-Seized Assets	284	0	50	0	0	0	50	0	0	6	0	0	0	0	0	0	328
339.211918-Child Care & Pr	1,131	0	160	0	0	0	160	100	0	0	0	0	0	0	0	0	1,191
339.211919-Cyber Sec Upgr	885	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	885
339.211920-Cert of Need	10,947	0	2,959	0	0	0	2,959	0	1,565	1,605	0	0	1,026	0	0	7,769	1,941
339.211921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.211922-Continuing Care	1,006	0	131	0	0	0	131	0	42	0	0	0	24	0	0	2	1,069
339.211923-DOL Fee Penalty	4,217	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	4,167	0	0	8,672	4,401
339.211924-Educ Museum	149	0	842	0	0	0	842	0	282	334	8	0	100	0	0	62	205
339.211925-Ns Hm Receiptship	2,844	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,869
339.211926-3rd Party Hlth	453	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	453
339.211927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211928-I Love NY Yes	1	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	922
339.211929-Summer Sch Arts	143	0	684	0	0	0	684	0	111	528	3	0	18	0	0	0	167
339.211930-I Lve NY Boat	101	0	245	0	0	0	245	0	130	25	3	0	76	0	0	0	112
339.211932-Snowmobile	4,359	0	6,150	0	0	0	6,150	5,450	111	363	9	0	65	0	0	0	4,511
339.211933-Tr Surplus Prop	2,811	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	2,234
339.211934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.211935-Watershed Prtnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.211936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211937-S U Dorm Reimb	(5)	0	0	0	0	310,025	310,025	0	112,521	136,710	0	0	0	0	0	22,384	38,405
339.211938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.211939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.211943-Energy Research	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.211944-Radiology	2,922	0	6,000	0	0	0	6,000	3,000	703	687	30	0	436	0	0	1,350	2,716
339.211945-Crim Jus Improv	24,978	0	42,724	0	0	0	42,724	32,678	2,733	396	116	0	1,650	0	0	8,596	21,533
339.211948-Farm Prod Insp-	672	0	1,390	0	0	0	1,390	0	648	123	19	0	398	0	0	0	874
339.211950-FgprntID&Tech	32,552	0	15,000	0	0	0	15,000	0	0	4,495	0	0	0	0	0	18,504	24,553
339.211953-NY Fire Academy	286	0	468	0	0	0	468	0	278	314	9	0	235	0	0	0	(82)
339.211958-Domestic Awaren	84	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	88
339.211959-Environmental L	2,296	0	3,700	0	0	0	3,700	0	1,486	576	0	0	1,026	0	0	131	2,777
339.211960-HESC Ins Prem P	27,759	0	69,881	0	0	0	69,881	0	13,314	35,316	422	0	8,958	0	0	17,402	22,228
339.211961-Train Mgmt Eval	501	0	2,300	0	0	0	2,300	0	1,541	87	46	0	966	0	0	8	153
339.211962-Clin Lab Refrnc	(11,082)	0	18,059	0	0	0	18,059	0	5,541	3,026	0	0	3,558	0	0	4,848	(9,996)
339.211964-Pub Emp Rel Brd	701	0	86	0	0	0	86	0	0	44	0	0	0	0	0	0	743
339.211965-Radio Hlth Prot	2,514	0	4,048	0	0	0	4,048	0	2,074	143	0	0	1,382	0	0	796	2,167
339.211966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.211967-OHRD St Match	890	0	0	0	0	6,000	6,000	0	0	3,791	0	0	0	0	0	0	3,099

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatn Library	135	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	140
339.21969-Teacher Certif	4,339	0	6,600	0	0	0	6,600	0	3,400	643	100	0	1,990	0	0	450	4,356
339.21970-Banking Deptmnt	32,037	0	94,472	0	0	0	94,472	0	50,509	13,049	1,491	0	31,053	0	0	0	30,407
339.21971-Cable TV Acct	5,226	0	3,130	0	0	0	3,130	0	1,361	109	40	0	837	0	0	0	6,009
339.21972-Econ Devel Asst	304	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	304
339.21973-Fin Svcs Seized	708	0	500	0	0	0	500	0	0	250	0	0	0	0	0	0	958
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	3,276	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,065	211
339.21977-Business and Li	44,752	0	86,443	0	0	0	86,443	939	16,619	11,262	491	0	10,217	0	0	66,508	25,159
339.21978-Indir Cost Reco	2,754	0	0	0	0	18,907	18,907	0	9,125	4,362	0	0	5,000	0	0	0	3,174
339.21979-High School Equ	1,021	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	1,021
339.21980-OTDA Program	1,581	0	0	0	0	500	500	0	0	200	0	0	128	0	0	0	1,753
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	1,828	0	13	0	0	8,500	8,513	0	3,799	2,272	0	0	2,484	0	0	1,343	443
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	12,619	0	0	0	12,619	0	8,000	5,000	0	0	0	0	0	0	(380)
339.21986-Seized Assets	18	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	20
339.21987-Spinal Injury	5,343	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	0	5,343
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	13,212	0	0	0	0	7,400	7,400	0	1,551	8,704	46	0	642	0	0	0	9,669
339.21990-OCTF Crime Forf	1,590	0	3,050	0	0	0	3,050	0	0	2,236	0	0	0	0	0	0	2,404
339.21991-DMNA-Seiz Asset	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21992-Critical Infras	260	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	260
339.21993-Radon Detection	402	0	20	0	0	0	20	0	0	9	0	0	0	0	0	2	411
339.21994-Insurance Dept	25,864	0	425,317	0	0	0	425,317	58,938	101,374	36,098	2,992	0	62,360	0	0	0	189,419
339.21995-Workers' Compn	88,547	0	226,202	0	0	0	226,202	0	80,878	58,423	2,306	0	51,409	0	0	31,352	90,381
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enrf	7,395	0	3,982	0	0	0	3,982	0	1,987	217	51	0	1,493	0	0	0	7,629
339.21999-Asset Forfeitur	0	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	0
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.2195M-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fosir Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Prblm Solv Cou	1,925	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,925
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	735	0	810	0	0	0	810	0	375	77	11	0	229	0	0	0	853
339.219YL-OGS Bldg Admin	8,079	0	1,166	0	0	0	1,166	0	0	1,014	0	0	0	0	0	0	8,231
339.219YN-OGS Strd & Purch	10,401	0	5,660	0	0	0	5,660	0	858	1,881	22	0	427	0	0	3,000	9,873
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(2,453)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2,453)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	2,602	0	2,500	0	0	0	2,500	2,000	182	100	5	0	100	0	0	52	2,663
339.22003-Bell Jar Collec	4	0	1,875	0	0	0	1,875	0	689	124	20	0	427	0	0	1	618
339.22004-Ind & Util Serv	2,930	0	2,547	0	0	0	2,547	0	1,550	0	74	0	846	0	0	0	3,007
339.22009-Asbestos Trning	(220)	0	330	0	0	0	330	0	287	15	0	0	172	0	0	0	(364)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	41,656	0	87,082	0	0	0	87,082	0	41,240	6,498	1,217	0	26,423	0	0	5,671	47,689
339.22012-Atty Licensing	8,762	0	30,000	0	0	0	30,000	0	18,100	6,300	0	0	8,400	0	0	0	5,962
339.22014-DSS Prov Recovs	189	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	189
339.22015-Crimes Against	2,846	0	0	0	0	2,000	2,000	2,000	0	0	0	0	0	0	0	0	2,846
339.22017-Camp Smith Bill	47	0	197	0	0	0	197	0	134	9	4	0	9	0	0	0	88
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,290	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,290
339.22022-College Savings	11,883	0	813	0	0	0	813	0	198	75	18	0	150	0	0	0	12,255
339.22023-Discover Queens	18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18
339.22024-Reven Arrearage	56,156	0	25,000	0	0	0	25,000	0	1,622	2,500	45	0	984	0	0	62,268	13,737
339.22025-Comm Svce Assis	8,497	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,497
339.22026-Cell Phone Towe	2,766	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,766
339.22027-Spec Conservy Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	5,099	0	3,333	0	0	0	3,333	0	129	0	4	0	79	0	0	1,822	6,398
339.22029-Plant Industry	(7)	0	529	0	0	0	529	0	274	0	8	0	169	0	0	0	71
339.22032-Batavia School	(6,118)	0	9,600	0	0	900	10,500	0	5,676	628	167	0	3,574	0	0	0	(5,663)
339.22033-Alcohol Beverag	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22034-Investment Serv	715	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	715
339.22035-Diabetes Resear	14	0	6	0	0	0	6	0	0	45	0	0	0	0	0	0	(25)
339.22037-Keep Kids Drug	46	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	55

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,899)	0	4,304	0	0	0	4,304	0	2,759	243	74	0	1,687	0	0	0	(2,358)
339.22040-Senate Recycliab	559	0	20	0	0	0	20	0	0	50	0	0	0	0	0	0	529
339.22041-Medicaid Fraud	14,110	0	14,000	0	0	0	14,000	0	6,217	2,694	176	0	3,613	0	0	0	15,410
339.22042-DED Marketing A	4,214	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	4,224
339.22044-Tug Hill Admin	83	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	79
339.22045-Settlement Enf	997	0	900	0	0	0	900	550	8,293	462	207	0	4,742	0	0	329	1,297
339.22046-Regulation of I	(64,021)	0	13,388	0	0	0	13,388	0	0	300	0	0	0	0	0	0	(64,666)
339.22047-NYS FLEX Spend	111	0	300	0	0	0	300	0	0	105	0	0	0	0	0	0	111
339.22050-Crime Victims B	4	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	4
339.22051-Ofc of Professi	32,082	0	47,265	0	0	0	47,265	0	19,327	9,795	570	0	11,989	0	0	6,032	31,634
339.22052-Armory Rental A	2,930	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,930
339.22053-Rome School	(1,590)	0	9,600	0	0	1,020	10,620	0	4,280	652	126	0	2,840	0	0	0	1,132
339.22054-Seized Assets	(8,114)	0	0	0	0	45	45	0	0	0	0	0	0	0	0	0	(8,069)
339.22055-Traf Adjudicatin	(8,386)	0	44,500	0	0	0	44,500	0	20,024	8,870	583	0	12,105	0	0	5,288	(10,756)
339.22056-Fed Salary Shar	(1)	0	0	0	0	2,576	2,576	419	1,287	0	37	0	893	0	0	0	(61)
339.22057-Cook/Chill Acco	2,022	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	2,022
339.22060-Credentialal Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	45	(2)
339.22062-NYC Assessment	18,883	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	22,553	0	0	0	13,129
339.22063-Cultural Educat	(2,753)	0	26,775	0	0	0	26,775	0	11,872	5,400	350	0	7,112	0	0	1,976	(2,688)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	2,010	0	3,150	0	0	0	3,150	0	334	409	11	0	88	0	0	1,961	2,357
339.22067-Trans Regul Acc	14,709	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,026	12,683
339.22068-Cons Prot Acct	1,958	0	91	0	0	0	91	0	236	77	7	0	145	0	0	0	1,584
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	1,974	0	470	0	0	0	470	0	215	9	0	0	111	0	0	95	2,014
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	44	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	44
339.22078-Local Services	1,063	0	1,153	0	0	0	1,153	0	722	0	19	0	373	0	0	0	1,102
339.22080-Adult Shelter	15,572	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	18,172
339.22081-QAA Earned Rev	396	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	396
339.22082-Family Pres Svc	2,468	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	3,354
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	3	0	0	0	0	0	0	0	0	38	0	0	0	0	0	0	(35)
339.22085-DHCR Mortgage S	(6,495)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(7,080)
339.22086-OMH+Research OH	73	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	1
339.22087-DMV-Compulsory	770	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770
339.22088-Prof Medic Cond	8,655	0	24,900	0	0	0	24,900	990	10,773	6,589	0	0	7,022	0	0	3,960	4,221
339.22089-Hwy Const & Ma	1,643	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,768
339.22090-Housing Indirec	(4,651)	0	0	0	0	5,739	5,739	0	2,471	0	0	0	0	0	0	201	(1,584)
339.22091-Adult Home Qual	1,669	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	1,841
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	3,849	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,662	187
339.22095-IG Szd Assets	99	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	99
339.22096-Leg Svcs Assist	30,927	0	20,500	0	0	0	20,500	13,200	0	0	0	0	0	0	0	9,545	28,682
339.22097-Loc Pub Hlth	5,267	0	84	0	0	0	84	0	200	4	0	0	121	0	0	59	4,967

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22098-Local Dist Trail	0	0	0	0	0	0	0	0	0	295	0	0	0	0	0	0	(295)
339.22099-Voting Mach Exa	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.220DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(1,734)	0	5,000	0	0	0	5,000	0	2,804	0	71	0	1,567	0	0	489	(1,665)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	48	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	48
339.22103-Vital Records M	7,100	0	4,840	0	0	0	4,840	0	656	460	0	0	401	0	0	4,687	5,736
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,048	0	267	0	0	0	267	0	0	227	0	0	0	0	0	0	1,088
339.22109-Conference & Sp	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22110-Assisted Living	1,257	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,257
339.22111-OCFS Program	743	0	0	0	0	0	0	0	0	632	0	0	0	0	0	0	111
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	13,235	0	69,952	0	0	0	69,952	0	21,911	33,799	646	0	13,471	0	0	0	13,360
339.22118-Animal Populati	296	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	284
339.22119-Love Your Libra	67	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	73
339.22122-Local Wireless	117	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	117
339.22123-Pub Safe Commun	65,828	0	112,000	0	0	0	112,000	22,460	16,320	36,490	0	0	0	0	0	63,868	38,690
339.22124-Cuba Lake Mgmt	160	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	154
339.22126-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22128-Med Reimb Acct	1,400	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,400
339.22130-Low Inc Housing	3,982	0	3,631	0	0	0	3,631	0	2,277	(1)	58	0	1,272	0	0	150	3,857
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54
339.22133-Procure Op News	410	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	235
339.22134-OVS RESTITUTION	669	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	669
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	945	0	1,323	0	0	0	1,323	0	219	1,052	6	0	106	0	0	0	885
339.22137-Pet Dealer	93	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	125
339.22138-Auth Bdgt Office	1,323	0	2,088	0	0	1,826	3,914	0	917	254	27	0	596	0	0	45	3,398
339.22139-Patient Safety	3,253	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,253
339.22140-Helen Hayes Hos	11,078	0	115	0	0	59,563	59,698	0	37,154	20,902	0	0	1,000	0	0	1,585	10,135
339.22141-NYC Veterans	3,261	0	350	0	0	30,837	31,187	0	14,258	8,538	0	0	8,025	0	0	392	3,235
339.22142-NYS Home-Vetera	2,627	0	120	0	0	23,669	23,789	0	16,850	6,176	0	0	0	0	0	581	2,809
339.22143-WNY Vets Home	1,081	0	55	0	0	12,708	12,763	0	8,656	4,245	0	0	0	0	0	132	811
339.22144-Montrose S V H	3,742	0	30	0	0	27,785	27,815	0	17,260	7,588	0	0	0	0	0	295	6,414
339.22145-DOH Hospital Ho	2	0	0	0	0	123,191	123,191	0	0	0	0	0	0	0	0	123,745	(552)
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	7,662	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	8,049
339.22149-Motor Fuel Qual	1,176	0	2,800	0	0	2,800	2,800	0	1,132	1,214	33	0	718	0	0	0	879
339.22150-Weights Measure	147	0	325	0	0	0	325	0	221	101	6	0	135	0	0	0	9
339.22151-Defier Comp Adm	(244)	0	820	0	0	0	820	0	378	183	23	0	205	0	0	0	(213)
339.22152-Hazard Abatement	930	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	980
339.22153-Education Stats	262	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	317
339.22154-Real Estate Fin	4,944	0	1,693	0	0	0	1,693	0	1,048	1,381	31	0	645	0	0	0	3,532
339.22156-NYC Rent Rev	1,760	0	48,862	0	0	0	48,862	0	23,854	7,750	604	0	11,426	0	0	11,115	(4,127)
339.22157-Medicaid Income	408	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	408

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2018

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22158-Rent Revenue	(462)	0	550	0	0	0	550	0	380	0	10	0	212	0	0	0	(514)
339.22159-CSFP Salvage Ac	62	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	62
339.22161-ES Stem Cell Tr	562	0	0	0	0	30,555	30,555	0	458	26,261	0	0	324	0	0	510	3,564
339.22162-Systems & Tech	9,019	0	7,300	0	0	0	7,300	0	729	120	28	0	673	0	0	5,320	9,449
339.22163-Patron Services	5,135	0	72,235	0	0	0	72,235	0	29,091	35,822	28	0	2,831	0	0	3,992	5,606
339.22165-Trans Aviatn	1,607	0	3,660	0	0	0	3,660	0	132	4,709	4	0	81	0	0	0	341
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	300	0	10	0	0	3,000	10	0	0	10	0	0	0	0	0	0	300
339.22168-Tax Rev Arrear	3,416	0	0	0	0	0	3,000	0	0	1,500	0	0	0	0	0	0	4,916
339.22169-TSCR Account	45,820	0	200,000	0	0	0	200,000	74,800	0	0	0	0	0	0	0	121,200	49,820
339.22170-Statewide Gamn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,259	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,199
339.22172-Undgrnd Sfty T	1,353	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	1,288
339.22173-Vol Fire Rec&Re	796	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	796
339.22174-HAVA Match	1,568	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,568
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	1,212	0	9,000	0	0	0	9,000	9,560	318	11	0	0	207	0	0	76	40
339.22178-Crim Back Check	381	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	381
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10
339.22182-OWIG Adm Reimb	3,174	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,174
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	665	0	40	0	0	0	40	0	0	50	0	0	0	0	0	0	655
339.22186-Yth Fac PerDiem	99,869	0	55,000	0	0	0	55,000	0	0	0	0	0	0	0	0	154,869	0
339.22187-Provider Assess	200	0	832,000	0	0	0	832,000	832,000	0	0	0	0	0	0	0	0	200
339.22188-Fed Indirect Re	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	27
339.22189-DOCS Asset Forf	367	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	367
339.22190-Conference&Sign	108	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	108
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	4,836	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,836
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,401	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,401
339.22196-C & F Qual Enhn	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-UL-TVI Radia Dev	511	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	511
339.22198-HEP	79	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(221)
339.22199-Airport Securit	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25
339.22202-SBCI Account	13	0	5,000	0	0	0	5,000	5,000	0	0	0	0	0	0	0	0	13
339.22203-Article X Inter	1	0	0	0	0	0	0	78	0	0	0	0	0	0	0	0	(77)
339.22206-Wholesale Mkt	8,610	0	0	0	0	0	0	0	0	700	0	0	0	0	0	0	7,910
339.22207-Tech Financing	5,353	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,353
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	86	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	86
339.22213-BOE Enforcement	152	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	152
339.22214-Fireworks Reven	486	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	706
339.22215-Delivery Transf	84	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	84

CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2018
(thousands of dollars)

	STATE CAPITAL PROJECTS (80000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (80050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (80100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (80300-30349)	STATE PARK INFRASTRUCTURE (80350-30399)	PASSENGER FACTORY CHARGE (80400-30449)	ENVIRONMENTAL PROTECTION (80450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (80600-30609)	PURE WATERS BOND (80620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (80630-30639)
Opening Fund Balance	(30)	(31,050)	144,047	6,242	(84,302)	14	147,159	164	668	3,328
Receipts:										
Taxes	0	1,208,200	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	4,486,254	1,354,790	90	2,328	123,265	0	34,650	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0
Total Receipts	4,486,254	2,567,882	90	2,328	123,265	0	153,750	0	0	0
Disbursements:										
Grants to Local Governments	3,821,048	78,757	0	0	0	0	2,033	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	2,195,398	2,129,918	50,000	2,328	123,100	0	215,242	0	0	0
Capital Projects	6,016,446	2,208,675	50,000	2,328	123,100	0	217,275	0	0	0
Total Disbursements	1,532,623	1,065,373	50,000	0	0	0	28,000	0	0	0
Other Financing Sources (Uses):	(2,325)	(1,424,809)	(2,500)	0	0	0	0	(25)	(200)	25
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	1,530,298	(359,436)	47,500	0	0	0	28,000	0	0	0
Net Other Financing Sources (Uses)	106	(229)	(2,410)	0	165	0	(35,525)	0	0	0
Change in Fund Balance	76	(31,279)	141,637	6,242	(84,137)	14	111,634	164	668	3,328
Closing Fund Balance										
	1,451	21,606	4,255	5,576	2,778	1,428	(569,606)	906	(156,029)	510
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	717	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,241,155	0	0	0
Total Receipts	0	0	0	0	0	0	2,241,872	10	103,250	0
Disbursements:										
Grants to Local Governments	0	0	0	0	0	0	705,981	0	15	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,142,735	10	104,882	0
Total Disbursements	0	0	0	0	0	0	1,848,716	10	104,897	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	15,500	0
Bond & Note Proceeds	(750)	(407,312)	(25)	(2,260)	(25)	(4,000)	(372,379)	0	(28,849)	0
Net Other Financing Sources (Uses)	750	407,312	25	4,260	25	15,000	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	2,000	0	11,000	(372,379)	0	(13,349)	0
Change in Fund Balance	0	0	0	2,000	0	11,000	20,777	0	(14,996)	0
Closing Fund Balance	1,451	21,606	4,255	7,576	2,778	12,428	(548,829)	906	(171,025)	510

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2018**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32000-32099)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32300-32399)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32400-32449)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32500-32599)
Opening Fund Balance	(15,112)	(13,631)	(125,087)	19,172	(12,616)	184,345	45,636	(25)	(481,741)	(186,715)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	29,031	0	167,652	1,000	0	110,000	21,620	0	223,890	264,564
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	29,031	0	167,652	1,000	0	110,000	21,620	0	223,890	264,564
Disbursements:										
Grants to Local Governments	0	0	168,227	47	0	0	0	0	122,567	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	29,031	0	0	970	0	110,000	57,500	0	106,573	363,322
Total Disbursements	29,031	0	168,227	1,017	0	110,000	57,500	0	229,140	363,322
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	0	27,500	25,000	0	5,250	98,758
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	0	27,500	25,000	0	5,250	98,758
Change in Fund Balance	0	0	0	(17)	0	27,500	(10,880)	0	0	0
Closing Fund Balance	(15,112)	(13,631)	(125,087)	19,155	(12,616)	211,845	34,756	(25)	(481,741)	(186,715)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(56,524)	82,648	0	(1,060,535)	0	(1,060,535)
Receipts:							
Taxes	0	0	0	0	1,327,300	0	1,327,300
Miscellaneous Receipts	0	18,181	0	1,001	6,942,293	0	6,942,293
Federal Grants	0	0	0	0	2,246,047	0	2,246,047
Total Receipts	0	18,181	0	1,001	10,515,640	0	10,515,640
Disbursements:							
Grants to Local Governments	0	0	265,000	0	5,163,675	0	5,163,675
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	18,181	1,297,471	0	7,946,661	0	7,946,661
Total Disbursements	0	18,181	1,562,471	0	13,110,336	0	13,110,336
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	1,562,471	0	4,411,050	(1,354,648)	3,056,402
Transfers to Other Funds	(575,000)	0	0	0	(2,820,484)	1,354,648	(1,465,836)
Bond & Note Proceeds	560,000	0	0	0	987,622	0	987,622
Net Other Financing Sources (Uses)	(15,000)	0	1,562,471	0	2,578,188	0	2,578,188
Change in Fund Balance	(15,000)	(56,524)	82,648	1,001	(16,508)	0	(16,508)
Closing Fund Balance	(15,000)	(56,524)	82,648	1,001	(1,077,043)	0	(1,077,043)

CASH COMBINING STATEMENT
DEBT SERVICE
FY 2018

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	116,564	0	0	27,796	(1)	0	144,359	0	144,359
Receipts:									
Taxes	0	15,755,982	0	0	1,084,900	3,410,250	20,251,132	0	20,251,132
Miscellaneous Receipts	310,406	0	4,715	143,702	0	500	459,323	0	459,323
Federal Grants	0	73,364	0	0	0	0	73,364	0	73,364
Total Receipts	310,406	15,829,346	4,715	143,702	1,084,900	3,410,750	20,783,819	0	20,783,819
Disbursements:									
Grants to Local Governments	0	0	0	0	0	0	0	0	0
State Operations	2,778	28,919	0	2,246	0	2,909	36,852	0	36,852
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	176,352	4,822,627	5,715	26,555	0	287,737	5,318,986	0	5,318,986
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	179,130	4,851,546	5,715	28,801	0	290,646	5,355,838	0	5,355,838
Other Financing Sources (Uses):									
Transfers from Other Funds	1,345,260	2,710,986	1,000	42,069	0	0	4,099,315	(334,389)	3,764,926
Transfers to Other Funds	(1,476,536)	(13,688,787)	0	(154,028)	(1,084,900)	(3,120,004)	(19,524,255)	334,389	(19,189,866)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(131,276)	(10,977,801)	1,000	(111,959)	(1,084,900)	(3,120,004)	(15,424,940)	0	(15,424,940)
Change in Fund Balance	0	(1)	0	2,942	0	100	3,041	0	3,041
Closing Fund Balance	116,564	(1)	0	30,738	(1)	100	147,400	0	147,400

CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2018
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	(903)	0	63,652	0	0	0	63,652	0	29,251	15,423	740	0	15,111	0	0	1,866	62,391	358
323.55020-OGS Ent Contr	(60,853)	0	200,000	0	0	0	200,000	0	600	199,074	16	0	261	0	0	0	199,951	(60,804)
323.55022-Business Srv Ct	882	0	13,169	0	0	0	13,169	0	5,686	5,000	321	0	1,706	0	0	0	12,713	1,338
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(18,837)	0	142,837	0	0	21,783	164,620	0	4,447	129,208	107	0	990	0	0	28,268	163,020	(17,237)
323.5502Y-OGS Bldg Admin	2,052	0	25,229	0	0	0	25,229	0	1,931	18,976	49	0	646	0	0	0	21,602	5,679
323.550ZZ-OGS Std & Purch	(1,419)	0	11,954	0	0	0	11,954	0	3,211	5,430	78	0	1,041	0	0	0	9,760	775
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	76	0	1,627	0	0	0	1,627	0	920	114	27	0	477	0	0	0	1,538	165
334.55053-Fedl Single Aud	2,444	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,444
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	2,017	0	5,963	0	0	0	5,963	0	2,772	500	84	0	1,229	0	0	1,651	6,236	1,744
334.55056-EHS Occup Hlth	31	0	870	0	0	0	870	0	631	507	18	0	523	0	0	8	1,687	(786)
334.55057-Banking Service	(8)	0	500	0	0	53,435	53,935	0	0	50,610	0	0	0	0	0	0	50,610	3,317
334.55058-Cult Resources	(4,654)	0	7,329	0	0	0	7,329	0	1,429	4,082	42	0	822	0	0	284	6,659	(3,984)
334.55059-Neighbor Work P	(9,717)	0	8,200	0	0	1,000	9,200	9,200	0	0	0	0	0	0	0	0	9,200	(9,717)
334.55060-Auto/Print Chgb	1,438	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,838	0	0	0	17,602	1,449
334.55061-NYTT Account	(3,291)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(3,291)
334.55062-State Data Ctr	(54,400)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(54,400)
334.55063-Human Svcs Tele	12,449	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,449
334.55065-OPWDD Copy Cent	717	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	717
334.55066-Intrusion Detec	(1,244)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,244)
334.55067-Dom Violence Gr	(104)	0	807	0	0	0	807	0	703	101	3	0	0	0	0	0	807	(104)
334.55068-Statewide Train	106	0	0	0	0	0	0	0	0	150	0	0	0	0	0	0	150	(44)
334.55069-Centralized Tec	(14,801)	0	30,000	0	0	13,060	43,060	0	0	30,000	0	0	908	0	0	0	30,908	(2,649)
334.55070-Learning Mgmt S	1,769	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,769
334.55071-Labor Cont Ctr	(19)	0	2,021	0	0	0	2,021	0	1,055	164	27	0	654	0	0	0	1,900	102
334.55072-HS Cont Ctr	(139)	0	17,717	0	0	0	17,717	0	8,815	3,127	268	0	3,018	0	0	0	15,228	2,350
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Srv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	308	0	1,600	0	0	0	1,600	0	652	500	17	0	401	0	0	0	1,570	338
347.55150-DFY Voc Educatn	57	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	82
394.55200-Joint Labor-Mgt	1,467	0	2,000	0	0	0	2,000	0	912	406	30	0	322	0	0	0	1,670	1,797
395.55251-Ex Dir Intl Aud	(5,008)	0	1,950	0	0	0	1,950	0	2,290	577	541	40	756	0	0	0	4,204	(7,262)
395.55252-CIO INFO TECH C	(10,625)	0	17,000	0	0	0	17,000	0	5,100	12,700	71	0	6,561	0	0	0	24,432	(18,057)
396.55300-Health Insuranc	(8,177)	0	14,121	0	0	8,083	22,204	0	9,150	1,700	270	0	4,411	0	0	3,428	18,959	(4,932)
396.55301-CS EBD Adm Reim	(9,360)	0	4,500	0	0	0	4,500	0	1,850	335	56	0	1,000	0	0	639	3,880	(8,740)
397.55350-Correctional In	(22,664)	0	49,000	0	0	12,000	61,000	0	17,828	35,930	535	0	12,011	0	0	357	66,661	(28,325)

CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2018
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	144	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	144
325.50050-State Fair Rece	886	0	14,300	0	0	0	14,300	0	4,048	8,496	162	0	522	0	0	0	13,228	1,958
326.50100-DOCS Commissary	3,229	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	3,311
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	172	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	196
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Ctr	29	0	1,222	0	0	0	1,222	0	609	166	15	0	426	0	0	0	1,216	35
331.50319-Attica Emp Mess	1,297	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	1,291
331.50322-Asset Preservat	84	0	14	0	0	0	14	0	0	23	0	0	0	0	0	0	23	75
331.50323-Farm Program	798	0	629	0	0	0	629	0	123	455	2	0	63	0	0	0	643	784
331.50327-Emp Plz Gift Sh	(72)	0	300	0	0	0	300	0	105	131	3	0	18	0	0	0	257	(29)
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDFC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	80	0	1,642	0	0	1,000	9,234	(1,142)
331.OGSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	13	0	0	0	875	(1)
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	0	0	63	0	0	0	168	(63)
351.50400-OMH Shelt Wkshs	2,175	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	2,175
352.50450-MR Shel Wrkshop	1,793	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,693
353.50500-MH & MR Communi	4,152	0	2,200	0	0	0	2,200	0	383	1,172	10	0	223	0	0	0	1,788	4,564
353.50516-MR Community St	145	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	142
450.259SF-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	863,566	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	863,566
481.50651-Interest Assess	5,147	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,147
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2017 RESULTS
(thousands of dollars)

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	31,844	68,766	32,866	45,723
Economic Development, Department of	53,670	268,234	19,254	27,682
Empire State Development Corporation	121,784	385,769	0	0
Olympic Regional Development Authority	0	0	2,736	3,893
FUNCTIONAL TOTAL	207,298	722,769	54,856	77,298
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,535	4,344
Environmental Conservation, Department of	1,793	22,883	90,373	161,775
Parks, Recreation and Historic Preservation, Office of	2,867	14,427	108,760	129,216
FUNCTIONAL TOTAL	4,660	37,311	203,668	295,335
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	106,209	109,818	1,169	1,050
FUNCTIONAL TOTAL	106,209	109,818	1,169	1,050
HEALTH				
Aging, Office for the	124,327	212,114	1,231	1,236
Health, Department of	13,176,294	95,522,174	437,433	811,761
Medicaid Inspector General, Office of the	0	0	20,489	20,752
FUNCTIONAL TOTAL	13,300,621	95,734,288	459,153	833,749
SOCIAL WELFARE				
Children and Family Services, Office of	1,710,268	2,994,642	217,204	317,916
Housing and Community Renewal, Division of	4,237	24,607	7,791	12,608
Human Rights, Division of	0	0	10,382	12,010
Labor, Department of	14,938	38,373	311	285
National and Community Service	267	1,447	204	334
Temporary and Disability Assistance, Office of	1,220,003	1,390,484	136,680	182,481
FUNCTIONAL TOTAL	2,949,713	4,449,553	372,572	525,634
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	27,090	57,236	0	0
Mental Health, Office of	277,072	395,482	781	796
People with Developmental Disabilities, Office for	583,120	2,898,090	0	0
Justice Center	200	272	37,022	41,685
FUNCTIONAL TOTAL	887,482	3,351,080	37,803	42,481
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,431	2,894
Correctional Services, Department of	4,457	49,936	2,639,118	2,661,078
Criminal Justice Services, Division of	129,078	332,292	33,868	38,017
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	5,166	891,618	1,139	1,000
Judicial Conduct, Commission on	0	0	5,505	5,584
Judicial Nomination, Commission on	0	0	10	30
Judicial Screening, Committees	0	0	9	38
Military and Naval Affairs, Division of	786	1,975	23,948	25,354
State Police, Division of	0	0	652,546	625,828
Statewide Financial Services	0	0	30,309	30,137
Victim Services	1,196	4,478	0	0
FUNCTIONAL TOTAL	140,683	1,280,299	3,388,883	3,389,960
EDUCATION				
Arts, Council on the	38,907	73,031	3,925	4,319
City University of New York	1,424,129	1,480,078	503	0
Education, Department of	23,201,784	26,321,143	58,373	83,545
Higher Education Services Corporation, New York State	957,504	1,139,840	0	0
State University of New York	491,871	510,446	657	1,451,515
FUNCTIONAL TOTAL	26,114,195	29,524,538	63,458	1,539,379
GENERAL GOVERNMENT				
Budget, Division of the	0	0	22,118	29,778
Civil Service, Department of	0	0	12,614	14,533
Deferred Compensation	0	0	39	111
Elections, State Board of	93	2,000	6,991	8,482
Employee Relations, Office of	0	0	2,334	7,863
Gaming Commission, New York State	0	0	5,709	7,946
General Services, Office of	0	0	153,855	169,502
Inspector General, Office of the	0	0	7,179	6,944
Labor Management Committee	0	0	28,818	103,946
Prevention of Domestic Violence, Office for	528	2,260	1,500	1,728
Public Employment Relations Board	0	0	3,269	3,600
Public Integrity, Commission on	0	0	4,876	5,582
State, Department of	10,436	28,250	13,261	14,577
Tax Appeals, Division of	0	0	2,833	3,040
Taxation and Finance, Department of	908	926	262,664	262,174
Technology, Office for	0	1,530	548,366	567,791
Veterans' Affairs, Division of	8,282	17,599	5,951	6,759
Welfare Inspector General, Office of	0	0	592	1,162
FUNCTIONAL TOTAL	20,247	52,565	1,082,969	1,215,518
ELECTED OFFICIALS				
Audit and Control, Department of	32,025	32,025	133,749	130,697
Executive Chamber	0	0	14,653	17,854
Law, Department of	0	0	104,150	102,823
Judiciary	2,443	17,446	1,911,837	2,605,788
Legislature	0	0	224,312	414,509
Lieutenant Governor, Office of the	0	0	510	630
FUNCTIONAL TOTAL	34,468	49,471	2,389,211	3,272,301
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE				
Sales Tax Asset Receivable Corporation	170,000	170,000	0	0
Local Government Assistance	760,326	909,148	107	2,500
FUNCTIONAL TOTAL	930,326	1,079,148	107	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year Medicaid appropriations along with authorization for Medicaid spending outside of DOH.

**GENERAL FUND CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
FY 2018 PROJECTED
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture and Markets, Department of	31,761	73,959	31,062	47,244
Economic Development, Department of	53,320	260,225	17,601	27,012
Empire State Development Corporation	133,981	428,547	0	0
Olympic Regional Development Authority	0	0	2,536	3,893
FUNCTIONAL TOTAL	219,062	762,731	51,199	78,149
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	0	0	4,413	4,444
Environmental Conservation, Department of	6,911	19,113	90,478	136,348
Parks, Recreation and Historic Preservation, Office of	1,790	11,791	101,336	129,156
FUNCTIONAL TOTAL	8,701	30,904	196,227	269,948
TRANSPORTATION				
Thruway Authority, New York State	0	0	0	0
Transportation, Department of	114,351	117,679	1,169	1,050
FUNCTIONAL TOTAL	114,351	117,679	1,169	1,050
HEALTH				
Aging, Office for the	123,348	244,663	1,231	1,236
Health, Department of	14,063,232	75,679,119	543,102	921,411
Medicaid Inspector General, Office of the	0	0	18,533	19,426
FUNCTIONAL TOTAL	14,186,580	75,923,782	562,866	942,073
SOCIAL WELFARE				
Children and Family Services, Office of	1,648,930	2,763,400	226,069	329,705
Housing and Community Renewal, Division of	4,630	26,988	4,550	12,674
Human Rights, Division of	0	0	9,921	12,135
Labor, Department of	15,270	37,845	288	287
National and Community Service	350	1,597	337	336
Temporary and Disability Assistance, Office of	1,316,208	1,501,683	125,164	186,269
FUNCTIONAL TOTAL	2,985,388	4,331,513	366,329	541,406
MENTAL HYGIENE				
Alcoholism and Substance Abuse Services, Office of	40,156	59,666	0	0
Mental Health, Office of	268,824	395,482	800	796
People with Developmental Disabilities, Office for	578,632	3,317,997	0	0
Justice Center	170	289	38,879	41,685
FUNCTIONAL TOTAL	887,782	3,773,434	39,679	42,481
PUBLIC PROTECTION				
Correction, Commission of	0	0	2,651	2,955
Correctional Services, Department of	5,497	52,991	2,614,427	2,664,733
Criminal Justice Services, Division of	139,221	321,482	33,721	38,017
Disaster Assistance	0	0	0	0
Homeland Security and Emergency Services, Division of	3,972	1,041,618	1,000	1,000
Judicial Conduct, Commission on	0	0	5,584	5,584
Judicial Nomination, Commission on	0	0	30	30
Judicial Screening, Committees	0	0	38	38
Military and Naval Affairs, Division of	820	2,080	20,335	25,354
State Police, Division of	0	0	627,361	671,621
Statewide Financial Services	0	0	30,137	30,491
Victim Services	2,788	5,967	0	0
FUNCTIONAL TOTAL	152,298	1,424,138	3,335,284	3,439,823
EDUCATION				
Arts, Council on the	40,995	76,440	4,320	5,312
City University of New York	1,409,629	1,506,168	0	0
Education, Department of	24,576,271	50,781,286	62,813	90,965
Higher Education Services Corporation, New York State	897,528	1,202,720	0	0
State University of New York	492,021	493,959	0	1,616,626
FUNCTIONAL TOTAL	27,416,444	54,060,572	67,133	1,712,903
GENERAL GOVERNMENT				
Budget, Division of the	0	0	24,422	29,778
Civil Service, Department of	0	0	12,451	14,553
Deferred Compensation	0	0	57	111
Elections, State Board of	0	1,900	8,482	9,659
Employee Relations, Office of	0	0	2,581	2,913
Gaming Commission, New York State	0	0	6,771	6,431
General Services, Office of	0	0	139,889	152,917
Inspector General, Office of the	0	0	7,367	6,944
Labor Management Committee	0	0	25,000	93,658
Prevention of Domestic Violence, Office for	1,285	2,892	1,591	1,767
Public Employment Relations Board	0	0	3,529	3,600
Public Integrity, Commission on	0	0	5,531	5,582
State, Department of	16,945	31,019	9,663	11,379
Tax Appeals, Division of	0	0	3,040	3,040
Taxation and Finance, Department of	926	926	256,107	262,174
Technology, Office for	0	0	536,032	582,793
Veterans' Affairs, Division of	9,382	21,012	6,171	6,792
Welfare Inspector General, Office of	0	0	672	1,162
FUNCTIONAL TOTAL	28,538	57,749	1,049,356	1,195,253
ELECTED OFFICIALS				
Audit and Control, Department of	32,024	32,025	132,331	132,331
Executive Chamber	0	0	13,578	17,854
Law, Department of	0	0	105,434	105,435
Judiciary	3,000	33,000	1,956,000	2,685,590
Legislature	0	0	224,380	408,030
Lieutenant Governor, Office of the	0	0	614	630
FUNCTIONAL TOTAL	35,024	65,025	2,432,337	3,349,870
LOCAL GOVERNMENTS/SALES TAX ASSET RECEIVABLE CORPORATION				
Sales Tax Asset Receivable Corporation	0	170,000	0	0
Local Government Assistance	761,348	898,679	0	2,500
FUNCTIONAL TOTAL	761,348	1,068,679	0	2,500

NOTE 1: Cash disbursements can vary from the level of appropriation authority due to intra-year transfers or suballocation, changes in the amount/timing of carry-out spending, and the use of agency appropriation interchange authorization. Appropriation amounts include new authority, reappropriations, and other amendments, but do not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Special statewide appropriation authority is available to all State agencies to meet funding needs during emergencies, and for the Federal Government's share of costs resulting from natural or man-made natural disasters in advance of the availability of Federal reimbursement.

NOTE 3: The State maintains two-year Medicaid appropriations along with authorization for Medicaid spending outside of DOH.

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2018
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Revenues:			
Taxes:			
Personal Income Tax	35,342	(1,128)	34,214
Consumption/Use Taxes	7,236	(81)	7,155
Business Taxes	5,785	(388)	5,397
Other Taxes	970	102	1,072
Miscellaneous Receipts	5,280	39	5,319
Federal Receipts	<u>0</u>	<u>0</u>	<u>0</u>
Total Receipts	<u><u>54,613</u></u>	<u><u>(1,456)</u></u>	<u><u>53,157</u></u>
Expenditures:			
Local Assistance Grants	47,917	(375)	47,542
Departmental Operations	12,462	135	12,597
General State Charges	6,607	33	6,640
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u><u>66,986</u></u>	<u><u>(207)</u></u>	<u><u>66,779</u></u>
Other Financing Sources (Uses):			
Transfers From Other Funds	19,802	(97)	19,705
Transfers To Other Funds	(8,824)	1,061	(7,763)
Proceeds From Financing Arrangements/ Advance Refundings	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u><u>10,978</u></u>	<u><u>964</u></u>	<u><u>11,942</u></u>
Operating Surplus/(Deficit)	<u><u>(1,395)</u></u>	<u><u>(285)</u></u>	<u><u>(1,680)</u></u>
Accumulated Surplus/(Deficit)	<u><u>1,623</u></u>	<u><u>(285)</u></u>	<u><u>1,338</u></u>

GAAP FINANCIAL PLAN

ALL FUNDS

FY 2018

(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	47,838	7,657	1,327	20,269	77,091
Public Health/Patient Fees	0	4,925	0	454	5,379
Miscellaneous Receipts	5,319	1,693	497	4	7,513
Federal Receipts	0	59,930	2,246	73	62,249
Total Receipts	53,157	74,205	4,070	20,800	152,232
Expenditures:					
Local Assistance Grants	47,542	72,271	5,257	0	125,070
Departmental Operations	12,597	2,148	0	37	14,782
General State Charges	6,640	445	0	0	7,085
Debt Service	0	0	0	4,188	4,188
Capital Projects	0	2	7,931	0	7,933
Total Disbursements	66,779	74,866	13,188	4,225	159,058
Other Financing Sources (Uses):					
Transfers From Other Funds	19,705	3,013	2,978	3,765	29,461
Transfers To Other Funds	(7,763)	(2,423)	(1,468)	(20,321)	(31,975)
Proceeds Of General Obligation Bonds	0	0	988	0	988
Proceeds From Financing Arrangements/ Advance Refundings	0	0	6,573	0	6,573
Net Other Financing Sources (Uses)	11,942	590	9,071	(16,556)	5,047
Operating Surplus/(Deficit)	(1,680)	(71)	(47)	19	(1,779)

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2018
(millions of dollars)**

	Major Funds				Eliminations	Total
	General Fund	Federal Special Revenue	General Debt Service	Other Governmental Funds		
Revenues:						
Taxes:						
Personal Income Tax	34,214	0	12,346	2,630	0	49,190
Consumption/Use Taxes	7,155	0	3,428	6,010	0	16,593
Business Taxes	5,397	0	0	2,196	0	7,593
Other Taxes	1,072	0	0	2,643	0	3,715
Public Health/Patient Fees	0	0	0	5,379	0	5,379
Miscellaneous Receipts	5,319	212	0	1,982	0	7,513
Federal Receipts	0	59,793	73	2,383	0	62,249
Total Receipts	53,157	60,005	15,847	23,223	0	152,232
Expenditures:						
Local Assistance Grants	47,542	55,452	0	22,076	0	125,070
Departmental Operations	12,597	1,744	29	412	0	14,782
General State Charges	6,640	320	0	125	0	7,085
Debt Service	0	0	3,692	496	0	4,188
Capital Projects	0	0	0	7,933	0	7,933
Total Disbursements	66,779	57,516	3,721	31,042	0	159,058
Other Financing Sources (Uses):						
Transfers From Other Funds	19,705	12	2,711	7,033	(23,813)	5,648
Transfers To Other Funds	(7,763)	(2,402)	(14,819)	(6,991)	23,813	(8,162)
Proceeds Of General Obligation Bonds				988	0	988
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	6,573	0	6,573
Net Other Financing Sources (Uses)	11,942	(2,390)	(12,108)	7,603	0	5,047
Operating Surplus/(Deficit)	(1,680)	99	18	(216)	0	(1,779)

GAAP COMBINING STATEMENT
GENERAL FUND
FY 2018
(millions of dollars)

	001	003	007	166	013	008	323	325	326	331	334
Revenues:											
Personal Income Tax	0	34,214	0	0	0	0	0	0	0	0	0
Consumption/Use Taxes	0	7,155	0	0	0	0	0	0	0	0	0
Business Taxes	0	5,397	0	0	0	0	0	0	0	0	0
Other Taxes	0	1,072	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	2,067	0	775	0	0	457	18	39	14	94
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	0	49,905	0	775	0	0	457	18	39	14	94
Expenditures:											
Local Assistance/Grants	45,360	0	10	0	0	0	0	0	0	0	9
Departmental Operations	0	8,522	0	45	0	0	420	17	39	13	131
General State Charges	0	4,158	0	730	0	0	26	2	0	2	14
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	45,360	12,680	10	775	0	0	446	19	39	15	154
Other Financing Sources (Uses):											
Transfers From Other Funds	0	19,042	0	0	0	0	22	0	0	0	63
Proceeds From Financing Arrangements/Advance Refundings	(2,682)	(9,650)	0	0	0	0	(90)	0	0	(1)	(2)
Net Other Financing Sources (Uses)	0	9,392	0	0	0	0	(68)	0	0	(1)	61
Operating Surplus/(Deficit)	(48,042)	46,617	(10)	0	0	0	3	(1)	0	(2)	1
Revenues:	339	343	351	352	353	394	395	396	397	450	Total
Personal Income Tax	0	0	0	0	0	0	0	0	0	0	34,214
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	7,155
Business Taxes	0	0	0	0	0	0	0	0	0	0	5,397
Other Taxes	0	0	0	0	0	0	0	0	0	0	1,072
Miscellaneous Receipts	2,382	2	2	1	2	2	19	18	49	0	5,319
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	2,382	2	2	1	2	2	19	18	49	0	53,157
Expenditures:											
Local Assistance	2,163	0	0	0	0	0	0	0	0	0	47,542
Agency Operations	3,935	2	2	1	2	1	21	13	55	0	12,597
Fringe Benefits/Fixed Costs	1,685	1	0	0	0	1	4	7	10	0	6,640
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	7,783	3	2	1	2	2	25	20	65	0	66,779
Other Financing Sources (Uses):											
Transfers From Other Funds	5,939	0	0	0	0	0	0	8	12	0	19,705
Proceeds From Financing Arrangements/Advance Refundings	(775)	0	0	0	0	0	0	(4)	0	0	(5,381)
Net Other Financing Sources (Uses)	5,164	0	0	0	0	0	0	4	12	0	14,324
Operating Surplus/(Deficit)	(237)	(1)	0	0	0	0	(6)	2	(4)	0	(1,662)

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2018
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
		Special Revenue Funds	Other Funds	Special Revenue Funds	Other Funds						
Revenues:											
Taxes:											
Personal Income Tax	34,406	0	0	0	0	34,406	(192)	0	0	0	34,214
Consumption/Use Taxes	7,438	0	0	0	0	7,438	(283)	0	0	0	7,155
Business Taxes	5,718	0	0	0	0	5,718	(321)	0	0	0	5,397
Other Taxes	1,072	0	0	0	0	1,072	0	0	0	0	1,072
Miscellaneous Receipts	2,152	2,382	719	0	0	5,253	(32)	630	(622)	90	5,319
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
Total Revenues	50,786	2,382	719	0	0	53,887	(828)	630	(622)	90	53,157
Expenditures:											
Local Assistance Grants	47,069	2,163	9	0	0	49,241	(680)	0	0	(1,019)	47,542
Departmental Operations	8,177	4,184	718	0	0	13,079	3	(41)	(622)	178	12,597
General State Charges	5,789	1,685	69	0	0	7,543	1	671	0	(1,575)	6,640
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Expenditures	61,035	8,032	796	0	0	69,863	(676)	630	(622)	(2,416)	66,779
Other Financing Sources (Uses):											
Transfers From Other Funds	19,048	6,261	105	0	0	25,414	0	(5,339)	0	(370)	19,705
Transfers To Other Funds	(10,164)	(765)	(37)	0	0	(10,966)	0	5,339	0	(2,136)	(7,763)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	2,817	0	0	0	0
Net Other Financing Sources (Uses)	8,884	5,496	68	0	0	14,448	2,817	0	0	(2,506)	11,942
Excess (deficiency) Of Revenues And Other Financing Sources	(1,365)	(154)	(9)	0	0	(1,528)	2,665	0	0	0	(1,680)
Over Expenditures And Other Financing Uses	1,365	0	0	0	0	1,365	(1,365)	0	0	0	0
(Increase)/Decrease In Reserves	0	(154)	(9)	0	0	(163)	1,300	0	0	0	(1,680)
Operating Surplus/(Deficit)											

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS

FY 2018

(millions of dollars)

	CUNY (Fund 377)	SUNY (Fund 345)	MSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Revenues:										
Taxes	7,713	0	0	0	0	0	0	0	(56)	7,657
Public Health	0	0	0	0	0	4,925	0	0	0	4,925
Miscellaneous Receipts	16,956	(94)	(4,519)	(2,382)	0	(4,925)	0	0	42	1,693
Federal Receipts	54,323	0	0	0	5,464	0	0	0	143	59,930
Total Receipts	78,992	(94)	(4,519)	(2,382)	5,464	0	0	0	129	74,205
Expenditures:										
Local Assistance Grants	69,057	0	(2,163)	(142)	5,464	0	0	0	55	72,271
Departmental Operations	12,479	(117)	(5,685)	(877)	0	0	(339)	0	81	2,148
Fringe Benefits/Fixed Costs	2,588	0	(462)	(1,685)	0	0	0	0	12	445
Capital Projects	2	0	0	0	0	0	0	0	0	2
Total Disbursements	84,126	(117)	(6,147)	(8,032)	5,464	0	(339)	0	148	74,866
Other Financing Sources (Uses):										
Transfers From Other Funds	7,860	0	(1,797)	(6,261)	0	0	0	31	0	3,013
Transfers To Other Funds	(3,018)	0	200	765	0	0	(339)	(31)	0	(2,423)
Net Other Financing Sources (Uses)	4,842	0	(1,597)	(5,496)	0	0	(339)	0	0	590
Operating Surplus/(Deficit)	(292)	23	31	154	0	0	0	0	(19)	(17)

CASH TO GAAP CONVERSION TABLE

CAPITAL PROJECTS FUND

FY 2018

(millions of dollars)

	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Revenues:									
Taxes	1,327	0	0	0	0	0	0	0	1,327
Miscellaneous Receipts	6,942	(110)	(625)	(8)	0	0	(5,873)	71	497
Federal Receipts	2,246	0	0	0	0	0	0	0	2,246
Total Receipts	10,515	0	(110)	(8)	0	0	(5,873)	71	4,070
Expenditures:									
Local Assistance Grants	5,164	0	0	0	0	0	0	93	5,257
Capital Projects	7,947	(50)	(716)	(8)	0	716	0	152	7,931
Total Disbursements	13,111	(50)	(110)	(8)	0	716	0	245	13,188
Other Financing Sources (Uses):									
Transfers From Other Funds	3,056	(50)	(28)	0	0	0	0	0	2,978
Transfers To Other Funds	(1,466)	(2)	0	0	0	0	0	0	(1,468)
Proceeds Of GO Bonds	988	0	0	0	0	0	0	0	988
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	700	5,873	0	6,573
Net Other Financing Sources (Uses)	2,578	(52)	(28)	0	0	700	5,873	0	9,071
Operating Surplus/(Deficit)	(18)	(2)	(28)	191	0	(16)	0	(174)	(47)

CASH TO GAAP CONVERSION TABLE

DEBT SERVICE FUND

FY 2018

(millions of dollars)

	LGAC	Patient Fees	Reclass	SUNY/ CUNY/DS	System Accruals	Estimated GAAP Expenditures
Revenues:						
Taxes	20,252	0	0	0	17	20,269
Patient Fees	0	0	454	0	0	454
Miscellaneous Receipts	459	(1)	(454)	0	0	4
Federal Receipts	73	0	0	0	0	73
Total Receipts	20,784	(1)	0	0	17	20,800
Expenditures:						
Departmental Operations	37	0	0	0	0	37
Debt Service	5,319	0	(1,131)	0	0	4,188
Total Disbursements	5,356	0	(1,131)	0	0	4,225
Other Financing Sources (Uses):						
Transfers From Other Funds	3,765	0	0	0	0	3,765
Transfers To Other Funds	(19,190)	0	0	(1,131)	0	(20,321)
Net Other Financing Sources (Uses)	(15,425)	0	0	(1,131)	0	(16,556)
Operating Surplus/(Deficit)	3	(1)	0	0	17	19

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23749		New York State Commercial Gaming Fund	Special Revenue
23750-23799		Medical Marihuana Trust Fund	Special Revenue
23800-23899		Dedicated Miscellaneous Special Revenue Account	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Employment and Training Grants	Special Revenue
40350-40399	330	State University Dormitory Income Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
	118	Rail Preservation and Development Bond Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30709	119	State Housing Bond Fund	Capital Projects
30710-30719		Smart School Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
33050-33099		Dedicated Infrastructure Investment Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
62000-62049		SSP SSI Payment Escrow Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

