



FY 2017 Financial Plan Mid-Year Update

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November 2016



Table of Contents

Introduction	1
Significant Budgetary/Accounting Practices	2
Overview of the Updated Financial Plan	7
Financial Plan At-A-Glance: Key Measures	7
Summary.....	8
Multi-Year Financial Plan Revisions.....	12
Annual Spending Growth.....	18
General Fund Financial Plan	23
FY 2017 Financial Plan	23
Monetary Settlements.....	28
Other Matters Affecting the Financial Plan	35
State Financial Plan Projections Fiscal Years 2017 Through 2020	53
FY 2017 Mid-Year Operating Results	105
Glossary of Acronyms	119
Financial Plan Tables and Accompanying Notes	125

Introduction

Introduction

This is the Mid-Year Update to the Financial Plan (“Mid-Year Update” or “Updated Financial Plan”) for Fiscal Year (FY) 2017. Except for the specific revisions described herein, the projections in the Updated Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the First Quarterly Update to the Financial Plan (the “First Quarterly Update” or “Prior Financial Plan”) issued in August 2016. The State’s FY 2017 began on April 1, 2016 and ends on March 31, 2017. The Division of the Budget (DOB) expects to next update the State’s official Financial Plan projections with the FY 2018 Executive Budget.

The factors affecting the State’s financial condition are complex. This Updated Financial Plan contains forecasts, projections and estimates that are based on expectations and assumptions, which existed at the time they were prepared, and contain statements relating to future results and economic performance that are "forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Updated Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State's expectations and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, and analogous expressions are intended to identify forward-looking statements in this Updated Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Updated Financial Plan.

Significant Budgetary/Accounting Practices

Unless clearly noted otherwise, all financial information in this Updated Financial Plan is presented on a cash basis of accounting.

The State's **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTFF), the Lottery Fund, and the mental hygiene program and patient income accounts. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Updated Financial Plan is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically identified with the phrase "reserved for," and are not held in distinct accounts within the General Fund and may be used for other purposes.

State Operating Funds is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It includes financial activity not only in the General Fund, but also State-funded special revenue funds and debt service funds (spending from capital projects funds and Federal funds is excluded). As more financial activity occurred in funds outside of the General Fund, State Operating Funds became, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (e.g., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both of these fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursement projections often emphasizes the State Operating Funds perspective.

The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Updated Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP), although this requirement is for informational purposes. The GAAP-basis Financial Plan is not used by DOB as a benchmark for managing State finances during the fiscal year and is not updated on a quarterly basis. The GAAP-basis financial plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements. However, GAAP is a financial reporting regime, not a budgeting system.

The Updated Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on a number of assumptions and are developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Updated Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, taking into account the current and projected fiscal position of the State. The Updated Financial Plan projections for FY 2018 and thereafter, set forth in this Updated Financial Plan, reflect the savings that DOB estimates would be realized if the Governor continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending to no greater than 2 percent. Total disbursements in the Updated Financial Plan tables and narrative, contained in this Updated Financial Plan, do not reflect these assumed savings, which are instead reflected on a distinct line and labeled as "Adherence to 2 Percent Spending Benchmark." Updated Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors not known at this time. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.



Overview of the Updated Financial Plan

Financial Plan At-A-Glance: Key Measures

The following table provides certain Updated Financial Plan information for FY 2016 and FY 2017.

FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	FY 2016	FY 2017		
	Results	Enacted	First Quarter	Mid-Year
State Operating Funds Disbursements				
Size of Budget	\$94,288	\$96,180	\$96,214	\$96,156
Annual Growth	2.0%	2.0%	2.0%	2.0%
Other Disbursement Measures				
General Fund (Excluding Transfers)	\$56,666	\$59,681	\$59,586	\$59,205
Annual Growth	4.4%	5.3%	5.2%	4.5%
General Fund (Including Transfers) ¹	\$68,042	\$71,841	\$71,113	\$70,320
Annual Growth	8.3%	5.6%	4.5%	3.3%
State Funds (Including Capital)	\$101,232	\$106,302	\$105,787	\$105,767
Annual Growth	3.1%	5.0%	4.5%	4.5%
Capital Budget (Federal and State)	\$8,981	\$11,920	\$11,371	\$11,410
Annual Growth	19.0%	32.7%	26.6%	27.0%
Federal Operating Aid (Excluding Extraordinary Aid) *	\$40,601	\$40,054	\$40,169	\$40,158
Annual Growth	5.0%	-1.3%	-1.1%	-1.1%
All Funds (Excluding Extraordinary Aid) *	\$143,870	\$148,154	\$147,754	\$147,724
Annual Growth	3.8%	3.0%	2.7%	2.7%
Capital Budget (Including "Off-Budget" Capital ²)	\$9,549	\$12,723	\$12,174	\$12,213
Annual Growth	15.2%	33.2%	27.5%	27.9%
All Funds (Including "Off-Budget" Capital ²) *	\$144,438	\$148,957	\$148,557	\$148,527
Annual Growth	3.6%	3.1%	2.9%	2.8%
Inflation (CPI)	0.4%	1.4%	1.6%	1.5%
All Funds Receipts				
Taxes	\$74,673	\$77,128	\$76,502	\$75,763
Annual Growth	5.1%	3.3%	2.4%	1.5%
Miscellaneous Receipts	\$27,268	\$23,567	\$24,092	\$25,033
Annual Growth	-7.4%	-13.6%	-11.6%	-8.2%
Federal Grants *	\$44,486	\$43,700	\$43,813	\$44,197
Annual Growth	2.5%	-1.8%	-1.5%	-0.6%
Total Receipts *	\$146,427	\$144,395	\$144,407	\$144,993
Annual Growth	1.8%	-1.4%	-1.4%	-1.0%
General Fund Cash Balance	<u>\$8,934</u>	<u>\$6,069</u>	<u>\$6,489</u>	<u>\$6,884</u>
Stabilization/Rainy Day Reserve	\$1,798	\$1,798	\$1,798	\$1,798
Monetary Settlements	\$6,300	\$3,547	\$4,027	\$4,422
All Other Reserves/Fund Balances	\$836	\$724	\$664	\$664
State Workforce FTEs (Subject to Direct Executive Control) - All Funds	117,862	118,590	118,590	118,646
Debt				
Debt Service as % All Funds Receipts	4.0%	3.7%	3.9%	3.8%
State-Related Debt Outstanding	\$52,105	\$52,555	\$52,078	\$51,754
Debt Outstanding as % Personal Income	4.5%	4.4%	4.4%	4.3%
¹ Annual growth includes planned extraordinary transfer of monetary settlements from the General Fund to other funds. ² Represents capital spending that occurs outside the All Funds budget financed directly from State-supported bond proceeds held by public authorities. * All Funds and Federal Operating Funds receipts and disbursements exclude (a) Federal disaster aid for Superstorm Sandy and (b) additional Federal aid associated with Federal health care reform. Prior plans included an adjustment for spending funded from monetary settlements with financial institutions.				

Summary

DOB estimates that the General Fund will remain balanced on a cash basis in the current year. The Mid-Year Update reflects a reduction in expected tax collections that is fully offset in the current year by lower estimated spending and an increase in available resources. Consistent with the FY 2017 Enacted Budget Financial Plan (the “Enacted Budget Financial Plan”), the General Fund is expected to maintain \$1.8 billion in rainy day reserves, \$500 million for debt management, and smaller balances in other reserves. In addition, the General Fund includes \$1.1 billion in cash from monetary settlements that has not yet been appropriated, an increase of \$395 million compared to the First Quarterly Update. DOB expects that the FY 2018 Executive Budget proposal will include a plan for the use of these settlements.

Receipts

In the First Quarterly Update to the Financial Plan, DOB reduced the estimate for General Fund PIT collections by \$600 million in each year of the Financial Plan. At the time, it noted that “further downward revisions cannot be ruled out during the remainder of the fiscal year.” Now, with more than six months of the fiscal year over, PIT collections have continued to be disappointing, with actual General Fund PIT collections through September 2016 falling \$404 million below the estimate in the First Quarterly Update, and more than \$1.2 billion below the estimate in the Enacted Budget Financial Plan. Weakness in the PIT has been observed in both the withholding component, which largely comes from current wages, and the estimated payment component, which is typically from investment and business income. Accordingly, in this Mid-Year Update, DOB is again lowering its estimates for PIT collections in each year of the Financial Plan, based on both actual experience and updated economic information. In the current fiscal year, FY 2017, the annual estimate for PIT collections has been reduced by \$775 million. Collections growing from a lower FY 2017 tax base cause corresponding outyear reductions in estimated PIT receipts of \$826 million in FY 2018, \$883 million in FY 2019, and \$938 million in FY 2020. Other taxes are generally on track with initial expectations and no substantive revisions to the annual estimates are included in this Updated Financial Plan.

Disbursements

The expected loss of tax receipts is offset by substantial downward revisions to General Fund disbursements, including General Fund transfers to Other Funds. Based on a review of operating results through the first half of FY 2017 and updated data on State programs and activities, DOB has lowered its spending estimates in several areas, including mental hygiene, preschool special education, and higher education. General Fund disbursements in the current year are also being reduced across Financial Plan categories, reflecting the refinement of cautious estimates included with the Prior Financial Plan to create an informal reserve against risks such as those that appear to be materializing with tax receipts. In addition, expected General Fund transfers to the capital projects fund from the General Fund have been reduced, reflecting both lower capital spending for the year to date, and the reimbursement of bond-eligible costs from prior years with bond proceeds. Medicaid is expected to remain within the limits set by the Medicaid Global Spending Cap (the “Global Cap”), with State-share costs from growth in Essential Plan (EP) enrollment to date expected to be offset later in the current fiscal year with Federal reimbursement.

Other Developments

The State and the New York State Public Employees Federation (PEF) reached a tentative agreement on a three-year labor contract. The PEF Executive Board has approved the contract, which now must be ratified by the members and approved by the Legislature (in the form of a pay bill). The tentative agreement provides for a 2 percent annual increase in general salary for FY 2017, FY 2018 and FY 2019. DOB estimates that the contract, if approved, would increase costs by \$75 million in the first year of the contract, \$151 million in the second year, and \$229 million in the third year and each year thereafter. The Updated Financial Plan identifies \$90 million in the General Fund balance available for potential labor contracts.

The State is in active negotiations with its other employee unions, whose contracts concluded in FY 2016. Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose last salary increase was at the end of FY 2015. The State is prepared to negotiate fiscally responsible successor agreements with all State employee unions. For illustrative purposes, DOB estimates that if the terms of the tentative PEF contract were to be applied to all of the State's employee unions and unrepresented management/confidential (M/C) employees, it would result in new costs of \$270 million in the first year of the contract, \$537 million in the second year, and \$820 million in the third year and each year thereafter. These estimates include the cost of the tentative PEF agreement discussed above.

Since the First Quarterly Update, the Legislature has continued to deliver bills passed in the 2016 legislative session to the Governor for his review. On September 6, 2016, the Governor approved legislation that requires periodic testing of drinking water in public schools for lead contamination and authorizes additional State aid to reimburse school districts for a portion of the expenses associated with testing and remediation. The Updated Financial Plan assumes that costs will be accommodated within the currently planned level of School Aid. A limited number of other bills with a potential fiscal impact are expected to be delivered to the Governor in the coming months, and any that are approved will be reflected in future Financial Plan updates, as appropriate.

The Updated Financial Plan reflects State receipts from two recent monetary settlements. In August 2016, pursuant to a consent order entered into by the New York State Department of Financial Services (DFS) and Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively "Mega Bank"), Mega Bank paid the State \$180 million in monetary penalties. This consent order pertains to Mega Bank's failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York Banking Law. In November 2016, pursuant to a consent order entered into by the New York State DFS and the Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively "Agricultural Bank of China"), the Agricultural Bank of China is expected to pay the State \$215 million in civil monetary penalties. This consent order pertains to the Agricultural Bank of China's serious and persistent compliance failures.

The presidential election in the United States took place on November 8, 2016. A new administration will take office on January 20, 2017. It is not possible at this time to assess the potential fiscal impact of policies that may be proposed and adopted by the new administration and Congress. Certain financial projections concerning Federal aid, and the assumptions on which they are based, are subject to significant revision in future Financial Plan updates as more information becomes available about the new administration's proposals for health care, infrastructure, and other activities.

State Spending

State Operating Funds spending for FY 2017 is expected to remain at a level that is 2 percent greater than State Operating Funds spending results for FY 2016, consistent with the benchmark established by the current administration. DOB will continue to manage expenses with the goal of maintaining spending at the 2 percent benchmark.

The Updated Financial Plan projections for FY 2018 and thereafter are based on an assumption that the current Administration will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. The General Fund operating projections for FY 2018, FY 2019, and FY 2020 are calculated based on this assumption. The spending benchmark is calculated using the cash basis of accounting, as described elsewhere in this Financial Plan. It is therefore affected by the prepayment of expenses across fiscal years.

Current projections show a potential General Fund budget gap of \$689 million in FY 2018, \$2.1 billion in FY 2019 and \$1.7 billion million in FY 2020 if State spending growth were to continue at a rate of 2 percent in future years. The projected budget gaps in FY 2019 and FY 2020 are due to several factors, including the planned reversion of the top PIT rate to 6.85 percent from the current rate of 8.82 percent at the end of calendar year 2017, and the multi-year income tax reductions for middle-class taxpayers enacted in FY 2017.

The Governor is expected to propose an Executive Budget for FY 2018 in January 2017 that will be balanced on a cash basis in the General Fund, as required by law, and limit the projected growth in State Operating Funds to 2 percent, consistent with the spending benchmark.

Summary of Mid-Year Operating Results

General Fund receipts, including transfers from other funds, totaled \$34 billion through September 2016. Receipts through September 2016 were \$836 million below the Enacted Budget Financial Plan estimate, and \$12 million higher than the First Quarterly Update estimate. Tax collections were \$963 million below the Enacted Budget Financial Plan estimate, with a \$1.2 billion shortfall in PIT receipts offset in part by stronger results in other taxes. A similar pattern repeated itself in comparison to the First Quarterly Update estimate. All other receipts have been slightly better than expected. In the First Quarterly Update, DOB lowered the estimates for tax receipts by \$600 million annually in each year of the Financial Plan.

General Fund disbursements, including transfers to other funds, totaled \$33.4 billion through September 2016. Spending for the six months of FY 2017 has been higher than expected in both the Enacted Budget Financial Plan and First Quarterly Update, due almost exclusively to timing associated with the Medicaid and EP programs that are expected to neutralize in the remaining months of FY 2017. In the First Quarterly Update, DOB lowered the annual estimate for disbursements in FY 2017 by \$728 million compared to the Enacted Budget Financial Plan. Of this amount, \$450 million was due to a change in the timing of a planned transfer of settlement money to the New York State Thruway Authority (originally planned in the current year but now expected in FY 2018); the remaining amount reflected downward revisions to a range of spending estimates.

The General Fund ended September 2016 with a closing balance of \$9.6 billion, approximately \$1.2 billion below the Enacted Budget Financial Plan estimate and \$348 million below the First Quarterly Update estimate. Monetary settlements accounted for \$6.2 billion of the \$9.6 billion General Fund balance.

See “FY 2017 Mid-Year Operating Results” herein.

Multi-Year Financial Plan Revisions

General Fund

The following table summarizes the revisions to the multi-year Financial Plan since the First Quarterly Update. Descriptions of the changes follow the table below.

SUMMARY OF REVISIONS TO FIRST QUARTERLY FINANCIAL PLAN GENERAL FUND BUDGETARY BASIS OF ACCOUNTING SAVINGS/(COSTS) (millions of dollars)				
	FY 2017	FY 2018	FY 2019	FY 2020
FIRST QUARTERLY UPDATE SURPLUS/(GAPS) ¹	0	(207)	(1,472)	(1,022)
Receipts Revisions	(398)	(810)	(952)	(989)
Tax Receipts	(750)	(833)	(890)	(945)
Non-Tax Receipts ²	(43)	23	(62)	(44)
Mega Bank Settlement Payment	180	0	0	0
Agricultural Bank of China Settlement Payment	215	0	0	0
Disbursement Revisions	793	515	12	(392)
Local Assistance:	<u>390</u>	<u>478</u>	<u>196</u>	<u>42</u>
Health Care Revenue ³	140	70	70	70
Special Education	92	164	177	191
OPWDD	81	67	59	53
Higher Education	24	71	75	72
Lottery/VLT/Gaming Revenue ³	13	161	118	84
Minimum Wage	(31)	(167)	(326)	(427)
All Other Local Assistance	71	112	23	(1)
Agency Operations	<u>(9)</u>	<u>(219)</u>	<u>(386)</u>	<u>(515)</u>
Public Safety	(49)	(81)	(81)	(82)
Other Agency Operations	38	(54)	(56)	(63)
Fringe Benefits/Fixed Costs	2	(84)	(249)	(370)
Transfers to Capital Projects Funds	191	31	3	(28)
Transfers to Other Funds ²	221	225	199	109
Change in Reserves	(395)	0	0	0
Set Aside Bank Settlement Payments	(395)	0	0	0
Changes in Adherence to 2% Spending Benchmark	0	(187)	349	688
MID-YEAR UPDATE BUDGET SURPLUS/(GAPS)	0	(689)	(2,063)	(1,715)
Net Change from FY 2017 First Quarterly Update	0	(482)	(591)	(693)
¹ Includes savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2017 estimate. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.				
² Includes revisions to mental hygiene related transfers from and to the General Fund that do not change the General Fund in total.				
³ Includes savings estimated from higher resources available to fund cost outside of the General Fund with no impact on State Operating Funds spending.				

Receipts Revisions

The following describe the notable receipts revision since the First Quarterly Update.

- **Tax Receipts:** PIT receipts through the first half of the fiscal year, were considerably lower than expected, mainly for withholding and estimated payments, which has led to a downward adjustment to projected PIT receipts of \$775 million in the current year and similar, but larger reductions in the following years of the plan. After accounting for potential timing issues, performance across most of the State's other taxes has been consistent with Enacted Budget Financial Plan estimates, thus requiring only modest changes. The largest change is an upward revision of \$25 million in business taxes for FY 2017 only.
- **Non-Tax Receipts:** Certain reimbursements and transfers from other State funds have been revised based on results to date, and updated programmatic forecasts and information. The most significant changes include a reduction in debt service costs due to actual bond sale results to date and the refunding of certain outstanding debt that will increase the transfer of PIT receipts back to the General Fund in FY 2018 and fund sweeps from other funds with available balances based on updated information.
- **Bank Settlements:** The following settlements have been set aside, along with other settlements that have not yet been appropriated, as an undesignated reserve in the General Fund.
 - Mega Bank paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank's failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York Banking Law.
 - The Agricultural Bank of China will pay the State a \$215 million civil monetary penalty in accordance with a November 4, 2016 consent order between DFS and the Bank. This consent order pertains to the Agricultural Bank of China's serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for vigorous compliance infrastructure, and inadequate attentions to the state of compliance.

Disbursements Revisions

The revisions to General Fund disbursements are based on a review of operating results to date and updated information on programs and activities. Significant revisions are summarized below, by Financial Plan category.

Local Assistance: Projected General Fund disbursements for local assistance have been lowered in each year of the Financial Plan, with significant downward revisions in FY 2017 and FY 2018 in a number of areas gradually offset by costs in health care related to the scheduled increases in the minimum wage. The reductions reflect DOB's cautious estimation of General Fund disbursements.

- Notable multi-year revisions include:
 - **Health Care Revenue:** Based on experience to date and trends in health care use, the estimates for hospital surcharge and provider assessment revenue have been revised upward in each year of the Financial Plan, which permits an increase in Medicaid costs that can be funded from HCRA instead of the General Fund.
 - **Preschool Special Education:** Costs are growing more slowly than expected in the First Quarterly Update. This is primarily the result of lower than expected utilization of the program.
 - **Office for People with Developmental Disabilities (OPWDD):** Downward revisions reflect increased recoupments from providers due to retroactive implementation of Intermediate Care Facility rates, which were pending with the Federal government beyond the effective date.
 - **Higher Education:** Projected spending has been revised downward due to updated enrollment and participation data for the State University of New York (SUNY) community colleges and the Higher Education Services Corporation (HESC) administered programs including the Tuition Assistance Program (TAP), certain scholarships, and loan forgiveness programs.
 - **Lottery/Video Lottery Terminal (VLT)/Gaming Revenue:** Based on experience to date and revised projections of future casino revenues the estimates for lottery, VLT and gaming receipts have been revised upward in each year of the Financial Plan, which permits an increase in education costs that can be funded outside of the General Fund.

- **Minimum Wage:** DOB has updated its estimates for the direct State costs of the minimum wage to reflect the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in Westchester, New York, Nassau, and Suffolk counties will be increased commensurate to the schedule of statutory minimum wage increases.¹ In addition, an updated analysis of wage data within the health care sector, including a review of actual experience, demonstrates a need for additional funding to support higher levels of incremental wage growth.

In addition, the estimated cost of the human services Cost-of-Living Adjustment (COLA) has been revised downward, based on updated inflation data, affecting the local aid estimates for mental hygiene, health care, and social service agencies.

- **Agency Operations (including Fringe Benefits):** Projected General Fund disbursements for agency operations, including fringe benefits, have been increased in each year of the Financial Plan. The increase in operations in FY 2017 and FY 2018 is mainly due to the recurring cost of new public safety initiatives. Growth in FY 2019 and FY 2020 reflect updated costs for pensions and judgments and claims.
 - **Public Safety:** The increased costs reflect the addition of new recruits to the March 2016 and October 2016 State Police Academy classes, and heightened security at bridges, tunnels, and airports.
 - **Fringe Benefits/Fixed Costs:** The October 2016 pension bill was higher than projected for FY 2017 and the FY 2018 estimate. Pension costs are projected to grow in the later years of the Plan, reflecting DOB’s expectations concerning the salary base and investment returns. These additional costs are partly offset by lower health care spending in FY 2017 and FY 2018. The cost estimate for the Veterans’ Pension Credit has also been revised downward to reflect a two-year lag in the billing of the Police and Fire Retirement System (PFRS) normal costs, rather than an upfront payment of the past service liability, as well as an updated schedule of veterans opting into the credit based on experience to date. Court settlements are also expected to be higher than planned based on updated information from the Attorney General’s Office.

¹ Home health care workers in these counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York; \$3.22 for Westchester, Nassau, and Suffolk), resulting in total compensation which would have otherwise exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.

- **Transfers to Capital Projects Funds:** General Fund transfers to Capital Projects Funds are expected to be \$191 million lower than previously anticipated mainly due to higher than expected capital reimbursements from bond proceeds in FY 2017 and reduced levels of spending. This decrease is partly offset by an increase to support Department of Transportation (DOT) operations in FY 2018 and beyond, including cost related to welcome center maintenance, higher salt prices, and snow and ice management. Additionally, capital spending has been added for City of Newburgh water contamination and remediation, the purchase of homes in the Mohawk Valley that were damaged by flooding, and a grant program intended to assist business impacted by the attempted terrorist bombing in New York City to be administered by the Department of Homeland Security & Emergency Services (DHSES).
- **Transfers to Other Funds:** Federal revenue was increased to reflect Medicaid rate adjustments for services to individuals with intellectual and/or developmental disabilities. As a result of the new Federal revenue, General Fund operating transfers to support OPWDD services will decline by a commensurate amount. Additionally, based on a recent OPWDD provider survey, there was a modest reduction in the direct cost of minimum wage for OPWDD-funded voluntary service providers. In addition, a reduction in debt service costs due to actual bond sale results to date and the refunding of certain outstanding debt will decrease transfers in FY 2018 and certain reimbursement and transfer to other State funds have been reduced based on results to date and updated financing requirements.

Change in Reserves

- **Set Aside Mega Bank and Agricultural Bank of China Settlements:** Proceeds received or expected by the State from the Mega Bank and Agricultural Bank settlements are expected to be added to the existing balance of monetary settlements that have not been appropriated. After this addition, the total balance set aside is expected to total \$1.1 billion.

Spending Changes

Many of the disbursement changes in the General Fund described above have a corresponding impact on State Operating Funds, with the exception of the transfers to the Mental Hygiene program due to increased Federal revenue. The table below summarizes the spending changes.

STATE OPERATING FUNDS SPENDING CHANGES SINCE THE FIRST QUARTERLY UPDATE				
INCREASE/(DECREASE)				
(millions of dollars)				
	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>
FIRST QUARTERLY UPDATE¹	96,214	101,169	104,811	108,635
Total	(58)	(246)	289	627
Special Education	(90)	(150)	(162)	(175)
Higher Education	(24)	(104)	(104)	(100)
Fringe Benefits/Fixed Costs	(2)	84	249	370
Public Safety	34	75	74	75
Child Care/Child Welfare	38	(20)	20	32
Human Services Cost of Living Adjustment	0	(92)	(92)	(92)
Minimum Wage	31	167	326	427
Debt Service	(3)	(132)	(2)	(2)
Mental Hygiene	(57)	(45)	(19)	17
All Other Changes	15	(29)	(1)	75
MID-YEAR UPDATE¹	96,156	100,923	105,100	109,262

¹ Before savings estimated from limiting annual spending growth in future years to 2 percent.

Annual Spending Growth

DOB estimates that spending in State Operating Funds will grow at 2.0 percent from FY 2016 to FY 2017, consistent with the 2 percent spending benchmark adopted by the current Administration in FY 2012. The table below illustrates the major sources of annual change in State spending by major program, purpose, and fund perspective.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2016 Results	FY 2017 Updated	Annual Change	
			\$	%
LOCAL ASSISTANCE	62,653	64,657	2,004	3.2%
School Aid (School Year Basis)	23,290	24,797	1,507	6.5%
DOH Medicaid ¹	17,453	18,171	718	4.1%
Transportation	4,745	4,934	189	4.0%
STAR	3,335	3,228	(107)	-3.2%
Social Services	2,949	2,973	24	0.8%
Higher Education	2,955	2,985	30	1.0%
Mental Hygiene	2,646	2,466	(180)	-6.8%
All Other ²	5,280	5,103	(177)	-3.4%
STATE OPERATIONS/FRINGE BENEFITS	26,035	26,421	386	1.5%
Agency Operations	18,583	18,714	131	0.7%
Personal Service:	<u>12,981</u>	<u>12,941</u>	<u>(40)</u>	<u>-0.3%</u>
Executive Agencies	7,236	7,257	21	0.3%
Extra Bi-Weekly Institutional Pay Period	163	0	(163)	n/a
University Systems	3,675	3,728	53	1.4%
Elected Officials	1,907	1,956	49	2.6%
Non-Personal Service:	<u>5,602</u>	<u>5,773</u>	<u>171</u>	<u>3.1%</u>
Executive Agencies	2,747	2,883	136	5.0%
University Systems	2,279	2,282	3	0.1%
Elected Officials	576	608	32	5.6%
Fringe Benefits/Fixed Costs	7,452	7,707	255	3.4%
Pension Contribution	2,225	2,464	239	10.7%
Health Insurance	3,465	3,683	218	6.3%
Other Fringe Benefits/Fixed Costs	1,762	1,560	(202)	-11.5%
DEBT SERVICE	5,598	5,075	(523)	-9.3%
CAPITAL PROJECTS	2	3	1	50.0%
TOTAL STATE OPERATING FUNDS	94,288	96,156	1,868	2.0%
Capital Projects (State and Federal Funds)	8,981	11,410	2,429	27.0%
Federal Operating Aid ³	40,601	40,158	(443)	-1.1%
TOTAL ALL GOVERNMENTAL FUNDS³	143,870	147,724	3,854	2.7%

¹ Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The Essential Plan is not a Medicaid program; however, State-funded support is managed within total DOH Medicaid Global Cap resources.

² "All Other" includes public health, other education, local government assistance, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending for School Aid. On a State Fiscal Year basis, School Aid is estimated to total \$24.4 billion in FY 2017, an increase of \$1.12 billion from FY 2016.

³ Federal Operating Funds and All Funds disbursements exclude extraordinary aid for Federal health care reform and Superstorm Sandy. All Funds disbursements, including these purposes, are expected to total \$156.5 billion in FY 2017, an increase of 3.8 percent.

All Funds spending, which includes spending from capital funds and Federal funds, is expected to increase by 2.7 percent from FY 2016 to FY 2017, excluding extraordinary Federal aid related to disaster-related costs and Federal health care transformation.

TOTAL DISBURSEMENTS (millions of dollars)				
	FY 2016 Results	FY 2017 Updated	Annual Change	Annual % Change
STATE OPERATING FUNDS	94,288	96,156	1,868	2.0%
General Fund (excluding transfers)	56,666	59,205	2,539	4.5%
Other State Funds	31,987	31,837	(150)	-0.5%
Debt Service Funds	5,635	5,114	(521)	-9.2%
ALL GOVERNMENTAL FUNDS	143,870	147,724	3,854	2.7%
State Operating Funds	94,288	96,156	1,868	2.0%
Capital Projects Funds	8,981	11,410	2,429	27.0%
Federal Operating Funds (Excluding Extraordinary Aid)	40,601	40,158	(443)	-1.1%
ALL GOVERNMENTAL FUNDS (INCL. EXTRAORDINARY AID)	150,708	156,480	5,772	3.8%
Federal Disaster Aid for Superstorm Sandy	1,165	1,160	(5)	-0.4%
Federal Health Care Reform	5,673	7,596	1,923	33.9%
GENERAL FUND (INCLUDING TRANSFERS)	68,042	70,320	2,278	3.3%
STATE FUNDS	101,232	105,767	4,535	4.5%

General Fund Financial Plan

FY 2017 Financial Plan

DOB estimates that the Updated Financial Plan provides for balanced operations in the General Fund in FY 2017. General Fund disbursements are projected to exceed receipts by \$172 million. The difference is funded with \$87 million from the FY 2016 undesignated General Fund balance, \$75 million from the balance set aside for labor settlements, and \$10 million from the Community Projects Fund.

The following table summarizes the projected annual change from FY 2016 to FY 2017 in General Fund receipts, disbursements, and fund balances, with and without the impact of monetary settlements.

GENERAL FUND FINANCIAL PLAN (millions of dollars)				
	FY 2016 Results	FY 2017 Updated	Annual Change	
			Dollar	Percent
Opening Fund Balance (Excluding Monetary Settlements)	2,633	2,634	1	0.0%
Total Receipts	<u>66,336</u>	<u>67,827</u>	<u>1,491</u>	<u>2.2%</u>
Taxes	62,581	63,865	1,284	2.1%
Miscellaneous Receipts/Federal Grants	2,800	2,744	(56)	-2.0%
Other Transfers	955	1,218	263	27.5%
Total Disbursements	<u>66,335</u>	<u>67,999</u>	<u>1,664</u>	<u>2.5%</u>
Local Assistance Grants	43,314	45,379	2,065	4.8%
Agency Operations	13,352	13,826	474	3.6%
Transfers to Other Funds ¹	9,669	8,794	(875)	-9.0%
Net Change in Operations	1	(172)	(173)	-17300.0%
Closing Fund Balance (Excluding Monetary Settlements)	<u>2,634</u>	<u>2,462</u>	<u>(172)</u>	<u>-6.5%</u>
Monetary Settlements				
Settlements on Hand as of April 1	4,667	6,300		
New Settlements Received	3,605	608		
Transfers/Uses	(1,972)	(2,486)		
Closing Fund Balance (Including Monetary Settlements)	<u>8,934</u>	<u>6,884</u>	<u>(2,050)</u>	<u>-22.9%</u>

¹ Excluded are transfers of monetary settlement receipts from the General Fund to (a) the Dedicated Infrastructure Investment Fund (\$857 million in FY 2016 and \$901 million in FY 2017); (b) the mental hygiene account to fund a portion of a Federal disallowance for the Office for People with Developmental Disabilities (OPWDD) (\$850 million in FY 2016); (c) the Environmental Protection Fund (\$120 million in FY 2017); and (d) the temporary use of settlement funds to make cash advances for certain capital programs in FY 2017 (\$1.3 billion).

As shown in the table above, the State expects to end FY 2017 with a General Fund cash balance of \$6.9 billion, a decrease of \$2 billion from FY 2016 results. The decline is due to the change in monetary settlements on hand. DOB intends to make transfers of monetary settlements on an as-needed basis over the next five years as spending occurs. Legislation included with the FY 2017 Enacted Budget provides transfer authority from the General Fund to the Dedicated Infrastructure Investment Fund (DIIF) for five years.

Receipts (Excluding Monetary Settlements)

General Fund receipts, including transfers from other funds, are projected to total \$67.8 billion in FY 2017, an increase of \$1.5 billion (2.2 percent) from FY 2016 results. Tax collections, including transfers of tax receipts to the General Fund after payment of debt service, are estimated to total \$63.9 billion in FY 2017, an increase of \$1.3 billion (2.1 percent) from FY 2016 results.

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to total \$43.5 billion, an increase of \$1.4 billion (3.2 percent) from FY 2016 results. This primarily reflects an increase in withholding and the acceleration of tax refund payments from FY 2017 into FY 2016.

General Fund consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$12.6 billion in FY 2017, an increase of \$306 million (2.5 percent) from FY 2016 results, reflecting projected growth in taxable consumption.

General Fund business tax receipts are estimated at \$5.8 billion in FY 2017, an increase of \$128 million (2.3 percent) from FY 2016 results. The estimate reflects increased bank tax receipts stemming from a reduced number of prior period adjustments, slightly offset by declines across all other statutorily imposed business tax components.

Other tax receipts in the General Fund are expected to total \$2 billion in FY 2017, a decrease of \$507 million (-20.2 percent) from FY 2016 results. This primarily reflects an extraordinary level of estate tax collections in FY 2016 that are not expected to recur.

General Fund non-tax receipts and transfers are estimated at \$4.0 billion in FY 2017, an increase of \$207 million from FY 2016 results. The increase is primarily due to transfers from a variety of accounts that have accumulated resources in prior years.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Projections Fiscal Years 2017 Through 2020" herein.

Disbursements (Excluding Monetary Settlements)

General Fund disbursements, including transfers to other funds, are expected to total \$68 billion in FY 2017, an increase of \$1.7 billion (2.5 percent) from FY 2016². Local assistance grants are expected to total \$45.4 billion in FY 2017, an annual increase of \$2.1 billion (4.8 percent) from FY 2016, including \$955 million for School Aid (on a State fiscal year basis), \$395 million for Medicaid and the EP, and \$148 million for education programs outside of School Aid. Other increases reflect anticipated payments for a range of social services, public health, and general purpose aid programs, as well as accounting reclassifications that have the effect of moving spending between financial plan categories and across fund types.

Disbursements for agency operations, including fringe benefits and fixed costs, in the General Fund are expected to total \$13.8 billion in FY 2017, an increase from FY 2016 of \$474 million (3.6 percent). Most executive agencies are expected to hold spending at FY 2016 levels. This increase reflects expected increases for employee health insurance costs and the State's annual pension payment, as well as costs for the Department of Health (DOH) to operate the New York State of Health (NYSOH) health benefit exchange, continue the transition of administrative functions from local service districts to the State, and operate the new EP. In addition, operating costs for many agencies are charged to several funds, as well as affected by offsets and accounting reclassifications.

General Fund transfers to other funds are estimated to total \$8.8 billion in FY 2017, a decrease of \$875 million from FY 2016. This change is comprised mainly of a lower level of transfers for debt service, which is primarily due to FY 2016 payments of FY 2017 expenses.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Projections Fiscal Years 2017 Through 2020" herein.

² Note that the State continues to adhere to a 2 percent annual growth in spending benchmark on a State Operating Funds basis.

Closing Balance for FY 2017

DOB projects that the State will end FY 2017 with a General Fund cash balance of \$6.9 billion, a decrease of \$2 billion from FY 2016. The balance from monetary settlements is expected to total \$4.4 billion, a decrease of \$1.9 billion from FY 2016. The decrease reflects the expected pace of disbursements for initiatives funded with settlements. The General Fund cash balance excluding settlements is estimated to be \$2.5 billion, or \$172 million lower than FY 2016 results. The change reflects use of Community Projects Fund resources to support estimated spending (\$10 million), balance set aside for prior-year labor settlements (\$75 million) and resources carried in from FY 2016 (\$87 million).³

Balances in the State’s principal "rainy day" reserves, the Tax Stabilization Reserve and the Rainy Day Reserve, are expected to remain unchanged in FY 2017.

The Updated Financial Plan maintains a reserve of \$500 million for debt management purposes in FY 2017, unchanged from the level held at the end of FY 2016. DOB will decide on the use of these funds based on market conditions, Updated Financial Plan needs, and other factors. The Fund balance for labor agreements is likely to be used if the PEF contract described earlier is ratified and a pay bill is passed by the Legislature.

TOTAL BALANCES (millions of dollars)			
	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Updated</u>	<u>Annual</u> <u>Change</u>
TOTAL GENERAL FUND BALANCE	8,934	6,884	(2,050)
Statutory Reserves:			
"Rainy Day" Reserve	1,798	1,798	0
Community Projects	63	53	(10)
Contingency Reserve	21	21	0
Fund Balance Reserved for:			
Debt Management	500	500	0
Labor Agreements	15	90	75
Undesignated Fund Balance	237	0	(237)
Monetary Settlements	<u>6,300</u>	<u>4,422</u>	<u>(1,878)</u>
Programmed	5,755	3,332	(2,423)
Unbudgeted	545	1,090	545

³ The undesignated fund balance carried forward from FY 2016 totaled \$237 million of which \$87 million was planned for use in FY 2017 and \$150 million was set aside for potential costs of labor agreements (\$60 million has been used leaving \$90 million remaining).

Cash Flow

The State authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State’s governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2017 to make all planned payments as they become due. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

ALL FUNDS MONTH-END CASH BALANCES¹			
FY 2017			
(millions of dollars)			
	General	Other	All
	Fund	Funds	Funds
April	10,893	3,337	14,230
May	7,751	4,338	12,089
June	7,210	5,010	12,220
July	6,765	5,735	12,500
August	6,229	5,958	12,187
September	9,566	3,861	13,427
October	8,877	4,171	13,048
November	7,126	3,391	10,517
December	9,152	3,561	12,713
January	10,444	5,538	15,982
February	10,878	4,759	15,637
March	6,884	2,729	9,613

1 The balances reported for April through September are results as reported by OSC. The balances for October through March are DOB estimates.

Monetary Settlements

From FY 2015 through FY 2017, DOB estimates that the State will have received a total of \$9.2 billion in monetary settlements with financial institutions and Volkswagen. The following table lists the settlements by firm and amount.

SUMMARY OF RECEIPTS OF SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)				
	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>Total</u>
Monetary Settlements	4,942	3,605	608	9,155
BNP Paribas	<u>2,243</u>	<u>1,348</u>	<u>0</u>	<u>3,591</u>
Department of Financial Services (DFS)	2,243	0	0	2,243
Asset Forfeiture (DANY)	0	1,348	0	1,348
Deutsche Bank	0	800	0	800
Credit Suisse AG	715	30	0	745
Commerzbank	610	82	0	692
Barclays	0	670	0	670
Credit Agricole	0	459	0	459
Bank of Tokyo Mitsubishi	315	0	0	315
Bank of America	300	0	0	300
Standard Chartered Bank	300	0	0	300
Goldman Sachs	0	50	190	240
Morgan Stanley	0	150	0	150
Bank Leumi	130	0	0	130
Ocwen Financial	100	0	0	100
Citigroup (State Share)	92	0	0	92
MetLife Parties	50	0	0	50
American International Group, Inc.	35	0	0	35
PricewaterhouseCoopers	25	0	0	25
AXA Equitable Life Insurance Company	20	0	0	20
Promontory	0	15	0	15
New Day	0	1	0	1
Volkswagen	0	0	30	30
Mega Bank	0	0	180	180
Agricultural Bank of China	0	0	215	215
Other Settlements	7	0	(7)	0

Uses of Monetary Settlements

The Updated Financial Plan reflects the Executive’s intention to continue applying the majority of the settlements to fund capital investments and nonrecurring expenditures. The Enacted Budget Financial Plan reflects the authorized transfer of monetary settlements over a five-year period to DIIF to finance various appropriated purposes (\$6.4 billion), as well as \$120 million to the Environmental Protection Fund (EPF).

As reflected in the table below, other uses include \$850 million to resolve Federal OPWDD disallowances in FY 2016 and a portion of the monetary settlements is being used to support General Fund operations, as previously planned, as well as operational costs of the Department of Law’s Litigation Services Bureau.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
Opening Settlement Balance in General Fund	0	4,667	6,300	4,422	3,071	1,871	1,139	0
Receipt of Settlement Payment	4,942	3,605	608	0	0	0	0	9,155
Use/Transfer of Funds	275	1,972	2,486	1,351	1,200	732	49	8,065
Capital Purposes:								
Transfer to Dedicated Infrastructure Investment Fund	0	857	901	2,151	1,700	732	49	6,390
Transfer to Environmental Protection Fund	0	0	120	0	0	0	0	120
Transfer to/(from) Capital Projects Fund	0	0	1,300	(800)	(500)	0	0	0
Other Purposes:								
Transfer to Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	850
Financial Plan - General Fund Operating Purposes	275	250	102	0	0	0	0	627
Department of Law - Litigation Services Operations	0	10	63	0	0	0	0	73
Transfer to OASAS Chemical Dependence Program	0	5	0	0	0	0	0	5
Closing Settlement Balance in General Fund	4,667	6,300	4,422	3,071	1,871	1,139	1,090	1,090

DOB expects to use monetary settlements received to date (and expected to be received from the Agricultural Bank of China) to fund projects and activities over several years, allowing the State to carry a large, by historical standards, cash balance available in FY 2017 and FY 2018. The State plans to use these resources to make cash advances for certain capital programs in FY 2017 (\$1.3 billion) and FY 2018 (\$500 million). The cash advances are expected to be reimbursed fully with bond proceeds by the end of FY 2019. These bond-financed programs include higher education, economic development, and transportation programs.

The following purposes will be funded with \$6.5 billion of monetary settlement collections from capital appropriations⁴:

Thruway Stabilization (\$2.0 billion): The Updated Financial Plan continues to reflect investments in Thruway infrastructure adding \$700 million to last year's commitment of \$1.3 billion. The investment will support both the *New New York Bridge* project and other transportation infrastructure needs for the rest of the Thruway system.

Upstate Revitalization Program (\$1.7 billion): Funding for the Upstate Revitalization Initiative (URI). In 2015, \$1.5 billion was awarded to the three Upstate regions selected as URI best plan awardees. The Updated Financial Plan includes an additional \$200 million (\$170 million from monetary settlements) to support projects in the remaining four eligible Upstate regions.

Affordable and Homeless Housing (\$640 million): The Updated Financial Plan supports a multi-year investment in affordable housing services, and provides housing opportunities for individuals and families who are homeless or at risk of homelessness. Funds will be invested over the next five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.

Broadband Initiative (\$500 million): Funding is included in the Updated Financial Plan for the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.

Health Care/Hospitals (\$400 million): The Updated Financial Plan provides \$355 million in grants to essential health care providers that facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities, to create a financially sustainable system of care intended to promote a patient-centered model of health care delivery. An essential health care provider is a hospital or hospital system that offers health services in a region deemed by the Health Commissioner to be underserved. Funding may be used to restructure debt obligations or fund capital improvements to facilitate mergers and consolidations of hospitals in rural communities. The Updated Financial Plan also funds capital expenses of the Roswell Park Cancer Institute (\$15.5 million); a community health care revolving loan (\$19.5 million); and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).

⁴ The funding of \$6.5 billion is reflected in the multi-year totals for transfers to DIIF (\$6.39 billion) and the EPF (\$120 million).

Penn Station Access (\$250 million): The Metropolitan Transportation Authority (MTA) Penn Station Access project, which will open a new Metro-North link directly into Penn Station, is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx.

Transportation Capital Plan (\$200 million): The Updated Financial Plan allocates funds to transportation infrastructure projects across the State.

Municipal Restructuring and Consolidation Competition (\$170 million): The Updated Financial Plan includes \$20 million in funding for a new Municipal Consolidation Competition to encourage the reduction of costs through a competitive process to be administered by the Department of State (DOS). This funding is in addition to \$150 million allocated last year to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and property tax burdens.

Resiliency, Mitigation, Security, and Emergency Response (\$150 million): The Updated Financial Plan funds preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters.

Transformative Economic Development Projects (\$150 million): The Updated Financial Plan includes funds for investment that are intended to catalyze private investment, spurring economic development and job creation to help strengthen the economies in the communities in Nassau and Suffolk counties.

Infrastructure Improvements (\$115 million): Funding is included in the Updated Financial Plan for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.

Economic Development (\$85 million): The Updated Financial Plan continues to reflect funding for the economic development strategy of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

Southern Tier/Hudson Valley Farm Initiative (\$50 million): Funding is included in the Updated Financial Plan to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.

Empire State Poverty Reduction Initiative (ESPRI) (\$25 million): To combat poverty throughout the State, the Updated Financial Plan includes \$25 million for the ESPRI. This program will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.

EPF (\$120 million): The Updated Financial Plan directs monetary settlement resources to the EPF. These and other EPF resources would provide dedicated funding to communities throughout New York State to improve the environment, combat climate change, and reduce greenhouse gas emissions.

Monetary settlement resources will be used as a temporary advance to: (i) meet initial funding requirements for the Javits expansion project and (ii) support \$1.3 billion of bond-financed capital disbursements. The table below shows the schedule for these temporary uses.

TEMPORARY USE OF MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS (millions of dollars)						
	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>	<u>Total</u>
Total Settlement Funds Replenished/(Used)	(1,300)	640	150	180	330	0
Transfer to DIIF for Javits Center Expansion	0	(160)	(350)	(320)	(170)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
Management of Debt Issuances	(1,300)	800	500	0	0	0

Javits Expansion: Spending for the Javits expansion will be supported by settlement funds in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed by proceeds from bonds that are planned to be issued in FYs 2020 and 2021.

Management of Debt Issuances: A total of \$1.3 billion of capital spending for higher education, transportation and economic development will be funded initially from the settlement fund balances set aside in the General Fund. These funds will be made available for the projects appropriated from DIIF when the State reimburses the \$1.3 billion of spending with bond proceeds anticipated in FY 2018 (\$800 million) and FY 2019 (\$500 million). As a result of these reimbursements, it is anticipated that transfers from the General Fund to support the Capital Projects Fund will be lower in FYs 2018 and 2019 by \$800 million and \$500 million, respectively.



Other Matters Affecting the Financial Plan

General

The State's Updated Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Updated Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecasted. In addition, projections in future years are based on the assumption that annual growth in State Operating Funds spending is limited to 2 percent, and that all savings that result from the 2 percent limit will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across fiscal years, assisting in the adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, and adhere to the limit of the State's 2 percent spending benchmark.

The Updated Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts reflect the impacts of: national and international events; ongoing financial instability in the Euro-zone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt on consumer spending and State tax collections; and the outcome of litigation and other claims affecting the State.

Among other factors, the Updated Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Updated Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Updated Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Updated Financial Plan projections for the outyears assume that School Aid and Medicaid disbursements will be limited to the annual growth in NYS personal income, and the ten-year average growth of the medical component of the consumer price index (CPI), respectively. However, the budgets enacted for FYs 2014 through FY 2017 authorized spending for School Aid to increase above personal income growth that would otherwise be used to calculate the school year increases. The FY 2017 Enacted Budget Financial Plan includes a 6.5 percent School Aid increase, compared to the personal income indexed rate of 3.9 percent.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the Updated Financial Plan. Over the past five years, DOH State Funds Medicaid spending levels have been maintained at or below indexed levels. However, Medicaid program spending is sensitive to a number of factors including fluctuations in economic conditions, which may increase caseload. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. However, these actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds with General Fund spending remaining sensitive to revenue performance in the State's HCRA fund (which finances approximately one-quarter of the DOH State-share costs of Medicaid).

The forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of miscellaneous revenues at the levels expected in the Updated Financial Plan, and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Updated Financial Plan in current or future years.

In developing the Updated Financial Plan, DOB attempts to mitigate the financial risks from volatility, litigation, and unexpected costs, with a particular emphasis on the General Fund. It does this by, among other things, calculating total General Fund disbursements cautiously (i.e., to a level they are unlikely to reach) and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a given year, acceleration of tax refunds above the level budgeted in a given year, and prepayment of expenses). There can be no assurance that the tools available to mitigate risks are sufficient to address risks that may materialize in a given fiscal year.

Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to address response to, and recovery from, severe weather events and other disasters. Despite modest legislative adjustments to the budgetary caps contained in the Budget Control Act of 2011, the possibility for a reduction in Federal support is elevated so long as the caps remain in place. Any reduction in Federal funding levels could have a materially adverse impact on the Updated Financial Plan. In addition, the Updated Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. Issues of particular concern are described below.

Medicaid Redesign Team (MRT) Medicaid Waiver

The Federal Centers for Medicare & Medicaid Services (CMS) and the State has authorized up to \$8 billion in new Federal funding, over several years, to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the Affordable Care Act (ACA), New York State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS to refine the eligibility data systems to draw the appropriate amount of enhanced FMAP. This reconciliation may result in a modification of payments to the State and local governments.

Federal Debt Ceiling

In October 2013, an impasse in Congress caused a temporary Federal government shutdown and raised concern for a time that the Federal debt ceiling would not be raised in a timely manner. Including the temporary suspension of the debt limit that ended that standoff in 2013, Congress has passed three suspensions of the debt limit since then, the most recent extending through March of 2017.

A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and State economies, financial markets, and intergovernmental aid payments. The specific effects on the Updated Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

ACA - Excise Tax on High-Cost Employer-Sponsored Health Coverage (“Cadillac Tax”)

The “Cadillac Tax” is a 40 percent excise tax to be assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The tax was passed into law in 2010 as a component of the Federal ACA. That law was amended in December 2015 to delay the effective date of the tax from calendar year 2018 to calendar year 2020. Final guidance from the Internal Revenue Service is pending. DOB has no current estimate as to the potential financial impact on the State from this Federal excise tax.

Current Labor Negotiations (Current Contract Period)

The State and the New York State Public Employees Federation (PEF) reached a three-year tentative agreement – pending ratification by its membership – that includes general salary increases of 2 percent in each year (FY 2017, FY 2018 and FY 2019). This agreement follows the one-year retroactive labor agreement authorizing payment of a 2 percent general salary increase to members for the period April 1, 2015 through March 31, 2016.

The New York State Police Investigators Association (NYSPIA) recently achieved a multi-year collective bargaining agreement patterned after the State’s 2015 legislative session deals with the State Police Troopers and Commissioned- and Non-Commissioned Officers. The recently enacted NYSPIA pay bill will provide the same schedule of general salary increases provided to The Police Benevolent Association of the New York State Troopers (NYSBPBA) members; specifically, a 2 percent general salary increase for each of FY 2015 and FY 2016, in their entirety, and a 1.5 percent general salary increase for each of FY 2017 and FY 2018, respectively.

The State is in active negotiations with all other employee unions, whose contracts concluded in FY 2016, including the Civil Service Employees Association (CSEA), United University Professions (UUP), the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), Council 82, District Council 37 (DC-37 Housing) and the Graduate Student Employees Union (GSEU). Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose last salary increase was at the end of FY 2015.

The State is prepared to negotiate fiscally responsible successor agreements with all of these unions. The State Operating Funds cost of providing a 1 percent general salary increase effective in FY 2017 for PEF, PBANYS, CSEA, UUP, NYSCOPBA, Council 82, DC-37 Housing and GSEU and unrepresented M/C employees is approximately \$130 million annually.

On June 27, 2016, the City University of New York (CUNY) Board of Trustees approved collective bargaining agreements between CUNY and unions representing almost all of the University's faculty and staff. For CUNY senior colleges, these agreements are estimated to cost approximately \$250 million for retroactive payments and \$150 million in ongoing annual costs. At the request of CUNY, to make resources available for retroactive payments in the academic year ending June 2017, the State expects to advance its planned payment from October 2017 to June 2017, of approximately \$250 million planned State support for CUNY senior colleges.

Pension Amortization

Background

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

The State is required to begin repayment on each new amortization in the fiscal year immediately following the year in which the deferral was made. The full amount of each amortization, with interest, must be repaid within ten years, but the amount can be paid off sooner. The annual interest rate on each new amortization is determined by OSC, and is fixed for the entire term of the deferral. Legislation included in the FY 2017 Enacted Budget authorizes the State to prepay annual installments of principal associated with an amortization, prior to the expiration of the amortization repayment schedule, and thus only be required to make the related interest payments during the subsequent fiscal years associated with such prepayments. This option does not allow the State to extend the ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

For amounts amortized in FY 2011 through FY 2016, the State Comptroller set interest rates of 5 percent, 3.75 percent, 3 percent, 3.67 percent, 3.15 percent and 3.21 percent, respectively. The normal annual employer contribution to the New York State and Local Retirement System (NYSLRS) is based on rates established by the NYSLRS Actuary using the annual fund valuation and actuarially prescribed policies and procedures. Employer contribution rates are established for both the Employees' Retirement System (ERS) and the Police and Fire Retirement System (PFRS). These rates are then applied to the State-employee salary base for each respective employee group. The State's normal annual contribution is the total bill, excluding payments for deficiency, group life, previous amortizations, incentive costs, administrative costs, and prior-year adjustments.

The amortization rates (i.e., the graded rates) for ERS and PFRS are determined by a formula enacted in the 2010 legislation. The respective graded rates always move toward their system's average normal rate by up to one percentage point per year. When the average normal rate is more than 1 percentage point greater than the graded rate, the use of the amortization program reduces the portion of the normal contribution that is payable immediately. The balance of the normal contribution may be amortized. However, when the graded rate equals or exceeds the normal average rate, amortization is not allowed. Additionally, when the graded rate is more than

one percentage point greater than the average normal rate, the employer is required to pay the graded rate. Any additional contributions are first used to pay off existing amortizations. If all amortizations have been paid, any excess is deposited into a reserve account and used to offset future increases in contribution rates. The amortization threshold is projected to approximate the normal rate in upcoming fiscal years. Therefore, the Updated Financial Plan no longer assumes amortization of State and the Office of Court Administration (OCA) pension costs beyond FY 2016.

For both the ERS and the PFRS, the following table provides: i) system average normal rates; and ii) amortization (graded) rates.

Fiscal Year (FY)	New York State Employees' Retirement System (ERS)		New York State Police and Fire Retirement System (PFRS)	
	System Average Normal Rates (GLIP Portion) ¹	System Graded Rates (does not apply to GLIP)	System Average Normal Rates (GLIP Portion)	System Graded Rates (does not apply to GLIP)
2011	11.9 (0.4)	9.5	18.2 (0.1)	17.5
2012	16.3 (0.4)	10.5	21.6 (0.0)	18.5
2013	18.9 (0.4)	11.5	25.8 (0.1)	19.5
2014	20.9 (0.4)	12.5	28.9 (0.0)	20.5
2015	20.1 (0.4)	13.5	27.6 (0.1)	21.5
2016	18.2 (0.5)	14.5	24.7 (0.0)	22.5
2017	15.5 (0.4)	15.1	24.3 (0.0)	23.5
2018	15.3 (0.4)	14.9	24.4 (0.1)	24.3

Outyear Projections

All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS (ERS and PFRS) updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the System announced that employer contribution rates would decrease for FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed — for ERS the scale was reduced from 4.8 percent to 3.8 percent and for PFRS the scale was reduced from 5.4 percent to 4.5 percent.

The FY 2017 ERS/PFRS pension estimate of \$2.2 billion incorporates the most recent estimate prepared by OSC as of October 2016. This includes payment of prior amortizations totaling \$432 million and additional interest savings from paying the majority of the non-Judiciary and Judiciary pension bills in April 2016. Total payment estimates include both the non-Judiciary and Judiciary components, and reflect payment of the entire pension bill, with no additional amortization.

The pension estimate also reflects changes to military service credit provisions enacted during the 2016 legislative session (Chapter 41 of the Laws of 2016), allowing all veterans who are members of a New York State or Local Retirement System to receive extra pension credit for up to three years of military service if they were honorably discharged, have achieved five years of service in a public retirement system, and agree to pay the employee share of such additional

pension credit. Costs to the State for employees in ERS will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year, while costs for employees in PFRS will be distributed across system employers and billed on a two-year lag (e.g., FY 2017 costs will be billed in FY 2019). Additionally, under Section 25 of Retirement and Social Security Law (RSSL), the State is required to pay the ERS employer contributions associated with this credit on behalf of local governments. The State is also permitted to amortize the first year of past service costs associated with this credit; however, the State has not yet chosen this option as the application experience will not be documented until December 31, 2016, and there would be an interest rate of 7 percent applied to this amortization. DOB currently estimates the cost to the State for ERS (including the costs covered for local ERS) to be \$82 million in FY 2017; \$100 million in FY 2018; \$79 million in FY 2019; and \$49 million in FY 2020. Additionally, the State expects ongoing costs of \$7 million beginning in FY 2021 as new cohorts of veterans become eligible to purchase the credit.

The preliminary FY 2018 ERS/PFRS pension estimate is impacted by FY 2016 investment returns of 0.2 percent, which was significantly below the Comptroller’s assumed rate of return (7 percent). However, the past year’s underperformance will be offset by strong investment returns in the previous four years and growth in the number of less expensive Tier 6 members. As a result, the average contribution rate for ERS will decrease slightly from 15.5 percent of payroll to 15.3 percent (a decrease of approximately 1.3 percent), while the average contribution rate for PFRS will increase slightly from 24.3 percent of payroll to 24.4 percent (an increase of approximately 0.4 percent).

The following table provides aggregate pension costs across all the various systems associated with State employees: i) ERS for both the Executive branch and Judiciary; ii) PFRS for the Executive; iii) Teachers’ Retirement System (TRS) for both SUNY and the State Education Department (SED); iv) Optional Retirement Program (ORP) for both SUNY and SED; and v) NYS Voluntary Defined Contribution Plan (VDC). Amortization (graded) rates, deferrals and repayments are provided for ERS (Executive and Judiciary) and PFRS (Executive).

STATE PENSION COSTS AND AMORTIZATION SAVINGS (millions of dollars)						
Fiscal Year	Amortization Thresholds (Graded Rate)		Gross Pension Costs	Statewide Pension Payments*		
	ERS (%)	PFRS (%)		(Amortization Amount)/ Excess Contributions	Repayment of Amortization (incl. FY 2005 and FY 2006)	Total Statewide Pension Payments
2011	9.5	17.5	1,633	(250)	87	1,470
2012	10.5	18.5	2,140	(563)	119	1,696
2013	11.5	19.5	2,192	(779)	188	1,601
2014	12.5	20.5	2,744	(937)	279	2,086
2015	13.5	21.5	2,438	(713)	393	2,118
2016	14.5	22.5	2,189	(356)	392	2,225
2017	15.1	23.5	2,032	0	432	2,464
2018	14.9	24.3	2,172	0	432	2,604
2019	15.6	25.3	2,214	0	432	2,646
2020	16.6	26.3	2,329	0	432	2,761

* Includes ERS, PFRS, TRS, ORP, and VDC.

The next table reflects projected pension contributions and amortizations exclusively for the Executive branch and Judiciary employees participating in the ERS and PFRS. The “Normal Costs” column shows the amount of the State’s pension cost prior to amortization, as authorized in 2010. The “(Amortized) / Excess Contributions” column shows amounts deferred (i.e., amortized) or payments of outstanding contingencies. The “Amortization Payments” column provides the amount paid in a given fiscal year (principal and interest on deferrals) as authorized in 2010. The “Total” column provides the State’s actual or planned pension contribution, net of amortization.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM ¹				
IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS				
(millions of dollars)				
Fiscal Year	Normal Costs ²	(Amortized)/Excess Contributions	Amortization Payments	Total
Results:				
2011	1,543.2	(249.6)	0.0	1,293.6
2012	2,037.5	(562.8)	32.3	1,507.0
2013	2,076.1	(778.5)	100.8	1,398.4
2014	2,633.8	(937.0)	192.0	1,888.8
2015	2,325.8	(713.1)	305.6	1,918.3
Projections:				
2016	1,972.2	(356.2)	389.9	2,005.9
2017	1,799.5	0.0	432.1	2,231.6
2018	1,944.2	0.0	432.1	2,376.3
2019	1,982.6	0.0	432.1	2,414.7
2020	2,093.0	0.0	432.1	2,525.1
2021	2,316.7	0.0	432.1	2,748.8
2022	2,484.4	0.0	399.8	2,884.2
2023	2,510.4	0.0	331.3	2,841.7
2024	2,536.5	0.0	240.1	2,776.6
2025	2,562.8	0.0	126.4	2,689.2
2026	2,588.8	0.0	42.2	2,631.0
2027	2,614.9	0.0	0.0	2,614.9
2028	2,641.0	0.0	0.0	2,641.0
2029	2,650.0	0.0	0.0	2,650.0
2030	2,657.4	0.0	0.0	2,657.4
2031	2,663.1	0.0	0.0	2,663.1

¹ Pension contribution values in this table do not include pension costs related to the Optional Retirement Program and Teachers' Retirement System for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

² Normal costs include payments from amortizations prior to FY 2011, which ended in FY 2016 as a result of early repayments.

Net Pension Liability

The State recognizes new Governmental Accounting Standards Board (GASB) Statement 68 (Accounting and Financial Reporting for Pensions), which replaces the requirements of GASB Statement 27 and GASB Statement 50, and is incorporated into the State's FY 2016 Basic Financial Statements. GASB Statement 68 requires governments providing defined pensions to recognize their long-term obligation for pension benefits as a liability for the first time, and to more comprehensively and comparably measure the annual costs of pension benefits. The State's net pension liability related to the New York State and Local ERS and the New York State and Local PFRS, as reported in the State's financial statements for FY 2016, is \$1.6 billion (\$1.4 billion for the State; \$180 million for SUNY; and \$2 million for Lottery). GASB Statement 68 is not expected to alter DOB's Updated Financial Plan projections for pension payments, and the DOB methodology for forecasting these costs over a multiyear period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the statement.

Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State and are enrolled in the New York State Health Insurance Program (NYSHIP), or are enrolled in the NYSHIP opt-out program at the time they reach retirement and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the GASB Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2016, the State's Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2016, the unfunded actuarial accrued liability for FY 2016 is \$77.9 billion (\$63.426 billion for the State and \$14.427 billion for SUNY), an increase of \$494 million from FY 2015 (attributable entirely to SUNY). The unfunded actuarial accrued liability for FY 2016 used an actuarial valuation of OPEB liabilities as of April 1, 2014 for the State, and for SUNY. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. Driving a significant portion of the annual growth in the State's unfunded actuarial accrued liability is the adoption of new generational mortality projection tables developed by the Society of Actuaries, reflecting an improvement in life expectancy in future years, and resulting in increases to accrued liabilities and the present value of projected benefits. Also driving a portion of the annual growth are the expected increases in NYSHIP costs due to health care cost trends and utilization increases.

The actuarially determined annual OPEB cost for FY 2016 totaled \$4.2 billion (\$3.246 billion for the State and \$926 million for SUNY), an increase of \$1.166 billion from FY 2015 (\$959 million for the State and \$207 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$2.567 billion (\$1.905 billion for the State and \$662 million for SUNY) greater than the cash payments for retiree costs made by the State in FY 2015. This difference between the State's PAYGO costs, and the actuarially-determined ARC under GASB Statement 45, reduced the State's net asset condition at the end of FY 2016 by \$2.6 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the Updated Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Updated Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The State is also currently examining GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, and is expected to be incorporated into the State's FY 2019 financial statements. The Office of the State Comptroller is responsible for implementing GASB 75. The GASB Statement 75 will alter the actuarial methods used to calculate OPEB liabilities, standardize asset smoothing and discount rates, and require the unfunded net OPEB obligation to be reported by the State. The inclusions of the remaining balance of the unfunded OPEB liability is expected to significantly increase the State's total long-term liabilities and act to lower the State's overall Net Position.

GASB Statement 75 is not expected to alter Updated Financial Plan projections for health insurance, as the DOB methodology for forecasting these costs over a multi-year period already incorporate factors and considerations consistent with the new actuarial methods and calculations required by the statement.

Litigation

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the Updated Financial Plan.

Storm Recovery

In recent years, New York State has sustained damage from three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties. In September 2011, Tropical Storm Lee caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response are being processed, and both recovery and future mitigation efforts have begun, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding and mitigation activity nationwide. It is anticipated that New York State, MTA, and New York State localities may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across New York State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events, including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed for adapting existing infrastructure to climate change risks.

Financial Condition of New York State Localities

The financial demands on State aid may be affected by the fiscal conditions of New York City and potentially other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews, and by providing grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit www.frb.ny.gov.

Bond Market

Implementation of the Updated Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other things. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt.

Debt Reform Act Limit

The Debt Reform Act of 2000 ("Debt Reform Act") restricts the issuance of State-supported debt to capital purposes only, and for maximum terms of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001, and was fully phased in at 4 percent of personal income during FY 2011. The cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001, and was fully phased in at 5 percent during FY 2014.

The statute requires that the limitations on the amount of State-supported debt and debt service costs be calculated by October 31 of each year and reported in the Mid-Year Financial Plan. If the actual amount of new State-supported debt outstanding and debt service costs for the prior fiscal year are below the caps at this time, State-supported debt may continue to be issued. However, if either the debt outstanding or debt service caps are met or exceeded, the State would be precluded from issuing new State-supported debt until the next annual cap calculation is made and debt is found to be within the applicable limitations.

For FY 2016, the cumulative debt outstanding and debt service caps are 4.00 and 5.00 percent, respectively. As shown in the following tables, the actual levels of debt outstanding and debt service costs continue to remain below the statutory caps. From April 1, 2000 through March 31, 2016, the State has issued new debt resulting in \$40.8 billion of debt outstanding applicable to the debt reform cap. This is about \$5.6 billion below the statutory debt outstanding limitation. In addition, the debt service costs on this new debt totaled \$4.1 billion in FY 2016, or roughly \$3.5 billion below the statutory debt service limitation.

DEBT OUTSTANDING CAP (millions of dollars)		
	Dollar	Percent
Personal Income (CY 2015) ¹	1,161,414	
Max. Allowable Debt Outstanding	46,457	4.00%
Debt Outstanding Subject to Cap	40,814	3.51%
Remaining Capacity	5,642	0.49%

¹ Bureau of Economic Analysis (BEA).

DEBT SERVICE CAP (millions of dollars)		
	Dollar	Percent
All Funds Receipts (FY 2016)	153,265	
Max. Allowable Debt Service	7,663	5.00%
Debt Service Subject to Cap	4,147	2.71%
Remaining Capacity	3,516	2.29%

DOB projects that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$5.9 billion in FY 2017 to \$1.5 billion in FY 2020. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. In addition, the projected room under the debt cap is dependent on the expected growth rate for personal income. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue credit (which are not backed by a general obligation pledge of SUNY) are not included in the State's calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)							
Year	Personal Income			Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity
	Income	Cap %	Cap \$				
FY 2016	1,161,414	4.00%	46,457	40,814	5,642	3.51%	0.49%
FY 2017	1,213,259	4.00%	48,530	42,637	5,893	3.51%	0.49%
FY 2018	1,273,398	4.00%	50,936	47,866	3,070	3.76%	0.24%
FY 2019	1,336,862	4.00%	53,474	51,539	1,935	3.86%	0.14%
FY 2020	1,401,620	4.00%	56,065	54,563	1,502	3.89%	0.11%
FY 2021	1,467,375	4.00%	58,695	57,004	1,691	3.88%	0.12%

DEBT SERVICE SUBJECT TO CAP (millions of dollars)							
Year	All Funds Receipts			Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity
	Receipts	Cap %	Cap \$				
FY 2016	153,265	5.00%	7,663	4,147	3,516	2.71%	2.29%
FY 2017	153,748	5.00%	7,687	4,300	3,388	2.80%	2.20%
FY 2018	159,664	5.00%	7,983	4,701	3,282	2.94%	2.06%
FY 2019	160,832	5.00%	8,042	5,348	2,693	3.33%	1.67%
FY 2020	164,935	5.00%	8,247	5,848	2,399	3.55%	1.45%
FY 2021	171,721	5.00%	8,586	6,189	2,397	3.60%	1.40%

TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
9,415	50,229
8,030	50,667
6,801	54,667
5,760	57,299
4,885	59,449
3,413	60,417

TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
1,432	5,579
750	5,050
1,409	6,110
1,407	6,755
1,368	7,216
1,253	7,442

Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, which include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2016, there were approximately \$257 million of bonds outstanding for this program.

The financial condition of hospitals in the State's Secured Hospital Program continues to deteriorate. Of the remaining financially distressed hospitals, one is experiencing significant operating losses that have impaired its ability to remain current on its loan agreement with DASNY. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014 when \$12 million was paid, and again in FY 2015 and FY 2016 when \$24 million and \$19 million were paid, respectively. DASNY also estimates the State will pay debt service costs of approximately \$25 million in FY 2017, and approximately \$14 million annually in FY 2018 through FY 2021. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for the hospital that currently is not meeting the terms of its loan agreement with DASNY as mentioned above, a second financially distressed hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, and a third hospital that is now closed. The State has estimated additional exposure of up to \$24 million annually, if all financially distressed hospitals failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

SUNY Downstate Hospital and the Long Island College Hospital (LICH)

In May 2011, the New York State Supreme Court issued an order that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation (“Holdings”), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn. In 2012, DASNY issued tax exempt State PIT Revenue Bonds (“PIT Bonds”), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property. The structure of the settlement also increased the likelihood that sufficient proceeds from the transaction would be available to support defeasance of the PIT Bonds by setting a minimum purchase price.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with FPG Cobble Hill Acquisitions, LLC (the “Purchaser”), an affiliate of Fortis Property Group, LLC (“Fortis”) (also party to the agreement), which proposes to purchase the LICH property, and with NYU Hospitals Center which will provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all of the assets of Holdings was approved by the State Supreme Court in Kings County. The initial closing was held as of September 1, 2015 and on September 3, 2015, sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds which were paid and legally defeased from such proceeds. Title to 17 of the 20 properties was conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing) is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The external demolition of the buildings had been the subject of a court ordered restraint that was removed as of October 29, 2015. In its efforts to complete the demolitions and environmental remediation, the Purchaser has continued to deal with challenges raised by adjoining property owners and community groups. These challenges have delayed, and may continue to delay, demolition and environmental remediation.

As the NMS Closing did not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other things, the Purchaser can identify a replacement provider with a confirming letter of interest to provide certain of the healthcare services expected to be provided by NYU Hospitals Center.

To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement. As an alternative to termination, in light of the delays, each of Holdings and NYU Hospitals Center has the contractual right at any time to take over and complete the demolition and environmental remediation at the Purchaser's sole cost and expense. If Holdings elects to take over the demolition and environmental remediation, it may do so directly or through a designee (i.e., a contractor).

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, title to the two remaining portions of the LICH properties, will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the construction of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



**State Financial Plan Projections
Fiscal Years 2017 Through 2020**

Introduction

This section presents the State's multi-year Updated Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FYs 2017 through FY 2020, with an emphasis on the FY 2017 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 40 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, transportation, and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of this Updated Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2017 budget, FY 2018, is the most relevant from a planning perspective.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Summary

The Updated Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to the 2 percent spending benchmark.

The projections for FY 2018 and thereafter set forth in the Updated Budget Financial Plan reflect the savings that DOB estimates would be realized if the current Administration continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The estimated savings are labeled on a distinct line in the Updated Financial Plan tables as “Adherence to 2 percent Spending Benchmark.” The total disbursements in the Updated Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State exceeds the 2 percent State Operating Funds spending benchmark in FY 2017, FY 2018, FY 2019, and FY 2020, the projected budget gaps would be higher.

The following tables present the Updated Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
RECEIPTS					
Taxes (After Debt Service)	62,581	63,865	66,822	66,791	69,469
Miscellaneous Receipts/Federal Grants	5,842	3,187	2,486	2,448	2,334
Other Transfers	1,253	1,218	716	715	699
Total Receipts	69,676	68,270	70,024	69,954	72,502
DISBURSEMENTS					
Local Assistance Grants	43,314	45,379	48,489	51,399	54,408
School Aid	20,133	21,088	22,418	23,778	25,128
Medicaid/EP	12,136	12,531	13,622	14,696	15,799
All Other	11,045	11,760	12,449	12,925	13,481
State Operations	7,955	8,259	8,806	8,656	8,802
Personal Service	6,011	6,055	6,174	6,211	6,280
Non-Personal Service	1,944	2,204	2,632	2,445	2,522
General State Charges	5,397	5,567	6,010	6,384	6,848
Transfers to Other Funds	11,376	11,115	11,604	11,837	12,110
Debt Service	1,196	703	1,257	1,181	1,058
Capital Projects	2,721	3,619	3,438	3,396	3,339
State Share of Mental Hygiene Medicaid	2,036	1,432	1,303	1,242	1,129
SUNY Operations	998	996	1,001	997	997
All Other	4,425	4,365	4,605	5,021	5,587
Total Disbursements	68,042	70,320	74,909	78,276	82,168
Adherence to 2% Spending Benchmark¹	n/a	n/a	2,844	5,059	7,220
Use (Reservation) of Fund Balance:	(1,634)	2,050	1,352	1,200	731
Community Projects	11	10	0	0	0
Labor Agreements	35	75	0	0	0
Undesignated Fund Balance	(47)	87	0	0	0
Monetary Settlements ²	(1,633)	1,878	1,352	1,200	731
Programmed	(1,088)	2,423	1,352	1,200	731
Unbudgeted	(545)	(545)	0	0	0
BUDGET SURPLUS/(GAP) PROJECTIONS	0	0	(689)	(2,063)	(1,715)

¹ Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2017 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, Budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.

² FY 2016 and FY 2017 reflect transfers of monetary settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund (\$857 million in FY 2016 and \$901 million in FY 2017); the Environmental Protection Fund (\$120 million in FY 2017); and the mental hygiene account for Federal disallowance repayment (\$850 million in FY 2016).

State Financial Plan Projections Fiscal Years 2017 Through 2020



State Operating Funds Projections

STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
RECEIPTS					
Taxes	73,279	74,461	77,755	78,496	81,587
Miscellaneous Receipts/Federal Grants	23,328	19,967	18,823	18,960	18,677
Total Receipts	96,607	94,428	96,578	97,456	100,264
DISBURSEMENTS					
Local Assistance Grants	62,653	64,657	67,454	70,573	73,600
School Aid (School Year Basis)	23,290	24,797	25,906	27,219	28,599
DOH Medicaid	17,453	18,171	19,107	20,174	21,157
Transportation	4,745	4,934	5,023	5,090	5,181
STAR	3,335	3,228	2,977	2,921	2,869
Higher Education	2,955	2,985	2,994	3,055	3,095
Social Services	2,949	2,973	2,986	3,058	3,096
Mental Hygiene	2,646	2,466	2,944	3,359	3,635
All Other ¹	5,280	5,103	5,517	5,697	5,968
State Operations	18,583	18,714	19,100	19,079	19,232
Personal Service	12,981	12,941	13,006	13,109	13,216
Non-Personal Service	5,602	5,773	6,094	5,970	6,016
General State Charges	7,452	7,707	8,242	8,679	9,200
Pension Contribution	2,225	2,464	2,604	2,647	2,761
Health Insurance	3,465	3,683	3,931	4,268	4,556
All Other	1,762	1,560	1,708	1,765	1,883
Debt Service	5,598	5,075	6,125	6,769	7,230
Capital Projects	2	3	2	0	0
Total Disbursements	94,288	96,156	100,923	105,100	109,262
Net Other Financing Sources/(Uses)	432	(774)	(245)	(250)	(133)
Adherence to 2% Spending Benchmark²	n/a	n/a	2,844	5,059	7,220
RECONCILIATION TO GENERAL FUND GAP					
Designated Fund Balances:	(2,751)	2,502	1,057	772	196
General Fund	(1,634)	2,050	1,352	1,200	731
Special Revenue Funds	(1,075)	485	(186)	(334)	(374)
Debt Service Funds	(42)	(33)	(109)	(94)	(161)
GENERAL FUND BUDGET SURPLUS/(GAP)	0	0	(689)	(2,063)	(1,715)

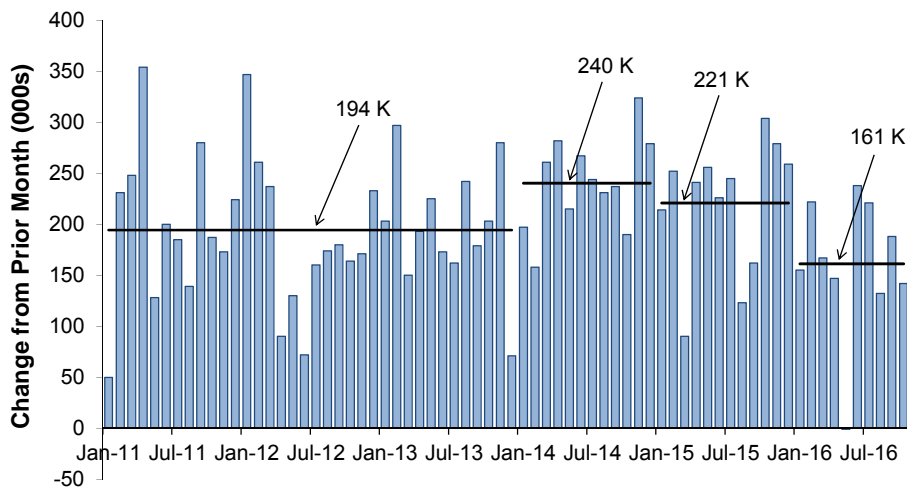
¹ All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School aid.

² Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2017 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, projected budget gaps would be higher.

The National Economy

The U.S. economy staged a modest rebound in the third quarter, growing 2.9 percent following three quarters of sub-par growth averaging a mere 1.0 percent. However, the most recent data reveal a pullback in household spending, declines in both residential and business equipment investment, and a one-time anomaly in the export data, all of which suggest that growth in the neighborhood of 3 percent is not sustainable. A strong dollar and slowing job and housing markets suggest slower growth over the near-term. DOB projects growth of 2.2 percent for the fourth quarter and 1.5 percent for the year overall, marginally below the First Quarterly Update estimate.

Slowing Private Job Gains Signal Continued Slow Growth



Source: Moody's Analytics.

The most recent data reinforce the slowdown in the national labor market since the second half of last year. Monthly private sector job gains averaged a still solid 161,000 over the first ten months of 2016, but down from 221,000 in 2015. However, the job numbers tell only a part of the story. About 50 percent of the job growth thus far in 2016 has been concentrated in three relatively low-wage/low productivity sectors: healthcare and social assistance; leisure and hospitality; and wholesale and retail trade; their share of recent gains far outstrips their 39 percent share of the workforce overall. Moreover, the share of the workforce employed part-time remains elevated relative to pre-recession levels. These labor market characteristics are consistent with the extraordinarily weak output growth observed since the fourth quarter of last year. DOB's outlook calls for total nonagricultural employment growth of 1.7 percent for 2016, unchanged from our First Quarterly Update forecast, and a significant deceleration from 2.1 percent growth in 2015.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Consumer spending has shown remarkable improvement over the life of the expansion, but that improvement has been insufficient to propel overall economic growth much beyond 2 percent on a sustained basis. After two weak quarters, real household spending growth rebounded by an impressive 4.3 percent during the second quarter of 2016, only to fall back to 2.1 percent in the third. DOB expects moderate growth averaging about 2.4 percent going forward, consistent with solid but slowing job growth and measured wage gains of just above 4 percent. The most recent light vehicle sales data signal that unit sales are likely to be flat at best relative to 2015, with pre-election uncertainty possibly having restrained other areas of discretionary household spending during the month of October. Real growth in household spending of 2.3 percent is estimated for the calendar year quarter in progress, implying growth of 2.6 percent for 2016 as a whole, virtually unchanged from the First Quarterly Update and down from 3.2 percent in 2015.

U.S. ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2015 (Actual)	2016 (Estimated)	2017 (Forecast)
Real U.S. Gross Domestic Product	2.6	1.5	2.4
Consumer Price Index (CPI)	0.1	1.2	2.2
Personal Income	4.4	3.4	4.3
Nonagricultural Employment	2.1	1.7	1.4
Source: Moody's Analytics; DOB staff estimates.			

After a prolonged period of activist monetary policy around the globe, there are signs that global growth prospects have stabilized. Legal developments could stall the anticipated adverse impact of Brexit on both the UK and EU economies, while the deceleration in the growth of the Chinese economy appears to have subsided. Consequently, real growth in U.S. exports for 2016 remains unchanged at 0.5 percent, following 0.1 percent growth in 2015. Moreover, with oil prices continuing to hover close to \$45 per barrel, and equipment investment outside of the energy sector remaining weak, DOB continues to estimate that real non-residential fixed investment contracted 0.1 percent in 2016.

Outside of energy costs, consumer prices related to shelter, medical care, and education have been on the rise. DOB now estimates consumer price inflation of 1.2 percent for 2016, marginally below the First Quarterly Update forecast. But with the outlook for both domestic and global growth still tepid, inflation expectations are still expected to remain, on average, below the Federal Reserve Board's target rate over the medium-term. With persistently weak business investment spending, a slow-growing global economy, only modest improvement in wage growth, and the 10-year Treasury yield remaining stubbornly below 2 percent, the Federal Reserve is expected to implement a federal funds rate hike in December, followed by only two rate hikes in 2017.

Although DOB calls for a subdued pace of growth going forward, there are still significant risks to this forecast. If global growth is even more sluggish than expected, slower export and corporate profits growth than reflected in this forecast could result and even weaker equity market growth could follow. If the labor market should slow more significantly and domestic demand decelerate further than anticipated, the current expansion's growth engine – the U.S. consumer – could run out of steam, compounding the impact from slow growth abroad. Uncertainty surrounding the future policies of the new administration adds yet one more layer of risk. In contrast, if the actions of central banks around the globe to stimulate their economies are more effective than expected, export, profits, and equity market growth could be stronger than projected. Finally, the response of both domestic and global financial markets to the unwinding of the Federal Reserve's unprecedentedly accommodative policies will continue to pose a risk, possibly resulting in a return of the extreme volatility observed in the first quarter of this year.

The New York State Economy

New York private sector labor market growth continues to hold steady, despite a weak national and global backdrop. The most recent detailed data indicate continued robust growth in transportation and warehousing, construction and real estate services, health care, education, and professional and business services. As a result, DOB has revised the estimate for private sector job growth for 2016 slightly upward to 1.7 percent. With the most recent data indicating stronger than expected public sector job growth, total employment growth for 2016 was also revised upward to 1.6 percent.

Continued strong job growth leaves non-bonus wage growth virtually unchanged at 4.3 percent for FY 2017, but recent financial market turbulence has altered the near-term bonus outlook. Although we estimate that the finance sector saw much stronger revenue growth in the third quarter of 2016 than observed in the first half of the year, financial market conditions became much less favorable toward the end of the third quarter, with equity market prices now slightly below their most recent mid-August peak. As a result, the current environment has become less auspicious for initial public offerings (IPOs) and other critical revenue generating activity. Moreover, policy-related uncertainty is likely to result in even more volatility and could have an adverse impact on market conditions going forward. Consequently, DOB has revised FY 2017 bonus growth downward to 0.6 percent, following a decline of 8.4 percent for FY 2016. Overall wage growth for FY 2017 has been correspondingly revised down to 4.1 percent.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior State fiscal year)			
	FY 2016 (Actual)	FY 2017 (Estimated)	FY 2018 (Forecast)
Personal Income	3.8	4.6	5.0
Wages	4.3	4.1	4.4
Nonagricultural Employment	1.9	1.4	1.3

Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.

State Financial Plan Projections Fiscal Years 2017 Through 2020



The performance of the State's private-sector labor market remains robust, but there are significant risks to the forecast. All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. If equity market and finance industry revenue growth prove to be weaker than anticipated, bonus payouts for the 2016-17 bonus season could be much lower than anticipated. Moreover, under the still evolving regulatory environment, the pattern of Wall Street bonus payouts continues to shift, with payments now more widely dispersed throughout the year. Taxable payouts can represent both current-year awards and deferred payments from prior years, with the deferral ratio itself proving to be unstable. As a result, the uncertainty surrounding bonus projections remains substantial.

Events leading up to the presidential election demonstrate how sensitive financial markets can be to shifting expectations surrounding future fiscal and monetary policy, as well as national and global economic growth. The lead up to the central bank's December 2015 rate hike, the first in almost ten years, engendered a wave of volatility that roiled both financial markets and the real economy in the early part of this year. As we approach the Federal Reserve's second such hike, anticipated to take place next month, a similar wave of volatility could ensue. The resulting financial market turmoil is likely to have a larger impact on the State economy than on the nation as a whole.

Receipts

Updated Financial Plan receipts include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies which collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, non-wage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

State Financial Plan Projections Fiscal Years 2017 Through 2020



Overview of the Receipts Forecast

All Funds receipts in FY 2017 are estimated at \$153.7 billion, 0.3 percent above FY 2016 results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
Personal Income Tax	47,055	48,089	2.2%	50,328	4.7%	50,251	-0.2%	52,205	3.9%
Consumption/Use Taxes	15,725	16,128	2.6%	16,863	4.6%	17,451	3.5%	17,997	3.1%
Business Taxes	7,884	8,018	1.7%	8,340	4.0%	8,506	2.0%	8,918	4.8%
Other Taxes	2,703	2,192	-18.9%	2,174	-0.8%	2,190	0.7%	2,291	4.6%
Payroll Tax	1,306	1,336	2.3%	1,395	4.4%	1,462	4.8%	1,536	5.1%
Total State Taxes	74,673	75,763	1.5%	79,100	4.4%	79,860	1.0%	82,947	3.9%
Miscellaneous Receipts	27,268	25,033	-8.2%	26,097	4.3%	25,474	-2.4%	24,982	-1.9%
Federal Receipts	51,324	52,953	3.2%	54,467	2.9%	55,498	1.9%	57,009	2.7%
Total All Fund Receipts	153,265	153,749	0.3%	159,664	3.8%	160,832	0.7%	164,938	2.6%

State tax receipts are estimated to increase 1.5 percent in FY 2017. The increase in PIT receipts is primarily due to withholding growth and a decline in refunds, while the decline in other taxes is the result of one-time factors affecting FY 2016 and the continued phase-in of the estate tax cut. The miscellaneous receipts decline in FY 2017 is primarily due to the substantial decline in monetary settlement payments from financial institutions.

Consistent with the projected growth in the New York economy over the multi-year financial plan period beyond FY 2017, all tax categories are projected to exhibit growth. The “other taxes” category is expected to display a near term decline due to tax cuts enacted in 2014, but is expected to resume growth in the long term.

After controlling for the impact of tax law changes, base tax revenue increased 5.5 percent in FY 2016, and is projected to increase by 1.8 percent in FY 2017 and 5.3 percent in FY 2018.

Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2016	FY 2017	FY 2018		FY 2019		FY 2020		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	47,055	48,089	2.2%	50,328	4.7%	50,251	-0.2%	52,205	3.9%
Gross Collections	56,600	57,255	1.2%	60,583	5.8%	61,398	1.3%	64,718	5.4%
Refunds (Incl. State/City Offset)	(9,545)	(9,166)	4.0%	(10,255)	-11.9%	(11,147)	-8.7%	(12,513)	-12.3%
GENERAL FUND¹	31,957	32,839	2.8%	34,769	5.9%	34,767	0.0%	36,285	4.4%
Gross Collections	56,600	57,255	1.2%	60,583	5.8%	61,398	1.3%	64,718	5.4%
Refunds (Incl. State/City Offset)	(9,545)	(9,166)	4.0%	(10,255)	-11.9%	(11,147)	-8.7%	(12,513)	-12.3%
STAR	(3,335)	(3,228)	3.2%	(2,977)	7.8%	(2,921)	1.9%	(2,869)	1.8%
RBTF	(11,763)	(12,022)	-2.2%	(12,582)	-4.7%	(12,563)	0.2%	(13,051)	-3.9%

¹Excludes Transfers.

All Funds PIT receipts for FY 2017 are estimated to be \$48.1 billion, an increase of \$1 billion (2.2 percent) from FY 2016 results. This increase includes growth in withholding and delinquency collections, coupled with a moderate decline in total refunds related to the decrease of the administrative refund cap in January to March 2017. Growth in these categories is partially offset by declines in final returns, extension payments attributable to the 2015 tax year, and estimated payments related to the 2016 tax year.

The following table summarizes, by component, actual receipts for FY 2016 and forecast amounts through FY 2020.

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS ALL FUNDS (millions of dollars)					
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Updated	Projected	Projected	Projected
Receipts					
Withholding	36,549	38,021	39,391	40,548	42,605
Estimated Payments	16,111	15,256	16,955	16,344	17,394
Current Year	11,561	11,195	12,309	11,252	12,302
Prior Year ¹	4,550	4,061	4,646	5,092	5,092
Final Returns	2,630	2,620	2,841	3,049	3,198
Current Year	269	280	292	307	322
Prior Year ¹	2,361	2,340	2,549	2,742	2,876
Delinquent	1,310	1,358	1,396	1,457	1,521
Gross Receipts	56,600	57,255	60,583	61,398	64,718
Refunds					
Prior Year ¹	5,130	5,037	6,366	6,608	7,556
Previous Years	618	718	689	714	744
Current Year ¹	2,551	1,750	1,750	1,750	1,750
Advanced Credit Payment	571	883	647	1,247	1,709
State/City Offset ¹	675	778	803	828	754
Total Refunds	9,545	9,166	10,255	11,147	12,513
Net Receipts	47,055	48,089	50,328	50,251	52,205

¹These components, collectively, are known as the "settlement" on the prior year's tax liability.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Withholding in FY 2017 is estimated to be \$38 billion (4 percent) higher than FY 2016 results, driven by moderate wage growth. Extension payments related to tax year 2015 are estimated to decrease by \$489 million (10.7 percent), primarily due to payment-timing differences relative to tax year 2014 payments (taxpayers paid a higher percentage of their tax year 2015 liability through estimated payments and a lower percentage through extensions). Estimated payments for tax year 2016 are projected to be \$366 million (3.2 percent) lower, primarily due to the combination of a decline in net capital gains income and a correction for overpayment of tax year 2015-related estimated payments. Final return payments and delinquencies are projected to be \$10 million (0.4 percent) lower and \$48 million (3.7 percent) higher than FY 2016 results, respectively.

The projected decrease in total refunds of \$379 million (4 percent) includes a \$93 million decline (1.8 percent) in prior (tax year 2015) refunds, a \$100 million (16.2 percent) increase in previous (tax year 2014 and earlier) refunds, an \$801 million (31.4 percent) decline in current (tax year 2016) refunds (due to a decrease in the January to March 2017 administrative refund cap), a \$312 million (54.6 percent) increase in advanced credit payments related to tax year 2016, and a \$103 million (15.3 percent) increase in the state-city offset. The advanced credit payment forecast includes \$98 million in payments attributable to the conversion of the STAR homeowners' benefit to a PIT credit.

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2017 of \$32.8 billion are estimated to increase by \$882 million (2.8 percent) from FY 2016 results, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$12 billion and the STAR transfer is projected to be \$3.2 billion.

All Funds PIT receipts for FY 2018 of \$50.3 billion are projected to increase by \$2.2 billion (4.7 percent) from FY 2017 estimates. Gross receipts are projected to increase 5.8 percent, reflecting withholding that is projected to grow by \$1.4 billion (3.6 percent) and estimated payments related to tax year 2017 that are projected to grow by \$1.1 billion (10 percent). The relatively weak growth in withholding is attributable to the combination of the newly-enacted middle income tax cuts and the scheduled decline of the current top marginal tax rate from 8.82 percent to 6.85 percent, both effective for tax year 2018. Payments from extensions for tax year 2016 are projected to increase by \$585 million (14.4 percent) and final returns are expected to increase \$221 million (8.4 percent). Delinquencies are projected to increase \$38 million (2.8 percent) from the prior year. Total refunds are projected to increase by \$1.1 billion (11.9 percent) from the prior year. The aforementioned figures include a \$236 million reduction in withholding attributable to the PIT rate reductions, and a \$281 million increase in total refunds attributable to the STAR program changes included with the FY 2017 Enacted Budget legislation.

General Fund PIT receipts for FY 2018 of \$34.8 billion are projected to increase by \$1.9 billion (5.9 percent). RBTF deposits are projected to be \$12.6 billion, and the STAR transfer is projected to be \$3 billion.

All Funds PIT receipts in FY 2019 are projected to decrease by \$77 million to \$50.3 billion, while General Fund PIT receipts are projected to total \$34.8 billion. Projected near-flat growth in FY 2019 receipts is due to the aforementioned expiration of the current top income tax rate at the end of tax year 2017, combined with continued phase-in of the just enacted middle income tax cuts. Legislation included in the FY 2017 Enacted Budget is projected to reduce FY 2019 collections by \$1.7 billion.

All Funds income tax receipts are projected to increase by \$2 billion (3.9 percent) in FY 2020 to reach \$52.2 billion, while General Fund receipts are projected to total \$36.3 billion.

Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
STATE/ALL FUNDS	15,725	16,128	2.6%	16,863	4.6%	17,451	3.5%	17,997	3.1%
Sales Tax	13,359	13,866	3.8%	14,567	5.1%	15,186	4.2%	15,772	3.9%
Cigarette and Tobacco Taxes	1,251	1,221	-2.4%	1,190	-2.5%	1,149	-3.4%	1,103	-4.0%
Motor Fuel Tax	503	506	0.6%	505	-0.2%	501	-0.8%	496	-1.0%
Highway Use Tax	158	84	-46.8%	138	64.3%	140	1.4%	141	0.7%
Alcoholic Beverage Taxes	255	258	1.2%	263	1.9%	268	1.9%	273	1.9%
Medical Marihuana Excise Tax	0	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Taxicab Surcharge	73	65	-11.0%	65	0.0%	65	0.0%	65	0.0%
Auto Rental Tax	126	127	0.8%	134	5.5%	141	5.2%	146	3.5%
GENERAL FUND¹	6,819	7,076	3.8%	7,415	4.8%	7,703	3.9%	7,973	3.5%
Sales Tax	6,242	6,479	3.8%	6,813	5.2%	7,106	4.3%	7,382	3.9%
Cigarette and Tobacco Taxes	322	339	5.3%	339	0.0%	329	-2.9%	318	-3.3%
Alcoholic Beverage Taxes	255	258	1.2%	263	1.9%	268	1.9%	273	1.9%

¹Excludes Transfers.

All Funds consumption/use tax receipts for FY 2017 are estimated to be \$16.1 billion, an increase of \$403 million (2.6 percent) from FY 2016 results. Sales tax receipts are estimated to increase \$507 million (3.8 percent) from the prior year, resulting from 4.7 percent base (i.e., absent law changes) growth. This base growth stems from estimated moderate disposable income, employment, and consumption growth. The estimate has been reduced to account for agreements between certain mobile telecommunications providers and the State to allow such providers to remit less sales tax for a period in lieu of receiving State refunds due to them under Tax Law Section 184. These agreements resulted from acknowledgement by DTF that a mobile telecommunications provider was not subject to the Tax Law Section 184 franchise tax imposed on them between 2005 and 2014. Cigarette and tobacco tax collections are estimated to decline \$30 million (2.4 percent), primarily reflecting trend declines in taxable cigarette consumption, partially offset by a decrease in cigar tax refunds resulting in part from an Administrative Law Judge Determination (Matter of Davidoff of Geneva, Inc.). Highway use tax collections are estimated to decrease by \$74 million (46.8 percent) due to refunds resulting from the Independent Owner Operator Drivers Association v. New York Department of Taxation and Finance court decision as well as a reduction in continuing registration fees resulting from the same litigation. Motor fuel tax collections are estimated to increase \$3 million (0.6 percent), reflecting slight growth in both taxable motor fuel consumption and diesel fuel consumption.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Taxicab surcharge receipts are estimated to decline by \$8 million (11 percent) as the result of consumers choosing alternative transportation services not subject to the surcharge.

General Fund sales and use tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on State sales and use tax revenue bonds. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.

General Fund consumption/use tax receipts for FY 2017 are estimated to total nearly \$7.1 billion, an increase of \$257 million (3.8 percent) from FY 2016 results. This increase largely reflects the All Funds sales, cigarette, tobacco and alcoholic beverage tax trends noted previously.

All Funds consumption/use tax receipts for FY 2018 are projected to be nearly \$16.9 billion, an increase of \$735 million (4.6 percent) from the current year. The projected \$701 million (5.1 percent) increase in sales tax receipts reflects sales tax base growth of 3.5 percent, due to projected disposable income, employment, and consumption growth. The All Funds consumption/use tax receipts increase is offset slightly by trend declines in cigarette tax collections.

General Fund consumption/use tax receipts are projected to total \$7.4 billion in FY 2018, a \$339 million (4.8 percent) increase from the current year. The projected increase largely reflects the All Funds sales, cigarette, and alcoholic beverage tax trends noted above.

All Funds consumption/use tax receipts are projected to increase to nearly \$17.5 billion (3.5 percent growth) in FY 2019 and to \$18 billion (3.1 percent growth) in FY 2020, largely representing base growth in sales tax receipts, offset slightly by trend declines in cigarette tax collections.

General Fund consumption/use tax receipts are projected to total \$7.7 billion (3.9 percent growth) in FY 2019 and nearly \$8 billion (3.5 percent growth) in FY 2020, reflecting the All Funds trends noted above.

Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2016	FY 2017	FY 2018		FY 2019		FY 2020		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE/ALL FUNDS	7,884	8,018	1.7%	8,340	4.0%	8,506	2.0%	8,918	4.8%
Corporate Franchise Tax	4,527	4,303	-4.9%	4,780	11.1%	4,822	0.9%	5,222	8.3%
Corporation and Utilities Tax	774	738	-4.7%	732	-0.8%	744	1.6%	754	1.3%
Insurance Tax	1,580	1,502	-4.9%	1,572	4.7%	1,701	8.2%	1,784	4.9%
Bank Tax	(121)	383	N/A	190	-50.4%	143	-24.7%	71	-50.3%
Petroleum Business Tax	1,124	1,092	-2.8%	1,066	-2.4%	1,096	2.8%	1,087	-0.8%
GENERAL FUND	5,647	5,775	2.3%	6,078	5.2%	6,155	1.3%	6,538	6.2%
Corporate Franchise Tax	3,763	3,538	-6.0%	3,950	11.6%	3,949	0.0%	4,312	9.2%
Corporation and Utilities Tax	594	568	-4.4%	559	-1.6%	563	0.7%	569	1.1%
Insurance Tax	1,419	1,346	-5.1%	1,407	4.5%	1,521	8.1%	1,597	5.0%
Bank Tax	(129)	323	350.4%	162	-49.8%	122	-24.7%	60	-50.8%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2017 are estimated at \$8 billion, an increase of \$134 million (1.7 percent) from FY 2016 results. The estimate primarily reflects an increase in the bank tax of \$504 million, partially offset by a combined decrease of \$370 million among all other business taxes.

Corporation franchise tax receipts are estimated to decrease \$224 million (4.9 percent) in FY 2017, primarily reflecting tax year 2016 corporate tax reform tax cuts: a reduction in the business income tax rate from 7.1 percent to 6.5 percent and the first year of the capital tax base phase-out. These reductions are partially offset by an increase in expected audit receipts of \$274 million.

Corporation and utilities tax receipts are estimated to decrease \$36 million (4.7 percent) in FY 2017. Gross receipts are expected to increase from FY 2016 results, while audits are expected to decline. In FY 2016 several telecommunication audit cases were closed. This is not expected to recur in FY 2017.

Insurance tax receipts for FY 2017 are estimated to decrease \$78 million (4.9 percent) from FY 2016 results. Projected growth in insurance tax premiums and a positive prior period adjustment resulting from the resolution of an IRS case is more than offset by the first full year impact of the tax credit for assessments paid to the Life Insurance Guaranty Corporation (LIGC). It is expected that taxpayers will lower their 2016 estimated payments to reflect this non-refundable tax credit. The LIGC exists to protect policyholders from the insolvency of their life insurers. Audits and refunds are expected to reflect historical trends.

Receipts from the repealed bank tax (all from prior liability periods) are estimated to increase by \$504 million in FY 2017. The increase stems from an estimated reduction in prior period adjustments and an increase in audit receipts (\$197 million) from FY 2016 results.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Petroleum Business Tax (PBT) receipts are estimated to decrease \$32 million (2.8 percent) in FY 2017, primarily due to the 5 percent decrease in the PBT rate index effective January 2016, and the estimated 5 percent decrease effective January 2017. These declines are partially offset by estimated slight growth in both taxable motor fuel and diesel fuel consumption.

General Fund business tax receipts for FY 2017 of \$5.8 billion are estimated to increase \$128 million (2.3 percent) from FY 2016 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2018 of \$8.3 billion are projected to increase by \$322 million (4 percent) from the current year. The increase in corporation franchise tax receipts of \$477 million (11.1 percent) reflects projected growth in corporate profits following nearly full implementation of corporate tax reform changes as well as higher audit receipts. The corporation and utilities tax receipts decline of \$6 million (0.8 percent) reflects lower telecommunications receipts partially offset by a modest increase in utility tax revenue.

Insurance tax receipts for FY 2018 of \$1.6 billion are projected to increase \$70 million (4.7 percent) from the current year. Projected growth in insurance tax premiums combined with lower expected LIGC credit claims contribute to year-over-year growth. Bank tax receipts are projected to decrease by \$193 million (50.4 percent), due to lower projected audit receipts. PBT receipts are projected to decline \$26 million (2.4 percent) in FY 2018, primarily due to the estimated 5 percent decrease in the PBT rate index effective January 2017 noted above and a projected slight decline in taxable motor fuel consumption, partially offset by the projected 5 percent increase in the PBT rate index effective January 2018 and projected growth in diesel fuel consumption.

General Fund business tax receipts for FY 2018 of \$6.1 billion are projected to increase \$303 million (5.2 percent), reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2019 and FY 2020 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to increase to \$8.5 billion (2 percent growth) in FY 2019, and increase to \$8.9 billion (4.8 percent growth) in FY 2020. General Fund business tax receipts are projected to increase to \$6.2 billion (1.3 percent growth) in FY 2019 and \$6.5 billion (6.2 percent growth) in FY 2020.

Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
STATE/ALL FUNDS	2,703	2,192	-18.9%	2,174	-0.8%	2,190	0.7%	2,291	4.6%
Estate Tax	1,521	1,034	-32.0%	950	-8.1%	912	-4.0%	963	5.6%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Estate Transfer Tax	1,163	1,138	-2.1%	1,204	5.8%	1,258	4.5%	1,308	4.0%
Pari-Mutuel Taxes	17	17	0.0%	17	0.0%	17	0.0%	17	0.0%
All Other Taxes	2	3	50.0%	3	0.0%	3	0.0%	3	0.0%
GENERAL FUND¹	1,540	1,054	-31.6%	970	-8.0%	932	-3.9%	983	5.5%
Estate Tax	1,521	1,034	-32.0%	950	-8.1%	912	-4.0%	963	5.6%
Gift Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Real Property Gains Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Pari-Mutuel Taxes	17	17	0.0%	17	0.0%	17	0.0%	17	0.0%
All Other Taxes	2	3	50.0%	3	0.0%	3	0.0%	3	0.0%

¹Excludes Transfers.

All Funds other tax receipts for FY 2017 are estimated to be slightly below \$2.2 billion, a \$511 million (18.9 percent) decrease from FY 2016 results. This largely reflects an estimated decline in estate tax receipts of \$487 million (32 percent) from the continued phase-in of the increased filing threshold, and an expected decline in the number of super large payments (i.e., payments over \$25 million) to historical levels. Additionally, real estate transfer tax receipts are projected to decrease \$25 million (2.1 percent) primarily due to the combination of a small estimated decrease in the volume of transactions in New York City and a large estimated decrease in housing starts statewide. The transaction decline is partially due to a building permit shift from FY 2017 into FY 2016 caused by the uncertainty that surrounded the extension of New York City property tax abatement legislation.

General Fund other tax receipts are estimated to be slightly above \$1 billion in FY 2017, a \$486 million (31.6 percent) decrease from FY 2016 results, reflecting the decrease in estate tax receipts noted above.

All Funds other tax receipts for FY 2018 are projected to be just under \$2.2 billion, an \$18 million (0.8 percent) decrease from the current year. Estate tax receipts are projected to decrease by \$84 million (8.1 percent) reflecting the continued phase-in of the increased filing threshold, partially offset by projected growth in household net worth. Real estate transfer tax receipts are projected to increase by \$66 million (5.8 percent), reflecting projected growth in housing prices.

General Fund other tax receipts for FY 2018 are projected to decrease by \$84 million (8 percent), due to the projected decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2019 and FY 2020 reflect projected trends in household net worth, housing starts, housing prices, and changes in the estate tax filing threshold. The incremental impact of the filing threshold change ends after FY 2019. All Funds other tax receipts are projected to increase by \$16 million (0.7 percent increase) in FY 2019, and by \$101 million

State Financial Plan Projections Fiscal Years 2017 Through 2020



(4.6 percent increase) in FY 2020. General Fund other tax receipt estimates for FY 2019 are projected to decrease by 3.9 percent and increase by 5.5 percent in FY 2020, respectively, due to the final change in the estate tax filing threshold affecting FY 2019.

Miscellaneous Receipts and Federal Grants

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, tribal-state compact revenue, monetary settlements and a variety of fees and licenses.

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
ALL FUNDS	27,268	25,033	-8.2%	26,097	4.3%	25,474	-2.4%	24,982	-1.9%
General Fund	5,842	3,187	-45.4%	2,486	-22.0%	2,448	-1.5%	2,334	-4.7%
Special Revenue Funds	17,117	16,437	-4.0%	16,024	-2.5%	16,200	1.1%	16,030	-1.0%
Capital Projects Funds	3,822	4,924	28.8%	7,132	44.8%	6,372	-10.7%	6,163	-3.3%
Debt Service Funds	487	485	-0.4%	455	-6.2%	454	-0.2%	455	0.2%

All Funds miscellaneous receipts are estimated to total \$25 billion in FY 2017, a decrease of 8.2 percent from FY 2016 results. This decrease is primarily due to the impact of extraordinary monetary settlements received in the General Fund during FY 2016, as described earlier in this Updated Financial Plan. In addition to the impact of monetary settlements, declining FY 2017 miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, and tuition income revenue.

All Funds miscellaneous receipts are projected to increase by \$1.1 billion (4.3 percent) in FY 2018, largely reflecting the projected timing of bond proceed reimbursement for capital expenditures, and remain relatively flat in FY 2019 and FY 2020.

FEDERAL GRANTS (millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	<u>Results</u>	<u>Updated</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>	<u>Projected</u>	<u>Change</u>
ALL FUNDS	51,324	52,953	3.2%	54,467	2.9%	55,498	1.9%	57,009	2.7%
General Fund	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Special Revenue Funds	49,105	50,718	3.3%	52,301	3.1%	53,334	2.0%	54,789	2.7%
Capital Projects Funds	2,146	2,162	0.7%	2,093	-3.2%	2,091	-0.1%	2,147	2.7%
Debt Service Funds	73	73	0.0%	73	0.0%	73	0.0%	73	0.0%



State Financial Plan Projections Fiscal Years 2017 Through 2020

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other common goods. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants are projected to grow to \$57 billion by FY 2020, primarily reflecting the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to continuing administration and Congressional authorization, appropriations and budget action.

Disbursements

Total disbursements in FY 2017 are estimated at \$70.3 billion in the State's General Fund (including transfers) and \$96.2 billion in total State Operating Funds. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth.

The multi-year disbursements projections take into account various factors, including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an enacted budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$64.7 billion in FY 2017, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State’s major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES (millions of dollars)					
	FY 2016 Results	FY 2017 Updated	Forecast		
			FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
MEDICAID					
Individuals Covered	6,168,006	6,217,239	6,284,551	6,318,208	6,335,036
- Essential Plan	466,614	713,091	723,020	727,880	730,483
- Child Health Plus (Caseload)	275,854	305,560	309,866	314,232	318,659
State Takeover of County/NYC Costs	\$2,031	\$2,360	\$2,680	\$2,989	\$3,287
EDUCATION					
School Aid (School Year Basis Funding)	\$23,290	\$24,797	\$25,906	\$27,219	\$28,599
HIGHER EDUCATION					
Public Higher Education Enrollment (FTEs)	573,555	562,800	N/A	N/A	N/A
Tuition Assistance Program (Recipients)	289,600	285,900	N/A	N/A	N/A
PUBLIC ASSISTANCE					
Family Assistance Program (Caseload)	243,642	238,388	235,591	232,955	230,355
Safety Net Program (Families)	117,682	115,259	113,865	112,561	111,278
Safety Net Program (Singles)	203,114	203,512	203,920	206,266	208,355
MENTAL HYGIENE					
OMH Community Beds	42,151	43,385	44,674	47,105	48,005
OPWDD Community Beds	42,314	42,737	43,165	43,596	44,032
OASAS Community Beds	13,858	14,074	14,140	14,181	14,231
Total	98,323	100,196	101,979	104,882	106,268
PRISON POPULATION					
	52,800	52,000	N/A	N/A	N/A

State Financial Plan Projections Fiscal Years 2017 Through 2020



Education

School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 – June 30)

School Aid is expected to increase by \$1.51 billion (6.5 percent) in SY 2017. This increase includes \$627 million for additional Foundation Aid and \$434 million for full restoration of the Gap Elimination Adjustment (GEA) for all 674 school districts. In total, \$175 million is provided to facilitate the transformation of schools in high-need districts into community hubs offering expanded services to children and their families, including \$100 million as a set-aside within Foundation Aid and \$75 million in new Community Schools Grants. The latter will be awarded to school districts with failing and persistently failing schools, based on a plan developed by SED, to support the operating and capital costs associated with the conversion of such schools into community schools. In addition, another \$344 million supports increased reimbursement in expense-based aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Updated Financial Plan also includes \$28 million for new competitive grants, including \$22 million to expand prekindergarten access for three-year-old children. In addition, the Updated Financial Plan reflects the continuation of \$340 million in recurring annual funding to support the statewide Universal Full-Day Prekindergarten program.

School Aid is projected to increase by an additional \$1.11 billion (4.5 percent) in SY 2018, consistent with the Personal Income Growth Index in statute. School Year growth in the level of School Aid funding approved by the Legislature with the State budgets enacted between Fiscal Years 2014 - 2017 exceeded the Personal Income Growth Index.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	<u>SY 2016</u>	<u>SY 2017</u>	<u>Change</u>	<u>SY 2018</u>	<u>Change</u>	<u>SY 2019</u>	<u>Change</u>	<u>SY 2020</u>	<u>Change</u>
Total	23,290	24,797	1,507	25,906	1,109	27,219	1,313	28,599	1,380
			6.5%		4.5%		5.1%		5.1%

School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.

State Fiscal Year

The State finances School Aid from General Fund and Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected sources of spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2016	FY 2017	FY 2018		FY 2019		FY 2020		Change
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	
TOTAL STATE OPERATING FUNDS	23,302	24,422	4.8%	25,865	5.9%	27,144	4.9%	28,532	5.1%
General Fund Local Assistance	20,133	21,088	4.7%	22,418	6.3%	23,778	6.1%	25,128	5.7%
Core Lottery Aid	2,219	2,360	6.4%	2,395	1.5%	2,294	-4.2%	2,288	-0.3%
VLT Lottery Aid	950	953	0.3%	848	-11.0%	812	-4.2%	856	5.4%
Commercial Gaming - VLT Offset	0	8	0.0%	118	1375.0%	144	22.0%	102	-29.2%
Commercial Gaming	0	13	0.0%	86	561.5%	116	34.9%	158	36.2%

State fiscal year spending for School Aid is projected to total \$24.4 billion in FY 2017. In future years, receipts available to finance this category of aid from core lottery sales are projected to decline. In addition to State aid, school districts receive more than \$3 billion annually in Federal aid.

It is expected that State aid payments for School Aid will be supplemented by commercial gaming revenues, beginning in FY 2017 with the State's receipt of one-time licensing fees, and continuing in FY 2018 and the outyears with gaming revenues shared with the State by commercial gaming facilities. Three casino resorts were recommended by the State's Gaming Facility Location Board (the "Location Board") in December 2014, and approved by the State Gaming Commission in December 2015. A fourth casino was recommended by the Location Board in October 2015 and approved by the State Gaming Commission in August 2016. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid projected to be funded from casino revenue resources becomes a General Fund obligation. It is expected that the four casinos will be operational in FY 2018.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
TOTAL STATE OPERATING FUNDS	2,085	2,236	7.2%	2,226	-0.4%	2,343	5.3%	2,435	3.9%
Special Education	1,317	1,345	2.1%	1,376	2.3%	1,480	7.6%	1,593	7.6%
All Other Education	768	891	16.0%	850	-4.6%	863	1.5%	842	-2.4%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses for the major cultural institutions of the State Archives, the State Library, and the State Museum as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a “one-stop” source for all their employment needs, and are made aware of the full range of services available in other agencies.

Projected FY 2017 and 2018 Special Education spending was below historical growth rates primarily due to a moderation in cost and enrollment growth for the preschool special education program in the last three claim years. The increase in All Other Education spending in FY 2017 is driven primarily by supplemental State payments to charter schools, investments in new programs such as the My Brother's Keeper initiative, increased funding for existing programs including nonpublic schools and higher education opportunity programs, and one-time costs associated with targeted aid and grants.

In FY 2018, the decrease in total projected other education spending is primarily attributable to the expiration of a two-year appropriation provided to nonpublic schools to reimburse them for State-mandated services provided in prior years. This decrease in nonpublic school spending is largely offset by projected increases in State reimbursement for special education programs, which are expected to continue to drive outyear growth.

STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner’s property value from the local school tax levy. Lower-income senior citizens receive a \$65,300 exemption in FY 2017. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate share of total spending in FY 2017 are: the basic school property tax exemption for homeowners with income under \$500,000 (54 percent); the enhanced school property tax exemption for senior citizen homeowners with incomes under \$84,550 (29 percent); and a flat refundable credit and rate reduction for income-eligible resident New York City personal income taxpayers (17 percent).

Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues. The STAR exemption program will gradually shift from a spending program into a refundable pre-paid PIT credit, with this change applying to first-time homebuyers and to homeowners who move. Further reductions in STAR spending will be achieved by the conversion of the New York City PIT STAR credit into a New York State PIT credit. These changes have no impact on the STAR benefits received by homeowners.

SCHOOL TAX RELIEF (STAR)									
(millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	3,335	3,228	-3.2%	2,977	-7.8%	2,921	-1.9%	2,869	-1.8%
Basic Exemption	1,774	1,756	-1.0%	1,708	-2.7%	1,667	-2.4%	1,624	-2.6%
Enhanced (Seniors)	943	943	0.0%	916	-2.9%	895	-2.3%	872	-2.6%
New York City PIT	618	529	-14.4%	353	-33.3%	359	1.7%	373	3.9%

State Financial Plan Projections Fiscal Years 2017 Through 2020



Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and HESC.

HIGHER EDUCATION (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
TOTAL STATE OPERATING FUNDS	2,955	2,985	1.0%	2,994	0.3%	3,055	2.0%	3,095	1.3%
City University	1,429	1,454	1.7%	1,490	2.5%	1,528	2.6%	1,553	1.6%
Senior Colleges	1,198	1,206	0.7%	1,243	3.1%	1,285	3.4%	1,311	2.0%
Community College	231	248	7.4%	247	-0.4%	243	-1.6%	242	-0.4%
Higher Education Services	1,025	1,022	-0.3%	1,031	0.9%	1,048	1.6%	1,063	1.4%
Tuition Assistance Program	966	954	-1.2%	958	0.4%	968	1.0%	978	1.0%
Scholarships/Awards	47	56	19.1%	61	8.9%	68	11.5%	73	7.4%
Aid for Part-Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
State University	501	509	1.6%	473	-7.1%	479	1.3%	479	0.0%
Community College	496	504	1.6%	468	-7.1%	474	1.3%	474	0.0%
Other/Cornell	5	5	0.0%	5	0.0%	5	0.0%	5	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 403,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 324,000 students. State funds are used to support a significant portion of SUNY and CUNY operations, including employee fringe benefit costs. The State also provides a sizeable benefit to CUNY and SUNY through the debt service it pays on bond-financed capital projects at the universities. State debt service payments for capital projects at SUNY and CUNY are expected to total about \$1.2 billion in FY 2017 (not reflected in annual spending totals for the universities).

HESC administers the TAP, which provides financial awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal governments.

In total, State Operating Funds local assistance spending is projected to increase by 1.0 percent from FY 2016 to FY 2017. This increase is distributed across CUNY and SUNY programs with HESC projecting a slight decline in spending from FY 2016 to FY 2017 based on revised TAP participation projections and a moderation in projected spending on certain scholarship and loan forgiveness programs. Spending on these programs is projected to grow in the outyears as participation increases. CUNY Senior College spending is also projected to grow in the outyears due to employee benefits costs. Spending for SUNY community colleges is expected to decrease in FY 2018 as enrollment continues to decline.

Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The State DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve 25 percent reduction in avoidable hospital use over five years. The Enacted Budget Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of more than \$7 billion through FY 2020, with the remaining funds expected to be disbursed beyond FY 2020. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The Updated Financial Plan reflects the continuation of the Medicaid spending cap through FY 2018, and the projections assume that statutory authority will be extended in subsequent years. Allowable growth under the cap for medical services is 3.4 percent for FY 2017. Reflecting projected medical CPI growth, DOB currently forecasts allowable cap growth at 3.2 percent in FY 2018, and has updated forecast projections to assume growth of 3.1 percent in FY 2019 and 2.9 percent in FY 2020. The revised medical CPI projections included in the Mid-Year Update will raise the statutory spending limits by \$14 million and \$33 million in FY 2019 and FY 2020, respectively.

State Financial Plan Projections Fiscal Years 2017 Through 2020



MEDICAID GLOBAL CAP FORECAST (millions of dollars)					
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
Global Medicaid Cap¹	17,104	17,692	18,259	18,826	19,371
Annual % Change		3.4%	3.2%	3.1%	2.9%

¹ Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration, increased Federal financial participation pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Updated Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, and education aid.

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars)					
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Updated	Projected	Projected	Projected
Department of Health Medicaid	<u>17,707</u>	<u>17,842</u>	<u>19,046</u>	<u>20,194</u>	<u>21,171</u>
Local Assistance	17,434	17,505	18,676	19,819	20,792
State Operations	273	337	370	375	379
Other State Agency Medicaid Spending	<u>4,883</u>	<u>4,463</u>	<u>4,951</u>	<u>5,187</u>	<u>5,394</u>
Mental Hygiene	4,739	4,316	4,804	5,036	5,238
Foster Care	89	97	97	101	106
Education	55	50	50	50	50
Total State Share Medicaid (All Agencies)	22,590	22,305	23,997	25,381	26,565
Annual \$ Change		(285)	1,692	1,384	1,184
Annual % Change		-1.3%	7.6%	5.8%	4.7%
Essential Plan²	32	714	471	395	406

¹ DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; and increased Federal Financial Participation that became effective in January 2014.

² The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, and provider assessment revenue. The following table provides information on the financing sources for State Medicaid spending (more information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID ^{1,2} (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
STATE OPERATING FUNDS	17,739	18,556	4.6%	19,517	5.2%	20,589	5.5%	21,577	4.8%
General Fund - DOH Medicaid Local	12,117	11,865	-2.1%	13,191	11.2%	14,341	8.7%	15,434	7.6%
DOH Medicaid	11,250	10,740	-4.5%	12,343	14.9%	13,537	9.7%	14,632	8.1%
Mental Hygiene - Global Cap Adjustment ³	867	1,125	29.8%	848	-24.6%	804	-5.2%	802	-0.2%
General Fund - DOH Medicaid State Ops ⁴	273	337	23.4%	370	9.8%	375	1.4%	379	1.1%
General Fund - Essential Plan	32	714	2131.3%	471	-34.0%	395	-16.1%	406	2.8%
Local Assistance	19	666	3405.3%	431	-35.3%	355	-17.6%	365	2.8%
State Operations	13	48	269.2%	40	-16.7%	40	0.0%	41	2.5%
Other State Funds - DOH Medicaid Local	5,317	5,640	6.1%	5,485	-2.7%	5,478	-0.1%	5,358	-2.2%
HCRA Financing	3,523	3,836	8.9%	3,761	-2.0%	3,754	-0.2%	3,634	-3.2%
Indigent Care Support	961	952	-0.9%	892	-6.3%	892	0.0%	892	0.0%
Provider Assessment Revenue	833	852	2.3%	832	-2.3%	832	0.0%	832	0.0%

¹ The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.
² Does not include Medicaid spending in other State agencies, transfers, or the local government share of total Medicaid program spending.
³ The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.
⁴ Includes operating costs of the New York State of Health Exchange which are funded by DOH within the Medicaid Global Cap.

The FY 2017 Enacted Budget Financial Plan included additional funding to support the increased cost of Medicaid associated with the regionally-based multi-year phase-in of statewide minimum wage increases, with total cost assumptions revised as part of the Mid-Year Update. DOB has updated its estimates for the direct State costs of the minimum wage to reflect the impact of legislation (Chapter 56 of the Laws of 2016) which ensures that rates for the total compensation for home health care workers in Westchester, New York, Nassau, and Suffolk counties will be increased commensurate to the schedule of statutory minimum wage increases.⁵ In addition, an updated analysis of wage data within the health care sector, including a review of actual experience, demonstrates a need for additional funding to support higher levels of incremental wage growth. Minimum wage initiatives, inclusive of revised forecast assumptions, are expected to increase annual Medicaid spending above statutory Global Cap limits by \$44 million in FY 2017; \$255 million in FY 2018; \$579 million in FY 2019; and \$838 million in FY 2020.

The FY 2017 Financial Plan also reflects a continuation of the MRT initiative, which focuses on implementing various investments and efficiencies within the statewide Medicaid program in order to achieve improved health care service delivery and cost efficiency within the statutory spending limits of the Medicaid Global Cap. DOH proposes a number of initiatives to reduce spending within the Global Cap, including certain efficiencies within the managed care program; realigning the capital and operating components of the Supportive Housing program; and a new penalty for extreme generic drug pricing, in order to discourage such practices and limit cost increases.

⁵ Home health care workers in these counties receive a benefit portion of total compensation in addition to their wage-based compensation rate levels (\$4.09 for New York; \$3.22 for Westchester, Nassau, and Suffolk), resulting in total compensation which would have otherwise exceeded minimum wage levels and therefore was not factored into previous cost analysis. The impact of this legislation, however, effectively exempts the benefit portion of total compensation from the minimum wage calculation and ensures that home health care workers in these counties will receive incremental growth in wage compensation commensurate to the new minimum wage schedule.

State Financial Plan Projections Fiscal Years 2017 Through 2020



The MRT savings initiatives are expected to offset a number of increased cost pressures and program investments within the Global Cap, including increases in Medicare Part D “clawback” expenses as a result of rising drug prices; Medicare Part B increases due to Federal requirements for states to hold certain beneficiaries harmless for premium increases when COLAs are not included in Social Security plans; and additional funding for fiscally distressed hospitals. In total, the Enacted Budget Financial Plan included net savings of \$44 million in FYs 2017 and 2018, which are expected through implementation of the various MRT initiatives, and in particular through the transfer of certain supportive housing costs to the Capital Projects Fund.

Additional means to offset rising costs within the Medicaid Global Cap are available through the Medicaid integrity and efficiency initiative which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide savings. Financial Plan savings associated with the Medicaid program are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients exceeded 6.1 million by the end of FY 2016, a slight decrease from FY 2015 caseload of nearly 6.2 million. This decline is mainly attributable to the transition from Medicaid to the EP of certain legally residing immigrants.

Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH health benefit exchange, with the cost of insurance premiums subsidized by the State and Federal governments. When fully implemented, approximately 90 percent of program expenditures are expected to be paid by the Federal government.

ESSENTIAL PLAN (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
TOTAL ALL FUNDS SPENDING	1,539	3,731	142.4%	4,218	13.1%	4,500	6.7%	4,884	8.5%
State Operating Funds	32	714	2131.3%	471	-34.0%	395	-16.1%	406	2.8%
Local Assistance	19	666	3405.3%	431	-35.3%	355	-17.6%	365	2.8%
State Operations	13	48	269.2%	40	-16.7%	40	0.0%	41	2.5%
Federal Operating Funds	1,507	3,017	100.2%	3,747	24.2%	4,105	9.6%	4,478	9.1%

The Updated Financial Plan includes increased program spending due to increased enrollment levels, including State Funds cost increases under the Global Cap of \$332 million in FY 2017 and \$87 million in FY 2019. Based on experience to date, the program enrollment timeline is expected to both accelerate and result in an enrollment base nearly twice that of previously forecasted levels. These increased program costs are anticipated to be partially or fully offset in future years as growth in the NYSOH index premium that is linked to Federal Basic Health Plan Trust Fund contribution is expected to exceed the growth rate of State-funded EP premium reimbursement, thus further reducing the need for additional State funds support for EP.

The State's program costs associated with the EP program, and related savings, are managed within the total available resources of the Medicaid Global Cap. This includes a portion of spending associated with increasing EP enrollment, reflecting the transition of certain individuals from the Medicaid program to the EP program based on changes in income levels.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Public Health/Aging Programs

Public Health includes the Child Health Plus (CHP) program that finances health insurance coverage for children of low-income families, up to the age of 19; the General Public Health Work (GPHW) program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three, with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
TOTAL STATE OPERATING FUNDS	1,774	1,634	-7.9%	1,671	2.3%	1,700	1.7%	1,853	9.0%
Public Health	1,647	1,507	-8.5%	1,544	2.5%	1,568	1.6%	1,716	9.4%
Child Health Plus	378	222	-41.3%	235	5.9%	250	6.4%	380	52.0%
General Public Health Work	194	194	0.0%	198	2.1%	200	1.0%	204	2.0%
EPIC	126	132	4.8%	133	0.8%	128	-3.8%	128	0.0%
Early Intervention	160	173	8.1%	175	1.2%	173	-1.1%	173	0.0%
HCRA Program	426	384	-9.9%	399	3.9%	401	0.5%	405	1.0%
All Other	363	402	10.6%	404	0.5%	416	3.0%	426	2.4%
Aging	127	127	0.0%	127	0.0%	132	3.9%	137	3.8%

The FY 2017 Enacted Budget Financial Plan included \$106 million in savings, from the CHP program (\$70 million) and HCRA program (\$36 million), by leveraging enhanced Federal funding for children's health care programs serving populations meeting expanded income thresholds.

In FY 2017, the Updated Financial Plan includes approximately \$14 million in increased funding for the EI Program. The increase in funding for the EI program is related to growing enrollment as well as an increase in service costs. From FY 2014 to FY 2016, the EI program has increased spending by 12 percent on children with autism spectrum disorder.

Annual GPHW spending projections, which includes funding related to protective measures in combatting the Zika virus and other emerging health care needs, reflects current core service claiming patterns.

HCRA Program spending, which is declining overall in FY 2017 in part through the use of \$15 million in available balances in the Excess Medicaid Malpractice Liability Pool, has been updated to reflect full utilization of State and Federal costs sharing opportunities for Diagnostic and Treatment Centers (D&TCs) related to uncompensated care costs incurred by clinics.

HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and Doctors Across New York program. The HCRA authorization was extended through FY 2017, pursuant to legislation included in the FY 2015 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, the NYSOH, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA closed FY 2016 with a balance of \$78 million, which is the result of an advanced deposit of April 2016 revenue into March 2016. This impact is a matter of timing, and is reflected in current Financial Plan estimates.

HCRA FINANCIAL PLAN FY 2016 THROUGH FY 2020 (millions of dollars)					
	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
OPENING BALANCE	14	78	0	0	0
TOTAL RECEIPTS	5,655	5,641	5,582	5,607	5,630
Surcharges	3,118	3,191	3,181	3,241	3,301
Covered Lives Assessment	1,112	1,079	1,045	1,045	1,045
Cigarette Tax Revenue	928	882	851	820	785
Hospital Assessments	397	404	424	424	424
NYC Cigarette Tax Transfer/Other	100	85	81	77	75
TOTAL DISBURSEMENTS	5,591	5,719	5,582	5,607	5,630
Medicaid Assistance Account ¹	3,523	3,836	3,761	3,754	3,634
Medicaid Costs	3,326	3,639	3,564	3,557	3,437
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	961	952	892	892	892
HCRA Program Account	429	393	408	410	415
Child Health Plus	381	226	238	254	384
Elderly Pharmaceutical Insurance Coverage	137	144	145	140	140
SHIN-NY/APCD	42	30	0	0	0
All Other	118	138	138	157	165
ANNUAL OPERATING SURPLUS/(DEFICIT)	64	(78)	0	0	0
CLOSING BALANCE	78	0	0	0	0

¹ NYSOH spending will be financed with available HCRA resources through the Medicaid program.

State Financial Plan Projections Fiscal Years 2017 Through 2020



After adjusting for the timing of receipts deposits advanced to March 2016, as noted above, total HCRA receipts are forecasted to grow significantly in FY 2017 in relation to higher surcharge collections generated from an increase of Upper Payment Limit (UPL) disbursements, as well as continued growth in utilization levels. Relative to previous assumptions, and based on experience to date, this growth has been reflected as part of the Mid-Year Financial Plan update to recognize additional surcharge collections of \$100 million in FY 2017, and more modest assumptions of \$50 million annually thereafter. Cigarette taxes have been revised upward by \$4 million in each year based on collections to date. The level of annual growth forecasted for total HCRA revenue through the remainder of the multi-year planning period mainly reflects anticipation of increased collections due to expanded health insurance coverage through the ACA, and increases consistent with historic collection patterns. Continued declines for cigarette tax collections, which is attributable to declining taxable consumption, reduces annual HCRA receipts growth.

HCRA spending is expected to total \$5.7 billion in FY 2017. The most significant area of spending growth includes additional financing of the State share of Medicaid costs, which is partly offset by a significant decrease in spending for CHP as the availability of Federal resources through the ACA will increase. HCRA Program Account spending is expected to increase in FY 2017 to reflect full utilization of State and Federal funding for D&TCs related to uncompensated care costs incurred by clinics. To receive the maximum Federal match, full appropriated value of the D&TC Bad Debt and Charity Care (BDCC) program is being leveraged.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

Mental Hygiene

The Department of Mental Hygiene is comprised of the OPWDD, Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs. Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE (millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	2,646	2,466	-6.8%	2,944	19.4%	3,359	14.1%	3,635	8.2%
People with Developmental Disabilities	2,075	2,127	2.5%	2,285	7.4%	2,456	7.5%	2,625	6.9%
Residential Services	1,386	1,421	2.5%	1,527	7.5%	1,641	7.5%	1,754	6.9%
Day Programs	604	619	2.5%	665	7.4%	714	7.4%	764	7.0%
Clinic	20	20	0.0%	22	10.0%	24	9.1%	25	4.2%
All Other Local/Resources	65	67	3.1%	71	6.0%	77	8.5%	82	6.5%
Mental Health	1,135	1,191	4.9%	1,208	1.4%	1,391	15.1%	1,476	6.1%
Adult Local Services	917	967	5.5%	977	1.0%	1,139	16.6%	1,204	5.7%
Children Local Services	218	224	2.8%	231	3.1%	252	9.1%	272	7.9%
Alcohol and Substance Abuse	307	314	2.3%	340	8.3%	357	5.0%	377	5.6%
Outpatient/Methadone	117	120	2.6%	130	8.3%	136	4.6%	144	5.9%
Residential	123	126	2.4%	136	7.9%	143	5.1%	151	5.6%
Prevention and Program Support	59	60	1.7%	65	8.3%	68	4.6%	72	5.9%
Crisis	8	8	0.0%	9	12.5%	10	11.1%	10	0.0%
Justice Center	1	1	0.0%	1	0.0%	1	0.0%	1	0.0%
SUBTOTAL BEFORE ADJUSTMENTS	3,518	3,633	3.3%	3,834	5.5%	4,205	9.7%	4,479	6.5%
Other Adjustments	(872)	(1,167)	-33.8%	(890)	23.7%	(846)	4.9%	(844)	0.2%
Global Cap Adjustment	(867)	(1,125)	-29.8%	(848)	24.6%	(804)	5.2%	(802)	0.2%
Other DOH Offsets	(42)	(42)	0.0%	(42)	0.0%	(42)	0.0%	(42)	0.0%
53rd Medicaid Cycle	37	0	-100.0%	0	0.0%	0	0.0%	0	0.0%

State Financial Plan Projections Fiscal Years 2017 Through 2020



Local assistance spending accounts for over 40 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 9 percent annually. The main factor driving this level of growth is enhancement of community mental health services; enhancing community-based employment and residential opportunities for individuals with disabilities; maximizing payments from third-party payers; and providing cost-of-living increases and new funding to not-for-profit providers for the minimum wage increase authorized as part of the Enacted Budget agreement.

The FY 2017 Enacted Budget provided approximately \$200 million in increased local assistance funding for mental hygiene agencies. The spending increase is largely related to new community investments in OPWDD and OMH, as individuals are transitioned from State-operated services to community-integrated settings; new service investments in the OPWDD system; new residential beds opening in OMH and funding in OASAS for the package of heroin initiatives.

This funding increase is offset by technical adjustments to the Medicaid Global Cap (\$258 million), as a greater share of OPWDD-related spending will be financed from Global Cap resources, and recognition of one-time costs in FY 2016 for a 53rd weekly Medicaid Cycle (\$37 million). These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

The Financial Plan also includes updated assumptions to reflect revised timelines for ongoing transformation efforts in the mental hygiene service delivery system, and the Federal government's extension of the timeframe to disburse funding from the Balancing Incentive Program (BIP). Authorized under the ACA, BIP is an optional program that provides additional Federal funding to qualifying states to encourage the shift from institutional to community services. It is expected that BIP will enable the State to engage a broad network of providers, advocates and community leaders to develop systematic improvements to delivery systems leading to enhanced community integration for individuals with intellectual and/or developmental disabilities and individuals with mental illness.

As part of the Mid-Year Financial Plan Update, the Human Services COLA trend rate was updated from 2.5 percent to 0.8 percent based on Bureau of Labor Statistics Consumer Price Index - Urban (CPIU) for the 12 month period ending in July 2016, reducing estimated costs for the mental hygiene agencies by approximately \$44 million in FY 2018 through FY 2020. In addition, estimated direct costs for minimum wage have been revised slightly downward to reflect provider survey information collected by OPWDD.

The Updated Financial Plan reflects \$39 million in savings in FY 2018 and \$17 million in FY 2019 due to enhancing the OMH payment reconciliation and recoupment process. Additional savings of \$69 million in FY 2017 and \$15 million in FY 2018 result from prior year provider rate adjustments for services delivered by OPWDD.

Social Services

Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
TOTAL STATE OPERATING FUNDS	1,213	1,262	4.0%	1,312	4.0%	1,330	1.4%	1,340	0.8%
SSI	641	655	2.2%	658	0.5%	661	0.5%	663	0.3%
Public Assistance Benefits	474	484	2.1%	526	8.7%	526	0.0%	526	0.0%
Public Assistance Initiatives	7	29	314.3%	27	-6.9%	36	33.3%	36	0.0%
All Other	91	94	3.3%	101	7.4%	107	5.9%	115	7.5%

OTDA spending on SSI is projected to increase between FY 2016 and FY 2017 and to continue to increase gradually over the course of the multi-year financial plan due to updated caseload projections. Public Assistance benefits spending is projected to increase from FY 2016 to FY 2017 based on an update to DOB’s caseload models, with DOB projecting a total of 557,159 recipients in FY 2017. Approximately 238,388 families are expected to receive benefits through the Family Assistance program in FY 2017, a decrease of 2.2 percent from FY 2016. In the Safety Net program an average of 115,259 families are expected to be helped in FY 2017, a decrease of 2.1 percent from FY 2016. The caseload for single adults/childless couples supported through the Safety Net program is projected at 203,512 in FY 2017, an increase of 0.2 percent from FY 2016. Spending in Public Assistance and All Other Initiatives will increase from FY 2016 to FY 2017 due to the implementation of new programs including several to address homelessness, as well as the expansion of HIV/AIDS Services Administration (HASA) benefits to all Public Assistance recipients living in New York City. Growth is expected to be more gradual in the outyears.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES									
(millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
TOTAL STATE OPERATING FUNDS	1,736	1,711	-1.4%	1,674	-2.2%	1,728	3.2%	1,756	1.6%
Child Welfare Service	491	482	-1.8%	472	-2.1%	482	2.1%	492	2.1%
Foster Care Block Grant	445	445	0.0%	450	1.1%	459	2.0%	466	1.5%
Adoption	152	149	-2.0%	144	-3.4%	143	-0.7%	141	-1.4%
Day Care	270	208	-23.0%	206	-1.0%	199	-3.4%	199	0.0%
Youth Programs	113	154	36.3%	143	-7.1%	142	-0.7%	142	0.0%
Medicaid	89	97	9.0%	97	0.0%	101	4.1%	106	5.0%
Committees on Special Education	45	44	-2.2%	45	2.3%	46	2.2%	51	10.9%
Adult Protective/Domestic Violence	35	32	-8.6%	33	3.1%	34	3.0%	36	5.9%
All Other	96	100	4.2%	84	-16.0%	122	45.2%	123	0.8%

OCFS State Operating Funds spending is projected to decline from FY 2016 through FY 2018, primarily due to the use of Federal Temporary Assistance for Needy Families (TANF) to maintain funding for child care subsidies, a statutory reduction in the FY 2018 Human Services COLA, as well as revised projections in the Pay For Success program in FY 2018. Spending is projected to increase beginning in FY 2019 due to a variety of factors including a projected increase in child welfare services claims and increased costs to fund statutory Human Services COLA increases.

Transportation

In FY 2017, the State will provide approximately \$4.9 billion in operating aid to mass transit systems. The aid is funded mainly from dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of the statewide mass transit operating aid. In addition, the MTA receives operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund now provides additional annual support, subject to appropriation, to the MTA to make up the lost revenue.

TRANSPORTATION (millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
STATE OPERATING FUNDS SUPPORT	4,745	4,934	4.0%	5,023	1.8%	5,090	1.3%	5,181	1.8%
Mass Transit Operating Aid:	<u>2,160</u>	<u>2,280</u>	<u>5.6%</u>	<u>2,280</u>	<u>0.0%</u>	<u>2,280</u>	<u>0.0%</u>	<u>2,280</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,030	2,152	6.0%	2,152	0.0%	2,152	0.0%	2,152	0.0%
Public Transit Aid	86	84	-2.3%	84	0.0%	84	0.0%	84	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,851	1,929	4.2%	2,027	5.1%	2,086	2.9%	2,176	4.3%
Dedicated Mass Transit	666	664	-0.3%	660	-0.6%	668	1.2%	668	0.0%
AMTAP	68	61	-10.3%	56	-8.2%	56	0.0%	56	0.0%
All Other	0	0	0.0%	0	0.0%	0	0.0%	1	0.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Updated Financial Plan includes revised spending estimates for transit assistance in each year to reflect the current receipts forecast.

Beginning in FY 2017, the portion of dedicated mass transit aid that supports capital-related spending has shifted from State special revenue funds to capital financing sources.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2016	FY 2017	FY 2018		FY 2019		FY 2020		
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	
TOTAL STATE OPERATING FUNDS	728	715	-1.8%	733	2.5%	763	4.1%	763	0.0%
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	13	0	-100.0%	18	100.0%	48	166.7%	48	0.0%

State Operating Funds spending for AIM efficiency incentive grants will decline from FY 2016 to FY 2017 due to the timing of grants and the use of settlement money appropriated in DIIF for local government purposes.

Agency Operations

Agency operating costs consist of PS, Non-Personal Service (NPS), and General State Charges (GSCs). Personal Service (PS) includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of the DOT and the Department of Motor Vehicles (DMV) are included in the capital projects fund type and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2016 Results	FY 2017 Updated	Forecast		
			FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Negotiated Base Salary Increases ¹					
CSEA/NYSCOPBA/Council 82/UUP/DC-37/GSEU	2%	TBD	TBD	TBD	TBD
PEF ²	2%	2%	2%	2%	TBD
NYSBPBA/NYSPIA	2%	1.5%	1.5%	TBD	TBD
PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce ³	117,862	118,646	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization ⁴	18.9%	16.7%	16.7%	16.3%	17.0%
After Amortization ⁵	19.3%	20.3%	20.5%	20.5%	21.1%
PFRS Contribution Rate					
Before Amortization ⁴	25.5%	25.1%	25.3%	25.7%	27.1%
After Amortization ⁵	27.6%	28.5%	28.3%	29.3%	30.7%
Employee/Retiree Health Insurance Growth Rates	4.6%	6.3%	6.7%	8.6%	6.7%
PS/Fringe as % of Receipts (All Funds Basis)	13.7%	13.7%	13.6%	13.9%	13.9%
¹ Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements. ² FY 2017 to FY 2019 increases subject to PEF member ratification. ³ Reflects workforce that is subject to direct Executive control. ⁴ Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veteran's pension credit legislation. ⁵ After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.					

State Financial Plan Projections Fiscal Years 2017 Through 2020



Operating costs for PS/NPS are projected to grow modestly over the financial plan period from \$18.7 billion in FY 2017 to \$19.2 billion in FY 2020. Most executive agencies are expected to hold spending at FY 2016 levels. The annual increase reflects expected increases for employee health insurance costs and the State's annual pension payment, as well as costs for the DOH to operate the NYSOH health benefit exchange, continue the transition of administrative functions from local service districts to the State, and operate the new EP.

The State and the New York State PEF reached a three-year tentative agreement – ratification by its membership – that includes general salary increases of 2 percent in each year (FY 2017, FY 2018 and FY 2019). This agreement follows the one-year retroactive labor agreement authorizing payment of a 2 percent general salary increase to members for the period April 1, 2015 through March 31, 2016.

NYSPIA recently achieved a multi-year collective bargaining agreement patterned after the State's 2015 legislative session deals with the State Police Troopers and Commissioned- and Non-Commissioned Officers. The recently enacted NYSPIA pay bill will provide the same schedule of general salary increases provided to NYSPPA members; specifically, a 2 percent general salary increase for each of FY 2015 and FY 2016, in their entirety, and a 1.5 percent general salary increase for each of FY 2017 and FY 2018, respectively.

The State is in active negotiations with all other employee unions, whose contracts concluded in FY 2016, including the CSEA, UUP, the NYSCOPBA, Council 82, DC-37 Housing and the GSEU. Negotiations also continue with the PBANYS, whose last salary increase was at the end of FY 2015.

The State is prepared to negotiate fiscally responsible successor agreements with all of these unions. The State Operating Funds cost of providing a 1 percent general salary increase effective in FY 2017 for PEF, PBANYS, CSEA, UUP, NYSCOPBA, Council 82, DC-37 Housing and GSEU and unrepresented M/C employees is approximately \$130 million annually.

On June 27, 2016, the CUNY Board of Trustees approved collective bargaining agreements between CUNY and unions representing almost all of the University's faculty and staff. For CUNY senior colleges, these agreements are estimated to cost approximately \$250 million for retroactive payments and \$150 million in ongoing annual costs. At the request of CUNY, to make resources available for retroactive payments in the academic year ending June 2017, the State expects to advance its planned payment from October 2017 to June 2017, of approximately \$250 million planned State support for CUNY senior colleges.

Executive agency operational costs are expected to total \$10.1 billion in FY 2017. In FY 2018 spending is expected to increase by \$316 million mainly due to increased costs in Medicaid Admin and EP and the Department of Corrections and Community Supervision (DOCCS), and repayment to the New York Power Authority (NYPA). Higher costs in ITS are the result of the continued statewide IT consolidation.



State Financial Plan Projections Fiscal Years 2017 Through 2020

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS

(millions of dollars)

	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Updated	Projected	Projected	Projected
SUBJECT TO DIRECT EXECUTIVE CONTROL	10,145	10,138	10,454	10,308	10,350
Mental Hygiene	2,824	2,765	2,781	2,802	2,839
Corrections and Community Supervision	2,618	2,632	2,655	2,658	2,665
State Police	693	734	733	732	732
Information Technology Services ¹	506	537	565	577	577
Public Health	403	386	377	377	378
Tax and Finance	336	332	329	329	329
Medicaid Admin/EP	286	385	410	416	420
Children and Family Services	263	247	247	254	254
Environmental Conservation	238	231	233	233	234
Financial Services	202	213	212	212	216
Parks, Recreation and Historic Preservation	181	177	180	180	182
General Services	157	164	161	161	161
Gaming	147	153	158	158	158
Temporary and Disability Assistance	147	132	125	125	132
Workers' Compensation Board	139	137	142	143	145
Extra Bi-Weekly Institutional Pay Period	163	0	0	0	0
New York Power Authority Repayment	21	21	236	22	0
All Other	821	892	910	929	928
UNIVERSITY SYSTEMS	5,953	6,011	6,081	6,180	6,286
State University	5,866	5,925	5,994	6,092	6,196
City University	87	86	87	88	90
INDEPENDENT AGENCIES	310	320	320	321	324
Law	169	172	173	174	177
Audit & Control (OSC)	141	148	147	147	147
TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE	16,408	16,469	16,855	16,809	16,960
Judiciary	1,959	2,026	2,026	2,051	2,053
Legislature	216	219	219	219	219
Statewide Total	18,583	18,714	19,100	19,079	19,232
Personal Service	12,981	12,941	13,006	13,109	13,216
Non-Personal Service	5,602	5,773	6,094	5,970	6,016

¹ Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.

State Financial Plan Projections Fiscal Years 2017 Through 2020



The most significant changes include:

- **Medicaid Admin/EP:** Growth in Medicaid Admin/EP reflects the transitioning of certain functions from the local services districts to the State as part of the ongoing statewide Medicaid Admin takeover initiative, and the implementation of the NYSOH health benefit exchange, the State’s centralized marketplace for health plan shopping and enrollment in accordance with the ACA.
- **State Police:** Additional State Police spending is driven by the addition of recruits to the March 2016 and October 2016 State Police Academy classes and overtime costs related to the deployment of additional troopers to New York City for enhanced bridge and tunnel security.
- **Information Technology Services:** Increases in IT Services from FY 2017 to FY 2020 are attributable to agency transfers for the continuous statewide IT consolidation, which is offset by efficiencies realized through the IT consolidation.
- **Mental Hygiene:** Lower Mental Hygiene agency spending in FY 2017 is the result of there being 26 institutional payrolls versus the 27 institutional payrolls that occurred in FY 2016.
- **NYPA Repayment:** Annual payments to NYPA are pursuant to funding schedules agreed upon by the State and NYPA, and are consistent with previous Enacted Budget Financial Plan assumptions.
- **State University:** Higher SUNY spending reflects anticipated operating needs at SUNY campuses and hospitals supported through campus revenues, State support and hospital revenues.
- **Judiciary:** Increases from FY 2017 to FY 2020 reflect salary increase authorized by the New York State Commission on Legislative, Judicial, and Executive Compensation.
- **Division of Military and Naval Affairs (DMNA):** Increases in DMNA reflect increased security at bridges, tunnels, and airports and the activation of additional National Guard soldiers as a temporary “surge” response to the Chelsea bombing.

Workforce

In FY 2017, \$13 billion or 13.5 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 98,000 Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY and CUNY (43,982) and Independent Agencies (18,276); employees paid on a non-annual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in three areas: SUNY, the mental hygiene agencies, and DOCCS.

STATE OPERATING FUNDS		
FY 2017 FTEs ¹ AND PERSONAL SERVICE SPENDING BY AGENCY (millions of dollars)		
	Dollars	FTEs
Subject to Direct Executive Control	7,257	98,253
Mental Hygiene Agencies	2,257	33,825
Corrections and Community Supervision	2,080	28,181
State Police	660	5,675
Tax and Finance	274	4,276
Information Technology Services	290	3,585
Health	253	3,743
Environmental Conservation	176	2,164
Children and Family Services	164	2,465
Financial Services	156	1,382
Parks, Recreation and Historic Preservation	132	1,528
All Other	815	11,429
University Systems	3,728	43,982
State University	3,683	43,667
City University ²	45	315
Independent Agencies	1,956	18,276
Law	118	1,583
Audit & Control (OSC)	115	1,603
Judiciary	1,557	15,089
Legislature ³	166	1
Total	12,941	160,511

¹ FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

² CUNY employees are funded primarily through an agency trust fund that supports an additional 13,330 FTEs, which are excluded from this table.

³ Legislative employees are nonannual salaried and are excluded from this table, with the exception of the Lieutenant Governor, who serves as President of the Senate.

State Financial Plan Projections Fiscal Years 2017 Through 2020



GSCs

Employee fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State's employer-share of Social Security, health insurance, workers' compensation, unemployment insurance, survivors' benefits fund, employee benefits funds, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations in the GSCs budget.⁶ The Judiciary pays its fringe benefit costs directly.

Employee fringe benefits that are paid through GSCs are paid from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include fixed costs for several categories including State payments in lieu of taxes (PILOT), payments for local assessments on State-owned land, and judgments against the State pursuant to the Court of Claims Act.

GSCs are projected to increase at an average annual rate of 5.4 percent over the Updated Financial Plan period, driven primarily by cost increases for workers' compensation, growing pension contribution levels, and the employer share of costs for employee and retiree health insurance benefits.

In FY 2017, State Operating Funds spending for GSCs is projected to increase by \$255 million (3.4 percent). Health insurance increases reflect rising prescription drug costs, greater use of more expensive specialty drugs for chronic conditions, generic drug price inflation, increased outpatient utilization, and increased inpatient/outpatient utilization in Mental Health. Pension cost increases are driven by actual and forecasted salary base assumptions and the repayment of prior-year amortizations, partially offset by an increase in lower cost Tier 6 entrants.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2016	FY 2017	Change	FY 2018	Change	FY 2019	Change	FY 2020	Change
	Results	Updated		Projected		Projected		Projected	
TOTAL STATE OPERATING FUNDS	7,452	7,707	3.4%	8,242	6.9%	8,679	5.3%	9,200	6.0%
Fringe Benefits	7,045	7,257	3.0%	7,815	7.7%	8,245	5.5%	8,760	6.2%
Health Insurance	3,465	3,683	6.3%	3,931	6.7%	4,268	8.6%	4,556	6.7%
Employee Health Insurance	2,183	2,320	6.3%	2,476	6.7%	2,689	8.6%	2,870	6.7%
Retiree Health Insurance	1,282	1,363	6.3%	1,454	6.7%	1,579	8.6%	1,686	6.7%
Pensions	2,225	2,464	10.7%	2,604	5.6%	2,647	1.6%	2,761	4.3%
Social Security	981	984	0.3%	987	0.3%	995	0.7%	1,003	0.8%
Worker's Compensation	476	319	-32.9%	439	37.6%	484	10.2%	599	23.7%
Employee Benefits	91	95	4.7%	95	0.0%	95	0.0%	95	0.0%
Dental Insurance	59	64	8.4%	65	1.0%	65	1.0%	66	1.0%
Unemployment Insurance	15	15	1.0%	15	0.0%	15	0.0%	15	0.0%
All Other/Non-State Escrow	(268)	(368)	-37.3%	(320)	13.0%	(323)	-0.9%	(335)	-3.7%
Fixed Costs	407	450	10.6%	427	-5.2%	433	1.5%	440	1.5%

⁶ As of July 2015, SUNY Teachers Insurance and Annuity Association - College Retirement Equities Fund (TIAA- CREF) and other SUNY fringe benefit costs are no longer paid directly by SUNY, and have been shifted to the central statewide appropriation.

Growth in GSC base spending in FY 2017 has been offset by gap-closing savings of approximately \$228 million included as part of the FY 2017 Enacted Budget. The savings are primarily driven by \$140 million in lower projected workers' compensation payments, reflecting the use of available reserves which will be transferred directly to the State Insurance Fund (SIF); and approximately \$59 million in interest savings achieved by paying the full State pension bill in April 2016, rather than on the due date of March 1, 2017, net of the final FY 2017 bill issued by OSC in October 2016.

In addition to the actions described above, fringe benefit and fixed cost spending estimates reflect a mix of increasing costs associated with updated baseline growth in health insurance rate renewals and workers' compensation liabilities, and other downward adjustments which reflect the timing of certain payments from prior years.

The Updated Financial Plan adjusts anticipated pension expenses from legislation passed in June 2016 which enables eligible members to receive up to three years of extra pension service credit for their active military service. Current year cost estimates have been reduced to \$82 million from an initial estimate of \$144 million, primarily due to the removal of PFRS costs, which will be incorporated into the base pension bill on a two-year lag (costs incurred in FY 2017 will be billed in FY 2019). The updated estimate also reflects data from the retirement system on actual costs incurred through the end of September 2016. In FY 2017, the State will be billed for ERS costs for State employees and other local employees who participate in Section 25 of the RSSL, provided they were honorably discharged, have five years of creditable service, and agree to pay the employee share of such service credit prior to retirement. The State is required to fund the full present value of the benefit as State and local ERS members opt in. The law permits the State to amortize the first year cost of ERS credits over five years at an interest rate determined by the retirement system, which has been set at a rate of 7 percent; however at this time the State does not plan to amortize these costs.

Additional Mid-Year Update changes include updated pension and litigation cost assumptions. Increased pension costs in FY 2017 and FY 2018 are based on the October 2016 pension estimate prepared by OSC and are reflective of increases in the salary base. Outyear pension costs have increased based on a model that reflects updated salary base information and assumes continuation of modest investment returns, as experienced in the past year. Court of claims and public officer's law estimates have also been revised upward over the Financial Plan period to reflect updated information on current caseloads and pending payments.

State Financial Plan Projections Fiscal Years 2017 Through 2020



Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State's share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)					
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Updated	Projected	Projected	Projected
TOTAL TRANSFERS TO OTHER FUNDS	11,376	11,115	11,604	11,837	12,110
State Share of Mental Hygiene Medicaid ¹	2,036	1,432	1,303	1,242	1,129
Debt Service	1,196	703	1,257	1,181	1,058
SUNY University Operations	998	996	1,001	997	997
Capital Projects	2,721	3,619	3,438	3,396	3,339
Dedicated Highway and Bridge Trust Fund	681	592	678	687	999
Dedicated Infrastructure Investment Fund	857	901	2,151	1,701	732
Management of Debt Issuances	0	1,300	(800)	(500)	0
Environmental Protection Fund	23	146	28	28	28
All Other Capital	1,160	680	1,381	1,480	1,580
ALL OTHER TRANSFERS	4,425	4,365	4,605	5,021	5,587
Mental Hygiene	3,195	3,187	3,397	3,826	4,392
Department of Transportation (MTA Payroll Tax)	331	333	333	334	334
SUNY - Medicaid Reimbursement	355	282	282	282	282
Judiciary Funds	107	107	107	107	107
SUNY - Hospital Operations	88	88	69	69	69
Dedicated Mass Transportation Trust Fund	63	63	66	66	66
Banking Services	52	52	53	53	53
Indigent Legal Services	30	35	35	35	35
Mass Transportation Operating Assistance	21	20	21	21	21
Public Transportation Systems	15	15	16	16	16
Correctional Industries	11	11	11	11	11
Spinal Cord Injury	9	9	9	9	9
Medical Marijuana Fund	7	5	5	5	5
SUNY - General Income Fund Reimbursable Account	0	14	14	0	0
All Other	141	144	187	187	187

¹ Includes transfers related to the multi-year OPWDD disallowance repayments, including the use of monetary settlement funds for the \$850 million upfront repayment.

A significant portion of the capital and operating expenses of DOT and DMV are funded from DHBTF, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and highway use taxes. The Updated Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTF, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$11.1 billion in FY 2017, a \$261 million decrease from FY 2016. This decrease is primarily driven by the FY 2016 use of transfers related to multi-year OPWDD disallowance repayments (as noted in the footnote to the table above), lower levels of transfers for debt service primarily due to FY 2016 prepayments of FY 2017 expenses, and projected increased capital reimbursements from bond proceeds in FY 2017 resulting in decreased support to capital projects funds from General Fund transfers.

Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), DASNY, and the New York State Thruway Authority (NYSTA), subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
General Fund	1,196	703	-41.2%	1,257	78.8%	1,181	-6.0%	1,058	-10.4%
Other State Support	4,402	4,372	-0.7%	4,868	11.3%	5,588	14.8%	6,172	10.5%
State Operating/All Funds Total	5,598	5,075	-9.3%	6,125	20.7%	6,769	10.5%	7,230	6.8%

Total State Operating/All Funds debt service is projected at \$5.1 billion in FY 2017, of which approximately \$703 million is paid from the General Fund via transfers, and \$4.4 billion from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTB bonds, and mental health facilities bonds.

Financial Plan estimates for debt service spending have been reduced by \$132 million in FY 2018 to reflect actual bond sale results to date and assumed refunding savings. FY 2017 debt service spending estimates continue to assume the prepayment of \$60 million of debt service due during FY 2018.



FY 2017 Mid-Year Operating Results

This section provides a summary of operating results for April 2016 through September 2016 compared to (1) the initial projections set forth in the FY 2017 Enacted Budget; (2) the revised projections of the First Quarterly Update; and (3) the results for the prior fiscal year (April 2015 through September 2015). The results below include monetary settlements.

General Fund Results

General Fund receipts, including transfers from other funds, totaled \$34 billion through September. Receipts through September were \$836 million below the Enacted estimate, and \$12 million higher than the First Quarterly estimate. As shown in the table below, tax collections were \$963 million below the Enacted estimate, with a \$1.2 billion shortfall in PIT receipts offset in part by stronger results in other taxes. A similar pattern repeated itself in comparison to the First Quarterly estimate. All other receipts have been slightly better than expected. DOB lowered the annual estimate for tax receipts by \$600 million for FY 2017 in the First Quarterly Update to the Financial Plan.

General Fund disbursements, including transfers to other funds, totaled \$33.4 billion through September. Spending for the six months has been higher than expected in both the Enacted and First Quarterly estimates, due almost exclusively to timing associated with the Medicaid and EP programs that are expected to correct themselves in the coming months. In the First Quarterly Update, DOB lowered the annual estimate for disbursements in FY 2017 by \$728 million compared to the Enacted Budget. Of this amount, \$450 million was due to a change in the timing of a planned transfer of settlement money to the New York State Thruway Authority (originally planned in the current year but now expected in FY 2018), and the balance reflecting downward revisions to a range of spending estimates.

The General Fund ended September with a closing balance of \$9.6 billion, approximately \$1.2 billion below the Enacted estimate and \$348 million below the First Quarterly estimate. Monetary settlements accounted for \$6.2 billion of the \$9.6 billion General Fund balance. The amount includes the August 2016 payment of \$180 million from Megabank described earlier in this Update.

FY 2017 Mid-Year Operating Results



GENERAL FUND OPERATING RESULTS APRIL THROUGH SEPTEMBER 2016 (millions of dollars)

	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
Opening Balance	8,934	8,934	8,934	0	0.0%	0	0.0%
Total Receipts	34,861	34,013	34,025	(836)	-2.4%	12	0.0%
Taxes:	33,424	32,526	32,461	(963)	-2.9%	(65)	-0.2%
Personal Income Tax ¹	23,788	22,974	22,570	(1,218)	-5.1%	(404)	-1.8%
Consumption / Use Taxes ¹	6,418	6,455	6,534	116	1.8%	79	1.2%
Business Taxes	2,229	2,081	2,305	76	3.4%	224	10.8%
Other Taxes ¹	989	1,016	1,052	63	6.4%	36	3.5%
Receipts and Grants	1,340	1,360	1,447	107	8.0%	87	6.4%
Transfers From Other Funds	97	127	117	20	20.6%	(10)	-7.9%
Total Spending	32,980	33,033	33,393	413	1.3%	360	1.1%
Local Assistance	19,233	19,819	20,462	1,229	6.4%	643	3.2%
Agency Operations (including GSCs)	7,665	7,789	7,798	133	1.7%	9	0.1%
Debt Service Transfer	321	333	309	(12)	-3.7%	(24)	-7.2%
Capital Projects Transfer	2,041	1,264	1,126	(915)	-44.8%	(138)	-10.9%
State Share of Medicaid Transfer	676	765	639	(37)	-5.5%	(126)	-16.5%
SUNY Operations Transfer	818	817	817	(1)	-0.1%	-	0.0%
All Other Transfers	2,226	2,246	2,242	16	0.7%	(4)	-0.2%
Change in Operations	1,881	980	632	(1,249)	-66.4%	(348)	-35.5%
Closing Balance	10,815	9,914	9,566	(1,249)	-11.5%	(348)	-3.5%

¹ Includes transfers from other funds after debt service.

Receipts

Tax collections in total were \$963 million lower than projected in the Enacted Budget Financial Plan. Lower PIT collections (\$1.2 billion) were primarily driven by weaker than expected estimated payments and withholding growth through September. All other tax categories exceeded initial projections, with stronger than expected sales tax collections from certain mobile telecommunication providers related to corporate franchise taxes imposed between 2005 and 2014.

The First Quarterly Financial Plan update included a downward revision to FY 2017 receipts, which was mainly for PIT receipts in response to underlying weakness in base tax growth (\$600 million).

Compared to the revised projections, PIT receipts continued to fall below projections (\$404 million), driven by the same factors noted above.

Spending

Through September 2016, General Fund disbursements, including transfers to other funds, were \$413 million higher than initially projected, reflecting the net impact of higher spending for local assistance (\$1.2 billion) and agency operations (\$133 million), partly offset by lower transfers to other funds (\$949 million).

Local assistance spending above plan was primarily driven by Medicaid and EP payments, partly offset by lower spending for education, social services and other local aid programs. Spending for Medicaid was above planned levels through September in part due to routine variances in the receipt of certain offsets and audit recoveries, which are now expected to be received in later months. In addition, costs for the EP, which is funded in the first instance with Federal money, has been higher than expected due to both the increase in enrollment and the timing of Federal reimbursement. In total, State costs for both Medicaid and the EP are expected to be managed within the Medicaid Global Cap, consistent with the Enacted Budget Financial Plan.

Higher agency operations spending includes retroactive salary payments associated with the PEF and the Bureau of Criminal Investigation (New York State Police Investigators Association) (BCI) prior year collective bargaining agreements approved earlier this year, as well as higher fixed costs related to court of claims expenses.

Transfers to other funds were lower than initially planned primarily due to Capital transfers related to the timing of the utilization of bond proceeds for Thruway projects including the New NY Bridge, as well as reduced need due to underspending across the economic development, transportation, and higher education functional areas.

In the First Quarterly Update, projected General Fund spending was reduced by \$728 million, primarily for lower transfers to capital projects in relation to the updated timing assumptions for Thruway New NY Bridge financing as noted above.

FY 2017 Mid-Year Operating Results



Compared to the revised projections, spending was \$360 million higher, largely due to higher Medicaid spending within the DOH Global Cap driven by the continued EP enrollment increases, and additional timing related factors.

The results below show General Fund operations with and without monetary settlements. For a summary discussion of operating results that exclude monetary settlements, see the earlier discussion of the FY 2017 General Fund.

GENERAL FUND OPERATING RESULTS			
APRIL THROUGH SEPTEMBER 2016			
(millions of dollars)			
	Results Excluding Monetary Settlements	Monetary Settlements	Reported Results
Opening Balance	2,634	6,300	8,934
Total Receipts	33,725	300	34,025
Taxes:	32,461	0	32,461
Personal Income Tax ¹	22,570	0	22,570
Consumption / Use Taxes ¹	6,534	0	6,534
Business Taxes	2,305	0	2,305
Other Taxes ¹	1,052	0	1,052
Receipts and Grants	1,147	300	1,447
Transfers From Other Funds	117	0	117
Total Spending	33,000	393	33,393
Local Assistance	20,462	0	20,462
Agency Operations (including GSCs)	7,798	0	7,798
Debt Service Transfer	309	0	309
Capital Projects Transfer	733	393	1,126
State Share of Medicaid Transfer	639	0	639
SUNY Operations Transfer	817	0	817
All Other Transfers	2,242	0	2,242
Change in Operations	725	(93)	632
Closing Balance	3,359	6,207	9,566

¹ Includes transfers from other funds after debt service.

State Operating Funds Results

The State ended September 2016 with a closing balance of \$13.9 billion in State Operating Funds, or \$698 million below the initial projection. The lower balance is comprised of lower receipts (\$358 million), higher spending (\$1.4 billion), and higher financing from other sources (\$1.1 billion). Compared to projections in the First Quarterly Update, the closing balance in State Operating Funds was \$235 million below plan.

STATE OPERATING FUNDS RESULTS APRIL THROUGH SEPTEMBER 2016 (millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
Opening Balance	12,641	12,641	12,641	0	0.0%	0	0.0%
Total Receipts	46,946	46,217	46,588	(358)	-0.8%	371	0.8%
Taxes:	37,117	36,179	36,165	(952)	-2.6%	(14)	0.0%
Personal Income Tax	24,740	23,922	23,499	(1,241)	-5.0%	(423)	-1.8%
Consumption / Use Taxes	7,825	7,854	7,928	103	1.3%	74	0.9%
Business Taxes	2,923	2,761	3,043	120	4.1%	282	10.2%
Other Taxes	1,629	1,642	1,695	66	4.1%	53	3.2%
Miscellaneous/Federal Receipts	9,829	10,038	10,423	594	6.0%	385	3.8%
Total Spending	44,036	44,799	45,445	1,409	3.2%	646	1.4%
Local Assistance	28,771	29,329	29,958	1,187	4.1%	629	2.1%
Agency Operations (including GSCs)	13,771	13,979	14,022	251	1.8%	43	0.3%
Debt Service	1,494	1,491	1,463	(31)	-2.1%	(28)	-1.9%
Capital Projects	0	0	2	2	0.0%	2	0.0%
Other Financing Sources	(951)	78	118	1,069	112.4%	40	51.3%
Change in Operations	1,959	1,496	1,261	(698)	-35.6%	(235)	-15.7%
Closing Balance	14,600	14,137	13,902	(698)	-4.8%	(235)	-1.7%

Receipts

Through September 2016, total receipts were \$358 million lower than the initial plan. Tax collections were \$952 million below plan and is consistent with the General Fund results described earlier. Miscellaneous receipts exceeded initial projections due to the monetary settlement payment from Mega Bank (\$180 million), higher hospital surcharge collections in HCRA (\$133 million) being driven by increasing levels of health care service utilization, and higher than anticipated SUNY revenue levels (\$129 million).

In the First Quarterly Update, projected State Operating Funds receipts were revised downward by \$200 million, largely due to reduced PIT tax collections, partly offset by the monetary settlements from Mega Bank. Compared to these revised estimates, total State Operating Funds receipts were \$371 million higher than planned.

Spending

State Operating Funds spending was \$1.4 billion above the initial plan due to higher spending in both local assistance (\$1.2 billion) and agency operations (\$251 million) and are consistent with the General Fund results described above.

In addition to the General Fund variances described above, STAR payments were lower than anticipated due to updated claiming from school districts (\$91 million), as well as typical variances across all program areas.

In the First Quarterly Update, projected State Operating Funds spending projections were revised upward by \$34 million.

Compared to the revised projections, State Operating Funds spending was \$646 million above plan, resulting mainly from higher Medicaid spending consistent with the General Fund results described above.

Other Financing Sources

Other Financing Sources Other financing sources, which represent the difference between transfers to and from State Operating Funds, were \$1.1 billion above initial estimates due to lower transfers from the General Fund to Capital Projects Funds driven by updated spending assumptions and the timing of the utilization of bond financing for Thruway projects, as noted above.

Capital Projects Results

The State ended September 2016 with a closing balance in Capital Projects Funds of negative \$879 million, \$127 million higher than initial projections. Compared to revised projections, the closing balance was \$27 million lower than planned.

CAPITAL PROJECTS FUNDS RESULTS APRIL THROUGH SEPTEMBER 2016 (millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
Opening Balance	(891)	(891)	(891)	0	0.0%	0	0.0%
Total Receipts	3,377	3,613	3,723	346	10.2%	110	3.0%
Taxes:	657	665	691	34	5.2%	26	3.9%
Consumption / Use Taxes	296	299	321	25	8.4%	22	7.4%
Business Taxes	313	318	322	9	2.9%	4	1.3%
Other Taxes	48	48	48	0	0.0%	-	0.0%
Miscellaneous Receipts	1,746	1,915	1,777	31	1.8%	(138)	-7.2%
Federal Grants	974	1,033	1,255	281	28.9%	222	21.5%
Total Spending	5,272	4,548	4,495	(777)	-14.7%	(53)	-1.2%
Economic Development	717	626	556	(161)	-22.5%	(70)	-11.2%
Parks & the Environment	307	267	273	(34)	-11.1%	6	2.2%
Transportation	2,314	2,212	2,361	47	2.0%	149	6.7%
Health & Social Welfare	129	105	86	(43)	-33.3%	(19)	-18.1%
Mental Hygiene	160	193	118	(42)	-26.3%	(75)	-38.9%
Public Protection	164	181	187	23	14.0%	6	3.3%
Education	659	467	410	(249)	-37.8%	(57)	-12.2%
All Other	822	497	504	(318)	-38.7%	7	1.4%
Other Financing Sources	1,780	974	784	(996)	-56.0%	(190)	-19.5%
Change in Operations	(115)	39	12	127	110.4%	(27)	-69.2%
Closing Balance	(1,006)	(852)	(879)	127	12.6%	(27)	-3.2%

Receipts

Through September 2016, total receipts in Capital Projects Funds were \$346 million higher than initial projections, mainly attributable to higher than anticipated grant receipts for the Federal portion of the State's transportation program.

Spending

The most significant areas contributing to lower capital spending (\$777 million) were slower than expected spending in the Thruway Stabilization Program from the State Infrastructure Fund, as a portion of current spending for the New NY Bridge is instead being directly supported by Thruway Authority Bonds, slower spending for the Smart Schools Bond Act driven by the timing of board-approved applications, and the timing of payments for SUNY-related projects which were anticipated to begin during the first half of the current fiscal year but have not yet been initiated.

All Governmental Funds Results

The State ended September 2016 with an All Governmental Funds closing balance of \$13.4 billion, \$727 million below the Enacted Budget Financial Plan projection, reflecting the net impact of lower receipts (\$975 million) and lower net spending (\$257 million). Compared to the revised projection, the All Governmental Funds closing balance was \$177 million lower than planned.

All Governmental Funds Results April Through September 2016 (millions of dollars)							
	Enacted Plan	Revised Plan	Results	Above/(Below) Variance			
				Enacted Plan		Revised Plan	
				\$	%	\$	%
Opening Balance	11,810	11,810	11,810	0	0.0%	0	0.0%
Total Receipts	76,014	75,378	75,040	(975)	-1.3%	(339)	-0.4%
Taxes:	37,774	36,844	36,855	(920)	-2.4%	11	0.0%
Personal Income Tax	24,740	23,922	23,499	(1,242)	-5.0%	(424)	-1.8%
Consumption / Use Taxes	8,121	8,153	8,249	128	1.6%	96	1.2%
Business Taxes	3,236	3,079	3,364	128	4.0%	285	9.3%
Other Taxes	1,677	1,690	1,743	66	3.9%	53	3.1%
Miscellaneous Receipts	11,646	12,016	12,270	624	5.4%	254	2.1%
Federal Grants	26,594	26,518	25,915	(679)	-2.6%	(603)	-2.3%
Total Spending	73,656	73,580	73,399	(257)	-0.3%	(181)	-0.2%
State Operating Funds:	<u>44,036</u>	<u>44,799</u>	<u>45,445</u>	<u>1,409</u>	3.2%	<u>646</u>	1.4%
Local Assistance	28,771	29,329	29,958	1,187	4.1%	629	2.1%
Agency Operations (including GSCs)	13,771	13,979	14,022	251	1.8%	43	0.3%
Debt Service	1,494	1,491	1,463	(31)	-2.1%	(28)	-1.9%
Capital Projects	0	0	2	2	0.0%	2	0.0%
Capital Projects Funds	5,272	4,548	4,495	(777)	-14.7%	(53)	-1.2%
Federal Operating Funds	24,348	24,233	23,459	(889)	-3.7%	(774)	-3.2%
Other Financing Sources	(14)	(4)	(24)	(10)	-71.4%	(20)	-500.0%
Change in Operations	2,344	1,794	1,617	(727)	-31.0%	(177)	-9.9%
Closing Balance	14,154	13,604	13,427	(727)	-5.1%	(177)	-1.3%

Receipts

Through September 2016, total All Governmental Funds receipts were \$975 million below the initial projections, reflecting the lower PIT collections as described above, as well as lower receipts from Federal Grants, which is directly attributable to lower Federal spending described in more detail below.

Disbursements

Through September 2016, All Funds spending was \$257 million lower than planned. Lower spending for both Capital Projects, as explained above, and Federal operating assistance was partly offset by the higher State Operating Funds spending variance. The largest areas of Federal underspending were Medicaid (\$563 million), which was in part driven by delayed rate approvals which have temporarily shifted spending for Medicaid spending Federal funds to State funds, and delayed Federal education payments related to the timing claim submissions (\$416 million).

Compared to the revised plan, All Governmental Funds spending was \$181 million lower than projections, which in addition to the State Operating Funds and Capital Projects variances described above, included lower spending for Federal Medicaid (\$936 million) and Education (\$303 million), offset by higher than projected disbursements in Federal Public Assistance programs (\$231 million).

All Governmental Funds Annual Change

All Governmental Funds results, as compared to the same period during the prior year, include a higher opening balance (\$2.5 billion), a decline in receipts (\$164 million), and higher spending (\$1.2 billion). The combination of these annual changes resulted in \$1.1 billion increase in overall balance.

All Governmental Funds Results Year-over-Year				
APRIL THROUGH SEPTEMBER				
(millions of dollars)				
	FY 2016	FY 2017	Increase/(Decrease)	
	Results	Results	\$	%
Opening Balance	9,356	11,810	2,454	26.2%
Total Receipts	75,203	75,040	(164)	-0.2%
Taxes:	<u>38,192</u>	<u>36,855</u>	<u>(1,337)</u>	<u>-3.5%</u>
Personal Income Tax	24,234	23,499	(735)	-3.0%
Consumption / Use Taxes	8,119	8,249	131	1.6%
Business Taxes	3,729	3,364	(365)	-9.8%
Other Taxes	2,110	1,743	(367)	-17.4%
Miscellaneous Receipts	13,285	12,270	(1,015)	-7.6%
Federal Grants	23,726	25,915	2,189	9.2%
Total Spending	72,178	73,399	1,221	1.7%
State Operating Funds:	<u>45,628</u>	<u>45,445</u>	<u>(183)</u>	<u>-0.4%</u>
Local Assistance	30,166	29,958	(208)	-0.7%
Agency Operations (including GSCs)	13,786	14,022	236	1.7%
Debt Service	1,675	1,463	(212)	-12.7%
Capital Projects	1	2	1	233.3%
Capital Projects Funds	4,119	4,495	376	9.1%
Federal Operating Funds	22,431	23,459	1,028	4.6%
Other Financing Sources	(54)	(24)	30	-55.6%
Change in Operations	2,972	1,617	(1,355)	-45.6%
Closing Balance	12,328	13,427	1,099	8.9%

Receipts

All Funds tax receipts through September were \$1.3 billion lower than prior year results, primarily as the result of lower PIT receipts (\$735 million) due to declines in tax year 2015 extension payments and quarterly estimated tax payments. Business taxes declined (\$365 million) due primarily to tax cuts enacted as part of corporate tax reform. The year-over-year decline for Other Taxes (\$367 million) was primarily the result of the continued phase-in of the estate tax cut enacted in 2014 and an unusual number of non-recurring super-large (over \$25 million) estate tax payments in FY 2016.

Miscellaneous receipts were \$1 billion below the prior year, largely due to the receipt of one-time settlement proceeds in FY 2016, including over \$1.3 billion from BNP alone.

Federal grants were \$2.2 billion higher than last year and are consistent with Federal spending described in more detail below.

Spending

Through September 2016, All Funds spending was \$1.2 billion higher than the prior year, which was comprised of higher spending for both Federal Operating Funds (\$1 billion) and Capital Projects Funds (\$376 million), partly offset by a reduction in State Operating Funds (\$183 million).

State Operating Funds spending for the first half of FY 2017 was \$183 million or 0.4 percent lower than the same period in the prior year. The largest contributor to this variance is the conversion to an updated financial management system in October 2015, which required the acceleration of certain payments into the month of September, including School Aid and Debt Service, to ensure obligations were met in accordance with statute. Absent this unique timing impact, spending in certain program areas has grown, with Medicaid driving the majority of the growth. Medicaid spending growth was largely driven by significant increases in EP enrollment levels, a program covered within the Medicaid Global Cap, and the other timing variances described earlier which have driven higher State Funds expenses through the first six months of the current fiscal year.

Federal spending growth is largely driven by Medicaid spending (\$1.3 billion), consistent with budgeted growth and the escalating cost impact of associated various Federal health care transformation initiatives (including new spending for the EP). Significant spending declines relative to FY 2016 are Education (\$292 million) and Public Assistance (\$284 million).

Growth in capital projects spending is primarily attributable to the continued implementation of several projects funded from DIIF, where initial spending did not occur until July 2016.

Glossary of Acronyms

AAA	Area Agencies on Aging
ACA	Affordable Care Act
ADAP	Aids Drug Assistance Program
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
AXA	AXA Equitable Life Insurance Company
BCI	Bureau of Criminal Investigation (New York State Police Investigators Association)
BDCC	Bad Debt and Charity Care
BEA	Bureau of Economic Analysis
BIP	Balancing Incentive Program
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CHP	Child Health Plus
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CPI	Consumer Price Index
CPI-U	Consumer Price Index Urban
CSEA	Civil Service Employees Association
CUNY	City University of New York
D&TCs	Diagnostic and Treatment Centers
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTF	Dedicated Highway and Bridge Trust Fund
DHSES	Department of Homeland Security & Emergency Services
DIIF	Dedicated Infrastructure Investment Fund
DMNA	Division of Military and Naval Affairs
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EI	Early Intervention

EP	Essential Plan
EPF	Environmental Protection Fund
EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
FHP	Family Health Plus
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
IAAF	Interim Access Assurance Fund
ICF	Intermediate Care Facility
IT	Information Technology
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LIGC	Life Insurance Guaranty Corporation
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MRT	Medicaid Redesign Team
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYPA	New York Power Authority
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPBA	Police Benevolent Association of the New York State Troopers
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYU	New York University
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services

OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PA	Public Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QHP	Qualified Health Plan (NYSOH)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RSSL	Retirement and Social Security Law
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STEM	Science, Technology, Engineering and Math
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TIAA - CREF	Teachers Insurance and Annuity Association - College Retirement Equities Fund
TRS	Teachers' Retirement System
UPL	Upper Payment Limit
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution Plan
VLT	Video Lottery Terminal



Financial Plan Tables and Accompanying Notes

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds; and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

Capital Projects - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; (3) outdoor recreation and environmental conservation projects; (4) buildings and other capital facilities required by various State departments and agencies; (5) payments to local governments to help finance their

capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (6) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds, including the General Fund and Special Revenue Funds, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Account for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations. Certain disbursements from capital projects funds, including payments to local government units and public authorities, are recorded as local assistance.

PS - Includes the payment of salaries and compensation for State employees.

NPS - Includes payments for operational costs, such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

GSCs - Includes costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State PILOT programs, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

Capital Projects - Includes payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs; highway, parkway and rail preservation projects; and outdoor recreation and environmental conservation projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

Bond Proceeds - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

Tax Stabilization Reserve - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no fewer than three annual installments.

Rainy Day Reserve - Created pursuant to law to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2017 Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

Debt Management - Reserved for 1) the payment of principal, interest, or related expenses; 2) retiring or defeasing existing State-supported debt obligations, including accrued interest; and 3) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.

Undesignated Fund Balance - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase "reserved for."

Labor Agreements Prior to FY 2017 - Due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

Monetary Settlements - Includes the balance of monetary settlements after planned uses.

Note 5 – Spending Adjustments

Certain accounts formerly reported in the Financial Plan as Federal Funds have been reclassified to State Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Funds, and reducing reported disbursements from Federal Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

Note 6 – Items Affecting Annual Comparability

American Recovery and Reinvestment Act of 2009 (ARRA) - On February 17, 2009, President Barack Obama signed into law the ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Primary ARRA-related support ended in FY 2012.

Superstorm Sandy - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings, sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year plan to continue the State's recovery from Superstorm Sandy.

Federal Health Care Transformation

- **ACA** - The ACA, which became effective January 1, 2014, is intended to provide access to health insurance coverage, ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH health benefit exchange, which is the State's single point of access insurance marketplace in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.
- **Federal DSRIP** - On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion over the five-year planning period. The remainder of the DSRIP program reinvestment funding is expected to be disbursed beyond the current planning period.
- **EP** - The EP is a health insurance program, authorized through the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the EP. In April 2015, the State began phasing-in certain legally residing immigrants who were receiving State-only Medicaid coverage. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH health benefit exchange, with the cost of insurance premiums subsidized by the State and Federal government. Upon full implementation of EP, approximately 90 percent of program expenditures are expected to be funded by the Federal government. State funding for the EP is offset by lower State Funds Medicaid program spending, and additional Federal Funds.

Mergers - State agency mergers in recent years include the following:

- New York State Gaming Commission was created on February 1, 2013. The Commission merged the functions and responsibilities of the former Division of the Lottery and the former Racing and Wagering Board. As such, actual and estimated spending beginning in FY 2014 is reflected in the new agency.
- Activities of the Commission on Quality of Care and Advocacy for Persons with Disabilities were subsumed by the New York State Justice Center for the Protection of People with Special Needs, when it became operational on June 30, 2013.
- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Supervision.
- Consumer Protection Board merged into DOS.
- Foundation for Science, Technology, and Innovation merged into the Department of Economic Development.
- Banking and Insurance Departments merged into a new agency known as DFS.

Note 7 – State Funding of SUNY Operating Support

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending to a transfer from the General Fund. SUNY's special revenue tuition offset account, which was traditionally used to offset the State's direct General Fund spending for SUNY operations, now reflects all spending for the university operations, effective with the 2013 academic year that began in July 2012. All General Fund support for SUNY operations is now transferred from the General Fund to this special revenue account, supplementing tuition revenue received by this account, and from which all university operations are funded.

Note 8 – Off-Budget Transactions

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

Note 9 – General Fund/HCRA Combined Gap

Current HCRA authorization ends April 1, 2017. HCRA is projected to remain balanced through FY 2020. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

Note 10 – MTA Financial Assistance Fund

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the MCTD. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.

Additional funding to support the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

Note 11 – Changes to the Medicaid Program

Since January 2006, the State has paid the entire non-Federal share of the FHP program, which has since been phased-out, and any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

Note 12 – Statewide Cash Flow Administration

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

Note 13 — Transfer Eliminations

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller's accounting practices shown in Exhibit A of the Comptroller's Annual Report to the Legislature.

Note 14 — Temporary Loans Summary

The total outstanding loan balance as of March 31, 2016 was \$2.7 billion, comprised of activities that are financed initially by the State pending Federal receipt (\$1.3 billion), advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$966 million), State Special Revenue Funds (\$345 million), and Proprietary Funds (\$179 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

Note 15 — Pension Amortization

Amortization (deferment of payment) temporarily reduces the pension costs that must be paid by the State in a given fiscal year, but results in higher costs overall when repaid with interest. Consistent with the authorizing legislation, the interest cost is calculated by OSC. In FY 2015 and FY 2016, the interest rates charged on the deferred amounts were 3.15 percent and 3.21 percent, respectively. The amortization threshold (the “graded rate”) is projected to approximate the normal rate in upcoming years. Therefore, the Financial Plan no longer assumes amortization of State and OCA pension costs beyond FY 2016.

STATE PENSION COSTS AND AMORTIZATION SAVINGS (millions of dollars)						
Fiscal Year	Amortization Thresholds (Graded Rate)		Statewide Pension Payments*			
	ERS (%)	PFRS (%)	Gross Pension Costs	(Amortization Amount)/ Excess Contributions	Repayment of Amortization (incl. FY 2005 and FY 2006)	Total Statewide Pension Payments
2011	9.5	17.5	1,633	(250)	87	1,470
2012	10.5	18.5	2,140	(563)	119	1,696
2013	11.5	19.5	2,192	(779)	188	1,601
2014	12.5	20.5	2,744	(937)	279	2,086
2015	13.5	21.5	2,438	(713)	393	2,118
2016	14.5	22.5	2,189	(356)	392	2,225
2017	15.1	23.5	2,032	0	432	2,464
2018	14.9	24.3	2,172	0	432	2,604
2019	15.6	25.3	2,214	0	432	2,646
2020	16.6	26.3	2,329	0	432	2,761

* Includes ERS, PFRS, TRS, ORP, and VDC.

Note 16 — 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The current Administration is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

Note 17 — Reclassification of SUNY Dormitory Income Fund

Legislation included with the FY 2014 Enacted Budget created a new bonding program for SUNY Dormitory Facilities. The program is supported solely by third-party revenues generated by student rents. All rental revenues initially flow to DASNY for the payment of debt service on SUNY Dormitory Facilities, after which the balance of rental revenues is credited to the State's SUNY Dormitory Income Fund to support other costs associated with SUNY Dormitory Facilities. There will be no prospective debt service spending from the SUNY Dormitory Income fund, and therefore this fund was reclassified from a Debt Service Fund to a Special Revenue Fund of the State, effective in FY 2015.

Note 18 - List of Settlements Received

The following monetary settlements were received by the State through FY 2017.

- BNP Paribas, S.A. New York Branch (BNPP) paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General and Credit Suisse Securities to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.

- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks into processing illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates, including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- Barclays paid \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays’ attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays’ automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution

agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties...

- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms related to BofA's violations of State law in connection with the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and Banc of America Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of compliance risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State allegedly resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the

best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.

- Citigroup Inc. (“Citigroup”) paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into Citigroup.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively “Goldman”) paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman’s failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively “Goldman Sachs”), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively “MetLife Parties”) paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS’s investigation of PwC’s actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.

- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory’s performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS’s requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day’s violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking laws.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, “Volkswagen”) will pay the State over \$30 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen’s violations of emissions standards and state consumer protection laws. These funds will be set aside with other settlements that have not yet been appropriated. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$117 million for air quality improvement programs in New York.
- Mega International Commercial Bank Co., LTD. and Mega International Commercial Bank Co. LTD. – New York Branch (collectively “Mega Bank”) paid the State a \$180 million monetary penalty in accordance with an August 19, 2016 consent order between DFS and Mega Bank. This consent order pertains to Mega Bank’s failure to maintain effective complaint and compliance programs, its failure to report the discovery of certain misconduct, and for other violations of New York Banking Law.
- Agricultural Bank of China Limited and Agricultural Bank of China, New York Branch (collectively “Agricultural Bank of China”) have agreed to pay a \$215 million civil monetary penalty pursuant to a consent order between the NYS DFS and Agricultural Bank of China. This consent order pertained to Agricultural Bank of China’s serious and persistent compliance failures which indicate a fundamental lack of recognition of the need for a vigorous compliance infrastructure, and inadequate attention to the state of compliance.

General Fund – Total Budget

Financial Plan Projections FY 2017 through FY 2020.....	T-1
Financial Plan, Annual Change from FY 2016 to FY 2017	T-2
FY 2017	T-3
FY 2018	T-4
FY 2019	T-5
FY 2020	T-6

General Fund – Revenue Detail (Excluding Transfers)

Financial Plan Projections FY 2017 through FY 2020.....	T-7
Financial Plan, Annual Change from FY 2016 to FY 2017	T-8

State Operating Funds Budget

FY 2016	T-9
FY 2017	T-10
FY 2018	T-11
FY 2019	T-12
FY 2020	T-13
Annual Change from FY 2016 to FY 2017	T-14

All Governmental Funds – Total Budget

FY 2016	T-15
FY 2017	T-16
FY 2018	T-17
FY 2019	T-18
FY 2020	T-19
Annual Change from FY 2016 to FY 2017	T-20

All Governmental Funds – Revenue Detail

FY 2016	T-21
FY 2017	T-22
FY 2018	T-23
FY 2019	T-24
FY 2020	T-25
Annual Change from FY 2016 to FY 2017	T-26

Special Revenue Funds

FY 2016	T-27
FY 2017	T-28
FY 2018	T-29
FY 2019	T-30
FY 2020	T-31
Annual Change from FY 2016 to FY 2017	T-32
Receipts Detail, FY 2017 through FY 2020.....	T-33
Receipts Detail, Annual Change from FY 2016 to FY 2017.....	T-34

Capital Budget

FY 2016	T-35
FY 2017	T-36
FY 2018	T-37
FY 2019	T-38
FY 2020	T-39
Annual Change from FY 2016 to FY 2017	T-40
Receipts Detail, FY 2017 through FY 2020.....	T-41
Receipts Detail, Annual Change from FY 2016 to FY 2017.....	T-41
Off Budget Capital Spending, FY 2016 through FY 2020	T-42

Debt Service Funds – Revenue Detail

Projections FY 2017 through FY 2020	T-43
Annual Change from FY 2016 to FY 2017	T-43

State Funds – Total Budget

FY 2016	T-44
FY 2017	T-45
FY 2018	T-46
FY 2019	T-47
FY 2020	T-48
Annual Change from FY 2016 to FY 2017	T-49

Cash Flow – Update of FY 2016 Actuals

General Fund.....	T-50
State Operating Funds.....	T-51
All Governmental Funds.....	T-52
Special Revenue Funds - Total.....	T-53
Special Revenue Funds - State	T-54
Special Revenue Funds - Federal.....	T-55
Debt Service Funds	T-56
Capital Projects Funds - Total.....	T-57
Capital Projects Funds - State.....	T-58
Capital Projects Funds - Federal.....	T-59
State Funds.....	T-60

Cash Flow – FY 2017 Monthly Projections

General Fund.....	T-61
State Operating Funds.....	T-62
All Governmental Funds.....	T-63
Special Revenue Funds - Total.....	T-64
Special Revenue Funds - State	T-65
Special Revenue Funds - Federal.....	T-66
Debt Service Funds	T-67
Capital Projects Funds - Total.....	T-68
Capital Projects Funds - State.....	T-69
Capital Projects Funds - Federal.....	T-70
State Funds.....	T-71

Health Care Reform Act Resources Fund

Projections FY 2017 (Change from First Quarter).....	T-72
Projections FY 2017 through FY 2020	T-73
Annual Change from FY 2016 to FY 2017	T-73
FY 2016 Monthly Cash Flow Projections	T-74
FY 2017 Monthly Cash Flow Projections.....	T-74

Proprietary and Fiduciary Funds (FY 2016 through FY 2020)..... T-75

Workforce Summary Report (FY 2015 through FY 2017)

General Fund	T-76
State Operating Funds.....	T-77
State Funds	T-79
All Funds	T-81
Special Revenue Fund - Other	T-83
Special Revenue Fund - Federal.....	T-84
Capital Projects Fund - Other	T-85
Capital Projects Fund - Federal.....	T-86
Enterprise Fund	T-87
Internal Service Fund	T-88
Agency Trust Fund.....	T-89
Pension Trust Fund.....	T-90
Private Purpose Trust Fund.....	T-91

Spending Detail by Agency (FY 2016 through FY 2020)

General Fund – Total (Agency Detail Excluding Transfers).....	T-92
General Fund – Total	T-100
General Fund – Local Assistance	T-103
General Fund – State Operations.....	T-105
General Fund – Personal Service	T-107
General Fund – Non-personal Service.....	T-109
General Fund – General State Charges	T-111

State Operating Funds – Total (Agency Detail)	T-112
State Operating Funds – Total	T-122
State Operating Funds – Local Assistance	T-125
State Operating Funds – State Operations	T-127
State Operating Funds – Personal Service.....	T-130
State Operating Funds – Non-personal Service	T-132
State Operating Funds – General State Charges	T-135
State Operating Funds – Capital Projects.....	T-137
 Capital Projects Funds – Total.....	 T-138
 All Governmental Funds – Total (Agency Detail)	 T-140
All Governmental Funds – Total	T-151
All Governmental Funds – Local Assistance	T-154
All Governmental Funds – State Operations.....	T-156
All Governmental Funds – Personal Service	T-159
All Governmental Funds – Non-personal Service.....	T-162
All Governmental Funds – General State Charges.....	T-165
All Governmental Funds – Capital Projects	T-167
 State Funds – Total.....	 T-169
State Funds – Local Assistance	T-172
State Funds – State Operations.....	T-174
State Funds – Personal Service	T-177
State Funds – Non-personal Service.....	T-179
State Funds – General State Charges.....	T-182
State Funds – Capital Projects	T-184
 Special Revenue State Funds – Local Assistance	 T-186
Special Revenue State Funds – Personal Service.....	T-188
Special Revenue State Funds – Non-personal Service.....	T-190
Special Revenue State Funds – General State Charges.....	T-192
Special Revenue Federal Funds – Local Assistance	T-194
Special Revenue Federal Funds – Personal Service	T-196
Special Revenue Federal Funds – Non-personal Service	T-198
Special Revenue Federal Funds – General State Charges.....	T-200
 General Fund Transfers (FY 2016 through FY 2020)	
General Fund Transfers from Other Funds	T-202
General Fund Transfers to Other Funds	T-204
 FY 2017 Cash Basis Combining Statement	
General Fund.....	T-205
Special Revenue Funds.....	T-206
Special Revenue Other Funds Detail by Account.....	T-209
Miscellaneous Special Revenue Fund (339) Detail by Account.....	T-213

Financial Plan Tables and Accompanying Notes



Capital Projects Funds.....	T-219
Debt Service Funds	T-221
Internal Services	T-222
Enterprise	T-223
GAAP – General Fund	
Update of FY 2017 (Change from Executive).....	T-224
Projections FY 2017 through FY 2020	T-225
GAAP – All Governmental Funds	
FY 2017 Financial Plan	T-226
FY 2017 Major Funds Financial Plan	T-227
GAAP Basis Combining Statement	
FY 2017 General Fund	T-228
FY 2017 Cash to GAAP Conversion Tables	
General Fund.....	T-229
Special Revenue Funds.....	T-230
Capital Projects Funds	T-230
Debt Service Funds	T-230
Debt Service Tables.....	T-231
Financial Plan Table Appendices	
List of Joint Custody Funds	T-238
State Fund Structure	T-241

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2017 through FY 2020
(millions of dollars)**

	<u>FY 2017</u> <u>Mid-Year</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	32,839	34,769	34,767	36,285
Consumption/Use Taxes	7,076	7,415	7,703	7,973
Business Taxes	5,775	6,078	6,155	6,538
Other Taxes	1,054	970	932	983
Miscellaneous Receipts	3,187	2,486	2,448	2,334
Federal Receipts	0	0	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,634	10,693	10,206	10,288
Sales Tax in Excess of LGAC	2,867	3,115	3,156	3,294
Sales Tax in Excess of Revenue Bond Debt Service	2,669	2,761	2,796	2,980
Real Estate Taxes in Excess of CW/CA Debt Service	951	1,021	1,076	1,128
All Other	1,218	716	715	699
Total Receipts	<u>68,270</u>	<u>70,024</u>	<u>69,954</u>	<u>72,502</u>
Disbursements:				
Local Assistance Grants	45,379	48,489	51,399	54,408
Departmental Operations:				
Personal Service	6,055	6,174	6,211	6,280
Non-Personal Service	2,204	2,632	2,445	2,522
General State Charges	5,567	6,010	6,384	6,848
Transfers to Other Funds:				
Debt Service	703	1,257	1,181	1,058
Capital Projects	3,619	3,438	3,396	3,339
State Share of Mental Hygiene Medicaid	1,432	1,303	1,242	1,129
SUNY Operations	996	1,001	997	997
Other Purposes	4,365	4,605	5,021	5,587
Total Disbursements	<u>70,320</u>	<u>74,909</u>	<u>78,276</u>	<u>82,168</u>
Use (Reservation) of Fund Balance:				
Community Projects	10	0	0	0
Labor Agreements	75	0	0	0
Undesignated Fund Balance	87	0	0	0
Monetary Settlements	1,878	1,352	1,200	731
Programmed	2,423	1,352	1,200	731
Unbudgeted	(545)	0	0	0
Total Use (Reservation) of Fund Balance	<u>2,050</u>	<u>1,352</u>	<u>1,200</u>	<u>731</u>
Adherence to 2% Spending Benchmark	0	2,844	5,059	7,220
Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements	<u>0</u>	<u>(689)</u>	<u>(2,063)</u>	<u>(1,715)</u>

CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE FROM CURRENT YEAR
(millions of dollars)

	FY 2016 Results	FY 2017 Mid-Year	Annual \$ Change	Annual % Change
Opening Fund Balance	7,300	8,934	1,634	22.4%
Receipts:				
Taxes:				
Personal Income Tax	31,957	32,839	882	2.8%
Consumption/Use Taxes	6,819	7,076	257	3.8%
Business Taxes	5,647	5,775	128	2.3%
Other Taxes	1,540	1,054	(486)	-31.6%
Miscellaneous Receipts	5,842	3,187	(2,655)	-45.4%
Federal Receipts	0	0	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,159	10,634	475	4.7%
Sales Tax in Excess of LGAC	2,728	2,867	139	5.1%
Sales Tax in Excess of Revenue Bond Debt Service	2,759	2,669	(90)	-3.3%
Real Estate Taxes in Excess of CW/CA Debt Service	972	951	(21)	-2.2%
All Other	1,253	1,218	(35)	-2.8%
Total Receipts	69,676	68,270	(1,406)	-2.0%
Disbursements:				
Local Assistance Grants	43,314	45,379	2,065	4.8%
Departmental Operations:				
Personal Service	6,011	6,055	44	0.7%
Non-Personal Service	1,944	2,204	260	13.4%
General State Charges	5,397	5,567	170	3.1%
Transfers to Other Funds:				
Debt Service	1,196	703	(493)	-41.2%
Capital Projects	2,721	3,619	898	33.0%
State Share of Mental Hygiene Medicaid	2,036	1,432	(604)	-29.7%
SUNY Operations	998	996	(2)	-0.2%
Other Purposes	4,425	4,365	(60)	-1.4%
Total Disbursements	68,042	70,320	2,278	3.3%
Excess (Deficiency) of Receipts Over Disbursements	1,634	(2,050)	(3,684)	-225.5%
Closing Fund Balance	8,934	6,884	(2,050)	-22.9%
Statutory Reserves				
Tax Stabilization Reserve	1,258	1,258	0	
Rainy Day Reserve	540	540	0	
Contingency Reserve	21	21	0	
Community Projects	63	53	(10)	
Reserved For				
Labor Agreements	15	90	75	
Undesignated Fund Balance ¹	237	0	(237)	
Debt Management	500	500	0	
Monetary Settlements	6,300	4,422	(1,878)	
Programmed	5,755	3,332	(2,423)	
Unbudgeted	545	1,090	545	

¹The undesignated fund balance carried forward from FY 2016 totaled \$237 million of which \$87 million was planned for use in FY 2017 and \$150 million was set aside for potential costs of labor agreements (\$60 million has been used leaving \$90 million remaining).

**CASH FINANCIAL PLAN
GENERAL FUND
FY 2017
(millions of dollars)**

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	33,420	(581)	32,839
Consumption/Use Taxes	7,085	(9)	7,076
Business Taxes	5,750	25	5,775
Other Taxes	1,045	9	1,054
Miscellaneous Receipts	2,826	361	3,187
Federal Receipts	1	(1)	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,874	(240)	10,634
Sales Tax in Excess of LGAC	2,867	0	2,867
Sales Tax in Excess of Revenue Bond Debt Service	2,646	23	2,669
Real Estate Taxes in Excess of CW/CA Debt Service	951	0	951
All Other	1,203	15	1,218
Total Receipts	<u>68,668</u>	<u>(398)</u>	<u>68,270</u>
Disbursements:			
Local Assistance Grants	45,769	(390)	45,379
Departmental Operations:			
Personal Service	6,012	43	6,055
Non-Personal Service	2,253	(49)	2,204
General State Charges	5,552	15	5,567
Transfers to Other Funds:			
Debt Service	706	(3)	703
Capital Projects	3,810	(191)	3,619
State Share of Mental Hygiene Medicaid	1,437	(5)	1,432
SUNY Operations	996	0	996
Other Purposes	4,578	(213)	4,365
Total Disbursements	<u>71,113</u>	<u>(793)</u>	<u>70,320</u>
Use (Reservation) of Fund Balance:			
Community Projects	10	0	10
Labor Agreements	75	0	75
Undesignated Fund Balance	87	0	87
Monetary Settlements	2,273	(395)	1,878
Programmed	2,423	0	2,423
Unbudgeted	(150)	(395)	(545)
Total Use (Reservation) of Fund Balance	<u>2,445</u>	<u>(395)</u>	<u>2,050</u>
Adherence to 2% Spending Benchmark	0	0	0
Net General Fund Surplus (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>

CASH FINANCIAL PLAN
GENERAL FUND
FY 2018
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	35,389	(620)	34,769
Consumption/Use Taxes	7,421	(6)	7,415
Business Taxes	6,078	0	6,078
Other Taxes	971	(1)	970
Miscellaneous Receipts	2,486	0	2,486
Federal Receipts	1	(1)	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,883	(190)	10,693
Sales Tax in Excess of LGAC	3,115	0	3,115
Sales Tax in Excess of Revenue Bond Debt Service	2,718	43	2,761
Real Estate Taxes in Excess of CW/CA Debt Service	1,021	0	1,021
All Other	751	(35)	716
Total Receipts	<u>70,834</u>	<u>(810)</u>	<u>70,024</u>
Disbursements:			
Local Assistance Grants	48,967	(478)	48,489
Departmental Operations:			
Personal Service	6,068	106	6,174
Non-Personal Service	2,613	19	2,632
General State Charges	5,916	94	6,010
Transfers to Other Funds:			
Debt Service	1,260	(3)	1,257
Capital Projects	3,469	(31)	3,438
State Share of Mental Hygiene Medicaid	1,325	(22)	1,303
SUNY Operations	1,001	0	1,001
Other Purposes	4,805	(200)	4,605
Total Disbursements	<u>75,424</u>	<u>(515)</u>	<u>74,909</u>
Use (Reservation) of Fund Balance:			
Community Projects	0	0	0
Monetary Settlements	1,352	0	1,352
Programmed	1,352	0	1,352
Unbudgeted	0	0	0
Total Use (Reservation) of Fund Balance	<u>1,352</u>	<u>0</u>	<u>1,352</u>
Adherence to 2% Spending Benchmark	3,031	(187)	2,844
Net General Fund Surplus (Deficit)	<u>(207)</u>	<u>(482)</u>	<u>(689)</u>

CASH FINANCIAL PLAN
GENERAL FUND
FY 2019
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	35,429	(662)	34,767
Consumption/Use Taxes	7,709	(6)	7,703
Business Taxes	6,155	0	6,155
Other Taxes	933	(1)	932
Miscellaneous Receipts	2,455	(7)	2,448
Federal Receipts	1	(1)	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,442	(236)	10,206
Sales Tax in Excess of LGAC	3,156	0	3,156
Sales Tax in Excess of Revenue Bond Debt Service	2,800	(4)	2,796
Real Estate Taxes in Excess of CW/CA Debt Service	1,076	0	1,076
All Other	750	(35)	715
Total Receipts	<u>70,906</u>	<u>(952)</u>	<u>69,954</u>
Disbursements:			
Local Assistance Grants	51,595	(196)	51,399
Departmental Operations:			
Personal Service	6,104	107	6,211
Non-Personal Service	2,426	19	2,445
General State Charges	6,124	260	6,384
Transfers to Other Funds:			
Debt Service	1,182	(1)	1,181
Capital Projects	3,399	(3)	3,396
State Share of Mental Hygiene Medicaid	1,301	(59)	1,242
SUNY Operations	997	0	997
Other Purposes	5,160	(139)	5,021
Total Disbursements	<u>78,288</u>	<u>(12)</u>	<u>78,276</u>
Use (Reservation) of Fund Balance:			
Monetary Settlements			
Programmed	1,200	0	1,200
Unbudgeted	0	0	0
Total Use (Reservation) of Fund Balance	<u>1,200</u>	<u>0</u>	<u>1,200</u>
Adherence to 2% Spending Benchmark	4,710	349	5,059
Net General Fund Surplus (Deficit)	<u>(1,472)</u>	<u>(591)</u>	<u>(2,063)</u>

CASH FINANCIAL PLAN
GENERAL FUND
FY 2020
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Receipts:			
Taxes:			
Personal Income Tax	36,988	(703)	36,285
Consumption/Use Taxes	7,979	(6)	7,973
Business Taxes	6,538	0	6,538
Other Taxes	984	(1)	983
Miscellaneous Receipts	2,335	(1)	2,334
Federal Receipts	1	(1)	0
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,501	(213)	10,288
Sales Tax in Excess of LGAC	3,294	0	3,294
Sales Tax in Excess of Revenue Bond Debt Service	3,009	(29)	2,980
Real Estate Taxes in Excess of CW/CA Debt Service	1,128	0	1,128
All Other	734	(35)	699
Total Receipts	<u>73,491</u>	<u>(989)</u>	<u>72,502</u>
Disbursements:			
Local Assistance Grants	54,450	(42)	54,408
Departmental Operations:			
Personal Service	6,166	114	6,280
Non-Personal Service	2,502	20	2,522
General State Charges	6,467	381	6,848
Transfers to Other Funds:			
Debt Service	1,076	(18)	1,058
Capital Projects	3,311	28	3,339
State Share of Mental Hygiene Medicaid	1,236	(107)	1,129
SUNY Operations	997	0	997
Other Purposes	5,571	16	5,587
Total Disbursements	<u>81,776</u>	<u>392</u>	<u>82,168</u>
Use (Reservation) of Fund Balance:			
Monetary Settlements	731	0	731
Programmed	731	0	731
Unbudgeted	0	0	0
Total Use (Reservation) of Fund Balance	<u>731</u>	<u>0</u>	<u>731</u>
Adherence to 2% Spending Benchmark	6,532	688	7,220
Net General Fund Surplus (Deficit)	<u>(1,022)</u>	<u>(693)</u>	<u>(1,715)</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
FY 2017 THROUGH FY 2020
(millions of dollars)**

	FY 2017	FY 2018	FY 2019	FY 2020
	Mid-Year	Projected	Projected	Projected
Taxes:				
Withholdings	38,021	39,391	40,548	42,605
Estimated Payments	15,256	16,955	16,344	17,394
Final Payments	2,620	2,841	3,049	3,198
Other Payments	1,358	1,396	1,457	1,521
Gross Collections	57,255	60,583	61,398	64,718
State/City Offset	(778)	(803)	(828)	(754)
Refunds	(8,388)	(9,452)	(10,319)	(11,759)
Reported Tax Collections	48,089	50,328	50,251	52,205
STAR (Dedicated Deposits)	(3,228)	(2,977)	(2,921)	(2,869)
RBTF (Dedicated Transfers)	(12,022)	(12,582)	(12,563)	(13,051)
Personal Income Tax	32,839	34,769	34,767	36,285
Sales and Use Tax	12,958	13,626	14,212	14,764
Cigarette and Tobacco Taxes	339	339	329	318
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	258	263	268	273
Medical Marihuana Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and Fees	13,555	14,228	14,809	15,355
LGAC/STBF (Dedicated Transfers)	(6,479)	(6,813)	(7,106)	(7,382)
Consumption/Use Taxes	7,076	7,415	7,703	7,973
Corporation Franchise Tax	3,538	3,950	3,949	4,312
Corporation and Utilities Tax	568	559	563	569
Insurance Taxes	1,346	1,407	1,521	1,597
Bank Tax	323	162	122	60
Petroleum Business Tax	0	0	0	0
Business Taxes	5,775	6,078	6,155	6,538
Estate Tax	1,034	950	912	963
Real Estate Transfer Tax	1,138	1,204	1,258	1,308
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	17	17	17	17
Other Taxes	3	3	3	3
Gross Other Taxes	2,192	2,174	2,190	2,291
Real Estate Transfer Tax (Dedicated)	(1,138)	(1,204)	(1,258)	(1,308)
Other Taxes	1,054	970	932	983
Payroll Tax	0	0	0	0
Total Taxes	46,744	49,232	49,557	51,779
Licenses, Fees, Etc.	619	661	634	666
Abandoned Property	525	525	525	525
Motor Vehicle Fees	178	228	241	253
ABC License Fee	59	65	64	66
Reimbursements	263	298	280	303
Investment Income	20	13	8	8
Other Transactions	1,523	696	696	513
Miscellaneous Receipts	3,187	2,486	2,448	2,334
Federal Receipts	0	0	0	0
Total	49,931	51,718	52,005	54,113

**CURRENT STATE RECEIPTS
GENERAL FUND
FY 2016 and FY 2017
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Taxes:				
Withholdings	36,549	38,021	1,472	4.0%
Estimated Payments	16,111	15,256	(855)	-5.3%
Final Payments	2,630	2,620	(10)	-0.4%
Other Payments	1,310	1,358	48	3.7%
Gross Collections	<u>56,600</u>	<u>57,255</u>	<u>655</u>	<u>1.2%</u>
State/City Offset	(675)	(778)	(103)	-15.3%
Refunds	(8,870)	(8,388)	482	5.4%
Reported Tax Collections	<u>47,055</u>	<u>48,089</u>	<u>1,034</u>	<u>2.2%</u>
STAR (Dedicated Deposits)	(3,335)	(3,228)	107	3.2%
RBTF (Dedicated Transfers)	(11,763)	(12,022)	(259)	-2.2%
Personal Income Tax	<u>31,957</u>	<u>32,839</u>	<u>882</u>	<u>2.8%</u>
Sales and Use Tax	12,485	12,958	473	3.8%
Cigarette and Tobacco Taxes	322	339	17	5.3%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	255	258	3	1.2%
Medical Marihuana Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
Gross Utility Taxes and Fees	<u>13,062</u>	<u>13,555</u>	<u>493</u>	<u>3.8%</u>
LGAC/STBF (Dedicated Transfers)	(6,243)	(6,479)	(236)	-3.8%
Consumption/Use Taxes	<u>6,819</u>	<u>7,076</u>	<u>257</u>	<u>3.8%</u>
Corporation Franchise Tax	3,763	3,538	(225)	-6.0%
Corporation and Utilities Tax	594	568	(26)	-4.4%
Insurance Taxes	1,419	1,346	(73)	-5.1%
Bank Tax	(129)	323	452	350.4%
Petroleum Business Tax	0	0	0	0.0%
Business Taxes	<u>5,647</u>	<u>5,775</u>	<u>128</u>	<u>2.3%</u>
Estate Tax	1,521	1,034	(487)	-32.0%
Real Estate Transfer Tax	1,163	1,138	(25)	-2.1%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	17	17	0	0.0%
Other Taxes	2	3	1	50.0%
Gross Other Taxes	<u>2,703</u>	<u>2,192</u>	<u>(511)</u>	<u>-18.9%</u>
Real Estate Transfer Tax (Dedicated)	(1,163)	(1,138)	25	2.1%
Other Taxes	<u>1,540</u>	<u>1,054</u>	<u>(486)</u>	<u>-31.6%</u>
Payroll Tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0%</u>
Total Taxes	<u>45,963</u>	<u>46,744</u>	<u>781</u>	<u>1.7%</u>
Licenses, Fees, Etc.	630	619	(11)	-1.7%
Abandoned Property	527	525	(2)	-0.4%
Motor Vehicle Fees	194	178	(16)	-8.2%
ABC License Fee	66	59	(7)	-10.6%
Reimbursements	232	263	31	13.4%
Investment Income	13	20	7	53.8%
Other Transactions	4,180	1,523	(2,657)	-63.6%
Miscellaneous Receipts	<u>5,842</u>	<u>3,187</u>	<u>(2,655)</u>	<u>-45.4%</u>
Federal Receipts	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0%</u>
Total	<u>51,805</u>	<u>49,931</u>	<u>(1,874)</u>	<u>-3.6%</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2016
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Opening Fund Balance	7,300	2,472	118	9,890
Receipts:				
Taxes	45,963	8,266	19,050	73,279
Miscellaneous Receipts	5,842	16,926	487	23,255
Federal Receipts	0	0	73	73
Total Receipts	<u>51,805</u>	<u>25,192</u>	<u>19,610</u>	<u>96,607</u>
Disbursements:				
Local Assistance Grants	43,314	19,339	0	62,653
Departmental Operations:				
Personal Service	6,011	6,970	0	12,981
Non-Personal Service	1,944	3,621	37	5,602
General State Charges	5,397	2,055	0	7,452
Debt Service	0	0	5,598	5,598
Capital Projects	0	2	0	2
Total Disbursements	<u>56,666</u>	<u>31,987</u>	<u>5,635</u>	<u>94,288</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	17,871	8,631	4,007	30,509
Transfers to Other Funds	(11,376)	(761)	(17,940)	(30,077)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,495</u>	<u>7,870</u>	<u>(13,933)</u>	<u>432</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,634</u>	<u>1,075</u>	<u>42</u>	<u>2,751</u>
Closing Fund Balance	<u>8,934</u>	<u>3,547</u>	<u>160</u>	<u>12,641</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2017
(millions of dollars)**

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Opening Fund Balance	8,934	3,547	160	12,641
Receipts:				
Taxes	46,744	8,197	19,520	74,461
Miscellaneous Receipts	3,187	16,221	485	19,893
Federal Receipts	0	1	73	74
Total Receipts	<u>49,931</u>	<u>24,419</u>	<u>20,078</u>	<u>94,428</u>
Disbursements:				
Local Assistance Grants	45,379	19,278	0	64,657
Departmental Operations:				
Personal Service	6,055	6,886	0	12,941
Non-Personal Service	2,204	3,530	39	5,773
General State Charges	5,567	2,140	0	7,707
Debt Service	0	0	5,075	5,075
Capital Projects	0	3	0	3
Total Disbursements	<u>59,205</u>	<u>31,837</u>	<u>5,114</u>	<u>96,156</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	18,339	7,802	3,300	29,441
Transfers to Other Funds	(11,115)	(869)	(18,231)	(30,215)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>7,224</u>	<u>6,933</u>	<u>(14,931)</u>	<u>(774)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(2,050)</u>	<u>(485)</u>	<u>33</u>	<u>(2,502)</u>
Closing Fund Balance	<u><u>6,884</u></u>	<u><u>3,062</u></u>	<u><u>193</u></u>	<u><u>10,139</u></u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2018
(millions of dollars)

	General Fund	State Special Revenue Funds	Debt Service Funds	State Operating Funds Total
Receipts:				
Taxes	49,232	8,043	20,480	77,755
Miscellaneous Receipts	2,486	15,808	455	18,749
Federal Receipts	0	1	73	74
Total Receipts	<u>51,718</u>	<u>23,852</u>	<u>21,008</u>	<u>96,578</u>
Disbursements:				
Local Assistance Grants	48,489	18,965	0	67,454
Departmental Operations:				
Personal Service	6,174	6,832	0	13,006
Non-Personal Service	2,632	3,413	49	6,094
General State Charges	6,010	2,232	0	8,242
Debt Service	0	0	6,125	6,125
Capital Projects	0	2	0	2
Total Disbursements	<u>63,305</u>	<u>31,444</u>	<u>6,174</u>	<u>100,923</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	18,306	7,950	4,009	30,265
Transfers to Other Funds	(11,604)	(172)	(18,734)	(30,510)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,702</u>	<u>7,778</u>	<u>(14,725)</u>	<u>(245)</u>
Use (Reservation) of Fund Balance:				
Community Projects	0	0	0	0
Monetary Settlements	1,352	0	0	1,352
Programmed	1,352	0	0	1,352
Unbudgeted	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>1,352</u>	<u>0</u>	<u>0</u>	<u>1,352</u>
Adherence to 2% Spending Benchmark	2,844	0	0	2,844
Net Surplus (Deficit)	<u>(689)</u>	<u>186</u>	<u>109</u>	<u>(394)</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2019
(millions of dollars)

	<u>General</u> <u>Fund</u>	<u>State Special</u> <u>Revenue</u> <u>Funds</u>	<u>Debt</u> <u>Service</u> <u>Funds</u>	<u>State</u> <u>Operating</u> <u>Funds</u> <u>Total</u>
Receipts:				
Taxes	49,557	8,131	20,808	78,496
Miscellaneous Receipts	2,448	15,984	454	18,886
Federal Receipts	0	1	73	74
Total Receipts	<u>52,005</u>	<u>24,116</u>	<u>21,335</u>	<u>97,456</u>
Disbursements:				
Local Assistance Grants	51,399	19,174	0	70,573
Departmental Operations:				
Personal Service	6,211	6,898	0	13,109
Non-Personal Service	2,445	3,476	49	5,970
General State Charges	6,384	2,295	0	8,679
Debt Service	0	0	6,769	6,769
Capital Projects	0	0	0	0
Total Disbursements	<u>66,439</u>	<u>31,843</u>	<u>6,818</u>	<u>105,100</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	17,949	8,244	3,898	30,091
Transfers to Other Funds	(11,837)	(183)	(18,321)	(30,341)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,112</u>	<u>8,061</u>	<u>(14,423)</u>	<u>(250)</u>
Use (Reservation) of Fund Balance:				
Monetary Settlements	1,200	0	0	1,200
Programmed	1,200	0	0	1,200
Unbudgeted	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>1,200</u>	<u>0</u>	<u>0</u>	<u>1,200</u>
Adherence to 2% Spending Benchmark	5,059	0	0	5,059
Net Surplus (Deficit)	<u>(2,063)</u>	<u>334</u>	<u>94</u>	<u>(1,635)</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2020
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	51,779	8,186	21,622	81,587
Miscellaneous Receipts	2,334	15,814	455	18,603
Federal Receipts	0	1	73	74
Total Receipts	<u>54,113</u>	<u>24,001</u>	<u>22,150</u>	<u>100,264</u>
Disbursements:				
Local Assistance Grants	54,408	19,192	0	73,600
Departmental Operations:				
Personal Service	6,280	6,936	0	13,216
Non-Personal Service	2,522	3,445	49	6,016
General State Charges	6,848	2,352	0	9,200
Debt Service	0	0	7,230	7,230
Capital Projects	0	0	0	0
Total Disbursements	<u>70,058</u>	<u>31,925</u>	<u>7,279</u>	<u>109,262</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	18,389	8,476	3,846	30,711
Transfers to Other Funds	(12,110)	(178)	(18,556)	(30,844)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,279</u>	<u>8,298</u>	<u>(14,710)</u>	<u>(133)</u>
Use (Reservation) of Fund Balance:				
Monetary Settlements	731	0	0	731
Programmed	731	0	0	731
Unbudgeted	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>731</u>	<u>0</u>	<u>0</u>	<u>731</u>
Adherence to 2% Spending Benchmark	7,220	0	0	7,220
Net Surplus (Deficit)	<u>(1,715)</u>	<u>374</u>	<u>161</u>	<u>(1,180)</u>

CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
FY 2016 and FY 2017
(millions of dollars)

	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	9,890	12,641	2,751	27.8%
Receipts:				
Taxes	73,279	74,461	1,182	1.6%
Miscellaneous Receipts	23,255	19,893	(3,362)	-14.5%
Federal Receipts	73	74	1	1.4%
Total Receipts	<u>96,607</u>	<u>94,428</u>	<u>(2,179)</u>	<u>-2.3%</u>
Disbursements:				
Local Assistance Grants	62,653	64,657	2,004	3.2%
Departmental Operations:				
Personal Service	12,981	12,941	(40)	-0.3%
Non-Personal Service	5,602	5,773	171	3.1%
General State Charges	7,452	7,707	255	3.4%
Debt Service	5,598	5,075	(523)	-9.3%
Capital Projects	2	3	1	50.0%
Total Disbursements	<u>94,288</u>	<u>96,156</u>	<u>1,868</u>	<u>2.0%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	30,509	29,441	(1,068)	-3.5%
Transfers to Other Funds	(30,077)	(30,215)	(138)	-0.5%
Bond and Note Proceeds	0	0	0	0.0%
Net Other Financing Sources (Uses)	<u>432</u>	<u>(774)</u>	<u>(1,206)</u>	<u>-279.2%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>2,751</u>	<u>(2,502)</u>	<u>(5,253)</u>	<u>-190.9%</u>
Closing Fund Balance	<u>12,641</u>	<u>10,139</u>	<u>(2,502)</u>	<u>-19.8%</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	All Funds Total
Opening Fund Balance	7,300	2,661	(724)	118	9,355
Receipts:					
Taxes	45,963	8,266	1,394	19,050	74,673
Miscellaneous Receipts	5,842	17,117	3,822	487	27,268
Federal Receipts	0	49,105	2,146	73	51,324
Total Receipts	<u>51,805</u>	<u>74,488</u>	<u>7,362</u>	<u>19,610</u>	<u>153,265</u>
Disbursements:					
Local Assistance Grants	43,314	64,502	2,498	0	110,314
Departmental Operations:					
Personal Service	6,011	7,586	0	0	13,597
Non-Personal Service	1,944	4,994	0	37	6,975
General State Charges	5,397	2,342	0	0	7,739
Debt Service	0	0	0	5,598	5,598
Capital Projects	0	2	6,483	0	6,485
Total Disbursements	<u>56,666</u>	<u>79,426</u>	<u>8,981</u>	<u>5,635</u>	<u>150,708</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,871	8,670	2,895	4,007	33,443
Transfers to Other Funds	(11,376)	(2,786)	(1,443)	(17,940)	(33,545)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,495</u>	<u>5,884</u>	<u>1,452</u>	<u>(13,933)</u>	<u>(102)</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,634</u>	<u>946</u>	<u>(167)</u>	<u>42</u>	<u>2,455</u>
Closing Fund Balance	<u><u>8,934</u></u>	<u><u>3,607</u></u>	<u><u>(891)</u></u>	<u><u>160</u></u>	<u><u>11,810</u></u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	8,934	3,607	(891)	160	11,810
Receipts:					
Taxes	46,744	8,197	1,302	19,520	75,763
Miscellaneous Receipts	3,187	16,437	4,924	485	25,033
Federal Receipts	0	50,718	2,162	73	52,953
Total Receipts	<u>49,931</u>	<u>75,352</u>	<u>8,388</u>	<u>20,078</u>	<u>153,749</u>
Disbursements:					
Local Assistance Grants	45,379	65,913	4,152	0	115,444
Departmental Operations:					
Personal Service	6,055	7,518	0	0	13,573
Non-Personal Service	2,204	4,874	0	39	7,117
General State Charges	5,567	2,443	0	0	8,010
Debt Service	0	0	0	5,075	5,075
Capital Projects	0	3	7,258	0	7,261
Total Disbursements	<u>59,205</u>	<u>80,751</u>	<u>11,410</u>	<u>5,114</u>	<u>156,480</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,339	7,802	3,916	3,300	33,357
Transfers to Other Funds	(11,115)	(2,636)	(1,450)	(18,231)	(33,432)
Bond and Note Proceeds	0	0	609	0	609
Net Other Financing Sources (Uses)	<u>7,224</u>	<u>5,166</u>	<u>3,075</u>	<u>(14,931)</u>	<u>534</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(2,050)</u>	<u>(233)</u>	<u>53</u>	<u>33</u>	<u>(2,197)</u>
Closing Fund Balance	<u><u>6,884</u></u>	<u><u>3,374</u></u>	<u><u>(838)</u></u>	<u><u>193</u></u>	<u><u>9,613</u></u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	49,232	8,043	1,345	20,480	79,100
Miscellaneous Receipts	2,486	16,024	7,132	455	26,097
Federal Receipts	0	52,301	2,093	73	54,467
Total Receipts	<u>51,718</u>	<u>76,368</u>	<u>10,570</u>	<u>21,008</u>	<u>159,664</u>
Disbursements:					
Local Assistance Grants	48,489	67,212	4,859	0	120,560
Departmental Operations:					
Personal Service	6,174	7,464	0	0	13,638
Non-Personal Service	2,632	4,743	0	49	7,424
General State Charges	6,010	2,544	0	0	8,554
Debt Service	0	0	0	6,125	6,125
Capital Projects	0	2	8,560	0	8,562
Total Disbursements	<u>63,305</u>	<u>81,965</u>	<u>13,419</u>	<u>6,174</u>	<u>164,863</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,306	7,950	3,569	4,009	33,834
Transfers to Other Funds	(11,604)	(2,131)	(1,460)	(18,734)	(33,929)
Bond and Note Proceeds	0	0	728	0	728
Net Other Financing Sources (Uses)	<u>6,702</u>	<u>5,819</u>	<u>2,837</u>	<u>(14,725)</u>	<u>633</u>
Use (Reservation) of Fund Balance:					
Community Projects	0	0	0	0	0
Monetary Settlements	1,352	0	0	0	1,352
Programmed	1,352	0	0	0	1,352
Unbudgeted	0	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>1,352</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,352</u>
Adherence to 2% Spending Benchmark	2,844	0	0	0	2,844
Net Surplus (Deficit)	<u>(689)</u>	<u>222</u>	<u>(12)</u>	<u>109</u>	<u>(370)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	49,557	8,131	1,364	20,808	79,860
Miscellaneous Receipts	2,448	16,200	6,372	454	25,474
Federal Receipts	0	53,334	2,091	73	55,498
Total Receipts	<u>52,005</u>	<u>77,665</u>	<u>9,827</u>	<u>21,335</u>	<u>160,832</u>
Disbursements:					
Local Assistance Grants	51,399	68,491	4,235	0	124,125
Departmental Operations:					
Personal Service	6,211	7,537	0	0	13,748
Non-Personal Service	2,445	4,782	0	49	7,276
General State Charges	6,384	2,610	0	0	8,994
Debt Service	0	0	0	6,769	6,769
Capital Projects	0	0	8,030	0	8,030
Total Disbursements	<u>66,439</u>	<u>83,420</u>	<u>12,265</u>	<u>6,818</u>	<u>168,942</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,949	8,244	3,526	3,898	33,617
Transfers to Other Funds	(11,837)	(2,086)	(1,480)	(18,321)	(33,724)
Bond and Note Proceeds	0	0	381	0	381
Net Other Financing Sources (Uses)	<u>6,112</u>	<u>6,158</u>	<u>2,427</u>	<u>(14,423)</u>	<u>274</u>
Use (Reservation) of Fund Balance:					
Monetary Settlements	1,200	0	0	0	1,200
Programmed	1,200	0	0	0	1,200
Unbudgeted	0	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>1,200</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,200</u>
Adherence to 2% Spending Benchmark	5,059	0	0	0	5,059
Net Surplus (Deficit)	<u>(2,063)</u>	<u>403</u>	<u>(11)</u>	<u>94</u>	<u>(1,577)</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	51,779	8,186	1,360	21,622	82,947
Miscellaneous Receipts	2,334	16,030	6,163	455	24,982
Federal Receipts	0	54,789	2,147	73	57,009
Total Receipts	<u>54,113</u>	<u>79,005</u>	<u>9,670</u>	<u>22,150</u>	<u>164,938</u>
Disbursements:					
Local Assistance Grants	54,408	70,098	4,101	0	128,607
Departmental Operations:					
Personal Service	6,280	7,579	0	0	13,859
Non-Personal Service	2,522	4,770	0	49	7,341
General State Charges	6,848	2,671	0	0	9,519
Debt Service	0	0	0	7,230	7,230
Capital Projects	0	0	7,603	0	7,603
Total Disbursements	<u>70,058</u>	<u>85,118</u>	<u>11,704</u>	<u>7,279</u>	<u>174,159</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,389	8,476	3,440	3,846	34,151
Transfers to Other Funds	(12,110)	(1,852)	(1,736)	(18,556)	(34,254)
Bond and Note Proceeds	0	0	315	0	315
Net Other Financing Sources (Uses)	<u>6,279</u>	<u>6,624</u>	<u>2,019</u>	<u>(14,710)</u>	<u>212</u>
Use (Reservation) of Fund Balance:					
Monetary Settlements	731	0	0	0	731
Programmed	731	0	0	0	731
Unbudgeted	0	0	0	0	0
Total Use (Reservation) of Fund Balance	<u>731</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>731</u>
Adherence to 2% Spending Benchmark	7,220	0	0	0	7,220
Net Surplus (Deficit)	<u>(1,715)</u>	<u>511</u>	<u>(15)</u>	<u>161</u>	<u>(1,058)</u>

CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
FY 2016 and FY 2017
(millions of dollars)

	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	9,355	11,810	2,455	26.2%
Receipts:				
Taxes	74,673	75,763	1,090	1.5%
Miscellaneous Receipts	27,268	25,033	(2,235)	-8.2%
Federal Receipts	51,324	52,953	1,629	3.2%
Total Receipts	<u>153,265</u>	<u>153,749</u>	<u>484</u>	<u>0.3%</u>
Disbursements:				
Local Assistance Grants	110,314	115,444	5,130	4.7%
Departmental Operations:				
Personal Service	13,597	13,573	(24)	-0.2%
Non-Personal Service	6,975	7,117	142	2.0%
General State Charges	7,739	8,010	271	3.5%
Debt Service	5,598	5,075	(523)	-9.3%
Capital Projects	6,485	7,261	776	12.0%
Total Disbursements	<u>150,708</u>	<u>156,480</u>	<u>5,772</u>	<u>3.8%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	33,443	33,357	(86)	-0.3%
Transfers to Other Funds	(33,545)	(33,432)	113	0.3%
Bond and Note Proceeds	0	609	609	0.0%
Net Other Financing Sources (Uses)	<u>(102)</u>	<u>534</u>	<u>636</u>	<u>623.5%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>2,455</u>	<u>(2,197)</u>	<u>(4,652)</u>	<u>-189.5%</u>
Closing Fund Balance	<u>11,810</u>	<u>9,613</u>	<u>(2,197)</u>	<u>-18.6%</u>

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2016
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	36,549	0	0	0	36,549
Estimated Payments	16,111	0	0	0	16,111
Final Payments	2,630	0	0	0	2,630
Other Payments	1,310	0	0	0	1,310
Gross Collections	56,600	0	0	0	56,600
State/City Offset	(675)	0	0	0	(675)
Refunds	(8,870)	0	0	0	(8,870)
Reported Tax Collections	47,055	0	0	0	47,055
STAR (Dedicated Deposits)	(3,335)	3,335	0	0	0
RBTF (Dedicated Transfers)	(11,763)	0	0	11,763	0
Personal Income Tax	31,957	3,335	0	11,763	47,055
Sales and Use Tax	12,485	874	0	0	13,359
Cigarette and Tobacco Taxes	322	929	0	0	1,251
Motor Fuel Tax	0	105	398	0	503
Alcoholic Beverage Taxes	255	0	0	0	255
Medical Marihuana Excise Tax	0	0	0	0	0
Highway Use Tax	0	0	158	0	158
Auto Rental Tax	0	47	79	0	126
Taxicab Surcharge	0	73	0	0	73
Gross Utility Taxes and Fees	13,062	2,028	635	0	15,725
LGAC/STBF (Dedicated Transfers)	(6,243)	0	0	6,243	0
Consumption/Use Taxes	6,819	2,028	635	6,243	15,725
Corporation Franchise Tax	3,763	764	0	0	4,527
Corporation and Utilities Tax	594	165	15	0	774
Insurance Taxes	1,419	161	0	0	1,580
Bank Tax	(129)	8	0	0	(121)
Petroleum Business Tax	0	499	625	0	1,124
Business Taxes	5,647	1,597	640	0	7,884
Estate Tax	1,521	0	0	0	1,521
Real Estate Transfer Tax	1,163	0	0	0	1,163
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	2	0	0	0	2
Gross Other Taxes	2,703	0	0	0	2,703
Real Estate Transfer Tax (Dedicated)	(1,163)	0	119	1,044	0
Other Taxes	1,540	0	119	1,044	2,703
Payroll Tax	0	1,306	0	0	1,306
Total Taxes	45,963	8,266	1,394	19,050	74,673
Licenses, Fees, Etc.	630	0	0	0	630
Abandoned Property	527	0	0	0	527
Motor Vehicle Fees	194	431	754	0	1,379
ABC License Fee	66	0	0	0	66
Reimbursements	232	0	0	0	232
Investment Income	13	0	0	0	13
Other Transactions	4,180	16,686	3,068	487	24,421
Miscellaneous Receipts	5,842	17,117	3,822	487	27,268
Federal Receipts	0	49,105	2,146	73	51,324
Total	51,805	74,488	7,362	19,610	153,265

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2017
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	38,021	0	0	0	38,021
Estimated Payments	15,256	0	0	0	15,256
Final Payments	2,620	0	0	0	2,620
Other Payments	1,358	0	0	0	1,358
Gross Collections	57,255	0	0	0	57,255
State/City Offset	(778)	0	0	0	(778)
Refunds	(8,388)	0	0	0	(8,388)
Reported Tax Collections	48,089	0	0	0	48,089
STAR (Dedicated Deposits)	(3,228)	3,228	0	0	0
RBTF (Dedicated Transfers)	(12,022)	0	0	12,022	0
Personal Income Tax	32,839	3,228	0	12,022	48,089
Sales and Use Tax	12,958	908	0	0	13,866
Cigarette and Tobacco Taxes	339	882	0	0	1,221
Motor Fuel Tax	0	106	400	0	506
Alcoholic Beverage Taxes	258	0	0	0	258
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	0	84	0	84
Auto Rental Tax	0	49	78	0	127
Taxicab Surcharge	0	65	0	0	65
Gross Utility Taxes and Fees	13,555	2,011	562	0	16,128
LGAC/STBF (Dedicated Transfers)	(6,479)	0	0	6,479	0
Consumption/Use Taxes	7,076	2,011	562	6,479	16,128
Corporation Franchise Tax	3,538	765	0	0	4,303
Corporation and Utilities Tax	568	156	14	0	738
Insurance Taxes	1,346	156	0	0	1,502
Bank Tax	323	60	0	0	383
Petroleum Business Tax	0	485	607	0	1,092
Business Taxes	5,775	1,622	621	0	8,018
Estate Tax	1,034	0	0	0	1,034
Real Estate Transfer Tax	1,138	0	0	0	1,138
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,192	0	0	0	2,192
Real Estate Transfer Tax (Dedicated)	(1,138)	0	119	1,019	0
Other Taxes	1,054	0	119	1,019	2,192
Payroll Tax	0	1,336	0	0	1,336
Total Taxes	46,744	8,197	1,302	19,520	75,763
Licenses, Fees, Etc.	619	0	0	0	619
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	178	385	786	0	1,349
ABC License Fee	59	0	0	0	59
Reimbursements	263	0	0	0	263
Investment Income	20	0	0	0	20
Other Transactions	1,523	16,052	4,138	485	22,198
Miscellaneous Receipts	3,187	16,437	4,924	485	25,033
Federal Receipts	0	50,718	2,162	73	52,953
Total	49,931	75,352	8,388	20,078	153,749

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2018
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	39,391	0	0	0	39,391
Estimated Payments	16,955	0	0	0	16,955
Final Payments	2,841	0	0	0	2,841
Other Payments	1,396	0	0	0	1,396
Gross Collections	60,583	0	0	0	60,583
State/City Offset	(803)	0	0	0	(803)
Refunds	(9,452)	0	0	0	(9,452)
Reported Tax Collections	50,328	0	0	0	50,328
STAR (Dedicated Deposits)	(2,977)	2,977	0	0	0
RBTF (Dedicated Transfers)	(12,582)	0	0	12,582	0
Personal Income Tax	34,769	2,977	0	12,582	50,328
Sales and Use Tax	13,626	941	0	0	14,567
Cigarette and Tobacco Taxes	339	851	0	0	1,190
Motor Fuel Tax	0	106	399	0	505
Alcoholic Beverage Taxes	263	0	0	0	263
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	0	138	0	138
Auto Rental Tax	0	52	82	0	134
Taxicab Surcharge	0	65	0	0	65
Gross Utility Taxes and Fees	14,228	2,016	619	0	16,863
LGAC/STBF (Dedicated Transfers)	(6,813)	0	0	6,813	0
Consumption/Use Taxes	7,415	2,016	619	6,813	16,863
Corporation Franchise Tax	3,950	830	0	0	4,780
Corporation and Utilities Tax	559	159	14	0	732
Insurance Taxes	1,407	165	0	0	1,572
Bank Tax	162	28	0	0	190
Petroleum Business Tax	0	473	593	0	1,066
Business Taxes	6,078	1,655	607	0	8,340
Estate Tax	950	0	0	0	950
Real Estate Transfer Tax	1,204	0	0	0	1,204
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,174	0	0	0	2,174
Real Estate Transfer Tax (Dedicated)	(1,204)	0	119	1,085	0
Other Taxes	970	0	119	1,085	2,174
Payroll Tax	0	1,395	0	0	1,395
Total Taxes	49,232	8,043	1,345	20,480	79,100
Licenses, Fees, Etc.	661	0	0	0	661
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	228	396	786	0	1,410
ABC License Fee	65	0	0	0	65
Reimbursements	298	0	0	0	298
Investment Income	13	0	0	0	13
Other Transactions	696	15,628	6,346	455	23,125
Miscellaneous Receipts	2,486	16,024	7,132	455	26,097
Federal Receipts	0	52,301	2,093	73	54,467
Total	51,718	76,368	10,570	21,008	159,664

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2019
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	40,548	0	0	0	40,548
Estimated Payments	16,344	0	0	0	16,344
Final Payments	3,049	0	0	0	3,049
Other Payments	1,457	0	0	0	1,457
Gross Collections	61,398	0	0	0	61,398
State/City Offset	(828)	0	0	0	(828)
Refunds	(10,319)	0	0	0	(10,319)
Reported Tax Collections	50,251	0	0	0	50,251
STAR (Dedicated Deposits)	(2,921)	2,921	0	0	0
RBTF (Dedicated Transfers)	(12,563)	0	0	12,563	0
Personal Income Tax	34,767	2,921	0	12,563	50,251
Sales and Use Tax	14,212	974	0	0	15,186
Cigarette and Tobacco Taxes	329	820	0	0	1,149
Motor Fuel Tax	0	105	396	0	501
Alcoholic Beverage Taxes	268	0	0	0	268
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	0	140	0	140
Auto Rental Tax	0	55	86	0	141
Taxicab Surcharge	0	65	0	0	65
Gross Utility Taxes and Fees	14,809	2,020	622	0	17,451
LGAC/STBF (Dedicated Transfers)	(7,106)	0	0	7,106	0
Consumption/Use Taxes	7,703	2,020	622	7,106	17,451
Corporation Franchise Tax	3,949	873	0	0	4,822
Corporation and Utilities Tax	563	167	14	0	744
Insurance Taxes	1,521	180	0	0	1,701
Bank Tax	122	21	0	0	143
Petroleum Business Tax	0	487	609	0	1,096
Business Taxes	6,155	1,728	623	0	8,506
Estate Tax	912	0	0	0	912
Real Estate Transfer Tax	1,258	0	0	0	1,258
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,190	0	0	0	2,190
Real Estate Transfer Tax (Dedicated)	(1,258)	0	119	1,139	0
Other Taxes	932	0	119	1,139	2,190
Payroll Tax	0	1,462	0	0	1,462
Total Taxes	49,557	8,131	1,364	20,808	79,860
Licenses, Fees, Etc.	634	0	0	0	634
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	241	396	793	0	1,430
ABC License Fee	64	0	0	0	64
Reimbursements	280	0	0	0	280
Investment Income	8	0	0	0	8
Other Transactions	696	15,804	5,579	454	22,533
Miscellaneous Receipts	2,448	16,200	6,372	454	25,474
Federal Receipts	0	53,334	2,091	73	55,498
Total	52,005	77,665	9,827	21,335	160,832

CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2020
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
Taxes:					
Withholdings	42,605	0	0	0	42,605
Estimated Payments	17,394	0	0	0	17,394
Final Payments	3,198	0	0	0	3,198
Other Payments	1,521	0	0	0	1,521
Gross Collections	64,718	0	0	0	64,718
State/City Offset	(754)	0	0	0	(754)
Refunds	(11,759)	0	0	0	(11,759)
Reported Tax Collections	52,205	0	0	0	52,205
STAR (Dedicated Deposits)	(2,869)	2,869	0	0	0
RBTF (Dedicated Transfers)	(13,051)	0	0	13,051	0
Personal Income Tax	36,285	2,869	0	13,051	52,205
Sales and Use Tax	14,764	1,008	0	0	15,772
Cigarette and Tobacco Taxes	318	785	0	0	1,103
Motor Fuel Tax	0	104	392	0	496
Alcoholic Beverage Taxes	273	0	0	0	273
Medical Marihuana Excise Tax	0	1	0	0	1
Highway Use Tax	0	0	141	0	141
Auto Rental Tax	0	56	90	0	146
Taxicab Surcharge	0	65	0	0	65
Gross Utility Taxes and Fees	15,355	2,019	623	0	17,997
LGAC/STBF (Dedicated Transfers)	(7,382)	0	0	7,382	0
Consumption/Use Taxes	7,973	2,019	623	7,382	17,997
Corporation Franchise Tax	4,312	910	0	0	5,222
Corporation and Utilities Tax	569	171	14	0	754
Insurance Taxes	1,597	187	0	0	1,784
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	483	604	0	1,087
Business Taxes	6,538	1,762	618	0	8,918
Estate Tax	963	0	0	0	963
Real Estate Transfer Tax	1,308	0	0	0	1,308
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	3	0	0	0	3
Gross Other Taxes	2,291	0	0	0	2,291
Real Estate Transfer Tax (Dedicated)	(1,308)	0	119	1,189	0
Other Taxes	983	0	119	1,189	2,291
Payroll Tax	0	1,536	0	0	1,536
Total Taxes	51,779	8,186	1,360	21,622	82,947
Licenses, Fees, Etc.	666	0	0	0	666
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	253	396	786	0	1,435
ABC License Fee	66	0	0	0	66
Reimbursements	303	0	0	0	303
Investment Income	8	0	0	0	8
Other Transactions	513	15,634	5,377	455	21,979
Miscellaneous Receipts	2,334	16,030	6,163	455	24,982
Federal Receipts	0	54,789	2,147	73	57,009
Total	54,113	79,005	9,670	22,150	164,938

**CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
FY 2016 and FY 2017
(millions of dollars)**

	FY 2016	FY 2017	Annual	Annual
	Results	Mid-Year	\$ Change	% Change
Taxes:				
Withholdings	36,549	38,021	1,472	4.0%
Estimated Payments	16,111	15,256	(855)	-5.3%
Final Payments	2,630	2,620	(10)	-0.4%
Other Payments	1,310	1,358	48	3.7%
Gross Collections	56,600	57,255	655	1.2%
State/City Offset	(675)	(778)	(103)	-15.3%
Refunds	(8,870)	(8,388)	482	5.4%
Reported Tax Collections	47,055	48,089	1,034	2.2%
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
Personal Income Tax	47,055	48,089	1,034	2.2%
Sales and Use Tax	13,359	13,866	507	3.8%
Cigarette and Tobacco Taxes	1,251	1,221	(30)	-2.4%
Motor Fuel Tax	503	506	3	0.6%
Alcoholic Beverage Taxes	255	258	3	1.2%
Medical Marihuana Excise Tax	0	1	1	0.0%
Highway Use Tax	158	84	(74)	-46.8%
Auto Rental Tax	126	127	1	0.8%
Taxicab Surcharge	73	65	(8)	-11.0%
Gross Utility Taxes and Fees	15,725	16,128	403	2.6%
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
Consumption/Use Taxes	15,725	16,128	403	2.6%
Corporation Franchise Tax	4,527	4,303	(224)	-4.9%
Corporation and Utilities Tax	774	738	(36)	-4.7%
Insurance Taxes	1,580	1,502	(78)	-4.9%
Bank Tax	(121)	383	504	416.5%
Petroleum Business Tax	1,124	1,092	(32)	-2.8%
Business Taxes	7,884	8,018	134	1.7%
Estate Tax	1,521	1,034	(487)	-32.0%
Real Estate Transfer Tax	1,163	1,138	(25)	-2.1%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	17	17	0	0.0%
Other Taxes	2	3	1	50.0%
Gross Other Taxes	2,703	2,192	(511)	-18.9%
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
Other Taxes	2,703	2,192	(511)	-18.9%
Payroll Tax	1,306	1,336	30	2.3%
Total Taxes	74,673	75,763	1,090	1.5%
Licenses, Fees, Etc.	630	619	(11)	-1.7%
Abandoned Property	527	525	(2)	-0.4%
Motor Vehicle Fees	1,379	1,349	(30)	-2.2%
ABC License Fee	66	59	(7)	-10.6%
Reimbursements	232	263	31	13.4%
Investment Income	13	20	7	53.8%
Other Transactions	24,421	22,198	(2,223)	-9.1%
Miscellaneous Receipts	27,268	25,033	(2,235)	-8.2%
Federal Receipts	51,324	52,953	1,629	3.2%
Total	153,265	153,749	484	0.3%

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	2,472	189	2,661
Receipts:			
Taxes	8,266	0	8,266
Miscellaneous Receipts	16,926	191	17,117
Federal Receipts	<u>0</u>	<u>49,105</u>	<u>49,105</u>
Total Receipts	<u>25,192</u>	<u>49,296</u>	<u>74,488</u>
Disbursements:			
Local Assistance Grants	19,339	45,163	64,502
Departmental Operations:			
Personal Service	6,970	616	7,586
Non-Personal Service	3,621	1,373	4,994
General State Charges	2,055	287	2,342
Capital Projects	<u>2</u>	<u>0</u>	<u>2</u>
Total Disbursements	<u>31,987</u>	<u>47,439</u>	<u>79,426</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	8,631	39	8,670
Transfers to Other Funds	<u>(761)</u>	<u>(2,025)</u>	<u>(2,786)</u>
Net Other Financing Sources (Uses)	<u>7,870</u>	<u>(1,986)</u>	<u>5,884</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,075</u>	<u>(129)</u>	<u>946</u>
Closing Fund Balance	<u><u>3,547</u></u>	<u><u>60</u></u>	<u><u>3,607</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>3,547</u>	<u>60</u>	<u>3,607</u>
Receipts:			
Taxes	8,197	0	8,197
Miscellaneous Receipts	16,221	216	16,437
Federal Receipts	<u>1</u>	<u>50,717</u>	<u>50,718</u>
Total Receipts	<u>24,419</u>	<u>50,933</u>	<u>75,352</u>
Disbursements:			
Local Assistance Grants	19,278	46,635	65,913
Departmental Operations:			
Personal Service	6,886	632	7,518
Non-Personal Service	3,530	1,344	4,874
General State Charges	2,140	303	2,443
Capital Projects	<u>3</u>	<u>0</u>	<u>3</u>
Total Disbursements	<u>31,837</u>	<u>48,914</u>	<u>80,751</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,802	0	7,802
Transfers to Other Funds	<u>(869)</u>	<u>(1,767)</u>	<u>(2,636)</u>
Net Other Financing Sources (Uses)	<u>6,933</u>	<u>(1,767)</u>	<u>5,166</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(485)</u>	<u>252</u>	<u>(233)</u>
Closing Fund Balance	<u><u>3,062</u></u>	<u><u>312</u></u>	<u><u>3,374</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,062	312	3,374
Receipts:			
Taxes	8,043	0	8,043
Miscellaneous Receipts	15,808	216	16,024
Federal Receipts	<u>1</u>	<u>52,300</u>	<u>52,301</u>
Total Receipts	<u>23,852</u>	<u>52,516</u>	<u>76,368</u>
Disbursements:			
Local Assistance Grants	18,965	48,247	67,212
Departmental Operations:			
Personal Service	6,832	632	7,464
Non-Personal Service	3,413	1,330	4,743
General State Charges	2,232	312	2,544
Capital Projects	<u>2</u>	<u>0</u>	<u>2</u>
Total Disbursements	<u>31,444</u>	<u>50,521</u>	<u>81,965</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,950	0	7,950
Transfers to Other Funds	<u>(172)</u>	<u>(1,959)</u>	<u>(2,131)</u>
Net Other Financing Sources (Uses)	<u>7,778</u>	<u>(1,959)</u>	<u>5,819</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>186</u>	<u>36</u>	<u>222</u>
Closing Fund Balance	<u><u>3,248</u></u>	<u><u>348</u></u>	<u><u>3,596</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>3,248</u>	<u>348</u>	<u>3,596</u>
Receipts:			
Taxes	8,131	0	8,131
Miscellaneous Receipts	15,984	216	16,200
Federal Receipts	<u>1</u>	<u>53,333</u>	<u>53,334</u>
Total Receipts	<u>24,116</u>	<u>53,549</u>	<u>77,665</u>
Disbursements:			
Local Assistance Grants	19,174	49,317	68,491
Departmental Operations:			
Personal Service	6,898	639	7,537
Non-Personal Service	3,476	1,306	4,782
General State Charges	2,295	315	2,610
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>31,843</u>	<u>51,577</u>	<u>83,420</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	8,244	0	8,244
Transfers to Other Funds	<u>(183)</u>	<u>(1,903)</u>	<u>(2,086)</u>
Net Other Financing Sources (Uses)	<u>8,061</u>	<u>(1,903)</u>	<u>6,158</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>334</u>	<u>69</u>	<u>403</u>
Closing Fund Balance	<u><u>3,582</u></u>	<u><u>417</u></u>	<u><u>3,999</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	3,582	417	3,999
Receipts:			
Taxes	8,186	0	8,186
Miscellaneous Receipts	15,814	216	16,030
Federal Receipts	<u>1</u>	<u>54,788</u>	<u>54,789</u>
Total Receipts	<u>24,001</u>	<u>55,004</u>	<u>79,005</u>
Disbursements:			
Local Assistance Grants	19,192	50,906	70,098
Departmental Operations:			
Personal Service	6,936	643	7,579
Non-Personal Service	3,445	1,325	4,770
General State Charges	2,352	319	2,671
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>31,925</u>	<u>53,193</u>	<u>85,118</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	8,476	0	8,476
Transfers to Other Funds	<u>(178)</u>	<u>(1,674)</u>	<u>(1,852)</u>
Net Other Financing Sources (Uses)	<u>8,298</u>	<u>(1,674)</u>	<u>6,624</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>374</u>	<u>137</u>	<u>511</u>
Closing Fund Balance	<u><u>3,956</u></u>	<u><u>554</u></u>	<u><u>4,510</u></u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
FY 2016 and FY 2017
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	2,661	3,607	946	35.6%
Receipts:				
Taxes	8,266	8,197	(69)	-0.8%
Miscellaneous Receipts	17,117	16,437	(680)	-4.0%
Federal Receipts	49,105	50,718	1,613	3.3%
Total receipts	<u>74,488</u>	<u>75,352</u>	<u>864</u>	<u>1.2%</u>
Disbursements:				
Local Assistance Grants	64,502	65,913	1,411	2.2%
Departmental Operations:				
Personal Service	7,586	7,518	(68)	-0.9%
Non-Personal Service	4,994	4,874	(120)	-2.4%
General State Charges	2,342	2,443	101	4.3%
Debt Service	0	0	0	0.0%
Capital Projects	2	3	1	50.0%
Total Disbursements	<u>79,426</u>	<u>80,751</u>	<u>1,325</u>	<u>1.7%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	8,670	7,802	(868)	-10.0%
Transfers to Other Funds	(2,786)	(2,636)	150	5.4%
Net Other Financing Sources (Uses)	<u>5,884</u>	<u>5,166</u>	<u>(718)</u>	<u>-12.2%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>946</u>	<u>(233)</u>	<u>(1,179)</u>	<u>-124.6%</u>
Closing Fund Balance	<u><u>3,607</u></u>	<u><u>3,374</u></u>	<u><u>(233)</u></u>	<u><u>-6.5%</u></u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2017 THROUGH FY 2020
(millions of dollars)**

	<u>FY 2017</u> <u>Mid-Year</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>
Personal Income Tax	3,228	2,977	2,921	2,869
Consumption/Use Taxes	2,011	2,016	2,020	2,019
Sales and Use Tax	908	941	974	1,008
Cigarette and Tobacco Taxes	882	851	820	785
Motor Fuel Tax	106	106	105	104
Medical Marihuana Excise Tax	1	1	1	1
Auto Rental Tax	49	52	55	56
Taxicab Surcharge	65	65	65	65
Business Taxes	1,622	1,655	1,728	1,762
Corporation Franchise Tax	765	830	873	910
Corporation and Utilities Tax	156	159	167	171
Insurance Taxes	156	165	180	187
Bank Tax	60	28	21	11
Petroleum Business Tax	485	473	487	483
Payroll Tax	1,336	1,395	1,462	1,536
Total Taxes	8,197	8,043	8,131	8,186
Miscellaneous Receipts	16,437	16,024	16,200	16,030
HCRA	4,760	4,731	4,787	4,845
State University Income	4,292	4,434	4,510	4,616
Lottery	3,436	3,306	3,274	3,310
Medicaid	852	832	832	832
Industry Assessments	824	829	829	829
Motor Vehicle Fees	385	396	396	396
All Other	1,888	1,496	1,572	1,202
Federal Receipts	50,718	52,301	53,334	54,789
Total	<u>75,352</u>	<u>76,368</u>	<u>77,665</u>	<u>79,005</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
FY 2016 and FY 2017
(millions of dollars)**

	FY 2016	FY 2017	Annual	Annual
	Results	Mid-Year	\$ Change	% Change
Personal Income Tax	3,335	3,228	(107)	-3.2%
Consumption/Use Taxes	2,028	2,011	(17)	-0.8%
Sales and Use Tax	874	908	34	3.9%
Cigarette and Tobacco Taxes	929	882	(47)	-5.1%
Motor Fuel Tax	105	106	1	1.0%
Medical Marihuana Excise Tax	0	1	1	0.0%
Auto Rental Tax	47	49	2	4.3%
Taxicab Surcharge	73	65	(8)	-11.0%
Business Taxes	1,597	1,622	25	1.6%
Corporation Franchise Tax	764	765	1	0.1%
Corporation and Utilities Tax	165	156	(9)	-5.5%
Insurance Taxes	161	156	(5)	-3.1%
Bank Tax	8	60	52	650.0%
Petroleum Business Tax	499	485	(14)	-2.8%
Payroll Tax	1,306	1,336	30	2.3%
Total Taxes	8,266	8,197	(69)	-0.8%
Miscellaneous Receipts	17,117	16,437	(680)	-4.0%
HCRA	4,727	4,760	33	0.7%
State University Income	4,561	4,292	(269)	-5.9%
Lottery	3,472	3,436	(36)	-1.0%
Medicaid	833	852	19	2.3%
Industry Assessments	686	824	138	20.1%
Motor Vehicle Fees	431	385	(46)	-10.7%
All Other	2,407	1,888	(519)	-21.6%
Federal Receipts	49,105	50,718	1,613	3.3%
Total	74,488	75,352	864	1.2%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2016
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(342)	(382)	(724)
Receipts:			
Taxes	1,394	0	1,394
Miscellaneous Receipts	3,821	1	3,822
Federal Receipts	<u>5</u>	<u>2,141</u>	<u>2,146</u>
Total Receipts	<u>5,220</u>	<u>2,142</u>	<u>7,362</u>
Disbursements:			
Local Assistance Grants	1,887	611	2,498
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	<u>5,057</u>	<u>1,426</u>	<u>6,483</u>
Total Disbursements	<u>6,944</u>	<u>2,037</u>	<u>8,981</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	3,172	(277)	2,895
Transfers to Other Funds	(1,439)	(4)	(1,443)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>1,733</u>	<u>(281)</u>	<u>1,452</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>9</u>	<u>(176)</u>	<u>(167)</u>
Closing Fund Balance	<u>(333)</u>	<u>(558)</u>	<u>(891)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2017
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(333)	(558)	(891)
Receipts:			
Taxes	1,302	0	1,302
Miscellaneous Receipts	4,924	0	4,924
Federal Receipts	<u>5</u>	<u>2,157</u>	<u>2,162</u>
Total Receipts	<u>6,231</u>	<u>2,157</u>	<u>8,388</u>
Disbursements:			
Local Assistance Grants	3,446	706	4,152
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	<u>6,165</u>	<u>1,093</u>	<u>7,258</u>
Total Disbursements	<u>9,611</u>	<u>1,799</u>	<u>11,410</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	4,243	(327)	3,916
Transfers to Other Funds	(1,438)	(12)	(1,450)
Bond and Note Proceeds	<u>609</u>	<u>0</u>	<u>609</u>
Net Other Financing Sources (Uses)	<u>3,414</u>	<u>(339)</u>	<u>3,075</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>34</u>	<u>19</u>	<u>53</u>
Closing Fund Balance	<u>(299)</u>	<u>(539)</u>	<u>(838)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2018
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(299)	(539)	(838)
Receipts:			
Taxes	1,345	0	1,345
Miscellaneous Receipts	7,132	0	7,132
Federal Receipts	<u>5</u>	<u>2,088</u>	<u>2,093</u>
Total Receipts	<u>8,482</u>	<u>2,088</u>	<u>10,570</u>
Disbursements:			
Local Assistance Grants	4,153	706	4,859
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	<u>7,559</u>	<u>1,001</u>	<u>8,560</u>
Total Disbursements	<u>11,712</u>	<u>1,707</u>	<u>13,419</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	3,917	(348)	3,569
Transfers to Other Funds	(1,448)	(12)	(1,460)
Bond and Note Proceeds	<u>728</u>	<u>0</u>	<u>728</u>
Net Other Financing Sources (Uses)	<u>3,197</u>	<u>(360)</u>	<u>2,837</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(33)</u>	<u>21</u>	<u>(12)</u>
Closing Fund Balance	<u>(332)</u>	<u>(518)</u>	<u>(850)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2019
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(332)</u>	<u>(518)</u>	<u>(850)</u>
Receipts:			
Taxes	1,364	0	1,364
Miscellaneous Receipts	6,372	0	6,372
Federal Receipts	<u>5</u>	<u>2,086</u>	<u>2,091</u>
Total Receipts	<u>7,741</u>	<u>2,086</u>	<u>9,827</u>
Disbursements:			
Local Assistance Grants	3,529	706	4,235
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	<u>7,047</u>	<u>983</u>	<u>8,030</u>
Total Disbursements	<u>10,576</u>	<u>1,689</u>	<u>12,265</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	3,890	(364)	3,526
Transfers to Other Funds	(1,468)	(12)	(1,480)
Bond and Note Proceeds	<u>381</u>	<u>0</u>	<u>381</u>
Net Other Financing Sources (Uses)	<u>2,803</u>	<u>(376)</u>	<u>2,427</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(32)</u>	<u>21</u>	<u>(11)</u>
Closing Fund Balance	<u>(364)</u>	<u>(497)</u>	<u>(861)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2020
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	(364)	(497)	(861)
Receipts:			
Taxes	1,360	0	1,360
Miscellaneous Receipts	6,163	0	6,163
Federal Receipts	<u>5</u>	<u>2,142</u>	<u>2,147</u>
Total Receipts	<u>7,528</u>	<u>2,142</u>	<u>9,670</u>
Disbursements:			
Local Assistance Grants	3,395	706	4,101
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	<u>6,583</u>	<u>1,020</u>	<u>7,603</u>
Total Disbursements	<u>9,978</u>	<u>1,726</u>	<u>11,704</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	3,823	(383)	3,440
Transfers to Other Funds	(1,725)	(11)	(1,736)
Bond and Note Proceeds	<u>315</u>	<u>0</u>	<u>315</u>
Net Other Financing Sources (Uses)	<u>2,413</u>	<u>(394)</u>	<u>2,019</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(37)</u>	<u>22</u>	<u>(15)</u>
Closing Fund Balance	<u>(401)</u>	<u>(475)</u>	<u>(876)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
FY 2016 and FY 2017
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	(724)	(891)	(167)	-23.1%
Receipts:				
Taxes	1,394	1,302	(92)	-6.6%
Miscellaneous Receipts	3,822	4,924	1,102	28.8%
Federal Receipts	2,146	2,162	16	0.7%
Total Receipts	<u>7,362</u>	<u>8,388</u>	<u>1,026</u>	<u>13.9%</u>
Disbursements:				
Local Assistance Grants	2,498	4,152	1,654	66.2%
Departmental Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,483	7,258	775	12.0%
Total Disbursements	<u>8,981</u>	<u>11,410</u>	<u>2,429</u>	<u>27.0%</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	2,895	3,916	1,021	35.3%
Transfers to Other Funds	(1,443)	(1,450)	(7)	-0.5%
Bond and Note Proceeds	0	609	609	0.0%
Net Other Financing Sources (Uses)	<u>1,452</u>	<u>3,075</u>	<u>1,623</u>	<u>111.8%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(167)</u>	<u>53</u>	<u>220</u>	<u>131.7%</u>
Closing Fund Balance	<u>(891)</u>	<u>(838)</u>	<u>53</u>	<u>5.9%</u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2017 THROUGH FY 2020
(millions of dollars)**

	FY 2017 Mid-Year	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Consumption/Use Taxes	562	619	622	623
Motor Fuel Tax	400	399	396	392
Highway Use Tax	84	138	140	141
Auto Rental Tax	78	82	86	90
Business Taxes	621	607	623	618
Corporation and Utilities Tax	14	14	14	14
Petroleum Business Tax	607	593	609	604
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	1,302	1,345	1,364	1,360
Miscellaneous Receipts	4,924	7,132	6,372	6,163
Authority Bond Proceeds	3,655	6,072	5,306	5,105
State Park Fees	124	118	118	118
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	786	786	793	786
All Other	282	79	78	77
Federal Receipts	2,162	2,093	2,091	2,147
Total	8,388	10,570	9,827	9,670

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
FY 2016 and FY 2017
(millions of dollars)**

	FY 2016 Results	FY 2017 Mid-Year	Annual \$ Change	Annual % Change
Consumption/Use Taxes	635	562	(73)	-11.5%
Motor Fuel Tax	398	400	2	0.5%
Highway Use Tax	158	84	(74)	-46.8%
Auto Rental Tax	79	78	(1)	-1.3%
Business Taxes	640	621	(19)	-3.0%
Corporation and Utilities Tax	15	14	(1)	-6.7%
Petroleum Business Tax	625	607	(18)	-2.9%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	1,394	1,302	(92)	-6.6%
Miscellaneous Receipts	3,822	4,924	1,102	28.8%
Authority Bond Proceeds	2,879	3,655	776	27.0%
State Park Fees	128	124	(4)	-3.1%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	754	786	32	4.2%
All Other	(16)	282	298	1862.5%
Federal Receipts	2,146	2,162	16	0.7%
Total	7,362	8,388	1,026	13.9%

CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING
(millions of dollars)

	FY 2016 Results	FY 2017 Mid-Year	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	9	10	10	10	5
Empire State Development Corporation	<u>11</u>	<u>13</u>	<u>13</u>	<u>13</u>	<u>13</u>
Functional Total	<u>20</u>	<u>23</u>	<u>23</u>	<u>23</u>	<u>18</u>
TRANSPORTATION					
Transportation, Department of	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Functional Total	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
MENTAL HEALTH					
Mental Health, Office of	75	141	142	93	93
People with Developmental Disabilities, Office for	15	33	36	39	40
Alcoholism and Substance Abuse Services, Office of	<u>3</u>	<u>5</u>	<u>5</u>	<u>5</u>	<u>5</u>
Functional Total	<u>93</u>	<u>179</u>	<u>183</u>	<u>137</u>	<u>138</u>
EDUCATION					
Education School Aid	<u>25</u>	<u>30</u>	<u>30</u>	<u>21</u>	<u>0</u>
Functional Total	<u>25</u>	<u>30</u>	<u>30</u>	<u>21</u>	<u>0</u>
HIGHER EDUCATION					
City University of New York	347	476	475	475	475
State University of New York	<u>77</u>	<u>90</u>	<u>90</u>	<u>90</u>	<u>90</u>
Functional Total	<u>424</u>	<u>566</u>	<u>565</u>	<u>565</u>	<u>565</u>
ALL OTHER					
Judiciary	<u>6</u>	<u>5</u>	<u>7</u>	<u>0</u>	<u>0</u>
Functional Total	<u>6</u>	<u>5</u>	<u>7</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL OFF-BUDGET SPENDING	<u>568</u>	<u>803</u>	<u>808</u>	<u>746</u>	<u>721</u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2017 THROUGH FY 2020
(millions of dollars)**

	<u>FY 2017 Mid-Year</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Personal Income Tax	12,022	12,582	12,563	13,051
Consumption/Use Taxes	6,479	6,813	7,106	7,382
Sales and Use Tax	6,479	6,813	7,106	7,382
Other Taxes	1,019	1,085	1,139	1,189
Real Estate Transfer Tax	1,019	1,085	1,139	1,189
Total Taxes	19,520	20,480	20,808	21,622
Miscellaneous Receipts	485	455	454	455
Mental Hygiene Patient Receipts	332	306	307	307
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	146	144	144	144
All Other	7	5	3	4
Federal Receipts	73	73	73	73
Total	<u>20,078</u>	<u>21,008</u>	<u>21,335</u>	<u>22,150</u>

**CASH RECEIPTS
DEBT SERVICE FUNDS
FY 2016 and FY 2017
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Mid-Year</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal Income Tax	11,763	12,022	259	2.2%
Consumption/Use Taxes	6,243	6,479	236	3.8%
Sales and Use Tax	6,243	6,479	236	3.8%
Other Taxes	1,044	1,019	(25)	-2.4%
Real Estate Transfer Tax	1,044	1,019	(25)	-2.4%
Total Taxes	19,050	19,520	470	2.5%
Miscellaneous Receipts	487	485	(2)	-0.4%
Mental Hygiene Patient Receipts	308	332	24	7.8%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	173	146	(27)	-15.6%
All Other	6	7	1	16.7%
Federal Receipts	73	73	0	0.0%
Total	<u>19,610</u>	<u>20,078</u>	<u>468</u>	<u>2.4%</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2016
(millions of dollars)

	General Fund	State Special Revenue Funds	State Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	7,300	2,472	(342)	118	9,548
Receipts:					
Taxes	45,963	8,266	1,394	19,050	74,673
Miscellaneous Receipts	5,842	16,926	3,821	487	27,076
Federal Receipts	0	0	5	73	78
Total Receipts	<u>51,805</u>	<u>25,192</u>	<u>5,220</u>	<u>19,610</u>	<u>101,827</u>
Disbursements:					
Local Assistance Grants	43,314	19,339	1,887	0	64,540
Departmental Operations:					
Personal Service	6,011	6,970	0	0	12,981
Non-Personal Service	1,944	3,621	0	37	5,602
General State Charges	5,397	2,055	0	0	7,452
Debt Service	0	0	0	5,598	5,598
Capital Projects	0	2	5,057	0	5,059
Total Disbursements	<u>56,666</u>	<u>31,987</u>	<u>6,944</u>	<u>5,635</u>	<u>101,232</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,871	8,631	3,172	4,007	33,681
Transfers to Other Funds	(11,376)	(761)	(1,439)	(17,940)	(31,516)
Bond and Note Proceeds	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,495</u>	<u>7,870</u>	<u>1,733</u>	<u>(13,933)</u>	<u>2,165</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>1,634</u>	<u>1,075</u>	<u>9</u>	<u>42</u>	<u>2,760</u>
Closing Fund Balance	<u><u>8,934</u></u>	<u><u>3,547</u></u>	<u><u>(333)</u></u>	<u><u>160</u></u>	<u><u>12,308</u></u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2017
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Opening Fund Balance	8,934	3,547	(333)	160	12,308
Receipts:					
Taxes	46,744	8,197	1,302	19,520	75,763
Miscellaneous Receipts	3,187	16,221	4,924	485	24,817
Federal Receipts	0	1	5	73	79
Total Receipts	<u>49,931</u>	<u>24,419</u>	<u>6,231</u>	<u>20,078</u>	<u>100,659</u>
Disbursements:					
Local Assistance Grants	45,379	19,278	3,446	0	68,103
Departmental Operations:					
Personal Service	6,055	6,886	0	0	12,941
Non-Personal Service	2,204	3,530	0	39	5,773
General State Charges	5,567	2,140	0	0	7,707
Debt Service	0	0	0	5,075	5,075
Capital Projects	0	3	6,165	0	6,168
Total Disbursements	<u>59,205</u>	<u>31,837</u>	<u>9,611</u>	<u>5,114</u>	<u>105,767</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,339	7,802	4,243	3,300	33,684
Transfers to Other Funds	(11,115)	(869)	(1,438)	(18,231)	(31,653)
Bond and Note Proceeds	0	0	609	0	609
Net Other Financing Sources (Uses)	<u>7,224</u>	<u>6,933</u>	<u>3,414</u>	<u>(14,931)</u>	<u>2,640</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>(2,050)</u>	<u>(485)</u>	<u>34</u>	<u>33</u>	<u>(2,468)</u>
Closing Fund Balance	<u>6,884</u>	<u>3,062</u>	<u>(299)</u>	<u>193</u>	<u>9,840</u>

CASH FINANCIAL PLAN
STATE FUNDS
FY 2018
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	49,232	8,043	1,345	20,480	79,100
Miscellaneous Receipts	2,486	15,808	7,132	455	25,881
Federal Receipts	0	1	5	73	79
Total Receipts	<u>51,718</u>	<u>23,852</u>	<u>8,482</u>	<u>21,008</u>	<u>105,060</u>
Disbursements:					
Local Assistance Grants	48,489	18,965	4,153	0	71,607
Departmental Operations:					
Personal Service	6,174	6,832	0	0	13,006
Non-Personal Service	2,632	3,413	0	49	6,094
General State Charges	6,010	2,232	0	0	8,242
Debt Service	0	0	0	6,125	6,125
Capital Projects	0	2	7,559	0	7,561
Total Disbursements	<u>63,305</u>	<u>31,444</u>	<u>11,712</u>	<u>6,174</u>	<u>112,635</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,306	7,950	3,917	4,009	34,182
Transfers to Other Funds	(11,604)	(172)	(1,448)	(18,734)	(31,958)
Bond and Note Proceeds	0	0	728	0	728
Net Other Financing Sources (Uses)	<u>6,702</u>	<u>7,778</u>	<u>3,197</u>	<u>(14,725)</u>	<u>2,952</u>
Use (Reservation) of Fund Balance:					
Monetary Settlements	1,352				
Programmed	1,352				
Unbudgeted	0				
Total Use (Reservation) of Fund Balance	<u>1,352</u>				
Adherence to 2% Spending Benchmark	2,844				
Net General Fund Surplus (Deficit)	<u>(689)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2019
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	49,557	8,131	1,364	20,808	79,860
Miscellaneous Receipts	2,448	15,984	6,372	454	25,258
Federal Receipts	0	1	5	73	79
Total Receipts	<u>52,005</u>	<u>24,116</u>	<u>7,741</u>	<u>21,335</u>	<u>105,197</u>
Disbursements:					
Local Assistance Grants	51,399	19,174	3,529	0	74,102
Departmental Operations:					
Personal Service	6,211	6,898	0	0	13,109
Non-Personal Service	2,445	3,476	0	49	5,970
General State Charges	6,384	2,295	0	0	8,679
Debt Service	0	0	0	6,769	6,769
Capital Projects	0	0	7,047	0	7,047
Total Disbursements	<u>66,439</u>	<u>31,843</u>	<u>10,576</u>	<u>6,818</u>	<u>115,676</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	17,949	8,244	3,890	3,898	33,981
Transfers to Other Funds	(11,837)	(183)	(1,468)	(18,321)	(31,809)
Bond and Note Proceeds	0	0	381	0	381
Net Other Financing Sources (Uses)	<u>6,112</u>	<u>8,061</u>	<u>2,803</u>	<u>(14,423)</u>	<u>2,553</u>
Use (Reservation) of Fund Balance:					
Monetary Settlements	1,200				
Programmed	1,200				
Unbudgeted	0				
Total Use (Reservation) of Fund Balance	<u>1,200</u>				
Adherence to 2% Spending Benchmark	5,059				
Net General Fund Surplus (Deficit)	<u>(2,063)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2020
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	51,779	8,186	1,360	21,622	82,947
Miscellaneous Receipts	2,334	15,814	6,163	455	24,766
Federal Receipts	0	1	5	73	79
Total Receipts	<u>54,113</u>	<u>24,001</u>	<u>7,528</u>	<u>22,150</u>	<u>107,792</u>
Disbursements:					
Local Assistance Grants	54,408	19,192	3,395	0	76,995
Departmental Operations:					
Personal Service	6,280	6,936	0	0	13,216
Non-Personal Service	2,522	3,445	0	49	6,016
General State Charges	6,848	2,352	0	0	9,200
Debt Service	0	0	0	7,230	7,230
Capital Projects	0	0	6,583	0	6,583
Total Disbursements	<u>70,058</u>	<u>31,925</u>	<u>9,978</u>	<u>7,279</u>	<u>119,240</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	18,389	8,476	3,823	3,846	34,534
Transfers to Other Funds	(12,110)	(178)	(1,725)	(18,556)	(32,569)
Bond and Note Proceeds	0	0	315	0	315
Net Other Financing Sources (Uses)	<u>6,279</u>	<u>8,298</u>	<u>2,413</u>	<u>(14,710)</u>	<u>2,280</u>
Use (Reservation) of Fund Balance:					
Monetary Settlements	731				
Programmed	731				
Unbudgeted	0				
Total Use (Reservation) of Fund Balance	<u>731</u>				
Adherence to 2% Spending Benchmark	7,220				
Net General Fund Surplus (Deficit)	<u>(1,715)</u>				

CASH FINANCIAL PLAN
STATE FUNDS
FY 2016 and FY 2017
(millions of dollars)

	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Mid-Year</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
Opening Fund Balance	9,548	12,308	2,760	28.9%
Receipts:				
Taxes	74,673	75,763	1,090	1.5%
Miscellaneous Receipts	27,076	24,817	(2,259)	-8.3%
Federal Receipts	78	79	1	1.3%
Total Receipts	<u>101,827</u>	<u>100,659</u>	<u>(1,168)</u>	<u>-1.1%</u>
Disbursements:				
Local Assistance Grants	64,540	68,103	3,563	5.5%
Departmental Operations:				
Personal Service	12,981	12,941	(40)	-0.3%
Non-Personal Service	5,602	5,773	171	3.1%
General State Charges	7,452	7,707	255	3.4%
Debt Service	5,598	5,075	(523)	-9.3%
Capital Projects	5,059	6,168	1,109	21.9%
Total Disbursements	<u>101,232</u>	<u>105,767</u>	<u>4,535</u>	<u>4.5%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	33,681	33,684	3	0.0%
Transfers to Other Funds	(31,516)	(31,653)	(137)	-0.4%
Bond and Note Proceeds	0	609	609	0.0%
Net Other Financing Sources (Uses)	<u>2,165</u>	<u>2,640</u>	<u>475</u>	<u>21.9%</u>
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	<u>2,760</u>	<u>(2,468)</u>	<u>(5,228)</u>	<u>-189.4%</u>
Closing Fund Balance	<u>12,308</u>	<u>9,840</u>	<u>(2,468)</u>	<u>-20.1%</u>

**CASHFLOW
GENERAL FUND
FY 2016
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
OPENING BALANCE	7,900	10,344	9,591	11,064	9,625	9,489	10,717	11,388	10,312	12,741	13,779	14,341	7,900
RECEIPTS:													
Personal Income Tax	5,086	1,741	3,171	2,041	1,909	3,653	1,838	1,730	3,622	2,862	2,248	2,106	31,957
Consumption/Use Taxes	507	512	693	560	532	699	488	534	645	552	450	647	6,819
Business Taxes	203	(33)	1,106	133	205	1,094	81	12	1,084	(122)	81	1,874	5,647
Other Taxes	150	151	119	209	165	138	144	104	75	108	95	82	1,540
Total Taxes	5,896	2,371	5,089	2,943	2,811	5,584	2,551	2,380	5,426	3,400	2,803	4,709	45,963
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	527
ABC License Fee	7	6	7	6	6	7	6	4	5	4	4	3	66
Investment Income	0	0	0	1	1	1	1	1	1	0	3	3	13
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	83	630
Motor Vehicle Fees	36	0	28	15	(3)	20	21	8	22	(6)	23	30	194
Reimbursements	6	7	36	9	26	29	4	8	50	4	27	27	232
Other Transactions	89	2,387	411	203	15	255	412	514	72	15	12	345	4,180
Total Miscellaneous Receipts	178	2,445	188	100	120	382	497	683	256	105	123	765	5,842
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,679	539	1,201	524	261	1,462	563	430	1,249	565	534	1,132	10,159
Tax in Excess of LGAC	238	33	319	249	195	319	219	241	298	254	3	160	2,728
Sales Tax Bond Fund	224	216	303	232	149	300	176	216	258	215	168	302	2,759
Real Estate Taxes in Excess of CW/CA Debt Service	74	57	76	76	81	95	69	63	78	95	73	75	972
All Other	15	298	4	21	2	30	8	1	41	5	206	508	1,253
Total Transfers from Other Funds	2,230	1,183	2,103	1,102	688	2,266	1,055	951	1,924	1,388	984	2,197	17,871
TOTAL RECEIPTS	8,304	5,999	7,380	4,145	3,619	8,232	4,103	4,014	7,606	4,693	3,910	7,671	69,676
DISBURSEMENTS:													
School Aid	535	2,703	1,975	67	562	2,604	111	1,663	1,620	429	488	7,376	20,133
Higher Education	18	20	646	248	123	186	345	53	66	151	333	750	2,939
All Other Education	18	98	508	85	108	381	34	31	187	22	221	382	2,075
Medicaid - DOH	1,231	1,606	495	889	1,273	1,123	825	986	1,316	903	1,123	366	12,136
Public Health	11	187	57	69	26	48	41	43	73	42	46	71	714
Mental Hygiene	3	2	278	3	315	315	1	1	303	15	49	202	1,174
Children and Families	39	39	96	303	30	323	81	72	274	70	187	219	1,733
Temporary & Disability Assistance	93	98	158	97	95	105	93	89	93	92	101	99	1,213
Transportation	0	24	0	0	35	0	0	22	15	1	14	0	111
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	771
All Other	2	38	189	31	(96)	45	36	28	(47)	31	89	(31)	315
Total Local Assistance Grants	1,951	4,827	4,791	1,793	2,158	5,239	1,567	2,988	4,092	1,756	2,651	9,501	43,314
Personal Service	554	443	457	638	471	489	543	441	629	447	419	480	6,011
Non-Personal Service	68	125	141	173	166	211	81	185	138	160	218	278	1,944
Total Departmental Operations	622	568	598	811	637	700	624	626	767	607	637	758	7,955
General State Charges	612	504	364	1,816	(50)	473	473	406	3	492	(138)	442	5,397
Debt Service	302	(9)	(22)	167	(12)	(70)	145	(3)	(2)	347	(25)	378	1,196
Capital Projects	73	148	(196)	200	326	353	233	336	8	281	23	1,015	2,721
State Share Medicaid	992	0	65	171	40	233	103	436	136	128	42	77	2,036
SUNY Operations	210	210	211	191	0	0	176	0	0	0	0	0	998
Other Purposes	498	504	96	435	656	76	497	512	173	44	27	907	4,425
Total Transfers to Other Funds	2,075	853	154	1,164	1,010	592	768	1,070	315	800	198	2,377	11,376
TOTAL DISBURSEMENTS	5,260	6,752	5,907	5,584	3,755	7,004	3,432	5,090	5,177	3,655	3,348	13,078	68,042
Excess/(Deficiency) of Receipts over Disbursements	3,044	(753)	1,473	(1,439)	(136)	1,228	671	(1,076)	2,429	1,038	562	(5,407)	1,634
CLOSING BALANCE	10,344	9,591	11,064	9,625	9,489	10,717	11,388	10,312	12,741	13,779	14,341	8,934	8,934

CASHFLOW
STATE OPERATING FUNDS
FY 2016
(dollars in millions)

	2015 April Results	2015 May Results	2015 June Results	2015 July Results	2015 August Results	2015 September Results	2015 October Results	2015 November Results	2015 December Results	2016 January Results	2016 February Results	2016 March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	9,890	14,307	14,119	15,152	14,616	15,047	13,857	15,562	14,816	16,342	19,241	19,558		9,890
RECEIPTS:														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0	47,056
Consumption/Use Taxes	1,191	1,124	1,528	1,241	1,169	1,546	1,090	1,163	1,420	1,229	993	1,396	0	15,090
Business Taxes	263	11	1,348	204	286	1,296	152	87	1,315	(40)	63	2,259	0	7,244
Other Taxes	355	335	297	337	337	339	326	268	270	356	320	285	0	3,889
Total Taxes	8,542	3,791	7,976	4,553	4,337	8,305	4,019	3,859	8,001	8,766	4,373	6,757	0	73,279
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	0	527
ABC License Fee	7	6	7	6	6	7	6	4	5	4	5	3	0	66
HCR	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
Investment Income	0	0	1	1	1	1	1	1	1	0	3	3	0	13
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	83	0	630
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0	833
Motor Vehicle Fees	70	45	69	60	32	54	49	38	65	24	53	66	0	625
Reimbursements	6	7	36	9	26	29	3	8	50	4	27	27	0	232
State University Income	311	243	256	347	288	600	448	294	266	465	631	412	0	4,561
Other Transactions	(543)	3,033	412	275	319	620	640	667	599	213	284	1,050	0	7,569
Total Miscellaneous Receipts	609	4,092	1,631	1,563	1,438	2,142	1,905	1,879	1,839	1,586	1,772	2,799	0	23,255
Federal Receipts	0	0	0	2	35	0	0	1	0	0	37	(2)	0	73
TOTAL RECEIPTS	9,151	7,883	9,607	6,118	5,810	10,447	5,924	5,739	9,840	10,352	6,182	9,554	0	96,607
DISBURSEMENTS:														
School Aid	535	2,703	2,283	67	562	4,752	111	1,805	1,762	571	631	7,520	0	23,302
Higher Education	18	20	646	248	123	186	345	53	66	151	333	766	0	2,955
All Other Education	18	99	508	85	109	383	35	32	189	23	221	383	0	2,085
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid -DOH	1,641	1,917	927	1,454	1,650	1,625	1,338	1,405	1,742	1,367	1,349	1,038	0	17,453
Public Health	35	226	136	228	183	106	60	99	148	158	71	198	0	1,648
Mental Hygiene	65	33	472	134	70	631	25	(4)	582	84	64	489	0	2,645
Children and Families	39	40	96	303	30	323	81	73	274	70	188	218	0	1,735
Temporary & Disability Assistance	93	98	158	97	105	105	93	89	93	92	101	99	0	1,213
Transportation	125	494	452	313	468	401	352	584	867	187	249	253	0	4,745
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	26	70	207	60	(72)	132	40	71	(22)	91	163	0	0	766
Total Local Assistance Grants	2,596	5,713	6,705	2,990	3,218	8,943	2,480	4,232	6,018	5,348	3,370	11,040	0	62,653
Personal Service	1,487	977	978	1,316	988	1,017	1,161	972	1,343	971	967	1,104	0	12,981
Non-Personal Service	291	375	499	412	517	549	491	491	509	470	555	707	0	5,602
Total Departmental Operations	1,478	1,352	1,477	1,728	1,505	1,566	1,388	1,463	1,852	1,441	1,523	1,811	0	18,583
General State Charges	650	699	552	1,856	363	559	484	455	455	526	323	530	0	7,452
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0	5,598
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
TOTAL DISBURSEMENTS	4,890	8,019	8,898	6,658	5,360	11,801	4,370	6,252	8,641	7,349	5,774	16,276	0	94,288
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,552	2,119	2,714	2,426	1,823	3,106	2,107	1,892	2,626	2,018	1,267	4,394	(535)	30,509
Transfers to other funds	(4,396)	(2,171)	(2,390)	(2,422)	(1,842)	(2,942)	(1,956)	(2,125)	(2,999)	(2,122)	(1,358)	(4,589)	535	(30,077)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	156	(52)	324	4	(19)	164	151	(233)	327	(104)	(91)	(195)	0	432
Excess/(Deficiency) of Receipts over Disbursements	4,417	(188)	1,033	(536)	431	(1,190)	1,705	(746)	1,526	2,899	317	(6,917)	0	2,751
CLOSING BALANCE	14,307	14,119	15,152	14,616	15,047	13,857	15,562	14,816	16,342	19,241	19,558	12,641	0	12,641

**CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2016**
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	9,355	12,664	13,192	14,764	13,782	14,367	12,328	14,556	14,096	16,219	18,620	18,275		9,355
RECEIPTS:														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0	47,056
Consumption/Use Taxes	1,240	1,167	1,592	1,288	1,217	1,616	1,139	1,214	1,488	1,475	1,035	1,455	0	15,726
Business Taxes	314	61	1,402	258	344	1,351	206	138	1,368	16	110	2,315	0	7,883
Other Taxes	369	335	308	399	349	351	338	280	282	368	332	297	0	4,008
Total Taxes	8,642	3,884	8,105	4,666	4,455	8,442	4,134	3,973	8,134	8,880	4,474	6,884	0	74,673
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	0	527
ABC License Fee	7	6	7	6	6	7	6	4	5	4	5	3	0	66
HCRA	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
Investment Income	0	0	1	1	1	1	1	1	1	0	3	0	0	13
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	83	0	630
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0	833
Motor Vehicle Fees	70	45	69	60	32	54	49	38	65	24	53	66	0	625
Reimbursements	6	7	36	9	26	29	49	8	50	4	27	27	0	232
State University Income	311	243	256	347	288	600	448	294	1,379	465	631	412	0	4,561
Other Transactions	(445)	3,216	1,367	426	437	924	1,034	793	1,379	308	364	1,779	0	11,382
Total Miscellaneous Receipts	707	4,275	2,586	1,714	1,556	2,446	2,299	2,005	2,619	1,881	1,852	3,528	0	27,268
Federal Receipts	1,728	4,649	4,628	3,879	4,470	4,371	3,333	4,763	5,411	4,193	3,887	6,012	0	51,324
TOTAL RECEIPTS	11,077	12,808	15,319	10,259	10,481	15,259	9,766	10,741	16,164	14,754	10,213	16,424	0	153,265
DISBURSEMENTS:														
School Aid	700	2,937	2,430	193	636	4,898	205	2,350	2,019	662	806	7,665	0	25,501
Higher Education	18	20	646	248	123	186	345	53	66	164	333	767	0	2,969
All Other Education	177	309	593	186	179	505	143	203	242	62	449	510	0	3,558
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	3,201	4,480	4,128	4,323	4,083	4,556	3,317	4,085	4,553	5,153	4,021	4,725	0	50,625
Public Health	138	332	261	350	489	223	135	275	294	265	157	637	0	3,556
Mental Hygiene	90	57	486	163	91	663	35	13	603	104	78	522	0	2,905
Children and Families	58	157	132	391	192	473	132	98	358	80	326	235	0	2,632
Temporary & Disability Assistance	295	290	573	266	709	488	292	292	561	296	378	554	0	4,960
Transportation	157	527	528	346	484	602	368	639	1,136	204	288	402	0	5,681
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	225	256	341	330	67	402	229	463	338	172	487	511	0	3,821
Total Local Assistance Grants	5,060	9,778	10,938	6,797	7,053	13,295	5,167	8,496	10,487	9,716	7,323	16,604	0	110,314
Personal Service	1,238	1,023	1,025	1,380	1,032	1,060	1,208	1,018	1,408	1,016	1,017	1,172	0	13,597
Non-Personal Service	348	452	610	484	614	773	267	565	621	565	752	924	0	6,975
Total Departmental Operations	1,586	1,475	1,635	1,864	1,646	1,833	1,475	1,583	2,029	1,581	1,769	2,096	0	20,572
General State Charges	663	743	559	1,862	410	574	485	475	503	592	365	568	0	7,739
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0	5,598
Capital Projects	289	426	451	569	536	857	293	644	695	479	532	714	0	6,485
TOTAL DISBURSEMENTS	7,764	12,277	13,747	11,176	9,919	17,292	7,438	11,300	14,030	12,342	10,548	22,875	0	150,708
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	4,629	2,267	2,552	2,634	2,149	3,465	2,135	2,228	2,650	2,307	1,456	5,506	(535)	33,443
Transfers to other funds	(4,633)	(2,270)	(2,552)	(2,699)	(2,126)	(3,471)	(2,135)	(2,235)	(2,655)	(2,318)	(1,466)	(5,520)	535	(33,545)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(4)	(3)	0	(65)	23	(6)	0	(7)	(5)	(11)	(10)	(14)	0	(102)
Excess/(Deficiency) of Receipts over Disbursements	3,309	528	1,572	(982)	585	(2,039)	2,328	(566)	2,129	2,401	(345)	(6,465)	0	2,455
CLOSING BALANCE	12,664	13,192	14,764	13,782	14,367	12,328	14,656	14,990	16,219	18,620	18,275	11,810	0	11,910

**CASHFLOW
SPECIAL REVENUE FUNDS
FY 2016**
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	2,661	2,700	4,105	4,010	4,183	4,671	2,283	3,445	3,984	3,734	3,398	2,760		2,661
RECEIPTS:														
Personal Income Tax	3	0	431	0	0	190	0	26	125	2,554	0	6	0	3,335
Consumption/Use Taxes	204	147	195	184	153	206	165	147	181	169	129	148	0	2,028
Business Taxes	60	44	242	71	81	202	81	75	231	82	53	385	0	1,597
Other Taxes	133	87	96	96	85	100	87	95	111	147	146	123	0	1,306
Total Taxes	400	278	964	351	319	698	323	343	648	2,952	328	662	0	8,266
HCRA	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
State University Income	311	243	256	347	288	600	448	294	266	465	631	412	0	4,561
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0	833
Motor Vehicle Fees	34	45	41	45	35	34	28	30	43	30	30	36	0	431
Other Transactions	(610)	602	333	218	261	340	215	141	501	158	258	676	0	3,093
Total Miscellaneous Receipts	453	1,603	1,405	1,429	1,275	1,735	1,395	1,184	1,557	1,441	1,635	2,005	0	17,117
Federal Receipts	1,627	4,579	4,432	3,673	4,238	4,052	3,293	4,395	5,197	4,087	3,695	5,837	0	49,105
TOTAL RECEIPTS	2,480	6,460	6,801	5,453	5,832	6,485	5,011	5,922	7,402	8,480	5,658	8,504	0	74,488
DISBURSEMENTS:														
School Aid	165	234	455	126	74	2,294	94	687	399	233	318	289	0	5,368
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	0	16
All Other Education	159	211	85	101	71	111	109	172	55	40	228	127	0	1,469
STAR	0	1	431	0	0	190	0	25	175	2,554	0	9	0	3,335
Medicaid - DOH	1,970	2,874	3,633	3,434	2,810	3,433	2,492	3,099	3,237	4,250	2,898	4,359	0	38,789
Public Health	127	145	204	281	463	175	94	193	221	223	111	559	0	2,796
Mental Hygiene	82	50	203	152	80	353	32	3	291	84	224	293	0	1,627
Children and Families	19	118	36	88	162	150	51	26	84	10	139	16	0	899
Temporary & Disability Assistance	202	192	405	169	614	373	165	193	468	194	277	449	0	3,701
Transportation	127	472	455	315	435	403	352	564	854	187	236	257	0	4,657
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	191	151	79	145	4	310	154	173	317	125	333	163	0	2,145
Total Local Assistance Grants	3,042	4,448	5,986	4,811	4,713	7,772	3,543	5,135	6,051	7,900	4,564	6,537	0	64,502
Personal Service	684	580	568	742	561	571	665	577	779	569	598	692	0	5,586
Non-Personal Service	280	326	468	301	445	538	186	379	482	404	532	633	0	4,994
Total Departmental Operations	964	906	1,036	1,043	1,006	1,129	851	956	1,261	973	1,130	1,325	0	12,580
General State Charges	51	239	195	46	460	101	12	69	500	40	503	126	0	2,342
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
TOTAL DISBURSEMENTS	4,057	5,593	7,217	5,900	6,179	9,002	4,406	6,160	7,812	8,913	6,197	7,990	0	79,426
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,795	859	463	895	1,057	451	739	851	497	323	221	1,054	(535)	8,670
Transfers to Other Funds	(179)	(321)	(142)	(275)	(222)	(322)	(182)	(74)	(337)	(226)	(320)	(721)	535	(2,786)
NET OTHER FINANCING SOURCES/(USES)	1,616	538	321	620	835	129	557	777	160	97	(99)	333	0	5,884
Excess/(Deficiency) of Receipts over Disbursements	39	1,405	(95)	173	488	(2,388)	1,162	539	(250)	(336)	(638)	847	0	946
CLOSING BALANCE	2,700	4,105	4,010	4,183	4,671	2,283	3,445	3,984	3,734	3,398	2,760	3,607	0	3,607

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2016**
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	2,472	3,548	4,076	3,872	4,303	4,628	2,807	3,559	3,793	3,008	3,172	3,046		2,472
RECEIPTS:														
Personal Income Tax	3	0	431	0	0	190	0	26	125	2,554	0	6	0	3,335
Consumption/Use Taxes	204	147	195	184	153	206	165	147	181	189	129	148	0	2,028
Business Taxes	60	44	242	71	81	202	202	75	231	82	153	385	0	1,597
Other Taxes	133	87	96	96	85	300	87	95	111	147	148	123	0	1,500
Total Taxes	400	278	964	351	319	698	323	343	648	2,952	328	662	0	8,266
HCRA	317	378	448	439	382	376	384	404	359	354	413	412	0	4,727
State University Income	311	243	256	247	288	600	488	294	266	465	631	412	0	4,561
Medicaid	321	262	262	310	243	318	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	73	73	66	72	72	69	69	0	833
Motor Vehicle Fees	34	45	41	45	35	34	28	30	43	30	30	36	0	431
Other Transactions	(624)	551	333	206	241	328	205	125	492	135	242	668	0	2,902
Total Miscellaneous Receipts	439	1,552	1,405	1,417	1,255	1,723	1,385	1,168	1,548	1,418	1,619	1,997	0	16,926
Federal Receipts	0	0	0	0	0	0	0	1	0	0	0	(1)	0	0
TOTAL RECEIPTS	839	1,830	2,369	1,768	1,574	2,421	1,708	1,512	2,196	4,370	1,947	2,658	0	25,192
DISBURSEMENTS:														
School Aid	0	0	308	0	0	2,148	0	142	142	142	143	144	0	3,169
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	0	16
All Other Education	0	1	0	0	1	2	1	1	2	1	0	1	0	10
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	410	311	432	565	377	502	513	419	426	464	226	672	0	5,317
Public Health	24	39	79	159	157	58	19	56	75	116	25	127	0	934
Mental Hygiene	62	31	194	131	68	316	24	(5)	279	69	15	287	0	1,471
Children and Families	0	1	0	0	0	0	0	1	0	0	0	(1)	0	2
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	125	470	452	313	433	401	352	562	852	186	235	253	0	4,634
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	24	32	18	29	24	87	4	43	25	60	74	31	0	451
Total Local Assistance Grants	645	886	1,914	1,197	1,060	3,704	913	1,244	1,926	3,592	719	1,539	0	19,339
Personal Service	633	534	511	678	517	528	618	531	714	524	548	624	0	6,970
Non-Personal Service	223	249	357	229	348	334	146	302	370	369	336	416	0	3,621
Total Departmental Operations	856	783	878	907	865	862	764	836	1,084	893	883	1,040	0	10,591
General State Charges	38	195	188	40	413	86	11	49	452	34	461	88	0	2,055
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
TOTAL DISBURSEMENTS	1,539	1,864	2,980	2,144	2,338	4,652	1,688	2,129	3,462	4,459	2,063	2,669	0	31,987
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	1,795	859	463	895	1,057	451	739	851	497	323	221	1,015	(535)	8,631
Transfers to Other Funds	(19)	(297)	(56)	(88)	32	(41)	(7)	0	(16)	(69)	(232)	(503)	535	(761)
NET OTHER FINANCING SOURCES/(USES)	1,776	562	407	807	1,089	410	732	851	481	254	(11)	512	0	7,870
Excess/(Deficiency) of Receipts over Disbursements	1,076	528	(204)	431	325	(1,821)	752	234	(785)	165	(127)	501	0	1,075
CLOSING BALANCE	3,548	4,076	3,872	4,303	4,628	2,807	3,559	3,793	3,008	3,173	3,046	3,547	0	3,547

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2016
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
OPENING BALANCE	189	(848)	29	138	(120)	43	(524)	(114)	191	726	225	(286)	189
RECEIPTS:													
Miscellaneous Receipts	14	51	0	12	20	12	10	16	9	23	16	8	191
Federal Receipts	1,627	4,579	4,432	3,673	4,238	4,052	3,293	4,394	5,197	4,087	3,695	5,838	49,105
TOTAL RECEIPTS	1,641	4,630	4,432	3,685	4,258	4,064	3,303	4,410	5,206	4,110	3,711	5,846	49,296
DISBURSEMENTS:													
School Aid	165	234	147	126	74	146	94	545	257	91	175	145	2,199
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	159	210	85	101	70	109	108	171	53	39	228	127	1,460
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	1,560	2,563	3,201	2,869	2,433	2,931	1,979	2,680	2,811	3,786	2,672	3,688	33,173
Public Health	103	106	125	122	306	117	75	137	146	107	86	433	1,863
Mental Hygiene	20	19	9	21	12	17	8	8	12	15	9	6	156
Children and Families	19	117	36	88	162	150	51	25	84	10	138	17	897
Temporary & Disability Assistance	202	192	405	169	614	373	165	193	468	194	277	449	3,701
Transportation	2	2	3	2	2	2	0	2	2	1	1	4	23
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	167	119	61	116	(20)	223	150	130	292	65	259	129	1,691
Total Local Assistance Grants	2,397	3,562	4,072	3,614	3,653	4,068	2,650	3,891	4,125	4,308	3,845	4,998	45,163
Personal Service	51	46	47	64	44	43	47	46	65	45	50	68	616
Non-Personal Service	57	77	111	72	97	224	40	74	112	95	197	217	1,373
Total Departmental Operations	108	123	158	136	141	267	87	120	177	140	247	285	1,989
General State Charges	13	44	7	6	47	15	1	20	48	6	42	38	287
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	2,518	3,729	4,237	3,756	3,841	4,350	2,718	4,031	4,350	4,454	4,134	5,321	47,439
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	39
Transfers to Other Funds	(160)	(24)	(86)	(187)	(254)	(281)	(175)	(74)	(321)	(157)	(88)	(218)	(2,025)
NET OTHER FINANCING SOURCES (USES)	(160)	(24)	(86)	(187)	(254)	(281)	(175)	(74)	(321)	(157)	(88)	(179)	(1,986)
Excess/(Deficiency) of Receipts over Disbursements	(1,037)	877	109	(258)	163	(567)	410	305	535	(501)	(511)	346	(129)
CLOSING BALANCE	(848)	29	138	(120)	43	(524)	(114)	191	726	225	(286)	60	60

**CASHFLOW
DEBT SERVICE FUNDS
FY 2016**
(dollars in millions)

	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	Total
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July	
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results
OPENING BALANCE	118	415	452	216	688	930	333	615	711	593	2,289	2,171					118
RECEIPTS:																	
Personal Income Tax	1,680	580	1,201	680	636	1,281	613	585	1,249	1,805	749	705					11,764
Consumption/Use Taxes	480	465	640	497	484	641	437	482	594	508	414	601					6,243
Other Taxes	86	97	82	82	87	101	95	69	84	101	79	80					1,043
Total Taxes	2,246	1,142	1,923	1,259	1,207	2,023	1,145	1,136	1,927	2,414	1,242	1,386					19,050
Miscellaneous Receipts	(8)	95	38	46	63	37	23	28	35	63	30	37					487
Federal Receipts	0	0	0	2	35	0	0	0	0	0	37	(1)					73
TOTAL RECEIPTS	2,238	1,237	1,961	1,307	1,305	2,060	1,168	1,164	1,962	2,477	1,309	1,422					19,610
DISBURSEMENTS:																	
Departmental Operations	0	1	1	10	3	4	0	1	1	1	2	13					37
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893					5,598
TOTAL DISBURSEMENTS	166	256	165	94	277	737	18	103	317	35	561	2,906					5,635
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	537	77	148	429	78	389	313	90	205	507	62	1,182					4,007
Transfers to Other Funds	(2,502)	(1,021)	(2,180)	(1,170)	(864)	(2,309)	(1,181)	(1,055)	(1,968)	(1,253)	(926)	(1,709)					(17,940)
NET OTHER FINANCING SOURCES/(USES)	(1,775)	(944)	(2,032)	(741)	(786)	(1,920)	(868)	(965)	(1,763)	(746)	(866)	(527)					(13,933)
Excess/(Deficiency) of Receipts over Disbursements	297	37	(236)	472	242	(597)	282	96	(118)	1,696	(118)	(2,011)					42
CLOSING BALANCE	415	452	216	688	930	333	615	711	593	2,289	2,171	160					160

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2016
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
OPENING BALANCE	(724)	(795)	(956)	(526)	(714)	(723)	(1,005)	(792)	(917)	(849)	(846)	(997)	(724)
RECEIPTS:													
Consumption/Use Taxes	49	43	64	47	48	70	49	51	68	46	42	59	636
Business Taxes	51	50	54	54	58	55	54	51	53	56	47	56	639
Other Taxes	0	0	11	12	12	12	12	12	12	12	12	12	119
Total Taxes	100	93	129	113	118	137	115	114	133	114	101	127	1,394
Miscellaneous Receipts	84	132	955	139	98	292	384	110	771	72	64	721	3,822
Federal Receipts	101	70	196	204	197	319	40	368	214	106	155	176	2,146
TOTAL RECEIPTS	285	295	1,280	456	413	748	539	592	1,118	292	320	1,024	7,362
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	13	0	1	14
All Other Education	0	0	0	0	0	13	0	0	0	0	0	0	14
Public Health	0	0	0	0	0	0	0	39	0	0	0	7	46
Mental Hygiene	5	5	5	8	9	15	2	9	9	5	5	27	104
School Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
Temporary & Disability Assistance	0	0	10	0	0	10	0	10	0	10	0	6	46
Transportation	30	31	73	31	14	199	16	53	267	16	38	145	913
All Other Local	32	67	73	154	159	47	39	262	68	16	65	379	1,361
Total Local Assistance Grants	67	103	161	193	182	284	57	373	344	60	108	566	2,498
Economic Development	2	2	3	7	5	5	2	3	10	4	4	10	57
Parks & the Environment	19	20	24	34	35	39	8	29	37	35	38	54	372
Transportation	211	292	273	354	292	473	152	383	403	214	289	289	3,605
Health & Social Welfare	2	(5)	2	10	6	11	0	5	20	4	7	21	83
Mental Hygiene	10	10	19	8	11	19	3	15	10	10	11	13	139
Public Protection	15	31	38	23	27	39	5	40	26	9	26	45	324
Education	22	61	70	93	94	193	16	48	92	79	67	137	972
All Other	8	15	22	40	66	78	107	121	97	124	90	163	931
Total Capital Projects	289	426	451	569	536	857	293	644	695	479	532	712	6,483
TOTAL DISBURSEMENTS	356	529	612	762	718	1,141	350	1,017	1,039	539	640	1,278	8,981
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	77	148	(162)	208	326	359	28	336	24	289	189	1,073	2,895
Transfers to Other Funds	(77)	(75)	(76)	(90)	(30)	(248)	(4)	(36)	(35)	(39)	(20)	(713)	(1,443)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/USES	0	73	(238)	118	296	111	24	300	(11)	250	169	360	1,452
Excess/(Deficiency) of Receipts over Disbursements	(71)	(161)	(430)	(188)	(9)	(282)	213	(125)	68	3	(151)	106	(167)
CLOSING BALANCE	(795)	(956)	(526)	(714)	(723)	(1,005)	(792)	(917)	(849)	(846)	(997)	(891)	(891)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2016
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
OPENING BALANCE	(342)	(409)	(524)	(129)	(320)	(368)	(686)	(441)	(500)	(487)	(488)	(706)	(342)
RECEIPTS:													
Consumption/Use Taxes	49	43	64	47	48	70	49	51	68	46	42	59	636
Business Taxes	51	50	54	54	58	55	54	51	53	56	47	56	639
Other Taxes	0	0	11	12	12	12	12	12	12	12	12	12	119
Total Taxes	100	93	129	113	118	137	115	114	133	114	101	127	1,394
Miscellaneous Receipts	84	132	955	139	98	292	384	110	771	72	64	720	3,821
Federal Receipts	0	0	0	0	0	3	0	0	0	0	0	2	5
TOTAL RECEIPTS	184	225	1,084	252	216	432	499	224	904	186	165	849	5,220
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	13	0	1	14
All Other Education	0	0	0	0	0	13	0	0	0	0	0	1	14
Public Health	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	5	5	5	8	9	15	2	9	9	5	5	27	104
School Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
Temporary & Disability Assistance	0	0	10	0	0	10	0	10	0	10	0	6	46
Transportation	4	3	33	7	2	115	2	7	243	2	4	84	506
All Other Local	32	67	73	154	159	47	39	114	68	16	65	368	1,202
Total Local Assistance Grants	41	75	121	169	170	200	43	140	320	46	74	487	1,886
Economic Development	2	2	3	7	5	5	2	3	10	4	4	10	57
Parks & the Environment	17	20	23	33	34	38	8	29	36	34	38	54	364
Transportation	139	206	158	185	149	282	96	187	274	130	238	187	2,231
Health & Social Welfare	2	(5)	2	10	6	11	0	5	20	4	7	21	83
Mental Hygiene	10	10	19	8	11	19	3	15	10	10	11	13	139
Public Protection	14	30	36	22	26	37	5	38	23	8	23	41	303
Education	22	61	70	93	94	193	16	48	92	79	67	137	972
All Other	0	14	19	35	65	76	105	119	95	123	90	161	908
Total Capital Projects	212	338	330	393	390	661	235	444	560	392	478	624	5,057
TOTAL DISBURSEMENTS	253	413	451	562	560	861	278	584	880	438	552	1,111	6,943
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	77	148	(162)	208	326	359	28	336	24	289	189	1,350	3,172
Transfers to Other Funds	(75)	(75)	(76)	(89)	(30)	(248)	(4)	(35)	(35)	(38)	(20)	(714)	(1,459)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	2	73	(238)	119	296	111	24	301	(11)	251	169	636	1,733
Excess/(Deficiency) of Receipts over Disbursements	(67)	(115)	395	(191)	(48)	(318)	245	(59)	13	(1)	(218)	374	10
CLOSING BALANCE	(409)	(524)	(129)	(320)	(368)	(686)	(441)	(500)	(487)	(488)	(706)	(332)	(332)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2016
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
	(382)	(386)	(432)	(397)	(394)	(355)	(319)	(351)	(417)	(362)	(358)	(291)	(382)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	1	1
Federal Receipts	101	70	196	204	197	316	40	368	214	106	155	174	2,141
TOTAL RECEIPTS	101	70	196	204	197	316	40	368	214	106	155	175	2,142
DISBURSEMENTS:													
Public Health	0	0	0	0	0	0	0	39	0	0	0	7	46
Transportation	26	28	40	24	12	84	14	46	24	14	34	61	407
All Other Local	0	0	0	0	0	0	0	148	0	0	0	10	158
Total Local Assistance Grants	26	28	40	24	12	84	14	233	24	14	34	78	611
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	2	0	1	1	0	1	0	0	1	1	0	0	8
Transportation	72	86	115	169	143	191	56	196	129	84	51	82	1,374
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	1	2	1	1	2	0	2	3	1	3	4	21
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	2	1	3	5	1	2	2	2	2	1	0	2	23
Total Capital Projects	77	88	121	176	146	196	58	200	135	87	54	88	1,426
TOTAL DISBURSEMENTS	103	116	161	200	158	280	72	433	159	101	88	166	2,037
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	(277)	(277)
Transfers to Other Funds	(2)	0	0	(1)	0	0	0	(1)	0	(1)	0	1	(4)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(2)	0	0	(1)	0	0	0	(1)	0	(1)	0	(276)	(281)
Excess/(Deficiency) of Receipts over Disbursements	(4)	(46)	35	3	39	36	(32)	(66)	55	4	67	(267)	(176)
CLOSING BALANCE	(386)	(432)	(397)	(394)	(355)	(319)	(351)	(417)	(362)	(358)	(291)	(558)	(558)

CASHFLOW
STATE FUNDS
FY 2016
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
RECEIPTS:													
Personal Income Tax	9,548	13,898	13,595	15,023	14,296	14,679	13,171	15,121	14,316	15,855	18,753	18,852	9,548
Consumption/Use Taxes	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0
Business Taxes	1,240	1,167	1,592	1,288	1,217	1,616	1,139	1,214	1,488	1,275	1,035	1,455	0
Other Taxes	314	61	1,402	238	344	1,351	206	138	1,368	16	110	2,315	0
Lottery	369	335	308	399	349	351	338	280	352	368	332	297	0
Total Taxes	8,642	3,884	8,105	4,666	4,455	8,442	4,134	3,973	8,134	8,880	4,474	6,884	0
Abandoned Property	0	0	0	24	0	22	0	120	26	41	20	274	0
ABC License Fee	7	6	7	6	6	7	7	4	5	4	5	3	0
HCRA	317	378	448	439	382	376	384	404	359	354	413	473	0
Investment Income	0	0	1	1	1	1	1	1	1	0	3	3	0
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	83	0
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0
Motor Vehicle Fees	70	45	69	60	54	54	49	38	65	24	53	66	0
Reimbursements	6	7	36	9	26	29	3	8	50	4	27	27	0
State University Income	311	243	256	347	288	600	448	294	1,266	465	631	412	0
Other Transactions	(459)	3,165	1,367	414	417	912	1,024	777	1,370	285	348	1,770	0
Total Miscellaneous Receipts	693	4,224	2,586	1,702	1,536	2,434	2,289	1,989	2,610	1,658	1,836	3,519	0
Federal Receipts	0	0	0	2	35	3	0	1	0	0	37	0	78
TOTAL RECEIPTS	9,335	8,108	10,691	6,370	6,026	10,879	6,423	5,963	10,744	10,538	6,347	10,403	101,827
DISBURSEMENTS:													
School Aid	535	2,703	2,283	67	562	4,762	111	1,805	1,762	571	631	7,520	0
Higher Education	18	20	646	248	123	186	345	53	66	164	333	767	0
All Other Education	18	99	508	85	109	396	35	32	189	23	221	384	0
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0
Medicaid - DOH	1,641	1,917	927	1,454	1,650	1,625	1,338	1,405	1,742	1,367	1,349	1,038	0
Public Health	35	226	136	228	183	106	60	99	148	158	71	198	0
Mental Hygiene	70	38	477	142	79	646	27	5	591	89	69	516	0
Children and Families	39	40	96	303	30	323	81	73	274	70	188	218	0
Temporary & Disability Assistance	93	98	168	97	95	115	93	99	93	102	101	105	0
Transportation	129	497	485	320	470	516	354	591	1,110	189	253	337	0
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0
All Other	58	137	280	214	87	179	79	185	46	107	228	369	0
Total Local Assistance Grants	2,637	5,788	6,826	3,159	3,388	9,143	2,523	4,372	6,338	5,394	3,444	11,528	0
Personal Service	1,487	977	978	1,316	988	1,017	1,161	972	1,343	971	967	1,104	0
Non-Personal Service	291	375	499	412	517	549	227	491	509	470	555	707	0
Total Departmental Operations	1,478	1,352	1,477	1,728	1,505	1,566	1,388	1,463	1,852	1,441	1,522	1,811	0
General State Charges	650	699	552	1,856	363	559	484	455	455	526	323	530	0
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0
Capital Projects	212	338	330	393	390	661	235	444	560	392	478	626	0
TOTAL DISBURSEMENTS	5,143	8,432	9,349	7,220	5,920	12,662	4,648	6,836	9,521	7,787	6,326	17,388	0
OTHER FINANCING SOURCES (USES):													
Transfers from other funds	4,629	2,267	2,552	2,634	2,149	3,465	2,135	2,228	2,650	2,307	1,456	5,744	(595)
Transfers to other funds	(4,471)	(2,246)	(2,466)	(2,511)	(1,872)	(3,190)	(1,960)	(2,160)	(2,334)	(2,160)	(1,378)	(5,303)	535
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	158	21	86	123	277	275	175	68	316	147	78	441	0
Excess/(Deficiency) of Receipts over Disbursements	4,350	(303)	1,428	(727)	383	(1,508)	1,950	(805)	1,539	2,898	99	(6,544)	0
CLOSING BALANCE	13,898	13,595	15,023	14,296	13,171	15,121	14,316	15,855	18,753	18,852	12,308	9,548	12,308

CASHFLOW
STATE OPERATING FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	12,641	15,345	13,151	12,549	13,152	13,391	13,902	13,890	11,858	13,309	16,616	16,960		12,641
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,526	2,094	4,755	7,944	3,636	3,635	0	48,089
Consumption/Use Taxes	1,230	1,143	1,566	1,250	1,189	1,550	1,196	1,212	1,555	1,255	1,027	1,393	0	15,566
Business Taxes	231	139	1,096	139	1,326	1,130	530	(11)	1,400	(15)	108	2,342	0	7,397
Other Taxes	8,127	3,744	7,656	4,212	4,564	7,862	4,533	3,555	7,976	9,511	5,077	7,644	0	34,009
Total Taxes														74,461
Abandoned Property	0	0	0	0	0	38	29	120	25	40	20	253	0	525
ABC License Fee	6	5	4	4	5	5	4	5	5	5	5	6	0	59
HCRA	366	433	440	435	394	405	416	371	376	377	372	375	0	4,760
Investment Income	2	2	2	1	1	1	2	2	2	2	2	2	0	20
Licenses, Fees, etc.	22	70	54	49	62	33	52	60	45	50	60	62	0	619
Lottery	267	280	336	278	321	249	243	311	255	256	256	384	0	3,436
Medicaid	77	72	72	71	76	69	70	69	69	69	69	69	0	852
Motor Vehicle Fees	50	54	61	34	62	39	(18)	68	51	54	56	52	0	563
Reimbursements	6	16	36	8	7	49	4	10	50	8	20	49	0	263
State University Income	283	265	249	237	439	695	367	242	251	453	581	230	0	4,292
Other Transactions	279	660	423	322	496	608	314	562	325	216	197	102	0	4,504
Total Miscellaneous Receipts	1,358	1,857	1,677	1,439	1,863	2,191	1,482	1,820	1,454	1,530	1,638	1,584	0	19,893
Federal Receipts	15	1	0	1	20	1	0	0	0	0	2	34	0	74
TOTAL RECEIPTS	9,500	5,602	9,333	5,652	6,447	10,054	6,015	5,375	9,430	11,041	6,717	9,262	0	94,428
DISBURSEMENTS:														
School Aid	754	2,900	2,203	48	645	3,771	1,055	1,638	2,003	797	747	7,861	0	24,422
Higher Education	19	25	648	218	124	166	357	54	199	48	369	757	0	2,984
All Other Education	52	118	514	146	240	70	114	197	74	99	175	437	0	2,236
STAR	1	0	0	0	0	108	1	19	126	2,547	0	10	0	3,228
Medicaid - DOH	1,335	1,765	1,870	1,729	1,766	1,623	1,455	1,884	1,401	1,077	964	1,301	0	18,170
Public Health	82	235	188	113	90	95	94	126	94	215	83	92	0	1,507
Mental Hygiene	90	40	439	107	49	447	112	48	467	80	103	483	0	2,465
Children and Families	27	34	271	194	69	88	65	131	289	131	278	134	0	1,711
Temporary & Disability Assistance	95	94	156	93	97	110	96	102	102	102	102	113	0	1,262
Transportation	192	481	524	308	490	429	376	629	866	179	258	202	0	4,934
Unrestricted Aid	0	11	389	9	0	98	8	0	181	1	0	63	0	760
All Other	31	57	134	57	95	76	19	19	95	94	109	142	0	978
Total Local Assistance Grants	2,678	5,766	7,752	3,022	3,665	7,081	3,802	4,847	5,892	5,370	3,188	11,595	0	64,657
Personal Service	1,026	1,016	1,314	1,004	1,036	1,211	994	1,314	986	988	983	1,069	0	12,941
Non-Personal Service	318	429	486	365	515	477	505	479	515	492	518	674	0	5,773
Total Departmental Operations	1,344	1,445	1,800	1,369	1,551	1,688	1,499	1,793	1,501	1,480	1,501	1,743	0	18,714
General State Charges	2,619	431	495	430	433	417	525	528	428	472	567	362	0	7,707
Debt Service	113	163	90	25	282	790	32	75	364	26	655	2,460	0	5,075
Capital Projects	0	0	0	1	0	1	0	0	0	0	0	1	0	3
TOTAL DISBURSEMENTS	6,754	7,799	10,137	4,847	5,931	9,977	5,858	7,243	8,190	7,348	5,911	16,161	0	96,156
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,034	2,443	2,969	2,323	1,506	2,729	2,061	1,597	2,762	2,000	1,369	5,083	(435)	29,441
Transfers to other funds	(3,076)	(2,440)	(2,767)	(2,525)	(1,783)	(2,295)	(2,230)	(1,761)	(2,551)	(2,386)	(1,831)	(5,005)	435	(30,215)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(42)	3	202	(202)	(277)	434	(169)	(164)	211	(386)	(462)	78	0	(774)
Excess/(Deficiency) of Receipts over Disbursements	2,704	(2,194)	(602)	603	239	511	(12)	(2,032)	1,451	3,307	344	(6,821)	0	(2,502)
CLOSING BALANCE	15,345	13,151	12,549	13,152	13,391	13,902	13,890	11,858	13,309	16,616	16,960	10,139	0	10,139

CASHFLOW
ALL GOVERNMENTAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	11,810	14,230	12,089	12,220	12,500	12,187	13,427	13,048	10,517	12,713	15,982	15,637		11,810
RECEIPTS:														
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,526	2,094	4,755	7,944	3,636	3,635	0	48,089
Consumption/Use Taxes	1,274	1,183	1,632	1,299	1,241	1,620	1,249	1,253	1,620	1,297	1,067	1,393	0	16,128
Business Taxes	280	182	1,150	206	164	1,382	582	37	1,449	37	152	2,397	0	8,018
Other Taxes	282	277	282	273	334	295	293	272	278	339	318	285	0	3,528
Total Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,650	3,656	8,102	9,617	5,173	7,710	0	75,763
Abandoned Property	0	0	0	0	0	38	29	120	25	40	20	253	0	525
ABC License Fee	6	5	4	4	5	5	4	5	5	5	5	6	0	59
HCRA	366	433	440	435	394	405	416	371	376	377	372	375	0	4,760
Investment Income	2	2	2	1	1	1	1	2	2	2	2	2	0	20
Licenses, Fees, etc.	22	70	54	49	62	33	52	60	45	50	60	62	0	619
Lottery	267	280	336	278	321	249	243	311	255	256	256	384	0	3,436
Medical	77	72	71	71	69	69	70	69	69	69	69	82	0	852
Motor Vehicle Fees	50	54	61	34	62	39	(18)	68	51	54	56	52	0	563
Reimbursements	6	16	36	8	7	49	4	10	50	8	20	49	0	263
State University Income	283	265	249	237	439	695	367	242	251	453	581	230	0	4,292
Other Transactions	377	903	1,094	425	599	1,275	490	865	1,069	488	491	1,568	0	9,644
Total Miscellaneous Receipts	1,456	2,100	2,348	1,542	1,966	2,858	1,658	2,123	2,198	1,802	1,932	3,050	0	25,033
Federal Receipts	3,276	4,197	5,209	3,646	4,205	5,382	3,533	4,643	5,105	4,244	4,187	5,326	0	52,953
TOTAL RECEIPTS	12,952	10,124	15,345	9,528	10,850	16,241	9,841	10,422	15,405	15,663	11,292	16,086	0	153,749
DISBURSEMENTS:														
School Aid	955	3,083	2,431	174	751	3,859	1,330	1,948	2,374	1,168	1,118	8,259	0	27,450
Higher Education	19	25	648	218	124	166	357	55	200	49	370	761	0	2,992
All Other Education	119	218	583	195	331	111	187	280	157	182	258	523	0	3,144
STAR	1	0	416	0	0	108	1	19	126	2,547	0	10	0	3,228
Medicaid - DOH	3,835	4,615	5,070	4,636	5,070	3,789	4,048	5,454	3,849	4,071	3,954	4,645	0	52,990
Public Health	228	390	317	251	225	441	212	292	344	434	228	354	0	3,716
Mental Hygiene	120	58	457	128	63	472	136	67	487	105	129	537	0	2,759
Children and Families	87	136	324	295	237	326	138	227	311	163	316	182	0	2,742
Temporary & Disability Assistance	269	274	417	249	257	843	281	418	439	438	431	453	0	4,769
Transportation	225	524	608	385	574	663	449	711	1,135	257	817	339	0	6,687
Unrestricted Aid	0	11	389	9	0	98	8	0	181	1	0	63	0	760
All Other	173	229	319	292	490	279	275	254	526	304	431	635	0	4,207
Total Local Assistance Grants	6,031	9,563	11,979	6,832	8,076	11,155	7,422	9,725	10,129	9,719	8,052	16,761	0	115,444
Personal Service	1,075	1,060	1,386	1,045	1,095	1,258	1,038	1,384	1,045	1,035	1,029	1,134	0	13,573
Non-Personal Service	363	523	603	444	667	656	606	575	627	589	631	833	0	7,117
Total Departmental Operations	1,438	1,583	1,989	1,489	1,762	1,914	1,644	1,959	1,661	1,624	1,660	1,967	0	20,690
General State Charges	2,630	466	509	430	467	454	529	565	461	484	607	408	0	8,010
Debt Service	113	163	90	25	282	790	32	364	26	536	655	2,460	0	5,075
Capital Projects	313	486	647	471	561	691	587	627	598	536	665	1,079	0	7,261
TOTAL DISBURSEMENTS	10,525	12,261	15,214	9,247	11,148	15,004	10,214	12,951	13,213	12,389	11,639	22,675	0	156,480
OTHER FINANCING SOURCES (USES):														
Transfers from other funds	3,195	2,627	3,108	2,686	1,859	2,729	2,348	1,977	2,748	2,526	1,982	6,007	(435)	33,357
Transfers to other funds	(3,202)	(2,631)	(3,108)	(2,687)	(1,874)	(2,726)	(2,354)	(1,981)	(2,753)	(2,532)	(1,986)	(6,032)	435	(33,432)
Bond and note proceeds	0	0	0	0	0	0	0	2	9	2	6	590	0	609
NET OTHER FINANCING SOURCES/(USES)	(7)	(4)	0	(1)	(15)	3	(6)	(2)	4	(5)	2	565	0	534
Excess/(Deficiency) of Receipts over Disbursements	2,420	(2,141)	131	280	(313)	1,240	(379)	(2,531)	2,196	3,269	(345)	(6,024)	0	(2,197)
CLOSING BALANCE	14,230	12,089	12,220	12,500	12,187	13,427	13,048	10,517	12,713	15,982	15,637	9,613	0	9,613

CASHFLOW
SPECIAL REVENUE FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
OPENING BALANCE	3,607	3,870	4,768	5,296	5,554	5,577	4,365	4,515	3,337	3,923	4,047	3,769	3,607
RECEIPTS:													
Personal Income Tax	1	0	420	0	0	108	5	11	126	2,547	0	10	3,228
Consumption/Use Taxes	185	145	200	173	167	184	172	151	189	171	134	140	2,011
Business Taxes	73	55	225	68	70	247	121	52	236	65	56	354	1,622
Other Taxes	117	94	100	85	108	105	104	100	104	155	147	127	1,336
Total Taxes	376	294	945	326	345	644	392	314	655	2,938	337	631	8,197
HCRA	366	433	440	435	394	405	416	371	376	377	372	375	4,760
State University Income	283	265	249	237	249	265	243	242	251	453	581	230	4,292
Lottery	267	280	336	278	321	249	243	311	255	256	256	384	3,436
Medical	77	72	72	71	76	69	70	69	69	69	69	69	852
Motor Vehicle Fees	33	35	35	32	32	33	32	31	28	29	29	27	385
Other Transactions	265	275	416	169	245	428	236	230	257	117	136	(62)	2,712
Total Miscellaneous Receipts	1,291	1,363	1,548	1,228	1,507	1,879	1,364	1,251	1,239	1,301	1,443	1,023	16,437
Federal Receipts	3,150	4,041	4,981	3,491	3,940	5,020	3,369	4,453	4,941	4,121	4,138	5,073	50,718
TOTAL RECEIPTS	4,817	5,698	7,474	5,045	5,792	7,543	5,125	6,018	6,835	8,360	5,918	6,727	75,352
DISBURSEMENTS:													
School Aid	201	183	533	126	106	2,237	416	439	439	439	439	454	6,012
Higher Education	0	0	0	0	0	0	0	1	1	1	1	4	8
All Other Education	67	100	69	41	87	43	74	84	84	84	84	90	907
STAR	1	0	416	0	0	108	1	19	126	2,547	0	10	3,228
Medical - DOH	2,837	3,348	3,629	3,549	3,736	2,573	3,028	4,222	2,913	3,299	3,528	3,798	40,460
Public Health	208	179	265	190	186	401	167	174	306	249	180	291	2,796
Mental Hygiene	113	49	248	121	55	265	116	53	236	92	72	337	1,757
Children and Families	60	103	53	101	168	238	96	96	22	32	38	51	1,035
Temporary & Disability Assistance	174	164	261	156	160	717	316	316	321	337	329	324	3,444
Transportation	197	461	519	310	471	431	378	607	857	181	247	203	4,862
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	103	181	24	147	241	135	118	176	134	22	85	38	1,404
Total Local Assistance Grants	3,961	4,768	6,017	4,741	5,210	7,148	4,556	6,187	5,439	7,283	5,003	5,600	65,913
Personal Service	600	572	777	569	605	680	576	792	582	574	574	617	7,518
Non-Personal Service	259	386	440	297	478	482	384	387	438	405	405	513	4,874
Total Departmental Operations	859	958	1,217	866	1,083	1,162	960	1,179	1,020	979	979	1,130	12,392
General State Charges	190	273	118	26	102	454	29	308	320	68	252	303	2,443
Capital Projects	0	0	0	1	0	1	0	0	0	0	0	1	3
TOTAL DISBURSEMENTS	5,010	5,999	7,352	5,634	6,395	8,765	5,545	7,674	6,779	8,330	6,234	7,034	80,751
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	560	1,365	755	996	708	219	687	681	708	304	290	964	7,802
Transfers to Other Funds	(104)	(166)	(349)	(149)	(82)	(209)	(117)	(203)	(178)	(210)	(252)	(1,052)	(2,636)
NET OTHER FINANCING SOURCES/(USES)	456	1,199	406	847	626	10	570	478	530	94	38	(88)	5,166
Excess/(Deficiency) of Receipts over Disbursements	263	898	528	258	23	(1,212)	150	(1,178)	586	124	(278)	(395)	(233)
CLOSING BALANCE	3,870	4,768	5,296	5,554	5,577	4,365	4,515	3,337	3,923	4,047	3,769	3,374	3,374

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,547	4,127	4,982	4,973	5,579	6,054	3,961	4,413	3,807	3,412	3,667	3,655		3,547
RECEIPTS:														
Personal Income Tax	1	0	420	0	0	108	5	11	126	2,547	0	10	0	3,228
Consumption/Use Taxes	185	145	200	173	167	184	172	151	189	171	134	140	0	2,011
Business Taxes	73	55	225	68	70	247	121	52	236	65	56	354	0	1,622
Other Taxes	117	94	100	85	108	105	94	100	104	155	147	127	0	1,336
Total Taxes	376	294	945	326	345	644	392	314	655	2,938	337	631	0	8,197
HCRA														
State University Income	366	433	440	435	394	405	416	371	376	377	372	375	0	4,760
Lottery	283	265	249	237	439	695	367	242	251	453	581	230	0	4,292
Medicaid	267	280	336	278	321	249	243	311	255	256	256	384	0	3,436
Motor Vehicle Fees	33	72	72	71	76	99	70	99	69	69	69	69	0	882
Other Transactions	252	38	38	33	32	43	36	228	233	89	119	(78)	0	385
Total Miscellaneous Receipts	1,278	1,319	1,539	1,214	1,490	1,868	1,357	1,246	1,205	1,273	1,425	1,007	0	2,656
Federal Receipts	15	1	0	(1)	(15)	1	0	0	0	0	0	0	0	1
TOTAL RECEIPTS	1,669	1,614	2,484	1,539	1,820	2,513	1,749	1,560	1,860	4,211	1,762	1,638	0	24,419
DISBURSEMENTS:														
School Aid	0	0	305	0	0	2,151	144	144	144	144	144	158	0	3,334
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	0	2	1	1	1	1	1	1	4	0	13
STAR	1	0	416	0	0	108	1	19	126	2,547	0	10	0	3,228
Medicaid - DOH	337	498	429	642	478	407	435	652	465	305	538	454	0	5,640
Public Health	62	28	136	56	51	59	53	43	56	137	35	56	0	772
Mental Hygiene	87	39	237	106	45	248	100	45	224	78	63	314	0	1,586
Children and Families	0	1	0	0	0	0	0	0	0	0	0	3	0	4
Temporary & Disability Assistance	192	458	513	308	466	429	376	605	855	179	245	202	0	4,828
Transportation	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Unrestricted Aid	22	38	41	26	49	23	10	46	(56)	(113)	(107)	(106)	0	(127)
All Other	701	1,062	2,077	1,138	1,091	3,427	1,120	1,555	1,815	3,278	919	1,095	0	39,278
Total Local Assistance Grants														
Personal Service	551	528	705	528	546	633	532	722	534	527	528	552	0	6,886
Non-Personal Service	214	292	323	218	326	303	283	291	326	308	292	354	0	3,530
Total Departmental Operations	765	820	1,028	746	872	936	815	1,013	860	835	820	906	0	10,416
General State Charges	179	238	104	26	68	417	25	271	287	56	212	257	0	2,140
Capital Projects	0	0	0	1	0	1	0	0	0	0	0	1	0	3
TOTAL DISBURSEMENTS	1,645	2,120	3,209	1,911	2,031	4,781	1,960	2,839	2,962	4,169	1,951	2,259	0	31,837
OTHER FINANCING SOURCES (USES):														
Transfers from Other Funds	560	1,365	755	996	708	219	687	681	708	304	290	964	(435)	7,802
Transfers to Other Funds	(4)	(4)	(39)	(18)	(22)	(44)	(24)	(8)	(1)	(91)	(133)	(916)	435	(869)
NET OTHER FINANCING SOURCES/(USES)	556	1,361	716	978	686	175	663	673	707	213	157	48	0	6,933
Excess/(Deficiency) of Receipts over Disbursements	580	855	(9)	606	475	(2,093)	452	(606)	(395)	255	(32)	(573)	0	(485)
CLOSING BALANCE	4,127	4,982	4,973	5,579	6,054	3,961	4,413	3,807	3,412	3,667	3,635	3,062	0	3,062

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
OPENING BALANCE	60	(257)	(214)	323	(25)	(477)	404	102	(470)	511	380	134	60
RECEIPTS:													
Miscellaneous Receipts	13	44	9	14	17	11	7	5	34	28	18	16	216
Federal Receipts	3,135	4,040	4,981	3,492	3,955	5,019	3,369	4,453	4,941	4,121	4,138	5,073	50,717
TOTAL RECEIPTS	3,148	4,084	4,990	3,506	3,972	5,030	3,376	4,458	4,975	4,149	4,156	5,089	50,933
DISBURSEMENTS:													
School Aid	201	183	228	126	106	86	272	295	295	295	295	296	2,678
Higher Education	0	0	0	0	0	0	0	1	1	1	1	4	8
All Other Education	67	100	69	41	85	41	73	83	83	83	83	86	894
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,500	2,850	3,200	2,907	3,258	2,166	2,593	3,570	2,448	2,994	2,990	3,344	34,820
Public Health	146	151	129	134	135	342	114	131	250	112	145	235	2,024
Mental Hygiene	26	10	11	15	10	17	16	8	12	14	9	23	171
Children and Families	60	102	53	101	168	238	73	96	22	32	38	48	1,031
Temporary & Disability Assistance	174	164	261	156	160	717	185	316	321	337	329	324	3,444
Transportation	5	3	6	2	5	2	2	2	2	2	2	1	34
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	81	143	(17)	121	192	112	108	130	190	135	192	144	1,531
Total Local Assistance Grants	3,260	3,706	3,940	3,603	4,119	3,721	3,436	4,632	3,624	4,005	4,084	4,505	46,635
Personal Service	49	44	72	41	59	47	44	70	48	47	46	65	632
Non-Personal Service	45	94	117	79	152	179	101	96	112	97	113	159	1,344
Total Departmental Operations	94	138	189	120	211	226	145	166	160	144	159	224	1,976
General State Charges	11	35	14	0	34	37	4	37	33	12	40	46	303
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL DISBURSEMENTS	3,365	3,879	4,143	3,723	4,364	3,984	3,585	4,835	3,817	4,161	4,283	4,775	48,914
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(100)	(162)	(310)	(131)	(60)	(165)	(93)	(195)	(177)	(119)	(119)	(136)	(1,767)
NET OTHER FINANCING SOURCES/(USES)	(100)	(162)	(310)	(131)	(60)	(165)	(93)	(195)	(177)	(119)	(119)	(136)	(1,767)
Excess/(Deficiency) of Receipts over Disbursements	(317)	43	537	(348)	(452)	881	(302)	(572)	981	(131)	(246)	178	252
CLOSING BALANCE	(257)	(214)	323	(25)	(477)	404	102	(470)	511	380	134	312	60

**CASHFLOW
DEBT SERVICE FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
OPENING BALANCE	160	325	418	366	808	1,108	375	600	925	745	2,505	2,447	160
RECEIPTS:													
Personal Income Tax	1,596	546	1,181	641	735	1,176	631	523	1,189	1,986	909	909	12,022
Consumption/Use Taxes	498	475	655	508	485	653	488	506	659	515	430	607	6,479
Other Taxes	90	75	91	102	90	89	84	78	81	92	79	68	1,019
Total Taxes	2,184	1,096	1,927	1,251	1,310	1,918	1,203	1,107	1,929	2,593	1,418	1,584	19,520
Miscellaneous Receipts	11	25	(38)	138	52	42	31	38	30	73	47	36	485
Federal Receipts	0	0	0	2	35	0	0	0	0	0	2	34	73
TOTAL RECEIPTS	2,195	1,121	1,889	1,391	1,397	1,960	1,234	1,145	1,959	2,666	1,467	1,654	20,078
DISBURSEMENTS:													
Departmental Operations	1	2	1	15	3	3	1	2	1	0	3	7	39
Debt Service	113	163	90	25	282	790	32	75	364	26	655	2,460	5,075
TOTAL DISBURSEMENTS	114	165	91	40	285	793	33	77	365	26	658	2,467	5,114
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	358	139	192	301	51	331	216	115	188	422	75	912	3,300
Transfers to Other Funds	(2,274)	(1,002)	(2,042)	(1,210)	(863)	(2,231)	(1,192)	(858)	(1,962)	(1,302)	(942)	(2,553)	(18,231)
NET OTHER FINANCING SOURCES/(USES)	(1,916)	(863)	(1,850)	(909)	(812)	(1,900)	(976)	(743)	(1,774)	(880)	(867)	(1,441)	(14,931)
Excess/(Deficiency) of Receipts over Disbursements	165	93	(52)	442	300	(733)	225	325	(180)	1,760	(58)	(2,254)	33
CLOSING BALANCE	325	418	366	808	1,108	375	600	925	745	2,505	2,447	193	160

**CASHFLOW
CAPITAL PROJECTS FUNDS
FY 2017**
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(891)	(858)	(848)	(652)	(627)	(727)	(879)	(944)	(871)	(1,107)	(1,014)	(1,457)	(891)
RECEIPTS:													
Consumption/Use Taxes	44	40	66	49	52	70	53	41	65	42	40	0	562
Business Taxes	49	43	54	67	52	56	52	48	49	52	44	55	621
Other Taxes	0	0	12	12	11	13	12	12	12	12	12	11	119
Total Taxes	93	83	132	128	115	139	117	101	126	106	96	66	1,302
Miscellaneous Receipts	85	199	662	89	86	656	169	298	710	244	276	1,450	4,924
Federal Receipts	126	156	228	153	230	362	164	190	164	123	47	219	2,162
TOTAL RECEIPTS	304	438	1,022	370	431	1,157	450	589	1,000	473	419	1,735	8,388
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	6	0	0	0	0	0	0	0	14
Public Health	0	4	0	4	0	4	4	35	0	107	0	27	185
Mental Hygiene	4	8	7	6	4	8	8	11	8	11	17	31	123
School Aid	0	0	0	0	0	2	3	15	76	76	76	102	350
Temporary & Disability Assistance	0	16	0	0	0	16	0	0	16	(1)	0	16	63
Transportation	28	40	78	75	79	232	71	80	267	76	557	136	1,719
All Other Local	61	29	202	114	203	91	98	105	241	75	130	349	1,698
Total Local Assistance	93	97	287	207	292	353	184	246	608	344	780	661	4,152
Economic Development	6	7	7	14	8	13	10	24	27	75	81	60	332
Parks & the Environment	18	30	39	28	33	82	30	26	38	44	162	78	608
Transportation	175	263	365	268	331	425	340	337	305	203	196	323	3,531
Health & Social Welfare	3	2	(11)	3	3	0	21	12	13	8	9	56	119
Mental Hygiene	11	11	23	13	10	14	24	29	18	17	23	41	234
Public Protection	18	29	31	26	46	24	35	36	32	23	38	85	423
Education	22	66	81	70	71	81	77	85	83	83	82	139	940
All Other	60	78	112	48	59	51	50	78	82	83	74	296	1,071
Total Capital Projects	313	486	647	470	561	690	587	627	598	536	665	1,078	7,258
TOTAL DISBURSEMENTS	406	583	934	677	853	1,043	771	873	1,206	880	1,445	1,739	11,410
OTHER FINANCING SOURCES (USES):													
Transfers to Other Funds	161	184	139	363	353	0	287	380	(14)	526	613	924	3,916
Transfers to Other Funds	(26)	(29)	(31)	(31)	(31)	(266)	(31)	(25)	(25)	(28)	(36)	(891)	(1,450)
Bond and Note Proceeds	0	0	0	0	0	0	0	2	9	2	6	590	609
NET OTHER FINANCING SOURCES/(USES)	135	155	108	332	322	(266)	256	357	(30)	500	583	623	3,075
Excess/(Deficiency) of Receipts over Disbursements	33	10	196	25	(100)	(452)	(65)	73	(236)	93	(443)	619	53
CLOSING BALANCE	(858)	(848)	(652)	(627)	(727)	(879)	(944)	(871)	(1,107)	(1,014)	(1,457)	(838)	(838)

**CASHFLOW
CAPITAL PROJECTS STATE FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(333)	(348)	(348)	(220)	(157)	(271)	(433)	(433)	(385)	(651)	(585)	(744)	(333)
RECEIPTS:													
Consumption/Use Taxes	44	40	66	49	52	70	53	41	65	42	40	0	562
Business Taxes	49	43	54	67	52	56	52	48	49	52	44	55	621
Other Taxes	0	0	12	12	11	13	12	12	12	12	12	11	119
Total Taxes	93	83	132	128	115	139	117	101	126	106	96	66	1,302
Miscellaneous Receipts	84	199	662	89	86	656	169	298	710	244	276	1,451	4,924
Federal Receipts	0	0	0	0	2	2	0	0	0	0	0	3	5
TOTAL RECEIPTS	177	282	794	217	201	797	286	399	836	350	372	1,520	6,231
DISBURSEMENTS:													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	6	0	0	0	0	0	0	0	14
Public Health	0	0	0	4	0	0	4	0	0	107	0	(4)	115
Mental Hygiene	4	8	7	6	4	8	8	11	8	11	17	31	123
School Aid	0	0	0	0	0	0	3	15	76	76	76	102	350
Temporary & Disability Assistance	0	16	0	0	0	16	0	0	16	(1)	0	16	63
Transportation	6	3	51	11	14	117	10	43	228	43	552	161	1,239
All Other Local	61	29	202	114	203	89	98	92	216	62	117	259	1,542
Total Local Assistance Grants	71	60	260	143	227	232	123	161	544	298	762	565	3,446
Economic Development	6	7	7	14	8	13	10	24	27	75	81	60	332
Parks & the Environment	17	29	39	28	33	28	30	26	38	44	162	118	592
Transportation	121	159	241	149	183	283	178	260	238	159	167	374	2,512
Health & Social Welfare	3	2	(11)	3	3	0	21	12	13	8	9	47	110
Mental Hygiene	11	11	23	13	10	14	24	29	18	17	23	41	234
Public Protection	17	26	28	23	43	22	32	34	30	21	36	87	399
Education	22	66	81	70	71	81	77	85	83	83	82	139	940
All Other	61	77	108	44	59	50	50	77	81	82	74	283	1,046
Total Capital Projects	258	377	516	344	410	491	422	547	528	489	634	1,149	6,165
TOTAL DISBURSEMENTS	329	437	776	487	637	723	545	708	1,072	787	1,396	1,714	9,611
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	162	184	138	362	353	30	287	380	(14)	526	895	940	4,243
Transfers to Other Funds	(25)	(29)	(28)	(29)	(31)	(266)	(28)	(25)	(25)	(25)	(36)	(891)	(1,438)
Bond and Note Proceeds	0	0	0	0	0	0	0	2	9	2	6	590	609
NET OTHER FINANCING SOURCES/(USES)	137	155	110	333	322	(236)	259	357	(30)	503	865	639	3,414
Excess/(Deficiency) of Receipts over Disbursements	(15)	0	128	63	(114)	(162)	0	48	(266)	66	(159)	445	34
CLOSING BALANCE	(348)	(348)	(220)	(157)	(271)	(433)	(433)	(385)	(651)	(585)	(744)	(299)	(299)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
FY 2017
(dollars in millions)

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(558)	(510)	(500)	(432)	(471)	(457)	(447)	(512)	(487)	(457)	(430)	(714)	(558)
RECEIPTS:													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	0	0	0	0	(1)	0
Federal Receipts	126	156	228	153	230	360	164	190	164	123	47	216	2,157
TOTAL RECEIPTS	127	156	228	153	230	360	164	190	164	123	47	215	2,157
DISBURSEMENTS:													
Public Health	0	0	0	0	0	4	0	35	0	0	0	31	70
Transportation	22	37	27	64	65	115	61	37	39	33	5	(25)	480
All Other Local	0	0	0	0	0	2	0	13	25	13	13	90	156
Total Local Assistance Grants	22	37	27	64	65	121	61	85	64	46	18	96	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	1	0	0	0	54	0	0	0	0	0	(41)	15
Transportation	54	104	124	119	148	142	162	77	67	44	29	(51)	1,019
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	9	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	3	3	3	3	2	3	2	2	2	2	(2)	24
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	1	4	4	0	1	0	1	1	1	0	14	26
Total Capital Projects	55	109	131	126	151	199	165	80	70	47	31	(71)	1,093
TOTAL DISBURSEMENTS	77	146	158	190	216	320	226	165	134	93	49	25	1,799
OTHER FINANCING SOURCES (USES):													
Transfers from Other Funds	(1)	0	1	0	0	(30)	0	0	0	0	(282)	(15)	(327)
Transfers to Other Funds	(1)	0	(3)	(2)	0	0	(3)	0	0	(3)	0	0	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(2)	0	(2)	(2)	0	(30)	(3)	0	0	(3)	(282)	(15)	(339)
Excess/(Deficiency) of Receipts over Disbursements	48	10	68	(39)	14	10	(65)	25	30	27	(284)	175	19
CLOSING BALANCE	(510)	(500)	(432)	(471)	(457)	(447)	(512)	(487)	(457)	(430)	(714)	(539)	(539)

**CASHFLOW
STATE FUNDS
FY 2017
(dollars in millions)**

	2016 April Results	May Results	June Results	July Results	August Results	September Results	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
OPENING BALANCE	12,308	14,997	12,803	12,329	12,995	13,120	13,469	13,457	11,473	12,658	16,031	16,216	12,308
RECEIPTS:													
Personal Income Tax	6,384	2,185	4,724	2,562	2,940	4,704	2,526	2,094	4,755	7,944	3,636	3,635	48,089
Consumption/Use Taxes	1,274	1,183	1,632	1,299	1,241	1,620	1,249	1,253	1,620	1,297	1,067	1,393	16,128
Business Taxes	280	182	1,150	206	164	1,382	582	37	1,449	37	152	2,397	8,018
Other Taxes	282	277	282	273	334	295	293	272	278	339	318	285	3,528
Total Taxes	8,220	3,827	7,788	4,340	4,679	8,001	4,650	3,656	8,102	9,617	5,173	7,710	75,763
Abandoned Property	0	0	0	0	0	38	29	120	25	40	20	253	525
ABC License Fee	6	5	4	4	5	4	4	5	5	5	5	6	59
HCRA	366	433	440	435	394	405	416	371	376	377	372	375	4,760
Investment Income	2	2	2	1	1	1	2	2	2	2	2	2	20
Licenses, Fees, etc.	22	70	54	49	62	33	52	60	45	50	60	62	619
Lottery	267	280	336	278	321	249	243	311	255	256	256	384	3,436
Medicaid	77	72	71	71	76	69	70	69	69	69	69	69	852
Motor Vehicle Fees	50	54	61	34	62	39	(18)	68	51	54	56	52	563
Reimbursements	6	16	36	8	7	49	4	10	50	8	20	49	263
State University Income	283	265	249	237	439	695	367	242	251	453	581	230	4,292
Other Transactions	363	859	1,085	411	582	1,264	463	860	1,035	460	473	1,553	9,428
Total Miscellaneous Receipts	1,442	2,056	2,339	1,528	1,949	2,847	1,651	2,118	2,164	1,771	1,914	3,035	24,817
Federal Receipts	15	1	0	1	20	3	0	0	0	0	2	37	79
TOTAL RECEIPTS	9,677	5,884	10,127	5,869	6,648	10,851	6,301	5,774	10,266	11,391	7,089	10,782	100,659
DISBURSEMENTS:													
School Aid	754	2,900	2,203	48	645	3,773	1,058	1,653	2,079	873	833	7,963	24,772
Higher Education	19	25	648	218	124	166	357	54	199	99	369	757	2,984
All Other Education	52	118	514	154	246	108	114	197	74	99	175	437	2,250
STAR	1,335	1,765	1,870	1,729	1,766	1,623	1,455	1,884	1,401	1,077	964	1,301	18,170
Medicaid - DOH	82	239	188	117	90	95	98	126	94	322	83	88	1,622
Public Health	94	48	446	113	53	455	120	59	475	91	120	514	2,588
Mental Hygiene	27	34	271	194	69	88	65	131	289	131	278	134	1,711
Children and Families	95	110	156	93	110	126	102	102	118	101	102	129	1,325
Temporary & Disability Assistance	198	484	575	319	504	546	386	672	1,094	222	810	363	6,173
Transportation	0	11	389	9	0	98	8	0	181	1	0	63	760
Unrestricted Aid	92	86	336	171	298	165	167	111	311	156	226	401	2,520
All Other	2,749	5,820	8,012	3,165	3,892	7,313	3,925	5,008	6,441	5,668	3,950	12,160	68,103
Total Local Assistance Grants	1,026	1,016	1,314	1,004	1,036	1,211	994	1,314	986	988	983	1,069	12,941
Personal Service	318	429	486	365	515	477	505	479	515	492	518	674	5,773
Non-Personal Service	1,344	1,445	1,800	1,369	1,551	1,688	1,499	1,793	1,501	1,480	1,501	1,743	18,171
Total Departmental Operations	2,619	431	495	430	433	417	525	528	428	472	567	362	7,707
General State Charges	113	163	90	25	282	790	32	75	364	26	655	2,460	5,075
Debt Service	258	377	516	345	410	492	422	547	528	489	634	1,150	6,168
Capital Projects	7,083	8,236	10,913	5,334	6,568	10,700	6,403	7,951	9,262	8,135	7,307	17,875	105,767
TOTAL DISBURSEMENTS	31,996	(2,469)	(2,795)	(2,554)	(1,814)	(2,561)	(2,258)	(1,786)	(2,576)	(2,411)	(1,867)	(5,896)	(31,653)
OTHER FINANCING SOURCES (USES):													
Transfers from other funds	95	158	312	131	45	198	90	193	181	117	403	717	2,640
Transfers to other funds	(3,101)	(2,469)	(2,795)	(2,554)	(1,814)	(2,561)	(2,258)	(1,786)	(2,576)	(2,411)	(1,867)	(5,896)	(31,653)
Bond and note proceeds	0	0	0	0	0	0	0	2	9	2	6	590	609
NET OTHER FINANCING SOURCES/(USES)	2,689	(2,194)	(474)	666	125	349	(12)	(1,984)	1,185	3,373	185	(6,376)	(2,469)
Excess/(Deficiency) of Receipts over Disbursements	14,997	12,803	12,329	12,995	13,120	13,469	13,457	11,473	12,658	16,031	16,216	9,840	9,840

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017
(millions of dollars)

	<u>First Quarter</u>	<u>Change</u>	<u>Mid-Year</u>
Opening Fund Balance	<u>78</u>	<u>0</u>	<u>78</u>
Receipts:			
Taxes	878	4	882
Miscellaneous receipts	<u>4,660</u>	<u>100</u>	<u>4,760</u>
Total Receipts	<u>5,538</u>	<u>104</u>	<u>5,642</u>
Disbursements:			
Medical Assistance Account	3,739	97	3,836
Hospital Indigent Care Fund	952	0	952
HCRA Program Account	388	5	393
Child Health Plus (CHP)	226	0	226
Elderly Pharmaceutical Insurance Coverage (EPIC)	144	0	144
SHIN-NY/APCD	30	0	30
All Other	<u>137</u>	<u>2</u>	<u>139</u>
Total Disbursements	<u>5,616</u>	<u>104</u>	<u>5,720</u>
Change in Fund Balance	<u>(78)</u>	<u>0</u>	<u>(78)</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017 THROUGH FY 2020
(millions of dollars)**

	<u>FY 2017 Mid-Year</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Opening Fund Balance	78	0	0	0
Receipts:				
Taxes	882	851	820	785
Miscellaneous receipts	4,760	4,731	4,787	4,845
Total Receipts	<u>5,642</u>	<u>5,582</u>	<u>5,607</u>	<u>5,630</u>
Disbursements:				
Medical Assistance Account	3,836	3,761	3,754	3,634
Hospital Indigent Care Fund	952	892	892	892
HCRA Program Account	393	408	410	415
Child Health Plus (CHP)	226	238	254	384
Elderly Pharmaceutical Insurance Coverage (EPIC)	144	145	140	140
SHIN-NY/APCD	30	0	0	0
All Other	139	138	157	165
Total Disbursements	<u>5,720</u>	<u>5,582</u>	<u>5,607</u>	<u>5,630</u>
Change in Fund Balance	<u>(78)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing Fund Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2016 and FY 2017
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Mid-Year</u>	<u>Annual Change</u>
Opening Fund Balance	14	78	64
Receipts:			
Taxes	928	882	(46)
Miscellaneous receipts	4,727	4,760	33
	<u>5,655</u>	<u>5,642</u>	<u>(13)</u>
Disbursements:			
Medical Assistance Account	3,523	3,836	313
Hospital Indigent Care Fund	961	952	(9)
HCRA Program Account	429	393	(36)
Child Health Plus (CHP)	381	226	(155)
Elderly Pharmaceutical Insurance Coverage (EPIC)	137	144	7
SHIN-NY/APCD	42	30	(12)
All Other	118	139	21
Total Disbursements	<u>5,591</u>	<u>5,720</u>	<u>129</u>
Change in Fund Balance	<u>64</u>	<u>(78)</u>	<u>(142)</u>
Closing Fund Balance	<u>78</u>	<u>0</u>	<u>(78)</u>

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2016
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	14	41	140	234	166	153	101	106	103	178	82	300	14
Receipts:													
Taxes	83	72	90	86	76	85	81	71	83	72	62	67	928
Miscellaneous receipts	317	378	448	439	382	376	384	404	359	354	413	473	4,727
Total Receipts	400	450	538	525	458	461	465	475	442	426	475	540	5,655
Disbursements:													
Medical Assistance Account	240	245	331	333	238	339	349	361	228	362	195	302	3,523
Hospital Indigent Care Fund	90	66	32	98	73	96	90	58	61	30	32	235	961
HCRA Program Account	1	2	44	129	27	26	1	24	45	91	16	23	429
Child Health Plus (CHP)	22	24	24	23	118	21	6	21	19	13	2	88	381
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	13	12	7	12	12	12	11	12	17	9	17	137
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	42
All Other	17	1	1	3	3	19	2	3	2	9	3	55	118
Total Disbursements	373	351	444	593	471	513	460	478	367	522	257	762	5,591
Change in Fund Balance	27	99	94	(68)	(13)	(52)	5	(3)	75	(96)	218	(222)	64
Closing Fund Balance	41	140	234	166	153	101	106	103	178	82	300	78	78

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
FY 2017
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening Fund Balance	78	175	151	253	136	159	214	225	98	98	97	98	78
Receipts:													
Taxes	70	70	87	75	86	76	73	69	81	70	61	66	882
Miscellaneous receipts	366	433	440	435	394	405	416	371	376	377	372	375	4,760
Total Receipts	436	504	527	510	479	481	489	440	456	446	433	441	5,642
Disbursements:													
Medical Assistance Account	260	355	249	415	338	287	326	443	315	227	317	304	3,836
Hospital Indigent Care Fund	0	143	36	156	64	63	97	78	78	78	78	79	952
HCRA Program Account	42	4	114	25	25	1	25	18	8	112	14	7	393
Child Health Plus (CHP)	17	15	10	16	12	42	14	14	30	13	14	30	226
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	10	13	13	14	14	13	12	16	13	12	7	144
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	0	30
All Other	17	2	3	2	3	18	2	2	9	3	3	73	139
Total Disbursements	339	529	425	626	457	425	478	568	456	446	433	538	5,720
Change in Fund Balance	97	(25)	102	(117)	23	55	11	(128)	0	(0)	0	(98)	(78)
Closing Fund Balance	175	151	253	136	159	214	225	98	98	97	98	0	0

CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 (millions of dollars)

	FY 2016 Results			FY 2017 Mid-Year			FY 2018 Projected			FY 2019 Projected			FY 2020 Projected		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
Opening Fund Balance	(196)	51	(89)	(127)	66	(47)	(125)	66	(259)	(126)	63	(294)	(126)	60	(292)
Receipts:															
Unemployment Taxes	0	2,281	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	545	66	0	635	77	5	642	77	5	635	77	5	635	77	5
Federal Receipts	0	30	0	0	50	0	0	50	0	0	50	0	0	50	0
Total Receipts	545	2,377	0	635	2,577	5	642	2,577	5	635	2,577	5	635	2,577	5
Disbursements:															
Local Assistance Grants	0	0	0	9	0	234	9	0	73	9	0	40	9	0	31
Departmental Operations:															
Personal Service	86	5	0	104	11	0	104	11	0	104	11	0	104	11	0
Non-Personal Service	403	71	0	519	63	0	528	63	0	527	63	0	527	63	0
Unemployment Benefits	0	2,285	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	47	1	0	59	4	0	62	5	0	64	5	0	64	5	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	536	2,362	0	691	2,578	234	703	2,579	73	708	2,579	40	704	2,579	31
Other Financing Sources (Uses):															
Transfers from Other Funds	98	0	42	95	0	21	97	0	37	97	0	41	97	0	31
Transfers to Other Funds	(38)	0	0	(37)	(1)	(4)	(37)	(1)	(4)	(28)	(1)	(4)	(21)	(1)	(4)
	60	0	42	58	1	17	60	(1)	33	69	(1)	37	76	(1)	27
Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements	69	15	42	2	0	(212)	(1)	(3)	(35)	0	(3)	2	7	(3)	1
Closing Fund Balance	(127)	66	(47)	(125)	66	(259)	(126)	63	(294)	(126)	60	(292)	(119)	57	(291)

Workforce Impact Summary
General Fund
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Children and Family Services, Office of	2,528	2,402	2,421
Corrections and Community Supervision, Department of	28,332	28,742	28,177
Education Department, State	265	280	279
Environmental Conservation, Department of	1,047	1,065	983
General Services, Office of	1,015	1,073	1,219
Health, Department of	1,499	1,482	1,566
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	0	0	1
Parks, Recreation and Historic Preservation, Office of	1516	1372	1,312
People with Developmental Disabilities, Office for	0	0	0
State Police, Division of	5,596	5,369	5,339
Taxation and Finance, Department of	4,342	4,154	3,575
Temporary and Disability Assistance, Office of	975	949	963
Subtotal - Major Agencies	50,688	50,484	49,420
Minor Agencies			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	384	377	360
Alcoholic Beverage Control, Division of	0	0	127
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	24	27	30
Budget, Division of the	224	222	245
Civil Service, Department of	152	141	171
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	378	379	399
Economic Development, Department of	141	146	152
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Gaming Commission, New York State	0	53	60
Housing and Community Renewal, Division of	83	78	54
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Inspector General, Office of the	66	89	109
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	341	400	428
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	142	139	149
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
State, Department of	195	199	180
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Welfare Inspector General, Office of	6	6	7
Subtotal - Minor Agencies	3,252	3,386	3,661
Subtotal - Subject to Direct Executive Control	53,940	53,870	53,081
Independently Elected Agencies			
Audit and Control, Department of	1,341	1,297	1,425
Law, Department of	1,048	1,075	1,065
Subtotal - Independently Elected Agencies	2,389	2,372	2,490
Grand Total	56,329	56,242	55,571

Workforce Impact Summary
State Operating Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Children and Family Services, Office of	2,565	2,441	2,465
Corrections and Community Supervision, Department of	28,336	28,746	28,181
Education Department, State	1,243	1,258	1,293
Environmental Conservation, Department of	2,230	2,238	2,164
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,066	1,131	1,232
Health, Department of	3,720	3,697	3,743
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	391	371	508
Mental Health, Office of	14,519	14,350	14,257
Motor Vehicles, Department of	680	669	508
Parks, Recreation and Historic Preservation, Office of	1,608	1,574	1,528
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	5,667	5,435	5,675
Taxation and Finance, Department of	4,395	4,249	4,276
Temporary and Disability Assistance, Office of	975	949	963
Transportation, Department of	89	82	99
Workers' Compensation Board	1,130	1,093	1,165
Subtotal - Major Agencies	92,038	92,183	91,406
Minor Agencies	6,505	6,579	6,847
Subtotal - Subject to Direct Executive Control	98,543	98,762	98,253
University Systems			
City University of New York	261	338	315
State University of New York	43,692	44,249	43,667
Subtotal - University Systems	43,953	44,587	43,982
Independently Elected Agencies			
Audit and Control, Department of	1,513	1,467	1,603
Law, Department of	1,528	1,559	1,583
Subtotal - Independently Elected Agencies	3,041	3,026	3,186
Grand Total	145,537	146,375	145,421

Workforce Impact Summary
State Operating Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Minor Agencies			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	426	421	400
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	156	142	176
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	383	383	404
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	571	600	561
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	445
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	146	143	151
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	495
State, Department of	497	494	522
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Victim Services, Office of	49	44	54
Welfare Inspector General, Office of	6	6	7
Subtotal - Minor Agencies	6,505	6,579	6,847

Workforce Impact Summary
State Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Children and Family Services, Office of	2,570	2,445	2,475
Corrections and Community Supervision, Department of	28,363	28,774	28,211
Education Department, State	1,243	1,258	1,293
Environmental Conservation, Department of	2,583	2,617	2,652
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,066	1,131	1,232
Health, Department of	3,768	3,770	3,839
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	391	371	508
Mental Health, Office of	14,519	14,382	14,289
Motor Vehicles, Department of	2,137	2,146	2,132
Parks, Recreation and Historic Preservation, Office of	1,730	1,734	1,716
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	5,667	5,435	5,675
Taxation and Finance, Department of	4,395	4,249	4,276
Temporary and Disability Assistance, Office of	980	954	968
Transportation, Department of	8,500	8,361	8,182
Workers' Compensation Board	1,130	1,093	1,165
Subtotal - Major Agencies	102,466	102,620	101,962
Minor Agencies	6,505	6,579	6,847
Subtotal - Subject to Direct Executive Control	108,971	109,199	108,809
University Systems			
City University of New York	261	338	315
State University Construction Fund	145	143	152
State University of New York	43,692	44,249	43,667
Subtotal - University Systems	44,098	44,730	44,134
Independently Elected Agencies			
Audit and Control, Department of	1,513	1,467	1,603
Law, Department of	1,532	1,564	1,590
Subtotal - Independently Elected Agencies	3,045	3,031	3,193
Grand Total	156,114	156,960	156,136

Workforce Impact Summary
State Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Minor Agencies			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	426	421	400
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	156	142	176
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	383	383	404
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	571	600	561
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	445
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	146	143	151
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	495
State, Department of	497	494	522
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Victim Services, Office of	49	44	54
Welfare Inspector General, Office of	6	6	7
Subtotal - Minor Agencies	6,505	6,579	6,847

Workforce Impact Summary

All Funds

2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Children and Family Services, Office of	2,986	2,842	2,954
Corrections and Community Supervision, Department of	28,673	29,094	29,147
Education Department, State	2,643	2,700	2,692
Environmental Conservation, Department of	2,869	2,900	2,946
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,588	1,643	1,796
Health, Department of	4,839	4,898	5,169
Information Technology Services, Office of	3,592	3,596	3,585
Labor, Department of	3,111	2,880	2,992
Mental Health, Office of	14,528	14,391	14,318
Motor Vehicles, Department of	2,153	2,163	2,149
Parks, Recreation and Historic Preservation, Office of	1,747	1,751	1,735
People with Developmental Disabilities, Office for	18,528	18,963	18,400
State Police, Division of	5,667	5,435	5,675
Taxation and Finance, Department of	4,395	4,249	4,276
Temporary and Disability Assistance, Office of	1,946	1,868	1,953
Transportation, Department of	8,559	8,419	8,255
Workers' Compensation Board	1,130	1,093	1,165
Subtotal - Major Agencies	110,288	110,236	110,589
Minor Agencies	7,519	7,626	8,057
Subtotal - Subject to Direct Executive Control	117,807	117,862	118,646
University Systems			
City University of New York	13,703	13,681	13,645
State University Construction Fund	145	143	152
State University of New York	43,692	44,250	43,668
Subtotal - University Systems	57,540	58,074	57,465
Independently Elected Agencies			
Audit and Control, Department of	2,526	2,508	2,663
Law, Department of	1,747	1,776	1,839
Subtotal - Independently Elected Agencies	4,273	4,284	4,502
Grand Total	179,620	180,220	180,613

Workforce Impact Summary
All Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Minor Agencies			
Adirondack Park Agency	54	54	54
Aging, Office for the	93	93	95
Agriculture and Markets, Department of	475	467	476
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	305	300	347
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	413	415	436
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	80
Employee Relations, Office of	28	30	37
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	406	449	478
Housing and Community Renewal, Division of	666	693	682
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	148	158	164
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	450
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	457	446	453
Military and Naval Affairs, Division of	338	326	354
Prevention of Domestic Violence, Office for	24	26	28
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	520
State, Department of	512	510	539
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	84	82	98
Victim Services, Office of	65	68	81
Welfare Inspector General, Office of	6	6	7
Subtotal - Minor Agencies	7,519	7,626	8,057

Workforce Impact Summary
Special Revenue Funds - Other
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Children and Family Services, Office of	37	39	44
Corrections and Community Supervision, Department of	4	4	4
Education Department, State	978	978	1,014
Environmental Conservation, Department of	1,183	1,173	1,181
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	51	58	13
Health, Department of	2,221	2,215	2,177
Labor, Department of	391	371	507
Mental Health, Office of	14,519	14,350	14,257
Motor Vehicles, Department of	680	669	508
Parks, Recreation and Historic Preservation, Office of	92	202	216
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	71	66	336
Taxation and Finance, Department of	53	95	701
Transportation, Department of	89	82	99
Workers' Compensation Board	1,130	1,093	1,165
Subtotal - Major Agencies	41,350	41,699	41,986
Minor Agencies			
Agriculture and Markets, Department of	42	44	40
Alcoholic Beverage Control, Division of	112	111	0
Alcoholism and Substance Abuse Services, Office of	748	738	741
Budget, Division of the	15	15	16
Civil Service, Department of	4	1	5
Criminal Justice Services, Division of	5	4	5
Deferred Compensation Board	3	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	328	344
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	488	522	507
Indigent Legal Services, Office of	10	18	19
Interest on Lawyer Account	8	8	8
Justice Center for the Protection of People with Special Needs	13	17	17
Military and Naval Affairs, Division of	4	4	2
Public Service Department	517	486	495
State, Department of	302	295	342
Victim Services, Office of	49	44	54
Subtotal - Minor Agencies	3,253	3,193	3,186
Subtotal - Subject to Direct Executive Control	44,603	44,892	45,172
University Systems			
City University of New York	261	338	315
State University of New York	43,692	44,249	43,667
Subtotal - University Systems	43,953	44,587	43,982
Independently Elected Agencies			
Audit and Control, Department of	172	170	178
Law, Department of	480	484	518
Subtotal - Independently Elected Agencies	652	654	696
Grand Total	89,208	90,133	89,850

Workforce Impact Summary
Special Revenue Funds - Federal
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Children and Family Services, Office of	379	354	391
Corrections and Community Supervision, Department of	33	37	646
Education Department, State	1,264	1,292	1,255
Environmental Conservation, Department of	278	276	287
Health, Department of	1,071	1,128	1,330
Labor, Department of	2,700	2,487	2,468
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	16	17	17
Parks, Recreation and Historic Preservation, Office of	17	17	19
People with Developmental Disabilities, Office for	11	10	18
Temporary and Disability Assistance, Office of	966	914	985
Transportation, Department of	59	58	73
Subtotal - Major Agencies	6,794	6,590	7,500
Minor Agencies			
Aging, Office for the	75	83	83
Agriculture and Markets, Department of	8	6	28
Criminal Justice Services, Division of	30	32	32
Elections, State Board of	0	0	1
Homeland Security and Emergency Services, Division of	129	148	154
Housing and Community Renewal, Division of	95	93	101
Human Rights, Division of	16	19	40
Justice Center for the Protection of People with Special Needs	0	0	5
Medicaid Inspector General, Office of the	228	222	226
Military and Naval Affairs, Division of	192	183	201
Public Service Department	0	0	25
State, Department of	15	16	17
Veterans' Affairs, Division of	6	6	8
Victim Services, Office of	16	24	27
Subtotal - Minor Agencies	810	832	948
Subtotal - Subject to Direct Executive Control	7,604	7,422	8,448
University Systems			
State University of New York	0	1	1
Subtotal - University Systems	0	1	1
Independently Elected Agencies			
Audit and Control, Department of	5	5	5
Law, Department of	215	212	249
Subtotal - Independently Elected Agencies	220	217	254
Grand Total	7,824	7,640	8,703

Workforce Impact Summary
Capital Projects Funds - Other
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Children and Family Services, Office of	5	4	10
Corrections and Community Supervision, Department of	27	28	30
Environmental Conservation, Department of	353	379	488
Health, Department of	48	73	96
Mental Health, Office of	0	32	32
Motor Vehicles, Department of	1,457	1,477	1,624
Parks, Recreation and Historic Preservation, Office of	122	160	188
Temporary and Disability Assistance, Office of	5	5	5
Transportation, Department of	8,411	8,279	8,083
Subtotal - Major Agencies	10,428	10,437	10,556
Subtotal - Subject to Direct Executive Control	10,428	10,437	10,556
University Systems			
State University Construction Fund	145	143	152
Subtotal - University Systems	145	143	152
Independently Elected Agencies			
Law, Department of	4	5	7
Subtotal - Independently Elected Agencies	4	5	7
Grand Total	10,577	10,585	10,715

Workforce Impact Summary
Capital Projects Funds - Federal
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Environmental Conservation, Department of	8	7	7
Subtotal - Major Agencies	8	7	7
Minor Agencies			
Housing and Community Renewal, Division of	0	0	20
Subtotal - Minor Agencies	0	0	20
Subtotal - Subject to Direct Executive Control	8	7	27
Grand Total	8	7	27

Workforce Impact Summary
Enterprise Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Corrections and Community Supervision, Department of	6	7	10
General Services, Office of	10	11	62
Mental Health, Office of	0	0	5
Subtotal - Major Agencies	16	18	77
Minor Agencies			
Agriculture and Markets, Department of	38	38	45
Military and Naval Affairs, Division of	0	0	2
Subtotal - Minor Agencies	38	38	47
Subtotal - Subject to Direct Executive Control	54	56	124
Grand Total	54	56	124

Workforce Impact Summary
Internal Service Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Major Agencies			
Children and Family Services, Office of	37	43	88
Corrections and Community Supervision, Department of	271	276	280
Education Department, State	136	150	144
General Services, Office of	512	501	502
Information Technology Services, Office of	19	0	0
Labor, Department of	20	22	16
Mental Health, Office of	9	9	13
Subtotal - Major Agencies	1,004	1,001	1,043
Minor Agencies			
Civil Service, Department of	149	158	171
Employee Relations, Office of	6	8	11
Prevention of Domestic Violence, Office for	8	9	10
Subtotal - Minor Agencies	163	175	192
Subtotal - Subject to Direct Executive Control	1,167	1,176	1,235
Independently Elected Agencies			
Audit and Control, Department of	79	79	78
Subtotal - Independently Elected Agencies	79	79	78
Grand Total	1,246	1,255	1,313

Workforce Impact Summary
Agency Trust Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
University Systems			
City University of New York	13,442	13,343	13,330
Subtotal - University Systems	13,442	13,343	13,330
Grand Total	13,442	13,343	13,330

Workforce Impact Summary
Pension Trust Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Independently Elected Agencies			
Audit and Control, Department of	929	957	977
Subtotal - Independently Elected Agencies	929	957	977
Grand Total	929	957	977

Workforce Impact Summary
Private Purpose Trust Funds
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
Minor Agencies			
Agriculture and Markets, Department of	3	2	3
Subtotal - Minor Agencies	3	2	3
Subtotal - Subject to Direct Executive Control	3	2	3
Grand Total	3	2	3

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	58,495	59,962	60,334	60,928	57,514	57,514
Local Assistance Grants	25,275	27,279	28,267	29,026	25,612	25,612
State Operations	33,220	32,683	32,067	31,902	31,902	31,902
Personal Service	25,828	25,269	26,698	26,533	26,533	26,533
Non-Personal Service	7,392	7,414	5,369	5,369	5,369	5,369
<i>Alcoholic Beverage Control, Division of</i>	0	0	12,319	12,683	12,683	12,744
State Operations	0	0	12,319	12,683	12,683	12,744
Personal Service	0	0	8,095	8,147	8,147	8,208
Non-Personal Service	0	0	4,224	4,536	4,536	4,536
<i>Economic Development, Department of</i>	54,489	63,748	73,496	73,000	73,000	73,000
Local Assistance Grants	36,404	44,963	54,075	54,379	54,379	54,379
State Operations	18,085	18,785	19,421	18,621	18,621	18,621
Personal Service	11,493	11,974	13,226	13,226	13,226	13,226
Non-Personal Service	6,592	6,811	6,195	5,395	5,395	5,395
<i>Empire State Development Corporation</i>	85,284	58,756	143,835	147,346	147,346	147,346
Local Assistance Grants	85,234	58,756	143,835	147,346	147,346	147,346
State Operations	50	0	0	0	0	0
Non-Personal Service	50	0	0	0	0	0
<i>Olympic Regional Development Authority</i>	3,011	3,011	2,736	2,736	2,736	2,736
State Operations	3,011	3,011	2,736	2,736	2,736	2,736
Personal Service	2,548	2,548	2,548	2,548	2,548	2,548
Non-Personal Service	463	463	188	188	188	188
Functional Total	201,279	185,477	292,720	296,693	293,279	293,340
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,276	4,297	4,397	4,413	4,413	4,413
State Operations	4,276	4,297	4,397	4,413	4,413	4,413
Personal Service	3,893	4,036	4,092	4,108	4,108	4,108
Non-Personal Service	383	261	305	305	305	305
<i>Environmental Conservation, Department of</i>	94,818	98,676	95,649	100,106	106,172	114,172
Local Assistance Grants	2,889	4,262	5,074	4,305	4,390	4,390
State Operations	91,929	94,414	90,575	95,801	101,782	109,782
Personal Service	82,168	85,641	82,185	82,434	82,434	89,634
Non-Personal Service	9,761	8,773	8,390	13,367	19,348	20,148
<i>Parks, Recreation and Historic Preservation, Office of</i>	114,000	115,847	112,264	113,024	114,067	115,181
Local Assistance Grants	3,957	4,891	4,045	2,750	2,750	2,750
State Operations	110,043	110,956	108,219	110,274	111,317	112,431
Personal Service	105,054	105,937	100,872	102,927	103,970	105,084
Non-Personal Service	4,989	5,019	7,347	7,347	7,347	7,347
Functional Total	213,094	218,820	212,310	217,543	224,652	233,766
TRANSPORTATION						
<i>Thruway Authority, New York State</i>	18,341	16,569	0	0	0	0
State Operations	18,341	16,569	0	0	0	0
Non-Personal Service	18,341	16,569	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Transportation, Department of	98,752	112,503	107,025	102,020	102,020	102,020
Local Assistance Grants	97,670	111,351	105,856	100,851	100,851	100,851
State Operations	1,082	1,152	1,169	1,169	1,169	1,169
Non-Personal Service	1,082	1,152	1,169	1,169	1,169	1,169
Functional Total	117,093	129,072	107,025	102,020	102,020	102,020
HEALTH						
Aging, Office for the	123,306	128,410	128,663	127,754	132,850	138,073
Local Assistance Grants	121,870	127,134	127,432	126,523	131,619	136,842
State Operations	1,436	1,276	1,231	1,231	1,231	1,231
Personal Service	1,256	1,110	1,125	1,125	1,125	1,125
Non-Personal Service	180	166	106	106	106	106
Health, Department of	12,744,699	13,271,579	13,781,043	14,900,547	15,992,659	17,113,591
Medical Assistance	11,161,361	11,551,940	11,414,740	12,756,902	13,923,494	15,047,303
Local Assistance Grants	11,161,361	11,551,940	11,414,740	12,756,902	13,923,494	15,047,303
Essential Plan	0	32,575	713,715	471,125	394,642	405,812
Local Assistance Grants	0	19,215	665,890	431,367	354,940	364,878
State Operations	0	13,360	47,825	39,758	39,702	40,934
Personal Service	0	391	1,375	1,416	1,458	1,502
Non-Personal Service	0	12,969	46,450	38,342	38,244	39,432
Medicaid Administration	677,990	836,542	786,614	803,969	793,497	765,645
Local Assistance Grants	515,184	565,205	449,703	434,306	417,908	386,511
State Operations	162,806	271,337	336,911	369,663	375,589	379,134
Personal Service	29,326	31,809	34,623	40,060	45,986	49,531
Non-Personal Service	133,480	239,528	302,288	329,603	329,603	329,603
Public Health	905,348	850,522	865,974	868,551	881,026	894,831
Local Assistance Grants	741,787	713,523	735,333	742,156	754,631	768,336
State Operations	163,561	136,999	130,641	126,395	126,395	126,495
Personal Service	91,153	86,985	85,069	80,723	80,723	80,723
Non-Personal Service	72,408	50,014	45,572	45,672	45,672	45,772
Medicaid Inspector General, Office of the	20,821	20,619	20,132	19,860	19,860	19,860
State Operations	20,821	20,619	20,132	19,860	19,860	19,860
Personal Service	16,617	16,621	16,053	15,781	15,781	15,781
Non-Personal Service	4,204	3,998	4,079	4,079	4,079	4,079
Functional Total	12,888,826	13,420,608	13,929,838	15,048,161	16,145,369	17,271,524
SOCIAL WELFARE						
Children and Family Services, Office of	1,832,633	1,966,086	1,921,875	1,898,802	1,959,869	1,986,773
OCFS	1,746,634	1,877,164	1,824,575	1,801,697	1,858,580	1,881,241
Local Assistance Grants	1,512,053	1,644,520	1,610,120	1,572,928	1,623,869	1,646,530
State Operations	234,581	232,644	214,455	228,769	234,711	234,711
Personal Service	167,253	164,764	160,702	159,086	160,669	160,669
Non-Personal Service	67,328	67,880	53,753	69,683	74,042	74,042
OCFS - Other	85,999	88,922	97,300	97,105	101,289	105,532
Local Assistance Grants	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	16,763	11,952	10,015	33,783	38,783	43,783
Local Assistance Grants	10,280	4,764	5,399	29,233	34,233	39,233
State Operations	6,483	7,188	4,616	4,550	4,550	4,550
Personal Service	3,958	4,919	4,265	4,199	4,199	4,199
Non-Personal Service	2,525	2,269	351	351	351	351

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Human Rights, Division of	10,582	10,263	10,046	9,921	9,921	9,921
State Operations	10,582	10,263	10,046	9,921	9,921	9,921
Personal Service	8,919	9,398	9,586	9,461	9,461	9,461
Non-Personal Service	1,663	865	460	460	460	460
Labor, Department of	7,928	12,355	12,738	288	288	288
Local Assistance Grants	7,655	12,149	12,448	0	0	0
State Operations	273	206	290	288	288	288
Personal Service	87	38	90	88	88	88
Non-Personal Service	186	168	200	200	200	200
National and Community Service	687	458	690	687	690	690
Local Assistance Grants	450	142	350	350	350	350
State Operations	237	316	340	337	340	340
Personal Service	229	311	331	328	331	331
Non-Personal Service	8	5	9	9	9	9
Temporary and Disability Assistance, Office of	1,375,282	1,360,435	1,393,289	1,437,283	1,455,683	1,471,814
Welfare Assistance	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
Local Assistance Grants	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
All Other	237,279	238,076	225,096	226,160	232,560	246,691
Local Assistance Grants	97,755	91,073	93,905	100,996	107,396	115,196
State Operations	139,524	147,003	131,191	125,164	125,164	131,495
Personal Service	67,810	69,932	69,996	68,985	68,985	74,144
Non-Personal Service	71,714	77,071	61,195	56,179	56,179	57,351
Functional Total	3,243,875	3,361,549	3,348,653	3,380,764	3,465,234	3,513,269
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,078	26,276	29,032	37,706	34,853	34,853
OASAS	3,753	4,951	7,707	16,381	13,528	13,528
Local Assistance Grants	3,753	4,951	7,707	16,381	13,528	13,528
OASAS - Other	21,325	21,325	21,325	21,325	21,325	21,325
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	29,472	37,210	37,581	39,956	40,212	40,478
Local Assistance Grants	170	114	202	170	170	170
State Operations	29,302	37,096	37,379	39,786	40,042	40,308
Personal Service	18,713	25,430	28,264	29,773	29,773	29,773
Non-Personal Service	10,589	11,666	9,115	10,013	10,269	10,535
Mental Health, Office of	354,423	285,014	273,111	294,090	305,020	316,223
OMH	7,567	4,778	800	800	800	800
Local Assistance Grants	7,310	4,299	0	0	0	0
State Operations	257	479	800	800	800	800
Non-Personal Service	257	479	800	800	800	800

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
OMH - Other	346,856	280,236	272,311	293,290	304,220	315,423
Local Assistance Grants	346,856	280,236	272,311	293,290	304,220	315,423
People with Developmental Disabilities, Office for	1,026,736	863,457	578,019	983,543	1,149,869	1,267,117
OPWDD	519	84	0	0	0	0
Local Assistance Grants	519	84	0	0	0	0
OPWDD - Other	1,026,217	863,373	578,019	983,543	1,149,869	1,267,117
Local Assistance Grants	1,026,217	863,373	578,019	983,543	1,149,869	1,267,117
Functional Total	1,435,709	1,211,957	917,743	1,355,295	1,529,954	1,658,671
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
State Operations	2,222	2,297	2,680	2,651	2,651	2,651
Personal Service	2,037	2,042	2,333	2,365	2,414	2,414
Non-Personal Service	185	255	347	286	237	237
Correctional Services, Department of	2,645,044	2,695,483	2,634,908	2,657,813	2,660,569	2,667,724
Local Assistance Grants	5,939	4,251	5,497	5,497	5,497	5,497
State Operations	2,639,105	2,691,232	2,629,411	2,652,316	2,655,072	2,662,227
Personal Service	2,102,252	2,166,584	2,080,042	2,089,897	2,092,653	2,099,808
Non-Personal Service	536,853	524,648	549,369	562,419	562,419	562,419
Criminal Justice Services, Division of	161,783	162,498	170,583	173,535	173,535	173,535
Local Assistance Grants	127,011	127,977	136,629	139,814	139,814	139,814
State Operations	34,772	34,521	33,954	33,721	33,721	33,721
Personal Service	26,643	24,246	25,423	25,190	25,190	25,190
Non-Personal Service	8,129	10,275	8,531	8,531	8,531	8,531
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Local Assistance Grants	2,726	0	0	0	0	0
State Operations	(10,737)	(51,789)	0	0	0	0
Personal Service	(9,310)	(1,768)	0	0	0	0
Non-Personal Service	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	38,108	(11,215)	5,413	4,972	4,972	4,972
Local Assistance Grants	31,256	(15,533)	4,413	3,972	3,972	3,972
State Operations	6,852	4,318	1,000	1,000	1,000	1,000
Personal Service	4,789	2,072	1,000	1,000	1,000	1,000
Non-Personal Service	2,063	2,246	0	0	0	0
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
State Operations	5,384	5,567	5,584	5,584	5,643	5,708
Personal Service	4,028	4,208	4,281	4,281	4,312	4,347
Non-Personal Service	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
State Operations	24	20	30	30	30	30
Non-Personal Service	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
State Operations	12	14	38	38	38	38
Non-Personal Service	12	14	38	38	38	38
Military and Naval Affairs, Division of	21,638	23,369	35,421	70,436	71,169	71,917
Local Assistance Grants	724	805	911	820	820	820
State Operations	20,914	22,564	34,510	69,616	70,349	71,097
Personal Service	15,329	16,694	24,685	50,871	51,604	52,352
Non-Personal Service	5,585	5,870	9,825	18,745	18,745	18,745
State Police, Division of	608,608	652,278	659,729	654,504	658,504	658,504

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
State Operations	608,608	652,278	659,729	654,504	658,504	658,504
Personal Service	560,114	607,551	612,957	616,355	615,355	615,355
Non-Personal Service	48,494	44,727	46,772	38,149	43,149	43,149
Statewide Financial System	29,264	30,070	30,309	30,143	30,143	30,143
State Operations	29,264	30,070	30,309	30,143	30,143	30,143
Personal Service	9,282	10,234	11,522	11,350	11,350	11,350
Non-Personal Service	19,982	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	947	1,870	2,788	2,788	2,788	2,788
Local Assistance Grants	947	1,870	2,788	2,788	2,788	2,788
Functional Total	3,505,023	3,510,462	3,547,483	3,602,494	3,610,042	3,618,010
HIGHER EDUCATION						
City University of New York	1,395,047	1,430,239	1,454,075	1,489,930	1,527,896	1,553,320
Local Assistance Grants	1,395,047	1,429,462	1,454,075	1,489,930	1,527,896	1,553,320
State Operations	0	777	0	0	0	0
Non-Personal Service	0	777	0	0	0	0
Higher Education Services Corporation, New York State	1,177,916	1,009,146	1,021,668	1,031,459	1,047,773	1,063,141
Local Assistance Grants	1,177,916	1,009,146	1,021,668	1,031,459	1,047,773	1,063,141
State University of New York	713,787	551,618	508,699	472,928	479,389	479,056
Local Assistance Grants	486,563	500,675	508,699	472,928	479,389	479,056
State Operations	9,459	9,841	0	0	0	0
Personal Service	276	1,591	0	0	0	0
Non-Personal Service	9,183	8,250	0	0	0	0
General State Charges	217,765	41,102	0	0	0	0
Functional Total	3,286,750	2,991,003	2,984,442	2,994,317	3,055,058	3,095,517
EDUCATION						
Arts, Council on the	66,103	41,819	45,415	45,155	45,155	45,155
Local Assistance Grants	62,791	38,332	41,095	40,835	40,835	40,835
State Operations	3,312	3,487	4,320	4,320	4,320	4,320
Personal Service	2,132	2,253	2,498	2,498	2,498	2,498
Non-Personal Service	1,180	1,234	1,822	1,822	1,822	1,822
Education, Department of	20,533,668	22,253,306	23,382,467	24,688,585	26,167,017	27,608,354
School Aid	18,415,026	20,133,021	21,088,145	22,417,611	23,777,927	25,127,658
Local Assistance Grants	18,415,026	20,133,021	21,088,145	22,417,611	23,777,927	25,127,658
Special Education Categorical Programs	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
Local Assistance Grants	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
All Other	667,640	803,704	949,122	895,084	908,710	887,468
Local Assistance Grants	618,671	758,709	878,034	836,501	850,127	828,885
State Operations	48,969	44,995	71,088	58,583	58,583	58,583
Personal Service	27,142	27,609	30,499	29,109	29,109	29,109
Non-Personal Service	21,827	17,386	40,589	29,474	29,474	29,474
Functional Total	20,599,771	22,295,125	23,427,882	24,733,740	26,212,172	27,653,509
GENERAL GOVERNMENT						
Budget, Division of the	20,322	21,079	24,422	23,895	23,895	23,895
State Operations	20,322	21,079	24,422	23,895	23,895	23,895
Personal Service	18,684	18,945	22,216	22,216	22,216	22,216
Non-Personal Service	1,638	2,134	2,206	1,679	1,679	1,679

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<i>Civil Service, Department of</i>	12,276	12,951	13,262	12,451	12,544	12,643
State Operations	12,276	12,951	13,262	12,451	12,544	12,643
Personal Service	11,785	11,407	12,061	12,050	12,138	12,229
Non-Personal Service	491	1,544	1,201	401	406	414
<i>Deferred Compensation Board</i>	37	61	57	57	57	57
State Operations	37	61	57	57	57	57
Personal Service	24	24	32	32	32	32
Non-Personal Service	13	37	25	25	25	25
<i>Elections, State Board of</i>	5,961	7,697	8,519	8,482	8,587	8,697
Local Assistance Grants	253	93	0	0	0	0
State Operations	5,708	7,604	8,519	8,482	8,587	8,697
Personal Service	4,680	5,639	5,946	5,875	6,018	6,063
Non-Personal Service	1,028	1,965	2,573	2,607	2,569	2,634
<i>Employee Relations, Office of</i>	2,210	2,247	2,581	2,581	2,601	2,621
State Operations	2,210	2,247	2,581	2,581	2,601	2,621
Personal Service	2,178	2,200	2,510	2,510	2,529	2,548
Non-Personal Service	32	47	71	71	72	73
<i>Gaming Commission, New York State</i>	0	5,608	6,771	6,771	6,771	6,771
State Operations	0	5,608	6,771	6,771	6,771	6,771
Personal Service	0	3,362	4,282	4,282	4,282	4,282
Non-Personal Service	0	2,246	2,489	2,489	2,489	2,489
<i>General Services, Office of</i>	142,293	146,202	158,771	157,017	157,017	157,017
State Operations	142,293	146,202	158,771	157,017	157,017	157,017
Personal Service	58,419	66,839	73,575	73,221	73,221	73,221
Non-Personal Service	83,874	79,363	85,196	83,796	83,796	83,796
<i>Inspector General, Office of the</i>	7,069	7,061	7,367	7,367	7,427	7,487
State Operations	7,069	7,061	7,367	7,367	7,427	7,487
Personal Service	6,217	6,230	6,552	6,552	6,600	6,648
Non-Personal Service	852	831	815	815	827	839
<i>Labor Management Committees</i>	24,098	24,882	24,200	25,000	25,000	25,000
State Operations	24,098	24,882	24,200	25,000	25,000	25,000
Personal Service	6,402	6,619	5,446	5,446	5,446	5,487
Non-Personal Service	17,696	18,263	18,754	19,554	19,554	19,513
<i>Prevention of Domestic Violence, Office for</i>	1,897	2,039	2,402	2,476	2,476	2,576
Local Assistance Grants	543	575	785	885	885	985
State Operations	1,354	1,464	1,617	1,591	1,591	1,591
Personal Service	1,267	1,351	1,400	1,388	1,388	1,388
Non-Personal Service	87	113	217	203	203	203
<i>Public Employment Relations Board</i>	3,096	3,400	3,529	3,529	3,560	3,589
State Operations	3,096	3,400	3,529	3,529	3,560	3,589
Personal Service	2,894	3,207	3,336	3,336	3,363	3,388
Non-Personal Service	202	193	193	193	197	201
<i>Public Integrity, Commission on</i>	3,628	4,332	5,531	5,531	5,576	5,630
State Operations	3,628	4,332	5,531	5,531	5,576	5,630
Personal Service	2,899	3,480	4,620	4,620	4,646	4,681
Non-Personal Service	729	852	911	911	930	949
<i>State, Department of</i>	19,361	27,242	25,801	19,459	19,159	19,159
Local Assistance Grants	5,671	15,063	12,500	6,440	6,440	6,440
State Operations	13,690	12,179	13,301	13,019	12,719	12,719
Personal Service	12,113	11,756	12,034	12,480	12,480	12,480
Non-Personal Service	1,577	423	1,267	539	239	239

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<i>Tax Appeals, Division of</i>	2,849	3,035	3,068	3,040	3,040	3,040
State Operations	2,849	3,035	3,068	3,040	3,040	3,040
Personal Service	2,688	2,763	2,898	2,870	2,870	2,870
Non-Personal Service	161	272	170	170	170	170
<i>Taxation and Finance, Department of</i>	273,777	264,676	261,082	256,533	255,908	256,533
Local Assistance Grants	906	914	926	926	926	926
State Operations	272,871	263,762	260,156	255,607	254,982	255,607
Personal Service	231,383	247,132	230,103	226,554	226,253	226,554
Non-Personal Service	41,488	16,630	30,053	29,053	28,729	29,053
<i>Technology, Office for</i>	426,415	505,949	537,499	565,206	576,936	576,936
State Operations	426,415	505,949	537,499	565,206	576,936	576,936
Personal Service	277,996	283,573	289,756	284,801	284,801	284,801
Non-Personal Service	148,419	222,376	247,743	280,405	292,135	292,135
<i>Veterans' Affairs, Division of</i>	12,830	12,922	15,519	13,808	13,873	13,873
Local Assistance Grants	7,486	7,855	9,290	7,637	7,637	7,637
State Operations	5,344	5,067	6,229	6,171	6,236	6,236
Personal Service	4,937	4,731	5,937	5,879	5,938	5,938
Non-Personal Service	407	336	292	292	298	298
<i>Welfare Inspector General, Office of</i>	573	569	672	672	686	701
State Operations	573	569	672	672	686	701
Personal Service	472	511	617	617	621	626
Non-Personal Service	101	58	55	55	65	75
Functional Total	958,692	1,051,952	1,101,053	1,113,875	1,125,113	1,126,225
ELECTED OFFICIALS						
<i>Audit and Control, Department of</i>	157,820	158,450	164,082	162,404	162,404	162,404
Local Assistance Grants	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	125,795	126,425	132,058	130,380	130,380	130,380
Personal Service	98,621	101,198	104,000	102,672	102,672	102,672
Non-Personal Service	27,174	25,227	28,058	27,708	27,708	27,708
<i>Executive Chamber</i>	13,966	13,704	13,578	13,578	13,578	13,578
State Operations	13,966	13,704	13,578	13,578	13,578	13,578
Personal Service	10,621	10,669	11,469	11,813	11,813	11,813
Non-Personal Service	3,345	3,035	2,109	1,765	1,765	1,765
<i>Judiciary</i>	2,444,569	2,504,174	2,598,300	2,674,003	2,709,003	2,709,003
Local Assistance Grants	2,437	2,451	2,400	17,400	17,400	17,400
State Operations	1,805,478	1,838,149	1,914,100	1,914,100	1,939,500	1,942,000
Personal Service	1,422,377	1,451,032	1,497,900	1,497,900	1,523,300	1,525,800
Non-Personal Service	383,101	387,117	416,200	416,200	416,200	416,200
General State Charges	636,654	663,574	681,800	742,503	752,103	749,603
<i>Law, Department of</i>	101,795	102,098	103,113	102,838	102,838	103,761
State Operations	101,795	102,098	103,113	102,838	102,838	103,761
Personal Service	86,997	89,952	89,680	89,405	89,405	90,070
Non-Personal Service	14,798	12,146	13,433	13,433	13,433	13,691
<i>Legislature</i>	205,758	214,111	217,845	217,845	217,845	217,845
State Operations	205,758	214,111	217,845	217,845	217,845	217,845
Personal Service	160,777	167,444	166,331	166,331	166,331	166,331
Non-Personal Service	44,981	46,667	51,514	51,514	51,514	51,514

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Lieutenant Governor, Office of the	366	499	614	614	614	614
State Operations	366	499	614	614	614	614
Personal Service	299	336	513	523	523	523
Non-Personal Service	67	163	101	91	91	91
Functional Total	2,924,274	2,993,036	3,097,532	3,171,282	3,206,282	3,207,205
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,438	728,288	714,756	732,710	763,347	763,347
Local Assistance Grants	726,338	728,288	714,756	732,710	763,347	763,347
State Operations	100	0	0	0	0	0
Non-Personal Service	100	0	0	0	0	0
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Local Assistance Grants	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Local Assistance Grants	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Local Assistance Grants	217	217	218	218	218	218
Functional Total	765,376	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
General State Charges	4,131,687	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
General State Charges	4,131,687	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Miscellaneous	(16,662)	(154,891)	597,926	1,263,959	1,049,959	1,397,959
Local Assistance Grants	(48,439)	(187,744)	472,937	686,571	686,571	921,571
State Operations	19,190	21,897	120,569	572,968	358,968	471,968
Personal Service	53	63	57,255	147,256	147,256	187,256
Non-Personal Service	19,137	21,834	63,314	425,712	211,712	284,712
General State Charges	12,587	10,956	4,420	4,420	4,420	4,420
Functional Total	4,115,025	4,526,708	5,477,875	6,527,485	6,677,856	7,492,487
TOTAL GENERAL FUND SPENDING	54,254,787	56,666,740	59,204,507	63,305,928	66,439,927	70,058,439

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	58,495	59,962	60,334	60,928	57,514	57,514
Alcoholic Beverage Control, Division of	0	0	12,319	12,683	12,683	12,744
Economic Development, Department of	54,489	63,748	73,496	73,000	73,000	73,000
Empire State Development Corporation	85,284	58,756	143,835	147,346	147,346	147,346
Olympic Regional Development Authority	3,011	3,011	2,736	2,736	2,736	2,736
Functional Total	201,279	185,477	292,720	296,693	293,279	293,340
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,276	4,297	4,397	4,413	4,413	4,413
Environmental Conservation, Department of	94,818	98,676	95,649	100,106	106,172	114,172
Parks, Recreation and Historic Preservation, Office of	114,000	115,847	112,264	113,024	114,067	115,181
Functional Total	213,094	218,820	212,310	217,543	224,652	233,766
TRANSPORTATION						
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	98,752	112,503	107,025	102,020	102,020	102,020
Functional Total	117,093	129,072	107,025	102,020	102,020	102,020
HEALTH						
Aging, Office for the	123,306	128,410	128,663	127,754	132,850	138,073
Health, Department of	12,744,699	13,271,579	13,781,043	14,900,547	15,992,659	17,113,591
<i>Medical Assistance</i>	11,161,361	11,551,940	11,414,740	12,756,902	13,923,494	15,047,303
<i>Essential Plan</i>	0	32,575	713,715	471,125	394,642	405,812
<i>Medicaid Administration</i>	677,990	836,542	786,614	803,969	793,497	765,645
<i>Public Health</i>	905,348	850,522	865,974	868,551	881,026	894,831
Medicaid Inspector General, Office of the	20,821	20,619	20,132	19,860	19,860	19,860
Functional Total	12,888,826	13,420,608	13,929,838	15,048,161	16,145,369	17,271,524
SOCIAL WELFARE						
Children and Family Services, Office of	1,832,633	1,966,086	1,921,875	1,898,802	1,959,869	1,986,773
<i>OCFS</i>	1,746,634	1,877,164	1,824,575	1,801,697	1,858,580	1,881,241
<i>OCFS - Other</i>	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	16,763	11,952	10,015	33,783	38,783	43,783
Human Rights, Division of	10,582	10,263	10,046	9,921	9,921	9,921
Labor, Department of	7,928	12,355	12,738	288	288	288
National and Community Service	687	458	690	687	690	690
Temporary and Disability Assistance, Office of	1,375,282	1,360,435	1,393,289	1,437,283	1,455,683	1,471,814
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	237,279	238,076	225,096	226,160	232,560	246,691
Functional Total	3,243,875	3,361,549	3,348,653	3,380,764	3,465,234	3,513,269
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,078	26,276	29,032	37,706	34,853	34,853
<i>OASAS</i>	3,753	4,951	7,707	16,381	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	29,472	37,210	37,581	39,956	40,212	40,478
Mental Health, Office of	354,423	285,014	273,111	294,090	305,020	316,223
<i>OMH</i>	7,567	4,778	800	800	800	800
<i>OMH - Other</i>	346,856	280,236	272,311	293,290	304,220	315,423
People with Developmental Disabilities, Office for	1,026,736	863,457	578,019	983,543	1,149,869	1,267,117
<i>OPWDD</i>	519	84	0	0	0	0
<i>OPWDD - Other</i>	1,026,217	863,373	578,019	983,543	1,149,869	1,267,117
Functional Total	1,435,709	1,211,957	917,743	1,355,295	1,529,954	1,658,671
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
Correctional Services, Department of	2,645,044	2,695,483	2,634,908	2,657,813	2,660,569	2,667,724

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Criminal Justice Services, Division of	161,783	162,498	170,583	173,535	173,535	173,535
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	38,108	(11,215)	5,413	4,972	4,972	4,972
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	21,638	23,369	35,421	70,436	71,169	71,917
State Police, Division of	608,608	652,278	659,729	654,504	658,504	658,504
Statewide Financial System	29,264	30,070	30,309	30,143	30,143	30,143
Victim Services, Office of	947	1,870	2,788	2,788	2,788	2,788
Functional Total	3,505,023	3,510,462	3,547,483	3,602,494	3,610,042	3,618,010
HIGHER EDUCATION						
City University of New York	1,395,047	1,430,239	1,454,075	1,489,930	1,527,896	1,553,320
Higher Education Services Corporation, New York State	1,177,916	1,009,146	1,021,668	1,031,459	1,047,773	1,063,141
State University of New York	713,787	551,618	508,699	472,928	479,389	479,056
Functional Total	3,286,750	2,991,003	2,984,442	2,994,317	3,055,058	3,095,517
EDUCATION						
Arts, Council on the	66,103	41,819	45,415	45,155	45,155	45,155
Education, Department of	20,533,668	22,253,306	23,382,467	24,688,585	26,167,017	27,608,354
<i>School Aid</i>	18,415,026	20,133,021	21,088,145	22,417,611	23,777,927	25,127,658
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
<i>All Other</i>	667,640	803,704	949,122	895,084	908,710	887,468
Functional Total	20,599,771	22,295,125	23,427,882	24,733,740	26,212,172	27,653,509
GENERAL GOVERNMENT						
Budget, Division of the	20,322	21,079	24,422	23,895	23,895	23,895
Civil Service, Department of	12,276	12,951	13,262	12,451	12,544	12,643
Deferred Compensation Board	37	61	57	57	57	57
Elections, State Board of	5,961	7,697	8,519	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	0	5,608	6,771	6,771	6,771	6,771
General Services, Office of	142,293	146,202	158,771	157,017	157,017	157,017
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	24,200	25,000	25,000	25,000
Prevention of Domestic Violence, Office for	1,897	2,039	2,402	2,476	2,476	2,576
Public Employment Relations Board	3,096	3,400	3,529	3,529	3,560	3,589
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	19,361	27,242	25,801	19,459	19,159	19,159
Tax Appeals, Division of	2,849	3,035	3,068	3,040	3,040	3,040
Taxation and Finance, Department of	273,777	264,676	261,082	256,533	255,908	256,533
Technology, Office for	426,415	505,949	537,499	565,206	576,936	576,936
Veterans' Affairs, Division of	12,830	12,922	15,519	13,808	13,873	13,873
Welfare Inspector General, Office of	573	569	672	672	686	701
Functional Total	958,692	1,051,952	1,101,053	1,113,875	1,125,113	1,126,225
ELECTED OFFICIALS						
Audit and Control, Department of	157,820	158,450	164,082	162,404	162,404	162,404
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	2,444,569	2,504,174	2,598,300	2,674,003	2,709,003	2,709,003
Law, Department of	101,795	102,098	103,113	102,838	102,838	103,761
Legislature	205,758	214,111	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	366	499	614	614	614	614
Functional Total	2,924,274	2,993,036	3,097,532	3,171,282	3,206,282	3,207,205
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,438	728,288	714,756	732,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Functional Total	765,376	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
General State Charges	4,131,686	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Miscellaneous	(16,662)	(154,891)	597,926	1,263,959	1,049,959	1,397,959
Functional Total	4,115,024	4,526,708	5,477,875	6,527,485	6,677,856	7,492,487
TOTAL GENERAL FUND SPENDING	54,254,786	56,666,740	59,204,507	63,305,928	66,439,927	70,058,439

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	25,275	27,279	28,267	29,026	25,612	25,612
Economic Development, Department of	36,404	44,963	54,075	54,379	54,379	54,379
Empire State Development Corporation	85,234	58,756	143,835	147,346	147,346	147,346
Functional Total	146,913	130,998	226,177	230,751	227,337	227,337
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,889	4,262	5,074	4,305	4,390	4,390
Parks, Recreation and Historic Preservation, Office of	3,957	4,891	4,045	2,750	2,750	2,750
Functional Total	6,846	9,153	9,119	7,055	7,140	7,140
TRANSPORTATION						
Transportation, Department of	97,670	111,351	105,856	100,851	100,851	100,851
Functional Total	97,670	111,351	105,856	100,851	100,851	100,851
HEALTH						
Aging, Office for the	121,870	127,134	127,432	126,523	131,619	136,842
Health, Department of	12,418,332	12,849,883	13,265,666	14,364,731	15,450,973	16,567,028
<i>Medical Assistance</i>	11,161,361	11,551,940	11,414,740	12,756,902	13,923,494	15,047,303
<i>Essential Plan</i>	0	19,215	665,890	431,367	354,940	364,878
<i>Medicaid Administration</i>	515,184	565,205	449,703	434,306	417,908	386,511
<i>Public Health</i>	741,787	713,523	735,333	742,156	754,631	768,336
Functional Total	12,540,202	12,977,017	13,393,098	14,491,254	15,582,592	16,703,870
SOCIAL WELFARE						
Children and Family Services, Office of	1,598,052	1,733,442	1,707,420	1,670,033	1,725,158	1,752,062
<i>OCFS</i>	1,512,053	1,644,520	1,610,120	1,572,928	1,623,869	1,646,530
<i>OCFS - Other</i>	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	10,280	4,764	5,399	29,233	34,233	39,233
Labor, Department of	7,655	12,149	12,448	0	0	0
National and Community Service	450	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,235,758	1,213,432	1,262,098	1,312,119	1,330,519	1,340,319
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	97,755	91,073	93,905	100,996	107,396	115,196
Functional Total	2,852,195	2,963,929	2,987,715	3,011,735	3,090,260	3,131,964
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	25,078	26,276	29,032	37,706	34,853	34,853
<i>OASAS</i>	3,753	4,951	7,707	16,381	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	170	114	202	170	170	170
Mental Health, Office of	354,166	284,535	272,311	293,290	304,220	315,423
<i>OMH</i>	7,310	4,299	0	0	0	0
<i>OMH - Other</i>	346,856	280,236	272,311	293,290	304,220	315,423
People with Developmental Disabilities, Office for	1,026,736	863,457	578,019	983,543	1,149,869	1,267,117
<i>OPWDD</i>	519	84	0	0	0	0
<i>OPWDD - Other</i>	1,026,217	863,373	578,019	983,543	1,149,869	1,267,117
Functional Total	1,406,150	1,174,382	879,564	1,314,709	1,489,112	1,617,563
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,939	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	127,011	127,977	136,629	139,814	139,814	139,814
Disaster Assistance	2,726	0	0	0	0	0
Homeland Security and Emergency Services, Division of	31,256	(15,533)	4,413	3,972	3,972	3,972
Military and Naval Affairs, Division of	724	805	911	820	820	820
Victim Services, Office of	947	1,870	2,788	2,788	2,788	2,788
Functional Total	168,603	119,370	150,238	152,891	152,891	152,891

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
HIGHER EDUCATION						
City University of New York	1,395,047	1,429,462	1,454,075	1,489,930	1,527,896	1,553,320
Higher Education Services Corporation, New York State	1,177,916	1,009,146	1,021,668	1,031,459	1,047,773	1,063,141
State University of New York	486,563	500,675	508,699	472,928	479,389	479,056
Functional Total	3,059,526	2,939,283	2,984,442	2,994,317	3,055,058	3,095,517
EDUCATION						
Arts, Council on the	62,791	38,332	41,095	40,835	40,835	40,835
Education, Department of	20,484,699	22,208,311	23,311,379	24,630,002	26,108,434	27,549,771
<i>School Aid</i>	18,415,026	20,133,021	21,088,145	22,417,611	23,777,927	25,127,658
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
<i>All Other</i>	618,671	758,709	878,034	836,501	850,127	828,885
Functional Total	20,547,490	22,246,643	23,352,474	24,670,837	26,149,269	27,590,606
GENERAL GOVERNMENT						
Elections, State Board of	253	93	0	0	0	0
Prevention of Domestic Violence, Office for	543	575	785	885	885	985
State, Department of	5,671	15,063	12,500	6,440	6,440	6,440
Taxation and Finance, Department of	906	914	926	926	926	926
Veterans' Affairs, Division of	7,486	7,855	9,290	7,637	7,637	7,637
Functional Total	14,859	24,500	23,501	15,888	15,888	15,988
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	2,437	2,451	2,400	17,400	17,400	17,400
Functional Total	34,462	34,476	34,424	49,424	49,424	49,424
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,338	728,288	714,756	732,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Functional Total	765,276	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
Miscellaneous	(48,439)	(187,744)	472,937	686,571	686,571	921,571
Functional Total	(48,439)	(187,744)	472,937	686,571	686,571	921,571
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	41,591,753	43,314,329	45,379,496	48,488,542	51,399,289	54,407,618

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,220	32,683	32,067	31,902	31,902	31,902
Alcoholic Beverage Control, Division of	0	0	12,319	12,683	12,683	12,744
Economic Development, Department of	18,085	18,785	19,421	18,621	18,621	18,621
Empire State Development Corporation	50	0	0	0	0	0
Olympic Regional Development Authority	3,011	3,011	2,736	2,736	2,736	2,736
Functional Total	54,366	54,479	66,543	65,942	65,942	66,003
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,276	4,297	4,397	4,413	4,413	4,413
Environmental Conservation, Department of	91,929	94,414	90,575	95,801	101,782	109,782
Parks, Recreation and Historic Preservation, Office of	110,043	110,956	108,219	110,274	111,317	112,431
Functional Total	206,248	209,667	203,191	210,488	217,512	226,626
TRANSPORTATION						
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	1,082	1,152	1,169	1,169	1,169	1,169
Functional Total	19,423	17,721	1,169	1,169	1,169	1,169
HEALTH						
Aging, Office for the	1,436	1,276	1,231	1,231	1,231	1,231
Health, Department of	326,367	421,696	515,377	535,816	541,686	546,563
<i>Essential Plan</i>	0	13,360	47,825	39,758	39,702	40,934
<i>Medicaid Administration</i>	162,806	271,337	336,911	369,663	375,589	379,134
<i>Public Health</i>	163,561	136,999	130,641	126,395	126,395	126,495
Medicaid Inspector General, Office of the	20,821	20,619	20,132	19,860	19,860	19,860
Functional Total	348,624	443,591	536,740	556,907	562,777	567,654
SOCIAL WELFARE						
Children and Family Services, Office of	234,581	232,644	214,455	228,769	234,711	234,711
<i>OCFS</i>	234,581	232,644	214,455	228,769	234,711	234,711
Housing and Community Renewal, Division of	6,483	7,188	4,616	4,550	4,550	4,550
Human Rights, Division of	10,582	10,263	10,046	9,921	9,921	9,921
Labor, Department of	273	206	290	288	288	288
National and Community Service	237	316	340	337	340	340
Temporary and Disability Assistance, Office of	139,524	147,003	131,191	125,164	125,164	131,495
<i>All Other</i>	139,524	147,003	131,191	125,164	125,164	131,495
Functional Total	391,680	397,620	360,938	369,029	374,974	381,305
MENTAL HYGIENE						
Justice Center	29,302	37,096	37,379	39,786	40,042	40,308
Mental Health, Office of	257	479	800	800	800	800
<i>OMH</i>	257	479	800	800	800	800
Functional Total	29,559	37,575	38,179	40,586	40,842	41,108
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
Correctional Services, Department of	2,639,105	2,691,232	2,629,411	2,652,316	2,655,072	2,662,227
Criminal Justice Services, Division of	34,772	34,521	33,954	33,721	33,721	33,721
Disaster Assistance	(10,737)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	6,852	4,318	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	20,914	22,564	34,510	69,616	70,349	71,097
State Police, Division of	608,608	652,278	659,729	654,504	658,504	658,504
Statewide Financial System	29,264	30,070	30,309	30,143	30,143	30,143
Functional Total	3,336,420	3,391,092	3,397,245	3,449,603	3,457,151	3,465,119
HIGHER EDUCATION						

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
City University of New York	0	777	0	0	0	0
State University of New York	9,459	9,841	0	0	0	0
Functional Total	9,459	10,618	0	0	0	0
EDUCATION						
Arts, Council on the	3,312	3,487	4,320	4,320	4,320	4,320
Education, Department of	48,969	44,995	71,088	58,583	58,583	58,583
<i>All Other</i>	48,969	44,995	71,088	58,583	58,583	58,583
Functional Total	52,281	48,482	75,408	62,903	62,903	62,903
GENERAL GOVERNMENT						
Budget, Division of the	20,322	21,079	24,422	23,895	23,895	23,895
Civil Service, Department of	12,276	12,951	13,262	12,451	12,544	12,643
Deferred Compensation Board	37	61	57	57	57	57
Elections, State Board of	5,708	7,604	8,519	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	0	5,608	6,771	6,771	6,771	6,771
General Services, Office of	142,293	146,202	158,771	157,017	157,017	157,017
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	24,200	25,000	25,000	25,000
Prevention of Domestic Violence, Office for	1,354	1,464	1,617	1,591	1,591	1,591
Public Employment Relations Board	3,096	3,400	3,529	3,529	3,560	3,589
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	13,690	12,179	13,301	13,019	12,719	12,719
Tax Appeals, Division of	2,849	3,035	3,068	3,040	3,040	3,040
Taxation and Finance, Department of	272,871	263,762	260,156	255,607	254,982	255,607
Technology, Office for	426,415	505,949	537,499	565,206	576,936	576,936
Veterans' Affairs, Division of	5,344	5,067	6,229	6,171	6,236	6,236
Welfare Inspector General, Office of	573	569	672	672	686	701
Functional Total	943,833	1,027,452	1,077,552	1,097,987	1,109,225	1,110,237
ELECTED OFFICIALS						
Audit and Control, Department of	125,795	126,425	132,058	130,380	130,380	130,380
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	1,805,478	1,838,149	1,914,100	1,914,100	1,939,500	1,942,000
Law, Department of	101,795	102,098	103,113	102,838	102,838	103,761
Legislature	205,758	214,111	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	366	499	614	614	614	614
Functional Total	2,253,158	2,294,986	2,381,308	2,379,355	2,404,755	2,408,178
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	100	0	0	0	0	0
Functional Total	100	0	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	19,190	21,897	120,569	572,968	358,968	471,968
Functional Total	19,190	21,897	120,569	572,968	358,968	471,968
TOTAL STATE OPERATIONS SPENDING	7,664,341	7,955,180	8,258,842	8,806,937	8,656,218	8,802,270

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	25,828	25,269	26,698	26,533	26,533	26,533
Alcoholic Beverage Control, Division of	0	0	8,095	8,147	8,147	8,208
Economic Development, Department of	11,493	11,974	13,226	13,226	13,226	13,226
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548	2,548
Functional Total	39,869	39,791	50,567	50,454	50,454	50,515
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,893	4,036	4,092	4,108	4,108	4,108
Environmental Conservation, Department of	82,168	85,641	82,185	82,434	82,434	89,634
Parks, Recreation and Historic Preservation, Office of	105,054	105,937	100,872	102,927	103,970	105,084
Functional Total	191,115	195,614	187,149	189,469	190,512	198,826
HEALTH						
Aging, Office for the	1,256	1,110	1,125	1,125	1,125	1,125
Health, Department of	120,479	119,185	121,067	122,199	128,167	131,756
<i>Essential Plan</i>	0	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	29,326	31,809	34,623	40,060	45,986	49,531
<i>Public Health</i>	91,153	86,985	85,069	80,723	80,723	80,723
Medicaid Inspector General, Office of the	16,617	16,621	16,053	15,781	15,781	15,781
Functional Total	138,352	136,916	138,245	139,105	145,073	148,662
SOCIAL WELFARE						
Children and Family Services, Office of	167,253	164,764	160,702	159,086	160,669	160,669
<i>OCFS</i>	167,253	164,764	160,702	159,086	160,669	160,669
Housing and Community Renewal, Division of	3,958	4,919	4,265	4,199	4,199	4,199
Human Rights, Division of	8,919	9,398	9,586	9,461	9,461	9,461
Labor, Department of	87	38	90	88	88	88
National and Community Service	229	311	331	328	331	331
Temporary and Disability Assistance, Office of	67,810	69,932	69,996	68,985	68,985	74,144
<i>All Other</i>	67,810	69,932	69,996	68,985	68,985	74,144
Functional Total	248,256	249,362	244,970	242,147	243,733	248,892
MENTAL HYGIENE						
Justice Center	18,713	25,430	28,264	29,773	29,773	29,773
Functional Total	18,713	25,430	28,264	29,773	29,773	29,773
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,037	2,042	2,333	2,365	2,414	2,414
Correctional Services, Department of	2,102,252	2,166,584	2,080,042	2,089,897	2,092,653	2,099,808
Criminal Justice Services, Division of	26,643	24,246	25,423	25,190	25,190	25,190
Disaster Assistance	(9,310)	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	4,789	2,072	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	4,028	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	15,329	16,694	24,685	50,871	51,604	52,352
State Police, Division of	560,114	607,551	612,957	616,355	615,355	615,355
Statewide Financial System	9,282	10,234	11,522	11,350	11,350	11,350
Functional Total	2,715,164	2,831,863	2,762,243	2,801,309	2,803,878	2,811,816
HIGHER EDUCATION						
State University of New York	276	1,591	0	0	0	0
Functional Total	276	1,591	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
EDUCATION						
Arts, Council on the	2,132	2,253	2,498	2,498	2,498	2,498
Education, Department of	27,142	27,609	30,499	29,109	29,109	29,109
<i>All Other</i>	27,142	27,609	30,499	29,109	29,109	29,109
Functional Total	29,274	29,862	32,997	31,607	31,607	31,607
GENERAL GOVERNMENT						
Budget, Division of the	18,684	18,945	22,216	22,216	22,216	22,216
Civil Service, Department of	11,785	11,407	12,061	12,050	12,138	12,229
Deferred Compensation Board	24	24	32	32	32	32
Elections, State Board of	4,680	5,639	5,946	5,875	6,018	6,063
Employee Relations, Office of	2,178	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	0	3,362	4,282	4,282	4,282	4,282
General Services, Office of	58,419	66,839	73,575	73,221	73,221	73,221
Inspector General, Office of the	6,217	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,402	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,267	1,351	1,400	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	2,899	3,480	4,620	4,620	4,646	4,681
State, Department of	12,113	11,756	12,034	12,480	12,480	12,480
Tax Appeals, Division of	2,688	2,763	2,898	2,870	2,870	2,870
Taxation and Finance, Department of	231,383	247,132	230,103	226,554	226,253	226,554
Technology, Office for	277,996	283,573	289,756	284,801	284,801	284,801
Veterans' Affairs, Division of	4,937	4,731	5,937	5,879	5,938	5,938
Welfare Inspector General, Office of	472	511	617	617	621	626
Functional Total	645,038	679,769	683,321	674,729	674,842	675,452
ELECTED OFFICIALS						
Audit and Control, Department of	98,621	101,198	104,000	102,672	102,672	102,672
Executive Chamber	10,621	10,669	11,469	11,813	11,813	11,813
Judiciary	1,422,377	1,451,032	1,497,900	1,497,900	1,523,300	1,525,800
Law, Department of	86,997	89,952	89,680	89,405	89,405	90,070
Legislature	160,777	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	336	513	523	523	523
Functional Total	1,779,692	1,820,631	1,869,893	1,868,644	1,894,044	1,897,209
ALL OTHER CATEGORIES						
Miscellaneous	53	63	57,255	147,256	147,256	187,256
Functional Total	53	63	57,255	147,256	147,256	187,256
TOTAL PERSONAL SERVICE SPENDING	5,805,802	6,010,892	6,054,904	6,174,493	6,211,172	6,280,008

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	7,392	7,414	5,369	5,369	5,369	5,369
Alcoholic Beverage Control, Division of	0	0	4,224	4,536	4,536	4,536
Economic Development, Department of	6,592	6,811	6,195	5,395	5,395	5,395
Empire State Development Corporation	50	0	0	0	0	0
Olympic Regional Development Authority	463	463	188	188	188	188
Functional Total	14,497	14,688	15,976	15,488	15,488	15,488
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	383	261	305	305	305	305
Environmental Conservation, Department of	9,761	8,773	8,390	13,367	19,348	20,148
Parks, Recreation and Historic Preservation, Office of	4,989	5,019	7,347	7,347	7,347	7,347
Functional Total	15,133	14,053	16,042	21,019	27,000	27,800
TRANSPORTATION						
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	1,082	1,152	1,169	1,169	1,169	1,169
Functional Total	19,423	17,721	1,169	1,169	1,169	1,169
HEALTH						
Aging, Office for the	180	166	106	106	106	106
Health, Department of	205,888	302,511	394,310	413,617	413,519	414,807
<i>Essential Plan</i>	0	12,969	46,450	38,342	38,244	39,432
<i>Medicaid Administration</i>	133,480	239,528	302,288	329,603	329,603	329,603
<i>Public Health</i>	72,408	50,014	45,572	45,672	45,672	45,772
Medicaid Inspector General, Office of the	4,204	3,998	4,079	4,079	4,079	4,079
Functional Total	210,272	306,675	398,495	417,802	417,704	418,992
SOCIAL WELFARE						
Children and Family Services, Office of	67,328	67,880	53,753	69,683	74,042	74,042
<i>OCFS</i>	67,328	67,880	53,753	69,683	74,042	74,042
Housing and Community Renewal, Division of	2,525	2,269	351	351	351	351
Human Rights, Division of	1,663	865	460	460	460	460
Labor, Department of	186	168	200	200	200	200
National and Community Service	8	5	9	9	9	9
Temporary and Disability Assistance, Office of	71,714	77,071	61,195	56,179	56,179	57,351
<i>All Other</i>	71,714	77,071	61,195	56,179	56,179	57,351
Functional Total	143,424	148,258	115,968	126,882	131,241	132,413
MENTAL HYGIENE						
Justice Center	10,589	11,666	9,115	10,013	10,269	10,535
Mental Health, Office of	257	479	800	800	800	800
<i>OMH</i>	257	479	800	800	800	800
Functional Total	10,846	12,145	9,915	10,813	11,069	11,335
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	185	255	347	286	237	237
Correctional Services, Department of	536,853	524,648	549,369	562,419	562,419	562,419
Criminal Justice Services, Division of	8,129	10,275	8,531	8,531	8,531	8,531
Disaster Assistance	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	2,063	2,246	0	0	0	0
Judicial Conduct, Commission on	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	5,585	5,870	9,825	18,745	18,745	18,745
State Police, Division of	48,494	44,727	46,772	38,149	43,149	43,149
Statewide Financial System	19,982	19,836	18,787	18,793	18,793	18,793
Functional Total	621,256	559,229	635,002	648,294	653,273	653,303

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
HIGHER EDUCATION						
City University of New York	0	777	0	0	0	0
State University of New York	9,183	8,250	0	0	0	0
Functional Total	9,183	9,027	0	0	0	0
EDUCATION						
Arts, Council on the	1,180	1,234	1,822	1,822	1,822	1,822
Education, Department of	21,827	17,386	40,589	29,474	29,474	29,474
<i>All Other</i>	21,827	17,386	40,589	29,474	29,474	29,474
Functional Total	23,007	18,620	42,411	31,296	31,296	31,296
GENERAL GOVERNMENT						
Budget, Division of the	1,638	2,134	2,206	1,679	1,679	1,679
Civil Service, Department of	491	1,544	1,201	401	406	414
Deferred Compensation Board	13	37	25	25	25	25
Elections, State Board of	1,028	1,965	2,573	2,607	2,569	2,634
Employee Relations, Office of	32	47	71	71	72	73
Gaming Commission, New York State	0	2,246	2,489	2,489	2,489	2,489
General Services, Office of	83,874	79,363	85,196	83,796	83,796	83,796
Inspector General, Office of the	852	831	815	815	827	839
Labor Management Committees	17,696	18,263	18,754	19,554	19,554	19,513
Prevention of Domestic Violence, Office for	87	113	217	203	203	203
Public Employment Relations Board	202	193	193	193	197	201
Public Integrity, Commission on	729	852	911	911	930	949
State, Department of	1,577	423	1,267	539	239	239
Tax Appeals, Division of	161	272	170	170	170	170
Taxation and Finance, Department of	41,488	16,630	30,053	29,053	28,729	29,053
Technology, Office for	148,419	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	407	336	292	292	298	298
Welfare Inspector General, Office of	101	58	55	55	65	75
Functional Total	298,795	347,683	394,231	423,258	434,383	434,785
ELECTED OFFICIALS						
Audit and Control, Department of	27,174	25,227	28,058	27,708	27,708	27,708
Executive Chamber	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	383,101	387,117	416,200	416,200	416,200	416,200
Law, Department of	14,798	12,146	13,433	13,433	13,433	13,691
Legislature	44,981	46,667	51,514	51,514	51,514	51,514
Lieutenant Governor, Office of the	67	163	101	91	91	91
Functional Total	473,466	474,355	511,415	510,711	510,711	510,969
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	100	0	0	0	0	0
Functional Total	100	0	0	0	0	0
ALL OTHER CATEGORIES						
Miscellaneous	19,137	21,834	63,314	425,712	211,712	284,712
Functional Total	19,137	21,834	63,314	425,712	211,712	284,712
TOTAL NON-PERSONAL SERVICE SPENDING	1,858,539	1,944,288	2,203,938	2,632,444	2,445,046	2,522,262

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
HIGHER EDUCATION						
State University of New York	217,765	41,102	0	0	0	0
Functional Total	<u>217,765</u>	<u>41,102</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ELECTED OFFICIALS						
Judiciary	636,654	663,574	681,800	742,503	752,103	749,603
Functional Total	<u>636,654</u>	<u>663,574</u>	<u>681,800</u>	<u>742,503</u>	<u>752,103</u>	<u>749,603</u>
ALL OTHER CATEGORIES						
General State Charges	4,131,686	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Miscellaneous	12,587	10,956	4,420	4,420	4,420	4,420
Functional Total	<u>4,144,273</u>	<u>4,692,555</u>	<u>4,884,369</u>	<u>5,267,946</u>	<u>5,632,317</u>	<u>6,098,948</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u><u>4,998,692</u></u>	<u><u>5,397,231</u></u>	<u><u>5,566,169</u></u>	<u><u>6,010,449</u></u>	<u><u>6,384,420</u></u>	<u><u>6,848,551</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	79,519	83,541	74,809	67,663	80,111	80,160
Local Assistance Grants	25,275	27,279	28,267	29,026	25,612	25,612
State Operations	52,866	54,745	44,761	37,011	52,804	52,809
Personal Service	28,342	27,923	29,737	29,183	29,238	29,243
Non-Personal Service/Indirect Costs	24,524	26,822	15,024	7,828	23,566	23,566
General State Charges	1,378	1,517	1,781	1,626	1,695	1,739
<i>Alcoholic Beverage Control, Division of</i>	17,636	17,277	12,724	12,683	12,683	12,744
State Operations	13,095	12,501	12,603	12,683	12,683	12,744
Personal Service	7,657	7,622	8,270	8,147	8,147	8,208
Non-Personal Service/Indirect Costs	5,438	4,879	4,333	4,536	4,536	4,536
General State Charges	4,541	4,776	121	0	0	0
<i>Economic Development, Department of</i>	56,467	82,617	77,474	79,978	79,978	79,978
Local Assistance Grants	36,404	62,153	56,075	59,379	59,379	59,379
State Operations	20,063	20,464	21,371	20,571	20,571	20,571
Personal Service	11,493	11,974	13,329	13,329	13,329	13,329
Non-Personal Service/Indirect Costs	8,570	8,490	8,042	7,242	7,242	7,242
General State Charges	0	0	28	28	28	28
<i>Empire State Development Corporation</i>	85,284	58,756	143,835	147,346	147,346	147,346
Local Assistance Grants	85,234	58,756	143,835	147,346	147,346	147,346
State Operations	50	0	0	0	0	0
Non-Personal Service/Indirect Costs	50	0	0	0	0	0
<i>Energy Research and Development Authority</i>	11,972	3,808	0	0	0	0
Local Assistance Grants	5,527	1,842	0	0	0	0
State Operations	4,841	1,431	0	0	0	0
Personal Service	3,622	1,024	0	0	0	0
Non-Personal Service/Indirect Costs	1,219	407	0	0	0	0
General State Charges	1,604	535	0	0	0	0
<i>Financial Services, Department of</i>	491,138	360,052	359,636	354,226	356,211	362,831
Local Assistance Grants	223,476	80,686	57,174	45,749	45,749	45,749
State Operations	191,287	201,930	212,679	211,960	211,960	215,847
Personal Service	137,783	149,000	156,231	156,953	156,953	157,638
Non-Personal Service/Indirect Costs	53,504	52,930	56,448	55,007	55,007	58,209
General State Charges	76,375	77,436	89,783	96,517	98,502	101,235
<i>Olympic Regional Development Authority</i>	3,011	3,111	2,886	2,886	2,886	2,886
State Operations	3,011	3,091	2,886	2,886	2,886	2,886
Personal Service	2,548	2,593	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	463	498	338	338	338	338
General State Charges	0	20	0	0	0	0
<i>Public Service Department</i>	69,084	68,475	73,556	75,493	76,028	76,758
Local Assistance Grants	0	0	172	172	172	172
State Operations	47,496	49,483	49,877	49,569	49,569	49,569
Personal Service	39,760	40,858	42,416	41,903	41,903	41,903
Non-Personal Service/Indirect Costs	7,736	8,625	7,461	7,666	7,666	7,666
General State Charges	21,588	18,992	23,507	25,752	26,287	27,017
Functional Total	814,111	677,637	744,920	740,275	755,243	762,703
PARKS AND THE ENVIRONMENT						
<i>Adirondack Park Agency</i>	4,276	4,297	4,397	4,413	4,413	4,413
State Operations	4,276	4,297	4,397	4,413	4,413	4,413
Personal Service	3,893	4,036	4,092	4,108	4,108	4,108
Non-Personal Service/Indirect Costs	383	261	305	305	305	305

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Environmental Conservation, Department of	277,931	287,360	284,631	288,112	290,043	287,611
Local Assistance Grants	2,889	4,262	5,074	4,305	4,390	4,390
State Operations	229,795	237,912	230,945	232,715	233,344	234,047
Personal Service	174,406	181,598	176,334	177,270	177,551	177,668
Non-Personal Service/Indirect Costs	55,389	56,314	54,611	55,445	55,793	56,379
General State Charges	45,247	45,186	48,612	51,092	52,309	49,174
Parks, Recreation and Historic Preservation, Office of	191,888	195,155	192,529	194,587	192,089	193,400
Local Assistance Grants	8,444	9,645	9,495	8,200	8,200	8,200
State Operations	179,509	180,898	177,175	180,353	180,330	181,641
Personal Service	133,928	135,656	132,119	135,149	136,126	137,437
Non-Personal Service/Indirect Costs	45,581	45,242	45,056	45,204	44,204	44,204
General State Charges	2,829	2,863	3,359	3,559	3,559	3,559
Capital Projects	1,106	1,749	2,500	2,475	0	0
Functional Total	474,095	486,812	481,557	487,112	486,545	485,424
TRANSPORTATION						
Motor Vehicles, Department of	81,206	83,762	69,545	70,628	70,706	70,706
State Operations	58,500	59,397	49,588	49,972	49,972	49,972
Personal Service	43,692	43,684	35,451	35,845	35,845	35,845
Non-Personal Service/Indirect Costs	14,808	15,713	14,137	14,127	14,127	14,127
General State Charges	22,591	24,365	19,957	20,656	20,734	20,734
Capital Projects	115	0	0	0	0	0
Thruway Authority, New York State	18,341	16,569	0	0	0	0
State Operations	18,341	16,569	0	0	0	0
Non-Personal Service/Indirect Costs	18,341	16,569	0	0	0	0
Transportation, Department of	4,860,960	4,767,454	4,959,223	5,048,615	5,116,076	5,206,444
Local Assistance Grants	4,834,115	4,745,228	4,934,285	5,022,957	5,090,418	5,180,786
State Operations	22,502	18,588	20,752	21,100	21,100	21,100
Personal Service	6,980	6,407	7,291	7,516	7,516	7,516
Non-Personal Service/Indirect Costs	15,522	12,181	13,461	13,584	13,584	13,584
General State Charges	4,242	3,638	4,186	4,558	4,558	4,558
Capital Projects	101	0	0	0	0	0
Functional Total	4,960,507	4,867,785	5,028,768	5,119,243	5,186,782	5,277,150
HEALTH						
Aging, Office for the	123,306	128,410	128,664	127,755	132,851	138,074
Local Assistance Grants	121,870	127,134	127,432	126,523	131,619	136,842
State Operations	1,436	1,276	1,232	1,232	1,232	1,232
Personal Service	1,256	1,110	1,125	1,125	1,125	1,125
Non-Personal Service/Indirect Costs	180	166	107	107	107	107
Health, Department of	19,114,503	19,823,519	20,479,509	21,468,457	22,565,595	23,702,833
Medical Assistance	16,275,220	16,868,571	17,054,655	18,241,642	19,400,509	20,405,015
Local Assistance Grants	16,275,220	16,868,571	17,054,655	18,241,642	19,400,509	20,405,015
Essential Plan	0	32,575	713,715	471,125	394,642	405,812
Local Assistance Grants	0	19,215	665,890	431,367	354,940	364,878
State Operations	0	13,360	47,825	39,758	39,702	40,934
Personal Service	0	391	1,375	1,416	1,458	1,502
Non-Personal Service/Indirect Costs	0	12,969	46,450	38,342	38,244	39,432
Medicaid Administration	677,990	836,542	786,614	803,969	793,497	765,645
Local Assistance Grants	515,184	565,205	449,703	434,306	417,908	386,511
State Operations	162,806	271,337	336,911	369,663	375,589	379,134

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	29,326	31,809	34,623	40,060	45,986	49,531
Non-Personal Service/Indirect Costs	133,480	239,528	302,288	329,603	329,603	329,603
Public Health	2,161,293	2,085,831	1,924,525	1,951,721	1,976,947	2,126,361
Local Assistance Grants	1,718,844	1,647,316	1,507,078	1,543,630	1,568,182	1,716,155
State Operations	411,563	406,943	386,145	377,327	377,367	377,887
Personal Service	229,575	229,651	217,088	210,143	210,145	210,150
Non-Personal Service/Indirect Costs	181,988	177,292	169,057	167,184	167,222	167,737
General State Charges	30,886	31,572	31,302	30,764	31,398	32,319
Medicaid Inspector General, Office of the	20,821	20,619	20,132	19,860	19,860	19,860
State Operations	20,821	20,619	20,132	19,860	19,860	19,860
Personal Service	16,617	16,621	16,053	15,781	15,781	15,781
Non-Personal Service/Indirect Costs	4,204	3,998	4,079	4,079	4,079	4,079
Stem Cell and Innovation	33,483	0	0	0	0	0
State Operations	33,294	0	0	0	0	0
Personal Service	368	0	0	0	0	0
Non-Personal Service/Indirect Costs	32,926	0	0	0	0	0
General State Charges	189	0	0	0	0	0
Functional Total	19,292,113	19,972,548	20,628,305	21,616,072	22,718,306	23,860,767
SOCIAL WELFARE						
Children and Family Services, Office of	1,864,842	2,001,389	1,959,851	1,922,780	1,984,484	2,011,418
OCFS	1,778,843	1,912,467	1,862,551	1,825,675	1,883,195	1,905,886
Local Assistance Grants	1,514,779	1,646,887	1,613,702	1,576,510	1,627,451	1,650,112
State Operations	263,693	262,651	246,760	247,037	253,592	253,592
Personal Service	170,077	167,328	164,069	162,408	164,023	164,023
Non-Personal Service/Indirect Costs	93,616	95,323	82,691	84,629	89,569	89,569
General State Charges	371	2,929	2,089	2,128	2,152	2,182
OCFS - Other	85,999	88,922	97,300	97,105	101,289	105,532
Local Assistance Grants	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	77,527	71,365	72,164	95,712	100,712	105,712
Local Assistance Grants	11,531	5,059	6,251	30,085	35,085	40,085
State Operations	51,036	50,330	49,489	49,246	49,246	49,246
Personal Service	38,995	38,788	40,644	40,403	40,403	40,403
Non-Personal Service/Indirect Costs	12,041	11,542	8,845	8,843	8,843	8,843
General State Charges	14,960	15,976	16,424	16,381	16,381	16,381
Human Rights, Division of	10,582	10,263	10,046	9,921	9,921	9,921
State Operations	10,582	10,263	10,046	9,921	9,921	9,921
Personal Service	8,919	9,398	9,586	9,461	9,461	9,461
Non-Personal Service/Indirect Costs	1,663	865	460	460	460	460
Labor, Department of	71,294	72,790	76,150	65,119	65,119	65,119
Local Assistance Grants	7,664	12,207	12,598	150	150	150
State Operations	45,973	46,543	46,394	46,519	46,519	46,519
Personal Service	30,078	32,557	32,493	32,618	32,618	32,618
Non-Personal Service/Indirect Costs	15,895	13,986	13,901	13,901	13,901	13,901
General State Charges	17,657	14,040	17,158	18,450	18,450	18,450
National and Community Service	687	458	690	687	690	690
Local Assistance Grants	450	142	350	350	350	350
State Operations	237	316	340	337	340	340
Personal Service	229	311	331	328	331	331
Non-Personal Service/Indirect Costs	8	5	9	9	9	9
Temporary and Disability Assistance, Office of	1,375,356	1,360,670	1,394,489	1,437,483	1,455,883	1,472,014

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Welfare Assistance	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
Local Assistance Grants	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
All Other	237,353	238,311	226,296	226,360	232,760	246,891
Local Assistance Grants	97,755	91,162	93,905	100,996	107,396	115,196
State Operations	139,598	147,079	132,191	125,364	125,364	131,695
Personal Service	67,810	69,932	69,996	68,985	68,985	74,144
Non-Personal Service/Indirect Costs	71,788	77,147	62,195	56,379	56,379	57,551
General State Charges	0	70	200	0	0	0
Functional Total	3,400,288	3,516,935	3,513,390	3,531,702	3,616,809	3,664,874
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	409,706	419,184	422,292	450,888	470,235	492,767
OASAS	325,791	332,361	339,303	367,690	385,326	406,547
Local Assistance Grants	282,132	285,592	293,174	319,218	335,923	355,985
State Operations	30,187	33,651	31,394	31,311	31,985	32,474
Personal Service	20,250	19,602	23,682	23,287	23,746	23,997
Non-Personal Service/Indirect Costs	9,937	14,049	7,712	8,024	8,239	8,477
General State Charges	13,472	13,118	14,735	17,161	17,418	18,088
OASAS - Other	83,915	86,823	82,989	83,198	84,909	86,220
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	44,341	46,573	42,143	42,743	43,218	43,820
Personal Service	32,857	34,515	31,225	31,621	31,776	32,090
Non-Personal Service/Indirect Costs	11,484	12,058	10,918	11,122	11,442	11,730
General State Charges	18,249	18,925	19,521	19,130	20,366	21,075
Justice Center	31,652	39,478	40,122	42,561	42,896	43,181
Local Assistance Grants	600	544	681	649	649	649
State Operations	30,470	38,304	38,702	41,110	41,367	41,634
Personal Service	19,845	26,608	29,551	31,060	31,060	31,060
Non-Personal Service/Indirect Costs	10,625	11,696	9,151	10,050	10,307	10,574
General State Charges	582	630	739	802	880	898
Mental Health, Office of	3,146,926	3,165,602	3,140,007	3,191,223	3,416,456	3,543,143
OMH	1,342,969	1,366,837	1,433,530	1,440,902	1,628,483	1,712,307
Local Assistance Grants	810,344	862,255	918,610	914,719	1,087,008	1,161,066
State Operations	336,351	354,871	345,089	349,209	354,720	359,125
Personal Service	270,100	289,883	297,625	300,137	304,167	307,203
Non-Personal Service/Indirect Costs	66,251	64,988	47,464	49,072	50,553	51,922
General State Charges	196,274	149,711	169,831	176,974	186,755	192,116
OMH - Other	1,803,957	1,798,765	1,706,477	1,750,321	1,787,973	1,830,836
Local Assistance Grants	346,856	280,236	272,311	293,290	304,220	315,423
State Operations	1,047,217	1,062,786	995,827	1,007,548	1,007,746	1,023,050
Personal Service	807,039	833,717	770,827	773,504	770,038	780,889
Non-Personal Service/Indirect Costs	240,178	229,069	225,000	234,044	237,708	242,161
General State Charges	409,884	455,743	438,339	449,483	476,007	492,363
Mental Hygiene, Department of	228	219	0	0	0	0
State Operations	228	219	0	0	0	0
Non-Personal Service/Indirect Costs	228	219	0	0	0	0
People with Developmental Disabilities, Office for	3,465,088	3,183,985	2,909,069	3,382,230	3,622,739	3,835,239
OPWDD	433,907	331,163	382,181	411,206	459,802	514,398
Local Assistance Grants	433,850	331,146	382,000	411,025	459,621	514,217
State Operations	57	17	181	181	181	181
Non-Personal Service/Indirect Costs	57	17	181	181	181	181

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
OPWDD - Other	3,031,181	2,852,822	2,526,888	2,971,024	3,162,937	3,320,841
Local Assistance Grants	1,027,577	864,528	578,019	983,543	1,149,869	1,267,117
State Operations	1,374,122	1,367,609	1,311,537	1,309,310	1,323,181	1,339,045
Personal Service	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
Non-Personal Service/Indirect Costs	261,618	215,819	209,023	211,406	216,025	220,772
General State Charges	629,482	620,685	637,332	678,171	689,887	714,679
Functional Total	7,053,600	6,808,468	6,511,490	7,066,902	7,552,326	7,914,330
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
State Operations	2,222	2,297	2,680	2,651	2,651	2,651
Personal Service	2,037	2,042	2,333	2,365	2,414	2,414
Non-Personal Service/Indirect Costs	185	255	347	286	237	237
Correctional Services, Department of	2,647,170	2,696,856	2,637,886	2,660,795	2,663,551	2,670,706
Local Assistance Grants	5,939	4,251	5,497	5,497	5,497	5,497
State Operations	2,641,151	2,692,513	2,632,257	2,655,162	2,657,918	2,665,073
Personal Service	2,102,399	2,166,752	2,080,261	2,090,116	2,092,872	2,100,027
Non-Personal Service/Indirect Costs	538,752	525,761	551,996	565,046	565,046	565,046
General State Charges	80	92	132	136	136	136
Criminal Justice Services, Division of	194,721	198,307	206,664	196,132	196,132	196,132
Local Assistance Grants	156,998	162,227	167,537	157,251	157,251	157,251
State Operations	37,663	36,039	39,039	38,793	38,793	38,793
Personal Service	26,862	24,588	25,821	25,582	25,582	25,582
Non-Personal Service/Indirect Costs	10,801	11,451	13,218	13,211	13,211	13,211
General State Charges	60	41	88	88	88	88
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Local Assistance Grants	2,726	0	0	0	0	0
State Operations	(10,737)	(51,789)	0	0	0	0
Personal Service	(9,310)	(1,768)	0	0	0	0
Non-Personal Service/Indirect Costs	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	103,159	50,307	70,500	87,662	107,651	120,125
Local Assistance Grants	65,440	18,169	43,789	61,033	80,999	93,473
State Operations	37,332	31,631	25,851	25,756	25,756	25,756
Personal Service	14,217	13,037	14,723	14,628	14,628	14,628
Non-Personal Service/Indirect Costs	23,115	18,594	11,128	11,128	11,128	11,128
General State Charges	387	507	860	873	896	896
Indigent Legal Services, Office of	52,689	60,116	86,695	105,295	105,295	105,295
Local Assistance Grants	51,123	58,068	83,000	101,600	101,600	101,600
State Operations	1,051	1,449	2,739	2,739	2,739	2,739
Personal Service	928	1,211	2,204	2,204	2,204	2,204
Non-Personal Service/Indirect Costs	123	238	535	535	535	535
General State Charges	515	599	956	956	956	956
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
State Operations	5,384	5,567	5,584	5,584	5,643	5,708
Personal Service	4,028	4,208	4,281	4,281	4,312	4,347
Non-Personal Service/Indirect Costs	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
State Operations	24	20	30	30	30	30
Non-Personal Service/Indirect Costs	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
State Operations	12	14	38	38	38	38
Non-Personal Service/Indirect Costs	12	14	38	38	38	38

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Military and Naval Affairs, Division of	25,302	26,849	36,776	71,791	72,524	73,272
Local Assistance Grants	724	805	911	820	820	820
State Operations	24,261	26,051	35,851	70,957	71,690	72,438
Personal Service	15,759	17,129	24,819	51,005	51,738	52,486
Non-Personal Service/Indirect Costs	8,502	8,922	11,032	19,952	19,952	19,952
General State Charges	317	(7)	14	14	14	14
State Police, Division of	669,396	695,750	751,820	756,514	756,093	757,003
State Operations	666,777	693,311	734,242	733,194	732,194	732,194
Personal Service	571,632	618,908	660,271	663,346	662,346	662,346
Non-Personal Service/Indirect Costs	95,145	74,403	73,971	69,848	69,848	69,848
General State Charges	2,619	2,439	17,578	23,320	23,899	24,809
Statewide Financial System	31,959	30,070	30,309	30,143	30,143	30,143
State Operations	31,959	30,070	30,309	30,143	30,143	30,143
Personal Service	9,622	10,234	11,522	11,350	11,350	11,350
Non-Personal Service/Indirect Costs	22,337	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	30,870	24,469	33,575	43,420	43,420	43,420
Local Assistance Grants	25,567	19,401	27,419	37,279	37,279	37,279
State Operations	3,712	3,533	3,966	3,951	3,951	3,951
Personal Service	3,162	3,154	3,191	3,176	3,176	3,176
Non-Personal Service/Indirect Costs	550	379	775	775	775	775
General State Charges	1,591	1,535	2,190	2,190	2,190	2,190
Functional Total	3,754,897	3,738,833	3,862,557	3,960,055	3,983,171	4,004,523
HIGHER EDUCATION						
City University of New York	1,482,817	1,524,823	1,547,601	1,584,854	1,624,243	1,651,116
Local Assistance Grants	1,395,047	1,429,462	1,454,075	1,489,930	1,527,896	1,553,320
State Operations	80,053	87,469	85,634	87,032	88,455	89,904
Personal Service	48,140	46,870	45,402	45,996	46,598	47,210
Non-Personal Service/Indirect Costs	31,913	40,599	40,232	41,036	41,857	42,694
General State Charges	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	300	259	390	390	390	390
State Operations	217	198	291	291	291	291
Personal Service	146	133	198	198	198	198
Non-Personal Service/Indirect Costs	71	65	93	93	93	93
General State Charges	83	61	99	99	99	99
Higher Education Services Corporation, New York State	1,267,122	1,077,526	1,073,611	1,082,518	1,098,832	1,114,200
Local Assistance Grants	1,209,916	1,025,146	1,021,668	1,031,459	1,047,773	1,063,141
State Operations	43,245	43,763	41,377	40,081	40,081	40,081
Personal Service	16,369	15,253	15,400	14,104	14,104	14,104
Non-Personal Service/Indirect Costs	26,876	28,510	25,977	25,977	25,977	25,977
General State Charges	13,961	8,617	10,566	10,978	10,978	10,978
State University of New York	6,794,734	6,778,626	6,809,715	6,848,062	6,958,128	7,067,044
Local Assistance Grants	486,563	500,675	508,699	472,928	479,389	479,056
State Operations	5,773,806	5,866,320	5,925,265	5,993,927	6,091,994	6,195,622
Personal Service	3,502,897	3,628,296	3,683,420	3,712,200	3,765,056	3,821,795
Non-Personal Service/Indirect Costs	2,270,909	2,238,024	2,241,845	2,281,727	2,326,938	2,373,827
General State Charges	534,365	411,631	375,751	381,207	386,745	392,366
Functional Total	9,544,973	9,381,234	9,431,317	9,515,824	9,681,593	9,832,750
EDUCATION						
Arts, Council on the	66,103	41,819	45,513	45,253	45,253	45,253
Local Assistance Grants	62,791	38,332	41,193	40,933	40,933	40,933

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
State Operations	3,312	3,487	4,320	4,320	4,320	4,320
Personal Service	2,132	2,253	2,498	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,180	1,234	1,822	1,822	1,822	1,822
Education, Department of	27,174,390	28,884,200	30,074,044	31,246,087	32,588,057	34,016,481
School Aid	21,630,610	23,302,030	24,422,245	25,865,359	27,144,027	28,532,158
Local Assistance Grants	21,630,610	23,302,030	24,422,245	25,865,359	27,144,027	28,532,158
STAR Property Tax Relief	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
Local Assistance Grants	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
Special Education Categorical Programs	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
Local Assistance Grants	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
All Other	795,828	930,889	1,078,755	1,028,046	1,042,418	1,021,924
Local Assistance Grants	629,621	768,228	891,067	849,534	863,160	841,918
State Operations	133,491	130,640	153,449	142,658	142,658	142,474
Personal Service	84,014	85,429	87,793	87,387	87,387	87,259
Non-Personal Service/Indirect Costs	49,477	45,211	65,656	55,271	55,271	55,215
General State Charges	32,716	32,021	34,239	35,854	36,600	37,532
Functional Total	27,240,493	28,926,019	30,119,557	31,291,340	32,633,310	34,061,734
GENERAL GOVERNMENT						
Budget, Division of the	23,809	24,227	31,022	30,596	30,596	30,596
State Operations	22,834	23,396	29,465	28,939	28,939	28,939
Personal Service	20,223	20,436	24,514	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	2,611	2,960	4,951	4,372	4,372	4,372
General State Charges	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	13,196	13,424	14,192	13,381	13,507	13,617
State Operations	13,045	13,277	14,016	13,205	13,331	13,441
Personal Service	12,046	11,662	12,395	12,384	12,497	12,591
Non-Personal Service/Indirect Costs	999	1,615	1,621	821	834	850
General State Charges	151	147	176	176	176	176
Deferred Compensation Board	527	524	866	866	866	873
State Operations	361	377	641	641	641	648
Personal Service	305	304	410	410	410	413
Non-Personal Service/Indirect Costs	56	73	231	231	231	235
General State Charges	166	147	225	225	225	225
Elections, State Board of	6,100	7,918	8,519	8,482	8,587	8,697
Local Assistance Grants	253	93	0	0	0	0
State Operations	5,847	7,825	8,519	8,482	8,587	8,697
Personal Service	4,680	5,639	5,946	5,875	6,018	6,063
Non-Personal Service/Indirect Costs	1,167	2,186	2,573	2,607	2,569	2,634
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
State Operations	2,210	2,247	2,581	2,581	2,601	2,621
Personal Service	2,178	2,200	2,510	2,510	2,529	2,548
Non-Personal Service/Indirect Costs	32	47	71	71	72	73
Gaming Commission, New York State	149,273	251,588	269,558	301,003	314,803	314,803
Local Assistance Grants	0	91,881	100,602	125,700	139,500	139,500
State Operations	134,317	146,812	153,120	157,728	157,728	157,728
Personal Service	30,748	31,549	35,480	38,757	38,757	38,757
Non-Personal Service/Indirect Costs	103,569	115,263	117,640	118,971	118,971	118,971
General State Charges	14,956	12,895	15,836	17,575	17,575	17,575
General Services, Office of	153,982	159,528	164,012	161,467	161,597	161,597
State Operations	151,774	156,939	163,564	160,998	161,103	161,103

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	62,120	71,454	74,437	74,079	74,079	74,079
Non-Personal Service/Indirect Costs	89,654	85,485	89,127	86,919	87,024	87,024
General State Charges	2,208	2,589	448	469	494	494
<i>Inspector General, Office of the</i>	7,069	7,061	7,367	7,367	7,427	7,487
State Operations	7,069	7,061	7,367	7,367	7,427	7,487
Personal Service	6,217	6,230	6,552	6,552	6,600	6,648
Non-Personal Service/Indirect Costs	852	831	815	815	827	839
<i>Labor Management Committees</i>	24,098	24,882	24,500	25,300	25,300	25,306
State Operations	24,098	24,882	24,500	25,300	25,300	25,306
Personal Service	6,402	6,619	5,446	5,446	5,446	5,487
Non-Personal Service/Indirect Costs	17,696	18,263	19,054	19,854	19,854	19,819
<i>Prevention of Domestic Violence, Office for</i>	1,897	2,039	2,407	2,481	2,481	2,581
Local Assistance Grants	543	575	785	885	885	985
State Operations	1,354	1,464	1,622	1,596	1,596	1,596
Personal Service	1,267	1,351	1,400	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	87	113	222	208	208	208
<i>Public Employment Relations Board</i>	3,115	3,433	3,572	3,573	3,604	3,634
State Operations	3,115	3,433	3,572	3,573	3,604	3,634
Personal Service	2,894	3,207	3,336	3,336	3,363	3,388
Non-Personal Service/Indirect Costs	221	226	236	237	241	246
<i>Public Integrity, Commission on</i>	3,628	4,332	5,531	5,531	5,576	5,630
State Operations	3,628	4,332	5,531	5,531	5,576	5,630
Personal Service	2,899	3,480	4,620	4,620	4,646	4,681
Non-Personal Service/Indirect Costs	729	852	911	911	930	949
<i>State, Department of</i>	57,843	67,405	71,210	61,602	61,499	61,769
Local Assistance Grants	6,545	15,701	13,439	7,379	7,379	7,379
State Operations	41,865	43,332	47,699	43,773	43,473	43,473
Personal Service	27,970	28,886	29,148	29,477	29,477	29,477
Non-Personal Service/Indirect Costs	13,895	14,446	18,551	14,296	13,996	13,996
General State Charges	9,433	8,372	10,072	10,450	10,647	10,917
<i>Tax Appeals, Division of</i>	2,849	3,035	3,068	3,040	3,040	3,040
State Operations	2,849	3,035	3,068	3,040	3,040	3,040
Personal Service	2,688	2,763	2,898	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	161	272	170	170	170	170
<i>Taxation and Finance, Department of</i>	380,858	354,747	355,252	352,386	352,561	352,386
Local Assistance Grants	906	914	2,726	2,726	2,726	2,726
State Operations	348,167	335,774	331,811	329,124	329,132	329,124
Personal Service	285,802	292,613	273,933	269,907	269,907	269,907
Non-Personal Service/Indirect Costs	62,365	43,161	57,878	59,217	59,225	59,217
General State Charges	31,785	18,059	20,715	20,536	20,703	20,536
<i>Technology, Office for</i>	426,415	505,949	537,499	565,206	576,936	576,936
State Operations	426,415	505,949	537,499	565,206	576,936	576,936
Personal Service	277,996	283,573	289,756	284,801	284,801	284,801
Non-Personal Service/Indirect Costs	148,419	222,376	247,743	280,405	292,135	292,135
<i>Veterans' Affairs, Division of</i>	12,830	12,922	15,519	13,808	13,873	13,873
Local Assistance Grants	7,486	7,855	9,290	7,637	7,637	7,637
State Operations	5,344	5,067	6,229	6,171	6,236	6,236
Personal Service	4,937	4,731	5,937	5,879	5,938	5,938
Non-Personal Service/Indirect Costs	407	336	292	292	298	298
<i>Welfare Inspector General, Office of</i>	573	569	672	672	686	701
State Operations	573	569	672	672	686	701
Personal Service	472	511	617	617	621	626

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Non-Personal Service/Indirect Costs	101	58	55	55	65	75
Workers' Compensation Board	188,009	183,210	188,732	195,009	196,792	198,595
State Operations	141,996	139,016	137,284	141,607	143,390	145,193
Personal Service	77,315	78,723	76,555	80,878	81,493	82,104
Non-Personal Service/Indirect Costs	64,681	60,293	60,729	60,729	61,897	63,089
General State Charges	46,013	44,194	51,448	53,402	53,402	53,402
Functional Total	1,458,281	1,629,040	1,706,079	1,754,351	1,782,332	1,784,742
ELECTED OFFICIALS						
Audit and Control, Department of	174,514	174,200	182,680	181,009	181,070	181,253
Local Assistance Grants	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	140,855	140,681	148,455	146,661	146,661	146,844
Personal Service	109,351	111,792	115,053	113,609	113,609	113,687
Non-Personal Service/Indirect Costs	31,504	28,889	33,402	33,052	33,052	33,157
General State Charges	1,634	1,494	2,201	2,324	2,385	2,385
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
State Operations	13,966	13,704	13,578	13,578	13,578	13,578
Personal Service	10,621	10,669	11,469	11,813	11,813	11,813
Non-Personal Service/Indirect Costs	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	2,676,076	2,758,587	2,843,100	2,919,053	2,954,053	2,954,053
Local Assistance Grants	107,429	114,655	107,300	122,300	122,300	122,300
State Operations	1,908,736	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Personal Service	1,479,075	1,509,384	1,556,900	1,556,900	1,582,300	1,584,800
Non-Personal Service/Indirect Costs	429,661	449,247	468,600	468,600	468,600	468,600
General State Charges	659,911	685,301	710,300	771,253	780,853	778,353
Law, Department of	179,054	185,419	189,852	191,830	194,641	197,364
State Operations	165,441	168,775	171,956	173,057	174,387	176,833
Personal Service	113,123	119,099	118,217	118,516	119,097	120,450
Non-Personal Service/Indirect Costs	52,318	49,676	53,739	54,541	55,290	56,383
General State Charges	13,613	16,644	17,896	18,773	20,254	20,531
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
State Operations	206,804	215,580	218,795	218,795	218,795	218,795
Personal Service	160,777	167,444	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	46,027	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	366	499	614	614	614	614
State Operations	366	499	614	614	614	614
Personal Service	299	336	513	523	523	523
Non-Personal Service/Indirect Costs	67	163	101	91	91	91
Functional Total	3,250,780	3,347,989	3,448,619	3,524,879	3,562,751	3,565,657
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,438	728,288	714,756	732,710	763,347	763,347
Local Assistance Grants	726,338	728,288	714,756	732,710	763,347	763,347
State Operations	100	0	0	0	0	0
Non-Personal Service/Indirect Costs	100	0	0	0	0	0
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Local Assistance Grants	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Local Assistance Grants	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331	29,331

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<i>Small Government Assistance</i>	217	217	218	218	218	218
Local Assistance Grants	217	217	218	218	218	218
Functional Total	765,376	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
<i>General State Charges</i>	4,131,687	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
General State Charges	4,131,687	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
<i>Long-Term Debt Service</i>	6,221,470	5,635,102	5,114,354	6,174,009	6,818,530	7,279,253
State Operations	38,654	36,616	38,907	49,313	49,313	49,313
Non-Personal Service/Indirect Costs	38,654	36,616	38,907	49,313	49,313	49,313
Debt Service	6,182,816	5,598,486	5,075,447	6,124,696	6,769,217	7,229,940
<i>Miscellaneous</i>	63,825	(152,479)	(76,024)	117,615	(96,369)	(118,353)
Local Assistance Grants	29,171	(189,144)	(106,563)	(127,929)	(127,929)	(127,929)
State Operations	20,752	24,626	24,823	239,825	25,841	3,857
Personal Service	2,204	1,982	2,177	2,178	2,183	2,188
Non-Personal Service/Indirect Costs	18,548	22,644	22,646	237,647	23,658	1,669
General State Charges	13,902	12,039	5,716	5,719	5,719	5,719
Functional Total	10,416,982	10,164,222	9,918,279	11,555,150	12,350,058	13,255,428
TOTAL STATE OPERATING FUNDS SPENDING	92,426,496	94,288,493	96,154,789	100,925,164	105,102,122	109,262,978

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	79,519	83,541	74,809	67,663	80,111	80,160
Alcoholic Beverage Control, Division of	17,636	17,277	12,724	12,683	12,683	12,744
Economic Development, Department of	56,467	82,617	77,474	79,978	79,978	79,978
Empire State Development Corporation	85,284	58,756	143,835	147,346	147,346	147,346
Energy Research and Development Authority	11,972	3,808	0	0	0	0
Financial Services, Department of	491,138	360,052	359,636	354,226	356,211	362,831
Olympic Regional Development Authority	3,011	3,111	2,886	2,886	2,886	2,886
Public Service Department	69,084	68,475	73,556	75,493	76,028	76,758
Functional Total	814,111	677,637	744,920	740,275	755,243	762,703
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,276	4,297	4,397	4,413	4,413	4,413
Environmental Conservation, Department of	277,931	287,360	284,631	288,112	290,043	287,611
Parks, Recreation and Historic Preservation, Office of	191,888	195,155	192,529	194,587	192,089	193,400
Functional Total	474,095	486,812	481,557	487,112	486,545	485,424
TRANSPORTATION						
Motor Vehicles, Department of	81,206	83,762	69,545	70,628	70,706	70,706
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	4,860,960	4,767,454	4,959,223	5,048,615	5,116,076	5,206,444
Functional Total	4,960,507	4,867,785	5,028,768	5,119,243	5,186,782	5,277,150
HEALTH						
Aging, Office for the	123,306	128,410	128,664	127,755	132,851	138,074
Health, Department of	19,114,503	19,823,519	20,479,509	21,468,457	22,565,595	23,702,833
<i>Medical Assistance</i>	16,275,220	16,868,571	17,054,655	18,241,642	19,400,509	20,405,015
<i>Essential Plan</i>	0	32,575	713,715	471,125	394,642	405,812
<i>Medicaid Administration</i>	677,990	836,542	786,614	803,969	793,497	765,645
<i>Public Health</i>	2,161,293	2,085,831	1,924,525	1,951,721	1,976,947	2,126,361
Medicaid Inspector General, Office of the	20,821	20,619	20,132	19,860	19,860	19,860
Stem Cell and Innovation	33,483	0	0	0	0	0
Functional Total	19,292,113	19,972,548	20,628,305	21,616,072	22,718,306	23,860,767
SOCIAL WELFARE						
Children and Family Services, Office of	1,864,842	2,001,389	1,959,851	1,922,780	1,984,484	2,011,418
<i>OCFS</i>	1,778,843	1,912,467	1,862,551	1,825,675	1,883,195	1,905,886
<i>OCFS - Other</i>	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	77,527	71,365	72,164	95,712	100,712	105,712
Human Rights, Division of	10,582	10,263	10,046	9,921	9,921	9,921
Labor, Department of	71,294	72,790	76,150	65,119	65,119	65,119
National and Community Service	687	458	690	687	690	690
Temporary and Disability Assistance, Office of	1,375,356	1,360,670	1,394,489	1,437,483	1,455,883	1,472,014
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	237,353	238,311	226,296	226,360	232,760	246,891
Functional Total	3,400,288	3,516,935	3,513,390	3,531,702	3,616,809	3,664,874
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	409,706	419,184	422,292	450,888	470,235	492,767
<i>OASAS</i>	325,791	332,361	339,303	367,690	385,326	406,547
<i>OASAS - Other</i>	83,915	86,823	82,989	83,198	84,909	86,220
Justice Center	31,652	39,478	40,122	42,561	42,896	43,181
Mental Health, Office of	3,146,926	3,165,602	3,140,007	3,191,223	3,416,456	3,543,143
<i>OMH</i>	1,342,969	1,366,837	1,433,530	1,440,902	1,628,483	1,712,307
<i>OMH - Other</i>	1,803,957	1,798,765	1,706,477	1,750,321	1,787,973	1,830,836

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	3,465,088	3,183,985	2,909,069	3,382,230	3,622,739	3,835,239
<i>OPWDD</i>	433,907	331,163	382,181	411,206	459,802	514,398
<i>OPWDD - Other</i>	3,031,181	2,852,822	2,526,888	2,971,024	3,162,937	3,320,841
Functional Total	7,053,600	6,808,468	6,511,490	7,066,902	7,552,326	7,914,330
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
Correctional Services, Department of	2,647,170	2,696,856	2,637,886	2,660,795	2,663,551	2,670,706
Criminal Justice Services, Division of	194,721	198,307	206,664	196,132	196,132	196,132
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	103,159	50,307	70,500	87,662	107,651	120,125
Indigent Legal Services, Office of	52,689	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	25,302	26,849	36,776	71,791	72,524	73,272
State Police, Division of	669,396	695,750	751,820	756,514	756,093	757,003
Statewide Financial System	31,959	30,070	30,309	30,143	30,143	30,143
Victim Services, Office of	30,870	24,469	33,575	43,420	43,420	43,420
Functional Total	3,754,897	3,738,833	3,862,557	3,960,055	3,983,171	4,004,523
HIGHER EDUCATION						
City University of New York	1,482,817	1,524,823	1,547,601	1,584,854	1,624,243	1,651,116
Higher Education - Miscellaneous	300	259	390	390	390	390
Higher Education Services Corporation, New York State	1,267,122	1,077,526	1,073,611	1,082,518	1,098,832	1,114,200
State University of New York	6,794,732	6,778,626	6,809,715	6,848,062	6,958,128	7,067,044
Functional Total	9,544,971	9,381,234	9,431,317	9,515,824	9,681,593	9,832,750
EDUCATION						
Arts, Council on the	66,103	41,819	45,513	45,253	45,253	45,253
Education, Department of	27,174,390	28,884,200	30,074,044	31,246,087	32,588,057	34,016,481
<i>School Aid</i>	21,630,610	23,302,030	24,422,245	25,865,359	27,144,027	28,532,158
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
<i>All Other</i>	795,828	930,889	1,078,755	1,028,046	1,042,418	1,021,924
Functional Total	27,240,493	28,926,019	30,119,557	31,291,340	32,633,310	34,061,734
GENERAL GOVERNMENT						
Budget, Division of the	23,809	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,196	13,424	14,192	13,381	13,507	13,617
Deferred Compensation Board	527	524	866	866	866	873
Elections, State Board of	6,100	7,918	8,519	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	149,273	251,588	269,558	301,003	314,803	314,803
General Services, Office of	153,982	159,528	164,012	161,467	161,597	161,597
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	24,500	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,897	2,039	2,407	2,481	2,481	2,581
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	57,843	67,405	71,210	61,602	61,499	61,769
Tax Appeals, Division of	2,849	3,035	3,068	3,040	3,040	3,040
Taxation and Finance, Department of	380,858	354,747	355,252	352,386	352,561	352,386
Technology, Office for	426,415	505,949	537,499	565,206	576,936	576,936
Veterans' Affairs, Division of	12,830	12,922	15,519	13,808	13,873	13,873
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	188,009	183,210	188,732	195,009	196,792	198,595
Functional Total	1,458,281	1,629,040	1,706,079	1,754,351	1,782,332	1,784,742
ELECTED OFFICIALS						

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Audit and Control, Department of	174,514	174,200	182,680	181,009	181,070	181,253
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	2,676,077	2,758,586	2,843,100	2,919,053	2,954,053	2,954,053
Law, Department of	179,054	185,419	189,852	191,830	194,641	197,364
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
Functional Total	3,250,781	3,347,988	3,448,619	3,524,879	3,562,751	3,565,657
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,438	728,288	714,756	732,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Functional Total	765,376	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
General State Charges	4,131,686	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Long-Term Debt Service	6,221,470	5,635,102	5,114,354	6,174,009	6,818,530	7,279,253
Miscellaneous	63,825	(152,479)	(76,024)	117,615	(96,369)	(118,353)
Functional Total	10,416,981	10,164,222	9,918,279	11,555,150	12,350,058	13,255,428
TOTAL STATE OPERATING FUNDS SPENDING	92,426,494	94,288,492	96,154,789	100,925,164	105,102,122	109,262,978

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	25,275	27,279	28,267	29,026	25,612	25,612
Economic Development, Department of	36,404	62,153	56,075	59,379	59,379	59,379
Empire State Development Corporation	85,234	58,756	143,835	147,346	147,346	147,346
Energy Research and Development Authority	5,527	1,842	0	0	0	0
Financial Services, Department of	223,476	80,686	57,174	45,749	45,749	45,749
Public Service Department	0	0	172	172	172	172
Functional Total	375,916	230,716	285,523	281,672	278,258	278,258
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	2,889	4,262	5,074	4,305	4,390	4,390
Parks, Recreation and Historic Preservation, Office of	8,444	9,645	9,495	8,200	8,200	8,200
Functional Total	11,333	13,907	14,569	12,505	12,590	12,590
TRANSPORTATION						
Transportation, Department of	4,834,115	4,745,228	4,934,285	5,022,957	5,090,418	5,180,786
Functional Total	4,834,115	4,745,228	4,934,285	5,022,957	5,090,418	5,180,786
HEALTH						
Aging, Office for the	121,870	127,134	127,432	126,523	131,619	136,842
Health, Department of	18,509,248	19,100,307	19,677,326	20,650,945	21,741,539	22,872,559
<i>Medical Assistance</i>	16,275,220	16,868,571	17,054,655	18,241,642	19,400,509	20,405,015
<i>Essential Plan</i>	0	19,215	665,890	431,367	354,940	364,878
<i>Medicaid Administration</i>	515,184	565,205	449,703	434,306	417,908	386,511
<i>Public Health</i>	1,718,844	1,647,316	1,507,078	1,543,630	1,568,182	1,716,155
Functional Total	18,631,118	19,227,441	19,804,758	20,777,468	21,873,158	23,009,401
SOCIAL WELFARE						
Children and Family Services, Office of	1,600,778	1,735,809	1,711,002	1,673,615	1,728,740	1,755,644
<i>OCFS</i>	1,514,779	1,646,887	1,613,702	1,576,510	1,627,451	1,650,112
<i>OCFS - Other</i>	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	11,531	5,059	6,251	30,085	35,085	40,085
Labor, Department of	7,664	12,207	12,598	150	150	150
National and Community Service	450	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,235,758	1,213,521	1,262,098	1,312,119	1,330,519	1,340,319
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	97,755	91,162	93,905	100,996	107,396	115,196
Functional Total	2,856,181	2,966,738	2,992,299	3,016,319	3,094,844	3,136,548
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	303,457	306,917	314,499	340,543	357,248	377,310
<i>OASAS</i>	282,132	285,592	293,174	319,218	335,923	355,985
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	600	544	681	649	649	649
Mental Health, Office of	1,157,200	1,142,491	1,190,921	1,208,009	1,391,228	1,476,489
<i>OMH</i>	810,344	862,255	918,610	914,719	1,087,008	1,161,066
<i>OMH - Other</i>	346,856	280,236	272,311	293,290	304,220	315,423
People with Developmental Disabilities, Office for	1,461,427	1,195,674	960,019	1,394,568	1,609,490	1,781,334
<i>OPWDD</i>	433,850	331,146	382,000	411,025	459,621	514,217
<i>OPWDD - Other</i>	1,027,577	864,528	578,019	983,543	1,149,869	1,267,117
Functional Total	2,922,684	2,645,626	2,466,120	2,943,769	3,358,615	3,635,782
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,939	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	156,998	162,227	167,537	157,251	157,251	157,251
Disaster Assistance	2,726	0	0	0	0	0
Homeland Security and Emergency Services, Division of	65,440	18,169	43,789	61,033	80,999	93,473
Indigent Legal Services, Office of	51,123	58,068	83,000	101,600	101,600	101,600

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Military and Naval Affairs, Division of	724	805	911	820	820	820
Victim Services, Office of	25,567	19,401	27,419	37,279	37,279	37,279
Functional Total	308,517	262,921	328,153	363,480	383,446	395,920
HIGHER EDUCATION						
City University of New York	1,395,047	1,429,462	1,454,075	1,489,930	1,527,896	1,553,320
Higher Education Services Corporation, New York State	1,209,916	1,025,146	1,021,668	1,031,459	1,047,773	1,063,141
State University of New York	486,563	500,675	508,699	472,928	479,389	479,056
Functional Total	3,091,526	2,955,283	2,984,442	2,994,317	3,055,058	3,095,517
EDUCATION						
Arts, Council on the	62,791	38,332	41,193	40,933	40,933	40,933
Education, Department of	27,008,183	28,721,539	29,886,356	31,067,575	32,408,799	33,836,475
<i>School Aid</i>	21,630,610	23,302,030	24,422,245	25,865,359	27,144,027	28,532,158
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
<i>All Other</i>	629,621	768,228	891,067	849,534	863,160	841,918
Functional Total	27,070,974	28,759,871	29,927,549	31,108,508	32,449,732	33,877,408
GENERAL GOVERNMENT						
Elections, State Board of	253	93	0	0	0	0
Gaming Commission, New York State	0	91,881	100,602	125,700	139,500	139,500
Prevention of Domestic Violence, Office for	543	575	785	885	885	985
State, Department of	6,545	15,701	13,439	7,379	7,379	7,379
Taxation and Finance, Department of	906	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,486	7,855	9,290	7,637	7,637	7,637
Functional Total	15,733	117,019	126,842	144,327	158,127	158,227
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	107,429	114,655	107,300	122,300	122,300	122,300
Functional Total	139,454	146,680	139,324	154,324	154,324	154,324
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,338	728,288	714,756	732,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Functional Total	765,276	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
Miscellaneous	29,171	(189,144)	(106,563)	(127,929)	(127,929)	(127,929)
Functional Total	29,171	(189,144)	(106,563)	(127,929)	(127,929)	(127,929)
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	61,051,998	62,653,257	64,657,252	67,453,976	70,573,537	73,599,728

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	52,866	54,745	44,761	37,011	52,804	52,809
Alcoholic Beverage Control, Division of	13,095	12,501	12,603	12,683	12,683	12,744
Economic Development, Department of	20,063	20,464	21,371	20,571	20,571	20,571
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	4,841	1,431	0	0	0	0
Financial Services, Department of	191,287	201,930	212,679	211,960	211,960	215,847
Olympic Regional Development Authority	3,011	3,091	2,886	2,886	2,886	2,886
Public Service Department	47,496	49,483	49,877	49,569	49,569	49,569
Functional Total	332,709	343,645	344,177	334,680	350,473	354,426
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,276	4,297	4,397	4,413	4,413	4,413
Environmental Conservation, Department of	229,795	237,912	230,945	232,715	233,344	234,047
Parks, Recreation and Historic Preservation, Office of	179,509	180,898	177,175	180,353	180,330	181,641
Functional Total	413,580	423,107	412,517	417,481	418,087	420,101
TRANSPORTATION						
Motor Vehicles, Department of	58,500	59,397	49,588	49,972	49,972	49,972
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	22,502	18,588	20,752	21,100	21,100	21,100
Functional Total	99,343	94,554	70,340	71,072	71,072	71,072
HEALTH						
Aging, Office for the	1,436	1,276	1,232	1,232	1,232	1,232
Health, Department of	574,369	691,640	770,881	786,748	792,658	797,955
<i>Essential Plan</i>	0	13,360	47,825	39,758	39,702	40,934
<i>Medicaid Administration</i>	162,806	271,337	336,911	369,663	375,589	379,134
<i>Public Health</i>	411,563	406,943	386,145	377,327	377,367	377,887
Medicaid Inspector General, Office of the	20,821	20,619	20,132	19,860	19,860	19,860
Stem Cell and Innovation	33,294	0	0	0	0	0
Functional Total	629,920	713,535	792,245	807,840	813,750	819,047
SOCIAL WELFARE						
Children and Family Services, Office of	263,693	262,651	246,760	247,037	253,592	253,592
<i>OCFS</i>	263,693	262,651	246,760	247,037	253,592	253,592
Housing and Community Renewal, Division of	51,036	50,330	49,489	49,246	49,246	49,246
Human Rights, Division of	10,582	10,263	10,046	9,921	9,921	9,921
Labor, Department of	45,973	46,543	46,394	46,519	46,519	46,519
National and Community Service	237	316	340	337	340	340
Temporary and Disability Assistance, Office of	139,598	147,079	132,191	125,364	125,364	131,695
<i>All Other</i>	139,598	147,079	132,191	125,364	125,364	131,695
Functional Total	511,119	517,182	485,220	478,424	484,982	491,313
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	74,528	80,224	73,537	74,054	75,203	76,294
<i>OASAS</i>	30,187	33,651	31,394	31,311	31,985	32,474
<i>OASAS - Other</i>	44,341	46,573	42,143	42,743	43,218	43,820
Justice Center	30,470	38,304	38,702	41,110	41,367	41,634
Mental Health, Office of	1,383,568	1,417,657	1,340,916	1,356,757	1,362,466	1,382,175
<i>OMH</i>	336,351	354,871	345,089	349,209	354,720	359,125
<i>OMH - Other</i>	1,047,217	1,062,786	995,827	1,007,548	1,007,746	1,023,050
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	1,374,179	1,367,626	1,311,718	1,309,491	1,323,362	1,339,226
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	1,374,122	1,367,609	1,311,537	1,309,310	1,323,181	1,339,045
Functional Total	2,862,973	2,904,030	2,764,873	2,781,412	2,802,398	2,839,329

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
Correctional Services, Department of	2,641,151	2,692,513	2,632,257	2,655,162	2,657,918	2,665,073
Criminal Justice Services, Division of	37,663	36,039	39,039	38,793	38,793	38,793
Disaster Assistance	(10,737)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	37,332	31,631	25,851	25,756	25,756	25,756
Indigent Legal Services, Office of	1,051	1,449	2,739	2,739	2,739	2,739
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	24,261	26,051	35,851	70,957	71,690	72,438
State Police, Division of	666,777	693,311	734,242	733,194	732,194	732,194
Statewide Financial System	31,959	30,070	30,309	30,143	30,143	30,143
Victim Services, Office of	3,712	3,533	3,966	3,951	3,951	3,951
Functional Total	3,440,811	3,470,706	3,512,586	3,568,998	3,571,546	3,579,514
HIGHER EDUCATION						
City University of New York	80,053	87,469	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	217	198	291	291	291	291
Higher Education Services Corporation, New York State	43,245	43,763	41,377	40,081	40,081	40,081
State University of New York	5,773,804	5,866,320	5,925,265	5,993,927	6,091,994	6,195,622
Functional Total	5,897,319	5,997,750	6,052,567	6,121,331	6,220,821	6,325,898
EDUCATION						
Arts, Council on the	3,312	3,487	4,320	4,320	4,320	4,320
Education, Department of	133,491	130,640	153,449	142,658	142,658	142,474
<i>All Other</i>	133,491	130,640	153,449	142,658	142,658	142,474
Functional Total	136,803	134,127	157,769	146,978	146,978	146,794
GENERAL GOVERNMENT						
Budget, Division of the	22,834	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,045	13,277	14,016	13,205	13,331	13,441
Deferred Compensation Board	361	377	641	641	641	648
Elections, State Board of	5,847	7,825	8,519	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	134,317	146,812	153,120	157,728	157,728	157,728
General Services, Office of	151,774	156,939	163,564	160,998	161,103	161,103
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	24,500	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,354	1,464	1,622	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	41,865	43,332	47,699	43,773	43,473	43,473
Tax Appeals, Division of	2,849	3,035	3,068	3,040	3,040	3,040
Taxation and Finance, Department of	348,167	335,774	331,811	329,124	329,132	329,124
Technology, Office for	426,415	505,949	537,499	565,206	576,936	576,936
Veterans' Affairs, Division of	5,344	5,067	6,229	6,171	6,236	6,236
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	141,996	139,016	137,284	141,607	143,390	145,193
Functional Total	1,336,861	1,424,787	1,478,760	1,505,534	1,519,326	1,521,533

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS
(thousands of dollars)

	<u>FY 2015</u> <u>Results</u>	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Updated</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	140,855	140,681	148,455	146,661	146,661	146,844
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	1,908,736	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Law, Department of	165,441	168,775	171,956	173,057	174,387	176,833
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
Functional Total	<u>2,436,168</u>	<u>2,497,870</u>	<u>2,578,898</u>	<u>2,578,205</u>	<u>2,604,935</u>	<u>2,610,064</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	100	0	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	38,654	36,616	38,907	49,313	49,313	49,313
Miscellaneous	20,752	24,626	24,823	239,825	25,841	3,857
Functional Total	<u>59,406</u>	<u>61,242</u>	<u>63,730</u>	<u>289,138</u>	<u>75,154</u>	<u>53,170</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,157,112</u>	<u>18,582,535</u>	<u>18,713,682</u>	<u>19,101,093</u>	<u>19,079,522</u>	<u>19,232,261</u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	28,342	27,923	29,737	29,183	29,238	29,243
Alcoholic Beverage Control, Division of	7,657	7,622	8,270	8,147	8,147	8,208
Economic Development, Department of	11,493	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	3,622	1,024	0	0	0	0
Financial Services, Department of	137,783	149,000	156,231	156,953	156,953	157,638
Olympic Regional Development Authority	2,548	2,593	2,548	2,548	2,548	2,548
Public Service Department	39,760	40,858	42,416	41,903	41,903	41,903
Functional Total	231,205	240,994	252,531	252,063	252,118	252,869
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,893	4,036	4,092	4,108	4,108	4,108
Environmental Conservation, Department of	174,406	181,598	176,334	177,270	177,551	177,668
Parks, Recreation and Historic Preservation, Office of	133,928	135,656	132,119	135,149	136,126	137,437
Functional Total	312,227	321,290	312,545	316,527	317,785	319,213
TRANSPORTATION						
Motor Vehicles, Department of	43,692	43,684	35,451	35,845	35,845	35,845
Transportation, Department of	6,980	6,407	7,291	7,516	7,516	7,516
Functional Total	50,672	50,091	42,742	43,361	43,361	43,361
HEALTH						
Aging, Office for the	1,256	1,110	1,125	1,125	1,125	1,125
Health, Department of	258,901	261,851	253,086	251,619	257,589	261,183
<i>Essential Plan</i>	0	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	29,326	31,809	34,623	40,060	45,986	49,531
<i>Public Health</i>	229,575	229,651	217,088	210,143	210,145	210,150
Medicaid Inspector General, Office of the	16,617	16,621	16,053	15,781	15,781	15,781
Stem Cell and Innovation	368	0	0	0	0	0
Functional Total	277,142	279,582	270,264	268,525	274,495	278,089
SOCIAL WELFARE						
Children and Family Services, Office of	170,077	167,328	164,069	162,408	164,023	164,023
<i>OCFS</i>	170,077	167,328	164,069	162,408	164,023	164,023
Housing and Community Renewal, Division of	38,995	38,788	40,644	40,403	40,403	40,403
Human Rights, Division of	8,919	9,398	9,586	9,461	9,461	9,461
Labor, Department of	30,078	32,557	32,493	32,618	32,618	32,618
National and Community Service	229	311	331	328	331	331
Temporary and Disability Assistance, Office of	67,810	69,932	69,996	68,985	68,985	74,144
<i>All Other</i>	67,810	69,932	69,996	68,985	68,985	74,144
Functional Total	316,108	318,314	317,119	314,203	315,821	320,980
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	53,107	54,117	54,907	54,908	55,522	56,087
<i>OASAS</i>	20,250	19,602	23,682	23,287	23,746	23,997
<i>OASAS - Other</i>	32,857	34,515	31,225	31,621	31,776	32,090
Justice Center	19,845	26,608	29,551	31,060	31,060	31,060
Mental Health, Office of	1,077,139	1,123,600	1,068,452	1,073,641	1,074,205	1,088,092
<i>OMH</i>	270,100	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	807,039	833,717	770,827	773,504	770,038	780,889
People with Developmental Disabilities, Office for	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
<i>OPWDD - Other</i>	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
Functional Total	2,262,595	2,356,115	2,255,424	2,257,513	2,267,943	2,293,512
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,037	2,042	2,333	2,365	2,414	2,414
Correctional Services, Department of	2,102,399	2,166,752	2,080,261	2,090,116	2,092,872	2,100,027
Criminal Justice Services, Division of	26,862	24,588	25,821	25,582	25,582	25,582

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Disaster Assistance	(9,310)	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	14,217	13,037	14,723	14,628	14,628	14,628
Indigent Legal Services, Office of	928	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,028	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	15,759	17,129	24,819	51,005	51,738	52,486
State Police, Division of	571,632	618,908	660,271	663,346	662,346	662,346
Statewide Financial System	9,622	10,234	11,522	11,350	11,350	11,350
Victim Services, Office of	3,162	3,154	3,191	3,176	3,176	3,176
Functional Total	2,741,336	2,859,495	2,829,426	2,868,053	2,870,622	2,878,560
HIGHER EDUCATION						
City University of New York	48,140	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	146	133	198	198	198	198
Higher Education Services Corporation, New York State	16,369	15,253	15,400	14,104	14,104	14,104
State University of New York	3,502,895	3,628,295	3,683,420	3,712,200	3,765,056	3,821,795
Functional Total	3,567,550	3,690,551	3,744,420	3,772,498	3,825,956	3,883,307
EDUCATION						
Arts, Council on the	2,132	2,253	2,498	2,498	2,498	2,498
Education, Department of	84,014	85,429	87,793	87,387	87,387	87,259
<i>All Other</i>	84,014	85,429	87,793	87,387	87,387	87,259
Functional Total	86,146	87,682	90,291	89,885	89,885	89,757
GENERAL GOVERNMENT						
Budget, Division of the	20,223	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	12,046	11,662	12,395	12,384	12,497	12,591
Deferred Compensation Board	305	304	410	410	410	413
Elections, State Board of	4,680	5,639	5,946	5,875	6,018	6,063
Employee Relations, Office of	2,178	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	30,748	31,549	35,480	38,757	38,757	38,757
General Services, Office of	62,120	71,454	74,437	74,079	74,079	74,079
Inspector General, Office of the	6,217	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,402	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,267	1,351	1,400	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	2,899	3,480	4,620	4,620	4,646	4,681
State, Department of	27,970	28,886	29,148	29,477	29,477	29,477
Tax Appeals, Division of	2,688	2,763	2,898	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	292,613	273,933	269,907	269,907	269,907
Technology, Office for	277,996	283,573	289,756	284,801	284,801	284,801
Veterans' Affairs, Division of	4,937	4,731	5,937	5,879	5,938	5,938
Welfare Inspector General, Office of	472	511	617	617	621	626
Workers' Compensation Board	77,315	78,723	76,555	80,878	81,493	82,104
Functional Total	829,159	855,931	855,890	854,353	855,407	856,333
ELECTED OFFICIALS						
Audit and Control, Department of	109,351	111,792	115,053	113,609	113,609	113,687
Executive Chamber	10,621	10,669	11,469	11,813	11,813	11,813
Judiciary	1,479,075	1,509,383	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	113,123	119,099	118,217	118,516	119,097	120,450
Legislature	160,777	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	336	513	523	523	523
Functional Total	1,873,246	1,918,723	1,968,483	1,967,692	1,993,673	1,997,604
ALL OTHER CATEGORIES						
Miscellaneous	2,204	1,982	2,177	2,178	2,183	2,188
Functional Total	2,204	1,982	2,177	2,178	2,183	2,188
TOTAL PERSONAL SERVICE SPENDING	12,549,590	12,980,750	12,941,312	13,006,851	13,109,249	13,215,773

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	24,524	26,822	15,024	7,828	23,566	23,566
Alcoholic Beverage Control, Division of	5,438	4,879	4,333	4,536	4,536	4,536
Economic Development, Department of	8,570	8,490	8,042	7,242	7,242	7,242
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	1,219	407	0	0	0	0
Financial Services, Department of	53,504	52,930	56,448	55,007	55,007	58,209
Olympic Regional Development Authority	463	498	338	338	338	338
Public Service Department	7,736	8,625	7,461	7,666	7,666	7,666
Functional Total	101,504	102,651	91,646	82,617	98,355	101,557
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	383	261	305	305	305	305
Environmental Conservation, Department of	55,389	56,314	54,611	55,445	55,793	56,379
Parks, Recreation and Historic Preservation, Office of	45,581	45,242	45,056	45,204	44,204	44,204
Functional Total	101,353	101,817	99,972	100,954	100,302	100,888
TRANSPORTATION						
Motor Vehicles, Department of	14,808	15,713	14,137	14,127	14,127	14,127
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	15,522	12,181	13,461	13,584	13,584	13,584
Functional Total	48,671	44,463	27,598	27,711	27,711	27,711
HEALTH						
Aging, Office for the	180	166	107	107	107	107
Health, Department of	315,468	429,789	517,795	535,129	535,069	536,772
<i>Essential Plan</i>	0	12,969	46,450	38,342	38,244	39,432
<i>Medicaid Administration</i>	133,480	239,528	302,288	329,603	329,603	329,603
<i>Public Health</i>	181,988	177,292	169,057	167,184	167,222	167,737
Medicaid Inspector General, Office of the	4,204	3,998	4,079	4,079	4,079	4,079
Stem Cell and Innovation	32,926	0	0	0	0	0
Functional Total	352,778	433,953	521,981	539,315	539,255	540,958
SOCIAL WELFARE						
Children and Family Services, Office of	93,616	95,323	82,691	84,629	89,569	89,569
<i>OCFS</i>	93,616	95,323	82,691	84,629	89,569	89,569
Housing and Community Renewal, Division of	12,041	11,542	8,845	8,843	8,843	8,843
Human Rights, Division of	1,663	865	460	460	460	460
Labor, Department of	15,895	13,986	13,901	13,901	13,901	13,901
National and Community Service	8	5	9	9	9	9
Temporary and Disability Assistance, Office of	71,788	77,147	62,195	56,379	56,379	57,551
<i>All Other</i>	71,788	77,147	62,195	56,379	56,379	57,551
Functional Total	195,011	198,868	168,101	164,221	169,161	170,333
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,421	26,107	18,630	19,146	19,681	20,207
<i>OASAS</i>	9,937	14,049	7,712	8,024	8,239	8,477
<i>OASAS - Other</i>	11,484	12,058	10,918	11,122	11,442	11,730
Justice Center	10,625	11,696	9,151	10,050	10,307	10,574
Mental Health, Office of	306,429	294,057	272,464	283,116	288,261	294,083
<i>OMH</i>	66,251	64,988	47,464	49,072	50,553	51,922
<i>OMH - Other</i>	240,178	229,069	225,000	234,044	237,708	242,161
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	261,675	215,836	209,204	211,587	216,206	220,953
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	261,618	215,819	209,023	211,406	216,025	220,772
Functional Total	600,378	547,915	509,449	523,899	534,455	545,817

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	185	255	347	286	237	237
Correctional Services, Department of	538,752	525,761	551,996	565,046	565,046	565,046
Criminal Justice Services, Division of	10,801	11,451	13,218	13,211	13,211	13,211
Disaster Assistance	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	23,115	18,594	11,128	11,128	11,128	11,128
Indigent Legal Services, Office of	123	238	535	535	535	535
Judicial Conduct, Commission on	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	8,502	8,922	11,032	19,952	19,952	19,952
State Police, Division of	95,145	74,403	73,971	69,848	69,848	69,848
Statewide Financial System	22,337	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	550	379	775	775	775	775
Functional Total	699,475	611,211	683,160	700,945	700,924	700,954
HIGHER EDUCATION						
City University of New York	31,913	40,599	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	71	65	93	93	93	93
Higher Education Services Corporation, New York State	26,876	28,510	25,977	25,977	25,977	25,977
State University of New York	2,270,909	2,238,025	2,241,845	2,281,727	2,326,938	2,373,827
Functional Total	2,329,769	2,307,199	2,308,147	2,348,833	2,394,865	2,442,591
EDUCATION						
Arts, Council on the	1,180	1,234	1,822	1,822	1,822	1,822
Education, Department of	49,477	45,211	65,656	55,271	55,271	55,215
<i>All Other</i>	49,477	45,211	65,656	55,271	55,271	55,215
Functional Total	50,657	46,445	67,478	57,093	57,093	57,037
GENERAL GOVERNMENT						
Budget, Division of the	2,611	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	999	1,615	1,621	821	834	850
Deferred Compensation Board	56	73	231	231	231	235
Elections, State Board of	1,167	2,186	2,573	2,607	2,569	2,634
Employee Relations, Office of	32	47	71	71	72	73
Gaming Commission, New York State	103,569	115,263	117,640	118,971	118,971	118,971
General Services, Office of	89,654	85,485	89,127	86,919	87,024	87,024
Inspector General, Office of the	852	831	815	815	827	839
Labor Management Committees	17,696	18,263	19,054	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	87	113	222	208	208	208
Public Employment Relations Board	221	226	236	237	241	246
Public Integrity, Commission on	729	852	911	911	930	949
State, Department of	13,895	14,446	18,551	14,296	13,996	13,996
Tax Appeals, Division of	161	272	170	170	170	170
Taxation and Finance, Department of	62,365	43,161	57,878	59,217	59,225	59,217
Technology, Office for	148,419	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	407	336	292	292	298	298
Welfare Inspector General, Office of	101	58	55	55	65	75
Workers' Compensation Board	64,681	60,293	60,729	60,729	61,897	63,089
Functional Total	507,702	568,856	622,870	651,181	663,919	665,200

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	31,504	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	429,661	449,248	468,600	468,600	468,600	468,600
Law, Department of	52,318	49,676	53,739	54,541	55,290	56,383
Legislature	46,027	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	163	101	91	91	91
Functional Total	<u>562,922</u>	<u>579,147</u>	<u>610,415</u>	<u>610,513</u>	<u>611,262</u>	<u>612,460</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	100	0	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	38,654	36,616	38,907	49,313	49,313	49,313
Miscellaneous	18,548	22,644	22,646	237,647	23,658	1,669
Functional Total	<u>57,202</u>	<u>59,260</u>	<u>61,553</u>	<u>286,960</u>	<u>72,971</u>	<u>50,982</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>5,607,522</u>	<u>5,601,785</u>	<u>5,772,370</u>	<u>6,094,242</u>	<u>5,970,273</u>	<u>6,016,488</u>

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,378	1,517	1,781	1,626	1,695	1,739
Alcoholic Beverage Control, Division of	4,541	4,776	121	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	1,604	535	0	0	0	0
Financial Services, Department of	76,375	77,436	89,783	96,517	98,502	101,235
Olympic Regional Development Authority	0	20	0	0	0	0
Public Service Department	21,588	18,992	23,507	25,752	26,287	27,017
Functional Total	105,486	103,276	115,220	123,923	126,512	130,019
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,247	45,186	48,612	51,092	52,309	49,174
Parks, Recreation and Historic Preservation, Office of	2,829	2,863	3,359	3,559	3,559	3,559
Functional Total	48,076	48,049	51,971	54,651	55,868	52,733
TRANSPORTATION						
Motor Vehicles, Department of	22,591	24,365	19,957	20,656	20,734	20,734
Transportation, Department of	4,242	3,638	4,186	4,558	4,558	4,558
Functional Total	26,833	28,003	24,143	25,214	25,292	25,292
HEALTH						
Health, Department of	30,886	31,572	31,302	30,764	31,398	32,319
<i>Public Health</i>	30,886	31,572	31,302	30,764	31,398	32,319
Stem Cell and Innovation	189	0	0	0	0	0
Functional Total	31,075	31,572	31,302	30,764	31,398	32,319
SOCIAL WELFARE						
Children and Family Services, Office of	371	2,929	2,089	2,128	2,152	2,182
<i>OCFS</i>	371	2,929	2,089	2,128	2,152	2,182
Housing and Community Renewal, Division of	14,960	15,976	16,424	16,381	16,381	16,381
Labor, Department of	17,657	14,040	17,158	18,450	18,450	18,450
Temporary and Disability Assistance, Office of	0	70	200	0	0	0
<i>All Other</i>	0	70	200	0	0	0
Functional Total	32,988	33,015	35,871	36,959	36,983	37,013
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	31,721	32,043	34,256	36,291	37,784	39,163
<i>OASAS</i>	13,472	13,118	14,735	17,161	17,418	18,088
<i>OASAS - Other</i>	18,249	18,925	19,521	19,130	20,366	21,075
Justice Center	582	630	739	802	880	898
Mental Health, Office of	606,158	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	196,274	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	409,884	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	629,482	620,685	637,332	678,171	689,887	714,679
<i>OPWDD - Other</i>	629,482	620,685	637,332	678,171	689,887	714,679
Functional Total	1,267,943	1,258,812	1,280,497	1,341,721	1,391,313	1,439,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	80	92	132	136	136	136
Criminal Justice Services, Division of	60	41	88	88	88	88
Homeland Security and Emergency Services, Division of	387	507	860	873	896	896
Indigent Legal Services, Office of	515	599	956	956	956	956
Military and Naval Affairs, Division of	317	(7)	14	14	14	14
State Police, Division of	2,619	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,591	1,535	2,190	2,190	2,190	2,190
Functional Total	5,569	5,206	21,818	27,577	28,179	29,089

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
HIGHER EDUCATION						
City University of New York	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	83	61	99	99	99	99
Higher Education Services Corporation, New York State	13,961	8,617	10,566	10,978	10,978	10,978
State University of New York	534,365	411,631	375,751	381,207	386,745	392,366
Functional Total	556,126	428,201	394,308	400,176	405,714	411,335
EDUCATION						
Education, Department of	32,716	32,021	34,239	35,854	36,600	37,532
<i>All Other</i>	32,716	32,021	34,239	35,854	36,600	37,532
Functional Total	32,716	32,021	34,239	35,854	36,600	37,532
GENERAL GOVERNMENT						
Budget, Division of the	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	151	147	176	176	176	176
Deferred Compensation Board	166	147	225	225	225	225
Gaming Commission, New York State	14,956	12,895	15,836	17,575	17,575	17,575
General Services, Office of	2,208	2,589	448	469	494	494
State, Department of	9,433	8,372	10,072	10,450	10,647	10,917
Taxation and Finance, Department of	31,785	18,059	20,715	20,536	20,703	20,536
Workers' Compensation Board	46,013	44,194	51,448	53,402	53,402	53,402
Functional Total	105,687	87,234	100,477	104,490	104,879	104,982
ELECTED OFFICIALS						
Audit and Control, Department of	1,634	1,494	2,201	2,324	2,385	2,385
Judiciary	659,912	685,300	710,300	771,253	780,853	778,353
Law, Department of	13,613	16,644	17,896	18,773	20,254	20,531
Functional Total	675,159	703,438	730,397	792,350	803,492	801,269
ALL OTHER CATEGORIES						
General State Charges	4,131,686	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Miscellaneous	13,902	12,039	5,716	5,719	5,719	5,719
Functional Total	4,145,588	4,693,638	4,885,665	5,269,245	5,633,616	6,100,247
TOTAL GENERAL STATE CHARGES SPENDING	7,033,246	7,452,465	7,705,908	8,242,924	8,679,846	9,201,049

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	1,106	1,749	2,500	2,475	0	0
Functional Total	<u>1,106</u>	<u>1,749</u>	<u>2,500</u>	<u>2,475</u>	<u>0</u>	<u>0</u>
TRANSPORTATION						
Motor Vehicles, Department of	115	0	0	0	0	0
Transportation, Department of	101	0	0	0	0	0
Functional Total	<u>216</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>1,322</u></u>	<u><u>1,749</u></u>	<u><u>2,500</u></u>	<u><u>2,475</u></u>	<u><u>0</u></u>	<u><u>0</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	11,002	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	10,509	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	8,012	1,569	13,433	3,274	14,150	0
Empire State Development Corporation	442,329	680,080	1,420,915	1,534,653	1,275,682	1,136,582
Energy Research and Development Authority	9,075	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	6,900	7,500	7,500	0	0	0
Power Authority, New York	0	0	2,500	2,500	1,244	0
Regional Economic Development Program	3,071	2,787	1,500	512	356	355
Strategic Investment Program	1,030	1,427	6,000	6,000	7,371	7,000
Functional Total	491,928	716,136	1,507,299	1,603,215	1,341,777	1,183,937
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	539,496	536,048	672,052	796,408	802,032	751,032
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	97,713	141,328	136,322	127,650	127,650	127,650
Functional Total	637,258	680,828	808,374	924,058	929,682	878,682
TRANSPORTATION						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	182,073	189,879	205,120	204,080	206,609	206,950
Thruway Authority, New York State	3,570	1,379	0	0	0	0
Transportation, Department of	4,238,172	4,327,332	4,534,216	4,566,392	4,628,499	4,691,722
Functional Total	4,423,815	4,518,590	5,251,507	5,414,157	5,085,108	5,248,672
HEALTH						
Health, Department of	117,235	107,158	266,957	607,289	686,289	554,289
<i>Public Health</i>	117,235	107,158	266,957	607,289	686,289	554,289
Functional Total	117,235	107,158	266,957	607,289	686,289	554,289
SOCIAL WELFARE						
Children and Family Services, Office of	19,537	21,186	20,939	20,931	20,931	20,931
<i>OCFS</i>	19,537	21,186	20,939	20,931	20,931	20,931
Housing and Community Renewal, Division of	82,202	83,880	176,227	327,611	542,942	567,792
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	32,711	45,876	63,400	57,400	57,400	57,400
<i>All Other</i>	32,711	45,876	63,400	57,400	57,400	57,400
Functional Total	134,450	150,942	273,566	435,942	648,273	666,123
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	35,646	44,857	66,295	62,317	56,335	56,360
<i>OASAS</i>	35,646	44,857	66,295	62,317	56,335	56,360
Mental Health, Office of	140,754	159,048	216,914	220,445	220,944	221,639
<i>OMH</i>	140,754	159,048	216,914	220,445	220,944	221,639
People with Developmental Disabilities, Office for	47,952	39,094	74,497	74,872	75,194	75,643
<i>OPWDD</i>	47,952	39,094	74,497	74,872	75,194	75,643
Functional Total	224,352	242,999	357,706	357,634	352,473	353,642
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	220,308	260,188	298,120	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	70,333	104,394	96,656	27,500	6,653	5,000
Military and Naval Affairs, Division of	29,277	38,115	50,236	41,591	35,019	24,100
State Police, Division of	7,593	19,121	34,411	47,280	36,900	25,910
Functional Total	327,511	421,818	479,423	424,435	368,636	348,074
HIGHER EDUCATION						
City University of New York	30,141	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	2,086	136	20,000	25,000	22,000	13,000

CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
State University of New York	916,830	945,565	892,249	877,249	842,249	822,249
Functional Total	949,057	979,161	947,249	937,649	900,149	871,869
EDUCATION						
Education, Department of	19,081	21,325	376,692	559,100	391,400	339,157
<i>School Aid</i>	0	0	350,000	500,000	350,000	300,000
<i>All Other</i>	19,081	21,325	26,692	59,100	41,400	39,157
Functional Total	19,081	21,325	376,692	559,100	391,400	339,157
GENERAL GOVERNMENT						
General Services, Office of	118,000	101,785	131,618	115,383	129,883	98,883
State, Department of	0	2,065	2,000	2,000	2,000	2,000
Technology, Office for	30,763	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	0	3,117	10,000	15,000	15,000	15,000
Functional Total	148,763	202,278	215,868	189,298	177,583	145,883
ELECTED OFFICIALS						
Audit and Control, Department of	0	0	4,600	1,400	0	0
Law, Department of	2,379	1,449	5,002	5,000	3,621	2,500
Functional Total	2,379	1,449	9,602	6,400	3,621	2,500
ALL OTHER CATEGORIES						
Miscellaneous	71,902	212,525	216,721	312,759	303,081	300,000
Special Infrastructure Account	0	725,592	698,615	1,647,241	1,077,610	810,750
Functional Total	71,902	938,117	915,336	1,960,000	1,380,691	1,110,750
TOTAL CAPITAL PROJECTS FUNDS SPENDING	7,547,731	8,980,801	11,409,579	13,419,177	12,265,682	11,703,578

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
<i>Agriculture and Markets, Department of</i>	104,699	103,855	98,224	86,911	99,288	99,367
Local Assistance Grants	25,275	27,279	28,267	29,026	25,612	25,612
State Operations	64,890	68,227	57,429	50,212	65,908	65,882
Personal Service	31,660	32,116	32,937	32,596	32,651	32,656
Non-Personal Service/Indirect Costs	33,230	36,111	24,492	17,616	33,257	33,226
General State Charges	3,532	3,573	3,527	3,673	3,768	3,873
Capital Projects	11,002	4,776	9,001	4,000	4,000	4,000
<i>Alcoholic Beverage Control, Division of</i>	17,636	17,277	12,724	12,683	12,683	12,744
State Operations	13,095	12,501	12,603	12,683	12,683	12,744
Personal Service	7,657	7,622	8,270	8,147	8,147	8,208
Non-Personal Service/Indirect Costs	5,438	4,879	4,333	4,536	4,536	4,536
General State Charges	4,541	4,776	121	0	0	0
<i>Economic Development Capital</i>	10,509	6,614	23,000	29,276	24,250	23,000
Local Assistance Grants	8,524	6,614	0	0	0	0
Capital Projects	1,985	0	23,000	29,276	24,250	23,000
<i>Economic Development, Department of</i>	70,646	92,494	99,207	91,552	102,428	88,278
Local Assistance Grants	50,363	71,410	64,130	67,434	67,434	67,434
State Operations	20,151	21,056	21,616	20,816	20,816	20,816
Personal Service	11,493	11,974	13,329	13,329	13,329	13,329
Non-Personal Service/Indirect Costs	8,658	9,082	8,287	7,487	7,487	7,487
General State Charges	0	0	28	28	28	28
Capital Projects	132	28	13,433	3,274	14,150	0
<i>Empire State Development Corporation</i>	527,613	738,836	1,564,750	1,681,999	1,423,028	1,283,928
Local Assistance Grants	461,088	705,116	1,319,449	1,309,321	979,250	916,250
State Operations	50	0	0	0	0	0
Non-Personal Service/Indirect Costs	50	0	0	0	0	0
Capital Projects	66,475	33,720	245,301	372,678	443,778	367,678
<i>Energy Research and Development Authority</i>	21,047	15,191	23,450	23,000	14,724	13,000
Local Assistance Grants	5,527	1,842	0	0	0	0
State Operations	4,841	1,431	0	0	0	0
Personal Service	3,622	1,024	0	0	0	0
Non-Personal Service/Indirect Costs	1,219	407	0	0	0	0
General State Charges	1,604	535	0	0	0	0
Capital Projects	9,075	11,383	23,450	23,000	14,724	13,000
<i>Financial Services, Department of</i>	493,855	361,476	359,636	354,226	356,211	362,831
Local Assistance Grants	223,476	80,686	57,174	45,749	45,749	45,749
State Operations	193,325	203,339	212,679	211,960	211,960	215,847
Personal Service	138,372	149,000	156,231	156,953	156,953	157,638
Non-Personal Service/Indirect Costs	54,953	54,339	56,448	55,007	55,007	58,209
General State Charges	77,054	77,451	89,783	96,517	98,502	101,235
<i>Olympic Regional Development Authority</i>	9,911	10,611	10,386	2,886	2,886	2,886
State Operations	3,011	3,091	2,886	2,886	2,886	2,886
Personal Service	2,548	2,593	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	463	498	338	338	338	338
General State Charges	0	20	0	0	0	0
Capital Projects	6,900	7,500	7,500	0	0	0
<i>Power Authority, New York</i>	0	0	2,500	2,500	1,244	0
Capital Projects	0	0	2,500	2,500	1,244	0
<i>Public Service Department</i>	71,807	71,087	75,498	77,455	77,990	78,720
Local Assistance Grants	0	0	172	172	172	172
State Operations	49,158	51,548	51,119	50,811	50,811	50,811

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	41,245	42,792	43,618	43,105	43,105	43,105
Non-Personal Service/Indirect Costs	7,913	8,756	7,501	7,706	7,706	7,706
General State Charges	22,649	19,539	24,207	26,472	27,007	27,737
Regional Economic Development Program	3,071	2,787	1,500	512	356	355
Local Assistance Grants	3,071	2,787	0	0	0	0
Capital Projects	0	0	1,500	512	356	355
Strategic Investment Program	1,030	1,427	6,000	6,000	7,371	7,000
Local Assistance Grants	1,030	1,427	0	0	0	0
Capital Projects	0	0	6,000	6,000	7,371	7,000
Functional Total	1,331,824	1,421,655	2,276,875	2,369,000	2,122,459	1,972,109
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,416	4,350	4,747	4,763	4,763	4,763
State Operations	4,416	4,350	4,747	4,763	4,763	4,763
Personal Service	3,893	4,036	4,092	4,108	4,108	4,108
Non-Personal Service/Indirect Costs	523	314	655	655	655	655
Environmental Conservation, Department of	873,136	878,293	1,012,603	1,140,458	1,148,033	1,094,601
Local Assistance Grants	307,428	312,669	205,856	204,305	204,390	204,390
State Operations	271,334	280,475	275,830	277,600	278,229	278,932
Personal Service	201,672	207,472	204,093	205,029	205,310	205,427
Non-Personal Service/Indirect Costs	69,662	73,003	71,737	72,571	72,919	73,505
General State Charges	59,417	57,508	59,647	62,145	63,382	60,247
Capital Projects	234,957	227,641	471,270	596,408	602,032	551,032
Hudson River Park Trust	49	3,452	0	0	0	0
Capital Projects	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	295,236	344,507	333,019	326,405	323,907	325,218
Local Assistance Grants	9,722	13,682	10,765	9,470	9,470	9,470
State Operations	183,841	184,894	179,443	182,621	182,598	183,909
Personal Service	136,026	137,415	133,242	136,272	137,249	138,560
Non-Personal Service/Indirect Costs	47,815	47,479	46,201	46,349	45,349	45,349
General State Charges	2,829	2,863	3,989	4,189	4,189	4,189
Capital Projects	98,844	143,068	138,822	130,125	127,650	127,650
Functional Total	1,172,837	1,230,602	1,350,369	1,471,626	1,476,703	1,424,582
TRANSPORTATION						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Local Assistance Grants	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	280,755	292,446	302,389	302,493	305,100	305,441
Local Assistance Grants	14,536	14,681	18,000	18,000	18,000	18,000
State Operations	60,675	62,922	57,136	57,520	57,520	57,520
Personal Service	44,853	44,887	39,186	39,580	39,580	39,580
Non-Personal Service/Indirect Costs	15,822	18,035	17,950	17,940	17,940	17,940
General State Charges	23,356	24,964	22,133	22,893	22,971	22,971
Capital Projects	182,188	189,879	205,120	204,080	206,609	206,950
Thruway Authority, New York State	21,911	17,948	0	0	0	0
Local Assistance Grants	3,570	1,379	0	0	0	0
State Operations	18,341	16,569	0	0	0	0
Non-Personal Service/Indirect Costs	18,341	16,569	0	0	0	0
Transportation, Department of	9,152,775	9,124,697	9,538,572	9,664,401	9,793,969	9,947,560
Local Assistance Grants	5,910,854	5,680,162	6,176,264	6,143,679	6,198,104	6,285,814
State Operations	28,374	23,687	29,005	32,877	32,877	32,877

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	10,883	10,209	12,740	12,903	12,903	12,903
Non-Personal Service/Indirect Costs	17,491	13,478	16,265	19,974	19,974	19,974
General State Charges	6,659	5,745	7,139	8,248	8,248	8,248
Capital Projects	3,206,888	3,415,103	3,326,164	3,479,597	3,554,740	3,620,621
Functional Total	9,455,441	9,435,091	10,353,132	10,610,579	10,349,069	10,603,001
HEALTH						
Aging, Office for the	232,122	229,479	250,316	242,464	229,306	234,529
Local Assistance Grants	223,719	217,793	238,591	230,824	220,822	226,045
State Operations	8,403	11,686	11,725	11,640	8,484	8,484
Personal Service	6,648	7,025	7,370	7,285	7,285	7,285
Non-Personal Service/Indirect Costs	1,755	4,661	4,355	4,355	1,199	1,199
Health, Department of	51,263,366	55,632,709	58,364,991	61,914,530	64,400,077	66,934,310
Medical Assistance	45,668,670	48,094,607	48,505,654	51,182,685	53,269,293	55,561,564
Local Assistance Grants	45,676,218	48,094,607	48,505,654	51,182,685	53,269,293	55,561,564
State Operations	(7,548)	0	0	0	0	0
Non-Personal Service/Indirect Costs	(7,548)	0	0	0	0	0
Essential Plan	0	1,539,298	3,730,712	4,217,722	4,499,721	4,884,153
Local Assistance Grants	0	1,525,938	3,682,887	4,177,964	4,460,019	4,843,219
State Operations	0	13,360	47,825	39,758	39,702	40,934
Personal Service	0	391	1,375	1,416	1,458	1,502
Non-Personal Service/Indirect Costs	0	12,969	46,450	38,342	38,244	39,432
Medicaid Administration	1,336,706	1,666,498	1,635,627	1,649,664	1,629,961	1,625,036
Local Assistance Grants	959,834	1,005,222	802,139	786,742	770,344	738,947
State Operations	376,872	658,002	833,488	862,922	859,617	886,089
Personal Service	54,236	61,581	68,873	80,120	91,972	99,062
Non-Personal Service/Indirect Costs	322,636	596,421	764,615	782,802	767,645	787,027
General State Charges	0	3,274	0	0	0	0
Public Health	4,257,990	4,332,306	4,492,998	4,864,459	5,001,102	4,863,557
Local Assistance Grants	3,348,072	3,555,898	3,716,405	4,142,383	4,277,510	4,137,255
State Operations	795,813	653,045	631,893	619,425	619,419	619,935
Personal Service	277,587	284,476	274,660	266,591	266,538	266,531
Non-Personal Service/Indirect Costs	518,226	368,569	357,233	352,834	352,881	353,404
General State Charges	64,555	62,215	62,743	63,362	64,884	67,078
Debt Service	1	0	0	0	0	0
Capital Projects	49,549	61,148	81,957	39,289	39,289	39,289
Medicaid Inspector General, Office of the	51,887	51,999	50,939	50,899	51,159	51,522
State Operations	42,723	43,045	42,215	41,673	41,673	41,673
Personal Service	33,243	33,122	32,298	31,756	31,756	31,756
Non-Personal Service/Indirect Costs	9,480	9,923	9,917	9,917	9,917	9,917
General State Charges	9,164	8,954	8,724	9,226	9,486	9,849
Stem Cell and Innovation	33,483	0	0	0	0	0
State Operations	33,294	0	0	0	0	0
Personal Service	368	0	0	0	0	0
Non-Personal Service/Indirect Costs	32,926	0	0	0	0	0
General State Charges	189	0	0	0	0	0
Functional Total	51,580,858	55,914,187	58,666,246	62,207,893	64,680,542	67,220,361
SOCIAL WELFARE						
Children and Family Services, Office of	3,047,919	3,015,520	3,119,031	3,022,016	3,085,720	3,113,172
OCFS	2,961,920	2,926,598	3,021,731	2,924,911	2,984,431	3,007,640

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Local Assistance Grants	2,591,873	2,543,854	2,645,002	2,542,810	2,593,751	2,616,412
State Operations	342,071	344,820	337,965	341,677	349,830	349,830
Personal Service	195,309	190,637	192,883	191,365	193,270	193,270
Non-Personal Service/Indirect Costs	146,762	154,183	145,082	150,312	156,560	156,560
General State Charges	8,439	16,738	17,825	19,493	19,919	20,467
Capital Projects	19,537	21,186	20,939	20,931	20,931	20,931
OCFS - Other	85,999	88,922	97,300	97,105	101,289	105,532
Local Assistance Grants	85,999	88,922	97,300	97,105	101,289	105,532
<i>Housing and Community Renewal, Division of</i>	221,841	217,494	310,992	485,925	706,422	736,272
Local Assistance Grants	142,716	139,769	227,912	403,130	623,461	653,311
State Operations	60,289	58,235	59,652	59,426	59,550	59,550
Personal Service	45,865	45,570	48,199	47,923	47,998	47,998
Non-Personal Service/Indirect Costs	14,424	12,665	11,453	11,503	11,552	11,552
General State Charges	18,836	19,490	20,428	20,369	20,411	20,411
Capital Projects	0	0	3,000	3,000	3,000	3,000
<i>Human Rights, Division of</i>	14,282	14,639	14,369	14,289	14,343	14,343
State Operations	14,221	14,639	14,369	14,289	14,343	14,343
Personal Service	11,574	11,899	12,679	12,567	12,596	12,596
Non-Personal Service/Indirect Costs	2,647	2,740	1,690	1,722	1,747	1,747
General State Charges	61	0	0	0	0	0
<i>Labor, Department of</i>	618,986	546,390	583,730	572,612	572,612	572,612
Local Assistance Grants	154,836	168,509	170,715	158,475	158,475	158,475
State Operations	341,976	271,348	298,079	298,044	298,044	298,044
Personal Service	214,782	202,854	205,934	203,485	203,485	203,485
Non-Personal Service/Indirect Costs	127,194	68,494	92,145	94,559	94,559	94,559
General State Charges	122,174	106,533	114,936	116,093	116,093	116,093
<i>National and Community Service</i>	17,696	15,100	15,118	16,253	16,564	16,571
Local Assistance Grants	450	142	350	350	350	350
State Operations	17,246	14,958	14,567	15,679	15,985	15,985
Personal Service	538	556	698	701	708	708
Non-Personal Service/Indirect Costs	16,708	14,402	13,869	14,978	15,277	15,277
General State Charges	0	0	201	224	229	236
<i>Nonprofit Infrastructure Capital Investment Program</i>	0	0	13,000	30,000	27,000	20,000
Capital Projects	0	0	13,000	30,000	27,000	20,000
<i>Temporary and Disability Assistance, Office of</i>	5,052,460	5,289,204	5,106,691	5,145,014	5,165,985	5,182,116
Welfare Assistance	3,770,610	3,985,752	3,794,769	3,837,699	3,849,699	3,851,699
Local Assistance Grants	3,770,610	3,985,752	3,794,769	3,837,699	3,849,699	3,851,699
All Other	1,281,850	1,303,452	1,311,922	1,307,315	1,316,286	1,330,417
Local Assistance Grants	961,148	974,149	973,905	974,996	981,396	989,196
State Operations	280,916	289,826	292,444	286,946	289,517	295,848
Personal Service	141,847	142,685	147,386	145,924	146,740	151,899
Non-Personal Service/Indirect Costs	139,069	147,141	145,058	141,022	142,777	143,949
General State Charges	39,575	39,101	45,173	44,973	44,973	44,973
Capital Projects	211	376	400	400	400	400
Functional Total	8,973,184	9,098,347	9,162,931	9,286,109	9,588,646	9,655,086
MENTAL HYGIENE						
<i>Alcoholism and Substance Abuse Services, Office of</i>	562,022	594,596	618,573	643,193	656,634	679,270
OASAS	478,107	507,773	535,584	559,995	571,725	593,050
Local Assistance Grants	428,955	454,010	477,278	499,316	510,239	530,426
State Operations	35,757	40,645	38,599	38,518	39,268	39,836
Personal Service	24,674	24,709	28,789	28,396	28,899	29,193

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Non-Personal Service/Indirect Costs	11,083	15,936	9,810	10,122	10,369	10,643
General State Charges	13,472	13,118	14,735	17,161	17,418	18,088
Capital Projects	(77)	0	4,972	5,000	4,800	4,700
OASAS - Other	83,915	86,823	82,989	83,198	84,909	86,220
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	44,341	46,573	42,143	42,743	43,218	43,820
Personal Service	32,857	34,515	31,225	31,621	31,776	32,090
Non-Personal Service/Indirect Costs	11,484	12,058	10,918	11,122	11,442	11,730
General State Charges	18,249	18,925	19,521	19,130	20,366	21,075
Developmental Disabilities Planning Council	3,566	3,386	4,200	4,200	4,200	4,200
State Operations	3,006	2,932	3,499	3,456	3,415	3,415
Personal Service	991	838	1,257	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,015	2,094	2,242	2,190	2,149	2,149
General State Charges	560	454	701	744	785	785
Justice Center	32,151	40,205	40,803	43,263	43,599	43,884
Local Assistance Grants	600	544	681	649	649	649
State Operations	30,937	38,996	39,326	41,749	42,006	42,273
Personal Service	19,875	26,675	29,653	31,163	31,163	31,163
Non-Personal Service/Indirect Costs	11,062	12,321	9,673	10,586	10,843	11,110
General State Charges	614	665	796	865	944	962
Mental Health, Office of	3,323,614	3,359,196	3,397,957	3,452,715	3,678,457	3,805,852
OMH	1,519,657	1,560,431	1,691,480	1,702,394	1,890,484	1,975,016
Local Assistance Grants	879,567	951,573	1,016,672	1,015,781	1,188,070	1,262,128
State Operations	337,957	356,185	345,827	349,947	355,458	359,863
Personal Service	271,043	290,796	298,209	300,721	304,751	307,787
Non-Personal Service/Indirect Costs	66,914	65,389	47,618	49,226	50,707	52,076
General State Charges	196,822	150,200	170,150	177,304	187,095	192,469
Capital Projects	105,311	102,473	158,831	159,362	159,861	160,556
OMH - Other	1,803,957	1,798,765	1,706,477	1,750,321	1,787,973	1,830,836
Local Assistance Grants	346,856	280,236	272,311	293,290	304,220	315,423
State Operations	1,047,217	1,062,786	995,827	1,007,548	1,007,746	1,023,050
Personal Service	807,039	833,717	770,827	773,504	770,038	780,889
Non-Personal Service/Indirect Costs	240,178	229,069	225,000	234,044	237,708	242,161
General State Charges	409,884	455,743	438,339	449,483	476,007	492,363
Mental Hygiene, Department of	228	219	0	0	0	0
State Operations	228	219	0	0	0	0
Non-Personal Service/Indirect Costs	228	219	0	0	0	0
People with Developmental Disabilities, Office for	3,513,914	3,223,358	2,993,066	3,466,602	3,707,433	3,920,382
OPWDD	482,733	370,536	466,178	495,578	544,496	599,541
Local Assistance Grants	436,065	334,196	394,379	423,404	472,000	526,596
State Operations	931	296	1,181	1,181	1,181	1,181
Non-Personal Service/Indirect Costs	931	296	1,181	1,181	1,181	1,181
Capital Projects	45,737	36,044	70,618	70,993	71,315	71,764
OPWDD - Other	3,031,181	2,852,822	2,526,888	2,971,024	3,162,937	3,320,841
Local Assistance Grants	1,027,577	864,528	578,019	983,543	1,149,869	1,267,117
State Operations	1,374,122	1,367,609	1,311,537	1,309,310	1,323,181	1,339,045
Personal Service	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
Non-Personal Service/Indirect Costs	261,618	215,819	209,023	211,406	216,025	220,772
General State Charges	629,482	620,685	637,332	678,171	689,887	714,679
Functional Total	7,435,495	7,220,960	7,054,599	7,609,973	8,090,323	8,453,588

PUBLIC PROTECTION/CRIMINAL JUSTICE

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<i>Correction, Commission of</i>	2,222	2,297	2,680	2,651	2,651	2,651
State Operations	2,222	2,297	2,680	2,651	2,651	2,651
Personal Service	2,037	2,042	2,333	2,365	2,414	2,414
Non-Personal Service/Indirect Costs	185	255	347	286	237	237
<i>Correctional Services, Department of</i>	2,871,404	2,982,445	2,954,307	2,987,130	2,971,886	2,982,041
Local Assistance Grants	5,939	4,251	5,497	5,497	5,497	5,497
State Operations	2,644,227	2,717,010	2,649,231	2,672,106	2,674,862	2,682,017
Personal Service	2,104,270	2,190,226	2,096,044	2,105,869	2,108,625	2,115,780
Non-Personal Service/Indirect Costs	539,957	526,784	553,187	566,237	566,237	566,237
General State Charges	930	996	1,459	1,463	1,463	1,463
Capital Projects	220,308	260,188	298,120	308,064	290,064	293,064
<i>Criminal Justice Services, Division of</i>	217,312	224,078	241,203	230,643	230,643	230,643
Local Assistance Grants	173,080	180,062	186,337	176,051	176,051	176,051
State Operations	43,947	43,716	51,418	51,144	51,144	51,144
Personal Service	29,604	29,647	31,451	31,184	31,184	31,184
Non-Personal Service/Indirect Costs	14,343	14,069	19,967	19,960	19,960	19,960
General State Charges	285	300	3,448	3,448	3,448	3,448
<i>Disaster Assistance</i>	(8,011)	(51,789)	0	0	0	0
Local Assistance Grants	2,726	0	0	0	0	0
State Operations	(10,737)	(51,789)	0	0	0	0
Personal Service	(9,310)	(1,768)	0	0	0	0
Non-Personal Service/Indirect Costs	(1,427)	(50,021)	0	0	0	0
<i>Homeland Security and Emergency Services, Division of</i>	2,512,054	1,974,600	1,734,354	1,080,504	794,293	805,114
Local Assistance Grants	2,322,250	1,837,898	1,644,535	1,021,157	744,770	757,244
State Operations	138,904	120,000	45,291	39,058	39,058	39,058
Personal Service	35,509	27,041	21,343	21,118	21,118	21,118
Non-Personal Service/Indirect Costs	103,395	92,959	23,948	17,940	17,940	17,940
General State Charges	8,958	10,022	3,847	3,789	3,812	3,812
Capital Projects	41,942	6,680	40,681	16,500	6,653	5,000
<i>Indigent Legal Services, Office of</i>	52,689	60,116	86,695	105,295	105,295	105,295
Local Assistance Grants	51,123	58,068	83,000	101,600	101,600	101,600
State Operations	1,051	1,449	2,739	2,739	2,739	2,739
Personal Service	928	1,211	2,204	2,204	2,204	2,204
Non-Personal Service/Indirect Costs	123	238	535	535	535	535
General State Charges	515	599	956	956	956	956
<i>Judicial Conduct, Commission on</i>	5,384	5,567	5,584	5,584	5,643	5,708
State Operations	5,384	5,567	5,584	5,584	5,643	5,708
Personal Service	4,028	4,208	4,281	4,281	4,312	4,347
Non-Personal Service/Indirect Costs	1,356	1,359	1,303	1,303	1,331	1,361
<i>Judicial Nomination, Commission on</i>	24	20	30	30	30	30
State Operations	24	20	30	30	30	30
Non-Personal Service/Indirect Costs	24	20	30	30	30	30
<i>Judicial Screening Committees, New York State</i>	12	14	38	38	38	38
State Operations	12	14	38	38	38	38
Non-Personal Service/Indirect Costs	12	14	38	38	38	38
<i>Military and Naval Affairs, Division of</i>	112,072	102,534	130,616	157,402	152,034	141,863
Local Assistance Grants	724	805	911	820	820	820
State Operations	65,488	57,893	71,134	106,240	106,973	107,721
Personal Service	42,930	35,517	46,812	72,998	73,731	74,479
Non-Personal Service/Indirect Costs	22,558	22,376	24,322	33,242	33,242	33,242
General State Charges	16,583	5,721	8,335	8,751	9,222	9,222
Capital Projects	29,277	38,115	50,236	41,591	35,019	24,100
<i>State Police, Division of</i>	697,545	733,485	835,320	832,294	821,493	811,413

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Local Assistance Grants	0	0	6,000	0	0	0
State Operations	686,358	710,507	775,742	760,194	759,194	759,194
Personal Service	582,199	629,333	667,271	670,346	669,346	669,346
Non-Personal Service/Indirect Costs	104,159	81,174	108,471	89,848	89,848	89,848
General State Charges	3,594	3,857	19,167	24,820	25,399	26,309
Capital Projects	7,593	19,121	34,411	47,280	36,900	25,910
Statewide Financial System	31,959	30,070	30,309	30,143	30,143	30,143
State Operations	31,959	30,070	30,309	30,143	30,143	30,143
Personal Service	9,622	10,234	11,522	11,350	11,350	11,350
Non-Personal Service/Indirect Costs	22,337	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	61,976	64,276	66,263	76,090	76,090	76,090
Local Assistance Grants	55,492	58,114	57,547	67,407	67,407	67,407
State Operations	4,877	4,627	6,154	6,121	6,121	6,121
Personal Service	4,171	4,074	4,867	4,834	4,834	4,834
Non-Personal Service/Indirect Costs	706	553	1,287	1,287	1,287	1,287
General State Charges	1,607	1,535	2,562	2,562	2,562	2,562
Functional Total	6,556,642	6,127,713	6,087,399	5,507,804	5,190,239	5,191,029
HIGHER EDUCATION						
City University of New York	1,519,689	1,565,917	1,582,601	1,620,254	1,660,143	1,687,736
Local Assistance Grants	1,395,047	1,429,462	1,454,075	1,489,930	1,527,896	1,553,320
State Operations	86,784	95,103	85,634	87,032	88,455	89,904
Personal Service	48,140	46,870	45,402	45,996	46,598	47,210
Non-Personal Service/Indirect Costs	38,644	48,233	40,232	41,036	41,857	42,694
General State Charges	7,717	7,892	7,892	7,892	7,892	7,892
Capital Projects	30,141	33,460	35,000	35,400	35,900	36,620
Higher Education - Miscellaneous	300	259	390	390	390	390
State Operations	217	198	291	291	291	291
Personal Service	146	133	198	198	198	198
Non-Personal Service/Indirect Costs	71	65	93	93	93	93
General State Charges	83	61	99	99	99	99
Higher Education Facilities Capital Matching Grants Program	2,086	136	20,000	25,000	22,000	13,000
Local Assistance Grants	2,086	136	974	0	0	0
Capital Projects	0	0	19,026	25,000	22,000	13,000
Higher Education Services Corporation, New York State	1,273,131	1,084,054	1,080,663	1,089,570	1,105,884	1,121,252
Local Assistance Grants	1,210,268	1,025,184	1,021,668	1,031,459	1,047,773	1,063,141
State Operations	48,899	50,252	48,010	46,714	46,714	46,714
Personal Service	16,615	15,256	16,236	14,940	14,940	14,940
Non-Personal Service/Indirect Costs	32,284	34,996	31,774	31,774	31,774	31,774
General State Charges	13,964	8,618	10,985	11,397	11,397	11,397
State University of New York	8,025,156	8,042,847	7,944,816	7,968,163	8,043,229	8,132,145
Local Assistance Grants	487,080	514,892	516,640	480,869	487,510	486,997
State Operations	6,087,265	6,184,867	6,160,125	6,228,787	6,326,854	6,430,482
Personal Service	3,511,499	3,637,323	3,690,649	3,719,429	3,772,285	3,829,024
Non-Personal Service/Indirect Costs	2,575,766	2,547,544	2,469,476	2,509,358	2,554,569	2,601,458
General State Charges	534,498	411,740	375,802	381,258	386,796	392,417
Capital Projects	916,313	931,348	892,249	877,249	842,069	822,249
Functional Total	10,820,362	10,693,213	10,628,470	10,703,377	10,831,646	10,954,523
EDUCATION						
Arts, Council on the	67,068	42,178	46,213	45,953	45,953	45,953
Local Assistance Grants	63,756	38,691	41,793	41,533	41,533	41,533
State Operations	3,312	3,487	4,420	4,420	4,420	4,420

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	2,132	2,253	2,498	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,180	1,234	1,922	1,922	1,922	1,922
Education, Department of	30,626,426	32,811,466	34,219,531	35,630,697	36,869,755	38,302,935
School Aid	23,684,046	25,501,461	27,450,245	29,088,759	30,263,877	31,649,516
Local Assistance Grants	23,683,662	25,501,153	27,450,245	29,088,759	30,263,877	31,649,516
State Operations	288	296	0	0	0	0
Personal Service	68	82	0	0	0	0
Non-Personal Service/Indirect Costs	220	214	0	0	0	0
General State Charges	96	12	0	0	0	0
STAR Property Tax Relief	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
Local Assistance Grants	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
Special Education Categorical Programs	2,134,556	2,201,098	2,166,650	2,205,590	2,318,380	2,439,228
Local Assistance Grants	2,110,122	2,178,960	2,166,650	2,205,590	2,318,380	2,439,228
State Operations	18,805	17,627	0	0	0	0
Personal Service	10,440	9,167	0	0	0	0
Non-Personal Service/Indirect Costs	8,365	8,460	0	0	0	0
General State Charges	5,629	4,511	0	0	0	0
All Other	1,510,874	1,774,207	1,374,792	1,359,556	1,366,266	1,345,020
Local Assistance Grants	1,130,718	1,379,274	977,393	940,860	958,867	937,625
State Operations	301,480	315,511	304,287	292,045	292,045	291,861
Personal Service	156,607	161,635	173,730	171,873	171,873	171,745
Non-Personal Service/Indirect Costs	144,873	153,876	130,557	120,172	120,172	120,116
General State Charges	73,988	72,002	80,420	86,551	87,954	90,377
Capital Projects	4,688	7,420	12,692	40,100	27,400	25,157
Functional Total	30,693,494	32,853,644	34,265,744	35,676,650	36,915,708	38,348,888
GENERAL GOVERNMENT						
Budget, Division of the	23,809	24,227	31,022	30,596	30,596	30,596
State Operations	22,834	23,396	29,465	28,939	28,939	28,939
Personal Service	20,223	20,436	24,514	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	2,611	2,960	4,951	4,372	4,372	4,372
General State Charges	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	13,196	13,424	14,192	13,381	13,507	13,617
State Operations	13,045	13,277	14,016	13,205	13,331	13,441
Personal Service	12,046	11,662	12,395	12,384	12,497	12,591
Non-Personal Service/Indirect Costs	999	1,615	1,621	821	834	850
General State Charges	151	147	176	176	176	176
Deferred Compensation Board	527	524	866	866	866	873
State Operations	361	377	641	641	641	648
Personal Service	305	304	410	410	410	413
Non-Personal Service/Indirect Costs	56	73	231	231	231	235
General State Charges	166	147	225	225	225	225
Elections, State Board of	9,818	12,447	12,297	17,982	8,587	8,697
Local Assistance Grants	559	586	229	0	0	0
State Operations	9,259	11,861	12,019	17,982	8,587	8,697
Personal Service	4,680	5,730	5,946	5,875	6,018	6,063
Non-Personal Service/Indirect Costs	4,579	6,131	6,073	12,107	2,569	2,634
General State Charges	0	0	49	0	0	0
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
State Operations	2,210	2,247	2,581	2,581	2,601	2,621
Personal Service	2,178	2,200	2,510	2,510	2,529	2,548
Non-Personal Service/Indirect Costs	32	47	71	71	72	73

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<i>Gaming Commission, New York State</i>	149,273	251,588	269,558	301,003	314,803	314,803
Local Assistance Grants	0	91,881	100,602	125,700	139,500	139,500
State Operations	134,317	146,812	153,120	157,728	157,728	157,728
Personal Service	30,748	31,549	35,480	38,757	38,757	38,757
Non-Personal Service/Indirect Costs	103,569	115,263	117,640	118,971	118,971	118,971
General State Charges	14,956	12,895	15,836	17,575	17,575	17,575
<i>General Services, Office of</i>	277,944	268,221	300,867	282,087	296,717	265,717
Local Assistance Grants	0	0	250	250	250	250
State Operations	157,736	163,847	168,551	165,985	166,090	166,090
Personal Service	62,120	71,454	74,437	74,079	74,079	74,079
Non-Personal Service/Indirect Costs	95,616	92,393	94,114	91,906	92,011	92,011
General State Charges	2,208	2,589	448	469	494	494
Capital Projects	118,000	101,785	131,618	115,383	129,883	98,883
<i>Inspector General, Office of the</i>	7,069	7,061	7,367	7,367	7,427	7,487
State Operations	7,069	7,061	7,367	7,367	7,427	7,487
Personal Service	6,217	6,230	6,552	6,552	6,600	6,648
Non-Personal Service/Indirect Costs	852	831	815	815	827	839
<i>Labor Management Committees</i>	24,098	24,882	24,500	25,300	25,300	25,306
State Operations	24,098	24,882	24,500	25,300	25,300	25,306
Personal Service	6,402	6,619	5,446	5,446	5,446	5,487
Non-Personal Service/Indirect Costs	17,696	18,263	19,054	19,854	19,854	19,819
<i>Prevention of Domestic Violence, Office for</i>	1,956	2,056	2,407	2,481	2,481	2,581
Local Assistance Grants	543	575	785	885	885	985
State Operations	1,413	1,481	1,622	1,596	1,596	1,596
Personal Service	1,314	1,368	1,400	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	99	113	222	208	208	208
<i>Public Employment Relations Board</i>	3,115	3,433	3,572	3,573	3,604	3,634
State Operations	3,115	3,433	3,572	3,573	3,604	3,634
Personal Service	2,894	3,207	3,336	3,336	3,363	3,388
Non-Personal Service/Indirect Costs	221	226	236	237	241	246
<i>Public Integrity, Commission on</i>	3,628	4,332	5,531	5,531	5,576	5,630
State Operations	3,628	4,332	5,531	5,531	5,576	5,630
Personal Service	2,899	3,480	4,620	4,620	4,646	4,681
Non-Personal Service/Indirect Costs	729	852	911	911	930	949
<i>State, Department of</i>	119,089	128,351	139,229	129,621	129,518	129,788
Local Assistance Grants	63,843	72,431	68,896	62,836	62,836	62,836
State Operations	44,663	46,485	55,469	51,543	51,243	51,243
Personal Service	30,012	31,060	32,879	33,208	33,208	33,208
Non-Personal Service/Indirect Costs	14,651	15,425	22,590	18,335	18,035	18,035
General State Charges	10,583	9,435	12,864	13,242	13,439	13,709
Capital Projects	0	0	2,000	2,000	2,000	2,000
<i>Tax Appeals, Division of</i>	2,849	3,035	3,068	3,040	3,040	3,040
State Operations	2,849	3,035	3,068	3,040	3,040	3,040
Personal Service	2,688	2,763	2,898	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	161	272	170	170	170	170
<i>Taxation and Finance, Department of</i>	381,732	354,936	356,472	353,606	353,781	353,606
Local Assistance Grants	906	914	2,726	2,726	2,726	2,726
State Operations	349,041	335,963	333,031	330,344	330,352	330,344
Personal Service	285,802	292,613	273,933	269,907	269,907	269,907
Non-Personal Service/Indirect Costs	63,239	43,350	59,098	60,437	60,445	60,437
General State Charges	31,785	18,059	20,715	20,536	20,703	20,536
<i>Technology, Office for</i>	458,465	602,366	610,299	622,121	607,636	606,936

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
State Operations	427,702	506,821	538,049	565,206	576,936	576,936
Personal Service	277,996	284,010	289,756	284,801	284,801	284,801
Non-Personal Service/Indirect Costs	149,706	222,811	248,293	280,405	292,135	292,135
General State Charges	0	234	0	0	0	0
Capital Projects	30,763	95,311	72,250	56,915	30,700	30,000
Veterans' Affairs, Division of	13,518	13,612	17,310	15,633	15,729	15,744
Local Assistance Grants	7,486	7,855	9,290	7,637	7,637	7,637
State Operations	5,827	5,553	7,582	7,519	7,604	7,604
Personal Service	5,312	5,117	6,738	6,675	6,742	6,742
Non-Personal Service/Indirect Costs	515	436	844	844	862	862
General State Charges	205	204	438	477	488	503
Welfare Inspector General, Office of	573	569	672	672	686	701
State Operations	573	569	672	672	686	701
Personal Service	472	511	617	617	621	626
Non-Personal Service/Indirect Costs	101	58	55	55	65	75
Workers' Compensation Board	194,225	194,970	202,356	213,633	215,416	217,219
State Operations	148,212	147,659	140,908	145,231	147,014	148,817
Personal Service	77,315	78,723	76,555	80,878	81,493	82,104
Non-Personal Service/Indirect Costs	70,897	68,936	64,353	64,353	65,521	66,713
General State Charges	46,013	44,194	51,448	53,402	53,402	53,402
Capital Projects	0	3,117	10,000	15,000	15,000	15,000
Functional Total	1,687,094	1,912,281	2,004,166	2,031,074	2,037,871	2,008,596
ELECTED OFFICIALS						
Audit and Control, Department of	174,514	174,200	187,280	182,409	181,070	181,253
Local Assistance Grants	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	140,855	140,681	148,455	146,661	146,661	146,844
Personal Service	109,351	111,792	115,053	113,609	113,609	113,687
Non-Personal Service/Indirect Costs	31,504	28,889	33,402	33,052	33,052	33,157
General State Charges	1,634	1,494	2,201	2,324	2,385	2,385
Capital Projects	0	0	4,600	1,400	0	0
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
State Operations	13,966	13,704	13,578	13,578	13,578	13,578
Personal Service	10,621	10,669	11,469	11,813	11,813	11,813
Non-Personal Service/Indirect Costs	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	2,681,428	2,764,516	2,850,600	2,926,553	2,961,553	2,961,553
Local Assistance Grants	107,429	114,655	107,300	122,300	122,300	122,300
State Operations	1,913,851	1,964,295	2,033,000	2,033,000	2,058,400	2,060,900
Personal Service	1,480,926	1,510,827	1,556,900	1,556,900	1,582,300	1,584,800
Non-Personal Service/Indirect Costs	432,925	453,468	476,100	476,100	476,100	476,100
General State Charges	660,148	685,566	710,300	771,253	780,853	778,353
Law, Department of	213,118	225,402	233,893	236,334	238,104	240,705
State Operations	190,193	195,131	199,468	200,715	202,049	204,994
Personal Service	130,508	136,608	138,194	138,493	139,078	140,779
Non-Personal Service/Indirect Costs	59,685	58,523	61,274	62,222	62,971	64,215
General State Charges	20,546	28,822	29,425	30,619	32,434	33,211
Capital Projects	2,379	1,449	5,000	5,000	3,621	2,500
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
State Operations	206,804	215,580	218,795	218,795	218,795	218,795
Personal Service	160,777	167,444	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	46,027	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	366	499	614	614	614	614
State Operations	366	499	614	614	614	614

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Personal Service	299	336	513	523	523	523
Non-Personal Service/Indirect Costs	67	163	101	91	91	91
Functional Total	3,290,196	3,393,901	3,504,760	3,578,283	3,613,714	3,616,498
LOCAL GOVERNMENT ASSISTANCE						
<i>Aid and Incentives for Municipalities</i>	726,438	728,288	714,756	732,710	763,347	763,347
Local Assistance Grants	726,338	728,288	714,756	732,710	763,347	763,347
State Operations	100	0	0	0	0	0
Non-Personal Service/Indirect Costs	100	0	0	0	0	0
<i>Efficiency Incentive Grants Program</i>	1,592	1,289	0	0	0	0
Local Assistance Grants	1,592	1,289	0	0	0	0
<i>Miscellaneous Financial Assistance</i>	7,798	11,846	15,646	0	0	0
Local Assistance Grants	7,798	11,846	15,646	0	0	0
<i>Municipalities with VLT Facilities</i>	29,331	29,331	29,331	29,331	29,331	29,331
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331	29,331
<i>Small Government Assistance</i>	217	217	218	218	218	218
Local Assistance Grants	217	217	218	218	218	218
Functional Total	765,376	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
<i>General State Charges</i>	4,131,687	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
General State Charges	4,131,687	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
<i>Long-Term Debt Service</i>	6,221,470	5,635,102	5,114,354	6,174,009	6,818,530	7,279,253
State Operations	38,654	36,616	38,907	49,313	49,313	49,313
Non-Personal Service/Indirect Costs	38,654	36,616	38,907	49,313	49,313	49,313
Debt Service	6,182,816	5,598,486	5,075,447	6,124,696	6,769,217	7,229,940
<i>Miscellaneous</i>	(225,257)	(406,371)	(330,263)	(35,036)	(269,953)	(266,291)
Local Assistance Grants	(326,287)	(479,776)	(497,523)	(448,339)	(469,594)	(442,157)
State Operations	20,715	24,644	24,823	239,825	25,841	3,857
Personal Service	2,204	1,982	2,177	2,178	2,183	2,188
Non-Personal Service/Indirect Costs	18,511	22,662	22,646	237,647	23,658	1,669
General State Charges	13,902	12,039	5,716	5,719	5,719	5,719
Capital Projects	66,413	36,722	136,721	167,759	168,081	166,290
<i>Special Infrastructure Account</i>	0	725,592	698,615	1,647,241	1,077,610	810,750
Local Assistance Grants	0	33,159	10,000	20,000	20,000	10,000
Capital Projects	0	692,433	688,615	1,627,241	1,057,610	800,750
Functional Total	10,127,900	10,635,922	10,362,655	13,049,740	13,254,084	13,918,240
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	143,890,703	150,708,487	156,477,297	164,864,367	168,943,900	174,159,397

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	104,699	103,855	98,224	86,911	99,288	99,367
Alcoholic Beverage Control, Division of	17,636	17,277	12,724	12,683	12,683	12,744
Economic Development Capital	10,509	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	70,646	92,494	99,207	91,552	102,428	88,278
Empire State Development Corporation	527,613	738,836	1,564,750	1,681,999	1,423,028	1,283,928
Energy Research and Development Authority	21,047	15,191	23,450	23,000	14,724	13,000
Financial Services, Department of	493,855	361,476	359,636	354,226	356,211	362,831
Olympic Regional Development Authority	9,911	10,611	10,386	2,886	2,886	2,886
Power Authority, New York	0	0	2,500	2,500	1,244	0
Public Service Department	71,807	71,087	75,498	77,455	77,990	78,720
Regional Economic Development Program	3,071	2,787	1,500	512	356	355
Strategic Investment Program	1,030	1,427	6,000	6,000	7,371	7,000
Functional Total	1,331,824	1,421,655	2,276,875	2,369,000	2,122,459	1,972,109
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,416	4,350	4,747	4,763	4,763	4,763
Environmental Conservation, Department of	873,136	878,293	1,012,603	1,140,458	1,148,033	1,094,601
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	295,236	344,507	333,019	326,405	323,907	325,218
Functional Total	1,172,837	1,230,602	1,350,369	1,471,626	1,476,703	1,424,582
TRANSPORTATION						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	280,755	292,446	302,389	302,493	305,100	305,441
Thruway Authority, New York State	21,911	17,948	0	0	0	0
Transportation, Department of	9,152,775	9,124,697	9,538,572	9,664,401	9,793,969	9,947,560
Functional Total	9,455,441	9,435,091	10,353,132	10,610,579	10,349,069	10,603,001
HEALTH						
Aging, Office for the	232,122	229,479	250,316	242,464	229,306	234,529
Health, Department of	51,263,366	55,632,709	58,364,991	61,914,530	64,400,077	66,934,310
<i>Medical Assistance</i>	45,668,670	48,094,607	48,505,654	51,182,685	53,269,293	55,561,564
<i>Essential Plan</i>	0	1,539,298	3,730,712	4,217,722	4,499,721	4,884,153
<i>Medicaid Administration</i>	1,336,706	1,666,498	1,635,627	1,649,664	1,629,961	1,625,036
<i>Public Health</i>	4,257,990	4,332,306	4,492,998	4,864,459	5,001,102	4,863,557
Medicaid Inspector General, Office of the	51,887	51,999	50,939	50,899	51,159	51,522
Stem Cell and Innovation	33,483	0	0	0	0	0
Functional Total	51,580,858	55,914,187	58,666,246	62,207,893	64,680,542	67,220,361
SOCIAL WELFARE						
Children and Family Services, Office of	3,047,919	3,015,520	3,119,031	3,022,016	3,085,720	3,113,172
<i>OCFS</i>	2,961,920	2,926,598	3,021,731	2,924,911	2,984,431	3,007,640
<i>OCFS - Other</i>	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	221,841	217,494	310,992	485,925	706,422	736,272
Human Rights, Division of	14,282	14,639	14,369	14,289	14,343	14,343
Labor, Department of	618,986	546,390	583,730	572,612	572,612	572,612
National and Community Service	17,696	15,100	15,118	16,253	16,564	16,571
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	5,052,460	5,289,204	5,106,691	5,145,014	5,165,985	5,182,116
<i>Welfare Assistance</i>	3,770,610	3,985,752	3,794,769	3,837,699	3,849,699	3,851,699
<i>All Other</i>	1,281,850	1,303,452	1,311,922	1,307,315	1,316,286	1,330,417
Functional Total	8,973,184	9,098,347	9,162,931	9,286,109	9,588,646	9,655,086
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	562,022	594,596	618,573	643,193	656,634	679,270
<i>OASAS</i>	478,107	507,773	535,584	559,995	571,725	593,050
<i>OASAS - Other</i>	83,915	86,823	82,989	83,198	84,909	86,220
Developmental Disabilities Planning Council	3,566	3,386	4,200	4,200	4,200	4,200

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Justice Center	32,151	40,205	40,803	43,263	43,599	43,884
Mental Health, Office of	3,323,614	3,359,196	3,397,957	3,452,715	3,678,457	3,805,852
<i>OMH</i>	1,519,657	1,560,431	1,691,480	1,702,394	1,890,484	1,975,016
<i>OMH - Other</i>	1,803,957	1,798,765	1,706,477	1,750,321	1,787,973	1,830,836
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	3,513,914	3,223,358	2,993,066	3,466,602	3,707,433	3,920,382
<i>OPWDD</i>	482,733	370,536	466,178	495,578	544,496	599,541
<i>OPWDD - Other</i>	3,031,181	2,852,822	2,526,888	2,971,024	3,162,937	3,320,841
Functional Total	7,435,495	7,220,960	7,054,599	7,609,973	8,090,323	8,453,588

PUBLIC PROTECTION/CRIMINAL JUSTICE

Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
Correctional Services, Department of	2,871,404	2,982,445	2,954,307	2,987,130	2,971,886	2,982,041
Criminal Justice Services, Division of	217,312	224,078	241,203	230,643	230,643	230,643
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	2,512,054	1,974,600	1,734,354	1,080,504	794,293	805,114
Indigent Legal Services, Office of	52,689	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	112,072	102,534	130,616	157,402	152,034	141,863
State Police, Division of	697,545	733,485	835,320	832,294	821,493	811,413
Statewide Financial System	31,959	30,070	30,309	30,143	30,143	30,143
Victim Services, Office of	61,976	64,276	66,263	76,090	76,090	76,090
Functional Total	6,556,642	6,127,713	6,087,399	5,507,804	5,190,239	5,191,029

HIGHER EDUCATION

City University of New York	1,519,689	1,565,917	1,582,601	1,620,254	1,660,143	1,687,736
Higher Education - Miscellaneous	300	259	390	390	390	390
Higher Education Facilities Capital Matching Grants Program	2,086	136	20,000	25,000	22,000	13,000
Higher Education Services Corporation, New York State	1,273,131	1,084,054	1,080,663	1,089,570	1,105,884	1,121,252
State University of New York	8,025,152	8,042,846	7,944,816	7,968,163	8,043,229	8,132,145
Functional Total	10,820,358	10,693,212	10,628,470	10,703,377	10,831,646	10,954,523

EDUCATION

Arts, Council on the	67,068	42,178	46,213	45,953	45,953	45,953
Education, Department of	30,626,426	32,811,466	34,219,531	35,630,697	36,869,755	38,302,935
<i>School Aid</i>	23,684,046	25,501,461	27,450,245	29,088,759	30,263,877	31,649,516
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	2,134,556	2,201,098	2,166,650	2,205,590	2,318,380	2,439,228
<i>All Other</i>	1,510,874	1,774,207	1,374,792	1,359,556	1,366,266	1,345,020
Functional Total	30,693,494	32,853,644	34,265,744	35,676,650	36,915,708	38,348,888

GENERAL GOVERNMENT

Budget, Division of the	23,809	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,196	13,424	14,192	13,381	13,507	13,617
Deferred Compensation Board	527	524	866	866	866	873
Elections, State Board of	9,818	12,447	12,297	17,982	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	149,273	251,588	269,558	301,003	314,803	314,803
General Services, Office of	277,944	268,221	300,867	282,087	296,717	265,717
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	24,500	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,956	2,056	2,407	2,481	2,481	2,581
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	119,089	128,351	139,229	129,621	129,518	129,788
Tax Appeals, Division of	2,849	3,035	3,068	3,040	3,040	3,040
Taxation and Finance, Department of	381,732	354,936	356,472	353,606	353,781	353,606

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Technology, Office for	458,465	602,366	610,299	622,121	607,636	606,936
Veterans' Affairs, Division of	13,518	13,612	17,310	15,633	15,729	15,744
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	194,225	194,970	202,356	213,633	215,416	217,219
Functional Total	1,687,094	1,912,281	2,004,166	2,031,074	2,037,871	2,008,596
ELECTED OFFICIALS						
Audit and Control, Department of	174,514	174,200	187,280	182,409	181,070	181,253
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	2,681,428	2,764,517	2,850,600	2,926,553	2,961,553	2,961,553
Law, Department of	213,118	225,402	233,893	236,334	238,104	240,705
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
Functional Total	3,290,196	3,393,902	3,504,760	3,578,283	3,613,714	3,616,498
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,438	728,288	714,756	732,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Functional Total	765,376	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
General State Charges	4,131,686	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Long-Term Debt Service	6,221,470	5,635,102	5,114,354	6,174,009	6,818,530	7,279,253
Miscellaneous	(225,257)	(406,371)	(330,263)	(35,036)	(269,953)	(266,291)
Special Infrastructure Account	0	725,592	698,615	1,647,241	1,077,610	810,750
Functional Total	10,127,899	10,635,922	10,362,655	13,049,740	13,254,084	13,918,240
TOTAL ALL GOVERNMENTAL FUNDS SPENDING	143,890,698	150,708,487	156,477,297	164,864,367	168,943,900	174,159,397

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	25,275	27,279	28,267	29,026	25,612	25,612
Economic Development Capital	8,524	6,614	0	0	0	0
Economic Development, Department of	50,363	71,410	64,130	67,434	67,434	67,434
Empire State Development Corporation	461,088	705,116	1,319,449	1,309,321	979,250	916,250
Energy Research and Development Authority	5,527	1,842	0	0	0	0
Financial Services, Department of	223,476	80,686	57,174	45,749	45,749	45,749
Public Service Department	0	0	172	172	172	172
Regional Economic Development Program	3,071	2,787	0	0	0	0
Strategic Investment Program	1,030	1,427	0	0	0	0
Functional Total	778,354	897,161	1,469,192	1,451,702	1,118,217	1,055,217
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	307,428	312,669	205,856	204,305	204,390	204,390
Parks, Recreation and Historic Preservation, Office of	9,722	13,682	10,765	9,470	9,470	9,470
Functional Total	317,150	326,351	216,621	213,775	213,860	213,860
TRANSPORTATION						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	14,536	14,681	18,000	18,000	18,000	18,000
Thruway Authority, New York State	3,570	1,379	0	0	0	0
Transportation, Department of	5,910,854	5,680,162	6,176,264	6,143,679	6,198,104	6,285,814
Functional Total	5,928,960	5,696,222	6,706,435	6,805,364	6,466,104	6,653,814
HEALTH						
Aging, Office for the	223,719	217,793	238,591	230,824	220,822	226,045
Health, Department of	49,984,124	54,181,665	56,707,085	60,289,774	62,777,166	65,280,985
<i>Medical Assistance</i>	45,676,218	48,094,607	48,505,654	51,182,685	53,269,293	55,561,564
<i>Essential Plan</i>	0	1,525,938	3,682,887	4,177,964	4,460,019	4,843,219
<i>Medicaid Administration</i>	959,834	1,005,222	802,139	786,742	770,344	738,947
<i>Public Health</i>	3,348,072	3,555,898	3,716,405	4,142,383	4,277,510	4,137,255
Functional Total	50,207,843	54,399,458	56,945,676	60,520,598	62,997,988	65,507,030
SOCIAL WELFARE						
Children and Family Services, Office of	2,677,872	2,632,776	2,742,302	2,639,915	2,695,040	2,721,944
<i>OCFS</i>	2,591,873	2,543,854	2,645,002	2,542,810	2,593,751	2,616,412
<i>OCFS - Other</i>	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	142,716	139,769	227,912	403,130	623,461	653,311
Labor, Department of	154,836	168,509	170,715	158,475	158,475	158,475
National and Community Service	450	142	350	350	350	350
Temporary and Disability Assistance, Office of	4,731,758	4,959,901	4,768,674	4,812,695	4,831,095	4,840,895
<i>Welfare Assistance</i>	3,770,610	3,985,752	3,794,769	3,837,699	3,849,699	3,851,699
<i>All Other</i>	961,148	974,149	973,905	974,996	981,396	989,196
Functional Total	7,707,632	7,901,097	7,909,953	8,014,565	8,308,421	8,374,975
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	450,280	475,335	498,603	520,641	531,564	551,751
<i>OASAS</i>	428,955	454,010	477,278	499,316	510,239	530,426
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	600	544	681	649	649	649
Mental Health, Office of	1,226,423	1,231,809	1,288,983	1,309,071	1,492,290	1,577,551
<i>OMH</i>	879,567	951,573	1,016,672	1,015,781	1,188,070	1,262,128
<i>OMH - Other</i>	346,856	280,236	272,311	293,290	304,220	315,423
People with Developmental Disabilities, Office for	1,463,642	1,198,724	972,398	1,406,947	1,621,869	1,793,713
<i>OPWDD</i>	436,065	334,196	394,379	423,404	472,000	526,596
<i>OPWDD - Other</i>	1,027,577	864,528	578,019	983,543	1,149,869	1,267,117
Functional Total	3,140,945	2,906,412	2,760,665	3,237,308	3,646,372	3,923,664

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	5,939	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	173,080	180,062	186,337	176,051	176,051	176,051
Disaster Assistance	2,726	0	0	0	0	0
Homeland Security and Emergency Services, Division of	2,322,250	1,837,898	1,644,535	1,021,157	744,770	757,244
Indigent Legal Services, Office of	51,123	58,068	83,000	101,600	101,600	101,600
Military and Naval Affairs, Division of	724	805	911	820	820	820
State Police, Division of	0	0	6,000	0	0	0
Victim Services, Office of	55,492	58,114	57,547	67,407	67,407	67,407
Functional Total	2,611,334	2,139,198	1,983,827	1,372,532	1,096,145	1,108,619
HIGHER EDUCATION						
City University of New York	1,395,047	1,429,462	1,454,075	1,489,930	1,527,896	1,553,320
Higher Education Facilities Capital Matching Grants Program	2,086	136	974	0	0	0
Higher Education Services Corporation, New York State	1,210,268	1,025,184	1,021,668	1,031,459	1,047,773	1,063,141
State University of New York	487,080	514,892	516,640	480,869	487,510	486,997
Functional Total	3,094,481	2,969,674	2,993,357	3,002,258	3,063,179	3,103,458
EDUCATION						
Arts, Council on the	63,756	38,691	41,793	41,533	41,533	41,533
Education, Department of	30,221,452	32,394,087	33,822,132	35,212,001	36,462,356	37,895,540
<i>School Aid</i>	23,683,662	25,501,153	27,450,245	29,088,759	30,263,877	31,649,516
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	2,110,122	2,178,960	2,166,650	2,205,590	2,318,380	2,439,228
<i>All Other</i>	1,130,718	1,379,274	977,393	940,860	958,867	937,625
Functional Total	30,285,208	32,432,778	33,863,925	35,253,534	36,503,889	37,937,073
GENERAL GOVERNMENT						
Elections, State Board of	559	586	229	0	0	0
Gaming Commission, New York State	0	91,881	100,602	125,700	139,500	139,500
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	543	575	785	885	885	985
State, Department of	63,843	72,431	68,896	62,836	62,836	62,836
Taxation and Finance, Department of	906	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,486	7,855	9,290	7,637	7,637	7,637
Functional Total	73,337	174,242	182,778	200,034	213,834	213,934
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	107,429	114,655	107,300	122,300	122,300	122,300
Functional Total	139,454	146,680	139,324	154,324	154,324	154,324
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,338	728,288	714,756	732,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Functional Total	765,276	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
Miscellaneous	(326,287)	(479,776)	(497,523)	(448,339)	(469,594)	(442,157)
Special Infrastructure Account	0	33,159	10,000	20,000	20,000	10,000
Functional Total	(326,287)	(446,617)	(487,523)	(428,339)	(449,594)	(432,157)
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	104,723,687	110,313,627	115,444,181	120,559,914	124,125,635	128,606,707

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	<u>FY 2015</u> <u>Results</u>	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Updated</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	64,890	68,227	57,429	50,212	65,908	65,882
Alcoholic Beverage Control, Division of	13,095	12,501	12,603	12,683	12,683	12,744
Economic Development, Department of	20,151	21,056	21,616	20,816	20,816	20,816
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	4,841	1,431	0	0	0	0
Financial Services, Department of	193,325	203,339	212,679	211,960	211,960	215,847
Olympic Regional Development Authority	3,011	3,091	2,886	2,886	2,886	2,886
Public Service Department	49,158	51,548	51,119	50,811	50,811	50,811
Functional Total	348,521	361,193	358,332	349,368	365,064	368,986
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,416	4,350	4,747	4,763	4,763	4,763
Environmental Conservation, Department of	271,334	280,475	275,830	277,600	278,229	278,932
Parks, Recreation and Historic Preservation, Office of	183,841	184,894	179,443	182,621	182,598	183,909
Functional Total	459,591	469,719	460,020	464,984	465,590	467,604
TRANSPORTATION						
Motor Vehicles, Department of	60,675	62,922	57,136	57,520	57,520	57,520
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	28,374	23,687	29,005	32,877	32,877	32,877
Functional Total	107,390	103,178	86,141	90,397	90,397	90,397
HEALTH						
Aging, Office for the	8,403	11,686	11,725	11,640	8,484	8,484
Health, Department of	1,165,137	1,324,407	1,513,206	1,522,105	1,518,738	1,546,958
<i>Medical Assistance</i>	(7,548)	0	0	0	0	0
<i>Essential Plan</i>	0	13,360	47,825	39,758	39,702	40,934
<i>Medicaid Administration</i>	376,872	658,002	833,488	862,922	859,617	886,089
<i>Public Health</i>	795,813	653,045	631,893	619,425	619,419	619,935
Medicaid Inspector General, Office of the	42,723	43,045	42,215	41,673	41,673	41,673
Stem Cell and Innovation	33,294	0	0	0	0	0
Functional Total	1,249,557	1,379,138	1,567,146	1,575,418	1,568,895	1,597,115
SOCIAL WELFARE						
Children and Family Services, Office of	342,071	344,820	337,965	341,677	349,830	349,830
<i>OCFS</i>	342,071	344,820	337,965	341,677	349,830	349,830
Housing and Community Renewal, Division of	60,289	58,235	59,652	59,426	59,550	59,550
Human Rights, Division of	14,221	14,639	14,369	14,289	14,343	14,343
Labor, Department of	341,976	271,348	298,079	298,044	298,044	298,044
National and Community Service	17,246	14,958	14,567	15,679	15,985	15,985
Temporary and Disability Assistance, Office of	280,916	289,826	292,444	286,946	289,517	295,848
<i>All Other</i>	280,916	289,826	292,444	286,946	289,517	295,848
Functional Total	1,056,719	993,826	1,017,076	1,016,061	1,027,269	1,033,600
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	80,098	87,218	80,742	81,261	82,486	83,656
<i>OASAS</i>	35,757	40,645	38,599	38,518	39,268	39,836
<i>OASAS - Other</i>	44,341	46,573	42,143	42,743	43,218	43,820
Developmental Disabilities Planning Council	3,006	2,932	3,499	3,456	3,415	3,415
Justice Center	30,937	38,996	39,326	41,749	42,006	42,273
Mental Health, Office of	1,385,174	1,418,971	1,341,654	1,357,495	1,363,204	1,382,913
<i>OMH</i>	337,957	356,185	345,827	349,947	355,458	359,863
<i>OMH - Other</i>	1,047,217	1,062,786	995,827	1,007,548	1,007,746	1,023,050

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	1,375,053	1,367,905	1,312,718	1,310,491	1,324,362	1,340,226
<i>OPWDD</i>	931	296	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,374,122	1,367,609	1,311,537	1,309,310	1,323,181	1,339,045
Functional Total	2,874,496	2,916,241	2,777,939	2,794,452	2,815,473	2,852,483
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
Correctional Services, Department of	2,644,227	2,717,010	2,649,231	2,672,106	2,674,862	2,682,017
Criminal Justice Services, Division of	43,947	43,716	51,418	51,144	51,144	51,144
Disaster Assistance	(10,737)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	138,904	120,000	45,291	39,058	39,058	39,058
Indigent Legal Services, Office of	1,051	1,449	2,739	2,739	2,739	2,739
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	65,488	57,893	71,134	106,240	106,973	107,721
State Police, Division of	686,358	710,507	775,742	760,194	759,194	759,194
Statewide Financial System	31,959	30,070	30,309	30,143	30,143	30,143
Victim Services, Office of	4,877	4,627	6,154	6,121	6,121	6,121
Functional Total	3,613,716	3,641,381	3,640,350	3,676,048	3,678,596	3,686,564
HIGHER EDUCATION						
City University of New York	86,784	95,103	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	217	198	291	291	291	291
Higher Education Services Corporation, New York State	48,899	50,252	48,010	46,714	46,714	46,714
State University of New York	6,087,262	6,184,867	6,160,125	6,228,787	6,326,854	6,430,482
Functional Total	6,223,162	6,330,420	6,294,060	6,362,824	6,462,314	6,567,391
EDUCATION						
Arts, Council on the	3,312	3,487	4,420	4,420	4,420	4,420
Education, Department of	320,573	333,434	304,287	292,045	292,045	291,861
<i>School Aid</i>	288	296	0	0	0	0
<i>Special Education Categorical Programs</i>	18,805	17,627	0	0	0	0
<i>All Other</i>	301,480	315,511	304,287	292,045	292,045	291,861
Functional Total	323,885	336,921	308,707	296,465	296,465	296,281
GENERAL GOVERNMENT						
Budget, Division of the	22,834	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,045	13,277	14,016	13,205	13,331	13,441
Deferred Compensation Board	361	377	641	641	641	648
Elections, State Board of	9,259	11,861	12,019	17,982	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	134,317	146,812	153,120	157,728	157,728	157,728
General Services, Office of	157,736	163,847	168,551	165,985	166,090	166,090
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	24,500	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,413	1,481	1,622	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	44,663	46,485	55,469	51,543	51,243	51,243
Tax Appeals, Division of	2,849	3,035	3,068	3,040	3,040	3,040
Taxation and Finance, Department of	349,041	335,963	333,031	330,344	330,352	330,344
Technology, Office for	427,702	506,821	538,049	565,206	576,936	576,936
Veterans' Affairs, Division of	5,827	5,553	7,582	7,519	7,604	7,604
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	148,212	147,659	140,908	145,231	147,014	148,817
Functional Total	1,357,952	1,449,091	1,501,764	1,533,983	1,538,295	1,540,502

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS
(thousands of dollars)

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	140,855	140,681	148,455	146,661	146,661	146,844
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	1,913,850	1,964,296	2,033,000	2,033,000	2,058,400	2,060,900
Law, Department of	190,193	195,131	199,468	200,715	202,049	204,994
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
Functional Total	<u>2,466,034</u>	<u>2,529,891</u>	<u>2,613,910</u>	<u>2,613,363</u>	<u>2,640,097</u>	<u>2,645,725</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	100	0	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	38,654	36,616	38,907	49,313	49,313	49,313
Miscellaneous	20,715	24,644	24,823	239,825	25,841	3,857
Functional Total	<u>59,369</u>	<u>61,260</u>	<u>63,730</u>	<u>289,138</u>	<u>75,154</u>	<u>53,170</u>
TOTAL STATE OPERATIONS SPENDING	<u>20,140,492</u>	<u>20,572,259</u>	<u>20,689,175</u>	<u>21,062,501</u>	<u>21,023,609</u>	<u>21,199,818</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	31,660	32,116	32,937	32,596	32,651	32,656
Alcoholic Beverage Control, Division of	7,657	7,622	8,270	8,147	8,147	8,208
Economic Development, Department of	11,493	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	3,622	1,024	0	0	0	0
Financial Services, Department of	138,372	149,000	156,231	156,953	156,953	157,638
Olympic Regional Development Authority	2,548	2,593	2,548	2,548	2,548	2,548
Public Service Department	41,245	42,792	43,618	43,105	43,105	43,105
Functional Total	236,597	247,121	256,933	256,678	256,733	257,484
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,893	4,036	4,092	4,108	4,108	4,108
Environmental Conservation, Department of	201,672	207,472	204,093	205,029	205,310	205,427
Parks, Recreation and Historic Preservation, Office of	136,026	137,415	133,242	136,272	137,249	138,560
Functional Total	341,591	348,923	341,427	345,409	346,667	348,095
TRANSPORTATION						
Motor Vehicles, Department of	44,853	44,887	39,186	39,580	39,580	39,580
Transportation, Department of	10,883	10,209	12,740	12,903	12,903	12,903
Functional Total	55,736	55,096	51,926	52,483	52,483	52,483
HEALTH						
Aging, Office for the	6,648	7,025	7,370	7,285	7,285	7,285
Health, Department of	331,823	346,448	344,908	348,127	359,968	367,095
<i>Essential Plan</i>	0	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	54,236	61,581	68,873	80,120	91,972	99,062
<i>Public Health</i>	277,587	284,476	274,660	266,591	266,538	266,531
Medicaid Inspector General, Office of the	33,243	33,122	32,298	31,756	31,756	31,756
Stem Cell and Innovation	368	0	0	0	0	0
Functional Total	372,082	386,595	384,576	387,168	399,009	406,136
SOCIAL WELFARE						
Children and Family Services, Office of	195,309	190,637	192,883	191,365	193,270	193,270
<i>OCFS</i>	195,309	190,637	192,883	191,365	193,270	193,270
Housing and Community Renewal, Division of	45,865	45,570	48,199	47,923	47,998	47,998
Human Rights, Division of	11,574	11,899	12,679	12,567	12,596	12,596
Labor, Department of	214,782	202,854	205,934	203,485	203,485	203,485
National and Community Service	538	556	698	701	708	708
Temporary and Disability Assistance, Office of	141,847	142,685	147,386	145,924	146,740	151,899
<i>All Other</i>	141,847	142,685	147,386	145,924	146,740	151,899
Functional Total	609,915	594,201	607,779	601,965	604,797	609,956
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	57,531	59,224	60,014	60,017	60,675	61,283
<i>OASAS</i>	24,674	24,709	28,789	28,396	28,899	29,193
<i>OASAS - Other</i>	32,857	34,515	31,225	31,621	31,776	32,090
Developmental Disabilities Planning Council	991	838	1,257	1,266	1,266	1,266
Justice Center	19,875	26,675	29,653	31,163	31,163	31,163
Mental Health, Office of	1,078,082	1,124,513	1,069,036	1,074,225	1,074,789	1,088,676
<i>OMH</i>	271,043	290,796	298,209	300,721	304,751	307,787
<i>OMH - Other</i>	807,039	833,717	770,827	773,504	770,038	780,889
People with Developmental Disabilities, Office for	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
<i>OPWDD - Other</i>	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
Functional Total	2,268,983	2,363,040	2,262,474	2,264,575	2,275,049	2,300,661
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,037	2,042	2,333	2,365	2,414	2,414
Correctional Services, Department of	2,104,270	2,190,226	2,096,044	2,105,869	2,108,625	2,115,780

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Criminal Justice Services, Division of	29,604	29,647	31,451	31,184	31,184	31,184
Disaster Assistance	(9,310)	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	35,509	27,041	21,343	21,118	21,118	21,118
Indigent Legal Services, Office of	928	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,028	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	42,930	35,517	46,812	72,998	73,731	74,479
State Police, Division of	582,199	629,333	667,271	670,346	669,346	669,346
Statewide Financial System	9,622	10,234	11,522	11,350	11,350	11,350
Victim Services, Office of	4,171	4,074	4,867	4,834	4,834	4,834
Functional Total	2,805,988	2,931,765	2,888,128	2,926,549	2,929,118	2,937,056
HIGHER EDUCATION						
City University of New York	48,140	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	146	133	198	198	198	198
Higher Education Services Corporation, New York State	16,615	15,256	16,236	14,940	14,940	14,940
State University of New York	3,511,497	3,637,321	3,690,649	3,719,429	3,772,285	3,829,024
Functional Total	3,576,398	3,699,580	3,752,485	3,780,563	3,834,021	3,891,372
EDUCATION						
Arts, Council on the	2,132	2,253	2,498	2,498	2,498	2,498
Education, Department of	167,115	170,884	173,730	171,873	171,873	171,745
<i>School Aid</i>	68	82	0	0	0	0
<i>Special Education Categorical Programs</i>	10,440	9,167	0	0	0	0
<i>All Other</i>	156,607	161,635	173,730	171,873	171,873	171,745
Functional Total	169,247	173,137	176,228	174,371	174,371	174,243
GENERAL GOVERNMENT						
Budget, Division of the	20,223	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	12,046	11,662	12,395	12,384	12,497	12,591
Deferred Compensation Board	305	304	410	410	410	413
Elections, State Board of	4,680	5,730	5,946	5,875	6,018	6,063
Employee Relations, Office of	2,178	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	30,748	31,549	35,480	38,757	38,757	38,757
General Services, Office of	62,120	71,454	74,437	74,079	74,079	74,079
Inspector General, Office of the	6,217	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,402	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,314	1,368	1,400	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	2,899	3,480	4,620	4,620	4,646	4,681
State, Department of	30,012	31,060	32,879	33,208	33,208	33,208
Tax Appeals, Division of	2,688	2,763	2,898	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	292,613	273,933	269,907	269,907	269,907
Technology, Office for	277,996	284,010	289,756	284,801	284,801	284,801
Veterans' Affairs, Division of	5,312	5,117	6,738	6,675	6,742	6,742
Welfare Inspector General, Office of	472	511	617	617	621	626
Workers' Compensation Board	77,315	78,723	76,555	80,878	81,493	82,104
Functional Total	831,623	859,036	860,422	858,880	859,942	860,868
ELECTED OFFICIALS						
Audit and Control, Department of	109,351	111,792	115,053	113,609	113,609	113,687
Executive Chamber	10,621	10,669	11,469	11,813	11,813	11,813
Judiciary	1,480,926	1,510,827	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	130,508	136,608	138,194	138,493	139,078	140,779
Legislature	160,777	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	336	513	523	523	523
Functional Total	1,892,482	1,937,676	1,988,460	1,987,669	2,013,654	2,017,933

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
ALL OTHER CATEGORIES						
Miscellaneous	2,204	1,982	2,177	2,178	2,183	2,188
Functional Total	<u>2,204</u>	<u>1,982</u>	<u>2,177</u>	<u>2,178</u>	<u>2,183</u>	<u>2,188</u>
TOTAL PERSONAL SERVICE SPENDING	<u><u>13,162,846</u></u>	<u><u>13,598,152</u></u>	<u><u>13,573,015</u></u>	<u><u>13,638,488</u></u>	<u><u>13,748,027</u></u>	<u><u>13,858,475</u></u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	33,230	36,111	24,492	17,616	33,257	33,226
Alcoholic Beverage Control, Division of	5,438	4,879	4,333	4,536	4,536	4,536
Economic Development, Department of	8,658	9,082	8,287	7,487	7,487	7,487
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	1,219	407	0	0	0	0
Financial Services, Department of	54,953	54,339	56,448	55,007	55,007	58,209
Olympic Regional Development Authority	463	498	338	338	338	338
Public Service Department	7,913	8,756	7,501	7,706	7,706	7,706
Functional Total	111,924	114,072	101,399	92,690	108,331	111,502
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	523	314	655	655	655	655
Environmental Conservation, Department of	69,662	73,003	71,737	72,571	72,919	73,505
Parks, Recreation and Historic Preservation, Office of	47,815	47,479	46,201	46,349	45,349	45,349
Functional Total	118,000	120,796	118,593	119,575	118,923	119,509
TRANSPORTATION						
Motor Vehicles, Department of	15,822	18,035	17,950	17,940	17,940	17,940
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	17,491	13,478	16,265	19,974	19,974	19,974
Functional Total	51,654	48,082	34,215	37,914	37,914	37,914
HEALTH						
Aging, Office for the	1,755	4,661	4,355	4,355	1,199	1,199
Health, Department of	833,314	977,959	1,168,298	1,173,978	1,158,770	1,179,863
<i>Medical Assistance</i>	(7,548)	0	0	0	0	0
<i>Essential Plan</i>	0	12,969	46,450	38,342	38,244	39,432
<i>Medicaid Administration</i>	322,636	596,421	764,615	782,802	767,645	787,027
<i>Public Health</i>	518,226	368,569	357,233	352,834	352,881	353,404
Medicaid Inspector General, Office of the	9,480	9,923	9,917	9,917	9,917	9,917
Stem Cell and Innovation	32,926	0	0	0	0	0
Functional Total	877,475	992,543	1,182,570	1,188,250	1,169,886	1,190,979
SOCIAL WELFARE						
Children and Family Services, Office of	146,762	154,183	145,082	150,312	156,560	156,560
<i>OCFS</i>	146,762	154,183	145,082	150,312	156,560	156,560
Housing and Community Renewal, Division of	14,424	12,665	11,453	11,503	11,552	11,552
Human Rights, Division of	2,647	2,740	1,690	1,722	1,747	1,747
Labor, Department of	127,194	68,494	92,145	94,559	94,559	94,559
National and Community Service	16,708	14,402	13,869	14,978	15,277	15,277
Temporary and Disability Assistance, Office of	139,069	147,141	145,058	141,022	142,777	143,949
<i>All Other</i>	139,069	147,141	145,058	141,022	142,777	143,949
Functional Total	446,804	399,625	409,297	414,096	422,472	423,644
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	22,567	27,994	20,728	21,244	21,811	22,373
<i>OASAS</i>	11,083	15,936	9,810	10,122	10,369	10,643
<i>OASAS - Other</i>	11,484	12,058	10,918	11,122	11,442	11,730
Developmental Disabilities Planning Council	2,015	2,094	2,242	2,190	2,149	2,149
Justice Center	11,062	12,321	9,673	10,586	10,843	11,110
Mental Health, Office of	307,092	294,458	272,618	283,270	288,415	294,237
<i>OMH</i>	66,914	65,389	47,618	49,226	50,707	52,076
<i>OMH - Other</i>	240,178	229,069	225,000	234,044	237,708	242,161

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	262,549	216,115	210,204	212,587	217,206	221,953
<i>OPWDD</i>	931	296	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	261,618	215,819	209,023	211,406	216,025	220,772
Functional Total	605,513	553,201	515,465	529,877	540,424	551,822
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	185	255	347	286	237	237
Correctional Services, Department of	539,957	526,784	553,187	566,237	566,237	566,237
Criminal Justice Services, Division of	14,343	14,069	19,967	19,960	19,960	19,960
Disaster Assistance	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	103,395	92,959	23,948	17,940	17,940	17,940
Indigent Legal Services, Office of	123	238	535	535	535	535
Judicial Conduct, Commission on	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	22,558	22,376	24,322	33,242	33,242	33,242
State Police, Division of	104,159	81,174	108,471	89,848	89,848	89,848
Statewide Financial System	22,337	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	706	553	1,287	1,287	1,287	1,287
Functional Total	807,728	709,616	752,222	749,499	749,478	749,508
HIGHER EDUCATION						
City University of New York	38,644	48,233	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	71	65	93	93	93	93
Higher Education Services Corporation, New York State	32,284	34,996	31,774	31,774	31,774	31,774
State University of New York	2,575,765	2,547,546	2,469,476	2,509,358	2,554,569	2,601,458
Functional Total	2,646,764	2,630,840	2,541,575	2,582,261	2,628,293	2,676,019
EDUCATION						
Arts, Council on the	1,180	1,234	1,922	1,922	1,922	1,922
Education, Department of	153,458	162,550	130,557	120,172	120,172	120,116
<i>School Aid</i>	220	214	0	0	0	0
<i>Special Education Categorical Programs</i>	8,365	8,460	0	0	0	0
<i>All Other</i>	144,873	153,876	130,557	120,172	120,172	120,116
Functional Total	154,638	163,784	132,479	122,094	122,094	122,038
GENERAL GOVERNMENT						
Budget, Division of the	2,611	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	999	1,615	1,621	821	834	850
Deferred Compensation Board	56	73	231	231	231	235
Elections, State Board of	4,579	6,131	6,073	12,107	2,569	2,634
Employee Relations, Office of	32	47	71	71	72	73
Gaming Commission, New York State	103,569	115,263	117,640	118,971	118,971	118,971
General Services, Office of	95,616	92,393	94,114	91,906	92,011	92,011
Inspector General, Office of the	852	831	815	815	827	839
Labor Management Committees	17,696	18,263	19,054	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	99	113	222	208	208	208
Public Employment Relations Board	221	226	236	237	241	246
Public Integrity, Commission on	729	852	911	911	930	949
State, Department of	14,651	15,425	22,590	18,335	18,035	18,035
Tax Appeals, Division of	161	272	170	170	170	170
Taxation and Finance, Department of	63,239	43,350	59,098	60,437	60,445	60,437
Technology, Office for	149,706	222,811	248,293	280,405	292,135	292,135
Veterans' Affairs, Division of	515	436	844	844	862	862
Welfare Inspector General, Office of	101	58	55	55	65	75
Workers' Compensation Board	70,897	68,936	64,353	64,353	65,521	66,713
Functional Total	526,329	590,055	641,342	675,103	678,353	679,634

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	31,504	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	432,924	453,469	476,100	476,100	476,100	476,100
Law, Department of	59,685	58,523	61,274	62,222	62,971	64,215
Legislature	46,027	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	163	101	91	91	91
Functional Total	<u>573,552</u>	<u>592,215</u>	<u>625,450</u>	<u>625,694</u>	<u>626,443</u>	<u>627,792</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	100	0	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	38,654	36,616	38,907	49,313	49,313	49,313
Miscellaneous	18,511	22,662	22,646	237,647	23,658	1,669
Functional Total	<u>57,165</u>	<u>59,278</u>	<u>61,553</u>	<u>286,960</u>	<u>72,971</u>	<u>50,982</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u>6,977,646</u>	<u>6,974,107</u>	<u>7,116,160</u>	<u>7,424,013</u>	<u>7,275,582</u>	<u>7,341,343</u>

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,532	3,573	3,527	3,673	3,768	3,873
Alcoholic Beverage Control, Division of	4,541	4,776	121	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	1,604	535	0	0	0	0
Financial Services, Department of	77,054	77,451	89,783	96,517	98,502	101,235
Olympic Regional Development Authority	0	20	0	0	0	0
Public Service Department	22,649	19,539	24,207	26,472	27,007	27,737
Functional Total	109,380	105,894	117,666	126,690	129,305	132,873
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	59,417	57,508	59,647	62,145	63,382	60,247
Parks, Recreation and Historic Preservation, Office of	2,829	2,863	3,989	4,189	4,189	4,189
Functional Total	62,246	60,371	63,636	66,334	67,571	64,436
TRANSPORTATION						
Motor Vehicles, Department of	23,356	24,964	22,133	22,893	22,971	22,971
Transportation, Department of	6,659	5,745	7,139	8,248	8,248	8,248
Functional Total	30,015	30,709	29,272	31,141	31,219	31,219
HEALTH						
Health, Department of	64,555	65,489	62,743	63,362	64,884	67,078
<i>Medicaid Administration</i>	0	3,274	0	0	0	0
<i>Public Health</i>	64,555	62,215	62,743	63,362	64,884	67,078
Medicaid Inspector General, Office of the	9,164	8,954	8,724	9,226	9,486	9,849
Stem Cell and Innovation	189	0	0	0	0	0
Functional Total	73,908	74,443	71,467	72,588	74,370	76,927
SOCIAL WELFARE						
Children and Family Services, Office of	8,439	16,738	17,825	19,493	19,919	20,467
<i>OCFS</i>	8,439	16,738	17,825	19,493	19,919	20,467
Housing and Community Renewal, Division of	18,836	19,490	20,428	20,369	20,411	20,411
Human Rights, Division of	61	0	0	0	0	0
Labor, Department of	122,174	106,533	114,936	116,093	116,093	116,093
National and Community Service	0	0	201	224	229	236
Temporary and Disability Assistance, Office of	39,575	39,101	45,173	44,973	44,973	44,973
<i>All Other</i>	39,575	39,101	45,173	44,973	44,973	44,973
Functional Total	189,085	181,862	198,563	201,152	201,625	202,180
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	31,721	32,043	34,256	36,291	37,784	39,163
<i>OASAS</i>	13,472	13,118	14,735	17,161	17,418	18,088
<i>OASAS - Other</i>	18,249	18,925	19,521	19,130	20,366	21,075
Developmental Disabilities Planning Council	560	454	701	744	785	785
Justice Center	614	665	796	865	944	962
Mental Health, Office of	606,706	605,943	608,489	626,787	663,102	684,832
<i>OMH</i>	196,822	150,200	170,150	177,304	187,095	192,469
<i>OMH - Other</i>	409,884	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	629,482	620,685	637,332	678,171	689,887	714,679
<i>OPWDD - Other</i>	629,482	620,685	637,332	678,171	689,887	714,679
Functional Total	1,269,083	1,259,790	1,281,574	1,342,858	1,392,502	1,440,421
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	930	996	1,459	1,463	1,463	1,463
Criminal Justice Services, Division of	285	300	3,448	3,448	3,448	3,448
Homeland Security and Emergency Services, Division of	8,958	10,022	3,847	3,789	3,812	3,812
Indigent Legal Services, Office of	515	599	956	956	956	956
Military and Naval Affairs, Division of	16,583	5,721	8,335	8,751	9,222	9,222

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
State Police, Division of	3,594	3,857	19,167	24,820	25,399	26,309
Victim Services, Office of	1,607	1,535	2,562	2,562	2,562	2,562
Functional Total	32,472	23,030	39,774	45,789	46,862	47,772
HIGHER EDUCATION						
City University of New York	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	83	61	99	99	99	99
Higher Education Services Corporation, New York State	13,964	8,618	10,985	11,397	11,397	11,397
State University of New York	534,497	411,739	375,802	381,258	386,796	392,417
Functional Total	556,261	428,310	394,778	400,646	406,184	411,805
EDUCATION						
Education, Department of	79,713	76,525	80,420	86,551	87,954	90,377
<i>School Aid</i>	96	12	0	0	0	0
<i>Special Education Categorical Programs</i>	5,629	4,511	0	0	0	0
<i>All Other</i>	73,988	72,002	80,420	86,551	87,954	90,377
Functional Total	79,713	76,525	80,420	86,551	87,954	90,377
GENERAL GOVERNMENT						
Budget, Division of the	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	151	147	176	176	176	176
Deferred Compensation Board	166	147	225	225	225	225
Elections, State Board of	0	0	49	0	0	0
Gaming Commission, New York State	14,956	12,895	15,836	17,575	17,575	17,575
General Services, Office of	2,208	2,589	448	469	494	494
State, Department of	10,583	9,435	12,864	13,242	13,439	13,709
Taxation and Finance, Department of	31,785	18,059	20,715	20,536	20,703	20,536
Technology, Office for	0	234	0	0	0	0
Veterans' Affairs, Division of	205	204	438	477	488	503
Workers' Compensation Board	46,013	44,194	51,448	53,402	53,402	53,402
Functional Total	107,042	88,735	103,756	107,759	108,159	108,277
ELECTED OFFICIALS						
Audit and Control, Department of	1,634	1,494	2,201	2,324	2,385	2,385
Judiciary	660,149	685,566	710,300	771,253	780,853	778,353
Law, Department of	20,546	28,822	29,425	30,619	32,434	33,211
Functional Total	682,329	715,882	741,926	804,196	815,672	813,949
ALL OTHER CATEGORIES						
General State Charges	4,131,686	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Miscellaneous	13,902	12,039	5,716	5,719	5,719	5,719
Functional Total	4,145,588	4,693,638	4,885,665	5,269,245	5,633,616	6,100,247
TOTAL GENERAL STATE CHARGES SPENDING	7,337,122	7,739,189	8,008,497	8,554,949	8,995,039	9,520,483

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	11,002	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	1,985	0	23,000	29,276	24,250	23,000
Economic Development, Department of	132	28	13,433	3,274	14,150	0
Empire State Development Corporation	66,475	33,720	245,301	372,678	443,778	367,678
Energy Research and Development Authority	9,075	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	6,900	7,500	7,500	0	0	0
Power Authority, New York	0	0	2,500	2,500	1,244	0
Regional Economic Development Program	0	0	1,500	512	356	355
Strategic Investment Program	0	0	6,000	6,000	7,371	7,000
Functional Total	95,569	57,407	331,685	441,240	509,873	415,033
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	234,957	227,641	471,270	596,408	602,032	551,032
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	98,844	143,068	138,822	130,125	127,650	127,650
Functional Total	333,850	374,161	610,092	726,533	729,682	678,682
TRANSPORTATION						
Motor Vehicles, Department of	182,188	189,879	205,120	204,080	206,609	206,950
Transportation, Department of	3,206,888	3,415,103	3,326,164	3,479,597	3,554,740	3,620,621
Functional Total	3,389,076	3,604,982	3,531,284	3,683,677	3,761,349	3,827,571
HEALTH						
Health, Department of	49,549	61,148	81,957	39,289	39,289	39,289
<i>Public Health</i>	49,549	61,148	81,957	39,289	39,289	39,289
Functional Total	49,549	61,148	81,957	39,289	39,289	39,289
SOCIAL WELFARE						
Children and Family Services, Office of	19,537	21,186	20,939	20,931	20,931	20,931
<i>OCFS</i>	19,537	21,186	20,939	20,931	20,931	20,931
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	211	376	400	400	400	400
<i>All Other</i>	211	376	400	400	400	400
Functional Total	19,748	21,562	37,339	54,331	51,331	44,331
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	(77)	0	4,972	5,000	4,800	4,700
<i>OASAS</i>	(77)	0	4,972	5,000	4,800	4,700
Mental Health, Office of	105,311	102,473	158,831	159,362	159,861	160,556
<i>OMH</i>	105,311	102,473	158,831	159,362	159,861	160,556
People with Developmental Disabilities, Office for	45,737	36,044	70,618	70,993	71,315	71,764
<i>OPWDD</i>	45,737	36,044	70,618	70,993	71,315	71,764
Functional Total	150,971	138,517	234,421	235,355	235,976	237,020
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	220,308	260,188	298,120	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	41,942	6,680	40,681	16,500	6,653	5,000
Military and Naval Affairs, Division of	29,277	38,115	50,236	41,591	35,019	24,100
State Police, Division of	7,593	19,121	34,411	47,280	36,900	25,910
Functional Total	299,120	324,104	423,448	413,435	368,636	348,074

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	<u>FY 2015</u> <u>Results</u>	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Updated</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>
HIGHER EDUCATION						
City University of New York	30,141	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	0	0	19,026	25,000	22,000	13,000
State University of New York	916,313	931,348	892,249	877,249	842,069	822,249
Functional Total	<u>946,454</u>	<u>964,808</u>	<u>946,275</u>	<u>937,649</u>	<u>899,969</u>	<u>871,869</u>
EDUCATION						
Education, Department of	4,688	7,420	12,692	40,100	27,400	25,157
<i>All Other</i>	4,688	7,420	12,692	40,100	27,400	25,157
Functional Total	<u>4,688</u>	<u>7,420</u>	<u>12,692</u>	<u>40,100</u>	<u>27,400</u>	<u>25,157</u>
GENERAL GOVERNMENT						
General Services, Office of	118,000	101,785	131,618	115,383	129,883	98,883
State, Department of	0	0	2,000	2,000	2,000	2,000
Technology, Office for	30,763	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	0	3,117	10,000	15,000	15,000	15,000
Functional Total	<u>148,763</u>	<u>200,213</u>	<u>215,868</u>	<u>189,298</u>	<u>177,583</u>	<u>145,883</u>
ELECTED OFFICIALS						
Audit and Control, Department of	0	0	4,600	1,400	0	0
Law, Department of	2,379	1,449	5,000	5,000	3,621	2,500
Functional Total	<u>2,379</u>	<u>1,449</u>	<u>9,600</u>	<u>6,400</u>	<u>3,621</u>	<u>2,500</u>
ALL OTHER CATEGORIES						
Miscellaneous	66,413	36,722	136,721	167,759	168,081	166,290
Special Infrastructure Account	0	692,433	688,615	1,627,241	1,057,610	800,750
Functional Total	<u>66,413</u>	<u>729,155</u>	<u>825,336</u>	<u>1,795,000</u>	<u>1,225,691</u>	<u>967,040</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>5,506,580</u>	<u>6,484,926</u>	<u>7,259,997</u>	<u>8,562,307</u>	<u>8,030,400</u>	<u>7,602,449</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	90,521	88,317	83,810	71,663	84,111	84,160
Alcoholic Beverage Control, Division of	17,636	17,277	12,724	12,683	12,683	12,744
Economic Development Capital	10,509	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	64,479	84,186	90,907	83,252	94,128	79,978
Empire State Development Corporation	527,613	738,836	1,564,750	1,681,999	1,423,028	1,283,928
Energy Research and Development Authority	21,047	15,191	23,450	23,000	14,724	13,000
Financial Services, Department of	491,138	360,052	359,636	354,226	356,211	362,831
Olympic Regional Development Authority	9,911	10,611	10,386	2,886	2,886	2,886
Power Authority, New York	0	0	2,500	2,500	1,244	0
Public Service Department	69,084	68,475	73,556	75,493	76,028	76,758
Regional Economic Development Program	3,071	2,787	1,500	512	356	355
Strategic Investment Program	1,030	1,427	6,000	6,000	7,371	7,000
Functional Total	<u>1,306,039</u>	<u>1,393,773</u>	<u>2,252,219</u>	<u>2,343,490</u>	<u>2,097,020</u>	<u>1,946,640</u>
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,276	4,297	4,397	4,413	4,413	4,413
Environmental Conservation, Department of	639,635	663,309	789,096	916,933	924,488	871,056
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	285,034	330,250	326,051	319,437	316,939	318,250
Functional Total	<u>928,994</u>	<u>1,001,308</u>	<u>1,119,544</u>	<u>1,240,783</u>	<u>1,245,840</u>	<u>1,193,719</u>
TRANSPORTATION						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	263,279	273,641	274,665	274,708	277,315	277,656
Thruway Authority, New York State	21,911	17,948	0	0	0	0
Transportation, Department of	7,558,074	7,313,847	7,993,041	8,205,967	8,330,999	8,434,187
Functional Total	<u>7,843,264</u>	<u>7,605,436</u>	<u>8,779,877</u>	<u>9,124,360</u>	<u>8,858,314</u>	<u>9,061,843</u>
HEALTH						
Aging, Office for the	123,306	128,410	128,664	127,755	132,851	138,074
Health, Department of	<u>19,164,099</u>	<u>19,884,667</u>	<u>20,670,109</u>	<u>21,999,457</u>	<u>23,175,595</u>	<u>24,180,833</u>
<i>Medical Assistance</i>	16,275,220	16,868,571	17,054,655	18,241,642	19,400,509	20,405,015
<i>Essential Plan</i>	0	32,575	713,715	471,125	394,642	405,812
<i>Medicaid Administration</i>	677,990	836,542	786,614	803,969	793,497	765,645
<i>Public Health</i>	2,210,889	2,146,979	2,115,125	2,482,721	2,586,947	2,604,361
Medicaid Inspector General, Office of the	20,821	20,619	20,132	19,860	19,860	19,860
Stem Cell and Innovation	33,483	0	0	0	0	0
Functional Total	<u>19,341,709</u>	<u>20,033,696</u>	<u>20,818,905</u>	<u>22,147,072</u>	<u>23,328,306</u>	<u>24,338,767</u>
SOCIAL WELFARE						
Children and Family Services, Office of	<u>1,884,379</u>	<u>2,022,575</u>	<u>1,980,790</u>	<u>1,943,711</u>	<u>2,005,415</u>	<u>2,032,349</u>
<i>OCFS</i>	1,798,380	1,933,653	1,883,490	1,846,606	1,904,126	1,926,817
<i>OCFS - Other</i>	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	159,729	155,245	245,391	420,323	640,654	670,504
Human Rights, Division of	10,582	10,263	10,046	9,921	9,921	9,921
Labor, Department of	71,294	72,790	76,150	65,119	65,119	65,119
National and Community Service	687	458	690	687	690	690
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	<u>1,408,067</u>	<u>1,406,546</u>	<u>1,457,889</u>	<u>1,494,883</u>	<u>1,513,283</u>	<u>1,529,414</u>
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	270,064	284,187	289,696	283,760	290,160	304,291
Functional Total	<u>3,534,738</u>	<u>3,667,877</u>	<u>3,783,956</u>	<u>3,964,644</u>	<u>4,262,082</u>	<u>4,327,997</u>
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	<u>445,352</u>	<u>464,041</u>	<u>488,587</u>	<u>513,205</u>	<u>526,570</u>	<u>549,127</u>
<i>OASAS</i>	361,437	377,218	405,598	430,007	441,661	462,907
<i>OASAS - Other</i>	83,915	86,823	82,989	83,198	84,909	86,220
Justice Center	31,652	39,478	40,122	42,561	42,896	43,181

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Mental Health, Office of	3,287,680	3,324,650	3,356,921	3,411,668	3,637,400	3,764,782
<i>OMH</i>	1,483,723	1,525,885	1,650,444	1,661,347	1,849,427	1,933,946
<i>OMH - Other</i>	1,803,957	1,798,765	1,706,477	1,750,321	1,787,973	1,830,836
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	3,513,040	3,223,079	2,983,566	3,457,102	3,697,933	3,910,882
<i>OPWDD</i>	481,859	370,257	456,678	486,078	534,996	590,041
<i>OPWDD - Other</i>	3,031,181	2,852,822	2,526,888	2,971,024	3,162,937	3,320,841
Functional Total	7,277,952	7,051,467	6,869,196	7,424,536	7,904,799	8,267,972
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
Correctional Services, Department of	2,867,478	2,957,044	2,936,006	2,968,859	2,953,615	2,963,770
Criminal Justice Services, Division of	194,721	198,307	206,664	196,132	196,132	196,132
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	173,492	154,701	167,156	115,162	114,304	125,125
Indigent Legal Services, Office of	52,689	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	39,737	43,855	62,650	87,382	81,543	85,372
State Police, Division of	676,989	714,871	786,231	803,794	792,993	782,913
Statewide Financial System	31,959	30,070	30,309	30,143	30,143	30,143
Victim Services, Office of	30,870	24,469	33,575	43,420	43,420	43,420
Functional Total	4,067,566	4,139,542	4,317,618	4,358,490	4,325,807	4,340,597
HIGHER EDUCATION						
City University of New York	1,512,958	1,558,283	1,582,601	1,620,254	1,660,143	1,687,736
Higher Education - Miscellaneous	300	259	390	390	390	390
Higher Education Facilities Capital Matching Grants Program	2,086	136	20,000	25,000	22,000	13,000
Higher Education Services Corporation, New York State	1,267,122	1,077,526	1,073,611	1,082,518	1,098,832	1,114,200
State University of New York	7,711,562	7,724,191	7,701,964	7,725,311	7,800,377	7,889,293
Functional Total	10,494,028	10,360,395	10,378,566	10,453,473	10,581,742	10,704,619
EDUCATION						
Arts, Council on the	66,103	41,819	45,513	45,253	45,253	45,253
Education, Department of	27,193,471	28,905,525	30,450,736	31,805,187	32,979,457	34,355,638
<i>School Aid</i>	21,630,610	23,302,030	24,772,245	26,365,359	27,494,027	28,832,158
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
<i>All Other</i>	814,909	952,214	1,105,447	1,087,146	1,083,818	1,061,081
Functional Total	27,259,574	28,947,344	30,496,249	31,850,440	33,024,710	34,400,891
GENERAL GOVERNMENT						
Budget, Division of the	23,809	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,196	13,424	14,192	13,381	13,507	13,617
Deferred Compensation Board	527	524	866	866	866	873
Elections, State Board of	6,100	7,918	8,519	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	149,273	251,588	269,558	301,003	314,803	314,803
General Services, Office of	271,982	261,313	295,630	276,850	291,480	260,480
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	24,500	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,897	2,039	2,407	2,481	2,481	2,581
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	57,843	69,470	73,210	63,602	63,499	63,769
Tax Appeals, Division of	2,849	3,035	3,068	3,040	3,040	3,040
Taxation and Finance, Department of	380,858	354,747	355,252	352,386	352,561	352,386
Technology, Office for	457,178	601,260	609,749	622,121	607,636	606,936
Veterans' Affairs, Division of	12,830	12,922	15,519	13,808	13,873	13,873
Welfare Inspector General, Office of	573	569	672	672	686	701

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Workers' Compensation Board	188,009	186,327	198,732	210,009	211,792	213,595
Functional Total	<u>1,607,044</u>	<u>1,831,318</u>	<u>1,921,947</u>	<u>1,943,649</u>	<u>1,959,915</u>	<u>1,930,625</u>
ELECTED OFFICIALS						
Audit and Control, Department of	174,514	174,200	187,280	182,409	181,070	181,253
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	2,676,077	2,758,586	2,843,100	2,919,053	2,954,053	2,954,053
Law, Department of	181,433	186,868	194,854	196,830	198,262	199,864
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
Functional Total	<u>3,253,160</u>	<u>3,349,437</u>	<u>3,458,221</u>	<u>3,531,279</u>	<u>3,566,372</u>	<u>3,568,157</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,438	728,288	714,756	732,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Functional Total	<u>765,376</u>	<u>770,971</u>	<u>759,951</u>	<u>762,259</u>	<u>792,896</u>	<u>792,896</u>
ALL OTHER CATEGORIES						
General State Charges	4,131,686	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Long-Term Debt Service	6,221,470	5,635,102	5,114,354	6,174,009	6,818,530	7,279,253
Miscellaneous	115,349	37,280	116,697	408,374	206,712	181,647
Special Infrastructure Account	0	725,592	698,615	1,647,241	1,077,610	810,750
Functional Total	<u>10,468,505</u>	<u>11,079,573</u>	<u>10,809,615</u>	<u>13,493,150</u>	<u>13,730,749</u>	<u>14,366,178</u>
TOTAL STATE FUNDS SPENDING	<u>98,147,949</u>	<u>101,232,137</u>	<u>105,765,864</u>	<u>112,637,625</u>	<u>115,678,552</u>	<u>119,240,901</u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	25,275	27,279	28,267	29,026	25,612	25,612
Economic Development Capital	8,524	6,614	0	0	0	0
Economic Development, Department of	44,284	63,694	56,075	59,379	59,379	59,379
Empire State Development Corporation	461,088	705,116	1,319,449	1,309,321	979,250	916,250
Energy Research and Development Authority	5,527	1,842	0	0	0	0
Financial Services, Department of	223,476	80,686	57,174	45,749	45,749	45,749
Public Service Department	0	0	172	172	172	172
Regional Economic Development Program	3,071	2,787	0	0	0	0
Strategic Investment Program	1,030	1,427	0	0	0	0
Functional Total	772,275	889,445	1,461,137	1,443,647	1,110,162	1,047,162
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	152,680	154,566	50,856	49,305	49,390	49,390
Parks, Recreation and Historic Preservation, Office of	8,483	9,654	9,495	8,200	8,200	8,200
Functional Total	161,163	164,220	60,351	57,505	57,590	57,590
TRANSPORTATION						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Thruway Authority, New York State	3,570	1,379	0	0	0	0
Transportation, Department of	5,356,863	5,250,252	5,661,356	5,628,771	5,683,196	5,770,906
Functional Total	5,360,433	5,251,631	6,173,527	6,272,456	5,933,196	6,120,906
HEALTH						
Aging, Office for the	121,870	127,134	127,432	126,523	131,619	136,842
Health, Department of	18,509,294	19,100,307	19,792,326	21,148,945	22,318,539	23,317,559
<i>Medical Assistance</i>	16,275,220	16,868,571	17,054,655	18,241,642	19,400,509	20,405,015
<i>Essential Plan</i>	0	19,215	665,890	431,367	354,940	364,878
<i>Medicaid Administration</i>	515,184	565,205	449,703	434,306	417,908	386,511
<i>Public Health</i>	1,718,890	1,647,316	1,622,078	2,041,630	2,145,182	2,161,155
Functional Total	18,631,164	19,227,441	19,919,758	21,275,468	22,450,158	23,454,401
SOCIAL WELFARE						
Children and Family Services, Office of	1,600,778	1,735,809	1,711,002	1,673,615	1,728,740	1,755,644
<i>OCFS</i>	1,514,779	1,646,887	1,613,702	1,576,510	1,627,451	1,650,112
<i>OCFS - Other</i>	85,999	88,922	97,300	97,105	101,289	105,532
Housing and Community Renewal, Division of	93,733	88,939	179,478	354,696	575,027	604,877
Labor, Department of	7,664	12,207	12,598	150	150	150
National and Community Service	450	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,268,258	1,259,021	1,325,098	1,369,119	1,387,519	1,397,319
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	130,255	136,662	156,905	157,996	164,396	172,196
Functional Total	2,970,883	3,096,118	3,228,526	3,397,930	3,691,786	3,758,340
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	339,180	351,774	375,822	397,860	408,783	428,970
<i>OASAS</i>	317,855	330,449	354,497	376,535	387,458	407,645
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	600	544	681	649	649	649
Mental Health, Office of	1,192,643	1,199,066	1,249,004	1,269,092	1,452,311	1,537,572
<i>OMH</i>	845,787	918,830	976,693	975,802	1,148,091	1,222,149
<i>OMH - Other</i>	346,856	280,236	272,311	293,290	304,220	315,423
People with Developmental Disabilities, Office for	1,463,642	1,198,724	963,898	1,398,447	1,613,369	1,785,213
<i>OPWDD</i>	436,065	334,196	385,879	414,904	463,500	518,096
<i>OPWDD - Other</i>	1,027,577	864,528	578,019	983,543	1,149,869	1,267,117
Functional Total	2,996,065	2,750,108	2,589,405	3,066,048	3,475,112	3,752,404
PUBLIC PROTECTION/CRIMINAL JUSTICE						

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Results	Updated	Projected	Projected	Projected
Correctional Services, Department of	5,939	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	156,998	162,227	167,537	157,251	157,251	157,251
Disaster Assistance	2,726	0	0	0	0	0
Homeland Security and Emergency Services, Division of	93,746	115,883	99,764	72,033	80,999	93,473
Indigent Legal Services, Office of	51,123	58,068	83,000	101,600	101,600	101,600
Military and Naval Affairs, Division of	724	805	911	820	820	820
Victim Services, Office of	25,567	19,401	27,419	37,279	37,279	37,279
Functional Total	336,823	360,635	384,128	374,480	383,446	395,920
HIGHER EDUCATION						
City University of New York	1,395,047	1,429,462	1,454,075	1,489,930	1,527,896	1,553,320
Higher Education Facilities Capital Matching Grants Program	2,086	136	974	0	0	0
Higher Education Services Corporation, New York State	1,209,916	1,025,146	1,021,668	1,031,459	1,047,773	1,063,141
State University of New York	487,080	514,892	508,699	472,928	479,569	479,056
Functional Total	3,094,129	2,969,636	2,985,416	2,994,317	3,055,238	3,095,517
EDUCATION						
Arts, Council on the	62,791	38,332	41,193	40,933	40,933	40,933
Education, Department of	27,022,576	28,735,444	30,250,356	31,586,575	32,772,799	34,150,475
<i>School Aid</i>	21,630,610	23,302,030	24,772,245	26,365,359	27,494,027	28,832,158
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,345,200	1,375,890	1,480,380	1,593,228
<i>All Other</i>	644,014	782,133	905,067	868,534	877,160	855,918
Functional Total	27,085,367	28,773,776	30,291,549	31,627,508	32,813,732	34,191,408
GENERAL GOVERNMENT						
Elections, State Board of	253	93	0	0	0	0
Gaming Commission, New York State	0	91,881	100,602	125,700	139,500	139,500
Prevention of Domestic Violence, Office for	543	575	785	885	885	985
State, Department of	6,545	17,766	13,439	7,379	7,379	7,379
Taxation and Finance, Department of	906	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,486	7,855	9,290	7,637	7,637	7,637
Functional Total	15,733	119,084	126,842	144,327	158,127	158,227
ELECTED OFFICIALS						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	107,429	114,655	107,300	122,300	122,300	122,300
Functional Total	139,454	146,680	139,324	154,324	154,324	154,324
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	726,338	728,288	714,756	732,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
Functional Total	765,276	770,971	759,951	762,259	792,896	792,896
ALL OTHER CATEGORIES						
Miscellaneous	34,745	(13,341)	(26,563)	17,071	7,071	5,781
Special Infrastructure Account	0	33,159	10,000	20,000	20,000	10,000
Functional Total	34,745	19,818	(16,563)	37,071	27,071	15,781
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	62,363,510	64,539,563	68,103,351	71,607,340	74,102,838	76,994,876

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	52,866	54,745	44,761	37,011	52,804	52,809
Alcoholic Beverage Control, Division of	13,095	12,501	12,603	12,683	12,683	12,744
Economic Development, Department of	20,063	20,464	21,371	20,571	20,571	20,571
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	4,841	1,431	0	0	0	0
Financial Services, Department of	191,287	201,930	212,679	211,960	211,960	215,847
Olympic Regional Development Authority	3,011	3,091	2,886	2,886	2,886	2,886
Public Service Department	47,496	49,483	49,877	49,569	49,569	49,569
Functional Total	332,709	343,645	344,177	334,680	350,473	354,426
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	4,276	4,297	4,397	4,413	4,413	4,413
Environmental Conservation, Department of	229,795	237,912	230,945	232,715	233,344	234,047
Parks, Recreation and Historic Preservation, Office of	179,509	180,898	177,175	180,353	180,330	181,641
Functional Total	413,580	423,107	412,517	417,481	418,087	420,101
TRANSPORTATION						
Motor Vehicles, Department of	58,500	59,397	49,588	49,972	49,972	49,972
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	22,502	18,588	20,752	21,100	21,100	21,100
Functional Total	99,343	94,554	70,340	71,072	71,072	71,072
HEALTH						
Aging, Office for the	1,436	1,276	1,232	1,232	1,232	1,232
Health, Department of	574,369	691,640	770,881	786,748	792,658	797,955
<i>Essential Plan</i>	0	13,360	47,825	39,758	39,702	40,934
<i>Medicaid Administration</i>	162,806	271,337	336,911	369,663	375,589	379,134
<i>Public Health</i>	411,563	406,943	386,145	377,327	377,367	377,887
Medicaid Inspector General, Office of the	20,821	20,619	20,132	19,860	19,860	19,860
Stem Cell and Innovation	33,294	0	0	0	0	0
Functional Total	629,920	713,535	792,245	807,840	813,750	819,047
SOCIAL WELFARE						
Children and Family Services, Office of	263,693	262,651	246,760	247,037	253,592	253,592
<i>OCFS</i>	263,693	262,651	246,760	247,037	253,592	253,592
Housing and Community Renewal, Division of	51,036	50,330	49,489	49,246	49,246	49,246
Human Rights, Division of	10,582	10,263	10,046	9,921	9,921	9,921
Labor, Department of	45,973	46,543	46,394	46,519	46,519	46,519
National and Community Service	237	316	340	337	340	340
Temporary and Disability Assistance, Office of	139,598	147,079	132,191	125,364	125,364	131,695
<i>All Other</i>	139,598	147,079	132,191	125,364	125,364	131,695
Functional Total	511,119	517,182	485,220	478,424	484,982	491,313
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	74,528	80,224	73,537	74,054	75,203	76,294
<i>OASAS</i>	30,187	33,651	31,394	31,311	31,985	32,474
<i>OASAS - Other</i>	44,341	46,573	42,143	42,743	43,218	43,820
Justice Center	30,470	38,304	38,702	41,110	41,367	41,634
Mental Health, Office of	1,383,568	1,417,657	1,340,916	1,356,757	1,362,466	1,382,175
<i>OMH</i>	336,351	354,871	345,089	349,209	354,720	359,125
<i>OMH - Other</i>	1,047,217	1,062,786	995,827	1,007,548	1,007,746	1,023,050
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	1,374,179	1,367,626	1,311,718	1,309,491	1,323,362	1,339,226
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	1,374,122	1,367,609	1,311,537	1,309,310	1,323,181	1,339,045
Functional Total	2,862,973	2,904,030	2,764,873	2,781,412	2,802,398	2,839,329

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,222	2,297	2,680	2,651	2,651	2,651
Correctional Services, Department of	2,641,151	2,692,513	2,632,257	2,655,162	2,657,918	2,665,073
Criminal Justice Services, Division of	37,663	36,039	39,039	38,793	38,793	38,793
Disaster Assistance	(10,737)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	37,417	31,631	25,851	25,756	25,756	25,756
Indigent Legal Services, Office of	1,051	1,449	2,739	2,739	2,739	2,739
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	24,261	26,051	35,851	70,957	71,690	72,438
State Police, Division of	666,777	693,311	734,242	733,194	732,194	732,194
Statewide Financial System	31,959	30,070	30,309	30,143	30,143	30,143
Victim Services, Office of	3,712	3,533	3,966	3,951	3,951	3,951
Functional Total	3,440,896	3,470,706	3,512,586	3,568,998	3,571,546	3,579,514
HIGHER EDUCATION						
City University of New York	80,053	87,469	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	217	198	291	291	291	291
Higher Education Services Corporation, New York State	43,245	43,763	41,377	40,081	40,081	40,081
State University of New York	5,773,804	5,866,320	5,925,265	5,993,927	6,091,994	6,195,622
Functional Total	5,897,319	5,997,750	6,052,567	6,121,331	6,220,821	6,325,898
EDUCATION						
Arts, Council on the	3,312	3,487	4,320	4,320	4,320	4,320
Education, Department of	133,491	130,640	153,449	142,658	142,658	142,474
<i>All Other</i>	133,491	130,640	153,449	142,658	142,658	142,474
Functional Total	136,803	134,127	157,769	146,978	146,978	146,794
GENERAL GOVERNMENT						
Budget, Division of the	22,834	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,045	13,277	14,016	13,205	13,331	13,441
Deferred Compensation Board	361	377	641	641	641	648
Elections, State Board of	5,847	7,825	8,519	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	134,317	146,812	153,120	157,728	157,728	157,728
General Services, Office of	151,774	156,939	163,564	160,998	161,103	161,103
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	24,500	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,354	1,464	1,622	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	41,865	43,332	47,699	43,773	43,473	43,473
Tax Appeals, Division of	2,849	3,035	3,068	3,040	3,040	3,040
Taxation and Finance, Department of	348,167	335,774	331,811	329,124	329,132	329,124
Technology, Office for	426,415	505,949	537,499	565,206	576,936	576,936
Veterans' Affairs, Division of	5,344	5,067	6,229	6,171	6,236	6,236
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	141,996	139,016	137,284	141,607	143,390	145,193
Functional Total	1,336,861	1,424,787	1,478,760	1,505,534	1,519,326	1,521,533

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS
(thousands of dollars)

	<u>FY 2015</u> <u>Results</u>	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Updated</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	140,855	140,681	148,455	146,661	146,661	146,844
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	1,908,736	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Law, Department of	165,441	168,775	171,958	173,057	174,387	176,833
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
Functional Total	<u>2,436,168</u>	<u>2,497,870</u>	<u>2,578,900</u>	<u>2,578,205</u>	<u>2,604,935</u>	<u>2,610,064</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	100	0	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	38,654	36,616	38,907	49,313	49,313	49,313
Miscellaneous	20,667	24,626	24,823	239,825	25,841	3,857
Functional Total	<u>59,321</u>	<u>61,242</u>	<u>63,730</u>	<u>289,138</u>	<u>75,154</u>	<u>53,170</u>
TOTAL STATE OPERATIONS SPENDING	<u>18,157,112</u>	<u>18,582,535</u>	<u>18,713,684</u>	<u>19,101,093</u>	<u>19,079,522</u>	<u>19,232,261</u>

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	28,342	27,923	29,737	29,183	29,238	29,243
Alcoholic Beverage Control, Division of	7,657	7,622	8,270	8,147	8,147	8,208
Economic Development, Department of	11,493	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	3,622	1,024	0	0	0	0
Financial Services, Department of	137,783	149,000	156,231	156,953	156,953	157,638
Olympic Regional Development Authority	2,548	2,593	2,548	2,548	2,548	2,548
Public Service Department	39,760	40,858	42,416	41,903	41,903	41,903
Functional Total	231,205	240,994	252,531	252,063	252,118	252,869
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	3,893	4,036	4,092	4,108	4,108	4,108
Environmental Conservation, Department of	174,406	181,598	176,334	177,270	177,551	177,668
Parks, Recreation and Historic Preservation, Office of	133,928	135,656	132,119	135,149	136,126	137,437
Functional Total	312,227	321,290	312,545	316,527	317,785	319,213
TRANSPORTATION						
Motor Vehicles, Department of	43,692	43,684	35,451	35,845	35,845	35,845
Transportation, Department of	6,980	6,407	7,291	7,516	7,516	7,516
Functional Total	50,672	50,091	42,742	43,361	43,361	43,361
HEALTH						
Aging, Office for the	1,256	1,110	1,125	1,125	1,125	1,125
Health, Department of	258,901	261,851	253,086	251,619	257,589	261,183
<i>Essential Plan</i>	0	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	29,326	31,809	34,623	40,060	45,986	49,531
<i>Public Health</i>	229,575	229,651	217,088	210,143	210,145	210,150
Medicaid Inspector General, Office of the	16,617	16,621	16,053	15,781	15,781	15,781
Stem Cell and Innovation	368	0	0	0	0	0
Functional Total	277,142	279,582	270,264	268,525	274,495	278,089
SOCIAL WELFARE						
Children and Family Services, Office of	170,077	167,328	164,069	162,408	164,023	164,023
<i>OCFS</i>	170,077	167,328	164,069	162,408	164,023	164,023
Housing and Community Renewal, Division of	38,995	38,788	40,644	40,403	40,403	40,403
Human Rights, Division of	8,919	9,398	9,586	9,461	9,461	9,461
Labor, Department of	30,078	32,557	32,493	32,618	32,618	32,618
National and Community Service	229	311	331	328	331	331
Temporary and Disability Assistance, Office of	67,810	69,932	69,996	68,985	68,985	74,144
<i>All Other</i>	67,810	69,932	69,996	68,985	68,985	74,144
Functional Total	316,108	318,314	317,119	314,203	315,821	320,980
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	53,107	54,117	54,907	54,908	55,522	56,087
<i>OASAS</i>	20,250	19,602	23,682	23,287	23,746	23,997
<i>OASAS - Other</i>	32,857	34,515	31,225	31,621	31,776	32,090
Justice Center	19,845	26,608	29,551	31,060	31,060	31,060
Mental Health, Office of	1,077,139	1,123,600	1,068,452	1,073,641	1,074,205	1,088,092
<i>OMH</i>	270,100	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	807,039	833,717	770,827	773,504	770,038	780,889
People with Developmental Disabilities, Office for	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
<i>OPWDD - Other</i>	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
Functional Total	2,262,595	2,356,115	2,255,424	2,257,513	2,267,943	2,293,512
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	2,037	2,042	2,333	2,365	2,414	2,414
Correctional Services, Department of	2,102,399	2,166,752	2,080,261	2,090,116	2,092,872	2,100,027
Criminal Justice Services, Division of	26,862	24,588	25,821	25,582	25,582	25,582

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Disaster Assistance	(9,310)	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	14,217	13,037	14,723	14,628	14,628	14,628
Indigent Legal Services, Office of	928	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,028	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	15,759	17,129	24,819	51,005	51,738	52,486
State Police, Division of	571,632	618,908	660,271	663,346	662,346	662,346
Statewide Financial System	9,622	10,234	11,522	11,350	11,350	11,350
Victim Services, Office of	3,162	3,154	3,191	3,176	3,176	3,176
Functional Total	2,741,336	2,859,495	2,829,426	2,868,053	2,870,622	2,878,560
HIGHER EDUCATION						
City University of New York	48,140	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	146	133	198	198	198	198
Higher Education Services Corporation, New York State	16,369	15,253	15,400	14,104	14,104	14,104
State University of New York	3,502,895	3,628,295	3,683,420	3,712,200	3,765,056	3,821,795
Functional Total	3,567,550	3,690,551	3,744,420	3,772,498	3,825,956	3,883,307
EDUCATION						
Arts, Council on the	2,132	2,253	2,498	2,498	2,498	2,498
Education, Department of	84,014	85,429	87,793	87,387	87,387	87,259
<i>All Other</i>	84,014	85,429	87,793	87,387	87,387	87,259
Functional Total	86,146	87,682	90,291	89,885	89,885	89,757
GENERAL GOVERNMENT						
Budget, Division of the	20,223	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	12,046	11,662	12,395	12,384	12,497	12,591
Deferred Compensation Board	305	304	410	410	410	413
Elections, State Board of	4,680	5,639	5,946	5,875	6,018	6,063
Employee Relations, Office of	2,178	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	30,748	31,549	35,480	38,757	38,757	38,757
General Services, Office of	62,120	71,454	74,437	74,079	74,079	74,079
Inspector General, Office of the	6,217	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,402	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,267	1,351	1,400	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	2,899	3,480	4,620	4,620	4,646	4,681
State, Department of	27,970	28,886	29,148	29,477	29,477	29,477
Tax Appeals, Division of	2,688	2,763	2,898	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	292,613	273,933	269,907	269,907	269,907
Technology, Office for	277,996	283,573	289,756	284,801	284,801	284,801
Veterans' Affairs, Division of	4,937	4,731	5,937	5,879	5,938	5,938
Welfare Inspector General, Office of	472	511	617	617	621	626
Workers' Compensation Board	77,315	78,723	76,555	80,878	81,493	82,104
Functional Total	829,159	855,931	855,890	854,353	855,407	856,333
ELECTED OFFICIALS						
Audit and Control, Department of	109,351	111,792	115,053	113,609	113,609	113,687
Executive Chamber	10,621	10,669	11,469	11,813	11,813	11,813
Judiciary	1,479,075	1,509,383	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	113,123	119,099	118,219	118,516	119,097	120,450
Legislature	160,777	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	336	513	523	523	523
Functional Total	1,873,246	1,918,723	1,968,485	1,967,692	1,993,673	1,997,604
ALL OTHER CATEGORIES						
Miscellaneous	2,204	1,982	2,177	2,178	2,183	2,188
Functional Total	2,204	1,982	2,177	2,178	2,183	2,188
TOTAL PERSONAL SERVICE SPENDING	12,549,590	12,980,750	12,941,314	13,006,851	13,109,249	13,215,773

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	24,524	26,822	15,024	7,828	23,566	23,566
Alcoholic Beverage Control, Division of	5,438	4,879	4,333	4,536	4,536	4,536
Economic Development, Department of	8,570	8,490	8,042	7,242	7,242	7,242
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	1,219	407	0	0	0	0
Financial Services, Department of	53,504	52,930	56,448	55,007	55,007	58,209
Olympic Regional Development Authority	463	498	338	338	338	338
Public Service Department	7,736	8,625	7,461	7,666	7,666	7,666
Functional Total	101,504	102,651	91,646	82,617	98,355	101,557
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	383	261	305	305	305	305
Environmental Conservation, Department of	55,389	56,314	54,611	55,445	55,793	56,379
Parks, Recreation and Historic Preservation, Office of	45,581	45,242	45,056	45,204	44,204	44,204
Functional Total	101,353	101,817	99,972	100,954	100,302	100,888
TRANSPORTATION						
Motor Vehicles, Department of	14,808	15,713	14,137	14,127	14,127	14,127
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	15,522	12,181	13,461	13,584	13,584	13,584
Functional Total	48,671	44,463	27,598	27,711	27,711	27,711
HEALTH						
Aging, Office for the	180	166	107	107	107	107
Health, Department of	315,468	429,789	517,795	535,129	535,069	536,772
<i>Essential Plan</i>	0	12,969	46,450	38,342	38,244	39,432
<i>Medicaid Administration</i>	133,480	239,528	302,288	329,603	329,603	329,603
<i>Public Health</i>	181,988	177,292	169,057	167,184	167,222	167,737
Medicaid Inspector General, Office of the	4,204	3,998	4,079	4,079	4,079	4,079
Stem Cell and Innovation	32,926	0	0	0	0	0
Functional Total	352,778	433,953	521,981	539,315	539,255	540,958
SOCIAL WELFARE						
Children and Family Services, Office of	93,616	95,323	82,691	84,629	89,569	89,569
<i>OCFS</i>	93,616	95,323	82,691	84,629	89,569	89,569
Housing and Community Renewal, Division of	12,041	11,542	8,845	8,843	8,843	8,843
Human Rights, Division of	1,663	865	460	460	460	460
Labor, Department of	15,895	13,986	13,901	13,901	13,901	13,901
National and Community Service	8	5	9	9	9	9
Temporary and Disability Assistance, Office of	71,788	77,147	62,195	56,379	56,379	57,551
<i>All Other</i>	71,788	77,147	62,195	56,379	56,379	57,551
Functional Total	195,011	198,868	168,101	164,221	169,161	170,333
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,421	26,107	18,630	19,146	19,681	20,207
<i>OASAS</i>	9,937	14,049	7,712	8,024	8,239	8,477
<i>OASAS - Other</i>	11,484	12,058	10,918	11,122	11,442	11,730
Justice Center	10,625	11,696	9,151	10,050	10,307	10,574
Mental Health, Office of	306,429	294,057	272,464	283,116	288,261	294,083
<i>OMH</i>	66,251	64,988	47,464	49,072	50,553	51,922
<i>OMH - Other</i>	240,178	229,069	225,000	234,044	237,708	242,161
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	261,675	215,836	209,204	211,587	216,206	220,953
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	261,618	215,819	209,023	211,406	216,025	220,772
Functional Total	600,378	547,915	509,449	523,899	534,455	545,817

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correction, Commission of	185	255	347	286	237	237
Correctional Services, Department of	538,752	525,761	551,996	565,046	565,046	565,046
Criminal Justice Services, Division of	10,801	11,451	13,218	13,211	13,211	13,211
Disaster Assistance	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	23,200	18,594	11,128	11,128	11,128	11,128
Indigent Legal Services, Office of	123	238	535	535	535	535
Judicial Conduct, Commission on	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	8,502	8,922	11,032	19,952	19,952	19,952
State Police, Division of	95,145	74,403	73,971	69,848	69,848	69,848
Statewide Financial System	22,337	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	550	379	775	775	775	775
Functional Total	699,560	611,211	683,160	700,945	700,924	700,954
HIGHER EDUCATION						
City University of New York	31,913	40,599	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	71	65	93	93	93	93
Higher Education Services Corporation, New York State	26,876	28,510	25,977	25,977	25,977	25,977
State University of New York	2,270,909	2,238,025	2,241,845	2,281,727	2,326,938	2,373,827
Functional Total	2,329,769	2,307,199	2,308,147	2,348,833	2,394,865	2,442,591
EDUCATION						
Arts, Council on the	1,180	1,234	1,822	1,822	1,822	1,822
Education, Department of	49,477	45,211	65,656	55,271	55,271	55,215
<i>All Other</i>	49,477	45,211	65,656	55,271	55,271	55,215
Functional Total	50,657	46,445	67,478	57,093	57,093	57,037
GENERAL GOVERNMENT						
Budget, Division of the	2,611	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	999	1,615	1,621	821	834	850
Deferred Compensation Board	56	73	231	231	231	235
Elections, State Board of	1,167	2,186	2,573	2,607	2,569	2,634
Employee Relations, Office of	32	47	71	71	72	73
Gaming Commission, New York State	103,569	115,263	117,640	118,971	118,971	118,971
General Services, Office of	89,654	85,485	89,127	86,919	87,024	87,024
Inspector General, Office of the	852	831	815	815	827	839
Labor Management Committees	17,696	18,263	19,054	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	87	113	222	208	208	208
Public Employment Relations Board	221	226	236	237	241	246
Public Integrity, Commission on	729	852	911	911	930	949
State, Department of	13,895	14,446	18,551	14,296	13,996	13,996
Tax Appeals, Division of	161	272	170	170	170	170
Taxation and Finance, Department of	62,365	43,161	57,878	59,217	59,225	59,217
Technology, Office for	148,419	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	407	336	292	292	298	298
Welfare Inspector General, Office of	101	58	55	55	65	75
Workers' Compensation Board	64,681	60,293	60,729	60,729	61,897	63,089
Functional Total	507,702	568,856	622,870	651,181	663,919	665,200

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
ELECTED OFFICIALS						
Audit and Control, Department of	31,504	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	429,661	449,248	468,600	468,600	468,600	468,600
Law, Department of	52,318	49,676	53,739	54,541	55,290	56,383
Legislature	46,027	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	163	101	91	91	91
Functional Total	<u>562,922</u>	<u>579,147</u>	<u>610,415</u>	<u>610,513</u>	<u>611,262</u>	<u>612,460</u>
LOCAL GOVERNMENT ASSISTANCE						
Aid and Incentives for Municipalities	100	0	0	0	0	0
Functional Total	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
ALL OTHER CATEGORIES						
Long-Term Debt Service	38,654	36,616	38,907	49,313	49,313	49,313
Miscellaneous	18,463	22,644	22,646	237,647	23,658	1,669
Functional Total	<u>57,117</u>	<u>59,260</u>	<u>61,553</u>	<u>286,960</u>	<u>72,971</u>	<u>50,982</u>
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	<u><u>5,607,522</u></u>	<u><u>5,601,785</u></u>	<u><u>5,772,370</u></u>	<u><u>6,094,242</u></u>	<u><u>5,970,273</u></u>	<u><u>6,016,488</u></u>

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,378	1,517	1,781	1,626	1,695	1,739
Alcoholic Beverage Control, Division of	4,541	4,776	121	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	1,604	535	0	0	0	0
Financial Services, Department of	76,375	77,436	89,783	96,517	98,502	101,235
Olympic Regional Development Authority	0	20	0	0	0	0
Public Service Department	21,588	18,992	23,507	25,752	26,287	27,017
Functional Total	105,486	103,276	115,220	123,923	126,512	130,019
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,247	45,186	48,612	51,092	52,309	49,174
Parks, Recreation and Historic Preservation, Office of	2,829	2,863	3,359	3,559	3,559	3,559
Functional Total	48,076	48,049	51,971	54,651	55,868	52,733
TRANSPORTATION						
Motor Vehicles, Department of	22,591	24,365	19,957	20,656	20,734	20,734
Transportation, Department of	4,242	3,638	4,186	4,558	4,558	4,558
Functional Total	26,833	28,003	24,143	25,214	25,292	25,292
HEALTH						
Health, Department of	30,886	31,572	31,302	30,764	31,398	32,319
<i>Public Health</i>	30,886	31,572	31,302	30,764	31,398	32,319
Stem Cell and Innovation	189	0	0	0	0	0
Functional Total	31,075	31,572	31,302	30,764	31,398	32,319
SOCIAL WELFARE						
Children and Family Services, Office of	371	2,929	2,089	2,128	2,152	2,182
<i>OCFS</i>	371	2,929	2,089	2,128	2,152	2,182
Housing and Community Renewal, Division of	14,960	15,976	16,424	16,381	16,381	16,381
Labor, Department of	17,657	14,040	17,158	18,450	18,450	18,450
Temporary and Disability Assistance, Office of	0	70	200	0	0	0
<i>All Other</i>	0	70	200	0	0	0
Functional Total	32,988	33,015	35,871	36,959	36,983	37,013
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	31,721	32,043	34,256	36,291	37,784	39,163
<i>OASAS</i>	13,472	13,118	14,735	17,161	17,418	18,088
<i>OASAS - Other</i>	18,249	18,925	19,521	19,130	20,366	21,075
Justice Center	582	630	739	802	880	898
Mental Health, Office of	606,158	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	196,274	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	409,884	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	629,482	620,685	637,332	678,171	689,887	714,679
<i>OPWDD - Other</i>	629,482	620,685	637,332	678,171	689,887	714,679
Functional Total	1,267,943	1,258,812	1,280,497	1,341,721	1,391,313	1,439,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	80	92	132	136	136	136
Criminal Justice Services, Division of	60	41	88	88	88	88
Homeland Security and Emergency Services, Division of	387	507	860	873	896	896
Indigent Legal Services, Office of	515	599	956	956	956	956
Military and Naval Affairs, Division of	317	(7)	14	14	14	14
State Police, Division of	2,619	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,591	1,535	2,190	2,190	2,190	2,190
Functional Total	5,569	5,206	21,818	27,577	28,179	29,089

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
HIGHER EDUCATION						
City University of New York	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	83	61	99	99	99	99
Higher Education Services Corporation, New York State	13,961	8,617	10,566	10,978	10,978	10,978
State University of New York	534,365	411,631	375,751	381,207	386,745	392,366
Functional Total	556,126	428,201	394,308	400,176	405,714	411,335
EDUCATION						
Education, Department of	32,716	32,021	34,239	35,854	36,600	37,532
<i>All Other</i>	32,716	32,021	34,239	35,854	36,600	37,532
Functional Total	32,716	32,021	34,239	35,854	36,600	37,532
GENERAL GOVERNMENT						
Budget, Division of the	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	151	147	176	176	176	176
Deferred Compensation Board	166	147	225	225	225	225
Gaming Commission, New York State	14,956	12,895	15,836	17,575	17,575	17,575
General Services, Office of	2,208	2,589	448	469	494	494
State, Department of	9,433	8,372	10,072	10,450	10,647	10,917
Taxation and Finance, Department of	31,785	18,059	20,715	20,536	20,703	20,536
Workers' Compensation Board	46,013	44,194	51,448	53,402	53,402	53,402
Functional Total	105,687	87,234	100,477	104,490	104,879	104,982
ELECTED OFFICIALS						
Audit and Control, Department of	1,634	1,494	2,201	2,324	2,385	2,385
Judiciary	659,912	685,300	710,300	771,253	780,853	778,353
Law, Department of	13,613	16,644	17,896	18,773	20,254	20,531
Functional Total	675,159	703,438	730,397	792,350	803,492	801,269
ALL OTHER CATEGORIES						
General State Charges	4,131,686	4,681,599	4,879,949	5,263,526	5,627,897	6,094,528
Miscellaneous	13,902	12,039	5,716	5,719	5,719	5,719
Functional Total	4,145,588	4,693,638	4,885,665	5,269,245	5,633,616	6,100,247
TOTAL GENERAL STATE CHARGES SPENDING	7,033,246	7,452,465	7,705,908	8,242,924	8,679,846	9,201,049

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	11,002	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	1,985	0	23,000	29,276	24,250	23,000
Economic Development, Department of	132	28	13,433	3,274	14,150	0
Empire State Development Corporation	66,475	33,720	245,301	372,678	443,778	367,678
Energy Research and Development Authority	9,075	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	6,900	7,500	7,500	0	0	0
Power Authority, New York	0	0	2,500	2,500	1,244	0
Regional Economic Development Program	0	0	1,500	512	356	355
Strategic Investment Program	0	0	6,000	6,000	7,371	7,000
Functional Total	95,569	57,407	331,685	441,240	509,873	415,033
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	211,913	225,645	458,683	583,821	589,445	538,445
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	94,213	136,835	136,022	127,325	124,850	124,850
Functional Total	306,175	365,932	594,705	711,146	714,295	663,295
TRANSPORTATION						
Motor Vehicles, Department of	182,188	189,879	205,120	204,080	206,609	206,950
Transportation, Department of	2,174,467	2,041,369	2,306,747	2,551,538	2,622,145	2,637,623
Functional Total	2,356,655	2,231,248	2,511,867	2,755,618	2,828,754	2,844,573
HEALTH						
Health, Department of	49,549	61,148	75,600	33,000	33,000	33,000
<i>Public Health</i>	49,549	61,148	75,600	33,000	33,000	33,000
Functional Total	49,549	61,148	75,600	33,000	33,000	33,000
SOCIAL WELFARE						
Children and Family Services, Office of	19,537	21,186	20,939	20,931	20,931	20,931
<i>OCFS</i>	19,537	21,186	20,939	20,931	20,931	20,931
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	211	376	400	400	400	400
<i>All Other</i>	211	376	400	400	400	400
Functional Total	19,748	21,562	34,339	51,331	48,331	41,331
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	(77)	0	4,972	5,000	4,800	4,700
<i>OASAS</i>	(77)	0	4,972	5,000	4,800	4,700
Mental Health, Office of	105,311	102,473	158,831	159,362	159,861	160,556
<i>OMH</i>	105,311	102,473	158,831	159,362	159,861	160,556
People with Developmental Disabilities, Office for	45,737	36,044	70,618	70,993	71,315	71,764
<i>OPWDD</i>	45,737	36,044	70,618	70,993	71,315	71,764
Functional Total	150,971	138,517	234,421	235,355	235,976	237,020
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	220,308	260,188	298,120	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	41,942	6,680	40,681	16,500	6,653	5,000
Military and Naval Affairs, Division of	14,435	17,006	25,874	15,591	9,019	12,100
State Police, Division of	7,593	19,121	34,411	47,280	36,900	25,910
Functional Total	284,278	302,995	399,086	387,435	342,636	336,074
HIGHER EDUCATION						
City University of New York	30,141	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	0	0	19,026	25,000	22,000	13,000
State University of New York	916,313	931,348	892,249	877,249	842,069	822,249
Functional Total	946,454	964,808	946,275	937,649	899,969	871,869

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS
(thousands of dollars)

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
EDUCATION						
Education, Department of	4,688	7,420	12,692	40,100	27,400	25,157
<i>All Other</i>	4,688	7,420	12,692	40,100	27,400	25,157
Functional Total	<u>4,688</u>	<u>7,420</u>	<u>12,692</u>	<u>40,100</u>	<u>27,400</u>	<u>25,157</u>
GENERAL GOVERNMENT						
General Services, Office of	118,000	101,785	131,618	115,383	129,883	98,883
State, Department of	0	0	2,000	2,000	2,000	2,000
Technology, Office for	30,763	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	0	3,117	10,000	15,000	15,000	15,000
Functional Total	<u>148,763</u>	<u>200,213</u>	<u>215,868</u>	<u>189,298</u>	<u>177,583</u>	<u>145,883</u>
ELECTED OFFICIALS						
Audit and Control, Department of	0	0	4,600	1,400	0	0
Law, Department of	2,379	1,449	5,000	5,000	3,621	2,500
Functional Total	<u>2,379</u>	<u>1,449</u>	<u>9,600</u>	<u>6,400</u>	<u>3,621</u>	<u>2,500</u>
ALL OTHER CATEGORIES						
Miscellaneous	46,035	13,956	112,721	145,759	168,081	166,290
Special Infrastructure Account	0	692,433	688,615	1,627,241	1,057,610	800,750
Functional Total	<u>46,035</u>	<u>706,389</u>	<u>801,336</u>	<u>1,773,000</u>	<u>1,225,691</u>	<u>967,040</u>
TOTAL CAPITAL PROJECTS SPENDING	<u><u>4,411,264</u></u>	<u><u>5,059,088</u></u>	<u><u>6,167,474</u></u>	<u><u>7,561,572</u></u>	<u><u>7,047,129</u></u>	<u><u>6,582,775</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	0	17,190	2,000	5,000	5,000	5,000
Energy Research and Development Authority	5,527	1,842	0	0	0	0
Financial Services, Department of	223,476	80,686	57,174	45,749	45,749	45,749
Public Service Department	0	0	172	172	172	172
Functional Total	229,003	99,718	59,346	50,921	50,921	50,921
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	4,487	4,754	5,450	5,450	5,450	5,450
Functional Total	4,487	4,754	5,450	5,450	5,450	5,450
TRANSPORTATION						
Transportation, Department of	4,736,445	4,633,877	4,828,429	4,922,106	4,989,567	5,079,935
Functional Total	4,736,445	4,633,877	4,828,429	4,922,106	4,989,567	5,079,935
HEALTH						
Health, Department of	6,090,916	6,250,424	6,411,660	6,286,214	6,290,566	6,305,531
<i>Medical Assistance</i>	5,113,859	5,316,631	5,639,915	5,484,740	5,477,015	5,357,712
<i>Public Health</i>	977,057	933,793	771,745	801,474	813,551	947,819
Functional Total	6,090,916	6,250,424	6,411,660	6,286,214	6,290,566	6,305,531
SOCIAL WELFARE						
Children and Family Services, Office of	2,726	2,367	3,582	3,582	3,582	3,582
<i>OCFS</i>	2,726	2,367	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	1,251	295	852	852	852	852
Labor, Department of	9	58	150	150	150	150
Temporary and Disability Assistance, Office of	0	89	0	0	0	0
<i>All Other</i>	0	89	0	0	0	0
Functional Total	3,986	2,809	4,584	4,584	4,584	4,584
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	278,379	280,641	285,467	302,837	322,395	342,457
<i>OASAS</i>	278,379	280,641	285,467	302,837	322,395	342,457
Justice Center	430	430	479	479	479	479
Mental Health, Office of	803,034	857,956	918,610	914,719	1,087,008	1,161,066
<i>OMH</i>	803,034	857,956	918,610	914,719	1,087,008	1,161,066
People with Developmental Disabilities, Office for	434,691	332,217	382,000	411,025	459,621	514,217
<i>OPWDD</i>	433,331	331,062	382,000	411,025	459,621	514,217
<i>OPWDD - Other</i>	1,360	1,155	0	0	0	0
Functional Total	1,516,534	1,471,244	1,586,556	1,629,060	1,869,503	2,018,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	29,987	34,250	30,908	17,437	17,437	17,437
Homeland Security and Emergency Services, Division of	34,184	33,702	39,376	57,061	77,027	89,501
Indigent Legal Services, Office of	51,123	58,068	83,000	101,600	101,600	101,600
Victim Services, Office of	24,620	17,531	24,631	34,491	34,491	34,491
Functional Total	139,914	143,551	177,915	210,589	230,555	243,029
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	32,000	16,000	0	0	0	0
Functional Total	32,000	16,000	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
EDUCATION						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,523,484	6,513,228	6,574,977	6,437,573	6,300,365	6,286,704
<i>School Aid</i>	3,215,584	3,169,009	3,334,100	3,447,748	3,366,100	3,404,500
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>All Other</i>	10,950	9,519	13,033	13,033	13,033	13,033
Functional Total	6,523,484	6,513,228	6,575,075	6,437,671	6,300,463	6,286,802
GENERAL GOVERNMENT						
Gaming Commission, New York State	0	91,881	100,602	125,700	139,500	139,500
State, Department of	874	638	939	939	939	939
Taxation and Finance, Department of	0	0	1,800	1,800	1,800	1,800
Functional Total	874	92,519	103,341	128,439	142,239	142,239
ELECTED OFFICIALS						
Judiciary	104,992	112,204	104,900	104,900	104,900	104,900
Functional Total	104,992	112,204	104,900	104,900	104,900	104,900
ALL OTHER CATEGORIES						
Miscellaneous	77,610	(1,400)	(579,500)	(814,500)	(814,500)	(1,049,500)
Functional Total	77,610	(1,400)	(579,500)	(814,500)	(814,500)	(1,049,500)
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	19,460,245	19,338,928	19,277,756	18,965,434	19,174,248	19,192,110

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,514	2,654	3,039	2,650	2,705	2,710
Alcoholic Beverage Control, Division of	7,657	7,622	175	0	0	0
Economic Development, Department of	0	0	103	103	103	103
Energy Research and Development Authority	3,622	1,024	0	0	0	0
Financial Services, Department of	137,783	149,000	156,231	156,953	156,953	157,638
Olympic Regional Development Authority	0	45	0	0	0	0
Public Service Department	39,760	40,858	42,416	41,903	41,903	41,903
Functional Total	191,336	201,203	201,964	201,609	201,664	202,354
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	92,238	95,957	94,149	94,836	95,117	88,034
Parks, Recreation and Historic Preservation, Office of	28,874	29,719	31,247	32,222	32,156	32,353
Functional Total	121,112	125,676	125,396	127,058	127,273	120,387
TRANSPORTATION						
Motor Vehicles, Department of	43,692	43,684	35,451	35,845	35,845	35,845
Transportation, Department of	6,980	6,407	7,291	7,516	7,516	7,516
Functional Total	50,672	50,091	42,742	43,361	43,361	43,361
HEALTH						
Health, Department of	138,422	142,666	132,019	129,420	129,422	129,427
<i>Public Health</i>	138,422	142,666	132,019	129,420	129,422	129,427
Stem Cell and Innovation	368	0	0	0	0	0
Functional Total	138,790	142,666	132,019	129,420	129,422	129,427
SOCIAL WELFARE						
Children and Family Services, Office of	2,824	2,564	3,367	3,322	3,354	3,354
<i>OCFS</i>	2,824	2,564	3,367	3,322	3,354	3,354
Housing and Community Renewal, Division of	35,037	33,869	36,379	36,204	36,204	36,204
Labor, Department of	29,991	32,519	32,403	32,530	32,530	32,530
Functional Total	67,852	68,952	72,149	72,056	72,088	72,088
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	53,107	54,117	54,907	54,908	55,522	56,087
<i>OASAS</i>	20,250	19,602	23,682	23,287	23,746	23,997
<i>OASAS - Other</i>	32,857	34,515	31,225	31,621	31,776	32,090
Justice Center	1,132	1,178	1,287	1,287	1,287	1,287
Mental Health, Office of	1,077,139	1,123,600	1,068,452	1,073,641	1,074,205	1,088,092
<i>OMH</i>	270,100	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	807,039	833,717	770,827	773,504	770,038	780,889
People with Developmental Disabilities, Office for	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
<i>OPWDD - Other</i>	1,112,504	1,151,790	1,102,514	1,097,904	1,107,156	1,118,273
Functional Total	2,243,882	2,330,685	2,227,160	2,227,740	2,238,170	2,263,739
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	147	168	219	219	219	219
Criminal Justice Services, Division of	219	342	398	392	392	392
Homeland Security and Emergency Services, Division of	9,428	10,965	13,723	13,628	13,628	13,628
Indigent Legal Services, Office of	928	1,211	2,204	2,204	2,204	2,204
Military and Naval Affairs, Division of	430	435	134	134	134	134
State Police, Division of	11,518	11,357	47,314	46,991	46,991	46,991
Statewide Financial System	340	0	0	0	0	0
Victim Services, Office of	3,162	3,154	3,191	3,176	3,176	3,176
Functional Total	26,172	27,632	67,183	66,744	66,744	66,744
HIGHER EDUCATION						
City University of New York	48,140	46,870	45,402	45,996	46,598	47,210

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Higher Education - Miscellaneous	146	133	198	198	198	198
Higher Education Services Corporation, New York State State University of New York	16,369	15,253	15,400	14,104	14,104	14,104
	3,502,621	3,626,705	3,683,420	3,712,200	3,765,056	3,821,795
Functional Total	3,567,276	3,688,961	3,744,420	3,772,498	3,825,956	3,883,307
EDUCATION						
Education, Department of	56,872	57,820	57,294	58,278	58,278	58,150
<i>All Other</i>	56,872	57,820	57,294	58,278	58,278	58,150
Functional Total	56,872	57,820	57,294	58,278	58,278	58,150
GENERAL GOVERNMENT						
Budget, Division of the	1,539	1,491	2,298	2,351	2,351	2,351
Civil Service, Department of	261	255	334	334	359	362
Deferred Compensation Board	281	280	378	378	378	381
Gaming Commission, New York State	30,748	28,187	31,198	34,475	34,475	34,475
General Services, Office of	3,701	4,615	862	858	858	858
State, Department of	15,857	17,130	17,114	16,997	16,997	16,997
Taxation and Finance, Department of	54,419	45,481	43,830	43,353	43,654	43,353
Workers' Compensation Board	77,315	78,723	76,555	80,878	81,493	82,104
Functional Total	184,121	176,162	172,569	179,624	180,565	180,881
ELECTED OFFICIALS						
Audit and Control, Department of	10,730	10,594	11,053	10,937	10,937	11,015
Judiciary	56,698	58,352	59,000	59,000	59,000	59,000
Law, Department of	26,126	29,147	28,537	29,111	29,692	30,380
Functional Total	93,554	98,093	98,590	99,048	99,629	100,395
ALL OTHER CATEGORIES						
Miscellaneous	2,151	1,919	(55,078)	(145,078)	(145,073)	(185,068)
Functional Total	2,151	1,919	(55,078)	(145,078)	(145,073)	(185,068)
TOTAL PERSONAL SERVICE SPENDING	6,743,790	6,969,860	6,886,408	6,832,358	6,898,077	6,935,765

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	17,132	19,408	9,655	2,459	18,197	18,197
Alcoholic Beverage Control, Division of	5,438	4,879	109	0	0	0
Economic Development, Department of	1,978	1,679	1,847	1,847	1,847	1,847
Energy Research and Development Authority	1,219	407	0	0	0	0
Financial Services, Department of	53,504	52,930	56,448	55,007	55,007	58,209
Olympic Regional Development Authority	0	35	150	150	150	150
Public Service Department	7,736	8,625	7,461	7,666	7,666	7,666
Functional Total	87,007	87,963	75,670	67,129	82,867	86,069
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,628	47,541	46,221	42,078	36,445	36,231
Parks, Recreation and Historic Preservation, Office of	40,592	40,223	37,709	37,857	36,857	36,857
Functional Total	86,220	87,764	83,930	79,935	73,302	73,088
TRANSPORTATION						
Motor Vehicles, Department of	14,808	15,713	14,137	14,127	14,127	14,127
Transportation, Department of	14,440	11,029	12,292	12,415	12,415	12,415
Functional Total	29,248	26,742	26,429	26,542	26,542	26,542
HEALTH						
Aging, Office for the	0	0	1	1	1	1
Health, Department of	109,580	127,278	123,485	121,512	121,550	121,965
<i>Public Health</i>	109,580	127,278	123,485	121,512	121,550	121,965
Stem Cell and Innovation	32,926	0	0	0	0	0
Functional Total	142,506	127,278	123,486	121,513	121,551	121,966
SOCIAL WELFARE						
Children and Family Services, Office of	26,288	27,443	28,938	14,946	15,527	15,527
<i>OCFS</i>	26,288	27,443	28,938	14,946	15,527	15,527
Housing and Community Renewal, Division of	9,516	9,273	8,494	8,492	8,492	8,492
Labor, Department of	15,709	13,818	13,701	13,701	13,701	13,701
Temporary and Disability Assistance, Office of	74	76	1,000	200	200	200
<i>All Other</i>	74	76	1,000	200	200	200
Functional Total	51,587	50,610	52,133	37,339	37,920	37,920
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	21,421	26,107	18,630	19,146	19,681	20,207
<i>OASAS</i>	9,937	14,049	7,712	8,024	8,239	8,477
<i>OASAS - Other</i>	11,484	12,058	10,918	11,122	11,442	11,730
Justice Center	36	30	36	37	38	39
Mental Health, Office of	306,172	293,578	271,664	282,316	287,461	293,283
<i>OMH</i>	65,994	64,509	46,664	48,272	49,753	51,122
<i>OMH - Other</i>	240,178	229,069	225,000	234,044	237,708	242,161
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	261,675	215,836	209,204	211,587	216,206	220,953
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	261,618	215,819	209,023	211,406	216,025	220,772
Functional Total	589,532	535,770	499,534	513,086	523,386	534,482
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,899	1,113	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	2,672	1,176	4,687	4,680	4,680	4,680
Homeland Security and Emergency Services, Division of	21,052	16,348	11,128	11,128	11,128	11,128
Indigent Legal Services, Office of	123	238	535	535	535	535
Military and Naval Affairs, Division of	2,917	3,052	1,207	1,207	1,207	1,207
State Police, Division of	46,651	29,676	27,199	31,699	26,699	26,699
Statewide Financial System	2,355	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Victim Services, Office of	550	379	775	775	775	775
Functional Total	78,219	51,982	48,158	52,651	47,651	47,651
HIGHER EDUCATION						
City University of New York	31,913	39,822	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	71	65	93	93	93	93
Higher Education Services Corporation, New York State	26,876	28,510	25,977	25,977	25,977	25,977
State University of New York	2,261,726	2,229,774	2,241,845	2,281,727	2,326,938	2,373,827
Functional Total	2,320,586	2,298,171	2,308,147	2,348,833	2,394,865	2,442,591
EDUCATION						
Education, Department of	27,650	27,825	25,067	25,797	25,797	25,741
<i>All Other</i>	27,650	27,825	25,067	25,797	25,797	25,741
Functional Total	27,650	27,825	25,067	25,797	25,797	25,741
GENERAL GOVERNMENT						
Budget, Division of the	973	826	2,745	2,693	2,693	2,693
Civil Service, Department of	508	71	420	420	428	436
Deferred Compensation Board	43	36	206	206	206	210
Elections, State Board of	139	221	0	0	0	0
Gaming Commission, New York State	103,569	113,017	115,151	116,482	116,482	116,482
General Services, Office of	5,780	6,122	3,931	3,123	3,228	3,228
Labor Management Committees	0	0	300	300	300	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5
Public Employment Relations Board	19	33	43	44	44	45
State, Department of	12,318	14,023	17,284	13,757	13,757	13,757
Taxation and Finance, Department of	20,877	26,531	27,825	30,164	30,496	30,164
Workers' Compensation Board	64,681	60,293	60,729	60,729	61,897	63,089
Functional Total	208,907	221,173	228,639	227,923	229,536	230,415
ELECTED OFFICIALS						
Audit and Control, Department of	4,330	3,662	5,344	5,344	5,344	5,449
Judiciary	46,560	62,130	52,400	52,400	52,400	52,400
Law, Department of	37,520	37,530	40,306	41,108	41,857	42,692
Legislature	1,046	1,469	950	950	950	950
Functional Total	89,456	104,791	99,000	99,802	100,551	101,491
ALL OTHER CATEGORIES						
Miscellaneous	(589)	810	(40,668)	(188,065)	(188,054)	(283,043)
Functional Total	(589)	810	(40,668)	(188,065)	(188,054)	(283,043)
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	3,710,329	3,620,879	3,529,525	3,412,485	3,475,914	3,444,913

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	1,378	1,517	1,781	1,626	1,695	1,739
Alcoholic Beverage Control, Division of	4,541	4,776	121	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	1,604	535	0	0	0	0
Financial Services, Department of	76,375	77,436	89,783	96,517	98,502	101,235
Olympic Regional Development Authority	0	20	0	0	0	0
Public Service Department	21,588	18,992	23,507	25,752	26,287	27,017
Functional Total	105,486	103,276	115,220	123,923	126,512	130,019
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	45,247	45,186	48,612	51,092	52,309	49,174
Parks, Recreation and Historic Preservation, Office of	2,829	2,863	3,359	3,559	3,559	3,559
Functional Total	48,076	48,049	51,971	54,651	55,868	52,733
TRANSPORTATION						
Motor Vehicles, Department of	22,591	24,365	19,957	20,656	20,734	20,734
Transportation, Department of	4,242	3,638	4,186	4,558	4,558	4,558
Functional Total	26,833	28,003	24,143	25,214	25,292	25,292
HEALTH						
Health, Department of	30,886	31,572	31,302	30,764	31,398	32,319
<i>Public Health</i>	30,886	31,572	31,302	30,764	31,398	32,319
Stem Cell and Innovation	189	0	0	0	0	0
Functional Total	31,075	31,572	31,302	30,764	31,398	32,319
SOCIAL WELFARE						
Children and Family Services, Office of	371	2,929	2,089	2,128	2,152	2,182
<i>OCFS</i>	371	2,929	2,089	2,128	2,152	2,182
Housing and Community Renewal, Division of	14,960	15,976	16,424	16,381	16,381	16,381
Labor, Department of	17,657	14,040	17,158	18,450	18,450	18,450
Temporary and Disability Assistance, Office of	0	70	200	0	0	0
<i>All Other</i>	0	70	200	0	0	0
Functional Total	32,988	33,015	35,871	36,959	36,983	37,013
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	31,721	32,043	34,256	36,291	37,784	39,163
<i>OASAS</i>	13,472	13,118	14,735	17,161	17,418	18,088
<i>OASAS - Other</i>	18,249	18,925	19,521	19,130	20,366	21,075
Justice Center	582	630	739	802	880	898
Mental Health, Office of	606,158	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	196,274	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	409,884	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	629,482	620,685	637,332	678,171	689,887	714,679
<i>OPWDD - Other</i>	629,482	620,685	637,332	678,171	689,887	714,679
Functional Total	1,267,943	1,258,812	1,280,497	1,341,721	1,391,313	1,439,219
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	80	92	132	136	136	136
Criminal Justice Services, Division of	60	41	88	88	88	88
Homeland Security and Emergency Services, Division of	387	507	860	873	896	896
Indigent Legal Services, Office of	515	599	956	956	956	956
Military and Naval Affairs, Division of	317	(7)	14	14	14	14
State Police, Division of	2,619	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,591	1,535	2,190	2,190	2,190	2,190
Functional Total	5,569	5,206	21,818	27,577	28,179	29,089

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
HIGHER EDUCATION						
City University of New York	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	83	61	99	99	99	99
Higher Education Services Corporation, New York State	13,961	8,617	10,566	10,978	10,978	10,978
State University of New York	316,600	370,529	375,751	381,207	386,745	392,366
Functional Total	338,361	387,099	394,308	400,176	405,714	411,335
EDUCATION						
Education, Department of	32,716	32,021	34,239	35,854	36,600	37,532
<i>All Other</i>	32,716	32,021	34,239	35,854	36,600	37,532
Functional Total	32,716	32,021	34,239	35,854	36,600	37,532
GENERAL GOVERNMENT						
Budget, Division of the	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	151	147	176	176	176	176
Deferred Compensation Board	166	147	225	225	225	225
Gaming Commission, New York State	14,956	12,895	15,836	17,575	17,575	17,575
General Services, Office of	2,208	2,589	448	469	494	494
State, Department of	9,433	8,372	10,072	10,450	10,647	10,917
Taxation and Finance, Department of	31,785	18,059	20,715	20,536	20,703	20,536
Workers' Compensation Board	46,013	44,194	51,448	53,402	53,402	53,402
Functional Total	105,687	87,234	100,477	104,490	104,879	104,982
ELECTED OFFICIALS						
Audit and Control, Department of	1,634	1,494	2,201	2,324	2,385	2,385
Judiciary	23,257	21,727	28,500	28,750	28,750	28,750
Law, Department of	13,613	16,644	17,896	18,773	20,254	20,531
Functional Total	38,504	39,865	48,597	49,847	51,389	51,666
ALL OTHER CATEGORIES						
Miscellaneous	1,315	1,083	1,296	1,299	1,299	1,299
Functional Total	1,315	1,083	1,296	1,299	1,299	1,299
TOTAL GENERAL STATE CHARGES SPENDING	2,034,553	2,055,235	2,139,739	2,232,475	2,295,426	2,352,498

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Economic Development, Department of	6,079	7,716	8,055	8,055	8,055	8,055
Functional Total	6,079	7,716	8,055	8,055	8,055	8,055
PARKS AND THE ENVIRONMENT						
Parks, Recreation and Historic Preservation, Office of	1,303	4,028	1,270	1,270	1,270	1,270
Functional Total	1,303	4,028	1,270	1,270	1,270	1,270
TRANSPORTATION						
Motor Vehicles, Department of	14,536	14,681	18,000	18,000	18,000	18,000
Transportation, Department of	45,354	22,705	33,927	33,927	33,927	33,927
Functional Total	59,890	37,386	51,927	51,927	51,927	51,927
HEALTH						
Aging, Office for the	101,849	90,659	111,159	104,301	89,203	89,203
Health, Department of	31,407,191	35,035,348	36,844,759	39,070,829	40,388,627	41,893,426
<i>Medical Assistance</i>	29,400,998	31,226,036	31,450,999	32,941,043	33,868,784	35,156,549
<i>Essential Plan</i>	0	1,506,723	3,016,997	3,746,597	4,105,079	4,478,341
<i>Medicaid Administration</i>	444,650	440,017	352,436	352,436	352,436	352,436
<i>Public Health</i>	1,561,543	1,862,572	2,024,327	2,030,753	2,062,328	1,906,100
Functional Total	31,509,040	35,126,007	36,955,918	39,175,130	40,477,830	41,982,629
SOCIAL WELFARE						
Children and Family Services, Office of	1,077,094	896,967	1,031,300	966,300	966,300	966,300
<i>OCFS</i>	1,077,094	896,967	1,031,300	966,300	966,300	966,300
Housing and Community Renewal, Division of	48,983	50,830	48,434	48,434	48,434	48,434
Labor, Department of	147,172	156,302	158,117	158,325	158,325	158,325
Temporary and Disability Assistance, Office of	3,463,500	3,700,880	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,632,607	2,863,393	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	830,893	837,487	817,000	817,000	817,000	817,000
Functional Total	4,736,749	4,804,979	4,681,427	4,616,635	4,616,635	4,616,635
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	111,100	123,561	122,781	122,781	122,781	122,781
<i>OASAS</i>	111,100	123,561	122,781	122,781	122,781	122,781
Mental Health, Office of	33,780	32,743	39,979	39,979	39,979	39,979
<i>OMH</i>	33,780	32,743	39,979	39,979	39,979	39,979
People with Developmental Disabilities, Office for	0	0	8,500	8,500	8,500	8,500
<i>OPWDD</i>	0	0	8,500	8,500	8,500	8,500
Functional Total	144,880	156,304	171,260	171,260	171,260	171,260
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Criminal Justice Services, Division of	16,082	17,835	18,800	18,800	18,800	18,800
Homeland Security and Emergency Services, Division of	2,228,504	1,722,015	1,544,771	949,124	663,771	663,771
State Police, Division of	0	0	6,000	0	0	0
Victim Services, Office of	29,925	38,713	30,128	30,128	30,128	30,128
Functional Total	2,274,511	1,778,563	1,599,699	998,052	712,699	712,699
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	352	38	0	0	0	0
State University of New York	0	0	7,941	7,941	7,941	7,941
Functional Total	352	38	7,941	7,941	7,941	7,941

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE GRANTS
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
EDUCATION						
Arts, Council on the	965	359	600	600	600	600
Education, Department of	<u>3,198,876</u>	<u>3,658,643</u>	<u>3,571,776</u>	<u>3,625,426</u>	<u>3,689,557</u>	<u>3,745,065</u>
<i>School Aid</i>	2,053,052	2,199,123	2,678,000	2,723,400	2,769,850	2,817,358
<i>Special Education Categorical Programs</i>	659,120	862,379	821,450	829,700	838,000	846,000
<i>All Other</i>	<u>486,704</u>	<u>597,141</u>	<u>72,326</u>	<u>72,326</u>	<u>81,707</u>	<u>81,707</u>
Functional Total	<u>3,199,841</u>	<u>3,659,002</u>	<u>3,572,376</u>	<u>3,626,026</u>	<u>3,690,157</u>	<u>3,745,665</u>
GENERAL GOVERNMENT						
Elections, State Board of	306	493	229	0	0	0
General Services, Office of	0	0	250	250	250	250
State, Department of	<u>57,298</u>	<u>54,665</u>	<u>55,457</u>	<u>55,457</u>	<u>55,457</u>	<u>55,457</u>
Functional Total	<u>57,604</u>	<u>55,158</u>	<u>55,936</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>
ALL OTHER CATEGORIES						
Miscellaneous	<u>(361,032)</u>	<u>(466,435)</u>	<u>(470,960)</u>	<u>(465,410)</u>	<u>(476,665)</u>	<u>(447,938)</u>
Functional Total	<u>(361,032)</u>	<u>(466,435)</u>	<u>(470,960)</u>	<u>(465,410)</u>	<u>(476,665)</u>	<u>(447,938)</u>
TOTAL LOCAL ASSISTANCE GRANTS SPENDING	<u><u>41,629,217</u></u>	<u><u>45,162,746</u></u>	<u><u>46,634,849</u></u>	<u><u>48,246,593</u></u>	<u><u>49,316,816</u></u>	<u><u>50,905,850</u></u>

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	3,318	4,193	3,200	3,413	3,413	3,413
Financial Services, Department of	589	0	0	0	0	0
Public Service Department	1,485	1,934	1,202	1,202	1,202	1,202
Functional Total	5,392	6,127	4,402	4,615	4,615	4,615
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	27,266	25,874	27,759	27,759	27,759	27,759
Parks, Recreation and Historic Preservation, Office of	2,098	1,759	1,123	1,123	1,123	1,123
Functional Total	29,364	27,633	28,882	28,882	28,882	28,882
TRANSPORTATION						
Motor Vehicles, Department of	1,161	1,203	3,735	3,735	3,735	3,735
Transportation, Department of	3,903	3,802	5,449	5,387	5,387	5,387
Functional Total	5,064	5,005	9,184	9,122	9,122	9,122
HEALTH						
Aging, Office for the	5,392	5,915	6,245	6,160	6,160	6,160
Health, Department of	72,922	84,597	91,822	96,508	102,379	105,912
<i>Medicaid Administration</i>	24,910	29,772	34,250	40,060	45,986	49,531
<i>Public Health</i>	48,012	54,825	57,572	56,448	56,393	56,381
Medicaid Inspector General, Office of the	16,626	16,501	16,245	15,975	15,975	15,975
Functional Total	94,940	107,013	114,312	118,643	124,514	128,047
SOCIAL WELFARE						
Children and Family Services, Office of	25,232	23,309	28,814	28,957	29,247	29,247
<i>OCFS</i>	25,232	23,309	28,814	28,957	29,247	29,247
Housing and Community Renewal, Division of	6,870	6,782	7,555	7,520	7,595	7,595
Human Rights, Division of	2,655	2,501	3,093	3,106	3,135	3,135
Labor, Department of	184,704	170,297	173,441	170,867	170,867	170,867
National and Community Service	309	245	367	373	377	377
Temporary and Disability Assistance, Office of	74,037	72,753	77,390	76,939	77,755	77,755
<i>All Other</i>	74,037	72,753	77,390	76,939	77,755	77,755
Functional Total	293,807	275,887	290,660	287,762	288,976	288,976
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	4,424	5,107	5,107	5,109	5,153	5,196
<i>OASAS</i>	4,424	5,107	5,107	5,109	5,153	5,196
Developmental Disabilities Planning Council	991	838	1,257	1,266	1,266	1,266
Justice Center	30	67	102	103	103	103
Mental Health, Office of	943	913	584	584	584	584
<i>OMH</i>	943	913	584	584	584	584
Functional Total	6,388	6,925	7,050	7,062	7,106	7,149
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,871	23,474	15,783	15,753	15,753	15,753
Criminal Justice Services, Division of	2,742	5,059	5,630	5,602	5,602	5,602
Homeland Security and Emergency Services, Division of	21,292	14,004	6,620	6,490	6,490	6,490
Military and Naval Affairs, Division of	27,171	18,388	21,993	21,993	21,993	21,993
State Police, Division of	10,567	10,425	7,000	7,000	7,000	7,000
Victim Services, Office of	1,009	920	1,676	1,658	1,658	1,658
Functional Total	64,652	72,270	58,702	58,496	58,496	58,496
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	246	3	836	836	836	836
State University of New York	8,602	9,026	7,229	7,229	7,229	7,229
Functional Total	8,848	9,029	8,065	8,065	8,065	8,065

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
EDUCATION						
Education, Department of	83,101	85,455	85,937	84,486	84,486	84,486
<i>School Aid</i>	68	82	0	0	0	0
<i>Special Education Categorical Programs</i>	10,440	9,167	0	0	0	0
<i>All Other</i>	72,593	76,206	85,937	84,486	84,486	84,486
Functional Total	83,101	85,455	85,937	84,486	84,486	84,486
GENERAL GOVERNMENT						
Elections, State Board of	0	91	0	0	0	0
Prevention of Domestic Violence, Office for	47	17	0	0	0	0
State, Department of	2,042	2,174	3,731	3,731	3,731	3,731
Technology, Office for	0	437	0	0	0	0
Veterans' Affairs, Division of	375	386	801	796	804	804
Functional Total	2,464	3,105	4,532	4,527	4,535	4,535
ELECTED OFFICIALS						
Judiciary	1,851	1,444	0	0	0	0
Law, Department of	17,385	17,509	19,975	19,977	19,981	20,329
Functional Total	19,236	18,953	19,975	19,977	19,981	20,329
TOTAL PERSONAL SERVICE SPENDING	613,256	617,402	631,701	631,637	638,778	642,702

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	8,706	9,289	9,468	9,788	9,691	9,660
Economic Development, Department of	88	592	245	245	245	245
Financial Services, Department of	1,449	1,409	0	0	0	0
Public Service Department	177	131	40	40	40	40
Functional Total	10,420	11,421	9,753	10,073	9,976	9,945
PARKS AND THE ENVIRONMENT						
Adirondack Park Agency	140	53	350	350	350	350
Environmental Conservation, Department of	14,273	16,689	17,126	17,126	17,126	17,126
Parks, Recreation and Historic Preservation, Office of	2,234	2,237	1,145	1,145	1,145	1,145
Functional Total	16,647	18,979	18,621	18,621	18,621	18,621
TRANSPORTATION						
Motor Vehicles, Department of	1,014	2,322	3,813	3,813	3,813	3,813
Transportation, Department of	1,969	1,297	2,804	6,390	6,390	6,390
Functional Total	2,983	3,619	6,617	10,203	10,203	10,203
HEALTH						
Aging, Office for the	1,575	4,495	4,248	4,248	1,092	1,092
Health, Department of	517,846	548,170	650,503	638,849	623,701	643,091
<i>Medical Assistance</i>	(7,548)	0	0	0	0	0
<i>Medicaid Administration</i>	189,156	356,893	462,327	453,199	438,042	457,424
<i>Public Health</i>	336,238	191,277	188,176	185,650	185,659	185,667
Medicaid Inspector General, Office of the	5,276	5,925	5,838	5,838	5,838	5,838
Functional Total	524,697	558,590	660,589	648,935	630,631	650,021
SOCIAL WELFARE						
Children and Family Services, Office of	53,146	58,860	62,391	65,683	66,991	66,991
<i>OCFS</i>	53,146	58,860	62,391	65,683	66,991	66,991
Housing and Community Renewal, Division of	2,383	1,123	2,608	2,660	2,709	2,709
Human Rights, Division of	984	1,875	1,230	1,262	1,287	1,287
Labor, Department of	111,299	54,508	78,244	80,658	80,658	80,658
National and Community Service	16,700	14,397	13,860	14,969	15,268	15,268
Temporary and Disability Assistance, Office of	67,281	69,994	82,863	84,643	86,398	86,398
<i>All Other</i>	67,281	69,994	82,863	84,643	86,398	86,398
Functional Total	251,793	200,757	241,196	249,875	253,311	253,311
MENTAL HYGIENE						
Alcoholism and Substance Abuse Services, Office of	1,146	1,887	2,098	2,098	2,130	2,166
<i>OASAS</i>	1,146	1,887	2,098	2,098	2,130	2,166
Developmental Disabilities Planning Council	2,015	2,094	2,242	2,190	2,149	2,149
Justice Center	437	625	522	536	536	536
Mental Health, Office of	663	401	154	154	154	154
<i>OMH</i>	663	401	154	154	154	154
People with Developmental Disabilities, Office for	874	279	1,000	1,000	1,000	1,000
<i>OPWDD</i>	874	279	1,000	1,000	1,000	1,000
Functional Total	5,135	5,286	6,016	5,978	5,969	6,005
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	1,205	1,023	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	3,542	2,618	6,749	6,749	6,749	6,749
Homeland Security and Emergency Services, Division of	80,195	74,365	12,820	6,812	6,812	6,812
Military and Naval Affairs, Division of	14,056	13,454	13,290	13,290	13,290	13,290
State Police, Division of	9,014	6,771	34,500	20,000	20,000	20,000
Victim Services, Office of	156	174	512	512	512	512
Functional Total	108,168	98,405	69,062	48,554	48,554	48,554

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE/INDIRECT COSTS
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
HIGHER EDUCATION						
City University of New York	6,731	7,634	0	0	0	0
Higher Education Services Corporation, New York State	5,408	6,486	5,797	5,797	5,797	5,797
State University of New York	304,855	309,521	227,631	227,631	227,631	227,631
Functional Total	316,994	323,641	233,428	233,428	233,428	233,428
EDUCATION						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	103,981	117,339	64,901	64,901	64,901	64,901
<i>School Aid</i>	220	214	0	0	0	0
<i>Special Education Categorical Programs</i>	8,365	8,460	0	0	0	0
<i>All Other</i>	95,396	108,665	64,901	64,901	64,901	64,901
Functional Total	103,981	117,339	65,001	65,001	65,001	65,001
GENERAL GOVERNMENT						
Elections, State Board of	3,412	3,945	3,500	9,500	0	0
General Services, Office of	5,962	6,908	4,987	4,987	4,987	4,987
Prevention of Domestic Violence, Office for	12	0	0	0	0	0
State, Department of	756	979	4,039	4,039	4,039	4,039
Taxation and Finance, Department of	874	189	1,220	1,220	1,220	1,220
Technology, Office for	1,287	435	550	0	0	0
Veterans' Affairs, Division of	108	100	552	552	564	564
Workers' Compensation Board	6,216	8,643	3,624	3,624	3,624	3,624
Functional Total	18,627	21,199	18,472	23,922	14,434	14,434
ELECTED OFFICIALS						
Judiciary	3,264	4,221	7,500	7,500	7,500	7,500
Law, Department of	7,367	8,847	7,535	7,681	7,681	7,832
Functional Total	10,631	13,068	15,035	15,181	15,181	15,332
ALL OTHER CATEGORIES						
Miscellaneous	48	18	0	0	0	0
Functional Total	48	18	0	0	0	0
TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING	1,370,124	1,372,322	1,343,790	1,329,771	1,305,309	1,324,855

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT						
Agriculture and Markets, Department of	2,154	2,056	1,746	2,047	2,073	2,134
Financial Services, Department of	679	15	0	0	0	0
Public Service Department	1,061	547	700	720	720	720
Functional Total	3,894	2,618	2,446	2,767	2,793	2,854
PARKS AND THE ENVIRONMENT						
Environmental Conservation, Department of	14,170	12,322	11,035	11,053	11,073	11,073
Parks, Recreation and Historic Preservation, Office of	0	0	630	630	630	630
Functional Total	14,170	12,322	11,665	11,683	11,703	11,703
TRANSPORTATION						
Motor Vehicles, Department of	765	599	2,176	2,237	2,237	2,237
Transportation, Department of	2,417	2,107	2,953	3,690	3,690	3,690
Functional Total	3,182	2,706	5,129	5,927	5,927	5,927
HEALTH						
Health, Department of	33,669	33,917	31,441	32,598	33,486	34,759
<i>Medicaid Administration</i>	0	3,274	0	0	0	0
<i>Public Health</i>	33,669	30,643	31,441	32,598	33,486	34,759
Medicaid Inspector General, Office of the	9,164	8,954	8,724	9,226	9,486	9,849
Functional Total	42,833	42,871	40,165	41,824	42,972	44,608
SOCIAL WELFARE						
Children and Family Services, Office of	8,068	13,809	15,736	17,365	17,767	18,285
<i>OCFS</i>	8,068	13,809	15,736	17,365	17,767	18,285
Housing and Community Renewal, Division of	3,876	3,514	4,004	3,988	4,030	4,030
Human Rights, Division of	61	0	0	0	0	0
Labor, Department of	104,517	92,493	97,778	97,643	97,643	97,643
National and Community Service	0	0	201	224	229	236
Temporary and Disability Assistance, Office of	39,575	39,031	44,973	44,973	44,973	44,973
<i>All Other</i>	39,575	39,031	44,973	44,973	44,973	44,973
Functional Total	156,097	148,847	162,692	164,193	164,642	165,167
MENTAL HYGIENE						
Developmental Disabilities Planning Council	560	454	701	744	785	785
Justice Center	32	35	57	63	64	64
Mental Health, Office of	548	489	319	330	340	353
<i>OMH</i>	548	489	319	330	340	353
Functional Total	1,140	978	1,077	1,137	1,189	1,202
PUBLIC PROTECTION/CRIMINAL JUSTICE						
Correctional Services, Department of	850	904	1,327	1,327	1,327	1,327
Criminal Justice Services, Division of	225	259	3,360	3,360	3,360	3,360
Homeland Security and Emergency Services, Division of	8,571	9,515	2,987	2,916	2,916	2,916
Military and Naval Affairs, Division of	16,266	5,728	8,321	8,737	9,208	9,208
State Police, Division of	975	1,418	1,589	1,500	1,500	1,500
Victim Services, Office of	16	0	372	372	372	372
Functional Total	26,903	17,824	17,956	18,212	18,683	18,683
HIGHER EDUCATION						
Higher Education Services Corporation, New York State	3	1	419	419	419	419
State University of New York	132	108	51	51	51	51
Functional Total	135	109	470	470	470	470
EDUCATION						
Education, Department of	46,997	44,504	46,181	50,697	51,354	52,845

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<i>School Aid</i>	96	12	0	0	0	0
<i>Special Education Categorical Programs</i>	5,629	4,511	0	0	0	0
<i>All Other</i>	41,272	39,981	46,181	50,697	51,354	52,845
Functional Total	46,997	44,504	46,181	50,697	51,354	52,845
GENERAL GOVERNMENT						
Elections, State Board of	0	0	49	0	0	0
State, Department of	1,150	1,063	2,792	2,792	2,792	2,792
Technology, Office for	0	234	0	0	0	0
Veterans' Affairs, Division of	205	204	438	477	488	503
Functional Total	1,355	1,501	3,279	3,269	3,280	3,295
ELECTED OFFICIALS						
Judiciary	237	265	0	0	0	0
Law, Department of	6,933	12,178	11,529	11,846	12,180	12,680
Functional Total	7,170	12,443	11,529	11,846	12,180	12,680
TOTAL GENERAL STATE CHARGES SPENDING	303,876	286,723	302,589	312,025	315,193	319,434

General Fund Transfers From Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
RBTF - Dedicated PIT in excess of Debt Service		10,159,011	10,634,305	10,693,065	10,206,049	10,288,460
STBF - Sales Tax Bond Fund		2,758,744	2,669,201	2,760,670	2,796,021	2,979,942
LGAC - Dedicated Sales Tax in excess of Debt Service		2,728,418	2,866,759	3,115,241	3,156,006	3,294,412
CWCA - Real Estate Transfer Tax in excess of Debt Service		972,168	951,026	1,020,524	1,076,234	1,128,097
Total All Other Transfers		1,252,487	1,218,067	716,471	715,119	699,411
339.22094	Accident Prevention Course Program	606	0	0	0	0
339.21982	Administration Program	0	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22033	Alcohol Beverage Control	834	2,184	0	0	0
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office Account	45	45	45	45	45
339.22003	Bell Jar Collection Account	1,008	1	1	1	1
339.21977	Business and Licensing Services Account	92,647	54,705	48,308	48,135	47,901
339.21920	Certificate of Need Account	18,539	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	292,888	10,000	0	0	0
061.20810	Child Health Insurance Account	2	0	0	0	0
334.55055	Civil Service Administration Account	314	1,651	1,651	1,651	1,651
396.55301	Civil Service EBD Administration Reimbursement Account	582	639	639	639	639
334.55056	Civil Service EHS Occupation Health Program Account	8	8	8	8	8
339.21962	Clinical Laboratory Reference Fee Account	430	289	289	289	289
S01.23702	Commercial Gaming Regulation	2	2	2	2	2
S01.23701	Commercial Gaming Revenue Account	0	120,800	0	0	0
339.21997	Conference Fee Account	6	0	0	0	0
339.21966	Consumer Food Industry Account	495	0	0	0	0
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries Account	357	357	357	357	357
339.21945	Criminal Justice Improvement Account	22,612	8,596	8,596	8,596	8,596
339.22042	DED Marketing Account	331	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund	46,577	50,968	50,968	50,968	50,968
339.21923	Department of Labor Fee and Penalty Account	9,300	8,372	8,372	8,372	8,372
323.55010	Design and Construction Account	2,866	1,866	1,866	1,866	1,866
339.22087	DMV-Compulsory Insurance	11,831	0	0	0	0
486.26000	DOL Federal Grants	691	0	0	0	0
366.23102	Drinking Water Program Management and Administration - Health Account	0	1,108	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	89	131	131	131	131
339.21943	Energy Research Account	0	3,894	0	0	0
078.30450	Environ Protect Fund	25,000	0	0	0	0
339.21959	Environmental Laboratory Fee Account	102	131	131	131	131
301.21081	Environmental Regulatory Account	1,692	1,692	1,692	1,692	1,692
307.21351	Equipment Loan Fund Account	0	7	7	7	7
339.22065	Examination and Miscellaneous Revenue Account	2,379	1,961	1,961	1,961	1,961
267.25200	Federal Education Fund	228	1,569	1,569	1,569	1,569
301.21065	Federal Grant Indirect Cost Recovery Account	134	134	134	134	134
265.25100	Federal Health and Human Services Fund	130,053	117,423	117,423	117,423	103,423
290.25300	Federal Operating Grants Fund	1,723	576	576	576	576
261.25000	Federal USDA/Food and Nutrition Services Fund	2,463	33,801	33,801	33,801	33,801
339.21950	Fingerprint Identification & Technology Account	7,015	12,563	12,563	12,563	12,563
339.21904	Fire Prevention and Code Enforcement Account	0	29,620	14,810	14,810	14,810
339.21996	Fire Protection Account	228	0	0	0	0
339.22075	Funeral Directing Program Account	8	8	8	8	8
SRO.SRO00	Fund Sweeps	0	50,000	0	0	0
312.31500	Hazardous Waste Remedial Fund	23,785	28,849	28,849	28,849	28,849
396.55300	Health Insurance Internal Services Account	2,801	3,428	3,428	3,428	3,428
S02.23755	Health Operation and Oversight Account	768	0	0	0	0
339.22140	Helen Hayes Hospital Account	0	299	299	299	299
339.21960	HESC - Insurance Premium Payments	12,955	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	0	201	201	201	201
301.21060	Indirect Charges Account	863	863	863	863	863
339.21994	Insurance Department Account	693	0	0	0	0
334.55071	Labor Contact Center Account	40	0	0	0	0
339.22096	Legal Services Assistance Fund	2,830	2,830	2,830	2,830	2,830
052.20501	Local Government Records Management Account	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5
160.20902	Lottery Administration - New	4,066	4,204	4,204	4,204	4,204
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
169.60615	Medicaid Recovery Health Facilities	0	3,700	3,700	3,700	3,700
339.21907	Mental Hygiene Program Fund Account	79,612	0	0	0	0
225.23652	Metropolitan Transportation Authority Aid Trust Account	329	225	225	225	225
314.21452	Mobile Source Account	5,960	4,746	4,746	4,746	4,746
225.23651	Mobility Tax Trust Account	2,273	5,400	5,400	5,400	5,400
339.22144	Montrose State Veterans Home	0	67	67	67	67
354.22801	Motor Vehicle Theft and Insurance Fraud Account	300	300	300	300	300
339.21976	Motorcycle Safety Fund	6	0	0	0	0
339.22142	New York State Home for Veterans and their Dependents (Oxford) Account	0	119	119	119	119
339.22141	NYC Veterans Home (St. Albans) Account	0	107	107	107	107
305.21252	Occupational Safety and Health Inspection Account	744	0	0	0	0
305.21251	Occupational Safety and Health Training and Education Account	927	0	0	0	0
339.22070	OER National Association of State Directors of Employee Relations Account	121	0	0	0	0
339.22051	Office of the Professions Account	2,777	2,777	2,777	2,777	2,777
323.5502X	OGS Executive Direction Account	971	105	105	105	105

**General Fund Transfers From Other Funds
(thousands of dollars)**

SFS Fund	Account Name	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
339.219YN	OGS Standards and Purchase Account	3,000	3,000	3,000	3,000	3,000
331.OGSPS	Parking Services	0	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance	0	102	102	102	102
061.20814	Primary Care Initiatives Account	0	158	158	158	158
339.22088	Professional Medical Conduct Account	4,912	291	291	291	291
061.20815	Provider Collection Monitoring Account	0	674	674	674	674
339.22123	Public Safety Communication Account	49,799	5,161	5,161	5,161	5,161
339.22011	Public Service Account	3,564	5,767	5,671	5,671	5,671
339.21998	Public Work Enforcement	226	0	0	0	0
339.21915	Quality of Care Account	30,000	65,051	0	0	0
339.21965	Radiological Health Protection	216	216	216	216	216
339.21944	Radiology Emergency Preparedness Account	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
339.22046	Regulation of Indian Gaming Account	329	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	458	458	458	458	458
339.22156	Rent Revenue Other - New York City	0	115	115	115	115
339.21900	Reserve for Transaction Risks	0	0	(143,583)	(144,465)	(145,939)
339.22024	Revenue Arrearage Account	0	41,765	18,677	18,677	18,677
339.22028	State Central Register Account	1,822	1,822	1,822	1,822	1,822
354.22802	State Police Motor Vehicle Enforcement Account	100,800	111,600	100,800	100,800	100,800
345.22653	State University General IFR Account	26,000	31,000	32,000	32,000	32,000
345.22656	State University Hospital IFR Operations Account	30,216	38,564	38,564	38,564	38,564
339.21902	Statewide Planning and Research Cooperative System (SPARCS) Account	4,586	4,214	4,214	4,214	4,214
339.22162	Systems and Technology Account	5,442	5,328	5,320	5,320	5,320
339.22055	Traffic Adjudication Account	1,917	2,288	2,288	2,288	2,288
331.50313	TRAID Services Account	12	0	0	0	0
339.21961	Training Management and Evaluation Account	0	8	8	8	8
339.21933	Transportation Surplus Property Account	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal State Compact Revenue Account	115,699	121,200	121,200	121,200	121,200
339.22044	Tug Hill Administrative Account	10	10	10	10	10
050.20451	Tuition Reimbursement Account	23	23	23	23	23
339.22172	Underground Facilities Safety Training Account	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	20,808	52,297	50,569	50,569	50,569
482.23601	Unemployment Insurance Special Interest and Penalty Fund	3,482	4,300	3,211	3,211	3,211
339.22103	Vital Records Management Account	3,617	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	666	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
050.20452	Vocational School Supervision Account	297	297	297	0	0
339.21995	Workers' Compensation Account	13,593	16,352	16,352	16,352	16,352
339.22186	Youth Facilities Per Diem Account	8,215	104,068	55,000	55,000	55,000
		17,870,828	18,339,358	18,305,971	17,949,429	18,390,322

General Fund Transfers To Other Funds
(thousands of dollars)

SFS Fund	Account Name	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Transfers to State Share of Mental Hygiene Medicaid		2,035,545	1,431,630	1,302,639	1,241,972	1,129,533
Transfers to Debt Service Funds		1,195,675	703,273	1,256,915	1,180,510	1,058,468
Transfers to Capital Projects Funds		2,720,918	3,619,437	3,437,953	3,396,077	3,338,549
Transfers to SUNY University Operations		998,069	996,256	1,000,580	996,778	996,778
Total All Other Transfers		4,424,581	4,365,441	4,604,767	5,021,329	5,587,074
339.22033	Alcohol Beverage Control	14,889	0	0	0	0
020.20143	Alzheimers Disease Assistance	266	270	270	270	270
334.55057	Banking Services Account	52,179	51,565	53,435	53,435	53,435
339.22032	Batavia School For the Blind Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education Account	395	500	500	500	500
334.55069	Centralized Technology Services Account	8,360	2,360	2,360	2,360	2,360
054.20601	Charter School Stimulus Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust Fund	0	61,947	109,300	109,300	109,300
397.55350	Correctional Industries Account	10,500	10,500	10,500	10,500	10,500
340.22501	Court Facility Income Account	106,848	107,000	107,000	107,000	107,000
339.22015	Crimes Against Revenue Program Account	14,300	6,300	0	0	0
073.20853	Dedicated Mass Transportation Non MTA	5,013	5,013	5,274	5,274	5,274
339.21943	Energy Research Account	4,292	0	0	0	0
290.25300	Federal Operating Grants Fund	39,459	0	0	0	0
319.40300	Health Income Fund	15,591	16,079	16,079	16,079	16,079
396.55300	Health Insurance Internal Services Account	5,383	8,083	8,083	8,083	8,083
S02.23755	Health Operation and Oversight Account	6,740	4,886	4,886	4,886	4,886
316.40250	Housing Debt Fund	2,678	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	30,135	35,000	35,000	35,000	35,000
339.22157	Medicaid Income Account	212	0	0	0	0
343.55100	Mental Hygiene Intl Serv	0	9	0	0	0
339.21909	Mental Hygiene Patient Income Account	1,712,203	1,625,452	1,730,180	1,820,413	2,092,301
339.21907	Mental Hygiene Program Fund Account	1,482,797	1,560,801	1,665,839	2,005,253	2,298,664
313.21402	Metropolitan Mass Transportation Operating Assistance Account	21,030	20,000	21,175	21,175	21,175
225.23651	Mobility Tax Trust Account	332,044	333,107	333,710	334,165	334,611
334.55059	Neighbor Work Proj Acct	0	1,000	1,000	1,000	1,000
368.23151	NYC County Clerk Operations Offset Fund	4,603	6,000	6,000	6,000	6,000
323.5502X	OGS Executive Direction Account	21,794	21,789	21,783	21,783	21,783
020.20183	Prostate Cancer Research and Education	177	200	200	200	200
313.21401	Public Transportation Systems Operating Assistance Account	14,878	15,047	16,009	16,009	16,009
073.20852	Railroad Account	8,773	8,772	9,216	9,216	9,216
339.22171	Recruitment Incentive Account	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Account	1,025	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	8,500	8,500	8,500	8,500	8,500
345.22653	State University General IFR Account	0	14,251	13,540	0	0
345.22656	State University Hospital IFR Operations Account	442,817	370,350	351,750	351,750	351,750
345.22654	State University Income Offset Account	0	8,318	8,318	8,318	8,318
339.22168	Tax Revenue Arrearage Account	0	3,000	3,000	3,000	3,000
073.20851	Transit Authorities Account	48,876	48,876	51,394	51,394	51,394
020.20128	WB Hoyt Memorial Trust Fund	0	622	622	622	622
		11,374,788	11,116,037	11,602,854	11,836,666	12,110,402

CASH COMBINING STATEMENT
GENERAL FUND
FY 2017
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Refund Reserve	Debt Management	General Reserve Fund	Eliminations	Total
Opening Fund Balance	0	1,258	21	63	540	7,052	0	0	0	0	8,934
Receipts:											
Taxes	46,744	0	0	0	0	0	0	0	0	0	46,744
Miscellaneous Receipts	3,187	0	0	0	0	0	0	0	0	0	3,187
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total receipts	49,931	0	0	0	0	0	0	0	0	0	49,931
Disbursements:											
Grants to Local Governments	45,368	0	0	11	0	0	0	0	0	0	45,379
State Operations	8,259	0	0	0	0	0	0	0	0	0	8,259
General State Charges	5,567	0	0	0	0	0	0	0	0	0	5,567
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	59,194	0	0	11	0	0	0	0	0	0	59,205
Other financing sources (uses):											
Transfers from Other Funds	48,771	0	0	1	0	31	500	6,743	(37,707)	18,339	
Transfers to Other Funds	(39,508)	0	0	0	0	(6,993)	0	(2,321)	37,707	(11,115)	
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	9,263	0	0	1	0	(6,962)	500	4,422	0	7,224	
Change in Fund Balance	0	0	0	(10)	0	(6,962)	500	4,422	0	(2,050)	
Closing Fund Balance	0	1,258	21	53	540	90	500	4,422	0	6,884	

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
FY 2017**
(thousands of dollars)

	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PERFORMERS PROTECTION (20400-20449)	TUITION REIMBURSEMENT (20450-20499)	LOCAL GOVERNMENT RECORDS MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)
Opening Fund Balance	2,211	65,282	40,562	175	83	5,374	2,951	0	5,963	77,569
Receipts:										
Taxes	0	0	0	0	0	0	0	3,227,844	0	882,000
Miscellaneous Receipts	142	(88,934)	12,000	318	65	4,305	9,233	0	0	4,759,645
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	142	(88,934)	12,000	318	65	4,305	9,233	3,227,844	0	5,641,645
Disbursements:										
Grants to Local Governments	0	9,726	9,500	0	0	0	5,056	3,227,844	4,837	5,526,544
State Operations	144	4,842	1,254	424	250	2,686	2,168	0	0	36,162
General State Charges	0	287	466	171	133	1,031	1,024	0	0	5,839
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	2,500	0	0	0	0	0	0	0	0
Total Disbursements	144	17,355	11,220	595	383	3,717	8,248	3,227,844	4,837	5,568,545
Other Financing Sources (Uses):										
Transfers from Other Funds	0	63,539	0	300	300	0	0	0	4,837	0
Transfers to Other Funds	0	0	0	(8)	0	(562)	(1,383)	0	0	(150,663)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	63,539	0	292	300	(562)	(1,383)	0	4,837	(150,663)
Change in Fund Balance	(2)	(42,750)	780	15	(18)	26	(388)	0	0	(77,563)
Closing Fund Balance	2,209	22,532	41,342	190	65	5,400	2,553	0	5,963	6

	DEDICATED MASS TRANSPORTATION TRUST (20850-20899)	STATE LOTTERY (20900-20949)	COMBINED STUDENT LOAN (20950-20999)	MTA FINANCIAL ASSISTANCE (23850-23899)	FEDERAL USDA/FOOD AND NUTRITION SERVICES (25000-25099)	FEDERAL HEALTH AND HUMAN SERVICES (25100-25199)	FEDERAL EDUCATION (25200-25249)	MISCELLANEOUS OPERATING GRANTS (25300-25399)	FEDERAL MANAGEMENT AND ADMINISTRATION (21000-21049)	SEWAGE TREATMENT PROGRAM (21000-21049)	ENCON SPECIAL REVENUE (21050-21149)
Opening Fund Balance	70,435	190,705	10,593	115,086	15,124	313,559	(6,105)	(329,582)	(2,283)	(16,456)	
Receipts:											
Taxes	463,001	0	0	1,450,000	0	0	0	0	0	0	
Miscellaneous Receipts	141,206	3,436,381	27,010	178,190	100,000	53,232	0	4,373	900	81,926	
Federal Grants	0	0	650	2,024,380	43,088,666	3,145,259	3,145,259	1,959,442	0	0	
Total Receipts	604,207	3,436,381	27,660	1,628,190	2,124,380	43,141,898	3,145,259	1,963,815	900	81,926	
Disbursements:											
Grants to Local Governments	664,126	3,321,000	0	1,928,617	2,020,103	40,156,726	2,644,281	1,655,622	0	0	
State Operations	0	127,315	27,247	0	58,254	979,579	436,722	249,413	145	70,188	
General State Charges	0	11,022	0	0	11,931	97,810	51,348	43,722	79	24,579	
Debt Service	0	0	0	0	0	0	0	0	0	0	
Capital Projects	0	0	0	0	0	0	0	0	0	0	
Total Disbursements	664,126	3,459,337	27,247	1,928,617	2,090,288	41,234,115	3,132,351	1,948,757	224	94,767	
Other Financing Sources (Uses):											
Transfers from Other Funds	62,661	8,700	0	333,107	0	0	0	0	0	20,410	
Transfers to Other Funds	0	(4,870)	0	(5,625)	(34,092)	(1,652,310)	(12,908)	(15,058)	0	(9,125)	
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	
Net Other Financing Sources (Uses)	62,661	3,330	0	327,482	(34,092)	(1,652,310)	(12,908)	(15,058)	0	11,285	
Change in Fund Balance	2,742	(19,626)	413	27,055	0	255,473	(6,105)	(329,582)	676	(1,556)	
Closing Fund Balance	73,177	171,079	11,006	142,141	15,124	569,032	(6,105)	(329,582)	(1,607)	(18,012)	

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS**

FY 2017

(thousands of dollars)

	ENVIRONMENTAL PROTECTION AND OIL SPILL COMPENSATION (21150-21199)	TRAINING AND EDUCATION PROGRAMS OCCUPATIONAL SAFETY AND HEALTH (21250-21299)	LAWYERS' FEES FOR CLIENT PROTECTION (21300-21349)	EQUIPMENT FOR THE DISABLED (21350-21399)	MASS TRANSPORTATION OPERATING ASSISTANCE (21400-21449)	CLEAN AIR (21450-21499)	NEW YORK STATE INFRASTRUCTURE TRUST (21500-21549)	LEGISLATIVE COMPUTER SERVICES (21550-21599)	STATE UNIVERSITY DORMITORY INCOME (40350-40399)
Opening Fund Balance	77,267	18,105	5,129	519	175,839	(18,709)	67	10,898	174,767
Receipts:									
Taxes	0	0	0	0	2,173,300	0	0	0	0
Miscellaneous Receipts	46,792	57,821	9,000	50	17,500	43,200	0	1,719	344,024
Federal Grants	0	0	0	0	0	0	0	0	0
Total Receipts	46,792	57,821	9,000	50	2,190,800	43,200	0	1,719	344,024
Disbursements:									
Grants to Local Governments	0	0	0	0	2,235,686	0	0	0	0
State Operations	39,360	26,073	10,700	82	4,066	25,925	0	950	0
General State Charges	15,058	7,057	200	0	1,933	11,228	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	54,418	33,130	10,900	82	2,241,685	37,153	0	950	0
Other Financing Sources (Uses):									
Transfers from Other Funds	75	19,006	0	0	35,047	0	0	0	0
Transfers to Other Funds	(1,859)	(35,999)	0	(7)	(121,548)	(4,746)	0	0	(359,030)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,784)	(16,993)	(1,900)	(7)	(86,501)	(4,746)	0	0	(359,030)
Change in Fund Balance	(9,410)	7,698	3,538	(39)	(137,386)	1,301	0	769	(15,006)
Closing Fund Balance	67,857	25,803	3,229	480	38,453	(17,408)	67	11,667	159,761

	COMBINED NON-EXPENDABLE TRUST (21650-21699)	WINTER SPORTS EDUCATION TRUST (21700-21749)	MUSICAL INSTRUMENT REVOLVING FUND (21750-21799)	ARTS CAPITAL REVOLVING (21850-21899)	MISCELLANEOUS STATE SPECIAL REVENUE (21900-21949)	COURT FACILITIES INCENTIVE AID (22300-22349)	EMPLOYMENT TRAINING (22500-22599)	STATE UNIVERSITY INCOME (22650-22699)	CHEMICAL DEPENDENCE SERVICE (22700-22749)	LAKE GEORGE PARK TRUST INCOME (22750-22799)
Opening Fund Balance	456	0	1	829	966,382	3,458	49	1,063,550	35,238	303
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	115	75	0	60	2,396,388	0	0	4,291,649	10,039	1,208
Federal Grants	0	0	0	0	89	0	0	0	0	0
Total Receipts	115	75	0	60	2,396,477	0	0	4,291,649	10,039	1,208
Disbursements:										
Grants to Local Governments	0	0	0	98	2,101,241	104,900	0	0	9,470	0
State Operations	59	75	0	0	4,192,153	1,600	0	5,622,193	546	950
General State Charges	0	0	0	0	1,638,670	700	0	375,751	0	400
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	59	75	0	98	7,932,064	107,200	0	5,997,944	10,016	1,350
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	7,747,775	107,000	0	1,823,847	0	0
Transfers to Other Funds	0	0	0	0	(2,184,569)	(1,302)	0	(150,427)	(11,000)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	(38)	5,563,206	105,698	0	1,673,420	(11,000)	0
Change in Fund Balance	56	0	0	(38)	27,619	(1,502)	0	(32,875)	(10,977)	(142)
Closing Fund Balance	512	0	1	791	993,851	1,956	49	1,030,675	24,261	161

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2017

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.20000-Went Hvg Gifts	2,207	0	142	0	0	0	142	0	0	144	0	0	0	0	0	0	144	2,205
020.20100-Combined Exp Tr	(35)	0	(109,300)	0	0	61,947	(47,353)	0	0	0	0	0	0	0	0	0	0	(47,388)
020.20101-Planting Fields	1,488	0	350	0	0	0	350	0	218	48	8	0	117	0	0	0	391	1,447
020.20103-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20105-Animal Disease	51	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	51
020.20107-DOCS Gift & Don	59	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	59
020.20109-Helen Hayes Hsp	33	0	0	0	0	0	0	0	0	35	0	0	0	0	0	0	35	(2)
020.20110-Oxford Donation	260	0	166	0	0	0	166	0	0	50	0	0	0	0	0	0	50	376
020.20111-Donat-St.Albans	5	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	13	(8)
020.20112-CVB Gifts & Beq	59	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	56
020.20113-Donations-Baiaiv	22	0	19	0	0	0	19	0	0	40	0	0	0	0	0	0	40	1
020.20114-Montrose Donati	165	0	12	0	0	0	12	0	0	13	0	0	0	0	0	0	13	164
020.20116-IBR Genetic Cou	50	0	108	0	0	0	108	0	0	108	0	0	0	0	0	0	108	50
020.20118-Tech Transfer	55	0	50	0	0	0	50	0	0	25	0	0	0	0	0	0	25	80
020.20120-Spec Events	461	0	138	0	0	0	138	0	0	0	0	0	0	0	0	0	0	599
020.20123-L.M. Josephthal	49	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	49
020.20124-OSC Misc Grant	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.20126-NYSCB Ven Stand	1,157	0	610	0	0	0	610	0	43	470	1	0	69	0	0	0	583	1,184
020.20127-DMNA Military	2,349	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	2,221
020.20128-WB Hoyr Memoria	197	0	5	0	0	0	5	0	0	15	0	0	0	0	0	0	15	187
020.20129-NYSCB Gift& Beq	19,398	0	8,000	0	0	0	8,000	0	41	370	0	0	17	0	0	0	930	26,468
020.20130-St Transm Money	272	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(156)
020.20142-Youth Grants &	2,142	0	270	0	0	270	540	820	0	0	0	0	0	0	0	0	820	1,862
020.20143-Alzheimers Dis	142	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	147
020.20144-Local Gov Comm	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20147-Prostate/Testic	73	0	10	0	0	0	10	0	0	20	0	0	0	0	0	0	20	63
020.20149-Autism Aware &	12,571	0	2,688	0	0	0	2,688	3,101	128	93	4	0	76	0	0	0	3,402	11,857
020.20150-Emergency Serv	331	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	328
020.20151-Batavia-Charlot	75	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	76
020.20152-Rome-Gifts And	7,567	0	540	0	0	500	1,040	2,294	0	54	0	0	0	0	0	0	2,348	6,259
020.20155-Br Can Res & Ed	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.20159-Community Relat	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
020.20162-Disab Tech Asst	97	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	97
020.20165-DMNA Youth Prog	10	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	2	7
020.20166-Erie Canal Muse	8	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	10
020.20167-Grants and Bequ	1,118	0	400	0	0	0	400	0	250	200	0	0	0	0	0	0	200	1,318
020.20174-Life Pass It on	6,641	0	4,000	0	0	0	4,000	0	0	1,000	0	0	0	0	2,500	0	3,750	6,891
020.20176-Misc. Gifts Acc	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.20182-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.20183-Prostate Cancer	4,531	0	240	0	0	200	440	1,641	0	0	0	0	0	0	0	0	1,641	3,330
020.20185-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.20192-Missng Children	346	0	407	0	0	0	407	0	262	142	0	0	0	0	0	0	404	349
020.20197-DCJ01 Comb Gift	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	7	(7)
020.20199-HESC Gifts Dona	525	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	525
020.201B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201B8-DAAA Grns And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.201DR-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.201F1-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.201FF-Ford Foundaion	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.201GW-CCF Grts & Beqs	41	0	120	0	0	0	120	0	13	80	1	0	8	0	0	0	102	59
020.201HH-OWH Grant & Beq	904	0	20	0	0	0	20	0	0	21	0	0	0	0	0	0	21	903
020.201IH-RPMI Schoelkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201PG-DCJS -MUNY Pol	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.201RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)

CASH REVENUE STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2017

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
020.201RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.201XK-Grants Account	1,261	0	1,500	0	0	0	1,500	1,000	0	67	0	0	0	0	0	0	1,067	1,694
020.201XS-U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.201ZS-Grants	232	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	532
020.201ZZ-Donated Funds	(92)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(92)
020.20200-NY Teen Hth Ed	0	0	120	0	0	0	120	120	0	0	0	0	0	0	0	0	120	0
020.20201-Veterans Rem Ce	300	0	222	0	0	0	222	0	0	0	0	0	0	0	0	0	0	522
020.20205-Mental Illness	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
020.20206-Women's Cancer	39	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	39
023.20300-NY Int Lawyers	40,561	0	12,000	0	0	0	12,000	9,500	647	554	53	0	466	0	0	0	11,220	41,341
024.20350-NYS Archvs Pine	175	0	318	0	0	300	618	0	297	119	8	0	171	0	0	8	603	190
025.20401-Child Performer	87	0	65	0	0	300	365	0	235	9	6	0	133	0	0	0	383	69
050.20451-Tuition Reimb	3,980	0	705	0	0	0	705	0	0	200	0	0	25	0	0	23	248	4,437
050.20452-Voc School Supp	1,395	0	3,600	0	0	0	3,600	0	1,740	700	46	0	1,006	0	0	539	4,031	964
052.20501-Loc Govr Record	2,951	0	9,233	0	0	0	9,233	5,056	1,771	350	47	0	1,024	0	0	1,383	9,631	2,553
053.20550-Sch Tax-Relief	0	3,227,844	0	0	0	0	3,227,844	3,227,844	0	0	0	0	0	0	0	0	3,227,844	0
054.20601-Charter School	5,963	0	0	0	0	4,837	4,837	4,837	0	0	0	0	0	0	0	0	4,837	5,963
056.20701-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.20702-Greenway Herit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
059.20751-Alcohol&Subst A	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20800-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.20801-Tobacco Cntr &	424	0	0	0	0	0	0	0	2,082	161	42	0	1,205	0	0	0	3,490	(3,066)
061.20802-Health Care Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20803-Medicaid Fraud	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20804-Medical Assist.	894	0	0	0	0	0	0	3,836,415	0	0	0	0	0	0	0	0	3,836,415	(3,836,521)
061.20805-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20807-HCRA Program	2,737	0	0	0	0	0	0	383,948	0	9,300	0	0	0	0	0	0	393,248	(390,511)
061.20808-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20809-EMS Training	3,497	0	0	0	0	0	0	9,689	2,257	2,429	63	0	1,325	0	0	131	15,894	(12,397)
061.20810-Child Health In	3,287	0	0	0	0	0	0	222,426	547	2,660	22	0	321	0	0	0	225,976	(222,689)
061.20811-HCRA Undistrib	63,785	882,000	4,712,158	0	0	0	5,594,158	0	0	0	0	0	0	0	0	140,598	140,598	5,517,345
061.20812-Hospital Based	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.20813-Ad Home Res Co	30	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(30)
061.20814-Primary Care In	64	0	0	0	0	0	0	0	208	0	5	0	122	0	0	158	493	(429)
061.20815-Prev Coll Monit	95	0	0	0	0	0	0	635	0	674	24	0	373	0	0	674	1,706	(1,611)
061.20816-Pilot Health In	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	102	102	(99)
061.20817-Indigent Care	6	0	0	0	0	0	0	942,500	0	0	0	0	0	0	0	9,000	951,500	(951,494)
061.20818-EPIC Premium	1,312	0	47,487	0	0	0	47,487	131,506	1,182	10,342	4	0	694	0	0	0	143,728	(94,929)
061.20819-Health Occup De	294	0	0	0	0	0	0	0	398	700	10	0	234	0	0	0	1,342	(1,048)
061.20820-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.20821-Health Care Del	111	0	0	0	0	0	0	0	347	2	8	0	204	0	0	0	561	(450)
061.20822-Cig Task Force	1,031	0	0	0	0	0	0	0	2,419	250	65	0	1,361	0	0	0	4,095	(3,064)
073.20851-Transit Authori	50,188	361,180	109,504	0	0	48,876	519,560	520,090	0	0	0	0	0	0	0	0	520,090	49,668
073.20852-Railroad Account	8,902	64,840	19,204	0	0	8,772	92,816	91,927	0	0	0	0	0	0	0	0	91,927	9,791
073.20853-DWTF	11,341	36,981	12,498	0	0	5,013	54,492	52,109	0	0	0	0	0	0	0	0	52,109	13,724
160.20901-Education - New	131,714	0	2,322,000	0	0	0	2,322,000	2,360,000	0	0	0	0	0	0	0	0	2,360,000	93,714
160.20902-Lottery Adm New	44,815	0	152,538	0	0	0	152,538	0	16,067	105,936	494	0	9,122	0	0	4,204	135,823	61,530
160.20903-VLT Administrat	2,941	0	11,843	0	0	0	11,843	0	3,335	1,388	95	0	1,900	0	0	666	7,384	7,400
160.20904-VLT - Education	11,234	0	950,000	0	0	8,200	968,200	961,000	0	0	0	0	0	0	0	0	961,000	8,434
221.20950-Comb Student Ln	10,595	0	27,010	650	0	0	27,660	0	0	27,427	0	0	0	0	0	0	27,247	11,008
225.23651-Mobility Tax Tr	89,813	1,336,000	100	0	0	333,107	1,669,207	1,640,117	0	0	0	0	0	0	0	5,400	1,645,517	113,503
225.23652-MTA Aid Trust	22,303	114,000	178,090	0	0	0	292,090	288,500	0	0	0	0	0	0	0	225	288,725	25,668
300.21002-Encon Admin Acc	(2,285)	0	900	0	0	0	900	0	136	9	0	0	79	0	0	0	224	(1,609)
301.21051-EnCon Energy Ef	160	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	160
301.21052-EnCon-Seized As	360	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	380

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2017

(thousands of dollars)

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301.21053-Wst Tire Mgt/Re	15,208	0	24,000	0	0	0	24,000	0	13,222	4,800	376	0	7,762	0	0	0	26,160	13,048
301.21054-Oil & Gas Accou	101	0	108	0	0	0	108	0	0	98	0	0	0	0	0	0	98	111
301.21055-Marine/Coastal	131	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	144
301.21060-Indirect Charge	4,813	0	0	0	0	11,410	11,410	0	2,680	4,529	89	0	1,573	0	0	863	9,734	6,489
301.21061-Hazardous Sub B	693	0	350	0	0	0	350	0	152	33	8	0	89	0	0	0	282	761
301.21063-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.21064-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.21065-Federal Grant I	1,079	0	40	0	0	9,000	9,040	0	8,652	168	0	0	0	0	0	134	8,954	1,165
301.21066-Low Level Radio	(3,895)	0	2,811	0	0	0	2,811	0	1,287	226	45	0	763	0	0	433	2,754	(3,838)
301.21067-Recreation Acco	(10,672)	0	10,200	0	0	0	10,200	0	4,085	1,012	230	0	608	0	0	255	6,190	(6,662)
301.21077-Public Safety R	5	0	30	0	0	0	30	0	0	30	0	0	0	0	0	0	30	5
301.21080-Encon Magazine	701	0	705	0	0	0	705	0	14,698	2,974	484	0	8,695	0	0	5,044	31,895	1,092
301.21081-Environmental R	(29,645)	0	28,600	0	0	0	28,600	0	2,480	397	145	0	1,456	0	0	400	4,878	(32,940)
301.21082-Natural Resourc	(18,003)	0	4,813	0	0	0	4,813	0	2,480	397	145	0	1,456	0	0	400	4,878	(18,068)
301.21083-UST-Trust Recov	327	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	339
301.21084-Mined Land Recl	1,900	0	4,210	0	0	0	4,210	0	2,162	117	72	0	1,271	0	0	0	3,622	2,488
301.21087-Great Lakes Res	0	0	13	0	0	0	13	0	0	13	0	0	0	0	0	0	13	0
301.21089-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.21087-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.210Z-Monitors-Aggr	20,288	0	6,000	0	0	0	6,000	0	4,009	493	107	0	2,362	0	0	1,986	8,967	17,321
302.21150-Conservation	16,515	0	43,222	0	0	75	43,297	0	24,430	11,685	806	0	14,428	0	0	1,784	53,133	6,679
302.21151-Marine Resource	2,083	0	1,480	0	0	0	1,480	0	991	1,216	74	0	585	0	0	0	2,866	697
302.21152-Migratory Bird	182	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	147
302.21153-Guides License	58	0	55	0	0	0	55	0	51	6	1	0	30	0	0	0	88	25
302.21154-Fish And Game T	58,345	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	75	75	60,270
302.21155-Surf Clam/Quaho	63	0	0	0	0	0	0	0	26	29	0	0	15	0	0	0	70	(7)
302.21156-Habitat Account	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
302.21157-Venison Donatio	1	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	26
302.21158-OUTDOOR REC & T	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
303.21201-Oil Spill - DAC	2	0	121	0	0	705	826	0	534	228	17	0	334	0	0	0	1,113	(285)
303.21202-Oil Sp Relocam	4	0	0	0	0	301	301	0	186	25	5	0	109	0	0	0	325	(20)
303.21203-Oil Spill - DEC	(2)	0	0	0	0	18,000	18,000	0	11,226	935	313	0	6,614	0	0	3,293	22,381	(4,383)
303.21204-Oil Spill - DAC	18,100	0	44,000	0	0	0	44,000	0	0	12,604	0	0	0	0	0	19,006	31,610	30,490
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	0
305.21251-OSH Trng & Educ	1,229	0	26,357	0	0	0	26,357	0	10,926	7,201	277	0	6,200	0	0	0	24,604	2,982
305.21252-OSHA Inspection	1,430	0	22,139	0	0	0	22,139	0	11,510	3,224	305	0	5,315	0	0	0	20,354	3,215
306.21301-CSF Regis Fee	5,131	0	9,000	0	0	0	9,000	0	500	10,200	0	0	200	0	0	0	10,900	3,231
307.21351-Equipment Loan	520	0	50	0	0	0	50	0	0	82	0	0	0	0	0	7	89	481
313.21401-Pub Tran Systems	(3,559)	76,040	0	0	0	15,047	91,087	83,946	641	504	16	0	386	0	0	0	85,493	2,035
313.21402-Metropolitan Ma	1,75,768	2,097,260	17,500	0	20,000	2,134,760	2,151,740	2,429	410	410	66	0	1,547	0	0	121,548	2,277,740	32,788
313.21403-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(19,197)	0	9,200	0	0	0	9,200	0	4,152	1,647	209	0	2,452	0	0	0	8,460	(18,457)
314.21452-Mobile Source	486	0	34,000	0	0	0	34,000	0	15,820	3,664	433	0	8,776	0	0	4,746	33,439	1,047
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legisl Comp R&D	10,838	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,605
321.21552-Demographics/Re	60	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	62
330.40350-S U Dorm Income	174,764	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	359,030	359,030	159,758
332.21651-Bummer Award	37	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	37
332.21652-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.21653-Rocky Pocomitico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)
FY 2017

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
335.21750-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	829	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	791
340.22501-CFIA Undistrib	3,458	0	0	0	0	107,000	107,000	104,900	1,500	100	0	0	700	0	0	1,302	108,502	1,956
341.22552-DFY-NYC Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
345.22652-L Vets Home	26,140	0	46,162	0	0	0	46,162	0	29,048	16,365	0	0	0	0	0	0	45,413	26,889
345.22653-S U Genl IFR	531,212	0	707,008	0	0	35,412	742,420	0	175,096	543,631	0	0	0	0	0	52,161	770,888	502,744
345.22654-S U Inc Offset	(19,440)	0	0	0	0	8,318	8,318	0	0	0	0	0	0	0	0	0	0	(11,122)
345.22655-Gen Rev Offset	112,057	0	1,727,915	0	0	996,256	2,724,171	0	2,207,435	503,421	0	0	8,500	0	0	34,702	2,754,058	82,170
345.22656-S U Hosp Ops	255,475	0	1,679,684	0	0	749,159	2,428,843	0	1,048,335	974,977	0	0	363,751	0	0	63,564	2,450,627	233,691
345.22657-SUNY Stabilizat	40,608	0	0	0	0	34,702	34,702	0	0	0	0	0	0	0	0	0	0	75,310
345.22658-State Univ Hosp	11,527	0	38,050	0	0	0	38,050	0	34,745	2,806	0	0	0	0	0	0	37,551	12,026
345.22659-SUNY Tuition Re	105,419	0	92,830	0	0	0	92,830	0	51,857	34,477	0	0	3,500	0	0	0	89,834	108,415
345.22660-Brigde Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.22700-Chem Dep Svcs	35,237	0	10,039	0	0	0	10,039	9,470	0	546	0	0	0	0	0	11,000	21,016	24,260
349.22751-Lk George Park	305	0	1,208	0	0	0	1,208	0	681	250	19	0	400	0	0	0	1,350	163
354.22801-MVTIFA	6,054	0	4,702	0	0	0	4,702	4,237	136	4	4	0	88	0	0	300	4,769	5,987
354.22802-St Police MV En	21,987	0	109,900	0	0	0	109,900	0	4,000	5,100	0	0	0	0	0	111,600	120,700	11,187
355.22851-Great Lakes Pro	218	0	160	0	0	0	160	0	82	70	3	0	48	0	0	0	203	175
359.22901-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22902-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.22903-Rev Maxim Contr	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
360.22950-Housing Develop	10,351	0	900	0	0	0	900	852	0	495	0	0	0	0	0	0	852	10,399
362.23001-DOT Comm Veh Sa	(7,715)	0	3,068	0	0	0	3,068	0	2,954	0	0	0	0	0	0	0	3,449	(8,096)
365.23051-Vocatl Rehabil	137	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	160
366.23101-Drinking Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
366.23102-Drink Water DOH	(5,414)	0	0	0	0	0	0	0	84	0	0	0	0	0	0	1,108	1,678	(7,092)
368.23151-NYC County Cler	(52,736)	0	26,600	0	0	6,000	32,600	0	20,400	3,300	0	0	486	0	0	0	34,900	(65,036)
369.23201-Jud Data Proc O	2,755	0	34,400	0	0	0	34,400	0	19,200	6,000	0	0	8,600	0	0	0	33,800	3,355
377.23267-CUNY Stablizn	4,873	0	3,000	0	0	0	3,000	0	0	0	0	0	0	0	0	0	0	7,873
377.2327X-CUNY Tuitn Reim	59,541	0	4,620	0	0	0	4,620	0	5,818	0	0	0	0	0	0	0	5,818	66,343
377.2327Y-CUNY Inc Reimb	106,528	0	84,645	0	0	0	84,645	0	39,584	40,232	0	0	7,892	0	0	0	87,708	103,465
385.23501-Lk Placid Train	56	0	85	0	0	0	85	0	0	75	0	0	0	0	0	0	75	66
390.23551-Indigent Legal	157,183	0	78,000	0	0	35,000	113,000	83,000	2,204	25,510	25	0	956	0	0	0	111,695	158,488
482.23601-UI Sp Int & Pen	19,461	0	9,600	0	0	0	9,600	0	1,662	1,000	42	0	932	0	0	4,300	7,936	21,125
501.23701-Commercial Gami	1,41,602	0	26,600	0	0	0	26,600	39,202	0	0	0	0	0	0	0	129,000	168,202	0
501.23702-Comm Game Regul	(5,322)	0	3,125	0	0	0	3,125	0	1,038	1,193	62	0	830	0	0	2	3,125	(5,322)
502.23750-Wed Manrh Colle	0	225	0	0	0	0	225	0	0	0	0	0	0	0	0	0	0	225
502.23752-MMF - County Di	9	225	0	0	0	0	225	1,800	0	0	0	0	0	0	0	0	1,800	(1,566)
502.23753-MMF - Law Entor	1	25	0	0	0	0	25	0	0	0	0	0	0	0	0	0	0	26
502.23754-MMF - Addition	1	25	0	0	0	0	25	0	0	0	0	0	0	0	0	0	0	26
502.23755-Health Operatio	2,697	0	0	0	0	4,886	4,886	0	2,213	1,673	0	0	1,299	0	0	0	5,185	2,388
503.23800-Inter Recip Pos	0	0	555	0	0	0	555	0	273	70	7	0	160	0	0	45	555	0
503.23801-Hwy Use Tax Adm	0	0	500	0	0	0	500	0	188	202	5	0	105	0	0	0	500	0

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.211901-Article VII Int	4,473	0	500	0	0	0	500	86	0	0	0	0	0	0	0	0	4,887
339.211902-S P A R C S	3,929	0	6,600	0	0	0	6,600	0	635	917	17	0	373	0	0	4,214	4,373
339.211904-Fire Prev/Code	16,516	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	29,620	1,706
339.211905-NYS Twp Police	(1)	0	50,050	0	0	0	50,050	0	35,185	15	0	0	14,850	0	0	0	(1)
339.211906-DMV Seiz Assets	259	0	(4,400)	0	0	0	(4,400)	0	0	0	0	0	0	0	0	145	(4,286)
339.211907-Mental Hygiene	41,916	0	0	0	0	2,992,431	2,992,431	1,575,107	718,556	116,447	23,776	0	500,142	0	0	53,404	46,915
339.211909-M H Patient Inc	23,955	0	0	0	0	2,642,619	2,642,619	0	1,507,214	318,027	35,311	0	779,616	0	0	2,452	23,954
339.211911-Fin Cntrl Board	(693)	0	3,132	0	0	0	3,132	0	1,475	788	39	0	830	0	0	0	(693)
339.211912-Reg of Racing	(6,414)	0	12,647	0	0	0	12,647	0	6,761	5,577	171	0	1,727	0	0	458	(8,461)
339.211913-NY Metro Trans	(18,637)	0	0	0	0	15,242	15,242	0	4,092	6,175	102	0	2,177	0	0	0	(15,941)
339.211914-S U Constr Fund	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
339.211915-Quality Care	64,751	0	300	0	0	0	300	0	0	0	0	0	0	0	0	65,051	0
339.211916-Nurses Aide Reg	1,003	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,003
339.211917-Seized Assets	301	0	50	0	0	0	50	0	0	1	0	0	0	0	0	0	350
339.211918-Child Care & Pr	807	0	160	0	0	0	160	100	0	0	0	0	0	0	0	0	867
339.211919-Cyber Sec Upgr	880	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	880
339.211920-Cert of Need	12,738	0	2,959	0	0	2,959	2,959	0	1,652	1,897	54	0	970	0	0	8,628	2,496
339.211921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.211922-Continuing Care	977	0	131	0	0	0	131	0	53	0	0	0	31	0	0	3	1,021
339.211923-DOL Fee Penalty	9,439	0	20,383	0	0	0	20,383	0	6,060	1,215	154	0	3,438	0	0	8,672	10,283
339.211924-Educ Museum	32	0	842	0	0	0	842	0	287	334	8	0	166	0	0	62	17
339.211925-Ns Hm Receivship	2,829	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,854
339.211926-3rd Party Hlth	451	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	451
339.211927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211928-I Love NY Yes	141	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	1,062
339.211929-Summer Sch Arts	181	0	684	0	0	0	684	0	111	528	3	0	65	0	0	0	158
339.211930-I Lve NY Boat	127	0	245	0	0	0	245	0	130	25	3	0	76	0	0	0	138
339.211932-Snowmobile	3,876	0	6,150	0	0	0	6,150	5,450	111	363	9	0	65	0	0	0	4,028
339.211933-Tr Surplus Prop	2,444	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	1,867
339.211934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.211935-Watershed Prtnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.211936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211937-S U Dorm Reimb	(6)	0	0	0	0	304,030	304,030	0	136,904	138,921	0	0	0	0	0	0	28,199
339.211938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.211939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.211941-Methadone Regis	3,893	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,894	(1)
339.211943-Energy Research	2,606	0	6,000	0	0	0	6,000	3,000	714	687	30	0	617	0	0	1,350	2,208
339.211944-Radiology	18,935	0	42,724	0	0	0	42,724	24,631	2,748	396	116	0	2,190	0	0	8,596	22,982
339.211945-Crim Jus Improv	825	0	1,390	0	0	0	1,390	0	660	123	17	0	387	0	0	0	1,028
339.211948-Farm Prod Insp-	22,926	0	14,000	0	0	0	14,000	0	0	4,495	0	0	0	0	0	12,563	19,868
339.211950-FgprntID&Tech	311	0	468	0	0	0	468	0	278	314	9	0	167	0	0	0	11
339.211953-NY Fire Academy	78	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	82
339.211958-Domestic Awaren	791	0	3,700	0	0	0	3,700	0	1,582	584	46	0	929	0	0	131	1,219
339.211959-Environmental L	2,504	0	69,469	0	0	0	69,469	0	15,400	25,490	487	0	10,566	0	0	15,827	4,203
339.211960-HESC Ins Prem P	869	0	2,300	0	0	0	2,300	0	1,589	69	42	0	928	0	0	8	533
339.211961-Train Mgmt Eval	(11,807)	0	18,059	0	0	0	18,059	0	5,954	3,174	168	0	3,496	0	0	289	(6,829)
339.211962-Clin Lab Refrnc	631	0	86	0	0	0	86	0	0	43	0	0	0	0	0	0	674
339.211964-Pub Emp Rel Brd	3,068	0	4,048	0	0	0	4,048	0	2,188	155	57	0	1,285	0	0	696	2,735
339.211965-Radio Hlth Prot	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.211966-Cons Food Indus	6,286	0	9	0	0	6,000	6,009	0	0	5,510	0	0	0	0	0	0	6,785

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21968-Educatn Library	117	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	122
339.21969-Teacher Certif	635	0	6,600	0	0	0	6,600	0	1,846	43	91	0	1,996	0	0	450	2,809
339.21970-Banking Deptmnt	31,638	0	94,472	0	0	0	94,472	0	49,690	13,049	1,332	0	28,789	0	0	0	33,252
339.21971-Cable TV Acct	13,619	0	3,130	0	0	0	3,130	0	1,891	109	36	0	789	0	0	0	13,924
339.21972-Econ Devel Asst	302	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	302
339.21973-Fin Svcs Seized	704	0	500	0	0	0	500	0	0	500	0	0	0	0	0	0	704
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	4,486	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,426	3,060
339.21977-Business and Li	38,155	0	85,983	0	0	0	85,983	939	15,216	14,922	423	0	8,933	0	0	54,705	29,000
339.21978-Indir Cost Reco	502	0	0	0	0	18,907	18,907	0	9,183	4,362	0	0	5,357	0	0	0	507
339.21979-High School Equ	857	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	857
339.21980-OTDA Program	2,624	0	0	0	0	500	500	0	0	1,000	0	0	200	0	0	0	1,924
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.21982-Administration	7,069	0	13	0	0	5,000	5,013	0	4,080	2,549	116	0	2,395	0	0	2,301	641
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	12,619	0	0	0	12,619	0	8,184	4,914	0	0	0	0	0	0	(478)
339.21986-Seized Assets	17	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	19
339.21987-Spinal Injury	4,667	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	170	4,497
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	27,484	0	0	0	0	7,481	7,481	0	1,551	21,184	42	0	991	0	0	0	11,197
339.21990-OCTF Crime Forf	564	0	3,050	0	0	0	3,050	0	0	2,236	0	0	0	0	0	0	1,378
339.21991-DMNA-Seiz Asset	1,231	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,231
339.21992-Critical Infras	259	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	259
339.21993-Radon Detection	391	0	20	0	0	0	20	0	0	10	0	0	0	0	0	2	399
339.21994-Insurance Dept	122,635	0	425,317	0	0	0	425,317	56,324	106,541	37,764	2,823	0	60,996	0	0	0	283,504
339.21995-Workers' Compn	89,892	0	221,202	0	0	0	221,202	0	76,555	58,423	2,306	0	51,448	0	0	31,352	91,010
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enrf	3,667	0	3,982	0	0	0	3,982	0	2,010	217	51	0	1,140	0	0	0	4,231
339.21999-Asset Forfeitur	9	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	9
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DM-EAD Metallurgl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Enfor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesign	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.219BM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fosir Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-ins Gent Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Problm Solv Cou	145	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	145
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Chld Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219XX-A&M-Aggregated	4,047	0	4,874	0	0	0	4,874	0	707	7,270	18	0	413	0	0	0	513
339.219YL-OGS Bldg Admin	2,263	0	1,166	0	0	0	1,166	0	0	922	0	0	0	0	0	0	2,507
339.219YN-OGS Strd & Purch	7,917	0	5,659	0	0	0	5,659	0	862	2,781	22	0	448	0	0	3,000	6,463
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219ZR-Milk Producers	(1,150)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,150)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	862	0	2,500	0	0	0	2,500	2,000	182	100	5	0	107	0	0	52	916
339.22003-Bell Jar Collec	3	0	1,821	0	0	0	1,821	0	669	119	20	0	398	0	0	1	617
339.22004-Ind & Util Serv	2,871	0	2,521	0	0	0	2,521	0	1,550	0	74	0	846	0	0	0	2,922
339.22009-Asbestos Trning	(156)	0	330	0	0	0	330	0	290	15	6	0	170	0	0	0	(307)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22011-Public Service	21,744	0	84,042	0	0	0	84,042	0	40,525	6,328	988	0	22,718	0	0	5,767	29,460
339.22012-Aty Licensing	6,832	0	33,000	0	0	0	33,000	0	17,400	7,800	0	0	7,800	0	0	0	6,832
339.22014-DSS Prov Recovs	188	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	188
339.22015-Crimes Against	7,171	0	0	0	0	6,300	6,300	13,471	0	0	0	0	0	0	0	0	0
339.22017-Camp Smith Bill	69	0	197	0	0	0	197	0	134	9	4	0	14	0	0	0	105
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Regulation of M	1,203	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,203
339.22022-College Savings	9,501	0	813	0	0	0	813	0	198	75	18	0	99	0	0	0	9,924
339.22023-Discover Queens	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22024-Reven Arrearage	33,872	0	25,000	0	0	0	25,000	0	1,591	2,531	45	0	940	0	0	43,591	10,174
339.22025-Comm Svce Assis	8,500	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,500
339.22026-Cell Phone Towe	2,325	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,325
339.22027-Spec Conservy Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-State Central R	3,220	0	2,655	0	0	0	2,655	0	130	0	4	0	76	0	0	1,822	3,843
339.22029-Plant Industry	232	0	529	0	0	0	529	0	280	0	7	0	164	0	0	0	310
339.22032-Batavia School	(10,445)	0	9,600	0	0	900	10,500	0	5,742	628	152	0	3,332	0	0	0	(9,799)
339.22033-Alcohol Beverag	2,626	0	5	0	0	0	5	0	175	104	5	0	121	0	0	2,184	42
339.22034-Investment Serv	633	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	633
339.22035-Diabetes Resear	7	0	6	0	0	0	6	0	0	50	0	0	0	0	0	0	(37)
339.22037-Keep Kids Drug	39	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	48

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,843)	0	4,304	0	0	0	4,304	0	2,335	113	72	0	1,867	0	0	0	(1,926)
339.22040-Senate Recycliab	540	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	560
339.22041-Medicaid Fraud	20,566	0	14,000	0	0	0	14,000	0	6,096	2,642	151	0	3,627	0	0	0	22,050
339.22042-DED Marketing A	5,203	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	5,213
339.22044-Tug Hill Admin	69	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	65
339.22045-Settlement Enf	1,597	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	1,597
339.22046-Regulation of I	(67,491)	0	13,388	0	0	0	13,388	0	8,503	462	207	0	4,587	0	0	329	(68,191)
339.22047-NYS FLEX Spend	38	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	38
339.22050-Crime Victims B	3	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	3
339.22051-Ofc of Professi	26,648	0	47,265	0	0	0	47,265	0	19,529	9,795	518	0	11,347	0	0	6,032	26,692
339.22052-Armory Rental A	2,016	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,016
339.22053-Rome School	(3,015)	0	9,600	0	0	1,020	10,620	0	4,333	652	115	0	2,513	0	0	0	(8)
339.22054-Seized Assets	(11,185)	0	11,000	0	0	0	11,000	0	0	0	0	0	0	0	0	0	(185)
339.22055-Traf Adjudicatin	(4,420)	0	30,500	0	0	0	30,500	0	19,631	9,222	497	0	11,181	0	0	2,288	(16,739)
339.22056-Fed Salary Shar	(1)	0	0	0	0	2,452	2,452	419	1,287	0	36	0	739	0	0	0	(30)
339.22057-Cook/Chill Acco	1,773	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,773
339.22060-Credentialal Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22062-NYC Assessment	20,883	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	16,799	0	0	0	20,883
339.22063-Cultural Educat	(3,658)	0	26,427	0	0	0	26,427	0	12,000	5,400	318	0	6,970	0	0	1,976	(3,895)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	1,570	0	3,150	0	0	0	3,150	0	334	409	11	0	176	0	0	1,961	1,829
339.22067-Trans Regul Acc	14,631	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,822	12,809
339.22068-Cons Prot Acct	1,586	0	91	0	0	0	91	0	236	77	7	0	139	0	0	0	1,218
339.22070-OER NASDER	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22071-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22072-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	1,803	0	470	0	0	0	470	0	182	10	6	0	107	0	0	73	1,895
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	36	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	36
339.22078-Local Services	(188)	0	1,143	0	0	0	1,143	0	722	0	19	0	373	0	0	0	(159)
339.22080-Adult Shelter	13,301	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	15,901
339.22081-QAA Earned Rev	394	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	394
339.22082-Family Pres Svc	2,353	0	0	0	0	3,618	3,618	2,732	0	0	0	0	0	0	0	0	3,239
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	338	0	0	0	0	0	0	0	0	321	0	0	0	0	0	0	17
339.22085-DHCR Mortgage S	(3,287)	0	3,833	0	0	0	3,833	0	4,483	0	0	0	0	0	0	0	(3,937)
339.22086-OMH+Research OH	73	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	0	1
339.22087-DMV-Compulsory	779	0	0	0	0	0	0	0	0	0	0	0	0	0	0	770	9
339.22088-Prof Medic Cond	9,032	0	24,900	0	0	0	24,900	907	11,663	7,501	323	0	6,830	0	0	3,836	2,852
339.22089-Hwy Const & Ma	1,584	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,709
339.22090-Housing Indirec	(7,439)	0	5,043	0	0	696	5,739	0	2,507	0	0	0	0	0	0	201	(4,408)
339.22091-Adult Home Qual	1,403	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	1,575
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	4,762	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,103	3,659
339.22095-IG Szd Assets	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
339.22096-Leg Svcs Assist	21,913	0	13,600	0	0	0	13,600	13,200	0	0	0	0	0	0	0	2,830	19,483
339.22097-Loc Pub Hlth	4,431	0	84	0	0	0	84	0	227	4	5	0	133	0	0	47	4,099

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22098-Local Dist Trail	0	0	0	0	0	0	0	0	0	273	0	0	0	0	0	0	(273)
339.22099-Voting Mach Exa	123	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	123
339.220DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22100-DHCR HCA Applic	(344)	0	5,000	0	0	0	5,000	0	2,845	0	72	0	1,591	0	0	489	(341)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
339.22103-Vital Records M	6,360	0	4,840	0	0	0	4,840	0	664	612	18	0	390	0	0	3,945	5,571
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,129	0	277	0	0	0	277	0	0	210	0	0	0	0	0	0	1,196
339.22109-Conference & Sp	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24
339.22110-Assisted Living	1,034	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,034
339.22111-OCFS Program	1,114	0	0	0	0	0	0	0	0	585	0	0	0	0	0	0	529
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	11,494	0	68,164	0	0	0	68,164	0	21,882	33,299	670	0	13,941	0	0	0	9,866
339.22118-Animal Populati	345	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	333
339.22119-Love Your Libra	62	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	68
339.22122-Local Wireless	116	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	116
339.22123-Pub Safe Commun	37,218	0	108,000	0	0	0	108,000	33,075	12,603	30,990	0	0	0	0	0	10,161	58,389
339.22124-Cuba Lake Mgmt	160	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	154
339.22126-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22128-Med Reimb Acct	547	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	547
339.22130-Low Inc Housing	3,120	0	3,631	0	0	0	3,631	0	2,310	(1)	59	0	1,291	0	0	150	2,942
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54
339.22133-Procure Op News	472	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	297
339.22134-OVS RESTITUTION	757	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	757
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	1,128	0	1,323	0	0	0	1,323	0	219	1,052	6	0	132	0	0	0	1,042
339.22137-Pet Dealer	111	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	143
339.22138-Auth Bldg Office	1,081	0	2,088	0	0	1,826	3,914	0	917	254	27	0	580	0	0	45	3,172
339.22139-Patient Safety	2,726	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,726
339.22140-Helen Hayes Hos	7,145	0	115	0	0	54,263	54,378	0	35,039	20,902	0	0	0	0	0	299	5,283
339.22141-NYC Veterans	3,511	0	350	0	0	28,314	28,664	0	16,056	8,538	0	0	7,136	0	0	107	338
339.22142-NYS Home-Vetera	2,470	0	120	0	0	23,125	23,245	0	16,337	6,176	0	0	0	0	0	119	3,083
339.22143-WNY Vets Home	738	0	55	0	0	12,538	12,593	0	7,405	4,245	0	0	0	0	0	0	1,681
339.22144-Montrose S V H	5,062	0	30	0	0	27,358	27,388	0	16,686	7,588	0	0	0	0	0	67	8,109
339.22145-DOH Hospital Ho	3,913	0	0	0	0	117,284	117,284	0	0	0	0	0	0	0	0	117,284	3,913
339.22146-HEAP Earned Rev	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22147-Quality of Care	6,785	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	7,172
339.22149-Motor Fuel Qual	1,465	0	2,800	0	0	2,800	2,800	0	1,148	1,214	30	0	674	0	0	0	1,199
339.22150-Weights Measure	47	0	325	0	0	0	325	244	0	101	8	0	143	0	0	0	(124)
339.22151-Defier Comp Adm	(76)	0	820	0	0	0	820	0	378	183	23	0	225	0	0	0	(65)
339.22152-Hazard Abatement	2	0	1,245	0	0	0	1,245	150	0	0	0	0	0	0	0	0	1,097
339.22153-Education Stats	103	0	0	89	0	0	89	0	0	34	0	0	0	0	0	0	158
339.22154-Real Estate Fin	5,131	0	1,693	0	0	0	1,693	0	559	1,292	15	0	328	0	0	0	4,630
339.22156-NYC Rent Rev	(13,591)	0	48,862	0	0	0	48,862	0	23,854	7,750	604	0	13,330	0	0	(928)	(9,339)
339.22157-Medicaid Income	(119)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(119)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22158-Rent Revenue	(802)	0	550	0	0	0	550	0	380	0	10	0	212	0	0	0	(854)
339.22159-CSFP Salvage Ac	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22161-ES Stem Cell Tr	454	0	0	0	0	30,555	30,555	0	467	29,322	0	0	274	0	0	510	436
339.22162-Systems & Tech	7,746	0	7,300	0	0	0	7,300	0	707	142	27	0	617	0	0	5,328	8,225
339.22163-Patron Services	7,612	0	69,400	0	0	0	69,400	0	30,538	36,200	1	0	3,101	0	0	1,568	5,604
339.22165-Trans Aviatn	2,050	0	3,660	0	0	0	3,660	0	129	3,906	4	0	76	0	0	0	1,595
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	298	0	10	0	0	3,000	10	0	0	10	0	0	0	0	0	0	298
339.22168-Tax Rev Arrear	531	0	0	0	0	3,000	3,000	0	0	500	0	0	0	0	0	0	3,031
339.22169-TSCR Account	51,709	0	195,700	0	0	0	195,700	74,500	0	0	0	0	0	0	0	121,200	51,709
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,566	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,506
339.22172-Undgrnd Sfty T	649	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	584
339.22173-Vol Fire Rec&Re	791	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	791
339.22174-HAVA Match	1,560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,560
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	556	0	9,000	0	0	0	9,000	8,764	328	296	7	0	193	0	0	105	(137)
339.22178-Crim Back Check	379	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	379
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.22182-OWIG Adm Reimb	3,173	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,173
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	655	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	695
339.22186-Yth Fac PerDiem	39,664	0	64,404	0	0	0	64,404	0	0	0	0	0	0	0	0	104,068	0
339.22187-Provider Assess	5	0	852,000	0	0	0	852,000	852,000	0	0	0	0	0	0	0	0	5
339.22188-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.22189-DOCS Asset Forf	595	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	595
339.22190-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	3,428	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,428
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,388	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,388
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-UL-TVI RADIA DEV	449	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	449
339.22198-HEP	84	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	84
339.22199-Airport Securit	175	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(216)
339.22202-SBCI Account	13	0	6,000	0	0	0	6,000	2,000	0	0	0	0	0	0	0	0	4,013
339.22203-Article X Inter	1	0	0	0	0	0	0	86	0	0	0	0	0	0	0	0	(85)
339.22206-Wholesale Mkt	9,306	0	0	0	0	0	0	0	0	1,000	0	0	0	0	0	0	8,306
339.22207-Tech Financing	5,325	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,325
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0
339.22212-Lake George Inv	59	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	59
339.22213-BOE Enforcement	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22214-Fireworks Reven	196	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	416
339.22215-Delivery Transf	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2017**
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVED TRANSPORTATION BOND (30600-30609)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
Opening Fund Balance	0	42,855	125,298	4,786	(83,051)	14	42,066	164	668	3,328
Receipts:										
Taxes	0	1,183,300	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	2,514,014	1,380,482	0	2,500	123,600	0	34,650	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0
Total Receipts	2,514,014	2,568,674	0	2,500	123,600	0	153,750	0	0	0
Disbursements:										
Grants to Local Governments	3,029,477	74,362	0	0	0	0	0	0	0	0
State Operations	2	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,187,522	2,081,279	55,000	2,500	126,772	0	202,000	0	0	0
Total Disbursements	5,217,001	2,155,641	55,000	2,500	126,772	0	202,000	0	0	0
Other Financing Sources (Uses):										
Transfers from Other Funds	2,698,580	923,351	55,000	0	0	0	146,000	0	0	0
Transfers to Other Funds	(2,325)	(1,409,351)	0	0	0	0	0	(25)	200	(100)
Bond & Note Proceeds	0	0	0	0	0	0	0	25	200	100
Net Other Financing Sources (Uses)	2,696,255	(486,000)	55,000	0	0	0	146,000	0	200	100
Change in Fund Balance	(6,732)	(72,967)	0	0	(3,172)	0	97,750	0	0	0
Closing Fund Balance	(6,732)	(30,112)	125,298	4,786	(86,223)	14	139,816	164	668	3,328

	ENVIRONMENTAL QUALITY PROTECTION ACT (1972) (30640-30649)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (1986) (30670-30679)	ACCELERATED CAPACITY AND IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31350-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31549)	SUBURBAN TRANSPORTATION (31650-31699)
Opening Fund Balance	1,451	27,330	4,255	5,576	2,778	7,914	(559,267)	899	(130,803)	507
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,157,495	0	0	0
Total Receipts	0	0	0	0	0	0	2,157,495	10	103,250	0
Disbursements:										
Grants to Local Governments	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,092,523	10	110,345	0
Total Disbursements	0	0	0	0	0	0	1,798,504	10	110,345	0
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	0	0	0	0	0	23,400	0
Transfers to Other Funds	(1,000)	(235,104)	(1,000)	(4,260)	(2,000)	(15,000)	(337,621)	0	(28,849)	0
Bond & Note Proceeds	1,000	235,104	1,000	4,260	2,000	15,000	(337,621)	0	(5,449)	0
Net Other Financing Sources (Uses)	0	0	0	0	0	0	21,370	0	(12,544)	0
Change in Fund Balance	1,451	27,330	4,255	5,576	2,778	7,914	(537,897)	899	(143,347)	507
Closing Fund Balance	1,451	27,330	4,255	5,576	2,778	7,914	(537,897)	899	(143,347)	507

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
FY 2017**
(thousands of dollars)

	DIVISION FOR YOUTH FACILITIES IMPROVEMENT (31700-31749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL RESOURCE DAMAGES (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32999)	MISCELLANEOUS CAPITAL PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK CAPITAL PROJECTS (32250-32299)	MENTAL HYGIENE FACILITIES CAPITAL IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES CAPITAL IMPROVEMENT (32350-32399)
Opening Fund Balance	(22,591)	(10,816)	(144,232)	16,210	(12,564)	168,347	37,047	(24)	(447,532)	(33,035)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	19,384	0	162,052	1,000	0	120,000	9,015	0	185,890	244,588
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	19,384	0	162,052	1,000	0	120,000	9,015	0	185,890	244,588
Disbursements:										
Grants to Local Governments	0	0	162,227	0	0	0	0	0	96,067	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	19,039	0	0	1,017	0	120,000	29,900	0	91,624	298,120
Total Disbursements	19,039	0	162,227	1,017	0	120,000	29,900	0	187,691	298,120
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	1,240	0	0	25,000	25,000	0	1,801	53,532
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	1,240	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	1,240	0	0	25,000	25,000	0	1,801	53,532
Change in Fund Balance	345	0	1,065	(7)	0	25,000	4,115	0	0	0
Closing Fund Balance	(22,246)	(10,816)	(143,187)	16,193	(12,564)	193,347	41,162	(24)	(447,532)	(33,035)

	SMART SCHOOLS BOND FUND (30710-30749)	NEW YORK STATE STORM RECOVERY (33000-33049)	DEDICATED INFRASTRUCTURE INVESTMENT (33050-33051)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	(48,673)	110,333	0	(890,782)	0	(890,782)
Receipts:							
Taxes	0	0	0	0	1,302,400	0	1,302,400
Miscellaneous Receipts	0	23,181	0	1	4,923,617	0	4,923,617
Federal Grants	0	0	0	0	2,162,387	0	2,162,387
Total Receipts	0	23,181	0	1	8,388,404	0	8,388,404
Disbursements:							
Grants to Local Governments	0	0	84,000	0	4,152,114	0	4,152,114
State Operations	0	0	0	0	2	0	2
General State Charges	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	23,181	816,665	1,000	7,258,497	0	7,258,497
Total Disbursements	0	23,181	900,665	0	11,410,613	0	11,410,613
Other Financing Sources (Uses):							
Transfers from Other Funds	0	0	900,665	0	4,853,569	(937,118)	3,916,451
Transfers to Other Funds	(350,000)	0	0	0	(2,386,835)	937,118	(1,449,717)
Bond & Note Proceeds	350,000	0	0	0	608,689	0	608,689
Net Other Financing Sources (Uses)	0	0	900,665	0	3,075,423	0	3,075,423
Change in Fund Balance	0	(48,673)	1	1	53,214	0	53,214
Closing Fund Balance	0	(48,673)	110,333	1	(837,568)	0	(837,568)

**CASH COMBINING STATEMENT
DEBT SERVICE
FY 2017**

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	110,547	0	0	49,166	0	0	159,713	0	159,713
Receipts:									
Taxes	0	15,261,763	0	0	1,018,900	3,239,281	19,519,944	0	19,519,944
Miscellaneous Receipts	332,497	0	6,234	145,598	0	500	484,829	0	484,829
Federal Grants	0	73,443	0	0	0	0	73,443	0	73,443
Total Receipts	332,497	15,335,206	6,234	145,598	1,018,900	3,239,781	20,078,216	0	20,078,216
Disbursements:									
Grants to Local Governments	0	0	0	0	0	0	0	0	0
State Operations	2,970	30,006	0	2,911	0	3,020	38,907	0	38,907
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	192,966	4,479,672	7,234	25,573	0	370,002	5,075,447	0	5,075,447
Capital Projects	0	0	0	0	0	0	0	0	0
Total Disbursements	195,936	4,509,678	7,234	28,484	0	373,022	5,114,354	0	5,114,354
Other Financing Sources (Uses):									
Transfers from Other Funds	1,100,383	2,477,978	1,000	42,069	0	0	3,621,430	(321,815)	3,299,615
Transfers to Other Funds	(1,217,704)	(13,303,506)	0	(145,598)	(1,018,900)	(2,866,759)	(18,552,467)	321,815	(18,230,652)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(117,321)	(10,825,528)	1,000	(103,529)	(1,018,900)	(2,866,759)	(14,931,037)	0	(14,931,037)
Change in Fund Balance	19,240	0	0	13,585	0	0	32,825	0	32,825
Closing Fund Balance	129,787	0	0	62,751	0	0	192,538	0	192,538

CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICE
FY 2017
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	6,629	0	61,998	0	0	0	61,998	0	29,459	14,975	746	0	17,072	0	0	1,866	64,118	4,509
323.55020-OGS Ent Contr	(41,234)	0	200,000	0	0	0	200,000	0	608	199,074	16	0	315	0	0	0	200,013	(41,247)
323.55022-Business Srv Ct	(87)	0	12,653	0	0	0	12,653	0	5,605	5,000	319	0	1,743	0	0	0	12,667	(101)
323.550ML-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centrl Srv	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
323.5502X-OGS Exec Direct	(16,316)	0	138,175	0	0	21,789	159,964	0	4,468	124,228	108	0	2,473	0	0	28,767	160,044	(16,396)
323.5502Y-OGS Bldg Admin	7,656	0	25,229	0	0	0	25,229	0	1,925	18,513	49	0	1,116	0	0	0	21,603	11,282
323.550ZZ-OGS Std & Purch	(3,228)	0	11,453	0	0	0	11,453	0	3,200	5,055	78	0	1,784	0	0	0	10,117	(1,892)
334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.55052-Archives R	26	0	1,586	0	0	0	1,586	0	933	114	25	0	540	0	0	0	1,612	0
334.55053-Fedl Single Aud	2,444	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,444
334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	3,646	0	5,963	0	0	0	5,963	0	2,745	500	84	0	1,712	0	0	1,651	6,692	2,917
334.55056-EHS Occup Hlth	177	0	870	0	0	0	870	0	636	494	18	0	381	0	0	8	1,537	(490)
334.55057-Banking Service	(8)	0	500	0	0	51,565	52,065	0	0	47,490	0	0	0	0	0	0	47,490	4,567
334.55058-Cult Resources	(2,789)	0	7,329	0	0	0	7,329	0	1,459	4,082	38	0	839	0	0	284	6,702	(2,162)
334.55059-Neighbor Work P	(12,807)	0	8,200	0	0	1,000	9,200	9,200	0	0	0	0	0	0	0	0	9,200	(12,807)
334.55060-Auto/Print Chgb	1,258	0	17,613	0	0	0	17,613	0	8,554	4,345	0	0	4,943	0	0	0	17,842	1,029
334.55061-NYT Account	(3,955)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(3,955)
334.55062-State Data Ctr	(47,594)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(47,594)
334.55063-Human Svcs Tele	15,540	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15,540
334.55065-OPWDD Copy Cent	681	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	681
334.55066-Intrusion Detec	(1,244)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,244)
334.55067-Dom Violence Gr	(270)	0	800	0	0	0	800	0	698	99	3	0	0	0	0	0	800	(270)
334.55068-Statewide Train	97	0	0	0	0	0	0	0	0	0	0	0	389	0	0	0	389	(292)
334.55069-Centralized Tec	(7,828)	0	30,000	0	0	2,360	32,360	0	0	30,000	0	0	0	0	0	0	30,000	(5,468)
334.55070-Learning Mgmt S	1,716	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,716
334.55071-Labor Cont Ctr	44	0	1,753	0	0	0	1,753	0	1,372	321	38	0	777	0	0	0	2,508	(711)
334.55072-HS Cont Ctr	(107)	0	8,707	0	0	0	8,707	0	4,846	1,527	129	0	2,845	0	0	0	9,347	(747)
334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	(116)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(116)
343.55100-Mental Hygiene	238	0	1,967	0	0	9	1,976	0	996	1,144	26	0	555	0	0	0	2,721	(507)
347.55150-DFY Voc Educatn	53	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	78
394.55200-Joint Labor-Mgt	1,394	0	2,000	0	0	0	2,000	0	906	396	30	0	522	0	0	0	1,854	1,540
395.55251-Ex Dir Intl Aud	(3,225)	0	1,950	0	0	0	1,950	0	1,210	72	31	0	710	0	0	0	2,023	(3,298)
395.55252-CIO INFO TECH C	2,430	0	17,000	0	0	0	17,000	0	5,179	12,700	71	0	2,830	0	0	0	20,780	(1,350)
396.55300-Health Insuranc	(6,487)	0	14,121	0	0	8,083	22,204	0	9,069	1,700	270	0	5,768	0	0	3,428	20,235	(4,518)
396.55301-CS EBD Adm Reim	(6,644)	0	4,500	0	0	0	4,500	0	1,836	318	56	0	1,127	0	0	639	3,976	(6,120)
397.55350-Correctional In	(17,427)	0	49,000	0	0	10,500	59,500	0	18,723	31,930	531	0	11,035	0	0	357	62,576	(20,503)

**CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
FY 2017**

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	154	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	154
325.50050-State Fair Rece	871	0	18,000	0	0	0	18,000	0	5,729	11,269	160	0	2,028	0	0	0	19,186	(315)
326.50100-DOCS Commissary	2,824	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	2,906
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Ctr	466	0	1,222	0	0	0	1,222	0	608	162	15	0	352	0	0	0	1,137	551
331.50319-Attica Emp Mess	341	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	335
331.50322-Asset Preservat	76	0	14	0	0	0	14	0	0	22	0	0	0	0	0	0	22	68
331.50323-Farm Program	1,030	0	629	0	0	0	629	0	123	455	2	0	60	0	0	0	640	1,019
331.50327-Emp Plz Gift Sh	15	0	300	0	0	0	300	0	106	131	3	0	61	0	0	0	301	14
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,906	3,612	80	0	1,503	0	0	1,000	9,101	(1,009)
331.OGSSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	12	0	0	0	874	0
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	0	0	0	0	0	0	105	0
351.50400-OMH Shelt Wkshs	1,910	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,910
352.50450-MR Shel Wrkshop	1,895	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,795
353.50500-MH & MR Communi	3,968	0	2,200	0	0	0	2,200	0	383	1,172	10	0	215	0	0	0	1,780	4,388
353.50516-MR Community St	157	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	154
450.259SF-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U / Benefit Fnd	907,571	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	907,571
481.50651-Interest Assess	4,599	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,599
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**GAAP FINANCIAL PLAN
GENERAL FUND
FY 2017
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Revenues:			
Taxes:			
Personal Income Tax	33,264	(182)	33,082
Consumption/Use Taxes	6,805	(4)	6,801
Business Taxes	5,991	(215)	5,776
Other Taxes	986	58	1,044
Miscellaneous Receipts	5,339	187	5,526
Federal Receipts	<u>0</u>	<u>0</u>	<u>0</u>
Total Receipts	<u>52,385</u>	<u>(156)</u>	<u>52,229</u>
Expenditures:			
Local Assistance Grants	46,341	96	46,437
Departmental Operations	12,380	72	12,452
General State Charges	6,224	(95)	6,129
Debt Service	0	0	0
Capital Projects	<u>2</u>	<u>(2)</u>	<u>0</u>
Total Disbursements	<u>64,947</u>	<u>71</u>	<u>65,018</u>
Other Financing Sources (Uses):			
Transfers From Other Funds	18,757	222	18,979
Transfers To Other Funds	(8,643)	(1,073)	(9,716)
Proceeds From Financing Arrangements/ Advance Refundings	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>10,114</u>	<u>(851)</u>	<u>9,263</u>
Operating Surplus/(Deficit)	<u>(2,448)</u>	<u>(1,078)</u>	<u>(3,526)</u>
Accumulated Surplus/(Deficit)*	<u>258</u>	<u>2,615</u>	<u>2,873</u>

*Changes to the projected Accumulated Surplus/(Deficit) in FY 2017 reflect adjustments to the timing of transfers related to extraordinary monetary settlement receipts. These receipts are now scheduled to be transferred over a multi-year period through FY 2021.

GAAP FINANCIAL PLAN
GENERAL FUND
FY 2017 THROUGH FY 2020
(millions of dollars)

	FY 2017 <u>Enacted</u>	FY 2018 <u>Projected</u>	FY 2019 <u>Projected</u>	FY 2020 <u>Projected</u>
Revenues:				
Taxes:				
Personal Income Tax	33,082	35,753	34,996	37,546
Consumption/Use Taxes	6,801	7,141	7,427	7,696
Business Taxes	5,776	5,988	6,155	6,413
Other Taxes	1,044	970	933	983
Miscellaneous Receipts	5,526	5,015	4,992	4,488
Federal Receipts	0	0	0	0
Total Receipts	<u>52,229</u>	<u>54,867</u>	<u>54,503</u>	<u>57,126</u>
Expenditures:				
Local Assistance Grants	46,437	49,908	52,655	55,345
Departmental Operations	12,452	12,706	12,648	12,718
General State Charges	6,129	7,876	8,188	8,611
Debt Service	0	0	0	0
Capital Projects	0	0	0	0
Total Disbursements	<u>65,018</u>	<u>70,490</u>	<u>73,491</u>	<u>76,674</u>
Other Financing Sources (Uses):				
Transfers From Other Funds	18,979	19,429	19,148	19,455
Transfers To Other Funds	(9,716)	(5,676)	(6,089)	(6,128)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0
Net Other Financing Sources (Uses)	<u>9,263</u>	<u>13,753</u>	<u>13,059</u>	<u>13,327</u>
Operating Surplus/(Deficit)*	<u>(3,526)</u>	<u>(1,870)</u>	<u>(5,929)</u>	<u>(6,221)</u>

*FY 2018 through FY 2020 operating deficits do not reflect the impact of the State's adherence to the two percent spending benchmark, which would reduce expenditures from current forecasted levels.

GAAP FINANCIAL PLAN

ALL FUNDS

FY 2017

(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	46,703	8,214	1,295	19,866	76,078
Public Health/Patient Fees	0	4,660	0	449	5,109
Miscellaneous Receipts	5,526	1,497	(3,149)	6	3,880
Federal Receipts	0	54,887	4,878	73	59,838
Total Receipts	52,229	69,258	3,024	20,394	144,905
Expenditures:					
Local Assistance Grants	46,437	68,194	4,292	0	118,923
Departmental Operations	12,452	2,008	0	39	14,499
General State Charges	6,129	443	0	0	6,572
Debt Service	0	0	0	4,171	4,171
Capital Projects	0	3	7,810	0	7,813
Total Disbursements	65,018	70,648	12,102	4,210	151,978
Other Financing Sources (Uses):					
Transfers From Other Funds	18,979	3,659	4,418	3,262	30,318
Transfers To Other Funds	(9,716)	(2,663)	(1,456)	(19,357)	(33,192)
Proceeds Of General Obligation Bonds	0	0	609	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	5,373	0	5,373
Net Other Financing Sources (Uses)	9,263	996	8,944	(16,095)	3,108
Operating Surplus/(Deficit)	(3,526)	(394)	(134)	89	(3,965)

**GAAP FINANCIAL PLAN
ALL FUNDS
FY 2017
(millions of dollars)**

	Major Funds				Eliminations	Total
	General Fund	Federal Special Revenue	General Debt Service	Other Governmental Funds		
Revenues:						
Taxes:						
Personal Income Tax	33,082	0	12,366	3,228	0	48,676
Consumption/Use Taxes	6,801	0	3,241	5,813	0	15,855
Business Taxes	5,776	0	0	2,246	0	8,022
Other Taxes	1,044	0	0	2,481	0	3,525
Public Health/Patient Fees	0	0	0	5,109	0	5,109
Miscellaneous Receipts	5,526	216	0	(1,862)	0	3,880
Federal Receipts	0	54,886	73	4,879	0	59,838
Total Receipts	52,229	55,102	15,680	21,894	0	144,905
Expenditures:						
Local Assistance Grants	46,437	51,189	0	21,297	0	118,923
Departmental Operations	12,452	1,624	30	393	0	14,499
General State Charges	6,129	325	0	118	0	6,572
Debt Service	0	0	3,561	610	0	4,171
Capital Projects	0	0	0	7,813	0	7,813
Total Disbursements	65,018	53,138	3,591	30,231	0	151,978
Other Financing Sources (Uses):						
Transfers From Other Funds	18,979	0	2,488	8,851	(21,652)	8,666
Transfers To Other Funds	(9,716)	(2,057)	(14,577)	(6,842)	21,652	(11,540)
Proceeds Of General Obligation Bonds	0	0	0	609	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	5,373	0	5,373
Net Other Financing Sources (Uses)	9,263	(2,057)	(12,089)	7,991	0	3,108
Operating Surplus/(Deficit)	(3,526)	(93)	0	(346)	0	(3,965)

GAAP COMBINING STATEMENT
GENERAL FUND
FY 2017
(millions of dollars)

	001	003	007	166	013	008	222	225	326	331	334
Revenues:											
Personal Income Tax	0	33,082	0	0	0	0	0	0	0	0	0
Consumption/Use Taxes	0	6,801	0	0	0	0	0	0	0	0	0
Business Taxes	0	5,776	0	0	0	0	0	0	0	0	0
Other Taxes	0	1,044	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	2,732	0	863	0	0	450	18	39	14	94
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	0	49,435	0	863	0	0	450	18	39	14	94
Expenditures:											
Local Assistance/Grants	44,566	0	10	0	0	0	0	0	0	0	9
Departmental Operations	0	8,606	0	48	0	0	413	17	39	13	126
General State Charges	0	3,622	0	815	0	0	24	2	0	2	12
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	44,566	12,228	10	863	0	0	437	19	39	15	147
Other Financing Sources (Uses):											
Transfers From Other Funds	0	18,244	0	0	0	0	22	0	0	0	55
Proceeds From Financing Arrangements/Advance Refundings	(3,088)	(11,052)	0	0	0	0	(31)	0	0	(1)	(2)
Net Other Financing Sources (Uses)	(3,088)	7,192	0	0	0	0	(9)	0	0	(1)	53
Operating Surplus/(Deficit)	(47,651)	44,399	(10)	0	0	0	4	(1)	0	(2)	0
Revenues:	339	343	351	352	353	394	395	396	397	450	Total
Personal Income Tax	0	0	0	0	0	0	0	0	0	0	33,082
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	6,801
Business Taxes	0	0	0	0	0	0	0	0	0	0	5,776
Other Taxes	0	0	0	0	0	0	0	0	0	0	1,044
Miscellaneous Receipts	1,827	2	2	1	2	2	19	18	49	0	(606)
Federal Receipts	0	0	0	0	0	0	0	0	0	0	5,526
Total Receipts	1,827	2	2	1	2	2	19	18	49	0	52,229
Expenditures:											
Local Assistance	1,852	0	0	0	0	0	0	0	0	0	46,437
Agency Operations	3,705	2	2	1	2	1	19	13	51	0	12,452
Fringe Benefits/Fixed Costs	1,628	1	0	0	0	1	4	7	11	0	6,129
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	7,185	3	2	1	2	2	23	20	62	0	65,018
Other Financing Sources (Uses):											
Transfers From Other Funds	5,812	0	0	0	0	0	0	8	10	0	18,979
Proceeds From Financing Arrangements/Advance Refundings	(710)	0	0	0	0	0	0	(4)	0	0	(9,716)
Net Other Financing Sources (Uses)	5,102	0	0	0	0	0	0	4	10	0	9,263
Operating Surplus/(Deficit)	(256)	(10)	0	0	0	0	(4)	2	(5)	0	(5,585)

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
FY 2017
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
		Cash Revenue Funds	Special Revenue Funds	Other Funds	Other Funds						
Revenues:											
Taxes:											
Personal Income Tax	33,870	0	0	0	0	33,870	(788)	0	0	0	33,082
Consumption/Use Taxes	7,087	0	0	0	0	7,087	(286)	0	0	0	6,801
Business Taxes	5,750	0	0	0	0	5,750	26	0	0	0	5,776
Other Taxes	1,045	0	0	0	0	1,045	(1)	0	0	0	1,044
Miscellaneous Receipts	2,813	1,827	712	712	5,352	5,352	0	718	(606)	62	5,526
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
Total Revenues	50,565	1,827	712	712	53,104	(1,049)		718	(606)	62	52,229
Expenditures:											
Local Assistance Grants	45,957	1,852	9	9	47,818	(151)		0	0	(1,230)	46,437
Departmental Operations	8,299	3,981	701	701	12,981	(16)		(40)	(606)	133	12,452
General State Charges	5,425	1,628	66	66	7,119	(187)		758	0	(1,561)	6,129
Debt Service	0	0	0	0	0	0		0	0	0	0
Capital Projects	0	0	0	0	0	0		0	0	0	0
Total Expenditures	59,681	7,461	776	776	67,918	(354)		718	(606)	(2,658)	65,018
Other Financing Sources (Uses):											
Transfers From Other Funds	18,411	6,116	95	95	24,622	0		(5,277)	0	(366)	18,979
Transfers To Other Funds	(12,160)	(441)	(38)	(38)	(12,639)	0		5,277	0	(2,354)	(9,716)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0		0	0	0	0
Net Other Financing Sources (Uses)	6,251	5,675	57	57	11,983	0		0	0	(2,720)	9,263
Excess (deficiency) Of Revenues And Other Financing Sources											
Over Expenditures And Other Financing Uses	(2,865)	41	(7)	(7)	(2,831)	(695)		0	0	0	(3,526)
(Increase)/Decrease In Reserves	2,865	0	0	0	2,865	(2,865)		0	0	0	0
Operating Surplus/(Deficit)	0	41	(7)	(7)	34	(3,560)		0	0	0	(3,526)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS

FY 2017

(millions of dollars)

	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Revenues:										
Taxes	8,214	0	0	0	0	0	0	0	0	8,214
Public Health	0	0	0	0	0	4,660	0	0	0	4,660
Miscellaneous Receipts	15,680	(92)	(1,827)	(3,353)	0	(4,660)	0	0	41	1,497
Federal Receipts	49,416	0	0	0	5,331	0	0	0	140	54,887
Total Receipts	73,310	(92)	(1,827)	(3,353)	5,331	0	0	0	181	69,258
Expenditures:										
Local Assistance Grants	64,736	0	(1,852)	(75)	5,331	0	0	0	54	68,194
Departmental Operations	12,078	(86)	(3,981)	(127)	0	0	(339)	0	79	2,008
Fringe Benefits/Fixed Costs	2,445	0	(1,627)	(11)	0	0	0	0	12	443
Capital Projects	3	0	0	0	0	0	0	0	0	3
Total Disbursements	79,262	(86)	(7,460)	(213)	5,331	0	(339)	0	145	70,648
Other Financing Sources (Uses):										
Transfers From Other Funds	8,287	0	(1,789)	(6,116)	0	0	0	31	0	3,659
Transfers To Other Funds	(2,850)	0	116	441	0	0	(339)	(31)	0	(2,663)
Net Other Financing Sources (Uses)	5,437	0	(1,673)	(5,675)	0	0	(339)	0	0	996
Operating Surplus/(Deficit)	(515)	(9)	27	(42)	0	0	0	0	36	(394)

CASH TO GAAP CONVERSION TABLE

CAPITAL PROJECTS FUND

FY 2017

(millions of dollars)

	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Revenues:									
Taxes	1,295	0	0	0	0	0	0	0	1,295
Miscellaneous Receipts	2,163	0	(120)	(8)	0	0	(4,570)	71	(3,149)
Federal Receipts	4,878	0	0	0	0	0	0	0	4,878
Total Receipts	8,336	0	(120)	(8)	0	0	(4,570)	71	3,024
Expenditures:									
Local Assistance Grants	4,203	0	0	(4)	0	0	0	93	4,292
Capital Projects	7,717	(55)	(120)	(679)	(8)	803	0	152	7,810
Total Disbursements	11,920	(55)	(120)	(683)	0	803	0	245	12,102
Other Financing Sources (Uses):									
Transfers From Other Funds	4,498	(55)	(25)	0	0	0	0	0	4,418
Transfers To Other Funds	(1,457)	0	1	0	0	0	0	0	(1,456)
Proceeds Of GO Bonds	609	0	0	0	0	0	0	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	803	4,570	0	5,373
Net Other Financing Sources (Uses)	3,650	(55)	(25)	1	0	803	4,570	0	8,946
Operating Surplus/(Deficit)	66	0	(25)	(1)	0	0	0	(174)	(134)

CASH TO GAAP CONVERSION TABLE

DEBT SERVICE FUND

FY 2017

(millions of dollars)

	LGAC	Patient Fees	Reclass	SUNY/ CUNY/DS	System Accruals	Estimated GAAP Expenditures
Revenues:						
Taxes	19,866	0	0	0	0	19,866
Patient Fees	0	0	449	0	0	449
Miscellaneous Receipts	455	0	(449)	0	0	6
Federal Receipts	73	0	0	0	0	73
Total Receipts	20,394	0	0	0	0	20,394
Expenditures:						
Departmental Operations	39	0	0	0	0	39
Debt Service	5,203	0	(1,032)	0	0	4,171
Total Disbursements	5,242	0	(1,032)	0	0	4,210
Other Financing Sources (Uses):						
Transfers From Other Funds	3,262	0	0	0	0	3,262
Transfers To Other Funds	(18,325)	0	0	(1,032)	0	(19,357)
Net Other Financing Sources (Uses)	(15,063)	0	0	(1,032)	0	(16,095)
Operating Surplus/(Deficit)	89	0	0	0	0	89

STATE DEBT OUTSTANDING
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2016 THROUGH FY 2021
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
GENERAL OBLIGATION BONDS	2,727,460	3,071,304	3,535,445	3,652,421	3,699,616	3,763,221
REVENUE BONDS						
Personal Income Tax	31,267,680	32,213,212	36,301,089	39,189,877	41,531,924	43,239,438
Sales Tax	4,254,020	5,008,165	5,933,917	6,841,429	7,889,745	8,749,087
Dedicated Highway	2,451,075	2,264,035	2,126,185	1,952,995	1,759,375	1,327,415
Mental Health Services	1,080,725	760,015	616,345	498,225	404,045	319,700
SUNY Dorms	682,175	649,780	592,660	530,720	409,375	199,640
Health Income	219,805	184,120	165,850	147,680	128,610	108,620
LGAC	2,058,400	1,758,175	1,465,680	1,241,285	900,205	543,270
Subtotal Revenue Bonds	42,013,880	42,837,502	47,201,726	50,402,210	53,023,279	54,487,170
SERVICE CONTRACT	5,487,881	4,757,704	3,929,607	3,244,358	2,725,730	2,166,388
TOTAL STATE-SUPPORTED	50,229,221	50,666,510	54,666,779	57,298,989	59,448,625	60,416,779
BY PROGRAM AREA						
Economic Development & Housing	5,092,661	5,267,316	5,854,303	6,178,784	6,554,324	6,926,013
Education	16,764,095	16,983,041	18,494,147	19,596,698	20,477,929	21,107,397
Environment	2,333,337	2,309,628	2,596,118	2,808,271	3,003,052	3,093,917
Health & Mental Hygiene	4,247,970	4,197,510	4,825,648	5,234,375	5,629,840	5,933,036
State Facilities & Equipment	5,482,635	5,601,726	5,687,283	5,697,730	5,659,593	5,505,768
Transportation	14,250,123	14,549,115	15,743,600	16,541,846	17,223,682	17,307,378
LGAC	2,058,400	1,758,175	1,465,680	1,241,285	900,205	543,270
TOTAL STATE-SUPPORTED	50,229,221	50,666,510	54,666,779	57,298,989	59,448,626	60,416,779

STATE DEBT OUTSTANDING
FY 2016 THROUGH FY 2021
(thousands of dollars)

	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
SUBTOTAL STATE-SUPPORTED	50,229,221	50,666,510	54,666,779	57,298,989	59,448,625	60,416,779
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	256,525	220,040	193,190	165,020	135,480	104,395
Tobacco Settlement Financing Corp.	1,377,635	659,865	0	0	0	0
Moral Obligation						
Housing Finance Agency	1,950	1,400	800	155	0	0
State Guaranteed Debt						
Job Development Authority	6,170	3,085	0	0	0	0
Other						
MBBA Prior Year School Aid Claims	233,670	203,375	171,605	138,605	104,165	67,985
SUBTOTAL OTHER STATE	1,875,950	1,087,765	365,595	303,780	239,645	172,381
GRAND TOTAL STATE-RELATED	52,105,171	51,754,275	55,032,374	57,602,769	59,688,270	60,589,160

STATE DEBT SERVICE
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2016 THROUGH FY 2021
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
GENERAL OBLIGATION BONDS	412,788	381,000	397,257	421,554	434,437	442,867
REVENUE BONDS						
Personal Income Tax	2,698,930	2,772,009	3,287,738	3,849,041	4,308,875	4,688,812
Sales Tax	361,897	569,453	645,078	756,228	710,557	1,002,624
Dedicated Highway	502,940	251,147	280,658	292,901	521,982	201,818
Mental Health Services	202,445	192,966	177,865	145,326	116,021	101,596
Health Income	28,307	25,573	26,555	26,166	26,158	25,821
LGAC	389,550	370,003	288,614	394,349	394,193	261,378
Subtotal Revenue Bonds	4,184,069	4,181,151	4,706,508	5,464,011	6,077,786	6,282,049
SERVICE CONTRACT	982,352	487,694	1,006,634	869,351	703,417	716,982
TOTAL STATE-SUPPORTED	5,579,209	5,049,846	6,110,398	6,754,916	7,215,640	7,441,898
BY PROGRAM AREA						
Economic Development & Housing	746,207	717,212	910,393	1,037,572	972,473	956,709
Education	1,407,129	1,172,194	1,579,309	1,729,049	1,898,518	2,011,832
Environment	304,787	247,118	315,056	339,705	355,656	456,517
Health & Mental Hygiene	475,330	441,125	570,569	680,434	680,022	755,945
State Facilities & Equipment	601,122	561,911	634,687	655,563	693,924	775,955
Transportation	1,655,085	1,540,284	1,811,770	1,918,244	2,220,854	2,223,562
LGAC	389,550	370,003	288,614	394,349	394,193	261,378
TOTAL STATE-SUPPORTED	5,579,209	5,049,846	6,110,398	6,754,916	7,215,640	7,441,898

STATE DEBT SERVICE
FY 2016 THROUGH FY 2021
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
SUBTOTAL STATE-SUPPORTED	<u>5,579,209</u>	<u>5,049,846</u>	<u>6,110,398</u>	<u>6,754,916</u>	<u>7,215,640</u>	<u>7,441,898</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	62,414	49,217	37,845	37,853	37,844	37,859
Tobacco Settlement Financing Corp.	417,981	768,365	676,288	0	0	0
Moral Obligation						
Housing Finance Agency	697	695	700	697	161	0
State Guaranteed Debt						
Job Development Authority	3,578	3,416	3,252	0	0	0
Other						
MBBA Prior Year School Aid Claims	40,780	40,966	40,986	40,964	41,204	41,263
SUBTOTAL OTHER STATE	<u>525,450</u>	<u>862,660</u>	<u>759,071</u>	<u>79,513</u>	<u>79,209</u>	<u>79,122</u>
GRAND TOTAL STATE-RELATED	<u>6,104,659</u>	<u>5,912,505</u>	<u>6,869,469</u>	<u>6,834,430</u>	<u>7,294,849</u>	<u>7,521,020</u>

STATE DEBT ISSUANCES
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2016 THROUGH FY 2021
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
GENERAL OBLIGATION BONDS	-	608,689	728,476	380,796	315,131	334,993
REVENUE BONDS						
Personal Income Tax	2,194,475	2,377,847	5,705,407	4,823,236	4,548,791	4,141,118
Sales Tax	936,375	1,089,370	1,288,078	1,326,720	1,366,521	1,407,517
Subtotal Revenue Bonds	<u>3,130,850</u>	<u>3,467,217</u>	<u>6,993,484</u>	<u>6,149,955</u>	<u>5,915,313</u>	<u>5,548,635</u>
TOTAL STATE-SUPPORTED	<u>3,130,850</u>	<u>4,075,906</u>	<u>7,721,960</u>	<u>6,530,751</u>	<u>6,230,444</u>	<u>5,883,628</u>
BY PROGRAM AREA						
Economic Development & Housing	347,880	818,903	1,268,367	1,072,705	1,023,378	966,412
Education	1,048,310	880,413	2,265,263	1,915,818	1,827,722	1,725,982
Environment	215,585	193,494	490,040	414,445	395,387	373,378
Health & Mental Hygiene	173,890	319,215	983,699	831,951	793,695	749,514
State Facilities & Equipment	343,775	466,138	455,736	385,433	367,709	347,241
Transportation	1,001,410	1,397,743	2,258,856	1,910,399	1,822,552	1,721,100
SUBTOTAL STATE-SUPPORTED	<u>3,130,850</u>	<u>4,075,906</u>	<u>7,721,960</u>	<u>6,530,751</u>	<u>6,230,444</u>	<u>5,883,628</u>

STATE DEBT RETIREMENTS
SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA
FY 2016 THROUGH FY 2021
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
GENERAL OBLIGATION BONDS	290,290	264,845	264,335	263,821	267,935	271,388
REVENUE BONDS						
Personal Income Tax	1,342,440	1,502,070	1,617,529	1,934,448	2,206,743	2,433,604
Sales Tax	203,485	335,225	362,326	419,208	318,205	548,175
Dedicated Highway	508,120	187,040	137,850	173,190	193,620	431,960
Mental Health Services	144,520	147,140	143,670	118,120	94,180	84,345
SUNY Dorms	53,160	32,395	29,835	25,810	21,935	19,095
Health Income	16,540	17,570	18,270	18,170	19,070	19,990
LGAC	286,640	300,225	292,495	224,395	341,080	356,935
Subtotal Revenue Bonds	<u>2,554,905</u>	<u>2,521,665</u>	<u>2,601,975</u>	<u>2,913,341</u>	<u>3,194,834</u>	<u>3,894,103</u>
SERVICE CONTRACT	887,940	711,932	828,097	685,249	518,628	559,342
TOTAL STATE-SUPPORTED	<u>3,733,135</u>	<u>3,498,442</u>	<u>3,694,407</u>	<u>3,862,411</u>	<u>3,981,397</u>	<u>4,724,834</u>
BY PROGRAM AREA						
Economic Development & Housing	587,279	633,168	681,380	748,224	647,839	594,723
Education	653,097	586,686	726,872	777,137	847,080	905,874
Environment	236,325	198,494	203,549	202,292	200,607	282,514
Health & Mental Hygiene	318,325	334,070	355,561	423,224	398,229	446,318
State Facilities & Equipment	354,951	347,047	370,179	374,986	405,846	501,066
Transportation	1,296,519	1,098,751	1,064,370	1,112,153	1,140,716	1,637,404
LGAC	286,640	300,225	292,495	224,395	341,080	356,935
TOTAL STATE-SUPPORTED	<u>3,733,135</u>	<u>3,498,442</u>	<u>3,694,407</u>	<u>3,862,411</u>	<u>3,981,397</u>	<u>4,724,834</u>

STATE DEBT RETIREMENTS
FY 2016 THROUGH FY 2021
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
SUBTOTAL STATE-SUPPORTED	<u>3,733,135</u>	<u>3,498,442</u>	<u>3,694,407</u>	<u>3,862,411</u>	<u>3,981,397</u>	<u>4,724,834</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	47,570	36,485	26,850	28,170	29,540	31,085
Tobacco Settlement Financing Corp.	367,260	717,770	659,865	0	0	0
Moral Obligation						
Housing Finance Agency	510	550	600	645	155	0
State Guaranteed Debt						
Job Development Authority	3,085	3,085	3,085	0	0	0
Other						
MBBA Prior Year School Aid Claims	28,980	30,295	31,770	33,000	34,440	36,180
SUBTOTAL OTHER STATE	<u>447,405</u>	<u>788,185</u>	<u>722,170</u>	<u>61,815</u>	<u>64,135</u>	<u>67,266</u>
GRAND TOTAL STATE-RELATED	<u>4,180,540</u>	<u>4,286,627</u>	<u>4,416,577</u>	<u>3,924,226</u>	<u>4,045,532</u>	<u>4,792,100</u>

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
10000-10049	001	Local Assistance Account	General
10050-10099	003	State Operations Account	General
10100-10149	004	Tax Stabilization Reserve Account	General
10150-10199	005	Contingency Reserve Fund	General
10200-10249	006	Universal Pre-Kindergarten Reserve	General
10250-10299	007	Community Projects Fund	General
10300-10349	008	Rainy Day Reserve Fund	General
10400-10449	017	Refund Reserve Account	General
10450-10499	100	General Fund	General
10500-10549	166	Fringe Benefit Escrow Account	General
10550-10599	348	Tobacco Revenue Guarantee Fund	General
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue
20100-20299	020	Combined Expendable Trust Fund	Special Revenue
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue
20400-20449	025	Child Performer's Protection Fund	Special Revenue
20450-20499	050	Tuition Reimbursement Fund	Special Revenue
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue
20550-20599	053	School Tax Relief Fund	Special Revenue
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue
20900-20949	160	State Lottery Fund	Special Revenue
20950-20999	221	Combined Student Loan Fund	Special Revenue
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue
21150-21199	302	Conservation Fund	Special Revenue
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue
21450-21499	314	Clean Air Fund	Special Revenue
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue
21550-21599	321	Legislative Computer Services Fund	Special Revenue
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue
21850-21899	338	Arts Capital Revolving Fund	Special Revenue
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue
22550-22599	341	Employment Training Fund	Special Revenue
22600-22649	342	Homeless Housing and Assistance Fund	Special Revenue
22650-22699	345	State University Income Fund	Special Revenue
22700-22749	346	Chemical Dependence Service Fund	Special Revenue
22750-22799	349	Lake George Park Trust Fund	Special Revenue
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue
22950-22999	360	Housing Development Fund	Special Revenue
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue
23250-23449	377	IFR/City University Tuition Fund	Special Revenue
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue
23550-23599	390	Indigent Legal Services Fund	Special Revenue
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23750		New York State Commercial Gaming Fund	Special Revenue

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25250-25299	269	Federal Block Grants Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Emergency Employment Act Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
	118	Rail Preservation and Development Bond Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30749	119	State Housing Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40350-40399	330	State University Dormitory Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

STATE OF NEW YORK FUND STRUCTURE

