

NEW YORK STATE

ENACTED BUDGET

FINANCIAL PLAN FOR FISCAL YEAR 2012



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INTRODUCTION

This Enacted Budget Financial Plan (the “Enacted Budget” or “Enacted Budget Financial Plan”) summarizes the State of New York’s official Financial Plan projections for FYs 2012 through 2015. The State’s FY 2012 began on April 1, 2011 and ends on March 31, 2012. The Financial Plan projections reflect the impact of the Enacted Budget for FY 2012. DOB¹ expects to update the multi-year projections quarterly during the year.

The State’s General Fund — the fund that receives the majority of State taxes and all income not earmarked for a particular program or activity — is required to be balanced on a cash basis of accounting. The State Constitution and State Finance Law do not define budget balance. In practice, the General Fund is considered balanced on a cash basis of accounting if sufficient resources are expected to be available during the fiscal year for the State to (a) make all required payments, including personal income tax refunds, without the issuance of deficit notes or bonds, and (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began.

The General Fund is typically the financing source of last resort for the State’s other major funds, including HCRA funds, the DHBTF, the STAR Fund, and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State’s budget discussion is often weighted toward the General Fund.

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables sort State projections and results by fund and category. The State also reports disbursements and receipts activity by two other broad measures: State Operating Funds, which includes the General Fund and funds specified for dedicated purposes, but excludes Federal Funds and Capital Projects Funds; and All Governmental Funds (“All Funds”), which includes both State and Federal Funds and provides the most comprehensive view of the financial operations of the State.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; Capital Projects Funds, which account for costs incurred in the construction and rehabilitation of roads, bridges, prisons, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and its public authorities.

¹ Please see Glossary of Acronyms for the definitions of acronyms and abbreviations that appear in the text.

INTRODUCTION

The factors affecting the State's financial condition are complex. This Enacted Budget Financial Plan contains forecasts, projections and estimates that are based on expectations and assumptions which existed at the time they were prepared. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Enacted Budget Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. Forecasts, projections and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” and analogous expressions are intended to identify forward-looking statements in the Enacted Budget Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions, changes in political, social and economic conditions, impediments to the implementation of gap-closing actions, regulatory initiatives and compliance with governmental regulations, litigation and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date they were prepared.

FINANCIAL PLAN OVERVIEW

FINANCIAL PLAN AT-A-GLANCE

ENACTED BUDGET FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES (millions of dollars)				
	2010-11 Year-End Results ¹	2011-12		
		Before Actions ^{1,2}	Executive Budget ^{1,3}	Enacted Budget ¹
State Operating Funds Budget - Adjusted ⁴				
Size of Budget	\$86,554	\$96,381	\$88,011	\$88,213
Annual Growth	0.9%	11.4%	1.0%	1.9%
State Operating Funds Budget				
Size of Budget	\$84,417	\$95,047	\$86,677	\$86,879
Annual Growth	4.7%	12.6%	2.0%	2.9%
Other Budget Measures				
General Fund (with transfers)	\$55,373	\$65,346	\$56,766	\$56,932
	6.1%	18.0%	3.1%	2.8%
State Funds (Including Capital)	\$90,118	\$101,311	\$92,838	\$92,804
	4.7%	12.4%	1.8%	3.0%
Capital Budget (Federal and State)	\$7,844	\$8,273	\$8,169	\$7,888
	10.3%	5.5%	-1.3%	0.6%
Federal Operating	\$42,564	\$40,273	\$37,688	\$36,931
	8.8%	-5.4%	-12.1%	-13.2%
All Funds	\$134,825	\$143,593	\$132,534	\$131,698
	6.3%	6.5%	-2.6%	-2.3%
All Funds (Including "Off-Budget" Capital)	\$136,261	\$145,251	\$134,192	\$133,395
	6.0%	6.6%	-2.6%	-2.1%
All Funds Receipts				
Taxes	\$60,870	\$64,538	\$64,758	\$64,976
	5.6%	6.0%	6.5%	6.7%
Miscellaneous Receipts	\$23,148	\$22,809	\$23,617	\$23,407
	-1.7%	-1.5%	-0.4%	1.1%
Federal Grants	\$49,303	\$46,753	\$44,302	\$43,305
	8.3%	-5.2%	-11.4%	-12.2%
Total Receipts	\$133,321	\$134,100	\$132,677	\$131,688
	5.2%	0.6%	-1.4%	-1.2%
Base Tax Growth/(Decline) ⁵	2.1%	7.5%	7.5%	7.5%
Inflation (CPI)	1.4%	1.9%	2.1%	2.1%
Budget Gaps				
2011-12	N/A	(\$10,001)	\$0	0
2012-13	N/A	(\$14,945)	(\$2,198)	(\$2,379)
2013-14	N/A	(\$17,429)	(\$2,463)	(\$2,836)
2014-15	N/A	(\$20,903)	(\$4,368)	(\$4,605)
Total General Fund Reserves	<u>\$1,376</u>	N/A	<u>\$1,609</u>	<u>\$1,737</u>
Rainy Day Reserve Funds	\$1,206	N/A	\$1,206	\$1,306
Reserved for Potential Retroactive Payments ⁶	\$0	N/A	\$346	\$346
All Other Reserves	\$170	N/A	\$57	\$85
State Workforce (Subject to Direct Executive Control) ⁷	125,787	127,032	126,367	126,395
Debt				
Debt Service as % All Funds Receipts	4.6%	4.9%	5.0%	4.9%
State-Related Debt Outstanding	\$55,674	\$57,855	\$58,017	\$57,939

¹ Spending in State Operating Funds, State Funds, and Federal Operating Funds has been restated to the classification of State and Federal special revenue accounts followed by the State Comptroller. See Note 5 in the section entitled "Financial Plan Tables and Accompanying Notes."

² Before spending reductions and other actions to eliminate the projected budget gap.

³ The key Financial Plan measures under the 2011-12 Executive Budget, as published, reflected annual changes based on the 2010-11 estimates and are not updated for year-end results.

⁴ Adjusted for enhanced Federal share of Medicaid (FMAP) benefit that temporarily lowered State Medicaid spending; school aid payment deferred from 2009-10 to 2010-11; pension amortization; and retroactive labor settlements. See text.

⁵ The base tax growth rate for the current year equals current year actual collections, less the incremental values of tax law changes and involuntary collections, divided by actual collections from the prior year.

⁶ The State has set aside funds that are expected to cover the costs of potential retroactive labor settlements with unions that have not agreed to contracts through 2010-11.

⁷ 2011-12 estimate does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

FINANCIAL PLAN OVERVIEW

SUMMARY

FISCAL YEAR 2011 (ENDING MARCH 31, 2011)

The State ended FY 2011 in balance on a cash basis in the General Fund, based on preliminary, unaudited results. Receipts, including transfers from other funds, totaled \$54.4 billion, an increase of \$343 million from the last public forecast.² Tax receipts exceeded projections by approximately \$150 million, with stronger than expected collections in PIT and sales taxes, offset in part by lower collections for business taxes. All planned refunds were made according to schedule. Other sources of General Fund receipts (including transfers of fund balances, miscellaneous receipts, and Federal grants) were approximately \$195 million above planned levels. This was due almost exclusively to the transfer of excess balances from certain special revenue funds at the close of the fiscal year.

General Fund disbursements, including transfers to other funds, totaled \$55.4 billion, an increase of \$324 million from the last public forecast. The increase was due in part to the timing of payments that were due and budgeted for the first quarter of FY 2012 but that were made in the final quarter of FY 2011. These previously unanticipated payments included approximately \$154 million for debt service expenses and \$100 million for health care expenses.

The General Fund had a closing balance of \$1.37 billion, consisting of \$1.0 billion in the Tax Stabilization Reserve, \$175 million in the Rainy Day Reserve, \$136 million in the Community Projects Fund, \$21 million in the Contingency Reserve, and \$13 million in an undesignated fund balance. See “Fiscal Year 2011 Preliminary Results” herein for more information.

FISCAL YEAR 2012 (ENDING MARCH 31, 2012)

BUDGET GAPS BEFORE BUDGET ADOPTION (“BASE” OR “CURRENT SERVICES” GAPS)

Before enactment of the budget, the State faced a projected budget gap of \$10 billion for FY 2012. The budget gaps in future years were projected at \$14.9 billion in FY 2013, \$17.4 billion in FY 2014, and \$20.9 billion in FY 2015. Budget gaps represent the difference between (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain anticipated service levels and specific commitments, and (b) the expected level of resources to pay for them.³ The gap estimates are based on a number of assumptions and projections developed by the DOB in consultation with other State agencies. The assumptions reflect the impact of current statutory provisions on spending growth. Statutory mandates and entitlements, combined with enrollment increases and assumed reductions in Federal grants, accounted for a significant portion of projected base spending increases.

The estimated base gaps reflected, in part, the short-term impact of the recession on State tax receipts and economically-sensitive expenditure programs, the long-term growth in spending

² “FY 2012 Executive Budget Financial Plan Updated for Governor’s Amendments and Forecast Revisions,” March 3, 2011.

³ Typically referred to as the “current services” or “base” gaps.

commitments, the expiration of the temporary PIT surcharge at the end of calendar year 2011, and the phase-out⁴ of the Federal stimulus funding for Medicaid, education, and other purposes.

EXECUTIVE BUDGET PROPOSAL

The Governor submitted his Executive Budget proposal for FY 2012 on February 1, 2011, and amendments on February 24 and March 1, 2011, as permitted by law. On March 3, 2011, the DOB issued the Executive Budget Financial Plan, as amended, which included updated estimates and projections for FYs 2011 through 2015. The projections for FYs 2012 through 2015 reflected the estimated impact of the Governor's Executive Budget proposal.

The Governor's Executive Budget proposed measures (the “gap-closing plan”) to eliminate the General Fund budget gap of \$10 billion in FY 2012, and to reduce the future projected budget gaps to \$2.2 billion in FY 2013, \$2.5 billion in FY 2014, and \$4.4 billion in FY 2015. The Executive Budget proposed savings of approximately \$2.85 billion each for School Aid and Medicaid; \$1.4 billion for State agency operations, including a 10 percent year-to-year reduction in State Operations spending in the General Fund, and corresponding reductions in other funds, where appropriate; and \$1.8 billion for a range of other programs and activities. The Executive Budget did not recommend any tax increases.

ENACTED BUDGET FOR FISCAL YEAR 2012

The Governor and legislative leaders announced agreement on a budget for FY 2012 on March 27, 2011. The Legislature passed the appropriations and accompanying legislation needed to complete the budget on March 31, 2011. Consistent with past practice, the Legislature enacted the annual debt service appropriations without amendment before the start of the fiscal year (on March 16, 2011). On April 11, 2011, the Governor completed his review of all budget bills and finalized the enactment of the FY 2012 Budget.

⁴ Under ARRA, the Federal government increased the matching amount it paid on eligible State Medicaid expenditures from 50 percent to approximately 62 percent. This temporary increase in the FMAP ends on June 30, 2011. The ARRA also provided a temporary increase in Federal funding for other governmental services, including aid to public education.

FINANCIAL PLAN OVERVIEW

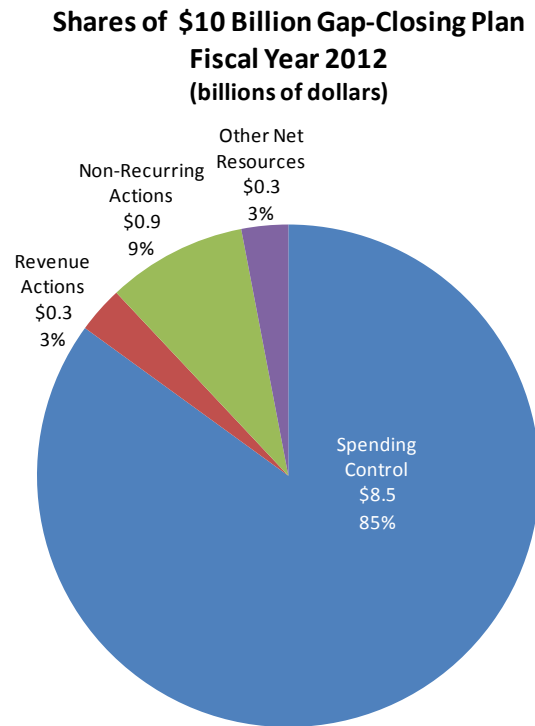
The gap-closing plan authorized in the Enacted Budget does not differ significantly from the Executive Budget proposal. DOB estimates that the Enacted Budget gap-closing plan eliminates the General Fund budget gap of \$10 billion in FY 2012 and reduces the budget gaps to \$2.4 billion in FY 2013, \$2.8 billion in FY 2014, and \$4.6 billion in FY 2015. The following table summarizes the multi-year impact of the gap-closing plan.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
SUMMARY OF CHANGES FROM REVISED CURRENT-SERVICES THROUGH ENACTED BUDGET				
(millions of dollars)				
	2011-12	2012-13	2013-14	2014-15
REVISED CURRENT-SERVICES ESTIMATE (BEFORE ACTIONS)	(10,001)	(14,945)	(17,429)	(20,903)
Enacted Budget Actions	10,001	12,566	14,593	16,298
Spending Reductions/Offsets	8,537	11,967	14,302	15,908
<i>Aid to Localities Reductions¹</i>	7,040	10,389	12,707	14,319
<i>State Agency Redesign</i>	1,497	1,578	1,595	1,589
Revenue Enhancements	324	293	91	21
Non-Recurring Resources	860	2	0	0
New Resources/Costs	380	304	200	369
Planned Deposit to Rainy Day Fund	(100)	0	0	0
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE AFTER ACTIONS	0	(2,379)	(2,836)	(4,605)

¹ Outyear savings assume Medicaid and School Aid grow at their proposed target rates.

As proposed in the Executive Budget, the Enacted Budget limits the annual growth rates for major programs, including Medicaid and School Aid. The allowable growth rate for DOH Medicaid State Funds spending is limited by law to the ten-year average change in the medical component of the Consumer Price Index. The allowable growth rate for School Aid is limited to the rate of growth in New York State personal income. The Enacted Budget includes two-year appropriations and changes to law for Medicaid and School Aid to effectively limit the growth in these programs to the target rates. In Medicaid, State officials have been granted the authority to make modifications to the Medicaid program to help ensure that spending remains within the allowable limit. The new administrative authority expires after two years in the case of Medicaid; however, the Medicaid spending cap will remain in place.

The chart below summarizes the shares of the \$10 billion gap-closing plan for FY 2012 by broad category.



The gap-closing plan authorizes actions to lower spending by approximately \$8.5 billion in FY 2012 compared to the current-services forecast. The Enacted Budget includes savings of \$2.8 billion for School Aid and \$2.7 billion for Medicaid; \$1.5 billion for State agency operations; and \$1.6 billion for a range of other programs and activities. In total, actions to reduce spending from base projections comprise approximately 85 percent of the overall gap-closing plan.

The gap-closing plan also anticipates \$324 million in additional revenues associated with specific statutory changes. These changes include modernizing the State's tax system, improving voluntary compliance with tax law, and increasing the level of resources available from the Abandoned Property Fund. The Legislature authorized certain tax modernization initiatives that are scheduled to sunset on December 31, 2012.

Non-recurring actions are estimated by DOB to total approximately \$860 million in FY 2012. The actions are expected to be derived from contributions by the State's public authorities, use of fund balances, and maintaining a consistent level of pay-as-you-go financing for eligible capital expenses.

FINANCIAL PLAN OVERVIEW

Other net resources shown in the chart above consist of additional resources that were identified during negotiations on the budget, offset by new costs and forecast revisions. These new resources, costs, and forecast revisions are described below.

SUMMARY OF CHANGES TO EXECUTIVE BUDGET PROPOSAL

During negotiations, the Executive and Legislature agreed to approximately \$280 million in net restorations and additions to the Executive Budget proposal for FY 2012 consisting of \$264 million in spending changes and \$16 million from not enacting certain proposed tax law changes. The restorations and additions included \$184 million for School Aid; \$163 million for health care purposes; \$101 million for a range of social service programs; \$86 million for higher education; \$57 million to permit summer school special education to continue to be reimbursed at a different rate than regular special education expenses; and \$52 million for other purposes, including agricultural, arts, environmental, educational, and local government aid programs. These additions were financed in part by a \$170 million reduction in planned spending by OCA and \$155 million in estimated available resources in HCRA. The Executive and Legislature also agreed to reduce spending for certain programs and activities proposed in the Executive Budget by approximately \$54 million. In addition, DOB anticipates that school performance grants will be disbursed more slowly over the plan period than assumed in the Executive Budget.

New resources and costs, which are based on a review of FY 2011 results and other information, are estimated to total \$380 million in FY 2012. The resources include \$387 million in higher projected tax receipts; \$154 million in estimated lower debt service costs from the payment of certain expenses in March 2011; and \$160 million related to grants for capital construction and repair of eligible health care facilities that are expected to be disbursed more slowly than originally anticipated, resulting in lower projected disbursements in FY 2012, but increased spending in future years. New costs reflect changes in the timing of expected proceeds from the conversion of a non-profit health insurer to for-profit status and a reduction to the estimate of tax receipts in FY 2012 related to tax enforcement efforts on Native American lands due to delays related to ongoing litigation.

Lastly, DOB estimates that approximately \$100 million will be available to be deposited into the Rainy Day Reserve at the end of FY 2012, absent any deterioration from the current Financial Plan forecasts.

FINANCIAL PLAN OVERVIEW

The following table summarizes the changes to the Executive Budget Financial Plan.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
SUMMARY OF CHANGES FROM EXECUTIVE BUDGET TO ENACTED BUDGET				
(millions of dollars)				
	2011-12	2012-13	2013-14	2014-15
EXECUTIVE BUDGET PROPOSED	0	(2,198)	(2,463)	(4,368)
Net Budget Actions	(280)	(485)	(573)	(606)
Health Care	(163)	(420)	(387)	(393)
HCRA Resources	155	0	0	0
School Aid	(184)	(148)	(201)	(260)
School Aid Performance Incentives	0	250	348	446
Human Services	(101)	(94)	(99)	(105)
Higher Education	(86)	(101)	(101)	(101)
Special Education	(57)	(35)	(40)	(46)
Education/Arts	(33)	(17)	(17)	(17)
All Other	(19)	(49)	(50)	(49)
Revenue	(16)	(58)	(213)	(268)
Judiciary	170	170	170	170
New Spending Reductions	54	17	17	17
New Resources/Costs	380	304	200	369
Updated Tax Receipts Forecast	387	455	460	448
Debt Service	154	0	0	0
Health Insurance Conversion	(150)	(25)	0	0
HEAL Capital Grants	160	(94)	(160)	0
Native American Cigarette Tax Enforcement ¹	(103)	0	0	0
All Other	(68)	(32)	(100)	(79)
Planned Deposit to Rainy Day Reserve	(100)	0	0	0
ENACTED BUDGET PROJECTIONS	0	(2,379)	(2,836)	(4,605)

¹ The State expects to collect approximately \$130 million once litigation is completed.

FINANCIAL PLAN OVERVIEW

ANNUAL SPENDING GROWTH

DOB estimates that State Operating Funds spending will total \$88.2 billion in FY 2012, an increase of \$1.7 billion (1.9 percent) from FY 2011 results. The annual growth in State Operating Funds spending is affected by several factors: (a) the deferral of a School Aid payment from FY 2010 to FY 2011; (b) the planned amortization of the State's pension costs above a certain percentage of payroll, as authorized in FY 2011; (c) the set-aside of a reserve to pay for potential retroactive labor settlements (for the 2007 through 2011 period), rather than assuming spending for these settlements in FY 2012; and (d) accounting for the phase-out of the Federal government's payment of an increased share of State Medicaid costs. The State Operating Funds spending total in the table below is adjusted to exclude the impact of these factors to more accurately reflect the change in spending.

STATE OPERATING FUNDS TOTAL DISBURSEMENTS (ADJUSTED)							
(millions of dollars)							
	2010-11 Results	2011-12 Base	Before Actions		2011-12 Enacted	After Actions	
			Annual \$ Change	Annual % Change		Annual \$ Change	Annual % Change
State Operating Funds	84,417	95,047	10,630	12.6%	86,879	2,462	2.9%
Adjustments ¹	2,137	1,334	(803)	-37.6%	1,334	(803)	-37.6%
2010 School Aid Deferral	(2,060)	0	2,060	-100.0%	0	2,060	-100.0%
Pension Amortization (Authorized 2010)	249	635	386	155.0%	635	386	155.0%
Retroactive Labor Settlements	0	346	346	n/ap	346	346	N/A
Enhanced FMAP (DOH Medicaid)	3,948	353	(3,595)	-91.1%	353	(3,595)	-91.1%
STATE OPERATING FUNDS (ADJUSTED)	86,554	96,381	9,827	11.4%	88,213	1,659	1.9%

¹ See text above.

Without adjustments, DOB estimates that State Operating Funds spending will total \$86.9 billion in FY 2012, an increase of \$2.5 billion (2.9 percent) from FY 2011 results. All Governmental Funds spending, which includes capital projects and Federal operating spending, would total \$131.7 billion, a decrease of \$3.1 billion from the prior year. Consistent with recent experience, disbursements in FY 2011 were well below budgeted levels in State Operating Funds and in All Funds. This underspending in FY 2011 has the effect of potentially overstating the year-to-year increase in spending. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward in all fiscal years based on typical spending patterns and the observed variance between estimated and actual results over time.

FINANCIAL PLAN OVERVIEW

TOTAL DISBURSEMENTS (millions of dollars)							
	2010-11 Results	2011-12 Base	Before Actions		2011-12 Enacted	After Actions	
			Annual \$ Change	Annual % Change		Annual \$ Change	Annual % Change
State Operating Funds	84,417	95,047	10,630	12.6%	86,879	2,462	2.9%
General Fund (excluding transfers)	49,366	58,591	9,225	18.7%	50,912	1,546	3.1%
Other State Funds	29,373	30,364	991	3.4%	30,050	677	2.3%
Debt Service Funds	5,678	6,092	414	7.3%	5,917	239	4.2%
All Governmental Funds	134,825	143,593	8,768	6.5%	131,698	(3,127)	-2.3%
State Operating Funds	84,417	95,047	10,630	12.6%	86,879	2,462	2.9%
Capital Projects Funds	7,844	8,273	429	5.5%	7,888	44	0.6%
Federal Operating Funds	42,564	40,273	(2,291)	-5.4%	36,931	(5,633)	-13.2%
General Fund, including Transfers	55,373	65,346	9,973	18.0%	56,932	1,559	2.8%
State Funds	90,118	101,311	11,193	12.4%	92,804	2,686	3.0%

The annual spending growth in State Operating Funds is affected by the annual increases in debt service and fringe benefits, which are difficult to control in the short-term due to existing constitutional, statutory and contractual obligations. Together, these costs are projected to increase by nearly \$700 million in FY 2012. Debt service on State-supported debt is projected to increase by \$239 million (4.2 percent) in FY 2012. Spending on fringe benefits and fixed costs is projected to increase by \$428 million (7.0 percent). Growth in fringe benefits is due to increases in the State's annual contribution to the New York State and Local Retirement System and the cost of providing health insurance for active and retired State employees. Pension costs, including State contributions to SUNY's optional retirement program, are expected to increase by \$200 million (13.6 percent) in FY 2012, even with the amortization (i.e., deferral with interest expense) of contributions in excess of 10.5 percent of payroll in FY 2012. Without amortization, the State contribution to the State pension system in FY 2012 would total approximately \$2.1 billion, or \$635 million above the amount in the Enacted Budget Financial Plan.⁵ See "Other Matters Affecting the Financial Plan - Pension Amortization" herein for more information.

The following table summarizes the major sources of annual change in State spending by major program, purpose, and Fund perspective.

⁵ The Financial Plan assumes that the State will amortize pension costs, consistent with the provisions of the authorizing legislation. The State amortized \$249 million of its FY 2011 pension bill of \$1.5 billion and paid the balance on March 1, 2011. The amounts assumed to be amortized over the Financial Plan period are \$635 million in FY 2012, \$878 million in FY 2013, \$1.1 billion in FY 2014, and \$1.2 billion in FY 2014.

FINANCIAL PLAN OVERVIEW

STATE SPENDING MEASURES: BEFORE AND AFTER BUDGET ACTIONS (millions of dollars)							
STATE OPERATING FUNDS	2010-11 Results	2011-12 Base	Annual Change Before Actions		2011-12 Enacted	Annual Change After Actions	
			\$	%		\$	%
Local Assistance	55,295	64,509	9,214	16.7%	57,761	2,466	4.5%
School Aid ¹	19,788	22,453	2,665	13.5%	19,686	(102)	-0.5%
Medicaid ²	<u>14,158</u>	<u>19,992</u>	<u>5,834</u>	<u>41.2%</u>	<u>17,567</u>	<u>3,409</u>	<u>24.1%</u>
Department of Health ³	15,887	17,943	2,056	12.9%	15,679	(208)	-1.3%
Enhanced FMAP (DOH Only)	(3,948)	(353)	3,595	-91.1%	(353)	3,595	-91.1%
Mental Hygiene	2,150	2,290	140	6.5%	2,130	(20)	-0.9%
Children and Family Services	69	112	43	62.3%	111	42	60.9%
Transportation	4,254	4,298	44	1.0%	4,236	(18)	-0.4%
STAR	3,234	3,418	184	5.7%	3,293	59	1.8%
Social Services (Non-Medicaid)	2,800	3,302	502	17.9%	3,018	218	7.8%
Higher Education	2,469	2,711	242	9.8%	2,594	125	5.1%
Public Health/Aging	2,015	2,412	397	19.7%	2,121	106	5.3%
Other Education Aid	1,474	1,830	356	24.2%	1,743	269	18.2%
Mental Hygiene (Non-Medicaid)	1,428	1,661	233	16.3%	1,470	42	2.9%
Local Government Assistance	775	1,070	295	38.1%	767	(8)	-1.0%
All Other ⁴	2,900	1,362	(1,538)	-53.0%	1,266	(1,634)	-56.3%
State Operations	17,387	17,908	521	3.0%	16,728	(659)	-3.8%
Personal Service:	<u>12,422</u>	<u>12,485</u>	<u>63</u>	<u>0.5%</u>	<u>11,677</u>	<u>(745)</u>	<u>-6.0%</u>
Executive Agencies	7,163	7,054	(109)	-1.5%	6,511	(652)	-9.1%
University System	3,338	3,457	119	3.6%	3,316	(22)	-0.7%
Judiciary	1,525	1,568	43	2.8%	1,469	(56)	-3.7%
Legislature	174	165	(9)	-5.2%	165	(9)	-5.2%
Department of Law	112	117	5	4.5%	109	(3)	-2.7%
Audit & Control	110	124	14	12.7%	107	(3)	-2.7%
Non-Personal Service	4,965	5,423	458	9.2%	5,051	86	1.7%
Fringe Benefits/Fixed Costs	6,102	6,598	496	8.1%	6,530	428	7.0%
Pensions	1,470	1,672	202	13.7%	1,670	200	13.6%
Health Insurance	3,055	3,409	354	11.6%	3,429	374	12.2%
All Other Fringe Benefits	1,227	1,189	(38)	-3.1%	1,103	(124)	-10.1%
Fixed Costs	350	328	(22)	-6.3%	328	(22)	-6.3%
Debt Service	5,615	6,030	415	7.4%	5,855	240	4.3%
Capital Projects	18	2	(16)	-88.9%	5	(13)	-72.2%
TOTAL STATE OPERATING FUNDS	84,417	95,047	10,630	12.6%	86,879	2,462	2.9%
Capital Projects (State Funded)	5,701	6,264	563	9.9%	5,925	224	3.9%
TOTAL STATE FUNDS	90,118	101,311	11,193	12.4%	92,804	2,686	3.0%
Federal Spending (Including Capital Grants)	44,707	42,282	(2,425)	-5.4%	38,894	(5,813)	-13.0%
TOTAL ALL GOVERNMENTAL FUNDS	134,825	143,593	8,768	6.5%	131,698	(3,127)	-2.3%

¹ Excludes payment deferral. Includes Medicaid spending for School Supportive Health Services in 2010-11.

² An additional \$3.6 billion in Medicaid spending for mental hygiene agencies is included in state operations and fringe benefits spending totals.

³ Includes operational costs that support contracts related to the management of the Medicaid program and various activities to ensure appropriate utilization.

⁴ All other includes school aid deferral, local aid spending in a number of other programs, including parks and the environment, economic development, and public safety, and reclassification of money between Financial Plan categories.

EXPLANATION OF ENACTED BUDGET GAP-CLOSING PLAN

The 2012 gap-closing plan is organized into three general categories: (a) actions that reduce current-services spending in the General Fund on a recurring basis (Spending Reductions/Offsets); (b) actions that increase revenues on a recurring basis (Revenue Enhancements); (c) transactions that increase revenues or lower spending in FY 2012, but that cannot be relied on in the future (Non-Recurring Resources); (d) additional resources identified to finance additions to the Executive Budget; and (e) new costs and changes to forecasts of receipts and spending.

The table below summarizes the Enacted Budget gap-closing plan.

GENERAL FUND GAP-CLOSING PLAN FOR 2011-12 (millions of dollars)				
	<u>2011-12</u>	<u>2012-13</u>	<u>2013-14</u>	<u>2014-15</u>
CURRENT-SERVICES GAP ESTIMATES (BEFORE ACTIONS)	(10,001)	(14,945)	(17,429)	(20,903)
Total Enacted Budget Gap-Closing Plan	10,001	12,566	14,593	16,298
Spending Reductions/Offsets	8,537	11,967	14,302	15,908
Local Assistance	7,040	10,389	12,707	14,319
Medicaid	2,744	4,047	4,875	5,605
Public Health/Aging	52	140	147	154
School Aid	2,767	4,752	6,238	7,133
Lottery Aid	147	158	158	158
School Tax Relief	125	262	262	262
Special Education	98	0	0	0
Higher Education	47	50	51	51
Human Services/Labor/Housing	284	302	310	323
Local Government Aid	325	295	295	295
Mental Hygiene	328	327	317	280
Member Item Fund Deposit Repeal	85	0	0	0
All Other	38	56	54	58
State Agency Redesign	1,497	1,578	1,595	1,589
Revenue Enhancements	324	293	91	21
Tax Modernization/Voluntary Compliance	200	150	0	0
Abandoned Property	110	125	70	55
Prison Closure Tax Credit	0	0	(5)	(60)
All Other	14	18	26	26
Non-Recurring Resources	860	2	0	0
MTA Transaction	200	0	0	0
Debt Management/Capital Financing	200	0	0	0
HCRA Resource Reestimate	155	0	0	0
NYPA/Other Authorities	150	0	0	0
Recoveries	75	0	0	0
Fund Sweeps/Other	80	2	0	0
New Resources/Costs	380	304	200	369
Updated Receipts Forecast	387	455	460	448
Debt Service	154	0	0	0
Health Insurance Conversion	(150)	(25)	0	0
HEAL Capital Plan Reestimate	160	(94)	(160)	0
Native American Cigarette Tax Enforcement	(103)	0	0	0
All Other	(68)	(32)	(100)	(79)
Deposit to Rainy Day Reserve	(100)			
ENACTED BUDGET SURPLUS/(GAP) ESTIMATE	0	(2,379)	(2,836)	(4,605)

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The sections below provide details on the gap-closing actions for FY 2012 under each category. For additional information on the Enacted Budget actions by major programs and activities, see “Multi-Year Financial Plan Projections” herein.

SPENDING REDUCTIONS/OFFSETS

LOCAL ASSISTANCE

Local assistance spending includes financial aid to local governments and non-profit organizations, as well as entitlement payments to individuals. State Operating Funds spending for local assistance is estimated at \$57.8 billion in FY 2012, an increase of \$2.5 billion (4.5 percent) from the current year. Reductions from the FY 2012 current-services forecast for local assistance contribute \$7.0 billion to the General Fund gap-closing plan.

The most significant gap-closing actions in local assistance include the following:

- **Medicaid (\$2.7 billion in savings and reestimates):** The gap-closing plan includes a series of programmatic changes and cost-containment measures that are expected to generate savings in FY 2012, and restrain growth in future years. These include programmatic reforms to Medicaid payments and program structures; the elimination of annual statutory inflation factors for hospitals, nursing homes and home and personal care providers (\$185 million); a 2 percent across-the-board rate reduction or other industry-specific measures (\$345 million); the acceleration of certain payments to take advantage of additional enhanced Federal Medical Assistance Percentage payments (\$66 million); and an industry-led effort to generate additional savings (\$640 million). The imposition of an overall cap on spending and administrative flexibility to put in place measures will help ensure the cap is not exceeded. In addition, the plan recognizes the impact of slower caseload growth and changes in provider spending patterns (\$475 million). See “Other Matters Affecting the Financial Plan - Budget Risks and Uncertainties” for a discussion of potential implementation risks. The following table summarizes the most significant Medicaid savings actions included in the Enacted Budget Financial Plan.

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SUMMARY OF MEDICAID SAVINGS ACTIONS				
SAVINGS/(COSTS)				
(millions of dollars)				
	2011-12	2012-13	2013-14	2014-15
Total Medicaid Savings Actions	2,744	4,047	4,875	5,605
Non-MRT Medicaid Actions	60	17	17	17
Anti-Tobacco Spending Reduction	17	17	17	17
HEAL NY & Stem Cell Spending Reduction	43	0	0	0
Medicaid Redesign Team Savings Actions	2,684	4,030	4,858	5,588
Hospitals/Clinics	267	317	320	290
Reduce Costs by 2 Percent	66	68	68	68
Eliminate Inflationary Rate Increases (2011 & 2012)	28	61	61	61
Implement Health Homes for High-Cost/High-Need Population	33	112	119	95
All Other	140	76	72	66
Managed Care	296	329	339	341
Reduce Profit Margin from 3% to 1%	94	100	100	100
Reduce Costs by 2 Percent	86	89	89	89
Reduce Premium Rates	84	86	86	86
Eliminate Marketing Funding	23	23	23	23
All Other	9	31	41	43
Home Care	256	212	200	196
Reduce Utilization	157	127	88	69
Reduce Costs by 2 Percent	58	60	60	60
Eliminate Inflationary Rate Increases (2011 & 2012)	27	58	58	58
Establish Supportive Housing Initiative	0	(75)	(75)	(75)
All Other	14	42	69	84
Nursing Home	187	251	255	255
Provider Assessment	70	73	73	73
Eliminate Inflationary Rate Increases (2011 & 2012)	47	100	100	100
Restructure Reimbursement for Proprietary Homes	44	44	44	44
All Other	26	34	38	38
All Other	1,678	2,921	3,744	4,506
Contingency Industry Utilization Reduction	400	1,130	1,740	2,298
Program Growth Revision	475	650	850	850
Pharmaceutical Savings	154	244	245	252
HCRA Actions	300	391	379	382
Enhance Program Integrity	80	160	160	160
Reduce Costs by 2 Percent	19	20	20	20
All Other	250	326	350	544

- **Public Health/Aging (\$52 million):** Limits EPIC coverage only to enrollees affected by the Medicare Part D coverage gap; modifies the payment rates, eligibility standards, and operation of the EI program; eliminates reimbursement for optional services provided through the GPHW; and reduces certain public health and aging programs.

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- **School Aid (\$2.7 billion on a State fiscal year basis):** Reduces general School Aid, with low-wealth districts receiving proportionally smaller reductions, and extends the phase-in of Foundation Aid and UPK at the FY 2011 school year levels. Additional savings are expected to be realized in future years by limiting annual School Aid increases to the rate of growth in New York personal income.
- **Lottery Aid (\$147 million):** Enhances the operation of the State's lottery games and VLT facilities (including increased promotion of VLTs and enhancements to Quick Draw and other lottery games) to increase lottery revenues for financing School Aid.
- **STAR (\$125 million):** Caps growth in STAR exemption benefits per qualifying property at 2 percent annually.
- **Education (\$98 million):** Alters the reimbursement schedule for certain special education programs.
- **Human Services/Labor/Housing (\$284 million):**
 - In the area of OTDA, delays by one year the final 10 percent increase in the public assistance grant that was scheduled for July 1, 2011; eliminates State participation for New York City's shelter supplement program; and reduces reimbursement to New York City for adult homeless shelter costs. In addition, the Enacted Budget maximizes Federal TANF funds to pay the full costs for TANF-eligible households on public assistance.
 - In the area of Children and Family Services, reduces Child Welfare disbursements based on improved program performance data; decreases the State share of the Adoption Subsidy Program from 73.5 to 62 percent; increases the share of Committee on Special Education program costs paid by school districts to better align costs with funding responsibility; restructures funding for local detention costs; and eliminates the 1.2 percent Human Services COLA scheduled for FY 2012.
- **Local Government Aid (\$325 million):** Continues the State's current AIM policy that excludes payments for New York City, reduces AIM for other municipalities, and reduces other targeted aid provided to municipalities.
- **Mental Hygiene (\$328 million):** Eliminates the planned 1.2 percent Human Services COLA; reforms and restructures OMH, OPWDD, and OASAS programs; enhances billing and auditing recovery; freezes community bed development and planned program expansion; maintains existing funding levels related to the implementation of the Rockefeller-era drug law reforms and other programs; and delays funding related to pending adult home litigation.

- **Higher Education (\$47 million):** Reduces State support for SUNY and CUNY community colleges and reduces TAP program spending by continuing changes to eligibility standards and reducing certain grant awards. Savings will be offset in part by renewal of funding for certain scholarship programs, and new funding to extend TAP awards for students attending certain institutions of higher education not supervised by SED.
- **Member Item Deposit (\$85 million):** Repeals a planned deposit of \$85 million to the fund that was authorized in the FY 2010 Enacted Budget.

STATE AGENCY REDESIGN

Agency redesign savings are expected to be achieved through several means including, but not limited to, facility closures reflecting excess capacity conditions, operational efficiencies, and wage and benefit changes negotiated with the State's employee unions. In total, the reductions are expected to provide an estimated \$1.5 billion in savings compared to the current-services forecast (including \$170 million from the Office of Court Administration).

To achieve the overall savings target, the gap-closing plan includes year-to-year reductions in the range of 10 percent to State agencies financed from the General Fund, and comparable reductions to the following: health care and mental hygiene institutions, City University Senior Colleges (for parity with SUNY), and the operations of DOT and DMV. State agency operations are financed from a number of different appropriations and funds. In some instances, only a portion of an agency's operations were exempt from reduction (e.g., SUNY). The annual reduction of 10 percent was calculated as part of the original Executive Budget Financial Plan.⁶ Accordingly, results for FY 2011, subsequent revisions to estimated disbursements in FY 2012, and the ongoing implementation of efficiencies will alter the range of reductions among agencies. The Legislature, and activities financed with specific dedicated revenues such as tuition, are not included in the reductions.

If the State is unsuccessful in negotiating changes, DOB expects that significant layoffs will be necessary to achieve the State agency savings expected in the Enacted Budget Financial Plan. Implementation of the savings in State agencies may be affected by, among other things, statutory or regulatory constraints, negotiations with State employee unions, and other factors. Accordingly, there can be no assurance that the actual savings will not differ materially and adversely from the Enacted Budget Financial Plan projections.

⁶ February 1, 2011.

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REVENUE ENHANCEMENTS

The Enacted Budget includes \$324 million in revenue enhancements. The following table summarizes the specific enhancements.

COMBINED GENERAL FUND GAP-CLOSING PLAN FOR 2011-12 - REVENUE ENHANCEMENTS (millions of dollars)				
	2011-12	2012-13	2013-14	2014-15
Revenue Enhancements	324	293	91	21
Tax Modernization/Voluntary Compliance	200	150	0	0
Abandoned Property	110	125	70	55
Prison Closure Tax Credit	0	0	(5)	(60)
All Other	14	18	26	26

NON-RECURRING RESOURCES

The Enacted Budget relies on an estimated \$860 million in non-recurring resources in FY 2012 excluding resources identified as part of negotiations on the budget. Non-recurring resources include using available funds in the MMTOA; maintaining a consistent annual level of discretionary pay-as-you-go capital spending, rather than increasing it as previously planned; additional HCRA resources; negotiating funding agreements with the State's public authorities, including \$100 million from the New York Power Authority; and a number of routine transactions. The table below lists the non-recurring resources.

COMBINED GENERAL FUND GAP-CLOSING PLAN FOR 2011-12 NON-RECURRING RESOURCES SAVINGS/(COSTS) (millions of dollars)	
	2011-12
Non-Recurring Resources	860
MTA Transaction	200
Debt Management/Capital Financing	200
HCRA Resource Reestimate	155
NYPA/Other Authorities	150
Recoveries	75
Fund Sweeps/Other	80

DOB estimates that the value of non-recurring resources in the Enacted Budget is less than the annual growth in savings achieved by the recurring gap-closing actions, which are estimated to increase in value by approximately \$2.6 billion from FY 2011 to FY 2012. As a result, non-recurring resources have no adverse impact on the gap for FY 2013 because they are more than offset by the growth in recurring savings.

PROJECTED CLOSING BALANCES

DOB estimates the State will end FY 2012 with a General Fund balance of \$1.7 billion. The closing balance in the Rainy Day Reserve reflects a planned deposit of \$100 million in FY 2012.

GENERAL FUND ESTIMATED CLOSING BALANCE				
(millions of dollars)				
	<u>2010-11</u>	<u>Planned Deposit</u>	<u>Planned Uses</u>	<u>2011-12</u>
Projected Year-End Fund Balance	<u>1,376</u>	<u>446</u>	<u>(85)</u>	<u>1,737</u>
Tax Stabilization Reserve Fund	1,031	0	0	1,031
Rainy Day Reserve Fund	175	100	0	275
Contingency Reserve Fund	21	0	0	21
Community Projects Fund	136	0	(85)	51
Prior Year Labor Agreements (2007-2011)	0	346	0	346
Reserved for Debt Reduction	13	0	0	13

The closing balance also includes \$346 million identified to cover the costs of potential retroactive labor settlements with unions that have not agreed to contracts through FY 2011. The amount is calculated based on the pattern settlement for FYs 2007 through 2011 agreed to by the State’s largest unions. In prior years, this amount has been carried in the annual spending totals. If settlements are reached in FY 2012, the fund balance in the General Fund will decline by an amount equal to the settlements.

The Community Projects Fund, which finances discretionary (“member item”) grants allocated by the Legislature and Governor, is expected to disburse \$85 million over the course of FY 2012, reflecting slower than anticipated spending coupled with the repeal of \$85 million in scheduled General Fund deposits for FY 2012.

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OTHER MATTERS AFFECTING THE FINANCIAL PLAN

GENERAL

The Enacted Budget Financial Plan forecasts are subject to many complex economic, social, financial, and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Enacted Budget Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In recent fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan.

The Enacted Budget Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impact of: international events in Japan, the Middle East, and elsewhere on consumer confidence, oil supplies, and oil prices; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments on bonus income and capital gains realizations; and, household deleveraging on consumer spending and State tax collections. See “Economic Backdrop” herein for detailed information on specific economic risks.

The Enacted Budget Financial Plan is subject to various other uncertainties and contingencies relating to, among other factors: the extent, if any, to which wage increases for State employees exceed the annual wage costs assumed; realization of projected earnings for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid contemplated by the Enacted Budget Financial Plan; the ability of the State to implement cost reduction initiatives, including the reduction in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these specific issues are described in more detail in this Enacted Budget Financial Plan. The projections and assumptions contained in the Financial Plan are subject to revision which may involve substantial change, and no assurance can be given that these estimates and projections, which include actions the State expects to be taken but which are not within the State's control, will be realized.

BUDGET RISKS AND UNCERTAINTIES

There can be no assurance that the budget gaps will not increase materially from current projections. If this were to occur, the State would be required to take additional gap-closing actions. These may include, but are not limited to, additional reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In nearly all cases, the ability of the State to implement these actions requires the approval of the Legislature or other entities outside of the control of the Governor.

Although the Enacted Budget includes the statutory tools necessary to implement the Medicaid cost controls assumed in the Financial Plan, there can be no assurance that these controls will be sufficient to achieve the level of gap-closing savings anticipated in FY 2012 or limit the rate of annual growth in DOH State Funds Medicaid spending. In addition, these savings are dependent upon timely Federal approvals, appropriate amendments to existing systems and processes, and a collaborative working relationship with health care industry stakeholders.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Enacted Budget Financial Plan, including payments pursuant to the Tribal State Compact; and, the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Enacted Budget Financial Plan in the current year or future years.

CURRENT CASH-FLOW PROJECTIONS

The General Fund is authorized to borrow resources temporarily from other available funds in the State's STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds (labeled "All Funds" in the table below), as well as relatively small amounts of other money belonging to the State.

The General Fund has used this authorization to meet certain payment obligations in May, June, September, November, and December 2010, and April 2011. The General Fund is likely to rely on this borrowing authority at times during FY 2012.

The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds, continues to be set aside as required by law and bond covenants.

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The projected month-end balances for FY 2012 are shown in the table below. The projections assume successful implementation of the gap-closing plan. General Fund cash balances are expected to be relatively low, especially during the first half of the fiscal year.

DOB will continue to monitor and manage the State's cash position closely during the fiscal year in an effort to maintain adequate operating balances.

PROJECTED ALL FUNDS MONTH-END CASH BALANCES			
FISCAL YEAR 2011-12			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April	4,475	4,195	8,670
May	1,098	4,372	5,470
June	489	3,613	4,102
July	1,245	4,454	5,699
August	946	4,830	5,776
September	4,192	2,339	6,531
October	3,023	3,347	6,370
November	1,568	3,661	5,229
December	1,906	2,620	4,526
January	5,645	4,437	10,082
February	5,025	4,776	9,801
March	1,737	2,523	4,260

PENSION AMORTIZATION

Under legislation enacted in FY 2011, the State and local governments may defer paying (or “amortize”) a portion of their pension costs beginning in FY 2011. Amortization temporarily reduces the pension costs that must be paid by participating employers in a given fiscal year, but results in higher costs overall. Specifically, pension contribution costs in excess of the amortization thresholds that would otherwise be paid in a given fiscal year, which were 9.5 percent of payroll for ERS and 17.5 percent for PFRS in FY 2011, may be amortized by certain governmental entities. The threshold for amortization in the legislation increases by 1 percentage point annually (e.g., from 9.5 percent in FY 2011 to 10.5 percent in FY 2012). Under the amortization program, the State's ERS pension contribution rate as a percentage of payroll will grow from 10.5 percent in FY 2012 to 13.5 percent in FY 2015. The PFRS actuarial rate under the amortization program will be 18.5 percent in FY 2012 and grow to 21.5 percent in FY 2015. The authorizing legislation also permits amortization in all future years if the actuarial contribution rate is greater than the amortization threshold, which may increase or decrease by no more than one percentage point for each year. Repayment of the amortized amounts will be made over a ten-year period at an interest rate to be determined by the State Comptroller. For amounts amortized in FY 2011, the Comptroller set an interest rate of 5 percent.

In March 2011, the State made a pension payment of \$1.078 billion for FY 2011, and amortized \$216 million. In addition, the State's OCA made its pension payment of \$179 million,

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and amortized \$33 million. The \$249 million in total deferred payments will be repaid with interest over the next ten years, beginning in FY 2012. The Enacted Budget Financial Plan assumes that the State and OCA will amortize pension costs, consistent with the provisions of the authorizing legislation, and repay such amounts at an interest cost assumed by DOB to be 5 percent over 10 years from the date of each deferred payment.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM PENSION CONTRIBUTIONS AND OUTYEAR PROJECTIONS (millions of dollars)							
Fiscal Year	Normal Costs	Excess Contributions	Amortized Contributions	New Amortization Costs	Total	Side Account Balance	Plus Interest at 5%
2010-11 Actual	1,552.4	0.0	(249.0)	0.0	1,303.4	0.0	0.0
2011-12 Projected	2,105.9	0.0	(634.6)	32.4	1,503.7	0.0	0.0
2012-13 Projected	2,454.0	0.0	(877.8)	114.7	1,690.9	0.0	0.0
2013-14 Projected	2,832.9	0.0	(1,118.7)	228.7	1,942.9	0.0	0.0
2014-15 Projected	3,088.3	0.0	(1,221.2)	373.6	2,240.7	0.0	0.0
2015-16 Projected	2,734.1	0.0	(759.0)	532.2	2,507.3	0.0	0.0
2016-17 Projected	2,480.4	0.0	(414.0)	630.5	2,696.9	0.0	0.0
2017-18 Projected	2,393.0	0.0	(143.8)	684.1	2,933.3	0.0	0.0
2018-19 Projected	2,360.4	80.5	0.0	684.1	3,125.0	0.0	0.0
2019-20 Projected	2,082.1	321.6	0.0	656.0	3,059.8	0.0	0.0
2020-21 Projected	1,662.1	699.9	0.0	545.2	2,907.2	0.0	0.0
2021-22 Projected	1,104.1	1,182.4	0.0	347.2	2,633.7	0.0	0.0
2022-23 Projected	1,036.3	1,168.0	0.0	23.5	2,227.8	1,136.3	1,193.1
2023-24 Projected	1,005.9	1,109.4	0.0	0.0	2,115.3	2,245.7	2,417.7
2024-25 Projected	993.1	1,025.7	0.0	0.0	2,018.8	3,271.4	3,615.5
2025-26 Projected	957.0	957.8	0.0	0.0	1,914.8	4,229.2	4,802.0

DEBT REFORM ACT LIMIT

The Debt Reform Act of 2000 limits outstanding State-supported debt to no greater than 4 percent of New York State personal income, and debt service on State-supported debt to no greater than 5 percent of All Governmental Funds receipts. The limits apply to all State-supported debt issued on or after April 1, 2000. The State projects that \$32.8 billion of State-supported debt outstanding will be subject to the limit as of March 31, 2011, which is equal to approximately 3.5 percent of personal income. Debt service subject to the limit will be approximately \$3.1 billion, equal to 2.4 percent of All Governmental Funds receipts.

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Based on the updated forecast, debt outstanding and debt service costs over the Financial Plan period are expected to remain below the limits imposed by the Debt Reform Act. However, the available room under the debt outstanding cap is expected to decline from \$5.0 billion in FY 2011 to approximately \$1.1 billion in FY 2013 and FY 2014. The estimates do not include the potential impact of new capital spending that may be authorized in future budgets, or efforts to curtail existing bonded programs. The debt reform projections are sensitive to changes in State personal income levels. Measures to adjust capital spending and debt financing practices will be expected to continue to be needed for the State to stay in compliance with the statutory debt limit. The table below reflects the State's available debt capacity (after factoring in the SUNY transaction described below, which adds \$152 million to the State's outstanding debt), and other adjustments, such as changes to projected bond-financed capital spending and to estimated growth in State personal income over the plan period.

STATE DEBT REFORM ACT - DEBT OUTSTANDING					
DEBT OUTSTANDING ISSUED AFTER APRIL 1, 2000 -- LIMITED TO 4 PERCENT OF PERSONAL INCOME					
(millions of dollars)					
<u>Year</u>	<u>Personal Income</u>	<u>Cap %</u>	<u>Actual/Recommended %</u>	<u>\$ (Above)/Below</u>	<u>% (Above)/Below</u>
2010-11	946,054	4.00%	3.47%	5,018	0.53%
2011-12	990,586	4.00%	3.74%	2,543	0.26%
2012-13	1,026,944	4.00%	3.89%	1,169	0.11%
2013-14	1,079,719	4.00%	3.90%	1,070	0.10%
2014-15	1,137,630	4.00%	3.85%	1,695	0.15%
2015-16	1,197,873	4.00%	3.78%	2,656	0.22%

SUNY ACQUISITION OF LONG ISLAND COLLEGE HOSPITAL AND ASSUMPTION OF DEBT

SUNY is in the process of acquiring LICH, a 500-licensed-bed facility located in Brooklyn, New York. The operations of LICH will be merged into those of SUNY's Downstate Medical Center. As part of the transaction, which requires the approval of the State Comptroller, DOB, and the Attorney General, SUNY would assume \$152 million of LICH debt. SUNY expects that annual debt service payments of approximately \$17 million associated with the LICH debt will be paid from patient revenues. However, there can be no assurance that patient revenues will be sufficient to cover the cost of the debt service, and that the State will not need to make the debt service payments directly, resulting in a cost to the General Fund. Based on the structure of the transaction, the debt will be classified as State-supported debt and subject to the State's statutory debt limits.

BOND MARKET

Implementation of the Enacted Budget Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, it can adversely affect the State's overall cash position and capital funding plan. The success of projected public sales will be subject to prevailing market conditions. Future developments in the financial markets generally, as well as future developments concerning the State, and public discussion of such developments, may affect the market for outstanding State-supported and State-related debt.

LITIGATION

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the State's Financial Plan.

FEDERAL ISSUES

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes. The Enacted Budget Financial Plan assumes relatively stable levels of Federal aid over the forecast period. Changes in Federal funding levels could have a materially adverse impact on the State's Financial Plan.

The Enacted Budget Financial Plan may be adversely affected by actions taken by the Federal government, including audits, disallowances, changes in aid levels, and changes to Medicaid rules. For example, all Medicaid claims are subject to audit and review by the Federal government. The Federal CMS recently engaged the State regarding claims for services provided to individuals in developmental centers operated by OPWDD. Although no official audit has commenced and the rates paid for these services are established in full accordance with the methodology set forth in the approved State Plan, adverse action by CMS relative to these claims could jeopardize a significant amount of Federal financial participation in the State Medicaid program. The State has begun the process of seeking CMS approval to proceed with the development of a new 1115 demonstration waiver to create a contemporary and sustainable system of service funding and delivery for individuals with developmental disabilities.

HEALTH INSURANCE COMPANY CONVERSIONS

State law permits a health insurance company to convert its organizational status from a not-for-profit to a for-profit corporation (a "health care conversion"), subject to a number of terms, conditions, and approvals. Under State law, the State must use the proceeds from a health care company conversion for health-care-related expenses included in the HCRA account. For planning purposes, the Enacted Budget Financial Plan assumes no proceeds from a health care

FINANCIAL PLAN OVERVIEW

conversion in FY 2012, but counts on proceeds of approximately \$250 million annually in future years of the plan, which would be deposited into HCRA. If a conversion does not occur on the timetable or at the levels assumed in the Enacted Budget Financial Plan, the State would be required to take other actions to increase available resources or to reduce planned spending to fund projected HCRA expenditures.

LABOR SETTLEMENTS

The Enacted Budget Financial Plan for FY 2012 includes a reserve of \$346 million to cover the costs of a pattern settlement with all unions that have not agreed to contracts through FY 2011. The pattern is based on the terms agreed to by the State's largest unions for this period. There can be no assurance that actual settlements, some of which are subject to binding arbitration, will not exceed the amounts included in the Enacted Budget Financial Plan. An additional risk is the potential cost of salary increases for judges which could occur in FY 2013 and beyond as a result of the actions of a statutorily authorized judicial compensation commission. The Enacted Budget Financial Plan does not include any costs for potential general wage increases after the current labor agreements expire, or salary increases for judges.

GAAP-BASIS PROJECTIONS

The State Budget is required to be balanced on a cash basis, which is DOB's primary focus in preparing and implementing the State Financial Plan. State Finance Law also requires the Financial Plan be presented for informational purposes on a GAAP basis. The GAAP-basis plans follow, to the extent practicable, the accounting principles applied by OSC in preparation of the annual Financial Statements. Tables comparing the cash-basis and GAAP-basis General Fund Financial Plans are provided at the end of this Financial Plan.

In FY 2012, the General Fund GAAP Financial Plan shows total projected revenues of \$48.3 billion, total projected expenditures of \$58.0 billion, and net other financing sources of \$9.3 billion, resulting in a projected operating deficit of \$372 million. These projections reflect the net impact of the Enacted Budget gap-closing actions.

OTHER POST-EMPLOYMENT BENEFITS

Substantially all of the State's employees become eligible for post-retirement benefits if they reach retirement while working for the State. In accordance with the GASB 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2010⁷, the ARC represents the annual level of funding that, if set-aside on an ongoing basis, is projected to cover normal costs each year and amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated with interest as part of the net OPEB obligation, after adjusting for amounts previously required.

⁷ See the State Comptroller's Comprehensive Annual Financial Report, FY 2010 at <http://www.osc.state.ny.us/finance/finreports/cafr10.pdf>

FINANCIAL PLAN OVERVIEW

As reported in the State's Basic Financial Statements for FY 2010, an actuarial valuation of OPEB liabilities was performed as of April 1, 2008, with results projected to April 1, 2009 for the fiscal year ended March 31, 2010. The valuation calculated the present value of the actuarial accrued total liability for benefits as of March 31, 2010 at \$55.9 billion (\$46.3 billion for the State and \$9.6 billion for SUNY). This was determined using the Frozen Entry Age actuarial cost method, and is amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The net OPEB liability for FY 2010 totaled \$3.3 billion (\$2.7 billion for the State and \$0.6 billion for SUNY) under the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. This was \$2.1 billion (\$1.7 billion for the State and \$0.4 billion for SUNY) above the payments for retiree costs made by the State in FY 2010. This difference between the State's PAYGO costs and the actuarially determined required annual contribution under GASB 45 reduced the State's currently positive net asset condition at the end of FY 2010 by \$2.1 billion.

The State's actuarial consultant has provided an updated calculation of the ARC and annual OPEB costs. The updated calculation shows the present value of the actuarially accrued total liability for benefits at \$60.2 billion (\$50.1 billion for the State and \$10.1 billion for SUNY). The updated calculation will ultimately be reflected in the financial statements for the State and SUNY for FY 2011. In future updates, DOB expects the estimate of OPEB costs to increase substantially. The causes of this anticipated increase include: higher assumed increases in the cost of health care, implementation of the Federal Patient Protection and Affordable Care Act, and decreased interest rates.

GASB does not require the additional costs to be funded on the State's budgetary basis, and no funding is assumed for this purpose in the Enacted Budget Financial Plan. On a budgetary (cash) basis, the State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis. The following table summarizes the actual and budgeted payments for health insurance in the Enacted Budget Financial Plan.

FORECAST OF NEW YORK STATE EMPLOYEE HEALTH INSURANCE COSTS (millions of dollars)			
<u>Year</u>	<u>Active Employees</u>	<u>Retirees</u>	<u>Total State</u>
2007-08 (Actual)	1,390	1,182	2,572
2008-09 (Actual)	1,639	1,068	2,707
2009-10 (Actual)	1,609	1,072	2,681
2010-11 (Actual)	1,834	1,221	3,055
2011-12 (Projected)	2,144	1,285	3,429
2012-13 (Projected)	2,367	1,418	3,785
2013-14 (Projected)	2,575	1,543	4,118
2014-15 (Projected)	2,592	1,553	4,145

All numbers reflect the cost of health insurance for GSCs (Executive and Legislative branches) and the Office of Court Administration.

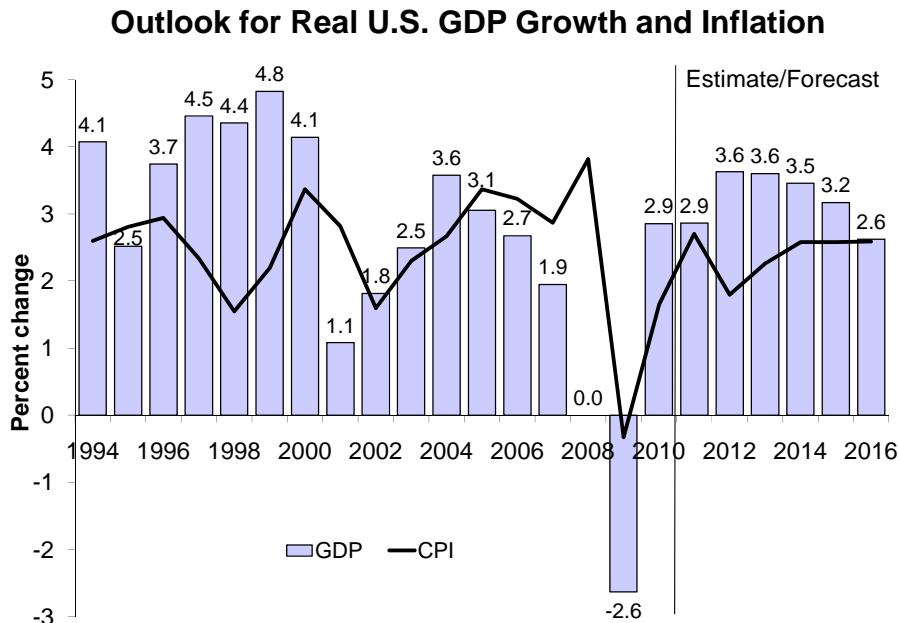
FINANCIAL PLAN OVERVIEW

As noted, there is no provision in the Enacted Budget Financial Plan to pre-fund the OPEB liability. If such liability were pre-funded at this time, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of the GOER, Civil Service, and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees, and other outside parties. However, it is not expected that the State will alter its planned funding practices in light of existing fiscal conditions.

ECONOMIC BACKDROP

THE NATIONAL ECONOMY

Data released since the completion of the Executive Budget forecast, as amended, indicate that extreme winter weather and spiking energy prices had a much larger impact on economic activity in the first quarter than expected. The national economy grew 1.8 percent in the first quarter of 2011, 1.3 percentage points below expectations. Based on the most recent data, real household and private business spending grew much more slowly in January and February than projected. Although demand appears to have rebounded in March, growth in the second and third quarters is now expected to be softer due to the ongoing turmoil in the Middle East and persistently high energy prices. Consequently, real U.S. GDP is now projected to grow 2.9 percent for 2011, following an increase of about the same for 2010. The 2011 forecast represents a downward revision of 0.3 percentage points from the Executive Budget estimate.



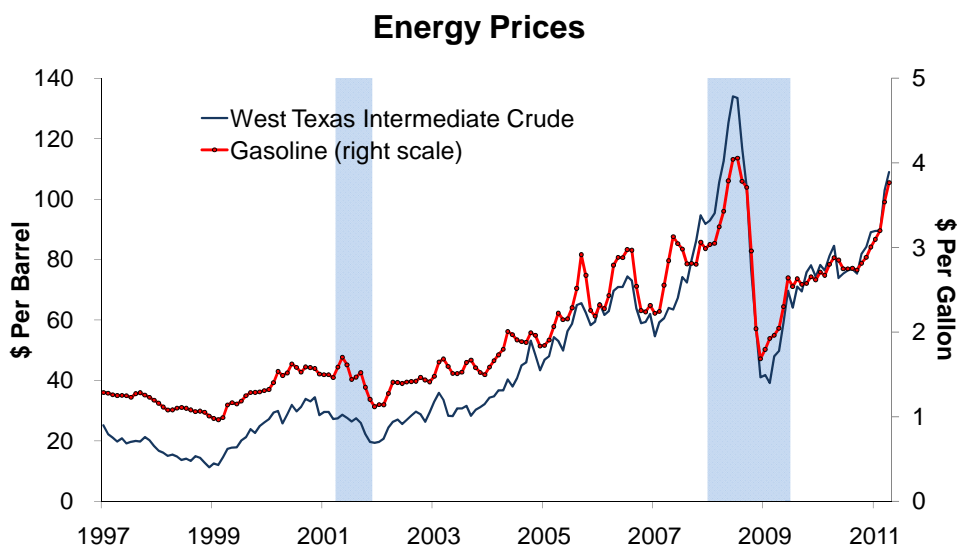
Note: Displayed values pertain to GDP growth.
Source: Moody's Economy.com; DOB staff estimates.

When DOB was completing the Executive Budget forecast, it was already evident that severe winter weather was taking its toll on the labor market. As a result, there is little change to the expectation that the national economy will add about 2.5 million jobs in 2011, representing annual growth of 1.3 percent. This projection continues to be consistent with the unemployment rate averaging 8.5 percent in the fourth quarter of this year. However, preliminary data for the first couple of months of 2011 indicate a weaker wage picture than originally anticipated, though these data are very sensitive to revision as better data become available later in the year. Revisions to the first quarter have been particularly large in recent years due to the uncertainty created by the volatile bonus component. Wages are now projected to grow a downwardly

ECONOMIC BACKDROP

revised 4.3 percent in 2011. However, due to upward revisions to some of the nonwage components, personal income growth for 2011 remains virtually unchanged at 5.2 percent.

Between February 1 and April 26, 2011, the daily spot price of domestically produced oil, as represented by West Texas Intermediate Crude, rose 25 percent; the price of imported oil has risen even faster. Meanwhile, the average weekly price of unleaded gasoline for the third week of April was up 23 percent over the first week of February and, as indicated in the figure below, is fast approaching its July 2008 high. With the current conflict in the Middle East not expected to resolve any time soon, it is unclear for how long current energy prices will be sustained. Annualized quarterly inflation, as represented by growth in the CPI, accelerated from 2.6 percent in the fourth quarter of 2010 to 5.2 percent in the first quarter of 2011. Core inflation, which excludes the volatile food and energy components, also accelerated from 0.6 percent to 1.7 percent. As a result, DOB has increased its 2011 inflation forecast from 2.0 percent to 2.7 percent.



Note: Shaded areas represent U.S. recessions; the April oil price represents the average daily value through the 26th; the April unleaded gasoline price including taxes represents the average weekly value through the 22nd.
Source: Moody's Economy.com.

DOB's current outlook calls for both higher inflation and slower growth for 2011 compared to the Executive Budget forecast. As a result, the Federal Reserve is still expected to complete its \$600 billion long-run asset purchase program, popularly referred to as QE2, by the end of June as scheduled, and begin moving away from its zero-percent short-term interest rate target before the end of this year. Indeed, the outlook for interest rates remains virtually unchanged save for an adjustment to reflect slightly lower year-to-date levels. The ten-year Treasury yield is now expected to average 3.7 percent in 2011, a ten-basis-point decline from the Executive Budget forecast. The outlook for corporate profits and equity markets is also virtually unchanged, as the corporate sector continues to reap the benefits of low interest rates and global economic growth.

The national recovery is expected to continue to gain strength following a weak first quarter, though at a slightly slower pace than originally projected. But significant risks remain. Inflation – and energy prices in particular – represent the most uncertain element of the national economic forecast. As yet there is no end in sight to the Libyan conflict, increasing the risk of an extended period of high oil and gasoline prices. Higher energy prices act effectively as a tax on household and business spending, and can be expected to result in lower spending in other areas. In addition, the fallout from the Japanese earthquake and tsunami could cause more extensive supply disruptions than currently anticipated. Both of these developments could diminish the pace of job growth relative to current projections and impede the recovery in household spending from a relatively weak first quarter. Lower household spending and weaker job growth could both add to the strain already being faced by state and local governments, with no relief from property prices on the horizon. In contrast, a sudden resolution of the turmoil in the Middle East, accompanied by faster global growth than projected, could result in stronger growth than is reflected in this forecast.

U.S. ECONOMIC INDICATORS			
(Percent change from prior calendar year)			
	2010	2011	2012
	(Actual)	(Forecast)	(Forecast)
Real U.S. Gross Domestic Product	2.9	2.9	3.6
Consumer Price Index (CPI)	1.6	2.7	1.8
Personal Income	3.1	5.2	4.0
Nonagricultural Employment	-0.7	1.3	2.0
Source: Moody's Economy.com; DOB staff estimates.			

ECONOMIC BACKDROP

THE NEW YORK STATE ECONOMY

In contrast to that of the nation, the pace of New York's economic recovery continues to exceed expectations. The downstate economy has been buttressed by the continued improvement of the financial sector, while the State's tourism and export industries are enjoying the benefits of a weak dollar. The most recent data indicate that State employment growth for 2010 and early 2011 was a bit faster than the Executive Budget estimate. Total State employment growth for 2011 has been revised up by 0.1 percent to 0.7 percent, with private sector employment growth revised up by the same amount to 1.3 percent. State wages for the first quarter of 2011 were likely stronger than originally thought, with much of that strength due to higher bonuses. Estimated State wage growth for 2011 has been revised up to 3.8 percent from the 3.1 percent increase reflected in the Executive Budget forecast.

NEW YORK STATE ECONOMIC INDICATORS (Percent change from prior calendar year)			
	2010 (Estimated)	2011 (Forecast)	2012 (Forecast)
Personal Income	4.0	4.7	3.7
Wages	4.4	3.8	4.8
Nonagricultural Employment	0.1	0.7	0.9

Source: Moody's Economy.com; New York State Department of Labor; DOB staff estimates.

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, the volume of financial market activity and equity market volatility present a particularly large element of uncertainty for New York. In addition, with Wall Street still adjusting compensation practices in the wake of the passage of financial reform legislation, the timing of cash bonus payouts has become very unstable, making inference from past patterns more uncertain. A weaker labor market than projected could result in lower wages, which in turn could result in weaker household consumption. Similarly, should financial and real estate markets be weaker than anticipated, taxable capital gains realizations could be negatively affected. These effects would ripple through the State economy, depressing both employment and wage growth. In contrast, stronger national and world economic growth, or a stronger upturn in stock prices, along with a greater volume of merger and acquisition and other Wall Street activity, could result in higher wage and bonuses growth than projected.

FISCAL YEAR 2012 RECEIPTS FORECAST

Financial Plan receipts comprise a variety of taxes, fees, and charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB on a multi-year basis with the assistance of the Department of Taxation and Finance and other agencies responsible for the collection of State receipts.

OVERVIEW OF THE REVENUE SITUATION

- New York's recovery continued to gather momentum, with employment and wages registering their fourth and fifth consecutive quarters of growth, respectively, during the first calendar quarter of 2011. As a result of this growth and accompanying changes in consumer spending and corporate profits, receipts are expected to grow for the second consecutive year in FY 2012.
- After plunging 12.3 percent in FY 2010, base receipts adjusted for tax law changes, grew by 2.1 percent in FY 2011 and are expected to increase by 7.5 percent in FY 2012. The 2007-08 All Funds base tax receipts peak is expected to be reached again in FY 2012.
- Corporate profits are expected to record a second consecutive year of growth in calendar year 2011, albeit at a reduced rate compared to 2010. This is expected to translate into continued growth in business tax receipts in FY 2012.
- Both the PIT settlement for tax year 2010 and first quarter 2011 financial sector bonus payments surpassed expectations and provide the basis for 2011 personal income tax liability growth of 7.2 percent. A portion of the PIT settlement appears related to the impact of capital gains realized during late 2010 in anticipation of the end of preferential Federal tax rates. This is likely a one-time benefit to revenue results. These lower rates were ultimately extended, but not until December 7, 2010.
- After two years of record-setting declines in 2008-09 and FY 2010 consumer spending on taxable goods and services rose 7.5 percent in FY 2011 and is estimated to rise 5.4 percent in FY 2012.
- The surge in oil prices due to the unsettling situation in some oil-exporting countries presents a downside risk to the receipts forecast not present in the Executive Budget forecast. The uncertainty surrounding fuel prices over the near-term horizon could result in slower receipts growth than anticipated.
- While receipts growth has improved, results to date reflect growth of the very poor receipts base for the past three fiscal years.

FISCAL YEAR 2012 RECEIPTS FORECAST

All Funds receipts are projected to total \$131.7 billion, a decrease of \$1.7 billion from FY 2011 results. The table below summarizes the receipts projections for FY 2012 and FY 2013.

TOTAL RECEIPTS (millions of dollars)							
	2010-11 Results	2011-12 Enacted	Annual \$ Change	Annual % Change	2012-13 Projected	Annual \$ Change	Annual % Change
General Fund	54,448	57,293	2,845	5.2%	57,642	349	0.6%
Taxes	39,205	42,237	3,032	7.7%	43,009	772	1.8%
Miscellaneous Receipts	3,095	3,098	3	0.1%	2,917	(181)	-5.8%
Federal Grants	55	60	5	9.1%	60	0	0.0%
Transfers	12,093	11,898	(195)	-1.6%	11,656	(242)	-2.0%
State Funds	84,017	88,396	4,379	5.2%	90,109	1,713	1.9%
Taxes	60,906	64,976	4,070	6.7%	66,293	1,317	2.0%
Miscellaneous Receipts	22,993	23,275	282	1.2%	23,671	396	1.7%
Federal Grants	118	145	27	22.9%	145	0	0.0%
All Funds	133,358	131,688	(1,670)	-1.3%	129,768	(1,920)	-1.5%
Taxes	60,906	64,976	4,070	6.7%	66,293	1,317	2.0%
Miscellaneous Receipts	23,147	23,407	260	1.1%	23,802	395	1.7%
Federal Grants	49,305	43,305	(6,000)	-12.2%	39,673	(3,632)	-8.4%

Base growth in tax receipts of 7.5 percent is estimated for FY 2012, after adjusting for law changes, and is projected to be 7.2 percent in FY 2013. These projected increases in overall base growth in tax receipts are dependent on many factors:

- Continued growth in a broad range of economic activities;
- Improving profitability and compensation gains, particularly among financial services companies;
- Recovery in the overall real estate market, particularly the residential market; and
- Increases in consumer spending as a result of wage and employment gains.

FISCAL YEAR 2012 RECEIPTS FORECAST

PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)							
	2010-11 Results	2011-12 Enacted	Annual \$ Change	Annual % Change	2012-13 Projected	Annual \$ Change	Annual % Change
General Fund¹	23,894	26,001	2,107	8.8%	26,085	84	0.3%
Gross Collections	44,002	46,901	2,899	6.6%	47,417	516	1.1%
Refunds/Offsets	(7,793)	(7,842)	(49)	0.6%	(8,207)	(365)	4.7%
STAR	(3,263)	(3,292)	(29)	0.9%	(3,322)	(30)	0.9%
RBTF	(9,052)	(9,766)	(714)	7.9%	(9,803)	(37)	0.4%
State/All Funds	36,209	39,059	2,850	7.9%	39,210	151	0.4%
Gross Collections	44,002	46,901	2,899	6.6%	47,417	516	1.1%
Refunds	(7,793)	(7,842)	(49)	0.6%	(8,207)	(365)	4.7%

¹ Excludes Transfers.

All Funds receipts for FY 2012 are projected to be \$39.1 billion, an increase of \$2.9 billion, or 7.9 percent above FY 2011. This increase reflects stronger than expected growth in extension payments for tax year 2010 (\$1.2 billion), stronger growth in estimated payments for tax year 2011 (\$944 million) and an artificially high FY 2011 refunds base caused by the shift of \$500 million of FY 2010 refunds into FY 2011. Withholding, the largest component of the personal income tax is also projected to be 1.8 percent (\$562 million) higher than FY 2011, reflecting a combination of moderate underlying wage growth of 4.0 percent and the expiration of the temporary rate increase at the end of December 2011. The spike in extension payments for tax year 2010 of 52 percent reflects a combination of improvement in the financial markets, catch-up payments for increased liability due to the deferral of some business related tax credits, and the one-time realization of capital gains caused by uncertainty surrounding the late extension of the lower Federal tax rates on capital gains and high-income taxpayers in December of 2010.

Total refunds for FY 2012 are projected to increase by \$50 million (0.6 percent). This modest increase largely reflects the \$500 million refund shift noted above. Adjusted for this refund shift, current refunds are projected to increase by \$301 million or 6.6 percent. Compared to the previous year, prior year refunds are projected to increase by \$97 million due to increasing business credit claims for tax years prior to 2010.

The following table summarizes, by component, actual receipts for FY 2011 and forecast amounts through FY 2015.

FISCAL YEAR 2012 RECEIPTS FORECAST

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
ALL FUNDS					
(millions of dollars)					
	2010-11 (Results)	2011-12 (Enacted)	2012-13 (Projected)	2013-14 (Projected)	2014-15 (Projected)
Receipts					
Withholding	31,240	31,802	32,356	34,535	36,383
Estimated Payments	9,735	11,900	11,729	11,910	12,575
Current Year	7,386	8,330	8,055	8,456	9,505
Prior Year*	2,349	3,570	3,674	3,454	3,070
Final Returns	1,964	2,110	2,199	2,154	2,151
Current Year	215	227	227	241	242
Prior Year*	1,749	1,883	1,972	1,913	1,909
Delinquent	1,063	1,089	1,134	1,211	1,313
Gross Receipts	44,002	46,901	47,418	49,810	52,422
Refunds					
Prior Year*	5,171	5,075	5,490	5,761	6,604
Previous Years	771	869	819	761	782
Current Year*	1,750	1,750	1,750	1,750	1,750
State-City Offset*	100	148	148	98	98
Total Refunds	7,792	7,842	8,207	8,370	9,234
Net Receipts	36,210	39,059	39,211	41,440	43,188

* These components, collectively, are known as the "settlement" on the prior year's tax liability.

General Fund income tax receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State personal income tax revenue bonds. General Fund income tax receipts for FY 2012 of \$26 billion are expected to increase by \$2.1 billion, or 8.8 percent, from the prior year, mainly reflecting the increase in All Funds receipts noted above. The RBTF deposit is estimated to increase by \$714 million.

General Fund income tax receipts for FY 2013 of \$26.1 billion are projected to increase \$84 million, or 0.3 percent. The RBTF deposit is projected to increase by \$37 million.

The following tables show the (1) tax year PIT liability with and without the 2009 and 2010 high income provisions and (2) these high income provisions segregated by collection components.

FISCAL YEAR 2012 RECEIPTS FORECAST

PERSONAL INCOME TAX LIABILITY (millions of dollars)					
Tax Year	Current Law		Constant Law ¹		Difference \$ Millions
	<u>\$ Millions</u>	<u>% Change</u>	<u>\$ Millions</u>	<u>% Change</u>	
2007	35,215	18.4	35,215	18.4	-
2008	31,628	(10.2)	31,628	(10.2)	-
2009	31,264	(1.1)	27,122	(14.2)	4,142
2010	34,543	10.5	29,675	9.4	4,868
2011	37,021	7.2	31,663	6.7	5,358
2012	35,507	(4.1)	34,853	10.1	654

¹ Excludes the impact of the 2009 temporary rate increase and 2009 and 2010 itemized deduction limitations.

2009 and 2010 HIGH INCOME PROVISIONS* ALL FUNDS (millions of dollars)						
Tax Year		Fiscal Year				Liability Totals
		<u>2009-10</u>	<u>2010-11</u>	<u>2011-12</u>	<u>2012-13</u>	
2009	Withholding	1,157	0	0	0	
	Estimated Tax	1,659	0	0	0	
	Settlement	0	1,326	0	0	
	Total	2,816	1,326	0	0	4,142
2010	Withholding	1,251	1,244	0	0	
	Estimated Tax	0	1,747	0	0	
	Settlement	0	0	627	0	
	Total	1,251	2,991	627	0	4,869
2011	Withholding	0	1,260	1,414	0	
	Estimated Tax	0	0	2,035	0	
	Settlement	0	0	0	649	
	Total	0	1,260	3,449	649	5,358
Cash Total		4,067	5,577	4,076	649	14,369

* Includes 2009 temporary rate increase and 2009 and 2010 itemized deduction limitations.

FISCAL YEAR 2012 RECEIPTS FORECAST

PERSONAL INCOME TAX CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2011-12				2012-13			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund¹	25,701	26,001	300	1.2%	25,871	26,085	214	0.8%
Gross Collections	46,171	46,901	730	1.6%	46,826	47,417	591	1.3%
Refunds/Offsets	(7,512)	(7,842)	(330)	4.4%	(7,902)	(8,207)	(305)	3.9%
STAR	(3,292)	(3,292)	0	0.0%	(3,322)	(3,322)	0	0.0%
RBTF	(9,666)	(9,766)	(100)	1.0%	(9,731)	(9,803)	(72)	0.7%
State/All Funds	38,659	39,059	400	1.0%	38,924	39,210	286	0.7%
Gross Collections	46,171	46,901	730	1.6%	46,826	47,417	591	1.3%
Refunds	(7,512)	(7,842)	(330)	4.4%	(7,902)	(8,207)	(305)	3.9%

¹ Excludes Transfers

Compared to the Executive Budget, FY 2012 All Funds income tax receipts are revised upward by \$400 million. The increase primarily reflects higher-than-expected April extension payments on tax year 2010 liability of \$825 million. The increase is partially offset by \$100 million in lower-than-expected final returns payments, \$330 million in higher refunds, and \$40 million less in assessments. Current refunds are increased \$155 million, due to the spike in April 2011 extension payments. This is consistent with previous settlements where both April extension payments and subsequent refund payments moved in tandem. The upward revision of \$100 million in the state-city offset reflects prior year experience associated with the adjustments for the temporary rate increase and continued processing system changes. Based on final results for the prior year, subsequent returns for tax year 2011 and prior year returns have been revised upwards by \$20 million and \$25 million, respectively. Likewise, prior refunds and current offset components of total refunds have been revised upwards by \$50 million and \$25 million, respectively.

Compared to the Executive Budget, FY 2013 All Funds income tax receipts are revised upward by \$286 million, with a \$600 million upward re-estimate for extension payments for tax year 2011 partially offset by a \$280 million upward revision (negative to the Financial Plan) for refunds, a \$100 million downward revision for final returns payments, a \$40 million negative adjustment for assessments, and a total of \$39 million less in receipts from legislation. The total negative revision in refunds is comprised of increases in current refunds of \$105 million, prior refunds of \$50 million, state-city offsets of \$100 million, and current offsets of \$25 million.

FISCAL YEAR 2012 RECEIPTS FORECAST

PERSONAL INCOME TAX (millions of dollars)					
	2012-13 Projected	2013-14 Projected	Annual \$ Change	2014-15 Projected	Annual \$ Change
General Fund¹	26,085	27,570	1,485	28,699	1,129
Gross Collections	47,417	49,810	2,393	52,422	2,612
Refunds/Offsets	(8,207)	(8,370)	(163)	(9,234)	(864)
STAR	(3,322)	(3,510)	(188)	(3,692)	(182)
RBTF	(9,803)	(10,360)	(557)	(10,797)	(437)
State/All Funds	39,210	41,440	2,230	43,188	1,748
Gross Collections	47,417	49,810	2,393	52,422	2,612
Refunds	(8,207)	(8,370)	(163)	(9,234)	(864)

¹ Excludes Transfers.

All Funds income tax receipts for FY 2014 of \$41.4 billion are projected to increase \$2.2 billion or 5.7 percent over the prior year. Gross receipts are projected to increase 5.0 percent and reflect withholding that is projected to grow by 6.7 percent (\$2.2 billion) and estimated payments related to tax year 2013 that are projected to grow by 5.0 percent, or \$401 million. These relatively strong growth rates reflect projected continued strength in the economy. Payments from extensions for tax year 2012 are projected to decrease by 6.0 percent (\$220 million) and payments from final returns are expected to decrease 3.0 percent (\$59 million) reflecting the expiration of the temporary rate increase in December 2011. Delinquencies are projected to increase \$70 million or 7.0 percent from the prior year while total refunds are projected to increase by \$163 million, or 2.0 percent from the prior year.

General Fund income tax receipts for FY 2014 of \$27.6 billion are projected to increase by \$1.5 billion, or 5.7 percent. The increase in All Funds receipts is partially offset by a \$558 million increase in RBFT deposits.

All Funds income tax receipts are projected to increase by \$1.7 billion (4.2 percent) in FY 2015 to reach \$43.2 billion while General Fund receipts are projected to be \$28.7 billion. The growth rate is somewhat suppressed by the partial repayment of prior-year business related tax credit deferrals, which is projected to total \$825 million in FY 2015.

FISCAL YEAR 2012 RECEIPTS FORECAST

USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)							
	2010-11 Results	2011-12 Enacted	Annual \$ Change	Annual % Change	2012-13 Projected	Annual \$ Change	Annual % Change
General Fund^{1,2}	8,795	9,105	310	3.5%	9,382	277	3.0%
Sales Tax	8,085	8,380	295	3.6%	8,626	246	2.9%
Cigarette and Tobacco Taxes	480	492	12	2.5%	518	26	5.3%
Alcoholic Beverage Taxes	230	233	3	1.3%	238	5	2.1%
State/All Funds	14,205	14,672	467	3.3%	15,129	457	3.1%
Sales Tax	11,538	11,915	377	3.3%	12,272	357	3.0%
Cigarette and Tobacco Taxes	1,616	1,686	70	4.3%	1,772	86	5.1%
Motor Fuel	516	511	(5)	-1.0%	515	4	0.8%
Highway Use Tax	129	144	15	11.6%	144	0	0.0%
Alcoholic Beverage Taxes	230	233	3	1.3%	238	5	2.1%
Taxicab Surcharge	81	81	0	0.0%	81	0	0.0%
Auto Rental Tax	95	102	7	7.4%	107	5	4.9%

¹ Excludes Transfers.

² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees receipts for FY 2012 are estimated to be \$14.7 billion, an increase of \$467 million or 3.3 percent from FY 2011. Sales tax receipts are expected to increase by \$377 million due to base growth of 5.4 percent (\$543 million) and incremental law changes (\$43 million) partially offset by an increase (from no exemption to \$55) in the per-item price of clothing exempt from tax (\$120 million) and other adjustments (\$89 million). Non-sales tax user taxes and fees are estimated to increase by \$90 million from FY 2011, mainly due to the full implementation of the cigarette and tobacco tax rate increases as well as assumed part-year enforcement of provisions enacted in FY 2011. Highway use tax receipts are estimated to increase by \$15 million due to additional enforcement efforts, including carrier decal requirements.

General Fund user taxes and fees receipts are expected to total \$9.1 billion in FY 2012, an increase of \$310 million or 3.5 percent from FY 2011. The increase largely reflects an increase in sales tax receipts (\$295 million) and cigarette and tobacco tax collections (\$12 million).

All Funds user taxes and fees receipts for FY 2013 are projected to be \$15.1 billion, an increase of \$457 million, or 3.1 percent from FY 2012. This increase largely reflects an increase in sales tax receipts (\$357 million) and cigarette tax collections (\$86 million). General Fund user taxes and fees receipts are projected to total \$9.4 billion in FY 2013, an increase of \$277 million, or 3.0 percent from FY 2012.

FISCAL YEAR 2012 RECEIPTS FORECAST

USER TAXES AND FEES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2011-12				2012-13			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund^{1,2}	9,153	9,105	(48)	-0.5%	9,386	9,382	(4)	0.0%
Sales Tax	8,406	8,380	(26)	-0.3%	8,635	8,626	(9)	-0.1%
Cigarette and Tobacco Taxes	514	492	(22)	-4.3%	513	518	5	1.0%
Alcoholic Beverage Taxes	233	233	0	0.0%	238	238	0	0.0%
State/All Funds	14,810	14,672	(138)	-0.9%	15,145	15,129	(16)	-0.1%
Sales Tax	11,950	11,915	(35)	-0.3%	12,283	12,272	(11)	-0.1%
Cigarette and Tobacco Taxes	1,786	1,686	(100)	-5.6%	1,767	1,772	5	0.3%
Motor Fuel	518	511	(7)	-1.4%	521	515	(6)	-1.2%
Highway Use Tax	140	144	4	2.9%	148	144	(4)	-2.7%
Alcoholic Beverage Taxes	233	233	0	0.0%	238	238	0	0.0%
Taxicab Surcharge	81	81	0	0.0%	81	81	0	0.0%
Auto Rental Tax	102	102	0	0.0%	107	107	0	0.0%

¹ Excludes Transfers
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees in FY 2012 have been revised downward by \$138 million from the Executive Budget. Sales and use tax receipts are \$35 million lower due to a slight downward revision in disposable income and decreased fuel consumption due to higher prices. Cigarette tax receipts were revised downward by \$100 million to reflect an estimated nine month delay in enforcement on sales made on Native American reservations due to the current Federal injunction. Motor fuel tax receipts were revised downward by \$7 million due to higher estimated fuel prices which are expected to reduce consumption. All Funds user taxes and fees in FY 2013 have been revised downward by \$16 million from the Executive Budget, mostly due to a negotiated sunset date of December 31, 2012 for the sales tax provisions of the tax modernization initiative. These provisions were originally proposed to be permanent in the Executive Budget.

FISCAL YEAR 2012 RECEIPTS FORECAST

USER TAXES AND FEES (millions of dollars)					
	2012-13 Projected	2013-14 Projected	Annual \$ Change	2014-15 Projected	Annual \$ Change
General Fund^{1,2}	9,382	9,723	341	10,081	358
Sales Tax	8,626	8,970	344	9,329	359
Cigarette and Tobacco Taxes	518	511	(7)	505	(6)
Alcoholic Beverage Taxes	238	242	4	247	5
State/All Funds	15,129	15,598	469	16,095	497
Sales Tax	12,272	12,760	488	13,269	509
Cigarette and Tobacco Taxes	1,772	1,743	(29)	1,715	(28)
Motor Fuel	515	517	2	520	3
Highway Use Tax	144	143	(1)	146	3
Alcoholic Beverage Taxes	238	242	4	247	5
Taxicab Surcharge	81	81	0	81	0
Auto Rental Tax	107	112	5	117	5
¹ Excludes Transfers.					
² Receipts from motor vehicle fees and alcohol beverage control license fees are now reflected under miscellaneous receipts.					

All Funds user taxes and fees are projected to increase by \$469 million (3.1 percent) in FY 2014 and \$497 million (3.2 percent) in FY 2015. General Fund increases are projected to be \$341 million (3.6 percent) in FY 2014 and \$358 million (3.7 percent) in FY 2015. These increases are consistent with the DOB forecast for a continued increase in the sales tax base.

BUSINESS TAXES

BUSINESS TAXES (millions of dollars)							
	2010-11 Results	2011-12 Enacted	Annual \$ Change	Annual % Change	2012-13 Projected	Annual \$ Change	Annual % Change
General Fund	5,278	6,101	823	15.6%	6,456	355	5.8%
Corporate Franchise Tax	2,472	3,047	575	23.3%	3,178	131	4.3%
Corporation & Utilities Tax	616	681	65	10.6%	750	69	10.1%
Insurance Tax	1,217	1,266	49	4.0%	1,318	52	4.1%
Bank Tax	973	1,107	134	13.8%	1,210	103	9.3%
State/All Funds	7,278	8,173	895	12.3%	8,677	504	6.2%
Corporate Franchise Tax	2,846	3,463	617	21.7%	3,698	235	6.8%
Corporation & Utilities Tax	813	892	79	9.7%	964	72	8.1%
Insurance Tax	1,351	1,395	44	3.3%	1,451	56	4.0%
Bank Tax	1,178	1,317	139	11.8%	1,414	97	7.4%
Petroleum Business Tax	1,090	1,106	16	1.5%	1,150	44	4.0%

FISCAL YEAR 2012 RECEIPTS FORECAST

All Funds business tax receipts for FY 2012 are estimated at \$8.2 billion, an increase of \$895 million, or 12.3 percent from the prior year. The estimates reflect base growth across all taxes from an improving economy as well as an incremental increase of \$323 million from the deferral of certain tax credits that was part of the FY 2011 Enacted Budget. Adjusted for this deferral, All Funds growth is 7.8 percent.

The annual increase in the corporate franchise tax of \$617 million is attributable to the incremental increase of \$323 million from the tax credit deferral as well as continued growth in corporate profits. Corporate profits are expected to grow 7.0 percent in tax year 2011. Higher audit receipts and lower refunds also contribute to growth in FY 2012. Corporate franchise tax growth adjusted for the credit deferral is 10.3 percent for FY 2012. Both the corporation and utilities tax and the insurance tax are expected to return to trend growth in FY 2012 after declines of 14.7 percent and 9.4 percent, respectively, in FY 2011. The economic downturn and several unusual items in the corporation and utilities tax in FY 2011 (e.g. a Tax Tribunal decision that resulted in a FY 2011 refund of \$37 million) contributed to the year-over-year decline in these two taxes. The bank tax is estimated to grow 11.8 percent in FY 2012 as the economy and credit markets continue to show improvement.

All Funds business tax receipts for FY 2013 of \$8.7 billion are projected to increase \$504 million, or 6.2 percent over the prior year reflecting growth across all business taxes.

General Fund business tax receipts for FY 2012 of \$6.1 billion are estimated to increase by \$823 million, or 15.6 percent above FY 2011 results. Business tax receipts deposited to the General Fund reflect the All Funds trends, and policy changes discussed above.

General Fund business tax receipts for FY 2013 of \$6.5 billion are projected to increase \$355 million, or 5.8 percent from the prior year.

FISCAL YEAR 2012 RECEIPTS FORECAST

ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS (millions of dollars)					
	2007-08	2008-09	2009-10	2010-11	2011-12
	Actual	Actual	Actual	Actual	Projected
Corporate Franchise Tax	3,998	3,220	2,511	2,846	3,463
Audit	1,189	905	698	810	848
Non-Audit	2,808	2,315	1,813	2,036	2,615
Corporation and Utilities Taxes	801	863	954	813	892
Audit	35	47	52	13	54
Non-Audit	767	816	902	800	838
Insurance Taxes	1,219	1,181	1,491	1,351	1,395
Audit	44	41	35	38	13
Non-Audit	1,175	1,140	1,456	1,313	1,382
Bank Taxes	1,058	1,233	1,399	1,178	1,317
Audit	104	455	290	239	195
Non-Audit	954	778	1,109	939	1,122
Petroleum Business Taxes	1,155	1,107	1,104	1,090	1,106
Audit	9	16	10	7	6
Non-Audit	1,146	1,091	1,094	1,083	1,100
Total Business Taxes	8,231	7,604	7,459	7,278	8,173
Audit	1,381	1,464	1,085	1,107	1,116
Non-Audit	6,850	6,140	6,374	6,171	7,057

BUSINESS TAXES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2011-12				2012-13			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund	6,101	6,101	0	0.0%	6,422	6,456	34	0.5%
Corporate Franchise Tax	3,057	3,047	(10)	-0.3%	3,144	3,178	34	1.1%
Corporation & Utilities Tax	681	681	0	0.0%	750	750	0	0.0%
Insurance Tax	1,266	1,266	0	0.0%	1,318	1,318	0	0.0%
Bank Tax	1,097	1,107	10	0.9%	1,210	1,210	0	0.0%
State/All Funds	8,203	8,173	(30)	-0.4%	8,637	8,677	40	0.5%
Corporate Franchise Tax	3,516	3,463	(53)	-1.5%	3,659	3,698	39	1.1%
Corporation & Utilities Tax	892	892	0	0.0%	964	964	0	0.0%
Insurance Tax	1,392	1,395	3	0.2%	1,449	1,451	2	0.1%
Bank Tax	1,287	1,317	30	2.3%	1,414	1,414	0	0.0%
Petroleum Business Tax	1,116	1,106	(10)	-0.9%	1,151	1,150	(1)	-0.1%

FISCAL YEAR 2012 RECEIPTS FORECAST

Compared to the Executive Budget, FY 2012 All Funds business tax receipts are revised down \$30 million and the General Fund is unchanged. The corporate franchise tax is revised down by \$53 million. Lower gross collections reflecting unfavorable FY 2011 results are partially offset with higher expected audit receipts. The petroleum business tax downward revision reflects lower projected consumption of diesel and motor fuel due to higher fuel prices. These reductions are expected to be partially offset by an increase in the bank tax as a result of higher expected audit receipts.

Compared to the Executive Budget, FY 2013 All Funds business tax receipts are revised up by \$40 million and the General Fund is revised up by \$34 million, almost entirely as a result of higher estimates in the corporate franchise tax driven by an improvement in the corporate profits forecast since the Executive Budget.

BUSINESS TAXES (millions of dollars)					
	2012-13	2013-14	Annual \$	2014-15	Annual \$
	Projected	Projected	Change	Projected	Change
General Fund	6,456	6,721	265	6,141	(580)
Corporate Franchise Tax	3,178	3,284	106	2,527	(757)
Corporation & Utilities Tax	750	780	30	813	33
Insurance Tax	1,318	1,376	58	1,438	62
Bank Tax	1,210	1,281	71	1,363	82
State/All Funds	8,677	8,993	316	8,486	(507)
Corporate Franchise Tax	3,698	3,830	132	3,111	(719)
Corporation & Utilities Tax	964	998	34	1,035	37
Insurance Tax	1,451	1,518	67	1,591	73
Bank Tax	1,414	1,493	79	1,590	97
Petroleum Business Tax	1,150	1,154	4	1,159	5

FISCAL YEAR 2012 RECEIPTS FORECAST

All Funds business tax receipts for FY 2014 and FY 2015 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of telecommunications services, and automobile fuel consumption and fuel prices. Business tax receipts are projected to increase to \$9.0 billion (3.6 percent) in FY 2014, but decline to \$8.5 billion (5.6 percent) in FY 2015. The decline in FY 2015 reflects the first year of the repayment of deferred tax credits to taxpayers. General Fund business tax receipts over this period are expected to increase to \$6.7 billion (4.1 percent) in FY 2014 and decline to \$6.1 billion (8.6 percent) in FY 2015.

OTHER TAXES

OTHER TAXES (millions of dollars)							
	2010-11 Results	2011-12 Enacted	Annual \$ Change	Annual % Change	2012-13 Projected	Annual \$ Change	Annual % Change
General Fund¹	1,237	1,030	(207)	-16.7%	1,085	55	5.3%
Estate Tax	1,218	1,015	(203)	-16.7%	1,070	55	5.4%
Gift Tax	1	0	(1)	-100.0%	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Pari-Mutuel Taxes	17	14	(3)	-17.6%	14	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
State/All Funds	1,817	1,650	(167)	-9.2%	1,775	125	7.6%
Estate Tax	1,218	1,015	(203)	-16.7%	1,070	55	5.4%
Gift Tax	1	0	(1)	-100.0%	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Real Estate Transfer Tax	580	620	40	6.9%	690	70	11.3%
Pari-Mutuel Taxes	17	14	(3)	-17.6%	14	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

¹ Excludes Transfers.

All Funds other tax receipts for FY 2012 are estimated to be \$1.7 billion, a decrease of \$167 million or 9.2 percent from FY 2011. This reflects a decline of \$203 million (16.7 percent) in estate tax receipts and \$3 million (17.6 percent) in the pari-mutuel tax as a result of unusually large estate payments in FY 2011 and the closure of NYC OTB in December 2010, respectively. This decline is partially offset by growth of \$40 million (6.9 percent) in the real estate transfer tax as a result of strong commercial activity and improving vacancy rates in New York City.

General Fund other tax receipts are expected to be \$1.0 billion in FY 2012, a decrease of \$207 million or 16.7 percent from FY 2011. This reflects the declines in the estate tax and pari-mutuel taxes noted above.

All Funds other tax receipts for FY 2013 are projected to be nearly \$1.8 billion, an increase of \$125 million or 7.6 percent from FY 2012. This reflects modest growth in the real estate transfer tax and estate tax receipts. General Fund other tax receipts are expected to total approximately \$1.1 billion in FY 2013. This reflects an increase of \$55 million in estate tax receipts due to a forecast increase in household net worth.

FISCAL YEAR 2012 RECEIPTS FORECAST

OTHER TAXES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2011-12				2012-13			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund¹	1,030	1,030	0	0.0%	1,075	1,085	10	0.9%
Estate Tax	1,015	1,015	0	0.0%	1,060	1,070	10	0.9%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	14	14	0	0.0%	14	14	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%
State/All Funds	1,650	1,650	0	0.0%	1,775	1,775	0	0.0%
Estate Tax	1,015	1,015	0	0.0%	1,060	1,070	10	0.9%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	620	620	0	0.0%	700	690	(10)	-1.4%
Pari-Mutuel Taxes	14	14	0	0.0%	14	14	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%

¹ Excludes Transfers.

All Funds other tax receipts in FY 2012 remain unchanged from the Executive Budget. The All Funds other taxes total remains unchanged for FY 2013 due to a \$10 million decrease in real estate transfer tax receipts offset by an increase of \$10 million in estate tax receipts.

OTHER TAXES (millions of dollars)					
	2012-13	2013-14	Annual \$	2014-15	Annual \$
	Projected	Projected	Change	Projected	Change
General Fund¹	1,085	1,145	60	1,210	65
Estate Tax	1,070	1,130	60	1,195	65
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	14	0	14	0
All Other Taxes	1	1	0	1	0
State/All Funds	1,775	1,915	140	2,050	135
Estate Tax	1,070	1,130	60	1,195	65
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	690	770	80	840	70
Pari-Mutuel Taxes	14	14	0	14	0
All Other Taxes	1	1	0	1	0

¹ Excludes Transfers.

FISCAL YEAR 2012 RECEIPTS FORECAST

The FY 2014 All Funds receipts projection for other taxes is \$1.9 billion, an increase of \$140 million or 7.9 percent from FY 2013. Modest growth in the estate tax is projected to follow expected increases in household net worth. Receipts from the real estate transfer tax are projected to increase significantly, reflecting the continuation of the rebound in the residential and commercial markets.

The FY 2015 All Funds receipts projection for other taxes is \$2 billion, an increase of \$135 million or 7.0 percent from FY 2014. The forecast reflects continued increases in the estate tax and real estate transfer tax as a result of increasing household net worth and real property transfers, respectively.

General Fund other tax receipts for FY 2014 and FY 2015 are expected to increase by \$60 million and \$65 million respectively, consistent with the All Funds trends noted above.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS (millions of dollars)							
	2010-11 Results	2011-12 Enacted	Annual \$ Change	Annual % Change	2012-13 Projected	Annual \$ Change	Annual % Change
General Fund	3,150	3,158	8	0.3%	2,977	(181)	-5.7%
Miscellaneous Receipts ¹	3,095	3,098	3	0.1%	2,917	(181)	-5.8%
Federal Grants	55	60	5	9.1%	60	0	0.0%
State Funds	23,111	23,420	309	1.3%	23,816	396	1.7%
Miscellaneous Receipts ¹	22,993	23,275	282	1.2%	23,671	396	1.7%
Federal Grants	118	145	27	22.9%	145	0	0.0%
All Funds	72,452	66,712	(5,740)	-7.9%	63,475	(3,237)	-4.9%
Miscellaneous Receipts ¹	23,147	23,407	260	1.1%	23,802	395	1.7%
Federal Grants	49,305	43,305	(6,000)	-12.2%	39,673	(3,632)	-8.4%

¹ Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees."

All Funds miscellaneous receipts include monies received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. All Funds miscellaneous receipts are projected to total \$23.4 billion in FY 2012, an increase of \$260 million from FY 2011, largely driven by growth in SUNY Income Fund revenues (\$375 million), which includes the acquisition of LICH, partially offset by the decline or non-recurrence in other sources of miscellaneous receipts.

Federal grants help pay for State spending on Medicaid, temporary and disability assistance, mental hygiene, School Aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically plans for Federal reimbursement to be received in the State fiscal year in which spending occurs, but timing sometimes varies. All Funds Federal grants are projected to total \$43.3 billion in FY 2012, a decline of \$6.0 billion from the current year reflecting the expiration of certain Federal ARRA monies.

FISCAL YEAR 2012 RECEIPTS FORECAST

General Fund miscellaneous receipts and Federal grants collections are estimated to be nearly \$3.2 billion, on par with FY 2011 results.

All Funds miscellaneous receipts are projected to increase by \$395 million in FY 2013 driven by increases in HCRA resources (\$544 million), SUNY Income Fund revenues (\$238 million) and lottery receipts (\$169 million), partially offset by a projected decline in programs financed with authority bond proceeds, including economic development and health projects (\$331 million), and the General Fund decline described below.

The loss of Federal ARRA aid drives the All Funds Federal grant decline of \$3.6 billion in FY 2013.

General Fund miscellaneous receipts for FY 2013 are projected to decline by \$181 million from the current year, and primarily reflect the loss of certain one-time sweeps and payments expected in FY 2012.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS: CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	2011-12				2012-13			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
General Fund¹	3,148	3,158	10	0.3%	2,887	2,977	90	3.1%
Miscellaneous Receipts ²	3,088	3,098	10	0.3%	2,827	2,917	90	3.2%
Federal Grants	60	60	0	0.0%	60	60	0	0.0%
State Funds	23,630	23,420	(210)	-0.9%	24,008	23,816	(192)	-0.8%
Miscellaneous Receipts ²	23,485	23,275	(210)	-0.9%	23,863	23,671	(192)	-0.8%
Federal Grants	145	145	0	0.0%	145	145	0	0.0%
All Funds	67,919	66,712	(1,207)	-1.8%	64,448	63,475	(973)	-1.5%
Miscellaneous Receipts ²	23,617	23,407	(210)	-0.9%	23,994	23,802	(192)	-0.8%
Federal Grants	44,302	43,305	(997)	-2.3%	40,454	39,673	(781)	-1.9%

¹ Excludes Transfers.
² Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees."

The All Funds Changes in estimated miscellaneous receipts for FY 2012 and FY 2013, between the Executive Budget and the Enacted Budget (roughly \$200 million in both years) largely reflects the timing of insurance company conversions (\$150 million) and downward revisions to SUNY receipts based upon FY 2011 actual results.

The decline in Federal Grants for FY 2012 and FY 2013 from the Executive Budget estimates (\$997 million and \$781 million, respectively) is driven by the timing of ARRA spending and downward revisions to Medicaid, School Aid, special education and FSHRP based upon FY 2011 actual results.

General Fund miscellaneous receipts and Federal Grants are projected to total nearly \$3.2 billion in FY 2012, an increase of \$10 million from the Executive Budget, reflecting an upward revision to abandoned property actions taken with the Enacted Budget to reduce certain dormancy periods.

FISCAL YEAR 2012 RECEIPTS FORECAST

General Fund miscellaneous receipts projections for FY 2013 are revised upward by \$90 million from the Executive Budget, reflecting upward revisions to licenses and fees estimates and for the same reason noted above.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS					
(millions of dollars)					
	2012-13	2013-14	Annual \$	2014-15	Annual \$
	Projected	Projected	Change	Projected	Change
General Fund	2,977	2,556	(421)	2,126	(430)
Miscellaneous Receipts ¹	2,917	2,496	(421)	2,066	(430)
Federal Grants	60	60	0	60	0
State Funds	23,816	23,656	(160)	23,231	(425)
Miscellaneous Receipts ¹	23,671	23,511	(160)	23,086	(425)
Federal Grants	145	145	0	145	0
All Funds	63,475	65,323	1,848	70,232	4,909
Miscellaneous Receipts ¹	23,802	23,642	(160)	23,217	(425)
Federal Grants	39,673	41,681	2,008	47,015	5,334

¹ Includes receipts from motor vehicle fees and alcohol beverage control license fees, previously reflected as "user taxes and fees."

All Funds miscellaneous receipts decrease by \$160 million in FY 2014 driven by the decline in General Fund resources, partially offset by increases in HCRA (\$156 million), SUNY Income Fund revenues (\$118 million) and lottery receipts (\$68 million).

All Funds miscellaneous receipts decrease by \$425 million in FY 2015 driven by the decline in General Fund resources.

Annual Federal grants growth of \$2.0 billion in FY 2014 and \$5.3 billion is primarily due to growth in Medicaid spending.

General Fund miscellaneous receipts and Federal grants are projected to total \$2.6 billion in FY 2014 and decline to \$2.1 billion in FY 2015, reflecting the loss of 18-A payments to the General Fund.

ENACTED BUDGET REVENUE ACTIONS

The Enacted Budget includes Tax Law changes that will generate recurring revenue without increasing taxes.

On a General Fund basis, actions in the Enacted Budget will together increase tax or other revenue by a total of \$273 million (\$323.8 million All Funds) in FY 2012.

FISCAL YEAR 2012 RECEIPTS FORECAST

INCREASED FEE LIABILITY

(General Fund: \$3 million, All Funds: \$7.8 million in FY 2012)

- **New or Increased Fees.** The Enacted Budget contains statewide central registrar clearance check fees as well as increased tobacco registration fees for retailers. These fees are expected to produce \$7.8 million in revenue on an All Funds basis in the FY 2012 fiscal year.

OTHER ACTIONS

(General Fund: \$270 million, All Funds: \$316 million in FY 2012)

- **Tax Enforcement Actions.** The Enacted Budget contains actions that will improve tax audit and compliance activities. These actions are expected to produce \$205 million in additional tax revenue on an All Funds basis in the FY 2012. Most (\$200 million) of this revenue will be generated by tax modernization initiatives.
- **Other Revenue Actions.** The Enacted Budget contains other non-tax revenue-related actions, including providing a "free play allowance" to all tracks, and changing dormancy periods for certain types of abandoned property among other actions. These five actions are expected to produce \$65 million in revenue on a General Fund basis and \$111 million on an All Funds basis in FY 2012.
- **Technical Corrections and Extenders.** The Enacted Budget contains extenders that will maintain various provisions, including the pari-mutuel tax and major provisions of the bank tax, and temporary GLB provisions and three technical corrections that will amend previously enacted items. These nine actions preserve current revenue or tax benefits.

FISCAL YEAR 2012 RECEIPTS FORECAST

NEW OR INCREASED FEES

ENACTED BUDGET REVENUE ACTIONS/AGREEMENT NEW OR INCREASED FEES (millions of dollars)				
	2011-12		2012-13	
	General Fund	All Funds	General Fund	All Funds
Statewide Central Registrar Clearance Checks Fee	-	4.8	-	4.8
Tobacco Registration Fees for Retailers	3.0	3.0	5.0	5.0
TOTAL NEW OR INCREASED FEES	3.0	7.8	5.0	9.8

- **Statewide Central Registrar Clearance Checks Fee.** Increases the fee for individuals who currently pay for SCR clearance checks from \$5 to \$25 to cover a portion of the administrative costs of processing the clearances. In addition, the fee is imposed on individuals who were previously exempt from the fee, with the exception of prospective foster and adoptive parents, kinship guardians, and individuals age 18 and over in these homes. In addition, operators of group family homes through OPWDD and OMH are also exempt.
- **Tobacco Registration Fee.** Repeals the current graduated schedule of cigarette registration fees and replaces it with a flat rate annual fee of \$300 per retail location and \$100 per vending machine. The new fees will apply retroactively for registrations during calendar years 2010 and 2011.

TAX ENFORCEMENT ACTIONS

TAX ENFORCEMENT ACTIONS (millions of dollars)				
	2011-12		2012-13	
	General Fund	All Funds	General Fund	All Funds
Offset Certain Tax Debts Against Lottery Winnings	5.0	5.0	10.0	10.0
Tax Modernization Initiatives	200.0	200.0	150.0	150.0
TOTAL TAX ENFORCEMENT ACTIONS	205.0	205.0	160.0	160.0

- **Offset Certain Tax Debts Against Lottery Winnings.** Requires winners' tax obligations to be withheld from Lottery individual prizes above \$600.
- **Tax Modernization Initiatives.** This provision is expected to increase personal income tax e-filing. Electronic filing improves data matching with existing IRS and other data sources, resulting in increased State revenue through denied refunds and more accurate final returns. In addition, the Tax Commissioner is provided discretion to require dedicated bank accounts for sales tax deposits and more frequent filing from sales tax filers who have a poor filing record.

FISCAL YEAR 2012 RECEIPTS FORECAST

OTHER REVENUE ACTIONS

OTHER REVENUE ACTIONS (millions of dollars)				
	2011-12		2012-13	
	General Fund	All Funds	General Fund	All Funds
Provide "Free-Play Allowance" to All Tracks	-	38.0	-	38.0
Increase the Number of 75 Percent Instant Games	-	4.0	-	4.0
Increase Prize Payout Percentage on Multi-Jurisdictional Games	-	-	-	-
Multi-State Progressive Video Lottery Games	-	4.0	-	5.0
Reduce Various Abandoned Property Dormancy Periods	65.0	65.0	80.0	80.0
TOTAL OTHER REVENUE ACTIONS	65.0	111.0	80.0	127.0

- **Provide "Free-Play Allowance" to Video Lottery Gaming Facilities.** Facilities are authorized to provide free game ("free-play") credits as a marketing tool to increase play at the facility. This action allows Video Lottery Gaming facilities to offer free-play credits that are excluded from net machine income. The amount of free-play allowance provided to each facility is capped at 10 percent of the net machine income at that facility.

- **Number of 75 Percent Instant Games.** Increases the number of instant games with a 75 percent prize pay-out from three to five new games per year.

- **Increase Prize Payout Percentage on Multi-Jurisdictional Games.** New York currently offers two multi-jurisdictional lottery games, Mega Millions and Powerball. If the prize-payout on either of these games were to increase above their current 50 percent prize-pay, New York would not have been able to participate due to the statutory limit prohibiting a prize-payout in excess of 50 percent on multi- jurisdictional games. This action allows the Lottery to have up to a 55 percent prize-payout on multi-jurisdictional games.

- **Multi-State Progressive Video Lottery Games.** The Lottery offers progressive jackpots (a cash prize that grows larger until won) for certain Video Lottery Games. Currently, terminals in different New York State video lottery gaming facilities can be combined into a progressive jackpot pool. This allows New York to combine with play in other states to increase the progressive jackpots through larger pools.

- **Reduce Various Abandoned Property Dormancy Periods.** Reduces the dormancy periods on thirteen items that currently fall dormant at either five or six years, to three years. These dormancy periods, which reflect the length of time a vendor (e.g. a bank) can hold funds before they are deemed abandoned and turned over to the State. Dormancy periods are reduced for demand deposit accounts, lost property, savings accounts, time deposit accounts, and trust funds among others. Citizens are able to retrieve abandoned funds through the Office of the State Comptroller.

FISCAL YEAR 2012 RECEIPTS FORECAST

NEW OR EXPANDED TAX CREDITS

These tax credits have no fiscal impact in FY 2012 or FY 2013 due to either development and tax filing lags or assumption in a previous Financial Plan.

- **Reform Excelsior Jobs Program.** Reforms and improves the job creating effectiveness of the Excelsior economic development program.
- **Create the Economic Transformation and Facility Redevelopment Program.** Creates various refundable tax credits and other tax benefits designed to mitigate the economic consequences in communities where correctional and Office of Children and Family Services facilities are being closed. Businesses must create and maintain at least five net new jobs in the affected areas and meet a benefit-cost ratio of at least 10:1. Credits apply for 1) wages related to the new jobs, 2) investments in the facilities and surrounding areas, 3) job training expenses, and 4) real property taxes for projects in the facilities and surrounding areas. A refund of State sales tax also applies for tangible personal property used in construction, and local governments would be authorized to provide property tax exemptions.
- **Expand the Low-Income Housing Tax Credit Program.** Authorizes the Commissioner of the Division of Housing and Community Renewal to allocate an additional \$4 million in aggregate credit awards to taxpayers that develop qualifying housing projects for low-income New Yorkers. Credits are given in equal installments for a ten-year period. As such, the total amount of credits that will be awarded from this new authorization will be \$32 million. This revenue loss was already assumed in previous Financial Plans.

FISCAL YEAR 2012 RECEIPTS FORECAST

TECHNICAL CORRECTIONS AND EXTENDERS

These provisions have no fiscal impact because they are technical corrections or because their extension was assumed in previous State Financial Plans.

- **Reform and Extend The Power For Jobs Program.** The Power for Jobs program is extended for two years, until June 30, 2012. Low cost power will be provided via an exemption from the Section 186a excise tax but the State's revenue loss will be offset by payments from the New York Power Authority. The new "Recharge New York" program will take effect after the sunset of Power for Jobs.
- **Conform the Excess Lines and Independently Procured Insurance Tax to the Dodd-Frank Wall Street Reform and Consumer Protection Act.** Conforms New York's taxation of excess lines and independently procured insurance by giving the "home state" of the insured sole authority to regulate and collect taxes on these transactions. Generally, the insured's home state is the state where it is headquartered, or in the case of an individual, their principal place of residence.
- **Extend Tax Shelter Reporting Provisions.** Extends for four years the current law provisions allowing the Department of Taxation and Finance to require the reporting and disclosure of Federal and New York reportable and listed transactions that may be improper tax avoidance practices.
- **Make Permanent Major Provisions of the Bank Tax and Extend Temporary GLB Provisions.** Makes permanent bank tax reform provisions from 1985 and 1987 and extends for two additional years provisions that were intended to temporarily address regulatory changes from the Federal Gramm-Leach-Bliley Act.
- **Extend the Alternative Fuels Tax Exemption.** Extends from September 1, 2011 to September 1, 2012 the sales, petroleum business tax and motor fuel tax exemptions on e85, CNG, or hydrogen when purchased for use in a motor vehicle engine. The twenty percent exemption on purchases of B20 was also extended.

FISCAL YEAR 2012 RECEIPTS FORECAST

- **Extend Financial Services Investment Tax Credit.** Extends for four additional years the investment tax credit for certain broker-dealers for qualifying property that is used in the ordinary course of business. This revenue loss was already assumed in previous Financial Plans.
- **Extend the Pari-Mutuel Tax.** Extends lower Pari-Mutuel tax rates for one year. Additionally, this also extends by one year the rules governing the simulcasting of out-of-state races and the authorization for account wagering.
- **Modernize Certain Fuel Definitions.** Recent Federal and State Law changes would have resulted in unintended tax increases absent these definitional changes. Federal Law changes have made manufacturers, farmers and electric generators that purchase diesel fuel ineligible for a long-held petroleum business tax exemption. In addition, Chapter 203 of the laws of 2010 creates sulfur emissions standards, effective July 2012, that would have made non-highway diesel fuel meet the previous definition of taxable diesel fuel. Enforcement provisions for dyed diesel fuel tax evasion are conformed to those currently applied to motor fuel by allowing for civil seizure of trucks carrying untaxed fuel.
- **Simplify the Motor Vehicle Fees Distribution.** Simplifies the distribution of motor vehicle receipts by clarifying that all non-dedicated motor vehicle fee receipts include fines and assessments.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

INTRODUCTION

This section presents the State's updated multi-year Financial Plan projections including the impact of the FY 2012 Enacted Budget actions. The projections for School Aid and Medicaid assume that spending will be held to targeted levels, as described earlier. State law requires the Governor to submit, and the Legislature to enact, a balanced plan of receipts and disbursements on a cash basis for the General Fund. However, over 40 percent of total State spending for operating purposes is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, School Aid, higher education, transportation and mental hygiene. Thus, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates as a predictor of the State's future financial position is likely to diminish as one moves further from the current year and budget year estimates. Accordingly, in terms of the outyear projections, FY 2013 is the most relevant from a planning perspective.

MULTI-YEAR PROJECTIONS

BUDGET GAPS

DOB estimates that the Enacted Budget provides for a balanced General Fund Financial Plan in FY 2012 and leaves projected gaps that total approximately \$2.4 billion in FY 2013, \$2.8 billion in FY 2014, and \$4.6 billion in FY 2015. The net operating deficits in State Operating Funds are projected at \$1.8 billion in FY 2013, \$2.1 billion in FY 2014, and \$3.8 billion in FY 2015.

The imbalances projected for the General Fund and State Operating Funds in future years are similar because the General Fund is the financing source of last resort for many State programs. Imbalances in other funds are typically financed by the General Fund.

RECEIPTS

Overall, State Fund tax receipts growth over the multi-year Financial Plan is expected to be roughly 4.2 percent on average. The tax forecast reflects the sunset of the personal income tax surcharge after tax year 2011, and an expected continuation of modest economic growth in the New York State economy. See "Fiscal Year 2012 Receipts Forecast" herein for detailed information.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

SPENDING

Over the multi-year Financial Plan, spending is expected to increase by an average rate of 4.3 percent in the General Fund and 3.7 percent in State Operating Funds. The spending projections assure spending at the target growth rates for Medicaid and School Aid, and include a preliminary estimate of the effect of national health care reform on State health care costs. The growth in spending projections reflect an expected return to a lower Federal matching rate for Medicaid expenditures after June 30, 2011, which will increase the share of Medicaid costs that must be financed by State resources, and the expected loss of temporary Federal aid for education.

Medicaid, education, pension costs, employee and retiree health benefits, social services programs and debt service are significant drivers of spending growth over the Plan period.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

GENERAL FUND PROJECTIONS

MULTI-YEAR GENERAL FUND PROJECTIONS					
(millions of dollars)					
	2010-11	2011-12	2012-13	2013-14	2014-15
Receipts					
Taxes (After Debt Service)	49,529	53,137	53,893	56,705	58,201
Miscellaneous Receipts/Federal Grants	3,149	3,158	2,977	2,556	2,126
Other Transfers	1,769	998	772	615	610
Total Receipts	54,447	57,293	57,642	59,876	60,937
Disbursements					
Local Assistance Grants	37,206	38,888	40,115	41,996	43,734
School Aid	16,645	16,802	17,197	18,030	18,876
Other Education Aid	1,459	1,732	1,904	1,993	2,060
Higher Education	2,448	2,578	2,715	2,804	2,891
Medicaid (incl. administration)	7,478	10,236	10,456	11,009	11,458
Public Health/Aging	765	852	891	881	886
Mental Hygiene	2,239	1,881	1,978	2,161	2,280
Social Services	2,859	3,117	3,441	3,721	3,885
Local Government Assistance	776	767	797	787	787
All Other ¹	2,537	923	736	610	611
State Operations	7,973	7,356	7,951	7,915	8,210
Personal Service	6,151	5,560	5,773	5,879	6,047
Non-Personal Service	1,822	1,796	2,178	2,036	2,163
General State Charges	4,187	4,668	5,126	5,499	5,660
Pensions	1,470	1,670	1,857	2,113	2,411
Health Insurance (Active Employees)	1,834	2,144	2,367	2,575	2,592
Health Insurance (Retired Employees)	1,221	1,285	1,418	1,543	1,553
All Other	(338)	(431)	(516)	(732)	(896)
Transfers to Other Funds	6,007	6,020	6,738	7,160	7,796
State Share Medicaid	2,497	3,032	3,119	3,082	3,082
Debt Service	1,737	1,449	1,712	1,658	1,566
Capital Projects	932	800	1,168	1,361	1,456
SUNY- Hospital Medicaid	207	200	200	200	200
Judiciary Funds	131	119	119	121	123
Banking Services	74	55	55	55	55
Financial Management System	5	42	55	55	55
Indigent Legal Services	45	40	40	40	40
Mental Hygiene	0	0	0	317	869
All Other	379	283	270	271	350
Total Disbursements	55,373	56,932	59,930	62,570	65,400
Change in Reserves					
	(926)	361	91	142	142
Prior-Year Labor Agreements (2007-11)	0	346	142	142	142
Community Projects Fund	40	(85)	(51)		
Rainy Day Fund	0	100			
Reserved for Deferred Payments	(906)				
Reserved for Debt Management	(60)				
Budget Surplus/(Gap) Before Actions	0	0	(2,379)	(2,836)	(4,605)

¹ All other includes school aid deferral and local aid spending in a number of other programs, including parks and the environment, economic development, and public safety.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS

State Operating Funds includes the General Fund, Debt Service Funds and State Special Revenue Funds (the latter which includes a significant amount of spending in the areas of health care, lottery support for School Aid, higher education, transportation and mental hygiene). The multi-year disbursement projections take into account agency staffing levels, program caseloads, formulas contained in State and Federal law, inflation and other factors. The factors that affect spending estimates vary by program. For example, welfare spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections account for the timing of payments, since not all of the amounts appropriated in the Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward in all fiscal years based on typical spending patterns and the observed variance between estimated and actual results over time.

The following table presents the multi-year projections and growth rates for State Operating Funds, as well as a reconciliation to the General Fund budget gaps. It is followed by a summary of the forecasts for each of the State's major programs and activities and certain assumptions used in preparing the spending projections.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS					
(millions of dollars)					
	2010-11	2011-12	2012-13	2013-14	2014-15
Receipts:					
Taxes	59,532	63,615	64,901	68,139	70,093
Personal Income Tax	36,209	39,059	39,210	41,440	43,189
User Taxes and Fees	13,608	14,059	14,510	14,976	15,464
Business Taxes	6,657	7,544	8,024	8,338	7,828
Other Taxes	3,058	2,953	3,157	3,385	3,612
Miscellaneous Receipts/Federal Grants	19,260	19,399	20,126	20,135	19,982
Total Receipts	78,792	83,014	85,027	88,274	90,075
Disbursements:					
Local Assistance Grants	55,295	57,761	59,893	62,387	64,750
School Aid	19,788	19,686	20,250	21,151	22,018
STAR	3,234	3,293	3,322	3,510	3,693
Other Education Aid	1,474	1,744	1,912	2,000	2,067
Higher Education	2,470	2,594	2,715	2,804	2,891
Medicaid (DOH incl. administration)	11,915	15,280	15,894	16,531	17,192
Public Health/Aging	2,015	2,121	2,139	2,174	2,216
Mental Hygiene	3,578	3,601	3,853	4,169	4,370
Social Services	2,869	3,129	3,452	3,722	3,886
Transportation	4,254	4,236	4,325	4,405	4,495
Local Government Assistance	776	767	797	787	787
All Other ¹	2,922	1,310	1,234	1,134	1,135
State Operations	17,387	16,728	17,545	17,708	18,194
Personal Service	12,422	11,677	11,971	12,174	12,468
Non-Personal Service	4,965	5,051	5,574	5,534	5,726
General State Charges	6,102	6,530	7,125	7,644	7,990
Pensions	1,470	1,670	1,857	2,113	2,411
Health Insurance (Active Employees)	1,834	2,144	2,367	2,575	2,592
Health Insurance (Retired Employees)	1,221	1,285	1,418	1,543	1,553
All Other	1,577	1,431	1,483	1,413	1,434
Debt Service	5,615	5,855	6,332	6,498	6,551
Capital Projects	18	5	5	5	5
Total Disbursements	84,417	86,879	90,900	94,242	97,490
Net Other Financing Sources/(Uses)	4,784	4,431	4,091	3,892	3,581
Net Operating Surplus/(Deficit)	(841)	566	(1,782)	(2,076)	(3,834)
Reconciliation to General Fund Gap:					
Designated Fund Balances	841	(566)	(597)	(760)	(771)
General Fund	926	(361)	(91)	(142)	(142)
Special Revenue Funds	(42)	(85)	(404)	(512)	(483)
Debt Service Funds	(43)	(120)	(102)	(106)	(146)
General Fund Budget Gap	0	0	(2,379)	(2,836)	(4,605)

¹ All other includes school aid deferral and local aid spending in a number of other programs, including parks and the environment, economic development, and public safety.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

LOCAL ASSISTANCE GRANTS

Local Assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. State-funded local assistance spending is estimated at \$57.8 billion in FY 2012 and accounts for over 65 percent of total State Operating Funds spending. Education and health care spending account for three-quarters of local assistance spending.

Selected assumptions used in preparing the spending projections for the State's major programs and activities are summarized in the following table.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
	Results	Forecast			
	2010-11	2011-12	2012-13	2013-14	2014-15
Medicaid					
Medicaid Coverage	4,437,840	4,667,275	4,939,712	5,238,126	5,558,859
Family Health Plus Coverage	400,534	412,958	428,096	443,235	458,374
Child Health Plus Coverage	402,892	420,892	438,892	456,892	474,892
State Takeover of County/NYC Costs (\$ millions) ¹	<u>\$1,839</u>	<u>\$2,386</u>	<u>\$2,930</u>	<u>\$3,513</u>	<u>\$4,186</u>
- Family Health Plus	\$403	\$441	\$481	\$525	\$573
- Medicaid	\$1,436	\$1,945	\$2,449	\$2,988	\$3,613
Education					
School Aid (School Year) (\$000)	\$20,924	\$19,641	\$20,446	\$21,386	\$22,220
Personal Income Growth Index	N/A	N/A	4.1%	4.6%	3.9%
Higher Education					
Public Higher Education Enrollment (FTEs)	574,350	585,837	585,837	591,695	597,612
Tuition Assistance Program Recipients	325,870	329,177	332,011	331,659	331,659
Welfare					
Family Assistance Caseload	374,338	368,666	364,255	360,860	357,728
Single Adult/No Children Caseload	164,832	163,057	160,692	158,866	157,438
Mental Hygiene					
Total: Mental Hygiene Community Beds	<u>86,017</u>	<u>91,361</u>	<u>95,064</u>	<u>96,959</u>	<u>97,837</u>
- OMH Community Beds	34,832	39,399	42,235	43,251	43,371
- OPWDD Community Beds	38,408	39,101	39,857	40,640	41,313
- OASAS Community Beds	12,777	12,861	12,972	13,068	13,153
Prison Population (Corrections)	56,144	56,300	56,300	56,300	56,300

¹ Does not reflect 2010-11 results.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

EDUCATION

SCHOOL AID

School Aid helps support elementary and secondary education for New York pupils enrolled in 676 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses. This funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

School Year (July 1 — June 30)

The FY 2012 Enacted Budget provides \$19.6 billion in School Aid for the FY 2012 school year, which results in an annual State aid reduction of nearly \$1.3 billion, or 6.1 percent. Total school spending is primarily financed through a combination of State and local funding and thus, the reduction in State aid represents 2.4 percent of total general fund operating expenditures projected to be made by school districts statewide in the current (FY 2011) school year. Without consideration of Federal Education Jobs Fund allocations made available to school districts in FY 2011, the year-to-year reduction in School Aid is \$675 million or 3.3 percent. This amount represents 1.3 percent of total expenditures by school districts.

The Enacted Budget also includes a two-year appropriation and makes statutory changes to limit future School Aid increases to the rate of growth in New York state personal income. This allowable growth includes spending for new competitive grant programs to reward school districts that demonstrate significant student performance improvements or that undertake long-term structural changes to reduce costs and improve efficiency. Allowable growth also includes increases in expense-based aid programs (e.g., Building Aid, Transportation Aid) under existing statutory provisions. Any remaining amount of allowable growth can be allocated pursuant to a chapter of law for purposes including, but not limited to, additional spending for competitive grants, increases in Foundation Aid or restoration of the Gap Elimination Adjustment.

Under this growth cap, School Aid is projected to increase by an additional \$805 million in FY 2013, and \$940 million in FY 2014. School Aid is projected to reach an annual total of nearly \$22.2 billion in FY 2015.

FOUR YEAR SCHOOL AID PROJECTION - SCHOOL YEAR BASIS (millions of dollars)									
	2010-11	2011-12	Annual \$ Change	2012-13	Annual \$ Change	2013-14	Annual \$ Change	2014-15	Annual \$ Change
Total School Aid	\$20,924	\$19,641	(\$1,283)	\$20,446	\$805	\$21,386	\$940	\$22,220	\$834
Percent Change			-6.1%		4.1%		4.6%		3.9%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

State Fiscal Year

The State finances School Aid from General Fund revenues and from Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated revenue account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels for School Aid on a State fiscal year basis. The total for FY 2011 is restated to exclude a \$2.0 billion aid payment that was deferred from FY 2010.

SCHOOL AID - FISCAL YEAR BASIS (ADJUSTED)									
STATE OPERATING FUNDS									
(millions of dollars)									
	2010-11	2011-12	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
Total State Funds	19,788	19,686	-1%	20,250	3%	21,151	4%	22,018	4%
General Fund Local Assistance ¹	16,645	16,802	1%	17,197	2%	18,029	5%	18,876	5%
Core Lottery Aid	2,108	2,200	4%	2,217	1%	2,224	0%	2,234	0%
VLT Lottery Aid	912	684	-25%	836	22%	898	7%	908	1%
General Fund Lottery Aid Guarantee	123	0	-100%	0	0%	0	0%	0	0%

¹ General Fund spending in 2010-11 is adjusted to exclude a \$2.06B school aid payment deferred from 2009-10.

State spending for School Aid is projected to total \$19.7 billion in FY 2012. In future years, receipts available to finance School Aid from lottery sales are expected to increase nominally. Increasing receipts from VLTs in FY 2013 and FY 2014 reflect the anticipated opening of a VLT facility at Aqueduct Racetrack by October 2011. In addition to State aid, school districts receive billions of dollars in Federal categorical aid.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

SCHOOL TAX RELIEF PROGRAM

The STAR program provides school tax relief to taxpayers. The three components of STAR and their approximate shares in FY 2012 are: the basic school property tax exemption for homeowners with income under \$500,000 (59 percent), the enhanced school property tax exemption for senior citizen homeowners with income under \$79,050 (24 percent), and a flat refundable credit and rate reduction for New York City resident personal-income taxpayers (17 percent).

STAR									
STATE OPERATING FUNDS SPENDING PROJECTIONS									
(millions of dollars)									
	<u>2010-11</u>	<u>2011-12</u>	<u>Annual % Change</u>	<u>2012-13</u>	<u>Annual % Change</u>	<u>2013-14</u>	<u>Annual % Change</u>	<u>2014-15</u>	<u>Annual % Change</u>
STAR	3,234	3,293	2%	3,322	1%	3,510	6%	3,693	5%
Basic Exemption	1,875	1,933	3%	1,937	0%	2,046	6%	2,162	6%
Enhanced (Seniors)	760	790	4%	792	0%	836	6%	883	6%
New York City PIT	599	570	-5%	593	4%	628	6%	648	3%

The STAR program exempts the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$60,100 exemption. Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues.

The Enacted Budget Financial Plan limits the overall annual increase in a qualifying homeowner's STAR exemption benefit to 2 percent. The multi-year Financial Plan also reflects annual savings from the implementation of an income limitation on STAR benefits, which excludes homeowners who earn more than \$500,000 a year from receiving the STAR property tax exemption, and reduces the benefit for New York City personal income taxpayers with annual income over \$500,000.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER EDUCATION AID

In addition to School Aid, the State provides funding and support for various other education-related initiatives. These include: special education services; prekindergarten through grade 12 education programs; cultural education; higher and professional education programs; and adult career and continuing education services.

Major programs under the Office of Prekindergarten through Grade 12 Education address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, non-public school aid, and various special education programs. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 48 professions.

EDUCATION STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	<u>2010-11</u>	<u>2011-12</u>	<u>Annual % Change</u>	<u>2012-13</u>	<u>Annual % Change</u>	<u>2013-14</u>	<u>Annual % Change</u>	<u>2014-15</u>	<u>Annual % Change</u>
Special Education	924	1,197	30%	1,373	15%	1,456	6%	1,529	5%
Pre-School Special Education	939	870	-7%	922	6%	978	6%	1,036	6%
ARRA Fiscal Stabilization	(327)	0	-100%	0	0%	0	0%	0	0%
Summer School Programs	208	292	40%	322	10%	343	7%	352	3%
Blind and Deaf	104	35	-66%	129	269%	135	5%	141	4%
All Other Education	550	547	-1%	539	-1%	544	1%	538	-1%
Higher Education Programs	100	86	-14%	86	0%	86	0%	86	0%
Non-Public School Aid	112	107	-4%	104	-3%	104	0%	104	0%
Cultural Education Programs	92	93	1%	93	0%	93	0%	93	0%
Vocational Rehabilitation	91	82	-10%	82	0%	82	0%	82	0%
School Nutrition	35	36	3%	37	3%	37	0%	38	3%
Other Education Programs	120	143	19%	137	-4%	142	4%	135	-5%

Spending for special education is expected to increase as program costs and enrollment rise. Other education spending is affected by the phase-out of Federal ARRA Stabilization Funds. In FY 2012, school districts will finance the costs associated with schools for the blind and deaf in the first instance and will be reimbursed by the State in the first quarter of the FY 2013 State fiscal year, which drives a significant annual increase in FY 2013 spending.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HIGHER EDUCATION

Local assistance for higher education spending includes funding for CUNY, SUNY and HESC. The State provides assistance for CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses.

The State also provides a sizeable benefit to SUNY and CUNY through the debt service it pays on bond-financed capital projects at the universities. This is not reflected in the annual spending totals for the universities. State debt service payments for higher education are expected to total over \$1 billion in FY 2012.

HESC administers the TAP program that provides awards to income-eligible students, and the New York Higher Education Loan Program. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that the Corporation administers are funded by the State and the Federal government.

HIGHER EDUCATION STATE OPERATING FUNDS LOCAL ASSISTANCE SPENDING PROJECTIONS (millions of dollars)									
	2010-11	2011-12	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
City University:	1,183	1,205	2%	1,299	8%	1,389	7%	1,477	6%
Community College Aid	187	178	-5%	179	1%	179	0%	179	0%
ARRA Fiscal Stabilization	(32)	0	-100%	0	0%	0	0%	0	0%
Operating Aid to NYC (Senior Colleques) ¹	1,028	1,025	0%	1,118	9%	1,208	8%	1,296	7%
Community Projects	0	2	0%	2	0%	2	0%	2	0%
Higher Education Services:	814	906	11%	967	7%	966	0%	965	0%
Tuition Assistance Program	801	831	4%	911	10%	910	0%	909	0%
ARRA Fiscal Stabilization	(50)	0	-100%	0	0%	0	0%	0	0%
Aid for Part Time Study	11	12	9%	12	0%	12	0%	12	0%
Scholarships/Awards	29	47	62%	44	-6%	44	0%	44	0%
Other	23	16	-30%	0	-100%	0	0%	0	0%
State University:	473	483	2%	449	-7%	449	0%	449	0%
Community College Aid	451	441	-2%	439	0%	439	0%	439	0%
ARRA Fiscal Stabilization	(83)	0	-100%	0	0%	0	0%	0	0%
Hospital Subsidy ²	96	32	-67%	0	-100%	0	0%	0	0%
Other	9	10	11%	10	0%	10	0%	10	0%

¹State support for SUNY 4-year institutions is funded through State operations rather than local assistance.

²Beginning in academic year 2011-12, the SUNY hospital subsidy will be funded as a transfer from General Fund State operations rather than local assistance.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Growth in spending for higher education over the plan period largely reflects aid to New York City for reimbursement of CUNY senior college operating expenses associated with the rising contribution rates for fringe benefits. Spending growth for tuition assistance reflects the impact of upward trends in student enrollment at institutions of higher education.

HEALTH CARE

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The DOH works with the local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts. The Medicaid program finances inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care, FHP, and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services). The State share of Medicaid spending is budgeted and expended principally through DOH, but State share Medicaid spending also appears in the mental hygiene agencies, child welfare programs, and School Aid. Medicaid spending is reported separately in the Financial Plan tables for each of the agencies.

In addition, health care-related spending appears in State Operations and General State Charges for purposes such as health insurance premiums for State employees and retirees, services delivered to inmates, and services provided in State-operated facilities.

MEDICAID

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments (including New York City). New York's Medicaid spending is projected to total approximately \$52.6 billion in FY 2012, including the local contribution.⁸

⁸ The local contribution to the Medicaid program is not included in the State's Financial Plan. Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level for counties. In accordance with these statutory indexing provisions, 2011 Medicaid payments by local governments will be held to approximately 3.0 percent over 2010 levels. County and New York City savings from these two local fiscal relief initiatives are expected to total approximately \$2.4 billion during the FY 2012 State fiscal year, an annual increase in local savings of \$547 million over FY 2011 levels.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS ¹ (millions of dollars)					
	2010-11	2011-12	2012-13	2013-14	2014-15
Department of Health	11,915	15,280	15,894	16,531	17,192
State Share Without FMAP	15,863	15,633	15,640	16,531	17,192
Enhanced FMAP	(3,948)	(353)	254	0	0
Mental Hygiene	5,677	5,752	5,979	6,297	6,568
Education	29	0	0	0	0
Foster Care	69	111	121	132	138
State Operations - Contractual Expenses ²	23	46	46	46	46
State Share Total	17,713	21,189	22,040	23,006	23,944
Annual \$ Change - Total State Share		3,476	851	966	938
Annual % Change - Total State Share		19.6%	4.0%	4.4%	4.1%
Annual \$ Change - DOH Only		3,365	614	637	661
Annual % Change - DOH Only		28.2%	4.0%	4.0%	4.0%

¹ To conform the Financial Plan classification of State Operating Funds spending to the classification followed by the State Comptroller, approximately \$3 billion in Medicaid spending supported by a transfer from Federal Funds to the State Mental Hygiene Patient Income Account is now classified as State spending.

² Includes operational costs that support contracts related to the management of the Medicaid program and various activities to ensure appropriate utilization.

The Financial Plan projections assume that spending growth is limited to 4 percent annually for DOH State Medicaid spending beginning annually in State FY 2013. This reflects the targeted growth rate for Medicaid proposed in the Enacted Budget, which is the ten-year average change in the medical component of the Consumer Price Index. Statutory changes adopted with the budget grant the Executive authority to hold Medicaid spending to this rate. This authority expires after two years; however, the cap remains in place and the Financial Plan assumes that statutory authority will be extended in subsequent years.

DOH Medicaid growth over the plan period is affected by estimates of increasing Medicaid enrollment, rising costs of provider health care services (particularly in managed care), and higher levels of utilization, as well as the expiration of enhanced levels of Federal aid⁹. The number of Medicaid recipients, including FHP, is expected to exceed 6.0 million at the end of FY 2015, an increase of 24.4 percent from the FY 2011 caseload of 4.8 million. The expiration of the enhanced FMAP is expected to result in an increase of State-share spending of over \$600 million from FY 2012 to FY 2013, primarily due to the reconciliation of costs between the State and counties related to the Medicaid cap.

⁹ In August 2010, the U.S. Congress approved a six-month extension of the enhanced FMAP benefit through June 30, 2011. Under enhanced FMAP (which now covers the period from October 2008 through June 30, 2011), the base Federal match rate increases from 50 percent to approximately 57 percent during the period April-June 2011, which results in a concomitant decrease in the State and local share.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

The table below summarizes the benefit of enhanced FMAP since it began in 2008-09.

DOH MEDICAID SPENDING - STATE OPERATING FUNDS WITH AND WITHOUT FMAP IMPACT ¹					
TOTAL DISBURSEMENTS					
(millions of dollars)					
	2008-09	2009-10	2010-11	2011-12	2012-13
DOH Medicaid - Without FMAP	12,668	14,523	15,887	15,680	15,686
Enhanced FMAP	(1,092)	(3,041)	(3,948)	(353)	254
DOH Medicaid - With FMAP	11,576	11,482	11,939	15,327	15,940

¹ Additional Federal aid from enhanced FMAP in mental hygiene agencies brings the total cumulative State benefit to \$9.6 billion.

The State share of DOH Medicaid spending is funded from the General Fund, HCRA, provider assessment revenue, and indigent care revenue. The chart below provides information on the financing sources for State Medicaid spending.

MAJOR SOURCES OF ANNUAL CHANGE IN MEDICAID (DOH ONLY) -- LOCAL ASSISTANCE								
(millions of dollars)								
	2011-12	2012-13	Annual \$ Change	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
State Operating Funds (Before FMAP) ¹	15,633	15,640	7	0.0%	16,531	5.7%	17,192	4.0%
Enhanced FMAP -- State Share ²	(353)	254	607	-172.0%	0	-100.0%	0	0.0%
State Operating Funds (After FMAP)	15,280	15,894	614	4.0%	16,531	4.0%	17,192	4.0%
Other State Funds Support	(5,044)	(5,438)	(394)	7.8%	(5,522)	1.5%	(5,734)	3.8%
HCRA Financing	(3,383)	(3,815)	(432)	12.8%	(3,907)	2.4%	(4,119)	5.4%
Provider Assessment Revenue	(869)	(831)	38	-4.4%	(823)	-1.0%	(823)	0.0%
Indigent Care Revenue	(792)	(792)	0	0.0%	(792)	0.0%	(792)	0.0%
Total General Fund	10,236	10,456	220	2.1%	11,009	5.3%	11,458	4.1%

¹ Does not include Medicaid spending in other State agencies, DOH State operations spending, or the local government share of total Medicaid program spending.

² Excludes benefits in other State agencies. Costs in 2012-13 reflect the reconciliation of the local share benefit for 2011-12 that will occur in 2012-13.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PUBLIC HEALTH/AGING PROGRAMS

Public Health includes the EPIC Program that provides prescription drug insurance to low-income seniors, the CHP program that finances health insurance coverage for children of low-income families up to the age of 19, the GPHW program that reimburses local health departments for the cost of providing certain public health services, the EI Program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays, and other HCRA and State-supported programs.

SOFA promotes and administers programs and services for New Yorkers 60 years of age and older. The Office primarily oversees community-based services, including but not limited to in-home services and nutrition assistance, provided through a network of county Area Aging Agencies and local providers.

Many public health programs, such as the EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the public health budget. For more information on HCRA projections, see the section entitled “HCRA Financial Plan” below.

PUBLIC HEALTH									
STATE OPERATING FUNDS SPENDING PROJECTIONS									
(millions of dollars)									
	2010-11	2011-12	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
Public Health	1,898	2,011	6%	2,027	1%	2,062	2%	2,104	2%
General Public Health Works	201	319	59%	322	1%	308	-4%	308	0%
Early Intervention	230	167	-27%	165	-1%	169	2%	173	2%
Child Health Plus	341	325	-5%	346	6%	371	7%	397	7%
EPIC	322	232	-28%	166	-28%	174	5%	182	5%
HCRA Program Account	304	498	64%	473	-5%	486	3%	488	0%
All Other	500	470	-6%	555	18%	554	0%	556	0%
Aging	117	110	-6%	112	2%	112	0%	112	0%

Year-to-year growth in the GPHW program reflects lower spending in FY 2011 due to delays in planned payments instituted by the DOH that are not expected to continue. A projected increase in enrollment in the CHP program and inflationary costs are expected to drive growth in the outyears of the plan.

EPIC spending is projected to decline through FY 2013, resulting from budgetary actions to provide EPIC coverage to Medicare Part D enrollees only when they are in the coverage gap. After FY 2013 spending is projected to increase slightly as a reflection of the rising costs of prescription medication.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HCRA FINANCIAL PLAN

HCRA was established in 1996 to help finance a portion of State health care activities in various areas of the budget: Medicaid, Public Health, Office for the Aging, and the Insurance Department. Extensions and modifications to HCRA have financed new health care programs, including FHP, and provided additional funding for the expansion of existing programs such as CHP. HCRA has also provided additional financing for the health care industry, including investments in worker recruitment and retention, and the HEAL NY capital program. The FY 2012 Enacted Budget extends the HCRA authorization three years to March 31, 2014.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as potential future proceeds from insurance company conversions.

HCRA spending partially finances Medicaid, EPIC, CHP, and FHP, and Indigent Care payments, which provide funds to hospitals that serve a disproportionate share of individuals without health insurance; as well as funds Workforce Recruitment and Retention grants and rate adjustments to health facilities; physician excess medical malpractice; and, HEAL NY funds for capital improvement to health care facilities.

HCRA FINANCIAL PLAN 2010-11 THROUGH 2014-15					
(millions of dollars)					
	2010-11 Results	2011-12 Enacted	2012-13 Projected	2013-14 Projected	2014-15 Projected
Opening Balance	26	159	0	0	0
Total Receipts	5,286	5,482	6,086	6,220	6,317
Surcharges	2,743	2,810	3,089	3,173	3,266
Covered Lives Assessment	1,021	1,050	1,045	1,045	1,045
Cigarette Tax Revenue	1,136	1,194	1,254	1,232	1,210
Conversion Proceeds	0	0	250	300	300
Hospital Assessment (1 percent)	317	373	394	417	444
NYC Cigarette Tax Transfer/Other	69	55	54	53	52
Total Disbursements	5,153	5,641	6,086	6,220	6,317
Medicaid Assistance Account	<u>2,843</u>	<u>3,390</u>	<u>3,822</u>	<u>3,914</u>	<u>4,127</u>
Medicaid Costs	1,600	2,091	2,500	2,593	2,805
Family Health Plus	597	635	657	657	657
Workforce Recruitment & Retention	196	197	197	197	197
All Other	450	467	468	467	468
HCRA Program Account	326	522	496	509	511
Hospital Indigent Care	871	792	792	792	792
Elderly Pharmaceutical Insurance Coverage	195	165	166	174	182
Child Health Plus	348	332	354	379	405
Public Health Programs	111	120	120	120	120
All Other	459	320	336	332	180
Annual Operating Surplus/(Deficit)	133	(159)	0	0	0
Closing Balance	159	0	0	0	0

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. These spending reductions could potentially affect core HCRA programs. The reauthorizations of HCRA in prior years maintained HCRA's balance without the need for automatic spending reductions.

Given the close relationship between the General Fund and HCRA, any balances in HCRA are typically eliminated by adjusting the level of Medicaid expenditures that HCRA finances. This reduces costs that otherwise would have been paid for by the General Fund. Conversely, any shortfall in HCRA is expected to be financed by the General Fund.

MENTAL HYGIENE

The Department of Mental Hygiene is comprised of four independent agencies, OMH, OPWDD, OASAS, and DDPC as well as one oversight agency, the CQCAPD. Services are administered to adults with serious and persistent mental illness; children with serious emotional disturbances; individuals with developmental disabilities, and their families; and persons with chemical dependence. These agencies provide services directly to their patients through State-operated facilities and indirectly through community service providers. The cost of providing services and agency operations are funded by reimbursement from Medicaid, Medicare, third-party insurance, and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, with the remaining revenue deposited to the PIA, which supports State costs of providing services.

MENTAL HYGIENE STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	<u>2010-11</u>	<u>2011-12</u>	<u>Annual % Change</u>	<u>2012-13</u>	<u>Annual % Change</u>	<u>2013-14</u>	<u>Annual % Change</u>	<u>2014-15</u>	<u>Annual % Change</u>
Office for People with Devel. Disabilities:	2,176	2,158	-1%	2,271	5%	2,467	9%	2,577	4%
Residential Services	1,537	1,496	-3%	1,578	5%	1,717	9%	1,797	5%
Day Programs	555	581	5%	607	4%	655	8%	681	4%
Clinic	22	22	0%	23	5%	25	9%	27	8%
Other	62	59	-5%	63	7%	70	11%	72	3%
CQCAPD	1	1	0%	1	0%	1	0%	1	0%
Mental Health:	1,106	1,126	2%	1,247	11%	1,350	8%	1,441	7%
Adult Local Services	885	901	2%	998	11%	1,080	8%	1,153	7%
OMH Children Local Services	221	225	2%	249	11%	270	8%	288	7%
Alcohol and Substance Abuse:	295	316	7%	334	6%	351	5%	351	0%
Prevention	37	40	8%	42	5%	44	5%	44	0%
Program Support	9	10	11%	10	0%	11	10%	11	0%
Residential	96	103	7%	109	6%	114	5%	114	0%
Outpatient/Methadone	142	152	7%	161	6%	169	5%	169	0%
Crisis	11	11	0%	12	9%	13	8%	13	0%

Local assistance spending in mental hygiene accounts for approximately half of total mental hygiene State spending and is projected to grow by an average rate of 5.2 percent over the plan. This growth is attributable to increases in the projected State share of Medicaid costs and projected expansion of the various mental hygiene service systems, including: increases primarily associated with the OPWDD NYS-CARES program; the New York/New York III Supportive Housing agreement and community beds that are currently under development in the

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OMH pipeline, as well as funds for additional supported housing beds and associated support services for individuals leaving certain New York city adult homes, pursuant to a Federal district court order; and several chemical dependence treatment and prevention initiatives in OASAS.

SOCIAL SERVICES

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	2010-11	2011-12	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
Temporary and Disability Assistance	1,202	1,412	17%	1,549	10%	1,599	3%	1,612	1%
Public Assistance Benefits	309	485	57%	622	28%	658	6%	658	0%
SSI	722	740	2%	753	2%	766	2%	779	2%
Welfare Initiatives	13	23	77%	7	-70%	7	0%	7	0%
All Other	158	164	4%	167	2%	168	1%	168	0%

The State share of OTDA spending is expected to grow primarily due to the loss of Federal TANF Contingency Funds, resulting in costs reverting back to state funding. The average public assistance caseload is projected to total 531,723 recipients in FY 2012, a decrease of 1.4 percent from FY 2011 levels. Approximately 252,353 families are expected to receive benefits through the Family Assistance program, a decrease of 1.3 percent from the current year. In the Safety Net program, an average of 116,313 families are expected to be helped in FY 2012, a decrease of 2.1 percent. The caseload for single adults/childless couples supported through the Safety Net program is projected at 163,057, a decrease of 1.1 percent. Despite the decreases in projected caseload, the State share of public assistance benefits increases in FY 2012 due to the loss of Federal funding described above.

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local departments of social services and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

CHILDREN AND FAMILY SERVICES STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	2010-11	2011-12	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
Children and Family Services	1,667	1,717	3%	1,903	11%	2,123	12%	2,274	7%
Child Welfare Service	490	499	2%	634	27%	827	30%	947	15%
Foster Care Block Grant	433	436	1%	464	6%	493	6%	493	0%
Adoption	196	185	-6%	198	7%	212	7%	219	3%
Day Care	134	145	8%	143	-1%	139	-3%	139	0%
C.S.E.	65	38	-42%	43	13%	50	16%	57	14%
Adult Protective/Domestic Violence	42	44	5%	53	20%	63	19%	74	17%
Youth Programs	113	137	21%	127	-7%	111	-13%	111	0%
Medicaid	69	111	61%	121	9%	132	9%	138	5%
All Other	125	122	-2%	120	-2%	96	-20%	96	0%

OCFS spending is projected to increase, driven primarily by expected growth in claims-based programs. Growth in Child Welfare Services and Adult Protective/Domestic Violence reflects anticipated growth in local claims and flat Federal funding. Growth in Foster Care Block Grant is attributable to the Human Services cost-of-living adjustment. Projected growth in Medicaid from FY 2011 to FY 2012 is primarily attributable to the annualization of costs related to the Bridges to Health Medicaid Waiver program.

TRANSPORTATION

In FY 2012, the DOT will provide \$4.2 billion in local assistance to support statewide mass transit systems. This funding, financed through the collection of dedicated taxes and fees, is provided to mass transit operators throughout the State to support operating costs. The MTA, due to the size and scope of its transit system, receives the majority of the statewide mass transit operating aid. Additionally, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District. The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit system. Spending from this fund is projected to grow modestly in FY 2013 and later years, commensurate with the forecasted growth in receipts.

TRANSPORTATION STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	2010-11	2011-12	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
Transportation	4,254	4,236	0%	4,325	2%	4,405	2%	4,495	2%
Mass Transit Operating Aid:	<u>1,894</u>	<u>1,772</u>		<u>1,772</u>		<u>1,772</u>		<u>1,772</u>	
Metro Mass Transit Aid	1,750	1,633	-7%	1,633	0%	1,633	0%	1,633	0%
Public Transit Aid	92	87	-5%	87	0%	87	0%	87	0%
18-B General Fund Aid	27	27	0%	27	0%	27	0%	27	0%
School Fare	25	25	0%	25	0%	25	0%	25	0%
Mobility Tax and MTA Aid Trust	1,662	1,756	6%	1,841	5%	1,927	5%	2,017	5%
Dedicated Mass Transit	653	661	1%	665	1%	659	-1%	659	0%
AMTAP	43	45	5%	45	0%	45	0%	45	0%
All Other	2	2	0%	2	0%	2	0%	2	0%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

LOCAL GOVERNMENT ASSISTANCE

Direct aid to local governments primarily includes the AIM program, which was created in 2005-06 to consolidate various unrestricted local aid funding streams. Along with AIM, the State provides incentive grants to local governments to promote local efforts to increase efficiency and performance through consolidation or shared services. Other direct aid to local governments includes VLT impact aid, Small Government Assistance and Miscellaneous Financial Assistance.

LOCAL GOVERNMENT ASSISTANCE STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	2010-11	2011-12	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
Local Government Assistance	776	767	-1%	797	4%	787	-1%	787	0%
AIM:									
Big Four Cities	438	429	-2%	429	0%	429	0%	429	0%
Other Cities	222	218	-2%	218	0%	218	0%	218	0%
Towns and Villages	69	68	-1%	68	0%	68	0%	68	0%
Efficiency Incentives	10	15	50%	45	200%	44	-2%	44	0%
All Other Local Aid	37	37	0%	37	0%	28	-24%	28	0%

All other Local Assistance spending

Other local assistance programs and activities include criminal justice, economic developments, housing, parks and recreation and environmental quality. Spending in these areas is not expected to change materially over the Financial Plan period.

AGENCY OPERATIONS

Agency operating costs includes personal service, non-personal service costs and GSCs. Personal service includes salaries of State employees of the Executive, Legislative, and Judicial branches, as well as overtime payments and costs for temporary employees. Non-personal service generally accounts for the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, information technology, and professional business services), supplies and materials, equipment, telephone service and employee travel. GSCs account for the costs of fringe benefits (e.g., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State. In addition, certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and not reflected in the State Operating Funds personal service or non-personal service totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which primarily represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which primarily represents professional and technical personnel (e.g., attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Selected assumptions used in preparing the spending projections for the State's major programs and activities are summarized in the following table.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	Results	Forecast			
	2010-11	2011-12	2012-13	2013-14	2014-15
Negotiated Salary Increases ¹	4.0%	TBD	TBD	TBD	TBD
State Workforce ²	125,787	126,395	126,395	TBD	TBD
ERS Pension Contribution Rate: ³					
Before Amortization	12.1%	16.7%	18.0%	20.0%	20.9%
After Amortization	9.5%	10.5%	11.5%	12.5%	13.5%
PFRS Pension Contribution Rate:					
Before Amortization	18.3%	22.1%	24.2%	26.4%	27.1%
After Amortization	17.5%	18.5%	19.5%	20.5%	21.5%
Employee/Retiree Health Insurance Growth Rates	13.3%	11.4%	8.5%	8.5%	8.5%
PS/Fringe as % of Receipts (All Funds Basis)	14.9%	14.8%	15.8%	15.7%	15.4%

¹ Reflects current collective bargaining agreements with settled unions. The Governor withheld Management/Confidential salary increases in 2009-10 and 2010-11. Does not reflect potential impact of negotiated workforce savings.

² Subject to Direct Executive Control. This table does not reflect layoffs that may be necessary in the absence of negotiated workforce savings.

³ As Percent of Salary.

Growth in State Operations spending over the multi-year Financial Plan is concentrated in agencies that operate large facilities, such as the State University, the Mental Hygiene agencies, Corrections and Community Supervision, and Children and Family Services. The main causes of growth include inflationary increases in operating costs expected for food, medical care and prescription drugs, and energy costs in State facilities.

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS - STATE OPERATIONS					
(millions of dollars)					
	2010-11	2011-12	2012-13	2013-14	2014-15
Personal Service	12,422	11,677	11,971	12,174	12,468
Non-Personal Service	4,965	5,051	5,574	5,534	5,726
Statewide Total	17,387	16,728	17,545	17,708	18,194
<i>Annual \$ Change</i>		-659	817	163	486
<i>Annual % Change</i>		-4%	5%	1%	3%
Executive Agencies:					
Mental Hygiene	3,085	3,037	3,090	3,140	3,207
Corrections and Community Supervision	2,575	2,306	2,362	2,432	2,495
State Police	657	621	603	608	612
Health	548	563	561	577	590
Tax and Finance	413	380	380	386	391
Children and Family Services	321	304	331	329	335
Environmental Conservation	256	231	229	229	229
Financial Services	212	234	231	234	237
Temporary and Disability Assistance	167	170	174	175	177
Lottery	153	165	164	168	171
All Other	1,596	1,186	1,519	1,259	1,266
Total	9,983	9,197	9,644	9,537	9,710
<i>Annual \$ Change</i>		-786	447	-107	173
<i>Annual % Change</i>		-8%	5%	-1%	2%
University System:					
State University	4,880	5,021	5,186	5,333	5,466
City University	117	137	147	150	150
SUNY Construction Fund	14	19	19	19	19
Total	5,011	5,177	5,352	5,502	5,635
<i>Annual \$ Change</i>		166	175	150	133
<i>Annual % Change</i>		3%	3%	3%	2%
Independent Agencies:					
Judiciary	1,869	1,839	2,010	2,119	2,292
Legislature	223	219	228	232	235
Law	162	159	166	170	172
Audit & Control	139	137	145	148	150
Total	2,393	2,354	2,549	2,669	2,849
<i>Annual \$ Change</i>		-39	195	120	180
<i>Annual % Change</i>		-2%	8%	5%	7%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Agency redesign savings over the Plan period are expected to be achieved through several means including, but not limited to, facility closures reflecting excess capacity conditions, operational efficiencies, and wage and benefit changes negotiated with the State's employee unions. If the State is unsuccessful in negotiating changes, DOB expects that significant layoffs would be necessary to achieve the State agency savings expected in the Financial Plan.

The SAGE Commission is charged with making recommendations to reduce the number of State agencies, authorities, and commissions by 20 percent over the next four years. The Financial Plan does not currently include specific savings from the SAGE Commission, but the Commission is expected to aid in achieving the aggressive savings targets for State agencies.

The table above does not reflect the reductions in spending for the Department of Financial Services that will occur as the result of cost control and efficiency actions made feasible by the merger of the Insurance and Banking departments. It is expected that the first quarter update will revise downward the spending estimates included herein for FY 2012 and beyond.

GENERAL STATE CHARGES

Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, Social Security, health insurance, workers' compensation, unemployment insurance, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations. However, certain agencies including the Judiciary and SUNY, directly pay all or a portion of their employees' fringe benefit costs from their respective budgets. Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include certain fixed costs such as State taxes paid to local governments for certain State-owned lands and payments related to lawsuits against the State and its public officers.

GENERAL STATE CHARGES									
STATE OPERATING FUNDS SPENDING PROJECTIONS									
(millions of dollars)									
	2010-11	2011-12	Annual % Change	2012-13	Annual % Change	2013-14	Annual % Change	2014-15	Annual % Change
Fringe Benefits:									
Health Insurance	3,055	3,429	12.2%	3,785	10.4%	4,118	8.8%	4,145	0.7%
Employee Health Insurance	1,834	2,144	16.9%	2,367	10.4%	2,575	8.8%	2,592	0.7%
Retiree Health Insurance	1,221	1,285	5.2%	1,418	10.4%	1,543	8.8%	1,553	0.6%
Pensions	1,470	1,670	13.6%	1,857	11.2%	2,113	13.8%	2,411	14.1%
Social Security	970	972	0.2%	964	-0.8%	974	1.0%	973	-0.1%
All Other Fringe	257	131	-49.0%	187	42.7%	102	-45.5%	119	16.7%
Fixed Costs	350	328	-6.3%	332	1.2%	337	1.5%	342	1.5%
Total State Operating Funds	6,102	6,530	7.0%	7,125	9.1%	7,644	7.3%	7,990	4.5%

MULTI-YEAR FINANCIAL PLAN PROJECTIONS

GSCs are projected to grow at an average annual rate of 7 percent over the plan period. The growth is mainly due to anticipated cost increases in pensions and health insurance for active and retired State employees. The projections assume the amortization of pension costs. See “Other Matters Affecting the Financial Plan — Pension Amortization” herein.

DEBT SERVICE

The State pays debt service on all outstanding State-supported bonds. These include general obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (i.e., ESDC, DASNY, and the TA, subject to an appropriation). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)				
	2010-11 Results	2011-12 Enacted	Annual Change	Percent Change
General Fund	1,737	1,449	(288)	-16.6%
Other State Support	3,878	4,406	528	13.6%
State Operating Funds	5,615	5,855	240	4.3%
Total All Funds	5,615	5,855	240	4.3%

Total debt service is projected at \$5.9 billion in FY 2012, of which \$1.4 billion is paid from the General Fund through transfers and \$4.4 billion from other State funds. The General Fund transfer primarily finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State’s revenue bonds, including PIT bonds, DHBTF bonds, and mental health facilities bonds.

Enacted budget projections for debt service spending have been revised to reflect the pre-payment of \$154 million of SUNY debt service in March 2011. Otherwise, FY 2012 debt service estimates are relatively unchanged, with minor revisions for Dedicated Highway, general obligation, and PIT bonding programs.

FISCAL YEAR 2011 PRELIMINARY YEAR-END RESULTS

SUMMARY

The State ended FY 2011 in balance on a cash basis in the General Fund, based on preliminary, unaudited results. The General Fund ended FY 2011 with a closing balance of \$1.38 billion, consisting of \$1.0 billion in the Tax Stabilization Reserve, \$175 million in the Rainy Day Reserve, \$136 million in the Community Projects Fund, \$21 million in the Contingency Reserve, and \$13 million in an undesignated fund balance. The ending balance was \$19 million higher than projected in the last public update. This difference reflected a higher balance of \$42 million in the Community Projects Fund due to lower than projected spending offset by the use of an additional \$23 million of the undesignated fund balance.

GENERAL FUND RESULTS: APRIL 2010 THROUGH MARCH 2011 (UNAUDITED)					
(millions of dollars)					
	Projections			Favorable/ (Unfavorable) vs.	
	2010-11 Enacted Budget	2011-12 Executive Budget	Preliminary Results	2010-11 Enacted Budget	2011-12 Executive Budget
Opening Balance (April 1, 2010)	2,302	2,302	2,302	n/a	n/a
Receipts	54,676	54,104	54,447	(229)	343
Personal Income Tax ¹	32,168	31,081	31,519	(649)	438
User Taxes and Fees ¹	11,128	11,103	11,146	18	43
Business Taxes	5,714	5,664	5,279	(435)	(385)
Other Taxes ¹	1,319	1,533	1,585	266	52
Non-Tax Revenue	4,347	4,723	4,918	571	195
Disbursements	55,593	55,049	55,373	220	(324)
Local Assistance	37,508	37,246	37,206	302	40
Personal Service	6,285	6,261	6,151	134	110
Non-Personal Service	1,740	1,785	1,822	(82)	(37)
General State Charges	4,128	4,111	4,187	(59)	(76)
Transfers To Other Funds	5,932	5,646	6,007	(75)	(361)
Change in Operations	(917)	(945)	(926)	(9)	19
Closing Balance (March 31, 2011)	1,385	1,357	1,376	(9)	19

¹ Tax receipts include transfers from other funds after debt service.

Receipts, including transfers from other funds, totaled \$54.4 billion, an increase of \$343 million from the last public forecast.¹⁰ Tax receipts exceeded projections by approximately \$150 million, with stronger than expected collections in PIT and sales taxes offset in part by lower collections for business taxes. All planned refunds were made according to schedule. Other sources of General Fund receipts (including transfers of fund balances, miscellaneous receipts, and Federal grants) were approximately \$195 million above planned levels. This was almost

¹⁰ "FY 2012 Executive Budget Financial Plan Updated for Governor's Amendments and Forecast Revisions," March 3, 2011.

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

exclusively due to the transfer of excess balances from certain special revenue funds at the close of the fiscal year.

General Fund disbursements, including transfers to other funds, totaled \$55.4 billion, an increase of \$324 million from the last public forecast. The increase was due in part to the timing of payments that were due and budgeted for the first quarter of FY 2012 but that were made in the final quarter of FY 2011. The payments included approximately \$154 million for debt service expenses and \$100 million for health care expenses. In addition, expenses were above planned levels for: capital projects (\$93 million), reflecting additional support for the DHBTF, the repayment of an outstanding STIP loan balance, and the timing of certain bond reimbursements; GSCs (\$76 million), reflecting higher costs for employee health insurance and certain fixed costs; and the State-share mental hygiene transfer for Medicaid (\$62 million). Spending was lower for a range of other activities (approximately \$160 million), including public health, member-item grants, and State agency operations.

SUMMARY EXPLANATION OF VARIANCE FROM EXECUTIVE BUDGET

Preliminary General Fund tax collections for FY 2011 were \$148 million above the FY 2011 Executive Budget Financial Plan projections. Personal income tax collections were \$438 million above planned levels due mainly to higher withholding tax. Business taxes were \$385 million below the estimates due to lower collections from corporate franchise tax audits.

Non-tax receipts were \$195 million higher than projected mainly attributable to higher than planned transfers from the HCRA Resource Fund (\$225 million), EPIC Premium Account (\$25 million), and the Mental Hygiene program (\$118 million), partially offset by a reduced transfer from the Tribal State Compact revenue account (\$96 million).

The most significant spending variances are detailed below:

- State Operations spending was \$73 million lower than projections. The lower spending reflected, in large part, the impact of statewide cost controls.
- GSCs spending was \$76 million above projections, primarily as a result of lower escrow receipts from other funds (\$28 million), lower savings from planned workforce reductions (\$19 million), higher taxes on public land (\$13 million), and higher SUNY spending (\$11 million).
- Transfers to Other Funds were \$361 million above projections. Transfers for State-share Medicaid was related to mental hygiene (\$62 million), DHBTF (\$30 million), Stem Cell (\$41 million), and other purposes (\$74 million) were above planned levels. In addition, certain debt service expenses were paid in advance (\$154 million).

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

GENERAL FUND ANNUAL CHANGE

GENERAL FUND RESULTS: APRIL THROUGH MARCH			
(millions of dollars)			
	2009-10 Actual	2010-11 Preliminary Results	Increase/ (Decrease) from Prior Year
Opening Balance (April 1)	1,948	2,302	354
Receipts	52,556	54,447	1,891
Personal Income Tax ¹	30,296	31,519	1,223
User Taxes and Fees ¹	10,274	11,146	873
Business Taxes	5,371	5,279	(92)
Other Taxes ¹	1,067	1,585	518
Non-Tax Revenue	5,549	4,918	(631)
Disbursements	52,202	55,373	3,171
School Aid	17,547	16,645	(902)
2009-10 End of Year Payment Delay	(2,060)	2,060	4,120
Medicaid (DOH)	6,789	7,479	690
Higher Education	2,795	2,447	(348)
Temporary and Disability Assistance	1,305	1,196	(109)
All Other Local	7,858	7,379	(479)
Personal Service	6,610	6,151	(459)
Non-Personal Service	1,977	1,822	(155)
General State Charges	3,594	4,187	593
Transfers To Other Funds	5,787	6,007	220
Change in Operations	354	(926)	(1,280)
Closing Balance (March 31)	2,302	1,376	(926)

¹ Includes transfers from other funds after debt service.

Total receipts during FY 2011 were \$1.9 billion (3.6 percent) higher than in the prior fiscal year. Total tax receipts were \$2.5 billion higher, mainly due to the growth in personal income tax collections, sales tax, estate taxes, and the real estate transfer tax, resulting from changes to the law as well as the economic recovery. Business tax collections fell by less than 2 percent from the prior year due to lower collections from the corporate and utility tax, insurance taxes, and bank taxes. Non-tax revenue was \$631 million below the prior year primarily due to the following FY 2010 collections that were not received, or received in lower amounts, in FY 2011: temporary utility surcharge (18-A assessment) (\$429 million); the Power Authority (\$158 million); the Energy Research and Development Authority (\$90 million); and fine collections (\$101 million). An increase in fund sweeps from other funds partly offset the annual decline in miscellaneous receipts.

Disbursements in FY 2011 were \$3.2 billion (6.1 percent) higher than in the prior fiscal year. Spending growth was affected by the deferral of a \$2.06 billion payment to schools from March 2010 to the statutory deadline of June 2010. Adjusting for this anomaly (that is, reducing FY 2011 results by \$2.06 billion and increasing FY 2010 results by an equal amount), spending would have been roughly \$950 million below FY 2010 levels.

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

Local assistance spending, adjusted for the School Aid deferral, declined by roughly \$1.1 billion compared to FY 2010. This reflected lower general School Aid spending from savings enacted in the FY 2011 Budget; the delay of a \$300 million CUNY Senior College payment from FY 2009 to June of FY 2010, which increased FY 2010 spending relative to FY 2011; the elimination of approximately \$300 million in annual AIM funding for New York City that would have been paid by December 2010; and additional Federal funding for public assistance benefit costs and State and local child welfare shares, which reduces General Fund spending. These declines were partly offset by higher Medicaid spending due to rising costs of providing Medicaid services and reductions in the amount of available offsets from HCRA related to Indigent Care.

The annual change in personal service spending is mainly due to the payment of \$270 million in retroactive salary settlements for employees represented by NYSCOPBA, PBA and BCI in FY 2010 and reductions across nearly all agencies. Non-personal service spending dropped by \$155 million (7.8 percent) over the prior year, reflecting the impact of strict spending controls.

Growth in GSCs spending was attributable to the increase in State contributions to the pension system and increased health insurance costs. Pension costs increased by \$315 million in FY 2011, after the amortization of \$249 million in costs. Health insurance costs increased by \$374 million. Transfers increased mainly for capital projects and the State share of Medicaid costs related to mental hygiene programs.

STATE OPERATING FUNDS OPERATING RESULTS

STATE OPERATING FUNDS RESULTS: APRIL 2010 THROUGH MARCH 2011 (UNAUDITED)					
(millions of dollars)					
	Projections		Preliminary Results	Favorable/ (Unfavorable) vs.	
	2010-11 Enacted Budget ¹	2011-12 Executive Budget ²		2010-11 Enacted Budget ¹	2011-12 Executive Budget
Opening Balance (April 1, 2010)	4,811	4,811	4,811	n/a	n/a
Receipts	79,325	78,917	78,792	(533)	(125)
Tax	60,484	59,465	59,532	(952)	67
Miscellaneous Receipts	18,730	19,331	19,149	419	(182)
Federal Grants	111	121	111	-	(10)
Disbursements	85,303	84,964	84,417	886	547
Local Assistance	56,283	55,732	55,295	988	437
Personal Service	12,388	12,462	12,422	(34)	40
Non-Personal Service	5,040	5,227	4,965	75	262
General State Charges	6,074	6,056	6,102	(28)	(46)
Debt Service	5,516	5,485	5,615	(99)	(130)
Capital Projects	2	2	18	(16)	(16)
Other Financing Sources	4,871	5,036	4,784	87	252
Change in Operations	(1,107)	(1,011)	(841)	(1,332)	(420)
Closing Balance (March 31, 2011)	3,704	3,800	3,970	266	170

¹ Reflects the reclassification of certain Special Revenue Fund Accounts from Federal Operating Funds to State Operating Funds.

² Executive Budget Financial Plan, as amended.

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

SUMMARY EXPLANATION OF VARIANCE FROM EXECUTIVE BUDGET

State Operating Funds ended March 2011 with a closing balance of \$4.0 billion, \$170 million greater than the estimate in the Executive Budget Financial Plan. Receipts were \$125 million lower than projected primarily due to lower miscellaneous receipts related to the Tribal-State Compact (\$117 million) and other sources (\$65 million) offset by higher taxes (\$67 million).

Disbursements were \$547 million less than planned, reflecting the impact of spending controls on State Operations (\$262 million) and lower spending across a range of programs, including local public health programs, FSHRP and EPIC (\$241 million); Mental Hygiene (\$39 million); STAR (\$36 million); and the Tribal-State Compact account (\$27 million). Spending was also affected by routine timing variances in the areas of public protection (\$43 million), economic development (\$23 million), transportation (\$17 million), and general government (\$13 million).

STATE OPERATING FUNDS ANNUAL CHANGE

STATE OPERATING FUNDS RESULTS: APRIL THROUGH MARCH			
(millions of dollars)			
	2009-10 Actual¹	2010-11 Preliminary (Unaudited)	Increase/ (Decrease) from Prior Year
Opening Balance (April 1)	4,876	4,811	(65)
Receipts	75,847	78,792	2,945
Tax	56,246	59,532	3,286
Miscellaneous Receipts	19,516	19,149	(367)
Federal Grants	85	111	26
Disbursements	80,659	84,417	3,758
Local Assistance	52,323	55,295	2,972
Personal Service	12,748	12,422	(326)
Non-Personal Service	5,115	4,965	(150)
General State Charges	5,501	6,102	601
Debt Service	4,961	5,615	654
Capital Projects	11	18	7
Other Financing Sources	4,747	4,784	37
Change in Operations	(65)	(841)	(776)
Closing Balance (March 31)	4,811	3,970	(841)

¹ Reflects the reclassification of certain Special Revenue Fund Accounts from Federal Operating Funds to State Operating Funds.

Receipts during FY 2011 were \$2.9 billion, or 3.9 percent higher than in the prior fiscal year, primarily attributable to tax receipts as explained in the General Fund annual change above.

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

Disbursements in FY 2011 were \$3.8 billion or 4.7 percent higher than in FY 2010. Increased debt service spending and the annualization of spending from the MTA Financial Assistance Fund (established in November 2009) augment the General Fund spending increases detailed above.

ALL GOVERNMENTAL FUNDS OPERATING RESULTS

All GOVERNMENTAL FUNDS PRELIMINARY SPENDING RESULTS: APRIL 2010 to MARCH 2011 (millions of dollars)							
	Projections				Preliminary Results	Favorable/ (Unfavorable) vs.	
	2010-11 Enacted Budget	Reclass ¹	Revised Enacted Budget	2011-12 Executive Budget		2010-11 Enacted Budget	2011-12 Executive Budget
State Operating Funds	81,058	4,244	85,302	84,964	84,417	885	547
General Fund ²	49,661	0	49,661	49,403	49,366	295	37
Special Revenue Funds	25,789	4,244	30,033	29,997	29,373	660	624
Debt Service Funds	5,608	0	5,608	5,564	5,678	(70)	(114)
All Governmental Funds	135,887	0	135,887	136,114	134,825	1,062	1,289
State Operating Funds	81,058	4,244	85,302	84,964	84,417	885	547
Capital Projects Funds	8,454	0	8,454	8,274	7,844	610	430
Federal Operating Funds	46,375	(4,244)	42,131	42,876	42,564	(433)	312

¹ Reflects the reclassification of certain Special Revenue Fund Accounts from Federal Operating Funds to State Operating Funds.
² Excludes Transfers.

SUMMARY EXPLANATION OF VARIANCES FROM EXECUTIVE BUDGET

In addition to State Operating Funds variances described in previous sections, Capital Projects Funds disbursements were lower than Executive Budget estimates largely due to underspending in transportation (\$125 million), economic development projects (\$68 million), and public protection, especially corrections (\$65 million), and timing issues related to Education, primarily SUNY (\$46 million).

Lower Federal Operating Funds spending is largely due to timing delays in Medicaid (\$687 million); reductions in personal service and non-personal services (\$129 million); and lower local assistance spending in disaster assistance (\$65 million), housing (\$65 million), and Labor (\$56 million). Approximately \$80 million of the variance is attributable to ARRA funding that was not disbursed as expected, in particular for programs related to weatherization, clean water/drinking water and labor. These reductions to spending were partly offset by higher School Aid spending due to continued claiming of ARRA funds, primarily by school districts (\$737 million).

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

ALL GOVERNMENTAL FUNDS ANNUAL CHANGE

ALL GOVERNMENTAL FUNDS YEAR OVER YEAR CHANGE: APRIL to MARCH					
(millions of dollars)					
	<u>2009-10</u>	<u>Reclass¹</u>	<u>Revised 2009-10</u>	<u>2010-11</u>	<u>Increase/ (Decrease) from Prior Year</u>
State Operating Funds	<u>76,874</u>	<u>3,785</u>	<u>80,659</u>	<u>84,417</u>	<u>3,758</u>
General Fund ²	46,415	0	46,415	49,366	2,951
Special Revenue Funds	25,447	3,785	29,232	29,373	141
Debt Service Funds	5,012	0	5,012	5,678	666
All Governmental Funds	<u>126,877</u>	<u>0</u>	<u>126,877</u>	<u>134,825</u>	<u>7,948</u>
State Operating Funds	76,874	3,785	80,659	84,417	3,758
Capital Projects Funds	7,112	0	7,112	7,844	732
Federal Operating Funds	42,891	(3,785)	39,106	42,564	3,458

¹ Reflects the reclassification of certain Special Revenue Fund Accounts from Federal Operating Funds to State Operating Funds.
² Excludes Transfers.

In addition to State Operating Funds annual changes described in previous sections, the annual change in Capital Projects Fund is primarily due to Economic Development investments (\$313 million), including the start of construction of the GlobalFoundries facility in Upstate New York; continued support of an international computer chip research and development center; and the Restore NY Communities Initiative; as well as increases in the areas of Transportation (\$237 million), and Parks and the Environment (\$149 million), largely attributable to spending on new projects that qualify for Federal funding, especially ARRA funds.

In Federal Operating Funds, School Aid increased by \$1.4 billion from FY 2010. This was attributable to the impact of delays during FY 2010 for the reimbursement of claims from Federal ARRA funds, due in part to enhanced eligibility criteria for the submission of claims by school districts. Additionally, disbursements from ARRA funds accelerated during the last two months of FY 2011, as education providers began submitting their remaining claims for reimbursement from available ARRA funding. Growth in Medicaid was primarily attributable to increased spending in Federal Medicaid cycles (\$621 million) as well as increased Federal spending related to enhanced FMAP (\$546 million). All Other Education experienced delays of the reimbursement of claims from Federal funds during FY 2010, particularly for the pre-school special education program, due mainly to enhanced eligibility criteria for the submission of claims by counties and school districts (\$453 million). Public Health expenditures increased due to additional enrollment in the CHP program, resulting from prior-year initiatives aimed at expanding the program population (\$101 million).

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

FY 2011 RESULTS COMPARED TO FY 2011 ENACTED BUDGET

The table below summarizes the major sources of change from the initial FY 2011 projections to the FY 2011 year-end results in the General Fund, State Operating Funds, Federal Funds, Capital Funds, and All Funds.

2010-11 PRELIMINARY SPENDING RESULTS COMPARED TO ENACTED BUDGET PROJECTIONS						
MAJOR SOURCES OF CHANGE						
(millions of dollars)						
	General Fund ¹	Other State Funds	State Operating Funds	Federal Funds	Capital Funds	All Funds
2010-11 Enacted Budget (August 20, 2010)	49,661	31,397	81,058	46,375	8,454	135,887
Special Revenue Funds Reclassification ²	0	4,246	4,246	(4,246)	0	0
2010-11 Enacted Budget (Restated)	49,661	35,643	85,304	42,129	8,454	135,887
School Aid	(204)	50	(154)	363	0	209
STAR	0	(66)	(66)	0	0	(66)
Other Education Aid	(40)	(8)	(48)	(230)	(40)	(318)
Medicaid (DOH, including administration)	386	(122)	264	124	0	388
Public Health/Aging	(180)	(253)	(433)	231	(108)	(310)
Mental Hygiene	4	(340)	(336)	54	1	(281)
Higher Education	(95)	80	(15)	29	(238)	(224)
Temporary and Disability Assistance	40	(26)	14	126	10	150
Children and Family Services	(211)	(9)	(220)	(65)	(2)	(287)
Transportation	(2)	(54)	(56)	7	(270)	(319)
General State Charges	58	(31)	27	(3)	0	24
Correctional Services	(65)	0	(65)	4	(40)	(101)
Empire State Development Corporation	(3)	0	(3)	0	162	159
Housing and Community Renewal	(2)	4	2	(65)	0	(63)
All Other	19	183	202	(140)	(85)	(23)
2010-11 Year-End Results	49,366	35,051	84,417	42,564	7,844	134,825
<i>Dollar Change</i>	(295)	(592)	(887)	435	(610)	(1,062)
<i>Percent Change</i>	-0.6%	-1.7%	-1.0%	1.0%	-7.2%	-0.8%

¹ Excludes Transfers.

² Reflects the reclassification of certain Special Revenue Fund Accounts from Federal Operating Funds to State Operating Funds to conform to OSC accounting.

The most significant spending variances from the FY 2011 Enacted Budget include:

- **Medicaid (including administration):** General Fund overspending was driven primarily by higher than projected spending on Medicaid services, including managed care and prescription drug costs. These costs were associated with rising Medicaid and FHP caseload. Higher than projected spending on Medicaid services increases the total spending in the Federal Funds, as well.

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

- **Public Health:** The General Fund variance is primarily driven by lower-than-projected spending in General Public Health Works (\$91 million) and other local programs (\$77 million), partially offset by higher-than-projected spending in the EI program (\$28 million). Underspending in other State funds is primarily driven by FSHRP (\$156 million), EPIC (\$38 million), and other HCRA programs (\$32 million). Overspending in Federal Funds is driven primarily by higher-than-projected spending in the CHP program. The variance in the Capital Funds is primarily driven by lower-than-expected capital expenditure in the HEAL NY health-related capital program.
- **School Aid:** Lower spending in the General Fund (\$204 million) is primarily due to the FMAP Contingency reduction of 1.1 percent (\$131 million) and a technical adjustment to realign the General Fund and other State-supported disbursements with available appropriation authority, which decreased General Fund spending and increased other State support from the Lottery Fund by a corresponding amount (\$50 million). Increased spending in Federal Operating Funds reflects additional funding granted to the State from the Federal Education Jobs Fund to offset education aid reductions and create or restore teaching positions, as well as an increase in Federal ARRA fund reimbursements as the submission of claims by school districts accelerated during FY 2011 (\$363 million).
- **All Other Education Aid:** Lower General Fund spending (\$40 million) primarily reflects the FMAP Contingency reduction of 1.1 percent (\$9 million) and timing delays in planned disbursements for certain local assistance programs (\$30 million), including the Higher Education Opportunity Program, the Liberty Partnerships Program, and the Extended Day and School Violence Prevention Program. Reduced spending in the Federal Operating Funds is due to changes to the assumed timing of payments from Federal ARRA and the Federal Race to the Top Program (\$230 million). Lower spending in rehabilitation projects at various State Education Department facilities resulted in reduced capital spending (\$40 million).
- **STAR:** The variances was due to the FMAP Contingency reduction of 1.1 percent (\$30 million) as well as lower-than-expected payments for basic and enhanced school property tax exemptions to homeowners which are driven by participation rates, property tax rates, and property values (\$36 million).
- **Higher Education:** Lower General Fund spending of \$95 million largely reflects savings from workforce reductions which were assumed centrally in the State's Financial Plan, but not allocated by agency at the time of the Enacted Budget. Increased spending in other State funds of \$80 million is due to higher spending on campus equipment, general campus services, academic programs and various student services at SUNY. In capital projects funds, slower-than-expected implementation of SUNY's current capital program was due to the late enactment of the FY 2011 Budget, resulted in lower spending of \$238 million.

FISCAL YEAR 2011 - PRELIMINARY YEAR-END RESULTS

- **Temporary and Disability Assistance:** The General Fund variance is due primarily to higher-than-projected spending in public assistance payments (\$23 million) and SSI payouts (\$15 million). Federal Funds experienced higher spending in TANF initiatives than originally projected (\$126 million).
- **Children and Family Services:** The General Fund variance is due to lower-than-projected spending in Child Welfare Services (\$99 million), OCFS Medicaid (\$63 million), State Operations (\$11 million), Adoption (\$14 million), Day Care (\$10 million), and all other programs. The variance in the Federal Operating Funds is caused by timing of spending in Foster Care and Adoption (\$47 million), and lower-than-anticipated disbursements in other programs (\$25 million), and in State Operations (\$13 million).
- **Mental Hygiene:** Reductions in other State funds is driven by lower-than-projected spending in OPWDD (\$118 million) and GSCs (\$74 million), as well as current year savings actions taken in OMH local assistance (\$50 million). Overspending in federal funds is driven primarily by higher-than-projected local assistance spending in OASAS from Federal Funds (\$42 million) and OMH (\$36 million), offset by lower-than-projected Federal Fund NPS spending in OPWDD (\$25 million).
- **Transportation:** Lower-than-expected spending from State Operating Funds (\$56 million) primarily reflects the timing of payments from the MTA financial assistance fund, for which spending is statutorily based on the level of Metropolitan Commuter Transportation District tax and fee revenues flowing into the fund. Lower spending in capital project funds (\$270 million) was due primarily to reflect slower than anticipated State-funded capital projects spending and savings from statewide agency reduction initiatives (including workforce savings), partially offset by an increase in federally-funded capital projects spending.
- **General State Charges:** Factors attributing to the General Fund variance include higher-than-expected health insurance payments (\$35 million), lower Social Security and Workers' Compensation payouts (\$90 million), and lower escrow receipts (\$74 million), offset by all other fringe benefits. Higher-than-planned escrow payments results in lower than projected spending in other State funds.
- **Correctional Services:** The General Fund variance is due largely to lower State Operations spending resulting from attrition, layoffs, and early retirement, as well as improved efficiencies in non-personal services (\$65 million). The variance in Capital Funds is attributable to the timing of disbursements (\$40 million).
- **Empire State Development Corporation:** The increase in capital project funds spending reflects an accelerated payment schedule of certain economic development programs administered by ESDC (\$162 million).
- **Housing and Community Renewal:** Disbursements of ARRA Weatherization Assistance Program funds were slower than initial projections (\$65 million).

FISCAL IMPACT ON LOCAL GOVERNMENTS

This section presents the estimated fiscal impact of the FY 2012 Enacted Budget on New York's municipalities as required by State Finance Law. To supplement the narrative below, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

OVERVIEW

Enacted Budget actions, in total, will result in a negative local impact estimated at \$1.4 billion for local fiscal years ending in 2012 — the first full-annual local fiscal year affected by the recently Enacted State Budget. This compares to an Executive Budget impact of negative \$1.7 billion, with the most significant changes in School Aid and Human Services. Counties and New York City will also benefit from continuation of the cap on the local share of Medicaid costs and State takeover of Family Health Plus program expenses, which will save a combined \$2.4 billion in 2012. Including this assistance, the total fiscal impact on local governments is a positive \$973 million. Net local impact by class of government, excluding the impact of the Medicaid cap, is as follows:

- **School Districts:** School districts outside of New York City will experience an \$863 million negative impact in the FY 2012 school year driven mostly by an \$844 million year-to-year reduction in School Aid that was necessary to close large State budget gaps. This net year to year School Aid change differs from the change reported in the FY 2012 Enacted Budget School Aid runs published by the State Education Department because the change in this analysis includes Federal Education Jobs Funds made available to school districts in the FY 2011 school year.
- **New York City:** The City of New York will realize a \$505 million negative impact in CFY FY 2012. In addition to a \$461 million reduction in school aid (based on methodology noted above), the City will also be negatively impacted in other areas including: \$66 million for human services programs, \$14 million for certain optional public health programs, and \$2 million for criminal justice programs. These reductions are partially offset by \$40 million from a statewide Tax Modernization initiative that is expected to increase personal income and sales tax revenues, and the EI program reforms that will reduce City spending with estimated savings of \$1 million in FY 2012. The FY 2012 Enacted Budget will also continue the City's AIM \$302 million elimination enacted in FY 2011.
- **Counties:** County governments will experience a \$14 million net decrease in financial support from the Enacted Budget in 2012, primarily due to \$19 million in reductions to certain optional public health programs, \$3 million in reductions for criminal justice programs, \$2 million in aid reductions to Madison and Oneida Counties, and a net \$1 million reduction to human services programs. These funding reductions will be partially offset by \$14 million from a statewide Tax Modernization Initiative that is expected to

FISCAL IMPACT ON LOCAL GOVERNMENTS

increase sales tax revenues, and \$4 million in savings from Early Intervention program reforms.

- **Other Municipalities:** Other cities, towns and villages will experience an overall \$18 million negative impact in local fiscal years ending in 2012, mostly due to a \$15 million reduction in AIM funding for cities, towns and villages.

CONSOLIDATION AND DISSOLUTION INCENTIVES

The Enacted Budget provides \$79 million in appropriations for programs designed to encourage and reward local governments that consolidate or achieve efficiencies and performance improvements. Of this total amount, \$35 million is for Citizen Empowerment Tax Credits and Citizens Re-Organization Empowerment Grants, and \$40 million is for the Local Government Performance and Efficiency Program, as noted below:

- **Citizen Empowerment Tax Credits.** Funding would be available to incentivize local government consolidation or dissolution, providing a bonus equal to 15 percent of the newly combined local government's tax levy. At least 70 percent of such amount must be used for direct relief to property taxpayers.
- **Citizens Re-Organization Empowerment Grants.** Funding would be available for grants up to \$100,000 for local governments to cover costs associated with studies, plans and implementation efforts related to local government re-organization activities.
- **Local Government Performance and Efficiency Program.** Funding would be available for competitive one-time awards of up to \$25 per capita, capped at \$5 million, to recognize local governments that have achieved efficiencies and performance improvements.
- **Local Government Efficiency Grants.** Funding would continue to be available to cover costs associated with local government efficiency projects, such as planning for and/or implementation of a functional consolidation, shared or cooperative services, and regionalized delivery of services. The maximum grant award for a project is \$200,000 per municipality or \$1 million total, and local matching funds of 10 percent of the total cost of the activities under the grant work plan are required.

MAJOR CHANGES FROM THE EXECUTIVE BUDGET

- **Education:** The Enacted Budget restored \$232 million of the \$1.54 billion school aid reduction. In addition, the Legislature rejected proposals for Summer School Special Education Financing Reform (\$86 million) and modified proposed reforms to the financing of Private School Special Education for the Blind and Deaf (\$19 million).
- **Human Services:** The Legislature rejected \$38.3 million in new funding for the creation of a Primary Prevention Incentive Program and restored 50 percent of the eliminated funding in the Executive Budget for YDDP/SDPP, Community Optional Preventive Services, and child protective caseload reduction at a cost of \$22.1 million. The Legislature also rejected \$22.1 million in savings related to the utilization of Title XX All-Other funds for Child Welfare Services, and \$11.9 million to implement full family sanctions. The Enacted Budget also modified recommendations related to juvenile justice; and provided \$15 million for homeless prevention and other services in New York City. A proposal to shift the State's cost of overseeing the room and board of students who are placed in residential schools by a CSE to school districts was partially restored reducing the impact by \$35 million.
- **Health:** Certain EI reform proposals were rejected including providers billing Medicaid and maximizing commercial insurance reimbursement, which lowered savings by \$17 million.
- **Municipal Aid:** VLT Impact Aid to municipalities outside of Yonkers has been restored to 45 percent of aid levels received in the FY 2009, and will include both the city of Saratoga Springs and Saratoga County. Funding for Small Government Assistance (\$2 million) and 50 percent of the funding for Miscellaneous Financial Assistance for Madison and Oneida counties (\$2 million) was also restored.
- **Other:** The proposal to eliminate reimbursement to counties for local navigation law enforcement was restored (\$2.4 million).

GLOSSARY OF ACRONYMS

AIM.....	Aid and Incentive for Municipalities
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
BCI	Bond Issuance Change
BOCES.....	Board of Cooperative Education Services
CHIPs.....	Consolidated Highway Improvement Programs
CHP.....	Child Health Plus
CMS	Centers for Medicare and Medicaid Services
COLA.....	Cost-of-Living Adjustment
CQCAPD	Commission on Quality Care and Advocacy for Persons with Disabilities
CSE	Committee on Special Education
CSEA	Civil Service Employees Association
CUNY	City University of New York
DASNY.....	Dormitory Authority of the State of New York
DDPC.....	Developmental Disabilities Planning Counsel
DHBTF	Dedicated Highway and Bridge Trust Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCS.....	Department of Correctional Services
DOH.....	Department of Health
DOS.....	Department of State
DOT	Department of Transportation
DRRF	Debt Reduction Reserve Fund
DRP.....	Deficit Reduction Plan
DSH.....	Disproportionate Share Hospital
DSP.....	Division of State Police
EI	Early Intervention
EPIC.....	Elderly Pharmaceutical Insurance Coverage
ERDA.....	Energy Research and Development Authority
ERI	Early Retirement Incentive
ERS	Employees Retirement System
ESDC	Empire State Development Corporation
EXCEL.....	Expanding our Children’s Education and Learning
FHP	Family Health Plus
FMAP.....	Federal Medical Assistance Percentage
FMP.....	Fiscal Management Plan
FSHRP	New York Federal-State Health Reform Act
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP.....	Generally Accepted Accounting Principles
GASB.....	Governmental Accounting Standards Board
GASB 45.....	Governmental Accounting Standards Board Statement 45

GLOSSARY OF ACRONYMS

GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GOER	Governor's Office of Employee Relations
GPHW	General Public Health Work
GSCs	General State Charges
HCRA	Health Care Reform Act
HEAL NY	Health Care Equity and Affordability Law for New Yorkers
HEAP	Home Energy Assistance Program
HELP	Higher Education Loan Program
HESC	Higher Education Services Corporation
HFA	Housing Finance Agency
HESC	Higher Education Services Corporation
HMO	Health Maintenance Organization
LICH	Long Island College Hospital
MMTOA	Metropolitan Mass Transportation Operating Assistance Accounts
MRT	Medicaid Redesign Team
MTA	Metropolitan Transportation Authority
MTOA	Mass Transportation Operating Assistance Fund
NYS-CARES	New York State-Creating Alternatives in Residential Environments and Services
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYHELPS	New York Higher Education Loan Program
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administrations
OCFS	Office of Children and Family Services
OGS	Office of General Services
OHS	Office of Homeland Security
OMH	Office of Mental Health
OMIG	Office of the Medicaid Inspector General
OPDV	Office for the Prevention of Domestic Violence
OPEB	Other Post Employment Benefits
OPWDD	Office for People with Developmental Disabilities
ORDA	Olympic Regional Development Authority
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-as-you-go
PBA	Police Benevolent Association
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PIA	Professional Insurance Agency
PIT	Personal Income Tax
SAGE	Spending and Government Efficiency Commission
SDPP	Special Delinquency Prevention Program
SOFA	New York State Office for the Aging
STAR	School Tax Relief

GLOSSARY OF ACRONYMS

STIP	Short-Term Investment Pool
SUNY.....	State University of New York
TA	Thruway Authority
TANF	Temporary Assistance for Needy Families
TAP.....	Tuition Assistance Program
UPK.....	Universal Pre-Kindergarten
UUP.....	United University Professions
VLT.....	Video Lottery Terminal
WIC.....	Women Infant Children
YDDP.....	Youth Development and Delinquency Prevention

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

NOTE 1 — BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

NOTE 2 — FUND TYPES AND PERSPECTIVES

The State records its transactions in the following fund types:

Governmental Funds

General Fund - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys deposited to the credit of the General Fund during the fiscal year from current revenues (taxes, fees, and miscellaneous receipts including certain repayments of State advances) and transfers.

Special Revenue - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

Debt Service - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt and certificates of participation. Lease-purchase payments for State University, Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Capital Projects - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) spending for highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments' public authorities to help finance capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

State Operating Funds

The State Funds operating budget comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

Proprietary Funds

Internal Service Funds - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

Enterprise Funds - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes and are combined with the General Fund for purposes of reporting on a GAAP basis.

Fiduciary Funds

Private-Purpose Trust Funds - Accounts for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

Pension Trust Fund - Accounts for the cash basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

Agency Funds - Accounts for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

NOTE 3 — DISBURSEMENT DESCRIPTIONS

The State's Financial Plan and reporting includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

Local Assistance Grants - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

Personal Service - Include the payment of salaries and compensation for State employees.

Non-Personal Service - Includes the payment of miscellaneous contractual payments, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

General State Charges - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

Debt Service - Include payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for State University, Health, and Mental Hygiene facilities.

Capital Projects - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and state mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Bond Proceeds - Includes the proceeds of general obligation bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes BANs and are stated net of notes redeemed from the proceeds of permanent bonds or reissued notes.

Operating Transfers - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

NOTE 4 — RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of General Fund Balance:

Tax Stabilization Reserve Fund

Created pursuant to law in order to provide a reserve to finance a cash basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending. The balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end. These loans must be repaid within six years in no less than three annual installments.

Rainy Day Reserve Fund

Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to three percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

Contingency Reserve Fund

Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

Community Projects Fund

Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. The enacted State budget typically includes lump sum appropriations for the Governor, the New York State Senate and the New York State Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. During the fiscal year, the Governor, the New York State Senate and the New York State Assembly allocate their respective appropriations to the intended recipient organizations and grant amounts.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

Informal Designation of Fund Balance

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for.”

NOTE 5 — SPENDING ADJUSTMENTS

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

The impact of the reclassification on historical results is summarized in the following table for comparability.

STATE OPERATING FUNDS AS RESTATED (millions of dollars)			
	<u>Before Restatement</u>	<u>Reporting Adjustment¹</u>	<u>Restated</u>
2005-06	66,240	3,065	69,305
2006-07	73,476	3,031	76,507
2007-08	76,989	3,029	80,018
2008-09	78,166	3,459	81,625
2009-10	76,873	3,786	80,659
2010-11	80,491	3,926	84,417

¹DOB has reclassified certain special revenue accounts from Federal Operating Funds to State Operating Funds to be consistent with the methodology used by the Office of the State Comptroller.

NOTE 6 — ITEMS AFFECTING FY 2011 TO FY 2012 COMPARABILITY

School Aid Payment

The end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of FY 2010 was paid in the first quarter of FY 2011, as authorized in statute. This was done to carry forward the FY 2010 budget shortfall into FY 2011.

American Recovery and Reinvestment Act of 2009

On February 17, 2009, President Obama signed into law the American Recovery and Reinvestment Act of 2009 (ARRA). This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures and funds provided through the Federal State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

Pension Amortization

The Financial Plan projections assume that the State will amortize pension costs above a percentage of payroll, as authorized by legislation enacted in 2010. The percentage of payroll

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

above which costs may be amortized increases annually as follows: 9.5 percent in FY 2011; 10.5 percent in FY 2012; 11.5 percent in FY 2013; 12.5 percent in FY 2014; and 13.5 percent in FY 2015. The amortization reduces the State's annual pension contribution in the short term, but results in long-term costs. The amounts expected to be amortized total \$249 million in FY 2011, \$635 million in FY 2012, \$878 million in FY 2013, \$1.1 billion in FY 2014, and \$1.2 billion in FY 2015.

Retroactive Labor Settlements

Several unions have not agreed to labor settlements for contract periods prior to FY 2012. In recent years, the State has included spending in the Financial Plan for potential settlements, which have not materialized. The Current Financial Plan costs includes a reserve of General Fund balance for this purpose. The amount of the reserve is calculated on the assumption that the unions that have not agreed to contracts will receive the same general salary increases as the unions with ratified contracts.

Mergers

Consistent with the FY 2012 Enacted Budget, State agency mergers have been reflected in the Financial Plan Tables that follow. As such, actual spending for FY 2011 has been reflected in the former agencies, while projections for FY 2012 and forward reflect the impact of the agency merger. These mergers are detailed below:

- Division of Parole merged into the Department of Correctional Services;
- Consumer Protection Board merged into Department of State; and
- Foundation for Science, Innovation & Technology merged into the Department of Economic Development

The Banking and Insurance Departments have been merged to create a new agency known as the Department of Financial Services, for reporting purposes DOB has restated FY 2011 actual spending from these departments into the Department of Financial Services. The Enacted Budget Report does not currently reflect the reductions in spending for the Department of Financial Services that will occur as the result of cost control and efficiency actions made feasible by the merger of the Banking and Insurance departments. It is expected that the First Quarter Update will revise the downward spending estimates included herein.

NOTE 7 — OFF-BUDGET TRANSACTIONS

Represents certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

NOTE 8 — GENERAL FUND/HCRA COMBINED GAP

The current HCRA authorization expires on March 31, 2014. HCRA is projected to remain balanced through FY 2015, however, any unaddressed shortfall would need to be financed by the General Fund.

NOTE 9 — MTA FINANCIAL ASSISTANCE FUND

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the metropolitan commuter transportation district. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to the requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing both receipts and disbursements by an actual \$1.7 billion in FY 2011, growing to an estimated \$2.0 billion in FY 2015.

NOTE 10 — CHANGES TO THE MEDICAID PROGRAM

As of January 2006, the State pays for the entire non-Federal share of the FHP program and any annual Medicaid increases for counties above a fixed level. In accordance with statutory indexing provisions, 2010 Medicaid payments by local governments will not be allowed to increase by more than 3.0 percent over 2009 levels.

NOTE 11 — STATEWIDE CASH FLOW ADMINISTRATION

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted with the Executive Budget. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

NOTE 12 — OUTSTANDING TEMPORARY LOANS SUMMARY

The total outstanding loan balance as of March 31, 2011 was \$1.7 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$857 million), activities that are financed initially by the State pending Federal receipt governed by Federal cash management regulations (\$344 million), State Special Revenue Funds (\$414 million), and Proprietary Funds (\$42 million).

FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

NOTE 13 — MEDICAID MONITORING

Monthly State funded Medicaid projections for FY 2012 include preliminary actual results for April 2011 and therefore vary from MRT/Global State Funds Cap monthly forecast. This forecast is expected to be made available on June 1, 2011 at http://www.health.state.ny.us/health_care/medicaid/redesign. The projected Medicaid spending for May and June of 2011 have been adjusted by an amount equivalent to the April variance. Preliminary April 2011 results were \$27 million above the MRT/Global State Funds Cap monthly forecast comprised of the following:

- \$26 million higher General Fund spending;
- \$4 million in higher spending from the Indigent Care account; and
- \$3 million in lower spending from the Medicaid Provider Assessment account.

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**CASH FINANCIAL PLAN
GENERAL FUND
2011-2012 through 2014-2015
(millions of dollars)**

	<u>2011-2012 Enacted</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
Receipts:				
Taxes:				
Personal Income Tax	26,001	26,085	27,569	28,698
User Taxes and Fees	9,105	9,383	9,723	10,082
Business Taxes	6,101	6,456	6,721	6,141
Other Taxes	1,030	1,085	1,145	1,210
Miscellaneous Receipts	3,098	2,917	2,496	2,066
Federal Receipts	60	60	60	60
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,096	7,923	8,374	8,707
Sales Tax in Excess of LGAC Debt Service	2,409	2,492	2,617	2,729
Real Estate Taxes in Excess of CW/CA Debt Service	395	469	556	634
All Other Transfers	998	772	615	610
Total Receipts	<u>57,293</u>	<u>57,642</u>	<u>59,876</u>	<u>60,937</u>
Disbursements:				
Local Assistance Grants	38,888	40,115	41,996	43,734
Departmental Operations:				
Personal Service	5,560	5,773	5,879	6,047
Non-personal Service	1,796	2,178	2,036	2,163
General State Charges	4,668	5,126	5,499	5,660
Transfers to Other Funds:				
Debt Service	1,449	1,712	1,658	1,566
Capital Projects	800	1,168	1,361	1,456
State Share Medicaid	3,032	3,119	3,082	3,082
Other Purposes	739	739	1,059	1,692
Total Disbursements	<u>56,932</u>	<u>59,930</u>	<u>62,570</u>	<u>65,400</u>
Reserves:				
Community Projects Fund	(85)	(51)	0	0
Rainy Day Reserve Fund	100	0	0	0
Prior-Year Labor Agreements (2007-2011)	346	142	142	142
Increase (Decrease) in Reserves	<u>361</u>	<u>91</u>	<u>142</u>	<u>142</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves				
	<u>0</u>	<u>(2,379)</u>	<u>(2,836)</u>	<u>(4,605)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
ANNUAL CHANGE
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>2,302</u>	<u>1,376</u>	<u>(926)</u>	<u>-40.2%</u>
Receipts:				
Taxes:				
Personal Income Tax	23,894	26,001	2,107	8.8%
User Taxes and Fees	8,795	9,105	310	3.5%
Business Taxes	5,279	6,101	822	15.6%
Other Taxes	1,237	1,030	(207)	-16.7%
Miscellaneous Receipts	3,095	3,098	3	0.1%
Federal Receipts	54	60	6	11.1%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	7,625	8,096	471	6.2%
Sales Tax in Excess of LGAC Debt Service	2,351	2,409	58	2.5%
Real Estate Taxes in Excess of CW/CA Debt Service	348	395	47	13.5%
All Other Transfers	1,769	998	(771)	-43.6%
Total Receipts	<u>54,447</u>	<u>57,293</u>	<u>2,846</u>	<u>5.2%</u>
Disbursements:				
Local Assistance Grants	37,206	38,888	1,682	4.5%
Departmental Operations:				
Personal Service	6,151	5,560	(591)	-9.6%
Non-Personal Service	1,822	1,796	(26)	-1.4%
General State Charges	4,187	4,668	481	11.5%
Transfers to Other Funds:				
Debt Service	1,737	1,449	(288)	-16.6%
Capital Projects	932	800	(132)	-14.2%
State Share Medicaid	2,497	3,032	535	21.4%
Other Purposes	841	739	(102)	-12.1%
Total Disbursements	<u>55,373</u>	<u>56,932</u>	<u>1,559</u>	<u>2.8%</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(926)</u>	<u>361</u>	<u>1,287</u>	<u>-139.0%</u>
Closing Fund Balance	<u>1,376</u>	<u>1,737</u>	<u>361</u>	<u>26.2%</u>
Statutory Reserves				
Tax Stabilization Reserve Fund	1,031	1,031	0	
Rainy Day Reserve Fund	175	275	100	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	136	51	(85)	
Reserved For				
Prior-Year Labor Agreements (2007-2011)	0	346	346	
Debt Management	13	13	0	

**CASH FINANCIAL PLAN
GENERAL FUND
2011-2012
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Opening fund balance	<u>1,357</u>	<u>19</u>	<u>1,376</u>
Receipts:			
Taxes:			
Personal Income Tax	25,701	300	26,001
User Taxes and Fees	9,153	(48)	9,105
Business Taxes	6,101	0	6,101
Other Taxes	1,030	0	1,030
Miscellaneous Receipts	3,088	10	3,098
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,008	88	8,096
Sales Tax in Excess of LGAC Debt Service	2,418	(9)	2,409
Real Estate Taxes in Excess of CW/CA Debt Service	394	1	395
All Other	1,065	(67)	998
Total Receipts	<u>57,018</u>	<u>275</u>	<u>57,293</u>
Disbursements:			
Local Assistance Grants	38,333	555	38,888
State Operations:			
Personal Service	5,647	(87)	5,560
Non-Personal Service	1,864	(68)	1,796
General State Charges	4,658	10	4,668
Transfers to Other Funds:			
Debt Service	1,615	(166)	1,449
Capital Projects	895	(95)	800
State Share Medicaid	3,032	0	3,032
Other Purposes	722	17	739
Total Disbursements	<u>56,766</u>	<u>166</u>	<u>56,932</u>
Reserves:			
Community Projects Fund	(94)	9	(85)
Rainy Day Reserve Fund	0	100	100
Prior-Year Labor Agreements (2007-2011)	346	0	346
Increase (Decrease) in Reserves	<u>252</u>	<u>109</u>	<u>361</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2012-2013
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	25,871	214	26,085
User Taxes and Fees	9,386	(3)	9,383
Business Taxes	6,422	34	6,456
Other Taxes	1,075	10	1,085
Miscellaneous Receipts	2,827	90	2,917
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	7,863	60	7,923
Sales Tax in Excess of LGAC Debt Service	2,495	(3)	2,492
Real Estate Taxes in Excess of CW/CA Debt Service	479	(10)	469
All Other	757	15	772
Total Receipts	<u>57,235</u>	<u>407</u>	<u>57,642</u>
Disbursements:			
Local Assistance Grants	39,329	786	40,115
Departmental Operations:			
Personal Service	5,866	(93)	5,773
Non-Personal Service	2,225	(47)	2,178
General State Charges	5,119	7	5,126
Transfers to Other Funds:			
Debt Service	1,722	(10)	1,712
Capital Projects	1,186	(18)	1,168
State Share Medicaid	3,119	0	3,119
Other Purposes	725	14	739
Total Disbursements	<u>59,291</u>	<u>639</u>	<u>59,930</u>
Reserves:			
Community Projects Fund	0	(51)	(51)
Prior-Year Labor Agreements (2007-2011)	142	0	142
Increase (Decrease) in Reserves	<u>142</u>	<u>(51)</u>	<u>91</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(2,198)</u>	<u>(181)</u>	<u>(2,379)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2013-2014
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	27,361	208	27,569
User Taxes and Fees	9,754	(31)	9,723
Business Taxes	6,717	4	6,721
Other Taxes	1,135	10	1,145
Miscellaneous Receipts	2,406	90	2,496
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,318	56	8,374
Sales Tax in Excess of LGAC Debt Service	2,629	(12)	2,617
Real Estate Taxes in Excess of CW/CA Debt Service	580	(24)	556
All Other	660	(45)	615
Total Receipts	<u>59,620</u>	<u>256</u>	<u>59,876</u>
Disbursements:			
Local Assistance Grants	41,257	739	41,996
Departmental Operations:			
Personal Service	5,973	(94)	5,879
Non-Personal Service	2,087	(51)	2,036
General State Charges	5,477	22	5,499
Transfers to Other Funds:			
Debt Service	1,668	(10)	1,658
Capital Projects	1,350	11	1,361
State Share Medicaid	3,082	0	3,082
Other Purposes	1,047	12	1,059
Total Disbursements	<u>61,941</u>	<u>629</u>	<u>62,570</u>
Reserves:			
Community Projects Fund	0	0	0
Prior-Year Labor Agreements (2007-2011)	142	0	142
Increase (Decrease) in Reserves	<u>142</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(2,463)</u>	<u>(373)</u>	<u>(2,836)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2014-2015
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Receipts:			
Taxes:			
Personal Income Tax	28,510	188	28,698
User Taxes and Fees	10,113	(31)	10,082
Business Taxes	6,186	(45)	6,141
Other Taxes	1,195	15	1,210
Miscellaneous Receipts	1,976	90	2,066
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,653	54	8,707
Sales Tax in Excess of LGAC Debt Service	2,741	(12)	2,729
Real Estate Taxes in Excess of CW/CA Debt Service	653	(19)	634
All Other	656	(46)	610
Total Receipts	<u>60,743</u>	<u>194</u>	<u>60,937</u>
Disbursements:			
Local Assistance Grants	43,177	557	43,734
Departmental Operations:			
Personal Service	6,141	(94)	6,047
Non-Personal Service	2,214	(51)	2,163
General State Charges	5,650	10	5,660
Transfers to Other Funds:			
Debt Service	1,576	(10)	1,566
Capital Projects	1,449	7	1,456
State Share Medicaid	3,082	0	3,082
Other Purposes	1,680	12	1,692
Total Disbursements	<u>64,969</u>	<u>431</u>	<u>65,400</u>
Reserves:			
Community Projects Fund	0	0	0
Prior-Year Labor Agreements (2007-2011)	142	0	142
Increase (Decrease) in Reserves	<u>142</u>	<u>0</u>	<u>142</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>(4,368)</u>	<u>(237)</u>	<u>(4,605)</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2010-11
(millions of dollars)**

	<u>2010-11 Executive</u>	<u>Change</u>	<u>2010-11 Year-End</u>
Opening fund balance	<u>2,302</u>	<u>0</u>	<u>2,302</u>
Receipts:			
Taxes:			
Personal Income Tax	23,549	345	23,894
User Taxes and Fees	8,775	20	8,795
Business Taxes	5,664	(385)	5,279
Other Taxes	1,199	38	1,237
Miscellaneous Receipts	3,083	12	3,095
Federal Receipts	60	(6)	54
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	7,532	93	7,625
Sales Tax in Excess of LGAC Debt Service	2,328	23	2,351
Real Estate Taxes in Excess of CW/CA Debt Service	334	14	348
All Other	1,580	189	1,769
Total Receipts	<u>54,104</u>	<u>343</u>	<u>54,447</u>
Disbursements:			
Local Assistance Grants	37,246	(40)	37,206
State Operations:			
Personal Service	6,261	(110)	6,151
Non-Personal Service	1,785	37	1,822
General State Charges	4,111	76	4,187
Transfers to Other Funds:			
Debt Service	1,589	148	1,737
Capital Projects	839	93	932
State Share Medicaid	2,435	62	2,497
Other Purposes	783	58	841
Total Disbursements	<u>55,049</u>	<u>324</u>	<u>55,373</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>1,357</u>	<u>19</u>	<u>1,376</u>
Reserves:			
Tax Stabilization Reserve Fund	1,031	0	1,031
Rainy Day Reserve Fund	175	0	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	94	42	136
Debt Management	36	(23)	13
Increase (Decrease) in Reserves	<u>1,357</u>	<u>19</u>	<u>1,376</u>

**CASH FINANCIAL PLAN
GENERAL FUND
2010-11
(millions of dollars)**

	<u>2010-11 Enacted</u>	<u>Change</u>	<u>2010-11 Year-End</u>
Opening fund balance	<u>2,302</u>	<u>0</u>	<u>2,302</u>
Receipts:			
Taxes:			
Personal Income Tax	24,373	(479)	23,894
User Taxes and Fees	8,810	(15)	8,795
Business Taxes	5,714	(435)	5,279
Other Taxes	1,034	203	1,237
Miscellaneous Receipts	2,897	198	3,095
Federal Receipts	60	(6)	54
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	7,795	(170)	7,625
Sales Tax in Excess of LGAC Debt Service	2,318	33	2,351
Real Estate Taxes in Excess of CW/CA Debt Service	285	63	348
All Other	1,390	379	1,769
Total Receipts	<u>54,676</u>	<u>(229)</u>	<u>54,447</u>
Disbursements:			
Local Assistance Grants	37,508	(302)	37,206
State Operations:			
Personal Service	6,285	(134)	6,151
Non-Personal Service	1,740	82	1,822
General State Charges	4,128	59	4,187
Transfers to Other Funds:			
Debt Service	1,642	95	1,737
Capital Projects	1,096	(164)	932
State Share Medicaid	2,450	47	2,497
Other Purposes	744	97	841
Total Disbursements	<u>55,593</u>	<u>(220)</u>	<u>55,373</u>
Excess (Deficiency) of Receipts Over Disbursements and Reserves	<u>1,385</u>	<u>(9)</u>	<u>1,376</u>
Reserves:			
Tax Stabilization Reserve Fund	1,031	0	1,031
Rainy Day Reserve Fund	175	0	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	85	51	136
Debt Management	73	(60)	13
Increase (Decrease) in Reserves	<u>1,385</u>	<u>(9)</u>	<u>1,376</u>

**CASH RECEIPTS
CURRENT STATE RECEIPTS
GENERAL FUND
2011-2012 THROUGH 2014-2015
(millions of dollars)**

	<u>2011-2012 Enacted</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
Taxes:				
Withholdings	31,802	32,356	34,535	36,383
Estimated Payments	11,900	11,728	11,910	12,575
Final Payments	2,110	2,199	2,154	2,151
Other Payments	1,089	1,134	1,210	1,312
Gross Collections	<u>46,901</u>	<u>47,417</u>	<u>49,809</u>	<u>52,421</u>
State/City Offset	(148)	(148)	(98)	(98)
Refunds	(7,694)	(8,059)	(8,272)	(9,136)
Reported Tax Collections	<u>39,059</u>	<u>39,210</u>	<u>41,439</u>	<u>43,187</u>
STAR (Dedicated Deposits)	(3,292)	(3,322)	(3,510)	(3,692)
RBTF (Dedicated Transfers)	(9,766)	(9,803)	(10,360)	(10,797)
Personal Income Tax	<u>26,001</u>	<u>26,085</u>	<u>27,569</u>	<u>28,698</u>
Sales and Use Tax	11,173	11,503	11,960	12,440
Cigarette and Tobacco Taxes	492	518	511	505
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	233	238	242	247
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
Gross Utility Taxes and Fees	<u>11,898</u>	<u>12,259</u>	<u>12,713</u>	<u>13,192</u>
LGAC Sales Tax (Dedicated Transfers)	(2,793)	(2,876)	(2,990)	(3,110)
User Taxes and Fees	<u>9,105</u>	<u>9,383</u>	<u>9,723</u>	<u>10,082</u>
Corporation Franchise Tax	3,047	3,178	3,284	2,527
Corporation and Utilities Tax	681	750	780	813
Insurance Taxes	1,266	1,318	1,376	1,438
Bank Tax	1,107	1,210	1,281	1,363
Petroleum Business Tax	0	0	0	0
Business Taxes	<u>6,101</u>	<u>6,456</u>	<u>6,721</u>	<u>6,141</u>
Estate Tax	1,015	1,070	1,130	1,195
Real Estate Transfer Tax	620	690	770	840
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	14	14	14	14
Other Taxes	1	1	1	1
Gross Other Taxes	<u>1,650</u>	<u>1,775</u>	<u>1,915</u>	<u>2,050</u>
Real Estate Transfer Tax (Dedicated)	(620)	(690)	(770)	(840)
Other Taxes	<u>1,030</u>	<u>1,085</u>	<u>1,145</u>	<u>1,210</u>
Payroll Tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Total Taxes	<u>42,237</u>	<u>43,009</u>	<u>45,158</u>	<u>46,131</u>
Licenses, Fees, Etc.	455	525	486	506
Abandoned Property	755	735	670	655
Motor Vehicle Fees	132	109	36	36
ABC License Fee	49	51	50	50
Reimbursements	202	202	197	197
Investment Income	10	10	10	10
Other Transactions	1,495	1,285	1,047	612
Miscellaneous Receipts	<u>3,098</u>	<u>2,917</u>	<u>2,496</u>	<u>2,066</u>
Federal Grants	<u>60</u>	<u>60</u>	<u>60</u>	<u>60</u>
Total	<u>45,395</u>	<u>45,986</u>	<u>47,714</u>	<u>48,257</u>

**CURRENT STATE RECEIPTS
GENERAL FUND
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Taxes:				
Withholdings	31,240	31,802	562	1.8%
Estimated Payments	9,735	11,900	2,165	22.2%
Final Payments	1,964	2,110	146	7.4%
Other Payments	1,063	1,089	26	2.4%
Gross Collections	<u>44,002</u>	<u>46,901</u>	<u>2,899</u>	<u>6.6%</u>
State/City Offset	(100)	(148)	(48)	48.0%
Refunds	(7,693)	(7,694)	(1)	0.0%
Reported Tax Collections	<u>36,209</u>	<u>39,059</u>	<u>2,850</u>	<u>7.9%</u>
STAR (Dedicated Deposits)	(3,263)	(3,292)	(29)	0.9%
RBTF (Dedicated Transfers)	(9,052)	(9,766)	(714)	7.9%
Personal Income Tax	<u>23,894</u>	<u>26,001</u>	<u>2,107</u>	<u>8.8%</u>
Sales and Use Tax	10,782	11,173	391	3.6%
Cigarette and Tobacco Taxes	480	492	12	2.5%
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	230	233	3	1.3%
Highway Use Tax	0	0	0	--
Auto Rental Tax	0	0	0	--
Taxicab Surcharge	0	0	0	--
Gross Utility Taxes and Fees	<u>11,492</u>	<u>11,898</u>	<u>406</u>	<u>3.5%</u>
LGAC Sales Tax (Dedicated Transfers)	(2,697)	(2,793)	(96)	3.6%
User Taxes and Fees	<u>8,795</u>	<u>9,105</u>	<u>310</u>	<u>3.5%</u>
Corporation Franchise Tax	2,472	3,047	575	23.3%
Corporation and Utilities Tax	616	681	65	10.6%
Insurance Taxes	1,217	1,266	49	4.0%
Bank Tax	974	1,107	133	13.7%
Petroleum Business Tax	0	0	0	--
Business Taxes	<u>5,279</u>	<u>6,101</u>	<u>822</u>	<u>15.6%</u>
Estate Tax	1,218	1,015	(203)	-16.7%
Real Estate Transfer Tax	579	620	41	7.1%
Gift Tax	1	0	(1)	-100.0%
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	14	(3)	-17.6%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	<u>1,816</u>	<u>1,650</u>	<u>(166)</u>	<u>-9.1%</u>
Real Estate Transfer Tax (Dedicated)	(579)	(620)	(41)	7.1%
Other Taxes	<u>1,237</u>	<u>1,030</u>	<u>(207)</u>	<u>-16.7%</u>
Payroll Tax	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
Total Taxes	<u>39,205</u>	<u>42,237</u>	<u>3,032</u>	<u>7.7%</u>
Licenses, Fees, Etc.	680	455	(225)	-33.1%
Abandoned Property	645	755	110	17.1%
Motor Vehicle Fees	34	132	98	288.2%
ABC License Fee	52	49	(3)	-5.8%
Reimbursements	270	202	(68)	-25.2%
Investment Income	6	10	4	66.7%
Other Transactions	1,408	1,495	87	6.2%
Miscellaneous Receipts	<u>3,095</u>	<u>3,098</u>	<u>3</u>	<u>0.1%</u>
Federal Grants	<u>54</u>	<u>60</u>	<u>6</u>	<u>11.1%</u>
Total	<u>42,354</u>	<u>45,395</u>	<u>3,041</u>	<u>7.2%</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2010-2011
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Opening Fund Balance	<u>2,302</u>	<u>2,099</u>	<u>410</u>	<u>4,811</u>
Receipts:				
Taxes	39,205	8,117	12,210	59,532
Miscellaneous Receipts	3,095	15,154	900	19,149
Federal Receipts	54	0	57	111
Total Receipts	<u>42,354</u>	<u>23,271</u>	<u>13,167</u>	<u>78,792</u>
Disbursements:				
Local Assistance Grants	37,206	18,089	0	55,295
Departmental Operations:				
Personal Service	6,151	6,271	0	12,422
Non-Personal Service	1,822	3,080	63	4,965
General State Charges	4,187	1,915	0	6,102
Debt Service	0	0	5,615	5,615
Capital Projects	0	18	0	18
Total Disbursements	<u>49,366</u>	<u>29,373</u>	<u>5,678</u>	<u>84,417</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	12,093	8,077	7,048	27,218
Transfers to Other Funds	(6,007)	(1,933)	(14,494)	(22,434)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>6,086</u>	<u>6,144</u>	<u>(7,446)</u>	<u>4,784</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(926)</u>	<u>42</u>	<u>43</u>	<u>(841)</u>
Closing Fund Balance	<u>1,376</u>	<u>2,141</u>	<u>453</u>	<u>3,970</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2011-2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Opening Fund Balance	<u>1,376</u>	<u>2,141</u>	<u>453</u>	<u>3,970</u>
Receipts:				
Taxes	42,237	8,319	13,059	63,615
Miscellaneous Receipts	3,098	15,212	949	19,259
Federal Receipts	60	1	79	140
Total Receipts	<u>45,395</u>	<u>23,532</u>	<u>14,087</u>	<u>83,014</u>
Disbursements:				
Local Assistance Grants	38,888	18,873	0	57,761
Departmental Operations:				
Personal Service	5,560	6,117	0	11,677
Non-Personal Service	1,796	3,193	62	5,051
General State Charges	4,668	1,862	0	6,530
Debt Service	0	0	5,855	5,855
Capital Projects	0	5	0	5
Total Disbursements	<u>50,912</u>	<u>30,050</u>	<u>5,917</u>	<u>86,879</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	11,898	7,322	6,524	25,744
Transfers to Other Funds	(6,020)	(719)	(14,574)	(21,313)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>5,878</u>	<u>6,603</u>	<u>(8,050)</u>	<u>4,431</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>361</u>	<u>85</u>	<u>120</u>	<u>566</u>
Closing Fund Balance	<u>1,737</u>	<u>2,226</u>	<u>573</u>	<u>4,536</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2012-2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	43,009	8,643	13,249	64,901
Miscellaneous Receipts	2,917	16,072	997	19,986
Federal Receipts	60	1	79	140
Total Receipts	<u>45,986</u>	<u>24,716</u>	<u>14,325</u>	<u>85,027</u>
Disbursements:				
Local Assistance Grants	40,115	19,778	0	59,893
Departmental Operations:				
Personal Service	5,773	6,198	0	11,971
Non-Personal Service	2,178	3,334	62	5,574
General State Charges	5,126	1,999	0	7,125
Debt Service	0	0	6,332	6,332
Capital Projects	0	5	0	5
Total Disbursements	<u>53,192</u>	<u>31,314</u>	<u>6,394</u>	<u>90,900</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	11,656	7,285	6,607	25,548
Transfers to Other Funds	(6,738)	(283)	(14,436)	(21,457)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>4,918</u>	<u>7,002</u>	<u>(7,829)</u>	<u>4,091</u>
Designated General Fund Reserves:				
Reserve for Collective Bargaining	(142)	0	0	(142)
Reserve for Community Projects Fund	51	0	0	51
Net Designated General Fund Reserves	<u>(91)</u>	<u>0</u>	<u>0</u>	<u>(91)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses				
	<u>(2,379)</u>	<u>404</u>	<u>102</u>	<u>(1,873)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	45,158	8,980	14,001	68,139
Miscellaneous Receipts	2,496	16,456	1,043	19,995
Federal Receipts	60	1	79	140
Total Receipts	<u>47,714</u>	<u>25,437</u>	<u>15,123</u>	<u>88,274</u>
Disbursements:				
Local Assistance Grants	41,996	20,391	0	62,387
Departmental Operations:				
Personal Service	5,879	6,295	0	12,174
Non-Personal Service	2,036	3,436	62	5,534
General State Charges	5,499	2,145	0	7,644
Debt Service	0	0	6,498	6,498
Capital Projects	0	5	0	5
Total Disbursements	<u>55,410</u>	<u>32,272</u>	<u>6,560</u>	<u>94,242</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	12,162	7,477	6,552	26,191
Transfers to Other Funds	(7,160)	(130)	(15,009)	(22,299)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>5,002</u>	<u>7,347</u>	<u>(8,457)</u>	<u>3,892</u>
Designated General Fund Reserves:				
Reserve for Collective Bargaining	(142)	0	0	(142)
Net Designated General Fund Reserves	<u>(142)</u>	<u>0</u>	<u>0</u>	<u>(142)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses				
	<u>(2,836)</u>	<u>512</u>	<u>106</u>	<u>(2,218)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2014-2015
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
Receipts:				
Taxes	46,131	9,334	14,628	70,093
Miscellaneous Receipts	2,066	16,712	1,064	19,842
Federal Receipts	60	1	79	140
Total Receipts	<u>48,257</u>	<u>26,047</u>	<u>15,771</u>	<u>90,075</u>
Disbursements:				
Local Assistance Grants	43,734	21,016	0	64,750
Departmental Operations:				
Personal Service	6,047	6,421	0	12,468
Non-Personal Service	2,163	3,501	62	5,726
General State Charges	5,660	2,330	0	7,990
Debt Service	0	0	6,551	6,551
Capital Projects	0	5	0	5
Total Disbursements	<u>57,604</u>	<u>33,273</u>	<u>6,613</u>	<u>97,490</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	12,680	7,683	6,185	26,548
Transfers to Other Funds	(7,796)	26	(15,197)	(22,967)
Bond and Note Proceeds	0	0	0	0
Net Other Financing Sources (Uses)	<u>4,884</u>	<u>7,709</u>	<u>(9,012)</u>	<u>3,581</u>
Designated General Fund Reserves:				
Reserve for Collective Bargaining	(142)	0	0	(142)
Net Designated General Fund Reserves	<u>(142)</u>	<u>0</u>	<u>0</u>	<u>(142)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses				
	<u>(4,605)</u>	<u>483</u>	<u>146</u>	<u>(3,976)</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>4,811</u>	<u>3,970</u>	<u>(841)</u>	
Receipts:				
Taxes	59,532	63,615	4,083	6.9%
Miscellaneous Receipts	19,149	19,259	110	0.6%
Federal Receipts	111	140	29	26.1%
Total Receipts	<u>78,792</u>	<u>83,014</u>	<u>4,222</u>	<u>5.4%</u>
Disbursements:				
Local Assistance Grants	55,295	57,761	2,466	4.5%
Departmental Operations:				
Personal Service	12,422	11,677	(745)	-6.0%
Non-Personal Service	4,965	5,051	86	1.7%
General State Charges	6,102	6,530	428	7.0%
Debt Service	5,615	5,855	240	4.3%
Capital Projects	18	5	(13)	-72.2%
Total Disbursements	<u>84,417</u>	<u>86,879</u>	<u>2,462</u>	<u>2.9%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	27,218	25,744	(1,474)	-5.4%
Transfers to Other Funds	(22,434)	(21,313)	1,121	-5.0%
Bond and Note Proceeds	0	0	0	--
Net Other Financing Sources (uses)	<u>4,784</u>	<u>4,431</u>	<u>(353)</u>	<u>-7.4%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(841)</u>	<u>566</u>	<u>1,407</u>	
Closing Fund Balance	<u>3,970</u>	<u>4,536</u>	<u>566</u>	

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2010-2011
(millions of dollars)**

	<u>2010-11 Executive</u>	<u>Change</u>	<u>2010-11 Year-End</u>
Opening Fund Balance	<u>4,811</u>	<u>0</u>	<u>4,811</u>
Receipts:			
Taxes	59,465	67	59,532
Miscellaneous Receipts	19,331	(182)	19,149
Federal Receipts	<u>121</u>	<u>(10)</u>	<u>111</u>
Total Receipts	<u>78,917</u>	<u>(125)</u>	<u>78,792</u>
Disbursements:			
Local Assistance Grants	55,732	(437)	55,295
Departmental Operations:			
Personal Service	12,462	(40)	12,422
Non-Personal Service	5,227	(262)	4,965
General State Charges	6,056	46	6,102
Debt Service	5,485	130	5,615
Capital Projects	<u>2</u>	<u>16</u>	<u>18</u>
Total Disbursements	<u>84,964</u>	<u>(547)</u>	<u>84,417</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	25,990	1,228	27,218
Transfers to Other Funds	(20,954)	(1,480)	(22,434)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>5,036</u>	<u>(252)</u>	<u>4,784</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(1,011)</u>	<u>170</u>	<u>(841)</u>
Closing Fund Balance	<u>3,800</u>	<u>170</u>	<u>3,970</u>

**CASH FINANCIAL PLAN
STATE OPERATING FUNDS BUDGET
2010-2011
(millions of dollars)**

	<u>2010-11 Enacted</u>	<u>Change</u>	<u>2010-11 Year-End</u>
Opening Fund Balance	<u>4,669</u>	<u>142</u>	<u>4,811</u>
Receipts:			
Taxes	60,484	(952)	59,532
Miscellaneous Receipts	18,720	429	19,149
Federal Receipts	111	0	111
Total Receipts	<u>79,315</u>	<u>(523)</u>	<u>78,792</u>
Disbursements:			
Local Assistance Grants	55,693	(398)	55,295
Departmental Operations:			
Personal Service	10,307	2,115	12,422
Non-Personal Service	4,335	630	4,965
General State Charges	5,205	897	6,102
Debt Service	5,516	99	5,615
Capital Projects	2	16	18
Total Disbursements	<u>81,058</u>	<u>3,359</u>	<u>84,417</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	22,761	4,457	27,218
Transfers to Other Funds	(22,098)	(336)	(22,434)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>663</u>	<u>4,121</u>	<u>4,784</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(1,080)</u>	<u>239</u>	<u>(841)</u>
Closing Fund Balance	<u>3,589</u>	<u>381</u>	<u>3,970</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
2010-2011
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	2,302	2,401	(254)	410	4,859
Receipts:					
Taxes	39,205	8,117	1,338	12,210	60,870
Miscellaneous Receipts	3,095	15,306	3,847	900	23,148
Federal Receipts	54	46,692	2,500	57	49,303
Total Receipts	<u>42,354</u>	<u>70,115</u>	<u>7,685</u>	<u>13,167</u>	<u>133,321</u>
Disbursements:					
Local Assistance Grants	37,206	58,696	2,731	0	98,633
Departmental Operations:					
Personal Service	6,151	6,954	0	0	13,105
Non-Personal Service	1,822	4,094	0	63	5,979
General State Charges	4,187	2,175	0	0	6,362
Debt Service	0	0	0	5,615	5,615
Capital Projects	0	18	5,113	0	5,131
Total Disbursements	<u>49,366</u>	<u>71,937</u>	<u>7,844</u>	<u>5,678</u>	<u>134,825</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,093	7,335	1,130	7,048	27,606
Transfers to Other Funds	(6,007)	(5,764)	(1,410)	(14,494)	(27,675)
Bond and Note Proceeds	0	0	525	0	525
Net Other Financing Sources (Uses)	<u>6,086</u>	<u>1,571</u>	<u>245</u>	<u>(7,446)</u>	<u>456</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(926)</u>	<u>(251)</u>	<u>86</u>	<u>43</u>	<u>(1,048)</u>
Closing Fund Balance	<u>1,376</u>	<u>2,150</u>	<u>(168)</u>	<u>453</u>	<u>3,811</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
2011-2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Opening Fund Balance	1,376	2,150	(168)	453	3,811
Receipts:					
Taxes	42,237	8,319	1,361	13,059	64,976
Miscellaneous Receipts	3,098	15,344	4,016	949	23,407
Federal Receipts	60	40,872	2,294	79	43,305
Total Receipts	<u>45,395</u>	<u>64,535</u>	<u>7,671</u>	<u>14,087</u>	<u>131,688</u>
Disbursements:					
Local Assistance Grants	38,888	53,805	2,711	0	95,404
Departmental Operations:					
Personal Service	5,560	6,803	0	0	12,363
Non-Personal Service	1,796	4,203	0	62	6,061
General State Charges	4,668	2,165	0	0	6,833
Debt Service	0	0	0	5,855	5,855
Capital Projects	0	5	5,177	0	5,182
Total Disbursements	<u>50,912</u>	<u>66,981</u>	<u>7,888</u>	<u>5,917</u>	<u>131,698</u>
Other financing sources (Uses):					
Transfers from Other Funds	11,898	7,323	1,060	6,524	26,805
Transfers to Other Funds	(6,020)	(4,791)	(1,445)	(14,574)	(26,830)
Bond and Note Proceeds	0	0	484	0	484
Net Other Financing Sources (Uses)	<u>5,878</u>	<u>2,532</u>	<u>99</u>	<u>(8,050)</u>	<u>459</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>361</u>	<u>86</u>	<u>(118)</u>	<u>120</u>	<u>449</u>
Closing Fund Balance	<u>1,737</u>	<u>2,236</u>	<u>(286)</u>	<u>573</u>	<u>4,260</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
2012-2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	43,009	8,643	1,392	13,249	66,293
Miscellaneous Receipts	2,917	16,203	3,685	997	23,802
Federal Receipts	60	37,687	1,847	79	39,673
Total Receipts	<u>45,986</u>	<u>62,533</u>	<u>6,924</u>	<u>14,325</u>	<u>129,768</u>
Disbursements:					
Local Assistance Grants	40,115	51,669	2,010	0	93,794
Departmental Operations:					
Personal Service	5,773	6,879	0	0	12,652
Non-Personal Service	2,178	4,243	0	62	6,483
General State Charges	5,126	2,331	0	0	7,457
Debt Service	0	0	0	6,332	6,332
Capital Projects	0	5	5,276	0	5,281
Total Disbursements	<u>53,192</u>	<u>65,127</u>	<u>7,286</u>	<u>6,394</u>	<u>131,999</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	11,656	7,286	1,410	6,607	26,959
Transfers to Other Funds	(6,738)	(4,288)	(1,505)	(14,436)	(26,967)
Bond and Note Proceeds	0	0	400	0	400
Net Other Financing Sources (Uses)	<u>4,918</u>	<u>2,998</u>	<u>305</u>	<u>(7,829)</u>	<u>392</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	(142)	0	0	0	(142)
Reserve for Community Projects Fund	51	0	0	0	51
Net Designated General Fund Reserves	<u>(91)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(91)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(2,379)</u>	<u>404</u>	<u>(57)</u>	<u>102</u>	<u>(1,930)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	45,158	8,980	1,397	14,001	69,536
Miscellaneous Receipts	2,496	16,587	3,516	1,043	23,642
Federal Receipts	60	39,731	1,811	79	41,681
Total Receipts	<u>47,714</u>	<u>65,298</u>	<u>6,724</u>	<u>15,123</u>	<u>134,859</u>
Disbursements:					
Local Assistance Grants	41,996	54,433	2,001	0	98,430
Departmental Operations:					
Personal Service	5,879	6,966	0	0	12,845
Non-Personal Service	2,036	4,324	0	62	6,422
General State Charges	5,499	2,483	0	0	7,982
Debt Service	0	0	0	6,498	6,498
Capital Projects	0	5	5,067	0	5,072
Total Disbursements	<u>55,410</u>	<u>68,211</u>	<u>7,068</u>	<u>6,560</u>	<u>137,249</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,162	7,478	1,582	6,552	27,774
Transfers to Other Funds	(7,160)	(4,052)	(1,554)	(15,009)	(27,775)
Bond and Note Proceeds	0	0	338	0	338
Net Other Financing Sources (Uses)	<u>5,002</u>	<u>3,426</u>	<u>366</u>	<u>(8,457)</u>	<u>337</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	(142)	0	0	0	(142)
Net Designated General Fund Reserves	<u>(142)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(142)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(2,836)</u>	<u>513</u>	<u>22</u>	<u>106</u>	<u>(2,195)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
2014-2015
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
Receipts:					
Taxes	46,131	9,334	1,408	14,628	71,501
Miscellaneous Receipts	2,066	16,843	3,244	1,064	23,217
Federal Receipts	60	45,067	1,809	79	47,015
Total Receipts	<u>48,257</u>	<u>71,244</u>	<u>6,461</u>	<u>15,771</u>	<u>141,733</u>
Disbursements:					
Local Assistance Grants	43,734	60,763	1,730	0	106,227
Departmental Operations:					
Personal Service	6,047	7,095	0	0	13,142
Non-Personal Service	2,163	4,384	0	62	6,609
General State Charges	5,660	2,674	0	0	8,334
Debt Service	0	0	0	6,551	6,551
Capital Projects	0	5	4,995	0	5,000
Total Disbursements	<u>57,604</u>	<u>74,921</u>	<u>6,725</u>	<u>6,613</u>	<u>145,863</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,680	7,684	1,519	6,185	28,068
Transfers to Other Funds	(7,796)	(3,524)	(1,528)	(15,197)	(28,045)
Bond and Note Proceeds	0	0	306	0	306
Net Other Financing Sources (Uses)	<u>4,884</u>	<u>4,160</u>	<u>297</u>	<u>(9,012)</u>	<u>329</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	(142)	0	0	0	(142)
Net Designated General Fund Reserves	<u>(142)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(142)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(4,605)</u>	<u>483</u>	<u>33</u>	<u>146</u>	<u>(3,943)</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>4,859</u>	<u>3,811</u>	<u>(1,048)</u>	
Receipts:				
Taxes	60,870	64,976	4,106	6.7%
Miscellaneous Receipts	23,148	23,407	259	1.1%
Federal Receipts	<u>49,303</u>	<u>43,305</u>	<u>(5,998)</u>	<u>-12.2%</u>
Total Receipts	<u>133,321</u>	<u>131,688</u>	<u>(1,633)</u>	<u>-1.2%</u>
Disbursements:				
Local Assistance Grants	98,633	95,404	(3,229)	-3.3%
Departmental Operations:				
Personal Service	13,105	12,363	(742)	-5.7%
Non-Personal Service	5,979	6,061	82	1.4%
General State Charges	6,362	6,833	471	7.4%
Debt Service	5,615	5,855	240	4.3%
Capital Projects	<u>5,131</u>	<u>5,182</u>	<u>51</u>	<u>1.0%</u>
Total Disbursements	<u>134,825</u>	<u>131,698</u>	<u>(3,127)</u>	<u>-2.3%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	27,606	26,805	(801)	-2.9%
Transfers to Other Funds	(27,675)	(26,830)	845	-3.1%
Bond and Note Proceeds	<u>525</u>	<u>484</u>	<u>(41)</u>	<u>-7.8%</u>
Net Other Financing Sources (Uses)	<u>456</u>	<u>459</u>	<u>3</u>	<u>0.7%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(1,048)</u>	<u>449</u>	<u>1,497</u>	
Closing Fund Balance	<u>3,811</u>	<u>4,260</u>	<u>449</u>	

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
2010-2011
(millions of dollars)**

	<u>2010-11 Executive</u>	<u>Change</u>	<u>2010-11 Year-End</u>
Opening Fund Balance	<u>4,859</u>	<u>0</u>	<u>4,859</u>
Receipts:			
Taxes	60,793	77	60,870
Miscellaneous Receipts	23,702	(554)	23,148
Federal Receipts	<u>50,013</u>	<u>(710)</u>	<u>49,303</u>
Total Receipts	<u>134,508</u>	<u>(1,187)</u>	<u>133,321</u>
Disbursements:			
Local Assistance Grants	99,141	(508)	98,633
Departmental Operations:			
Personal Service	13,183	(78)	13,105
Non-Personal Service	6,331	(352)	5,979
General State Charges	6,342	20	6,362
Debt Service	5,485	130	5,615
Capital Projects	<u>5,632</u>	<u>(501)</u>	<u>5,131</u>
Total Disbursements	<u>136,114</u>	<u>(1,289)</u>	<u>134,825</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	27,095	511	27,606
Transfers to Other Funds	(27,103)	(572)	(27,675)
Bond and Note Proceeds	<u>578</u>	<u>(53)</u>	<u>525</u>
Net Other Financing Sources (Uses)	<u>570</u>	<u>(114)</u>	<u>456</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(1,036)</u>	<u>(12)</u>	<u>(1,048)</u>
Closing Fund Balance	<u>3,823</u>	<u>(12)</u>	<u>3,811</u>

**CASH FINANCIAL PLAN
ALL GOVERNMENTAL FUNDS
2010-2011
(millions of dollars)**

	<u>2010-11 Enacted</u>	<u>Change</u>	<u>2010-11 Year-End</u>
Opening Fund Balance	<u>4,859</u>	<u>0</u>	<u>4,859</u>
Receipts:			
Taxes	61,796	(926)	60,870
Miscellaneous Receipts	23,014	134	23,148
Federal Receipts	<u>49,486</u>	<u>(183)</u>	<u>49,303</u>
Total Receipts	<u>134,296</u>	<u>(975)</u>	<u>133,321</u>
Disbursements:			
Local Assistance Grants	97,684	949	98,633
Departmental Operations:			
Personal Service	13,047	58	13,105
Non-Personal Service	6,139	(160)	5,979
General State Charges	6,337	25	6,362
Debt Service	5,516	99	5,615
Capital Projects	<u>7,164</u>	<u>(2,033)</u>	<u>5,131</u>
Total Disbursements	<u>135,887</u>	<u>(1,062)</u>	<u>134,825</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	27,472	134	27,606
Transfers to Other Funds	(27,491)	(184)	(27,675)
Bond and Note Proceeds	<u>578</u>	<u>(53)</u>	<u>525</u>
Net Other Financing Sources (Uses)	<u>559</u>	<u>(103)</u>	<u>456</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(1,032)</u>	<u>(16)</u>	<u>(1,048)</u>
Closing Fund Balance	<u>3,827</u>	<u>(16)</u>	<u>3,811</u>

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
2010-2011
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	31,240	0	0	0	31,240
Estimated Payments	9,735	0	0	0	9,735
Final Payments	1,964	0	0	0	1,964
Other Payments	1,063	0	0	0	1,063
Gross Collections	<u>44,002</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>44,002</u>
State/City Offset	(100)	0	0	0	(100)
Refunds	(7,693)	0	0	0	(7,693)
Reported Tax Collections	<u>36,209</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>36,209</u>
STAR (Dedicated Deposits)	(3,263)	3,263	0	0	0
RBTF (Dedicated Transfers)	(9,052)	0	0	9,052	0
Personal Income Tax	<u>23,894</u>	<u>3,263</u>	<u>0</u>	<u>9,052</u>	<u>36,209</u>
Sales and Use Tax	10,782	756	0	0	11,538
Cigarette and Tobacco Taxes	480	1,136	0	0	1,616
Motor Fuel Tax	0	109	408	0	517
Alcoholic Beverage Taxes	230	0	0	0	230
Highway Use Tax	0	0	129	0	129
Auto Rental Tax	0	35	60	0	95
Taxicab Surcharge	0	81	0	0	81
Gross Utility Taxes and Fees	<u>11,492</u>	<u>2,117</u>	<u>597</u>	<u>0</u>	<u>14,206</u>
LGAC Sales Tax (Dedicated Transfers)	(2,697)	0	0	2,697	0
User Taxes and Fees	<u>8,795</u>	<u>2,117</u>	<u>597</u>	<u>2,697</u>	<u>14,206</u>
Corporation Franchise Tax	2,472	374	0	0	2,846
Corporation and Utilities Tax	616	181	16	0	813
Insurance Taxes	1,217	134	0	0	1,351
Bank Tax	974	205	0	0	1,179
Petroleum Business Tax	0	484	606	0	1,090
Business Taxes	<u>5,279</u>	<u>1,378</u>	<u>622</u>	<u>0</u>	<u>7,279</u>
Estate Tax	1,218	0	0	0	1,218
Real Estate Transfer Tax	579	0	0	0	579
Gift Tax	1	0	0	0	1
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>1,816</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,816</u>
Real Estate Transfer Tax (Dedicated)	(579)	0	119	461	1
Other Taxes	<u>1,237</u>	<u>0</u>	<u>119</u>	<u>461</u>	<u>1,817</u>
Payroll Tax	<u>0</u>	<u>1,359</u>	<u>0</u>	<u>0</u>	<u>1,359</u>
Total Taxes	<u>39,205</u>	<u>8,117</u>	<u>1,338</u>	<u>12,210</u>	<u>60,870</u>
Licenses, Fees, Etc.	680	0	0	0	680
Abandoned Property	645	0	0	0	645
Motor Vehicle Fees	34	415	707	0	1,156
ABC License Fee	52	0	0	0	52
Reimbursements	270	0	0	0	270
Investment Income	6	0	0	0	6
Other Transactions	1,408	14,891	3,140	900	20,339
Miscellaneous Receipts	<u>3,095</u>	<u>15,306</u>	<u>3,847</u>	<u>900</u>	<u>23,148</u>
Federal Grants	<u>54</u>	<u>46,692</u>	<u>2,500</u>	<u>57</u>	<u>49,303</u>
Total	<u>42,354</u>	<u>70,115</u>	<u>7,685</u>	<u>13,167</u>	<u>133,321</u>

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
2011-2012
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	31,802	0	0	0	31,802
Estimated Payments	11,900	0	0	0	11,900
Final Payments	2,110	0	0	0	2,110
Other Payments	1,089	0	0	0	1,089
Gross Collections	<u>46,901</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>46,901</u>
State/City Offset	(148)	0	0	0	(148)
Refunds	(7,694)	0	0	0	(7,694)
Reported Tax Collections	<u>39,059</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>39,059</u>
STAR (Dedicated Deposits)	(3,292)	3,292	0	0	0
RBTF (Dedicated Transfers)	(9,766)	0	0	9,765	(1)
Personal Income Tax	<u>26,001</u>	<u>3,292</u>	<u>0</u>	<u>9,765</u>	<u>39,058</u>
Sales and Use Tax	11,173	742	0	0	11,915
Cigarette and Tobacco Taxes	492	1,194	0	0	1,686
Motor Fuel Tax	0	108	404	0	512
Alcoholic Beverage Taxes	233	0	0	0	233
Highway Use Tax	0	0	144	0	144
Auto Rental Tax	0	37	65	0	102
Taxicab Surcharge	0	81	0	0	81
Gross Utility Taxes and Fees	<u>11,898</u>	<u>2,162</u>	<u>613</u>	<u>0</u>	<u>14,673</u>
LGAC Sales Tax (Dedicated Transfers)	(2,793)	0	0	2,793	0
User Taxes and Fees	<u>9,105</u>	<u>2,162</u>	<u>613</u>	<u>2,793</u>	<u>14,673</u>
Corporation Franchise Tax	3,047	416	0	0	3,463
Corporation and Utilities Tax	681	196	15	0	892
Insurance Taxes	1,266	129	0	0	1,395
Bank Tax	1,107	210	0	0	1,317
Petroleum Business Tax	0	492	614	0	1,106
Business Taxes	<u>6,101</u>	<u>1,443</u>	<u>629</u>	<u>0</u>	<u>8,173</u>
Estate Tax	1,015	0	0	0	1,015
Real Estate Transfer Tax	620	0	0	0	620
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>1,650</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,650</u>
Real Estate Transfer Tax (Dedicated)	(620)	0	119	501	0
Other Taxes	<u>1,030</u>	<u>0</u>	<u>119</u>	<u>501</u>	<u>1,650</u>
Payroll Tax	<u>0</u>	<u>1,422</u>	<u>0</u>	<u>0</u>	<u>1,422</u>
Total Taxes	<u>42,237</u>	<u>8,319</u>	<u>1,361</u>	<u>13,059</u>	<u>64,976</u>
Licenses, Fees, Etc.	455	0	0	0	455
Abandoned Property	755	0	0	0	755
Motor Vehicle Fees	132	424	827	0	1,383
ABC License Fee	49	0	0	0	49
Reimbursements	202	0	0	0	202
Investment Income	10	0	0	0	10
Other Transactions	1,495	14,920	3,189	949	20,553
Miscellaneous Receipts	<u>3,098</u>	<u>15,344</u>	<u>4,016</u>	<u>949</u>	<u>23,407</u>
Federal Grants	<u>60</u>	<u>40,872</u>	<u>2,294</u>	<u>79</u>	<u>43,305</u>
Total	<u>45,395</u>	<u>64,535</u>	<u>7,671</u>	<u>14,087</u>	<u>131,688</u>

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
2012-2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	32,356	0	0	0	32,356
Estimated Payments	11,728	0	0	0	11,728
Final Payments	2,199	0	0	0	2,199
Other Payments	1,134	0	0	0	1,134
Gross Collections	<u>47,417</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,417</u>
State/City Offset	(148)	0	0	0	(148)
Refunds	(8,059)	0	0	0	(8,059)
Reported Tax Collections	<u>39,210</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>39,210</u>
STAR (Dedicated Deposits)	(3,322)	3,322	0	0	0
RBTF (Dedicated Transfers)	(9,803)	0	0	9,803	0
Personal Income Tax	<u>26,085</u>	<u>3,322</u>	<u>0</u>	<u>9,803</u>	<u>39,210</u>
Sales and Use Tax	11,503	770	0	0	12,273
Cigarette and Tobacco Taxes	518	1,254	0	0	1,772
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Tax	238	0	0	0	238
Highway Use Tax	0	0	144	0	144
Auto Rental Tax	0	39	68	0	107
Taxicab Surcharge	0	81	0	0	81
Gross Utility Taxes and Fees	<u>12,259</u>	<u>2,252</u>	<u>619</u>	<u>0</u>	<u>15,130</u>
LGAC Sales Tax (Dedicated Transfers)	(2,876)	0	0	2,876	0
User Taxes and Fees	<u>9,383</u>	<u>2,252</u>	<u>619</u>	<u>2,876</u>	<u>15,130</u>
Corporation Franchise Tax	3,178	520	0	0	3,698
Corporation and Utilities Tax	750	199	15	0	964
Insurance Taxes	1,318	133	0	0	1,451
Bank Tax	1,210	204	0	0	1,414
Petroleum Business Tax	0	512	639	0	1,151
Business Taxes	<u>6,456</u>	<u>1,568</u>	<u>654</u>	<u>0</u>	<u>8,678</u>
Estate Tax	1,070	0	0	0	1,070
Real Estate Transfer Tax	690	0	0	0	690
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>1,775</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,775</u>
Real Estate Transfer Tax (Dedicated)	(690)	0	119	570	(1)
Other Taxes	<u>1,085</u>	<u>0</u>	<u>119</u>	<u>570</u>	<u>1,774</u>
Payroll Tax	<u>0</u>	<u>1,501</u>	<u>0</u>	<u>0</u>	<u>1,501</u>
Total Taxes	<u>43,009</u>	<u>8,643</u>	<u>1,392</u>	<u>13,249</u>	<u>66,293</u>
Licenses, Fees, Etc.	525	0	0	0	525
Abandoned Property	735	0	0	0	735
Motor Vehicle Fees	109	422	824	0	1,355
ABC License Fee	51	0	0	0	51
Reimbursements	202	0	0	0	202
Investment Income	10	0	0	0	10
Other Transactions	1,285	15,781	2,861	997	20,924
Miscellaneous Receipts	<u>2,917</u>	<u>16,203</u>	<u>3,685</u>	<u>997</u>	<u>23,802</u>
Federal Grants	<u>60</u>	<u>37,687</u>	<u>1,847</u>	<u>79</u>	<u>39,673</u>
Total	<u>45,986</u>	<u>62,533</u>	<u>6,924</u>	<u>14,325</u>	<u>129,768</u>

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	34,535	0	0	0	34,535
Estimated Payments	11,910	0	0	0	11,910
Final Payments	2,154	0	0	0	2,154
Other Payments	1,210	0	0	0	1,210
Gross Collections	<u>49,809</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>49,809</u>
State/City Offset	(98)	0	0	0	(98)
Refunds	(8,272)	0	0	0	(8,272)
Reported Tax Collections	<u>41,439</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>41,439</u>
STAR (Dedicated Deposits)	(3,510)	3,510	0	0	0
RBTF (Dedicated Transfers)	(10,360)	0	0	10,360	0
Personal Income Tax	<u>27,569</u>	<u>3,510</u>	<u>0</u>	<u>10,360</u>	<u>41,439</u>
Sales and Use Tax	11,960	800	0	0	12,760
Cigarette and Tobacco Taxes	511	1,232	0	0	1,743
Motor Fuel Tax	0	109	408	0	517
Alcoholic Beverage Taxes	242	0	0	0	242
Highway Use Tax	0	0	143	0	143
Auto Rental Tax	0	41	71	0	112
Taxicab Surcharge	0	81	0	0	81
Gross Utility Taxes and Fees	<u>12,713</u>	<u>2,263</u>	<u>622</u>	<u>0</u>	<u>15,598</u>
LGAC Sales Tax (Dedicated Transfers)	(2,990)	0	0	2,990	0
User Taxes and Fees	<u>9,723</u>	<u>2,263</u>	<u>622</u>	<u>2,990</u>	<u>15,598</u>
Corporation Franchise Tax	3,284	546	0	0	3,830
Corporation and Utilities Tax	780	203	15	0	998
Insurance Taxes	1,376	142	0	0	1,518
Bank Tax	1,281	212	0	0	1,493
Petroleum Business Tax	0	514	641	0	1,155
Business Taxes	<u>6,721</u>	<u>1,617</u>	<u>656</u>	<u>0</u>	<u>8,994</u>
Estate Tax	1,130	0	0	0	1,130
Real Estate Transfer Tax	770	0	0	0	770
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>1,915</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,915</u>
Real Estate Transfer Tax (Dedicated)	(770)	0	119	651	0
Other Taxes	<u>1,145</u>	<u>0</u>	<u>119</u>	<u>651</u>	<u>1,915</u>
Payroll Tax	<u>0</u>	<u>1,590</u>	<u>0</u>	<u>0</u>	<u>1,590</u>
Total Taxes	<u>45,158</u>	<u>8,980</u>	<u>1,397</u>	<u>14,001</u>	<u>69,536</u>
Licenses, Fees, Etc.	486	0	0	0	486
Abandoned Property	670	0	0	0	670
Motor Vehicle Fees	36	421	836	0	1,293
ABC License Fee	50	0	0	0	50
Reimbursements	197	0	0	0	197
Investment Income	10	0	0	0	10
Other Transactions	1,047	16,166	2,680	1,043	20,936
Miscellaneous Receipts	<u>2,496</u>	<u>16,587</u>	<u>3,516</u>	<u>1,043</u>	<u>23,642</u>
Federal Grants	<u>60</u>	<u>39,731</u>	<u>1,811</u>	<u>79</u>	<u>41,681</u>
Total	<u>47,714</u>	<u>65,298</u>	<u>6,724</u>	<u>15,123</u>	<u>134,859</u>

**CASH RECEIPTS
ALL GOVERNMENTAL FUNDS
2014-2015
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
Taxes:					
Withholdings	36,383	0	0	0	36,383
Estimated Payments	12,575	0	0	0	12,575
Final Payments	2,151	0	0	0	2,151
Other Payments	1,312	0	0	0	1,312
Gross Collections	<u>52,421</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>52,421</u>
State/City Offset	(98)	0	0	0	(98)
Refunds	(9,136)	0	0	0	(9,136)
Reported Tax Collections	<u>43,187</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>43,187</u>
STAR (Dedicated Deposits)	(3,692)	3,693	0	0	1
RBTF (Dedicated Transfers)	(10,797)	0	0	10,797	0
Personal Income Tax	<u>28,698</u>	<u>3,693</u>	<u>0</u>	<u>10,797</u>	<u>43,188</u>
Sales and Use Tax	12,440	830	0	0	13,270
Cigarette and Tobacco Taxes	505	1,210	0	0	1,715
Motor Fuel Tax	0	109	411	0	520
Alcoholic Beverage Taxes	247	0	0	0	247
Highway Use Tax	0	0	146	0	146
Auto Rental Tax	0	43	74	0	117
Taxicab Surcharge	0	81	0	0	81
Gross Utility Taxes and Fees	<u>13,192</u>	<u>2,273</u>	<u>631</u>	<u>0</u>	<u>16,096</u>
LGAC Sales Tax (Dedicated Transfers)	(3,110)	0	0	3,110	0
User Taxes and Fees	<u>10,082</u>	<u>2,273</u>	<u>631</u>	<u>3,110</u>	<u>16,096</u>
Corporation Franchise Tax	2,527	584	0	0	3,111
Corporation and Utilities Tax	813	207	15	0	1,035
Insurance Taxes	1,438	153	0	0	1,591
Bank Tax	1,363	227	0	0	1,590
Petroleum Business Tax	0	516	643	0	1,159
Business Taxes	<u>6,141</u>	<u>1,687</u>	<u>658</u>	<u>0</u>	<u>8,486</u>
Estate Tax	1,195	0	0	0	1,195
Real Estate Transfer Tax	840	0	0	0	840
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	14	0	0	0	14
Other Taxes	1	0	0	0	1
Gross Other Taxes	<u>2,050</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,050</u>
Real Estate Transfer Tax (Dedicated)	(840)	0	119	721	0
Other Taxes	<u>1,210</u>	<u>0</u>	<u>119</u>	<u>721</u>	<u>2,050</u>
Payroll Tax	<u>0</u>	<u>1,681</u>	<u>0</u>	<u>0</u>	<u>1,681</u>
Total Taxes	<u>46,131</u>	<u>9,334</u>	<u>1,408</u>	<u>14,628</u>	<u>71,501</u>
Licenses, Fees, Etc.	506	0	0	0	506
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	36	421	836	0	1,293
ABC License Fee	50	0	0	0	50
Reimbursements	197	0	0	0	197
Investment Income	10	0	0	0	10
Other Transactions	612	16,422	2,408	1,064	20,506
Miscellaneous Receipts	<u>2,066</u>	<u>16,843</u>	<u>3,244</u>	<u>1,064</u>	<u>23,217</u>
Federal Grants	<u>60</u>	<u>45,067</u>	<u>1,809</u>	<u>79</u>	<u>47,015</u>
Total	<u>48,257</u>	<u>71,244</u>	<u>6,461</u>	<u>15,771</u>	<u>141,733</u>

**CURRENT STATE RECEIPTS
ALL GOVERNMENTAL FUNDS
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Taxes:				
Withholdings	31,240	31,802	562	1.8%
Estimated Payments	9,735	11,900	2,165	22.2%
Final Payments	1,964	2,110	146	7.4%
Other Payments	1,063	1,089	26	2.4%
Gross Collections	<u>44,002</u>	<u>46,901</u>	<u>2,899</u>	<u>6.6%</u>
State/City Offset	(100)	(148)	(48)	48.0%
Refunds	(7,693)	(7,694)	(1)	0.0%
Reported Tax Collections	<u>36,209</u>	<u>39,059</u>	<u>2,850</u>	<u>7.9%</u>
STAR (Dedicated Deposits)	0	0	0	--
RBTF (Dedicated Transfers)	0	(1)	(1)	--
Personal Income Tax	<u>36,209</u>	<u>39,058</u>	<u>2,849</u>	<u>7.9%</u>
Sales and Use Tax	11,538	11,915	377	3.3%
Cigarette and Tobacco Taxes	1,616	1,686	70	4.3%
Motor Fuel Tax	517	512	(5)	-1.0%
Alcoholic Beverage Taxes	230	233	3	1.3%
Highway Use Tax	129	144	15	11.6%
Auto Rental Tax	95	102	7	7.4%
Taxicab Surcharge	81	81	0	0.0%
Gross Utility Taxes and Fees	<u>14,206</u>	<u>14,673</u>	<u>467</u>	<u>3.3%</u>
LGAC Sales Tax (Dedicated Transfers)	0	0	0	--
User Taxes and Fees	<u>14,206</u>	<u>14,673</u>	<u>467</u>	<u>3.3%</u>
Corporation Franchise Tax	2,846	3,463	617	21.7%
Corporation and Utilities Tax	813	892	79	9.7%
Insurance Taxes	1,351	1,395	44	3.3%
Bank Tax	1,179	1,317	138	11.7%
Petroleum Business Tax	1,090	1,106	16	1.5%
Business Taxes	<u>7,279</u>	<u>8,173</u>	<u>894</u>	<u>12.3%</u>
Estate Tax	1,218	1,015	(203)	-16.7%
Real Estate Transfer Tax	579	620	41	7.1%
Gift Tax	1	0	(1)	-100.0%
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	14	(3)	-17.6%
Other Taxes	1	1	0	0.0%
Gross Other Taxes	<u>1,816</u>	<u>1,650</u>	<u>(166)</u>	<u>-9.1%</u>
Real Estate Transfer Tax (Dedicated)	1	0	(1)	-100.0%
Other Taxes	<u>1,817</u>	<u>1,650</u>	<u>(167)</u>	<u>-9.2%</u>
Payroll Tax	<u>1,359</u>	<u>1,422</u>	<u>63</u>	<u>4.6%</u>
Total Taxes	<u>60,870</u>	<u>64,976</u>	<u>4,106</u>	<u>6.7%</u>
Licenses, Fees, Etc.	680	455	(225)	-33.1%
Abandoned Property	645	755	110	17.1%
Motor Vehicle Fees	1,156	1,383	227	19.6%
ABC License Fee	52	49	(3)	-5.8%
Reimbursements	270	202	(68)	-25.2%
Investment Income	6	10	4	66.7%
Other Transactions	20,339	20,553	214	1.1%
Miscellaneous Receipts	<u>23,148</u>	<u>23,407</u>	<u>259</u>	<u>1.1%</u>
Federal Grants	<u>49,303</u>	<u>43,305</u>	<u>(5,998)</u>	<u>-12.2%</u>
Total	<u>133,321</u>	<u>131,688</u>	<u>(1,633)</u>	<u>-1.2%</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2010-2011
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>2,099</u>	<u>302</u>	<u>2,401</u>
Receipts:			
Taxes	8,117	0	8,117
Miscellaneous Receipts	15,154	152	15,306
Federal Receipts	0	46,692	46,692
Total Receipts	<u>23,271</u>	<u>46,844</u>	<u>70,115</u>
Disbursements:			
Local Assistance Grants	18,089	40,607	58,696
Departmental Operations:			
Personal Service	6,271	683	6,954
Non-Personal Service	3,080	1,014	4,094
General State Charges	1,915	260	2,175
Debt Service	0	0	0
Capital Projects	18	0	18
Total Disbursements	<u>29,373</u>	<u>42,564</u>	<u>71,937</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	8,077	(742)	7,335
Transfers to Other Funds	(1,933)	(3,831)	(5,764)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>6,144</u>	<u>(4,573)</u>	<u>1,571</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>42</u>	<u>(293)</u>	<u>(251)</u>
Closing Fund Balance	<u>2,141</u>	<u>9</u>	<u>2,150</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2011-2012
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>2,141</u>	<u>9</u>	<u>2,150</u>
Receipts:			
Taxes	8,319	0	8,319
Miscellaneous Receipts	15,212	132	15,344
Federal Receipts	<u>1</u>	<u>40,871</u>	<u>40,872</u>
Total Receipts	<u>23,532</u>	<u>41,003</u>	<u>64,535</u>
Disbursements:			
Local Assistance Grants	18,873	34,932	53,805
Departmental Operations:			
Personal Service	6,117	686	6,803
Non-Personal Service	3,193	1,010	4,203
General State Charges	1,862	303	2,165
Debt Service	0	0	0
Capital Projects	<u>5</u>	<u>0</u>	<u>5</u>
Total Disbursements	<u>30,050</u>	<u>36,931</u>	<u>66,981</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,322	1	7,323
Transfers to Other Funds	(719)	(4,072)	(4,791)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
Net Other Financing Sources (Uses)	<u>6,603</u>	<u>(4,071)</u>	<u>2,532</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>85</u>	<u>1</u>	<u>86</u>
Closing Fund Balance	<u>2,226</u>	<u>10</u>	<u>2,236</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2012-2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>2,226</u>	<u>10</u>	<u>2,236</u>
Receipts:			
Taxes	8,643	0	8,643
Miscellaneous Receipts	16,072	131	16,203
Federal Receipts	1	37,686	37,687
Total Receipts	<u>24,716</u>	<u>37,817</u>	<u>62,533</u>
Disbursements:			
Local Assistance Grants	19,778	31,891	51,669
Departmental Operations:			
Personal Service	6,198	681	6,879
Non-Personal Service	3,334	909	4,243
General State Charges	1,999	332	2,331
Debt Service	0	0	0
Capital Projects	5	0	5
Total Disbursements	<u>31,314</u>	<u>33,813</u>	<u>65,127</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,285	1	7,286
Transfers to Other Funds	(283)	(4,005)	(4,288)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>7,002</u>	<u>(4,004)</u>	<u>2,998</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>404</u>	<u>0</u>	<u>404</u>
Closing Fund Balance	<u>2,630</u>	<u>10</u>	<u>2,640</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2013-2014
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>2,630</u>	<u>10</u>	<u>2,640</u>
Receipts:			
Taxes	8,980	0	8,980
Miscellaneous Receipts	16,456	131	16,587
Federal Receipts	1	39,730	39,731
Total Receipts	<u>25,437</u>	<u>39,861</u>	<u>65,298</u>
Disbursements:			
Local Assistance Grants	20,391	34,042	54,433
Departmental Operations:			
Personal Service	6,295	671	6,966
Non-Personal Service	3,436	888	4,324
General State Charges	2,145	338	2,483
Debt Service	0	0	0
Capital Projects	5	0	5
Total Disbursements	<u>32,272</u>	<u>35,939</u>	<u>68,211</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,477	1	7,478
Transfers to Other Funds	(130)	(3,922)	(4,052)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>7,347</u>	<u>(3,921)</u>	<u>3,426</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>512</u>	<u>1</u>	<u>513</u>
Closing Fund Balance	<u>3,142</u>	<u>11</u>	<u>3,153</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2014-2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>3,142</u>	<u>11</u>	<u>3,153</u>
Receipts:			
Taxes	9,334	0	9,334
Miscellaneous Receipts	16,712	131	16,843
Federal Receipts	1	45,066	45,067
Total Receipts	<u>26,047</u>	<u>45,197</u>	<u>71,244</u>
Disbursements:			
Local Assistance Grants	21,016	39,747	60,763
Departmental Operations:			
Personal Service	6,421	674	7,095
Non-Personal Service	3,501	883	4,384
General State Charges	2,330	344	2,674
Debt Service	0	0	0
Capital Projects	5	0	5
Total Disbursements	<u>33,273</u>	<u>41,648</u>	<u>74,921</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	7,683	1	7,684
Transfers to Other Funds	26	(3,550)	(3,524)
Bond and Note Proceeds	0	0	0
Net Other Financing Sources (Uses)	<u>7,709</u>	<u>(3,549)</u>	<u>4,160</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>483</u>	<u>0</u>	<u>483</u>
Closing Fund Balance	<u>3,625</u>	<u>11</u>	<u>3,636</u>

**CASH FINANCIAL PLAN
SPECIAL REVENUE FUNDS
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>2,401</u>	<u>2,150</u>	<u>(251)</u>	<u>-10.5%</u>
Receipts:				
Taxes	8,117	8,319	202	2.5%
Miscellaneous Receipts	15,306	15,344	38	0.2%
Federal Receipts	<u>46,692</u>	<u>40,872</u>	<u>(5,820)</u>	<u>-12.5%</u>
Total receipts	<u>70,115</u>	<u>64,535</u>	<u>(5,580)</u>	<u>-8.0%</u>
Disbursements:				
Local Assistance Grants	58,696	53,805	(4,891)	-8.3%
Departmental Operations:				
I Personal Service	6,954	6,803	(151)	-2.2%
I Non-Personal Service	4,094	4,203	109	2.7%
General State Charges	2,175	2,165	(10)	-0.5%
Debt Service	0	0	0	--
Capital Projects	<u>18</u>	<u>5</u>	<u>(13)</u>	<u>-72.2%</u>
Total Disbursements	<u>71,937</u>	<u>66,981</u>	<u>(4,956)</u>	<u>-6.9%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	7,335	7,323	(12)	-0.2%
Transfers to Other Funds	(5,764)	(4,791)	973	-16.9%
Bond and Proceed Notes	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
Net Other Financing Sources (Uses)	<u>1,571</u>	<u>2,532</u>	<u>961</u>	<u>61.2%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(251)</u>	<u>86</u>	<u>337</u>	<u>-134.3%</u>
Closing Fund Balance	<u>2,150</u>	<u>2,236</u>	<u>86</u>	<u>4.0%</u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
2011-2012 THROUGH 2014-2015
(millions of dollars)**

	<u>2011-2012 Enacted</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
Personal Income Tax	<u>3,292</u>	<u>3,322</u>	<u>3,510</u>	<u>3,693</u>
User Taxes and Fees	<u>2,162</u>	<u>2,252</u>	<u>2,263</u>	<u>2,273</u>
Sales and Use Tax	742	770	800	830
Cigarette and Tobacco Taxes	1,194	1,254	1,232	1,210
Motor Fuel Tax	108	108	109	109
Auto Rental Tax	37	39	41	43
Taxicab Surcharge	81	81	81	81
Business Taxes	<u>1,443</u>	<u>1,568</u>	<u>1,617</u>	<u>1,687</u>
Corporation Franchise Tax	416	520	546	584
Corporation and Utilities Tax	196	199	203	207
Insurance Taxes	129	133	142	153
Bank Tax	210	204	212	227
Petroleum Business Tax	492	512	514	516
Payroll Tax	<u>1,422</u>	<u>1,501</u>	<u>1,590</u>	<u>1,681</u>
Total Taxes	<u>8,319</u>	<u>8,643</u>	<u>8,980</u>	<u>9,334</u>
Miscellaneous Receipts	<u>15,344</u>	<u>16,203</u>	<u>16,587</u>	<u>16,843</u>
HCRA	4,288	4,832	4,988	5,107
State University Income	3,650	3,888	4,006	4,111
Lottery	3,072	3,241	3,309	3,330
Medicaid	870	831	823	826
Industry Assessments	811	837	848	860
Motor Vehicle Fees	424	422	421	421
All Other	2,229	2,152	2,192	2,188
Federal Grants	<u>40,872</u>	<u>37,687</u>	<u>39,731</u>	<u>45,067</u>
Total	<u><u>64,535</u></u>	<u><u>62,533</u></u>	<u><u>65,298</u></u>	<u><u>71,244</u></u>

**CASH RECEIPTS
SPECIAL REVENUE FUNDS
2010-2011 and 2011-2012
(millions of dollars)**

	2010-2011 Year-End	2011-2012 Enacted	Annual \$ Change	Annual % Change
Personal Income Tax	3,263	3,292	29	0.9%
User Taxes and Fees	2,117	2,162	45	2.1%
Sales and Use Tax	756	742	(14)	-1.9%
Cigarette and Tobacco Taxes	1,136	1,194	58	5.1%
Motor Fuel Tax	109	108	(1)	-0.9%
Auto Rental Tax	35	37	2	5.7%
Taxicab Surcharge	81	81	0	0.0%
Business Taxes	1,378	1,443	65	4.7%
Corporation Franchise Tax	374	416	42	11.2%
Corporation and Utilities Tax	181	196	15	8.3%
Insurance Taxes	134	129	(5)	-3.7%
Bank Tax	205	210	5	2.4%
Petroleum Business Tax	484	492	8	1.7%
Payroll Tax	1,359	1,422	63	4.6%
Total Taxes	8,117	8,319	202	2.5%
Miscellaneous Receipts	15,306	15,344	38	0.2%
HCRA	4,150	4,288	138	3.3%
State University Income	3,275	3,650	375	11.5%
Lottery	3,206	3,072	(134)	-4.2%
Medicaid	739	870	131	17.7%
Industry Assessments	890	811	(79)	-8.9%
Motor Vehicle Fees	415	424	9	2.2%
All Other	2,631	2,229	(402)	-15.3%
Federal Grants	46,692	40,872	(5,820)	-12.5%
Total	70,115	64,535	(5,580)	-8.0%

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2010-2011
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(31)</u>	<u>(223)</u>	<u>(254)</u>
Receipts:			
Taxes	1,338	0	1,338
Miscellaneous Receipts	3,845	2	3,847
Federal Receipts	<u>6</u>	<u>2,494</u>	<u>2,500</u>
Total Receipts	<u>5,189</u>	<u>2,496</u>	<u>7,685</u>
Disbursements:			
Local Assistance Grants	1,747	984	2,731
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	<u>3,954</u>	<u>1,159</u>	<u>5,113</u>
Total Disbursements	<u>5,701</u>	<u>2,143</u>	<u>7,844</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,357	(227)	1,130
Transfers to Other Funds	(1,401)	(9)	(1,410)
Bond and Note Proceeds	<u>525</u>	<u>0</u>	<u>525</u>
Net Other Financing Sources (Uses)	<u>481</u>	<u>(236)</u>	<u>245</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(31)</u>	<u>117</u>	<u>86</u>
Closing Fund Balance	<u>(62)</u>	<u>(106)</u>	<u>(168)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2011-2012
(millions of dollars)**

	State	Federal	Total
Opening Fund Balance	(62)	(106)	(168)
Receipts:			
Taxes	1,361	0	1,361
Miscellaneous Receipts	4,016	0	4,016
Federal Receipts	5	2,289	2,294
Total Receipts	5,382	2,289	7,671
Disbursements:			
Local Assistance Grants	1,704	1,007	2,711
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	4,221	956	5,177
Total Disbursements	5,925	1,963	7,888
Other Financing Sources (Uses):			
Transfers from Other Funds	1,392	(332)	1,060
Transfers to Other Funds	(1,433)	(12)	(1,445)
Bond and Note Proceeds	484	0	484
Net Other Financing Sources (Uses)	443	(344)	99
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(100)	(18)	(118)
Closing Fund Balance	(162)	(124)	(286)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2012-2013
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(162)</u>	<u>(124)</u>	<u>(286)</u>
Receipts:			
Taxes	1,392	0	1,392
Miscellaneous Receipts	3,685	0	3,685
Federal Receipts	5	1,842	1,847
Total Receipts	<u>5,082</u>	<u>1,842</u>	<u>6,924</u>
Disbursements:			
Local Assistance Grants	1,264	746	2,010
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	4,457	819	5,276
Total Disbursements	<u>5,721</u>	<u>1,565</u>	<u>7,286</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,721	(311)	1,410
Transfers to Other Funds	(1,493)	(12)	(1,505)
Bond and Note Proceeds	400	0	400
Net Other Financing Sources (Uses)	<u>628</u>	<u>(323)</u>	<u>305</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(11)</u>	<u>(46)</u>	<u>(57)</u>
Closing Fund Balance	<u>(173)</u>	<u>(170)</u>	<u>(343)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2013-2014
(millions of dollars)**

	State	Federal	Total
Opening Fund Balance	(173)	(170)	(343)
Receipts:			
Taxes	1,397	0	1,397
Miscellaneous Receipts	3,516	0	3,516
Federal Receipts	5	1,806	1,811
Total Receipts	4,918	1,806	6,724
Disbursements:			
Local Assistance Grants	1,297	704	2,001
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	4,337	730	5,067
Total Disbursements	5,634	1,434	7,068
Other Financing Sources (Uses):			
Transfers from Other Funds	1,910	(328)	1,582
Transfers to Other Funds	(1,541)	(13)	(1,554)
Bond and Note Proceeds	338	0	338
Net Other Financing Sources (Uses)	707	(341)	366
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(9)	31	22
Closing Fund Balance	(182)	(139)	(321)

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2014-2015
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
Opening Fund Balance	<u>(182)</u>	<u>(139)</u>	<u>(321)</u>
Receipts:			
Taxes	1,408	0	1,408
Miscellaneous Receipts	3,244	0	3,244
Federal Receipts	5	1,804	1,809
Total Receipts	<u>4,657</u>	<u>1,804</u>	<u>6,461</u>
Disbursements:			
Local Assistance Grants	1,034	696	1,730
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	4,280	715	4,995
Total Disbursements	<u>5,314</u>	<u>1,411</u>	<u>6,725</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	1,855	(336)	1,519
Transfers to Other Funds	(1,515)	(13)	(1,528)
Bond and Note Proceeds	306	0	306
Net Other Financing Sources (Uses)	<u>646</u>	<u>(349)</u>	<u>297</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(11)</u>	<u>44</u>	<u>33</u>
Closing Fund Balance	<u>(193)</u>	<u>(95)</u>	<u>(288)</u>

**CASH FINANCIAL PLAN
CAPITAL PROJECTS FUNDS
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>(254)</u>	<u>(168)</u>	<u>86</u>	
Receipts:				
Taxes	1,338	1,361	23	1.7%
Miscellaneous Receipts	3,847	4,016	169	4.4%
Federal Receipts	2,500	2,294	(206)	-8.2%
Total Receipts	<u>7,685</u>	<u>7,671</u>	<u>(14)</u>	<u>-0.2%</u>
Disbursements:				
Local Assistance Grants	2,731	2,711	(20)	-0.7%
Departmental Operations:				
Personal Service	0	0	0	--
Non-Personal Service	0	0	0	--
General State Charges	0	0	0	--
Debt Service	0	0	0	--
Capital Projects	5,113	5,177	64	1.3%
Total Disbursements	<u>7,844</u>	<u>7,888</u>	<u>44</u>	<u>0.6%</u>
Other financing sources (uses):				
Transfers From Other Funds	1,130	1,060	(70)	-6.2%
Transfers to Other Funds	(1,410)	(1,445)	(35)	2.5%
Bond and Note Proceeds	525	484	(41)	-7.8%
Net Other Financing Sources (Uses)	<u>245</u>	<u>99</u>	<u>(146)</u>	<u>-59.6%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>86</u>	<u>(118)</u>	<u>(204)</u>	
Closing Fund Balance	<u>(168)</u>	<u>(286)</u>	<u>(118)</u>	

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
2011-2012 THROUGH 2014-2015
(millions of dollars)**

	<u>2011-2012 Enacted</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
User Taxes and Fees	613	619	622	631
Motor Fuel Tax	404	407	408	411
Highway Use Tax	144	144	143	146
Auto Rental Tax	65	68	71	74
Business Taxes	629	654	656	658
Corporation and Utilities Tax	15	15	15	15
Petroleum Business Tax	614	639	641	643
Other Taxes	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
Total Taxes	<u>1,361</u>	<u>1,392</u>	<u>1,397</u>	<u>1,408</u>
Miscellaneous Receipts	4,016	3,685	3,516	3,244
Authority Bond Proceeds	3,029	2,702	2,520	2,248
State Park Fees	32	24	24	24
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	827	824	836	836
All Other	51	58	59	59
Federal Grants	<u>2,294</u>	<u>1,847</u>	<u>1,811</u>	<u>1,809</u>
Total	<u><u>7,671</u></u>	<u><u>6,924</u></u>	<u><u>6,724</u></u>	<u><u>6,461</u></u>

**CASH RECEIPTS
CAPITAL PROJECTS FUNDS
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
User Taxes and Fees	597	613	16	2.7%
Motor Fuel Tax	408	404	(4)	-1.0%
Highway Use Tax	129	144	15	11.6%
Auto Rental Tax	60	65	5	8.3%
Business Taxes	622	629	7	1.1%
Corporation and Utilities Tax	16	15	(1)	-6.3%
Petroleum Business Tax	606	614	8	1.3%
Other Taxes	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
Total Taxes	<u>1,338</u>	<u>1,361</u>	<u>23</u>	<u>1.7%</u>
Miscellaneous Receipts	3,847	4,016	169	4.4%
Authority Bond Proceeds	2,869	3,029	160	5.6%
State Park Fees	24	32	8	33.3%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	707	827	120	17.0%
All Other	170	51	(119)	-70.0%
Federal Grants	2,500	2,294	(206)	-8.2%
Total	<u><u>7,685</u></u>	<u><u>7,671</u></u>	<u><u>(14)</u></u>	<u><u>-0.2%</u></u>

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL OFF-BUDGET SPENDING**
(thousands of dollars)

	2010-2011 Year-End	2011-2012 Enacted	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development	28,071	65,430	53,520	56,680	31,260
Empire State Development Corporation	18,910	16,770	13,000	13,000	13,000
Functional Total	46,981	82,200	66,520	69,680	44,260
TRANSPORTATION					
Transportation, Department of	324,101	456,555	403,400	403,400	403,400
Functional Total	324,101	456,555	403,400	403,400	403,400
HEALTH					
Public Health	393	0	0	0	0
Functional Total	393	0	0	0	0
MENTAL HEALTH					
Mental Health, Office of	51,974	243,348	296,553	214,117	214,117
People with Developmental Disabilities, Office for	23,280	52,329	59,379	66,129	66,129
Alcoholism and Substance Abuse Services, Office of	910	5,000	5,000	5,000	5,000
Functional Total	76,164	300,677	360,932	285,246	285,246
HIGHER EDUCATION					
City University of New York	605,920	455,430	464,222	477,525	493,658
Education School Aid	103,625	110,000	100,000	100,000	92,172
State University of New York	277,544	280,800	373,427	101,875	74,500
Functional Total	987,089	846,230	937,649	679,400	660,330
ALL OTHER					
Judiciary	1,072	11,500	7,000	11,000	6,700
Functional Total	1,072	11,500	7,000	11,000	6,700
TOTAL CAPITAL OFF-BUDGET SPENDING	1,435,800	1,697,162	1,775,501	1,448,726	1,399,936

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS
DEBT SERVICE FUNDS
2011-2012 THROUGH 2014-2015
(millions of dollars)**

	<u>2011-2012 Enacted</u>	<u>2012-2013 Projected</u>	<u>2013-2014 Projected</u>	<u>2014-2015 Projected</u>
Personal Income Tax	9,765	9,803	10,360	10,797
User Taxes and Fees	2,793	2,876	2,990	3,110
Sales and Use Tax	2,793	2,876	2,990	3,110
Other Taxes	501	570	651	721
Real Estate Transfer Tax	501	570	651	721
Total Taxes	13,059	13,249	14,001	14,628
Miscellaneous Receipts	949	997	1,043	1,064
Mental Hygiene Patient Receipts	325	352	375	404
SUNY Dormitory Fees	482	505	529	554
Health Patient Receipts	128	128	128	98
All Other	14	12	11	8
Federal Grants	79	79	79	79
Total	<u>14,087</u>	<u>14,325</u>	<u>15,123</u>	<u>15,771</u>

**CASH RECEIPTS
DEBT SERVICE FUNDS
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Personal Income Tax	9,052	9,765	713	7.9%
User Taxes and Fees	2,697	2,793	96	3.6%
Sales and Use Tax	2,697	2,793	96	3.6%
Other Taxes	461	501	40	8.7%
Real Estate Transfer Tax	461	501	40	8.7%
Total Taxes	12,210	13,059	849	7.0%
Miscellaneous Receipts	900	949	49	5.4%
Mental Hygiene Patient Receipts	310	325	15	4.8%
SUNY Dormitory Fees	462	482	20	4.3%
Health Patient Receipts	116	128	12	10.3%
All Other	12	14	2	16.7%
Federal Grants	57	79	22	38.6%
Total	<u>13,167</u>	<u>14,087</u>	<u>920</u>	<u>7.0%</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2010-2011
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Opening Fund Balance	2,302	2,099	(31)	410	4,780
Receipts:					
Taxes	39,205	8,117	1,338	12,210	60,870
Miscellaneous Receipts	3,095	15,154	3,845	900	22,994
Federal Receipts	54	0	6	57	117
Total Receipts	<u>42,354</u>	<u>23,271</u>	<u>5,189</u>	<u>13,167</u>	<u>83,981</u>
Disbursements:					
Local Assistance Grants	37,206	18,089	1,747	0	57,042
Departmental Operations:					
Personal Service	6,151	6,271	0	0	12,422
Non-Personal Service	1,822	3,080	0	63	4,965
General State Charges	4,187	1,915	0	0	6,102
Debt Service	0	0	0	5,615	5,615
Capital Projects	0	18	3,954	0	3,972
Total Disbursements	<u>49,366</u>	<u>29,373</u>	<u>5,701</u>	<u>5,678</u>	<u>90,118</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,093	8,077	1,357	7,048	28,575
Transfers to Other Funds	(6,007)	(1,933)	(1,401)	(14,494)	(23,835)
Bond and Note Proceeds	0	0	525	0	525
Net Other Financing Sources (Uses)	<u>6,086</u>	<u>6,144</u>	<u>481</u>	<u>(7,446)</u>	<u>5,265</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(926)</u>	<u>42</u>	<u>(31)</u>	<u>43</u>	<u>(872)</u>
Closing Fund Balance	<u>1,376</u>	<u>2,141</u>	<u>(62)</u>	<u>453</u>	<u>3,908</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2011-2012
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	State Funds Total
Opening Fund Balance	1,376	2,141	(62)	453	3,908
Receipts:					
Taxes	42,237	8,319	1,361	13,059	64,976
Miscellaneous Receipts	3,098	15,212	4,016	949	23,275
Federal Receipts	60	1	5	79	145
Total Receipts	<u>45,395</u>	<u>23,532</u>	<u>5,382</u>	<u>14,087</u>	<u>88,396</u>
Disbursements:					
Local Assistance Grants	38,888	18,873	1,704	0	59,465
Departmental Operations:					
Personal Service	5,560	6,117	0	0	11,677
Non-Personal Service	1,796	3,193	0	62	5,051
General State Charges	4,668	1,862	0	0	6,530
Debt Service	0	0	0	5,855	5,855
Capital Projects	0	5	4,221	0	4,226
Total Disbursements	<u>50,912</u>	<u>30,050</u>	<u>5,925</u>	<u>5,917</u>	<u>92,804</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	11,898	7,322	1,392	6,524	27,136
Transfers to Other Funds	(6,020)	(719)	(1,433)	(14,574)	(22,746)
Bond and Note Proceeds	0	0	484	0	484
Net Other Financing Sources (Uses)	<u>5,878</u>	<u>6,603</u>	<u>443</u>	<u>(8,050)</u>	<u>4,874</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>361</u>	<u>85</u>	<u>(100)</u>	<u>120</u>	<u>466</u>
Closing Fund Balance	<u>1,737</u>	<u>2,226</u>	<u>(162)</u>	<u>573</u>	<u>4,374</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2012-2013
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	43,009	8,643	1,392	13,249	66,293
Miscellaneous Receipts	2,917	16,072	3,685	997	23,671
Federal Receipts	60	1	5	79	145
Total Receipts	<u>45,986</u>	<u>24,716</u>	<u>5,082</u>	<u>14,325</u>	<u>90,109</u>
Disbursements:					
Local Assistance Grants	40,115	19,778	1,264	0	61,157
Departmental Operations:					
Personal Service	5,773	6,198	0	0	11,971
Non-Personal Service	2,178	3,334	0	62	5,574
General State Charges	5,126	1,999	0	0	7,125
Debt Service	0	0	0	6,332	6,332
Capital Projects	0	5	4,457	0	4,462
Total Disbursements	<u>53,192</u>	<u>31,314</u>	<u>5,721</u>	<u>6,394</u>	<u>96,621</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	11,656	7,285	1,721	6,607	27,269
Transfers to Other Funds	(6,738)	(283)	(1,493)	(14,436)	(22,950)
Reserve for Collective Bargaining	(142)	0	0	0	(142)
Reserve for Community Projects Fund	51	0	0	0	51
Bond and Note Proceeds	0	0	400	0	400
Net Other Financing Sources (Uses)	<u>4,827</u>	<u>7,002</u>	<u>628</u>	<u>(7,829)</u>	<u>4,628</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	(142)	0	0	(142)	
Reserve for Community Projects Fund	51	0	0	51	102
Net Designated General Fund Reserves	<u>(91)</u>	<u>0</u>	<u>0</u>	<u>(91)</u>	
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(2,379)</u>	<u>404</u>	<u>(11)</u>	<u>102</u>	<u>(1,884)</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2013-2014
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	45,158	8,980	1,397	14,001	69,536
Miscellaneous Receipts	2,496	16,456	3,516	1,043	23,511
Federal Receipts	60	1	5	79	145
Total Receipts	<u>47,714</u>	<u>25,437</u>	<u>4,918</u>	<u>15,123</u>	<u>93,192</u>
Disbursements:					
Local Assistance Grants	41,996	20,391	1,297	0	63,684
Departmental Operations:					
Personal Service	5,879	6,295	0	0	12,174
Non-Personal Service	2,036	3,436	0	62	5,534
General State Charges	5,499	2,145	0	0	7,644
Debt Service	0	0	0	6,498	6,498
Capital Projects	0	5	4,337	0	4,342
Total Disbursements	<u>55,410</u>	<u>32,272</u>	<u>5,634</u>	<u>6,560</u>	<u>99,876</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,162	7,477	1,910	6,552	28,101
Transfers to Other Funds	(7,160)	(130)	(1,541)	(15,009)	(23,840)
Bond and Note Proceeds	0	0	338	0	338
Net Other Financing Sources (Uses)	<u>5,002</u>	<u>7,347</u>	<u>707</u>	<u>(8,457)</u>	<u>4,599</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	(142)	0	0	0	(142)
Net Designated General Fund Reserves	<u>(142)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(142)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other					
	<u>(2,836)</u>	<u>512</u>	<u>(9)</u>	<u>106</u>	<u>(2,227)</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2014-2015
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
Receipts:					
Taxes	46,131	9,334	1,408	14,628	71,501
Miscellaneous Receipts	2,066	16,712	3,244	1,064	23,086
Federal Receipts	60	1	5	79	145
Total Receipts	<u>48,257</u>	<u>26,047</u>	<u>4,657</u>	<u>15,771</u>	<u>94,732</u>
Disbursements:					
Local Assistance Grants	43,734	21,016	1,034	0	65,784
Departmental Operations:					
Personal Service	6,047	6,421	0	0	12,468
Non-Personal Service	2,163	3,501	0	62	5,726
General State Charges	5,660	2,330	0	0	7,990
Debt Service	0	0	0	6,551	6,551
Capital Projects	0	5	4,280	0	4,285
Total Disbursements	<u>57,604</u>	<u>33,273</u>	<u>5,314</u>	<u>6,613</u>	<u>102,804</u>
Other Financing Sources (Uses):					
Transfers from Other Funds	12,680	7,683	1,855	6,185	28,403
Transfers to Other Funds	(7,796)	26	(1,515)	(15,197)	(24,482)
Bond and Note Proceeds	0	0	306	0	306
Net Other Financing Sources (Uses)	<u>4,884</u>	<u>7,709</u>	<u>646</u>	<u>(9,012)</u>	<u>4,227</u>
Designated General Fund Reserves:					
Reserve for Collective Bargaining	(142)	0	0	0	(142)
Net Designated General Fund Reserves	<u>(142)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(142)</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses					
	<u>(4,605)</u>	<u>483</u>	<u>(11)</u>	<u>146</u>	<u>(3,987)</u>

**CASH FINANCIAL PLAN
STATE FUNDS
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-2011 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
Opening Fund Balance	<u>4,780</u>	<u>3,908</u>	<u>(872)</u>	
Receipts:				
Taxes	60,870	64,976	4,106	6.7%
Miscellaneous Receipts	22,994	23,275	281	1.2%
Federal Receipts	117	145	28	23.9%
Total Receipts	<u>83,981</u>	<u>88,396</u>	<u>4,415</u>	<u>5.3%</u>
Disbursements:				
Local Assistance Grants	57,042	59,465	2,423	4.2%
Departmental Operations:				
Personal Service	12,422	11,677	(745)	-6.0%
Non-Personal Service	4,965	5,051	86	1.7%
General State Charges	6,102	6,530	428	7.0%
Debt Service	5,615	5,855	240	4.3%
Capital Projects	3,972	4,226	254	6.4%
Total Disbursements	<u>90,118</u>	<u>92,804</u>	<u>2,686</u>	<u>3.0%</u>
Other Financing Sources (Uses):				
Transfers from Other Funds	28,575	27,136	(1,439)	-5.0%
Transfers to Other Funds	(23,835)	(22,746)	1,089	-4.6%
Bond and Note Proceeds	525	484	(41)	-7.8%
Net Other Financing Sources (Uses)	<u>5,265</u>	<u>4,874</u>	<u>(391)</u>	<u>-7.4%</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(872)</u>	<u>466</u>	<u>1,338</u>	
Closing Fund Balance	<u>3,908</u>	<u>4,374</u>	<u>466</u>	

**CASHFLOW
GENERAL FUND
2010-2011
(dollars in millions)**

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	2011 January Actuals	February Actuals	March Actuals*	Total
OPENING BALANCE	2,302	4,274	1,648	(87)	590	528	2,381	2,256	1,689	3,008	5,617	6,419	2,302
RECEIPTS:													
Personal Income Tax	3,069	783	2,164	1,575	1,669	2,571	1,610	1,329	2,732	2,575	1,955	1,862	23,894
User Taxes and Fees	669	589	858	666	666	863	669	688	931	754	603	839	8,795
Business Taxes	60	2	915	80	21	990	59	132	1,169	122	124	1,605	5,279
Other Taxes	93	83	103	155	81	116	82	85	96	149	108	86	1,237
Total Taxes	3,891	1,457	4,040	2,476	2,437	4,540	2,420	2,234	4,928	3,600	2,790	4,392	39,205
Licenses, Fees, etc.	47	56	55	77	53	69	52	72	48	36	66	49	680
Abandoned Property	0	(4)	77	3	28	43	32	129	68	18	12	239	645
ABC License Fee	9	1	6	4	4	4	2	4	4	5	5	4	52
Motor vehicle fees	0	0	0	0	0	0	0	0	0	0	0	34	34
Reimbursements	7	13	35	13	6	47	9	8	35	5	31	61	270
Investment Income	1	0	0	1	0	0	0	1	0	1	0	2	6
Other Transactions	26	33	80	41	69	423	51	36	71	98	23	457	1,408
Total Miscellaneous Receipts	90	99	253	139	160	586	147	249	226	163	137	846	3,095
Federal Grants	1	13	0	0	0	0	17	0	13	0	0	10	54
PIT in Excess of Revenue Bond Debt Service	1,022	108	887	509	232	964	382	162	989	1,245	225	900	7,625
Sales Tax in Excess of LGAC Debt Service	180	100	350	202	132	267	191	207	294	232	7	189	2,351
Real Estate Taxes in Excess of CW/CA Debt Service	32	34	33	39	33	28	26	21	11	33	39	19	348
All Other	4	1	62	87	20	11	18	21	144	105	188	1,108	1,769
Total Transfers from Other Funds	1,238	243	1,332	837	417	1,270	617	411	1,438	1,615	459	2,216	12,093
TOTAL RECEIPTS	5,220	1,812	5,625	3,452	3,014	6,396	3,201	2,894	6,605	5,378	3,386	7,464	54,447
DISBURSEMENTS:													
School Aid	491	2,616	3,767	100	574	1,063	387	871	1,745	125	467	6,489	18,705
Higher Education	16	16	379	198	14	314	58	377	126	184	43	722	2,447
All Other Education	17	14	17	24	88	523	24	170	224	55	37	266	1,459
Medicaid - DOH	1,085	633	668	516	590	389	244	638	832	462	752	670	7,479
Public Health	40	30	122	16	65	117	17	20	40	40	12	129	648
Mental Hygiene	10	5	362	50	130	392	164	16	398	122	157	433	2,239
Children and Families	9	15	14	66	274	74	338	72	235	149	55	363	1,664
Temporary & Disability Assistance	61	140	61	62	114	83	152	73	236	66	3	145	1,196
Transportation	0	0	0	11	9	18	0	13	29	3	12	2	97
Unrestricted Aid	3	12	274	1	1	96	9	0	209	3	0	167	775
All Other	19	16	189	(27)	45	48	(48)	87	57	44	26	41	497
Total Local Assistance Grants	1,751	3,497	5,853	1,017	1,904	3,117	1,355	2,337	4,131	1,253	1,564	9,427	37,206
Personal Service	514	547	586	619	465	622	405	475	579	351	389	599	6,151
Non-Personal Service	143	108	151	171	180	175	123	112	136	134	159	230	1,822
Total State Operations	657	655	737	790	645	797	528	587	715	485	548	829	7,973
General State Charges	122	30	485	112	348	298	439	317	147	412	79	1,398	4,187
Debt Service	414	38	3	470	(5)	(133)	601	(37)	19	422	(15)	(40)	1,737
Capital Projects	14	21	4	121	67	63	88	88	67	108	108	518	932
State Share Medicaid	180	162	244	185	184	363	179	193	184	157	287	179	2,497
Other Purposes	110	35	34	80	42	38	136	74	23	60	13	196	841
Total Transfers to Other Funds	718	256	285	856	179	331	1,004	220	293	619	393	853	6,007
TOTAL DISBURSEMENTS	3,248	4,438	7,360	2,775	3,076	4,543	3,326	3,461	5,286	2,769	2,584	12,507	55,373
Excess/(Deficiency) of Receipts over Disbursements	1,972	(2,626)	(1,735)	677	(62)	1,853	(125)	(567)	1,319	2,609	802	(5,043)	(926)
CLOSING BALANCE	4,274	1,648	(87)	590	528	2,381	2,256	1,689	3,008	5,617	6,419	1,376	1,376

*Unaudited Year-end Results

CASHFLOW
STATE OPERATING FUNDS
2010-2011
(dollars in millions)

	2010	2011											Intra-Fund Transfer Eliminations	Total
	April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	January Actuals	February Actuals	March Actuals*		
OPENING BALANCE	4,811	7,573	5,583	4,503	5,322	5,626	5,696	6,626	6,576	6,289	10,034	11,826	4,811	
RECEIPTS:														
Taxes	5,542	2,259	6,183	3,592	3,573	6,334	3,544	3,323	6,891	8,350	4,021	5,920	59,532	
Miscellaneous Receipts	1,189	1,157	1,660	1,100	1,349	2,638	1,442	1,462	1,561	1,705	1,624	2,262	19,149	
Federal Grants	1	13	0	1	16	0	23	1	13	0	33	10	111	
TOTAL RECEIPTS	6,732	3,429	7,843	4,693	4,938	8,972	5,009	4,786	8,465	10,055	5,678	8,192	78,792	
DISBURSEMENTS:														
School Aid	491	2,616	4,089	100	574	3,078	482	956	1,829	254	597	6,782	21,848	
Higher Education	16	16	379	198	14	314	58	377	126	184	43	745	2,470	
All Other Education	19	17	17	25	89	524	24	173	224	55	37	270	1,474	
STAR	0	0	497	0	0	103	9	43	157	2,417	0	7	3,233	
Medicaid - DOH	1,248	1,076	974	904	1,036	820	776	994	1,188	811	1,050	1,039	11,916	
Public Health	102	92	163	113	156	259	137	153	149	169	96	309	1,898	
Mental Hygiene	89	46	432	214	233	493	304	74	530	310	210	642	3,577	
Children and Families	10	16	14	66	275	73	338	73	235	150	56	361	1,668	
Temporary & Disability Assistance	61	141	61	65	114	84	151	74	236	67	5	143	1,202	
Transportation	204	222	85	602	271	454	258	368	988	231	91	480	4,254	
Unrestricted Aid	3	12	274	1	1	96	9	0	209	3	0	167	775	
All Other	34	28	214	2	256	84	(30)	93	71	56	37	135	980	
Total Local Assistance Grants	2,277	4,282	7,199	2,290	3,019	6,383	2,516	3,378	5,942	4,707	2,222	11,080	55,295	
Personal Service	968	922	950	1,118	984	1,282	964	949	1,172	930	952	1,231	12,422	
Non-Personal Service	425	263	354	386	425	437	384	374	420	426	434	637	4,965	
Total State Operations	1,393	1,185	1,304	1,504	1,409	1,719	1,348	1,323	1,592	1,356	1,386	1,868	17,387	
General State Charges	149	109	524	374	385	658	515	387	531	473	327	1,670	6,102	
Debt service	515	160	291	108	274	843	104	210	1,038	119	381	1,572	5,615	
Capital Projects	3	1	4	2	1	1	2	1	1	1	1	0	18	
TOTAL DISBURSEMENTS	4,337	5,737	9,322	4,278	5,088	9,604	4,485	5,299	9,104	6,656	4,317	16,190	84,417	
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	2,683	1,128	2,358	2,500	1,430	2,586	2,290	1,375	2,414	3,088	1,778	4,331	27,218	
Transfers to other funds	(2,316)	(810)	(1,959)	(2,096)	(976)	(1,884)	(1,884)	(912)	(2,062)	(2,742)	(1,347)	(4,189)	(22,434)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	
NET OTHER FINANCING SOURCES/(USES)	367	318	399	404	454	702	406	463	352	346	431	142	4,784	
Excess/(Deficiency) of Receipts over Disb	2,762	(1,990)	(1,080)	819	304	70	930	(50)	(287)	3,745	1,792	(7,856)	(841)	
CLOSING BALANCE	7,573	5,583	4,503	5,322	5,626	5,696	6,626	6,576	6,289	10,034	11,826	3,970	3,970	

*Unaudited Year-end Results

CASHFLOW
CAPITAL PROJECTS FUNDS
 2010-2011
 (dollars in millions)

	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011		
	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Total	
OPENING BALANCE	(254)	(294)	(304)	(341)	(403)	(468)	(556)	(656)	(745)	(806)	(807)	(916)	(912)	(916)	(912)	(916)	(912)	(916)	(912)	(912)	(254)	
RECEIPTS:																						
Taxes	90	91	121	114	120	130	108	103	103	126	105	100	130	130	105	100	130	130	105	100	1,338	
Miscellaneous Receipts	336	191	347	181	364	370	210	372	401	401	219	274	582	401	219	274	582	401	219	274	3,847	
Federal Grants	103	133	213	234	233	267	221	192	192	255	112	292	245	112	292	245	112	292	245	112	2,500	
TOTAL RECEIPTS	529	415	681	529	717	767	539	667	667	782	436	666	957	666	436	666	957	666	436	666	7,685	
DISBURSEMENTS:																						
Local Assistance Grants	88	79	70	100	167	133	601	301	301	218	159	334	481	218	159	334	481	218	159	334	2,731	
Total Local Assistance Grants	88	79	70	100	167	133	601	301	301	218	159	334	481	218	159	334	481	218	159	334	2,731	
Economic Development	93	8	59	116	28	136	46	0	0	(461)	2	6	8	46	2	6	8	46	2	6	41	
Parks & the Environment	30	24	41	24	25	19	25	61	61	22	19	25	46	22	19	25	46	22	19	25	361	
Transportation	172	167	321	264	307	363	(136)	278	278	780	200	278	273	780	200	278	273	780	200	278	3,287	
Health & Social Welfare	9	1	3	10	4	11	3	13	13	3	(27)	1	(5)	3	(27)	1	(5)	3	(27)	1	26	
Mental Hygiene	6	4	7	9	11	10	4	5	5	6	10	7	16	6	10	7	16	6	10	7	95	
Public Protection	29	16	31	16	29	20	19	20	20	26	19	14	19	26	19	14	19	26	19	14	258	
Education	78	51	97	62	83	57	56	77	77	51	69	55	130	51	69	55	130	51	69	55	866	
All Other	13	20	26	9	13	21	9	(28)	(28)	21	50	7	18	21	50	7	18	21	50	7	179	
Total Capital Projects	430	291	585	510	500	657	26	426	426	448	342	393	505	448	342	393	505	448	342	393	5,113	
TOTAL DISBURSEMENTS	518	370	655	610	667	790	627	727	727	666	501	727	986	666	501	727	986	666	501	727	7,844	
OTHER FINANCING SOURCES (uses):																						
Transfers from other funds	40	36	27	122	(24)	66	93	(10)	(10)	74	50	110	546	74	50	110	546	74	50	110	1,130	
Transfers to other funds	(91)	(91)	(90)	(103)	(91)	(231)	(94)	(91)	(91)	(91)	(94)	(45)	(298)	(91)	(94)	(45)	(298)	(91)	(94)	(45)	(1,410)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	525	
NET OTHER FINANCING SOURCES/(USES)	(51)	(55)	(63)	19	(115)	(165)	(1)	(101)	(101)	(17)	(44)	65	773	(17)	(44)	65	773	(17)	(44)	65	245	
Excess/(Deficiency) of Receipts over Disbursements	(40)	(10)	(37)	(62)	(65)	(188)	(89)	(161)	(161)	99	(109)	4	744	99	(109)	4	744	99	(109)	4	86	
CLOSING BALANCE	(294)	(304)	(341)	(403)	(468)	(656)	(745)	(906)	(906)	(807)	(916)	(912)	(168)	(807)	(916)	(912)	(168)	(807)	(916)	(912)	(168)	

*Unaudited Year-end Results

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
2010-2011
(dollars in millions)

	2010		2011		2011		2011		2011		2011		2011		2011		2011	
	April	May	June	July	August	September	October	November	December	January	February	March	Actuals*				Total	
	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals
OPENING BALANCE	(31)	(79)	(107)	(187)	(318)	(362)	(615)	(701)	(883)	(708)	(774)	(775)					(31)	
RECEIPTS:																		
Taxes	89	91	121	114	120	130	108	103	126	106	100	130					1,338	
Miscellaneous Receipts	336	191	347	181	363	370	210	371	401	219	274	582					3,845	
Federal Grants	0	0	0	0	0	0	0	0	3	0	0	3					6	
TOTAL RECEIPTS	425	282	468	295	483	500	318	474	530	325	374	715					5,189	
DISBURSEMENTS:																		
Local Assistance Grants	37	43	24	56	53	64	530	247	157	68	117	351					1,747	
Total Local Assistance Grants	37	43	24	56	53	64	530	247	157	68	117	351					1,747	
Economic Development	93	8	59	116	27	136	46	(1)	(461)	1	6	8					38	
Parks & the Environment	30	23	40	24	23	18	25	60	23	18	24	45					353	
Transportation	128	101	208	146	174	254	(284)	164	675	142	221	262					2,191	
Health & Social Welfare	9	1	3	10	4	11	3	13	3	(27)	1	(5)					26	
Mental Hygiene	6	4	7	9	11	10	4	5	6	10	7	16					95	
Public Protection	28	14	29	15	28	19	18	19	25	17	13	15					240	
Education	78	51	97	62	83	57	56	77	51	69	55	130					866	
All Other	14	11	17	7	9	19	5	(29)	18	49	5	20					145	
Total Capital Projects	386	213	460	389	359	524	(127)	308	340	279	332	491					3,954	
TOTAL DISBURSEMENTS	423	256	484	445	412	588	403	555	497	347	449	842					5,701	
OTHER FINANCING SOURCES (uses):																		
Transfers from other funds	41	37	27	122	(24)	66	93	(10)	233	50	110	612					1,357	
Transfers to other funds	(91)	(91)	(91)	(103)	(91)	(231)	(94)	(91)	(91)	(94)	(36)	(297)					(1,401)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0					525	
NET OTHER FINANCING SOURCES/(USES)	(50)	(54)	(64)	19	(115)	(165)	(1)	(101)	142	(44)	74	840					481	
Excess/(Deficiency) of Receipts over Disbursements	(48)	(28)	(80)	(131)	(44)	(253)	(86)	(182)	175	(66)	(1)	713					(31)	
CLOSING BALANCE	(79)	(107)	(187)	(318)	(362)	(615)	(701)	(883)	(708)	(774)	(775)	(62)					(62)	

*Unaudited Year-end Results

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
 2010-2011
 (dollars in millions)

	2010		2011		March Actuals*	Total							
	April Actuals	May Actuals	June Actuals	July Actuals			August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	January Actuals	February Actuals
OPENING BALANCE	(223)	(215)	(197)	(154)	(85)	(106)	(41)	(44)	(23)	(99)	(142)	(137)	(223)
RECEIPTS:													
Taxes	1	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	1	0	0	1	0	0	0	0	2
Federal Grants	103	133	213	234	233	267	221	192	252	112	292	242	2,494
TOTAL RECEIPTS	104	133	213	234	234	267	221	193	252	111	292	242	2,496
DISBURSEMENTS:													
Local Assistance Grants	51	36	46	44	114	69	71	54	61	91	217	130	984
Total Local Assistance Grants	51	36	46	44	114	69	71	54	61	91	217	130	984
T Economic Development	0	0	0	0	1	0	0	1	0	1	0	0	3
Parks & the Environment	0	1	1	0	2	1	0	1	(1)	1	1	1	8
Transportation	44	66	113	118	133	129	148	114	105	58	57	11	1,096
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	2	2	1	1	1	1	1	1	2	1	4	18
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(1)	9	9	2	4	2	4	1	3	1	2	(2)	34
Total Capital Projects	44	78	125	121	141	133	153	118	108	63	61	14	1,159
TOTAL DISBURSEMENTS	95	114	171	165	255	202	224	172	169	154	278	144	2,143
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	(1)	(1)	0	0	0	0	0	0	(159)	0	0	(66)	(227)
Transfers to other funds	0	0	1	0	0	0	0	0	0	0	(9)	(1)	(9)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	(1)	(1)	1	0	0	0	0	0	(159)	0	(9)	(67)	(236)
Excess/(Deficiency) of Receipts over Disbursements	8	18	43	69	(21)	65	(3)	21	(76)	(43)	5	31	117
CLOSING BALANCE	(215)	(197)	(154)	(85)	(106)	(41)	(44)	(23)	(99)	(142)	(137)	(106)	(106)

*Unaudited Year-end Results

CASHFLOW
SPECIAL REVENUE FUNDS
2010-2011
(dollars in millions)

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	2011 January Actuals	February Actuals	March Actuals	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	2,401	2,914	3,430	3,620	3,950	3,907	2,773	3,061	3,307	2,453	3,090	3,697		2,401
RECEIPTS:														
Personal Income Tax	0	0	497	0	0	102	9	43	169	2,405	0	38	0	3,263
User Taxes and Fees	225	134	174	178	164	225	184	164	200	182	132	155	0	2,117
Business Taxes	32	55	202	71	54	207	57	63	214	55	55	214	0	1,378
Other Taxes	136	119	80	100	118	68	96	113	103	166	156	104	0	1,359
Total Taxes	393	308	953	341	336	602	346	391	686	2,816	343	602	0	8,117
HCRA	380	325	339	318	323	288	354	388	344	483	303	305	0	4,150
State University Income	175	172	289	87	284	516	249	224	197	390	445	247	0	3,275
Lottery	227	228	283	204	208	637	207	213	257	221	215	306	0	3,206
Medicaid	62	65	60	58	61	63	68	53	74	59	54	62	0	739
Motor vehicle fees	43	43	27	37	34	36	33	31	34	30	30	35	0	415
Other receipts	153	240	325	225	233	399	310	255	400	275	330	376	0	3,521
Total Miscellaneous Receipts	1,040	1,057	1,341	929	1,143	1,939	1,221	1,164	1,306	1,456	1,377	1,331	0	15,306
Federal Grants	3,723	3,147	3,693	4,048	4,061	4,635	3,543	3,602	5,096	2,886	3,560	4,698	0	46,692
TOTAL RECEIPTS	5,156	4,512	5,987	5,318	5,540	7,176	5,110	5,157	7,088	7,160	5,280	6,631	0	70,115
DISBURSEMENTS:														
School Aid	449	382	925	449	195	2,192	316	250	348	404	916	956	0	7,782
Higher Education	2	0	1	0	0	51	2	33	92	0	0	23	0	204
All Other Education	135	83	78	233	34	176	35	81	143	56	64	162	0	1,280
STAR	0	0	497	0	0	103	9	43	157	2,417	0	7	0	3,233
Medicaid -DOH	2,867	2,365	3,264	2,416	2,548	3,165	2,622	2,692	3,619	1,969	2,062	2,490	0	32,079
Public Health	160	139	118	235	194	271	183	218	232	228	173	318	0	2,469
Mental Hygiene	98	51	88	177	120	118	150	78	168	213	85	224	0	1,570
Children and Families	7	17	16	45	318	76	62	65	187	20	20	220	0	1,044
Temporary & Disability Assistance	77	82	44	84	946	244	381	287	575	53	76	811	0	3,660
Transportation	206	224	87	593	264	439	262	359	971	230	84	478	0	4,197
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	58	75	104	33	286	119	6	56	142	83	64	172	0	1,178
Total Local Assistance Grants	4,059	3,418	5,222	4,265	4,885	6,954	4,028	4,162	6,634	5,664	3,544	5,861	0	58,696
Personal Service	521	446	413	546	575	733	610	522	643	628	614	703	0	6,954
Non-Personal Service	347	235	258	238	335	381	380	328	327	356	408	501	0	4,094
Total State Operations	868	681	671	784	910	1,114	990	850	970	984	1,022	1,204	0	11,048
General State Charges	31	117	65	262	47	402	88	115	397	67	268	316	0	2,175
Capital Projects	3	1	4	2	1	1	2	1	1	1	1	0	0	18
TOTAL DISBURSEMENTS	4,961	4,217	5,962	5,313	5,843	8,471	5,108	5,128	8,002	6,716	4,835	7,381	0	71,937
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	636	500	588	786	644	727	702	606	557	691	852	789	(743)	7,335
Transfers to other funds	(318)	(219)	(423)	(461)	(384)	(566)	(416)	(389)	(497)	(498)	(690)	(1,586)	743	(5,764)
NET OTHER FINANCING SOURCES/(USES)	318	221	165	325	260	161	286	217	60	193	162	(797)	0	1,571
Excess/(Deficiency) of Receipts over Disbursements	513	516	190	330	(43)	(1,134)	288	246	(854)	637	607	(1,547)	0	(251)
CLOSING BALANCE	2,914	3,430	3,620	3,950	3,907	2,773	3,061	3,307	2,453	3,090	3,697	2,150	0	2,150

*Unaudited Year-end Results

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
2010-2011**
(dollars in millions)

	2011												Intra-Fund Transfer Eliminations	Total
	April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	January Actuals	February Actuals	March Actuals*		
OPENING BALANCE	2,089	2,871	3,294	4,150	3,925	4,075	2,783	2,979	3,269	2,575	2,949	3,576		2,089
RECEIPTS:														
Personal Income Tax	0	0	497	0	0	102	9	43	169	2,405	0	38	0	3,263
User Taxes and Fees	225	134	174	178	164	225	184	164	200	182	132	155	0	2,117
Business Taxes	32	55	202	63	214	54	57	63	214	63	55	305	0	1,378
Other Taxes	136	119	80	100	118	68	96	113	103	166	156	104	0	1,359
Total Taxes	383	308	353	341	336	602	346	391	686	2,816	343	602	0	8,117
HCRA	380	325	339	318	323	288	354	388	344	483	303	305	0	4,150
State University Income	175	172	289	87	284	516	249	224	197	390	445	247	0	3,275
Lottery	227	228	283	204	208	637	207	213	257	221	215	306	0	3,206
Medicaid	62	65	60	58	61	59	68	53	74	59	54	62	0	739
Motor vehicle fees	43	27	45	37	34	36	33	31	34	30	30	35	0	415
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	145	206	316	215	217	390	300	250	382	265	317	366	0	3,369
Total Miscellaneous Receipts	1,032	1,023	1,332	919	1,127	1,930	1,211	1,159	1,288	1,448	1,364	1,321	0	15,154
Federal Grants	0	0	0	0	0	0	0	1	0	0	0	(1)	0	0
TOTAL RECEIPTS	1,425	1,331	2,285	1,260	1,463	2,532	1,557	1,551	1,974	4,264	1,707	1,922	0	23,271
DISBURSEMENTS:														
School Aid	0	0	322	0	0	2,015	85	85	84	129	130	293	0	3,143
Higher Education	0	0	0	0	0	0	0	0	0	0	0	23	0	23
All Other Education	2	3	0	1	1	1	0	3	0	0	0	4	0	15
STAR	0	0	497	0	0	103	9	43	157	2,417	0	7	0	3,233
Medicaid - DOH	163	443	306	388	446	431	532	356	357	349	298	369	0	4,437
Public Health	62	62	41	97	120	142	133	129	109	129	84	180	0	1,250
Mental Hygiene	79	41	70	164	103	101	140	58	132	188	53	209	0	1,338
Children and Families	1	1	0	0	0	0	0	1	0	1	1	(2)	0	4
Temporary & Disability Assistance	0	1	0	3	0	1	(1)	1	0	1	2	(2)	0	6
Transportation	204	222	85	591	262	436	258	355	959	228	79	476	0	4,157
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	15	12	25	29	211	36	18	6	14	12	11	94	0	483
Total Local Assistance Grants	526	785	1,346	1,273	1,115	3,266	1,161	1,041	1,811	3,454	658	1,653	0	18,089
Personal Service	454	375	364	499	519	660	559	474	593	579	563	632	0	6,271
Non-Personal Service	276	154	198	201	243	260	260	291	272	291	273	399	0	3,080
Total State Operations	730	529	562	700	762	912	819	735	865	870	836	1,031	0	9,351
General State Charges	27	79	39	262	37	360	76	70	384	61	248	272	0	1,915
Capital Projects	3	1	4	2	1	1	2	1	1	1	1	0	0	18
TOTAL DISBURSEMENTS	1,286	1,394	1,951	2,237	1,915	4,539	2,058	1,847	3,061	4,386	1,743	2,956	0	29,373
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	636	500	588	786	644	727	702	606	557	691	852	1,531	(743)	8,077
Transfers to other funds	(3)	(14)	(66)	(34)	(42)	(12)	(5)	(20)	(164)	(195)	(189)	(1,932)	743	(1,933)
NET OTHER FINANCING SOURCES/(USES)	633	486	522	752	602	715	697	586	393	496	663	(401)	0	6,144
Excess/(Deficiency) of Receipts over Disbursements	772	423	856	(225)	150	(1,292)	196	290	(694)	374	627	(1,435)	0	42
CLOSING BALANCE	2,871	3,294	4,150	3,925	4,075	2,783	2,979	3,269	2,575	2,949	3,576	2,141	0	2,141

*Unaudited Year-end Results

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
2010-2011
(dollars in millions)

	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011	2010	2011
	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals	Actuals
OPENING BALANCE	302	43	136	(530)	25	(168)	(10)	82	38	(122)	141	121	302							
RECEIPTS:																				
Miscellaneous Receipts	8	34	9	10	16	9	10	5	18	10	13	10	152							
Federal Grants	3,723	3,147	3,693	4,048	4,061	4,635	3,543	3,601	5,096	2,886	3,560	4,699	46,692							
TOTAL RECEIPTS	3,731	3,181	3,702	4,058	4,077	4,644	3,553	3,606	5,114	2,896	3,573	4,709	46,844							
DISBURSEMENTS:																				
School Aid	449	382	603	449	195	177	231	165	264	275	786	663	4,639							
Higher Education	2	0	1	0	0	51	2	33	92	0	0	0	181							
All Other Education	133	80	78	232	33	175	35	78	143	56	64	158	1,265							
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0							
Medicaid - DOH	2,704	1,922	2,958	2,028	2,102	2,734	2,090	2,336	3,263	1,620	1,764	2,121	27,642							
Public Health	98	77	77	138	103	129	63	85	123	99	89	138	1,219							
Mental Hygiene	19	10	18	13	17	17	10	20	36	25	32	15	232							
Children and Families	6	16	16	45	317	76	62	64	187	10	19	222	1,040							
Temporary & Disability Assistance	77	81	44	81	946	243	382	286	575	52	74	813	3,654							
Transportation	2	2	2	2	2	3	4	4	12	2	5	0	40							
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0							
All Other	43	63	79	4	55	83	(12)	50	128	71	53	78	695							
Total Local Assistance Grants	3,533	2,633	3,876	2,992	3,770	3,688	2,867	3,121	4,823	2,210	2,896	4,208	40,607							
Personal Service	67	71	49	47	56	73	51	48	50	49	51	71	683							
Non-Personal Service	71	81	60	37	92	130	120	67	69	65	135	87	1,014							
Total State Operations	138	152	109	84	148	203	171	115	119	114	186	158	1,697							
General State Charges	4	38	26	0	10	42	12	45	13	6	20	44	260							
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0							
TOTAL DISBURSEMENTS	3,675	2,823	4,011	3,076	3,928	3,933	3,050	3,281	4,955	2,330	3,092	4,410	42,564							
OTHER FINANCING SOURCES (uses):																				
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	(742)							
Transfers to other funds	(315)	(265)	(357)	(427)	(342)	(553)	(411)	(369)	(319)	(303)	(501)	(411)	(3,831)							
NET OTHER FINANCING SOURCES/(USES)	(315)	(265)	(357)	(427)	(342)	(553)	(411)	(369)	(319)	(303)	(501)	(411)	(4,573)							
Excess/(Deficiency) of Receipts over Disbursements	(259)	93	(666)	555	(193)	158	92	(44)	(160)	263	(20)	(112)	(293)							
CLOSING BALANCE	43	136	(530)	25	(168)	(10)	82	38	(122)	141	121	302	9							

*Unaudited Year-end Results

CASHFLOW
DEBT SERVICE FUNDS
 2010-2011
 (dollars in millions)

	2010	2011											Total
	April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	January Actuals	February Actuals	March Actuals*	
OPENING BALANCE	410	428	641	440	807	1,023	532	1,391	1,618	706	1,468	1,831	410
RECEIPTS:													
Taxes	1,258	494	1,190	775	800	1,192	778	698	1,277	1,934	888	926	12,210
Miscellaneous Receipts	67	35	75	42	62	122	84	54	47	94	123	95	900
Federal Grants	0	0	0	1	16	0	6	0	0	0	33	1	57
TOTAL RECEIPTS	<u>1,325</u>	<u>529</u>	<u>1,265</u>	<u>818</u>	<u>878</u>	<u>1,314</u>	<u>868</u>	<u>752</u>	<u>1,324</u>	<u>2,028</u>	<u>1,044</u>	<u>1,022</u>	<u>13,167</u>
DISBURSEMENTS:													
State Operations	6	1	5	14	2	10	1	1	12	1	2	8	63
Debt Service	515	160	291	108	274	843	104	210	1,038	119	381	1,572	5,615
TOTAL DISBURSEMENTS	<u>521</u>	<u>161</u>	<u>296</u>	<u>122</u>	<u>276</u>	<u>853</u>	<u>105</u>	<u>211</u>	<u>1,050</u>	<u>120</u>	<u>383</u>	<u>1,580</u>	<u>5,678</u>
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	809	385	438	877	369	589	971	358	419	782	467	584	7,048
Transfers to other funds	(1,595)	(540)	(1,608)	(1,206)	(755)	(1,541)	(875)	(672)	(1,605)	(1,928)	(765)	(1,404)	(14,494)
NET OTHER FINANCING SOURCES/(USES)	<u>(786)</u>	<u>(155)</u>	<u>(1,170)</u>	<u>(329)</u>	<u>(386)</u>	<u>(952)</u>	<u>96</u>	<u>(314)</u>	<u>(1,186)</u>	<u>(1,146)</u>	<u>(298)</u>	<u>(820)</u>	<u>(7,446)</u>
Excess/(Deficiency) of Receipts over Disbursements	18	213	(201)	367	216	(491)	859	227	(912)	762	363	(1,378)	43
CLOSING BALANCE	<u>428</u>	<u>641</u>	<u>440</u>	<u>807</u>	<u>1,023</u>	<u>532</u>	<u>1,391</u>	<u>1,618</u>	<u>706</u>	<u>1,468</u>	<u>1,831</u>	<u>453</u>	<u>453</u>

*Unaudited Year-end Results

**CASHFLOW
STATE FUNDS
2010-2011
(dollars in millions)**

	2010 April Actuals	May Actuals	June Actuals	July Actuals	August Actuals	September Actuals	October Actuals	November Actuals	December Actuals	2011 January Actuals	February Actuals	March Actuals*	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	4,780	7,494	5,476	4,316	5,004	5,254	5,081	5,925	5,693	5,581	9,250	11,051		4,780
RECEIPTS:														
Taxes	5,631	2,350	6,304	3,706	3,693	6,464	3,652	3,426	7,017	8,456	4,121	6,050	0	60,870
Miscellaneous Receipts	1,525	1,348	2,007	1,281	1,712	3,008	1,652	1,833	1,962	1,924	1,898	2,844	0	22,994
Federal Grants	1	13	0	1	16	0	23	1	16	0	33	13	0	117
TOTAL RECEIPTS	7,157	3,711	8,311	4,988	5,421	9,472	5,327	5,260	8,995	10,380	6,052	8,907	0	83,981
DISBURSEMENTS:														
School Aid	491	2,616	4,089	100	574	3,078	482	956	1,829	254	597	6,782	0	21,848
Higher Education	16	16	379	198	14	314	58	377	126	184	43	745	0	2,470
All Other Education	19	17	17	25	89	524	24	173	224	55	37	270	0	1,474
STAR	0	0	497	0	0	103	9	43	157	2,417	0	7	0	3,233
Medicaid - DOH	1,248	1,076	974	904	1,036	820	776	994	1,188	811	1,050	1,039	0	11,916
Public Health	102	92	163	113	156	259	137	153	149	169	96	309	0	1,898
Mental Hygiene	89	46	432	214	233	493	304	74	530	310	210	642	0	3,577
Children and Families	10	16	14	66	275	74	338	73	235	150	56	1,668	0	1,202
Temporary & Disability Assistance	61	141	61	65	114	84	151	74	236	67	5	143	0	4,254
Transportation	204	222	85	602	271	454	258	368	988	231	91	480	0	775
Unrestricted Aid	3	12	274	1	1	96	9	0	209	3	0	167	0	2,727
All Other	71	71	238	58	309	148	500	340	228	124	154	486	0	57,042
Total Local Assistance Grants	2,314	4,325	7,223	2,346	3,072	6,447	3,046	3,625	6,099	4,775	2,339	11,431	0	12,422
Personal Service	968	922	950	1,118	984	1,282	964	949	1,172	930	952	1,231	0	4,965
Non-Personal Service	425	386	354	386	425	437	384	374	420	426	434	637	0	17,387
Total State Operations	1,393	1,185	1,304	1,504	1,409	1,719	1,348	1,323	1,592	1,356	1,386	1,868	0	6,102
General State Charges	149	109	524	374	385	658	515	387	531	473	327	1,670	0	5,615
Debt service	515	160	291	108	274	843	104	210	1,038	119	381	1,572	0	3,972
Capital Projects	389	214	464	391	360	525	(125)	309	341	280	333	491	0	90,118
TOTAL DISBURSEMENTS	4,760	5,993	9,806	4,723	5,500	10,192	4,888	5,854	9,601	7,003	4,766	17,032	0	28,575
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	2,724	1,165	2,385	2,622	1,406	2,652	2,383	1,365	2,647	3,138	1,888	4,943	(743)	(23,835)
Transfers to other funds	(2,407)	(901)	(2,050)	(2,199)	(1,067)	(2,115)	(1,978)	(1,003)	(2,153)	(2,836)	(1,363)	(4,486)	743	525
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	525	0	5,265
NET OTHER FINANCING SOURCES/(USES)	317	264	335	423	339	537	405	362	494	302	505	982	0	(872)
Excess/(Deficiency) of Receipts over Disbursements	2,714	(2,018)	(1,160)	688	260	(183)	844	(232)	(112)	3,679	1,791	(7,143)	0	3,908
CLOSING BALANCE	7,494	5,476	4,316	5,004	5,264	5,081	5,925	5,693	5,581	9,260	11,051	3,908	0	83,981

*Unaudited Year-end Results

**CASHFLOW
GENERAL FUND
2011-2012
(dollars in millions)**

	2011					2012					Total		
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected		February Projected	March Projected
OPENING BALANCE	1,376	4,475	1,098	489	1,245	946	4,192	3,023	1,568	1,906	5,645	5,025	1,376
RECEIPTS:													
Personal Income Tax	4,127	846	2,496	1,720	1,837	2,712	1,693	1,324	441	5,165	1,800	1,840	26,001
User Taxes and Fees	685	669	878	703	717	933	685	689	865	730	625	926	9,105
Business Taxes	151	55	925	74	104	1,063	124	87	1,317	105	122	1,974	6,101
Other Taxes	75	87	87	87	88	88	87	86	86	87	86	86	1,030
Total Taxes	5,038	1,657	4,386	2,584	2,746	4,796	2,589	2,186	2,709	6,087	2,633	4,826	42,237
Licenses, Fees, etc.	46	32	33	31	35	40	41	39	41	39	39	39	455
Abandoned Property	1	0	30	16	10	92	23	127	42	73	56	285	755
ABC License Fee	5	4	4	5	4	5	3	3	3	4	5	4	49
Motor vehicle fees	0	0	0	0	0	21	21	21	21	21	21	20	132
Reimbursements	12	12	25	9	12	24	12	12	27	10	10	37	202
Investment Income	1	1	0	2	0	0	1	0	0	1	1	3	10
Other Transactions	20	51	98	97	55	371	52	48	96	47	76	484	1,495
Total Miscellaneous Receipts	85	100	190	160	116	539	153	250	230	195	208	872	3,098
Federal Grants	2	0	14	0	0	15	0	0	15	0	0	14	60
PIT in Excess of Revenue Bond Debt Service	1,375	135	964	525	258	1,067	304	171	1,044	1,018	328	907	8,096
Sales Tax in Excess of LGAC Debt Service	205	35	443	214	220	224	212	263	230	230	3	147	2,409
Real Estate Taxes in Excess of CW/CA Debt Service	39	36	38	33	41	34	38	25	32	30	26	23	395
All Other	96	14	44	77	9	14	42	22	27	6	(48)	695	988
Total Transfers from Other Funds	1,715	220	1,489	849	528	1,339	596	431	1,366	1,284	309	1,772	11,698
TOTAL RECEIPTS	6,840	1,977	6,079	3,593	3,390	6,689	3,338	2,867	4,320	7,566	3,150	7,484	57,293
DISBURSEMENTS:													
School Aid	232	2,615	2,169	100	540	1,300	500	1,000	1,520	530	500	5,796	16,802
Higher Education	32	25	624	43	198	72	443	32	247	78	321	463	2,578
All Other Education	23	100	306	75	55	70	223	157	62	227	97	337	1,732
Medicaid - DOH	971	927	1,384	480	1,053	1,566	1,271	1,424	460	810	862	438	10,236
Public Health	15	87	107	79	34	129	29	19	102	16	17	108	742
Mental Hygiene	19	8	352	1	1	533	1	1	349	137	113	366	1,881
Children and Families	8	162	192	117	93	206	88	116	194	78	75	386	1,715
Temporary & Disability Assistance	326	131	136	104	81	122	75	75	89	75	18	170	1,402
Transportation	0	24	0	0	24	0	0	24	15	0	10	3	100
Unrestricted Aid	1	13	295	2	2	92	11	2	205	2	2	140	767
All Other	(23)	25	207	36	50	58	(42)	33	28	33	484	44	933
Total Local Assistance Grants	1,604	4,117	5,772	1,037	2,131	2,738	2,599	2,883	3,271	1,986	2,499	8,251	38,888
Personal Service	602	464	544	512	626	378	348	489	394	373	505	325	5,560
Non-Personal Service	199	149	135	172	166	145	131	112	114	160	157	156	1,796
Total State Operations	801	613	679	684	792	523	479	601	508	533	662	481	7,356
General State Charges	404	338	102	405	416	52	378	440	60	446	282	1,345	4,668
Debt Service	520	0	(99)	375	(4)	(107)	565	0	(84)	445	(18)	(144)	1,449
Capital Projects	(23)	43	(21)	54	59	(42)	87	80	(48)	130	67	414	800
State Share Medicaid	273	209	240	248	257	257	257	257	257	257	257	263	3,032
Other Purposes	162	34	15	34	38	22	142	61	18	21	21	162	739
Total Transfers to Other Funds	932	286	135	711	350	130	1,051	398	143	862	327	695	6,020
TOTAL DISBURSEMENTS	3,741	5,354	6,688	2,837	3,689	3,443	4,507	4,322	3,982	3,827	3,770	10,772	56,932
Excess/(Deficiency) of Receipts over Disbursements	3,099	(3,377)	(609)	756	(299)	3,246	(1,169)	(1,455)	338	3,739	(620)	(3,288)	361
CLOSING BALANCE	4,475	1,098	489	1,245	946	4,192	3,023	1,568	1,906	5,645	5,025	1,737	1,376

**CASHFLOW
STATE OPERATING FUNDS
2011-2012
(dollars in millions)**

	2011 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	3,970	8,587	5,311	4,065	5,584	5,643	6,550	6,342	5,179	4,528	9,979	9,605	0	3,970
RECEIPTS:														
Taxes	7,070	2,509	6,534	3,769	3,989	6,785	3,779	3,306	7,229	8,482	3,827	6,356	0	63,615
Miscellaneous Receipts	1,406	1,179	1,503	1,274	1,352	1,952	1,364	1,556	1,526	1,803	1,668	2,676	0	19,259
Federal Grants	5	1	14	0	2	51	0	0	15	0	2	50	0	140
TOTAL RECEIPTS	8,481	3,689	8,051	5,043	5,343	8,768	5,143	4,862	8,770	10,285	5,497	9,082	0	83,014
DISBURSEMENTS:														
School Aid	232	2,615	2,489	100	540	3,238	602	1,102	1,622	632	602	5,902	0	19,686
Higher Education	32	25	624	43	198	72	443	32	247	78	321	479	0	2,594
All Other Education	24	101	307	76	56	71	224	158	63	228	98	337	0	1,743
STAR	0	0	392	0	0	178	21	74	2,627	0	0	1	0	3,293
Medicaid - DOH	1,366	1,389	1,671	771	1,542	548	1,656	1,861	770	1,174	1,488	1,045	0	15,281
Public Health	37	163	191	149	129	246	162	153	196	129	97	359	0	2,011
Mental Hygiene	70	75	471	189	131	678	187	71	537	340	182	669	0	3,600
Children and Families	9	162	192	117	94	206	88	116	195	78	75	384	0	1,716
Temporary & Disability Assistance	327	132	137	105	82	123	75	76	90	76	19	170	0	1,412
Transportation	161	553	304	300	542	304	292	538	477	203	330	233	0	4,237
Unrestricted Aid	1	13	295	2	2	92	11	2	205	2	2	140	0	767
All Other	(10)	40	231	76	85	115	(11)	50	49	38	563	195	0	1,421
Total Local Assistance Grants	2,249	5,268	7,314	1,928	3,401	5,871	3,750	4,233	7,078	2,978	3,777	9,914	0	57,761
Personal Service	994	884	1,077	897	1,126	970	920	1,046	881	912	1,086	884	0	11,677
Non-Personal Service	382	388	388	384	406	451	395	399	460	426	521	451	0	5,051
Total State Operations	1,376	1,272	1,465	1,281	1,532	1,421	1,315	1,445	1,341	1,338	1,607	1,335	0	16,728
General State Charges	449	497	285	614	469	263	580	486	280	699	333	1,575	0	6,530
Debt service	157	273	594	107	286	847	120	191	1,152	98	468	1,562	0	5,855
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	5	0	5
TOTAL DISBURSEMENTS	4,231	7,310	9,658	3,930	5,688	8,402	5,765	6,355	9,851	5,113	6,185	14,391	0	86,879
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	3,343	1,159	2,428	2,250	1,616	2,386	2,376	1,481	2,394	2,748	1,274	2,913	(624)	25,744
Transfers to other funds	(2,976)	(814)	(2,067)	(1,844)	(1,212)	(1,845)	(1,962)	(1,151)	(1,964)	(2,469)	(960)	(2,673)	624	(21,313)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	367	345	361	406	404	541	414	330	430	279	314	240	0	4,431
Excess/(Deficiency) of Receipts over Disbursements	4,617	(3,276)	(1,246)	1,519	59	907	(208)	(1,163)	(651)	5,451	(374)	(5,069)	0	566
CLOSING BALANCE	8,587	5,311	4,065	5,584	5,643	6,550	6,342	5,179	4,528	9,979	9,605	4,536	0	4,536

**CASHFLOW
CAPITAL PROJECTS FUNDS
2011-2012
(dollars in millions)**

	2011 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(168)	(211)	(134)	(256)	(178)	(160)	(313)	(266)	(243)	(295)	(189)	(96)	(168)
RECEIPTS:													
Taxes	92	93	123	116	123	136	112	104	129	107	102	124	1,361
Miscellaneous Receipts	343	287	317	321	301	353	280	280	328	279	275	652	4,016
Federal Grants	127	165	188	203	211	219	216	211	203	188	165	198	2,294
TOTAL RECEIPTS	562	545	628	640	635	708	608	595	660	574	542	974	7,671
DISBURSEMENTS:													
Local Assistance Grants	191	96	206	134	138	222	156	147	191	131	124	975	2,711
Total Local Assistance Grants	191	96	206	134	138	222	156	147	191	131	124	975	2,711
Economic Development	2	7	9	5	5	9	5	5	10	5	5	11	78
Parks & the Environment	18	23	25	24	24	23	25	23	22	24	21	161	413
Transportation	191	225	286	260	284	312	278	277	293	248	218	254	3,126
Health & Social Welfare	3	2	3	3	3	3	3	3	3	3	3	2	34
Mental Hygiene	6	5	8	10	19	7	7	8	13	10	12	25	130
Public Protection	20	23	28	26	27	27	25	25	26	23	32	45	327
Education	54	67	143	77	106	70	69	94	87	91	104	143	1,105
All Other	23	15	19	17	18	19	19	18	16	11	9	(220)	(36)
Total Capital Projects	317	367	521	422	486	470	431	453	470	415	404	421	5,177
TOTAL DISBURSEMENTS	508	463	727	556	624	692	587	600	661	546	528	1,396	7,888
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	(7)	46	32	57	62	11	89	83	5	133	70	479	1,060
Transfers to other funds	(90)	(92)	(96)	(104)	(96)	(221)	(104)	(96)	(97)	(96)	(40)	(313)	(1,445)
Bond and note proceeds	0	41	41	41	41	41	41	41	41	41	49	66	484
NET OTHER FINANCING SOURCES/(USES)	(97)	(5)	(23)	(6)	7	(169)	26	28	(51)	78	79	232	99
Excess/(Deficiency) of Receipts over Disbursements	(43)	77	(122)	78	18	(153)	47	23	(52)	106	93	(190)	(118)
CLOSING BALANCE	(211)	(134)	(256)	(178)	(160)	(313)	(266)	(243)	(295)	(189)	(96)	(286)	(286)

CASHFLOW
CAPITAL PROJECTS STATE FUNDS
2011-2012
(dollars in millions)

	2011 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Total
OPENING BALANCE	(62)	(144)	(80)	(213)	(147)	(139)	(301)	(263)	(251)	(313)	(218)	(137)	(62)
RECEIPTS:													
Taxes	92	93	123	116	123	136	112	104	129	107	102	124	1,361
Miscellaneous Receipts	343	287	317	321	301	353	280	280	328	279	275	652	4,016
Federal Grants	0	0	0	0	0	3	0	0	0	0	0	2	5
TOTAL RECEIPTS	435	380	440	437	424	492	392	384	457	386	377	778	5,382
DISBURSEMENTS:													
Local Assistance Grants	165	35	138	60	61	140	73	70	117	62	63	720	1,704
Total Local Assistance Grants	165	35	138	60	61	140	73	70	117	62	63	720	1,704
Economic Development	2	7	8	5	5	8	5	5	9	5	5	11	75
Parks & the Environment	18	22	24	23	22	21	23	22	21	23	19	159	397
Transportation	134	167	213	177	197	225	190	189	210	175	161	217	2,255
Health & Social Welfare	3	2	3	3	3	3	3	3	3	3	3	2	34
Mental Hygiene	6	5	8	10	19	7	7	8	13	10	12	25	130
Public Protection	18	21	27	25	26	26	24	24	25	21	31	41	309
Education	54	67	143	77	106	70	69	94	87	91	104	143	1,105
All Other	20	13	14	13	13	14	15	13	12	8	5	(224)	(84)
Total Capital Projects	255	304	440	333	391	374	336	358	380	336	340	374	4,221
TOTAL DISBURSEMENTS	420	339	578	393	452	514	409	428	497	398	403	1,094	5,925
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	(7)	73	59	84	90	39	117	110	33	161	97	536	1,392
Transfers to other funds	(90)	(91)	(95)	(103)	(95)	(220)	(103)	(95)	(96)	(95)	(39)	(311)	(1,433)
Bond and note proceeds	0	41	41	41	41	41	41	41	41	41	49	66	484
NET OTHER FINANCING SOURCES/(USES)	(97)	23	5	22	36	(140)	55	56	(22)	107	107	291	443
Excess/(Deficiency) of Receipts over Disbursements	(62)	64	(133)	66	8	(162)	38	12	(62)	95	81	(25)	(100)
CLOSING BALANCE	(144)	(80)	(213)	(147)	(139)	(301)	(263)	(251)	(313)	(218)	(137)	(162)	(162)

CASHFLOW
CAPITAL PROJECTS FEDERAL FUNDS
2011-2012
(dollars in millions)

	2011		2012											
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total	
OPENING BALANCE	(106)	(67)	(54)	(43)	(31)	(21)	(12)	(3)	8	18	29	41	(106)	
RECEIPTS:														
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	
Federal Grants	127	165	188	203	211	216	216	211	203	188	165	196	2,289	
TOTAL RECEIPTS	127	165	188	203	211	216	216	211	203	188	165	196	2,289	
DISBURSEMENTS:														
Local Assistance Grants	26	61	68	74	77	82	83	77	74	69	61	255	1,007	
Total Local Assistance Grants	26	61	68	74	77	82	83	77	74	69	61	255	1,007	
Economic Development	0	0	1	0	0	1	0	0	1	0	0	0	3	
Parks & the Environment	0	1	1	1	2	2	2	1	1	1	2	2	16	
Transportation	57	58	73	83	87	87	88	88	83	73	57	37	871	
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0	
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	
Public Protection	2	2	1	1	1	1	1	1	1	2	1	4	18	
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	
All Other	3	2	5	4	5	5	4	5	4	3	4	4	48	
Total Capital Projects	62	63	81	89	95	96	95	95	90	79	64	47	956	
TOTAL DISBURSEMENTS	88	124	149	163	172	178	178	172	164	148	125	302	1,963	
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	0	(27)	(27)	(27)	(28)	(28)	(28)	(27)	(28)	(28)	(27)	(57)	(332)	
Transfers to other funds	0	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(1)	(2)	(12)	
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	
NET OTHER FINANCING SOURCES/(USES)	0	(28)	(28)	(28)	(29)	(29)	(29)	(28)	(29)	(29)	(28)	(59)	(344)	
Excess/(Deficiency) of Receipts over Disbursements	39	13	11	12	10	9	9	11	10	11	12	(165)	(18)	
CLOSING BALANCE	(67)	(54)	(43)	(31)	(21)	(12)	(3)	8	18	29	41	(124)	(124)	

**CASHFLOW
SPECIAL REVENUE FUNDS
2011-2012
(dollars in millions)**

	2011 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	2,150	3,446	3,352	3,353	3,650	3,910	2,156	2,258	2,297	2,316	2,935	3,022		2,150
RECEIPTS:														
Personal Income Tax	0	0	392	0	0	178	21	74	2,627	0	0	0	0	3,292
User Taxes and Fees	207	153	191	194	180	206	180	161	200	179	148	163	0	2,162
Business Taxes	62	47	202	55	61	218	59	50	255	55	56	323	0	1,443
Other Taxes	129	122	82	108	122	72	103	125	107	178	164	110	0	1,422
Total Taxes	398	322	867	357	363	674	363	410	3,189	412	368	596	0	8,319
HCRA	339	334	347	325	332	296	364	398	363	495	311	394	0	4,288
State University Income	321	206	239	196	316	501	292	229	232	414	437	267	0	3,650
Lottery	222	222	279	224	274	236	227	288	248	247	297	308	0	3,072
Medicaid	60	72	72	72	72	72	72	72	72	72	72	90	0	870
Motor vehicle fees	40	43	40	42	42	40	34	28	28	27	27	33	0	424
Other receipts	250	129	269	188	134	203	156	225	297	287	250	652	0	3,040
Total Miscellaneous Receipts	1,232	1,006	1,246	1,047	1,170	1,348	1,145	1,240	1,230	1,542	1,394	1,744	0	15,344
Federal Grants	3,977	3,607	4,175	3,166	3,536	3,029	3,125	3,371	3,088	2,965	3,739	3,094	0	40,872
TOTAL RECEIPTS	5,607	4,935	6,288	4,570	5,069	5,051	4,633	5,021	7,507	4,919	5,501	5,434	0	64,535
DISBURSEMENTS:														
School Aid	336	341	841	300	236	2,109	243	283	326	338	593	664	0	6,610
Higher Education	1	0	1	1	0	1	0	0	1	0	1	16	0	24
All Other Education	215	148	143	123	58	49	49	66	133	48	63	81	0	1,176
STAR	0	0	392	0	178	0	21	74	2,627	0	0	1	0	3,283
Medicaid - DOH	2,917	2,520	2,816	1,998	2,622	2,099	2,092	2,570	2,017	2,071	2,759	2,113	0	28,594
Public Health	78	165	186	173	195	210	232	230	199	209	173	359	0	2,409
Mental Hygiene	71	77	133	201	143	159	195	76	211	218	78	312	0	1,874
Children and Families	11	145	65	69	131	69	82	65	92	77	77	135	0	989
Temporary & Disability Assistance	66	328	286	279	328	328	417	316	286	286	276	300	0	3,447
Transportation	164	532	307	303	521	307	295	517	465	206	323	229	0	4,169
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	94	81	91	107	92	111	103	81	80	62	118	190	0	1,210
Total Local Assistance Grants	3,953	4,337	5,261	3,564	4,278	5,620	3,710	4,278	6,437	3,516	4,461	4,400	0	53,805
Personal Service	445	480	587	453	575	646	623	607	538	587	648	614	0	6,803
Non-Personal Service	240	297	307	273	309	421	374	357	385	337	495	408	0	4,203
Total State Operations	685	777	894	726	884	1,067	997	964	923	924	1,143	1,022	0	11,006
General State Charges	48	165	233	230	64	259	215	59	267	266	65	294	0	2,165
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	5	0	5
TOTAL DISBURSEMENTS	4,686	5,279	6,388	4,510	5,226	6,946	4,922	5,301	7,627	4,706	5,669	5,721	0	66,981
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	742	569	575	627	701	636	826	660	618	631	654	708	(624)	7,323
Transfers to other funds	(367)	(319)	(474)	(390)	(384)	(395)	(435)	(341)	(479)	(325)	(299)	(1,207)	624	(4,791)
NET OTHER FINANCING SOURCES/(USES)	375	250	101	237	317	241	391	319	139	306	355	(499)	0	2,532
Excess/(Deficiency) of Receipts over Disbursements	1,296	(94)	1	297	160	(1,654)	102	39	19	519	187	(786)	0	86
CLOSING BALANCE	3,446	3,352	3,353	3,650	3,810	2,156	2,258	2,297	2,316	2,835	3,022	2,236	0	2,236

**CASHFLOW
SPECIAL REVENUE STATE FUNDS
2011-2012
(dollars in millions)**

	2011 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2012 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
OPENING BALANCE	2,141	3,152	3,059	3,060	3,357	3,517	1,862	1,964	2,004	2,023	2,543	2,730		2,141
RECEIPTS:														
Personal Income Tax	0	0	392	0	0	178	21	74	2,627	0	0	0	0	3,292
User Taxes and Fees	207	153	191	194	180	206	180	179	200	179	148	163	0	2,162
Business Taxes	62	47	202	55	61	218	59	50	255	55	56	323	0	1,443
Other Taxes	129	122	82	108	122	103	103	125	107	178	164	110	0	1,422
Total Taxes	388	322	867	357	363	674	363	410	3,189	412	368	596	0	8,319
HCRA	339	334	347	325	332	296	364	398	353	495	311	394	0	4,288
State University Income	321	206	239	229	316	501	292	232	232	414	437	267	0	3,650
Lottery	222	222	279	224	274	236	227	288	248	247	297	308	0	3,072
Medicaid	60	72	72	72	72	72	72	72	72	72	72	72	0	870
Motor vehicle fees	40	43	40	42	42	40	34	28	28	27	27	33	0	424
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	247	118	258	177	123	191	145	214	286	276	239	634	0	2,909
Total Miscellaneous Receipts	1,229	995	1,235	1,036	1,159	1,336	1,134	1,229	1,219	1,531	1,363	1,726	0	15,212
Federal Grants	0	1	0	0	0	2,010	1,497	1,639	4,408	0	0	2,322	0	1
TOTAL RECEIPTS	1,627	1,318	2,102	1,393	1,522	2,010	1,497	1,639	4,408	1,943	1,751	2,322	0	23,532
DISBURSEMENTS:														
School Aid	0	0	300	0	0	1,938	102	102	102	102	102	106	0	2,884
Higher Education	1	1	0	1	1	0	0	0	0	0	0	16	0	16
All Other Education	1	1	1	1	1	178	21	74	2,627	1	1	0	0	3,293
STAR	0	0	392	0	0	0	0	0	0	0	0	1	0	393
Medicaid - DOH	395	462	287	291	489	392	385	437	310	364	626	607	0	5,045
Public Health	22	76	84	70	95	117	133	134	94	113	80	251	0	1,269
Mental Hygiene	51	67	119	188	130	145	186	70	188	203	69	303	0	1,719
Children and Families	1	0	0	0	0	0	0	0	0	0	0	0	0	1
Temporary & Disability Assistance	1	1	1	1	1	1	0	1	1	1	1	0	0	10
Transportation	161	529	304	300	518	304	292	514	462	203	320	230	0	4,137
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	13	15	24	40	35	57	31	17	21	5	79	151	0	488
Total Local Assistance Grants	645	1,151	1,542	891	1,270	3,133	1,151	1,350	3,807	992	1,278	1,663	0	18,873
Personal Service	392	420	533	385	500	592	572	557	487	539	581	559	0	6,117
Non-Personal Service	182	237	243	211	230	299	263	285	334	265	359	285	0	3,193
Total State Operations	574	657	776	596	730	891	835	842	821	804	940	844	0	9,310
General State Charges	45	159	183	209	53	211	202	46	220	253	51	230	0	1,862
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	5	0	5
TOTAL DISBURSEMENTS	1,264	1,967	2,501	1,696	2,053	4,235	2,188	2,238	4,848	2,049	2,269	2,742	0	30,050
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	742	569	575	627	701	636	826	660	618	631	654	707	(624)	7,322
Transfers to other funds	(84)	(13)	(175)	(21)	(10)	(66)	(33)	(159)	(5)	(9)	51	(791)	624	(719)
NET OTHER FINANCING SOURCES/(USES)	648	556	400	600	691	570	793	639	459	626	705	(84)	0	6,603
Excess/(Deficiency) of Receipts over Disbursements	1,011	(93)	1	297	160	(1,655)	102	40	19	520	187	(504)	0	85
CLOSING BALANCE	3,152	3,059	3,060	3,357	3,517	1,862	1,964	2,004	2,023	2,543	2,730	2,226	0	2,226

CASHFLOW
SPECIAL REVENUE FEDERAL FUNDS
2011-2012
(dollars in millions)

	2011	2012												Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected		
OPENING BALANCE	9	284	293	293	293	293	294	294	293	293	292	292	9	
RECEIPTS:														
Miscellaneous Receipts	336	341	511	300	236	171	141	181	224	236	491	558	3,726	
Federal Grants	1	0	1	1	1	1	0	0	1	1	1	0	8	
STAR	214	147	142	122	57	48	48	65	132	47	62	81	1,165	
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	
Medicaid - DOH	2,522	2,068	2,529	1,707	2,133	1,707	1,707	2,133	1,707	1,707	2,133	1,506	23,549	
Public Health	56	89	102	103	100	93	99	96	105	96	93	108	1,140	
Mental Hygiene	20	10	14	13	13	14	9	6	23	15	9	9	155	
Children and Families	10	145	65	69	130	69	63	65	91	77	77	137	998	
Temporary & Disability Assistance	65	327	285	278	278	327	417	315	285	285	275	300	3,437	
Transportation	3	3	3	3	3	3	3	3	3	3	3	(1)	32	
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	
All Other	81	66	67	67	57	54	72	64	59	57	39	39	722	
Total Local Assistance Grants	3,308	3,186	3,719	2,663	3,008	2,487	2,559	2,928	2,630	2,524	3,183	2,737	34,932	
Personal Service	53	60	54	68	75	54	51	50	51	48	67	55	686	
Non-Personal Service	58	60	64	62	79	122	111	72	51	72	136	123	1,010	
Total State Operations	111	120	118	130	154	176	162	122	102	120	203	178	1,696	
General State Charges	3	6	50	21	11	48	13	13	47	13	14	64	303	
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	
TOTAL DISBURSEMENTS	3,422	3,312	3,887	2,814	3,173	2,711	2,734	3,063	2,779	2,657	3,400	2,979	36,931	
OTHER FINANCING SOURCES (uses):														
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	1	1	
Transfers to other funds	(273)	(306)	(299)	(363)	(374)	(329)	(402)	(320)	(320)	(320)	(350)	(416)	(4,072)	
NET OTHER FINANCING SOURCES/(USES)	(273)	(306)	(299)	(363)	(374)	(329)	(402)	(320)	(320)	(320)	(350)	(416)	(4,071)	
Excess/(Deficiency) of Receipts over Disbursements	285	(1)	0	0	0	1	0	(1)	0	(1)	0	(282)	1	
CLOSING BALANCE	294	293	293	293	293	294	294	293	293	292	292	10	10	

**CASHFLOW
DEBT SERVICE FUNDS
2011-2012
(dollars in millions)**

	2011		2012		2012		2012		2012		2012		2012		2012		2012	
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July	August	September
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected
OPENING BALANCE	453	960	1,154	516	982	1,180	496	1,355	1,607	599	1,791	1,850	453					
RECEIPTS:																		
Taxes	1,634	530	1,281	828	880	1,295	827	710	1,331	1,983	826	934	13,059					
Miscellaneous Receipts	92	84	78	78	77	77	77	77	77	77	77	78	949					
Federal Grants	3	0	0	0	2	36	0	0	0	0	2	36	79					
TOTAL RECEIPTS	<u>1,729</u>	<u>614</u>	<u>1,359</u>	<u>906</u>	<u>959</u>	<u>1,408</u>	<u>904</u>	<u>787</u>	<u>1,408</u>	<u>2,060</u>	<u>905</u>	<u>1,048</u>	<u>14,087</u>					
DISBURSEMENTS:																		
State Operations	1	2	10	1	10	7	1	2	12	1	5	10	62					
Debt Service	157	273	594	107	286	847	120	191	1,152	98	468	1,562	5,855					
TOTAL DISBURSEMENTS	<u>158</u>	<u>275</u>	<u>604</u>	<u>108</u>	<u>296</u>	<u>854</u>	<u>121</u>	<u>193</u>	<u>1,164</u>	<u>99</u>	<u>473</u>	<u>1,572</u>	<u>5,917</u>					
OTHER FINANCING SOURCES (uses):																		
Transfers from other funds	886	370	364	774	387	411	954	390	410	833	311	434	6,524					
Transfers to other funds	(1,950)	(515)	(1,757)	(1,106)	(852)	(1,649)	(878)	(732)	(1,662)	(1,602)	(684)	(1,187)	(14,574)					
NET OTHER FINANCING SOURCES/(USES)	<u>(1,064)</u>	<u>(145)</u>	<u>(1,393)</u>	<u>(332)</u>	<u>(465)</u>	<u>(1,238)</u>	<u>76</u>	<u>(342)</u>	<u>(1,252)</u>	<u>(769)</u>	<u>(373)</u>	<u>(753)</u>	<u>(8,050)</u>					
Excess/(Deficiency) of Receipts over Disbursements	507	194	(638)	466	198	(684)	859	252	(1,008)	1,192	59	(1,277)	120					
CLOSING BALANCE	<u>960</u>	<u>1,154</u>	<u>516</u>	<u>982</u>	<u>1,180</u>	<u>496</u>	<u>1,355</u>	<u>1,607</u>	<u>599</u>	<u>1,791</u>	<u>1,850</u>	<u>573</u>	<u>573</u>					

CASHFLOW
ALL GOVERNMENTAL FUNDS
2011-2012
(dollars in millions)

	2011	2012	2012												Intra-Fund
	April	May	June	July	August	September	October	November	December	January	February	March	Total	Transfer	
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected		Eliminations	
OPENING BALANCE	3,811	8,670	5,470	4,102	5,699	5,776	6,531	6,370	5,229	4,526	10,082	9,801	3,811		
RECEIPTS:															
Taxes	7,162	2,602	6,657	3,885	4,112	6,901	3,891	3,410	7,358	8,589	3,929	6,480	64,976	0	
Miscellaneous Receipts	1,752	1,477	1,831	1,606	1,664	2,317	1,855	1,847	1,865	2,093	1,954	3,346	23,407	0	
Federal Grants	4,109	3,772	4,377	3,369	3,749	3,299	3,341	3,582	3,306	3,153	3,906	3,342	43,305	0	
TOTAL RECEIPTS	13,023	7,851	12,865	8,860	9,525	12,517	8,887	8,839	12,529	13,835	9,789	13,168	131,688	0	
DISBURSEMENTS:															
School Aid	568	2,956	3,010	400	776	3,409	743	1,283	1,846	868	1,093	6,460	23,412	0	
Higher Education	33	25	625	44	199	73	443	32	248	79	322	478	2,602	0	
All Other Education	238	248	449	198	113	119	272	223	195	275	160	418	2,908	0	
STAR	0	0	392	0	0	178	21	74	2,627	0	0	1	3,293	0	
Medicaid - DOH	3,888	3,447	4,200	2,478	3,675	2,255	3,363	3,994	2,477	2,881	3,621	2,551	38,830	0	
Public Health	93	252	293	252	229	339	261	249	301	225	190	467	3,151	0	
Mental Hygiene	90	85	202	144	144	692	196	77	560	355	191	678	3,755	0	
Children and Families	19	307	257	186	224	275	151	181	286	155	152	521	2,714	0	
Temporary & Disability Assistance	392	459	422	383	360	450	492	391	375	361	294	470	4,849	0	
Transportation	164	556	307	303	545	307	295	541	480	206	333	232	4,269	0	
Unrestricted Aid	1	13	295	2	2	92	11	2	205	2	2	140	767	0	
All Other	262	202	504	277	280	391	217	261	299	226	726	1,209	4,854	0	
Total Local Assistance Grants	5,748	8,550	11,239	4,725	6,547	8,580	6,465	7,308	9,899	5,633	7,084	13,626	95,404	0	
Personal Service	1,047	944	1,131	965	1,201	1,024	971	1,096	932	960	1,153	939	12,363	0	
Non-Personal Service	440	448	452	446	485	573	506	471	511	498	657	574	6,061	0	
Total State Operations	1,487	1,392	1,583	1,411	1,686	1,597	1,477	1,567	1,443	1,458	1,810	1,513	18,424	0	
General State Charges	452	503	335	635	480	311	593	499	327	712	347	1,639	6,833	0	
Debt service	157	273	594	107	286	847	120	191	1,152	98	488	1,562	5,855	0	
Capital Projects	317	367	521	422	486	470	431	453	470	415	404	426	5,182	0	
TOTAL DISBURSEMENTS	8,161	11,085	14,272	7,300	9,485	11,805	9,086	10,018	13,291	8,316	10,113	18,766	131,698	0	
OTHER FINANCING SOURCES (uses):															
Transfers from other funds	3,336	1,205	2,460	2,307	1,678	2,397	2,465	1,564	2,399	2,881	1,344	3,393	26,805	(624)	
Transfers to other funds	(3,339)	(1,212)	(2,462)	(2,311)	(1,682)	(2,395)	(2,468)	(1,567)	(2,381)	(2,885)	(1,350)	(3,402)	(26,830)	624	
Bond and note proceeds	0	41	41	41	41	41	41	41	41	41	49	66	484	0	
NET OTHER FINANCING SOURCES/(USES)	(3)	34	39	37	37	43	38	38	59	37	43	57	459	0	
Excess/(Deficiency) of Receipts over Disbursements	4,859	(3,200)	(1,368)	1,597	77	755	(161)	(1,141)	(703)	5,556	(281)	(5,541)	449	0	
CLOSING BALANCE	8,670	5,470	4,102	5,699	5,776	6,531	6,370	5,229	4,526	10,082	9,801	4,260	4,260	0	

**CASHFLOW
STATE FUNDS
2011-2012**
(dollars in millions)

	2011		2012		2012		2012		2012		2012		Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	
OPENING BALANCE	3,908	8,443	5,231	3,852	5,437	5,504	6,249	6,079	4,928	4,215	9,761	9,468	3,908
RECEIPTS:													
Taxes	7,162	2,602	6,657	3,885	4,112	6,901	3,891	3,410	7,358	8,589	3,929	6,480	0
Miscellaneous Receipts	1,749	1,466	1,820	1,595	1,653	2,305	1,644	1,836	1,854	2,082	1,943	3,328	0
Federal Grants	5	1	14	0	2	54	0	0	15	0	2	52	0
TOTAL RECEIPTS	8,916	4,069	8,491	5,480	5,767	9,260	5,535	5,246	9,227	10,671	5,874	9,860	0
DISBURSEMENTS:													
School Aid	232	2,615	2,499	100	540	3,238	602	1,102	1,622	632	602	5,902	0
Higher Education	32	25	624	43	198	72	443	32	247	78	321	479	0
All Other Education	24	101	307	76	56	71	224	158	63	228	98	337	0
STAR	0	0	392	0	0	178	21	74	2,627	0	0	1	0
Medicaid - DOH	1,366	1,389	1,671	771	1,542	548	1,656	1,861	770	1,174	1,488	1,045	0
Public Health	37	163	191	149	129	246	162	153	196	129	97	359	0
Mental Hygiene	70	75	471	189	131	678	187	71	537	340	182	669	0
Children and Families	9	162	192	117	94	206	88	116	195	78	75	384	0
Temporary & Disability Assistance	327	132	137	105	82	123	75	76	90	76	19	170	0
Transportation	161	553	304	300	542	304	292	538	477	203	330	233	0
Unrestricted Aid	1	13	295	2	2	92	11	2	205	2	2	140	0
All Other	155	75	369	136	146	255	62	120	166	100	626	915	0
Total Local Assistance Grants	2,414	5,303	7,452	1,988	3,462	6,011	3,823	4,303	7,195	3,040	3,840	10,634	0
Personal Service	994	884	1,077	897	1,126	970	920	1,046	881	912	1,086	884	0
Non-Personal Service	382	388	388	384	406	451	395	399	460	426	521	451	0
Total State Operations	1,376	1,272	1,465	1,281	1,532	1,421	1,315	1,445	1,341	1,338	1,607	1,335	0
General State Charges	449	497	285	614	469	263	580	486	280	699	333	1,575	0
Debt service	157	273	594	107	286	847	120	191	1,152	98	468	1,562	0
Capital Projects	255	304	440	333	391	374	336	358	380	336	340	379	0
TOTAL DISBURSEMENTS	4,651	7,649	10,236	4,323	6,140	8,916	6,174	6,763	10,348	5,511	6,588	15,485	0
OTHER FINANCING SOURCES (uses):													
Transfers from other funds	3,336	1,232	2,487	2,334	1,706	2,425	2,493	1,591	2,427	2,909	1,371	3,449	(624)
Transfers to other funds	(3,066)	(905)	(2,162)	(1,947)	(1,307)	(2,065)	(2,065)	(1,246)	(2,060)	(2,564)	(999)	(2,984)	624
Bond and note proceeds	0	41	41	41	41	41	41	41	41	41	49	66	0
NET OTHER FINANCING SOURCES(USES)	270	368	366	428	440	401	469	386	408	386	421	531	0
Excess/(Deficiency) of Receipts over Disbursements	4,535	(3,212)	(1,379)	1,585	67	745	(170)	(1,151)	(713)	5,546	(293)	(5,094)	0
CLOSING BALANCE	8,443	5,231	3,852	5,437	5,504	6,249	6,079	4,928	4,215	9,761	9,468	4,374	0

CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2011-12
(millions of dollars)

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Opening fund balance	<u>4</u>	<u>155</u>	<u>159</u>
Receipts:			
Taxes	1,272	(78)	1,194
Miscellaneous receipts	<u>4,471</u>	<u>(183)</u>	<u>4,288</u>
Total receipts	<u>5,743</u>	<u>(261)</u>	<u>5,482</u>
Disbursements:			
Medical Assistance Account	3,337	53	3,390
HCRA Program Account	497	25	522
Hospital Indigent Care Fund	792	0	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	143	22	165
Child Health Plus (CHP)	329	3	332
Public Health	120	0	120
All Other	<u>529</u>	<u>(209)</u>	<u>320</u>
Total disbursements	<u>5,747</u>	<u>(106)</u>	<u>5,641</u>
Change in fund balance	<u>(4)</u>	<u>(155)</u>	<u>(159)</u>
Closing fund balance	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2011-2012 THROUGH 2014-2015
(millions of dollars)**

	<u>2011-12</u> <u>Projected</u>	<u>2012-13</u> <u>Projected</u>	<u>2013-14</u> <u>Projected</u>	<u>2014-15</u> <u>Projected</u>
Opening fund balance	<u>159</u>	<u>0</u>	<u>0</u>	<u>0</u>
Receipts:				
Taxes	1,194	1,254	1,232	1,210
Miscellaneous receipts	<u>4,288</u>	<u>4,832</u>	<u>4,988</u>	<u>5,107</u>
Total receipts	<u>5,482</u>	<u>6,086</u>	<u>6,220</u>	<u>6,317</u>
Disbursements:				
Medical Assistance Account	3,390	3,822	3,914	4,127
HCRA Program Account	522	496	509	511
Hospital Indigent Care Fund	792	792	792	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	165	166	174	182
Child Health Plus (CHP)	332	354	379	405
Public Health	120	120	120	120
All Other	<u>320</u>	<u>336</u>	<u>332</u>	<u>180</u>
Total disbursements	<u>5,641</u>	<u>6,086</u>	<u>6,220</u>	<u>6,317</u>
Change in fund balance	<u>(159)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Closing fund balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

Note: Statutory authorization for HCRA expires on March 31, 2014.

**CASH FINANCIAL PLAN
HEALTH CARE REFORM ACT RESOURCES FUND
2010-2011 and 2011-2012
(millions of dollars)**

	<u>2010-11 Year-End</u>	<u>2011-2012 Enacted</u>	<u>Annual Change</u>
Opening fund balance	<u>26</u>	<u>159</u>	<u>133</u>
Receipts:			
Taxes	1,136	1,194	58
Miscellaneous receipts	<u>4,150</u>	<u>4,288</u>	<u>138</u>
Total receipts	<u>5,286</u>	<u>5,482</u>	<u>196</u>
Disbursements:			
Medical Assistance Account	2,843	3,390	547
HCRA Program Account	326	522	196
Hospital Indigent Care Fund	871	792	(79)
Elderly Pharmaceutical Insurance Coverage (EPIC)	195	165	(30)
Child Health Plus (CHP)	348	332	(16)
Public Health	111	120	9
All Other	<u>459</u>	<u>320</u>	<u>(139)</u>
Total disbursements	<u>5,153</u>	<u>5,641</u>	<u>488</u>
Change in fund balance	<u>133</u>	<u>(159)</u>	<u>(292)</u>
Closing fund balance	<u>159</u>	<u>0</u>	<u>(159)</u>

**CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
2010-2011
(dollars in millions)**

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
Opening fund balance	26	262	265	452	466	420	385	269	338	410	518	578	26
Receipts:													
Taxes	80	73	94	94	99	133	101	97	105	92	73	95	1,136
Miscellaneous receipts	380	325	339	318	323	288	354	388	344	483	303	305	4,150
Total receipts	460	398	433	412	422	421	455	485	449	575	376	400	5,286
Disbursements:													
Medical Assistance Account	61	249	125	236	293	268	393	230	241	252	220	275	2,843
HCRA Program Account	10	8	11	3	35	6	51	47	15	50	10	80	326
Hospital Indigent Care Fund	105	97	96	96	95	95	74	72	41	39	24	37	871
Elderly Pharmaceutical Insurance Coverage (EPIC)	0	0	0	0	0	30	29	27	28	30	21	30	195
Child Health Plus (CHP)	35	20	6	57	19	33	1	23	37	32	29	56	348
Public Health	6	5	4	5	5	16	21	13	12	8	8	8	111
All Other	7	16	4	1	21	8	2	4	3	56	4	333	459
Total disbursements	224	385	246	398	468	456	571	416	377	467	316	819	5,153
Change in fund balance	236	3	187	14	(46)	(35)	(116)	69	72	108	60	(419)	133
Legislative/Administrative Actions to Close Gap	0	0	0	0	0	0	0	0	0	0	0	0	0
Closing fund balance	262	265	452	466	420	385	269	338	410	518	578	159	159

CASH FLOW
HEALTH CARE REFORM ACT RESOURCES FUND
2011-12
(dollars in millions)

	April Results	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
Opening fund balance	159	234	212	302	459	401	313	339	338	422	610	372	159
Receipts:													
Taxes	96	92	102	109	114	111	96	93	103	88	90	100	1,194
Miscellaneous receipts	338	334	347	325	332	296	364	386	353	495	311	395	4,288
Total receipts	434	426	449	434	446	407	460	481	456	583	401	495	5,482
Disbursements:													
Medical Assistance Account	266	335	160	150	348	252	245	286	169	223	484	462	3,390
HCRA Program Account	10	9	30	5	23	38	54	62	22	50	15	204	522
Hospital Indigent Care Fund	70	64	64	66	66	66	66	66	66	66	66	66	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	0	0	12	22	24	25	20	20	13	13	13	165
Child Health Plus (CHIP)	3	30	30	30	30	30	30	30	30	30	30	29	332
Public Health	5	6	8	10	10	14	13	15	12	10	9	8	120
All Other	2	4	67	4	5	71	1	3	53	3	22	85	320
Total disbursements	359	448	359	277	504	495	434	492	372	395	639	867	5,641
Change in fund balance	75	(22)	90	157	(58)	(88)	26	(1)	84	188	(238)	(372)	(159)
Closing fund balance	234	212	302	459	401	313	339	338	422	610	372	0	0

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2010-2011
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening fund balance	<u>18</u>	<u>(64)</u>	<u>(66)</u>
Receipts:			
Unemployment Taxes	0	3,821	0
Miscellaneous Receipts	439	62	0
Federal Receipts	<u>0</u>	<u>5,581</u>	<u>0</u>
Total Receipts	<u>439</u>	<u>9,464</u>	<u>0</u>
Disbursements:			
Local Assistance Grants	0	0	0
Departmental Operations:			
Personal Service	115	5	0
Non-Personal Service	331	52	0
Unemployment Benefits	0	9,320	0
General State Charges	53	2	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>499</u>	<u>9,379</u>	<u>0</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	142	0	0
Transfers to Other Funds	(66)	(1)	5
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>76</u>	<u>(1)</u>	<u>5</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>16</u>	<u>84</u>	<u>5</u>
Closing Fund Balance	<u>34</u>	<u>20</u>	<u>(61)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2011-2012
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening Fund Balance	<u>34</u>	<u>20</u>	<u>(61)</u>
Receipts:			
Unemployment Taxes	0	3,775	0
Miscellaneous Receipts	596	216	1
Federal Receipts	<u>0</u>	<u>75</u>	<u>0</u>
Total receipts	<u>596</u>	<u>4,066</u>	<u>1</u>
Disbursements:			
Local Assistance Grants	(2)	0	0
Departmental Operations:			
Personal Service	125	7	0
Non-Personal Service	436	206	0
Unemployment Benefits	0	3,850	0
General State Charges	62	3	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total Disbursements	<u>621</u>	<u>4,066</u>	<u>0</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	73	0	0
Transfers to Other Funds	(48)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>25</u>	<u>0</u>	<u>0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>0</u>	<u>0</u>	<u>1</u>
Closing Fund Balance	<u>34</u>	<u>20</u>	<u>(60)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2012-2013
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening Fund Balance	<u>34</u>	<u>20</u>	<u>(60)</u>
Receipts:			
Unemployment Taxes	0	3,331	0
Miscellaneous Receipts	619	296	1
Federal Receipts	<u>0</u>	<u>25</u>	<u>0</u>
Total Receipts	<u>619</u>	<u>3,652</u>	<u>1</u>
Disbursements:			
Local Assistance Grants	0	0	0
Departmental Operations:			
Personal Service	127	7	0
Non-Personal Service	441	286	0
Unemployment Benefits	0	3,356	0
General State Charges	67	3	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>635</u>	<u>3,652</u>	<u>0</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	73	0	0
Transfers to Other Funds	(65)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>8</u>	<u>0</u>	<u>0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(8)</u>	<u>0</u>	<u>1</u>
Closing Fund Balance	<u>26</u>	<u>20</u>	<u>(59)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2013-2014
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening Fund Balance	<u>26</u>	<u>20</u>	<u>(59)</u>
Receipts:			
Unemployment Taxes	0	3,456	0
Miscellaneous Receipts	622	297	1
Federal Receipts	<u>0</u>	<u>25</u>	<u>0</u>
Total Receipts	<u>622</u>	<u>3,778</u>	<u>1</u>
Disbursements:			
Local Assistance Grants	0	0	0
Departmental Operations:			
Personal Service	128	7	0
Non-Personal Service	456	287	0
Unemployment Benefits	0	3,481	0
General State Charges	71	4	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u>655</u>	<u>3,779</u>	<u>0</u>
Other Financing Sources (Uses):			
Transfers from Other Funds	73	0	0
Transfers to Other Funds	(73)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>0</u>	<u>0</u>	<u>0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u>(33)</u>	<u>(1)</u>	<u>1</u>
Closing Fund Balance	<u>(7)</u>	<u>19</u>	<u>(58)</u>

**CASH FINANCIAL PLAN
 PROPRIETARY AND FIDUCIARY FUNDS
 2014-2015
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
Opening Fund Balance	<u>(7)</u>	<u>19</u>	<u>(58)</u>
Receipts:			
Unemployment Taxes	0	3,447	0
Miscellaneous Receipts	663	278	1
Federal Receipts	<u>0</u>	<u>25</u>	<u>0</u>
Total Receipts	<u><u>663</u></u>	<u><u>3,750</u></u>	<u><u>1</u></u>
Disbursements:			
Local Assistance Grants	0	0	0
Departmental Operations:			
Personal Service	129	7	0
Non-Personal Service	461	268	0
Unemployment Benefits	0	3,472	0
General State Charges	75	4	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
Total disbursements	<u><u>665</u></u>	<u><u>3,751</u></u>	<u><u>0</u></u>
Other Financing Sources (Uses):			
Transfers from Other Funds	73	0	0
Transfers to Other Funds	(96)	0	0
Bond & Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
	<u>(23)</u>	<u>0</u>	<u>0</u>
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	<u><u>(25)</u></u>	<u><u>(1)</u></u>	<u><u>1</u></u>
Closing Fund Balance	<u><u>(32)</u></u>	<u><u>18</u></u>	<u><u>(57)</u></u>

Workforce Impact Summary

General Fund
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	3,061	2,899	3,269
Corrections and Community Supervision, Department of	29,714	29,158	30,191
Education Department, State	366	261	253
Environmental Conservation, Department of	1,340	1,079	1,096
General Services, Office of	934	800	821
Health, Department of	1,907	1,777	1,914
Labor, Department of	7	0	0
Parks, Recreation and Historic Preservation, Office of	1,652	1,448	1,449
Parole, Division of	1,973	1,863	0
State Police, Division of	5,274	5,039	4,822
Taxation and Finance, Department of	5,225	5,057	4,208
Temporary and Disability Assistance, Office of	928	920	154
Subtotal - Major Agencies	52,381	50,301	48,177
Minor Agencies	4,203	3,429	3,450
Subtotal - Subject to Direct Executive Control	56,584	53,730	51,627
Adjustments			
Workforce Savings - Attrition	0	0	(1,607)
Workforce Savings - Layoffs*	0	0	(4,662)
Subtotal - Adjustments	0	0	(6,269)
University Systems			
City University of New York	0	0	(89)
State University of New York	24,705	23,604	23,938
Subtotal - University Systems	24,705	23,604	23,849
Off-Budget Agencies			
Science, Technology and Innovation, NYS Foundation for	25	20	0
Subtotal - Off-Budget Agencies	25	20	0
Independently Elected Agencies			
Audit and Control, Department of	1,568	1,451	1,559
Law, Department of	1,219	1,109	1,151
Subtotal - Independently Elected Agencies	2,787	2,560	2,710
Grand Total	84,101	79,914	71,917

* This line reflects layoffs that may be necessary in the absence of negotiated workforce savings.

Workforce Impact Summary

General Fund
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Minor Agencies			
Adirondack Park Agency	65	52	56
Aging, Office for the	27	22	23
Agriculture and Markets, Department of	358	300	264
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	42	29	29
Budget, Division of the	278	264	258
Civil Service, Department of	252	207	217
Consumer Protection Board, State	25	21	0
Correction, Commission of	32	27	29
Criminal Justice Services, Division of	544	526	550
Economic Development, Department of	165	131	140
Elections, State Board of	56	59	60
Employee Relations, Office of	35	31	31
Executive Chamber	144	124	136
Homeland Security and Emergency Services, Division of	126	126	125
Housing and Community Renewal, Division of	277	193	138
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	166	152	141
Inspector General, Office of the	60	59	64
Insurance Department	6	0	0
Judicial Commissions	47	39	48
Labor Management Committees	82	77	90
Lieutenant Governor, Office of the	0	4	7
Medicaid Inspector General, Office of the	299	303	331
Military and Naval Affairs, Division of	209	165	184
National and Community Service	0	0	4
Prevention of Domestic Violence, Office for	14	12	14
Probation and Correctional Alternatives, Division of	32	0	0
Public Employment Relations Board	36	34	35
Public Integrity, Commission on	48	45	46
Quality of Care and Advocacy for Persons With Disabilities	47	37	33
Real Property Services, Office of	268	0	0
Regulatory Reform, Governor's Office of	19	10	0
State, Department of	177	123	141
Tax Appeals, Division of	31	25	24
Technology, Office for	133	139	141
Veterans' Affairs, Division of	96	87	86
Welfare Inspector General, Office of	4	3	4
Subtotal - Minor Agencies	4,203	3,429	3,450

Workforce Impact Summary

State Operating Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	3,118	2,949	3,328
Corrections and Community Supervision, Department of	29,714	29,158	30,191
Education Department, State	1,501	1,296	1,293
Environmental Conservation, Department of	2,688	2,320	2,346
General Services, Office of	997	860	885
Health, Department of	4,505	4,190	4,364
Labor, Department of	364	361	560
Mental Health, Office of	16,122	15,681	15,581
Motor Vehicles, Department of	872	789	796
Parks, Recreation and Historic Preservation, Office of	1,910	1,677	1,659
Parole, Division of	1,973	1,863	0
People with Developmental Disabilities, Office for	21,513	21,208	21,174
State Police, Division of	5,704	5,435	5,268
Taxation and Finance, Department of	5,263	5,125	5,002
Temporary and Disability Assistance, Office of	1,038	985	840
Transportation, Department of	163	147	141
Workers' Compensation Board	1,395	1,364	1,466
Subtotal - Major Agencies	98,840	95,408	94,894
Minor Agencies	9,677	8,720	9,018
Subtotal - Subject to Direct Executive Control	108,517	104,128	103,912
Adjustments			
Workforce Savings - Attrition	0	0	(1,748)
Workforce Savings - Layoffs*	0	0	(8,828)
Subtotal - Adjustments	0	0	(10,576)
University Systems			
City University of New York	295	278	203
State University Construction Fund	129	140	152
State University of New York	41,893	41,046	41,451
Subtotal - University Systems	42,317	41,464	41,806
Off-Budget Agencies			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	25	20	0
Subtotal - Off-Budget Agencies	2,050	2,045	2,025
Independently Elected Agencies			
Audit and Control, Department of	1,603	1,487	1,593
Law, Department of	1,565	1,429	1,518
Subtotal - Independently Elected Agencies	3,168	2,916	3,111
Grand Total	156,052	150,553	140,278

* This line reflects layoffs that may be necessary in the absence of negotiated workforce savings.

Workforce Impact Summary

State Operating Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Minor Agencies			
Adirondack Park Agency	65	52	56
Aging, Office for the	28	23	24
Agriculture and Markets, Department of	522	456	429
Alcoholic Beverage Control, Division of	141	128	140
Alcoholism and Substance Abuse Services, Office of	789	842	727
Arts, Council on the	42	29	29
Banking Department	538	530	0
Budget, Division of the	353	313	307
Civil Service, Department of	257	212	222
Consumer Protection Board, State	25	21	0
Correction, Commission of	32	27	29
Criminal Justice Services, Division of	546	531	557
Deferred Compensation Board	4	4	4
Economic Development, Department of	167	133	144
Elections, State Board of	56	59	60
Employee Relations, Office of	35	31	31
Environmental Facilities Corporation	88	76	88
Executive Chamber	144	124	136
Financial Control Board, New York State	14	14	15
Financial Regulation, Department of	0	0	1,531
Higher Education Services Corporation, New York State	600	502	516
Homeland Security and Emergency Services, Division of	129	293	301
Housing and Community Renewal, Division of	722	602	590
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	166	152	141
Indigent Legal Services, Office of	0	1	20
Inspector General, Office of the	60	59	64
Insurance Department	904	899	0
Interest on Lawyer Account	8	8	8
Judicial Commissions	47	39	48
Labor Management Committees	82	77	90
Lieutenant Governor, Office of the	0	4	7
Lottery, Division of the	332	314	369
Medicaid Inspector General, Office of the	302	303	331
Military and Naval Affairs, Division of	226	171	198
National and Community Service	0	0	4
Prevention of Domestic Violence, Office for	15	13	14
Probation and Correctional Alternatives, Division of	32	0	0
Public Employment Relations Board	36	34	35
Public Integrity, Commission on	48	45	46
Public Service Department	514	493	519
Quality of Care and Advocacy for Persons With Disabilities	77	69	68
Racing and Wagering Board, State	121	102	105
Real Property Services, Office of	304	0	0
Regulatory Reform, Governor's Office of	19	10	0
State, Department of	726	523	564
Statewide Financial System	0	88	136
Statewide Wireless Network	31	3	0
Tax Appeals, Division of	31	25	24
Technology, Office for	133	139	146
Veterans' Affairs, Division of	96	87	86
Victim Services, Office of	63	54	51
Welfare Inspector General, Office of	6	5	7
Subtotal - Minor Agencies	9,677	8,720	9,018

Workforce Impact Summary

State Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	3,124	2,955	3,335
Corrections and Community Supervision, Department of	30,053	29,484	30,523
Education Department, State	1,660	1,445	1,451
Environmental Conservation, Department of	3,139	2,714	2,740
General Services, Office of	1,519	1,345	1,374
Health, Department of	4,571	4,246	4,444
Labor, Department of	364	361	560
Mental Health, Office of	16,173	15,727	15,649
Motor Vehicles, Department of	2,733	2,430	2,456
Parks, Recreation and Historic Preservation, Office of	2,037	1,788	1,771
Parole, Division of	1,973	1,863	0
People with Developmental Disabilities, Office for	21,514	21,208	21,174
State Police, Division of	5,704	5,435	5,268
Taxation and Finance, Department of	5,263	5,125	5,002
Temporary and Disability Assistance, Office of	1,042	989	844
Transportation, Department of	9,886	9,064	8,644
Workers' Compensation Board	1,395	1,364	1,466
Subtotal - Major Agencies	112,150	107,543	106,701
Minor Agencies	10,424	9,457	9,877
Subtotal - Subject to Direct Executive Control	122,574	117,000	116,578
Adjustments			
Workforce Savings - Attrition	0	0	(1,762)
Workforce Savings - Layoffs*	0	0	(9,748)
Subtotal - Adjustments	0	0	(11,510)
University Systems			
City University of New York	13,073	12,844	12,844
State University Construction Fund	129	140	152
State University of New York	41,900	41,053	41,457
Subtotal - University Systems	55,102	54,037	54,453
Off-Budget Agencies			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	25	20	0
State Insurance Fund	2,547	2,545	2,564
Subtotal - Off-Budget Agencies	4,597	4,590	4,589
Independently Elected Agencies			
Audit and Control, Department of	2,540	2,439	2,521
Law, Department of	1,572	1,435	1,525
Subtotal - Independently Elected Agencies	4,112	3,874	4,046
Grand Total	186,385	179,501	168,156

* This line reflects layoffs that may be necessary in the absence of negotiated workforce savings.

Workforce Impact Summary

State Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Minor Agencies			
Adirondack Park Agency	65	52	56
Aging, Office for the	28	23	24
Agriculture and Markets, Department of	553	504	486
Alcoholic Beverage Control, Division of	141	128	140
Alcoholism and Substance Abuse Services, Office of	797	842	727
Arts, Council on the	42	29	29
Banking Department	538	530	0
Budget, Division of the	353	313	307
Civil Service, Department of	482	432	444
Consumer Protection Board, State	25	21	0
Correction, Commission of	32	27	29
Criminal Justice Services, Division of	546	531	557
Deferred Compensation Board	4	4	4
Economic Development, Department of	167	133	144
Elections, State Board of	56	59	60
Employee Relations, Office of	47	42	44
Environmental Facilities Corporation	88	76	88
Executive Chamber	144	124	136
Financial Control Board, New York State	14	14	15
Financial Regulation, Department of	0	0	1,531
Higher Education Services Corporation, New York State	600	502	516
Homeland Security and Emergency Services, Division of	129	293	301
Housing and Community Renewal, Division of	722	602	590
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	166	152	141
Indigent Legal Services, Office of	0	1	20
Inspector General, Office of the	60	59	64
Insurance Department	904	899	0
Interest on Lawyer Account	8	8	8
Judicial Commissions	47	39	48
Labor Management Committees	82	77	90
Lieutenant Governor, Office of the	0	4	7
Lottery, Division of the	332	314	369
Medicaid Inspector General, Office of the	302	303	331
Military and Naval Affairs, Division of	226	171	198
National and Community Service	0	0	4
Prevention of Domestic Violence, Office for	25	23	24
Probation and Correctional Alternatives, Division of	32	0	0
Public Employment Relations Board	36	34	35
Public Integrity, Commission on	48	45	46
Public Service Department	514	493	519
Quality of Care and Advocacy for Persons With Disabilities	77	69	68
Racing and Wagering Board, State	121	102	105
Real Property Services, Office of	304	0	0
Regulatory Reform, Governor's Office of	19	10	0
State, Department of	726	523	564
Statewide Financial System	0	88	136
Statewide Wireless Network	31	3	0
Tax Appeals, Division of	31	25	24
Technology, Office for	594	587	703
Veterans' Affairs, Division of	96	87	86
Victim Services, Office of	63	54	51
Welfare Inspector General, Office of	6	5	7
Subtotal - Minor Agencies	10,424	9,457	9,877

Workforce Impact Summary

All Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	3,555	3,352	3,771
Corrections and Community Supervision, Department of	30,104	29,530	31,176
Education Department, State	2,976	2,735	2,806
Environmental Conservation, Department of	3,454	3,003	3,003
General Services, Office of	1,519	1,345	1,374
Health, Department of	5,388	4,995	5,192
Labor, Department of	3,982	3,953	3,977
Mental Health, Office of	16,173	15,727	15,660
Motor Vehicles, Department of	2,750	2,447	2,472
Parks, Recreation and Historic Preservation, Office of	2,053	1,800	1,785
Parole, Division of	1,973	1,863	0
People with Developmental Disabilities, Office for	21,530	21,221	21,192
State Police, Division of	5,704	5,435	5,309
Taxation and Finance, Department of	5,263	5,125	5,002
Temporary and Disability Assistance, Office of	2,259	2,159	2,248
Transportation, Department of	9,963	9,130	8,708
Workers' Compensation Board	1,395	1,364	1,466
Subtotal - Major Agencies	120,041	115,184	115,141
Minor Agencies	11,700	10,603	11,254
Subtotal - Subject to Direct Executive Control	131,741	125,787	126,395
Adjustments			
Workforce Savings - Attrition	0	0	(1,762)
Workforce Savings - Layoffs*	0	0	(9,748)
Subtotal - Adjustments	0	0	(11,510)
University Systems			
City University of New York	13,073	12,844	12,844
State University Construction Fund	129	140	152
State University of New York	41,900	41,053	41,457
Subtotal - University Systems	55,102	54,037	54,453
Off-Budget Agencies			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	25	20	0
State Insurance Fund	2,547	2,545	2,564
Subtotal - Off-Budget Agencies	4,597	4,590	4,589
Independently Elected Agencies			
Audit and Control, Department of	2,545	2,444	2,529
Law, Department of	1,807	1,653	1,747
Subtotal - Independently Elected Agencies	4,352	4,097	4,276
Grand Total	195,792	188,511	178,203

* This line reflects layoffs that may be necessary in the absence of negotiated workforce savings.

Workforce Impact Summary

All Funds
2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Minor Agencies			
Adirondack Park Agency	65	52	56
Aging, Office for the	122	119	121
Agriculture and Markets, Department of	557	508	511
Alcoholic Beverage Control, Division of	141	128	140
Alcoholism and Substance Abuse Services, Office of	886	842	817
Arts, Council on the	42	29	29
Banking Department	538	530	0
Budget, Division of the	353	313	307
Civil Service, Department of	482	432	444
Consumer Protection Board, State	25	21	0
Correction, Commission of	32	27	29
Criminal Justice Services, Division of	646	630	657
Deferred Compensation Board	4	4	4
Economic Development, Department of	167	133	144
Elections, State Board of	62	59	60
Employee Relations, Office of	47	42	44
Environmental Facilities Corporation	88	76	88
Executive Chamber	144	124	136
Financial Control Board, New York State	14	14	15
Financial Regulation, Department of	0	0	1,531
Higher Education Services Corporation, New York State	600	502	516
Homeland Security and Emergency Services, Division of	169	383	433
Housing and Community Renewal, Division of	890	749	757
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	213	194	195
Indigent Legal Services, Office of	0	1	20
Inspector General, Office of the	60	59	64
Insurance Department	904	899	0
Interest on Lawyer Account	8	8	8
Judicial Commissions	47	39	48
Labor Management Committees	82	77	90
Lieutenant Governor, Office of the	0	4	7
Lottery, Division of the	332	314	369
Medicaid Inspector General, Office of the	603	606	662
Military and Naval Affairs, Division of	529	410	442
National and Community Service	0	0	10
Prevention of Domestic Violence, Office for	27	25	26
Probation and Correctional Alternatives, Division of	33	0	0
Public Employment Relations Board	36	34	35
Public Integrity, Commission on	48	45	46
Public Service Department	526	510	534
Quality of Care and Advocacy for Persons With Disabilities	100	92	91
Racing and Wagering Board, State	121	102	105
Real Property Services, Office of	304	0	0
Regulatory Reform, Governor's Office of	19	10	0
State, Department of	783	574	619
Statewide Financial System	0	88	136
Statewide Wireless Network	31	3	0
Tax Appeals, Division of	31	25	24
Technology, Office for	594	587	703
Veterans' Affairs, Division of	104	96	98
Victim Services, Office of	84	78	75
Welfare Inspector General, Office of	6	5	7
Subtotal - Minor Agencies	11,700	10,603	11,254

Workforce Impact Summary

Special Revenue Funds - Other 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	57	50	59
Education Department, State	1,135	1,035	1,040
Environmental Conservation, Department of	1,348	1,241	1,250
General Services, Office of	63	60	64
Health, Department of	2,598	2,413	2,450
Labor, Department of	357	361	560
Mental Health, Office of	16,122	15,681	15,581
Motor Vehicles, Department of	872	789	796
Parks, Recreation and Historic Preservation, Office of	258	229	210
People with Developmental Disabilities, Office for	21,513	21,208	21,174
State Police, Division of	430	396	446
Taxation and Finance, Department of	38	68	794
Temporary and Disability Assistance, Office of	110	65	686
Transportation, Department of	163	147	141
Workers' Compensation Board	1,395	1,364	1,466
Subtotal - Major Agencies	46,459	45,107	46,717
Minor Agencies	5,474	5,291	5,568
Subtotal - Subject to Direct Executive Control	51,933	50,398	52,285
Adjustments			
Workforce Savings - Attrition	0	0	(141)
Workforce Savings - Layoffs*	0	0	(4,166)
Subtotal - Adjustments	0	0	(4,307)
University Systems			
City University of New York	295	278	292
State University Construction Fund	129	140	152
State University of New York	17,188	17,442	17,513
Subtotal - University Systems	17,612	17,860	17,957
Off-Budget Agencies			
Roswell Park Cancer Institute	2,025	2,025	2,025
Subtotal - Off-Budget Agencies	2,025	2,025	2,025
Independently Elected Agencies			
Audit and Control, Department of	35	36	34
Law, Department of	346	320	367
Subtotal - Independently Elected Agencies	381	356	401
Grand Total	71,951	70,639	68,361

* This line reflects layoffs that may be necessary in the absence of negotiated workforce savings.

Workforce Impact Summary

Special Revenue Funds - Other 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Minor Agencies			
Aging, Office for the	1	1	1
Agriculture and Markets, Department of	164	156	165
Alcoholic Beverage Control, Division of	141	128	140
Alcoholism and Substance Abuse Services, Office of	787	840	727
Banking Department	538	530	0
Budget, Division of the	75	49	49
Civil Service, Department of	5	5	5
Consumer Protection Board, State	0	0	0
Criminal Justice Services, Division of	2	5	7
Deferred Compensation Board	4	4	4
Economic Development, Department of	2	2	4
Environmental Facilities Corporation	88	76	88
Financial Control Board, New York State	14	14	15
Financial Regulation, Department of	0	0	1,531
Higher Education Services Corporation, New York State	600	502	516
Homeland Security and Emergency Services, Division of	3	167	176
Housing and Community Renewal, Division of	445	409	452
Indigent Legal Services, Office of	0	1	20
Insurance Department	898	899	0
Interest on Lawyer Account	8	8	8
Lottery, Division of the	332	314	369
Medicaid Inspector General, Office of the	3	0	0
Military and Naval Affairs, Division of	17	6	14
Prevention of Domestic Violence, Office for	1	1	0
Public Service Department	514	493	519
Quality of Care and Advocacy for Persons With Disabilities	30	32	35
Racing and Wagering Board, State	121	102	105
Real Property Services, Office of	36	0	0
State, Department of	549	400	423
Statewide Financial System	0	88	136
Statewide Wireless Network	31	3	0
Technology, Office for	0	0	5
Victim Services, Office of	63	54	51
Welfare Inspector General, Office of	2	2	3
Subtotal - Minor Agencies	5,474	5,291	5,568

Workforce Impact Summary

Special Revenue Funds - Federal 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	431	397	436
Corrections and Community Supervision, Department of	51	46	653
Education Department, State	1,316	1,290	1,355
Environmental Conservation, Department of	308	282	256
Health, Department of	817	749	748
Labor, Department of	3,618	3,592	3,417
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	17	17	16
Parks, Recreation and Historic Preservation, Office of	16	12	14
People with Developmental Disabilities, Office for	16	13	18
State Police, Division of	0	0	41
Temporary and Disability Assistance, Office of	1,217	1,170	1,404
Transportation, Department of	77	66	64
Subtotal - Major Agencies	7,884	7,634	8,433
Minor Agencies			
Aging, Office for the	94	96	97
Agriculture and Markets, Department of	4	4	25
Alcoholism and Substance Abuse Services, Office of	89	0	90
Criminal Justice Services, Division of	100	99	100
Elections, State Board of	6	0	0
Homeland Security and Emergency Services, Division of	40	90	132
Housing and Community Renewal, Division of	138	124	126
Human Rights, Division of	47	42	54
Medicaid Inspector General, Office of the	301	303	331
Military and Naval Affairs, Division of	303	239	244
National and Community Service	0	0	6
Prevention of Domestic Violence, Office for	2	2	2
Probation and Correctional Alternatives, Division of	1	0	0
Public Service Department	12	17	15
Quality of Care and Advocacy for Persons With Disabilities State, Department of	23	23	23
	57	51	55
Veterans' Affairs, Division of	8	9	12
Victim Services, Office of	21	24	24
Subtotal - Minor Agencies	1,246	1,123	1,336
Subtotal - Subject to Direct Executive Control	9,130	8,757	9,769
Independently Elected Agencies			
Audit and Control, Department of	5	5	8
Law, Department of	235	218	222
Subtotal - Independently Elected Agencies	240	223	230
Grand Total	9,370	8,980	9,999

Workforce Impact Summary

Capital Projects Funds - Other 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Children and Family Services, Office of	6	6	7
Corrections and Community Supervision, Department of	28	28	30
Environmental Conservation, Department of	451	394	394
Health, Department of	66	56	80
Mental Health, Office of	32	31	41
Motor Vehicles, Department of	1,861	1,641	1,660
Parks, Recreation and Historic Preservation, Office of	127	111	112
Transportation, Department of	9,723	8,917	8,503
Subtotal - Major Agencies	12,294	11,184	10,827
Minor Agencies			
Alcoholism and Substance Abuse Services, Office of	8	0	0
Subtotal - Minor Agencies	8	0	0
Subtotal - Subject to Direct Executive Control	12,302	11,184	10,827
Adjustments			
Workforce Savings - Attrition	0	0	(12)
Workforce Savings - Layoffs*	0	0	(790)
Subtotal - Adjustments	0	0	(802)
University Systems			
State University of New York	7	7	6
Subtotal - University Systems	7	7	6
Independently Elected Agencies			
Law, Department of	7	6	7
Subtotal - Independently Elected Agencies	7	6	7
Grand Total	12,316	11,197	10,038

* This line reflects layoffs that may be necessary in the absence of negotiated workforce savings.

Workforce Impact Summary

Capital Projects Funds - Federal 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Environmental Conservation, Department of	7	7	7
Subtotal - Major Agencies	7	7	7
Minor Agencies			
Housing and Community Renewal, Division of	30	23	41
Subtotal - Minor Agencies	30	23	41
Subtotal - Subject to Direct Executive Control	37	30	48
Grand Total	37	30	48

Workforce Impact Summary

Enterprise Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Corrections and Community Supervision, Department of	5	5	11
General Services, Office of	11	8	10
Mental Health, Office of	0	0	10
People with Developmental Disabilities, Office for	1	0	0
Subtotal - Major Agencies	17	13	31
Minor Agencies			
Agriculture and Markets, Department of	27	45	54
Subtotal - Minor Agencies	27	45	54
Subtotal - Subject to Direct Executive Control	44	58	85
Adjustments			
Workforce Savings - Layoffs*	0	0	(6)
Subtotal - Adjustments	0	0	(6)
Grand Total	44	58	79

* This line reflects layoffs that may be necessary in the absence of negotiated workforce savings.

Workforce Impact Summary

Internal Service Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Major Agencies			
Corrections and Community Supervision, Department of	306	293	291
Education Department, State	159	149	158
General Services, Office of	511	477	479
Mental Health, Office of	19	15	17
Temporary and Disability Assistance, Office of	4	4	4
Subtotal - Major Agencies	999	938	949
Minor Agencies			
Civil Service, Department of	225	220	222
Criminal Justice Services, Division of	0	0	0
Employee Relations, Office of	12	11	13
Prevention of Domestic Violence, Office for	10	10	10
Technology, Office for	461	448	557
Subtotal - Minor Agencies	708	689	802
Subtotal - Subject to Direct Executive Control	1,707	1,627	1,751
Adjustments			
Workforce Savings - Attrition	0	0	(2)
Workforce Savings - Layoffs*	0	0	(124)
Subtotal - Adjustments	0	0	(126)
Independently Elected Agencies			
Audit and Control, Department of	25	25	24
Subtotal - Independently Elected Agencies	25	25	24
Grand Total	1,732	1,652	1,649

* This line reflects layoffs that may be necessary in the absence of negotiated workforce savings.

Workforce Impact Summary

Agency Trust Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
University Systems			
City University of New York	12,778	12,566	12,641
Subtotal - University Systems	12,778	12,566	12,641
Off-Budget Agencies			
State Insurance Fund	2,547	2,545	2,564
Subtotal - Off-Budget Agencies	2,547	2,545	2,564
Grand Total	15,325	15,111	15,205

Workforce Impact Summary

Pension Trust Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Independently Elected Agencies			
Audit and Control, Department of	912	927	904
Subtotal - Independently Elected Agencies	912	927	904
Grand Total	912	927	904

Workforce Impact Summary

Private Purpose Trust Funds 2009-10 Through 2011-12

	2009-10 Actuals (03/31/10)	2010-11 Actuals (03/31/11)	2011-12 Estimate (03/31/12)
Minor Agencies			
Agriculture and Markets, Department of	4	3	3
Subtotal - Minor Agencies	4	3	3
Subtotal - Subject to Direct Executive Control	4	3	3
Grand Total	4	3	3

Impact of 2011-12 Enacted Budget on Local Governments

Local Fiscal Years Ending in 2011

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
Human Services	(21.2)	(16.4)	(4.2)	(0.6)	0.0	0.0
- Eliminate Open-Ended Funding for Local Secure and Non-Secure Detention	(53.4)	(22.9)	0.0	(30.5)	0.0	0.0
- Reduce State Share of Adoption Subsidy Program to 62%	(12.7)	(6.3)	0.0	(6.4)	0.0	0.0
- Eliminate Funding for the Work Advantage Program	(8.8)	(8.8)	0.0	0.0	0.0	0.0
- Shift a Portion of the State Share for CSE to School Districts	(8.7)	(4.5)	(4.2)	0.0	0.0	0.0
- Reduce Community Optional Preventive Services	(7.7)	(0.7)	0.0	(7.0)	0.0	0.0
- Reduce Funding for YDDP/SDPP	(7.2)	(1.0)	0.0	(6.2)	0.0	0.0
- Reduce Adult Homeless Shelter Reimbursement	(3.9)	(3.9)	0.0	0.0	0.0	0.0
- Reduce Caseload Ratio Funding	(0.6)	0.0	0.0	(0.6)	0.0	0.0
- Provide Supervision and Treatment Services for Juveniles	3.8	1.3	0.0	2.5	0.0	0.0
- Increase for NYC Homeless Services	3.8	3.8	0.0	0.0	0.0	0.0
- Maximize Public Assistance Shares	20.9	3.8	0.0	17.1	0.0	0.0
- Establish New Detention Reimbursement	53.3	22.8	0.0	30.5	0.0	0.0
Health	(3.5)	(0.4)	0.0	(3.1)	0.0	0.0
- Eliminate Reimbursement for Optional General Public Health Work Services	(2.3)	0.0	0.0	(2.3)	0.0	0.0
- Reform Early Intervention	(1.2)	(0.4)	0.0	(0.8)	0.0	0.0
Mental Hygiene	(2.7)	(0.5)	0.0	(2.2)	0.0	0.0
- Reduce OPWDD Services	(1.1)	(0.2)	0.0	(0.9)	0.0	0.0
- Reduce OMH Local Government Unit Administration	(0.9)	(0.1)	0.0	(0.8)	0.0	0.0
- All Other Mental Hygiene	(0.7)	(0.2)	0.0	(0.5)	0.0	0.0
Municipal Aid	(6.2)	0.0	0.0	(2.0)	(1.9)	(2.3)
- Reduce AIM to Cities, Towns and Villages	(4.4)	0.0	0.0	0.0	(3.4)	(1.0)
- Reduce Miscellaneous Financial Assistance to Oneida and Madison Counties	(2.0)	0.0	0.0	(2.0)	0.0	0.0
- Modify VLT Aid Outside of Yonkers	0.2	0.0	0.0	0.0	1.5	(1.3)
Public Protection	(5.0)	(0.6)	0.0	(2.5)	0.0	(1.9)
- Reduce DCJS Local Assistance	(3.6)	(0.6)	0.0	(3.0)	0.0	0.0
- Reduce Justice Court Assistance Program by 50%	(1.9)	0.0	0.0	0.0	0.0	(1.9)
- Provide Emergency Communications Funding to Oneida County	0.5	0.0	0.0	0.5	0.0	0.0
All Other Mandate Reforms / Local Impacts	15.0	3.0	0.5	11.0	0.9	(0.4)
- Implement Tax Modernization	17.1	3.0	0.2	12.4	1.1	0.4
- Provide PILOT Payment on New State Owned Land	0.6	0.0	0.3	0.2	0.0	0.1
- Reduce Office of Real Property Tax Services Local Assistance	(2.7)	0.0	0.0	(1.6)	(0.2)	(0.9)
Total 2011-12 Enacted Budget Actions	(23.6)	(14.9)	(3.7)	0.6	(1.0)	(4.6)
Continuing Medicaid Cap & FHP Takeover Savings	1,844.4	1,214.7	0.0	629.7	0.0	0.0
Grand Total	1,820.8	1,199.8	(3.7)	630.3	(1.0)	(4.6)

Impact of 2011-12 Enacted Budget on Local Governments
Local Fiscal Years Ending in 2012

(\$ in Millions)

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
School Aid/Education	(1,305.0)	(461.0)	(844.0)	0.0	0.0	0.0
- Reduce School Aid'	(1,305.0)	(461.0)	(844.0)	0.0	0.0	0.0
Human Services	(84.3)	(66.3)	(17.2)	(0.8)	0.0	0.0
- Eliminate Open-Ended Funding for Local Secure and Non-Secure Detention	(79.6)	(47.2)	0.0	(32.4)	0.0	0.0
- Shift a Portion of the State Share for CSE to School Districts	(35.9)	(18.7)	(17.2)	0.0	0.0	0.0
- Eliminate Funding for the Work Advantage Program	(35.0)	(35.0)	0.0	0.0	0.0	0.0
- Reduce State Share of Adoption Subsidy Program to 62%	(34.6)	(25.5)	0.0	(9.1)	0.0	0.0
- Reduce Adult Homeless Shelter Reimbursement	(15.7)	(15.7)	0.0	0.0	0.0	0.0
- Reduce Community Optional Preventive Services	(12.2)	(2.8)	0.0	(9.4)	0.0	0.0
- Reduce Funding for YDDP/SDPP	(12.2)	(3.9)	0.0	(8.3)	0.0	0.0
- Implement Phase II of the DOJ Remedial Plan	(1.5)	(0.5)	0.0	(1.0)	0.0	0.0
- Reduce Caseload Ratio Funding	(0.8)	0.0	0.0	(0.8)	0.0	0.0
- Delay Public Assistance Grant Increase	2.8	1.2	0.0	1.6	0.0	0.0
- Provide Supervision and Treatment Services for Juveniles	5.6	4.1	0.0	1.5	0.0	0.0
- Close Youth Facilities	5.8	2.0	0.0	3.8	0.0	0.0
- Increase for NYC Homeless Services	15.0	15.0	0.0	0.0	0.0	0.0
- Maximize Public Assistance Shares	37.8	15.0	0.0	22.8	0.0	0.0
- Establish New Detention Reimbursement	76.2	45.7	0.0	30.5	0.0	0.0
Health	(28.3)	(12.6)	0.0	(15.7)	0.0	0.0
- Eliminate Reimbursement for Optional General Public Health Work Services	(32.9)	(13.5)	0.0	(19.4)	0.0	0.0
- Reform Early Intervention	4.6	0.9	0.0	3.7	0.0	0.0
Mental Hygiene	(5.1)	(1.9)	0.0	(3.2)	0.0	0.0
- Reduce OPWDD Services	(1.9)	(0.7)	0.0	(1.2)	0.0	0.0
- Reduce OMH Local Government Unit Administration	(1.9)	(0.5)	0.0	(1.4)	0.0	0.0
- All Other Mental Hygiene	(1.3)	(0.7)	0.0	(0.6)	0.0	0.0
Municipal Aid	(16.5)	0.0	0.0	(2.0)	(11.7)	(2.8)
- Reduce AIM to Cities, Towns and Villages	(14.6)	0.0	0.0	0.0	(13.2)	(1.4)
- Reduce Miscellaneous Financial Assistance to Oneida and Madison Counties	(2.0)	0.0	0.0	(2.0)	0.0	0.0
- Modify VLT Aid Outside of Yonkers	0.1	0.0	0.0	0.0	1.5	(1.4)
Public Protection	(8.2)	(2.3)	0.0	(3.4)	0.0	(2.5)
- Reduce DCJS Local Assistance	(6.3)	(2.3)	0.0	(4.0)	0.0	0.0
- Reduce Justice Court Assistance Program by 50%	(2.5)	0.0	0.0	0.0	0.0	(2.5)
- Provide Emergency Communications Funding to Oneida County	0.6	0.0	0.0	0.6	0.0	0.0
All Other Mandate Reforms / Local Impacts	47.3	39.2	(1.9)	11.4	1.7	(3.1)
- Implement Tax Modernization	58.3	39.8	0.7	13.5	2.0	2.3
- Provide PILOT Payment on New State Owned Land	0.4	0.0	0.2	0.1	0.0	0.1
- Reduce Office of Real Property Tax Services Local Assistance	(11.4)	(0.6)	(2.8)	(2.2)	(0.3)	(5.5)
Total 2011-12 Enacted Budget Actions²	(1,400.1)	(504.9)	(863.1)	(13.7)	(10.0)	(8.4)
Continuing Medicaid Cap & FHP Takeover Savings	2,372.8	1,577.8	0.0	795.0	0.0	0.0
Grand Total	972.7	1,072.9	(863.1)	781.3	(10.0)	(8.4)

(1) The 2011-12 School Aid reduction on this table differs from the change reported on the 2011-12 Enacted Budget School Aid runs published by the State Education Department because the change on this table includes Federal Education Jobs Funds made available in the 2010-11 school year.

(2) The above impact does not include a \$40M local government performance and efficiency program.

Impact of 2011-12 Enacted Budget on Local Governments
Local Fiscal Year
(\$ in Millions)

	LFY 2011	LFY 2012	LFY 2013	LFY 2014
NYC	(14.9)	(504.9)	(235.0)	107.8
School Districts¹	(3.7)	(863.1)	(412.0)	149.6
Counties	0.6	(13.7)	(30.7)	(30.7)
Other Cities	(1.0)	(10.0)	(10.9)	(11.4)
Towns & Villages	(4.6)	(8.4)	(9.1)	(10.1)
Total 2011-12 Enacted Budget Actions²	(23.6)	(1,400.1)	(697.7)	205.2
Continuing Medicaid Cap & FHP Takeover Savings	1,844.4	2,372.8	2,915.3	3,516.5
Grand Total	1,820.8	972.7	2,217.6	3,721.7

(1) The 2011-12 School Aid reduction on this table differs from the change reported on the 2011-12 Enacted Budget School Aid runs published by the State Education Department because the change on this table includes Federal Education Jobs Funds made available in the 2010-11 school year.

(2) The above impact does not include a \$40M local government performance and efficiency program.

Impact of 2011-12 Enacted Budget on New York City

City Fiscal Year

(\$ in Millions)

	CFY 2010-11	CFY 2011-12	CFY 2012-13	CFY 2013-14
School Aid/Education	0.0	(461.0)	(159.0)	217.0
- Reduce School Aid ¹	0.0	(461.0)	(159.0)	217.0
Human Services	(16.4)	(66.3)	(71.9)	(86.3)
- Eliminate Open-Ended Funding for Local Secure and Non-Secure Detention	(22.9)	(47.2)	(50.2)	(53.3)
- Eliminate Funding for the Work Advantage Program	(8.8)	(35.0)	(35.0)	(35.0)
- Reduce State Share of Adoption Subsidy Program to 62%	(6.3)	(25.5)	(27.4)	(29.1)
- Shift a Portion of the State Share for CSE to School Districts	(4.5)	(18.7)	(21.4)	(24.5)
- Reduce Adult Homeless Shelter Reimbursement	(3.9)	(15.7)	(15.7)	(15.7)
- Reduce Funding for YDDP/SDPP	(1.0)	(3.9)	(3.9)	(3.9)
- Reduce Community Optional Preventive Services	(0.7)	(2.8)	(2.8)	(2.8)
- Implement Phase II of the DOJ Remedial Plan	0.0	(0.5)	(4.3)	(7.6)
- Delay Public Assistance Grant Increase	0.0	1.2	3.7	0.0
- Close Youth Facilities	0.0	2.0	8.1	8.6
- Provide Supervision and Treatment Services for Juveniles	1.3	4.1	1.3	1.3
- Increase for NYC Homeless Services	3.8	15.0	15.0	15.0
- Maximize Public Assistance Shares	3.8	15.0	15.0	15.0
- Establish New Detention Reimbursement	22.8	45.7	45.7	45.7
Health	(0.4)	(12.6)	(20.0)	(20.0)
- Eliminate Reimbursement for Optional General Public Health Work Services	0.0	(13.5)	(30.0)	(30.0)
- Reform Early Intervention	(0.4)	0.9	10.0	10.0
Mental Hygiene	(0.5)	(1.9)	(2.0)	(2.0)
- Reduce OPWDD Services	(0.2)	(0.7)	(0.7)	(0.7)
- Reduce OMH Local Government Unit Administration	(0.1)	(0.5)	(0.6)	(0.6)
- Reduce State Aid Based Upon Provider Performance	(0.1)	(0.4)	(0.4)	(0.4)
- All Other Mental Hygiene	(0.1)	(0.3)	(0.3)	(0.3)
Municipal Aid	0.0	0.0	0.0	0.0
- Eliminate AIM Funding	0.0	0.0	0.0	0.0
Public Protection	(0.6)	(2.3)	(2.3)	(2.3)
- Reduce DCJS Local Assistance	(0.6)	(2.3)	(2.3)	(2.3)
All Other Mandate Reforms / Local Impacts	3.0	39.2	20.2	1.4
- Implement Tax Modernization	3.0	39.8	20.3	0.0
- Other Local Impacts	0.0	0.0	0.5	2.0
- Reduce Office of Real Property Tax Services Local Assistance	0.0	(0.6)	(0.6)	(0.6)
Total 2011-12 Enacted Budget Actions²	(14.9)	(504.9)	(235.0)	107.8
Continuing Medicaid Cap & FHP Takeover Savings	1,214.7	1,577.8	1,946.2	2,349.5
Grand Total	1,199.8	1,072.9	1,711.2	2,457.3

(1) The 2011-12 School Aid reduction on this table differs from the change reported on the 2011-12 Enacted Budget School Aid runs published by the State Education Department because the change on this table includes Federal Education Jobs Funds made available in the 2010-11 school year.

(2) The above impact does not include a \$40M local government performance and efficiency program.

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
	42,751	51,511	52,518	51,156	51,769
Grants to Local Governments	15,014	24,261	25,955	23,925	23,925
State Operations	27,737	27,250	26,563	27,844	27,844
Personal Service	21,529	18,654	19,067	19,488	19,918
Non-Personal Service/Indirect Cost	6,208	8,596	7,496	7,743	7,926
Developmental Authority North	10	162	162	162	162
Grants to Local Governments	10	162	162	162	162
Consumer Protection Board	1,939	0	0	0	0
State Operations	1,939	0	0	0	0
Personal Service	1,748	0	0	0	0
Non-Personal Service/Indirect Cost	191	0	0	0	0
Economic Development, Department of	20,220	50,072	47,443	44,836	45,214
Grants to Local Governments	2,604	31,019	27,773	24,573	24,573
State Operations	17,616	19,053	19,670	20,263	20,641
Personal Service	11,880	10,464	10,435	10,580	10,692
Non-Personal Service/Indirect Cost	5,736	8,589	9,235	9,683	9,949
Empire State Development Corporation	35,741	70,152	62,585	27,585	27,585
Grants to Local Governments	35,741	70,152	62,585	27,585	27,585
Financial Services, Department of	11,283	0	0	0	0
Grants to Local Governments	11,145	0	0	0	0
State Operations	138	0	0	0	0
Personal Service	138	0	0	0	0
Olympic Regional Development Authority	3,471	4,040	4,040	4,167	4,249
State Operations	3,471	4,040	4,040	4,167	4,249
Personal Service	2,890	2,134	2,134	2,171	2,197
Non-Personal Service/Indirect Cost	581	1,906	1,906	1,996	2,052
Science, Technology and Innovation, Foundation for	25,398	0	0	0	0
Grants to Local Governments	23,186	0	0	0	0
State Operations	2,212	0	0	0	0
Personal Service	1,983	0	0	0	0
Non-Personal Service/Indirect Cost	229	0	0	0	0
Functional Total	140,813	175,937	166,748	127,906	128,979

ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT

Agriculture and Markets, Department of

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost

Developmental Authority North

Grants to Local Governments

Consumer Protection Board

State Operations
Personal Service
Non-Personal Service/Indirect Cost

Economic Development, Department of

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost

Empire State Development Corporation

Grants to Local Governments

Financial Services, Department of

Grants to Local Governments
State Operations
Personal Service

Olympic Regional Development Authority

State Operations
Personal Service
Non-Personal Service/Indirect Cost

Science, Technology and Innovation, Foundation for

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost

Functional Total

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>					
State Operations	4,637	4,291	4,293	4,293	4,293
Personal Service	4,637	4,291	4,293	4,293	4,293
Non-Personal Service/Indirect Cost	4,234	3,908	3,910	3,910	3,910
	403	383	383	383	383
<i>Environmental Conservation, Department of</i>					
Grants to Local Governments	105,995	101,984	101,992	103,867	103,867
State Operations	2,425	6,503	6,503	6,503	6,503
Personal Service	103,570	95,481	95,489	97,364	97,364
Non-Personal Service/Indirect Cost	88,184	79,952	79,960	81,835	81,835
	15,386	15,529	15,529	15,529	15,529
<i>Parks, Recreation and Historic Preservation, Office of</i>					
Grants to Local Governments	131,990	123,868	125,532	125,532	125,532
State Operations	11,025	15,000	15,000	15,000	15,000
Personal Service	120,965	108,868	110,532	110,532	110,532
Non-Personal Service/Indirect Cost	109,167	99,768	100,641	100,641	100,641
	11,798	9,100	9,891	9,891	9,891
Functional Total	242,622	230,143	231,817	233,692	233,692
TRANSPORTATION					
<i>Transportation, Department of</i>					
Grants to Local Governments	98,892	100,442	100,284	100,284	100,284
State Operations	97,038	99,572	99,414	99,414	99,414
Personal Service	1,854	870	870	870	870
Non-Personal Service/Indirect Cost	1,854	870	870	870	870
FUNCTIONAL TOTAL	98,892	100,442	100,284	100,284	100,284
HEALTH					
<i>Aging, Office for the</i>					
Grants to Local Governments	118,710	111,178	113,926	113,969	114,013
State Operations	117,034	109,581	112,276	112,276	112,276
Personal Service	1,676	1,597	1,650	1,693	1,737
Non-Personal Service/Indirect Cost	1,641	1,300	1,333	1,366	1,400
	35	297	317	327	337

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Health, Department of					
Medical Assistance	8,316,084	11,191,165	11,453,263	12,001,558	12,461,629
Grants to Local Governments	6,963,485	9,708,249	9,905,707	10,434,482	10,859,665
State Operations	6,940,238	9,661,894	9,859,352	10,388,127	10,813,310
Personal Service	23,247	46,355	46,355	46,355	46,355
Non-Personal Service/Indirect Cost	500	500	500	500	500
	22,747	45,855	45,855	45,855	45,855
Medicaid Administration	538,370	573,750	596,750	620,650	645,450
Grants to Local Governments	538,370	573,750	596,750	620,650	645,450
Public Health	814,229	909,166	950,806	946,426	956,514
Grants to Local Governments	647,875	741,718	778,687	769,207	773,895
State Operations	166,354	167,448	172,219	177,219	182,619
Personal Service	60,522	67,794	69,694	71,394	73,294
Non-Personal Service/Indirect Cost	105,832	99,654	102,625	105,825	109,325
Medicaid Inspector General, Office of	24,095	25,762	26,502	27,282	28,092
State Operations	24,095	25,762	26,502	27,282	28,092
Personal Service	16,930	16,423	16,863	17,313	17,773
Non-Personal Service/Indirect Cost	7,165	9,339	9,639	9,969	10,319
Functional Total	8,458,889	11,328,105	11,593,691	12,142,809	12,603,734
SOCIAL WELFARE					
Children and Family Services, Office of					
Children and Family Services	1,928,797	1,952,668	2,166,080	2,382,989	2,539,461
Grants to Local Governments	1,859,442	1,841,567	2,044,698	2,251,419	2,401,606
State Operations	1,594,166	1,604,034	1,781,301	1,989,659	2,134,978
Personal Service	265,274	237,533	263,397	261,760	266,628
Non-Personal Service/Indirect Cost	163,689	143,398	161,083	156,246	158,353
	101,585	94,135	102,314	105,514	108,275
Children and Family Services - Other	69,355	111,101	121,382	131,570	137,855
Grants to Local Governments	69,355	111,101	121,382	131,570	137,855
Human Rights, Division of					
State Operations	14,130	9,670	9,851	9,982	9,982
Personal Service	14,130	9,670	9,851	9,982	9,982
Non-Personal Service/Indirect Cost	12,932	7,826	7,923	8,021	8,021
	1,198	1,844	1,928	1,961	1,961

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Labor, Department of	11,516	6,592	74	0	0
Grants to Local Governments	11,114	6,592	74	0	0
State Operations	402	0	0	0	0
Personal Service	309	0	0	0	0
Non-Personal Service/Indirect Cost	93	0	0	0	0
Housing and Community Renewal, Division of	62,719	51,606	52,720	52,687	52,684
Grants to Local Governments	43,306	35,415	35,415	35,415	35,415
State Operations	19,413	16,191	17,305	17,272	17,269
Personal Service	11,572	8,276	9,001	8,962	8,963
Non-Personal Service/Indirect Cost	7,841	7,915	8,304	8,310	8,306
National Commission Services	381	599	601	683	687
Grants to Local Governments	0	350	350	350	350
State Operations	381	249	251	333	337
Personal Service	304	210	212	294	297
Non-Personal Service/Indirect Cost	77	39	39	39	40
Prevention of Domestic Violence, Office for	1,928	1,960	1,981	1,981	1,981
Grants to Local Governments	666	685	685	685	685
State Operations	1,262	1,275	1,296	1,296	1,296
Personal Service	1,098	1,139	1,152	1,152	1,152
Non-Personal Service/Indirect Cost	164	136	144	144	144
Temporary and Disability Assistance, Office of	1,254,805	1,454,960	1,595,652	1,655,723	1,670,211
Welfare Assistance	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
Grants to Local Governments	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
All Other	210,940	206,758	213,186	225,031	226,419
Grants to Local Governments	152,334	154,150	156,966	168,116	168,116
State Operations	58,606	52,608	56,220	56,915	56,303
Personal Service	14,094	10,360	10,509	10,685	10,808
Non-Personal Service/Indirect Cost	44,512	42,248	45,711	46,230	47,495
Welfare Inspector General, Office of	326	322	322	336	340
State Operations	326	322	322	336	340
Personal Service	326	322	322	336	340
Functional Total	3,274,602	3,478,377	3,827,281	4,104,381	4,275,346

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
<i>Mental Health, Office of</i>					
Office of Mental Health	539,404	431,009	434,493	453,056	479,923
Grants to Local Governments	115,992	26,529	1,529	1,529	1,529
State Operations	115,741	25,729	729	729	729
Personal Service	251	800	800	800	800
Non-Personal Service/Indirect Cost	167	0	0	0	0
	84	800	800	800	800
Office of Mental Health - Other	423,412	404,480	432,964	451,527	478,394
Grants to Local Governments	423,412	404,480	432,964	451,527	478,394
People with Developmental Disabilities, Office for	1,557,858	1,417,008	1,509,603	1,673,653	1,766,321
Office for People with Developmental Disabilities	119,052	1,620	1,620	1,620	1,620
Grants to Local Governments	119,052	1,620	1,620	1,620	1,620
Office for People with Developmental Disabilities - Other	1,438,806	1,415,388	1,507,983	1,672,033	1,764,701
Grants to Local Governments	1,438,806	1,415,388	1,507,983	1,672,033	1,764,701
Alcoholism and Substance Abuse Services, Office of	142,096	33,729	33,264	33,264	33,264
Alcoholism and Substance Abuse Services	93,007	1,049	584	584	584
Grants to Local Governments	93,007	1,049	584	584	584
Alcoholism and Substance Abuse Services - Other	49,089	32,680	32,680	32,680	32,680
Grants to Local Governments	49,089	32,680	32,680	32,680	32,680
Quality of Care and Advocacy for Persons with Disabilities, Commission on	4,434	4,485	4,565	4,646	4,721
Grants to Local Governments	229	170	170	170	170
State Operations	4,205	4,315	4,395	4,476	4,551
Personal Service	3,228	3,033	3,076	3,117	3,154
Non-Personal Service/Indirect Cost	977	1,282	1,319	1,359	1,397
Functional Total	2,243,792	1,886,231	1,981,925	2,164,619	2,284,229
PUBLIC PROTECTION					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
State Operations	2,419	2,740	2,792	2,824	2,857
Personal Service	2,082	2,326	2,360	2,376	2,400
Non-Personal Service/Indirect Cost	337	414	432	448	457
Correctional Services, Department of	2,410,290	2,310,964	2,367,056	2,436,884	2,495,822
Grants to Local Governments	190	6,243	6,243	6,243	6,243
State Operations	2,408,100	2,304,721	2,360,813	2,430,641	2,493,579
Personal Service	1,910,202	1,813,430	1,835,991	1,862,666	1,883,729
Non-Personal Service/Indirect Cost	497,898	491,291	524,822	567,975	609,850
General State Charges	2,000	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
<i>Criminal Justice Services, Division of</i>					
Grants to Local Governments	186,151	171,657	173,055	174,937	177,033
State Operations	127,253	123,164	123,435	123,435	123,435
Personal Service	58,898	48,493	49,620	51,502	53,598
Non-Personal Service/Indirect Cost	34,492	30,042	30,746	29,563	29,907
	24,406	18,451	18,874	21,939	23,691
<i>Homeland Security and Emergency Services</i>					
Grants to Local Governments	30,067	24,469	19,538	18,608	14,678
State Operations	17,552	18,572	13,572	12,572	8,572
Personal Service	12,515	5,897	5,966	6,036	6,106
Non-Personal Service/Indirect Cost	6,197	5,897	5,966	6,036	6,106
	6,318	0	0	0	0
<i>Homeland Security</i>					
State Operations	0	0	31,283	31,705	32,133
Personal Service	0	0	31,283	31,705	32,133
Non-Personal Service/Indirect Cost	0	0	27,758	28,074	28,393
			3,625	3,631	3,740
<i>Judicial Commissions</i>					
State Operations	4,944	5,452	5,526	5,606	5,684
Personal Service	4,944	5,452	5,526	5,606	5,684
Non-Personal Service/Indirect Cost	3,723	4,093	4,133	4,176	4,217
	1,221	1,359	1,393	1,430	1,467
<i>Military and Naval Affairs, Division of</i>					
Grants to Local Governments	21,953	22,497	14,314	14,534	14,756
State Operations	725	1,194	1,194	1,194	1,194
Personal Service	21,228	21,301	13,118	13,338	13,560
Non-Personal Service/Indirect Cost	17,690	15,399	9,123	9,248	9,373
General State Charges	3,538	5,902	3,995	4,090	4,187
	0	2	2	2	2
<i>Parole, Division of</i>					
Grants to Local Governments	176,348	0	0	0	0
State Operations	10,196	0	0	0	0
Personal Service	166,152	0	0	0	0
Non-Personal Service/Indirect Cost	133,431	0	0	0	0
	32,721	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Probation and Correctional Alternatives, Division of					
Grants to Local Governments	137	0	0	0	0
State Operations	122	0	0	0	0
Non-Personal Service/Indirect Cost	15	0	0	0	0
	15	0	0	0	0
State Police, Division of					
State Operations	463,968	423,227	415,593	419,995	424,432
Personal Service	463,968	423,227	415,593	419,995	424,432
Non-Personal Service/Indirect Cost	421,015	367,173	351,046	354,046	357,046
	42,953	56,054	64,547	65,949	67,386
Functional Total	3,296,277	2,961,006	3,029,157	3,105,093	3,171,395
HIGHER EDUCATION					
City University of New York					
Grants to Local Governments	1,182,813	1,204,982	1,299,231	1,389,174	1,477,407
	1,182,813	1,204,982	1,299,231	1,389,174	1,477,407
Higher Education Services Corporation					
Grants to Local Governments	789,025	889,861	970,999	969,629	969,314
State Operations	791,507	889,861	966,962	965,592	965,277
Non-Personal Service/Indirect Cost	(2,482)	0	4,037	4,037	4,037
	(2,482)	0	4,037	4,037	4,037
State University of New York					
Grants to Local Governments	1,710,963	1,590,805	1,604,236	1,627,568	1,650,574
State Operations	472,818	482,793	448,670	448,670	448,670
Personal Service	1,029,227	909,957	957,511	980,843	1,003,849
Non-Personal Service/Indirect Cost	760,404	655,133	679,488	689,719	699,209
General State Charges	268,823	254,824	278,023	291,124	304,640
	208,918	198,055	198,055	198,055	198,055
Functional Total	3,682,801	3,685,648	3,874,466	3,986,371	4,097,295
EDUCATION					
Arts, Council on the					
Grants to Local Governments	45,173	35,935	35,972	36,032	36,099
State Operations	40,479	31,635	31,635	31,635	31,635
Personal Service	4,694	4,300	4,337	4,397	4,464
Non-Personal Service/Indirect Cost	3,098	2,442	2,466	2,491	2,516
	1,596	1,858	1,871	1,906	1,948

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)

	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
	Actuals*	Projected	Projected	Projected	Projected
Education, Department of	20,206,427	18,572,312	19,140,159	20,061,279	20,976,720
School Aid	18,675,932	16,801,933	17,196,776	18,029,528	18,876,112
Grants to Local Governments	18,675,932	16,801,933	17,196,776	18,029,528	18,876,112
School Aid - Other	29,373	0	0	0	0
Grants to Local Governments	29,373	0	0	0	0
Special Education Categorical Programs	924,218	1,196,571	1,373,136	1,455,616	1,529,216
Grants to Local Governments	924,218	1,196,571	1,373,136	1,455,616	1,529,216
All Other	576,904	573,808	570,247	576,135	571,392
Grants to Local Governments	534,933	535,371	531,121	536,572	531,388
State Operations	40,446	36,527	37,216	37,653	38,094
Personal Service	24,420	21,159	21,421	21,858	22,299
Non-Personal Service/Indirect Cost	16,026	15,368	15,795	15,795	15,795
General State Charges	1,525	1,910	1,910	1,910	1,910
Functional Total	20,251,600	18,608,247	19,176,131	20,097,311	21,012,819
GENERAL GOVERNMENT					
Budget Division of the	22,928	21,953	22,375	22,755	23,842
State Operations	22,928	21,953	22,375	22,755	23,842
Personal Service	20,633	21,000	21,645	22,309	23,228
Non-Personal Service/Indirect Cost	2,295	953	730	446	614
Civil Service, Department of	16,392	13,921	14,124	14,352	14,478
State Operations	16,392	13,921	14,124	14,352	14,478
Personal Service	15,599	13,375	13,563	13,776	13,886
Non-Personal Service/Indirect Cost	793	546	561	576	592
Deferred Compensation	113	52	53	54	55
State Operations	113	52	53	54	55
Personal Service	30	29	29	29	29
Non-Personal Service/Indirect Cost	83	23	24	25	26
Elections, State Board of	6,096	7,024	35,301	5,246	5,468
Grants to Local Governments	582	2,000	30,000	0	0
State Operations	5,514	5,024	5,301	5,246	5,468
Personal Service	4,205	3,653	3,635	3,698	3,873
Non-Personal Service/Indirect Cost	1,309	1,371	1,466	1,548	1,595

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Employee Relations, Office of					
State Operations	3,000	2,835	2,867	2,905	2,939
Personal Service	3,000	2,835	2,867	2,905	2,939
Non-Personal Service/Indirect Cost	2,909	2,715	2,744	2,779	2,810
	91	120	123	126	129
General Services, Office of					
Grants to Local Governments	119,460	107,813	110,769	113,599	116,179
State Operations	28	324	324	324	324
Personal Service	119,432	107,489	110,445	113,275	115,855
Non-Personal Service/Indirect Cost	52,715	45,213	46,093	46,680	47,220
	66,717	62,276	64,352	66,595	68,635
Inspector General, Office of					
State Operations	5,633	5,343	5,428	5,515	5,584
Personal Service	5,633	5,343	5,428	5,515	5,584
Non-Personal Service/Indirect Cost	5,519	4,935	4,996	5,057	5,111
	114	408	432	458	473
Labor Management Committee					
State Operations	32,243	49,956	64,574	25,421	25,421
Personal Service	32,243	49,956	64,574	25,421	25,421
Non-Personal Service/Indirect Cost	9,872	7,776	7,864	7,864	7,864
	22,371	42,180	56,710	17,557	17,557
Public Employment Relations Board					
State Operations	3,660	3,270	3,506	3,747	3,961
Personal Service	3,660	3,270	3,506	3,747	3,961
Non-Personal Service/Indirect Cost	3,211	3,003	2,960	3,187	3,381
	449	267	546	560	580
Public Integrity, Commission on					
State Operations	3,794	3,649	3,829	3,906	3,972
Personal Service	3,794	3,649	3,829	3,906	3,972
Non-Personal Service/Indirect Cost	3,017	2,775	2,919	2,961	3,000
	777	874	910	945	972

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Regulatory Reform, Governor's Office of					
State Operations	1,653	0	0	0	0
Personal Service	1,653	0	0	0	0
Non-Personal Service/Indirect Cost	116	0	0	0	0
State, Department of					
Grants to Local Governments	34,544	36,937	32,511	32,803	33,087
State Operations	19,682	20,637	16,200	16,200	16,200
Personal Service	14,862	16,300	16,311	16,603	16,887
Non-Personal Service/Indirect Cost	10,547	10,841	11,029	11,175	11,317
	4,315	5,459	5,282	5,428	5,570
Tax Appeals, Division of					
State Operations	3,134	2,514	2,514	2,552	2,585
Personal Service	3,134	2,514	2,514	2,552	2,585
Non-Personal Service/Indirect Cost	2,776	2,147	2,147	2,174	2,198
	358	367	367	378	387
Taxation and Finance, Department of					
Grants to Local Governments	366,317	311,607	311,797	316,262	320,692
State Operations	5,270	926	1,076	1,076	1,076
Personal Service	361,047	310,681	310,721	315,186	319,616
Non-Personal Service/Indirect Cost	296,271	254,912	254,952	257,555	260,338
	64,776	55,769	55,769	57,631	59,278
Technology, Office for					
Grants to Local Governments	22,902	24,457	19,358	19,821	20,464
State Operations	884	1,245	0	0	0
Personal Service	22,018	23,212	19,358	19,821	20,464
Non-Personal Service/Indirect Cost	11,208	10,332	10,452	10,550	10,669
	10,810	12,880	8,906	9,271	9,795
Veterans' Affairs, Division of					
Grants to Local Governments	14,104	15,124	15,239	15,375	15,455
State Operations	8,044	9,313	9,373	9,438	9,508
Personal Service	6,060	5,811	5,866	5,937	5,947
Non-Personal Service/Indirect Cost	5,570	4,811	4,856	4,917	4,917
	490	1,000	1,010	1,020	1,030
Functional Total	655,973	606,455	644,245	644,245	644,245
ELECTED OFFICIALS					
Legislature					
State Operations	221,740	217,845	226,735	231,313	234,465
Personal Service	221,740	217,845	226,735	231,313	234,465
Non-Personal Service/Indirect Cost	174,096	165,284	171,715	175,149	176,901
	47,644	52,561	55,020	56,164	57,564

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Judiciary	2,339,911	2,315,445	2,566,676	2,753,805	2,994,310
Grants to Local Governments	4,884	2,445	52,500	52,500	52,500
State Operations	1,792,790	1,730,500	1,905,442	2,011,623	2,182,160
Personal Service	1,467,042	1,407,855	1,535,325	1,590,995	1,709,235
Non-Personal Service/Indirect Cost	325,748	322,645	370,117	420,628	472,925
General State Charges	542,237	582,500	628,734	689,682	759,650
Audit and Control, Department of	167,190	155,701	163,614	165,968	168,109
Grants to Local Governments	31,598	32,024	32,024	32,024	32,024
State Operations	135,592	123,677	131,590	133,944	136,085
Personal Service	107,384	99,224	106,283	107,649	108,892
Non-Personal Service/Indirect Cost	28,208	24,453	25,307	26,295	27,193
Law, Department of	110,613	98,374	105,058	106,967	108,149
State Operations	110,613	98,374	105,058	106,967	108,149
Personal Service	96,314	83,937	89,318	90,372	91,196
Non-Personal Service/Indirect Cost	14,299	14,437	15,740	16,595	16,953
Executive Chamber	12,880	13,926	14,203	14,461	15,185
State Operations	12,880	13,926	14,203	14,461	15,185
Personal Service	10,963	11,160	11,337	11,495	12,025
Non-Personal Service/Indirect Cost	1,917	2,766	2,866	2,966	3,160
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
State Operations	(2,433)	464	479	479	524
Personal Service	281	393	420	448	486
Non-Personal Service/Indirect Cost	(2,714)	71	59	31	38
Functional Total	2,849,901	2,801,755	3,096,765	3,272,993	3,520,742
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Grants to Local Governments	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Grants to Local Governments	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Grants to Local Governments	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Grants to Local Governments	25,800	25,867	25,867	25,867	25,867

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excluding transfers)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Small Government Assistance					
Grants to Local Governments	2,066	218	218	218	218
	2,066	218	218	218	218
Functional Total	775,330	766,751	796,835	787,016	787,105
ALL OTHER CATEGORIES					
General State Charges	3,432,021	3,871,554	4,203,324	4,605,008	4,695,507
State Operations	5,561	0	0	0	0
Personal Service	3,835	0	0	0	0
Non-Personal Service/Indirect Cost	1,726	0	0	0	0
General State Charges	3,426,460	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous	(37,343)	412,291	469,914	98,943	98,943
Grants to Local Governments	(44,723)	385,109	145,061	94,210	94,210
State Operations	1,549	12,764	230,435	315	315
Personal Service	25	12,579	130	130	130
Non-Personal Service/Indirect Cost	1,524	185	230,305	185	185
General State Charges	5,831	14,418	94,418	4,418	4,418
Functional Total	3,394,678	4,283,845	4,673,238	4,703,951	4,794,450
TOTAL GENERAL FUND SPENDING	49,366,170	50,912,942	53,192,583	55,410,739	57,604,252

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	42,751	51,511	52,518	51,156	51,769
Developmental Authority North	10	162	162	162	162
Consumer Protection Board	1,939	0	0	0	0
Economic Development, Department of	20,220	50,072	47,443	44,836	45,214
Empire State Development Corporation	35,741	70,152	62,585	27,585	27,585
Financial Services, Department of	11,283	0	0	0	0
Olympic Regional Development Authority	3,471	4,040	4,040	4,167	4,249
Science, Technology and Innovation, Foundation for	25,398	0	0	0	0
Functional Total	140,813	175,937	166,748	127,906	128,979
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,637	4,291	4,293	4,293	4,293
Environmental Conservation, Department of	105,995	101,984	101,992	103,867	103,867
Parks, Recreation and Historic Preservation, Office of	131,990	123,868	125,532	125,532	125,532
Functional Total	242,622	230,143	231,817	233,692	233,692
TRANSPORTATION					
Transportation, Department of	98,892	100,442	100,284	100,284	100,284
Functional Total	98,892	100,442	100,284	100,284	100,284
HEALTH					
Aging, Office for the	118,710	111,178	113,926	113,969	114,013
Health, Department of	8,316,084	11,191,165	11,453,263	12,001,558	12,461,629
Medical Assistance	6,963,485	9,708,249	9,905,707	10,434,482	10,859,685
Medicaid Administration	538,370	573,750	596,750	620,650	645,450
Public Health	814,229	909,166	950,806	946,426	956,514
Medicaid Inspector General, Office of	24,095	25,762	26,502	27,282	28,082
Functional Total	8,458,889	11,328,105	11,593,691	12,142,809	12,603,734
SOCIAL WELFARE					
Children and Family Services, Office of	1,928,797	1,952,668	2,166,080	2,382,989	2,539,461
OCFS	1,859,442	1,841,567	2,044,698	2,251,419	2,401,606
OCFS - Other	69,355	111,101	121,382	131,570	137,855
Human Rights, Division of	14,130	9,670	9,851	9,982	9,982
Labor, Department of	11,516	6,592	74	0	0
Housing and Community Renewal, Division of	62,719	51,606	52,720	52,687	52,684
National Commission Services	381	599	601	683	687
Prevention of Domestic Violence, Office for	1,928	1,960	1,981	1,981	1,981
Temporary and Disability Assistance, Office of	1,254,805	1,454,960	1,595,652	1,655,723	1,670,211
Welfare Assistance	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
All Other	210,940	206,758	213,186	225,031	226,419
Welfare Inspector General, Office of	326	322	322	336	340
Functional Total	3,274,602	3,478,377	3,827,281	4,104,381	4,275,346

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	539,404	431,009	434,493	453,056	479,923
<i>OMH</i>	115,992	26,529	1,529	1,529	1,529
<i>OMH - Other</i>	423,412	404,480	432,964	451,527	478,394
People with Developmental Disabilities, Office for	1,557,858	1,417,008	1,509,603	1,673,653	1,766,321
<i>OPWDD</i>	119,052	1,620	1,620	1,620	1,620
<i>OPWDD - Other</i>	1,438,806	1,415,388	1,507,983	1,672,033	1,764,701
Alcoholism and Substance Abuse Services, Office of	142,096	33,729	33,264	33,264	33,264
<i>OASAS</i>	93,007	1,049	584	584	584
<i>OASAS - Other</i>	49,089	32,680	32,680	32,680	32,680
Quality of Care and Advocacy for Persons with Disabilities, Commission on	4,434	4,485	4,565	4,646	4,721
Functional Total	2,243,792	1,886,231	1,981,925	2,164,619	2,284,229
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,410,290	2,310,964	2,367,056	2,436,884	2,499,822
Criminal Justice Services, Division of	186,151	171,657	173,055	174,937	177,033
Homeland Security and Emergency Services	30,067	24,469	19,538	18,608	14,678
Homeland Security	0	0	31,283	31,705	32,133
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
Military and Naval Affairs, Division of	21,953	22,497	14,314	14,534	14,756
Parole, Division of	176,348	0	0	0	0
Probation and Correctional Alternatives, Division of	137	0	0	0	0
State Police, Division of	463,968	423,227	415,593	419,995	424,432
Functional Total	3,296,277	2,961,006	3,029,157	3,105,093	3,171,395
HIGHER EDUCATION					
City University of New York	1,182,813	1,204,982	1,299,231	1,389,174	1,477,407
Higher Education Services Corporation	789,025	889,861	970,999	969,629	969,314
State University of New York	1,710,963	1,590,805	1,604,236	1,627,568	1,650,574
Functional Total	3,682,801	3,685,648	3,874,466	3,986,371	4,097,295
EDUCATION					
Arts, Council on the	45,173	35,935	35,972	36,032	36,099
Education, Department of	20,206,427	18,572,312	19,140,159	20,061,279	20,976,720
<i>School Aid</i>	18,675,932	16,801,933	17,196,776	18,029,528	18,876,112
<i>School Aid - Other</i>	29,373	0	0	0	0
Special Education Categorical Programs	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	576,904	573,808	570,247	576,135	571,392
Functional Total	20,251,600	18,608,247	19,176,131	20,097,311	21,012,819

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND (excludes transfers)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	22,928	21,953	22,375	22,755	23,842
Civil Service, Department of	16,392	13,921	14,124	14,352	14,478
Deferred Compensation	113	52	53	54	55
Elections, State Board of	6,096	7,024	35,301	5,246	5,468
Employee Relations, Office of	3,000	2,835	2,867	2,905	2,939
General Services, Office of	119,460	107,813	110,769	113,599	116,179
Inspector General, Office of	5,633	5,343	5,428	5,515	5,584
Labor Management Committee	32,243	49,956	64,574	25,421	25,421
Public Employment Relations Board	3,660	3,270	3,506	3,747	3,961
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
Regulatory Reform, Governor's Office of	1,653	0	0	0	0
State, Department of	34,544	36,937	32,511	32,803	33,087
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
Taxation and Finance, Department of	366,317	311,607	311,797	316,262	320,692
Technology, Office for	22,902	24,457	19,358	19,821	20,464
Veterans' Affairs, Division of	14,104	15,124	15,239	15,375	15,455
Functional Total	655,973	606,455	644,245	584,313	594,182
ELECTED OFFICIALS					
Legislature	221,740	217,845	226,735	231,313	234,465
Judiciary	2,339,911	2,315,445	2,586,676	2,753,805	2,994,310
Audit and Control, Department of	167,190	155,701	163,614	165,968	168,109
Law, Department of	110,613	98,374	105,058	106,967	108,149
Executive Chamber	12,880	13,926	14,203	14,461	15,185
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
Functional Total	2,849,901	2,801,755	3,096,765	3,272,993	3,520,742
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Functional Total	775,330	766,751	796,835	787,016	787,105
ALL OTHER CATEGORIES					
General State Charges	3,432,021	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous	(37,343)	412,291	469,914	98,943	98,943
Functional Total	3,394,678	4,283,845	4,673,238	4,703,951	4,794,450
TOTAL GENERAL FUND SPENDING	49,366,170	50,912,942	53,192,583	55,410,739	57,604,252

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	15,014	24,261	25,955	23,925	23,925
Developmental Authority North	10	162	162	162	162
Economic Development, Department of	2,604	31,019	27,773	24,573	24,573
Empire State Development Corporation	35,741	70,152	62,585	27,585	27,585
Financial Services, Department of	11,145	0	0	0	0
Science, Technology and Innovation, Foundation for	23,186	0	0	0	0
Functional Total	87,700	125,594	116,475	76,245	76,245
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,425	6,503	6,503	6,503	6,503
Parks, Recreation and Historic Preservation, Office of	11,025	15,000	15,000	15,000	15,000
Functional Total	13,450	21,503	21,503	21,503	21,503
TRANSPORTATION					
Transportation, Department of	97,038	99,572	99,414	99,414	99,414
Functional Total	97,038	99,572	99,414	99,414	99,414
HEALTH					
Aging, Office for the	117,034	109,581	112,276	112,276	112,276
Health, Department of	8,126,483	10,977,362	11,234,689	11,777,984	12,232,685
Medical Assistance	6,940,238	9,661,894	9,859,352	10,388,127	10,813,310
Medicaid Administration	538,370	573,750	596,750	620,650	645,450
Public Health	647,875	741,718	778,587	769,207	773,895
Functional Total	8,243,517	11,086,943	11,346,965	11,890,260	12,344,931
SOCIAL WELFARE					
Children and Family Services, Office of	1,663,523	1,715,135	1,902,683	2,121,229	2,272,833
OCFS	1,594,168	1,604,034	1,781,301	1,989,659	2,134,978
OCFS - Other	69,355	111,101	121,382	131,570	137,855
Labor, Department of	11,114	6,592	74	0	0
Housing and Community Renewal, Division of	43,306	35,415	35,415	35,415	35,415
National Commission Services	0	350	350	350	350
Prevention of Domestic Violence, Office for	1,196,199	1,402,352	1,539,432	1,598,808	1,611,908
Temporary and Disability Assistance, Office of	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
Welfare Assistance	152,334	154,150	156,966	168,116	168,116
All Other	2,914,808	3,160,529	3,478,639	3,756,487	3,921,191
Functional Total	11,594,168	12,887,308	14,281,353	15,414,931	16,164,431
MENTAL HYGIENE					
Mental Health, Office of	539,153	430,209	433,693	452,256	479,123
OMH	115,741	25,729	729	729	729
OMH - Other	423,412	404,480	432,964	451,527	478,394
People with Developmental Disabilities, Office for	1,557,858	1,417,008	1,509,603	1,673,653	1,766,321
OPWDD	119,052	1,620	1,620	1,620	1,620
OPWDD - Other	1,438,806	1,415,388	1,507,983	1,672,033	1,764,701
Alcoholism and Substance Abuse Services, Office of	142,096	33,729	33,264	33,264	33,264
OASAS	93,007	1,049	584	584	584
OASAS - Other	49,089	32,680	32,680	32,680	32,680
Quality of Care and Advocacy for Persons with Disabilities, Commission on	229	170	170	170	170
Functional Total	2,239,336	1,881,116	1,976,730	2,159,343	2,278,878

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	190	6,243	6,243	6,243	6,243
Criminal Justice Services, Division of	127,253	123,164	123,435	123,435	123,435
Homeland Security and Emergency Services	17,552	13,572	13,572	12,572	8,572
Military and Naval Affairs, Division of	725	1,194	1,194	1,194	1,194
Parole, Division of	10,196	0	0	0	0
Probation and Correctional Alternatives, Division of	122	0	0	0	0
Functional Total	156,038	149,173	144,444	143,444	139,444
HIGHER EDUCATION					
City University of New York	1,182,813	1,204,982	1,299,231	1,389,174	1,477,407
Higher Education Services Corporation	791,507	889,861	966,962	965,592	965,277
State University of New York	472,818	482,793	448,670	448,670	448,670
Functional Total	2,447,138	2,577,636	2,714,863	2,803,436	2,891,354
EDUCATION					
Arts, Council on the	40,479	31,635	31,635	31,635	31,635
Education, Department of	20,164,456	18,533,875	19,101,033	20,021,716	20,936,716
<i>School Aid</i>	18,675,932	16,801,933	17,196,776	18,029,528	18,876,112
<i>School Aid - Other</i>	29,373	0	0	0	0
<i>Special Education Categorical Programs</i>	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	534,933	535,371	531,121	536,572	531,388
Functional Total	20,204,935	18,565,510	19,132,668	20,053,351	20,968,351
GENERAL GOVERNMENT					
Elections, State Board of	582	2,000	30,000	0	0
General Services, Office of	28	324	324	324	324
State, Department of	19,682	20,637	16,200	16,200	16,200
Taxation and Finance, Department of	5,270	926	1,076	1,076	1,076
Technology, Office for	884	1,245	0	0	0
Veterans' Affairs, Division of	8,044	9,313	9,373	9,438	9,508
Functional Total	34,490	34,445	56,973	27,038	27,108
ELECTED OFFICIALS					
Judiciary	4,884	2,445	52,500	52,500	52,500
Audit and Control, Department of	31,598	32,024	32,024	32,024	32,024
Functional Total	36,482	34,469	84,524	84,524	84,524

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Functional Total	<u>775,330</u>	<u>766,751</u>	<u>796,835</u>	<u>787,016</u>	<u>787,105</u>
ALL OTHER CATEGORIES					
Miscellaneous	(44,723)	385,109	145,061	94,210	94,210
Functional Total	<u>(44,723)</u>	<u>385,109</u>	<u>145,061</u>	<u>94,210</u>	<u>94,210</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>37,205,539</u>	<u>38,888,350</u>	<u>40,115,094</u>	<u>41,996,271</u>	<u>43,734,258</u>

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	27,737	27,250	26,563	27,231	27,844
Consumer Protection Board	1,939	0	0	0	0
Economic Development, Department of	17,616	19,053	19,670	20,263	20,641
Financial Services, Department of	138	0	0	0	0
Olympic Regional Development Authority	3,471	4,040	4,040	4,167	4,249
Science, Technology and Innovation, Foundation for	2,212	0	0	0	0
Functional Total	53,113	50,343	50,273	51,661	52,734
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,637	4,291	4,293	4,293	4,293
Environmental Conservation, Department of	103,570	95,481	95,489	97,364	97,364
Parks, Recreation and Historic Preservation, Office of	120,965	108,868	110,532	110,532	110,532
Functional Total	229,172	208,640	210,314	212,189	212,189
TRANSPORTATION					
Transportation, Department of	1,854	870	870	870	870
Functional Total	1,854	870	870	870	870
HEALTH					
Aging, Office for the	1,676	1,597	1,650	1,693	1,737
Health, Department of	189,601	213,803	218,574	223,574	228,974
Medical Assistance	23,247	46,355	46,355	46,355	46,355
Public Health	166,354	167,448	172,219	177,219	182,619
Medicaid Inspector General, Office of	24,095	25,762	26,502	27,282	28,092
Functional Total	215,372	241,162	246,726	252,549	258,803
SOCIAL WELFARE					
Children and Family Services, Office of	265,274	237,533	263,397	261,760	266,628
OCFS	265,274	237,533	263,397	261,760	266,628
Human Rights, Division of	14,130	9,670	9,851	9,982	9,982
Labor, Department of	402	0	0	0	0
Housing and Community Renewal, Division of	19,413	16,191	17,305	17,272	17,269
National Commission Services	381	249	251	333	337
Prevention of Domestic Violence, Office for	1,262	1,275	1,296	1,296	1,296
Temporary and Disability Assistance, Office of	58,606	52,608	56,220	56,915	58,303
All Other	58,606	52,608	56,220	56,915	58,303
Wellfare Inspector General, Office of	326	322	322	336	340
Functional Total	359,794	317,848	348,642	347,894	354,155
MENTAL HYGIENE					
Mental Health, Office of	251	800	800	800	800
OMH	251	800	800	800	800
Quality of Care and Advocacy for Persons with Disabilities, Commission on	4,205	4,315	4,395	4,476	4,551
Functional Total	4,456	5,115	5,195	5,276	5,351
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,408,100	2,304,721	2,360,813	2,430,641	2,493,579
Criminal Justice Services, Division of	58,898	48,493	49,620	51,502	53,598
Homeland Security and Emergency Services	12,515	5,897	5,966	6,036	6,106
Homeland Security	0	0	31,283	31,705	32,133

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
Military and Naval Affairs, Division of	21,228	21,301	13,118	13,338	13,560
Parole, Division of	166,152	0	0	0	0
Probation and Correctional Alternatives, Division of	15	0	0	0	0
State Police, Division of	463,968	423,227	415,593	419,995	424,432
Functional Total	3,138,239	2,811,831	2,884,711	2,961,647	3,031,949
HIGHER EDUCATION					
Higher Education Services Corporation	(2,482)	0	4,037	4,037	4,037
State University of New York	1,029,227	909,957	957,511	980,843	1,003,849
Functional Total	1,026,745	909,957	961,548	984,880	1,007,886
EDUCATION					
Arts, Council on the	4,694	4,300	4,337	4,397	4,464
Education, Department of	40,446	36,527	37,216	37,653	38,094
<i>All Other</i>	40,446	36,527	37,216	37,653	38,094
Functional Total	45,140	40,827	41,553	42,050	42,558
GENERAL GOVERNMENT					
Budget, Division of the	22,928	21,953	22,375	22,755	23,842
Civil Service, Department of	16,392	13,921	14,124	14,352	14,478
Deferred Compensation	113	52	53	54	55
Elections, State Board of	5,514	5,024	5,301	5,246	5,468
Employee Relations, Office of	3,000	2,835	2,867	2,905	2,939
General Services, Office of	119,432	107,489	110,445	113,275	115,855
Inspector General, Office of	5,633	5,343	5,428	5,515	5,584
Labor Management Committee	32,243	49,966	64,574	25,421	25,421
Public Employment Relations Board	3,660	3,270	3,506	3,747	3,961
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
Regulatory Reform, Governor's Office of	1,653	0	0	0	0
State, Department of	14,862	16,300	16,311	16,603	16,887
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
Taxation and Finance, Department of	361,047	310,681	310,721	315,186	319,616
Technology, Office for	22,018	23,212	19,368	19,821	20,464
Veterans' Affairs, Division of	6,060	5,811	5,866	5,937	5,947
Functional Total	621,483	572,010	587,272	557,276	567,074

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
STATE OPERATIONS SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ELECTED OFFICIALS					
Legislature	221,740	217,845	226,735	231,313	234,465
Judiciary	1,792,790	1,730,500	1,905,442	2,011,623	2,182,160
Audit and Control, Department of	135,592	123,677	131,590	133,944	136,085
Law, Department of	110,613	98,374	105,058	106,967	108,149
Executive Chamber	12,880	13,926	14,203	14,461	15,185
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
Functional Total	<u>2,271,182</u>	<u>2,184,786</u>	<u>2,383,507</u>	<u>2,498,787</u>	<u>2,676,568</u>
ALL OTHER CATEGORIES					
General State Charges	5,561	0	0	0	0
Miscellaneous	1,549	12,764	230,435	315	315
Functional Total	<u>7,110</u>	<u>12,764</u>	<u>230,435</u>	<u>315</u>	<u>315</u>
TOTAL STATE OPERATIONS SPENDING	<u>7,973,660</u>	<u>7,356,153</u>	<u>7,951,046</u>	<u>7,915,393</u>	<u>8,210,452</u>

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	21,529	18,654	19,067	19,488	19,918
Consumer Protection Board	1,748	0	0	0	0
Economic Development, Department of	11,880	10,464	10,435	10,580	10,682
Financial Services, Department of	138	0	0	0	0
Olympic Regional Development Authority	2,890	2,134	2,134	2,171	2,197
Science, Technology and Innovation, Foundation for	1,983	0	0	0	0
Functional Total	40,168	31,252	31,636	32,239	32,807
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,234	3,908	3,910	3,910	3,910
Environmental Conservation, Department of	88,184	79,952	79,960	81,835	81,835
Parks, Recreation and Historic Preservation, Office of	109,167	99,768	100,641	100,641	100,641
Functional Total	201,585	183,628	184,511	186,386	186,386
HEALTH					
Aging, Office for the	1,641	1,300	1,333	1,366	1,400
Health, Department of	61,022	68,294	70,094	71,894	73,794
<i>Medical Assistance</i>	500	500	500	500	500
<i>Public Health</i>	60,522	67,794	69,594	71,394	73,294
Medicaid Inspector General, Office of	16,830	16,423	16,863	17,313	17,773
Functional Total	79,593	86,017	88,290	90,573	92,967
SOCIAL WELFARE					
Children and Family Services, Office of	163,689	143,398	161,083	156,246	158,353
OCFS	163,689	143,398	161,083	156,246	158,353
Human Rights, Division of	12,832	7,826	7,923	8,021	8,021
Labor, Department of	309	0	0	0	0
Housing and Community Renewal, Division of	11,572	8,276	9,001	8,962	8,963
National Commission Services	304	210	212	294	297
Prevention of Domestic Violence, Office for	1,098	1,139	1,152	1,152	1,152
Temporary and Disability Assistance, Office of	14,094	10,360	10,509	10,685	10,808
<i>All Other</i>	14,094	10,360	10,509	10,685	10,808
Welfare Inspector General, Office of	326	322	322	336	340
Functional Total	204,324	171,531	190,202	185,696	187,934
MENTAL HYGIENE					
Mental Health, Office of	167	0	0	0	0
<i>OMH</i>	167	0	0	0	0
Quality of Care and Advocacy for Persons with Disabilities, Commission on	3,228	3,033	3,076	3,117	3,154
Functional Total	3,395	3,033	3,076	3,117	3,154

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,082	2,326	2,360	2,376	2,400
Correctional Services, Department of	1,910,202	1,813,430	1,835,991	1,862,666	1,883,729
Criminal Justice Services, Division of	34,492	30,042	30,746	29,563	29,907
Homeland Security and Emergency Services	6,197	5,897	5,966	6,036	6,106
Homeland Security	0	0	27,758	28,074	28,393
Judicial Commissions	3,723	4,093	4,133	4,176	4,217
Military and Naval Affairs, Division of	17,690	15,399	9,123	9,248	9,373
Parole, Division of	133,431	0	0	0	0
State Police, Division of	421,015	367,173	351,046	354,046	357,046
Functional Total	2,528,832	2,238,360	2,267,123	2,296,185	2,321,171
HIGHER EDUCATION					
State University of New York	760,404	655,133	679,488	689,719	689,209
Functional Total	760,404	655,133	679,488	689,719	689,209
EDUCATION					
Arts, Council on the	3,098	2,442	2,466	2,491	2,516
Education, Department of	24,420	21,159	21,421	21,858	22,299
All Other	24,420	21,159	21,421	21,858	22,299
Functional Total	27,518	23,601	23,887	24,349	24,815
GENERAL GOVERNMENT					
Budget, Division of the	20,633	21,000	21,645	22,309	23,228
Civil Service, Department of	15,599	13,375	13,563	13,776	13,886
Deferred Compensation	30	29	29	29	29
Elections, State Board of	4,205	3,653	3,835	3,698	3,873
Employee Relations, Office of	2,909	2,715	2,744	2,779	2,810
General Services, Office of	52,715	45,213	46,093	46,680	47,220
Inspector General, Office of	5,519	4,935	4,996	5,057	5,111
Labor Management Committee	9,872	7,776	7,864	7,864	7,864
Public Employment Relations Board	3,211	3,003	2,960	3,187	3,381
Public Integrity, Commission on	3,017	2,775	2,919	2,961	3,000
Regulatory Reform, Governor's Office of	1,537	0	0	0	0
State, Department of	10,547	10,841	11,029	11,175	11,317
Tax Appeals, Division of	2,776	2,147	2,147	2,174	2,198
Taxation and Finance, Department of	296,271	254,912	254,952	257,555	260,338
Technology, Office for	11,208	10,332	10,452	10,550	10,669
Veterans' Affairs, Division of	5,570	4,811	4,856	4,917	4,917
Functional Total	445,619	387,517	390,084	394,711	399,841

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ELECTED OFFICIALS					
Legislature	174,096	165,284	171,715	175,149	176,901
Judiciary	1,467,042	1,407,855	1,535,325	1,590,995	1,709,235
Audit and Control, Department of	107,384	99,224	106,283	107,649	108,892
Law, Department of	96,314	83,937	89,318	90,372	91,196
Executive Chamber	10,963	11,160	11,337	11,495	12,025
Lieutenant Governor, Office of the	281	393	420	448	486
Functional Total	1,856,080	1,767,853	1,914,398	1,976,108	2,098,735
ALL OTHER CATEGORIES					
General State Charges	3,835	0	0	0	0
Miscellaneous	25	12,579	130	130	130
Functional Total	3,860	12,579	130	130	130
TOTAL PERSONAL SERVICE SPENDING	6,151,378	5,560,504	5,772,825	5,879,213	6,047,149

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	6,208	8,596	7,496	7,743	7,926
Consumer Protection Board	191	0	0	0	0
Economic Development, Department of	5,736	8,589	9,235	9,683	9,949
Olympic Regional Development Authority	581	1,906	1,906	1,996	2,052
Science, Technology and Innovation, Foundation for	229	0	0	0	0
Functional Total	12,945	19,091	18,637	19,422	19,927
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	403	383	383	383	383
Environmental Conservation, Department of	15,386	15,529	15,529	15,529	15,529
Parks, Recreation and Historic Preservation, Office of	11,798	9,100	9,891	9,891	9,891
Functional Total	27,587	25,012	25,803	25,803	25,803
TRANSPORTATION					
Transportation, Department of	1,854	870	870	870	870
Functional Total	1,854	870	870	870	870
HEALTH					
Aging, Office for the	35	297	317	327	337
Health, Department of	128,579	145,509	148,480	151,680	155,180
Medical Assistance	22,747	45,855	45,855	45,855	45,855
Public Health	105,832	99,654	102,625	105,825	109,325
Medicaid Inspector General, Office of	7,165	9,339	9,639	9,969	10,319
Functional Total	135,779	155,145	158,436	161,976	165,836
SOCIAL WELFARE					
Children and Family Services, Office of	101,585	94,135	102,314	105,514	108,275
OCFS	101,585	94,135	102,314	105,514	108,275
Human Rights, Division of	1,198	1,844	1,928	1,961	1,961
Labor, Department of	93	0	0	0	0
Housing and Community Renewal, Division of	7,841	7,915	8,304	8,310	8,306
National Commission Services	77	39	39	39	40
Prevention of Domestic Violence, Office for	164	136	144	144	144
Temporary and Disability Assistance, Office of	44,512	42,248	45,711	46,230	47,495
All Other	44,512	42,248	45,711	46,230	47,495
Functional Total	155,470	146,317	158,440	162,198	166,221
MENTAL HYGIENE					
Mental Health, Office of	84	800	800	800	800
OMH	84	800	800	800	800
Quality of Care and Advocacy for Persons with Disabilities, Commission on	977	1,282	1,319	1,359	1,397
Functional Total	1,061	2,082	2,119	2,159	2,197
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	337	414	432	448	457
Correctional Services, Department of	497,898	491,291	524,822	567,975	609,850
Criminal Justice Services, Division of	24,406	18,451	18,874	21,939	23,691
Homeland Security and Emergency Services	6,318	0	0	0	0
Homeland Security	0	0	3,525	3,631	3,740
Judicial Commissions	1,221	1,359	1,393	1,430	1,467
Military and Naval Affairs, Division of	3,538	5,902	3,995	4,090	4,187

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes indirect costs)**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Parole, Division of	32,721	0	0	0	0
Probation and Correctional Alternatives, Division of	15	0	0	0	0
State Police, Division of	42,953	56,054	64,547	65,949	67,386
Functional Total	609,407	573,471	617,588	665,462	710,778
HIGHER EDUCATION					
Higher Education Services Corporation	(2,482)	0	4,037	4,037	4,037
State University of New York	268,823	254,824	278,023	291,124	304,640
Functional Total	266,341	254,824	282,060	295,161	308,677
EDUCATION					
Arts, Council on the	1,596	1,871	1,871	1,906	1,948
Education, Department of	16,026	15,368	15,795	15,795	15,795
All/ Other	16,026	15,368	15,795	15,795	15,795
Functional Total	17,622	17,666	17,666	17,701	17,743
GENERAL GOVERNMENT					
Budget, Division of the	2,295	953	730	446	614
Civil Service, Department of	793	546	561	576	592
Deferred Compensation	83	23	24	25	26
Elections, State Board of	1,309	1,371	1,466	1,548	1,595
Employee Relations, Office of	91	120	123	126	129
General Services, Office of	66,717	62,276	64,352	66,595	68,635
Inspector General, Office of	114	408	432	458	473
Labor Management Committee	22,371	42,180	56,710	17,557	17,557
Public Employment Relations Board	449	267	546	560	580
Public Integrity, Commission on	777	874	910	945	972
Regulatory Reform, Governor's Office of	116	0	0	0	0
State, Department of	4,315	5,459	5,282	5,428	5,570
Tax Appeals, Division of	358	367	367	378	387
Taxation and Finance, Department of	64,776	55,769	55,769	57,631	59,278
Technology, Office for	10,810	12,880	8,906	9,271	9,795
Veterans' Affairs, Division of	490	1,000	1,010	1,020	1,030
Functional Total	175,864	184,493	197,188	162,564	167,233

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ELECTED OFFICIALS					
Legislature	47,644	52,561	55,020	56,164	57,564
Judiciary	325,748	322,645	370,117	420,628	472,925
Audit and Control, Department of	28,208	24,453	25,307	26,295	27,193
Law, Department of	14,299	14,437	15,740	16,595	16,953
Executive Chamber	1,917	2,766	2,866	2,966	3,160
Lieutenant Governor, Office of the	(2,714)	71	59	31	38
Functional Total	<u>415,102</u>	<u>416,833</u>	<u>469,109</u>	<u>522,679</u>	<u>577,833</u>
ALL OTHER CATEGORIES					
General State Charges	1,726	0	0	0	0
Miscellaneous	1,524	185	230,305	185	185
Functional Total	<u>3,250</u>	<u>185</u>	<u>230,305</u>	<u>185</u>	<u>185</u>
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	<u>1,822,282</u>	<u>1,795,649</u>	<u>2,178,221</u>	<u>2,036,180</u>	<u>2,163,303</u>

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
GENERAL FUND
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	2,000	0	0	0	0
Military and Naval Affairs, Division of	0	2	2	2	2
Functional Total	<u>2,000</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>2</u>
HIGHER EDUCATION					
State University of New York	208,918	198,055	198,055	198,055	198,055
Functional Total	<u>208,918</u>	<u>198,055</u>	<u>198,055</u>	<u>198,055</u>	<u>198,055</u>
EDUCATION					
Education, Department of	1,525	1,910	1,910	1,910	1,910
Functional Total	<u>1,525</u>	<u>1,910</u>	<u>1,910</u>	<u>1,910</u>	<u>1,910</u>
ELECTED OFFICIALS					
Judiciary	542,237	582,500	628,734	689,682	759,650
Functional Total	<u>542,237</u>	<u>582,500</u>	<u>628,734</u>	<u>689,682</u>	<u>759,650</u>
ALL OTHER CATEGORIES					
General State Charges	3,426,460	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous	5,831	14,418	94,418	4,418	4,418
Functional Total	<u>3,432,291</u>	<u>3,885,972</u>	<u>4,297,742</u>	<u>4,609,426</u>	<u>4,699,925</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>4,186,971</u>	<u>4,668,439</u>	<u>5,126,443</u>	<u>5,499,075</u>	<u>5,659,542</u>

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
77,623	85,268	86,785	86,208	88,028	
15,014	24,261	25,925	23,925	23,925	
57,860	55,865	55,391	56,328	57,570	
31,416	28,980	29,546	30,176	30,820	
26,444	26,885	25,845	26,152	26,750	
4,749	5,142	5,439	5,955	6,533	
16,706	18,383	18,549	19,366	19,366	
12,581	14,004	13,553	14,006	14,006	
8,512	7,822	7,663	7,781	7,781	
4,069	6,182	5,890	6,225	6,225	
4,125	4,379	4,996	5,360	5,360	
10	162	162	162	162	
10	162	162	162	162	
1,939	0	0	0	0	
1,939	0	0	0	0	
1,748	0	0	0	0	
191	0	0	0	0	
20,857	50,854	48,225	45,618	45,996	
2,604	31,019	27,773	24,573	24,573	
18,232	19,807	20,424	21,017	21,395	
11,923	10,527	10,498	10,643	10,755	
6,309	9,280	9,926	10,374	10,640	
21	28	28	28	28	
35,741	70,152	62,585	27,585	27,585	
35,741	70,152	62,585	27,585	27,585	
15,307	15,997	16,158	16,388	16,388	
9,157	9,234	9,234	9,234	9,234	
4,744	5,180	5,286	5,396	5,396	
2,894	3,365	3,432	3,501	3,501	
1,850	1,815	1,854	1,895	1,895	
1,406	1,583	1,638	1,758	1,758	
507,291	527,925	539,136	549,551	560,557	
228,162	217,566	225,566	225,566	225,566	
212,310	234,396	230,614	233,981	237,405	
162,126	154,798	155,803	157,412	159,036	
60,184	79,598	74,811	76,569	78,369	
66,829	75,963	82,956	90,004	97,586	

ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT

Agriculture and Markets, Department of

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Alcoholic Beverage Control

State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Developmental Authority North

Grants to Local Governments

Consumer Protection Board

State Operations
Personal Service
Non-Personal Service/Indirect Cost

Economic Development, Department of

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Empire State Development Corporation

Grants to Local Governments

Energy Research and Development Authority

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

Financial Services, Department of

Grants to Local Governments
State Operations
Personal Service
Non-Personal Service/Indirect Cost
General State Charges

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
<i>Olympic Regional Development Authority</i>					
State Operations	3,534	4,366	4,366	4,493	4,575
Personal Service	3,534	4,366	4,366	4,493	4,575
Non-Personal Service/Indirect Cost	2,890	2,134	2,134	2,171	2,197
	644	2,232	2,232	2,322	2,378
<i>Public Service, Department of</i>					
Grants to Local Governments	73,076	75,663	79,445	82,806	86,352
State Operations	0	500	500	500	500
Personal Service	52,777	54,303	55,830	56,367	57,572
Non-Personal Service/Indirect Cost	41,801	43,506	44,750	44,995	45,895
General State Charges	10,976	10,797	11,080	11,372	11,677
	20,299	20,860	23,115	25,939	28,280
<i>Racing and Wagering Board, State</i>					
State Operations	21,573	24,185	22,172	22,952	23,704
Personal Service	18,056	19,055	16,359	16,620	16,846
Non-Personal Service/Indirect Cost	12,062	10,597	10,724	10,853	10,946
General State Charges	5,994	8,458	5,635	5,767	5,900
	3,517	5,130	5,813	6,332	6,858
<i>Science, Technology and Innovation, Foundation for</i>					
Grants to Local Governments	25,398	0	0	0	0
State Operations	23,186	0	0	0	0
Personal Service	2,212	0	0	0	0
Non-Personal Service/Indirect Cost	1,983	0	0	0	0
	229	0	0	0	0
Functional Total	799,055	872,955	877,583	855,129	872,713
PARKS AND THE ENVIRONMENT					
<i>Adirondack Park Agency</i>					
State Operations	4,637	4,291	4,293	4,293	4,293
Personal Service	4,637	4,291	4,293	4,293	4,293
Non-Personal Service/Indirect Cost	4,234	3,908	3,910	3,910	3,910
	403	383	383	383	383
<i>Environmental Conservation, Department of</i>					
Grants to Local Governments	296,195	271,234	268,174	267,174	267,174
State Operations	2,835	6,503	6,503	6,503	6,503
Personal Service	255,522	231,437	229,281	229,281	229,281
Non-Personal Service/Indirect Cost	186,181	168,558	169,723	169,723	169,723
General State Charges	69,341	62,879	59,558	59,558	59,558
	37,838	33,294	32,390	31,390	31,390
<i>Environmental Facilities Corporation</i>					
State Operations	9,390	10,082	10,323	10,475	10,597
Personal Service	7,122	6,960	7,011	7,038	7,065
Non-Personal Service/Indirect Cost	6,060	6,185	6,229	6,251	6,273
General State Charges	1,062	775	782	787	792
	2,268	3,122	3,312	3,437	3,532

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Parks, Recreation and Historic Preservation, Office of					
Grants to Local Governments	214,344	188,235	189,901	190,643	191,569
State Operations	16,124	19,850	19,850	19,850	19,850
Personal Service	177,022	159,700	161,366	162,001	162,889
Non-Personal Service/Indirect Cost	135,297	124,026	124,900	125,535	125,788
General State Charges	41,725	35,674	36,466	36,466	37,101
Capital Projects	2,627	3,685	3,792	3,830	3,830
	18,571	5,000	5,000	5,000	5,000
Functional Total	524,566	473,842	472,691	472,585	473,633
TRANSPORTATION					
Motor Vehicles, Department of					
State Operations	95,962	91,572	92,979	94,291	96,146
Personal Service	68,642	68,636	68,636	68,635	68,635
Non-Personal Service/Indirect Cost	51,578	48,221	48,221	48,221	48,221
General State Charges	16,944	20,421	20,415	20,414	20,414
	27,440	22,930	24,343	25,656	27,511
Transportation, Department of					
Grants to Local Governments	4,287,685	4,277,738	4,367,153	4,448,178	4,539,522
State Operations	4,253,828	4,236,113	4,324,526	4,404,626	4,495,026
Personal Service	33,454	36,723	37,168	37,649	38,123
Non-Personal Service/Indirect Cost	10,853	10,006	10,107	10,208	10,311
General State Charges	22,601	26,717	27,061	27,441	27,812
	403	4,902	5,459	5,903	6,373
FUNCTIONAL TOTAL	4,383,647	4,369,310	4,460,132	4,542,469	4,635,668
HEALTH					
Aging, Office for the					
Grants to Local Governments	118,718	111,175	113,923	113,966	114,010
State Operations	117,041	109,581	112,276	112,276	112,276
Personal Service	1,677	1,595	1,648	1,691	1,735
Non-Personal Service/Indirect Cost	1,641	1,297	1,330	1,363	1,397
General State Charges	36	298	318	328	338
	0	(1)	(1)	(1)	(1)
Health, Department of					
Grants to Local Governments	14,418,217	17,941,111	18,573,056	19,264,439	19,980,521
State Operations	11,400,560	14,752,826	15,342,913	15,955,913	16,593,713
Personal Service	11,377,313	14,706,471	15,296,558	15,909,568	16,547,368
Non-Personal Service/Indirect Cost	23,247	46,355	46,355	46,355	46,355
General State Charges	500	500	500	500	500
	22,747	45,855	45,855	45,855	45,855

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Medicaid Administration					
Grants to Local Governments	538,370	573,750	596,750	620,650	645,450
Public Health					
Grants to Local Governments	2,479,287	2,614,535	2,633,393	2,687,876	2,741,358
State Operations	1,897,616	2,010,935	2,026,674	2,062,445	2,103,582
Personal Service	548,077	563,004	561,451	577,428	589,773
Non-Personal Service/Indirect Cost	289,360	299,220	303,520	310,065	315,408
General State Charges	268,717	263,784	257,931	267,363	274,365
	33,594	40,596	45,268	48,003	48,003
Medicaid Inspector General, Office of					
State Operations	28,084	29,462	30,202	30,982	31,792
Personal Service	27,990	29,462	30,202	30,982	31,792
Non-Personal Service/Indirect Cost	20,818	20,123	20,563	21,013	21,473
General State Charges	7,172	9,339	9,639	9,969	10,319
	94	0	0	0	0
Stem Cell and Innovation					
State Operations	37,289	45,000	61,373	63,673	50,000
Personal Service	36,971	45,000	61,373	63,673	50,000
Non-Personal Service/Indirect Cost	534	0	0	0	0
General State Charges	36,437	45,000	61,373	63,673	50,000
	318	0	0	0	0
Functional Total	14,602,308	18,126,748	18,778,554	19,473,060	20,176,323
SOCIAL WELFARE					
Children and Family Services, Office of					
Grants to Local Governments	1,991,084	2,023,224	2,237,066	2,454,230	2,611,873
State Operations	1,921,729	1,912,123	2,115,684	2,322,660	2,474,018
Personal Service	1,598,134	1,605,634	1,782,151	1,990,509	2,135,828
Non-Personal Service/Indirect Cost	321,270	303,823	330,867	329,369	335,408
General State Charges	192,793	175,505	193,470	188,674	191,109
	128,477	128,318	137,397	140,695	144,299
	2,325	2,666	2,666	2,782	2,782
Children and Family Services - Other					
Grants to Local Governments	69,355	111,101	121,382	131,570	137,855
	69,355	111,101	121,382	131,570	137,855
Human Rights, Division of					
State Operations	14,130	9,670	9,851	9,982	9,982
Personal Service	14,130	9,670	9,851	9,982	9,982
Non-Personal Service/Indirect Cost	12,932	7,826	7,923	8,021	8,021
General State Charges	1,198	1,844	1,928	1,961	1,961
Labor, Department of					
Grants to Local Governments	71,832	68,780	64,942	66,819	68,096
State Operations	11,237	6,692	174	100	100
Personal Service	44,994	46,171	46,889	47,574	48,262
Non-Personal Service/Indirect Cost	32,161	31,103	31,443	31,762	32,080
General State Charges	12,833	15,068	15,446	15,812	16,182
	15,601	15,917	17,879	19,145	19,734

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Housing and Community Renewal, Division of					
Grants to Local Governments	121,230	105,712	106,618	107,998	109,618
State Operations	44,018	36,267	36,267	36,267	36,267
Personal Service	61,015	53,850	53,850	54,201	54,681
Non-Personal Service/Indirect Cost	46,367	40,975	40,412	40,632	40,995
General State Charges	16,197	15,483	13,987	13,569	13,686
		15,483	16,501	17,530	18,670
National Commission Services	381	599	601	683	687
Grants to Local Governments	0	350	350	350	350
State Operations	381	249	333	333	337
Personal Service	304	210	212	294	297
Non-Personal Service/Indirect Cost	77	39	39	39	40
Prevention of Domestic Violence, Office for					
Grants to Local Governments	1,966	1,962	1,983	1,983	1,983
State Operations	666	685	685	685	685
Personal Service	1,290	1,277	1,298	1,298	1,298
Non-Personal Service/Indirect Cost	1,098	1,139	1,152	1,152	1,152
	192	138	146	146	146
Temporary and Disability Assistance, Office of					
Grants to Local Governments	1,370,873	1,583,605	1,724,805	1,775,641	1,790,318
State Operations	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
Personal Service	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
Non-Personal Service/Indirect Cost					
Welfare Assistance					
Grants to Local Governments	327,008	335,403	342,339	344,949	346,526
State Operations	158,474	163,850	166,666	168,116	168,116
Personal Service	167,085	170,244	174,193	175,248	176,830
Non-Personal Service/Indirect Cost	62,305	62,388	62,691	63,042	63,203
General State Charges	1,449	1,309	1,152	1,120	1,132
		1,480	1,480	1,585	1,580
Welfare Inspector General, Office of					
Grants to Local Governments	1,150	1,420	1,436	1,456	1,475
State Operations	1,094	1,206	1,235	1,235	1,254
Personal Service	434	730	730	750	758
Non-Personal Service/Indirect Cost	660	476	485	485	496
General State Charges	56	214	221	221	221
Workers' Compensation Board					
Grants to Local Governments	194,002	205,223	205,101	211,963	218,799
State Operations	150,850	159,302	155,214	157,709	160,210
Personal Service	89,062	94,238	94,881	95,719	96,658
Non-Personal Service/Indirect Cost	61,798	65,064	60,333	61,990	63,552
General State Charges	43,152	45,921	49,887	54,254	58,589
Functional Total	3,766,638	4,000,195	4,352,403	4,630,755	4,812,831

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
<i>Mental Health, Office of</i>					
Office of Mental Health	3,121,338	3,070,914	3,272,192	3,450,049	3,652,120
Grants to Local Governments	1,363,284	1,196,504	1,346,443	1,443,291	1,552,490
State Operations	682,773	721,546	814,495	898,942	962,686
Personal Service	482,450	321,850	379,416	384,010	401,935
Non-Personal Service/Indirect Cost	405,776	251,483	290,068	292,354	310,872
General State Charges	76,674	70,367	89,348	91,656	91,063
	198,061	153,108	152,532	160,339	187,869
Office of Mental Health - Other					
Grants to Local Governments	1,758,054	1,874,410	1,925,749	2,006,758	2,099,630
State Operations	423,412	404,480	432,864	451,527	478,394
Personal Service	973,298	1,096,284	1,068,257	1,090,088	1,115,138
Non-Personal Service/Indirect Cost	739,482	848,085	820,310	834,211	841,353
General State Charges	233,816	248,199	247,947	255,877	273,785
	361,344	373,646	424,528	465,143	506,098
Mental Hygiene, Department of					
State Operations	345	0	0	0	0
Non-Personal Service/Indirect Cost	345	0	0	0	0
People with Developmental Disabilities, Office for					
Office for People with Developmental Disabilities	4,297,676	4,242,737	4,426,756	4,693,628	4,884,296
Grants to Local Governments	491,009	465,456	477,251	509,346	524,766
State Operations	497,629	485,280	477,075	509,165	524,585
Personal Service	(6,620)	176	176	181	181
Non-Personal Service/Indirect Cost	(6,620)	176	176	181	181
Office for People with Developmental Disabilities - Other					
Grants to Local Governments	3,806,667	3,777,281	3,949,505	4,184,282	4,359,530
State Operations	1,677,965	1,692,644	1,794,211	1,956,893	2,052,424
Personal Service	1,548,712	1,535,138	1,558,078	1,580,074	1,602,700
Non-Personal Service/Indirect Cost	1,168,196	1,146,940	1,158,293	1,168,860	1,181,295
General State Charges	380,516	388,198	399,785	411,214	421,405
	579,990	549,499	597,216	647,315	704,406
Alcoholism and Substance Abuse Services, Office of					
Alcoholism and Substance Abuse Services	404,784	419,124	441,682	462,661	466,643
Grants to Local Governments	293,096	318,571	338,678	356,988	358,322
State Operations	246,366	262,914	301,303	318,205	318,205
Personal Service	34,560	27,723	28,520	29,005	29,479
Non-Personal Service/Indirect Cost	25,103	18,202	18,747	18,977	19,198
General State Charges	9,457	9,521	9,773	10,028	10,281
	12,170	7,934	8,855	9,778	10,638
Alcoholism and Substance Abuse Services - Other					
Grants to Local Governments	111,688	100,553	103,004	105,673	108,321
State Operations	49,089	32,680	32,680	32,680	32,680
Personal Service	46,364	48,553	49,214	49,885	50,556
Non-Personal Service/Indirect Cost	34,493	40,019	40,283	40,714	41,151
General State Charges	11,871	8,534	8,931	9,171	9,405
	16,235	19,320	21,110	23,108	25,085

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Quality of Care and Advocacy for Persons with Disabilities, Commission on					
Grants to Local Governments	8,213	8,738	8,938	9,161	9,368
State Operations	623	620	620	620	620
Personal Service	6,573	6,959	7,040	7,159	7,266
Non-Personal Service/Indirect Cost	5,430	5,407	5,450	5,515	5,559
General State Charges	1,143	1,552	1,590	1,644	1,707
	1,017	1,159	1,278	1,382	1,482
Functional Total	7,832,356	7,741,513	8,149,568	8,615,499	9,012,427
PUBLIC PROTECTION					
Correction, Commission of					
State Operations	2,419	2,740	2,792	2,824	2,857
Personal Service	2,082	2,326	2,360	2,376	2,400
Non-Personal Service/Indirect Cost	337	414	432	448	457
Correctional Services, Department of					
Grants to Local Governments	2,411,149	2,312,683	2,368,225	2,438,003	2,500,941
State Operations	190	6,243	6,243	6,243	6,243
Personal Service	2,408,959	2,306,440	2,361,982	2,431,760	2,494,698
Non-Personal Service/Indirect Cost	1,910,202	1,813,930	1,835,991	1,862,666	1,883,729
General State Charges	488,757	492,510	525,991	569,094	610,969
	2,000	0	0	0	0
Criminal Justice Services, Division of					
Grants to Local Governments	216,080	224,875	228,541	231,435	233,552
State Operations	149,881	162,845	163,116	164,116	164,116
Personal Service	66,113	61,829	65,211	67,098	69,200
Non-Personal Service/Indirect Cost	34,806	30,418	31,124	29,943	30,289
General State Charges	31,307	31,411	34,087	37,155	38,911
	86	201	214	221	236
Office of Victim Services					
Grants to Local Governments	34,594	34,246	34,646	34,783	34,842
State Operations	29,185	28,182	28,182	28,182	28,182
Personal Service	4,521	4,828	4,958	4,957	5,016
Non-Personal Service/Indirect Cost	3,761	3,778	3,879	3,852	3,887
General State Charges	760	1,050	1,079	1,105	1,129
	888	1,236	1,506	1,644	1,644

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Statewide Financial System					
State Operations	9,070	40,498	55,484	55,584	55,584
Personal Service	9,069	40,498	55,484	55,584	55,584
Non-Personal Service/Indirect Cost	3,977	9,139	13,819	13,819	13,819
General State Charges	5,092	31,359	41,665	41,765	41,765
	1	0	0	0	0
Homeland Security and Emergency Services					
Grants to Local Governments	33,298	109,982	126,381	133,759	125,969
State Operations	17,552	64,170	74,870	94,570	90,570
Personal Service	15,550	45,111	50,806	38,484	34,694
Non-Personal Service/Indirect Cost	6,531	7,535	7,604	7,674	7,744
General State Charges	9,019	37,576	43,202	30,810	26,950
	196	701	705	705	705
Homeland Security					
State Operations	0	0	31,283	31,705	32,133
Personal Service	0	0	31,283	31,705	32,133
Non-Personal Service/Indirect Cost	0	0	27,758	28,074	28,393
General State Charges	0	0	3,525	3,631	3,740
Office of Indigent Legal Services					
Grants to Local Governments	90,783	78,500	78,628	78,740	78,857
State Operations	65,769	77,000	77,000	77,000	77,000
Personal Service	25,024	1,136	1,180	1,225	1,271
Non-Personal Service/Indirect Cost	8	765	780	795	810
General State Charges	25,016	371	400	430	461
	0	364	448	515	586
Judicial Commissions					
State Operations	4,944	5,452	5,526	5,606	5,684
Personal Service	4,944	5,452	5,526	5,606	5,684
Non-Personal Service/Indirect Cost	3,723	4,093	4,133	4,176	4,217
General State Charges	1,221	1,359	1,393	1,430	1,467
Military and Naval Affairs, Division of					
Grants to Local Governments	32,640	29,715	21,535	21,755	21,977
State Operations	3,659	1,194	1,194	1,194	1,194
Personal Service	28,398	28,269	20,989	20,309	20,531
Non-Personal Service/Indirect Cost	19,260	16,334	10,058	10,183	10,308
General State Charges	9,138	11,935	10,031	10,126	10,223
	583	252	252	252	252

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Parole, Division of	176,544	0	0	0	0
Grants to Local Governments	10,196	0	0	0	0
State Operations	166,348	0	0	0	0
Personal Service	133,431	0	0	0	0
Non-Personal Service/Indirect Cost	32,917	0	0	0	0
Probation and Correctional Alternatives, Division of	137	0	0	0	0
Grants to Local Governments	122	0	0	0	0
State Operations	15	0	0	0	0
Non-Personal Service/Indirect Cost	15	0	0	0	0
State Police, Division of	677,826	625,108	607,486	611,900	616,350
State Operations	657,091	621,064	603,229	607,657	612,120
Personal Service	582,393	524,805	508,640	511,710	514,881
Non-Personal Service/Indirect Cost	74,698	96,259	94,589	95,947	97,239
General State Charges	20,735	4,044	4,257	4,243	4,230
Wireless Network	28,253	0	0	0	0
State Operations	27,655	0	0	0	0
Personal Service	8,076	0	0	0	0
Non-Personal Service/Indirect Cost	19,579	0	0	0	0
General State Charges	598	0	0	0	0
Functional Total	3,717,747	3,463,799	3,560,527	3,646,094	3,708,746
HIGHER EDUCATION					
City University of New York	1,306,312	1,348,270	1,453,296	1,545,897	1,634,130
Grants to Local Governments	1,182,813	1,204,982	1,299,231	1,389,174	1,477,407
State Operations	117,099	136,747	147,380	149,890	149,890
Personal Service	85,732	96,651	102,662	104,250	104,250
Non-Personal Service/Indirect Cost	31,367	40,096	44,718	45,640	45,640
General State Charges	6,400	6,541	6,685	6,833	6,833
Higher Education Services Corporation	889,588	994,153	1,062,669	1,067,206	1,069,932
Grants to Local Governments	813,707	905,861	966,962	965,592	965,277
State Operations	61,052	74,876	79,286	83,829	85,434
Personal Service	32,756	32,134	31,445	31,760	32,077
Non-Personal Service/Indirect Cost	28,296	42,742	47,841	52,069	53,357
General State Charges	14,829	13,416	16,421	17,785	19,221
Higher Education Miscellaneous	370	355	355	355	355
State Operations	255	220	220	220	220
Personal Service	221	175	175	175	175
Non-Personal Service/Indirect Cost	34	45	45	45	45
General State Charges	115	135	135	135	135

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
State University Construction Fund					
State Operations	18,915	26,172	27,074	27,854	28,609
Personal Service	14,438	18,579	18,684	18,848	18,959
Non-Personal Service/Indirect Cost	12,562	15,544	15,583	15,622	15,661
General State Charges	4,477	7,593	8,390	9,006	9,650
State University of New York	5,880,864	6,008,937	6,142,794	6,305,632	6,470,833
Grants to Local Governments	472,818	482,793	448,670	448,670	448,670
State Operations	4,880,006	5,020,608	5,185,968	5,333,115	5,466,429
Personal Service	3,239,364	3,204,204	3,269,446	3,334,647	3,419,704
Non-Personal Service/Indirect Cost	1,640,642	1,816,404	1,916,522	1,998,468	2,046,725
General State Charges	528,040	505,536	508,156	523,847	555,734
Functional Total	8,096,049	8,377,887	8,686,188	8,946,944	9,203,859
EDUCATION					
Arts, Council on the	45,173	36,033	36,070	36,130	36,197
Grants to Local Governments	40,479	31,733	31,733	31,733	31,733
State Operations	4,694	4,300	4,337	4,397	4,464
Personal Service	3,098	2,442	2,466	2,491	2,516
Non-Personal Service/Indirect Cost	1,596	1,858	1,871	1,906	1,948
Education, Department of	26,717,031	24,882,842	25,645,170	26,825,356	27,947,193
School Aid	21,818,927	19,685,933	20,249,776	21,151,328	22,018,112
Grants to Local Governments	21,818,927	19,685,933	20,249,776	21,151,328	22,018,112
School Aid - Other	29,373	0	0	0	0
Grants to Local Governments	29,373	0	0	0	0
STAR Property Tax Relief	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Grants to Local Governments	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs	924,218	1,196,571	1,373,136	1,455,616	1,529,216
Grants to Local Governments	924,218	1,196,571	1,373,136	1,455,616	1,529,216
All Other	710,499	707,818	700,191	708,037	707,139
Grants to Local Governments	549,521	546,794	538,757	543,630	538,280
State Operations	131,572	130,578	127,605	127,861	129,353
Personal Service	90,078	83,818	84,711	85,811	86,937
Non-Personal Service/Indirect Cost	41,494	46,760	42,894	42,050	42,416
General State Charges	29,406	30,446	33,829	36,546	39,506
Functional Total	26,762,204	24,918,875	25,681,240	26,861,486	27,983,390

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the					
State Operations	35,206	37,663	38,712	39,642	41,739
Personal Service	33,120	35,469	36,274	36,936	38,728
Non-Personal Service/Indirect Cost	25,181	25,500	26,280	27,083	28,194
General State Charges	7,939	9,969	9,994	9,853	10,534
	2,086	2,194	2,438	2,706	3,011
Civil Service, Department of					
State Operations	17,896	15,743	15,993	16,280	16,461
Personal Service	17,677	15,541	15,778	16,045	16,206
Non-Personal Service/Indirect Cost	16,163	13,796	13,988	14,207	14,322
General State Charges	1,524	1,745	1,790	1,838	1,884
	219	202	215	235	255
Deferred Compensation					
State Operations	681	774	796	823	850
Personal Service	519	598	605	615	625
Non-Personal Service/Indirect Cost	374	390	392	396	400
General State Charges	145	208	213	219	225
	162	176	191	208	225
Elections, State Board of					
Grants to Local Governments	6,186	7,024	35,301	5,246	5,468
State Operations	582	2,000	30,000	0	0
Personal Service	5,604	5,024	5,301	5,246	5,468
Non-Personal Service/Indirect Cost	4,205	3,653	3,835	3,698	3,873
General State Charges	1,399	1,371	1,466	1,548	1,595
Employee Relations, Office of					
State Operations	3,000	2,889	2,923	2,963	2,997
Personal Service	3,000	2,889	2,923	2,963	2,997
Non-Personal Service/Indirect Cost	2,909	2,715	2,744	2,779	2,810
General State Charges	91	174	179	184	187
Financial Plan Control Board					
State Operations	2,542	3,330	3,494	3,638	3,789
Personal Service	1,944	2,521	2,583	2,643	2,705
Non-Personal Service/Indirect Cost	1,272	1,657	1,690	1,724	1,758
General State Charges	672	864	893	919	947
	598	809	911	995	1,084
General Services, Office of					
Grants to Local Governments	128,633	119,944	120,834	124,025	126,955
State Operations	28	324	324	324	324
Personal Service	127,315	117,476	118,374	121,462	124,233
Non-Personal Service/Indirect Cost	56,079	48,575	49,597	50,310	50,885
General State Charges	71,236	68,777	68,777	71,152	73,348
	1,290	2,144	2,136	2,239	2,398
Inspector General, Office of					
State Operations	5,703	5,430	5,515	5,602	5,671
Personal Service	5,703	5,430	5,515	5,602	5,671
Non-Personal Service/Indirect Cost	5,519	4,935	4,996	5,057	5,111
	184	495	519	545	560

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Labor Management Committee					
State Operations	32,335	50,256	64,874	25,721	25,721
Personal Service	32,335	50,256	64,874	25,721	25,721
Non-Personal Service/Indirect Cost	9,872	7,776	7,864	7,864	7,864
	22,463	42,480	57,010	17,857	17,857
Lottery, Division of					
State Operations	162,910	176,790	177,280	181,544	185,220
Personal Service	152,955	165,325	164,225	167,665	171,242
Non-Personal Service/Indirect Cost	21,057	24,623	24,623	24,866	25,026
General State Charges	131,898	140,702	139,602	142,799	146,216
	9,955	11,465	13,055	13,879	13,978
Public Employment Relations Board					
State Operations	3,988	3,845	3,897	3,949	4,004
Personal Service	3,988	3,845	3,897	3,949	4,004
Non-Personal Service/Indirect Cost	3,456	3,278	3,312	3,346	3,381
	532	567	585	603	623
Public Integrity, Commission on					
State Operations	3,794	3,649	3,829	3,906	3,972
Personal Service	3,794	3,649	3,829	3,906	3,972
Non-Personal Service/Indirect Cost	3,017	2,775	2,919	2,961	3,000
	777	874	910	945	972
Regulatory Reform, Governor's Office of					
State Operations	1,653	0	0	0	0
Personal Service	1,653	0	0	0	0
Non-Personal Service/Indirect Cost	1,537	0	0	0	0
	116	0	0	0	0
State, Department of					
Grants to Local Governments	72,158	72,439	69,110	70,477	71,771
State Operations	24,495	21,176	16,739	16,739	16,739
Personal Service	38,819	43,323	43,502	44,140	44,699
Non-Personal Service/Indirect Cost	28,771	27,225	27,582	27,891	28,093
General State Charges	10,048	16,098	15,920	16,249	16,606
Capital Projects	8,844	7,955	8,884	9,613	10,348
	0	(15)	(15)	(15)	(15)
Tax Appeals, Division of					
State Operations	3,134	2,514	2,514	2,552	2,585
Personal Service	3,134	2,514	2,514	2,552	2,585
Non-Personal Service/Indirect Cost	2,776	2,147	2,147	2,174	2,198
	358	367	367	378	387

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Taxation and Finance, Department of					
Grants to Local Governments	441,466	400,039	402,935	409,792	415,543
State Operations	5,270	926	1,076	1,076	1,076
Personal Service	412,940	380,255	380,337	385,896	391,420
Non-Personal Service/Indirect Cost	323,767	295,888	295,967	298,963	302,160
General State Charges	89,173	84,367	84,370	86,933	89,260
	23,256	18,858	21,522	22,820	23,047
Technology, Office for					
Grants to Local Governments	22,902	26,243	21,144	20,607	21,274
State Operations	884	1,245	0	0	0
Personal Service	22,018	24,498	20,644	20,107	20,774
Non-Personal Service/Indirect Cost	11,208	10,532	10,652	10,750	10,879
General State Charges	10,810	9,992	9,992	9,357	9,895
	0	500	500	500	500
Veterans' Affairs, Division of					
Grants to Local Governments	14,104	15,124	15,239	15,375	15,455
State Operations	8,044	9,313	9,373	9,438	9,508
Personal Service	6,060	5,811	5,866	5,937	5,947
Non-Personal Service/Indirect Cost	5,570	4,811	4,856	4,917	4,917
General State Charges	490	1,000	1,010	1,020	1,030
Functional Total	958,291	943,696	984,390	932,142	949,475
ELECTED OFFICIALS					
Legislature					
State Operations	222,536	218,795	227,685	232,263	235,415
Personal Service	222,536	218,795	227,685	232,263	235,415
Non-Personal Service/Indirect Cost	174,086	165,284	171,715	175,149	176,901
General State Charges	48,440	53,511	55,970	57,114	58,514
Judiciary					
Grants to Local Governments	2,540,268	2,555,143	2,818,946	2,988,411	3,231,599
State Operations	119,798	106,645	158,500	159,500	161,500
Personal Service	1,868,890	1,838,800	2,009,862	2,119,121	2,292,141
Non-Personal Service/Indirect Cost	1,525,120	1,469,455	1,596,945	1,655,493	1,774,616
General State Charges	343,770	369,345	412,917	463,628	517,525
	551,580	609,698	650,584	709,790	777,958
Audit and Control, Department of					
Grants to Local Governments	171,821	170,616	178,640	181,162	183,340
State Operations	31,598	32,024	32,024	32,024	32,024
Personal Service	138,841	137,034	145,016	147,538	149,716
Non-Personal Service/Indirect Cost	110,320	107,006	114,096	115,489	116,759
General State Charges	28,521	30,028	30,920	32,049	32,957
	1,382	1,558	1,600	1,600	1,600
Law, Department of					
State Operations	169,761	168,938	177,458	180,946	182,918
Personal Service	161,877	158,967	166,204	169,692	171,684
Non-Personal Service/Indirect Cost	111,750	109,139	114,699	115,995	116,978
General State Charges	50,127	49,828	51,505	53,697	54,686
	7,884	9,971	11,254	11,254	11,254

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Executive Chamber	12,880	13,926	14,203	14,461	15,185
State Operations	12,880	13,926	14,203	14,461	15,185
Personal Service	10,963	11,160	11,337	11,495	12,025
Non-Personal Service/Indirect Cost	1,917	2,766	2,866	2,966	3,160
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
State Operations	(2,433)	464	479	479	524
Personal Service	281	393	420	448	486
Non-Personal Service/Indirect Cost	(2,714)	71	59	31	38
Functional Total	3,114,833	3,127,882	3,417,411	3,597,722	3,848,981
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Grants to Local Governments	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Grants to Local Governments	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Grants to Local Governments	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Grants to Local Governments	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Grants to Local Governments	2,066	218	218	218	218
Functional Total	775,330	766,751	796,835	787,016	787,105
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,677,515	5,916,969	6,394,139	6,560,043	6,612,819
State Operations	62,846	61,594	61,988	61,988	61,988
Non-Personal Service/Indirect Cost	62,846	61,594	61,988	61,988	61,988
Debt Service	5,614,669	5,855,375	6,332,151	6,498,055	6,550,831
General State Charges	3,432,021	3,871,554	4,203,324	4,605,008	4,695,507
State Operations	5,561	0	0	0	0
Personal Service	3,835	0	0	0	0
Non-Personal Service/Indirect Cost	1,726	0	0	0	0
General State Charges	3,426,460	3,871,554	4,203,324	4,605,008	4,695,507

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Miscellaneous					
Grants to Local Governments	(25,160)	(92,491)	86,323	(283,622)	(283,553)
State Operations	(34,402)	345,383	189,380	139,499	139,499
Personal Service	2,942	(452,815)	(198,049)	(428,126)	(428,084)
Non-Personal Service/Indirect Cost	945	(181,393)	(193,831)	(193,820)	(193,809)
General State Charges	1,997	(271,422)	(4,218)	(234,306)	(234,275)
	6,300	14,941	94,992	5,005	5,032
Functional Total	9,084,376	9,696,032	10,683,786	10,881,429	11,024,773
TOTAL STATE FUNDS OPERATING SPENDING	84,417,400	86,879,485	90,901,308	94,242,330	97,489,924

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	77,623	85,268	86,785	86,208	88,028
Alcoholic Beverage Control	16,706	18,383	18,549	19,366	19,366
Developmental Authority North	10	162	162	162	162
Consumer Protection Board	1,939	0	0	0	0
Economic Development, Department of	20,857	50,854	48,225	45,618	45,996
Empire State Development Corporation	35,741	70,152	62,585	27,585	27,585
Energy Research and Development Authority	15,307	15,997	16,158	16,388	16,388
Financial Services, Department of	507,291	527,925	539,136	549,551	560,557
Olympic Regional Development Authority	3,534	4,366	4,493	4,493	4,575
Public Service, Department of	73,076	75,663	79,445	82,806	86,352
Racing and Wagering Board, State	21,573	24,185	22,172	22,952	23,704
Science, Technology and Innovation, Foundation for	25,398	0	0	0	0
Functional Total	799,055	872,955	877,583	855,129	872,713
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,637	4,291	4,293	4,293	4,293
Environmental Conservation, Department of	296,195	271,234	268,174	267,174	267,174
Environmental Facilities Corporation	9,390	10,082	10,323	10,475	10,597
Parks, Recreation and Historic Preservation, Office of	214,344	188,235	189,901	190,643	191,569
Functional Total	524,566	473,842	472,691	472,585	473,633
TRANSPORTATION					
Motor Vehicles, Department of	95,982	91,572	92,979	94,291	96,146
Transportation, Department of	4,287,685	4,277,738	4,367,153	4,448,178	4,539,522
Functional Total	4,383,647	4,369,310	4,460,132	4,542,469	4,635,668
HEALTH					
Aging, Office for the	118,718	111,175	113,923	113,966	114,010
Health, Department of	14,418,217	17,941,111	18,573,056	19,264,439	19,980,521
Medical Assistance	11,400,560	14,752,826	15,342,913	15,955,913	16,593,713
Medicaid Administration	538,370	573,750	596,750	620,650	645,450
Public Health	2,479,287	2,614,535	2,633,393	2,687,876	2,741,358
Medicaid Inspector General, Office of	28,084	29,462	30,202	30,982	31,792
Stem Cell and Innovation	37,289	45,000	61,373	63,673	50,000
Functional Total	14,602,308	18,126,748	18,778,554	19,473,060	20,176,323
SOCIAL WELFARE					
Children and Family Services, Office of	1,991,084	2,023,224	2,237,066	2,454,230	2,611,873
OCFS	1,921,729	1,912,123	2,115,684	2,322,660	2,474,018
OCFS - Other	69,355	111,101	121,382	131,570	137,855
Human Rights, Division of	14,130	9,670	9,851	9,982	9,982
Labor, Department of	71,832	68,780	64,942	66,819	68,096
Housing and Community Renewal, Division of	121,230	105,712	106,618	107,998	109,618
National Commission Services	381	599	601	683	687
Prevention of Domestic Violence, Office for	1,956	1,962	1,983	1,983	1,983
Temporary and Disability Assistance, Office of	1,370,873	1,583,605	1,724,805	1,775,641	1,790,318
Wellfare Assistance	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
All Other	327,008	335,403	342,339	344,949	346,526
Welfare Inspector General, Office of	1,150	1,420	1,436	1,456	1,475
Workers' Compensation Board	194,002	205,223	205,101	211,963	218,799
Functional Total	3,766,638	4,000,195	4,352,403	4,630,755	4,812,831

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	3,121,338	3,070,914	3,272,192	3,450,049	3,652,120
OMH	1,363,284	1,196,504	1,346,443	1,443,291	1,552,490
OMH - Other	1,758,054	1,874,410	1,925,749	2,006,758	2,099,630
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	4,297,676	4,242,737	4,426,756	4,693,628	4,884,296
OPWDD	491,009	465,456	477,251	509,346	524,766
OPWDD - Other	3,806,667	3,777,281	3,949,505	4,184,282	4,359,530
Alcoholism and Substance Abuse Services, Office of	404,784	419,124	441,682	462,661	466,643
OASAS	293,096	318,571	338,678	356,988	358,322
OASAS - Other	111,688	100,553	103,004	105,673	108,321
Quality of Care and Advocacy for Persons with Disabilities, Commission on	8,213	8,738	8,938	9,161	9,368
Functional Total	7,832,356	7,741,513	8,149,568	8,615,499	9,012,427
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,411,149	2,312,683	2,368,225	2,438,003	2,500,941
Criminal Justice Services, Division of	216,080	224,875	228,541	231,435	233,552
Office of Victim Services	34,594	34,246	34,646	34,783	34,842
Statewide Financial System	9,070	40,498	55,484	55,584	55,584
Homeland Security and Emergency Services	33,298	109,982	126,381	133,759	125,969
Homeland Security	0	0	31,283	31,705	32,133
Office of Indigent Legal Services	90,793	78,500	78,628	78,740	78,857
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
Military and Naval Affairs, Division of	32,640	29,715	21,535	21,755	21,977
Parole, Division of	176,544	0	0	0	0
Probation and Correctional Alternatives, Division of	137	0	0	0	0
State Police, Division of	677,826	625,108	607,486	611,900	616,350
Wireless Network	28,253	0	0	0	0
Functional Total	3,717,747	3,463,799	3,560,527	3,646,094	3,708,746
HIGHER EDUCATION					
City University of New York	1,306,312	1,348,270	1,453,296	1,545,897	1,634,130
Higher Education Services Corporation	889,588	994,153	1,062,669	1,067,206	1,069,932
Higher Education Miscellaneous	370	355	355	355	355
State University Construction Fund	18,915	26,172	27,074	27,854	28,609
State University of New York	5,880,864	6,008,937	6,142,794	6,305,632	6,470,833
Functional Total	8,096,049	8,377,887	8,686,188	8,946,944	9,203,859
EDUCATION					
Arts, Council on the	45,173	36,033	36,070	36,130	36,197
Education, Department of	26,717,031	24,862,842	25,645,170	26,825,366	27,947,193
School Aid	21,818,927	19,685,933	20,249,776	21,151,328	22,018,112
School Aid - Other	29,373	0	0	0	0
STAR Property Tax Relief	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs	924,218	1,196,571	1,373,136	1,455,616	1,529,216
All Other	710,499	707,818	700,191	708,037	707,139
Functional Total	26,762,204	24,918,875	25,681,240	26,861,486	27,983,390

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	35,206	37,663	38,712	39,642	41,739
Civil Service, Department of	17,896	15,743	15,993	16,280	16,461
Deferred Compensation	681	774	796	823	850
Elections, State Board of	6,186	7,024	35,301	5,246	5,468
Employee Relations, Office of	3,000	2,889	2,923	2,963	2,997
Financial Plan Control Board	2,542	3,330	3,494	3,638	3,789
General Services, Office of	128,633	119,944	120,834	124,025	126,955
Inspector General, Office of	5,703	5,430	5,515	5,602	5,671
Labor Management Committee	32,335	50,256	64,874	25,721	25,721
Lottery, Division of	162,910	176,790	177,280	181,544	185,220
Public Employment Relations Board	3,888	3,845	3,897	3,949	4,004
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
Regulatory Reform, Governor's Office of	1,653	0	0	0	0
State, Department of	72,158	72,439	69,110	70,477	71,771
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
Taxation and Finance, Department of	441,466	400,039	402,935	409,792	415,543
Technology, Office for	22,902	26,243	21,144	20,607	21,274
Veterans' Affairs, Division of	14,104	15,124	15,239	15,375	15,455
Functional Total	958,291	943,696	984,390	932,142	949,475
ELECTED OFFICIALS					
Legislature	222,536	218,795	227,685	232,263	235,415
Judiciary	2,540,268	2,555,143	2,818,946	2,988,411	3,231,599
Audit and Control, Department of	171,821	170,616	178,640	181,162	183,340
Law, Department of	169,761	168,938	177,458	180,946	182,918
Executive Chamber	12,880	13,926	14,203	14,461	15,185
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
Functional Total	3,114,833	3,127,882	3,417,411	3,597,722	3,848,981
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Functional Total	775,330	766,751	796,835	787,016	787,105
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,677,515	5,916,969	6,394,139	6,560,043	6,612,819
General State Charges	3,432,021	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous	(25,160)	(92,491)	86,323	(283,622)	(283,553)
Functional Total	9,084,376	9,696,032	10,683,786	10,881,429	11,024,773
TOTAL STATE FUNDS OPERATING SPENDING	84,417,400	86,879,485	90,901,308	94,242,330	97,489,924

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	15,014	24,261	25,955	23,925	23,925
Developmental Authority North	10	162	162	162	162
Economic Development, Department of	2,604	31,019	27,773	24,573	24,573
Empire State Development Corporation	35,741	70,152	62,585	27,585	27,585
Energy Research and Development Authority	9,157	9,234	9,234	9,234	9,234
Financial Services, Department of	228,152	217,566	225,566	225,566	225,566
Public Service, Department of	0	500	500	500	500
Science, Technology and Innovation, Foundation for	23,186	0	0	0	0
Functional Total	313,864	352,894	351,775	311,545	311,545
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	2,835	6,503	6,503	6,503	6,503
Parks, Recreation and Historic Preservation, Office of	16,124	19,850	19,850	19,850	19,850
Functional Total	18,959	26,353	26,353	26,353	26,353
TRANSPORTATION					
Transportation, Department of	4,253,828	4,236,113	4,324,526	4,404,626	4,495,026
Functional Total	4,253,828	4,236,113	4,324,526	4,404,626	4,495,026
HEALTH					
Aging, Office for the	117,041	109,581	112,276	112,276	112,276
Health, Department of	13,813,299	17,291,156	17,919,982	18,592,653	19,296,390
Medical Assistance	11,377,313	14,706,471	15,296,558	15,909,558	16,547,358
Medicaid Administration	538,370	573,750	596,750	620,650	645,450
Public Health	1,897,616	2,010,935	2,026,674	2,062,445	2,103,582
Functional Total	13,930,340	17,400,737	18,032,258	18,704,929	19,408,666
SOCIAL WELFARE					
Children and Family Services, Office of	1,667,489	1,716,735	1,903,533	2,122,079	2,273,683
OCFS	1,598,134	1,605,634	1,782,151	1,990,509	2,135,828
OCFS - Other	69,355	111,101	121,382	131,570	137,855
Labor, Department of	11,237	6,692	174	100	100
Housing and Community Renewal, Division of	44,018	36,267	36,267	36,267	36,267
National Commission Services	0	350	350	350	350
Prevention of Domestic Violence, Office for	666	685	685	685	685
Temporary and Disability Assistance, Office of	1,202,339	1,412,052	1,549,132	1,598,808	1,611,908
Wellfare Assistance	1,043,865	1,248,262	1,382,466	1,430,692	1,443,792
All Other	158,474	163,850	166,666	168,116	168,116
Functional Total	2,925,749	3,172,781	3,490,141	3,758,289	3,922,983

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,106,185	1,126,026	1,247,459	1,350,469	1,441,080
<i>OMH</i>	682,773	721,546	814,495	888,942	962,686
<i>OMH - Other</i>	423,412	404,480	432,964	451,527	478,394
People with Developmental Disabilities, Office for	2,175,594	2,157,924	2,271,286	2,466,058	2,577,009
<i>OPWDD</i>	497,629	465,280	477,075	509,165	524,585
<i>OPWDD - Other</i>	1,677,965	1,692,644	1,794,211	1,956,893	2,052,424
Alcoholism and Substance Abuse Services, Office of	295,455	315,594	333,983	350,885	350,885
OASAS	246,366	282,914	301,303	318,205	318,205
<i>OASAS - Other</i>	49,089	32,680	32,680	32,680	32,680
Quality of Care and Advocacy for Persons with Disabilities, Commission on	623	620	620	620	620
Functional Total	3,577,857	3,600,164	3,853,348	4,168,032	4,369,594
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	190	6,243	6,243	6,243	6,243
Criminal Justice Services, Division of	149,881	162,845	163,116	164,116	164,116
Office of Victim Services	29,185	28,182	28,182	28,182	28,182
Homeland Security and Emergency Services	17,552	64,170	74,870	94,570	90,570
Office of Indigent Legal Services	65,769	77,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,659	1,194	1,194	1,194	1,194
Parole, Division of	10,196	0	0	0	0
Probation and Correctional Alternatives, Division of	122	0	0	0	0
Functional Total	276,554	339,634	350,605	371,305	367,305
HIGHER EDUCATION					
City University of New York	1,182,813	1,204,982	1,299,231	1,389,174	1,477,407
Higher Education Services Corporation	813,707	905,861	966,962	965,592	965,277
State University of New York	472,818	482,793	448,670	448,670	448,670
Functional Total	2,469,338	2,593,636	2,714,863	2,803,436	2,891,354
EDUCATION					
Arts, Council on the	40,479	31,733	31,733	31,733	31,733
Education, Department of	26,556,053	24,721,818	25,483,736	26,660,949	27,778,334
<i>School Aid</i>	21,818,927	19,685,933	20,249,776	21,151,328	22,018,112
<i>School Aid - Other</i>	29,373	0	0	0	0
<i>STAR Property Tax Relief</i>	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	549,521	546,794	538,757	543,630	538,280
Functional Total	26,596,532	24,753,651	25,515,469	26,692,682	27,810,067
GENERAL GOVERNMENT					
Elections, State Board of	582	2,000	30,000	0	0
General Services, Office of	28	324	324	324	324
State, Department of	24,495	21,176	16,739	16,739	16,739
Taxation and Finance, Department of	5,270	926	1,076	1,076	1,076
Technology, Office for	884	1,245	0	0	0
Veterans Affairs, Division of	8,044	9,313	9,373	9,438	9,508
Functional Total	39,303	34,984	57,512	27,577	27,647

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ELECTED OFFICIALS					
Judiciary	119,798	106,645	158,500	159,500	161,500
Audit and Control, Department of	31,598	32,024	32,024	32,024	32,024
Functional Total	<u>151,396</u>	<u>138,669</u>	<u>190,524</u>	<u>191,524</u>	<u>193,524</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Functional Total	<u>775,330</u>	<u>766,751</u>	<u>796,835</u>	<u>787,016</u>	<u>787,105</u>
ALL OTHER CATEGORIES					
Miscellaneous	(34,402)	345,383	189,380	139,499	139,499
Functional Total	<u>(34,402)</u>	<u>345,383</u>	<u>189,380</u>	<u>139,499</u>	<u>139,499</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>55,294,648</u>	<u>57,761,650</u>	<u>59,893,589</u>	<u>62,386,813</u>	<u>64,750,678</u>

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	57,860	55,865	55,391	56,328	57,570
Alcoholic Beverage Control	12,581	14,004	13,553	14,006	14,006
Consumer Protection Board	1,939	0	0	0	0
Economic Development, Department of	18,232	19,807	20,424	21,017	21,395
Energy Research and Development Authority	4,744	5,180	5,286	5,396	5,396
Financial Services, Department of	212,310	234,396	230,614	233,981	237,405
Olympic Regional Development Authority	3,534	4,366	4,366	4,493	4,575
Public Service, Department of	52,777	54,303	55,830	56,367	57,572
Racing and Wagering Board, State	18,056	19,055	16,359	16,620	16,846
Science, Technology and Innovation, Foundation for	2,212	0	0	0	0
Functional Total	384,245	406,976	401,823	408,208	414,765
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,637	4,297	4,293	4,293	4,293
Environmental Conservation, Department of	255,622	231,437	229,281	229,281	229,281
Environmental Facilities Corporation	7,122	6,960	7,011	7,038	7,065
Parks, Recreation and Historic Preservation, Office of	177,022	159,700	161,366	162,001	162,889
Functional Total	444,303	402,388	401,951	402,613	403,528
TRANSPORTATION					
Motor Vehicles, Department of	68,522	68,642	68,636	68,635	68,635
Transportation, Department of	33,454	36,723	37,168	37,649	38,123
Functional Total	101,976	105,365	105,804	106,284	106,758
HEALTH					
Aging, Office for the	1,677	1,595	1,648	1,691	1,735
Health, Department of	571,324	609,359	607,806	623,783	636,128
Medical Assistance	23,247	46,355	46,355	46,355	46,355
Public Health	548,077	563,004	561,451	577,428	589,773
Medicaid Inspector General, Office of	27,990	29,462	30,202	30,982	31,792
Stem Cell and Innovation	36,971	45,000	61,373	63,673	50,000
Functional Total	637,962	685,416	701,029	720,129	719,655
SOCIAL WELFARE					
Children and Family Services, Office of	321,270	303,823	330,867	329,369	335,408
OCFS	321,270	303,823	330,867	329,369	335,408
Human Rights, Division of	14,130	9,670	9,851	9,982	9,982
Labor, Department of	44,994	46,171	46,889	47,574	48,262
Housing and Community Renewal, Division of	61,015	53,962	53,850	54,201	54,681
National Commission Services	381	249	251	333	337
Prevention of Domestic Violence, Office for	1,290	1,277	1,298	1,298	1,298
Temporary and Disability Assistance, Office of	167,085	170,244	174,193	175,248	176,830
All Other	167,085	170,244	174,193	175,248	176,830
Welfare Inspector General, Office of	1,094	1,206	1,235	1,254	1,254
Workers' Compensation Board	150,850	159,302	155,214	157,709	160,210
Functional Total	762,109	745,904	773,628	776,949	788,262

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,455,748	1,418,134	1,447,673	1,474,098	1,517,073
OMH	482,450	321,850	379,416	384,010	401,935
OMH - Other	973,298	1,096,284	1,068,257	1,090,088	1,115,138
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	1,542,092	1,535,314	1,558,254	1,580,255	1,602,881
OPWDD	(6,620)	176	176	181	181
OPWDD - Other	1,548,712	1,535,138	1,558,078	1,580,074	1,602,700
Alcoholism and Substance Abuse Services, Office of	80,924	76,276	77,734	78,890	80,035
OASAS	34,560	27,723	28,520	29,005	29,479
OASAS - Other	46,364	48,553	49,214	49,885	50,556
Quality of Care and Advocacy for Persons with Disabilities, Commission on	6,573	6,959	7,040	7,159	7,266
Functional Total	3,085,682	3,036,683	3,090,701	3,140,402	3,207,255
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,408,959	2,306,440	2,361,982	2,431,760	2,494,698
Criminal Justice Services, Division of	66,113	61,829	65,211	67,098	69,200
Office of Victim Services	4,521	4,828	4,958	4,957	5,016
Statewide Financial System	9,069	40,498	55,484	55,584	55,584
Homeland Security and Emergency Services	15,550	45,111	50,806	38,484	34,694
Homeland Security	0	0	31,283	31,705	32,133
Office of Indigent Legal Services	25,024	1,136	1,180	1,225	1,271
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
Military and Naval Affairs, Division of	28,398	28,269	20,089	20,309	20,531
Parole, Division of	166,348	0	0	0	0
Probation and Correctional Alternatives, Division of	15	0	0	0	0
State Police, Division of	657,091	621,064	603,229	607,657	612,120
Wireless Network	27,655	0	0	0	0
Functional Total	3,416,106	3,117,367	3,202,540	3,267,209	3,333,788
HIGHER EDUCATION					
City University of New York	117,099	136,747	147,380	149,890	149,890
Higher Education Services Corporation	61,052	74,876	79,286	83,829	85,434
Higher Education Miscellaneous	255	220	220	220	220
State University Construction Fund	14,438	18,579	18,684	18,848	18,959
State University of New York	4,880,006	5,020,608	5,185,968	5,333,115	5,466,429
Functional Total	5,072,850	5,251,030	5,431,538	5,585,902	5,720,932
EDUCATION					
Arts, Council on the	4,694	4,300	4,337	4,397	4,464
Education, Department of	131,572	130,578	127,605	127,861	129,353
All Other	131,572	130,578	127,605	127,861	129,353
Functional Total	136,266	134,878	131,942	132,258	133,817

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	33,120	35,469	36,274	36,936	38,728
Civil Service, Department of	17,641	15,778	15,778	16,045	16,206
Deferred Compensation	519	598	605	615	625
Elections, State Board of	5,604	5,024	5,301	5,246	5,468
Employee Relations, Office of	3,000	2,889	2,923	2,963	2,997
Financial Plan Control Board	1,944	2,521	2,583	2,643	2,705
General Services, Office of	127,315	117,476	118,374	121,462	124,233
Inspector General, Office of	5,703	5,430	5,515	5,602	5,671
Labor Management Committee	32,335	50,256	64,874	25,721	25,721
Lottery, Division of	152,955	165,325	164,225	167,665	171,242
Public Employment Relations Board	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
Regulatory Reform, Governor's Office of	1,653	0	0	0	0
State, Department of	38,819	43,323	43,502	44,140	44,699
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
Taxation and Finance, Department of	412,940	380,255	380,337	385,896	391,420
Technology, Office for	22,018	24,498	20,644	20,107	20,774
Veterans' Affairs, Division of	6,060	5,811	5,866	5,937	5,947
Functional Total	872,578	864,424	877,041	851,385	866,997
ELECTED OFFICIALS					
Legislature	222,536	218,795	227,885	232,263	235,415
Judiciary	1,868,890	1,838,800	2,009,862	2,119,121	2,282,141
Audit and Control, Department of	138,841	137,034	145,016	147,538	149,716
Law, Department of	161,877	158,967	166,204	169,692	171,664
Executive Chamber	12,880	13,926	14,203	14,461	15,185
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
Functional Total	2,402,591	2,367,986	2,563,449	2,683,554	2,864,645
ALL OTHER CATEGORIES					
Long-Term Debt Service	62,846	61,594	61,988	61,988	61,988
General State Charges	5,561	0	0	0	0
Miscellaneous	2,942	(452,815)	(198,049)	(428,126)	(428,084)
Functional Total	71,349	(391,221)	(136,061)	(366,138)	(366,096)
TOTAL STATE OPERATIONS SPENDING	17,388,017	16,727,196	17,545,385	17,708,755	18,194,306

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,416	28,980	29,546	30,176	30,820
Alcoholic Beverage Control	8,512	7,822	7,663	7,781	7,781
Consumer Protection Board	1,748	0	0	0	0
Economic Development, Department of	11,923	10,527	10,498	10,643	10,755
Energy Research and Development Authority	2,894	3,365	3,432	3,501	3,501
Financial Services, Department of	152,126	154,798	155,803	157,412	159,036
Olympic Regional Development Authority	2,890	2,134	2,134	2,171	2,197
Public Service, Department of	41,801	43,506	44,750	44,995	45,895
Racing and Wagering Board, State	12,062	10,597	10,724	10,853	10,946
Science, Technology and Innovation, Foundation for	1,983	0	0	0	0
Functional Total	267,355	261,729	264,550	267,532	270,931
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,234	3,908	3,910	3,910	3,910
Environmental Conservation, Department of	186,181	168,558	169,723	169,723	169,723
Environmental Facilities Corporation	6,060	6,185	6,229	6,251	6,273
Parks, Recreation and Historic Preservation, Office of	135,297	124,026	124,900	125,635	125,788
Functional Total	331,772	302,677	304,762	305,419	305,694
TRANSPORTATION					
Motor Vehicles, Department of	51,578	48,221	48,221	48,221	48,221
Transportation, Department of	10,853	10,006	10,107	10,208	10,311
Functional Total	62,431	58,227	58,328	58,429	58,532
HEALTH					
Aging, Office for the	1,641	1,297	1,330	1,363	1,397
Health, Department of	289,860	299,720	304,020	310,565	315,908
Medical Assistance	500	500	500	500	500
Public Health	289,360	299,220	303,520	310,065	315,408
Medicaid Inspector General, Office of	20,818	20,123	20,563	21,013	21,473
Stem Cell and Innovation	534	0	0	0	0
Functional Total	312,853	321,140	325,913	332,941	338,778
SOCIAL WELFARE					
Children and Family Services, Office of	192,793	175,505	193,470	188,674	191,109
OCFS	192,793	175,505	193,470	188,674	191,109
Human Rights, Division of	12,832	7,826	7,923	8,021	8,021
Labor, Department of	32,161	31,103	31,443	31,762	32,080
Housing and Community Renewal, Division of	46,367	40,975	40,412	40,632	40,995
National Commission Services	304	210	212	294	297
Prevention of Domestic Violence, Office for	1,098	1,139	1,152	1,152	1,152
Temporary and Disability Assistance, Office of	62,305	62,388	62,691	63,042	63,203
All Other	434	62,388	62,691	63,042	63,203
Welfare Inspector General, Office of	89,052	730	730	750	758
Workers' Compensation Board	437,446	94,238	94,881	95,719	96,658
Functional Total	437,446	414,114	432,914	430,046	434,273

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,145,258	1,099,568	1,110,378	1,126,565	1,152,225
OMH	405,776	251,483	290,068	292,354	310,872
OMH - Other	739,482	848,085	820,310	834,211	841,353
People with Developmental Disabilities, Office for	1,168,196	1,146,840	1,158,293	1,168,860	1,181,295
OPWDD - Other	1,168,196	1,146,840	1,158,293	1,168,860	1,181,295
Alcoholism and Substance Abuse Services, Office of	59,596	58,221	59,030	59,691	60,349
OASAS	25,103	18,202	18,747	18,977	19,198
OASAS - Other	34,493	40,019	40,283	40,714	41,151
Quality of Care and Advocacy for Persons with Disabilities, Commission on	5,430	5,407	5,450	5,515	5,559
Functional Total	2,378,480	2,310,136	2,333,151	2,360,631	2,399,428
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,082	2,326	2,360	2,376	2,400
Correctional Services, Department of	1,910,202	1,813,930	1,835,991	1,862,666	1,883,729
Criminal Justice Services, Division of	34,806	30,418	31,124	29,943	30,289
Office of Victim Services	3,761	3,778	3,879	3,852	3,887
Statewide Financial System	3,977	9,139	13,819	13,819	13,819
Homeland Security and Emergency Services	6,531	7,535	7,604	7,674	7,744
Homeland Security	0	0	27,758	28,074	28,393
Office of Indigent Legal Services	8	765	780	795	810
Judicial Commissions	3,723	4,093	4,133	4,176	4,217
Military and Naval Affairs, Division of	19,260	16,334	10,058	10,183	10,308
Parole, Division of	133,431	0	0	0	0
State Police, Division of	582,393	524,805	508,640	511,710	514,881
Wireless Network	8,076	0	0	0	0
Functional Total	2,708,250	2,413,123	2,446,146	2,475,268	2,500,477
HIGHER EDUCATION					
City University of New York	85,732	96,651	102,662	104,250	104,250
Higher Education Services Corporation	32,756	32,134	31,445	31,760	32,077
Higher Education Miscellaneous	221	175	175	175	175
State University Construction Fund	12,562	15,544	15,583	15,622	15,661
State University of New York	3,239,364	3,204,204	3,269,446	3,334,647	3,419,704
Functional Total	3,370,635	3,348,708	3,419,311	3,486,454	3,571,867
EDUCATION					
Arts, Council on the	3,098	2,442	2,466	2,491	2,516
Education, Department of	90,078	83,818	84,711	85,811	86,937
All Other	93,176	86,260	87,177	85,811	86,937
Functional Total	96,272	92,500	94,114	94,793	96,370

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	25,181	25,500	26,280	27,083	28,194
Civil Service, Department of	16,153	13,988	13,988	14,207	14,322
Deferred Compensation	374	390	392	396	400
Elections, State Board of	4,205	3,653	3,835	3,698	3,873
Employee Relations, Office of	2,909	2,715	2,744	2,779	2,810
Financial Plan Control Board	1,272	1,657	1,690	1,724	1,758
General Services, Office of	56,079	48,575	49,597	50,310	50,885
Inspector General, Office of	5,519	4,935	4,996	5,057	5,111
Labor Management Committee	9,872	7,776	7,864	7,864	7,864
Lottery, Division of	21,057	24,623	24,623	24,866	25,026
Public Employment Relations Board	3,456	3,278	3,312	3,346	3,381
Public Integrity, Commission on	3,017	2,775	2,919	2,961	3,000
Regulatory Reform, Governor's Office of	1,537	0	0	0	0
State, Department of	28,771	27,225	27,582	27,891	28,093
Tax Appeals, Division of	2,776	2,147	2,147	2,174	2,198
Taxation and Finance, Department of	323,767	295,888	295,967	298,963	302,160
Technology, Office for	11,208	10,532	10,652	10,750	10,879
Veterans' Affairs, Division of	5,570	4,811	4,856	4,917	4,917
Functional Total	522,723	480,276	483,444	488,986	494,871
ELECTED OFFICIALS					
Legislature	174,096	165,284	171,715	175,149	176,901
Judiciary	1,525,120	1,469,455	1,596,945	1,655,493	1,774,616
Audit and Control, Department of	110,320	107,006	114,096	115,489	116,759
Law, Department of	111,750	109,139	114,699	115,895	116,978
Executive Chamber	10,963	11,160	11,337	11,495	12,025
Lieutenant Governor, Office of the	281	393	420	448	486
Functional Total	1,932,530	1,862,437	2,009,212	2,074,069	2,197,765
ALL OTHER CATEGORIES					
General State Charges	3,835	0	0	0	0
Miscellaneous	945	(181,393)	(193,831)	(193,820)	(193,809)
Functional Total	4,780	(181,393)	(193,831)	(193,820)	(193,809)
TOTAL PERSONAL SERVICE SPENDING	12,422,431	11,677,434	11,971,077	12,174,257	12,468,260

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,444	26,885	25,845	26,152	26,750
Alcoholic Beverage Control	4,069	6,182	5,890	6,225	6,225
Consumer Protection Board	191	0	0	0	0
Economic Development, Department of	6,309	9,280	9,926	10,374	10,640
Energy Research and Development Authority	1,850	1,815	1,854	1,895	1,895
Financial Services, Department of	60,184	79,598	74,811	76,569	78,369
Olympic Regional Development Authority	644	2,232	2,232	2,322	2,378
Public Service, Department of	10,976	10,797	11,080	11,372	11,677
Racing and Wagering Board, State	5,994	8,458	5,635	5,767	5,900
Science, Technology and Innovation, Foundation for	229	0	0	0	0
Functional Total	116,890	145,247	137,273	140,676	143,834
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	403	383	383	383	383
Environmental Conservation, Department of	69,341	62,879	59,558	59,558	59,558
Environmental Facilities Corporation	1,062	775	782	787	792
Parks, Recreation and Historic Preservation, Office of	41,725	35,674	36,466	36,466	37,101
Functional Total	112,531	99,711	97,189	97,194	97,834
TRANSPORTATION					
Motor Vehicles, Department of	16,944	20,421	20,415	20,414	20,414
Transportation, Department of	22,601	26,717	27,061	27,441	27,812
Functional Total	39,545	47,138	47,476	47,855	48,226
HEALTH					
Aging, Office for the	36	298	318	328	338
Health, Department of	281,464	309,639	303,786	313,218	320,220
Medical Assistance	22,747	45,855	45,855	45,855	45,855
Public Health	258,717	263,784	257,931	267,363	274,365
Medicaid Inspector General, Office of	7,172	9,339	9,639	9,969	10,319
Stem Cell and Innovation	36,437	45,000	61,373	63,673	50,000
Functional Total	325,109	364,276	375,116	387,188	380,877
SOCIAL WELFARE					
Children and Family Services, Office of	128,477	128,318	137,397	140,695	144,299
OCFS	128,477	128,318	137,397	140,695	144,299
Human Rights, Division of	1,198	1,844	1,928	1,961	1,961
Labor, Department of	12,833	15,068	15,446	15,812	16,182
Housing and Community Renewal, Division of	14,648	12,987	13,438	13,569	13,686
National Commission Services	77	39	39	39	40
Prevention of Domestic Violence, Office for	192	138	146	146	146
Temporary and Disability Assistance, Office of	104,780	107,856	111,502	112,206	113,627
All Other	104,780	107,856	111,502	112,206	113,627
Welfare Inspector General, Office of	660	476	485	485	496
Workers' Compensation Board	61,798	65,064	60,333	61,990	63,552
Functional Total	324,663	331,790	340,714	346,903	353,989

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	310,490	318,566	337,295	347,533	364,848
OMH	76,674	70,367	89,348	91,656	91,063
OMH - Other	233,816	248,199	247,947	255,877	273,785
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	373,896	388,374	399,961	411,395	421,586
OPWDD	(6,620)	176	176	181	181
OPWDD - Other	380,516	388,198	399,785	411,214	421,405
Alcoholism and Substance Abuse Services, Office of	21,328	18,055	18,704	19,199	19,686
OASAS	9,457	9,521	9,773	10,028	10,281
OASAS - Other	11,871	8,534	8,931	9,171	9,405
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,143	1,552	1,590	1,644	1,707
Functional Total	707,202	726,547	757,550	779,771	807,827
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	337	414	432	448	457
Correctional Services, Department of	498,757	492,510	525,991	569,094	610,969
Criminal Justice Services, Division of	31,307	31,411	34,087	37,155	38,911
Office of Victim Services	760	1,050	1,079	1,105	1,129
Statewide Financial System	5,092	31,359	41,665	41,765	41,765
Homeland Security and Emergency Services	9,019	37,576	43,202	30,810	26,950
Homeland Security	0	0	3,525	3,631	3,740
Office of Indigent Legal Services	25,016	371	400	430	461
Judicial Commissions	1,221	1,359	1,393	1,430	1,467
Military and Naval Affairs, Division of	9,138	11,935	10,031	10,126	10,223
Parole, Division of	32,917	0	0	0	0
Probation and Correctional Alternatives, Division of	15	0	0	0	0
State Police, Division of	74,698	96,259	94,589	95,947	97,239
Wireless Network	19,579	0	0	0	0
Functional Total	707,856	704,244	756,394	791,941	833,311
HIGHER EDUCATION					
City University of New York	31,367	40,096	44,718	45,640	45,640
Higher Education Services Corporation	28,296	42,742	47,841	52,069	53,357
Higher Education Miscellaneous	34	45	45	45	45
State University Construction Fund	1,876	3,035	3,101	3,226	3,298
State University of New York	1,640,642	1,816,404	1,916,522	1,998,468	2,046,725
Functional Total	1,702,215	1,902,322	2,012,227	2,099,448	2,149,065
EDUCATION					
Arts, Council on the	1,596	1,858	1,871	1,906	1,948
Education, Department of	41,494	46,760	42,894	42,050	42,416
All Other	41,494	46,760	42,894	42,050	42,416
Functional Total	43,090	48,618	44,765	43,956	44,364

CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	7,939	9,994	9,994	9,853	10,534
Civil Service, Department of	1,524	1,745	1,790	1,838	1,884
Deferred Compensation	145	208	213	219	225
Elections, State Board of	1,399	1,371	1,466	1,548	1,595
Employee Relations, Office of	91	174	179	184	187
Financial Plan Control Board	672	864	893	919	947
General Services, Office of	71,236	68,901	68,777	71,152	73,348
Inspector General, Office of	184	495	519	545	560
Labor Management Committee	22,463	42,480	57,010	17,857	17,857
Lottery, Division of	131,898	140,702	139,602	142,799	146,216
Public Employment Relations Board	532	567	585	603	623
Public Integrity, Commission on	777	874	910	945	972
Regulatory Reform, Governor's Office of	116	0	0	0	0
State, Department of	10,048	16,098	15,920	16,249	16,606
Tax Appeals, Division of	358	367	367	378	387
Taxation and Finance, Department of	89,173	84,367	84,370	86,933	89,260
Technology, Office for	10,810	13,966	9,992	9,357	9,895
Veterans' Affairs, Division of	490	1,000	1,010	1,020	1,030
Functional Total	349,855	384,148	393,597	362,399	372,126
ELECTED OFFICIALS					
Legislature	48,440	53,511	55,970	57,114	58,514
Judiciary	343,770	369,345	412,917	463,628	517,525
Audit and Control, Department of	28,521	30,028	30,920	32,049	32,957
Law, Department of	50,127	49,828	51,505	53,697	54,686
Executive Chamber	1,917	2,766	2,866	2,966	3,160
Lieutenant Governor, Office of the	(2,714)	71	59	31	38
Functional Total	470,061	505,549	554,237	609,485	666,880
ALL OTHER CATEGORIES					
Long-Term Debt Service	62,846	61,594	61,988	61,988	61,988
General State Charges	1,726	0	0	0	0
Miscellaneous	1,997	(271,422)	(4,219)	(234,306)	(234,275)
Functional Total	66,569	(209,828)	57,770	(172,318)	(172,287)
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	4,965,586	5,049,762	5,574,308	5,534,498	5,726,046

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,749	5,142	5,439	5,955	6,533
Alcoholic Beverage Control	4,125	4,379	4,996	5,360	5,360
Economic Development, Department of	21	28	28	28	28
Energy Research and Development Authority	1,406	1,583	1,638	1,758	1,758
Financial Services, Department of	66,829	75,963	82,956	90,004	97,586
Public Service, Department of	20,299	20,860	23,115	25,939	28,280
Racing and Wagering Board, State	3,517	5,130	5,813	6,332	6,858
Functional Total	100,946	113,085	123,985	135,376	146,403
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	37,838	33,294	32,390	31,390	31,390
Environmental Facilities Corporation	2,268	3,122	3,312	3,437	3,532
Parks, Recreation and Historic Preservation, Office of	2,627	3,685	3,685	3,792	3,890
Functional Total	42,733	40,101	39,387	38,619	38,752
TRANSPORTATION					
Motor Vehicles, Department of	27,440	22,930	24,343	25,656	27,511
Transportation, Department of	403	4,902	5,459	5,903	6,373
Functional Total	27,843	27,832	29,802	31,559	33,884
HEALTH					
Aging, Office for the	0	(1)	(1)	(1)	(1)
Health, Department of	33,594	40,596	45,268	48,003	48,003
Public Health	33,594	40,596	45,268	48,003	48,003
Medicaid Inspector General, Office of	94	0	0	0	0
Stem Cell and Innovation	318	0	0	0	0
Functional Total	34,006	40,595	45,267	48,002	48,002
SOCIAL WELFARE					
Children and Family Services, Office of	2,325	2,666	2,666	2,782	2,782
OCFS	2,325	2,666	2,666	2,782	2,782
Labor, Department of	15,601	15,917	17,879	19,145	19,794
Housing and Community Renewal, Division of	16,197	15,483	16,501	17,530	18,670
Temporary and Disability Assistance, Office of	1,449	1,309	1,480	1,585	1,580
All Other	1,449	1,309	1,480	1,585	1,580
Wellate Inspector General, Office of	56	214	221	221	221
Workers' Compensation Board	43,152	45,921	49,887	54,254	58,589
Functional Total	78,780	81,510	88,634	95,517	101,576
MENTAL HYGIENE					
Mental Health, Office of	559,405	526,754	577,060	625,482	693,967
OMH	198,061	153,108	152,532	160,339	187,869
OMH - Other	361,344	373,646	424,528	465,143	506,098
People with Developmental Disabilities, Office for	579,990	549,499	597,216	647,315	704,406
OPWDD - Other	579,990	549,499	597,216	647,315	704,406
Alcoholism and Substance Abuse Services, Office of	28,405	27,254	29,965	32,886	35,723
OASAS	12,170	7,934	8,855	9,778	10,638
OASAS - Other	16,235	19,320	21,110	23,108	25,085
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,017	1,159	1,278	1,382	1,482
Functional Total	1,168,817	1,104,666	1,205,519	1,307,065	1,435,578

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	2,000	0	0	0	0
Criminal Justice Services, Division of	86	201	214	221	236
Office of Victim Services	888	1,236	1,506	1,644	1,644
Statewide Financial System	1	0	0	0	0
Homeland Security and Emergency Services	196	701	705	705	705
Office of Indigent Legal Services	0	364	448	515	586
Military and Naval Affairs, Division of	583	252	252	252	252
State Police, Division of	20,735	4,044	4,257	4,243	4,230
Wireless Network	598	0	0	0	0
Functional Total	<u>25,087</u>	<u>6,798</u>	<u>7,382</u>	<u>7,580</u>	<u>7,653</u>
HIGHER EDUCATION					
City University of New York	6,400	6,541	6,685	6,833	6,833
Higher Education Services Corporation	14,829	13,416	16,421	17,785	19,221
Higher Education Miscellaneous	115	135	135	135	135
State University Construction Fund	4,477	7,593	8,390	9,006	9,650
State University of New York	528,040	505,536	508,156	523,847	555,734
Functional Total	<u>553,861</u>	<u>533,221</u>	<u>539,787</u>	<u>557,606</u>	<u>591,573</u>
EDUCATION					
Education, Department of	29,406	30,446	33,829	36,546	39,506
All Other	29,406	30,446	33,829	36,546	39,506
Functional Total	<u>29,406</u>	<u>30,446</u>	<u>33,829</u>	<u>36,546</u>	<u>39,506</u>
GENERAL GOVERNMENT					
Budget, Division of	2,086	2,194	2,438	2,706	3,011
Civil Service, Department of	219	202	215	235	255
Deferred Compensation	162	176	191	208	225
Financial Plan Control Board	598	809	911	995	1,084
General Services, Office of	1,290	2,144	2,136	2,239	2,398
Lottery, Division of	9,955	11,465	13,055	13,879	13,978
State, Department of	8,844	7,955	8,884	9,613	10,348
Taxation and Finance, Department of	23,256	18,858	21,522	22,820	23,047
Technology, Office for	0	500	500	500	500
Functional Total	<u>46,410</u>	<u>44,303</u>	<u>49,852</u>	<u>53,195</u>	<u>54,846</u>
ELECTED OFFICIALS					
Judiciary	551,580	609,698	650,584	709,790	777,958
Audit and Control, Department of	1,382	1,558	1,600	1,600	1,600
Law, Department of	7,884	9,971	11,254	11,254	11,254
Functional Total	<u>560,846</u>	<u>621,227</u>	<u>663,438</u>	<u>722,644</u>	<u>790,812</u>
ALL OTHER CATEGORIES					
General State Charges	3,426,460	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous	6,300	14,941	94,992	5,005	5,032
Functional Total	<u>3,432,760</u>	<u>3,886,495</u>	<u>4,298,316</u>	<u>4,610,013</u>	<u>4,700,539</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>6,101,495</u>	<u>6,530,279</u>	<u>7,125,198</u>	<u>7,643,722</u>	<u>7,989,124</u>

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
STATE OPERATING FUNDS
CAPITAL PROJECTS SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	18,571	5,000	5,000	5,000	5,000
Functional Total	<u>18,571</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
GENERAL GOVERNMENT					
State, Department of	0	(15)	(15)	(15)	(15)
Functional Total	<u>0</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>
TOTAL CAPITAL PROJECTS SPENDING	<u>18,571</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>	<u>4,985</u>

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,205	13,050	26,947	8,330	3,500
Economic Development Capital Programs	30,190	2,500	2,500	2,500	0
Economic Development, Department of	57,203	31,745	31,881	30,145	16,359
Empire State Development Corporation	895,913	698,935	272,065	368,760	375,945
Energy Research and Development Authority	16,403	16,610	14,000	14,790	14,790
Science, Technology and Innovation, Foundation for	5,740	1,374	0	0	0
Strategic Investment	3,561	4,000	5,000	5,000	5,000
Functional Total	1,014,215	768,214	352,393	429,525	415,594
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	671,782	727,126	531,456	456,706	449,313
Environmental Facilities Corporation	356	343	343	343	343
Hudson River Park Trust	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	40,592	30,058	21,779	21,779	21,779
Functional Total	727,946	757,527	553,578	478,828	471,435
TRANSPORTATION					
Motor Vehicles, Department of	204,814	190,737	195,654	200,577	204,302
Thruway Authority	1,478	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600
Transportation, Department of	3,680,311	3,631,083	3,364,835	3,311,298	3,303,607
Functional Total	4,103,515	4,018,120	3,745,889	3,697,275	3,693,309
HEALTH					
Health, Department of	286,445	503,058	412,223	363,796	88,907
Public Health	286,445	503,058	412,223	363,796	88,907
Functional Total	286,445	503,058	412,223	363,796	88,907
SOCIAL WELFARE					
Children and Family Services, Office of	21,023	20,900	20,900	20,900	20,900
OCFS	21,023	20,900	20,900	20,900	20,900
Housing and Community Renewal, Division of	96,570	83,635	68,181	83,575	70,227
Temporary and Disability Assistance, Office of	45,000	45,000	40,000	30,000	30,000
All Other	45,000	45,000	40,000	30,000	30,000
Functional Total	162,593	149,535	129,081	134,475	121,127
MENTAL HYGIENE					
Mental Health, Office of	129,125	134,090	177,081	192,081	192,081
OMH	129,125	134,090	177,081	192,081	192,081
People with Developmental Disabilities, Office for	24,369	47,069	49,099	43,099	43,099
OPWDD	24,369	47,069	49,099	43,099	43,099
Alcoholism and Substance Abuse Services, Office of	43,218	56,757	133,465	121,922	126,773
OASAS	43,218	56,757	133,465	121,922	126,773
Functional Total	196,712	237,916	359,645	357,102	361,953

**CASH DISBURSEMENTS BY FUNCTION
CAPITAL PROJECTS FUNDS
TOTAL SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	216,232	244,250	253,265	259,675	265,664
Homeland Security and Emergency Services	2,416	17,000	8,000	6,000	7,000
Homeland Security	535	0	0	0	0
Military and Naval Affairs, Division of	23,746	27,152	27,041	26,996	27,007
State Police, Division of	14,597	36,227	47,543	17,874	11,065
Functional Total	257,526	326,629	335,849	310,545	310,736
HIGHER EDUCATION					
City University of New York	9,601	34,705	36,144	36,144	26,810
Higher Education Capital Grants	33,834	48,000	26,592	0	0
State University of New York	852,555	1,033,092	1,068,506	1,046,658	1,025,476
Functional Total	895,990	1,115,797	1,131,242	1,082,802	1,052,286
EDUCATION					
Education, Department of	13,398	45,976	48,637	47,118	37,400
All Other	13,398	45,976	48,637	47,118	37,400
Functional Total	13,398	45,976	48,637	47,118	37,400
GENERAL GOVERNMENT					
General Services, Office of	61,188	78,847	62,613	59,309	69,883
State, Department of	1,373	2,750	0	0	0
Technology, Office for	4,085	216	0	0	0
Functional Total	66,646	81,813	62,613	59,309	69,883
ELECTED OFFICIALS					
Judiciary	9,640	4,500	4,000	8,000	1,580
Functional Total	9,640	4,500	4,000	8,000	1,580
ALL OTHER CATEGORIES					
Miscellaneous	109,953	(121,000) ⁽¹⁾	151,000	100,000	100,000
Functional Total	109,953	(121,000)	151,000	100,000	100,000
TOTAL CAPITAL PROJECTS FUNDS SPENDING	7,844,579	7,885,085	7,286,150	7,068,775	6,724,210

⁽¹⁾ Accommodates anticipated under spending projected to occur as a result of normal capital projected delays and will not hinder the advancement of scheduled capital projects

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of					
Grants to Local Governments	96,013	110,939	126,617	107,576	104,954
State Operations	15,014	24,261	25,955	23,925	23,925
Personal Service	70,045	67,557	67,128	68,110	69,626
Non-Personal Service/Indirect Cost	33,711	31,135	31,744	32,418	33,107
General State Charges	36,334	36,422	35,364	35,692	36,519
Capital Projects	5,749	6,071	6,587	7,211	7,903
	5,205	13,050	26,947	8,330	3,500
Alcoholic Beverage Control	16,706	18,383	18,549	19,366	19,366
State Operations	12,581	14,004	13,553	14,006	14,006
Personal Service	8,512	7,822	7,663	7,781	7,781
Non-Personal Service/Indirect Cost	4,069	6,182	5,890	6,225	6,225
General State Charges	4,125	4,379	4,996	5,360	5,360
Consumer Protection Board	1,939	0	0	0	0
State Operations	1,939	0	0	0	0
Personal Service	1,748	0	0	0	0
Non-Personal Service/Indirect Cost	191	0	0	0	0
Developmental Authority North	10	162	162	162	162
Grants to Local Governments	10	162	162	162	162
Economic Development Capital Programs	30,190	2,500	2,500	2,500	0
Grants to Local Governments	30,617	0	0	0	0
Capital Projects	(427)	2,500	2,500	2,500	0
Economic Development, Department of	78,060	82,944	80,451	76,108	62,700
Grants to Local Governments	57,556	31,119	27,873	24,673	24,673
State Operations	18,232	20,052	20,669	21,262	21,640
Personal Service	11,923	10,527	10,498	10,643	10,755
Non-Personal Service/Indirect Cost	6,309	9,525	10,171	10,619	10,885
General State Charges	21	28	28	28	28
Capital Projects	2,251	31,745	31,881	30,145	16,359
Empire State Development Corporation	931,654	769,087	334,650	396,345	403,530
Grants to Local Governments	926,844	763,652	332,055	392,585	399,685
Capital Projects	4,810	5,435	2,595	3,760	3,845

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Energy Research and Development Authority					
Grants to Local Governments	31,710	32,607	30,158	31,178	31,178
State Operations	9,157	9,234	9,234	9,234	9,234
Personal Service	4,744	5,180	5,286	5,386	5,386
Non-Personal Service/Indirect Cost	2,894	3,365	3,432	3,501	3,501
General State Charges	1,850	1,815	1,854	1,895	1,895
Capital Projects	1,406	1,583	1,638	1,758	1,758
	16,403	16,610	14,000	14,790	14,790
Financial Services, Department of	507,393	527,925	539,136	549,551	560,557
Grants to Local Governments	228,152	217,566	225,566	225,566	225,566
State Operations	212,412	234,396	230,614	233,981	237,405
Personal Service	152,126	154,798	155,803	157,412	159,036
Non-Personal Service/Indirect Cost	60,286	79,598	74,811	76,569	78,369
General State Charges	66,829	75,963	82,956	90,004	97,586
Olympic Regional Development Authority	3,534	4,366	4,366	4,493	4,575
State Operations	3,534	4,366	4,366	4,493	4,575
Personal Service	2,890	2,134	2,134	2,171	2,197
Non-Personal Service/Indirect Cost	644	2,232	2,232	2,322	2,378
Public Service, Department of	75,288	78,822	82,617	85,830	89,507
Grants to Local Governments	0	500	500	500	500
State Operations	54,403	56,612	58,030	58,429	59,677
Personal Service	43,289	45,409	46,583	46,713	47,647
Non-Personal Service/Indirect Cost	11,114	11,203	11,447	11,716	12,030
General State Charges	20,885	21,710	24,087	26,901	29,330
Racing and Wagering Board, State	21,573	24,185	22,172	22,952	23,704
State Operations	18,056	19,055	16,359	16,620	16,846
Personal Service	12,062	10,597	10,724	10,853	10,946
Non-Personal Service/Indirect Cost	5,994	8,458	5,635	5,767	5,900
General State Charges	3,517	5,130	5,813	6,332	6,858
Science, Technology and Innovation, Foundation for	31,138	1,374	0	0	0
Grants to Local Governments	23,186	0	0	0	0
State Operations	2,212	0	0	0	0
Personal Service	1,983	0	0	0	0
Non-Personal Service/Indirect Cost	229	0	0	0	0
Capital Projects	5,740	1,374	0	0	0
Strategic Investment	3,561	4,000	5,000	5,000	5,000
Capital Projects	3,561	4,000	5,000	5,000	5,000
Functional Total	1,828,769	1,657,294	1,246,378	1,301,061	1,305,233

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,718	4,641	4,643	4,643	4,643
State Operations	4,718	4,641	4,643	4,643	4,643
Personal Service	4,234	3,908	3,910	3,910	3,910
Non-Personal Service/Indirect Cost	484	733	733	733	733
Environmental Conservation, Department of	1,024,255	1,047,796	849,066	773,316	765,923
Grants to Local Governments	369,290	351,503	189,953	151,503	151,503
State Operations	302,256	271,062	268,906	268,906	268,906
Personal Service	210,874	192,627	193,792	193,792	193,792
Non-Personal Service/Indirect Cost	91,382	78,435	75,114	75,114	75,114
General State Charges	47,382	43,105	42,201	41,201	41,201
Capital Projects	305,327	382,126	348,006	311,706	304,313
Environmental Facilities Corporation	9,746	10,425	10,666	10,818	10,940
State Operations	7,122	6,960	7,011	7,038	7,065
Personal Service	6,060	6,185	6,229	6,251	6,273
Non-Personal Service/Indirect Cost	1,062	775	782	787	792
General State Charges	2,268	3,122	3,312	3,437	3,532
Capital Projects	356	343	343	343	343
Hudson River Park Trust	15,216	0	0	0	0
Capital Projects	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	260,277	222,166	215,553	216,295	217,359
Grants to Local Governments	18,960	21,120	21,120	21,120	21,120
State Operations	180,237	161,795	163,461	164,096	165,019
Personal Service	136,484	125,036	125,910	126,545	126,808
Non-Personal Service/Indirect Cost	43,753	36,759	37,551	37,551	38,211
General State Charges	2,719	4,193	4,193	4,300	4,441
Capital Projects	58,361	35,058	26,779	26,779	26,779
Functional Total	1,314,212	1,285,028	1,079,928	1,005,072	998,865
TRANSPORTATION					
Motor Vehicles, Department of	320,322	301,689	308,146	314,459	320,123
Grants to Local Governments	15,734	14,800	14,800	14,800	14,800
State Operations	71,816	72,614	72,622	72,636	72,651
Personal Service	52,897	49,661	49,675	49,689	49,703
Non-Personal Service/Indirect Cost	18,919	22,953	22,947	22,947	22,948
General State Charges	27,958	23,538	25,070	26,446	28,370
Capital Projects	204,814	190,737	195,654	200,577	204,302
Thruway Authority	1,478	1,800	1,800	1,800	1,800
Grants to Local Governments	1,478	0	0	0	0
Capital Projects	0	1,800	1,800	1,800	1,800

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Metropolitan Transportation Authority					
Grants to Local Governments	216,912	194,500	183,600	183,600	183,600
	216,912	194,500	183,600	183,600	183,600
Transportation, Department of	8,017,474	7,949,403	7,773,099	7,800,896	7,884,873
Grants to Local Governments	4,892,214	4,966,083	4,967,757	5,042,347	5,137,404
State Operations	40,374	43,536	44,097	44,699	45,300
Personal Service	15,310	13,892	14,037	14,181	14,328
Non-Personal Service/Indirect Cost	25,064	29,644	30,060	30,518	30,972
General State Charges	2,519	6,568	7,538	8,170	8,837
Capital Projects	3,082,367	2,933,216	2,753,707	2,705,680	2,693,332
FUNCTIONAL TOTAL	8,556,186	8,447,392	8,266,645	8,300,755	8,390,396
HEALTH					
Aging, Office for the	232,453	215,943	218,691	218,734	218,778
Grants to Local Governments	222,625	205,350	208,045	208,045	208,045
State Operations	9,828	10,341	10,394	10,437	10,481
Personal Service	8,323	8,923	8,956	8,989	9,023
Non-Personal Service/Indirect Cost	1,505	1,418	1,438	1,448	1,458
General State Charges	0	252	252	252	252
Health, Department of	43,795,710	43,339,859	42,572,774	45,569,114	51,688,340
Medical Assistance	38,624,934	37,728,231	36,958,623	39,869,167	46,126,023
Grants to Local Governments	38,607,687	37,681,876	36,912,268	39,822,812	46,079,668
State Operations	23,247	46,355	46,355	46,355	46,355
Personal Service	500	500	500	500	500
Non-Personal Service/Indirect Cost	22,747	45,855	45,855	45,855	45,855
Medicaid Administration	956,269	1,147,500	1,193,500	1,241,300	1,290,900
Grants to Local Governments	956,269	1,147,500	1,193,500	1,241,300	1,290,900
Public Health	4,214,507	4,464,128	4,420,651	4,458,647	4,271,417
Grants to Local Governments	3,396,015	3,640,384	3,593,768	3,613,072	3,413,497
State Operations	750,575	746,642	745,089	761,066	773,411
Personal Service	346,059	354,537	358,837	365,382	370,725
Non-Personal Service/Indirect Cost	404,516	392,105	386,252	395,684	402,686
General State Charges	60,449	63,602	68,274	71,009	71,009
Capital Projects	7,468	13,500	13,500	13,500	13,500

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Medicaid Inspector General, Office of					
State Operations	66,843	72,652	75,849	78,462	80,409
Personal Service	57,844	61,914	63,425	65,016	66,668
Non-Personal Service/Indirect Cost	41,629	40,246	41,126	42,026	42,946
General State Charges	16,215	21,668	22,299	22,990	23,722
	8,999	10,738	12,424	13,446	13,741
Stem Cell and Innovation					
State Operations	37,289	45,000	61,373	63,673	50,000
Personal Service	36,971	45,000	61,373	63,673	50,000
Non-Personal Service/Indirect Cost	534	0	0	0	0
General State Charges	36,437	45,000	61,373	63,673	50,000
	318	0	0	0	0
Functional Total	44,132,295	43,673,454	42,928,687	45,929,983	52,037,527
SOCIAL WELFARE					
Children and Family Services, Office of					
Children and Family Services	3,144,866	3,145,760	3,296,650	3,510,771	3,670,218
Grants to Local Governments	3,074,511	3,034,659	3,175,268	3,379,201	3,532,363
State Operations	2,640,673	2,603,386	2,716,951	2,925,309	3,070,628
Personal Service	404,378	397,794	424,838	421,301	429,144
Non-Personal Service/Indirect Cost	220,446	205,070	223,035	216,200	218,911
General State Charges	183,932	192,724	201,803	205,101	210,233
Capital Projects	12,215	12,579	12,579	11,691	11,691
	18,245	20,900	20,900	20,900	20,900
Children and Family Services - Other					
Grants to Local Governments	69,355	111,101	121,382	131,570	137,855
	69,355	111,101	121,382	131,570	137,855
Human Rights, Division of					
State Operations	19,104	18,567	19,173	19,458	19,458
Personal Service	18,057	16,037	16,261	16,392	16,392
Non-Personal Service/Indirect Cost	14,686	12,864	12,992	13,090	13,090
General State Charges	3,371	3,173	3,269	3,302	3,302
	1,047	2,530	2,912	3,066	3,066
Labor, Department of					
Grants to Local Governments	710,215	735,381	728,954	711,843	713,120
State Operations	195,795	208,884	192,894	183,347	183,347
Personal Service	407,013	388,544	382,822	371,381	372,069
Non-Personal Service/Indirect Cost	249,256	259,859	255,524	247,423	247,741
General State Charges	157,757	128,685	127,298	123,958	124,328
	107,407	137,953	153,238	157,115	157,704

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Housing and Community Renewal, Division of					
Grants to Local Governments	403,244	408,664	236,083	253,240	241,908
State Operations	308,912	322,750	152,785	168,179	154,831
Personal Service	71,483	64,148	61,108	61,594	62,208
Non-Personal Service/Indirect Cost	55,025	48,131	45,443	45,720	46,141
General State Charges	16,458	16,017	15,665	15,874	16,067
Capital Projects	3,000	3,000	3,000	20,467	21,869
				3,000	3,000
	24,731	14,599	14,601	14,687	14,909
National Commission Services					
Grants to Local Governments	0	350	350	350	350
State Operations	24,731	14,249	14,251	14,337	14,559
Personal Service	661	564	566	652	659
Non-Personal Service/Indirect Cost	24,070	13,685	13,685	13,685	13,900
					1,983
Prevention of Domestic Violence, Office for					
Grants to Local Governments	1,946	1,962	1,983	1,983	1,983
State Operations	666	685	685	685	685
Personal Service	1,280	1,277	1,298	1,298	1,298
Non-Personal Service/Indirect Cost	1,088	1,139	1,152	1,152	1,152
	192	138	146	146	146
					5,190,919
Temporary and Disability Assistance, Office of					
Grants to Local Governments	5,278,082	5,291,905	5,148,521	5,190,919	5,207,931
Welfare Assistance	3,717,714	3,873,111	3,733,288	3,780,942	3,794,640
	3,717,714	3,873,111	3,733,288	3,780,942	3,794,640
All Other					
Grants to Local Governments	1,560,368	1,418,794	1,415,233	1,409,977	1,413,291
State Operations	1,183,687	1,021,100	1,011,916	1,003,366	1,003,366
Personal Service	329,230	344,265	349,215	351,488	354,277
Non-Personal Service/Indirect Cost	166,482	161,811	162,957	164,330	165,513
General State Charges	162,748	182,454	186,258	187,158	188,764
	47,451	53,429	54,102	55,123	55,648
	1,150	1,420	1,436	1,456	1,475
	1,094	1,206	1,215	1,235	1,254
State Operations	434	730	730	750	758
Personal Service	660	476	485	485	496
Non-Personal Service/Indirect Cost	56	214	221	221	221
General State Charges					

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Workers' Compensation Board					
State Operations	198,709	208,755	208,633	215,587	222,423
Personal Service	152,834	162,834	158,746	161,333	163,834
Non-Personal Service/Indirect Cost	89,052	94,238	94,881	95,719	96,658
General State Charges	66,505	68,596	63,865	65,614	67,176
	43,152	45,921	49,887	54,254	58,589
Functional Total	9,782,047	9,827,013	9,656,034	9,919,944	10,093,425
MENTAL HYGIENE					
Mental Health, Office of					
Office of Mental Health	3,332,783	3,256,119	3,501,233	3,694,125	3,896,219
Grants to Local Governments	1,574,729	1,381,709	1,575,484	1,687,367	1,796,589
State Operations	823,456	828,204	964,936	1,064,383	1,128,127
Personal Service	483,021	322,578	380,144	384,748	402,673
Non-Personal Service/Indirect Cost	405,883	252,061	290,646	292,938	311,456
General State Charges	77,138	70,517	89,498	91,810	91,217
Capital Projects	198,566	153,357	152,834	160,666	188,219
	69,686	77,570	77,570	77,570	77,570
Office of Mental Health - Other	1,758,054	1,874,410	1,925,749	2,006,758	2,099,630
Grants to Local Governments	423,412	404,480	432,964	451,527	478,394
State Operations	973,298	1,096,284	1,068,257	1,090,088	1,115,138
Personal Service	739,482	848,085	820,310	834,211	841,353
Non-Personal Service/Indirect Cost	233,816	248,199	247,947	255,877	273,785
General State Charges	361,344	373,646	424,528	465,143	506,098
Mental Hygiene, Department of	345	0	0	0	0
State Operations	345	0	0	0	0
Non-Personal Service/Indirect Cost	345	0	0	0	0
People with Developmental Disabilities, Office for	4,334,649	4,343,324	4,509,531	4,771,278	4,961,946
Office for People with Developmental Disabilities	527,982	566,043	560,026	586,996	602,416
Grants to Local Governments	502,659	468,759	480,954	513,044	528,464
State Operations	1,686	53,632	33,782	34,658	34,658
Personal Service	42	116	116	116	116
Non-Personal Service/Indirect Cost	1,644	53,516	33,666	34,542	34,542
General State Charges	19	62	70	74	74
Capital Projects	23,618	43,590	45,220	39,220	39,220
Office for People with Developmental Disabilities - Other	3,806,667	3,777,281	3,949,505	4,184,282	4,359,530
Grants to Local Governments	1,677,965	1,692,644	1,794,211	1,956,893	2,052,424
State Operations	1,548,712	1,535,138	1,558,078	1,580,074	1,602,700
Personal Service	1,168,196	1,146,940	1,158,293	1,168,860	1,181,295
Non-Personal Service/Indirect Cost	380,516	388,198	399,785	411,214	421,405
General State Charges	579,990	549,499	597,216	647,315	704,406

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Alcoholism and Substance Abuse Services, Office of					
Alcoholism and Substance Abuse Services	488,460	490,827	589,346	596,344	602,851
Grants to Local Governments	434,709	435,673	532,599	534,601	539,565
State Operations	39,889	35,657	35,413	35,948	36,515
Personal Service	29,054	24,274	23,859	24,138	24,411
Non-Personal Service/Indirect Cost	10,835	11,383	11,554	11,810	12,104
General State Charges	12,184	10,529	11,495	12,599	13,688
Capital Projects	1,678	8,968	9,839	13,196	13,083
Alcoholism and Substance Abuse Services - Other	111,688	100,553	103,004	105,673	108,321
Grants to Local Governments	49,089	32,680	32,680	32,680	32,680
State Operations	46,364	48,553	49,214	49,885	50,556
Personal Service	34,493	40,019	40,283	40,714	41,151
Non-Personal Service/Indirect Cost	11,871	8,534	8,931	9,171	9,405
General State Charges	16,235	19,320	21,110	23,108	25,085
Developmental Disabilities Planning Council	2,894	4,200	4,200	4,200	4,200
State Operations	2,894	3,589	3,498	3,439	3,439
Personal Service	1,190	1,197	1,197	1,209	1,209
Non-Personal Service/Indirect Cost	1,704	2,392	2,301	2,230	2,230
General State Charges	0	611	702	761	761
Quality of Care and Advocacy for Persons with Disabilities, Commission on	14,708	15,664	16,066	16,518	17,023
Grants to Local Governments	623	620	620	620	620
State Operations	12,393	13,121	13,241	13,513	13,771
Personal Service	7,012	7,080	7,123	7,205	7,378
Non-Personal Service/Indirect Cost	5,381	6,041	6,118	6,308	6,393
General State Charges	1,692	1,923	2,205	2,385	2,632
Functional Total	8,285,527	8,210,687	8,723,380	9,188,138	9,590,560
PUBLIC PROTECTION					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
State Operations	2,419	2,740	2,792	2,824	2,857
Personal Service	2,082	2,326	2,360	2,376	2,400
Non-Personal Service/Indirect Cost	337	414	432	448	457

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Correctional Services, Department of					
Grants to Local Governments	2,658,021	2,564,830	2,650,095	2,727,015	2,796,215
State Operations	428	6,243	6,243	6,243	6,243
Personal Service	2,438,604	2,332,922	2,389,172	2,459,682	2,522,893
Non-Personal Service/Indirect Cost	1,939,057	1,839,202	1,861,939	1,889,311	1,910,613
General State Charges	499,547	493,720	527,233	570,371	612,280
Capital Projects	2,757	1,415	1,415	1,415	1,415
	216,232	244,250	253,265	259,675	265,664
Criminal Justice Services, Division of					
Grants to Local Governments	272,069	281,927	285,982	284,737	286,854
State Operations	186,852	200,645	201,055	182,916	182,916
Personal Service	84,965	80,881	84,513	81,400	83,502
Non-Personal Service/Indirect Cost	45,227	40,918	41,674	37,743	38,089
General State Charges	39,738	39,963	42,839	43,657	45,413
	252	401	414	421	436
Office of Victim Services					
Grants to Local Governments	69,522	66,402	66,802	66,939	66,998
State Operations	62,560	58,310	58,310	58,310	58,310
Personal Service	4,950	4,978	5,079	5,052	5,087
Non-Personal Service/Indirect Cost	1,124	1,552	1,581	1,607	1,631
General State Charges	888	1,562	1,832	1,970	1,970
Statewide Financial System					
State Operations	9,070	40,498	55,484	55,584	55,584
Personal Service	9,069	40,498	55,484	55,584	55,584
Non-Personal Service/Indirect Cost	3,977	9,139	13,819	13,819	13,819
General State Charges	5,092	31,359	41,665	41,765	41,765
	1	0	0	0	0
Homeland Security and Emergency Services					
Grants to Local Governments	360,463	451,732	459,131	464,509	457,719
State Operations	326,323	372,941	383,641	403,341	399,341
Personal Service	28,612	58,174	63,869	51,547	47,757
Non-Personal Service/Indirect Cost	12,781	13,786	13,855	13,925	13,995
General State Charges	15,831	44,388	50,014	37,622	33,762
Capital Projects	2,416	3,617	3,621	3,621	3,621
		17,000	8,000	6,000	7,000
Homeland Security					
State Operations	535	0	31,283	31,705	32,133
Personal Service	0	0	31,283	31,705	32,133
Non-Personal Service/Indirect Cost	0	0	27,758	28,074	28,393
Capital Projects	535	0	3,525	3,631	3,740
			0	0	0

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
State Operations	4,944	5,452	5,526	5,606	5,684
Personal Service	3,723	4,093	4,133	4,176	4,217
Non-Personal Service/Indirect Cost	1,221	1,359	1,393	1,430	1,467
Military and Naval Affairs, Division of	99,704	97,766	89,475	89,650	89,883
Grants to Local Governments	3,825	1,194	1,194	1,194	1,194
State Operations	63,905	62,669	54,489	54,709	54,931
Personal Service	41,684	38,480	32,204	32,329	32,454
Non-Personal Service/Indirect Cost	22,221	24,189	22,285	22,380	22,477
General State Charges	8,228	6,751	6,751	6,751	6,751
Capital Projects	23,746	27,152	27,041	26,996	27,007
Office of Indigent Legal Services	90,793	78,500	78,628	78,740	78,857
Grants to Local Governments	65,769	77,000	77,000	77,000	77,000
State Operations	25,024	1,136	1,180	1,225	1,271
Personal Service	8	765	780	795	810
Non-Personal Service/Indirect Cost	25,016	371	400	430	461
General State Charges	0	364	448	515	586
Parole, Division of	176,544	0	0	0	0
Grants to Local Governments	10,196	0	0	0	0
State Operations	166,348	0	0	0	0
Personal Service	133,431	0	0	0	0
Non-Personal Service/Indirect Cost	32,917	0	0	0	0
Probation and Correctional Alternatives, Division of	137	0	0	0	0
Grants to Local Governments	122	0	0	0	0
State Operations	15	0	0	0	0
Non-Personal Service/Indirect Cost	15	0	0	0	0
State Police, Division of	715,440	670,885	662,629	637,424	635,115
State Operations	679,514	628,614	610,829	615,307	619,820
Personal Service	590,458	529,855	513,740	516,860	520,081
Non-Personal Service/Indirect Cost	89,056	98,759	97,089	98,447	99,739
General State Charges	21,329	4,044	4,257	4,243	4,230
Capital Projects	14,597	38,227	47,543	17,874	11,065
Wireless Network	28,253	0	0	0	0
State Operations	27,655	0	0	0	0
Personal Service	8,076	0	0	0	0
Non-Personal Service/Indirect Cost	19,579	0	0	0	0
General State Charges	598	0	0	0	0
Functional Total	4,487,914	4,280,732	4,387,827	4,424,733	4,487,899

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
HIGHER EDUCATION					
City University of New York					
Grants to Local Governments	1,348,692	1,382,975	1,489,440	1,582,041	1,660,940
State Operations	1,215,592	1,204,982	1,299,231	1,389,174	1,477,407
Personal Service	117,099	136,747	147,380	149,890	149,890
Non-Personal Service/Indirect Cost	85,732	96,651	102,662	104,250	104,250
General State Charges	31,367	40,096	44,718	45,640	45,640
Capital Projects	6,400	6,541	6,685	6,833	6,833
	9,601	34,705	36,144	36,144	26,810
	958,654	1,006,754	1,075,270	1,079,807	1,082,533
Higher Education Services Corporation					
Grants to Local Governments	872,756	905,861	966,962	965,592	965,277
State Operations	69,013	87,108	91,518	96,061	97,666
Personal Service	32,916	32,970	32,281	32,586	32,913
Non-Personal Service/Indirect Cost	36,097	54,138	59,237	63,465	64,753
General State Charges	14,885	13,785	16,790	18,154	19,590
	33,834	48,000	26,592	0	0
Higher Education Capital Grants					
Grants to Local Governments	34,266	38,000	17,592	0	0
Capital Projects	(432)	10,000	9,000	0	0
	370	355	355	355	355
Higher Education Miscellaneous					
State Operations	255	220	220	220	220
Personal Service	221	175	175	175	175
Non-Personal Service/Indirect Cost	34	45	45	45	45
General State Charges	115	135	135	135	135
	18,915	26,172	27,074	27,854	28,609
State University Construction Fund					
State Operations	14,438	18,579	18,684	18,848	18,950
Personal Service	12,562	15,544	15,583	15,622	15,661
Non-Personal Service/Indirect Cost	1,876	3,035	3,101	3,226	3,298
General State Charges	4,477	7,593	8,390	9,006	9,650
	7,142,170	7,284,878	7,454,149	7,595,139	7,739,158
State University of New York					
Grants to Local Governments	562,529	490,734	456,611	456,611	456,611
State Operations	5,199,205	5,420,826	5,420,826	5,567,973	5,701,287
Personal Service	3,248,683	3,211,431	3,276,673	3,341,874	3,426,931
Non-Personal Service/Indirect Cost	1,950,522	2,044,035	2,144,153	2,226,089	2,274,356
General State Charges	528,116	505,686	508,206	523,897	555,784
Capital Projects	852,320	1,033,092	1,068,506	1,046,658	1,025,476
	9,500,635	9,749,134	10,072,880	10,285,196	10,511,595
Functional Total					

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
EDUCATION					
Arts, Council on the					
Grants to Local Governments	46,018	36,553	36,590	36,650	36,717
State Operations	41,324	32,153	32,153	32,153	32,153
Personal Service	4,694	4,400	4,437	4,497	4,564
Non-Personal Service/Indirect Cost	3,098	2,442	2,466	2,491	2,516
	1,996	1,958	1,971	2,006	2,048
Education, Department of					
	32,821,070	30,102,462	29,621,150	30,613,086	31,746,434
School Aid					
Grants to Local Governments	26,458,350	23,411,579	23,078,324	23,771,828	24,668,112
	26,458,350	23,411,579	23,078,324	23,771,828	24,668,112
School Aid - Other					
Grants to Local Governments	29,373	0	0	0	0
	29,373	0	0	0	0
STAR Property Tax Relief					
Grants to Local Governments	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs					
Grants to Local Governments	2,159,415	2,164,752	2,013,136	2,135,616	2,244,216
	2,159,415	2,164,752	2,013,136	2,135,616	2,244,216
All Other					
Grants to Local Governments	939,918	1,233,611	1,207,623	1,195,267	1,141,380
State Operations	588,174	762,492	748,579	749,058	704,792
Personal Service	282,877	375,634	341,602	326,254	319,502
Non-Personal Service/Indirect Cost	175,944	171,525	172,944	175,020	175,870
General State Charges	106,933	204,109	168,658	151,234	143,632
Capital Projects	64,236	68,344	82,805	86,837	93,686
	4,631	27,141	34,637	33,118	23,400
Functional Total	32,867,088	30,139,015	29,657,740	30,649,736	31,783,151
GENERAL GOVERNMENT					
Budget, Division of the					
State Operations	35,206	37,663	38,712	39,642	41,739
Personal Service	33,120	35,469	36,274	36,936	38,728
Non-Personal Service/Indirect Cost	25,181	25,500	26,280	27,083	28,194
General State Charges	7,939	9,969	9,994	9,853	10,534
	2,086	2,194	2,438	2,706	3,011
Civil Service, Department of					
State Operations	17,896	15,743	15,993	16,280	16,461
Personal Service	17,677	15,541	15,778	16,045	16,206
Non-Personal Service/Indirect Cost	16,153	13,796	13,988	14,207	14,322
General State Charges	1,524	1,745	1,790	1,838	1,884
	219	202	215	235	255
Deferred Compensation					
State Operations	681	774	796	823	850
Personal Service	519	598	605	615	625
Non-Personal Service/Indirect Cost	374	390	392	396	400
General State Charges	145	208	213	219	225
	162	176	191	208	225

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Electons, State Board of	93,673	55,724	35,301	5,246	5,468
Grants to Local Governments	25,813	2,700	30,000	0	0
State Operations	67,860	53,024	5,301	5,246	5,468
Personal Service	4,374	3,653	3,835	3,698	3,873
Non-Personal Service/Indirect Cost	63,486	49,371	1,466	1,548	1,595
Employee Relations, Office of	3,000	2,889	2,923	2,963	2,997
State Operations	3,000	2,889	2,923	2,963	2,997
Personal Service	2,909	2,715	2,744	2,779	2,810
Non-Personal Service/Indirect Cost	91	174	179	184	187
Financial Plan Control Board	2,542	3,330	3,494	3,638	3,789
State Operations	1,944	2,521	2,583	2,643	2,705
Personal Service	1,272	1,657	1,690	1,724	1,758
Non-Personal Service/Indirect Cost	672	864	893	919	947
General State Charges	598	809	911	995	1,084
General Services, Office of	197,098	204,028	188,684	188,571	202,075
Grants to Local Governments	28	574	574	574	574
State Operations	134,592	122,463	123,361	126,449	129,220
Personal Service	56,079	48,575	49,597	50,310	50,885
Non-Personal Service/Indirect Cost	78,513	73,888	73,764	76,139	78,335
General State Charges	1,290	2,144	2,136	2,239	2,398
Capital Projects	61,188	78,847	62,613	59,309	69,883
Inspector General, Office of	5,703	5,430	5,515	5,602	5,671
State Operations	5,703	5,430	5,515	5,602	5,671
Personal Service	5,519	4,935	4,996	5,057	5,111
Non-Personal Service/Indirect Cost	184	495	519	545	560
Labor Management Committee	32,335	50,256	64,874	25,721	25,721
State Operations	32,335	50,256	64,874	25,721	25,721
Personal Service	9,872	7,776	7,864	7,864	7,864
Non-Personal Service/Indirect Cost	22,463	42,480	57,010	17,857	17,857
Lottery, Division of	162,910	176,790	177,280	181,544	185,220
State Operations	152,955	165,325	164,225	167,665	171,242
Personal Service	21,057	24,623	24,623	24,866	25,026
Non-Personal Service/Indirect Cost	131,898	140,702	139,602	142,799	146,216
General State Charges	9,955	11,465	13,055	13,879	13,978
Public Employment Relations Board	3,988	3,845	3,897	3,949	4,004
State Operations	3,988	3,845	3,897	3,949	4,004
Personal Service	3,456	3,278	3,312	3,346	3,381
Non-Personal Service/Indirect Cost	532	567	585	603	623
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
State Operations	3,794	3,649	3,829	3,906	3,972
Personal Service	3,017	2,775	2,919	2,961	3,000
Non-Personal Service/Indirect Cost	777	874	910	945	972

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
Regulatory Reform, Governor's Office of					
State Operations	1,653	0	0	0	0
Personal Service	1,653	0	0	0	0
Non-Personal Service/Indirect Cost	116	0	0	0	0
State, Department of	184,609	139,831	134,286	135,956	137,560
Grants to Local Governments	130,023	76,633	72,196	72,196	72,196
State Operations	43,263	50,598	50,908	51,681	52,376
Personal Service	32,172	30,689	31,090	31,444	31,690
Non-Personal Service/Indirect Cost	11,091	19,909	19,818	20,237	20,686
General State Charges	9,944	9,865	11,197	12,094	13,003
Capital Projects	1,373	2,735	(15)	(15)	(15)
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
State Operations	3,134	2,514	2,514	2,552	2,585
Personal Service	2,776	2,147	2,147	2,174	2,198
Non-Personal Service/Indirect Cost	358	367	367	378	387
Taxation and Finance, Department of	441,608	400,341	403,241	410,107	415,864
Grants to Local Governments	5,270	926	1,076	1,076	1,076
State Operations	413,082	380,527	380,609	386,174	391,704
Personal Service	323,769	295,948	296,027	299,024	302,222
Non-Personal Service/Indirect Cost	89,313	84,579	84,582	87,150	89,482
General State Charges	23,256	18,888	21,556	22,857	23,084
Technology, Office for	31,341	26,459	21,144	20,607	21,274
Grants to Local Governments	2,086	1,245	0	0	0
State Operations	26,372	24,498	20,644	20,107	20,774
Personal Service	11,208	10,532	10,652	10,750	10,879
Non-Personal Service/Indirect Cost	15,164	13,966	9,992	9,357	9,895
General State Charges	0	500	500	500	500
Capital Projects	2,883	216	0	0	0
Veterans' Affairs, Division of	15,403	16,817	17,019	17,206	17,286
Grants to Local Governments	8,044	9,313	9,373	9,438	9,508
State Operations	6,928	7,034	7,104	7,192	7,202
Personal Service	6,232	5,746	5,800	5,870	5,870
Non-Personal Service/Indirect Cost	696	1,288	1,304	1,322	1,332
General State Charges	431	470	542	576	576
Functional Total	1,236,574	1,146,083	1,119,502	1,064,313	1,092,536

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ELECTED OFFICIALS					
Legislature	222,536	218,795	227,685	232,263	235,415
State Operations	222,536	218,795	227,685	232,263	235,415
Personal Service	174,096	165,284	171,715	175,149	176,901
Non-Personal Service/Indirect Cost	48,440	53,511	55,970	57,114	58,514
Judiciary	2,555,700	2,567,143	2,830,446	3,003,911	3,240,679
Grants to Local Governments	119,798	106,645	158,500	159,500	161,500
State Operations	1,874,663	1,846,300	2,017,362	2,126,621	2,299,641
Personal Service	1,526,975	1,469,455	1,596,945	1,655,493	1,774,616
Non-Personal Service/Indirect Cost	347,688	376,845	420,417	471,128	525,025
General State Charges	551,599	609,698	650,584	709,790	777,958
Capital Projects	9,640	4,500	4,000	8,000	1,580
Audit and Control, Department of	171,821	170,616	178,640	181,162	183,340
Grants to Local Governments	31,598	32,024	32,024	32,024	32,024
State Operations	138,841	137,034	145,016	147,538	149,716
Personal Service	110,320	107,006	114,096	115,489	116,759
Non-Personal Service/Indirect Cost	28,521	30,028	30,920	32,049	32,957
General State Charges	1,382	1,558	1,600	1,600	1,600
Law, Department of	200,712	200,873	210,494	214,416	216,388
State Operations	185,050	182,812	190,292	194,214	196,186
Personal Service	129,276	126,858	132,578	134,089	135,072
Non-Personal Service/Indirect Cost	55,774	55,954	57,714	60,125	61,114
General State Charges	15,662	18,061	20,202	20,202	20,202
Executive Chamber	12,880	13,926	14,203	14,461	15,185
State Operations	12,880	13,926	14,203	14,461	15,185
Personal Service	10,963	11,160	11,337	11,495	12,025
Non-Personal Service/Indirect Cost	1,917	2,766	2,866	2,966	3,160
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
State Operations	(2,433)	464	479	479	524
Personal Service	281	393	420	448	486
Non-Personal Service/Indirect Cost	(2,714)	71	59	31	38
Functional Total	3,161,216	3,171,817	3,461,947	3,646,692	3,891,531
LOCAL GOVERNMENT ASSISTANCE	738,940	729,579	759,762	758,971	759,060
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Grants to Local Governments	738,940	729,579	759,762	758,971	759,060

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS**
(thousands of dollars)

	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
	Actuals*	Projected	Projected	Projected	Projected
Efficiency Incentive Grants Program					
Grants to Local Governments	4,604	9,127	9,028	0	0
	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance					
Grants to Local Governments	3,920	1,960	1,960	1,960	1,960
	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities					
Grants to Local Governments	25,867	25,867	25,867	25,867	25,867
	25,800	25,867	25,867	25,867	25,867
Small Government Assistance					
Grants to Local Governments	2,066	218	218	218	218
	2,066	218	218	218	218
Functional Total	775,330	766,751	796,835	787,016	787,105
ALL OTHER CATEGORIES					
Long-Term Debt Service					
State Operations	5,677,515	5,916,969	6,394,139	6,560,043	6,612,819
	62,846	61,594	61,988	61,988	61,988
Non-Personal Service/Indirect Cost	62,846	61,594	61,988	61,988	61,988
Debt Service	5,614,669	5,855,375	6,332,151	6,498,055	6,550,831
General State Charges					
State Operations	3,432,021	3,871,554	4,203,324	4,605,008	4,695,507
	5,561	0	0	0	0
Personal Service	3,835	0	0	0	0
Non-Personal Service/Indirect Cost	1,726	0	0	0	0
General State Charges	3,426,460	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous					
Grants to Local Governments	(212,383)	(444,491)	4,323	(416,622)	(416,553)
	(326,844)	114,383	(43,620)	(93,501)	(93,501)
State Operations	2,942	(452,815)	(198,049)	(428,126)	(428,084)
Personal Service	945	(181,393)	(193,831)	(193,820)	(193,809)
Non-Personal Service/Indirect Cost	1,997	(271,422)	(4,218)	(234,306)	(234,275)
General State Charges	6,300	14,941	94,992	5,005	5,032
Capital Projects	105,219	(121,000)	151,000	100,000	100,000
Functional Total	8,897,153	9,344,032	10,601,786	10,748,429	10,891,773
TOTAL ALL FUNDS SPENDING	134,824,946	131,698,432	131,999,569	137,251,068	145,861,596

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	96,013	110,939	126,617	107,576	104,954
Alcoholic Beverage Control	16,706	18,383	18,549	19,366	19,366
Developmental Authority North	10	162	162	162	162
Consumer Protection Board	1,939	0	0	0	0
Economic Development Capital Programs	30,190	2,500	2,500	2,500	0
Economic Development, Department of	78,060	82,944	80,451	76,108	62,700
Empire State Development Corporation	931,654	769,087	334,650	396,345	403,530
Energy Research and Development Authority	31,710	32,607	30,158	31,178	31,178
Financial Services, Department of	507,393	527,925	539,136	549,551	560,557
Olympic Regional Development Authority	3,534	4,366	4,366	4,493	4,575
Public Service, Department of	75,288	78,822	82,617	85,830	89,507
Racing and Wagering Board, State	21,573	24,185	22,172	22,952	23,704
Science, Technology and Innovation, Foundation for	31,138	1,374	0	0	0
Strategic Investment	3,561	4,000	5,000	5,000	5,000
Functional Total	1,828,769	1,657,294	1,246,378	1,301,061	1,305,233
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,718	4,641	4,643	4,643	4,643
Environmental Conservation, Department of	1,024,255	1,047,796	849,066	773,316	765,923
Environmental Facilities Corporation	9,746	10,425	10,666	10,818	10,940
Hudson River Park Trust	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	260,277	222,166	215,553	216,295	217,359
Functional Total	1,314,212	1,285,028	1,079,928	1,005,072	998,865
TRANSPORTATION					
Motor Vehicles, Department of	320,322	301,689	308,146	314,459	320,123
Thruway Authority	1,478	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600
Transportation, Department of	8,017,474	7,949,403	7,773,099	7,800,896	7,884,873
Functional Total	8,556,186	8,447,392	8,266,645	8,300,755	8,390,396
HEALTH					
Aging, Office for the	232,453	215,843	218,691	218,734	218,778
Health, Department of	43,795,710	43,339,859	42,572,774	45,569,114	51,688,340
Medical Assistance	38,624,934	37,728,231	36,958,623	39,869,167	46,126,023
Medicaid Administration	956,269	1,147,500	1,193,500	1,241,300	1,290,900
Public Health	4,214,507	4,464,128	4,420,651	4,458,647	4,271,417
Medicaid Inspector General, Office of	66,843	72,652	75,849	78,462	80,409
Stem Cell and Innovation	37,289	45,000	61,373	63,673	50,000
Functional Total	44,132,295	43,673,454	42,928,687	45,929,983	52,037,527

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
SOCIAL WELFARE					
Children and Family Services, Office of	3,144,866	3,144,760	3,296,650	3,510,771	3,670,218
OCFS	3,075,511	3,034,659	3,175,268	3,379,201	3,532,363
OCFS - Other	69,355	111,101	121,382	131,570	137,855
Human Rights, Division of	19,104	18,567	19,173	19,458	19,458
Labor, Department of	710,215	735,381	728,954	711,843	713,120
Housing and Community Renewal, Division of	403,244	408,664	236,083	253,240	241,908
National Commission Services	24,731	14,599	14,601	14,687	14,909
Prevention of Domestic Violence, Office for	1,946	1,962	1,983	1,983	1,983
Temporary and Disability Assistance, Office of	5,278,082	5,291,905	5,148,521	5,190,919	5,207,931
Wellfare Assistance	3,717,714	3,873,111	3,733,288	3,780,942	3,794,640
All Other	1,560,368	1,418,794	1,415,233	1,409,977	1,413,291
Welfare Inspector General, Office of	1,150	1,420	1,436	1,456	1,475
Workers' Compensation Board	198,709	208,755	208,633	215,587	222,423
Functional Total	9,782,047	9,827,013	9,656,034	9,919,944	10,033,425
MENTAL HYGIENE					
Mental Health, Office of	3,332,783	3,256,119	3,501,233	3,694,125	3,896,219
OMH	1,574,729	1,381,709	1,575,484	1,687,367	1,796,589
OMH - Other	1,758,054	1,874,410	1,925,749	2,006,758	2,099,630
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	4,334,649	4,343,324	4,509,531	4,771,278	4,961,946
OPWDD	527,982	566,043	560,026	586,996	602,416
OPWDD - Other	3,806,667	3,777,281	3,949,505	4,184,282	4,359,530
Alcoholism and Substance Abuse Services, Office of	600,148	591,380	692,350	702,017	711,172
OASAS	488,460	490,827	589,346	596,344	602,851
OASAS - Other	111,688	100,553	103,004	105,673	108,321
Developmental Disabilities Planning Council	2,894	4,200	4,200	4,200	4,200
Quality of Care and Advocacy for Persons with Disabilities, Commission on	14,708	15,664	16,066	16,518	17,023
Functional Total	8,285,527	8,210,687	8,723,380	9,188,138	9,590,560
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,658,021	2,584,830	2,650,095	2,727,015	2,796,215
Criminal Justice Services, Division of	272,069	281,927	285,982	284,737	286,854
Office of Victim Services	69,522	66,402	66,802	66,939	66,998
Statewide Financial System	9,070	40,498	55,484	55,584	55,584
Homeland Security and Emergency Services	360,463	451,732	459,131	464,509	457,719
Homeland Security	535	0	31,283	31,705	32,133
Office of Indigent Legal Services	90,793	78,500	78,628	78,740	78,857
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
Military and Naval Affairs, Division of	99,704	97,766	89,475	89,650	89,883
Parole, Division of	176,544	0	0	0	0
Probation and Correctional Alternatives, Division of	137	0	0	0	0
State Police, Division of	715,440	670,885	662,629	637,424	635,115
Wireless Network	28,253	0	0	0	0
Functional Total	4,487,914	4,280,732	4,387,827	4,424,733	4,487,899

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
HIGHER EDUCATION					
City University of New York	1,348,692	1,382,975	1,489,440	1,582,041	1,660,940
Higher Education Services Corporation	956,654	1,006,754	1,075,270	1,079,807	1,082,533
Higher Education Capital Grants	33,834	48,000	26,592	0	0
Higher Education Miscellaneous	370	355	355	355	355
State University Construction Fund	18,915	26,172	27,074	27,854	28,609
State University of New York	7,142,170	7,284,878	7,454,149	7,595,139	7,739,158
Functional Total	9,500,635	9,749,134	10,072,880	10,285,196	10,511,595
EDUCATION					
Aris, Council on the	46,018	36,553	36,590	36,650	36,717
Education, Department of	32,621,070	30,102,462	29,621,150	30,613,086	31,746,434
School Aid	26,458,350	23,411,579	23,078,324	23,771,828	24,668,112
School Aid - Other	29,373	0	0	0	0
STAR Property Tax Relief	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs	2,159,415	2,164,752	2,013,136	2,135,616	2,244,216
All Other	939,918	1,233,611	1,207,623	1,195,267	1,141,380
Functional Total	32,867,088	30,139,015	29,657,740	30,649,736	31,783,151
GENERAL GOVERNMENT					
Budget, Division of	35,206	37,663	38,712	39,642	41,739
Civil Service, Department of	17,896	15,743	15,993	16,280	16,461
Deferred Compensation	681	774	796	823	850
Elections, State Board of	93,673	55,724	35,301	5,246	5,468
Employee Relations, Office of	3,000	2,889	2,923	2,963	2,997
Financial Plan Control Board	2,542	3,330	3,494	3,638	3,789
General Services, Office of	197,098	204,028	188,684	188,571	202,075
Inspector General, Office of	5,703	5,430	5,515	5,602	5,671
Labor Management Committee	32,335	50,256	64,874	25,721	25,721
Lottery, Division of	162,910	176,790	177,280	181,544	185,220
Public Employment Relations Board	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
Regulatory Reform, Governor's Office of	1,653	0	0	0	0
State, Department of	184,609	139,831	134,286	135,956	137,560
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
Taxation and Finance, Department of	441,608	400,341	403,241	410,107	415,864
Technology, Office for	31,341	26,459	21,144	20,607	21,274
Veterans' Affairs, Division of	15,403	16,817	17,019	17,206	17,286
Functional Total	1,236,574	1,146,083	1,119,502	1,064,313	1,092,536

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ELECTED OFFICIALS					
Legislature	222,536	218,795	227,685	232,263	235,415
Judiciary	2,555,700	2,567,143	2,830,446	3,003,911	3,240,679
Audit and Control, Department of	171,821	170,616	178,640	181,162	183,340
Law, Department of	200,712	200,873	210,494	214,416	216,388
Executive Chamber	12,880	13,926	14,203	14,461	15,185
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
Functional Total	3,161,216	3,171,817	3,461,947	3,646,692	3,891,531
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Functional Total	775,330	766,751	796,835	787,016	787,105
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,677,515	5,916,969	6,394,139	6,560,043	6,612,819
General State Charges	3,432,021	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous	(212,383)	(444,491)	4,323	(416,622)	(416,553)
Functional Total	8,897,153	9,344,032	10,601,786	10,748,429	10,891,773
TOTAL ALL FUNDS SPENDING	134,824,946	131,698,432	131,999,569	137,251,068	145,861,596

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	15,014	24,261	25,955	23,925	23,925
Developmental Authority North	10	162	162	162	162
Economic Development Capital Programs	30,617	0	0	0	0
Economic Development, Department of	57,556	31,119	27,873	24,673	24,673
Empire State Development Corporation	926,844	763,652	332,085	392,585	399,685
Energy Research and Development Authority	9,157	9,234	9,234	9,234	9,234
Financial Services, Department of	228,152	217,566	225,566	225,566	225,566
Public Service, Department of	0	500	500	500	500
Science, Technology and Innovation, Foundation for	23,186	0	0	0	0
Functional Total	1,290,536	1,046,494	621,375	676,645	683,745
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	369,290	351,503	189,953	151,503	151,503
Parks, Recreation and Historic Preservation, Office of	18,960	21,120	21,120	21,120	21,120
Functional Total	388,250	372,623	211,073	172,623	172,623
TRANSPORTATION					
Motor Vehicles, Department of	15,734	14,800	14,800	14,800	14,800
Thruway Authority	1,478	0	0	0	0
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600
Transportation, Department of	4,892,214	4,966,083	4,967,757	5,042,347	5,137,404
Functional Total	5,126,338	5,175,383	5,166,157	5,240,747	5,335,804
HEALTH					
Aging, Office for the	222,625	205,350	208,045	208,045	208,045
Health, Department of	42,953,971	42,469,760	41,699,556	44,677,184	50,784,065
Medical Assistance	38,601,687	37,681,876	36,912,268	39,822,812	46,079,668
Medicaid Administration	956,269	1,147,500	1,193,500	1,241,300	1,290,900
Public Health	3,396,015	3,640,384	3,593,788	3,613,072	3,413,497
Functional Total	43,176,596	42,675,110	41,907,601	44,885,229	50,992,110
SOCIAL WELFARE					
Children and Family Services, Office of	2,710,028	2,714,487	2,838,333	3,056,879	3,208,483
OCFS	2,640,673	2,603,386	2,716,951	2,925,309	3,070,628
OCFS - Other	69,355	111,101	121,382	131,570	137,855
Labor, Department of	195,795	208,884	192,894	183,347	183,347
Housing and Community Renewal, Division of	308,912	322,750	152,785	168,179	154,831
National Commission Services	0	350	350	350	350
Prevention of Domestic Violence, Office for	666	685	685	685	685
Temporary and Disability Assistance, Office of	4,901,401	4,894,211	4,745,204	4,784,308	4,798,006
Welfare Assistance	3,717,714	3,873,111	3,733,288	3,780,942	3,794,640
All Other	1,183,687	1,021,100	1,011,916	1,003,366	1,003,366
Functional Total	8,116,802	8,141,367	7,930,251	8,193,748	8,346,702

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,246,868	1,232,684	1,397,900	1,515,910	1,606,521
OMH	823,456	828,204	964,936	1,064,383	1,128,127
OMH - Other	423,412	404,480	432,964	451,527	478,394
People with Developmental Disabilities, Office for	2,180,624	2,161,403	2,275,165	2,469,937	2,580,888
OPWDD	502,659	468,759	480,954	513,044	528,464
OPWDD - Other	1,677,965	1,692,644	1,794,211	1,956,893	2,052,424
Alcoholism and Substance Abuse Services, Office of	483,798	468,353	565,279	567,281	572,245
OASAS	434,709	435,673	532,599	534,601	539,565
OASAS - Other	49,089	32,680	32,680	32,680	32,680
Quality of Care and Advocacy for Persons with Disabilities, Commission on	623	620	620	620	620
Functional Total	3,911,913	3,863,060	4,238,964	4,553,748	4,760,274
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	428	6,243	6,243	6,243	6,243
Criminal Justice Services, Division of	186,852	200,645	201,055	182,916	182,916
Office of Victim Services	62,560	58,310	58,310	58,310	58,310
Homeland Security and Emergency Services	326,323	372,941	383,641	403,341	399,341
Office of Indigent Legal Services	65,769	77,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,825	1,194	1,194	1,194	1,194
Parole, Division of	10,196	0	0	0	0
Probation and Correctional Alternatives, Division of	122	0	0	0	0
Functional Total	656,075	716,333	727,443	729,004	725,004
HIGHER EDUCATION					
City University of New York	1,215,592	1,204,982	1,299,231	1,389,174	1,477,407
Higher Education Services Corporation	872,756	905,861	966,962	965,592	965,277
Higher Education Capital Grants	34,266	38,000	17,592	0	0
State University of New York	562,529	490,734	456,611	456,611	456,611
Functional Total	2,685,143	2,639,577	2,740,396	2,811,377	2,899,295
EDUCATION					
Arts, Council on the	41,324	32,153	32,153	32,153	32,153
Education, Department of	32,469,326	29,631,343	29,162,106	30,166,877	31,309,846
School Aid	26,458,350	23,411,579	23,078,324	23,771,828	24,668,112
School Aid - Other	29,373	0	0	0	0
STAR Property Tax Relief	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs	2,159,415	2,164,752	2,013,136	2,135,616	2,244,216
All Other	588,174	762,492	748,579	749,058	704,792
Functional Total	32,510,650	29,663,496	29,194,259	30,199,030	31,341,999
GENERAL GOVERNMENT					
Elections, State Board of	25,813	2,700	30,000	0	0
General Services, Office of	28	574	574	574	574
State, Department of	130,029	76,633	72,196	72,196	72,196
Taxation and Finance, Department of	5,270	926	1,076	1,076	1,076
Technology, Office for	2,086	1,245	0	0	0
Veterans' Affairs, Division of	8,044	9,313	9,373	9,438	9,508
Functional Total	171,270	91,391	113,219	83,284	83,354

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ELECTED OFFICIALS					
Judiciary	119,798	106,645	158,500	159,500	161,500
Audit and Control, Department of	31,598	32,024	32,024	32,024	32,024
Functional Total	<u>151,396</u>	<u>138,669</u>	<u>190,524</u>	<u>191,524</u>	<u>193,524</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Functional Total	<u>775,330</u>	<u>766,751</u>	<u>796,835</u>	<u>787,016</u>	<u>787,105</u>
ALL OTHER CATEGORIES					
Miscellaneous	(326,844)	114,383	(43,620)	(93,501)	(93,501)
Functional Total	<u>(326,844)</u>	<u>114,383</u>	<u>(43,620)</u>	<u>(93,501)</u>	<u>(93,501)</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>98,633,455</u>	<u>95,404,637</u>	<u>93,794,477</u>	<u>98,430,474</u>	<u>106,227,038</u>

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	70,045	67,557	67,128	68,110	69,626
Alcoholic Beverage Control	12,581	14,004	13,553	14,006	14,006
Consumer Protection Board	1,939	0	0	0	0
Economic Development, Department of	18,232	20,052	20,669	21,262	21,640
Energy Research and Development Authority	4,744	5,180	5,286	5,396	5,396
Financial Services, Department of	212,412	234,396	230,614	233,981	237,405
Olympic Regional Development Authority	3,534	4,366	4,366	4,493	4,575
Public Service, Department of	54,403	56,612	58,030	58,429	59,677
Racing and Wagering Board, State	18,056	19,055	16,359	16,620	16,846
Science, Technology and Innovation, Foundation for	2,212	0	0	0	0
Functional Total	398,158	421,222	416,005	422,297	429,171
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,718	4,641	4,643	4,643	4,643
Environmental Conservation, Department of	302,256	271,062	268,906	268,906	268,906
Environmental Facilities Corporation	7,122	6,960	7,011	7,038	7,065
Parks, Recreation and Historic Preservation, Office of	180,237	161,795	163,461	164,096	165,019
Functional Total	494,333	444,458	444,021	444,683	445,633
TRANSPORTATION					
Motor Vehicles, Department of	71,816	72,614	72,622	72,636	72,651
Transportation, Department of	40,374	43,536	44,097	44,699	45,300
Functional Total	112,190	116,150	116,719	117,335	117,951
HEALTH					
Aging, Office for the	9,828	10,341	10,394	10,437	10,481
Health, Department of	773,822	792,997	791,444	807,421	819,766
Medical Assistance	23,247	46,355	46,355	46,355	46,355
Public Health	750,575	746,642	745,089	761,066	773,411
Medicaid Inspector General, Office of	57,844	61,914	63,425	65,016	66,668
Stem Cell and Innovation	36,971	45,000	61,373	63,673	50,000
Functional Total	878,465	910,252	926,636	946,547	946,915
SOCIAL WELFARE					
Children and Family Services, Office of	404,378	397,794	424,838	421,301	429,144
OCFS	404,378	397,794	424,838	421,301	429,144
Human Rights, Division of	18,057	16,037	16,261	16,392	16,392
Labor, Department of	407,013	388,544	382,822	371,381	372,069
Housing and Community Renewal, Division of	71,483	64,148	61,108	61,594	62,208
National Commission Services	24,731	14,249	14,251	14,337	14,559
Prevention of Domestic Violence, Office for	1,280	1,277	1,298	1,298	1,298
Temporary and Disability Assistance, Office of	329,230	344,265	349,215	351,488	354,277
All/Other	329,230	344,265	349,215	351,488	354,277
Welfare Inspector General, Office of	1,094	1,206	1,215	1,235	1,254
Workers' Compensation Board	155,557	162,834	158,746	161,333	163,834
Functional Total	1,412,823	1,390,354	1,409,754	1,400,359	1,415,035

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,456,319	1,418,862	1,448,401	1,474,836	1,517,811
OMH	483,021	322,578	380,144	384,748	402,673
OMH - Other	973,298	1,096,284	1,068,257	1,090,088	1,115,138
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	1,550,398	1,588,770	1,591,860	1,614,732	1,637,358
OPWDD	1,686	53,632	33,782	34,658	34,658
Alcoholism and Substance Abuse Services, Office of	1,548,712	1,535,138	1,558,078	1,580,074	1,602,700
OASAS	86,253	84,210	84,627	85,833	87,071
OASAS - Other	39,889	35,657	35,413	35,948	36,515
Developmental Disabilities Planning Council	46,364	48,553	49,214	49,885	50,556
Quality of Care and Advocacy for Persons with Disabilities, Commission on	2,894	3,498	3,498	3,439	3,439
	12,393	13,121	13,241	13,513	13,771
Functional Total	3,108,602	3,108,552	3,141,627	3,192,353	3,259,450
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
Corrections Services, Department of	2,438,604	2,332,922	2,389,172	2,459,682	2,522,893
Criminal Justice Services, Division of	84,965	80,881	84,513	81,400	83,502
Office of Victim Services	6,074	6,530	6,660	6,659	6,718
Statewide Financial System	9,069	40,498	55,484	55,584	55,584
Homeland Security and Emergency Services	28,612	58,174	63,868	51,547	47,757
Homeland Security	0	0	31,283	31,705	32,133
Office of Indigent Legal Services	25,024	1,136	1,180	1,225	1,271
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
Military and Naval Affairs, Division of	63,905	62,669	54,489	54,709	54,931
Parole, Division of	166,348	0	0	0	0
Probation and Correctional Alternatives, Division of	15	0	0	0	0
State Police, Division of	679,514	628,614	610,829	615,307	619,820
Wireless Network	27,655	0	0	0	0
Functional Total	3,537,148	3,219,616	3,305,797	3,366,248	3,433,150
HIGHER EDUCATION					
City University of New York	117,099	136,747	147,380	149,890	149,890
Higher Education Services Corporation	69,013	87,108	91,518	96,061	97,666
Higher Education Miscellaneous	255	220	220	220	220
State University Construction Fund	14,438	18,579	18,684	18,848	18,959
State University of New York	5,199,205	5,255,466	5,420,826	5,567,973	5,701,287
Functional Total	5,400,010	5,498,120	5,678,628	5,832,992	5,968,022
EDUCATION					
Arts, Council on the	4,694	4,400	4,437	4,497	4,564
Education, Department of	282,877	375,634	341,602	326,254	319,502
All Other	282,877	375,634	341,602	326,254	319,502
Functional Total	287,571	380,034	346,039	330,751	324,066

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
STATE OPERATIONS SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	33,120	35,469	36,274	36,936	38,728
Civil Service, Department of	17,677	15,541	15,778	16,045	16,206
Deferred Compensation	519	598	605	615	625
Elections, State Board of	67,860	53,024	5,301	5,246	5,468
Employee Relations, Office of	3,000	2,889	2,923	2,963	2,997
Financial Plan Control Board	1,944	2,521	2,583	2,643	2,705
General Services, Office of	134,592	122,463	123,361	126,449	129,220
Inspector General, Office of	5,703	5,430	5,515	5,602	5,671
Labor Management Committee	32,335	50,256	64,874	25,721	25,721
Lottery, Division of	152,955	165,325	164,225	167,665	171,242
Public Employment Relations Board	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
Regulatory Reform, Governor's Office of	1,653	0	0	0	0
State, Department of	43,263	50,598	50,908	51,681	52,376
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
Taxation and Finance, Department of	413,082	380,527	380,609	386,174	391,704
Technology, Office for	26,372	24,498	20,644	20,107	20,774
Veterans' Affairs, Division of	6,928	7,034	7,104	7,192	7,202
Functional Total	951,919	926,181	890,944	865,446	881,200
ELECTED OFFICIALS					
Legislature	222,536	218,795	227,685	232,263	235,415
Judiciary	1,874,663	1,846,300	2,017,362	2,126,621	2,299,641
Audit and Control, Department of	138,841	137,034	145,016	147,538	149,716
Law, Department of	185,050	182,812	190,292	194,214	196,186
Executive Chamber	12,880	13,926	14,203	14,461	15,185
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
Functional Total	2,431,537	2,399,331	2,595,037	2,715,576	2,896,667
ALL OTHER CATEGORIES					
Long-Term Debt Service	62,846	61,594	61,988	61,988	61,988
General State Charges	5,561	0	0	0	0
Miscellaneous	2,942	(452,815)	(198,049)	(428,126)	(428,084)
Functional Total	71,349	(391,221)	(136,061)	(366,138)	(366,096)
TOTAL STATE OPERATIONS SPENDING	19,084,105	18,423,049	19,135,146	19,268,449	19,751,164

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	33,711	31,135	31,744	32,418	33,107
Alcoholic Beverage Control	8,512	7,822	7,663	7,781	7,781
Consumer Protection Board	1,748	0	0	0	0
Economic Development, Department of	11,923	10,527	10,498	10,643	10,755
Energy Research and Development Authority	2,894	3,365	3,432	3,501	3,501
Financial Services, Department of	152,126	154,798	155,803	157,412	159,036
Olympic Regional Development Authority	2,890	2,134	2,134	2,171	2,197
Public Service, Department of	43,289	45,409	46,583	46,713	47,647
Racing and Wagering Board, State	12,062	10,597	10,724	10,853	10,946
Science, Technology and Innovation, Foundation for	1,983	0	0	0	0
Functional Total	271,138	265,787	268,581	271,492	274,970
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,234	3,908	3,910	3,910	3,910
Environmental Conservation, Department of	210,874	192,627	193,792	193,792	193,792
Environmental Facilities Corporation	6,060	6,185	6,229	6,251	6,273
Parks, Recreation and Historic Preservation, Office of	136,484	125,036	125,910	126,545	126,808
Functional Total	357,652	327,756	329,841	330,498	330,783
TRANSPORTATION					
Motor Vehicles, Department of	52,897	49,661	49,675	49,689	49,703
Transportation, Department of	15,310	13,892	14,037	14,181	14,328
Functional Total	68,207	63,553	63,712	63,870	64,031
HEALTH					
Aging, Office for the	8,323	8,923	8,956	8,989	9,023
Health, Department of	346,559	355,037	359,337	365,882	371,225
Medical Assistance	500	500	500	500	500
Public Health	346,059	354,537	358,837	365,382	370,725
Medicaid Inspector General, Office of	41,629	40,246	41,126	42,026	42,946
Stem Cell and Innovation	534	0	0	0	0
Functional Total	397,045	404,206	409,419	416,897	423,194
SOCIAL WELFARE					
Children and Family Services, Office of	220,446	205,070	223,035	216,200	218,911
OCFS	220,446	205,070	223,035	216,200	218,911
Human Rights, Division of	14,686	12,864	12,992	13,090	13,090
Labor, Department of	249,256	289,859	255,524	247,423	247,741
Housing and Community Renewal, Division of	55,025	48,131	45,443	45,720	46,141
National Commission Services	661	564	566	652	659
Prevention of Domestic Violence, Office for	1,088	1,139	1,152	1,152	1,152
Temporary and Disability Assistance, Office of	166,482	161,811	162,957	164,330	165,513
All Other	166,482	161,811	162,957	164,330	165,513
Welfare Inspector General, Office of	434	730	730	750	758
Workers' Compensation Board	89,052	94,238	94,881	95,719	96,658
Functional Total	797,130	784,406	797,280	785,036	790,623

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,145,365	1,100,146	1,110,956	1,127,149	1,152,809
OMH	405,883	252,061	290,646	292,938	311,456
OMH - Other	739,482	848,085	820,310	834,211	841,353
People with Developmental Disabilities, Office for	1,168,238	1,147,056	1,158,409	1,168,976	1,181,411
OPWDD	42	116	116	116	116
OPWDD - Other	1,168,196	1,146,940	1,158,293	1,168,860	1,181,295
Alcoholism and Substance Abuse Services, Office of	63,547	64,293	64,142	64,852	65,562
OASAS	29,054	24,274	23,859	24,138	24,411
OASAS - Other	34,493	40,019	40,283	40,714	41,151
Developmental Disabilities Planning Council	1,190	1,197	1,197	1,209	1,209
Quality of Care and Advocacy for Persons with Disabilities, Commission on	7,012	7,080	7,123	7,205	7,378
Functional Total	2,385,352	2,319,772	2,341,827	2,369,391	2,408,369
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,082	2,326	2,360	2,376	2,400
Correctional Services, Department of	1,939,057	1,839,202	1,861,939	1,889,311	1,910,613
Criminal Justice Services, Division of	45,227	40,918	41,674	37,743	38,089
Office of Victim Services	4,950	4,978	5,079	5,052	5,087
Statewide Financial System	3,977	9,139	13,819	13,819	13,819
Homeland Security and Emergency Services	12,781	13,786	13,855	13,925	13,995
Homeland Security	0	0	27,758	28,074	28,393
Office of Indigent Legal Services	8	765	780	795	810
Judicial Commissions	3,723	4,093	4,133	4,176	4,217
Military and Naval Affairs, Division of	41,684	38,480	32,204	32,329	32,454
Parole, Division of	133,431	0	0	0	0
State Police, Division of	590,458	529,855	513,740	516,860	520,081
Wireless Network	8,076	0	0	0	0
Functional Total	2,785,454	2,483,542	2,517,341	2,544,460	2,569,958
HIGHER EDUCATION					
City University of New York	85,732	96,651	102,662	104,250	104,250
Higher Education Services Corporation	32,916	32,970	32,281	32,596	32,913
Higher Education Miscellaneous	221	175	175	175	175
State University Construction Fund	12,562	15,544	15,583	15,622	15,661
State University of New York	3,248,683	3,211,431	3,276,673	3,341,874	3,426,931
Functional Total	3,380,114	3,356,771	3,427,374	3,494,517	3,579,930
EDUCATION					
Arts, Council on the	3,098	2,442	2,466	2,491	2,516
Education, Department of	175,944	171,525	172,944	175,020	175,870
All Other	175,944	171,525	172,944	175,020	175,870
Functional Total	179,042	173,967	175,410	177,511	178,386

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	25,181	25,500	26,280	27,083	28,194
Civil Service, Department of	16,153	13,796	13,988	14,207	14,322
Deferred Compensation	374	390	392	396	400
Elections, State Board of	4,374	3,653	3,835	3,698	3,873
Employee Relations, Office of	2,909	2,715	2,744	2,779	2,810
Financial Plan Control Board	1,272	1,657	1,690	1,724	1,758
General Services, Office of	56,079	48,575	49,597	50,310	50,885
Inspector General, Office of	5,519	4,935	4,996	5,057	5,111
Labor Management Committee	9,872	7,776	7,864	7,864	7,864
Lottery, Division of	21,057	24,623	24,623	24,866	25,026
Public Employment Relations Board	3,456	3,278	3,312	3,346	3,381
Public Integrity, Commission on	3,017	2,775	2,919	2,961	3,000
Regulatory Reform, Governor's Office of	1,537	0	0	0	0
State, Department of	32,172	30,689	31,090	31,444	31,690
Tax Appeals, Division of	2,776	2,147	2,147	2,174	2,198
Taxation and Finance, Department of	323,769	295,948	296,027	299,024	302,222
Technology, Office for	11,208	10,532	10,652	10,750	10,879
Veterans' Affairs, Division of	6,232	5,746	5,800	5,870	5,870
Functional Total	526,957	464,735	487,956	493,553	499,483
ELECTED OFFICIALS					
Legislature	174,096	165,284	171,715	175,149	176,901
Judiciary	1,526,975	1,469,455	1,596,945	1,655,493	1,774,616
Audit and Control, Department of	110,320	107,006	114,096	115,489	116,759
Law, Department of	129,276	126,858	132,578	134,089	135,072
Executive Chamber	10,963	11,160	11,337	11,495	12,025
Lieutenant Governor, Office of the	281	393	420	448	486
Functional Total	1,951,911	1,880,156	2,027,091	2,092,163	2,215,859
ALL OTHER CATEGORIES					
General State Charges	3,835	0	0	0	0
Miscellaneous	945	(181,393)	(193,831)	(193,820)	(193,809)
Functional Total	4,780	(181,393)	(193,831)	(193,820)	(193,809)
TOTAL PERSONAL SERVICE SPENDING	13,104,782	12,363,258	12,652,001	12,845,568	13,141,777

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	36,334	36,422	35,384	35,692	36,519
Alcoholic Beverage Control	4,069	6,182	5,890	6,225	6,225
Consumer Protection Board	191	0	0	0	0
Economic Development, Department of	6,309	9,525	10,171	10,619	10,885
Energy Research and Development Authority	1,850	1,815	1,854	1,895	1,895
Financial Services, Department of	60,286	79,598	74,811	76,569	78,369
Olympic Regional Development Authority	644	2,232	2,232	2,322	2,378
Public Service, Department of	11,114	11,203	11,447	11,716	12,030
Racing and Wagering Board, State	5,994	8,458	5,635	5,767	5,900
Science, Technology and Innovation, Foundation for	229	0	0	0	0
Functional Total	127,020	155,435	147,424	150,805	154,201
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	484	733	733	733	733
Environmental Conservation, Department of	91,382	78,435	75,114	75,114	75,114
Environmental Facilities Corporation	1,062	775	782	787	792
Parks, Recreation and Historic Preservation, Office of	43,753	36,759	37,551	37,551	38,211
Functional Total	136,681	116,702	114,180	114,185	114,850
TRANSPORTATION					
Motor Vehicles, Department of	18,919	22,953	22,947	22,947	22,948
Transportation, Department of	25,064	29,644	30,060	30,518	30,972
Functional Total	43,983	52,597	53,007	53,465	53,920
HEALTH					
Aging, Office for the	1,505	1,418	1,438	1,448	1,458
Health, Department of	427,263	437,960	432,107	441,539	448,541
Medical Assistance	22,747	45,855	45,855	45,855	45,855
Public Health	404,516	392,105	386,252	395,684	402,686
Medicaid Inspector General, Office of	16,215	21,668	22,299	22,990	23,722
Stem Cell and Innovation	36,437	45,000	61,373	63,673	50,000
Functional Total	481,420	506,046	517,217	529,650	523,721
SOCIAL WELFARE					
Children and Family Services, Office of	183,932	192,724	201,803	205,101	210,233
OCFS	183,932	192,724	201,803	205,101	210,233
Human Rights, Division of	3,371	3,173	3,269	3,302	3,302
Labor, Department of	157,757	128,685	127,298	123,958	124,328
Housing and Community Renewal, Division of	16,458	16,017	15,665	15,874	16,067
National Commission Services	24,070	13,685	13,685	13,685	13,900
Prevention of Domestic Violence, Office for	192	138	146	146	146
Temporary and Disability Assistance, Office of	162,748	182,454	186,258	187,158	188,764
All Other	162,748	182,454	186,258	187,158	188,764
Welfare Inspector General, Office of	660	476	485	485	496
Workers' Compensation Board	66,505	68,596	63,865	65,614	67,176
Functional Total	615,693	605,948	612,474	615,323	624,412

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes indirect costs)**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	310,954	318,716	337,445	347,687	365,002
OMH	77,138	70,517	89,498	91,810	91,217
OMH - Other	233,816	248,199	247,947	255,877	273,785
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	382,160	441,714	433,451	445,756	455,947
OPWDD	1,644	53,516	33,666	34,542	34,542
OPWDD - Other	380,516	388,198	399,785	411,214	421,405
Alcoholism and Substance Abuse Services, Office of	22,706	19,917	20,485	20,981	21,509
OASAS	10,835	11,383	11,554	11,810	12,104
OASAS - Other	11,871	8,534	8,931	9,171	9,405
Developmental Disabilities Planning Council	1,704	2,392	2,301	2,230	2,230
Quality of Care and Advocacy for Persons with Disabilities, Commission on	5,381	6,041	6,118	6,308	6,393
Functional Total	723,250	788,780	799,800	822,962	851,081
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	337	414	432	448	467
Correctional Services, Department of	499,720	493,720	527,233	570,371	612,280
Criminal Justice Services, Division of	39,738	39,963	42,839	43,657	45,413
Office of Victim Services	1,124	1,552	1,581	1,607	1,631
Statewide Financial System	5,092	31,359	41,665	41,765	41,765
Homeland Security and Emergency Services	15,831	44,388	50,014	37,622	33,762
Homeland Security	0	0	3,525	3,631	3,740
Office of Indigent Legal Services	25,016	371	400	430	461
Judicial Commissions	1,221	1,359	1,393	1,430	1,467
Military and Naval Affairs, Division of	22,221	24,189	22,285	22,380	22,477
Parole, Division of	32,917	0	0	0	0
Probation and Correctional Alternatives, Division of	15	0	0	0	0
State Police, Division of	89,056	98,759	97,089	98,447	99,739
Wireless Network	19,579	0	0	0	0
Functional Total	751,694	736,074	788,456	821,788	863,192
HIGHER EDUCATION					
City University of New York	31,367	40,096	44,718	45,640	45,640
Higher Education Services Corporation	36,097	54,138	59,237	63,465	64,753
Higher Education Miscellaneous	34	45	45	45	45
State University Construction Fund	1,876	3,035	3,101	3,226	3,298
State University of New York	1,950,522	2,044,035	2,144,153	2,226,099	2,274,386
Functional Total	2,019,896	2,141,349	2,251,254	2,338,475	2,388,092
EDUCATION					
Arts, Council on the	1,596	1,958	1,971	2,006	2,048
Education, Department of	106,933	204,109	168,658	151,234	143,632
All Other	106,933	204,109	168,658	151,234	143,632
Functional Total	108,529	206,067	170,629	153,240	145,680

CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	7,939	9,969	9,994	9,853	10,534
Civil Service, Department of	1,524	1,745	1,790	1,838	1,884
Deferred Compensation	145	208	213	219	225
Elections, State Board of	63,486	49,371	1,466	1,548	1,595
Employee Relations, Office of	91	174	179	184	187
Financial Plan Control Board	672	864	893	919	947
General Services, Office of	78,513	73,888	73,764	76,139	78,335
Inspector General, Office of	184	495	519	545	560
Labor Management Committee	22,463	42,480	57,010	17,857	17,857
Lottery, Division of	131,898	140,702	139,602	142,799	146,216
Public Employment Relations Board	532	567	585	603	623
Public Integrity, Commission on	777	874	910	945	972
Regulatory Reform, Governor's Office of	116	0	0	0	0
State, Department of	11,091	19,909	19,818	20,237	20,686
Tax Appeals, Division of	358	367	367	378	387
Taxation and Finance, Department of	89,313	84,579	84,582	87,150	89,482
Technology, Office for	15,164	13,966	9,992	9,357	9,895
Veterans' Affairs, Division of	696	1,288	1,304	1,322	1,332
Functional Total	424,962	441,446	402,988	371,893	381,717
ELECTED OFFICIALS					
Legislature	48,440	53,511	55,970	57,114	58,514
Judiciary	347,688	376,845	420,417	471,128	525,025
Audit and Control, Department of	28,521	30,028	30,920	32,049	32,957
Law, Department of	55,774	55,954	57,714	60,125	61,114
Executive Chamber	1,917	2,766	2,866	2,966	3,160
Lieutenant Governor, Office of the	(2,714)	71	59	31	38
Functional Total	479,626	519,175	567,946	623,413	680,808
ALL OTHER CATEGORIES					
Long-Term Debt Service	62,846	61,594	61,988	61,988	61,988
General State Charges	1,726	0	0	0	0
Miscellaneous	1,997	(271,422)	(4,219)	(234,306)	(234,275)
Functional Total	66,569	(209,828)	57,770	(172,318)	(172,287)
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	5,979,323	6,059,791	6,483,145	6,422,881	6,609,387

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,749	6,071	6,587	7,211	7,903
Alcoholic Beverage Control	4,125	4,379	4,996	5,360	5,360
Economic Development, Department of	21	28	28	28	28
Energy Research and Development Authority	1,406	1,583	1,638	1,758	1,758
Financial Services, Department of	66,829	75,963	82,956	90,004	97,586
Public Service, Department of	20,885	21,710	24,087	26,901	29,330
Racing and Wagering Board, State	3,517	5,130	5,813	6,332	6,858
Functional Total	102,532	114,864	126,105	137,594	148,823
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	47,382	43,105	42,201	41,201	41,201
Environmental Facilities Corporation	2,268	3,122	3,312	3,437	3,532
Parks, Recreation and Historic Preservation, Office of	2,719	4,193	4,193	4,300	4,441
Functional Total	52,369	50,420	49,706	48,938	49,174
TRANSPORTATION					
Motor Vehicles, Department of	27,958	23,538	25,070	26,446	28,370
Transportation, Department of	2,519	6,568	7,538	8,170	8,837
Functional Total	30,477	30,106	32,608	34,616	37,207
HEALTH					
Aging, Office for the	0	252	252	252	252
Health, Department of	60,449	63,602	68,274	71,009	71,009
Public Health	60,449	63,602	68,274	71,009	71,009
Medicaid Inspector General, Office of	8,999	10,738	12,424	13,446	13,741
Stem Cell and Innovation	318	0	0	0	0
Functional Total	69,766	74,592	80,950	84,707	85,002
SOCIAL WELFARE					
Children and Family Services, Office of	12,215	12,579	12,579	11,691	11,691
OCFS	12,215	12,579	12,579	11,691	11,691
Human Rights, Division of	1,047	2,530	2,912	3,066	3,066
Labor, Department of	107,407	137,953	153,238	157,115	157,704
Housing and Community Renewal, Division of	19,849	18,766	19,190	20,467	21,869
Temporary and Disability Assistance, Office of	47,451	53,429	54,102	55,123	55,648
All Other	47,451	53,429	54,102	55,123	55,648
Welfare Inspector General, Office of	56	214	221	221	221
Workers' Compensation Board	43,152	45,921	49,887	54,254	58,589
Functional Total	231,177	271,392	292,129	301,937	308,788

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	559,910	527,003	577,362	625,809	694,317
OMH	198,566	153,357	152,834	160,666	188,219
OMH - Other	361,344	373,646	424,528	465,143	506,098
People with Developmental Disabilities, Office for	580,009	549,561	597,286	647,389	704,480
OPWDD	19	62	70	74	74
OPWDD - Other	579,990	549,499	597,216	647,315	704,406
Alcoholism and Substance Abuse Services, Office of	28,419	29,849	32,605	35,707	38,773
OASAS	12,184	10,529	11,495	12,599	13,688
OASAS - Other	16,235	19,320	21,110	23,108	25,085
Developmental Disabilities Planning Council	0	611	702	761	761
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,692	1,923	2,205	2,385	2,632
Functional Total	1,170,030	1,108,947	1,210,160	1,312,051	1,440,963
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	2,757	1,415	1,415	1,415	1,415
Criminal Justice Services, Division of	252	401	414	421	436
Office of Victim Services	888	1,562	1,832	1,970	1,970
Statewide Financial System	1	0	0	0	0
Homeland Security and Emergency Services	3,112	3,617	3,621	3,621	3,621
Military and Naval Affairs, Division of	8,228	6,751	6,751	6,751	6,751
State Police, Division of	21,329	4,044	4,257	4,243	4,230
Wireless Network	598	0	0	0	0
Functional Total	37,165	18,154	18,738	18,936	19,009
HIGHER EDUCATION					
City University of New York	6,400	6,541	6,685	6,833	6,833
Higher Education Services Corporation	14,885	13,785	16,790	18,154	19,590
Higher Education Miscellaneous	115	135	135	135	135
State University Construction Fund	4,477	7,593	8,390	9,006	9,650
State University of New York	528,116	505,586	508,206	523,897	555,784
Functional Total	553,993	533,640	540,206	558,025	591,952
EDUCATION					
Education, Department of	64,236	68,344	82,805	86,837	93,686
All Other	64,236	68,344	82,805	86,837	93,686
Functional Total	64,236	68,344	82,805	86,837	93,686

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	2,086	2,194	2,438	2,706	3,011
Civil Service, Department of	219	202	215	235	255
Deferred Compensation	162	176	191	208	225
Financial Plan Control Board	598	809	911	995	1,084
General Services, Office of	1,290	2,144	2,136	2,239	2,398
Lottery, Division of	9,855	11,465	13,055	13,879	13,978
State, Department of	9,944	9,865	11,197	12,094	13,003
Taxation and Finance, Department of	23,256	18,888	21,556	22,857	23,084
Technology, Office for	0	500	500	500	500
Veterans' Affairs, Division of	431	470	542	576	576
Functional Total	<u>47,941</u>	<u>46,713</u>	<u>52,741</u>	<u>56,289</u>	<u>58,114</u>
ELECTED OFFICIALS					
Judiciary	551,599	609,698	650,584	709,790	777,958
Audit and Control, Department of	1,382	1,558	1,600	1,600	1,600
Law, Department of	15,662	18,061	20,202	20,202	20,202
Functional Total	<u>568,643</u>	<u>629,317</u>	<u>672,386</u>	<u>731,592</u>	<u>799,760</u>
ALL OTHER CATEGORIES					
General State Charges	3,426,460	3,871,554	4,203,324	4,605,008	4,696,507
Miscellaneous	6,300	14,941	94,992	5,005	5,032
Functional Total	<u>3,432,760</u>	<u>3,886,495</u>	<u>4,298,316</u>	<u>4,610,013</u>	<u>4,700,539</u>
TOTAL GENERAL STATE CHARGES SPENDING	<u>6,361,089</u>	<u>6,832,984</u>	<u>7,456,850</u>	<u>7,981,535</u>	<u>8,333,057</u>

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,205	13,050	26,947	8,330	3,500
Economic Development Capital Programs	(427)	2,500	2,500	2,500	0
Economic Development, Department of	2,251	31,745	31,881	30,145	16,359
Empire State Development Corporation	4,810	5,435	2,565	3,760	3,845
Energy Research and Development Authority	16,403	16,610	14,000	14,790	14,790
Science, Technology and Innovation, Foundation for	5,740	1,374	0	0	0
Strategic Investment	3,561	4,000	5,000	5,000	5,000
Functional Total	37,543	74,714	82,893	64,525	43,494
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	305,327	382,126	348,006	311,706	304,313
Environmental Facilities Corporation	356	343	343	343	343
Hudson River Park Trust	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	58,361	35,058	26,779	26,779	26,779
Functional Total	379,260	417,527	375,128	338,828	331,435
TRANSPORTATION					
Motor Vehicles, Department of	204,814	190,737	195,654	200,577	204,302
Thruway Authority	0	1,800	1,800	1,800	1,800
Transportation, Department of	3,082,967	2,933,216	2,753,707	2,705,680	2,693,332
Functional Total	3,287,781	3,125,753	2,951,161	2,908,057	2,899,434
HEALTH					
Health, Department of	7,468	13,500	13,500	13,500	13,500
Public Health	7,468	13,500	13,500	13,500	13,500
Functional Total	7,468	13,500	13,500	13,500	13,500
SOCIAL WELFARE					
Children and Family Services, Office of	18,245	20,900	20,900	20,900	20,900
OCFS	18,245	20,900	20,900	20,900	20,900
Housing and Community Renewal, Division of	3,000	3,000	3,000	3,000	3,000
Functional Total	21,245	23,900	23,900	23,900	23,900
MENTAL HYGIENE					
Mental Health, Office of	69,686	77,570	77,570	77,570	77,570
OMH	69,686	77,570	77,570	77,570	77,570
People with Developmental Disabilities, Office for	23,618	43,590	45,220	39,220	39,220
OPWDD	23,618	43,590	45,220	39,220	39,220
Alcoholism and Substance Abuse Services, Office of	1,678	8,968	9,839	13,196	13,083
OASAS	1,678	8,968	9,839	13,196	13,083
Functional Total	94,982	130,128	132,629	129,986	129,873
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	216,232	244,250	253,265	259,675	265,664
Homeland Security and Emergency Services	2,416	17,000	8,000	6,000	7,000
Homeland Security	535	0	0	0	0
Military and Naval Affairs, Division of	23,746	27,152	27,041	26,996	27,007
State Police, Division of	14,597	38,227	47,543	17,874	11,065
Functional Total	257,526	326,629	335,849	310,545	310,736
HIGHER EDUCATION					

**CASH DISBURSEMENTS BY FUNCTION
ALL GOVERNMENTAL FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
City University of New York	9,601	34,705	36,144	36,144	26,810
Higher Education Capital Grants	(432)	10,000	9,000	0	0
State University of New York	852,320	1,033,092	1,068,506	1,046,658	1,025,476
Functional Total	861,489	1,077,797	1,113,650	1,082,802	1,052,286
EDUCATION					
Education, Department of	4,631	27,141	34,637	33,118	23,400
All Other	4,631	27,141	34,637	33,118	23,400
Functional Total	4,631	27,141	34,637	33,118	23,400
GENERAL GOVERNMENT					
General Services, Office of	61,188	78,847	62,613	59,309	69,883
State, Department of	1,373	2,735	(15)	(15)	(15)
Technology, Office for	2,883	216	0	0	0
Functional Total	65,444	81,798	62,598	59,294	69,868
ELECTED OFFICIALS					
Judiciary	9,640	4,500	4,000	8,000	1,580
Functional Total	9,640	4,500	4,000	8,000	1,580
ALL OTHER CATEGORIES					
Miscellaneous	105,219	(121,000) ⁽¹⁾	151,000	100,000	100,000
Functional Total	105,219	(121,000)	151,000	100,000	100,000
TOTAL CAPITAL PROJECTS SPENDING	5,131,628	5,182,387	5,280,945	5,072,555	4,999,506

⁽¹⁾ Accommodates anticipated under spending projected to occur as a result of normal capital projected delays and will not hinder the advancement of scheduled capital projects
*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	82,828	98,318	113,732	94,538	91,528
Alcoholic Beverage Control	16,706	18,383	18,549	19,366	19,366
Developmental Authority North	10	162	162	162	162
Consumer Protection Board	1,939	0	0	0	0
Economic Development Capital Programs	30,190	2,500	2,500	2,500	0
Economic Development, Department of	78,060	82,599	80,106	75,763	62,355
Empire State Development Corporation	931,654	769,087	334,650	396,345	403,530
Energy Research and Development Authority	31,710	32,607	30,158	31,178	31,178
Financial Services, Department of	507,291	527,925	539,136	549,551	560,557
Olympic Regional Development Authority	3,534	4,366	4,366	4,493	4,575
Public Service, Department of	73,076	75,663	79,445	82,806	86,352
Racing and Wagering Board, State	21,573	24,185	22,172	22,952	23,704
Science, Technology and Innovation, Foundation for	31,138	1,374	0	0	0
Strategic Investment	3,561	4,000	5,000	5,000	5,000
Functional Total	1,813,270	1,641,169	1,229,976	1,284,654	1,286,307
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,637	4,291	4,293	4,293	4,293
Environmental Conservation, Department of	662,504	695,860	658,680	621,380	613,987
Environmental Facilities Corporation	9,746	10,425	10,666	10,818	10,940
Hudson River Park Trust	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	253,619	215,493	208,880	209,622	210,548
Functional Total	945,722	926,069	882,519	846,113	838,768
TRANSPORTATION					
Motor Vehicles, Department of	300,776	282,309	288,633	294,868	300,448
Thruway Authority	1,478	1,800	1,800	1,800	1,800
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600
Transportation, Department of	6,287,184	6,410,335	6,470,005	6,539,999	6,633,495
Functional Total	6,806,350	6,888,944	6,944,038	7,020,267	7,119,343
HEALTH					
Aging, Office for the	118,718	111,175	113,923	113,966	114,010
Health, Department of	14,607,760	18,355,051	18,896,161	19,539,117	19,994,021
Medical Assistance	11,400,560	14,752,826	15,342,913	15,955,913	16,593,713
Medicaid Administration	538,370	573,750	596,750	620,650	645,450
Public Health	2,668,830	3,028,475	2,966,498	2,962,554	2,754,858
Medicaid Inspector General, Office of	28,084	29,462	30,202	30,982	31,792
Stem Cell and Innovation	37,289	45,000	61,373	63,673	50,000
Functional Total	14,791,851	18,540,688	19,101,659	19,747,738	20,189,823

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
SOCIAL WELFARE					
Children and Family Services, Office of	2,012,107	2,044,124	2,257,966	2,475,130	2,632,773
OCFS	1,942,752	1,933,023	2,136,584	2,343,560	2,494,918
OCFS - Other	69,355	111,101	121,382	131,570	137,855
Human Rights, Division of	14,130	9,670	9,851	9,982	9,982
Labor, Department of	71,832	68,780	64,942	66,819	68,096
Housing and Community Renewal, Division of	214,800	186,347	171,799	188,573	176,845
National Commission Services	381	599	601	683	687
Prevention of Domestic Violence, Office for	1,956	1,962	1,983	1,983	1,983
Temporary and Disability Assistance, Office of	1,415,673	1,628,605	1,764,805	1,805,641	1,820,318
Welfare Assistance	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
All Other	372,008	380,403	382,339	374,949	376,526
Welfare Inspector General, Office of	1,150	1,420	1,436	1,456	1,475
Workers' Compensation Board	194,002	205,223	205,101	211,963	218,799
Functional Total	3,926,231	4,146,730	4,478,484	4,762,230	4,930,958
MENTAL HYGIENE					
Mental Health, Office of	3,250,463	3,205,004	3,449,273	3,642,130	3,844,201
OMH	1,492,409	1,330,594	1,523,524	1,636,372	1,744,571
OMH - Other	1,758,054	1,874,410	1,925,749	2,006,758	2,099,630
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	4,322,045	4,289,806	4,475,855	4,736,727	4,927,395
OPWDD	515,378	512,525	526,350	552,445	567,865
OPWDD - Other	3,806,667	3,777,281	3,949,505	4,184,282	4,359,530
Alcoholism and Substance Abuse Services, Office of	448,002	475,881	575,147	584,583	593,416
OASAS	336,314	375,328	472,143	478,910	485,095
OASAS - Other	111,688	100,553	103,004	105,673	108,321
Quality of Care and Advocacy for Persons with Disabilities, Commission on	8,213	8,738	8,938	9,161	9,368
Functional Total	8,029,068	7,979,429	8,509,213	8,972,601	9,374,380
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,627,381	2,556,933	2,621,490	2,697,678	2,766,605
Criminal Justice Services, Division of	216,080	224,875	228,541	231,435	233,552
Office of Victim Services	34,594	34,246	34,646	34,783	34,842
Statewide Financial System	9,070	40,498	55,484	55,584	55,584
Homeland Security and Emergency Services	35,714	126,982	134,381	139,759	132,969
Homeland Security	496	0	31,283	31,705	32,133
Office of Indigent Legal Services	90,793	78,500	78,628	78,740	78,857
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
Military and Naval Affairs, Division of	39,023	39,505	31,214	31,389	31,622
Parole, Division of	176,544	0	0	0	0
Probation and Correctional Alternatives, Division of	137	0	0	0	0
State Police, Division of	692,423	663,335	655,029	629,774	627,415
Wireless Network	28,253	0	0	0	0
Functional Total	3,957,871	3,773,066	3,879,014	3,939,277	4,002,120

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
HIGHER EDUCATION					
City University of New York	1,315,913	1,382,975	1,489,440	1,582,041	1,660,940
Higher Education Services Corporation	889,588	994,153	1,062,669	1,067,206	1,069,932
Higher Education Capital Grants	33,834	48,000	26,592	0	0
Higher Education Miscellaneous	370	355	355	355	355
State University Construction Fund	18,915	26,172	27,074	27,854	28,609
State University of New York	6,733,419	7,042,029	7,211,300	7,352,290	7,496,309
Functional Total	8,992,039	9,493,684	9,817,430	10,029,746	10,256,145
EDUCATION					
Arts, Council on the	45,173	36,033	36,070	36,130	36,197
Education, Department of	26,730,429	24,928,818	25,693,807	26,872,474	27,984,593
School Aid	21,818,927	19,685,993	20,249,776	21,151,328	22,018,112
School Aid - Other	29,373	0	0	0	0
STAR Property Tax Relief	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
Special Education Categorical Programs	924,218	1,196,571	1,373,136	1,455,616	1,529,216
All Other	723,897	753,794	748,828	755,155	744,539
Functional Total	26,775,602	24,964,851	25,729,877	26,908,604	28,020,790
GENERAL GOVERNMENT					
Budget, Division of the	35,206	37,663	38,712	39,642	41,739
Civil Service, Department of	17,896	15,743	15,993	16,280	16,461
Deferred Compensation	681	774	796	823	850
Elections, State Board of	6,186	7,024	35,301	5,246	5,468
Employee Relations, Office of	3,000	2,889	2,923	2,963	2,997
Financial Plan Control Board	2,542	3,330	3,494	3,638	3,789
General Services, Office of	189,821	198,791	183,447	183,334	196,838
Inspector General, Office of	5,703	5,430	5,515	5,602	5,671
Labor Management Committee	32,335	50,256	64,874	25,721	25,721
Lottery, Division of	162,910	176,790	177,280	181,544	185,220
Public Employment Relations Board	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
Regulatory Reform, Governor's Office of	1,653	0	0	0	0
State, Department of	73,531	75,189	69,110	70,477	71,771
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
Taxation and Finance, Department of	441,466	400,039	402,935	409,792	415,543
Technology, Office for	26,987	26,459	21,144	20,607	21,274
Veterans' Affairs, Division of	14,104	15,124	15,239	15,375	15,455
Functional Total	1,024,937	1,025,509	1,047,003	991,451	1,019,358
ELECTED OFFICIALS					
Legislature	222,536	218,795	227,685	232,263	235,415
Judiciary	2,549,908	2,559,643	2,822,946	2,996,411	3,233,179
Audit and Control, Department of	171,821	170,616	178,640	181,162	183,340
Law, Department of	169,761	168,938	177,458	180,946	182,918
Executive Chamber	12,880	13,926	14,203	14,461	15,185
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
Functional Total	3,124,473	3,132,382	3,421,411	3,605,722	3,850,561

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Municipalities with V.L.T. Facilities	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Functional Total	775,330	766,751	796,835	787,016	787,105
ALL OTHER CATEGORIES					
Long-Term Debt Service	5,677,515	5,916,969	6,394,139	6,560,043	6,612,819
General State Charges	3,432,021	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous	46,519	(263,491)	187,323	(183,622)	(183,553)
Functional Total	9,156,055	9,525,032	10,784,786	10,981,429	11,124,773
TOTAL STATE FUNDS SPENDING	90,118,799	92,804,304	96,622,245	99,876,848	102,803,431

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	15,014	24,261	25,955	23,925	23,925
Developmental Authority North	10	162	162	162	162
Economic Development Capital Programs	30,617	0	0	0	0
Economic Development, Department of	57,556	31,019	27,773	24,573	24,573
Empire State Development Corporation	926,844	763,652	332,085	392,585	399,685
Energy Research and Development Authority	9,157	9,234	9,234	9,234	9,234
Financial Services, Department of	228,152	217,566	225,566	225,566	225,566
Public Service, Department of	0	500	500	500	500
Science, Technology and Innovation, Foundation for	23,186	0	0	0	0
Functional Total	1,290,536	1,046,394	621,275	676,545	683,645
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	70,523	61,503	61,503	61,503	61,503
Parks, Recreation and Historic Preservation, Office of	16,933	19,850	19,850	19,850	19,850
Functional Total	87,456	81,353	81,353	81,353	81,353
TRANSPORTATION					
Thruway Authority	1,478	0	0	0	0
Metropolitan Transportation Authority	216,912	194,500	183,600	183,600	183,600
Transportation, Department of	4,266,828	4,306,018	4,406,842	4,485,528	4,574,822
Functional Total	4,485,218	4,500,518	4,590,442	4,669,128	4,758,422
HEALTH					
Aging, Office for the	117,041	109,581	112,276	112,276	112,276
Health, Department of	13,995,374	17,691,596	18,229,587	18,853,831	19,296,390
Medical Assistance	11,377,313	14,706,471	15,296,558	15,909,558	16,547,358
Medicaid Administration	538,370	573,750	596,750	620,650	646,450
Public Health	2,079,691	2,411,375	2,336,279	2,323,623	2,103,582
Functional Total	14,112,415	17,801,177	18,341,863	18,966,107	19,408,666
SOCIAL WELFARE					
Children and Family Services, Office of	1,670,267	1,716,735	1,903,533	2,122,079	2,273,683
OCFS	1,600,912	1,605,634	1,782,151	1,990,509	2,135,828
OCFS - Other	69,355	111,101	121,382	131,570	137,855
Labor, Department of	11,237	6,692	174	100	100
Housing and Community Renewal, Division of	137,988	116,902	101,448	116,842	103,494
National Commission Services	0	350	350	350	350
Prevention of Domestic Violence, Office for	666	685	685	685	685
Temporary and Disability Assistance, Office of	1,247,339	1,457,052	1,589,132	1,628,808	1,641,908
Wellfare Assistance	1,043,865	1,248,202	1,382,466	1,430,692	1,443,792
All Other	203,474	208,850	206,666	198,116	198,116
Functional Total	3,067,097	3,298,416	3,595,322	3,868,864	4,020,220

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,165,624	1,182,546	1,346,970	1,464,980	1,555,591
<i>OMH</i>	742,212	778,066	914,006	1,013,453	1,077,197
<i>OMH - Other</i>	423,412	404,480	432,964	451,527	478,394
People with Developmental Disabilities, Office for	2,176,345	2,161,403	2,275,165	2,469,937	2,580,888
<i>OPWDD</i>	498,380	488,759	480,954	513,044	528,464
<i>OPWDD - Other</i>	1,677,965	1,692,644	1,794,211	1,956,893	2,052,424
Alcoholism and Substance Abuse Services, Office of	336,895	363,383	457,609	459,611	464,575
<i>OASAS</i>	287,906	330,703	424,929	426,931	431,895
<i>OASAS - Other</i>	49,089	32,680	32,680	32,680	32,680
Quality of Care and Advocacy for Persons with Disabilities, Commission on	623	620	620	620	620
Functional Total	3,679,587	3,707,952	4,080,364	4,395,148	4,601,674
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	190	6,243	6,243	6,243	6,243
Criminal Justice Services, Division of	149,881	162,845	163,116	164,116	164,116
Office of Victim Services	29,185	28,182	28,182	28,182	28,182
Homeland Security and Emergency Services	17,552	64,170	74,870	94,570	90,570
Office of Indigent Legal Services	65,769	77,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,659	1,194	1,194	1,194	1,194
Parole, Division of	10,196	0	0	0	0
Probation and Correctional Alternatives, Division of	122	0	0	0	0
Functional Total	276,554	339,634	350,605	371,305	367,305
HIGHER EDUCATION					
City University of New York	1,182,813	1,204,982	1,299,231	1,389,174	1,477,407
Higher Education Services Corporation	813,707	905,861	966,962	965,592	965,277
Higher Education Capital Grants	34,266	38,000	17,592	0	0
State University of New York	473,053	482,793	448,670	448,670	448,670
Functional Total	2,503,839	2,631,636	2,732,455	2,803,436	2,891,354
EDUCATION					
Arts, Council on the	40,479	31,733	31,733	31,733	31,733
Education, Department of	26,564,820	24,740,653	25,497,736	26,674,949	27,792,334
<i>School Aid</i>	21,818,927	19,685,933	20,249,776	21,151,328	22,018,112
<i>School Aid - Other</i>	29,373	0	0	0	0
<i>STAR Property Tax Relief</i>	3,234,014	3,292,620	3,322,067	3,510,375	3,692,726
<i>Special Education Categorical Programs</i>	924,218	1,196,571	1,373,136	1,455,616	1,529,216
<i>All Other</i>	558,288	565,629	552,757	557,630	552,280
Functional Total	26,605,299	24,772,386	25,529,469	26,706,682	27,824,067
GENERAL GOVERNMENT					
Elections, State Board of	582	2,000	30,000	0	0
General Services, Office of	28	324	324	324	324
State, Department of	24,495	21,176	16,739	16,739	16,739
Taxation and Finance, Department of	5,270	926	1,076	1,076	1,076
Technology, Office for	2,086	1,245	0	0	0
Veterans' Affairs, Division of	8,044	9,313	9,373	9,438	9,508
Functional Total	40,505	34,984	57,512	27,577	27,647

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ELECTED OFFICIALS					
Judiciary	119,798	106,645	158,500	159,500	161,500
Audit and Control, Department of	31,598	32,024	32,024	32,024	32,024
Functional Total	<u>151,396</u>	<u>138,669</u>	<u>190,524</u>	<u>191,524</u>	<u>193,524</u>
LOCAL GOVERNMENT ASSISTANCE					
Aid and Incentives for Municipalities	738,940	729,579	759,762	758,971	759,060
Efficiency Incentive Grants Program	4,604	9,127	9,028	0	0
Miscellaneous Financial Assistance	3,920	1,960	1,960	1,960	1,960
Municipalities with VLT Facilities	25,800	25,867	25,867	25,867	25,867
Small Government Assistance	2,066	218	218	218	218
Functional Total	<u>775,330</u>	<u>766,751</u>	<u>796,835</u>	<u>787,016</u>	<u>787,105</u>
ALL OTHER CATEGORIES					
Miscellaneous	(33,259)	345,383	189,380	139,499	139,499
Functional Total	<u>(33,259)</u>	<u>345,383</u>	<u>189,380</u>	<u>139,499</u>	<u>139,499</u>
TOTAL LOCAL ASSISTANCE SPENDING	<u>57,041,973</u>	<u>59,465,253</u>	<u>61,157,399</u>	<u>63,684,184</u>	<u>65,784,481</u>

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	57,860	55,865	55,391	56,328	57,570
Alcoholic Beverage Control	12,581	14,004	13,553	14,006	14,006
Consumer Protection Board	1,939	0	0	0	0
Economic Development, Department of	18,232	19,807	20,424	21,017	21,395
Energy Research and Development Authority	4,744	5,180	5,286	5,396	5,396
Financial Services, Department of	212,310	234,396	230,614	233,981	237,405
Olympic Regional Development Authority	3,534	4,366	4,366	4,493	4,575
Public Service, Department of	52,777	54,303	55,830	56,367	57,572
Racing and Wagering Board, State	18,056	19,055	16,359	16,620	16,846
Science, Technology and Innovation, Foundation for	2,212	0	0	0	0
Functional Total	384,245	406,976	401,823	408,208	414,765
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,637	4,297	4,293	4,293	4,293
Environmental Conservation, Department of	255,622	231,437	229,281	229,281	229,281
Environmental Facilities Corporation	7,122	6,960	7,011	7,038	7,065
Parks, Recreation and Historic Preservation, Office of	177,022	159,700	161,366	162,001	162,889
Functional Total	444,303	402,388	401,951	402,613	403,528
TRANSPORTATION					
Motor Vehicles, Department of	68,522	68,642	68,636	68,635	68,635
Transportation, Department of	33,454	36,723	37,168	37,649	38,123
Functional Total	101,976	105,365	105,804	106,284	106,758
HEALTH					
Aging, Office for the	1,677	1,595	1,648	1,691	1,735
Health, Department of	571,324	609,359	607,806	623,783	636,128
Medical Assistance	23,247	46,355	46,355	46,355	46,355
Public Health	548,077	563,004	561,451	577,428	589,773
Medicaid Inspector General, Office of	27,990	29,462	30,202	30,982	31,792
Stem Cell and Innovation	36,971	45,000	61,373	63,673	50,000
Functional Total	637,962	685,416	701,029	720,129	719,655
SOCIAL WELFARE					
Children and Family Services, Office of	321,270	303,823	330,867	329,369	335,408
OCFS	321,270	303,823	330,867	329,369	335,408
Human Rights, Division of	14,130	9,670	9,851	9,982	9,982
Labor, Department of	44,994	46,171	46,889	47,574	48,262
Housing and Community Renewal, Division of	61,015	53,962	53,850	54,201	54,681
National Commission Services	381	249	251	333	337
Prevention of Domestic Violence, Office for	1,290	1,277	1,298	1,298	1,298
Temporary and Disability Assistance, Office of	167,085	170,244	174,193	175,248	176,830
All Other	167,085	170,244	174,193	175,248	176,830
Welfare Inspector General, Office of	1,094	1,206	1,235	1,254	1,254
Workers' Compensation Board	150,850	159,302	155,214	157,709	160,210
Functional Total	762,109	745,904	773,628	776,949	788,262

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,455,748	1,418,134	1,447,673	1,474,098	1,517,073
OMH	482,450	321,850	379,416	384,010	401,935
OMH - Other	973,298	1,096,284	1,068,257	1,090,088	1,115,138
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	1,542,092	1,535,314	1,558,254	1,580,255	1,602,881
OPWDD	(6,620)	176	176	181	181
OPWDD - Other	1,548,712	1,535,138	1,558,078	1,580,074	1,602,700
Alcoholism and Substance Abuse Services, Office of	80,924	76,276	77,734	78,890	80,035
OASAS	34,560	27,723	28,520	29,005	29,479
OASAS - Other	46,364	48,553	49,214	49,885	50,556
Quality of Care and Advocacy for Persons with Disabilities, Commission on	6,573	6,959	7,040	7,159	7,266
Functional Total	3,085,682	3,036,683	3,090,701	3,140,402	3,207,255
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,419	2,740	2,792	2,824	2,857
Correctional Services, Department of	2,408,959	2,306,440	2,361,982	2,431,760	2,494,698
Criminal Justice Services, Division of	66,113	61,829	65,211	67,098	69,200
Office of Victim Services	4,521	4,828	4,958	4,957	5,016
Statewide Financial System	9,069	40,498	55,484	55,584	55,584
Homeland Security and Emergency Services	15,550	45,111	50,806	38,484	34,694
Homeland Security	0	0	31,283	31,705	32,133
Office of Indigent Legal Services	25,024	1,136	1,180	1,225	1,271
Judicial Commissions	4,944	5,452	5,526	5,606	5,684
Military and Naval Affairs, Division of	28,398	28,269	20,089	20,309	20,531
Parole, Division of	166,348	0	0	0	0
Probation and Correctional Alternatives, Division of	15	0	0	0	0
State Police, Division of	657,091	621,064	603,229	607,657	612,120
Wireless Network	27,655	0	0	0	0
Functional Total	3,416,106	3,117,367	3,202,540	3,267,209	3,333,788
HIGHER EDUCATION					
City University of New York	117,099	136,747	147,380	149,890	149,890
Higher Education Services Corporation	61,052	74,876	79,286	83,829	85,434
Higher Education Miscellaneous	255	220	220	220	220
State University Construction Fund	14,438	18,579	18,684	18,848	18,959
State University of New York	4,880,006	5,020,608	5,185,968	5,333,115	5,466,429
Functional Total	5,072,850	5,251,030	5,431,538	5,585,902	5,720,932
EDUCATION					
Arts, Council on the	4,694	4,300	4,337	4,397	4,464
Education, Department of	131,572	130,578	127,605	127,861	129,353
All Other	131,572	130,578	127,605	127,861	129,353
Functional Total	136,266	134,878	131,942	132,258	133,817

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
STATE OPERATIONS SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	33,120	35,469	36,274	36,936	38,728
Civil Service, Department of	17,677	15,541	15,778	16,045	16,206
Deferred Compensation	519	598	605	615	625
Elections, State Board of	5,604	5,024	5,301	5,246	5,468
Employee Relations, Office of	3,000	2,889	2,923	2,963	2,997
Financial Plan Control Board	1,944	2,521	2,583	2,643	2,705
General Services, Office of	127,315	117,476	118,374	121,462	124,233
Inspector General, Office of	5,703	5,430	5,515	5,602	5,671
Labor Management Committee	32,335	50,256	64,874	25,721	25,721
Lottery, Division of	152,955	165,325	164,225	167,665	171,242
Public Employment Relations Board	3,988	3,845	3,897	3,949	4,004
Public Integrity, Commission on	3,794	3,649	3,829	3,906	3,972
Regulatory Reform, Governor's Office of	1,653	0	0	0	0
State, Department of	38,819	43,323	43,502	44,140	44,699
Tax Appeals, Division of	3,134	2,514	2,514	2,552	2,585
Taxation and Finance, Department of	412,940	380,255	380,337	385,896	391,420
Technology, Office for	22,018	24,498	20,644	20,107	20,774
Veterans' Affairs, Division of	6,060	5,811	5,866	5,937	5,947
Functional Total	872,578	864,424	877,041	851,385	866,997
ELECTED OFFICIALS					
Legislature	222,536	218,795	227,885	232,263	235,415
Judiciary	1,868,890	1,838,800	2,009,862	2,119,121	2,282,141
Audit and Control, Department of	138,841	137,034	145,016	147,538	149,716
Law, Department of	161,877	158,967	166,204	169,692	171,664
Executive Chamber	12,880	13,926	14,203	14,461	15,185
Lieutenant Governor, Office of the	(2,433)	464	479	479	524
Functional Total	2,402,591	2,367,886	2,563,449	2,683,554	2,864,645
ALL OTHER CATEGORIES					
Long-Term Debt Service	62,846	61,594	61,988	61,988	61,988
General State Charges	5,561	0	0	0	0
Miscellaneous	2,942	(452,815)	(198,049)	(428,126)	(428,084)
Functional Total	71,349	(391,221)	(136,061)	(366,138)	(366,096)
TOTAL STATE OPERATIONS SPENDING	17,388,017	16,727,196	17,545,385	17,708,755	18,194,306

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	31,416	28,980	29,546	30,176	30,820
Alcoholic Beverage Control	8,512	7,822	7,663	7,781	7,781
Consumer Protection Board	1,748	0	0	0	0
Economic Development, Department of	11,923	10,527	10,498	10,643	10,755
Energy Research and Development Authority	2,894	3,365	3,432	3,501	3,501
Financial Services, Department of	152,126	154,798	155,803	157,412	159,036
Olympic Regional Development Authority	2,890	2,134	2,134	2,171	2,197
Public Service, Department of	41,801	43,506	44,750	44,995	45,895
Racing and Wagering Board, State	12,062	10,597	10,724	10,853	10,946
Science, Technology and Innovation, Foundation for	1,983	0	0	0	0
Functional Total	267,355	261,729	264,550	267,532	270,931
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	4,234	3,908	3,910	3,910	3,910
Environmental Conservation, Department of	186,181	168,558	169,723	169,723	169,723
Environmental Facilities Corporation	6,060	6,185	6,229	6,251	6,273
Parks, Recreation and Historic Preservation, Office of	135,297	124,026	124,900	125,635	125,788
Functional Total	331,772	302,677	304,762	305,419	305,694
TRANSPORTATION					
Motor Vehicles, Department of	51,578	48,221	48,221	48,221	48,221
Transportation, Department of	10,853	10,006	10,107	10,208	10,311
Functional Total	62,431	58,227	58,328	58,429	58,532
HEALTH					
Aging, Office for the	1,641	1,297	1,330	1,363	1,397
Health, Department of	289,860	299,720	304,020	310,565	315,908
Medical Assistance	500	500	500	500	500
Public Health	289,360	299,220	303,520	310,065	315,408
Medicaid Inspector General, Office of	20,818	20,123	20,563	21,013	21,473
Stem Cell and Innovation	534	0	0	0	0
Functional Total	312,853	321,140	325,913	332,941	338,778
SOCIAL WELFARE					
Children and Family Services, Office of	192,793	175,505	193,470	188,674	191,109
OCFS	192,793	175,505	193,470	188,674	191,109
Human Rights, Division of	12,832	7,826	7,923	8,021	8,021
Labor, Department of	32,161	31,103	31,443	31,762	32,080
Housing and Community Renewal, Division of	46,367	40,975	40,412	40,632	40,995
National Commission Services	304	210	212	294	297
Prevention of Domestic Violence, Office for	1,098	1,139	1,152	1,152	1,152
Temporary and Disability Assistance, Office of	62,305	62,388	62,691	63,042	63,203
All Other	434	730	730	63,042	63,203
Welfare Inspector General, Office of	89,052	94,238	94,881	95,719	96,658
Workers' Compensation Board	437,446	414,114	432,914	430,046	434,273
Functional Total	1,414,114	1,314,114	1,342,914	1,340,046	1,344,273

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	1,145,258	1,099,568	1,110,378	1,126,565	1,152,225
<i>OMH</i>	405,776	251,483	290,068	292,354	310,872
<i>OMH - Other</i>	739,482	848,085	820,310	834,211	841,353
People with Developmental Disabilities, Office for	1,168,196	1,146,840	1,158,293	1,168,860	1,181,295
<i>OPWDD - Other</i>	1,168,196	1,146,840	1,158,293	1,168,860	1,181,295
Alcoholism and Substance Abuse Services, Office of	59,596	58,221	59,030	59,691	60,349
<i>OASAS</i>	25,103	18,202	18,747	18,977	19,198
<i>OASAS - Other</i>	34,493	40,019	40,283	40,714	41,151
Quality of Care and Advocacy for Persons with Disabilities, Commission on	5,430	5,407	5,450	5,515	5,559
Functional Total	2,378,480	2,310,136	2,333,151	2,360,631	2,399,428
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	2,082	2,326	2,360	2,376	2,400
<i>Correctional Services, Department of</i>	1,910,202	1,813,930	1,835,991	1,862,666	1,883,729
<i>Criminal Justice Services, Division of</i>	34,806	30,418	31,124	29,943	30,289
<i>Office of Victim Services</i>	3,761	3,778	3,879	3,852	3,887
<i>Statewide Financial System</i>	3,977	9,139	13,819	13,819	13,819
<i>Homeland Security and Emergency Services</i>	6,531	7,535	7,604	7,674	7,744
<i>Homeland Security</i>	0	0	27,758	28,074	28,393
<i>Office of Indigent Legal Services</i>	8	765	780	795	810
<i>Judicial Commissions</i>	3,723	4,093	4,133	4,176	4,217
<i>Military and Naval Affairs, Division of</i>	19,260	16,334	10,058	10,183	10,308
<i>Parole, Division of</i>	133,431	0	0	0	0
<i>State Police, Division of</i>	582,393	524,805	508,640	511,710	514,881
<i>Wireless Network</i>	8,076	0	0	0	0
Functional Total	2,708,250	2,413,123	2,446,146	2,475,268	2,500,477
HIGHER EDUCATION					
City University of New York	85,732	96,651	102,662	104,250	104,250
<i>Higher Education Services Corporation</i>	32,756	32,134	31,445	31,760	32,077
<i>Higher Education Miscellaneous</i>	221	175	175	175	175
<i>State University Construction Fund</i>	12,562	15,544	15,583	15,622	15,661
<i>State University of New York</i>	3,239,364	3,204,204	3,269,446	3,334,647	3,419,704
Functional Total	3,370,635	3,348,708	3,419,311	3,486,454	3,571,867
EDUCATION					
Arts, Council on the	3,098	2,442	2,466	2,491	2,516
<i>Education, Department of</i>	90,078	83,818	84,711	85,811	86,937
<i>All Other</i>	83,818	84,711	84,711	85,811	86,937
Functional Total	93,176	86,260	87,177	88,302	89,453

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	25,181	25,500	26,280	27,083	28,194
Civil Service, Department of	16,153	13,796	13,988	14,207	14,322
Deferred Compensation	374	390	392	396	400
Elections, State Board of	4,205	3,653	3,835	3,698	3,873
Employee Relations, Office of	2,909	2,715	2,744	2,779	2,810
Financial Plan Control Board	1,272	1,657	1,690	1,724	1,758
General Services, Office of	56,079	48,575	49,597	50,310	50,885
Inspector General, Office of	5,519	4,935	4,996	5,057	5,111
Labor Management Committee	9,872	7,776	7,864	7,864	7,864
Lottery, Division of	21,057	24,623	24,623	24,866	25,026
Public Employment Relations Board	3,456	3,278	3,312	3,346	3,381
Public Integrity, Commission on	3,017	2,775	2,919	2,961	3,000
Regulatory Reform, Governor's Office of	1,537	0	0	0	0
State, Department of	28,771	27,225	27,582	27,891	28,093
Tax Appeals, Division of	2,776	2,147	2,147	2,174	2,198
Taxation and Finance, Department of	323,767	295,888	295,967	298,963	302,160
Technology, Office for	11,208	10,532	10,652	10,750	10,879
Veterans' Affairs, Division of	5,570	4,811	4,856	4,917	4,917
Functional Total	522,723	480,276	483,444	488,986	494,871
ELECTED OFFICIALS					
Legislature	174,096	165,284	171,715	175,149	176,901
Judiciary	1,525,120	1,469,455	1,596,945	1,655,493	1,774,616
Audit and Control, Department of	110,320	107,006	114,096	115,489	116,759
Law, Department of	111,750	109,139	114,699	115,895	116,978
Executive Chamber	10,963	11,160	11,337	11,495	12,025
Lieutenant Governor, Office of the	281	393	420	448	486
Functional Total	1,932,530	1,862,437	2,009,212	2,074,069	2,197,765
ALL OTHER CATEGORIES					
General State Charges	3,835	0	0	0	0
Miscellaneous	945	(181,393)	(193,831)	(193,820)	(193,809)
Functional Total	4,780	(181,393)	(193,831)	(193,820)	(193,809)
TOTAL PERSONAL SERVICE SPENDING	12,422,431	11,677,434	11,971,077	12,174,257	12,468,260

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	26,444	26,885	25,845	26,152	26,750
Alcoholic Beverage Control	4,069	6,182	5,890	6,225	6,225
Consumer Protection Board	191	0	0	0	0
Economic Development, Department of	6,309	9,280	9,926	10,374	10,640
Energy Research and Development Authority	1,850	1,815	1,854	1,895	1,895
Financial Services, Department of	60,184	79,598	74,811	76,569	78,369
Olympic Regional Development Authority	644	2,232	2,232	2,322	2,378
Public Service, Department of	10,976	10,797	11,080	11,372	11,677
Racing and Wagering Board, State	5,994	8,458	5,635	5,767	5,900
Science, Technology and Innovation, Foundation for	229	0	0	0	0
Functional Total	116,890	145,247	137,273	140,676	143,834
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	403	383	383	383	383
Environmental Conservation, Department of	69,341	62,879	59,558	59,558	59,558
Environmental Facilities Corporation	1,062	775	782	787	792
Parks, Recreation and Historic Preservation, Office of	41,725	35,674	36,466	36,466	37,101
Functional Total	112,531	99,711	97,189	97,194	97,834
TRANSPORTATION					
Motor Vehicles, Department of	16,944	20,421	20,415	20,414	20,414
Transportation, Department of	22,601	26,717	27,061	27,441	27,812
Functional Total	39,545	47,138	47,476	47,855	48,226
HEALTH					
Aging, Office for the	36	298	318	328	338
Health, Department of	281,464	309,639	303,786	313,218	320,220
Medical Assistance	22,747	45,855	45,855	45,855	45,855
Public Health	258,717	263,784	257,931	267,363	274,365
Medicaid Inspector General, Office of	7,172	9,339	9,639	9,969	10,319
Stem Cell and Innovation	36,437	45,000	61,373	63,673	50,000
Functional Total	325,109	364,276	375,116	387,188	380,877
SOCIAL WELFARE					
Children and Family Services, Office of	128,477	128,318	137,397	140,695	144,299
OCFS	128,477	128,318	137,397	140,695	144,299
Human Rights, Division of	1,198	1,844	1,928	1,961	1,961
Labor, Department of	12,833	15,068	15,446	15,812	16,182
Housing and Community Renewal, Division of	14,648	12,987	13,438	13,569	13,686
National Commission Services	77	39	39	39	40
Prevention of Domestic Violence, Office for	192	138	146	146	146
Temporary and Disability Assistance, Office of	104,780	107,856	111,502	112,206	113,627
All Other	104,780	107,856	111,502	112,206	113,627
Welfare Inspector General, Office of	660	476	485	485	496
Workers' Compensation Board	61,798	65,064	60,333	61,990	63,552
Functional Total	324,663	331,790	340,714	346,903	353,989

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	310,490	318,566	337,295	347,533	364,848
OMH	76,674	70,367	89,348	91,656	91,063
OMH - Other	233,816	248,199	247,947	255,877	273,785
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	373,896	388,374	399,961	411,395	421,586
OPWDD	(6,620)	176	176	181	181
OPWDD - Other	380,516	388,198	399,785	411,214	421,405
Alcoholism and Substance Abuse Services, Office of	21,328	18,055	18,704	19,199	19,686
OASAS	9,457	9,521	9,773	10,028	10,281
OASAS - Other	11,871	8,534	8,931	9,171	9,405
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,143	1,552	1,590	1,644	1,707
Functional Total	707,202	726,547	757,550	779,771	807,827
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correction, Commission of	337	414	432	448	457
Correctional Services, Department of	498,757	492,510	525,991	569,094	610,969
Criminal Justice Services, Division of	31,307	31,411	34,087	37,155	38,911
Office of Victim Services	760	1,050	1,079	1,105	1,129
Statewide Financial System	5,092	31,359	41,665	41,765	41,765
Homeland Security and Emergency Services	9,019	37,576	43,202	30,810	26,950
Homeland Security	0	0	3,525	3,631	3,740
Office of Indigent Legal Services	25,016	371	400	430	461
Judicial Commissions	1,221	1,359	1,393	1,430	1,467
Military and Naval Affairs, Division of	9,138	11,935	10,031	10,126	10,223
Parole, Division of	32,917	0	0	0	0
Probation and Correctional Alternatives, Division of	15	0	0	0	0
State Police, Division of	74,698	96,259	94,589	95,947	97,239
Wireless Network	19,579	0	0	0	0
Functional Total	707,856	704,244	756,394	791,941	833,311
HIGHER EDUCATION					
City University of New York	31,367	40,096	44,718	45,640	45,640
Higher Education Services Corporation	28,296	42,742	47,841	52,069	53,357
Higher Education Miscellaneous	34	45	45	45	45
State University Construction Fund	1,876	3,035	3,101	3,226	3,298
State University of New York	1,640,642	1,816,404	1,916,522	1,998,468	2,046,725
Functional Total	1,702,215	1,902,322	2,012,227	2,099,448	2,149,065
EDUCATION					
Arts, Council on the	1,596	1,858	1,871	1,906	1,948
Education, Department of	41,494	46,760	42,894	42,050	42,416
All Other	41,494	46,760	42,894	42,050	42,416
Functional Total	43,090	48,618	44,765	43,956	44,364

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	7,939	9,969	9,994	9,853	10,534
Civil Service, Department of	1,524	1,745	1,790	1,838	1,884
Deferred Compensation	145	208	213	219	225
Elections, State Board of	1,389	1,371	1,466	1,548	1,595
Employee Relations, Office of	91	174	179	184	187
Financial Plan Control Board	672	864	893	919	947
General Services, Office of	71,236	68,901	68,777	71,152	73,348
Inspector General, Office of	184	495	519	545	560
Labor Management Committee	22,463	42,480	57,010	17,857	17,857
Lottery, Division of	131,898	140,702	139,602	142,799	146,216
Public Employment Relations Board	532	567	585	603	623
Public Integrity, Commission on	777	874	910	945	972
Regulatory Reform, Governor's Office of	116	0	0	0	0
State, Department of	10,048	16,098	15,920	16,249	16,606
Tax Appeals, Division of	358	367	367	378	387
Taxation and Finance, Department of	89,173	84,367	84,370	86,933	89,260
Technology, Office for	10,810	13,966	9,992	9,357	9,895
Veterans' Affairs, Division of	490	1,000	1,010	1,020	1,030
Functional Total	349,855	384,148	393,597	362,399	372,126
ELECTED OFFICIALS					
Legislature	48,440	53,511	55,970	57,114	58,514
Judiciary	343,770	369,345	412,917	463,628	517,525
Audit and Control, Department of	28,521	30,028	30,920	32,049	32,957
Law, Department of	50,127	49,828	51,505	53,697	54,686
Executive Chamber	1,917	2,766	2,866	2,966	3,160
Lieutenant Governor, Office of the	(2,714)	71	59	31	38
Functional Total	470,061	505,549	554,237	609,485	666,880
ALL OTHER CATEGORIES					
Long-Term Debt Service	62,846	61,594	61,988	61,988	61,988
General State Charges	1,726	0	0	0	0
Miscellaneous	1,997	(271,422)	(4,218)	(234,306)	(234,275)
Functional Total	66,569	(209,828)	57,770	(172,318)	(172,287)
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	4,965,586	5,049,762	5,574,308	5,534,498	5,726,046

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,749	5,142	5,439	5,955	6,533
Alcoholic Beverage Control	4,125	4,379	4,996	5,360	5,360
Economic Development, Department of	21	28	28	28	28
Energy Research and Development Authority	1,406	1,583	1,638	1,758	1,758
Financial Services, Department of	66,829	75,963	82,956	90,004	97,586
Public Service, Department of	20,299	20,860	23,115	25,939	28,280
Racing and Wagering Board, State	3,517	5,130	5,813	6,332	6,858
Functional Total	100,946	113,085	123,985	135,376	146,403
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	37,838	33,294	32,390	31,390	31,390
Environmental Facilities Corporation	2,268	3,122	3,312	3,437	3,532
Parks, Recreation and Historic Preservation, Office of	2,627	3,685	3,685	3,792	3,890
Functional Total	42,733	40,101	39,387	38,619	38,752
TRANSPORTATION					
Motor Vehicles, Department of	27,440	22,930	24,343	25,656	27,511
Transportation, Department of	403	4,902	5,459	5,903	6,373
Functional Total	27,843	27,832	29,802	31,559	33,884
HEALTH					
Aging, Office for the	0	(1)	(1)	(1)	(1)
Health, Department of	33,594	40,596	45,268	48,003	48,003
Public Health	33,594	40,596	45,268	48,003	48,003
Medicaid Inspector General, Office of	94	0	0	0	0
Stem Cell and Innovation	318	0	0	0	0
Functional Total	34,006	40,595	45,267	48,002	48,002
SOCIAL WELFARE					
Children and Family Services, Office of	2,325	2,666	2,666	2,782	2,782
OCFS	2,325	2,666	2,666	2,782	2,782
Labor, Department of	15,601	15,917	17,879	19,145	19,794
Housing and Community Renewal, Division of	16,197	15,483	16,501	17,530	18,670
Temporary and Disability Assistance, Office of	1,449	1,309	1,480	1,585	1,580
All Other	1,449	1,309	1,480	1,585	1,580
Wellate Inspector General, Office of	56	214	221	221	221
Workers' Compensation Board	43,152	45,921	49,887	54,254	58,589
Functional Total	78,780	81,510	88,634	95,517	101,576
MENTAL HYGIENE					
Mental Health, Office of	559,405	526,754	577,060	625,482	683,967
OMH	198,061	153,108	152,532	160,339	187,869
OMH - Other	361,344	373,646	424,528	465,143	506,098
People with Developmental Disabilities, Office for	579,990	549,499	597,216	647,315	704,406
OPWDD - Other	579,990	549,499	597,216	647,315	704,406
Alcoholism and Substance Abuse Services, Office of	28,405	27,254	29,965	32,886	35,723
OASAS	12,170	7,934	8,855	9,778	10,638
OASAS - Other	16,235	19,320	21,110	23,108	25,085
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,017	1,159	1,278	1,382	1,482
Functional Total	1,168,817	1,104,666	1,205,519	1,307,065	1,435,578

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	2,000	0	0	0	0
Criminal Justice Services, Division of	86	201	214	221	236
Office of Victim Services	888	1,236	1,506	1,644	1,644
Statewide Financial System	1	0	0	0	0
Homeland Security and Emergency Services	196	701	705	705	705
Office of Indigent Legal Services	0	364	448	515	586
Military and Naval Affairs, Division of	583	252	252	252	252
State Police, Division of	20,735	4,044	4,257	4,243	4,230
Wireless Network	598	0	0	0	0
Functional Total	25,087	6,798	7,382	7,580	7,653
HIGHER EDUCATION					
City University of New York	6,400	6,541	6,685	6,833	6,833
Higher Education Services Corporation	14,829	13,416	16,421	17,785	19,221
Higher Education Miscellaneous	115	135	135	135	135
State University Construction Fund	4,477	7,593	8,390	9,006	9,650
State University of New York	528,040	505,536	508,156	523,847	555,734
Functional Total	553,861	533,221	539,787	557,606	591,573
EDUCATION					
Education, Department of	29,406	30,446	33,829	36,546	39,506
All Other	29,406	30,446	33,829	36,546	39,506
Functional Total	29,406	30,446	33,829	36,546	39,506
GENERAL GOVERNMENT					
Budget, Division of	2,086	2,194	2,438	2,706	3,011
Civil Service, Department of	219	202	215	235	255
Deferred Compensation	162	176	191	208	225
Financial Plan Control Board	598	809	911	995	1,084
General Services, Office of	1,290	2,144	2,136	2,239	2,398
Lottery, Division of	9,955	11,465	13,055	13,879	13,978
State, Department of	8,844	7,955	8,884	9,613	10,348
Taxation and Finance, Department of	23,256	18,858	21,522	22,820	23,047
Technology, Office for	0	500	500	500	500
Functional Total	46,410	44,303	49,852	53,195	54,846
ELECTED OFFICIALS					
Judiciary	551,580	609,698	650,584	709,790	777,958
Audit and Control, Department of	1,382	1,558	1,600	1,600	1,600
Law, Department of	7,884	9,971	11,254	11,254	11,254
Functional Total	560,846	621,227	663,438	722,644	790,812
ALL OTHER CATEGORIES					
General State Charges	3,426,460	3,871,554	4,203,324	4,605,008	4,695,507
Miscellaneous	6,300	14,941	94,992	5,005	5,032
Functional Total	3,432,760	3,886,495	4,298,316	4,610,013	4,700,539
TOTAL GENERAL STATE CHARGES SPENDING	6,101,495	6,530,279	7,125,198	7,643,722	7,989,124

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	5,205	13,050	26,947	8,330	3,500
Economic Development Capital Programs	(427)	2,500	2,500	2,500	0
Economic Development, Department of	2,251	31,745	31,881	30,145	16,359
Empire State Development Corporation	4,810	5,435	2,565	3,760	3,845
Energy Research and Development Authority	16,403	16,610	14,000	14,790	14,790
Science, Technology and Innovation, Foundation for	5,740	1,374	0	0	0
Strategic Investment	3,561	4,000	5,000	5,000	5,000
Functional Total	37,543	74,714	82,893	64,525	43,494
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	298,621	369,626	335,506	299,206	291,813
Environmental Facilities Corporation	356	343	343	343	343
Hudson River Park Trust	15,216	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	57,037	32,258	23,979	23,979	23,979
Functional Total	371,230	402,227	359,828	323,528	316,135
TRANSPORTATION					
Motor Vehicles, Department of	204,814	190,737	195,654	200,577	204,302
Thruway Authority	0	1,800	1,800	1,800	1,800
Transportation, Department of	1,986,499	2,062,692	2,020,536	2,010,919	2,014,177
Functional Total	2,191,313	2,255,229	2,217,990	2,213,296	2,220,279
HEALTH					
Health, Department of	7,468	13,500	13,500	13,500	13,500
Public Health	7,468	13,500	13,500	13,500	13,500
Functional Total	7,468	13,500	13,500	13,500	13,500
SOCIAL WELFARE					
Children and Family Services, Office of	18,245	20,900	20,900	20,900	20,900
OCFS	18,245	20,900	20,900	20,900	20,900
Functional Total	18,245	20,900	20,900	20,900	20,900
MENTAL HYGIENE					
Mental Health, Office of	69,686	77,570	77,570	77,570	77,570
OMH	69,686	77,570	77,570	77,570	77,570
People with Developmental Disabilities, Office for	23,618	43,590	45,220	39,220	39,220
OPW/DD	23,618	43,590	45,220	39,220	39,220
Alcoholism and Substance Abuse Services, Office of	1,678	8,968	9,839	13,196	13,083
OASAS	1,678	8,968	9,839	13,196	13,083
Functional Total	94,982	130,128	132,629	129,986	129,873
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	216,232	244,250	253,265	259,675	265,664
Homeland Security and Emergency Services	416	17,000	8,000	6,000	7,000
Homeland Security	496	0	0	0	0
Military and Naval Affairs, Division of	6,383	9,790	9,679	9,634	9,645
State Police, Division of	14,597	38,227	47,543	17,874	11,065
Functional Total	240,124	309,267	318,487	293,183	293,374

CASH DISBURSEMENTS BY FUNCTION
STATE FUNDS
CAPITAL PROJECTS SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
HIGHER EDUCATION					
City University of New York	9,601	34,705	36,144	36,144	26,810
Higher Education Capital Grants	(432)	10,000	9,000	0	0
State University of New York	852,320	1,033,092	1,068,506	1,046,658	1,025,476
Functional Total	861,489	1,077,797	1,113,650	1,082,802	1,052,286
EDUCATION					
Education, Department of	4,631	27,141	34,637	33,118	23,400
All/ Other	4,631	27,141	34,637	33,118	23,400
Functional Total	4,631	27,141	34,637	33,118	23,400
GENERAL GOVERNMENT					
General Services, Office of	61,188	78,847	62,613	59,309	69,883
State, Department of	1,373	2,735	(15)	(15)	(15)
Technology, Office for	2,883	216	0	0	0
Functional Total	65,444	81,798	62,598	59,294	69,868
ELECTED OFFICIALS					
Judiciary	9,640	4,500	4,000	8,000	1,580
Functional Total	9,640	4,500	4,000	8,000	1,580
ALL OTHER CATEGORIES					
Miscellaneous	70,536	(171,000) ⁽¹⁾	101,000	100,000	100,000
Functional Total	70,536	(171,000)	101,000	100,000	100,000
TOTAL CAPITAL PROJECTS SPENDING	3,972,645	4,226,201	4,462,112	4,342,132	4,284,689

⁽¹⁾ Accommodates anticipated under spending projected to occur as a result of normal capital projected delays and will not hinder the advancement of scheduled capital projects
*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Energy Research and Development Authority	9,157	9,234	9,234	9,234	9,234
Financial Services, Department of	217,007	217,566	225,566	225,566	225,566
Public Service, Department of	0	500	500	500	500
Functional Total	226,164	227,300	235,300	235,300	235,300
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	410	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	5,099	4,850	4,850	4,850	4,850
Functional Total	5,509	4,850	4,850	4,850	4,850
TRANSPORTATION					
Transportation, Department of	4,156,790	4,136,541	4,225,112	4,305,212	4,395,612
Functional Total	4,156,790	4,136,541	4,225,112	4,305,212	4,395,612
HEALTH					
Aging, Office for the	7	0	0	0	0
Health, Department of	5,686,816	6,313,794	6,685,293	6,814,669	7,063,735
Medical Assistance	4,437,075	5,044,577	5,437,206	5,521,431	5,734,048
Public Health	1,249,741	1,269,217	1,248,087	1,293,238	1,329,687
Functional Total	5,686,823	6,313,794	6,685,293	6,814,669	7,063,735
SOCIAL WELFARE					
Children and Family Services, Office of	3,966	1,600	850	850	850
OCFS	3,966	1,600	850	850	850
Labor, Department of	123	100	100	100	100
Housing and Community Renewal, Division of	712	852	852	852	852
Temporary and Disability Assistance, Office of	6,140	9,700	9,700	0	0
All Other	6,140	9,700	9,700	0	0
Functional Total	10,941	12,252	11,502	1,802	1,802
MENTAL HYGIENE					
Mental Health, Office of	567,032	695,817	813,766	898,213	961,957
OMH	567,032	695,817	813,766	898,213	961,957
People with Developmental Disabilities, Office for	617,736	740,916	761,683	792,405	810,688
OPWDD	378,577	463,660	475,455	507,545	522,965
OPWDD - Other	239,159	277,256	286,228	284,860	287,723
Alcoholism and Substance Abuse Services, Office of	153,359	281,865	300,719	317,621	317,621
OASAS	153,359	281,865	300,719	317,621	317,621
Quality of Care and Advocacy for Persons with Disabilities, Commission on	394	450	450	450	450
Functional Total	1,338,521	1,719,048	1,876,618	2,008,689	2,090,716

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
LOCAL ASSISTANCE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	22,628	39,681	39,681	40,681	40,681
Office of Victim Services	29,185	28,182	28,182	28,182	28,182
Homeland Security and Emergency Services	0	45,998	61,298	81,998	81,998
Office of Indigent Legal Services	65,769	77,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	2,934	0	0	0	0
Functional Total	120,516	190,461	206,161	227,861	227,861
HIGHER EDUCATION					
Higher Education Services Corporation	22,200	16,000	0	0	0
Functional Total	22,200	16,000	0	0	0
EDUCATION					
Aris, Council on the	0	98	98	98	98
Education, Department of	6,391,597	6,187,943	6,382,703	6,639,233	6,841,618
School Aid	3,142,895	2,884,000	3,053,000	3,121,800	3,142,000
School Aid - Other	0	0	0	0	0
STAR Property Tax Relief	3,234,014	3,292,520	3,322,067	3,510,375	3,692,726
All Other	14,588	11,423	7,636	7,058	6,892
Functional Total	6,391,597	6,188,041	6,382,801	6,639,331	6,841,716
GENERAL GOVERNMENT					
State, Department of	4,813	539	539	539	539
Functional Total	4,813	539	539	539	539
ELECTED OFFICIALS					
Judiciary	114,914	104,200	106,000	107,000	109,000
Functional Total	114,914	104,200	106,000	107,000	109,000
ALL OTHER CATEGORIES					
Miscellaneous	10,321	(39,726)	44,319	45,289	45,289
Functional Total	10,321	(39,726)	44,319	45,289	45,289
TOTAL LOCAL ASSISTANCE SPENDING	18,089,109	18,873,300	19,778,495	20,390,542	21,016,420

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	9,887	10,326	10,479	10,688	10,902
Alcoholic Beverage Control	8,512	7,822	7,663	7,781	7,781
Economic Development, Department of	43	63	63	63	63
Energy Research and Development Authority	2,894	3,365	3,432	3,501	3,501
Financial Services, Department of	151,988	154,798	155,803	157,412	159,036
Public Service, Department of	41,801	43,506	44,750	44,995	45,895
Racing and Wagering Board, State	12,062	10,597	10,724	10,853	10,946
Functional Total	227,187	230,477	232,914	235,293	238,124
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	97,997	88,606	89,763	87,888	87,888
Environmental Facilities Corporation	6,060	6,185	6,229	6,251	6,273
Parks, Recreation and Historic Preservation, Office of	26,130	24,258	24,259	24,894	25,147
Functional Total	130,187	119,049	120,251	119,033	119,308
TRANSPORTATION					
Motor Vehicles, Department of	51,578	48,221	48,221	48,221	48,221
Transportation, Department of	10,853	10,006	10,107	10,208	10,311
Functional Total	62,431	58,227	58,328	58,429	58,532
HEALTH					
Aging, Office for the	0	(3)	(3)	(3)	(3)
Health, Department of	228,838	231,426	233,926	238,671	242,114
Public Health	228,838	231,426	233,926	238,671	242,114
Medicaid Inspector General, Office of	3,888	3,700	3,700	3,700	3,700
Stem Cell and Innovation	534	0	0	0	0
Functional Total	233,260	235,123	237,623	242,368	245,811
SOCIAL WELFARE					
Children and Family Services, Office of	29,104	32,107	32,387	32,428	32,756
OCFS	29,104	32,107	32,387	32,428	32,756
Labor, Department of	31,852	31,103	31,443	31,762	32,080
Housing and Community Renewal, Division of	34,795	32,699	31,411	31,670	32,032
Temporary and Disability Assistance, Office of	48,211	52,028	52,182	52,357	52,395
All Other	48,211	52,028	52,182	52,357	52,395
Wellfare Inspector General, Office of	108	408	408	414	418
Workers' Compensation Board	89,052	94,238	94,881	95,719	96,658
Functional Total	233,122	242,583	242,712	244,350	246,339
MENTAL HYGIENE					
Mental Health, Office of	1,145,091	1,099,568	1,110,378	1,126,565	1,152,225
OMH	405,609	251,483	290,068	292,354	310,872
OMH - Other	739,482	848,085	820,310	834,211	841,353
People with Developmental Disabilities, Office for	1,168,196	1,146,940	1,158,293	1,168,860	1,181,295
OPWDD - Other	1,168,196	1,146,940	1,158,293	1,168,860	1,181,295
Alcoholism and Substance Abuse Services, Office of	59,596	58,221	59,030	59,691	60,349
OASAS	25,103	18,202	18,747	18,977	19,198
OASAS - Other	34,493	40,019	40,283	40,714	41,151
Quality of Care and Advocacy for Persons with Disabilities, Commission on	2,202	2,374	2,398	2,398	2,405
Functional Total	2,375,085	2,307,103	2,330,075	2,357,514	2,396,274

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	0	500	0	0	0
Criminal Justice Services, Division of	314	376	378	380	382
Office of Victim Services	3,761	3,778	3,879	3,852	3,887
Statewide Financial System	3,977	9,139	13,819	13,819	13,819
Homeland Security and Emergency Services	334	1,638	1,638	1,638	1,638
Office of Indigent Legal Services	8	765	780	795	810
Military and Naval Affairs, Division of	1,570	935	935	935	935
State Police, Division of	161,378	157,632	157,594	157,664	157,835
Wireless Network	8,076	0	0	0	0
Functional Total	<u>179,418</u>	<u>174,763</u>	<u>179,023</u>	<u>179,083</u>	<u>179,306</u>
HIGHER EDUCATION					
City University of New York	85,732	96,651	102,662	104,250	104,250
Higher Education Services Corporation	32,756	32,134	31,445	31,760	32,077
Higher Education Miscellaneous	221	175	175	175	175
State University Construction Fund	12,962	15,544	15,583	15,622	15,661
State University of New York	2,478,960	2,549,071	2,589,958	2,644,928	2,720,495
Functional Total	<u>2,610,231</u>	<u>2,693,575</u>	<u>2,739,823</u>	<u>2,796,735</u>	<u>2,872,658</u>
EDUCATION					
Education, Department of	65,658	62,659	63,290	63,953	64,638
All/Other	65,658	62,659	63,290	63,953	64,638
Functional Total	<u>65,658</u>	<u>62,659</u>	<u>63,290</u>	<u>63,953</u>	<u>64,638</u>
GENERAL GOVERNMENT					
Budget, Division of	4,548	4,500	4,635	4,774	4,966
Civil Service, Department of	554	421	425	431	436
Deferred Compensation	344	361	363	367	371
Financial Plan Control Board	1,272	1,657	1,690	1,724	1,758
General Services, Office of	3,364	3,362	3,504	3,630	3,665
Lottery, Division of	21,057	24,623	24,623	24,866	25,026
Public Employment Relations Board	245	275	352	159	0
State, Department of	18,224	16,384	16,553	16,716	16,776
Taxation and Finance, Department of	27,496	40,976	41,015	41,408	41,822
Technology, Office for	0	200	200	200	210
Functional Total	<u>77,104</u>	<u>92,759</u>	<u>93,360</u>	<u>94,275</u>	<u>95,030</u>
ELECTED OFFICIALS					
Judiciary	58,078	61,600	61,620	64,498	65,381
Audit and Control, Department of	2,936	7,782	7,813	7,840	7,867
Law, Department of	15,436	25,202	25,381	25,623	25,782
Functional Total	<u>76,450</u>	<u>94,584</u>	<u>94,814</u>	<u>97,961</u>	<u>99,030</u>
ALL OTHER CATEGORIES					
Miscellaneous	920	(193,972)	(193,961)	(193,950)	(193,939)
Functional Total	<u>920</u>	<u>(193,972)</u>	<u>(193,961)</u>	<u>(193,950)</u>	<u>(193,939)</u>
TOTAL PERSONAL SERVICE SPENDING	<u>6,271,053</u>	<u>6,116,930</u>	<u>6,198,252</u>	<u>6,295,044</u>	<u>6,421,111</u>

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	20,236	18,289	18,349	18,409	18,824
Alcoholic Beverage Control	4,069	6,182	5,890	6,225	6,225
Economic Development, Department of	573	691	691	691	691
Energy Research and Development Authority	1,850	1,815	1,854	1,895	1,895
Financial Services, Department of	60,184	79,598	74,811	76,569	78,369
Olympic Regional Development Authority	63	326	326	326	326
Public Service, Department of	10,976	10,797	11,080	11,372	11,677
Racing and Wagering Board, State	5,994	8,458	5,635	5,767	5,900
Functional Total	103,945	126,156	118,636	121,254	123,907
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	53,955	47,350	44,029	44,029	44,029
Environmental Facilities Corporation	1,062	775	782	787	792
Parks, Recreation and Historic Preservation, Office of	29,927	26,574	26,575	26,575	27,210
Functional Total	84,944	74,699	71,386	71,391	72,031
TRANSPORTATION					
Motor Vehicles, Department of	16,944	20,421	20,415	20,414	20,414
Transportation, Department of	20,747	25,847	26,191	26,571	26,942
Functional Total	37,691	46,268	46,606	46,985	47,356
HEALTH					
Aging, Office for the	1	1	1	1	1
Health, Department of	152,885	164,130	155,306	161,538	165,040
Public Health	152,885	164,130	155,306	161,538	165,040
Medicaid Inspector General, Office of	7	0	0	0	0
Stem Cell and Innovation	36,437	45,000	61,373	63,673	50,000
Functional Total	189,330	209,131	216,680	225,212	215,041
SOCIAL WELFARE					
Children and Family Services, Office of	26,892	34,183	35,083	35,181	36,024
OCFS	26,892	34,183	35,083	35,181	36,024
Labor, Department of	12,740	15,068	15,446	15,812	16,182
Housing and Community Renewal, Division of	6,807	5,072	5,134	5,259	5,360
Prevention of Domestic Violence, Office for	28	2	2	2	2
Temporary and Disability Assistance, Office of	60,268	65,608	65,791	65,976	66,132
All Other	60,268	65,608	65,791	65,976	66,132
Welfare Inspector General, Office of	660	476	485	485	496
Workers' Compensation Board	61,798	65,064	60,333	61,990	63,552
Functional Total	169,193	185,473	182,274	184,705	187,768

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
MENTAL HYGIENE					
Mental Health, Office of	310,406	317,766	336,495	346,733	364,048
OMH	76,590	69,567	88,548	90,856	90,263
OMH - Other	233,816	248,199	247,947	255,877	273,785
Mental Hygiene, Department of	345	0	0	0	0
People with Developmental Disabilities, Office for	373,896	388,374	399,961	411,395	421,586
OPWDD	(6,620)	176	176	181	181
OPWDD - Other	380,516	388,198	399,785	411,214	421,405
Alcoholism and Substance Abuse Services, Office of	21,328	18,055	18,704	19,199	19,686
OASAS	9,457	9,521	9,773	10,028	10,281
OASAS - Other	11,871	8,534	8,931	9,171	9,405
Quality of Care and Advocacy for Persons with Disabilities, Commission on	166	270	271	285	310
Functional Total	706,141	724,465	755,431	777,612	805,630
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	859	1,219	1,169	1,119	1,119
Criminal Justice Services, Division of	6,901	12,960	15,213	15,216	15,220
Office of Victim Services	760	1,050	1,079	1,105	1,129
Statewide Financial System	5,092	31,359	41,665	41,765	41,765
Homeland Security and Emergency Services	2,701	37,576	43,202	30,810	26,950
Office of Indigent Legal Services	25,016	371	400	430	461
Military and Naval Affairs, Division of	5,600	6,033	6,036	6,036	6,036
Parole, Division of	196	0	0	0	0
State Police, Division of	31,745	40,205	30,042	29,998	29,853
Wireless Network	19,579	0	0	0	0
Functional Total	98,449	130,773	138,806	126,479	122,533
HIGHER EDUCATION					
City University of New York	31,367	40,096	44,718	45,640	45,640
Higher Education Services Corporation	30,778	42,742	43,804	48,032	49,320
Higher Education Miscellaneous	34	45	45	45	45
State University Construction Fund	1,876	3,035	3,101	3,226	3,298
State University of New York	1,371,819	1,561,580	1,638,499	1,707,344	1,742,085
Functional Total	1,435,874	1,647,498	1,730,167	1,804,287	1,840,388
EDUCATION					
Education, Department of	25,468	31,392	27,099	26,255	26,621
All Other	25,468	31,392	27,099	26,255	26,621
Functional Total	25,468	31,392	27,099	26,255	26,621

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
 (thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
GENERAL GOVERNMENT					
Budget, Division of the	5,644	9,016	9,264	9,407	9,920
Civil Service, Department of	731	1,199	1,229	1,262	1,292
Deferred Compensation	62	185	189	194	199
Elections, State Board of	90	0	0	0	0
Employee Relations, Office of	0	54	56	58	58
Financial Plan Control Board	672	864	893	919	947
General Services, Office of	4,519	6,625	4,425	4,557	4,713
Inspector General, Office of	70	87	87	87	87
Labor Management Committee	92	300	300	300	300
Lottery, Division of	131,898	140,702	139,602	142,799	146,216
Public Employment Relations Board	83	300	39	43	43
State, Department of	5,733	10,639	10,638	10,821	11,036
Taxation and Finance, Department of	24,397	28,598	28,601	29,302	29,982
Technology, Office for	0	1,086	1,086	86	100
Functional Total	173,991	199,655	196,409	199,835	204,893
ELECTED OFFICIALS					
Legislature	796	950	950	950	950
Judiciary	18,022	46,700	42,800	43,000	44,600
Audit and Control, Department of	313	5,575	5,613	5,754	5,764
Law, Department of	35,828	35,391	35,765	37,102	37,733
Functional Total	54,959	88,616	85,128	86,806	89,047
ALL OTHER CATEGORIES					
Miscellaneous	473	(271,607)	(234,523)	(234,491)	(234,460)
Functional Total	473	(271,607)	(234,523)	(234,491)	(234,460)
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	3,080,458	3,192,519	3,334,099	3,436,330	3,500,755

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING
(thousands of dollars)**

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	4,749	5,142	5,439	5,955	6,533
Alcoholic Beverage Control	4,125	4,379	4,996	5,360	5,360
Economic Development, Department of	21	28	28	28	28
Energy Research and Development Authority	1,406	1,583	1,638	1,758	1,758
Financial Services, Department of	66,829	75,963	82,956	90,004	97,586
Public Service, Department of	20,299	20,860	23,115	25,939	28,280
Racing and Wagering Board, State	3,517	5,130	5,813	6,332	6,858
Functional Total	100,946	113,085	123,985	135,376	146,403
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	37,838	33,294	32,390	31,390	31,390
Environmental Facilities Corporation	2,268	3,122	3,312	3,437	3,532
Parks, Recreation and Historic Preservation, Office of	2,627	3,685	3,685	3,792	3,890
Functional Total	42,733	40,101	39,387	38,619	38,752
TRANSPORTATION					
Motor Vehicles, Department of	27,440	22,930	24,343	25,656	27,511
Transportation, Department of	403	4,902	5,459	5,903	6,373
Functional Total	27,843	27,832	29,802	31,559	33,884
HEALTH					
Aging, Office for the	0	(1)	(1)	(1)	(1)
Health, Department of	33,594	40,596	45,268	48,003	48,003
Public Health	33,594	40,596	45,268	48,003	48,003
Medicaid Inspector General, Office of	94	0	0	0	0
Stem Cell and Innovation	318	0	0	0	0
Functional Total	34,006	40,595	45,267	48,002	48,002
SOCIAL WELFARE					
Children and Family Services, Office of	2,325	2,666	2,666	2,782	2,782
OCFS	2,325	2,666	2,666	2,782	2,782
Labor, Department of	15,601	15,917	17,879	19,145	19,794
Housing and Community Renewal, Division of	16,197	15,483	16,501	17,530	18,670
Temporary and Disability Assistance, Office of	1,449	1,309	1,480	1,585	1,580
All Other	1,449	1,309	1,480	1,585	1,580
Wellate Inspector General, Office of	56	214	221	221	221
Workers' Compensation Board	43,152	45,921	49,887	54,254	58,589
Functional Total	78,780	81,510	88,634	95,517	101,576
MENTAL HYGIENE					
Mental Health, Office of	559,405	526,754	577,060	625,482	693,967
OMH	198,061	153,108	152,532	160,339	187,869
OMH - Other	361,344	373,646	424,528	465,143	506,098
People with Developmental Disabilities, Office for	579,990	549,499	597,216	647,315	704,406
OPWDD - Other	579,990	549,499	597,216	647,315	704,406
Alcoholism and Substance Abuse Services, Office of	28,405	27,254	29,965	32,886	35,723
OASAS	12,170	7,934	8,855	9,778	10,638
OASAS - Other	16,235	19,320	21,110	23,108	25,085
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,017	1,159	1,278	1,382	1,482
Functional Total	1,168,817	1,104,666	1,205,519	1,307,065	1,435,578

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE STATE FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Criminal Justice Services, Division of	86	201	214	221	236
Office of Victim Services	888	1,236	1,506	1,644	1,644
Statewide Financial System	1	0	0	0	0
Homeland Security and Emergency Services	196	701	705	705	705
Office of Indigent Legal Services	0	364	448	515	586
Military and Naval Affairs, Division of	583	250	250	250	250
State Police, Division of	20,735	4,044	4,257	4,243	4,230
Wireless Network	598	0	0	0	0
Functional Total	23,087	6,796	7,380	7,578	7,651
HIGHER EDUCATION					
City University of New York	6,400	6,541	6,685	6,833	6,833
Higher Education Services Corporation	14,829	13,416	16,421	17,785	19,221
Higher Education Miscellaneous	115	135	135	135	135
State University Construction Fund	4,477	7,593	8,390	9,006	9,650
State University of New York	319,122	307,481	310,101	325,792	357,679
Functional Total	344,943	335,166	341,732	359,551	393,518
EDUCATION					
Education, Department of	27,881	28,536	31,919	34,636	37,596
All/Other	27,881	28,536	31,919	34,636	37,596
Functional Total	27,881	28,536	31,919	34,636	37,596
GENERAL GOVERNMENT					
Budget, Division of the	2,086	2,194	2,438	2,706	3,011
Civil Service, Department of	219	202	215	235	255
Deferred Compensation	162	176	191	208	225
Financial Plan Control Board	598	809	911	995	1,084
General Services, Office of	1,290	2,144	2,136	2,239	2,398
Lottery, Division of	9,955	11,465	13,055	13,879	13,978
State, Department of	8,844	7,955	8,884	9,613	10,348
Taxation and Finance, Department of	23,256	18,858	21,522	22,820	23,047
Technology, Office for	0	500	500	500	500
Functional Total	46,410	44,303	49,852	53,195	54,846
ELECTED OFFICIALS					
Judiciary	9,343	27,198	21,850	20,108	18,308
Audit and Control, Department of	1,382	1,558	1,600	1,600	1,600
Law, Department of	7,884	9,971	11,254	11,254	11,254
Functional Total	18,609	38,727	34,704	32,962	31,162
ALL OTHER CATEGORIES					
Miscellaneous	469	523	574	587	614
Functional Total	469	523	574	587	614
TOTAL GENERAL STATE CHARGES SPENDING	1,914,524	1,861,840	1,998,755	2,144,647	2,329,582

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Economic Development, Department of	0	100	100	100	100
Functional Total	0	100	100	100	100
PARKS AND THE ENVIRONMENT					
Parks, Recreation and Historic Preservation, Office of	2,034	1,270	1,270	1,270	1,270
Functional Total	2,034	1,270	1,270	1,270	1,270
TRANSPORTATION					
Motor Vehicles, Department of	15,734	14,800	14,800	14,800	14,800
Transportation, Department of	40,442	32,103	32,103	32,103	32,103
Functional Total	56,176	46,903	46,903	46,903	46,903
HEALTH					
Aging, Office for the	105,584	95,769	95,769	95,769	95,769
Health, Department of	28,861,695	24,689,046	23,380,851	25,734,235	31,412,268
Medical Assistance	27,224,374	22,975,405	21,615,710	23,913,254	29,532,310
Medicaid Administration	417,899	573,750	596,750	620,650	645,450
Public Health	1,219,422	1,139,891	1,168,391	1,200,331	1,234,508
Functional Total	28,967,279	24,784,815	23,476,620	25,830,004	31,508,037
SOCIAL WELFARE					
Children and Family Services, Office of	1,039,761	997,752	934,800	934,800	934,800
OCFS	1,039,761	997,752	934,800	934,800	934,800
Labor, Department of	184,558	202,192	192,720	183,247	183,247
Housing and Community Renewal, Division of	171,324	205,848	51,337	51,337	51,337
Temporary and Disability Assistance, Office of	3,654,062	3,437,159	3,156,072	3,155,500	3,156,098
Welfare Assistance	2,673,849	2,624,909	2,350,822	2,350,250	2,350,848
All Other	980,213	812,250	805,250	805,250	805,250
Functional Total	5,049,705	4,842,951	4,334,929	4,324,884	4,325,482
MENTAL HYGIENE					
Mental Health, Office of	81,244	50,138	50,930	50,930	50,930
OMH	81,244	50,138	50,930	50,930	50,930
People with Developmental Disabilities, Office for	4,279	0	0	0	0
OPWDD	4,279	0	0	0	0
Alcoholism and Substance Abuse Services, Office of	146,803	104,970	107,670	107,670	107,670
OASAS	146,803	104,970	107,670	107,670	107,670
Functional Total	232,326	155,108	158,600	158,600	158,600
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	238	0	0	0	0
Criminal Justice Services, Division of	36,971	37,800	37,939	18,800	18,800
Office of Victim Services	33,375	30,128	30,128	30,128	30,128
Homeland Security and Emergency Services	308,771	308,771	308,771	308,771	308,771
Military and Naval Affairs, Division of	166	0	0	0	0
Functional Total	379,521	376,699	376,838	357,699	357,699

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
LOCAL ASSISTANCE SPENDING
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
HIGHER EDUCATION					
City University of New York	32,779	0	0	0	0
Higher Education Services Corporation	59,049	0	0	0	0
State University of New York	89,476	7,941	7,941	7,941	7,941
Functional Total	181,304	7,941	7,941	7,941	7,941
EDUCATION					
Arts, Council on the	845	420	420	420	420
Education, Department of	5,904,506	4,890,690	3,664,370	3,491,928	3,517,512
<i>School Aid</i>	4,639,423	3,725,646	2,828,548	2,620,500	2,650,000
<i>Special Education Categorical Programs</i>	1,235,197	968,181	640,000	680,000	715,000
<i>All Other</i>	29,886	196,863	195,822	191,428	152,512
Functional Total	5,905,351	4,891,110	3,664,790	3,492,348	3,517,932
GENERAL GOVERNMENT					
Elections, State Board of	25,231	700	0	0	0
General Services, Office of	0	250	250	250	250
State, Department of	105,534	55,457	55,457	55,457	55,457
Functional Total	130,765	56,407	55,707	55,707	55,707
ALL OTHER CATEGORIES					
Miscellaneous	(297,176)	(231,000)	(233,000)	(233,000)	(233,000)
Functional Total	(297,176)	(231,000)	(233,000)	(233,000)	(233,000)
TOTAL LOCAL ASSISTANCE SPENDING	40,607,285	34,932,304	31,890,698	34,042,456	39,746,671

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	2,295	2,155	2,198	2,242	2,287
Public Service, Department of	1,488	1,903	1,833	1,718	1,752
Functional Total	3,783	4,058	4,031	3,960	4,039
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	24,693	24,069	24,069	24,069	24,069
Parks, Recreation and Historic Preservation, Office of	1,187	1,010	1,010	1,010	1,020
Functional Total	25,880	25,079	25,079	25,079	25,089
TRANSPORTATION					
Motor Vehicles, Department of	1,319	1,440	1,454	1,468	1,482
Transportation, Department of	4,457	3,886	3,930	3,973	4,017
Functional Total	5,776	5,326	5,384	5,441	5,499
HEALTH					
Aging, Office for the	6,682	7,626	7,626	7,626	7,626
Health, Department of	56,689	55,317	55,317	55,317	55,317
Public Health	56,689	55,317	55,317	55,317	55,317
Medicaid Inspector General, Office of	20,811	20,123	20,563	21,013	21,473
Functional Total	84,192	83,066	83,506	83,956	84,416
SOCIAL WELFARE					
Children and Family Services, Office of	27,653	29,565	29,565	27,526	27,802
OGFS	27,653	29,565	29,565	27,526	27,802
Human Rights, Division of	1,754	5,038	5,069	5,069	5,069
Labor, Department of	217,095	228,756	224,081	215,661	215,661
Housing and Community Renewal, Division of	8,658	7,156	5,031	5,088	5,146
National Commission Services	357	354	354	358	362
Prevention of Domestic Violence, Office for	(10)	0	0	0	0
Temporary and Disability Assistance, Office of	104,177	99,423	100,266	101,288	102,310
All Other	104,177	99,423	100,266	101,288	102,310
Functional Total	359,684	370,292	364,366	354,990	356,350
MENTAL HYGIENE					
Mental Health, Office of	107	578	578	584	584
OMH	107	578	578	584	584
People with Developmental Disabilities, Office for	42	116	116	116	116
OPWDD	42	116	116	116	116
Alcoholism and Substance Abuse Services, Office of	3,951	6,072	5,112	5,161	5,213
OASAS	3,951	6,072	5,112	5,161	5,213
Developmental Disabilities Planning Council	1,190	1,197	1,112	1,161	1,209
Quality of Care and Advocacy for Persons with Disabilities, Commission on	1,582	1,673	1,673	1,690	1,819
Functional Total	6,872	9,636	8,676	8,760	8,941

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
PERSONAL SERVICE SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	28,855	25,272	25,948	26,645	26,884
Criminal Justice Services, Division of	10,421	10,500	10,550	7,800	7,800
Office of Victim Services	1,189	1,200	1,200	1,200	1,200
Homeland Security and Emergency Services	6,250	6,251	6,251	6,251	6,251
Military and Naval Affairs, Division of	22,424	22,146	22,146	22,146	22,146
State Police, Division of	8,065	5,050	5,100	5,150	5,200
Functional Total	77,204	70,419	71,195	69,192	69,481
HIGHER EDUCATION					
Higher Education Services Corporation	160	836	836	836	836
State University of New York	9,319	7,227	7,227	7,227	7,227
Functional Total	9,479	8,063	8,063	8,063	8,063
EDUCATION					
Education, Department of	85,866	87,707	88,233	89,209	88,933
All Other	85,866	87,707	88,233	89,209	88,933
Functional Total	85,866	87,707	88,233	89,209	88,933
GENERAL GOVERNMENT					
Elections, State Board of	169	0	0	0	0
State, Department of	3,401	3,464	3,508	3,553	3,597
Taxation and Finance, Department of	2	60	60	61	62
Veterans' Affairs, Division of	662	935	944	953	953
Functional Total	4,234	4,459	4,512	4,567	4,612
ELECTED OFFICIALS					
Judiciary	1,855	0	0	0	0
Law, Department of	17,526	17,719	17,879	18,094	18,094
Functional Total	19,381	17,719	17,879	18,094	18,094
TOTAL PERSONAL SERVICE SPENDING	682,351	685,824	680,924	671,311	673,517

*Unaudited Year-end Results

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	9,890	9,537	9,539	9,540	9,769
Economic Development, Department of	0	245	245	245	245
Financial Services, Department of	102	0	0	0	0
Public Service, Department of	138	406	367	344	353
Functional Total	10,130	10,188	10,151	10,129	10,367
PARKS AND THE ENVIRONMENT					
Adirondack Park Agency	81	350	350	350	350
Environmental Conservation, Department of	22,041	15,556	15,556	15,556	15,556
Parks, Recreation and Historic Preservation, Office of	2,028	1,085	1,085	1,085	1,110
Functional Total	24,150	16,991	16,991	16,991	17,016
TRANSPORTATION					
Motor Vehicles, Department of	1,975	2,532	2,532	2,533	2,534
Transportation, Department of	2,463	2,927	2,999	3,077	3,160
Functional Total	4,438	5,459	5,531	5,610	5,694
HEALTH					
Aging, Office for the	1,469	1,120	1,120	1,120	1,120
Health, Department of	145,799	128,321	128,321	128,321	128,321
Public Health	145,799	128,321	128,321	128,321	128,321
Medicaid Inspector General, Office of	9,043	12,329	12,660	13,021	13,403
Functional Total	156,311	141,770	142,101	142,462	142,844
SOCIAL WELFARE					
Children and Family Services, Office of	55,455	64,406	64,406	64,406	65,934
OCFS	55,455	64,406	64,406	64,406	65,934
Human Rights, Division of	2,173	1,329	1,341	1,341	1,341
Labor, Department of	144,924	113,617	111,852	108,146	108,146
Housing and Community Renewal, Division of	1,810	3,030	2,227	2,305	2,381
National Commission Services	23,993	13,646	13,646	13,646	13,860
Temporary and Disability Assistance, Office of	57,968	74,598	74,756	74,952	75,137
All Other	4,707	3,532	3,532	3,624	3,624
Workers' Compensation Board	291,030	274,158	271,760	268,420	270,423
Functional Total	644,406	554,455	554,406	554,406	565,934
MENTAL HYGIENE					
Mental Health, Office of	464	150	150	154	154
OMH	464	150	150	154	154
People with Developmental Disabilities, Office for	8,264	53,340	33,490	34,361	34,361
OPWDD	8,264	53,340	33,490	34,361	34,361
Alcoholism and Substance Abuse Services, Office of	1,378	1,862	1,781	1,782	1,823
OASAS	1,378	1,862	1,781	1,782	1,823
Developmental Disabilities Planning Council	1,704	2,392	2,301	2,230	2,230
Quality of Care and Advocacy for Persons with Disabilities, Commission on	4,238	4,489	4,528	4,664	4,686
Functional Total	16,048	62,233	42,250	43,191	43,254

CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
NON-PERSONAL SERVICE SPENDING (includes Indirect Costs)
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Corrections Services, Department of	790	1,210	1,242	1,277	1,311
Criminal Justice Services, Division of	8,431	8,552	8,752	6,502	6,502
Office of Victim Services	364	502	502	502	502
Homeland Security and Emergency Services	6,812	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	13,083	12,254	12,254	12,254	12,254
State Police, Division of	14,358	2,500	2,500	2,500	2,500
Functional Total	43,838	31,830	32,062	29,847	29,881
HIGHER EDUCATION					
Higher Education Services Corporation	7,801	11,396	11,396	11,396	11,396
State University of New York	309,880	227,631	227,631	227,631	227,631
Functional Total	317,681	239,027	239,027	239,027	239,027
EDUCATION					
Arts, Council on the	0	100	100	100	100
Education, Department of	65,439	157,349	125,764	109,184	101,216
<i>All Other</i>	65,439	157,349	125,764	109,184	101,216
Functional Total	65,439	157,449	125,864	109,284	101,316
GENERAL GOVERNMENT					
Elections, State Board of	62,087	48,000	0	0	0
General Services, Office of	7,277	4,987	4,987	4,987	4,987
State, Department of	1,043	3,811	3,898	3,988	4,080
Taxation and Finance, Department of	140	212	212	217	222
Technology, Office for	4,354	0	0	0	0
Veterans' Affairs, Division of	206	288	294	302	302
Functional Total	75,107	57,298	9,391	9,494	9,591
ELECTED OFFICIALS					
Judiciary	3,918	7,500	7,500	7,500	7,500
Law, Department of	5,647	6,126	6,209	6,428	6,428
Functional Total	9,565	13,626	13,709	13,928	13,928
TOTAL NON-PERSONAL SERVICE (includes Indirect Costs) SPENDING	1,013,737	1,010,029	908,837	888,383	883,341

*Unaudited Year-end Results

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT					
Agriculture and Markets, Department of	1,000	929	1,148	1,256	1,370
Public Service, Department of	586	850	972	962	1,050
Functional Total	1,586	1,779	2,120	2,218	2,420
PARKS AND THE ENVIRONMENT					
Environmental Conservation, Department of	9,544	9,811	9,811	9,811	9,811
Parks, Recreation and Historic Preservation, Office of	92	508	508	508	611
Functional Total	9,636	10,319	10,319	10,319	10,422
TRANSPORTATION					
Motor Vehicles, Department of	518	608	727	790	859
Transportation, Department of	2,116	1,666	2,079	2,267	2,464
Functional Total	2,634	2,274	2,806	3,057	3,323
HEALTH					
Aging, Office for the	0	253	253	253	253
Health, Department of	26,855	23,006	23,006	23,006	23,006
Public Health	26,855	23,006	23,006	23,006	23,006
Medicaid Inspector General, Office of	8,905	10,738	12,424	13,446	13,741
Functional Total	35,760	33,997	35,683	36,705	37,000
SOCIAL WELFARE					
Children and Family Services, Office of	9,890	9,913	9,913	8,909	8,909
OCFS	9,890	9,913	9,913	8,909	8,909
Human Rights, Division of	1,047	2,530	2,912	3,066	3,066
Labor, Department of	91,806	122,036	135,359	137,970	137,970
Housing and Community Renewal, Division of	3,652	3,283	2,689	2,937	3,199
Temporary and Disability Assistance, Office of	46,002	52,120	52,622	53,538	54,068
All Other	46,002	52,120	52,622	53,538	54,068
Functional Total	152,397	189,882	203,495	206,420	207,212
MENTAL HYGIENE					
Mental Health, Office of	505	249	302	327	350
OMH	505	249	302	327	350
People with Developmental Disabilities, Office for	19	62	70	74	74
OPWDD	19	62	70	74	74
Alcoholism and Substance Abuse Services, Office of	14	2,595	2,640	2,821	3,050
OASAS	14	2,595	2,640	2,821	3,050
Developmental Disabilities Planning Council	0	611	702	761	761
Quality of Care and Advocacy for Persons with Disabilities, Commission on	675	764	927	1,003	1,150
Functional Total	1,213	4,281	4,641	4,986	5,365

**CASH DISBURSEMENTS BY FUNCTION
SPECIAL REVENUE FEDERAL FUNDS
GENERAL STATE CHARGES SPENDING**
(thousands of dollars)

	2010-2011 Actuals*	2011-2012 Projected	2012-2013 Projected	2013-2014 Projected	2014-2015 Projected
PUBLIC PROTECTION/CRIMINAL JUSTICE					
Correctional Services, Department of	757	1,415	1,415	1,415	1,415
Criminal Justice Services, Division of	166	200	200	200	200
Office of Victim Services	0	326	326	326	326
Homeland Security and Emergency Services	2,916	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	7,845	6,499	6,499	6,499	6,499
State Police, Division of	594	0	0	0	0
Functional Total	12,078	11,356	11,356	11,356	11,356
HIGHER EDUCATION					
Higher Education Services Corporation	56	369	369	369	369
State University of New York	76	50	50	50	50
Functional Total	132	419	419	419	419
EDUCATION					
Education, Department of	34,830	37,898	48,976	50,291	54,180
All Other	34,830	37,898	48,976	50,291	54,180
Functional Total	34,830	37,898	48,976	50,291	54,180
GENERAL GOVERNMENT					
State, Department of	1,100	1,910	2,313	2,481	2,655
Taxation and Finance, Department of	0	30	34	37	37
Veterans' Affairs, Division of	431	470	542	576	576
Functional Total	1,531	2,410	2,889	3,094	3,268
ELECTED OFFICIALS					
Judiciary	19	0	0	0	0
Law, Department of	7,778	8,090	8,948	8,948	8,948
Functional Total	7,797	8,090	8,948	8,948	8,948
TOTAL GENERAL STATE CHARGES SPENDING	259,594	302,705	331,652	337,813	343,933

*Unaudited Year-end Results

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>	<u>2014-15</u>
RBTF - Dedicated PIT in excess of Debt Service			7,625,216	8,096,054	7,922,848	8,374,360	8,706,640
LGAC - Dedicated Sales Tax in excess of Debt Service			2,350,752	2,409,211	2,491,947	2,617,321	2,728,729
CWCA - Real Estate Transfer Tax in excess of Debt Service			348,120	394,617	469,383	556,049	634,190
Sending Agency	Fund	Account					
Total All Other Transfers			1,769,114	998,344	771,890	614,520	610,091
CQCAPD	020.D1	Disab Tech Asst	-	51	51	51	51
SED OTH	050.01	Tuition Reimb	23	23	23	23	23
SED OTH	050.02	Prop Voc Sch Su	297	308	313	317	317
SED OTH	052.01	Loc Govt Record	782	890	901	909	909
HLTH OTH	061.99	HCRA Undistributed	267,084	-	-	-	-
ENCON	078.00	Environ Protect	10,000	-	-	-	-
HLTH OTH	169.33	Medicaid Recov-	206	-	-	-	-
DOT/GSC	225.01	Mobility Tax Tr	9,416	-	-	-	-
TADA OTH	265.00	Federal HHS	48,000	41,000	41,000	41,000	41,000
CFS	265.00	Federal HHS	2,500	2,500	2,500	2,500	2,500
OMH	265.00	Federal HHS	-	210	158	-	-
JUDICIAR	290.00	Fed Oper Grant	5	-	-	-	-
ENCON	301.12	EnCon-Seized As	50	-	-	-	-
ENCON	301.48	Wst Tire Mgt/Re	7,946	5,946	5,946	5,946	5,946
ENCON	301.49	Oil & Gas Accou	100	48	48	48	48
ENCON	301.BJ	Indirect Charge	1,287	523	523	523	523
ENCON	301.S4	Encon Magazine	1,031	131	131	131	131
ENCON	301.XB	Mined Land Recl	1,100	1,700	1,700	1,700	1,700
ENCON	303.03	Oil Spill - DEC	-	574	574	574	574
LABOR	305.01	OSH Trng & Educ	1,249	866	866	866	866
LABOR	305.02	OSHA Inspection	2,441	2,188	2,188	2,188	2,188
CFS	307.01	Equip Loan Fund	7	7	7	7	7
ENCON	312.00	Hazardous Waste	19,949	34,750	28,750	28,750	28,750
DOT	313.02	Metro Mass Tran	-	10,008	-	-	-
DMV	314.02	Mobile Source	-	2,865	3,162	3,232	3,263
DOCS	331.FM	Farm Program	1,000	-	-	-	-
OPWDD	339.02	ICF/HCBS Loan	27	-	-	-	-
SPEC REV	339.00	State Special Revenue	-	(115,035)	-	-	-
HLTH OTH	339.03	S P A R C S	-	885	885	885	885
OPWDD	339.05	OPWDD Provider	-	7,041	6,189	6,189	-
STATE	339.07	Fire Prev/Code	16,503	14,810	14,810	14,810	14,810
DMV	339.09	DMV Seiz Assets	25	0	0	0	0
OPWDDM	339.10	Mental Hygiene	-	22,390	-	-	-
OMHM	339.10	Mental Hygiene	-	1,482	-	-	-
OMH	339.10	Mental Hygiene	647,816	214,035	20,000	20,000	20,000
OASASM	339.10	Mental Hygiene	-	3,805	-	-	-
OASAS	339.10	Mental Hygiene	-	50,851	-	-	-
OPWDD	339.10	Mental Hygiene	-	17,393	-	-	-
OPWDDM	339.13	M H Patient Inc	-	79,882	100,268	-	-
OMHM	339.13	M H Patient Inc	0	87,115	-	-	-
OMRDDM	339.13	Mental Hygiene	-	1,517	-	-	-
HLTH OTH	339.26	Cert of Need	1,086	1,086	1,086	1,086	1,086
HLTH OTH	339.28	Retir Community	2	2	2	2	2
LABOR	339.30	DOL Fee Penalty	8,381	8,372	8,372	8,372	8,372
PARKS	339.39	I Love NY Water	19	64	64	64	64
DOT	339.42	Tr Surplus Prop	803	803	803	803	803
HLTH OTH	339.44	Hosp & Nurs Mgt	376	376	376	376	376
DM & NA	339.61	Radiology	1,350	1,350	1,350	1,350	1,350
OVS/DCJS	339.62	Crim Jus Improv	13,139	22,052	22,052	22,052	22,052
STATE	339.72	NY Fire Academy	247	247	247	247	247
HLTH OTH	339.81	Envir.Lab.Fee A	366	183	183	183	183
CFS	339.88	Train Mgmt Eval	432	488	488	488	488
HLTH OTH	339.95	Radio Hlth Prot	216	216	216	216	216

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>	<u>2014-15</u>
SED OTH	339.A4	Teacher Certif	1,029	886	905	915	915
BANKING/DFR	339.A5	Banking Deptmnt	5,464	2,413	2,413	2,413	2,413
PUB SVC	339.A6	Cable TV Acctnt	101	-	-	-	-
ECON DEV	339.A7	Econ Devel Asst	92	92	92	92	92
DMV	339.AE	Motorcycle Sfty	4	5	6	6	6
STATE	339.AG	Business Licens	46,055	40,546	39,960	37,710	37,710
OCFS	339.AY	Mult Agen Train	75	0	0	0	0
HLTH OTH	339.B4	Radon Detct Dev	2	2	2	2	2
INSUR	339.B6	Insurance Dept	14,922	-	-	-	-
WCB	339.B7	Workers Comp Bd	130,623	-	-	-	-
STATE	339.B8	Fire Protection	13	13	13	13	13
LABOR	339.BA	Public Work Enf	3,019	2,930	2,930	2,930	2,930
RACING	339.BJ	Bell Jar Collec	526	202	202	202	202
TAX	339.BK	Ind & Util Serv	288	441	441	441	441
DOB	339.CR	Reven Arrearage	22,900	22,554	22,554	22,554	22,554
DOCS	339.CT	Cell Tower	-	660	-	-	-
OCFS	339.CY	Central Registry	-	4,822	4,822	4,822	4,822
AG&MKTS	339.CZ	Plant Industry	35	35	35	35	35
TAX	339.DC	Investment Serv	-	541	541	541	541
ECON DEV	339.DO	DED Marketing A	131	131	131	131	131
STATE	339.DQ	Tug Hill Admin	10	10	10	10	10
SED OTH	339.E5	Ofc of Professi	2,777	2,807	2,879	2,917	2,917
CQCAPD	339.EC	OASAS Fedl Sal	62	62	62	62	62
CIV SVC	339.ER	Exam & Misc Rev	1,503	1,506	1,506	1,506	1,506
HLTH OTH	339.ES	Eating Disorder	738	-	-	-	-
HLTH OTH	339.FP	Funeral	8	8	8	8	8
TAX	339.G3	Local Services	52	26	26	26	26
DOT	339.G7	DOT-Accident Da	424	-	-	-	-
DMV	339.GE	Federal-Seized	12	-	-	-	-
DMV	339.H7	DMV-Compulsory	15,339	15,368	15,536	15,562	15,562
DHCR	339.HI	Housing Indirec	100	-	-	-	-
HLTH OTH	339.HQ	Adlt Hme Qlty E	21	21	21	21	21
DMV	339.IC	Accid Prevent C	710	606	608	608	608
HLTH OTH	339.J1	Loc Pub Hlth	5	5	5	5	5
DHCR	339.J5	DHCR HCA Applic	226	-	-	-	-
HLTH OTH	339.J6	EPIC Premium Ac	37,525	24,625	52,000	0	0
HLTH OTH	339.JA	Vital Rec Mgmt	2,252	2,252	2,252	2,252	2,252
CFS	339.K1	Hwy Rev/Soc Sec	50	50	50	50	50
HLTH OTH	339.L2	Asst Living Res	9	9	9	9	9
TADA OTH	339.L7	OTDA Income Acc	326	-	-	-	-
HSES	339.LZ	Pub Safe Commun	45,000	20,000	20,000	20,000	20,000
DHCR	339.NG	Low Inc Housing	146	-	-	-	-
ECON DEV	339.P4	Procure Op News	100	100	100	100	100
AG&MKTS	339.PD	Pet Dealer	7	7	7	7	7
ABO	339.PO	Auth Bdgt Office	39	39	39	39	39
HLTH OTH	339.PS	Patient Safety	73	73	73	73	73
HLTH OTH	339.Q2	Helen Hayes Hos	-	6,018	6,018	6,018	6,018
HLTH OTH	339.Q3	NYC Veterans	-	1,081	1,081	1,081	1,081
HLTH OTH	339.Q4	NYS Home-Vetera	-	768	768	768	768
HLTH OTH	339.Q5	WNY Vets Home	-	699	699	699	699
HLTH OTH	339.Q6	Montrose S V H	-	366	366	366	366
AG&MKTS	339.R4	Motor Fuel Qual	(249)	565	565	565	565
AG&MKTS	339.R5	Weights Measure	37	37	37	37	37
DOB	339.ST	Systems & Tech	725	833	833	833	833
PARKS	339.T2	OPR Patron Serv	10,388	902	903	903	903
SED OTH	339.TM	Teacher Ed Accr	28	21	21	21	21
TSCR	339.TS	TSCR Account	0	92,371	104,011	104,011	104,011
PUB SVC	339.US	Undrgrnd Sfty T	100	175	150	150	150
AG&MKTS	339.XX	A&M-Aggregated	-	89	89	89	89
CFS	339.YF	Yth Fac PerDiem	188,550	147,046	140,761	147,344	147,344

General Fund Transfers From Other Funds
(thousands of dollars)

			<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-14</u>	<u>2014-15</u>
OGS	339.YL	OGS Bldg Admin	1,000	1,000	1,000	1,000	1,000
OGS	339.YN	OGS Std & Purch	5,000	3,000	3,000	3,000	3,000
OMH	343.00	Mental Hygiene	-	2	58	48	10
SUNY	345.10	S U Genl IFR	-	884	884	884	884
SUNY	345.11	S U Genl IFR	38,769	22,000	22,000	22,000	22,000
SUNY	345.22	SUNY Hosptials	-	39,619	41,130	39,211	40,993
STATE	349.01	Lk George Park	197	197	197	197	197
OMH	353.00	MH & MR Communi	-	-	23	19	4
ENCON	355.01	Great Lakes Pro	200	60	60	60	60
DHCR	360.00	Housing Develop	360	-	-	-	-
SED OTH	365.01	Vocatl Rehabil	64	32	32	32	32
ORDA	385.01	Lk Placid Train	-	23	23	23	23
ILS	390.01	Indigent Legal	28,000	0	0	0	0
LABOR	482.01	UI Sp Int & Pen	10,666	10,711	10,711	3,211	3,211
Pursuant to Ch 313, SRO Transfers to FMAP Contingency Fund 014			87,225	-	-	-	-
			<u>12,093,202</u>	<u>11,898,226</u>	<u>11,656,068</u>	<u>12,162,250</u>	<u>12,679,650</u>

General Fund Transfers To Other Funds
(thousands of dollars)

<u>Receiving Agency</u>	<u>Fund</u>	<u>Account</u>	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u>	<u>2014-2015</u>
Total Transfers to Debt Service Funds			1,737,068	1,448,867	1,712,467	1,658,156	1,565,911
DEBT SVC	311	Genl Debt Servc	1,737,068	1,448,867	1,712,467	1,658,156	1,565,911
Total Transfers to Capital Projects Funds			931,785	799,567	1,167,819	1,360,783	1,455,746
CAP PROJ	002.00	CPF	311,683	274,738	492,032	602,418	697,749
OMH	002.00	CPF	-	33,570	33,570	33,570	33,570
OPWDD	002.00	CPF	-	35,579	35,579	35,579	35,579
OASAS	002.00	CPF	-	11,597	12,207	11,560	18,039
DOT	002.00	CPF	-	3,000	-	-	-
OGS	002.00	CPF	-	(10,000)	-	-	-
CAP PROJ	002.CC	CPF - Auth Bond	-	1,230	1,000	1,000	1,000
DOT	072.00	DHBTF	586,602	449,853	593,431	676,656	669,809
DOT	291.DD	Fed Grants-Cap	33,500	-	-	-	-
Total Transfers to State Share Medicaid			2,497,242	3,031,916	3,119,199	3,082,303	3,082,303
DMH	339.10	Mental Hygiene	2,497,242	3,031,916	3,119,199	3,082,303	3,082,303
Total All Other Transfers			840,793	739,156	738,276	1,058,429	1,691,549
FPADJ	020.00	Combined Exp Tr	-	79,560	57,560	57,560	57,560
CFS	020.78	WB Hoyt Memoria	1,287	622	622	622	622
HLTH OTH	020.AA	Alzheimers Dis	297	250	250	250	250
HLTH OTH	020.BD	Br Can Res & Ed	578	650	650	650	650
HLTH OTH	020.PR	Prostate Cancer	230	150	150	150	150
SED OTH	054.01	Chtr Sch Sti Ac	4,784	-	-	-	-
SED GSPS	160.03	Education - New	123,153	-	-	-	-
DOT	225.01	Mobility Tax Tr	22,594	24,500	24,500	24,500	24,500
OMH	265.00	Federal HHS	-	-	-	13	36
OMH	267.00	Fed Education	-	-	1	-	-
DMH	304.00	M. Health Servi	8,971	-	-	-	91,542
DOT	313.01	Pub Tran Systms	23,472	12,000	12,000	12,000	12,000
DOT	313.02	Metro Mass Tran	16,150	19,100	19,100	19,100	19,100
DHCR	316.00	Housing Debt	3,988	1,000	1,000	1,000	1,000
HLTH OTH	319.00	DOH Income Fund	13,442	16,079	16,079	16,079	16,079
OGS	323.ZX	OGS Exec Direct	15,520	-	-	-	-
TAX	334.12	Banking Service	74,160	55,430	55,370	55,370	55,380
OPWDD	339.05	OPWDD Provider	-	-	-	-	1,476
SED OTH	339.08	Rome School	400	1,100	705	705	705
DMH	339.10	Mental Hygiene	-	-	-	201,398	201,398
OMH	339.10	Mental Hygiene	-	-	-	82,062	248,384
DMH	339.13	M H Patient Inc	-	-	-	33,703	337,974
OPWDDM	339.13	M H Patient Inc	-	-	-	-	80,249
HLTH OTH	339.AW	Spinal Injury	885	1,575	-	-	-
DCJS	339.CA	Crimes Against	10,000	16,000	16,000	16,000	16,000
SED OTH	339.D9	Batavia School	700	810	755	755	755
ABC	339.DB	Alcohol Beverag	18,178	17,224	17,373	18,951	19,851
FMS	339.FM	FMS Account	4,650	42,000	55,100	55,200	55,200
DCJS	339.IM	Leg Svcs Assist	4,000	-	-	-	-
HLTH OTH	339.QC	Quality of Care	-	1,500	2,500	-	-
SED OTH	339.S1	Medicaid Income	186	-	-	-	-
SCI	339.SR	ES Stem Cell Tr	43,616	-	11,373	13,673	-
DM & NA	339.U2	Recruitment Inc	2,087	2,087	2,087	2,087	2,087
JUDICIAR	340.AA	CFIA Undistrib	122,878	109,000	110,000	112,000	114,000
SUNY	345.11	S U Inc Offset	8,318	8,318	8,318	8,318	8,318
SUNY	345.22	SUNY Hosp Medicaid	206,861	200,000	200,000	200,000	200,000
SUNY	345.22	SUNY Hosp Operations	32,650	60,000	60,000	60,000	60,000
SUNY	345.31	SUNY Stabilizat	3,475	3,000	-	-	-
OMH	353.00	MH & MR Communi	-	18	-	-	-
JUDICIAR	368.01	NYCCC Operat Of	8,363	9,600	9,200	8,700	8,700
ILS	390.01	Indigent Legal	45,435	40,000	40,000	40,000	40,000
CIV SVC	396.00	Health Ins Intr	5,485	7,843	7,843	7,843	7,843
CIV SVC	396.01	CS EBD Adm Reim	-	240	240	240	240
DOCS	397.00	Corr Industries	14,000	9,500	9,500	9,500	9,500
			6,006,888	6,019,506	6,737,761	7,159,671	7,795,509

**2010-2011 SPECIAL REVENUE FUND BALANCE SWEEPS
PURSUANT TO \$500 MILLION AUTHORIZATION
(dollars in thousands)**

<u>Dispensing Fund/Account</u>		<u>Receiving Fund/Account</u>		
061.99	HCRA Undistributed Account	003	General Fund	267,084,000.00
339.J6	EPIC Premium Account	003	General Fund	37,525,000.00
390.00	Indigent Legal	003	General Fund	20,000,000.00
345.10	SUNY General Income Fund Revenue Account	003	General Fund	16,769,200.00
339.T2	OPR Patron Services Account	003	General Fund	10,388,000.00
339.B6	Insurance Department Account	003	General Fund	9,922,000.00
301.48	Waste Tire Management Account	003	General Fund	7,946,000.00
339.H7	DMV Compulsory Insurance Account	003	General Fund	6,688,979.65
339.AG	Business Licensing Account	003	General Fund	6,491,000.00
482.01	Unemployment Insurance Interest and Penalty	003	General Fund	5,666,000.00
339.A5	Banking Department Account	003	General Fund	5,464,000.00
339.BA	Public Work Enforcement Account	003	General Fund	3,019,000.00
339.E3	Ofc of Professi	003	General Fund	2,777,000.00
305.02	OSHA Inspection Account	003	General Fund	2,441,009.42
339.JA	Vital Records Management Account	003	General Fund	2,252,000.00
339.30	Department of Law Fee Penalty Account	003	General Fund	1,931,000.00
339.07	Fire Prev/Code	003	General Fund	1,693,000.00
339.DE	Surplus Property	003	General Fund	1,500,000.00
339.61	Radiology	003	General Fund	1,350,000.00
339.CR	Revenue Arrearage Account	003	General Fund	1,348,000.00
301.BJ	Indirect Charge Account	003	General Fund	1,287,000.00
305.01	OSH Training and Education Account	003	General Fund	1,249,000.00
301.XB	Mined Land Reclamation Account	003	General Fund	1,100,000.00
339.26	Certificate of Need Account	003	General Fund	1,086,000.00
301.S4	Encon Magazine Account	003	General Fund	1,031,000.00
339.A4	Teacher Certificate Account	003	General Fund	1,029,000.00
339.BQ	Parking Account	003	General Fund	1,000,000.00
339.42	Tr Surplus Prop	003	General Fund	803,000.00
052.01	Local Government Records Account	003	General Fund	782,000.00
339.ST	Systems and Technology Account	003	General Fund	725,000.00
339.BJ	Bell Jar Collection Account	003	General Fund	436,000.00
339.88	Training Management Evaluation Account	003	General Fund	432,000.00
339.G7	DOT Accident Damage Recovery Account	003	General Fund	424,000.00
339.IC	Accident Prevention Coordination Account	003	General Fund	410,000.00
339.44	Hospital and Nursing Home Management Account	003	General Fund	376,000.00
339.81	Environmental Lab Fee Account	003	General Fund	366,000.00
360.00	Housing Development Account	003	General Fund	360,000.00
339.L7	Federal Administration Reimbursement Account	003	General Fund	326,000.00
050.02	Prop Vocational Account	003	General Fund	297,000.00
339.BK	Ind & Util Srvc	003	General Fund	288,000.00
339.62	Criminal Justice Improvement Account	003	General Fund	278,000.00
339.72	NY Fire Academy	003	General Fund	247,000.00
339.J5	DHCR HCA Application Account	003	General Fund	226,000.00
339.95	Radio Health Protection Account	003	General Fund	216,000.00
355.01	Great Lakes Protection Account	003	General Fund	200,000.00
349.01	Lake George Park Account	003	General Fund	197,000.00
339.NG	Low Income Housing Account	003	General Fund	146,000.00
339.DO	DED Marketing Account	003	General Fund	131,000.00
339.A6	Cable TV Account	003	General Fund	101,000.00
301.49	Oil & Gas Account	003	General Fund	100,000.00
339.HI	Housing Indirect	003	General Fund	100,000.00
339.P4	Procure Op News Account	003	General Fund	100,000.00
339.A7	Economic Development Assistance Account	003	General Fund	92,000.00
339.AY	Multiple Agency Training Account	003	General Fund	75,000.00
339.PS	Patient Safety Account	003	General Fund	73,000.00
365.01	Vocational Rehabilitation Account	003	General Fund	64,000.00
339.EC	Fed Salary Share	003	General Fund	62,000.00
339.G3	Local Services Account	003	General Fund	52,000.00
301.12	Seized Assets Account	003	General Fund	50,000.00
339.K1	Hwy Rev/Soc Srv	003	General Fund	50,000.00
339.PO	Auth Budget Office	003	General Fund	39,000.00
339.R5	Weights and Measures Account	003	General Fund	37,000.00
339.CZ	Plant Industry Account	003	General Fund	35,000.00
339.TM	Teacher Education Account	003	General Fund	28,000.00
339.09	DMV Seized Assets	003	General Fund	25,000.00
050.01	Tuition Reimbursement Account	003	General Fund	23,000.00
339.HQ	Adult Home Quality Account	003	General Fund	21,000.00
339.39	I Love NY Water Account	003	General Fund	19,000.00
339.B8	Fire Protection Account	003	General Fund	13,000.00
339.GE	Federal Seized Assets Account	003	General Fund	12,000.00
339.DQ	Tug Hill Admin	003	General Fund	10,000.00
339.L2	Assisted Living Residence Account	003	General Fund	9,000.00
339.FP	Funeral Account	003	General Fund	8,000.00
307.01	Equipment Loan Fund Account	003	General Fund	7,000.00
339.PD	Pet Dealer Account	003	General Fund	7,000.00
339.J1	Local Public Health Account	003	General Fund	5,000.00
339.AE	Motorcycle Safety	003	General Fund	4,000.00
339.28	Retirement Community Account	003	General Fund	2,000.00
339.B4	Radon Detection Development Account	003	General Fund	2,000.00
		Total Transfers		428,928,189.07

The above schedule identifies \$428.9 million from specific funds and accounts that were transferred pursuant to the \$500 million authorization.

**CASH COMBINING STATEMENT
GENERAL FUND
2011-2012
(millions of dollars)**

	General Fund	Stabilization Reserve Fund	Tax Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Debt Reduction Reserve Fund	Eliminations	Total
Opening fund balance	0	1,031	21	136	175	13	0	1,376
Receipts:								
Taxes	42,237	0	0	0	0	0	0	42,237
Miscellaneous receipts	3,098	0	0	0	0	0	0	3,098
Federal grants	60	0	0	0	0	0	0	60
Total receipts	45,395	0	0	0	0	0	0	45,395
Disbursements:								
Grants to local governments	38,803	0	0	85	0	0	0	38,888
State operations	7,356	0	0	0	0	0	0	7,356
General State charges	4,668	0	0	0	0	0	0	4,668
Debt service	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0
Total disbursements	50,827	0	0	85	0	0	0	50,912
Other financing sources (uses):								
Transfers from other funds	42,139	0	0	0	100	0	(30,341)	11,898
Transfers to other funds	(36,361)	0	0	0	0	0	30,341	(6,020)
Bond and note proceeds	0	0	0	0	0	0	0	0
Net other financing sources (uses)	5,778	0	0	0	100	0	0	5,878
Change in fund balance	346	0	0	(85)	100	0	0	361
Closing fund balance	346	1,031	21	51	275	13	0	1,737

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2011-2012
(thousands of dollars)**

	<u>019</u>	<u>020</u>	<u>023</u>	<u>024</u>	<u>025</u>	<u>050</u>	<u>052</u>	<u>053</u>	<u>054</u>	<u>059</u>	<u>061</u>
Opening Fund Balance	2,221	62,580	8,187	163	71	4,657	2,790	(1)	4,256	19	159,229
Receipts:											
Taxes	0	0	0	0	0	0	0	3,292,520	0	0	1,194,000
Miscellaneous Receipts	140	20,874	10,000	290	300	3,375	9,500	0	4	0	4,288,241
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	140	20,874	10,000	290	300	3,375	9,500	3,292,520	4	0	5,482,241
Disbursements:											
Grants to Local Governments	0	6,654	6,700	0	0	0	6,259	3,292,520	2,321	0	5,294,941
State Operations	140	47,695	1,507	414	207	3,390	2,366	0	495	0	59,954
General State Charges	0	622	382	141	71	699	771	0	0	0	6,412
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	5,000	0	0	0	0	0	0	0	0	0
Total Disbursements	140	59,971	8,589	555	278	4,089	9,396	3,292,520	2,816	0	5,361,307
Other Financing Sources (Uses):											
Transfers from Other Funds	0	81,232	0	300	0	0	0	0	0	0	0
Transfers to Other Funds	0	(51)	0	(6)	0	(331)	(2,067)	0	0	0	(280,161)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	81,181	0	294	0	(331)	(2,067)	0	0	0	(280,161)
Change in Fund Balance	0	42,084	1,411	29	22	(1,045)	(1,963)	0	(2,812)	0	(159,227)
Closing Fund Balance	2,221	104,664	9,598	192	93	3,612	827	(1)	1,444	19	2

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2011-2012
(thousands of dollars)**

	<u>073</u>	<u>160</u>	<u>221</u>	<u>225</u>	<u>261</u>	<u>265</u>	<u>267</u>	<u>269</u>	<u>290</u>	<u>300</u>	<u>301</u>
Opening Fund Balance	93,729	35,025	18,975	68,983	(427)	6,393	(206,183)	0	143,437	1,571	(30,662)
Receipts:											
Taxes	468,400	0	0	1,540,000	0	0	0	0	0	0	0
Miscellaneous Receipts	214,146	3,071,571	22,341	181,000	98,900	50,101	2,846	0	(23,031)	9,618	78,838
Federal Grants	0	0	650	0	1,685,303	32,754,794	4,727,449	63,461	974,702	0	0
Total Receipts	682,546	3,071,571	22,991	1,721,000	1,784,203	32,804,895	4,730,295	63,461	951,671	9,618	78,838
Disbursements:											
Grants to Local Governments	661,046	2,884,000	0	1,755,706	1,683,250	28,201,433	4,147,598	59,607	636,320	0	0
State Operations	0	165,325	21,556	0	62,196	493,824	527,891	3,268	266,483	7,855	68,339
General State Charges	0	11,465	0	0	10,045	95,052	39,847	586	35,172	1,845	16,243
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	661,046	3,060,790	21,556	1,755,706	1,755,491	28,790,309	4,715,336	63,461	937,975	9,700	84,582
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	24,500	0	0	0	0	500	0	20,559
Transfers to Other Funds	0	0	0	0	(28,712)	(4,014,586)	(14,959)	0	(14,196)	(71)	(14,144)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	24,500	(28,712)	(4,014,586)	(14,959)	0	(13,696)	(71)	6,415
Change in Fund Balance	21,500	10,781	1,435	(10,206)	0	0	0	0	0	(153)	671
Closing Fund Balance	115,229	45,806	20,410	58,777	(427)	6,393	(206,183)	0	143,437	1,418	(29,991)

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2011-2012
(thousands of dollars)**

	<u>302</u>	<u>303</u>	<u>305</u>	<u>306</u>	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>
Opening Fund Balance	70,456	10,081	4,051	4,888	450	16,807	(15,165)	66	9,576	3,478	1,184
Receipts:											
Taxes	0	0	0	0	0	1,824,200	0	0	0	0	0
Miscellaneous Receipts	54,021	55,859	49,853	8,000	80	21,810	47,300	0	1,719	115	200
Federal Grants	0	0	0	0	0	0	0	0	0	0	0
Total Receipts	54,021	55,859	49,853	8,000	80	1,846,010	47,300	0	1,719	115	200
Disbursements:											
Grants to Local Governments	0	0	0	0	0	1,719,789	0	0	0	0	0
State Operations	33,943	24,709	35,436	11,500	110	3,696	33,230	0	950	58	163
General State Charges	10,905	4,367	11,263	98	0	1,468	12,098	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	44,848	29,076	46,699	11,598	110	1,724,953	45,328	0	950	58	163
Other Financing Sources (Uses):											
Transfers from Other Funds	1,300	20,306	0	2,000	0	47,821	0	0	0	0	0
Transfers to Other Funds	(3,040)	(37,532)	(3,054)	0	(7)	(191,721)	(2,935)	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	(1,740)	(17,226)	(3,054)	2,000	(7)	(143,900)	(2,935)	0	0	0	0
Change in Fund Balance	7,433	9,557	100	(1,598)	(37)	(22,843)	(963)	0	769	57	37
Closing Fund Balance	77,889	19,638	4,151	3,290	413	(6,036)	(16,128)	66	10,345	3,535	1,221

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2011-2012
(thousands of dollars)**

	338	339	340	341	345	346	349	354	355	359	360
Opening Fund Balance	731	862,297	6,004	41	651,355	3,319	997	(29,921)	608	23	8,694
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	50	2,985,293	0	0	3,650,257	6,452	1,208	119,700	380	3,709	900
Federal Grants	0	89	0	0	0	0	0	0	0	0	0
Total Receipts	50	2,985,382	0	0	3,650,257	6,452	1,208	119,700	380	3,709	900
Disbursements:											
Grants to Local Governments	98	3,048,246	104,200	0	0	6,970	0	4,937	0	0	852
State Operations	0	4,609,358	2,100	15	3,830,671	546	666	125,049	77	0	0
General State Charges	0	1,452,142	800	8	300,099	33	244	86	43	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	(15)	0	0	0	0	0	0	0	0	0
Total Disbursements	98	9,109,731	107,100	23	4,130,770	7,549	910	130,072	120	0	852
Other Financing Sources (Uses):											
Transfers from Other Funds	0	10,545,910	109,000	0	631,934	0	0	0	0	0	0
Transfers to Other Funds	0	(4,361,336)	(813)	0	(189,069)	0	(197)	0	(60)	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	6,184,574	108,187	0	442,865	0	(197)	0	(60)	0	0
Change in Fund Balance	(48)	60,225	1,087	(23)	(37,648)	(1,097)	101	(10,372)	200	3,709	48
Closing Fund Balance	683	922,522	7,091	18	613,707	2,222	1,098	(40,293)	808	3,732	8,742

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2011-2012
(thousands of dollars)**

	<u>362</u>	<u>365</u>	<u>366</u>	<u>368</u>	<u>369</u>	<u>377</u>	<u>385</u>	<u>390</u>	<u>480</u>	<u>482</u>
Opening Fund Balance	(2,329)	122	(5,221)	(11,851)	2,677	101,563	17	6,619	65,495	5,243
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	3,068	117	7,960	22,400	26,500	151,990	200	73,000	3,000	9,600
Federal Grants	0	0	0	0	0	0	0	0	417,422	0
Total Receipts	<u>3,068</u>	<u>117</u>	<u>7,960</u>	<u>22,400</u>	<u>26,500</u>	<u>151,990</u>	<u>200</u>	<u>73,000</u>	<u>420,422</u>	<u>9,600</u>
Disbursements:										
Grants to Local Governments	0	61	0	0	0	0	0	77,000	14,200	0
State Operations	3,425	47	6,151	25,900	18,400	136,747	163	26,136	293,047	1,960
General State Charges	0	0	2,940	10,900	7,900	6,541	0	364	113,175	890
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
Total Disbursements	<u>3,425</u>	<u>108</u>	<u>9,091</u>	<u>36,800</u>	<u>26,300</u>	<u>143,288</u>	<u>163</u>	<u>103,500</u>	<u>420,422</u>	<u>2,850</u>
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	0	9,600	0	0	0	40,000	0	0
Transfers to Other Funds	0	(32)	0	0	0	0	(23)	0	0	(10,711)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	<u>0</u>	<u>(32)</u>	<u>0</u>	<u>9,600</u>	<u>0</u>	<u>0</u>	<u>(23)</u>	<u>40,000</u>	<u>0</u>	<u>(10,711)</u>
Change in Fund Balance	<u>(357)</u>	<u>(23)</u>	<u>(1,131)</u>	<u>(4,800)</u>	<u>200</u>	<u>8,702</u>	<u>14</u>	<u>9,500</u>	<u>0</u>	<u>(3,961)</u>
Closing Fund Balance	<u>(2,686)</u>	<u>99</u>	<u>(6,352)</u>	<u>(16,651)</u>	<u>2,877</u>	<u>110,265</u>	<u>31</u>	<u>16,119</u>	<u>65,495</u>	<u>1,282</u>

**CASH COMBINING STATEMENT
SPECIAL REVENUE FUNDS
2011-2012
(thousands of dollars)**

	<u>484</u>	<u>486</u>	<u>SRO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	57	445	817	2,149,687	0	2,149,687
Receipts:						
Taxes	0	0	0	8,319,120	0	8,319,120
Miscellaneous Receipts	0	0	0	15,343,795	0	15,343,795
Federal Grants	8,284	239,584	0	40,871,738	0	40,871,738
Total Receipts	8,284	239,584	0	64,534,653	0	64,534,653
Disbursements:						
Grants to Local Governments	0	189,896	0	53,804,604	0	53,804,604
State Operations	8,284	40,860	0	11,006,302	0	11,006,302
General State Charges	0	8,828	0	2,164,545	0	2,164,545
Debt Service	0	0	0	0	0	0
Capital Projects	0	0	0	4,985	0	4,985
Total Disbursements	8,284	239,584	0	66,980,436	0	66,980,436
Other Financing Sources (Uses):						
Transfers from Other Funds	0	0	0	11,534,962	(4,211,776)	7,323,186
Transfers to Other Funds	0	0	167,375	(9,002,439)	4,211,776	(4,790,663)
Bond & Note Proceeds	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	167,375	2,531,523	0	2,531,523
Change in Fund Balance	0	0	167,375	85,740	0	85,740
Closing Fund Balance	57	445	168,192	2,236,427	0	2,236,427

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)
2011-2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.00-Ment Hyg Gfts	2,220	0	140	0	0	0	140	0	0	140	0	0	0	0	0	0	140	2,220
020.00-Combined Exp Tr	(35)	0	0	0	0	75,560	79,560	0	0	42,500	0	0	0	0	0	0	42,500	37,025
020.01-Planting Fields	1,146	0	350	0	0	0	350	0	209	47	7	0	94	0	0	0	357	1,139
020.03-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.06-Animal Disease	51	0	51	0	0	0	51	0	0	46	0	0	0	0	0	0	46	56
020.20-DOCS Gft & Don	71	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	71
020.22-Helen Hayes Hsp	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
020.23-Oxford Donation	108	0	22	0	0	0	22	0	0	73	0	0	0	0	0	0	73	57
020.25-Donat-St-Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.26-CVB Gfts & Beq	50	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	47
020.29-DCJS - MUNY Pol	1	0	25	0	0	0	25	0	0	25	0	0	0	0	0	0	25	1
020.30-Donations-Belav	27	0	10	0	0	0	10	0	19	0	0	0	0	0	0	0	19	18
020.33-Montrose Donat	105	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	105
020.36-IBR Genetic Cou	47	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	105	47
020.3A-Tech Transfer	21	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	(9)
020.49-Spec Events	490	0	1,249	0	0	0	1,249	0	0	196	0	0	0	0	0	0	196	1,543
020.62-L.M. Josephthal	52	0	3	0	0	0	3	0	0	2	0	0	0	0	0	0	2	53
020.63-RPMI Gnt & Beq	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.64-S U Restrict Cur	12	0	1,109	0	0	0	1,109	0	51	879	0	0	215	0	0	0	1,145	691
020.69-CBVH Vend Stand	727	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.76-RPMI Schoellkf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.78-WB Hoyr Memoria	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.79-CBVH Gft & Beq	4,045	0	110	0	0	622	732	1,500	0	15	0	0	0	0	0	0	1,500	3,277
020.82-St Transm Money	178	0	5	0	0	0	5	0	0	930	0	0	0	0	0	0	930	168
020.83-Human Rights Dis	15,755	0	8,000	0	0	0	8,000	0	0	0	0	0	0	0	0	0	0	22,825
020.88-Gifts, Grants & Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.A7-Gifts, Grants & Dis	340	0	50	0	0	0	50	0	60	8	0	0	2	0	0	0	70	320
020.AA-Alzheimers Dis	1,353	0	12	0	0	250	250	0	0	532	0	0	0	0	0	0	532	1,071
020.AB-Local Gov Comm	142	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	7	147
020.AH-Prostate Testic	323	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	6	317
020.AR-Autism Awe & S	58	0	2,688	0	0	0	2,688	3,998	0	0	0	0	0	0	0	0	0	58
020.AU-Emergency Serv	8,128	0	20	0	0	0	20	0	126	3	5	0	61	0	0	0	4,193	6,623
020.B1-Bellevue Charlot	359	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	356
020.B3-Rome-Gfts And	2	0	0	0	0	0	0	0	0	19	0	0	0	0	0	0	19	3
020.B4-DY Rec & Weir	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.B8-DAAA Gnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.BD-Br Can Res & Ed	8,260	0	0	0	0	650	650	0	187	613	0	0	0	0	0	0	800	8,110
020.CE-Community Relat	1	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	0	101
020.D1-Disab Tech Asst	139	0	155	0	0	0	155	0	58	22	2	0	28	0	0	51	161	133
020.E1-Missing Children	846	0	340	0	0	0	340	0	237	133	7	0	115	0	0	0	492	694
020.E5-DMNA Youth Prog	32	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	32
020.EC-Erie Canal Muse	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.F1-Women Ver Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.GB-Grants and Beq	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.GW-CCF Gfts & Beqs	95	0	87	0	0	0	87	0	25	54	1	0	9	0	0	89	93	93
020.HH-OMH Grant & Beq	469	0	20	0	0	0	20	0	0	20	0	0	0	0	0	0	20	469
020.LP-Life Pass It on	318	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	318
020.MG-Misc. Gfts Acc	11,997	0	4,000	0	0	0	4,000	0	0	0	0	0	0	0	5,000	0	5,000	10,997
020.MS-Multiple Sclero	18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18
020.PM-Parole Ofc Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.PR-Prostate Cancer	2,345	0	0	0	0	150	150	0	0	0	0	0	0	0	0	0	0	2,495
020.PT-Percy T Phillip	40	0	1	0	0	0	1	0	(3)	1	0	0	(1)	0	0	0	0	40
020.RW-Aging Grants An	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)	0	(3)
020.RW-RV Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.XK-Grants Account	1,225	0	2,000	0	0	0	2,000	1,156	117	250	3	0	98	0	0	0	1,625	1,600
020.ZS-Grants	159	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	459
020.ZY-Misc. Gfts Acc	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
020.ZZ-Donated Funds	1,777	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,777
03.00-N Y Int.Lawyers	8,167	0	10,000	0	0	0	10,000	6,700	738	718	50	0	382	0	0	0	8,589	9,586
04.00-NYS Archvs Pine	164	0	290	0	0	300	590	0	289	116	9	0	141	0	0	6	561	193
05.00-Child Performer	73	0	300	0	0	0	300	0	139	64	4	0	71	0	0	0	278	95
05.01-Tuition Reimb	2,266	0	375	0	0	0	375	0	0	250	0	0	0	0	0	23	273	2,368
05.02-Prop Voc Sch Su	2,391	0	3,000	0	0	0	3,000	0	1,468	1,625	47	0	699	0	0	308	4,147	1,244
05.01-Loc Govt Record	2,789	0	9,500	0	0	0	9,500	6,259	2,020	278	68	0	771	0	0	2,067	11,463	826
05.30-Sch Tax Relief	(1)	3,282,520	0	0	0	0	3,282,520	3,282,520	0	0	0	0	0	0	0	0	0	(1)
05.41-Chtr Sch Ac	4,254	0	4	0	0	0	4	2,321	0	495	0	0	0	0	0	0	2,816	1,442
056.01-Greenway Commu	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
056.02-Greenway Hent	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1

**CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 338)
2011-2012**
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
059.01-Alcohol&Subst A	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	19
061.01-Tobacco Cnt &	41	0	0	0	0	0	0	0	2,111	406	66	0	1,082	0	0	503	4,170	(4,129)
061.02-Health Care Srv	9,982	0	0	0	0	0	0	120,108	0	0	0	0	64	0	0	0	120,172	(110,160)
061.03-Medicaid Fraud	116	0	0	0	0	0	0	0	56	250	3	0	33	0	0	0	342	(224)
061.04-Medical Assst.	437	0	0	0	0	0	0	3,388,377	1,521	4,142	49	0	780	0	0	0	3,389,069	(3,389,432)
061.06-Enhanced Com	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
061.06-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
061.07-HCRA Program	24,229	0	0	0	0	0	0	498,498	0	23,334	0	0	0	0	0	0	521,832	(497,603)
061.09-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
061.22-EMS Training	3,391	0	0	0	0	0	0	0	2,814	13,339	95	0	1,435	0	0	667	18,350	(14,959)
061.29-Child Health In	(44,912)	0	0	0	0	0	0	324,744	1,285	5,219	31	0	687	0	0	394	332,360	(377,272)
061.99-HCRA Undistrib	153,716	1,194,000	4,288,241	0	0	0	5,482,241	0	0	0	0	0	0	0	0	277,526	5,339,431	277,526
061.AF-Hospital Based	302	0	0	0	0	0	0	11,204	0	0	0	0	0	0	0	0	11,204	(10,902)
061.AH-Ad Home Res Co	24	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(36)
061.BN-Primary Care In	225	0	0	0	0	0	0	0	490	0	16	0	243	0	0	125	874	(649)
061.DN-Prev Coll Maint	538	0	0	0	0	0	0	0	2,098	204	66	0	1,042	0	0	482	3,902	(3,364)
061.H3-Pilot Health In	374	0	0	0	0	0	0	0	1,058	94	34	0	520	0	0	286	1,992	(1,618)
061.IN-Indigent Care	23,721	0	0	0	0	0	0	791,500	0	0	0	0	0	0	0	0	791,500	(767,779)
061.J6-EPIC Premium	(13,350)	0	0	0	0	0	0	166,450	0	0	0	0	0	0	0	0	166,450	(178,800)
061.LB-Health Occup De	176	0	0	0	0	0	0	0	772	80	27	0	387	0	0	129	1,405	(1,229)
061.LC-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
061.LE-Health Care Del	203	0	0	0	0	0	0	0	266	17	9	0	129	0	0	39	460	(257)
068.01-Dispo Sh Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
073.01-Transit Authori	54,126	366,000	167,232	0	0	0	533,232	518,700	0	0	0	0	0	0	0	0	518,700	68,658
073.02-Railroad Accoun	9,653	64,800	29,449	0	0	0	94,249	90,700	0	0	0	0	0	0	0	0	90,700	13,102
073.03-DMTF	30,047	37,600	17,465	0	0	0	55,065	51,646	0	0	0	0	0	0	0	0	51,646	33,486
160.08-Education - New	0	0	2,200,000	0	0	0	2,200,000	2,200,000	0	0	0	0	0	0	0	0	2,200,000	0
160.04-State Lottery	18,526	0	170,371	0	0	0	170,371	0	21,188	133,158	606	0	9,704	0	0	0	164,057	24,240
160.06-VLT - Admin	16,498	0	17,200	0	0	0	17,200	0	3,434	6,828	110	0	1,761	0	0	0	12,133	21,565
160.06-VLT - Education	4	0	694,000	0	0	0	694,000	694,000	0	0	0	0	0	0	0	0	694,000	4
221.00-Comb Student Ln	18,976	0	22,341	650	0	24,500	22,991	1,457,406	0	21,556	0	0	0	0	0	0	21,556	20,411
225.02-MTA Aid Trust	50,895	1,422,000	181,000	0	0	0	299,000	288,300	0	0	0	0	0	0	0	0	1,457,406	39,989
300.01-E F C Admin Acc	2,183	118,000	3,600	0	0	0	3,600	0	3,650	500	0	0	1,845	0	0	0	286,300	18,787
300.02-EricoN Admin Acc	(612)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,206
301.01-EnCon-Energy Ef	29	0	0	0	0	0	0	0	3,696	9	0	0	0	0	0	71	3,776	(789)
301.12-EnCon-Seized As	1	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	29
301.48-Wst Tire MgrRe	4,480	0	22,000	0	0	0	22,000	0	7,450	6,054	188	0	3,600	0	0	5,946	23,218	3,262
301.49-Oil & Gas Accou	146	0	48	0	0	0	48	0	0	38	0	0	0	0	0	48	86	108
301.52-Marine/Coastal	83	0	1	0	0	10,559	10,559	0	1,462	4,869	61	0	731	0	0	523	7,646	6,919
301.BJ-Indirect Charge	4,006	0	350	0	0	0	350	0	179	33	8	0	111	0	0	0	331	(42)
301.F7-Hazardous Sub B	(61)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.G8-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.H4-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.IC-Fed Indirect R	(452)	0	30	0	0	10,000	10,030	0	8,789	602	0	0	4	0	0	0	9,395	183
301.K5-Low Level Radio	(5,278)	0	2,720	0	0	0	2,720	0	1,780	116	45	0	838	0	0	330	3,109	(5,667)
301.K6-Recreation Acco	(7,275)	0	15,200	0	0	0	15,200	0	9,758	2,816	183	0	1,088	0	0	255	14,110	(6,185)
301.PS-Public Safety R	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23
301.P9-SEQR Review	(43)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	(43)
301.S4-EricoN Magazine	136	0	905	0	0	0	905	0	0	514	0	0	0	0	0	131	645	396
301.S5-Environment EriF	(27,204)	0	27,000	0	0	0	27,000	0	13,943	2,524	500	0	6,917	0	0	3,097	26,981	(27,185)
301.S6-Nature Resourc	(19,146)	0	3,750	0	0	0	3,750	0	1,793	397	130	0	857	0	0	400	3,577	(18,976)
301.S7-Town Of Riveine	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.TV-ATV DESP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.W8-UST-Trust Recev	36	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	48
301.XB-Mixed Land Recl	104	0	4,210	0	0	0	4,210	0	1,877	117	61	0	649	0	0	1,700	4,404	(90)
301.ZZ-Monitors-Aggie	19,710	0	2,591	0	0	0	2,591	0	1,297	693	71	0	1,448	0	0	1,714	5,223	17,078

CASH COMBINING STATEMENT BY ACCOUNT
SPECIAL REVENUE OTHER FUNDS (EXCLUDING FUND 339)
 2011-2012
 (Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
302.00-Conservation	21,074	0	49,031	0	0	1,300	50,331	0	20,767	9,728	900	0	10,344	0	0	1,740	43,479	27,926
302.02-Marine Resource	2,059	0	2,300	0	0	0	2,300	0	1,598	716	72	0	541	0	0	0	2,927	1,432
302.03-Migratory Bird	208	0	0	0	0	0	10	0	0	45	0	0	0	0	0	0	45	173
302.04-License Guide	115	0	55	0	0	0	55	0	43	6	1	0	19	0	0	0	69	101
302.06-Fish Ared Game, T	46,398	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	1,300	1,300	47,698
302.07-Self-Clean/Quaro	320	0	55	0	0	0	55	0	14	23	0	0	1	0	0	0	44	331
302.08-Habitat Account	275	0	45	0	0	0	45	0	0	24	0	0	0	0	0	0	24	286
302.09-Vernon Donato	10	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	35
303.01-01 Spill- DAC	2	0	121	0	0	705	826	0	523	223	17	0	255	0	0	0	1,016	(190)
303.02-01 Spill- DEC	4	0	38	0	0	301	339	0	206	20	6	0	93	0	0	0	325	18
303.03-01 Spill- DEC	(2)	0	0	0	0	19,300	19,300	0	10,132	747	231	0	4,019	0	0	3,526	18,655	643
303.04-01 Spill- DAC	10,079	0	42,000	0	0	0	42,000	0	0	12,604	0	0	0	0	0	20,306	32,910	19,169
303.05-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)
306.01-OSH Trng & Educ	3,778	0	24,177	0	0	0	24,177	0	10,069	7,650	308	0	5,184	0	0	866	24,077	3,678
306.02-OSHA Inspection	273	0	25,676	0	0	0	25,676	0	11,845	5,203	361	0	6,079	0	0	2,188	25,676	273
306.01-Client Protect	4,889	0	8,000	0	0	2,000	10,000	0	600	10,900	0	0	98	0	0	0	11,898	3,291
307.01-Equip Loan Fund	451	0	80	0	0	0	80	0	0	110	0	0	0	0	0	7	117	414
313.01-Pub Tran Sytems	15,198	59,000	410	0	0	28,721	88,131	87,089	571	364	18	0	281	0	0	0	88,333	14,996
313.02-Metro Mass Tran	1,489	1,765,200	21,400	0	0	19,100	1,805,700	1,632,690	2,428	241	74	0	1,187	0	0	191,721	1,828,341	(21,142)
313.03-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
314.01-Operating Permit	(12,173)	0	10,000	0	0	0	10,000	0	4,564	2,107	205	0	2,391	0	0	0	9,267	(11,440)
314.02-Mobile Source	(2,993)	0	37,300	0	0	0	37,300	0	18,177	6,994	643	0	9,707	0	0	2,935	38,996	(4,689)
318.01-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.01-Legisl Comp R&D	9,519	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	10,286
321.02-Demographics/Re	58	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	60
332.01-Blummer Award	40	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	40
332.02-William Vance F	229	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	229
332.03-Rocky Potanico	20	0	110	0	0	0	110	0	0	51	0	0	0	0	0	51	79	72
332.04-OMR Nonexpend Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	1	0	3,000
332.05-Pickstetter Tru	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
332.08-Helen Hayes Has	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.10-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.00-Mmr Sports Ed	1,163	0	200	0	0	0	200	0	0	163	0	0	0	0	0	0	163	1,220
335.00-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.01-Arts Capital Re	731	0	50	0	0	0	50	98	0	0	0	0	0	0	0	0	98	683
340.00-CFRA Underrib	6,004	0	0	0	0	109,000	109,000	104,200	2,000	100	0	0	800	0	0	813	107,913	7,091
341.04-DFY-NYC Summer	42	0	0	0	0	0	0	0	15	0	0	0	8	0	0	0	23	19
345.09-L1 Vets Home	5,665	0	40,193	0	0	0	40,193	0	24,415	16,764	0	0	0	0	0	884	41,863	3,985
345.10-S U Genl IFR	462,960	0	664,794	0	0	150	664,944	0	129,458	425,266	0	0	6,501	0	0	22,000	583,225	544,679
345.11-S U Inc Offset	(52,436)	0	(2,900)	0	0	24,898	(2,900)	0	0	0	0	0	0	0	0	0	0	(30,438)
345.12-Gen Rev Offset	17,074	0	1,276,464	0	0	2,900	1,279,364	0	1,086,644	189,816	0	0	0	0	0	109,986	1,386,446	(90,008)
345.22-S U Hosp Ops	50,440	0	1,654,504	0	0	491,000	2,145,504	0	1,119,125	715,301	0	0	293,598	0	0	56,199	2,184,223	11,721
345.31-SUNY Stabilizat	5,790	0	0	0	0	3,000	3,000	0	42	3,076	0	0	0	0	0	0	3,118	5,672
345.46-S U Hosp Sponed	73,331	0	34,818	0	0	0	34,818	0	30,691	8,273	0	0	0	0	0	0	38,964	69,185
345.47-SUNY Tullion Re	88,531	0	(17,616)	0	0	109,986	92,370	0	43,860	38,140	0	0	0	0	0	0	82,000	88,901
345.97-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.00-Subst Abuse Srv	3,317	0	6,452	0	0	0	6,452	6,970	63	481	2	0	33	0	0	0	7,549	2,220
349.01-Lk George Park	998	0	1,208	0	0	0	1,208	0	544	102	20	0	244	0	0	197	1,107	1,099
354.01-MVTIFA	4,691	0	4,700	0	0	0	4,700	4,937	139	4	6	0	86	0	0	5,172	4,219	4,219
354.02-SI Police MV En	(94,612)	0	115,000	0	0	0	115,000	0	109,800	15,100	0	0	0	0	0	0	124,900	(44,512)
355.01-Great Lakes Pro	607	0	380	0	0	0	380	0	75	0	2	0	43	0	0	60	180	807
359.01-Renewal Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.02-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.03-DOH Fed Rev Max	(1,555)	0	3,709	0	0	0	3,709	882	0	0	0	0	0	0	0	0	2,154	0
360.00-Housing Develop	8,695	0	900	0	0	0	900	0	0	0	0	0	0	0	0	0	862	8,743
362.01-DOE Comm Veh Sa	(2,328)	0	3,068	0	0	0	3,068	61	2,864	471	0	0	0	0	0	3,425	(2,685)	0
365.01-Vocatl Rental	123	0	1,117	0	0	0	1,117	0	0	47	0	0	0	0	0	32	140	100
366.01-Drinking Water	128	0	1,700	0	0	0	1,700	0	1,235	175	0	0	633	0	0	0	2,043	(215)
366.02-Drink Water DOH	(5,347)	0	6,260	0	0	0	6,260	0	4,208	364	149	0	2,307	0	0	0	7,048	(6,135)
368.01-NYCCC Operat Of	(11,852)	0	22,400	0	0	9,600	32,000	0	0	3,900	0	0	10,900	0	0	0	36,900	(16,652)
369.01-JudData Proc O	2,677	0	26,500	0	0	0	26,500	0	18,400	0	0	0	7,900	0	0	0	26,300	2,877
377.01-CUNY Stabilizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
377.01-CUNY Tutn Reim	37,783	0	42,940	0	0	0	42,940	0	42,633	306	0	0	0	0	0	0	42,939	37,784
377.02-CUNY Inc Reimb	63,780	0	109,050	0	0	0	109,050	0	54,018	39,790	0	0	6,541	0	0	23	100,349	72,481
385.01-Lk Placd Train	16	0	200	0	0	0	200	0	0	163	0	0	0	0	0	0	166	30
390.01-Indigent Legal	6,618	0	73,000	0	0	40,000	113,000	77,000	765	25,348	23	0	364	0	0	0	103,500	16,118
482.01-UI Sp Int & Pen	5,244	0	9,600	0	0	0	9,600	0	1,822	81	57	0	890	0	0	10,711	13,561	1,283

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

2011-2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.01-Adopt Info Regl	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	0	49
339.02-Intervenor Act	1,483	0	500	0	0	0	500	500	3,690	0	0	0	0	0	0	0	500	1,483
339.03-S P A R C S	(47)	0	7,127	0	0	1,464	8,591	0	0	782	127	0	2,016	0	0	885	7,500	1,044
339.05-OPWDD Provider	1,152	0	0	0	0	338,196	338,196	331,155	0	0	0	0	0	0	0	7,041	338,196	1,152
339.07-Fire Prev/Code	0	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	0	0
339.08-NYS Tvy Police	(1,186)	0	50,000	0	0	0	50,000	0	36,100	0	13,900	0	0	0	0	0	50,000	(1,186)
339.09-DMV Seiz Assets	289	0	450	0	0	0	450	0	0	383	0	0	0	0	0	0	383	346
339.10-Mental Hygiene	20,386	0	0	0	0	5,837,411	5,837,411	1,071,900	816,781	213,465	25,291	0	399,183	0	0	3,315,262	5,841,892	15,915
339.11-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.13-MH Patient Inc	17,740	0	0	0	0	3,319,431	3,319,431	266,865	1,487,174	433,719	45,578	0	703,994	0	0	382,101	3,319,431	17,740
339.15-Fn Cntrl Board	(668)	0	3,330	0	0	0	3,330	0	1,657	813	51	0	809	0	0	0	3,330	(668)
339.16-Reg of Racing	(6,187)	0	11,900	0	0	0	11,900	0	5,960	6,893	191	0	2,902	0	0	0	15,946	(10,233)
339.17-Tr St Reg Plan	(3,462)	0	15,445	0	0	15,445	15,445	0	4,394	8,765	135	0	2,151	0	0	0	15,445	(3,462)
339.18-SU Constr Fund	74	0	27,111	0	0	0	27,111	0	15,544	2,552	483	0	7,593	0	0	0	26,172	1,013
339.20-Quality Care	4,883	0	5,700	0	0	97,863	103,563	7,288	63,312	28,988	0	0	0	0	0	0	99,568	8,978
339.21-Nurses Aide Reg	1,119	0	5,254	0	0	0	5,254	0	520	3,296	16	0	264	0	0	0	4,096	2,277
339.22-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.23-Seized Assets	838	0	50	0	0	0	50	0	0	25	0	0	0	0	0	0	25	863
339.24-Child Care & Pr	249	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	100	219
339.25-Cyber Sec Upgr	1,682	0	1,800	0	0	0	1,800	0	1,548	0	0	0	0	0	0	0	1,548	1,934
339.26-Cert of Need	15,279	0	6,242	0	0	0	6,242	0	2,569	836	88	0	1,406	0	0	1,086	5,985	15,534
339.27-Lobbying Enforc	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.28-Retrir Community	529	0	74	0	0	0	74	0	25	1	1	0	12	0	0	2	41	562
339.29-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.2C-OHRD St Match	2,558	0	2,000	0	0	0	2,000	0	0	2,000	0	0	0	0	0	0	2,000	2,558
339.30-DOL Fee Penalty	5,869	0	21,950	0	0	0	21,950	0	5,560	784	178	0	2,864	0	0	8,372	17,748	10,071
339.31-Educ Museum	1	0	900	0	0	0	900	0	561	200	19	0	179	0	0	139	1,098	(197)
339.32-Ns Him Receivshp	2,807	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	25	2,832
339.35-3rd Party Hlth	447	0	1,250	0	0	0	1,250	0	1,149	101	0	0	0	0	0	0	1,250	447
339.36-Boating Noise L	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.37-I Love NY Water	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.38-Summer Sch Arts	213	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	213
339.39-I Love NY Water	338	0	0	0	0	0	0	0	(12)	25	2	0	8	0	0	64	87	496
339.41-Snowmobile	5,780	0	5,900	0	0	0	5,900	4,850	102	355	8	0	61	0	0	0	5,376	6,304
339.42-Tr Surplus Prop	3,568	0	2,200	0	0	0	2,200	0	322	0	0	0	0	0	0	803	1,125	4,643
339.44-Hosp & Nurs Mgt	2,016	0	33,345	0	0	0	33,345	0	14,592	434	186	0	1,289	0	0	376	16,877	18,484
339.45-Watershed Prntn	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.46-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.47-SU Dorn Reimb	97	0	31,704	0	0	237,002	268,706	0	115,036	143,388	0	0	7,382	0	0	2,900	268,706	97
339.48-ODTA Train Cont	2,010	0	0	0	0	9,000	9,000	0	0	9,000	0	0	0	0	0	0	9,000	2,010
339.49-ODTA State Matc	238	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	238
339.50-ODTA Trng Mgmt	154	0	750	0	0	0	750	0	519	41	20	0	259	0	0	0	839	65
339.51-Methadone Regis	73	0	228	0	0	0	228	0	0	228	0	0	0	0	0	0	228	73
339.60-Energy Research	(1)	0	15,997	0	0	0	15,997	9,234	3,365	1,221	594	0	1,563	0	0	0	15,997	(1)
339.61-Radiology	2,215	0	6,000	0	0	2,215	8,215	3,000	3,352	557	35	0	438	0	0	3,665	8,595	1,835
339.62-Crim Jus Improv	21,200	0	53,000	0	0	0	53,000	29,400	3,352	723	115	0	1,236	0	0	26,052	60,878	13,322
339.65-Farm Prod Insp	33	0	1,750	0	0	0	1,750	0	1,637	139	50	0	964	0	0	(185)	2,605	(622)
339.68-Frigprnt ID Tec	6,902	0	12,850	0	0	0	12,850	0	182	0	1	0	6	0	0	0	12,750	7,002
339.72-NY Fire Academy	446	0	468	0	0	0	468	0	0	279	1	0	0	0	0	247	715	199
339.77-Trans Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.79-OPDV Training	54	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	54
339.81-Envir Lab Fee A	543	0	3,700	0	0	0	3,700	16,000	1,942	435	61	0	975	0	0	183	3,596	647
339.85-Ins St L Adm	1,138	0	104,292	0	0	0	104,292	0	32,134	40,152	2,590	0	13,416	0	0	0	104,292	1,138
339.86-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.88-Trans Mgmt Eval	1,647	0	3,000	0	0	0	3,000	0	1,446	616	68	0	1,051	0	0	488	3,669	978
339.90-Clin Lab Refinc	(20,566)	0	18,059	0	0	0	18,059	(110)	7,798	3,283	265	0	4,249	0	0	0	15,495	(18,002)
339.91-MWBD Certificat	0	0	65	0	0	0	65	0	0	59	0	0	0	0	0	0	59	6
339.93-Pub Emp Rel Bid	845	0	0	0	0	0	0	0	275	300	0	0	0	0	0	0	575	356
339.94-WIC CVL Money	0	0	2,000	0	0	0	2,000	0	0	0	0	0	0	0	0	0	2,000	0
339.95-Radio Hlth Prot	2,977	0	2,793	0	0	0	2,793	0	2,126	173	72	0	1,180	0	0	216	3,747	2,023
339.99-Cons Food Indus	546	0	6,626	0	0	0	6,626	0	5,480	599	167	0	2,625	0	0	100	8,971	(1,599)
339.A.2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

**CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)**

2011-2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSC's	Debt	Capital	Transfers To	Total Disb.	Closing Balance		
339A3-Educator Library	127	0	65	0	0	0	65	0	0	0	67	0	0	0	0	0	67	125		
339A4-Teacher Certif	2,707	0	6,800	0	0	0	6,800	0	3,134	0	772	0	1,368	0	0	0	6,911	2,596		
339A5-Banking Depmnt	16,767	0	92,112	0	0	0	92,112	0	50,342	15,626	1,535	0	24,609	0	0	1,520	94,525	14,374		
339A6-Cable TV Acct	8,708	0	2,955	0	0	0	838	0	1,808	209	55	0	863	0	0	2,413	8,708	966		
339A7-Econ Devel Asst	412	0	838	0	0	0	838	0	0	192	0	0	0	0	0	92	284	0		
339A9-Banking Seized	213	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	50	213	0	
339AC-Non-Ivd Wage Vli	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)	0	
339AD-ODD Eamed Revn	283	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	283	0	
339AE-Motorcycle Sly	2,584	0	1,960	0	0	0	1,960	0	83	926	3	0	37	0	0	5	1,054	3,490	0	
339AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	
339AG-Business Liens	391	0	73,250	0	0	0	73,250	539	14,372	9,261	443	0	7,021	(15)	0	40,546	72,167	1,474		
339AH-Indr Cost Reco	451	0	11	0	0	23,861	23,872	0	11,838	4,071	357	0	3,311	0	0	0	19,577	4,746		
339AI-High School Equ	956	0	225	0	0	0	225	0	0	469	0	0	0	0	0	0	469	712	0	
339AJ-Regional Haulin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
339AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	
339AL-OTDA Program	29	0	0	0	0	0	0	0	0	200	0	0	0	0	0	0	200	(171)	0	
339AM-Hlth Care Advts	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
339AN-Disass Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	24	0	
339AP-Administration	10,019	0	13,588	0	0	2,635	16,223	0	7,168	380	250	0	3,948	0	0	0	11,746	14,496	0	
339AQ-Rail Safety Ins	1,272	0	669	0	0	0	669	0	428	44	14	0	210	0	0	0	696	1,245	0	
339AR-Fed Admin Reim	2,285	0	130	0	0	27,862	27,992	0	27,992	0	0	0	0	0	0	0	27,992	2,285	0	
339AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
339AU-Abandoned Prop	0	0	9,500	0	0	0	9,500	0	4,500	5,000	0	0	0	0	0	0	9,500	0	0	
339AV-Seized Assets	12	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	2	14	0	
339AW-Spinal Injury	7,088	0	0	0	0	1,575	1,575	0	247	3,523	8	0	124	0	0	0	3,902	4,771	0	
339AX-Child Supp Rev	7,412	0	0	0	0	10,500	10,500	0	2,040	7,147	100	0	1,050	0	0	0	10,337	7,575	0	
339AY-Mult Agen Train	22,422	0	0	0	0	32,000	32,000	0	2,286	29,187	83	0	1,251	0	0	0	32,807	21,615	0	
339AZ-Dept Law-Seized	2,529	0	600	0	0	600	600	0	0	265	0	0	0	0	0	0	265	2,864	0	
339B2-DMNA-Seiz Asset	844	0	200	0	0	200	200	0	0	191	0	0	0	0	0	0	191	853	0	
339B3-Critical Infras	1,443	0	1,998	0	0	0	1,998	0	330	1,459	13	0	196	0	0	0	1,998	1,443	0	
339B4-Reason Delct Dev	296	0	(7)	0	0	0	(7)	0	0	10	0	0	0	0	0	2	12	277	0	
339B5-Insurance Deprt	186,984	0	454,864	0	0	0	454,864	216,716	104,456	57,818	3,589	0	51,354	0	0	0	433,933	207,915	0	
339B7-Workers Comp Bd	33,689	0	189,605	0	0	0	189,605	94,238	62,176	62,176	2,888	0	45,921	0	0	0	205,223	18,081	0	
339B8-Fire Protection	92	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	104	104	0	
339B9-Conf Fee Acct	6	0	5	0	0	0	5	0	0	30	0	0	0	0	0	0	30	(19)	0	
339BA-Public Work Enf	1,301	0	6,148	0	0	0	6,148	0	1,668	326	52	0	839	0	0	2,930	5,815	1,634	0	
339BB-Asset Forfeitur	562	0	200	0	0	0	200	0	500	200	0	0	0	0	0	0	700	62	0	
339BF-VESID SS	1,610	0	2,500	0	0	0	2,500	1,626	203	0	6	0	100	0	0	41	1,976	2,134	0	
339BI-Tm Mlts Regist	58	0	6	0	0	0	6	0	0	24	0	0	0	0	0	0	24	40	0	
339BJ-Bell Jar Collec	1	0	2,100	0	0	0	2,100	0	641	340	19	0	275	0	0	202	1,477	624	0	
339BK-Ind & Util Serv	1,416	0	3,070	0	0	0	3,070	0	1,515	0	73	0	764	0	0	441	2,793	1,693	0	
339BO-Primary Care In	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	
339BU-Land Utilizatio	(106)	0	0	0	0	0	0	0	0	14	4	0	65	0	0	0	432	(219)	0	
339BW-Asbestos Tming	(1)	0	319	0	0	0	319	0	349	0	0	0	0	0	0	0	0	3	0	
339BZ-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339C2-Jones Boh Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	
339C3-Public Service	35,543	0	74,601	0	0	10	74,611	0	41,698	9,261	1,272	0	19,977	0	0	10	72,218	37,936	0	
339CA-Atty Licensing	9,234	0	35,000	0	0	0	35,000	0	18,600	6,800	0	0	7,500	0	0	2,000	34,900	9,334	0	
339C9-DSS Prov Recovs	186	0	3,700	0	0	0	3,700	0	3,700	0	0	0	0	0	0	0	3,700	186	0	
339CA-Cmms Against	9,845	0	0	0	0	16,000	16,000	16,000	0	0	0	0	0	0	0	0	16,000	9,845	0	
339CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	0	
339CD-Daycare Eamed	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339CE-Camp Smith Bill	33	0	197	0	0	0	197	0	131	9	5	0	47	0	0	0	192	38	0	
339CF-Cigarette Fire	0	0	500	0	0	0	500	0	0	320	0	0	0	0	0	0	320	180	0	
339CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	0	
339CL-Comm Feed Lic	214	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	214	0	
339CM-Reg Manu Hsg	23	0	700	0	0	0	700	0	416	64	13	0	203	0	0	0	696	27	0	
339CO-College Savings	1,698	0	813	0	0	0	813	0	175	30	15	0	135	0	0	0	355	2,156	0	
339CO-Discover Queens	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	0	
339CR-Reven Arrearage	28,284	0	25,000	0	0	0	25,000	0	1,200	7,313	37	0	585	0	0	24,380	33,515	19,769	0	
339CS-Provider Assess	8,821	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,821	0	0
339CT-CellPhone Towe	713	0	0	0	0	0	0	0	0	0	0	0	0	0	0	660	660	53	0	

CASH COMBINING STATEMENT BY ACCOUNT
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

2011-2012
 (Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSC's	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339-CU-Spec Conserv Ac	110	0	95	0	0	0	95	0	0	0	0	0	0	0	0	0	0	205
339-CY-Central Registry	319	0	5,017	0	0	0	5,017	0	90	0	20	0	59	0	0	4,822	4,981	345
339-CZ-Plant Industry	254	0	500	0	0	0	500	0	373	0	11	0	182	0	0	15	581	173
339-D1-Food Slip Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-D4-Food Slip Rec Fr	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339-D9-Batavia School	(6,839)	0	9,600	0	0	810	10,410	0	5,919	5,907	177	0	2,885	0	0	0	9,542	(5,971)
339-DB-Alcohol Beverage	3,910	0	0	0	0	17,224	17,224	0	7,822	5,907	275	0	4,379	0	0	0	18,383	2,751
339-DC-Investment Serv	(617)	0	3,406	0	0	0	3,406	0	2,025	665	62	0	970	0	0	541	4,263	(1,474)
339-DD-Drive out Diabe	48	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	48
339-DF-Keep Kids Drug	41	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	41
339-DH-OMRDD Day Svcs	(1,106)	0	40,000	0	0	0	40,000	40,000	0	0	0	0	0	0	0	0	40,000	(1,106)
339-DI-OSDC Finan Over	(1,000)	0	3,967	0	0	0	3,967	0	2,759	243	92	0	1,303	0	0	0	4,387	(1,430)
339-DK-Senate Recyclab	443	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	0	463
339-DL-Medicaid Fraud	43,776	0	12,725	0	0	0	12,725	0	6,264	2,073	211	0	3,214	0	0	0	11,762	44,739
339-DM-EAD Metallurgl	(1)	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	0	7
339-DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-DO-DED Marketing A	4,977	0	2,009	0	0	0	2,009	0	63	303	2	0	28	0	0	131	527	6,469
339-DQ-Tug Hill Admin	60	0	38	0	0	0	38	0	29	0	3	0	0	0	0	10	42	56
339-DS-Settlement Ent	765	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	900	765
339-DT-Indian Gaming	(86,990)	0	7,441	0	0	0	7,441	0	12,774	2,510	498	0	5,997	0	0	0	21,779	(111,328)
339-DX-NYS FLEX Spend	6	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	300	6
339-DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-E1-Crime Victims B	13	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	54	13
339-E2-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	35	107
339-E3-Ofc of Professi	7,193	0	47,264	0	0	0	47,264	0	19,646	11,129	608	0	9,806	0	0	6,742	47,933	6,524
339-E4-Educ Assessment	2,576	0	0	0	0	0	0	0	0	2,572	0	0	0	0	0	0	2,572	4
339-E5-Armory Rental A	1,016	0	1,825	0	0	0	1,825	804	0	1,001	27	0	203	0	0	0	2,035	806
339-E6-Rome School	(198)	0	9,600	0	0	1,100	10,700	0	5,047	686	149	0	2,431	0	0	0	8,313	2,189
339-E7-Unif Commenc Ct	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-E8-Seized Assets	2,041	0	8,725	0	0	0	8,725	0	0	8,725	0	0	0	0	0	0	8,725	2,041
339-E9-Traf Adjudicatn	(4,972)	0	47,809	0	0	0	47,809	0	19,709	8,325	617	0	8,893	0	0	0	37,544	5,293
339-EA-Bus & Lien Sv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-EB-Annuity Entor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-EC-Fed Salary Shar	2,490	0	0	0	0	3,910	3,910	390	2,316	145	71	0	1,131	0	0	62	4,115	2,285
339-ED-Cook/Chill Acco	180	0	2,100	0	0	0	2,100	0	0	2,100	0	0	0	0	0	0	2,100	180
339-EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-EF-TAP Sys Redesign	(1)	0	0	0	0	2,000	2,000	0	1,415	1,000	0	0	0	0	0	0	0	(1)
339-EG-Client Notices	1,277	0	936	0	0	0	936	0	609	0	19	0	297	0	0	0	925	862
339-EJ-Credential Svcs	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339-EK-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339-EM-NYC Assessment	27,737	0	80,077	0	0	0	80,077	0	36,881	24,553	1,420	0	16,799	0	0	0	79,653	28,161
339-EN-Cultural Educat	(14,451)	0	28,000	0	0	0	28,000	0	12,704	5,609	561	0	6,897	0	0	2,913	28,684	(15,135)
339-EF-Distance Learn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-ER-Exam & Misc Rev	3,305	0	3,756	0	0	0	3,756	0	421	1,183	16	0	202	0	0	1,506	3,328	3,733
339-ES-Eating Disorder	0	0	1,000	0	0	0	1,000	0	0	0	0	0	0	0	0	0	0	1,000
339-F1-Trans Regul Acc	9,849	0	4,800	0	0	0	4,800	0	1,728	343	53	0	849	0	0	0	2,973	11,676
339-F2-Cons Prot Act	1,122	0	91	0	0	0	91	0	109	300	5	0	40	0	0	0	464	759
339-F6-Lc On Solid Was	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
339-F9-OER NASDER	117	0	24	0	0	0	24	0	0	30	0	0	0	0	0	0	30	111
339-FA-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339-FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339-FH-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339-FL-Fed Liability	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339-FM-FMS Account	17,850	0	0	0	0	42,000	42,000	0	9,139	31,359	0	0	0	0	0	0	40,498	19,332
339-FP-Funeral	790	0	995	0	0	0	995	0	232	14	8	0	117	0	0	8	379	1,406
339-FS-FSHRP	91	0	0	0	0	75,000	75,000	75,000	0	0	0	0	0	0	0	0	75,000	91
339-G1-Educ Archives	182	0	15	0	0	0	15	0	0	73	0	0	0	0	0	0	0	124
339-G3-Local Services	370	0	1,100	0	0	0	1,100	0	555	0	30	0	325	0	0	26	936	534
339-G7-DOT-Accident Da	4,611	0	12,500	0	0	0	12,500	0	328	11,859	10	0	160	0	0	0	12,367	4,754
339-GA-Adult Shelter	4,597	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,597
339-GB-QAA Earned Rev	426	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	426
339-GC-Family Pres Svc	1,641	0	60	0	0	0	60	0	0	0	0	0	0	0	0	0	0	1,701

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)

2011-2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NFS	Indirect Costs	UI Benefits	GSC's	Debt	Capital	Transfers To	Total Disb.	Closing Balance
339.GD-Electronic Bene	2,738	0	0	0	0	11,000	11,000	9,700	0	0	0	0	0	0	0	0	9,700	4,038
339.GE-Federal Seized	29	0	0	0	0	0	0	0	0	88	0	0	0	0	0	0	0	88
339.H2-DHCR Mortgage S	(858)	0	7,861	0	0	0	7,861	0	3,918	201	119	0	1,913	0	0	0	6,151	852
339.H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.H6-OMH-Research OH	69	0	2,848	0	0	0	2,848	0	102	2,746	0	0	0	0	0	0	2,848	69
339.H7-DMV-Compulsory	1,696	0	30,000	0	0	0	30,000	0	0	0	290	0	4,227	0	0	15,368	31,489	
339.H8-Prof Medic Cond	8,502	0	30,289	0	0	0	30,289	0	12,701	4,987	444	0	6,979	0	0	0	25,121	13,670
339.HC-Hwy Const & Ma	1,005	0	260	0	0	0	260	0	0	125	0	0	0	0	0	0	125	1,140
339.HI-Housing Indirect	956	0	3,050	0	0	0	3,050	0	2,020	399	60	0	984	0	0	0	3,403	603
339.HQ-Adm Hme Qty E	569	0	650	0	0	0	650	0	0	276	0	0	0	0	0	21	297	922
339.HR-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.IA-COCOT	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.IC-Acid Prevent C	260	0	5,000	0	0	0	5,000	0	146	98	6	0	66	0	0	606	922	4,338
339.IG-IG Szd Assets	81	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	87	79
339.IM-Leg Svcs Assist	3,856	0	13,000	0	0	4,000	17,000	17,526	0	0	0	0	0	0	0	0	17,526	3,330
339.J1-Loc Pub Hlth	2,885	0	950	0	0	0	950	0	115	20	12	0	65	0	0	5	217	3,588
339.J2-Local Dist Tral	390	0	800	0	0	0	800	0	0	744	0	0	0	0	0	0	744	446
339.J4-Voting Mach Exa	136	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	136
339.J5-DHCR HCA Applic	1,303	0	2,244	0	0	0	2,244	0	1,174	348	36	0	573	0	0	0	2,131	1,416
339.J6-EPIC Premium Ac	94,014	0	82,000	0	0	0	82,000	66,940	1,686	12,014	78	0	1,245	0	0	24,625	106,588	69,428
339.J7-Drug Enforce Ta	83	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	83
339.JA-Vital Rec Mgmt	1,706	0	4,356	0	0	0	4,356	0	1,198	71	37	0	591	0	0	2,262	4,149	1,913
339.JB-CHCCDP Transfer	24,373	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24,373
339.JD-Probim Salv Cou	192	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	192
339.JE-Tobacco Enforce	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
339.K1-Hwy Rev/Soc Sec	1,349	0	406	0	0	0	406	0	0	388	0	0	0	0	0	50	448	1,307
339.K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.K3-Catastrophic Hi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.KB-Conference & Sp	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L2-Asst Living Res	129	0	95	0	0	0	95	95	0	0	0	0	0	0	0	9	104	120
339.L4-OCS Program	2,753	0	100	0	0	0	100	0	142	0	0	0	71	0	0	0	213	2,640
339.L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L7-OTDA Income Acc	35,902	0	0	0	0	94,100	94,100	0	48,054	48,100	0	0	0	0	0	0	96,154	33,848
339.L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LC-Matern Child Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.LE-Disabil Determs	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.LG-OMRDD-Jt Clinic	46	0	208	0	0	0	208	208	0	0	0	0	0	0	0	0	208	46
339.LH-Special Medical	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LI-Litigation Sett	9,264	0	54,867	0	0	0	54,867	0	18,459	32,283	434	0	6,516	0	0	0	57,692	6,439
339.LJ-Animal Populati	716	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	716
339.LL-Love Your Libra	32	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	32
339.LW-Local Wireless	21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21
339.LZ-Pub Sale Commun	47,475	0	114,245	0	0	0	114,245	38,600	200	34,442	0	0	500	0	0	28,678	102,420	59,300
339.MC-Cuba Lake Mgmt	188	0	200	0	0	0	200	0	0	184	0	0	0	0	0	0	184	204
339.MH-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.MR-Med Reimb Acct	(1)	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	1,500	(1)
339.NG-Low Inc Housing	1,259	0	2,723	0	0	0	2,723	0	1,025	0	31	0	500	0	0	0	1,556	2,426
339.NH-Provider 900	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.NY-New York Alert	1,918	0	3,084	0	0	0	3,084	0	0	3,100	0	0	0	0	0	0	3,100	1,918
339.P4-Procure Op News	1,021	0	832	0	0	0	832	0	0	150	0	0	0	0	0	100	235	1,618
339.P5-CVB Restitution	804	0	576	0	0	0	576	0	426	150	0	0	0	0	0	0	576	804
339.P6-EFC Corp Admin	(47)	0	1,887	0	0	0	1,887	0	1,300	100	0	0	644	0	0	0	2,044	(204)
339.PC-Food Prod Cr	814	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	814
339.PD-Pet Dealer	41	0	32	0	0	0	32	0	53	0	2	0	26	0	0	0	81	(8)
339.PO-Auth Bldg Office	373	0	1,877	0	0	1,826	3,703	914	0	393	28	0	447	0	0	39	1,821	2,255
339.PS-Patient Safety	197	0	500	0	0	0	500	0	0	388	0	0	0	0	0	0	461	236
339.O2-Helen Hayes Hos	3,245	0	115	0	0	60,848	60,963	0	33,206	19,521	125	0	0	0	0	6,018	58,870	5,388
339.Q3-NYC Veterans	3,081	0	350	0	0	30,673	31,023	0	15,688	7,428	60	0	6,872	0	0	1,081	31,129	2,985
339.Q4-NYS Home-Vetera	2,529	0	120	0	0	18,781	18,901	0	16,013	5,469	75	0	0	0	0	768	22,325	(895)

CASH COMBINING STATEMENT BY ACCOUNT
MISCELLANEOUS SPECIAL REVENUE FUND (339)
2011-2012

(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance	
339.Q5-WNY Vets Home	(287)	0	55	0	0	12,503	12,558	0	9,166	3,720	40	0	0	0	0	689	13,625	(1,364)	
339.Q6-Morriose S V H	3,961	0	30	0	0	18,462	18,492	0	17,729	5,599	70	0	0	0	0	366	23,764	6,331	
339.Q9-DOH Hospital Ho	3,334	(2)	0	0	0	128,964	128,964	0	0	0	0	0	0	0	0	125,967	125,967	6,331	
339.OA-Spec Energy Adm	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	0
339.QC-Quality of Care	1,166	0	1,334	0	0	1,500	2,834	0	0	1,541	0	0	0	0	0	0	1,541	2,459	2,459
339.QE-C & F Qual Enhn	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14	14
339.R4-Motor Fuel Qual	1,514	0	2,947	0	0	0	2,947	0	882	1,270	27	0	432	0	0	317	2,928	1,533	1,533
339.R5-Weights Measure	285	0	400	0	0	0	400	0	227	70	7	0	111	0	0	50	465	220	220
339.R7-Defier Comp Adm	(143)	0	820	0	0	0	820	0	361	174	11	0	176	0	0	0	722	(46)	(46)
339.R9-Hazard Abatemen	30	0	100	0	0	0	100	100	0	0	0	0	0	0	0	0	100	30	30
339.RD-Education Stats	170	0	89	89	0	0	89	0	0	37	0	0	0	0	0	0	37	222	222
339.RF-Real Estate Fin	4,426	0	1,000	0	0	0	1,000	0	479	84	16	0	241	0	0	0	820	4,606	4,606
339.RR-NYC Rent Rev	(9,052)	0	36,767	0	0	0	36,767	0	24,059	3,189	734	0	11,267	0	0	0	39,249	(11,534)	(11,534)
339.S1-Medicaid Income	(882)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(882)	(882)
339.S8-Rent Revenue	(365)	0	850	0	0	0	850	0	503	0	15	0	246	0	0	0	764	(279)	(279)
339.S9-Connm Div Intor	248	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	248	248
339.SA-CSFP Salvage Ac	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13	13
339.SR-ES Stem Cell Tr	5,599	0	0	0	0	45,000	45,000	0	0	45,000	0	0	0	0	0	0	45,000	5,599	5,599
339.SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	(1)
339.ST-Systems & Tech	3,372	0	7,300	0	0	0	7,300	0	3,300	1,565	101	0	1,609	0	0	833	7,408	3,264	3,264
339.T2-OPR Patron Serv	10,055	0	60,650	0	0	0	60,650	0	23,959	26,079	0	0	3,522	0	0	902	54,462	16,243	16,243
339.T5-Trans Aviatn	2,908	0	3,660	0	0	0	3,660	0	129	3,476	4	0	64	0	0	0	3,673	2,895	2,895
339.TW-Teacher Ed Accr	70	0	86	0	0	0	86	0	0	37	0	0	0	0	0	21	58	96	96
339.TN-Training Academ	53	0	10	0	0	0	10	0	0	0	0	0	0	0	0	0	10	53	53
339.TR-Tax Rev Arrear	(1,766)	0	2,700	0	0	0	2,700	0	0	1,795	0	0	0	0	0	0	1,795	(861)	(861)
339.TS-TSCR Account	53,332	0	125,512	0	0	0	125,512	31,378	0	0	0	0	0	0	0	92,371	123,749	55,095	55,095
339.TW-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
339.U2-Recruitment Inc	3,002	0	39	0	0	2,087	2,126	0	0	1,686	0	0	0	0	0	0	1,686	3,442	3,442
339.UR-ULTI RADIA DEV	45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	45	45
339.US-Undgrnd Sfty T	209	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	175	144	144
339.VF-Vol Fire Rec&Re	74	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	74	74
339.VM-HAVA Match	2,900	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,900	2,900
339.VR-VRSS	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2
339.W4-Occ Hlth Clinic	4,487	0	9,000	0	0	0	9,000	0	522	9,327	50	0	100	0	0	0	9,989	3,488	3,488
339.W6-Crim Back Check	377	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	0	377	377
339.WE-Medicaid Train	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,000)	(1,000)
339.WK-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
339.WR-NYS Water Rescu	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	4
339.WW-OWIG Adm Reimb	3,141	0	24	0	0	1,500	1,524	0	408	458	18	0	214	0	0	0	1,098	3,567	3,567
339.WZ-Durable Medical	0	0	376	0	0	0	376	0	0	0	0	0	0	0	0	0	0	376	376
339.XE-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(11)	(11)
339.XX-A&M-Aggregated	5,378	0	15,034	0	0	152	15,186	0	1,674	14,850	51	0	802	0	0	29	17,406	3,158	3,158
339.Y7-Assembly Recyc	619	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	0	659	659
339.YD-Sales Tax Re Fe	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	8
339.YF-Yth Fac PerDiem	1	0	179,578	0	0	0	179,578	0	0	0	0	0	0	0	0	147,046	147,046	32,533	32,533
339.YL-OGS Bldg Admin	3,011	0	7,396	0	0	0	7,396	0	2,600	2,941	82	0	1,301	0	0	1,000	7,924	2,483	2,483
339.YN-OGS Sid & Purch	5,541	0	4,864	0	0	0	4,864	0	762	3,169	53	0	843	0	0	3,000	7,827	2,578	2,578
339.YP-Equitable Share	2,313	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,313	2,313
339.YV-Provider Assess	1	0	869,700	0	0	0	869,700	869,700	0	0	0	0	0	0	0	0	869,700	0	0
339.YX-HEP	68	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	300	(232)	(232)
339.Z1-Feed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142	142

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2011-2012
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>105</u>	<u>109</u>
Opening Fund Balance	2,378	9,474	102,222	3,149	(23,642)	14	54,144	(393)	88	164	200	3,391
Receipts:												
Taxes	0	1,242,000	0	0	0	0	119,100	0	0	0	0	0
Miscellaneous Receipts	1,710,425	1,400,543	0	1,800	32,285	0	14,400	0	0	0	0	0
Federal Grants	0	5,359	0	0	0	0	0	0	0	0	0	0
Total Receipts	1,710,425	2,647,902	0	1,800	32,285	0	133,500	0	0	0	0	0
Disbursements:												
Grants to Local Governments	1,290,952	69,905	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,423,731	2,033,239	45,000	1,800	25,508	0	173,200	343	0	0	0	0
Total Disbursements	2,714,683	2,103,144	45,000	1,800	25,508	0	173,200	343	0	0	0	0
Other Financing Sources (Uses):												
Transfers from Other Funds	1,035,266	808,868	45,000	0	0	0	0	343	0	0	0	0
Transfers to Other Funds	(29,778)	(1,396,480)	0	0	(1,506)	0	0	0	0	(25)	(600)	(100)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	600	100
Net Other Financing Sources (Uses)	1,005,488	(587,612)	45,000	0	(1,506)	0	0	343	0	0	0	0
Change in Fund Balance	1,230	(42,854)	0	0	5,271	0	(39,700)	0	0	0	0	0
Closing Fund Balance	3,608	(33,380)	102,222	3,149	(18,371)	14	14,444	(393)	88	164	200	3,391

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2011-2012
(thousands of dollars)**

	115	121	123	124	126	127	291	310	312	327	357
Opening Fund Balance	3,253	227,886	4,291	885	2,656	20,186	(80,323)	893	(102,108)	503	(3,468)
Receipts:											
Taxes	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	113,800	0	19,000
Federal Grants	0	0	0	0	0	0	2,288,647	0	0	0	0
Total Receipts	0	0	0	0	0	0	2,288,647	10	113,800	0	19,000
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	1,006,080	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	956,186	10	114,117	0	19,000
Total Disbursements	0	0	0	0	0	0	1,962,266	10	114,117	0	19,000
Other Financing Sources (Uses):											
Transfers from Other Funds	0	0	0	0	0	0	0	0	13,700	0	0
Transfers to Other Funds	(1,500)	(424,744)	(1,000)	(4,000)	(2,000)	(50,343)	(345,357)	0	(34,750)	0	0
Bond & Note Proceeds	1,500	424,744	1,000	4,000	2,000	50,343	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	0	0	0	0	(345,357)	0	(21,050)	0	0
Change in Fund Balance	0	0	0	0	0	0	(18,976)	0	(21,367)	0	0
Closing Fund Balance	3,253	227,886	4,291	885	2,656	20,186	(99,299)	893	(123,475)	503	(3,468)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2011-2012
(thousands of dollars)**

	<u>358</u>	<u>374</u>	<u>376</u>	<u>378</u>	<u>380</u>	<u>384</u>	<u>387</u>	<u>388</u>	<u>389</u>	<u>399</u>
Opening Fund Balance	0	(21,608)	(172,131)	18,920	(11,014)	186,662	10,085	(22)	(389,890)	(13,931)
Receipts:										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	125,060	1,000	0	70,000	127,250	0	156,420	244,250
Federal Grants	0	0	0	0	0	0	0	0	0	0
Total Receipts	0	0	125,060	1,000	0	70,000	127,250	0	156,420	244,250
Disbursements:										
Grants to Local Governments	0	0	125,635	0	0	0	125,000	0	93,266	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	1,000	1,059	70,000	3,150	0	65,654	244,250
Total Disbursements	0	0	125,635	1,000	1,059	70,000	128,150	0	158,920	244,250
Other Financing Sources (Uses):										
Transfers from Other Funds	0	0	575	0	1,059	0	0	0	1,750	0
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	0	575	0	1,059	0	0	0	1,750	0
Change in Fund Balance	0	0	0	0	0	0	(900)	0	(750)	0
Closing Fund Balance	0	(21,608)	(172,131)	18,920	(11,014)	186,662	9,185	(22)	(390,640)	(13,931)

**CASH COMBINING STATEMENT
CAPITAL PROJECTS FUNDS
2011-2012
(thousands of dollars)**

	<u>CPO</u>	<u>Sub Total</u>	<u>Eliminations</u>	<u>Financial Plan</u>
Opening Fund Balance	0	(168,086)	0	(168,086)
Receipts:				
Taxes	0	1,361,100	0	1,361,100
Miscellaneous Receipts	1	4,016,244	0	4,016,244
Federal Grants	0	2,294,006	0	2,294,006
Total Receipts	1	7,671,350	0	7,671,350
Disbursements:				
Grants to Local Governments	0	2,710,838	0	2,710,838
State Operations	0	0	0	0
General State Charges	0	0	0	0
Debt Service	0	0	0	0
Capital Projects	0	5,177,247	0	5,177,247
Total Disbursements	0	7,888,085	0	7,888,085
Other Financing Sources (Uses):				
Transfers from Other Funds	0	1,906,561	(847,054)	1,059,507
Transfers to Other Funds	0	(2,292,183)	847,054	(1,445,129)
Bond & Note Proceeds	0	484,312	0	484,312
Net Other Financing Sources (Uses)	0	98,690	0	98,690
Change in Fund Balance	1	(118,045)	0	(118,045)
Closing Fund Balance	1	(286,131)	0	(286,131)

**CASH COMBINING STATEMENT
DEBT SERVICE
2011-2012
(thousands of dollars)**

	064	304	311	316	319	350	361	364	Sub Total	Eliminations	Financial Plan
Opening Fund Balance	0	120,002	1	(1)	33,105	300,898	0	0	454,005	0	454,005
Receipts:											
Taxes	0	0	9,765,000	0	0	0	500,900	2,792,974	13,058,874	0	13,058,874
Miscellaneous Receipts	0	325,017	0	13,959	127,830	482,097	0	500	949,403	0	949,403
Federal Grants	0	0	78,803	0	0	0	0	0	78,803	0	78,803
Total Receipts	0	325,017	9,843,803	13,959	127,830	482,097	500,900	2,793,474	14,087,080	0	14,087,080
Disbursements:											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	6,085	38,399	0	2,154	9,047	0	5,909	61,594	0	61,594
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	331,582	5,010,269	14,959	29,227	90,984	0	378,354	5,855,375	0	5,855,375
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
Total Disbursements	0	337,667	5,048,668	14,959	31,381	100,031	0	384,263	5,916,969	0	5,916,969
Other Financing Sources (Uses):											
Transfers from Other Funds	0	3,380,372	3,300,919	1,000	42,069	0	0	0	6,724,360	(200,153)	6,524,207
Transfers to Other Funds	0	(3,342,009)	(8,096,054)	0	(144,264)	(282,152)	(500,900)	(2,409,211)	(14,774,590)	200,153	(14,574,437)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
Net Other Financing Sources (Uses)	0	38,363	(4,795,135)	1,000	(102,195)	(282,152)	(500,900)	(2,409,211)	(8,050,230)	0	(8,050,230)
Change in Fund Balance	0	25,713	0	0	(5,746)	99,914	0	0	119,881	0	119,881
Closing Fund Balance	0	145,715	1	(1)	27,359	400,812	0	0	573,886	0	573,886

CASH COMBINING STATEMENT BY ACCOUNT
INTERNAL SERVICES
2011-2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	Total State Ops	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.14-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0
323.15-Design & Constr	35,615	0	59,419	0	0	0	59,419	0	46,073	14,413	0	0	0	60,486	34,548
323.22-Broome St/Maste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.ZX-OGS Exec Direct	12,180	0	146,441	0	0	0	146,441	0	95,208	712	0	0	48,144	144,064	14,557
323.ZY-OGS Bldg Admin	4,909	0	15,665	0	0	0	15,665	0	12,320	1,239	0	0	0	13,559	7,015
323.ZZ-OGS Std & Purch	(10,550)	0	18,372	0	0	0	18,372	0	16,505	1,915	0	0	0	18,420	(10,598)
334.01-Trans Print Shop	(47,378)	0	0	0	0	0	0	0	0	0	0	0	0	0	(47,378)
334.02-Educ-Archives R	1,656	0	1,500	0	0	0	1,500	0	946	256	0	0	0	1,202	1,954
334.03-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.04-Public Financing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.05-Fed Single Aud	1,801	0	1,500	0	0	0	1,500	0	1,500	0	0	0	0	1,500	1,801
334.07-Quick Copy Cent	1,158	0	600	0	0	0	600	0	561	77	0	0	0	638	1,120
334.09-CS Administrat	4,580	0	5,963	0	0	0	5,963	0	5,807	1,609	0	0	0	7,416	3,127
334.10-EHS Occup Hlth	(112)	0	870	0	0	0	870	0	1,037	271	0	0	0	1,308	(550)
334.12-Banking Service	(4)	0	3,974	0	0	0	3,974	0	64,531	0	0	0	0	64,531	(5,191)
334.13-Personnel Mgmt	5,588	0	0	0	0	0	0	0	0	0	0	0	0	0	5,588
334.14-Cult Resources	(1,256)	0	7,329	0	0	0	7,329	0	4,392	677	0	0	276	5,345	728
334.17-Neighbor Work P	0	0	7,300	0	0	0	7,300	(1,800)	9,000	0	0	0	0	7,200	100
334.17-Neighbor Work P	(6,362)	0	0	0	0	0	0	0	0	0	0	0	0	0	(6,362)
334.18-Auto/Print Chgb	4,649	0	16,577	0	0	0	16,577	0	13,890	4,592	0	0	0	18,482	2,744
334.20-NYT Account	20,675	0	88,033	0	0	0	88,033	0	86,391	4,202	0	0	0	90,593	18,115
334.23-State Data Ctr	5,869	0	116,206	0	0	0	116,206	0	104,941	10,296	0	0	0	115,237	6,638
334.24-Human Svcs Tele	6,969	0	28,485	0	0	0	28,485	0	25,599	4,553	0	0	0	30,152	5,302
334.26-OMRDD Copy Ctr	958	0	0	0	0	0	0	0	0	0	0	0	0	0	958
334.27-Intrusion Detec	10	0	1,528	0	0	0	1,528	0	1,350	0	0	0	0	1,350	188
334.28-Dom Violence Gr	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
334.28-Dom Violence Gr	(308)	0	703	0	0	0	703	0	703	0	0	0	0	703	(308)
334.29-Statewide Train	7	0	0	0	0	0	0	0	0	0	0	0	0	0	7
334.30-Cent Tech Svcs.	(49)	0	2,500	0	0	0	2,500	0	2,100	398	0	0	0	2,498	(47)
334.ZV-Learning Mgmt S	1,731	0	391	0	0	0	391	0	541	49	0	0	0	590	1,532
343.00-Mental Hygiene	347	0	1,967	0	0	0	1,967	0	2,131	488	0	0	2	2,621	(307)
347.00-DFY Voc Educatn	54	0	25	0	0	0	25	0	0	0	0	0	0	0	79
394.00-Joint Labor-Mgt	2,181	0	2,000	0	0	0	2,000	0	1,246	408	0	0	0	1,654	2,527
395.04-Ex Dir Intl Aud	(1,431)	0	1,550	0	0	0	1,550	0	1,340	528	0	0	0	1,868	(1,749)
396.00-Health Ins Infr	(13,500)	0	14,121	0	0	7,843	21,964	0	13,102	4,875	0	0	0	17,977	(9,513)
396.01-CS EBD Adm Reim	(3,382)	0	4,500	0	0	240	4,740	0	2,575	894	0	0	0	3,469	(2,111)
397.00-Corr Industries	2,362	0	48,000	0	0	9,500	57,500	0	47,934	9,566	0	0	0	57,500	2,362

CASH COMBINING STATEMENT BY ACCOUNT
ENTERPRISE
2011-2012
(Thousands of Dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	Total State Ops	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.00-DFY Commissary	197	0	120	0	0	0	120	0	120	0	0	0	0	120	197
325.00-State Fair Rec	3,307	0	18,500	0	0	0	18,500	0	16,798	2,471	0	0	0	19,269	2,538
326.00-DOCS Commissary	3,134	0	37,327	0	0	0	37,327	0	37,277	0	0	0	0	37,277	3,184
331.01-Publications	16	0	5	0	0	0	5	0	5	0	0	0	0	5	16
331.02-DFY Products	(1)	0	0	0	0	0	0	0	0	(1)	0	0	0	0	(1)
331.03-Aging Enterpris	0	0	2	0	0	0	2	0	2	0	0	0	0	2	0
331.04-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	14
331.06-Empire St Games	1	0	2	0	0	0	2	0	3	0	0	0	0	3	0
331.07-DSS Trng Matris	171	0	24	0	0	0	24	0	0	0	0	0	0	0	195
331.21-Arts Oxford Vet	1	0	1	0	0	0	1	0	1	0	0	0	0	1	1
331.31-TRAID Services	10	0	10	0	0	0	10	0	10	0	0	0	0	10	10
331.55-Convention Ctr	253	0	1,629	0	0	0	1,629	0	716	296	0	0	0	1,012	870
331.06-DOCS Empl Mess	184	0	1,256	0	0	0	1,256	0	1,009	130	0	0	0	1,139	301
331.06-Asset Preservat	41	0	14	0	0	0	14	0	17	0	0	0	0	17	38
331.06-Farm Program	769	0	412	0	0	0	412	0	412	0	0	0	0	412	769
331.06-Farm Alert	0	0	1,000	0	0	0	1,000	0	1,000	0	0	0	0	1,000	0
331.06-DDPC Pub Acct	0	0	10	0	0	0	10	0	10	0	0	0	0	10	0
351.00-OMH Sheit Wkshs	1,787	0	2,200	0	0	0	2,200	0	2,200	0	0	0	0	2,200	1,787
352.00-MR Sheit Wkshop	1,089	0	950	0	0	0	950	0	1,050	0	0	0	0	1,050	989
353.00-MH & MR Communi	2,608	0	2,200	0	0	18	2,218	0	1,566	212	0	0	0	1,778	3,048
353.32-MR Community St	98	0	660	0	0	0	660	0	552	108	0	0	0	660	98
450.01-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.00-UJ Benefit Fnd	(198,497)	3,775,000	0	25,000	0	0	3,800,000	0	3,800,000	0	0	0	0	3,800,000	(198,497)
481.01-Interest Assess	184	0	150,000	0	0	0	150,000	0	150,000	0	0	0	0	150,000	184
481.01-Federal Stimulu	204,545	0	0	50,000	0	0	50,000	0	50,000	0	0	0	0	50,000	204,545

**CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
2010-11 GENERAL FUND
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture & Markets, Department of	14,448	35,579	27,737	47,931
Consumer Protection Board, State	0	0	1,939	2,508
Economic Development, Department of	316	3,962	17,616	21,941
Housing and Community Renewal, Division of	39,476	75,361	19,413	23,930
Insurance, State Department	11,145	0	138	150
Empire State Development Corporation	29,209	399,175	0	23,040
Olympic Regional Development Authority	0	0	3,471	5,222
Regional Economic Development	0	9,475	0	0
Science Technology and Innovation, Foundation (NYSTAR)	23,153	224,912	2,212	2,851
FUNCTIONAL TOTAL	117,747	748,464	72,526	127,573
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency			4,637	5,119
Environmental Conservation, Department of	1,558	12,293	103,570	129,280
Environmental Facilities Corporation	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	2,404	6,544	120,965	131,849
FUNCTIONAL TOTAL	3,962	18,837	229,172	266,248
TRANSPORTATION				
Transportation, Department of	96,005	100,226	1,854	985
FUNCTIONAL TOTAL	96,005	100,226	1,854	985
HEALTH & SOCIAL WELFARE				
Aging, Office for the	109,142	126,897	1,676	2,948
Children & Family Services, Office of	1,640,041	2,204,931	265,274	341,827
Health, Department of	8,115,783	12,744,357	189,601	356,669
Human Rights, Division of	0	0	14,130	14,522
Labor, Department of	8,307	15,449	25	0
Medicaid Inspector General	0	0	24,095	33,274
Prevention of Domestic Violence	666	685	1,262	1,365
Temporary and Disability Assistance, Office of	1,196,073	1,307,136	58,606	103,657
Welfare Inspector General	0	0	326	420
FUNCTIONAL TOTAL	11,070,012	16,399,455	554,995	854,682

**CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
2010-11 GENERAL FUND
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
MENTAL HYGIENE				
Alcohol and Substance Abuse Services, Office of	141,619	149,547	0	0
Mental Health, Office of	538,058	564,389	251	800
People with Developmental Disabilities, Office of	1,556,551	1,602,745	0	0
Quality of Care for the Mentally Disabled, Commission on	229	170	4,205	5,466
FUNCTIONAL TOTAL	2,236,457	2,316,851	4,456	6,266
PUBLIC PROTECTION				
Correctional Services, Department of	69	6,145	2,408,100	2,511,713
Correction, Commission of	0	0	2,419	2,975
Criminal Justice Services, Division of	115,991	229,360	58,898	59,845
Homeland Security	17,552	193,300	12,515	11,949
Judicial Commissions	0	0	4,944	5,474
Military and Naval Affairs, Division of	684	775	21,228	17,105
Parole, Division of	10,196	12,016	166,152	165,931
Probational and Correctional Alternatives, Division of	117	0	15	0
State Police, Division of	0	0	463,968	475,225
FUNCTIONAL TOTAL	144,609	441,596	3,138,239	3,250,217
EDUCATION				
Arts, Council on the	39,671	40,781	4,694	4,838
City University of New York	1,182,513	1,216,362	0	0
Education, Department of	20,149,071	18,363,813	40,446	42,564
Higher Education Services Corporation	791,507	18,522,130	(2,482)	41,163
State University of New York	472,164	503,803	1,029,227	2,297,110
FUNCTIONAL TOTAL	22,634,926	38,646,889	1,071,885	2,385,675

**CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
2010-11 GENERAL FUND
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
GENERAL GOVERNMENT				
Audit and Control, Department of	31,673	32,025	135,517	141,457
Budget, Division of	0	0	22,928	34,477
Civil Service, Department of	0	0	16,392	18,593
Elections, State Board of	582	3,900	5,514	6,135
Employee Relations, Office of	0	0	3,000	3,290
Executive Chamber	0	0	12,880	19,838
General Services, Office of	0	0	119,432	143,106
Inspector General, Office of the	0	0	5,633	6,138
Law, Department of	0	0	110,613	112,641
Lieutenant Governor, Office of the	0	0	(2,433)	700
Public and Private Employee Relations Board	0	0	3,660	3,968
Public Integrity, Commission on	0	0	3,794	4,308
Racing and Wagering Board, State	0	0	0	0
Real Property Services, Office of	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0
State, Department of	6,963	13,496	1,653	2,350
Taxation and Finance, Department of	5,270	12,325	14,862	21,081
Tax Appeals, Division of	0	0	361,047	377,366
Technology, Office for	884	2,500	3,134	3,154
Veteran Affairs, Division of	6,558	7,946	22,018	30,558
FUNCTIONAL TOTAL	51,930	72,192	845,704	935,611
ALL OTHER CATEGORIES				
Judiciary	4,884	20,000	1,792,790	2,455,202
Legislature	0	0	221,740	217,845
Local Government Assistance	945,330	999,121	0	0
FUNCTIONAL TOTAL	950,214	1,019,121	2,014,530	2,673,047

NOTE 1: Cash disbursements can vary from available appropriations for a particular Financial plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but does not include carry-in authority from prior years which is subject to lapse.

**CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
2011-12 GENERAL FUND
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
ECONOMIC DEVELOPMENT				
Agriculture & Markets, Department of	23,431	29,338	27,250	44,840
Consumer Protection Board, State	0	0	0	0
Economic Development, Department of	25,969	48,901	19,053	37,648
Housing and Community Renewal, Division of	35,415	56,684	16,191	21,537
Insurance, State Department	0	0	0	0
Empire State Development Corporation	57,568	459,896	0	0
Olympic Regional Development Authority	0	0	4,040	4,700
Public Service Department	0	0	0	0
Regional Economic Development	0	5,159	0	0
Science Technology and Innovation, Foundation (NYSTAR)	0	0	0	0
FUNCTIONAL TOTAL	142,383	599,978	66,534	108,725
PARKS AND THE ENVIRONMENT				
Adirondack Park Agency	4,802	5,107	4,291	4,607
Environmental Conservation, Department of	0	0	95,481	117,815
Environmental Facilities Corporation	2,850	3,020	0	0
Parks, Recreation and Historic Preservation, Office of	7,652	8,127	108,868	119,461
FUNCTIONAL TOTAL			208,640	241,883
TRANSPORTATION				
Transportation, Department of	97,709	97,551	870	0
FUNCTIONAL TOTAL	97,709	97,551	870	0
HEALTH & SOCIAL WELFARE				
Aging, Office for the	104,478	121,661	1,597	2,474
Children & Family Services, Office of	1,696,899	2,160,226	237,533	334,808
Health, Department of	10,966,662	15,132,363	213,803	279,615
Human Rights, Division of	0	0	9,670	13,070
Labor, Department of	6,095	3,995	0	0
Medicaid Inspector General	0	0	25,762	29,577
Prevention of Domestic Violence	685	685	1,275	0
Temporary and Disability Assistance, Office of	1,402,352	1,381,349	52,608	98,019
Welfare Inspector General	0	0	322	378
FUNCTIONAL TOTAL	14,177,171	18,800,279	542,570	757,941

**CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
2011-12 GENERAL FUND
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
MENTAL HYGIENE				
Alcohol and Substance Abuse Services, Office of	32,680	36,879	0	0
Mental Health, Office of	429,480	420,982	800	800
People with Developmental Disabilities, Office of	1,415,388	1,430,365	0	0
Quality of Care for People with Disabilities, Commission on	170	170	4,315	4,922
FUNCTIONAL TOTAL	1,877,718	1,888,396	5,115	5,722
PUBLIC PROTECTION				
Correctional Services, Department of	6,000	13,024	2,304,721	2,418,614
Correction, Commission of	0	0	2,740	2,975
Criminal Justice Services, Division of	116,606	234,188	48,493	57,787
Homeland Security	18,572	193,600	5,897	10,754
Judicial Commissions	0	0	5,452	5,452
Military and Naval Affairs, Division of	650	940	21,288	15,394
Parole, Division of	0	0	0	0
Probational and Correctional Alternatives, Division of	0	0	0	0
State Police, Division of	0	0	423,227	422,174
FUNCTIONAL TOTAL	141,828	441,752	2,811,818	2,933,150
EDUCATION				
Arts, Council on the	31,635	51,543	4,300	4,574
City University of New York	1,203,362	1,208,362	0	0
Education, Department of	18,522,130	18,522,130	36,527	38,309
Higher Education Services Corporation	889,861	965,066	0	2,500
State University of New York	477,447	445,271	909,957	2,190,233
FUNCTIONAL TOTAL	21,124,435	21,192,372	950,784	2,235,616

**CASH TO APPROPRIATION TABLE
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS
2011-12 GENERAL FUND
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
GENERAL GOVERNMENT				
Audit and Control, Department of	32,024	32,025	123,677	125,801
Budget, Division of	0	0	21,953	29,054
Civil Service, Department of	0	0	13,921	16,734
Elections, State Board of	2,000	3,700	5,024	5,521
Employee Relations, Office of	0	0	2,835	2,961
Executive Chamber	0	0	13,926	17,854
General Services, Office of	0	0	107,489	128,912
Inspector General, Office of the	0	0	5,343	5,524
Law, Department of	0	0	98,374	101,381
Lieutenant Governor, Office of the	0	0	464	630
Public and Private Employee Relations Board	0	0	3,270	3,571
Public Integrity, Commission on	0	0	3,649	3,878
Racing and Wagering Board, State	0	0	0	0
Real Property Services, Office of	0	0	0	0
Regulatory Reform, Governor's Office of	0	0	0	0
State, Department of	4,437	0	16,300	21,154
Taxation and Finance, Department of	926	750	310,681	310,682
Tax Appeals, Division of	0	0	2,514	3,021
Technology, Office for	1,245	1,530	23,212	27,502
Veteran Affairs, Division of	7,450	8,176	5,811	6,306
FUNCTIONAL TOTAL	48,082	46,181	758,443	810,486
ALL OTHER CATEGORIES				
Judiciary	2,445	17,446	1,730,500	2,352,332
Legislature	0	0	217,845	217,845
Local Government Assistance	936,751	1,038,001	0	0
FUNCTIONAL TOTAL	939,196	1,055,447	1,948,345	2,570,177

NOTE 1: Cash disbursements can vary from available appropriations for a particular Financial plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reappropriations, and other appropriation amendments, but does not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Not reflected in this table are the portions of Local Assistance appropriation authority extended through 2012-13 for Education and Health, which are related to the enacted two-year limit on School Aid and Medicaid spending. Certain provisions are included in the enacted legislation to restrict spending authority for the related programs during 2011-12.

**GAAP FINANCIAL PLAN
GENERAL FUND
2011-2012
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
Revenues:			
Taxes:			
Personal income tax	25,435	(75)	25,360
User taxes and fees	9,176	(52)	9,124
Business taxes	6,116	13	6,129
Other taxes	1,061	7	1,068
Miscellaneous revenues	6,464	105	6,569
Federal grants	60	0	60
Total revenues	<u>48,312</u>	<u>(2)</u>	<u>48,310</u>
Expenditures:			
Grants to local governments	40,756	764	41,520
State operations	11,103	(108)	10,995
General State charges	5,541	(87)	5,454
Debt service	0	0	0
Capital projects	0	0	0
Total expenditures	<u>57,400</u>	<u>569</u>	<u>57,969</u>
Other financing sources (uses):			
Transfers from other funds	14,947	(236)	14,711
Transfers to other funds	(5,995)	197	(5,798)
Proceeds from financing arrangements/ advance refundings	0	0	0
	403	(29)	374
Net other financing sources (uses)	<u>9,355</u>	<u>(68)</u>	<u>9,287</u>
Operating Surplus/(Deficit)	<u>267</u>	<u>(639)</u>	<u>(372)</u>

**GAAP FINANCIAL PLAN
GENERAL FUND
2011-2012 THROUGH 2014-2015
(millions of dollars)**

	<u>2011-12 Projected</u>	<u>2012-13 Projected</u>	<u>2013-14 Projected</u>	<u>2014-15 Projected</u>
Revenues:				
Taxes:				
Personal income tax	25,360	25,465	26,802	28,484
User taxes and fees	9,124	9,400	9,744	10,104
Business taxes	6,129	6,462	6,726	6,104
Other taxes	1,068	1,127	1,190	1,199
Miscellaneous revenues	6,569	6,240	5,842	5,290
Federal grants	60	60	60	60
Total revenues	<u>48,310</u>	<u>48,754</u>	<u>50,364</u>	<u>51,241</u>
Expenditures:				
Grants to local governments	41,520	42,812	44,769	46,489
State operations	10,995	11,748	11,447	11,840
General State charges	5,454	5,984	6,476	6,704
Debt service	0	0	0	0
Capital projects	0	0	0	0
Total expenditures	<u>57,969</u>	<u>60,544</u>	<u>62,692</u>	<u>65,033</u>
Other financing sources (uses):				
Transfers from other funds	14,711	14,772	15,344	15,344
Transfers to other funds	(5,798)	(6,482)	(7,081)	(7,104)
Proceeds from financing arrangements/ advance refundings	374	400	400	400
Net other financing sources (uses)	<u>9,287</u>	<u>8,690</u>	<u>8,663</u>	<u>8,640</u>
Operating Surplus/(Deficit)	<u>(372)</u>	<u>(3,100)</u>	<u>(3,665)</u>	<u>(5,152)</u>

**GAAP FINANCIAL PLAN
ALL FUNDS
2011-12
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
Revenues:					
Taxes	41,681	8,329	1,361	13,215	64,586
Public Health/Patient fees	0	4,288	0	453	4,741
Miscellaneous revenues	6,569	1,207	762	10	8,548
Federal grants	60	45,807	2,294	79	48,240
Total revenues	<u>48,310</u>	<u>59,631</u>	<u>4,417</u>	<u>13,757</u>	<u>126,115</u>
Expenditures:					
Grants to local governments	41,520	55,975	2,719	0	100,214
State operations	10,995	2,044	0	53	13,092
General State charges	5,454	382	0	0	5,836
Debt service	0	0	0	6,703	6,703
Capital projects	0	5	5,494	0	5,499
Total expenditures	<u>57,969</u>	<u>58,406</u>	<u>8,213</u>	<u>6,756</u>	<u>131,344</u>
Other financing sources (uses):					
Transfers from other funds	14,711	2,528	1,015	6,524	24,778
Transfers to other funds	(5,798)	(3,780)	(1,445)	(13,354)	(24,377)
Proceeds of general obligation bonds	0	0	484	0	484
Proceeds from financing arrangements/ advance refundings	374	0	3,636	0	4,010
Net other financing sources (uses)	<u>9,287</u>	<u>(1,252)</u>	<u>3,690</u>	<u>(6,830)</u>	<u>4,895</u>
Operating Surplus/(Deficit)	<u>(372)</u>	<u>(27)</u>	<u>(106)</u>	<u>171</u>	<u>(334)</u>

**GAAP FINANCIAL PLAN
ALL FUNDS
2011-12
(millions of dollars)**

	Major Funds				Eliminations	Total
	General Fund	Federal Special Revenue	General Obligation Debt Service	Other Governmental Funds		
Revenues:						
Taxes:						
Personal income tax	25,360	0	9,765	3,293	0	38,418
User taxes and fees	9,124	0	0	5,728	0	14,852
Business taxes	6,129	0	0	2,073	0	8,202
Other taxes	1,068	0	0	2,046	0	3,114
Public Health/Patient fees	0	0	0	4,741	0	4,741
Miscellaneous receipts	6,569	132	0	1,847	0	8,548
Federal grants	60	45,806	79	2,295	0	48,240
Total revenues	48,310	45,938	9,844	22,023	0	126,115
Expenditures:						
Grants to local governments	41,520	40,126	0	18,568	0	100,214
State operations	10,995	1,453	38	606	0	13,092
General State charges	5,454	294	0	88	0	5,836
Debt service	0	0	4,072	2,631	0	6,703
Capital projects	0	0	0	5,499	0	5,499
Total expenditures	57,969	41,873	4,110	27,392	0	131,344
Other financing sources (uses):						
Transfers from other funds	14,711	0	3,301	6,766	(19,646)	5,132
Transfers to other funds	(5,798)	(4,065)	(9,035)	(5,479)	19,646	(4,731)
Proceeds of General obligation bonds	0	0	0	484	0	484
Proceeds from financing arrangements/ advance refundings	374	0	0	3,636	0	4,010
Net other financing sources (uses)	9,287	(4,065)	(5,734)	5,407	0	4,895
Operating Surplus/(Deficit)	(372)	0	0	38	0	(334)

**GAAP COMBINING STATEMENT
GENERAL FUND
2011-2012
(millions of dollars)**

	001	003	007	166	013	008	323	325	326	331
Receipts:										
Personal income tax	0	25,360	0	0	0	0	0	0	0	0
User taxes and fees	0	9,124	0	0	0	0	0	0	0	0
Business taxes	0	6,129	0	0	0	0	0	0	0	0
Other taxes	0	1,068	0	0	0	0	0	0	0	0
Miscellaneous receipts	0	2,923	0	620	0	0	240	18	37	4
Federal grants	0	60	0	0	0	0	0	0	0	0
Total receipts	<u>0</u>	<u>44,564</u>	<u>0</u>	<u>620</u>	<u>0</u>	<u>0</u>	<u>240</u>	<u>18</u>	<u>37</u>	<u>4</u>
Disbursements:										
Grants to local governments	38,386	0	85	0	0	0	0	0	0	0
State operations	0	6,541	0	60	0	0	170	17	37	3
General State charges	0	3,391	0	560	0	0	18	2	0	0
Debt service	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
Total disbursements	<u>38,386</u>	<u>9,932</u>	<u>85</u>	<u>620</u>	<u>0</u>	<u>0</u>	<u>188</u>	<u>19</u>	<u>37</u>	<u>3</u>
Other financing sources (uses):										
Transfers from other funds	0	11,763	0	0	0	100	0	0	0	0
Transfers to other funds	(4,386)	(4,541)	0	0	0	0	(48)	0	0	0
Proceeds from financing arrangements/advance refundings	374	0	0	0	0	0	0	0	0	0
Net other financing sources (uses)	<u>(4,012)</u>	<u>7,222</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>100</u>	<u>(48)</u>	<u>0</u>	<u>0</u>	<u>0</u>
Operating Surplus/(Deficit)	<u>(42,398)</u>	<u>41,954</u>	<u>(85)</u>	<u>0</u>	<u>0</u>	<u>100</u>	<u>4</u>	<u>(1)</u>	<u>0</u>	<u>1</u>

**GAAP COMBINING STATEMENT
GENERAL FUND
2011-2012
(millions of dollars)**

	334	339	343	351	352	353	394	395	396	397	450	Eliminations	Total
Receipts:													
Personal income tax	0	0	0	0	0	0	0	0	0	0	0	0	25,360
User taxes and fees	0	0	0	0	0	0	0	0	0	0	0	0	9,124
Business taxes	0	0	0	0	0	0	0	0	0	0	0	0	6,129
Other taxes	0	0	0	0	0	0	0	0	0	0	0	0	1,068
Miscellaneous receipts	283	2,926	2	2	1	2	2	2	19	48	0	(560)	6,569
Federal grants	0	0	0	0	0	0	0	0	0	0	0	0	60
Total receipts	<u>283</u>	<u>2,926</u>	<u>2</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>19</u>	<u>48</u>	<u>0</u>	<u>(560)</u>	<u>48,310</u>
Disbursements:													
Grants to local governments	0	3,049	0	0	0	0	0	0	0	0	0	0	41,520
State operations	323	4,331	2	2	1	2	1	1	16	48	0	(560)	10,995
General State charges	27	1,437	1	0	0	0	1	1	6	10	0	0	5,454
Debt service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0	0
Total disbursements	<u>350</u>	<u>8,817</u>	<u>3</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>22</u>	<u>58</u>	<u>0</u>	<u>(560)</u>	<u>57,969</u>
Other financing sources (uses):													
Transfers from other funds	55	6,950	0	0	0	0	0	0	8	10	0	(4,175)	14,711
Transfers to other funds	0	(898)	0	0	0	0	0	0	0	0	0	4,175	(5,798)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	0	0	374
Net other financing sources (uses)	<u>55</u>	<u>5,952</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8</u>	<u>10</u>	<u>0</u>	<u>0</u>	<u>9,287</u>
Operating Surplus/(Deficit)	<u>(12)</u>	<u>61</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>5</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(372)</u>

CASH TO GAAP CONVERSION TABLE
GENERAL FUND
2011-12
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Elimin- ations	Intrafund Eliminations	Reclass- ification	GAAP Financial Plan
		Cash Revenue Funds	Special Revenue Funds	Other Funds	Other Funds						
Receipts/Revenues:											
Taxes:											
Personal income tax	26,001	0	0	0	26,001	(641)	0	0	0	0	25,360
User taxes and fees	9,105	0	0	0	9,105	19	0	0	0	0	9,124
Business taxes	6,101	0	0	0	6,101	28	0	0	0	0	6,129
Other taxes	1,030	0	0	0	1,030	38	0	0	0	0	1,068
Miscellaneous receipts	3,098	2,985	660	660	6,743	0	620	(560)	(234)	0	6,569
Federal Grants	60	0	0	0	60	0	0	0	0	0	60
Total receipts/revenues	45,395	2,985	660	660	49,040	(556)	620	(560)	(234)	0	48,310
Disbursements/expenses:											
Grants to local governments	38,888	3,049	0	0	41,937	607	0	0	(1,024)	0	41,520
State operations	7,356	4,608	624	624	12,588	(10)	60	(560)	(1,083)	0	10,995
General State charges	4,668	1,452	66	66	6,186	5	560	0	(1,297)	0	5,454
Debt service	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0
Total disbursements/expenses	50,912	9,109	690	690	60,711	602	620	(560)	(3,404)	0	57,969
Other financing sources (uses):											
Transfers from other funds	11,898	7,186	73	73	19,157	0	(4,384)	0	(62)	(62)	14,711
Transfers to other funds	(6,020)	(1,001)	(48)	(48)	(7,069)	(5)	4,384	0	(3,108)	(3,108)	(5,798)
Proceeds from financing arrangements/ advance refundings	0	0	0	0	0	374	0	0	0	0	374
Net other financing sources (uses)	5,878	6,185	25	25	12,088	369	0	0	(3,170)	0	9,287
Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses	361	61	(5)	(5)	417	(789)	0	0	0	0	(372)
(Increase)/decrease in reserves	(361)	0	0	0	(361)	361	0	0	0	0	0
Operating Surplus/(Deficit)	0	61	(5)	(5)	56	(428)	0	0	0	0	(372)

CASH TO GAAP CONVERSION TABLE
SPECIAL REVENUE FUNDS
 2011-12
 (millions of dollars)

	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:											
Taxes	8,319	0	0	0	0	0	0	0	0	10	8,329
Miscellaneous receipts	15,344	(152)	(3,650)	(2,985)	(3,072)	0	(4,288)	0	0	10	1,207
Public Health	0	0	0	0	0	0	4,288	0	0	0	4,288
Federal Grants	40,872	0	0	0	0	4,886	0	(282)	0	331	45,807
Total receipts/revenues	64,535	(152)	(3,650)	(2,985)	(3,072)	4,886	0	(282)	0	351	59,631
Disbursements/expenditures:											
Grants to local governments	53,805	0	0	(3,049)	(46)	4,886	0	0	0	379	55,975
State operations	11,006	(137)	(3,831)	(4,608)	(165)	0	0	(276)	0	55	2,044
General State charges	2,165	0	(300)	(1,452)	(11)	0	0	0	0	(20)	382
Capital projects	5	0	0	0	0	0	0	0	0	0	5
Total disbursements/expenditures	66,981	(137)	(4,131)	(9,109)	(222)	4,886	0	(276)	0	414	56,406
Other financing sources (uses):											
Transfers from other funds	7,323	0	(505)	(7,186)	2,838	0	0	0	58	0	2,528
Transfers to other funds	(4,791)	0	62	1,001	0	0	0	6	(58)	0	(3,780)
Net other financing sources (uses)	2,532	0	(443)	(6,185)	2,838	0	0	6	0	0	(1,252)
Operating Surplus/(Deficit)	86	(15)	38	(61)	(12)	0	0	0	0	(63)	(27)

CASH TO GAAP CONVERSION TABLE
 CAPITAL PROJECTS FUND
 2011-12
 (millions of dollars)

	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
Receipts/Revenues:									
Taxes	1,361	0	0	0	0	0	0	0	1,361
Miscellaneous receipts	4,016	0	(70)	(912)	(8)	0	(2,338)	74	762
Federal Grants	2,294	0	0	0	0	0	0	0	2,294
Total receipts/revenues	7,671	0	(70)	(912)	(8)	0	(2,338)	74	4,417
Disbursements/expenses:									
Grants to local governments	2,711	0	0	0	0	0	0	8	2,719
Capital projects	5,177	(45)	(70)	(952)	(8)	1,229	0	163	5,494
Total disbursements/expenses	7,888	(45)	(70)	(952)	(8)	1,229	0	171	8,213
Other financing sources (uses):									
Transfers from other funds	1,060	(45)	0	0	0	0	0	0	1,015
Transfers to other funds	(1,445)	0	0	0	0	0	0	0	(1,445)
Proceeds of GO Bonds	484	0	0	0	0	0	0	0	484
Proceeds from Financing Arrangements/ Advance Refundings	0	0	0	0	0	1,298	2,338	0	3,636
Net other financing sources (uses)	99	(45)	0	0	0	1,298	2,338	0	3,690
Operating Surplus/(Deficit)	(118)	0	0	40	0	69	0	(97)	(106)

CASH TO GAAP CONVERSION TABLE
DEBT SERVICE FUND
2011-12
(millions of dollars)

	Estimated Cash Disbursements	SUNY Dorms (Fund 330)	LGAC	Reclass Patient Fees	Reclass		System Accruals	Estimated GAAP Expenditures
					SUNY/ CUNY DS			
Receipts/Revenues:								
Taxes	13,059	0	0	0	0	0	156	13,215
Patient fees	0	0	0	453	0	0	0	453
Federal Grants	79	0	0	0	0	0	0	79
Miscellaneous receipts	949	(482)	(4)	(453)	0	0	0	10
Total receipts/revenues	14,087	(482)	(4)	0	0	0	156	13,757
Disbursements/expenses:								
State operations	62	(9)	0	0	0	0	0	53
Debt Service	5,855	(90)	0	0	938	0	0	6,703
Total disbursements/expenses	5,917	(99)	0	0	938	0	0	6,756
Other financing sources (uses):								
Transfers from other funds	6,524	0	0	0	0	0	0	6,524
Transfers to other funds	(14,574)	282	0	0	938	0	0	(13,354)
Net other financing sources (uses)	(8,050)	282	0	0	938	0	0	(6,830)
Operating Surplus/(Deficit)	120	(101)	(4)	0	0	0	156	171

STATE DEBT OUTSTANDING
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
2010-2011 THROUGH 2015-2016
(thousands of dollars)

	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
GENERAL OBLIGATION BONDS						
Economic Development & Housing	77,497	65,339	54,989	46,244	38,144	31,144
Environment	1,423,290	1,308,643	1,226,588	1,149,892	1,081,718	1,003,905
Transportation	2,034,540	2,263,691	2,389,093	2,467,111	2,523,475	2,412,009
Subtotal General Obligation	<u>3,535,328</u>	<u>3,637,673</u>	<u>3,670,670</u>	<u>3,663,246</u>	<u>3,643,337</u>	<u>3,447,057</u>
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	4,438,600	4,867,785	4,643,263	4,407,128	4,105,681	3,733,680
Education	8,304,755	9,516,070	10,836,674	12,132,189	13,253,917	14,288,636
Environment	1,015,675	1,294,498	1,397,975	1,471,103	1,525,314	1,571,627
Health & Mental Hygiene	1,369,255	1,634,748	1,651,583	1,665,692	1,550,302	1,447,138
State Facilities & Equipment	3,337,200	3,624,502	3,811,374	3,945,312	4,056,989	4,216,695
Transportation	2,520,350	2,771,219	3,036,464	3,284,261	3,512,779	3,721,248
Subtotal PIT Revenue Bonds	<u>20,985,835</u>	<u>23,708,821</u>	<u>25,377,332</u>	<u>26,905,685</u>	<u>28,004,981</u>	<u>28,979,026</u>
Other Revenue						
Education						
SUNY Dorms	1,139,920	1,339,870	1,605,986	1,585,556	1,533,042	1,582,268
Health & Mental Hygiene						
Health Income	299,760	285,095	270,605	255,405	239,260	222,121
Mental Health Services	2,753,455	2,980,977	3,452,415	3,807,565	4,120,539	4,446,447
Local Government Assistance						
Sales Tax	3,334,038	3,123,588	2,887,838	2,658,025	2,425,410	2,154,195
Transportation						
Dedicated Highway	7,267,105	7,728,015	7,714,357	7,688,939	7,645,467	7,634,435
Subtotal Other Revenue Bonds	<u>14,794,278</u>	<u>15,457,544</u>	<u>15,931,200</u>	<u>15,995,489</u>	<u>15,963,718</u>	<u>16,039,465</u>
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	939,186	840,585	769,692	685,190	617,554	540,120
Education	5,057,428	4,779,278	4,372,125	3,975,834	3,570,410	3,185,043
Environment	137,781	119,075	104,346	89,468	80,334	70,630
Health & Mental Hygiene	44,000	40,485	36,805	32,940	29,075	25,209
State Facilities & Equipment	2,784,084	2,575,600	2,351,014	2,117,585	1,882,855	1,652,424
Transportation	3,353,885	3,116,910	2,899,845	2,664,345	2,434,745	2,199,845
Subtotal Service Contract & Lease-Purchase	<u>12,316,363</u>	<u>11,471,932</u>	<u>10,533,826</u>	<u>9,565,361</u>	<u>8,614,972</u>	<u>7,673,271</u>
TOTAL STATE-SUPPORTED						
Economic Development & Housing	5,455,283	5,773,709	5,467,943	5,138,562	4,761,378	4,304,944
Education	14,502,103	15,635,217	16,814,784	17,693,578	18,357,369	19,055,947
Environment	2,576,746	2,722,215	2,728,908	2,710,462	2,687,366	2,646,161
Health & Mental Hygiene	4,466,470	4,941,304	5,411,407	5,761,601	5,939,176	6,140,915
LGAC	3,334,038	3,123,588	2,887,838	2,658,025	2,425,410	2,154,195
State Facilities & Equipment	6,121,284	6,200,101	6,162,388	6,062,896	5,939,843	5,869,119
Transportation	15,175,880	15,879,835	16,039,759	16,104,656	16,116,465	15,967,537
SUBTOTAL STATE-SUPPORTED	<u>51,631,803</u>	<u>54,275,970</u>	<u>55,513,027</u>	<u>56,129,782</u>	<u>56,227,008</u>	<u>56,138,819</u>
OTHER STATE DEBT OBLIGATIONS						
Tobacco	3,011,900	2,718,275	2,412,655	2,092,175	1,754,660	1,398,910
All Other	1,029,986	944,919	857,182	764,234	672,646	578,625
SUBTOTAL OTHER STATE	<u>4,041,886</u>	<u>3,663,194</u>	<u>3,269,837</u>	<u>2,856,409</u>	<u>2,427,306</u>	<u>1,977,535</u>
GRAND TOTAL STATE-RELATED						
	<u>55,673,690</u>	<u>57,939,164</u>	<u>58,782,864</u>	<u>58,986,190</u>	<u>58,654,314</u>	<u>58,116,353</u>

STATE DEBT OUTSTANDING 2010-2011 THROUGH 2015-2016 (thousands of dollars)						
	Actual 2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
GENERAL OBLIGATION	3,535,328	3,637,673	3,670,670	3,663,246	3,643,337	3,447,057
LOCAL GOVERNMENT ASSISTANCE CORPORATION	3,334,038	3,123,588	2,887,838	2,658,025	2,425,410	2,154,195
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	2,062,790	2,005,455	1,945,135	1,881,555	1,814,515	1,743,765
Dormitory Authority						
Albany County Airport	20,810	18,160	15,425	12,590	9,660	6,580
Thruway Authority:						
Consolidated Local Highway Improvement	3,790,635	3,864,514	3,975,749	4,054,461	4,123,349	4,170,748
Dedicated Highway & Bridge	7,267,105	7,728,015	7,714,357	7,688,939	7,645,467	7,634,435
Education						
Dormitory Authority:						
SUNY Educational Facilities	6,380,216	6,988,940	7,554,898	8,101,610	8,501,658	8,931,012
SUNY Dormitory Facilities	1,139,920	1,339,870	1,605,986	1,585,556	1,533,042	1,582,268
SUNY Upstate Community Colleges	682,875	739,462	788,878	839,212	882,588	931,141
CUNY Educational Facilities	3,947,953	4,123,300	4,384,130	4,668,532	4,998,277	5,280,493
State Education Department	53,680	51,730	48,665	45,235	41,670	37,950
Library for the Blind	3,010	2,060	1,060	0	0	0
SUNY Athletic Facilities	16,330	15,510	14,675	13,815	12,330	10,785
RESCUE	79,675	63,065	53,650	43,765	39,530	34,805
University Facilities (Jobs 2000)	15,205	9,705	7,280	4,740	2,810	1,440
Judicial Training Institute	9,530	8,740	7,905	7,710	6,750	5,750
School District Capital Outlays	12,470	0	0	0	0	0
Statewide Longitudinal Data System	0	4,135	7,289	13,923	9,815	5,596
Higher Ed Capital Matching Grants	76,325	93,300	82,315	70,767	58,682	46,223
Public Broadcasting Facilities	8,540	7,085	5,560	3,955	2,275	1,165
EXCEL School Construction	2,005,840	2,083,650	2,114,339	2,109,864	2,050,349	1,966,709
Library Facilities	46,670	56,531	66,572	82,776	91,578	99,755
Cultural Educ Storage Facilities	9,135	18,862	33,252	47,190	65,609	63,523
Judiciary Training Academies	14,730	29,272	38,331	54,927	60,405	57,334
Health						
DOH & Veterans' Home Facilities	343,760	325,580	307,410	288,345	268,335	247,330
Health Care Grants	180,295	482,573	548,193	615,552	560,302	519,853
Mental Hygiene						
Mental Health Facilities	3,942,415	4,133,152	4,555,805	4,857,705	5,110,539	5,373,732
Public Protection						
ESDC:						
Prison Facilities	4,599,200	4,634,021	4,627,290	4,607,184	4,581,101	4,580,683
Youth Facilities	189,899	193,578	195,168	196,618	203,454	210,768
Homeland Security	16,820	15,770	14,168	12,259	10,075	7,313
Environment						
EFC/ERDA:						
Riverbank Park	43,980	41,360	38,575	35,440	32,145	28,690
Pilgrim Sewage Treatment	4,200	3,400	2,600	1,800	1,000	0
State Park Infrastructure	2,115	715	0	0	0	0
Pipeline for Jobs (Jobs 2000)	7,879	3,559	1,049	0	0	0
Environmental Infrastructure	689,116	766,568	780,726	802,726	816,766	823,975
Hazardous Waste Remediation	399,480	592,271	674,704	717,023	753,293	788,343
ESDC:						
Pine Barrens	6,686	5,700	4,666	3,583	2,444	1,250
State Buildings/Equipment						
ESDC:						
State Capital Projects	154,005	142,145	129,605	116,335	102,325	87,535
ESDC / DA / OGS						
State Facilities	873,498	876,730	856,444	787,989	720,003	696,812
Equipment / Certificates of Participation	279,596	337,857	339,712	342,512	322,885	286,007
E911	8,265	0	0	0	0	0
Housing						
Housing Finance Agency	1,549,280	1,598,718	1,603,123	1,605,023	1,573,177	1,492,813
Economic Development						
TBTA/ESDC						
Javits Center	41,845	25,500	25,163	24,807	24,430	24,031
ESDC/DA						
University Technology Centers	69,567	55,164	40,084	28,537	20,505	13,951
Onondaga Convention Center	28,875	26,240	23,475	20,575	17,540	14,355
Sports Facilities	209,855	193,540	176,525	158,725	140,120	120,625
Community Enhancement Facilities	65,842	70,600	63,293	49,226	37,115	27,934
Child Care Facilities	16,080	14,425	12,485	10,760	9,475	8,080
Buffalo Inner Harbor	23,185	27,122	25,981	24,743	22,966	20,855
Strategic Investment Program	24,420	26,992	20,693	17,915	14,329	10,437
Regional Economic Growth	502,990	433,394	324,847	255,394	203,433	153,949
NYS Econ. Dev. Program	222,241	208,773	191,837	173,978	153,470	132,001
High Technology & Development	146,530	160,117	147,698	134,461	119,034	102,890
Regional Economic Development	71,393	72,187	66,045	59,627	52,989	46,030
Economic Development Initiatives	0	17,340	16,443	15,491	14,481	13,410
Semiconductor Manufacturing Facility	639,230	639,230	639,230	639,230	638,330	614,190
Other Economic Development	944,433	1,201,632	1,127,272	1,047,407	944,155	830,799
High Technology Projects	209,080	226,435	181,378	137,824	108,821	91,946
2008-2011 Economic Development Initiative	584,545	673,477	696,151	664,110	606,061	534,463
RIOC Tram, etc.	28,395	37,484	31,232	24,485	22,802	21,036
Total Other Financing Arrangements	44,762,437	47,514,709	48,954,519	49,808,510	50,158,261	50,537,567
SUBTOTAL STATE-SUPPORTED DEBT	51,631,803	54,275,970	55,513,027	56,129,782	56,227,008	56,138,819

STATE DEBT OUTSTANDING
2010-2011 THROUGH 2015-2016
(thousands of dollars)

	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
SUBTOTAL STATE-SUPPORTED	<u>51,631,803</u>	<u>54,275,970</u>	<u>55,513,027</u>	<u>56,129,782</u>	<u>56,227,008</u>	<u>56,138,819</u>
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	585,410	534,670	481,660	423,390	362,870	299,860
Tobacco Settlement Financing Corp.	3,011,900	2,718,275	2,412,655	2,092,175	1,754,660	1,398,910
Moral Obligation						
Housing Finance Agency Moral Obligation Bond	23,101	18,364	13,767	8,909	6,341	5,105
MCFFA Nursing Homes and Hospitals	2,480	2,035	1,560	1,045	490	15
State Guaranteed Debt						
Job Development Authority (JDA)	23,220	18,940	15,435	12,345	9,255	6,170
State Funded						
MBBA Prior Year School Aid Claims	395,775	370,910	344,760	318,545	293,690	267,475
SUBTOTAL OTHER STATE	<u>4,041,886</u>	<u>3,663,194</u>	<u>3,269,837</u>	<u>2,856,409</u>	<u>2,427,306</u>	<u>1,977,535</u>
GRAND TOTAL STATE-RELATED	<u>55,673,690</u>	<u>57,939,164</u>	<u>58,782,864</u>	<u>58,986,190</u>	<u>58,654,314</u>	<u>58,116,353</u>

STATE DEBT SERVICE
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
2010-2011 THROUGH 2015-2016
(thousands of dollars)

	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
GENERAL OBLIGATION BONDS						
Economic Development & Housing	16,030	14,959	12,537	11,221	10,099	8,522
Environment	241,697	228,812	219,952	196,488	175,931	165,117
Transportation	241,909	257,893	274,871	291,959	306,320	308,073
Subtotal General Obligation	499,636	501,664	507,361	499,668	492,350	481,711
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	557,371	588,546	657,336	623,647	599,628	647,238
Education	618,487	740,116	841,247	966,146	1,071,085	1,152,988
Environment	105,356	128,332	133,727	143,130	159,888	171,394
Health & Mental Hygiene	103,909	124,718	165,669	184,958	197,581	180,755
State Facilities & Equipment	260,211	331,041	382,931	407,444	449,379	411,832
Transportation	226,144	263,228	297,139	333,490	370,643	407,253
Subtotal PIT Revenue Bonds	1,871,477	2,175,982	2,478,049	2,658,815	2,848,204	2,971,460
Other Revenue						
Education						
SUNY Dorms	71,865	90,984	115,331	134,547	133,923	134,553
Health & Mental Hygiene						
Health Income	29,526	29,227	28,798	28,802	28,795	28,898
Mental Health Services	278,748	331,582	368,757	407,394	439,002	475,957
Local Government Assistance						
Sales Tax	339,865	378,354	378,218	367,019	375,362	375,853
Transportation						
Dedicated Highway	900,349	929,338	951,246	974,634	925,134	940,348
Subtotal Other Revenue Bonds	1,620,353	1,759,485	1,842,351	1,912,396	1,902,215	1,955,610
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	159,723	154,927	116,294	117,354	100,226	104,860
Education	606,802	520,579	648,053	599,282	539,873	533,384
Environment	30,192	25,304	20,522	19,752	13,287	13,447
Health & Mental Hygiene	5,615	5,603	5,598	5,598	5,592	5,586
State Facilities & Equipment	412,441	357,175	357,256	350,582	340,152	326,344
Transportation	408,430	371,960	382,700	371,702	358,062	302,021
Subtotal Service Contract & Lease-Purchase	1,623,203	1,435,548	1,530,423	1,464,270	1,357,192	1,285,642
TOTAL STATE-SUPPORTED						
Economic Development & Housing	733,124	758,432	786,167	752,223	709,953	760,620
Education	1,297,154	1,351,680	1,604,631	1,699,975	1,744,882	1,820,925
Environment	377,244	382,447	374,201	359,370	349,105	349,958
Health & Mental Hygiene	417,798	491,130	568,823	626,752	670,969	691,197
LGAC	339,865	378,354	378,218	367,019	375,362	375,853
State Facilities & Equipment	672,651	688,217	740,187	758,026	789,531	738,175
Transportation	1,776,833	1,822,419	1,905,955	1,971,785	1,960,160	1,957,696
Debt Management Strategies	0	0	(8,723)	(19,782)	(31,806)	(44,289)
SUBTOTAL STATE-SUPPORTED	5,614,669	5,872,679	6,349,460	6,515,369	6,568,155	6,650,134
OTHER STATE DEBT OBLIGATIONS						
Tobacco	395,387	446,289	443,475	442,306	441,276	440,568
All Other	139,721	136,659	135,442	136,358	130,503	128,330
SUBTOTAL OTHER STATE	535,108	582,948	578,916	578,665	571,779	568,898
GRAND TOTAL STATE-RELATED						
	6,149,776	6,455,627	6,928,377	7,094,034	7,139,934	7,219,032

STATE DEBT SERVICE 2010-2011 THROUGH 2015-2016 (thousands of dollars)						
	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
GENERAL OBLIGATION	499,636	501,664	507,361	499,668	492,350	481,711
LOCAL GOVERNMENT ASSISTANCE CORPORATION	339,865	378,354	378,218	367,019	375,362	375,853
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	164,965	164,992	164,992	164,997	164,996	164,991
Dormitory Authority						
Albany County Airport	3,474	3,481	3,486	3,481	3,485	3,481
Thruway Authority:						
Consolidated Local Highway Improvement	466,135	466,714	511,361	536,714	560,224	540,802
Dedicated Highway & Bridge	900,349	929,338	951,246	974,634	925,134	940,348
Education						
Dormitory Authority:						
SUNY Educational Facilities	612,502	536,271	746,896	808,291	821,014	811,146
SUNY Dormitory Facilities	71,865	90,984	115,331	134,547	133,923	134,553
SUNY Upstate Community Colleges	47,341	60,743	67,167	74,118	80,561	86,589
CUNY Educational Facilities	342,978	417,925	430,316	423,844	450,342	528,339
State Education Department	4,085	5,280	5,546	5,747	5,739	5,754
Library for the Blind	572	82	1,062	1,081	0	0
SUNY Athletic Facilities	1,538	1,526	1,519	1,517	2,100	2,097
RESCUE	20,593	12,466	12,671	12,666	6,507	6,789
University Facilities (Jobs 2000)	6,247	6,245	2,903	2,902	2,169	1,513
Judicial Training Institute	1,250	813	374	565	1,307	1,308
School District Capital Outlays	13,160	13,151	0	0	0	0
Transp Grants / Statewide Longitudinal Data System	0	1,059	2,173	4,464	4,597	4,597
Higher Ed Capital Matching Grants	11,715	14,938	15,515	15,510	15,509	15,510
Public Broadcasting Facilities	1,871	1,882	1,879	1,883	1,878	1,224
EXCEL School Construction	155,243	177,615	187,084	194,010	196,637	197,060
Library Facilities	4,354	6,379	7,593	9,329	10,592	11,859
Cultural Educ Storage Facilities	638	1,300	2,523	3,874	5,583	6,011
Judiciary Training Academies	1,203	3,020	4,078	5,627	6,424	6,577
Health						
DOH & Veterans' Home Facilities	35,141	34,830	34,396	34,400	34,386	34,484
Health Care Grants	18,695	31,286	61,506	78,121	86,055	69,441
Mental Hygiene						
Mental Health Facilities	363,962	425,014	472,921	514,230	550,527	587,271
Public Protection						
ESDC:						
Prison Facilities	451,276	448,842	476,204	494,482	509,276	491,554
Youth Facilities	31,075	25,092	27,562	28,030	22,956	23,075
Homeland Security	1,986	2,386	3,686	4,372	4,945	5,702
Environment						
EFC/ERDA:						
Riverbank Park	4,750	4,757	4,933	4,937	4,932	4,929
Pilgrim Sewage Treatment	786	857	826	794	761	927
State Park Infrastructure	1,502	1,506	751	0	0	0
Pipeline for Jobs (Jobs 2000)	6,031	4,680	2,673	1,099	0	0
Environmental Infrastructure	95,505	102,982	93,774	88,857	93,204	100,742
Hazardous Waste Remediation	25,657	37,541	49,980	65,884	72,962	76,930
ESDC:						
Pine Barrens	1,317	1,312	1,312	1,312	1,315	1,314
State Buildings/Equipment						
ESDC:						
Empire State Plaza	34,430	0	0	0	0	0
State Buildings	8,667	8,898	10,702	10,731	10,731	10,741
State Capital Projects	20,508	20,607	20,615	20,612	20,608	20,615
ESDC / DA						
State Facilities	47,739	125,570	136,870	127,121	125,768	74,607
Equipment / Certificates of Participation	68,292	48,144	64,548	72,677	95,246	111,882
E911	8,677	8,678	0	0	0	0
Housing						
Housing Finance Agency	157,814	162,941	179,096	189,124	180,373	193,345
Economic Development						
TBTA/ESDC						
Javits Center	41,844	41,845	2,048	1,803	1,803	1,803
ESDC/DA						
University Technology Centers	30,590	22,544	22,553	18,308	14,257	12,420
Onondaga Convention Center	4,025	4,027	4,034	4,032	4,027	4,025
Sports Facilities	26,258	26,260	26,263	26,275	26,265	26,257
Community Enhancement Facilities	20,584	11,338	17,057	13,292	14,348	10,940
Child Care Facilities	2,131	2,411	1,064	2,273	1,771	1,827
Buffalo Inner Harbor	1,871	1,856	2,552	2,547	3,022	3,276
Strategic Investment Program	5,459	5,765	7,386	3,609	4,320	4,490
Regional Economic Growth	145,626	140,599	126,785	87,505	66,536	61,420
NYS Econ. Dev. Program	27,588	26,456	26,773	26,912	28,809	28,834
High Technology & Development	15,188	17,517	20,418	20,450	22,060	22,063
Regional Economic Development	6,682	7,355	8,117	8,049	7,978	7,981
Economic Development Initiatives	0	0	2,091	1,920	1,920	1,920
Semiconductor Manufacturing Facility	31,869	33,433	33,433	33,433	34,333	37,555
Other Economic Development	86,417	97,818	135,605	134,859	154,824	184,473
High Technology Projects	33,849	52,148	56,635	53,171	37,113	23,624
2008-2011 Economic Development Initiatives	72,541	81,618	93,708	105,250	93,176	102,923
RIOC Tram, etc.	6,757	7,543	8,015	8,189	2,920	2,922
Debt Management Strategies	0	0	(8,723)	(19,782)	(31,806)	(44,289)
Total Other Financing Arrangements	4,775,168	4,992,661	5,463,881	5,648,681	5,700,444	5,792,570
SUBTOTAL STATE-SUPPORTED DEBT SERVICE	5,614,669	5,872,679	6,349,460	6,515,369	6,568,155	6,650,134

STATE DEBT SERVICE
2010-2011 THROUGH 2015-2016
(thousands of dollars)

	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
SUBTOTAL STATE-SUPPORTED	<u>5,614,669</u>	<u>5,872,679</u>	<u>6,349,460</u>	<u>6,515,369</u>	<u>6,568,155</u>	<u>6,650,134</u>
OTHER STATE DEBT OBLIGATIONS						
Contigent Contractual						
DASNY/MCFFA Secured Hospitals Program	81,507	79,017	79,212	82,049	81,603	81,230
Tobacco Settlement Financing Corp.	395,387	446,289	443,475	442,306	441,276	440,568
Moral Obligation						
Housing Finance Agency Moral Obligation Bonds	6,468	6,346	5,901	5,862	3,254	1,741
MCFFA Nursing Homes and Hospitals	632	645	639	641	640	515
State Guaranteed Debt						
Job Development Authority (JDA)	5,925	5,469	4,497	3,915	3,742	3,578
State Funded						
MBBA Prior Year School Aid Claims	45,189	45,182	45,192	43,891	41,265	41,266
SUBTOTAL OTHER STATE	<u>535,108</u>	<u>582,948</u>	<u>578,916</u>	<u>578,665</u>	<u>571,779</u>	<u>568,898</u>
GRAND TOTAL STATE-RELATED	<u><u>6,149,776</u></u>	<u><u>6,455,627</u></u>	<u><u>6,928,377</u></u>	<u><u>7,094,034</u></u>	<u><u>7,139,934</u></u>	<u><u>7,219,032</u></u>

STATE DEBT ISSUANCES
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
2010-2011 THROUGH 2015-2016
(thousands of dollars)

	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
GENERAL OBLIGATION BONDS						
Environment	43,472	48,218	53,406	55,539	45,856	35,128
Transportation	456,578	412,465	328,352	269,029	252,866	75,853
Subtotal General Obligation	500,050	460,683	381,758	324,568	298,722	110,981
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	670,755	821,275	194,258	153,469	70,407	37,623
Education	1,690,820	1,463,899	1,590,947	1,601,791	1,450,945	1,370,346
Environment	0	351,552	174,930	146,064	137,394	135,966
Health & Mental Hygiene	274,085	320,759	105,438	119,522	0	0
State Facilities & Equipment	724,105	462,142	393,717	350,647	357,721	356,803
Transportation	368,030	381,156	411,468	411,468	411,468	411,468
Subtotal PIT Revenue Bonds	3,727,795	3,800,783	2,870,758	2,782,961	2,427,935	2,312,205
Other Revenue						
Education						
SUNY Dorms	128,340	235,620	309,060	30,473	0	102,000
Health & Mental Hygiene						
Mental Health Services	0	417,287	676,750	570,076	534,755	561,011
Transportation						
Dedicated Highway	0	1,006,515	568,073	566,173	564,748	542,199
Subtotal Other Revenue Bonds	128,340	1,659,422	1,553,883	1,166,721	1,099,503	1,205,210
TOTAL STATE-SUPPORTED						
Economic Development & Housing	670,755	821,275	194,258	153,469	70,407	37,623
Education	1,819,160	1,699,519	1,900,007	1,632,263	1,450,945	1,472,346
Environment	43,472	399,770	228,336	201,603	183,250	171,094
Health & Mental Hygiene	274,085	738,047	782,188	689,598	534,755	561,011
State Facilities & Equipment	724,105	462,142	393,717	350,647	357,721	356,803
Transportation	824,608	1,800,135	1,307,893	1,246,670	1,229,082	1,029,520
SUBTOTAL STATE-SUPPORTED	4,356,185	5,920,888	4,806,399	4,274,250	3,826,160	3,628,397
OTHER STATE DEBT OBLIGATIONS						
Tobacco	0	0	0	0	0	0
All Other	0	0	0	0	0	0
SUBTOTAL OTHER STATE	0	0	0	0	0	0
GRAND TOTAL STATE-RELATED						
	4,356,185	5,920,888	4,806,399	4,274,250	3,826,160	3,628,397

STATE DEBT ISSUANCES						
2010-2011 THROUGH 2015-2016						
(thousands of dollars)						
	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
GENERAL OBLIGATION	500,050	460,683	381,758	324,568	298,722	110,981
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Thruway Authority:						
Consolidated Local Highway Improvement	368,030	381,156	411,468	411,468	411,468	411,468
Dedicated Highway & Bridge	0	1,006,515	568,073	566,173	564,748	542,199
Education						
Dormitory Authority:						
SUNY Educational Facilities	1,032,600	784,474	914,844	916,631	790,986	757,326
SUNY Dormitory Facilities	128,340	235,620	309,060	30,473	0	102,000
SUNY Upstate Community Colleges	49,390	76,296	73,876	78,540	75,990	81,600
CUNY Educational Facilities	444,480	387,614	455,146	468,716	520,444	517,140
Statewide Longitudinal Data System	0	5,100	5,100	10,608	0	0
Higher Ed Capital Matching Grants	0	27,540	0	0	0	0
EXCEL School Construction	151,640	142,800	101,181	71,400	20,400	0
Library Facilities	12,710	13,555	14,280	21,216	14,280	14,280
Cultural Educ Storage Facilities	0	10,200	15,300	15,300	20,400	0
Judiciary Training Academies	0	16,320	11,220	19,380	8,446	0
Health						
Health Care Grants	0	320,759	105,438	119,522	0	0
Mental Hygiene						
Mental Health Facilities	274,085	417,287	676,750	570,076	534,755	561,011
Public Protection						
ESDC:						
Prison Facilities	266,465	249,135	234,870	241,409	247,517	253,739
Youth Facilities	20,410	19,380	19,380	19,380	19,380	19,380
Environment						
EFC/ERDA:						
Environmental Infrastructure	0	145,104	70,890	71,400	65,178	63,750
Hazardous Waste Remediation	0	206,448	104,040	74,664	72,216	72,216
State Buildings/Equipment						
ESDC / DA						
State Facilities	296,695	100,807	87,447	28,659	29,624	22,484
Equipment / Certificates of Participation	140,535	92,820	52,020	61,200	61,200	61,200
Housing						
Housing Finance Agency	0	131,208	110,345	115,847	70,407	37,623
Economic Development						
TBTA/ESDC						
Javits Center	0	25,500	0	0	0	0
ESDC/DA						
Community Enhancement Facilities	0	13,118	0	0	0	0
Buffalo Inner Harbor	1,560	4,692	0	0	0	0
Strategic Investment Program	1,520	7,262	0	0	0	0
Regional Economic Growth	19,760	51,408	0	0	0	0
NYS Econ. Dev. Program	7,390	2,717	0	0	0	0
High Technology & Development	17,540	24,347	0	0	0	0
Regional Economic Development	5,415	6,365	0	0	0	0
Economic Development Initiatives	0	17,340	0	0	0	0
Semiconductor Manufacturing Facility	236,435	0	0	0	0	0
Other Economic Development	167,080	313,397	0	0	0	0
High Technology Projects	60,995	61,200	0	0	0	0
2008-2011 Economic Development Initiatives	153,060	146,962	83,913	37,623	0	0
RIOC Tram, etc.	0	15,759	0	0	0	0
Total Other Financing Arrangements	<u>3,856,135</u>	<u>5,460,205</u>	<u>4,424,641</u>	<u>3,949,682</u>	<u>3,527,438</u>	<u>3,517,416</u>
TOTAL ISSUANCES	<u>4,356,185</u>	<u>5,920,888</u>	<u>4,806,399</u>	<u>4,274,250</u>	<u>3,826,160</u>	<u>3,628,397</u>

STATE DEBT RETIREMENTS
SUMMARIZED BY FUNCTION AND FINANCING PROGRAM
2010-2011 THROUGH 2015-2016
(thousands of dollars)

	Actual					
	<u>2010-2011</u>	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u>	<u>2014-2015</u>	<u>2015-2016</u>
GENERAL OBLIGATION BONDS						
Economic Development & Housing	12,742	12,159	10,350	8,745	8,100	7,000
Environment	181,724	162,865	135,461	132,235	114,029	112,942
Transportation	170,225	183,314	202,950	191,011	196,502	187,320
Subtotal General Obligation	<u>364,692</u>	<u>358,338</u>	<u>348,761</u>	<u>331,991</u>	<u>318,631</u>	<u>307,261</u>
REVENUE BONDS						
Personal Income Tax						
Economic Development & Housing	362,335	392,090	418,781	389,604	371,854	409,626
Education	219,650	252,584	270,343	306,276	329,217	335,626
Environment	59,585	72,729	71,453	72,936	83,183	89,653
Health & Mental Hygiene	47,180	55,267	88,603	105,413	115,390	103,163
State Facilities & Equipment	125,895	174,840	206,845	216,710	246,044	197,097
Transportation	110,105	130,286	146,223	163,671	182,950	202,998
Subtotal PIT Revenue Bonds	<u>924,750</u>	<u>1,077,797</u>	<u>1,202,248</u>	<u>1,254,608</u>	<u>1,328,638</u>	<u>1,338,163</u>
Other Revenue						
Education						
SUNY Dorms	32,130	35,670	42,944	50,903	52,513	52,774
Health & Mental Hygiene						
Health Income	13,980	14,665	14,490	15,200	16,145	17,139
Mental Health Services	176,275	189,765	205,312	214,926	221,781	235,103
Local Government Assistance						
Sales Tax	218,472	210,450	235,750	229,813	232,615	271,215
Transportation						
Dedicated Highway	269,850	545,605	581,731	591,591	608,221	553,231
Subtotal Other Revenue Bonds	<u>710,707</u>	<u>996,155</u>	<u>1,080,227</u>	<u>1,102,432</u>	<u>1,131,275</u>	<u>1,129,463</u>
SERVICE CONTRACT & LEASE-PURCHASE BONDS						
Economic Development & Housing	115,141	98,601	70,894	84,502	67,636	77,434
Education	308,265	430,861	407,153	396,290	405,424	385,367
Environment	22,390	18,706	14,729	14,878	9,134	9,704
Health & Mental Hygiene	3,365	3,515	3,680	3,865	3,865	3,866
State Facilities & Equipment	202,950	208,484	224,585	233,430	234,730	230,431
Transportation	197,380	236,975	217,065	235,500	229,600	234,900
Subtotal Service Contract & Lease-Purchase	<u>849,491</u>	<u>997,141</u>	<u>938,106</u>	<u>968,465</u>	<u>950,389</u>	<u>941,701</u>
TOTAL STATE-SUPPORTED						
Economic Development & Housing	490,218	502,849	500,024	482,851	447,590	494,059
Education	560,045	719,115	720,440	753,469	787,154	773,767
Environment	263,699	254,301	221,644	220,049	206,346	212,298
Health & Mental Hygiene	240,800	263,212	312,085	339,404	357,181	359,272
LGAC	218,472	210,450	235,750	229,813	232,615	271,215
State Facilities & Equipment	328,845	383,324	431,430	450,139	480,774	427,527
Transportation	747,560	1,096,180	1,147,969	1,181,773	1,217,273	1,178,449
SUBTOTAL STATE-SUPPORTED	<u>2,849,639</u>	<u>3,429,431</u>	<u>3,569,342</u>	<u>3,657,496</u>	<u>3,728,934</u>	<u>3,716,588</u>
OTHER STATE DEBT OBLIGATIONS						
Tobacco						
	244,905	293,625	305,620	320,480	337,515	355,750
All Other						
	83,824	85,067	87,737	92,949	91,588	94,021
SUBTOTAL OTHER STATE DEBT	<u>328,729</u>	<u>378,692</u>	<u>393,357</u>	<u>413,429</u>	<u>429,103</u>	<u>449,771</u>
GRAND TOTAL STATE-RELATED						
	<u>3,178,368</u>	<u>3,808,123</u>	<u>3,962,699</u>	<u>4,070,925</u>	<u>4,158,037</u>	<u>4,166,359</u>

STATE DEBT RETIREMENTS 2010-2011 THROUGH 2015-2016 (thousands of dollars)						
	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
GENERAL OBLIGATION	364,692	358,338	348,761	331,991	318,631	307,261
LOCAL GOVERNMENT ASSISTANCE						
CORPORATION	218,472	210,450	235,750	229,813	232,615	271,215
OTHER LEASE-PURCHASE AND CONTRACTUAL-OBLIGATION FINANCING ARRANGEMENTS						
Transportation						
Metropolitan Trans Authority	54,550	57,335	60,320	63,580	67,040	70,750
Dormitory Authority						
Albany County Airport	2,530	2,650	2,735	2,835	2,930	3,080
Thruway Authority:						
Consolidated Local Highway Improvement	250,405	307,276	300,233	332,756	342,580	364,068
Dedicated Highway & Bridge	269,850	545,605	581,731	591,591	608,221	553,231
Education						
Dormitory Authority:						
SUNY Educational Facilities	237,944	328,459	348,887	369,918	390,938	327,972
SUNY Dormitory Facilities	32,130	35,670	42,944	50,903	52,513	52,774
SUNY Upstate Community Colleges	21,715	19,709	24,460	28,206	32,614	33,047
CUNY Educational Facilities	160,522	212,267	194,317	184,313	190,699	234,924
State Education Department	2,280	1,950	3,065	3,430	3,565	3,720
Library for the Blind	900	950	1,000	1,060	0	0
SUNY Athletic Facilities	815	820	835	860	1,485	1,545
RESCUE	15,750	16,610	9,415	9,885	4,235	4,725
University Facilities (Jobs 2000)	5,255	5,500	2,425	2,540	1,930	1,370
Judicial Training Institute	750	790	835	195	960	1,000
School District Capital Outlays	11,835	12,470	0	0	0	0
Transp Grants / Statewide Longitudinal Data System	0	965	1,946	3,973	4,108	4,219
Higher Ed Capital Matching Grants	8,205	10,565	10,985	11,548	12,085	12,460
Public Broadcasting Facilities	1,375	1,455	1,525	1,605	1,680	1,110
EXCEL School Construction	57,415	64,990	70,492	75,875	79,915	83,641
Library Facilities	2,450	3,694	4,239	5,012	5,478	6,103
Cultural Educ Storage Facilities	175	473	910	1,362	1,982	2,086
Judiciary Training Academies	530	1,778	2,161	2,784	2,968	3,071
Health						
DOH & Veterans' Home Facilities	17,345	18,180	18,170	19,065	20,010	21,005
Health Care Grants	10,020	18,482	39,818	52,163	55,250	40,448
Mental Hygiene						
Mental Health Facilities	213,435	226,550	254,097	268,176	281,921	297,818
Public Protection						
ESDC:						
Prison Facilities	194,207	214,314	241,601	261,515	273,601	254,157
Youth Facilities	22,612	15,701	17,790	17,930	12,544	12,066
Homeland Security	1,000	1,050	1,602	1,909	2,183	2,762
Environment						
EFC/ERDA:						
Riverbank Park	2,470	2,620	2,785	3,135	3,295	3,455
Pilgrim Sewage Treatment	700	800	800	800	800	1,000
State Park Infrastructure	1,330	1,400	715	0	0	0
Pipeline for Jobs (Jobs 2000)	5,415	4,320	2,510	1,050	0	0
Environmental Infrastructure	65,062	67,652	56,731	49,400	51,138	56,542
Hazardous Waste Remediation	6,053	13,657	21,607	32,345	35,946	37,166
ESDC:						
Pine Barrens	945	986	1,034	1,083	1,139	1,194
State Buildings/Equipment						
ESDC:						
Empire State Plaza	6,110	0	0	0	0	0
State Capital Projects	11,225	11,860	12,540	13,270	14,010	14,790
ESDC / DA / OGS						
State Facilities	27,304	97,574	107,732	97,114	97,610	45,675
Equipment / Certificates of Participation	58,516	34,559	50,165	58,401	80,826	98,078
E911	7,870	8,265	0	0	0	0
Housing						
Housing Finance Agency	92,830	81,770	105,940	113,947	102,252	117,986
Economic Development						
TBTA/ESDC						
Javits Center	39,475	41,845	337	356	377	399
ESDC/DA						
University Technology Centers	13,778	14,403	15,080	11,547	8,031	6,554
Onondaga Convention Center	2,510	2,635	2,765	2,900	3,035	3,185
Sports Facilities	15,685	16,315	17,015	17,800	18,605	19,495
Community Enhancement Facilities	22,405	8,360	7,308	14,067	12,110	9,182
Child Care Facilities	1,335	1,655	1,940	1,725	1,285	1,395
Buffalo Inner Harbor	785	755	1,141	1,238	1,777	2,112
Strategic Investment Program	4,195	4,690	6,300	2,778	3,586	3,891
Regional Economic Growth	117,200	121,004	108,547	69,453	51,961	49,484
NYS Econ. Dev. Program	16,961	16,185	16,937	17,859	20,507	21,469
High Technology & Development	8,500	10,760	12,420	13,236	15,428	16,144
Regional Economic Development	4,839	5,571	6,141	6,418	6,639	6,958
Economic Development Initiatives	0	0	897	952	1,010	1,071
Semiconductor Manufacturing Facility	5,615	0	0	0	900	24,140
Other Economic Development	47,123	56,198	74,360	79,865	103,252	113,356
High Technology Projects	26,010	43,845	45,057	43,554	29,003	16,875
2008-2011 Economic Development Initiatives	52,415	58,030	61,239	69,664	58,049	71,598
RIOC Tram, etc.	5,815	6,670	6,252	6,747	1,683	1,766
Total Other Financing Arrangements	<u>2,266,476</u>	<u>2,860,643</u>	<u>2,984,831</u>	<u>3,095,692</u>	<u>3,177,687</u>	<u>3,138,112</u>
SUBTOTAL STATE-SUPPORTED RETIREMENTS	<u>2,849,639</u>	<u>3,429,431</u>	<u>3,569,342</u>	<u>3,657,496</u>	<u>3,728,934</u>	<u>3,716,588</u>

STATE DEBT RETIREMENTS
2010-2011 THROUGH 2015-2016
(thousands of dollars)

	Actual					
	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016
SUBTOTAL STATE-SUPPORTED	2,849,639	3,429,431	3,569,342	3,657,496	3,728,934	3,716,588
OTHER STATE DEBT OBLIGATIONS						
Contingent Contractual						
DASNY/MCFFA Secured Hospitals Program	50,635	50,740	53,010	58,270	60,520	63,010
Tobacco Settlement Financing Corp.	244,905	293,625	305,620	320,480	337,515	355,750
Moral Obligation						
Housing Finance Agency Moral Obligation Bonds	4,564	4,737	4,597	4,859	2,568	1,236
MCFFA Nursing Homes and Hospitals	400	445	475	515	555	475
State Guaranteed Debt						
Job Development Authority (JDA)	4,525	4,280	3,505	3,090	3,090	3,085
State Funded						
MBBA Prior Year School Aid Claims	23,700	24,865	26,150	26,215	24,855	26,215
SUBTOTAL OTHER STATE	<u>328,729</u>	<u>378,692</u>	<u>393,357</u>	<u>413,429</u>	<u>429,103</u>	<u>449,771</u>
GRAND TOTAL STATE-RELATED	<u>3,178,368</u>	<u>3,808,123</u>	<u>3,962,699</u>	<u>4,070,925</u>	<u>4,158,037</u>	<u>4,166,359</u>

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
001	Local Assistance Account	General
002	State Capital Projects	Capital Projects
003	State Operations Account	General
004	Tax Stabilization Reserve	General
005	Contingency Reserve	General
006	Universal Pre-Kindergarten Reserve	General
007	Community Projects	General
008	Rainy Day Reserve Fund	General
013	Attica State Employee Victims'	General
017	Refund Reserve Account	General
019	Mental Health Gift and Donations	Special Revenue
020	Combined Expendable Trust	Special Revenue
021	Agriculture Producers' Security	Private Purpose Trust
022	Milk Producers' Security	Private Purpose Trust
023	New York Interest on Lawyer Account (IOLA)	Special Revenue
024	New York State Archives Partnership Trust	Special Revenue
025	Child Performer's Protection	Special Revenue
050	Tuition Reimbursement	Special Revenue
052	New York State Local Government Records Management Improvement	Special Revenue
053	School Tax Relief	Special Revenue
054	Charter Schools Stimulus	Special Revenue
055	Not-For-Profit Short-Term Revolving Loan	Special Revenue
056	Hudson River Valley Greenway	Special Revenue
059	Rehabilitative Alcohol and Substance Abuse Treatment	Special Revenue
061	Health Care Reform Act Resources	Special Revenue
064	Debt Reduction Reserve	Debt Service
065	State University Construction Fund Educational Facilities Payment	Debt Service
072	Dedicated Highway and Bridge Trust	Capital Projects
073	Dedicated Mass Transportation Trust	Special Revenue
074	State University Residence Halls Rehabilitation and Repair	Capital Projects
075	New York State Canal System Development	Capital Projects
076	State Park Infrastructure	Capital Projects
077	Passenger Facility Charge	Capital Projects
078	Environmental Protection	Capital Projects
079	Clean Water/Clean Air Implementation	Capital Projects
080	Hudson River Park	Capital Projects
101	Energy Conservation Thru Improved Transportation Bond	Capital Projects
103	Park and Recreation Land Acquisition Bond	Capital Projects
105	Pure Waters Bond	Capital Projects
106	Outdoor Recreation Development Bond	Capital Projects
109	Transportation Capital Facilities Bond	Capital Projects
115	Environmental Quality Protection Bond Act (1972)	Capital Projects
118	Rail Preservation and Development Bond	Capital Projects

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
119	State Housing Bond	Capital Projects
121	Rebuild and Renew New York Transportation Bond	Capital Projects
123	Transportation Infrastructure Renewal Bond	Capital Projects
124	Environmental Quality Bond Act (1986)	Capital Projects
126	Accelerated Capacity and Transportation Improvements Bond	Capital Projects
127	Clean Water/Clean Air Bond	Capital Projects
129	Not-For-Profit School Capital Facilities Financing Reserve	Agency
130	School Capital Facilities Financing Reserve	Agency
135	Child Performer's Holding	Agency
136	Child Performer's Holding	Agency
137	Child Performer's Holding	Agency
152	Employees Health Insurance	Agency
153	Social Security Contribution	Agency
154	Employee Payroll Withholding	Agency
160	State Lottery	Special Revenue
162	Employees Dental Insurance	Agency
163	Management Confidential Group Insurance	Agency
165	Lottery Prize	Agency
166	Fringe Benefit Escrow	General
167	Health Insurance Reserve Receipts	Agency
169	Miscellaneous New York State Agency	Agency
174	State Aid and Local Assistance Revenue Withhold Fund	Agency
175	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency
176	CUNY Senior College Operating	Agency
179	Medicaid Management Information System (MMIS) Escrow	Agency
221	Combined Student Loan	Special Revenue
225	MTA Financial Assistance Fund	Special Revenue
261	Federal USDA/Food and Nutrition Services	Special Revenue
265	Federal Health and Human Services	Special Revenue
267	Federal Education	Special Revenue
269	Federal Block Grants	Special Revenue
290	Federal Miscellaneous Operating Grants	Special Revenue
291	Federal Capital Projects	Capital Projects
300	Sewage Treatment Program Management and Administration	Special Revenue
301	ENCon Special Revenue	Special Revenue
302	Conservation	Special Revenue
303	Environmental Protection and Oil Spill Compensation	Special Revenue
304	Mental Health Services	Debt Service
305	Training and Education Program on Occupational Safety and Health	Special Revenue
306	Lawyers' Fund For Client Protection	Special Revenue
307	Equipment Loan Fund for the Disabled	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
309	Special Education	Agency
310	Forest Preserve Expansion	Capital Projects
311	General Debt Service	Debt Service
312	Hazardous Waste Remedial	Capital Projects
313	Mass Transportation Operating Assistance	Special Revenue
314	Clean Air	Special Revenue
315	Grade Crossing Elimination Debt Service	Debt Service
316	Housing Debt	Debt Service
317	Pine Barrens	Capital Projects
318	New York State Infrastructure Trust	Special Revenue
319	Department of Health Income	Debt Service
321	Legislative Computer Services	Special Revenue
322	Lake Champlain Bridges	Capital Projects
323	Centralized Services	Internal Service
324	Youth Commissary	Enterprise
325	State Exposition Special	Enterprise
326	Correctional Services Commissary	Enterprise
327	Suburban Transportation	Capital Projects
328	Biodiversity Stewardship and Research	Special Revenue
330	State University Dormitory Income	Debt Service
331	Agency Enterprise	Enterprise
332	Combined Non-Expendable Trust	Special Revenue
333	Winter Sports Education Trust	Special Revenue
334	Agency Internal Service	Internal Service
335	Musical Instrument Revolving	Special Revenue
337	Rural Housing Assistance	Special Revenue
338	Arts Capital Revolving	Special Revenue
339	Miscellaneous State Special Revenue	Special Revenue
340	Court Facilities Incentive Aid	Special Revenue
341	Employment Training	Special Revenue
342	Homeless Housing and Assistance	Special Revenue
343	Mental Hygiene Revolving	Internal Service
344	State University Revenue Collection	Agency
345	State University Income	Special Revenue
346	Chemical Dependence Service	Special Revenue
347	Youth Vocational Education	Internal Service
348	Tobacco Revenue Guarantee	General
349	Lake George Park Trust	Special Revenue
351	Sheltered Workshop	Enterprise
352	Patient Workshop	Enterprise
353	Mental Hygiene Community Stores	Enterprise
354	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue
355	New York Great Lakes Protection	Special Revenue
357	Division For Youth Facilities Improvement	Capital Projects
358	Youth Centers Facility	Capital Projects
359	Federal Revenue Maximization Contract	Special Revenue

**STATE OF NEW YORK
LIST OF JOINT CUSTODY FUNDS**

APPENDIX

FUND NUMBER	FUND NAME	FUND CLASSIFICATION
360	Housing Development	Special Revenue
361	Clean Water/Clean Air	Debt Service
362	NYSDOT Commercial Vehicle Safety Program	Special Revenue
364	Local Government Assistance Tax	Debt Service
365	Vocational Rehabilitation	Special Revenue
366	Drinking Water Program Management and Administration	Special Revenue
368	New York City County Clerks' Operations Offset	Special Revenue
369	Judiciary Data Processing Offset	Special Revenue
374	Housing Assistance	Capital Projects
376	Housing Program	Capital Projects
377	City University Tuition Reimbursement (CUTRA)	Special Revenue
378	Natural Resource Damages	Capital Projects
380	Department of Transportation Engineering Services	Capital Projects
382	State University Federal Direct Lending	Agency
383	Supplemental Jury Facilities	Special Revenue
384	State University Capital Projects	Capital Projects
385	US Olympic Committee/Lake Placid Olympic Training	Special Revenue
387	Miscellaneous Capital Projects	Capital Projects
388	City University of New York Capital Projects	Capital Projects
389	Mental Hygiene Facilities Capital Improvement	Capital Projects
390	Indigent Legal Services	Special Revenue
394	Joint Labor and Management Administration	Internal Service
395	Audit and Control Revolving	Internal Service
396	Health Insurance Revolving	Internal Service
397	Correctional Industries Revolving	Internal Service
399	Correctional Facilities Capital Improvement	Capital Projects
400	Common Retirement - Administration	Pension Trust
450	Industrial Exhibit Authority	Enterprise
480	Unemployment Insurance Administration	Special Revenue
481	Unemployment Insurance Benefit	Enterprise
482	Unemployment Insurance Interest and Penalty	Special Revenue
484	Unemployment Insurance Occupational Training	Special Revenue
486	Federal Employment and Training Grants	Special Revenue

STATE OF NEW YORK FUND STRUCTURE

