



# FY 2017 Financial Plan First Quarterly Update

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# Introduction



## Introduction

This is the First Quarterly Update to the Enacted Budget Financial Plan (“Updated Financial Plan” or “First Quarterly Update”) for Fiscal Year (FY) 2017. Except for the specific revisions described herein, the projections in the Updated Financial Plan (and the assumptions upon which they are based) are consistent with the projections set forth in the FY 2017 Enacted Budget Financial Plan (the “Enacted Budget”). The State’s FY 2017 began on April 1, 2016 and ends on March 31, 2017. The Division of the Budget (DOB) expects to next update the Financial Plan projections in October 2016.

The factors affecting the State’s financial condition are complex. This Updated Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions, which existed at the time they were prepared, and contains statements relating to future results and economic performance that are "forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Updated Financial Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. The forward-looking statements contained herein are based on the State's expectations and are necessarily dependent upon assumptions, estimates and data that it believes are reasonable as of the date made but that may be incorrect, incomplete or imprecise or not reflective of actual results. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects”, “forecasts”, “projects”, “intends”, “anticipates”, “estimates”, and analogous expressions are intended to identify forward-looking statements in the Updated Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions; changes in political, social, economic and environmental conditions, including climate change and extreme weather events; impediments to the implementation of gap-closing actions; regulatory initiatives and compliance with governmental regulations; litigation; actions by the Federal government to reduce or disallow expected aid, including Federal aid authorized or appropriated by Congress but subject to sequestration, administrative actions, or other actions that would reduce aid to the State; and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date of this Updated Financial Plan.

## Significant Budgetary and Accounting Practices

Unless clearly noted otherwise, all financial information in this Updated Financial Plan is presented on a cash basis of accounting.

The State's **General Fund** receives the majority of State taxes and all income not earmarked for a particular program or activity. State law requires the Governor to submit, and the Legislature to enact, a General Fund budget that is balanced on a cash basis of accounting. The State Constitution and State Finance Law do not provide a precise definition of budget balance. In practice, the General Fund is considered balanced if sufficient resources are, or are expected to be, available during the fiscal year for the State to: (a) make all planned payments, including Personal Income Tax (PIT) refunds, without the issuance of deficit notes or bonds, or extraordinary cash management actions, (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began, and (c) maintain other reserves, as required by law. For purposes of calculating budget balance, the General Fund includes transfers to and from other funds.

The General Fund is the sole financing source for the School Tax Relief (STAR) fund, and is typically the financing source of last resort for the State's other major funds, which include the Health Care Reform Act (HCRA) funds, the Dedicated Highway and Bridge Trust Fund (DHBTF), the Lottery Fund, and the mental hygiene program and patient income accounts. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required by law to be balanced, the focus of the State's budgetary and gap-closing discussion in this Updated Financial Plan is generally weighted toward the General Fund.

From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals (e.g., the payment of costs related to potential labor contracts covering prior contract periods). These amounts are typically identified with the phrase "reserved for," and are not held in distinct accounts within the General Fund and may be used for other purposes.

**State Operating Funds** is a broader measure of spending for operations (as distinct from capital purposes) funded with State resources. It includes financial activity not only in the General Fund, but also in State-funded special revenue funds and debt service funds (spending from capital projects funds and Federal funds is excluded). As more financial activity occurred in funds outside of the General Fund, State Operating Funds became, in DOB's view, a more comprehensive measure of State-funded activities for operating purposes that are funded with State resources (e.g., taxes, assessments, fees, tuition). The State Operating Funds perspective has the advantage of eliminating certain distortions in operating activities that may be caused by, among other things, the State's complex fund structure, the transfer of money among funds, and the accounting of disbursements against appropriations in different funds. For example, the State funds its share of the Medicaid program from both the General Fund and HCRA funds, the latter being State Special Revenue Funds. The State Operating Funds perspective captures Medicaid disbursements from both of these fund types, giving a more complete accounting of State-funded Medicaid disbursements. For such reasons, the discussion of disbursement projections often emphasizes the State Operating Funds perspective.



The State also reports disbursements and receipts activity for **All Governmental Funds** (All Funds), which includes spending from Capital Projects Funds and State and Federal operating funds, providing the most comprehensive view of the cash-basis financial operations of the State. The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables present State projections and results by fund and category.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; State and Federal Capital Projects Funds, which account for costs incurred in the construction, maintenance and rehabilitation of roads, bridges, prisons, university facilities, and other infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest and related expenses for debt issued by the State and on the State's behalf by its public authorities.

State Finance Law also requires DOB to prepare a pro forma financial plan using, to the extent practicable, generally accepted accounting principles (GAAP), although this requirement is for informational purposes. The GAAP-basis Financial Plan is not used by DOB as a benchmark for managing State finances during the fiscal year and is not updated on a quarterly basis. The GAAP-basis Financial Plan follows, to the extent practicable, the accrual methodologies and fund accounting rules applied by the Office of the State Comptroller (OSC) in preparation of the audited Basic Financial Statements. However, GAAP is a financial reporting regime, not a budgeting system.

The Financial Plan projections for future years may show budget gaps or budget surpluses in the General Fund. Budget gaps represent the difference between: (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain current services levels and specific commitments, and (b) the projected level of resources, including transfers from other funds, to pay for these disbursements. The General Fund projections are based on a number of assumptions and are developed by the DOB in conjunction with other State agencies. Some projections are based on specific, known information (e.g., a statutory requirement to increase payments to a prescribed level), while others are based on more uncertain or speculative information (e.g., the pace at which a new program will enroll recipients). In general, the Financial Plan assumes that money appropriated in one fiscal year will continue to be appropriated in future years, even for programs that were not created in permanent law and that the State has no obligation to fund. Funding levels for nearly all State programs are reviewed annually, taking into account the current and projected fiscal position of the State. The Financial Plan projections for FY 2018 and thereafter, set forth in this Updated Financial Plan, reflect the savings that DOB estimates would be realized if the current Administration continues to propose, and the Legislature continues to enact, balanced budgets that limit annual growth in State Operating Funds spending to no greater than 2 percent. Total disbursements in the Financial Plan tables and narrative, contained in this Updated Financial Plan, do not reflect these assumed savings, which are instead reflected on a distinct line and labeled as "Adherence to 2 Percent Spending Benchmark." Financial Plan projections are subject to many risks and uncertainties, as well as future budgetary decisions and other factors not known at this time. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.



# Financial Plan Overview



## Financial Plan At-A-Glance: Key Measures

The following table provides certain Financial Plan information for FY 2016 and FY 2017.

<b>FINANCIAL PLAN AT-A-GLANCE: KEY MEASURES</b> (millions of dollars)			
	FY 2016	FY 2017	
	Results	Enacted	First Quarter
<b>State Operating Funds Disbursements</b>			
Size of Budget	\$94,288	\$96,180	\$96,214
Annual Growth	2.0%	2.0%	2.0%
<b>Other Disbursement Measures</b>			
General Fund (Excluding Transfers)	\$56,666	\$59,681	\$59,586
Annual Growth	4.4%	5.3%	5.2%
General Fund (Including Transfers) <sup>1</sup>	\$68,042	\$71,841	\$71,113
Annual Growth	8.3%	5.6%	4.5%
State Funds (Including Capital)	\$101,232	\$106,302	\$105,787
Annual Growth	3.1%	5.0%	4.5%
Capital Budget (Federal and State) *	\$8,981	\$11,920	\$11,371
Annual Growth	19.0%	32.7%	26.6%
Federal Operating Aid (Excluding Extraordinary Aid) *	\$40,601	\$40,054	\$40,169
Annual Growth	5.0%	-1.3%	-1.1%
All Funds (Excluding Extraordinary Aid) *	\$143,870	\$148,154	\$147,754
Annual Growth	3.8%	3.0%	2.7%
Capital Budget (Including "Off-Budget") *	\$9,549	\$12,723	\$12,174
Annual Growth	15.2%	33.2%	27.5%
All Funds (Including "Off-Budget" Capital) *	\$144,438	\$148,957	\$148,557
Annual Growth	3.6%	3.1%	2.9%
<b>Inflation (CPI)</b>	0.4%	1.4%	1.6%
<b>All Funds Receipts</b>			
Taxes	\$74,673	\$77,128	\$76,502
Annual Growth	5.1%	3.3%	2.4%
Miscellaneous Receipts	\$27,268	\$23,567	\$24,092
Annual Growth	-7.4%	-13.6%	-11.6%
Federal Grants *	\$44,486	\$43,700	\$43,813
Annual Growth	2.5%	-1.8%	-1.5%
Total Receipts *	\$146,427	\$144,395	\$144,407
Annual Growth	1.8%	-1.4%	-1.4%
<b>General Fund Cash Balance</b>	\$8,934	\$6,069	\$6,489
Stabilization/Rainy Day Reserve Funds	\$1,798	\$1,798	\$1,798
Monetary Settlements	\$6,300	\$3,547	\$4,027
All Other Reserves/Fund Balances	\$836	\$724	\$664
<b>State Workforce FTEs (Subject to Direct Executive Control) - All Funds</b>	117,862	118,590	118,590
<b>Debt</b>			
Debt Service as % All Funds Receipts	4.0%	3.7%	3.9%
State-Related Debt Outstanding	\$52,105	\$52,555	\$52,078
Debt Outstanding as % Personal Income	4.6%	4.4%	4.4%

<sup>1</sup> Annual growth includes planned extraordinary transfer of monetary settlements from the General Fund to other funds.

\* All Funds, Federal Operating Funds and Capital Projects Funds receipts and disbursements exclude (a) Federal disaster aid for Superstorm Sandy and (b) additional Federal aid associated with Federal health care reform. Prior plans included an adjustment for spending funded from monetary settlements with financial institutions.

## Summary

### Financial Plan Update

- DOB reports that the Financial Plan for FY 2017 remains in balance on a cash basis in the General Fund, with a significant downward revision to the annual estimate for tax receipts offset by expected savings in other areas of the Financial Plan. Spending growth in State Operating Funds is expected to be held to 2 percent, consistent with the Enacted Budget, with spending increases related to the legislative session and recent labor settlements mitigated by lower spending across a range of Financial Plan activities.
- In the Enacted Budget Financial Plan, DOB lowered the forecast for General Fund tax receipts by \$350 million in comparison to the Executive Budget Financial Plan, based on the relatively weak performance of the financial sector. At the time, DOB noted that “[additional] downward revisions to tax receipts [were] possible in the current year and future years if weakness persists.” Such downward revisions now appear to be warranted. Through the first quarter of FY 2017, PIT collections in the General Fund (including transfers from other funds) fell \$595 million below planned levels, with most of the variance concentrated in the estimated payment component of PIT. In light of these results and updated economic data, DOB is lowering the estimate for General Fund PIT tax receipts by \$600 million in each year of the Financial Plan. DOB will continue to monitor PIT receipts closely and further downward revisions cannot be ruled out during the remainder of the fiscal year. Other taxes generally appear to be on track with the Enacted estimates. The General Fund remains in balance in the current fiscal year, with the downward revision to tax receipts offset in its entirety by savings in other areas of the Financial Plan. These include downward re-estimates to expected disbursements for local assistance, agency operations, and capital projects, and additional refunding savings.
- In the regular legislative session that ended in June 2016, the Governor and Legislature approved an enhanced pension benefit for public sector veterans that enables eligible members to receive up to three years of extra pension service credit for their active military service. Legislation was also approved that repealed the sales and use tax on feminine hygiene products, which is expected to reduce General Fund receipts by \$5 million in FY 2017. Other bills with a fiscal impact were passed by the Legislature and are expected to be delivered to the Governor for his review in coming months. Any bills with a fiscal impact that are ultimately approved by the Governor will be reflected in future updates, as appropriate.

- Since enactment of the FY 2017 Budget, the State finalized labor agreements that provide salary increases to members of the New York State Public Employees Federation (PEF) for FY 2016 and the New York State Police Investigators Association (NYSPIA) in the Division of State Police for the period of FY 2012 through FY 2018. As a result, spending is expected to increase by approximately \$150 million in FY 2017, covering both the costs of the retroactive increases and the current year costs of the salary increases, with \$75 million in recurring spending annually thereafter. The retroactive costs will be covered with the General Fund balance set aside for this purpose, and the ongoing salary increases will be funded within agency operating budgets, consistent with the treatment of other negotiated salary increases covering the FY 2012 – FY 2016 period.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, “Volkswagen”) will pay the State over \$30 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen’s violations of emissions standards and state consumer protection laws. These funds will be set aside with other settlements that have not yet been appropriated. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$117 million for air quality improvement programs in New York.
- The Financial Plan projections for FY 2018 and thereafter are based on an assumption that the current Administration will continue to propose, and the Legislature will continue to enact, balanced budgets in future years that limit annual growth in State Operating Funds to no greater than 2 percent. The General Fund operating projections for FY 2018, FY 2019, and FY 2020 are calculated based on this assumption. DOB expects that specific proposals to limit spending growth to 2 percent will be included in future budget proposals.

## First Quarter Operating Results

The General Fund ended June 2016 with a cash balance of \$7.2 billion, \$976 million below the estimate in the Enacted Budget. General Fund receipts, including transfers from other funds, totaled \$18.5 billion through June 2016, \$415 million below initial estimates. The lower receipts were due to lower than expected PIT collections through June 2016 (\$595 million), partly offset by higher tax collections in all other major categories, as well as non-tax receipts. The weakness in PIT estimated payments is attributable to an unexpected decline in both the number of payments and the size of the average payments. Higher consumption and use tax receipts were associated with strong June 2016 sales tax collections, which was partially associated with timing of audit receipts.

General Fund disbursements, including transfers to other funds, totaled \$20.3 billion through June 2016, \$561 million higher than initial projections, mainly due to the timing of planned payments for local assistance and agency operations. Higher spending for local assistance is primarily attributable to Medicaid payments that exceeded planned amounts due to delays in the receipt of certain offsets and audit recoveries planned through June 2016, which are now expected to be received in later months. In addition, the State incurred costs for the Essential Plan (EP) in the first quarter of the current fiscal year due to timing fluctuations of Federal advances. Spending for agency operations was above planned levels as a result of higher overall State personal service (PS) costs, including overtime, and the delayed application of offsets to workers' compensation payments.



## Multi-Year Financial Plan Revisions

### General Fund

The following table summarizes the revisions to the FY 2017 Enacted Budget Financial Plan. Descriptions of the changes follow the table below.

<b>SUMMARY OF REVISIONS TO ENACTED BUDGET FINANCIAL PLAN</b>				
<b>GENERAL FUND BUDGETARY BASIS OF ACCOUNTING</b>				
<b>SAVINGS/(COSTS)</b>				
<b>(millions of dollars)</b>				
	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>ENACTED BUDGET SURPLUS/(GAPS) <sup>1</sup></b>	<b>0</b>	<b>355</b>	<b>(841)</b>	<b>(399)</b>
<b>Receipts Revisions</b>	<b>(308)</b>	<b>(603)</b>	<b>(606)</b>	<b>(590)</b>
Personal Income Tax	(600)	(600)	(600)	(600)
Sales Tax	(5)	(7)	(7)	(7)
Non-Tax Receipts	267	4	1	17
Volkswagen Settlement Payment	30	0	0	0
<b>Disbursement Revisions</b>	<b>728</b>	<b>(484)</b>	<b>(101)</b>	<b>(67)</b>
Local Assistance	188	119	55	46
Agency Operations	(93)	(118)	(122)	(78)
Transfers to Capital Projects Funds	651	(450)	0	0
Transfers to Other Funds	(18)	(35)	(34)	(35)
<b>Change in Reserves</b>	<b>(420)</b>	<b>450</b>	<b>0</b>	<b>0</b>
Use of Collective Bargaining Reserve	60	0	0	0
Set Aside Volkswagen Settlement	(30)	0	0	0
Timing of DIIF Transfers	(450)	450	0	0
<b>Changes in Adherence to 2% Spending Benchmark</b>	<b>0</b>	<b>75</b>	<b>76</b>	<b>34</b>
<b>FIRST QUARTERLY UPDATE BUDGET SURPLUS/(GAPS)</b>	<b>0</b>	<b>(207)</b>	<b>(1,472)</b>	<b>(1,022)</b>
<i>Net Change from Enacted Budget Financial Plan</i>	<i>0</i>	<i>(562)</i>	<i>(631)</i>	<i>(623)</i>
<sup>1</sup> Includes savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2017 estimate. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes <u>all</u> savings from holding spending growth to 2 percent are made available to the General Fund.				

## Receipts Revisions

General Fund receipts, including transfers from other funds, are now projected to total \$68.7 billion in FY 2017, a decrease of \$308 million from the Enacted Budget projections.

- **PIT Receipts:** PIT receipts, mainly for withholding and estimated payments, through the first quarter of the fiscal year were considerably lower than expected, which has led to a cautious downward adjustment to projected PIT receipts of \$600 million in each year of the plan. After accounting for potential timing issues, performance across most of the State's taxes has been consistent with Enacted Budget estimates. The exception was the shortfall in June 2016 PIT estimated payments which could indicate weakness in non-wage income growth.
- **Sales Tax Receipts:** Legislation has been passed which requires feminine hygiene products to be exempt from State and local sales and use taxes. The legislation will reduce State tax receipts by an estimated \$5 million in FY 2017 and \$7 million annually thereafter. Local receipts would be reduced by a commensurate amount. The legislation would bring treatment of these products in-line with the treatment of other health care products.
- **Non-Tax Receipts:** Certain reimbursements and transfers from other State funds have been revised based on results to date, and updated programmatic forecasts and information. The most significant changes include a reduction in debt service costs due to refundings expected later in the year that increases the transfer of PIT receipts back to the General Fund (\$125 million) and the elimination of a transfer to offset transaction risks based on updated information (\$143 million).
- **Volkswagen Settlement Payment:** The State expects Volkswagen will pay over \$30 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (among others) and Volkswagen. This settlement agreement pertains to Volkswagen's violations of emissions standards and state consumer protection laws. These funds will be set aside, along with other settlements that have not yet been programmed, as an undesignated reserve.

## Disbursements Revisions

General Fund disbursements, including transfers to other funds, are expected to total \$71.1 billion in FY 2017, a decrease of \$728 million from initial projections.

- **Local Assistance:** Local assistance spending in the General Fund is expected to total \$45.8 billion in FY 2017, a decrease of \$188 million from the Enacted Budget estimate. The revision consists of increased costs related to the legislative session that are more than offset by a downward revision to expected disbursements, largely reflecting DOB's cautious estimation of General Fund expenses. Spending reestimates include a reduction in the level of Tuition Assistance Program (TAP) payments expected in the current year and the General Fund impact of revisions to estimated lottery receipts in FY 2018 and beyond. In addition, certain DOH enrollment center cost increases to the Medicaid operations budget, as described below, will be offset by reduced local assistance support provided under the DOH Medicaid Global Cap. These reductions are partly offset for added funding for:
  - **East Ramapo Central School District:** A three-member monitoring team will be appointed and assigned to the East Ramapo Central School District to improve its academic performance and fiscal management of the school district.
  - **Child Health Insurance Plan Expanded Coverage for Newborns:** Funding is included for legislation that requires expanded coverage of newborns in the Child Health Insurance Plan, as amended for technical correction and approved in April 2016. Pursuant to this amended legislation, effective January 1, 2017 an eligible newborn child will be enrolled on the first day of the month in which the child is born, provided the application is submitted within sixty days of birth. Monthly capitation payments for Child Health Plus (CHP) enrollees are predicated on eligibility at the first of each month. This technical correction provides eligible children who are born after the first of each month with full coverage from birth.
  - **HIV/AIDS Services/Benefits to PA Recipients in NYC:** Beginning in September 2016, the population eligible for the enhanced shelter benefit provided by the HIV/AIDS Services Administration (HASA) will be expanded to include all Public Assistance (PA) recipients living in New York City that have tested positive for HIV regardless of whether the recipient is symptomatic. This eligibility expansion will result in \$10 million in additional costs in FY 2017 and \$31 million in additional costs annually thereafter.
  - **Zika Virus Preparedness:** Funding is added to the General Public Health Work (GPHW) program to reflect anticipated local claiming for enhanced mosquito surveillance and disease monitoring activities associated with Zika preparedness.
  - **Local Government Assistance Payment to Rochester:** A one-time payment, originally scheduled for March 2016, was made in July 2016 to the City of Rochester. The \$6 million payment will support services and expenses related to the Rochester/Monroe anti-poverty initiative as well as children and family related programs.

- **Agency Operations:** General Fund disbursement for agency operations, including fringe benefits, are expected to total \$13.8 billion, an increase of \$93 million from the Enacted Budget estimate. The increase mainly reflects the estimated FY 2017 costs of the veterans pension bill, retroactive labor settlements, and revisions to the funding for DOH enrollment centers, offset by modest downward revisions in other expenses.
  - **Veterans Pension Credit:** A new retirement system credit enables eligible veterans employed by the State and local governments to receive up to three years of active military service toward their retirement, regardless of when or where they served, as long as they were honorably discharged and have five years of retirement system service. Members must apply for and pay the employee share of such service credit prior to retirement. Based on the estimated number of eligible employees and participation rate to date, DOB estimates the cost of the credit will total roughly \$400 million over the next five years for State employees and local government employees covered by Section 25 of the Retirement and Social Security Law. The State is required to fund the full present value of the benefit for these employees as members opt in. The law permits the State to amortize the first year cost over five years at an interest rate determined by the New York State Retirement System, which has indicated it would charge a rate of 7 percent. At this time, the State does not plan to amortize these costs.
  - **Labor Agreements:** The State and PEF finalized a one-year retroactive labor agreement to provide a 2 percent annual salary increase for the period April 1, 2015 through March 31, 2016. This agreement creates parity for PEF with most other State union contracts which have salary increases that concluded in FY 2016, including the Civil Service Employees Association (CSEA), United University Professions (UUP), the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), Council 82, District Council 37 (DC-37 Housing) and the Graduate Student Employees Union (GSEU). Total State spending is expected to increase by approximately \$120 million in FY 2017 (covering FY 2016 and FY 2017) and \$60 million annually thereafter.

The State has also reached a seven year agreement with NYSPIA in the Division of State Police, which is consistent with the recent seven year contract with the Police Benevolent Association of New York State Troopers. The agreement includes a wage increase schedule that provides no increase from FY 2012 through FY 2014, 2 percent in both FY 2015 and 2016, and 1.5 percent in both FY 2017 and 2018. Total State spending is expected to increase by approximately \$30 million in FY 2017 (covering FY 2012 through FY 2017) and \$15 million annually thereafter.

- **NYSOH Health Benefit Exchange Qualified Health Plan (QHP) Update:** The Updated Financial Plan reflects a \$33 million State funds spending reduction in FY 2017 for the QHP portion of the NYSOH health benefit exchange, of which the majority of savings is attributable to an extension of Federal support through December 2016. Spending for the QHP operation is not managed within the Medicaid Global Cap, thus the associated savings materialize to the Financial Plan at no impact to other program services.
- **DOH Enrollment Center Funding Increase:** DOH now estimates that the demand on Medicaid and other insurance program enrollment will necessitate a greater level of support from contract service providers as the local responsibility for these functions continues to be phased out. These cost increases will be offset by reduced local assistance support provided under the DOH Medicaid Global Cap, therefore eliminating any net impact to the General Fund balance and State Operating Funds spending estimates within the Financial Plan.
- **Transfers to Capital Projects Funds:** General Fund transfers to Capital Projects Funds are expected to be \$651 million lower than anticipated in the Enacted Budget. This is primarily attributable to an adjustment in the timing of General Fund transfers to the Dedicated Infrastructure Investment Fund (DIIF) for the New New York Bridge construction (\$450 million). In May 2016, the Thruway Authority issued bonds that will be used to pay for the costs for the bridge project. This funding will be used in advance of the Thruway Stabilization Program resources (in DIIF) being allocated, resulting in the General Fund transfers to DIIF declining in FY 2017 and increasing in FY 2018. In addition, General Fund transfers to Capital Project Funds will be offset by higher than expected capital reimbursements from bond proceeds in FY 2017 (\$200 million).
- **Transfers to Other Funds:** Certain reimbursements and transfers to other State funds have been reduced based on results to date and updated financing requirements.

## Change in Reserves

- **Use of Collective Bargaining Reserve:** The Financial Plan assumes that \$60 million of the General Fund balance set aside for prior labor settlements will be used to fund the retroactive (i.e., FY 2016 and earlier) costs of the PEF and the Bureau of Criminal Investigation (BCI) labor agreements. The recurring costs will be covered by efficiencies within agency operating budgets, consistent with the practice for other labor agreements covering the FY 2011-16 period.
- **Set Aside Volkswagen Settlement:** Proceeds received by the State from the Volkswagen settlement will be added to the existing balance of monetary settlements that have not been appropriated. The balance now totals \$695 million.
- **Timing of DIIF Transfers:** The timing of expected transfers from DIIF has been updated based on the anticipated funding needs of the Thruway Authority, as described herein.

## Spending Changes

STATE OPERATING FUNDS SPENDING CHANGES SINCE THE ENACTED BUDGET				
INCREASE/(DECREASE)				
(millions of dollars)				
	FY 2017	FY 2018	FY 2019	FY 2020
<b>ENACTED BUDGET</b>	<b>96,180</b>	<b>101,059</b>	<b>104,700</b>	<b>108,565</b>
<b>Total</b>	<b>34</b>	<b>110</b>	<b>111</b>	<b>70</b>
Veterans Pension Credit	144	103	103	62
East Ramapo Central School District	1	0	0	0
Labor Agreements	73	0	0	0
CHP Expanded Coverage for Newborns	1	5	5	5
Zika Virus	4	1	0	0
HIV/AIDS Services/Benefits to PA Recipients in NYC	10	31	31	31
Local Government Assistance Payment to Rochester	6	0	0	0
Debt Service	(125)	0	0	0
NYSOH Health Benefit Exchange QHP Update	(33)	0	0	0
Transit Aid (Revenue Revision)	(21)	(24)	(26)	(31)
All Other	(26)	(6)	(2)	3
<b>FIRST QUARTERLY UPDATE <sup>1</sup></b>	<b>96,214</b>	<b>101,169</b>	<b>104,811</b>	<b>108,635</b>

<sup>1</sup> Before savings estimated from limiting annual spending growth in future years to 2 percent.

In general, the disbursement changes in the General Fund described above have a corresponding impact on State Operating Funds, with two exceptions. First, a portion of the retroactive cost of labor settlements will be incurred outside the General Fund (\$13 million, for a total State Operating Funds cost to \$73 million). Secondly, a modest reestimate has been made to mobility tax collections based on collections to date. The State collects and remits the entire amount of mobility tax collections, and estimated disbursements are adjusted to correspond to changes in estimated collections.

## Annual Spending Growth

DOB estimates that spending in State Operating Funds will grow at 2.0 percent in FY 2017, consistent with the 2 percent spending benchmark adopted by the current Administration in FY 2012. All Funds spending, which includes spending from capital funds and Federal funds, is expected to increase by 2.7 percent from FY 2016, excluding extraordinary Federal aid related to disaster-related costs, Federal health care transformation, and spending for infrastructure needs from monetary settlement funds.

<b>TOTAL DISBURSEMENTS</b>				
<b>(millions of dollars)</b>				
	<b>FY 2016</b>	<b>FY 2017</b>	<b>Annual</b>	<b>Annual %</b>
	<b>Results</b>	<b>Updated</b>	<b>Change</b>	<b>Change</b>
<b>STATE OPERATING FUNDS</b>	<b>94,288</b>	<b>96,214</b>	<b>1,926</b>	<b>2.0%</b>
General Fund (excluding transfers)	56,666	59,586	2,920	5.2%
Other State Funds	31,987	31,511	(476)	-1.5%
Debt Service Funds	5,635	5,117	(518)	-9.2%
<b>ALL GOVERNMENTAL FUNDS</b>	<b>143,870</b>	<b>147,754</b>	<b>3,884</b>	<b>2.7%</b>
State Operating Funds	94,288	96,214	1,926	2.0%
Capital Projects Funds	8,981	11,371	2,390	26.6%
Federal Operating Funds	40,601	40,169	(432)	-1.1%
<b>ALL GOVERNMENTAL FUNDS (INCL. EXTRAORDINARY AID)</b>	<b>150,708</b>	<b>155,705</b>	<b>4,997</b>	<b>3.3%</b>
Federal Disaster Aid for Superstorm Sandy	1,165	1,160	(5)	-0.4%
Federal Health Care Reform	5,673	6,791	1,118	19.7%
<b>GENERAL FUND (INCLUDING TRANSFERS)</b>	<b>68,042</b>	<b>71,113</b>	<b>3,071</b>	<b>4.5%</b>
<b>STATE FUNDS</b>	<b>101,232</b>	<b>105,787</b>	<b>4,555</b>	<b>4.5%</b>

The table below illustrates the major sources of annual change in State spending by major program, purpose, and fund perspective.

STATE SPENDING MEASURES (millions of dollars)				
	FY 2016 Results	FY 2017 Updated	Annual Change	
			\$	%
<b>LOCAL ASSISTANCE</b>	<b>62,653</b>	<b>64,774</b>	<b>2,121</b>	<b>3.4%</b>
School Aid (School Year Basis)	23,290	24,797	1,507	6.5%
DOH Medicaid <sup>1</sup>	17,453	18,134	681	3.9%
Transportation	4,745	4,931	186	3.9%
STAR	3,335	3,228	(107)	-3.2%
Social Services	2,949	2,934	(15)	-0.5%
Higher Education	2,955	3,009	54	1.8%
Mental Hygiene	2,646	2,538	(108)	-4.1%
All Other <sup>2</sup>	5,280	5,203	(77)	-1.5%
<b>STATE OPERATIONS/FRINGE BENEFITS</b>	<b>26,035</b>	<b>26,359</b>	<b>324</b>	<b>1.2%</b>
<b>State Operations</b>	<b>18,583</b>	<b>18,650</b>	<b>67</b>	<b>0.4%</b>
Personal Service:	<u>12,981</u>	<u>12,896</u>	<u>(85)</u>	<u>-0.7%</u>
Executive Agencies	7,236	7,218	(18)	-0.2%
Extra Bi-Weekly Institutional Pay Period	163	0	(163)	n/a
University Systems	3,675	3,723	48	1.3%
Elected Officials	1,907	1,955	48	2.5%
Non-Personal Service:	<u>5,602</u>	<u>5,754</u>	<u>152</u>	<u>2.7%</u>
Executive Agencies	2,747	2,864	117	4.3%
University Systems	2,279	2,282	3	0.1%
Elected Officials	576	608	32	5.6%
<b>Fringe Benefits/Fixed Costs</b>	<b>7,452</b>	<b>7,709</b>	<b>257</b>	<b>3.4%</b>
Pension Contribution	2,225	2,496	271	12.2%
Health Insurance	3,465	3,720	255	7.4%
Other Fringe Benefits/Fixed Costs	1,762	1,493	(269)	-15.3%
<b>DEBT SERVICE</b>	<b>5,598</b>	<b>5,078</b>	<b>(520)</b>	<b>-9.3%</b>
<b>CAPITAL PROJECTS</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>50.0%</b>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>94,288</b>	<b>96,214</b>	<b>1,926</b>	<b>2.0%</b>
<b>Capital Projects (State and Federal Funds)</b>	<b>8,981</b>	<b>11,371</b>	<b>2,390</b>	<b>26.6%</b>
<b>Federal Operating Aid<sup>3</sup></b>	<b>40,601</b>	<b>40,169</b>	<b>(432)</b>	<b>-1.1%</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS<sup>3</sup></b>	<b>143,870</b>	<b>147,754</b>	<b>3,884</b>	<b>2.7%</b>

<sup>1</sup> Includes the Essential Plan (EP), which is an insurance plan for individuals who are not eligible for Medicaid and who meet certain income threshold standards. The Essential Plan is not a Medicaid program; however, State-funded support is managed within total DOH Medicaid Global Cap resources.

<sup>2</sup> "All Other" includes public health, other education, local government assistance, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending for School Aid. On a State Fiscal Year basis, School Aid is estimated to total \$24.4 billion in FY 2017, an increase of \$1.12 billion from FY 2016.

<sup>3</sup> Federal Operating Funds and All Funds disbursements exclude extraordinary aid for Federal health care reform and Superstorm Sandy. All Funds disbursements, including these purposes, are expected to total \$155.7 billion in FY 2017, an increase of 3.3 percent.



# General Fund Financial Plan



## FY 2017 Financial Plan

DOB estimates that the Updated Financial Plan provides for balanced operations in the General Fund in FY 2017. General Fund disbursements are projected to exceed receipts by \$172 million. The difference is funded with \$87 million from the FY 2016 undesignated General Fund balance, \$75 million from the balance set aside for prior-year labor settlements, and \$10 million from the Community Projects Fund.

The following table summarizes the projected annual change from FY 2016 to FY 2017 in General Fund receipts, disbursements, and fund balances, with and without the impact of monetary settlements.

GENERAL FUND FINANCIAL PLAN				
(millions of dollars)				
	FY 2016 Results	FY 2017 Updated	Annual Change	
			Dollar	Percent
<b>Opening Fund Balance (Excluding Monetary Settlements)</b>	2,633	2,634	1	0.0%
<b>Total Receipts</b>	66,336	68,620	2,284	3.4%
Taxes	62,581	64,639	2,058	3.3%
Miscellaneous Receipts/Federal Grants	2,800	2,778	(22)	-0.8%
Other Transfers	955	1,203	248	26.0%
<b>Total Disbursements</b>	66,335	68,792	2,457	3.7%
Local Assistance Grants	43,314	45,769	2,455	5.7%
Agency Operations	13,352	13,817	465	3.5%
Transfers to Other Funds <sup>1</sup>	9,669	9,206	(463)	-4.8%
<b>Net Change in Operations</b>	1	(172)	(173)	-17300.0%
<b>Closing Fund Balance (Excluding Monetary Settlements)</b>	2,634	2,462	(172)	-6.5%
<b>Monetary Settlements</b>				
Settlements on Hand as of April 1	4,667	6,300		
New Settlements Received	3,605	220		
Transfers/Uses	(1,972)	(2,493)		
<b>Closing Fund Balance (Including Monetary Settlements)</b>	8,934	6,489	(2,445)	-27.4%

<sup>1</sup> Excluded are transfers of monetary settlement receipts from the General Fund to (a) the Dedicated Infrastructure Investment Fund (\$857 million in FY 2016 and \$901 million in FY 2017); (b) the mental hygiene account to fund a portion of a Federal disallowance for the Office for People with Developmental Disabilities (OPWDD) (\$850 million in FY 2016); (c) the Environmental Protection Fund (\$120 million in FY 2017); and (d) the temporary use of settlement funds to make cash advances for certain capital programs in FY 2017 (\$1.3 billion).

As shown in the table above, the State expects to end FY 2017 with a General Fund cash balance of \$6.5 billion, a decrease of \$2.4 billion from FY 2016. The decline is due to the change in monetary settlements on hand. DOB intends to make transfers of monetary settlements on an as-needed basis over the next five years as spending occurs. Legislation included with the FY 2017 Enacted Budget provides transfer authority from the General Fund to DIIF for five years.

## Receipts (Excluding Monetary Settlements)

General Fund receipts, including transfers from other funds, are projected to total \$68.6 billion in FY 2017, an increase of \$2.3 billion (3.4 percent) from FY 2016 results. Tax collections, including transfers of tax receipts to the General Fund after payment of debt service, are estimated to total \$64.6 billion in FY 2017, an increase of \$2.1 billion (3.3 percent) from FY 2016 results.

General Fund PIT receipts, including transfers after payment of debt service on State PIT Revenue Bonds, are expected to total \$44.3 billion, an increase of \$2.2 billion (5.2 percent) from FY 2016 results. This primarily reflects an increase in withholding and the acceleration of tax refund payments from FY 2017 into FY 2016.

General Fund consumption/use tax receipts, including transfers after payment of debt service on Local Government Assistance Corporation (LGAC) and Sales Tax Revenue Bonds, are estimated to total \$12.6 billion in FY 2017, an increase of \$292 million (2.4 percent) from FY 2016 results, reflecting projected growth in taxable consumption.

General Fund business tax receipts are estimated at \$5.8 billion in FY 2017, an increase of \$103 million (1.8 percent) from FY 2016 results. The annual estimate reflects increased bank tax receipts stemming from a reduced number of prior period adjustments, slightly offset by declines across all other statutorily imposed business tax components.

Other tax receipts in the General Fund are expected to total \$2 billion in FY 2017, a decrease of \$516 million (-20.5 percent) from FY 2016 results. This primarily reflects an extraordinary level of estate tax collections in FY 2016 that are not expected to recur.

General Fund non-tax receipts and transfers are estimated at \$4 billion in FY 2017, an increase of \$226 million from FY 2016 results. The increase is primarily due to transfers from a variety of accounts that have accumulated resources in prior years.

General Fund receipts are affected by the deposit of dedicated taxes in other funds for debt service and other purposes, the transfer of balances between funds of the State, and other factors. For a more comprehensive discussion of the State's projections for tax receipts, miscellaneous receipts, and transfers, presented on a State Funds and All Funds basis, see "State Financial Plan Projections Fiscal Years 2017 Through 2020" herein.

## Disbursements (Excluding Monetary Settlements)

General Fund disbursements, including transfers to other funds, are expected to total \$68.8 billion in FY 2017, an increase of \$2.5 billion (3.7 percent) from FY 2016<sup>1</sup>. Local assistance grants are expected to total \$45.8 billion in FY 2017, an annual increase of \$2.5 billion (5.7 percent) from FY 2016, including \$968 million for School Aid (on a State fiscal year basis), \$495 million for Medicaid and the EP, and \$240 million for education programs outside of School Aid. Other increases reflect anticipated payments for a range of social services, public health, and general purpose aid programs, as well as accounting reclassifications that have the effect of moving spending between Financial Plan categories and across fund types.

Disbursements for agency operations, including fringe benefits and fixed costs, in the General Fund are expected to total \$13.8 billion in FY 2017, an annual increase of \$465 million (3.5 percent). Most executive agencies are expected to hold spending at FY 2016 levels. The annual increase reflects expected increases for employee health insurance costs and the State's annual pension payment, as well as costs for DOH to operate the New York State of Health (NYSOH) health benefit exchange, continue the transition of administrative functions from local service districts to the State, and operate the new EP. In addition, operating costs for many agencies are charged to several funds, as well as affected by offsets and accounting reclassifications.

General Fund transfers to other funds are estimated to total \$9.2 billion in FY 2017, a decrease of \$463 million from FY 2016. This change is comprised mainly of a lower level of transfers for debt service, which is primarily due to FY 2016 payments of FY 2017 expenses.

General Fund disbursements are affected by the level of financing sources available in other funds, transfers of balances between funds of the State, and other factors that may change from year to year. For a more comprehensive discussion of the State's disbursements projections by major activity, presented on a State Operating Funds basis, see "State Financial Plan Projections Fiscal Years 2017 Through 2020" herein.

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<sup>1</sup> Note that the State continues to adhere to a 2 percent annual growth in spending benchmark on a State Operating Funds basis.

## Closing Balance for FY 2017

DOB projects that the State will end FY 2017 with a General Fund cash balance of \$6.5 billion, a decrease of \$2.4 billion from FY 2016. The balance from monetary settlements is expected to total \$4 billion, a decrease of \$2.3 billion from FY 2016. The decrease reflects the timing of planned transfers to other funds from which monetary settlements will be spent. The balance excluding settlements is estimated to be \$2.5 billion, or \$172 million lower than FY 2016. The decline reflects use of Community Projects Fund resources (\$10 million) to support estimated spending and the use of resources carried in from FY 2016 (\$162 million).<sup>2</sup>

Balances in the State’s principal "rainy day" reserves, the Tax Stabilization Reserve Fund and the Rainy Day Reserve Fund, are expected to remain unchanged in FY 2017.

The Updated Financial Plan maintains a reserve of \$500 million for debt management purposes in FY 2017, unchanged from the level held at the end of FY 2016. DOB will decide on the use of these funds based on market conditions, Financial Plan needs, and other factors.

<b>TOTAL BALANCES</b> (millions of dollars)			
	<b>FY 2016</b> <b>Results</b>	<b>FY 2017</b> <b>Updated</b>	<b>Annual</b> <b>Change</b>
<b>TOTAL GENERAL FUND BALANCE</b>	<b>8,934</b>	<b>6,489</b>	<b>(2,445)</b>
<b>Statutory Reserves:</b>			
"Rainy Day" Reserve Funds	1,798	1,798	0
Community Projects Fund	63	53	(10)
Contingency Reserve Fund	21	21	0
<b>Fund Balance Reserved for:</b>			
Debt Management	500	500	0
Labor Agreements Prior to FY 2017	15	90	75
Undesignated Fund Balance	237	0	(237)
Monetary Settlements	6,300	4,027	(2,273)
Programmed	5,755	3,332	(2,423)
Unbudgeted	545	695	150

<sup>2</sup> The undesignated fund balance carried forward from FY 2016 totaled \$237 million, of which \$90 million is held in reserve for potential costs of prior year labor agreements and the remaining \$147 million is planned for use in FY 2017.

## Cash Flow

The State authorizes the General Fund to borrow money temporarily from available funds held in the Short-Term Investment Pool (STIP). Money may be borrowed for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization in April 2011 when the General Fund needed to borrow funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

DOB expects that the State will have sufficient liquidity in FY 2017 to make all planned payments as they become due. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds and Sales Tax bonds, continues to be set aside as required by law and bond covenants.

<b>ALL FUNDS MONTH-END CASH BALANCES</b>			
<b>FY 2017</b>			
<b>(millions of dollars)</b>			
	<b>General Fund</b>	<b>Other Funds</b>	<b>All Funds</b>
<b>April</b>	10,893	3,337	14,230
<b>May</b>	7,751	4,338	12,089
<b>June</b>	7,210	5,010	12,220
<b>July</b>	6,742	5,722	12,464
<b>August</b>	6,450	5,645	12,095
<b>September</b>	9,914	3,690	13,604
<b>October</b>	8,936	3,954	12,890
<b>November</b>	7,010	3,405	10,415
<b>December</b>	9,916	3,122	13,038
<b>January</b>	11,217	4,187	15,404
<b>February</b>	11,228	4,292	15,520
<b>March</b>	6,489	2,508	8,997

## Monetary Settlements

From FY 2015 through FY 2017, DOB estimates that the State will have received a total of \$8.8 billion in monetary settlements. The following table lists the settlements by firm and amount.

<b>SUMMARY OF RECEIPTS OF SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS</b> (millions of dollars)				
	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>Total</u>
<b>Monetary Settlements</b>	<b>4,942</b>	<b>3,605</b>	<b>213</b>	<b>8,760</b>
BNP Paribas	<u>2,243</u>	<u>1,348</u>	<u>0</u>	<u>3,591</u>
Department of Financial Services (DFS)	2,243	0	0	2,243
Asset Forfeiture (DANY)	0	1,348	0	1,348
Deutsche Bank	0	800	0	800
Credit Suisse AG	715	30	0	745
Commerzbank	610	82	0	692
Barclays	0	670	0	670
Credit Agricole	0	459	0	459
Bank of Tokyo Mitsubishi	315	0	0	315
Bank of America	300	0	0	300
Standard Chartered Bank	300	0	0	300
Goldman Sachs	0	50	190	240
Morgan Stanley	0	150	0	150
Bank Leumi	130	0	0	130
Ocwen Financial	100	0	0	100
Citigroup (State Share)	92	0	0	92
MetLife Parties	50	0	0	50
American International Group, Inc.	35	0	0	35
PricewaterhouseCoopers	25	0	0	25
AXA Equitable Life Insurance Company	20	0	0	20
Promontory	0	15	0	15
New Day	0	1	0	1
Volkswagen	0	0	30	30
Other Settlements	7	0	(7)	0



## Uses of Monetary Settlements

The Financial Plan reflects the Executive’s intention to continue applying the majority of the settlement resources to fund capital investments and nonrecurring expenditures. The Enacted Budget authorized the transfer of monetary settlement funds over a five-year period to DIIF to finance various appropriated purposes (\$6.4 billion), as well as \$120 million to the Environmental Protection Fund (EPF).

As reflected in the table below, other uses include \$850 million to resolve Federal Office for People with Developmental Disabilities (OPWDD) disallowances in FY 2016. A portion of the monetary settlements is used to support General Fund operations, as previously planned, as well as operational costs of the Department of Law’s Litigation Services Bureau.

DOB expects to use monetary settlement resources to fund projects and activities over several years, allowing the State to carry a large, by historical standards, cash balance available in FY 2017 and FY 2018. The State plans to use these resources to make cash advances for certain capital programs in FY 2017 (\$1.3 billion) and FY 2018 (\$500 million). The cash advances are expected to be reimbursed fully with bond proceeds by the end of FY 2019. These bond-financed programs include higher education, economic development, and transportation programs.

GENERAL FUND SUMMARY OF RECEIPTS AND USE/TRANSFER OF FUNDS FROM SETTLEMENTS BETWEEN REGULATORS AND FINANCIAL INSTITUTIONS (millions of dollars)								
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
<b>Opening Settlement Balance in General Fund</b>	<b>0</b>	<b>4,667</b>	<b>6,300</b>	<b>4,027</b>	<b>2,676</b>	<b>1,476</b>	<b>744</b>	<b>0</b>
<b>Receipt of Settlement Payment</b>	<b>4,942</b>	<b>3,605</b>	<b>213</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>8,760</b>
<b>Use/Transfer of Funds</b>	<b>275</b>	<b>1,972</b>	<b>2,486</b>	<b>1,351</b>	<b>1,200</b>	<b>732</b>	<b>49</b>	<b>8,065</b>
Capital Purposes:								
Transfer to Dedicated Infrastructure Investment Fund	0	857	901	2,151	1,700	732	49	6,390
Transfer to Environmental Protection Fund	0	0	120	0	0	0	0	120
Transfer to/(from) Capital Projects Fund	0	0	1,300	(800)	(500)	0	0	0
Other Purposes:								
Transfer to Audit Disallowance - Federal Settlement	0	850	0	0	0	0	0	850
Financial Plan - General Fund Operating Purposes	275	250	102	0	0	0	0	627
Department of Law - Litigation Services Operations	0	10	63	0	0	0	0	73
Transfer to OASAS Chemical Dependence Program	0	5	0	0	0	0	0	5
<b>Closing Settlement Balance in General Fund</b>	<b>4,667</b>	<b>6,300</b>	<b>4,027</b>	<b>2,676</b>	<b>1,476</b>	<b>744</b>	<b>695</b>	<b>695</b>

The following capital purposes will be funded with \$6.5 billion of monetary settlement collections from capital appropriations<sup>3</sup>:

**Thruway Stabilization (\$2.0 billion):** The Budget continues to invest in Thruway infrastructure adding another \$700 million to last year's commitment of \$1.3 billion. The investment will support both the New New York Bridge project and other transportation infrastructure needs for the rest of the Thruway system.

**Upstate Revitalization Program (\$1.7 billion):** Funding for the Upstate Revitalization Initiative (URI). In 2015, \$1.5 billion was awarded to the three Upstate regions selected as URI best plan awardees. The Budget includes an additional \$200 million (\$170 million from monetary settlements) to support projects in the remaining four eligible Upstate regions.

**Affordable and Homeless Housing (\$640 million):** The Budget supports a multi-year investment in affordable housing development and services, and provides housing opportunities for individuals and families who are homeless or at risk of homelessness. Funds will be invested over the next five years to create new housing opportunities for individuals and families in need of supportive services, as well as to assist vulnerable populations in securing stable housing.

**Broadband Initiative (\$500 million):** Funding is included in the Budget for the New NY Broadband Fund Program to expand the availability and capacity of broadband across the State, or development of other telecommunication infrastructure. This program is intended to expand the creation of high-speed networks and promote broadband adoption.

**Health Care/Hospitals (\$400 million):** The Budget provides \$355 million in grants to essential health care providers that facilitate mergers, consolidation, acquisition, or other significant corporate restructuring activities, to create a financially sustainable system of care intended to promote a patient-centered model of health care delivery. An essential health care provider is a hospital or hospital system that offers health services in a region deemed by the Health Commissioner to be underserved. Funding may be used to restructure debt obligations or fund capital improvements to facilitate mergers and consolidations of hospitals in rural communities. The Plan also funds capital expenses of the Roswell Park Cancer Institute (\$15.5 million); a community health care revolving loan (\$19.5 million); and IT and other infrastructure costs associated with the inclusion of behavioral health sciences in the Medicaid Managed Care benefit package (\$10 million).

**Penn Station Access (\$250 million):** The Metropolitan Transportation Authority (MTA) Penn Station Access project, which will open a new Metro-North link directly into Penn Station, is expected to provide enhanced system resiliency, improvement in regional mobility, and construction of four new Metro-North stations in the Bronx.

**Transportation Capital Plan (\$200 million):** The Budget allocates funds to transportation infrastructure projects across the State.

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<sup>3</sup> The funding of \$6.5 billion is reflected in the multi-year totals for transfers to DIIF (\$6.39 billion) and the EPF (\$120 million).

**Municipal Restructuring and Consolidation Competition (\$170 million):** The Budget includes \$20 million in funding for a new Municipal Consolidation Competition to encourage the reduction of costs through a competitive process to be administered by the Department of State (DOS). This funding is in addition to \$150 million allocated last year to assist and encourage local governments and school districts to implement shared services, cooperation agreements, mergers, and other actions that permanently reduce operational costs and property tax burdens.

**Resiliency, Mitigation, Security, and Emergency Response (\$150 million):** The Budget Financial Plan funds preparedness and response efforts related to severe weather events, as well as efforts to prevent, prepare for, and respond to acts of terrorism, other public safety and health emergencies, and natural and man-made disasters.

**Transformative Economic Development Projects (\$150 million):** The Budget includes funds for investment that are intended to catalyze private investment, spurring economic development and job creation to help strengthen the economies in the communities in Nassau and Suffolk counties.

**Infrastructure Improvements (\$115 million):** Funding is included in the Budget for infrastructure improvements to support transportation, upstate transit, rail, airport, port, and other projects.

**Economic Development (\$85 million):** The Budget continues funding the economic development strategy of creating jobs, strengthening and diversifying economies, and generating economic opportunities across the State, including investments in infrastructure.

**Southern Tier/Hudson Valley Farm Initiative (\$50 million):** Funding is included in the Budget to help landowners in the Southern Tier and Hudson Valley maintain and develop farming, agricultural, and related businesses.

**Empire State Poverty Reduction Initiative (ESPRI) (\$25 million):** To combat poverty throughout the State, the Budget includes \$25 million for the ESPRI. This program will bring together State and local government, nonprofits, and community groups to design and implement coordinated solutions for addressing poverty in 16 municipalities: Albany, Binghamton, the Bronx, Buffalo, Elmira, Hempstead, Jamestown, Newburgh, Niagara Falls, Oneonta, Oswego, Rochester, Syracuse, Troy, Utica, and Watertown.

**EPF (\$120 million):** The Budget directs monetary settlement resources to the EPF. These and other EPF resources provide dedicated funding to communities throughout New York State to improve the environment, combat climate change, and reduce greenhouse gas emissions.

Monetary settlement resources will be used as a temporary advance to: (i) meet initial funding requirements for the Javits expansion project and (ii) support \$1.3 billion of bond-financed capital disbursements. The table below shows the schedule for these temporary uses.

TEMPORARY USE OF MONETARY SETTLEMENTS FOR CAPITAL PROJECTS FUNDS						
(millions of dollars)						
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	Total
<b>Total Settlement Funds Replenished/(Used)</b>	<b>(1,300)</b>	<b>640</b>	<b>150</b>	<b>180</b>	<b>330</b>	<b>0</b>
Transfer to DIIF for Javits Center Expansion	0	(160)	(350)	(320)	(170)	(1,000)
Bond Proceed Receipts for Javits Center Expansion	0	0	0	500	500	1,000
Management of Debt Issuances	(1,300)	800	500	0	0	0

**Javits Expansion:** Spending for the Javits expansion will be supported by settlement funds in the first instance, beginning in FY 2018. Subsequently, these expenses will be reimbursed by proceeds from bonds that are planned to be issued in FYs 2020 and 2021.

**Management of Debt Issuances:** A total of \$1.3 billion of capital spending for higher education, transportation and economic development will be funded initially from the settlement fund balances set aside in the General Fund. These funds will be made available for the projects appropriated from DIIF when the State reimburses the \$1.3 billion of spending with bond proceeds anticipated in FY 2018 (\$800 million) and FY 2019 (\$500 million). As a result of these reimbursements, it is anticipated that transfers from the General Fund to support the Capital Projects Fund will be lower in FYs 2018 and 2019 by \$800 million and \$500 million, respectively.



# **Other Matters Affecting the Financial Plan**



## General

The State's Financial Plan is subject to complex economic, social, financial, political, and environmental risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Financial Plan are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecasted. In addition, projections in future years are based on the assumption that annual growth in State Operating Funds spending is limited to 2 percent, and that all savings that result from the 2 percent limit will be made available to the General Fund.

DOB routinely executes cash management actions to manage the State's large and complex budget. These actions are intended for a variety of purposes that include improving the State's cash flow, managing resources within and across fiscal years, assisting in the adherence to spending targets, and better positioning the State to address future risks and unanticipated costs, such as economic downturns, unexpected revenue deterioration and unplanned expenditures. As such, the State regularly makes certain payments above those initially planned, to maintain budget flexibility. All payments made above the planned amount are reflected in the year they occur, and adhere to the limit of the State's 2 percent spending benchmark.

The Financial Plan is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts reflect the impact of: national and international events; ongoing financial instability in the Euro-zone; changes in consumer confidence, oil supplies and oil prices; major terrorist events, hostilities or war; climate change and extreme weather events; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments which may adversely affect bonus income and capital gains realizations; the effect of household debt on consumer spending and State tax collections; and the outcome of litigation and other claims affecting the State.

Among other factors, the Financial Plan is subject to various uncertainties and contingencies relating to: wage and benefit increases for State employees that exceed projected annual costs; changes in the size of the State's workforce; the realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid expected in the Financial Plan; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these issues are described in more detail herein. The projections and assumptions contained in the Financial Plan are subject to revisions which may result in substantial change. No assurance can be given that these estimates and projections, which depend in part upon actions the State expects to be taken but which are not within the State's control, will be realized.

## Budget Risks and Uncertainties

There can be no assurance that the State's financial position will not change materially and adversely from current projections. If this were to occur, the State would be required to take additional gap-closing actions. Such actions may include, but are not limited to: reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by action of the Governor.

The Financial Plan projections for the outyears assume that School Aid and Medicaid disbursements will be limited to the annual growth in NYS personal income, and the ten-year average growth of the medical component of the consumer price index (CPI), respectively. However, the budgets enacted for FYs 2014 through FY 2017 authorized spending for School Aid to increase above personal income growth that would otherwise be used to calculate the school year increases. The FY 2017 Enacted Budget includes a 6.5 percent School Aid increase, compared to the personal income indexed rate of 3.9 percent.

State law grants the Commissioner of Health certain powers and authority to maintain Medicaid spending levels assumed in the current Financial Plan. Over the past five years, DOH State Funds Medicaid spending levels have been maintained at or below indexed levels. However, Medicaid program spending is sensitive to a number of factors including fluctuations in economic conditions, which may increase caseload. The Commissioner's powers are intended to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated for the current fiscal year, through actions which may include reducing rates to providers. However, these actions may be dependent upon timely Federal approvals and other elements of the program that govern implementation. It should further be noted that the Medicaid Cap, which is indexed to historical CPI Medical trends, applies to State Operating Funds and therefore General Fund spending remains sensitive to revenue performance in the State's HCRA fund (which finances approximately one-quarter of the DOH State-share costs of Medicaid).

The forecast contains specific transaction risks and other uncertainties including, but not limited to: receipt of certain payments from public authorities; receipt of miscellaneous revenues at the levels expected in the Financial Plan, and achievement of cost-saving measures including, but not limited to, transfer of available fund balances to the General Fund at levels currently projected. Such risks and uncertainties, if they were to materialize, could adversely impact the Financial Plan in current or future years.

In developing the Financial Plan, DOB attempts to mitigate the financial risks from volatility, litigation, and unexpected costs, with a particular emphasis on the General Fund. It does this by, among other things, calculating total General Fund disbursements cautiously (i.e., to a level they are unlikely to reach) and managing the accumulation of financial resources that can be used to offset new costs (including, but not limited to, fund balances not needed in a given year, acceleration of tax refunds above the level budgeted in a given year, and prepayment of expenses). There can be no assurance that the tools available to mitigate risks are sufficient to address risks that may materialize in a given fiscal year.



## Federal Issues

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes, as well as Federal funding to address response to, and recovery from, severe weather events and other disasters. Despite modest legislative adjustments to the budgetary caps contained in the Budget Control Act of 2011, the possibility for a reduction in Federal support is elevated so long as the caps remain in place. Any reduction in Federal funding levels could have a materially adverse impact on the Financial Plan. In addition, the Financial Plan may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. Issues of particular concern are described below.

### Medicaid Redesign Team (MRT) Medicaid Waiver

The Federal Centers for Medicare & Medicaid Services (CMS) and the State have reached an agreement authorizing up to \$8 billion in new Federal funding, over several years, to transform New York's health care system and ensure access to quality care for all Medicaid beneficiaries. This funding, provided through an amendment to the State's Partnership Plan 1115 Medicaid waiver, is divided among the Interim Access Assurance Fund (IAAF), the Delivery System Reform Incentive Payment (DSRIP) Program, Health Homes, and various other Medicaid redesign initiatives.

Since January 1, 2014, in accordance with provisions of the Affordable Care Act (ACA), New York State has been eligible for enhanced Federal Medical Assistance Percentage (FMAP) funding associated with childless adults. The DOH continues to work with the CMS and to refine the eligibility data systems to draw the appropriate amount of enhanced FMAP. This reconciliation may result in a modification of payments to the State and local governments.

### Federal Debt Ceiling

In October 2013, an impasse in Congress caused a temporary Federal government shutdown and raised concern for a time that the Federal debt ceiling would not be raised in a timely manner. Including the temporary suspension of the debt limit that ended that standoff in 2013, Congress has passed three suspensions of the debt limit since then, the most recent extending through March of 2017.

A Federal government default on payments, particularly for a prolonged period, could have a materially adverse effect on the national and State economies, financial markets, and intergovernmental aid payments. The specific effects on the Financial Plan of a future Federal government default are unknown and impossible to predict. However, data from past economic downturns suggest that the State's revenue loss could be substantial if the economy goes into a recession due to a Federal default.

A payment default by the United States may adversely affect the municipal bond market. Municipal issuers, as well as the State, could face higher borrowing costs and impaired market access. This would jeopardize planned capital investments in transportation infrastructure, higher education facilities, hazardous waste remediation, environmental projects, and economic development projects. Additionally, the market for and market value of outstanding municipal obligations, including municipal obligations of the State, could be adversely affected.

## ACA - Excise Tax on High-Cost Employer-Sponsored Health Coverage (“Cadillac Tax”)

The “Cadillac Tax” is a 40 percent excise tax to be assessed on the portion of the premium for an employer-sponsored health insurance plan that exceeds a certain annual limit. The tax was passed into law in 2010 as a component of the Federal ACA. That law was amended in December 2015 to delay the effective date of the tax from calendar year 2018 to calendar year 2020. Final guidance from the Internal Revenue Service is pending. DOB has no current estimate as to the potential financial impact on the State from this Federal excise tax.

## Current Labor Negotiations (Current Contract Period)

The State and NYSPIA recently achieved a multi-year collective bargaining agreement patterned after last session’s deals with the State Police Troopers and Commissioned- and Non-Commissioned Officers. The recently enacted NYSPIA pay bill will provide the same schedule of general salary increases provided to NYSPPA members; specifically, a two percent general salary increase for each of FY 2015 and FY 2016, in their entirety, and a 1.5 percent general salary increase for each of FY 2017 and FY 2018, respectively. NYSPIA and NYSPPA are the only two unions with collectively negotiated agreements in place beyond FY 2016. The State is in active negotiations with all other employee unions.

The State also settled a one-year retroactive labor agreement, and a pay bill was enacted, authorizing payment of a 2 percent general salary increase to members of the New York State Public Employees Federation (PEF) for the period April 1, 2015 through March 31, 2016. This agreement brings PEF in line with most other State union contracts which have salary increases that concluded in FY 2016, including the Civil Service Employees Association (CSEA), United University Professions (UUP), the New York State Correctional Officers and Police Benevolent Association (NYSCOPBA), Council 82, District Council 37 (DC-37 Housing) and the Graduate Student Employees Union (GSEU). Negotiations also continue with the Police Benevolent Association of New York State (PBANYS), whose last salary increase was at the end of FY 2015.

The State is prepared to negotiate fiscally responsible successor agreements with all of these unions. The State Operating Funds cost of providing a 1 percent general salary increase effective in FY 2017 for PEF, PBANYS, CSEA, UUP, NYSCOPBA, Council 82, DC-37 Housing and GSEU and unrepresented management/confidential (M/C) employees is approximately \$130 million annually.

On June 27, 2016, the City University of New York (CUNY) Board of Trustees approved collective bargaining agreements between CUNY and unions representing almost all of the University's faculty and staff. For CUNY senior colleges, these agreements are estimated to cost approximately \$250 million for retroactive payments and \$150 million in ongoing annual costs. At the request of CUNY, to make resources available for retroactive payments in the academic year ending June 2017, the State expects to advance approximately \$250 million in planned State support for CUNY senior colleges from October 2017 to June 2017.

## Pension Amortization

### Background

Under legislation enacted in August 2010, the State and local governments may amortize (defer paying) a portion of their annual pension costs. Amortization temporarily reduces the pension costs that must be paid by public employers in a given fiscal year, but results in higher costs overall when repaid with interest.

In FY 2016, the State made a total pension payment to the New York State and Local Retirement System (NYSLRS) of \$1.7 billion, and amortized \$296.7 million. In addition, the State's Office of Court Administration (OCA) made a total pension payment of \$263.6 million, and amortized \$59.5 million (the maximum amount legally allowable). The total deferred amount of the FY 2016 pension payment — \$356.2 million — will be repaid with interest over the next ten years, with the final payment being made in FY 2026.

The State is required to begin repayment on each new amortization in the fiscal year immediately following the year in which the deferral was made. The full amount of each amortization, with interest, must be repaid within ten years, but the amount can be paid off sooner. The annual interest rate on each new amortization is determined by OSC, and is fixed for the entire term of the deferral. Legislation included in the FY 2017 Enacted Budget authorizes the State to prepay annual installments of principal associated with an amortization, prior to the expiration of the amortization repayment schedule, and thus only be required to make the related interest payments during the subsequent fiscal years associated with such prepayments. This option does not allow the State to extend the ten-year repayment schedule, nor does it allow for the interest rate initially applied to the amortization amount to be modified.

For amounts amortized in FY 2011 through FY 2016, the State Comptroller set interest rates of 5 percent, 3.75 percent, 3 percent, 3.67 percent, 3.15 percent and 3.21 percent, respectively. The normal annual employer contribution to the NYSLRS is based on rates established by the NYSLRS Actuary using the annual fund valuation and actuarially prescribed policies and procedures. Employer contribution rates are established for both the Employees' Retirement System (ERS) and the Police and Fire Retirement System (PFRS). These rates are then applied to the State-employee salary base for each respective employee group. The State's normal annual contribution is the total bill, excluding payments for deficiency, group life, previous amortizations, incentive costs, administrative costs, and prior-year adjustments.

The amortization rates (i.e., the graded rates) for ERS and PFRS are determined by a formula enacted in the 2010 legislation. The respective graded rates always move toward their system’s average normal rate by up to 1 percent per year. When the average normal rate is more than 1 percentage point greater than the graded rate, the use of the amortization program reduces the portion of the normal contribution that is payable immediately. The balance of the normal contribution may be amortized. However, when the graded rate equals or exceeds the normal average rate, amortization is not allowed. Additionally, when the graded rate is more than 1 percentage point greater than the average normal rate, the employer is required to pay the graded rate. Any additional contributions are first used to pay off existing amortizations. If all amortizations have been paid, any excess is deposited into a reserve account and used to offset future increases in contribution rates. The amortization threshold is projected to approximate the normal rate in upcoming fiscal years. Therefore, the Financial Plan no longer assumes amortization of State and OCA pension costs beyond FY 2016.

For both the ERS and the PFRS, the following table provides: i) system average normal rates; and ii) amortization (graded) rates.

Fiscal Year (FY)	New York State Employees' Retirement System (ERS)		New York State Police and Fire Retirement System (PFRS)	
	Normal Rates (GLIP Portion) <sup>1</sup>	Graded Rates (does not apply to GLIP)	Normal Rates (GLIP Portion)	Graded Rates (does not apply to GLIP)
2011	11.9 (0.4)	9.5	18.2 (0.1)	17.5
2012	16.3 (0.4)	10.5	21.6 (0.0)	18.5
2013	18.9 (0.4)	11.5	25.8 (0.1)	19.5
2014	20.9 (0.4)	12.5	28.9 (0.0)	20.5
2015	20.1 (0.4)	13.5	27.6 (0.1)	21.5
2016	18.2 (0.5)	14.5	24.7 (0.0)	22.5
2017	15.5 (0.4)	15.1	24.3 (0.0)	23.5

<sup>1</sup> Group Life Insurance Plan (GLIP) portion reflected in parenthesis along with normal rates.

## Outyear Projections

All projections are based on projected market returns and numerous actuarial assumptions which, if unrealized, could change these projections materially.

During FY 2016, the NYSLRS (ERS and PFRS) updated its actuarial assumptions based on the results of the 2015 five-year experience study. In September 2015, the System announced that employer contribution rates would decrease for FY 2017 and the assumed rate of return would be lowered from 7.5 percent to 7 percent. The salary scale assumptions were also changed — for ERS the scale was reduced from 4.8 percent to 3.8 percent and for PFRS the scale was reduced from 5.4 percent to 4.5 percent. Factoring in these and other assumptions, the average contribution rate for ERS will decrease from 18.2 percent of payroll to 15.5 percent, or about 15 percent, while the average contribution rate for PFRS will decrease from 24.7 percent of payroll to 24.3 percent, or approximately 2 percent.

The FY 2017 ERS/PFRS pension estimate of \$2.3 billion incorporates the most recent estimate prepared by OSC as of April 2016. This includes payment of prior amortizations totaling \$432 million and additional interest savings from paying the non-Judiciary and Judiciary pension bills in April 2016. Total payment estimates include both the non-Judiciary and Judiciary components, and reflect payment of the entire pension bill, with no additional amortization.

The pension estimate also reflects changes to military service credit provisions enacted during the 2016 legislative session (chapter 41 of the laws of 2016), allowing all veterans who are members of a New York State or local retirement system to receive extra pension credit for up to three years of military service if they were honorably discharged, have achieved five years of service in a public retirement system, and agree to pay the employee share of such additional pension credit. Costs to the State for its employees will be incurred at the time each member purchases credit, as documented by OSC at the end of each calendar year. Additionally, under Section 25 of Retirement and Social Security Law (RSSL), the State is required to pay the ERS employer contributions associated with this credit on behalf of local governments who participate in that section of law. The State is also permitted to amortize the first year of past service costs associated with this credit; however, the State has not yet chosen this option as the application experience will not be documented until December 31, 2016, and there would be an interest rate of 7 percent applied to this amortization. DOB currently estimates the cost to the State (including the costs covered for local ERS) to be \$144 million in FY 2017; \$103 million in FYs 2018 and 2019; and \$62 million in FY 2020.

The following table provides aggregate pension costs across all the various systems associated with State employees: i) ERS for both the Executive branch and Judiciary; ii) PFRS for the Executive; iii) Teachers' Retirement System (TRS) for both the State University of New York (SUNY) and the State Education Department (SED); iv) Optional Retirement Program (ORP) for both SUNY and SED; and v) NYS Voluntary Defined Contribution Plan (VDC). Amortization (graded) rates, deferrals and repayments are provided for ERS (Executive and Judiciary) and PFRS (Executive).

<b>STATE PENSION COSTS AND AMORTIZATION SAVINGS</b> (millions of dollars)						
Fiscal Year	Amortization Thresholds (Graded Rate)		Statewide Pension Payments			
	ERS (%)	PFRS (%)	Gross Pension Costs	(Amortization Amount)/ Excess Contributions	Repayment of Amortization (incl. FY 2005 and FY 2006)	Total Statewide Pension Payments
2011	9.5	17.5	1,633	(250)	87	1,470
2012	10.5	18.5	2,140	(563)	119	1,696
2013	11.5	19.5	2,192	(779)	188	1,601
2014	12.5	20.5	2,744	(937)	279	2,086
2015	13.5	21.5	2,438	(713)	393	2,118
2016	14.5	22.5	2,189	(356)	392	2,225
2017	15.1	23.5	2,064	0	432	2,496
2018	15.1	23.8	2,133	0	432	2,565
2019	14.6	23.3	2,116	0	432	2,548
2020	14.8	23.5	2,130	0	432	2,562

# Other Matters Affecting the Financial Plan



The next table reflects projected pension contributions and amortizations exclusively for the Executive branch and Judiciary employees participating in the ERS and PFRS. The “Normal Costs” column shows the amount of the State’s pension cost prior to amortization, as authorized in 2010. The “(Amortized) / Excess Contributions” column shows amounts deferred (i.e., amortized) or payments of outstanding contingencies. The “Amortization Payments” column provides the amount paid in a given fiscal year (principal and interest on deferrals) as authorized in 2010. The “Total” column provides the State’s actual or planned pension contribution, net of amortization.

EMPLOYEE RETIREMENT SYSTEM AND POLICE AND FIRE RETIREMENT SYSTEM <sup>1</sup>				
IMPACTS OF AMORTIZATION ON PENSION CONTRIBUTIONS				
(millions of dollars)				
Fiscal Year	Normal Costs <sup>2</sup>	(Amortized)/Excess Contributions	Amortization Payments	Total
<b>Results:</b>				
2011	1,543.2	(249.6)	0.0	1,293.6
2012	2,037.5	(562.8)	32.3	1,507.0
2013	2,076.1	(778.5)	100.9	1,398.5
2014	2,633.8	(937.0)	192.1	1,888.9
2015	2,325.8	(713.1)	305.6	1,918.3
<b>Projections:</b>				
2016	1,972.2	(356.2)	389.9	2,005.9
2017	1,840.2	0.0	432.1	2,272.3
2018	1,904.9	0.0	432.1	2,337.0
2019	1,883.1	0.0	432.1	2,315.2
2020	1,892.2	0.0	432.1	2,324.3
2021	1,884.8	0.0	432.2	2,317.0
2022	1,977.9	0.0	399.8	2,377.7
2023	1,993.5	0.0	331.3	2,324.8
2024	2,009.1	0.0	240.1	2,249.2
2025	2,024.4	0.0	126.5	2,150.9
2026	2,039.6	0.0	42.2	2,081.8
2027	2,054.3	0.0	0.0	2,054.3
2028	2,068.9	0.0	0.0	2,068.9
2029	2,061.5	0.0	0.0	2,061.5
2030	2,052.1	0.0	0.0	2,052.1
2031	2,040.1	0.0	0.0	2,040.1

<sup>1</sup> Pension contribution values in this table do not include pension costs related to the Optional Retirement Program and Teachers' Retirement System for SUNY and SED, whereas the projected pension costs in other Financial Plan tables include such pension disbursements.

<sup>2</sup> Normal costs include payments from amortizations prior to FY 2011, which will end in FY 2016 as a result of early repayments.

## Net Pension Liability

The State recognizes new Governmental Accounting Standards Board (GASB) Statement 68 (Accounting and Financial Reporting for Pensions), which replaces the requirements of GASB Statement 27 and GASB Statement 50, and will be incorporated into the State's FY 2016 Basic Financial Statements. GASB Statement 68 requires governments providing defined pensions to recognize their long-term obligation for pension benefits as a liability for the first time, and to more comprehensively and comparably measure the annual costs of pension benefits. The State's net pension liability as reported in the State's financial statements for FY 2016, is \$1.6 billion (\$1.4 billion for the State; \$180 million for SUNY, and \$2 million for Lottery). GASB Statement 68 is not expected to alter DOB's current Financial Plan projections for pension payments, and the DOB methodology for forecasting these costs over a multiyear period already incorporates factors and considerations consistent with the new actuarial methods and calculations required by the statement.

## Other Post-Employment Benefits (OPEB)

State employees become eligible for post-employment benefits (e.g., health insurance) if they reach retirement while working for the State and are enrolled in the New York State Health Insurance Program (NYSHIP), or are enrolled in the NYSHIP opt-out program at the time they reach retirement and have at least ten years of eligible service for NYSHIP benefits. The cost of providing post-retirement health insurance is shared between the State and the retired employee. Contributions are established by law and may be amended by the Legislature. The State pays its share of costs on a Pay-As-You-Go (PAYGO) basis as required by law.

In accordance with the GASB Statement 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in Note 13 of the State's Basic Financial Statements for FY 2016, the State's Annual Required Contribution (ARC) represents the annual level of funding that, if set aside on an ongoing basis, is projected to cover normal costs each year and to amortize any unfunded liabilities of the plan over a period not to exceed 30 years. Amounts required but not actually set aside to pay for these benefits are accumulated, with interest, as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2016, the unfunded actuarial accrued liability for FY 2016 is \$77.9 billion (\$63.426 billion for the State and \$14.427 billion for SUNY), an increase of \$494 million from FY 2015 (attributable entirely to SUNY). The unfunded actuarial accrued liability for FY 2016 used an actuarial valuation of OPEB liabilities as of April 1, 2014 for the State, and April 1, 2014 for SUNY. These valuations were determined using the Frozen Entry Age actuarial cost method, and are amortized over an open period of 30 years using the level percentage of projected payroll amortization method. Driving a significant portion of the annual growth in the State's unfunded actuarial accrued liability is the adoption of new generational mortality projection tables developed by the Society of Actuaries, reflecting an improvement in life expectancy in future years, and resulting in increases to accrued liabilities and the present value of projected benefits. Also driving a portion of the annual growth are the expected increases in NYSHIP costs due to health care cost trends and utilization increases.



The actuarially determined annual OPEB cost for FY 2016 totaled \$4.2 billion (\$3.246 billion for the State and \$926 million for SUNY), an increase of \$1.166 billion from FY 2015 (\$959 million for the State and \$207 million for SUNY). The actuarially-determined cost is calculated using the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. The actuarially determined cost was \$2.567 billion (\$1.905 billion for the State and \$195 million for SUNY) greater than the cash payments for retiree costs made by the State in FY 2015. This difference between the State's PAYGO costs, and the actuarially-determined ARC under GASB Statement 45, reduced the State's net asset condition at the end of FY 2016 by \$2.6 billion.

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no additional funding is assumed for this purpose in the current Financial Plan. The State continues to fund these costs, along with all other employee health care expenses, on a PAYGO basis.

There is no provision in the Financial Plan to fund the ARC for OPEB. If the State began making a contribution, the additional cost above the PAYGO amounts would be lowered. However, it is not expected that the State will alter its current PAYGO funding practice.

The State is also currently examining GASB Statement 75 (Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions), which amends GASB Statement 45 and GASB Statement 57, and will be incorporated into the State's FY 2019 financial statements. The GASB Statement 75 will alter the actuarial methods used to calculate OPEB liabilities, standardize asset smoothing and discount rates, and require the funded status of the OPEB liabilities to be reported by the State, less Net OPEB Obligation which is already recorded as a long-term liability of the State. The inclusions of the remaining balance of the unfunded OPEB liability is expected to significantly increase the State's total long-term liabilities and act to lower the State's overall Net Position.

GASB Statement 75 is not expected to alter current Financial Plan projections for health insurance, as the DOB methodology for forecasting these costs over a multi-year period already incorporate factors and considerations consistent with the new actuarial methods and calculations required by the statement.

## Litigation

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the current Financial Plan.



## Storm Recovery

In recent years, New York State has sustained damage from three powerful storms that crippled entire regions. In August 2011, Hurricane Irene disrupted power and caused extensive flooding to various New York State counties. In September 2011, Tropical Storm Lee caused flooding in additional New York State counties and, in some cases, exacerbated the damage caused by Hurricane Irene two weeks earlier. On October 29, 2012, Superstorm Sandy struck the East Coast, causing widespread infrastructure damage and economic losses to the greater New York region. The frequency and intensity of these storms present economic and financial risks to the State. Reimbursement claims for costs of the immediate response are being processed, and both recovery and future mitigation efforts have begun, largely supported by Federal funds. In January 2013, the Federal government approved approximately \$60 billion in Federal disaster aid for general recovery, rebuilding and mitigation activity nationwide. It is anticipated that New York State, MTA, and New York State localities may receive approximately one-half of this amount for response, recovery, and mitigation costs. To date, a total of \$17 billion has been committed to repairing impacted homes and businesses, restoring community services, and mitigating future storm risks across New York State. There can be no assurance that all anticipated Federal disaster aid described above will be provided to the State and its affected entities over the coming years.

## Climate Change Adaptation

Climate change poses long-term threats to physical and biological systems. Potential hazards and risks related to climate change for the State include, among other things, rising sea levels, more severe coastal flooding and erosion hazards, and more intense storms. Storms in recent years, including Superstorm Sandy, Hurricane Irene, and Tropical Storm Lee, have demonstrated vulnerabilities in the State's infrastructure (including mass transit systems, power transmission and distribution systems, and other critical lifelines) to extreme weather events, including coastal flooding caused by storm surges. Significant long-term planning and investment by the Federal government, State, municipalities, and public utilities are expected to be needed for adapting existing infrastructure to climate change risks.

## Financial Condition of New York State Localities

The financial demands on State aid may be affected by the fiscal conditions of New York City and potentially other localities, which rely in part on State aid to balance their budgets and meet their cash requirements. Certain localities outside New York City, including cities and counties, have experienced financial problems and have requested and received additional State assistance during the last several State fiscal years. In 2013, the Financial Restructuring Board for Local Governments was created to provide assistance to distressed local governments by performing comprehensive reviews, and by providing grants and loans as a condition of implementing recommended efficiency initiatives. For additional details on the Restructuring Board, please visit [www.frb.ny.gov](http://www.frb.ny.gov).

## Bond Market

Implementation of the Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or the STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be adversely affected. The success of projected public sales will be subject to prevailing market conditions, among other things. Future developments in the financial markets, including possible changes in Federal tax law relating to the taxation of interest on municipal bonds, as well as future developments concerning the State and public discussion of such developments generally, may affect the market for outstanding State-supported and State-related debt.

## Debt Reform Act Limit

The Debt Reform Act of 2000 ("Debt Reform Act") restricts the issuance of State-supported debt to capital purposes only, and for maximum terms of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income, and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued since April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001, and was fully phased in at 4 percent of personal income during FY 2011. The cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001, and was fully phased in at 5 percent during FY 2014. The State was in compliance with the statutory caps in the most recent calculation period (FY 2015).

DOB projects that debt outstanding and debt service will continue to remain below the limits imposed by the Debt Reform Act. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$4.9 billion in FY 2016 to \$305 million in FY 2020. This includes the estimated impact of the bond-financed portion of increased capital commitment levels. Debt outstanding and debt service caps continue to include the existing SUNY Dormitory Facilities lease revenue bonds, which are backed by a general obligation pledge of SUNY. Bonds issued under the new SUNY Dormitory Facilities Revenue credit (which are not backed by a general obligation pledge of SUNY) are not included in the State's calculation of debt caps. Capital spending priorities and debt financing practices may be adjusted from time to time to preserve available debt capacity and stay within the statutory limits, as events warrant.

DEBT OUTSTANDING SUBJECT TO CAP (millions of dollars)							
Year	Personal			Debt Outstanding Since April 1, 2000	\$ Remaining Capacity	Debt as a % of PI	% Remaining Capacity
	Income	Cap %	Cap \$				
FY 2016	1,143,076	4.00%	45,723	40,814	4,909	3.57%	0.43%
FY 2017	1,193,755	4.00%	47,750	42,879	4,871	3.59%	0.41%
FY 2018	1,253,602	4.00%	50,144	48,067	2,077	3.83%	0.17%
FY 2019	1,315,073	4.00%	52,603	51,743	859	3.93%	0.07%
FY 2020	1,377,503	4.00%	55,100	54,795	305	3.98%	0.02%
FY 2021	1,441,044	4.00%	57,642	57,221	421	3.97%	0.03%

TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Debt Outstanding Prior to April 1, 2000	Total State-Supported Debt Outstanding
9,415	50,229
8,111	50,990
6,813	54,880
5,771	57,515
4,895	59,690
3,421	60,642

DEBT SERVICE SUBJECT TO CAP (millions of dollars)							
Year	All Funds			Debt Service Since April 1, 2000	\$ Remaining Capacity	DS as a % of Revenue	% Remaining Capacity
	Receipts	Cap %	Cap \$				
FY 2016	153,265	5.00%	7,663	4,087	3,576	2.67%	2.33%
FY 2017	152,357	5.00%	7,618	4,355	3,263	2.86%	2.14%
FY 2018	158,594	5.00%	7,930	4,757	3,173	3.00%	2.00%
FY 2019	159,556	5.00%	7,978	5,357	2,621	3.36%	1.64%
FY 2020	163,563	5.00%	8,178	5,832	2,347	3.57%	1.43%
FY 2021	170,216	5.00%	8,511	6,217	2,294	3.65%	1.35%

TOTAL STATE-SUPPORTED DEBT (millions of dollars)	
Debt Service Prior to April 1, 2000	Total State-Supported Debt Service
1,492	5,579
698	5,053
1,485	6,242
1,400	6,757
1,386	7,218
1,228	7,444

The table below reflects the changes in State debt capacity under its statutory debt cap since the Enacted Budget. In the First Quarterly Update, DOB revised its forecast of personal income resulting in the loss of debt capacity ranging from \$30 million to \$130 million in FY 2019 to FY 2021. This loss in debt capacity is largely offset by lower capital spending estimates, based on capital activity to date and updated forecasts.

DEBT OUTSTANDING SUBJECT TO CAP REMAINING CAPACITY SUMMARY (millions of dollars)						
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
<b>Enacted Budget Financial Plan</b>	<b>4,885</b>	<b>4,747</b>	<b>1,889</b>	<b>645</b>	<b>105</b>	<b>284</b>
Personal Income Forecast Adjustment	24	22	90	(30)	(82)	(130)
Capital Reestimates	0	102	98	244	282	267
<b>First Quarterly Update Financial Plan</b>	<b>4,909</b>	<b>4,871</b>	<b>2,077</b>	<b>859</b>	<b>305</b>	<b>421</b>

## Secured Hospital Program

Under the Secured Hospital Program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to have tax-exempt debt issued on their behalf, to pay for upgrading their primary health care facilities. In the event of revenue shortfalls to pay debt service on the Secured Hospital bonds, which include hospital payments made under loan agreements between the Dormitory Authority of the State of New York (DASNY) and the hospitals and certain reserve funds held by the applicable trustees for the bonds, the service contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by DASNY through the Secured Hospital Program. As of March 31, 2016, there were approximately \$257 million of bonds outstanding for this program.

The financial condition of hospitals in the State's Secured Hospital Program continues to deteriorate. Of the remaining financially distressed hospitals, one is experiencing significant operating losses that have impaired its ability to remain current on its loan agreement with DASNY. In relation to the Secured Hospital Program, the State's contingent contractual obligation was invoked to pay debt service for the first time in FY 2014 when \$12 million was paid, and again in FY 2015 and FY 2016 when \$24 million and \$19 million were paid, respectively. DASNY also estimates the State will pay debt service costs of approximately \$25 million in FY 2017, and approximately \$14 million annually in FY 2018 through FY 2021. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for one hospital that currently is not meeting the terms of its loan agreement with DASNY, a second financially distressed hospital whose debt service obligation was discharged in bankruptcy but is paying rent which offsets a portion of the debt service, and a third hospital that is now closed. The State has estimated additional exposure of up to \$24 million annually, if all financially distressed hospitals failed to meet the terms of their agreements with DASNY and if available reserve funds were depleted.

### **SUNY Downstate Hospital and the Long Island College Hospital (LICH)**

In May 2011, the New York State Supreme Court issued an order (the "May 2011 Order") that approved the transfer of real property and other assets of LICH to a New York State not-for-profit corporation ("Holdings"), the sole member of which is SUNY. Subsequent to such transfer, Holdings leased the LICH hospital facility to SUNY University Hospital at Brooklyn ("Downstate Hospital"). In 2012, DASNY issued tax exempt State PIT Revenue Bonds ("PIT Bonds"), to refund approximately \$120 million in outstanding debt originally incurred by LICH and assumed by Holdings.

Pursuant to a court-approved settlement in 2014, SUNY, together with Holdings, issued a request for proposals (RFP) seeking a qualified party to provide or arrange to provide health care services at LICH and to purchase the LICH property. The structure of the settlement also increased the likelihood that sufficient proceeds from the transaction would be available to support defeasance of the PIT Bonds by setting a minimum purchase price.

In accordance with the settlement, Holdings has entered into a purchase and sale agreement with FPG Cobble Hill Acquisitions, LLC (the "purchaser"), an affiliate of Fortis Property Group, LLC ("Fortis") (also party to the agreement), which proposes to purchase the LICH property, and with NYU Hospitals Center which will provide both interim and long-term health care services. The Fortis affiliate plans to develop a mixed-use project. The agreement was approved by the Offices of the Attorney General and the State Comptroller, and the sale of all or substantially all, of the assets of Holdings was approved by the State Supreme Court in Kings County. The Initial closing was held as of September 1, 2015 and on September 3, 2015, sale proceeds of approximately \$120 million were transferred to the trustee for the PIT Bonds which were paid and legally defeased from such proceeds. Title to 17 of the 20 properties was conveyed to the special purpose entities formed by the Purchaser to hold title.

The next closing, when title to the New Medical Site (NMS) portion of the LICH property is to be conveyed to NYU Hospitals Center (the NMS Closing) is anticipated to occur within 30 days after all buildings on the NMS are fully demolished and all environmental issues remediated by the Purchaser. The external demolition of the buildings has been the subject of a court ordered restraint that was removed as of October 29, 2015. In its efforts to complete the demolitions and environmental remediation, the Purchaser has continued to deal with challenges raised by adjoining property owners and community groups. These challenges have delayed, and may continue to delay, demolition and environmental remediation.

If the NMS Closing does not occur on or before June 30, 2016, NYU Hospitals Center has the right to terminate its obligations under the purchase and sale agreement upon 30 days prior notice to Purchaser and Holdings. There can be no assurance that NYU Hospitals Center will not exercise its right to terminate after July 1, 2016. If NYU Hospitals Center terminates its obligations under the purchase and sale agreement, it has the contractual right to close its interim emergency department services immediately, but that right would be subject to obtaining regulatory approval for the closure. Also, if NYU Hospitals Center terminates its obligations under the purchase and sale agreement, the Purchaser has the ability under the purchase and sale agreement to continue with the final closing if, among other things, the Purchaser can

identify a replacement provider with a confirming letter of interest to provide certain of the healthcare services expected to be provided by NYU Hospitals Center.

To date, Holdings has received no indication that NYU Hospitals Center intends to terminate its obligations under the purchase and sale agreement. As an alternative to termination, in light of the delays, each of Holdings and NYU Hospitals Center has the contractual right at any time to take over and complete the demolition and environmental remediation at the Purchaser's sole cost and expense. If Holdings elects to take over the demolition and environmental remediation, it may do so directly or through a designee (i.e., a contractor).

The final closing is anticipated to occur within 36 months after the NMS Closing. At the final closing, title to the two remaining portions of the LICH properties, will be conveyed to special purpose entities of Fortis, and Holdings will receive the balance of the purchase price, \$120 million less the remaining down payment. The final closing is conditioned upon completion of the construction of the New Medical Building by NYU Hospitals Center and relocation of the emergency department to the New Medical Building.

There can be no assurance that the resolution of legal, financial, and regulatory issues surrounding LICH, including the payment of outstanding liabilities, will not have a materially adverse impact on SUNY.



**State Financial Plan Projections  
Fiscal Years 2017 Through 2020**





## Introduction

This section presents the State's multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of forecast revisions in FYs 2017 through FY 2020, with an emphasis on the FY 2017 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes complicate the discussion of the State's receipts and disbursements projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The State Funds perspective reflects estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends, and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Roughly 40 percent of projected State-financed spending for operating purposes (excluding transfers) is accounted for outside of the General Fund, concentrated primarily in the areas of health care, School Aid, higher education, transportation, and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish, the further removed such estimates and projections are from the date of this Updated Financial Plan. Accordingly, in terms of outyear projections, the first "outyear" of the FY 2017 budget, FY 2018, is the most relevant from a planning perspective.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Summary

The Updated Financial Plan reflects 2 percent annual growth in State Operating Funds, consistent with the expectation of adherence to the 2 percent spending benchmark.

The projections for FY 2018 and thereafter set forth in the Updated Budget Financial Plan reflect the savings that DOB estimates would be realized if the current Administration continues to propose, and the Legislature continues to enact, balanced budgets in future years that limit annual growth in State Operating Funds spending to no greater than 2 percent. The estimated savings are labeled on a distinct line in the Updated Financial Plan tables as “Adherence to 2 percent Spending Benchmark.” The total disbursements in the Updated Financial Plan tables do not assume these savings. Such savings will be developed and proposed in future budgets. If the State does not adhere to the 2 percent State Operating Funds spending benchmark in FY 2017, FY 2018, FY 2019, and FY 2020, the projected budget gaps would be higher.

The following tables present the Updated Financial Plan multi-year projections for the General Fund and State Operating Funds, as well as reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by a summary of the multi-year receipts and disbursements forecasts.

## General Fund Projections

GENERAL FUND PROJECTIONS (millions of dollars)					
	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>RECEIPTS</b>					
Taxes (After Debt Service)	62,581	64,638	67,596	67,700	70,421
Miscellaneous Receipts/Federal Grants	5,842	2,827	2,487	2,456	2,336
Other Transfers	1,253	1,203	751	750	734
<b>Total Receipts</b>	<b>69,676</b>	<b>68,668</b>	<b>70,834</b>	<b>70,906</b>	<b>73,491</b>
<b>DISBURSEMENTS</b>					
Local Assistance Grants	43,314	45,769	48,967	51,595	54,450
School Aid	20,133	21,101	22,579	23,896	25,211
Medicaid/EP	12,136	12,631	13,517	14,421	15,403
All Other	11,045	12,037	12,871	13,278	13,836
State Operations	7,955	8,265	8,681	8,530	8,668
Personal Service	6,011	6,012	6,068	6,104	6,166
Non-Personal Service	1,944	2,253	2,613	2,426	2,502
General State Charges	5,397	5,552	5,916	6,124	6,467
Transfers to Other Funds	11,376	11,527	11,860	12,039	12,191
Debt Service	1,196	706	1,260	1,182	1,076
Capital Projects	2,721	3,810	3,469	3,399	3,311
State Share of Mental Hygiene Medicaid	2,036	1,437	1,325	1,301	1,236
SUNY Operations	998	996	1,001	997	997
All Other	4,425	4,578	4,805	5,160	5,571
<b>Total Disbursements</b>	<b>68,042</b>	<b>71,113</b>	<b>75,424</b>	<b>78,288</b>	<b>81,776</b>
<b>Adherence to 2% Spending Benchmark<sup>1</sup></b>	<b>n/a</b>	<b>n/a</b>	<b>3,031</b>	<b>4,710</b>	<b>6,532</b>
<b>Use (Reservation) of Fund Balance:</b>	<b>(1,634)</b>	<b>2,445</b>	<b>1,352</b>	<b>1,200</b>	<b>731</b>
Community Projects Fund	11	10	0	0	0
Labor Agreements Prior to FY 2017	35	75	0	0	0
Undesignated Fund Balance	(47)	87	0	0	0
Monetary Settlements <sup>2</sup>	(1,633)	2,273	1,352	1,200	731
Programmed	(1,088)	2,423	1,352	1,200	731
Unbudgeted	(545)	(150)	0	0	0
<b>BUDGET SURPLUS/(GAP) PROJECTIONS</b>	<b>0</b>	<b>0</b>	<b>(207)</b>	<b>(1,472)</b>	<b>(1,022)</b>

<sup>1</sup> Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2017 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, Budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, the projected budget gaps would be higher.

<sup>2</sup> FY 2016 and FY 2017 reflect transfers of monetary settlement funds from the General Fund to the Dedicated Infrastructure Investment Fund (\$857 million in FY 2016 and \$901 million in FY 2017); the Environmental Protection Fund (\$120 million in FY 2017); and the mental hygiene account for Federal disallowance repayment (\$850 million in FY 2016).

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## State Operating Funds Projections

STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>RECEIPTS</b>					
Taxes	73,279	75,207	78,579	79,357	82,503
Miscellaneous Receipts/Federal Grants	23,328	19,232	18,650	18,838	18,553
<b>Total Receipts</b>	<b>96,607</b>	<b>94,439</b>	<b>97,229</b>	<b>98,195</b>	<b>101,056</b>
<b>DISBURSEMENTS</b>					
Local Assistance Grants	62,653	64,774	67,842	70,696	73,516
School Aid (School Year Basis)	23,290	24,797	25,906	27,219	28,599
DOH Medicaid	17,453	18,134	18,934	19,828	20,691
Transportation	4,745	4,931	5,016	5,071	5,161
STAR	3,335	3,228	2,977	2,921	2,869
Higher Education	2,955	3,009	3,097	3,158	3,195
Social Services	2,949	2,934	3,013	3,046	3,078
Mental Hygiene	2,646	2,538	3,132	3,494	3,738
All Other <sup>1</sup>	5,280	5,203	5,767	5,959	6,185
State Operations	18,583	18,650	18,936	18,933	19,082
Personal Service	12,981	12,896	12,887	13,005	13,106
Non-Personal Service	5,602	5,754	6,049	5,928	5,976
General State Charges	7,452	7,709	8,132	8,411	8,805
Pension Contribution	2,225	2,496	2,565	2,548	2,562
Health Insurance (Active Employees)	2,183	2,343	2,484	2,651	2,831
Health Insurance (Retired Employees)	1,282	1,376	1,459	1,557	1,663
All Other	1,762	1,493	1,623	1,654	1,750
Debt Service	5,598	5,078	6,257	6,771	7,232
Capital Projects	2	3	2	0	0
<b>Total Disbursements</b>	<b>94,288</b>	<b>96,214</b>	<b>101,169</b>	<b>104,811</b>	<b>108,635</b>
Net Other Financing Sources/(Uses)	432	(1,006)	(309)	(328)	(132)
<b>Adherence to 2% Spending Benchmark<sup>2</sup></b>	<b>n/a</b>	<b>n/a</b>	<b>3,031</b>	<b>4,710</b>	<b>6,532</b>
<b>RECONCILIATION TO GENERAL FUND GAP</b>					
Designated Fund Balances:	(2,751)	2,781	1,011	762	157
General Fund	(1,634)	2,445	1,352	1,200	731
Special Revenue Funds	(1,075)	426	(232)	(346)	(415)
Debt Service Funds	(42)	(90)	(109)	(92)	(159)
<b>GENERAL FUND BUDGET SURPLUS/(GAP)</b>	<b>0</b>	<b>0</b>	<b>(207)</b>	<b>(1,472)</b>	<b>(1,022)</b>

<sup>1</sup> All Other includes other education, parks, environment, economic development, public safety, and reconciliation between school year and State fiscal year spending on School aid.

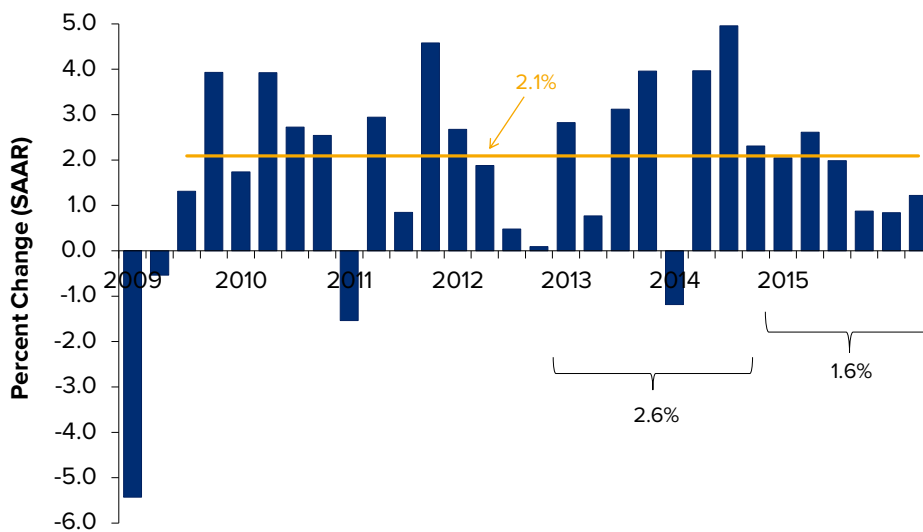
<sup>2</sup> Savings estimated from limiting annual spending growth in future years to 2 percent. Calculation based on current FY 2017 projections. The Governor is expected to propose, and negotiate with the Legislature to enact, budgets in each fiscal year that hold State Operating Funds spending growth to 2 percent. Assumes all savings from holding spending growth to 2 percent are made available to the General Fund. Total disbursements in Financial Plan tables and discussion do not reflect these savings. If the 2 percent State Operating Funds spending benchmark is not adhered to, projected budget gaps would be higher.

## Economic Backdrop

### The National Economy

The U.S. economy continues to struggle to escape its 2 percent growth trap. Based on the most recent U.S. Bureau of Economic Analysis (BEA) data, the economy's second quarter "rebound" from weak first quarter growth of 0.8 percent was a disappointing 1.2 percent. Growth for both quarters combined was substantially below the Enacted Budget forecast. Household spending made a strong comeback in the second quarter, but both residential and nonresidential investment registered large declines, casting a pall over the remainder of the year. Prospects for the second half are further clouded by a decelerating labor market, weak global trade, the U.K.'s pending exit from the European Union, and yet another dip in oil prices. DOB is now projecting economic growth of 1.6 percent for 2016, 0.2 percentage point below the Enacted Budget forecast.

**Figure 1: Real US GDP Growth Remains Stuck in Low Gear**



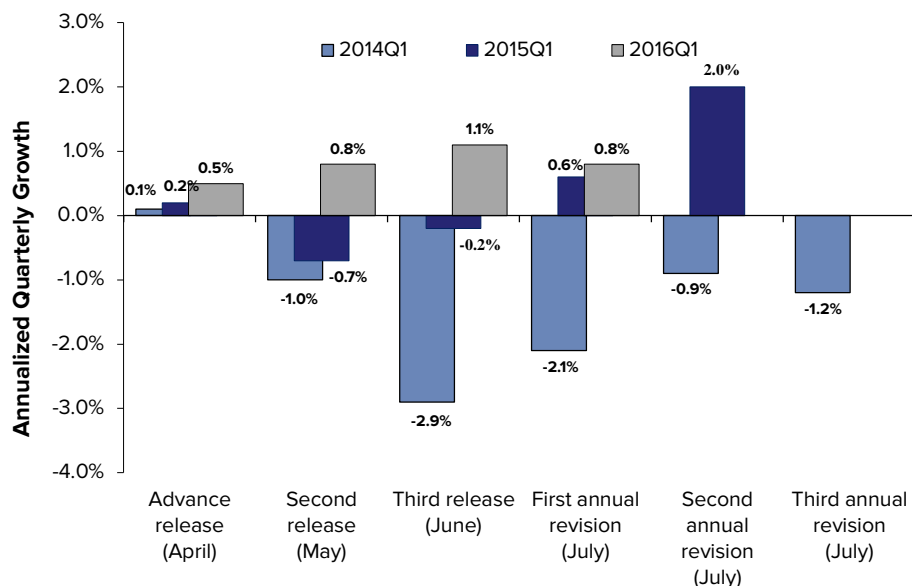
Source: Moody's Analytics.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



With the July 29th data release, BEA reported its annual revision to the National Income and Product Account data. In addition to reporting the first estimate of the second quarter of this year, the July report revisits first quarter of the current year and the prior three years of Gross Domestic Product (GDP) data. These estimates are updated based on more complete source data, such as IRS tax return data and Census Bureau annual survey data. In addition, with this year's revisions, BEA begins to implement its ongoing research to improve the seasonal adjustment process. The impact of the July revisions appears in the figure below. Based on this most recent and sixth estimate of 2014Q1, the national economy contracted 1.2 percent during that quarter, indicating even more weakness than the prior estimate of a 0.9 percent decline. In contrast, the first quarter of 2015 was revised up from 0.6 percent growth to 2.0 percent growth and results in a much smoother pattern over the course of the year (see Figure 1). Finally, we note that average quarterly growth is now higher for 2013 and 2014, but lower for the period from 2015Q1 through 2016Q1. On balance, average quarterly growth over the life of the expansion remains unchanged at 2.1 percent, reinforcing that the current expansion has been the weakest of the postwar era.

**Figure 2: The Evolution of BEA's Q1 Estimates**



Source: U.S. Bureau of Economic Analysis.

The national labor market has decelerated markedly since the second half of last year. Monthly private sector job gains averaged a still solid 158,000 over the first six months of 2016, but down from 221,000 in 2015. Moreover, over 60 percent of the job growth in the first half has been concentrated in three relatively low-wage/low productivity sectors: healthcare and social assistance; leisure and hospitality; and wholesale and retail trade. Slower job growth, combined with the composition of jobs created, is consistent with the weak output growth observed in the first half of this year. Total nonagricultural employment is expected to grow a downwardly revised 1.7 percent for 2016, a deceleration from 2.1 percent growth in 2015. Although the implied projected pace of growth is consistent with further declines in the unemployment rate, it is also consistent with continued low rates of real GDP growth.

Consumer spending has shown remarkable improvement over the life of the current expansion and is likely to remain one of the economy's bright spots. After growing a weak 1.6 percent in the first quarter of this year, real household spending rose an impressive 4.2 percent during the second quarter, a stronger rebound than expected. However, it is unlikely that second quarter growth is indicative of the trend going forward. Indeed, the outlook for the second half is virtually unchanged from the Enacted Budget forecast, with growth expected to moderate going forward along with job growth and only small wage gains. The most recent light vehicle sales data continue to signal that unit sales likely peaked in the fall of last year, averaging 17.2 million on an annualized basis over the first six months of 2016 compared with an average of 17.9 million over the final six months of last year. Real growth in household consumption of 2.7 percent is projected for 2016, representing a modest upward revision from the Enacted Budget forecast, but still down from 3.2 percent growth in 2015.

Despite activist monetary policy actions around the globe, prospects for improving global growth remain dim. It appears likely that the U.K. economy will slow substantially and possibly fall into recession in the wake of the June 23rd vote to leave the EU. Moreover, growth in the rest of Europe and China does not appear to be showing significant improvement. Thus, with U.S. growth outshining the rest of the developed world, the dollar is more likely to strengthen than weaken going forward. Consequently, real growth in U.S. exports has been revised down to 0.5 percent for 2016.

<b>U.S. ECONOMIC INDICATORS</b> (Percent change from prior calendar year)			
	2015 (Actual)	2016 (Forecast)	2017 (Forecast)
Real U.S. Gross Domestic Product	2.6	1.6	2.3
Consumer Price Index (CPI)	0.1	1.3	2.3
Personal Income	4.5	4.2	4.5
Nonagricultural Employment	2.1	1.7	1.4

Source: Moody's Analytics; DOB staff estimates.

Oil prices briefly rose above \$50 per barrel in early June, an almost doubling of its February 11th low of \$26. That increase was sufficient to induce modest increases in both foreign and domestic energy production, resulting in a small increase in the nation's oil rig count in June, the first in 10 months. However, fear that global growth may be too slow to absorb this increased supply has resulted in yet another dip in oil prices, which continue to hover close to \$40. This most recent decline likely shuts the door on any significant increase in investment related to energy mining and exploration. Equipment investment outside of the energy sector has also been exceedingly weak. DOB now estimates that non-residential fixed investment will contract 0.1 percent in 2016, representing a downward revision from the Enacted Budget forecast.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



Outside of energy costs, consumer prices related to shelter, medical care, and education have been on the rise. DOB now estimates consumer price inflation of 1.3 percent for 2016, marginally above the Enacted Budget forecast. But with a more subdued outlook for both domestic and global growth, inflation expectations are still expected to remain below the Federal Reserve Board's target rate over the medium-term. With persistently weak business investment spending, significant risks from the global economy, only modest improvement in wage growth, and the ten-year Treasury yield remaining stubbornly below 2 percent, the Federal Reserve is now likely to implement only one federal funds rate hike during 2016, most likely in December.

Although DOB calls for a subdued pace of growth going forward, there are still significant risks to this forecast. If the U.K. should fall into recession and global growth is even more sluggish than expected, slower export and corporate profits growth than reflected in this forecast could result and even weaker equity market growth could follow. If the labor market should slow more significantly and domestic demand decelerate further than anticipated, the current expansion's growth engine – the U.S. consumer – could run out of steam, compounding the drag from abroad. The U.S. presidential election continues to add yet one more layer of uncertainty. In contrast, if the actions of central banks around the globe to stimulate their economies, which have included negative interest rates and hopes for expanded use of fiscal policy, are more effective than expected, export, profits, and equity market growth could be stronger than projected. Finally, the response of both domestic and global financial markets to the unwinding of the Federal Reserve's unprecedentedly accommodative policies will continue to pose a risk, possibly resulting in a return of the extreme volatility observed in the first quarter of this year.

## The New York State Economy

New York private sector labor market growth continues to hold steady, despite a weak national and global backdrop. The most recent detailed data indicate continued robust growth in professional and business services, transportation and warehousing, construction and real estate services, education, and health care. As a result, DOB's estimate for private sector job growth for 2016 remains virtually unchanged at 1.6 percent. Total employment growth for 2016 also remains unchanged from the Enacted Budget forecast of 1.4 percent.

Continued strong job growth leaves non-bonus wage growth unchanged at 5.0 percent for FY 2016, the strongest since the start of the State's most recent recession. Equity market prices finally surpassed their May 2015 peak during July, but weakness in corporate earnings appears to have persisted through the second calendar-year quarter of 2016, which along with a subdued outlook for national and global economic growth, suggests very little further momentum for the remainder of the year. As a result, DOB's forecast continues to call for weak finance and insurance sector bonus growth of 3.7 percent for FY 2017, following a decline of 6.3 percent for FY 2016. Overall wage growth for FY 2017 remains at 4.3 percent. However, the expectation that equity markets will fail to generate any significant momentum going forward has resulted in a downward revision to taxable capital gains realizations for the 2016 tax year to a decline of 3.1 percent.



<b>NEW YORK STATE ECONOMIC INDICATORS</b> (Percent change from prior State fiscal year)			
	FY 2015 (Actual)	FY 2016 (Estimated)	FY 2017 (Forecast)
Personal Income	3.5	4.3	4.7
Wages	4.4	4.6	4.3
Nonagricultural Employment	1.9	1.8	1.3
Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.			

The performance of the State's private-sector labor market remains robust, but there are significant risks to the forecast. All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, both the volume of financial market activity and the volatility in equity markets pose a particularly large degree of uncertainty for New York. If equity market growth proves to be weaker than anticipated, bonus payouts for the 2016-17 bonus season could be much lower than anticipated. Moreover, under the still evolving regulatory environment, the pattern of Wall Street bonus payouts continues to shift, with payments now more widely dispersed throughout the year. Taxable payouts can represent both current-year awards and deferred payments from prior years, with the deferral ratio itself proving to be unstable. As a result, the uncertainty surrounding bonus projections remains substantial. Recent events also demonstrate how sensitive financial markets can be to shifting expectations surrounding energy prices, Federal Reserve policy, and global growth. The lead up to the central bank's December 2015 increase in its short-term interest rate target, the first in almost ten years, started a wave of volatility that resulted in a drop in equity market prices of more than 10 percent in the early part of this year. Such financial market gyrations are likely to have a larger impact on the State economy than on the nation as a whole. Should financial and real estate markets be either weaker or stronger than we expect, both bonuses and taxable capital gains realizations could be correspondingly affected.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Receipts

Financial Plan receipts include a variety of taxes, fees and assessments, charges for State-provided services, Federal grants, and other miscellaneous receipts, as well as collection of a payroll tax on businesses in the MTA region. The multi-year tax and miscellaneous receipts estimates are prepared by DOB with the assistance of the Department of Taxation and Finance (DTF) and other agencies which collect State receipts, and are predicated on economic analysis and forecasts.

Overall base growth in tax receipts is dependent on many factors. In general, base tax receipts growth rates are determined by economic changes including, but not limited to, changes in interest rates, prices, wages, employment, nonwage income, capital gains realizations, taxable consumption, corporate profits, household net worth, real estate prices and gasoline prices. Federal law changes can influence taxpayer behavior, which often alters base tax receipts. State taxes account for approximately half of total All Funds receipts.

The projections of Federal receipts generally correspond to the anticipated spending levels of a variety of programs including Medicaid, public assistance, mental hygiene, education, public health, and other activities, including extraordinary aid.

Where noted, certain tables in the following section display General Fund tax receipts that exclude amounts transferred to the General Fund in excess of amounts needed for certain debt service obligations (e.g., PIT receipts in excess of the amount transferred for debt service on revenue bonds).

All Funds receipts in FY 2017 are estimated at \$152.4 billion, 0.6 percent below FY 2016 results.

ALL FUNDS RECEIPTS (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
Personal Income Tax	47,055	48,864	3.8%	51,155	4.7%	51,134	0.0%	53,143	3.9%
Consumption/Use Taxes	15,725	16,125	2.5%	16,858	4.5%	17,445	3.5%	17,992	3.1%
Business Taxes	7,884	7,994	1.4%	8,323	4.1%	8,448	1.5%	8,863	4.9%
Other Taxes	2,703	2,183	-19.2%	2,175	-0.4%	2,191	0.7%	2,292	4.6%
Payroll Tax	1,306	1,336	2.3%	1,395	4.4%	1,462	4.8%	1,536	5.1%
<b>Total State Taxes</b>	<b>74,673</b>	<b>76,502</b>	<b>2.4%</b>	<b>79,906</b>	<b>4.4%</b>	<b>80,680</b>	<b>1.0%</b>	<b>83,826</b>	<b>3.9%</b>
Miscellaneous Receipts	27,268	24,092	-11.6%	25,918	7.6%	25,346	-2.2%	24,852	-1.9%
Federal Receipts	51,324	51,764	0.9%	52,773	1.9%	53,531	1.4%	54,888	2.5%
<b>Total All Fund Receipts</b>	<b>153,265</b>	<b>152,358</b>	<b>-0.6%</b>	<b>158,597</b>	<b>4.1%</b>	<b>159,557</b>	<b>0.6%</b>	<b>163,566</b>	<b>2.5%</b>

State tax receipts are expected to increase 2.4 percent in FY 2017. The increase in PIT receipts is primarily due to withholding growth and a decline in refunds, while the decline in other taxes is the result of one-time factors affecting FY 2016 and the continued phase-in of the estate tax cut. The miscellaneous receipts decline in FY 2017 is primarily due to the substantial decline in monetary settlement payments from financial institutions.

Consistent with the projected growth in the New York economy over the multi-year Financial Plan period beyond FY 2017, all tax categories are expected to exhibit growth. The other tax category is expected to display a near term decline due to tax cuts enacted in 2014, but is expected to resume growth in the long term.

After controlling for the impact of tax law changes, base tax revenue increased 5.4 percent in FY 2016, and is projected to increase by 2.7 percent in FY 2017 and 5.3 percent in FY 2018.

## Personal Income Tax

PERSONAL INCOME TAX (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>47,055</b>	<b>48,864</b>	<b>3.8%</b>	<b>51,155</b>	<b>4.7%</b>	<b>51,134</b>	<b>0.0%</b>	<b>53,143</b>	<b>3.9%</b>
Gross Collections	56,600	57,940	2.4%	61,295	5.8%	62,141	1.4%	65,491	5.4%
Refunds (Incl. State/City Offset)	(9,545)	(9,076)	4.9%	(10,140)	-11.7%	(11,007)	-8.6%	(12,348)	-12.2%
<b>GENERAL FUND<sup>1</sup></b>	<b>31,957</b>	<b>33,420</b>	<b>4.6%</b>	<b>35,389</b>	<b>5.9%</b>	<b>35,429</b>	<b>0.1%</b>	<b>36,988</b>	<b>4.4%</b>
Gross Collections	56,600	57,940	2.4%	61,295	5.8%	62,141	1.4%	65,491	5.4%
Refunds (Incl. State/City Offset)	(9,545)	(9,076)	4.9%	(10,140)	-11.7%	(11,007)	-8.6%	(12,348)	-12.2%
STAR	(3,335)	(3,228)	3.2%	(2,977)	7.8%	(2,921)	1.9%	(2,869)	1.8%
RBTF	(11,763)	(12,216)	-3.9%	(12,789)	-4.7%	(12,784)	0.0%	(13,286)	-3.9%

<sup>1</sup>Excludes Transfers.

All Funds PIT receipts for FY 2017 are estimated to be \$48.9 billion, an increase of \$1.8 billion (3.8 percent) from FY 2016 results. This increase includes growth in withholding, final returns, and delinquency collections, coupled with a moderate decline in total refunds related to the decrease of the administrative refund cap in January to March 2017. Growth in these categories is partially offset by declines in extension payments attributable to the 2015 tax year and estimated payments related to the 2016 tax year.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



The following table summarizes, by component, actual receipts for FY 2016 and forecast amounts through FY 2020.

PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS					
ALL FUNDS					
(millions of dollars)					
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Updated	Projected	Projected	Projected
<b>Receipts</b>					
Withholding	36,549	38,356	39,802	41,056	43,158
Estimated Payments	16,111	15,506	17,205	16,594	17,644
Current Year	11,561	11,445	12,559	11,502	12,552
Prior Year <sup>1</sup>	4,550	4,061	4,646	5,092	5,092
Final Returns	2,630	2,720	2,891	3,034	3,168
Current Year	269	280	292	292	292
Prior Year <sup>1</sup>	2,361	2,440	2,599	2,742	2,876
Delinquent	1,310	1,358	1,397	1,457	1,521
Gross Receipts	56,600	57,940	61,295	62,141	65,491
<b>Refunds</b>					
Prior Year <sup>1</sup>	5,130	5,037	6,366	6,608	7,556
Previous Years	618	718	689	714	744
Current Year <sup>1</sup>	2,551	1,750	1,750	1,750	1,750
Advanced Credit Payment	571	883	647	1,247	1,709
State/City Offset <sup>1</sup>	675	688	688	688	589
Total Refunds	9,545	9,076	10,140	11,007	12,348
<b>Net Receipts</b>	<b>47,055</b>	<b>48,864</b>	<b>51,155</b>	<b>51,134</b>	<b>53,143</b>

<sup>1</sup>These components, collectively, are known as the "settlement" on the prior year's tax liability.

Withholding in FY 2017 is estimated to be \$1.8 billion (4.9 percent) higher than FY 2016 results, due mainly to moderate estimated wage growth. Extension payments related to tax year 2015 are estimated to decrease by \$489 million (10.7 percent), primarily due to payment-timing differences relative to tax year 2014 payments (taxpayers paid a higher percentage of their tax year 2015 liability through estimated payments and a lower percentage through extensions). Estimated payments for tax year 2016 are projected to be \$116 million (1 percent) lower, primarily due to the combination of a decline in net capital gain income and a correction for overpayment of tax year 2015-related estimate payments. Final return payments and delinquencies are projected to be \$90 million (3.4 percent) higher and \$48 million (3.7 percent) higher than FY 2016 results, respectively.

The projected decrease in total refunds of \$469 million (4.9 percent) includes a \$93 million decline (1.8 percent) in prior (tax year 2015) refunds, a \$100 million (16.2 percent) increase in previous (tax year 2014 and earlier) refunds, an \$801 million (31.4 percent) decline in current (tax year 2016) refunds (due to a decrease in the January to March 2017 administrative refund cap), a \$312 million (54.6 percent) increase in advanced credit payments related to tax year 2016, and a \$13 million (1.9 percent) increase in the state-city offset. The advanced credit payment forecast includes \$98 million in payments attributable to the conversion of the STAR homeowners' benefit to a PIT credit.

General Fund PIT receipts are net of deposits to the STAR Fund, which provides property tax relief, and the Revenue Bond Tax Fund (RBTF), which supports debt service payments on State PIT revenue bonds. General Fund PIT receipts for FY 2017 of \$33.4 billion are estimated to increase by \$1.5 billion (4.6 percent) from FY 2016 results, mainly reflecting the increase in All Funds receipts noted above. RBTF deposits are projected to be \$12.2 billion and the STAR transfer is projected to be \$3.2 billion.

All Funds PIT receipts for FY 2018 of \$51.2 billion are projected to increase by \$2.3 billion (4.7 percent) from FY 2017 estimates. Gross receipts are projected to increase 5.8 percent, reflecting withholding that is projected to grow by \$1.4 billion (3.8 percent) and estimated payments related to tax year 2017 that are projected to grow by \$1.1 billion (9.7 percent). The relatively weak growth in withholding is attributable to the combination of the newly-enacted middle income tax cuts and the scheduled decline of the current top marginal tax rate from 8.82 percent to 6.85 percent, both effective for tax year 2018. Payments from extensions for tax year 2016 are projected to increase by \$585 million (14.4 percent) and final returns are expected to increase \$171 million (6.3 percent). Delinquencies are projected to increase \$39 million (2.9 percent) from the prior year. Total refunds are projected to increase by \$1.1 billion (11.7 percent) from the prior year. The aforementioned figures include a \$236 million reduction in withholding attributable to the PIT rate reductions, and a \$281 million increase in total refunds attributable to the STAR program changes included with the FY 2017 Enacted Budget legislation.

General Fund PIT receipts for FY 2018 of \$35.4 billion are projected to increase by \$2 billion (5.9 percent). RBTF deposits are projected to be \$12.8 billion, and the STAR transfer is projected to be \$3 billion.

All Funds PIT receipts in FY 2019 are projected to decrease by \$21 million to \$51.1 billion, while General Fund PIT receipts are projected to total \$35.4 billion. Projected near-flat growth in FY 2019 receipts is due to the aforementioned expiration of the current top income tax rate at the end of tax year 2017, combined with continued phase-in of the just enacted middle income tax cuts. Legislation included in the FY 2017 Enacted Budget is projected to reduce FY 2019 collections by \$1.7 billion.

All Funds income tax receipts are projected to increase by \$2 billion (3.9 percent) in FY 2020 to reach \$53.1 billion, while General Fund receipts are projected to total \$37 billion.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Consumption/Use Taxes

CONSUMPTION/USE TAXES (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>15,725</b>	<b>16,125</b>	<b>2.5%</b>	<b>16,858</b>	<b>4.5%</b>	<b>17,445</b>	<b>3.5%</b>	<b>17,992</b>	<b>3.1%</b>
Sales Tax	13,359	13,866	3.8%	14,567	5.1%	15,186	4.2%	15,772	3.9%
Cigarette and Tobacco Taxes	1,251	1,226	-2.0%	1,192	-2.8%	1,151	-3.4%	1,105	-4.0%
Motor Fuel Tax	503	494	-1.8%	491	-0.6%	486	-1.0%	483	-0.6%
Highway Use Tax	158	84	-46.8%	138	64.3%	140	1.4%	141	0.7%
Alcoholic Beverage Taxes	255	258	1.2%	263	1.9%	268	1.9%	273	1.9%
Medical Marihuana Excise Tax	0	4	0.0%	4	0.0%	4	0.0%	4	0.0%
Taxicab Surcharge	73	65	-11.0%	65	0.0%	65	0.0%	65	0.0%
Auto Rental Tax	126	128	1.6%	138	7.8%	145	5.1%	149	2.8%
<b>GENERAL FUND<sup>1</sup></b>	<b>6,819</b>	<b>7,085</b>	<b>3.9%</b>	<b>7,421</b>	<b>4.7%</b>	<b>7,709</b>	<b>3.9%</b>	<b>7,979</b>	<b>3.5%</b>
Sales Tax	6,242	6,479	3.8%	6,813	5.2%	7,106	4.3%	7,382	3.9%
Cigarette and Tobacco Taxes	322	348	8.1%	345	-0.9%	335	-2.9%	324	-3.3%
Alcoholic Beverage Taxes	255	258	1.2%	263	1.9%	268	1.9%	273	1.9%

<sup>1</sup>Excludes Transfers.

All Funds consumption/use tax receipts for FY 2017 are estimated to be \$16.1 billion, an increase of \$400 million (2.5 percent) from FY 2016 results. Sales tax receipts are estimated to increase \$507 million (3.8 percent) from the prior year, resulting from 3.8 percent base (i.e., absent law changes) growth. This base growth stems from estimated moderate disposable income, employment, and consumption growth. The estimate has been reduced to account for agreements between certain mobile telecommunications providers and the State to allow such providers to remit less sales tax for a period in lieu of receiving State refunds due to them under Tax Law Section 184. These agreements resulted from acknowledgement by DTF that a mobile telecommunications provider was not subject to the Tax Law Section 184 franchise tax imposed on them between 2005 and 2014. The estimate has also been reduced by \$5 million to reflect legislation enacted post-Budget that exempts feminine hygiene products from the sales and use tax. Cigarette and tobacco tax collections are estimated to decline \$25 million (2 percent), primarily reflecting trend declines in taxable cigarette consumption, partially offset by a decrease in cigar tax refunds resulting in part from a nonbinding Administrative Law Judge Determination (Matter of Davidoff of Geneva, Inc.). Highway use tax collections are expected to decrease by \$74 million (46.8 percent) due to refunds resulting from the Independent Owner Operator Drivers Association v. New York Department of Taxation and Finance court decision as well as a reduction in continuing registration fees resulting from the same litigation. Motor fuel tax collections are expected to decrease \$9 million (1.8 percent), reflecting an expected increase in refunds combined with a slight decline in taxable motor fuel consumption, partially offset by slight growth in diesel consumption. Taxicab surcharge receipts are estimated to decline by \$8 million (11 percent) as the result of consumers choosing alternative transportation services not subject to the surcharge.

General Fund sales and use tax receipts are net of deposits to the Local Government Assistance Tax Fund (25 percent), and the Sales Tax Revenue Bond Fund (25 percent), which support debt service payments on State sales and use tax revenue bonds. Receipts in excess of the debt service requirements of the funds and the local assistance payments to New York City, or its assignee, are transferred back to the General Fund.



# State Financial Plan Projections Fiscal Years 2017 Through 2020

General Fund consumption/use tax receipts for FY 2017 are estimated to total nearly \$7.1 billion, an increase of \$266 million (3.9 percent) from FY 2016 results. This increase largely reflects the All Funds sales, cigarette, and tobacco tax trends noted previously.

All Funds consumption/use tax receipts for FY 2018 are projected to be \$16.9 billion, an increase of \$733 million (4.5 percent) from the current year. The projected \$701 million (5.1 percent) increase in sales tax receipts reflects sales tax base growth of 4.2 percent, due to projected disposable income, employment, and consumption growth. The aforementioned legislation exempting feminine hygiene products is expected to reduce receipts by \$7 million.

General Fund consumption/use tax receipts are projected to total \$7.4 billion in FY 2018, a \$336 million (4.7 percent) increase from the current year. The projected increase largely reflects the All Funds sales, cigarette, and tobacco tax trends noted above.

All Funds consumption/use tax receipts are projected to increase to \$17.4 billion (3.5 percent growth) in FY 2019 and to \$18 billion (3.1 percent growth) in FY 2020, largely representing base growth in sales tax receipts, offset slightly by trend declines in cigarette tax collections.

General Fund consumption/use tax receipts are projected to total \$7.7 billion (3.9 percent growth) in FY 2019 and nearly \$8 billion (3.5 percent growth) in FY 2020, reflecting the All Funds trends noted above.

## Business Taxes

BUSINESS TAXES (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>7,884</b>	<b>7,994</b>	<b>1.4%</b>	<b>8,323</b>	<b>4.1%</b>	<b>8,448</b>	<b>1.5%</b>	<b>8,863</b>	<b>4.9%</b>
Corporate Franchise Tax	4,527	4,483	-1.0%	4,780	6.6%	4,822	0.9%	5,222	8.3%
Corporation and Utilities Tax	774	738	-4.7%	732	-0.8%	744	1.6%	754	1.3%
Insurance Tax	1,580	1,477	-6.5%	1,572	6.4%	1,701	8.2%	1,784	4.9%
Bank Tax	(121)	203	N/A	190	-6.4%	143	-24.7%	71	-50.3%
Petroleum Business Tax	1,124	1,093	-2.8%	1,049	-4.0%	1,038	-1.0%	1,032	-0.6%
<b>GENERAL FUND</b>	<b>5,647</b>	<b>5,750</b>	<b>1.8%</b>	<b>6,078</b>	<b>5.7%</b>	<b>6,155</b>	<b>1.3%</b>	<b>6,538</b>	<b>6.2%</b>
Corporate Franchise Tax	3,763	3,688	-2.0%	3,950	7.1%	3,949	0.0%	4,312	9.2%
Corporation and Utilities Tax	594	568	-4.4%	559	-1.6%	563	0.7%	569	1.1%
Insurance Tax	1,419	1,321	-6.9%	1,407	6.5%	1,521	8.1%	1,597	5.0%
Bank Tax	(129)	173	234.1%	162	-6.4%	122	-24.7%	60	-50.8%
Petroleum Business Tax	0	0	0.0%	0	0.0%	0	0.0%	0	0.0%

All Funds business tax receipts for FY 2017 are estimated at \$8 billion, an increase of \$110 million (1.4 percent) from FY 2016 results. The estimate primarily reflects an increase in the bank tax of \$324 million, partially offset by a combined decrease of \$214 million among all other business taxes.



# State Financial Plan Projections

## Fiscal Years 2017 Through 2020



Corporation franchise tax receipts are estimated to decrease \$44 million (1 percent) in FY 2017, reflecting additional elements of corporate tax reform (a reduction in the business income tax rate from 7.1 percent to 6.5 percent and the first year of the capital tax base phase-out). Offsetting the majority of this reduction is an increase in expected audit receipts of \$454 million.

Corporation and utilities tax receipts are expected to decrease \$36 million (4.7 percent) in FY 2017. Gross receipts are expected to increase from FY 2016 results, while audits are expected to decline. In FY 2016 several telecommunication audit cases were closed. This is not expected to recur in FY 2017.

Insurance tax receipts for FY 2017 are expected to decrease \$103 million (6.5 percent) from FY 2016 results. Projected growth in insurance tax premiums and a positive prior period adjustment resulting from the resolution of an IRS case is more than offset by the first full year impact of the tax credit for assessments paid to the Life Insurance Guaranty Corporation (LIGC). It is expected that taxpayers will lower their 2016 estimated payments to reflect this non-refundable tax credit. The LIGC exists to protect policyholders from the insolvency of their insurers. Audits and refunds are expected to reflect historical trends.

Receipts from the repealed bank tax (all from prior liability periods) are estimated to increase by \$324 million in FY 2017. The increase stems from an estimated reduction in prior period adjustments. Audit receipts are estimated to increase by \$17 million from FY 2016 results.

Petroleum Business Tax (PBT) receipts are estimated to decrease \$31 million (2.8 percent) in FY 2017, primarily due to the 5 percent decrease in the PBT rate index effective January 2016, and the estimated 5 percent decrease effective January 2017 and an estimated minor decline in taxable motor fuel consumption. These declines are partially offset by estimated slight growth in diesel fuel consumption.

General Fund business tax receipts for FY 2017 of \$5.8 billion are estimated to increase \$103 million (1.8 percent) from FY 2016 results, reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2018 of \$8.3 billion are projected to increase by \$329 million (4.1 percent) from the current year. The increase in corporation franchise tax receipts of \$297 million (6.6 percent) reflects projected growth in corporate profits following nearly full implementation of corporate tax reform changes. The corporation and utilities tax receipts decline of \$6 million (0.8 percent) reflects lower telecommunications receipts partially offset by a modest increase in utility tax revenue.

Insurance tax receipts are projected to increase \$95 million (6.4 percent). Projected growth in insurance tax premiums combined with lower expected LIGC credit claims contribute to year-over-year growth. Bank tax receipts are projected to decrease by \$13 million (6.4 percent), due to lower projected audit receipts. PBT receipts are projected to decline \$44 million (4 percent) in FY 2018, primarily due to the projected 5 percent decrease in the PBT rate index effective January 2017 noted above and projected modest declines in taxable motor fuel consumption, partially offset by projected growth in diesel fuel consumption.



General Fund business tax receipts for FY 2018 of \$6.1 billion are projected to increase \$328 million (5.7 percent), reflecting the All Funds trends discussed above.

All Funds business tax receipts for FY 2019 and FY 2020 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. All Funds business tax receipts are projected to increase to over \$8.4 billion (1.5 percent growth) in FY 2019, and increase to \$8.9 billion (4.9 percent growth) in FY 2020. General Fund business tax receipts are expected to increase to \$6.2 billion (1.3 percent growth) in FY 2019 and \$6.5 billion (6.2 percent growth) in FY 2020.

## Other Taxes

OTHER TAXES (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Proposed	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE/ALL FUNDS</b>	<b>2,703</b>	<b>2,183</b>	<b>-19.2%</b>	<b>2,175</b>	<b>-0.4%</b>	<b>2,191</b>	<b>0.7%</b>	<b>2,292</b>	<b>4.6%</b>
Estate Tax	1,521	1,024	-32.7%	950	-7.2%	912	-4.0%	963	5.6%
Real Estate Transfer Tax	1,163	1,138	-2.1%	1,204	5.8%	1,258	4.5%	1,308	4.0%
Pari-Mutuel Taxes	17	18	5.9%	18	0.0%	18	0.0%	18	0.0%
All Other Taxes	2	3	50.0%	3	0.0%	3	0.0%	3	0.0%
<b>GENERAL FUND<sup>1</sup></b>	<b>1,540</b>	<b>1,045</b>	<b>-32.1%</b>	<b>971</b>	<b>-7.1%</b>	<b>933</b>	<b>-3.9%</b>	<b>984</b>	<b>5.5%</b>
Estate Tax	1,521	1,024	-32.7%	950	-7.2%	912	-4.0%	963	5.6%
Pari-Mutuel Taxes	17	18	5.9%	18	0.0%	18	0.0%	18	0.0%
All Other Taxes	2	3	50.0%	3	0.0%	3	0.0%	3	0.0%

<sup>1</sup>Excludes Transfers.

All Funds other tax receipts for FY 2017 are estimated to be slightly below \$2.2 billion, a \$520 million (19.2 percent) decrease from FY 2016 results. This largely reflects an estimated decline in estate tax receipts of \$497 million (32.7 percent) from the continued phase-in of the increased filing threshold, and an expected decline in the number of super large payments (i.e., payments over \$25 million) to historical levels. Additionally, real estate transfer tax receipts are projected to decrease \$25 million (2.1 percent) due to the combination of a small estimated decrease in the volume of transactions in New York City and a large estimated decrease in housing starts statewide, partially offset by year-over-year price growth. The transaction decline is partially due to a building permit shift from FY 2017 into FY 2016 caused by the uncertainty that surrounded the extension of New York City property tax abatement legislation.

General Fund other tax receipts are expected to be slightly above \$1 billion in FY 2017, a \$495 million (32.1 percent) decrease from FY 2016 results, reflecting the decrease in estate tax receipts noted above.

All Funds other tax receipts for FY 2018 are projected to be just under \$2.2 billion, an \$8 million (0.4 percent) decrease. Estate tax receipts are projected to decrease by \$74 million (7.2 percent) reflecting the continued phase-in of the increased filing threshold, partially offset by projected growth in household net worth. Real estate transfer tax receipts are projected to increase by \$66 million (5.8 percent), reflecting projected growth in housing starts and prices.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



General Fund other tax receipts for FY 2018 are projected to decrease by \$74 million (7.1 percent), due to the projected decline in estate tax receipts noted above.

All Funds other tax receipts for FY 2019 and FY 2020 reflect projected trends in household net worth, housing starts, housing prices and changes in the estate tax filing threshold. The incremental impact of the filing threshold change ends after FY 2019. All Funds other tax receipts are projected to increase by \$16 million (0.7 percent) in FY 2019, and by \$101 million (4.6 percent) in FY 2020. General Fund other tax receipt estimates for FY 2019 are projected to decrease by 3.9 percent and increase by 5.5 percent in FY 2020, respectively, due to the final change in the estate tax filing threshold affecting FY 2019.

## Miscellaneous Receipts and Federal Grants

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, tribal-state compact revenue, monetary settlements and a variety of fees and licenses.

MISCELLANEOUS RECEIPTS (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>ALL FUNDS</b>	<b>27,268</b>	<b>24,092</b>	<b>-11.6%</b>	<b>25,918</b>	<b>7.6%</b>	<b>25,346</b>	<b>-2.2%</b>	<b>24,852</b>	<b>-1.9%</b>
General Fund	5,842	2,826	-51.6%	2,486	-12.0%	2,455	-1.2%	2,335	-4.9%
Special Revenue Funds	17,117	16,092	-6.0%	15,840	-1.6%	16,063	1.4%	15,900	-1.0%
Capital Projects Funds	3,822	4,719	23.5%	7,127	51.0%	6,367	-10.7%	6,158	-3.3%
Debt Service Funds	487	455	-6.6%	465	2.2%	461	-0.9%	459	-0.4%

All Funds miscellaneous receipts are projected to total \$24.1 billion in FY 2017, a decrease of 11.6 percent from FY 2016 results. This decrease is primarily due to the impact of extraordinary monetary settlements received in the General Fund during FY 2016, as described earlier in this Financial Plan. In addition to the impact of monetary settlements, declining FY 2017 miscellaneous receipts are driven in part by year-to-year variations in health care surcharges and other HCRA resources, bond proceeds, and tuition income revenue.

All Funds miscellaneous receipts are projected to increase in FY 2018, largely reflecting the expected timing of bond proceed reimbursement for capital expenditures, and remain relatively flat in FY 2019 and FY 2020.

FEDERAL GRANTS (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>ALL FUNDS</b>	<b>51,324</b>	<b>51,764</b>	<b>0.9%</b>	<b>52,773</b>	<b>1.9%</b>	<b>53,531</b>	<b>1.4%</b>	<b>54,888</b>	<b>2.5%</b>
General Fund	0	1	0.0%	1	0.0%	1	0.0%	1	0.0%
Special Revenue Funds	49,105	49,528	0.9%	50,606	2.2%	51,366	1.5%	52,667	2.5%
Capital Projects Funds	2,146	2,162	0.7%	2,093	-3.2%	2,091	-0.1%	2,147	2.7%
Debt Service Funds	73	73	0.0%	73	0.0%	73	0.0%	73	0.0%

Aid from the Federal government helps to pay for a variety of programs including Medicaid, public assistance, mental hygiene, school aid, public health, transportation, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically projects Federal reimbursements will be received in the State fiscal year in which spending occurs, but due to the variable timing of Federal grant receipts, actual results often differ from the projections.

All Funds Federal grants are expected to grow to \$54.9 billion by FY 2020, primarily reflecting the continuation of growth in Federal Medicaid spending related to Federal health care transformation initiatives, partly offset by the projected phase-down of Federal disaster assistance aid. All Federal receipts are subject to continuing administration and Congressional authorization, appropriations and budget action.

## Disbursements

Total disbursements in FY 2017 are estimated at \$71.1 billion in the State's General Fund (including transfers) and \$96.2 billion in total State Operating Funds. School Aid, Medicaid, pensions, debt service, and health benefits are significant drivers of annual spending growth.

The multi-year disbursements projections take into account various factors, including statutorily-indexed rates, agency staffing levels, program caseloads, inflation, and funding formulas contained in State and Federal law. Factors that affect spending estimates vary by program. For example, public assistance spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections also account for the timing of payments, since not all of the amounts appropriated pursuant to an Enacted Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in State Special Revenue Funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time. A corresponding downward adjustment is also made to miscellaneous receipts.

## Local Assistance Grants

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. Local assistance spending in State Operating Funds is estimated at \$64.8 billion in FY 2017, approximately two-thirds of total State Operating Funds spending. Education and health care spending account for nearly three-quarters of State Operating Funds local assistance spending.

Certain major factors considered in preparing the spending projections for the State's major local assistance programs and activities are summarized below.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
(millions of dollars)					
	FY 2016 Results	FY 2017 Updated	Forecast		
			FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>MEDICAID</b>					
Individuals Covered	6,168,006	6,320,438	6,408,439	6,451,522	6,474,592
- Essential Plan	468,370	472,815	476,091	479,390	482,711
- Child Health Plus (Caseload)	275,854	281,516	283,205	284,904	286,614
State Takeover of County/NYC Costs	\$2,031	\$2,360	\$2,680	\$2,989	\$3,287
<b>EDUCATION</b>					
SY School Aid (Funding)	\$23,290	\$24,797	\$25,906	\$27,219	\$28,599
<b>HIGHER EDUCATION</b>					
Public Higher Education Enrollment (FTEs)	573,555	573,555	N/A	N/A	N/A
Tuition Assistance Program (Recipients)	301,554	301,869	N/A	N/A	N/A
<b>PUBLIC ASSISTANCE</b>					
Family Assistance Program (Caseload)	243,642	238,388	235,591	232,955	230,355
Safety Net Program (Families)	117,682	115,259	113,865	112,561	111,278
Safety Net Program (Singles)	203,114	203,512	203,920	206,266	208,355
<b>Total Mental Hygiene Community Beds</b>	<b>98,323</b>	<b>101,541</b>	<b>104,790</b>	<b>108,056</b>	<b>109,117</b>
- OMH Community Beds	42,151	44,323	46,716	49,166	49,366
- OPWDD Community Beds	42,314	43,144	43,934	44,709	45,520
- OASAS Community Beds	13,858	14,074	14,140	14,181	14,231
<b>PRISON POPULATION (CORRECTIONS)</b>	<b>52,800</b>	<b>52,000</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Education

### School Aid

School Aid helps support elementary and secondary education for New York pupils enrolled in the 674 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses such as prekindergarten programs, education of homeless children, and bilingual education. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

#### School Year (July 1 – June 30)

School Aid is expected to increase by \$1.51 billion (6.5 percent) in SY 2017. This increase includes \$627 million for additional Foundation Aid and \$434 million for full restoration of the Gap Elimination Adjustment (GEA) for all 674 school districts. In total, \$175 million is provided to facilitate the transformation of schools in high-need districts into community hubs offering expanded services to children and their families, including \$100 million as a set-aside within Foundation Aid and \$75 million in new Community Schools Grants. The latter will be awarded to school districts with failing and persistently failing schools, based on a plan developed by SED, to support the operating and capital costs associated with the conversion of such schools into community schools. In addition, another \$344 million supports increased reimbursement in expense-based aid programs such as transportation, Boards of Cooperative Educational Services (BOCES), school construction, and other miscellaneous aid categories.

The Financial Plan also includes \$28 million for new competitive grants, including \$22 million to expand prekindergarten access for three-year-old children. In addition, the Updated Financial Plan reflects the continuation of \$340 million in recurring annual funding to support the statewide Universal Full-Day Prekindergarten program.

School Aid is projected to increase by an additional \$1.11 billion (4.5 percent) in SY 2018, consistent with the Personal Income Growth Index in statute. Actual School Aid increases approved by the Legislature have exceeded the index in the current and each of the last three school years.

SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)									
(millions of dollars)									
	SY 2016	SY 2017	Change	SY 2018	Change	SY 2019	Change	SY 2020	Change
Total	23,290	24,797	1,507	25,906	1,109	27,219	1,313	28,599	1,380
			6.5%		4.5%		5.1%		5.1%

School year values reflected in table do not include aid for Statewide Universal Full-Day Prekindergarten programs.

## State Fiscal Year

The State finances School Aid from General Fund and Lottery Fund receipts, including video lottery terminals (VLTs), which are accounted for and disbursed from a dedicated account. Because the State fiscal year begins on April 1, the State typically pays approximately 70 percent of the annual school year commitment during the State fiscal year in which the related Budget is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected sources of spending on a State fiscal year basis.

SCHOOL AID - STATE FISCAL YEAR BASIS (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>23,302</b>	<b>24,422</b>	<b>4.8%</b>	<b>25,898</b>	<b>6.0%</b>	<b>27,196</b>	<b>5.0%</b>	<b>28,555</b>	<b>5.0%</b>
General Fund Local Assistance	20,133	21,101	4.8%	22,579	7.0%	23,896	5.8%	25,211	5.5%
Core Lottery Aid	2,219	2,360	6.4%	2,343	-0.7%	2,262	-3.5%	2,254	-0.4%
VLT Lottery Aid	950	961	1.2%	886	-7.8%	867	-2.1%	893	3.0%
Commercial Gaming - VLT Offset	0	0	0.0%	71	0.0%	89	25.4%	63	-29.2%
Commercial Gaming	0	0	0.0%	19	0.0%	82	331.6%	134	63.4%

State fiscal year spending for School Aid is projected to total \$24.4 billion in FY 2017. In future years, receipts available to finance this category of aid from core lottery sales are projected to decline. In addition to State aid, school districts receive more than \$3 billion annually in Federal aid.

It is expected that State aid payments for School Aid will be supplemented by commercial gaming revenues, beginning in FY 2017. Three casino resorts were recommended by the State's Gaming Facility Location Board (the "Location Board") in December 2014, and approved by the State Gaming Commission in December 2015. A fourth casino was recommended by the Location Board in October 2015. In the event that casino revenue resources do not materialize at the level expected, or as timely as expected, then the additional School Aid to be funded from casino revenue resources becomes a General Fund obligation. It is expected that the four casinos will be operational in FY 2018.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Other Education Funding

In addition to School Aid, the State provides funding and support for various other education-related programs. These include: special education services; programs administered by the Office of Prekindergarten through Grade 12 education; cultural education; higher and professional education programs; and adult career and continuing education services.

OTHER EDUCATION (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
TOTAL STATE OPERATING FUNDS	2,085	2,328	11.7%	2,390	2.7%	2,520	5.4%	2,626	4.2%
Special Education	1,317	1,437	9.1%	1,540	7.2%	1,657	7.6%	1,784	7.7%
All Other Education	768	891	16.0%	850	-4.6%	863	1.5%	842	-2.4%

The State helps fund special education services for approximately 500,000 students with disabilities, from ages 3 to 21. Major programs under the Office of Prekindergarten through Grade 12 address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, after-school programs and other educational grant programs. Cultural education includes aid for operating expenses for the major cultural institutions of the State Archives, the State Library, and the State Museum as well as support for the Office of Educational Television and Public Broadcasting. Higher and professional education programs monitor the quality and availability of post-secondary education programs, and license and regulate over 50 professions. Adult career and continuing education services focus on the education and employment needs of the State’s adult citizens, ensuring that such individuals have access to a “one-stop” source for all their employment needs, and are made aware of the full range of services available in other agencies.

Special Education spending growth in FY 2017 is primarily the result of lower-than-expected preschool special education claims submitted during FY 2016, as well as rate increases given to private special education providers. The increase in All Other Education spending in FY 2017 is driven primarily by supplemental State payments to charter schools, investments in new programs such as the My Brother's Keeper initiative, increased funding for existing programs including nonpublic schools and higher education opportunity programs, and one-time costs associated with targeted aid and grants.

In FY 2018, the decrease in projected spending for all other education is primarily attributable to the expiration of a two-year appropriation provided to nonpublic schools to reimburse them for State-mandated services provided in prior years. However, this decrease is offset by projected increases in State reimbursement for special education programs, which are expected to continue to drive outyear growth.



## STAR Program

The STAR program provides school tax relief to taxpayers by exempting the first \$30,000 of every eligible homeowner's property value from the local school tax levy. It is expected that lower-income senior citizens will receive a \$65,300 exemption in FY 2017. The DTF oversees local property assessment administration, and is responsible for establishing STAR property tax exemption amounts.

The three components of STAR and their approximate share of total spending in FY 2017 are: the basic school property tax exemption for homeowners with income under \$500,000 (54 percent); the enhanced school property tax exemption for senior citizen homeowners with incomes under \$84,550 (29 percent); and a flat refundable credit and rate reduction for income-eligible resident New York City personal income taxpayers (17 percent).

Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues. The STAR program will gradually shift from a spending program into a refundable pre-paid PIT credit, with this change applying to first-time homebuyers and to homeowners who move. Further reductions in STAR spending will be achieved by the conversion of the New York City PIT STAR credit into a New York State PIT credit. These changes have no impact on the STAR benefits received by homeowners.

SCHOOL TAX RELIEF (STAR)									
(millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>3,335</b>	<b>3,228</b>	<b>-3.2%</b>	<b>2,977</b>	<b>-7.8%</b>	<b>2,921</b>	<b>-1.9%</b>	<b>2,869</b>	<b>-1.8%</b>
Basic Exemption	1,774	1,756	-1.0%	1,708	-2.7%	1,667	-2.4%	1,624	-2.6%
Enhanced (Seniors)	943	943	0.0%	916	-2.9%	895	-2.3%	872	-2.6%
New York City PIT	618	529	-14.4%	353	-33.3%	359	1.7%	373	3.9%

The following table illustrates total savings that result from the STAR tax credit conversions, after accounting for the impact of the estimated State PIT receipts.

STAR CONVERSION CREDIT				
SAVINGS/(COSTS)				
(millions of dollars)				
	FY 2017	FY 2018	FY 2019	FY 2020
<b>CONVERSION OF NEW YORK CITY PIT STAR CREDIT TO A STATE PIT CREDIT:</b>				
PIT Receipts	0	(87)	(284)	(286)
STAR Spending	87	284	286	286
<b>STAR BENEFIT INTO A TAX CREDIT FOR NEW HOMEOWNERS:</b>				
PIT Receipts	(98)	(194)	(290)	(385)
STAR Spending	98	194	290	385
<b>NET FINANCIAL PLAN IMPACT</b>	<b>87</b>	<b>197</b>	<b>2</b>	<b>0</b>

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## Higher Education

Local assistance for higher education spending includes funding for CUNY, SUNY, and the Higher Education Services Corporation (HESC).

HIGHER EDUCATION (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,955</b>	<b>3,009</b>	<b>1.8%</b>	<b>3,097</b>	<b>2.9%</b>	<b>3,158</b>	<b>2.0%</b>	<b>3,195</b>	<b>1.2%</b>
<b>City University</b>	<b>1,429</b>	<b>1,454</b>	<b>1.7%</b>	<b>1,486</b>	<b>2.2%</b>	<b>1,527</b>	<b>2.8%</b>	<b>1,553</b>	<b>1.7%</b>
Senior Colleges	1,198	1,206	0.7%	1,243	3.1%	1,285	3.4%	1,311	2.0%
Community College	231	248	7.4%	243	-2.0%	242	-0.4%	242	0.0%
<b>Higher Education Services</b>	<b>1,025</b>	<b>1,046</b>	<b>2.0%</b>	<b>1,103</b>	<b>5.4%</b>	<b>1,123</b>	<b>1.8%</b>	<b>1,135</b>	<b>1.1%</b>
Tuition Assistance Program	966	956	-1.0%	991	3.7%	994	0.3%	994	0.0%
Scholarships/Awards	47	78	66.0%	100	28.2%	117	17.0%	129	10.3%
Aid for Part-Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
<b>State University</b>	<b>501</b>	<b>509</b>	<b>1.6%</b>	<b>508</b>	<b>-0.2%</b>	<b>508</b>	<b>0.0%</b>	<b>507</b>	<b>-0.2%</b>
Community College	496	504	1.6%	503	-0.2%	503	0.0%	502	-0.2%
Other/Cornell	5	5	0.0%	5	0.0%	5	0.0%	5	0.0%

SUNY and CUNY administer 47 four-year colleges and graduate schools with a total enrollment of 396,000 full- and part-time students. SUNY and CUNY also operate 37 community colleges, serving 333,000 students. State funds are used to support a significant portion of SUNY and CUNY operations, including employee fringe benefit costs. The State also provides a sizeable benefit to CUNY and SUNY through the debt service it pays on bond-financed capital projects at the universities. State debt service payments for capital projects at SUNY and CUNY are expected to total about \$1.2 billion in FY 2017 (not reflected in annual spending totals for the universities).

HESC administers TAP, which provides financial awards to income-eligible students. It also provides centralized processing for other student financial aid programs, and offers prospective students information and guidance on how to finance a college education. The financial aid programs that HESC administers are funded by the State and the Federal governments.

In total, State Operating Funds local assistance spending is projected to increase by 2.6 percent from FY 2016 to FY 2017. This increase is distributed across SUNY, CUNY, and HESC programs and operations. Additional outyear growth is projected to be driven by spending in student financial assistance programs, largely the result of increasing enrollment in recent scholarship initiatives such as Science, Technology, Engineering and Math (STEM) and the Get On Your Feet Loan Forgiveness Program. CUNY Senior College spending is also projected to grow in the outyears due to employee benefits costs.

## Health Care

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The State DOH works with local health departments and social services departments, including those located in New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but a number of programs are also supported through multi-agency efforts.

DOH is also engaged in a multi-year initiative to implement the DSRIP program through an approved Federal waiver amendment to reinvest \$8 billion in Federal savings generated by the MRT reforms. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve 25 percent reduction in avoidable hospital use over five years. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of more than \$7 billion through FY 2020, with the remaining funds expected to be disbursed beyond FY 2020. A portion of DSRIP funding flows through the SUNY hospital system and other State-operated health care facilities.

## Medicaid

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments. Eligible services include inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services and foster care services).

In FY 2012, legislation was enacted to limit the year-to-year growth in DOH State funds Medicaid spending to the ten-year rolling average of the medical component of the CPI. The statutory provisions of the Medicaid spending cap (or “Global Cap”) also allow for flexibility in adjusting Medicaid projections to meet unanticipated costs resulting from a disaster. Certain authorizations exist which allow the Governor to take actions to reduce Medicaid spending in order to maintain spending within the Global Cap limit.

The Updated Financial Plan reflects the continuation of the Medicaid spending cap through FY 2018, and the projections assume that statutory authority will be extended in subsequent years. Allowable growth under the cap for medical services is 3.4 percent for FY 2017. Reflecting projected CPI reductions, DOB currently forecasts allowable cap growth at 3.2 percent in FY 2018, 3.0 percent in FY 2019, and 2.8 percent in FY 2020.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



<b>MEDICAID GLOBAL CAP FORECAST</b> (millions of dollars)					
	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
<b>Global Medicaid Cap<sup>1</sup></b>	<b>17,104</b>	<b>17,692</b>	<b>18,259</b>	<b>18,812</b>	<b>19,338</b>
Annual % Change		3.4%	3.2%	3.0%	2.8%

<sup>1</sup> Under the Global Cap, forecasted Medicaid services growth is indexed to the 10-year average of the medical component of the CPI.

The indexed provisions of the Global Cap apply to a majority of the State share of Medicaid spending that is budgeted and expended principally through DOH. However, the Global Cap is adjusted for State costs associated with the takeover of local Medicaid growth and the multi-year assumption of local Medicaid administration, increased Federal financial participation pursuant to the ACA that became effective in January 2014, as well as the statewide minimum wage increases authorized in the FY 2017 Enacted Budget. State share Medicaid spending also appears in the Updated Financial Plan estimates for other State agencies, including the mental hygiene agencies, child welfare programs, and education aid.

<b>TOTAL STATE-SHARE MEDICAID DISBURSEMENTS<sup>1</sup></b> (millions of dollars)					
	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
	<b>Results</b>	<b>Updated</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
Department of Health Medicaid	17,707	18,142	18,965	19,856	20,712
Local Assistance	17,434	17,800	18,589	19,473	20,326
State Operations	273	342	376	383	386
Other State Agency Medicaid Spending	4,883	4,504	4,952	5,199	5,394
Mental Hygiene	4,739	4,364	4,810	5,057	5,250
Foster Care	89	90	92	92	94
Education	55	50	50	50	50
<b>Total State Share Medicaid (All Agencies)</b>	<b>22,590</b>	<b>22,646</b>	<b>23,917</b>	<b>25,055</b>	<b>26,106</b>
Annual \$ Change		56	1,271	1,138	1,051
Annual % Change		0.2%	5.6%	4.8%	4.2%
<b>Essential Plan<sup>2</sup></b>	<b>32</b>	<b>382</b>	<b>385</b>	<b>395</b>	<b>406</b>

<sup>1</sup> DOH spending in the Financial Plan includes certain items that are excluded from the indexed provisions of the Medicaid Global Cap. This includes administrative costs, such as the takeover of local administrative responsibilities; the decision of Monroe County to participate in the Medicaid local cap program, rather than continuing the sales tax intercept option; and increased Federal Financial Participation that became effective in January 2014.

<sup>2</sup> The EP is not a Medicaid program; however, State-funded resources for the EP are managed under the Medicaid Global Cap.

The State share of DOH Medicaid spending is financed by a combination of the General Fund, HCRA resources, indigent care support, and provider assessment revenue. The following table provides information on the financing sources for State Medicaid spending (more information on HCRA can be found in the section entitled "HCRA Financial Plan").

DEPARTMENT OF HEALTH MEDICAID <sup>1,2</sup> (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>STATE OPERATING FUNDS</b>	<b>17,739</b>	<b>18,524</b>	<b>4.4%</b>	<b>19,350</b>	<b>4.5%</b>	<b>20,251</b>	<b>4.7%</b>	<b>21,118</b>	<b>4.3%</b>
General Fund - DOH Medicaid Local	12,117	12,297	1.5%	13,172	7.1%	14,066	6.8%	15,038	6.9%
DOH Medicaid	11,250	11,172	-0.7%	12,324	10.3%	13,262	7.6%	14,236	7.3%
Mental Hygiene - Global Cap Adjustment <sup>3</sup>	867	1,125	29.8%	848	-24.6%	804	-5.2%	802	-0.2%
General Fund - DOH Medicaid State Ops <sup>4</sup>	273	342	25.3%	376	9.9%	383	1.9%	386	0.8%
General Fund - Essential Plan	32	382	1093.8%	385	0.8%	395	2.6%	406	2.8%
Local Assistance	19	334	1657.9%	345	3.3%	355	2.9%	365	2.8%
State Operations	13	48	269.2%	40	-16.7%	40	0.0%	41	2.5%
Other State Funds - DOH Medicaid Local	5,317	5,503	3.5%	5,417	-1.6%	5,407	-0.2%	5,288	-2.2%
HCRA Financing	3,523	3,739	6.1%	3,713	-0.7%	3,703	-0.3%	3,584	-3.2%
Indigent Care Support	961	952	-0.9%	892	-6.3%	892	0.0%	892	0.0%
Provider Assessment Revenue	833	812	-2.5%	812	0.0%	812	0.0%	812	0.0%

<sup>1</sup> The EP is not a Medicaid program; however, State funded resources for EP are managed under the Medicaid Global Cap.  
<sup>2</sup> Does not include Medicaid spending in other State agencies, transfers, or the local government share of total Medicaid program spending.  
<sup>3</sup> The DOH Medicaid budget includes resources to fund a portion of Medicaid-related Mental Hygiene program costs under the Global Cap.  
<sup>4</sup> Includes operating costs of the New York State of Health Exchange which are funded by DOH within the Medicaid Global Cap.

The FY 2017 Financial Plan includes additional funding to support the increased cost of Medicaid associated with the regionally-based multi-year phase-in of statewide minimum wage increases. This initiative is expected to increase annual Medicaid spending, above previously forecasted Global Cap limits, by \$13 million in FY 2017; \$88 million in FY 2018; \$253 million in FY 2019; and \$411 million in FY 2020.

The FY 2017 Financial Plan also reflects a continuation of the MRT initiative, which focuses on implementing various investments and efficiencies within the statewide Medicaid program in order to achieve improved health care service delivery and cost efficiency within the statutory spending limits of the Medicaid Global Cap. DOH proposes a number of initiatives to reduce spending within the Global Cap, including certain efficiencies in managed care program premiums; realigning the capital and operating components of the Supportive Housing program; and a new penalty for extreme generic drug pricing, in order to discourage such practices and limit cost increases.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



The MRT savings initiatives are expected to offset a number of increased cost pressures and program investments within the Global Cap, including increases in Medicare Part D “clawback” expenses as a result of rising drug prices; Medicare Part B increases due to Federal requirements for states to hold certain beneficiaries harmless for premium increases when Cost-of-Living Adjustments (COLAs) are not included in Social Security plans; and additional funding for fiscally distressed hospitals. In total, the FY 2017 Enacted Budget included net Financial Plan savings of \$44 million in FYs 2017 and 2018, which are expected through implementation of the various MRT initiatives, and in particular through the transfer of certain supportive housing costs to the Capital Projects Fund.

The Updated Financial Plan also reflects \$33 million in reduced FY 2017 State Funds costs associated with operating the QHP portion of the NYSOH health benefit exchange, largely due to the expiration of offsetting Federal support for the exchange which has been extended from December 2015 to December 2016. Additional means to offset rising costs within the Medicaid Global Cap are available through the Medicaid integrity and efficiency initiative which was authorized in the FY 2017 Enacted Budget. Upon election by a local service district to participate in this initiative, DOH and such local service district may formulate a plan to achieve new audit recoveries, efficiencies and other cost avoidance measures to provide Financial Plan savings. The Financial Plan savings associated with the Medicaid program are realized through the Mental Hygiene Global Cap Adjustment, which finances certain OPWDD-related Medicaid costs available under the Global Cap.

Fluctuation in enrollment, costs of provider health care services, and health care utilization levels are among the factors that drive higher Medicaid spending within the Global Cap. The number of Medicaid recipients exceeded 6.1 million by the end of FY 2016, a slight decrease from FY 2015 caseload of 6.2 million. This decline is mainly attributable to the transition from Medicaid to the EP of certain legally residing immigrants.

## Essential Plan (EP)

The EP is a health insurance program which receives Federal subsidies authorized through the ACA. The FY 2015 Enacted Budget authorized the State to participate in the EP, which includes health insurance coverage for certain legally residing immigrants previously receiving State-only Medicaid coverage. Individuals who meet the EP eligibility standards are enrolled through the NYSOH health benefit exchange, with the cost of insurance premiums subsidized by the State and Federal governments. When fully implemented, approximately 85 percent of program expenditures are expected to be paid by the Federal government.

ESSENTIAL PLAN (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL ALL FUNDS SPENDING</b>	<b>1,539</b>	<b>2,466</b>	<b>60.2%</b>	<b>2,535</b>	<b>2.8%</b>	<b>2,610</b>	<b>3.0%</b>	<b>2,683</b>	<b>2.8%</b>
<b>State Operating Funds</b>	<b>32</b>	<b>382</b>	<b>1093.8%</b>	<b>385</b>	<b>0.8%</b>	<b>395</b>	<b>2.6%</b>	<b>406</b>	<b>2.8%</b>
Local Assistance	19	334	1657.9%	345	3.3%	355	2.9%	365	2.8%
State Operations	13	48	269.2%	40	-16.7%	40	0.0%	41	2.5%
<b>Federal Operating Funds</b>	<b>1,507</b>	<b>2,084</b>	<b>38.3%</b>	<b>2,150</b>	<b>3.2%</b>	<b>2,215</b>	<b>3.0%</b>	<b>2,277</b>	<b>2.8%</b>

The Updated Financial Plan includes forecast estimates based on income level data associated with program enrollees. The State's program costs associated with the EP program, and related savings, are managed within the total available resources of the Medicaid Global Cap.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Public Health/Aging Programs

Public Health includes the CHP program that finances health insurance coverage for children of low-income families, up to the age of 19; the GPHW program that reimburses local health departments for the cost of providing certain public health services; the Elderly Pharmaceutical Insurance Coverage (EPIC) program that provides prescription drug insurance to seniors; and the Early Intervention (EI) program that pays for services to infants and toddlers under the age of three, with disabilities or developmental delays. Many public health programs, such as EI and GPHW programs, are run by county health departments that are reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health costs. In addition, a significant portion of HCRA spending is included under the Public Health budget.

The State Office for the Aging (SOFA) promotes and administers programs and services for New Yorkers 60 years of age and older. SOFA primarily oversees community-based services (including in-home services and nutrition assistance) provided through a network of county Area Agencies on Aging (AAA) and local providers.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	1,774	1,641	-7.5%	1,683	2.6%	1,716	2.0%	1,870	9.0%
<b>Public Health</b>	1,647	1,511	-8.3%	1,550	2.6%	1,578	1.8%	1,727	9.4%
Child Health Plus	378	222	-41.3%	235	5.9%	250	6.4%	380	52.0%
General Public Health Work	194	203	4.6%	204	0.5%	206	1.0%	210	1.9%
EPIC	126	132	4.8%	133	0.8%	128	-3.8%	128	0.0%
Early Intervention	160	159	-0.6%	159	0.0%	159	0.0%	159	0.0%
HCRA Program	426	378	-11.3%	393	4.0%	397	1.0%	402	1.3%
All Other	363	417	14.8%	426	2.2%	438	2.9%	448	2.2%
<b>Aging</b>	127	130	2.4%	133	2.3%	138	3.8%	143	3.6%

The FY 2017 Enacted Budget Financial Plan includes approximately \$106 million in savings, from the CHP program (\$70 million) and HCRA Program account (\$36 million), by leveraging enhanced Federal funding for children's health care programs serving populations that meet expanded income thresholds, thus lowering State costs. Growth in FY 2020 for the CHP program is driven mainly by the expirations of enhanced FMAP on September 30, 2019, which will shift a significant portion of support back to State funds. In the Updated Financial Plan, CHP spending estimates have been updated to reflect the enactment of legislation requiring retroactive coverage of newborns in CHP, driving increased costs of \$1.1 million in FY 2017, \$4.5 million in FYs 2018 and 2019 when fully annualized, and increasing to \$5.4 million in FY 2020 when Federal support will expire.

Annual GPHW spending projections reflect recent claiming patterns, as well as increased funding related to protective measures in combatting the Zika Virus. The EPIC program growth reflects increasing pharmaceutical costs which impact Medicare Part D premium payment estimates.

HCRA Program spending is expected to decline in FY 2017, in part through the use of an available fund balance of \$15 million in the Excess Medical Malpractice Liability Pool, and through the use of Federal funding sources as described above. From FY 2018 through FY 2020, HCRA Program spending is expected to remain relatively flat.



## HCRA Financial Plan

HCRA was established in 1996 to help fund a portion of State health care activities. Extensions and modifications to HCRA have financed new health care programs, including Family Health Plus (FHP) and CHP. HCRA has also provided additional funding for the health care industry, including investments in worker recruitment and retention, and Doctors Across New York program. The HCRA authorization was extended through FY 2017, pursuant to legislation included in the FY 2015 Enacted Budget.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, and a portion of cigarette tax revenues. In total, HCRA resources are used to fund roughly 25 percent of the State share of Medicaid, as well as CHP, the NYSOH, EPIC, Physician Excess Medical Malpractice Insurance, and Indigent Care payments (the latter of which provides funding to hospitals serving a disproportionate share of individuals without health insurance).

HCRA closed FY 2016 with a balance of \$78 million, which is the result of an advanced deposit of April 2016 revenue into March 2016. This impact is a matter of timing, and will not impact total forecasted HCRA collections through FY 2017.

<b>HCRA FINANCIAL PLAN FY 2016 THROUGH FY 2020</b>					
<b>(millions of dollars)</b>					
	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
	<b>Results</b>	<b>Updated</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>OPENING BALANCE</b>	<b>14</b>	<b>78</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>TOTAL RECEIPTS</b>	<b>5,655</b>	<b>5,538</b>	<b>5,529</b>	<b>5,554</b>	<b>5,576</b>
Surcharges	3,118	3,091	3,131	3,191	3,251
Covered Lives Assessment	1,112	1,079	1,045	1,045	1,045
Cigarette Tax Revenue	928	878	847	816	781
Hospital Assessments	397	404	424	424	424
NYC Cigarette Tax Transfer/Other	100	86	82	78	75
<b>TOTAL DISBURSEMENTS</b>	<b>5,591</b>	<b>5,616</b>	<b>5,529</b>	<b>5,554</b>	<b>5,576</b>
Medicaid Assistance Account <sup>1</sup>	<u>3,523</u>	<u>3,739</u>	<u>3,713</u>	<u>3,704</u>	<u>3,584</u>
Medicaid Costs	3,326	3,542	3,516	3,507	3,387
Workforce Recruitment & Retention	197	197	197	197	197
Hospital Indigent Care	961	952	892	892	892
HCRA Program Account	429	388	403	406	411
Child Health Plus	381	226	238	254	384
Elderly Pharmaceutical Insurance Coverage	137	144	145	140	140
SHIN-NY/APCD	42	30	0	0	0
All Other	118	137	138	158	165
<b>ANNUAL OPERATING SURPLUS/(DEFICIT)</b>	<b>64</b>	<b>(78)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>CLOSING BALANCE</b>	<b>78</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

<sup>1</sup> NYSOH spending will be financed with available HCRA resources through the Medicaid program.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



After adjusting for the timing of receipts deposits advanced to March 2016, as noted above, total HCRA receipts are forecasted to grow moderately in FY 2017 in relation to higher surcharge collections generated from an increase to Upper Payment Limit (UPL) disbursements. The level of annual growth forecasted for HCRA receipts through the multi-year planning period mainly reflects anticipation of increased collections due to expanded health insurance coverage through the ACA, and increases consistent with historic collection patterns. Continued declines for cigarette tax collections, which is attributable to declining taxable consumption, reduces annual HCRA receipts growth.

HCRA spending is expected to total \$5.6 billion in FY 2017. The most significant area of spending growth includes additional financing of the State share of Medicaid costs, which is partly offset by a significant decrease in spending for CHP as the availability of Federal resources through the ACA will increase. The Updated Financial Plan reflects a nonrecurring reduction in HCRA transfers to the Excess Medical Malpractice Liability Pool, which reimburses certain physicians and dentists for a secondary level of medical malpractice insurance coverage, by offsetting the State's FY 2017 subsidy level with existing fund balance availability. The Updated Financial Plan includes several revisions to the spending forecast, including \$1 million annual downward revisions in FYs 2017 and 2018 for both the Aids Drug Assistance Program (ADAP) and Doctors Across New York programs based on recent spending patterns.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. Any potential spending reductions could affect General Fund Medicaid funding or HCRA programs. Conversely, any unanticipated balances or excess resources in HCRA are expected to fund Medicaid costs that would otherwise be paid from the General Fund.

## Mental Hygiene

The Department of Mental Hygiene is comprised of the OPWDD, Office of Mental Health (OMH), Office of Alcoholism and Substance Abuse Services (OASAS), the Developmental Disabilities Planning Council (DDPC), and the Justice Center for the Protection of People with Special Needs. Services are administered to adults with serious mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; persons with chemical dependencies; and individuals with compulsive gambling problems.

These agencies provide services directly to their clients through State-operated facilities, and indirectly through community service providers. The costs associated with providing these services are supported by reimbursement from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which were issued to finance infrastructure improvements at State mental hygiene facilities, with the remaining revenue used to support State operating costs.

<b>MENTAL HYGIENE</b> (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>2,646</b>	<b>2,538</b>	<b>-4.1%</b>	<b>3,132</b>	<b>23.4%</b>	<b>3,494</b>	<b>11.6%</b>	<b>3,738</b>	<b>7.0%</b>
<b>People with Developmental Disabilities</b>	<b>2,075</b>	<b>2,193</b>	<b>5.7%</b>	<b>2,362</b>	<b>7.7%</b>	<b>2,522</b>	<b>6.8%</b>	<b>2,688</b>	<b>6.6%</b>
Residential Services	1,386	1,465	5.7%	1,578	7.7%	1,685	6.8%	1,796	6.6%
Day Programs	604	638	5.6%	687	7.7%	734	6.8%	782	6.5%
Clinic	20	21	5.0%	23	9.5%	24	4.3%	26	8.3%
All Other Local/Resources	65	69	6.2%	74	7.2%	79	6.8%	84	6.3%
<b>Mental Health</b>	<b>1,135</b>	<b>1,191</b>	<b>4.9%</b>	<b>1,309</b>	<b>9.9%</b>	<b>1,446</b>	<b>10.5%</b>	<b>1,502</b>	<b>3.9%</b>
Adult Local Services	917	967	5.5%	1,063	9.9%	1,185	11.5%	1,224	3.3%
Children Local Services	218	224	2.8%	246	9.8%	261	6.1%	278	6.5%
<b>Alcohol and Substance Abuse</b>	<b>307</b>	<b>320</b>	<b>4.2%</b>	<b>350</b>	<b>9.4%</b>	<b>371</b>	<b>6.0%</b>	<b>391</b>	<b>5.4%</b>
Outpatient/Methadone	117	122	4.3%	134	9.8%	142	6.0%	149	4.9%
Residential	123	128	4.1%	140	9.4%	148	5.7%	156	5.4%
Prevention and Program Support	59	61	3.4%	67	9.8%	71	6.0%	75	5.6%
Crisis	8	9	12.5%	9	0.0%	10	11.1%	11	10.0%
<b>Justice Center</b>	<b>1</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>
<b>SUBTOTAL BEFORE ADJUSTMENTS</b>	<b>3,518</b>	<b>3,705</b>	<b>5.3%</b>	<b>4,022</b>	<b>8.6%</b>	<b>4,340</b>	<b>7.9%</b>	<b>4,582</b>	<b>5.6%</b>
<b>Other Adjustments</b>	<b>(872)</b>	<b>(1,167)</b>	<b>-33.8%</b>	<b>(890)</b>	<b>23.7%</b>	<b>(846)</b>	<b>4.9%</b>	<b>(844)</b>	<b>0.2%</b>
Global Cap Adjustment	(867)	(1,125)	-29.8%	(848)	24.6%	(804)	5.2%	(802)	0.2%
Other DOH Offsets	(42)	(42)	0.0%	(42)	0.0%	(42)	0.0%	(42)	0.0%
53rd Medicaid Cycle	37	0	-100.0%	0	0.0%	0	0.0%	0	0.0%

# State Financial Plan Projections Fiscal Years 2017 Through 2020



Local assistance spending accounts for over 40 percent of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 9 percent annually. The main factor driving this level of growth is enhancement of community mental health services; enhancing community-based employment and residential opportunities for individuals with disabilities; maximizing payments from third-party payers; and providing cost-of-living increases and new funding to not-for-profit providers for the minimum wage increase authorized as part of the Enacted Budget agreement.

The Budget increases local assistance funding for mental hygiene agencies from \$3.5 billion in FY 2016 to \$3.7 billion in FY 2017. The spending increase is largely related to new community investments in OPWDD and OMH, as individuals are transitioned from State-operated services to community-integrated settings; new service investments in the OPWDD system; new residential beds opening in OMH; funding in OASAS for the package of heroin initiatives; and funding to support a 0.2 percent Human Services COLA for not-for-profit providers that deliver services on behalf of OPWDD, OMH and OASAS.

This funding increase is offset by technical adjustments to the Medicaid Global Cap (\$258 million), as a greater share of OPWDD-related spending will be financed from Global Cap resources, and recognition of one-time costs in FY 2016 for a 53rd weekly Medicaid Cycle (\$37 million). These technical adjustments have no impact on service delivery or operations of OMH, OPWDD, OASAS or the Justice Center.

The Financial Plan also includes updated assumptions to reflect revised timelines for ongoing transformation efforts in the mental hygiene service delivery system, and the Federal government's extension of the timeframe to disburse funding from the Balancing Incentive Program (BIP). Authorized under the ACA, BIP is an optional program that provides additional Federal funding to qualifying states to encourage the shift from institutional to community services. It is expected that BIP will enable the State to engage a broad network of providers, advocates and community leaders to develop systematic improvements to delivery systems leading to enhanced community integration for individuals with intellectual and/or developmental disabilities and individuals with mental illness.

The Updated Financial Plan reflects a \$33 million spending reduction in FY 2017 for the NYSOH health benefit exchange, the majority of which is attributable to a no-cost extension of Federal funding through December 2016. The impact of this extension shifts spending from State to Federal funds, providing Financial Plan relief of \$33 million in FY 2017, as realized through the Mental Hygiene Global Cap Adjustment. This change has no impact on mental hygiene services.

## Social Services

### Office of Temporary and Disability Assistance (OTDA)

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State’s three main programs include Family Assistance, Safety Net Assistance and Supplemental Security Income (SSI). The Family Assistance program, financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,213</b>	<b>1,262</b>	<b>4.0%</b>	<b>1,312</b>	<b>4.0%</b>	<b>1,330</b>	<b>1.4%</b>	<b>1,340</b>	<b>0.8%</b>
SSI	641	655	2.2%	658	0.5%	661	0.5%	663	0.3%
Public Assistance Benefits	474	484	2.1%	526	8.7%	526	0.0%	526	0.0%
Public Assistance Initiatives	7	29	314.3%	27	-6.9%	36	33.3%	36	0.0%
All Other	91	94	3.3%	101	7.4%	107	5.9%	115	7.5%

OTDA spending on SSI is projected to increase between FY 2016 and FY 2017 and to continue to increase gradually over the course of the multi-year Financial Plan due to updated caseload projections. Public Assistance benefits spending is projected to increase from FY 2016 to FY 2017 based on an update to DOB’s caseload models, with DOB projecting a total of 557,159 recipients in FY 2017. Approximately 238,388 families are expected to receive benefits through the Family Assistance program in FY 2017, a decrease of 2.2 percent from FY 2016. In the Safety Net program an average of 115,259 families are expected to be helped in FY 2017, a decrease of 2.1 percent from FY 2016. The caseload for single adults/childless couples supported through the Safety Net program is projected at 203,512 in FY 2017, an increase of 0.2 percent from FY 2016. Spending in Public Assistance and All Other Initiatives will increase from FY 2016 to FY 2017 due to the implementation of new programs including several to address homelessness, as well as the expansion of HASA benefits to all Public Assistance recipients living in New York City. Growth is expected to be more gradual in the outyears.

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## Office of Children and Family Services (OCFS)

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local social services departments and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services for reducing out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families.

CHILDREN AND FAMILY SERVICES (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>1,736</b>	<b>1,672</b>	<b>-3.7%</b>	<b>1,701</b>	<b>1.7%</b>	<b>1,716</b>	<b>0.9%</b>	<b>1,738</b>	<b>1.3%</b>
Child Welfare Service	491	448	-8.8%	472	5.4%	482	2.1%	492	2.1%
Foster Care Block Grant	445	445	0.0%	455	2.2%	464	2.0%	472	1.7%
Adoption	152	154	1.3%	154	0.0%	154	0.0%	154	0.0%
Day Care	270	208	-23.0%	185	-11.1%	178	-3.8%	178	0.0%
Youth Programs	111	161	45.0%	154	-4.3%	153	-0.6%	153	0.0%
Medicaid	89	90	1.1%	92	2.2%	92	0.0%	94	2.2%
Committees on Special Education	45	39	-13.3%	41	5.1%	42	2.4%	44	4.8%
Adult Protective/Domestic Violence	35	32	-8.6%	33	3.1%	34	3.0%	34	0.0%
All Other	98	95	-3.1%	115	21.1%	117	1.7%	117	0.0%

OCFS State Operating Funds spending is projected to decline between FY 2016 and FY 2017, primarily due to the use of Federal Temporary Assistance for Needy Families (TANF) to maintain funding for child care subsidies. Spending is projected to increase after FY 2018 due to a variety of factors including a projected increase in child welfare services claims and increased costs to fund statutory Human Services COLA increases.

## Transportation

In FY 2017, the State will provide approximately \$4.9 billion in operating aid to mass transit systems. The aid is funded mainly from dedicated taxes and fees. The MTA, the nation's largest transit and commuter rail system, receives the majority of the statewide mass transit operating aid. In addition, the MTA receives operating support from the MTA Financial Assistance Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District (MCTD). The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA. Pursuant to legislation enacted in December 2011, the MTA payroll tax was eliminated for all elementary and secondary schools and small business operators within the MCTD. The General Fund now provides additional annual support, subject to appropriation, to the MTA to make up the lost revenue.

TRANSPORTATION (millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>STATE OPERATING FUNDS SUPPORT</b>	<b>4,745</b>	<b>4,931</b>	<b>3.9%</b>	<b>5,016</b>	<b>1.7%</b>	<b>5,071</b>	<b>1.1%</b>	<b>5,161</b>	<b>1.8%</b>
Mass Transit Operating Aid:	<u>2,160</u>	<u>2,280</u>	<u>5.6%</u>	<u>2,280</u>	<u>0.0%</u>	<u>2,280</u>	<u>0.0%</u>	<u>2,280</u>	<u>0.0%</u>
Metro Mass Transit Aid	2,030	2,152	6.0%	2,152	0.0%	2,152	0.0%	2,152	0.0%
Public Transit Aid	86	84	-2.3%	84	0.0%	84	0.0%	84	0.0%
18-b General Fund Aid	19	19	0.0%	19	0.0%	19	0.0%	19	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,851	1,929	4.2%	2,028	5.1%	2,087	2.9%	2,176	4.3%
Dedicated Mass Transit	666	661	-0.8%	651	-1.5%	647	-0.6%	649	0.3%
AMTAP	68	61	-10.3%	56	-8.2%	56	0.0%	56	0.0%
All Other	0	0	0.0%	1	0.0%	1	0.0%	0	-100.0%

Projected operating aid to the MTA and other transit systems reflects the current receipts forecast and timing associated with the availability of resources. The Updated Financial Plan includes revised spending estimates for transit assistance in each year to reflect the current receipts forecast.

Beginning in FY 2017, the portion of dedicated mass transit aid that supports capital-related spending will be shifted from State special revenue funds to capital financing sources.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Local Government Assistance

Direct aid to local governments includes the Aid and Incentives for Municipalities (AIM) program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams; miscellaneous financial assistance for certain counties, towns, and villages; and efficiency-based incentive grants provided to local governments.

LOCAL GOVERNMENT ASSISTANCE - AIM PROGRAM (millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Updated	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>728</b>	<b>715</b>	<b>-1.8%</b>	<b>763</b>	<b>6.7%</b>	<b>763</b>	<b>0.0%</b>	<b>763</b>	<b>0.0%</b>
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Restructuring/Efficiency	13	0	-100.0%	48	0.0%	48	0.0%	48	0.0%

State Operating Funds spending for AIM efficiency incentive grants will decline from FY 2016 to FY 2017 due to the timing of grants and the use of settlement money appropriated in DIIF for local government purposes.



## Agency Operations

Agency operating costs consist of PS, Non-Personal Service (NPS), and General State Charges (GSCs). PS includes the salaries of State employees of the Executive, Legislative, and Judicial branches, as well as the salaries of temporary/seasonal employees. NPS includes real estate rentals, utilities, contractual payments (i.e., consultants, IT, and professional business services), supplies and materials, equipment, and telephone service. GSCs, which are discussed separately, reflect the cost of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State, such as taxes on public lands and litigations. Certain agency operating costs of the Department of Transportation (DOT) and the Department of Motor Vehicles (DMV) are included in the capital projects fund type and are not reflected in State Operating Funds. The PS estimates reflect current negotiated collective bargaining agreements.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which represents professional and technical personnel (attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and nonteaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

The following table presents certain variables used in preparing the spending projections for agency operations.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	FY 2016 Results	FY 2017 Updated	Forecast		
			FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
Negotiated Base Salary Increases <sup>1</sup>					
CSEA/PEF/NYSCOPBA/Council 82/UUP/DC-37/GSEU	2%	TBD	TBD	TBD	TBD
NYS PBA/NYSPIA	2%	1.5%	1.5%	TBD	TBD
PBANYS	TBD	TBD	TBD	TBD	TBD
State Workforce <sup>2</sup>	117,862	118,590	TBD	TBD	TBD
ERS Contribution Rate					
Before Amortization <sup>3</sup>	18.9%	17.1%	16.3%	15.8%	15.7%
After Amortization <sup>4</sup>	19.3%	20.7%	20.2%	19.6%	19.4%
PFRS Contribution Rate					
Before Amortization <sup>3</sup>	25.5%	27.4%	25.4%	24.9%	24.4%
After Amortization <sup>4</sup>	27.6%	31.0%	29.5%	28.9%	28.4%
Employee/Retiree Health Insurance Growth Rates	5.1%	6.6%	5.8%	6.5%	6.5%
PS/Fringe as % of Receipts (All Funds Basis)	13.7%	13.9%	13.6%	13.8%	13.8%

<sup>1</sup> Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated labor agreements.  
<sup>2</sup> Reflects workforce that is subject to direct Executive control.  
<sup>3</sup> Before amortization contribution rate reflects normal and administrative costs, contributions for the Group Life Insurance Plan (GLIP), and Chapter 41 of 2016 veteran's pension credit legislation.  
<sup>4</sup> After amortization contribution rate additionally includes new amortization, if any, and payments on prior amortizations.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



Operating costs for PS/NPS are projected to grow modestly over the financial plan period from \$18.6 billion in FY 2017 to \$19.1 billion in FY 2020. Most executive agencies are expected to hold spending at FY 2016 levels. The annual increase reflects expected increases for employee health insurance costs and the State's annual pension payment, as well as costs for the DOH to operate the NYSOH health benefit exchange, continue the transition of administrative functions from local service districts to the State, and operate the new EP. The Budget includes costs from collective bargaining agreements (1.5 percent increases in FYs 2017 and 2018 for NYSPBA/NYSPIA and a 2 percent increase in FY 2016 for PEF), applicable lump sum payments, and repayment of a portion of the deficit reduction adjustment made to employee salaries.

Executive agency operational costs are expected to total \$10.1 billion in FY 2017. In FY 2018 spending is expected to increase by \$210 million mainly due to repayment to the New York Power Authority (NYPA). Beyond FY 2018, spending is projected to decrease. Agencies with growth include the Medicaid Admin/EP, attributable to the NYSOH benefit exchange and the new EP program; ITS; Corrections; State Police; Gaming; and Workers' Compensation.

STATE OPERATING FUNDS - PERSONAL SERVICE / NON-PERSONAL SERVICE COSTS (millions of dollars)					
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	Results	Updated	Projected	Projected	Projected
<b>SUBJECT TO DIRECT EXECUTIVE CONTROL</b>	<b>10,145</b>	<b>10,080</b>	<b>10,290</b>	<b>10,162</b>	<b>10,200</b>
Mental Hygiene	2,824	2,738	2,733	2,775	2,816
Corrections and Community Supervision	2,618	2,626	2,633	2,636	2,643
State Police	693	685	697	697	697
Information Technology Services <sup>1</sup>	506	533	565	577	577
Public Health	403	383	377	377	378
Tax and Finance	336	328	329	329	329
Medicaid Admin/EP	286	390	416	422	426
Children and Family Services	263	245	247	254	254
Environmental Conservation	238	229	229	230	230
Financial Services	202	211	212	212	216
Parks, Recreation and Historic Preservation	181	177	177	175	175
General Services	157	163	161	161	161
Gaming	147	153	158	158	158
Temporary and Disability Assistance	147	130	125	125	125
Workers' Compensation Board	139	137	142	143	145
Extra Bi-Weekly Institutional Pay Period	163	0	0	0	0
New York Power Authority Repayment	21	21	236	22	0
All Other	821	931	853	869	870
<b>UNIVERSITY SYSTEMS</b>	<b>5,953</b>	<b>6,006</b>	<b>6,081</b>	<b>6,180</b>	<b>6,286</b>
State University	5,866	5,920	5,994	6,092	6,196
City University	87	86	87	88	90
<b>INDEPENDENT AGENCIES</b>	<b>310</b>	<b>319</b>	<b>320</b>	<b>321</b>	<b>324</b>
Law	169	172	173	174	177
Audit & Control (OSC)	141	147	147	147	147
<b>TOTAL, EXCLUDING JUDICIARY AND LEGISLATURE</b>	<b>16,408</b>	<b>16,405</b>	<b>16,691</b>	<b>16,663</b>	<b>16,810</b>
Judiciary	1,959	2,026	2,026	2,051	2,053
Legislature	216	219	219	219	219
<b>Statewide Total</b>	<b>18,583</b>	<b>18,650</b>	<b>18,936</b>	<b>18,933</b>	<b>19,082</b>
Personal Service	12,981	12,896	12,887	13,005	13,106
Non-Personal Service	5,602	5,754	6,049	5,928	5,976

<sup>1</sup> Reflects consolidation of IT costs from other agencies within ITS, which does not change total governmental spending.

The most significant changes include:

- **Medicaid Admin/EP:** Growth in Medicaid Admin/EP reflects the transitioning of certain functions from the local services districts to the State as part of the ongoing statewide Medicaid Admin takeover initiative, and the implementation of the NYSOH health benefit exchange, the State's centralized marketplace for health plan shopping and enrollment in accordance with the ACA.
- **Information Technology Services:** Increases in IT Services from FY 2017 to FY 2020 are attributable to agency transfers for the continuous statewide IT consolidation, which is offset by efficiencies realized through the IT consolidation.
- **Mental Hygiene:** Lower Mental Hygiene agency spending in FY 2017 is the result of 26 institutional payrolls vs. institutional 27 payrolls in FY 2016.
- **NYPA Repayment:** Annual payments to NYPA are pursuant to funding schedules agreed upon by the State and NYPA, and are consistent with previous Financial Plan assumptions.
- **State University:** Higher SUNY spending reflects anticipated operating needs at SUNY campuses and hospitals supported through campus revenues, State support and hospital revenues.
- **Judiciary:** Increases from FY 2017 to FY 2020 reflect salary increase authorized by the New York State Commission on Legislative, Judicial, and Executive Compensation.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Workforce

In FY 2017, \$12.9 billion or 13.4 percent of the State Operating Funds budget is projected to be spent on PS costs. This funding supports roughly 98,000 Full-Time Equivalent (FTE) employees under direct Executive control; individuals employed by SUNY and CUNY (43,982) and Independent Agencies (18,185); employees paid on a nonannual salaried basis; and overtime pay. Roughly 60 percent of all Executive agency PS spending occurs in three areas: SUNY, the mental hygiene agencies, and Corrections.

<b>STATE OPERATING FUNDS</b>		
<b>FY 2017 FTEs<sup>1</sup> AND PERSONAL SERVICE SPENDING BY AGENCY</b>		
<b>(millions of dollars)</b>		
	<u>Dollars</u>	<u>FTEs</u>
<b>Subject to Direct Executive Control</b>	<b><u>7,218</u></b>	<b><u>98,197</u></b>
Mental Hygiene Agencies	2,239	33,825
Corrections and Community Supervision	2,074	28,181
State Police	620	5,619
Tax and Finance	270	4,276
Health	255	3,743
Environmental Conservation	174	2,164
Children and Family Services	162	2,465
Financial Services	154	1,382
Parks, Recreation and Historic Preservation	132	1,528
All Other	1,138	15,014
<b>University Systems</b>	<b><u>3,723</u></b>	<b><u>43,982</u></b>
State University	3,678	43,667
City University <sup>2</sup>	45	315
<b>Independent Agencies</b>	<b><u>1,955</u></b>	<b><u>18,185</u></b>
Law	118	1,583
Audit & Control (OSC)	114	1,603
Judiciary	1,557	14,998
Legislature <sup>3</sup>	166	1
<b>Total</b>	<b><u>12,896</u></b>	<b><u>160,364</u></b>

<sup>1</sup> FTEs represent the number of annual-salaried full-time filled positions (e.g., one FTE may represent a single employee serving at 100 percent full-time or a combination of employees serving at less than full-time that, when combined, equal a full-time position). The reported FTEs do not include non-annual salaried positions, such as positions filled on an hourly, per-diem or seasonal basis.

<sup>2</sup> CUNY employees are funded primarily through an agency trust fund that supports an additional 13,330 FTEs, which are excluded from this table.

<sup>3</sup> Legislative employees are nonannual salaried and are excluded from this table, with the exception of the Lieutenant Governor, who serves as President of the Senate.

## General State Charges

Employee fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, the State’s employer-share of Social Security, health insurance, workers’ compensation, unemployment insurance, survivors’ benefits fund, employee benefits funds, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations in the GSCs budget.<sup>4</sup> The Judiciary pays its fringe benefit costs directly.

Employee fringe benefits that are paid through GSCs are paid from the General Fund in the first instance, and then partially reimbursed by revenue collected from fringe benefit assessments. The largest General Fund reimbursement comes from the mental hygiene agencies.

GSCs also include fixed costs for several categories including State payments in lieu of taxes (PILOT), payments for local assessments on State-owned land, and judgments against the State pursuant to the Court of Claims Act.

GSCs are projected to increase at an average annual rate of 4.3 percent over the Financial Plan period, driven primarily by cost increases for workers’ compensation and the employer share of costs for employee and retiree health insurance benefits.

In FY 2017, State Operating Funds spending for GSCs is projected to increase by \$258 million (3.5 percent). Health insurance increases are due to rising prescription drug costs, greater use of more expensive specialty drugs for chronic conditions, generic drug price inflation, increased outpatient utilization, and increased inpatient/outpatient utilization in Mental Health. Pension cost growth reflects the impact of higher graded rates, which increases the State’s gross funding liability, and the repayment of prior-year amortizations, partially offset by an increase in lower cost Tier 6 entrants.

GENERAL STATE CHARGES (millions of dollars)									
	FY 2016	FY 2017		FY 2018		FY 2019		FY 2020	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>TOTAL STATE OPERATING FUNDS</b>	<b>7,452</b>	<b>7,709</b>	<b>3.5%</b>	<b>8,132</b>	<b>5.5%</b>	<b>8,411</b>	<b>3.4%</b>	<b>8,805</b>	<b>4.7%</b>
<b>Fringe Benefits</b>	<b>7,045</b>	<b>7,301</b>	<b>3.6%</b>	<b>7,732</b>	<b>5.9%</b>	<b>8,007</b>	<b>3.6%</b>	<b>8,397</b>	<b>4.9%</b>
Health Insurance	3,465	3,720	7.4%	3,943	6.0%	4,209	6.7%	4,493	6.8%
Employee Health Insurance	2,183	2,343	7.4%	2,484	6.0%	2,651	6.7%	2,831	6.8%
Retiree Health Insurance	1,282	1,376	7.4%	1,459	6.0%	1,557	6.7%	1,663	6.8%
Pensions	2,225	2,496	12.2%	2,565	2.8%	2,548	-0.7%	2,562	0.5%
Social Security	981	966	-1.6%	971	0.6%	979	0.8%	984	0.5%
Worker’s Compensation	476	320	-32.7%	432	34.8%	473	9.5%	583	23.2%
Employee Benefits	91	89	-2.3%	90	1.0%	91	1.0%	92	1.0%
Dental Insurance	59	65	10.1%	65	0.0%	65	0.0%	65	0.0%
Unemployment Insurance	15	17	16.5%	17	0.6%	17	0.0%	17	0.0%
All Other/Non-State Escrow	(268)	(372)	-38.8%	(352)	5.4%	(375)	-6.5%	(399)	-6.4%
<b>Fixed Costs</b>	<b>407</b>	<b>408</b>	<b>0.2%</b>	<b>399</b>	<b>-2.1%</b>	<b>404</b>	<b>1.0%</b>	<b>408</b>	<b>1.0%</b>

<sup>4</sup> As of July 2015, SUNY Teachers Insurance and Annuity Association - College Retirement Equities Fund (TIAA- CREF) and other SUNY fringe benefit costs are no longer paid directly by SUNY, and have been shifted to the central statewide appropriation.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



Growth in GSC base spending in FY 2017 has been offset by gap-closing savings of approximately \$228 million. The savings are primarily driven by \$140 million in lower projected workers' compensation payments, reflecting the use of available reserves which will be transferred directly to SIF; and approximately \$79 million in interest savings achieved by paying the full State pension bill in April 2016, rather than on the due date of March 1, 2017.

In addition to the actions described above, fringe benefit and fixed cost spending estimates reflect a mix of increasing costs associated with updated baseline growth in health insurance rate renewals and workers' compensation liabilities, and other downward adjustments which reflect the shift of spending between the SUNY and GSC budgets, and the timing of certain payments from prior years.

The Updated Financial Plan reflects a current year increase of \$144 million in pension expenses from new legislation which enables eligible members to receive up to three years of extra pension service credit for their active military service. These costs are for State employees and other employees who participate in Section 25 of the Retirement and Social Security Law, provided they were honorably discharged, have five years of creditable service, and agree to pay the employee share of such service credit prior to retirement. The State is required to fund the full present value of the benefit as members opt in. The law permits the State to amortize the first year cost over five years at an interest rate determined by the retirement system, which has been set at a rate of 7 percent, however at this time the State does not plan to amortize these costs.

## Transfers to Other Funds (General Fund Basis)

General Fund transfers help finance the State's share of Medicaid costs for mental hygiene facilities, debt service for bonds that do not have dedicated revenues, SUNY operating costs, certain capital initiatives, and a range of other activities.

GENERAL FUND TRANSFERS TO OTHER FUNDS (millions of dollars)					
	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>TOTAL TRANSFERS TO OTHER FUNDS</b>	<b>11,376</b>	<b>11,527</b>	<b>11,860</b>	<b>12,039</b>	<b>12,191</b>
State Share of Mental Hygiene Medicaid <sup>1</sup>	2,036	1,437	1,325	1,301	1,236
Debt Service	1,196	706	1,260	1,182	1,076
SUNY University Operations	998	996	1,001	997	997
Capital Projects	2,721	3,810	3,469	3,399	3,311
Dedicated Highway and Bridge Trust Fund	681	602	711	692	974
Dedicated Infrastructure Investment Fund	857	901	2,151	1,701	732
Management of Debt Issuances	0	1,300	(800)	(500)	0
Environmental Protection Fund	23	146	28	28	28
All Other Capital	1,160	861	1,379	1,478	1,577
<b>ALL OTHER TRANSFERS</b>	<b>4,425</b>	<b>4,578</b>	<b>4,805</b>	<b>5,160</b>	<b>5,571</b>
Mental Hygiene	3,195	3,317	3,546	3,913	4,324
Department of Transportation (MTA Payroll Tax)	331	333	334	334	335
SUNY - Medicaid Reimbursement	355	282	282	282	282
Judiciary Funds	107	107	107	107	107
SUNY - Hospital Operations	88	88	69	69	69
Dedicated Mass Transportation Trust Fund	63	63	66	66	66
Banking Services	52	52	53	53	53
Indigent Legal Services	30	35	35	35	35
Mass Transportation Operating Assistance	21	37	38	38	38
Alcoholic Beverage Control	15	0	0	0	0
Information Technology Services	8	2	2	2	2
Public Transportation Systems	15	15	16	16	16
Correctional Industries	11	11	11	11	11
Spinal Cord Injury	9	9	9	9	9
Medical Marijuana Fund	7	5	5	5	5
All Other	118	222	232	220	219

<sup>1</sup> Includes transfers related to the multi-year OPWDD disallowance repayments, including the use of monetary settlement funds for the \$850 million upfront repayment.

A significant portion of the capital and operating expenses of DOT and DMV are funded from DHBTf, which receives various dedicated tax and fee revenues, including statutory allocations of PBT, motor fuel tax, and highway use taxes. The Updated Financial Plan includes transfers from the General Fund that effectively subsidize the expenses of the DHBTf, as the cumulative expenses of the fund (DOT and DMV capital and operating expenses, and certain debt service on transportation bonds) exceed current and projected revenue deposits and bond proceeds.

General Fund transfers to other funds are expected to total \$11.5 billion in FY 2017, a \$151 million increase from FY 2016. This growth is primarily due to transfers and uses of settlement money.

# State Financial Plan Projections Fiscal Years 2017 Through 2020



## Debt Service

The State pays debt service on all outstanding State-supported bonds. These include General Obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as certain bonds issued by State public authorities, such as Empire State Development (ESD), DASNY, and the New York State Thruway Authority (NYSTA), subject to appropriation. Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources such as patient income revenues.

DEBT SERVICE SPENDING PROJECTIONS (millions of dollars)									
	FY 2016 Results	FY 2017 Updated	Change	FY 2018 Projected	Change	FY 2019 Projected	Change	FY 2020 Projected	Change
General Fund	1,196	706	-41.0%	1,260	78.5%	1,182	-6.2%	1,076	-9.0%
Other State Support	4,402	4,372	-0.7%	4,997	14.3%	5,589	11.8%	6,156	10.1%
<b>State Operating/All Funds Total</b>	<b>5,598</b>	<b>5,078</b>	<b>-9.3%</b>	<b>6,257</b>	<b>23.2%</b>	<b>6,771</b>	<b>8.2%</b>	<b>7,232</b>	<b>6.8%</b>

Total State Operating/All Funds debt service is projected at \$5.1 billion in FY 2017, of which approximately \$706 million is paid from the General Fund via transfers, and \$4.4 billion from other State funds supported by dedicated tax receipts. The General Fund transfer finances debt service payments on General Obligation and service contract bonds. Debt service for the State's revenue bonds is paid directly from other State funds, subject to appropriation, including PIT and Sales Tax bonds, DHBTF bonds, and mental health facilities bonds.

Financial Plan estimates for debt service spending have been revised to reflect an additional \$125 million in assumed refunding savings in FY 2017. Debt service spending in FY 2016 reflected prepayments of about \$710 million due during FY 2017, and FY 2017 debt service spending estimates continue to assume the prepayment of \$60 million of debt service due during FY 2018.





**FY 2017**  
**First Quarter Operating Results**



This section provides a summary of operating results for April 2016 through June 2016 compared to (1) the initial projections set forth in the FY 2017 Enacted Budget; and (2) the results for the prior fiscal year (April 2015 through June 2015).

The results below include monetary settlements. For a summary discussion of operating results that exclude monetary settlements, see the earlier discussion of the FY 2017 General Fund.

## General Fund Results

Monetary settlements continue to have a dramatic effect on the State’s overall cash position and currently account for \$6 billion of the \$7.2 billion General Fund closing balance. The last sizeable monetary settlement payment received was \$190 million in May 2016, of which \$127 million was deposited into the General Fund.

In the General Fund, total receipts were below projections by \$415 million and spending exceeded projections by \$561 million, resulting in a fund balance \$976 million lower than initial projections.

<b>GENERAL FUND OPERATING RESULTS</b>			
<b>APRIL THROUGH JUNE 2016</b>			
(millions of dollars)			
	Enacted Plan	Results	Above/(Below) Variance
<b>Opening Balance</b>	<b>8,934</b>	<b>8,934</b>	<b>0</b>
<b>Total Receipts</b>	<b>18,955</b>	<b>18,540</b>	<b>(415)</b>
Taxes:	18,181	17,715	(466)
Personal Income Tax <sup>1</sup>	13,450	12,855	(595)
Consumption / Use Taxes <sup>1</sup>	3,164	3,246	82
Business Taxes	1,089	1,113	24
Other Taxes <sup>1</sup>	478	501	23
Receipts and Grants	733	758	25
Transfers From Other Funds	41	67	26
<b>Total Spending</b>	<b>19,703</b>	<b>20,264</b>	<b>561</b>
Local Assistance	11,993	12,350	357
Agency Operations (including GSCs)	4,829	4,996	167
Debt Service Transfer	242	240	(2)
Capital Projects Transfer	454	448	(6)
State Share of Mental Hygiene Medicaid Transfer	335	362	27
SUNY Operations Transfer	639	638	(1)
All Other Transfers	1,211	1,230	19
<b>Change in Operations</b>	<b>(748)</b>	<b>(1,724)</b>	<b>(976)</b>
<b>Closing Balance</b>	<b>8,186</b>	<b>7,210</b>	<b>(976)</b>

<sup>1</sup> Includes transfers from other funds after debt service.

## Receipts

Tax collections in total were \$466 million lower than initially planned. The lower PIT collections (\$595 million) were primarily driven by weaker than expected baseline growth in PIT receipts, particularly estimated payments, as a result of an unexpected decline in both the number of payments and the size of average payments. Higher Consumption/Use Taxes (\$82 million) were associated with strong June Sales tax collections, which was partially associated with timing of audit receipts. The Updated Financial Plan includes forecast revisions which address the underlying weakness in base tax growth.

## Spending

Through June 2016, General Fund disbursements, including transfers to other funds, were \$561 million higher than initially projected, primarily due to local assistance (\$357 million) and agency operations (\$167 million).

Local assistance over-spending was primarily driven by Medicaid and EP payments, partly offset by under-spending for education, social services and other local assistance programs. Spending for Medicaid was higher than planned as a result of timing delays related to the receipt of certain offsets and audit recoveries planned through June, which are now expected to be received in later months, as well as unanticipated State share costs for the EP to offset a shortfall in Federal funding during the first quarter of the current fiscal year when enrollment for the program increased significantly. State share costs for the EP, after the utilization of available Federal funds, are managed within total available resources of the DOH Medicaid Global Cap.

Spending for agency operations was above planned levels as a result of slightly higher PS costs in several large agencies, including the Department of Corrections and Community Supervision (DOCCS) and Judiciary. GSC expenses were also higher than anticipated due to a one-month delay in the application of available offsets to workers' compensation payments, which will be corrected by September, and higher fixed costs for litigation and court of claims payments.

## State Operating Funds Results

The State ended June 2016 with a closing balance of \$12.5 billion in State Operating Funds, or \$782 million below the FY 2017 Enacted Budget Financial Plan projection. This variance is driven by lower receipts (\$212 million) and higher spending (\$630 million), partly offset by higher financing from other sources (\$60 million).

<b>STATE OPERATING FUNDS RESULTS</b>			
<b>APRIL THROUGH JUNE 2016</b>			
<b>(millions of dollars)</b>			
	<b>Enacted Plan</b>	<b>Results</b>	<b>Above/(Below) Variance</b>
<b>Opening Balance</b>	<b>12,641</b>	<b>12,641</b>	<b>0</b>
<b>Total Receipts</b>	<b>24,647</b>	<b>24,435</b>	<b>(212)</b>
Taxes:	19,974	19,526	(448)
Personal Income Tax	13,892	13,293	(599)
Consumption / Use Taxes	3,853	3,938	85
Business Taxes	1,425	1,466	41
Other Taxes	804	829	25
Miscellaneous/Federal Receipts	4,673	4,909	236
<b>Total Spending</b>	<b>24,060</b>	<b>24,690</b>	<b>630</b>
Local Assistance	15,794	16,190	396
Agency Operations (including GSCs)	7,900	8,134	234
Debt Service	366	366	0
Capital Projects	0	0	0
<b>Other Financing Sources</b>	<b>103</b>	<b>163</b>	<b>60</b>
<b>Change in Operations</b>	<b>690</b>	<b>(92)</b>	<b>(782)</b>
<b>Closing Balance</b>	<b>13,331</b>	<b>12,549</b>	<b>(782)</b>

## Receipts

Through June 2016, total receipts in State Operating Funds were \$212 million lower than the FY 2017 Enacted Budget Financial Plan projections, including lower overall tax collections consistent with the General Fund explanation provided above. Higher miscellaneous receipts were driven by strong first quarter HCRA surcharge collections, reflecting continued growth in the utilization of health care services throughout the state, in part this growth is a byproduct of expanded health insurance coverage provided under the ACA. The deposit of \$63 million from unanticipated monetary settlement collections into the Attorney General's litigation special revenue account also drove higher receipts through June.

## Spending

Compared to the initial projections, State Operating Funds spending was \$630 million above plan, or 2.6 percent higher. Consistent with the General Fund explanations above, driving the majority of the State Operating Funds variance was spending associated with Medicaid and agency operations (including GSCs). In addition to the General Fund variances, higher local assistance spending was also driven by transit aid payments to the MTA, reflecting additional disbursements based on the timing of dedicated revenue deposits which were higher than planned in June.

Total State operations' spending was \$234 million higher than initial projections, which, in addition to the General Fund factors described above, was largely driven by higher fringe and operational expenses of SUNY.

## Capital Projects Results

The State ended June 2016 with a Capital Projects closing balance of negative \$652 million, \$21 million lower than originally projected.

CAPITAL PROJECTS FUNDS RESULTS APRIL THROUGH JUNE 2016 (millions of dollars)			
	Enacted Plan	Results	Above/(Below) Variance
<b>Opening Balance</b>	<b>(891)</b>	<b>(891)</b>	<b>0</b>
<b>Total Receipts</b>	<b>2,027</b>	<b>1,764</b>	<b>(263)</b>
Taxes:	315	308	(7)
Consumption / Use Taxes	152	150	(2)
Business Taxes	151	146	(5)
Other Taxes	12	12	0
Miscellaneous Receipts	1,251	946	(305)
Federal Grants	461	510	49
<b>Total Spending</b>	<b>2,158</b>	<b>1,923</b>	<b>(235)</b>
Economic Development	258	234	(24)
Parks & the Environment	118	99	(19)
Transportation	977	951	(26)
Health & Social Welfare	34	14	(20)
Mental Hygiene	61	65	4
Public Protection	73	83	10
Education	249	169	(80)
All Other	388	308	(80)
<b>Other Financing Sources</b>	<b>391</b>	<b>398</b>	<b>7</b>
<b>Change in Operations</b>	<b>260</b>	<b>239</b>	<b>(21)</b>
<b>Closing Balance</b>	<b>(631)</b>	<b>(652)</b>	<b>(21)</b>

## Receipts

Through June 2016, total receipts in Capital Projects Funds were \$263 million lower than initial projections, mainly attributable to reduced miscellaneous receipts due to the timing of a State bond sale, as well as lower than anticipated bond proceed reimbursements to the DHBTF.

## Spending

The most significant areas contributing to lower capital spending (\$235 million) were slower than expected spending in grants to school districts (\$60 million); spending from the DIIF for special infrastructure projects (\$59 million), economic development (\$27 million), and homeless and affordable housing (\$19 million); and environmental conservation (\$43 million).

## All Governmental Funds Results

The State ended June 2016 with an All Governmental Funds closing balance of \$12.2 billion, \$895 million below the initial projection. Lower receipts (\$832 million) and higher spending (\$71 million) primarily contribute to the variance.

<b>All GOVERNMENTAL FUNDS RESULTS</b>			
<b>APRIL THROUGH JUNE 2016</b>			
<b>(millions of dollars)</b>			
	<u>Enacted Plan</u>	<u>Results</u>	<u>Above/(Below) Variance</u>
<b>Opening Balance</b>	<b>11,810</b>	<b>11,810</b>	<b>0</b>
<b>Total Receipts</b>	<b>39,252</b>	<b>38,421</b>	<b>(832)</b>
Taxes:	<u>20,289</u>	<u>19,835</u>	<u>(455)</u>
Personal Income Tax	13,892	13,293	(600)
Consumption / Use Taxes	4,005	4,089	84
Business Taxes	1,576	1,612	36
Other Taxes	816	841	25
Miscellaneous Receipts	5,974	5,904	(70)
Federal Grants	12,989	12,682	(307)
<b>Total Spending</b>	<b>37,929</b>	<b>38,000</b>	<b>71</b>
State Operating Funds:	<u>24,060</u>	<u>24,690</u>	<u>630</u>
Local Assistance	15,794	16,190	396
Agency Operations (including GSCs)	7,900	8,134	234
Debt Service	366	366	0
Capital Projects	0	0	0
Capital Projects Funds	2,158	1,923	(235)
Federal Operating Funds	11,711	11,387	(324)
<b>Other Financing Sources</b>	<b>(19)</b>	<b>(11)</b>	<b>8</b>
<b>Change in Operations</b>	<b>1,304</b>	<b>410</b>	<b>(895)</b>
<b>Closing Balance</b>	<b>13,114</b>	<b>12,220</b>	<b>(895)</b>



## Receipts

Through June 2016, total All Funds receipts were \$832 million lower than the FY 2017 Enacted Budget Financial Plan projections, mainly due to lower PIT, which is consistent with the explanations described earlier. The lower miscellaneous receipts reflect the combination of higher State Operating Funds resources, driven largely by HCRA and monetary settlement revenues, partly offset by lower bond proceed collections in Capital Funds as a result of delayed bond sales and bond proceed reimbursement to the DHBTF. Lower than anticipated Federal Grants is directly attributable to lower than anticipated Federal disbursements, as described in more detail below.

## Spending

Through June 2016, All Funds spending was \$71 million higher than planned. Offsetting the higher State Operating Funds spending variance was lower Capital Funds spending, as explained above, and lower Federal operating spending. Lower federal spending, as compared to the initial projections, was primarily due to the timing of payments across a number of program areas, most notably in the areas of Medicaid, OTDA welfare, and Homeland Security for disaster assistance.

## All Governmental Funds Annual Change

All Governmental Funds results, as compared to the same period during the prior year, include a higher opening balance (\$2.5 billion), a decline in receipts (\$784 million), and higher spending (\$4.2 billion). The combination of these annual changes resulted in a \$2.5 billion decline in overall balance.

<b>ALL GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR</b>				
<b>April through June</b>				
<b>(millions of dollars)</b>				
	<b>FY 2016</b>	<b>FY 2017</b>	<b>Increase/(Decrease)</b>	
	<b>Results</b>	<b>Results</b>	<b>\$</b>	<b>%</b>
<b>Opening Balance</b>	<b>9,356</b>	<b>11,810</b>	<b>2,454</b>	
<b>Total Receipts</b>	<b>39,205</b>	<b>38,421</b>	<b>(784)</b>	<b>-2.0%</b>
Taxes:	20,633	19,835	(798)	-3.9%
Personal Income Tax	13,844	13,293	(552)	-4.0%
Consumption / Use Taxes	4,000	4,089	89	2.2%
Business Taxes	1,777	1,612	(165)	-9.3%
Other Taxes	1,012	841	(171)	-16.9%
Miscellaneous Receipts	7,566	5,904	(1,662)	-22.0%
Federal Grants	11,006	12,682	1,676	15.2%
<b>Total Spending</b>	<b>33,790</b>	<b>38,000</b>	<b>4,210</b>	<b>12.5%</b>
State Operating Funds:	21,809	24,690	2,881	13.2%
Local Assistance	15,014	16,190	1,176	7.8%
Agency Operations (including GSCs)	6,210	8,134	1,924	31.0%
Debt Service	585	366	(219)	-37.4%
Capital Projects	0	-	(0)	-100.0%
Capital Projects Funds	1,497	1,923	426	28.4%
Federal Operating Funds	10,484	11,387	903	8.6%
<b>Other Financing Sources</b>	<b>(7)</b>	<b>(11)</b>	<b>(4)</b>	
<b>Change in Operations</b>	<b>5,408</b>	<b>410</b>	<b>(4,998)</b>	
<b>Closing Balance</b>	<b>14,764</b>	<b>12,220</b>	<b>(2,544)</b>	

## Receipts

All Funds tax receipts were \$784 million lower than prior year results, including PIT collections (\$552 million) due to decline in quarterly estimated tax payments, reflecting both the number of payments and the size of average payments. In total, PIT collections through the first quarter of FY 2017 are down 4 percent from the prior year.

An annual decline in business taxes (\$165 million) primarily reflects lower gross receipts and lower audits. Also contributing to the annual decline, corporation franchise taxpayers overpaid their liability in June 2015 due to the uncertainty concerning the first year of corporate tax reform. The decline in other taxes (\$171 million) was primarily the result of three abnormally large estate tax transfers occurring through June 2015 compared to none through June 2016.

Miscellaneous receipts were \$1.7 billion below the prior year largely due to the loss of one-time settlement proceeds in FY 2016, particularly \$1.3 billion from BNP Paribas and \$600 million from Deutsche Bank.

The \$1.7 billion annual growth in Federal grants were driven by growth in spending related to the Medicaid and EP programs.

## Spending

Through June 2016, All Funds spending was \$4.2 billion above the prior year, which was comprised of growth in State Operating Funds (\$2.9 billion), Federal Operating Funds (\$903 million), and Capital Projects Funds (\$426 million).

State Operating Funds spending for the first three months of FY 2017 exceeded the same period in the prior year by \$2.9 billion, or 13.2 percent. The largest contributor to this variance is the full payment of the State's \$1.9 billion pension bill in April 2016, which was not paid in full until July 2015 in the prior year. In addition, School Aid spending increased by \$336 million due to the increase enacted last year for the 2015-16 school year. Changes in the timing of certain Medicaid receivables, including anticipated audit recoveries and spending offsets that are now scheduled for later months, drive higher Medicaid cost above budget growth levels. Payment schedules, including payroll, and the advance payment of debt service and other expenses are the main contributors of the remaining year-to-year changes.

Federal spending growth was largely driven by higher Medicaid spending (\$1.3 billion) consistent with budgeted growth and the impact of the ACA, which is further driven by the annualized impact of new spending for the EP. This growth was offset by reduced spending in Education (\$159 million) and public assistance (\$195 million) due to the timing of payments.

Growth in capital projects spending is primarily attributable to the Special Infrastructure spending from designated monetary settlement funds (\$192 million), ESDC (\$69 million), and State and Municipal Facilities (\$51 million).



# Glossary of Acronyms



AAA	Area Agencies on Aging
ACA	Affordable Care Act
ADAP	Aids Drug Assistance Program
AG	Attorney General
AIG	American International Group, Inc.
AIM	Aid and Incentives for Municipalities
ALICO	American Life Insurance Company
AML	Anti-Money Laundering
ARC	Annual Required Contribution
ARRA	American Recovery and Reinvestment Act of 2009
AXA	AXA Equitable Life Insurance Company
BAN	Bond Anticipation Note
BCI	Bureau of Criminal Investigation (New York State Police Investigators Association)
BEA	Bureau of Economic Analysis
BIP	Balancing Incentive Program
BNPP	BNP Paribas, S.A., New York Branch
BOCES	Boards of Cooperative Educational Services
BofA	Bank of America
BSA	Bank Security Act
BTMU	Bank of Tokyo-Mitsubishi UFJ, Ltd.
CHP	Child Health Plus
CMS	Centers for Medicare & Medicaid Services
COLA	Cost-of-Living Adjustment
CO/NCO	Commissioned and Non-commissioned Officers
CPI	Consumer Price Index
CSEA	Civil Service Employees Association
CUNY	City University of New York
CY	Calendar Year
DA	District Attorney
DANY	New York County District Attorney
DASNY	Dormitory Authority of the State of New York
DC-37	District Council 37
DDPC	Developmental Disabilities Planning Council
DEC	Department of Environmental Conservation
DelAm	Delaware American Life Insurance Company
DFS	Department of Financial Services
DHBTf	Dedicated Highway and Bridge Trust Fund
DIIF	Dedicated Infrastructure Investment Fund
DMV	Department of Motor Vehicles
DOB	Division of the Budget
DOCCS	Department of Corrections and Community Supervision
DOH	Department of Health
DOS	Department of State
DOT	Department of Transportation
DS	Debt Service
DSRIP	Delivery System Reform Incentive Payment
DTF	Department of Taxation and Finance
EI	Early Intervention
EP	Essential Plan
EPF	Environmental Protection Fund

EPIC	Elderly Pharmaceutical Insurance Coverage
ERS	Employees' Retirement System
ESD	Empire State Development
ESPRI	Empire State Poverty Reduction Initiative
FHP	Family Health Plus
FMAP	Federal Medical Assistance Percentage
FPG	Fortis Property Group
FTE	Full-Time Equivalent
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GDP	Gross Domestic Product
GEA	Gap Elimination Adjustment
GLIP	Group Life Insurance Plan
GPHW	General Public Health Work
GSCs	General State Charges
GSEU	Graduate Student Employees Union
HASA	HIV/AIDS Services Administration
HCRA	Health Care Reform Act
HESC	Higher Education Services Corporation
IAAF	Interim Access Assurance Fund
IT	Information Technology
LGAC	Local Government Assistance Corporation
LICH	Long Island College Hospital
LIGC	Life Insurance Guaranty Corporation
LLC	Limited Liability Company
MA	Medicaid
M/C	Management/Confidential
MCTD	Metropolitan Commuter Transportation District
MRT	Medicaid Redesign Team
MTA	Metropolitan Transportation Authority
NMS	New Medical Site
NPS	Non-Personal Service
NYC	New York City
NYPA	New York Power Authority
NYS	New York State
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NYSHIP	New York State Health Insurance Program
NYSLRS	New York State and Local Retirement System
NYSOH	New York State of Health
NYSPBA	Police Benevolent Association of the New York State Troopers
NYSPIA	New York State Police Investigators Association
NYSTA	New York State Thruway Authority
NYU	New York University
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities



ORP	Optional Retirement Program
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PA	Public Assistance
PAYGO	Pay-As-You-Go
PBANYS	Police Benevolent Association of New York State
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PI	Personal Income
PILOT	Payments in Lieu of Taxes
PIT	Personal Income Tax
PS	Personal Service
PwC	PricewaterhouseCoopers LLP
QHP	Qualified Health Plan (NYSOH)
RBTF	Revenue Bond Tax Fund
RFP	Request for Proposals
RSSL	Retirement and Social Security Law
SCB	Standard Chartered Bank
SCB NY	Standard Chartered Bank, New York Branch
SED	State Education Department
SFS	Statewide Financial System
SHIN-NY	Statewide Health Information Network for New York
SIF	State Insurance Fund
SOF	State Operating Funds
SOFA	State Office for the Aging
SRO	State Special Revenue
SSI	Supplemental Security Income
STAR	School Tax Relief
STARC	Sales Tax Asset Receivable Corporation
STEM	Science, Technology, Engineering and Math
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
TIAA - CREF	Teachers Insurance and Annuity Association - College Retirement Equities Fund
TRS	Teachers' Retirement System
UPL	Upper Payment Limit
URI	Upstate Revitalization Initiative
U.S.	United States
UUP	United University Professions
VDC	Voluntary Defined Contribution Plan
VLT	Video Lottery Terminal





# **Financial Plan Tables and Accompanying Notes**



The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

## Note 1 — Basis of Accounting

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

## Note 2 — Fund Types and Perspectives

The State records its transactions in the following fund types:

### Governmental Funds

**General Fund** - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund or transferred from other funds during the fiscal year.

**Special Revenue** - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund which finances various social services programs, including Medicaid and public assistance. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

**Debt Service** - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

**Capital Projects** - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital

programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds such as the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

## **State Operating Funds**

The State Funds operating budget is a broader measure of spending for operations (as distinct from capital purposes) that is funded with State resources. It comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt.

## **Proprietary Funds**

**Internal Service Funds** - Account for the financing of goods or services supplied by one State agency to another State agency or other governmental entities on a cost-reimbursement basis.

**Enterprise Funds** - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

## **Fiduciary Funds**

**Private-Purpose Trust Funds** - Account for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

**Pension Trust Fund** - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

**Agency Funds** - Account for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.

## Note 3 – Disbursement Descriptions

The State's Financial Plan and reporting include only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

**Local Assistance Grants** - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

**PS** - Includes the payment of salaries and compensation for State employees.

**NPS** - Includes payments for operational costs, such as miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

**GSCs** - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers' compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State PILOT, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defenses by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

**Debt Service** - Includes payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for Health and Mental Hygiene facilities.

**Capital Projects** - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional facilities and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

**Bond Proceeds** - Includes the proceeds of General Obligation Bonds and short-term notes issued in the form of commercial paper or Bond Anticipation Notes (BANs), and are stated net of notes redeemed from the proceeds of long-term bonds or reissued notes.

**Operating Transfers** - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

## Note 4 — Reservations of General Fund Balance

Funds of the General Fund may be legally segregated for specific future use or informally reserved for specified purposes. The following funds of the General Fund are established in law:

**Tax Stabilization Reserve Fund** - Created to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending, and the balance may not exceed 2 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

**Rainy Day Reserve Fund** - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to 5 percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

**Contingency Reserve Fund** - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

**Community Projects Fund** - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. In previous years, the Budget included lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. The FY 2017 Budget includes no new appropriations.

The following funds of the General Fund are reserved for specified or undesignated purposes:

**Debt Management** - Reserved for i) the payment of principal, interest, or related expenses, ii) retiring or defeasing existing State-supported debt obligations, including accrued interest, and iii) the funding of capital projects, equipment, or similar expenses that would otherwise be financed with debt.



**Undesignated Fund Balance** - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for”.

**Labor Agreements Prior to FY 2017** - Due to the nature of the timing of labor agreements, DOB will informally reserve balances for possible prior-year costs for unions without current contracts.

**Monetary Settlements** - Includes the balance of monetary settlements after planned uses.

## Note 5 – Spending Adjustments

Certain accounts formerly reported in the Financial Plan as Federal Funds have been reclassified to State Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Funds, and reducing reported disbursements from Federal Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

## Note 6 – Items Affecting Annual Comparability

**American Recovery and Reinvestment Act of 2009 (ARRA)** - On February 17, 2009, President Barack Obama signed into law the ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services. Primary ARRA-related support ended in FY 2012.

**Superstorm Sandy** - In October 2012, Superstorm Sandy caused widespread flooding, power failures, and wind damage to public and private property in New York City, Long Island, and other downstate areas. Public infrastructure, including mass transit systems, public schools, and municipal buildings, sustained serious damage. The Financial Plan reflects Federal aid which will flow to local governments, public authorities, and not-for-profits over the multi-year plan to continue the State's recovery from Superstorm Sandy.

## Federal Health Care Transformation

- **Affordable Care Act** - The ACA, which became effective January 1, 2014, is intended to provide access to health insurance coverage, ensure appropriate access to care, and to restrain escalating health care costs. The Financial Plan reflects the impact of the ACA in a number of ways including, but not limited to, the benefit of lower costs associated with the State share of Medicaid as a result of enhanced Federal funding provided for Medicaid costs and program expansion. In addition, beginning in FY 2015, DOH assumed new costs associated with operating the NYSOH health benefit exchange, which is the State's single point of access insurance marketplace in accordance with the ACA, and has begun implementing a new information system designed to improve health insurance claiming.
- **Federal DSRIP** - On April 14, 2014, Governor Andrew M. Cuomo announced that terms and conditions were finalized between the State and Federal governments, allowing the State to reinvest \$8 billion in Federal savings generated by MRT reforms. The waiver amendment will address critical health care issues statewide and allow for comprehensive reform through a DSRIP program. The DSRIP program will promote community-level collaborations and focus on system reform, specifically a goal to achieve a 25 percent reduction in avoidable hospital use over five years, and clinical and population health improvements. The Financial Plan reflects the impact of the DSRIP program through additional Federal funds disbursements of approximately \$7 billion over the five-year planning period. The remainder of the DSRIP program reinvestment funding is expected to be disbursed beyond the current planning period.
- **Essential Plan** - The EP is a health insurance program, authorized through the ACA. The FY 2015 Enacted Budget authorized the State's option to participate in the EP. The State began phasing in certain legally residing immigrants who were receiving State-only Medicaid coverage in April 2015. Individuals who meet the eligibility standards of the EP are enrolled through the NYSOH health benefit exchange, with the cost of insurance premiums subsidized by the State and Federal government. Upon full implementation of EP, approximately 85 percent of program expenditures are expected to be funded by the Federal government. State funding for the EP is offset by lower State Funds Medicaid program spending, and additional Federal Funds.

**Mergers** - State agency mergers in recent years include the following:

- New York State Gaming Commission was created on February 1, 2013. The Commission merged the functions and responsibilities of the former Division of the Lottery and the former Racing and Wagering Board. As such, actual and estimated spending beginning in FY 2014 is reflected in the new agency.
- Activities of the Commission on Quality of Care and Advocacy for Persons with Disabilities were subsumed by the New York State Justice Center for the Protection of People with Special Needs, when it became operational on June 30, 2013.
- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Supervision.
- Consumer Protection Board merged into DOS.
- Foundation for Science, Technology, and Innovation merged into the Department of Economic Development.
- Banking and Insurance Departments merged into a new agency known as DFS.

## **Note 7 – State Funding of SUNY Operating Support**

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending to a transfer from the General Fund. SUNY's special revenue tuition offset account, which was traditionally used to offset the State's direct General Fund spending for SUNY operations, now reflects all spending for the university operations, effective with the 2013 academic year that began in July 2012. All General Fund support for SUNY operations is now transferred from the General Fund to this special revenue account, supplementing tuition revenue received by this account, and from which all university operations are funded.

## **Note 8 – Off-Budget Transactions**

These represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds on deposit at various public authorities, rather than from a STIP or General Fund loan.

## **Note 9 – General Fund/HCRA Combined Gap**

Current HCRA authorization ends April 1, 2017. HCRA is projected to remain balanced through FY 2020. Any unforeseen shortfall would need to be financed by the General Fund or HCRA programs.

## **Note 10 – MTA Financial Assistance Fund**

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the MCTD. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing estimated receipts and disbursements.

Additional funding to support the MTA is provided through a transfer from the General Fund, pursuant to December 2011 tax reform legislation which exempts school districts and small businesses operating within the MCTD from the provisions of the Mobility Tax.

## **Note 11 – Changes to the Medicaid Program**

Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level that otherwise would have been paid by Local Social Services Districts. The statutory indexing provisions were amended through legislation to implement a three-year phased-takeover of the Local Social Services Districts' share of all growth above the previous year's enacted levels beginning on April 1, 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

## **Note 12 – Statewide Cash Flow Administration**

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted annually. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

The General Fund is authorized to borrow resources temporarily from STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The State last used this authorization

in April 2011 when the General Fund needed to temporarily borrow a minimal amount of funds from STIP for a period of five days. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other money belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

### **Note 13 — Transfer Eliminations**

The Special Revenue Funds and Capital Projects Funds include transfers of resources between Federal and State accounts within each fund. In some instances, Federal aid that is initially deposited into a Federal account is transferred to State accounts where the aid is used to support the programs it is intended to fund. To avoid recording spending twice, initially as the transfer of resources to another account and subsequently when payments are made, these transfers are eliminated in these funds. The transfer eliminations are consistent with the State Comptroller's accounting practices shown in Exhibit A of the Comptroller's Annual Report to the Legislature.

### **Note 14 — Temporary Loans Summary**

The total outstanding loan balance as of March 31, 2016 was \$2.7 billion, comprised of activities that are financed initially by the State pending Federal receipt (\$1.2 billion), advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$966 million), State Special Revenue Funds (\$345 million), and Proprietary Funds (\$179 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

## Note 15 — Pension Amortization

Amortization (deferment of payment) temporarily reduces the pension costs that must be paid by the State in a given fiscal year, but results in higher costs overall when repaid with interest. Consistent with the authorizing legislation, the interest cost is calculated by OSC. In FY 2015 and FY 2016, the interest rates charged on the deferred amounts were 3.15 percent and 3.21 percent, respectively. The amortization threshold (the “graded rate”) is projected to approximate the normal rate in upcoming years. Therefore, the Financial Plan no longer assumes amortization of State and OCA pension costs beyond FY 2016.

<b>STATE PENSION COSTS AND AMORTIZATION SAVINGS</b> (millions of dollars)						
Fiscal Year	Amortization Thresholds (Graded Rate)		Statewide Pension Payments			
	ERS (%)	PFRS (%)	Gross Pension Costs	(Amortization Amount)/ Excess Contributions	Repayment of Amortization (incl. FY 2005 and FY 2006)	Total Statewide Pension Payments
2011	9.5	17.5	1,633	(250)	87	1,470
2012	10.5	18.5	2,140	(563)	119	1,696
2013	11.5	19.5	2,192	(779)	188	1,601
2014	12.5	20.5	2,744	(937)	279	2,086
2015	13.5	21.5	2,438	(713)	393	2,118
2016	14.5	22.5	2,189	(356)	392	2,225
2017	15.1	23.5	2,064	0	432	2,496
2018	15.1	23.8	2,133	0	432	2,565
2019	14.6	23.3	2,116	0	432	2,548
2020	14.8	23.5	2,130	0	432	2,562

## Note 16 — 2 Percent Spending Benchmark

The line on the Financial Plan tables labeled “Adherence to 2 percent Spending Benchmark” is the estimated savings that would be realized by limiting annual spending growth in future years to 2 percent. The current Administration is expected to propose, and negotiate with the Legislature to enact, Budgets that hold State Operating Funds spending growth to 2 percent. The General Fund operating projections assume that all savings from adhering to 2 percent spending growth are made available to the General Fund.

## Note 17 — Reclassification of SUNY Dormitory Income Fund

Legislation included with the FY 2014 Enacted Budget created a new bonding program for SUNY Dormitory Facilities. The program is supported solely by third-party revenues generated by student rents. All rental revenues initially flow to DASNY for the payment of debt service on SUNY Dormitory Facilities, after which the balance of rental revenues is credited to the State's SUNY Dormitory Income Fund to support other costs associated with SUNY Dormitory Facilities. There will be no prospective debt service spending from the SUNY Dormitory Income fund, and therefore this fund was reclassified from a Debt Service Fund to a Special Revenue Fund of the State, effective in FY 2015.

## Note 18 - List of Settlements Received

The following monetary settlements were received by the State through FY 2017.

- BNP Paribas, S.A. New York Branch (BNPP) paid nearly \$3.6 billion pursuant to (i) a June 29, 2014 consent order between DFS and BNPP and (ii) a June 30, 2014 plea agreement between BNPP and the New York County District Attorney (DANY) in connection with conduct by BNPP which violated U.S. national security and foreign policy and raised serious safety and soundness concerns for regulators. BNPP's conduct included obstructing governmental administration, failing to report crimes and misconduct, offering false instruments for filing, and falsifying business records.
- Credit Suisse AG paid a \$715 million civil monetary penalty pursuant to a May 18, 2014 consent order between DFS and Credit Suisse AG. This consent order pertained to Credit Suisse AG's decades-long operation of an illegal cross-border banking business whereby Credit Suisse AG knowingly and willfully (i) aided thousands of U.S. clients in opening and maintaining undeclared accounts, and (ii) concealed offshore assets and income from the Internal Revenue Service and New York authorities.
- Credit Suisse Securities (USA) LLC paid the State \$30 million pursuant to a settlement agreement between the Office of the Attorney General and Credit Suisse Securities to settle investigations into false statements and omissions made in connection with the marketing of dark pools and other high-speed electronic equities trading services.

- Commerzbank AG New York Branch and Commerzbank AG (collectively “Commerzbank”) paid a \$610 million civil monetary penalty pursuant to a March 12, 2015 consent order between Commerzbank and DFS. This consent order pertained to Commerzbank’s transactions on behalf of Iran, Sudan, and a Japanese corporation which engaged in accounting fraud in violation of New York State Banking Law and regulations. Additionally, Commerzbank AG paid \$81.7 million in fines and forfeiture payments pursuant to a Deferred Prosecution Agreement between Commerzbank, the New York County District Attorney’s Office and the United States Department of Justice. This Deferred Prosecution Agreement pertained to Commerzbank’s actions in moving more than \$250 million through the U.S. financial system primarily on behalf of Iranian and Sudanese customers in violation of U.S. sanctions, by concealing the illegal nature of these transactions and deceiving U.S. banks into processing illegal wire payments.
- Deutsche Bank AG and Deutsche Bank AG New York Branch (collectively “Deutsche Bank”) paid a \$600 million civil monetary penalty in accordance with an April 23, 2015 consent order between Deutsche Bank and DFS. This consent order pertained to Deutsche Bank’s manipulation of benchmark interest rates, including (i) the London Interbank Offered Rate, (ii) the Euro Interbank Offered Rate and (iii) the Euroyen Tokyo Interbank Offered Rate, in violation of New York State Banking Law and regulations.
- Deutsche Bank paid an additional \$200 million civil monetary penalty pursuant to a November 3, 2015 consent order between DFS and Deutsche Bank. This consent order pertained to Deutsche Bank’s use of non-transparent methods and practices to conduct more than 27,200 U.S. dollar clearing transactions, valued at over \$10.86 billion, on behalf of Iranian, Libyan, Syrian, Burmese, and Sudanese financial institutions and other entities subject to U.S. economic sanctions, including entities on the Specially Designated Nationals List of the U.S. Treasury Department’s Office of Foreign Assets Control.
- Barclays paid \$635 million, which included (i) a \$485 million civil monetary penalty in accordance with a May 2015 consent order between Barclays and DFS, and (ii) a \$150 million civil monetary penalty in accordance with a November 2015 consent order between Barclays and DFS. The May 2015 consent order pertained to Barclays’ attempted manipulation of benchmark foreign exchange rates and other manipulative conduct in violation of New York State Banking Law and regulations. The November 2015 consent order pertained to Barclays’ automated electronic foreign exchange trading misconduct.
- Barclays Capital Inc. paid the State \$35 million pursuant to a settlement agreement between the Office of the Attorney General and Barclays Capital Inc. to settle investigations into false statements and omissions made in connection with the marketing of their dark pools and other high-speed electronic equities trading services.
- Credit Agricole paid \$459 million, which included (i) a \$385 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Credit Agricole, and (ii) an asset forfeiture of \$74 million pursuant to a deferred prosecution agreement with the New York County District Attorney’s office. Both the consent order and deferred prosecution



agreement pertained to Credit Agricole's processing billions of dollars of payments on behalf of certain sanctioned parties...

- The Bank of Tokyo-Mitsubishi UFJ, Ltd. (BTMU) paid a \$315 million civil monetary penalty pursuant to a November 18, 2014 consent order between DFS and BTMU. This consent order pertained to BTMU's wrongful actions in misleading DFS concerning BTMU's U.S. dollar clearing services conducted on behalf of sanctioned Sudanese, Iranian, and Burmese parties. Previously, BTMU paid a \$250 million civil monetary penalty pursuant to a June 19, 2013 consent order between DFS and BTMU. Such consent order pertained to BTMU's unlawful clearance through the New York Branch and other New York-based financial institutions of approximately 28,000 U.S. dollar payments, valued at approximately \$100 billion, on behalf of certain sanctioned parties.
- Bank of America (BofA) paid \$300 million pursuant to an August 18, 2014 settlement agreement to remediate harms related to BofA's violations of State law in connection with the packaging, origination, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into BofA Corporation, Bank of America, N.A., and Banc of America Mortgage Securities, as well as their current and former subsidiaries and affiliates.
- Standard Chartered Bank, New York Branch (SCB NY) paid \$300 million pursuant to an August 19, 2014 consent order between the DFS and SCB NY for failure to fully comply with a September 21, 2012 consent order between the parties. The August 19, 2014 consent order pertained to SCB NY's use of ineffective risk management systems for the identification and management of compliance risks related to compliance with the Bank Security Act (BSA) and anti-money laundering (AML) laws, rules, and regulations. Such risks included U.S. dollar clearing for clients of SCB United Arab Emirates and SCB Hong Kong, among others.
- Morgan Stanley paid \$150 million pursuant to a 2016 settlement agreement between the Office of the Attorney General and Morgan Stanley. This settlement agreement pertained to harms to the State allegedly resulting from Morgan Stanley's creation, packaging, marketing, underwriting, sale, structuring, arrangement, and issuance of residential mortgage-backed securities in 2006 and 2007.
- Bank Leumi paid a \$130 million civil monetary penalty pursuant to a December 22, 2014 consent order between DFS and Bank Leumi. This consent order pertained to Bank Leumi's (i) knowing and willful operation of a wrongful cross-border banking business which assisted U.S. clients in concealing offshore assets and evading U.S. tax obligations, and (ii) misleading DFS about Bank Leumi's improper activities.
- Ocwen Financial (Ocwen) paid a \$100 million civil monetary penalty and another \$50 million as restitution to current and former Ocwen borrowers pursuant to a December 19, 2014 consent order between DFS and Ocwen. This consent order pertained to, among other things, numerous and significant violations of a 2011 agreement between Ocwen and DFS which required Ocwen to adhere to certain servicing practices in the

best interest of borrowers and investors. The \$100 million payment is to be used by the State for housing, foreclosure relief, and community redevelopment programs supporting New York's housing recovery. The \$50 million restitution payment will be used to make \$10,000 payments to current and former Ocwen borrowers in New York whose homes were foreclosed upon by Ocwen between January 1, 2009 and December 19, 2014. The balance of the \$50 million will then be distributed equally among borrowers who had foreclosure actions filed against them by Ocwen between January 1, 2009 and December 19, 2014, but in which Ocwen did not complete such foreclosure action.

- Citigroup Inc. ("Citigroup") paid \$92 million pursuant to a July 2014 settlement agreement to remediate harms to the State resulting from the packaging, marketing, sale, structuring, arrangement, and issuance of residential mortgage-backed securities and collateralized debt obligations. The settlement agreement is the result of investigations by Federal and State entities into Citigroup.
- Goldman Sachs Group, Inc. and Goldman Sachs and Co. (collectively "Goldman") paid a \$50 million civil monetary penalty pursuant to an October 2015 consent order between DFS and Goldman. This consent order pertained to Goldman's failure to implement and maintain adequate policies and procedures relating to post-employment restrictions of former government employees.
- Goldman Sachs Group, Inc. as well as its current and former subsidiaries (collectively "Goldman Sachs"), paid the State \$190 million pursuant to an April 2016 settlement agreement between the Office of the Attorney General and Goldman. This settlement agreement pertained to the marketing, structuring, arrangement, underwriting, issuance, and sale of residential mortgage-backed securities by Goldman in 2006 and 2007.
- American Life Insurance Company (ALICO), Delaware American Life Insurance Company (DelAm), and MetLife, Inc. (MetLife) (collectively "MetLife Parties") paid \$50 million as a civil fine pursuant to a March 31, 2014 consent order between DFS and MetLife Parties. This consent order pertained to a DFS investigation into whether ALICO and DelAm conducted an insurance business in New York without a New York license, and aided other insurers in conducting businesses in New York without a New York license.
- American International Group, Inc. (AIG) paid a \$35 million civil monetary penalty pursuant to an October 31, 2014 consent order between DFS and AIG. This consent order pertained to a DFS investigation which uncovered former subsidiaries ALICO and DelAm (i) solicited insurance business in New York without a license and (ii) made intentional misrepresentations and omissions to DFS concerning such activities.
- PricewaterhouseCoopers LLP (PwC) paid \$25 million pursuant to an August 14, 2014 settlement agreement between DFS and PwC to (i) resolve the DFS's investigation of PwC's actions in performing certain consulting services for the Tokyo Branch of The Bank of Tokyo-Mitsubishi UFJ, Ltd. in 2007 and 2008, and (ii) establish the basis for a constructive relationship between the parties that will better protect investors and the public.

- AXA Equitable Life Insurance Company (AXA) paid a \$20 million civil fine pursuant to a March 17, 2014 consent order between DFS and AXA. The consent order pertained to whether AXA properly informed DFS regarding the implementation of its “AXA Tactical Manager” strategy.
- Promontory Financial Group, LLC (Promontory) paid the State \$15 million pursuant to an August 18, 2015 agreement between DFS and Promontory. This agreement pertained to Promontory’s performance of regulatory compliance work for Standard Chartered Bank wherein Promontory failed to meet DFS’s requirements for consultants performing such regulatory compliance work.
- New Day Financial LLC Fulton, Maryland (New Day) paid a \$1 million civil monetary penalty pursuant to a November 18, 2015 consent order between the DFS and New Day. The consent order pertained to New Day’s violation of the Nationwide Multistate Licensing System and Registry Rules of Conduct and New York Mortgage Banking laws.
- The NYS Attorney General announced that Volkswagen AG, Audi and Porsche Affiliates (collectively, “Volkswagen”) will pay the State over \$30 million in monetary recoveries in accordance with a series of proposed settlement agreements between the Office of the Attorney General (amongst others) and Volkswagen. This settlement agreement pertains to Volkswagen’s violations of emissions standards and state consumer protection laws. These funds will be set aside with other settlements that have not yet been appropriated. Additionally, the State will receive, and the Department of Environmental Conservation (DEC) will administer, approximately \$117 million for air quality improvement programs in New York.

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**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2017 through FY 2020  
(millions of dollars)**

	<u>FY 2017 First Quarter</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	33,420	35,389	35,429	36,988
Consumption/Use Taxes	7,085	7,421	7,709	7,979
Business Taxes	5,750	6,078	6,155	6,538
Other Taxes	1,045	971	933	984
Miscellaneous Receipts	2,826	2,486	2,455	2,335
Federal Receipts	1	1	1	1
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,874	10,883	10,442	10,501
Sales Tax in Excess of LGAC	2,867	3,115	3,156	3,294
Sales Tax in Excess of Revenue Bond Debt Service	2,646	2,718	2,800	3,009
Real Estate Taxes in Excess of CW/CA Debt Service	951	1,021	1,076	1,128
All Other	1,203	751	750	734
<b>Total Receipts</b>	<u>68,668</u>	<u>70,834</u>	<u>70,906</u>	<u>73,491</u>
<b>Disbursements:</b>				
Local Assistance Grants	45,769	48,967	51,595	54,450
Departmental Operations:				
Personal Service	6,012	6,068	6,104	6,166
Non-Personal Service	2,253	2,613	2,426	2,502
General State Charges	5,552	5,916	6,124	6,467
Transfers to Other Funds:				
Debt Service	706	1,260	1,182	1,076
Capital Projects	3,810	3,469	3,399	3,311
State Share of Mental Hygiene Medicaid	1,437	1,325	1,301	1,236
SUNY Operations	996	1,001	997	997
Other Purposes	4,578	4,805	5,160	5,571
<b>Total Disbursements</b>	<u>71,113</u>	<u>75,424</u>	<u>78,288</u>	<u>81,776</u>
<b>Use (Reservation) of Fund Balance:</b>				
Community Projects Fund	10	0	0	0
Labor Agreements Prior to FY 2017	75	0	0	0
Undesignated Fund Balance	87	0	0	0
Monetary Settlements	2,273	1,352	1,200	731
Programmed	2,423	1,352	1,200	731
Unbudgeted	(150)	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>2,445</u>	<u>1,352</u>	<u>1,200</u>	<u>731</u>
<b>Adherence to 2% Spending Benchmark</b>	0	3,031	4,710	6,532
<b>Excess (Deficiency) of Receipts and Use (Reservation) of Fund Balance Over Disbursements</b>				
	<u>0</u>	<u>(207)</u>	<u>(1,472)</u>	<u>(1,022)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
ANNUAL CHANGE FROM CURRENT YEAR  
(millions of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 First Quarter</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Opening Fund Balance</b>	7,300	8,934	1,634	22.4%
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	31,957	33,420	1,463	4.6%
Consumption/Use Taxes	6,819	7,085	266	3.9%
Business Taxes	5,647	5,750	103	1.8%
Other Taxes	1,540	1,045	(495)	-32.1%
Miscellaneous Receipts	5,842	2,826	(3,016)	-51.6%
Federal Receipts	0	1	1	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	10,159	10,874	715	7.0%
Sales Tax in Excess of LGAC	2,728	2,867	139	5.1%
Sales Tax in Excess of Revenue Bond Debt Service	2,759	2,646	(113)	-4.1%
Real Estate Taxes in Excess of CW/CA Debt Service	972	951	(21)	-2.2%
All Other	1,253	1,203	(50)	-4.0%
<b>Total Receipts</b>	<b>69,676</b>	<b>68,668</b>	<b>(1,008)</b>	<b>-1.4%</b>
<b>Disbursements:</b>				
Local Assistance Grants	43,314	45,769	2,455	5.7%
Departmental Operations:				
Personal Service	6,011	6,012	1	0.0%
Non-Personal Service	1,944	2,253	309	15.9%
General State Charges	5,397	5,552	155	2.9%
Transfers to Other Funds:				
Debt Service	1,196	706	(490)	-41.0%
Capital Projects	2,721	3,810	1,089	40.0%
State Share of Mental Hygiene Medicaid	2,036	1,437	(599)	-29.4%
SUNY Operations	998	996	(2)	-0.2%
Other Purposes	4,425	4,578	153	3.5%
<b>Total Disbursements</b>	<b>68,042</b>	<b>71,113</b>	<b>3,071</b>	<b>4.5%</b>
<b>Excess (Deficiency) of Receipts Over Disbursements</b>	<b>1,634</b>	<b>(2,445)</b>	<b>(4,079)</b>	<b>-249.6%</b>
<b>Closing Fund Balance</b>	<b>8,934</b>	<b>6,489</b>	<b>(2,445)</b>	<b>-27.4%</b>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve Fund	1,258	1,258	0	
Rainy Day Reserve Fund	540	540	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	63	53	(10)	
<b>Reserved For</b>				
Labor Agreements Prior to FY 2017	15	90	75	
Debt Management	500	500	0	
Undesignated Fund Balance <sup>1</sup>	237	0	(237)	
Monetary Settlements	6,300	4,027	(2,273)	
Programmed	5,755	3,332	(2,423)	
Unbudgeted	545	695	150	

<sup>1</sup>The undesignated fund balance carried forward from FY 2016 totaled \$237 million of which \$90 million is held in reserve for potential costs of prior year labor agreements and the remaining \$162 million is used in FY 2017.

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2017  
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	33,870	(450)	33,420
Consumption/Use Taxes	7,087	(2)	7,085
Business Taxes	5,750	0	5,750
Other Taxes	1,045	0	1,045
Miscellaneous Receipts	2,813	13	2,826
Federal Receipts	0	1	1
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,899	(25)	10,874
Sales Tax in Excess of LGAC	2,868	(1)	2,867
Sales Tax in Excess of Revenue Bond Debt Service	2,647	(1)	2,646
Real Estate Taxes in Excess of CW/CA Debt Service	951	0	951
All Other	1,046	157	1,203
<b>Total Receipts</b>	<u>68,976</u>	<u>(308)</u>	<u>68,668</u>
<b>Disbursements:</b>			
Local Assistance Grants	45,957	(188)	45,769
Departmental Operations:			
Personal Service	6,054	(42)	6,012
Non-Personal Service	2,245	8	2,253
General State Charges	5,425	127	5,552
Transfers to Other Funds:			
Debt Service	706	0	706
Capital Projects	4,461	(651)	3,810
State Share of Mental Hygiene Medicaid	1,437	0	1,437
SUNY Operations	996	0	996
Other Purposes	4,560	18	4,578
<b>Total Disbursements</b>	<u>71,841</u>	<u>(728)</u>	<u>71,113</u>
<b>Use (Reservation) of Fund Balance:</b>			
Community Projects Fund	10	0	10
Labor Agreements Prior to FY 2017	15	60	75
Undesignated Fund Balance	87	0	87
Monetary Settlements	2,753	(480)	2,273
Programmed	2,873	(450)	2,423
Unbudgeted	(120)	(30)	(150)
<b>Total Use (Reservation) of Fund Balance</b>	<u>2,865</u>	<u>(420)</u>	<u>2,445</u>
<b>Adherence to 2% Spending Benchmark</b>	0	0	0
<b>Net General Fund Surplus (Deficit)</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2018  
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	35,839	(450)	35,389
Consumption/Use Taxes	7,424	(3)	7,421
Business Taxes	6,078	0	6,078
Other Taxes	970	1	971
Miscellaneous Receipts	2,486	0	2,486
Federal Receipts	0	1	1
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	11,033	(150)	10,883
Sales Tax in Excess of LGAC	3,117	(2)	3,115
Sales Tax in Excess of Revenue Bond Debt Service	2,719	(1)	2,718
Real Estate Taxes in Excess of CW/CA Debt Service	1,021	0	1,021
All Other	750	1	751
<b>Total Receipts</b>	<u>71,437</u>	<u>(603)</u>	<u>70,834</u>
<b>Disbursements:</b>			
Local Assistance Grants	49,086	(119)	48,967
Departmental Operations:			
Personal Service	6,097	(29)	6,068
Non-Personal Service	2,558	55	2,613
General State Charges	5,824	92	5,916
Transfers to Other Funds:			
Debt Service	1,260	0	1,260
Capital Projects	3,019	450	3,469
State Share of Mental Hygiene Medicaid	1,325	0	1,325
SUNY Operations	1,001	0	1,001
Other Purposes	4,770	35	4,805
<b>Total Disbursements</b>	<u>74,940</u>	<u>484</u>	<u>75,424</u>
<b>Use (Reservation) of Fund Balance:</b>			
Monetary Settlements	902	450	1,352
Programmed	902	450	1,352
Unbudgeted	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>902</u>	<u>450</u>	<u>1,352</u>
<b>Adherence to 2% Spending Benchmark</b>	2,956	75	3,031
<b>Net General Fund Surplus (Deficit)</b>	<u>355</u>	<u>(562)</u>	<u>(207)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2019  
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	35,879	(450)	35,429
Consumption/Use Taxes	7,712	(3)	7,709
Business Taxes	6,155	0	6,155
Other Taxes	933	0	933
Miscellaneous Receipts	2,455	0	2,455
Federal Receipts	0	1	1
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,592	(150)	10,442
Sales Tax in Excess of LGAC	3,158	(2)	3,156
Sales Tax in Excess of Revenue Bond Debt Service	2,802	(2)	2,800
Real Estate Taxes in Excess of CW/CA Debt Service	1,076	0	1,076
All Other	750	0	750
<b>Total Receipts</b>	<u>71,512</u>	<u>(606)</u>	<u>70,906</u>
<b>Disbursements:</b>			
Local Assistance Grants	51,650	(55)	51,595
Departmental Operations:			
Personal Service	6,135	(31)	6,104
Non-Personal Service	2,364	62	2,426
General State Charges	6,033	91	6,124
Transfers to Other Funds:			
Debt Service	1,182	0	1,182
Capital Projects	3,399	0	3,399
State Share of Mental Hygiene Medicaid	1,301	0	1,301
SUNY Operations	997	0	997
Other Purposes	5,126	34	5,160
<b>Total Disbursements</b>	<u>78,187</u>	<u>101</u>	<u>78,288</u>
<b>Use (Reservation) of Fund Balance:</b>			
Monetary Settlements	1,200	0	1,200
Programmed	1,200	0	1,200
Unbudgeted	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,200</u>	<u>0</u>	<u>1,200</u>
<b>Adherence to 2% Spending Benchmark</b>	4,634	76	4,710
<b>Net General Fund Surplus (Deficit)</b>	<u>(841)</u>	<u>(631)</u>	<u>(1,472)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2020  
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>First Quarter</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	37,438	(450)	36,988
Consumption/Use Taxes	7,983	(4)	7,979
Business Taxes	6,538	0	6,538
Other Taxes	984	0	984
Miscellaneous Receipts	2,318	17	2,335
Federal Receipts	0	1	1
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	10,651	(150)	10,501
Sales Tax in Excess of LGAC	3,296	(2)	3,294
Sales Tax in Excess of Revenue Bond Debt Service	3,011	(2)	3,009
Real Estate Taxes in Excess of CW/CA Debt Service	1,128	0	1,128
All Other	734	0	734
<b>Total Receipts</b>	<u>74,081</u>	<u>(590)</u>	<u>73,491</u>
<b>Disbursements:</b>			
Local Assistance Grants	54,496	(46)	54,450
Departmental Operations:			
Personal Service	6,189	(23)	6,166
Non-Personal Service	2,451	51	2,502
General State Charges	6,417	50	6,467
Transfers to Other Funds:			
Debt Service	1,076	0	1,076
Capital Projects	3,311	0	3,311
State Share of Mental Hygiene Medicaid	1,236	0	1,236
SUNY Operations	997	0	997
Other Purposes	5,536	35	5,571
<b>Total Disbursements</b>	<u>81,709</u>	<u>67</u>	<u>81,776</u>
<b>Use (Reservation) of Fund Balance:</b>			
Monetary Settlements	731	0	731
Programmed	731	0	731
Unbudgeted	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>731</u>	<u>0</u>	<u>731</u>
<b>Adherence to 2% Spending Benchmark</b>	6,498	34	6,532
<b>Net General Fund Surplus (Deficit)</b>	<u>(399)</u>	<u>(623)</u>	<u>(1,022)</u>

**CASH RECEIPTS  
CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
	<b>First Quarter</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>Taxes:</b>				
Withholdings	38,356	39,802	41,056	43,158
Estimated Payments	15,506	17,205	16,594	17,644
Final Payments	2,720	2,891	3,034	3,168
Other Payments	1,358	1,397	1,457	1,521
<b>Gross Collections</b>	<u>57,940</u>	<u>61,295</u>	<u>62,141</u>	<u>65,491</u>
State/City Offset	(688)	(688)	(688)	(589)
Refunds	<u>(8,388)</u>	<u>(9,452)</u>	<u>(10,319)</u>	<u>(11,759)</u>
<b>Reported Tax Collections</b>	48,864	51,155	51,134	53,143
STAR (Dedicated Deposits)	(3,228)	(2,977)	(2,921)	(2,869)
RBTF (Dedicated Transfers)	<u>(12,216)</u>	<u>(12,789)</u>	<u>(12,784)</u>	<u>(13,286)</u>
<b>Personal Income Tax</b>	<u>33,420</u>	<u>35,389</u>	<u>35,429</u>	<u>36,988</u>
Sales and Use Tax	12,958	13,626	14,212	14,764
Cigarette and Tobacco Taxes	348	345	335	324
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	258	263	268	273
Medical Marihuana Excise Tax	0	0	0	0
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
<b>Gross Utility Taxes and Fees</b>	<u>13,564</u>	<u>14,234</u>	<u>14,815</u>	<u>15,361</u>
LGAC/STBF (Dedicated Transfers)	<u>(6,479)</u>	<u>(6,813)</u>	<u>(7,106)</u>	<u>(7,382)</u>
<b>Consumption/Use Taxes</b>	<u>7,085</u>	<u>7,421</u>	<u>7,709</u>	<u>7,979</u>
Corporation Franchise Tax	3,688	3,950	3,949	4,312
Corporation and Utilities Tax	568	559	563	569
Insurance Taxes	1,321	1,407	1,521	1,597
Bank Tax	173	162	122	60
Petroleum Business Tax	0	0	0	0
<b>Business Taxes</b>	<u>5,750</u>	<u>6,078</u>	<u>6,155</u>	<u>6,538</u>
Estate Tax	1,024	950	912	963
Real Estate Transfer Tax	1,138	1,204	1,258	1,308
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	18	18	18	18
Other Taxes	3	3	3	3
<b>Gross Other Taxes</b>	<u>2,183</u>	<u>2,175</u>	<u>2,191</u>	<u>2,292</u>
Real Estate Transfer Tax (Dedicated)	<u>(1,138)</u>	<u>(1,204)</u>	<u>(1,258)</u>	<u>(1,308)</u>
<b>Other Taxes</b>	<u>1,045</u>	<u>971</u>	<u>933</u>	<u>984</u>
<b>Payroll Tax</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total Taxes</b>	<u>47,300</u>	<u>49,859</u>	<u>50,226</u>	<u>52,489</u>
Licenses, Fees, Etc.	639	661	634	666
Abandoned Property	525	525	525	525
Motor Vehicle Fees	183	233	246	258
ABC License Fee	63	60	66	62
Reimbursements	263	298	280	303
Investment Income	15	13	8	8
Other Transactions	1,138	696	696	513
<b>Miscellaneous Receipts</b>	<u>2,826</u>	<u>2,486</u>	<u>2,455</u>	<u>2,335</u>
<b>Federal Receipts</b>	<u>1</u>	<u>1</u>	<u>1</u>	<u>1</u>
<b>Total</b>	<u>50,127</u>	<u>52,346</u>	<u>52,682</u>	<u>54,825</u>

**CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Taxes:</b>				
Withholdings	36,549	38,356	1,807	4.9%
Estimated Payments	16,111	15,506	(605)	-3.8%
Final Payments	2,630	2,720	90	3.4%
Other Payments	1,310	1,358	48	3.7%
<b>Gross Collections</b>	<u>56,600</u>	<u>57,940</u>	<u>1,340</u>	<u>2.4%</u>
State/City Offset	(675)	(688)	(13)	-1.9%
Refunds	(8,870)	(8,388)	482	5.4%
<b>Reported Tax Collections</b>	<u>47,055</u>	<u>48,864</u>	<u>1,809</u>	<u>3.8%</u>
STAR (Dedicated Deposits)	(3,335)	(3,228)	107	3.2%
RBTF (Dedicated Transfers)	(11,763)	(12,216)	(453)	-3.9%
<b>Personal Income Tax</b>	<u>31,957</u>	<u>33,420</u>	<u>1,463</u>	<u>4.6%</u>
Sales and Use Tax	12,485	12,958	473	3.8%
Cigarette and Tobacco Taxes	322	348	26	8.1%
Motor Fuel Tax	0	0	0	0.0%
Alcoholic Beverage Taxes	255	258	3	1.2%
Medical Marihuana Excise Tax	0	0	0	0.0%
Highway Use Tax	0	0	0	0.0%
Auto Rental Tax	0	0	0	0.0%
Taxicab Surcharge	0	0	0	0.0%
<b>Gross Utility Taxes and Fees</b>	<u>13,062</u>	<u>13,564</u>	<u>502</u>	<u>3.8%</u>
LGAC/STBF (Dedicated Transfers)	(6,243)	(6,479)	(236)	-3.8%
<b>Consumption/Use Taxes</b>	<u>6,819</u>	<u>7,085</u>	<u>266</u>	<u>3.9%</u>
Corporation Franchise Tax	3,763	3,688	(75)	-2.0%
Corporation and Utilities Tax	594	568	(26)	-4.4%
Insurance Taxes	1,419	1,321	(98)	-6.9%
Bank Tax	(129)	173	302	234.1%
Petroleum Business Tax	0	0	0	0.0%
<b>Business Taxes</b>	<u>5,647</u>	<u>5,750</u>	<u>103</u>	<u>1.8%</u>
Estate Tax	1,521	1,024	(497)	-32.7%
Real Estate Transfer Tax	1,163	1,138	(25)	-2.1%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	17	18	1	5.9%
Other Taxes	2	3	1	50.0%
<b>Gross Other Taxes</b>	<u>2,703</u>	<u>2,183</u>	<u>(520)</u>	<u>-19.2%</u>
Real Estate Transfer Tax (Dedicated)	(1,163)	(1,138)	25	2.1%
<b>Other Taxes</b>	<u>1,540</u>	<u>1,045</u>	<u>(495)</u>	<u>-32.1%</u>
<b>Payroll Tax</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0.0%</u>
<b>Total Taxes</b>	<u>45,963</u>	<u>47,300</u>	<u>1,337</u>	<u>2.9%</u>
Licenses, Fees, Etc.	630	639	9	1.4%
Abandoned Property	527	525	(2)	-0.4%
Motor Vehicle Fees	194	183	(11)	-5.7%
ABC License Fee	66	63	(3)	-4.5%
Reimbursements	232	263	31	13.4%
Investment Income	13	15	2	15.4%
Other Transactions	4,180	1,138	(3,042)	-72.8%
<b>Miscellaneous Receipts</b>	<u>5,842</u>	<u>2,826</u>	<u>(3,016)</u>	<u>-51.6%</u>
<b>Federal Receipts</b>	<u>0</u>	<u>1</u>	<u>1</u>	<u>0.0%</u>
<b>Total</b>	<u>51,805</u>	<u>50,127</u>	<u>(1,678)</u>	<u>-3.2%</u>



**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2016**  
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	7,300	2,472	118	9,890
<b>Receipts:</b>				
Taxes	45,963	8,266	19,050	73,279
Miscellaneous Receipts	5,842	16,926	487	23,255
Federal Receipts	0	0	73	73
<b>Total Receipts</b>	<u>51,805</u>	<u>25,192</u>	<u>19,610</u>	<u>96,607</u>
<b>Disbursements:</b>				
Local Assistance Grants	43,314	19,339	0	62,653
Departmental Operations:				
Personal Service	6,011	6,970	0	12,981
Non-Personal Service	1,944	3,621	37	5,602
General State Charges	5,397	2,055	0	7,452
Debt Service	0	0	5,598	5,598
Capital Projects	0	2	0	2
<b>Total Disbursements</b>	<u>56,666</u>	<u>31,987</u>	<u>5,635</u>	<u>94,288</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	17,871	8,631	4,007	30,509
Transfers to Other Funds	(11,376)	(761)	(17,940)	(30,077)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,495</u>	<u>7,870</u>	<u>(13,933)</u>	<u>432</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,634</u>	<u>1,075</u>	<u>42</u>	<u>2,751</u>
<b>Closing Fund Balance</b>	<u><u>8,934</u></u>	<u><u>3,547</u></u>	<u><u>160</u></u>	<u><u>12,641</u></u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	8,934	3,547	160	12,641
<b>Receipts:</b>				
Taxes	47,300	8,193	19,714	75,207
Miscellaneous Receipts	2,826	15,876	455	19,157
Federal Receipts	1	1	73	75
<b>Total Receipts</b>	<u>50,127</u>	<u>24,070</u>	<u>20,242</u>	<u>94,439</u>
<b>Disbursements:</b>				
Local Assistance Grants	45,769	19,005	0	64,774
Departmental Operations:				
Personal Service	6,012	6,884	0	12,896
Non-Personal Service	2,253	3,462	39	5,754
General State Charges	5,552	2,157	0	7,709
Debt Service	0	0	5,078	5,078
Capital Projects	0	3	0	3
<b>Total Disbursements</b>	<u>59,586</u>	<u>31,511</u>	<u>5,117</u>	<u>96,214</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,541	7,870	3,262	29,673
Transfers to Other Funds	(11,527)	(855)	(18,297)	(30,679)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>7,014</u>	<u>7,015</u>	<u>(15,035)</u>	<u>(1,006)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(2,445)</u>	<u>(426)</u>	<u>90</u>	<u>(2,781)</u>
<b>Closing Fund Balance</b>	<u>6,489</u>	<u>3,121</u>	<u>250</u>	<u>9,860</u>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2018**  
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	49,859	8,033	20,687	78,579
Miscellaneous Receipts	2,486	15,624	465	18,575
Federal Receipts	1	1	73	75
<b>Total Receipts</b>	<u>52,346</u>	<u>23,658</u>	<u>21,225</u>	<u>97,229</u>
<b>Disbursements:</b>				
Local Assistance Grants	48,967	18,875	0	67,842
Departmental Operations:				
Personal Service	6,068	6,819	0	12,887
Non-Personal Service	2,613	3,387	49	6,049
General State Charges	5,916	2,216	0	8,132
Debt Service	0	0	6,257	6,257
Capital Projects	0	2	0	2
<b>Total Disbursements</b>	<u>63,564</u>	<u>31,299</u>	<u>6,306</u>	<u>101,169</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,488	8,078	3,976	30,542
Transfers to Other Funds	(11,860)	(205)	(18,786)	(30,851)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,628</u>	<u>7,873</u>	<u>(14,810)</u>	<u>(309)</u>
<b>Use (Reservation) of Fund Balance:</b>				
Monetary Settlements	1,352	0	0	1,352
Programmed	1,352	0	0	1,352
Unbudgeted	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,352</u>	<u>0</u>	<u>0</u>	<u>1,352</u>
<b>Adherence to 2% Spending Benchmark</b>	3,031	0	0	3,031
<b>Net Surplus (Deficit)</b>	<u>(207)</u>	<u>232</u>	<u>109</u>	<u>134</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2019  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	50,226	8,102	21,029	79,357
Miscellaneous Receipts	2,455	15,847	461	18,763
Federal Receipts	1	1	73	75
<b>Total Receipts</b>	<u>52,682</u>	<u>23,950</u>	<u>21,563</u>	<u>98,195</u>
<b>Disbursements:</b>				
Local Assistance Grants	51,595	19,101	0	70,696
Departmental Operations:				
Personal Service	6,104	6,901	0	13,005
Non-Personal Service	2,426	3,453	49	5,928
General State Charges	6,124	2,287	0	8,411
Debt Service	0	0	6,771	6,771
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<u>66,249</u>	<u>31,742</u>	<u>6,820</u>	<u>104,811</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,224	8,354	3,821	30,399
Transfers to Other Funds	(12,039)	(216)	(18,472)	(30,727)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,185</u>	<u>8,138</u>	<u>(14,651)</u>	<u>(328)</u>
<b>Use (Reservation) of Fund Balance:</b>				
Monetary Settlements	1,200	0	0	1,200
Programmed	1,200	0	0	1,200
Unbudgeted	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,200</u>	<u>0</u>	<u>0</u>	<u>1,200</u>
<b>Adherence to 2% Spending Benchmark</b>	4,710	0	0	4,710
<b>Net Surplus (Deficit)</b>	<u>(1,472)</u>	<u>346</u>	<u>92</u>	<u>(1,034)</u>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2020**  
(millions of dollars)

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	52,489	8,157	21,857	82,503
Miscellaneous Receipts	2,335	15,684	459	18,478
Federal Receipts	1	1	73	75
<b>Total Receipts</b>	<u>54,825</u>	<u>23,842</u>	<u>22,389</u>	<u>101,056</u>
<b>Disbursements:</b>				
Local Assistance Grants	54,450	19,066	0	73,516
Departmental Operations:				
Personal Service	6,166	6,940	0	13,106
Non-Personal Service	2,502	3,425	49	5,976
General State Charges	6,467	2,338	0	8,805
Debt Service	0	0	7,232	7,232
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<u>69,585</u>	<u>31,769</u>	<u>7,281</u>	<u>108,635</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	18,666	8,555	3,837	31,058
Transfers to Other Funds	(12,191)	(213)	(18,786)	(31,190)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,475</u>	<u>8,342</u>	<u>(14,949)</u>	<u>(132)</u>
<b>Use (Reservation) of Fund Balance:</b>				
Monetary Settlements	731	0	0	731
Programmed	731	0	0	731
Unbudgeted	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>731</u>	<u>0</u>	<u>0</u>	<u>731</u>
<b>Adherence to 2% Spending Benchmark</b>	6,532	0	0	6,532
<b>Net Surplus (Deficit)</b>	<u>(1,022)</u>	<u>415</u>	<u>159</u>	<u>(448)</u>

**CASH FINANCIAL PLAN**  
**STATE OPERATING FUNDS BUDGET**  
**FY 2016 and FY 2017**  
(millions of dollars)

	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>First Quarter</u>	<u>Annual</u> <u>\$ Change</u>	<u>Annual</u> <u>% Change</u>
<b>Opening Fund Balance</b>	9,890	12,641	2,751	27.8%
<b>Receipts:</b>				
Taxes	73,279	75,207	1,928	2.6%
Miscellaneous Receipts	23,255	19,157	(4,098)	-17.6%
Federal Receipts	73	75	2	2.7%
<b>Total Receipts</b>	<u>96,607</u>	<u>94,439</u>	<u>(2,168)</u>	<u>-2.2%</u>
<b>Disbursements:</b>				
Local Assistance Grants	62,653	64,774	2,121	3.4%
Departmental Operations:				
Personal Service	12,981	12,896	(85)	-0.7%
Non-Personal Service	5,602	5,754	152	2.7%
General State Charges	7,452	7,709	257	3.4%
Debt Service	5,598	5,078	(520)	-9.3%
Capital Projects	2	3	1	50.0%
<b>Total Disbursements</b>	<u>94,288</u>	<u>96,214</u>	<u>1,926</u>	<u>2.0%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	30,509	29,673	(836)	-2.7%
Transfers to Other Funds	(30,077)	(30,679)	(602)	-2.0%
Bond and Note Proceeds	0	0	0	0.0%
<b>Net Other Financing Sources (Uses)</b>	<u>432</u>	<u>(1,006)</u>	<u>(1,438)</u>	<u>-332.9%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>2,751</u>	<u>(2,781)</u>	<u>(5,532)</u>	<u>-201.1%</u>
<b>Closing Fund Balance</b>	<u>12,641</u>	<u>9,860</u>	<u>(2,781)</u>	<u>-22.0%</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	7,300	2,661	(724)	118	9,355
<b>Receipts:</b>					
Taxes	45,963	8,266	1,394	19,050	74,673
Miscellaneous Receipts	5,842	17,117	3,822	487	27,268
Federal Receipts	0	49,105	2,146	73	51,324
<b>Total Receipts</b>	<u>51,805</u>	<u>74,488</u>	<u>7,362</u>	<u>19,610</u>	<u>153,265</u>
<b>Disbursements:</b>					
Local Assistance Grants	43,314	64,502	2,498	0	110,314
Departmental Operations:					
Personal Service	6,011	7,586	0	0	13,597
Non-Personal Service	1,944	4,994	0	37	6,975
General State Charges	5,397	2,342	0	0	7,739
Debt Service	0	0	0	5,598	5,598
Capital Projects	0	2	6,483	0	6,485
<b>Total Disbursements</b>	<u>56,666</u>	<u>79,426</u>	<u>8,981</u>	<u>5,635</u>	<u>150,708</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	17,871	8,670	2,895	4,007	33,443
Transfers to Other Funds	(11,376)	(2,786)	(1,443)	(17,940)	(33,545)
Bond and Note Proceeds	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,495</u>	<u>5,884</u>	<u>1,452</u>	<u>(13,933)</u>	<u>(102)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,634</u>	<u>946</u>	<u>(167)</u>	<u>42</u>	<u>2,455</u>
<b>Closing Fund Balance</b>	<u>8,934</u>	<u>3,607</u>	<u>(891)</u>	<u>160</u>	<u>11,810</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2017  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>All Funds Total</b>
<b>Opening Fund Balance</b>	8,934	3,607	(891)	160	11,810
<b>Receipts:</b>					
Taxes	47,300	8,193	1,295	19,714	76,502
Miscellaneous Receipts	2,826	16,092	4,719	455	24,092
Federal Receipts	1	49,528	2,162	73	51,764
<b>Total Receipts</b>	<u>50,127</u>	<u>73,813</u>	<u>8,176</u>	<u>20,242</u>	<u>152,358</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,769	64,873	4,136	0	114,778
Departmental Operations:					
Personal Service	6,012	7,571	0	0	13,583
Non-Personal Service	2,253	4,692	0	39	6,984
General State Charges	5,552	2,492	0	0	8,044
Debt Service	0	0	0	5,078	5,078
Capital Projects	0	3	7,235	0	7,238
<b>Total Disbursements</b>	<u>59,586</u>	<u>79,631</u>	<u>11,371</u>	<u>5,117</u>	<u>155,705</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,541	7,870	4,107	3,262	33,780
Transfers to Other Funds	(11,527)	(2,574)	(1,457)	(18,297)	(33,855)
Bond and Note Proceeds	0	0	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<u>7,014</u>	<u>5,296</u>	<u>3,259</u>	<u>(15,035)</u>	<u>534</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(2,445)</u>	<u>(522)</u>	<u>64</u>	<u>90</u>	<u>(2,813)</u>
<b>Closing Fund Balance</b>	<u><u>6,489</u></u>	<u><u>3,085</u></u>	<u><u>(827)</u></u>	<u><u>250</u></u>	<u><u>8,997</u></u>



**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2018  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	49,859	8,033	1,327	20,687	79,906
Miscellaneous Receipts	2,486	15,840	7,127	465	25,918
Federal Receipts	1	50,606	2,093	73	52,773
<b>Total Receipts</b>	<u>52,346</u>	<u>74,479</u>	<u>10,547</u>	<u>21,225</u>	<u>158,597</u>
<b>Disbursements:</b>					
Local Assistance Grants	48,967	65,657	4,856	0	119,480
Departmental Operations:					
Personal Service	6,068	7,491	0	0	13,559
Non-Personal Service	2,613	4,596	0	49	7,258
General State Charges	5,916	2,550	0	0	8,466
Debt Service	0	0	0	6,257	6,257
Capital Projects	0	2	8,518	0	8,520
<b>Total Disbursements</b>	<u>63,564</u>	<u>80,296</u>	<u>13,374</u>	<u>6,306</u>	<u>163,540</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,488	8,078	3,598	3,976	34,140
Transfers to Other Funds	(11,860)	(2,081)	(1,506)	(18,786)	(34,233)
Bond and Note Proceeds	0	0	728	0	728
<b>Net Other Financing Sources (Uses)</b>	<u>6,628</u>	<u>5,997</u>	<u>2,820</u>	<u>(14,810)</u>	<u>635</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	1,352	0	0	0	1,352
Programmed	1,352	0	0	0	1,352
Unbudgeted	0	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,352</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,352</u>
<b>Adherence to 2% Spending Benchmark</b>	3,031	0	0	0	3,031
<b>Net Surplus (Deficit)</b>	<u>(207)</u>	<u>180</u>	<u>(7)</u>	<u>109</u>	<u>75</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2019  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>All Funds Total</b>
<b>Receipts:</b>					
Taxes	50,226	8,102	1,323	21,029	80,680
Miscellaneous Receipts	2,455	16,063	6,367	461	25,346
Federal Receipts	1	51,366	2,091	73	53,531
<b>Total Receipts</b>	<b>52,682</b>	<b>75,531</b>	<b>9,781</b>	<b>21,563</b>	<b>159,557</b>
<b>Disbursements:</b>					
Local Assistance Grants	51,595	66,674	4,244	0	122,513
Departmental Operations:					
Personal Service	6,104	7,580	0	0	13,684
Non-Personal Service	2,426	4,641	0	49	7,116
General State Charges	6,124	2,625	0	0	8,749
Debt Service	0	0	0	6,771	6,771
Capital Projects	0	0	7,986	0	7,986
<b>Total Disbursements</b>	<b>66,249</b>	<b>81,520</b>	<b>12,230</b>	<b>6,820</b>	<b>166,819</b>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,224	8,354	3,527	3,821	33,926
Transfers to Other Funds	(12,039)	(2,042)	(1,479)	(18,472)	(34,032)
Bond and Note Proceeds	0	0	681	0	681
<b>Net Other Financing Sources (Uses)</b>	<b>6,185</b>	<b>6,312</b>	<b>2,729</b>	<b>(14,651)</b>	<b>575</b>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	1,200	0	0	0	1,200
Programmed	1,200	0	0	0	1,200
Unbudgeted	0	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<b>1,200</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,200</b>
<b>Adherence to 2% Spending Benchmark</b>	<b>4,710</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,710</b>
<b>Net Surplus (Deficit)</b>	<b>(1,472)</b>	<b>323</b>	<b>280</b>	<b>92</b>	<b>(777)</b>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2020  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	52,489	8,157	1,323	21,857	83,826
Miscellaneous Receipts	2,335	15,900	6,158	459	24,852
Federal Receipts	1	52,667	2,147	73	54,888
<b>Total Receipts</b>	<u>54,825</u>	<u>76,724</u>	<u>9,628</u>	<u>22,389</u>	<u>163,566</u>
<b>Disbursements:</b>					
Local Assistance Grants	54,450	68,009	4,101	0	126,560
Departmental Operations:					
Personal Service	6,166	7,621	0	0	13,787
Non-Personal Service	2,502	4,632	0	49	7,183
General State Charges	6,467	2,677	0	0	9,144
Debt Service	0	0	0	7,232	7,232
Capital Projects	0	0	7,561	0	7,561
<b>Total Disbursements</b>	<u>69,585</u>	<u>82,939</u>	<u>11,662</u>	<u>7,281</u>	<u>171,467</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,666	8,555	3,412	3,837	34,470
Transfers to Other Funds	(12,191)	(1,885)	(1,711)	(18,786)	(34,573)
Bond and Note Proceeds	0	0	415	0	415
<b>Net Other Financing Sources (Uses)</b>	<u>6,475</u>	<u>6,670</u>	<u>2,116</u>	<u>(14,949)</u>	<u>312</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	731	0	0	0	731
Programmed	731	0	0	0	731
Unbudgeted	0	0	0	0	0
<b>Total Use (Reservation) of Fund Balance</b>	<u>731</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>731</u>
<b>Adherence to 2% Spending Benchmark</b>	6,532	0	0	0	6,532
<b>Net Surplus (Deficit)</b>	<u>(1,022)</u>	<u>455</u>	<u>82</u>	<u>159</u>	<u>(326)</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	9,355	11,810	2,455	26.2%
<b>Receipts:</b>				
Taxes	74,673	76,502	1,829	2.4%
Miscellaneous Receipts	27,268	24,092	(3,176)	-11.6%
Federal Receipts	51,324	51,764	440	0.9%
<b>Total Receipts</b>	<u>153,265</u>	<u>152,358</u>	<u>(907)</u>	<u>-0.6%</u>
<b>Disbursements:</b>				
Local Assistance Grants	110,314	114,778	4,464	4.0%
Departmental Operations:				
Personal Service	13,597	13,583	(14)	-0.1%
Non-Personal Service	6,975	6,984	9	0.1%
General State Charges	7,739	8,044	305	3.9%
Debt Service	5,598	5,078	(520)	-9.3%
Capital Projects	6,485	7,238	753	11.6%
<b>Total Disbursements</b>	<u>150,708</u>	<u>155,705</u>	<u>4,997</u>	<u>3.3%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	33,443	33,780	337	1.0%
Transfers to Other Funds	(33,545)	(33,855)	(310)	-0.9%
Bond and Note Proceeds	0	609	609	0.0%
<b>Net Other Financing Sources (Uses)</b>	<u>(102)</u>	<u>534</u>	<u>636</u>	<u>623.5%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>2,455</u>	<u>(2,813)</u>	<u>(5,268)</u>	<u>-214.6%</u>
<b>Closing Fund Balance</b>	<u>11,810</u>	<u>8,997</u>	<u>(2,813)</u>	<u>-23.8%</u>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2016**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	36,549	0	0	0	36,549
Estimated Payments	16,111	0	0	0	16,111
Final Payments	2,630	0	0	0	2,630
Other Payments	1,310	0	0	0	1,310
<b>Gross Collections</b>	<b>56,600</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>56,600</b>
State/City Offset	(675)	0	0	0	(675)
Refunds	(8,870)	0	0	0	(8,870)
<b>Reported Tax Collections</b>	<b>47,055</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>47,055</b>
STAR (Dedicated Deposits)	(3,335)	3,335	0	0	0
RBTF (Dedicated Transfers)	(11,763)	0	0	11,763	0
<b>Personal Income Tax</b>	<b>31,957</b>	<b>3,335</b>	<b>0</b>	<b>11,763</b>	<b>47,055</b>
Sales and Use Tax	12,485	874	0	0	13,359
Cigarette and Tobacco Taxes	322	929	0	0	1,251
Motor Fuel Tax	0	105	398	0	503
Alcoholic Beverage Taxes	255	0	0	0	255
Medical Marihuana Excise Tax	0	0	0	0	0
Highway Use Tax	0	0	158	0	158
Auto Rental Tax	0	47	79	0	126
Taxicab Surcharge	0	73	0	0	73
<b>Gross Utility Taxes and Fees</b>	<b>13,062</b>	<b>2,028</b>	<b>635</b>	<b>0</b>	<b>15,725</b>
LGAC/STBF (Dedicated Transfers)	(6,243)	0	0	6,243	0
<b>Consumption/Use Taxes</b>	<b>6,819</b>	<b>2,028</b>	<b>635</b>	<b>6,243</b>	<b>15,725</b>
Corporation Franchise Tax	3,763	764	0	0	4,527
Corporation and Utilities Tax	594	165	15	0	774
Insurance Taxes	1,419	161	0	0	1,580
Bank Tax	(129)	8	0	0	(121)
Petroleum Business Tax	0	499	625	0	1,124
<b>Business Taxes</b>	<b>5,647</b>	<b>1,597</b>	<b>640</b>	<b>0</b>	<b>7,884</b>
Estate Tax	1,521	0	0	0	1,521
Real Estate Transfer Tax	1,163	0	0	0	1,163
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	2	0	0	0	2
<b>Gross Other Taxes</b>	<b>2,703</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,703</b>
Real Estate Transfer Tax (Dedicated)	(1,163)	0	119	1,044	0
<b>Other Taxes</b>	<b>1,540</b>	<b>0</b>	<b>119</b>	<b>1,044</b>	<b>2,703</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,306</b>	<b>0</b>	<b>0</b>	<b>1,306</b>
<b>Total Taxes</b>	<b>45,963</b>	<b>8,266</b>	<b>1,394</b>	<b>19,050</b>	<b>74,673</b>
Licenses, Fees, Etc.	630	0	0	0	630
Abandoned Property	527	0	0	0	527
Motor Vehicle Fees	194	431	754	0	1,379
ABC License Fee	66	0	0	0	66
Reimbursements	232	0	0	0	232
Investment Income	13	0	0	0	13
Other Transactions	4,180	16,686	3,068	487	24,421
<b>Miscellaneous Receipts</b>	<b>5,842</b>	<b>17,117</b>	<b>3,822</b>	<b>487</b>	<b>27,268</b>
<b>Federal Receipts</b>	<b>0</b>	<b>49,105</b>	<b>2,146</b>	<b>73</b>	<b>51,324</b>
<b>Total</b>	<b>51,805</b>	<b>74,488</b>	<b>7,362</b>	<b>19,610</b>	<b>153,265</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2017**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	38,356	0	0	0	38,356
Estimated Payments	15,506	0	0	0	15,506
Final Payments	2,720	0	0	0	2,720
Other Payments	1,358	0	0	0	1,358
<b>Gross Collections</b>	<b>57,940</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>57,940</b>
State/City Offset	(688)	0	0	0	(688)
Refunds	(8,388)	0	0	0	(8,388)
<b>Reported Tax Collections</b>	<b>48,864</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>48,864</b>
STAR (Dedicated Deposits)	(3,228)	3,228	0	0	0
RBTF (Dedicated Transfers)	(12,216)	0	0	12,216	0
<b>Personal Income Tax</b>	<b>33,420</b>	<b>3,228</b>	<b>0</b>	<b>12,216</b>	<b>48,864</b>
Sales and Use Tax	12,958	908	0	0	13,866
Cigarette and Tobacco Taxes	348	878	0	0	1,226
Motor Fuel Tax	0	103	391	0	494
Alcoholic Beverage Taxes	258	0	0	0	258
Medical Marihuana Excise Tax	0	4	0	0	4
Highway Use Tax	0	0	84	0	84
Auto Rental Tax	0	49	79	0	128
Taxicab Surcharge	0	65	0	0	65
<b>Gross Utility Taxes and Fees</b>	<b>13,564</b>	<b>2,007</b>	<b>554</b>	<b>0</b>	<b>16,125</b>
LGAC/STBF (Dedicated Transfers)	(6,479)	0	0	6,479	0
<b>Consumption/Use Taxes</b>	<b>7,085</b>	<b>2,007</b>	<b>554</b>	<b>6,479</b>	<b>16,125</b>
Corporation Franchise Tax	3,688	795	0	0	4,483
Corporation and Utilities Tax	568	156	14	0	738
Insurance Taxes	1,321	156	0	0	1,477
Bank Tax	173	30	0	0	203
Petroleum Business Tax	0	485	608	0	1,093
<b>Business Taxes</b>	<b>5,750</b>	<b>1,622</b>	<b>622</b>	<b>0</b>	<b>7,994</b>
Estate Tax	1,024	0	0	0	1,024
Real Estate Transfer Tax	1,138	0	0	0	1,138
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,183</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,183</b>
Real Estate Transfer Tax (Dedicated)	(1,138)	0	119	1,019	0
<b>Other Taxes</b>	<b>1,045</b>	<b>0</b>	<b>119</b>	<b>1,019</b>	<b>2,183</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,336</b>	<b>0</b>	<b>0</b>	<b>1,336</b>
<b>Total Taxes</b>	<b>47,300</b>	<b>8,193</b>	<b>1,295</b>	<b>19,714</b>	<b>76,502</b>
Licenses, Fees, Etc.	639	0	0	0	639
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	183	390	781	0	1,354
ABC License Fee	63	0	0	0	63
Reimbursements	263	0	0	0	263
Investment Income	15	0	0	0	15
Other Transactions	1,138	15,702	3,938	455	21,233
<b>Miscellaneous Receipts</b>	<b>2,826</b>	<b>16,092</b>	<b>4,719</b>	<b>455</b>	<b>24,092</b>
<b>Federal Receipts</b>	<b>1</b>	<b>49,528</b>	<b>2,162</b>	<b>73</b>	<b>51,764</b>
<b>Total</b>	<b>50,127</b>	<b>73,813</b>	<b>8,176</b>	<b>20,242</b>	<b>152,358</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2018**  
(millions of dollars)

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>Total</b>
<b>Taxes:</b>					
Withholdings	39,802	0	0	0	39,802
Estimated Payments	17,205	0	0	0	17,205
Final Payments	2,891	0	0	0	2,891
Other Payments	1,397	0	0	0	1,397
<b>Gross Collections</b>	<b>61,295</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>61,295</b>
State/City Offset	(688)	0	0	0	(688)
Refunds	(9,452)	0	0	0	(9,452)
<b>Reported Tax Collections</b>	<b>51,155</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>51,155</b>
STAR (Dedicated Deposits)	(2,977)	2,977	0	0	0
RBTF (Dedicated Transfers)	(12,789)	0	0	12,789	0
<b>Personal Income Tax</b>	<b>35,389</b>	<b>2,977</b>	<b>0</b>	<b>12,789</b>	<b>51,155</b>
Sales and Use Tax	13,626	941	0	0	14,567
Cigarette and Tobacco Taxes	345	847	0	0	1,192
Motor Fuel Tax	0	103	388	0	491
Alcoholic Beverage Taxes	263	0	0	0	263
Medical Marihuana Excise Tax	0	4	0	0	4
Highway Use Tax	0	0	138	0	138
Auto Rental Tax	0	53	85	0	138
Taxicab Surcharge	0	65	0	0	65
<b>Gross Utility Taxes and Fees</b>	<b>14,234</b>	<b>2,013</b>	<b>611</b>	<b>0</b>	<b>16,858</b>
LGAC/STBF (Dedicated Transfers)	(6,813)	0	0	6,813	0
<b>Consumption/Use Taxes</b>	<b>7,421</b>	<b>2,013</b>	<b>611</b>	<b>6,813</b>	<b>16,858</b>
Corporation Franchise Tax	3,950	830	0	0	4,780
Corporation and Utilities Tax	559	159	14	0	732
Insurance Taxes	1,407	165	0	0	1,572
Bank Tax	162	28	0	0	190
Petroleum Business Tax	0	466	583	0	1,049
<b>Business Taxes</b>	<b>6,078</b>	<b>1,648</b>	<b>597</b>	<b>0</b>	<b>8,323</b>
Estate Tax	950	0	0	0	950
Real Estate Transfer Tax	1,204	0	0	0	1,204
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,175</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,175</b>
Real Estate Transfer Tax (Dedicated)	(1,204)	0	119	1,085	0
<b>Other Taxes</b>	<b>971</b>	<b>0</b>	<b>119</b>	<b>1,085</b>	<b>2,175</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,395</b>	<b>0</b>	<b>0</b>	<b>1,395</b>
<b>Total Taxes</b>	<b>49,859</b>	<b>8,033</b>	<b>1,327</b>	<b>20,687</b>	<b>79,906</b>
Licenses, Fees, Etc.	661	0	0	0	661
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	233	401	781	0	1,415
ABC License Fee	60	0	0	0	60
Reimbursements	298	0	0	0	298
Investment Income	13	0	0	0	13
Other Transactions	696	15,439	6,346	465	22,946
<b>Miscellaneous Receipts</b>	<b>2,486</b>	<b>15,840</b>	<b>7,127</b>	<b>465</b>	<b>25,918</b>
<b>Federal Receipts</b>	<b>1</b>	<b>50,606</b>	<b>2,093</b>	<b>73</b>	<b>52,773</b>
<b>Total</b>	<b>52,346</b>	<b>74,479</b>	<b>10,547</b>	<b>21,225</b>	<b>158,597</b>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2019**  
(millions of dollars)

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	Total
<b>Taxes:</b>					
Withholdings	41,056	0	0	0	41,056
Estimated Payments	16,594	0	0	0	16,594
Final Payments	3,034	0	0	0	3,034
Other Payments	1,457	0	0	0	1,457
<b>Gross Collections</b>	<u>62,141</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>62,141</u>
State/City Offset	(688)	0	0	0	(688)
Refunds	(10,319)	0	0	0	(10,319)
<b>Reported Tax Collections</b>	<u>51,134</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>51,134</u>
STAR (Dedicated Deposits)	(2,921)	2,921	0	0	0
RBTF (Dedicated Transfers)	(12,784)	0	0	12,784	0
<b>Personal Income Tax</b>	<u>35,429</u>	<u>2,921</u>	<u>0</u>	<u>12,784</u>	<u>51,134</u>
Sales and Use Tax	14,212	974	0	0	15,186
Cigarette and Tobacco Taxes	335	816	0	0	1,151
Motor Fuel Tax	0	102	384	0	486
Alcoholic Beverage Taxes	268	0	0	0	268
Medical Marihuana Excise Tax	0	4	0	0	4
Highway Use Tax	0	0	140	0	140
Auto Rental Tax	0	56	89	0	145
Taxicab Surcharge	0	65	0	0	65
<b>Gross Utility Taxes and Fees</b>	<u>14,815</u>	<u>2,017</u>	<u>613</u>	<u>0</u>	<u>17,445</u>
LGAC/STBF (Dedicated Transfers)	(7,106)	0	0	7,106	0
<b>Consumption/Use Taxes</b>	<u>7,709</u>	<u>2,017</u>	<u>613</u>	<u>7,106</u>	<u>17,445</u>
Corporation Franchise Tax	3,949	873	0	0	4,822
Corporation and Utilities Tax	563	167	14	0	744
Insurance Taxes	1,521	180	0	0	1,701
Bank Tax	122	21	0	0	143
Petroleum Business Tax	0	461	577	0	1,038
<b>Business Taxes</b>	<u>6,155</u>	<u>1,702</u>	<u>591</u>	<u>0</u>	<u>8,448</u>
Estate Tax	912	0	0	0	912
Real Estate Transfer Tax	1,258	0	0	0	1,258
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<u>2,191</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,191</u>
Real Estate Transfer Tax (Dedicated)	(1,258)	0	119	1,139	0
<b>Other Taxes</b>	<u>933</u>	<u>0</u>	<u>119</u>	<u>1,139</u>	<u>2,191</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,462</u>	<u>0</u>	<u>0</u>	<u>1,462</u>
<b>Total Taxes</b>	<u>50,226</u>	<u>8,102</u>	<u>1,323</u>	<u>21,029</u>	<u>80,680</u>
Licenses, Fees, Etc.	634	0	0	0	634
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	246	401	788	0	1,435
ABC License Fee	66	0	0	0	66
Reimbursements	280	0	0	0	280
Investment Income	8	0	0	0	8
Other Transactions	696	15,662	5,579	461	22,398
<b>Miscellaneous Receipts</b>	<u>2,455</u>	<u>16,063</u>	<u>6,367</u>	<u>461</u>	<u>25,346</u>
<b>Federal Receipts</b>	<u>1</u>	<u>51,366</u>	<u>2,091</u>	<u>73</u>	<u>53,531</u>
<b>Total</b>	<u>52,682</u>	<u>75,531</u>	<u>9,781</u>	<u>21,563</u>	<u>159,557</u>



**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2020**  
(millions of dollars)

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>Total</b>
<b>Taxes:</b>					
Withholdings	43,158	0	0	0	43,158
Estimated Payments	17,644	0	0	0	17,644
Final Payments	3,168	0	0	0	3,168
Other Payments	1,521	0	0	0	1,521
<b>Gross Collections</b>	<b>65,491</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>65,491</b>
State/City Offset	(589)	0	0	0	(589)
Refunds	(11,759)	0	0	0	(11,759)
<b>Reported Tax Collections</b>	<b>53,143</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>53,143</b>
STAR (Dedicated Deposits)	(2,869)	2,869	0	0	0
RBTF (Dedicated Transfers)	(13,286)	0	0	13,286	0
<b>Personal Income Tax</b>	<b>36,988</b>	<b>2,869</b>	<b>0</b>	<b>13,286</b>	<b>53,143</b>
Sales and Use Tax	14,764	1,008	0	0	15,772
Cigarette and Tobacco Taxes	324	781	0	0	1,105
Motor Fuel Tax	0	101	382	0	483
Alcoholic Beverage Taxes	273	0	0	0	273
Medical Marihuana Excise Tax	0	4	0	0	4
Highway Use Tax	0	0	141	0	141
Auto Rental Tax	0	56	93	0	149
Taxicab Surcharge	0	65	0	0	65
<b>Gross Utility Taxes and Fees</b>	<b>15,361</b>	<b>2,015</b>	<b>616</b>	<b>0</b>	<b>17,992</b>
LGAC/STBF (Dedicated Transfers)	(7,382)	0	0	7,382	0
<b>Consumption/Use Taxes</b>	<b>7,979</b>	<b>2,015</b>	<b>616</b>	<b>7,382</b>	<b>17,992</b>
Corporation Franchise Tax	4,312	910	0	0	5,222
Corporation and Utilities Tax	569	171	14	0	754
Insurance Taxes	1,597	187	0	0	1,784
Bank Tax	60	11	0	0	71
Petroleum Business Tax	0	458	574	0	1,032
<b>Business Taxes</b>	<b>6,538</b>	<b>1,737</b>	<b>588</b>	<b>0</b>	<b>8,863</b>
Estate Tax	963	0	0	0	963
Real Estate Transfer Tax	1,308	0	0	0	1,308
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	18	0	0	0	18
Other Taxes	3	0	0	0	3
<b>Gross Other Taxes</b>	<b>2,292</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,292</b>
Real Estate Transfer Tax (Dedicated)	(1,308)	0	119	1,189	0
<b>Other Taxes</b>	<b>984</b>	<b>0</b>	<b>119</b>	<b>1,189</b>	<b>2,292</b>
<b>Payroll Tax</b>	<b>0</b>	<b>1,536</b>	<b>0</b>	<b>0</b>	<b>1,536</b>
<b>Total Taxes</b>	<b>52,489</b>	<b>8,157</b>	<b>1,323</b>	<b>21,857</b>	<b>83,826</b>
Licenses, Fees, Etc.	666	0	0	0	666
Abandoned Property	525	0	0	0	525
Motor Vehicle Fees	258	401	781	0	1,440
ABC License Fee	62	0	0	0	62
Reimbursements	303	0	0	0	303
Investment Income	8	0	0	0	8
Other Transactions	513	15,499	5,377	459	21,848
<b>Miscellaneous Receipts</b>	<b>2,335</b>	<b>15,900</b>	<b>6,158</b>	<b>459</b>	<b>24,852</b>
<b>Federal Receipts</b>	<b>1</b>	<b>52,667</b>	<b>2,147</b>	<b>73</b>	<b>54,888</b>
<b>Total</b>	<b>54,825</b>	<b>76,724</b>	<b>9,628</b>	<b>22,389</b>	<b>163,566</b>

**CURRENT STATE RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2016 and FY 2017**  
(millions of dollars)

	<b>FY 2016</b>	<b>FY 2017</b>	<b>Annual</b>	<b>Annual</b>
	<b>Results</b>	<b>First Quarter</b>	<b>\$ Change</b>	<b>% Change</b>
<b>Taxes:</b>				
Withholdings	36,549	38,356	1,807	4.9%
Estimated Payments	16,111	15,506	(605)	-3.8%
Final Payments	2,630	2,720	90	3.4%
Other Payments	1,310	1,358	48	3.7%
<b>Gross Collections</b>	<b>56,600</b>	<b>57,940</b>	<b>1,340</b>	<b>2.4%</b>
State/City Offset	(675)	(688)	(13)	-1.9%
Refunds	(8,870)	(8,388)	482	5.4%
<b>Reported Tax Collections</b>	<b>47,055</b>	<b>48,864</b>	<b>1,809</b>	<b>3.8%</b>
STAR (Dedicated Deposits)	0	0	0	0.0%
RBTF (Dedicated Transfers)	0	0	0	0.0%
<b>Personal Income Tax</b>	<b>47,055</b>	<b>48,864</b>	<b>1,809</b>	<b>3.8%</b>
Sales and Use Tax	13,359	13,866	507	3.8%
Cigarette and Tobacco Taxes	1,251	1,226	(25)	-2.0%
Motor Fuel Tax	503	494	(9)	-1.8%
Alcoholic Beverage Taxes	255	258	3	1.2%
Medical Marihuana Excise Tax	0	4	4	0.0%
Highway Use Tax	158	84	(74)	-46.8%
Auto Rental Tax	126	128	2	1.6%
Taxicab Surcharge	73	65	(8)	-11.0%
<b>Gross Utility Taxes and Fees</b>	<b>15,725</b>	<b>16,125</b>	<b>400</b>	<b>2.5%</b>
LGAC/STBF (Dedicated Transfers)	0	0	0	0.0%
<b>Consumption/Use Taxes</b>	<b>15,725</b>	<b>16,125</b>	<b>400</b>	<b>2.5%</b>
Corporation Franchise Tax	4,527	4,483	(44)	-1.0%
Corporation and Utilities Tax	774	738	(36)	-4.7%
Insurance Taxes	1,580	1,477	(103)	-6.5%
Bank Tax	(121)	203	324	267.8%
Petroleum Business Tax	1,124	1,093	(31)	-2.8%
<b>Business Taxes</b>	<b>7,884</b>	<b>7,994</b>	<b>110</b>	<b>1.4%</b>
Estate Tax	1,521	1,024	(497)	-32.7%
Real Estate Transfer Tax	1,163	1,138	(25)	-2.1%
Gift Tax	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%
Pari-Mutuel Taxes	17	18	1	5.9%
Other Taxes	2	3	1	50.0%
<b>Gross Other Taxes</b>	<b>2,703</b>	<b>2,183</b>	<b>(520)</b>	<b>-19.2%</b>
Real Estate Transfer Tax (Dedicated)	0	0	0	0.0%
<b>Other Taxes</b>	<b>2,703</b>	<b>2,183</b>	<b>(520)</b>	<b>-19.2%</b>
<b>Payroll Tax</b>	<b>1,306</b>	<b>1,336</b>	<b>30</b>	<b>2.3%</b>
<b>Total Taxes</b>	<b>74,673</b>	<b>76,502</b>	<b>1,829</b>	<b>2.4%</b>
Licenses, Fees, Etc.	630	639	9	1.4%
Abandoned Property	527	525	(2)	-0.4%
Motor Vehicle Fees	1,379	1,354	(25)	-1.8%
ABC License Fee	66	63	(3)	-4.5%
Reimbursements	232	263	31	13.4%
Investment Income	13	15	2	15.4%
Other Transactions	24,421	21,233	(3,188)	-13.1%
<b>Miscellaneous Receipts</b>	<b>27,268</b>	<b>24,092</b>	<b>(3,176)</b>	<b>-11.6%</b>
<b>Federal Receipts</b>	<b>51,324</b>	<b>51,764</b>	<b>440</b>	<b>0.9%</b>
<b>Total</b>	<b>153,265</b>	<b>152,358</b>	<b>(907)</b>	<b>-0.6%</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	2,472	189	2,661
<b>Receipts:</b>			
Taxes	8,266	0	8,266
Miscellaneous Receipts	16,926	191	17,117
Federal Receipts	0	49,105	49,105
<b>Total Receipts</b>	<u>25,192</u>	<u>49,296</u>	<u>74,488</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,339	45,163	64,502
Departmental Operations:			
Personal Service	6,970	616	7,586
Non-Personal Service	3,621	1,373	4,994
General State Charges	2,055	287	2,342
Capital Projects	2	0	2
<b>Total Disbursements</b>	<u>31,987</u>	<u>47,439</u>	<u>79,426</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,631	39	8,670
Transfers to Other Funds	(761)	(2,025)	(2,786)
<b>Net Other Financing Sources (Uses)</b>	<u>7,870</u>	<u>(1,986)</u>	<u>5,884</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,075</u>	<u>(129)</u>	<u>946</u>
<b>Closing Fund Balance</b>	<u>3,547</u>	<u>60</u>	<u>3,607</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2017  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	3,547	60	3,607
<b>Receipts:</b>			
Taxes	8,193	0	8,193
Miscellaneous Receipts	15,876	216	16,092
Federal Receipts	1	49,527	49,528
<b>Total Receipts</b>	<u>24,070</u>	<u>49,743</u>	<u>73,813</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,005	45,868	64,873
Departmental Operations:			
Personal Service	6,884	687	7,571
Non-Personal Service	3,462	1,230	4,692
General State Charges	2,157	335	2,492
Capital Projects	3	0	3
<b>Total Disbursements</b>	<u>31,511</u>	<u>48,120</u>	<u>79,631</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,870	0	7,870
Transfers to Other Funds	(855)	(1,719)	(2,574)
<b>Net Other Financing Sources (Uses)</b>	<u>7,015</u>	<u>(1,719)</u>	<u>5,296</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(426)</u>	<u>(96)</u>	<u>(522)</u>
<b>Closing Fund Balance</b>	<u>3,121</u>	<u>(36)</u>	<u>3,085</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2018  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	3,121	(36)	3,085
<b>Receipts:</b>			
Taxes	8,033	0	8,033
Miscellaneous Receipts	15,624	216	15,840
Federal Receipts	1	50,605	50,606
<b>Total Receipts</b>	<u>23,658</u>	<u>50,821</u>	<u>74,479</u>
<b>Disbursements:</b>			
Local Assistance Grants	18,875	46,782	65,657
Departmental Operations:			
Personal Service	6,819	672	7,491
Non-Personal Service	3,387	1,209	4,596
General State Charges	2,216	334	2,550
Capital Projects	2	0	2
<b>Total Disbursements</b>	<u>31,299</u>	<u>48,997</u>	<u>80,296</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,078	0	8,078
Transfers to Other Funds	(205)	(1,876)	(2,081)
<b>Net Other Financing Sources (Uses)</b>	<u>7,873</u>	<u>(1,876)</u>	<u>5,997</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>232</u>	<u>(52)</u>	<u>180</u>
<b>Closing Fund Balance</b>	<u>3,353</u>	<u>(88)</u>	<u>3,265</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2019  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	3,353	(88)	3,265
<b>Receipts:</b>			
Taxes	8,102	0	8,102
Miscellaneous Receipts	15,847	216	16,063
Federal Receipts	1	51,365	51,366
<b>Total Receipts</b>	<u>23,950</u>	<u>51,581</u>	<u>75,531</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,101	47,573	66,674
Departmental Operations:			
Personal Service	6,901	679	7,580
Non-Personal Service	3,453	1,188	4,641
General State Charges	2,287	338	2,625
Capital Projects	0	0	0
<b>Total Disbursements</b>	<u>31,742</u>	<u>49,778</u>	<u>81,520</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,354	0	8,354
Transfers to Other Funds	(216)	(1,826)	(2,042)
<b>Net Other Financing Sources (Uses)</b>	<u>8,138</u>	<u>(1,826)</u>	<u>6,312</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>346</u>	<u>(23)</u>	<u>323</u>
<b>Closing Fund Balance</b>	<u>3,699</u>	<u>(111)</u>	<u>3,588</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2020  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	3,699	(111)	3,588
<b>Receipts:</b>			
Taxes	8,157	0	8,157
Miscellaneous Receipts	15,684	216	15,900
Federal Receipts	1	52,666	52,667
<b>Total Receipts</b>	<u>23,842</u>	<u>52,882</u>	<u>76,724</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,066	48,943	68,009
Departmental Operations:			
Personal Service	6,940	681	7,621
Non-Personal Service	3,425	1,207	4,632
General State Charges	2,338	339	2,677
Capital Projects	0	0	0
<b>Total Disbursements</b>	<u>31,769</u>	<u>51,170</u>	<u>82,939</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,555	0	8,555
Transfers to Other Funds	(213)	(1,672)	(1,885)
<b>Net Other Financing Sources (Uses)</b>	<u>8,342</u>	<u>(1,672)</u>	<u>6,670</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>415</u>	<u>40</u>	<u>455</u>
<b>Closing Fund Balance</b>	<u>4,114</u>	<u>(71)</u>	<u>4,043</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	2,661	3,607	946	35.6%
<b>Receipts:</b>				
Taxes	8,266	8,193	(73)	-0.9%
Miscellaneous Receipts	17,117	16,092	(1,025)	-6.0%
Federal Receipts	49,105	49,528	423	0.9%
<b>Total receipts</b>	<u>74,488</u>	<u>73,813</u>	<u>(675)</u>	<u>-0.9%</u>
<b>Disbursements:</b>				
Local Assistance Grants	64,502	64,873	371	0.6%
Departmental Operations:				
Personal Service	7,586	7,571	(15)	-0.2%
Non-Personal Service	4,994	4,692	(302)	-6.0%
General State Charges	2,342	2,492	150	6.4%
Debt Service	0	0	0	0.0%
Capital Projects	2	3	1	50.0%
<b>Total Disbursements</b>	<u>79,426</u>	<u>79,631</u>	<u>205</u>	<u>0.3%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	8,670	7,870	(800)	-9.2%
Transfers to Other Funds	(2,786)	(2,574)	212	7.6%
<b>Net Other Financing Sources (Uses)</b>	<u>5,884</u>	<u>5,296</u>	<u>(588)</u>	<u>-10.0%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>946</u>	<u>(522)</u>	<u>(1,468)</u>	<u>-155.2%</u>
<b>Closing Fund Balance</b>	<u><u>3,607</u></u>	<u><u>3,085</u></u>	<u><u>(522)</u></u>	<u><u>-14.5%</u></u>



**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017 First Quarter</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Personal Income Tax</b>	3,228	2,977	2,921	2,869
<b>Consumption/Use Taxes</b>	2,007	2,013	2,017	2,015
Sales and Use Tax	908	941	974	1,008
Cigarette and Tobacco Taxes	878	847	816	781
Motor Fuel Tax	103	103	102	101
Medical Marihuana Excise Tax	4	4	4	4
Auto Rental Tax	49	53	56	56
Taxicab Surcharge	65	65	65	65
<b>Business Taxes</b>	1,622	1,648	1,702	1,737
Corporation Franchise Tax	795	830	873	910
Corporation and Utilities Tax	156	159	167	171
Insurance Taxes	156	165	180	187
Bank Tax	30	28	21	11
Petroleum Business Tax	485	466	461	458
<b>Payroll Tax</b>	1,336	1,395	1,462	1,536
<b>Total Taxes</b>	8,193	8,033	8,102	8,157
<b>Miscellaneous Receipts</b>	16,092	15,840	16,063	15,900
HCRA	4,660	4,682	4,738	4,795
State University Income	4,292	4,434	4,510	4,616
Lottery	3,413	3,324	3,295	3,313
Medicaid	812	812	812	812
Industry Assessments	824	829	829	829
Motor Vehicle Fees	390	401	401	401
All Other	1,701	1,358	1,478	1,134
<b>Federal Receipts</b>	49,528	50,606	51,366	52,667
<b>Total</b>	73,813	74,479	75,531	76,724

**CASH RECEIPTS**  
**SPECIAL REVENUE FUNDS**  
**FY 2016 and FY 2017**  
(millions of dollars)

	<b>FY 2016</b>	<b>FY 2017</b>	<b>Annual</b>	<b>Annual</b>
	<b>Results</b>	<b>First Quarter</b>	<b>\$ Change</b>	<b>% Change</b>
<b>Personal Income Tax</b>	3,335	3,228	(107)	-3.2%
<b>Consumption/Use Taxes</b>	2,028	2,007	(21)	-1.0%
Sales and Use Tax	874	908	34	3.9%
Cigarette and Tobacco Taxes	929	878	(51)	-5.5%
Motor Fuel Tax	105	103	(2)	-1.9%
Medical Marihuana Excise Tax	0	4	4	0.0%
Auto Rental Tax	47	49	2	4.3%
Taxicab Surcharge	73	65	(8)	-11.0%
<b>Business Taxes</b>	1,597	1,622	25	1.6%
Corporation Franchise Tax	764	795	31	4.1%
Corporation and Utilities Tax	165	156	(9)	-5.5%
Insurance Taxes	161	156	(5)	-3.1%
Bank Tax	8	30	22	275.0%
Petroleum Business Tax	499	485	(14)	-2.8%
<b>Payroll Tax</b>	1,306	1,336	30	2.3%
<b>Total Taxes</b>	8,266	8,193	(73)	-0.9%
<b>Miscellaneous Receipts</b>	17,117	16,092	(1,025)	-6.0%
HCRA	4,727	4,660	(67)	-1.4%
State University Income	4,561	4,292	(269)	-5.9%
Lottery	3,472	3,413	(59)	-1.7%
Medicaid	833	812	(21)	-2.5%
Industry Assessments	686	824	138	20.1%
Motor Vehicle Fees	431	390	(41)	-9.5%
All Other	2,407	1,701	(706)	-29.3%
<b>Federal Receipts</b>	49,105	49,528	423	0.9%
<b>Total</b>	74,488	73,813	(675)	-0.9%

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	(342)	(382)	(724)
<b>Receipts:</b>			
Taxes	1,394	0	1,394
Miscellaneous Receipts	3,821	1	3,822
Federal Receipts	5	2,141	2,146
<b>Total Receipts</b>	<u>5,220</u>	<u>2,142</u>	<u>7,362</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,887	611	2,498
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	5,057	1,426	6,483
<b>Total Disbursements</b>	<u>6,944</u>	<u>2,037</u>	<u>8,981</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,172	(277)	2,895
Transfers to Other Funds	(1,439)	(4)	(1,443)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>1,733</u>	<u>(281)</u>	<u>1,452</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>9</u>	<u>(176)</u>	<u>(167)</u>
<b>Closing Fund Balance</b>	<u>(333)</u>	<u>(558)</u>	<u>(891)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2017  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	(333)	(558)	(891)
<b>Receipts:</b>			
Taxes	1,295	0	1,295
Miscellaneous Receipts	4,719	0	4,719
Federal Receipts	5	2,157	2,162
<b>Total Receipts</b>	<u>6,019</u>	<u>2,157</u>	<u>8,176</u>
<b>Disbursements:</b>			
Local Assistance Grants	3,430	706	4,136
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,143	1,092	7,235
<b>Total Disbursements</b>	<u>9,573</u>	<u>1,798</u>	<u>11,371</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	4,434	(327)	4,107
Transfers to Other Funds	(1,445)	(12)	(1,457)
Bond and Note Proceeds	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<u>3,598</u>	<u>(339)</u>	<u>3,259</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>44</u>	<u>20</u>	<u>64</u>
<b>Closing Fund Balance</b>	<u>(289)</u>	<u>(538)</u>	<u>(827)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2018  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(289)</u>	<u>(538)</u>	<u>(827)</u>
<b>Receipts:</b>			
Taxes	1,327	0	1,327
Miscellaneous Receipts	7,127	0	7,127
Federal Receipts	5	2,088	2,093
<b>Total Receipts</b>	<u>8,459</u>	<u>2,088</u>	<u>10,547</u>
<b>Disbursements:</b>			
Local Assistance Grants	4,150	706	4,856
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,517	1,001	8,518
<b>Total Disbursements</b>	<u>11,667</u>	<u>1,707</u>	<u>13,374</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,946	(348)	3,598
Transfers to Other Funds	(1,494)	(12)	(1,506)
Bond and Note Proceeds	728	0	728
<b>Net Other Financing Sources (Uses)</b>	<u>3,180</u>	<u>(360)</u>	<u>2,820</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(28)</u>	<u>21</u>	<u>(7)</u>
<b>Closing Fund Balance</b>	<u>(317)</u>	<u>(517)</u>	<u>(834)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2019  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	(317)	(517)	(834)
<b>Receipts:</b>			
Taxes	1,323	0	1,323
Miscellaneous Receipts	6,367	0	6,367
Federal Receipts	5	2,086	2,091
<b>Total Receipts</b>	<u>7,695</u>	<u>2,086</u>	<u>9,781</u>
<b>Disbursements:</b>			
Local Assistance Grants	3,538	706	4,244
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	7,003	983	7,986
<b>Total Disbursements</b>	<u>10,541</u>	<u>1,689</u>	<u>12,230</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,891	(364)	3,527
Transfers to Other Funds	(1,468)	(11)	(1,479)
Bond and Note Proceeds	681	0	681
<b>Net Other Financing Sources (Uses)</b>	<u>3,104</u>	<u>(375)</u>	<u>2,729</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>258</u>	<u>22</u>	<u>280</u>
<b>Closing Fund Balance</b>	<u>(59)</u>	<u>(495)</u>	<u>(554)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2020  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	(59)	(495)	(554)
<b>Receipts:</b>			
Taxes	1,323	0	1,323
Miscellaneous Receipts	6,158	0	6,158
Federal Receipts	5	2,142	2,147
<b>Total Receipts</b>	<u>7,486</u>	<u>2,142</u>	<u>9,628</u>
<b>Disbursements:</b>			
Local Assistance Grants	3,395	706	4,101
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	6,541	1,020	7,561
<b>Total Disbursements</b>	<u>9,936</u>	<u>1,726</u>	<u>11,662</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	3,795	(383)	3,412
Transfers to Other Funds	(1,699)	(12)	(1,711)
Bond and Note Proceeds	415	0	415
<b>Net Other Financing Sources (Uses)</b>	<u>2,511</u>	<u>(395)</u>	<u>2,116</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>61</u>	<u>21</u>	<u>82</u>
<b>Closing Fund Balance</b>	<u>2</u>	<u>(474)</u>	<u>(472)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 First Quarter</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	(724)	(891)	(167)	-23.1%
<b>Receipts:</b>				
Taxes	1,394	1,295	(99)	-7.1%
Miscellaneous Receipts	3,822	4,719	897	23.5%
Federal Receipts	2,146	2,162	16	0.7%
<b>Total Receipts</b>	<u>7,362</u>	<u>8,176</u>	<u>814</u>	<u>11.1%</u>
<b>Disbursements:</b>				
Local Assistance Grants	2,498	4,136	1,638	65.6%
Departmental Operations:				
Personal Service	0	0	0	0.0%
Non-Personal Service	0	0	0	0.0%
General State Charges	0	0	0	0.0%
Debt Service	0	0	0	0.0%
Capital Projects	6,483	7,235	752	11.6%
<b>Total Disbursements</b>	<u>8,981</u>	<u>11,371</u>	<u>2,390</u>	<u>26.6%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers From Other Funds	2,895	4,107	1,212	41.9%
Transfers to Other Funds	(1,443)	(1,457)	(14)	-1.0%
Bond and Note Proceeds	0	609	609	0.0%
<b>Net Other Financing Sources (Uses)</b>	<u>1,452</u>	<u>3,259</u>	<u>1,807</u>	<u>124.4%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(167)</u>	<u>64</u>	<u>231</u>	<u>138.3%</u>
<b>Closing Fund Balance</b>	<u>(891)</u>	<u>(827)</u>	<u>64</u>	<u>7.2%</u>



**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>
	<b>First Quarter</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>
<b>Consumption/Use Taxes</b>	554	611	613	616
Motor Fuel Tax	391	388	384	382
Highway Use Tax	84	138	140	141
Auto Rental Tax	79	85	89	93
<b>Business Taxes</b>	622	597	591	588
Corporation and Utilities Tax	14	14	14	14
Petroleum Business Tax	608	583	577	574
<b>Other Taxes</b>	119	119	119	119
Real Estate Transfer Tax	119	119	119	119
<b>Total Taxes</b>	1,295	1,327	1,323	1,323
<b>Miscellaneous Receipts</b>	4,719	7,127	6,367	6,158
Authority Bond Proceeds	3,655	6,072	5,306	5,105
State Park Fees	124	118	118	118
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	781	781	788	781
All Other	82	79	78	77
<b>Federal Receipts</b>	2,162	2,093	2,091	2,147
<b>Total</b>	8,176	10,547	9,781	9,628

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<b>FY 2016</b>	<b>FY 2017</b>	<b>Annual</b>	<b>Annual</b>
	<b>Results</b>	<b>First Quarter</b>	<b>\$ Change</b>	<b>% Change</b>
<b>Consumption/Use Taxes</b>	635	554	(81)	-12.8%
Motor Fuel Tax	398	391	(7)	-1.8%
Highway Use Tax	158	84	(74)	-46.8%
Auto Rental Tax	79	79	0	0.0%
<b>Business Taxes</b>	640	622	(18)	-2.8%
Corporation and Utilities Tax	15	14	(1)	-6.7%
Petroleum Business Tax	625	608	(17)	-2.7%
<b>Other Taxes</b>	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
<b>Total Taxes</b>	1,394	1,295	(99)	-7.1%
<b>Miscellaneous Receipts</b>	3,822	4,719	897	23.5%
Authority Bond Proceeds	2,879	3,655	776	27.0%
State Park Fees	128	124	(4)	-3.1%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	754	781	27	3.6%
All Other	(16)	82	98	612.5%
<b>Federal Receipts</b>	2,146	2,162	16	0.7%
<b>Total</b>	7,362	8,176	814	11.1%

**CASH DISBURSEMENTS BY FUNCTION**  
**CAPITAL OFF-BUDGET SPENDING**  
(millions of dollars)

	FY 2016 Results	FY 2017 First Quarter	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development	9	10	10	10	5
Empire State Development Corporation	11	13	13	13	13
<b>Functional Total</b>	<u>20</u>	<u>23</u>	<u>23</u>	<u>23</u>	<u>18</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	0	0	0	0	0
<b>Functional Total</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	75	141	142	93	93
People with Developmental Disabilities, Office for	15	33	36	39	40
Alcoholism and Substance Abuse Services, Office of	3	5	5	5	5
<b>Functional Total</b>	<u>93</u>	<u>179</u>	<u>183</u>	<u>137</u>	<u>138</u>
<b>EDUCATION</b>					
Education School Aid	25	30	30	21	0
<b>Functional Total</b>	<u>25</u>	<u>30</u>	<u>30</u>	<u>21</u>	<u>0</u>
<b>HIGHER EDUCATION</b>					
City University of New York	347	476	475	475	475
State University of New York	77	90	90	90	90
<b>Functional Total</b>	<u>424</u>	<u>566</u>	<u>565</u>	<u>565</u>	<u>565</u>
<b>ALL OTHER</b>					
Judiciary	6	5	7	0	0
<b>Functional Total</b>	<u>6</u>	<u>5</u>	<u>7</u>	<u>0</u>	<u>0</u>
<b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>	<u>568</u>	<u>803</u>	<u>808</u>	<u>746</u>	<u>721</u>

Note: This table reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017 First Quarter</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Personal Income Tax</b>	12,216	12,789	12,784	13,286
<b>Consumption/Use Taxes</b>	6,479	6,813	7,106	7,382
Sales and Use Tax	6,479	6,813	7,106	7,382
<b>Other Taxes</b>	1,019	1,085	1,139	1,189
Real Estate Transfer Tax	1,019	1,085	1,139	1,189
<b>Total Taxes</b>	19,714	20,687	21,029	21,857
<b>Miscellaneous Receipts</b>	455	465	461	459
Mental Hygiene Patient Receipts	303	316	313	311
SUNY Dormitory Fees	0	0	0	0
Health Patient Receipts	146	144	144	144
All Other	6	5	4	4
<b>Federal Receipts</b>	73	73	73	73
<b>Total</b>	20,242	21,225	21,563	22,389

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 First Quarter</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Personal Income Tax</b>	11,763	12,216	453	3.9%
<b>Consumption/Use Taxes</b>	6,243	6,479	236	3.8%
Sales and Use Tax	6,243	6,479	236	3.8%
<b>Other Taxes</b>	1,044	1,019	(25)	-2.4%
Real Estate Transfer Tax	1,044	1,019	(25)	-2.4%
<b>Total Taxes</b>	19,050	19,714	664	3.5%
<b>Miscellaneous Receipts</b>	487	455	(32)	-6.6%
Mental Hygiene Patient Receipts	308	303	(5)	-1.6%
SUNY Dormitory Fees	0	0	0	0.0%
Health Patient Receipts	173	146	(27)	-15.6%
All Other	6	6	0	0.0%
<b>Federal Receipts</b>	73	73	0	0.0%
<b>Total</b>	19,610	20,242	632	3.2%

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Opening Fund Balance</b>	7,300	2,472	(342)	118	9,548
<b>Receipts:</b>					
Taxes	45,963	8,266	1,394	19,050	74,673
Miscellaneous Receipts	5,842	16,926	3,821	487	27,076
Federal Receipts	0	0	5	73	78
<b>Total Receipts</b>	<u>51,805</u>	<u>25,192</u>	<u>5,220</u>	<u>19,610</u>	<u>101,827</u>
<b>Disbursements:</b>					
Local Assistance Grants	43,314	19,339	1,887	0	64,540
Departmental Operations:					
Personal Service	6,011	6,970	0	0	12,981
Non-Personal Service	1,944	3,621	0	37	5,602
General State Charges	5,397	2,055	0	0	7,452
Debt Service	0	0	0	5,598	5,598
Capital Projects	0	2	5,057	0	5,059
<b>Total Disbursements</b>	<u>56,666</u>	<u>31,987</u>	<u>6,944</u>	<u>5,635</u>	<u>101,232</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	17,871	8,631	3,172	4,007	33,681
Transfers to Other Funds	(11,376)	(761)	(1,439)	(17,940)	(31,516)
Bond and Note Proceeds	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,495</u>	<u>7,870</u>	<u>1,733</u>	<u>(13,933)</u>	<u>2,165</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>1,634</u>	<u>1,075</u>	<u>9</u>	<u>42</u>	<u>2,760</u>
<b>Closing Fund Balance</b>	<u>8,934</u>	<u>3,547</u>	<u>(333)</u>	<u>160</u>	<u>12,308</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2017  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Opening Fund Balance</b>	8,934	3,547	(333)	160	12,308
<b>Receipts:</b>					
Taxes	47,300	8,193	1,295	19,714	76,502
Miscellaneous Receipts	2,826	15,876	4,719	455	23,876
Federal Receipts	1	1	5	73	80
<b>Total Receipts</b>	<u>50,127</u>	<u>24,070</u>	<u>6,019</u>	<u>20,242</u>	<u>100,458</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,769	19,005	3,430	0	68,204
Departmental Operations:					
Personal Service	6,012	6,884	0	0	12,896
Non-Personal Service	2,253	3,462	0	39	5,754
General State Charges	5,552	2,157	0	0	7,709
Debt Service	0	0	0	5,078	5,078
Capital Projects	0	3	6,143	0	6,146
<b>Total Disbursements</b>	<u>59,586</u>	<u>31,511</u>	<u>9,573</u>	<u>5,117</u>	<u>105,787</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,541	7,870	4,434	3,262	34,107
Transfers to Other Funds	(11,527)	(855)	(1,445)	(18,297)	(32,124)
Bond and Note Proceeds	0	0	609	0	609
<b>Net Other Financing Sources (Uses)</b>	<u>7,014</u>	<u>7,015</u>	<u>3,598</u>	<u>(15,035)</u>	<u>2,592</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>(2,445)</u>	<u>(426)</u>	<u>44</u>	<u>90</u>	<u>(2,737)</u>
<b>Closing Fund Balance</b>	<u><u>6,489</u></u>	<u><u>3,121</u></u>	<u><u>(289)</u></u>	<u><u>250</u></u>	<u><u>9,571</u></u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2018  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	49,859	8,033	1,327	20,687	79,906
Miscellaneous Receipts	2,486	15,624	7,127	465	25,702
Federal Receipts	1	1	5	73	80
<b>Total Receipts</b>	<u>52,346</u>	<u>23,658</u>	<u>8,459</u>	<u>21,225</u>	<u>105,688</u>
<b>Disbursements:</b>					
Local Assistance Grants	48,967	18,875	4,150	0	71,992
Departmental Operations:					
Personal Service	6,068	6,819	0	0	12,887
Non-Personal Service	2,613	3,387	0	49	6,049
General State Charges	5,916	2,216	0	0	8,132
Debt Service	0	0	0	6,257	6,257
Capital Projects	0	2	7,517	0	7,519
<b>Total Disbursements</b>	<u>63,564</u>	<u>31,299</u>	<u>11,667</u>	<u>6,306</u>	<u>112,836</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,488	8,078	3,946	3,976	34,488
Transfers to Other Funds	(11,860)	(205)	(1,494)	(18,786)	(32,345)
Bond and Note Proceeds	0	0	728	0	728
<b>Net Other Financing Sources (Uses)</b>	<u>6,628</u>	<u>7,873</u>	<u>3,180</u>	<u>(14,810)</u>	<u>2,871</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	1,352				
Programmed	1,352				
Unbudgeted	0				
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,352</u>				
<b>Adherence to 2% Spending Benchmark</b>	3,031				
<b>Net General Fund Surplus (Deficit)</b>	<u>(207)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2019  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	50,226	8,102	1,323	21,029	80,680
Miscellaneous Receipts	2,455	15,847	6,367	461	25,130
Federal Receipts	1	1	5	73	80
<b>Total Receipts</b>	<u>52,682</u>	<u>23,950</u>	<u>7,695</u>	<u>21,563</u>	<u>105,890</u>
<b>Disbursements:</b>					
Local Assistance Grants	51,595	19,101	3,538	0	74,234
Departmental Operations:					
Personal Service	6,104	6,901	0	0	13,005
Non-Personal Service	2,426	3,453	0	49	5,928
General State Charges	6,124	2,287	0	0	8,411
Debt Service	0	0	0	6,771	6,771
Capital Projects	0	0	7,003	0	7,003
<b>Total Disbursements</b>	<u>66,249</u>	<u>31,742</u>	<u>10,541</u>	<u>6,820</u>	<u>115,352</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,224	8,354	3,891	3,821	34,290
Transfers to Other Funds	(12,039)	(216)	(1,468)	(18,472)	(32,195)
Bond and Note Proceeds	0	0	681	0	681
<b>Net Other Financing Sources (Uses)</b>	<u>6,185</u>	<u>8,138</u>	<u>3,104</u>	<u>(14,651)</u>	<u>2,776</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	1,200				
Programmed	1,200				
Unbudgeted	0				
<b>Total Use (Reservation) of Fund Balance</b>	<u>1,200</u>				
<b>Adherence to 2% Spending Benchmark</b>	4,710				
<b>Net General Fund Surplus (Deficit)</b>	<u>(1,472)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2020  
(millions of dollars)**

	<u>General Fund</u>	<u>State Special Revenue Funds</u>	<u>State Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	52,489	8,157	1,323	21,857	83,826
Miscellaneous Receipts	2,335	15,684	6,158	459	24,636
Federal Receipts	1	1	5	73	80
<b>Total Receipts</b>	<u>54,825</u>	<u>23,842</u>	<u>7,486</u>	<u>22,389</u>	<u>108,542</u>
<b>Disbursements:</b>					
Local Assistance Grants	54,450	19,066	3,395	0	76,911
Departmental Operations:					
Personal Service	6,166	6,940	0	0	13,106
Non-Personal Service	2,502	3,425	0	49	5,976
General State Charges	6,467	2,338	0	0	8,805
Debt Service	0	0	0	7,232	7,232
Capital Projects	0	0	6,541	0	6,541
<b>Total Disbursements</b>	<u>69,585</u>	<u>31,769</u>	<u>9,936</u>	<u>7,281</u>	<u>118,571</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	18,666	8,555	3,795	3,837	34,853
Transfers to Other Funds	(12,191)	(213)	(1,699)	(18,786)	(32,889)
Bond and Note Proceeds	0	0	415	0	415
<b>Net Other Financing Sources (Uses)</b>	<u>6,475</u>	<u>8,342</u>	<u>2,511</u>	<u>(14,949)</u>	<u>2,379</u>
<b>Use (Reservation) of Fund Balance:</b>					
Monetary Settlements	731				
Programmed	731				
Unbudgeted	0				
<b>Total Use (Reservation) of Fund Balance</b>	<u>731</u>				
<b>Adherence to 2% Spending Benchmark</b>	6,532				
<b>Net General Fund Surplus (Deficit)</b>	<u>(1,022)</u>				



**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2016 and FY 2017  
(millions of dollars)**

	<b>FY 2016 Results</b>	<b>FY 2017 First Quarter</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Opening Fund Balance</b>	9,548	12,308	2,760	28.9%
<b>Receipts:</b>				
Taxes	74,673	76,502	1,829	2.4%
Miscellaneous Receipts	27,076	23,876	(3,200)	-11.8%
Federal Receipts	78	80	2	2.6%
<b>Total Receipts</b>	<u>101,827</u>	<u>100,458</u>	<u>(1,369)</u>	<u>-1.3%</u>
<b>Disbursements:</b>				
Local Assistance Grants	64,540	68,204	3,664	5.7%
Departmental Operations:				
Personal Service	12,981	12,896	(85)	-0.7%
Non-Personal Service	5,602	5,754	152	2.7%
General State Charges	7,452	7,709	257	3.4%
Debt Service	5,598	5,078	(520)	-9.3%
Capital Projects	5,059	6,146	1,087	21.5%
<b>Total Disbursements</b>	<u>101,232</u>	<u>105,787</u>	<u>4,555</u>	<u>4.5%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	33,681	34,107	426	1.3%
Transfers to Other Funds	(31,516)	(32,124)	(608)	-1.9%
Bond and Note Proceeds	0	609	609	0.0%
<b>Net Other Financing Sources (Uses)</b>	<u>2,165</u>	<u>2,592</u>	<u>427</u>	<u>19.7%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<u>2,760</u>	<u>(2,737)</u>	<u>(5,497)</u>	<u>-199.2%</u>
<b>Closing Fund Balance</b>	<u>12,308</u>	<u>9,571</u>	<u>(2,737)</u>	<u>-22.2%</u>

**CASHFLOW  
GENERAL FUND  
FY 2016**  
(dollars in millions)

	2015 April Results	2015 May Results	2015 June Results	2015 July Results	2015 August Results	2015 September Results	2015 October Results	2015 November Results	2015 December Results	2016 January Results	2016 February Results	2016 March Results	Total
<b>OPENING BALANCE</b>	7,300	10,344	9,591	11,064	9,625	9,489	10,717	11,388	10,312	12,741	13,779	14,341	7,300
<b>RECEIPTS:</b>													
Personal Income Tax	5,036	1,741	3,171	2,041	1,909	3,653	1,838	1,730	3,622	2,862	2,248	2,106	31,957
Consumption/Use Taxes	507	512	693	560	532	699	488	534	645	552	450	647	6,819
Business Taxes	203	(33)	1,106	133	205	1,094	81	12	1,084	108	10	1,874	5,647
Other Taxes	150	151	119	209	165	138	144	104	75	108	95	82	1,540
Total Taxes	5,896	2,871	5,089	2,943	2,811	5,584	2,551	2,380	5,426	3,400	2,803	4,709	45,963
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	527
ABC License Fee	7	6	7	6	6	6	6	4	4	4	5	3	66
Investment Income	0	0	1	1	1	1	1	1	1	0	3	3	13
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	83	630
Motor Vehicle Fees	36	0	28	15	(3)	23	20	8	22	(6)	23	30	194
Reimbursements	6	7	36	9	26	29	3	50	50	4	27	27	232
Other Transactions	89	2,387	41	23	15	255	412	514	72	15	12	345	4,180
Total Miscellaneous Receipts	178	2,445	188	100	120	382	497	683	256	105	123	765	5,842
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
PIT in Excess of Revenue Bond Debt Service	1,679	539	1,201	524	261	1,462	563	430	1,249	565	534	1,152	10,159
Tax in Excess of GAC	238	33	510	249	195	300	119	211	298	214	163	160	2,728
State Tax Bond Fund	234	216	306	232	149	300	176	216	258	215	163	300	2,769
Real Estate Taxes in Excess of CW/CA Debt Service	74	97	74	76	81	95	86	63	71	95	75	75	672
All Other	15	288	4	21	2	90	8	1	41	59	206	508	1,253
Total Transfers from Other Funds	2,230	1,183	2,103	1,102	688	2,266	1,055	951	1,924	1,188	984	2,197	17,871
<b>TOTAL RECEIPTS</b>	8,304	5,999	7,380	4,145	3,619	8,232	4,103	4,014	7,606	4,693	3,910	7,671	69,676
<b>DISBURSEMENTS:</b>													
School Aid	535	2,703	1,975	67	562	2,604	111	1,663	1,620	429	488	7,376	20,133
Higher Education	18	20	646	248	123	186	345	53	66	151	333	750	2,939
All Other Education	18	98	508	85	108	31	34	31	187	22	221	382	2,075
Medicaid - DOH	1,231	1,606	495	889	1,273	1,123	825	986	1,316	903	1,123	366	12,136
Public Health	11	187	57	69	26	48	41	43	73	42	46	71	714
Mental Hygiene	3	278	3	3	2	315	1	1	303	15	49	202	1,174
Children and Families	39	39	96	303	30	323	81	72	274	70	187	219	1,733
Temporary & Disability Assistance	93	98	158	97	95	105	93	89	93	92	101	99	1,213
Transportation	0	24	0	0	35	0	0	22	15	1	14	0	111
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	771
All Other	2	38	189	31	(96)	45	36	28	(47)	31	89	(31)	315
Total Local Assistance Grants	1,951	4,827	4,791	1,793	2,158	5,239	1,567	2,988	4,092	1,756	2,651	9,501	43,314
Personal Service	554	443	457	638	471	489	543	441	620	447	419	480	6,011
Non-Personal Service	68	125	141	173	166	211	81	185	138	160	218	278	1,944
Total Departmental Operations	622	568	598	811	637	700	624	626	757	607	637	758	7,955
General State Charges	612	504	364	1,816	(50)	473	473	406	3	492	(138)	442	5,397
Debt Service	302	(8)	(22)	167	(12)	(70)	145	(3)	(2)	347	(25)	378	1,196
Capital Projects	73	148	(196)	200	326	353	23	336	8	281	154	1,015	2,721
State Share Medicaid	982	210	65	171	40	233	103	49	136	128	42	77	2,036
UNY Operations	210	210	211	171	40	233	103	49	136	128	42	77	998
Other Purposes	498	504	96	435	656	76	497	512	173	44	27	907	4,425
Total Transfers to Other Funds	2,075	853	154	1,664	1,010	592	768	1,070	315	800	198	2,377	11,376
<b>TOTAL DISBURSEMENTS</b>	5,260	6,752	5,907	5,584	3,755	7,004	3,432	5,090	5,177	3,655	3,348	13,078	68,042
Excess/(Deficiency) of Receipts over Disbursements	3,044	(753)	1,473	(1,439)	(136)	1,228	671	(1,076)	2,429	1,038	562	(5,407)	1,634
<b>CLOSING BALANCE</b>	10,344	9,591	11,064	9,625	9,489	10,717	11,388	10,312	12,741	13,779	14,341	8,934	8,934

**CASHFLOW  
STATE OPERATING FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	9,890	14,307	14,119	15,152	14,616	15,047	13,857	15,562	14,816	16,342	19,241	19,558	0	9,890
<b>RECEIPTS:</b>														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0	47,056
Consumption/Use Taxes	1,191	1,124	1,528	1,241	1,169	1,546	1,090	1,163	1,420	1,229	993	1,396	0	15,090
Business Taxes	263	1,348	204	286	286	1,296	152	87	1,315	1,400	63	2,259	0	7,244
Other Taxes	369	335	297	387	337	339	326	268	270	8,766	320	285	0	3,889
Total Taxes	8,542	3,791	7,976	4,553	4,337	8,305	4,019	3,859	8,001	8,766	4,373	6,757	0	73,279
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	0	527
ABC License Fee	7	6	7	6	6	7	6	4	5	4	5	3	0	66
HCRA	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
Investment Income	0	0	1	1	1	1	1	1	1	1	3	3	0	13
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	630	0	3,472
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	0	6,225
Medicaid	80	70	65	70	66	67	73	66	65	72	63	69	0	625
Motor Vehicle Fees	70	45	69	60	66	54	49	38	65	24	53	66	0	625
Reimbursements	6	7	36	9	26	29	3	8	26	4	27	27	0	232
State University Income	311	243	256	347	288	600	448	294	266	465	631	412	0	4,561
Other Transactions	(543)	3,033	412	275	319	620	640	667	599	213	1,050	1,050	0	7,569
Total Miscellaneous Receipts	609	4,092	1,631	1,563	1,438	2,142	1,905	1,879	1,339	1,586	1,772	2,795	0	23,255
Federal Receipts	0	0	0	2	35	0	0	1	0	0	37	(2)	0	73
<b>TOTAL RECEIPTS</b>	9,151	7,883	9,607	6,118	5,810	10,447	5,924	5,739	9,840	10,352	6,182	9,554	0	96,607
<b>DISBURSEMENTS:</b>														
School Aid	535	2,703	2,283	67	562	4,752	111	1,805	1,762	571	631	7,520	0	23,302
Higher Education	18	20	646	248	123	186	345	53	66	151	333	766	0	2,955
All Other Education	18	99	508	85	109	383	32	32	189	23	221	383	0	2,085
STAR	0	0	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	1,641	1,917	927	1,454	1,650	1,623	1,338	1,405	1,742	1,367	1,349	1,038	0	17,453
Public Health	35	226	156	228	183	106	60	99	146	188	71	188	0	1,648
Mental Hygiene	95	43	42	304	0	351	(4)	7	592	94	64	439	0	2,945
Children and Families	93	48	306	303	20	326	31	149	24	92	188	29	0	1,713
Temporary & Disability Assistance	93	98	155	97	95	105	89	89	86	92	101	89	0	1,215
Transportation	123	494	313	313	463	401	352	584	867	187	249	253	0	4,745
Unrestricted Aid	0	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	26	70	207	60	(72)	132	40	71	(22)	91	163	0	0	766
Total Local Assistance Grants	2,596	5,713	6,705	2,980	3,218	8,943	2,480	4,232	6,018	5,348	3,370	11,040	0	62,653
Personal Service	1,187	977	978	1,316	988	1,017	1,161	972	1,343	971	967	1,104	0	12,981
Non-Personal Service	291	375	499	412	517	549	491	491	509	470	555	707	0	5,602
Total Departmental Operations	1,478	1,352	1,477	1,728	1,505	1,566	1,388	1,463	1,852	1,441	1,522	1,811	0	18,583
General State Charges	650	689	552	1,856	363	559	484	455	455	526	323	530	0	7,452
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	0	5,598
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
<b>TOTAL DISBURSEMENTS</b>	4,890	8,019	8,898	6,658	5,360	11,801	4,370	6,252	8,641	7,349	5,774	16,276	0	94,288
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,552	2,119	2,714	2,426	1,823	3,106	2,107	1,892	2,626	2,018	1,267	4,394	(535)	30,509
Transfers to other funds	(4,396)	(2,171)	(2,390)	(2,422)	(1,842)	(2,942)	(1,956)	(2,125)	(2,299)	(2,122)	(1,388)	(4,589)	535	(30,077)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES/(USES)	156	(52)	324	4	(19)	164	151	(233)	327	(104)	(91)	(195)	0	432
Excess/(Deficiency) of Receipts over Disbursements	4,417	(188)	1,033	(536)	431	(1,190)	1,705	(746)	1,526	2,899	317	(6,917)	0	2,751
<b>CLOSING BALANCE</b>	14,307	14,119	15,152	14,616	15,047	13,857	15,562	14,816	16,342	19,241	19,558	12,641	0	12,641

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	9,355	12,664	13,192	14,764	13,782	14,367	12,328	14,656	14,090	16,219	18,620	18,275	0	9,355
<b>RECEIPTS:</b>														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	0	47,056
Consumption/Use Taxes	1,240	1,167	1,592	1,288	1,217	1,616	1,139	1,214	1,488	1,275	1,035	1,455	0	15,726
Business Taxes	314	61	1,402	258	344	1,351	206	138	1,368	16	110	2,315	0	7,883
Other Taxes	369	335	308	399	349	351	338	280	282	368	332	297	0	4,008
Total Taxes	8,642	3,884	8,105	4,666	4,455	8,442	4,134	3,973	8,134	8,880	4,474	6,884	0	74,673
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	0	527
ABC License Fee	7	6	7	6	6	7	384	404	359	354	413	473	0	66
HCRA	317	378	448	439	382	376	1	1	1	0	3	3	0	4,727
Investment Income	0	0	1	1	1	1	30	28	80	47	33	83	0	13
Licenses, Fees, etc.	40	45	75	46	75	48	247	249	316	362	240	339	0	3,472
Lottery	321	265	262	310	243	318	67	66	72	72	63	69	0	833
Medicaid	80	70	65	70	66	73	49	38	65	24	53	66	0	625
Motor Vehicle Fees	70	45	69	60	32	54	26	29	266	465	631	412	0	4,561
Reimbursements	6	7	36	9	26	29	448	294	1,379	308	364	1,719	0	11,562
State University Income	311	243	256	347	288	600	1,034	793	2,619	1,681	1,852	3,528	0	27,268
Other Transactions	(445)	3,216	1,367	426	437	924	2,299	2,005	5,411	4,193	3,887	6,072	0	51,324
Total Miscellaneous Receipts	707	4,275	2,586	1,714	1,556	2,446	3,333	4,763	16,164	14,754	10,213	16,424	0	153,265
Federal Receipts	1,728	4,649	4,628	3,879	4,470	4,371	9,766	10,741	15,259	15,259	15,259	15,259	0	153,265
<b>TOTAL RECEIPTS</b>	11,077	12,808	15,319	10,259	10,481	15,259	9,766	10,741	16,164	14,754	10,213	16,424	0	153,265
<b>DISBURSEMENTS:</b>														
School Aid	700	2,937	2,430	193	636	4,898	205	2,350	2,019	662	806	7,665	0	25,501
Higher Education	18	70	646	248	123	186	345	53	203	164	333	767	0	2,969
All Other Education	177	309	593	186	179	505	143	203	242	62	449	510	0	3,558
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	3,201	4,480	4,128	4,323	4,083	4,556	3,317	4,085	4,553	5,153	4,021	4,725	0	50,625
Public Health	138	332	261	350	489	223	135	275	294	265	157	637	0	3,556
Mental Hygiene	90	57	486	163	91	663	13	35	603	104	78	522	0	2,905
Children and Families	58	157	132	391	192	473	332	98	358	80	326	235	0	2,632
Temporary & Disability Assistance	295	290	573	266	709	488	258	292	561	296	378	554	0	4,960
Transportation	157	527	528	346	484	602	368	639	1,136	204	288	402	0	5,681
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	0	771
All Other	225	256	341	330	67	402	229	463	338	172	487	511	0	3,821
Total Local Assistance Grants	5,060	9,378	10,938	6,797	7,053	13,295	5,167	8,456	10,487	9,716	7,323	16,604	0	110,314
Personal Service	1,238	1,023	1,025	1,380	1,032	1,060	1,208	1,018	1,408	1,016	1,017	1,172	0	13,597
Non-Personal Service	348	452	610	484	614	773	267	565	621	565	752	924	0	6,975
Total Departmental Operations	1,586	1,475	1,635	1,864	1,646	1,833	1,475	1,583	2,029	1,581	1,769	2,096	0	20,572
General State Charges	663	743	559	1,862	410	574	485	475	503	532	365	566	0	7,739
Debt Service	166	255	164	84	274	733	18	102	316	34	559	714	0	5,598
Capital Projects	289	426	451	569	536	857	293	644	695	479	532	644	0	6,485
<b>TOTAL DISBURSEMENTS</b>	7,764	12,277	13,747	11,176	9,919	17,292	7,438	11,300	14,030	12,342	10,548	22,875	0	150,708
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,629	2,267	2,552	2,634	2,149	3,465	2,135	2,228	2,650	2,307	1,456	5,506	(6,315)	33,443
Transfers to other funds	(4,633)	(2,270)	(2,552)	(2,699)	(2,126)	(3,471)	(2,135)	(2,228)	(2,650)	(2,318)	(1,466)	(5,520)	535	(33,545)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET TO OTHER FINANCING SOURCES (USES)	(4)	(3)	0	(65)	23	(6)	0	(7)	(5)	(11)	(10)	(14)	0	(102)
Excess/(Deficiency) of Receipts over Disbursements	3,309	528	1,572	(982)	585	(2,039)	2,328	(566)	2,129	2,401	(345)	(6,465)	0	2,455
<b>CLOSING BALANCE</b>	12,864	13,192	14,764	13,782	14,367	12,328	14,656	14,090	16,219	18,620	18,275	11,810	0	11,810

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	2,661	2,700	4,105	4,010	4,183	4,671	2,283	3,445	3,984	3,734	3,398	2,760	0	2,661
<b>RECEIPTS:</b>														
Personal Income Tax	3	0	431	0	0	190	0	26	125	2,554	0	6	0	3,335
Consumption/Use Taxes	204	147	195	184	153	206	165	147	181	169	129	146	0	2,028
Business Taxes	60	44	242	71	85	202	71	33	231	82	53	385	0	1,597
Other Taxes	153	87	96	96	87	100	87	87	111	147	146	123	0	1,506
Total Taxes	400	278	964	351	319	698	323	343	648	2,952	328	662	0	8,266
H CRA	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
State University Income	31	243	265	347	288	600	468	294	266	465	631	412	0	4,561
Medicaid	321	265	282	310	243	247	247	249	316	362	240	339	0	3,472
Medicaid	80	70	65	70	66	67	73	66	72	72	63	69	0	833
Motor Vehicle Fees	34	45	41	45	35	34	28	30	30	30	30	36	0	431
Other Transactions	(610)	602	333	218	261	340	215	141	401	158	258	676	0	3,093
Total Miscellaneous Receipts	453	1,603	1,405	1,429	1,275	1,735	1,395	1,184	1,557	1,441	1,635	2,005	0	17,117
Federal Receipts	1,627	4,579	4,432	3,673	4,238	4,052	3,293	4,395	5,197	4,087	3,695	5,837	0	49,105
<b>TOTAL RECEIPTS</b>	2,480	6,460	6,801	5,453	5,832	6,485	5,011	5,922	7,402	8,480	5,658	8,504	0	74,488
<b>DISBURSEMENTS:</b>														
School Aid	165	234	455	126	74	2,294	94	687	399	233	318	289	0	5,368
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	0	16
All Other Education	159	211	85	101	71	111	109	172	55	40	228	127	0	1,469
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	1,970	2,874	3,633	3,434	2,810	3,433	2,492	3,059	3,237	4,250	2,898	4,359	0	38,489
Public Health	127	145	204	281	463	175	94	193	221	223	111	559	0	2,796
Mental Hygiene	82	50	203	152	80	333	32	84	291	84	24	293	0	1,627
Children and Families	19	118	88	88	162	150	51	26	84	10	139	16	0	899
Temporary & Disability Assistance	202	192	405	169	614	373	165	193	468	194	277	449	0	3,701
Transportation	127	472	455	315	435	403	352	564	854	187	236	257	0	4,657
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	191	151	79	145	4	310	154	173	317	125	333	163	0	2,145
Total Local Assistance Grants	3,042	4,448	5,986	4,811	4,713	7,772	3,543	5,135	6,051	7,900	4,564	6,537	0	64,502
Personal Service	684	580	568	742	561	571	665	577	779	569	598	632	0	7,586
Non-Personal Service	280	326	468	301	445	558	186	379	482	404	494	633	0	4,994
Total Departmental Operations	964	906	1,036	1,043	1,006	1,129	851	956	1,261	973	1,130	1,325	0	12,580
General State Charges	51	239	195	46	460	101	12	69	500	40	503	126	0	2,342
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
<b>TOTAL DISBURSEMENTS</b>	4,057	5,593	7,217	5,900	6,179	9,002	4,406	6,160	7,812	8,913	6,197	7,990	0	79,426
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	1,795	859	463	895	1,057	451	739	851	497	323	221	1,054	(535)	8,670
Transfers to Other Funds	(179)	(321)	(142)	(215)	(222)	(622)	(182)	(74)	(327)	(226)	(320)	(721)	535	(2,786)
<b>NET OTHER FINANCING SOURCES(USES)</b>	1,616	538	321	620	835	129	557	777	160	97	(99)	333	0	5,884
Excess/(Deficiency) of Receipts over Disbursements	39	1,405	(95)	173	488	(2,388)	1,162	539	(250)	(336)	(638)	847	0	946
<b>CLOSING BALANCE</b>	2,700	4,105	4,010	4,183	4,671	2,283	3,445	3,984	3,734	3,398	2,760	3,607	0	3,607

**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2016  
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	2,472	3,548	4,076	3,872	4,303	4,628	2,807	3,559	3,793	3,008	3,173	3,046		2,472
<b>RECEIPTS:</b>														
Personal Income Tax	3	0	431	0	0	190	0	26	125	2,554	0	6	0	3,335
Consumption/Use Taxes	204	147	195	184	153	206	165	147	181	169	129	148	0	2,028
Business Taxes	60	44	242	71	81	202	71	75	231	82	82	385	0	1,597
Other Taxes	133	87	96	96	96	100	87	95	111	147	146	123	0	1,306
Total Taxes	400	278	964	351	319	698	323	343	648	2,952	328	662	0	8,266
HCRA	317	378	448	439	382	376	384	404	359	354	413	473	0	4,727
State University Income	311	243	256	347	288	900	448	284	266	465	631	412	0	4,561
Lottery	321	265	262	710	243	316	247	249	316	362	240	339	0	3,472
Medicaid	80	65	65	45	56	97	3	86	72	72	63	98	0	833
Motor Vehicle Fees	34	45	31	45	35	73	20	30	43	30	30	66	0	351
Other Transactions	(624)	551	333	206	241	328	205	125	492	135	242	668	0	2,902
Total Miscellaneous Receipts	439	1,552	1,405	1,417	1,255	1,723	1,385	1,168	1,548	1,418	1,619	1,997	0	16,926
Federal Receipts	0	0	0	0	0	0	0	1	0	0	0	(1)	0	0
<b>TOTAL RECEIPTS</b>	839	1,830	2,369	1,768	1,574	2,421	1,708	1,512	2,196	4,370	1,947	2,658	0	25,192
<b>DISBURSEMENTS:</b>														
School Aid	0	0	308	0	0	2,148	0	142	142	142	143	144	0	3,169
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	0	16
All Other Education	0	1	0	0	1	2	1	1	2	1	0	1	0	10
STAR	0	1	431	0	0	190	0	25	125	2,554	0	9	0	3,335
Medicaid - DOH	410	311	432	565	377	502	513	419	426	464	226	672	0	5,317
Public Health	24	39	79	159	157	58	19	56	75	116	25	127	0	934
Mental Hygiene	62	31	194	131	68	316	24	279	15	69	15	287	0	1,471
Children and Families	0	1	0	0	0	0	0	1	0	0	1	(1)	0	2
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	125	470	452	313	433	401	352	562	852	186	235	253	0	4,634
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	24	32	18	29	24	87	4	43	25	60	0	31	0	451
Total Local Assistance Grants	645	886	1,914	1,197	1,060	3,704	913	1,244	1,926	3,592	719	1,539	0	19,339
Personal Service	633	534	521	678	517	528	618	531	714	524	548	624	0	6,970
Non-Personal Service	223	249	357	229	348	334	146	305	370	309	335	416	0	3,621
Total Departmental Operations	856	783	878	907	865	862	764	836	1,084	833	883	1,040	0	10,591
General State Charges	38	195	188	40	413	86	11	49	452	34	461	88	0	2,055
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	2	0	2
<b>TOTAL DISBURSEMENTS</b>	1,539	1,864	2,980	2,144	2,338	4,652	1,688	2,129	3,462	4,459	2,063	2,669	0	31,987
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	1,795	859	463	895	1,067	451	739	851	497	323	221	1,015	(535)	8,631
Transfers to Other Funds	(19)	(297)	(56)	(88)	32	(41)	(7)	0	(16)	(69)	(232)	(503)	535	(761)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	1,776	562	407	807	1,089	410	732	851	481	254	(11)	512	0	7,870
Excess/(Deficiency) of Receipts over Disbursements	1,076	528	(204)	431	325	(1,821)	752	234	(785)	165	(127)	501	0	1,075
<b>CLOSING BALANCE</b>	3,548	4,076	3,872	4,303	4,628	2,807	3,559	3,793	3,008	3,173	3,046	3,547	0	3,547

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	189	(848)	29	138	(120)	43	(524)	(114)	191	726	225	(286)	189
<b>RECEIPTS:</b>													
Miscellaneous Receipts	14	51	0	12	20	12	10	16	9	23	16	8	191
Federal Receipts	1,627	4,579	4,432	3,673	4,238	4,052	3,293	4,394	5,197	4,087	3,695	5,838	49,105
<b>TOTAL RECEIPTS</b>	1,641	4,630	4,432	3,685	4,258	4,064	3,303	4,410	5,206	4,110	3,711	5,846	49,296
<b>DISBURSEMENTS:</b>													
School Aid	165	234	147	126	74	146	94	545	257	91	175	145	2,199
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	159	210	85	101	70	109	108	171	53	39	228	127	1,460
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	1,560	2,563	3,201	2,869	2,433	2,931	1,979	2,680	2,811	3,786	2,672	3,688	33,173
Public Health	103	106	125	122	306	117	75	137	146	107	86	433	1,863
Mental Hygiene	20	19	9	21	12	17	8	8	12	15	9	6	156
Children and Families	19	117	36	88	162	150	51	25	84	10	138	17	897
Temporary & Disability Assistance	202	192	405	169	614	373	165	193	468	194	277	449	3,701
Transportation	2	2	3	2	2	2	0	2	2	1	1	4	23
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	167	119	61	116	(20)	223	150	130	292	65	259	129	1,691
<b>Total Local Assistance Grants</b>	2,397	3,562	4,072	3,614	3,653	4,068	2,630	3,891	4,125	4,308	3,845	4,998	45,163
Personal Service	51	46	47	64	44	43	47	46	65	45	50	68	616
Non-Personal Service	57	77	111	72	97	224	40	74	112	95	197	217	1,373
<b>Total Departmental Operations</b>	108	123	158	136	141	267	87	120	177	140	247	285	1,989
General State Charges	13	44	7	6	47	15	1	20	48	6	42	38	287
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	2,518	3,729	4,237	3,756	3,841	4,350	2,718	4,031	4,350	4,454	4,134	5,321	47,439
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	39
Transfers to Other Funds	(160)	(24)	(86)	(187)	(254)	(281)	(175)	(74)	(321)	(157)	(88)	(218)	(2,025)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(160)	(24)	(86)	(187)	(254)	(281)	(175)	(74)	(321)	(157)	(88)	(179)	(1,986)
Excess/(Deficiency) of Receipts over Disbursements	(1,037)	877	109	(258)	163	(567)	410	305	535	(501)	(511)	346	(129)
<b>CLOSING BALANCE</b>	(848)	29	138	(120)	43	(524)	(114)	191	726	225	(286)	60	60

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	118	415	452	216	688	930	333	615	711	593	2,289	2,171	118
<b>RECEIPTS:</b>													
Personal Income Tax	1,680	580	1,201	680	636	1,281	613	585	1,249	1,805	749	705	11,764
Consumption/Use Taxes	480	465	640	497	484	641	437	482	594	508	414	601	6,243
Other Taxes	86	97	82	82	87	101	95	69	84	101	79	80	1,043
Total Taxes	2,246	1,142	1,923	1,259	1,207	2,023	1,145	1,136	1,927	2,414	1,242	1,386	19,050
Miscellaneous Receipts	(8)	95	38	46	63	37	23	28	35	63	30	37	487
Federal Receipts	0	0	0	2	35	0	0	0	0	0	37	(1)	73
TOTAL RECEIPTS	2,238	1,237	1,961	1,307	1,305	2,060	1,168	1,164	1,962	2,477	1,309	1,422	19,610
<b>DISBURSEMENTS:</b>													
Departmental Operations	0	1	1	10	3	4	0	1	1	1	2	13	37
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	5,598
TOTAL DISBURSEMENTS	166	256	165	94	277	737	18	103	317	35	561	2,906	5,635
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	527	77	148	429	78	389	313	90	205	507	62	1182	4,007
Transfers to Other Funds	(2,302)	(1,021)	(2,180)	(1,170)	(864)	(2,309)	(1,181)	(1,055)	(1,968)	(1,253)	(928)	(1,709)	(17,940)
NET OTHER FINANCING SOURCES/(USES)	(1,775)	(944)	(2,032)	(741)	(786)	(1,920)	(868)	(965)	(1,763)	(746)	(866)	(527)	(13,933)
Excess/(Deficiency) of Receipts over Disbursements	297	37	(236)	472	242	(597)	282	96	(118)	1,696	(118)	(2,011)	42
<b>CLOSING BALANCE</b>	415	452	216	688	930	333	615	711	593	2,289	2,171	160	160



**CASHFLOW  
CAPITAL PROJECTS FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
	(724)	(795)	(956)	(526)	(714)	(723)	(1,005)	(792)	(917)	(849)	(846)	(997)	(724)
<b>OPENING BALANCE</b>													
<b>RECEIPTS:</b>													
Consumption/Use Taxes	49	43	64	47	48	70	49	51	68	46	42	59	636
Business Taxes	51	50	54	54	58	55	54	51	53	56	47	56	639
Other Taxes	0	0	11	12	12	12	12	12	12	12	12	12	119
Total Taxes	100	93	129	113	118	137	115	114	133	114	101	127	1,394
Miscellaneous Receipts	84	132	955	139	98	292	384	110	771	72	64	721	3,822
Federal Receipts	101	70	196	204	197	319	204	368	214	106	155	176	2,146
<b>TOTAL RECEIPTS</b>	285	295	1,280	456	413	748	539	592	1,118	292	320	1,024	7,362
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	13	0	1	14
All Other Education	0	0	0	0	0	13	0	0	0	0	0	1	14
Public Health	0	0	0	0	0	0	0	39	0	0	0	7	46
Mental Hygiene	5	5	5	8	9	15	2	9	9	5	5	27	104
School Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
Temporary & Disability Assistance	0	0	10	0	0	0	0	10	0	10	0	6	46
Transportation	30	31	73	31	14	199	16	53	267	16	38	145	913
All Other Local	32	67	73	154	159	47	39	262	68	16	65	379	1,361
Total Local Assistance Grants	67	103	161	193	182	284	57	373	344	60	108	566	2,498
Economic Development	2	2	3	7	5	5	2	3	10	4	4	10	57
Parks & the Environment	19	20	24	34	35	39	8	29	29	37	38	54	372
Transportation	211	292	273	354	292	473	152	383	403	214	289	269	3,605
Health & Social Welfare	2	(5)	2	10	6	11	0	5	20	4	7	21	83
Mental Hygiene	10	10	19	8	11	19	3	15	10	10	11	13	139
Public Protection	15	31	38	23	27	39	5	40	26	9	26	45	324
Education	22	61	70	93	94	193	16	48	92	79	67	137	972
All Other	8	15	22	40	66	78	107	121	97	124	90	163	931
Total Capital Projects	289	426	451	569	536	857	293	644	695	479	532	712	6,483
<b>TOTAL DISBURSEMENTS</b>	356	529	612	762	718	1,141	350	1,017	1,039	539	640	1,278	8,981
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	77	148	(162)	208	326	359	28	336	24	289	189	1,073	2,895
Transfers to Other Funds	(77)	(75)	(76)	(90)	(30)	(248)	(4)	(36)	(35)	(39)	(20)	(713)	(1,443)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	73	(238)	118	296	111	24	300	(11)	250	169	360	1,452
Excess/(Deficiency) of Receipts over Disbursements	(71)	(161)	430	(188)	(9)	(282)	213	(125)	68	3	(151)	106	(167)
<b>CLOSING BALANCE</b>	(795)	(956)	(526)	(714)	(723)	(1,005)	(792)	(917)	(849)	(846)	(997)	(891)	(891)

**CASHFLOW  
CAPITAL PROJECTS STATE FUNDS  
FY 2016  
(dollars in millions)**

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(342)	(409)	(524)	(129)	(320)	(368)	(686)	(441)	(500)	(487)	(488)	(706)	(342)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	49	43	64	47	48	70	49	51	68	46	42	59	636
Business Taxes	0	50	54	12	12	55	54	51	12	56	47	56	639
Other Taxes	100	93	129	113	118	137	115	114	133	114	101	127	1,394
Total Taxes	84	132	955	139	98	292	384	110	771	72	64	720	3,821
Miscellaneous Receipts	0	0	0	0	0	3	0	0	0	0	0	2	5
Federal Receipts	184	225	1,084	252	216	432	499	224	904	186	165	849	5,220
<b>TOTAL RECEIPTS</b>													
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	13	0	0	14
All Other Education	0	0	0	0	0	13	0	0	0	0	0	0	14
Public Health	5	5	5	8	9	15	2	9	9	5	5	27	104
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
School Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	0	0
Transportation	4	3	33	7	2	115	2	7	243	2	4	84	46
All Other Local	32	67	73	154	159	47	39	114	68	16	65	368	506
Total Local Assistance Grants	41	75	121	169	170	200	43	140	320	46	74	487	1,202
Economic Development	2	2	3	7	5	5	2	3	10	4	4	10	57
Parks & the Environment	17	20	23	33	34	38	8	29	36	34	38	54	364
Transportation	139	206	158	185	149	282	96	187	274	130	238	187	2,231
Health & Social Welfare	2	(5)	2	10	6	11	0	5	20	4	7	21	83
Mental Hygiene	10	10	19	8	11	19	3	15	10	10	11	13	139
Public Protection	14	30	36	22	26	37	5	38	23	8	23	41	303
Education	22	61	70	93	94	193	16	48	92	79	67	137	972
All Other	6	14	19	35	65	76	105	119	95	123	90	161	908
Total Capital Projects	212	338	330	393	390	661	235	444	560	392	478	624	5,057
<b>TOTAL DISBURSEMENTS</b>													
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	253	413	451	562	560	861	278	584	880	438	552	1,111	6,943
Transfers to Other Funds	77	148	(162)	208	326	359	28	336	24	289	189	1,350	3,172
Bond and Note Proceeds	(75)	(75)	(76)	(89)	(30)	(248)	(4)	(35)	(35)	(38)	(20)	(714)	(1,439)
NET OTHER FINANCING SOURCES/(USES)	2	73	(238)	119	296	111	24	301	(11)	251	169	636	1,733
Excess/(Deficiency) of Receipts over Disbursements	(67)	(115)	395	(191)	(48)	(318)	245	(59)	13	(1)	(218)	374	10
<b>CLOSING BALANCE</b>	(409)	(524)	(129)	(320)	(368)	(686)	(441)	(500)	(487)	(488)	(706)	(322)	(342)

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	(382)	(386)	(432)	(397)	(394)	(355)	(319)	(351)	(417)	(362)	(358)	(291)	(382)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Receipts	101	70	196	204	197	316	40	368	214	106	155	174	2,141
<b>TOTAL RECEIPTS</b>	101	70	196	204	197	316	40	368	214	106	155	175	2,142
<b>DISBURSEMENTS:</b>													
Public Health	0	0	0	0	0	0	0	39	0	0	0	7	46
Transportation	26	28	40	24	12	84	14	46	24	14	34	61	407
All Other Local	0	0	0	0	0	0	0	148	0	0	0	10	158
Total Local Assistance Grants	26	28	40	24	12	84	14	233	24	14	34	78	611
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	2	0	1	1	1	1	0	0	0	0	0	0	8
Transportation	72	86	115	169	143	191	56	196	129	84	51	82	1,374
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	1	2	1	1	2	0	2	3	1	3	4	21
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	2	1	3	5	1	2	2	2	2	1	0	2	23
Total Capital Projects	77	88	121	176	146	196	58	200	135	87	54	88	1,426
<b>TOTAL DISBURSEMENTS</b>	103	116	161	200	158	280	72	433	159	101	88	166	2,037
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	(277)	(277)
Transfers to Other Funds	(2)	0	0	(1)	0	0	0	(1)	0	(1)	0	1	(4)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(2)	0	0	(1)	0	0	0	(1)	0	(1)	0	(276)	(281)
Excess/(Deficiency) of Receipts over Disbursements	(4)	(46)	35	3	39	36	(32)	(66)	55	4	67	(267)	(176)
<b>CLOSING BALANCE</b>	(386)	(432)	(397)	(394)	(355)	(319)	(351)	(417)	(362)	(358)	(291)	(558)	(558)

**CASHFLOW  
STATE FUNDS  
FY 2016**  
(dollars in millions)

	2015 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2016 January Results	February Results	March Results	Total	Intra-Fund Transfer Eliminations
<b>OPENING BALANCE</b>	9,548	13,898	13,595	15,023	14,296	14,679	13,171	15,121	14,316	15,855	18,753	18,852	9,548	
<b>RECEIPTS:</b>														
Personal Income Tax	6,719	2,321	4,803	2,721	2,545	5,124	2,451	2,341	4,996	7,221	2,997	2,817	47,056	0
Consumption/Use Taxes	1,240	1,167	1,592	1,288	1,217	1,616	1,139	1,214	1,488	1,275	1,035	1,455	15,726	0
Business Taxes	314	61	1,402	258	344	1,351	206	138	1,368	16	110	2,315	7,883	0
Other Taxes	369	335	308	399	349	351	338	380	282	368	332	297	4,008	0
Total Taxes	8,642	3,884	8,105	4,666	4,455	8,442	4,134	3,973	8,134	8,880	4,474	6,884	74,673	0
Abandoned Property	0	0	0	0	0	22	24	120	26	41	20	274	527	0
ABC License Fee	7	6	7	6	6	7	7	6	4	4	5	3	66	0
HCR	317	378	448	439	382	376	384	404	359	354	413	473	4,727	0
Investment Income	0	0	1	1	1	1	1	1	1	0	3	3	13	0
Licenses, Fees, etc.	40	45	75	46	75	48	30	28	80	47	33	33	630	0
Lottery	321	265	262	310	243	318	247	249	316	362	240	339	3,472	0
Medicaid	80	70	65	60	66	67	73	66	72	72	63	69	833	0
Motor Vehicle Fees	70	45	69	60	32	24	49	38	65	4	23	66	625	0
Reimbursements	6	7	56	36	26	29	48	29	36	4	51	42	232	0
State University Income	31	243	252	347	286	600	448	294	266	465	561	472	4,361	0
Other Transactions	(459)	3,165	1,367	414	417	912	1,024	777	1,370	285	348	1,770	11,590	0
Total Miscellaneous Receipts	693	4,224	2,586	1,702	1,536	2,434	2,289	1,989	2,610	1,658	1,836	3,519	27,076	0
Federal Receipts	0	0	0	2	35	3	0	1	0	0	37	0	78	0
TOTAL RECEIPTS	9,335	8,108	10,591	6,370	6,026	10,879	6,423	5,963	10,744	10,538	6,347	10,403	101,827	0
<b>DISBURSEMENTS:</b>														
School Aid	535	2,703	2,283	67	562	4,752	111	1,805	1,762	571	631	7,520	23,302	0
Higher Education	18	20	646	248	123	186	345	53	66	164	333	767	2,969	0
All Other Education	18	99	508	85	109	396	35	32	189	23	221	384	2,099	0
STAR	0	1	431	0	0	190	0	25	125	2,954	0	9	3,335	0
Medicaid - DOH	1,641	1,917	927	1,454	1,650	1,625	1,338	1,405	1,742	1,367	1,349	1,038	17,453	0
Public Health	35	226	136	228	183	106	60	99	148	158	71	198	1,648	0
Mental Hygiene	70	38	477	142	79	646	27	5	591	89	69	516	2,749	0
Children and Families	39	40	96	303	30	323	81	73	274	70	188	218	1,735	0
Temporary & Disability Assistance	93	98	168	97	95	115	93	99	93	102	101	105	1,259	0
Transportation	129	497	485	320	470	516	354	591	1,110	189	253	337	5,251	0
Unrestricted Aid	1	12	389	1	0	109	0	0	192	0	0	67	771	0
All Other	58	137	280	214	87	179	79	185	46	107	228	369	1,969	0
Total Local Assistance Grants	2,637	5,788	6,826	3,159	3,388	9,143	2,523	4,372	6,338	5,394	3,444	11,528	64,540	0
Personal Service	1,187	977	978	1,316	988	1,017	1,161	972	1,343	971	967	1,104	12,981	0
Non-Personal Service	291	375	499	412	517	549	227	491	509	470	555	707	5,602	0
Total Departmental Operations	1,478	1,352	1,477	1,728	1,505	1,566	1,388	1,463	1,852	1,441	1,522	1,811	18,583	0
General State Charges	650	699	552	1,856	363	559	484	455	455	526	323	530	7,452	0
Debt Service	166	255	164	84	274	733	18	102	316	34	559	2,893	5,598	0
Capital Projects	212	338	330	393	390	661	235	444	560	392	478	626	5,059	0
TOTAL DISBURSEMENTS	5,143	8,432	9,349	7,220	5,920	12,662	4,648	6,836	9,521	7,787	6,326	17,388	101,232	0
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	4,629	2,267	2,552	2,634	2,149	3,465	2,135	2,228	2,650	2,307	1,456	5,744	33,681	(635)
Transfers to other funds	(4,471)	(2,246)	(2,466)	(2,511)	(1,872)	(3,190)	(1,960)	(2,160)	(2,334)	(2,160)	(1,378)	(5,203)	(31,516)	535
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	158	21	86	123	277	275	175	68	316	147	78	441	2,165	0
Excess/(Deficiency) of Receipts over Disbursements	4,350	(303)	1,428	(727)	383	(1,508)	1,950	(805)	1,539	2,898	99	(6,544)	2,760	0
<b>CLOSING BALANCE</b>	13,898	13,595	15,023	14,296	14,679	13,171	15,121	14,316	15,855	18,753	18,852	12,308	12,308	0

**CASHFLOW  
GENERAL FUND  
FY 2017**  
(dollars in millions)

	2016 April Results	2016 May Results	2016 June Results	2016 July Projected	2016 August Projected	2016 September Projected	2016 October Projected	2016 November Projected	2016 December Projected	2017 January Projected	2017 February Projected	2017 March Projected	Total
<b>OPENING BALANCE</b>	8,934	10,893	7,751	7,210	6,742	6,450	9,914	8,936	7,010	9,916	11,217	11,228	8,934
<b>RECEIPTS:</b>													
Personal Income Tax	4,787	1,639	3,123	1,926	2,279	3,658	1,713	1,940	3,740	3,309	2,615	2,691	33,420
Consumption/Use Taxes	547	523	711	558	541	676	550	553	718	572	466	670	7,085
Business Taxes	158	84	871	66	(43)	945	86	104	1,201	180	98	2,000	5,750
Other Taxes	75	108	79	79	89	89	88	88	88	87	87	88	1,045
Total Taxes	5,567	2,354	4,784	2,629	2,866	5,368	2,437	2,685	5,747	4,148	3,266	5,449	47,300
Abandoned Property	0	0	0	0	0	20	25	120	25	40	20	275	525
ABC License Fee	6	5	4	4	6	6	6	5	5	5	5	6	63
Investment Income	2	2	2	1	1	1	1	1	1	1	1	1	15
Licenses, Fees, etc.	22	70	54	49	55	65	45	50	65	45	60	59	639
Motor Vehicle Fees	17	16	26	(4)	21	19	16	15	13	14	14	16	183
Reimbursements	6	16	36	6	8	45	3	20	40	14	25	44	263
Other Transactions	16	404	54	28	33	238	35	58	78	56	35	103	1,138
Total Miscellaneous Receipts	69	513	176	84	124	394	131	269	227	175	160	504	2,826
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0	1	1
PIT in Excess of Revenue Bond Debt Service	1,594	531	1,181	473	290	1,493	572	371	1,288	692	614	1,775	10,874
Tax in Excess of LGAC	247	111	453	249	209	309	249	250	333	257	3	197	2,867
Sales Tax Bond Fund	196	184	274	196	194	277	190	191	274	198	156	316	2,646
Real Estate Taxes in Excess of CW/CA Debt Service	74	74	91	81	81	83	82	73	77	84	74	64	951
All Other	5	39	23	5	54	54	1	1	886	44	85	939	1,203
Total Transfers from Other Funds	2,116	939	2,022	1,017	775	2,216	1,099	886	1,973	1,275	932	3,291	18,541
<b>TOTAL RECEIPTS</b>	<b>7,752</b>	<b>3,806</b>	<b>6,982</b>	<b>3,730</b>	<b>3,765</b>	<b>7,978</b>	<b>3,667</b>	<b>3,840</b>	<b>7,947</b>	<b>5,598</b>	<b>4,358</b>	<b>9,245</b>	<b>68,668</b>
<b>DISBURSEMENTS:</b>													
School Aid	754	2,900	1,898	50	597	1,641	891	1,548	1,852	783	475	7,712	21,101
Higher Education	19	25	648	217	134	192	349	46	210	40	362	767	3,009
All Other Education	52	118	514	148	50	367	45	30	238	97	194	462	2,315
Medicaid - DOH	998	1,267	1,441	1,087	1,115	506	794	1,359	737	910	914	1,503	12,631
Public Health	20	207	52	34	34	55	49	63	41	64	50	53	744
Mental Hygiene	3	1	202	2	2	242	2	1	270	12	74	152	963
Children and Families	27	33	271	190	99	123	99	102	234	99	115	277	1,669
Temporary & Disability Assistance	95	94	156	93	101	102	102	101	101	102	102	113	1,262
Transportation	0	23	11	0	24	0	0	24	11	0	13	0	106
Unrestricted Aid	0	11	389	9	0	98	7	0	182	0	0	64	760
All Other	9	19	93	42	45	48	2	183	183	188	196	201	1,209
Total Local Assistance Grants	1,977	4,698	5,675	1,894	2,201	3,374	2,340	3,457	4,059	2,295	2,495	11,304	45,769
Personal Service	475	488	609	476	496	540	459	596	470	459	456	488	6,012
Non-Personal Service	103	132	162	132	176	183	184	185	188	188	208	406	2,253
Total Departmental Operations	578	623	771	608	672	723	643	787	655	647	664	894	8,265
General State Charges	2,440	193	391	403	194	193	415	311	61	439	294	218	5,552
Debt Service	245	(2)	(3)	167	(3)	(7)	103	0	(2)	332	(20)	(40)	706
Capital Projects	162	179	107	344	375	97	373	383	32	443	772	543	3,810
State Share Medicaid	95	105	162	127	133	143	154	97	149	116	93	63	1,437
SUNY Operations	213	213	0	179	0	0	0	179	0	0	0	0	996
Other Purposes	83	939	208	476	485	55	617	552	87	25	49	1,002	4,578
Total Transfers to Other Funds	798	1,434	686	1,293	990	224	1,247	1,211	266	916	894	1,568	11,527
<b>TOTAL DISBURSEMENTS</b>	<b>5,793</b>	<b>6,948</b>	<b>7,523</b>	<b>4,198</b>	<b>4,057</b>	<b>4,514</b>	<b>4,645</b>	<b>5,766</b>	<b>5,041</b>	<b>4,297</b>	<b>4,347</b>	<b>13,984</b>	<b>71,113</b>
Excess/(Deficiency) of Receipts over Disbursements	1,959	(3,142)	(541)	(468)	(292)	3,464	(978)	(1,926)	2,906	1,301	11	(4,739)	(2,445)
<b>CLOSING BALANCE</b>	<b>10,893</b>	<b>7,751</b>	<b>7,210</b>	<b>6,742</b>	<b>6,450</b>	<b>9,914</b>	<b>8,936</b>	<b>7,010</b>	<b>9,916</b>	<b>11,217</b>	<b>11,228</b>	<b>6,489</b>	<b>6,489</b>

**CASHFLOW  
STATE OPERATING FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	12,641	15,345	13,151	12,549	13,074	13,384	14,137	13,852	12,065	13,866	17,006	16,987	0	12,641
<b>RECEIPTS:</b>														
Personal Income Tax	6,384	2,185	4,724	2,568	3,039	5,022	2,291	2,620	5,154	7,788	3,487	3,602	0	48,864
Consumption/Use Taxes	1,230	1,143	1,566	1,228	1,187	1,500	1,222	1,200	1,574	1,253	1,025	1,443	0	15,571
Business Taxes	231	139	1,096	131	(10)	1,174	143	163	1,491	258	157	2,399	0	7,372
Other Taxes	282	277	270	262	274	277	265	274	280	333	316	290	0	3,400
Total Taxes	8,127	3,744	7,656	4,189	4,490	7,973	3,921	4,257	8,499	9,632	4,985	7,734	0	75,207
Abandoned Property	0	0	0	0	0	20	25	120	25	40	20	275	0	525
ABC License Fee	6	5	4	4	6	6	6	6	5	5	5	6	0	63
HCRA	366	433	440	437	465	448	346	343	351	353	346	332	0	4,660
Investment Income	2	2	2	1	1	1	1	1	1	1	1	1	0	15
Licenses, Fees, etc.	22	70	54	49	55	65	250	50	65	45	60	59	0	639
Lottery	267	280	336	278	305	252	308	308	250	263	253	371	0	3,413
Medicaid	77	72	72	71	65	65	65	65	65	65	65	65	0	812
Motor Vehicle Fees	50	54	61	34	56	48	44	45	49	44	39	50	0	573
Reimbursements	6	16	36	6	8	45	3	20	40	14	25	44	0	263
State University Income	283	243	243	243	349	634	357	242	251	453	596	370	0	4,292
Other Transactions	279	660	423	216	325	541	336	158	266	239	190	269	0	3,902
Total Miscellaneous Receipts	1,358	1,857	1,877	1,339	1,635	2,125	1,478	1,357	1,368	1,327	1,600	1,842	0	19,157
Federal Receipts	15	1	0	(2)	0	33	(2)	(2)	(2)	(2)	0	36	0	75
<b>TOTAL RECEIPTS</b>	9,500	5,602	9,333	5,526	6,125	10,131	5,397	5,612	9,865	11,151	6,585	9,612	0	94,439
<b>DISBURSEMENTS:</b>														
School Aid	754	2,900	2,203	50	597	3,792	1,035	1,692	1,996	927	619	7,857	0	24,422
Higher Education	19	25	648	217	134	192	349	46	210	40	362	767	0	3,009
All Other Education	52	118	514	149	51	368	46	32	240	98	195	465	0	2,328
STAR	1	0	416	0	0	109	5	25	126	2,537	0	9	0	3,228
Medicaid - DOH	1,335	1,765	1,870	1,729	1,595	935	1,262	1,796	1,189	1,336	1,386	1,936	0	18,134
Public Health	82	235	188	110	113	125	90	121	199	145	68	134	0	1,570
Mental Hygiene	90	40	439	107	51	437	133	61	481	99	134	471	0	2,637
Children and Families	27	34	271	190	99	123	99	102	234	99	115	280	0	1,673
Temporary & Disability Assistance	95	94	156	93	101	102	102	101	101	102	102	113	0	1,262
Transportation	192	481	524	311	492	401	341	620	877	183	267	242	0	4,931
Unrestricted Aid	0	11	389	9	0	98	0	0	182	0	0	64	0	760
All Other	31	57	194	68	104	87	31	91	80	87	96	114	0	960
Total Local Assistance Grants	2,678	5,760	7,752	3,053	3,337	6,789	3,500	4,687	5,815	5,647	3,384	12,452	0	64,774
Personal Service	1,026	1,016	1,314	1,003	1,064	1,151	997	1,318	1,012	992	991	1,012	0	12,896
Non-Personal Service	318	429	486	365	484	518	459	485	506	491	502	711	0	5,754
Total Departmental Operations	1,344	1,445	1,800	1,368	1,548	1,669	1,456	1,803	1,518	1,483	1,493	1,723	0	18,650
General State Charges	2,619	431	495	430	440	390	496	542	376	518	497	475	0	7,709
Debt Service	113	163	90	25	282	818	32	78	370	26	637	2,444	0	5,078
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	0	3
<b>TOTAL DISBURSEMENTS</b>	6,754	7,799	10,137	4,856	5,607	9,646	5,484	7,110	8,079	7,674	5,971	17,097	0	96,214
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	3,034	2,443	2,969	2,257	1,656	2,840	2,241	1,890	2,391	1,933	1,220	5,232	(433)	29,673
Transfers to other funds	(3,076)	(2,440)	(2,767)	(2,402)	(1,864)	(2,572)	(2,439)	(2,179)	(2,376)	(2,270)	(1,853)	(4,874)	433	(30,679)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET OTHER FINANCING SOURCES (USES)	(42)	3	202	(145)	(208)	268	(198)	(289)	15	(337)	(633)	358	0	(1,006)
Excess/(Deficiency) of Receipts over Disbursements	2,704	(2,194)	(602)	525	310	753	(285)	(1,767)	1,801	3,140	(89)	(7,127)	0	(2,781)
<b>CLOSING BALANCE</b>	15,345	13,151	12,549	13,384	13,074	13,384	14,137	13,852	12,065	13,866	17,006	16,987	0	9,860

**CASHFLOW  
ALL GOVERNMENTAL FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	11,810	14,230	12,089	12,220	12,464	12,095	13,604	12,890	10,415	13,038	15,404	15,520		11,810
<b>RECEIPTS:</b>														
Personal Income Tax	6,384	2,185	4,724	2,568	3,039	5,022	2,291	2,620	5,154	7,788	3,487	3,602	0	48,864
Consumption/Use Taxes	1,274	1,183	1,632	1,281	1,231	1,552	1,267	1,241	1,621	1,296	1,066	1,481	0	16,125
Business Taxes	280	182	1,150	195	43	1,229	192	210	1,546	309	206	2,452	0	7,994
Other Taxes	282	277	282	274	286	289	277	286	292	345	345	301	0	3,519
Total Taxes	8,220	3,827	7,788	4,318	4,599	8,092	4,027	4,357	8,613	9,738	5,087	7,836	0	76,502
Abandoned Property	0	0	0	0	0	20	25	120	25	40	20	275	0	525
ABC License Fee	6	5	4	4	6	6	6	5	5	5	5	6	0	63
HCRA	366	433	440	437	465	448	346	343	351	353	346	332	0	4,660
Investment Income	2	2	2	1	1	1	1	1	1	1	1	1	0	15
Licenses, Fees, etc.	22	70	54	49	55	65	45	50	65	45	60	59	0	639
Lottery	267	280	336	278	278	252	308	308	250	263	253	371	0	3,413
Medical	77	72	72	71	65	65	65	65	65	65	65	65	0	812
Motor Vehicle Fees	50	54	61	34	56	48	44	45	49	43	39	50	0	573
Reimbursements	6	16	36	6	8	45	3	20	40	14	25	44	0	263
State University Income	283	265	249	243	349	357	357	242	251	453	596	370	0	4,292
Other Transactions	377	903	1,094	336	441	1,318	445	271	1,377	354	518	1,403	0	8,837
Total Miscellaneous Receipts	1,456	2,100	2,348	1,459	1,751	2,902	1,587	1,470	2,479	1,636	1,928	2,976	0	24,092
Federal Receipts	3,276	4,197	5,209	3,643	4,866	5,327	3,565	3,969	4,730	3,571	4,705	4,706	0	51,764
<b>TOTAL RECEIPTS</b>	12,952	10,124	15,345	9,420	11,216	16,321	9,179	9,796	15,822	14,945	11,720	15,518	0	152,358
<b>DISBURSEMENTS:</b>														
School Aid	955	3,083	2,431	176	840	4,050	1,293	1,950	2,315	1,246	938	8,173	0	27,450
Higher Education	19	25	648	217	135	193	350	47	211	41	363	768	0	3,017
All Other Education	119	218	583	196	133	445	123	109	317	175	272	546	0	3,236
STAR	1	0	416	0	0	109	5	25	126	2,537	0	9	0	3,228
Medicaid - DOH	3,835	4,615	5,070	4,536	5,230	3,772	3,607	4,714	3,935	4,266	4,373	4,289	0	52,242
Public Health	228	390	317	241	444	256	229	274	231	252	191	620	0	3,673
Mental Hygiene	120	58	324	124	68	457	154	81	502	118	157	527	0	2,823
Children and Families	87	136	324	291	268	292	203	203	260	132	149	351	0	2,704
Temporary & Disability Assistance	269	274	417	249	434	420	430	450	441	457	447	481	0	4,769
Transportation	225	524	608	387	552	598	428	715	1,154	280	837	376	0	6,684
Unrestricted Aid	0	11	389	9	0	98	7	0	182	0	0	64	0	760
All Other	173	229	319	320	400	390	237	428	464	340	419	473	0	4,192
Total Local Assistance Grants	6,031	9,563	11,979	6,746	8,504	11,080	7,066	9,004	10,138	9,844	8,146	16,677	0	114,778
Personal Service	1,075	1,060	1,386	1,049	1,134	1,201	1,050	1,396	1,066	1,044	1,045	1,077	0	13,583
Non-Personal Service	363	523	603	451	567	631	541	586	598	586	602	933	0	6,984
Total Departmental Operations	1,438	1,583	1,989	1,500	1,701	1,832	1,591	1,982	1,664	1,630	1,647	2,010	0	20,567
General State Charges	2,630	466	509	432	478	424	516	570	425	538	533	523	0	8,044
Debt Service	113	163	90	25	282	818	32	78	370	26	637	2,444	0	5,078
Capital Projects	313	486	647	471	624	663	682	634	607	535	638	938	0	7,238
<b>TOTAL DISBURSEMENTS</b>	10,525	12,261	15,214	9,174	11,589	14,817	9,887	12,268	13,204	12,573	11,601	22,592	0	155,705
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	3,195	2,627	3,108	2,620	2,049	2,978	2,624	2,273	2,463	2,386	1,992	5,898	(433)	33,780
Transfers to other funds	(3,202)	(2,631)	(3,108)	(2,622)	(2,051)	(2,975)	(2,631)	(2,278)	(2,467)	(2,392)	(1,995)	(5,936)	433	(33,936)
Bond and note proceeds	0	0	0	0	6	2	1	2	9	0	0	589	0	609
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(7)	(4)	0	(2)	4	5	(6)	(3)	5	(6)	(3)	551	0	534
Excess/(Deficiency) of Receipts over Disbursements	2,420	(2,141)	131	244	(369)	1,509	(714)	(2,475)	2,623	2,366	116	(6,523)	0	(2,813)
<b>CLOSING BALANCE</b>	14,230	12,089	12,220	12,464	12,095	13,604	12,890	10,415	13,038	15,404	15,520	8,997	0	8,997

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2017**

(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	3,607	3,870	4,768	5,296	5,444	5,167	3,991	4,172	3,483	3,197	2,612	2,981		3,607
<b>RECEIPTS:</b>														
Personal Income Tax	1	0	420	0	0	108	5	25	126	2,532	0	11	0	3,228
Consumption/Use Taxes	185	145	200	172	151	205	173	146	189	166	129	146	0	2,007
Business Taxes	73	55	225	65	33	229	57	59	290	78	59	399	0	1,622
Other Taxes	117	94	100	84	99	100	107	107	110	157	149	130	0	1,336
Total Taxes	376	294	945	321	283	642	324	337	715	2,933	337	686	0	8,193
HCRA	366	433	440	437	465	448	346	343	351	353	346	370	0	4,660
State University Income	283	265	249	243	349	634	357	242	251	453	596	370	0	4,292
Lottery	267	280	336	278	305	252	250	308	250	263	253	371	0	3,413
Medical	77	72	72	71	65	65	65	65	65	65	65	65	0	812
Motor Vehicle Fees	33	38	35	38	35	29	28	30	36	29	25	34	0	390
Other Transactions	265	275	416	160	242	280	277	72	167	122	120	129	0	2,525
Total Miscellaneous Receipts	1,291	1,363	1,548	1,227	1,461	1,708	1,323	1,060	1,120	1,285	1,405	1,301	0	16,092
Federal Receipts	3,150	4,041	4,981	3,490	4,696	5,090	3,363	3,763	4,530	3,424	4,633	4,367	0	49,528
<b>TOTAL RECEIPTS</b>	4,817	5,698	7,474	5,038	6,440	7,440	5,010	5,160	6,365	7,642	6,375	6,354	0	73,813
<b>DISBURSEMENTS:</b>														
School Aid	201	183	533	126	243	2,394	387	387	387	387	387	384	0	5,999
Higher Education	0	0	0	0	1	1	1	1	1	1	1	1	0	8
All Other Education	67	100	69	40	78	78	78	79	79	78	78	83	0	907
STAR	1	0	416	0	0	109	5	25	126	2,537	0	9	0	3,228
Medicaid - DOH	2,837	3,348	3,629	3,449	4,115	3,266	2,813	3,355	3,188	3,356	3,459	2,786	0	39,611
Public Health	208	179	265	181	410	201	180	176	190	188	141	425	0	2,744
Mental Hygiene	113	49	248	116	60	202	143	70	223	95	73	345	0	1,737
Children and Families	60	103	53	101	169	169	104	109	26	33	34	74	0	1,035
Temporary & Disability Assistance	174	164	261	156	317	318	328	333	340	340	345	368	0	3,444
Transportation	197	461	519	313	470	403	343	598	868	185	256	246	0	4,859
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	103	181	24	162	129	217	142	106	76	45	80	36	0	1,301
Total Local Assistance Grants	3,961	4,768	6,017	4,644	5,932	7,358	4,524	5,239	5,514	7,245	4,854	4,757	0	64,873
Personal Service	600	572	777	573	638	661	591	800	596	585	589	589	0	7,571
Non-Personal Service	259	386	440	305	384	445	392	392	412	398	391	523	0	4,692
Total Departmental Operations	859	958	1,217	878	1,022	1,106	948	1,192	1,008	983	980	1,112	0	12,263
General State Charges	190	273	118	29	284	231	101	259	364	99	239	305	0	2,492
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	0	3
<b>TOTAL DISBURSEMENTS</b>	5,010	5,999	7,352	5,551	7,298	8,695	5,573	6,690	6,886	8,327	6,073	6,177	0	79,631
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	560	1,365	755	868	759	306	925	916	342	248	217	1,042	(433)	7,870
Transfers to Other Funds	(104)	(166)	(349)	(207)	(178)	(227)	(181)	(75)	(107)	(148)	(150)	(115)	433	(2,574)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	456	1,199	406	661	581	79	744	841	235	100	67	(73)	0	5,296
Excess/(Deficiency) of Receipts over Disbursements	263	898	528	148	(277)	(116)	181	(689)	(286)	(585)	369	104	0	(522)
<b>CLOSING BALANCE</b>	3,870	4,768	5,296	5,444	5,167	3,991	4,172	3,483	3,197	2,612	2,981	3,085	0	3,085



**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2017  
(dollars in millions)**

	2016 April Results	2016 May Results	2016 June Results	2016 July Projected	2016 August Projected	2016 September Projected	2016 October Projected	2016 November Projected	2016 December Projected	2017 January Projected	2017 February Projected	2017 March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	3,547	4,127	4,982	4,973	5,449	5,662	3,672	4,154	3,972	3,155	3,277	3,292		3,547
<b>RECEIPTS:</b>														
Personal Income Tax	1	0	420	0	0	108	5	25	126	2,532	0	11	0	3,228
Consumption/Use Taxes	185	145	200	172	151	205	173	146	189	166	129	146	0	2,007
Business Taxes	73	55	225	65	33	229	57	59	290	78	59	399	0	1,622
Other Taxes	117	94	100	84	99	100	89	107	110	157	149	130	0	1,336
Total Taxes	376	294	945	321	283	642	324	337	715	2,933	337	686	0	8,193
HCRA	366	433	440	437	465	448	346	343	351	353	346	332	0	4,660
State University Income	283	265	249	243	349	634	242	242	251	463	596	370	0	4,292
Lottery	267	280	336	278	305	252	250	308	250	263	253	371	0	3,413
Medicaid	77	72	72	71	65	65	65	65	65	65	65	65	0	812
Motor Vehicle Fees	33	38	35	38	35	29	28	30	36	39	34	34	0	390
Other Transactions	232	231	407	152	223	261	206	54	149	101	100	117	0	2,309
Total Miscellaneous Receipts	1,278	1,319	1,539	1,219	1,444	1,689	1,306	1,042	1,102	1,264	1,385	1,289	0	15,876
Federal Receipts	15	1	0	(2)	(2)	(2)	(2)	(2)	(2)	(2)	(2)	1	0	1
<b>TOTAL RECEIPTS</b>	1,669	1,614	2,484	1,538	1,725	2,329	1,628	1,377	1,815	4,195	1,720	1,976	0	24,070
<b>DISBURSEMENTS:</b>														
School Aid	0	0	305	0	0	2,151	144	144	144	144	144	145	0	3,321
Higher Education	0	0	0	1	1	1	0	0	0	0	0	0	0	0
All Other Education	0	0	0	1	1	1	1	2	2	1	1	3	0	13
STAR	1	0	416	0	0	109	5	25	126	2,537	0	9	0	3,228
Medicaid - DOH	337	498	429	642	480	429	468	437	452	426	472	433	0	5,503
Public Health	62	28	136	54	79	70	41	58	58	81	18	81	0	766
Mental Hygiene	87	39	237	105	49	195	131	60	211	81	60	319	0	1,574
Children and Families	0	1	0	0	0	0	0	0	0	0	0	3	0	4
Temporary & Disability Assistance	192	459	519	311	458	401	341	596	866	183	254	242	0	4,825
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	38	41	26	59	39	29	(92)	(103)	(101)	(100)	(87)	0	(229)
Total Local Assistance Grants	701	1,062	2,077	1,139	1,136	3,395	1,160	1,230	1,756	3,352	849	1,148	0	19,005
Personal Service	551	528	705	527	568	611	538	722	542	533	524	524	0	6,884
Non-Personal Service	214	292	323	219	301	332	275	291	320	303	291	301	0	3,462
Total Departmental Operations	765	820	1,028	746	869	943	813	1,013	862	836	826	825	0	10,346
General State Charges	179	238	104	27	246	197	81	231	315	79	203	257	0	2,157
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	3	0	3
<b>TOTAL DISBURSEMENTS</b>	1,645	2,120	3,209	1,912	2,251	4,535	2,054	2,474	2,933	4,267	1,878	2,233	0	31,511
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from Other Funds	560	1,365	755	868	759	306	925	916	342	248	217	1,042	(433)	7,870
Transfers to Other Funds	(4)	(4)	(39)	(18)	(20)	(90)	(17)	(1)	(41)	(54)	(44)	(956)	433	(855)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	556	1,361	716	850	739	216	908	915	301	194	173	86	0	7,015
Excess/(Deficiency) of Receipts over Disbursements	580	855	(9)	476	213	(1,990)	482	(162)	(817)	122	15	(17)	0	(426)
<b>CLOSING BALANCE</b>	4,127	4,982	4,973	5,449	5,662	3,672	4,154	3,972	3,155	3,277	3,292	3,121	0	3,121

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2017**  
(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	60	(257)	(214)	323	(5)	(495)	319	18	(489)	42	(665)	(311)	60
<b>RECEIPTS:</b>													
Miscellaneous Receipts	13	44	9	8	17	19	17	18	18	21	20	12	216
Federal Receipts	3,135	4,040	4,981	3,492	4,698	5,092	3,365	3,765	4,552	3,426	4,635	4,366	49,527
<b>TOTAL RECEIPTS</b>	3,148	4,084	4,990	3,500	4,715	5,111	3,382	3,783	4,550	3,447	4,655	4,378	49,743
<b>DISBURSEMENTS:</b>													
School Aid	201	183	228	126	243	243	243	243	243	243	243	239	2,678
Higher Education	0	0	0	0	1	1	1	1	1	1	1	1	8
All Other Education	67	100	69	39	77	77	77	77	77	77	77	80	894
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0
Medicaid - DOH	2,500	2,850	3,200	2,807	3,635	2,837	2,345	2,918	2,746	2,930	2,987	2,354	34,109
Public Health	146	151	129	127	331	131	139	118	132	107	123	343	1,977
Mental Hygiene	26	10	11	11	11	7	12	10	12	14	13	26	163
Children and Families	60	102	53	101	169	169	104	109	26	33	34	71	1,031
Temporary & Disability Assistance	174	164	261	156	317	318	328	333	340	340	345	368	3,444
Transportation	5	3	6	2	2	2	2	2	2	2	2	4	34
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	81	143	(17)	136	70	178	113	198	179	146	180	123	1,530
<b>Total Local Assistance Grants</b>	3,260	3,706	3,940	3,505	4,856	3,963	3,364	4,009	3,758	3,893	4,005	3,609	45,868
Personal Service	49	44	72	46	70	50	53	78	54	52	54	65	687
Non-Personal Service	45	94	117	86	83	113	82	101	92	95	100	222	1,230
<b>Total Departmental Operations</b>	94	138	189	132	153	163	135	179	146	147	154	287	1,917
General State Charges	11	35	14	2	38	34	20	28	49	20	36	48	335
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	3,365	3,879	4,143	3,639	5,047	4,160	3,519	4,216	3,953	4,060	4,195	3,944	48,120
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	0	0	0	0	0
Transfers to Other Funds	(100)	(162)	(310)	(189)	(158)	(137)	(164)	(74)	(66)	(94)	(106)	(159)	(1,719)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(100)	(162)	(310)	(189)	(158)	(137)	(164)	(74)	(66)	(94)	(106)	(159)	(1,719)
Excess/(Deficiency) of Receipts over Disbursements	(317)	43	537	(328)	(490)	814	(301)	(507)	531	(707)	354	275	(96)
<b>CLOSING BALANCE</b>	(257)	(214)	323	(5)	(495)	319	18	(489)	42	(665)	(311)	(36)	(36)

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	160	325	418	366	883	1,272	551	762	1,083	795	2,512	2,467	160
<b>RECEIPTS:</b>													
Personal Income Tax	1,596	546	1,181	642	760	1,256	573	655	1,288	1,947	872	900	12,216
Consumption/Use Taxes	498	475	655	498	495	619	499	501	667	515	430	627	6,479
Other Taxes	90	75	91	99	86	88	88	79	82	89	80	72	1,019
Total Taxes	2,184	1,096	1,927	1,239	1,341	1,963	1,160	1,235	2,037	2,551	1,382	1,599	19,774
Miscellaneous Receipts	11	25	(38)	36	67	42	41	46	39	82	55	49	455
Federal Receipts	0	0	0	0	2	35	0	0	0	0	2	34	73
TOTAL RECEIPTS	2,195	1,121	1,889	1,275	1,410	2,040	1,201	1,281	2,076	2,633	1,439	1,682	20,242
<b>DISBURSEMENTS:</b>													
Departmental Operations	1	2	1	14	7	3	0	3	1	0	3	4	39
Debt Service	113	163	90	25	282	818	32	78	370	26	637	2,444	5,078
TOTAL DISBURSEMENTS	114	165	91	39	289	821	32	81	371	26	640	2,448	5,117
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	358	139	192	372	122	318	217	88	76	410	71	899	3,262
Transfers to Other Funds	(2,274)	(1,002)	(2,042)	(1,091)	(854)	(2,258)	(1,175)	(967)	(2,069)	(1,300)	(915)	(2,350)	(18,297)
NET OTHER FINANCING SOURCES/(USES)	(1,916)	(863)	(1,850)	(719)	(732)	(1,940)	(958)	(879)	(1,993)	(890)	(844)	(1,451)	(15,035)
Excess/(Deficiency) of Receipts over Disbursements	165	93	(52)	517	389	(721)	211	321	(288)	1,717	(45)	(2,217)	90
<b>CLOSING BALANCE</b>	325	418	366	883	1,272	551	762	1,083	795	2,512	2,467	250	250

**CASHFLOW  
CAPITAL PROJECTS FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
	(891)	(858)	(848)	(852)	(605)	(794)	(852)	(980)	(1,151)	(870)	(937)	(1,156)	(891)
<b>OPENING BALANCE</b>													
<b>RECEIPTS:</b>													
Consumption/Use Taxes	44	40	66	53	44	52	45	41	47	43	41	38	554
Business Taxes	49	43	54	64	53	49	47	47	55	51	49	53	622
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	93	83	132	129	109	119	106	100	114	106	102	102	1,295
Miscellaneous Receipts	85	199	662	112	99	758	92	95	1,093	94	308	1,122	4,719
Federal Receipts	126	156	228	153	168	202	202	206	200	147	70	304	2,162
<b>TOTAL RECEIPTS</b>	304	438	1,022	394	376	1,079	400	401	1,407	347	480	1,528	8,176
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	5	0	0	0	0	0	0	0	14
Public Health	0	4	0	0	0	0	0	35	0	0	0	142	185
Mental Hygiene	4	8	7	6	6	13	9	10	9	11	10	30	123
School Aid	0	0	0	13	6	9	15	15	76	76	76	77	350
Temporary & Disability Assistance	0	0	0	0	15	0	0	15	0	15	0	0	63
Transportation	28	40	78	74	58	195	85	93	275	95	568	130	1,719
All Other Local	61	29	202	116	226	125	93	139	205	107	143	236	1,682
Total Local Assistance Grants	93	97	287	208	311	348	202	308	565	304	797	616	4,136
Economic Development	6	7	7	18	17	13	28	27	37	31	39	102	332
Parks & the Environment	18	30	39	33	42	39	42	44	43	47	150	63	590
Transportation	175	263	365	270	307	357	368	340	315	244	215	303	3,522
Health & Social Welfare	3	2	(11)	3	5	11	8	11	11	13	9	59	124
Mental Hygiene	11	11	23	11	18	28	16	23	22	12	27	32	234
Public Protection	18	29	31	26	36	34	28	34	28	34	28	86	412
Education	22	66	81	73	105	88	83	77	72	72	79	122	940
All Other	60	78	112	37	94	78	109	78	79	82	91	168	1,081
Total Capital Projects	313	486	647	471	624	663	682	634	607	535	638	935	7,235
<b>TOTAL DISBURSEMENTS</b>	406	583	934	679	935	1,011	884	942	1,172	839	1,435	1,551	11,371
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	161	184	139	363	393	138	383	383	72	453	772	666	4,107
Transfers to Other Funds	(26)	(29)	(31)	(31)	(29)	(266)	(28)	(25)	(25)	(28)	(36)	(903)	(1,457)
Bond and Note Proceeds	0	0	0	0	6	2	1	2	9	0	0	589	609
<b>NET OTHER FINANCING SOURCES/(USES)</b>	135	155	108	332	370	(126)	356	360	56	425	736	352	3,259
Excess/(Deficiency) of Receipts over Disbursements	33	10	196	47	(189)	(58)	(128)	(181)	291	(67)	(219)	329	64
<b>CLOSING BALANCE</b>	(858)	(848)	(652)	(605)	(794)	(852)	(980)	(1,161)	(870)	(937)	(1,156)	(827)	(827)

**CASHFLOW  
CAPITAL PROJECTS STATE FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(333)	(348)	(348)	(220)	(133)	(360)	(444)	(582)	(753)	(490)	(569)	(497)	(333)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	44	40	66	53	44	52	45	41	47	43	41	38	554
Business Taxes	49	43	54	64	53	55	49	47	55	51	49	53	622
Other Taxes	0	0	12	12	12	12	12	12	12	12	12	11	119
Total Taxes	93	83	132	129	109	119	106	100	114	106	102	102	1,295
Miscellaneous Receipts	84	199	662	112	99	758	92	95	1,093	94	308	1,123	4,719
Federal Receipts	0	0	0	0	0	2	0	0	0	0	0	3	5
<b>TOTAL RECEIPTS</b>	177	282	794	241	208	879	198	195	1,207	200	410	1,228	6,019
<b>DISBURSEMENTS:</b>													
Higher Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other Education	0	0	0	8	5	0	0	0	0	0	0	0	14
Public Health	0	4	0	4	0	0	0	0	0	0	0	107	115
Mental Hygiene	4	8	7	6	6	13	9	10	9	11	10	30	123
School Aid	0	0	0	0	0	15	15	15	76	76	76	77	350
Temporary & Disability Assistance	0	16	0	0	16	0	0	16	0	15	0	0	63
Transportation	6	3	51	9	31	150	38	38	213	38	548	114	1,239
All Other Local	61	29	202	116	213	99	80	126	180	94	130	196	1,526
Total Local Assistance Grants	71	60	260	143	271	277	142	205	478	234	764	525	3,430
Economic Development	6	7	7	18	17	13	28	27	37	31	39	102	332
Parks & the Environment	17	29	39	33	41	38	41	42	42	46	148	58	574
Transportation	121	159	241	151	221	258	254	232	234	186	173	273	2,503
Health & Social Welfare	3	2	(11)	3	5	11	8	11	11	13	9	50	115
Mental Hygiene	11	11	23	11	18	28	16	23	22	12	27	32	234
Public Protection	17	26	28	23	34	32	26	32	26	32	26	86	388
Education	22	66	81	73	105	88	83	77	72	72	79	122	940
All Other	61	77	108	33	93	92	97	77	78	81	91	169	1,057
Total Capital Projects	258	377	516	345	534	560	553	521	522	473	592	892	6,143
<b>TOTAL DISBURSEMENTS</b>	329	437	776	488	805	837	695	726	1,000	707	1,356	1,417	9,573
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	162	184	138	363	393	138	383	383	72	453	1,054	711	4,434
Transfers to Other Funds	(25)	(29)	(28)	(29)	(29)	(266)	(25)	(25)	(25)	(25)	(36)	(903)	(1,445)
Bond and Note Proceeds	0	0	0	0	6	2	1	2	9	0	0	589	609
<b>NET OTHER FINANCING SOURCES(USES)</b>	137	155	110	334	370	(126)	359	360	56	428	1,018	397	3,598
Excess/(Deficiency) of Receipts over Disbursements	(15)	0	128	87	(227)	(84)	(138)	(171)	263	(79)	72	208	44
<b>CLOSING BALANCE</b>	(348)	(348)	(220)	(133)	(360)	(444)	(582)	(753)	(490)	(569)	(497)	(289)	(289)

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2017**  
(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	(558)	(510)	(500)	(432)	(472)	(434)	(408)	(398)	(408)	(380)	(368)	(659)	(558)
<b>RECEIPTS:</b>													
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Business Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1	0	0	0	0	0	0	0	0	0	0	(1)	0
Federal Receipts	126	156	228	153	168	200	202	206	200	147	70	301	2,157
<b>TOTAL RECEIPTS</b>	127	156	228	153	168	200	202	206	200	147	70	300	2,157
<b>DISBURSEMENTS:</b>													
Public Health	0	0	0	0	0	0	0	35	0	0	0	35	70
Transportation	22	37	27	65	27	45	47	55	62	57	20	16	480
All Other Local	0	0	0	0	13	26	13	13	25	13	13	40	156
Total Local Assistance Grants	22	37	27	65	40	71	60	103	87	70	33	91	706
Economic Development	0	0	0	0	0	0	0	0	0	0	0	0	0
Parks & the Environment	1	1	0	0	0	1	1	2	1	1	2	4	15
Transportation	54	104	124	119	86	99	114	108	81	58	42	30	1,019
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	9	9
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	1	3	3	3	2	2	2	2	2	2	2	0	24
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	(0)	1	4	4	1	0	12	1	1	1	0	0	25
Total Capital Projects	55	109	131	126	90	103	129	113	85	62	46	43	1,092
<b>TOTAL DISBURSEMENTS</b>	77	146	158	191	130	174	189	216	172	132	79	134	1,798
<b>OTHER FINANCING SOURCES (USES):</b>													
Transfers from Other Funds	(1)	0	1	0	0	0	0	0	0	0	(282)	(45)	(327)
Transfers to Other Funds	(1)	0	(3)	(2)	0	0	(3)	0	0	(3)	0	0	(12)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(2)	0	(2)	(2)	0	0	(3)	0	0	(3)	(282)	(45)	(339)
Excess/(Deficiency) of Receipts over Disbursements	48	10	68	(40)	38	26	10	(10)	28	12	(291)	121	20
<b>CLOSING BALANCE</b>	(510)	(500)	(432)	(472)	(434)	(408)	(398)	(408)	(380)	(368)	(659)	(538)	(538)

**CASHFLOW  
STATE FUNDS  
FY 2017**  
(dollars in millions)

	2016 April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2017 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	12,308	14,997	12,803	12,329	12,941	13,024	13,693	13,270	11,312	13,376	16,437	16,490		12,308
<b>RECEIPTS:</b>														
Personal Income Tax	6,384	2,185	4,724	2,568	3,039	5,022	2,291	2,620	5,154	7,788	3,487	3,602	0	48,864
Consumption/Use Taxes	1,274	1,183	1,632	1,281	1,231	1,552	1,267	1,241	1,621	1,296	1,066	1,481	0	16,125
Business Taxes	280	182	1,150	43	1,229	43	192	210	1,546	309	206	2,452	0	7,994
Other Taxes	282	277	282	274	286	289	286	286	292	345	328	301	0	3,519
Total Taxes	8,220	3,827	7,788	4,318	4,599	8,092	4,027	4,357	8,613	9,738	5,087	7,836	0	76,502
Abandoned Property	0	0	0	0	0	20	25	120	25	40	20	275	0	525
ABC License Fee	6	5	4	4	6	6	6	5	5	5	5	6	0	63
HCR	366	433	440	437	465	448	346	343	351	353	346	332	0	4,660
Investment Income	2	2	2	1	1	1	1	1	1	1	1	1	0	15
Licenses, Fees, etc.	22	70	54	49	55	252	45	50	65	45	60	59	0	639
Lottery	267	280	336	278	305	282	250	308	250	263	253	371	0	3,413
Medicaid	77	72	72	71	65	65	65	65	65	65	65	65	0	812
Motor Vehicle Fees	50	54	61	34	56	44	43	49	49	44	39	50	0	573
Reimbursements	6	16	36	6	8	45	3	20	40	14	25	44	0	263
State University Income	283	265	249	243	349	634	357	242	1,251	453	596	370	0	4,292
Other Transactions	363	859	1,085	328	424	1,299	428	253	1,359	333	498	1,392	0	8,621
Total Miscellaneous Receipts	1,442	2,056	2,339	1,451	1,734	2,883	1,570	1,452	2,461	1,615	1,908	2,965	0	23,876
Federal Receipts	15	1	0	(2)	0	35	(2)	(2)	(2)	(2)	0	39	0	80
<b>TOTAL RECEIPTS</b>	<b>9,677</b>	<b>5,884</b>	<b>10,127</b>	<b>5,767</b>	<b>6,333</b>	<b>11,010</b>	<b>5,595</b>	<b>5,807</b>	<b>11,072</b>	<b>11,351</b>	<b>6,995</b>	<b>10,840</b>	<b>0</b>	<b>100,458</b>
<b>DISBURSEMENTS:</b>														
School Aid	754	2,900	2,203	50	597	3,807	1,050	1,707	2,072	1,003	695	7,934	0	24,772
Higher Education	19	25	648	217	134	192	349	46	210	40	362	767	0	3,009
All Other Education	52	118	514	157	56	368	46	32	240	98	195	466	0	2,342
STAR	1	0	416	0	0	109	5	25	126	2,537	0	9	0	3,228
Medicaid - DOH	1,335	1,765	1,870	1,729	1,595	935	1,262	1,796	1,189	1,336	1,386	1,936	0	18,134
Public Health	82	239	488	114	113	125	90	121	99	145	68	241	0	1,625
Mental Hygiene	94	48	446	113	57	450	142	71	490	104	144	501	0	2,660
Children and Families	27	34	271	190	99	123	99	102	234	99	115	280	0	1,673
Temporary & Disability Assistance	95	110	156	93	117	102	102	117	101	117	102	113	0	1,325
Transportation	198	484	575	320	523	551	379	658	1,090	221	815	356	0	6,170
Unrestricted Aid	0	11	389	9	0	98	0	0	182	0	0	64	0	760
All Other	92	86	336	184	317	186	111	217	260	181	226	310	0	2,506
Total Local Assistance Grants	2,749	5,820	8,012	3,176	3,608	7,046	3,642	4,892	6,293	5,881	4,108	12,977	0	68,204
Personal Service	1,026	1,016	1,314	1,003	1,064	1,151	997	1,318	1,012	992	991	1,012	0	12,896
Non-Personal Service	318	429	486	365	484	518	459	485	506	491	502	711	0	5,754
Total Departmental Operations	1,344	1,445	1,800	1,368	1,548	1,669	1,456	1,803	1,518	1,483	1,493	1,723	0	18,650
General State Charges	2,619	431	495	430	440	390	496	542	376	518	497	475	0	7,709
Debt Service	113	163	90	25	282	818	32	78	370	26	32	2,444	0	5,078
Capital Projects	258	377	516	345	534	560	553	521	522	473	592	895	0	6,146
<b>TOTAL DISBURSEMENTS</b>	<b>7,083</b>	<b>8,236</b>	<b>10,913</b>	<b>5,344</b>	<b>6,412</b>	<b>10,483</b>	<b>6,179</b>	<b>7,836</b>	<b>9,079</b>	<b>8,381</b>	<b>7,327</b>	<b>18,514</b>	<b>0</b>	<b>105,787</b>
<b>OTHER FINANCING SOURCES (USES):</b>														
Transfers from other funds	3,196	2,627	3,107	2,620	2,049	2,978	2,624	2,273	2,463	2,386	2,274	5,943	(433)	34,107
Transfers to other funds	(3,101)	(2,469)	(2,795)	(2,431)	(1,893)	(2,838)	(2,464)	(2,204)	(2,401)	(2,295)	(1,889)	(5,777)	433	(32,124)
Bond and note proceeds	0	0	0	0	6	2	1	2	9	0	0	589	0	609
NET OTHER FINANCING SOURCES (USES)	95	158	312	189	162	142	161	71	71	91	385	755	0	2,592
Excess/(Deficiency) of Receipts over Disbursements	2,689	(2,194)	(471)	612	83	669	(423)	(1,958)	2,064	3,061	53	(6,919)	0	(2,737)
<b>CLOSING BALANCE</b>	<b>14,997</b>	<b>12,803</b>	<b>12,329</b>	<b>12,941</b>	<b>13,024</b>	<b>13,693</b>	<b>13,270</b>	<b>11,312</b>	<b>13,376</b>	<b>16,437</b>	<b>16,490</b>	<b>9,571</b>	<b>0</b>	<b>9,571</b>

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2017  
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Updated</u>
<b>Opening Fund Balance</b>	<u>78</u>	<u>0</u>	<u>78</u>
<b>Receipts:</b>			
Taxes	878	0	878
Miscellaneous receipts	4,660	0	4,660
<b>Total Receipts</b>	<u>5,538</u>	<u>0</u>	<u>5,538</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,737	2	3,739
Hospital Indigent Care Fund	952	0	952
HCRA Program Account	393	(5)	388
Child Health Plus (CHP)	223	3	226
Elderly Pharmaceutical Insurance Coverage (EPIC)	144	0	144
SHIN-NY/APCD	30	0	30
All Other	137	0	137
<b>Total Disbursements</b>	<u>5,616</u>	<u>0</u>	<u>5,616</u>
<b>Change in Fund Balance</b>	<u>(78)</u>	<u>0</u>	<u>(78)</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>



**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>Opening Fund Balance</b>	78	0	0	0
<b>Receipts:</b>				
Taxes	878	847	816	781
Miscellaneous receipts	4,660	4,682	4,738	4,795
<b>Total Receipts</b>	<u>5,538</u>	<u>5,529</u>	<u>5,554</u>	<u>5,576</u>
<b>Disbursements:</b>				
Medical Assistance Account	3,739	3,713	3,704	3,584
Hospital Indigent Care Fund	952	892	892	892
HCRA Program Account	388	403	406	411
Child Health Plus (CHP)	226	238	254	384
Elderly Pharmaceutical Insurance Coverage (EPIC)	144	145	140	140
SHIN-NY/APCD	30	0	0	0
All Other	137	138	158	165
<b>Total Disbursements</b>	<u>5,616</u>	<u>5,529</u>	<u>5,554</u>	<u>5,576</u>
<b>Change in Fund Balance</b>	<u>(78)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing Fund Balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2016 and FY 2017  
(millions of dollars)**

	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>Annual Change</u>
<b>Opening Fund Balance</b>	14	78	64
<b>Receipts:</b>			
Taxes	928	878	(50)
Miscellaneous receipts	4,727	4,660	(67)
	<u>5,655</u>	<u>5,538</u>	<u>(117)</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,523	3,739	216
Hospital Indigent Care Fund	961	952	(9)
HCRA Program Account	429	388	(41)
Child Health Plus (CHP)	381	226	(155)
Elderly Pharmaceutical Insurance Coverage (EPIC)	137	144	7
SHIN-NY/APCD	42	30	(12)
All Other	118	137	19
<b>Total Disbursements</b>	<u>5,591</u>	<u>5,616</u>	<u>25</u>
<b>Change in Fund Balance</b>	<u>64</u>	<u>(78)</u>	<u>(142)</u>
<b>Closing Fund Balance</b>	<u>78</u>	<u>0</u>	<u>(78)</u>

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2016**  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
<b>Opening Fund Balance</b>	14	41	140	234	166	153	101	106	103	178	82	300	14
<b>Receipts:</b>													
Taxes	83	72	90	86	76	85	81	71	83	72	62	67	928
Miscellaneous receipts	317	378	448	439	382	376	384	404	359	354	413	473	4,727
<b>Total Receipts</b>	400	450	538	525	458	461	465	475	442	426	475	540	5,655
<b>Disbursements:</b>													
Medical Assistance Account	240	245	331	333	238	339	349	361	228	362	195	302	3,523
Hospital Indigent Care Fund	90	66	32	98	73	96	90	58	61	30	32	235	961
HCRA Program Account	1	2	44	129	27	26	1	24	45	91	16	23	429
Child Health Plus (CHP)	22	24	24	23	118	21	6	21	19	13	2	88	381
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	13	12	7	12	12	12	11	12	17	9	17	137
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	42	42
All Other	17	1	1	3	3	19	2	3	2	9	3	55	118
<b>Total Disbursements</b>	373	351	444	593	471	513	460	478	367	522	257	762	5,591
<b>Change in Fund Balance</b>	27	99	94	(68)	(13)	(52)	5	(3)	75	(96)	218	(222)	64
<b>Closing Fund Balance</b>	41	140	234	166	153	101	106	103	178	82	300	78	78

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2017**  
(dollars in millions)

	April Results	May Results	June Results	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>Opening Fund Balance</b>	78	175	151	253	139	181	259	237	215	194	171	148	78
<b>Receipts:</b>													
Taxes	70	70	87	76	74	82	78	68	80	69	60	66	878
Miscellaneous receipts	366	433	440	437	465	448	346	343	351	353	346	330	4,660
<b>Total Receipts</b>	436	504	527	513	539	530	424	411	431	421	406	395	5,538
<b>Disbursements:</b>													
Medical Assistance Account	260	355	249	415	338	287	326	295	310	284	330	290	3,739
Hospital Indigent Care Fund	0	143	36	156	77	77	77	77	77	77	77	78	952
HCRA Program Account	42	4	114	25	24	42	16	34	26	56	(3)	8	388
Child Health Plus (CHP)	17	15	10	16	40	13	13	13	13	13	13	51	226
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	10	13	12	13	13	13	12	16	13	8	16	144
SHIN-NY/APCD	0	0	0	0	0	0	0	0	0	0	0	30	30
All Other	17	2	3	2	4	20	2	2	10	2	3	70	137
<b>Total Disbursements</b>	339	529	425	627	496	452	446	433	452	445	430	543	5,616
<b>Change in Fund Balance</b>	97	(25)	102	(114)	42	78	(22)	(22)	(21)	(23)	(23)	(148)	(78)
<b>Closing Fund Balance</b>	175	151	253	139	181	259	237	215	194	171	148	0	0

**CASH FINANCIAL PLAN  
PROPRIETARY AND FIDUCIARY FUNDS**  
(millions of dollars)

	FY 2016			FY 2017			FY 2018			FY 2019			FY 2020		
	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary	Internal Service	Enterprise	Fiduciary
<b>Opening Fund Balance</b>	(196)	51	(89)	(127)	66	(47)	(129)	66	(259)	(132)	63	(294)	(136)	60	(292)
<b>Receipts:</b>															
Unemployment Taxes	0	2,281	0	0	2,450	0	0	2,450	0	0	2,450	0	0	2,450	0
Miscellaneous Receipts	545	66	0	635	77	5	642	77	5	635	77	5	635	77	5
Federal Receipts	0	30	0	0	50	0	0	50	0	0	50	0	0	50	0
<b>Total Receipts</b>	<b>545</b>	<b>2,377</b>	<b>0</b>	<b>635</b>	<b>2,577</b>	<b>5</b>	<b>642</b>	<b>2,577</b>	<b>5</b>	<b>635</b>	<b>2,577</b>	<b>5</b>	<b>635</b>	<b>2,577</b>	<b>5</b>
<b>Disbursements:</b>															
Local Assistance Grants	0	0	0	9	0	234	9	0	73	9	0	40	9	0	31
Departmental Operations:															
Personal Service	86	5	0	104	11	0	104	11	0	104	11	0	104	11	0
Non-Personal Service	403	71	0	523	63	0	530	63	0	531	63	0	531	63	0
Unemployment Benefits	0	2,285	0	0	2,500	0	0	2,500	0	0	2,500	0	0	2,500	0
General State Charges	47	1	0	59	4	0	62	5	0	64	5	0	64	5	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>536</b>	<b>2,362</b>	<b>0</b>	<b>695</b>	<b>2,578</b>	<b>234</b>	<b>705</b>	<b>2,579</b>	<b>73</b>	<b>708</b>	<b>2,579</b>	<b>40</b>	<b>708</b>	<b>2,579</b>	<b>31</b>
<b>Other Financing Sources (Uses):</b>															
Transfers from Other Funds	98	0	42	95	0	21	97	0	37	97	0	41	97	0	31
Transfers to Other Funds	(38)	0	0	(37)	(1)	(4)	(37)	(1)	(4)	(28)	(1)	(4)	(21)	(1)	(4)
	60	0	42	58	1	17	60	(1)	33	69	(1)	37	76	(1)	27
<b>Excess (Deficiency) of Receipts and Other Financing Sources (Uses) Over Disbursements</b>	<b>69</b>	<b>15</b>	<b>42</b>	<b>(2)</b>	<b>0</b>	<b>(212)</b>	<b>(3)</b>	<b>(3)</b>	<b>(35)</b>	<b>(4)</b>	<b>(3)</b>	<b>2</b>	<b>3</b>	<b>(3)</b>	<b>1</b>
<b>Closing Fund Balance</b>	<b>(127)</b>	<b>66</b>	<b>(47)</b>	<b>(129)</b>	<b>66</b>	<b>(259)</b>	<b>(132)</b>	<b>63</b>	<b>(294)</b>	<b>(136)</b>	<b>60</b>	<b>(292)</b>	<b>(133)</b>	<b>57</b>	<b>(291)</b>

# Workforce Impact Summary

General Fund  
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,528	2,402	2,421
Corrections and Community Supervision, Department of	28,332	28,742	28,177
Education Department, State	265	280	279
Environmental Conservation, Department of	1,047	1,065	983
General Services, Office of	1,015	1,073	1,219
Health, Department of	1,499	1,482	1,566
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	0	0	1
Parks, Recreation and Historic Preservation, Office of	1,516	1,372	1,312
People with Developmental Disabilities, Office for	0	0	0
State Police, Division of	5,596	5,369	5,281
Taxation and Finance, Department of	4,342	4,154	3,575
Temporary and Disability Assistance, Office of	975	949	963
<b>Subtotal - Major Agencies</b>	<b>50,688</b>	<b>50,484</b>	<b>49,362</b>
<b>Minor Agencies</b>			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	384	377	360
Alcoholic Beverage Control, Division of	0	0	127
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	24	27	30
Budget, Division of the	224	222	245
Civil Service, Department of	152	141	171
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	378	379	399
Economic Development, Department of	141	146	152
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Gaming Commission, New York State	0	53	60
Housing and Community Renewal, Division of	83	78	54
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Inspector General, Office of the	66	89	109
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	341	400	428
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	142	139	149
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
State, Department of	195	199	180
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Welfare Inspector General, Office of	6	6	7
<b>Subtotal - Minor Agencies</b>	<b>3,252</b>	<b>3,386</b>	<b>3,661</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>53,940</b>	<b>53,870</b>	<b>53,023</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,341	1,297	1,425
Law, Department of	1,048	1,075	1,065
<b>Subtotal - Independently Elected Agencies</b>	<b>2,389</b>	<b>2,372</b>	<b>2,490</b>
<b>Grand Total</b>	<b>56,329</b>	<b>56,242</b>	<b>55,513</b>

# Workforce Impact Summary

## State Operating Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,565	2,441	2,465
Corrections and Community Supervision, Department of	28,336	28,746	28,181
Education Department, State	1,243	1,258	1,293
Environmental Conservation, Department of	2,230	2,238	2,164
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,066	1,131	1,232
Health, Department of	3,720	3,697	3,743
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	391	371	508
Mental Health, Office of	14,519	14,350	14,257
Motor Vehicles, Department of	680	669	508
Parks, Recreation and Historic Preservation, Office of	1,608	1,574	1,528
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	5,667	5,435	5,619
Taxation and Finance, Department of	4,395	4,249	4,276
Temporary and Disability Assistance, Office of	975	949	963
Transportation, Department of	89	82	99
Workers' Compensation Board	1,130	1,093	1,165
<b>Subtotal - Major Agencies</b>	<b>92,038</b>	<b>92,183</b>	<b>91,350</b>
<b>Minor Agencies</b>	<b>6,505</b>	<b>6,579</b>	<b>6,847</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>98,543</b>	<b>98,762</b>	<b>98,197</b>
<b>University Systems</b>			
City University of New York	261	338	315
State University of New York	43,692	44,249	43,667
<b>Subtotal - University Systems</b>	<b>43,953</b>	<b>44,587</b>	<b>43,982</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,513	1,467	1,603
Law, Department of	1,528	1,559	1,583
<b>Subtotal - Independently Elected Agencies</b>	<b>3,041</b>	<b>3,026</b>	<b>3,186</b>
<b>Grand Total</b>	<b>145,537</b>	<b>146,375</b>	<b>145,365</b>

# Workforce Impact Summary

## State Operating Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	426	421	400
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	156	142	176
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	383	383	404
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	571	600	561
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	445
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	146	143	151
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	495
State, Department of	497	494	522
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Victim Services, Office of	49	44	54
Welfare Inspector General, Office of	6	6	7
<b>Subtotal - Minor Agencies</b>	<b>6,505</b>	<b>6,579</b>	<b>6,847</b>

# Workforce Impact Summary

State Funds  
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,570	2,445	2,475
Corrections and Community Supervision, Department of	28,363	28,774	28,211
Education Department, State	1,243	1,258	1,293
Environmental Conservation, Department of	2,583	2,617	2,652
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,066	1,131	1,232
Health, Department of	3,768	3,770	3,839
Information Technology Services, Office of	3,573	3,596	3,585
Labor, Department of	391	371	508
Mental Health, Office of	14,519	14,382	14,289
Motor Vehicles, Department of	2,137	2,146	2,132
Parks, Recreation and Historic Preservation, Office of	1,730	1,734	1,716
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	5,667	5,435	5,619
Taxation and Finance, Department of	4,395	4,249	4,276
Temporary and Disability Assistance, Office of	980	954	968
Transportation, Department of	8,500	8,361	8,182
Workers' Compensation Board	1,130	1,093	1,165
<b>Subtotal - Major Agencies</b>	<b>102,466</b>	<b>102,620</b>	<b>101,906</b>
<b>Minor Agencies</b>	<b>6,505</b>	<b>6,579</b>	<b>6,847</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>108,971</b>	<b>109,199</b>	<b>108,753</b>
<b>University Systems</b>			
City University of New York	261	338	315
State University Construction Fund	145	143	152
State University of New York	43,692	44,249	43,667
<b>Subtotal - University Systems</b>	<b>44,098</b>	<b>44,730</b>	<b>44,134</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,513	1,467	1,603
Law, Department of	1,532	1,564	1,590
<b>Subtotal - Independently Elected Agencies</b>	<b>3,045</b>	<b>3,031</b>	<b>3,193</b>
<b>Grand Total</b>	<b>156,114</b>	<b>156,960</b>	<b>156,080</b>

# Workforce Impact Summary

State Funds  
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	54	54
Aging, Office for the	18	10	12
Agriculture and Markets, Department of	426	421	400
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	156	142	176
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	383	383	404
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	79
Employee Relations, Office of	22	22	26
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	571	600	561
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	132	139	124
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	445
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	229	224	227
Military and Naval Affairs, Division of	146	143	151
Prevention of Domestic Violence, Office for	16	17	18
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	495
State, Department of	497	494	522
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	78	76	90
Victim Services, Office of	49	44	54
Welfare Inspector General, Office of	6	6	7
<b>Subtotal - Minor Agencies</b>	<b>6,505</b>	<b>6,579</b>	<b>6,847</b>



# Workforce Impact Summary

## All Funds

2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,986	2,842	2,954
Corrections and Community Supervision, Department of	28,673	29,094	29,147
Education Department, State	2,643	2,700	2,692
Environmental Conservation, Department of	2,869	2,900	2,946
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	1,588	1,643	1,796
Health, Department of	4,839	4,898	5,169
Information Technology Services, Office of	3,592	3,596	3,585
Labor, Department of	3,111	2,880	2,992
Mental Health, Office of	14,528	14,391	14,318
Motor Vehicles, Department of	2,153	2,163	2,149
Parks, Recreation and Historic Preservation, Office of	1,747	1,751	1,735
People with Developmental Disabilities, Office for	18,528	18,963	18,400
State Police, Division of	5,667	5,435	5,619
Taxation and Finance, Department of	4,395	4,249	4,276
Temporary and Disability Assistance, Office of	1,946	1,868	1,953
Transportation, Department of	8,559	8,419	8,255
Workers' Compensation Board	1,130	1,093	1,165
<b>Subtotal - Major Agencies</b>	<b>110,288</b>	<b>110,236</b>	<b>110,533</b>
<b>Minor Agencies</b>	<b>7,519</b>	<b>7,626</b>	<b>8,057</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>117,807</b>	<b>117,862</b>	<b>118,590</b>
<b>University Systems</b>			
City University of New York	13,703	13,681	13,645
State University Construction Fund	145	143	152
State University of New York	43,692	44,250	43,668
<b>Subtotal - University Systems</b>	<b>57,540</b>	<b>58,074</b>	<b>57,465</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	2,526	2,508	2,663
Law, Department of	1,747	1,776	1,839
<b>Subtotal - Independently Elected Agencies</b>	<b>4,273</b>	<b>4,284</b>	<b>4,502</b>
<b>Grand Total</b>	<b>179,620</b>	<b>180,220</b>	<b>180,557</b>

# Workforce Impact Summary

All Funds  
2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Adirondack Park Agency	54	54	54
Aging, Office for the	93	93	95
Agriculture and Markets, Department of	475	467	476
Alcoholic Beverage Control, Division of	112	111	127
Alcoholism and Substance Abuse Services, Office of	750	740	741
Arts, Council on the	24	27	30
Budget, Division of the	239	237	261
Civil Service, Department of	305	300	347
Correction, Commission of	28	30	32
Criminal Justice Services, Division of	413	415	436
Deferred Compensation Board	3	4	4
Economic Development, Department of	142	147	153
Elections, State Board of	70	73	80
Employee Relations, Office of	28	30	37
Executive Chamber	129	122	136
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	381	404
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	406	449	478
Housing and Community Renewal, Division of	666	693	682
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	148	158	164
Indigent Legal Services, Office of	10	18	19
Inspector General, Office of the	66	89	109
Interest on Lawyer Account	8	8	8
Judicial Conduct, Commission on	45	43	50
Justice Center for the Protection of People with Special Needs	354	417	450
Labor Management Committees	65	72	77
Lieutenant Governor, Office of the	3	5	7
Medicaid Inspector General, Office of the	457	446	453
Military and Naval Affairs, Division of	338	326	354
Prevention of Domestic Violence, Office for	24	26	28
Public Employment Relations Board	29	31	33
Public Ethics, Joint Commission on	40	47	58
Public Service Department	517	486	520
State, Department of	512	510	539
Statewide Financial System	130	138	139
Tax Appeals, Division of	25	24	27
Veterans' Affairs, Division of	84	82	98
Victim Services, Office of	65	68	81
Welfare Inspector General, Office of	6	6	7
<b>Subtotal - Minor Agencies</b>	<b>7,519</b>	<b>7,626</b>	<b>8,057</b>

# Workforce Impact Summary

## Special Revenue Funds - Other 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	37	39	44
Corrections and Community Supervision, Department of	4	4	4
Education Department, State	978	978	1,014
Environmental Conservation, Department of	1,183	1,173	1,181
Financial Services, Department of	1,334	1,351	1,382
General Services, Office of	51	58	13
Health, Department of	2,221	2,215	2,177
Labor, Department of	391	371	507
Mental Health, Office of	14,519	14,350	14,257
Motor Vehicles, Department of	680	669	508
Parks, Recreation and Historic Preservation, Office of	92	202	216
People with Developmental Disabilities, Office for	18,517	18,953	18,382
State Police, Division of	71	66	338
Taxation and Finance, Department of	53	95	701
Transportation, Department of	89	82	99
Workers' Compensation Board	1,130	1,093	1,165
<b>Subtotal - Major Agencies</b>	<b>41,350</b>	<b>41,699</b>	<b>41,988</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	42	44	40
Alcoholic Beverage Control, Division of	112	111	0
Alcoholism and Substance Abuse Services, Office of	748	738	741
Budget, Division of the	15	15	16
Civil Service, Department of	4	1	5
Criminal Justice Services, Division of	5	4	5
Deferred Compensation Board	3	4	4
Economic Development, Department of	1	1	1
Financial Control Board, New York State	12	11	12
Gaming Commission, New York State	376	328	344
Higher Education Services Corporation, New York State	267	241	250
Homeland Security and Emergency Services, Division of	277	301	324
Housing and Community Renewal, Division of	488	522	507
Indigent Legal Services, Office of	10	18	19
Interest on Lawyer Account	8	8	8
Justice Center for the Protection of People with Special Needs	13	17	17
Military and Naval Affairs, Division of	4	4	2
Public Service Department	517	486	495
State, Department of	302	295	342
Victim Services, Office of	49	44	54
<b>Subtotal - Minor Agencies</b>	<b>3,253</b>	<b>3,193</b>	<b>3,186</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>44,603</b>	<b>44,892</b>	<b>45,174</b>
<b>University Systems</b>			
City University of New York	261	338	315
State University of New York	43,692	44,249	43,667
<b>Subtotal - University Systems</b>	<b>43,953</b>	<b>44,587</b>	<b>43,982</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	172	170	178
Law, Department of	480	484	518
<b>Subtotal - Independently Elected Agencies</b>	<b>652</b>	<b>654</b>	<b>696</b>
<b>Grand Total</b>	<b>89,208</b>	<b>90,133</b>	<b>89,852</b>

# Workforce Impact Summary

## Special Revenue Funds - Federal 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	379	354	391
Corrections and Community Supervision, Department of	33	37	646
Education Department, State	1,264	1,292	1,255
Environmental Conservation, Department of	278	276	287
Health, Department of	1,071	1,128	1,330
Labor, Department of	2,700	2,487	2,468
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	16	17	17
Parks, Recreation and Historic Preservation, Office of	17	17	19
People with Developmental Disabilities, Office for	11	10	18
Temporary and Disability Assistance, Office of	966	914	985
Transportation, Department of	59	58	73
<b>Subtotal - Major Agencies</b>	<b>6,794</b>	<b>6,590</b>	<b>7,500</b>
<b>Minor Agencies</b>			
Aging, Office for the	75	83	83
Agriculture and Markets, Department of	8	6	28
Criminal Justice Services, Division of	30	32	32
Elections, State Board of	0	0	1
Homeland Security and Emergency Services, Division of	129	148	154
Housing and Community Renewal, Division of	95	93	101
Human Rights, Division of	16	19	40
Justice Center for the Protection of People with Special Needs	0	0	5
Medicaid Inspector General, Office of the	228	222	226
Military and Naval Affairs, Division of	192	183	201
Public Service Department	0	0	25
State, Department of	15	16	17
Veterans' Affairs, Division of	6	6	8
Victim Services, Office of	16	24	27
<b>Subtotal - Minor Agencies</b>	<b>810</b>	<b>832</b>	<b>948</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>7,604</b>	<b>7,422</b>	<b>8,448</b>
<b>University Systems</b>			
State University of New York	0	1	1
<b>Subtotal - University Systems</b>	<b>0</b>	<b>1</b>	<b>1</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	5	5	5
Law, Department of	215	212	249
<b>Subtotal - Independently Elected Agencies</b>	<b>220</b>	<b>217</b>	<b>254</b>
<b>Grand Total</b>	<b>7,824</b>	<b>7,640</b>	<b>8,703</b>

# Workforce Impact Summary

## Capital Projects Funds - Other 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	5	4	10
Corrections and Community Supervision, Department of	27	28	30
Environmental Conservation, Department of	353	379	488
Health, Department of	48	73	96
Mental Health, Office of	0	32	32
Motor Vehicles, Department of	1,457	1,477	1,624
Parks, Recreation and Historic Preservation, Office of	122	160	188
Temporary and Disability Assistance, Office of	5	5	5
Transportation, Department of	8,411	8,279	8,083
<b>Subtotal - Major Agencies</b>	<b>10,428</b>	<b>10,437</b>	<b>10,556</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>10,428</b>	<b>10,437</b>	<b>10,556</b>
<b>University Systems</b>			
State University Construction Fund	145	143	152
<b>Subtotal - University Systems</b>	<b>145</b>	<b>143</b>	<b>152</b>
<b>Independently Elected Agencies</b>			
Law, Department of	4	5	7
<b>Subtotal - Independently Elected Agencies</b>	<b>4</b>	<b>5</b>	<b>7</b>
<b>Grand Total</b>	<b>10,577</b>	<b>10,585</b>	<b>10,715</b>

# Workforce Impact Summary

## Capital Projects Funds - Federal 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Environmental Conservation, Department of	8	7	7
<b>Subtotal - Major Agencies</b>	<b>8</b>	<b>7</b>	<b>7</b>
<b>Minor Agencies</b>			
Housing and Community Renewal, Division of	0	0	20
<b>Subtotal - Minor Agencies</b>	<b>0</b>	<b>0</b>	<b>20</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>8</b>	<b>7</b>	<b>27</b>
<b>Grand Total</b>	<b>8</b>	<b>7</b>	<b>27</b>

# Workforce Impact Summary

## Enterprise Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Corrections and Community Supervision, Department of	6	7	10
General Services, Office of	10	11	62
Mental Health, Office of	0	0	5
<b>Subtotal - Major Agencies</b>	<b>16</b>	<b>18</b>	<b>77</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	38	38	45
Military and Naval Affairs, Division of	0	0	2
<b>Subtotal - Minor Agencies</b>	<b>38</b>	<b>38</b>	<b>47</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>54</b>	<b>56</b>	<b>124</b>
<b>Grand Total</b>	<b>54</b>	<b>56</b>	<b>124</b>

# Workforce Impact Summary

## Internal Service Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Major Agencies</b>			
Children and Family Services, Office of	37	43	88
Corrections and Community Supervision, Department of	271	276	280
Education Department, State	136	150	144
General Services, Office of	512	501	502
Information Technology Services, Office of	19	0	0
Labor, Department of	20	22	16
Mental Health, Office of	9	9	13
<b>Subtotal - Major Agencies</b>	<b>1,004</b>	<b>1,001</b>	<b>1,043</b>
<b>Minor Agencies</b>			
Civil Service, Department of	149	158	171
Employee Relations, Office of	6	8	11
Prevention of Domestic Violence, Office for	8	9	10
<b>Subtotal - Minor Agencies</b>	<b>163</b>	<b>175</b>	<b>192</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>1,167</b>	<b>1,176</b>	<b>1,235</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	79	79	78
<b>Subtotal - Independently Elected Agencies</b>	<b>79</b>	<b>79</b>	<b>78</b>
<b>Grand Total</b>	<b>1,246</b>	<b>1,255</b>	<b>1,313</b>



# Workforce Impact Summary

## Agency Trust Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>University Systems</b>			
City University of New York	13,442	13,343	13,330
<b>Subtotal - University Systems</b>	<b>13,442</b>	<b>13,343</b>	<b>13,330</b>
<b>Grand Total</b>	<b>13,442</b>	<b>13,343</b>	<b>13,330</b>

# Workforce Impact Summary

## Pension Trust Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	929	957	977
<b>Subtotal - Independently Elected Agencies</b>	<b>929</b>	<b>957</b>	<b>977</b>
<b>Grand Total</b>	<b>929</b>	<b>957</b>	<b>977</b>

# Workforce Impact Summary

## Private Purpose Trust Funds 2014-15 Through 2016-17

	2014-15 Actuals (03/31/15)	2015-16 Actuals (03/31/16)	2016-17 Estimate (03/31/17)
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	3	2	3
<b>Subtotal - Minor Agencies</b>	<b>3</b>	<b>2</b>	<b>3</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>3</b>	<b>2</b>	<b>3</b>
<b>Grand Total</b>	<b>3</b>	<b>2</b>	<b>3</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b><i>Agriculture and Markets, Department of</i></b>	<b>58,495</b>	<b>59,962</b>	<b>63,946</b>	<b>66,701</b>	<b>57,287</b>	<b>57,287</b>
Local Assistance Grants	25,275	27,279	32,271	35,026	25,612	25,612
State Operations	33,220	32,683	31,675	31,675	31,675	31,675
Personal Service	25,828	25,269	26,306	26,306	26,306	26,306
Non-Personal Service	7,392	7,414	5,369	5,369	5,369	5,369
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>0</b>	<b>0</b>	<b>12,258</b>	<b>12,683</b>	<b>12,683</b>	<b>12,744</b>
State Operations	0	0	12,258	12,683	12,683	12,744
Personal Service	0	0	8,034	8,147	8,147	8,208
Non-Personal Service	0	0	4,224	4,536	4,536	4,536
<b><i>Economic Development, Department of</i></b>	<b>54,489</b>	<b>63,748</b>	<b>73,496</b>	<b>73,000</b>	<b>73,000</b>	<b>73,000</b>
Local Assistance Grants	36,404	44,963	54,075	54,379	54,379	54,379
State Operations	18,085	18,785	19,421	18,621	18,621	18,621
Personal Service	11,493	11,974	13,226	13,226	13,226	13,226
Non-Personal Service	6,592	6,811	6,195	5,395	5,395	5,395
<b><i>Empire State Development Corporation</i></b>	<b>85,284</b>	<b>58,756</b>	<b>143,835</b>	<b>147,346</b>	<b>147,346</b>	<b>147,346</b>
Local Assistance Grants	85,234	58,756	143,835	147,346	147,346	147,346
State Operations	50	0	0	0	0	0
Non-Personal Service	50	0	0	0	0	0
<b><i>Olympic Regional Development Authority</i></b>	<b>3,011</b>	<b>3,011</b>	<b>2,736</b>	<b>2,736</b>	<b>2,736</b>	<b>2,736</b>
State Operations	3,011	3,011	2,736	2,736	2,736	2,736
Personal Service	2,548	2,548	2,548	2,548	2,548	2,548
Non-Personal Service	463	463	188	188	188	188
<b>Functional Total</b>	<b>201,279</b>	<b>185,477</b>	<b>296,271</b>	<b>302,466</b>	<b>293,052</b>	<b>293,113</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b><i>Adirondack Park Agency</i></b>	<b>4,276</b>	<b>4,297</b>	<b>4,332</b>	<b>4,332</b>	<b>4,332</b>	<b>4,332</b>
State Operations	4,276	4,297	4,332	4,332	4,332	4,332
Personal Service	3,893	4,036	4,027	4,027	4,027	4,027
Non-Personal Service	383	261	305	305	305	305
<b><i>Environmental Conservation, Department of</i></b>	<b>94,818</b>	<b>98,676</b>	<b>94,876</b>	<b>102,206</b>	<b>106,312</b>	<b>114,312</b>
Local Assistance Grants	2,889	4,262	5,016	7,305	5,390	5,390
State Operations	91,929	94,414	89,860	94,901	100,922	108,922
Personal Service	82,168	85,641	81,470	81,574	81,574	88,774
Non-Personal Service	9,761	8,773	8,390	13,327	19,348	20,148
<b><i>Parks, Recreation and Historic Preservation, Office of</i></b>	<b>114,000</b>	<b>115,847</b>	<b>111,282</b>	<b>110,573</b>	<b>110,573</b>	<b>110,573</b>
Local Assistance Grants	3,957	4,891	3,459	2,750	2,750	2,750
State Operations	110,043	110,956	107,823	107,823	107,823	107,823
Personal Service	105,054	105,937	100,476	100,476	100,476	100,476
Non-Personal Service	4,989	5,019	7,347	7,347	7,347	7,347
<b>Functional Total</b>	<b>213,094</b>	<b>218,820</b>	<b>210,490</b>	<b>217,111</b>	<b>221,217</b>	<b>229,217</b>
<b>TRANSPORTATION</b>						
<b><i>Thruway Authority, New York State</i></b>	<b>18,341</b>	<b>16,569</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	18,341	16,569	0	0	0	0
Non-Personal Service	18,341	16,569	0	0	0	0
<b><i>Transportation, Department of</i></b>	<b>98,752</b>	<b>112,503</b>	<b>107,020</b>	<b>102,020</b>	<b>102,020</b>	<b>102,020</b>
Local Assistance Grants	97,670	111,351	105,851	100,851	100,851	100,851
State Operations	1,082	1,152	1,169	1,169	1,169	1,169
Non-Personal Service	1,082	1,152	1,169	1,169	1,169	1,169
<b>Functional Total</b>	<b>117,093</b>	<b>129,072</b>	<b>107,020</b>	<b>102,020</b>	<b>102,020</b>	<b>102,020</b>
<b>HEALTH</b>						
<b><i>Aging, Office for the</i></b>	<b>123,306</b>	<b>128,410</b>	<b>131,163</b>	<b>133,943</b>	<b>139,124</b>	<b>144,435</b>
Local Assistance Grants	121,870	127,134	129,932	132,712	137,893	143,204
State Operations	1,436	1,276	1,231	1,231	1,231	1,231
Personal Service	1,256	1,110	1,125	1,125	1,125	1,125
Non-Personal Service	180	166	106	106	106	106
<b><i>Health, Department of</i></b>	<b>12,744,699</b>	<b>13,271,579</b>	<b>13,894,811</b>	<b>14,813,057</b>	<b>15,737,115</b>	<b>16,738,124</b>
<b>Medical Assistance</b>	<b>11,161,361</b>	<b>11,551,940</b>	<b>11,816,482</b>	<b>12,723,050</b>	<b>13,647,820</b>	<b>14,651,393</b>
Local Assistance Grants	11,161,361	11,551,940	11,816,482	12,723,050	13,647,820	14,651,393
<b>Essential Plan</b>	<b>0</b>	<b>32,575</b>	<b>381,572</b>	<b>384,360</b>	<b>394,642</b>	<b>405,812</b>
Local Assistance Grants	0	19,215	333,917	344,602	354,940	364,878
State Operations	0	13,360	47,655	39,758	39,702	40,934
Personal Service	0	391	1,375	1,416	1,458	1,502
Non-Personal Service	0	12,969	46,280	38,342	38,244	39,432
<b>Medicaid Administration</b>	<b>677,990</b>	<b>836,542</b>	<b>823,237</b>	<b>825,219</b>	<b>799,747</b>	<b>771,895</b>
Local Assistance Grants	515,184	565,205	480,703	449,306	417,908	386,511
State Operations	162,806	271,337	342,534	375,913	381,839	385,384
Personal Service	29,326	31,809	40,500	46,310	52,236	55,781
Non-Personal Service	133,480	239,528	302,034	329,603	329,603	329,603

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Public Health</b>	<b>905,348</b>	<b>850,522</b>	<b>873,520</b>	<b>880,428</b>	<b>894,906</b>	<b>909,024</b>
Local Assistance Grants	741,787	713,523	744,284	754,033	768,511	782,529
State Operations	163,561	136,999	129,236	126,395	126,395	126,495
Personal Service	91,153	86,985	83,664	80,723	80,723	80,723
Non-Personal Service	72,408	50,014	45,572	45,672	45,672	45,772
<b>Medicaid Inspector General, Office of the</b>	<b>20,821</b>	<b>20,619</b>	<b>19,860</b>	<b>19,860</b>	<b>19,860</b>	<b>19,860</b>
State Operations	20,821	20,619	19,860	19,860	19,860	19,860
Personal Service	16,617	16,621	15,781	15,781	15,781	15,781
Non-Personal Service	4,204	3,998	4,079	4,079	4,079	4,079
<b>Functional Total</b>	<b>12,888,826</b>	<b>13,420,608</b>	<b>14,045,834</b>	<b>14,966,860</b>	<b>15,896,099</b>	<b>16,902,419</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>1,832,633</b>	<b>1,966,086</b>	<b>1,893,597</b>	<b>1,925,773</b>	<b>1,947,095</b>	<b>1,969,262</b>
<b>OCFS</b>	<b>1,746,634</b>	<b>1,877,164</b>	<b>1,803,324</b>	<b>1,833,773</b>	<b>1,854,914</b>	<b>1,875,193</b>
Local Assistance Grants	1,512,053	1,644,520	1,578,485	1,605,004	1,620,203	1,640,482
State Operations	234,581	232,644	224,839	228,769	234,711	234,711
Personal Service	167,253	164,764	159,086	159,086	160,669	160,669
Non-Personal Service	67,328	67,880	65,753	69,683	74,042	74,042
<b>OCFS - Other</b>	<b>85,999</b>	<b>88,922</b>	<b>90,273</b>	<b>92,000</b>	<b>92,181</b>	<b>94,069</b>
Local Assistance Grants	85,999	88,922	90,273	92,000	92,181	94,069
<b>Housing and Community Renewal, Division of</b>	<b>16,763</b>	<b>11,952</b>	<b>9,949</b>	<b>33,783</b>	<b>38,783</b>	<b>43,783</b>
Local Assistance Grants	10,280	4,764	5,399	29,233	34,233	39,233
State Operations	6,483	7,188	4,550	4,550	4,550	4,550
Personal Service	3,958	4,919	4,199	4,199	4,199	4,199
Non-Personal Service	2,525	2,269	351	351	351	351
<b>Human Rights, Division of</b>	<b>10,582</b>	<b>10,263</b>	<b>9,921</b>	<b>9,921</b>	<b>9,921</b>	<b>9,921</b>
State Operations	10,582	10,263	9,921	9,921	9,921	9,921
Personal Service	8,919	9,398	9,461	9,461	9,461	9,461
Non-Personal Service	1,663	865	460	460	460	460
<b>Labor, Department of</b>	<b>7,928</b>	<b>12,355</b>	<b>12,736</b>	<b>288</b>	<b>288</b>	<b>288</b>
Local Assistance Grants	7,655	12,149	12,448	0	0	0
State Operations	273	206	288	288	288	288
Personal Service	87	38	88	88	88	88
Non-Personal Service	186	168	200	200	200	200
<b>National and Community Service</b>	<b>687</b>	<b>458</b>	<b>687</b>	<b>687</b>	<b>690</b>	<b>690</b>
Local Assistance Grants	450	142	350	350	350	350
State Operations	237	316	337	337	340	340
Personal Service	229	311	328	328	331	331
Non-Personal Service	8	5	9	9	9	9
<b>Temporary and Disability Assistance, Office of</b>	<b>1,375,282</b>	<b>1,360,435</b>	<b>1,392,278</b>	<b>1,437,283</b>	<b>1,455,683</b>	<b>1,465,483</b>
<b>Welfare Assistance</b>	<b>1,138,003</b>	<b>1,122,359</b>	<b>1,168,193</b>	<b>1,211,123</b>	<b>1,223,123</b>	<b>1,225,123</b>
Local Assistance Grants	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<b>All Other</b>	<b>237,279</b>	<b>238,076</b>	<b>224,085</b>	<b>226,160</b>	<b>232,560</b>	<b>240,360</b>
Local Assistance Grants	97,755	91,073	93,905	100,996	107,396	115,196
State Operations	139,524	147,003	130,180	125,164	125,164	125,164
Personal Service	67,810	69,932	68,985	68,985	68,985	68,985
Non-Personal Service	71,714	77,071	61,195	56,179	56,179	56,179
<b>Functional Total</b>	<b>3,243,875</b>	<b>3,361,549</b>	<b>3,319,168</b>	<b>3,407,735</b>	<b>3,452,460</b>	<b>3,489,427</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>25,078</b>	<b>26,276</b>	<b>31,878</b>	<b>34,853</b>	<b>34,853</b>	<b>34,853</b>
<b>OASAS</b>	<b>3,753</b>	<b>4,951</b>	<b>10,553</b>	<b>13,528</b>	<b>13,528</b>	<b>13,528</b>
Local Assistance Grants	3,753	4,951	10,553	13,528	13,528	13,528
<b>OASAS - Other</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>	<b>21,325</b>
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325	21,325
<b>Justice Center</b>	<b>29,472</b>	<b>37,210</b>	<b>39,449</b>	<b>39,972</b>	<b>40,737</b>	<b>41,387</b>
Local Assistance Grants	170	114	170	170	170	170
State Operations	29,302	37,096	39,279	39,802	40,567	41,217
Personal Service	18,713	25,430	26,264	26,421	26,810	27,073
Non-Personal Service	10,589	11,666	13,015	13,381	13,757	14,144
<b>Mental Health, Office of</b>	<b>354,423</b>	<b>285,014</b>	<b>273,111</b>	<b>301,481</b>	<b>312,411</b>	<b>323,614</b>
<b>OMH</b>	<b>7,567</b>	<b>4,778</b>	<b>800</b>	<b>800</b>	<b>800</b>	<b>800</b>
Local Assistance Grants	7,310	4,299	0	0	0	0
State Operations	257	479	800	800	800	800
Non-Personal Service	257	479	800	800	800	800
<b>OMH - Other</b>	<b>346,856</b>	<b>280,236</b>	<b>272,311</b>	<b>300,681</b>	<b>311,611</b>	<b>322,814</b>
Local Assistance Grants	346,856	280,236	272,311	300,681	311,611	322,814
<b>People with Developmental Disabilities, Office for</b>	<b>1,026,736</b>	<b>863,457</b>	<b>658,598</b>	<b>1,050,782</b>	<b>1,206,741</b>	<b>1,319,914</b>
<b>OPWDD</b>	<b>519</b>	<b>84</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	519	84	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>OPWDD - Other</b>	<b>1,026,217</b>	<b>863,373</b>	<b>658,598</b>	<b>1,050,782</b>	<b>1,206,741</b>	<b>1,319,914</b>
Local Assistance Grants	1,026,217	863,373	658,598	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>1,435,709</b>	<b>1,211,957</b>	<b>1,003,036</b>	<b>1,427,088</b>	<b>1,594,742</b>	<b>1,719,768</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,222</b>	<b>2,297</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>
State Operations	2,222	2,297	2,651	2,651	2,651	2,651
Personal Service	2,037	2,042	2,304	2,365	2,414	2,414
Non-Personal Service	185	255	347	286	237	237
<b>Correctional Services, Department of</b>	<b>2,645,044</b>	<b>2,695,483</b>	<b>2,628,557</b>	<b>2,635,813</b>	<b>2,638,569</b>	<b>2,645,724</b>
Local Assistance Grants	5,939	4,251	5,497	5,497	5,497	5,497
State Operations	2,639,105	2,691,232	2,623,060	2,630,316	2,633,072	2,640,227
Personal Service	2,102,252	2,166,584	2,073,691	2,080,897	2,083,653	2,090,808
Non-Personal Service	536,853	524,648	549,369	549,419	549,419	549,419
<b>Criminal Justice Services, Division of</b>	<b>161,783</b>	<b>162,498</b>	<b>169,370</b>	<b>173,535</b>	<b>173,535</b>	<b>173,535</b>
Local Assistance Grants	127,011	127,977	135,649	139,814	139,814	139,814
State Operations	34,772	34,521	33,721	33,721	33,721	33,721
Personal Service	26,643	24,246	25,190	25,190	25,190	25,190
Non-Personal Service	8,129	10,275	8,531	8,531	8,531	8,531
<b>Disaster Assistance</b>	<b>(8,011)</b>	<b>(51,789)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	2,726	0	0	0	0	0
State Operations	(10,737)	(51,789)	0	0	0	0
Personal Service	(9,310)	(1,768)	0	0	0	0
Non-Personal Service	(1,427)	(50,021)	0	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>38,108</b>	<b>(11,215)</b>	<b>5,298</b>	<b>5,222</b>	<b>5,222</b>	<b>5,222</b>
Local Assistance Grants	31,256	(15,533)	4,298	4,222	4,222	4,222
State Operations	6,852	4,318	1,000	1,000	1,000	1,000
Personal Service	4,789	2,072	1,000	1,000	1,000	1,000
Non-Personal Service	2,063	2,246	0	0	0	0
<b>Judicial Conduct, Commission on</b>	<b>5,384</b>	<b>5,567</b>	<b>5,584</b>	<b>5,584</b>	<b>5,643</b>	<b>5,708</b>
State Operations	5,384	5,567	5,584	5,584	5,643	5,708
Personal Service	4,028	4,208	4,281	4,281	4,312	4,347
Non-Personal Service	1,356	1,359	1,303	1,303	1,331	1,361
<b>Judicial Nomination, Commission on</b>	<b>24</b>	<b>20</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	24	20	30	30	30	30
Non-Personal Service	24	20	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>12</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	12	14	38	38	38	38
Non-Personal Service	12	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>21,638</b>	<b>23,369</b>	<b>21,306</b>	<b>21,306</b>	<b>21,306</b>	<b>21,306</b>
Local Assistance Grants	724	805	911	911	911	911
State Operations	20,914	22,564	20,395	20,395	20,395	20,395
Personal Service	15,329	16,694	14,221	14,221	14,221	14,221
Non-Personal Service	5,585	5,870	6,174	6,174	6,174	6,174
<b>State Police, Division of</b>	<b>608,608</b>	<b>652,278</b>	<b>611,222</b>	<b>622,384</b>	<b>627,384</b>	<b>627,384</b>
State Operations	608,608	652,278	611,222	622,384	627,384	627,384
Personal Service	560,114	607,551	572,903	583,735	583,735	583,735
Non-Personal Service	48,494	44,727	38,319	38,649	43,649	43,649
<b>Statewide Financial System</b>	<b>29,264</b>	<b>30,070</b>	<b>30,137</b>	<b>30,143</b>	<b>30,143</b>	<b>30,143</b>
State Operations	29,264	30,070	30,137	30,143	30,143	30,143
Personal Service	9,282	10,234	11,350	11,350	11,350	11,350
Non-Personal Service	19,982	19,836	18,787	18,793	18,793	18,793
<b>Victim Services, Office of</b>	<b>947</b>	<b>1,870</b>	<b>2,788</b>	<b>2,788</b>	<b>2,788</b>	<b>2,788</b>
Local Assistance Grants	947	1,870	2,788	2,788	2,788	2,788
<b>Functional Total</b>	<b>3,505,023</b>	<b>3,510,462</b>	<b>3,476,981</b>	<b>3,499,494</b>	<b>3,507,309</b>	<b>3,514,529</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,395,047</b>	<b>1,430,239</b>	<b>1,454,075</b>	<b>1,486,252</b>	<b>1,527,180</b>	<b>1,552,604</b>
Local Assistance Grants	1,395,047	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
State Operations	0	777	0	0	0	0
Non-Personal Service	0	777	0	0	0	0
<b>Higher Education Services Corporation, New York State</b>	<b>1,177,916</b>	<b>1,009,146</b>	<b>1,045,919</b>	<b>1,102,574</b>	<b>1,123,170</b>	<b>1,134,672</b>
Local Assistance Grants	1,177,916	1,009,146	1,045,919	1,102,574	1,123,170	1,134,672
<b>State University of New York</b>	<b>713,787</b>	<b>551,618</b>	<b>508,699</b>	<b>508,267</b>	<b>507,767</b>	<b>507,434</b>
Local Assistance Grants	486,563	500,675	508,699	508,267	507,767	507,434
State Operations	9,459	9,841	0	0	0	0
Personal Service	276	1,591	0	0	0	0
Non-Personal Service	9,183	8,250	0	0	0	0
General State Charges	217,765	41,102	0	0	0	0
<b>Functional Total</b>	<b>3,286,750</b>	<b>2,991,003</b>	<b>3,008,693</b>	<b>3,097,093</b>	<b>3,158,117</b>	<b>3,194,710</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>66,103</b>	<b>41,819</b>	<b>45,415</b>	<b>45,155</b>	<b>45,155</b>	<b>45,155</b>
Local Assistance Grants	62,791	38,332	41,095	40,835	40,835	40,835
State Operations	3,312	3,487	4,320	4,320	4,320	4,320
Personal Service	2,132	2,253	2,498	2,498	2,498	2,498
Non-Personal Service	1,180	1,234	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>20,533,668</b>	<b>22,253,306</b>	<b>23,487,868</b>	<b>25,012,465</b>	<b>26,460,287</b>	<b>27,881,454</b>
<b>School Aid</b>	<b>18,415,026</b>	<b>20,133,021</b>	<b>21,101,245</b>	<b>22,579,091</b>	<b>23,895,797</b>	<b>25,211,358</b>
Local Assistance Grants	18,415,026	20,133,021	21,101,245	22,579,091	23,895,797	25,211,358
<b>Special Education Categorical Programs</b>	<b>1,451,002</b>	<b>1,316,581</b>	<b>1,437,400</b>	<b>1,539,890</b>	<b>1,657,380</b>	<b>1,784,228</b>
Local Assistance Grants	1,451,002	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<b>All Other</b>	<b>667,640</b>	<b>803,704</b>	<b>949,223</b>	<b>893,484</b>	<b>907,110</b>	<b>885,868</b>
Local Assistance Grants	618,671	758,709	877,735	836,501	850,127	828,885
State Operations	48,969	44,995	71,488	56,983	56,983	56,983
Personal Service	27,142	27,609	26,365	25,275	25,275	25,275
Non-Personal Service	21,827	17,386	45,123	31,708	31,708	31,708
<b>Functional Total</b>	<b>20,599,771</b>	<b>22,295,125</b>	<b>23,533,283</b>	<b>25,057,620</b>	<b>26,505,442</b>	<b>27,926,609</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>20,322</b>	<b>21,079</b>	<b>24,422</b>	<b>23,895</b>	<b>23,895</b>	<b>23,895</b>
State Operations	20,322	21,079	24,422	23,895	23,895	23,895
Personal Service	18,684	18,945	22,216	22,216	22,216	22,216
Non-Personal Service	1,638	2,134	2,206	1,679	1,679	1,679
<b>Civil Service, Department of</b>	<b>12,276</b>	<b>12,951</b>	<b>12,451</b>	<b>12,451</b>	<b>12,544</b>	<b>12,643</b>
State Operations	12,276	12,951	12,451	12,451	12,544	12,643
Personal Service	11,785	11,407	12,050	12,050	12,138	12,229
Non-Personal Service	491	1,544	401	401	406	414
<b>Deferred Compensation Board</b>	<b>37</b>	<b>61</b>	<b>57</b>	<b>57</b>	<b>57</b>	<b>57</b>
State Operations	37	61	57	57	57	57
Personal Service	24	24	32	32	32	32
Non-Personal Service	13	37	25	25	25	25
<b>Elections, State Board of</b>	<b>5,961</b>	<b>7,697</b>	<b>8,482</b>	<b>8,482</b>	<b>8,587</b>	<b>8,697</b>
Local Assistance Grants	253	93	0	0	0	0
State Operations	5,708	7,604	8,482	8,482	8,587	8,697
Personal Service	4,680	5,639	5,909	5,875	6,018	6,063
Non-Personal Service	1,028	1,965	2,573	2,607	2,569	2,634
<b>Employee Relations, Office of</b>	<b>2,210</b>	<b>2,247</b>	<b>2,581</b>	<b>2,581</b>	<b>2,601</b>	<b>2,621</b>
State Operations	2,210	2,247	2,581	2,581	2,601	2,621
Personal Service	2,178	2,200	2,510	2,510	2,529	2,548
Non-Personal Service	32	47	71	71	72	73
<b>Gaming Commission, New York State</b>	<b>0</b>	<b>5,608</b>	<b>6,771</b>	<b>6,771</b>	<b>6,771</b>	<b>6,771</b>
State Operations	0	5,608	6,771	6,771	6,771	6,771
Personal Service	0	3,362	4,282	4,282	4,282	4,282
Non-Personal Service	0	2,246	2,489	2,489	2,489	2,489
<b>General Services, Office of</b>	<b>142,293</b>	<b>146,202</b>	<b>158,417</b>	<b>157,017</b>	<b>157,017</b>	<b>157,017</b>
State Operations	142,293	146,202	158,417	157,017	157,017	157,017
Personal Service	58,419	66,839	73,221	73,221	73,221	73,221
Non-Personal Service	83,874	79,363	85,196	83,796	83,796	83,796
<b>Inspector General, Office of the</b>	<b>7,069</b>	<b>7,061</b>	<b>7,367</b>	<b>7,367</b>	<b>7,427</b>	<b>7,487</b>
State Operations	7,069	7,061	7,367	7,367	7,427	7,487
Personal Service	6,217	6,230	6,552	6,552	6,600	6,648
Non-Personal Service	852	831	815	815	827	839
<b>Labor Management Committees</b>	<b>24,098</b>	<b>24,882</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>
State Operations	24,098	24,882	25,000	25,000	25,000	25,000
Personal Service	6,402	6,619	5,446	5,446	5,446	5,487
Non-Personal Service	17,696	18,263	19,554	19,554	19,554	19,513
<b>Prevention of Domestic Violence, Office for</b>	<b>1,897</b>	<b>2,039</b>	<b>2,376</b>	<b>2,476</b>	<b>2,476</b>	<b>2,576</b>
Local Assistance Grants	543	575	785	885	885	985
State Operations	1,354	1,464	1,591	1,591	1,591	1,591
Personal Service	1,267	1,351	1,374	1,388	1,388	1,388
Non-Personal Service	87	113	217	203	203	203
<b>Public Employment Relations Board</b>	<b>3,096</b>	<b>3,400</b>	<b>3,529</b>	<b>3,529</b>	<b>3,560</b>	<b>3,589</b>
State Operations	3,096	3,400	3,529	3,529	3,560	3,589
Personal Service	2,894	3,207	3,336	3,336	3,363	3,388
Non-Personal Service	202	193	193	193	197	201
<b>Public Integrity, Commission on</b>	<b>3,628</b>	<b>4,332</b>	<b>5,531</b>	<b>5,531</b>	<b>5,576</b>	<b>5,630</b>
State Operations	3,628	4,332	5,531	5,531	5,576	5,630
Personal Service	2,899	3,480	4,620	4,620	4,646	4,681
Non-Personal Service	729	852	911	911	930	949
<b>State, Department of</b>	<b>19,361</b>	<b>27,242</b>	<b>25,334</b>	<b>18,601</b>	<b>18,601</b>	<b>18,601</b>
Local Assistance Grants	5,671	15,063	12,145	6,440	6,440	6,440

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
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	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
State Operations	13,690	12,179	13,189	12,161	12,161	12,161
Personal Service	12,113	11,756	11,922	11,922	11,922	11,922
Non-Personal Service	1,577	423	1,267	239	239	239
<b>Tax Appeals, Division of</b>	<b>2,849</b>	<b>3,035</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>
State Operations	2,849	3,035	3,040	3,040	3,040	3,040
Personal Service	2,688	2,763	2,870	2,870	2,870	2,870
Non-Personal Service	161	272	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>273,777</b>	<b>264,676</b>	<b>257,533</b>	<b>256,533</b>	<b>255,908</b>	<b>256,533</b>
Local Assistance Grants	906	914	926	926	926	926
State Operations	272,871	263,762	256,607	255,607	254,982	255,607
Personal Service	231,383	247,132	226,554	226,554	226,253	226,554
Non-Personal Service	41,488	16,630	30,053	29,053	28,729	29,053
<b>Technology, Office for</b>	<b>426,415</b>	<b>505,949</b>	<b>532,574</b>	<b>565,206</b>	<b>576,936</b>	<b>576,936</b>
State Operations	426,415	505,949	532,574	565,206	576,936	576,936
Personal Service	277,996	283,573	284,831	284,801	284,801	284,801
Non-Personal Service	148,419	222,376	247,743	280,405	292,135	292,135
<b>Veterans' Affairs, Division of</b>	<b>12,830</b>	<b>12,922</b>	<b>15,438</b>	<b>13,808</b>	<b>13,873</b>	<b>13,873</b>
Local Assistance Grants	7,486	7,855	9,267	7,637	7,637	7,637
State Operations	5,344	5,067	6,171	6,171	6,236	6,236
Personal Service	4,937	4,731	5,879	5,879	5,938	5,938
Non-Personal Service	407	336	292	292	298	298
<b>Welfare Inspector General, Office of</b>	<b>573</b>	<b>569</b>	<b>672</b>	<b>672</b>	<b>686</b>	<b>701</b>
State Operations	573	569	672	672	686	701
Personal Service	472	511	617	617	621	626
Non-Personal Service	101	58	55	55	65	75
<b>Functional Total</b>	<b>958,692</b>	<b>1,051,952</b>	<b>1,091,575</b>	<b>1,113,017</b>	<b>1,124,555</b>	<b>1,125,667</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>157,820</b>	<b>158,450</b>	<b>162,754</b>	<b>162,404</b>	<b>162,404</b>	<b>162,404</b>
Local Assistance Grants	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	125,795	126,425	130,730	130,380	130,380	130,380
Personal Service	98,621	101,198	102,672	102,672	102,672	102,672
Non-Personal Service	27,174	25,227	28,058	27,708	27,708	27,708
<b>Executive Chamber</b>	<b>13,966</b>	<b>13,704</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,966	13,704	13,578	13,578	13,578	13,578
Personal Service	10,621	10,669	11,469	11,813	11,813	11,813
Non-Personal Service	3,345	3,035	2,109	1,765	1,765	1,765
<b>Judiciary</b>	<b>2,444,569</b>	<b>2,504,174</b>	<b>2,598,300</b>	<b>2,674,003</b>	<b>2,709,003</b>	<b>2,709,003</b>
Local Assistance Grants	2,437	2,451	2,400	17,400	17,400	17,400
State Operations	1,805,478	1,838,149	1,914,100	1,914,100	1,939,500	1,942,000
Personal Service	1,422,377	1,451,032	1,497,900	1,497,900	1,523,300	1,525,800
Non-Personal Service	383,101	387,117	416,200	416,200	416,200	416,200
General State Charges	636,654	663,574	681,800	742,503	752,103	749,603
<b>Law, Department of</b>	<b>101,795</b>	<b>102,098</b>	<b>102,838</b>	<b>102,838</b>	<b>102,838</b>	<b>103,761</b>
State Operations	101,795	102,098	102,838	102,838	102,838	103,761
Personal Service	86,997	89,952	89,405	89,405	89,405	90,070
Non-Personal Service	14,798	12,146	13,433	13,433	13,433	13,691
<b>Legislature</b>	<b>205,758</b>	<b>214,111</b>	<b>217,845</b>	<b>217,845</b>	<b>217,845</b>	<b>217,845</b>
State Operations	205,758	214,111	217,845	217,845	217,845	217,845
Personal Service	160,777	167,444	166,331	166,331	166,331	166,331
Non-Personal Service	44,981	46,667	51,514	51,514	51,514	51,514
<b>Lieutenant Governor, Office of the</b>	<b>366</b>	<b>499</b>	<b>614</b>	<b>614</b>	<b>614</b>	<b>614</b>
State Operations	366	499	614	614	614	614
Personal Service	299	336	513	523	523	523
Non-Personal Service	67	163	101	91	91	91
<b>Functional Total</b>	<b>2,924,274</b>	<b>2,993,036</b>	<b>3,095,929</b>	<b>3,171,282</b>	<b>3,206,282</b>	<b>3,207,205</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>726,438</b>	<b>728,288</b>	<b>714,756</b>	<b>762,710</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance Grants	726,338	728,288	714,756	762,710	763,347	763,347
State Operations	100	0	0	0	0	0
Non-Personal Service	100	0	0	0	0	0
<b>Efficiency Incentive Grants Program</b>	<b>1,592</b>	<b>1,289</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1,592	1,289	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>7,798</b>	<b>11,846</b>	<b>15,646</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	7,798	11,846	15,646	0	0	0
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331	29,331
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	217	218	218	218	218
<b>Functional Total</b>	<b>765,376</b>	<b>770,971</b>	<b>759,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>



**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND (excludes transfers)**  
(thousands of dollars)

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b>4,131,687</b>	<b>4,681,599</b>	<b>4,882,652</b>	<b>5,179,977</b>	<b>5,378,702</b>	<b>5,724,751</b>
General State Charges	4,131,687	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
<b>Miscellaneous</b>	<b>(16,662)</b>	<b>(154,891)</b>	<b>755,197</b>	<b>1,230,305</b>	<b>1,015,650</b>	<b>1,362,956</b>
Local Assistance Grants	(48,439)	(187,744)	574,596	686,571	686,571	921,571
State Operations	19,190	21,897	192,369	550,005	335,912	448,912
Personal Service	53	63	88,280	124,200	124,200	164,200
Non-Personal Service	19,137	21,834	104,089	425,805	211,712	284,712
General State Charges	12,587	10,956	(11,768)	(6,271)	(6,833)	(7,527)
<b>Functional Total</b>	<b>4,115,025</b>	<b>4,526,708</b>	<b>5,637,849</b>	<b>6,410,282</b>	<b>6,394,352</b>	<b>7,087,707</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>54,254,787</b>	<b>56,666,740</b>	<b>59,586,080</b>	<b>63,564,327</b>	<b>66,248,543</b>	<b>69,585,287</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	58,495	59,962	63,946	66,701	57,287	57,287
Alcoholic Beverage Control, Division of	0	0	12,258	12,683	12,683	12,744
Economic Development, Department of	54,489	63,748	73,496	73,000	73,000	73,000
Empire State Development Corporation	85,284	58,756	143,835	147,346	147,346	147,346
Olympic Regional Development Authority	3,011	3,011	2,736	2,736	2,736	2,736
<b>Functional Total</b>	<b>201,279</b>	<b>185,477</b>	<b>296,271</b>	<b>302,466</b>	<b>293,052</b>	<b>293,113</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,276	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	94,818	98,676	94,876	102,206	106,312	114,312
Parks, Recreation and Historic Preservation, Office of	114,000	115,847	111,282	110,573	110,573	110,573
<b>Functional Total</b>	<b>213,094</b>	<b>218,820</b>	<b>210,490</b>	<b>217,111</b>	<b>221,217</b>	<b>229,217</b>
<b>TRANSPORTATION</b>						
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	98,752	112,503	107,020	102,020	102,020	102,020
<b>Functional Total</b>	<b>117,093</b>	<b>129,072</b>	<b>107,020</b>	<b>102,020</b>	<b>102,020</b>	<b>102,020</b>
<b>HEALTH</b>						
Aging, Office for the	123,306	128,410	131,163	133,943	139,124	144,435
Health, Department of	12,744,699	13,271,579	13,894,811	14,813,057	15,737,115	16,738,124
<i>Medical Assistance</i>	11,161,361	11,551,940	11,816,482	12,723,050	13,647,820	14,651,393
<i>Essential Plan</i>	0	32,575	381,572	384,360	394,642	405,812
<i>Medicaid Administration</i>	677,990	836,542	823,237	825,219	799,747	771,895
<i>Public Health</i>	905,348	850,522	873,520	880,428	894,906	909,024
Medicaid Inspector General, Office of the	20,821	20,619	19,860	19,860	19,860	19,860
<b>Functional Total</b>	<b>12,888,826</b>	<b>13,420,608</b>	<b>14,045,834</b>	<b>14,966,860</b>	<b>15,896,099</b>	<b>16,902,419</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,832,633	1,966,086	1,893,597	1,925,773	1,947,095	1,969,262
<i>OCFS</i>	1,746,634	1,877,164	1,803,324	1,833,773	1,854,914	1,875,193
<i>OCFS - Other</i>	85,999	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	16,763	11,952	9,949	33,783	38,783	43,783
Human Rights, Division of	10,582	10,263	9,921	9,921	9,921	9,921
Labor, Department of	7,928	12,355	12,736	288	288	288
National and Community Service	687	458	687	687	690	690
Temporary and Disability Assistance, Office of	1,375,282	1,360,435	1,392,278	1,437,283	1,455,683	1,465,483
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	237,279	238,076	224,085	226,160	232,560	240,360
<b>Functional Total</b>	<b>3,243,875</b>	<b>3,361,549</b>	<b>3,319,168</b>	<b>3,407,735</b>	<b>3,452,460</b>	<b>3,489,427</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	25,078	26,276	31,878	34,853	34,853	34,853
<i>OASAS</i>	3,753	4,951	10,553	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	29,472	37,210	39,449	39,972	40,737	41,387
Mental Health, Office of	354,423	285,014	273,111	301,481	312,411	323,614
<i>OMH</i>	7,567	4,778	800	800	800	800
<i>OMH - Other</i>	346,856	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	1,026,736	863,457	658,598	1,050,782	1,206,741	1,319,914
<i>OPWDD</i>	519	84	0	0	0	0
<i>OPWDD - Other</i>	1,026,217	863,373	658,598	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>1,435,709</b>	<b>1,211,957</b>	<b>1,003,036</b>	<b>1,427,088</b>	<b>1,594,742</b>	<b>1,719,768</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,222	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,645,044	2,695,483	2,628,557	2,635,813	2,638,569	2,645,724
Criminal Justice Services, Division of	161,783	162,498	169,370	173,535	173,535	173,535
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	38,108	(11,215)	5,298	5,222	5,222	5,222
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	21,638	23,369	21,306	21,306	21,306	21,306
State Police, Division of	608,608	652,278	611,222	622,384	627,384	627,384
Statewide Financial System	29,264	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	947	1,870	2,788	2,788	2,788	2,788
<b>Functional Total</b>	<b>3,505,023</b>	<b>3,510,462</b>	<b>3,476,981</b>	<b>3,499,494</b>	<b>3,507,309</b>	<b>3,514,529</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,395,047	1,430,239	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Services Corporation, New York State	1,177,916	1,009,146	1,045,919	1,102,574	1,123,170	1,134,672
State University of New York	713,787	551,618	508,699	508,267	507,767	507,434
<b>Functional Total</b>	<b>3,286,750</b>	<b>2,991,003</b>	<b>3,008,693</b>	<b>3,097,093</b>	<b>3,158,117</b>	<b>3,194,710</b>
<b>EDUCATION</b>						
Arts, Council on the	66,103	41,819	45,415	45,155	45,155	45,155
Education, Department of	20,533,668	22,253,306	23,487,868	25,012,465	26,460,287	27,881,454
<i>School Aid</i>	18,415,026	20,133,021	21,101,245	22,579,091	23,895,797	25,211,358
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	667,640	803,704	949,223	893,484	907,110	885,868
<b>Functional Total</b>	<b>20,599,771</b>	<b>22,295,125</b>	<b>23,533,283</b>	<b>25,057,620</b>	<b>26,505,442</b>	<b>27,926,609</b>

**CASH DISBURSEMENTS BY FUNCTION**  
**GENERAL FUND (excludes transfers)**  
(thousands of dollars)

	<u>FY 2015</u> <u>Results</u>	<u>FY 2016</u> <u>Results</u>	<u>FY 2017</u> <u>Updated</u>	<u>FY 2018</u> <u>Projected</u>	<u>FY 2019</u> <u>Projected</u>	<u>FY 2020</u> <u>Projected</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	20,322	21,079	24,422	23,895	23,895	23,895
Civil Service, Department of	12,276	12,951	12,451	12,451	12,544	12,643
Deferred Compensation Board	37	61	57	57	57	57
Elections, State Board of	5,961	7,697	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	0	5,608	6,771	6,771	6,771	6,771
General Services, Office of	142,293	146,202	158,417	157,017	157,017	157,017
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	25,000	25,000	25,000	25,000
Prevention of Domestic Violence, Office for	1,897	2,039	2,376	2,476	2,476	2,576
Public Employment Relations Board	3,096	3,400	3,529	3,529	3,560	3,589
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	19,361	27,242	25,334	18,601	18,601	18,601
Tax Appeals, Division of	2,849	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	273,777	264,676	257,533	256,533	255,908	256,533
Technology, Office for	426,415	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	12,830	12,922	15,438	13,808	13,873	13,873
Welfare Inspector General, Office of	573	569	672	672	686	701
<b>Functional Total</b>	<u>958,692</u>	<u>1,051,952</u>	<u>1,091,575</u>	<u>1,113,017</u>	<u>1,124,555</u>	<u>1,125,667</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	157,820	158,450	162,754	162,404	162,404	162,404
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	2,444,569	2,504,174	2,598,300	2,674,003	2,709,003	2,709,003
Law, Department of	101,795	102,098	102,838	102,838	102,838	103,761
Legislature	205,758	214,111	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	366	499	614	614	614	614
<b>Functional Total</b>	<u>2,924,274</u>	<u>2,993,036</u>	<u>3,095,929</u>	<u>3,171,282</u>	<u>3,206,282</u>	<u>3,207,205</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	726,438	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>765,376</u>	<u>770,971</u>	<u>759,951</u>	<u>792,259</u>	<u>792,896</u>	<u>792,896</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,131,686	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
Miscellaneous	(16,662)	(154,891)	755,197	1,230,305	1,015,650	1,362,956
<b>Functional Total</b>	<u>4,115,024</u>	<u>4,526,708</u>	<u>5,637,849</u>	<u>6,410,282</u>	<u>6,394,352</u>	<u>7,087,707</u>
<b>TOTAL GENERAL FUND SPENDING</b>	<u>54,254,786</u>	<u>56,666,740</u>	<u>59,586,080</u>	<u>63,564,327</u>	<u>66,248,543</u>	<u>69,585,287</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	25,275	27,279	32,271	35,026	25,612	25,612
Economic Development, Department of	36,404	44,963	54,075	54,379	54,379	54,379
Empire State Development Corporation	85,234	58,756	143,835	147,346	147,346	147,346
<b>Functional Total</b>	<b>146,913</b>	<b>130,998</b>	<b>230,181</b>	<b>236,751</b>	<b>227,337</b>	<b>227,337</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	2,889	4,262	5,016	7,305	5,390	5,390
Parks, Recreation and Historic Preservation, Office of	3,957	4,891	3,459	2,750	2,750	2,750
<b>Functional Total</b>	<b>6,846</b>	<b>9,153</b>	<b>8,475</b>	<b>10,055</b>	<b>8,140</b>	<b>8,140</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	97,670	111,351	105,851	100,851	100,851	100,851
<b>Functional Total</b>	<b>97,670</b>	<b>111,351</b>	<b>105,851</b>	<b>100,851</b>	<b>100,851</b>	<b>100,851</b>
<b>HEALTH</b>						
Aging, Office for the	121,870	127,134	129,932	132,712	137,893	143,204
Health, Department of	12,418,332	12,849,883	13,375,386	14,270,991	15,189,179	16,185,311
<i>Medical Assistance</i>	11,161,361	11,551,940	11,816,482	12,723,050	13,647,820	14,651,393
<i>Essential Plan</i>	0	19,215	333,917	344,602	354,940	364,878
<i>Medicaid Administration</i>	515,184	565,205	480,703	449,306	417,908	386,511
<i>Public Health</i>	741,787	713,523	744,284	754,033	768,511	782,529
<b>Functional Total</b>	<b>12,540,202</b>	<b>12,977,017</b>	<b>13,505,318</b>	<b>14,403,703</b>	<b>15,327,072</b>	<b>16,328,515</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,598,052	1,733,442	1,668,758	1,697,004	1,712,384	1,734,551
<i>OCFS</i>	1,512,053	1,644,520	1,578,485	1,605,004	1,620,203	1,640,482
<i>OCFS - Other</i>	85,999	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	10,280	4,764	5,399	29,233	34,233	39,233
Labor, Department of	7,655	12,149	12,448	0	0	0
National and Community Service	450	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,235,758	1,213,432	1,262,098	1,312,119	1,330,519	1,340,319
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	97,755	91,073	93,905	100,996	107,396	115,196
<b>Functional Total</b>	<b>2,852,195</b>	<b>2,963,929</b>	<b>2,949,053</b>	<b>3,038,706</b>	<b>3,077,486</b>	<b>3,114,453</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	25,078	26,276	31,878	34,853	34,853	34,853
<i>OASAS</i>	3,753	4,951	10,553	13,528	13,528	13,528
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	170	114	170	170	170	170
Mental Health, Office of	354,166	284,535	272,311	300,681	311,611	322,814
<i>OMH</i>	7,310	4,299	0	0	0	0
<i>OMH - Other</i>	346,856	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	1,026,736	863,457	658,598	1,050,782	1,206,741	1,319,914
<i>OPWDD</i>	519	84	0	0	0	0
<i>OPWDD - Other</i>	1,026,217	863,373	658,598	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>1,406,150</b>	<b>1,174,382</b>	<b>962,957</b>	<b>1,386,486</b>	<b>1,553,375</b>	<b>1,677,751</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	5,939	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	127,011	127,977	135,649	139,814	139,814	139,814
Disaster Assistance	2,726	0	0	0	0	0
Homeland Security and Emergency Services, Division of	31,256	(15,533)	4,298	4,222	4,222	4,222
Military and Naval Affairs, Division of	724	805	911	911	911	911
Victim Services, Office of	947	1,870	2,788	2,788	2,788	2,788
<b>Functional Total</b>	<b>168,603</b>	<b>119,370</b>	<b>149,143</b>	<b>153,232</b>	<b>153,232</b>	<b>153,232</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,395,047	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Services Corporation, New York State	1,177,916	1,009,146	1,045,919	1,102,574	1,123,170	1,134,672
State University of New York	486,563	500,675	508,699	508,267	507,767	507,434
<b>Functional Total</b>	<b>3,059,526</b>	<b>2,939,283</b>	<b>3,008,693</b>	<b>3,097,093</b>	<b>3,158,117</b>	<b>3,194,710</b>
<b>EDUCATION</b>						
Arts, Council on the	62,791	38,332	41,095	40,835	40,835	40,835
Education, Department of	20,484,699	22,208,311	23,416,380	24,955,482	26,403,304	27,824,471
<i>School Aid</i>	18,415,026	20,133,021	21,101,245	22,579,091	23,895,797	25,211,358
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	618,671	758,709	877,735	836,501	850,127	828,885
<b>Functional Total</b>	<b>20,547,490</b>	<b>22,246,643</b>	<b>23,457,475</b>	<b>24,996,317</b>	<b>26,444,139</b>	<b>27,865,306</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	253	93	0	0	0	0
Prevention of Domestic Violence, Office for	543	575	785	885	885	985
State, Department of	5,671	15,063	12,145	6,440	6,440	6,440
Taxation and Finance, Department of	906	914	926	926	926	926
Veterans' Affairs, Division of	7,486	7,855	9,267	7,637	7,637	7,637
<b>Functional Total</b>	<b>14,859</b>	<b>24,500</b>	<b>23,123</b>	<b>15,888</b>	<b>15,888</b>	<b>15,988</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Judiciary	2,437	2,451	2,400	17,400	17,400	17,400
<b>Functional Total</b>	<u>34,462</u>	<u>34,476</u>	<u>34,424</u>	<u>49,424</u>	<u>49,424</u>	<u>49,424</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	726,338	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>765,276</u>	<u>770,971</u>	<u>759,951</u>	<u>792,259</u>	<u>792,896</u>	<u>792,896</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(48,439)	(187,744)	574,596	686,571	686,571	921,571
<b>Functional Total</b>	<u>(48,439)</u>	<u>(187,744)</u>	<u>574,596</u>	<u>686,571</u>	<u>686,571</u>	<u>921,571</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>41,591,753</u></u>	<u><u>43,314,329</u></u>	<u><u>45,769,240</u></u>	<u><u>48,967,336</u></u>	<u><u>51,594,528</u></u>	<u><u>54,450,174</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	33,220	32,683	31,675	31,675	31,675	31,675
Alcoholic Beverage Control, Division of	0	0	12,258	12,683	12,683	12,744
Economic Development, Department of	18,085	18,785	19,421	18,621	18,621	18,621
Empire State Development Corporation	50	0	0	0	0	0
Olympic Regional Development Authority	3,011	3,011	2,736	2,736	2,736	2,736
<b>Functional Total</b>	<b>54,366</b>	<b>54,479</b>	<b>66,090</b>	<b>65,715</b>	<b>65,715</b>	<b>65,776</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,276	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	91,929	94,414	89,860	94,901	100,922	108,922
Parks, Recreation and Historic Preservation, Office of	110,043	110,956	107,823	107,823	107,823	107,823
<b>Functional Total</b>	<b>206,248</b>	<b>209,667</b>	<b>202,015</b>	<b>207,056</b>	<b>213,077</b>	<b>221,077</b>
<b>TRANSPORTATION</b>						
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	1,082	1,152	1,169	1,169	1,169	1,169
<b>Functional Total</b>	<b>19,423</b>	<b>17,721</b>	<b>1,169</b>	<b>1,169</b>	<b>1,169</b>	<b>1,169</b>
<b>HEALTH</b>						
Aging, Office for the	1,436	1,276	1,231	1,231	1,231	1,231
Health, Department of	326,367	421,696	519,425	542,066	547,936	552,813
<i>Essential Plan</i>	0	13,360	47,655	39,758	39,702	40,934
<i>Medicaid Administration</i>	162,806	271,337	342,534	375,913	381,839	385,384
<i>Public Health</i>	163,561	136,999	129,236	126,395	126,395	126,495
Medicaid Inspector General, Office of the	20,821	20,619	19,860	19,860	19,860	19,860
<b>Functional Total</b>	<b>348,624</b>	<b>443,591</b>	<b>540,516</b>	<b>563,157</b>	<b>569,027</b>	<b>573,904</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	234,581	232,644	224,839	228,769	234,711	234,711
<i>OCFS</i>	234,581	232,644	224,839	228,769	234,711	234,711
Housing and Community Renewal, Division of	6,483	7,188	4,550	4,550	4,550	4,550
Human Rights, Division of	10,582	10,263	9,921	9,921	9,921	9,921
Labor, Department of	273	206	288	288	288	288
National and Community Service	237	316	337	337	340	340
Temporary and Disability Assistance, Office of	139,524	147,003	130,180	125,164	125,164	125,164
<i>All Other</i>	139,524	147,003	130,180	125,164	125,164	125,164
<b>Functional Total</b>	<b>391,680</b>	<b>397,620</b>	<b>370,115</b>	<b>369,029</b>	<b>374,974</b>	<b>374,974</b>
<b>MENTAL HYGIENE</b>						
Justice Center	29,302	37,096	39,279	39,802	40,567	41,217
Mental Health, Office of	257	479	800	800	800	800
<i>OMH</i>	257	479	800	800	800	800
<b>Functional Total</b>	<b>29,559</b>	<b>37,575</b>	<b>40,079</b>	<b>40,602</b>	<b>41,367</b>	<b>42,017</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,222	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,639,105	2,691,232	2,623,060	2,630,316	2,633,072	2,640,227
Criminal Justice Services, Division of	34,772	34,521	33,721	33,721	33,721	33,721
Disaster Assistance	(10,737)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	6,852	4,318	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	20,914	22,564	20,395	20,395	20,395	20,395
State Police, Division of	608,608	652,278	611,222	622,384	627,384	627,384
Statewide Financial System	29,264	30,070	30,137	30,143	30,143	30,143
<b>Functional Total</b>	<b>3,336,420</b>	<b>3,391,092</b>	<b>3,327,838</b>	<b>3,346,262</b>	<b>3,354,077</b>	<b>3,361,297</b>
<b>HIGHER EDUCATION</b>						
City University of New York	0	777	0	0	0	0
State University of New York	9,459	9,841	0	0	0	0
<b>Functional Total</b>	<b>9,459</b>	<b>10,618</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	3,312	3,487	4,320	4,320	4,320	4,320
Education, Department of	48,969	44,995	71,488	56,983	56,983	56,983
<i>All Other</i>	48,969	44,995	71,488	56,983	56,983	56,983
<b>Functional Total</b>	<b>52,281</b>	<b>48,482</b>	<b>75,808</b>	<b>61,303</b>	<b>61,303</b>	<b>61,303</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	20,322	21,079	24,422	23,895	23,895	23,895
Civil Service, Department of	12,276	12,951	12,451	12,451	12,544	12,643
Deferred Compensation Board	37	61	57	57	57	57
Elections, State Board of	5,708	7,604	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	0	5,608	6,771	6,771	6,771	6,771
General Services, Office of	142,293	146,202	158,417	157,017	157,017	157,017
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	25,000	25,000	25,000	25,000
Prevention of Domestic Violence, Office for	1,354	1,464	1,591	1,591	1,591	1,591
Public Employment Relations Board	3,096	3,400	3,529	3,529	3,560	3,589
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
State, Department of	13,690	12,179	13,189	12,161	12,161	12,161
Tax Appeals, Division of	2,849	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	272,871	263,762	256,607	255,607	254,982	255,607
Technology, Office for	426,415	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	5,344	5,067	6,171	6,171	6,236	6,236
Welfare Inspector General, Office of	573	569	672	672	686	701
<b>Functional Total</b>	<b>943,833</b>	<b>1,027,452</b>	<b>1,068,452</b>	<b>1,097,129</b>	<b>1,108,667</b>	<b>1,109,679</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	125,795	126,425	130,730	130,380	130,380	130,380
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	1,805,478	1,838,149	1,914,100	1,914,100	1,939,500	1,942,000
Law, Department of	101,795	102,098	102,838	102,838	102,838	103,761
Legislature	205,758	214,111	217,845	217,845	217,845	217,845
Lieutenant Governor, Office of the	366	499	614	614	614	614
<b>Functional Total</b>	<b>2,253,158</b>	<b>2,294,986</b>	<b>2,379,705</b>	<b>2,379,355</b>	<b>2,404,755</b>	<b>2,408,178</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	100	0	0	0	0	0
<b>Functional Total</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	19,190	21,897	192,369	550,005	335,912	448,912
<b>Functional Total</b>	<b>19,190</b>	<b>21,897</b>	<b>192,369</b>	<b>550,005</b>	<b>335,912</b>	<b>448,912</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>7,664,341</b>	<b>7,955,180</b>	<b>8,264,156</b>	<b>8,680,782</b>	<b>8,530,043</b>	<b>8,668,286</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	25,828	25,269	26,306	26,306	26,306	26,306
Alcoholic Beverage Control, Division of	0	0	8,034	8,147	8,147	8,208
Economic Development, Department of	11,493	11,974	13,226	13,226	13,226	13,226
Olympic Regional Development Authority	2,548	2,548	2,548	2,548	2,548	2,548
<b>Functional Total</b>	<b>39,869</b>	<b>39,791</b>	<b>50,114</b>	<b>50,227</b>	<b>50,227</b>	<b>50,288</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	3,893	4,036	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	82,168	85,641	81,470	81,574	81,574	88,774
Parks, Recreation and Historic Preservation, Office of	105,054	105,937	100,476	100,476	100,476	100,476
<b>Functional Total</b>	<b>191,115</b>	<b>195,614</b>	<b>185,973</b>	<b>186,077</b>	<b>186,077</b>	<b>193,277</b>
<b>HEALTH</b>						
Aging, Office for the	1,256	1,110	1,125	1,125	1,125	1,125
Health, Department of	120,479	119,185	125,539	128,449	134,417	138,006
<i>Essential Plan</i>	0	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	29,326	31,809	40,500	46,310	52,236	55,781
<i>Public Health</i>	91,153	86,985	83,664	80,723	80,723	80,723
Medicaid Inspector General, Office of the	16,617	16,621	15,781	15,781	15,781	15,781
<b>Functional Total</b>	<b>138,352</b>	<b>136,916</b>	<b>142,445</b>	<b>145,355</b>	<b>151,323</b>	<b>154,912</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	167,253	164,764	159,086	159,086	160,669	160,669
<i>OCFS</i>	167,253	164,764	159,086	159,086	160,669	160,669
Housing and Community Renewal, Division of	3,958	4,919	4,199	4,199	4,199	4,199
Human Rights, Division of	8,919	9,398	9,461	9,461	9,461	9,461
Labor, Department of	87	38	88	88	88	88
National and Community Service	229	311	328	328	331	331
Temporary and Disability Assistance, Office of	67,810	69,932	68,985	68,985	68,985	68,985
<i>All Other</i>	67,810	69,932	68,985	68,985	68,985	68,985
<b>Functional Total</b>	<b>248,256</b>	<b>249,362</b>	<b>242,147</b>	<b>242,147</b>	<b>243,733</b>	<b>243,733</b>
<b>MENTAL HYGIENE</b>						
Justice Center	18,713	25,430	26,264	26,421	26,810	27,073
<b>Functional Total</b>	<b>18,713</b>	<b>25,430</b>	<b>26,264</b>	<b>26,421</b>	<b>26,810</b>	<b>27,073</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,037	2,042	2,304	2,365	2,414	2,414
Correctional Services, Department of	2,102,252	2,166,584	2,073,691	2,080,897	2,083,653	2,090,808
Criminal Justice Services, Division of	26,643	24,246	25,190	25,190	25,190	25,190
Disaster Assistance	(9,310)	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	4,789	2,072	1,000	1,000	1,000	1,000
Judicial Conduct, Commission on	4,028	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	15,329	16,694	14,221	14,221	14,221	14,221
State Police, Division of	560,114	607,551	572,903	583,735	583,735	583,735
Statewide Financial System	9,282	10,234	11,350	11,350	11,350	11,350
<b>Functional Total</b>	<b>2,715,164</b>	<b>2,831,863</b>	<b>2,704,940</b>	<b>2,723,039</b>	<b>2,725,875</b>	<b>2,733,065</b>
<b>HIGHER EDUCATION</b>						
State University of New York	276	1,591	0	0	0	0
<b>Functional Total</b>	<b>276</b>	<b>1,591</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	2,132	2,253	2,498	2,498	2,498	2,498
Education, Department of	27,142	27,609	26,365	25,275	25,275	25,275
<i>All Other</i>	27,142	27,609	26,365	25,275	25,275	25,275
<b>Functional Total</b>	<b>29,274</b>	<b>29,862</b>	<b>28,863</b>	<b>27,773</b>	<b>27,773</b>	<b>27,773</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	18,684	18,945	22,216	22,216	22,216	22,216
Civil Service, Department of	11,785	11,407	12,050	12,050	12,138	12,229
Deferred Compensation Board	24	24	32	32	32	32
Elections, State Board of	4,680	5,639	5,909	5,875	6,018	6,063
Employee Relations, Office of	2,178	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	0	3,362	4,282	4,282	4,282	4,282
General Services, Office of	58,419	66,839	73,221	73,221	73,221	73,221
Inspector General, Office of the	6,217	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,402	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,267	1,351	1,374	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	2,899	3,480	4,620	4,620	4,646	4,681
State, Department of	12,113	11,756	11,922	11,922	11,922	11,922
Tax Appeals, Division of	2,688	2,763	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	231,383	247,132	226,554	226,554	226,253	226,554
Technology, Office for	277,996	283,573	284,831	284,801	284,801	284,801
Veterans' Affairs, Division of	4,937	4,731	5,879	5,879	5,938	5,938
Welfare Inspector General, Office of	472	511	617	617	621	626
<b>Functional Total</b>	<b>645,038</b>	<b>679,769</b>	<b>674,221</b>	<b>674,171</b>	<b>674,284</b>	<b>674,894</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	98,621	101,198	102,672	102,672	102,672	102,672
Executive Chamber	10,621	10,669	11,469	11,813	11,813	11,813



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Judiciary	1,422,377	1,451,032	1,497,900	1,497,900	1,523,300	1,525,800
Law, Department of	86,997	89,952	89,405	89,405	89,405	90,070
Legislature	160,777	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	336	513	523	523	523
<b>Functional Total</b>	<u>1,779,692</u>	<u>1,820,631</u>	<u>1,868,290</u>	<u>1,868,644</u>	<u>1,894,044</u>	<u>1,897,209</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	53	63	88,280	124,200	124,200	164,200
<b>Functional Total</b>	<u>53</u>	<u>63</u>	<u>88,280</u>	<u>124,200</u>	<u>124,200</u>	<u>164,200</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>5,805,802</u></u>	<u><u>6,010,892</u></u>	<u><u>6,011,537</u></u>	<u><u>6,068,054</u></u>	<u><u>6,104,346</u></u>	<u><u>6,166,424</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	7,392	7,414	5,369	5,369	5,369	5,369
Alcoholic Beverage Control, Division of	0	0	4,224	4,536	4,536	4,536
Economic Development, Department of	6,592	6,811	6,195	5,395	5,395	5,395
Empire State Development Corporation	50	0	0	0	0	0
Olympic Regional Development Authority	463	463	188	188	188	188
<b>Functional Total</b>	<b>14,497</b>	<b>14,688</b>	<b>15,976</b>	<b>15,488</b>	<b>15,488</b>	<b>15,488</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	383	261	305	305	305	305
Environmental Conservation, Department of	9,761	8,773	8,390	13,327	19,348	20,148
Parks, Recreation and Historic Preservation, Office of	4,989	5,019	7,347	7,347	7,347	7,347
<b>Functional Total</b>	<b>15,133</b>	<b>14,053</b>	<b>16,042</b>	<b>20,979</b>	<b>27,000</b>	<b>27,800</b>
<b>TRANSPORTATION</b>						
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	1,082	1,152	1,169	1,169	1,169	1,169
<b>Functional Total</b>	<b>19,423</b>	<b>17,721</b>	<b>1,169</b>	<b>1,169</b>	<b>1,169</b>	<b>1,169</b>
<b>HEALTH</b>						
Aging, Office for the	180	166	106	106	106	106
Health, Department of	205,888	302,511	393,886	413,617	413,519	414,807
<i>Essential Plan</i>	0	12,969	46,280	38,342	38,244	39,432
<i>Medicaid Administration</i>	133,480	239,528	302,034	329,603	329,603	329,603
<i>Public Health</i>	72,408	50,014	45,572	45,672	45,672	45,772
Medicaid Inspector General, Office of the	4,204	3,998	4,079	4,079	4,079	4,079
<b>Functional Total</b>	<b>210,272</b>	<b>306,675</b>	<b>398,071</b>	<b>417,802</b>	<b>417,704</b>	<b>418,992</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	67,328	67,880	65,753	69,683	74,042	74,042
<i>OCFS</i>	67,328	67,880	65,753	69,683	74,042	74,042
Housing and Community Renewal, Division of	2,525	2,269	351	351	351	351
Human Rights, Division of	1,663	865	460	460	460	460
Labor, Department of	186	168	200	200	200	200
National and Community Service	8	5	9	9	9	9
Temporary and Disability Assistance, Office of	71,714	77,071	61,195	56,179	56,179	56,179
<i>All Other</i>	71,714	77,071	61,195	56,179	56,179	56,179
<b>Functional Total</b>	<b>143,424</b>	<b>148,258</b>	<b>127,968</b>	<b>126,882</b>	<b>131,241</b>	<b>131,241</b>
<b>MENTAL HYGIENE</b>						
Justice Center	10,589	11,666	13,015	13,381	13,757	14,144
Mental Health, Office of	257	479	800	800	800	800
<i>OMH</i>	257	479	800	800	800	800
<b>Functional Total</b>	<b>10,846</b>	<b>12,145</b>	<b>13,815</b>	<b>14,181</b>	<b>14,557</b>	<b>14,944</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	185	255	347	286	237	237
Correctional Services, Department of	536,853	524,648	549,369	549,419	549,419	549,419
Criminal Justice Services, Division of	8,129	10,275	8,531	8,531	8,531	8,531
Disaster Assistance	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	2,063	2,246	0	0	0	0
Judicial Conduct, Commission on	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	5,585	5,870	6,174	6,174	6,174	6,174
State Police, Division of	48,494	44,727	38,319	38,649	43,649	43,649
Statewide Financial System	19,982	19,836	18,787	18,793	18,793	18,793
<b>Functional Total</b>	<b>621,256</b>	<b>559,229</b>	<b>622,898</b>	<b>623,223</b>	<b>628,202</b>	<b>628,232</b>
<b>HIGHER EDUCATION</b>						
City University of New York	0	777	0	0	0	0
State University of New York	9,183	8,250	0	0	0	0
<b>Functional Total</b>	<b>9,183</b>	<b>9,027</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	1,180	1,234	1,822	1,822	1,822	1,822
Education, Department of	21,827	17,386	45,123	31,708	31,708	31,708
<i>All Other</i>	21,827	17,386	45,123	31,708	31,708	31,708
<b>Functional Total</b>	<b>23,007</b>	<b>18,620</b>	<b>46,945</b>	<b>33,530</b>	<b>33,530</b>	<b>33,530</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,638	2,134	2,206	1,679	1,679	1,679
Civil Service, Department of	491	1,544	401	401	406	414
Deferred Compensation Board	13	37	25	25	25	25
Elections, State Board of	1,028	1,965	2,573	2,607	2,569	2,634
Employee Relations, Office of	32	47	71	71	72	73
Gaming Commission, New York State	0	2,246	2,489	2,489	2,489	2,489
General Services, Office of	83,874	79,363	85,196	83,796	83,796	83,796
Inspector General, Office of the	852	831	815	815	827	839
Labor Management Committees	17,696	18,263	19,554	19,554	19,554	19,513
Prevention of Domestic Violence, Office for	87	113	217	203	203	203
Public Employment Relations Board	202	193	193	193	197	201
Public Integrity, Commission on	729	852	911	911	930	949

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
State, Department of	1,577	423	1,267	239	239	239
Tax Appeals, Division of	161	272	170	170	170	170
Taxation and Finance, Department of	41,488	16,630	30,053	29,053	28,729	29,053
Technology, Office for	148,419	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	407	336	292	292	298	298
Welfare Inspector General, Office of	101	58	55	55	65	75
<b>Functional Total</b>	<b>298,795</b>	<b>347,683</b>	<b>394,231</b>	<b>422,958</b>	<b>434,383</b>	<b>434,785</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	27,174	25,227	28,058	27,708	27,708	27,708
Executive Chamber	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	383,101	387,117	416,200	416,200	416,200	416,200
Law, Department of	14,798	12,146	13,433	13,433	13,433	13,691
Legislature	44,981	46,667	51,514	51,514	51,514	51,514
Lieutenant Governor, Office of the	67	163	101	91	91	91
<b>Functional Total</b>	<b>473,466</b>	<b>474,355</b>	<b>511,415</b>	<b>510,711</b>	<b>510,711</b>	<b>510,969</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	100	0	0	0	0	0
<b>Functional Total</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	19,137	21,834	104,089	425,805	211,712	284,712
<b>Functional Total</b>	<b>19,137</b>	<b>21,834</b>	<b>104,089</b>	<b>425,805</b>	<b>211,712</b>	<b>284,712</b>
<b>TOTAL NON-PERSONAL SERVICE SPENDING</b>	<b>1,858,539</b>	<b>1,944,288</b>	<b>2,252,619</b>	<b>2,612,728</b>	<b>2,425,697</b>	<b>2,501,862</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>HIGHER EDUCATION</b>						
State University of New York	217,765	41,102	0	0	0	0
<b>Functional Total</b>	<u>217,765</u>	<u>41,102</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	636,654	663,574	681,800	742,503	752,103	749,603
<b>Functional Total</b>	<u>636,654</u>	<u>663,574</u>	<u>681,800</u>	<u>742,503</u>	<u>752,103</u>	<u>749,603</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,131,686	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
Miscellaneous	12,587	10,956	(11,768)	(6,271)	(6,833)	(7,527)
<b>Functional Total</b>	<u>4,144,273</u>	<u>4,692,555</u>	<u>4,870,884</u>	<u>5,173,706</u>	<u>5,371,869</u>	<u>5,717,224</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>4,998,692</u></u>	<u><u>5,397,231</u></u>	<u><u>5,552,684</u></u>	<u><u>5,916,209</u></u>	<u><u>6,123,972</u></u>	<u><u>6,466,827</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b>Agriculture and Markets, Department of</b>	<b>79,519</b>	<b>83,541</b>	<b>73,968</b>	<b>73,284</b>	<b>79,734</b>	<b>79,803</b>
Local Assistance Grants	25,275	27,279	32,271	35,026	25,612	25,612
State Operations	52,866	54,745	40,275	36,780	52,599	52,604
Personal Service	28,342	27,923	29,020	29,025	29,030	29,035
Non-Personal Service/Indirect Costs	24,524	26,822	11,255	7,755	23,569	23,569
General State Charges	1,378	1,517	1,422	1,478	1,523	1,587
<b>Alcoholic Beverage Control, Division of</b>	<b>17,636</b>	<b>17,277</b>	<b>12,836</b>	<b>12,683</b>	<b>12,683</b>	<b>12,744</b>
State Operations	13,095	12,501	12,683	12,683	12,683	12,744
Personal Service	7,657	7,622	8,147	8,147	8,147	8,208
Non-Personal Service/Indirect Costs	5,438	4,879	4,536	4,536	4,536	4,536
General State Charges	4,541	4,776	153	0	0	0
<b>Economic Development, Department of</b>	<b>56,467</b>	<b>82,617</b>	<b>77,474</b>	<b>79,978</b>	<b>79,978</b>	<b>79,978</b>
Local Assistance Grants	36,404	62,153	56,075	59,379	59,379	59,379
State Operations	20,063	20,464	21,371	20,571	20,571	20,571
Personal Service	11,493	11,974	13,329	13,329	13,329	13,329
Non-Personal Service/Indirect Costs	8,570	8,490	8,042	7,242	7,242	7,242
General State Charges	0	0	28	28	28	28
<b>Empire State Development Corporation</b>	<b>85,284</b>	<b>58,756</b>	<b>143,835</b>	<b>147,346</b>	<b>147,346</b>	<b>147,346</b>
Local Assistance Grants	85,234	58,756	143,835	147,346	147,346	147,346
State Operations	50	0	0	0	0	0
Non-Personal Service/Indirect Costs	50	0	0	0	0	0
<b>Energy Research and Development Authority</b>	<b>11,972</b>	<b>3,808</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	5,527	1,842	0	0	0	0
State Operations	4,841	1,431	0	0	0	0
Personal Service	3,622	1,024	0	0	0	0
Non-Personal Service/Indirect Costs	1,219	407	0	0	0	0
General State Charges	1,604	535	0	0	0	0
<b>Financial Services, Department of</b>	<b>491,138</b>	<b>360,052</b>	<b>350,490</b>	<b>359,586</b>	<b>362,129</b>	<b>370,016</b>
Local Assistance Grants	223,476	80,686	57,174	57,049	57,049	57,049
State Operations	191,287	201,930	210,626	211,926	211,926	215,831
Personal Service	137,783	149,000	154,155	155,905	155,905	156,590
Non-Personal Service/Indirect Costs	53,504	52,930	56,471	56,021	56,021	59,241
General State Charges	76,375	77,436	82,690	90,611	93,154	97,136
<b>Olympic Regional Development Authority</b>	<b>3,011</b>	<b>3,111</b>	<b>2,886</b>	<b>2,886</b>	<b>2,886</b>	<b>2,886</b>
State Operations	3,011	3,091	2,886	2,886	2,886	2,886
Personal Service	2,548	2,593	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	463	498	338	338	338	338
General State Charges	0	20	0	0	0	0
<b>Public Service Department</b>	<b>69,084</b>	<b>68,475</b>	<b>73,026</b>	<b>74,271</b>	<b>75,670</b>	<b>75,670</b>
Local Assistance Grants	0	0	172	172	172	172
State Operations	47,496	49,483	49,347	49,358	49,358	49,358
Personal Service	39,760	40,858	41,886	41,903	41,903	41,903
Non-Personal Service/Indirect Costs	7,736	8,625	7,461	7,455	7,455	7,455
General State Charges	21,588	18,992	23,507	24,741	26,140	26,140
<b>Functional Total</b>	<b>814,111</b>	<b>677,637</b>	<b>734,515</b>	<b>750,034</b>	<b>760,426</b>	<b>768,443</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b>Adirondack Park Agency</b>	<b>4,276</b>	<b>4,297</b>	<b>4,332</b>	<b>4,332</b>	<b>4,332</b>	<b>4,332</b>
State Operations	4,276	4,297	4,332	4,332	4,332	4,332
Personal Service	3,893	4,036	4,027	4,027	4,027	4,027
Non-Personal Service/Indirect Costs	383	261	305	305	305	305
<b>Environmental Conservation, Department of</b>	<b>277,931</b>	<b>287,360</b>	<b>281,647</b>	<b>285,372</b>	<b>284,434</b>	<b>284,795</b>
Local Assistance Grants	2,889	4,262	5,016	7,305	5,390	5,390
State Operations	229,795	237,912	229,041	228,892	229,561	230,451
Personal Service	174,406	181,598	174,466	174,319	174,600	174,717
Non-Personal Service/Indirect Costs	55,389	56,314	54,575	54,573	54,961	55,734
General State Charges	45,247	45,186	47,590	49,175	49,483	48,954
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>191,888</b>	<b>195,155</b>	<b>191,463</b>	<b>190,350</b>	<b>186,625</b>	<b>186,625</b>
Local Assistance Grants	8,444	9,645	8,909	8,200	8,200	8,200
State Operations	179,509	180,898	176,737	176,737	175,487	175,487
Personal Service	133,928	135,656	131,683	131,683	131,433	131,433
Non-Personal Service/Indirect Costs	45,581	45,242	45,054	45,054	44,054	44,054
General State Charges	2,829	2,863	3,317	2,938	2,938	2,938
Capital Projects	1,106	1,749	2,500	2,475	0	0
<b>Functional Total</b>	<b>474,095</b>	<b>486,812</b>	<b>477,442</b>	<b>480,054</b>	<b>475,391</b>	<b>475,752</b>
<b>TRANSPORTATION</b>						
<b>Motor Vehicles, Department of</b>	<b>81,206</b>	<b>83,762</b>	<b>69,121</b>	<b>70,628</b>	<b>70,706</b>	<b>70,706</b>
State Operations	58,500	59,397	49,322	49,972	49,972	49,972
Personal Service	43,692	43,684	35,195	35,845	35,845	35,845
Non-Personal Service/Indirect Costs	14,808	15,713	14,127	14,127	14,127	14,127
General State Charges	22,591	24,365	19,799	20,656	20,734	20,734
Capital Projects	115	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Thruway Authority, New York State</b>	<b>18,341</b>	<b>16,569</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	18,341	16,569	0	0	0	0
Non-Personal Service/Indirect Costs	18,341	16,569	0	0	0	0
<b>Transportation, Department of</b>	<b>4,860,960</b>	<b>4,767,454</b>	<b>4,956,078</b>	<b>5,041,309</b>	<b>5,096,519</b>	<b>5,187,174</b>
Local Assistance Grants	4,834,115	4,745,228	4,931,339	5,015,869	5,070,832	5,161,487
State Operations	22,502	18,588	20,625	20,812	20,812	20,812
Personal Service	6,980	6,407	7,167	7,347	7,347	7,347
Non-Personal Service/Indirect Costs	15,522	12,181	13,458	13,465	13,465	13,465
General State Charges	4,242	3,638	4,114	4,628	4,875	4,875
Capital Projects	101	0	0	0	0	0
<b>Functional Total</b>	<b>4,960,507</b>	<b>4,867,785</b>	<b>5,025,199</b>	<b>5,111,937</b>	<b>5,167,225</b>	<b>5,257,880</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>123,306</b>	<b>128,410</b>	<b>131,164</b>	<b>133,944</b>	<b>139,125</b>	<b>144,436</b>
Local Assistance Grants	121,870	127,134	129,932	132,712	137,893	143,204
State Operations	1,436	1,276	1,232	1,232	1,232	1,232
Personal Service	1,256	1,110	1,125	1,125	1,125	1,125
Non-Personal Service/Indirect Costs	180	166	107	107	107	107
<b>Health, Department of</b>	<b>19,114,503</b>	<b>19,823,519</b>	<b>20,446,903</b>	<b>21,305,851</b>	<b>22,234,415</b>	<b>23,250,976</b>
<b>Medical Assistance</b>	<b>16,275,220</b>	<b>16,868,571</b>	<b>17,319,025</b>	<b>18,139,694</b>	<b>19,054,944</b>	<b>19,939,376</b>
Local Assistance Grants	16,275,220	16,868,571	17,319,025	18,139,694	19,054,944	19,939,376
<b>Essential Plan</b>	<b>0</b>	<b>32,575</b>	<b>381,572</b>	<b>384,360</b>	<b>394,642</b>	<b>405,812</b>
Local Assistance Grants	0	19,215	333,917	344,602	354,940	364,878
State Operations	0	13,360	47,655	39,758	39,702	40,934
Personal Service	0	391	1,375	1,416	1,458	1,502
Non-Personal Service/Indirect Costs	0	12,969	46,280	38,342	38,244	39,432
<b>Medicaid Administration</b>	<b>677,990</b>	<b>836,542</b>	<b>823,237</b>	<b>825,219</b>	<b>799,747</b>	<b>771,895</b>
Local Assistance Grants	515,184	565,205	480,703	449,306	417,908	386,511
State Operations	162,806	271,337	342,534	375,913	381,839	385,384
Personal Service	29,326	31,809	40,500	46,310	52,236	55,781
Non-Personal Service/Indirect Costs	133,480	239,528	302,034	329,603	329,603	329,603
<b>Public Health</b>	<b>2,161,293</b>	<b>2,085,831</b>	<b>1,923,069</b>	<b>1,956,578</b>	<b>1,985,082</b>	<b>2,133,893</b>
Local Assistance Grants	1,718,844	1,647,316	1,510,548	1,549,866	1,578,328	1,726,614
State Operations	411,563	406,943	383,166	377,356	377,396	377,916
Personal Service	229,575	229,651	213,157	209,270	209,272	209,277
Non-Personal Service/Indirect Costs	181,988	177,292	170,009	168,086	168,124	168,639
General State Charges	30,886	31,572	29,355	29,356	29,358	29,363
<b>Medicaid Inspector General, Office of the</b>	<b>20,821</b>	<b>20,619</b>	<b>19,860</b>	<b>19,860</b>	<b>19,860</b>	<b>19,860</b>
State Operations	20,821	20,619	19,860	19,860	19,860	19,860
Personal Service	16,617	16,621	15,781	15,781	15,781	15,781
Non-Personal Service/Indirect Costs	4,204	3,998	4,079	4,079	4,079	4,079
<b>Stem Cell and Innovation</b>	<b>33,483</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	33,294	0	0	0	0	0
Personal Service	368	0	0	0	0	0
Non-Personal Service/Indirect Costs	32,926	0	0	0	0	0
General State Charges	189	0	0	0	0	0
<b>Functional Total</b>	<b>19,292,113</b>	<b>19,972,548</b>	<b>20,597,927</b>	<b>21,459,655</b>	<b>22,393,400</b>	<b>23,415,272</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>1,864,842</b>	<b>2,001,389</b>	<b>1,921,106</b>	<b>1,951,279</b>	<b>1,973,214</b>	<b>1,995,381</b>
<b>OCFS</b>	<b>1,778,843</b>	<b>1,912,467</b>	<b>1,830,833</b>	<b>1,859,279</b>	<b>1,881,033</b>	<b>1,901,312</b>
Local Assistance Grants	1,514,779	1,646,887	1,582,067	1,608,586	1,623,785	1,644,064
State Operations	263,693	262,651	245,348	247,275	253,830	253,830
Personal Service	170,077	167,328	162,390	162,387	164,002	164,002
Non-Personal Service/Indirect Costs	93,616	95,323	82,958	84,888	89,828	89,828
General State Charges	371	2,929	3,418	3,418	3,418	3,418
<b>OCFS - Other</b>	<b>85,999</b>	<b>88,922</b>	<b>90,273</b>	<b>92,000</b>	<b>92,181</b>	<b>94,069</b>
Local Assistance Grants	85,999	88,922	90,273	92,000	92,181	94,069
<b>Housing and Community Renewal, Division of</b>	<b>77,527</b>	<b>71,365</b>	<b>71,878</b>	<b>95,712</b>	<b>100,712</b>	<b>105,712</b>
Local Assistance Grants	11,531	5,059	6,251	30,085	35,085	40,085
State Operations	51,036	50,330	49,246	49,246	49,246	49,246
Personal Service	38,995	38,788	40,403	40,403	40,403	40,403
Non-Personal Service/Indirect Costs	12,041	11,542	8,843	8,843	8,843	8,843
General State Charges	14,960	15,976	16,381	16,381	16,381	16,381
<b>Human Rights, Division of</b>	<b>10,582</b>	<b>10,263</b>	<b>9,921</b>	<b>9,921</b>	<b>9,921</b>	<b>9,921</b>
State Operations	10,582	10,263	9,921	9,921	9,921	9,921
Personal Service	8,919	9,398	9,461	9,461	9,461	9,461
Non-Personal Service/Indirect Costs	1,663	865	460	460	460	460
<b>Labor, Department of</b>	<b>71,294</b>	<b>72,790</b>	<b>74,567</b>	<b>62,119</b>	<b>62,119</b>	<b>62,119</b>
Local Assistance Grants	7,664	12,207	11,598	(850)	(850)	(850)
State Operations	45,973	46,543	46,019	46,019	46,019	46,019
Personal Service	30,078	32,557	32,118	32,118	32,118	32,118
Non-Personal Service/Indirect Costs	15,895	13,986	13,901	13,901	13,901	13,901

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
General State Charges	17,657	14,040	16,950	16,950	16,950	16,950
<b>National and Community Service</b>	<b>687</b>	<b>458</b>	<b>687</b>	<b>687</b>	<b>690</b>	<b>690</b>
Local Assistance Grants	450	142	350	350	350	350
State Operations	237	316	337	337	340	340
Personal Service	229	311	328	328	331	331
Non-Personal Service/Indirect Costs	8	5	9	9	9	9
<b>Temporary and Disability Assistance, Office of</b>	<b>1,375,356</b>	<b>1,360,670</b>	<b>1,392,478</b>	<b>1,437,483</b>	<b>1,455,883</b>	<b>1,465,683</b>
<b>Welfare Assistance</b>	<b>1,138,003</b>	<b>1,122,359</b>	<b>1,168,193</b>	<b>1,211,123</b>	<b>1,223,123</b>	<b>1,225,123</b>
Local Assistance Grants	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<b>All Other</b>	<b>237,353</b>	<b>238,311</b>	<b>224,285</b>	<b>226,360</b>	<b>232,760</b>	<b>240,560</b>
Local Assistance Grants	97,755	91,162	93,905	100,996	107,396	115,196
State Operations	139,598	147,079	130,380	125,364	125,364	125,364
Personal Service	67,810	69,932	68,985	68,985	68,985	68,985
Non-Personal Service/Indirect Costs	71,788	77,147	61,395	56,379	56,379	56,379
General State Charges	0	70	0	0	0	0
<b>Functional Total</b>	<b>3,400,288</b>	<b>3,516,935</b>	<b>3,470,637</b>	<b>3,557,201</b>	<b>3,602,539</b>	<b>3,639,506</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>409,706</b>	<b>419,184</b>	<b>427,552</b>	<b>460,336</b>	<b>483,232</b>	<b>505,133</b>
<b>OASAS</b>	<b>325,791</b>	<b>332,361</b>	<b>345,163</b>	<b>377,171</b>	<b>399,136</b>	<b>419,977</b>
Local Assistance Grants	282,132	285,592	298,633	329,074	349,748	369,626
State Operations	30,187	33,651	30,724	31,068	31,764	32,237
Personal Service	20,250	19,602	23,769	23,922	24,441	24,704
Non-Personal Service/Indirect Costs	9,937	14,049	6,955	7,146	7,323	7,533
General State Charges	13,472	13,118	15,806	17,029	17,624	18,114
<b>OASAS - Other</b>	<b>83,915</b>	<b>86,823</b>	<b>82,389</b>	<b>83,165</b>	<b>84,096</b>	<b>85,156</b>
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	44,341	46,573	42,018	42,318	42,761	43,331
Personal Service	32,857	34,515	32,385	32,498	32,735	33,067
Non-Personal Service/Indirect Costs	11,484	12,058	9,633	9,820	10,026	10,264
General State Charges	18,249	18,925	19,046	19,522	20,010	20,500
<b>Justice Center</b>	<b>31,652</b>	<b>39,478</b>	<b>41,990</b>	<b>42,623</b>	<b>43,523</b>	<b>44,218</b>
Local Assistance Grants	600	544	649	649	649	649
State Operations	30,470	38,304	40,602	41,172	41,994	42,671
Personal Service	19,845	26,608	27,551	27,754	28,199	28,488
Non-Personal Service/Indirect Costs	10,625	11,696	13,051	13,418	13,795	14,183
General State Charges	582	630	739	802	880	898
<b>Mental Health, Office of</b>	<b>3,146,926</b>	<b>3,165,602</b>	<b>3,129,665</b>	<b>3,265,556</b>	<b>3,461,187</b>	<b>3,562,312</b>
<b>OMH</b>	<b>1,342,969</b>	<b>1,366,837</b>	<b>1,433,530</b>	<b>1,533,977</b>	<b>1,675,652</b>	<b>1,729,884</b>
Local Assistance Grants	810,344	862,255	918,610	1,007,794	1,134,177	1,178,643
State Operations	336,351	354,871	345,089	349,209	354,720	359,125
Personal Service	270,100	289,883	297,625	300,137	304,167	307,203
Non-Personal Service/Indirect Costs	66,251	64,988	47,464	49,072	50,553	51,922
General State Charges	196,274	149,711	169,831	176,974	186,755	192,116
<b>OMH - Other</b>	<b>1,803,957</b>	<b>1,798,765</b>	<b>1,696,135</b>	<b>1,731,579</b>	<b>1,785,535</b>	<b>1,832,428</b>
Local Assistance Grants	346,856	280,236	272,311	300,681	311,611	322,814
State Operations	1,047,217	1,062,786	985,485	981,415	997,917	1,017,251
Personal Service	807,039	833,717	760,485	752,989	763,342	775,695
Non-Personal Service/Indirect Costs	240,178	229,069	225,000	228,426	234,575	241,556
General State Charges	409,884	455,743	438,339	449,483	476,007	492,363
<b>Mental Hygiene, Department of</b>	<b>228</b>	<b>219</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	228	219	0	0	0	0
Non-Personal Service/Indirect Costs	228	219	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>3,465,088</b>	<b>3,183,985</b>	<b>2,953,581</b>	<b>3,416,122</b>	<b>3,656,187</b>	<b>3,857,419</b>
<b>OPWDD</b>	<b>433,907</b>	<b>331,163</b>	<b>367,307</b>	<b>420,984</b>	<b>469,580</b>	<b>524,176</b>
Local Assistance Grants	433,850	331,146	367,126	420,803	469,399	523,995
State Operations	57	17	181	181	181	181
Non-Personal Service/Indirect Costs	57	17	181	181	181	181
<b>OPWDD - Other</b>	<b>3,031,181</b>	<b>2,852,822</b>	<b>2,586,274</b>	<b>2,995,138</b>	<b>3,186,607</b>	<b>3,333,243</b>
Local Assistance Grants	1,027,577	864,528	658,598	1,050,782	1,206,741	1,319,914
State Operations	1,374,122	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
Personal Service	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
Non-Personal Service/Indirect Costs	261,618	215,819	196,694	197,090	201,860	206,914
General State Charges	629,482	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>7,053,600</b>	<b>6,808,468</b>	<b>6,552,788</b>	<b>7,184,637</b>	<b>7,644,129</b>	<b>7,969,082</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,222</b>	<b>2,297</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>
State Operations	2,222	2,297	2,651	2,651	2,651	2,651
Personal Service	2,037	2,042	2,304	2,365	2,414	2,414
Non-Personal Service/Indirect Costs	185	255	347	286	237	237
<b>Correctional Services, Department of</b>	<b>2,647,170</b>	<b>2,696,856</b>	<b>2,631,535</b>	<b>2,638,795</b>	<b>2,641,551</b>	<b>2,648,706</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Local Assistance Grants	5,939	4,251	5,497	5,497	5,497	5,497
State Operations	2,641,151	2,692,513	2,625,906	2,633,162	2,635,918	2,643,073
Personal Service	2,102,399	2,166,752	2,073,910	2,081,116	2,083,872	2,091,027
Non-Personal Service/Indirect Costs	538,752	525,761	551,996	552,046	552,046	552,046
General State Charges	80	92	132	136	136	136
<b>Criminal Justice Services, Division of</b>	<b>194,721</b>	<b>198,307</b>	<b>206,267</b>	<b>196,132</b>	<b>196,132</b>	<b>196,132</b>
Local Assistance Grants	156,998	162,227	167,386	157,251	157,251	157,251
State Operations	37,663	36,039	38,793	38,793	38,793	38,793
Personal Service	26,862	24,588	25,582	25,582	25,582	25,582
Non-Personal Service/Indirect Costs	10,801	11,451	13,211	13,211	13,211	13,211
General State Charges	60	41	88	88	88	88
<b>Disaster Assistance</b>	<b>(8,011)</b>	<b>(51,789)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	2,726	0	0	0	0	0
State Operations	(10,737)	(51,789)	0	0	0	0
Personal Service	(9,310)	(1,768)	0	0	0	0
Non-Personal Service/Indirect Costs	(1,427)	(50,021)	0	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>103,159</b>	<b>50,307</b>	<b>70,282</b>	<b>92,412</b>	<b>112,401</b>	<b>96,675</b>
Local Assistance Grants	65,440	18,169	43,674	65,783	85,749	70,023
State Operations	37,332	31,631	25,756	25,756	25,756	25,756
Personal Service	14,217	13,037	14,628	14,628	14,628	14,628
Non-Personal Service/Indirect Costs	23,115	18,594	11,128	11,128	11,128	11,128
General State Charges	387	507	852	873	896	896
<b>Indigent Legal Services, Office of</b>	<b>52,689</b>	<b>60,116</b>	<b>86,695</b>	<b>105,295</b>	<b>105,295</b>	<b>105,295</b>
Local Assistance Grants	51,123	58,068	83,000	101,600	101,600	101,600
State Operations	1,051	1,449	2,739	2,739	2,739	2,739
Personal Service	928	1,211	2,204	2,204	2,204	2,204
Non-Personal Service/Indirect Costs	123	238	535	535	535	535
General State Charges	515	599	956	956	956	956
<b>Judicial Conduct, Commission on</b>	<b>5,384</b>	<b>5,567</b>	<b>5,584</b>	<b>5,584</b>	<b>5,643</b>	<b>5,708</b>
State Operations	5,384	5,567	5,584	5,584	5,643	5,708
Personal Service	4,028	4,208	4,281	4,281	4,312	4,347
Non-Personal Service/Indirect Costs	1,356	1,359	1,303	1,303	1,331	1,361
<b>Judicial Nomination, Commission on</b>	<b>24</b>	<b>20</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	24	20	30	30	30	30
Non-Personal Service/Indirect Costs	24	20	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>12</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	12	14	38	38	38	38
Non-Personal Service/Indirect Costs	12	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>25,302</b>	<b>26,849</b>	<b>22,661</b>	<b>22,661</b>	<b>22,661</b>	<b>22,661</b>
Local Assistance Grants	724	805	911	911	911	911
State Operations	24,261	26,051	21,736	21,736	21,736	21,736
Personal Service	15,759	17,129	14,355	14,355	14,355	14,355
Non-Personal Service/Indirect Costs	8,502	8,922	7,381	7,381	7,381	7,381
General State Charges	317	(7)	14	14	14	14
<b>State Police, Division of</b>	<b>669,396</b>	<b>695,750</b>	<b>702,990</b>	<b>719,894</b>	<b>720,473</b>	<b>721,383</b>
State Operations	666,777	693,311	685,412	696,574	696,574	696,574
Personal Service	571,632	618,908	619,909	630,741	630,741	630,741
Non-Personal Service/Indirect Costs	95,145	74,403	65,503	65,833	65,833	65,833
General State Charges	2,619	2,439	17,578	23,320	23,899	24,809
<b>Statewide Financial System</b>	<b>31,959</b>	<b>30,070</b>	<b>30,137</b>	<b>30,143</b>	<b>30,143</b>	<b>30,143</b>
State Operations	31,959	30,070	30,137	30,143	30,143	30,143
Personal Service	9,622	10,234	11,350	11,350	11,350	11,350
Non-Personal Service/Indirect Costs	22,337	19,836	18,787	18,793	18,793	18,793
<b>Victim Services, Office of</b>	<b>30,870</b>	<b>24,469</b>	<b>33,560</b>	<b>43,420</b>	<b>43,420</b>	<b>43,420</b>
Local Assistance Grants	25,567	19,401	27,419	37,279	37,279	37,279
State Operations	3,712	3,533	3,951	3,951	3,951	3,951
Personal Service	3,162	3,154	3,176	3,176	3,176	3,176
Non-Personal Service/Indirect Costs	550	379	775	775	775	775
General State Charges	1,591	1,535	2,190	2,190	2,190	2,190
<b>Functional Total</b>	<b>3,754,897</b>	<b>3,738,833</b>	<b>3,792,430</b>	<b>3,857,055</b>	<b>3,880,438</b>	<b>3,872,842</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,482,817</b>	<b>1,524,823</b>	<b>1,547,601</b>	<b>1,581,176</b>	<b>1,623,527</b>	<b>1,650,400</b>
Local Assistance Grants	1,395,047	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
State Operations	80,053	87,469	85,634	87,032	88,455	89,904
Personal Service	48,140	46,870	45,402	45,996	46,598	47,210
Non-Personal Service/Indirect Costs	31,913	40,599	40,232	41,036	41,857	42,694
General State Charges	7,717	7,892	7,892	7,892	7,892	7,892
<b>Higher Education - Miscellaneous</b>	<b>300</b>	<b>259</b>	<b>390</b>	<b>390</b>	<b>390</b>	<b>390</b>
State Operations	217	198	291	291	291	291
Personal Service	146	133	198	198	198	198
Non-Personal Service/Indirect Costs	71	65	93	93	93	93
General State Charges	83	61	99	99	99	99
<b>Higher Education Services Corporation, New York State</b>	<b>1,267,122</b>	<b>1,077,526</b>	<b>1,097,762</b>	<b>1,154,829</b>	<b>1,175,425</b>	<b>1,186,927</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Local Assistance Grants	1,209,916	1,025,146	1,045,919	1,102,574	1,123,170	1,134,672
State Operations	43,245	43,763	41,277	41,277	41,277	41,277
Personal Service	16,369	15,253	15,300	15,300	15,300	15,300
Non-Personal Service/Indirect Costs	26,876	28,510	25,977	25,977	25,977	25,977
General State Charges	13,961	8,617	10,566	10,978	10,978	10,978
<b>State University of New York</b>	<b>6,794,734</b>	<b>6,778,626</b>	<b>6,804,144</b>	<b>6,883,401</b>	<b>6,986,506</b>	<b>7,095,422</b>
Local Assistance Grants	486,563	500,675	508,699	508,267	507,767	507,434
State Operations	5,773,806	5,866,320	5,919,694	5,993,927	6,091,994	6,195,622
Personal Service	3,502,897	3,628,296	3,677,849	3,712,200	3,765,056	3,821,795
Non-Personal Service/Indirect Costs	2,270,909	2,238,024	2,241,845	2,281,727	2,326,938	2,373,827
General State Charges	534,365	411,631	375,751	381,207	386,745	392,366
<b>Functional Total</b>	<b>9,544,973</b>	<b>9,381,234</b>	<b>9,449,897</b>	<b>9,619,796</b>	<b>9,785,848</b>	<b>9,933,139</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>66,103</b>	<b>41,819</b>	<b>45,513</b>	<b>45,253</b>	<b>45,253</b>	<b>45,253</b>
Local Assistance Grants	62,791	38,332	41,193	40,933	40,933	40,933
State Operations	3,312	3,487	4,320	4,320	4,320	4,320
Personal Service	2,132	2,253	2,498	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,180	1,234	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>27,174,390</b>	<b>28,884,200</b>	<b>30,167,376</b>	<b>31,440,560</b>	<b>32,815,721</b>	<b>34,228,606</b>
<b>School Aid</b>	<b>21,630,610</b>	<b>23,302,030</b>	<b>24,422,245</b>	<b>25,898,039</b>	<b>27,195,797</b>	<b>28,555,358</b>
Local Assistance Grants	21,630,610	23,302,030	24,422,245	25,898,039	27,195,797	28,555,358
<b>STAR Property Tax Relief</b>	<b>3,296,950</b>	<b>3,334,700</b>	<b>3,227,844</b>	<b>2,976,792</b>	<b>2,921,232</b>	<b>2,869,171</b>
Local Assistance Grants	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<b>Special Education Categorical Programs</b>	<b>1,451,002</b>	<b>1,316,581</b>	<b>1,437,400</b>	<b>1,539,890</b>	<b>1,657,380</b>	<b>1,784,228</b>
Local Assistance Grants	1,451,002	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<b>All Other</b>	<b>795,828</b>	<b>930,889</b>	<b>1,079,887</b>	<b>1,025,839</b>	<b>1,041,312</b>	<b>1,019,849</b>
Local Assistance Grants	629,621	768,228	890,768	849,534	863,160	841,918
State Operations	133,491	130,640	155,160	140,655	140,655	140,475
Personal Service	84,014	85,429	84,643	83,553	83,553	83,425
Non-Personal Service/Indirect Costs	49,477	45,211	70,517	57,102	57,102	57,050
General State Charges	32,716	32,021	33,959	35,650	37,497	37,456
<b>Functional Total</b>	<b>27,240,493</b>	<b>28,926,019</b>	<b>30,212,889</b>	<b>31,485,813</b>	<b>32,860,974</b>	<b>34,273,859</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>23,809</b>	<b>24,227</b>	<b>31,022</b>	<b>30,596</b>	<b>30,596</b>	<b>30,596</b>
State Operations	22,834	23,396	29,465	28,939	28,939	28,939
Personal Service	20,223	20,436	24,514	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	2,611	2,960	4,951	4,372	4,372	4,372
General State Charges	975	831	1,557	1,657	1,657	1,657
<b>Civil Service, Department of</b>	<b>13,196</b>	<b>13,424</b>	<b>13,381</b>	<b>13,381</b>	<b>13,507</b>	<b>13,617</b>
State Operations	13,045	13,277	13,205	13,205	13,331	13,441
Personal Service	12,046	11,662	12,384	12,384	12,497	12,591
Non-Personal Service/Indirect Costs	999	1,615	821	821	834	850
General State Charges	151	147	176	176	176	176
<b>Deferred Compensation Board</b>	<b>527</b>	<b>524</b>	<b>866</b>	<b>866</b>	<b>866</b>	<b>873</b>
State Operations	361	377	641	641	641	648
Personal Service	305	304	410	410	410	413
Non-Personal Service/Indirect Costs	56	73	231	231	231	235
General State Charges	166	147	225	225	225	225
<b>Elections, State Board of</b>	<b>6,100</b>	<b>7,918</b>	<b>8,482</b>	<b>8,482</b>	<b>8,587</b>	<b>8,697</b>
Local Assistance Grants	253	93	0	0	0	0
State Operations	5,847	7,825	8,482	8,482	8,587	8,697
Personal Service	4,680	5,639	5,909	5,875	6,018	6,063
Non-Personal Service/Indirect Costs	1,167	2,186	2,573	2,607	2,569	2,634
<b>Employee Relations, Office of</b>	<b>2,210</b>	<b>2,247</b>	<b>2,581</b>	<b>2,581</b>	<b>2,601</b>	<b>2,621</b>
State Operations	2,210	2,247	2,581	2,581	2,601	2,621
Personal Service	2,178	2,200	2,510	2,510	2,529	2,548
Non-Personal Service/Indirect Costs	32	47	71	71	72	73
<b>Gaming Commission, New York State</b>	<b>149,273</b>	<b>251,588</b>	<b>267,907</b>	<b>289,803</b>	<b>314,803</b>	<b>314,803</b>
Local Assistance Grants	0	91,881	99,302	114,500	139,500	139,500
State Operations	134,317	146,812	152,897	157,728	157,728	157,728
Personal Service	30,748	31,549	35,263	38,757	38,757	38,757
Non-Personal Service/Indirect Costs	103,569	115,263	117,634	118,971	118,971	118,971
General State Charges	14,956	12,895	15,708	17,575	17,575	17,575
<b>General Services, Office of</b>	<b>153,982</b>	<b>159,528</b>	<b>163,652</b>	<b>161,467</b>	<b>161,597</b>	<b>161,597</b>
State Operations	151,774	156,939	163,206	160,998	161,103	161,103
Personal Service	62,120	71,454	74,079	74,079	74,079	74,079
Non-Personal Service/Indirect Costs	89,654	85,485	89,127	86,919	87,024	87,024
General State Charges	2,208	2,589	446	469	494	494
<b>Inspector General, Office of the</b>	<b>7,069</b>	<b>7,061</b>	<b>7,367</b>	<b>7,367</b>	<b>7,427</b>	<b>7,487</b>
State Operations	7,069	7,061	7,367	7,367	7,427	7,487
Personal Service	6,217	6,230	6,552	6,552	6,600	6,648

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Non-Personal Service/Indirect Costs	852	831	815	815	827	839
<b>Labor Management Committees</b>	<b>24,098</b>	<b>24,882</b>	<b>25,300</b>	<b>25,300</b>	<b>25,300</b>	<b>25,306</b>
State Operations	24,098	24,882	25,300	25,300	25,300	25,306
Personal Service	6,402	6,619	5,446	5,446	5,446	5,487
Non-Personal Service/Indirect Costs	17,696	18,263	19,854	19,854	19,854	19,819
<b>Prevention of Domestic Violence, Office for</b>	<b>1,897</b>	<b>2,039</b>	<b>2,381</b>	<b>2,481</b>	<b>2,481</b>	<b>2,581</b>
Local Assistance Grants	543	575	785	885	885	985
State Operations	1,354	1,464	1,596	1,596	1,596	1,596
Personal Service	1,267	1,351	1,374	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	87	113	222	208	208	208
<b>Public Employment Relations Board</b>	<b>3,115</b>	<b>3,433</b>	<b>3,572</b>	<b>3,573</b>	<b>3,604</b>	<b>3,634</b>
State Operations	3,115	3,433	3,572	3,573	3,604	3,634
Personal Service	2,894	3,207	3,336	3,336	3,363	3,388
Non-Personal Service/Indirect Costs	221	226	236	237	241	246
<b>Public Integrity, Commission on</b>	<b>3,628</b>	<b>4,332</b>	<b>5,531</b>	<b>5,531</b>	<b>5,576</b>	<b>5,630</b>
State Operations	3,628	4,332	5,531	5,531	5,576	5,630
Personal Service	2,899	3,480	4,620	4,620	4,646	4,681
Non-Personal Service/Indirect Costs	729	852	911	911	930	949
<b>State, Department of</b>	<b>57,843</b>	<b>67,405</b>	<b>70,543</b>	<b>60,378</b>	<b>60,634</b>	<b>60,989</b>
Local Assistance Grants	6,545	15,701	13,084	7,379	7,379	7,379
State Operations	41,865	43,332	47,506	42,739	42,739	42,739
Personal Service	27,970	28,886	28,957	28,919	28,919	28,919
Non-Personal Service/Indirect Costs	13,895	14,446	18,549	13,820	13,820	13,820
General State Charges	9,433	8,372	9,953	10,260	10,516	10,871
<b>Tax Appeals, Division of</b>	<b>2,849</b>	<b>3,035</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>
State Operations	2,849	3,035	3,040	3,040	3,040	3,040
Personal Service	2,688	2,763	2,870	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	161	272	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>380,858</b>	<b>354,747</b>	<b>351,703</b>	<b>352,386</b>	<b>352,561</b>	<b>352,386</b>
Local Assistance Grants	906	914	2,726	2,726	2,726	2,726
State Operations	348,167	335,774	328,262	329,124	329,132	329,124
Personal Service	285,802	292,613	270,384	269,907	269,907	269,907
Non-Personal Service/Indirect Costs	62,365	43,161	57,878	59,217	59,225	59,217
General State Charges	31,785	18,059	20,715	20,536	20,703	20,536
<b>Technology, Office for</b>	<b>426,415</b>	<b>505,949</b>	<b>532,574</b>	<b>565,206</b>	<b>576,936</b>	<b>576,936</b>
State Operations	426,415	505,949	532,574	565,206	576,936	576,936
Personal Service	277,996	283,573	284,831	284,801	284,801	284,801
Non-Personal Service/Indirect Costs	148,419	222,376	247,743	280,405	292,135	292,135
<b>Veterans' Affairs, Division of</b>	<b>12,830</b>	<b>12,922</b>	<b>15,438</b>	<b>13,808</b>	<b>13,873</b>	<b>13,873</b>
Local Assistance Grants	7,486	7,855	9,267	7,637	7,637	7,637
State Operations	5,344	5,067	6,171	6,171	6,236	6,236
Personal Service	4,937	4,731	5,879	5,879	5,938	5,938
Non-Personal Service/Indirect Costs	407	336	292	292	298	298
<b>Welfare Inspector General, Office of</b>	<b>573</b>	<b>569</b>	<b>672</b>	<b>672</b>	<b>686</b>	<b>701</b>
State Operations	573	569	672	672	686	701
Personal Service	472	511	617	617	621	626
Non-Personal Service/Indirect Costs	101	58	55	55	65	75
<b>Workers' Compensation Board</b>	<b>188,009</b>	<b>183,210</b>	<b>188,055</b>	<b>195,009</b>	<b>196,792</b>	<b>198,595</b>
State Operations	141,996	139,016	136,607	141,607	143,390	145,193
Personal Service	77,315	78,723	75,878	80,878	81,493	82,104
Non-Personal Service/Indirect Costs	64,681	60,293	60,729	60,729	61,897	63,089
General State Charges	46,013	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<b>1,458,281</b>	<b>1,629,040</b>	<b>1,694,067</b>	<b>1,741,927</b>	<b>1,781,467</b>	<b>1,783,962</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>174,514</b>	<b>174,200</b>	<b>181,236</b>	<b>181,009</b>	<b>181,070</b>	<b>181,253</b>
Local Assistance Grants	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	140,855	140,681	147,011	146,661	146,661	146,844
Personal Service	109,351	111,792	113,609	113,609	113,609	113,687
Non-Personal Service/Indirect Costs	31,504	28,889	33,402	33,052	33,052	33,157
General State Charges	1,634	1,494	2,201	2,324	2,385	2,385
<b>Executive Chamber</b>	<b>13,966</b>	<b>13,704</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,966	13,704	13,578	13,578	13,578	13,578
Personal Service	10,621	10,669	11,469	11,813	11,813	11,813
Non-Personal Service/Indirect Costs	3,345	3,035	2,109	1,765	1,765	1,765
<b>Judiciary</b>	<b>2,676,076</b>	<b>2,758,587</b>	<b>2,843,100</b>	<b>2,919,053</b>	<b>2,954,053</b>	<b>2,954,053</b>
Local Assistance Grants	107,429	114,655	107,300	122,300	122,300	122,300
State Operations	1,908,736	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Personal Service	1,479,075	1,509,384	1,556,900	1,556,900	1,582,300	1,584,800
Non-Personal Service/Indirect Costs	429,661	449,247	468,600	468,600	468,600	468,600
General State Charges	659,911	685,301	710,300	771,253	780,853	778,353
<b>Law, Department of</b>	<b>179,054</b>	<b>185,419</b>	<b>189,496</b>	<b>191,830</b>	<b>194,641</b>	<b>197,364</b>
State Operations	165,441	168,775	171,600	173,057	174,387	176,833
Personal Service	113,123	119,099	117,861	118,516	119,097	120,450

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
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	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Non-Personal Service/Indirect Costs	52,318	49,676	53,739	54,541	55,290	56,383
General State Charges	13,613	16,644	17,896	18,773	20,254	20,531
<b>Legislature</b>	<b>206,804</b>	<b>215,580</b>	<b>218,795</b>	<b>218,795</b>	<b>218,795</b>	<b>218,795</b>
State Operations	206,804	215,580	218,795	218,795	218,795	218,795
Personal Service	160,777	167,444	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	46,027	48,136	52,464	52,464	52,464	52,464
<b>Lieutenant Governor, Office of the</b>	<b>366</b>	<b>499</b>	<b>614</b>	<b>614</b>	<b>614</b>	<b>614</b>
State Operations	366	499	614	614	614	614
Personal Service	299	336	513	523	523	523
Non-Personal Service/Indirect Costs	67	163	101	91	91	91
<b>Functional Total</b>	<b>3,250,780</b>	<b>3,347,989</b>	<b>3,446,819</b>	<b>3,524,879</b>	<b>3,562,751</b>	<b>3,565,657</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>726,438</b>	<b>728,288</b>	<b>714,756</b>	<b>762,710</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance Grants	726,338	728,288	714,756	762,710	763,347	763,347
State Operations	100	0	0	0	0	0
Non-Personal Service/Indirect Costs	100	0	0	0	0	0
<b>Efficiency Incentive Grants Program</b>	<b>1,592</b>	<b>1,289</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1,592	1,289	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>7,798</b>	<b>11,846</b>	<b>15,646</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	7,798	11,846	15,646	0	0	0
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331	29,331
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	217	218	218	218	218
<b>Functional Total</b>	<b>765,376</b>	<b>770,971</b>	<b>759,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b>4,131,687</b>	<b>4,681,599</b>	<b>4,882,652</b>	<b>5,179,977</b>	<b>5,378,702</b>	<b>5,724,751</b>
General State Charges	4,131,687	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
<b>Long-Term Debt Service</b>	<b>6,221,470</b>	<b>5,635,102</b>	<b>5,117,440</b>	<b>6,305,861</b>	<b>6,820,767</b>	<b>7,281,488</b>
State Operations	38,654	36,616	39,157	49,313	49,313	49,313
Non-Personal Service/Indirect Costs	38,654	36,616	39,157	49,313	49,313	49,313
Debt Service	6,182,816	5,598,486	5,078,283	6,256,548	6,771,454	7,232,175
<b>Miscellaneous</b>	<b>63,825</b>	<b>(152,479)</b>	<b>(899)</b>	<b>118,406</b>	<b>(95,779)</b>	<b>(117,824)</b>
Local Assistance Grants	29,171	(189,144)	(104,904)	(127,929)	(127,929)	(127,929)
State Operations	20,752	24,626	84,098	233,752	19,673	(2,311)
Personal Service	2,204	1,982	59,290	(4,770)	(4,765)	(4,760)
Non-Personal Service/Indirect Costs	18,548	22,644	24,808	238,522	24,438	2,449
General State Charges	13,902	12,039	19,907	12,583	12,477	12,416
<b>Functional Total</b>	<b>10,416,982</b>	<b>10,164,222</b>	<b>9,999,193</b>	<b>11,604,244</b>	<b>12,103,690</b>	<b>12,888,415</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>92,426,496</b>	<b>94,288,493</b>	<b>96,213,754</b>	<b>101,169,491</b>	<b>104,811,174</b>	<b>108,636,705</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	79,519	83,541	73,968	73,284	79,734	79,803
Alcoholic Beverage Control, Division of	17,636	17,277	12,836	12,683	12,683	12,744
Economic Development, Department of	56,467	82,617	77,474	79,978	79,978	79,978
Empire State Development Corporation	85,284	58,756	143,835	147,346	147,346	147,346
Energy Research and Development Authority	11,972	3,808	0	0	0	0
Financial Services, Department of	491,138	360,052	350,490	359,586	362,129	370,016
Olympic Regional Development Authority	3,011	3,111	2,886	2,886	2,886	2,886
Public Service Department	69,084	68,475	73,026	74,271	75,670	75,670
<b>Functional Total</b>	<b>814,111</b>	<b>677,637</b>	<b>734,515</b>	<b>750,034</b>	<b>760,426</b>	<b>768,443</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,276	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	277,931	287,360	281,647	285,372	284,434	284,795
Parks, Recreation and Historic Preservation, Office of	191,888	195,155	191,463	190,350	186,625	186,625
<b>Functional Total</b>	<b>474,095</b>	<b>486,812</b>	<b>477,442</b>	<b>480,054</b>	<b>475,391</b>	<b>475,752</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	81,206	83,762	69,121	70,628	70,706	70,706
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	4,860,960	4,767,454	4,956,078	5,041,309	5,096,519	5,187,174
<b>Functional Total</b>	<b>4,960,507</b>	<b>4,867,785</b>	<b>5,025,199</b>	<b>5,111,937</b>	<b>5,167,225</b>	<b>5,257,880</b>
<b>HEALTH</b>						
Aging, Office for the	123,306	128,410	131,164	133,944	139,125	144,436
Health, Department of	19,114,503	19,823,519	20,446,903	21,305,851	22,234,415	23,250,976
<i>Medical Assistance</i>	16,275,220	16,868,571	17,319,025	18,139,694	19,054,944	19,939,376
<i>Essential Plan</i>	0	32,575	381,572	384,360	394,642	405,812
<i>Medicaid Administration</i>	677,990	836,542	823,237	825,219	799,747	771,895
<i>Public Health</i>	2,161,293	2,085,831	1,923,069	1,956,578	1,985,082	2,133,893
Medicaid Inspector General, Office of the	20,821	20,619	19,860	19,860	19,860	19,860
Stem Cell and Innovation	33,483	0	0	0	0	0
<b>Functional Total</b>	<b>19,292,113</b>	<b>19,972,548</b>	<b>20,597,927</b>	<b>21,459,655</b>	<b>22,393,400</b>	<b>23,415,272</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,864,842	2,001,389	1,921,106	1,951,279	1,973,214	1,995,381
<i>OCFS</i>	1,778,843	1,912,467	1,830,833	1,859,279	1,881,033	1,901,312
<i>OCFS - Other</i>	85,999	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	77,527	71,365	71,878	95,712	100,712	105,712
Human Rights, Division of	10,582	10,263	9,921	9,921	9,921	9,921
Labor, Department of	71,294	72,790	74,567	62,119	62,119	62,119
National and Community Service	687	458	687	687	690	690
Temporary and Disability Assistance, Office of	1,375,356	1,360,670	1,392,478	1,437,483	1,455,883	1,465,683
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	237,353	238,311	224,285	226,360	232,760	240,560
<b>Functional Total</b>	<b>3,400,288</b>	<b>3,516,935</b>	<b>3,470,637</b>	<b>3,557,201</b>	<b>3,602,539</b>	<b>3,639,506</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	409,706	419,184	427,552	460,336	483,232	505,133
<i>OASAS</i>	325,791	332,361	345,163	377,171	399,136	419,977
<i>OASAS - Other</i>	83,915	86,823	82,389	83,165	84,096	85,156
Justice Center	31,652	39,478	41,990	42,623	43,523	44,218
Mental Health, Office of	3,146,926	3,165,602	3,129,665	3,265,556	3,461,187	3,562,312
<i>OMH</i>	1,342,969	1,366,837	1,433,530	1,533,977	1,675,652	1,729,884
<i>OMH - Other</i>	1,803,957	1,798,765	1,696,135	1,731,579	1,785,535	1,832,428
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	3,465,088	3,183,985	2,953,581	3,416,122	3,656,187	3,857,419
<i>OPWDD</i>	433,907	331,163	367,307	420,984	469,580	524,176
<i>OPWDD - Other</i>	3,031,181	2,852,822	2,586,274	2,995,138	3,186,607	3,333,243
<b>Functional Total</b>	<b>7,053,600</b>	<b>6,808,468</b>	<b>6,552,788</b>	<b>7,184,637</b>	<b>7,644,129</b>	<b>7,969,082</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,222	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,647,170	2,696,856	2,631,535	2,638,795	2,641,551	2,648,706
Criminal Justice Services, Division of	194,721	198,307	206,267	196,132	196,132	196,132
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	103,159	50,307	70,282	92,412	112,401	96,675
Indigent Legal Services, Office of	52,689	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	25,302	26,849	22,661	22,661	22,661	22,661
State Police, Division of	669,396	695,750	702,990	719,894	720,473	721,383
Statewide Financial System	31,959	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	30,870	24,469	33,560	43,420	43,420	43,420
<b>Functional Total</b>	<b>3,754,897</b>	<b>3,738,833</b>	<b>3,792,430</b>	<b>3,857,055</b>	<b>3,880,438</b>	<b>3,872,842</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,482,817	1,524,823	1,547,601	1,581,176	1,623,527	1,650,400
Higher Education - Miscellaneous	300	259	390	390	390	390
Higher Education Services Corporation, New York State	1,267,122	1,077,526	1,097,762	1,154,829	1,175,425	1,186,927
State University of New York	6,794,732	6,778,626	6,804,144	6,883,401	6,986,506	7,095,422
<b>Functional Total</b>	<b>9,544,971</b>	<b>9,381,234</b>	<b>9,449,897</b>	<b>9,619,796</b>	<b>9,785,848</b>	<b>9,933,139</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>EDUCATION</b>						
Arts, Council on the	66,103	41,819	45,513	45,253	45,253	45,253
Education, Department of	27,174,390	28,884,200	30,167,376	31,440,560	32,815,721	34,228,606
<i>School Aid</i>	21,630,610	23,302,030	24,422,245	25,898,039	27,195,797	28,555,358
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	795,828	930,889	1,079,887	1,025,839	1,041,312	1,019,849
<b>Functional Total</b>	<b>27,240,493</b>	<b>28,926,019</b>	<b>30,212,889</b>	<b>31,485,813</b>	<b>32,860,974</b>	<b>34,273,859</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	23,809	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,196	13,424	13,381	13,381	13,507	13,617
Deferred Compensation Board	527	524	866	866	866	873
Elections, State Board of	6,100	7,918	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	149,273	251,588	267,907	289,803	314,803	314,803
General Services, Office of	153,982	159,528	163,652	161,467	161,597	161,597
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,897	2,039	2,381	2,481	2,481	2,581
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	57,843	67,405	70,543	60,378	60,634	60,989
Tax Appeals, Division of	2,849	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	380,858	354,747	351,703	352,386	352,561	352,386
Technology, Office for	426,415	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	12,830	12,922	15,438	13,808	13,873	13,873
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	188,009	183,210	188,055	195,009	196,792	198,595
<b>Functional Total</b>	<b>1,458,281</b>	<b>1,629,040</b>	<b>1,694,067</b>	<b>1,741,927</b>	<b>1,781,467</b>	<b>1,783,962</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	174,514	174,200	181,236	181,009	181,070	181,253
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	2,676,077	2,758,586	2,843,100	2,919,053	2,954,053	2,954,053
Law, Department of	179,054	185,419	189,496	191,830	194,641	197,364
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
<b>Functional Total</b>	<b>3,250,781</b>	<b>3,347,988</b>	<b>3,446,819</b>	<b>3,524,879</b>	<b>3,562,751</b>	<b>3,565,657</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	726,438	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>765,376</b>	<b>770,971</b>	<b>759,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,131,686	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
Long-Term Debt Service	6,221,470	5,635,102	5,117,440	6,305,861	6,820,767	7,281,488
Miscellaneous	63,825	(152,479)	(899)	118,406	(95,779)	(117,824)
<b>Functional Total</b>	<b>10,416,981</b>	<b>10,164,222</b>	<b>9,999,193</b>	<b>11,604,244</b>	<b>12,103,690</b>	<b>12,888,415</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>92,426,494</b>	<b>94,288,492</b>	<b>96,213,754</b>	<b>101,169,491</b>	<b>104,811,174</b>	<b>108,636,705</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	25,275	27,279	32,271	35,026	25,612	25,612
Economic Development, Department of	36,404	62,153	56,075	59,379	59,379	59,379
Empire State Development Corporation	85,234	58,756	143,835	147,346	147,346	147,346
Energy Research and Development Authority	5,527	1,842	0	0	0	0
Financial Services, Department of	223,476	80,686	57,174	57,049	57,049	57,049
Public Service Department	0	0	172	172	172	172
<b>Functional Total</b>	<b>375,916</b>	<b>230,716</b>	<b>289,527</b>	<b>298,972</b>	<b>289,558</b>	<b>289,558</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	2,889	4,262	5,016	7,305	5,390	5,390
Parks, Recreation and Historic Preservation, Office of	8,444	9,645	8,909	8,200	8,200	8,200
<b>Functional Total</b>	<b>11,333</b>	<b>13,907</b>	<b>13,925</b>	<b>15,505</b>	<b>13,590</b>	<b>13,590</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	4,834,115	4,745,228	4,931,339	5,015,869	5,070,832	5,161,487
<b>Functional Total</b>	<b>4,834,115</b>	<b>4,745,228</b>	<b>4,931,339</b>	<b>5,015,869</b>	<b>5,070,832</b>	<b>5,161,487</b>
<b>HEALTH</b>						
Aging, Office for the	121,870	127,134	129,932	132,712	137,893	143,204
Health, Department of	18,509,248	19,100,307	19,644,193	20,483,468	21,406,120	22,417,379
<i>Medical Assistance</i>	16,275,220	16,868,571	17,319,025	18,139,694	19,054,944	19,939,376
<i>Essential Plan</i>	0	19,215	333,917	344,602	354,940	364,878
<i>Medicaid Administration</i>	515,184	565,205	480,703	449,306	417,908	386,511
<i>Public Health</i>	1,718,844	1,647,316	1,510,548	1,549,866	1,578,328	1,726,614
<b>Functional Total</b>	<b>18,631,118</b>	<b>19,227,441</b>	<b>19,774,125</b>	<b>20,616,180</b>	<b>21,544,013</b>	<b>22,560,583</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,600,778	1,735,809	1,672,340	1,700,586	1,715,966	1,738,133
<i>OCFS</i>	1,514,779	1,646,887	1,582,067	1,608,586	1,623,785	1,644,064
<i>OCFS - Other</i>	85,999	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	11,531	5,059	6,251	30,085	35,085	40,085
Labor, Department of	7,664	12,207	11,598	(850)	(850)	(850)
National and Community Service	450	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,235,758	1,213,521	1,262,098	1,312,119	1,330,519	1,340,319
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	97,755	91,162	93,905	100,996	107,396	115,196
<b>Functional Total</b>	<b>2,856,181</b>	<b>2,966,738</b>	<b>2,952,637</b>	<b>3,042,290</b>	<b>3,081,070</b>	<b>3,118,037</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	303,457	306,917	319,958	350,399	371,073	390,951
<i>OASAS</i>	282,132	285,592	298,633	329,074	349,748	369,626
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	600	544	649	649	649	649
Mental Health, Office of	1,157,200	1,142,491	1,190,921	1,308,475	1,445,788	1,501,457
<i>OMH</i>	810,344	862,255	918,610	1,007,794	1,134,177	1,178,643
<i>OMH - Other</i>	346,856	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	1,461,427	1,195,674	1,025,724	1,471,585	1,676,140	1,843,909
<i>OPWDD</i>	433,850	331,146	367,126	420,803	469,399	523,995
<i>OPWDD - Other</i>	1,027,577	864,528	658,598	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>2,922,684</b>	<b>2,645,626</b>	<b>2,537,252</b>	<b>3,131,108</b>	<b>3,493,650</b>	<b>3,736,966</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	5,939	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	156,998	162,227	167,386	157,251	157,251	157,251
Disaster Assistance	2,726	0	0	0	0	0
Homeland Security and Emergency Services, Division of	65,440	18,169	43,674	65,783	85,749	70,023
Indigent Legal Services, Office of	51,123	58,068	83,000	101,600	101,600	101,600
Military and Naval Affairs, Division of	724	805	911	911	911	911
Victim Services, Office of	25,567	19,401	27,419	37,279	37,279	37,279
<b>Functional Total</b>	<b>308,517</b>	<b>262,921</b>	<b>327,887</b>	<b>368,321</b>	<b>388,287</b>	<b>372,561</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,395,047	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Services Corporation, New York State	1,209,916	1,025,146	1,045,919	1,102,574	1,123,170	1,134,672
State University of New York	486,563	500,675	508,699	508,267	507,767	507,434
<b>Functional Total</b>	<b>3,091,526</b>	<b>2,955,283</b>	<b>3,008,693</b>	<b>3,097,093</b>	<b>3,158,117</b>	<b>3,194,710</b>
<b>EDUCATION</b>						
Arts, Council on the	62,791	38,332	41,193	40,933	40,933	40,933
Education, Department of	27,008,183	28,721,539	29,978,257	31,264,255	32,637,569	34,050,675
<i>School Aid</i>	21,630,610	23,302,030	24,422,245	25,898,039	27,195,797	28,555,358
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	629,621	768,228	890,768	849,534	863,160	841,918
<b>Functional Total</b>	<b>27,070,974</b>	<b>28,759,871</b>	<b>30,019,450</b>	<b>31,305,188</b>	<b>32,678,502</b>	<b>34,091,608</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	253	93	0	0	0	0
Gaming Commission, New York State	0	91,881	99,302	114,500	139,500	139,500
Prevention of Domestic Violence, Office for	543	575	785	885	885	985
State, Department of	6,545	15,701	13,084	7,379	7,379	7,379

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Taxation and Finance, Department of	906	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,486	7,855	9,267	7,637	7,637	7,637
<b>Functional Total</b>	<u>15,733</u>	<u>117,019</u>	<u>125,164</u>	<u>133,127</u>	<u>158,127</u>	<u>158,227</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	107,429	114,655	107,300	122,300	122,300	122,300
<b>Functional Total</b>	<u>139,454</u>	<u>146,680</u>	<u>139,324</u>	<u>154,324</u>	<u>154,324</u>	<u>154,324</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	726,338	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>765,276</u>	<u>770,971</u>	<u>759,951</u>	<u>792,259</u>	<u>792,896</u>	<u>792,896</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	29,171	(189,144)	(104,904)	(127,929)	(127,929)	(127,929)
<b>Functional Total</b>	<u>29,171</u>	<u>(189,144)</u>	<u>(104,904)</u>	<u>(127,929)</u>	<u>(127,929)</u>	<u>(127,929)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>61,051,998</u></u>	<u><u>62,653,257</u></u>	<u><u>64,774,370</u></u>	<u><u>67,842,307</u></u>	<u><u>70,695,037</u></u>	<u><u>73,516,618</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	52,866	54,745	40,275	36,780	52,599	52,604
Alcoholic Beverage Control, Division of	13,095	12,501	12,683	12,683	12,683	12,744
Economic Development, Department of	20,063	20,464	21,371	20,571	20,571	20,571
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	4,841	1,431	0	0	0	0
Financial Services, Department of	191,287	201,930	210,626	211,926	211,926	215,831
Olympic Regional Development Authority	3,011	3,091	2,886	2,886	2,886	2,886
Public Service Department	47,496	49,483	49,347	49,358	49,358	49,358
<b>Functional Total</b>	<b>332,709</b>	<b>343,645</b>	<b>337,188</b>	<b>334,204</b>	<b>350,023</b>	<b>353,994</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,276	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	229,795	237,912	229,041	228,892	229,561	230,451
Parks, Recreation and Historic Preservation, Office of	179,509	180,898	176,737	176,737	175,487	175,487
<b>Functional Total</b>	<b>413,580</b>	<b>423,107</b>	<b>410,110</b>	<b>409,961</b>	<b>409,380</b>	<b>410,270</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	58,500	59,397	49,322	49,972	49,972	49,972
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	22,502	18,588	20,625	20,812	20,812	20,812
<b>Functional Total</b>	<b>99,343</b>	<b>94,554</b>	<b>69,947</b>	<b>70,784</b>	<b>70,784</b>	<b>70,784</b>
<b>HEALTH</b>						
Aging, Office for the	1,436	1,276	1,232	1,232	1,232	1,232
Health, Department of	574,369	691,640	773,355	793,027	798,937	804,234
<i>Essential Plan</i>	0	13,360	47,655	39,758	39,702	40,934
<i>Medicaid Administration</i>	162,806	271,337	342,534	375,913	381,839	385,384
<i>Public Health</i>	411,563	406,943	383,166	377,356	377,396	377,916
Medicaid Inspector General, Office of the	20,821	20,619	19,860	19,860	19,860	19,860
Stem Cell and Innovation	33,294	0	0	0	0	0
<b>Functional Total</b>	<b>629,920</b>	<b>713,535</b>	<b>794,447</b>	<b>814,119</b>	<b>820,029</b>	<b>825,326</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	263,693	262,651	245,348	247,275	253,830	253,830
<i>OCFS</i>	263,693	262,651	245,348	247,275	253,830	253,830
Housing and Community Renewal, Division of	51,036	50,330	49,246	49,246	49,246	49,246
Human Rights, Division of	10,582	10,263	9,921	9,921	9,921	9,921
Labor, Department of	45,973	46,543	46,019	46,019	46,019	46,019
National and Community Service	237	316	337	337	340	340
Temporary and Disability Assistance, Office of	139,598	147,079	130,380	125,364	125,364	125,364
<i>All Other</i>	139,598	147,079	130,380	125,364	125,364	125,364
<b>Functional Total</b>	<b>511,119</b>	<b>517,182</b>	<b>481,251</b>	<b>478,162</b>	<b>484,720</b>	<b>484,720</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	74,528	80,224	72,742	73,386	74,525	75,568
<i>OASAS</i>	30,187	33,651	30,724	31,068	31,764	32,237
<i>OASAS - Other</i>	44,341	46,573	42,018	42,318	42,761	43,331
Justice Center	30,470	38,304	40,602	41,172	41,994	42,671
Mental Health, Office of	1,383,568	1,417,657	1,330,574	1,330,624	1,352,637	1,376,376
<i>OMH</i>	336,351	354,871	345,089	349,209	354,720	359,125
<i>OMH - Other</i>	1,047,217	1,062,786	985,485	981,415	997,917	1,017,251
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	1,374,179	1,367,626	1,294,059	1,288,901	1,305,645	1,322,172
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	1,374,122	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
<b>Functional Total</b>	<b>2,862,973</b>	<b>2,904,030</b>	<b>2,737,977</b>	<b>2,734,083</b>	<b>2,774,801</b>	<b>2,816,787</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,222	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,641,151	2,692,513	2,625,906	2,633,162	2,635,918	2,643,073
Criminal Justice Services, Division of	37,663	36,039	38,793	38,793	38,793	38,793
Disaster Assistance	(10,737)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	37,332	31,631	25,756	25,756	25,756	25,756
Indigent Legal Services, Office of	1,051	1,449	2,739	2,739	2,739	2,739
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	24,261	26,051	21,736	21,736	21,736	21,736
State Police, Division of	666,777	693,311	685,412	696,574	696,574	696,574
Statewide Financial System	31,959	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	3,712	3,533	3,951	3,951	3,951	3,951
<b>Functional Total</b>	<b>3,440,811</b>	<b>3,470,706</b>	<b>3,442,733</b>	<b>3,461,157</b>	<b>3,463,972</b>	<b>3,471,192</b>
<b>HIGHER EDUCATION</b>						
City University of New York	80,053	87,469	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	217	198	291	291	291	291
Higher Education Services Corporation, New York State	43,245	43,763	41,277	41,277	41,277	41,277
State University of New York	5,773,804	5,866,320	5,919,694	5,993,927	6,091,994	6,195,622
<b>Functional Total</b>	<b>5,897,319</b>	<b>5,997,750</b>	<b>6,046,896</b>	<b>6,122,527</b>	<b>6,222,017</b>	<b>6,327,094</b>
<b>EDUCATION</b>						



**CASH DISBURSEMENTS BY FUNCTION**  
**STATE OPERATING FUNDS**  
**STATE OPERATIONS**  
(thousands of dollars)

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Arts, Council on the	3,312	3,487	4,320	4,320	4,320	4,320
Education, Department of	133,491	130,640	155,160	140,655	140,655	140,475
<i>All Other</i>	133,491	130,640	155,160	140,655	140,655	140,475
<b>Functional Total</b>	<b>136,803</b>	<b>134,127</b>	<b>159,480</b>	<b>144,975</b>	<b>144,975</b>	<b>144,795</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	22,834	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,045	13,277	13,205	13,205	13,331	13,441
Deferred Compensation Board	361	377	641	641	641	648
Elections, State Board of	5,847	7,825	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	134,317	146,812	152,897	157,728	157,728	157,728
General Services, Office of	151,774	156,939	163,206	160,998	161,103	161,103
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,354	1,464	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	41,865	43,332	47,506	42,739	42,739	42,739
Tax Appeals, Division of	2,849	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	348,167	335,774	328,262	329,124	329,132	329,124
Technology, Office for	426,415	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	5,344	5,067	6,171	6,171	6,236	6,236
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	141,996	139,016	136,607	141,607	143,390	145,193
<b>Functional Total</b>	<b>1,336,861</b>	<b>1,424,787</b>	<b>1,468,675</b>	<b>1,504,500</b>	<b>1,518,592</b>	<b>1,520,799</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	140,855	140,681	147,011	146,661	146,661	146,844
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	1,908,736	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Law, Department of	165,441	168,775	171,600	173,057	174,387	176,833
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
<b>Functional Total</b>	<b>2,436,168</b>	<b>2,497,870</b>	<b>2,577,098</b>	<b>2,578,205</b>	<b>2,604,935</b>	<b>2,610,064</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	100	0	0	0	0	0
<b>Functional Total</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,654	36,616	39,157	49,313	49,313	49,313
Miscellaneous	20,752	24,626	84,098	233,752	19,673	(2,311)
<b>Functional Total</b>	<b>59,406</b>	<b>61,242</b>	<b>123,255</b>	<b>283,065</b>	<b>68,986</b>	<b>47,002</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>18,157,112</b>	<b>18,582,535</b>	<b>18,649,057</b>	<b>18,935,742</b>	<b>18,933,214</b>	<b>19,082,827</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	28,342	27,923	29,020	29,025	29,030	29,035
Alcoholic Beverage Control, Division of	7,657	7,622	8,147	8,147	8,147	8,208
Economic Development, Department of	11,493	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	3,622	1,024	0	0	0	0
Financial Services, Department of	137,783	149,000	154,155	155,905	155,905	156,590
Olympic Regional Development Authority	2,548	2,593	2,548	2,548	2,548	2,548
Public Service Department	39,760	40,858	41,886	41,903	41,903	41,903
<b>Functional Total</b>	<b>231,205</b>	<b>240,994</b>	<b>249,085</b>	<b>250,857</b>	<b>250,862</b>	<b>251,613</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	3,893	4,036	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	174,406	181,598	174,466	174,319	174,600	174,717
Parks, Recreation and Historic Preservation, Office of	133,928	135,656	131,683	131,683	131,433	131,433
<b>Functional Total</b>	<b>312,227</b>	<b>321,290</b>	<b>310,176</b>	<b>310,029</b>	<b>310,060</b>	<b>310,177</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	43,692	43,684	35,195	35,845	35,845	35,845
Transportation, Department of	6,980	6,407	7,167	7,347	7,347	7,347
<b>Functional Total</b>	<b>50,672</b>	<b>50,091</b>	<b>42,362</b>	<b>43,192</b>	<b>43,192</b>	<b>43,192</b>
<b>HEALTH</b>						
Aging, Office for the	1,256	1,110	1,125	1,125	1,125	1,125
Health, Department of	258,901	261,851	255,032	256,996	262,966	266,560
<i>Essential Plan</i>	0	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	29,326	31,809	40,500	46,310	52,236	55,781
<i>Public Health</i>	229,575	229,651	213,157	209,270	209,272	209,277
Medicaid Inspector General, Office of the	16,617	16,621	15,781	15,781	15,781	15,781
Stem Cell and Innovation	368	0	0	0	0	0
<b>Functional Total</b>	<b>277,142</b>	<b>279,582</b>	<b>271,938</b>	<b>273,902</b>	<b>279,872</b>	<b>283,466</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	170,077	167,328	162,390	162,387	164,002	164,002
<i>OCFS</i>	170,077	167,328	162,390	162,387	164,002	164,002
Housing and Community Renewal, Division of	38,995	38,788	40,403	40,403	40,403	40,403
Human Rights, Division of	8,919	9,398	9,461	9,461	9,461	9,461
Labor, Department of	30,078	32,557	32,118	32,118	32,118	32,118
National and Community Service	229	311	328	328	331	331
Temporary and Disability Assistance, Office of	67,810	69,932	68,985	68,985	68,985	68,985
<i>All Other</i>	67,810	69,932	68,985	68,985	68,985	68,985
<b>Functional Total</b>	<b>316,108</b>	<b>318,314</b>	<b>313,685</b>	<b>313,682</b>	<b>315,300</b>	<b>315,300</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	53,107	54,117	56,154	56,420	57,176	57,771
<i>OASAS</i>	20,250	19,602	23,769	23,922	24,441	24,704
<i>OASAS - Other</i>	32,857	34,515	32,385	32,498	32,735	33,067
Justice Center	19,845	26,608	27,551	27,754	28,199	28,488
Mental Health, Office of	1,077,139	1,123,600	1,058,110	1,053,126	1,067,509	1,082,898
<i>OMH</i>	270,100	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	807,039	833,717	760,485	752,989	763,342	775,695
People with Developmental Disabilities, Office for	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<i>OPWDD - Other</i>	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<b>Functional Total</b>	<b>2,262,595</b>	<b>2,356,115</b>	<b>2,238,999</b>	<b>2,228,930</b>	<b>2,256,488</b>	<b>2,284,234</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,037	2,042	2,304	2,365	2,414	2,414
Correctional Services, Department of	2,102,399	2,166,752	2,073,910	2,081,116	2,083,872	2,091,027
Criminal Justice Services, Division of	26,862	24,588	25,582	25,582	25,582	25,582
Disaster Assistance	(9,310)	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	14,217	13,037	14,628	14,628	14,628	14,628
Indigent Legal Services, Office of	928	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,028	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	15,759	17,129	14,355	14,355	14,355	14,355
State Police, Division of	571,632	618,908	619,909	630,741	630,741	630,741
Statewide Financial System	9,622	10,234	11,350	11,350	11,350	11,350
Victim Services, Office of	3,162	3,154	3,176	3,176	3,176	3,176
<b>Functional Total</b>	<b>2,741,336</b>	<b>2,859,495</b>	<b>2,771,699</b>	<b>2,789,798</b>	<b>2,792,634</b>	<b>2,799,824</b>
<b>HIGHER EDUCATION</b>						
City University of New York	48,140	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	146	133	198	198	198	198
Higher Education Services Corporation, New York State	16,369	15,253	15,300	15,300	15,300	15,300
State University of New York	3,502,895	3,628,295	3,677,849	3,712,200	3,765,056	3,821,795
<b>Functional Total</b>	<b>3,567,550</b>	<b>3,690,551</b>	<b>3,738,749</b>	<b>3,773,694</b>	<b>3,827,152</b>	<b>3,884,503</b>
<b>EDUCATION</b>						
Arts, Council on the	2,132	2,253	2,498	2,498	2,498	2,498
Education, Department of	84,014	85,429	84,643	83,553	83,553	83,425
<i>All Other</i>	84,014	85,429	84,643	83,553	83,553	83,425
<b>Functional Total</b>	<b>86,146</b>	<b>87,682</b>	<b>87,141</b>	<b>86,051</b>	<b>86,051</b>	<b>85,923</b>
<b>GENERAL GOVERNMENT</b>						

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Budget, Division of the	20,223	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	12,046	11,662	12,384	12,384	12,497	12,591
Deferred Compensation Board	305	304	410	410	410	413
Elections, State Board of	4,680	5,639	5,909	5,875	6,018	6,063
Employee Relations, Office of	2,178	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	30,748	31,549	35,263	38,757	38,757	38,757
General Services, Office of	62,120	71,454	74,079	74,079	74,079	74,079
Inspector General, Office of the	6,217	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,402	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,267	1,351	1,374	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	2,899	3,480	4,620	4,620	4,646	4,681
State, Department of	27,970	28,886	28,957	28,919	28,919	28,919
Tax Appeals, Division of	2,688	2,763	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	292,613	270,384	269,907	269,907	269,907
Technology, Office for	277,996	283,573	284,831	284,801	284,801	284,801
Veterans' Affairs, Division of	4,937	4,731	5,879	5,879	5,938	5,938
Welfare Inspector General, Office of	472	511	617	617	621	626
Workers' Compensation Board	77,315	78,723	75,878	80,878	81,493	82,104
<b>Functional Total</b>	<b>829,159</b>	<b>855,931</b>	<b>845,813</b>	<b>853,795</b>	<b>854,849</b>	<b>855,775</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	109,351	111,792	113,609	113,609	113,609	113,687
Executive Chamber	10,621	10,669	11,469	11,813	11,813	11,813
Judiciary	1,479,075	1,509,383	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	113,123	119,099	117,861	118,516	119,097	120,450
Legislature	160,777	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	336	513	523	523	523
<b>Functional Total</b>	<b>1,873,246</b>	<b>1,918,723</b>	<b>1,966,683</b>	<b>1,967,692</b>	<b>1,993,673</b>	<b>1,997,604</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,204	1,982	59,290	(4,770)	(4,765)	(4,760)
<b>Functional Total</b>	<b>2,204</b>	<b>1,982</b>	<b>59,290</b>	<b>(4,770)</b>	<b>(4,765)</b>	<b>(4,760)</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>12,549,590</b>	<b>12,980,750</b>	<b>12,895,620</b>	<b>12,886,852</b>	<b>13,005,368</b>	<b>13,106,851</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	24,524	26,822	11,255	7,755	23,569	23,569
Alcoholic Beverage Control, Division of	5,438	4,879	4,536	4,536	4,536	4,536
Economic Development, Department of	8,570	8,490	8,042	7,242	7,242	7,242
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	1,219	407	0	0	0	0
Financial Services, Department of	53,504	52,930	56,471	56,021	56,021	59,241
Olympic Regional Development Authority	463	498	338	338	338	338
Public Service Department	7,736	8,625	7,461	7,455	7,455	7,455
<b>Functional Total</b>	<b>101,504</b>	<b>102,651</b>	<b>88,103</b>	<b>83,347</b>	<b>99,161</b>	<b>102,381</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	383	261	305	305	305	305
Environmental Conservation, Department of	55,389	56,314	54,575	54,573	54,961	55,734
Parks, Recreation and Historic Preservation, Office of	45,581	45,242	45,054	45,054	44,054	44,054
<b>Functional Total</b>	<b>101,353</b>	<b>101,817</b>	<b>99,934</b>	<b>99,932</b>	<b>99,320</b>	<b>100,093</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	14,808	15,713	14,127	14,127	14,127	14,127
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	15,522	12,181	13,458	13,465	13,465	13,465
<b>Functional Total</b>	<b>48,671</b>	<b>44,463</b>	<b>27,585</b>	<b>27,592</b>	<b>27,592</b>	<b>27,592</b>
<b>HEALTH</b>						
Aging, Office for the	180	166	107	107	107	107
Health, Department of	315,468	429,789	518,323	536,031	535,971	537,674
<i>Essential Plan</i>	0	12,969	46,280	38,342	38,244	39,432
<i>Medicaid Administration</i>	133,480	239,528	302,034	329,603	329,603	329,603
<i>Public Health</i>	181,988	177,292	170,009	168,086	168,124	168,639
Medicaid Inspector General, Office of the	4,204	3,998	4,079	4,079	4,079	4,079
Stem Cell and Innovation	32,926	0	0	0	0	0
<b>Functional Total</b>	<b>352,778</b>	<b>433,953</b>	<b>522,509</b>	<b>540,217</b>	<b>540,157</b>	<b>541,860</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	93,616	95,323	82,958	84,888	89,828	89,828
<i>OCFS</i>	93,616	95,323	82,958	84,888	89,828	89,828
Housing and Community Renewal, Division of	12,041	11,542	8,843	8,843	8,843	8,843
Human Rights, Division of	1,663	865	460	460	460	460
Labor, Department of	15,895	13,986	13,901	13,901	13,901	13,901
National and Community Service	8	5	9	9	9	9
Temporary and Disability Assistance, Office of	71,788	77,147	61,395	56,379	56,379	56,379
<i>All Other</i>	71,788	77,147	61,395	56,379	56,379	56,379
<b>Functional Total</b>	<b>195,011</b>	<b>198,868</b>	<b>167,566</b>	<b>164,480</b>	<b>169,420</b>	<b>169,420</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	21,421	26,107	16,588	16,966	17,349	17,797
<i>OASAS</i>	9,937	14,049	6,955	7,146	7,323	7,533
<i>OASAS - Other</i>	11,484	12,058	9,633	9,820	10,026	10,264
Justice Center	10,625	11,696	13,051	13,418	13,795	14,183
Mental Health, Office of	306,429	294,057	272,464	277,498	285,128	293,478
<i>OMH</i>	66,251	64,988	47,464	49,072	50,553	51,922
<i>OMH - Other</i>	240,178	229,069	225,000	228,426	234,575	241,556
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	261,675	215,836	196,875	197,271	202,041	207,095
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	261,618	215,819	196,694	197,090	201,860	206,914
<b>Functional Total</b>	<b>600,378</b>	<b>547,915</b>	<b>498,978</b>	<b>505,153</b>	<b>518,313</b>	<b>532,553</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	185	255	347	286	237	237
Correctional Services, Department of	538,752	525,761	551,996	552,046	552,046	552,046
Criminal Justice Services, Division of	10,801	11,451	13,211	13,211	13,211	13,211
Disaster Assistance	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	23,115	18,594	11,128	11,128	11,128	11,128
Indigent Legal Services, Office of	123	238	535	535	535	535
Judicial Conduct, Commission on	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	8,502	8,922	7,381	7,381	7,381	7,381
State Police, Division of	95,145	74,403	65,503	65,833	65,833	65,833
Statewide Financial System	22,337	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	550	379	775	775	775	775
<b>Functional Total</b>	<b>699,475</b>	<b>611,211</b>	<b>671,034</b>	<b>671,359</b>	<b>671,338</b>	<b>671,368</b>
<b>HIGHER EDUCATION</b>						
City University of New York	31,913	40,599	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	71	65	93	93	93	93
Higher Education Services Corporation, New York State	26,876	28,510	25,977	25,977	25,977	25,977
State University of New York	2,270,909	2,238,025	2,241,845	2,281,727	2,326,938	2,373,827
<b>Functional Total</b>	<b>2,329,769</b>	<b>2,307,199</b>	<b>2,308,147</b>	<b>2,348,833</b>	<b>2,394,865</b>	<b>2,442,591</b>
<b>EDUCATION</b>						

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Arts, Council on the	1,180	1,234	1,822	1,822	1,822	1,822
Education, Department of	49,477	45,211	70,517	57,102	57,102	57,050
<i>All Other</i>	49,477	45,211	70,517	57,102	57,102	57,050
<b>Functional Total</b>	<b>50,657</b>	<b>46,445</b>	<b>72,339</b>	<b>58,924</b>	<b>58,924</b>	<b>58,872</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	2,611	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	999	1,615	821	821	834	850
Deferred Compensation Board	56	73	231	231	231	235
Elections, State Board of	1,167	2,186	2,573	2,607	2,569	2,634
Employee Relations, Office of	32	47	71	71	72	73
Gaming Commission, New York State	103,569	115,263	117,634	118,971	118,971	118,971
General Services, Office of	89,654	85,485	89,127	86,919	87,024	87,024
Inspector General, Office of the	852	831	815	815	827	839
Labor Management Committees	17,696	18,263	19,854	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	87	113	222	208	208	208
Public Employment Relations Board	221	226	236	237	241	246
Public Integrity, Commission on	729	852	911	911	930	949
State, Department of	13,895	14,446	18,549	13,820	13,820	13,820
Tax Appeals, Division of	161	272	170	170	170	170
Taxation and Finance, Department of	62,365	43,161	57,878	59,217	59,225	59,217
Technology, Office for	148,419	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	407	336	292	292	298	298
Welfare Inspector General, Office of	101	58	55	55	65	75
Workers' Compensation Board	64,681	60,293	60,729	60,729	61,897	63,089
<b>Functional Total</b>	<b>507,702</b>	<b>568,856</b>	<b>622,862</b>	<b>650,705</b>	<b>663,743</b>	<b>665,024</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	31,504	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	429,661	449,248	468,600	468,600	468,600	468,600
Law, Department of	52,318	49,676	53,739	54,541	55,290	56,383
Legislature	46,027	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	163	101	91	91	91
<b>Functional Total</b>	<b>562,922</b>	<b>579,147</b>	<b>610,415</b>	<b>610,513</b>	<b>611,262</b>	<b>612,460</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	100	0	0	0	0	0
<b>Functional Total</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,654	36,616	39,157	49,313	49,313	49,313
Miscellaneous	18,548	22,644	24,808	238,522	24,438	2,449
<b>Functional Total</b>	<b>57,202</b>	<b>59,260</b>	<b>63,965</b>	<b>287,835</b>	<b>73,751</b>	<b>51,762</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>5,607,522</b>	<b>5,601,785</b>	<b>5,753,437</b>	<b>6,048,890</b>	<b>5,927,846</b>	<b>5,975,976</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,378	1,517	1,422	1,478	1,523	1,587
Alcoholic Beverage Control, Division of	4,541	4,776	153	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	1,604	535	0	0	0	0
Financial Services, Department of	76,375	77,436	82,690	90,611	93,154	97,136
Olympic Regional Development Authority	0	20	0	0	0	0
Public Service Department	21,588	18,992	23,507	24,741	26,140	26,140
<b>Functional Total</b>	<b>105,486</b>	<b>103,276</b>	<b>107,800</b>	<b>116,858</b>	<b>120,845</b>	<b>124,891</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	45,247	45,186	47,590	49,175	49,483	48,954
Parks, Recreation and Historic Preservation, Office of	2,829	2,863	3,317	2,938	2,938	2,938
<b>Functional Total</b>	<b>48,076</b>	<b>48,049</b>	<b>50,907</b>	<b>52,113</b>	<b>52,421</b>	<b>51,892</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	22,591	24,365	19,799	20,656	20,734	20,734
Transportation, Department of	4,242	3,638	4,114	4,628	4,875	4,875
<b>Functional Total</b>	<b>26,833</b>	<b>28,003</b>	<b>23,913</b>	<b>25,284</b>	<b>25,609</b>	<b>25,609</b>
<b>HEALTH</b>						
Health, Department of	30,886	31,572	29,355	29,356	29,358	29,363
<i>Public Health</i>	30,886	31,572	29,355	29,356	29,358	29,363
Stem Cell and Innovation	189	0	0	0	0	0
<b>Functional Total</b>	<b>31,075</b>	<b>31,572</b>	<b>29,355</b>	<b>29,356</b>	<b>29,358</b>	<b>29,363</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	371	2,929	3,418	3,418	3,418	3,418
<i>OCFS</i>	371	2,929	3,418	3,418	3,418	3,418
Housing and Community Renewal, Division of	14,960	15,976	16,381	16,381	16,381	16,381
Labor, Department of	17,657	14,040	16,950	16,950	16,950	16,950
Temporary and Disability Assistance, Office of	0	70	0	0	0	0
<i>All Other</i>	0	70	0	0	0	0
<b>Functional Total</b>	<b>32,988</b>	<b>33,015</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	31,721	32,043	34,852	36,551	37,634	38,614
<i>OASAS</i>	13,472	13,118	15,806	17,029	17,624	18,114
<i>OASAS - Other</i>	18,249	18,925	19,046	19,522	20,010	20,500
Justice Center	582	630	739	802	880	898
Mental Health, Office of	606,158	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	196,274	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	409,884	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	629,482	620,685	633,798	655,636	674,402	691,338
<i>OPWDD - Other</i>	629,482	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>1,267,943</b>	<b>1,258,812</b>	<b>1,277,559</b>	<b>1,319,446</b>	<b>1,375,678</b>	<b>1,415,329</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	80	92	132	136	136	136
Criminal Justice Services, Division of	60	41	88	88	88	88
Homeland Security and Emergency Services, Division of	387	507	852	873	896	896
Indigent Legal Services, Office of	515	599	956	956	956	956
Military and Naval Affairs, Division of	317	(7)	14	14	14	14
State Police, Division of	2,619	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,591	1,535	2,190	2,190	2,190	2,190
<b>Functional Total</b>	<b>5,569</b>	<b>5,206</b>	<b>21,810</b>	<b>27,577</b>	<b>28,179</b>	<b>29,089</b>
<b>HIGHER EDUCATION</b>						
City University of New York	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	83	61	99	99	99	99
Higher Education Services Corporation, New York State	13,961	8,617	10,566	10,978	10,978	10,978
State University of New York	534,365	411,631	375,751	381,207	386,745	392,366
<b>Functional Total</b>	<b>556,126</b>	<b>428,201</b>	<b>394,308</b>	<b>400,176</b>	<b>405,714</b>	<b>411,335</b>
<b>EDUCATION</b>						
Education, Department of	32,716	32,021	33,959	35,650	37,497	37,456
<i>All Other</i>	32,716	32,021	33,959	35,650	37,497	37,456
<b>Functional Total</b>	<b>32,716</b>	<b>32,021</b>	<b>33,959</b>	<b>35,650</b>	<b>37,497</b>	<b>37,456</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	151	147	176	176	176	176
Deferred Compensation Board	166	147	225	225	225	225
Gaming Commission, New York State	14,956	12,895	15,708	17,575	17,575	17,575
General Services, Office of	2,208	2,589	446	469	494	494
State, Department of	9,433	8,372	9,953	10,260	10,516	10,871
Taxation and Finance, Department of	31,785	18,059	20,715	20,536	20,703	20,536
Workers' Compensation Board	46,013	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<b>105,687</b>	<b>87,234</b>	<b>100,228</b>	<b>104,300</b>	<b>104,748</b>	<b>104,936</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,634	1,494	2,201	2,324	2,385	2,385

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Judiciary	659,912	685,300	710,300	771,253	780,853	778,353
Law, Department of	13,613	16,644	17,896	18,773	20,254	20,531
<b>Functional Total</b>	<u>675,159</u>	<u>703,438</u>	<u>730,397</u>	<u>792,350</u>	<u>803,492</u>	<u>801,269</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,131,686	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
Miscellaneous	13,902	12,039	19,907	12,583	12,477	12,416
<b>Functional Total</b>	<u>4,145,588</u>	<u>4,693,638</u>	<u>4,902,559</u>	<u>5,192,560</u>	<u>5,391,179</u>	<u>5,737,167</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>7,033,246</u></u>	<u><u>7,452,465</u></u>	<u><u>7,709,544</u></u>	<u><u>8,132,419</u></u>	<u><u>8,411,469</u></u>	<u><u>8,805,085</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	1,106	1,749	2,500	2,475	0	0
<b>Functional Total</b>	<u>1,106</u>	<u>1,749</u>	<u>2,500</u>	<u>2,475</u>	<u>0</u>	<u>0</u>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	115	0	0	0	0	0
Transportation, Department of	101	0	0	0	0	0
<b>Functional Total</b>	<u>216</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u><u>1,322</u></u>	<u><u>1,749</u></u>	<u><u>2,500</u></u>	<u><u>2,475</u></u>	<u><u>0</u></u>	<u><u>0</u></u>



**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	11,002	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	10,509	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	8,012	1,569	13,433	3,274	14,150	0
Empire State Development Corporation	442,329	680,080	1,420,915	1,534,653	1,275,682	1,136,582
Energy Research and Development Authority	9,075	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	6,900	7,500	7,500	0	0	0
Power Authority, New York	0	0	2,500	2,500	1,244	0
Regional Economic Development Program	3,071	2,787	1,500	512	356	355
Strategic Investment Program	1,030	1,427	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>491,928</b>	<b>716,136</b>	<b>1,507,299</b>	<b>1,603,215</b>	<b>1,341,777</b>	<b>1,183,937</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	539,496	536,048	657,231	793,933	802,032	751,032
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	97,713	141,328	133,150	127,650	127,650	127,650
<b>Functional Total</b>	<b>637,258</b>	<b>680,828</b>	<b>790,381</b>	<b>921,583</b>	<b>929,682</b>	<b>878,682</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	182,073	189,879	204,488	204,080	206,609	206,950
Thruway Authority, New York State	3,570	1,379	0	0	0	0
Transportation, Department of	4,238,172	4,327,332	4,525,879	4,528,602	4,585,994	4,651,855
<b>Functional Total</b>	<b>4,423,815</b>	<b>4,518,590</b>	<b>5,242,538</b>	<b>5,376,367</b>	<b>5,042,603</b>	<b>5,208,805</b>
<b>HEALTH</b>						
Health, Department of	117,235	107,158	272,289	605,289	684,289	552,289
<i>Public Health</i>	117,235	107,158	272,289	605,289	684,289	552,289
<b>Functional Total</b>	<b>117,235</b>	<b>107,158</b>	<b>272,289</b>	<b>605,289</b>	<b>684,289</b>	<b>552,289</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	19,537	21,186	20,931	20,931	20,931	20,931
<i>OCFS</i>	19,537	21,186	20,931	20,931	20,931	20,931
Housing and Community Renewal, Division of	82,202	83,880	176,227	327,611	542,942	567,792
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	32,711	45,876	63,400	57,400	57,400	57,400
<i>All Other</i>	32,711	45,876	63,400	57,400	57,400	57,400
<b>Functional Total</b>	<b>134,450</b>	<b>150,942</b>	<b>273,558</b>	<b>435,942</b>	<b>648,273</b>	<b>666,123</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	35,646	44,857	66,295	62,317	56,335	56,360
<i>OASAS</i>	35,646	44,857	66,295	62,317	56,335	56,360
Mental Health, Office of	140,754	159,048	216,863	220,445	220,944	221,639
<i>OMH</i>	140,754	159,048	216,863	220,445	220,944	221,639
People with Developmental Disabilities, Office for	47,952	39,094	74,497	74,872	75,194	75,643
<i>OPWDD</i>	47,952	39,094	74,497	74,872	75,194	75,643
<b>Functional Total</b>	<b>224,352</b>	<b>242,999</b>	<b>357,655</b>	<b>357,634</b>	<b>352,473</b>	<b>353,642</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	220,308	260,188	298,088	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	70,333	104,394	80,681	23,775	15,753	5,000
Military and Naval Affairs, Division of	29,277	38,115	38,707	38,100	38,100	24,100
State Police, Division of	7,593	19,121	34,411	47,280	36,900	25,910
<b>Functional Total</b>	<b>327,511</b>	<b>421,818</b>	<b>451,887</b>	<b>417,219</b>	<b>380,817</b>	<b>348,074</b>
<b>HIGHER EDUCATION</b>						
City University of New York	30,141	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	2,086	136	20,000	25,000	22,000	13,000
State University of New York	916,830	945,565	892,249	877,249	842,249	822,249
<b>Functional Total</b>	<b>949,057</b>	<b>979,161</b>	<b>947,249</b>	<b>937,649</b>	<b>900,149</b>	<b>871,869</b>
<b>EDUCATION</b>						
Education, Department of	19,081	21,325	376,692	559,100	391,400	339,157
<i>School Aid</i>	0	0	350,000	500,000	350,000	300,000
<i>All Other</i>	19,081	21,325	26,692	59,100	41,400	39,157
<b>Functional Total</b>	<b>19,081</b>	<b>21,325</b>	<b>376,692</b>	<b>559,100</b>	<b>391,400</b>	<b>339,157</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	118,000	101,785	131,618	115,383	129,883	98,883
State, Department of	0	2,065	2,000	2,000	2,000	2,000
Technology, Office for	30,763	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	0	3,117	10,000	15,000	15,000	15,000
<b>Functional Total</b>	<b>148,763</b>	<b>202,278</b>	<b>215,868</b>	<b>189,298</b>	<b>177,583</b>	<b>145,883</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	0	0	4,600	1,400	0	0
Law, Department of	2,379	1,449	5,000	5,000	3,621	2,500
<b>Functional Total</b>	<b>2,379</b>	<b>1,449</b>	<b>9,600</b>	<b>6,400</b>	<b>3,621</b>	<b>2,500</b>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	71,902	212,525	228,250	316,250	300,000	300,000
Special Infrastructure Account	0	725,592	698,615	1,647,241	1,077,610	810,750
<b>Functional Total</b>	<u>71,902</u>	<u>938,117</u>	<u>926,865</u>	<u>1,963,491</u>	<u>1,377,610</u>	<u>1,110,750</u>
<b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b>	<u>7,547,731</u>	<u>8,980,801</u>	<u>11,371,881</u>	<u>13,373,187</u>	<u>12,230,277</u>	<u>11,661,711</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
<b>Agriculture and Markets, Department of</b>	<b>104,699</b>	<b>103,855</b>	<b>97,004</b>	<b>91,561</b>	<b>98,061</b>	<b>98,197</b>
Local Assistance Grants	25,275	27,279	32,271	35,026	25,612	25,612
State Operations	64,890	68,227	52,688	49,378	65,197	65,202
Personal Service	31,660	32,116	31,993	31,998	32,003	32,008
Non-Personal Service/Indirect Costs	33,230	36,111	20,695	17,380	33,194	33,194
General State Charges	3,532	3,573	3,044	3,157	3,252	3,383
Capital Projects	11,002	4,776	9,001	4,000	4,000	4,000
<b>Alcoholic Beverage Control, Division of</b>	<b>17,636</b>	<b>17,277</b>	<b>12,836</b>	<b>12,683</b>	<b>12,683</b>	<b>12,744</b>
State Operations	13,095	12,501	12,683	12,683	12,683	12,744
Personal Service	7,657	7,622	8,147	8,147	8,147	8,208
Non-Personal Service/Indirect Costs	5,438	4,879	4,536	4,536	4,536	4,536
General State Charges	4,541	4,776	153	0	0	0
<b>Economic Development Capital</b>	<b>10,509</b>	<b>6,614</b>	<b>23,000</b>	<b>29,276</b>	<b>24,250</b>	<b>23,000</b>
Local Assistance Grants	8,524	6,614	0	0	0	0
Capital Projects	1,985	0	23,000	29,276	24,250	23,000
<b>Economic Development, Department of</b>	<b>70,646</b>	<b>92,494</b>	<b>99,207</b>	<b>91,552</b>	<b>102,428</b>	<b>88,278</b>
Local Assistance Grants	50,363	71,410	64,130	67,434	67,434	67,434
State Operations	20,151	21,056	21,616	20,816	20,816	20,816
Personal Service	11,493	11,974	13,329	13,329	13,329	13,329
Non-Personal Service/Indirect Costs	8,658	9,082	8,287	7,487	7,487	7,487
General State Charges	0	0	28	28	28	28
Capital Projects	132	28	13,433	3,274	14,150	0
<b>Empire State Development Corporation</b>	<b>527,613</b>	<b>738,836</b>	<b>1,564,750</b>	<b>1,681,999</b>	<b>1,423,028</b>	<b>1,283,928</b>
Local Assistance Grants	461,088	705,116	1,319,449	1,309,321	979,250	916,250
State Operations	50	0	0	0	0	0
Non-Personal Service/Indirect Costs	50	0	0	0	0	0
Capital Projects	66,475	33,720	245,301	372,678	443,778	367,678
<b>Energy Research and Development Authority</b>	<b>21,047</b>	<b>15,191</b>	<b>23,450</b>	<b>23,000</b>	<b>14,724</b>	<b>13,000</b>
Local Assistance Grants	5,527	1,842	0	0	0	0
State Operations	4,841	1,431	0	0	0	0
Personal Service	3,622	1,024	0	0	0	0
Non-Personal Service/Indirect Costs	1,219	407	0	0	0	0
General State Charges	1,604	535	0	0	0	0
Capital Projects	9,075	11,383	23,450	23,000	14,724	13,000
<b>Financial Services, Department of</b>	<b>493,855</b>	<b>361,476</b>	<b>350,490</b>	<b>359,586</b>	<b>362,129</b>	<b>370,016</b>
Local Assistance Grants	223,476	80,686	57,174	57,049	57,049	57,049
State Operations	193,325	203,339	210,626	211,926	211,926	215,831
Personal Service	138,372	149,000	154,155	155,905	155,905	156,590
Non-Personal Service/Indirect Costs	54,953	54,339	56,471	56,021	56,021	59,241
General State Charges	77,054	77,451	82,690	90,611	93,154	97,136
<b>Olympic Regional Development Authority</b>	<b>9,911</b>	<b>10,611</b>	<b>10,386</b>	<b>2,886</b>	<b>2,886</b>	<b>2,886</b>
State Operations	3,011	3,091	2,886	2,886	2,886	2,886
Personal Service	2,548	2,593	2,548	2,548	2,548	2,548
Non-Personal Service/Indirect Costs	463	498	338	338	338	338
General State Charges	0	20	0	0	0	0
Capital Projects	6,900	7,500	7,500	0	0	0
<b>Power Authority, New York</b>	<b>0</b>	<b>0</b>	<b>2,500</b>	<b>2,500</b>	<b>1,244</b>	<b>0</b>
Capital Projects	0	0	2,500	2,500	1,244	0
<b>Public Service Department</b>	<b>71,807</b>	<b>71,087</b>	<b>74,968</b>	<b>76,233</b>	<b>77,632</b>	<b>77,632</b>
Local Assistance Grants	0	0	172	172	172	172
State Operations	49,158	51,548	50,589	50,600	50,600	50,600
Personal Service	41,245	42,792	43,088	43,105	43,105	43,105
Non-Personal Service/Indirect Costs	7,913	8,756	7,501	7,495	7,495	7,495
General State Charges	22,649	19,539	24,207	25,461	26,860	26,860
<b>Regional Economic Development Program</b>	<b>3,071</b>	<b>2,787</b>	<b>1,500</b>	<b>512</b>	<b>356</b>	<b>355</b>
Local Assistance Grants	3,071	2,787	0	0	0	0
Capital Projects	0	0	1,500	512	356	355
<b>Strategic Investment Program</b>	<b>1,030</b>	<b>1,427</b>	<b>6,000</b>	<b>6,000</b>	<b>7,371</b>	<b>7,000</b>
Local Assistance Grants	1,030	1,427	0	0	0	0
Capital Projects	0	0	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>1,331,824</b>	<b>1,421,655</b>	<b>2,266,091</b>	<b>2,377,788</b>	<b>2,126,792</b>	<b>1,977,036</b>
<b>PARKS AND THE ENVIRONMENT</b>						
<b>Adirondack Park Agency</b>	<b>4,416</b>	<b>4,350</b>	<b>4,682</b>	<b>4,682</b>	<b>4,682</b>	<b>4,682</b>
State Operations	4,416	4,350	4,682	4,682	4,682	4,682
Personal Service	3,893	4,036	4,027	4,027	4,027	4,027
Non-Personal Service/Indirect Costs	523	314	655	655	655	655
<b>Environmental Conservation, Department of</b>	<b>873,136</b>	<b>878,293</b>	<b>994,798</b>	<b>1,135,243</b>	<b>1,142,424</b>	<b>1,091,785</b>
Local Assistance Grants	307,428	312,669	205,798	207,305	205,390	205,390
State Operations	271,334	280,475	273,926	273,777	274,446	275,336
Personal Service	201,672	207,472	202,225	202,078	202,359	202,476
Non-Personal Service/Indirect Costs	69,662	73,003	71,701	71,699	72,087	72,860
General State Charges	59,417	57,508	58,625	60,228	60,556	60,027

**CASH DISBURSEMENTS BY FUNCTION  
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	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Capital Projects	234,957	227,641	456,449	593,933	602,032	551,032
<b>Hudson River Park Trust</b>	<b>49</b>	<b>3,452</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Projects	49	3,452	0	0	0	0
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>295,236</b>	<b>344,507</b>	<b>328,781</b>	<b>322,168</b>	<b>318,443</b>	<b>318,443</b>
Local Assistance Grants	9,722	13,682	10,179	9,470	9,470	9,470
State Operations	183,841	184,894	179,005	179,005	177,755	177,755
Personal Service	136,026	137,415	132,806	132,806	132,556	132,556
Non-Personal Service/Indirect Costs	47,815	47,479	46,199	46,199	45,199	45,199
General State Charges	2,829	2,863	3,947	3,568	3,568	3,568
Capital Projects	98,844	143,068	135,650	130,125	127,650	127,650
<b>Functional Total</b>	<b>1,172,837</b>	<b>1,230,602</b>	<b>1,328,261</b>	<b>1,462,093</b>	<b>1,465,549</b>	<b>1,414,910</b>
<b>TRANSPORTATION</b>						
<b>Metropolitan Transportation Authority</b>	<b>0</b>	<b>0</b>	<b>512,171</b>	<b>643,685</b>	<b>250,000</b>	<b>350,000</b>
Local Assistance Grants	0	0	512,171	643,685	250,000	350,000
<b>Motor Vehicles, Department of</b>	<b>280,755</b>	<b>292,446</b>	<b>301,333</b>	<b>302,493</b>	<b>305,100</b>	<b>305,441</b>
Local Assistance Grants	14,536	14,681	18,000	18,000	18,000	18,000
State Operations	60,675	62,922	56,870	57,520	57,520	57,520
Personal Service	44,853	44,887	38,930	39,580	39,580	39,580
Non-Personal Service/Indirect Costs	15,822	18,035	17,940	17,940	17,940	17,940
General State Charges	23,356	24,964	21,975	22,893	22,971	22,971
Capital Projects	182,188	189,879	204,488	204,080	206,609	206,950
<b>Thruway Authority, New York State</b>	<b>21,911</b>	<b>17,948</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	3,570	1,379	0	0	0	0
State Operations	18,341	16,569	0	0	0	0
Non-Personal Service/Indirect Costs	18,341	16,569	0	0	0	0
<b>Transportation, Department of</b>	<b>9,152,775</b>	<b>9,124,697</b>	<b>9,526,990</b>	<b>9,615,208</b>	<b>9,727,978</b>	<b>9,884,494</b>
Local Assistance Grants	5,910,854	5,680,162	6,173,318	6,136,591	6,178,518	6,266,515
State Operations	28,374	23,687	28,814	29,010	29,010	29,010
Personal Service	10,883	10,209	12,554	12,734	12,734	12,734
Non-Personal Service/Indirect Costs	17,491	13,478	16,260	16,276	16,276	16,276
General State Charges	6,659	5,745	7,031	7,800	8,215	8,215
Capital Projects	3,206,888	3,415,103	3,317,827	3,441,807	3,512,235	3,580,754
<b>Functional Total</b>	<b>9,455,441</b>	<b>9,435,091</b>	<b>10,340,494</b>	<b>10,561,386</b>	<b>10,283,078</b>	<b>10,539,935</b>
<b>HEALTH</b>						
<b>Aging, Office for the</b>	<b>232,122</b>	<b>229,479</b>	<b>252,731</b>	<b>248,653</b>	<b>235,580</b>	<b>240,891</b>
Local Assistance Grants	223,719	217,793	241,091	237,013	227,096	232,407
State Operations	8,403	11,686	11,640	11,640	8,484	8,484
Personal Service	6,648	7,025	7,285	7,285	7,285	7,285
Non-Personal Service/Indirect Costs	1,755	4,661	4,355	4,355	1,199	1,199
<b>Health, Department of</b>	<b>51,263,366</b>	<b>55,632,709</b>	<b>57,513,982</b>	<b>60,224,251</b>	<b>62,263,295</b>	<b>64,454,516</b>
<b>Medical Assistance</b>	<b>45,668,670</b>	<b>48,094,607</b>	<b>48,898,212</b>	<b>51,194,469</b>	<b>53,051,100</b>	<b>55,234,510</b>
Local Assistance Grants	45,676,218	48,094,607	48,898,212	51,194,469	53,051,100	55,234,510
State Operations	(7,548)	0	0	0	0	0
Non-Personal Service/Indirect Costs	(7,548)	0	0	0	0	0
<b>Essential Plan</b>	<b>0</b>	<b>1,539,298</b>	<b>2,465,240</b>	<b>2,534,705</b>	<b>2,609,498</b>	<b>2,682,684</b>
Local Assistance Grants	0	1,525,938	2,417,585	2,494,947	2,569,796	2,641,750
State Operations	0	13,360	47,655	39,758	39,702	40,934
Personal Service	0	391	1,375	1,416	1,458	1,502
Non-Personal Service/Indirect Costs	0	12,969	46,280	38,342	38,244	39,432
<b>Medicaid Administration</b>	<b>1,336,706</b>	<b>1,666,498</b>	<b>1,683,894</b>	<b>1,676,442</b>	<b>1,641,216</b>	<b>1,634,486</b>
Local Assistance Grants	959,834	1,005,222	926,653	895,256	863,858	832,461
State Operations	376,872	658,002	757,241	781,186	777,358	802,025
Personal Service	54,236	61,581	106,225	111,514	122,843	128,128
Non-Personal Service/Indirect Costs	322,636	596,421	651,016	669,672	654,515	673,897
General State Charges	0	3,274	0	0	0	0
<b>Public Health</b>	<b>4,257,990</b>	<b>4,332,306</b>	<b>4,466,636</b>	<b>4,818,635</b>	<b>4,961,481</b>	<b>4,902,836</b>
Local Assistance Grants	3,348,072	3,555,898	3,672,791	4,081,321	4,221,504	4,162,325
State Operations	795,813	653,045	628,347	619,454	619,448	619,964
Personal Service	277,587	284,476	269,613	265,718	265,665	265,658
Non-Personal Service/Indirect Costs	518,226	368,569	358,734	353,736	353,783	354,306
General State Charges	64,555	62,215	78,209	80,571	83,240	83,258
Debt Service	1	0	0	0	0	0
Capital Projects	49,549	61,148	87,289	37,289	37,289	37,289
<b>Medicaid Inspector General, Office of the</b>	<b>51,887</b>	<b>51,999</b>	<b>51,204</b>	<b>51,204</b>	<b>51,204</b>	<b>51,204</b>
State Operations	42,723	43,045	41,864	41,864	41,864	41,864
Personal Service	33,243	33,122	31,756	31,756	31,756	31,756
Non-Personal Service/Indirect Costs	9,480	9,923	10,108	10,108	10,108	10,108
General State Charges	9,164	8,954	9,340	9,340	9,340	9,340
<b>Stem Cell and Innovation</b>	<b>33,483</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	33,294	0	0	0	0	0
Personal Service	368	0	0	0	0	0
Non-Personal Service/Indirect Costs	32,926	0	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
General State Charges	189	0	0	0	0	0
<b>Functional Total</b>	<b>51,580,858</b>	<b>55,914,187</b>	<b>57,817,917</b>	<b>60,524,108</b>	<b>62,550,079</b>	<b>64,746,611</b>
<b>SOCIAL WELFARE</b>						
<b>Children and Family Services, Office of</b>	<b>3,047,919</b>	<b>3,015,520</b>	<b>3,077,353</b>	<b>3,046,592</b>	<b>3,070,120</b>	<b>3,092,287</b>
<b>OCFS</b>	<b>2,961,920</b>	<b>2,926,598</b>	<b>2,987,080</b>	<b>2,954,592</b>	<b>2,977,939</b>	<b>2,998,218</b>
Local Assistance Grants	2,591,873	2,543,854	2,613,367	2,574,886	2,590,085	2,610,364
State Operations	342,071	344,820	336,658	342,462	350,610	350,610
Personal Service	195,309	190,637	190,776	191,344	193,249	193,249
Non-Personal Service/Indirect Costs	146,762	154,183	145,882	151,118	157,361	157,361
General State Charges	8,439	16,738	16,124	16,313	16,313	16,313
Capital Projects	19,537	21,186	20,931	20,931	20,931	20,931
<b>OCFS - Other</b>	<b>85,999</b>	<b>88,922</b>	<b>90,273</b>	<b>92,000</b>	<b>92,181</b>	<b>94,069</b>
Local Assistance Grants	85,999	88,922	90,273	92,000	92,181	94,069
<b>Housing and Community Renewal, Division of</b>	<b>221,841</b>	<b>217,494</b>	<b>310,514</b>	<b>485,925</b>	<b>706,422</b>	<b>736,272</b>
Local Assistance Grants	142,716	139,769	227,912	403,130	623,461	653,311
State Operations	60,289	58,235	59,281	59,426	59,550	59,550
Personal Service	45,865	45,570	47,840	47,923	47,998	47,998
Non-Personal Service/Indirect Costs	14,424	12,665	11,441	11,503	11,552	11,552
General State Charges	18,836	19,490	20,321	20,369	20,411	20,411
Capital Projects	0	0	3,000	3,000	3,000	3,000
<b>Human Rights, Division of</b>	<b>14,282</b>	<b>14,639</b>	<b>14,226</b>	<b>14,289</b>	<b>14,343</b>	<b>14,343</b>
State Operations	14,221	14,639	14,226	14,289	14,343	14,343
Personal Service	11,574	11,899	12,536	12,567	12,596	12,596
Non-Personal Service/Indirect Costs	2,647	2,740	1,690	1,722	1,747	1,747
General State Charges	61	0	0	0	0	0
<b>Labor, Department of</b>	<b>618,986</b>	<b>546,390</b>	<b>578,006</b>	<b>569,612</b>	<b>569,612</b>	<b>569,612</b>
Local Assistance Grants	154,836	168,509	169,715	157,475	157,475	157,475
State Operations	341,976	271,348	295,026	297,544	297,544	297,544
Personal Service	214,782	202,854	202,881	202,985	202,985	202,985
Non-Personal Service/Indirect Costs	127,194	68,494	92,145	94,559	94,559	94,559
General State Charges	122,174	106,533	113,265	114,593	114,593	114,593
<b>National and Community Service</b>	<b>17,696</b>	<b>15,100</b>	<b>14,909</b>	<b>16,029</b>	<b>16,335</b>	<b>16,335</b>
Local Assistance Grants	450	142	350	350	350	350
State Operations	17,246	14,958	14,559	15,679	15,985	15,985
Personal Service	538	556	690	701	708	708
Non-Personal Service/Indirect Costs	16,708	14,402	13,869	14,978	15,277	15,277
<b>Nonprofit Infrastructure Capital Investment Program</b>	<b>0</b>	<b>0</b>	<b>13,000</b>	<b>30,000</b>	<b>27,000</b>	<b>20,000</b>
Capital Projects	0	0	13,000	30,000	27,000	20,000
<b>Temporary and Disability Assistance, Office of</b>	<b>5,052,460</b>	<b>5,289,204</b>	<b>5,103,420</b>	<b>5,145,014</b>	<b>5,165,985</b>	<b>5,175,785</b>
<b>Welfare Assistance</b>	<b>3,770,610</b>	<b>3,985,752</b>	<b>3,794,769</b>	<b>3,837,699</b>	<b>3,849,699</b>	<b>3,851,699</b>
Local Assistance Grants	3,770,610	3,985,752	3,794,769	3,837,699	3,849,699	3,851,699
<b>All Other</b>	<b>1,281,850</b>	<b>1,303,452</b>	<b>1,308,651</b>	<b>1,307,315</b>	<b>1,316,286</b>	<b>1,324,086</b>
Local Assistance Grants	961,148	974,149	973,905	974,996	981,396	989,196
State Operations	280,916	289,826	289,373	286,946	289,517	289,517
Personal Service	141,847	142,685	145,115	145,924	146,740	146,740
Non-Personal Service/Indirect Costs	139,069	147,141	144,258	141,022	142,777	142,777
General State Charges	39,575	39,101	44,973	44,973	44,973	44,973
Capital Projects	211	376	400	400	400	400
<b>Functional Total</b>	<b>8,973,184</b>	<b>9,098,347</b>	<b>9,111,428</b>	<b>9,307,461</b>	<b>9,569,817</b>	<b>9,624,634</b>
<b>MENTAL HYGIENE</b>						
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>562,022</b>	<b>594,596</b>	<b>623,833</b>	<b>652,641</b>	<b>669,631</b>	<b>691,636</b>
<b>OASAS</b>	<b>478,107</b>	<b>507,773</b>	<b>541,444</b>	<b>569,476</b>	<b>585,535</b>	<b>606,480</b>
Local Assistance Grants	428,955	454,010	482,737	509,172	524,064	544,067
State Operations	35,757	40,645	37,929	38,275	39,047	39,599
Personal Service	24,674	24,709	28,876	29,031	29,594	29,900
Non-Personal Service/Indirect Costs	11,083	15,936	9,053	9,244	9,453	9,699
General State Charges	13,472	13,118	15,806	17,029	17,624	18,114
Capital Projects	(77)	0	4,972	5,000	4,800	4,700
<b>OASAS - Other</b>	<b>83,915</b>	<b>86,823</b>	<b>82,389</b>	<b>83,165</b>	<b>84,096</b>	<b>85,156</b>
Local Assistance Grants	21,325	21,325	21,325	21,325	21,325	21,325
State Operations	44,341	46,573	42,018	42,318	42,761	43,331
Personal Service	32,857	34,515	32,385	32,498	32,735	33,067
Non-Personal Service/Indirect Costs	11,484	12,058	9,633	9,820	10,026	10,264
General State Charges	18,249	18,925	19,046	19,522	20,010	20,500
<b>Developmental Disabilities Planning Council</b>	<b>3,566</b>	<b>3,386</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>
State Operations	3,006	2,932	3,499	3,456	3,415	3,415
Personal Service	991	838	1,253	1,266	1,266	1,266
Non-Personal Service/Indirect Costs	2,015	2,094	2,246	2,190	2,149	2,149
General State Charges	560	454	701	744	785	785
<b>Justice Center</b>	<b>32,151</b>	<b>40,205</b>	<b>42,671</b>	<b>43,325</b>	<b>44,226</b>	<b>44,921</b>
Local Assistance Grants	600	544	649	649	649	649

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
State Operations	30,937	38,996	41,226	41,811	42,633	43,310
Personal Service	19,875	26,675	27,653	27,857	28,302	28,591
Non-Personal Service/Indirect Costs	11,062	12,321	13,573	13,954	14,331	14,719
General State Charges	614	665	796	865	944	962
<b>Mental Health, Office of</b>	<b>3,323,614</b>	<b>3,359,196</b>	<b>3,379,610</b>	<b>3,519,094</b>	<b>3,715,234</b>	<b>3,817,067</b>
<b>OMH</b>	<b>1,519,657</b>	<b>1,560,431</b>	<b>1,683,475</b>	<b>1,787,515</b>	<b>1,929,699</b>	<b>1,984,639</b>
Local Assistance Grants	879,567	951,573	1,008,718	1,100,902	1,227,285	1,271,751
State Operations	337,957	356,185	345,827	349,947	355,458	359,863
Personal Service	271,043	290,796	298,209	300,721	304,751	307,787
Non-Personal Service/Indirect Costs	66,914	65,389	47,618	49,226	50,707	52,076
General State Charges	196,822	150,200	170,150	177,304	187,095	192,469
Capital Projects	105,311	102,473	158,780	159,362	159,861	160,556
<b>OMH - Other</b>	<b>1,803,957</b>	<b>1,798,765</b>	<b>1,696,135</b>	<b>1,731,579</b>	<b>1,785,535</b>	<b>1,832,428</b>
Local Assistance Grants	346,856	280,236	272,311	300,681	311,611	322,814
State Operations	1,047,217	1,062,786	985,485	981,415	997,917	1,017,251
Personal Service	807,039	833,717	760,485	752,989	763,342	775,695
Non-Personal Service/Indirect Costs	240,178	229,069	225,000	228,426	234,575	241,556
General State Charges	409,884	455,743	438,339	449,483	476,007	492,363
<b>Mental Hygiene, Department of</b>	<b>228</b>	<b>219</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	228	219	0	0	0	0
Non-Personal Service/Indirect Costs	228	219	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>3,513,914</b>	<b>3,223,358</b>	<b>3,037,578</b>	<b>3,500,494</b>	<b>3,740,881</b>	<b>3,942,562</b>
<b>OPWDD</b>	<b>482,733</b>	<b>370,536</b>	<b>451,304</b>	<b>505,356</b>	<b>554,274</b>	<b>609,319</b>
Local Assistance Grants	436,065	334,196	379,505	433,182	481,778	536,374
State Operations	931	296	1,181	1,181	1,181	1,181
Non-Personal Service/Indirect Costs	931	296	1,181	1,181	1,181	1,181
Capital Projects	45,737	36,044	70,618	70,993	71,315	71,764
<b>OPWDD - Other</b>	<b>3,031,181</b>	<b>2,852,822</b>	<b>2,586,274</b>	<b>2,995,138</b>	<b>3,186,607</b>	<b>3,333,243</b>
Local Assistance Grants	1,027,577	864,528	658,598	1,050,782	1,206,741	1,319,914
State Operations	1,374,122	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
Personal Service	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
Non-Personal Service/Indirect Costs	261,618	215,819	196,694	197,090	201,860	206,914
General State Charges	629,482	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>7,435,495</b>	<b>7,220,960</b>	<b>7,087,892</b>	<b>7,719,754</b>	<b>8,174,172</b>	<b>8,500,386</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
<b>Correction, Commission of</b>	<b>2,222</b>	<b>2,297</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>	<b>2,651</b>
State Operations	2,222	2,297	2,651	2,651	2,651	2,651
Personal Service	2,037	2,042	2,304	2,365	2,414	2,414
Non-Personal Service/Indirect Costs	185	255	347	286	237	237
<b>Correctional Services, Department of</b>	<b>2,871,404</b>	<b>2,982,445</b>	<b>2,947,894</b>	<b>2,965,130</b>	<b>2,949,886</b>	<b>2,960,041</b>
Local Assistance Grants	5,939	4,251	5,497	5,497	5,497	5,497
State Operations	2,644,227	2,717,010	2,642,850	2,650,106	2,652,862	2,660,017
Personal Service	2,104,270	2,190,226	2,089,663	2,096,869	2,099,625	2,106,780
Non-Personal Service/Indirect Costs	539,957	526,784	553,187	553,237	553,237	553,237
General State Charges	930	996	1,459	1,463	1,463	1,463
Capital Projects	220,308	260,188	298,088	308,064	290,064	293,064
<b>Criminal Justice Services, Division of</b>	<b>217,312</b>	<b>224,078</b>	<b>240,778</b>	<b>230,643</b>	<b>230,643</b>	<b>230,643</b>
Local Assistance Grants	173,080	180,062	186,186	176,051	176,051	176,051
State Operations	43,947	43,716	51,144	51,144	51,144	51,144
Personal Service	29,604	29,647	31,184	31,184	31,184	31,184
Non-Personal Service/Indirect Costs	14,343	14,069	19,960	19,960	19,960	19,960
General State Charges	285	300	3,448	3,448	3,448	3,448
<b>Disaster Assistance</b>	<b>(8,011)</b>	<b>(51,789)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	2,726	0	0	0	0	0
State Operations	(10,737)	(51,789)	0	0	0	0
Personal Service	(9,310)	(1,768)	0	0	0	0
Non-Personal Service/Indirect Costs	(1,427)	(50,021)	0	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>2,512,054</b>	<b>1,974,600</b>	<b>1,717,952</b>	<b>1,081,529</b>	<b>808,143</b>	<b>781,664</b>
Local Assistance Grants	2,322,250	1,837,898	1,628,445	1,022,182	758,620	733,794
State Operations	138,904	120,000	45,058	39,058	39,058	39,058
Personal Service	35,509	27,041	21,118	21,118	21,118	21,118
Non-Personal Service/Indirect Costs	103,395	92,959	23,940	17,940	17,940	17,940
General State Charges	8,958	10,022	3,768	3,789	3,812	3,812
Capital Projects	41,942	6,680	40,681	16,500	6,653	5,000
<b>Indigent Legal Services, Office of</b>	<b>52,689</b>	<b>60,116</b>	<b>86,695</b>	<b>105,295</b>	<b>105,295</b>	<b>105,295</b>
Local Assistance Grants	51,123	58,068	83,000	101,600	101,600	101,600
State Operations	1,051	1,449	2,739	2,739	2,739	2,739
Personal Service	928	1,211	2,204	2,204	2,204	2,204
Non-Personal Service/Indirect Costs	123	238	535	535	535	535
General State Charges	515	599	956	956	956	956
<b>Judicial Conduct, Commission on</b>	<b>5,384</b>	<b>5,567</b>	<b>5,584</b>	<b>5,584</b>	<b>5,643</b>	<b>5,708</b>
State Operations	5,384	5,567	5,584	5,584	5,643	5,708
Personal Service	4,028	4,208	4,281	4,281	4,312	4,347

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
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	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Non-Personal Service/Indirect Costs	1,356	1,359	1,303	1,303	1,331	1,361
<b>Judicial Nomination, Commission on</b>	<b>24</b>	<b>20</b>	<b>30</b>	<b>30</b>	<b>30</b>	<b>30</b>
State Operations	24	20	30	30	30	30
Non-Personal Service/Indirect Costs	24	20	30	30	30	30
<b>Judicial Screening Committees, New York State</b>	<b>12</b>	<b>14</b>	<b>38</b>	<b>38</b>	<b>38</b>	<b>38</b>
State Operations	12	14	38	38	38	38
Non-Personal Service/Indirect Costs	12	14	38	38	38	38
<b>Military and Naval Affairs, Division of</b>	<b>112,072</b>	<b>102,534</b>	<b>104,972</b>	<b>104,781</b>	<b>105,252</b>	<b>91,252</b>
Local Assistance Grants	724	805	911	911	911	911
State Operations	65,488	57,893	57,019	57,019	57,019	57,019
Personal Service	42,930	35,517	36,348	36,348	36,348	36,348
Non-Personal Service/Indirect Costs	22,558	22,376	20,671	20,671	20,671	20,671
General State Charges	16,583	5,721	8,335	8,751	9,222	9,222
Capital Projects	29,277	38,115	38,707	38,100	38,100	24,100
<b>State Police, Division of</b>	<b>697,545</b>	<b>733,485</b>	<b>782,901</b>	<b>795,674</b>	<b>785,873</b>	<b>775,793</b>
Local Assistance Grants	0	0	6,000	0	0	0
State Operations	686,358	710,507	723,412	723,574	723,574	723,574
Personal Service	582,199	629,333	626,909	637,741	637,741	637,741
Non-Personal Service/Indirect Costs	104,159	81,174	96,503	85,833	85,833	85,833
General State Charges	3,594	3,857	19,078	24,820	25,399	26,309
Capital Projects	7,593	19,121	34,411	47,280	36,900	25,910
<b>Statewide Financial System</b>	<b>31,959</b>	<b>30,070</b>	<b>30,137</b>	<b>30,143</b>	<b>30,143</b>	<b>30,143</b>
State Operations	31,959	30,070	30,137	30,143	30,143	30,143
Personal Service	9,622	10,234	11,350	11,350	11,350	11,350
Non-Personal Service/Indirect Costs	22,337	19,836	18,787	18,793	18,793	18,793
<b>Victim Services, Office of</b>	<b>61,976</b>	<b>64,276</b>	<b>66,230</b>	<b>76,090</b>	<b>76,090</b>	<b>76,090</b>
Local Assistance Grants	55,492	58,114	57,547	67,407	67,407	67,407
State Operations	4,877	4,627	6,121	6,121	6,121	6,121
Personal Service	4,171	4,074	4,834	4,834	4,834	4,834
Non-Personal Service/Indirect Costs	706	553	1,287	1,287	1,287	1,287
General State Charges	1,607	1,535	2,562	2,562	2,562	2,562
<b>Functional Total</b>	<b>6,556,642</b>	<b>6,127,713</b>	<b>5,985,862</b>	<b>5,397,588</b>	<b>5,099,687</b>	<b>5,059,348</b>
<b>HIGHER EDUCATION</b>						
<b>City University of New York</b>	<b>1,519,689</b>	<b>1,565,917</b>	<b>1,582,601</b>	<b>1,616,576</b>	<b>1,659,427</b>	<b>1,687,020</b>
Local Assistance Grants	1,395,047	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
State Operations	86,784	95,103	85,634	87,032	88,455	89,904
Personal Service	48,140	46,870	45,402	45,996	46,598	47,210
Non-Personal Service/Indirect Costs	38,644	48,233	40,232	41,036	41,857	42,694
General State Charges	7,717	7,892	7,892	7,892	7,892	7,892
Capital Projects	30,141	33,460	35,000	35,400	35,900	36,620
<b>Higher Education - Miscellaneous</b>	<b>300</b>	<b>259</b>	<b>390</b>	<b>390</b>	<b>390</b>	<b>390</b>
State Operations	217	198	291	291	291	291
Personal Service	146	133	198	198	198	198
Non-Personal Service/Indirect Costs	71	65	93	93	93	93
General State Charges	83	61	99	99	99	99
<b>Higher Education Facilities Capital Matching Grants Program</b>	<b>2,086</b>	<b>136</b>	<b>20,000</b>	<b>25,000</b>	<b>22,000</b>	<b>13,000</b>
Local Assistance Grants	2,086	136	974	0	0	0
Capital Projects	0	0	19,026	25,000	22,000	13,000
<b>Higher Education Services Corporation, New York State</b>	<b>1,273,131</b>	<b>1,084,054</b>	<b>1,104,814</b>	<b>1,161,881</b>	<b>1,182,477</b>	<b>1,193,979</b>
Local Assistance Grants	1,210,268	1,025,184	1,045,919	1,102,574	1,123,170	1,134,672
State Operations	48,899	50,252	47,910	47,910	47,910	47,910
Personal Service	16,615	15,256	16,136	16,136	16,136	16,136
Non-Personal Service/Indirect Costs	32,284	34,996	31,774	31,774	31,774	31,774
General State Charges	13,964	8,618	10,985	11,397	11,397	11,397
<b>State University of New York</b>	<b>8,025,156</b>	<b>8,042,847</b>	<b>7,939,245</b>	<b>8,003,502</b>	<b>8,071,607</b>	<b>8,160,523</b>
Local Assistance Grants	487,080	514,892	516,640	516,208	515,888	515,375
State Operations	6,087,265	6,184,867	6,154,554	6,228,787	6,326,854	6,430,482
Personal Service	3,511,499	3,637,323	3,685,078	3,719,429	3,772,285	3,829,024
Non-Personal Service/Indirect Costs	2,575,766	2,547,544	2,469,476	2,509,358	2,554,569	2,601,458
General State Charges	534,498	411,740	375,802	381,258	386,796	392,417
Capital Projects	916,313	931,348	892,249	877,249	842,069	822,249
<b>Functional Total</b>	<b>10,820,362</b>	<b>10,693,213</b>	<b>10,647,050</b>	<b>10,807,349</b>	<b>10,935,901</b>	<b>11,054,912</b>
<b>EDUCATION</b>						
<b>Arts, Council on the</b>	<b>67,068</b>	<b>42,178</b>	<b>46,213</b>	<b>45,953</b>	<b>45,953</b>	<b>45,953</b>
Local Assistance Grants	63,756	38,691	41,793	41,533	41,533	41,533
State Operations	3,312	3,487	4,420	4,420	4,420	4,420
Personal Service	2,132	2,253	2,498	2,498	2,498	2,498
Non-Personal Service/Indirect Costs	1,180	1,234	1,922	1,922	1,922	1,922
<b>Education, Department of</b>	<b>30,626,426</b>	<b>32,811,466</b>	<b>34,312,799</b>	<b>35,822,041</b>	<b>37,093,633</b>	<b>38,509,783</b>
<b>School Aid</b>	<b>23,684,046</b>	<b>25,501,461</b>	<b>27,450,245</b>	<b>29,121,439</b>	<b>30,315,647</b>	<b>31,672,716</b>
Local Assistance Grants	23,683,662	25,501,153	27,450,245	29,121,439	30,315,647	31,672,716

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
State Operations	288	296	0	0	0	0
Personal Service	68	82	0	0	0	0
Non-Personal Service/Indirect Costs	220	214	0	0	0	0
General State Charges	96	12	0	0	0	0
<b>STAR Property Tax Relief</b>	<b>3,296,950</b>	<b>3,334,700</b>	<b>3,227,844</b>	<b>2,976,792</b>	<b>2,921,232</b>	<b>2,869,171</b>
Local Assistance Grants	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<b>Special Education Categorical Programs</b>	<b>2,134,556</b>	<b>2,201,098</b>	<b>2,258,850</b>	<b>2,369,590</b>	<b>2,495,380</b>	<b>2,630,228</b>
Local Assistance Grants	2,110,122	2,178,960	2,258,850	2,369,590	2,495,380	2,630,228
State Operations	18,805	17,627	0	0	0	0
Personal Service	10,440	9,167	0	0	0	0
Non-Personal Service/Indirect Costs	8,365	8,460	0	0	0	0
General State Charges	5,629	4,511	0	0	0	0
<b>All Other</b>	<b>1,510,874</b>	<b>1,774,207</b>	<b>1,375,860</b>	<b>1,354,220</b>	<b>1,361,374</b>	<b>1,337,668</b>
Local Assistance Grants	1,130,718	1,379,274	977,094	940,860	958,867	937,625
State Operations	301,480	315,511	302,208	287,703	287,703	287,523
Personal Service	156,607	161,635	169,129	168,039	168,039	167,911
Non-Personal Service/Indirect Costs	144,873	153,876	133,079	119,664	119,664	119,612
General State Charges	73,988	72,002	83,866	85,557	87,404	87,363
Capital Projects	4,688	7,420	12,692	40,100	27,400	25,157
<b>Functional Total</b>	<b>30,693,494</b>	<b>32,853,644</b>	<b>34,359,012</b>	<b>35,867,994</b>	<b>37,139,586</b>	<b>38,555,736</b>
<b>GENERAL GOVERNMENT</b>						
<b>Budget, Division of the</b>	<b>23,809</b>	<b>24,227</b>	<b>31,022</b>	<b>30,596</b>	<b>30,596</b>	<b>30,596</b>
State Operations	22,834	23,396	29,465	28,939	28,939	28,939
Personal Service	20,223	20,436	24,514	24,567	24,567	24,567
Non-Personal Service/Indirect Costs	2,611	2,960	4,951	4,372	4,372	4,372
General State Charges	975	831	1,557	1,657	1,657	1,657
<b>Civil Service, Department of</b>	<b>13,196</b>	<b>13,424</b>	<b>13,381</b>	<b>13,381</b>	<b>13,507</b>	<b>13,617</b>
State Operations	13,045	13,277	13,205	13,205	13,331	13,441
Personal Service	12,046	11,662	12,384	12,384	12,497	12,591
Non-Personal Service/Indirect Costs	999	1,615	821	821	834	850
General State Charges	151	147	176	176	176	176
<b>Deferred Compensation Board</b>	<b>527</b>	<b>524</b>	<b>866</b>	<b>866</b>	<b>866</b>	<b>873</b>
State Operations	361	377	641	641	641	648
Personal Service	305	304	410	410	410	413
Non-Personal Service/Indirect Costs	56	73	231	231	231	235
General State Charges	166	147	225	225	225	225
<b>Elections, State Board of</b>	<b>9,818</b>	<b>12,447</b>	<b>14,982</b>	<b>14,982</b>	<b>8,587</b>	<b>8,697</b>
Local Assistance Grants	559	586	0	0	0	0
State Operations	9,259	11,861	14,982	14,982	8,587	8,697
Personal Service	4,680	5,730	5,909	5,875	6,018	6,063
Non-Personal Service/Indirect Costs	4,579	6,131	9,073	9,107	2,569	2,634
<b>Employee Relations, Office of</b>	<b>2,210</b>	<b>2,247</b>	<b>2,581</b>	<b>2,581</b>	<b>2,601</b>	<b>2,621</b>
State Operations	2,210	2,247	2,581	2,581	2,601	2,621
Personal Service	2,178	2,200	2,510	2,510	2,529	2,548
Non-Personal Service/Indirect Costs	32	47	71	71	72	73
<b>Gaming Commission, New York State</b>	<b>149,273</b>	<b>251,588</b>	<b>267,907</b>	<b>289,803</b>	<b>314,803</b>	<b>314,803</b>
Local Assistance Grants	0	91,881	99,302	114,500	139,500	139,500
State Operations	134,317	146,812	152,897	157,728	157,728	157,728
Personal Service	30,748	31,549	35,263	38,757	38,757	38,757
Non-Personal Service/Indirect Costs	103,569	115,263	117,634	118,971	118,971	118,971
General State Charges	14,956	12,895	15,708	17,575	17,575	17,575
<b>General Services, Office of</b>	<b>277,944</b>	<b>268,221</b>	<b>300,507</b>	<b>282,087</b>	<b>296,717</b>	<b>265,717</b>
Local Assistance Grants	0	0	250	250	250	250
State Operations	157,736	163,847	168,193	165,985	166,090	166,090
Personal Service	62,120	71,454	74,079	74,079	74,079	74,079
Non-Personal Service/Indirect Costs	95,616	92,393	94,114	91,906	92,011	92,011
General State Charges	2,208	2,589	446	469	494	494
Capital Projects	118,000	101,785	131,618	115,383	129,883	98,883
<b>Inspector General, Office of the</b>	<b>7,069</b>	<b>7,061</b>	<b>7,367</b>	<b>7,367</b>	<b>7,427</b>	<b>7,487</b>
State Operations	7,069	7,061	7,367	7,367	7,427	7,487
Personal Service	6,217	6,230	6,552	6,552	6,600	6,648
Non-Personal Service/Indirect Costs	852	831	815	815	827	839
<b>Labor Management Committees</b>	<b>24,098</b>	<b>24,882</b>	<b>25,300</b>	<b>25,300</b>	<b>25,300</b>	<b>25,306</b>
State Operations	24,098	24,882	25,300	25,300	25,300	25,306
Personal Service	6,402	6,619	5,446	5,446	5,446	5,487
Non-Personal Service/Indirect Costs	17,696	18,263	19,854	19,854	19,854	19,819
<b>Prevention of Domestic Violence, Office for</b>	<b>1,956</b>	<b>2,056</b>	<b>2,381</b>	<b>2,481</b>	<b>2,481</b>	<b>2,581</b>
Local Assistance Grants	543	575	785	885	885	985
State Operations	1,413	1,481	1,596	1,596	1,596	1,596
Personal Service	1,314	1,368	1,374	1,388	1,388	1,388
Non-Personal Service/Indirect Costs	99	113	222	208	208	208
<b>Public Employment Relations Board</b>	<b>3,115</b>	<b>3,433</b>	<b>3,572</b>	<b>3,573</b>	<b>3,604</b>	<b>3,634</b>
State Operations	3,115	3,433	3,572	3,573	3,604	3,634



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Personal Service	2,894	3,207	3,336	3,336	3,363	3,388
Non-Personal Service/Indirect Costs	221	226	236	237	241	246
<b>Public Integrity, Commission on</b>	<b>3,628</b>	<b>4,332</b>	<b>5,531</b>	<b>5,531</b>	<b>5,576</b>	<b>5,630</b>
State Operations	3,628	4,332	5,531	5,531	5,576	5,630
Personal Service	2,899	3,480	4,620	4,620	4,646	4,681
Non-Personal Service/Indirect Costs	729	852	911	911	930	949
<b>State, Department of</b>	<b>119,089</b>	<b>128,351</b>	<b>138,562</b>	<b>128,397</b>	<b>128,653</b>	<b>129,008</b>
Local Assistance Grants	63,843	72,431	68,541	62,836	62,836	62,836
State Operations	44,663	46,485	55,276	50,509	50,509	50,509
Personal Service	30,012	31,060	32,688	32,650	32,650	32,650
Non-Personal Service/Indirect Costs	14,651	15,425	22,588	17,859	17,859	17,859
General State Charges	10,583	9,435	12,745	13,052	13,308	13,663
Capital Projects	0	0	2,000	2,000	2,000	2,000
<b>Tax Appeals, Division of</b>	<b>2,849</b>	<b>3,035</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>	<b>3,040</b>
State Operations	2,849	3,035	3,040	3,040	3,040	3,040
Personal Service	2,688	2,763	2,870	2,870	2,870	2,870
Non-Personal Service/Indirect Costs	161	272	170	170	170	170
<b>Taxation and Finance, Department of</b>	<b>381,732</b>	<b>354,936</b>	<b>352,923</b>	<b>353,606</b>	<b>353,781</b>	<b>353,606</b>
Local Assistance Grants	906	914	2,726	2,726	2,726	2,726
State Operations	349,041	335,963	329,482	330,344	330,352	330,344
Personal Service	285,802	292,613	270,384	269,907	269,907	269,907
Non-Personal Service/Indirect Costs	63,239	43,350	59,098	60,437	60,445	60,437
General State Charges	31,785	18,059	20,715	20,536	20,703	20,536
<b>Technology, Office for</b>	<b>458,465</b>	<b>602,366</b>	<b>605,374</b>	<b>622,121</b>	<b>607,636</b>	<b>606,936</b>
State Operations	427,702	506,821	533,124	565,206	576,936	576,936
Personal Service	277,996	284,010	284,831	284,801	284,801	284,801
Non-Personal Service/Indirect Costs	149,706	222,811	248,293	280,405	292,135	292,135
General State Charges	0	234	0	0	0	0
Capital Projects	30,763	95,311	72,250	56,915	30,700	30,000
<b>Veterans' Affairs, Division of</b>	<b>13,518</b>	<b>13,612</b>	<b>17,176</b>	<b>15,546</b>	<b>15,631</b>	<b>15,631</b>
Local Assistance Grants	7,486	7,855	9,267	7,637	7,637	7,637
State Operations	5,827	5,553	7,559	7,559	7,644	7,644
Personal Service	5,312	5,117	6,675	6,675	6,742	6,742
Non-Personal Service/Indirect Costs	515	436	884	884	902	902
General State Charges	205	204	350	350	350	350
<b>Welfare Inspector General, Office of</b>	<b>573</b>	<b>569</b>	<b>672</b>	<b>672</b>	<b>686</b>	<b>701</b>
State Operations	573	569	672	672	686	701
Personal Service	472	511	617	617	621	626
Non-Personal Service/Indirect Costs	101	58	55	55	65	75
<b>Workers' Compensation Board</b>	<b>194,225</b>	<b>194,970</b>	<b>201,679</b>	<b>213,633</b>	<b>215,416</b>	<b>217,219</b>
State Operations	148,212	147,659	140,231	145,231	147,014	148,817
Personal Service	77,315	78,723	75,878	80,878	81,493	82,104
Non-Personal Service/Indirect Costs	70,897	68,936	64,353	64,353	65,521	66,713
General State Charges	46,013	44,194	51,448	53,402	53,402	53,402
Capital Projects	0	3,117	10,000	15,000	15,000	15,000
<b>Functional Total</b>	<b>1,687,094</b>	<b>1,912,281</b>	<b>1,994,823</b>	<b>2,015,563</b>	<b>2,036,908</b>	<b>2,007,703</b>
<b>ELECTED OFFICIALS</b>						
<b>Audit and Control, Department of</b>	<b>174,514</b>	<b>174,200</b>	<b>185,836</b>	<b>182,409</b>	<b>181,070</b>	<b>181,253</b>
Local Assistance Grants	32,025	32,025	32,024	32,024	32,024	32,024
State Operations	140,855	140,681	147,011	146,661	146,661	146,844
Personal Service	109,351	111,792	113,609	113,609	113,609	113,687
Non-Personal Service/Indirect Costs	31,504	28,889	33,402	33,052	33,052	33,157
General State Charges	1,634	1,494	2,201	2,324	2,385	2,385
Capital Projects	0	0	4,600	1,400	0	0
<b>Executive Chamber</b>	<b>13,966</b>	<b>13,704</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>	<b>13,578</b>
State Operations	13,966	13,704	13,578	13,578	13,578	13,578
Personal Service	10,621	10,669	11,469	11,813	11,813	11,813
Non-Personal Service/Indirect Costs	3,345	3,035	2,109	1,765	1,765	1,765
<b>Judiciary</b>	<b>2,681,428</b>	<b>2,764,516</b>	<b>2,850,600</b>	<b>2,926,553</b>	<b>2,961,553</b>	<b>2,961,553</b>
Local Assistance Grants	107,429	114,655	107,300	122,300	122,300	122,300
State Operations	1,913,851	1,964,295	2,033,000	2,033,000	2,058,400	2,060,900
Personal Service	1,480,926	1,510,827	1,556,900	1,556,900	1,582,300	1,584,800
Non-Personal Service/Indirect Costs	432,925	453,468	476,100	476,100	476,100	476,100
General State Charges	660,148	685,566	710,300	771,253	780,853	778,353
<b>Law, Department of</b>	<b>213,118</b>	<b>225,402</b>	<b>233,535</b>	<b>236,334</b>	<b>238,104</b>	<b>240,705</b>
State Operations	190,193	195,131	199,110	200,715	202,049	204,994
Personal Service	130,508	136,608	137,836	138,493	139,078	140,779
Non-Personal Service/Indirect Costs	59,685	58,523	61,274	62,222	62,971	64,215
General State Charges	20,546	28,822	29,425	30,619	32,434	33,211
Capital Projects	2,379	1,449	5,000	5,000	3,621	2,500
<b>Legislature</b>	<b>206,804</b>	<b>215,580</b>	<b>218,795</b>	<b>218,795</b>	<b>218,795</b>	<b>218,795</b>
State Operations	206,804	215,580	218,795	218,795	218,795	218,795
Personal Service	160,777	167,444	166,331	166,331	166,331	166,331
Non-Personal Service/Indirect Costs	46,027	48,136	52,464	52,464	52,464	52,464

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
(thousands of dollars)

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>Lieutenant Governor, Office of the</b>	<b>366</b>	<b>499</b>	<b>614</b>	<b>614</b>	<b>614</b>	<b>614</b>
State Operations	366	499	614	614	614	614
Personal Service	299	336	513	523	523	523
Non-Personal Service/Indirect Costs	67	163	101	91	91	91
<b>Functional Total</b>	<b><u>3,290,196</u></b>	<b><u>3,393,901</u></b>	<b><u>3,502,958</u></b>	<b><u>3,578,283</u></b>	<b><u>3,613,714</u></b>	<b><u>3,616,498</u></b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
<b>Aid and Incentives for Municipalities</b>	<b>726,438</b>	<b>728,288</b>	<b>714,756</b>	<b>762,710</b>	<b>763,347</b>	<b>763,347</b>
Local Assistance Grants	726,338	728,288	714,756	762,710	763,347	763,347
State Operations	100	0	0	0	0	0
Non-Personal Service/Indirect Costs	100	0	0	0	0	0
<b>Efficiency Incentive Grants Program</b>	<b>1,592</b>	<b>1,289</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	1,592	1,289	0	0	0	0
<b>Miscellaneous Financial Assistance</b>	<b>7,798</b>	<b>11,846</b>	<b>15,646</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	7,798	11,846	15,646	0	0	0
<b>Municipalities with VLT Facilities</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>	<b>29,331</b>
Local Assistance Grants	29,331	29,331	29,331	29,331	29,331	29,331
<b>Small Government Assistance</b>	<b>217</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	217	218	218	218	218
<b>Functional Total</b>	<b><u>765,376</u></b>	<b><u>770,971</u></b>	<b><u>759,951</u></b>	<b><u>792,259</u></b>	<b><u>792,896</u></b>	<b><u>792,896</u></b>
<b>ALL OTHER CATEGORIES</b>						
<b>General State Charges</b>	<b>4,131,687</b>	<b>4,681,599</b>	<b>4,882,652</b>	<b>5,179,977</b>	<b>5,378,702</b>	<b>5,724,751</b>
General State Charges	4,131,687	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
<b>Long-Term Debt Service</b>	<b>6,221,470</b>	<b>5,635,102</b>	<b>5,117,440</b>	<b>6,305,861</b>	<b>6,820,767</b>	<b>7,281,488</b>
State Operations	38,654	36,616	39,157	49,313	49,313	49,313
Non-Personal Service/Indirect Costs	38,654	36,616	39,157	49,313	49,313	49,313
Debt Service	6,182,816	5,598,486	5,078,283	6,256,548	6,771,454	7,232,175
<b>Miscellaneous</b>	<b>(225,257)</b>	<b>(406,371)</b>	<b>(194,940)</b>	<b>(5,211)</b>	<b>(246,634)</b>	<b>(239,611)</b>
Local Assistance Grants	(326,287)	(479,776)	(495,864)	(448,339)	(469,594)	(442,157)
State Operations	20,715	24,644	116,527	249,857	35,778	13,794
Personal Service	2,204	1,982	90,873	10,915	10,920	10,925
Non-Personal Service/Indirect Costs	18,511	22,662	25,654	238,942	24,858	2,869
General State Charges	13,902	12,039	36,147	22,021	22,182	22,462
Capital Projects	66,413	36,722	148,250	171,250	165,000	166,290
<b>Special Infrastructure Account</b>	<b>0</b>	<b>725,592</b>	<b>698,615</b>	<b>1,647,241</b>	<b>1,077,610</b>	<b>810,750</b>
Local Assistance Grants	0	33,159	10,000	20,000	20,000	10,000
Capital Projects	0	692,433	688,615	1,627,241	1,057,610	800,750
<b>Functional Total</b>	<b><u>10,127,900</u></b>	<b><u>10,635,922</u></b>	<b><u>10,503,767</u></b>	<b><u>13,127,868</u></b>	<b><u>13,030,445</u></b>	<b><u>13,577,378</u></b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b><u>143,890,703</u></b>	<b><u>150,708,487</u></b>	<b><u>155,705,506</u></b>	<b><u>163,539,494</u></b>	<b><u>166,818,624</u></b>	<b><u>171,467,983</u></b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	104,699	103,855	97,004	91,561	98,061	98,197
Alcoholic Beverage Control, Division of	17,636	17,277	12,836	12,683	12,683	12,744
Economic Development Capital	10,509	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	70,646	92,494	99,207	91,552	102,428	88,278
Empire State Development Corporation	527,613	738,836	1,564,750	1,681,999	1,423,028	1,283,928
Energy Research and Development Authority	21,047	15,191	23,450	23,000	14,724	13,000
Financial Services, Department of	493,855	361,476	350,490	359,586	362,129	370,016
Olympic Regional Development Authority	9,911	10,611	10,386	2,886	2,886	2,886
Power Authority, New York	0	0	2,500	2,500	1,244	0
Public Service Department	71,807	71,087	74,968	76,233	77,632	77,632
Regional Economic Development Program	3,071	2,787	1,500	512	356	355
Strategic Investment Program	1,030	1,427	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>1,331,824</b>	<b>1,421,655</b>	<b>2,266,091</b>	<b>2,377,788</b>	<b>2,126,792</b>	<b>1,977,036</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,416	4,350	4,682	4,682	4,682	4,682
Environmental Conservation, Department of	873,136	878,293	994,798	1,135,243	1,142,424	1,091,785
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	295,236	344,507	328,781	322,168	318,443	318,443
<b>Functional Total</b>	<b>1,172,837</b>	<b>1,230,602</b>	<b>1,328,261</b>	<b>1,462,093</b>	<b>1,465,549</b>	<b>1,414,910</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	280,755	292,446	301,333	302,493	305,100	305,441
Thruway Authority, New York State	21,911	17,948	0	0	0	0
Transportation, Department of	9,152,775	9,124,697	9,526,990	9,615,208	9,727,978	9,884,494
<b>Functional Total</b>	<b>9,455,441</b>	<b>9,435,091</b>	<b>10,340,494</b>	<b>10,561,386</b>	<b>10,283,078</b>	<b>10,539,935</b>
<b>HEALTH</b>						
Aging, Office for the	232,122	229,479	252,731	248,653	235,580	240,891
Health, Department of	51,263,366	55,632,709	57,513,982	60,224,251	62,263,295	64,454,516
<i>Medical Assistance</i>	45,668,670	48,094,607	48,898,212	51,194,469	53,051,100	55,234,510
<i>Essential Plan</i>	0	1,539,298	2,465,240	2,534,705	2,609,498	2,682,684
<i>Medicaid Administration</i>	1,336,706	1,666,498	1,683,894	1,676,442	1,641,216	1,634,486
<i>Public Health</i>	4,257,990	4,332,306	4,466,636	4,818,635	4,961,481	4,902,836
Medicaid Inspector General, Office of the	51,887	51,999	51,204	51,204	51,204	51,204
Stem Cell and Innovation	33,483	0	0	0	0	0
<b>Functional Total</b>	<b>51,580,858</b>	<b>55,914,187</b>	<b>57,817,917</b>	<b>60,524,108</b>	<b>62,550,079</b>	<b>64,746,611</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	3,047,919	3,015,520	3,077,353	3,046,592	3,070,120	3,092,287
<i>OCFS</i>	2,961,920	2,926,598	2,987,080	2,954,592	2,977,939	2,998,218
<i>OCFS - Other</i>	85,999	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	221,841	217,494	310,514	485,925	706,422	736,272
Human Rights, Division of	14,282	14,639	14,226	14,289	14,343	14,343
Labor, Department of	618,986	546,390	578,006	569,612	569,612	569,612
National and Community Service	17,696	15,100	14,909	16,029	16,335	16,335
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	5,052,460	5,289,204	5,103,420	5,145,014	5,165,985	5,175,785
<i>Welfare Assistance</i>	3,770,610	3,985,752	3,794,769	3,837,699	3,849,699	3,851,699
<i>All Other</i>	1,281,850	1,303,452	1,308,651	1,307,315	1,316,286	1,324,086
<b>Functional Total</b>	<b>8,973,184</b>	<b>9,098,347</b>	<b>9,111,428</b>	<b>9,307,461</b>	<b>9,569,817</b>	<b>9,624,634</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	562,022	594,596	623,833	652,641	669,631	691,636
<i>OASAS</i>	478,107	507,773	541,444	569,476	585,535	606,480
<i>OASAS - Other</i>	83,915	86,823	82,389	83,165	84,096	85,156
Developmental Disabilities Planning Council	3,566	3,386	4,200	4,200	4,200	4,200
Justice Center	32,151	40,205	42,671	43,325	44,226	44,921
Mental Health, Office of	3,323,614	3,359,196	3,379,610	3,519,094	3,715,234	3,817,067
<i>OMH</i>	1,519,657	1,560,431	1,683,475	1,787,515	1,929,699	1,984,639
<i>OMH - Other</i>	1,803,957	1,798,765	1,696,135	1,731,579	1,785,535	1,832,428
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	3,513,914	3,223,358	3,037,578	3,500,494	3,740,881	3,942,562
<i>OPWDD</i>	482,733	370,536	451,304	505,356	554,274	609,319
<i>OPWDD - Other</i>	3,031,181	2,852,822	2,586,274	2,995,138	3,186,607	3,333,243
<b>Functional Total</b>	<b>7,435,495</b>	<b>7,220,960</b>	<b>7,087,892</b>	<b>7,719,754</b>	<b>8,174,172</b>	<b>8,500,386</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,222	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,871,404	2,982,445	2,947,894	2,965,130	2,949,886	2,960,041
Criminal Justice Services, Division of	217,312	224,078	240,778	230,643	230,643	230,643
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	2,512,054	1,974,600	1,717,952	1,081,529	808,143	781,664
Indigent Legal Services, Office of	52,689	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	112,072	102,534	104,972	104,781	105,252	91,252
State Police, Division of	697,545	733,485	782,901	795,674	785,873	775,793
Statewide Financial System	31,959	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	61,976	64,276	66,230	76,090	76,090	76,090
<b>Functional Total</b>	<b>6,556,642</b>	<b>6,127,713</b>	<b>5,985,862</b>	<b>5,397,588</b>	<b>5,099,687</b>	<b>5,059,348</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,519,689	1,565,917	1,582,601	1,616,576	1,659,427	1,687,020
Higher Education - Miscellaneous	300	259	390	390	390	390
Higher Education Facilities Capital Matching Grants Program	2,086	136	20,000	25,000	22,000	13,000
Higher Education Services Corporation, New York State	1,273,131	1,084,054	1,104,814	1,161,881	1,182,477	1,193,979
State University of New York	8,025,152	8,042,846	7,939,245	8,003,502	8,071,607	8,160,523
<b>Functional Total</b>	<b>10,820,358</b>	<b>10,693,212</b>	<b>10,647,050</b>	<b>10,807,349</b>	<b>10,935,901</b>	<b>11,054,912</b>
<b>EDUCATION</b>						
Arts, Council on the	67,068	42,178	46,213	45,953	45,953	45,953
Education, Department of	30,626,426	32,811,466	34,312,799	35,822,041	37,093,633	38,509,783
<i>School Aid</i>	23,684,046	25,501,461	27,450,245	29,121,439	30,315,647	31,672,716
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	2,134,556	2,201,098	2,258,850	2,369,590	2,495,380	2,630,228
<i>All Other</i>	1,510,874	1,774,207	1,375,860	1,354,220	1,361,374	1,337,668
<b>Functional Total</b>	<b>30,693,494</b>	<b>32,853,644</b>	<b>34,359,012</b>	<b>35,867,994</b>	<b>37,139,586</b>	<b>38,555,736</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	23,809	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,196	13,424	13,381	13,381	13,507	13,617
Deferred Compensation Board	527	524	866	866	866	873
Elections, State Board of	9,818	12,447	14,982	14,982	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	149,273	251,588	267,907	289,803	314,803	314,803
General Services, Office of	277,944	268,221	300,507	282,087	296,717	265,717
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,956	2,056	2,381	2,481	2,481	2,581
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	119,089	128,351	138,562	128,397	128,653	129,008
Tax Appeals, Division of	2,849	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	381,732	354,936	352,923	353,606	353,781	353,606
Technology, Office for	458,465	602,366	605,374	622,121	607,636	606,936
Veterans' Affairs, Division of	13,518	13,612	17,176	15,546	15,631	15,631
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	194,225	194,970	201,679	213,633	215,416	217,219
<b>Functional Total</b>	<b>1,687,094</b>	<b>1,912,281</b>	<b>1,994,823</b>	<b>2,015,563</b>	<b>2,036,908</b>	<b>2,007,703</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	174,514	174,200	185,836	182,409	181,070	181,253
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	2,681,428	2,764,517	2,850,600	2,926,553	2,961,553	2,961,553
Law, Department of	213,118	225,402	233,535	236,334	238,104	240,705
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
<b>Functional Total</b>	<b>3,290,196</b>	<b>3,393,902</b>	<b>3,502,958</b>	<b>3,578,283</b>	<b>3,613,714</b>	<b>3,616,498</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	726,438	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>765,376</b>	<b>770,971</b>	<b>759,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,131,686	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
Long-Term Debt Service	6,221,470	5,635,102	5,117,440	6,305,861	6,820,767	7,281,488
Miscellaneous	(225,257)	(406,371)	(194,940)	(5,211)	(246,634)	(239,611)
Special Infrastructure Account	0	725,592	698,615	1,647,241	1,077,610	810,750
<b>Functional Total</b>	<b>10,127,899</b>	<b>10,635,922</b>	<b>10,503,767</b>	<b>13,127,868</b>	<b>13,030,445</b>	<b>13,577,378</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b>143,890,698</b>	<b>150,708,487</b>	<b>155,705,506</b>	<b>163,539,494</b>	<b>166,818,624</b>	<b>171,467,983</b>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	25,275	27,279	32,271	35,026	25,612	25,612
Economic Development Capital	8,524	6,614	0	0	0	0
Economic Development, Department of	50,363	71,410	64,130	67,434	67,434	67,434
Empire State Development Corporation	461,088	705,116	1,319,449	1,309,321	979,250	916,250
Energy Research and Development Authority	5,527	1,842	0	0	0	0
Financial Services, Department of	223,476	80,686	57,174	57,049	57,049	57,049
Public Service Department	0	0	172	172	172	172
Regional Economic Development Program	3,071	2,787	0	0	0	0
Strategic Investment Program	1,030	1,427	0	0	0	0
<b>Functional Total</b>	<b>778,354</b>	<b>897,161</b>	<b>1,473,196</b>	<b>1,469,002</b>	<b>1,129,517</b>	<b>1,066,517</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	307,428	312,669	205,798	207,305	205,390	205,390
Parks, Recreation and Historic Preservation, Office of	9,722	13,682	10,179	9,470	9,470	9,470
<b>Functional Total</b>	<b>317,150</b>	<b>326,351</b>	<b>215,977</b>	<b>216,775</b>	<b>214,860</b>	<b>214,860</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	14,536	14,681	18,000	18,000	18,000	18,000
Thruway Authority, New York State	3,570	1,379	0	0	0	0
Transportation, Department of	5,910,854	5,680,162	6,173,318	6,136,591	6,178,518	6,266,515
<b>Functional Total</b>	<b>5,928,960</b>	<b>5,696,222</b>	<b>6,703,489</b>	<b>6,798,276</b>	<b>6,446,518</b>	<b>6,634,515</b>
<b>HEALTH</b>						
Aging, Office for the	223,719	217,793	241,091	237,013	227,096	232,407
Health, Department of	49,984,124	54,181,665	55,915,241	58,665,993	60,706,258	62,871,046
<i>Medical Assistance</i>	45,676,218	48,094,607	48,898,212	51,194,469	53,051,100	55,234,510
<i>Essential Plan</i>	0	1,525,938	2,417,585	2,494,947	2,569,796	2,641,750
<i>Medicaid Administration</i>	959,834	1,005,222	926,653	895,256	863,858	832,461
<i>Public Health</i>	3,348,072	3,555,898	3,672,791	4,081,321	4,221,504	4,162,325
<b>Functional Total</b>	<b>50,207,843</b>	<b>54,399,458</b>	<b>56,156,332</b>	<b>58,903,006</b>	<b>60,933,354</b>	<b>63,103,453</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,677,872	2,632,776	2,703,640	2,666,886	2,682,266	2,704,433
<i>OCFS</i>	2,591,873	2,543,854	2,613,367	2,574,886	2,590,085	2,610,364
<i>OCFS - Other</i>	85,999	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	142,716	139,769	227,912	403,130	623,461	653,311
Labor, Department of	154,836	168,509	169,715	157,475	157,475	157,475
National and Community Service	450	142	350	350	350	350
Temporary and Disability Assistance, Office of	4,731,758	4,959,901	4,768,674	4,812,695	4,831,095	4,840,895
<i>Welfare Assistance</i>	3,770,610	3,985,752	3,794,769	3,837,699	3,849,699	3,851,699
<i>All Other</i>	961,148	974,149	973,905	974,996	981,396	989,196
<b>Functional Total</b>	<b>7,707,632</b>	<b>7,901,097</b>	<b>7,870,291</b>	<b>8,040,536</b>	<b>8,294,647</b>	<b>8,356,464</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	450,280	475,335	504,062	530,497	545,389	565,392
<i>OASAS</i>	428,955	454,010	482,737	509,172	524,064	544,067
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	600	544	649	649	649	649
Mental Health, Office of	1,226,423	1,231,809	1,281,029	1,401,583	1,538,896	1,594,565
<i>OMH</i>	879,567	951,573	1,008,718	1,100,902	1,227,285	1,271,751
<i>OMH - Other</i>	346,856	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	1,463,642	1,198,724	1,038,103	1,483,964	1,688,519	1,856,288
<i>OPWDD</i>	436,065	334,196	379,505	433,182	481,778	536,374
<i>OPWDD - Other</i>	1,027,577	864,528	658,598	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>3,140,945</b>	<b>2,906,412</b>	<b>2,823,843</b>	<b>3,416,693</b>	<b>3,773,453</b>	<b>4,016,894</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	5,939	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	173,080	180,062	186,186	176,051	176,051	176,051
Disaster Assistance	2,726	0	0	0	0	0
Homeland Security and Emergency Services, Division of	2,322,250	1,837,898	1,628,445	1,022,182	758,620	733,794
Indigent Legal Services, Office of	51,123	58,068	83,000	101,600	101,600	101,600
Military and Naval Affairs, Division of	724	805	911	911	911	911
State Police, Division of	0	0	6,000	0	0	0
Victim Services, Office of	55,492	58,114	57,547	67,407	67,407	67,407
<b>Functional Total</b>	<b>2,611,334</b>	<b>2,139,198</b>	<b>1,967,586</b>	<b>1,373,648</b>	<b>1,110,086</b>	<b>1,085,260</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,395,047	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Facilities Capital Matching Grants Program	2,086	136	974	0	0	0
Higher Education Services Corporation, New York State	1,210,268	1,025,184	1,045,919	1,102,574	1,123,170	1,134,672
State University of New York	487,080	514,892	516,640	516,208	515,888	515,375
<b>Functional Total</b>	<b>3,094,481</b>	<b>2,969,674</b>	<b>3,017,608</b>	<b>3,105,034</b>	<b>3,166,238</b>	<b>3,202,651</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>EDUCATION</b>						
Arts, Council on the	63,756	38,691	41,793	41,533	41,533	41,533
Education, Department of	<u>30,221,452</u>	<u>32,394,087</u>	<u>33,914,033</u>	<u>35,408,681</u>	<u>36,691,126</u>	<u>38,109,740</u>
<i>School Aid</i>	23,683,662	25,501,153	27,450,245	29,121,439	30,315,647	31,672,716
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	2,110,122	2,178,960	2,258,850	2,369,590	2,495,380	2,630,228
<i>All Other</i>	<u>1,130,718</u>	<u>1,379,274</u>	<u>977,094</u>	<u>940,860</u>	<u>958,867</u>	<u>937,625</u>
<b>Functional Total</b>	<u>30,285,208</u>	<u>32,432,778</u>	<u>33,955,826</u>	<u>35,450,214</u>	<u>36,732,659</u>	<u>38,151,273</u>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	559	586	0	0	0	0
Gaming Commission, New York State	0	91,881	99,302	114,500	139,500	139,500
General Services, Office of	0	0	250	250	250	250
Prevention of Domestic Violence, Office for	543	575	785	885	885	985
State, Department of	63,843	72,431	68,541	62,836	62,836	62,836
Taxation and Finance, Department of	906	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,486	7,855	9,267	7,637	7,637	7,637
<b>Functional Total</b>	<u>73,337</u>	<u>174,242</u>	<u>180,871</u>	<u>188,834</u>	<u>213,834</u>	<u>213,934</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	<u>107,429</u>	<u>114,655</u>	<u>107,300</u>	<u>122,300</u>	<u>122,300</u>	<u>122,300</u>
<b>Functional Total</b>	<u>139,454</u>	<u>146,680</u>	<u>139,324</u>	<u>154,324</u>	<u>154,324</u>	<u>154,324</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	726,338	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<u>765,276</u>	<u>770,971</u>	<u>759,951</u>	<u>792,259</u>	<u>792,896</u>	<u>792,896</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(326,287)	(479,776)	(495,864)	(448,339)	(469,594)	(442,157)
Special Infrastructure Account	0	33,159	10,000	20,000	20,000	10,000
<b>Functional Total</b>	<u>(326,287)</u>	<u>(446,617)</u>	<u>(485,864)</u>	<u>(428,339)</u>	<u>(449,594)</u>	<u>(432,157)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>104,723,687</u>	<u>110,313,627</u>	<u>114,778,430</u>	<u>119,480,262</u>	<u>122,512,792</u>	<u>126,560,884</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	64,890	68,227	52,688	49,378	65,197	65,202
Alcoholic Beverage Control, Division of	13,095	12,501	12,683	12,683	12,683	12,744
Economic Development, Department of	20,151	21,056	21,616	20,816	20,816	20,816
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	4,841	1,431	0	0	0	0
Financial Services, Department of	193,325	203,339	210,626	211,926	211,926	215,831
Olympic Regional Development Authority	3,011	3,091	2,886	2,886	2,886	2,886
Public Service Department	49,158	51,548	50,589	50,600	50,600	50,600
<b>Functional Total</b>	<b>348,521</b>	<b>361,193</b>	<b>351,088</b>	<b>348,289</b>	<b>364,108</b>	<b>368,079</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,416	4,350	4,682	4,682	4,682	4,682
Environmental Conservation, Department of	271,334	280,475	273,926	273,777	274,446	275,336
Parks, Recreation and Historic Preservation, Office of	183,841	184,894	179,005	179,005	177,755	177,755
<b>Functional Total</b>	<b>459,591</b>	<b>469,719</b>	<b>457,613</b>	<b>457,464</b>	<b>456,883</b>	<b>457,773</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	60,675	62,922	56,870	57,520	57,520	57,520
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	28,374	23,687	28,814	29,010	29,010	29,010
<b>Functional Total</b>	<b>107,390</b>	<b>103,178</b>	<b>85,684</b>	<b>86,530</b>	<b>86,530</b>	<b>86,530</b>
<b>HEALTH</b>						
Aging, Office for the	8,403	11,686	11,640	11,640	8,484	8,484
Health, Department of	1,165,137	1,324,407	1,433,243	1,440,398	1,436,508	1,462,923
<i>Medical Assistance</i>	(7,548)	0	0	0	0	0
<i>Essential Plan</i>	0	13,360	47,655	39,758	39,702	40,934
<i>Medicaid Administration</i>	376,872	658,002	757,241	781,186	777,358	802,025
<i>Public Health</i>	795,813	653,045	628,347	619,454	619,448	619,964
Medicaid Inspector General, Office of the	42,723	43,045	41,864	41,864	41,864	41,864
Stem Cell and Innovation	33,294	0	0	0	0	0
<b>Functional Total</b>	<b>1,249,557</b>	<b>1,379,138</b>	<b>1,486,747</b>	<b>1,493,902</b>	<b>1,486,856</b>	<b>1,513,271</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	342,071	344,820	336,658	342,462	350,610	350,610
<i>OCFS</i>	342,071	344,820	336,658	342,462	350,610	350,610
Housing and Community Renewal, Division of	60,289	58,235	59,281	59,426	59,550	59,550
Human Rights, Division of	14,221	14,639	14,226	14,289	14,343	14,343
Labor, Department of	341,976	271,348	295,026	297,544	297,544	297,544
National and Community Service	17,246	14,958	14,559	15,679	15,985	15,985
Temporary and Disability Assistance, Office of	280,916	289,826	289,373	286,946	289,517	289,517
<i>All Other</i>	280,916	289,826	289,373	286,946	289,517	289,517
<b>Functional Total</b>	<b>1,056,719</b>	<b>993,826</b>	<b>1,009,123</b>	<b>1,016,346</b>	<b>1,027,549</b>	<b>1,027,549</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	80,098	87,218	79,947	80,593	81,808	82,930
<i>OASAS</i>	35,757	40,645	37,929	38,275	39,047	39,599
<i>OASAS - Other</i>	44,341	46,573	42,018	42,318	42,761	43,331
Developmental Disabilities Planning Council	3,006	2,932	3,499	3,456	3,415	3,415
Justice Center	30,937	38,996	41,226	41,811	42,633	43,310
Mental Health, Office of	1,385,174	1,418,971	1,331,312	1,331,362	1,353,375	1,377,114
<i>OMH</i>	337,957	356,185	345,827	349,947	355,458	359,863
<i>OMH - Other</i>	1,047,217	1,062,786	985,485	981,415	997,917	1,017,251
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	1,375,053	1,367,905	1,295,059	1,289,901	1,306,645	1,323,172
<i>OPWDD</i>	931	296	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	1,374,122	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
<b>Functional Total</b>	<b>2,874,496</b>	<b>2,916,241</b>	<b>2,751,043</b>	<b>2,747,123</b>	<b>2,787,876</b>	<b>2,829,941</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,222	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,644,227	2,717,010	2,642,850	2,650,106	2,652,862	2,660,017
Criminal Justice Services, Division of	43,947	43,716	51,144	51,144	51,144	51,144
Disaster Assistance	(10,737)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	138,904	120,000	45,058	39,058	39,058	39,058
Indigent Legal Services, Office of	1,051	1,449	2,739	2,739	2,739	2,739
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	65,488	57,893	57,019	57,019	57,019	57,019
State Police, Division of	686,358	710,507	723,412	723,574	723,574	723,574
Statewide Financial System	31,959	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	4,877	4,627	6,121	6,121	6,121	6,121
<b>Functional Total</b>	<b>3,613,716</b>	<b>3,641,381</b>	<b>3,566,783</b>	<b>3,568,207</b>	<b>3,571,022</b>	<b>3,578,242</b>
<b>HIGHER EDUCATION</b>						
City University of New York	86,784	95,103	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	217	198	291	291	291	291
Higher Education Services Corporation, New York State	48,899	50,252	47,910	47,910	47,910	47,910
State University of New York	6,087,262	6,184,867	6,154,554	6,228,787	6,326,854	6,430,482
<b>Functional Total</b>	<b>6,223,162</b>	<b>6,330,420</b>	<b>6,288,389</b>	<b>6,364,020</b>	<b>6,463,510</b>	<b>6,568,587</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>EDUCATION</b>						
Arts, Council on the	3,312	3,487	4,420	4,420	4,420	4,420
Education, Department of	320,573	333,434	302,208	287,703	287,703	287,523
<i>School Aid</i>	288	296	0	0	0	0
<i>Special Education Categorical Programs</i>	18,805	17,627	0	0	0	0
<i>All Other</i>	301,480	315,511	302,208	287,703	287,703	287,523
<b>Functional Total</b>	<b>323,885</b>	<b>336,921</b>	<b>306,628</b>	<b>292,123</b>	<b>292,123</b>	<b>291,943</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	22,834	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,045	13,277	13,205	13,205	13,331	13,441
Deferred Compensation Board	361	377	641	641	641	648
Elections, State Board of	9,259	11,861	14,982	14,982	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	134,317	146,812	152,897	157,728	157,728	157,728
General Services, Office of	157,736	163,847	168,193	165,985	166,090	166,090
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,413	1,481	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	44,663	46,485	55,276	50,509	50,509	50,509
Tax Appeals, Division of	2,849	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	349,041	335,963	329,482	330,344	330,352	330,344
Technology, Office for	427,702	506,821	533,124	565,206	576,936	576,936
Veterans' Affairs, Division of	5,827	5,553	7,559	7,559	7,644	7,644
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	148,212	147,659	140,231	145,231	147,014	148,817
<b>Functional Total</b>	<b>1,357,952</b>	<b>1,449,091</b>	<b>1,494,714</b>	<b>1,529,989</b>	<b>1,537,601</b>	<b>1,539,808</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	140,855	140,681	147,011	146,661	146,661	146,844
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	1,913,850	1,964,296	2,033,000	2,033,000	2,058,400	2,060,900
Law, Department of	190,193	195,131	199,110	200,715	202,049	204,994
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
<b>Functional Total</b>	<b>2,466,034</b>	<b>2,529,891</b>	<b>2,612,108</b>	<b>2,613,363</b>	<b>2,640,097</b>	<b>2,645,725</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	100	0	0	0	0	0
<b>Functional Total</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,654	36,616	39,157	49,313	49,313	49,313
Miscellaneous	20,715	24,644	116,527	249,857	35,778	13,794
<b>Functional Total</b>	<b>59,369</b>	<b>61,260</b>	<b>155,684</b>	<b>299,170</b>	<b>85,091</b>	<b>63,107</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>20,140,492</b>	<b>20,572,259</b>	<b>20,565,604</b>	<b>20,816,526</b>	<b>20,799,246</b>	<b>20,970,555</b>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	31,660	32,116	31,993	31,998	32,003	32,008
Alcoholic Beverage Control, Division of	7,657	7,622	8,147	8,147	8,147	8,208
Economic Development, Department of	11,493	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	3,622	1,024	0	0	0	0
Financial Services, Department of	138,372	149,000	154,155	155,905	155,905	156,590
Olympic Regional Development Authority	2,548	2,593	2,548	2,548	2,548	2,548
Public Service Department	41,245	42,792	43,088	43,105	43,105	43,105
<b>Functional Total</b>	<b>236,597</b>	<b>247,121</b>	<b>253,260</b>	<b>255,032</b>	<b>255,037</b>	<b>255,788</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	3,893	4,036	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	201,672	207,472	202,225	202,078	202,359	202,476
Parks, Recreation and Historic Preservation, Office of	136,026	137,415	132,806	132,806	132,556	132,556
<b>Functional Total</b>	<b>341,591</b>	<b>348,923</b>	<b>339,058</b>	<b>338,911</b>	<b>338,942</b>	<b>339,059</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	44,853	44,887	38,930	39,580	39,580	39,580
Transportation, Department of	10,883	10,209	12,554	12,734	12,734	12,734
<b>Functional Total</b>	<b>55,736</b>	<b>55,096</b>	<b>51,484</b>	<b>52,314</b>	<b>52,314</b>	<b>52,314</b>
<b>HEALTH</b>						
Aging, Office for the	6,648	7,025	7,285	7,285	7,285	7,285
Health, Department of	331,823	346,448	377,213	378,648	389,966	395,288
<i>Essential Plan</i>	0	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	54,236	61,581	106,225	111,514	122,843	128,128
<i>Public Health</i>	277,587	284,476	269,613	265,718	265,665	265,658
Medicaid Inspector General, Office of the	33,243	33,122	31,756	31,756	31,756	31,756
Stem Cell and Innovation	368	0	0	0	0	0
<b>Functional Total</b>	<b>372,082</b>	<b>386,595</b>	<b>416,254</b>	<b>417,689</b>	<b>429,007</b>	<b>434,329</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	195,309	190,637	190,776	191,344	193,249	193,249
<i>OCFS</i>	195,309	190,637	190,776	191,344	193,249	193,249
Housing and Community Renewal, Division of	45,865	45,570	47,840	47,923	47,998	47,998
Human Rights, Division of	11,574	11,899	12,536	12,567	12,596	12,596
Labor, Department of	214,782	202,854	202,881	202,985	202,985	202,985
National and Community Service	538	556	690	701	708	708
Temporary and Disability Assistance, Office of	141,847	142,685	145,115	145,924	146,740	146,740
<i>All Other</i>	141,847	142,685	145,115	145,924	146,740	146,740
<b>Functional Total</b>	<b>609,915</b>	<b>594,201</b>	<b>599,838</b>	<b>601,444</b>	<b>604,276</b>	<b>604,276</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	57,531	59,224	61,261	61,529	62,329	62,967
<i>OASAS</i>	24,674	24,709	28,876	29,031	29,594	29,900
<i>OASAS - Other</i>	32,857	34,515	32,385	32,498	32,735	33,067
Developmental Disabilities Planning Council	991	838	1,253	1,266	1,266	1,266
Justice Center	19,875	26,675	27,653	27,857	28,302	28,591
Mental Health, Office of	1,078,082	1,124,513	1,058,694	1,053,710	1,068,093	1,083,482
<i>OMH</i>	271,043	290,796	298,209	300,721	304,751	307,787
<i>OMH - Other</i>	807,039	833,717	760,485	752,989	763,342	775,695
People with Developmental Disabilities, Office for	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<i>OPWDD - Other</i>	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<b>Functional Total</b>	<b>2,268,983</b>	<b>2,363,040</b>	<b>2,246,045</b>	<b>2,235,992</b>	<b>2,263,594</b>	<b>2,291,383</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,037	2,042	2,304	2,365	2,414	2,414
Correctional Services, Department of	2,104,270	2,190,226	2,089,663	2,096,869	2,099,625	2,106,780
Criminal Justice Services, Division of	29,604	29,647	31,184	31,184	31,184	31,184
Disaster Assistance	(9,310)	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	35,509	27,041	21,118	21,118	21,118	21,118
Indigent Legal Services, Office of	928	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,028	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	42,930	35,517	36,348	36,348	36,348	36,348
State Police, Division of	582,199	629,333	626,909	637,741	637,741	637,741
Statewide Financial System	9,622	10,234	11,350	11,350	11,350	11,350
Victim Services, Office of	4,171	4,074	4,834	4,834	4,834	4,834
<b>Functional Total</b>	<b>2,805,988</b>	<b>2,931,765</b>	<b>2,830,195</b>	<b>2,848,294</b>	<b>2,851,130</b>	<b>2,858,320</b>
<b>HIGHER EDUCATION</b>						
City University of New York	48,140	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	146	133	198	198	198	198
Higher Education Services Corporation, New York State	16,615	15,256	16,136	16,136	16,136	16,136
State University of New York	3,511,497	3,637,321	3,685,078	3,719,429	3,772,285	3,829,024
<b>Functional Total</b>	<b>3,576,398</b>	<b>3,699,580</b>	<b>3,746,814</b>	<b>3,781,759</b>	<b>3,835,217</b>	<b>3,892,568</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>EDUCATION</b>						
Arts, Council on the	2,132	2,253	2,498	2,498	2,498	2,498
Education, Department of	<u>167,115</u>	<u>170,884</u>	<u>169,129</u>	<u>168,039</u>	<u>168,039</u>	<u>167,911</u>
<i>School Aid</i>	68	82	0	0	0	0
<i>Special Education Categorical Programs</i>	10,440	9,167	0	0	0	0
<i>All Other</i>	<u>156,607</u>	<u>161,635</u>	<u>169,129</u>	<u>168,039</u>	<u>168,039</u>	<u>167,911</u>
<b>Functional Total</b>	<u>169,247</u>	<u>173,137</u>	<u>171,627</u>	<u>170,537</u>	<u>170,537</u>	<u>170,409</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	20,223	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	12,046	11,662	12,384	12,384	12,497	12,591
Deferred Compensation Board	305	304	410	410	410	413
Elections, State Board of	4,680	5,730	5,909	5,875	6,018	6,063
Employee Relations, Office of	2,178	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	30,748	31,549	35,263	38,757	38,757	38,757
General Services, Office of	62,120	71,454	74,079	74,079	74,079	74,079
Inspector General, Office of the	6,217	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,402	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,314	1,368	1,374	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	2,899	3,480	4,620	4,620	4,646	4,681
State, Department of	30,012	31,060	32,688	32,650	32,650	32,650
Tax Appeals, Division of	2,688	2,763	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	292,613	270,384	269,907	269,907	269,907
Technology, Office for	277,996	284,010	284,831	284,801	284,801	284,801
Veterans' Affairs, Division of	5,312	5,117	6,675	6,675	6,742	6,742
Welfare Inspector General, Office of	472	511	617	617	621	626
Workers' Compensation Board	<u>77,315</u>	<u>78,723</u>	<u>75,878</u>	<u>80,878</u>	<u>81,493</u>	<u>82,104</u>
<b>Functional Total</b>	<u>831,623</u>	<u>859,036</u>	<u>850,340</u>	<u>858,322</u>	<u>859,384</u>	<u>860,310</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	109,351	111,792	113,609	113,609	113,609	113,687
Executive Chamber	10,621	10,669	11,469	11,813	11,813	11,813
Judiciary	1,480,926	1,510,827	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	130,508	136,608	137,836	138,493	139,078	140,779
Legislature	160,777	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	336	513	523	523	523
<b>Functional Total</b>	<u>1,892,482</u>	<u>1,937,676</u>	<u>1,986,658</u>	<u>1,987,669</u>	<u>2,013,654</u>	<u>2,017,933</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,204	1,982	90,873	10,915	10,920	10,925
<b>Functional Total</b>	<u>2,204</u>	<u>1,982</u>	<u>90,873</u>	<u>10,915</u>	<u>10,920</u>	<u>10,925</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>13,162,846</u>	<u>13,598,152</u>	<u>13,582,446</u>	<u>13,558,878</u>	<u>13,684,012</u>	<u>13,787,614</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	33,230	36,111	20,695	17,380	33,194	33,194
Alcoholic Beverage Control, Division of	5,438	4,879	4,536	4,536	4,536	4,536
Economic Development, Department of	8,658	9,082	8,287	7,487	7,487	7,487
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	1,219	407	0	0	0	0
Financial Services, Department of	54,953	54,339	56,471	56,021	56,021	59,241
Olympic Regional Development Authority	463	498	338	338	338	338
Public Service Department	7,913	8,756	7,501	7,495	7,495	7,495
<b>Functional Total</b>	<b>111,924</b>	<b>114,072</b>	<b>97,828</b>	<b>93,257</b>	<b>109,071</b>	<b>112,291</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	523	314	655	655	655	655
Environmental Conservation, Department of	69,662	73,003	71,701	71,699	72,087	72,860
Parks, Recreation and Historic Preservation, Office of	47,815	47,479	46,199	46,199	45,199	45,199
<b>Functional Total</b>	<b>118,000</b>	<b>120,796</b>	<b>118,555</b>	<b>118,553</b>	<b>117,941</b>	<b>118,714</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	15,822	18,035	17,940	17,940	17,940	17,940
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	17,491	13,478	16,260	16,276	16,276	16,276
<b>Functional Total</b>	<b>51,654</b>	<b>48,082</b>	<b>34,200</b>	<b>34,216</b>	<b>34,216</b>	<b>34,216</b>
<b>HEALTH</b>						
Aging, Office for the	1,755	4,661	4,355	4,355	1,199	1,199
Health, Department of	833,314	977,959	1,056,030	1,061,750	1,046,542	1,067,635
<i>Medical Assistance</i>	(7,548)	0	0	0	0	0
<i>Essential Plan</i>	0	12,969	46,280	38,342	38,244	39,432
<i>Medicaid Administration</i>	322,636	596,421	651,016	669,672	654,515	673,897
<i>Public Health</i>	518,226	368,569	358,734	353,736	353,783	354,306
Medicaid Inspector General, Office of the	9,480	9,923	10,108	10,108	10,108	10,108
Stem Cell and Innovation	32,926	0	0	0	0	0
<b>Functional Total</b>	<b>877,475</b>	<b>992,543</b>	<b>1,070,493</b>	<b>1,076,213</b>	<b>1,057,849</b>	<b>1,078,942</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	146,762	154,183	145,882	151,118	157,361	157,361
<i>OCFS</i>	146,762	154,183	145,882	151,118	157,361	157,361
Housing and Community Renewal, Division of	14,424	12,665	11,441	11,503	11,552	11,552
Human Rights, Division of	2,647	2,740	1,690	1,722	1,747	1,747
Labor, Department of	127,194	68,494	92,145	94,559	94,559	94,559
National and Community Service	16,708	14,402	13,869	14,978	15,277	15,277
Temporary and Disability Assistance, Office of	139,069	147,141	144,258	141,022	142,777	142,777
<i>All Other</i>	139,069	147,141	144,258	141,022	142,777	142,777
<b>Functional Total</b>	<b>446,804</b>	<b>399,625</b>	<b>409,285</b>	<b>414,902</b>	<b>423,273</b>	<b>423,273</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	22,567	27,994	18,686	19,064	19,479	19,963
<i>OASAS</i>	11,083	15,936	9,053	9,244	9,453	9,699
<i>OASAS - Other</i>	11,484	12,058	9,633	9,820	10,026	10,264
Developmental Disabilities Planning Council	2,015	2,094	2,246	2,190	2,149	2,149
Justice Center	11,062	12,321	13,573	13,954	14,331	14,719
Mental Health, Office of	307,092	294,458	272,618	277,652	285,282	293,632
<i>OMH</i>	66,914	65,389	47,618	49,226	50,707	52,076
<i>OMH - Other</i>	240,178	229,069	225,000	228,426	234,575	241,556
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	262,549	216,115	197,875	198,271	203,041	208,095
<i>OPWDD</i>	931	296	1,181	1,181	1,181	1,181
<i>OPWDD - Other</i>	261,618	215,819	196,694	197,090	201,860	206,914
<b>Functional Total</b>	<b>605,513</b>	<b>553,201</b>	<b>504,998</b>	<b>511,131</b>	<b>524,282</b>	<b>538,558</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	185	255	347	286	237	237
Correctional Services, Department of	539,957	526,784	553,187	553,237	553,237	553,237
Criminal Justice Services, Division of	14,343	14,069	19,960	19,960	19,960	19,960
Disaster Assistance	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	103,395	92,959	23,940	17,940	17,940	17,940
Indigent Legal Services, Office of	123	238	535	535	535	535
Judicial Conduct, Commission on	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	22,558	22,376	20,671	20,671	20,671	20,671
State Police, Division of	104,159	81,174	96,503	85,833	85,833	85,833
Statewide Financial System	22,337	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	706	553	1,287	1,287	1,287	1,287
<b>Functional Total</b>	<b>807,728</b>	<b>709,616</b>	<b>736,588</b>	<b>719,913</b>	<b>719,892</b>	<b>719,922</b>
<b>HIGHER EDUCATION</b>						
City University of New York	38,644	48,233	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	71	65	93	93	93	93
Higher Education Services Corporation, New York State	32,284	34,996	31,774	31,774	31,774	31,774
State University of New York	2,575,765	2,547,546	2,469,476	2,509,358	2,554,569	2,601,458
<b>Functional Total</b>	<b>2,646,764</b>	<b>2,630,840</b>	<b>2,541,575</b>	<b>2,582,261</b>	<b>2,628,293</b>	<b>2,676,019</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>EDUCATION</b>						
Arts, Council on the	1,180	1,234	1,922	1,922	1,922	1,922
Education, Department of	<u>153,458</u>	<u>162,550</u>	<u>133,079</u>	<u>119,664</u>	<u>119,664</u>	<u>119,612</u>
<i>School Aid</i>	220	214	0	0	0	0
<i>Special Education Categorical Programs</i>	8,365	8,460	0	0	0	0
<i>All Other</i>	<u>144,873</u>	<u>153,876</u>	<u>133,079</u>	<u>119,664</u>	<u>119,664</u>	<u>119,612</u>
<b>Functional Total</b>	<u>154,638</u>	<u>163,784</u>	<u>135,001</u>	<u>121,586</u>	<u>121,586</u>	<u>121,534</u>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	2,611	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	999	1,615	821	821	834	850
Deferred Compensation Board	56	73	231	231	231	235
Elections, State Board of	4,579	6,131	9,073	9,107	2,569	2,634
Employee Relations, Office of	32	47	71	71	72	73
Gaming Commission, New York State	103,569	115,263	117,634	118,971	118,971	118,971
General Services, Office of	95,616	92,393	94,114	91,906	92,011	92,011
Inspector General, Office of the	852	831	815	815	827	839
Labor Management Committees	17,696	18,263	19,854	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	99	113	222	208	208	208
Public Employment Relations Board	221	226	236	237	241	246
Public Integrity, Commission on	729	852	911	911	930	949
State, Department of	14,651	15,425	22,588	17,859	17,859	17,859
Tax Appeals, Division of	161	272	170	170	170	170
Taxation and Finance, Department of	63,239	43,350	59,098	60,437	60,445	60,437
Technology, Office for	149,706	222,811	248,293	280,405	292,135	292,135
Veterans' Affairs, Division of	515	436	884	884	902	902
Welfare Inspector General, Office of	101	58	55	55	65	75
Workers' Compensation Board	<u>70,897</u>	<u>68,936</u>	<u>64,353</u>	<u>64,353</u>	<u>65,521</u>	<u>66,713</u>
<b>Functional Total</b>	<u>526,329</u>	<u>590,055</u>	<u>644,374</u>	<u>671,667</u>	<u>678,217</u>	<u>679,498</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	31,504	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	432,924	453,469	476,100	476,100	476,100	476,100
Law, Department of	59,685	58,523	61,274	62,222	62,971	64,215
Legislature	46,027	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	163	101	91	91	91
<b>Functional Total</b>	<u>573,552</u>	<u>592,215</u>	<u>625,450</u>	<u>625,694</u>	<u>626,443</u>	<u>627,792</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	100	0	0	0	0	0
<b>Functional Total</b>	<u>100</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,654	36,616	39,157	49,313	49,313	49,313
Miscellaneous	<u>18,511</u>	<u>22,662</u>	<u>25,654</u>	<u>238,942</u>	<u>24,858</u>	<u>2,869</u>
<b>Functional Total</b>	<u>57,165</u>	<u>59,278</u>	<u>64,811</u>	<u>288,255</u>	<u>74,171</u>	<u>52,182</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>6,977,646</u>	<u>6,974,107</u>	<u>6,983,158</u>	<u>7,257,648</u>	<u>7,115,234</u>	<u>7,182,941</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	3,532	3,573	3,044	3,157	3,252	3,383
Alcoholic Beverage Control, Division of	4,541	4,776	153	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	1,604	535	0	0	0	0
Financial Services, Department of	77,054	77,451	82,690	90,611	93,154	97,136
Olympic Regional Development Authority	0	20	0	0	0	0
Public Service Department	22,649	19,539	24,207	25,461	26,860	26,860
<b>Functional Total</b>	<b>109,380</b>	<b>105,894</b>	<b>110,122</b>	<b>119,257</b>	<b>123,294</b>	<b>127,407</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	59,417	57,508	58,625	60,228	60,556	60,027
Parks, Recreation and Historic Preservation, Office of	2,829	2,863	3,947	3,568	3,568	3,568
<b>Functional Total</b>	<b>62,246</b>	<b>60,371</b>	<b>62,572</b>	<b>63,796</b>	<b>64,124</b>	<b>63,595</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	23,356	24,964	21,975	22,893	22,971	22,971
Transportation, Department of	6,659	5,745	7,031	7,800	8,215	8,215
<b>Functional Total</b>	<b>30,015</b>	<b>30,709</b>	<b>29,006</b>	<b>30,693</b>	<b>31,186</b>	<b>31,186</b>
<b>HEALTH</b>						
Health, Department of	64,555	65,489	78,209	80,571	83,240	83,258
<i>Medicaid Administration</i>	0	3,274	0	0	0	0
<i>Public Health</i>	64,555	62,215	78,209	80,571	83,240	83,258
Medicaid Inspector General, Office of the	9,164	8,954	9,340	9,340	9,340	9,340
Stem Cell and Innovation	189	0	0	0	0	0
<b>Functional Total</b>	<b>73,908</b>	<b>74,443</b>	<b>87,549</b>	<b>89,911</b>	<b>92,580</b>	<b>92,598</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	8,439	16,738	16,124	16,313	16,313	16,313
<i>OCFS</i>	8,439	16,738	16,124	16,313	16,313	16,313
Housing and Community Renewal, Division of	18,836	19,490	20,321	20,369	20,411	20,411
Human Rights, Division of	61	0	0	0	0	0
Labor, Department of	122,174	106,533	113,265	114,593	114,593	114,593
Temporary and Disability Assistance, Office of	39,575	39,101	44,973	44,973	44,973	44,973
<i>All Other</i>	39,575	39,101	44,973	44,973	44,973	44,973
<b>Functional Total</b>	<b>189,085</b>	<b>181,862</b>	<b>194,683</b>	<b>196,248</b>	<b>196,290</b>	<b>196,290</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	31,721	32,043	34,852	36,551	37,634	38,614
<i>OASAS</i>	13,472	13,118	15,806	17,029	17,624	18,114
<i>OASAS - Other</i>	18,249	18,925	19,046	19,522	20,010	20,500
Developmental Disabilities Planning Council	560	454	701	744	785	785
Justice Center	614	665	796	865	944	962
Mental Health, Office of	606,706	605,943	608,489	626,787	663,102	684,832
<i>OMH</i>	196,822	150,200	170,150	177,304	187,095	192,469
<i>OMH - Other</i>	409,884	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	629,482	620,685	633,798	655,636	674,402	691,338
<i>OPWDD - Other</i>	629,482	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>1,269,083</b>	<b>1,259,790</b>	<b>1,278,636</b>	<b>1,320,583</b>	<b>1,376,867</b>	<b>1,416,531</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	930	996	1,459	1,463	1,463	1,463
Criminal Justice Services, Division of	285	300	3,448	3,448	3,448	3,448
Homeland Security and Emergency Services, Division of	8,958	10,022	3,768	3,789	3,812	3,812
Indigent Legal Services, Office of	515	599	956	956	956	956
Military and Naval Affairs, Division of	16,583	5,721	8,335	8,751	9,222	9,222
State Police, Division of	3,594	3,857	19,078	24,820	25,399	26,309
Victim Services, Office of	1,607	1,535	2,562	2,562	2,562	2,562
<b>Functional Total</b>	<b>32,472</b>	<b>23,030</b>	<b>39,606</b>	<b>45,789</b>	<b>46,862</b>	<b>47,772</b>
<b>HIGHER EDUCATION</b>						
City University of New York	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	83	61	99	99	99	99
Higher Education Services Corporation, New York State	13,964	8,618	10,985	11,397	11,397	11,397
State University of New York	534,497	411,739	375,802	381,258	386,796	392,417
<b>Functional Total</b>	<b>556,261</b>	<b>428,310</b>	<b>394,778</b>	<b>400,646</b>	<b>406,184</b>	<b>411,805</b>
<b>EDUCATION</b>						
Education, Department of	79,713	76,525	83,866	85,557	87,404	87,363
<i>School Aid</i>	96	12	0	0	0	0
<i>Special Education Categorical Programs</i>	5,629	4,511	0	0	0	0
<i>All Other</i>	73,988	72,002	83,866	85,557	87,404	87,363
<b>Functional Total</b>	<b>79,713</b>	<b>76,525</b>	<b>83,866</b>	<b>85,557</b>	<b>87,404</b>	<b>87,363</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	151	147	176	176	176	176
Deferred Compensation Board	166	147	225	225	225	225
Gaming Commission, New York State	14,956	12,895	15,708	17,575	17,575	17,575
General Services, Office of	2,208	2,589	446	469	494	494
State, Department of	10,583	9,435	12,745	13,052	13,308	13,663

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Taxation and Finance, Department of	31,785	18,059	20,715	20,536	20,703	20,536
Technology, Office for	0	234	0	0	0	0
Veterans' Affairs, Division of	205	204	350	350	350	350
Workers' Compensation Board	46,013	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<b>107,042</b>	<b>88,735</b>	<b>103,370</b>	<b>107,442</b>	<b>107,890</b>	<b>108,078</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,634	1,494	2,201	2,324	2,385	2,385
Judiciary	660,149	685,566	710,300	771,253	780,853	778,353
Law, Department of	20,546	28,822	29,425	30,619	32,434	33,211
<b>Functional Total</b>	<b>682,329</b>	<b>715,882</b>	<b>741,926</b>	<b>804,196</b>	<b>815,672</b>	<b>813,949</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,131,686	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
Miscellaneous	13,902	12,039	36,147	22,021	22,182	22,462
<b>Functional Total</b>	<b>4,145,588</b>	<b>4,693,638</b>	<b>4,918,799</b>	<b>5,201,998</b>	<b>5,400,884</b>	<b>5,747,213</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>7,337,122</b>	<b>7,739,189</b>	<b>8,044,913</b>	<b>8,466,116</b>	<b>8,749,237</b>	<b>9,143,787</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	11,002	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	1,985	0	23,000	29,276	24,250	23,000
Economic Development, Department of	132	28	13,433	3,274	14,150	0
Empire State Development Corporation	66,475	33,720	245,301	372,678	443,778	367,678
Energy Research and Development Authority	9,075	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	6,900	7,500	7,500	0	0	0
Power Authority, New York	0	0	2,500	2,500	1,244	0
Regional Economic Development Program	0	0	1,500	512	356	355
Strategic Investment Program	0	0	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>95,569</b>	<b>57,407</b>	<b>331,685</b>	<b>441,240</b>	<b>509,873</b>	<b>415,033</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	234,957	227,641	456,449	593,933	602,032	551,032
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	98,844	143,068	135,650	130,125	127,650	127,650
<b>Functional Total</b>	<b>333,850</b>	<b>374,161</b>	<b>592,099</b>	<b>724,058</b>	<b>729,682</b>	<b>678,682</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	182,188	189,879	204,488	204,080	206,609	206,950
Transportation, Department of	3,206,888	3,415,103	3,317,827	3,441,807	3,512,235	3,580,754
<b>Functional Total</b>	<b>3,389,076</b>	<b>3,604,982</b>	<b>3,522,315</b>	<b>3,645,887</b>	<b>3,718,844</b>	<b>3,787,704</b>
<b>HEALTH</b>						
Health, Department of	49,549	61,148	87,289	37,289	37,289	37,289
<i>Public Health</i>	49,549	61,148	87,289	37,289	37,289	37,289
<b>Functional Total</b>	<b>49,549</b>	<b>61,148</b>	<b>87,289</b>	<b>37,289</b>	<b>37,289</b>	<b>37,289</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	19,537	21,186	20,931	20,931	20,931	20,931
<i>OCFS</i>	19,537	21,186	20,931	20,931	20,931	20,931
Housing and Community Renewal, Division of	0	0	3,000	3,000	3,000	3,000
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	211	376	400	400	400	400
<i>All Other</i>	211	376	400	400	400	400
<b>Functional Total</b>	<b>19,748</b>	<b>21,562</b>	<b>37,331</b>	<b>54,331</b>	<b>51,331</b>	<b>44,331</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	(77)	0	4,972	5,000	4,800	4,700
<i>OASAS</i>	(77)	0	4,972	5,000	4,800	4,700
Mental Health, Office of	105,311	102,473	158,780	159,362	159,861	160,556
<i>OMH</i>	105,311	102,473	158,780	159,362	159,861	160,556
People with Developmental Disabilities, Office for	45,737	36,044	70,618	70,993	71,315	71,764
<i>OPWDD</i>	45,737	36,044	70,618	70,993	71,315	71,764
<b>Functional Total</b>	<b>150,971</b>	<b>138,517</b>	<b>234,370</b>	<b>235,355</b>	<b>235,976</b>	<b>237,020</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	220,308	260,188	298,088	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	41,942	6,680	40,681	16,500	6,653	5,000
Military and Naval Affairs, Division of	29,277	38,115	38,707	38,100	38,100	24,100
State Police, Division of	7,593	19,121	34,411	47,280	36,900	25,910
<b>Functional Total</b>	<b>299,120</b>	<b>324,104</b>	<b>411,887</b>	<b>409,944</b>	<b>371,717</b>	<b>348,074</b>
<b>HIGHER EDUCATION</b>						
City University of New York	30,141	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	0	0	19,026	25,000	22,000	13,000
State University of New York	916,313	931,348	892,249	877,249	842,069	822,249
<b>Functional Total</b>	<b>946,454</b>	<b>964,808</b>	<b>946,275</b>	<b>937,649</b>	<b>899,969</b>	<b>871,869</b>
<b>EDUCATION</b>						
Education, Department of	4,688	7,420	12,692	40,100	27,400	25,157
<i>All Other</i>	4,688	7,420	12,692	40,100	27,400	25,157
<b>Functional Total</b>	<b>4,688</b>	<b>7,420</b>	<b>12,692</b>	<b>40,100</b>	<b>27,400</b>	<b>25,157</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	118,000	101,785	131,618	115,383	129,883	98,883
State, Department of	0	0	2,000	2,000	2,000	2,000
Technology, Office for	30,763	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	0	3,117	10,000	15,000	15,000	15,000
<b>Functional Total</b>	<b>148,763</b>	<b>200,213</b>	<b>215,868</b>	<b>189,298</b>	<b>177,583</b>	<b>145,883</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	0	0	4,600	1,400	0	0
Law, Department of	2,379	1,449	5,000	5,000	3,621	2,500
<b>Functional Total</b>	<b>2,379</b>	<b>1,449</b>	<b>9,600</b>	<b>6,400</b>	<b>3,621</b>	<b>2,500</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	66,413	36,722	148,250	171,250	165,000	166,290
Special Infrastructure Account	0	692,433	688,615	1,627,241	1,057,610	800,750
<b>Functional Total</b>	<b>66,413</b>	<b>729,155</b>	<b>836,865</b>	<b>1,798,491</b>	<b>1,222,610</b>	<b>967,040</b>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<b>5,506,580</b>	<b>6,484,926</b>	<b>7,238,276</b>	<b>8,520,042</b>	<b>7,985,895</b>	<b>7,560,582</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	90,521	88,317	82,969	77,284	83,734	83,803
Alcoholic Beverage Control, Division of	17,636	17,277	12,836	12,683	12,683	12,744
Economic Development Capital	10,509	6,614	23,000	29,276	24,250	23,000
Economic Development, Department of	64,479	84,186	90,907	83,252	94,128	79,978
Empire State Development Corporation	527,613	738,836	1,564,750	1,681,999	1,423,028	1,283,928
Energy Research and Development Authority	21,047	15,191	23,450	23,000	14,724	13,000
Financial Services, Department of	491,138	360,052	350,490	359,586	362,129	370,016
Olympic Regional Development Authority	9,911	10,611	10,386	2,886	2,886	2,886
Power Authority, New York	0	0	2,500	2,500	1,244	0
Public Service Department	69,084	68,475	73,026	74,271	75,670	75,670
Regional Economic Development Program	3,071	2,787	1,500	512	356	355
Strategic Investment Program	1,030	1,427	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>1,306,039</b>	<b>1,393,773</b>	<b>2,241,814</b>	<b>2,353,249</b>	<b>2,102,203</b>	<b>1,952,380</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,276	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	639,635	663,309	771,291	911,718	918,879	868,240
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	285,034	330,250	321,813	315,200	311,475	311,475
<b>Functional Total</b>	<b>928,994</b>	<b>1,001,308</b>	<b>1,097,436</b>	<b>1,231,250</b>	<b>1,234,686</b>	<b>1,184,047</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Motor Vehicles, Department of	263,279	273,641	273,609	274,708	277,315	277,656
Thruway Authority, New York State	21,911	17,948	0	0	0	0
Transportation, Department of	7,558,074	7,313,847	7,981,559	8,160,871	8,268,937	8,375,050
<b>Functional Total</b>	<b>7,843,264</b>	<b>7,605,436</b>	<b>8,767,339</b>	<b>9,079,264</b>	<b>8,796,252</b>	<b>9,002,706</b>
<b>HEALTH</b>						
Aging, Office for the	123,306	128,410	131,164	133,944	139,125	144,436
Health, Department of	19,164,099	19,884,667	20,642,903	21,834,851	22,842,415	23,726,976
<i>Medical Assistance</i>	16,275,220	16,868,571	17,319,025	18,139,694	19,054,944	19,939,376
<i>Essential Plan</i>	0	32,575	381,572	384,360	394,642	405,812
<i>Medicaid Administration</i>	677,990	836,542	823,237	825,219	799,747	771,895
<i>Public Health</i>	2,210,889	2,146,979	2,119,069	2,485,578	2,593,082	2,609,893
Medicaid Inspector General, Office of the	20,821	20,619	19,860	19,860	19,860	19,860
Stem Cell and Innovation	33,483	0	0	0	0	0
<b>Functional Total</b>	<b>19,341,709</b>	<b>20,033,696</b>	<b>20,793,927</b>	<b>21,988,655</b>	<b>23,001,400</b>	<b>23,891,272</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,884,379	2,022,575	1,942,037	1,972,210	1,994,145	2,016,312
<i>OCFS</i>	1,798,380	1,933,653	1,851,764	1,880,210	1,901,964	1,922,243
<i>OCFS - Other</i>	85,999	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	159,729	155,245	245,105	420,323	640,654	670,504
Human Rights, Division of	10,582	10,263	9,921	9,921	9,921	9,921
Labor, Department of	71,294	72,790	74,567	62,119	62,119	62,119
National and Community Service	687	458	687	687	690	690
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	1,408,067	1,406,546	1,455,878	1,494,883	1,513,283	1,523,083
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	270,064	284,187	287,685	283,760	290,160	297,960
<b>Functional Total</b>	<b>3,534,738</b>	<b>3,667,877</b>	<b>3,741,195</b>	<b>3,990,143</b>	<b>4,247,812</b>	<b>4,302,629</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	445,352	464,041	493,847	522,653	539,567	561,493
<i>OASAS</i>	361,437	377,218	411,458	439,488	455,471	476,337
<i>OASAS - Other</i>	83,915	86,823	82,389	83,165	84,096	85,156
Justice Center	31,652	39,478	41,990	42,623	43,523	44,218
Mental Health, Office of	3,287,680	3,324,650	3,346,528	3,486,001	3,682,131	3,783,951
<i>OMH</i>	1,483,723	1,525,885	1,650,393	1,754,422	1,896,596	1,951,523
<i>OMH - Other</i>	1,803,957	1,798,765	1,696,135	1,731,579	1,785,535	1,832,428
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	3,513,040	3,223,079	3,028,078	3,490,994	3,731,381	3,933,062
<i>OPWDD</i>	481,859	370,257	441,804	495,856	544,774	599,819
<i>OPWDD - Other</i>	3,031,181	2,852,822	2,586,274	2,995,138	3,186,607	3,333,243
<b>Functional Total</b>	<b>7,277,952</b>	<b>7,051,467</b>	<b>6,910,443</b>	<b>7,542,271</b>	<b>7,996,602</b>	<b>8,322,724</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,222	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,867,478	2,957,044	2,929,623	2,946,859	2,931,615	2,941,770
Criminal Justice Services, Division of	194,721	198,307	206,267	196,132	196,132	196,132
Disaster Assistance	(8,011)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	173,492	154,701	150,963	116,187	128,154	101,675
Indigent Legal Services, Office of	52,689	60,116	86,695	105,295	105,295	105,295
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	39,737	43,855	37,006	34,761	34,761	34,761
State Police, Division of	676,989	714,871	737,401	767,174	757,373	747,293
Statewide Financial System	31,959	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	30,870	24,469	33,560	43,420	43,420	43,420
<b>Functional Total</b>	<b>4,067,566</b>	<b>4,139,542</b>	<b>4,219,955</b>	<b>4,248,274</b>	<b>4,235,255</b>	<b>4,208,916</b>



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,512,958	1,558,283	1,582,601	1,616,576	1,659,427	1,687,020
Higher Education - Miscellaneous	300	259	390	390	390	390
Higher Education Facilities Capital Matching Grants Program	2,086	136	20,000	25,000	22,000	13,000
Higher Education Services Corporation, New York State	1,267,122	1,077,526	1,097,762	1,154,829	1,175,425	1,186,927
State University of New York	7,711,562	7,724,191	7,696,393	7,760,650	7,828,755	7,917,671
<b>Functional Total</b>	<b>10,494,028</b>	<b>10,360,395</b>	<b>10,397,146</b>	<b>10,557,445</b>	<b>10,685,997</b>	<b>10,805,008</b>
<b>EDUCATION</b>						
Arts, Council on the	66,103	41,819	45,513	45,253	45,253	45,253
Education, Department of	27,193,471	28,905,525	30,544,068	31,999,660	33,207,121	34,567,763
<i>School Aid</i>	21,630,610	23,302,030	24,772,245	26,398,039	27,545,797	28,855,358
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	814,909	952,214	1,106,579	1,084,939	1,082,712	1,059,006
<b>Functional Total</b>	<b>27,259,574</b>	<b>28,947,344</b>	<b>30,589,581</b>	<b>32,044,913</b>	<b>33,252,374</b>	<b>34,613,016</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	23,809	24,227	31,022	30,596	30,596	30,596
Civil Service, Department of	13,196	13,424	13,381	13,381	13,507	13,617
Deferred Compensation Board	527	524	866	866	866	873
Elections, State Board of	6,100	7,918	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	149,273	251,588	267,907	289,803	314,803	314,803
General Services, Office of	271,982	261,313	295,270	276,850	291,480	260,480
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,897	2,039	2,381	2,481	2,481	2,581
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	57,843	69,470	72,543	62,378	62,634	62,989
Tax Appeals, Division of	2,849	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	380,858	354,747	351,703	352,386	352,561	352,386
Technology, Office for	457,178	601,260	604,824	622,121	607,636	606,936
Veterans' Affairs, Division of	12,830	12,922	15,438	13,808	13,873	13,873
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	188,009	186,327	198,055	210,009	211,792	213,595
<b>Functional Total</b>	<b>1,607,044</b>	<b>1,831,318</b>	<b>1,909,935</b>	<b>1,931,225</b>	<b>1,959,050</b>	<b>1,929,845</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	174,514	174,200	185,836	182,409	181,070	181,253
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	2,676,077	2,758,586	2,843,100	2,919,053	2,954,053	2,954,053
Law, Department of	181,433	186,868	194,496	196,830	198,262	199,864
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
<b>Functional Total</b>	<b>3,253,160</b>	<b>3,349,437</b>	<b>3,456,419</b>	<b>3,531,279</b>	<b>3,566,372</b>	<b>3,568,157</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	726,438	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>765,376</b>	<b>770,971</b>	<b>759,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,131,686	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
Long-Term Debt Service	6,221,470	5,635,102	5,117,440	6,305,861	6,820,767	7,281,488
Miscellaneous	115,349	37,280	203,351	412,656	204,221	182,176
Special Infrastructure Account	0	725,592	698,615	1,647,241	1,077,610	810,750
<b>Functional Total</b>	<b>10,468,505</b>	<b>11,079,573</b>	<b>10,902,058</b>	<b>13,545,735</b>	<b>13,481,300</b>	<b>13,999,165</b>
<b>TOTAL STATE FUNDS SPENDING</b>	<b>98,147,949</b>	<b>101,232,137</b>	<b>105,787,199</b>	<b>112,835,962</b>	<b>115,352,199</b>	<b>118,572,761</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	25,275	27,279	32,271	35,026	25,612	25,612
Economic Development Capital	8,524	6,614	0	0	0	0
Economic Development, Department of	44,284	63,694	56,075	59,379	59,379	59,379
Empire State Development Corporation	461,088	705,116	1,319,449	1,309,321	979,250	916,250
Energy Research and Development Authority	5,527	1,842	0	0	0	0
Financial Services, Department of	223,476	80,686	57,174	57,049	57,049	57,049
Public Service Department	0	0	172	172	172	172
Regional Economic Development Program	3,071	2,787	0	0	0	0
Strategic Investment Program	1,030	1,427	0	0	0	0
<b>Functional Total</b>	<b>772,275</b>	<b>889,445</b>	<b>1,465,141</b>	<b>1,460,947</b>	<b>1,121,462</b>	<b>1,058,462</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	152,680	154,566	50,798	52,305	50,390	50,390
Parks, Recreation and Historic Preservation, Office of	8,483	9,654	8,909	8,200	8,200	8,200
<b>Functional Total</b>	<b>161,163</b>	<b>164,220</b>	<b>59,707</b>	<b>60,505</b>	<b>58,590</b>	<b>58,590</b>
<b>TRANSPORTATION</b>						
Metropolitan Transportation Authority	0	0	512,171	643,685	250,000	350,000
Thruway Authority, New York State	3,570	1,379	0	0	0	0
Transportation, Department of	5,356,863	5,250,252	5,658,410	5,621,683	5,663,610	5,751,607
<b>Functional Total</b>	<b>5,360,433</b>	<b>5,251,631</b>	<b>6,170,581</b>	<b>6,265,368</b>	<b>5,913,610</b>	<b>6,101,607</b>
<b>HEALTH</b>						
Aging, Office for the	121,870	127,134	129,932	132,712	137,893	143,204
Health, Department of	18,509,294	19,100,307	19,759,193	20,981,468	21,983,120	22,862,379
<i>Medical Assistance</i>	16,275,220	16,868,571	17,319,025	18,139,694	19,054,944	19,939,376
<i>Essential Plan</i>	0	19,215	333,917	344,602	354,940	364,878
<i>Medicaid Administration</i>	515,184	565,205	480,703	449,306	417,908	386,511
<i>Public Health</i>	1,718,890	1,647,316	1,625,548	2,047,866	2,155,328	2,171,614
<b>Functional Total</b>	<b>18,631,164</b>	<b>19,227,441</b>	<b>19,889,125</b>	<b>21,114,180</b>	<b>22,121,013</b>	<b>23,005,583</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,600,778	1,735,809	1,672,340	1,700,586	1,715,966	1,738,133
<i>OCFS</i>	1,514,779	1,646,887	1,582,067	1,608,586	1,623,785	1,644,064
<i>OCFS - Other</i>	85,999	88,922	90,273	92,000	92,181	94,069
Housing and Community Renewal, Division of	93,733	88,939	179,478	354,696	575,027	604,877
Labor, Department of	7,664	12,207	11,598	(850)	(850)	(850)
National and Community Service	450	142	350	350	350	350
Temporary and Disability Assistance, Office of	1,268,258	1,259,021	1,325,098	1,369,119	1,387,519	1,397,319
<i>Welfare Assistance</i>	1,138,003	1,122,359	1,168,193	1,211,123	1,223,123	1,225,123
<i>All Other</i>	130,255	136,662	156,905	157,996	164,396	172,196
<b>Functional Total</b>	<b>2,970,883</b>	<b>3,096,118</b>	<b>3,188,864</b>	<b>3,423,901</b>	<b>3,678,012</b>	<b>3,739,829</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	339,180	351,774	381,281	407,716	422,608	442,611
<i>OASAS</i>	317,855	330,449	359,956	386,391	401,283	421,286
<i>OASAS - Other</i>	21,325	21,325	21,325	21,325	21,325	21,325
Justice Center	600	544	649	649	649	649
Mental Health, Office of	1,192,643	1,199,066	1,249,004	1,369,558	1,506,871	1,562,540
<i>OMH</i>	845,787	918,830	976,693	1,068,877	1,195,260	1,239,726
<i>OMH - Other</i>	346,856	280,236	272,311	300,681	311,611	322,814
People with Developmental Disabilities, Office for	1,463,642	1,198,724	1,029,603	1,475,464	1,680,019	1,847,788
<i>OPWDD</i>	436,065	334,196	371,005	424,682	473,278	527,874
<i>OPWDD - Other</i>	1,027,577	864,528	658,598	1,050,782	1,206,741	1,319,914
<b>Functional Total</b>	<b>2,996,065</b>	<b>2,750,108</b>	<b>2,660,537</b>	<b>3,253,387</b>	<b>3,610,147</b>	<b>3,853,588</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	5,939	4,251	5,497	5,497	5,497	5,497
Criminal Justice Services, Division of	156,998	162,227	167,386	157,251	157,251	157,251
Disaster Assistance	2,726	0	0	0	0	0
Homeland Security and Emergency Services, Division of	93,746	115,883	83,674	73,058	94,849	70,023
Indigent Legal Services, Office of	51,123	58,068	83,000	101,600	101,600	101,600
Military and Naval Affairs, Division of	724	805	911	911	911	911
Victim Services, Office of	25,567	19,401	27,419	37,279	37,279	37,279
<b>Functional Total</b>	<b>336,823</b>	<b>360,635</b>	<b>367,887</b>	<b>375,596</b>	<b>397,387</b>	<b>372,561</b>
<b>HIGHER EDUCATION</b>						
City University of New York	1,395,047	1,429,462	1,454,075	1,486,252	1,527,180	1,552,604
Higher Education Facilities Capital Matching Grants Program	2,086	136	974	0	0	0
Higher Education Services Corporation, New York State	1,209,916	1,025,146	1,045,919	1,102,574	1,123,170	1,134,672
State University of New York	487,080	514,892	508,699	508,267	507,947	507,434
<b>Functional Total</b>	<b>3,094,129</b>	<b>2,969,636</b>	<b>3,009,667</b>	<b>3,097,093</b>	<b>3,158,297</b>	<b>3,194,710</b>
<b>EDUCATION</b>						
Arts, Council on the	62,791	38,332	41,193	40,933	40,933	40,933
Education, Department of	27,022,576	28,735,444	30,342,257	31,783,255	33,001,569	34,364,675
<i>School Aid</i>	21,630,610	23,302,030	24,772,245	26,398,039	27,545,797	28,855,358
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>Special Education Categorical Programs</i>	1,451,002	1,316,581	1,437,400	1,539,890	1,657,380	1,784,228
<i>All Other</i>	644,014	782,133	904,768	868,534	877,160	855,918
<b>Functional Total</b>	<b>27,085,367</b>	<b>28,773,776</b>	<b>30,383,450</b>	<b>31,824,188</b>	<b>33,042,502</b>	<b>34,405,608</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	253	93	0	0	0	0
Gaming Commission, New York State	0	91,881	99,302	114,500	139,500	139,500
Prevention of Domestic Violence, Office for	543	575	785	885	885	985
State, Department of	6,545	17,766	13,084	7,379	7,379	7,379
Taxation and Finance, Department of	906	914	2,726	2,726	2,726	2,726
Veterans' Affairs, Division of	7,486	7,855	9,267	7,637	7,637	7,637
<b>Functional Total</b>	<b>15,733</b>	<b>119,084</b>	<b>125,164</b>	<b>133,127</b>	<b>158,127</b>	<b>158,227</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	32,025	32,025	32,024	32,024	32,024	32,024
Judiciary	107,429	114,655	107,300	122,300	122,300	122,300
<b>Functional Total</b>	<b>139,454</b>	<b>146,680</b>	<b>139,324</b>	<b>154,324</b>	<b>154,324</b>	<b>154,324</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	726,338	728,288	714,756	762,710	763,347	763,347
Efficiency Incentive Grants Program	1,592	1,289	0	0	0	0
Miscellaneous Financial Assistance	7,798	11,846	15,646	0	0	0
Municipalities with VLT Facilities	29,331	29,331	29,331	29,331	29,331	29,331
Small Government Assistance	217	217	218	218	218	218
<b>Functional Total</b>	<b>765,276</b>	<b>770,971</b>	<b>759,951</b>	<b>792,259</b>	<b>792,896</b>	<b>792,896</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	34,745	(13,341)	(24,904)	17,071	7,071	5,781
Special Infrastructure Account	0	33,159	10,000	20,000	20,000	10,000
<b>Functional Total</b>	<b>34,745</b>	<b>19,818</b>	<b>(14,904)</b>	<b>37,071</b>	<b>27,071</b>	<b>15,781</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>62,363,510</b>	<b>64,539,563</b>	<b>68,204,494</b>	<b>71,991,946</b>	<b>74,233,438</b>	<b>76,911,766</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	52,866	54,745	40,275	36,780	52,599	52,604
Alcoholic Beverage Control, Division of	13,095	12,501	12,683	12,683	12,683	12,744
Economic Development, Department of	20,063	20,464	21,371	20,571	20,571	20,571
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	4,841	1,431	0	0	0	0
Financial Services, Department of	191,287	201,930	210,626	211,926	211,926	215,831
Olympic Regional Development Authority	3,011	3,091	2,886	2,886	2,886	2,886
Public Service Department	47,496	49,483	49,347	49,358	49,358	49,358
<b>Functional Total</b>	<b>332,709</b>	<b>343,645</b>	<b>337,188</b>	<b>334,204</b>	<b>350,023</b>	<b>353,994</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	4,276	4,297	4,332	4,332	4,332	4,332
Environmental Conservation, Department of	229,795	237,912	229,041	228,892	229,561	230,451
Parks, Recreation and Historic Preservation, Office of	179,509	180,898	176,737	176,737	175,487	175,487
<b>Functional Total</b>	<b>413,580</b>	<b>423,107</b>	<b>410,110</b>	<b>409,961</b>	<b>409,380</b>	<b>410,270</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	58,500	59,397	49,322	49,972	49,972	49,972
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	22,502	18,588	20,625	20,812	20,812	20,812
<b>Functional Total</b>	<b>99,343</b>	<b>94,554</b>	<b>69,947</b>	<b>70,784</b>	<b>70,784</b>	<b>70,784</b>
<b>HEALTH</b>						
Aging, Office for the	1,436	1,276	1,232	1,232	1,232	1,232
Health, Department of	574,369	691,640	773,355	793,027	798,937	804,234
<i>Essential Plan</i>	0	13,360	47,655	39,758	39,702	40,934
<i>Medicaid Administration</i>	162,806	271,337	342,534	375,913	381,839	385,384
<i>Public Health</i>	411,563	406,943	383,166	377,356	377,396	377,916
Medicaid Inspector General, Office of the	20,821	20,619	19,860	19,860	19,860	19,860
Stem Cell and Innovation	33,294	0	0	0	0	0
<b>Functional Total</b>	<b>629,920</b>	<b>713,535</b>	<b>794,447</b>	<b>814,119</b>	<b>820,029</b>	<b>825,326</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	263,693	262,651	245,348	247,275	253,830	253,830
<i>OCFS</i>	263,693	262,651	245,348	247,275	253,830	253,830
Housing and Community Renewal, Division of	51,036	50,330	49,246	49,246	49,246	49,246
Human Rights, Division of	10,582	10,263	9,921	9,921	9,921	9,921
Labor, Department of	45,973	46,543	46,019	46,019	46,019	46,019
National and Community Service	237	316	337	337	340	340
Temporary and Disability Assistance, Office of	139,598	147,079	130,380	125,364	125,364	125,364
<i>All Other</i>	139,598	147,079	130,380	125,364	125,364	125,364
<b>Functional Total</b>	<b>511,119</b>	<b>517,182</b>	<b>481,251</b>	<b>478,162</b>	<b>484,720</b>	<b>484,720</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	74,528	80,224	72,742	73,386	74,525	75,568
<i>OASAS</i>	30,187	33,651	30,724	31,068	31,764	32,237
<i>OASAS - Other</i>	44,341	46,573	42,018	42,318	42,761	43,331
Justice Center	30,470	38,304	40,602	41,172	41,994	42,671
Mental Health, Office of	1,383,568	1,417,657	1,330,574	1,330,624	1,352,637	1,376,376
<i>OMH</i>	336,351	354,871	345,089	349,209	354,720	359,125
<i>OMH - Other</i>	1,047,217	1,062,786	985,485	981,415	997,917	1,017,251
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	1,374,179	1,367,626	1,294,059	1,288,901	1,305,645	1,322,172
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	1,374,122	1,367,609	1,293,878	1,288,720	1,305,464	1,321,991
<b>Functional Total</b>	<b>2,862,973</b>	<b>2,904,030</b>	<b>2,737,977</b>	<b>2,734,083</b>	<b>2,774,801</b>	<b>2,816,787</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,222	2,297	2,651	2,651	2,651	2,651
Correctional Services, Department of	2,641,151	2,692,513	2,625,906	2,633,162	2,635,918	2,643,073
Criminal Justice Services, Division of	37,663	36,039	38,793	38,793	38,793	38,793
Disaster Assistance	(10,737)	(51,789)	0	0	0	0
Homeland Security and Emergency Services, Division of	37,417	31,631	25,756	25,756	25,756	25,756
Indigent Legal Services, Office of	1,051	1,449	2,739	2,739	2,739	2,739
Judicial Conduct, Commission on	5,384	5,567	5,584	5,584	5,643	5,708
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	24,261	26,051	21,736	21,736	21,736	21,736
State Police, Division of	666,777	693,311	685,412	696,574	696,574	696,574
Statewide Financial System	31,959	30,070	30,137	30,143	30,143	30,143
Victim Services, Office of	3,712	3,533	3,951	3,951	3,951	3,951
<b>Functional Total</b>	<b>3,440,896</b>	<b>3,470,706</b>	<b>3,442,733</b>	<b>3,461,157</b>	<b>3,463,972</b>	<b>3,471,192</b>
<b>HIGHER EDUCATION</b>						
City University of New York	80,053	87,469	85,634	87,032	88,455	89,904
Higher Education - Miscellaneous	217	198	291	291	291	291
Higher Education Services Corporation, New York State	43,245	43,763	41,277	41,277	41,277	41,277
State University of New York	5,773,804	5,866,320	5,919,694	5,993,927	6,091,994	6,195,622
<b>Functional Total</b>	<b>5,897,319</b>	<b>5,997,750</b>	<b>6,046,896</b>	<b>6,122,527</b>	<b>6,222,017</b>	<b>6,327,094</b>
<b>EDUCATION</b>						

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Arts, Council on the	3,312	3,487	4,320	4,320	4,320	4,320
Education, Department of	133,491	130,640	155,160	140,655	140,655	140,475
<i>All Other</i>	133,491	130,640	155,160	140,655	140,655	140,475
<b>Functional Total</b>	<b>136,803</b>	<b>134,127</b>	<b>159,480</b>	<b>144,975</b>	<b>144,975</b>	<b>144,795</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	22,834	23,396	29,465	28,939	28,939	28,939
Civil Service, Department of	13,045	13,277	13,205	13,205	13,331	13,441
Deferred Compensation Board	361	377	641	641	641	648
Elections, State Board of	5,847	7,825	8,482	8,482	8,587	8,697
Employee Relations, Office of	2,210	2,247	2,581	2,581	2,601	2,621
Gaming Commission, New York State	134,317	146,812	152,897	157,728	157,728	157,728
General Services, Office of	151,774	156,939	163,206	160,998	161,103	161,103
Inspector General, Office of the	7,069	7,061	7,367	7,367	7,427	7,487
Labor Management Committees	24,098	24,882	25,300	25,300	25,300	25,306
Prevention of Domestic Violence, Office for	1,354	1,464	1,596	1,596	1,596	1,596
Public Employment Relations Board	3,115	3,433	3,572	3,573	3,604	3,634
Public Integrity, Commission on	3,628	4,332	5,531	5,531	5,576	5,630
State, Department of	41,865	43,332	47,506	42,739	42,739	42,739
Tax Appeals, Division of	2,849	3,035	3,040	3,040	3,040	3,040
Taxation and Finance, Department of	348,167	335,774	328,262	329,124	329,132	329,124
Technology, Office for	426,415	505,949	532,574	565,206	576,936	576,936
Veterans' Affairs, Division of	5,344	5,067	6,171	6,171	6,236	6,236
Welfare Inspector General, Office of	573	569	672	672	686	701
Workers' Compensation Board	141,996	139,016	136,607	141,607	143,390	145,193
<b>Functional Total</b>	<b>1,336,861</b>	<b>1,424,787</b>	<b>1,468,675</b>	<b>1,504,500</b>	<b>1,518,592</b>	<b>1,520,799</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	140,855	140,681	147,011	146,661	146,661	146,844
Executive Chamber	13,966	13,704	13,578	13,578	13,578	13,578
Judiciary	1,908,736	1,958,631	2,025,500	2,025,500	2,050,900	2,053,400
Law, Department of	165,441	168,775	171,600	173,057	174,387	176,833
Legislature	206,804	215,580	218,795	218,795	218,795	218,795
Lieutenant Governor, Office of the	366	499	614	614	614	614
<b>Functional Total</b>	<b>2,436,168</b>	<b>2,497,870</b>	<b>2,577,098</b>	<b>2,578,205</b>	<b>2,604,935</b>	<b>2,610,064</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	100	0	0	0	0	0
<b>Functional Total</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,654	36,616	39,157	49,313	49,313	49,313
Miscellaneous	20,667	24,626	84,098	233,752	19,673	(2,311)
<b>Functional Total</b>	<b>59,321</b>	<b>61,242</b>	<b>123,255</b>	<b>283,065</b>	<b>68,986</b>	<b>47,002</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>18,157,112</b>	<b>18,582,535</b>	<b>18,649,057</b>	<b>18,935,742</b>	<b>18,933,214</b>	<b>19,082,827</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	28,342	27,923	29,020	29,025	29,030	29,035
Alcoholic Beverage Control, Division of	7,657	7,622	8,147	8,147	8,147	8,208
Economic Development, Department of	11,493	11,974	13,329	13,329	13,329	13,329
Energy Research and Development Authority	3,622	1,024	0	0	0	0
Financial Services, Department of	137,783	149,000	154,155	155,905	155,905	156,590
Olympic Regional Development Authority	2,548	2,593	2,548	2,548	2,548	2,548
Public Service Department	39,760	40,858	41,886	41,903	41,903	41,903
<b>Functional Total</b>	<b>231,205</b>	<b>240,994</b>	<b>249,085</b>	<b>250,857</b>	<b>250,862</b>	<b>251,613</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	3,893	4,036	4,027	4,027	4,027	4,027
Environmental Conservation, Department of	174,406	181,598	174,466	174,319	174,600	174,717
Parks, Recreation and Historic Preservation, Office of	133,928	135,656	131,683	131,683	131,433	131,433
<b>Functional Total</b>	<b>312,227</b>	<b>321,290</b>	<b>310,176</b>	<b>310,029</b>	<b>310,060</b>	<b>310,177</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	43,692	43,684	35,195	35,845	35,845	35,845
Transportation, Department of	6,980	6,407	7,167	7,347	7,347	7,347
<b>Functional Total</b>	<b>50,672</b>	<b>50,091</b>	<b>42,362</b>	<b>43,192</b>	<b>43,192</b>	<b>43,192</b>
<b>HEALTH</b>						
Aging, Office for the	1,256	1,110	1,125	1,125	1,125	1,125
Health, Department of	258,901	261,851	255,032	256,996	262,966	266,560
<i>Essential Plan</i>	0	391	1,375	1,416	1,458	1,502
<i>Medicaid Administration</i>	29,326	31,809	40,500	46,310	52,236	55,781
<i>Public Health</i>	229,575	229,651	213,157	209,270	209,272	209,277
Medicaid Inspector General, Office of the	16,617	16,621	15,781	15,781	15,781	15,781
Stem Cell and Innovation	368	0	0	0	0	0
<b>Functional Total</b>	<b>277,142</b>	<b>279,582</b>	<b>271,938</b>	<b>273,902</b>	<b>279,872</b>	<b>283,466</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	170,077	167,328	162,390	162,387	164,002	164,002
<i>OCFS</i>	170,077	167,328	162,390	162,387	164,002	164,002
Housing and Community Renewal, Division of	38,995	38,788	40,403	40,403	40,403	40,403
Human Rights, Division of	8,919	9,398	9,461	9,461	9,461	9,461
Labor, Department of	30,078	32,557	32,118	32,118	32,118	32,118
National and Community Service	229	311	328	328	331	331
Temporary and Disability Assistance, Office of	67,810	69,932	68,985	68,985	68,985	68,985
<i>All Other</i>	67,810	69,932	68,985	68,985	68,985	68,985
<b>Functional Total</b>	<b>316,108</b>	<b>318,314</b>	<b>313,685</b>	<b>313,682</b>	<b>315,300</b>	<b>315,300</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	53,107	54,117	56,154	56,420	57,176	57,771
<i>OASAS</i>	20,250	19,602	23,769	23,922	24,441	24,704
<i>OASAS - Other</i>	32,857	34,515	32,385	32,498	32,735	33,067
Justice Center	19,845	26,608	27,551	27,754	28,199	28,488
Mental Health, Office of	1,077,139	1,123,600	1,058,110	1,053,126	1,067,509	1,082,898
<i>OMH</i>	270,100	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	807,039	833,717	760,485	752,989	763,342	775,695
People with Developmental Disabilities, Office for	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<i>OPWDD - Other</i>	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<b>Functional Total</b>	<b>2,262,595</b>	<b>2,356,115</b>	<b>2,238,999</b>	<b>2,228,930</b>	<b>2,256,488</b>	<b>2,284,234</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	2,037	2,042	2,304	2,365	2,414	2,414
Correctional Services, Department of	2,102,399	2,166,752	2,073,910	2,081,116	2,083,872	2,091,027
Criminal Justice Services, Division of	26,862	24,588	25,582	25,582	25,582	25,582
Disaster Assistance	(9,310)	(1,768)	0	0	0	0
Homeland Security and Emergency Services, Division of	14,217	13,037	14,628	14,628	14,628	14,628
Indigent Legal Services, Office of	928	1,211	2,204	2,204	2,204	2,204
Judicial Conduct, Commission on	4,028	4,208	4,281	4,281	4,312	4,347
Military and Naval Affairs, Division of	15,759	17,129	14,355	14,355	14,355	14,355
State Police, Division of	571,632	618,908	619,909	630,741	630,741	630,741
Statewide Financial System	9,622	10,234	11,350	11,350	11,350	11,350
Victim Services, Office of	3,162	3,154	3,176	3,176	3,176	3,176
<b>Functional Total</b>	<b>2,741,336</b>	<b>2,859,495</b>	<b>2,771,699</b>	<b>2,789,798</b>	<b>2,792,634</b>	<b>2,799,824</b>
<b>HIGHER EDUCATION</b>						
City University of New York	48,140	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	146	133	198	198	198	198
Higher Education Services Corporation, New York State	16,369	15,253	15,300	15,300	15,300	15,300
State University of New York	3,502,895	3,628,295	3,677,849	3,712,200	3,765,056	3,821,795
<b>Functional Total</b>	<b>3,567,550</b>	<b>3,690,551</b>	<b>3,738,749</b>	<b>3,773,694</b>	<b>3,827,152</b>	<b>3,884,503</b>
<b>EDUCATION</b>						
Arts, Council on the	2,132	2,253	2,498	2,498	2,498	2,498
Education, Department of	84,014	85,429	84,643	83,553	83,553	83,425
<i>All Other</i>	84,014	85,429	84,643	83,553	83,553	83,425
<b>Functional Total</b>	<b>86,146</b>	<b>87,682</b>	<b>87,141</b>	<b>86,051</b>	<b>86,051</b>	<b>85,923</b>
<b>GENERAL GOVERNMENT</b>						

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Budget, Division of the	20,223	20,436	24,514	24,567	24,567	24,567
Civil Service, Department of	12,046	11,662	12,384	12,384	12,497	12,591
Deferred Compensation Board	305	304	410	410	410	413
Elections, State Board of	4,680	5,639	5,909	5,875	6,018	6,063
Employee Relations, Office of	2,178	2,200	2,510	2,510	2,529	2,548
Gaming Commission, New York State	30,748	31,549	35,263	38,757	38,757	38,757
General Services, Office of	62,120	71,454	74,079	74,079	74,079	74,079
Inspector General, Office of the	6,217	6,230	6,552	6,552	6,600	6,648
Labor Management Committees	6,402	6,619	5,446	5,446	5,446	5,487
Prevention of Domestic Violence, Office for	1,267	1,351	1,374	1,388	1,388	1,388
Public Employment Relations Board	2,894	3,207	3,336	3,336	3,363	3,388
Public Integrity, Commission on	2,899	3,480	4,620	4,620	4,646	4,681
State, Department of	27,970	28,886	28,957	28,919	28,919	28,919
Tax Appeals, Division of	2,688	2,763	2,870	2,870	2,870	2,870
Taxation and Finance, Department of	285,802	292,613	270,384	269,907	269,907	269,907
Technology, Office for	277,996	283,573	284,831	284,801	284,801	284,801
Veterans' Affairs, Division of	4,937	4,731	5,879	5,879	5,938	5,938
Welfare Inspector General, Office of	472	511	617	617	621	626
Workers' Compensation Board	77,315	78,723	75,878	80,878	81,493	82,104
<b>Functional Total</b>	<b>829,159</b>	<b>855,931</b>	<b>845,813</b>	<b>853,795</b>	<b>854,849</b>	<b>855,775</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	109,351	111,792	113,609	113,609	113,609	113,687
Executive Chamber	10,621	10,669	11,469	11,813	11,813	11,813
Judiciary	1,479,075	1,509,383	1,556,900	1,556,900	1,582,300	1,584,800
Law, Department of	113,123	119,099	117,861	118,516	119,097	120,450
Legislature	160,777	167,444	166,331	166,331	166,331	166,331
Lieutenant Governor, Office of the	299	336	513	523	523	523
<b>Functional Total</b>	<b>1,873,246</b>	<b>1,918,723</b>	<b>1,966,683</b>	<b>1,967,692</b>	<b>1,993,673</b>	<b>1,997,604</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,204	1,982	59,290	(4,770)	(4,765)	(4,760)
<b>Functional Total</b>	<b>2,204</b>	<b>1,982</b>	<b>59,290</b>	<b>(4,770)</b>	<b>(4,765)</b>	<b>(4,760)</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>12,549,590</b>	<b>12,980,750</b>	<b>12,895,620</b>	<b>12,886,852</b>	<b>13,005,368</b>	<b>13,106,851</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2015 Results	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	24,524	26,822	11,255	7,755	23,569	23,569
Alcoholic Beverage Control, Division of	5,438	4,879	4,536	4,536	4,536	4,536
Economic Development, Department of	8,570	8,490	8,042	7,242	7,242	7,242
Empire State Development Corporation	50	0	0	0	0	0
Energy Research and Development Authority	1,219	407	0	0	0	0
Financial Services, Department of	53,504	52,930	56,471	56,021	56,021	59,241
Olympic Regional Development Authority	463	498	338	338	338	338
Public Service Department	7,736	8,625	7,461	7,455	7,455	7,455
<b>Functional Total</b>	<b>101,504</b>	<b>102,651</b>	<b>88,103</b>	<b>83,347</b>	<b>99,161</b>	<b>102,381</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	383	261	305	305	305	305
Environmental Conservation, Department of	55,389	56,314	54,575	54,573	54,961	55,734
Parks, Recreation and Historic Preservation, Office of	45,581	45,242	45,054	45,054	44,054	44,054
<b>Functional Total</b>	<b>101,353</b>	<b>101,817</b>	<b>99,934</b>	<b>99,932</b>	<b>99,320</b>	<b>100,093</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	14,808	15,713	14,127	14,127	14,127	14,127
Thruway Authority, New York State	18,341	16,569	0	0	0	0
Transportation, Department of	15,522	12,181	13,458	13,465	13,465	13,465
<b>Functional Total</b>	<b>48,671</b>	<b>44,463</b>	<b>27,585</b>	<b>27,592</b>	<b>27,592</b>	<b>27,592</b>
<b>HEALTH</b>						
Aging, Office for the	180	166	107	107	107	107
Health, Department of	315,468	429,789	518,323	536,031	535,971	537,674
<i>Essential Plan</i>	0	12,969	46,280	38,342	38,244	39,432
<i>Medicaid Administration</i>	133,480	239,528	302,034	329,603	329,603	329,603
<i>Public Health</i>	181,988	177,292	170,009	168,086	168,124	168,639
Medicaid Inspector General, Office of the	4,204	3,998	4,079	4,079	4,079	4,079
Stem Cell and Innovation	32,926	0	0	0	0	0
<b>Functional Total</b>	<b>352,778</b>	<b>433,953</b>	<b>522,509</b>	<b>540,217</b>	<b>540,157</b>	<b>541,860</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	93,616	95,323	82,958	84,888	89,828	89,828
<i>OCFS</i>	93,616	95,323	82,958	84,888	89,828	89,828
Housing and Community Renewal, Division of	12,041	11,542	8,843	8,843	8,843	8,843
Human Rights, Division of	1,663	865	460	460	460	460
Labor, Department of	15,895	13,986	13,901	13,901	13,901	13,901
National and Community Service	8	5	9	9	9	9
Temporary and Disability Assistance, Office of	71,788	77,147	61,395	56,379	56,379	56,379
<i>All Other</i>	71,788	77,147	61,395	56,379	56,379	56,379
<b>Functional Total</b>	<b>195,011</b>	<b>198,868</b>	<b>167,566</b>	<b>164,480</b>	<b>169,420</b>	<b>169,420</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	21,421	26,107	16,588	16,966	17,349	17,797
<i>OASAS</i>	9,937	14,049	6,955	7,146	7,323	7,533
<i>OASAS - Other</i>	11,484	12,058	9,633	9,820	10,026	10,264
Justice Center	10,625	11,696	13,051	13,418	13,795	14,183
Mental Health, Office of	306,429	294,057	272,464	277,498	285,128	293,478
<i>OMH</i>	66,251	64,988	47,464	49,072	50,553	51,922
<i>OMH - Other</i>	240,178	229,069	225,000	228,426	234,575	241,556
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	261,675	215,836	196,875	197,271	202,041	207,095
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	261,618	215,819	196,694	197,090	201,860	206,914
<b>Functional Total</b>	<b>600,378</b>	<b>547,915</b>	<b>498,978</b>	<b>505,153</b>	<b>518,313</b>	<b>532,553</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correction, Commission of	185	255	347	286	237	237
Correctional Services, Department of	538,752	525,761	551,996	552,046	552,046	552,046
Criminal Justice Services, Division of	10,801	11,451	13,211	13,211	13,211	13,211
Disaster Assistance	(1,427)	(50,021)	0	0	0	0
Homeland Security and Emergency Services, Division of	23,200	18,594	11,128	11,128	11,128	11,128
Indigent Legal Services, Office of	123	238	535	535	535	535
Judicial Conduct, Commission on	1,356	1,359	1,303	1,303	1,331	1,361
Judicial Nomination, Commission on	24	20	30	30	30	30
Judicial Screening Committees, New York State	12	14	38	38	38	38
Military and Naval Affairs, Division of	8,502	8,922	7,381	7,381	7,381	7,381
State Police, Division of	95,145	74,403	65,503	65,833	65,833	65,833
Statewide Financial System	22,337	19,836	18,787	18,793	18,793	18,793
Victim Services, Office of	550	379	775	775	775	775
<b>Functional Total</b>	<b>699,560</b>	<b>611,211</b>	<b>671,034</b>	<b>671,359</b>	<b>671,338</b>	<b>671,368</b>
<b>HIGHER EDUCATION</b>						
City University of New York	31,913	40,599	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	71	65	93	93	93	93
Higher Education Services Corporation, New York State	26,876	28,510	25,977	25,977	25,977	25,977
State University of New York	2,270,909	2,238,025	2,241,845	2,281,727	2,326,938	2,373,827
<b>Functional Total</b>	<b>2,329,769</b>	<b>2,307,199</b>	<b>2,308,147</b>	<b>2,348,833</b>	<b>2,394,865</b>	<b>2,442,591</b>
<b>EDUCATION</b>						



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Arts, Council on the	1,180	1,234	1,822	1,822	1,822	1,822
Education, Department of	49,477	45,211	70,517	57,102	57,102	57,050
<i>All Other</i>	49,477	45,211	70,517	57,102	57,102	57,050
<b>Functional Total</b>	<b>50,657</b>	<b>46,445</b>	<b>72,339</b>	<b>58,924</b>	<b>58,924</b>	<b>58,872</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	2,611	2,960	4,951	4,372	4,372	4,372
Civil Service, Department of	999	1,615	821	821	834	850
Deferred Compensation Board	56	73	231	231	231	235
Elections, State Board of	1,167	2,186	2,573	2,607	2,569	2,634
Employee Relations, Office of	32	47	71	71	72	73
Gaming Commission, New York State	103,569	115,263	117,634	118,971	118,971	118,971
General Services, Office of	89,654	85,485	89,127	86,919	87,024	87,024
Inspector General, Office of the	852	831	815	815	827	839
Labor Management Committees	17,696	18,263	19,854	19,854	19,854	19,819
Prevention of Domestic Violence, Office for	87	113	222	208	208	208
Public Employment Relations Board	221	226	236	237	241	246
Public Integrity, Commission on	729	852	911	911	930	949
State, Department of	13,895	14,446	18,549	13,820	13,820	13,820
Tax Appeals, Division of	161	272	170	170	170	170
Taxation and Finance, Department of	62,365	43,161	57,878	59,217	59,225	59,217
Technology, Office for	148,419	222,376	247,743	280,405	292,135	292,135
Veterans' Affairs, Division of	407	336	292	292	298	298
Welfare Inspector General, Office of	101	58	55	55	65	75
Workers' Compensation Board	64,681	60,293	60,729	60,729	61,897	63,089
<b>Functional Total</b>	<b>507,702</b>	<b>568,856</b>	<b>622,862</b>	<b>650,705</b>	<b>663,743</b>	<b>665,024</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	31,504	28,889	33,402	33,052	33,052	33,157
Executive Chamber	3,345	3,035	2,109	1,765	1,765	1,765
Judiciary	429,661	449,248	468,600	468,600	468,600	468,600
Law, Department of	52,318	49,676	53,739	54,541	55,290	56,383
Legislature	46,027	48,136	52,464	52,464	52,464	52,464
Lieutenant Governor, Office of the	67	163	101	91	91	91
<b>Functional Total</b>	<b>562,922</b>	<b>579,147</b>	<b>610,415</b>	<b>610,513</b>	<b>611,262</b>	<b>612,460</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>						
Aid and Incentives for Municipalities	100	0	0	0	0	0
<b>Functional Total</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>ALL OTHER CATEGORIES</b>						
Long-Term Debt Service	38,654	36,616	39,157	49,313	49,313	49,313
Miscellaneous	18,463	22,644	24,808	238,522	24,438	2,449
<b>Functional Total</b>	<b>57,117</b>	<b>59,260</b>	<b>63,965</b>	<b>287,835</b>	<b>73,751</b>	<b>51,762</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>5,607,522</b>	<b>5,601,785</b>	<b>5,753,437</b>	<b>6,048,890</b>	<b>5,927,846</b>	<b>5,975,976</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,378	1,517	1,422	1,478	1,523	1,587
Alcoholic Beverage Control, Division of	4,541	4,776	153	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	1,604	535	0	0	0	0
Financial Services, Department of	76,375	77,436	82,690	90,611	93,154	97,136
Olympic Regional Development Authority	0	20	0	0	0	0
Public Service Department	21,588	18,992	23,507	24,741	26,140	26,140
<b>Functional Total</b>	<b>105,486</b>	<b>103,276</b>	<b>107,800</b>	<b>116,858</b>	<b>120,845</b>	<b>124,891</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	45,247	45,186	47,590	49,175	49,483	48,954
Parks, Recreation and Historic Preservation, Office of	2,829	2,863	3,317	2,938	2,938	2,938
<b>Functional Total</b>	<b>48,076</b>	<b>48,049</b>	<b>50,907</b>	<b>52,113</b>	<b>52,421</b>	<b>51,892</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	22,591	24,365	19,799	20,656	20,734	20,734
Transportation, Department of	4,242	3,638	4,114	4,628	4,875	4,875
<b>Functional Total</b>	<b>26,833</b>	<b>28,003</b>	<b>23,913</b>	<b>25,284</b>	<b>25,609</b>	<b>25,609</b>
<b>HEALTH</b>						
Health, Department of	30,886	31,572	29,355	29,356	29,358	29,363
<i>Public Health</i>	30,886	31,572	29,355	29,356	29,358	29,363
Stem Cell and Innovation	189	0	0	0	0	0
<b>Functional Total</b>	<b>31,075</b>	<b>31,572</b>	<b>29,355</b>	<b>29,356</b>	<b>29,358</b>	<b>29,363</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	371	2,929	3,418	3,418	3,418	3,418
<i>OCFS</i>	371	2,929	3,418	3,418	3,418	3,418
Housing and Community Renewal, Division of	14,960	15,976	16,381	16,381	16,381	16,381
Labor, Department of	17,657	14,040	16,950	16,950	16,950	16,950
Temporary and Disability Assistance, Office of	0	70	0	0	0	0
<i>All Other</i>	0	70	0	0	0	0
<b>Functional Total</b>	<b>32,988</b>	<b>33,015</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	31,721	32,043	34,852	36,551	37,634	38,614
<i>OASAS</i>	13,472	13,118	15,806	17,029	17,624	18,114
<i>OASAS - Other</i>	18,249	18,925	19,046	19,522	20,010	20,500
Justice Center	582	630	739	802	880	898
Mental Health, Office of	606,158	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	196,274	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	409,884	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	629,482	620,685	633,798	655,636	674,402	691,338
<i>OPWDD - Other</i>	629,482	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>1,267,943</b>	<b>1,258,812</b>	<b>1,277,559</b>	<b>1,319,446</b>	<b>1,375,678</b>	<b>1,415,329</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	80	92	132	136	136	136
Criminal Justice Services, Division of	60	41	88	88	88	88
Homeland Security and Emergency Services, Division of	387	507	852	873	896	896
Indigent Legal Services, Office of	515	599	956	956	956	956
Military and Naval Affairs, Division of	317	(7)	14	14	14	14
State Police, Division of	2,619	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,591	1,535	2,190	2,190	2,190	2,190
<b>Functional Total</b>	<b>5,569</b>	<b>5,206</b>	<b>21,810</b>	<b>27,577</b>	<b>28,179</b>	<b>29,089</b>
<b>HIGHER EDUCATION</b>						
City University of New York	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	83	61	99	99	99	99
Higher Education Services Corporation, New York State	13,961	8,617	10,566	10,978	10,978	10,978
State University of New York	534,365	411,631	375,751	381,207	386,745	392,366
<b>Functional Total</b>	<b>556,126</b>	<b>428,201</b>	<b>394,308</b>	<b>400,176</b>	<b>405,714</b>	<b>411,335</b>
<b>EDUCATION</b>						
Education, Department of	32,716	32,021	33,959	35,650	37,497	37,456
<i>All Other</i>	32,716	32,021	33,959	35,650	37,497	37,456
<b>Functional Total</b>	<b>32,716</b>	<b>32,021</b>	<b>33,959</b>	<b>35,650</b>	<b>37,497</b>	<b>37,456</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	151	147	176	176	176	176
Deferred Compensation Board	166	147	225	225	225	225
Gaming Commission, New York State	14,956	12,895	15,708	17,575	17,575	17,575
General Services, Office of	2,208	2,589	446	469	494	494
State, Department of	9,433	8,372	9,953	10,260	10,516	10,871
Taxation and Finance, Department of	31,785	18,059	20,715	20,536	20,703	20,536
Workers' Compensation Board	46,013	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<b>105,687</b>	<b>87,234</b>	<b>100,228</b>	<b>104,300</b>	<b>104,748</b>	<b>104,936</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,634	1,494	2,201	2,324	2,385	2,385

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Judiciary	659,912	685,300	710,300	771,253	780,853	778,353
Law, Department of	13,613	16,644	17,896	18,773	20,254	20,531
<b>Functional Total</b>	<u>675,159</u>	<u>703,438</u>	<u>730,397</u>	<u>792,350</u>	<u>803,492</u>	<u>801,269</u>
<b>ALL OTHER CATEGORIES</b>						
General State Charges	4,131,686	4,681,599	4,882,652	5,179,977	5,378,702	5,724,751
Miscellaneous	13,902	12,039	19,907	12,583	12,477	12,416
<b>Functional Total</b>	<u>4,145,588</u>	<u>4,693,638</u>	<u>4,902,559</u>	<u>5,192,560</u>	<u>5,391,179</u>	<u>5,737,167</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>7,033,246</u></u>	<u><u>7,452,465</u></u>	<u><u>7,709,544</u></u>	<u><u>8,132,419</u></u>	<u><u>8,411,469</u></u>	<u><u>8,805,085</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	11,002	4,776	9,001	4,000	4,000	4,000
Economic Development Capital	1,985	0	23,000	29,276	24,250	23,000
Economic Development, Department of	132	28	13,433	3,274	14,150	0
Empire State Development Corporation	66,475	33,720	245,301	372,678	443,778	367,678
Energy Research and Development Authority	9,075	11,383	23,450	23,000	14,724	13,000
Olympic Regional Development Authority	6,900	7,500	7,500	0	0	0
Power Authority, New York	0	0	2,500	2,500	1,244	0
Regional Economic Development Program	0	0	1,500	512	356	355
Strategic Investment Program	0	0	6,000	6,000	7,371	7,000
<b>Functional Total</b>	<b>95,569</b>	<b>57,407</b>	<b>331,685</b>	<b>441,240</b>	<b>509,873</b>	<b>415,033</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	211,913	225,645	443,862	581,346	589,445	538,445
Hudson River Park Trust	49	3,452	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	94,213	136,835	132,850	127,325	124,850	124,850
<b>Functional Total</b>	<b>306,175</b>	<b>365,932</b>	<b>576,712</b>	<b>708,671</b>	<b>714,295</b>	<b>663,295</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	182,188	189,879	204,488	204,080	206,609	206,950
Transportation, Department of	2,174,467	2,041,369	2,298,410	2,513,748	2,579,640	2,597,756
<b>Functional Total</b>	<b>2,356,655</b>	<b>2,231,248</b>	<b>2,502,898</b>	<b>2,717,828</b>	<b>2,786,249</b>	<b>2,804,706</b>
<b>HEALTH</b>						
Health, Department of	49,549	61,148	81,000	31,000	31,000	31,000
<i>Public Health</i>	49,549	61,148	81,000	31,000	31,000	31,000
<b>Functional Total</b>	<b>49,549</b>	<b>61,148</b>	<b>81,000</b>	<b>31,000</b>	<b>31,000</b>	<b>31,000</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	19,537	21,186	20,931	20,931	20,931	20,931
<i>OCFS</i>	19,537	21,186	20,931	20,931	20,931	20,931
Nonprofit Infrastructure Capital Investment Program	0	0	13,000	30,000	27,000	20,000
Temporary and Disability Assistance, Office of	211	376	400	400	400	400
<i>All Other</i>	211	376	400	400	400	400
<b>Functional Total</b>	<b>19,748</b>	<b>21,562</b>	<b>34,331</b>	<b>51,331</b>	<b>48,331</b>	<b>41,331</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	(77)	0	4,972	5,000	4,800	4,700
<i>OASAS</i>	(77)	0	4,972	5,000	4,800	4,700
Mental Health, Office of	105,311	102,473	158,780	159,362	159,861	160,556
<i>OMH</i>	105,311	102,473	158,780	159,362	159,861	160,556
People with Developmental Disabilities, Office for	45,737	36,044	70,618	70,993	71,315	71,764
<i>OPWDD</i>	45,737	36,044	70,618	70,993	71,315	71,764
<b>Functional Total</b>	<b>150,971</b>	<b>138,517</b>	<b>234,370</b>	<b>235,355</b>	<b>235,976</b>	<b>237,020</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	220,308	260,188	298,088	308,064	290,064	293,064
Homeland Security and Emergency Services, Division of	41,942	6,680	40,681	16,500	6,653	5,000
Military and Naval Affairs, Division of	14,435	17,006	14,345	12,100	12,100	12,100
State Police, Division of	7,593	19,121	34,411	47,280	36,900	25,910
<b>Functional Total</b>	<b>284,278</b>	<b>302,995</b>	<b>387,525</b>	<b>383,944</b>	<b>345,717</b>	<b>336,074</b>
<b>HIGHER EDUCATION</b>						
City University of New York	30,141	33,460	35,000	35,400	35,900	36,620
Higher Education Facilities Capital Matching Grants Program	0	0	19,026	25,000	22,000	13,000
State University of New York	916,313	931,348	892,249	877,249	842,069	822,249
<b>Functional Total</b>	<b>946,454</b>	<b>964,808</b>	<b>946,275</b>	<b>937,649</b>	<b>899,969</b>	<b>871,869</b>
<b>EDUCATION</b>						
Education, Department of	4,688	7,420	12,692	40,100	27,400	25,157
<i>All Other</i>	4,688	7,420	12,692	40,100	27,400	25,157
<b>Functional Total</b>	<b>4,688</b>	<b>7,420</b>	<b>12,692</b>	<b>40,100</b>	<b>27,400</b>	<b>25,157</b>
<b>GENERAL GOVERNMENT</b>						
General Services, Office of	118,000	101,785	131,618	115,383	129,883	98,883
State, Department of	0	0	2,000	2,000	2,000	2,000
Technology, Office for	30,763	95,311	72,250	56,915	30,700	30,000
Workers' Compensation Board	0	3,117	10,000	15,000	15,000	15,000
<b>Functional Total</b>	<b>148,763</b>	<b>200,213</b>	<b>215,868</b>	<b>189,298</b>	<b>177,583</b>	<b>145,883</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	0	0	4,600	1,400	0	0
Law, Department of	2,379	1,449	5,000	5,000	3,621	2,500
<b>Functional Total</b>	<b>2,379</b>	<b>1,449</b>	<b>9,600</b>	<b>6,400</b>	<b>3,621</b>	<b>2,500</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	46,035	13,956	124,250	149,250	165,000	166,290
Special Infrastructure Account	0	692,433	688,615	1,627,241	1,057,610	800,750
<b>Functional Total</b>	<b>46,035</b>	<b>706,389</b>	<b>812,865</b>	<b>1,776,491</b>	<b>1,222,610</b>	<b>967,040</b>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<b>4,411,264</b>	<b>5,059,088</b>	<b>6,145,821</b>	<b>7,519,307</b>	<b>7,002,624</b>	<b>6,540,908</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Economic Development, Department of	0	17,190	2,000	5,000	5,000	5,000
Energy Research and Development Authority	5,527	1,842	0	0	0	0
Financial Services, Department of	223,476	80,686	57,174	57,049	57,049	57,049
Public Service Department	0	0	172	172	172	172
<b>Functional Total</b>	<b>229,003</b>	<b>99,718</b>	<b>59,346</b>	<b>62,221</b>	<b>62,221</b>	<b>62,221</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	4,487	4,754	5,450	5,450	5,450	5,450
<b>Functional Total</b>	<b>4,487</b>	<b>4,754</b>	<b>5,450</b>	<b>5,450</b>	<b>5,450</b>	<b>5,450</b>
<b>TRANSPORTATION</b>						
Transportation, Department of	4,736,445	4,633,877	4,825,488	4,915,018	4,969,981	5,060,636
<b>Functional Total</b>	<b>4,736,445</b>	<b>4,633,877</b>	<b>4,825,488</b>	<b>4,915,018</b>	<b>4,969,981</b>	<b>5,060,636</b>
<b>HEALTH</b>						
Health, Department of	6,090,916	6,250,424	6,268,807	6,212,477	6,216,941	6,232,068
<i>Medical Assistance</i>	5,113,859	5,316,631	5,502,543	5,416,644	5,407,124	5,287,983
<i>Public Health</i>	977,057	933,793	766,264	795,833	809,817	944,085
<b>Functional Total</b>	<b>6,090,916</b>	<b>6,250,424</b>	<b>6,268,807</b>	<b>6,212,477</b>	<b>6,216,941</b>	<b>6,232,068</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,726	2,367	3,582	3,582	3,582	3,582
<i>OCFS</i>	2,726	2,367	3,582	3,582	3,582	3,582
Housing and Community Renewal, Division of	1,251	295	852	852	852	852
Labor, Department of	9	58	(850)	(850)	(850)	(850)
Temporary and Disability Assistance, Office of	0	89	0	0	0	0
<i>All Other</i>	0	89	0	0	0	0
<b>Functional Total</b>	<b>3,986</b>	<b>2,809</b>	<b>3,584</b>	<b>3,584</b>	<b>3,584</b>	<b>3,584</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	278,379	280,641	288,080	315,546	336,220	356,098
<i>OASAS</i>	278,379	280,641	288,080	315,546	336,220	356,098
Justice Center	430	430	479	479	479	479
Mental Health, Office of	803,034	857,956	918,610	1,007,794	1,134,177	1,178,643
<i>OMH</i>	803,034	857,956	918,610	1,007,794	1,134,177	1,178,643
People with Developmental Disabilities, Office for	434,691	332,217	367,126	420,803	469,399	523,995
<i>OPWDD</i>	433,331	331,062	367,126	420,803	469,399	523,995
<i>OPWDD - Other</i>	1,360	1,155	0	0	0	0
<b>Functional Total</b>	<b>1,516,534</b>	<b>1,471,244</b>	<b>1,574,295</b>	<b>1,744,622</b>	<b>1,940,275</b>	<b>2,059,215</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Criminal Justice Services, Division of	29,987	34,250	31,737	17,437	17,437	17,437
Homeland Security and Emergency Services, Division of	34,184	33,702	39,376	61,561	81,527	65,801
Indigent Legal Services, Office of	51,123	58,068	83,000	101,600	101,600	101,600
Victim Services, Office of	24,620	17,531	24,631	34,491	34,491	34,491
<b>Functional Total</b>	<b>139,914</b>	<b>143,551</b>	<b>178,744</b>	<b>215,089</b>	<b>235,055</b>	<b>219,329</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	32,000	16,000	0	0	0	0
<b>Functional Total</b>	<b>32,000</b>	<b>16,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>EDUCATION</b>						
Arts, Council on the	0	0	98	98	98	98
Education, Department of	6,523,484	6,513,228	6,561,877	6,308,773	6,234,265	6,226,204
<i>School Aid</i>	3,215,584	3,169,009	3,321,000	3,318,948	3,300,000	3,344,000
<i>STAR Property Tax Relief</i>	3,296,950	3,334,700	3,227,844	2,976,792	2,921,232	2,869,171
<i>All Other</i>	10,950	9,519	13,033	13,033	13,033	13,033
<b>Functional Total</b>	<b>6,523,484</b>	<b>6,513,228</b>	<b>6,561,975</b>	<b>6,308,871</b>	<b>6,234,363</b>	<b>6,226,302</b>
<b>GENERAL GOVERNMENT</b>						
Gaming Commission, New York State	0	91,881	99,302	114,500	139,500	139,500
State, Department of	874	638	939	939	939	939
Taxation and Finance, Department of	0	0	1,800	1,800	1,800	1,800
<b>Functional Total</b>	<b>874</b>	<b>92,519</b>	<b>102,041</b>	<b>117,239</b>	<b>142,239</b>	<b>142,239</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	104,992	112,204	104,900	104,900	104,900	104,900
<b>Functional Total</b>	<b>104,992</b>	<b>112,204</b>	<b>104,900</b>	<b>104,900</b>	<b>104,900</b>	<b>104,900</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	77,610	(1,400)	(679,500)	(814,500)	(814,500)	(1,049,500)
<b>Functional Total</b>	<b>77,610</b>	<b>(1,400)</b>	<b>(679,500)</b>	<b>(814,500)</b>	<b>(814,500)</b>	<b>(1,049,500)</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>19,460,245</b>	<b>19,338,928</b>	<b>19,005,130</b>	<b>18,874,971</b>	<b>19,100,509</b>	<b>19,066,444</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	2,514	2,654	2,714	2,719	2,724	2,729
Alcoholic Beverage Control, Division of	7,657	7,622	113	0	0	0
Economic Development, Department of	0	0	103	103	103	103
Energy Research and Development Authority	3,622	1,024	0	0	0	0
Financial Services, Department of	137,783	149,000	154,155	155,905	155,905	156,590
Olympic Regional Development Authority	0	45	0	0	0	0
Public Service Department	39,760	40,858	41,886	41,903	41,903	41,903
<b>Functional Total</b>	<b>191,336</b>	<b>201,203</b>	<b>198,971</b>	<b>200,630</b>	<b>200,635</b>	<b>201,325</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	92,238	95,957	92,996	92,745	93,026	85,943
Parks, Recreation and Historic Preservation, Office of	28,874	29,719	31,207	31,207	30,957	30,957
<b>Functional Total</b>	<b>121,112</b>	<b>125,676</b>	<b>124,203</b>	<b>123,952</b>	<b>123,983</b>	<b>116,900</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	43,692	43,684	35,195	35,845	35,845	35,845
Transportation, Department of	6,980	6,407	7,167	7,347	7,347	7,347
<b>Functional Total</b>	<b>50,672</b>	<b>50,091</b>	<b>42,362</b>	<b>43,192</b>	<b>43,192</b>	<b>43,192</b>
<b>HEALTH</b>						
Health, Department of	138,422	142,666	129,493	128,547	128,549	128,554
<i>Public Health</i>	138,422	142,666	129,493	128,547	128,549	128,554
Stem Cell and Innovation	368	0	0	0	0	0
<b>Functional Total</b>	<b>138,790</b>	<b>142,666</b>	<b>129,493</b>	<b>128,547</b>	<b>128,549</b>	<b>128,554</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	2,824	2,564	3,304	3,301	3,333	3,333
<i>OCFS</i>	2,824	2,564	3,304	3,301	3,333	3,333
Housing and Community Renewal, Division of	35,037	33,869	36,204	36,204	36,204	36,204
Labor, Department of	29,991	32,519	32,030	32,030	32,030	32,030
<b>Functional Total</b>	<b>67,852</b>	<b>68,952</b>	<b>71,538</b>	<b>71,535</b>	<b>71,567</b>	<b>71,567</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	53,107	54,117	56,154	56,420	57,176	57,771
<i>OASAS</i>	20,250	19,602	23,769	23,922	24,441	24,704
<i>OASAS - Other</i>	32,857	34,515	32,385	32,498	32,735	33,067
Justice Center	1,132	1,178	1,287	1,333	1,389	1,415
Mental Health, Office of	1,077,139	1,123,600	1,058,110	1,053,126	1,067,509	1,082,898
<i>OMH</i>	270,100	289,883	297,625	300,137	304,167	307,203
<i>OMH - Other</i>	807,039	833,717	760,485	752,989	763,342	775,695
People with Developmental Disabilities, Office for	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<i>OPWDD - Other</i>	1,112,504	1,151,790	1,097,184	1,091,630	1,103,604	1,115,077
<b>Functional Total</b>	<b>2,243,882</b>	<b>2,330,685</b>	<b>2,212,735</b>	<b>2,202,509</b>	<b>2,229,678</b>	<b>2,257,161</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Corrections Services, Department of	147	168	219	219	219	219
Criminal Justice Services, Division of	219	342	392	392	392	392
Homeland Security and Emergency Services, Division of	9,428	10,965	13,628	13,628	13,628	13,628
Indigent Legal Services, Office of	928	1,211	2,204	2,204	2,204	2,204
Military and Naval Affairs, Division of	430	435	134	134	134	134
State Police, Division of	11,518	11,357	47,006	47,006	47,006	47,006
Statewide Financial System	340	0	0	0	0	0
Victim Services, Office of	3,162	3,154	3,176	3,176	3,176	3,176
<b>Functional Total</b>	<b>26,172</b>	<b>27,632</b>	<b>66,759</b>	<b>66,759</b>	<b>66,759</b>	<b>66,759</b>
<b>HIGHER EDUCATION</b>						
City University of New York	48,140	46,870	45,402	45,996	46,598	47,210
Higher Education - Miscellaneous	146	133	198	198	198	198
Higher Education Services Corporation, New York State	16,369	15,253	15,300	15,300	15,300	15,300
State University of New York	3,502,621	3,626,705	3,677,849	3,712,200	3,765,056	3,821,795
<b>Functional Total</b>	<b>3,567,276</b>	<b>3,688,961</b>	<b>3,738,749</b>	<b>3,773,694</b>	<b>3,827,152</b>	<b>3,884,503</b>
<b>EDUCATION</b>						
Education, Department of	56,872	57,820	58,278	58,278	58,278	58,150
<i>All Other</i>	56,872	57,820	58,278	58,278	58,278	58,150
<b>Functional Total</b>	<b>56,872</b>	<b>57,820</b>	<b>58,278</b>	<b>58,278</b>	<b>58,278</b>	<b>58,150</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	1,539	1,491	2,298	2,351	2,351	2,351
Civil Service, Department of	261	255	334	334	359	362
Deferred Compensation Board	281	280	378	378	378	381
Gaming Commission, New York State	30,748	28,187	30,981	34,475	34,475	34,475
General Services, Office of	3,701	4,615	858	858	858	858
State, Department of	15,857	17,130	17,035	16,997	16,997	16,997
Taxation and Finance, Department of	54,419	45,481	43,830	43,353	43,654	43,353
Workers' Compensation Board	77,315	78,723	75,878	80,878	81,493	82,104
<b>Functional Total</b>	<b>184,121</b>	<b>176,162</b>	<b>171,592</b>	<b>179,624</b>	<b>180,565</b>	<b>180,881</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	10,730	10,594	10,937	10,937	10,937	11,015
Judiciary	56,698	58,352	59,000	59,000	59,000	59,000

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Law, Department of	26,126	29,147	28,456	29,111	29,692	30,380
<b>Functional Total</b>	<u>93,554</u>	<u>98,093</u>	<u>98,393</u>	<u>99,048</u>	<u>99,629</u>	<u>100,395</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	2,151	1,919	(28,990)	(128,970)	(128,965)	(168,960)
<b>Functional Total</b>	<u>2,151</u>	<u>1,919</u>	<u>(28,990)</u>	<u>(128,970)</u>	<u>(128,965)</u>	<u>(168,960)</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>6,743,790</u></u>	<u><u>6,969,860</u></u>	<u><u>6,884,083</u></u>	<u><u>6,818,798</u></u>	<u><u>6,901,022</u></u>	<u><u>6,940,427</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	17,132	19,408	5,886	2,386	18,200	18,200
Alcoholic Beverage Control, Division of	5,438	4,879	312	0	0	0
Economic Development, Department of	1,978	1,679	1,847	1,847	1,847	1,847
Energy Research and Development Authority	1,219	407	0	0	0	0
Financial Services, Department of	53,504	52,930	56,471	56,021	56,021	59,241
Olympic Regional Development Authority	0	35	150	150	150	150
Public Service Department	7,736	8,625	7,461	7,455	7,455	7,455
<b>Functional Total</b>	<b>87,007</b>	<b>87,963</b>	<b>72,127</b>	<b>67,859</b>	<b>83,673</b>	<b>86,893</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	45,628	47,541	46,185	41,246	35,613	35,586
Parks, Recreation and Historic Preservation, Office of	40,592	40,223	37,707	37,707	36,707	36,707
<b>Functional Total</b>	<b>86,220</b>	<b>87,764</b>	<b>83,892</b>	<b>78,953</b>	<b>72,320</b>	<b>72,293</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	14,808	15,713	14,127	14,127	14,127	14,127
Transportation, Department of	14,440	11,029	12,289	12,296	12,296	12,296
<b>Functional Total</b>	<b>29,248</b>	<b>26,742</b>	<b>26,416</b>	<b>26,423</b>	<b>26,423</b>	<b>26,423</b>
<b>HEALTH</b>						
Aging, Office for the	0	0	1	1	1	1
Health, Department of	109,580	127,278	124,437	122,414	122,452	122,867
<i>Public Health</i>	109,580	127,278	124,437	122,414	122,452	122,867
Stem Cell and Innovation	32,926	0	0	0	0	0
<b>Functional Total</b>	<b>142,506</b>	<b>127,278</b>	<b>124,438</b>	<b>122,415</b>	<b>122,453</b>	<b>122,868</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	26,288	27,443	17,205	15,205	15,786	15,786
<i>OCFS</i>	26,288	27,443	17,205	15,205	15,786	15,786
Housing and Community Renewal, Division of	9,516	9,273	8,492	8,492	8,492	8,492
Labor, Department of	15,709	13,818	13,701	13,701	13,701	13,701
Temporary and Disability Assistance, Office of	74	76	200	200	200	200
<i>All Other</i>	74	76	200	200	200	200
<b>Functional Total</b>	<b>51,587</b>	<b>50,610</b>	<b>39,598</b>	<b>37,598</b>	<b>38,179</b>	<b>38,179</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	21,421	26,107	16,588	16,966	17,349	17,797
<i>OASAS</i>	9,937	14,049	6,955	7,146	7,323	7,533
<i>OASAS - Other</i>	11,484	12,058	9,633	9,820	10,026	10,264
Justice Center	36	30	36	37	38	39
Mental Health, Office of	306,172	293,578	271,664	276,698	284,328	292,678
<i>OMH</i>	65,994	64,509	46,664	48,272	49,753	51,122
<i>OMH - Other</i>	240,178	229,069	225,000	228,426	234,575	241,556
Mental Hygiene, Department of	228	219	0	0	0	0
People with Developmental Disabilities, Office for	261,675	215,836	196,875	197,271	202,041	207,095
<i>OPWDD</i>	57	17	181	181	181	181
<i>OPWDD - Other</i>	261,618	215,819	196,694	197,090	201,860	206,914
<b>Functional Total</b>	<b>589,532</b>	<b>535,770</b>	<b>485,163</b>	<b>490,972</b>	<b>503,756</b>	<b>517,609</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,899	1,113	2,627	2,627	2,627	2,627
Criminal Justice Services, Division of	2,672	1,176	4,680	4,680	4,680	4,680
Homeland Security and Emergency Services, Division of	21,052	16,348	11,128	11,128	11,128	11,128
Indigent Legal Services, Office of	123	238	535	535	535	535
Military and Naval Affairs, Division of	2,917	3,052	1,207	1,207	1,207	1,207
State Police, Division of	46,651	29,676	27,184	27,184	22,184	22,184
Statewide Financial System	2,355	0	0	0	0	0
Victim Services, Office of	550	379	775	775	775	775
<b>Functional Total</b>	<b>78,219</b>	<b>51,982</b>	<b>48,136</b>	<b>48,136</b>	<b>43,136</b>	<b>43,136</b>
<b>HIGHER EDUCATION</b>						
City University of New York	31,913	39,822	40,232	41,036	41,857	42,694
Higher Education - Miscellaneous	71	65	93	93	93	93
Higher Education Services Corporation, New York State	26,876	28,510	25,977	25,977	25,977	25,977
State University of New York	2,261,726	2,229,774	2,241,845	2,281,727	2,326,938	2,373,827
<b>Functional Total</b>	<b>2,320,586</b>	<b>2,298,171</b>	<b>2,308,147</b>	<b>2,348,833</b>	<b>2,394,865</b>	<b>2,442,591</b>
<b>EDUCATION</b>						
Education, Department of	27,650	27,825	25,394	25,394	25,394	25,342
<i>All Other</i>	27,650	27,825	25,394	25,394	25,394	25,342
<b>Functional Total</b>	<b>27,650</b>	<b>27,825</b>	<b>25,394</b>	<b>25,394</b>	<b>25,394</b>	<b>25,342</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	973	826	2,745	2,693	2,693	2,693
Civil Service, Department of	508	71	420	420	428	436
Deferred Compensation Board	43	36	206	206	206	210
Elections, State Board of	139	221	0	0	0	0
Gaming Commission, New York State	103,569	113,017	115,145	116,482	116,482	116,482
General Services, Office of	5,780	6,122	3,931	3,123	3,228	3,228
Labor Management Committees	0	0	300	300	300	306
Prevention of Domestic Violence, Office for	0	0	5	5	5	5



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
Public Employment Relations Board	19	33	43	44	44	45
State, Department of	12,318	14,023	17,282	13,581	13,581	13,581
Taxation and Finance, Department of	20,877	26,531	27,825	30,164	30,496	30,164
Workers' Compensation Board	64,681	60,293	60,729	60,729	61,897	63,089
<b>Functional Total</b>	<u>208,907</u>	<u>221,173</u>	<u>228,631</u>	<u>227,747</u>	<u>229,360</u>	<u>230,239</u>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	4,330	3,662	5,344	5,344	5,344	5,449
Judiciary	46,560	62,130	52,400	52,400	52,400	52,400
Law, Department of	37,520	37,530	40,306	41,108	41,857	42,692
Legislature	1,046	1,469	950	950	950	950
<b>Functional Total</b>	<u>89,456</u>	<u>104,791</u>	<u>99,000</u>	<u>99,802</u>	<u>100,551</u>	<u>101,491</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(589)	810	(79,281)	(187,283)	(187,274)	(282,263)
<b>Functional Total</b>	<u>(589)</u>	<u>810</u>	<u>(79,281)</u>	<u>(187,283)</u>	<u>(187,274)</u>	<u>(282,263)</u>
<b>TOTAL NON-PERSONAL SERVICE SPENDING</b>	<u><u>3,710,329</u></u>	<u><u>3,620,879</u></u>	<u><u>3,461,661</u></u>	<u><u>3,386,849</u></u>	<u><u>3,452,836</u></u>	<u><u>3,424,801</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	1,378	1,517	1,422	1,478	1,523	1,587
Alcoholic Beverage Control, Division of	4,541	4,776	153	0	0	0
Economic Development, Department of	0	0	28	28	28	28
Energy Research and Development Authority	1,604	535	0	0	0	0
Financial Services, Department of	76,375	77,436	82,690	90,611	93,154	97,136
Olympic Regional Development Authority	0	20	0	0	0	0
Public Service Department	21,588	18,992	23,507	24,741	26,140	26,140
<b>Functional Total</b>	<b>105,486</b>	<b>103,276</b>	<b>107,800</b>	<b>116,858</b>	<b>120,845</b>	<b>124,891</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	45,247	45,186	47,590	49,175	49,483	48,954
Parks, Recreation and Historic Preservation, Office of	2,829	2,863	3,317	2,938	2,938	2,938
<b>Functional Total</b>	<b>48,076</b>	<b>48,049</b>	<b>50,907</b>	<b>52,113</b>	<b>52,421</b>	<b>51,892</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	22,591	24,365	19,799	20,656	20,734	20,734
Transportation, Department of	4,242	3,638	4,114	4,628	4,875	4,875
<b>Functional Total</b>	<b>26,833</b>	<b>28,003</b>	<b>23,913</b>	<b>25,284</b>	<b>25,609</b>	<b>25,609</b>
<b>HEALTH</b>						
Health, Department of	30,886	31,572	29,355	29,356	29,358	29,363
<i>Public Health</i>	30,886	31,572	29,355	29,356	29,358	29,363
Stem Cell and Innovation	189	0	0	0	0	0
<b>Functional Total</b>	<b>31,075</b>	<b>31,572</b>	<b>29,355</b>	<b>29,356</b>	<b>29,358</b>	<b>29,363</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	371	2,929	3,418	3,418	3,418	3,418
<i>OCFS</i>	371	2,929	3,418	3,418	3,418	3,418
Housing and Community Renewal, Division of	14,960	15,976	16,381	16,381	16,381	16,381
Labor, Department of	17,657	14,040	16,950	16,950	16,950	16,950
Temporary and Disability Assistance, Office of	0	70	0	0	0	0
<i>All Other</i>	0	70	0	0	0	0
<b>Functional Total</b>	<b>32,988</b>	<b>33,015</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>	<b>36,749</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	31,721	32,043	34,852	36,551	37,634	38,614
<i>OASAS</i>	13,472	13,118	15,806	17,029	17,624	18,114
<i>OASAS - Other</i>	18,249	18,925	19,046	19,522	20,010	20,500
Justice Center	582	630	739	802	880	898
Mental Health, Office of	606,158	605,454	608,170	626,457	662,762	684,479
<i>OMH</i>	196,274	149,711	169,831	176,974	186,755	192,116
<i>OMH - Other</i>	409,884	455,743	438,339	449,483	476,007	492,363
People with Developmental Disabilities, Office for	629,482	620,685	633,798	655,636	674,402	691,338
<i>OPWDD - Other</i>	629,482	620,685	633,798	655,636	674,402	691,338
<b>Functional Total</b>	<b>1,267,943</b>	<b>1,258,812</b>	<b>1,277,559</b>	<b>1,319,446</b>	<b>1,375,678</b>	<b>1,415,329</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	80	92	132	136	136	136
Criminal Justice Services, Division of	60	41	88	88	88	88
Homeland Security and Emergency Services, Division of	387	507	852	873	896	896
Indigent Legal Services, Office of	515	599	956	956	956	956
Military and Naval Affairs, Division of	317	(7)	14	14	14	14
State Police, Division of	2,619	2,439	17,578	23,320	23,899	24,809
Victim Services, Office of	1,591	1,535	2,190	2,190	2,190	2,190
<b>Functional Total</b>	<b>5,569</b>	<b>5,206</b>	<b>21,810</b>	<b>27,577</b>	<b>28,179</b>	<b>29,089</b>
<b>HIGHER EDUCATION</b>						
City University of New York	7,717	7,892	7,892	7,892	7,892	7,892
Higher Education - Miscellaneous	83	61	99	99	99	99
Higher Education Services Corporation, New York State	13,961	8,617	10,566	10,978	10,978	10,978
State University of New York	316,600	370,529	375,751	381,207	386,745	392,366
<b>Functional Total</b>	<b>338,361</b>	<b>387,099</b>	<b>394,308</b>	<b>400,176</b>	<b>405,714</b>	<b>411,335</b>
<b>EDUCATION</b>						
Education, Department of	32,716	32,021	33,959	35,650	37,497	37,456
<i>All Other</i>	32,716	32,021	33,959	35,650	37,497	37,456
<b>Functional Total</b>	<b>32,716</b>	<b>32,021</b>	<b>33,959</b>	<b>35,650</b>	<b>37,497</b>	<b>37,456</b>
<b>GENERAL GOVERNMENT</b>						
Budget, Division of the	975	831	1,557	1,657	1,657	1,657
Civil Service, Department of	151	147	176	176	176	176
Deferred Compensation Board	166	147	225	225	225	225
Gaming Commission, New York State	14,956	12,895	15,708	17,575	17,575	17,575
General Services, Office of	2,208	2,589	446	469	494	494
State, Department of	9,433	8,372	9,953	10,260	10,516	10,871
Taxation and Finance, Department of	31,785	18,059	20,715	20,536	20,703	20,536
Workers' Compensation Board	46,013	44,194	51,448	53,402	53,402	53,402
<b>Functional Total</b>	<b>105,687</b>	<b>87,234</b>	<b>100,228</b>	<b>104,300</b>	<b>104,748</b>	<b>104,936</b>
<b>ELECTED OFFICIALS</b>						
Audit and Control, Department of	1,634	1,494	2,201	2,324	2,385	2,385

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
Judiciary	23,257	21,727	28,500	28,750	28,750	28,750
Law, Department of	13,613	16,644	17,896	18,773	20,254	20,531
<b>Functional Total</b>	<u>38,504</u>	<u>39,865</u>	<u>48,597</u>	<u>49,847</u>	<u>51,389</u>	<u>51,666</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	1,315	1,083	31,675	18,854	19,310	19,943
<b>Functional Total</b>	<u>1,315</u>	<u>1,083</u>	<u>31,675</u>	<u>18,854</u>	<u>19,310</u>	<u>19,943</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u>2,034,553</u>	<u>2,055,235</u>	<u>2,156,860</u>	<u>2,216,210</u>	<u>2,287,497</u>	<u>2,338,258</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Economic Development, Department of	6,079	7,716	8,055	8,055	8,055	8,055
<b>Functional Total</b>	<b>6,079</b>	<b>7,716</b>	<b>8,055</b>	<b>8,055</b>	<b>8,055</b>	<b>8,055</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Parks, Recreation and Historic Preservation, Office of	1,303	4,028	1,270	1,270	1,270	1,270
<b>Functional Total</b>	<b>1,303</b>	<b>4,028</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	14,536	14,681	18,000	18,000	18,000	18,000
Transportation, Department of	45,354	22,705	33,927	33,927	33,927	33,927
<b>Functional Total</b>	<b>59,890</b>	<b>37,386</b>	<b>51,927</b>	<b>51,927</b>	<b>51,927</b>	<b>51,927</b>
<b>HEALTH</b>						
Aging, Office for the	101,849	90,659	111,159	104,301	89,203	89,203
Health, Department of	31,407,191	35,035,348	36,086,048	37,614,525	38,653,138	39,938,667
<i>Medical Assistance</i>	29,400,998	31,226,036	31,579,187	33,054,775	33,996,156	35,295,134
<i>Essential Plan</i>	0	1,506,723	2,083,668	2,150,345	2,214,856	2,276,872
<i>Medicaid Administration</i>	444,650	440,017	445,950	445,950	445,950	445,950
<i>Public Health</i>	1,561,543	1,862,572	1,977,243	1,963,455	1,996,176	1,920,711
<b>Functional Total</b>	<b>31,509,040</b>	<b>35,126,007</b>	<b>36,197,207</b>	<b>37,718,826</b>	<b>38,742,341</b>	<b>40,027,870</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	1,077,094	896,967	1,031,300	966,300	966,300	966,300
<i>OCFS</i>	1,077,094	896,967	1,031,300	966,300	966,300	966,300
Housing and Community Renewal, Division of	48,983	50,830	48,434	48,434	48,434	48,434
Labor, Department of	147,172	156,302	158,117	158,325	158,325	158,325
Temporary and Disability Assistance, Office of	3,463,500	3,700,880	3,443,576	3,443,576	3,443,576	3,443,576
<i>Welfare Assistance</i>	2,632,607	2,863,393	2,626,576	2,626,576	2,626,576	2,626,576
<i>All Other</i>	830,893	837,487	817,000	817,000	817,000	817,000
<b>Functional Total</b>	<b>4,736,749</b>	<b>4,804,979</b>	<b>4,681,427</b>	<b>4,616,635</b>	<b>4,616,635</b>	<b>4,616,635</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	111,100	123,561	122,781	122,781	122,781	122,781
<i>OASAS</i>	111,100	123,561	122,781	122,781	122,781	122,781
Mental Health, Office of	33,780	32,743	32,025	32,025	32,025	32,025
<i>OMH</i>	33,780	32,743	32,025	32,025	32,025	32,025
People with Developmental Disabilities, Office for	0	0	8,500	8,500	8,500	8,500
<i>OPWDD</i>	0	0	8,500	8,500	8,500	8,500
<b>Functional Total</b>	<b>144,880</b>	<b>156,304</b>	<b>163,306</b>	<b>163,306</b>	<b>163,306</b>	<b>163,306</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Criminal Justice Services, Division of	16,082	17,835	18,800	18,800	18,800	18,800
Homeland Security and Emergency Services, Division of	2,228,504	1,722,015	1,544,771	949,124	663,771	663,771
State Police, Division of	0	0	6,000	0	0	0
Victim Services, Office of	29,925	38,713	30,128	30,128	30,128	30,128
<b>Functional Total</b>	<b>2,274,511</b>	<b>1,778,563</b>	<b>1,599,699</b>	<b>998,052</b>	<b>712,699</b>	<b>712,699</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	352	38	0	0	0	0
State University of New York	0	0	7,941	7,941	7,941	7,941
<b>Functional Total</b>	<b>352</b>	<b>38</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>
<b>EDUCATION</b>						
Arts, Council on the	965	359	600	600	600	600
Education, Department of	3,198,876	3,658,643	3,571,776	3,625,426	3,689,557	3,745,065
<i>School Aid</i>	2,053,052	2,199,123	2,678,000	2,723,400	2,769,850	2,817,358
<i>Special Education Categorical Programs</i>	659,120	862,379	821,450	829,700	838,000	846,000
<i>All Other</i>	486,704	597,141	72,326	72,326	81,707	81,707
<b>Functional Total</b>	<b>3,199,841</b>	<b>3,659,002</b>	<b>3,572,376</b>	<b>3,626,026</b>	<b>3,690,157</b>	<b>3,745,665</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	306	493	0	0	0	0
General Services, Office of	0	0	250	250	250	250
State, Department of	57,298	54,665	55,457	55,457	55,457	55,457
<b>Functional Total</b>	<b>57,604</b>	<b>55,158</b>	<b>55,707</b>	<b>55,707</b>	<b>55,707</b>	<b>55,707</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	(361,032)	(466,435)	(470,960)	(465,410)	(476,665)	(447,938)
<b>Functional Total</b>	<b>(361,032)</b>	<b>(466,435)</b>	<b>(470,960)</b>	<b>(465,410)</b>	<b>(476,665)</b>	<b>(447,938)</b>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<b>41,629,217</b>	<b>45,162,746</b>	<b>45,867,955</b>	<b>46,782,335</b>	<b>47,573,373</b>	<b>48,943,137</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	3,318	4,193	2,973	2,973	2,973	2,973
Financial Services, Department of	589	0	0	0	0	0
Public Service Department	1,485	1,934	1,202	1,202	1,202	1,202
<b>Functional Total</b>	<b>5,392</b>	<b>6,127</b>	<b>4,175</b>	<b>4,175</b>	<b>4,175</b>	<b>4,175</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	27,266	25,874	27,759	27,759	27,759	27,759
Parks, Recreation and Historic Preservation, Office of	2,098	1,759	1,123	1,123	1,123	1,123
<b>Functional Total</b>	<b>29,364</b>	<b>27,633</b>	<b>28,882</b>	<b>28,882</b>	<b>28,882</b>	<b>28,882</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,161	1,203	3,735	3,735	3,735	3,735
Transportation, Department of	3,903	3,802	5,387	5,387	5,387	5,387
<b>Functional Total</b>	<b>5,064</b>	<b>5,005</b>	<b>9,122</b>	<b>9,122</b>	<b>9,122</b>	<b>9,122</b>
<b>HEALTH</b>						
Aging, Office for the	5,392	5,915	6,160	6,160	6,160	6,160
Health, Department of	72,922	84,597	122,181	121,652	127,000	128,728
<i>Medicaid Administration</i>	24,910	29,772	65,725	65,204	70,607	72,347
<i>Public Health</i>	48,012	54,825	56,456	56,448	56,393	56,381
Medicaid Inspector General, Office of the	16,626	16,501	15,975	15,975	15,975	15,975
<b>Functional Total</b>	<b>94,940</b>	<b>107,013</b>	<b>144,316</b>	<b>143,787</b>	<b>149,135</b>	<b>150,863</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	25,232	23,309	28,386	28,957	29,247	29,247
<i>OCFS</i>	25,232	23,309	28,386	28,957	29,247	29,247
Housing and Community Renewal, Division of	6,870	6,782	7,437	7,520	7,595	7,595
Human Rights, Division of	2,655	2,501	3,075	3,106	3,135	3,135
Labor, Department of	184,704	170,297	170,763	170,867	170,867	170,867
National and Community Service	309	245	362	373	377	377
Temporary and Disability Assistance, Office of	74,037	72,753	76,130	76,939	77,755	77,755
<i>All Other</i>	74,037	72,753	76,130	76,939	77,755	77,755
<b>Functional Total</b>	<b>293,807</b>	<b>275,887</b>	<b>286,153</b>	<b>287,762</b>	<b>288,976</b>	<b>288,976</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	4,424	5,107	5,107	5,109	5,153	5,196
<i>OASAS</i>	4,424	5,107	5,107	5,109	5,153	5,196
Developmental Disabilities Planning Council	991	838	1,253	1,266	1,266	1,266
Justice Center	30	67	102	103	103	103
Mental Health, Office of	943	913	584	584	584	584
<i>OMH</i>	943	913	584	584	584	584
<b>Functional Total</b>	<b>6,388</b>	<b>6,925</b>	<b>7,046</b>	<b>7,062</b>	<b>7,106</b>	<b>7,149</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,871	23,474	15,753	15,753	15,753	15,753
Criminal Justice Services, Division of	2,742	5,059	5,602	5,602	5,602	5,602
Homeland Security and Emergency Services, Division of	21,292	14,004	6,490	6,490	6,490	6,490
Military and Naval Affairs, Division of	27,171	18,388	21,993	21,993	21,993	21,993
State Police, Division of	10,567	10,425	7,000	7,000	7,000	7,000
Victim Services, Office of	1,009	920	1,658	1,658	1,658	1,658
<b>Functional Total</b>	<b>64,652</b>	<b>72,270</b>	<b>58,496</b>	<b>58,496</b>	<b>58,496</b>	<b>58,496</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	246	3	836	836	836	836
State University of New York	8,602	9,026	7,229	7,229	7,229	7,229
<b>Functional Total</b>	<b>8,848</b>	<b>9,029</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>	<b>8,065</b>
<b>EDUCATION</b>						
Education, Department of	83,101	85,455	84,486	84,486	84,486	84,486
<i>School Aid</i>	68	82	0	0	0	0
<i>Special Education Categorical Programs</i>	10,440	9,167	0	0	0	0
<i>All Other</i>	72,593	76,206	84,486	84,486	84,486	84,486
<b>Functional Total</b>	<b>83,101</b>	<b>85,455</b>	<b>84,486</b>	<b>84,486</b>	<b>84,486</b>	<b>84,486</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	0	91	0	0	0	0
Prevention of Domestic Violence, Office for	47	17	0	0	0	0
State, Department of	2,042	2,174	3,731	3,731	3,731	3,731
Technology, Office for	0	437	0	0	0	0
Veterans' Affairs, Division of	375	386	796	796	804	804
<b>Functional Total</b>	<b>2,464</b>	<b>3,105</b>	<b>4,527</b>	<b>4,527</b>	<b>4,535</b>	<b>4,535</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	1,851	1,444	0	0	0	0
Law, Department of	17,385	17,509	19,975	19,977	19,981	20,329
<b>Functional Total</b>	<b>19,236</b>	<b>18,953</b>	<b>19,975</b>	<b>19,977</b>	<b>19,981</b>	<b>20,329</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	0	0	31,583	15,685	15,685	15,685
<b>Functional Total</b>	<u>0</u>	<u>0</u>	<u>31,583</u>	<u>15,685</u>	<u>15,685</u>	<u>15,685</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>613,256</u>	<u>617,402</u>	<u>686,826</u>	<u>672,026</u>	<u>678,644</u>	<u>680,763</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	8,706	9,289	9,440	9,625	9,625	9,625
Economic Development, Department of	88	592	245	245	245	245
Financial Services, Department of	1,449	1,409	0	0	0	0
Public Service Department	177	131	40	40	40	40
<b>Functional Total</b>	<b>10,420</b>	<b>11,421</b>	<b>9,725</b>	<b>9,910</b>	<b>9,910</b>	<b>9,910</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Adirondack Park Agency	140	53	350	350	350	350
Environmental Conservation, Department of	14,273	16,689	17,126	17,126	17,126	17,126
Parks, Recreation and Historic Preservation, Office of	2,234	2,237	1,145	1,145	1,145	1,145
<b>Functional Total</b>	<b>16,647</b>	<b>18,979</b>	<b>18,621</b>	<b>18,621</b>	<b>18,621</b>	<b>18,621</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	1,014	2,322	3,813	3,813	3,813	3,813
Transportation, Department of	1,969	1,297	2,802	2,811	2,811	2,811
<b>Functional Total</b>	<b>2,983</b>	<b>3,619</b>	<b>6,615</b>	<b>6,624</b>	<b>6,624</b>	<b>6,624</b>
<b>HEALTH</b>						
Aging, Office for the	1,575	4,495	4,248	4,248	1,092	1,092
Health, Department of	517,846	548,170	537,707	525,719	510,571	529,961
<i>Medical Assistance</i>	(7,548)	0	0	0	0	0
<i>Medicaid Administration</i>	189,156	356,893	348,982	340,069	324,912	344,294
<i>Public Health</i>	336,238	191,277	188,725	185,650	185,659	185,667
Medicaid Inspector General, Office of the	5,276	5,925	6,029	6,029	6,029	6,029
<b>Functional Total</b>	<b>524,697</b>	<b>558,590</b>	<b>547,984</b>	<b>535,996</b>	<b>517,692</b>	<b>537,082</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	53,146	58,860	62,924	66,230	67,533	67,533
<i>OCFS</i>	53,146	58,860	62,924	66,230	67,533	67,533
Housing and Community Renewal, Division of	2,383	1,123	2,598	2,660	2,709	2,709
Human Rights, Division of	984	1,875	1,230	1,262	1,287	1,287
Labor, Department of	111,299	54,508	78,244	80,658	80,658	80,658
National and Community Service	16,700	14,397	13,860	14,969	15,268	15,268
Temporary and Disability Assistance, Office of	67,281	69,994	82,863	84,643	86,398	86,398
<i>All Other</i>	67,281	69,994	82,863	84,643	86,398	86,398
<b>Functional Total</b>	<b>251,793</b>	<b>200,757</b>	<b>241,719</b>	<b>250,422</b>	<b>253,853</b>	<b>253,853</b>
<b>MENTAL HYGIENE</b>						
Alcoholism and Substance Abuse Services, Office of	1,146	1,887	2,098	2,098	2,130	2,166
<i>OASAS</i>	1,146	1,887	2,098	2,098	2,130	2,166
Developmental Disabilities Planning Council	2,015	2,094	2,246	2,190	2,149	2,149
Justice Center	437	625	522	536	536	536
Mental Health, Office of	663	401	154	154	154	154
<i>OMH</i>	663	401	154	154	154	154
People with Developmental Disabilities, Office for	874	279	1,000	1,000	1,000	1,000
<i>OPWDD</i>	874	279	1,000	1,000	1,000	1,000
<b>Functional Total</b>	<b>5,135</b>	<b>5,286</b>	<b>6,020</b>	<b>5,978</b>	<b>5,969</b>	<b>6,005</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	1,205	1,023	1,191	1,191	1,191	1,191
Criminal Justice Services, Division of	3,542	2,618	6,749	6,749	6,749	6,749
Homeland Security and Emergency Services, Division of	80,195	74,365	12,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	14,056	13,454	13,290	13,290	13,290	13,290
State Police, Division of	9,014	6,771	31,000	20,000	20,000	20,000
Victim Services, Office of	156	174	512	512	512	512
<b>Functional Total</b>	<b>108,168</b>	<b>98,405</b>	<b>65,554</b>	<b>48,554</b>	<b>48,554</b>	<b>48,554</b>
<b>HIGHER EDUCATION</b>						
City University of New York	6,731	7,634	0	0	0	0
Higher Education Services Corporation, New York State	5,408	6,486	5,797	5,797	5,797	5,797
State University of New York	304,855	309,521	227,631	227,631	227,631	227,631
<b>Functional Total</b>	<b>316,994</b>	<b>323,641</b>	<b>233,428</b>	<b>233,428</b>	<b>233,428</b>	<b>233,428</b>
<b>EDUCATION</b>						
Arts, Council on the	0	0	100	100	100	100
Education, Department of	103,981	117,339	62,562	62,562	62,562	62,562
<i>School Aid</i>	220	214	0	0	0	0
<i>Special Education Categorical Programs</i>	8,365	8,460	0	0	0	0
<i>All Other</i>	95,396	108,665	62,562	62,562	62,562	62,562
<b>Functional Total</b>	<b>103,981</b>	<b>117,339</b>	<b>62,662</b>	<b>62,662</b>	<b>62,662</b>	<b>62,662</b>
<b>GENERAL GOVERNMENT</b>						
Elections, State Board of	3,412	3,945	6,500	6,500	0	0
General Services, Office of	5,962	6,908	4,987	4,987	4,987	4,987
Prevention of Domestic Violence, Office for	12	0	0	0	0	0
State, Department of	756	979	4,039	4,039	4,039	4,039
Taxation and Finance, Department of	874	189	1,220	1,220	1,220	1,220
Technology, Office for	1,287	435	550	0	0	0
Veterans' Affairs, Division of	108	100	592	592	604	604
Workers' Compensation Board	6,216	8,643	3,624	3,624	3,624	3,624
<b>Functional Total</b>	<b>18,627</b>	<b>21,199</b>	<b>21,512</b>	<b>20,962</b>	<b>14,474</b>	<b>14,474</b>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2015 Results</u>	<u>FY 2016 Results</u>	<u>FY 2017 Updated</u>	<u>FY 2018 Projected</u>	<u>FY 2019 Projected</u>	<u>FY 2020 Projected</u>
<b>ELECTED OFFICIALS</b>						
Judiciary	3,264	4,221	7,500	7,500	7,500	7,500
Law, Department of	<u>7,367</u>	<u>8,847</u>	<u>7,535</u>	<u>7,681</u>	<u>7,681</u>	<u>7,832</u>
<b>Functional Total</b>	<u>10,631</u>	<u>13,068</u>	<u>15,035</u>	<u>15,181</u>	<u>15,181</u>	<u>15,332</u>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	<u>48</u>	<u>18</u>	<u>846</u>	<u>420</u>	<u>420</u>	<u>420</u>
<b>Functional Total</b>	<u>48</u>	<u>18</u>	<u>846</u>	<u>420</u>	<u>420</u>	<u>420</u>
<b>TOTAL NON-PERSONAL SERVICE SPENDING</b>	<u>1,370,124</u>	<u>1,372,322</u>	<u>1,229,721</u>	<u>1,208,758</u>	<u>1,187,388</u>	<u>1,206,965</u>



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2015 Results</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>						
Agriculture and Markets, Department of	2,154	2,056	1,622	1,679	1,729	1,796
Financial Services, Department of	679	15	0	0	0	0
Public Service Department	1,061	547	700	720	720	720
<b>Functional Total</b>	<b>3,894</b>	<b>2,618</b>	<b>2,322</b>	<b>2,399</b>	<b>2,449</b>	<b>2,516</b>
<b>PARKS AND THE ENVIRONMENT</b>						
Environmental Conservation, Department of	14,170	12,322	11,035	11,053	11,073	11,073
Parks, Recreation and Historic Preservation, Office of	0	0	630	630	630	630
<b>Functional Total</b>	<b>14,170</b>	<b>12,322</b>	<b>11,665</b>	<b>11,683</b>	<b>11,703</b>	<b>11,703</b>
<b>TRANSPORTATION</b>						
Motor Vehicles, Department of	765	599	2,176	2,237	2,237	2,237
Transportation, Department of	2,417	2,107	2,917	3,172	3,340	3,340
<b>Functional Total</b>	<b>3,182</b>	<b>2,706</b>	<b>5,093</b>	<b>5,409</b>	<b>5,577</b>	<b>5,577</b>
<b>HEALTH</b>						
Health, Department of	33,669	33,917	48,854	51,215	53,882	53,895
<i>Medicaid Administration</i>	0	3,274	0	0	0	0
<i>Public Health</i>	33,669	30,643	48,854	51,215	53,882	53,895
Medicaid Inspector General, Office of the	9,164	8,954	9,340	9,340	9,340	9,340
<b>Functional Total</b>	<b>42,833</b>	<b>42,871</b>	<b>58,194</b>	<b>60,555</b>	<b>63,222</b>	<b>63,235</b>
<b>SOCIAL WELFARE</b>						
Children and Family Services, Office of	8,068	13,809	12,706	12,895	12,895	12,895
<i>OCFS</i>	8,068	13,809	12,706	12,895	12,895	12,895
Housing and Community Renewal, Division of	3,876	3,514	3,940	3,988	4,030	4,030
Human Rights, Division of	61	0	0	0	0	0
Labor, Department of	104,517	92,493	96,315	97,643	97,643	97,643
Temporary and Disability Assistance, Office of	39,575	39,031	44,973	44,973	44,973	44,973
<i>All Other</i>	39,575	39,031	44,973	44,973	44,973	44,973
<b>Functional Total</b>	<b>156,097</b>	<b>148,847</b>	<b>157,934</b>	<b>159,499</b>	<b>159,541</b>	<b>159,541</b>
<b>MENTAL HYGIENE</b>						
Developmental Disabilities Planning Council	560	454	701	744	785	785
Justice Center	32	35	57	63	64	64
Mental Health, Office of	548	489	319	330	340	353
<i>OMH</i>	548	489	319	330	340	353
<b>Functional Total</b>	<b>1,140</b>	<b>978</b>	<b>1,077</b>	<b>1,137</b>	<b>1,189</b>	<b>1,202</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>						
Correctional Services, Department of	850	904	1,327	1,327	1,327	1,327
Criminal Justice Services, Division of	225	259	3,360	3,360	3,360	3,360
Homeland Security and Emergency Services, Division of	8,571	9,515	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	16,266	5,728	8,321	8,737	9,208	9,208
State Police, Division of	975	1,418	1,500	1,500	1,500	1,500
Victim Services, Office of	16	0	372	372	372	372
<b>Functional Total</b>	<b>26,903</b>	<b>17,824</b>	<b>17,796</b>	<b>18,212</b>	<b>18,683</b>	<b>18,683</b>
<b>HIGHER EDUCATION</b>						
Higher Education Services Corporation, New York State	3	1	419	419	419	419
State University of New York	132	108	51	51	51	51
<b>Functional Total</b>	<b>135</b>	<b>109</b>	<b>470</b>	<b>470</b>	<b>470</b>	<b>470</b>
<b>EDUCATION</b>						
Education, Department of	46,997	44,504	49,907	49,907	49,907	49,907
<i>School Aid</i>	96	12	0	0	0	0
<i>Special Education Categorical Programs</i>	5,629	4,511	0	0	0	0
<i>All Other</i>	41,272	39,981	49,907	49,907	49,907	49,907
<b>Functional Total</b>	<b>46,997</b>	<b>44,504</b>	<b>49,907</b>	<b>49,907</b>	<b>49,907</b>	<b>49,907</b>
<b>GENERAL GOVERNMENT</b>						
State, Department of	1,150	1,063	2,792	2,792	2,792	2,792
Technology, Office for	0	234	0	0	0	0
Veterans' Affairs, Division of	205	204	350	350	350	350
<b>Functional Total</b>	<b>1,355</b>	<b>1,501</b>	<b>3,142</b>	<b>3,142</b>	<b>3,142</b>	<b>3,142</b>
<b>ELECTED OFFICIALS</b>						
Judiciary	237	265	0	0	0	0
Law, Department of	6,933	12,178	11,529	11,846	12,180	12,680
<b>Functional Total</b>	<b>7,170</b>	<b>12,443</b>	<b>11,529</b>	<b>11,846</b>	<b>12,180</b>	<b>12,680</b>
<b>ALL OTHER CATEGORIES</b>						
Miscellaneous	0	0	16,240	9,438	9,705	10,046
<b>Functional Total</b>	<b>0</b>	<b>0</b>	<b>16,240</b>	<b>9,438</b>	<b>9,705</b>	<b>10,046</b>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<b>303,876</b>	<b>286,723</b>	<b>335,369</b>	<b>333,697</b>	<b>337,768</b>	<b>338,702</b>

**General Fund Transfers From Other Funds**  
(thousands of dollars)

SFS Fund	Account Name	FY 2016 Results	FY 2017 Updated	FY 2018 Projected	FY 2019 Projected	FY 2020 Projected
<b>RBTF - Dedicated PIT in excess of Debt Service</b>		<b>10,159,011</b>	<b>10,874,034</b>	<b>10,882,540</b>	<b>10,441,748</b>	<b>10,500,907</b>
<b>STBF - Sales Tax Bond Fund</b>		<b>2,758,744</b>	<b>2,645,569</b>	<b>2,717,642</b>	<b>2,799,853</b>	<b>3,008,756</b>
<b>LGAC - Dedicated Sales Tax in excess of Debt Service</b>		<b>2,728,418</b>	<b>2,866,759</b>	<b>3,115,241</b>	<b>3,156,006</b>	<b>3,294,412</b>
<b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b>		<b>972,168</b>	<b>951,026</b>	<b>1,020,524</b>	<b>1,076,234</b>	<b>1,128,097</b>
<b>Total All Other Transfers</b>		<b>1,252,487</b>	<b>1,202,679</b>	<b>750,702</b>	<b>749,628</b>	<b>734,301</b>
339.22094	Accident Prevention Course	606	-	-	-	-
339.21982	Administration Program Account	-	1,301	1,301	1,301	1,301
339.22091	Adult Home Quality Enhancement Account	21	21	21	21	21
339.22033	Alcohol Beverage Control	834	1,096	-	-	-
339.22110	Assisted Living Residence Quality Oversight Account	9	9	9	9	9
339.22138	Authority Budget Office	45	45	45	45	45
339.22003	Bell Jar Collection Account	1,008	1	1	1	1
339.21977	Business and Licensing Services Account	92,647	54,773	48,972	48,737	48,410
339.22028	Central Registry	1,822	1,822	1,822	1,822	1,822
339.21920	Certificate of Need Account	18,539	1,086	1,086	1,086	1,086
346.22700	Chemical Dependence Services	292,888	10,000	-	-	-
061.20810	Child Health Insurance Account	2	-	-	-	-
334.55055	Civil Service Administration	314	1,651	1,651	1,651	1,651
339.21962	Clinical Laboratories Fee Account	430	289	289	289	289
501.23702	Commercial Gaming Regulation	2	2	2	2	2
339.21997	Conference Fee Account	6	-	-	-	-
339.21966	Consumer Food Industry Account	495	-	-	-	-
339.21922	Continuing Care Retirement Community Account	2	2	2	2	2
397.55350	Correctional Industries	357	357	357	357	357
339.21945	Criminal Justice Improvement	22,612	8,596	8,596	8,596	8,596
339.22042	DED Marketing Account	331	131	131	131	131
072.30050	Dedicated Highway and Bridge Trust Fund - DMV	-	25,247	25,247	25,247	25,247
072.30050	Dedicated Highway and Bridge Trust Fund - DOT	46,577	25,721	25,721	25,721	25,721
323.55010	Design and Construction Account	2,866	1,866	1,866	1,866	1,866
339.22087	DMV-Compulsory Insurance Fund	11,831	-	-	-	-
486.26000	DOL Fed Grants	691	-	-	-	-
339.21923	DOL Fee Penalty	9,300	8,372	8,372	8,372	8,372
366.23102	Drinking Water Program Account	-	1,108	1,108	1,108	1,108
061.20809	Emergency Medical Services Training Account	89	131	131	131	131
396.55301	Employee Benefit Division Administration	582	639	639	639	639
334.55056	Employee Health Services Occupational Health Program	8	8	8	8	8
339.21943	Energy Research	-	3,894	-	-	-
339.21959	Environmental Laboratory Fee Account	102	131	131	131	131
078.304CC	Environmental Protection Fund	25,000	-	-	-	-
301.21081	Environmental Regulatory Account	1,692	1,692	1,692	1,692	1,692
307.21351	Equipment Loan Fund	-	7	7	7	7
339.22065	Examination and Miscellaneous Revenue	2,379	1,961	1,961	1,961	1,961
323.5502X	Executive Direction Program Fund	971	105	105	105	105
267.25200	Federal Education - DOH	228	669	669	669	669
267.25200	Federal Education - OCFS	-	900	900	900	900
265.25100	Federal HHS - AG&MKTS	-	50	50	50	50
265.25100	Federal HHS - AGING	772	883	883	883	883
265.25100	Federal HHS - DOH	3,248	9,131	9,131	9,131	9,131
265.25100	Federal HHS - OCFS	13,303	8,390	8,390	8,390	8,390
265.25100	Federal HHS - OMIG	-	2,036	2,036	2,036	2,036
265.25100	Federal HHS - OTDA	112,730	96,933	96,933	96,933	82,933
301.21065	Federal Indirect Recovery Account	284	134	134	134	134
290.25300	Federal Operating Grant - DHCR	-	401	401	401	401
290.25300	Federal Operating Grant - DOH	-	102	102	102	102
290.25300	Federal Operating Grant - DPS	-	14	14	14	14
290.25300	Federal Operating Grant - HSES	1,723	-	-	-	-
290.25300	Federal Operating Grant - STATE	-	59	59	59	59
261.25000	Federal USDA/FNS - AG&MKTS	223	450	450	450	450
261.25000	Federal USDA/FNS - DOH	1,937	3,251	3,251	3,251	3,251
261.25000	Federal USDA/FNS - OTDA	303	30,100	30,100	30,100	30,100
339.21950	Fingerprint Identification and Technology Account	7,015	12,563	12,563	12,563	12,563
339.21904	Fire Prevention and Code Enforcement Account	-	29,620	14,810	14,810	14,810
339.21996	Fire Protection	228	-	-	-	-
339.22075	Funeral Directing Account	8	8	8	8	8
312.31500	Hazardous Waste	23,785	28,849	28,849	28,849	28,849
396.55300	Health Insurance Internal Service	2,801	3,428	3,428	3,428	3,428
339.22140	Helen Hayes Hospital Account	-	299	299	299	299
339.21960	HESC Insurance Premium Payments Account	12,955	15,827	15,827	15,827	15,827
339.22090	Housing Indirect Cost Recovery Account	-	201	201	201	201
301.21060	Indirect Charges Account	713	863	863	863	863
339.21914	Insurance Dept	693	-	-	-	-
334.55071	Labor Contact Center Account	40	-	-	-	-
339.22096	Legal Services Assistance	2,830	2,830	2,830	2,830	2,830
052.20501	Local Government Records Management Improvement	782	782	782	782	782
339.22097	Local Public Health Services Account	5	5	5	5	5

**General Fund Transfers From Other Funds**  
(thousands of dollars)

<b>SFS Fund</b>	<b>Account Name</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
160.20902	Lottery Administration	4,066	4,204	4,204	4,204	4,204
301.21066	Low Level Radioactive Waste Account	103	103	103	103	103
339.21909	M H Patient Inc	-	31,398	31,773	32,095	32,544
169.60615	Medicaid Recoveries Account	-	3,700	3,700	3,700	3,700
339.21907	Mental Hygiene	79,612	1,772	1,794	1,812	1,837
S02.23755	MMF - Health Operation & Ovrsght	768	-	-	-	-
314.21452	Mobile Source	5,960	4,746	4,746	4,746	4,746
225.23651	Mobility Tax Trust Fund	2,273	5,400	5,400	5,400	5,400
339.22144	Montrose Veteran's Home	-	67	67	67	67
354.22802	Motor Vehicle Enforcement	100,800	100,800	100,800	100,800	100,800
354.22801	Motor Vehicle Theft and Insurance Fraud Account	300	300	300	300	300
339.21976	Motorcycle Safety	6	-	-	-	-
225.23652	MTA Aid Trust	329	225	225	225	225
339.22141	New York City Veterans' Home Account	-	107	107	107	107
S01.23701	New York State Commercial Gaming	-	137,000	-	-	-
339.22142	New York State Home for Veterans Account	-	119	119	119	119
339.22156	NYC Rent Revenue	-	115	115	115	115
339.22070	OER National Association of State Directors of Employee Relations Account	121	-	-	-	-
305.21251	Occupational Safety and Health Training and Education Account	927	-	-	-	-
305.21252	Occupational Safety and Health Inspection Account	744	-	-	-	-
331.OGSPS	Parking Services	-	1,000	1,000	1,000	1,000
339.22163	Patron Services Account	1,568	1,568	1,568	1,568	1,568
061.20816	Pilot Health Insurance Account	-	102	102	102	102
061.20814	Primary Care Initiatives Account	-	158	158	158	158
339.22051	Professional Education Services	2,777	2,777	2,777	2,777	2,777
339.22088	Professional Medical Conduct Account	4,912	291	291	291	291
050.20452	Proprietary Vocational School Supervision Fund	297	297	297	-	-
061.20815	Provider Collection Monitoring Account	-	674	674	674	674
339.22123	Public Safety Communications Fund	49,799	5,161	5,161	5,161	5,161
339.22011	Public Service Account	3,564	5,767	5,671	5,671	5,671
339.21998	Public Work Enforcement	226	-	-	-	-
339.21915	Quality of Care Improvement Account	30,000	94,624	-	-	-
339.21965	Radiological Health Protection Program Account	216	216	216	216	216
339.21944	Radiology	1,350	1,350	1,350	1,350	1,350
339.21993	Radon Detection Device Account	2	2	2	2	2
339.22046	Regulation of Indian Gaming Account	329	329	329	329	329
339.22021	Regulation of Manufactured Housing Account	20	20	20	20	20
339.21912	Regulation of Racing Account	458	458	458	458	458
339.21900	Reserve for Transaction Risks	-	-	(143,583)	(144,465)	(145,939)
339.22024	Revenue Arrearage	-	41,765	18,677	18,677	18,677
339.219YN	Standards and Purchase Account - Special Revenue Fund	3,000	3,000	3,000	3,000	3,000
339.21902	Statewide Planning and Research	4,586	4,214	4,214	4,214	4,214
345.22656	SUNY Hospital Operations	30,216	38,564	38,564	38,564	38,564
345.22653	SUNY Income Fund Revenues	26,000	31,000	32,000	32,000	32,000
339.22162	Systems and Technology	5,442	5,328	5,320	5,320	5,320
339.22055	Traffic Adjudication Account	1,917	2,288	2,288	2,288	2,288
331.50313	TRAID Services	12	-	-	-	-
339.21961	Training Management and Evaluation Account	-	8	8	8	8
339.21933	Transportation Surplus Property	1,803	1,803	1,803	1,803	1,803
339.22169	Tribal - State Compact	115,699	121,200	121,200	121,200	121,200
339.22044	Tug Hill Administration Account	10	10	10	10	10
050.20451	Tuition Reimbursement Fund	23	23	23	23	23
482.23601	UI Special Interest and Penalty Account	3,482	3,211	3,211	3,211	3,211
339.22172	Underground Facilities Safety Training	175	175	175	175	175
480.25900	Unemployment Insurance Administration Fund	20,808	52,297	50,569	50,569	50,569
339.22103	Vital Records Management Account	3,617	2,405	2,405	2,405	2,405
160.20903	VLT Administration Account	666	666	666	666	666
365.23051	Vocational Rehabilitation Fund	32	32	32	32	32
339.21995	Workers Compensation Board	13,593	16,352	16,352	16,352	16,352
339.22186	Youth Facility Per Diem Fund	8,215	72,646	55,000	55,000	55,000
		<b>17,870,828</b>	<b>18,540,067</b>	<b>18,486,649</b>	<b>18,223,469</b>	<b>18,666,473</b>

**General Fund Transfers To Other Funds**  
(thousands of dollars)

<b>SFS Fund</b>	<b>Account Name</b>	<b>FY 2016 Results</b>	<b>FY 2017 Updated</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Transfers to State Share of Mental Hygiene Medicaid</b>		<b>2,035,545</b>	<b>1,437,233</b>	<b>1,324,534</b>	<b>1,301,218</b>	<b>1,235,970</b>
<b>Transfers to Debt Service Funds</b>		<b>1,195,675</b>	<b>705,672</b>	<b>1,259,791</b>	<b>1,182,045</b>	<b>1,076,478</b>
<b>Transfers to Capital Projects Funds</b>		<b>2,720,918</b>	<b>3,810,479</b>	<b>3,468,898</b>	<b>3,398,731</b>	<b>3,311,348</b>
<b>Transfers to SUNY University Operations</b>		<b>998,069</b>	<b>996,256</b>	<b>1,000,580</b>	<b>996,778</b>	<b>996,778</b>
<b>Total All Other Transfers</b>		<b>4,424,581</b>	<b>4,577,170</b>	<b>4,805,170</b>	<b>5,160,325</b>	<b>5,570,773</b>
339.22033	Alcohol Beverage Control	14,889	-	-	-	-
020.20143	Alzheimer's Disease Research and Assistance	266	270	270	270	270
334.55057	Banking Service	52,179	51,565	53,435	53,435	53,435
339.22032	Child Health Insurance Account	900	900	900	900	900
020.20155	Breast Cancer Research and Education	395	500	500	500	500
334.CEONW	Center for Employment Opportunities Neighborhood Works Project	-	1,000	1,000	1,000	1,000
334.55069	Centralized Technology Services	8,360	2,360	2,360	2,360	2,360
054.20601	Conference Fee Account	4,837	4,837	4,837	4,837	4,837
020.20100	Combined Expendable Trust	-	61,114	109,300	109,300	109,300
397.55350	Correctional Industries	10,500	10,500	10,500	10,500	10,500
340.22501	Court Facilities Incentive Aid Fund	106,848	107,000	107,000	107,000	107,000
073.20853	Dedicated Mass Trust Fund	5,013	5,013	5,274	5,274	5,274
319.40300	DOH Income Fund	15,591	16,079	16,079	16,079	16,079
339.21943	Energy Research	4,292	-	-	-	-
323.550ZX	Executive Direction Program Fund	21,794	21,789	21,783	21,783	21,783
290.25300	Federal Operating Grant - HSES	39,459	-	-	-	-
339.22015	Financial Crimes Revenue Fund	14,300	6,300	-	-	-
396.55300	Health Insurance Internal Service	5,383	8,083	8,083	8,083	8,083
316.40250	Housing Debt	2,678	1,000	1,000	1,000	1,000
390.23551	Indigent Legal Services	30,135	35,000	35,000	35,000	35,000
339.21900	Labor Agreements prior to FY 2017	-	64,945	34,354	34,719	35,185
339.22157	Medicaid Income	212	-	-	-	-
S02.23755	Medical Marijuana Fund	6,740	4,886	4,886	4,886	4,886
339.21909	Mental Hygiene Patient Income Fund	1,712,203	1,873,199	1,794,793	1,912,760	2,106,994
339.21907	Mental Hygiene Program Fund	1,482,797	1,443,784	1,750,532	2,000,454	2,215,799
313.21402	Metropolitan Mass Transportation	21,030	36,500	37,675	37,675	37,675
225.23651	Mobility Tax Trust Fund	332,044	333,503	333,953	334,394	334,797
368.23151	NYC County Clerk Operations Offset Fund	4,603	6,000	6,000	6,000	6,000
020.20183	Prostate Cancer Research, Detection and Education	177	200	200	200	200
313.21401	Public Transportation Systems	14,878	15,047	16,009	16,009	16,009
073.20852	Railroad Account	8,773	8,772	9,216	9,216	9,216
339.22171	Recruitment Incentive and Retention	2,087	2,087	2,087	2,087	2,087
339.22053	Rome School for the Deaf Fund	1,025	1,020	1,020	1,020	1,020
339.21987	Spinal Cord Injury	8,500	8,500	8,500	8,500	8,500
345.22656	SUNY Hospital Operations	87,864	87,864	69,264	69,264	69,264
345.22653	SUNY Income Fund Revenues	-	14,251	13,540	-	-
345.22654	SUNY Income Offset Loan Repayment	-	8,318	8,318	8,318	8,318
345.22656	SUNY Medicaid Reimbursement	354,953	282,486	282,486	282,486	282,486
339.22168	Tax Revenue Arrearage	-	3,000	3,000	3,000	3,000
073.20851	Transit Authority	48,876	48,876	51,394	51,394	51,394
020.20128	WB Hoyt Memorial	-	622	622	622	622
		<b>11,374,788</b>	<b>11,526,810</b>	<b>11,858,973</b>	<b>12,039,097</b>	<b>12,191,347</b>

**CASH COMBINING STATEMENT  
GENERAL FUND  
FY 2017  
(millions of dollars)**

	Tax		Community		Rainy Day Reserve Fund	Refund Reserve	Debt Management	General Reserve Fund	Eliminations	Total
	General Fund	Stabilization Reserve Fund	Contingency Reserve Fund	Projects Fund						
<b>Opening Fund Balance</b>	0	1,258	21	63	540	7,052	0	0	0	8,934
<b>Receipts:</b>										
Taxes	47,300	0	0	0	0	0	0	0	0	47,300
Miscellaneous Receipts	2,826	0	0	0	0	0	0	0	0	2,826
Federal Grants	1	0	0	0	0	0	0	0	0	1
<b>Total receipts</b>	<b>50,127</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>50,127</b>
<b>Disbursements:</b>										
Grants to Local Governments	45,759	0	0	10	0	0	0	0	0	45,769
State Operations	8,265	0	0	0	0	0	0	0	0	8,265
General State charges	5,552	0	0	0	0	0	0	0	0	5,552
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<b>59,576</b>	<b>0</b>	<b>0</b>	<b>10</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>59,586</b>
<b>Other financing sources (uses):</b>										
Transfers from Other Funds	48,974	0	0	0	0	31	500	6,348	(37,312)	18,541
Transfers to Other Funds	(39,525)	0	0	0	0	(6,993)	0	(2,321)	37,312	(11,527)
Bond and Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<b>9,449</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(6,962)</b>	<b>500</b>	<b>4,027</b>	<b>0</b>	<b>7,014</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(10)</b>	<b>0</b>	<b>(6,962)</b>	<b>500</b>	<b>4,027</b>	<b>0</b>	<b>(2,445)</b>
<b>Closing Fund Balance</b>	<b>0</b>	<b>1,258</b>	<b>21</b>	<b>53</b>	<b>540</b>	<b>90</b>	<b>500</b>	<b>4,027</b>	<b>0</b>	<b>6,489</b>

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2017**  
(thousands of dollars)

	MENTAL HEALTH GIFTS AND DONATIONS (20000-20099)	COMBINED EXPENDABLE TRUST (20100-20299)	NEW YORK INTEREST ON LAWYER ACCOUNT (20300-20349)	NEW YORK STATE ARCHIVES PARTNERSHIP TRUST (20350-20399)	CHILD PROTECTION PERFORMER'S (20400-20449)	TUTION REMBURSEMENT (20450-20499)	LOCAL GOVERNMENT MANAGEMENT IMPROVEMENT (20500-20549)	SCHOOL TAX RELIEF (20550-20599)	CHARTER SCHOOL STIMULUS (20600-20649)	HEALTH CARE REFORM ACT RESOURCES (20800-20849)
<b>Opening Fund Balance</b>	2,211	65,282	40,562	175	83	5,274	2,951	0	5,963	77,559
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	3,227,844	0	878,000
Miscellaneous Receipts	142	(88,954)	12,000	318	65	4,205	9,233	0	0	4,660,299
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	142	(88,954)	12,000	318	65	4,205	9,233	3,227,844	0	5,538,299
<b>Disbursements:</b>										
Grants to Local Governments	0	9,906	9,500	0	0	0	5,056	3,227,844	4,837	5,423,511
State Operations	144	4,876	1,254	420	247	2,456	2,139	0	0	35,629
General State Charges	0	952	466	190	131	1,218	1,011	0	0	6,059
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	2,500	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	144	18,234	11,220	610	378	3,674	8,206	3,227,844	4,837	5,465,199
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	62,706	0	300	300	0	0	0	4,837	0
Transfers to Other Funds	0	0	0	(8)	(562)	(562)	(1,383)	0	0	(150,663)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(2)	62,706	0	292	300	(562)	(1,383)	0	4,837	(150,663)
<b>Change in Fund Balance</b>	(2)	(44,482)	780	(13)	(13)	(31)	(356)	0	0	(77,563)
<b>Closing Fund Balance</b>	2,209	20,800	41,342	175	70	5,343	2,595	0	5,963	6

	DEDICATED MASS TRANSPORTATION (20850-20899)	STATE LIABILITIES (20900-20949)	COMBINED STIMULUS (20950-20999)	NYA FINANCIAL (23650-23699)	FEDERAL USDA/FOOD AND NUTRITION (23700-23799)	FEDERAL HEALTH AND HUMAN SERVICES (23800-23899)	FEDERAL MISCELLANEOUS OPERATING (23900-23999)	FEDERAL TREATMENT PROGRAM AND ADMINISTRATION (24000-24049)	ERCON SPECIAL PROGRAMS (21050-21099)
<b>Opening Fund Balance</b>	70,435	190,705	10,593	115,086	15,124	313,559	(6,105)	(2,283)	(16,456)
<b>Receipts:</b>									
Taxes	459,748	0	0	1,450,000	0	0	0	0	0
Miscellaneous Receipts	141,206	3,413,381	27,010	178,190	100,000	53,232	4,373	900	81,926
Federal Grants	0	0	650	0	2,024,212	41,922,213	1,937,471	0	0
<b>Total Receipts</b>	600,954	3,413,381	27,660	1,628,190	2,124,212	41,975,445	1,941,844	900	81,926
<b>Disbursements:</b>									
Grants to Local Governments	66,185	3,321,000	0	1,928,617	2,020,103	39,389,934	1,655,520	0	0
State Operations	0	127,092	27,247	0	57,861	928,408	248,070	145	68,906
General State Charges	0	10,894	0	0	12,234	129,931	43,379	79	23,505
Debt Service	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	66,185	3,458,986	27,247	1,928,617	2,080,198	40,448,273	1,946,969	224	92,411
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	62,661	0	0	333,503	0	0	0	0	20,410
Transfers to Other Funds	0	(4,870)	0	(5,625)	(34,092)	(1,604,466)	(15,058)	0	(9,125)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	62,661	(4,870)	0	327,878	(34,092)	(1,604,466)	(15,058)	0	11,285
<b>Change in Fund Balance</b>	2,430	(50,475)	413	27,451	(78)	(77,294)	5	676	800
<b>Closing Fund Balance</b>	72,865	140,230	11,006	142,537	15,046	236,265	(6,100)	(349,765)	(16,566)

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2017**

(thousands of dollars)

	CONSERVATION (2150-2189)	ENVIRONMENTAL AND OIL SPILL PROTECTION (2100-2148)	TRAINING AND OCCUPATIONAL SAFETY AND HEALTH (2150-2199)	LAWYERS' FOR CLIENT PROTECTION (2100-2148)	EQUIPMENT LOAN FUND FOR THE DISABLED (2150-2199)	MASS TRANSPORTATION OPERATING ASSISTANCE (2100-2148)	CLEAN AIR (2140-2149)	NEW YORK/STATE INFRASTRUCTURE TRUST (2100-2149)	LEGISLATIVE COMPUTER SERVICES (2150-2199)	STATE UNIVERSITY DORMITORY INCOME (4050-4099)
<b>Opening Fund Balance</b>	77,267	18,105	2,662	5,129	519	175,839	(18,709)	67	10,898	174,767
<b>Receipts:</b>										
Taxes	0	0	0	0	0	2,173,529	0	0	0	0
Miscellaneous Receipts	46,792	57,821	48,496	9,000	50	21,400	43,200	0	1,719	344,024
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	46,792	57,821	48,496	9,000	50	2,194,929	43,200	0	1,719	344,024
<b>Disbursements:</b>										
Grants to Local Governments	0	0	(1,000)	0	0	2,235,686	0	0	0	0
State Operations	39,360	26,073	33,184	10,700	82	4,007	25,790	0	950	0
General State Charges	15,058	7,049	11,362	200	0	1,900	11,148	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	54,418	33,122	43,546	10,900	82	2,241,593	36,938	0	950	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	75	19,006	0	0	0	5,1547	0	0	0	0
Transfers to Other Funds	(18,991)	(35,999)	0	0	(7)	(12,1548)	(4,746)	0	0	(359,030)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(17,840)	(16,993)	0	0	(7)	(70,001)	(4,746)	0	0	(359,030)
<b>Change in Fund Balance</b>	(9,410)	7,706	4,950	(1,900)	(31)	(116,665)	1,516	0	769	(15,006)
<b>Closing Fund Balance</b>	67,857	25,811	7,612	3,229	480	59,174	(17,193)	67	11,667	159,761

	COMBINED NON- EXPENDABLE (2160-2189)	WINTER SPORTS EDUCATION (2100-2148)	MUSICAL INSTRUMENT REVOLVING FUND (2150-2199)	ARTS CAPITAL IMPROVEMENT (2180-2189)	MISCELLANEOUS STATE SPECIAL (2100-2149)	COURT FACILITIES REPAIRS (2200-2249)	EMPLOYMENT (2210-2219)	STATE UNIVERSITY (2240-2249)	CHEMICAL DEPENDENCE (2270-2279)	LAKE GEORGE DORMITORY (2270-2279)
<b>Opening Fund Balance</b>	456	0	1	829	966,382	3,458	49	1,063,550	35,238	303
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	115	75	0	60	2,176,442	0	0	4,291,649	12,539	1,208
Federal Grants	0	0	0	0	89	0	0	0	0	0
<b>Total Receipts</b>	115	75	0	60	2,176,531	0	0	4,291,649	12,539	1,208
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	98	1,947,309	104,900	0	0	11,970	0
State Operations	59	75	0	0	4,081,679	1,600	0	5,616,622	546	946
General State Charges	0	0	0	0	1,627,110	700	0	375,751	0	393
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	59	75	0	98	7,656,098	107,200	0	5,992,373	12,516	1,339
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	7,734,954	107,000	0	1,789,145	0	0
Transfers to Other Funds	0	0	0	0	(2,216,373)	(1,302)	0	(115,725)	(11,000)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	0	5,518,581	105,698	0	1,673,420	(11,000)	0
<b>Change in Fund Balance</b>	56	0	0	(38)	39,014	(1,502)	0	(273,04)	(10,977)	(131)
<b>Closing Fund Balance</b>	512	0	1	791	1,005,246	1,956	49	1,036,246	24,261	172

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2017**

(thousands of dollars)

	STATE POLICE MOTOR VEHICLE LAW ENFORCEMENT MOTOR VEHICLE THEFT & INSURANCE FRAUD PREVENTION (23800-22849)	NEW YORK GREAT LAKES PROTECTION (23850-22889)	FEDERAL GRANTS MAXIMIZATION CONTRACT (23900-22849)	HOUSING DEVELOPMENT (23950-22999)	INVEST SAFETY PROGRAM (23000-23049)	VOCATIONAL REHABILITATION (23950-23999)	DRINKING WATER PROCESsing MANAGEMENT AND ADMINISTRATION (23300-23349)	NEW YORK CITY COMMUNITY OPERATIONS OFFSET (23900-23399)	JUDICIARY PROCESSING OFFSET (23200-23249)	CITY UNEMPLOYMENT REIMBURSEMENT (23250-23449)
<b>Opening Fund Balance</b>	25,040	222	23	10,352	(7,716)	136	(5,414)	(52,737)	2,755	170,942
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	114,602	160	0	900	3,068	100	0	26,600	34,400	92,265
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	114,602	160	0	900	3,068	100	0	26,600	34,400	92,265
<b>Disbursements:</b>										
Grants to Local Governments	4,237	0	0	852	0	20	0	0	0	0
State Operations	9,244	155	0	0	3,449	25	210	23,700	25,200	85,634
General State Charges	88	48	0	0	0	0	500	11,200	8,600	7,892
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	13,569	203	0	852	3,449	45	710	34,900	33,800	93,526
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	0	0	6,000	0	0
Transfers to Other Funds	(101,100)	0	0	0	0	(32)	(1,108)	0	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(101,100)	0	0	0	0	(32)	(1,108)	6,000	0	0
<b>Change in Fund Balance</b>	(67)	(43)	23	48	(381)	23	(2,300)	(2,300)	600	(1,261)
<b>Closing Fund Balance</b>	24,973	179	23	10,400	(8,097)	169	(7,232)	(55,037)	3,355	169,681

	US OLYMPIC COMMITTEE LAKE PLACID OLYMPIC TRAINING (23900-23349)	INDIGENT LEGAL SERVICES (23850-23899)	UNEMPLOYMENT INSURANCE ADMINISTRATION (23900-23949)	UNEMPLOYMENT INSURANCE INTEREST AND PENALTY (23600-23649)	UNEMPLOYMENT INSURANCE OCCUPATIONAL TRAINING (23950-23999)	FEDERAL EMPLOYMENT AND TRAINING GRANTS (26000-26049)	NEW YORK COMMERCIAL GAMING (23700-23749)	MEDICAL MARIJUANA TRUST (23760-23799)	SRC	SUB TOTAL	ELIMINATIONS	FINANCIAL PLAN
<b>Opening Fund Balance</b>	56	157,184	68,801	19,463	1,188	(3,253)	136,280	2,708	0	3,607,096	0	3,607,086
<b>Receipts:</b>												
Taxes	0	0	0	0	0	0	0	4,000	0	8,193,121	0	8,193,121
Miscellaneous Receipts	85	78,000	58,000	9,600	0	0	20,200	0	1,050	16,092,146	0	16,092,146
Federal Grants	0	0	323,059	0	7,987	168,559	0	0	0	49,528,226	0	49,528,226
<b>Total Receipts</b>	85	78,000	381,059	9,600	7,987	168,559	20,200	4,000	1,050	73,813,493	0	73,813,493
<b>Disbursements:</b>												
Grants to Local Governments	0	83,000	10,000	0	7,987	140,130	24,802	1,800	0	64,873,085	0	64,873,085
State Operations	75	27,739	225,913	2,685	22,932	2,932	4,886	4,886	746	12,263,291	0	12,263,291
General State Charges	0	956	90,818	932	0	5,497	830	0	259	30,379	0	2,492,229
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	2,500	0	2,500
<b>Total Disbursements</b>	75	111,695	326,731	3,617	7,987	168,559	27,925	6,686	1,005	79,631,105	0	79,631,105
<b>Other Financing Sources (Uses):</b>												
Transfers from Other Funds	0	35,000	0	0	0	0	4,000	4,886	0	64,945	(2,431,488)	7,869,787
Transfers to Other Funds	0	0	(52,297)	(3,211)	0	0	(141,002)	0	(45)	(5,005,063)	2,431,488	(2,573,575)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	35,000	(52,297)	(3,211)	0	0	(137,002)	4,886	(45)	64,945	5,296,212	5,296,212
<b>Change in Fund Balance</b>	10	1,305	2,031	2,772	1,188	(3,253)	(8,447)	2,200	0	(13,909)	(522,400)	(522,400)
<b>Closing Fund Balance</b>	66	158,489	70,832	22,235	1,188	165,306	(8,447)	4,908	0	3,084,536	0	3,084,536







CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2017  
(Thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
303.21205-License Fee Sur	0	0	13,700	0	0	0	13,700	0	0	7,201	0	0	6,127	0	0	13,700	13,700	0
305.21251-QSH Trng & Educ	1,229	0	26,357	0	0	0	26,357	0	10,802	0	0	0	0	0	0	0	24,407	3,179
305.21252-OSHA Inspection	1,430	0	22,139	0	0	0	22,139	(1,000)	11,375	3,224	209	305	5,235	0	0	0	19,139	4,430
306.21301-CSF Regis Fee	5,131	0	9,000	0	0	0	9,000	0	500	10,200	0	0	200	0	0	0	10,900	3,231
307.21351-Equip Loan Fund	520	0	50	0	0	0	50	0	0	82	0	0	0	0	0	7	89	481
313.21401-Pub Tran Sysms	(3,559)	76,143	0	0	0	15,047	91,190	83,946	630	504	16	0	380	0	0	0	85,476	2,155
313.21402-Metro Mass Tran	175,768	2,097,386	21,400	0	0	36,500	2,155,286	2,151,740	2,382	410	65	0	1,520	0	0	121,548	2,277,665	53,389
313.21403-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.21404-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.21451-Operating Permit	(19,197)	0	9,200	0	0	0	9,200	0	4,152	1,647	209	0	2,452	0	0	0	8,460	(18,457)
314.21452-Mobile Source	486	0	34,000	0	0	0	34,000	0	15,691	3,664	427	0	8,696	0	0	4,746	33,224	1,262
318.21501-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.21551-Legisl Comp R&D	10,838	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	11,605
321.21552-Demographics/Re	60	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	62
330.40350-S U Dorm Income	174,764	0	344,024	0	0	0	344,024	0	0	0	0	0	0	0	0	359,030	359,030	159,758
332.21651-Brummer Award	37	0	6	0	0	0	6	0	0	6	0	0	0	0	0	6	6	37
332.21652-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.21653-Rocky Poccnico	5	0	110	0	0	0	110	0	0	52	0	0	0	0	0	0	52	63
332.21654-OPWDD Nonexp Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.21656-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.21657-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.21700-Wintr Sports Ed	0	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	0
335.21750-Nys Musical Ints	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.21851-Arts Capital Re	829	0	60	0	0	107,000	107,000	104,900	1,500	100	0	0	700	0	0	1,302	108,502	791
340.22501-CFIA Undistrib	3,458	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,956
341.22552-DIFY-NYCS Summer	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50
345.22652-L Vets Home	26,140	0	46,162	0	0	0	46,162	0	29,048	16,365	0	0	0	0	0	0	45,413	26,889
345.22653-S U Genl IFR	531,212	0	707,008	0	0	35,412	742,420	0	175,096	543,631	0	0	0	0	0	52,161	770,888	502,744
345.22654-S U Inc Offset	(19,440)	0	0	0	0	8,318	8,318	0	0	0	0	0	0	0	0	0	0	(11,122)
345.22655-Gen Rev Offset	112,057	0	1,727,915	0	0	986,256	2,724,171	0	2,201,864	503,421	0	0	8,500	0	0	0	2,713,785	122,443
345.22656-S U Hosp Ops	255,596	0	1,679,684	0	0	749,159	2,428,843	0	1,048,335	974,977	0	0	363,751	0	0	63,564	2,450,627	233,812
345.22657-SUNY Stabilizat	40,608	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40,608
345.22658-State Univ Hosp	11,527	0	38,050	0	0	0	38,050	0	34,745	2,806	0	0	0	0	0	0	37,551	12,026
345.22659-SUNY Tuition Re	105,419	0	92,830	0	0	0	92,830	0	51,857	34,477	0	0	3,500	0	0	0	89,834	108,415
345.2268P-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
352.237	35,237	0	12,539	0	0	0	12,539	11,970	0	546	0	0	0	0	0	11,000	23,516	24,260
346.22700-Chem Dep Svcs	305	0	1,208	0	0	0	1,208	0	677	250	19	0	393	0	0	0	1,339	174
349.22751-Lk George Park	6,054	0	4,702	0	0	0	4,702	4,237	136	4	4	0	88	0	0	300	4,769	5,987
354.22801-MVTFEA	21,987	0	109,900	0	0	0	109,900	0	4,000	5,100	0	0	48	0	0	100,800	109,900	21,987
354.22802-St Police MV En	218	0	160	0	0	0	160	0	82	70	3	0	0	0	0	0	203	175
355.22851-Great Lakes Pro	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.22901-Revenue Maximiz	(1,555)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,555)
359.22902-Local Maximizat	10,351	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	10,399
359.22903-Rev Maxim Contr	(7,715)	0	3,068	0	0	0	3,068	0	2,954	495	0	0	0	0	0	0	3,449	(8,096)
360.22950-Housing Develop	137	0	100	0	0	0	100	20	0	25	0	0	0	0	0	32	77	160
362.23001-LOT Comm Veh Sa	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
365.23051-Vocatli Rehabil	(5,414)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5,414)
366.23101-Drinking Water	(52,736)	0	26,600	0	0	6,000	32,600	0	20,400	3,300	25	0	500	0	0	1,108	1,818	(7,232)
366.23102-Drink Water DOH	2,755	0	34,400	0	0	0	34,400	0	19,200	6,000	0	0	8,600	0	0	0	34,900	(65,036)
368.23151-NYCCC Operat Of	4,873	0	3,000	0	0	0	3,000	0	0	0	0	0	0	0	0	0	0	7,873
369.23201-Jud Data Proc O	59,541	0	4,620	0	0	0	4,620	0	5,818	0	0	0	0	0	0	0	5,818	58,343
377.23267-CUNY Stabilizn	106,528	0	84,645	0	0	0	84,645	0	39,584	40,232	0	0	7,892	0	0	0	87,708	103,465
377.2327X-CUNY Tuitn Reim	56	0	85	0	0	0	85	0	0	75	0	0	0	0	0	75	66	131
377.2327Y-CUNY Inc Reimb	157,183	0	78,000	0	0	35,000	113,000	83,000	2,204	25,510	25	0	956	0	0	0	111,695	158,488
385.23501-Lk Placid Train	19,461	0	9,600	0	0	0	9,600	1,800	1,643	1,000	42	0	932	0	0	3,211	6,828	22,233
390.23551-Indigent Legal	141,602	0	20,200	0	0	0	20,200	24,802	0	0	0	0	0	0	0	137,000	161,802	0
482.23601-UJ Sp Int & Pen	(5,322)	4,000	0	0	0	0	4,000	0	1,038	1,193	62	0	830	0	0	2	3,125	(8,447)
S01.23701-Commer Game Rev	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
S02.23752-MMF - County Di	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
S02.23753-MMF - Law Enfor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
S02.23754-MMF - Addictio	2,697	0	0	0	0	4,886	4,886	0	3,213	1,673	0	0	0	0	0	0	4,886	2,697
S02.23755-MMF - Health Op	0	0	550	0	0	0	550	0	273	70	8	0	154	0	0	45	550	0
S03.23800-Rec for Dist Ed	0	0	500	0	0	0	500	0	188	202	5	0	105	0	0	0	500	0
S03.23801-Hwy Use Tax Adm	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)  
 FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21901-Article VII Int	4,473	0	500	0	0	0	500	86	0	0	0	0	0	0	0	0	4,887
339.21902-S P A R C S	3,929	0	6,600	0	0	0	6,600	0	532	917	17	0	378	0	0	4,214	4,471
339.21904-Fire Prev/Code	16,516	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	29,620	1,706
339.21905-NYS Twy Police	(1)	0	50,050	0	0	0	50,050	0	35,200	0	0	0	14,850	0	0	0	(1)
339.21906-DMV Seiz Assets	259	0	(4,400)	0	0	0	(4,400)	0	0	0	0	0	0	0	0	145	(4,286)
339.21907-Mental Hygiene	41,916	0	2,881,017	0	0	2,881,017	2,881,017	1,560,346	718,428	110,531	18,655	0	412,882	0	0	55,176	46,915
339.21909-M H Patient Inc	23,955	0	2,740,708	0	0	2,740,708	2,740,708	0	1,492,917	310,885	39,119	0	863,938	0	0	33,850	23,954
339.21911-Fin Cntrl Board	(693)	0	3,132	0	0	0	3,132	0	1,475	788	39	0	830	0	0	0	(693)
339.21912-Reg of Racing	(6,414)	0	12,647	0	0	15,242	12,647	0	6,761	5,577	171	0	1,727	0	0	458	(8,461)
339.21913-NY Metro Trans	(18,637)	0	0	0	0	0	0	0	4,026	6,175	100	0	2,138	0	0	0	(15,834)
339.21914-S U Constr Fund	107	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	107
339.21915-Quality Care	64,751	0	0	0	0	0	0	0	0	0	0	0	0	0	0	94,624	(29,873)
339.21916-Nurses Aide Reg	1,003	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,003
339.21917-Seized Assets	301	0	50	0	0	0	50	0	0	1	0	0	0	0	0	0	350
339.21918-Child Care & Pr	807	0	160	0	0	0	160	100	0	0	0	0	0	0	0	0	867
339.21919-Cyber Sec Upgr	880	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	880
339.21920-Cert of Need	12,738	0	2,959	0	0	0	2,959	0	1,632	1,897	54	0	994	0	0	8,628	2,492
339.21921-Lobbying Enforc	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.21922-Retr Community	977	0	131	0	0	0	131	0	62	50	0	0	24	0	0	3	969
339.21923-DOL Fee Penalty	9,439	0	20,383	0	0	0	20,383	0	5,991	1,215	154	0	3,398	0	0	8,672	10,392
339.21924-Educ Museum	32	0	842	0	0	0	842	0	282	334	7	0	163	0	0	62	26
339.21925-Ns Hin Receivshp	2,829	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,854
339.21926-3rd Party Hlth	451	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	451
339.21927-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21928-I Love NY Vrs	141	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	1,062
339.21929-Summer Sch Arts	181	0	655	0	0	0	655	0	111	528	3	0	15	0	0	0	179
339.21930-I Lve NY W Boat	127	0	245	0	0	0	245	0	130	25	3	0	62	0	0	0	152
339.21932-Snowmobile	3,876	0	6,150	0	0	0	6,150	5,450	111	363	9	0	60	0	0	0	4,033
339.21933-Tr Surplus Prop	2,444	0	2,200	0	0	0	2,200	0	0	974	0	0	0	0	0	1,803	1,867
339.21934-Hosp & Nurs Mgt	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.21935-Watershed Ptnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.21936-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21937-S U Dorm Reimb	(6)	0	0	0	0	304,030	304,030	0	136,904	138,921	0	0	0	0	0	0	28,199
339.21938-ODTA Train Cont	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21939-ODTA State Matc	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.21941-Methadone Regis	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21943-Energy Research	3,893	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,894	(1)
339.21944-Radiology	2,606	0	6,000	0	0	0	6,000	3,000	703	687	30	0	610	0	0	1,350	2,226
339.21945-Crim Jus Improv	18,935	0	42,724	0	0	0	42,724	24,631	2,733	396	116	0	2,190	0	0	8,596	22,997
339.21948-Farm Prod Insp	825	0	1,390	0	0	0	1,390	0	648	123	23	0	303	0	0	0	1,118
339.21950-FgprmtID&Tech	22,926	0	14,000	0	0	0	14,000	0	4,495	0	0	0	0	0	0	12,563	19,868
339.21953-NY Fire Academy	311	0	468	0	0	0	468	0	278	314	9	0	167	0	0	0	11
339.21958-Domestic Awaren	78	0	7	0	0	0	7	0	0	3	0	0	0	0	0	0	82
339.21959-Envir Lab Fee A	791	0	3,700	0	0	0	3,700	0	1,552	584	46	0	953	0	0	131	1,225
339.21960-HESC Ins Prem P	2,504	0	69,469	0	0	0	69,469	0	15,300	25,490	487	0	10,566	0	0	15,827	4,303
339.21961-Train Mgmt Eval	869	0	2,300	0	0	0	2,300	0	0	219	136	0	895	0	0	8	361
339.21962-Clin Lab Refrmc	(11,807)	0	18,059	0	0	0	18,059	0	5,646	3,174	168	0	3,205	0	0	289	(6,230)
339.21964-Pub Emp Rel Bld	631	0	86	0	0	0	86	0	0	43	0	0	0	0	0	0	674
339.21965-Radio Hlth Prot	3,068	0	4,048	0	0	0	4,048	0	2,147	155	57	0	1,259	0	0	696	2,802
339.21966-Cons Food Indus	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	57
339.21967-OHRD St Match	6,286	0	6	0	0	6,000	6,006	0	0	5,510	0	0	0	0	0	0	6,782
339.21968-Educatur Library	117	0	65	0	0	0	65	0	0	60	0	0	0	0	0	0	122
339.21969-Teacher Certif	635	0	6,600	0	0	0	6,600	0	3,400	643	86	0	1,970	0	0	450	686
339.21970-Banking Deptmnt	31,638	0	94,472	0	0	0	94,472	0	49,033	13,049	1,314	0	27,583	0	0	0	35,131
339.21971-Cable TV Acct	13,619	0	3,130	0	0	0	3,130	0	1,361	109	36	0	789	0	0	0	14,454
339.21972-Econ Devel Asst	302	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	302
339.21973-Fin Svcs Seized	704	0	500	0	0	0	500	0	0	500	0	0	0	0	0	0	704
339.21975-ODD Earned Revn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21976-Motorcycle Sfty	4,486	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,426	3,060
339.21977-Business Licens	38,155	0	85,983	0	0	0	85,983	939	15,141	14,922	421	0	8,821	0	0	54,773	29,121
339.21978-Indir Cost Reco	502	0	0	0	0	18,907	18,907	0	9,125	4,362	0	0	5,287	0	0	0	635
339.21979-High School Equ	857	0	225	0	0	0	225	0	0	200	0	0	0	0	0	0	857
339.21980-OTDA Program	2,624	0	0	0	0	500	500	0	0	1	0	0	0	0	0	0	2,924
339.21981-Disas Prep Conf	24	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	24
339.21982-Administration	7,069	0	13	0	0	5,000	5,013	0	4,128	2,549	116	0	2,508	0	0	2,301	480
339.21983-Rail Safety Ins	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.21984-Fedl Admin Reim	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.21985-Abandon Prop Au	1	0	12,619	0	0	0	12,619	0	8,086	4,914	0	0	0	0	0	0	(380)
339.21986-Seized Assets	17	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	19
339.21987-Spinal Injury	4,667	0	0	0	0	8,500	8,500	8,500	0	0	0	0	0	0	0	170	4,497
339.21988-Child Supp Rev	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	78
339.21989-Mult Agen Train	27,484	0	0	0	0	7,400	7,400	0	1,551	9,184	66	1,691	0	0	0	0	22,392
339.21990-OCTF Crime Forf	564	0	3,050	0	0	0	3,050	0	0	2,236	0	0	0	0	0	0	1,378
339.21991-DMNA-Seiz Asset	1,231	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,231
339.21992-Critical Infras	259	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	259
339.21993-Radon Dctct Dev	391	0	20	0	0	0	20	0	0	10	0	0	0	0	0	2	399
339.21994-Insurance Dept	122,635	0	425,317	0	0	0	425,317	56,324	105,122	37,764	2,864	55,107	0	0	0	0	290,771
339.21995-Workers Comp Bd	89,892	0	221,202	0	0	0	221,202	0	75,878	58,423	2,306	51,448	0	0	0	31,352	91,667
339.21996-Fire Protection	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.21998-Public Work Enf	3,667	0	3,982	0	0	0	3,982	0	1,987	217	51	1,127	0	0	0	0	4,267
339.21999-Asset Forfeitur	9	0	250	0	0	0	250	0	0	250	0	0	0	0	0	0	9
339.219A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.219AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AK-Ins Voucher Pro	339.219AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219AR-Adopt Info Regi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219AS-Quality Assuran	1	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.219BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219CH-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219D1-Food Stp Rec Fr	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219DM-EAD Metallurgl	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219E7-Unif Commerc Cd	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EA-Bus & Licen Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EB-Antitrust Entor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219EF-TAP Sys Redesgn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219EM-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219F6-Lc On Solid Was	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219FC-Fostir Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219IG-Ins Genl Operns	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.219JD-Probim Solv Cou	145	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	145
339.219K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219K3-Catastrophic Hl	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.219LC-Matern Child Hlv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.219SA-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.219SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219TF--Tran Fees Perms	339.219YX-A&M-Aggregated	4,047	3,010	0	0	0	3,010	0	425	3,500	11	240	0	0	0	0	2,881
339.219YL-OGS Bldg Admin	2,263	0	1,166	0	0	0	1,166	0	0	922	0	0	0	0	0	0	2,507
339.219YN-OGS Std & Purch	7,917	0	5,659	0	0	0	5,659	858	0	2,781	22	446	0	0	3,000	0	6,469
339.219Z3-MHP/IA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.219Z7-Just Ct Oper	(1,150)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,150)
339.219ZR-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.219ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.22001-VESID SS	862	0	2,500	0	0	0	2,500	2,000	182	100	5	105	0	0	0	52	918
339.22003-Bell Jar Collec	3	0	1,821	0	0	0	1,821	0	669	119	20	398	0	0	0	1	617
339.22004-Ind & Util Serv	2,871	0	2,521	0	0	0	2,521	0	1,550	322	6	167	0	0	0	0	2,922
339.22009-Asbestos Trning	(156)	0	330	0	0	0	330	0	0	15	0	0	0	0	0	0	(336)
339.22010-IMP R P Tax Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22011-Public Service	21,744	0	84,042	0	0	0	84,042	0	40,525	6,328	988	0	22,718	0	0	5,767	29,460
339.22012-Atty Licensing	6,832	0	33,000	0	0	0	33,000	0	17,400	7,800	0	0	7,800	0	0	0	6,832
339.22014-DSS Prov Recovs	188	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	188
339.22015-Crimes Against	7,171	0	0	0	0	6,300	6,300	14,300	0	0	0	0	0	0	0	0	(829)
339.22017-Camp Smith Bill	69	0	197	0	0	0	197	0	134	9	4	0	14	0	0	0	105
339.22018-Fire Safe Cigar	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.22020-Comm Feed Lic	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22021-Reg Manu Hsg	1,203	0	20	0	0	0	20	0	0	0	0	0	0	0	0	20	1,203
339.22022-College Savings	9,501	0	813	0	0	0	813	0	198	75	18	0	99	0	0	0	9,924
339.22023-Discover Queens	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22024-Reven Arrearage	33,872	0	25,000	0	0	0	25,000	0	1,591	2,531	45	0	940	0	0	43,591	10,174
339.22025-Comm Svce Assis	8,500	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,500
339.22026-Cell Phone Towe	2,325	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,325
339.22027-Spec Conservy Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.22028-Central Registry	3,220	0	2,017	0	0	0	2,017	0	106	0	4	0	71	0	0	1,822	3,234
339.22029-Plant Industry	232	0	529	0	0	0	529	0	274	0	10	0	155	0	0	0	322
339.22032-Batavia School	(10,445)	0	9,600	0	0	900	10,500	0	5,676	628	144	0	3,289	0	0	0	(9,682)
339.22033-Alcohol Beverag	2,626	0	0	0	0	0	0	0	113	312	0	0	153	0	0	1,096	952
339.22034-Investment Serv	633	0	4,038	0	0	0	4,038	0	2,070	673	64	0	1,231	0	0	0	633
339.22035-Diabetes Resear	7	0	6	0	0	0	6	0	0	50	0	0	0	0	0	0	(37)
339.22037-Keep Kids Drug	39	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	48
339.22038-OPWDD Day Servi	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22039-OSDC Finan Over	(1,843)	0	4,304	0	0	0	4,304	0	2,317	113	72	0	1,867	0	0	0	(1,908)
339.22040-Senate Recyclab	540	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	560
339.22041-Medicaid Fraud	20,566	0	14,000	0	0	0	14,000	0	6,096	2,642	151	0	3,627	0	0	0	22,050
339.22042-DED Marketing A	5,203	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	5,213
339.22044-Tug Hill Admin	69	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	65
339.22045-Settlement Enf	1,597	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	1,597
339.22046-Indian Gaming	(67,491)	0	8,188	0	0	0	8,188	0	8,180	462	207	0	4,587	0	0	329	(73,068)
339.22047-NYS FLEX Spend	38	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	38
339.22050-Crime Victims B	3	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	3
339.22052-Armory Rental A	2,016	0	47,265	0	0	0	47,265	0	19,327	9,795	488	0	11,198	0	0	6,032	27,073
339.22053-Rome School	(3,015)	0	9,600	0	0	1,020	10,620	0	4,280	652	108	0	2,480	0	0	0	2,016
339.22054-Seized Assets	(11,185)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	85
339.22055-Traf Adjudicatn	(4,420)	0	35,500	0	0	0	35,500	0	19,504	9,271	493	0	11,103	0	0	2,288	(11,579)
339.22056-Fed Salary Shar	(1)	0	1,000	0	0	2,452	2,452	419	1,287	1,000	36	0	739	0	0	0	(30)
339.22057-Cook/Chill Acco	1,773	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,773
339.22060-Credential Svcs	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22061-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
339.22062-NYC Assessment	20,883	0	79,653	0	0	0	79,653	0	36,881	24,553	1,420	0	16,799	0	0	0	20,883
339.22063-Cultural Educat	(3,658)	0	26,427	0	0	0	26,427	0	11,872	5,400	300	0	6,879	0	0	1,976	(3,658)
339.22064-Distance Learn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22065-Exam & Misc Rev	1,570	0	3,150	0	0	0	3,150	0	334	409	11	0	176	0	0	1,961	1,829
339.22067-Trans Regul Acc	14,631	0	91	0	0	0	91	0	236	77	7	0	139	0	0	1,822	12,809
339.22068-Cons Pior Acct	1,586	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,586
339.22071-Fin Aid Audit	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.22072-8th Air Force H	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22074-FMS Account	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30
339.22075-Funeral	1,803	0	470	0	0	0	470	0	138	10	6	0	81	0	0	73	1,965
339.22076-FSHRP	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.22077-Educ Archives	36	0	15	0	0	0	15	0	0	15	0	0	0	0	0	0	36
339.22078-Local Services	(188)	0	1,143	0	0	0	1,143	0	722	0	19	0	373	0	0	0	(159)
339.22080-Adult Shelter	13,301	0	2,600	0	0	0	2,600	0	0	0	0	0	0	0	0	0	15,901
339.22081-QAA Earned Rev	394	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	394
339.22082-Family Pres Svc	2,353	0	0	0	0	2,700	2,700	2,732	0	0	0	0	0	0	0	0	2,321
339.22083-Electronic Bene	44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	44
339.22084-Federal-Seized	338	0	0	0	0	0	0	0	0	272	0	0	0	0	0	0	66
339.22085-DHCR Mortgage S	(3,287)	0	3,833	0	0	0	3,833	0	4,418	0	0	0	0	0	0	0	(3,872)
339.22086-OMH-Research OH	73	0	2,848	0	0	0	2,848	0	103	2,817	0	0	0	0	0	770	9
339.22087-DMV-Compulsory	779	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	779
339.22088-Prof Medic Cond	9,032	0	24,900	0	0	0	24,900	907	10,443	7,536	323	0	6,129	0	0	3,836	4,758
339.22089-Hway Const & Ma	1,584	0	260	0	0	0	260	0	0	135	0	0	0	0	0	0	1,709
339.22090-Housing Indirec	(7,439)	0	5,043	0	0	696	5,739	0	2,471	0	0	0	0	0	0	201	(4,372)
339.22091-Adlt Hme Qlty E	1,403	0	193	0	0	0	193	0	0	0	0	0	0	0	0	21	1,575

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (839)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22092-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22093-COCOT	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.22094-Accid Prevent C	4,762	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,103	3,659
339.22095-IG Szd Assets	98	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	98
339.22096-Leg Svcs Assist	21,913	0	13,600	0	0	0	13,600	13,200	0	0	0	0	0	0	0	2,830	19,483
339.22097-Loc Pub Hlth	4,431	0	84	0	0	0	84	0	243	4	5	0	150	0	0	47	4,066
339.22098-Local Dist Trai	0	0	0	0	0	0	0	0	0	273	0	0	0	0	0	0	(273)
339.22099-Voting Mach Exa	123	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	123
339.22100-DHCR HCA Applic	(344)	0	0	0	0	0	0	0	2,804	0	71	1,567	0	0	0	489	(275)
339.22101-EPIC Premium Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22102-Drug Enforce Ta	68	0	0	0	0	0	0	0	703	612	18	457	0	0	0	0	68
339.22103-Vital Rec Mgmt	6,360	0	4,840	0	0	0	4,840	0	0	0	0	0	0	0	0	3,945	5,465
339.22104-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22105-Tobacco Enforce	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22108-Hwy Rev/Soc Sec	1,129	0	277	0	0	0	277	0	0	210	0	0	0	0	0	0	1,196
339.22109-Conference & Sp	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24
339.22110-Asst Living Res	1,034	0	259	0	0	0	259	250	0	0	0	0	0	0	0	9	1,034
339.22111-OCFS Program	1,114	0	0	0	0	0	0	0	0	585	0	0	0	0	0	0	529
339.22112-OTDA Income Acc	139	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	139
339.22114-Disabil Determs	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.22115-OPWDD Jt Clin O	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.22116-Special Medical	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.22117-Litigation Sett	11,494	0	68,164	0	0	0	68,164	0	21,804	33,299	670	13,941	0	0	0	0	9,944
339.22118-Animal Populati	345	0	855	0	0	0	855	0	0	867	0	0	0	0	0	0	333
339.22119-Love Your Libra	62	0	6	0	0	6	6	0	0	0	0	0	0	0	0	0	68
339.22122-Local Wireless	116	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	116
339.22123-Pub Safe Commun	37,218	0	108,000	0	0	0	108,000	33,075	12,520	30,990	0	0	0	0	0	10,161	58,472
339.22124-Cuba Lake Mgmt	160	0	200	0	0	0	200	0	0	206	0	0	0	0	0	0	154
339.22126-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.22128-Med Reimb Acct	547	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	547
339.22130-Low Inc Housing	3,120	0	3,631	0	0	0	3,631	0	2,277	(1)	58	1,272	0	0	0	150	2,995
339.22131-Medicaid Inquir	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22132-New York Alert	54	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54
339.22133-Procure Op News	472	0	0	0	0	0	0	0	40	135	0	0	0	0	0	0	297
339.22134-OVS RESTITUTION	757	0	593	0	0	0	593	0	443	150	0	0	0	0	0	0	757
339.22135-EFC Corp Admin	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22136-Food Prod Ctr	1,128	0	1,323	0	0	0	1,323	0	219	1,052	6	132	0	0	0	0	1,042
339.22137-Pet Dealer	111	0	32	0	0	0	32	0	917	254	27	580	0	0	0	0	143
339.22138-Auth Bldg Office	1,081	0	2,088	0	0	1,826	3,914	0	0	0	0	0	0	0	0	45	3,172
339.22139-Patient Safety	2,726	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,726
339.22140-Helen Hayes Hos	7,145	0	115	0	0	54,263	54,378	0	34,597	20,902	0	0	0	0	0	299	5,725
339.22141-NYC Veterans	3,511	0	350	0	0	28,314	28,664	0	15,885	8,538	0	7,136	0	0	107	509	
339.22142-NYS Home-Vetera	2,470	0	120	0	0	23,125	23,245	0	16,153	6,176	0	0	0	0	0	119	3,267
339.22143-WNY Vets Home	738	0	55	0	0	12,538	12,593	0	7,324	4,245	0	0	0	0	0	0	1,762
339.22144-Montrose S V H	5,062	0	30	0	0	27,388	27,388	0	16,512	7,588	0	0	0	0	0	67	8,283
339.22146-HEAP Earned Rev	3,913	0	0	0	0	117,284	117,284	0	0	0	0	0	0	0	117,284	0	3,913
339.22147-Quality of Care	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.22149-Motor Fuel Qual	6,785	0	1,387	0	0	0	1,387	1,000	0	0	0	0	0	0	0	0	7,172
339.22150-Weights Measure	1,465	0	2,800	0	0	0	2,800	0	1,127	1,214	29	601	0	0	0	0	1,294
339.22151-Deier Comp Adm	47	0	325	0	0	0	325	0	240	101	8	123	0	0	0	0	(100)
339.22152-Hazard Abatemen	(76)	0	820	0	0	0	820	0	378	183	23	225	0	0	0	0	(65)
339.22153-Education Stats	2	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	52
339.22154-Real Estate Fin	103	0	89	0	0	0	89	0	0	34	0	0	0	0	0	0	158
339.22156-NYC Rent Rev	5,131	0	1,693	0	0	0	1,693	0	556	1,292	15	328	0	0	0	0	4,633
339.22157-Medicaid Income	(13,591)	0	48,862	0	0	0	48,862	0	23,854	7,750	604	13,330	0	0	0	(928)	(9,339)
339.22158-Rent Revenue	(119)	0	0	0	0	0	0	0	380	0	10	212	0	0	0	0	(119)
339.22159-CSFP Salvage Ac	(802)	0	550	0	0	0	550	0	0	0	0	0	0	0	0	0	(854)
339.22161-ES Stem Cell Tr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22162-Systems & Tech	454	0	7,300	0	0	30,555	30,555	0	463	29,322	0	260	0	0	0	510	454
339.22163-OPR Patron Serv	7,746	0	69,400	0	0	0	69,400	0	707	142	27	3,079	0	0	0	0	8,225
339.22165-Trans Aviati	2,050	0	3,660	0	0	0	3,660	0	129	3,906	4	76	0	0	0	0	5,665
339.22166-Teacher Ed Accr	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11
339.22167-Training Academ	298	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	298
339.22168-Tax Rev Arrear	531	0	0	0	0	3,000	3,000	0	0	500	0	0	0	0	0	0	3,031

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2017

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.22169-TSCR Account	51,709	0	195,700	0	0	0	195,700	74,500	0	0	0	0	0	0	0	121,200	51,709
339.22170-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22171-Recruitment Inc	3,566	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	4,506
339.22172-Undgrnd Sfty T	649	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	584
339.22173-Vol Fire Rec&Re	791	0	200	0	0	0	200	200	0	0	0	0	0	0	0	0	791
339.22174-HAVA Match	1,560	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,560
339.22175-VRSS	16	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16
339.22177-Occ Hlth Clinic	556	0	9,000	0	0	0	9,000	8,764	322	789	7	0	189	0	0	105	(620)
339.22178-Crim Back Check	379	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	379
339.22180-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.22181-NYS Water Rescu	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.22182-OWIG Adim Reimb	3,173	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,173
339.22184-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.22185-Assembly Recyc	655	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	695
339.22186-Yth Fac PerDiem	39,664	0	59,542	0	0	0	59,542	0	0	0	0	0	0	0	0	72,646	26,560
339.22187-Provider Assess	5	0	812,000	0	0	0	812,000	812,000	0	0	0	0	0	0	0	0	5
339.22188-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.22189-DOCS Asset Forf	595	0	314	0	0	0	314	0	0	314	0	0	0	0	0	0	595
339.22190-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.22191-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.22192-Tax Ret Prep Fe	3,428	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,428
339.22193-Sales Tax Re Fe	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
339.22195-Equitable Shari	2,388	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,388
339.22196-C & F Qual Enha	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.22197-ULTVIRADIA DEV	449	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	449
339.22198-HEP	84	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	84
339.22199-Airport Securit	175	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(216)
339.22202-SBCI Account	13	0	6,000	0	0	0	6,000	2,000	0	0	0	0	0	0	0	0	175
339.22203-Article X Inter	1	0	0	0	0	0	0	86	0	0	0	0	0	0	0	0	4,013
339.22206-Wholesale Mkt	9,306	0	0	0	0	0	0	0	0	1,000	0	0	0	0	0	0	(85)
339.22207-Tech Financing	5,325	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,306
339.22208-Offender Progra	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	5,325
339.22212-Lake George Inv	59	0	350	0	0	0	350	0	35	285	10	0	20	0	0	0	59
339.22213-BOE Enforcement	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.22214-Fireworks Reven	196	0	220	0	0	0	220	0	0	0	0	0	0	0	0	0	416
339.MHSDT-MH Svc Del Tran	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	0



**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2017**  
(thousands of dollars)

	STATE CAPITAL PROJECTS (30000-30049)	DEDICATED HIGHWAY AND BRIDGE TRUST (30050-30099)	STATE UNIVERSITY RESIDENCE HALLS REHABILITATION AND REPAIR (30100-30299)	NEW YORK STATE CANAL SYSTEM DEVELOPMENT (30300-30349)	STATE PARK INFRASTRUCTURE (30350-30399)	PASSENGER FACILITY CHARGE (30400-30449)	ENVIRONMENTAL PROTECTION (30450-30499)	CONSERVATION THROUGH IMPROVATION TRANSPORTATION BOND (30600-30699)	PURE WATERS BOND (30620-30629)	TRANSPORTATION CAPITAL FACILITIES BOND (30630-30639)
<b>Opening Fund Balance</b>	0	42,955	125,298	4,786	(83,051)	14	42,066	164	668	3,328
<b>Receipts:</b>										
Taxes	0	1,175,298	0	0	0	0	119,100	0	0	0
Miscellaneous Receipts	2,314,014	1,375,482	0	2,500	123,600	0	34,650	0	0	0
Federal Grants	0	4,892	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	2,314,014	2,555,672	0	2,500	123,600	0	153,750	0	0	0
<b>Disbursements:</b>										
Grants to Local Governments	3,013,502	74,362	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	2,178,101	2,072,310	55,000	2,500	123,600	0	202,000	0	0	0
<b>Total Disbursements</b>	5,191,603	2,146,672	55,000	2,500	123,600	0	202,000	0	0	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	2,879,880	933,176	55,000	0	0	0	146,000	0	0	0
Transfers to Other Funds	(2,325)	(1,416,143)	0	0	0	0	0	(25)	(200)	(100)
Bond & Note Proceeds	0	0	0	0	0	0	0	25	200	100
<b>Net Other Financing Sources (Uses)</b>	2,877,555	(482,967)	55,000	0	0	0	146,000	0	0	0
<b>Change in Fund Balance</b>	(34)	(73,967)	0	0	0	0	97,750	0	0	0
<b>Closing Fund Balance</b>	(34)	(31,112)	125,298	4,786	(83,051)	14	139,816	164	668	3,328

	ENVIRONMENTAL QUALITY PROTECTION BOND ACT (8972) (30640-30649)	REBUILD & RENEW NY TRANSPORTATION BOND (30650-30659)	TRANSPORTATION INFRASTRUCTURE RENEWAL BOND (30660-30669)	ENVIRONMENTAL QUALITY BOND ACT (996) (30670-30679)	ACCELERATED CAPACITY AND TRANSPORTATION IMPROVEMENTS BOND (30680-30689)	CLEAN WATER/ CLEAN AIR BOND (30690-30699)	FEDERAL CAPITAL PROJECTS (31500-31449)	FOREST PRESERVE EXPANSION (31450-31499)	HAZARDOUS WASTE REMEDIAL (31500-31649)	SUBURBAN TRANSPORTATION BOND (31650-31699)
<b>Opening Fund Balance</b>	1,451	27,330	4,255	5,576	2,778	7,914	(559,267)	899	(130,803)	507
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	10	103,250	0
Federal Grants	0	0	0	0	0	0	2,157,495	0	0	0
<b>Total Receipts</b>	0	0	0	0	0	0	2,157,495	10	103,250	0
<b>Disbursements:</b>										
Grants to Local Governments	0	0	0	0	0	0	705,981	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,092,455	10	110,345	0
<b>Total Disbursements</b>	0	0	0	0	0	0	1,798,436	10	110,345	0
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	0	0	0	0	0	0	23,400	0
Transfers to Other Funds	(1,000)	(235,104)	(1,000)	(4,250)	(2,000)	(15,000)	(337,621)	0	(28,849)	0
Bond & Note Proceeds	1,000	235,104	1,000	4,250	2,000	15,000	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	0	0	0	0	0	(337,621)	0	(5,449)	0
<b>Change in Fund Balance</b>	0	0	0	0	0	0	21,438	0	(12,544)	0
<b>Closing Fund Balance</b>	1,451	27,330	4,255	5,576	2,778	7,914	(537,829)	899	(143,347)	507

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2017**  
(thousands of dollars)

	DIVISION FOR YOUTH FACILITY IMPROVEMENT (3700-3749)	HOUSING ASSISTANCE (31800-31849)	HOUSING PROGRAM (31850-31899)	NATURAL DISASTERS (31900-31949)	TRANSPORTATION ENGINEERING SERVICES (31950-31999)	STATE UNIVERSITY CAPITAL PROJECTS (32400-32499)	MISCELLANEOUS PROJECTS (32200-32249)	CITY UNIVERSITY OF NEW YORK PROJECTS (32500-32599)	MENTAL HYGIENE FACILITIES IMPROVEMENT (32300-32349)	CORRECTIONAL FACILITIES IMPROVEMENT (32350-32399)
<b>Opening Fund Balance</b>	(22,591)	(10,816)	(144,252)	16,210	(12,564)	168,347	37,047	(24)	(447,532)	(33,035)
<b>Receipts:</b>										
Taxes	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	19,384	0	162,052	1,000	0	120,000	9,015	0	185,890	244,588
Federal Grants	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	19,384	0	162,052	1,000	0	120,000	9,015	0	185,890	244,588
<b>Disbursements:</b>										
Grants to Local Governments	0	0	162,227	0	0	0	0	0	96,067	0
State Operations	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	19,031	0	0	1,017	0	120,000	29,900	0	91,573	298,088
<b>Total Disbursements</b>	19,031	0	162,227	1,017	0	120,000	29,900	0	187,640	298,088
<b>Other Financing Sources (Uses):</b>										
Transfers from Other Funds	0	0	1,240	0	0	25,000	25,000	0	1,750	53,500
Transfers to Other Funds	0	0	0	0	0	0	0	0	0	0
Bond & Note Proceeds	0	0	1,240	0	0	25,000	25,000	0	1,750	53,500
<b>Change in Fund Balance</b>	353	0	1,065	(7)	0	25,000	4,115	0	0	0
<b>Closing Fund Balance</b>	(22,238)	(10,816)	(143,187)	16,193	(12,564)	193,347	41,162	(24)	(447,532)	(33,035)

	SMART SCHOOLS BOND FUND (3700-3749)	NEW YORK STATE STORAGE FUND (33000-33049)	DEDICATED INFRASTRUCTURE PROJECTS (33050-33099)	CAPITAL PROJECTS OTHER	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	0	(48,673)	110,333	0	(890,782)	0	(890,782)
<b>Receipts:</b>							
Taxes	0	0	0	1,000	1,295,398	0	1,295,398
Miscellaneous Receipts	0	23,181	0	1	4,718,617	0	4,718,617
Federal Grants	0	0	0	0	2,162,387	0	2,162,387
<b>Total Receipts</b>	0	23,181	0	1,001	8,176,402	0	8,176,402
<b>Disbursements:</b>							
Grants to Local Governments	0	0	84,000	0	4,136,139	0	4,136,139
State Operations	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0
Capital Projects	0	23,181	816,665	(1,000)	7,234,776	0	7,234,776
<b>Total Disbursements</b>	0	23,181	900,665	0	11,370,915	0	11,370,915
<b>Other Financing Sources (Uses):</b>							
Transfers from Other Funds	0	0	900,665	0	5,044,611	(937,118)	4,107,493
Transfers to Other Funds	(350,000)	0	0	0	(2,393,627)	937,118	(1,456,509)
Bond & Note Proceeds	350,000	0	0	0	608,689	0	608,689
<b>Net Other Financing Sources (Uses)</b>	0	0	900,665	0	3,259,673	0	3,259,673
<b>Change in Fund Balance</b>	0	(48,673)	110,333	1,001	(825,622)	0	(825,622)
<b>Closing Fund Balance</b>	0	(48,673)	110,333	1,001	(825,622)	0	(825,622)

**CASH COMBINING STATEMENT  
DEBT SERVICE  
FY 2017**

	MENTAL HEALTH SERVICES (40100-40149)	GENERAL DEBT SERVICE (40150-40199)	HOUSING DEBT (40250-40299)	DEPARTMENT OF HEALTH INCOME (40300-40349)	CLEAN WATER/CLEAN AIR (40400-40449)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	110,547	0	0	49,166	0	0	159,713	0	159,713
<b>Receipts:</b>									
Taxes	0	15,455,513	0	0	1,018,900	3,239,281	19,713,694	0	19,713,694
Miscellaneous Receipts	302,920	0	6,234	145,598	0	500	455,252	0	455,252
Federal Grants	0	73,443	0	0	0	0	73,443	0	73,443
<b>Total Receipts</b>	302,920	15,528,956	6,234	145,598	1,018,900	3,239,781	20,242,389	0	20,242,389
<b>Disbursements:</b>									
Grants to Local Governments	0	0	0	0	0	0	0	0	0
State Operations	2,970	30,006	0	3,161	0	3,020	39,157	0	39,157
General State Charges	0	0	0	0	0	0	0	0	0
Debt Service	204,917	4,467,721	7,234	28,409	0	370,002	5,078,283	0	5,078,283
Capital Projects	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	207,887	4,497,727	7,234	31,570	0	373,022	5,117,440	0	5,117,440
<b>Other Financing Sources (Uses):</b>									
Transfers from Other Funds	1,053,457	2,488,373	1,000	42,069	0	0	3,584,899	(323,019)	3,261,880
Transfers to Other Funds	(1,069,250)	(13,519,603)	0	(145,598)	(1,018,900)	(2,866,759)	(18,619,610)	323,019	(18,296,591)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	(15,793)	(11,031,230)	1,000	(103,529)	(1,018,900)	(2,866,759)	(15,034,711)	0	(15,034,711)
<b>Change in Fund Balance</b>	79,240	(1)	0	10,499	0	0	90,238	0	90,238
<b>Closing Fund Balance</b>	189,787	(1)	0	59,665	0	0	249,951	0	249,951

**Opening Fund Balance**

**Receipts:**

Taxes

Miscellaneous Receipts

Federal Grants

**Total Receipts**

**Disbursements:**

Grants to Local Governments

State Operations

General State Charges

Debt Service

Capital Projects

**Total Disbursements**

**Other Financing Sources (Uses):**

Transfers from Other Funds

Transfers to Other Funds

Bond & Note Proceeds

**Net Other Financing Sources (Uses)**

**Change in Fund Balance**

**Closing Fund Balance**

CASH COMBINING STATEMENT BY ACCOUNT  
INTERNAL SERVICE  
FY 2017  
(thousands of dollars)

Fund Account	Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCS	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.55009-Admin Support	323.55009-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.55010-Design & Constr	323.55010-Design & Constr	6,629	0	61,998	0	0	0	61,998	0	29,033	14,975	735	0	16,822	0	0	1,866	63,431	5,196
323.55020-OGS Ent Contr	323.55020-OGS Ent Contr	(41,234)	0	200,000	0	0	0	200,000	0	600	199,074	16	0	310	0	0	0	200,000	(41,234)
323.55022-Business Sv Ctr	323.55022-Business Sv Ctr	(87)	0	12,653	0	0	0	12,653	0	5,596	5,000	319	0	1,738	0	0	0	12,653	(87)
323.550ML-Broome St Maste	323.550ML-Broome St Maste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.550XX-Misc Centr Sv	323.550XX-Misc Centr Sv	107	0	0	0	0	0	0	0	4,415	124,228	107	0	2,442	0	0	28,767	159,959	(16,311)
323.550ZX-OGS Exec Direct	323.550ZX-OGS Exec Direct	(16,316)	0	138,175	0	0	21,789	159,964	0	1,917	18,513	49	0	1,111	0	0	0	21,590	11,295
323.550ZY-OGS Bldg Admin	323.550ZY-OGS Bldg Admin	7,656	0	25,229	0	0	0	25,229	0	3,188	5,055	78	0	1,778	0	0	0	10,099	(1,874)
323.550ZZ-OGS Std & Purch	323.550ZZ-OGS Std & Purch	(3,228)	0	11,463	0	0	0	11,463	0	0	0	0	0	0	0	0	0	0	15
334.55050-Agencies Int Sv	334.55050-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
334.55052-Archives R	334.55052-Archives R	26	0	1,500	0	0	0	1,500	0	920	114	28	0	411	0	0	0	1,473	53
334.55053-Fedl Single Aid	334.55053-Fedl Single Aid	2,444	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,444
334.55054-Quick Copy Cent	334.55054-Quick Copy Cent	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
334.55055-CS Administrat	334.55055-CS Administrat	3,646	0	5,963	0	0	0	5,963	0	2,745	500	84	0	1,712	0	0	1,651	6,692	2,917
334.55056-EHS Occup Hlth	334.55056-EHS Occup Hlth	177	0	870	0	0	0	870	0	626	494	18	0	381	0	0	8	1,527	(480)
334.55057-Banking Service	334.55057-Banking Service	(8)	0	500	0	0	51,565	52,065	0	0	51,790	0	0	0	0	0	267	51,790	(2,195)
334.55058-Cult Resources	334.55058-Cult Resources	(2,789)	0	7,329	0	0	0	7,329	0	1,429	4,082	46	0	894	0	0	284	6,735	(2,195)
334.55059-Neighbor Work P	334.55059-Neighbor Work P	(12,807)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(12,807)
334.55060-Auto/Print Chgb	334.55060-Auto/Print Chgb	1,258	0	17,613	0	0	0	17,613	0	8,419	4,345	0	0	4,878	0	0	0	17,642	1,229
334.55061-NYT Account	334.55061-NYT Account	(3,955)	0	9,800	0	0	0	9,800	0	0	9,800	0	0	0	0	0	0	9,800	(3,955)
334.55062-State Data Ctr	334.55062-State Data Ctr	(47,594)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(47,594)
334.55063-Human Svcs Tele	334.55063-Human Svcs Tele	15,540	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15,540
334.55065-OPWDD Copy Cent	334.55065-OPWDD Copy Cent	681	0	150	0	0	0	150	0	0	150	0	0	0	0	0	0	150	681
334.55066-Intrusion Detec	334.55066-Intrusion Detec	(1,244)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1,244)
334.55067-Dom Violence Gr	334.55067-Dom Violence Gr	(270)	0	800	0	0	0	800	0	698	99	3	0	0	0	0	0	800	(270)
334.55068-Statewide Train	334.55068-Statewide Train	97	0	0	0	0	0	0	0	0	0	0	0	389	0	0	0	389	(292)
334.55069-Cent Tech Svcs.	334.55069-Cent Tech Svcs.	(7,828)	0	30,000	0	0	2,360	32,360	0	0	30,000	0	0	0	0	0	0	30,000	(5,468)
334.55070-Learning Mgmt S	334.55070-Learning Mgmt S	1,716	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,716
334.55071-Labor Cont Ctr	334.55071-Labor Cont Ctr	44	0	1,753	0	0	0	1,753	0	1,367	321	38	0	777	0	0	0	2,503	(706)
334.55072-HS Cont Ctr	334.55072-HS Cont Ctr	(107)	0	8,707	0	0	0	8,707	0	4,832	1,527	150	0	2,934	0	0	0	9,443	(843)
334.550CR-Civil Recover	334.550CR-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.550MI-Personnel Mgmt	334.550MI-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.550PF-Public Financing	334.550PF-Public Financing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.550XZ-Misc Intl Serv	334.550XZ-Misc Intl Serv	(116)	0	8,200	0	0	0	8,200	0	0	0	0	0	0	0	0	0	0	(116)
334.CEONW-Ctr Emp Op NWP	334.CEONW-Ctr Emp Op NWP	0	0	8,200	0	0	1,000	9,200	9,200	0	0	0	0	0	0	0	0	9,200	0
343.55100-Mental Hygiene	343.55100-Mental Hygiene	238	0	1,967	0	0	0	1,967	0	987	1,144	26	0	565	0	0	0	2,712	(507)
347.55150-DFY Voc Educatn	347.55150-DFY Voc Educatn	53	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	78
394.55200-Joint Labor-Mgt	394.55200-Joint Labor-Mgt	1,394	0	2,000	0	0	0	2,000	0	906	396	30	0	522	0	0	0	1,854	1,540
395.55251-Ex Dir Intl Aud	395.55251-Ex Dir Intl Aud	(3,225)	0	1,950	0	0	0	1,950	0	1,210	50	31	0	710	0	0	0	2,001	(3,276)
395.55252-CIO INFO TECH C	395.55252-CIO INFO TECH C	2,430	0	17,000	0	0	0	17,000	0	5,100	12,700	71	0	2,830	0	0	0	20,701	(1,271)
396.55300-Health Ins Intr	396.55300-Health Ins Intr	(6,487)	0	14,121	0	0	8,083	22,204	0	9,067	1,700	270	0	5,768	0	0	3,428	20,233	(4,516)
396.55301-CS EBD Adm Reim	396.55301-CS EBD Adm Reim	(6,644)	0	4,900	0	0	0	4,900	0	1,835	318	56	0	1,127	0	0	639	3,975	(6,119)
397.55350-Corr Industries	397.55350-Corr Industries	(17,427)	0	49,000	0	0	10,500	59,500	0	18,686	31,930	531	0	11,035	0	0	357	62,539	(20,466)

**CASH COMBINING STATEMENT BY ACCOUNT**  
**ENTERPRISE**  
**FY 2017**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.50000-DFY Commissary	154	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	154
325.50050-State Fair Rece	871	0	18,000	0	0	0	18,000	0	5,712	11,289	160	0	2,018	0	0	0	19,159	(288)
326.50100-DOCS Commissary	2,824	0	39,262	0	0	0	39,262	0	0	39,180	0	0	0	0	0	0	39,180	2,906
331.50301-Mental Disab Pr	17	0	7	0	0	0	7	0	0	7	0	0	0	0	0	0	7	17
331.50302-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50303-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.50304-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.50305-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.50306-DSS Trng Matrls	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.50311-Arts & Crafts	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.50313-TRAID Services	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.50318-Convention Cir	466	0	1,222	0	0	0	1,222	0	604	162	15	0	350	0	0	0	1,131	557
331.50319-Attica Emp Mess	341	0	1,256	0	0	0	1,256	0	282	803	10	0	167	0	0	0	1,262	335
331.50322-Asset Preservat	76	0	14	0	0	0	14	0	0	22	0	0	0	0	0	0	22	68
331.50323-Farm Program	1,030	0	629	0	0	0	629	0	123	455	2	0	60	0	0	0	640	1,019
331.50327-Emp Plz Gift Sh	15	0	300	0	0	0	300	0	105	131	3	0	61	0	0	0	300	15
331.503NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.503ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
331.ARMRT-Armory Rental	0	0	0	0	0	0	0	0	659	691	18	0	66	0	0	0	1,434	(1,434)
331.OGSPS-Parking Servs	0	0	8,092	0	0	0	8,092	0	2,900	3,612	80	0	1,500	0	0	1,000	9,092	(1,000)
331.OGSSSE-Special Events	0	0	874	0	0	0	874	0	28	833	1	0	12	0	0	0	874	0
331.OGSSW-Solid Waste	0	0	105	0	0	0	105	0	100	5	0	0	0	0	0	0	105	0
351.50400-OMH Shelt Wkshs	1,910	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,910
352.50450-MR Shelt Wkshop	1,895	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,795
353.50500-MH & MR Communi	3,968	0	2,200	0	0	0	2,200	0	383	1,172	10	0	215	0	0	0	1,780	4,388
353.50516-MR Community St	157	0	551	0	0	0	551	0	219	326	9	0	0	0	0	0	554	154
450.2595F-IEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.50650-U I Benefit Frnd	907,571	2,450,000	0	50,000	0	0	2,500,000	0	0	0	0	2,500,000	0	0	0	0	2,500,000	907,571
481.50651-Interest Assess	4,599	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,599
481.506FS-Federal Stimulu	(860,933)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(860,933)

**GAAP FINANCIAL PLAN  
GENERAL FUND  
FY 2017  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Revenues:</b>			
Taxes:			
Personal Income Tax	33,264	(182)	33,082
Consumption/Use Taxes	6,805	(4)	6,801
Business Taxes	5,991	(215)	5,776
Other Taxes	986	58	1,044
Miscellaneous Receipts	5,339	187	5,526
Federal Receipts	0	0	0
<b>Total Receipts</b>	<u>52,385</u>	<u>(156)</u>	<u>52,229</u>
<b>Expenditures:</b>			
Local Assistance Grants	46,341	96	46,437
Departmental Operations	12,380	72	12,452
General State Charges	6,224	(95)	6,129
Debt Service	0	0	0
Capital Projects	2	(2)	0
<b>Total Disbursements</b>	<u>64,947</u>	<u>71</u>	<u>65,018</u>
<b>Other Financing Sources (Uses):</b>			
Transfers From Other Funds	18,757	222	18,979
Transfers To Other Funds	(8,643)	(1,073)	(9,716)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>10,114</u>	<u>(851)</u>	<u>9,263</u>
<b>Operating Surplus/(Deficit)</b>	<u>(2,448)</u>	<u>(1,078)</u>	<u>(3,526)</u>
<b>Accumulated Surplus/(Deficit)*</b>	<u>258</u>	<u>2,615</u>	<u>2,873</u>

\*Changes to the projected Accumulated Surplus/(Deficit) in FY 2017 reflect adjustments to the timing of transfers related to extraordinary monetary settlement receipts. These receipts are now scheduled to be transferred over a multi-year period through FY 2021.

**GAAP FINANCIAL PLAN  
GENERAL FUND  
FY 2017 THROUGH FY 2020  
(millions of dollars)**

	<b>FY 2017 Enacted</b>	<b>FY 2018 Projected</b>	<b>FY 2019 Projected</b>	<b>FY 2020 Projected</b>
<b>Revenues:</b>				
Taxes:				
Personal Income Tax	33,082	35,753	34,996	37,546
Consumption/Use Taxes	6,801	7,141	7,427	7,696
Business Taxes	5,776	5,988	6,155	6,413
Other Taxes	1,044	970	933	983
Miscellaneous Receipts	5,526	5,015	4,992	4,488
Federal Receipts	0	0	0	0
<b>Total Receipts</b>	<b>52,229</b>	<b>54,867</b>	<b>54,503</b>	<b>57,126</b>
<b>Expenditures:</b>				
Local Assistance Grants	46,437	49,908	52,655	55,345
Departmental Operations	12,452	12,706	12,648	12,718
General State Charges	6,129	7,876	8,188	8,611
Debt Service	0	0	0	0
Capital Projects	0	0	0	0
<b>Total Disbursements</b>	<b>65,018</b>	<b>70,490</b>	<b>73,491</b>	<b>76,674</b>
<b>Other Financing Sources (Uses):</b>				
Transfers From Other Funds	18,979	19,429	19,148	19,455
Transfers To Other Funds	(9,716)	(5,676)	(6,089)	(6,128)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>9,263</b>	<b>13,753</b>	<b>13,059</b>	<b>13,327</b>
<b>Operating Surplus/(Deficit)*</b>	<b>(3,526)</b>	<b>(1,870)</b>	<b>(5,929)</b>	<b>(6,221)</b>

\*FY 2018 through FY 2020 operating deficits do not reflect the impact of the State's adherence to the two percent spending benchmark, which would reduce expenditures from current forecasted levels.

**GAAP FINANCIAL PLAN  
ALL FUNDS  
FY 2017  
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
<b>Revenues:</b>					
Taxes	46,703	8,214	1,295	19,866	76,078
Public Health/Patient Fees	0	4,660	0	449	5,109
Miscellaneous Receipts	5,526	1,497	(3,149)	6	3,880
Federal Receipts	0	54,887	4,878	73	59,838
<b>Total Receipts</b>	<b>52,229</b>	<b>69,258</b>	<b>3,024</b>	<b>20,394</b>	<b>144,905</b>
<b>Expenditures:</b>					
Local Assistance Grants	46,437	68,194	4,292	0	118,923
Departmental Operations	12,452	2,008	0	39	14,499
General State Charges	6,129	443	0	0	6,572
Debt Service	0	0	0	4,171	4,171
Capital Projects	0	3	7,810	0	7,813
<b>Total Disbursements</b>	<b>65,018</b>	<b>70,648</b>	<b>12,102</b>	<b>4,210</b>	<b>151,978</b>
<b>Other Financing Sources (Uses):</b>					
Transfers From Other Funds	18,979	3,659	4,418	3,262	30,318
Transfers To Other Funds	(9,716)	(2,663)	(1,456)	(19,357)	(33,192)
Proceeds Of General Obligation Bonds	0	0	609	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	5,373	0	5,373
<b>Net Other Financing Sources (Uses)</b>	<b>9,263</b>	<b>996</b>	<b>8,944</b>	<b>(16,095)</b>	<b>3,108</b>
<b>Operating Surplus/(Deficit)</b>	<b>(3,526)</b>	<b>(394)</b>	<b>(134)</b>	<b>89</b>	<b>(3,965)</b>



**GAAP FINANCIAL PLAN  
ALL FUNDS  
FY 2017**  
(millions of dollars)

	Major Funds					Eliminations	Total
	General Fund	Special Revenue	General Debt Service	Governmental Funds	Other Funds		
<b>Revenues:</b>							
Taxes:							
Personal Income Tax	33,082	0	12,366		3,228	0	48,676
Consumption/Use Taxes	6,801	0	3,241		5,813	0	15,855
Business Taxes	5,776	0	0		2,246	0	8,022
Other Taxes	1,044	0	0		2,481	0	3,525
Public Health/Patient Fees	0	0	0		5,109	0	5,109
Miscellaneous Receipts	5,526	216	0		(1,862)	0	3,880
Federal Receipts	0	54,886	73		4,879	0	59,838
<b>Total Receipts</b>	<b>52,229</b>	<b>55,102</b>	<b>15,680</b>		<b>21,894</b>	<b>0</b>	<b>144,905</b>
<b>Expenditures:</b>							
Local Assistance Grants	46,437	51,189	0		21,297	0	118,923
Departmental Operations	12,452	1,624	30		393	0	14,499
General State Charges	6,129	325	0		118	0	6,572
Debt Service	0	0	3,561		610	0	4,171
Capital Projects	0	0	0		7,813	0	7,813
<b>Total Disbursements</b>	<b>65,018</b>	<b>53,138</b>	<b>3,591</b>		<b>30,231</b>	<b>0</b>	<b>151,978</b>
<b>Other Financing Sources (Uses):</b>							
Transfers From Other Funds	18,979	0	2,488		8,851	(21,652)	8,666
Transfers To Other Funds	(9,716)	(2,057)	(14,577)		(6,842)	21,652	(11,540)
Proceeds Of General Obligation Bonds	0	0	0		609	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0		5,373	0	5,373
<b>Net Other Financing Sources (Uses)</b>	<b>9,263</b>	<b>(2,057)</b>	<b>(12,089)</b>		<b>7,991</b>	<b>0</b>	<b>3,108</b>
<b>Operating Surplus/(Deficit)</b>	<b>(3,526)</b>	<b>(93)</b>	<b>0</b>		<b>(346)</b>	<b>0</b>	<b>(3,965)</b>

**GAAP COMBINING STATEMENT  
GENERAL FUND  
FY 2017**  
(millions of dollars)

	001	003	007	166	013	008	323	325	326	331	334
<b>Revenues:</b>											
Personal Income Tax	0	33,082	0	0	0	0	0	0	0	0	0
Consumption/Use Taxes	0	6,801	0	0	0	0	0	0	0	0	0
Business Taxes	0	5,776	0	0	0	0	0	0	0	0	0
Other Taxes	0	1,044	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	2,732	0	863	0	0	450	18	39	14	94
Federal Receipts	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>0</b>	<b>49,435</b>	<b>0</b>	<b>863</b>	<b>0</b>	<b>0</b>	<b>450</b>	<b>18</b>	<b>39</b>	<b>14</b>	<b>94</b>
<b>Expenditures:</b>											
Local Assistance Grants	44,566	0	10	0	0	0	0	0	0	0	9
Departmental Operations	0	8,606	0	48	0	0	413	17	39	13	126
General State Charges	0	3,622	0	815	0	0	24	2	0	2	12
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>44,566</b>	<b>12,228</b>	<b>10</b>	<b>863</b>	<b>0</b>	<b>0</b>	<b>437</b>	<b>19</b>	<b>39</b>	<b>15</b>	<b>147</b>
<b>Other Financing Sources (Uses):</b>											
Transfers From Other Funds	0	18,244	0	0	0	0	22	0	0	0	55
Transfers To Other Funds	(3,088)	(11,052)	0	0	0	0	(31)	0	0	(1)	(2)
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>(3,088)</b>	<b>7,192</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(9)</b>	<b>0</b>	<b>0</b>	<b>(1)</b>	<b>53</b>
<b>Operating Surplus/(Deficit)</b>	<b>(47,654)</b>	<b>44,599</b>	<b>(10)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>(1)</b>	<b>0</b>	<b>(2)</b>	<b>0</b>
<b>Revenues:</b>											
Personal Income Tax	0	0	0	0	0	0	0	0	0	0	0
Consumption/Use Taxes	0	0	0	0	0	0	0	0	0	0	33,082
Business Taxes	0	0	0	0	0	0	0	0	0	0	6,801
Other Taxes	0	0	0	0	0	0	0	0	0	0	5,776
Miscellaneous Receipts	1,827	2	2	1	2	2	19	18	49	0	1,044
Federal Receipts	0	0	0	0	0	0	0	0	0	0	5,526
<b>Total Receipts</b>	<b>1,827</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>19</b>	<b>18</b>	<b>49</b>	<b>0</b>	<b>52,229</b>
<b>Expenditures:</b>											
Local Assistance Grants	1,852	0	0	0	0	0	0	0	0	0	46,437
Departmental Operations	3,705	2	2	1	2	1	19	13	51	0	12,452
General State Charges	1,628	1	0	0	0	1	4	7	11	0	6,129
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>7,185</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>23</b>	<b>20</b>	<b>62</b>	<b>0</b>	<b>65,018</b>
<b>Other Financing Sources (Uses):</b>											
Transfers From Other Funds	5,812	0	0	0	0	0	0	8	10	0	18,979
Transfers To Other Funds	(710)	0	0	0	0	0	0	(4)	0	0	(9,176)
Proceeds From Financing Arrangements/Advance Refundings	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>5,102</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>10</b>	<b>0</b>	<b>9,263</b>
<b>Operating Surplus/(Deficit)</b>	<b>(256)</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(4)</b>	<b>2</b>	<b>(3)</b>	<b>0</b>	<b>(3,526)</b>

**CASH TO GAAP CONVERSION TABLE**  
**GENERAL FUND**  
**FY 2017**  
(millions of dollars)

	Perspective		Entity		Cash Basis Subtotal	Changes in Accruals	Eliminations	Intrafund Eliminations	Reclassification	GAAP Financial Plan
	Difference		Difference							
	Cash Financial Plan	Special Revenue Funds	Other Funds							
<b>Revenues:</b>										
Taxes:										
Personal Income Tax	33,870	0	0	0	33,870	(788)	0	0	0	33,082
Consumption/Use Taxes	7,087	0	0	0	7,087	(286)	0	0	0	6,801
Business Taxes	5,750	0	0	0	5,750	26	0	0	0	5,776
Other Taxes	1,045	0	0	0	1,045	(1)	0	0	0	1,044
Miscellaneous Receipts	2,813	1,827	712	0	5,352	0	718	(606)	62	5,526
Federal Receipts	0	0	0	0	0	0	0	0	0	0
<b>Total Revenues</b>	<b>50,565</b>	<b>1,827</b>	<b>712</b>	<b>0</b>	<b>53,104</b>	<b>(1,049)</b>	<b>718</b>	<b>(606)</b>	<b>62</b>	<b>52,229</b>
<b>Expenditures:</b>										
Local Assistance Grants	45,957	1,852	9	0	47,818	(151)	0	0	(1,230)	46,437
Departmental Operations	8,299	3,981	701	0	12,981	(16)	(40)	(606)	133	12,452
General State Charges	5,425	1,628	66	0	7,119	(187)	758	0	(1,561)	6,129
Debt Service	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0
<b>Total Expenditures</b>	<b>59,681</b>	<b>7,461</b>	<b>776</b>	<b>0</b>	<b>67,918</b>	<b>(354)</b>	<b>718</b>	<b>(606)</b>	<b>(2,658)</b>	<b>65,018</b>
<b>Other Financing Sources (Uses):</b>										
Transfers From Other Funds	18,411	6,116	95	0	24,622	0	(5,277)	0	(366)	18,979
Transfers To Other Funds	(12,160)	(441)	(38)	0	(12,639)	0	5,277	0	(2,354)	(9,716)
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>6,251</b>	<b>5,675</b>	<b>57</b>	<b>0</b>	<b>11,983</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,720)</b>	<b>9,263</b>
<b>Excess (deficiency) Of Revenues And Other Financing Sources Over Expenditures And Other Financing Uses</b>	<b>(2,865)</b>	<b>41</b>	<b>(7)</b>	<b>0</b>	<b>(2,831)</b>	<b>(695)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(3,526)</b>
<b>(Increase)/Decrease In Reserves</b>	<b>2,865</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,865</b>	<b>(2,865)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Operating Surplus/(Deficit)</b>	<b>0</b>	<b>41</b>	<b>(7)</b>	<b>0</b>	<b>34</b>	<b>(3,560)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(3,526)</b>

CASH TO GAAP CONVERSION TABLE  
SPECIAL REVENUE FUNDS

FY 2017

	(millions of dollars)										
	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
<b>Revenues:</b>											
Taxes	8,214	0	0	0	0	0	0	0	0	0	8,214
Public Health	0	0	0	0	0	0	4,660	0	0	0	4,660
Miscellaneous Receipts	15,660	(92)	(4,292)	(1,827)	(3,353)	0	(4,660)	0	0	41	1,497
Federal Receipts	49,416	0	0	0	0	5,331	0	0	0	140	54,887
<b>Total Receipts</b>	<b>73,310</b>	<b>(92)</b>	<b>(4,292)</b>	<b>(1,827)</b>	<b>(3,353)</b>	<b>5,331</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>181</b>	<b>69,258</b>
<b>Expenditures:</b>											
Local Assistance Grants	64,736	0	0	(1,852)	(75)	5,331	0	0	0	54	68,194
Departmental Operations	12,078	(86)	(5,616)	(3,981)	(127)	0	(339)	0	0	79	2,008
General State Charges	2,445	0	(376)	(1,627)	(11)	0	0	0	0	12	443
Capital Projects	3	0	0	0	0	0	0	0	0	0	3
<b>Total Disbursements</b>	<b>79,262</b>	<b>(86)</b>	<b>(5,992)</b>	<b>(7,460)</b>	<b>(213)</b>	<b>5,331</b>	<b>0</b>	<b>(339)</b>	<b>0</b>	<b>145</b>	<b>70,648</b>
<b>Other Financing Sources (Uses):</b>											
Transfers From Other Funds	8,287	0	(1,789)	(6,116)	3,246	0	0	0	31	0	3,659
Transfers To Other Funds	(2,850)	0	16	441	0	0	0	(339)	(31)	0	(2,663)
<b>Net Other Financing Sources (Uses)</b>	<b>5,437</b>	<b>0</b>	<b>(1,673)</b>	<b>(5,675)</b>	<b>3,246</b>	<b>0</b>	<b>0</b>	<b>(339)</b>	<b>0</b>	<b>0</b>	<b>996</b>
<b>Operating Surplus/(Deficit)</b>	<b>(515)</b>	<b>(6)</b>	<b>27</b>	<b>(42)</b>	<b>106</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>36</b>	<b>(394)</b>

CASH TO GAAP CONVERSION TABLE  
CAPITAL PROJECTS FUND

FY 2017

	(millions of dollars)										
	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	COPS	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures	
<b>Revenues:</b>											
Taxes	1,295	0	0	0	0	0	0	0	0	0	1,295
Miscellaneous Receipts	2,163	0	(120)	(685)	(8)	0	0	(4,570)	71	(3,149)	4,878
Federal Receipts	4,878	0	0	0	0	0	0	0	0	0	4,878
<b>Total Receipts</b>	<b>8,336</b>	<b>0</b>	<b>(120)</b>	<b>(685)</b>	<b>(8)</b>	<b>0</b>	<b>0</b>	<b>(4,570)</b>	<b>71</b>	<b>0</b>	<b>3,024</b>
<b>Expenditures:</b>											
Local Assistance Grants	4,203	0	0	(4)	0	0	0	0	93	0	4,292
Capital Projects	7,717	(55)	(120)	(679)	(8)	0	803	0	152	0	7,810
<b>Total Disbursements</b>	<b>11,920</b>	<b>(55)</b>	<b>(120)</b>	<b>(683)</b>	<b>(8)</b>	<b>0</b>	<b>803</b>	<b>0</b>	<b>245</b>	<b>0</b>	<b>12,102</b>
<b>Other Financing Sources (Uses):</b>											
Transfers From Other Funds	4,498	(55)	(25)	0	0	0	0	0	0	0	4,418
Transfers To Other Funds	(1,457)	0	0	1	0	0	0	0	0	0	(1,456)
Proceeds Of GO Bonds	609	0	0	0	0	0	0	0	0	0	609
Proceeds From Financing Arrangements/ Advance Refundings	0	0	0	0	0	0	803	4,570	0	0	5,373
<b>Net Other Financing Sources (Uses)</b>	<b>3,650</b>	<b>(55)</b>	<b>(25)</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>803</b>	<b>4,570</b>	<b>0</b>	<b>0</b>	<b>8,944</b>
<b>Operating Surplus/(Deficit)</b>	<b>66</b>	<b>0</b>	<b>(25)</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(174)</b>	<b>0</b>	<b>(134)</b>

CASH TO GAAP CONVERSION TABLE  
DEBT SERVICE FUND

FY 2017

	(millions of dollars)					
	Estimated Cash Disbursements	LGAC	Reclass Patient Fees	SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
<b>Revenues:</b>						
Taxes	19,866	0	0	0	0	19,866
Patient Fees	0	0	449	0	0	449
Miscellaneous Receipts	455	0	(449)	0	0	6
Federal Receipts	73	0	0	0	0	73
<b>Total Receipts</b>	<b>20,394</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>20,394</b>
<b>Expenditures:</b>						
Departmental Operations	39	0	0	0	0	39
Debt Service	5,203	0	0	(1,032)	0	4,171
<b>Total Disbursements</b>	<b>5,242</b>	<b>0</b>	<b>0</b>	<b>(1,032)</b>	<b>0</b>	<b>4,210</b>
<b>Other Financing Sources (Uses):</b>						
Transfers From Other Funds	3,262	0	0	0	0	3,262
Transfers To Other Funds	(8,325)	0	0	(1,032)	0	(9,357)
<b>Net Other Financing Sources (Uses)</b>	<b>(5,063)</b>	<b>0</b>	<b>0</b>	<b>(1,032)</b>	<b>0</b>	<b>(6,095)</b>
<b>Operating Surplus/(Deficit)</b>	<b>89</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>89</b>

**STATE DEBT OUTSTANDING**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2016 THROUGH FY 2021**  
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<b>GENERAL OBLIGATION BONDS</b>	2,727,460	3,071,304	3,535,445	3,652,421	3,699,616	3,763,221
<b>REVENUE BONDS</b>						
Personal Income Tax	31,267,680	32,190,307	36,214,652	39,108,253	41,457,945	43,172,704
Sales Tax	4,254,020	5,152,128	6,041,736	6,958,195	8,040,927	8,892,597
Dedicated Highway	2,451,075	2,264,035	2,126,185	1,952,995	1,759,375	1,327,415
Mental Health Services	1,080,725	925,930	774,235	647,760	544,810	451,265
SUNY Dorms	682,175	649,780	592,660	530,720	409,375	199,640
Health Income	219,805	202,235	183,775	164,365	144,000	122,655
LGAC	2,058,400	1,758,175	1,465,680	1,241,285	900,205	543,270
<b>Subtotal Revenue Bonds</b>	<u>42,013,880</u>	<u>43,142,590</u>	<u>47,398,923</u>	<u>50,603,574</u>	<u>53,256,637</u>	<u>54,709,546</u>
<b>SERVICE CONTRACT</b>	5,487,881	4,775,949	3,945,887	3,258,573	2,734,205	2,169,158
<b>TOTAL STATE-SUPPORTED</b>	<u>50,229,221</u>	<u>50,989,844</u>	<u>54,880,256</u>	<u>57,514,567</u>	<u>59,690,459</u>	<u>60,641,925</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	5,092,661	5,162,239	5,728,502	6,042,675	6,403,728	6,762,936
Education	16,764,095	17,375,712	18,793,936	19,853,032	20,709,686	21,256,168
Environment	2,333,337	2,412,049	2,696,742	2,918,888	3,112,201	3,202,221
Health & Mental Hygiene	4,247,970	4,423,154	4,983,988	5,338,459	5,681,385	5,997,276
State Facilities & Equipment	5,482,635	5,417,176	5,547,629	5,596,639	5,596,090	5,478,658
Transportation	14,250,123	14,441,339	15,663,779	16,523,590	17,287,163	17,401,395
LGAC	2,058,400	1,758,175	1,465,680	1,241,285	900,205	543,270
<b>TOTAL STATE-SUPPORTED</b>	<u>50,229,221</u>	<u>50,989,844</u>	<u>54,880,256</u>	<u>57,514,567</u>	<u>59,690,459</u>	<u>60,641,925</u>

**STATE DEBT OUTSTANDING**  
**FY 2016 THROUGH FY 2021**  
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>50,229,221</u>	<u>50,989,844</u>	<u>54,880,256</u>	<u>57,514,567</u>	<u>59,690,459</u>	<u>60,641,925</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contigent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	256,525	220,040	193,190	165,020	135,480	104,395
Tobacco Settlement Financing Corp.	1,377,635	659,865	0	0	0	0
<b>Moral Obligation</b>						
Housing Finance Agency	1,950	1,400	800	155	0	0
<b>State Guaranteed Debt</b>						
Job Development Authority	6,170	3,085	0	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	233,670	203,375	171,605	138,605	104,165	67,985
<b>SUBTOTAL OTHER STATE</b>	<u>1,875,950</u>	<u>1,087,765</u>	<u>365,595</u>	<u>303,780</u>	<u>239,645</u>	<u>172,381</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>52,105,171</u>	<u>52,077,609</u>	<u>55,245,851</u>	<u>57,818,347</u>	<u>59,930,104</u>	<u>60,814,306</u>

**STATE DEBT SERVICE**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2016 THROUGH FY 2021**  
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<b>GENERAL OBLIGATION BONDS</b>	412,788	381,000	397,257	421,554	450,355	464,092
<b>REVENUE BONDS</b>						
Personal Income Tax	2,698,930	2,734,027	3,355,595	3,835,088	4,303,432	4,678,705
Sales Tax	361,897	593,086	688,106	752,396	681,743	1,017,659
Dedicated Highway	502,940	251,147	280,658	292,901	521,982	201,818
Mental Health Services	202,445	204,917	194,105	161,575	132,268	117,834
Health Income	28,307	28,409	28,406	28,403	28,393	28,263
LGAC	389,550	370,003	288,614	394,349	394,193	261,378
<b>Subtotal Revenue Bonds</b>	<b>4,184,069</b>	<b>4,181,588</b>	<b>4,835,483</b>	<b>5,464,713</b>	<b>6,062,011</b>	<b>6,305,657</b>
<b>SERVICE CONTRACT</b>	982,352	490,093	1,009,510	870,887	705,509	674,591
<b>TOTAL STATE-SUPPORTED</b>	<b>5,579,209</b>	<b>5,052,682</b>	<b>6,242,250</b>	<b>6,757,154</b>	<b>7,217,875</b>	<b>7,444,340</b>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	750,969	730,375	942,828	1,053,653	992,186	962,468
Education	1,407,751	1,217,997	1,655,576	1,755,489	1,916,844	2,080,411
Environment	306,816	251,433	326,293	334,749	361,473	456,045
Health & Mental Hygiene	474,310	456,793	599,433	702,245	704,768	712,392
State Facilities & Equipment	603,183	567,569	647,889	664,558	701,492	777,561
Transportation	1,646,629	1,458,512	1,781,617	1,852,110	2,146,918	2,194,086
LGAC	389,550	370,003	288,614	394,349	394,193	261,378
<b>TOTAL STATE-SUPPORTED</b>	<b>5,579,209</b>	<b>5,052,682</b>	<b>6,242,250</b>	<b>6,757,154</b>	<b>7,217,875</b>	<b>7,444,340</b>

**STATE DEBT SERVICE**  
**FY 2016 THROUGH FY 2021**  
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>5,579,209</u>	<u>5,052,682</u>	<u>6,242,250</u>	<u>6,757,154</u>	<u>7,217,875</u>	<u>7,444,340</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	62,414	49,217	37,845	37,853	37,844	37,859
Tobacco Settlement Financing Corp.	417,981	768,365	676,288	0	0	0
<b>Moral Obligation</b>						
Housing Finance Agency	697	695	700	697	161	0
<b>State Guaranteed Debt</b>						
Job Development Authority	3,578	3,416	3,252	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	40,780	40,966	40,986	40,964	41,204	41,263
<b>SUBTOTAL OTHER STATE</b>	<u>525,450</u>	<u>862,660</u>	<u>759,071</u>	<u>79,513</u>	<u>79,209</u>	<u>79,122</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>6,104,659</u>	<u>5,915,342</u>	<u>7,001,321</u>	<u>6,836,667</u>	<u>7,297,085</u>	<u>7,523,463</u>



**STATE DEBT ISSUANCES**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2016 THROUGH FY 2021**  
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<b>GENERAL OBLIGATION BONDS</b>	-	608,689	728,476	380,796	315,131	334,993
<b>REVENUE BONDS</b>						
Personal Income Tax	2,194,475	2,490,602	5,705,407	4,823,236	4,548,791	4,141,118
Sales Tax	936,375	1,250,561	1,288,078	1,326,720	1,366,521	1,407,517
<b>Subtotal Revenue Bonds</b>	<u>3,130,850</u>	<u>3,741,163</u>	<u>6,993,484</u>	<u>6,149,955</u>	<u>5,915,313</u>	<u>5,548,635</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>3,130,850</u>	<u>4,349,852</u>	<u>7,721,960</u>	<u>6,530,751</u>	<u>6,230,444</u>	<u>5,883,628</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	347,880	707,898	1,256,677	1,062,819	1,013,946	957,505
Education	1,048,310	1,253,758	2,225,702	1,882,359	1,795,801	1,695,839
Environment	215,585	279,238	495,710	419,241	399,963	377,699
Health & Mental Hygiene	173,890	525,881	933,556	789,544	753,238	711,309
State Facilities & Equipment	343,775	283,653	503,547	425,868	406,285	383,670
Transportation	1,001,410	1,299,424	2,306,768	1,950,921	1,861,210	1,757,606
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>3,130,850</u>	<u>4,349,852</u>	<u>7,721,960</u>	<u>6,530,751</u>	<u>6,230,444</u>	<u>5,883,628</u>

**STATE DEBT RETIREMENTS**  
**SUMMARIZED BY FINANCING PROGRAM AND PROGRAM AREA**  
**FY 2016 THROUGH FY 2021**  
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<b>GENERAL OBLIGATION BONDS</b>	<u>290,290</u>	<u>264,845</u>	<u>264,335</u>	<u>263,821</u>	<u>267,935</u>	<u>271,388</u>
<b>REVENUE BONDS</b>						
Personal Income Tax	1,342,440	1,567,975	1,681,062	1,929,634	2,199,099	2,426,359
Sales Tax	203,485	352,453	398,469	410,261	283,790	555,847
Dedicated Highway	508,120	187,040	137,850	173,190	193,620	431,960
Mental Health Services	144,520	154,795	151,695	126,475	102,950	93,545
SUNY Dorms	53,160	32,395	29,835	25,810	21,935	19,095
Health Income	16,540	17,570	18,460	19,410	20,365	21,345
LGAC	286,640	300,225	292,495	224,395	341,080	356,935
<b>Subtotal Revenue Bonds</b>	<u>2,554,905</u>	<u>2,612,453</u>	<u>2,709,866</u>	<u>2,909,175</u>	<u>3,162,839</u>	<u>3,905,086</u>
<b>SERVICE CONTRACT</b>	<u>887,940</u>	<u>711,932</u>	<u>830,062</u>	<u>687,314</u>	<u>524,368</u>	<u>565,047</u>
<b>TOTAL STATE-SUPPORTED</b>	<u>3,733,135</u>	<u>3,589,230</u>	<u>3,804,263</u>	<u>3,860,310</u>	<u>3,955,142</u>	<u>4,741,522</u>
<b>BY PROGRAM AREA</b>						
Economic Development & Housing	587,279	638,320	690,414	748,645	652,893	598,298
Education	653,097	642,141	780,192	787,133	839,738	958,716
Environment	236,325	200,526	211,018	197,095	206,650	287,678
Health & Mental Hygiene	318,325	350,697	372,722	435,074	410,311	395,418
State Facilities & Equipment	354,951	349,112	373,094	376,858	406,835	501,101
Transportation	1,296,519	1,108,208	1,084,328	1,091,110	1,097,636	1,643,375
LGAC	286,640	300,225	292,495	224,395	341,080	356,935
<b>TOTAL STATE-SUPPORTED</b>	<u>3,733,135</u>	<u>3,589,230</u>	<u>3,804,263</u>	<u>3,860,310</u>	<u>3,955,142</u>	<u>4,741,522</u>

**STATE DEBT RETIREMENTS**  
**FY 2016 THROUGH FY 2021**  
(thousands of dollars)

	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>FY 2020</u>	<u>FY 2021</u>
<b>SUBTOTAL STATE-SUPPORTED</b>	<u>3,733,135</u>	<u>3,589,230</u>	<u>3,804,263</u>	<u>3,860,310</u>	<u>3,955,142</u>	<u>4,741,522</u>
<b>OTHER STATE DEBT OBLIGATIONS</b>						
<b>Contingent Contractual</b>						
DASNY/MCFFA Secured Hospitals Program	47,570	36,485	26,850	28,170	29,540	31,085
Tobacco Settlement Financing Corp.	367,260	717,770	659,865	0	0	0
<b>Moral Obligation</b>						
Housing Finance Agency	510	550	600	645	155	0
<b>State Guaranteed Debt</b>						
Job Development Authority	3,085	3,085	3,085	0	0	0
<b>Other</b>						
MBBA Prior Year School Aid Claims	28,980	30,295	31,770	33,000	34,440	36,180
<b>SUBTOTAL OTHER STATE</b>	<u>447,405</u>	<u>788,185</u>	<u>722,170</u>	<u>61,815</u>	<u>64,135</u>	<u>67,266</u>
<b>GRAND TOTAL STATE-RELATED</b>	<u>4,180,540</u>	<u>4,377,415</u>	<u>4,526,433</u>	<u>3,922,125</u>	<u>4,019,277</u>	<u>4,808,788</u>

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC				APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION	
10000-10049	001	Local Assistance Account	General	
10050-10099	003	State Operations Account	General	
10100-10149	004	Tax Stabilization Reserve Account	General	
10150-10199	005	Contingency Reserve Fund	General	
10200-10249	006	Universal Pre-Kindergarten Reserve	General	
10250-10299	007	Community Projects Fund	General	
10300-10349	008	Rainy Day Reserve Fund	General	
10400-10449	017	Refund Reserve Account	General	
10450-10499	100	General Fund	General	
10500-10549	166	Fringe Benefit Escrow Account	General	
10550-10599	348	Tobacco Revenue Guarantee Fund	General	
20000-20099	019	Mental Health Gifts and Donations Fund	Special Revenue	
20100-20299	020	Combined Expendable Trust Fund	Special Revenue	
20300-20349	023	New York Interest on Lawyer Account Fund	Special Revenue	
20350-20399	024	NYS Archives Partnership Trust Fund	Special Revenue	
20400-20449	025	Child Performer's Protection Fund	Special Revenue	
20450-20499	050	Tuition Reimbursement Fund	Special Revenue	
20500-20549	052	NYS Local Government Records Management Improvement Fund	Special Revenue	
20550-20599	053	School Tax Relief Fund	Special Revenue	
20600-20649	054	Charter Schools Stimulus Fund	Special Revenue	
20650-20699	055	Not-For-Profit Short-Term Revolving Loan Fund	Special Revenue	
20800-20849	061	Health Care Reform Act (HCRA) Resources Fund	Special Revenue	
20850-20899	073	Dedicated Mass Transportation Trust Fund	Special Revenue	
20900-20949	160	State Lottery Fund	Special Revenue	
20950-20999	221	Combined Student Loan Fund	Special Revenue	
21000-21049	300	Sewage Treatment Program Management and Administration Fund	Special Revenue	
21050-21149	301	Environmental Conservation Special Revenue Fund	Special Revenue	
21150-21199	302	Conservation Fund	Special Revenue	
21200-21249	303	Environmental Protection and Oil Spill Compensation Fund	Special Revenue	
21250-21299	305	Training and Education Program on Occupational Safety and Health Fund	Special Revenue	
21300-21349	306	Lawyers' Fund For Client Protection	Special Revenue	
21350-21399	307	Equipment Loan Fund for the Disabled	Special Revenue	
21400-21449	313	Mass Transportation Operating Assistance Fund	Special Revenue	
21450-21499	314	Clean Air Fund	Special Revenue	
21500-21549	318	New York State Infrastructure Trust Fund	Special Revenue	
21550-21599	321	Legislative Computer Services Fund	Special Revenue	
21600-21649	328	Biodiversity Stewardship and Research Fund	Special Revenue	
21650-21699	332	Combined Non-Expendable Trust Fund	Special Revenue	
21700-21749	333	Winter Sports Education Trust Fund	Special Revenue	
21750-21799	335	Musical Instrument Revolving Fund	Special Revenue	
21850-21899	338	Arts Capital Revolving Fund	Special Revenue	
21900-22499	339	Miscellaneous Special Revenue Account	Special Revenue	
22500-22549	340	Court Facilities Incentive Aid Fund	Special Revenue	
22550-22599	341	Employment Training Fund	Special Revenue	
22600-22649	342	Homeless Housing and Assistance Fund	Special Revenue	
22650-22699	345	State University Income Fund	Special Revenue	
22700-22749	346	Chemical Dependence Service Fund	Special Revenue	
22750-22799	349	Lake George Park Trust Fund	Special Revenue	
22800-22849	354	State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention Fund	Special Revenue	
22850-22899	355	New York Great Lakes Protection Fund	Special Revenue	
22900-22949	359	Federal Revenue Maximization Fund	Special Revenue	
22950-22999	360	Housing Development Fund	Special Revenue	
23000-23049	362	NYS DOT Highway Safety Program Fund	Special Revenue	
23050-23099	365	Vocational Rehabilitation Fund	Special Revenue	
23100-23149	366	Drinking Water Program Management and Administration Fund	Special Revenue	
23150-23199	368	New York City County Clerks' Operations Offset Fund	Special Revenue	
23200-23249	369	Judiciary Data Processing Offset Fund	Special Revenue	
23250-23449	377	IFR/City University Tuition Fund	Special Revenue	
23500-23549	385	US Olympic Committee/Lake Placid Olympic Training Fund	Special Revenue	
23550-23599	390	Indigent Legal Services Fund	Special Revenue	

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
23600-23649	482	Unemployment Insurance Interest and Penalty Fund	Special Revenue
23650-23699	225	Metropolitan Transportation Authority (MTA) Financial Assistance Fund	Special Revenue
23700-23750		New York State Commercial Gaming Fund	Special Revenue
25000-25099	261	Federal USDA-Food and Nutrition Services Fund	Special Revenue
25100-25199	265	Federal Health and Human Services Fund	Special Revenue
25200-25249	267	Federal Education Fund	Special Revenue
25250-25299	269	Federal Block Grants Fund	Special Revenue
25300-25899	290	Federal Miscellaneous Operating Grants Fund	Special Revenue
25900-25949	480	Federal Unemployment Insurance Administration Fund	Special Revenue
25950-25999	484	Federal Unemployment Insurance Occupational Training Fund	Special Revenue
26000-26049	486	Federal Emergency Employment Act Fund	Special Revenue
30000-30049	002	State Capital Projects Fund	Capital Projects
30050-30099	072	Dedicated Highway and Bridge Trust Fund	Capital Projects
30100-30299	074	SUNY Residence Halls Rehabilitation and Repair Fund	Capital Projects
30300-30349	075	NYS Canal System Development Fund	Capital Projects
30350-30399	076	State Park Infrastructure Fund	Capital Projects
30400-30449	077	Passenger Facility Charge Fund	Capital Projects
30450-30499	078	Environmental Protection Fund	Capital Projects
30500-30549	079	Clean Water/Clean Air Implementation Fund	Capital Projects
30600-30609	101	Energy Conservation Thru Improved Transportation Bond Fund	Capital Projects
30610-30619	103	Park and Recreation Land Acquisition Bond Fund	Capital Projects
30620-30629	105	Pure Waters Bond Fund	Capital Projects
30630-30639	109	Transportation Capital Facilities Bond Fund	Capital Projects
30640-30649	115	Environmental Quality Protection Fund	Capital Projects
	118	Rail Preservation and Development Bond Fund	Capital Projects
30650-30659	121	Rebuild and Renew New York Transportation Bond Fund	Capital Projects
30660-30669	123	Transportation Infrastructure Renewal Bond Fund	Capital Projects
30670-30679	124	Environmental Quality Bond Act Fund	Capital Projects
30680-30689	126	Accelerated Capacity and Transportation Improvements Bond Fund	Capital Projects
30690-30699	127	Clean Water/Clean Air Bond Fund	Capital Projects
30700-30749	119	State Housing Bond Fund	Capital Projects
30750-30799	106	Outdoor Recreation Development Bond Fund	Capital Projects
31350-31449	291	Federal Capital Projects Fund	Capital Projects
31450-31499	310	Forest Preserve Expansion Fund	Capital Projects
31500-31549	312	Hazardous Waste Remedial Fund	Capital Projects
31650-31699	327	Suburban Transportation Fund	Capital Projects
31700-31749	357	Division for Youth Facilities Improvement Fund	Capital Projects
31800-31849	374	Housing Assistance Fund	Capital Projects
31850-31899	376	Housing Program Fund	Capital Projects
31900-31949	378	Natural Resource Damages Fund	Capital Projects
31950-31999	380	Department of Transportation Engineering Services Fund	Capital Projects
32200-32249	387	Miscellaneous Capital Projects Fund	Capital Projects
32250-32299	388	City University of New York Capital Projects Fund	Capital Projects
32300-32349	389	Mental Hygiene Facilities Capital Improvement Fund	Capital Projects
32350-32399	399	Correctional Facilities Capital Improvement Fund	Capital Projects
32400-32999	384	State University Capital Projects Fund	Capital Projects
33000-33049		New York State Storm Recovery Capital Fund	Capital Projects
40000-40049	064	Debt Reduction Reserve Fund	Debt Service
40100-40149	304	Mental Health Services Fund	Debt Service
40150-40199	311	General Debt Service Fund	Debt Service
40250-40299	316	Housing Debt Fund	Debt Service
40300-40349	319	Department of Health Income Fund	Debt Service
40350-40399	330	State University Dormitory Income Fund	Debt Service
40400-40449	361	Clean Water/Clean Air Fund	Debt Service
40450-40499	364	Local Government Assistance Tax Fund	Debt Service
50000-50049	324	Youth Commissary Account	Enterprise
50050-50099	325	State Exposition Special Account	Enterprise
50100-50299	326	Correctional Services Commissary Account	Enterprise
50300-50399	331	Agencies Enterprise Fund	Enterprise
50400-50449	351	Office of Mental Health Sheltered Workshop Fund	Enterprise
50450-50499	352	Office for Persons with Developmental Disabilities Sheltered Workshop Fund	Enterprise

STATE OF NEW YORK LIST OF JOINT CUSTODY FUNDS - CLASSIFIED BY OSC			APPENDIX
SFS FUND RANGE	CAS FUND NUMBER	FUND NAME	FUND CLASSIFICATION
50500-50599	353	Mental Hygiene Community Stores Account	Enterprise
50650-50699	481	Unemployment Insurance Benefit Fund	Enterprise
55000-55049	323	Centralized Services Account	Internal Service
55050-55099	334	Agencies Internal Service Account	Internal Service
55100-55149	343	Mental Hygiene Revolving Account	Internal Service
55150-55199	347	Youth Vocational Education Account	Internal Service
55200-55249	394	Joint Labor/Management Administration Account	Internal Service
55250-55299	395	Audit and Control Revolving Account	Internal Service
55300-55349	396	Health Insurance Revolving Account	Internal Service
55350-55399	397	Correctional Industries Revolving Account	Internal Service
60050-60149	130	School Capital Facilities Financing Reserve Fund	Agency
60150-60199	135 136 137	Child Performer's Holding Fund	Agency
60200-60249	152	Employees Health Insurance Fund	Agency
60250-60299	153	Social Security Contribution Fund	Agency
60300-60399	154	Payroll Deduction Escrow Fund	Agency
60400-60449	162	Employees Dental Insurance Fund	Agency
60450-60499	163	Management Confidential Group Insurance Fund	Agency
60500-60549	165	Lottery Prize Fund	Agency
60550-60599	167	Health Insurance Reserve Receipts Fund	Agency
60600-60799	169	Miscellaneous NYS Agency Fund	Agency
60800-60849	175	EPIC Escrow Fund	Agency
60850-60899	176	CUNY Senior College Operating Fund	Agency
60900-60949	179	MMIS Statewide Escrow Fund	Agency
60950-60999	309	Special Education Fund	Agency
61000-61099	344	State University New York Revenue Collection Fund	Agency
61100-61999	382	State University Federal Direct Lending Program Fund	Agency
65000-65049	400	Common Retirement Fund	Pension Trust
66000-66049	021	Agriculture Producers' Security Fund	Private Purpose Trust
66050-66099	022	Milk Producers' Security Fund	Private Purpose Trust

# STATE OF NEW YORK FUND STRUCTURE

