

**NEW YORK STATE**  
**ENACTED BUDGET**  
**FINANCIAL PLAN FOR FISCAL YEAR 2013**

**PROJECTIONS FOR FY 2013 THROUGH FY 2016**



**ANDREW M. CUOMO, GOVERNOR**  
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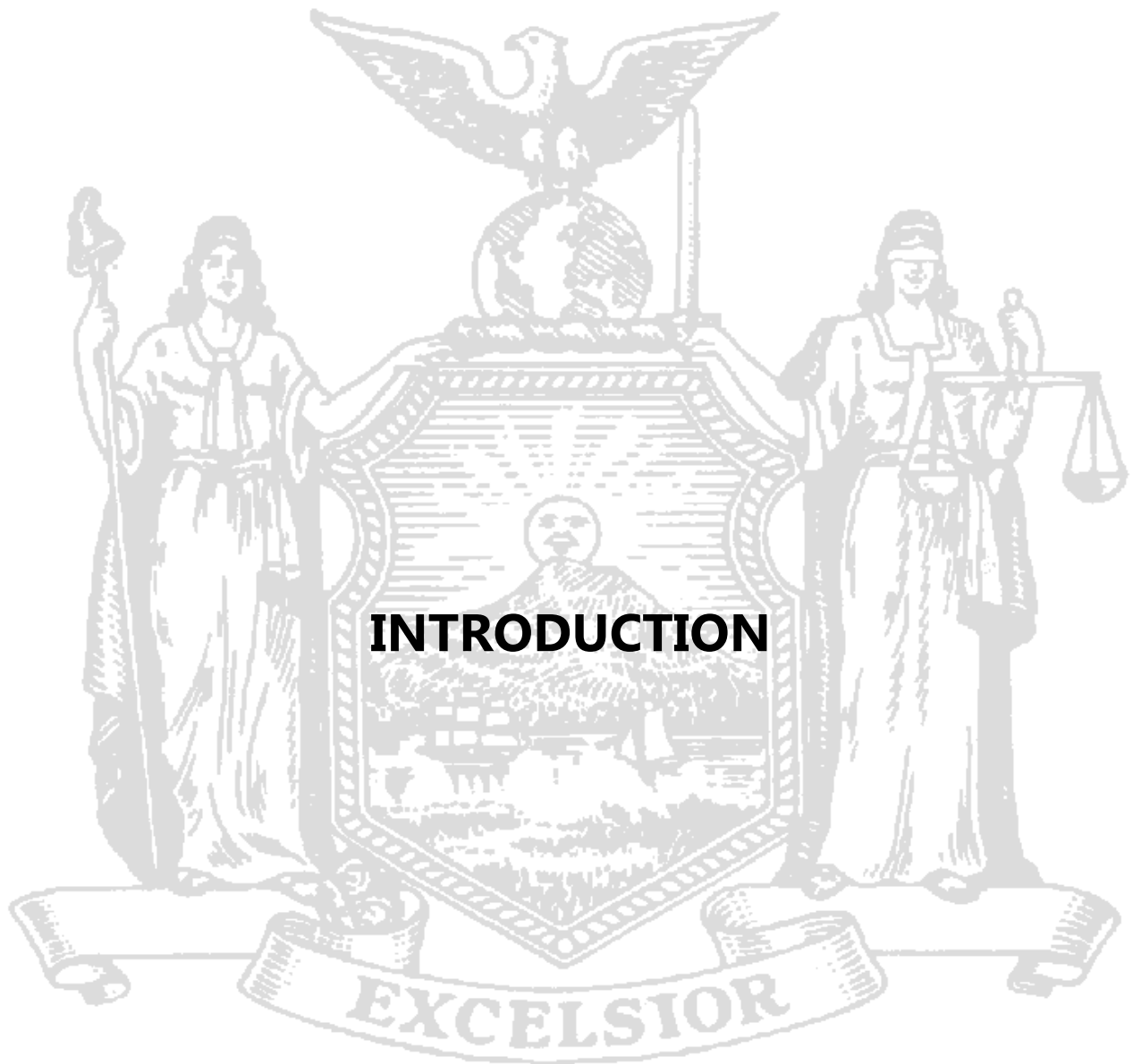
**APRIL 2012**



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**INTRODUCTION**



# INTRODUCTION

This Enacted Budget Financial Plan (the “Enacted Budget Financial Plan” or the “Current Financial Plan”) summarizes the State of New York’s official Financial Plan projections for FYs 2013 through 2016. The State’s FY 2013 began on April 1, 2012 and ends on March 31, 2013. The Financial Plan projections reflect the impact of the Enacted Budget for FY 2013. DOB<sup>1</sup> expects to update the multi-year projections quarterly.

The State’s General Fund — the fund that receives the majority of State taxes and all income not earmarked for a particular program or activity — is required to be balanced on a cash basis of accounting. The State Constitution and State Finance Law do not define budget balance. In practice, the General Fund is considered balanced on a cash basis of accounting if sufficient resources are expected to be available during the fiscal year for the State to (a) make all required payments, including PIT refunds, without the issuance of deficit notes or bonds, and (b) restore the balances in the Tax Stabilization Reserve and Rainy Day Reserve to levels at or above the levels on deposit when the fiscal year began.

The General Fund is typically the financing source of last resort for the State’s other major funds, including HCRA funds, the DHBTF, the STAR Fund, and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds. Since the General Fund is the fund that is required to be balanced, the focus of the State’s budget discussion is often weighted toward the General Fund.

The State accounts for receipts and disbursements by the fund in which the activity takes place (such as the General Fund), and the broad category or purpose of that activity (such as State Operations). The Financial Plan tables sort State projections and results by fund and category. The State also reports disbursements and receipts activity by two other broad measures: State Operating Funds, which includes the General Fund and funds specified for dedicated purposes, but excludes Federal Funds and Capital Projects Funds; and All Governmental Funds (“All Funds”), which includes both State and Federal Funds and provides the most comprehensive view of the financial operations of the State.

Fund types of the State include: the General Fund; State Special Revenue Funds, which receive certain dedicated taxes, fees and other revenues that are used for a specified purpose; Federal Special Revenue Funds, which receive certain Federal grants; Capital Projects Funds, which account for costs incurred in the construction, rehabilitation, and related expenses of infrastructure projects; and Debt Service Funds, which account for the payment of principal, interest, and related expenses for debt issued by the State and its public authorities.

The factors affecting the State's financial condition are complex. This Enacted Budget Financial Plan contains forecasts, projections, and estimates that are based on expectations and assumptions which existed at the time they were prepared. Since many factors may materially affect fiscal and economic conditions in the State, the inclusion in this Enacted Budget Financial

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<sup>1</sup> Please see “Glossary of Acronyms” at the end of this document for the definitions of commonly used acronyms and abbreviations that appear in the text.

## **INTRODUCTION**

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Plan of forecasts, projections, and estimates should not be regarded as a representation that such forecasts, projections, and estimates will occur. Forecasts, projections, and estimates are not intended as representations of fact or guarantees of results. The words “expects,” “forecasts,” “projects,” “intends,” “anticipates,” “estimates,” and analogous expressions are intended to identify forward-looking statements in the Enacted Budget Financial Plan. Any such statements inherently are subject to a variety of risks and uncertainties that could cause actual results to differ materially and adversely from those projected. Such risks and uncertainties include, among others, general economic and business conditions, changes in political, social and economic conditions, political, legal, or administrative impediments to the implementation of gap-closing actions, regulatory initiatives and compliance with governmental regulations, litigation and various other events, conditions and circumstances, many of which are beyond the control of the State. These forward-looking statements speak only as of the date they were prepared.





**FINANCIAL PLAN OVERVIEW**



# FINANCIAL PLAN OVERVIEW

## SPENDING MEASURES AT-A-GLANCE

TOTAL DISBURSEMENTS (millions of dollars)				
	FY 2012 Results	FY 2013 Enacted	Annual \$ Change	Annual % Change
<b>State Operating Funds</b>	<b>87,181</b>	<b>88,919</b>	<b>1,738</b>	<b>2.0%</b>
General Fund (excluding transfers)	50,633	51,784	1,151	2.3%
Other State Funds	30,639	31,024	385	1.3%
Debt Service Funds	5,909	6,111	202	3.4%
<b>All Governmental Funds</b>	<b>133,504</b>	<b>133,393</b>	<b>(111)</b>	<b>-0.1%</b>
State Operating Funds	87,181	88,919	1,738	2.0%
Capital Projects Funds	7,836	7,971	135	1.7%
Federal Operating Funds	38,487	36,503	(1,984)	-5.2%
<b>General Fund with Transfers</b>	<b>56,489</b>	<b>58,868</b>	<b>2,379</b>	<b>4.2%</b>
<b>State Funds</b>	<b>93,193</b>	<b>95,039</b>	<b>1,846</b>	<b>2.0%</b>

## RECEIPTS AT-A-GLANCE

TOTAL RECEIPTS (millions of dollars)				
	FY 2012 Results	FY 2013 Enacted	Annual \$ Change	Annual % Change
<b>Total Receipts</b>	<b>132,745</b>	<b>133,272</b>	<b>527</b>	<b>0.4%</b>
Taxes	64,297	66,370	2,073	3.2%
Miscellaneous Receipts	23,837	24,269	432	1.8%
Federal Grants	44,611	42,633	(1,978)	-4.4%

## ECONOMIC INDICATORS AT-A-GLANCE

NEW YORK STATE ECONOMIC INDICATORS (millions of dollars)		
	2012	2013
SFY Inflation (CPI)	3.3%	2.2%
Personal Income	3.4%	4.3%
Wages	3.1%	5.1%
Nonagricultural Employment	1.1%	1.0%

Source: Moody's Analytics; NYS Department of Labor; and DOB.

# FINANCIAL PLAN OVERVIEW

## OTHER KEY FINANCIAL MEASURES AT-A-GLANCE

<b>OTHER FINANCIAL PLAN MEASURES</b> (millions of dollars)		
	<u>FY 2012</u>	<u>FY 2013</u>
<b>Total General Fund Reserves</b>	<u>\$1,787</u>	<u>\$1,819</u>
Rainy Day Reserves	\$1,306	\$1,306
All Other Reserves	\$481	\$513
<b>State Workforce (Subject to Direct Executive Control)<sup>1</sup></b>	119,579	121,841
<b>Debt</b>		
State Related Debt Outstanding	\$56,375	\$57,737
Debt Service as % All Funds Receipts	4.9%	5.0%
<b>All Governmental Funds Spending</b>	<u>\$133,504</u>	<u>\$133,393</u>
Medicaid (State Share)	\$20,892	\$21,826
School Aid (SFY)	\$19,662	\$20,039
All Other	\$16,719	\$16,913
Agency Operations	\$24,044	\$24,077
Debt Service	\$5,864	\$6,064
Capital Projects (State Funded)	\$6,012	\$6,120
Federal Funds	\$40,311	\$38,354
<b>All Funds (Including "Off-Budget" Capital<sup>2</sup>)</b>	\$134,979	\$135,083
<b>Current Budget Gaps (After Enacted Actions)</b>		
FY 2012	\$0	n/a
FY 2013	(\$2,379)	0
FY 2014	(\$2,836)	(\$950)
FY 2015	(\$4,605)	(\$3,415)
FY 2016	n/a	(\$4,130)
<b>Budget Gaps (Before Actions)</b>		
FY 2012	(\$10,001)	n/a
FY 2013	(\$14,945)	(\$3,500)
FY 2014	(\$17,429)	(\$3,624)
FY 2015	(\$20,903)	(\$5,044)
FY 2016	n/a	(\$4,246)
<sup>1</sup> FY 2012 results reflect actuals as of February 29, 2012.		
<sup>2</sup> "Off-Budget" Capital reflects spending that is financed directly from bond proceeds and not recorded in the State's accounting system (\$1.48 billion in FY 2012 and \$1.69 billion in FY 2013).		

## SUMMARY

### FISCAL YEAR 2012 (ENDED MARCH 31, 2012)

The State ended FY 2012 in balance on a cash basis in the General Fund, based on preliminary, unaudited results. Receipts, including transfers from other funds, totaled \$56.9 billion. Disbursements, including transfers to other funds, totaled \$56.5 billion. The General Fund ended FY 2012 with a balance of \$1.79 billion, an increase of \$411 million from FY 2011 results. The closing balance consisted of \$1.1 billion in the Tax Stabilization Reserve, \$175 million in the Rainy Day Reserve, \$102 million in the Community Projects Fund, \$21 million in the Contingency Reserve, \$75 million in an undesignated fund balance, and \$283 million reserved for potential retroactive labor settlements. The State made a \$100 million deposit to the Tax Stabilization Reserve at the close of the fiscal year, the first deposit to “rainy day” reserves since FY 2008.

Receipts for FY 2012 fell \$314 million below the last public plan.<sup>2</sup> Tax receipts were \$182 million lower than expected, with stronger than anticipated PIT collections (\$166 million) more than offset by lower receipts from user taxes (\$114 million), business taxes (\$108 million), and other taxes (\$126 million). Other sources of General Fund receipts (including transfers of balances from other funds of the State, miscellaneous receipts, and Federal grants) were \$132 million below planned levels. This was due in part to the timing of receipts related to legal settlements (\$75 million) and other transactions that did not occur at the levels expected in the Financial Plan. All planned tax refunds were made according to schedule.

Disbursements were \$426 million lower than estimated in the last public plan. Disbursements for local assistance, agency operations, and debt service were below planned levels, reflecting the continuing impact of cost control measures imposed on discretionary spending, the conservative estimation of General Fund costs, and routine forecasting variances. The last public plan assumed that the State would pre-pay in FY 2012 certain debt service and pension costs (totaling approximately \$235 million) that were due in FY 2013. These pre-payments occurred as planned.

See “Fiscal Year 2012 Preliminary Results” herein for more information.

### FISCAL YEAR 2013 (ENDING MARCH 31, 2013)

#### BUDGET GAPS BEFORE BUDGET ADOPTION (“BASE” OR “CURRENT SERVICES” GAPS)

The State faced a projected budget gap of \$3.5 billion for FY 2013. The budget gaps in future years were projected at \$3.6 billion in FY 2014, \$5.0 billion in FY 2015, and \$4.2 billion in FY 2016. Budget gaps represent the difference between (a) the projected General Fund disbursements, including transfers to other funds, needed to maintain anticipated service levels

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<sup>2</sup> “FY 2013 Executive Budget Financial Plan Updated for Governor’s Amendments,” February 17, 2012.

## FINANCIAL PLAN OVERVIEW

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and specific commitments, and (b) the expected level of resources to pay for them.<sup>3</sup> The gap estimates are based on a number of assumptions and projections developed by the DOB in consultation with other State agencies. The General Fund is typically the financing source of last resort for the State's other major funds, including HCRA, DHBTF, the STAR Fund, and the Lottery Fund. Therefore, the General Fund projections account for any estimated funding shortfalls in these funds.

### EXECUTIVE BUDGET PROPOSAL

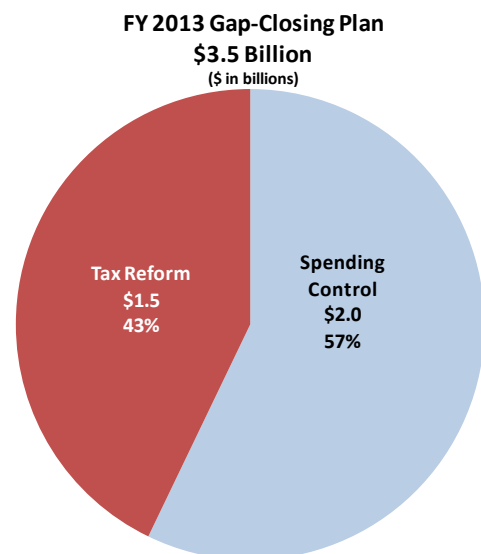
The Governor submitted his Executive Budget proposal for FY 2013 on January 17, 2012, and amendments on February 7 and February 16, 2012, as permitted by law. On February 17, 2012, the DOB issued the Executive Budget Financial Plan, as amended, which included the projections for FYs 2012 through 2016 that reflected the estimated impact of the Governor's Executive Budget proposal.

The Governor's Executive Budget proposed measures (the "gap-closing plan") to eliminate the General Fund budget gap of \$3.5 billion in FY 2013, and to reduce the future projected budget gaps to \$715 million in FY 2014, \$3.0 billion in FY 2015, and \$3.7 billion in FY 2016. The Executive Budget gap-closing plan consisted of \$2.0 billion in savings from spending control and \$1.5 billion in new resources from tax reform approved in December 2011.

### ENACTED BUDGET

On March 27, 2012, the Governor and legislative leaders announced agreement on a budget for FY 2013. On March 30, 2012, the Legislature completed action on the appropriations and accompanying legislation needed to complete the budget. Consistent with past practice, the Legislature enacted the annual debt service appropriations without amendment in advance of the other appropriations (the debt service appropriations were passed on March 20, 2012). The Governor completed his review of all budget bills, including the veto of certain line items which had no material impact to the Financial Plan, in early April.

The gap-closing plan authorized in the Enacted Budget does not differ significantly from the Executive Budget proposal. DOB estimates that the Enacted Budget gap-closing plan eliminates the General Fund budget gap of \$3.5 billion in FY 2013, and leaves budget gaps of approximately \$950 million in FY 2014, \$3.4 billion in FY 2015, and \$4.1 billion in FY 2016. The authorized gap-closing plan consists of approximately \$2 billion in savings from spending control and \$1.5 billion in net new resources from tax reform approved in



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<sup>3</sup>Typically referred to as the "current services" or "base" gaps.

## FINANCIAL PLAN OVERVIEW

December 2011. (See “Explanation of Enacted Budget Gap-Closing Plan” herein.)

The budget gap of \$950 million projected in FY 2014 is equal to approximately 1.5 percent of projected General Fund receipts. By comparison, the gap closed in FY 2012 equaled nearly 18 percent of expected receipts. The sum of the projected gaps over the four-year plan period is approximately \$8.5 billion -- or about 15 percent lower than the one-year gap that needed to be closed in FY 2012.

The following table summarizes the multi-year impact of the gap-closing plan.

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS SUMMARY OF CHANGES FROM EXECUTIVE THROUGH ENACTED BUDGET (millions of dollars)				
	FY 2013	FY 2014	FY 2015	FY 2016
<b>CURRENT SERVICES GAP ESTIMATES</b>	<b>(3,500)</b>	<b>(3,624)</b>	<b>(5,044)</b>	<b>(4,246)</b>
<b>FY 2013 Enacted Budget Actions</b>	<b>3,500</b>	<b>2,674</b>	<b>1,629</b>	<b>116</b>
<b>Spending Control</b>	1,954	1,207	1,183	1,000
Agency Operations	1,282	1,058	779	791
Local Assistance	777	471	728	609
Debt Management	190	40	40	40
Initiatives/Investments	(295)	(362)	(364)	(440)
<b>Tax Reform</b>	1,546	1,697	1,028	(178)
Tax Reform (Gross Impact)	1,931	2,034	1,335	79
MTA Payroll Tax Small Business Relief	(250)	(250)	(250)	(250)
Tax Credits/Other Initiatives	(135)	(87)	(57)	(7)
<b>Other Changes</b>	0	(230)	(582)	(706)
TANF Child Care Replacement	(71)	(215)	(215)	(215)
Mental Hygiene System Funding	0	(100)	(200)	(300)
Tax Receipts Forecast	(106)	(40)	(140)	(140)
All Other	177	125	(27)	(51)
<b>ENACTED BUDGET SURPLUS/(GAPS)</b>	<b>0</b>	<b>(950)</b>	<b>(3,415)</b>	<b>(4,130)</b>

### SPENDING CONTROL

The Enacted Budget gap-closing plan reflects \$2 billion in savings from the following:

- Savings from State agency operations are expected to total \$1.3 billion. Savings are expected to be achieved through building on redesign and cost-control efforts initiated in FY 2012. These include further reductions in State agency operations through attrition and strict controls on hiring; enterprise-wide consolidation of procurement, information technology, real estate, and workforce management functions; and a range of operational measures to improve efficiency. The total cost of State Operating Funds agency operations, which consist of personal service, fringe benefit, and non-personal service costs, is estimated at \$24.1 billion in FY 2013, virtually unchanged from FY 2012 results.
- Savings actions in local assistance total \$777 million. The most significant action repeals the automatic “cost-of-living” increases and trend factors in FY 2013 for all human

## **FINANCIAL PLAN OVERVIEW**

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service providers. Other savings consist primarily of the continuation of programmatic cost controls. Disbursements for State Operating Funds local assistance are projected to total \$58.8 billion in FY 2013, an annual increase of 2.6 percent.

- The Enacted Budget honors the two-year commitment made in FY 2012 to fund School Aid (on a school year basis) at a level consistent with the growth in NYS personal income, and Medicaid at the long-term average of the medical component of the CPI. In FY 2013, State funding for both programs is expected to increase by approximately 4 percent from their 2012 levels.
- In FY 2012, the State paid \$135 million in debt service that was due in FY 2013. This had the effect of lowering the gap in FY 2013 by the amount of the prepayment. Additional savings are expected across the plan period through a range of debt management actions, including refundings that meet DOB's savings criteria.
- The Enacted Budget includes significant mandate relief, including a three-year phased-in State takeover of the full cost of annual growth in the Medicaid program, and responsibilities for Medicaid administration over a period of five years.

### **TAX REFORM**

The tax reform legislation approved in December 2011 will generate an estimated \$1.5 billion in net resources to help close the FY 2013 budget gap. The tax code changes are expected to provide approximately \$1.9 billion in additional receipts in FY 2013 on a gross basis. Of this amount, approximately \$250 million will be used to mitigate the impact of the law changes on the MTA, and \$135 million will be used for tax credits and employment initiatives. The tax reform measures are intended to enhance fairness in the tax system and are expected to provide \$900 million in savings for middle class New Yorkers and small business.

### **OTHER CHANGES**

The Financial Plan provides sufficient resources in FY 2013 to cover essential new costs. These costs include funding to maintain child care slots that would otherwise be lost due to a reduction in Federal aid and service levels in the Mental Hygiene System, starting in FY 2014, as Federal aid declines due, in part, to revised census and rate projections. In addition, the Enacted Budget Financial Plan assumes the State will increase its annual pension contribution, starting in FY 2014, above the minimum level required under the amortization legislation,<sup>4</sup> thereby lowering long-term interest costs. In FY 2013, these costs are expected to be fully offset by other savings. In addition, DOB has marginally reduced its forecast for tax receipts over the plan period. This reflects the impact of year-end results for FY 2012 and input from the consensus revenue forecasting process that took place in March 2012.

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<sup>4</sup> Pension amortization legislation (chapter 57 of 2010).



### SUMMARY OF CHANGES TO EXECUTIVE BUDGET PROPOSAL

During negotiations, the Executive and Legislature agreed to approximately \$328 million in spending restorations and additions to the Executive Budget proposal for FY 2013. Restorations are costs from the rejection of certain savings proposals contained in the Executive Budget. Additions represent distinct new spending added to the Executive Budget proposal by the Legislature. Certain law changes proposed in the Executive Budget to preserve revenue were also not included in the final budget, at a cost of approximately \$18 million in FY 2013.

Costs unrelated to the budget process itself include a downward reestimate to the tax receipts forecast as discussed above and an estimated decline in provider assessment revenue available to offset General Fund Medicaid costs.

Available resources have been identified that cover the cost of the budget agreement and new costs in FY 2013. These resources include revisions resulting from a review of FY 2012 results and other information, as well as agreement between the Executive and Legislature to reduce spending for certain programs and activities. New resources include the use of \$62 million in undesignated fund balance carried in from FY 2012; excess reserves available from the Mortgage Insurance Fund, a portion of which will be used to finance one-time capital expenses in FY 2013 and the remainder used to reduce the FY 2014 budget gap; and additional lottery receipts that are expected from the removal of Quick Draw food restrictions. In addition, lower spending is projected in several areas, including STAR, debt service, children and family services, economic development grants, and employee health insurance, based on a review of FY 2012 results and other information.

The following table summarizes the changes to the Executive Budget Financial Plan.

# FINANCIAL PLAN OVERVIEW

GENERAL FUND BUDGETARY BASIS SURPLUS/(GAP) PROJECTIONS				
SUMMARY OF CHANGES FROM EXECUTIVE BUDGET TO ENACTED BUDGET				
(millions of dollars)				
	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>
<b>EXECUTIVE BUDGET PROPOSAL</b>	<b>0</b>	<b>(715)</b>	<b>(2,974)</b>	<b>(3,721)</b>
<b>Spending Additions/Restorations</b>	<b>(328)</b>	<b>(313)</b>	<b>(281)</b>	<b>(281)</b>
Education/Arts	(114)	(122)	(120)	(121)
Higher Education	(62)	(78)	(78)	(78)
Economic Development Capital/Local	(52)	(20)	0	0
Health Care	(42)	(42)	(32)	(32)
Human Services/Labor	(14)	(13)	0	0
Environment/Housing	(12)	(15)	(15)	(15)
Mental Hygiene	(8)	(5)	(5)	(5)
Transportation	(2)	(12)	(24)	(22)
All Other	(22)	(6)	(7)	(8)
<b>Revenue Additions/Restorations</b>	<b>(18)</b>	<b>(45)</b>	<b>(62)</b>	<b>(33)</b>
<b>Other Changes</b>	<b>(143)</b>	<b>(132)</b>	<b>(177)</b>	<b>(177)</b>
Tax Receipts Forecast	(106)	(40)	(140)	(140)
HCRA Receipts Forecast	(37)	(37)	(37)	(37)
HEAL NY Capital (Timing)	0	(55)	0	0
<b>Available Resources</b>	<b>489</b>	<b>255</b>	<b>79</b>	<b>82</b>
Legal Settlements	75	50	0	0
FY 2012 Undesignated Fund Balance	62	0	0	0
STAR Reestimate	50	50	50	50
Debt Service Reestimate	55	40	40	40
Fringe Benefits Reestimate	45	5	5	5
Mortgage Insurance Fund Excess Reserve	40	35	0	0
All Other Reestimates	162	75	(16)	(13)
<b>ENACTED BUDGET PROJECTIONS</b>	<b>0</b>	<b>(950)</b>	<b>(3,415)</b>	<b>(4,130)</b>

**SOURCES OF SPENDING GROWTH**

DOB estimates that State Operating Funds spending will total \$88.9 billion in FY 2013, an increase of \$1.7 billion (2.0 percent) from the FY 2012 results. All Governmental Funds spending is projected to total \$133.4 billion, a decrease of \$111 million (-0.1 percent) from the prior year. All Funds spending in FY 2013 is expected to be \$1.4 billion (-1.1 percent) lower compared to FY 2011, the most recent budget enacted before Governor Cuomo took office.

<b>STATE SPENDING MEASURES</b>				
(millions of dollars)				
	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>Annual Change</b>	
			<b>Dollar</b>	<b>Percent</b>
<b>State Operating Funds:</b>				
<b>Local Assistance</b>	<b>57,267</b>	<b>58,773</b>	<b>1,506</b>	<b>2.6%</b>
School Aid	19,662	20,039	377	1.9%
Medicaid (DOH)	15,297	15,860	563	3.7%
Transportation	4,230	4,378	148	3.5%
Mental Hygiene	3,756	3,640	(116)	-3.1%
STAR	3,233	3,276	43	1.3%
Social Services	3,017	3,031	14	0.5%
Higher Education	2,608	2,618	10	0.4%
All Other	5,464	5,931	467	8.5%
<b>State Operations/Fringe Benefits</b>	<b>24,044</b>	<b>24,077</b>	<b>33</b>	<b>0.1%</b>
<b>State Operations</b>	<b>17,451</b>	<b>17,655</b>	<b>204</b>	<b>1.2%</b>
Executive Agencies:	<u>9,593</u>	<u>9,652</u>	<u>59</u>	<u>0.6%</u>
Personal Service	6,822	6,860	38	0.6%
Non-Personal Service	2,771	2,792	21	0.8%
State University	5,430	5,521	91	1.7%
City University	108	103	(5)	-4.6%
Elected Officials	2,320	2,379	59	2.5%
<b>Fringe Benefits/Fixed Costs</b>	<b>6,593</b>	<b>6,422</b>	<b>(171)</b>	<b>-2.6%</b>
Pension Contribution	1,697	1,600	(97)	-5.7%
Employee/Retiree Health Insurance	3,275	3,202	(73)	-2.2%
Other Fringe Benefits/Fixed Costs	1,621	1,620	(1)	-0.1%
<b>Debt Service</b>	<b>5,864</b>	<b>6,064</b>	<b>200</b>	<b>3.4%</b>
<b>Capital Projects</b>	<b>6</b>	<b>5</b>	<b>(1)</b>	<b>-16.7%</b>
<b>TOTAL STATE OPERATING FUNDS</b>	<b>87,181</b>	<b>88,919</b>	<b>1,738</b>	<b>2.0%</b>
<b>Capital Projects (State Funded)</b>	<b>6,012</b>	<b>6,120</b>	<b>108</b>	<b>1.8%</b>
<b>TOTAL STATE FUNDS</b>	<b>93,193</b>	<b>95,039</b>	<b>1,846</b>	<b>2.0%</b>
<b>Federal Aid (Including Capital Grants)</b>	<b>40,311</b>	<b>38,354</b>	<b>(1,957)</b>	<b>-4.9%</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS</b>	<b>133,504</b>	<b>133,393</b>	<b>(111)</b>	<b>-0.1%</b>

## **FINANCIAL PLAN OVERVIEW**

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Local assistance spending in FY 2013 is expected to increase by \$1.5 billion, or 2.6 percent, over FY 2012 results. In FY 2013, State funding for School Aid (on a school year basis) and Medicaid are expected to increase by approximately 4 percent from 2012 levels, consistent with caps enacted in FY 2012. Medicaid spending increases by 4 percent (not shown on table) excluding the impact of the State's takeover of Medicaid administration. Transportation spending growth is the result of increased dedicated tax receipts and a State subsidy that are passed on to the MTA. Growth in other local assistance includes actions that temporarily reduced special education spending in FY 2012, as well as increases across several programs and activities. The annual reduction in mental hygiene programs reflects the expected impact of ongoing cost-containment efforts.

Agency spending on personal and non-personal service is expected to remain nearly flat on an annual basis. This reflects ongoing efforts to redesign State agency operations initiated in FY 2012. Spending on fringe benefits is projected to decline by \$171 million (-2.6 percent). The decline reflects lower pension costs due mainly to a \$117 million prepayment of certain pension obligations in FY 2012 and a reconciliation of prior-year pension costs that will lower the State's pension bill in FY 2013, and lower employee and retiree health insurance costs due to the annualization of employee and retiree premium increases that were effective in mid-FY 2012. The State is continuing to amortize its annual pension costs above certain levels, consistent with legislation enacted in FY 2011.

Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward based on typical spending patterns and the observed variance over time between estimated and actual results.

**GENERAL FUND CLOSING BALANCES**

DOB estimates that the General Fund will end FY 2013 with a balance of \$1.8 billion in FY 2013. The following table summarizes the change in balances within the General Fund over the past two fiscal years.

<b>GENERAL FUND ESTIMATED CLOSING BALANCES</b> (millions of dollars)					
	<u>FY 2011</u>	<u>Change</u>	<u>FY 2012</u>	<u>Change</u>	<u>FY 2013</u>
<b>Projected Fund Balance</b>	<b>1,376</b>	<b>411</b>	<b>1,787</b>	<b>32</b>	<b>1,819</b>
<b>Statutory Reserves:</b>					
Tax Stabilization Reserve Fund	1,031	100	1,131	0	1,131
Rainy Day Reserve Fund	175	0	175	0	175
Contingency Reserve Fund	21	0	21	0	21
Community Projects Fund	136	(34)	102	(45)	57
<b>Reserved for:</b>					
Prior Year Labor Agreements (2007-2011)	0	283	283	139	422
Undesignated Fund Balance	13	62	75	(62)	13

The Current Financial Plan includes the use of \$62 million of the undesignated fund balance for gap-closing purposes in FY 2013. The remaining \$13 million is expected to be available for debt management, consistent with prior years.

The Community Projects Fund, which finances discretionary (“member item”) grants allocated by the Legislature and Governor, is expected to decrease by \$45 million, reflecting the spend-down of the balance and no additional deposits.

The closing balances in each year include amounts reserved to cover the costs of potential retroactive labor settlements with unions that have not agreed to terms for prior contract periods. The amounts are calculated based on the general salary increase pattern settlement for the FY 2008 through FY 2011 period agreed to by the State’s largest unions. Reserves will be reduced when labor agreements for prior periods are reached.

Balances in the State’s principal reserve funds are expected to remain unchanged in FY 2013.

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## EXPLANATION OF ENACTED BUDGET GAP-CLOSING PLAN

The table below summarizes the Enacted Budget gap-closing plan.

<b>GENERAL FUND GAP-CLOSING PLAN FOR FY 2013</b>				
(millions of dollars)				
	<u>FY 2013</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>
<b>CURRENT SERVICES GAP PROJECTIONS</b>	<b>(3,500)</b>	<b>(3,624)</b>	<b>(5,044)</b>	<b>(4,246)</b>
<b>Spending Control</b>	<b>1,954</b>	<b>1,207</b>	<b>1,183</b>	<b>1,000</b>
<b>Agency Operations</b>	<b>1,282</b>	<b>1,058</b>	<b>779</b>	<b>791</b>
Agencies	679	350	(50)	52
Independent Officials	252	310	424	330
Enterprise/Consolidations	109	175	180	180
Health Insurance Rate Renewal	130	130	130	130
Fringe Benefits	112	93	95	99
<b>Local Assistance</b>	<b>777</b>	<b>471</b>	<b>728</b>	<b>609</b>
COLAs/Trends	150	170	170	120
Mental Hygiene	170	92	59	(8)
Social Services/Housing	162	77	190	180
Public Health	119	60	29	19
All Other	176	72	280	298
<b>Debt Management</b>	<b>190</b>	<b>40</b>	<b>40</b>	<b>40</b>
<b>Initiatives/Investments</b>	<b>(295)</b>	<b>(362)</b>	<b>(364)</b>	<b>(440)</b>
Local Medicaid Growth/Administrative Takeover	16	(23)	(83)	(181)
Agency Redesign - Enterprise Services	(43)	(65)	(26)	(16)
SSI Administration Takeover From Federal Government	(11)	(13)	(21)	(16)
Protection of Vulnerable Populations	(5)	(30)	(30)	(30)
Rural Rental Assistance	(6)	(6)	(6)	(6)
Joint Legislative Additions	(246)	(225)	(198)	(191)
<b>Tax Reform</b>	<b>1,546</b>	<b>1,697</b>	<b>1,028</b>	<b>(178)</b>
Tax Code Reform	1,931	2,034	1,335	79
MTA Payroll Tax Small Business Relief	(250)	(250)	(250)	(250)
Reduce Corporate Tax on Manufacturers	(25)	(25)	(25)	0
New York Youth Works Tax Credit	(10)	(10)	(5)	0
Economic Development Initiatives	(32)	(32)	(13)	(3)
Inner-City Summer Youth Employment	(25)	0	0	0
Disaster Relief Package	(20)	(15)	(10)	0
Educational Opportunities	(11)	(4)	(4)	(4)
All Other	(12)	(1)	0	0
<b>Other Changes</b>	<b>0</b>	<b>(230)</b>	<b>(582)</b>	<b>(706)</b>
TANF Child Care Replacement	(71)	(215)	(215)	(215)
Mental Hygiene System Funding	0	(100)	(200)	(300)
Tax Receipts Forecast	(106)	(40)	(140)	(140)
All Other	177	125	(27)	(51)
<b>ENACTED BUDGET SURPLUS/(GAP) PROJECTIONS</b>	<b>0</b>	<b>(950)</b>	<b>(3,415)</b>	<b>(4,130)</b>

The sections below provide details on spending control and new costs in the Enacted Budget Financial Plan. For more information on major programs and activities, see the section entitled “Multi-Year Financial Plan Projections - Disbursements” herein.

### SPENDING CONTROL

The FY 2013 Enacted Budget authorizes \$2.0 billion in savings from spending control. State Operating Funds spending in FY 2013 is expected to total \$88.9 billion, an increase of 2.0 percent over the FY 2012 results.

#### STATE AGENCY OPERATIONS

Agency Operations include salaries, wages, fringe benefits, and non-personal service costs (i.e., utilities). State Operating Funds spending for agency operations is estimated at \$24.1 billion in FY 2013, an increase of \$33 million (0.1 percent) from the prior year. Reductions from the FY 2013 current-services forecast for agency operating costs contribute \$1.3 billion to the General Fund gap-closing plan.

- **Agencies:** Continued workforce management through a strict hiring freeze, annualization of savings from recent closures of facilities and elimination of excess capacity, and efforts to downsize State government are expected to result in lowered personal service and fringe benefit costs. The size of the State’s Executive workforce declined from 125,787 FTEs in FY 2011 to 119,579 FTEs in FY 2012. This reduction of over 6,200 FTEs has led to declines in certain fringe benefit costs. In addition, a reconciliation of pension costs in FY 2011 has lowered the State's estimated pension bill in FY 2013 by \$77 million.

Additional savings are expected through operational efficiencies as agencies continue to redesign operations to improve service delivery, reduce costs, and eliminate duplicative functions.

- **Independent Officials:** The budgets for the Legislature, Judiciary, State Comptroller, and the Department of Law do not include spending increases for FY 2013 (compared to the levels estimated at the time of the FY 2013 Executive Budget). The Judiciary budget includes pay increases for judges, as recommended by the Judicial Compensation Commission. This spending increase is offset by commensurate reductions achieved through the streamlining of administrative functions and reductions in funding for non-essential programs.
- **Enterprise/Consolidations:** Efforts to centralize and coordinate enterprise services, such as procurement of information technology services, software and mobile communications, office supplies, health services and pharmaceutical supplies, as well as rent reductions from statewide office space consolidations, are expected to reduce operational costs over the multi-year Financial Plan period. Specific actions for FY 2013 include: procurement savings through strategic sourcing of goods and services such as vehicles, software, food and office supplies (\$100 million); and real estate savings

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through the relocation of State agencies from leased space into State-owned office space (\$9 million). Longer-term projects are underway in information technology, grants management, call centers, business services, fleet management, and enterprise-wide licensing and permitting.

- **Fringe Benefits:** Savings are achieved by pre-paying certain pension obligations in FY 2012 to avoid annual interest costs (\$35 million); increasing Federal Medicare Part D reimbursements through the conversion of the retiree drug coverage program from the current Retiree Drug Subsidy to an Employer Group Waiver Plan (\$26 million); requiring public authority employees and retirees with NYSHIP coverage to contribute toward the cost of Medicare Part B premium reimbursement (\$11 million); and downward reductions to health insurance and workers' compensation spending estimates (\$40 million).

### LOCAL ASSISTANCE

Local assistance spending includes financial aid to local governments and non-profit organizations, as well as entitlement payments to individuals. State Operating Funds spending for local assistance is estimated at \$58.8 billion in FY 2013, an increase of \$1.5 billion (2.6 percent) from the prior year. Reductions from the FY 2013 current-services forecast for local assistance include both targeted actions and additional savings from the continuation of prior-year cost containment actions, which together contribute \$777 million to the General Fund gap-closing plan.

The most significant gap-closing actions in local assistance include the following:

- **Human Services Cost-of-Living Increases/Trends:** The Budget eliminates the planned FY 2013 3.6 percent human services "cost-of-living" increase and maintains existing rates for other programs, including OMH residential treatment facilities, community residences, family-based treatment, and various residential and day programs for individuals with developmental disabilities.
- **Mental Hygiene:** Savings are expected through continued programmatic reviews of OMH providers; expanded efforts to recover State funds through enhanced audit activities and financial reviews of not-for-profit providers; stringent cost controls and reduced use of institutional services and investments in community-based OPWDD programs; restructuring the Continuing Day Treatment program by shifting funding to more effective program models; and converting residential pipeline units to lower-cost alternatives.
- **Social Services/Housing:** Savings are expected through streamlining and restructuring the financing of child support administration; eliminating funding for a shelter supplement initiative; and phasing in the planned 10 percent increase in public assistance grants planned over two periods (5 percent in July 2012 and 5 percent in October 2012).



- **Public Health:** Disbursement projections have been adjusted to reflect claims by municipalities in the GPHW program and other trends.
- **Other Local Programs:** Savings are expected across multiple functions and programs. These include cost-based revisions to School Aid based on updated claiming information from school districts and the estimated growth in NYS personal income; updated payment schedules for the Local Government Performance and Efficiency Program; and updated cost estimates for certain other programs. Savings are partially offset by increases in TAP funding driven by tuition increases and updated participation trends and funding for the Close to Home Juvenile Justice Initiative.

In addition, changes in General Fund Medicaid spending reflect reduced HCRA financing due to downward revisions to cigarette tax forecasts, the financing for capital improvements at health care facilities throughout the State, and multi-year revisions to estimated spending for the EPIC program and other HCRA programs. Projected Medicaid spending has also been updated for the estimated impact of enhanced Federal Financial Participation for individuals and couples without children, pursuant to Federal Health Care Reform, which is expected to lower the State share of Medicaid spending in FYs 2015 and 2016.

### INITIATIVES/INVESTMENTS

- **Local Government Medicaid Growth/Administrative Takeover:** The FY 2013 Enacted Budget phases in the State takeover of growth in the local share of the Medicaid program and caps spending on local Medicaid administration at FY 2012 appropriated levels. The FY 2013 Enacted Budget also provides Medicaid relief for all counties and New York City by reducing growth in local Medicaid payments.
- **Agency Redesign - Enterprise Services:** The Enacted Budget reflects investments to improve State operations. Specifically, State support for OFT and OGS has been increased to cover costs associated with the more centralized role these agencies are expected to take in providing shared business services, which are now decentralized across many State agencies. These services include management of the State's assets, the streamlining of procurement processes, and the consolidation of technical and administrative resources. The greater centralization of these services is expected to achieve statewide savings and allow agencies to better focus on their core missions. These investments are expected to generate significant statewide savings over the multi-year Financial Plan from cost reductions, increased efficiency, and business transformation.

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- **SSI Administration Takeover:** The State will take over administration of the State SSI benefit currently administered by the Federal government, which is expected to generate savings in the later years of the Financial Plan.
- **Protection of Vulnerable Populations:** Pursuant to preliminary recommendations of the Governor’s Special Advisor on Vulnerable Persons, a new centralized hotline will be established for reporting allegations of abuse and neglect of vulnerable persons in certain programs operated by State agencies, including Mental Hygiene, Health and Education.
- **Rental Assistance Program:** Increased funding is included for the Rural Rental Assistance Program that provides State-funded rental subsidies to approximately 4,700 low income occupants of rural housing projects financed by the Federal Department of Agriculture.
- **Joint Legislative Additions:** The Executive and Legislature agreed to distinct new spending additions to the Executive Budget. These include increased financing for education, social services, economic development, environmental and agricultural programs, SUNY- and CUNY-operated community colleges, SUNY hospitals, mental hygiene, criminal justice, transportation construction, and other capital projects. In addition, the Executive and Legislature agreed to certain restorations and reductions of Executive Budget proposals, which are accounted for in the gap-closing plan under “Agency Operations” and “Local Assistance” in the table above. See “Explanation of Enacted Budget Gap-Closing Plan - Summary of Changes to Executive Budget Proposal” above for more information.

### OTHER CHANGES

- **TANF Child Care Replacement:** Less Federal TANF funding is expected to be available in FY 2013. Therefore, State General Fund support has been increased to maintain current funding levels in the child care program.
- **Mental Hygiene System Funding:** Ongoing de-institutionalization efforts, which will allow individuals currently residing in State-operated Developmental Centers to be placed in more clinically appropriate settings based on their needs and abilities, are expected to reduce Federal Medicaid revenues.
- **Tax Receipts Forecast:** Tax receipts have been adjusted downward based on FY 2012 results and updated information.

### OTHER MATTERS AFFECTING THE FINANCIAL PLAN

#### GENERAL

The Enacted Budget is subject to many complex economic, social, financial, and political risks and uncertainties, many of which are outside the ability of the State to control. DOB believes that the projections of receipts and disbursements in the Enacted Budget are based on reasonable assumptions, but there can be no assurance that actual results will not differ materially and adversely from these projections. In certain fiscal years, actual receipts collections have fallen substantially below the levels forecast in the Financial Plan.

The Enacted Budget is based on numerous assumptions, including the condition of the State and national economies and the concomitant receipt of economically sensitive tax receipts in the amounts projected. Other uncertainties and risks concerning the economic and receipts forecasts include the impact of: national and international events, such as the Euro-zone financial crisis, consumer confidence, oil supplies, and oil prices; Federal statutory and regulatory changes concerning financial sector activities; changes concerning financial sector bonus payouts, as well as any future legislation governing the structure of compensation; shifts in monetary policy affecting interest rates and the financial markets; financial and real estate market developments on bonus income and capital gains realizations; and household debt reduction on consumer spending and State tax collections.

Among other factors, the Enacted Budget is subject to various other uncertainties and contingencies relating to the extent, if any, to which wage increases for State employees exceed the annual wage costs assumed; realization of the projected rate of return for pension fund assets and current assumptions with respect to wages for State employees affecting the State's required pension fund contributions; the willingness and ability of the Federal government to provide the aid contemplated by the Enacted Budget; the ability of the State to implement cost reduction initiatives, including reductions in State agency operations, and the success with which the State controls expenditures; and the ability of the State and its public authorities to market securities successfully in the public credit markets. Some of these specific issues are described in more detail herein. The projections and assumptions contained in the Enacted Budget are subject to revisions which may involve substantial change. No assurance can be given that these estimates and projections, which include actions the State expects to be taken but which are not within the State's control, will be realized.

#### BUDGET RISKS AND UNCERTAINTIES

There can be no assurance that the General Fund will end the current fiscal year in balance on a budgetary (cash) basis of accounting, or that the budget gaps will not increase materially from current projections. If such events were to occur, the State would be required to take additional gap-closing actions. These may include, but are not limited to, additional reductions in State agency operations; delays or reductions in payments to local governments or other recipients of State aid; delays in or suspension of capital maintenance and construction; extraordinary financing of operating expenses; or other measures. In some cases, the ability of

## **FINANCIAL PLAN OVERVIEW**

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the State to implement such actions requires the approval of the Legislature and cannot be implemented solely by the action of the Governor.

State law grants the Executive certain powers to achieve the Medicaid savings assumed in the Financial Plan. However, there can be no assurance that these powers will be sufficient to limit the rate of annual growth in DOH State Funds Medicaid spending to the levels estimated in the Enacted Budget Financial Plan. In addition, savings are dependent upon timely Federal approvals, appropriate amendments to existing systems and processes, and the participation of health care industry stakeholders. In FY 2012, State-share Medicaid disbursements were consistent with expectations.

The forecast contains specific transaction risks and other uncertainties including, but not limited to, the receipt of certain payments from public authorities; the receipt of miscellaneous revenues at the levels expected in the Financial Plan, including payments pursuant to the Tribal State Compact that have failed to materialize in prior years; and the achievement of cost-saving measures including, but not limited to, the transfer of available fund balances to the General Fund at the levels currently projected. Such risks and uncertainties, if they were to materialize, could have an adverse impact on the Financial Plan in the current year or future years.

### **STATUS OF CURRENT LABOR NEGOTIATIONS**

The State has a five-year labor contract with the State's largest union, CSEA, and a four-year labor contract with the State's second-largest State employee union, PEF. Under both PEF and CSEA labor contracts, there are no general salary increases for three years. Employee compensation during FY 2013 will be temporarily reduced through a Deficit Reduction program, as was the case during FY 2012. CSEA-represented employees will receive a \$1,000 lump sum payment (\$775 paid in FY 2014 and \$225 paid in FY 2015). Employees will receive a 2 percent salary increase in FY 2015 under both agreements, and CSEA-represented employees will receive a 2 percent increase in FY 2016. Employees represented by CSEA will be repaid the value of FY 2013 reductions in equal consecutive installments starting at the end of the CSEA contract term, and employees represented by PEF will be repaid the value of FY 2012 and FY 2013 reductions in equal consecutive installments starting in FY 2016. The agreements also include substantial increases to employee health insurance contributions.

Under the agreements, employees in these unions were provided contingent layoff protection for FYs 2012 and 2013 and continuing protection for the full term of the agreements. Workforce reductions due to management decisions to close or restructure facilities authorized by legislation, SAGE Commission recommendations, or material or unanticipated changes in the State's fiscal circumstances are not covered by this protection. Similar agreements have been reached with NYSPBA (representing the APSU bargaining unit, formerly ALES) for FY 2006 through FY 2015; NYSCOPBA (non-arbitration eligible members) for FY 2010 through FY 2016; and Council 82 for FY 2010 through FY 2016 (pending ratification).

The State is currently engaged in negotiations with other unions, which represent approximately 35 percent of the State workforce. The two largest of these unions are UUP, which represents faculty and non-teaching professional staff within the State University system, and NYSCOPBA (arbitration eligible members), which represents the State's correction officers.

### **LABOR SETTLEMENTS FOR PRIOR CONTRACT PERIODS**

The Enacted Budget Financial Plan continues to include a reserve to cover the costs of a pattern settlement with all unions that have not agreed to contracts through FY 2011. The pattern is based on the general salary increases agreed to by the State's largest unions for the same period. There can be no assurance that actual settlements, some of which are subject to binding arbitration, will not exceed the amounts reserved.

In August 2011, a statutorily authorized judicial compensation commission authorized a multi-year plan to provide salary increases for judges beginning in FY 2013, which will automatically take effect barring action by the Legislature and the Governor to obviate the increases. The Enacted Budget assumes salary increases in the Judiciary's current budget projections.

### **CASH-FLOW PROJECTIONS**

The State authorizes the General Fund to borrow resources temporarily from available funds in STIP for up to four months, or to the end of the fiscal year, whichever period is shorter. The amount of resources that can be borrowed by the General Fund is limited to the available balances in STIP, as determined by the State Comptroller. Available balances include money in the State's governmental funds and a relatively small amount of other moneys belonging to the State. Several accounts in Debt Service Funds and Capital Projects Funds that are part of All Governmental Funds are excluded from the balances deemed available in STIP. These excluded funds consist of bond proceeds and money obligated for debt service payments.

In FY 2012, the General Fund used STIP to meet certain payment obligations during April 2011, and repaid such amounts by the end of the same month.

Based on current information, DOB expects that the State will have sufficient liquidity to make payments as they become due throughout FY 2013, but that the General Fund may, from time to time, need to borrow resources temporarily from other funds in STIP. The State continues to reserve money on a quarterly basis for debt service payments that are financed with General Fund resources. Money to pay debt service on bonds secured by dedicated receipts, including PIT bonds, continues to be set aside as required by law and bond covenants. Consistent with prior years, DOB estimates that General Fund balances will reach relatively low levels in May, June, August, and December 2012.

The following table provides an estimate of month-end balances for FY 2013.

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PROJECTED ALL FUNDS MONTH-END CASH BALANCES			
FY 2013			
(millions of dollars)			
	General Fund	Other Funds	All Funds
April	4,332	2,507	6,839
May	1,293	3,146	4,439
June	2,121	2,364	4,485
July	2,206	2,994	5,200
August	1,242	3,853	5,095
September	4,271	1,419	5,690
October	3,440	1,925	5,365
November	2,555	2,810	5,365
December	2,051	1,346	3,397
January	5,961	2,911	8,872
February	6,108	3,464	9,572
March	1,819	1,813	3,632

### FEDERAL ACTIONS

The State receives a substantial amount of Federal aid for health care, education, transportation, and other governmental purposes. Any reduction in Federal funding levels could have a materially adverse impact on the State's Enacted Budget.

The Federal BCA established a Joint Select Committee for Deficit Reduction to achieve \$1.2 trillion in deficit reduction over ten years. On November 21, 2011, the Joint Select Committee announced that it failed to reach agreement on actions to reduce the deficit. Pursuant to the BCA, deficit reduction will now be achieved through the sequestration process, with automatic reductions scheduled to begin in January 2013. The BCA prescribes that approximately 18 percent of the \$1.2 trillion in deficit reduction can be attributed to assumed debt service savings, while the remainder must be achieved through spending reductions, divided evenly between the Defense Department and non-Defense spending.

The State is analyzing the potential impact of the BCA on the State Financial Plan and State economy, and the likelihood that it will be implemented in its current form. DOB estimates that, if the sequestration process were to operate as set forth in the BCA and without any further modification by Congress, New York State and local governments could lose approximately \$5 billion in Federal funding over nine years, beginning in FY 2013. This does not account for potential declines in other revenues that may occur as a result of lost Federal funding. DOB may make adjustments to the Financial Plan as more definitive information becomes available.

In addition, the Enacted Budget may be adversely affected by other actions taken by the Federal government, including audits, disallowances, and changes to Federal participation rates or other Medicaid rules. For example, all Medicaid claims are subject to audit and review by the Federal government, and, although no official audit commenced, the New York State OPWDD is

working collaboratively with its Federal partners to resolve concerns over reimbursement for services provided to individuals in developmental centers, and to create a contemporary and sustainable system of service funding and delivery for individuals with developmental disabilities. The rates paid for these services are established in full accordance with the methodology set forth in the approved State Plan, but adverse action by the Federal government relative to these claims could jeopardize a significant amount of Federal financial participation in the State Medicaid program.

### HEALTH INSURANCE COMPANY CONVERSIONS

State law permits a health insurance company to convert its organizational status from a not-for-profit to a for-profit corporation (a “health care conversion”), subject to a number of terms, conditions, and approvals. Under State law, the State is entitled to proceeds from a health care conversion, and such must be used for health-care-related expenses. The Enacted Budget Financial Plan counts on proceeds of \$250 million in FY 2013 and \$300 million annually in FYs 2014, 2015, and 2016, which would be deposited into the HCRA account. If a conversion does not occur on the timetable or at the levels assumed in the Enacted Budget, the State would be required to take other actions to increase available resources or to reduce planned spending in HCRA.

### LITIGATION

Litigation against the State may include potential challenges to the constitutionality of various actions. The State may also be affected by adverse decisions that are the result of various lawsuits. Such adverse decisions may not meet the materiality threshold to warrant individual description but, in the aggregate, could still adversely affect the State's Financial Plan.

### GAAP-BASIS PROJECTIONS

The cash-basis Financial Plan is the principal benchmark by which results are monitored throughout the fiscal year. However, State Finance Law also requires that DOB present, for informational purposes only, Financial Plan projections on a GAAP basis. These *pro forma* GAAP-basis plans follow, to the extent practicable, the accounting principles applied by OSC in preparation of the annual Financial Statements. Tables comparing the cash-basis and GAAP-basis General Fund Financial Plans are provided at the end of this Financial Plan.

In FY 2013, the General Fund GAAP Financial Plan shows total revenues of \$50.5 billion, total expenditures of \$60.1 billion, and net other financing sources of \$9.4 billion, resulting in an operating deficit of \$117 million. These results reflect the net impact of the Enacted Budget gap-closing actions.

DOB’s detailed GAAP Financial Plans for FY 2013 through FY 2016 are provided in the Financial Plan Tables.

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### **OTHER POST-EMPLOYMENT BENEFITS**

State employees become eligible for post-employment benefits (health insurance) if they reach retirement while working for the State with at least ten years of service. In accordance with GASB 45, the State must perform an actuarial valuation every two years for purposes of calculating OPEB liabilities. As disclosed in note 13 of the State's Basic Financial Statements for FY 2011, the ARC represents the annual level of funding that, if set-aside on an ongoing basis, is projected to cover normal costs each year and amortize any unfunded liabilities of the plan over a period not to exceed thirty years. Amounts required but not actually set aside to pay for these benefits are accumulated with interest as part of the net OPEB obligation, after adjusting for amounts previously required.

As reported in the State's Basic Financial Statements for FY 2011, an actuarial valuation of OPEB liabilities was performed as of April 1, 2008, with results projected April 1, 2009 for the fiscal year ended March 31, 2011. The valuation calculated the present value of the actuarial accrued total liability for benefits as of March 31, 2011 at \$55.9 billion (\$46.3 billion for the State and \$9.6 billion for SUNY), determined using the Frozen Entry Age actuarial cost method, and is amortized over an open period of 30 years using the level percentage of projected payroll amortization method.

The net OPEB liability for FY 2011 totaled \$3.3 billion (\$2.7 billion for the State and \$0.6 billion for SUNY) under the Frozen Entry Age actuarial cost method, allocating costs on a level basis over earnings. This was \$2.1 billion (\$1.7 billion for the State and \$0.4 billion for SUNY) above the payments for retiree costs made by the State in FY 2011. This difference between the State's PAYGO costs and the actuarially determined required annual contribution under GASB 45 reduced the State's currently positive net asset condition at the end of FY 2011 by \$2.1 billion.

The State's actuarial consultant has provided an updated calculation of the ARC and annual OPEB costs as of April 1, 2010. The updated calculation will ultimately be reflected in the financial statements for the State and SUNY for FY 2012. The updated calculation shows the present value of the actuarial accrued total liability for benefits at \$72.2 billion (\$59.9 billion for the State and \$12.3 billion for SUNY).

GASB does not require the additional costs to be funded on the State's budgetary (cash) basis, and no funding is assumed for this purpose in the Financial Plan. The State continues to finance these costs, along with all other employee health care expenses, on a PAYGO basis.

As noted, there is no provision in the current Financial Plan to pre-fund the GASB 45 liability. If such liability were pre-funded at this time, the additional cost above the PAYGO amounts would be lowered. The State's Health Insurance Council, which consists of GOER, Civil Service, and DOB, will continue to review this matter and seek input from the State Comptroller, the legislative fiscal committees and other outside parties. However, it is not expected that the State will alter its planned funding practices in light of existing fiscal conditions.



### **BOND MARKET**

Implementation of the Enacted Budget Financial Plan is dependent on the State's ability to market its bonds successfully. The State finances much of its capital spending in the first instance from the General Fund or STIP, which it then reimburses with proceeds from the sale of bonds. If the State cannot sell bonds at the levels (or on the timetable) expected in the capital plan, the State's overall cash position and capital funding plan may be affected adversely. The success of projected public sales will be subject to prevailing market conditions. Future developments in the financial markets generally, as well as future developments concerning the State and public discussion of such developments, may affect the market for outstanding State-supported and State-related debt.

### **DEBT REFORM ACT LIMIT**

The Debt Reform Act of 2000 restricts the issuance of State-supported debt to capital purposes only and limits such debt to a maximum term of 30 years. The Act limits the amount of new State-supported debt to 4 percent of State personal income and new State-supported debt service costs to 5 percent of All Funds receipts. The restrictions apply to all new State-supported debt issued on and after April 1, 2000. The cap on new State-supported debt outstanding began at 0.75 percent of personal income in FY 2001 and was fully phased in at 4 percent of personal income during FY 2011, while the cap on new State-supported debt service costs began at 0.75 percent of All Funds receipts in FY 2001 and will increase until it is fully phased in at 5 percent during FY 2014. The State was found to be in compliance with the statutory caps for the most recent calculation period (October 2011).

Current projections estimate that debt outstanding and debt service will continue to remain below the limits imposed by the Act. However, the State is continuing through a period of relatively limited debt capacity. Based on the most recent personal income and debt outstanding forecasts, the available room under the debt outstanding cap is expected to decline from \$3.6 billion in FY 2012 to \$602 million in FY 2014. This latter amount is \$288 million higher than the Executive Budget forecast, an increase attributable to modest changes in both the personal income and debt issuance forecasts. The State is continuing to implement measures to address capital spending priorities and debt financing practices.

## FINANCIAL PLAN OVERVIEW

NEW DEBT OUTSTANDING - CAPPED AT 4 PERCENT OF PERSONAL INCOME (millions of dollars)					
Year	Personal Income	Cap %	Actual/ Recommended %	\$ (Above)/Below Cap	% (Above)/Below Cap
FY 2012	983,868	4.00%	3.64%	3,552	0.36%
FY 2013	1,017,103	4.00%	3.85%	1,492	0.15%
FY 2014	1,061,148	4.00%	3.94%	602	0.06%
FY 2015	1,122,828	4.00%	3.92%	866	0.08%
FY 2016	1,183,444	4.00%	3.88%	1,408	0.12%
FY 2017	1,243,645	4.00%	3.79%	2,585	0.21%

NEW DEBT SERVICE COSTS - CAPPED AT 5 PERCENT OF ALL FUNDS RECEIPTS (millions of dollars)					
Year	All Funds Receipts	Cap %	Actual/ Recommended %	\$ (Above)/Below Cap	% (Above)/Below Cap
FY 2012	132,745	4.65%	2.65%	2,652	2.00%
FY 2013	133,270	4.98%	2.85%	2,845	2.13%
FY 2014	138,792	5.00%	2.95%	2,848	2.05%
FY 2015	143,060	5.00%	3.03%	2,813	1.97%
FY 2016	147,856	5.00%	3.11%	2,793	1.89%
FY 2017	154,109	5.00%	3.15%	2,844	1.85%

### SECURED HOSPITAL PROGRAM

Under the Secured Hospital program, the State entered into service contracts to enable certain financially distressed not-for-profit hospitals to issue debt. The contracts obligate the State to pay debt service, subject to annual appropriations by the Legislature, on bonds issued by the New York State MCFFA and by DASNY through the Secured Hospital program. In the event there are shortfalls in revenues from other sources, which include hospital payments made under loan agreements between DASNY and the hospitals, and certain reserve funds held by the applicable trustees for the bonds, the State is liable for the debt service. As of March 31, 2012, there was about \$503 million of outstanding bonds for the program.

The financial condition of most hospitals in the State's Secured Hospital Program continues to deteriorate. Of the nine hospitals in the program, several are experiencing significant operating losses that are likely to impair their ability to remain current on their loan agreements with DASNY. In relation to the Secured Hospital Program, the Enacted Budget Financial Plan projections reflect the assumption of additional costs of \$3 million in FY 2013, \$32 million in FY 2014, and \$39 million annually thereafter. These amounts are based on the actual experience to date of the participants in the program, and would cover the debt service costs for four hospitals that currently are not meeting the terms of their agreement with DASNY. The State has additional exposure of up to a maximum of \$39 million annually, if all additional hospitals in the program failed to meet the terms of their agreement with DASNY and if available reserve funds were depleted.



**MULTI-YEAR FINANCIAL PLAN PROJECTIONS**



# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## INTRODUCTION

This section presents the State's updated multi-year Financial Plan projections for receipts and disbursements, reflecting the impact of the FY 2013 Enacted Budget. This section includes FY 2012 results and projections for 2013 through 2016, with an emphasis on the FY 2013 projections.

The State's cash-basis budgeting system, complex fund structure, and practice of earmarking certain tax receipts for specific purposes, complicate the discussion of the State's receipts and disbursement projections. Therefore, to minimize the distortions caused by these factors and, equally important, to highlight relevant aspects of the projections, DOB has adopted the following approaches in summarizing the projections:

- **Receipts:** The detailed discussion of tax receipts covers projections for both the General Fund and State Funds (including capital projects). The latter perspective reflects overall estimated tax receipts before their diversion among various funds and accounts, including tax receipts dedicated to capital projects funds (which fall outside of the General Fund and State Operating Funds accounting perspectives). DOB believes this presentation provides a clearer picture of projected receipts, trends and forecast assumptions, by factoring out the distorting effects of earmarking certain tax receipts.
- **Disbursements:** Over 40 percent of projected State-financed spending for operating purposes is accounted for outside of the General Fund and is primarily concentrated in the areas of health care, School Aid, higher education, transportation and mental hygiene. To provide a clearer picture of spending commitments, the multi-year projections and growth rates are presented, where appropriate, on both a General Fund and State Operating Funds basis.

In evaluating the State's multi-year operating forecast, it should be noted that the reliability of the estimates and projections as a predictor of the State's future financial position is likely to diminish the further removed such estimates and projections are from the date of this Enacted Budget. Accordingly, in terms of the FY 2013 Enacted Budget outyear projections (FY 2014 through FY 2016), FY 2014 is the most relevant from a planning perspective.

# **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

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## **SUMMARY**

DOB estimates that the Enacted Budget provides for a balanced General Fund Financial Plan in FY 2013, and leaves projected gaps that total approximately \$950 million in FY 2014, \$3.4 billion in FY 2015, and \$4.1 billion in FY 2016. The net operating shortfall in State Operating Funds is projected at \$495 million in FY 2014, \$2.8 billion in FY 2015, and \$3.6 billion in FY 2016.

The annual imbalances projected for the General Fund and State Operating Funds in future years are similar because the General Fund is the financing source of last resort for many State programs. Imbalances in other funds are typically financed by the General Fund.

The following tables present the multi-year projections and growth rates for the General Fund and State Operating Funds, as well as a reconciliation between the State Operating Funds projections and the General Fund budget gaps. The tables are followed by an updated economic forecast and a summary of the multi-year receipts and disbursements forecasts.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### GENERAL FUND PROJECTIONS (millions of dollars)

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Receipts</b>					
Taxes (After Debt Service)	52,634	54,541	57,812	58,852	61,211
Miscellaneous Receipts/Federal Grants	3,222	3,289	2,831	2,297	2,389
Other Transfers	1,044	1,070	862	770	760
<b>Total Receipts</b>	<b>56,900</b>	<b>58,900</b>	<b>61,505</b>	<b>61,919</b>	<b>64,360</b>
<b>Disbursements</b>					
Local Assistance Grants	38,419	39,645	41,872	43,227	45,490
School Aid	16,778	16,986	17,832	18,641	19,585
Medicaid	10,301	10,604	11,158	11,454	12,332
All Other	11,340	12,055	12,882	13,132	13,573
State Operations	7,494	7,736	7,007	7,218	7,559
Personal Service	5,781	5,892	5,370	5,496	5,753
Non-Personal Service	1,713	1,844	1,637	1,722	1,806
General State Charges	4,720	4,403	4,834	5,179	5,470
Gross State Pension Contribution	1,697	1,600	2,012	2,257	2,467
Gross State Employee Health Insurance	3,275	3,202	3,411	3,670	3,951
Fringe Benefit Escrow Offset/Fixed Costs	(252)	(399)	(589)	(748)	(948)
Transfers to Other Funds	5,856	7,084	8,680	9,592	9,853
Debt Service	1,516	1,580	1,653	1,585	1,559
Capital Projects	798	1,055	1,293	1,408	1,301
State Share Medicaid	2,722	2,978	2,772	2,626	2,526
Mental Hygiene	0	8	803	1,732	2,320
SUNY - Disproportionate Share Payments	225	228	228	228	228
School Aid - Lottery/VLT Aid Guarantee	55	45	0	0	0
SUNY - University Operations Subsidy	0	340	982	1,001	1,021
SUNY - Hospital Operations Subsidy	60	81	88	88	88
Department of Transportation (MTA Tax)	22	279	332	334	334
Judiciary Funds	123	115	116	117	118
All Other	335	375	413	473	358
<b>Total Disbursements</b>	<b>56,489</b>	<b>58,868</b>	<b>62,393</b>	<b>65,216</b>	<b>68,372</b>
<b>Change in Reserves</b>	<b>411</b>	<b>32</b>	<b>62</b>	<b>118</b>	<b>118</b>
<b>Budget Surplus/(Gap) Projections</b>	<b>0</b>	<b>0</b>	<b>(950)</b>	<b>(3,415)</b>	<b>(4,130)</b>

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

STATE OPERATING FUNDS PROJECTIONS (millions of dollars)					
	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Receipts</b>					
Taxes	62,960	64,969	68,717	70,266	73,036
Miscellaneous Receipts/Federal Grants	19,656	20,072	20,306	20,124	20,384
<b>Total Receipts</b>	<b>82,616</b>	<b>85,041</b>	<b>89,023</b>	<b>90,390</b>	<b>93,420</b>
<b>Disbursements</b>					
Local Assistance Grants	57,267	58,773	61,879	63,915	66,264
School Aid	19,662	20,039	20,911	21,725	22,671
STAR	3,233	3,276	3,459	3,642	3,744
Other Education Aid	1,698	1,961	1,999	2,065	2,141
Higher Education	2,608	2,618	2,812	2,888	2,967
Medicaid (DOH incl. administration)	15,297	15,860	16,513	17,049	17,895
Public Health/Aging	2,104	2,041	2,219	2,315	2,169
Mental Hygiene	3,756	3,640	4,047	4,308	4,500
Social Services	3,017	3,031	3,434	3,431	3,563
Transportation	4,230	4,378	4,556	4,650	4,745
Local Government Assistance	754	777	789	801	803
All Other <sup>1</sup>	908	1,152	1,140	1,041	1,066
State Operations	17,451	17,655	17,900	18,400	19,057
Personal Service	12,047	12,165	12,366	12,683	13,188
Non-Personal Service	5,404	5,490	5,534	5,717	5,869
General State Charges	6,593	6,422	7,001	7,500	7,974
Pension Contribution	1,697	1,600	2,012	2,257	2,467
Health Insurance (Active Employees)	2,052	1,987	2,132	2,294	2,469
Health Insurance (Retired Employees)	1,223	1,215	1,279	1,376	1,482
All Other	1,621	1,620	1,578	1,573	1,556
Debt Service	5,864	6,064	6,401	6,522	6,655
Capital Projects	6	5	5	5	5
<b>Total Disbursements</b>	<b>87,181</b>	<b>88,919</b>	<b>93,186</b>	<b>96,342</b>	<b>99,955</b>
Net Other Financing Sources/(Uses)	4,443	4,127	3,668	3,173	2,981
<b>Net Operating Surplus/(Deficit)</b>	<b>(122)</b>	<b>249</b>	<b>(495)</b>	<b>(2,779)</b>	<b>(3,554)</b>
<b>Reconciliation to General Fund Gap:</b>					
<b>Designated Fund Balances</b>	<b>122</b>	<b>(249)</b>	<b>(455)</b>	<b>(636)</b>	<b>(576)</b>
General Fund	(411)	(32)	(62)	(118)	(118)
Special Revenue Funds	507	(82)	(281)	(382)	(452)
Debt Service Funds	26	(135)	(112)	(136)	(6)
<b>General Fund Budget Gap</b>	<b>0</b>	<b>0</b>	<b>(950)</b>	<b>(3,415)</b>	<b>(4,130)</b>

<sup>1</sup> All Other includes spending in a number of other programs, including parks and the environment, economic development, and public safety.



## ECONOMIC BACKDROP

### THE NATIONAL ECONOMY

Data released since the completion of the Executive Budget forecast, as amended in February, indicate that extreme winter weather may once again be having a significant impact on national economic activity, though this time in a more benevolent direction. First quarter construction, job growth, and car sales were all stronger than expected, with light vehicle sales reaching their highest levels since early 2008. However, even as auto spending rises to levels unseen since the start of the recession, other areas of household spending continue to show weakness. Unusually warm weather has had a depressing effect on the demand for heating, and the rising cost of oil and gasoline may be lowering demand for other energy-related goods. Moreover, income growth remains sluggish, despite an improving job market. Growth of 2.0 percent in real U.S. GDP is now projected for the first quarter of 2012, with the economy projected to grow 2.3 percent for all of 2012. These projections are slightly above the February forecast, but remain well below long-term trend values.

<b>U.S. ECONOMIC INDICATORS</b> (Percent change from prior calendar year)			
	<b>2011</b> <b>(Estimated)</b>	<b>2012</b> <b>(Forecast)</b>	<b>2013</b> <b>(Forecast)</b>
Real U.S. Gross Domestic Product	1.7	2.3	3.0
Consumer Price Index (CPI)	3.1	2.3	2.2
Personal Income	5.1	3.8	4.1
Nonagricultural Employment	1.2	1.7	1.8
Source: Moody's Analytics; DOB staff estimates.			

An improved labor market is expected to help offset some of the pressure on household spending from higher energy prices. Monthly private sector job gains have averaged 200,000 for the seven months starting in September 2011. Moreover, the drag from public sector losses is diminishing as well, with the unemployment rate falling to 8.2 percent in March, the lowest since January 2009. On an annual average basis, DOB now projects upwardly revised employment growth of 1.7 percent for 2012. A brighter outlook for employment, combined with upward revisions to many of the components of personal income for the second half of last year, have led to upward revisions to both wages and total personal income as well. Personal income is now projected to rise 3.8 percent in 2012, with its largest component, wages, expected to rise 4.6 percent.

Energy price volatility continues to rage unabated, as markets fret over supply risks stemming from Middle East tensions and the anticipation of exploding demand from emerging markets. The two benchmark prices that have the greatest impact on the prices of domestic petroleum products -- West Texas Intermediate Crude and London Brent -- have been on the rise, with West Texas Intermediate Crude flirting with its previous April 2011 peak and Brent surpassing it. Although both prices have since come down some, gasoline prices have also

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

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returned to their 2011 peaks, adding to both general inflationary pressures and the strain on household budgets. As a result, DOB has raised projected inflation to 2.3 percent for 2012.

Although a definitive solution to the European sovereign debt crisis remains elusive, progress has been made. The European Central Bank's assumption of a more active role in protecting the banking system with the establishment of the long-term refinancing operation appears to be having a stabilizing influence on financial markets. The result has been a higher tolerance for risk as evidenced by the rise in equity markets, accompanied by rising long-term Treasury yields. The S&P 500 rose about 10 percent during the first quarter of this year over the prior quarter, while the 10-year Treasury approached 2.4 percent during the middle of March for the first time since October 2011 before backing off a bit. These developments, combined with a more favorable outlook for economic growth and employment reaffirm DOB's outlook for monetary tightening to begin in the middle of 2013.

DOB's economic outlook continues to call for tepid but improving growth over the course of 2012. However, there are significant risks to this forecast. The euro-debt crisis continues to simmer; any unexpected development could yet result in widening risk spreads and a decline in equity markets. A longer and deeper European recession or significantly slower growth in emerging markets could have a negative impact on the demand for U.S. exports. If gasoline prices remain elevated for a sustained period, household spending growth could be lower than anticipated, since energy price growth acts as a virtual tax on household spending. A surge in foreclosures could impede the recovery in home prices, which could in turn delay the recovery in household net worth and also result in lower rates of household spending than projected. Alternatively, a stronger than expected recovery in the labor market could result in greater household spending than projected, while a milder recession in Europe and stronger global growth more generally could result in a faster pickup in the demand for U.S. exports.

### **THE NEW YORK STATE ECONOMY**

The most recent data indicate that the pace of New York employment growth for 2011 was slightly stronger than the Executive Budget estimate, as amended in February. As a result, private sector employment growth of 1.5 percent is now projected for 2012, following growth of 2.0 percent for 2011. Total employment growth of 1.1 percent is projected for this year, following growth of 1.2 percent for 2011. Consistent with a stronger job market, estimated State wage growth for 2012 has been revised up to 3.1 percent, with projected growth in total personal income revised up to 3.4 percent. Although these growth rates represent an improving outlook, they remain substantially below historical averages.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

<b>NEW YORK STATE ECONOMIC INDICATORS</b> (Percent change from prior calendar year)			
	<b>2011</b> <b>(Estimated)</b>	<b>2012</b> <b>(Forecast)</b>	<b>2013</b> <b>(Forecast)</b>
Personal Income	4.3	3.4	4.3
Wages	3.7	3.1	5.1
Nonagricultural Employment	1.2	1.1	1.0
Source: Moody's Analytics; New York State Department of Labor; DOB staff estimates.			

All of the risks to the U.S. forecast apply to the State forecast as well, although as the nation's financial capital, the volume of financial market activity and equity market volatility pose a particularly large degree of uncertainty for New York. In addition, with Wall Street still adjusting their compensation practices in the wake of the passage of financial reform, both the bonus and nonbonus components of employee pay are becoming increasingly difficult to estimate. A weaker labor market than projected could also result in lower wages, which in turn could result in weaker household consumption. Similarly, should financial and real estate markets be weaker than anticipated, taxable capital gains realizations could be negatively affected. These effects could ripple through the State economy, depressing both employment and wage growth. In contrast, stronger national and world economic growth, or a stronger upturn in stock prices, along with even stronger activity in mergers and acquisitions and other Wall Street activities, could result in higher wage and bonuses growth than projected.

# **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

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## **FISCAL YEAR 2013 RECEIPTS FORECAST**

Financial Plan receipts comprise a variety of taxes, fees, and charges for State-provided services, Federal grants, and other miscellaneous receipts. The receipts estimates and projections have been prepared by DOB on a multi-year basis with the assistance of the Department of Taxation and Finance and other agencies responsible for the collection of State receipts.

### **OVERVIEW OF THE REVENUE SITUATION**

- New York's recovery continued in FY 2012, but has been buffeted by double digit declines in financial sector bonus payments during the most recent bonus season, and a slowdown in taxable spending late in FY 2012, likely due to mild weather and high gas prices. The combination of the personal income tax reform enacted in December 2011 and trend economic growth are expected to yield a third consecutive year of receipts growth in FY 2013.
- After inching up 2.9 percent in FY 2011, base receipts adjusted for tax law changes grew by 7.4 percent in FY 2012 and are expected to increase by 6.2 percent in FY 2013.
- Corporate profits are expected to record a fourth consecutive year of growth in calendar year 2012, but growth will be at a lower trend rate when compared to the robust growth rates of recent years.
- Personal income tax liability growth is expected to slow somewhat in tax year 2012. Although the December 2011 tax law changes are expected to generate more revenue than 2008 permanent law, they will generate less annual revenue than the high income surcharge in effect in tax year 2011. Double digit declines in financial sector bonus payments during the first quarter of 2012 will also contribute to the slowdown in liability growth.
- After accounting for law changes, consumer and business spending on taxable goods and services rose for the second consecutive year in FY 2012, growing 6.7 percent, and is estimated to rise 4 percent in FY 2013.
- The surge in oil prices presents a downside risk to the receipts forecast. The uncertainty surrounding fuel prices over the near-term horizon could result in slower receipts growth than anticipated.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds receipts are projected to total \$133.3 billion, an increase of \$527 million from FY 2012 results. The table below summarizes the receipts projections for FY 2013 and FY 2014.

TOTAL RECEIPTS (millions of dollars)							
	FY 2012 Results	FY 2013 Enacted	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>56,900</b>	<b>58,900</b>	<b>2,000</b>	<b>3.5%</b>	<b>61,505</b>	<b>2,605</b>	<b>4.4%</b>
Taxes	41,754	43,369	1,615	3.9%	45,891	2,522	5.8%
Miscellaneous Receipts	3,162	3,229	67	2.1%	2,829	(400)	-12.4%
Federal Grants	60	60	0	0.0%	2	(58)	-96.7%
Transfers	11,924	12,242	318	2.7%	12,783	541	4.4%
<b>State Funds</b>	<b>88,111</b>	<b>90,598</b>	<b>2,487</b>	<b>2.8%</b>	<b>94,509</b>	<b>3,911</b>	<b>4.3%</b>
Taxes	64,297	66,370	2,073	3.2%	70,138	3,768	5.7%
Miscellaneous Receipts	23,669	24,083	414	1.7%	24,284	201	0.8%
Federal Grants	145	145	0	0.0%	87	(58)	-40.0%
<b>All Funds</b>	<b>132,745</b>	<b>133,272</b>	<b>527</b>	<b>0.4%</b>	<b>138,794</b>	<b>5,522</b>	<b>4.1%</b>
Taxes	64,297	66,370	2,073	3.2%	70,138	3,768	5.7%
Miscellaneous Receipts	23,837	24,269	432	1.8%	24,470	201	0.8%
Federal Grants	44,611	42,633	(1,978)	-4.4%	44,186	1,553	3.6%

Base growth of 6.2 percent in tax receipts is estimated for FY 2013, after adjusting for law changes, and is projected to be 5.6 percent in FY 2014. These projected increases in overall base growth in tax receipts are dependent on many factors:

- Continued growth in a broad range of economic activities;
- Improving profitability and compensation gains, particularly among financial services companies;
- Recovery in the overall real estate market, particularly the residential market; and
- Increases in consumer spending as a result of wage and employment gains.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### PERSONAL INCOME TAX

PERSONAL INCOME TAX (millions of dollars)							
	FY 2012 Results	FY 2013 Enacted	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1</sup></b>	<b>25,843</b>	<b>26,916</b>	<b>1,073</b>	<b>4.2%</b>	<b>28,920</b>	<b>2,004</b>	<b>7.4%</b>
Gross Collections	46,030	47,702	1,672	3.6%	50,930	3,228	6.8%
Refunds/Offsets	(7,263)	(7,446)	(183)	2.5%	(7,757)	(311)	4.2%
STAR	(3,233)	(3,276)	(43)	1.3%	(3,460)	(184)	5.6%
RBTF	(9,691)	(10,064)	(373)	3.8%	(10,793)	(729)	7.2%
<b>State/All Funds</b>	<b>38,767</b>	<b>40,256</b>	<b>1,489</b>	<b>3.8%</b>	<b>43,173</b>	<b>2,917</b>	<b>7.2%</b>
Gross Collections	46,030	47,702	1,672	3.6%	50,930	3,228	6.8%
Refunds	(7,263)	(7,446)	(183)	2.5%	(7,757)	(311)	4.2%

<sup>1</sup> Excludes Transfers.

All Funds PIT receipts for FY 2013 are projected to be \$40.3 billion, an increase of \$1.5 billion (3.8 percent) from FY 2012. This primarily reflects increases in withholding and current estimated payments for tax year 2012, partially offset by a decrease in extension payments for tax year 2011 and an increase in total refund payments.

FY 2013 withholding is projected to be \$1.5 billion (5 percent) higher compared to the prior year. Estimated payments for tax year 2012 are projected to be \$782 million (9.7 percent) higher. However, as noted above, extension payments for tax year 2011 are projected to fall 22.9 percent (\$808 million) compared to the inflated base of extensions for tax year 2010, which reflected the one-time realization of capital gains caused by uncertainty surrounding the late extension of the lower Federal tax rates on capital gains and high-income taxpayers in December of 2010. Final return payments for tax year 2011 and delinquent collections are projected to be \$83 million (4.4 percent) and \$62 million (6.5 percent) higher, respectively.

The increase in total refunds of \$183 million reflects a \$188 million (4.2 percent) increase in current refunds and a \$44 million (9.8 percent) increase in prior refunds partially offset by a \$68 million (18.6 percent) decrease in the State-city offset.

General Fund income tax receipts for FY 2013 of \$26.9 billion are expected to increase by \$1.1 billion (4.2 percent), from the prior year, mainly reflecting the increase in All Funds receipts noted above. However, a \$373 million increase in deposits to the RBTF and a \$43 million increase in deposits to the STAR fund partially offset this increase.

All Funds income tax receipts for FY 2014 of \$43.2 billion are projected to increase \$2.9 billion (7.2 percent) from the prior year. This increase primarily reflects increases of \$2.1 billion (6.6 percent) in withholding, \$842 million (30.9 percent) in extension payments related to tax year 2012 and \$219 million (2.5 percent) in estimated payments related to tax year 2013 partially offset by a \$311 million (4.2 percent) increase in total refunds. The strong projection for extension payments for tax year 2012 reflects an assumption of early realization of capital gains due to the projected sunset of the Bush tax cuts as scheduled starting with tax year 2013.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Payments from final returns are expected to increase \$49 million (2.5 percent) while delinquencies are projected to increase \$35 million (3.2 percent) from the prior year.

The increase in total refunds of \$311 million reflects a \$332 million (7.1 percent) increase in current refunds and a \$79 million (16.1 percent) increase in prior refunds partially offset by a \$100 million (33.6 percent) decrease in the state-city offset.

General Fund income tax receipts for FY 2014 of \$28.9 billion are projected to increase by \$2 billion (7.4 percent). The RBTF deposit is projected to increase by \$729 million.

The following table summarizes, by component, actual receipts for FY 2012 and forecast amounts through FY 2016.

<b>PERSONAL INCOME TAX FISCAL YEAR COLLECTION COMPONENTS</b>					
<b>ALL FUNDS</b>					
(millions of dollars)					
	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>	<b>FY 2015</b>	<b>FY 2016</b>
	<b>(Actual)</b>	<b>(Estimated)</b>	<b>(Projected)</b>	<b>(Projected)</b>	<b>(Projected)</b>
<b>Receipts</b>					
Withholding	31,199	32,748	34,817	36,032	37,947
Estimated Payments	<u>11,628</u>	<u>11,602</u>	<u>12,663</u>	<u>13,702</u>	<u>13,560</u>
Current Year	8,096	8,878	9,097	10,143	9,823
Prior Year <sup>1</sup>	3,532	2,724	3,566	3,559	3,737
Final Returns	<u>2,117</u>	<u>2,203</u>	<u>2,266</u>	<u>2,151</u>	<u>2,251</u>
Current Year	224	227	241	242	242
Prior Year <sup>1</sup>	1,893	1,976	2,025	1,909	2,009
Delinquent Collections	<u>1,087</u>	<u>1,149</u>	<u>1,184</u>	<u>1,235</u>	<u>1,286</u>
Gross Receipts	46,031	47,702	50,929	53,120	55,044
<b>Refunds</b>					
Prior Year <sup>1</sup>	4,693	4,901	5,233	6,314	6,782
Previous Years	454	497	576	569	553
Current Year <sup>1</sup>	1,750	1,750	1,750	1,750	1,750
State-City Offset <sup>1</sup>	<u>366</u>	<u>298</u>	<u>198</u>	<u>148</u>	<u>148</u>
Total Refunds	7,263	7,446	7,757	8,781	9,233
Net Receipts	<u>38,768</u>	<u>40,256</u>	<u>43,172</u>	<u>44,339</u>	<u>45,811</u>

<sup>1</sup>These components, collectively, are known as the "settlement" on the prior year's tax liability.

General Fund income tax receipts are net of deposits to the STAR Fund, which provides property tax relief, and the RBTF, which supports debt service payments on State personal income tax revenue bonds.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

PERSONAL INCOME TAX CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>26,911</b>	<b>26,916</b>	<b>5</b>	<b>0.0%</b>	<b>28,803</b>	<b>28,920</b>	<b>117</b>	<b>0.4%</b>
Gross Collections	48,117	47,702	(415)	-0.9%	51,036	50,930	(106)	-0.2%
Refunds/Offsets	(7,806)	(7,446)	360	-4.6%	(7,958)	(7,757)	201	-2.5%
STAR	(3,322)	(3,276)	46	-1.4%	(3,505)	(3,460)	45	-1.3%
RBTF	(10,078)	(10,064)	14	-0.1%	(10,770)	(10,793)	(23)	0.2%
<b>State/All Funds</b>	<b>40,311</b>	<b>40,256</b>	<b>(55)</b>	<b>-0.1%</b>	<b>43,078</b>	<b>43,173</b>	<b>95</b>	<b>0.2%</b>
Gross Collections	48,117	47,702	(415)	-0.9%	51,036	50,930	(106)	-0.2%
Refunds	(7,806)	(7,446)	360	-4.6%	(7,958)	(7,757)	201	-2.5%

<sup>1</sup> Excludes Transfers.

Compared to the Executive Budget, FY 2013 All Funds income tax receipts are revised downward by \$55 million. The decrease primarily reflects lower projections for extension payments on tax year 2011 (\$250 million) partially offset by higher withholding (\$150 million) and higher assessments (\$50 million). The increase in withholding is almost equivalent to improvement in the withholding base for the final quarter of FY 2012 reflecting better than projected wage growth. Likewise, the reduction in extension payments is based on apparent weaker-than-projected non-wage income for the second half of 2011.

Compared to the Executive Budget, FY 2014 All Funds income tax receipts are revised upward by \$95 million. The increase primarily reflects downward re-estimates for current refunds for tax year 2012 of \$200 million and upward re-estimates in withholding of \$150 million, final return payments for tax year 2012 of \$100 million, and delinquencies of \$50 million partially offset by a downward re-estimate in extension payments for tax year 2012 of \$400 million.

Additionally, compared to the Executive Budget, projected STAR payments for FY 2013 and FY 2014 have been revised downward by \$46 million and \$45 million, respectively, positively impacting General Fund receipts by the same amount.

PERSONAL INCOME TAX (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
<b>General Fund<sup>1</sup></b>	<b>28,920</b>	<b>29,612</b>	<b>692</b>	<b>30,614</b>	<b>1,002</b>
Gross Collections	50,930	53,120	2,190	55,044	1,924
Refunds/Offsets	(7,757)	(8,781)	(1,024)	(9,233)	(452)
STAR	(3,460)	(3,642)	(182)	(3,744)	(102)
RBTF	(10,793)	(11,085)	(292)	(11,453)	(368)
<b>State/All Funds</b>	<b>43,173</b>	<b>44,339</b>	<b>1,166</b>	<b>45,811</b>	<b>1,472</b>
Gross Collections	50,930	53,120	2,190	55,044	1,924
Refunds	(7,757)	(8,781)	(1,024)	(9,233)	(452)

<sup>1</sup> Excludes Transfers.



## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds income tax receipts for FY 2015 of \$44.3 billion are projected to increase \$1.2 billion (2.7 percent) from the prior year. This change primarily reflects increases of \$1.2 billion (3.5 percent) in withholding, \$1 billion (11.5 percent) in estimated payments related to tax year 2014 partially offset by a \$1 billion (13.2 percent) increase in total refunds and a \$115 million decrease in final returns payments for tax year 2013. Extension payments for tax year 2013 are almost flat from the prior year with a small \$7 million (0.2 percent) decrease while delinquencies are projected to increase \$51 million (4.7 percent) from the prior year. The increase in total refunds of \$1 billion almost entirely reflects an increase in current refunds for tax year 2013.

General Fund income tax receipts for FY 2015 of \$29.6 billion are projected to increase by \$692 million (2.4 percent). The increases in the All Funds receipts are partially offset by a \$292 million increase in RBFT deposits and \$182 million increase in STAR payments.

All Funds income tax receipts are projected to increase by nearly \$1.5 billion (3.4 percent) in FY 2016 to reach \$45.8 billion while General Fund receipts are projected to be \$30.6 billion.

### USER TAXES AND FEES

USER TAXES AND FEES (millions of dollars)							
	FY 2012 Results	FY 2013 Enacted	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1,2</sup></b>	<b>9,055</b>	<b>9,271</b>	<b>216</b>	<b>2.4%</b>	<b>9,626</b>	<b>355</b>	<b>3.8%</b>
Sales Tax	8,346	8,561	215	2.6%	8,922	361	4.2%
Cigarette and Tobacco Taxes	471	469	(2)	-0.4%	462	(7)	-1.5%
Alcoholic Beverage Taxes	238	241	3	1.3%	242	1	0.4%
<b>State/All Funds</b>	<b>14,571</b>	<b>14,921</b>	<b>350</b>	<b>2.4%</b>	<b>15,413</b>	<b>492</b>	<b>3.3%</b>
Sales Tax	11,876	12,205	329	2.8%	12,717	512	4.2%
Cigarette and Tobacco Taxes	1,633	1,615	(18)	-1.1%	1,585	(30)	-1.9%
Motor Fuel	501	515	14	2.8%	517	2	0.4%
Highway Use Tax	132	147	15	11.4%	142	(5)	-3.4%
Alcoholic Beverage Taxes	238	241	3	1.3%	242	1	0.4%
Taxicab Surcharge	87	89	2	2.3%	96	7	7.9%
Auto Rental Tax	104	109	5	4.8%	114	5	4.6%
<sup>1</sup> Excludes Transfers.							
<sup>2</sup> Receipts from motor vehicle fees and alcoholic beverage control license fees are now reflected under miscellaneous receipts.							

All Funds user taxes and fees receipts for FY 2013 are estimated to be \$14.9 billion, an increase of \$350 million (2.4 percent) from FY 2012. Sales tax receipts are expected to increase by \$329 million (2.8 percent) from the prior year due to base growth (i.e., absent law changes) of 4 percent partially offset by a loss of \$210 million from an increase in the clothing and footwear exemption threshold from \$55 to \$110. The remaining estimated increase of \$21 million from FY 2012 is mainly from an increase in motor fuel and highway use tax collections offset by a decline in cigarette and tobacco collections. Motor fuel collections are expected to rebound with an increase in gasoline and diesel gallonage due to an improving economy. Highway use tax collections are estimated to increase due to the issuance of decals and a triennial registration year. The estimated decline in cigarette and tobacco tax collections reflects trend declines in taxable consumption.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

General Fund user taxes and fees receipts are expected to total nearly \$9.3 billion in FY 2013, an increase of \$216 million (2.4 percent) from FY 2012. This increase mainly reflects growth in sales tax receipts (\$215 million).

All Funds user taxes and fees receipts for FY 2014 are projected to be \$15.4 billion, an increase of \$492 million (3.3 percent) from FY 2013. The increase in sales tax receipts of \$512 million (4.2 percent) reflects sales tax base growth of 3.4 percent. Cigarette and tobacco tax receipts primarily reflect the long-term trend decline from this revenue source.

General Fund user taxes and fees receipts are projected to total \$9.6 billion in FY 2014, an increase of \$355 million (3.8 percent) from FY 2013. This increase largely reflects the projected increases in All Funds sales tax receipts discussed above.

USER TAXES AND FEES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
<b>General Fund<sup>1,2</sup></b>	<b>9,341</b>	<b>9,271</b>	<b>(70)</b>	<b>-0.7%</b>	<b>9,706</b>	<b>9,626</b>	<b>(80)</b>	<b>-0.8%</b>
Sales Tax	8,592	8,561	(31)	-0.4%	8,954	8,922	(32)	-0.4%
Cigarette and Tobacco Taxes	511	469	(42)	-8.2%	510	462	(48)	-9.4%
Alcoholic Beverage Taxes	238	241	3	1.3%	242	242	0	0.0%
<b>State/All Funds</b>	<b>15,076</b>	<b>14,921</b>	<b>(155)</b>	<b>-1.0%</b>	<b>15,572</b>	<b>15,413</b>	<b>(159)</b>	<b>-1.0%</b>
Sales Tax	12,246	12,205	(41)	-0.3%	12,759	12,717	(42)	-0.3%
Cigarette and Tobacco Taxes	1,733	1,615	(118)	-6.8%	1,709	1,585	(124)	-7.3%
Motor Fuel	515	515	0	0.0%	516	517	1	0.2%
Highway Use Tax	147	147	0	0.0%	142	142	0	0.0%
Alcoholic Beverage Taxes	238	241	3	1.3%	242	242	0	0.0%
Taxicab Surcharge	88	89	1	1.1%	90	96	6	6.7%
Auto Rental Tax	109	109	0	0.0%	114	114	0	0.0%

<sup>1</sup> Excludes Transfers.  
<sup>2</sup> Receipts from motor vehicle fees and alcoholic beverage control license fees are now reflected under miscellaneous receipts.

All Funds user taxes and fees in FY 2013 are revised down by \$155 million and All Funds user taxes and fees in FY 2014 are revised down by \$159 million from the Executive Budget.

The FY 2013 All Funds cigarette and tobacco taxes estimate is reduced by \$118 million, primarily the result of eliminating the previously distinct impact of the prior approval enforcement system. This adjustment appears warranted given the lack of receipts from this program in FY 2012. The FY 2013 sales and use tax reduction of \$41 million mainly reflects a lower audit and compliance receipts estimate.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

In FY 2014, All Funds user taxes and fees were reduced by the same factors as in FY 2013, which is slightly offset by an increase in taxicab tax receipts of \$6 million reflecting a recent law change allowing the sale of 2,000 taxicab medallions.

<b>USER TAXES AND FEES</b> (millions of dollars)					
	<b>FY 2014</b> <b>Projected</b>	<b>FY 2015</b> <b>Projected</b>	<b>Annual \$</b> <b>Change</b>	<b>FY 2016</b> <b>Projected</b>	<b>Annual \$</b> <b>Change</b>
<b>General Fund<sup>1,2</sup></b>	<b>9,626</b>	<b>10,042</b>	<b>416</b>	<b>10,406</b>	<b>364</b>
Sales Tax	8,922	9,340	418	9,712	372
Cigarette and Tobacco Taxes	462	455	(7)	447	(8)
Alcoholic Beverage Taxes	242	247	5	247	0
<b>State/All Funds</b>	<b>15,413</b>	<b>15,989</b>	<b>576</b>	<b>16,502</b>	<b>513</b>
Sales Tax	12,717	13,303	586	13,829	526
Cigarette and Tobacco Taxes	1,585	1,556	(29)	1,526	(30)
Motor Fuel	517	520	3	523	3
Highway Use Tax	142	144	2	152	8
Alcoholic Beverage Taxes	242	247	5	247	0
Taxicab Surcharge	96	100	4	101	1
Auto Rental Tax	114	119	5	124	5
<sup>1</sup> Excludes Transfers.					
<sup>2</sup> Receipts from motor vehicle fees and alcoholic beverage control license fees are now reflected under miscellaneous receipts.					

All Funds user taxes and fees are projected to be nearly \$16.0 billion in FY 2015 and \$16.5 billion in FY 2016, representing increases of \$576 million (3.7 percent) and \$513 million (3.2 percent) respectively. These increases represent base growth in sales tax receipts, offset slightly by trend declines in cigarette tax collections.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## BUSINESS TAXES

BUSINESS TAXES (millions of dollars)							
	FY 2012 Results	FY 2013 Enacted	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>5,760</b>	<b>6,038</b>	<b>278</b>	<b>4.8%</b>	<b>6,208</b>	<b>170</b>	<b>2.8%</b>
Corporate Franchise Tax	2,724	2,905	181	6.6%	3,009	104	3.6%
Corporation & Utilities Tax	617	652	35	5.7%	696	44	6.7%
Insurance Tax	1,257	1,322	65	5.2%	1,373	51	3.9%
Bank Tax	1,161	1,159	(2)	-0.2%	1,130	(29)	-2.5%
Petroleum Business Tax	1	0	(1)	-100.0%	0	0	0.0%
<b>State/All Funds</b>	<b>7,877</b>	<b>8,229</b>	<b>352</b>	<b>4.5%</b>	<b>8,463</b>	<b>234</b>	<b>2.8%</b>
Corporate Franchise Tax	3,176	3,360	184	5.8%	3,511	151	4.5%
Corporation & Utilities Tax	797	847	50	6.3%	894	47	5.5%
Insurance Tax	1,413	1,479	66	4.7%	1,539	60	4.1%
Bank Tax	1,391	1,381	(10)	-0.7%	1,319	(62)	-4.5%
Petroleum Business Tax	1,100	1,162	62	5.6%	1,200	38	3.3%

All Funds business tax receipts for FY 2013 are estimated at \$8.2 billion, an increase of \$352 million, or 4.5 percent from the prior year. The estimate reflects base growth across all taxes from an improving economy as well as an incremental increase of \$71 million from the deferral of certain tax credits that was part of the FY 2011 Enacted Budget. Adjusted for this deferral, All Funds growth is 3.6 percent.

The annual increase in the corporate franchise tax of \$184 million (5.8 percent) is attributable to the incremental increase of \$71 million from the tax credit deferral, as well as higher gross collections and lower refunds. Audit receipts are expected to be lower in FY 2013 as fewer large cases are projected. Corporate franchise tax growth adjusted for the credit deferral is 3.6 percent for FY 2013.

Corporation and utilities tax receipts growth of 6.3 percent is primarily driven by higher audit collections. Audit receipts are expected to increase \$24 million from FY 2012 as one large case is projected. Additionally, refunds are expected to be higher than in FY 2012 due to a large refund that is expected to be paid. This refund was estimated to be paid in FY 2012, but the taxpayer did not claim it before the fiscal year closed. Therefore, it is expected that the taxpayer will adjust its 2012 liability to reflect the overpayment.

Insurance tax receipts are expected to increase \$66 million (4.7 percent) in FY 2013. After several years of decline, the insurance tax grew 4.6 percent in FY 2012. Trend growth in the insurance tax is expected throughout the Financial Plan period.

The bank tax is estimated to decline 0.7 percent in FY 2013. Gross receipts in FY 2012 grew 16.9 percent, driven by strong commercial calendar year filers. Gross receipts are expected to decline 11.7 percent for FY 2013 as the strength in FY 2012 is not expected to be repeated. Offsetting the decline in gross receipts is higher expected audit receipts.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Petroleum business tax receipts are estimated to increase \$62 million (5.6 percent), mainly the result of a 5 percent increase in the tax rate index effective January 1, 2012.

General Fund business tax receipts for FY 2013 of \$6.0 billion are estimated to increase by \$278 million (4.8 percent) from FY 2012 results. Business tax receipts deposited to the General Fund reflect the All Funds trends discussed above.

All Funds business tax receipts for FY 2014 of \$8.5 billion are projected to increase \$234 million (2.8 percent) from the prior year, reflecting growth across all business taxes except the bank tax. The decline in FY 2014 bank tax receipts is driven by an expected decrease in audit receipts.

General Fund business tax receipts for FY 2014 of \$6.2 billion are projected to increase \$170 million (2.8 percent) from the prior year.

<b>ALL FUNDS BUSINESS TAX AUDIT AND NON-AUDIT RECEIPTS</b>					
(millions of dollars)					
	<b>FY 2009</b>	<b>FY 2010</b>	<b>FY 2011</b>	<b>FY 2012</b>	<b>FY 2013</b>
	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Projected</b>
<b>Corporate Franchise Tax</b>	<b>3,220</b>	<b>2,511</b>	<b>2,846</b>	<b>3,176</b>	<b>3,360</b>
Audit	905	698	810	1,080	857
Non-Audit	2,315	1,813	2,036	2,096	2,503
<b>Corporation and Utilities Taxes</b>	<b>863</b>	<b>954</b>	<b>814</b>	<b>797</b>	<b>847</b>
Audit	47	52	14	29	54
Non-Audit	816	902	800	768	793
<b>Insurance Taxes</b>	<b>1,181</b>	<b>1,491</b>	<b>1,351</b>	<b>1,413</b>	<b>1,479</b>
Audit	41	35	38	21	21
Non-Audit	1,140	1,456	1,313	1,392	1,458
<b>Bank Taxes</b>	<b>1,233</b>	<b>1,399</b>	<b>1,178</b>	<b>1,391</b>	<b>1,381</b>
Audit	455	290	239	125	287
Non-Audit	778	1,109	939	1,266	1,094
<b>Petroleum Business Taxes</b>	<b>1,107</b>	<b>1,104</b>	<b>1,091</b>	<b>1,100</b>	<b>1,162</b>
Audit	16	10	7	6	6
Non-Audit	1,091	1,094	1,084	1,094	1,156
<b>Total Business Taxes</b>	<b>7,604</b>	<b>7,459</b>	<b>7,280</b>	<b>7,877</b>	<b>8,229</b>
Audit	1,464	1,085	1,108	1,261	1,225
Non-Audit	6,140	6,374	6,172	6,616	7,004

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

BUSINESS TAXES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
<b>General Fund</b>	<b>5,977</b>	<b>6,038</b>	<b>61</b>	<b>1.0%</b>	<b>6,213</b>	<b>6,208</b>	<b>(5)</b>	<b>-0.1%</b>
Corporate Franchise Tax	2,844	2,905	61	2.1%	3,024	3,009	(15)	-0.5%
Corporation & Utilities Tax	682	652	(30)	-4.4%	706	696	(10)	-1.4%
Insurance Tax	1,322	1,322	0	0.0%	1,383	1,373	(10)	-0.7%
Bank Tax	1,129	1,159	30	2.7%	1,100	1,130	30	2.7%
<b>State/All Funds</b>	<b>8,152</b>	<b>8,229</b>	<b>77</b>	<b>0.9%</b>	<b>8,452</b>	<b>8,463</b>	<b>11</b>	<b>0.1%</b>
Corporate Franchise Tax	3,299	3,360	61	1.8%	3,526	3,511	(15)	-0.4%
Corporation & Utilities Tax	877	847	(30)	-3.4%	904	894	(10)	-1.1%
Insurance Tax	1,463	1,479	16	1.1%	1,533	1,539	6	0.4%
Bank Tax	1,351	1,381	30	2.2%	1,289	1,319	30	2.3%
Petroleum Business Tax	1,162	1,162	0	0.0%	1,200	1,200	0	0.0%

Compared to the Executive Budget, FY 2013 All Funds business tax receipts are revised up \$77 million and the General Fund is increased \$61 million. The corporate franchise tax is revised up \$61 million. Higher audits and a change to the New York Youth Works Tax Credit program eligibility period, enacted in the FY 2013 Budget, account for the increase. The corporation and utilities tax and the bank tax have offsetting changes, and the increase in the insurance tax reflects an adjustment for FY 2012 actual receipts. The petroleum business tax is unchanged from the Executive Budget.

Compared to the Executive Budget, FY 2014 All Funds business tax receipts are revised up by \$11 million as the result of minor changes to all business taxes (except the PBT). The General Fund reduction of \$5 million is due to a change to the New York Youth Works Tax Credit program that was enacted in the FY 2013 Budget.

BUSINESS TAXES (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
<b>General Fund</b>	<b>6,208</b>	<b>5,713</b>	<b>(495)</b>	<b>6,291</b>	<b>578</b>
Corporate Franchise Tax	3,009	2,320	(689)	2,726	406
Corporation & Utilities Tax	696	718	22	741	23
Insurance Tax	1,373	1,414	41	1,487	73
Bank Tax	1,130	1,261	131	1,337	76
<b>State/All Funds</b>	<b>8,463</b>	<b>8,038</b>	<b>(425)</b>	<b>8,676</b>	<b>638</b>
Corporate Franchise Tax	3,511	2,855	(656)	3,289	434
Corporation & Utilities Tax	894	918	24	947	29
Insurance Tax	1,539	1,587	48	1,666	79
Bank Tax	1,319	1,472	153	1,562	90
Petroleum Business Tax	1,200	1,206	6	1,212	6

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

All Funds business tax receipts for FY 2015 and FY 2016 reflect projected trends in corporate profits, taxable insurance premiums, electric utility consumption and prices, the consumption of taxable telecommunications services, and automobile fuel consumption and fuel prices. Business tax receipts are projected to decline to \$8.0 billion (5.0 percent) in FY 2015, and increase to \$8.7 billion (7.9 percent) in FY 2016. The decline in FY 2015 reflects the first year of the repayment of deferred tax credits to taxpayers. General Fund business tax receipts over this period are expected to decline to \$5.7 billion (8.0 percent) in FY 2015 and increase to \$6.3 billion (10.1 percent) in FY 2016.

### OTHER TAXES

OTHER TAXES (millions of dollars)							
	FY 2012 Results	FY 2013 Enacted	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund<sup>1</sup></b>	<b>1,096</b>	<b>1,144</b>	<b>48</b>	<b>4.4%</b>	<b>1,137</b>	<b>(7)</b>	<b>-0.6%</b>
Estate Tax	1,078	1,127	49	4.5%	1,120	(7)	-0.6%
Gift Tax	0	0	0	NA	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Pari-Mutuel Taxes	17	16	(1)	-5.9%	16	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%
<b>State/All Funds</b>	<b>1,706</b>	<b>1,804</b>	<b>98</b>	<b>5.7%</b>	<b>1,847</b>	<b>43</b>	<b>2.4%</b>
Estate Tax	1,078	1,127	49	4.5%	1,120	(7)	-0.6%
Gift Tax	0	0	0	NA	0	0	0.0%
Real Property Gains Tax	0	0	0	NA	0	0	0.0%
Real Estate Transfer Tax	610	660	50	8.2%	710	50	7.6%
Pari-Mutuel Taxes	17	16	(1)	-5.9%	16	0	0.0%
All Other Taxes	1	1	0	0.0%	1	0	0.0%

<sup>1</sup> Excludes Transfers.

All Funds other tax receipts for FY 2013 are estimated to be \$1.8 billion, an increase of \$98 million (5.7 percent) from FY 2012. This mainly reflects a rise of \$49 million (4.5 percent) in estate tax receipts and \$50 million (8.2 percent) in the real estate transfer tax, which are the result of expected large estate payments in FY 2013 and strong commercial activity and improving vacancy rates in NYC, respectively.

General Fund other tax receipts are expected to be \$1.1 billion in FY 2013, an increase of \$48 million (4.4 percent) from FY 2012. This reflects the changes in the estate tax and pari-mutuel taxes noted above.

All Funds other tax receipts for FY 2014 are projected to be \$1.8 billion, an increase of \$43 million (2.4 percent) from FY 2013. This reflects growth in the real estate transfer tax slightly offset by a decline in estate tax receipts. General Fund other tax receipts are expected to total approximately \$1.1 billion in FY 2014. This reflects a decrease of \$7 million in estate tax receipts due to a forecast decrease in estate payments of \$25 million or more ("super large" payments).

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OTHER TAXES CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>1,144</b>	<b>1,144</b>	<b>0</b>	<b>0.0%</b>	<b>1,137</b>	<b>1,137</b>	<b>0</b>	<b>0.0%</b>
Estate Tax	1,127	1,127	0	0.0%	1,120	1,120	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Pari-Mutuel Taxes	16	16	0	0.0%	16	16	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%
<b>State/All Funds</b>	<b>1,834</b>	<b>1,804</b>	<b>(30)</b>	<b>-1.6%</b>	<b>1,907</b>	<b>1,847</b>	<b>(60)</b>	<b>-3.1%</b>
Estate Tax	1,127	1,127	0	0.0%	1,120	1,120	0	0.0%
Gift Tax	0	0	0	0.0%	0	0	0	0.0%
Real Property Gains Tax	0	0	0	0.0%	0	0	0	0.0%
Real Estate Transfer Tax	690	660	(30)	-4.3%	770	710	(60)	-7.8%
Pari-Mutuel Taxes	16	16	0	0.0%	16	16	0	0.0%
All Other Taxes	1	1	0	0.0%	1	1	0	0.0%

<sup>1</sup> Excludes Transfers.

All Funds other tax receipts in FY 2013 are revised down by \$30 million (1.6 percent) from the Executive Budget due to a decrease in the real estate transfer tax. All Funds other taxes are revised down by \$60 million (3.1 percent) for FY 2014, also due to a decrease in the real estate transfer tax.

OTHER TAXES (millions of dollars)					
	FY 2014 Projected	FY 2015 Projected	Annual \$ Change	FY 2016 Projected	Annual \$ Change
<b>General Fund<sup>1</sup></b>	<b>1,137</b>	<b>1,222</b>	<b>85</b>	<b>1,222</b>	<b>0</b>
Estate Tax	1,120	1,205	85	1,205	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	16	0	16	0
All Other Taxes	1	1	0	1	0
<b>State/All Funds</b>	<b>1,847</b>	<b>2,002</b>	<b>155</b>	<b>2,077</b>	<b>75</b>
Estate Tax	1,120	1,205	85	1,205	0
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Real Estate Transfer Tax	710	780	70	855	75
Pari-Mutuel Taxes	16	16	0	16	0
All Other Taxes	1	1	0	1	0

<sup>1</sup> Excludes Transfers.



## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

The FY 2015 All Funds receipts projection for other taxes is \$2.0 billion, an increase of \$155 million (8.4 percent) from FY 2014. Modest growth in the estate tax is projected to follow expected increases in household net worth. Receipts from the real estate transfer tax are projected to increase slightly, reflecting the continuing rebound in the residential and commercial markets.

The FY 2016 All Funds receipts projection for other taxes is nearly \$2.1 billion, an increase of \$75 million (3.7 percent) from FY 2015. The forecast reflects continued increases in the real estate transfer tax as a result of increases in the value of real property transfers.

General Fund other tax receipts for FY 2015 and FY 2016 are expected to increase by \$85 million and remain flat, respectively, consistent with the All Funds trends noted above.

### MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS

MISCELLANEOUS RECEIPTS <sup>1</sup> AND FEDERAL GRANTS (millions of dollars)							
	FY 2012 Results	FY 2013 Enacted	Annual \$ Change	Annual % Change	FY 2014 Projected	Annual \$ Change	Annual % Change
<b>General Fund</b>	<b>3,222</b>	<b>3,289</b>	<b>67</b>	<b>2.1%</b>	<b>2,831</b>	<b>(458)</b>	<b>-13.9%</b>
Miscellaneous Receipts	3,162	3,229	67	2.1%	2,829	(400)	-12.4%
Federal Grants	60	60	0	0.0%	2	(58)	-96.7%
<b>State Funds</b>	<b>23,814</b>	<b>24,228</b>	<b>414</b>	<b>1.7%</b>	<b>24,371</b>	<b>143</b>	<b>0.6%</b>
Miscellaneous Receipts	23,669	24,083	414	1.7%	24,284	201	0.8%
Federal Grants	145	145	0	0.0%	87	(58)	-40.0%
<b>All Funds</b>	<b>68,448</b>	<b>66,902</b>	<b>(1,546)</b>	<b>-2.3%</b>	<b>68,656</b>	<b>1,754</b>	<b>2.6%</b>
Miscellaneous Receipts	23,837	24,269	432	1.8%	24,470	201	0.8%
Federal Grants	44,611	42,633	(1,978)	-4.4%	44,186	1,553	3.6%

<sup>1</sup>Includes receipts from motor vehicle fees and alcoholic beverage control license fees, previously reflected as "user taxes and fees."

All Funds miscellaneous receipts include moneys received from HCRA financing sources, SUNY tuition and patient income, lottery receipts for education, assessments on regulated industries, and a variety of fees and licenses. All Funds miscellaneous receipts are projected to total nearly \$24.3 billion in FY 2013, an increase of \$432 million from FY 2012, largely driven by growth in HCRA, SUNY, and lottery receipts, partially offset by a decline in mental hygiene receipts.

Federal grants help pay for State spending on Medicaid, temporary and disability assistance, mental hygiene, School Aid, public health, and other activities. Annual changes to Federal grants generally correspond to changes in federally-reimbursed spending. Accordingly, DOB typically plans for Federal reimbursement to be received in the State fiscal year in which spending occurs, but timing sometimes varies. All Funds Federal grants are projected to total \$42.6 billion in FY 2013, a decline of nearly \$2.0 billion from the current year, reflecting the expiration of certain Federal ARRA moneys.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

General Fund miscellaneous receipts and Federal grants collections are estimated to be \$3.3 billion, an increase of \$67 million (2.1 percent) from FY 2012 results.

All Funds miscellaneous receipts are projected to increase by \$201 million in FY 2014, driven by increases in HCRA resources (\$286 million) and SUNY Income Fund revenues (\$232 million), partially offset by the General Fund decline described below.

All Funds Federal grants are projected to increase by \$1.6 billion in FY 2014, driven primarily by Medicaid spending.

General Fund miscellaneous receipts and Federal Grants for FY 2014 are projected to decline by \$458 million from FY 2013, and primarily reflect the loss of certain one-time sweeps and payments expected in FY 2013, including SONYMA and the 18-A utility assessment.

MISCELLANEOUS RECEIPTS AND FEDERAL GRANTS: CHANGE FROM EXECUTIVE BUDGET FORECAST (millions of dollars)								
	FY 2013				FY 2014			
	Executive Budget	Enacted Budget	\$ Change	% Change	Executive Budget	Enacted Budget	\$ Change	% Change
<b>General Fund<sup>1</sup></b>	<b>3,129</b>	<b>3,289</b>	<b>160</b>	<b>5.1%</b>	<b>2,638</b>	<b>2,831</b>	<b>193</b>	<b>7.3%</b>
Miscellaneous Receipts <sup>2</sup>	3,069	3,229	160	5.2%	2,636	2,829	193	7.3%
Federal Grants	60	60	0	0.0%	2	2	0	0.0%
<b>State Funds</b>	<b>24,269</b>	<b>24,228</b>	<b>(41)</b>	<b>-0.2%</b>	<b>24,114</b>	<b>24,371</b>	<b>257</b>	<b>1.1%</b>
Miscellaneous Receipts <sup>2</sup>	24,124	24,083	(41)	-0.2%	24,027	24,284	257	1.1%
Federal Grants	145	145	0	0.0%	87	87	0	0.0%
<b>All Funds</b>	<b>66,191</b>	<b>66,902</b>	<b>711</b>	<b>1.1%</b>	<b>67,696</b>	<b>68,656</b>	<b>960</b>	<b>1.4%</b>
Miscellaneous Receipts <sup>2</sup>	24,255	24,269	14	0.1%	24,158	24,470	312	1.3%
Federal Grants	41,936	42,633	697	1.7%	43,538	44,186	648	1.5%

<sup>1</sup> Excludes Transfers.  
<sup>2</sup> Includes receipts from motor vehicle fees and alcoholic beverage control license fees, previously reflected as "user taxes and fees."

The All Funds change in estimated miscellaneous receipts for FY 2013 and FY 2014, between the Executive Budget and the Enacted Budget (\$14 million and \$312 million, respectively) largely reflects the General Fund revisions described below, as well as revisions to various Special Revenue Fund receipts estimates including SUNY, CUNY, HCRA and public health, based upon FY 2012 actual results.

The increase in Federal Grants for FY 2013 and FY 2014 from the Executive Budget estimates (\$697 million and \$648 million, respectively) is driven by revisions to Medicaid, School Aid, special education and social welfare based upon FY 2012 actual results.

General Fund miscellaneous receipts and Federal Grants are projected to total \$3.3 billion in FY 2013, an increase of \$160 million from the Executive Budget, reflecting timing of certain payments from the Manhattan District Attorney, and revisions to refunds and reimbursements and SONYMA payments agreed upon with the Enacted Budget.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

General Fund miscellaneous receipts and Federal Grants projections for FY 2014 are revised upward by \$193 million from the Executive Budget, reflecting revisions to estimates based on actual receipts, as well as additional SONYMA and Manhattan DA payments.

<b>MISCELLANEOUS RECEIPTS<sup>1</sup> AND FEDERAL GRANTS</b>					
(millions of dollars)					
	<b>FY 2014</b>	<b>FY 2015</b>	<b>Annual \$</b>	<b>FY 2016</b>	<b>Annual \$</b>
	<b>Projected</b>	<b>Projected</b>	<b>Change</b>	<b>Projected</b>	<b>Change</b>
<b>General Fund</b>	<b>2,831</b>	<b>2,297</b>	<b>(534)</b>	<b>2,389</b>	<b>92</b>
Miscellaneous Receipts	2,829	2,297	(532)	2,389	92
Federal Grants	2	0	(2)	0	0
<b>State Funds</b>	<b>24,371</b>	<b>24,008</b>	<b>(363)</b>	<b>24,244</b>	<b>236</b>
Miscellaneous Receipts	24,284	23,923	(361)	24,159	236
Federal Grants	87	85	(2)	85	0
<b>All Funds</b>	<b>68,656</b>	<b>71,362</b>	<b>2,706</b>	<b>73,371</b>	<b>2,009</b>
Miscellaneous Receipts	24,470	24,109	(361)	24,345	236
Federal Grants	44,186	47,253	3,067	49,026	1,773

<sup>1</sup> Includes receipts from motor vehicle fees and alcoholic beverage control license fees, previously reflected as "user taxes and fees."

All Funds miscellaneous receipts decrease by \$361 million in FY 2015, driven by the decline in General Fund resources, partially offset by increases in HCRA (\$141 million) and SUNY Income Fund revenues (\$161 million).

All Funds miscellaneous receipts increase by \$236 million in FY 2016, driven by the increase in General Fund resources, augmented by increases in SUNY Income Fund revenues (\$161 million).

Annual Federal grants growth of \$3.1 billion in FY 2015 and \$1.8 billion is primarily due to growth in Medicaid spending.

General Fund miscellaneous receipts and Federal grants are projected to total \$2.3 billion in FY 2015, and increase to \$2.4 billion in FY 2016.

### ENACTED BUDGET REVENUE ACTIONS

Consistent with the upcoming formation of the Governor's Tax Reform and Fairness Commission and the tax actions enacted in December 2011, the Enacted Budget includes only modest Tax Law changes which extend provisions that would have otherwise expired, make technical corrections, improve collections of past due taxes, and expand lottery gaming.

On a General Fund basis, actions in the Enacted Budget will together increase tax or other revenue by a total of \$14.6 million (\$24.4 million All Funds) in FY 2013.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## REVENUE ACTIONS

- **Tax Enforcement Actions.** The Enacted Budget contains one action that will improve tax audit and compliance activities. This action is expected to produce \$5 million in additional tax revenue annually on an All Funds basis beginning in FY 2014.
- **Other Revenue Actions.** The Enacted Budget contains other revenue-related actions. The food restriction on the Quick Draw lottery game is eliminated; tax modernization provisions are extended and amended; and a statutory refinement of statewide transmission tax collections is put in place. These three actions are expected to produce \$5 million on a General Fund basis and \$16 million on an All Funds basis in FY 2013.
- **Technical Corrections and Extenders.** The Enacted Budget contains extenders that will maintain various provisions, including the pari-mutuel tax and temporary Gramm-Leach-Bliley provisions and three technical corrections that will amend previously enacted items. These 11 actions preserve current revenue or tax benefits.

## TAX ENFORCEMENT ACTIONS

<b>TAX ENFORCEMENT ACTIONS</b> (millions of dollars)				
	FY 2013		FY 2014	
	General Fund	All Funds	General Fund	All Funds
STAR Benefit Offset	-	-	5.0	5.0
<b>TOTAL TAX ENFORCEMENT ACTIONS</b>	<b>0</b>	<b>0</b>	<b>5.0</b>	<b>5.0</b>

- **Offset Certain Tax Debts Against STAR Benefits.** Requires taxpayers with tax obligations in excess of \$4,500 to forfeit their STAR benefits. Tax debt would be written down by the amount of the STAR benefit annually until the taxpayer owed less than \$4,500.

## OTHER REVENUE ACTIONS

<b>OTHER REVENUE ACTIONS</b> (millions of dollars)				
	FY 2013		FY 2014	
	General Fund	All Funds	General Fund	All Funds
Remove Quick Draw Food Restrictions	-	11.0	-	22.0
Tax Modernization Initiatives	5.0	5.0	14.0	15.0
Change Regional Shares of Statewide Transmission Tax Receipts	-	-	-	-
<b>TOTAL OTHER REVENUE ACTIONS</b>	<b>5.0</b>	<b>16.0</b>	<b>14.0</b>	<b>37.0</b>

- **Remove Quick Draw Food Restriction.** Under prior law, premises licensed to sell alcoholic beverages could not host the Quick Draw lottery game unless at least 25 percent of gross sales were from food. This provision expands the number of establishments where the Quick Draw lottery game may be played by eliminating this restriction.
- **Tax Modernization Initiatives.** Extended for one year until December 31, 2013, this provision is expected to continue to enhance personal income tax e-filing. Electronic filing improves data matching with existing IRS and other data sources, resulting in increased

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

State revenue through denied refunds and more accurate final returns. In addition, the Tax Commissioner is provided discretion to continue to require dedicated bank accounts for sales tax deposits and more frequent filing from sales tax filers who have a poor filing record. The \$25 fine formerly imposed on individuals who used tax software but printed and mailed tax forms is eliminated.

- **Change Regional Shares of Statewide Transmission Tax.** For FY 2013 only, statutorily distributes the 80 percent share of statewide transmission tax collections that flow to the Mass Transportation Operating Assistance Fund as follows: 54 percent of statewide collections to the Metropolitan Mass Transportation Operating Assistance Account and 26 percent of statewide collections to the Public Transportation Systems Operating Assistance Account. This distribution had not previously been detailed in statute.

### TECHNICAL CORRECTIONS AND EXTENDERS

TECHNICAL CORRECTIONS AND EXTENDERS (millions of dollars)				
	FY 2013		FY 2014	
	General Fund	All Funds	General Fund	All Funds
Extend and Increase Low Income Housing Credit for Two Years	-	-	(8.0)	(8.0)
Extend Youth Works Tax Credit Hiring Period	10.0	10.0	(5.0)	(5.0)
Extend the Commercial Production Credit for Three Years	-	-	(7.0)	(7.0)
Extend the Bio-Fuel Production Credit for Seven Years	-	-	-	-
Extend the Alternative Fuels Tax Exemption for Two Years	(0.4)	(1.6)	(0.8)	(3.2)
Extend Non-Custodial Parent EITC for Two Years	-	-	-	-
Pari-Mutuel Extender	-	-	-	-
Temporary GLB Provisions	-	-	-	-
Room Remarketers Technical	-	-	-	-
Fuel Definitions Technical	-	-	-	-
MTA Payroll Tax Technical	-	-	-	-
<b>TOTAL TECHNICAL CORRECTIONS AND EXTENDERS</b>	<b>9.6</b>	<b>8.4</b>	<b>(20.8)</b>	<b>(23.2)</b>

- **Extend and Increase Low Income Housing Credit.** Authorizes the Commissioner of the Division of Housing and Community Renewal to allocate an additional \$8 million annually in each of the next two years in aggregate credit awards to taxpayers who develop qualifying housing projects for low-income New Yorkers. Credits are given in equal installments for a ten-year period.
- **Extend Youth Works Tax Credit Hiring Period.** Extends the hiring period for the Youth Works Tax Credit program enacted in December 2011 from July 1, 2012 to December 31, 2012. The \$25 million three-year cost of the program is redistributed, but unchanged, since the program is capped.
- **Extend/Redistribute the Television Commercial Production Credit.** Extends through tax year 2014 the television commercial production credit. The credit is generally for 20 percent of qualified commercial production costs in New York State that exceed the average of the three prior years' costs, plus five percent of costs above \$500,000 in the MCTD, and above \$200,000 outside the MCTD. The statewide pool is reduced from \$3 million to \$1 million while the up pool is increased from \$1 million to \$3 million. Any amount not used upstate is placed back in the statewide pool.

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

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- **Extend the Bio-fuel Production Credit.** Extends through tax year 2019 the bio-fuel production credit. The credit applies to producers of bio-fuels and is for 15 cents per gallon (after the first 40,000 gallons) of bio-fuel produced in New York. It is capped at \$2.5 million per taxpayer per year for up to four consecutive years.
- **Extend the Alternative Fuels Tax Exemption.** Extends from September 1, 2012 to September 1, 2014 the sales tax, petroleum business tax and motor fuel tax exemptions on e85, compressed natural gas, or hydrogen when purchased for use in a motor vehicle engine. The 20 percent exemption on purchases of B20 was also extended.
- **Extend The Non-Custodial Parent EITC.** Extends for two additional years the EITC for non-custodial parents.
- **Extend the Pari-Mutuel Tax.** Extends lower Pari-Mutuel tax rates for one year. Additionally, this also extends by one year the rules governing the simulcasting of out-of-state races and the authorization for account wagering.
- **Extend and Amend Gramm-Leach-Bliley Provisions.** Extend for two additional years provisions that were intended to temporarily address regulatory changes from the Federal Gramm-Leach-Bliley Act. For tax years 2012 and 2013 an amendment is added that requires a corporation previously taxed as a bank under the Gramm-Leach-Bliley to be taxed as a bank only if it meets the current law definition of a bank.
- **Room Remarketers Technical Amendment.** Allows room remarketers to more easily comply with the sales tax by allowing them to collect only the tax due on their taxable sales and by eliminating a requirement that they apply for refunds after collecting the tax.
- **Fuel Definitions Technical Amendment.** Eliminates an unintended provision from the FY 2012 Enacted Budget that would have taxed crude oil in transit through New York.
- **MTA Payroll Tax Technical Amendment.** Allows small businesses that utilize payroll services or other professional employer associations to benefit from tax reductions enacted in December 2011, as intended.

### DISBURSEMENTS

General Fund disbursements in FY 2013 are estimated to total \$58.9 billion, an increase of \$2.4 billion (4.2 percent) over FY 2012 results. State Operating Funds disbursements for FY 2013 are estimated to total \$88.9 billion, an increase of \$1.7 billion (2 percent) over FY 2012.

The multi-year disbursements projections take into account agency staffing levels, program caseloads, funding formulas contained in State and Federal law, inflation and other factors. The factors that affect spending estimates vary by program. For example, welfare spending is based primarily on anticipated caseloads that are estimated by analyzing historical trends and projected economic conditions. Projections account for the timing of payments, since not all of the amounts appropriated in the Budget are disbursed in the same fiscal year. Consistent with past years, the aggregate spending projections (i.e., the sum of all projected spending by individual agencies) in special revenue funds have been adjusted downward in all fiscal years, based on typical spending patterns and the observed variance between estimated and actual results over time.

Over the multi-year Financial Plan, State Operating Funds spending is expected to increase by an average annual rate of 3.5 percent. The projections reflect spending at the capped growth rates for Medicaid and School Aid, and contemplate the effect of national health care reform on State health care costs. The projections do not reflect any potential impact of automatic spending reductions that would be triggered if changes are implemented by the Federal government as part of its deficit reduction plan.

Medicaid, education, pension costs, employee and retiree health benefits, social services programs and debt service are significant drivers of spending growth over the Plan period.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## LOCAL ASSISTANCE GRANTS

Local assistance spending includes payments to local governments, school districts, health care providers, and other entities, as well as financial assistance to, or on behalf of, individuals, families and not-for-profit organizations. State-funded local assistance spending is estimated at \$58.8 billion in FY 2013 and accounts for over 65 percent of total State Operating Funds spending. Education and health care spending account for three-quarters of local assistance spending.

Selected assumptions used in preparing the spending projections for the State's major local aid programs and activities are summarized in the following table.

FORECAST FOR SELECTED PROGRAM MEASURES AFFECTING OPERATING ACTIVITIES					
	Forecast				
	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Medicaid</b>					
Medicaid Caseload <sup>1</sup>	4,535,463	4,628,505	4,856,565	5,324,544	5,395,005
Family Health Plus Caseload	427,066	453,355	479,644	505,932	532,221
Child Health Plus Caseload	418,241	436,241	454,241	472,241	490,241
State Takeover of County/NYC Costs (\$000)	<u>\$1,544</u>	<u>\$1,467</u>	<u>\$1,846</u>	<u>\$2,458</u>	<u>\$3,201</u>
- Family Health Plus	\$428	\$515	\$597	\$682	\$775
- Medicaid	\$1,116	\$952	\$1,249	\$1,776	\$2,426
<b>Education</b>					
School Aid (School Year) (\$000)	\$19,542	\$20,347	\$21,059	\$21,901	\$22,908
Education Personal Income Growth Index	N/A	4.1	3.5	4.0	4.6
<b>Higher Education</b>					
Public Higher Education Enrollment (FTEs)	576,300	577,664	578,242	578,820	579,399
Tuition Assistance Program Recipients	309,334	310,633	310,633	310,633	310,633
<b>Welfare</b>					
Family Assistance Caseload	385,180	374,822	363,077	352,880	343,935
Single Adult/No Children Caseload	180,338	178,207	176,780	175,786	175,622
<b>Mental Hygiene</b>					
Total Mental Hygiene Community Beds	<u>87,984</u>	<u>91,793</u>	<u>96,330</u>	<u>100,588</u>	<u>101,393</u>
- OMH Community Beds	36,179	39,431	43,097	46,616	47,366
- OPWDD Community Beds	39,101	39,621	40,404	41,077	41,077
- OASAS Community Beds	12,704	12,741	12,829	12,895	12,950
Prison Population (Corrections)	55,944	55,900	55,900	55,900	55,900

<sup>1</sup> Does not reflect final FY 2012 results.



# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## EDUCATION

### SCHOOL AID

School Aid helps support elementary and secondary education for New York pupils enrolled in 676 major school districts throughout the State. State funding is provided to districts based on statutory aid formulas and through reimbursement of categorical expenses. State funding for schools assists districts in meeting locally defined needs, supports the construction of school facilities, and finances school transportation for nearly three million students statewide.

#### School Year (July 1 — June 30)

The FY 2013 Enacted Budget includes a two-year appropriation and continues the change to tie future increases in School Aid to the rate of growth in New York State personal income. School Aid funding will increase by \$805 million (4.1 percent) in the 2012-13 school year, and by an estimated \$712 million (3.5 percent) in the 2013-14 school year.

Over the multi-year financial plan, School Aid funding is expected to be a function of both a personal income growth index used to determine allowable growth and future legislation to allocate the allowable increases. Current law prescribes allowable growth to include spending for new competitive performance grant programs which reward school districts that demonstrate significant student performance improvements or those that undertake long-term structural changes to reduce costs and improve efficiency. Allowable growth also includes increases in expense-based aid programs (e.g., Building Aid, Transportation Aid) and certain other aid categories under existing statutory provisions. Any remaining amount of allowable growth can be allocated pursuant to a chapter of law for purposes including, but not limited to, additional spending for competitive grants, phase-in increases in Foundation Aid or restoration of the GEA.

For the 2012-13 school year, this \$805 million allowable increase includes \$243 million in growth in expense-based aids and other miscellaneous aid categories under current law, a \$400 million GEA restoration, a \$112 million increase in Foundation Aid, and \$50 million to support the first of three annual payments for the first round of performance grants. A second, expanded round of performance grants will be awarded in the 2012-13 school year from \$75 million in annual funding outside the school aid growth limit.

As of the Enacted Budget, School Aid is projected to increase by \$712 million in the 2013-14 school year and \$842 million in 2014-15. School Aid is projected to reach an annual total of \$22.9 billion in the 2015-16 school year.

TOTAL SCHOOL AID - SCHOOL YEAR BASIS (JULY 1 - JUNE 30)								
(millions of dollars)								
SY 2012	SY 2013	Change	SY 2014	Change	SY 2015	Change	SY 2016	Change
\$19,542	\$20,347	\$805	\$21,059	\$712	\$21,901	\$842	\$22,908	\$1,007
		4.1%		3.5%		4.0%		4.6%

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## State Fiscal Year

The State finances School Aid from General Fund revenues and from Lottery Fund receipts, including VLTs, which are accounted for and disbursed from a dedicated revenue account. Because the State fiscal year begins on April 1, the State pays approximately 70 percent of the annual school year commitment during the State fiscal year in which it is enacted, and pays the remaining 30 percent in the first three months of the following State fiscal year.

The table below summarizes the multi-year projected funding levels for School Aid on a State fiscal year basis.

TOTAL SCHOOL AID - STATE FISCAL YEAR BASIS									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>19,662</b>	<b>20,039</b>	<b>1.9%</b>	<b>20,911</b>	<b>4.4%</b>	<b>21,725</b>	<b>3.9%</b>	<b>22,671</b>	<b>4.4%</b>
General Fund Local Assistance	16,778	16,986	1.2%	17,832	5.0%	18,641	4.5%	19,585	5.1%
Core Lottery Aid	2,147	2,187	1.9%	2,200	0.6%	2,195	-0.2%	2,197	0.1%
VLT Lottery Aid	682	821	20.4%	879	7.1%	889	1.1%	889	0.0%
General Fund Lottery/VLT Guarantee	55	45	-18.2%	0	-100.0%	0	0.0%	0	0.0%

State spending for School Aid is projected to total \$20 billion in FY 2013. In future years, receipts available to finance School Aid from core lottery sales is projected to remain relatively flat while VLT receipts are anticipated to increase through FY 2015 as a result of the new VLT facility at the Aqueduct Racetrack. In addition to State aid, school districts receive over \$3 billion annually in Federal categorical aid.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## SCHOOL TAX RELIEF PROGRAM

The STAR program provides school tax relief to taxpayers. The three components of STAR and their approximate shares in FY 2013 are: the basic school property tax exemption for homeowners with income under \$500,000 (57 percent), the enhanced school property tax exemption for senior citizen homeowners with income under \$79,050 (25 percent), and a flat refundable credit and rate reduction for New York City resident personal-income taxpayers (18 percent).

SCHOOL TAX RELIEF (STAR)									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	3,233	3,276	1.3%	3,459	5.6%	3,642	5.3%	3,744	2.8%
Basic Exemption	1,856	1,859	0.2%	1,986	6.8%	2,125	7.0%	2,188	3.0%
Enhanced (Seniors)	807	829	2.7%	862	4.0%	898	4.2%	925	3.0%
New York City PIT	570	588	3.2%	611	3.9%	619	1.3%	631	1.9%

The STAR program exempts the first \$30,000 of every eligible homeowner's property value from the local school tax levy. Lower-income senior citizens receive a \$62,200 exemption. Spending for the STAR property tax exemption reflects reimbursements made to school districts to offset the reduction in property tax revenues.

The annual increase in a qualifying homeowner's STAR exemption benefit is limited to 2 percent. Homeowners who earn more than \$500,000 a year are not eligible for the STAR property tax exemption. New York City personal income taxpayers with annual income over \$500,000 have a reduced benefit.

The multi-year Financial Plan includes a new policy whereby the Department of Taxation and Finance will instruct local assessors to withhold the STAR exemption benefit from taxpayers who have a State-imposed and State-administered tax liability of \$4,500 or more, and own a home that is STAR-eligible. New York City residents who are similarly in arrears would lose their City PIT rate-reduction benefit, as well as the State School Tax Reduction Credit.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## OTHER EDUCATION AID

In addition to School Aid, the State provides funding and support for various other education-related initiatives. These include: special education services; prekindergarten through grade 12 education programs; cultural education; higher and professional education programs; and adult career and continuing education services.

Major programs under the Office of Prekindergarten through Grade 12 Education address specialized student needs or reimburse school districts for education-related services, including the school breakfast and lunch programs, non-public school aid, and various special education programs. In special education, New York provides a full spectrum of services to over 400,000 students from ages 3 to 21. Higher and professional education programs monitor the quality and availability of postsecondary education programs and regulate the licensing and oversight of 48 professions.

Spending for special education is expected to increase as program costs and enrollment rise. In FY 2012, school districts financed the costs associated with schools for the blind and deaf in the first instance and will be reimbursed by the State in FY 2013, which drives a significant annual increase in FY 2013 spending. Other education spending is affected by the phase-out of Federal ARRA Stabilization Funds.

OTHER EDUCATION (millions of dollars)									
	FY 2012 Results	FY 2013 Enacted	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	<b>1,698</b>	<b>1,961</b>	<b>15.5%</b>	<b>2,000</b>	<b>2.0%</b>	<b>2,065</b>	<b>3.3%</b>	<b>2,141</b>	<b>3.7%</b>
Special Education	1,176	1,358	15.5%	1,456	7.2%	1,529	5.0%	1,604	4.9%
All Other Education	522	603	15.5%	544	-9.8%	536	-1.5%	537	0.2%

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## HIGHER EDUCATION

Local assistance for higher education spending includes funding for CUNY, SUNY and HESC. The State provides reimbursements to New York City for costs associated with CUNY's senior college operations, and works in conjunction with the City of New York to support CUNY's community colleges. The CUNY system is the largest urban public university system in the nation. Funding for SUNY supports 30 community colleges across multiple campuses. HESC administers the TAP program that provides awards to income-eligible students and provides centralized processing for other student financial aid programs. The financial aid programs that the Corporation administers are funded by the State and the Federal government.

The State also provides a sizeable benefit to SUNY and CUNY through the debt service it pays on bond-financed capital projects at the universities. This is not reflected in the annual spending totals for the universities. State debt service payments for higher education are expected to total over \$1.2 billion in FY 2013.

HIGHER EDUCATION (millions of dollars)									
	FY 2012 Results	FY 2013 Enacted	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	<b>2,608</b>	<b>2,618</b>	<b>0.4%</b>	<b>2,812</b>	<b>7.4%</b>	<b>2,888</b>	<b>2.7%</b>	<b>2,967</b>	<b>2.7%</b>
<b>City University</b>	<b>1,203</b>	<b>1,220</b>	<b>1.4%</b>	<b>1,342</b>	<b>10.0%</b>	<b>1,405</b>	<b>4.7%</b>	<b>1,472</b>	<b>4.8%</b>
Operating Aid to NYC (Senior Colleges)	1,024	1,025	0.1%	1,149	12.1%	1,212	5.5%	1,279	5.5%
Community College Aid	178	194	9.0%	193	-0.5%	193	0.0%	193	0.0%
Community Projects	1	1	0.0%	0	-100.0%	0	0.0%	0	0.0%
<b>Higher Education Services</b>	<b>924</b>	<b>936</b>	<b>1.3%</b>	<b>1,001</b>	<b>6.9%</b>	<b>1,014</b>	<b>1.3%</b>	<b>1,026</b>	<b>1.2%</b>
Tuition Assistance Program	873	877	0.5%	935	6.6%	940	0.5%	946	0.6%
Aid for Part Time Study	12	12	0.0%	12	0.0%	12	0.0%	12	0.0%
Scholarships/Awards	39	47	20.5%	54	14.9%	62	14.8%	68	9.7%
<b>State University</b>	<b>481</b>	<b>462</b>	<b>-4.0%</b>	<b>469</b>	<b>1.5%</b>	<b>469</b>	<b>0.0%</b>	<b>469</b>	<b>0.0%</b>
Community College Aid <sup>1</sup>	444	457	2.9%	465	1.8%	465	0.0%	465	0.0%
Hospital Subsidy <sup>2</sup>	32	0	-100.0%	0	0.0%	0	0.0%	0	0.0%
Other	5	5	0.0%	4	-20.0%	4	0.0%	4	0.0%

<sup>1</sup> State support for SUNY 4-year institutions is funded through State operations rather than local assistance.  
<sup>2</sup> Beginning in academic year 2011-12, the SUNY hospital subsidy will be funded as a transfer from General Fund State operations rather than local assistance.

Growth in spending for higher education over the plan period largely reflects aid to New York City for reimbursement of CUNY senior college operating expenses associated with the rising contribution rates for fringe benefits, and increased support for the TAP program, which reflects the impact of upward trends in student enrollment at institutions of higher education.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

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## HEALTH CARE

Local assistance for health care-related spending includes Medicaid, statewide public health programs and a variety of mental hygiene programs. The DOH works with the local health departments and social services departments, including New York City, to coordinate and administer statewide health insurance programs and activities. The majority of government-financed health care programs are included under DOH, but many programs are supported through multi-agency efforts. The Medicaid program finances inpatient hospital care, outpatient hospital services, clinics, nursing homes, managed care, prescription drugs, home care, FHP, and services provided in a variety of community-based settings (including mental health, substance abuse treatment, developmental disabilities services, school-based services, foster care services and inpatient hospital services provided to inmates on medical leave from State correctional facilities). The State share of Medicaid spending is budgeted and expended principally through DOH, but State share Medicaid spending also appears in the mental hygiene agencies, child welfare programs, School Aid and DOCCS. Medicaid spending is reported separately in the Financial Plan tables for each of the agencies.

### MEDICAID

Medicaid is a means-tested program that finances health care services for low-income individuals and long-term care services for the elderly and disabled, primarily through payments to health care providers. The Medicaid program is financed jointly by the State, the Federal government, and local governments (including New York City). New York's Medicaid spending is projected to total approximately \$54.0 billion in FY 2013, including the local contribution.<sup>5</sup>

The Enacted Budget reflects continuation of the Medicaid spending cap enacted in FY 2012, and authorizes funding consistent with its provisions. The cap is based on the ten-year average change in the medical component of the CPI. Statutory changes approved with the FY 2012 Budget grant the Executive certain administrative powers to help hold Medicaid spending to the capped level. The statutory changes, which were set to expire at the end of FY 2013, have been extended through FY 2014, pursuant to authorization included in the FY 2013 Enacted Budget. The cap itself remains in place and the Financial Plan assumes that statutory authority will be extended in subsequent years.

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<sup>5</sup> The local contribution to the Medicaid program is not included in the State's Financial Plan. Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level for local social services districts. The FY 2013 Enacted Budget amends these statutory indexing provisions by implementing a three-year phased-takeover of the local share of growth above the previous year's enacted levels beginning in April 2013 for County Year 2013, with the State assuming all growth in County Year 2015. This initiative will save local governments nearly \$1.2 billion through the next five state fiscal years.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

TOTAL STATE-SHARE MEDICAID DISBURSEMENTS <sup>1</sup>					
(millions of dollars)					
	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
	<u>Results</u>	<u>Enacted</u>	<u>Projected</u>	<u>Projected</u>	<u>Projected</u>
<b>Department of Health:</b>					
State Share Without FMAP	16,000	15,606	16,513	17,049	17,895
Enhanced FMAP	<u>(703)</u>	<u>254</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>DOH State Share With FMAP</b>	<b>15,297</b>	<b>15,860</b>	<b>16,513</b>	<b>17,049</b>	<b>17,895</b>
Annual \$ Change - DOH Only		563	653	536	846
Annual % Change - DOH Only		3.7%	4.1%	3.2%	5.0%
<b>Other State Agencies:</b>					
Mental Hygiene	5,403	5,810	6,206	6,508	6,868
Education	64	0	0	0	0
Foster Care	113	93	117	122	127
Corrections	0	12	12	12	13
State Operations - Contractual Expenses <sup>2</sup>	15	51	56	56	55
<b>Total State Share (All Agencies)</b>	<b>20,892</b>	<b>21,826</b>	<b>22,904</b>	<b>23,747</b>	<b>24,958</b>
Annual \$ Change - Total State Share		934	1,078	843	1,211
Annual % Change - Total State Share		4.5%	4.9%	3.7%	5.1%
<sup>1</sup> To conform the Financial Plan classification of State Operating Funds spending to the classification followed by the State Comptroller, approximately \$3 billion in Medicaid spending supported by a transfer from Federal Funds to the State Mental Hygiene Patient Income Account is now classified as State spending.					
<sup>2</sup> Includes operational costs that support contracts related to the management of the Medicaid program and various activities to ensure appropriate utilization.					

Factors affecting Medicaid spending over the Financial Plan period include Medicaid enrollment, costs of provider health care services (particularly in managed care), levels of service utilization and the expiration of enhanced Federal aid.<sup>6</sup> The number of Medicaid recipients, including FHP, is expected to total approximately 5.1 million at the end of FY 2013, an increase of 2.4 percent from the FY 2012 caseload. The expiration of the enhanced FMAP contributes to an increase in State-share spending of nearly \$1 billion from FY 2012 to FY 2013, and includes costs associated with the Federal funding reconciliation between the State and counties.

<sup>6</sup> In August 2010, the U.S. Congress approved a six-month extension through June 30, 2011 of the enhanced FMAP benefit, as provided through the American Recovery and Reinvestment Act (ARRA). Under enhanced FMAP (which covered the period from October 2008 through June 30, 2011), the Federal match rate increased from 50 percent to approximately 57 percent, which resulted in a concomitant decrease in the State and local share.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Pursuant to Federal Health Care Reform, the Federal government is expected to finance a greater share of Medicaid costs for individuals and couples without children, which is expected to lower growth in State-share Medicaid costs beginning in FY 2015.

The FY 2013 Enacted Budget includes authorization to establish a phased-takeover of local government administration of the Medicaid program, and to cap spending on local Medicaid administration at FY 2012 appropriation levels. The FY 2013 Enacted Budget also provides Medicaid spending relief for all counties and New York City by reducing the growth in local Medicaid payments. These changes are expected to further provide fiscal and administrative relief to local governments, consistent with other legislation the State has enacted in recent years to reduce the tax burden on its citizens.

The State share of DOH Medicaid spending is financed from both the General Fund, as well as special revenue funding primarily through HCRA. The chart below provides information on the financing sources for State Medicaid spending.

DEPARTMENT OF HEALTH MEDICAID									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>State Operating Funds (Before FMAP) <sup>1</sup></b>	<b>16,000</b>	<b>15,606</b>	<b>-2.5%</b>	<b>16,513</b>	<b>5.8%</b>	<b>17,049</b>	<b>3.2%</b>	<b>17,895</b>	<b>5.0%</b>
Enhanced FMAP -- State Share <sup>2</sup>	(703)	254	-136.1%	0	-100.0%	0	0.0%	0	0.0%
<b>State Operating Funds (After FMAP)</b>	<b>15,297</b>	<b>15,860</b>	<b>3.7%</b>	<b>16,513</b>	<b>4.1%</b>	<b>17,049</b>	<b>3.2%</b>	<b>17,895</b>	<b>5.0%</b>
Total General Fund	10,301	10,604	2.9%	11,158	5.2%	11,454	2.7%	12,332	7.7%
Other State Funds Support	4,996	5,256	5.2%	5,355	1.9%	5,595	4.5%	5,563	-0.6%
HCRA Financing	3,392	3,672	8.3%	3,778	2.9%	4,018	6.4%	3,986	-0.8%
Indigent Care Support	777	792	1.9%	792	0.0%	792	0.0%	792	0.0%
Provider Assessment Revenue	827	792	-4.2%	785	-0.9%	785	0.0%	785	0.0%

<sup>1</sup> Does not include Medicaid spending in other State agencies, DOH State operations spending, or the local government share of total Medicaid program spending.  
<sup>2</sup> Excludes benefits realized in other State agencies. Costs in FY 2013 reflect the reconciliation of the local share benefit for FY 2012 that will occur in FY 2013.



# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## PUBLIC HEALTH/AGING PROGRAMS

Public Health includes the EPIC program that provides prescription drug insurance to low-income seniors, the CHP program that finances health insurance coverage for children of low-income families up to the age of 19, the GPHW program that reimburses local health departments for the cost of providing certain public health services, the EI program that pays for services to infants and toddlers under the age of three with disabilities or developmental delays, and other HCRA and State-supported programs.

The SOFA promotes and administers programs and services for New Yorkers 60 years of age and older. The Office primarily oversees community-based services, including but not limited to in-home services and nutrition assistance, provided through a network of county Area Agencies on Aging and local providers.

Many public health programs, such as the EI and GPHW programs, are run by county health departments and reimbursed by the State for a share of program costs. The State spending projections do not include the county share of public health funding. In addition, a significant portion of HCRA spending is included under the public health budget. For more information on HCRA projections, see the following section entitled “HCRA Financial Plan”.

PUBLIC HEALTH AND AGING (millions of dollars)									
	FY 2012 Results	FY 2013 Enacted	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	<b>2,104</b>	<b>2,041</b>	<b>-3.0%</b>	<b>2,219</b>	<b>8.7%</b>	<b>2,315</b>	<b>4.3%</b>	<b>2,169</b>	<b>-6.3%</b>
<b>Public Health</b>	<b>1,992</b>	<b>1,925</b>	<b>-3.4%</b>	<b>2,101</b>	<b>9.1%</b>	<b>2,190</b>	<b>4.2%</b>	<b>2,037</b>	<b>-7.0%</b>
Child Health Plus	344	334	-2.9%	369	10.5%	395	7.0%	409	3.5%
General Public Health Work	247	247	0.0%	270	9.3%	283	4.8%	288	1.8%
EPIC	169	151	-10.7%	231	53.0%	255	10.4%	267	4.7%
Early Intervention	167	164	-1.8%	164	0.0%	167	1.8%	171	2.4%
HCRA Program Account	435	452	3.9%	476	5.3%	478	0.4%	478	0.0%
F-SHRP	234	175	-25.2%	205	17.1%	205	0.0%	0	-100.0%
All Other	396	402	1.5%	386	-4.0%	407	5.4%	424	4.2%
<b>Aging</b>	<b>112</b>	<b>116</b>	<b>3.6%</b>	<b>118</b>	<b>1.7%</b>	<b>125</b>	<b>5.9%</b>	<b>132</b>	<b>5.6%</b>

Increased State support for the EPIC program authorized in the FY 2013 Enacted Budget, reinstating more expansive coverage of Medicare Part D co-payments and co-insurance for enrollees outside of the existing coverage gap, is expected to drive a substantial portion of growth in the outyears of the Financial Plan. The spending increases related to EPIC coverage is partly financed by additional revenue generated from rebates received from drug manufacturers. Other spending growth over the Financial Plan period largely reflects costs associated with increased enrollment in the CHP program, as well as increased spending for GPHW, consistent with patterns in claiming from counties.

## **MULTI-YEAR FINANCIAL PLAN PROJECTIONS**

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The decline in FY 2013 spending is due in large part to decreased Federal aid. The F-SHRP program was provided to the State on a time-limited basis (expiring March 31, 2014) through a Federal waiver under certain terms and conditions aimed at improving the delivery and access of community health care services. EPIC spending is projected to temporarily decline in FY 2013, since the more expansive coverage authorized in the FY 2013 Enacted Budget does not take effect until January 1, 2013. After FY 2014, EPIC coverage is expected to stabilize, while spending is projected to increase due to the rising costs of prescription medication.

### **HCRA FINANCIAL PLAN**

HCRA was established in 1996 to help finance a portion of State health care activities in various areas of the budget: Medicaid, Public Health, and the Department of Financial Services. Extensions and modifications to HCRA continue to finance new health care programs, including FHP, and provide additional funding for the expansion of existing programs such as CHP.

HCRA receipts include surcharges and assessments on hospital revenues, a “covered lives” assessment paid by insurance carriers, a portion of cigarette tax revenues, and other revenues dedicated by statute, as well as potential future proceeds from insurance company conversions.

HCRA spending primarily finances Medicaid, EPIC, CHP, FHP and Indigent Care payments, which provide funds to hospitals that serve a disproportionate share of individuals without health insurance. HCRA also provides funding for Workforce Recruitment and Retention to health facilities, physician excess medical malpractice, and HEAL NY for capital improvements to health care facilities.

HCRA is expected to remain in balance over the multi-year projection period. Under the current HCRA appropriation structure, spending reductions will occur if resources are insufficient to meet spending levels. These spending reductions could potentially affect core HCRA programs. The reauthorization of HCRA in prior years maintained HCRA’s balance without the need for automatic spending reductions.

Given the close relationship between the General Fund and HCRA, any balances in HCRA are typically eliminated by adjusting the level of Medicaid expenditures that HCRA finances. This reduces costs that otherwise would have been supported by the General Fund. Conversely, any shortfall in HCRA is expected to be financed by the General Fund.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

HCRA FINANCIAL PLAN FY 2012 THROUGH FY 2016					
(millions of dollars)					
	<u>FY 2012</u> <u>Results</u>	<u>FY 2013</u> <u>Enacted</u>	<u>FY 2014</u> <u>Projected</u>	<u>FY 2015</u> <u>Projected</u>	<u>FY 2016</u> <u>Projected</u>
<b>Opening Balance</b>	<b>159</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Receipts</b>	<b><u>5,317</u></b>	<b><u>5,921</u></b>	<b><u>6,184</u></b>	<b><u>6,303</u></b>	<b><u>6,281</u></b>
Surcharges	2,711	3,016	3,171	3,263	3,239
Covered Lives Assessment	1,018	1,045	1,045	1,045	1,045
Cigarette Tax Revenue	1,162	1,146	1,123	1,101	1,079
Conversion Proceeds	0	250	300	300	300
Hospital Assessments	367	394	417	444	469
NYC Cigarette Tax Transfer/Other	59	70	128	150	149
<b>Total Disbursements</b>	<b><u>5,473</u></b>	<b><u>5,924</u></b>	<b><u>6,184</u></b>	<b><u>6,303</u></b>	<b><u>6,281</u></b>
Medicaid Assistance Account	<u>3,398</u>	<u>3,679</u>	<u>3,785</u>	<u>4,025</u>	<u>3,993</u>
<i>Medicaid Costs</i>	2,176	2,290	2,464	2,704	2,672
<i>Family Health Plus</i>	602	690	657	657	657
<i>Workforce Recruitment &amp; Retention</i>	184	211	197	197	197
<i>All Other</i>	436	488	467	467	467
HCRA Program Account	461	476	499	501	501
Hospital Indigent Care	777	792	792	792	792
Elderly Pharmaceutical Insurance Coverage	102	162	246	270	282
Child Health Plus	350	342	377	403	416
Public Health Programs	137	129	129	129	129
All Other	248	344	356	183	168
<b>Annual Operating Surplus/(Deficit)</b>	<b>(156)</b>	<b>(3)</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Closing Balance</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## MENTAL HYGIENE

The Department of Mental Hygiene is comprised of four independent agencies, OMH, OPWDD, OASAS, and DDPC, which is funded entirely by Federal aid, as well as one oversight agency, the CQCAPD. Services are administered to adults with serious and persistent mental illness; children with serious emotional disturbances; individuals with developmental disabilities and their families; and persons with chemical dependence. These agencies provide services directly to their patients through State-operated facilities and indirectly through community service providers. The costs associated with providing these services are funded by reimbursements from Medicaid, Medicare, third-party insurance and State funding. Patient care revenues are pledged first to the payment of debt service on outstanding mental hygiene bonds, which are issued to finance improvements to infrastructure at mental hygiene facilities throughout the State, with the remaining revenue used to support State operating costs.

MENTAL HYGIENE									
(millions of dollars)									
	FY 2012	FY 2013		FY 2014		FY 2015		FY 2016	
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	<b>3,756</b>	<b>3,640</b>	<b>-3.1%</b>	<b>4,047</b>	<b>11.2%</b>	<b>4,308</b>	<b>6.4%</b>	<b>4,500</b>	<b>4.5%</b>
<b>People with Developmental Disabilities</b>	<b>2,324</b>	<b>2,235</b>	<b>-3.8%</b>	<b>2,461</b>	<b>10.1%</b>	<b>2,571</b>	<b>4.5%</b>	<b>2,642</b>	<b>2.8%</b>
Residential Services	1,600	1,535	-4.1%	1,699	10.7%	1,779	4.7%	1,831	2.9%
Day Programs	613	588	-4.1%	650	10.5%	681	4.8%	700	2.8%
Clinic	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Other	86	87	1.2%	87	0.0%	86	-1.1%	86	0.0%
<b>Mental Health</b>	<b>1,124</b>	<b>1,090</b>	<b>-3.0%</b>	<b>1,247</b>	<b>14.4%</b>	<b>1,381</b>	<b>10.7%</b>	<b>1,486</b>	<b>7.6%</b>
Adult Local Services	938	909	-3.1%	1,042	14.6%	1,154	10.7%	1,242	7.6%
Children Local Services	186	181	-2.7%	205	13.3%	227	10.7%	244	7.5%
<b>Alcohol and Substance Abuse</b>	<b>307</b>	<b>314</b>	<b>2.3%</b>	<b>338</b>	<b>7.6%</b>	<b>355</b>	<b>5.0%</b>	<b>371</b>	<b>4.5%</b>
Outpatient/Methadone	131	135	3.1%	142	5.2%	148	4.2%	155	4.7%
Residential	112	116	3.6%	125	7.8%	132	5.6%	139	5.3%
Prevention	32	33	3.1%	38	15.2%	40	5.3%	41	2.5%
Crisis	17	17	0.0%	19	11.8%	20	5.3%	21	5.0%
Program Support	8	8	0.0%	9	12.5%	10	11.1%	10	0.0%
LGU Administration	7	5	-28.6%	5	0.0%	5	0.0%	5	0.0%
<b>CQCAPD</b>	<b>1</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>	<b>1</b>	<b>0.0%</b>

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

Local assistance spending in mental hygiene accounts for nearly half of total mental hygiene spending from State Operating Funds, and is projected to grow by an average rate of 4.6 percent annually. This growth is attributable to increases in the projected State share of Medicaid costs and projected expansion of the mental hygiene service systems, including: increases primarily associated with the OPWDD NYS-CARES program; the New York/New York III Supportive Housing agreement and community beds that are currently under development in the OMH pipeline. Additional outyear spending is assumed in Financial Plan estimates for costs associated with efforts to move individuals in nursing homes and other settings to the least restrictive setting possible, as well as several chemical dependence treatment and prevention initiatives for individuals receiving services through OASAS.

### SOCIAL SERVICES

OTDA local assistance programs provide cash benefits and supportive services to low-income families. The State's three main programs include Family Assistance, Safety Net Assistance and SSI. The Family Assistance program, which is financed by the Federal government, provides time-limited cash assistance to eligible families. The Safety Net Assistance program, financed by the State and local districts, provides cash assistance for single adults, childless couples, and families that have exhausted their five-year limit on Family Assistance imposed by Federal law. The State SSI Supplementation program provides a supplement to the Federal SSI benefit for the elderly, visually handicapped, and disabled.

TEMPORARY AND DISABILITY ASSISTANCE									
(millions of dollars)									
	FY 2012	FY 2013	FY 2014		FY 2015		FY 2016		
	Results	Enacted	Change	Projected	Change	Projected	Change	Projected	Change
<b>Total State Operating Funds</b>	1,413	1,488	5.3%	1,543	3.7%	1,441	-6.6%	1,469	1.9%
SSI	730	741	1.5%	766	3.4%	664	-13.3%	691	4.1%
Public Assistance Benefits <sup>1</sup>	513	620	20.9%	657	6.0%	657	0.0%	657	0.0%
Welfare Initiatives	24	19	-20.8%	18	-5.3%	18	0.0%	18	0.0%
All Other	146	108	-26.0%	102	-5.6%	102	0.0%	103	1.0%

<sup>1</sup> Reflects additional spending in FY 2013 that is the result of FY 2012 payment delays.

The average public assistance caseload is projected to total 553,029 recipients in FY 2013, a decrease of 2.2 percent from FY 2012 levels. Approximately 255,031 families are expected to receive benefits through the Family Assistance program, a decrease of 2.6 percent from the FY 2012 level. In the Safety Net Families program, an average of 119,791 families are expected to receive aid in FY 2013, an annual decrease of 2.9 percent. The caseload for single adults/childless couples supported through the Safety Net Families program is projected at 178,207, an annual decrease of 1.2 percent.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

OCFS provides funding for foster care, adoption, child protective services, preventive services, delinquency prevention, and child care. OCFS oversees the State's system of family support and child welfare services administered by local departments of social services and community-based organizations. Specifically, child welfare services, which are financed jointly by the Federal government, the State, and local districts, are structured to encourage local governments to invest in preventive services to reduce out-of-home placement of children. In addition, the Child Care Block Grant, which is also financed by a combination of Federal, State and local sources, supports child care subsidies for public assistance and low-income families. The youth facilities program serves youth directed by family or criminal courts to be placed in residential facilities.

CHILDREN AND FAMILY SERVICES									
(millions of dollars)									
	FY 2012 Results	FY 2013 Enacted	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	<b>1,604</b>	<b>1,543</b>	<b>-3.8%</b>	<b>1,891</b>	<b>22.6%</b>	<b>1,990</b>	<b>5.2%</b>	<b>2,094</b>	<b>5.2%</b>
Child Welfare Service	446	330	-26.0%	463	40.3%	508	9.7%	556	9.4%
Foster Care Block Grant	436	436	0.0%	464	6.4%	492	6.0%	521	5.9%
Adoption	181	175	-3.3%	182	4.0%	190	4.4%	199	4.7%
Day Care	143	220	53.8%	355	61.4%	354	-0.3%	354	0.0%
Youth Programs	109	125	14.7%	150	20.0%	155	3.3%	156	0.6%
Medicaid	113	93	-17.7%	117	25.8%	122	4.3%	127	4.1%
Committees on Special Education	64	39	-39.1%	42	7.7%	46	9.5%	51	10.9%
Adult Protective/Domestic Violence	33	34	3.0%	39	14.7%	44	12.8%	51	15.9%
All Other	79	91	15.2%	79	-13.2%	79	0.0%	79	0.0%

OCFS spending reflects expected growth in claims-based programs and an increase in child care General Fund spending to offset a reduction in available TANF dollars.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## TRANSPORTATION

In FY 2013, the DOT will provide \$4.4 billion in local assistance to support statewide mass transit systems. This funding, financed through the collection of dedicated taxes and fees, is provided to mass transit operators throughout the State to support operating costs. The MTA, due to the size and scope of its transit system, receives the majority of the statewide mass transit operating aid. Additionally, the MTA receives operating support from the Mobility Tax and MTA Aid Trust Fund, authorized in May 2009 to collect regional taxes and fees imposed within the Metropolitan Commuter Transportation District. The State collects these taxes and fees on behalf of, and disburses the entire amount to, the MTA to support the transit system. Spending from this fund is projected to grow from 5 percent to 10 percent each year from FY 2014 to FY 2016. Legislation enacted in December 2011 eliminates the MTA payroll tax for all elementary and secondary schools as well as for certain small businesses. The State is expected to compensate the MTA for the decrease in receipts from the December 2011 tax reduction.

TRANSPORTATION									
(millions of dollars)									
	FY 2012 Results	FY 2013 Enacted	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	4,230	4,378	3.5%	4,556	4.1%	4,650	2.1%	4,745	2.0%
Mass Transit Operating Aid:	1,784	1,907	6.9%	1,907	0.0%	1,907	0.0%	1,907	0.0%
Metro Mass Transit Aid	1,645	1,762	7.1%	1,762	0.0%	1,762	0.0%	1,762	0.0%
Public Transit Aid	87	93	6.9%	93	0.0%	93	0.0%	93	0.0%
18-B General Fund Aid	27	27	0.0%	27	0.0%	27	0.0%	27	0.0%
School Fare	25	25	0.0%	25	0.0%	25	0.0%	25	0.0%
Mobility Tax and MTA Aid Trust	1,725	1,742	1.0%	1,915	9.9%	2,007	4.8%	2,100	4.6%
Dedicated Mass Transit	674	683	1.3%	689	0.9%	691	0.3%	693	0.3%
AMTAP	45	45	0.0%	45	0.0%	45	0.0%	45	0.0%
All Other	2	1	-50.0%	0	-100.0%	0	0.0%	0	0.0%

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## LOCAL GOVERNMENT ASSISTANCE

Direct aid to local governments primarily includes the AIM program, which was created in FY 2006 to consolidate various unrestricted local aid funding streams. Along with AIM, the State provides incentive grants to local governments. Other direct aid to local governments includes VLT impact aid, Small Government Assistance and Miscellaneous Financial Assistance.

LOCAL GOVERNMENT ASSISTANCE STATE OPERATING FUNDS SPENDING PROJECTIONS (millions of dollars)									
	FY 2012 Results	FY 2013 Enacted	Annual % Change	FY 2014 Projected	Annual % Change	FY 2015 Projected	Annual % Change	FY 2016 Projected	Annual % Change
<b>Total State Operating Funds</b>	<b>754</b>	<b>777</b>	<b>3.1%</b>	<b>789</b>	<b>1.5%</b>	<b>801</b>	<b>1.5%</b>	<b>803</b>	<b>0.2%</b>
AIM:									
Big Four Cities	429	429	0.0%	429	0.0%	429	0.0%	429	0.0%
Other Cities	218	218	0.0%	218	0.0%	218	0.0%	218	0.0%
Towns and Villages	68	68	0.0%	68	0.0%	68	0.0%	68	0.0%
Efficiency Incentives	6	25	316.7%	42	68.0%	58	38.1%	60	3.4%
All Other Local Aid	33	37	12.1%	32	-13.5%	28	-12.5%	28	0.0%

## ALL OTHER LOCAL ASSISTANCE SPENDING

Other local assistance programs and activities include criminal justice, economic development, housing, parks and recreation and environmental quality. Spending in these areas is not expected to change materially over the Financial Plan period.



# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## AGENCY OPERATIONS

Agency operating costs include personal service, non-personal service, and GSCs. Personal service includes salaries of State employees of the Executive, Legislative, and Judicial branches, as well as overtime payments and costs for temporary employees. Non-personal service generally accounts for the cost of operating State agencies, including real estate rental, utilities, contractual payments (i.e., consultants, information technology, and professional business services), supplies and materials, equipment, telephone service and employee travel. GSCs account for the costs of fringe benefits (i.e., pensions, health insurance) provided to State employees and retirees of the Executive, Legislative and Judicial branches, and certain fixed costs paid by the State. In addition, certain agency operations of Transportation and Motor Vehicles are included in the capital projects fund type and not reflected in the State Operating Funds personal service or non-personal service totals.

Approximately 94 percent of the State workforce is unionized. The largest unions include CSEA, which primarily represents office support staff and administrative personnel, machine operators, skilled trade workers, and therapeutic and custodial care staff; PEF, which primarily represents professional and technical personnel (i.e., attorneys, nurses, accountants, engineers, social workers, and institution teachers); UUP, which represents faculty and non-teaching professional staff within the State University system; and NYSCOPBA, which represents security personnel (correction officers, safety and security officers).

Selected assumptions used in preparing the spending projections for agency operations are summarized in the following table.

FORECAST OF SELECTED PROGRAM MEASURES AFFECTING PERSONAL SERVICE AND FRINGE BENEFITS					
	Forecast				
	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Negotiated Base Salary Increases <sup>1</sup>					
CSEA	0	0	0	2%	2%
PEF	0	0	0	2%	TBD
State Workforce <sup>2</sup>	119,579	121,841	122,142	122,142	122,142
ERS Pension Contribution Rate <sup>3</sup>					
Before Amortization	16.5%	19.4%	21.6%	23.1%	20.6%
After Amortization	10.5%	11.5%	12.5%	13.5%	14.5%
PFRS Pension Contribution Rate					
Before Amortization	22.3%	26.9%	29.2%	31.3%	28.5%
After Amortization	18.5%	19.5%	20.5%	21.5%	22.5%
Employee/Retiree Health Insurance Growth Rates	6.0%	-2.7%	7.2%	8.3%	8.3%
PS/Fringe as % of Receipts (All Funds Basis)	14.7%	14.6%	14.6%	14.7%	14.9%
<sup>1</sup> Reflects current collective bargaining agreements with settled unions. Does not reflect potential impact of future negotiated workforce agreements. <sup>2</sup> Subject to direct Executive control. This table reflects State workforce counts through February 2012. <sup>3</sup> As Percent of Salary.					

Growth in agency operating spending over the multi-year Financial Plan is concentrated in agencies that operate large facilities, such as the State University, the mental hygiene agencies, and Corrections and Community Supervision. The main causes of growth include inflationary

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

increases in operating costs expected for food, medical care and prescription drugs, and energy costs in State facilities, offset by expected savings from enterprise procurement efforts.

STATE OPERATING FUNDS - AGENCY OPERATIONS									
(millions of dollars)									
	FY 2012 Results	FY 2013 Enacted	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Subject to Direct Executive Control</b>	<b>9,594</b>	<b>9,652</b>	<b>0.6%</b>	<b>9,725</b>	<b>0.8%</b>	<b>9,982</b>	<b>2.6%</b>	<b>10,392</b>	<b>4.1%</b>
Mental Hygiene	2,941	2,961	0.7%	3,078	4.0%	3,186	3.5%	3,337	4.7%
Corrections and Community Supervision	2,472	2,391	-3.3%	2,445	2.3%	2,499	2.2%	2,630	5.2%
State Police	611	643	5.2%	649	0.9%	655	0.9%	668	2.0%
Public Health	540	555	2.8%	607	9.4%	629	3.6%	634	0.8%
Tax and Finance	383	393	2.6%	395	0.5%	406	2.8%	413	1.7%
Children and Family Services	293	316	7.8%	315	-0.3%	301	-4.4%	298	-1.0%
Environmental Conservation	245	235	-4.1%	235	0.0%	236	0.4%	239	1.3%
Financial Services	210	202	-3.8%	207	2.5%	209	1.0%	212	1.4%
Temporary and Disability Assistance	150	203	35.3%	205	1.0%	216	5.4%	214	-0.9%
Parks, Recreation and Historic Preservation	183	164	-10.4%	166	1.2%	169	1.8%	171	1.2%
Workers' Compensation Board	158	151	-4.4%	150	-0.7%	153	2.0%	156	2.0%
Lottery	140	161	15.0%	155	-3.7%	159	2.6%	159	0.0%
General Services	120	154	28.3%	138	-10.4%	133	-3.6%	136	2.3%
All Other	1,148	1,123	-2.2%	980	-12.7%	1,031	5.2%	1,125	9.1%
<b>University System</b>	<b>5,538</b>	<b>5,624</b>	<b>1.6%</b>	<b>5,735</b>	<b>2.0%</b>	<b>5,881</b>	<b>2.5%</b>	<b>6,022</b>	<b>2.4%</b>
State University	5,430	5,521	1.7%	5,630	2.0%	5,773	2.5%	5,912	2.4%
City University	108	103	-4.6%	105	1.9%	108	2.9%	110	1.9%
<b>Independent Agencies</b>	<b>295</b>	<b>304</b>	<b>3.1%</b>	<b>305</b>	<b>0.3%</b>	<b>313</b>	<b>2.6%</b>	<b>321</b>	<b>2.6%</b>
Law	160	165	3.1%	163	-1.2%	167	2.5%	171	2.4%
Audit & Control	135	139	3.0%	142	2.2%	146	2.8%	150	2.7%
<b>Total, excluding Legislature and Judiciary</b>	<b>15,427</b>	<b>15,580</b>	<b>1.0%</b>	<b>15,765</b>	<b>1.2%</b>	<b>16,176</b>	<b>2.6%</b>	<b>16,735</b>	<b>3.5%</b>
Judiciary	1,827	1,856	1.6%	1,914	3.1%	2,000	4.5%	2,095	4.8%
Legislature	197	219	11.2%	221	0.9%	224	1.4%	227	1.3%
<b>Statewide Total</b>	<b>17,451</b>	<b>17,655</b>	<b>1.2%</b>	<b>17,900</b>	<b>1.4%</b>	<b>18,400</b>	<b>2.8%</b>	<b>19,057</b>	<b>3.6%</b>
Personal Service	12,047	12,165	1.0%	12,366	1.7%	12,683	2.6%	13,188	4.0%
Non-Personal Service	5,404	5,490	1.6%	5,534	0.8%	5,717	3.3%	5,869	2.7%

In most years, there are 26 bi-weekly pay periods. However, in FY 2016 there is an one additional State institutional payroll, therefore an "extra" 27th pay period results in higher spending. In addition, in FY 2016 the State will begin repayment to State employees for portions of the Deficit Reduction program taken during FY 2012 and FY 2013 as part of workforce savings initiatives.

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

### GENERAL STATE CHARGES

Fringe benefit payments, many of which are mandated by statute or collective bargaining agreements, include employer contributions for pensions, Social Security, health insurance, workers' compensation, unemployment insurance, and dental and vision benefits. The majority of employee fringe benefit costs are paid centrally from statewide appropriations. However, certain agencies, including the Judiciary and SUNY, directly pay all or a portion of their employee fringe benefit costs from their respective budgets. Employee fringe benefits paid through GSCs are paid from the General Fund in the first instance and then partially reimbursed by revenue collected from fringe benefit assessments on Federal funds and other special revenue accounts. The largest General Fund reimbursement comes from the mental hygiene agencies.

GENERAL STATE CHARGES									
(millions of dollars)									
	FY 2012 Results	FY 2013 Enacted	Change	FY 2014 Projected	Change	FY 2015 Projected	Change	FY 2016 Projected	Change
<b>Total State Operating Funds</b>	<b>6,593</b>	<b>6,422</b>	<b>-2.6%</b>	<b>7,001</b>	<b>9.0%</b>	<b>7,500</b>	<b>7.1%</b>	<b>7,974</b>	<b>6.3%</b>
<b>Fringe Benefits</b>	<b>6,217</b>	<b>6,069</b>	<b>-2.4%</b>	<b>6,646</b>	<b>9.5%</b>	<b>7,130</b>	<b>7.3%</b>	<b>7,605</b>	<b>6.7%</b>
Health Insurance	3,275	3,202	-2.2%	3,411	6.5%	3,670	7.6%	3,951	7.7%
Employee Health Insurance	2,052	1,987	-3.2%	2,132	7.3%	2,294	7.6%	2,469	7.6%
Retiree Health Insurance	1,223	1,215	-0.7%	1,279	5.3%	1,376	7.6%	1,482	7.7%
Pensions	1,697	1,600	-5.7%	2,012	25.8%	2,257	12.2%	2,467	9.3%
Social Security	914	931	1.9%	944	1.4%	969	2.6%	1,000	3.2%
All Other Fringe	331	336	1.5%	279	-17.0%	234	-16.1%	187	-20.1%
<b>Fixed Costs</b>	<b>376</b>	<b>353</b>	<b>-6.1%</b>	<b>355</b>	<b>0.6%</b>	<b>370</b>	<b>4.2%</b>	<b>369</b>	<b>-0.3%</b>

GSCs also include certain fixed costs such as State taxes paid to local governments for certain State-owned lands, and payments related to lawsuits against the State and its public officers.

GSCs are projected to increase at an average annual rate of 4.9 percent over the Financial Plan period. The annual decrease in FY 2013 is driven by the impact of collective bargaining agreements, workforce attrition and the prepayment of certain pension costs in FY 2012. Increases in future years are driven by projected growth in health insurance and pension costs, offset by revenue collected from fringe benefit assessments, particularly from the mental hygiene agencies.

# MULTI-YEAR FINANCIAL PLAN PROJECTIONS

## TRANSFERS TO OTHER FUNDS (GENERAL FUND BASIS)

GENERAL FUND TRANSFERS TO OTHER FUNDS					
(millions of dollars)					
	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Total Transfers to Other Funds</b>	<b>5,856</b>	<b>7,084</b>	<b>8,680</b>	<b>9,592</b>	<b>9,853</b>
Medicaid State Share	2,722	2,978	2,772	2,626	2,526
Debt Service	1,516	1,580	1,653	1,585	1,559
Capital Projects	798	1,055	1,293	1,408	1,301
Dedicated Highway and Bridge Trust Fund	449	499	600	624	624
All Other Capital	349	556	693	784	677
All Other Transfers	820	1,471	2,962	3,973	4,467
Mental Hygiene	0	8	803	1,732	2,320
SUNY - Disproportionate Share	225	228	228	228	228
Judiciary Funds	123	115	116	117	118
School Aid - Lottery/VLT Aid Guarantee	55	45	0	0	0
SUNY - University Operations	0	340	982	1,001	1,021
SUNY - Hospital Operations	60	81	88	88	88
Banking Services	70	57	65	65	65
Statewide Financial System	36	48	55	55	55
Indigent Legal Services	40	40	40	40	40
Department of Transportation (MTA Tax)	22	279	332	334	334
Mass Transportation Operating Assistance	47	19	19	19	19
Alcoholic Beverage Control	16	17	19	20	20
Public Transportation Systems	12	12	12	12	12
Correctional Industries	10	10	10	10	10
OFT Centralized Tech Services	0	22	52	20	10
All Other	104	150	141	232	127

General Fund transfers help finance certain capital activities, the State's share of Medicaid costs for State-operated mental hygiene facilities, debt service for bonds that do not have dedicated revenues, and a range of other activities.

A significant portion of the capital and operating expenses of DOT and DMV are funded from the DHBTF. The Fund receives dedicated tax and fee revenue from the Petroleum Business Tax, the Motor Fuel Tax, the Auto Rental Tax, highway use taxes, transmission taxes and motor vehicle fees administered by DMV. The Financial Plan includes transfers from the

## MULTI-YEAR FINANCIAL PLAN PROJECTIONS

General Fund that effectively subsidize the expenses of the DHBTF. The subsidy is required because the cumulative expenses of the fund – capital and operating expenses of DOT and DMV, debt service on DHBTF bonds and transfers for debt service on bonds that fund CHIPs and local transportation programs – exceed current and projected revenue deposits and bond proceeds.

Transfers to other funds are expected to total \$7.1 billion in FY 2013, an annual increase of \$1.3 billion, or 22 percent. This increase is mainly due to higher costs related to the Financial Plan re-categorization of SUNY operating support, supplementation to MTA for the recent payroll tax reduction, Medicaid State share, and capital projects.

### DEBT SERVICE

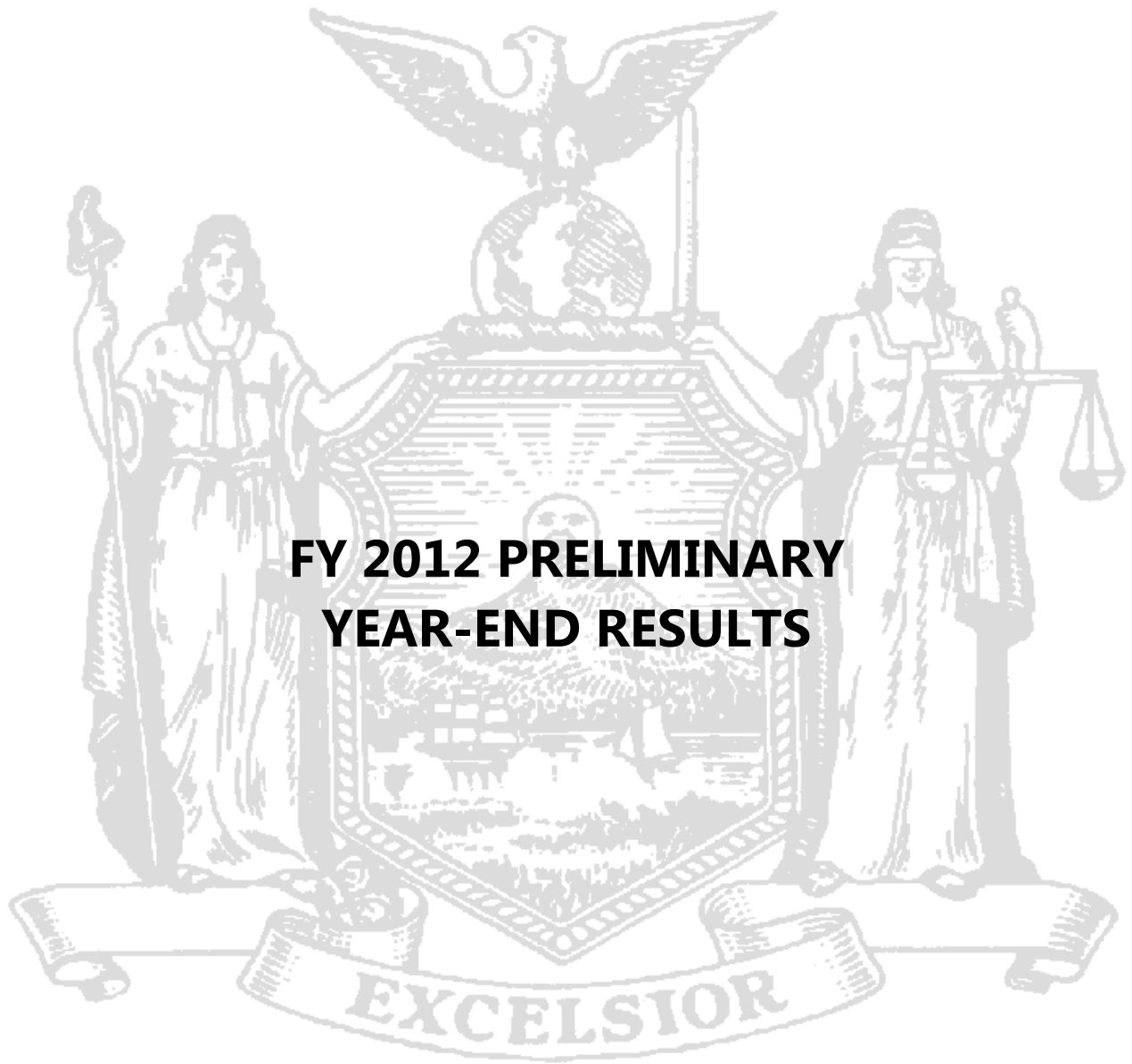
The State pays debt service on all outstanding State-supported bonds. These include general obligation bonds, for which the State is constitutionally obligated to pay debt service, as well as bonds issued by State public authorities (i.e., ESDC, DASNY, and the TA, subject to an appropriation). Depending on the credit structure, debt service is financed by transfers from the General Fund, dedicated taxes and fees, and other resources, such as patient income revenues.

<b>DEBT SERVICE SPENDING PROJECTIONS</b>				
(millions of dollars)				
	<b>FY 2012</b>	<b>FY 2013</b>	<b>Annual</b>	<b>Percent</b>
	<b>Results</b>	<b>Enacted</b>	<b>Change</b>	<b>Change</b>
<b>General Fund</b>	<b>1,516</b>	<b>1,580</b>	<b>64</b>	<b>4.2%</b>
Other State Support	4,348	4,484	136	3.1%
<b>State Operating Funds</b>	<b>5,864</b>	<b>6,064</b>	<b>200</b>	<b>3.4%</b>

Total debt service is projected at \$6.1 billion in FY 2013, of which \$1.6 billion is financed via a General Fund transfer, and \$4.5 billion from other State funds. The General Fund transfer primarily finances debt service payments on general obligation and service contract bonds. Debt service is paid directly from other State funds for the State's revenue bonds, including PIT bonds, DHBTF bonds, and mental health facilities bonds.

Enacted Budget projections for debt service spending reflect the pre-payment of \$135 million of SUNY debt service in March 2012. Otherwise, FY 2013 debt service estimates have been revised downward by approximately \$84 million, primarily to reflect bond sale results to date and anticipated savings from refundings and other debt management actions.





**FY 2012 PRELIMINARY  
YEAR-END RESULTS**





## FY 2012 PRELIMINARY YEAR-END RESULTS

This section provides a summary of preliminary, unaudited operating results for FY 2012 compared to the (1) initial projections set forth in the FY 2012 Enacted Budget; (2) revised projections included in the FY 2013 Executive Budget; and (3) results for the prior year (FY 2011).

The State ended FY 2012 in balance on a cash basis in the General Fund, with a General Fund closing balance of \$1.8 billion. The balance was \$112 million higher than revised projections. The \$112 million reflected lower than expected spending from the Community Projects Fund (leaving an additional \$51 million in the balance) and a small operating surplus (\$61 million) that is programmed as part of the FY 2013 gap-closing plan. As planned, a \$100 million deposit was made in March 2012 into the Tax Stabilization Reserve Fund.

Compared to the revised projections, both receipts and spending were below planned levels.

GENERAL FUND OPERATING RESULTS THROUGH MARCH 2012 (millions of dollars)					
	Projected		Results	Above/(Below) Variance	
	Initial	Revised		Initial	Revised
<b>Opening Balance</b>	<b>1,376</b>	<b>1,376</b>	<b>1,376</b>	<b>0</b>	<b>0</b>
<b>Receipts</b>	<b>57,293</b>	<b>57,214</b>	<b>56,900</b>	<b>(393)</b>	<b>(314)</b>
Personal Income Tax <sup>1</sup>	34,097	33,774	33,940	(157)	166
User Taxes and Fees <sup>1</sup>	11,514	11,565	11,451	(63)	(114)
Business Taxes	6,101	5,868	5,760	(341)	(108)
Other Taxes <sup>1</sup>	1,425	1,609	1,483	58	(126)
Non-Tax Revenue	4,156	4,398	4,266	110	(132)
<b>Disbursements</b>	<b>56,932</b>	<b>56,915</b>	<b>56,489</b>	<b>(443)</b>	<b>(426)</b>
Education	18,534	18,500	18,463	(71)	(37)
Health Care	10,978	10,933	10,951	(27)	18
Social Services	3,117	2,999	3,005	(112)	6
All Other Local	6,259	6,083	6,000	(259)	(83)
Personal Service	5,560	5,770	5,781	221	11
Non-Personal Service	1,796	1,795	1,713	(83)	(82)
General State Charges	4,668	4,707	4,720	52	13
Transfers To Other Funds	6,020	6,128	5,856	(164)	(272)
<b>Change in Operations</b>	<b>361</b>	<b>299</b>	<b>411</b>	<b>50</b>	<b>112</b>
<b>Closing Balance</b>	<b>1,737</b>	<b>1,675</b>	<b>1,787</b>	<b>50</b>	<b>112</b>

<sup>1</sup> Includes transfers from other funds after debt service.

## FY 2012 PRELIMINARY YEAR-END RESULTS

### GENERAL FUND VARIANCE FROM REVISED PROJECTIONS

Total taxes, including transfers from other funds after debt service, were \$182 million below the revised projections, due to lower than expected collections from user taxes and fees (\$114 million), business taxes (\$108 million) and other taxes (\$126 million), partly offset by higher than expected personal income tax receipts (\$166 million). Non-tax revenue, which includes miscellaneous receipts and certain transfers from other funds, was \$132 million below revised projections, reflecting in part the timing of legal settlements.

General Fund disbursements, including transfers to other funds, were \$426 million below revised projections. The largest variance was \$272 million in lower transfers to other funds, including Mental Hygiene State-share Medicaid costs; the State Lottery Fund due to higher than expected resources; and Capital Projects due to the timing of reimbursements.

For further explanation of variances, see “State Operating Funds Results.”

### GENERAL FUND ANNUAL CHANGE

GENERAL FUND OPERATING RESULTS YEAR-OVER-YEAR APPIL THROUGH MARCH (millions of dollars)				
	FY 2011 Results	FY 2012 Results	Above/(Below)	
			\$	%
<b>Opening Balance</b>	<b>2,302</b>	<b>1,376</b>	<b>(926)</b>	
<b>Receipts</b>	<b>54,447</b>	<b>56,900</b>	<b>2,453</b>	<b>4.5%</b>
Personal Income Tax <sup>1</sup>	31,519	33,940	2,421	7.7%
User Taxes and Fees <sup>1</sup>	11,146	11,451	305	2.7%
Business Taxes	5,279	5,760	481	9.1%
Other Taxes <sup>1</sup>	1,585	1,483	(102)	-6.4%
Non-Tax Revenue	4,918	4,266	(652)	-13.3%
<b>Disbursements</b>	<b>55,373</b>	<b>56,489</b>	<b>1,116</b>	<b>2.0%</b>
2010 School Aid Deferral	2,060	0	(2,060)	-100.0%
Education	18,104	18,463	359	2.0%
Health Care	8,127	10,951	2,824	34.7%
Social Services	2,860	3,005	145	5.1%
All Other Local	6,055	6,000	(55)	-0.9%
Personal Service	6,151	5,781	(370)	-6.0%
Non-Personal Service	1,822	1,713	(109)	-6.0%
General State Charges	4,187	4,720	533	12.7%
Transfers To Other Funds	6,007	5,856	(151)	-2.5%
<b>Change in Operations</b>	<b>(926)</b>	<b>411</b>	<b>1,337</b>	
<b>Closing Balance</b>	<b>1,376</b>	<b>1,787</b>	<b>411</b>	

<sup>1</sup> Includes transfers from other funds after debt service.

## **FY 2012 PRELIMINARY YEAR-END RESULTS**

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The comparison of results on a year-over-year basis is affected by a number of cash management actions taken by the State in FY 2010 and FY 2011 to preserve liquidity and manage expenses. In the General Fund, the change in the opening balances for FY 2011 and FY 2012 was affected by the movement of a \$2.1 billion School Aid payment from March 2010 to the statutory deadline of June 2010. This movement of costs had the effect of increasing the opening balance in FY 2011. The higher balance was drawn down in June 2011 when the payment was made.

Total receipts during FY 2012 were \$2.5 billion (4.5 percent) higher than in the prior fiscal year. Tax receipts in FY 2012 were \$3.1 billion (6.3 percent) above FY 2011 levels. Non-tax revenue was below FY 2011 levels mainly due to lower transfers into the General Fund in FY 2012 from other State funds pursuant to generic special revenue account sweep authorization and non-recurring transfers from a number of other State funds pursuant to FMAP Contingency Plan savings allocations in FY 2011.

Spending in FY 2012 was \$1.1 billion (2.0 percent) above the prior year. Excluding the impact of the school aid deferral, most of the local increases were due to the phase-out of extraordinary Federal aid (including the enhanced Federal share of Medicaid, Federal ARRA Stabilization funding, and the TANF Emergency Contingency Fund) that temporarily reduced State-share spending in FY 2011.

Annual General Fund spending for agency operations in FY 2012 was lower than in FY 2011, consistent with management expectations and continued efforts in managing the workforce and controlling costs. Annual growth in GSCs was mainly due to employee fringe benefit costs and workers' compensation payments; the pre-payment of pension costs during the final quarter of FY 2012; and lower reimbursement from non-General Funds.

### **STATE OPERATING FUNDS RESULTS**

The State ended March 2012 with a closing balance of \$3.8 billion in State Operating Funds, \$277 million below the revised projection. This was mainly due to the combination of higher than expected spending from self-financing special revenue funds, and lower resources.

## FY 2012 PRELIMINARY YEAR-END RESULTS

STATE OPERATING FUNDS RESULTS APRIL - MARCH 2012					
(millions of dollars)					
	Projected		Results	Above/(Below) Variance	
	Initial	Revised		Initial	Revised
<b>Opening Balance</b>	<b>3,969</b>	<b>3,969</b>	<b>3,969</b>	<b>0</b>	<b>0</b>
<b>Receipts</b>	<b>83,014</b>	<b>82,679</b>	<b>82,616</b>	<b>(398)</b>	<b>(63)</b>
Personal Income Tax	39,059	38,664	38,768	(291)	104
User Taxes and Fees	14,059	14,124	13,977	(82)	(147)
Business Taxes	7,544	7,302	7,253	(291)	(49)
Other Taxes	2,953	3,109	2,962	9	(147)
Miscellaneous/Federal Receipts	19,399	19,480	19,656	257	176
<b>Disbursements</b>	<b>86,879</b>	<b>87,048</b>	<b>87,181</b>	<b>302</b>	<b>133</b>
Education	21,429	21,395	21,360	(69)	(35)
Health Care	17,292	17,301	17,289	(3)	(12)
Social Services	3,128	3,010	3,017	(111)	7
All Other Local	15,912	15,842	15,601	(311)	(241)
Personal Service	11,677	11,876	12,047	370	171
Non-Personal Service	5,051	5,211	5,404	353	193
General State Charges	6,530	6,536	6,593	63	57
Debt Service	5,855	5,872	5,864	9	(8)
Capital Projects	5	5	6	1	1
<b>Other Financing Sources</b>	<b>4,431</b>	<b>4,524</b>	<b>4,443</b>	<b>12</b>	<b>(81)</b>
<b>Change in Operations</b>	<b>566</b>	<b>155</b>	<b>(122)</b>	<b>(688)</b>	<b>(277)</b>
<b>Closing Balance</b>	<b>4,535</b>	<b>4,124</b>	<b>3,847</b>	<b>(688)</b>	<b>(277)</b>

### STATE OPERATING FUNDS RECEIPTS

Total receipts were \$63 million below revised projections, with lower than projected tax receipts of \$239 million, offset by \$176 million in higher miscellaneous receipts. The most significant variances include:

- Personal Income Tax (\$104 million above) were mainly due to stronger than expected withholding (\$132 million) and audit receipts (\$47 million), partly offset by less favorable refunds (\$36 million) and estimated payments (\$31 million).
- User Taxes and Fees (\$147 million below) are the result of a sales tax audit shortfall (\$43 million), subpar non-audit sales tax collections (\$79 million) likely related to the unseasonably warm weather during the winter months, and lower than expected cigarette and tobacco receipts (\$31 million).
- Business Taxes (\$49 million below) is based principally on lower than expected audit collections (\$28 million) and weaker than anticipated performance in the telecom sector.
- Other Taxes (\$147 million below) reflects lower than expected estate tax receipts, an impact reflected in the lack of super-large estate payments exceeding \$25 million.

## **FY 2012 PRELIMINARY YEAR-END RESULTS**

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- Miscellaneous/Federal Receipts (\$176 million above) reflects higher than expected miscellaneous receipts related to mental hygiene institutional revenues, lottery sales, and SUNY income.

Compared to initial projections, tax receipts were \$655 million lower than estimated due largely to weaker than expected personal income tax withholding and estimated payments, partly offset by lower refunds, higher than estimated use of business tax credit carry-forwards and weaker than anticipated performance in the telecom sector.

### **STATE OPERATING FUNDS SPENDING**

Total State Operating Funds spending was \$133 million above the revised estimate. While most State agencies spent less than planned, SUNY spending in special revenue funds exceeded planned levels, including spending for SUNY's teaching hospitals.

## FY 2012 PRELIMINARY YEAR-END RESULTS

### STATE OPERATING FUNDS ANNUAL CHANGE

APRIL - MARCH STATE OPERATING FUNDS RESULTS YEAR-OVER-YEAR (millions of dollars)				
	FY 2011 Results	FY 2012 Results	Above/(Below)	
			\$	%
<b>Opening Balance</b>	<b>4,810</b>	<b>3,969</b>	<b>(841)</b>	
<b>Receipts</b>	<b>78,792</b>	<b>82,616</b>	<b>3,824</b>	<b>4.9%</b>
Personal Income Tax	36,209	38,768	2,559	7.1%
User Taxes and Fees	13,608	13,977	369	2.7%
Business Taxes	6,657	7,253	596	9.0%
Other Taxes	3,058	2,962	(96)	-3.1%
Miscellaneous/Federal Receipts	19,260	19,656	396	2.1%
<b>Disbursements</b>	<b>84,417</b>	<b>87,181</b>	<b>2,764</b>	<b>3.3%</b>
2010 School Aid Deferral	2,060	0	(2,060)	-100.0%
Education	21,262	21,360	98	0.5%
Health Care	13,813	17,289	3,476	25.2%
Social Services	2,870	3,017	147	5.1%
All Other Local	15,290	15,601	311	2.0%
Personal Service	12,422	12,047	(375)	-3.0%
Non-Personal Service	4,965	5,404	439	8.8%
General State Charges	6,102	6,593	491	8.0%
Debt Service	5,614	5,864	250	4.5%
Capital Projects	19	6	(13)	-68.4%
<b>Other Financing Sources</b>	<b>4,784</b>	<b>4,443</b>	<b>(341)</b>	
<b>Change in Operations</b>	<b>(841)</b>	<b>(122)</b>	<b>719</b>	
<b>Closing Balance</b>	<b>3,969</b>	<b>3,847</b>	<b>(122)</b>	

Total receipts in FY 2012 were \$3.8 billion higher (4.9 percent) than in the prior fiscal year.

Tax receipts in FY 2012 were \$3.4 billion above FY 2011 levels. Higher personal income tax collections are attributable to increases in extensions (\$1.2 billion), estimated payments (\$700 million) and lower refund payments (\$530 million). User tax results reflect increased taxable consumer and business spending. Higher Business Tax receipts are attributable to growth in audit (\$138 million) and non-audit (\$458 million) receipts reflecting the recovery of corporate franchise and bank taxes, in addition to the impact of the tax credit deferral on the corporate franchise tax. Lower other tax collections are primarily attributable to a lack of super-large estate payments above \$25 million when compared to FY 2011.

Miscellaneous receipts in FY 2012 were \$396 million above FY 2011 results. Growth in miscellaneous and Federal receipts is almost entirely a result of increased revenue generated in the SUNY Income Revenue Fund.

## **FY 2012 PRELIMINARY YEAR-END RESULTS**

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Actual spending result in FY 2012 was \$2.8 billion (3.3 percent) above the prior year. This annual growth is affected by several factors: (a) enhanced Federal share of Medicaid (FMAP) benefit that temporarily lowered State Medicaid spending; (b) a school aid payment deferred from FY 2010 to FY 2011; (c) planned pension amortization; (d) payment of retroactive labor settlements; and (e) the pre-payment of expenses due in FY 2013, including \$135 million in debt service, \$117 million in pension costs, and \$25 million in litigation expenses. When adjusted for these factors, FY 2012 spending grew by approximately 2.0 percent compared to FY 2011.

Most of the local increases were due to reductions in Federal aid, including the expiration of the temporary enhanced Federal share of Medicaid costs, which added approximately \$2.9 billion in State share costs compared to FY 2011; the phase-out of Federal ARRA Stabilization funds that temporarily reduced State spending for education and other programs during FY 2011; and the one-time General Fund relief provided by the TANF Emergency Contingency Fund during FY 2011. The remaining growth is attributable to additional spending on Medicaid and other Public Health programs mainly financed from the HCRA Fund and Mental Hygiene programs.

Personal service spending declined by 3 percent from the prior year consistent with management expectations and continued efforts in managing the workforce. The General Fund decline in non-personal spending of \$109 million is more than offset by annual growth in Special Revenue Fund spending totaling \$548 million. This increase is mainly attributable to higher NPS spending by SUNY (\$494 million). Growth in GSCs is mainly due to employee fringe benefit costs and workers' compensation payments, as well as the pre-payment of pension costs during the final quarter of FY 2012. Higher debt service costs in FY 2012 were consistent with Financial Plan expectations.

## FY 2012 PRELIMINARY YEAR-END RESULTS

### ALL GOVERNMENTAL FUNDS

All Governmental Funds ended March 2012 with a closing balance of \$3.4 billion, \$509 million below the revised projection due to the combination of higher than expected receipts and spending, and lower resources available from other financing sources.

All GOVERNMENTAL FUNDS RESULTS THROUGH MARCH 2012 (millions of dollars)					
	Projected		Results	Above/(Below)	
	Initial	Revised		Initial	Revised
<b>Opening Balance</b>	<b>3,812</b>	<b>3,812</b>	<b>3,812</b>	<b>0</b>	<b>0</b>
<b>Receipts</b>	<b>131,688</b>	<b>132,306</b>	<b>132,745</b>	<b>1,057</b>	<b>439</b>
Taxes	64,976	64,532	64,297	(679)	(235)
Miscellaneous Receipts	23,407	23,832	23,837	430	5
Federal Grants	43,305	43,942	44,611	1,306	669
<b>Disbursements</b>	<b>131,698</b>	<b>132,735</b>	<b>133,504</b>	<b>1,806</b>	<b>769</b>
State Operating Funds	86,879	87,048	87,181	302	133
Capital Projects Funds	7,888	8,078	7,836	(52)	(242)
Federal Operating Funds	36,931	37,609	38,487	1,556	878
<b>Other Financing Sources</b>	<b>459</b>	<b>486</b>	<b>307</b>	<b>(152)</b>	<b>(179)</b>
<b>Change in Operations</b>	<b>449</b>	<b>57</b>	<b>(452)</b>	<b>(901)</b>	<b>(509)</b>
<b>Closing Balance</b>	<b>4,261</b>	<b>3,869</b>	<b>3,360</b>	<b>(901)</b>	<b>(509)</b>

Total receipts were \$439 million above projections, comprised of \$235 million in lower than projected tax receipts (described earlier) that were more than offset by \$669 million in higher Federal grants related almost entirely to the Medicaid program and Federal disaster assistance aid.

Total spending was \$769 million above the revised projections, comprised of higher than projected spending for both State Operating Funds spending (described earlier) and Federal Funds, partly offset by lower Capital Projects Funds spending. This level of additional spending is expected to recur in FY 2013, thereby increasing the FY 2013 All Governmental Funds spending estimate included in the Executive Budget by approximately \$800 million.

Federal spending was \$878 million above plan attributable to higher than projected Federal Medicaid spending (\$703 million), which includes earlier than expected settlement of prior-year claims under the Disproportionate Share and Upper Payment Limit programs. The most significant other Federal spending variances include higher spending for disaster assistance services related to Hurricane Irene and Tropical Storm Lee, and social service programs under Federal Title IV grants.

Lower Capital Projects spending occurred mainly in the areas of health, environment and mental hygiene, a portion of which is expected to be disbursed in FY 2013.



## FY 2012 PRELIMINARY YEAR-END RESULTS

### ALL GOVERNMENTAL FUNDS ANNUAL CHANGE

APRIL - MARCH ALL GOVERNMENTAL FUNDS RESULTS YEAR-OVER-YEAR				
(millions of dollars)				
	FY 2011	FY 2012	Above/(Below)	
	Results	Results	\$	%
<b>Opening Balance</b>	4,859	3,812	(1,047)	
<b>Receipts</b>	133,322	132,745	(577)      -0.4%	
Taxes	60,870	64,297	3,427      5.6%	
Miscellaneous Receipts	23,148	23,837	689      3.0%	
Federal Grants	49,304	44,611	(4,693)      -9.5%	
<b>Disbursements</b>	134,825	133,504	(1,321)      -1.0%	
State Operating Funds	84,417	87,181	2,764      3.3%	
Capital Projects Funds	7,844	7,836	(8)      -0.1%	
Federal Operating Funds	42,564	38,487	(4,077)      -9.6%	
<b>Other Financing Sources</b>	456	307	(149)	
<b>Change in Operations</b>	(1,047)	(452)	595	
<b>Closing Balance</b>	3,812	3,360	(452)	





**FISCAL IMPACT ON  
LOCAL GOVERNMENTS**



# FISCAL IMPACT ON LOCAL GOVERNMENTS

## FISCAL IMPACT ON LOCAL GOVERNMENTS

This section presents the estimated fiscal impact of the FY 2013 Enacted Budget on New York's municipalities as required by State Finance Law. To supplement the narrative below, charts detailing the local government impact are provided in the "Financial Plan Tables" section of this report.

### MAJOR ACTIONS AFFECTING LOCAL GOVERNMENTS

- **State Relief for Local Medicaid Expenses.** The Enacted Budget provides substantial relief in health care spending for all counties and the City of New York. The Budget proposes to take over growth in the local share of Medicaid costs and implement a phased takeover of local government Medicaid administration expenses. In FY 2014, local government Medicaid growth will be reduced to 2 percent, then reduced by an additional one percent annually over the subsequent two years so that in 2015, county and New York City Medicaid expenses will no longer grow. The takeover of the 3 percent Medicaid growth factor will save counties and New York City \$1.2 billion over five State fiscal years. The phased takeover of local government administration of Medicaid will accomplish statewide economies of scale, lead to associated savings and help New York achieve reforms proposed at the State and Federal level.
- **Tier VI Pension Reform.** The enactment of the new Tier VI was a critical step to providing State and local governments with necessary fiscal relief over the years to come. The new Tier VI pension design for new public employees will save public employers — counties, school districts, cities (including New York City), towns and villages — an estimated \$61 billion over 30 years. Changes include increasing employee contributions in a progression fashion based on salary, raising the retirement age, modifying the pension multiplier, limiting overtime and other payments from the formula used to calculate final average salary for pension allowances, and making a defined contribution option available to certain employees.
- **Reform EI Program.** The EI program provides a comprehensive array of therapeutic and support services to children under the age of three with confirmed disabilities (i.e., autism, cerebral palsy) or developmental delays. The program serves approximately 72,000 children annually and is jointly financed by Federal, State and local governments. The Enacted Budget includes program modifications to relieve administrative burdens on localities as well as reduce reimbursement lags. In total, these initiatives will generate cumulative local savings totaling over \$54 million over five years.

## FISCAL IMPACT ON LOCAL GOVERNMENTS

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### LOCAL YEAR 2013 FISCAL SUMMARY

Enacted Budget actions, in total, will result in a positive local impact estimated at nearly \$1.1 billion for local FYs ending in 2013 — the first full-annual local fiscal year affected by the recently enacted State Budget. Overall, this total net impact is \$117 million greater than the impact of the Executive Budget (\$942 million), with the most significant increases occurring in school aid and an expanded acceleration of AIM aid to certain cities. The fiscal summary of the impact on local governments for local FY 2013 is as follows:

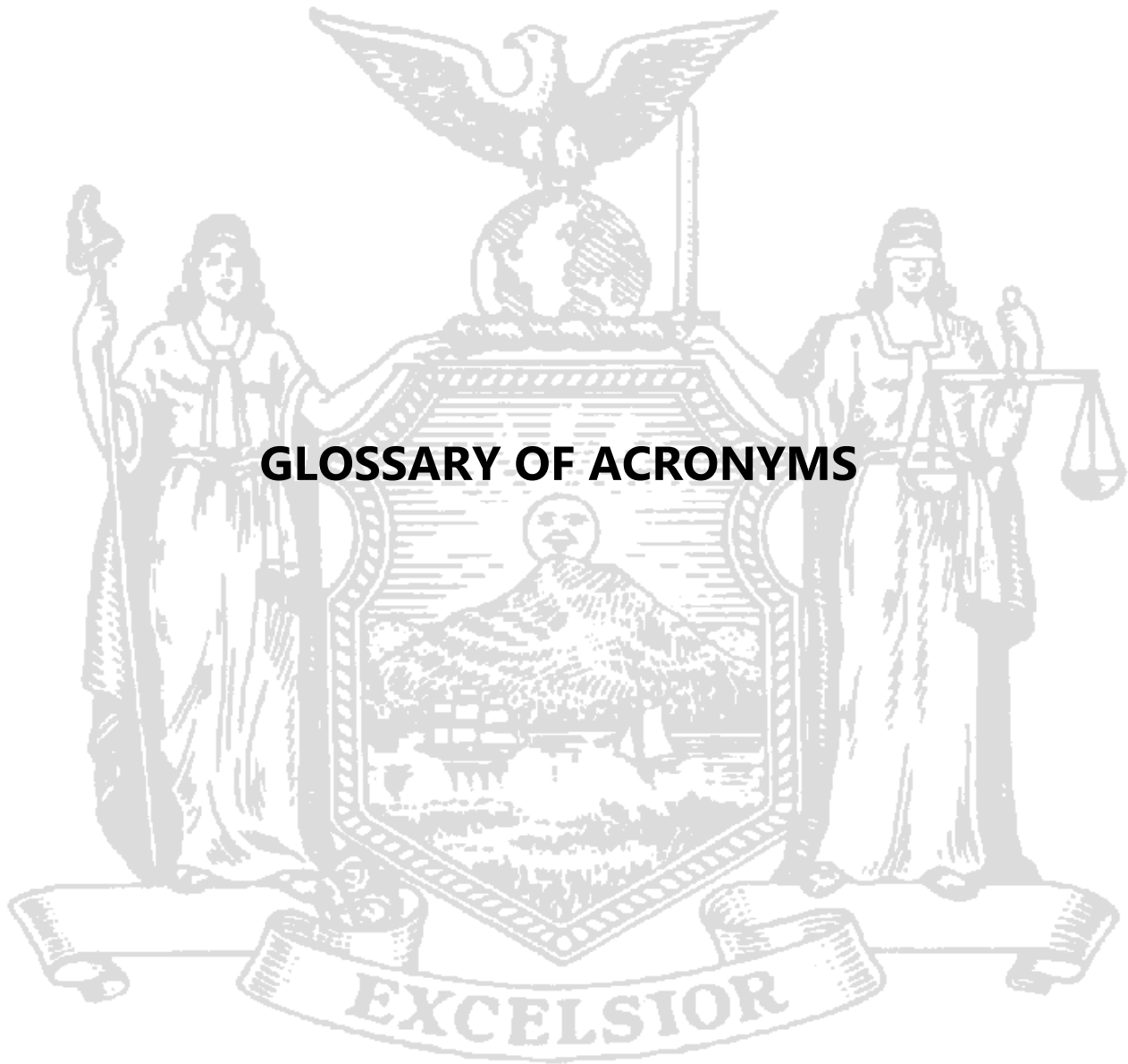
- **School Districts:** School districts outside of New York City will realize a \$490 million positive impact in the 2013 School Year driven mostly by a \$462 million increase in school aid (exclusive of the competitive performance grants). School districts will also experience \$28 million in savings from the creation of the new Pension Tier VI.
- **New York City:** A \$302 million positive impact is estimated for New York City due primarily to \$293 million in additional aid for New York City schools (exclusive of the competitive performance grants), and \$11 million from the phased takeover of the Medicaid growth factor. Other actions include \$8 million in increased transit assistance for NYCDOT and the Staten Island Ferry, and \$1 million in savings from EI program reforms. These savings will be partially offset by an \$11 million net decrease for human services programs.
- **Counties:** County governments will realize an estimated \$71 million net positive impact in 2013, primarily due to \$34 million in increased revenue from additional red light camera authorization in Nassau and Suffolk counties, \$14 million from the phased takeover of the Medicaid growth factor, and \$10 million in savings from the creation of the new Pension Tier VI. In addition, counties will realize \$10 million in increased assistance for downstate county transit systems, and \$1 million in savings from EI program reforms.
- **Other Municipalities:** Other cities, towns and villages will experience a \$71 million positive impact in local FY ending 2013 attributed to \$64 million in accelerated AIM assistance to certain cities and \$7 million in savings from the creation of a new Pension Tier VI.

### MAJOR CHANGES FROM THE EXECUTIVE BUDGET

- **Education:** The Enacted Budget provides an additional \$200 million in formula-based School Aid, funded through a reallocation of performance grant funding.
- **Human Services:** The Legislature partially rejected \$13.6 million in savings from the scheduled delay of public assistance grant increases that was recommended in the Executive Budget. The Enacted Budget will provide the second 5 percent increase in October 2012, rather than July 2013.
- **Health:** The commercial insurance portion of the Executive Budget EI Reform package was rejected by the Legislature, lowering savings by \$1.9 million.
- **Other:** In addition to the City of Rochester, the Enacted Budget provides for an acceleration of AIM to 12 other cities (\$36 million), as well as additional indigent legal services grants to counties (\$4 million).







**GLOSSARY OF ACRONYMS**



## GLOSSARY OF ACRONYMS

AF .....	All Funds
AIM.....	Aid and Incentive for Municipalities
AMTAP .....	Additional Mass Transit Assistant Program
APSU .....	Agency Police Services Unit
ARC .....	Annual Required Contribution
ARRA .....	American Recovery and Reinvestment Act of 2009
BCA .....	Budget Control Act
CHIPs.....	Consolidated Highway Improvement Programs
CHP.....	Child Health Plus
CMS .....	Centers for Medicare and Medicaid Services
COLA.....	Cost-of-Living Adjustment
CPI .....	Consumer Price Index
CQCAPD .....	Commission on Quality of Care and Advocacy for Persons with Disabilities
CSEA .....	Civil Service Employees Association
CUNY .....	City University of New York
CY .....	County Year
DASNY.....	Dormitory Authority of the State of New York
DDPC.....	Developmental Disabilities Planning Council
DHBTf .....	Dedicated Highway and Bridge Trust Fund
DMV .....	Department of Motor Vehicles
DOB .....	Division of the Budget
DOCCS .....	Department of Corrections and Community Supervision
DOH.....	Department of Health
DOT .....	Department of Transportation
DSH .....	Disproportionate Share Hospital
EI .....	Early Intervention
EITC.....	Earned Income Tax Credit
EPIC.....	Elderly Pharmaceutical Insurance Coverage
ERI .....	Early Retirement Incentive
ERS .....	Employees' Retirement System
ESDC .....	Empire State Development Corporation
EXCEL.....	Expanding Our Children's Education and Learning
FHP .....	Family Health Plus
FMAP.....	Federal Medical Assistance Percentage
F-SHRP .....	Federal-State Health Reform Partnership
FTE .....	Full-Time Equivalent
FY .....	Fiscal Year
GAAP.....	Generally Accepted Accounting Principles
GASB.....	Governmental Accounting Standards Board
GASB 45 .....	Governmental Accounting Standards Board Statement 45
GEA .....	Gap Elimination Adjustment
GDP .....	Gross Domestic Product
GOER.....	Governor's Office of Employee Relations
GPHW.....	General Public Health Work
GSCs .....	General State Charges
HCRA .....	Health Care Reform Act

## GLOSSARY OF ACRONYMS

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HEAL NY	Health Care Efficiency and Affordability Law for New Yorkers
HESC	Higher Education Services Corporation
IRS	Internal Revenue Service
LGAC	Local Governmental Assistance Corporation
MCFFA	Medical Care Facilities Financing Agency
MTA	Metropolitan Transportation Authority
MCTD	Metropolitan Commuter Transportation District
NPS	Non-personal Service
NYS-CARES	New York State - Creating Alternatives in Residential Environments and Services
NYSCOPBA	New York State Correctional Officers and Police Benevolent Association
NY HELPS	New York Higher Education Loan Program
OASAS	Office of Alcoholism and Substance Abuse Services
OCA	Office of Court Administration
OCFS	Office of Children and Family Services
OFT	Office for Technology
OGS	Office of General Services
OMH	Office of Mental Health
OPEB	Other Post-Employment Benefits
OPWDD	Office for People with Developmental Disabilities
OSC	Office of the State Comptroller
OTDA	Office of Temporary and Disability Assistance
PAYGO	Pay-As-You-Go
PBT	Petroleum Business Tax
PEF	Public Employees Federation
PFRS	Police and Fire Retirement System
PIT	Personal Income Tax
RBTF	Revenue Bond Tax Fund
SAGE	Spending and Government Efficiency Commission
SED	State Education Department
SOFA	New York State Office for the Aging
SONYMA	State of New York Mortgage Agency
SSI	Supplemental Security Income
STAR	School Tax Relief
STIP	Short-Term Investment Pool
SUNY	State University of New York
SY	School Year
TA	Thruway Authority
TANF	Temporary Assistance for Needy Families
TAP	Tuition Assistance Program
UPL	Ultra Petroleum Corp.
UUP	United University Professions
VLT	Video Lottery Terminal



**FINANCIAL PLAN TABLES AND ACCOMPANYING  
NOTES**



# FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

The notes to the Financial Plan tables herein should be read in conjunction with the tables that follow.

## NOTE 1 — BASIS OF ACCOUNTING

Pursuant to law, all Financial Plan tables presented herein are prepared on the cash basis of accounting, unless otherwise noted. Under the cash basis of accounting, revenues are recognized when received, and spending is recorded when cash is disbursed.

## NOTE 2 — FUND TYPES AND PERSPECTIVES

The State records its transactions in the following fund types:

### Governmental Funds

**General Fund** - This is the major operating fund of the State. It receives all State income not earmarked for a particular program or activity and not specified by law to be deposited in another fund. State income for Financial Plan purposes consists of moneys (taxes, fees, and miscellaneous receipts including certain repayments of State advances) deposited to the credit of the General Fund during the fiscal year and transfers from other funds from current revenues.

**Special Revenue** - These funds account for State receipts from specific revenue sources and are legally restricted to disbursement for specified purposes. This governmental fund type is divided into two classifications: (1) State Special Revenue Funds and (2) Federal Special Revenue Funds. An example of a State Special Revenue Fund is the Conservation Fund which finances a number of State environmental programs. An example of a Federal Special Revenue Fund is the Health and Human Services Fund. Although any earmarked revenue fund is treated as a Special Revenue Fund for cash-basis budgeting and reporting purposes, some are combined with the General Fund for purposes of reporting on the basis of GAAP.

**Debt Service** - All tax-financed State debt service on long-term debt and payments on certain lease-purchase or other contractual obligations are paid from Debt Service funds. These account for the accumulation of money for, and the payment of principal and interest on, general long-term debt. Lease-purchase payments for State University, Health and Mental Hygiene facilities under contractual agreements with public authorities are also paid from funds classified as Debt Service funds. Debt service on highway bonds supported by dedicated highway revenues is also reflected in this fund type. Sources of revenue for this fund type include transfers from the General Fund, dedicated taxes, and other revenues.

## **FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES**

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**Capital Projects** - These funds finance a variety of capital construction costs including: (1) planning, land acquisition, design, construction, construction management and supervision, and equipment costs; (2) highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects, and buildings and other capital facilities required by various State departments and agencies; (3) payments to local governments to help finance their capital programs, including highway, parkway, bridge, mass transportation, aviation, economic development, port development, community college, community and State mental health, outdoor recreation, State-assisted housing, and environmental quality; and (4) advances for capital construction costs reimbursable by public authorities, instrumentalities of the State, the Federal government or local governments. Sources of revenue for this fund type include transfers from other State funds including the General Fund, dedicated taxes and other revenues, reimbursement of advances, bond proceeds, and Federal capital grants.

### **State Operating Funds**

The State Funds operating budget comprises the General Fund and other State-supported activities financed by dedicated revenues in State Special Revenue funds, as well as Debt Service funds accounting for the payment of debt service on all tax-financed State long-term debt. All capital spending, regardless of financing source, is included in the Capital budget.

### **Proprietary Funds**

**Internal Service Funds** - Account for the financing of goods or services supplied by one State agency to other State agencies or governmental units on a cost-reimbursement basis.

**Enterprise Funds** - Account for operations that are similar to private business enterprises.

The Internal Service funds and Enterprise funds are treated as Proprietary funds for cash-basis budgeting and reporting purposes, and are combined with the General Fund for purposes of reporting on a GAAP basis.

### **Fiduciary Funds**

**Private-Purpose Trust Funds** - Accounts for all trust arrangements under which principal and income benefit individuals, private organizations, or other governments.

**Pension Trust Fund** - Accounts for the cash-basis results of operations for the administrative portion of the State's Common Retirement Fund. It does not reflect investment activity, balances, or other assets available to this Fund. In addition, pension contributions and payments to retirees are excluded since these payments are not required to be appropriated.

**Agency Funds** - Accounts for funds held by the State in a purely custodial capacity. Cash is held temporarily until disbursements are made to individuals, private organizations, or other governments.



### NOTE 3 — DISBURSEMENT DESCRIPTIONS

The State's Financial Plan and reporting includes only those payments made pursuant to an appropriation and paid from funds available in the State Treasury. All State spending is classified in one of the following categories:

**Local Assistance Grants** - Include payments to counties, cities, towns, villages, school districts and other local entities, as well as certain financial assistance to, or on behalf of, individuals and nonprofit organizations.

**Personal Service** - Includes the payment of salaries and compensation for State employees.

**Non-Personal Service** - Includes payments for miscellaneous contractual obligations, supplies and materials, travel, rentals and repairs, utilities, postage and shipping, printing, telephone, and other operating costs.

**General State Charges** - Include costs mandated by statute, collective bargaining agreements or court order. Charges in this category can be further subdivided into the following:

Fringe Benefits: Contributions to pension systems, the employer's share of Social Security contributions, employer contributions toward the cost of health insurance, workers compensation and unemployment insurance, and contributions to union employee benefit funds which provide vision care and other services.

Fixed Costs: For State payments in lieu of taxes, as well as payments for local assessments on State-owned land, judgments against the State pursuant to the Court of Claims Act, defense(s) by private counsel or alternatively payments on behalf of State officers and employees in civil judicial proceedings.

**Debt Service** - Include payments made for tax-financed State debt service on long-term debt; contractual-obligation and lease-purchase arrangements with several public authorities and municipalities; and lease-purchase payments for State University, Health, and Mental Hygiene facilities.

**Capital Projects** - Include payments made for the acquisition or construction of the State's capital facilities. Included in this category are planning, land acquisition, design, construction, engineering services, and equipment costs attributable to highway, parkway and rail preservation projects; outdoor recreation and environmental conservation projects; payments to local government units and public authorities to help finance highways, parkways, bridges, mass transportation, aviation, economic development, port development, community colleges, community and State mental hygiene buildings, outdoor recreational parks, correctional and State-assisted housing as well as environmental quality projects. Advances are made for capital construction costs reimbursable by public authorities, the Federal or local governments, or from the proceeds of State bond and note sales.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

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**Bond Proceeds** - Includes the proceeds of general obligation bonds and short-term notes issued in the form of commercial paper or BANs and are stated net of notes redeemed from the proceeds of permanent bonds or reissued notes.

**Operating Transfers** - Constitutes legally authorized transfers from a fund receiving revenues, to a fund through which disbursements will ultimately be made.

### NOTE 4 — RESERVATIONS OF GENERAL FUND BALANCE

Fund balance may be legally segregated for specific future use or set aside informally for specified purposes. The following terms are used in the reporting of reservations of General Fund Balance:

**Tax Stabilization Reserve Fund** - Created pursuant to law in order to provide a reserve to finance a cash-basis operating deficit in the General Fund at the end of the fiscal year, and to make temporary loans to the General Fund during the year. Annual deposits may not exceed 0.2 percent of General Fund spending and the balance may not exceed 2.0 percent of General Fund spending. These amounts may be borrowed by the General Fund temporarily and repaid within the same fiscal year. They may also be borrowed to cover an operating deficit at year end, but these loans must be repaid within six years in no less than three annual installments.

**Rainy Day Reserve Fund** - Created pursuant to law, to account for funds set aside for use during economic downturns or in response to a catastrophic event, as defined in the law. The economic downturn clause is triggered after five consecutive months of decline in the State's composite index of business cycle indicators. The reserve may have a maximum balance equal to three percent of projected General Fund spending during the fiscal year immediately following the then-current fiscal year.

**Contingency Reserve Fund** - Created pursuant to law to provide a reserve to fund extraordinary needs arising from litigation against the State. These amounts may be used for payment of judgments against the State where the amount is in excess of \$25 million and such payments are not previously appropriated, or emergency payments relating to natural or physical disasters, or to make payments for the enhancement of the State's economy.

**Community Projects Fund** - Created pursuant to law to finance discretionary, usually local, projects ("member items") sponsored by individual legislators and the Governor. The Enacted State Budget typically includes lump sum appropriations for the Governor, Senate and the Assembly, to be designated for various grants, awards and contracts with local governments, not-for-profit organizations and community groups. During the fiscal year, each party may allocate their respective appropriations, by grant amount, to the intended recipient organizations.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

**Undesignated Fund Balance** - From time to time, DOB will informally designate unrestricted balances in the General Fund for specific policy goals. These amounts are typically identified with the phrase “reserved for.”

### NOTE 5 — SPENDING ADJUSTMENTS

Certain Special Revenue accounts formerly reported in the Financial Plan as Federal Operating Funds have been reclassified to State Operating Funds. The State changed this reporting with the FY 2012 Executive Budget. This change has the effect of increasing the reported disbursements from State Operating Funds, and reducing reported disbursements from Federal Operating Funds by an equal amount. Accordingly, there is no impact on the State's reported All Governmental Funds spending totals.

For purposes of comparability, the impact of the reclassification on historical results is summarized in the following table.

<b>STATE OPERATING FUNDS AS RESTATED</b> (millions of dollars)			
	<u>Before</u> <u>Restatement</u>	<u>Reporting</u> <u>Adjustment<sup>1</sup></u>	<u>Restated</u>
FY 2006	66,240	3,065	69,305
FY 2007	73,476	3,031	76,507
FY 2008	76,989	3,029	80,018
FY 2009	78,166	3,459	81,625
FY 2010	76,873	3,786	80,659
FY 2011	80,491	3,926	84,417

<sup>1</sup> DOB has reclassified certain special revenue accounts from Federal Operating Funds to State Operating Funds to be consistent with the methodology used by the Office of the State Comptroller.

### NOTE 6 — ITEMS AFFECTING FY 2011 TO FY 2012 COMPARABILITY

**School Aid Payment** - The end-of-year school aid payment (\$2.06 billion) scheduled for the last quarter of FY 2010 was paid in the first quarter of FY 2011, as authorized in statute. This was done to shift the FY 2010 budget shortfall into FY 2011.

**American Recovery and Reinvestment Act of 2009** - On February 17, 2009, President Obama signed into law ARRA of 2009. This Act contains provisions for direct Federal aid for fiscal relief, consisting of increases in the Federal matching rate for eligible State Medicaid expenditures, and funds provided through the State Fiscal Stabilization Fund to restore proposed reductions in education, higher education, and to maintain essential government services.

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

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**Pension Amortization** - The Financial Plan projections assume that the State will amortize pension costs above a percentage of payroll, as authorized by legislation enacted in FY 2011. The percentage of payroll above which costs may be amortized for ERS increases annually as follows: 9.5 percent in FY 2011; 10.5 percent in FY 2012; 11.5 percent in FY 2013; 12.5 percent in FY 2014; 13.5 percent in FY 2015, and 14.5 percent in FY 2016; and the amortization levels for PFRS are as follows: 17.5 percent in FY 2011; 18.5 percent in FY 2012; 19.5 percent in FY 2013; 20.5 percent in FY 2014; and 21.5 percent in FY 2016. The amortization reduces the State's annual pension contribution in the short term, but results in long-term costs. The amounts amortized totaled \$249 million in FY 2011, and \$575 million in FY 2012. The amounts expected to be amortized are \$782 million in FY 2013, \$771 million in FY 2014, \$916 million in FY 2015 and \$554 million in FY 2016.

**Retroactive Labor Settlements** - Several unions have not agreed to labor settlements for contract periods prior to FY 2012. In recent years, the State has included spending in the Financial Plan for potential settlements, which have not materialized. The Current Financial Plan costs sets aside a portion of the General Fund balance for this purpose. The amount of the reserve is calculated on the assumption that the unions that have not agreed to contracts will receive the same general salary increases as the unions with ratified contracts.

**Mergers** - Consistent with the FY 2012 Enacted Budget, State agency mergers have been reflected in the Financial Plan tables that follow. As such, spending for FY 2011 has been reflected in the former agencies, while projections for FY 2012 and forward reflect the impact of the agency merger. These mergers include:

- Division of Parole merged with the Department of Correctional Services to become the new Department of Corrections and Community Supervision;
- Consumer Protection Board merged into Department of State; and
- Foundation for Science, Innovation & Technology merged into the Department of Economic Development

The Banking and Insurance Departments have been merged to create a new agency known as the Department of Financial Services. For reporting purposes, DOB assigned FY 2011 spending from these departments to the Department of Financial Services. Reductions in spending for the Department of Financial Services reflect the projected impact of cost control and efficiency actions contemplated by the merger of the Insurance and Banking departments.

### NOTE 7 — OFF-BUDGET TRANSACTIONS

Represent certain capital spending that is not reported in cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities, rather than from a short-term STIP or cash from the General Fund.

### **NOTE 8 — GENERAL FUND/HCRA COMBINED GAP**

The current HCRA authorization expires on March 31, 2014. HCRA is projected to remain balanced through FY 2016. However, any unaddressed shortfall would need to be financed by the General Fund.

### **NOTE 9 — METROPOLITAN TRANSPORTATION AUTHORITY FINANCIAL ASSISTANCE FUND**

The Metropolitan Commuter Transportation Mobility Tax (Mobility Tax) is imposed on certain employers and self-employed individuals engaging in business within the metropolitan commuter transportation district. This revenue source is collected by the State on behalf of, and disbursed in its entirety to, the MTA. Due to requirements of the enabling legislation, the tax is reflected in the State's Special Revenue Funds, increasing both receipts and disbursements by a \$1.7 billion in FY 2011, growing to an estimated \$2.0 billion in FY 2015.

### **NOTE 10 — CHANGES TO THE MEDICAID PROGRAM**

Since January 2006, the State has paid the entire non-Federal share of the FHP program and any annual Medicaid increases above a fixed level that would have otherwise been paid for by Local Social Services Districts. The FY 2013 Enacted Budget amends these statutory indexing provisions by implementing a phased-in plan to takeover over the Local Social Services Districts share of all growth above the previous year's enacted levels beginning in FY 2013 for County Year 2013, with the State assuming all growth in County Year 2015.

### **NOTE 11 — STATEWIDE CASH FLOW ADMINISTRATION**

OSC invests General Fund moneys, bond proceeds, and other funds not immediately required to make payments through STIP, which is comprised of joint custody funds (Governmental Funds, Internal Service Funds, Enterprise Funds and Private Purpose Trust Funds), as well as several sole custody funds including the Tobacco Settlement Fund.

OSC is authorized to make short-term loans from STIP to cover temporary cash shortfalls in certain funds and accounts, including the General Fund, resulting from the timing of receipts and disbursements. The Legislature authorizes the funds and accounts that may receive loans each year, based on legislation submitted with the Executive Budget. Loans may be granted only for amounts that the Director of the Budget certifies are "receivable on account" or can be repaid from the current operating receipts of the fund (i.e., loans cannot be granted in expectation of future revenue enhancements).

## FINANCIAL PLAN TABLES AND ACCOMPANYING NOTES

### NOTE 12 — OUTSTANDING TEMPORARY LOANS SUMMARY

The total outstanding loan balance as of March 31, 2012 was \$1.8 billion, comprised of advances for bond-reimbursable capital spending pending the receipt of bond proceeds (\$931 million), activities that are financed initially by the State pending Federal receipt (\$342 million), State Special Revenue Funds (\$455 million), and Proprietary Funds (\$37 million).

The total loan balance typically increases throughout the State fiscal year, reaching its peak between the second and third quarters. The spike mainly reflects the payment of lottery aid for education, which is financed in large part by a loan that is repaid over the course of the year as lottery revenues are received.

### NOTE 13 — STATE FUNDING OF SUNY OPERATING SUPPORT

As part of the FY 2013 Budget, the State changed the process through which SUNY receives the State share of its operating support, from direct General Fund spending, to General Fund transfers. SUNY's special revenue tuition offset account, which has traditionally been used to offset the State's direct General Fund spending for SUNY operations, will reflect all spending for the university operations, starting with the new academic year that begins in July 2012. All General Fund support for SUNY operations will be transferred by the General Fund to this special revenue account, supplementing tuition revenue also being received by this account, and from which all university operations will be funded. The change to General Fund financial plan categories is illustrated in the multi-year table below.

SUNY STATE OPERATIONS - GENERAL FUND STATE SUPPORTED RE-CATEGORIZATION (millions of dollars)						
	FY 2011 Results	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Gross Personal Service	1,960	1,929	560	0	0	0
Offset Personal Service (From 345.12)	(1,200)	(1,226)	(13)	0	0	0
<b>Net Personal Service</b>	<b>760</b>	<b>703</b>	<b>547</b>	<b>0</b>	<b>0</b>	<b>0</b>
Gross Non-Personal Service	388	366	139	0	0	0
Offset Non-Personal Service (From 345.12)	(119)	(126)	(3)	0	0	0
<b>Net Non-Personal Service</b>	<b>269</b>	<b>240</b>	<b>136</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>General Fund Transfers to SUNY</b>						
Tuition Revenue Account (345.12)	0	0	340	982	1,001	1,021
<b>Total General Fund Operating Support Including Transfers</b>	<b>1,029</b>	<b>943</b>	<b>1,023</b>	<b>982</b>	<b>1,001</b>	<b>1,021</b>

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**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2013 through FY 2016  
(millions of dollars)**

	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	26,916	28,920	29,612	30,614
User Taxes and Fees	9,271	9,626	10,042	10,406
Business Taxes	6,038	6,208	5,713	6,291
Other Taxes	1,144	1,137	1,222	1,222
Miscellaneous Receipts	3,229	2,829	2,297	2,389
Federal Receipts	60	2	0	0
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,272	8,842	8,981	9,195
Sales Tax in Excess of LGAC Debt Service	2,456	2,579	2,706	2,832
Real Estate Taxes in Excess of CW/CA Debt Service	444	500	576	651
All Other Transfers	1,070	862	770	760
<b>Total Receipts</b>	<u>58,900</u>	<u>61,505</u>	<u>61,919</u>	<u>64,360</u>
<b>Disbursements:</b>				
Local Assistance Grants	39,645	41,872	43,227	45,490
Departmental Operations:				
Personal Service	5,892	5,370	5,496	5,753
Non-personal Service	1,844	1,637	1,722	1,806
General State Charges	4,403	4,834	5,179	5,470
Transfers to Other Funds:				
Debt Service	1,580	1,653	1,585	1,559
Capital Projects	1,055	1,293	1,408	1,301
State Share Medicaid	2,978	2,772	2,626	2,526
SUNY Operations	340	982	1,001	1,021
Other Purposes	1,131	1,980	2,972	3,446
<b>Total Disbursements</b>	<u>58,868</u>	<u>62,393</u>	<u>65,216</u>	<u>68,372</u>
<b>Reserves:</b>				
Community Projects Fund	(45)	(56)	0	0
Undesignated Fund Balance	(62)	0	0	0
Prior-Year Labor Agreements (2007-2011)	139	118	118	118
<b>Increase (Decrease) in Reserves</b>	<u>32</u>	<u>62</u>	<u>118</u>	<u>118</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>				
	<u>0</u>	<u>(950)</u>	<u>(3,415)</u>	<u>(4,130)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
ANNUAL CHANGE  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>1,376</u>	<u>1,787</u>	<u>411</u>	<u>29.9%</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	25,843	26,916	1,073	4.2%
User Taxes and Fees	9,055	9,271	216	2.4%
Business Taxes	5,760	6,038	278	4.8%
Other Taxes	1,096	1,144	48	4.4%
Miscellaneous Receipts	3,162	3,229	67	2.1%
Federal Receipts	60	60	0	0.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	8,097	8,272	175	2.2%
Sales Tax in Excess of LGAC Debt Service	2,396	2,456	60	2.5%
Real Estate Taxes in Excess of CW/CA Debt Service	387	444	57	14.7%
All Other Transfers	1,044	1,070	26	2.5%
<b>Total Receipts</b>	<u>56,900</u>	<u>58,900</u>	<u>2,000</u>	<u>3.5%</u>
<b>Disbursements:</b>				
Local Assistance Grants	38,419	39,645	1,226	3.2%
Departmental Operations:				
Personal Service	5,781	5,892	111	1.9%
Non-Personal Service	1,713	1,844	131	7.6%
General State Charges	4,720	4,403	(317)	-6.7%
Transfers to Other Funds:				
Debt Service	1,516	1,580	64	4.2%
Capital Projects	798	1,055	257	32.2%
State Share Medicaid	2,722	2,978	256	9.4%
SUNY Operations	0	340	340	--
Other Purposes	820	1,131	311	37.9%
<b>Total Disbursements</b>	<u>56,489</u>	<u>58,868</u>	<u>2,379</u>	<u>4.2%</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>411</u>	<u>32</u>	<u>(379)</u>	<u>-92.2%</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,819</u>	<u>32</u>	<u>1.8%</u>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve Fund	1,131	1,131	0	
Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	102	57	(45)	
<b>Reserved For</b>				
Prior-Year Labor Agreements (2007-2011)	283	422	139	
Undesignated Fund Balance	75	13	(62)	

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2013  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	26,911	5	26,916
User Taxes and Fees	9,341	(70)	9,271
Business Taxes	5,977	61	6,038
Other Taxes	1,144	0	1,144
Miscellaneous Receipts	3,069	160	3,229
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,284	(12)	8,272
Sales Tax in Excess of LGAC Debt Service	2,466	(10)	2,456
Real Estate Taxes in Excess of CW/CA Debt Service	474	(30)	444
All Other	989	81	1,070
<b>Total Receipts</b>	<u>58,715</u>	<u>185</u>	<u>58,900</u>
<b>Disbursements:</b>			
Local Assistance Grants	39,403	242	39,645
Departmental Operations:			
Personal Service	5,729	163	5,892
Non-Personal Service	1,859	(15)	1,844
General State Charges	4,434	(31)	4,403
Transfers to Other Funds:			
Debt Service	1,610	(30)	1,580
Capital Projects	1,079	(24)	1,055
State Share Medicaid	2,903	75	2,978
SUNY Operations	390	(50)	340
Other Purposes	1,185	(54)	1,131
<b>Total Disbursements</b>	<u>58,592</u>	<u>276</u>	<u>58,868</u>
<b>Reserves:</b>			
Community Projects Fund	(51)	6	(45)
Undesignated Fund Balance	0	(62)	(62)
Prior-Year Labor Agreements (2007-2011)	174	(35)	139
<b>Increase (Decrease) in Reserves</b>	<u>123</u>	<u>(91)</u>	<u>32</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2014  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	28,803	117	28,920
User Taxes and Fees	9,706	(80)	9,626
Business Taxes	6,213	(5)	6,208
Other Taxes	1,137	0	1,137
Miscellaneous Receipts	2,636	193	2,829
Federal Receipts	2	0	2
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,820	22	8,842
Sales Tax in Excess of LGAC Debt Service	2,590	(11)	2,579
Real Estate Taxes in Excess of CW/CA Debt Service	560	(60)	500
All Other	878	(16)	862
<b>Total Receipts</b>	<u>61,345</u>	<u>160</u>	<u>61,505</u>
<b>Disbursements:</b>			
Local Assistance Grants	41,393	479	41,872
Departmental Operations:			
Personal Service	5,362	8	5,370
Non-Personal Service	1,612	25	1,637
General State Charges	4,823	11	4,834
Transfers to Other Funds:			
Debt Service	1,681	(28)	1,653
Capital Projects	1,278	15	1,293
State Share Medicaid	2,697	75	2,772
SUNY Operations	976	6	982
Other Purposes	2,104	(124)	1,980
<b>Total Disbursements</b>	<u>61,926</u>	<u>467</u>	<u>62,393</u>
<b>Reserves:</b>			
Community Projects Fund	0	(56)	(56)
Prior-Year Labor Agreements (2007-2011)	134	(16)	118
<b>Increase (Decrease) in Reserves</b>	<u>134</u>	<u>(72)</u>	<u>62</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(715)</u>	<u>(235)</u>	<u>(950)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2015  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	29,582	30	29,612
User Taxes and Fees	10,123	(81)	10,042
Business Taxes	5,718	(5)	5,713
Other Taxes	1,222	0	1,222
Miscellaneous Receipts	2,243	54	2,297
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,986	(5)	8,981
Sales Tax in Excess of LGAC Debt Service	2,717	(11)	2,706
Real Estate Taxes in Excess of CW/CA Debt Service	636	(60)	576
All Other	786	(16)	770
<b>Total Receipts</b>	<u>62,013</u>	<u>(94)</u>	<u>61,919</u>
<b>Disbursements:</b>			
Local Assistance Grants	42,877	350	43,227
Departmental Operations:			
Personal Service	5,473	23	5,496
Non-Personal Service	1,677	45	1,722
General State Charges	5,168	11	5,179
Transfers to Other Funds:			
Debt Service	1,611	(26)	1,585
Capital Projects	1,403	5	1,408
State Share Medicaid	2,551	75	2,626
SUNY Operations	995	6	1,001
Other Purposes	3,099	(127)	2,972
<b>Total Disbursements</b>	<u>64,854</u>	<u>362</u>	<u>65,216</u>
<b>Reserves:</b>			
Prior-Year Labor Agreements (2007-2011)	133	(15)	118
<b>Increase (Decrease) in Reserves</b>	<u>133</u>	<u>(15)</u>	<u>118</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(2,974)</u>	<u>(441)</u>	<u>(3,415)</u>



**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2016  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	30,566	48	30,614
User Taxes and Fees	10,487	(81)	10,406
Business Taxes	6,291	0	6,291
Other Taxes	1,222	0	1,222
Miscellaneous Receipts	2,336	53	2,389
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	9,194	1	9,195
Sales Tax in Excess of LGAC Debt Service	2,843	(11)	2,832
Real Estate Taxes in Excess of CW/CA Debt Service	711	(60)	651
All Other	776	(16)	760
<b>Total Receipts</b>	<u>64,426</u>	<u>(66)</u>	<u>64,360</u>
<b>Disbursements:</b>			
Local Assistance Grants	45,107	383	45,490
Departmental Operations:			
Personal Service	5,731	22	5,753
Non-Personal Service	1,793	13	1,806
General State Charges	5,459	11	5,470
Transfers to Other Funds:			
Debt Service	1,585	(26)	1,559
Capital Projects	1,298	3	1,301
State Share Medicaid	2,451	75	2,526
SUNY Operations	1,015	6	1,021
Other Purposes	3,576	(130)	3,446
<b>Total Disbursements</b>	<u>68,015</u>	<u>357</u>	<u>68,372</u>
<b>Reserves:</b>			
Prior-Year Labor Agreements (2007-2011)	132	(14)	118
<b>Increase (Decrease) in Reserves</b>	<u>132</u>	<u>(14)</u>	<u>118</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(3,721)</u>	<u>(409)</u>	<u>(4,130)</u>

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2012  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>1,376</u>	<u>0</u>	<u>1,376</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	25,705	138	25,843
User Taxes and Fees	9,135	(80)	9,055
Business Taxes	5,868	(108)	5,760
Other Taxes	1,212	(116)	1,096
Miscellaneous Receipts	3,244	(82)	3,162
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,069	28	8,097
Sales Tax in Excess of LGAC Debt Service	2,430	(34)	2,396
Real Estate Taxes in Excess of CW/CA Debt Service	397	(10)	387
All Other Transfers	1,094	(50)	1,044
<b>Total Receipts</b>	<u>57,214</u>	<u>(314)</u>	<u>56,900</u>
<b>Disbursements:</b>			
Local Assistance Grants	38,515	(96)	38,419
Departmental Operations:			
Personal Service	5,770	11	5,781
Non-Personal Service	1,795	(82)	1,713
General State Charges	4,707	13	4,720
Transfers to Other Funds:			
Debt Service	1,539	(23)	1,516
Capital Projects	790	8	798
State Share Medicaid	2,912	(190)	2,722
SUNY Operations	0	0	0
Other Purposes	887	(67)	820
<b>Total Disbursements</b>	<u>56,915</u>	<u>(426)</u>	<u>56,489</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>299</u>	<u>112</u>	<u>411</u>
<b>Closing Fund Balance</b>	<u>1,675</u>	<u>112</u>	<u>1,787</u>
<b>Statutory Reserves</b>			
Tax Stabilization Reserve Fund	1,031	100	1,131
Rainy Day Reserve Fund	275	(100)	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	51	51	102
<b>Reserved For</b>			
Prior-Year Labor Agreements (2007-2011)	284	(1)	283
Undesignated Fund Balance	13	62	75

**CASH FINANCIAL PLAN  
GENERAL FUND  
FY 2012  
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>1,376</u>	<u>0</u>	<u>1,376</u>
<b>Receipts:</b>			
Taxes:			
Personal Income Tax	26,001	(158)	25,843
User Taxes and Fees	9,105	(50)	9,055
Business Taxes	6,101	(341)	5,760
Other Taxes	1,030	66	1,096
Miscellaneous Receipts	3,098	64	3,162
Federal Receipts	60	0	60
Transfers from Other Funds:			
PIT in Excess of Revenue Bond Debt Service	8,096	1	8,097
Sales Tax in Excess of LGAC Debt Service	2,409	(13)	2,396
Real Estate Taxes in Excess of CW/CA Debt Service	395	(8)	387
All Other Transfers	998	46	1,044
<b>Total Receipts</b>	<u>57,293</u>	<u>(393)</u>	<u>56,900</u>
<b>Disbursements:</b>			
Local Assistance Grants	38,888	(469)	38,419
Departmental Operations:			
Personal Service	5,560	221	5,781
Non-Personal Service	1,796	(83)	1,713
General State Charges	4,668	52	4,720
Transfers to Other Funds:			
Debt Service	1,449	67	1,516
Capital Projects	800	(2)	798
State Share Medicaid	3,032	(310)	2,722
Other Purposes	739	81	820
<b>Total Disbursements</b>	<u>56,932</u>	<u>(443)</u>	<u>56,489</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>361</u>	<u>50</u>	<u>411</u>
<b>Closing Fund Balance</b>	<u>1,737</u>	<u>50</u>	<u>1,787</u>
<b>Statutory Reserves</b>			
Tax Stabilization Reserve Fund	1,031	100	1,131
Rainy Day Reserve Fund	275	(100)	175
Contingency Reserve Fund	21	0	21
Community Projects Fund	51	51	102
<b>Reserved For</b>			
Prior-Year Labor Agreements (2007-2011)	346	(63)	283
Undesignated Fund Balance	13	62	75

**CASH FINANCIAL PLAN  
GENERAL FUND  
ANNUAL CHANGE  
(millions of dollars)**

	<u>FY 2011 Results</u>	<u>FY 2012 Results</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>2,302</u>	<u>1,376</u>	<u>(926)</u>	<u>-67.3%</u>
<b>Receipts:</b>				
Taxes:				
Personal Income Tax	23,894	25,843	1,949	7.5%
User Taxes and Fees	8,795	9,055	260	2.9%
Business Taxes	5,279	5,760	481	8.4%
Other Taxes	1,237	1,096	(141)	-12.9%
Miscellaneous Receipts	3,095	3,162	67	2.1%
Federal Receipts	54	60	6	10.0%
Transfers from Other Funds:				
PIT in Excess of Revenue Bond Debt Service	7,625	8,097	472	5.8%
Sales Tax in Excess of LGAC Debt Service	2,351	2,396	45	1.9%
Real Estate Taxes in Excess of CW/CA Debt Service	348	387	39	10.1%
All Other Transfers	1,769	1,044	(725)	-69.4%
<b>Total Receipts</b>	<u>54,447</u>	<u>56,900</u>	<u>2,453</u>	<u>4.3%</u>
<b>Disbursements:</b>				
Local Assistance Grants	37,206	38,419	1,213	3.2%
Departmental Operations:				
Personal Service	6,151	5,781	(370)	-6.4%
Non-Personal Service	1,822	1,713	(109)	-6.4%
General State Charges	4,187	4,720	533	11.3%
Transfers to Other Funds:				
Debt Service	1,737	1,516	(221)	-14.6%
Capital Projects	932	798	(134)	-16.8%
State Share Medicaid	2,497	2,722	225	8.3%
SUNY Operations	0	0	0	--
Other Purposes	841	820	(21)	-2.6%
<b>Total Disbursements</b>	<u>55,373</u>	<u>56,489</u>	<u>1,116</u>	<u>2.0%</u>
<b>Excess (Deficiency) of Receipts Over Disbursements and Reserves</b>	<u>(926)</u>	<u>411</u>	<u>1,337</u>	<u>325.3%</u>
<b>Closing Fund Balance</b>	<u>1,376</u>	<u>1,787</u>	<u>411</u>	<u>23.0%</u>
<b>Statutory Reserves</b>				
Tax Stabilization Reserve Fund	1,031	1,131	100	
Rainy Day Reserve Fund	175	175	0	
Contingency Reserve Fund	21	21	0	
Community Projects Fund	136	102	(34)	
<b>Reserved For</b>				
Prior-Year Labor Agreements (2007-2011)	0	283	283	
Undesignated Fund Balance	13	75	62	

**CASH RECEIPTS  
CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Taxes:</b>				
Withholdings	32,748	34,817	36,032	37,947
Estimated Payments	11,602	12,663	13,702	13,560
Final Payments	2,203	2,266	2,151	2,251
Other Payments	1,149	1,184	1,235	1,286
<b>Gross Collections</b>	<b>47,702</b>	<b>50,930</b>	<b>53,120</b>	<b>55,044</b>
State/City Offset	(298)	(198)	(148)	(148)
Refunds	(7,148)	(7,559)	(8,633)	(9,085)
<b>Reported Tax Collections</b>	<b>40,256</b>	<b>43,173</b>	<b>44,339</b>	<b>45,811</b>
STAR (Dedicated Deposits)	(3,276)	(3,460)	(3,642)	(3,744)
RBTF (Dedicated Transfers)	(10,064)	(10,793)	(11,085)	(11,453)
<b>Personal Income Tax</b>	<b>26,916</b>	<b>28,920</b>	<b>29,612</b>	<b>30,614</b>
Sales and Use Tax	11,414	11,895	12,452	12,948
Cigarette and Tobacco Taxes	469	462	455	447
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	241	242	247	247
Highway Use Tax	0	0	0	0
Auto Rental Tax	0	0	0	0
Taxicab Surcharge	0	0	0	0
<b>Gross Utility Taxes and Fees</b>	<b>12,124</b>	<b>12,599</b>	<b>13,154</b>	<b>13,642</b>
LGAC Sales Tax (Dedicated Transfers)	(2,853)	(2,973)	(3,112)	(3,236)
<b>User Taxes and Fees</b>	<b>9,271</b>	<b>9,626</b>	<b>10,042</b>	<b>10,406</b>
Corporation Franchise Tax	2,905	3,009	2,320	2,726
Corporation and Utilities Tax	652	696	718	741
Insurance Taxes	1,322	1,373	1,414	1,487
Bank Tax	1,159	1,130	1,261	1,337
Petroleum Business Tax	0	0	0	0
<b>Business Taxes</b>	<b>6,038</b>	<b>6,208</b>	<b>5,713</b>	<b>6,291</b>
Estate Tax	1,127	1,120	1,205	1,205
Real Estate Transfer Tax	660	710	780	855
Gift Tax	0	0	0	0
Real Property Gains Tax	0	0	0	0
Pari-Mutuel Taxes	16	16	16	16
Other Taxes	1	1	1	1
<b>Gross Other Taxes</b>	<b>1,804</b>	<b>1,847</b>	<b>2,002</b>	<b>2,077</b>
Real Estate Transfer Tax (Dedicated)	(660)	(710)	(780)	(855)
<b>Other Taxes</b>	<b>1,144</b>	<b>1,137</b>	<b>1,222</b>	<b>1,222</b>
<b>Payroll Tax</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Taxes</b>	<b>43,369</b>	<b>45,891</b>	<b>46,589</b>	<b>48,533</b>
Licenses, Fees, Etc.	678	689	621	612
Abandoned Property	785	670	655	655
Motor Vehicle Fees	99	26	26	26
ABC License Fee	51	50	50	50
Reimbursements	262	262	262	262
Investment Income	10	30	30	30
Other Transactions	1,344	1,102	653	754
<b>Miscellaneous Receipts</b>	<b>3,229</b>	<b>2,829</b>	<b>2,297</b>	<b>2,389</b>
<b>Federal Grants</b>	<b>60</b>	<b>2</b>	<b>0</b>	<b>0</b>
<b>Total</b>	<b>46,658</b>	<b>48,722</b>	<b>48,886</b>	<b>50,922</b>

**CURRENT STATE RECEIPTS  
GENERAL FUND  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Taxes:</b>				
Withholdings	31,199	32,748	1,549	5.0%
Estimated Payments	11,628	11,602	(26)	-0.2%
Final Payments	2,116	2,203	87	4.1%
Other Payments	1,087	1,149	62	5.7%
<b>Gross Collections</b>	<u>46,030</u>	<u>47,702</u>	<u>1,672</u>	<u>3.6%</u>
State/City Offset	(366)	(298)	68	-18.6%
Refunds	<u>(6,897)</u>	<u>(7,148)</u>	<u>(251)</u>	<u>3.6%</u>
<b>Reported Tax Collections</b>	<u>38,767</u>	<u>40,256</u>	<u>1,489</u>	<u>3.8%</u>
STAR (Dedicated Deposits)	(3,233)	(3,276)	(43)	1.3%
RBTF (Dedicated Transfers)	<u>(9,691)</u>	<u>(10,064)</u>	<u>(373)</u>	<u>3.8%</u>
<b>Personal Income Tax</b>	<u>25,843</u>	<u>26,916</u>	<u>1,073</u>	<u>4.2%</u>
Sales and Use Tax	11,126	11,414	288	2.6%
Cigarette and Tobacco Taxes	471	469	(2)	-0.4%
Motor Fuel Tax	0	0	0	0
Alcoholic Beverage Taxes	238	241	3	1.3%
Highway Use Tax	0	0	0	--
Auto Rental Tax	0	0	0	--
Taxicab Surcharge	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
<b>Gross Utility Taxes and Fees</b>	<u>11,835</u>	<u>12,124</u>	<u>289</u>	<u>2.4%</u>
LGAC Sales Tax (Dedicated Transfers)	<u>(2,780)</u>	<u>(2,853)</u>	<u>(73)</u>	<u>2.6%</u>
<b>User Taxes and Fees</b>	<u>9,055</u>	<u>9,271</u>	<u>216</u>	<u>2.4%</u>
Corporation Franchise Tax	2,724	2,905	181	6.6%
Corporation and Utilities Tax	617	652	35	5.7%
Insurance Taxes	1,257	1,322	65	5.2%
Bank Tax	1,161	1,159	(2)	-0.2%
Petroleum Business Tax	1	0	(1)	-100.0%
<b>Business Taxes</b>	<u>5,760</u>	<u>6,038</u>	<u>278</u>	<u>4.8%</u>
Estate Tax	1,078	1,127	49	4.5%
Real Estate Transfer Tax	610	660	50	8.2%
Gift Tax	0	0	0	--
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	16	(1)	-5.9%
Other Taxes	<u>1</u>	<u>1</u>	<u>0</u>	<u>0.0%</u>
<b>Gross Other Taxes</b>	<u>1,706</u>	<u>1,804</u>	<u>98</u>	<u>5.7%</u>
Real Estate Transfer Tax (Dedicated)	<u>(610)</u>	<u>(660)</u>	<u>(50)</u>	<u>8.2%</u>
<b>Other Taxes</b>	<u>1,096</u>	<u>1,144</u>	<u>48</u>	<u>4.4%</u>
<b>Payroll Tax</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>--</u>
<b>Total Taxes</b>	<u>41,754</u>	<u>43,369</u>	<u>1,615</u>	<u>3.9%</u>
Licenses, Fees, Etc.	654	678	24	3.7%
Abandoned Property	762	785	23	3.0%
Motor Vehicle Fees	111	99	(12)	-10.8%
ABC License Fee	59	51	(8)	-13.6%
Reimbursements	234	262	28	12.0%
Investment Income	5	10	5	100.0%
Other Transactions	<u>1,337</u>	<u>1,344</u>	<u>7</u>	<u>0.5%</u>
<b>Miscellaneous Receipts</b>	<u>3,162</u>	<u>3,229</u>	<u>67</u>	<u>2.1%</u>
<b>Federal Grants</b>	<u>60</u>	<u>60</u>	<u>0</u>	<u>0.0%</u>
<b>Total</b>	<u>44,976</u>	<u>46,658</u>	<u>1,682</u>	<u>3.7%</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2012  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	<u>1,376</u>	<u>2,139</u>	<u>454</u>	<u>3,969</u>
<b>Receipts:</b>				
Taxes	41,754	8,244	12,962	62,960
Miscellaneous Receipts	3,162	15,399	955	19,516
Federal Receipts	60	0	80	140
<b>Total Receipts</b>	<u>44,976</u>	<u>23,643</u>	<u>13,997</u>	<u>82,616</u>
<b>Disbursements:</b>				
Local Assistance Grants	38,419	18,848	0	57,267
Departmental Operations:				
Personal Service	5,781	6,266	0	12,047
Non-Personal Service	1,713	3,646	45	5,404
General State Charges	4,720	1,873	0	6,593
Debt Service	0	0	5,864	5,864
Capital Projects	0	6	0	6
<b>Total Disbursements</b>	<u>50,633</u>	<u>30,639</u>	<u>5,909</u>	<u>87,181</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	11,924	7,096	6,490	25,510
Transfers to Other Funds	(5,856)	(607)	(14,604)	(21,067)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,068</u>	<u>6,489</u>	<u>(8,114)</u>	<u>4,443</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>411</u>	<u>(507)</u>	<u>(26)</u>	<u>(122)</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,632</u>	<u>428</u>	<u>3,847</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	<u>1,787</u>	<u>1,632</u>	<u>428</u>	<u>3,847</u>
<b>Receipts:</b>				
Taxes	43,369	8,142	13,458	64,969
Miscellaneous Receipts	3,229	15,707	996	19,932
Federal Receipts	60	1	79	140
<b>Total Receipts</b>	<u>46,658</u>	<u>23,850</u>	<u>14,533</u>	<u>85,041</u>
<b>Disbursements:</b>				
Local Assistance Grants	39,645	19,128	0	58,773
Departmental Operations:				
Personal Service	5,892	6,273	0	12,165
Non-Personal Service	1,844	3,599	47	5,490
General State Charges	4,403	2,019	0	6,422
Debt Service	0	0	6,064	6,064
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>51,784</u>	<u>31,024</u>	<u>6,111</u>	<u>88,919</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	12,242	7,736	6,322	26,300
Transfers to Other Funds	(7,084)	(480)	(14,609)	(22,173)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>5,158</u>	<u>7,256</u>	<u>(8,287)</u>	<u>4,127</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>32</u>	<u>82</u>	<u>135</u>	<u>249</u>
<b>Closing Fund Balance</b>	<u>1,819</u>	<u>1,714</u>	<u>563</u>	<u>4,096</u>



**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	45,891	8,469	14,357	68,717
Miscellaneous Receipts	2,829	16,352	1,043	20,224
Federal Receipts	2	1	79	82
<b>Total Receipts</b>	<u>48,722</u>	<u>24,822</u>	<u>15,479</u>	<u>89,023</u>
<b>Disbursements:</b>				
Local Assistance Grants	41,872	20,007	0	61,879
Departmental Operations:				
Personal Service	5,370	6,996	0	12,366
Non-Personal Service	1,637	3,850	47	5,534
General State Charges	4,834	2,167	0	7,001
Debt Service	0	0	6,401	6,401
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>53,713</u>	<u>33,025</u>	<u>6,448</u>	<u>93,186</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	12,783	8,731	6,188	27,702
Transfers to Other Funds	(8,680)	(247)	(15,107)	(24,034)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>4,103</u>	<u>8,484</u>	<u>(8,919)</u>	<u>3,668</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(888)</u>	<u>281</u>	<u>112</u>	<u>(495)</u>
<b>Designated General Fund Reserves:</b>				
Community Projects Fund	(56)			
Prior-Year Labor Agreements (2007-2011)	118			
<b>Increase (Decrease) in Reserves</b>	<u>62</u>			
<b>Net General Fund Deficit</b>	<u>(950)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	46,589	8,819	14,858	70,266
Miscellaneous Receipts	2,297	16,653	1,094	20,044
Federal Receipts	0	1	79	80
<b>Total Receipts</b>	<u>48,886</u>	<u>25,473</u>	<u>16,031</u>	<u>90,390</u>
<b>Disbursements:</b>				
Local Assistance Grants	43,227	20,688	0	63,915
Departmental Operations:				
Personal Service	5,496	7,187	0	12,683
Non-Personal Service	1,722	3,948	47	5,717
General State Charges	5,179	2,321	0	7,500
Debt Service	0	0	6,522	6,522
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>55,624</u>	<u>34,149</u>	<u>6,569</u>	<u>96,342</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	13,033	9,103	5,691	27,827
Transfers to Other Funds	(9,592)	(45)	(15,017)	(24,654)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>3,441</u>	<u>9,058</u>	<u>(9,326)</u>	<u>3,173</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(3,297)</u>	<u>382</u>	<u>136</u>	<u>(2,779)</u>
<b>Designated General Fund Reserves:</b>				
Prior-Year Labor Agreements (2007-2011)	118			
<b>Increase (Decrease) in Reserves</b>	<u>118</u>			
<b>Net General Fund Deficit</b>	<u>(3,415)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Receipts:</b>				
Taxes	48,533	9,078	15,425	73,036
Miscellaneous Receipts	2,389	16,823	1,092	20,304
Federal Receipts	0	1	79	80
<b>Total Receipts</b>	<u>50,922</u>	<u>25,902</u>	<u>16,596</u>	<u>93,420</u>
<b>Disbursements:</b>				
Local Assistance Grants	45,490	20,774	0	66,264
Departmental Operations:				
Personal Service	5,753	7,435	0	13,188
Non-Personal Service	1,806	4,016	47	5,869
General State Charges	5,470	2,504	0	7,974
Debt Service	0	0	6,655	6,655
Capital Projects	0	5	0	5
<b>Total Disbursements</b>	<u>58,519</u>	<u>34,734</u>	<u>6,702</u>	<u>99,955</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	13,438	9,500	5,444	28,382
Transfers to Other Funds	(9,853)	(216)	(15,332)	(25,401)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>3,585</u>	<u>9,284</u>	<u>(9,888)</u>	<u>2,981</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>				
	<u>(4,012)</u>	<u>452</u>	<u>6</u>	<u>(3,554)</u>
<b>Designated General Fund Reserves:</b>				
Prior-Year Labor Agreements (2007-2011)	118			
<b>Increase (Decrease) in Reserves</b>	<u>118</u>			
<b>Net General Fund Deficit</b>	<u>(4,130)</u>			

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,969</u>	<u>3,847</u>	<u>(122)</u>	
<b>Receipts:</b>				
Taxes	62,960	64,969	2,009	3.2%
Miscellaneous Receipts	19,516	19,932	416	2.1%
Federal Receipts	140	140	0	0.0%
<b>Total Receipts</b>	<u>82,616</u>	<u>85,041</u>	<u>2,425</u>	<u>2.9%</u>
<b>Disbursements:</b>				
Local Assistance Grants	57,267	58,773	1,506	2.6%
Departmental Operations:				
Personal Service	12,047	12,165	118	1.0%
Non-Personal Service	5,404	5,490	86	1.6%
General State Charges	6,593	6,422	(171)	-2.6%
Debt Service	5,864	6,064	200	3.4%
Capital Projects	6	5	(1)	-16.7%
<b>Total Disbursements</b>	<u>87,181</u>	<u>88,919</u>	<u>1,738</u>	<u>2.0%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	25,510	26,300	790	3.1%
Transfers to Other Funds	(21,067)	(22,173)	(1,106)	5.2%
Bond and Note Proceeds	0	0	0	--
<b>Net Other Financing Sources (uses)</b>	<u>4,443</u>	<u>4,127</u>	<u>(316)</u>	<u>-7.1%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(122)</u>	<u>249</u>	<u>371</u>	
<b>Closing Fund Balance</b>	<u>3,847</u>	<u>4,096</u>	<u>249</u>	

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2012  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>3,969</u>	<u>0</u>	<u>3,969</u>
<b>Receipts:</b>			
Taxes	63,199	(239)	62,960
Miscellaneous Receipts	19,340	176	19,516
Federal Receipts	140	0	140
<b>Total Receipts</b>	<u>82,679</u>	<u>(63)</u>	<u>82,616</u>
<b>Disbursements:</b>			
Local Assistance Grants	57,548	(281)	57,267
Departmental Operations:			
Personal Service	11,876	171	12,047
Non-Personal Service	5,211	193	5,404
General State Charges	6,536	57	6,593
Debt Service	5,872	(8)	5,864
Capital Projects	5	1	6
<b>Total Disbursements</b>	<u>87,048</u>	<u>133</u>	<u>87,181</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	25,746	(236)	25,510
Transfers to Other Funds	(21,222)	155	(21,067)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (uses)</b>	<u>4,524</u>	<u>(81)</u>	<u>4,443</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>155</u>	<u>(277)</u>	<u>(122)</u>
<b>Closing Fund Balance</b>	<u>4,124</u>	<u>(276)</u>	<u>3,847</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2012  
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>3,969</u>	<u>0</u>	<u>3,969</u>
<b>Receipts:</b>			
Taxes	63,615	(655)	62,960
Miscellaneous Receipts	19,259	257	19,516
Federal Receipts	140	0	140
<b>Total Receipts</b>	<u>83,014</u>	<u>(398)</u>	<u>82,616</u>
<b>Disbursements:</b>			
Local Assistance Grants	57,761	(494)	57,267
Departmental Operations:			
Personal Service	11,677	370	12,047
Non-Personal Service	5,051	353	5,404
General State Charges	6,530	63	6,593
Debt Service	5,855	9	5,864
Capital Projects	5	1	6
<b>Total Disbursements</b>	<u>86,879</u>	<u>302</u>	<u>87,181</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	25,744	(234)	25,510
Transfers to Other Funds	(21,313)	246	(21,067)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (uses)</b>	<u>4,431</u>	<u>12</u>	<u>4,443</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>566</u>	<u>(688)</u>	<u>(122)</u>
<b>Closing Fund Balance</b>	<u>4,535</u>	<u>(687)</u>	<u>3,847</u>

**CASH FINANCIAL PLAN  
STATE OPERATING FUNDS BUDGET  
FY 2011  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Debt Service Funds</u>	<u>State Operating Funds Total</u>
<b>Opening Fund Balance</b>	<u>2,302</u>	<u>2,098</u>	<u>410</u>	<u>4,810</u>
<b>Receipts:</b>				
Taxes	39,205	8,117	12,210	59,532
Miscellaneous Receipts	3,095	15,154	900	19,149
Federal Receipts	54	0	57	111
<b>Total Receipts</b>	<u>42,354</u>	<u>23,271</u>	<u>13,167</u>	<u>78,792</u>
<b>Disbursements:</b>				
Local Assistance Grants	37,206	18,089	0	55,295
Departmental Operations:				
Personal Service	6,151	6,271	0	12,422
Non-Personal Service	1,822	3,080	63	4,965
General State Charges	4,187	1,915	0	6,102
Debt Service	0	0	5,614	5,614
Capital Projects	0	19	0	19
<b>Total Disbursements</b>	<u>49,366</u>	<u>29,374</u>	<u>5,677</u>	<u>84,417</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	12,093	8,077	7,048	27,218
Transfers to Other Funds	(6,007)	(1,933)	(14,494)	(22,434)
Bond and Note Proceeds	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,086</u>	<u>6,144</u>	<u>(7,446)</u>	<u>4,784</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(926)</u>	<u>41</u>	<u>44</u>	<u>(841)</u>
<b>Closing Fund Balance</b>	<u>1,376</u>	<u>2,139</u>	<u>454</u>	<u>3,969</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2012  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	1,376	2,149	(167)	454	3,812
<b>Receipts:</b>					
Taxes	41,754	8,244	1,337	12,962	64,297
Miscellaneous Receipts	3,162	15,565	4,155	955	23,837
Federal Receipts	60	42,356	2,115	80	44,611
<b>Total Receipts</b>	<u>44,976</u>	<u>66,165</u>	<u>7,607</u>	<u>13,997</u>	<u>132,745</u>
<b>Disbursements:</b>					
Local Assistance Grants	38,419	55,496	2,566	0	96,481
Departmental Operations:					
Personal Service	5,781	6,899	0	0	12,680
Non-Personal Service	1,713	4,590	0	45	6,348
General State Charges	4,720	2,135	0	0	6,855
Debt Service	0	0	0	5,864	5,864
Capital Projects	0	6	5,270	0	5,276
<b>Total Disbursements</b>	<u>50,633</u>	<u>69,126</u>	<u>7,836</u>	<u>5,909</u>	<u>133,504</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	11,924	7,096	1,031	6,490	26,541
Transfers to Other Funds	(5,856)	(4,690)	(1,436)	(14,604)	(26,586)
Bond and Note Proceeds	0	0	352	0	352
<b>Net Other Financing Sources (Uses)</b>	<u>6,068</u>	<u>2,406</u>	<u>(53)</u>	<u>(8,114)</u>	<u>307</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>411</u>	<u>(555)</u>	<u>(282)</u>	<u>(26)</u>	<u>(452)</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,594</u>	<u>(449)</u>	<u>428</u>	<u>3,360</u>



**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2013  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	1,787	1,594	(449)	428	3,360
<b>Receipts:</b>					
Taxes	43,369	8,142	1,401	13,458	66,370
Miscellaneous Receipts	3,229	15,893	4,151	996	24,269
Federal Receipts	60	40,303	2,191	79	42,633
<b>Total Receipts</b>	<u>46,658</u>	<u>64,338</u>	<u>7,743</u>	<u>14,533</u>	<u>133,272</u>
<b>Disbursements:</b>					
Local Assistance Grants	39,645	53,781	2,104	0	95,530
Departmental Operations:					
Personal Service	5,892	6,918	0	0	12,810
Non-Personal Service	1,844	4,528	0	47	6,419
General State Charges	4,403	2,295	0	0	6,698
Debt Service	0	0	0	6,064	6,064
Capital Projects	0	5	5,867	0	5,872
<b>Total Disbursements</b>	<u>51,784</u>	<u>67,527</u>	<u>7,971</u>	<u>6,111</u>	<u>133,393</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,242	7,737	1,328	6,322	27,629
Transfers to Other Funds	(7,084)	(4,464)	(1,479)	(14,609)	(27,636)
Bond and Note Proceeds	0	0	400	0	400
<b>Net Other Financing Sources (Uses)</b>	<u>5,158</u>	<u>3,273</u>	<u>249</u>	<u>(8,287)</u>	<u>393</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>32</u>	<u>84</u>	<u>21</u>	<u>135</u>	<u>272</u>
<b>Closing Fund Balance</b>	<u>1,819</u>	<u>1,678</u>	<u>(428)</u>	<u>563</u>	<u>3,632</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	45,891	8,469	1,421	14,357	70,138
Miscellaneous Receipts	2,829	16,538	4,060	1,043	24,470
Federal Receipts	2	41,893	2,212	79	44,186
<b>Total Receipts</b>	<u>48,722</u>	<u>66,900</u>	<u>7,693</u>	<u>15,479</u>	<u>138,794</u>
<b>Disbursements:</b>					
Local Assistance Grants	41,872	56,407	2,107	0	100,386
Departmental Operations:					
Personal Service	5,370	7,611	0	0	12,981
Non-Personal Service	1,637	4,872	0	47	6,556
General State Charges	4,834	2,449	0	0	7,283
Debt Service	0	0	0	6,401	6,401
Capital Projects	0	5	5,808	0	5,813
<b>Total Disbursements</b>	<u>53,713</u>	<u>71,344</u>	<u>7,915</u>	<u>6,448</u>	<u>139,420</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,783	8,732	1,545	6,188	29,248
Transfers to Other Funds	(8,680)	(4,006)	(1,533)	(15,107)	(29,326)
Bond and Note Proceeds	0	0	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>4,103</u>	<u>4,726</u>	<u>350</u>	<u>(8,919)</u>	<u>260</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(888)</u>	<u>282</u>	<u>128</u>	<u>112</u>	<u>(366)</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(56)				
Prior-Year Labor Agreements (2007-2011)	118				
<b>Increase (Decrease) in Reserves</b>	<u>62</u>				
<b>Net General Fund Deficit</b>	<u>(950)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	46,589	8,819	1,431	14,858	71,697
Miscellaneous Receipts	2,297	16,839	3,879	1,094	24,109
Federal Receipts	0	45,203	1,971	79	47,253
<b>Total Receipts</b>	<u>48,886</u>	<u>70,861</u>	<u>7,281</u>	<u>16,031</u>	<u>143,059</u>
<b>Disbursements:</b>					
Local Assistance Grants	43,227	60,994	1,883	0	106,104
Departmental Operations:					
Personal Service	5,496	7,814	0	0	13,310
Non-Personal Service	1,722	4,929	0	47	6,698
General State Charges	5,179	2,616	0	0	7,795
Debt Service	0	0	0	6,522	6,522
Capital Projects	0	5	5,661	0	5,666
<b>Total Disbursements</b>	<u>55,624</u>	<u>76,358</u>	<u>7,544</u>	<u>6,569</u>	<u>146,095</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	13,033	9,104	1,471	5,691	29,299
Transfers to Other Funds	(9,592)	(3,225)	(1,519)	(15,017)	(29,353)
Bond and Note Proceeds	0	0	306	0	306
<b>Net Other Financing Sources (Uses)</b>	<u>3,441</u>	<u>5,879</u>	<u>258</u>	<u>(9,326)</u>	<u>252</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(3,297)</u>	<u>382</u>	<u>(5)</u>	<u>136</u>	<u>(2,784)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	118				
<b>Increase (Decrease) in Reserves</b>	<u>118</u>				
<b>Net General Fund Deficit</b>	<u>(3,415)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Receipts:</b>					
Taxes	48,533	9,078	1,448	15,425	74,484
Miscellaneous Receipts	2,389	17,009	3,855	1,092	24,345
Federal Receipts	0	47,291	1,656	79	49,026
<b>Total Receipts</b>	<u>50,922</u>	<u>73,378</u>	<u>6,959</u>	<u>16,596</u>	<u>147,855</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,490	63,553	1,552	0	110,595
Departmental Operations:					
Personal Service	5,753	8,074	0	0	13,827
Non-Personal Service	1,806	4,892	0	47	6,745
General State Charges	5,470	2,812	0	0	8,282
Debt Service	0	0	0	6,655	6,655
Capital Projects	0	5	5,395	0	5,400
<b>Total Disbursements</b>	<u>58,519</u>	<u>79,336</u>	<u>6,947</u>	<u>6,702</u>	<u>151,504</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	13,438	9,501	1,365	5,444	29,748
Transfers to Other Funds	(9,853)	(3,092)	(1,531)	(15,332)	(29,808)
Bond and Note Proceeds	0	0	121	0	121
<b>Net Other Financing Sources (Uses)</b>	<u>3,585</u>	<u>6,409</u>	<u>(45)</u>	<u>(9,888)</u>	<u>61</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(4,012)</u>	<u>451</u>	<u>(33)</u>	<u>6</u>	<u>(3,588)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	118				
<b>Increase (Decrease) in Reserves</b>	<u>118</u>				
<b>Net General Fund Deficit</b>	<u>(4,130)</u>				

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,812</u>	<u>3,360</u>	<u>(452)</u>	
<b>Receipts:</b>				
Taxes	64,297	66,370	2,073	3.2%
Miscellaneous Receipts	23,837	24,269	432	1.8%
Federal Receipts	44,611	42,633	(1,978)	-4.4%
<b>Total Receipts</b>	<u>132,745</u>	<u>133,272</u>	<u>527</u>	<u>0.4%</u>
<b>Disbursements:</b>				
Local Assistance Grants	96,481	95,530	(951)	-1.0%
Departmental Operations:				
Personal Service	12,680	12,810	130	1.0%
Non-Personal Service	6,348	6,419	71	1.1%
General State Charges	6,855	6,698	(157)	-2.3%
Debt Service	5,864	6,064	200	3.4%
Capital Projects	5,276	5,872	596	11.3%
<b>Total Disbursements</b>	<u>133,504</u>	<u>133,393</u>	<u>(111)</u>	<u>-0.1%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	26,541	27,629	1,088	4.1%
Transfers to Other Funds	(26,586)	(27,636)	(1,050)	3.9%
Bond and Note Proceeds	352	400	48	13.6%
<b>Net Other Financing Sources (Uses)</b>	<u>307</u>	<u>393</u>	<u>86</u>	<u>28.0%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(452)</u>	<u>272</u>	<u>724</u>	
<b>Closing Fund Balance</b>	<u>3,360</u>	<u>3,632</u>	<u>272</u>	

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2012  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>3,812</u>	<u>0</u>	<u>3,812</u>
<b>Receipts:</b>			
Taxes	64,532	(235)	64,297
Miscellaneous Receipts	23,832	5	23,837
Federal Receipts	43,942	669	44,611
<b>Total Receipts</b>	<u>132,306</u>	<u>439</u>	<u>132,745</u>
<b>Disbursements:</b>			
Local Assistance Grants	95,822	659	96,481
Departmental Operations:			
Personal Service	12,519	161	12,680
Non-Personal Service	6,170	178	6,348
General State Charges	6,833	22	6,855
Debt Service	5,872	(8)	5,864
Capital Projects	5,519	(243)	5,276
<b>Total Disbursements</b>	<u>132,735</u>	<u>769</u>	<u>133,504</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	26,797	(256)	26,541
Transfers to Other Funds	(26,786)	200	(26,586)
Bond and Note Proceeds	475	(123)	352
<b>Net Other Financing Sources (uses)</b>	<u>486</u>	<u>(179)</u>	<u>307</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>57</u>	<u>(509)</u>	<u>(452)</u>
<b>Closing Fund Balance</b>	<u>3,869</u>	<u>(508)</u>	<u>3,360</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2012  
(millions of dollars)**

	<u>Enacted</u>	<u>Change</u>	<u>Results</u>
<b>Opening Fund Balance</b>	<u>3,812</u>	<u>0</u>	<u>3,812</u>
<b>Receipts:</b>			
Taxes	64,976	(679)	64,297
Miscellaneous Receipts	23,407	430	23,837
Federal Receipts	43,305	1,306	44,611
<b>Total Receipts</b>	<u>131,688</u>	<u>1,057</u>	<u>132,745</u>
<b>Disbursements:</b>			
Local Assistance Grants	95,404	1,077	96,481
Departmental Operations:			
Personal Service	12,363	317	12,680
Non-Personal Service	6,061	287	6,348
General State Charges	6,833	22	6,855
Debt Service	5,855	9	5,864
Capital Projects	5,182	94	5,276
<b>Total Disbursements</b>	<u>131,698</u>	<u>1,806</u>	<u>133,504</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	26,805	(264)	26,541
Transfers to Other Funds	(26,830)	244	(26,586)
Bond and Note Proceeds	484	(132)	352
<b>Net Other Financing Sources (uses)</b>	<u>459</u>	<u>(152)</u>	<u>307</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>449</u>	<u>(901)</u>	<u>(452)</u>
<b>Closing Fund Balance</b>	<u>4,261</u>	<u>(900)</u>	<u>3,360</u>

**CASH FINANCIAL PLAN  
ALL GOVERNMENTAL FUNDS  
FY 2011  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>All Funds Total</u>
<b>Opening Fund Balance</b>	2,302	2,400	(253)	410	4,859
<b>Receipts:</b>					
Taxes	39,205	8,117	1,338	12,210	60,870
Miscellaneous Receipts	3,095	15,306	3,847	900	23,148
Federal Receipts	54	46,693	2,500	57	49,304
<b>Total Receipts</b>	<u>42,354</u>	<u>70,116</u>	<u>7,685</u>	<u>13,167</u>	<u>133,322</u>
<b>Disbursements:</b>					
Local Assistance Grants	37,206	58,696	2,731	0	98,633
Departmental Operations:					
Personal Service	6,151	6,954	0	0	13,105
Non-Personal Service	1,822	4,094	0	63	5,979
General State Charges	4,187	2,175	0	0	6,362
Debt Service	0	0	0	5,614	5,614
Capital Projects	0	19	5,113	0	5,132
<b>Total Disbursements</b>	<u>49,366</u>	<u>71,938</u>	<u>7,844</u>	<u>5,677</u>	<u>134,825</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,093	7,335	1,130	7,048	27,606
Transfers to Other Funds	(6,007)	(5,764)	(1,410)	(14,494)	(27,675)
Bond and Note Proceeds	0	0	525	0	525
<b>Net Other Financing Sources (Uses)</b>	<u>6,086</u>	<u>1,571</u>	<u>245</u>	<u>(7,446)</u>	<u>456</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(926)</u>	<u>(251)</u>	<u>86</u>	<u>44</u>	<u>(1,047)</u>
<b>Closing Fund Balance</b>	<u>1,376</u>	<u>2,149</u>	<u>(167)</u>	<u>454</u>	<u>3,812</u>



**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2012**  
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	31,199	0	0	0	31,199
Estimated Payments	11,628	0	0	0	11,628
Final Payments	2,116	0	0	0	2,116
Other Payments	1,087	0	0	0	1,087
<b>Gross Collections</b>	<u>46,030</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>46,030</u>
State/City Offset	(366)	0	0	0	(366)
Refunds	(6,897)	0	0	0	(6,897)
<b>Reported Tax Collections</b>	<u>38,767</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>38,767</u>
STAR (Dedicated Deposits)	(3,233)	3,233	0	0	0
RBTF (Dedicated Transfers)	(9,691)	0	0	9,691	0
<b>Personal Income Tax</b>	<u>25,843</u>	<u>3,233</u>	<u>0</u>	<u>9,691</u>	<u>38,767</u>
Sales and Use Tax	11,126	750	0	0	11,876
Cigarette and Tobacco Taxes	471	1,162	0	0	1,633
Motor Fuel Tax	0	105	396	0	501
Alcoholic Beverage Taxes	238	0	0	0	238
Highway Use Tax	0	0	132	0	132
Auto Rental Tax	0	39	65	0	104
Taxicab Surcharge	0	87	0	0	87
<b>Gross Utility Taxes and Fees</b>	<u>11,835</u>	<u>2,143</u>	<u>593</u>	<u>0</u>	<u>14,571</u>
LGAC Sales Tax (Dedicated Transfers)	(2,780)	0	0	2,780	0
<b>User Taxes and Fees</b>	<u>9,055</u>	<u>2,143</u>	<u>593</u>	<u>2,780</u>	<u>14,571</u>
Corporation Franchise Tax	2,724	452	0	0	3,176
Corporation and Utilities Tax	617	167	13	0	797
Insurance Taxes	1,257	156	0	0	1,413
Bank Tax	1,161	230	0	0	1,391
Petroleum Business Tax	1	487	612	0	1,100
<b>Business Taxes</b>	<u>5,760</u>	<u>1,492</u>	<u>625</u>	<u>0</u>	<u>7,877</u>
Estate Tax	1,078	0	0	0	1,078
Real Estate Transfer Tax	610	0	0	0	610
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	17	0	0	0	17
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>1,706</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,706</u>
Real Estate Transfer Tax (Dedicated)	(610)	0	119	491	0
<b>Other Taxes</b>	<u>1,096</u>	<u>0</u>	<u>119</u>	<u>491</u>	<u>1,706</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,376</u>	<u>0</u>	<u>0</u>	<u>1,376</u>
<b>Total Taxes</b>	<u>41,754</u>	<u>8,244</u>	<u>1,337</u>	<u>12,962</u>	<u>64,297</u>
Licenses, Fees, Etc.	654	0	0	0	654
Abandoned Property	762	0	0	0	762
Motor Vehicle Fees	111	496	813	0	1,420
ABC License Fee	59	0	0	0	59
Reimbursements	234	0	0	0	234
Investment Income	5	0	0	0	5
Other Transactions	1,337	15,069	3,342	955	20,703
<b>Miscellaneous Receipts</b>	<u>3,162</u>	<u>15,565</u>	<u>4,155</u>	<u>955</u>	<u>23,837</u>
<b>Federal Grants</b>	<u>60</u>	<u>42,356</u>	<u>2,115</u>	<u>80</u>	<u>44,611</u>
<b>Total</b>	<u>44,976</u>	<u>66,165</u>	<u>7,607</u>	<u>13,997</u>	<u>132,745</u>

**CASH RECEIPTS**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2013**  
(millions of dollars)

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	32,748	0	0	0	32,748
Estimated Payments	11,602	0	0	0	11,602
Final Payments	2,203	0	0	0	2,203
Other Payments	1,149	0	0	0	1,149
<b>Gross Collections</b>	<u>47,702</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>47,702</u>
State/City Offset	(298)	0	0	0	(298)
Refunds	(7,148)	0	0	0	(7,148)
<b>Reported Tax Collections</b>	<u>40,256</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>40,256</u>
STAR (Dedicated Deposits)	(3,276)	3,276	0	0	0
RBTF (Dedicated Transfers)	(10,064)	0	0	10,064	0
<b>Personal Income Tax</b>	<u>26,916</u>	<u>3,276</u>	<u>0</u>	<u>10,064</u>	<u>40,256</u>
Sales and Use Tax	11,414	791	0	0	12,205
Cigarette and Tobacco Taxes	469	1,146	0	0	1,615
Motor Fuel Tax	0	108	407	0	515
Alcoholic Beverage Tax	241	0	0	0	241
Highway Use Tax	0	0	147	0	147
Auto Rental Tax	0	41	68	0	109
Taxicab Surcharge	0	89	0	0	89
<b>Gross Utility Taxes and Fees</b>	<u>12,124</u>	<u>2,175</u>	<u>622</u>	<u>0</u>	<u>14,921</u>
LGAC Sales Tax (Dedicated Transfers)	(2,853)	0	0	2,853	0
<b>User Taxes and Fees</b>	<u>9,271</u>	<u>2,175</u>	<u>622</u>	<u>2,853</u>	<u>14,921</u>
Corporation Franchise Tax	2,905	455	0	0	3,360
Corporation and Utilities Tax	652	180	15	0	847
Insurance Taxes	1,322	157	0	0	1,479
Bank Tax	1,159	222	0	0	1,381
Petroleum Business Tax	0	517	645	0	1,162
<b>Business Taxes</b>	<u>6,038</u>	<u>1,531</u>	<u>660</u>	<u>0</u>	<u>8,229</u>
Estate Tax	1,127	0	0	0	1,127
Real Estate Transfer Tax	660	0	0	0	660
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>1,804</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,804</u>
Real Estate Transfer Tax (Dedicated)	(660)	0	119	541	0
<b>Other Taxes</b>	<u>1,144</u>	<u>0</u>	<u>119</u>	<u>541</u>	<u>1,804</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,160</u>	<u>0</u>	<u>0</u>	<u>1,160</u>
<b>Total Taxes</b>	<u>43,369</u>	<u>8,142</u>	<u>1,401</u>	<u>13,458</u>	<u>66,370</u>
Licenses, Fees, Etc.	678	0	0	0	678
Abandoned Property	785	0	0	0	785
Motor Vehicle Fees	99	482	799	0	1,380
ABC License Fee	51	0	0	0	51
Reimbursements	262	0	0	0	262
Investment Income	10	0	0	0	10
Other Transactions	1,344	15,411	3,352	996	21,103
<b>Miscellaneous Receipts</b>	<u>3,229</u>	<u>15,893</u>	<u>4,151</u>	<u>996</u>	<u>24,269</u>
<b>Federal Grants</b>	<u>60</u>	<u>40,303</u>	<u>2,191</u>	<u>79</u>	<u>42,633</u>
<b>Total</b>	<u>46,658</u>	<u>64,338</u>	<u>7,743</u>	<u>14,533</u>	<u>133,272</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	34,817	0	0	0	34,817
Estimated Payments	12,663	0	0	0	12,663
Final Payments	2,266	0	0	0	2,266
Other Payments	1,184	0	0	0	1,184
<b>Gross Collections</b>	<u>50,930</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>50,930</u>
State/City Offset	(198)	0	0	0	(198)
Refunds	(7,559)	0	0	0	(7,559)
<b>Reported Tax Collections</b>	<u>43,173</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>43,173</u>
STAR (Dedicated Deposits)	(3,460)	3,460	0	0	0
RBTF (Dedicated Transfers)	(10,793)	0	0	10,793	0
<b>Personal Income Tax</b>	<u>28,920</u>	<u>3,460</u>	<u>0</u>	<u>10,793</u>	<u>43,173</u>
Sales and Use Tax	11,895	822	0	0	12,717
Cigarette and Tobacco Taxes	462	1,123	0	0	1,585
Motor Fuel Tax	0	109	408	0	517
Alcoholic Beverage Taxes	242	0	0	0	242
Highway Use Tax	0	0	142	0	142
Auto Rental Tax	0	43	71	0	114
Taxicab Surcharge	0	96	0	0	96
<b>Gross Utility Taxes and Fees</b>	<u>12,599</u>	<u>2,193</u>	<u>621</u>	<u>0</u>	<u>15,413</u>
LGAC Sales Tax (Dedicated Transfers)	(2,973)	0	0	2,973	0
<b>User Taxes and Fees</b>	<u>9,626</u>	<u>2,193</u>	<u>621</u>	<u>2,973</u>	<u>15,413</u>
Corporation Franchise Tax	3,009	502	0	0	3,511
Corporation and Utilities Tax	696	183	15	0	894
Insurance Taxes	1,373	166	0	0	1,539
Bank Tax	1,130	189	0	0	1,319
Petroleum Business Tax	0	534	666	0	1,200
<b>Business Taxes</b>	<u>6,208</u>	<u>1,574</u>	<u>681</u>	<u>0</u>	<u>8,463</u>
Estate Tax	1,120	0	0	0	1,120
Real Estate Transfer Tax	710	0	0	0	710
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>1,847</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,847</u>
Real Estate Transfer Tax (Dedicated)	(710)	0	119	591	0
<b>Other Taxes</b>	<u>1,137</u>	<u>0</u>	<u>119</u>	<u>591</u>	<u>1,847</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,242</u>	<u>0</u>	<u>0</u>	<u>1,242</u>
<b>Total Taxes</b>	<u>45,891</u>	<u>8,469</u>	<u>1,421</u>	<u>14,357</u>	<u>70,138</u>
Licenses, Fees, Etc.	689	0	0	0	689
Abandoned Property	670	0	0	0	670
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	262	0	0	0	262
Investment Income	30	0	0	0	30
Other Transactions	1,102	16,057	3,249	1,043	21,451
<b>Miscellaneous Receipts</b>	<u>2,829</u>	<u>16,538</u>	<u>4,060</u>	<u>1,043</u>	<u>24,470</u>
<b>Federal Grants</b>	<u>2</u>	<u>41,893</u>	<u>2,212</u>	<u>79</u>	<u>44,186</u>
<b>Total</b>	<u>48,722</u>	<u>66,900</u>	<u>7,693</u>	<u>15,479</u>	<u>138,794</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	36,032	0	0	0	36,032
Estimated Payments	13,702	0	0	0	13,702
Final Payments	2,151	0	0	0	2,151
Other Payments	1,235	0	0	0	1,235
<b>Gross Collections</b>	<u>53,120</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>53,120</u>
State/City Offset	(148)	0	0	0	(148)
Refunds	(8,633)	0	0	0	(8,633)
<b>Reported Tax Collections</b>	<u>44,339</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>44,339</u>
STAR (Dedicated Deposits)	(3,642)	3,642	0	0	0
RBTF (Dedicated Transfers)	(11,085)	0	0	11,085	0
<b>Personal Income Tax</b>	<u>29,612</u>	<u>3,642</u>	<u>0</u>	<u>11,085</u>	<u>44,339</u>
Sales and Use Tax	12,452	851	0	0	13,303
Cigarette and Tobacco Taxes	455	1,101	0	0	1,556
Motor Fuel Tax	0	109	411	0	520
Alcoholic Beverage Taxes	247	0	0	0	247
Highway Use Tax	0	0	144	0	144
Auto Rental Tax	0	45	74	0	119
Taxicab Surcharge	0	100	0	0	100
<b>Gross Utility Taxes and Fees</b>	<u>13,154</u>	<u>2,206</u>	<u>629</u>	<u>0</u>	<u>15,989</u>
LGAC Sales Tax (Dedicated Transfers)	(3,112)	0	0	3,112	0
<b>User Taxes and Fees</b>	<u>10,042</u>	<u>2,206</u>	<u>629</u>	<u>3,112</u>	<u>15,989</u>
Corporation Franchise Tax	2,320	535	0	0	2,855
Corporation and Utilities Tax	718	185	15	0	918
Insurance Taxes	1,414	173	0	0	1,587
Bank Tax	1,261	211	0	0	1,472
Petroleum Business Tax	0	538	668	0	1,206
<b>Business Taxes</b>	<u>5,713</u>	<u>1,642</u>	<u>683</u>	<u>0</u>	<u>8,038</u>
Estate Tax	1,205	0	0	0	1,205
Real Estate Transfer Tax	780	0	0	0	780
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>2,002</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,002</u>
Real Estate Transfer Tax (Dedicated)	(780)	0	119	661	0
<b>Other Taxes</b>	<u>1,222</u>	<u>0</u>	<u>119</u>	<u>661</u>	<u>2,002</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,329</u>	<u>0</u>	<u>0</u>	<u>1,329</u>
<b>Total Taxes</b>	<u>46,589</u>	<u>8,819</u>	<u>1,431</u>	<u>14,858</u>	<u>71,697</u>
Licenses, Fees, Etc.	621	0	0	0	621
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	262	0	0	0	262
Investment Income	30	0	0	0	30
Other Transactions	653	16,358	3,068	1,094	21,173
<b>Miscellaneous Receipts</b>	<u>2,297</u>	<u>16,839</u>	<u>3,879</u>	<u>1,094</u>	<u>24,109</u>
<b>Federal Grants</b>	<u>0</u>	<u>45,203</u>	<u>1,971</u>	<u>79</u>	<u>47,253</u>
<b>Total</b>	<u>48,886</u>	<u>70,861</u>	<u>7,281</u>	<u>16,031</u>	<u>143,059</u>

**CASH RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>Total</u>
<b>Taxes:</b>					
Withholdings	37,947	0	0	0	37,947
Estimated Payments	13,560	0	0	0	13,560
Final Payments	2,251	0	0	0	2,251
Other Payments	1,286	0	0	0	1,286
<b>Gross Collections</b>	<u>55,044</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>55,044</u>
State/City Offset	(148)	0	0	0	(148)
Refunds	(9,085)	0	0	0	(9,085)
<b>Reported Tax Collections</b>	<u>45,811</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>45,811</u>
STAR (Dedicated Deposits)	(3,744)	3,744	0	0	0
RBTF (Dedicated Transfers)	(11,453)	0	0	11,453	0
<b>Personal Income Tax</b>	<u>30,614</u>	<u>3,744</u>	<u>0</u>	<u>11,453</u>	<u>45,811</u>
Sales and Use Tax	12,948	881	0	0	13,829
Cigarette and Tobacco Taxes	447	1,079	0	0	1,526
Motor Fuel Tax	0	110	413	0	523
Alcoholic Beverage Taxes	247	0	0	0	247
Highway Use Tax	0	0	152	0	152
Auto Rental Tax	0	47	77	0	124
Taxicab Surcharge	0	101	0	0	101
<b>Gross Utility Taxes and Fees</b>	<u>13,642</u>	<u>2,218</u>	<u>642</u>	<u>0</u>	<u>16,502</u>
LGAC Sales Tax (Dedicated Transfers)	(3,236)	0	0	3,236	0
<b>User Taxes and Fees</b>	<u>10,406</u>	<u>2,218</u>	<u>642</u>	<u>3,236</u>	<u>16,502</u>
Corporation Franchise Tax	2,726	563	0	0	3,289
Corporation and Utilities Tax	741	191	15	0	947
Insurance Taxes	1,487	179	0	0	1,666
Bank Tax	1,337	225	0	0	1,562
Petroleum Business Tax	0	540	672	0	1,212
<b>Business Taxes</b>	<u>6,291</u>	<u>1,698</u>	<u>687</u>	<u>0</u>	<u>8,676</u>
Estate Tax	1,205	0	0	0	1,205
Real Estate Transfer Tax	855	0	0	0	855
Gift Tax	0	0	0	0	0
Real Property Gains Tax	0	0	0	0	0
Pari-Mutuel Taxes	16	0	0	0	16
Other Taxes	1	0	0	0	1
<b>Gross Other Taxes</b>	<u>2,077</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,077</u>
Real Estate Transfer Tax (Dedicated)	(855)	0	119	736	0
<b>Other Taxes</b>	<u>1,222</u>	<u>0</u>	<u>119</u>	<u>736</u>	<u>2,077</u>
<b>Payroll Tax</b>	<u>0</u>	<u>1,418</u>	<u>0</u>	<u>0</u>	<u>1,418</u>
<b>Total Taxes</b>	<u>48,533</u>	<u>9,078</u>	<u>1,448</u>	<u>15,425</u>	<u>74,484</u>
Licenses, Fees, Etc.	612	0	0	0	612
Abandoned Property	655	0	0	0	655
Motor Vehicle Fees	26	481	811	0	1,318
ABC License Fee	50	0	0	0	50
Reimbursements	262	0	0	0	262
Investment Income	30	0	0	0	30
Other Transactions	754	16,528	3,044	1,092	21,418
<b>Miscellaneous Receipts</b>	<u>2,389</u>	<u>17,009</u>	<u>3,855</u>	<u>1,092</u>	<u>24,345</u>
<b>Federal Grants</b>	<u>0</u>	<u>47,291</u>	<u>1,656</u>	<u>79</u>	<u>49,026</u>
<b>Total</b>	<u>50,922</u>	<u>73,378</u>	<u>6,959</u>	<u>16,596</u>	<u>147,855</u>

**CURRENT STATE RECEIPTS  
ALL GOVERNMENTAL FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Taxes:</b>				
Withholdings	31,199	32,748	1,549	5.0%
Estimated Payments	11,628	11,602	(26)	-0.2%
Final Payments	2,117	2,203	86	4.1%
Other Payments	1,087	1,149	62	5.7%
<b>Gross Collections</b>	<b>46,031</b>	<b>47,702</b>	<b>1,671</b>	<b>3.6%</b>
State/City Offset	(366)	(298)	68	-18.6%
Refunds	(6,897)	(7,148)	(251)	3.6%
<b>Reported Tax Collections</b>	<b>38,768</b>	<b>40,256</b>	<b>1,488</b>	<b>3.8%</b>
STAR (Dedicated Deposits)	0	0	0	--
RBTF (Dedicated Transfers)	(1)	0	1	-100.0%
<b>Personal Income Tax</b>	<b>38,767</b>	<b>40,256</b>	<b>1,489</b>	<b>3.8%</b>
Sales and Use Tax	11,876	12,205	329	2.8%
Cigarette and Tobacco Taxes	1,633	1,615	(18)	-1.1%
Motor Fuel Tax	501	515	14	2.8%
Alcoholic Beverage Taxes	238	241	3	1.3%
Highway Use Tax	132	147	15	11.4%
Auto Rental Tax	104	109	5	4.8%
Taxicab Surcharge	87	89	2	2.3%
<b>Gross Utility Taxes and Fees</b>	<b>14,571</b>	<b>14,921</b>	<b>350</b>	<b>2.4%</b>
LGAC Sales Tax (Dedicated Transfers)	0	0	0	--
<b>User Taxes and Fees</b>	<b>14,571</b>	<b>14,921</b>	<b>350</b>	<b>2.4%</b>
Corporation Franchise Tax	3,176	3,360	184	5.8%
Corporation and Utilities Tax	797	847	50	6.3%
Insurance Taxes	1,413	1,479	66	4.7%
Bank Tax	1,391	1,381	(10)	-0.7%
Petroleum Business Tax	1,100	1,162	62	5.6%
<b>Business Taxes</b>	<b>7,877</b>	<b>8,229</b>	<b>352</b>	<b>4.5%</b>
Estate Tax	1,078	1,127	49	4.5%
Real Estate Transfer Tax	610	660	50	8.2%
Gift Tax	0	0	0	--
Real Property Gains Tax	0	0	0	--
Pari-Mutuel Taxes	17	16	(1)	-5.9%
Other Taxes	1	1	0	0.0%
<b>Gross Other Taxes</b>	<b>1,706</b>	<b>1,804</b>	<b>98</b>	<b>5.7%</b>
Real Estate Transfer Tax (Dedicated)	0	0	0	--
<b>Other Taxes</b>	<b>1,706</b>	<b>1,804</b>	<b>98</b>	<b>5.7%</b>
<b>Payroll Tax</b>	<b>1,376</b>	<b>1,160</b>	<b>(216)</b>	<b>-15.7%</b>
<b>Total Taxes</b>	<b>64,297</b>	<b>66,370</b>	<b>2,073</b>	<b>3.2%</b>
Licenses, Fees, Etc.	654	678	24	3.7%
Abandoned Property	762	785	23	3.0%
Motor Vehicle Fees	1,420	1,380	(40)	-2.8%
ABC License Fee	59	51	(8)	-13.6%
Reimbursements	234	262	28	12.0%
Investment Income	5	10	5	100.0%
Other Transactions	20,703	21,103	400	1.9%
<b>Miscellaneous Receipts</b>	<b>23,837</b>	<b>24,269</b>	<b>432</b>	<b>1.8%</b>
<b>Federal Grants</b>	<b>44,611</b>	<b>42,633</b>	<b>(1,978)</b>	<b>-4.4%</b>
<b>Total</b>	<b>132,745</b>	<b>133,272</b>	<b>527</b>	<b>0.4%</b>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2012  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,139</u>	<u>10</u>	<u>2,149</u>
<b>Receipts:</b>			
Taxes	8,244	0	8,244
Miscellaneous Receipts	15,399	166	15,565
Federal Receipts	<u>0</u>	<u>42,356</u>	<u>42,356</u>
<b>Total Receipts</b>	<u>23,643</u>	<u>42,522</u>	<u>66,165</u>
<b>Disbursements:</b>			
Local Assistance Grants	18,848	36,648	55,496
Departmental Operations:			
Personal Service	6,266	633	6,899
Non-Personal Service	3,646	944	4,590
General State Charges	1,873	262	2,135
Debt Service	0	0	0
Capital Projects	<u>6</u>	<u>0</u>	<u>6</u>
<b>Total Disbursements</b>	<u>30,639</u>	<u>38,487</u>	<u>69,126</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,096	0	7,096
Transfers to Other Funds	<u>(607)</u>	<u>(4,083)</u>	<u>(4,690)</u>
<b>Net Other Financing Sources (Uses)</b>	<u>6,489</u>	<u>(4,083)</u>	<u>2,406</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(507)</u>	<u>(48)</u>	<u>(555)</u>
<b>Closing Fund Balance</b>	<u>1,632</u>	<u>(38)</u>	<u>1,594</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2013  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>1,632</u>	<u>(38)</u>	<u>1,594</u>
<b>Receipts:</b>			
Taxes	8,142	0	8,142
Miscellaneous Receipts	15,707	186	15,893
Federal Receipts	1	40,302	40,303
<b>Total Receipts</b>	<u>23,850</u>	<u>40,488</u>	<u>64,338</u>
<b>Disbursements:</b>			
Local Assistance Grants	19,128	34,653	53,781
Departmental Operations:			
Personal Service	6,273	645	6,918
Non-Personal Service	3,599	929	4,528
General State Charges	2,019	276	2,295
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>31,024</u>	<u>36,503</u>	<u>67,527</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	7,736	1	7,737
Transfers to Other Funds	(480)	(3,984)	(4,464)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>7,256</u>	<u>(3,983)</u>	<u>3,273</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>82</u>	<u>2</u>	<u>84</u>
<b>Closing Fund Balance</b>	<u>1,714</u>	<u>(36)</u>	<u>1,678</u>



**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2014  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>1,714</u>	<u>(36)</u>	<u>1,678</u>
<b>Receipts:</b>			
Taxes	8,469	0	8,469
Miscellaneous Receipts	16,352	186	16,538
Federal Receipts	<u>1</u>	<u>41,892</u>	<u>41,893</u>
<b>Total Receipts</b>	<u>24,822</u>	<u>42,078</u>	<u>66,900</u>
<b>Disbursements:</b>			
Local Assistance Grants	20,007	36,400	56,407
Departmental Operations:			
Personal Service	6,996	615	7,611
Non-Personal Service	3,850	1,022	4,872
General State Charges	2,167	282	2,449
Capital Projects	<u>5</u>	<u>0</u>	<u>5</u>
<b>Total Disbursements</b>	<u>33,025</u>	<u>38,319</u>	<u>71,344</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,731	1	8,732
Transfers to Other Funds	(247)	(3,759)	(4,006)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net Other Financing Sources (Uses)</b>	<u>8,484</u>	<u>(3,758)</u>	<u>4,726</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>281</u>	<u>1</u>	<u>282</u>
<b>Closing Fund Balance</b>	<u>1,995</u>	<u>(35)</u>	<u>1,960</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2015  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>1,995</u>	<u>(35)</u>	<u>1,960</u>
<b>Receipts:</b>			
Taxes	8,819	0	8,819
Miscellaneous Receipts	16,653	186	16,839
Federal Receipts	<u>1</u>	<u>45,202</u>	<u>45,203</u>
<b>Total Receipts</b>	<u>25,473</u>	<u>45,388</u>	<u>70,861</u>
<b>Disbursements:</b>			
Local Assistance Grants	20,688	40,306	60,994
Departmental Operations:			
Personal Service	7,187	627	7,814
Non-Personal Service	3,948	981	4,929
General State Charges	2,321	295	2,616
Capital Projects	<u>5</u>	<u>0</u>	<u>5</u>
<b>Total Disbursements</b>	<u>34,149</u>	<u>42,209</u>	<u>76,358</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	9,103	1	9,104
Transfers to Other Funds	(45)	(3,180)	(3,225)
Bond and Note Proceeds	<u>0</u>	<u>0</u>	<u>0</u>
<b>Net Other Financing Sources (Uses)</b>	<u>9,058</u>	<u>(3,179)</u>	<u>5,879</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>382</u>	<u>0</u>	<u>382</u>
<b>Closing Fund Balance</b>	<u>2,377</u>	<u>(35)</u>	<u>2,342</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,377</u>	<u>(35)</u>	<u>2,342</u>
<b>Receipts:</b>			
Taxes	9,078	0	9,078
Miscellaneous Receipts	16,823	186	17,009
Federal Receipts	1	47,290	47,291
<b>Total Receipts</b>	<u>25,902</u>	<u>47,476</u>	<u>73,378</u>
<b>Disbursements:</b>			
Local Assistance Grants	20,774	42,779	63,553
Departmental Operations:			
Personal Service	7,435	639	8,074
Non-Personal Service	4,016	876	4,892
General State Charges	2,504	308	2,812
Capital Projects	5	0	5
<b>Total Disbursements</b>	<u>34,734</u>	<u>44,602</u>	<u>79,336</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	9,500	1	9,501
Transfers to Other Funds	(216)	(2,876)	(3,092)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>9,284</u>	<u>(2,875)</u>	<u>6,409</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>452</u>	<u>(1)</u>	<u>451</u>
<b>Closing Fund Balance</b>	<u>2,829</u>	<u>(36)</u>	<u>2,793</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>2,149</u>	<u>1,594</u>	<u>(555)</u>	<u>-25.8%</u>
<b>Receipts:</b>				
Taxes	8,244	8,142	(102)	-1.2%
Miscellaneous Receipts	15,565	15,893	328	2.1%
Federal Receipts	<u>42,356</u>	<u>40,303</u>	<u>(2,053)</u>	<u>-4.8%</u>
<b>Total receipts</b>	<u>66,165</u>	<u>64,338</u>	<u>(1,827)</u>	<u>-2.8%</u>
<b>Disbursements:</b>				
Local Assistance Grants	55,496	53,781	(1,715)	-3.1%
Departmental Operations:				
Personal Service	6,899	6,918	19	0.3%
Non-Personal Service	4,590	4,528	(62)	-1.4%
General State Charges	2,135	2,295	160	7.5%
Debt Service	0	0	0	--
Capital Projects	<u>6</u>	<u>5</u>	<u>(1)</u>	<u>-16.7%</u>
<b>Total Disbursements</b>	<u>69,126</u>	<u>67,527</u>	<u>(1,599)</u>	<u>-2.3%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	7,096	7,737	641	9.0%
Transfers to Other Funds	(4,690)	(4,464)	226	-4.8%
<b>Net Other Financing Sources (Uses)</b>	<u>2,406</u>	<u>3,273</u>	<u>867</u>	<u>36.0%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(555)</u>	<u>84</u>	<u>639</u>	<u>-115.1%</u>
<b>Closing Fund Balance</b>	<u>1,594</u>	<u>1,678</u>	<u>84</u>	<u>5.3%</u>

**CASH FINANCIAL PLAN  
SPECIAL REVENUE FUNDS  
FY 2011  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>2,098</u>	<u>302</u>	<u>2,400</u>
<b>Receipts:</b>			
Taxes	8,117	0	8,117
Miscellaneous Receipts	15,154	152	15,306
Federal Receipts	0	46,693	46,693
<b>Total Receipts</b>	<u>23,271</u>	<u>46,845</u>	<u>70,116</u>
<b>Disbursements:</b>			
Local Assistance Grants	18,089	40,607	58,696
Departmental Operations:			
Personal Service	6,271	683	6,954
Non-Personal Service	3,080	1,014	4,094
General State Charges	1,915	260	2,175
Debt Service	0	0	0
Capital Projects	19	0	19
<b>Total Disbursements</b>	<u>29,374</u>	<u>42,564</u>	<u>71,938</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	8,077	(742)	7,335
Transfers to Other Funds	(1,933)	(3,831)	(5,764)
Bond and Note Proceeds	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>6,144</u>	<u>(4,573)</u>	<u>1,571</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>41</u>	<u>(292)</u>	<u>(251)</u>
<b>Closing Fund Balance</b>	<u>2,139</u>	<u>10</u>	<u>2,149</u>

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Personal Income Tax</b>	3,276	3,460	3,642	3,744
<b>User Taxes and Fees</b>	2,175	2,193	2,206	2,218
Sales and Use Tax	791	822	851	881
Cigarette and Tobacco Taxes	1,146	1,123	1,101	1,079
Motor Fuel Tax	108	109	109	110
Auto Rental Tax	41	43	45	47
Taxicab Surcharge	89	96	100	101
<b>Business Taxes</b>	1,531	1,574	1,642	1,698
Corporation Franchise Tax	455	502	535	563
Corporation and Utilities Tax	180	183	185	191
Insurance Taxes	157	166	173	179
Bank Tax	222	189	211	225
Petroleum Business Tax	517	534	538	540
<b>Payroll Tax</b>	1,160	1,242	1,329	1,418
<b>Total Taxes</b>	8,142	8,469	8,819	9,078
<b>Miscellaneous Receipts</b>	15,893	16,538	16,839	17,009
HCRA	4,775	5,061	5,202	5,202
State University Income	4,020	4,252	4,414	4,574
Lottery	3,172	3,248	3,257	3,259
Medicaid	794	785	788	788
Industry Assessments	763	769	776	783
Motor Vehicle Fees	482	481	481	481
All Other	1,887	1,942	1,921	1,922
<b>Federal Grants</b>	40,303	41,893	45,203	47,291
<b>Total</b>	<u>64,338</u>	<u>66,900</u>	<u>70,861</u>	<u>73,378</u>

**CASH RECEIPTS  
SPECIAL REVENUE FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>Annual \$ Change</b>	<b>Annual % Change</b>
<b>Personal Income Tax</b>	3,233	3,276	43	1.3%
<b>User Taxes and Fees</b>	2,143	2,175	32	1.5%
Sales and Use Tax	750	791	41	5.5%
Cigarette and Tobacco Taxes	1,162	1,146	(16)	-1.4%
Motor Fuel Tax	105	108	3	2.9%
Auto Rental Tax	39	41	2	5.1%
Taxicab Surcharge	87	89	2	2.3%
<b>Business Taxes</b>	1,492	1,531	39	2.6%
Corporation Franchise Tax	452	455	3	0.7%
Corporation and Utilities Tax	167	180	13	7.8%
Insurance Taxes	156	157	1	0.6%
Bank Tax	230	222	(8)	-3.5%
Petroleum Business Tax	487	517	30	6.2%
<b>Payroll Tax</b>	1,376	1,160	(216)	-15.7%
<b>Total Taxes</b>	8,244	8,142	(102)	-1.2%
<b>Miscellaneous Receipts</b>	15,565	15,893	328	2.1%
HCRA	4,155	4,775	620	14.9%
State University Income	3,828	4,020	192	5.0%
Lottery	2,975	3,172	197	6.6%
Medicaid	827	794	(33)	-4.0%
Industry Assessments	772	763	(9)	-1.2%
Motor Vehicle Fees	496	482	(14)	-2.8%
All Other	2,512	1,887	(625)	-24.9%
<b>Federal Grants</b>	42,356	40,303	(2,053)	-4.8%
<b>Total</b>	66,165	64,338	(1,827)	-2.8%

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2012  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(62)</u>	<u>(105)</u>	<u>(167)</u>
<b>Receipts:</b>			
Taxes	1,337	0	1,337
Miscellaneous Receipts	4,153	2	4,155
Federal Receipts	<u>5</u>	<u>2,110</u>	<u>2,115</u>
<b>Total Receipts</b>	<u>5,495</u>	<u>2,112</u>	<u>7,607</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,748	818	2,566
Capital Projects	<u>4,264</u>	<u>1,006</u>	<u>5,270</u>
<b>Total Disbursements</b>	<u>6,012</u>	<u>1,824</u>	<u>7,836</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,367	(336)	1,031
Transfers to Other Funds	(1,428)	(8)	(1,436)
Bond and Note Proceeds	<u>352</u>	<u>0</u>	<u>352</u>
<b>Net Other Financing Sources (Uses)</b>	<u>291</u>	<u>(344)</u>	<u>(53)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(226)</u>	<u>(56)</u>	<u>(282)</u>
<b>Closing Fund Balance</b>	<u>(288)</u>	<u>(161)</u>	<u>(449)</u>



**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2013  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(288)</u>	<u>(161)</u>	<u>(449)</u>
<b>Receipts:</b>			
Taxes	1,401	0	1,401
Miscellaneous Receipts	4,151	0	4,151
Federal Receipts	<u>5</u>	<u>2,186</u>	<u>2,191</u>
<b>Total Receipts</b>	<u>5,557</u>	<u>2,186</u>	<u>7,743</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,284	820	2,104
Capital Projects	<u>4,836</u>	<u>1,031</u>	<u>5,867</u>
<b>Total Disbursements</b>	<u>6,120</u>	<u>1,851</u>	<u>7,971</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,636	(308)	1,328
Transfers to Other Funds	(1,468)	(11)	(1,479)
Bond and Note Proceeds	<u>400</u>	<u>0</u>	<u>400</u>
<b>Net Other Financing Sources (Uses)</b>	<u>568</u>	<u>(319)</u>	<u>249</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>5</u>	<u>16</u>	<u>21</u>
<b>Closing Fund Balance</b>	<u>(283)</u>	<u>(145)</u>	<u>(428)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2014  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(283)</u>	<u>(145)</u>	<u>(428)</u>
<b>Receipts:</b>			
Taxes	1,421	0	1,421
Miscellaneous Receipts	4,060	0	4,060
Federal Receipts	5	2,207	2,212
<b>Total Receipts</b>	<u>5,486</u>	<u>2,207</u>	<u>7,693</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,340	767	2,107
Capital Projects	4,725	1,083	5,808
<b>Total Disbursements</b>	<u>6,065</u>	<u>1,850</u>	<u>7,915</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,860	(315)	1,545
Transfers to Other Funds	(1,521)	(12)	(1,533)
Bond and Note Proceeds	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>677</u>	<u>(327)</u>	<u>350</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>98</u>	<u>30</u>	<u>128</u>
<b>Closing Fund Balance</b>	<u>(185)</u>	<u>(115)</u>	<u>(300)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2015  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(185)</u>	<u>(115)</u>	<u>(300)</u>
<b>Receipts:</b>			
Taxes	1,431	0	1,431
Miscellaneous Receipts	3,879	0	3,879
Federal Receipts	5	1,966	1,971
<b>Total Receipts</b>	<u>5,315</u>	<u>1,966</u>	<u>7,281</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,185	698	1,883
Capital Projects	4,757	904	5,661
<b>Total Disbursements</b>	<u>5,942</u>	<u>1,602</u>	<u>7,544</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,779	(308)	1,471
Transfers to Other Funds	(1,507)	(12)	(1,519)
Bond and Note Proceeds	306	0	306
<b>Net Other Financing Sources (Uses)</b>	<u>578</u>	<u>(320)</u>	<u>258</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(49)</u>	<u>44</u>	<u>(5)</u>
<b>Closing Fund Balance</b>	<u>(234)</u>	<u>(71)</u>	<u>(305)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2016  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(234)</u>	<u>(71)</u>	<u>(305)</u>
<b>Receipts:</b>			
Taxes	1,448	0	1,448
Miscellaneous Receipts	3,855	0	3,855
Federal Receipts	5	1,651	1,656
<b>Total Receipts</b>	<u>5,308</u>	<u>1,651</u>	<u>6,959</u>
<b>Disbursements:</b>			
Local Assistance Grants	896	656	1,552
Capital Projects	4,728	667	5,395
<b>Total Disbursements</b>	<u>5,624</u>	<u>1,323</u>	<u>6,947</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,668	(303)	1,365
Transfers to Other Funds	(1,519)	(12)	(1,531)
Bond and Note Proceeds	121	0	121
<b>Net Other Financing Sources (Uses)</b>	<u>270</u>	<u>(315)</u>	<u>(45)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(46)</u>	<u>13</u>	<u>(33)</u>
<b>Closing Fund Balance</b>	<u>(280)</u>	<u>(58)</u>	<u>(338)</u>

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>(167)</u>	<u>(449)</u>	<u>(282)</u>	
<b>Receipts:</b>				
Taxes	1,337	1,401	64	4.8%
Miscellaneous Receipts	4,155	4,151	(4)	-0.1%
Federal Receipts	2,115	2,191	76	3.6%
<b>Total Receipts</b>	<u>7,607</u>	<u>7,743</u>	<u>136</u>	<u>1.8%</u>
<b>Disbursements:</b>				
Local Assistance Grants	2,566	2,104	(462)	-18.0%
Capital Projects	5,270	5,867	597	11.3%
<b>Total Disbursements</b>	<u>7,836</u>	<u>7,971</u>	<u>135</u>	<u>1.7%</u>
<b>Other Financing Sources (uses):</b>				
Transfers From Other Funds	1,031	1,328	297	28.8%
Transfers to Other Funds	(1,436)	(1,479)	(43)	3.0%
Bond and Note Proceeds	352	400	48	13.6%
<b>Net Other Financing Sources (Uses)</b>	<u>(53)</u>	<u>249</u>	<u>302</u>	<u>-569.8%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(282)</u>	<u>21</u>	<u>303</u>	
<b>Closing Fund Balance</b>	<u>(449)</u>	<u>(428)</u>	<u>21</u>	

**CASH FINANCIAL PLAN  
CAPITAL PROJECTS FUNDS  
FY 2011  
(millions of dollars)**

	<u>State</u>	<u>Federal</u>	<u>Total</u>
<b>Opening Fund Balance</b>	<u>(31)</u>	<u>(222)</u>	<u>(253)</u>
<b>Receipts:</b>			
Taxes	1,338	0	1,338
Miscellaneous Receipts	3,845	2	3,847
Federal Receipts	<u>6</u>	<u>2,494</u>	<u>2,500</u>
<b>Total Receipts</b>	<u>5,189</u>	<u>2,496</u>	<u>7,685</u>
<b>Disbursements:</b>			
Local Assistance Grants	1,747	984	2,731
Departmental Operations:			
Personal Service	0	0	0
Non-Personal Service	0	0	0
General State Charges	0	0	0
Debt Service	0	0	0
Capital Projects	<u>3,954</u>	<u>1,159</u>	<u>5,113</u>
<b>Total Disbursements</b>	<u>5,701</u>	<u>2,143</u>	<u>7,844</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	1,357	(227)	1,130
Transfers to Other Funds	(1,401)	(9)	(1,410)
Bond and Note Proceeds	<u>525</u>	<u>0</u>	<u>525</u>
<b>Net Other Financing Sources (Uses)</b>	<u>481</u>	<u>(236)</u>	<u>245</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>			
	<u>(31)</u>	<u>117</u>	<u>86</u>
<b>Closing Fund Balance</b>	<u>(62)</u>	<u>(105)</u>	<u>(167)</u>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>User Taxes and Fees</b>	<u>622</u>	<u>621</u>	<u>629</u>	<u>642</u>
Motor Fuel Tax	407	408	411	413
Highway Use Tax	147	142	144	152
Auto Rental Tax	68	71	74	77
<b>Business Taxes</b>	<u>660</u>	<u>681</u>	<u>683</u>	<u>687</u>
Corporation and Utilities Tax	15	15	15	15
Petroleum Business Tax	645	666	668	672
<b>Other Taxes</b>	<u>119</u>	<u>119</u>	<u>119</u>	<u>119</u>
Real Estate Transfer Tax	119	119	119	119
<b>Total Taxes</b>	<u>1,401</u>	<u>1,421</u>	<u>1,431</u>	<u>1,448</u>
<b>Miscellaneous Receipts</b>	<u>4,151</u>	<u>4,060</u>	<u>3,879</u>	<u>3,855</u>
Authority Bond Proceeds	3,161	3,056	2,834	2,774
State Park Fees	87	60	47	33
Environmental Revenues	77	77	77	77
Motor Vehicle Fees	799	811	811	811
All Other	27	56	110	160
<b>Federal Grants</b>	<u>2,191</u>	<u>2,212</u>	<u>1,971</u>	<u>1,656</u>
<b>Total</b>	<u><u>7,743</u></u>	<u><u>7,693</u></u>	<u><u>7,281</u></u>	<u><u>6,959</u></u>

**CASH RECEIPTS  
CAPITAL PROJECTS FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>User Taxes and Fees</b>	593	622	29	4.9%
Motor Fuel Tax	396	407	11	2.8%
Highway Use Tax	132	147	15	11.4%
Auto Rental Tax	65	68	3	4.6%
<b>Business Taxes</b>	625	660	35	5.6%
Corporation and Utilities Tax	13	15	2	15.4%
Petroleum Business Tax	612	645	33	5.4%
<b>Other Taxes</b>	119	119	0	0.0%
Real Estate Transfer Tax	119	119	0	0.0%
<b>Total Taxes</b>	<u>1,337</u>	<u>1,401</u>	<u>64</u>	<u>4.8%</u>
<b>Miscellaneous Receipts</b>	4,155	4,151	(4)	-0.1%
Authority Bond Proceeds	3,236	3,161	(75)	-2.3%
State Park Fees	41	87	46	112.2%
Environmental Revenues	77	77	0	0.0%
Motor Vehicle Fees	813	799	(14)	-1.7%
All Other	(12)	27	39	-325.0%
<b>Federal Grants</b>	2,115	2,191	76	3.6%
<b>Total</b>	<u><u>7,607</u></u>	<u><u>7,743</u></u>	<u><u>136</u></u>	<u><u>1.8%</u></u>



**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL OFF-BUDGET SPENDING  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development	61	38	38	21	21
Empire State Development Corporation	4	13	13	13	13
<b>Functional Total</b>	<u>65</u>	<u>51</u>	<u>51</u>	<u>34</u>	<u>34</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	466	403	403	403	403
<b>Functional Total</b>	<u>466</u>	<u>403</u>	<u>403</u>	<u>403</u>	<u>403</u>
<b>MENTAL HEALTH</b>					
Mental Health, Office of	143	247	214	264	214
People with Developmental Disabilities, Office for	30	59	66	91	91
Alcoholism and Substance Abuse Services, Office of	1	5	5	5	5
<b>Functional Total</b>	<u>174</u>	<u>311</u>	<u>285</u>	<u>360</u>	<u>310</u>
<b>HIGHER EDUCATION</b>					
City University of New York	401	453	486	501	521
Education School Aid	110	100	100	92	0
State University of New York	248	364	179	99	94
<b>Functional Total</b>	<u>759</u>	<u>917</u>	<u>764</u>	<u>691</u>	<u>614</u>
<b>ALL OTHER</b>					
Judiciary	12	8	9	5	0
<b>Functional Total</b>	<u>12</u>	<u>8</u>	<u>9</u>	<u>5</u>	<u>0</u>
<b>TOTAL CAPITAL OFF-BUDGET SPENDING</b>	<u><u>1,475</u></u>	<u><u>1,690</u></u>	<u><u>1,513</u></u>	<u><u>1,494</u></u>	<u><u>1,362</u></u>

Reflects certain capital spending that is not reported in actual cash spending results, but is reported in the State's GAAP Financial Statements. This spending is related to programs which are financed directly from bond proceeds that are on deposit at various public authorities rather than from a short-term loan from the Short-Term Investment Pool or cash from the General Fund.

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Personal Income Tax</b>	<u>10,064</u>	<u>10,793</u>	<u>11,085</u>	<u>11,453</u>
<b>User Taxes and Fees</b>	<u>2,853</u>	<u>2,973</u>	<u>3,112</u>	<u>3,236</u>
Sales and Use Tax	2,853	2,973	3,112	3,236
<b>Other Taxes</b>	<u>541</u>	<u>591</u>	<u>661</u>	<u>736</u>
Real Estate Transfer Tax	541	591	661	736
<b>Total Taxes</b>	<u>13,458</u>	<u>14,357</u>	<u>14,858</u>	<u>15,425</u>
<b>Miscellaneous Receipts</b>	<u>996</u>	<u>1,043</u>	<u>1,094</u>	<u>1,092</u>
Mental Hygiene Patient Receipts	352	375	403	403
SUNY Dormitory Fees	505	529	554	554
Health Patient Receipts	128	128	128	128
All Other	11	11	9	7
Federal Grants	<u>79</u>	<u>79</u>	<u>79</u>	<u>79</u>
<b>Total</b>	<u>14,533</u>	<u>15,479</u>	<u>16,031</u>	<u>16,596</u>

**CASH RECEIPTS  
DEBT SERVICE FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Personal Income Tax</b>	9,691	10,064	373	3.8%
<b>User Taxes and Fees</b>	2,780	2,853	73	2.6%
Sales and Use Tax	2,780	2,853	73	2.6%
<b>Other Taxes</b>	491	541	50	10.2%
Real Estate Transfer Tax	491	541	50	10.2%
<b>Total Taxes</b>	12,962	13,458	496	3.8%
<b>Miscellaneous Receipts</b>	955	996	41	4.3%
Mental Hygiene Patient Receipts	334	352	18	5.4%
SUNY Dormitory Fees	490	505	15	3.1%
Health Patient Receipts	121	128	7	5.8%
All Other	10	11	1	10.0%
Federal Grants	80	79	(1)	-1.3%
<b>Total</b>	<u>13,997</u>	<u>14,533</u>	<u>536</u>	<u>3.8%</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2012  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>State Funds Total</b>
<b>Opening Fund Balance</b>	1,376	2,139	(62)	454	3,907
<b>Receipts:</b>					
Taxes	41,754	8,244	1,337	12,962	64,297
Miscellaneous Receipts	3,162	15,399	4,153	955	23,669
Federal Receipts	60	0	5	80	145
<b>Total Receipts</b>	<u>44,976</u>	<u>23,643</u>	<u>5,495</u>	<u>13,997</u>	<u>88,111</u>
<b>Disbursements:</b>					
Local Assistance Grants	38,419	18,848	1,748	0	59,015
Departmental Operations:					
Personal Service	5,781	6,266	0	0	12,047
Non-Personal Service	1,713	3,646	0	45	5,404
General State Charges	4,720	1,873	0	0	6,593
Debt Service	0	0	0	5,864	5,864
Capital Projects	0	6	4,264	0	4,270
<b>Total Disbursements</b>	<u>50,633</u>	<u>30,639</u>	<u>6,012</u>	<u>5,909</u>	<u>93,193</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	11,924	7,096	1,367	6,490	26,877
Transfers to Other Funds	(5,856)	(607)	(1,428)	(14,604)	(22,495)
Bond and Note Proceeds	0	0	352	0	352
<b>Net Other Financing Sources (Uses)</b>	<u>6,068</u>	<u>6,489</u>	<u>291</u>	<u>(8,114)</u>	<u>4,734</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>411</u>	<u>(507)</u>	<u>(226)</u>	<u>(26)</u>	<u>(348)</u>
<b>Closing Fund Balance</b>	<u>1,787</u>	<u>1,632</u>	<u>(288)</u>	<u>428</u>	<u>3,559</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2013  
(millions of dollars)**

	<b>General Fund</b>	<b>Special Revenue Funds</b>	<b>Capital Projects Funds</b>	<b>Debt Service Funds</b>	<b>State Funds Total</b>
<b>Opening Fund Balance</b>	1,787	1,632	(288)	428	3,559
<b>Receipts:</b>					
Taxes	43,369	8,142	1,401	13,458	66,370
Miscellaneous Receipts	3,229	15,707	4,151	996	24,083
Federal Receipts	60	1	5	79	145
<b>Total Receipts</b>	<u>46,658</u>	<u>23,850</u>	<u>5,557</u>	<u>14,533</u>	<u>90,598</u>
<b>Disbursements:</b>					
Local Assistance Grants	39,645	19,128	1,284	0	60,057
Departmental Operations:					
Personal Service	5,892	6,273	0	0	12,165
Non-Personal Service	1,844	3,599	0	47	5,490
General State Charges	4,403	2,019	0	0	6,422
Debt Service	0	0	0	6,064	6,064
Capital Projects	0	5	4,836	0	4,841
<b>Total Disbursements</b>	<u>51,784</u>	<u>31,024</u>	<u>6,120</u>	<u>6,111</u>	<u>95,039</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,242	7,736	1,636	6,322	27,936
Transfers to Other Funds	(7,084)	(480)	(1,468)	(14,609)	(23,641)
Reserve for Collective Bargaining	0	0	0	0	0
Reserve for Community Projects Fund	0	0	0	0	0
Bond and Note Proceeds	0	0	400	0	400
<b>Net Other Financing Sources (Uses)</b>	<u>5,158</u>	<u>7,256</u>	<u>568</u>	<u>(8,287)</u>	<u>4,695</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>32</u>	<u>82</u>	<u>5</u>	<u>135</u>	<u>254</u>
<b>Closing Fund Balance</b>	<u>1,819</u>	<u>1,714</u>	<u>(283)</u>	<u>563</u>	<u>3,813</u>

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2014  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	45,891	8,469	1,421	14,357	70,138
Miscellaneous Receipts	2,829	16,352	4,060	1,043	24,284
Federal Receipts	2	1	5	79	87
<b>Total Receipts</b>	<u>48,722</u>	<u>24,822</u>	<u>5,486</u>	<u>15,479</u>	<u>94,509</u>
<b>Disbursements:</b>					
Local Assistance Grants	41,872	20,007	1,340	0	63,219
Departmental Operations:					
Personal Service	5,370	6,996	0	0	12,366
Non-Personal Service	1,637	3,850	0	47	5,534
General State Charges	4,834	2,167	0	0	7,001
Debt Service	0	0	0	6,401	6,401
Capital Projects	0	5	4,725	0	4,730
<b>Total Disbursements</b>	<u>53,713</u>	<u>33,025</u>	<u>6,065</u>	<u>6,448</u>	<u>99,251</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,783	8,731	1,860	6,188	29,562
Transfers to Other Funds	(8,680)	(247)	(1,521)	(15,107)	(25,555)
Bond and Note Proceeds	0	0	338	0	338
<b>Net Other Financing Sources (Uses)</b>	<u>4,103</u>	<u>8,484</u>	<u>677</u>	<u>(8,919)</u>	<u>4,345</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other</b>					
	<u>(888)</u>	<u>281</u>	<u>98</u>	<u>112</u>	<u>(397)</u>
<b>Designated General Fund Reserves:</b>					
Community Projects Fund	(56)				
Prior-Year Labor Agreements (2007-2011)	118				
<b>Increase (Decrease) in Reserves</b>	<u>62</u>				
<b>Net General Fund Deficit</b>	<u>(950)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2015  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	46,589	8,819	1,431	14,858	71,697
Miscellaneous Receipts	2,297	16,653	3,879	1,094	23,923
Federal Receipts	0	1	5	79	85
<b>Total Receipts</b>	<u>48,886</u>	<u>25,473</u>	<u>5,315</u>	<u>16,031</u>	<u>95,705</u>
<b>Disbursements:</b>					
Local Assistance Grants	43,227	20,688	1,185	0	65,100
Departmental Operations:					
Personal Service	5,496	7,187	0	0	12,683
Non-Personal Service	1,722	3,948	0	47	5,717
General State Charges	5,179	2,321	0	0	7,500
Debt Service	0	0	0	6,522	6,522
Capital Projects	0	5	4,757	0	4,762
<b>Total Disbursements</b>	<u>55,624</u>	<u>34,149</u>	<u>5,942</u>	<u>6,569</u>	<u>102,284</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	13,033	9,103	1,779	5,691	29,606
Transfers to Other Funds	(9,592)	(45)	(1,507)	(15,017)	(26,161)
Bond and Note Proceeds	0	0	306	0	306
<b>Net Other Financing Sources (Uses)</b>	<u>3,441</u>	<u>9,058</u>	<u>578</u>	<u>(9,326)</u>	<u>3,751</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(3,297)</u>	<u>382</u>	<u>(49)</u>	<u>136</u>	<u>(2,828)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	118				
<b>Increase (Decrease) in Reserves</b>	<u>118</u>				
<b>Net General Fund Deficit</b>	<u>(3,415)</u>				

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2016  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Receipts:</b>					
Taxes	48,533	9,078	1,448	15,425	74,484
Miscellaneous Receipts	2,389	16,823	3,855	1,092	24,159
Federal Receipts	0	1	5	79	85
<b>Total Receipts</b>	<u>50,922</u>	<u>25,902</u>	<u>5,308</u>	<u>16,596</u>	<u>98,728</u>
<b>Disbursements:</b>					
Local Assistance Grants	45,490	20,774	896	0	67,160
Departmental Operations:					
Personal Service	5,753	7,435	0	0	13,188
Non-Personal Service	1,806	4,016	0	47	5,869
General State Charges	5,470	2,504	0	0	7,974
Debt Service	0	0	0	6,655	6,655
Capital Projects	0	5	4,728	0	4,733
<b>Total Disbursements</b>	<u>58,519</u>	<u>34,734</u>	<u>5,624</u>	<u>6,702</u>	<u>105,579</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	13,438	9,500	1,668	5,444	30,050
Transfers to Other Funds	(9,853)	(216)	(1,519)	(15,332)	(26,920)
Bond and Note Proceeds	0	0	121	0	121
<b>Net Other Financing Sources (Uses)</b>	<u>3,585</u>	<u>9,284</u>	<u>270</u>	<u>(9,888)</u>	<u>3,251</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>					
	<u>(4,012)</u>	<u>452</u>	<u>(46)</u>	<u>6</u>	<u>(3,600)</u>
<b>Designated General Fund Reserves:</b>					
Prior-Year Labor Agreements (2007-2011)	118				
<b>Increase (Decrease) in Reserves</b>	<u>118</u>				
<b>Net General Fund Deficit</b>	<u>(4,130)</u>				



**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2012 and FY 2013  
(millions of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>Annual \$ Change</u>	<u>Annual % Change</u>
<b>Opening Fund Balance</b>	<u>3,907</u>	<u>3,559</u>	<u>(348)</u>	
<b>Receipts:</b>				
Taxes	64,297	66,370	2,073	3.2%
Miscellaneous Receipts	23,669	24,083	414	1.7%
Federal Receipts	145	145	0	0.0%
<b>Total Receipts</b>	<u>88,111</u>	<u>90,598</u>	<u>2,487</u>	<u>2.8%</u>
<b>Disbursements:</b>				
Local Assistance Grants	59,015	60,057	1,042	1.8%
Departmental Operations:				
Personal Service	12,047	12,165	118	1.0%
Non-Personal Service	5,404	5,490	86	1.6%
General State Charges	6,593	6,422	(171)	-2.6%
Debt Service	5,864	6,064	200	3.4%
Capital Projects	4,270	4,841	571	13.4%
<b>Total Disbursements</b>	<u>93,193</u>	<u>95,039</u>	<u>1,846</u>	<u>2.0%</u>
<b>Other Financing Sources (Uses):</b>				
Transfers from Other Funds	26,877	27,936	1,059	3.9%
Transfers to Other Funds	(22,495)	(23,641)	(1,146)	5.1%
Bond and Note Proceeds	352	400	48	13.6%
<b>Net Other Financing Sources (Uses)</b>	<u>4,734</u>	<u>4,695</u>	<u>(39)</u>	<u>-0.8%</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(348)</u>	<u>254</u>	<u>602</u>	
<b>Closing Fund Balance</b>	<u>3,559</u>	<u>3,813</u>	<u>254</u>	

**CASH FINANCIAL PLAN  
STATE FUNDS  
FY 2011  
(millions of dollars)**

	<u>General Fund</u>	<u>Special Revenue Funds</u>	<u>Capital Projects Funds</u>	<u>Debt Service Funds</u>	<u>State Funds Total</u>
<b>Opening Fund Balance</b>	2,302	2,098	(31)	410	4,779
<b>Receipts:</b>					
Taxes	39,205	8,117	1,338	12,210	60,870
Miscellaneous Receipts	3,095	15,154	3,845	900	22,994
Federal Receipts	54	0	6	57	117
<b>Total Receipts</b>	<u>42,354</u>	<u>23,271</u>	<u>5,189</u>	<u>13,167</u>	<u>83,981</u>
<b>Disbursements:</b>					
Local Assistance Grants	37,206	18,089	1,747	0	57,042
Departmental Operations:					
Personal Service	6,151	6,271	0	0	12,422
Non-Personal Service	1,822	3,080	0	63	4,965
General State Charges	4,187	1,915	0	0	6,102
Debt Service	0	0	0	5,614	5,614
Capital Projects	0	19	3,954	0	3,973
<b>Total Disbursements</b>	<u>49,366</u>	<u>29,374</u>	<u>5,701</u>	<u>5,677</u>	<u>90,118</u>
<b>Other Financing Sources (Uses):</b>					
Transfers from Other Funds	12,093	8,077	1,357	7,048	28,575
Transfers to Other Funds	(6,007)	(1,933)	(1,401)	(14,494)	(23,835)
Bond and Note Proceeds	0	0	525	0	525
<b>Net Other Financing Sources (Uses)</b>	<u>6,086</u>	<u>6,144</u>	<u>481</u>	<u>(7,446)</u>	<u>5,265</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(926)</u>	<u>41</u>	<u>(31)</u>	<u>44</u>	<u>(872)</u>
<b>Closing Fund Balance</b>	<u>1,376</u>	<u>2,139</u>	<u>(62)</u>	<u>454</u>	<u>3,907</u>



**CASHFLOW**  
**STATE OPERATING FUNDS**

FY 2012

(dollars in millions)

	2011		2012					Intra-Fund Transfer Eliminations	Total				
	April Results	May Results	June Results	July Results	August Results	September Results	October Results			November Results	December Results	January Results	February Results
<b>OPENING BALANCE</b>	3,969	8,652	6,240	5,962	6,395	6,459	7,715	6,985	7,020	4,909	10,640	11,333	3,969
<b>RECEIPTS:</b>													
Taxes	7,098	2,854	6,967	3,574	3,920	6,634	3,525	3,631	6,679	8,178	4,067	5,833	0
Miscellaneous Receipts	1,246	1,238	1,548	1,239	1,523	2,245	1,466	1,745	1,543	1,538	1,873	2,312	0
Federal Grants	5	13	0	2	36	19	0	0	15	2	36	12	0
<b>TOTAL RECEIPTS</b>	8,349	4,105	8,515	4,815	5,479	8,898	4,991	5,376	8,237	9,718	5,976	8,157	0
<b>DISBURSEMENTS:</b>													
School Aid	233	2,579	2,213	145	544	3,156	700	1,014	1,575	362	531	6,610	0
Higher Education	32	19	525	129	341	51	449	31	191	61	321	458	0
All Other Education	24	21	223	267	43	33	231	64	163	41	50	538	0
STAR	0	0	392	0	0	178	6	36	2,594	0	0	27	0
Medicaid - DOH	1,356	1,365	1,270	1,617	1,179	691	1,640	1,796	881	1,225	999	1,278	0
Public Health	37	136	235	104	262	152	163	119	271	120	128	266	0
Mental Hygiene	70	67	571	144	75	729	174	76	762	100	434	552	0
Children and Families	9	115	230	67	71	44	255	78	62	165	89	419	0
Temporary & Disability Assistance	327	63	66	167	192	66	94	75	77	74	25	188	0
Transportation	161	445	353	262	475	214	402	500	686	175	309	248	0
Unrestricted Aid	1	12	294	0	3	91	9	0	204	0	1	139	0
All Other	(18)	37	199	40	253	61	83	19	69	61	72	144	0
<b>Total Local Assistance Grants</b>	2,232	4,859	6,571	2,942	3,438	5,466	4,206	3,808	7,535	2,384	2,959	10,867	0
Personal Service	994	922	1,088	917	1,218	947	918	920	1,100	895	1,190	938	0
Non-Personal Service	392	392	465	342	432	396	431	411	498	417	495	733	0
<b>Total State Operations</b>	1,386	1,314	1,553	1,259	1,650	1,343	1,349	1,331	1,598	1,312	1,685	1,671	0
General State Charges	449	400	401	461	461	441	401	420	420	461	614	1,664	0
Debt service	157	293	566	102	286	878	104	186	1,119	94	490	1,589	0
Capital Projects	0	1	0	0	1	1	0	0	1	1	0	1	0
<b>TOTAL DISBURSEMENTS</b>	4,224	6,867	9,091	4,764	5,836	8,129	6,060	5,745	10,673	4,252	5,748	15,792	0
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	3,549	1,312	2,429	2,003	1,896	2,155	1,987	1,562	2,242	2,370	1,932	2,873	(800)
Transfers to other funds	(2,991)	(962)	(2,131)	(1,621)	(1,475)	(1,668)	(1,648)	(1,158)	(1,917)	(2,105)	(1,467)	(2,724)	800
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	558	350	298	382	421	487	339	404	325	265	465	149	0
<b>Excess/(Deficiency) of Receipts over Disbursements</b>	4,683	(2,412)	(278)	433	64	1,256	(730)	35	(2,111)	5,731	693	(7,486)	0
<b>CLOSING BALANCE</b>	8,652	6,240	5,962	6,395	6,459	7,715	6,985	7,020	4,909	10,640	11,333	3,847	0

\*Unaudited Results

**CASHFLOW  
CAPITAL PROJECTS FUNDS  
FY 2012**  
(dollars in millions)

	2011	2012											
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results*	Total
<b>OPENING BALANCE</b>	(167)	(213)	(328)	(401)	(536)	(624)	(845)	(861)	(1,013)	(877)	(819)	(910)	(167)
<b>RECEIPTS:</b>													
Taxes	88	86	123	116	110	134	107	91	151	108	107	116	1,337
Miscellaneous Receipts	343	320	238	382	224	248	385	194	337	483	172	829	4,155
Federal Grants	127	132	229	117	233	158	149	167	196	294	132	181	2,115
<b>TOTAL RECEIPTS</b>	<b>558</b>	<b>538</b>	<b>590</b>	<b>615</b>	<b>567</b>	<b>540</b>	<b>641</b>	<b>452</b>	<b>684</b>	<b>885</b>	<b>411</b>	<b>1,126</b>	<b>7,607</b>
<b>DISBURSEMENTS:</b>													
Local Assistance Grants	191	293	201	267	121	153	100	113	339	310	204	274	2,566
Total Local Assistance Grants	191	293	201	267	121	153	100	113	339	310	204	274	2,566
Economic Development	2	2	4	5	7	2	4	4	8	3	4	3	48
Parks & the Environment	18	17	18	17	32	20	18	36	54	24	32	44	330
Transportation	191	207	320	248	317	257	341	306	336	218	183	280	3,204
Health & Social Welfare	3	2	3	14	3	3	13	2	2	13	1	(31)	28
Mental Hygiene	6	7	7	10	6	7	6	7	11	10	9	16	102
Public Protection	0	0	0	19	17	24	19	25	19	19	26	141	309
Education	54	53	87	75	101	92	84	77	89	75	85	176	1,048
All Other	43	61	36	15	16	17	14	15	21	7	19	(63)	201
Total Capital Projects	317	349	475	403	499	422	499	472	540	369	359	566	5,270
<b>TOTAL DISBURSEMENTS</b>	<b>508</b>	<b>642</b>	<b>676</b>	<b>670</b>	<b>620</b>	<b>575</b>	<b>599</b>	<b>585</b>	<b>879</b>	<b>679</b>	<b>563</b>	<b>840</b>	<b>7,836</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	(7)	79	107	19	64	30	38	77	73	(49)	107	493	1,031
Transfers to other funds	(89)	(90)	(94)	(99)	(99)	(216)	(96)	(96)	(94)	(99)	(46)	(318)	(1,436)
Bond and note proceeds	0	0	0	0	0	0	0	0	352	0	0	0	352
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(96)</b>	<b>(11)</b>	<b>13</b>	<b>(80)</b>	<b>(35)</b>	<b>(186)</b>	<b>(58)</b>	<b>(19)</b>	<b>331</b>	<b>(148)</b>	<b>61</b>	<b>175</b>	<b>(53)</b>
Excess/(Deficiency) of Receipts over Disbursements	(46)	(115)	(73)	(135)	(88)	(221)	(16)	(152)	136	58	(91)	461	(282)
<b>CLOSING BALANCE</b>	<b>(213)</b>	<b>(328)</b>	<b>(401)</b>	<b>(536)</b>	<b>(624)</b>	<b>(845)</b>	<b>(861)</b>	<b>(1,013)</b>	<b>(877)</b>	<b>(819)</b>	<b>(910)</b>	<b>(449)</b>	<b>(449)</b>

\*Unaudited Results

**CASHFLOW**  
**CAPITAL PROJECTS STATE FUNDS**  
**FY 2012**  
(dollars in millions)

	2011 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2012 January Results	February Results	March Results*	Total
<b>OPENING BALANCE</b>	(62)	(147)	(296)	(402)	(531)	(680)	(915)	(936)	(905)	(772)	(707)	(790)	(62)
<b>RECEIPTS:</b>													
Taxes	88	86	123	116	110	134	107	91	151	108	107	116	1,337
Miscellaneous Receipts	343	320	238	382	224	248	385	194	337	482	171	829	4,153
Federal Grants	0	0	0	0	0	3	0	0	0	0	0	2	5
<b>TOTAL RECEIPTS</b>	<b>431</b>	<b>406</b>	<b>361</b>	<b>498</b>	<b>334</b>	<b>385</b>	<b>492</b>	<b>285</b>	<b>488</b>	<b>590</b>	<b>278</b>	<b>947</b>	<b>5,495</b>
<b>DISBURSEMENTS:</b>													
Local Assistance Grants	165	269	101	242	62	104	66	75	254	83	136	191	1,748
Total Local Assistance Grants	165	269	101	242	62	104	66	75	254	83	136	191	1,748
Economic Development	2	2	4	5	6	2	4	4	8	2	3	4	46
Parks & the Environment	18	17	17	17	32	19	15	35	54	24	32	42	322
Transportation	134	135	228	154	211	167	238	212	237	146	122	266	2,250
Health & Social Welfare	3	2	3	14	3	3	13	2	2	13	1	(31)	28
Mental Hygiene	6	7	7	10	6	7	6	7	11	10	9	16	102
Public Protection	18	45	20	18	16	24	18	24	18	19	26	55	301
Education	54	53	87	75	101	92	84	77	89	75	85	176	1,048
All Other	19	14	13	14	12	16	11	14	13	5	13	23	167
Total Capital Projects	254	275	379	307	387	330	389	375	432	294	291	551	4,264
<b>TOTAL DISBURSEMENTS</b>	<b>419</b>	<b>544</b>	<b>480</b>	<b>549</b>	<b>449</b>	<b>434</b>	<b>455</b>	<b>450</b>	<b>686</b>	<b>377</b>	<b>427</b>	<b>742</b>	<b>6,012</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	(7)	79	107	19	65	30	38	289	73	(49)	107	616	1,367
Transfers to other funds	(90)	(90)	(94)	(97)	(99)	(216)	(96)	(93)	(94)	(99)	(41)	(319)	(1,428)
Bond and note proceeds	0	0	0	0	0	0	0	0	352	0	0	0	352
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(97)</b>	<b>(11)</b>	<b>13</b>	<b>(78)</b>	<b>(34)</b>	<b>(186)</b>	<b>(58)</b>	<b>196</b>	<b>331</b>	<b>(148)</b>	<b>66</b>	<b>297</b>	<b>291</b>
Excess/(Deficiency) of Receipts over Disbursements	(65)	(149)	(106)	(129)	(149)	(235)	(21)	31	133	65	(83)	502	(226)
<b>CLOSING BALANCE</b>	<b>(147)</b>	<b>(296)</b>	<b>(402)</b>	<b>(531)</b>	<b>(680)</b>	<b>(915)</b>	<b>(936)</b>	<b>(905)</b>	<b>(772)</b>	<b>(707)</b>	<b>(790)</b>	<b>(288)</b>	<b>(288)</b>

\*Unaudited Results

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2012**  
(dollars in millions)

	2011	2011	2011	2011	2011	2011	2011	2011	2011	2011	2011	2012	2012	2012	2012	2012	2012	2012		
	April	May	June	July	August	September	October	November	December	January	February	March	Results*	Results	Results	Results	Results	Results	Total	
	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	Results	
<b>OPENING BALANCE</b>	(105)	(66)	(32)	1	(5)	56	70	75	(108)	(105)	(112)	(120)	(105)	(105)	(112)	(120)	(105)	(105)	(105)	
<b>RECEIPTS:</b>																				
Taxes																				
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	127	132	229	117	233	155	149	167	196	294	132	179	294	196	132	179	294	132	179	2,110
<b>TOTAL RECEIPTS</b>	127	132	229	117	233	155	149	167	196	295	133	179	295	196	133	179	295	133	179	2,112
<b>DISBURSEMENTS:</b>																				
Local Assistance Grants	26	24	100	25	59	49	34	38	85	227	68	83	227	85	68	83	227	68	83	818
Total Local Assistance Grants	26	24	100	25	59	49	34	38	85	227	68	83	227	85	68	83	227	68	83	818
Economic Development	0	0	0	0	1	0	0	0	0	1	1	(1)	1	0	1	(1)	1	0	1	2
Parks & the Environment	0	0	1	0	0	1	3	1	0	0	0	2	0	0	0	0	0	0	0	8
Transportation	57	72	92	94	106	90	103	94	99	72	61	14	72	99	61	14	72	61	14	954
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	(18)	(45)	(20)	1	1	0	1	1	1	0	0	0	0	1	0	0	0	0	0	8
Education	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	24	47	23	1	4	1	3	1	8	2	6	(86)	2	8	6	(86)	2	6	(86)	34
Total Capital Projects	63	74	96	96	112	92	110	97	108	75	68	15	75	108	68	15	75	68	15	1,006
<b>TOTAL DISBURSEMENTS</b>	89	98	196	121	171	141	144	135	193	302	136	98	302	193	136	98	302	136	98	1,824
<b>OTHER FINANCING SOURCES (uses):</b>																				
Transfers from other funds	0	0	0	0	(1)	0	0	(212)	0	0	0	(123)	0	0	0	(123)	0	0	0	(336)
Transfers to other funds	1	0	0	(2)	0	0	0	(3)	0	0	(5)	1	0	(5)	1	(8)	0	(5)	1	(8)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	1	0	0	(2)	(1)	0	0	(215)	0	0	(5)	(122)	0	(5)	(122)	(8)	0	(5)	(122)	(344)
Excess/(Deficiency) of Receipts over Disbursements	39	34	33	(6)	61	14	5	(183)	3	(7)	(8)	(41)	(56)	(8)	(41)	(56)	(56)	(8)	(41)	(56)
<b>CLOSING BALANCE</b>	(66)	(32)	1	(5)	56	70	75	(108)	(105)	(112)	(120)	(161)	(161)	(120)	(161)	(161)	(161)	(120)	(161)	(161)

\*Unaudited Results

**CASHFLOW**  
**SPECIAL REVENUE FUNDS**  
**FY 2012**  
**(dollars in millions)**

	2011 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2012 January Results	February Results	March Results	Total
<b>OPENING BALANCE</b>	2,149	3,396	3,362	2,865	3,636	3,450	2,323	2,358	1,340	1,827	2,260	1,453	2,149
<b>RECEIPTS:</b>													
Personal Income Tax	0	0	392	0	0	178	6	36	2,593	0	0	28	3,233
User Taxes and Fees	206	162	196	193	177	201	186	167	190	188	135	142	2,143
Business Taxes	61	56	240	52	48	200	54	62	235	93	57	334	1,492
Other Taxes	125	128	85	97	134	71	114	126	82	160	158	96	1,376
Total Taxes	392	346	913	342	359	650	380	391	3,100	441	350	600	8,244
HORA	339	349	345	364	354	291	396	346	354	364	348	305	4,155
State University Income	183	195	225	213	294	599	337	268	250	373	567	324	3,828
Lottery	232	225	275	211	266	209	214	284	235	234	322	268	2,975
Medicaid	60	68	67	84	90	65	68	63	70	69	61	62	827
Motor vehicle fees	44	51	52	42	46	54	38	29	38	34	27	41	496
Other receipts	255	252	210	171	293	407	193	256	283	231	237	496	3,284
Total Miscellaneous Receipts	1,113	1,140	1,174	1,085	1,343	1,625	1,246	1,246	1,230	1,305	1,562	1,496	15,565
Federal Grants	3,978	2,927	3,758	4,017	3,243	3,621	3,077	3,528	4,090	2,451	2,797	4,869	42,356
<b>TOTAL RECEIPTS</b>	5,483	4,413	5,845	5,444	4,945	5,996	4,683	5,165	8,420	4,197	4,709	6,965	66,165
<b>DISBURSEMENTS:</b>													
School Aid	336	416	768	241	178	2,178	225	244	439	334	395	689	6,443
Higher Education	1	1	1	1	0	4	0	0	0	0	0	19	27
All Other Education	215	188	104	44	53	34	23	149	150	58	62	100	1,180
STAR	0	0	392	0	0	178	6	36	2,594	0	0	27	3,233
Medicaid - DOH	2,793	2,263	3,061	2,765	2,288	2,545	2,066	3,044	1,877	1,887	2,652	2,676	29,917
Public Health	73	241	286	161	213	210	181	172	172	161	220	380	2,621
Mental Hygiene	71	76	202	151	89	196	173	96	293	105	119	287	1,858
Children and Families	11	9	6	167	279	36	118	180	169	14	5	176	1,170
Temporary & Disability Assistance	66	42	63	274	615	72	468	678	330	240	238	419	3,505
Transportation	164	424	354	263	454	218	405	479	673	177	301	251	4,163
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	22	100	66	66	302	132	93	53	184	67	92	202	1,379
Total Local Assistance Grants	3,752	3,760	5,303	4,133	4,471	5,803	3,758	5,131	7,089	3,043	4,084	5,169	55,496
Personal Service	445	454	537	411	621	639	596	561	592	588	741	714	6,899
Non-Personal Service	250	316	431	245	335	398	440	371	405	353	480	566	4,590
Total State Operations	695	770	968	656	956	1,037	1,036	932	997	941	1,221	1,280	11,489
General State Charges	48	103	322	45	242	222	56	408	100	60	417	112	2,135
Capital Projects	0	1	0	0	1	1	0	0	1	1	0	1	6
<b>TOTAL DISBURSEMENTS</b>	4,495	4,634	6,593	4,834	5,670	7,063	4,850	6,471	8,187	4,045	5,722	6,562	69,126
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	748	502	658	455	908	321	515	605	555	394	791	644	7,096
Transfers to other funds	(489)	(315)	(407)	(294)	(369)	(281)	(313)	(317)	(301)	(113)	(585)	(906)	(4,690)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	259	187	251	161	539	40	202	288	254	281	206	(262)	2,406
Excess/(Deficiency) of Receipts over Disbursements	1,247	(34)	(497)	771	(186)	(1,127)	35	(1,018)	487	433	(807)	141	(555)
<b>CLOSING BALANCE</b>	3,396	3,362	2,865	3,636	3,450	2,323	2,358	1,340	1,827	2,260	1,453	1,594	1,594

\*Unaudited Results



**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2012  
(dollars in millions)**

	2011 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2012 January Results	February Results	March Results*	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	2,139	3,086	3,239	2,987	3,507	3,758	2,239	2,206	2,057	1,847	2,215	2,254		21,139
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	392	0	0	178	6	36	2,593	0	0	28	0	3,233
User Taxes and Fees	206	162	196	193	177	201	186	167	190	188	135	142	0	2,143
Business Taxes	61	56	240	52	48	200	54	62	235	83	57	334	0	1,492
Other Taxes	125	128	85	97	134	71	114	126	82	160	158	96	0	1,376
Total Taxes	392	346	913	342	359	650	360	391	3,100	441	350	600	0	8,244
HCRA	339	349	345	364	354	291	396	346	354	364	348	305	0	4,155
State University Income	183	195	225	213	294	299	337	268	250	373	567	324	0	3,828
Lottery	232	225	275	211	266	209	214	284	235	234	322	268	0	2,975
Medicaid	60	68	67	84	90	65	68	63	70	69	61	62	0	827
Motor vehicle fees	44	51	52	42	46	54	38	29	38	34	27	41	0	496
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	243	217	201	160	276	398	180	243	271	220	223	486	0	3,116
Total Miscellaneous Receipts	1,101	1,105	1,166	1,074	1,326	1,616	1,233	1,233	1,218	1,294	1,548	1,486	0	15,389
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL RECEIPTS</b>	1,493	1,451	2,078	1,416	1,685	2,266	1,593	1,624	4,318	1,735	1,898	2,086	0	23,643
<b>DISBURSEMENTS:</b>														
School Aid	0	0	319	0	0	1,948	103	103	102	103	102	103	0	2,884
Higher Education	0	0	0	0	0	0	0	0	0	0	0	16	0	16
All Other Education	1	0	0	1	1	2	1	0	0	4	0	13	0	13
STAR	0	0	392	0	0	178	6	36	2,594	0	0	27	0	3,233
Medicaid - DOH	394	461	287	290	488	391	334	486	232	447	537	649	0	4,996
Public Health	22	118	194	67	107	113	213	83	232	89	95	127	0	1,343
Mental Hygiene	51	65	184	141	69	184	159	75	283	97	111	273	0	1,692
Children and Families	1	1	0	0	0	0	0	0	0	0	0	0	0	4
Temporary & Disability Assistance	1	0	1	1	1	1	3	(1)	3	0	1	(2)	0	9
Transportation	161	421	353	262	451	214	402	475	671	175	299	248	0	4,132
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	12	21	9	19	212	31	59	29	15	13	16	90	0	526
Total Local Assistance Grants	643	1,087	1,739	781	1,329	3,053	1,183	1,286	4,114	928	1,162	1,533	0	18,848
Personal Service	392	397	490	363	551	591	548	516	544	546	665	663	0	6,266
Non-Personal Service	192	266	369	194	263	274	340	301	331	262	367	487	0	3,646
Total State Operations	584	663	859	557	814	865	888	817	875	808	1,032	1,150	0	9,912
General State Charges	45	78	282	42	220	193	43	348	97	55	378	92	0	1,873
Capital Projects	0	1	0	0	1	1	0	0	1	1	0	1	0	6
<b>TOTAL DISBURSEMENTS</b>	1,272	1,829	2,880	1,380	2,364	4,122	2,114	2,451	5,087	1,792	2,572	2,776	0	30,639
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	820	557	690	485	938	395	594	718	591	454	859	795	(800)	7,096
Transfers to other funds	(94)	(26)	(130)	(11)	(8)	(56)	(106)	(40)	(32)	(29)	(146)	(727)	800	(607)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	726	531	560	474	930	337	488	678	559	425	713	68	0	6,489
Excess/(Deficiency) of Receipts over Disbursements	947	153	(242)	510	251	(1,519)	(33)	(148)	(210)	368	39	(622)	0	(507)
<b>CLOSING BALANCE</b>	3,086	3,239	2,997	3,507	3,758	2,239	2,206	2,057	1,847	2,215	2,254	1,632	0	1,632

\*Unaudited Results

**CASHFLOW  
SPECIAL REVENUE FEDERAL FUNDS  
FY 2012**  
(dollars in millions)

	2011		2012											
	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total	
<b>OPENING BALANCE</b>	10	310	123	(131)	129	(308)	84	152	(717)	(19)	45	(801)	10	
<b>RECEIPTS:</b>														
Miscellaneous Receipts	12	35	9	11	17	9	13	13	12	11	14	10	166	
Federal Grants	3,978	2,927	3,758	4,017	3,243	3,621	3,077	3,528	4,090	2,451	2,797	4,869	42,356	
<b>TOTAL RECEIPTS</b>	3,990	2,962	3,767	4,028	3,260	3,630	3,090	3,541	4,102	2,462	2,811	4,879	42,522	
<b>DISBURSEMENTS:</b>														
School Aid	336	416	449	241	178	229	122	141	337	231	293	566	3,559	
Higher Education	1	1	1	1	0	4	0	0	0	0	0	3	11	
All Other Education	214	188	104	43	52	32	22	149	149	54	62	98	1,167	
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0	
Medicaid - DOH	2,399	1,802	2,774	2,475	1,800	2,154	1,732	2,558	1,645	1,440	2,115	2,027	24,921	
Public Health	51	123	92	94	106	97	66	89	167	72	125	196	1,278	
Mental Hygiene	20	11	18	10	14	12	14	21	10	14	8	14	166	
Children and Families	10	8	6	167	279	36	117	180	169	14	4	176	1,166	
Temporary & Disability Assistance	65	42	62	273	614	71	465	679	327	240	237	421	3,496	
Transportation	3	3	1	1	3	4	3	4	2	2	2	3	31	
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0	
All Other	10	79	57	47	90	101	34	24	169	54	76	112	853	
<b>Total Local Assistance Grants</b>	3,109	2,673	3,564	3,352	3,142	2,740	2,575	3,845	2,975	2,115	2,922	3,636	36,648	
Personal Service	53	57	47	48	70	48	48	45	48	42	76	51	633	
Non-Personal Service	58	50	62	51	72	124	100	70	74	91	113	79	944	
<b>Total State Operations</b>	111	107	109	99	142	172	148	115	122	133	189	130	1,577	
General State Charges	3	25	40	3	22	29	13	60	3	5	39	20	262	
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	
<b>TOTAL DISBURSEMENTS</b>	3,223	2,805	3,713	3,454	3,306	2,941	2,736	4,020	3,100	2,253	3,150	3,786	38,487	
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	0	
Transfers to other funds	(467)	(344)	(308)	(314)	(391)	(297)	(286)	(390)	(304)	(145)	(507)	(330)	(4,083)	
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(467)	(344)	(308)	(314)	(391)	(297)	(286)	(390)	(304)	(145)	(507)	(330)	(4,083)	
Excess/(Deficiency) of Receipts over Disbursements	300	(187)	(254)	260	(437)	392	68	(869)	698	64	(846)	763	(48)	
<b>CLOSING BALANCE</b>	310	123	(131)	129	(308)	84	152	(717)	(19)	45	(801)	(38)	(38)	

\*Unaudited Results

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2012**  
(dollars in millions)

	2011 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2012 January Results	February Results	March Results*	Total
<b>OPENING BALANCE</b>	454	1,056	1,192	473	1,004	1,130	528	1,385	1,699	747	1,801	2,044	454
<b>RECEIPTS:</b>													
Taxes	1,638	609	1,305	803	904	1,273	771	774	1,244	1,873	920	848	12,962
Miscellaneous Receipts	67	41	66	50	75	124	87	54	87	88	132	84	955
Federal Grants	3	0	0	2	36	2	0	0	0	2	36	(1)	80
<b>TOTAL RECEIPTS</b>	<u>1,708</u>	<u>650</u>	<u>1,371</u>	<u>855</u>	<u>1,015</u>	<u>1,399</u>	<u>858</u>	<u>828</u>	<u>1,331</u>	<u>1,963</u>	<u>1,088</u>	<u>931</u>	<u>13,997</u>
<b>DISBURSEMENTS:</b>													
State Operations	1	1	6	5	4	4	1	1	13	2	3	4	45
Debt Service	157	293	566	102	286	878	104	186	1,119	94	490	1,569	5,864
<b>TOTAL DISBURSEMENTS</b>	<u>158</u>	<u>294</u>	<u>572</u>	<u>107</u>	<u>290</u>	<u>882</u>	<u>105</u>	<u>187</u>	<u>1,132</u>	<u>96</u>	<u>493</u>	<u>1,593</u>	<u>5,909</u>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	1,009	403	325	758	494	343	854	370	380	698	448	408	6,490
Transfers to other funds	(1,957)	(623)	(1,843)	(975)	(1,093)	(1,462)	(750)	(697)	(1,531)	(1,511)	(800)	(1,362)	(14,604)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<u>(948)</u>	<u>(220)</u>	<u>(1,518)</u>	<u>(217)</u>	<u>(599)</u>	<u>(1,119)</u>	<u>104</u>	<u>(327)</u>	<u>(1,151)</u>	<u>(813)</u>	<u>(352)</u>	<u>(954)</u>	<u>(8,114)</u>
Excess/(Deficiency) of Receipts over Disbursements	602	136	(719)	531	126	(602)	857	314	(952)	1,054	243	(1,616)	(26)
<b>CLOSING BALANCE</b>	<u>1,056</u>	<u>1,192</u>	<u>473</u>	<u>1,004</u>	<u>1,130</u>	<u>528</u>	<u>1,385</u>	<u>1,699</u>	<u>747</u>	<u>1,801</u>	<u>2,044</u>	<u>428</u>	<u>428</u>

\*Unaudited Results

**CASHFLOW**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2012**  
(dollars in millions)

	2011 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2012 January Results	February Results	March Results*	Total
<b>OPENING BALANCE</b>	3,812	8,749	6,035	5,429	5,988	5,527	6,954	6,276	5,290	4,012	9,866	9,622	3,812
<b>RECEIPTS:</b>													
Taxes	7,186	2,940	7,090	3,690	4,030	6,768	3,632	3,722	6,830	8,286	4,174	5,949	64,297
Miscellaneous Receipts	1,601	1,593	1,795	1,632	1,764	2,502	1,864	1,952	1,892	2,032	2,059	3,151	23,837
Federal Grants	4,110	3,072	3,987	4,136	3,512	3,798	3,226	3,695	4,301	2,747	2,965	5,062	44,611
<b>TOTAL RECEIPTS</b>	<b>12,897</b>	<b>7,605</b>	<b>12,872</b>	<b>9,458</b>	<b>9,306</b>	<b>13,068</b>	<b>8,722</b>	<b>9,369</b>	<b>13,023</b>	<b>13,065</b>	<b>9,198</b>	<b>14,162</b>	<b>132,745</b>
<b>DISBURSEMENTS:</b>													
School Aid	569	2,995	2,662	386	722	3,385	822	1,155	1,912	593	824	7,196	23,221
Higher Education	33	20	526	130	341	55	449	31	191	61	321	461	2,619
All Other Education	238	209	327	310	95	65	253	213	312	95	112	636	2,865
STAR	0	0	392	0	0	178	6	36	2,594	0	0	27	3,233
Medicaid - DOH	3,755	3,167	4,044	4,092	2,979	2,845	3,372	4,354	2,526	2,665	3,114	3,305	40,218
Public Health	88	259	327	198	368	249	229	208	438	192	253	462	3,271
Mental Hygiene	90	78	589	154	95	741	188	97	772	108	442	566	3,920
Children and Families	19	123	236	234	350	80	372	258	231	179	93	595	2,770
Temporary & Disability Assistance	392	105	128	440	806	137	559	754	404	314	262	609	4,910
Transportation	164	448	354	263	478	218	405	504	688	177	311	251	4,261
Unrestricted Aid	1	12	294	0	3	91	9	0	204	0	1	139	754
All Other	183	409	457	354	464	315	217	156	577	425	352	530	4,439
<b>Total Local Assistance Grants</b>	<b>5,532</b>	<b>7,825</b>	<b>10,336</b>	<b>6,561</b>	<b>6,701</b>	<b>8,359</b>	<b>6,881</b>	<b>7,766</b>	<b>10,849</b>	<b>4,809</b>	<b>6,085</b>	<b>14,777</b>	<b>96,481</b>
Personal Service	1,047	979	1,135	965	1,288	995	966	965	1,148	937	1,266	989	12,680
Non-Personal Service	450	442	527	393	504	520	531	481	572	508	608	812	6,348
<b>Total State Operations</b>	<b>1,497</b>	<b>1,421</b>	<b>1,662</b>	<b>1,358</b>	<b>1,792</b>	<b>1,515</b>	<b>1,497</b>	<b>1,446</b>	<b>1,720</b>	<b>1,445</b>	<b>1,874</b>	<b>1,801</b>	<b>19,028</b>
General State Charges	452	425	441	464	483	470	414	480	423	466	653	1,684	6,855
Debt service	157	293	566	102	286	878	104	186	1,119	94	490	1,589	5,864
Capital Projects	317	350	475	403	500	423	499	472	541	370	359	567	5,276
<b>TOTAL DISBURSEMENTS</b>	<b>7,955</b>	<b>10,314</b>	<b>13,480</b>	<b>8,888</b>	<b>9,762</b>	<b>11,645</b>	<b>9,395</b>	<b>10,350</b>	<b>14,652</b>	<b>7,184</b>	<b>9,461</b>	<b>20,418</b>	<b>133,504</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	3,470	1,336	2,504	1,992	1,930	2,111	1,946	1,526	2,279	2,261	1,971	3,215	26,541
Transfers to other funds	(3,475)	(1,341)	(2,502)	(2,003)	(1,935)	(2,107)	(1,951)	(1,531)	(2,280)	(2,288)	(1,952)	(3,221)	(26,586)
Bond and note proceeds	0	0	0	0	0	0	0	0	352	0	0	0	352
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(5)</b>	<b>(5)</b>	<b>2</b>	<b>(11)</b>	<b>(5)</b>	<b>4</b>	<b>(5)</b>	<b>(5)</b>	<b>351</b>	<b>(27)</b>	<b>19</b>	<b>(6)</b>	<b>307</b>
Excess/(Deficiency) of Receipts over Disbursements	4,937	(2,714)	(606)	559	(461)	1,427	(678)	(986)	(1,278)	5,854	(244)	(6,262)	(452)
<b>CLOSING BALANCE</b>	<b>8,749</b>	<b>6,035</b>	<b>5,429</b>	<b>5,988</b>	<b>5,527</b>	<b>6,954</b>	<b>6,276</b>	<b>5,290</b>	<b>4,012</b>	<b>9,866</b>	<b>9,622</b>	<b>3,360</b>	<b>3,360</b>

\*Unaudited Results

**CASHFLOW  
STATE FUNDS  
FY 2012  
(dollars in millions)**

	2011 April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	2012 January Results	February Results	March Results*	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	3,907	8,505	5,944	5,560	5,864	5,779	6,800	6,049	6,115	4,137	9,933	10,543	0	3,907
<b>RECEIPTS:</b>														
Taxes	7,186	2,940	7,090	3,690	4,030	6,768	3,632	3,722	6,830	8,286	4,174	5,949	0	64,297
Miscellaneous Receipts	1,589	1,568	1,786	1,621	1,747	2,493	1,851	1,939	1,880	2,020	2,044	3,141	0	23,669
Federal Grants	5	13	0	2	36	22	0	0	15	2	36	14	0	145
<b>TOTAL RECEIPTS</b>	8,780	4,511	8,876	5,313	5,813	9,283	5,483	5,661	8,725	10,308	6,254	9,104	0	88,111
<b>DISBURSEMENTS:</b>														
School Aid	233	2,579	2,213	145	544	3,156	700	1,014	1,575	362	531	6,610	0	19,662
Higher Education	32	19	525	129	341	51	449	31	191	61	321	458	0	2,608
All Other Education	24	21	223	267	43	33	231	64	163	41	50	538	0	1,698
STAR	0	0	392	0	0	178	6	6	2,594	0	0	27	0	3,233
Medicaid - DOH	1,356	1,365	1,270	1,617	1,179	691	1,640	1,796	881	1,225	999	1,278	0	15,297
Public Health	37	136	235	104	262	152	271	119	271	120	128	266	0	1,993
Mental Hygiene	70	67	571	144	75	729	174	76	762	100	434	552	0	3,754
Children and Families	9	115	230	67	71	44	255	78	62	165	89	419	0	1,604
Temporary & Disability Assistance	327	63	66	167	192	66	94	75	77	74	25	188	0	1,414
Transportation	161	445	353	262	475	214	402	500	686	175	309	248	0	4,230
Unrestricted Aid	1	12	294	0	3	91	9	0	204	0	1	139	0	754
All Other	147	306	300	282	315	165	149	94	323	144	208	335	0	2,768
<b>Total Local Assistance Grants</b>	2,397	5,128	6,672	3,184	3,500	5,570	4,272	3,883	7,789	2,467	3,095	11,058	0	59,015
Personal Service	994	922	1,088	917	1,218	947	918	920	1,100	895	1,190	938	0	12,047
Non-Personal Service	392	392	465	342	432	396	431	411	498	417	495	733	0	5,404
<b>Total State Operations</b>	1,386	1,314	1,553	1,259	1,650	1,343	1,349	1,331	1,598	1,312	1,685	1,671	0	17,461
General State Charges	449	400	401	461	461	441	401	420	420	461	614	1,664	0	6,593
Debt service	157	293	566	102	286	878	104	186	1,119	94	490	1,589	0	5,864
Capital Projects	254	276	379	307	388	331	389	375	433	295	291	552	0	4,270
<b>TOTAL DISBURSEMENTS</b>	4,643	7,411	9,571	5,313	6,285	8,563	6,515	6,195	11,359	4,629	6,175	16,534	0	93,193
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	3,542	1,391	2,536	2,022	1,961	2,185	2,025	1,851	2,315	2,321	2,039	3,489	(800)	26,877
Transfers to other funds	(3,081)	(1,052)	(2,225)	(1,718)	(1,574)	(1,884)	(1,744)	(1,251)	(2,011)	(2,204)	(1,508)	(3,043)	800	(22,495)
Bond and note proceeds	0	0	0	0	0	0	0	0	352	0	0	0	0	352
<b>NET OTHER FINANCING SOURCES/(USES)</b>	461	339	311	304	387	301	281	600	656	117	531	446	0	4,734
Excess/(Deficiency) of Receipts over Disbursements	4,598	(2,561)	(384)	304	(85)	1,021	(751)	66	(1,978)	5,796	610	(6,984)	0	(348)
<b>CLOSING BALANCE</b>	8,505	5,944	5,560	5,864	5,779	6,800	6,049	6,115	4,137	9,933	10,543	3,559	0	3,559

\*Unaudited Results

**CASHFLOW  
GENERAL FUND  
FY 2013**  
(dollars in millions)

	2012		2013										
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>OPENING BALANCE</b>	1,787	4,332	1,293	2,121	2,206	1,242	4,271	3,440	2,555	2,051	5,961	6,108	1,787
<b>RECEIPTS:</b>													
Personal Income Tax	3,514	1,287	2,680	1,782	1,913	2,873	1,811	1,473	371	5,253	2,173	1,786	26,916
User Taxes and Fees	711	684	904	727	706	913	710	701	926	754	639	886	9,271
Business Taxes	1,111	46	1,056	64	91	1,154	109	268	1,193	106	137	1,703	6,038
Other Taxes	95	95	96	95	96	96	95	95	95	95	95	96	1,144
Total Taxes	4,431	2,122	4,736	2,668	2,806	5,036	2,725	2,537	2,585	6,208	3,044	4,471	43,369
Licenses, Fees, etc.	46	64	56	50	60	72	48	59	72	50	43	58	678
Abandoned Property	1	1	40	32	18	77	23	300	12	5	24	252	785
ABC License Fee	4	5	4	4	5	4	5	4	4	4	4	4	51
Motor vehicle fees	0	0	0	0	0	0	0	17	17	17	17	31	99
Reimbursements	4	7	55	2	18	36	10	26	33	8	29	34	262
Investment Income	2	1	1	1	1	1	1	1	0	0	1	0	10
Other Transactions	21	129	131	31	21	334	65	31	114	20	46	401	1,344
Total Miscellaneous Receipts	78	207	287	120	123	524	152	438	252	104	164	780	3,229
Federal Grants	0	13	0	0	0	15	0	0	15	0	0	17	60
PIT in Excess of Revenue Bond Debt Service	1,171	287	1,028	512	223	1,136	412	174	1,020	933	404	972	8,272
Sales Tax in Excess of LGAC Debt Service	214	42	449	220	153	279	217	214	286	230	3	149	2,456
Real Estate Taxes in Excess of CW/CA Debt Service	65	46	32	44	44	42	41	22	31	31	32	14	444
All Other	26	1	54	1	2	31	43	2	38	3	68	801	1,070
Total Transfers from Other Funds	1,476	376	1,563	777	422	1,488	713	412	1,375	1,197	507	1,936	12,242
<b>TOTAL RECEIPTS</b>	5,985	2,718	6,586	3,565	3,351	7,063	3,590	3,387	4,227	7,509	3,715	7,204	58,900
<b>DISBURSEMENTS:</b>													
School Aid	157	2,500	1,887	115	510	1,300	510	950	1,500	410	590	6,557	16,986
Higher Education	22	8	566	115	298	51	461	22	216	33	305	489	2,586
All Other Education	14	316	69	144	391	89	60	284	176	73	186	154	1,956
Medicaid - DOH	842	1,188	652	1,043	1,297	576	1,185	1,158	588	907	788	400	10,604
Public Health	22	39	91	95	50	71	65	23	49	51	21	87	664
Mental Hygiene	0	1	377	1	1	394	166	1	382	115	124	385	1,947
Children and Families	75	75	199	75	75	223	75	75	221	112	78	259	1,542
Temporary & Disability Assistance	356	100	118	100	100	118	100	100	118	100	30	144	1,484
Transportation	0	24	0	0	24	0	0	24	15	0	10	1	98
Unrestricted Aid	2	14	299	2	2	97	11	2	207	2	2	137	777
All Other	35	38	170	49	37	86	(30)	44	41	172	167	192	1,001
Total Local Assistance Grants	1,525	4,283	4,428	1,739	2,785	3,005	2,603	2,683	3,513	1,975	2,301	8,805	39,645
Personal Service	603	622	569	453	573	442	403	452	415	518	422	420	5,892
Non-Personal Service	189	188	144	159	131	138	134	131	146	134	177	213	1,844
Total State Operators	772	790	713	612	704	580	537	583	561	652	599	633	7,736
General State Charges	324	360	181	193	415	286	304	353	207	302	230	1,248	4,403
Debt Service	507	0	(17)	445	(4)	(110)	547	0	(2)	379	(18)	(147)	1,580
Capital Projects	(22)	19	87	39	86	(65)	64	84	172	98	38	455	1,055
State Share Medicaid	174	269	247	234	252	281	191	283	246	164	379	258	2,978
SUNY Operations	0	0	0	180	0	0	0	160	0	0	0	0	340
Other Purposes	160	36	119	38	77	57	175	126	34	29	39	241	1,131
Total Transfers to Other Funds	819	324	436	936	411	163	977	653	450	670	438	807	7,084
<b>TOTAL DISBURSEMENTS</b>	3,440	5,757	5,758	3,480	4,315	4,034	4,421	4,272	4,731	3,599	3,568	11,493	58,868
Excess/(Deficiency) of Receipts over Disbursements	2,545	(3,039)	828	85	(964)	3,029	(831)	(885)	(504)	3,910	147	(4,289)	32
<b>CLOSING BALANCE</b>	4,332	1,293	2,121	2,206	1,242	4,271	3,440	2,555	2,051	5,961	6,108	1,819	1,787

**CASHFLOW  
STATE OPERATING FUNDS  
FY 2013  
(dollars in millions)**

	2012 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	3,847	7,399	4,918	5,039	6,085	5,674	6,342	6,499	6,070	4,165	9,627	10,346		3,847
<b>RECEIPTS:</b>														
Taxes	6,273	3,132	6,978	3,867	4,057	7,075	3,951	3,685	7,050	8,613	4,315	5,973	0	64,969
Miscellaneous Receipts	1,350	1,505	1,522	1,424	1,535	2,238	1,642	1,726	1,575	1,621	1,696	2,098	0	19,932
Federal Grants	0	13	0	0	2	53	0	0	15	0	3	54	0	140
<b>TOTAL RECEIPTS</b>	7,623	4,650	8,500	5,291	5,594	9,366	5,593	5,411	8,640	10,234	6,014	8,125	0	85,041
<b>DISBURSEMENTS:</b>														
School Aid	157	2,500	2,220	115	510	3,268	635	1,075	1,626	536	716	6,681	0	20,039
Higher Education	22	8	566	115	298	51	461	22	216	33	305	521	0	2,618
All Other Education	15	316	70	145	391	61	178	284	178	74	186	151	0	1,961
STAR	0	0	400	0	0	188	0	46	2,623	0	0	9	0	3,276
Medicaid - DOH	1,173	1,618	981	1,442	1,717	980	1,601	1,574	999	1,442	1,332	1,002	0	15,861
Public Health	78	115	321	166	140	197	122	102	207	132	109	236	0	1,925
Mental Hygiene	86	52	528	174	76	560	343	70	627	256	207	659	0	3,638
Children and Families	75	75	200	75	75	223	76	75	221	112	78	258	0	1,543
Temporary & Disability Assistance	356	100	118	100	100	119	100	100	118	100	30	147	0	1,488
Transportation	188	520	326	313	559	324	317	575	633	169	291	163	0	4,378
Unrestricted Aid	2	14	299	2	2	97	11	2	207	2	2	137	0	777
All Other	69	78	203	108	87	147	10	77	80	105	107	198	0	1,269
<b>Total Local Assistance Grants</b>	2,221	5,396	6,232	2,755	3,955	6,244	3,747	4,002	7,735	2,961	3,363	10,162	0	58,773
Personal Service	994	1,084	958	902	1,218	972	963	1,052	973	1,125	979	945	0	12,165
Non-Personal Service	364	397	490	400	430	462	442	447	520	435	551	552	0	5,490
<b>Total State Operations</b>	1,358	1,481	1,448	1,302	1,648	1,434	1,405	1,499	1,493	1,560	1,530	1,497	0	17,655
General State Charges	508	409	400	422	476	491	504	414	463	480	316	1,539	0	6,422
Debt service	175	242	603	94	326	987	103	213	1,085	94	456	1,686	0	6,064
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	5	0	5
<b>TOTAL DISBURSEMENTS</b>	4,262	7,528	8,683	4,573	6,405	9,156	5,759	6,128	10,776	5,095	5,665	14,889	0	88,919
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	2,615	1,386	2,645	2,333	1,633	2,386	2,242	1,618	2,451	2,527	1,611	3,472	(619)	26,300
Transfers to other funds	(2,424)	(989)	(2,341)	(2,005)	(1,233)	(1,928)	(1,919)	(1,330)	(2,220)	(2,204)	(1,241)	(2,958)	619	(22,173)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	191	397	304	328	400	458	323	288	231	323	370	514	0	4,127
Excess/(Deficiency) of Receipts over Disbursements	3,552	(2,481)	121	1,046	(411)	668	157	(429)	(1,905)	5,462	719	(6,250)	0	249
<b>CLOSING BALANCE</b>	7,399	4,918	5,039	6,085	5,674	6,342	6,499	6,070	4,165	9,627	10,346	4,096	0	4,096

**CASHFLOW**  
**CAPITAL PROJECTS FUNDS**  
**FY 2013**  
**(dollars in millions)**

	2012	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013	2013		
	April	May	June	July	August	September	October	November	December	January	February	March	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Total	
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Total
<b>OPENING BALANCE</b>	(449)	(520)	(439)	(516)	(447)	(540)	(614)	(695)	(665)	(727)	(713)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(449)
<b>RECEIPTS:</b>																						
Taxes	93	91	128	121	115	141	112	107	147	111	104	131	131	131	131	131	131	131	131	131	131	1,401
Miscellaneous Receipts	279	376	204	359	234	427	192	317	220	294	218	1,031	220	218	220	218	220	218	220	218	218	4,151
Federal Grants	139	149	165	180	199	213	211	199	180	165	149	242	149	149	149	149	149	149	149	149	149	2,191
<b>TOTAL RECEIPTS</b>	511	616	497	660	548	781	515	623	547	570	471	1,404	471	471	471	471	471	471	471	471	471	7,743
<b>DISBURSEMENTS:</b>																						
Local Assistance Grants	113	135	128	149	165	146	154	159	319	148	148	340	148	148	148	148	148	148	148	148	148	2,104
Total Local Assistance Grants	113	135	128	149	165	146	154	159	319	148	148	340	148	148	148	148	148	148	148	148	148	2,104
Economic Development	12	10	17	20	9	16	19	7	14	21	9	25	25	25	25	25	25	25	25	25	25	179
Parks & the Environment	23	23	25	23	25	23	25	23	23	23	25	231	23	23	23	23	23	23	23	23	23	492
Transportation	211	215	282	253	314	332	286	285	299	265	215	404	215	215	215	215	215	215	215	215	215	3,361
Health & Social Welfare	3	3	3	3	3	3	3	3	3	3	3	1	3	3	3	3	3	3	3	3	3	34
Mental Hygiene	6	8	8	9	9	11	6	7	12	14	12	31	12	12	12	12	12	12	12	12	12	34
Public Protection	26	24	29	27	28	28	26	26	27	23	33	37	33	33	33	33	33	33	33	33	33	334
Education	99	69	145	78	106	73	72	97	66	90	74	207	74	74	74	74	74	74	74	74	74	1,176
All Other	11	11	12	12	12	12	13	14	12	11	11	27	11	11	11	11	11	11	11	11	11	158
Total Capital Projects	391	363	521	425	506	498	450	462	456	450	382	963	382	382	382	382	382	382	382	382	382	5,867
<b>TOTAL DISBURSEMENTS</b>	504	498	649	574	671	644	604	621	775	598	530	1,303	530	530	530	530	530	530	530	530	530	7,971
<b>OTHER FINANCING SOURCES (uses):</b>																						
Transfers from other funds	(18)	23	141	43	90	(12)	68	88	226	102	42	535	42	42	42	42	42	42	42	42	42	1,328
Transfers to other funds	(93)	(93)	(99)	(93)	(93)	(232)	(93)	(93)	(93)	(93)	(34)	(370)	(34)	(34)	(34)	(34)	(34)	(34)	(34)	(34)	(34)	(1,479)
Bond and note proceeds	33	33	33	33	33	33	33	33	33	33	33	37	33	33	33	33	33	33	33	33	33	400
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(78)	(37)	75	(17)	30	(211)	8	28	166	42	41	202	41	41	41	41	41	41	41	41	41	249
Excess/(Deficiency) of Receipts over Disbursements	(71)	81	(77)	69	(93)	(74)	(81)	30	(62)	14	(18)	303	(18)	(18)	(18)	(18)	(18)	(18)	(18)	(18)	(18)	21
<b>CLOSING BALANCE</b>	(520)	(439)	(516)	(447)	(540)	(614)	(695)	(665)	(727)	(713)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(731)	(428)



**CASHFLOW  
CAPITAL PROJECTS STATE FUNDS  
FY 2013  
(dollars in millions)**

	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2012</b>	<b>2013</b>	<b>2013</b>	<b>2013</b>	<b>2013</b>	<b>2013</b>	<b>2013</b>	<b>2013</b>	<b>2013</b>	<b>2013</b>	<b>Total</b>		
	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Projected</b>	<b>Total</b>	
<b>OPENING BALANCE</b>	(288)	(382)	(326)	(354)	(313)	(423)	(439)	(546)	(531)	(543)	(555)	(599)	(288)									(288)	
<b>RECEIPTS:</b>																							
Taxes	93	91	128	121	115	141	112	107	147	111	104	131	1,401										1,401
Miscellaneous Receipts	279	376	204	359	234	427	192	317	220	294	218	1,031	4,151										4,151
Federal Grants	0	0	0	0	0	3	0	0	0	0	0	2	5										5
<b>TOTAL RECEIPTS</b>	<b>372</b>	<b>467</b>	<b>332</b>	<b>480</b>	<b>349</b>	<b>571</b>	<b>304</b>	<b>424</b>	<b>367</b>	<b>405</b>	<b>322</b>	<b>1,164</b>	<b>5,557</b>										<b>5,557</b>
<b>DISBURSEMENTS:</b>																							
Local Assistance Grants	63	81	68	89	95	75	82	88	258	87	94	204	1,284										1,284
Total Local Assistance Grants	63	81	68	89	95	75	82	88	258	87	94	204	1,284										1,284
Economic Development	12	9	17	20	9	15	19	7	14	20	9	25	176										176
Parks & the Environment	21	22	24	22	24	22	24	22	22	21	24	229	477										477
Transportation	149	150	209	165	206	225	178	177	211	192	150	378	2,390										2,390
Health & Social Welfare	3	3	3	3	3	3	3	3	3	3	3	1	34										34
Mental Hygiene	6	8	8	9	9	11	6	7	12	14	12	31	133										133
Public Protection	24	22	27	26	26	26	24	25	26	22	31	38	317										317
Education	99	69	145	78	106	73	72	97	66	90	74	207	1,176										1,176
All Other	11	10	11	10	11	9	11	11	10	10	10	19	133										133
Total Capital Projects	325	293	444	333	394	384	337	349	364	372	313	928	4,836										4,836
<b>TOTAL DISBURSEMENTS</b>	<b>388</b>	<b>374</b>	<b>512</b>	<b>422</b>	<b>489</b>	<b>459</b>	<b>419</b>	<b>437</b>	<b>622</b>	<b>459</b>	<b>407</b>	<b>1,132</b>	<b>6,120</b>										<b>6,120</b>
<b>OTHER FINANCING SOURCES (uses):</b>																							
Transfers from other funds	(18)	23	218	43	90	66	68	88	303	102	42	611	1,636										1,636
Transfers to other funds	(93)	(93)	(99)	(93)	(93)	(227)	(93)	(93)	(93)	(93)	(34)	(364)	(1,468)										(1,468)
Bond and note proceeds	33	33	33	33	33	33	33	33	33	33	33	37	400										400
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(78)</b>	<b>(37)</b>	<b>152</b>	<b>(17)</b>	<b>30</b>	<b>(128)</b>	<b>8</b>	<b>28</b>	<b>243</b>	<b>42</b>	<b>41</b>	<b>284</b>	<b>568</b>										<b>568</b>
Excess/(Deficiency) of Receipts over Disbursements	(94)	56	(28)	41	(110)	(16)	(107)	15	(12)	(12)	(44)	316	5										5
<b>CLOSING BALANCE</b>	<b>(382)</b>	<b>(326)</b>	<b>(354)</b>	<b>(313)</b>	<b>(423)</b>	<b>(439)</b>	<b>(546)</b>	<b>(531)</b>	<b>(543)</b>	<b>(555)</b>	<b>(599)</b>	<b>(283)</b>	<b>(283)</b>										<b>(283)</b>

**CASHFLOW**  
**CAPITAL PROJECTS FEDERAL FUNDS**  
**FY 2013**  
(dollars in millions)

	2012		2013		Total								
	April	May	June	July		August	September	October	November	December	January	February	March
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	
<b>OPENING BALANCE</b>	(161)	(138)	(113)	(162)	(134)	(117)	(175)	(149)	(134)	(184)	(158)	(132)	(161)
<b>RECEIPTS:</b>													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	0	0	0	0	0	0	0
Federal Grants	139	149	165	180	199	210	211	199	180	165	149	240	2,186
<b>TOTAL RECEIPTS</b>	139	149	165	180	199	210	211	199	180	165	149	240	2,186
<b>DISBURSEMENTS:</b>													
Local Assistance Grants	50	54	60	60	70	71	72	71	61	61	54	136	820
Total Local Assistance Grants	50	54	60	60	70	71	72	71	61	61	54	136	820
Economic Development	0	1	0	0	0	1	0	0	0	1	0	0	3
Parks & the Environment	2	1	1	1	1	1	1	1	1	2	1	2	15
Transportation	62	65	73	88	108	107	108	108	88	73	65	26	971
Health & Social Welfare	0	0	0	0	0	0	0	0	0	0	0	0	0
Mental Hygiene	0	0	0	0	0	0	0	0	0	0	0	0	0
Public Protection	2	2	2	1	2	2	2	1	1	1	2	(1)	17
Education	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	0	1	1	2	1	3	2	3	2	1	1	8	25
Total Capital Projects	66	70	77	92	112	114	113	113	92	78	69	35	1,031
<b>TOTAL DISBURSEMENTS</b>	116	124	137	152	182	185	185	184	153	139	123	171	1,851
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	0	0	(77)	0	0	(78)	0	0	(77)	0	0	(76)	(308)
Transfers to other funds	0	0	0	0	0	(5)	0	0	0	0	0	(6)	(11)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>NET OTHER FINANCING SOURCES/(USES)</b>	0	0	(77)	0	0	(83)	0	0	(77)	0	0	(82)	(319)
Excess/(Deficiency) of Receipts over Disbursements	23	25	(49)	28	17	(58)	26	15	(50)	26	26	(13)	16
<b>CLOSING BALANCE</b>	(138)	(113)	(162)	(134)	(117)	(175)	(149)	(134)	(184)	(158)	(132)	(145)	(145)

**CASHFLOW  
SPECIAL REVENUE FUNDS  
FY 2013  
(dollars in millions)**

	2012 April Projected	2012 May Projected	2012 June Projected	2012 July Projected	2012 August Projected	2012 September Projected	2012 October Projected	2012 November Projected	2012 December Projected	2013 January Projected	2013 February Projected	2013 March Projected	2013 Total
<b>OPENING BALANCE</b>	1,594	2,161	2,453	2,383	2,361	3,019	1,543	1,366	1,932	1,480	1,783	2,225	1,594
<b>RECEIPTS:</b>													
Personal Income Tax	0	0	400	0	0	188	10	46	2,623	0	0	9	3,276
User Taxes and Fees	210	163	197	195	177	201	188	168	191	191	135	159	2,175
Business Taxes	62	46	229	57	58	239	59	91	240	59	62	329	1,531
Other Taxes	107	106	69	81	111	60	96	92	87	135	112	104	1,160
Total Taxes	379	315	895	333	346	688	353	397	3,141	385	309	601	8,142
HORA	374	387	383	394	383	326	418	376	385	390	376	583	4,775
State University Income	199	188	195	234	342	639	358	282	267	409	558	349	4,020
Lottery	272	294	254	240	294	252	291	243	252	291	241	248	3,172
Medicaid	65	69	65	65	69	65	69	65	65	69	65	63	794
Motor vehicle fees	46	53	43	39	39	60	38	26	37	27	29	32	482
Other receipts	256	228	219	263	216	301	258	235	249	279	201	(55)	2,650
Total Miscellaneous Receipts	1,212	1,219	1,168	1,239	1,343	1,643	1,432	1,227	1,255	1,465	1,470	1,220	15,893
Federal Grants	2,756	3,429	3,399	2,948	3,630	3,322	2,882	3,381	3,163	3,491	3,372	4,530	40,303
<b>TOTAL RECEIPTS</b>	4,347	4,963	5,462	4,520	5,319	5,653	4,667	5,005	7,559	5,341	5,151	6,351	64,338
<b>DISBURSEMENTS:</b>													
School Aid	294	296	665	241	145	2,084	229	217	348	399	579	562	6,059
Higher Education	1	0	1	1	1	1	0	0	1	1	1	32	40
All Other Education	74	63	65	50	52	23	60	50	137	137	135	135	894
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	3,276
Medicaid - DOH	2,100	2,604	2,345	2,554	2,223	2,627	2,683	2,256	2,223	2,680	2,292	2,893	29,490
Public Health	131	173	333	176	191	243	138	177	264	182	186	268	2,462
Mental Hygiene	100	59	170	179	95	180	190	91	267	157	95	286	1,869
Children and Families	4	37	51	64	245	62	65	111	132	14	9	209	1,003
Temporary & Disability Assistance	292	292	291	291	291	292	291	291	291	291	291	321	3,525
Transportation	191	499	329	316	538	327	320	554	621	172	286	167	4,320
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0
All Other	89	81	37	118	95	120	4	98	103	(17)	(12)	127	843
Total Local Assistance Grants	3,276	4,104	4,687	3,990	3,876	6,147	3,990	3,891	6,923	4,026	3,862	5,009	53,781
Personal Service	438	525	454	495	711	578	606	647	606	671	606	581	6,918
Non-Personal Service	255	282	399	296	358	437	404	380	397	368	504	448	4,528
Total State Operations	693	807	853	791	1,069	1,015	1,010	1,027	1,003	1,039	1,110	1,029	11,446
General State Charges	189	51	273	233	64	250	213	77	300	183	108	354	2,295
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	5	5
<b>TOTAL DISBURSEMENTS</b>	4,158	4,962	5,813	5,014	5,009	7,412	5,213	4,995	8,226	5,248	5,080	6,397	67,527
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	451	585	646	728	717	547	585	819	616	520	746	777	7,737
Transfers to other funds	(73)	(294)	(365)	(256)	(369)	(264)	(216)	(263)	(401)	(310)	(375)	(1,278)	(4,464)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	378	291	281	472	348	283	369	556	215	210	371	(501)	3,273
Excess/(Deficiency) of Receipts over Disbursements	567	292	(70)	(22)	658	(1,476)	(177)	566	(452)	303	442	(547)	84
<b>CLOSING BALANCE</b>	2,161	2,453	2,383	2,361	3,019	1,543	1,366	1,932	1,480	1,783	2,225	1,678	1,678

**CASHFLOW  
SPECIAL REVENUE STATE FUNDS  
FY 2013**  
(dollars in millions)

	2012 April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	2013 January Projected	February Projected	March Projected	Intra-Fund Transfer Eliminations	Total
<b>OPENING BALANCE</b>	1,632	2,201	2,493	2,421	2,799	3,058	1,581	1,805	1,972	1,521	1,825	2,268		1,632
<b>RECEIPTS:</b>														
Personal Income Tax	0	0	400	0	0	188	10	46	2,623	0	0	9	0	3,276
User Taxes and Fees	210	163	197	195	177	201	188	168	191	191	135	159	0	2,175
Business Taxes	62	46	229	57	58	239	91	59	240	59	62	329	0	1,531
Other Taxes	107	106	69	111	111	136	96	87	92	136	112	104	0	1,160
Total Taxes	379	315	895	353	346	688	353	387	3,141	385	309	601	0	8,142
HCRA	374	387	383	384	383	326	418	376	385	390	376	563	0	4,775
State University Income	199	188	195	234	342	639	358	282	267	409	568	349	0	4,020
Lottery	272	294	254	240	294	252	291	243	252	291	241	248	0	3,172
Medicaid	65	69	65	65	69	65	69	65	65	69	65	63	0	794
Motor vehicle fees	46	53	52	43	39	60	38	26	37	27	29	32	0	482
ABC License Fee	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Other receipts	235	215	206	242	203	288	237	222	236	257	187	(64)	0	2,464
Total Miscellaneous Receipts	1,191	1,206	1,155	1,218	1,330	1,630	1,411	1,214	1,242	1,443	1,456	1,211	0	15,707
Federal Grants	0	0	0	0	0	0	0	0	0	0	1	0	0	1
<b>TOTAL RECEIPTS</b>	1,570	1,521	2,050	1,551	1,676	2,318	1,764	1,611	4,383	1,828	1,766	1,812	0	23,850
<b>DISBURSEMENTS:</b>														
School Aid	0	0	333	0	0	1,968	125	125	126	126	126	124	0	3,053
Higher Education	0	0	0	0	0	0	0	0	0	0	0	32	0	32
All Other Education	1	0	1	1	0	1	1	0	2	1	0	(3)	0	5
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	0	3,276
Medicaid - DOH	331	450	329	399	420	404	416	416	411	535	544	602	0	5,257
Public Health	56	76	230	71	90	126	57	79	158	81	88	149	0	1,261
Mental Hygiene	86	51	151	173	75	166	177	69	245	141	83	274	0	1,691
Children and Families	0	0	1	0	0	0	1	0	0	0	0	(1)	0	1
Temporary & Disability Assistance	0	0	0	0	0	0	0	0	0	0	0	3	0	4
Transportation	188	496	326	313	535	324	317	551	616	169	281	162	0	4,280
Unrestricted Aid	34	40	33	0	0	61	40	33	39	67	(60)	6	0	268
All Other	696	1,113	1,804	1,016	1,170	3,239	1,144	1,319	4,222	986	1,062	1,357	0	19,128
Total Local Assistance Grants	391	462	389	449	645	530	560	600	558	607	557	525	0	6,273
Personal Service	194	228	340	241	291	319	307	314	380	301	370	334	0	3,599
Non-Personal Service	585	690	729	680	936	843	867	914	918	908	927	859	0	9,872
Total State Operations	184	49	219	229	61	205	200	61	256	178	86	291	0	2,019
General State Charges	0	0	0	0	0	0	0	0	0	0	0	5	0	5
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>TOTAL DISBURSEMENTS</b>	1,465	1,852	2,752	1,935	2,167	4,293	2,211	2,294	5,396	2,072	2,075	2,512	0	31,024
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	490	624	735	763	753	588	714	852	650	551	780	855	(619)	7,736
Transfers to other funds	(26)	(1)	(105)	(1)	(3)	(90)	(43)	(2)	(88)	(3)	(28)	(709)	619	(480)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	464	623	630	762	750	498	671	850	562	548	752	146	0	7,256
Excess/(Deficiency) of Receipts over Disbursements	569	292	(72)	378	259	(1,477)	224	167	(451)	304	443	(554)	0	82
<b>CLOSING BALANCE</b>	2,201	2,493	2,421	2,799	3,058	1,581	1,805	1,972	1,521	1,825	2,268	1,714	0	1,714

**CASHFLOW**  
**SPECIAL REVENUE FEDERAL FUNDS**  
**FY 2013**  
(dollars in millions)

	2012	2013	2012	2013	2012	2013	2012	2013	2012	2013	2012	2013	2012	2013	2012	2013	2012	2013	2012	2013	2012	2013	2012	2013		
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July	August	September	October	November	December	January	February	March	Total	
	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Projected	Total
<b>OPENING BALANCE</b>	(38)	(40)	(40)	(39)	(439)	(40)	(39)	(439)	(41)	(41)	(42)	(43)	(38)												(38)	
<b>RECEIPTS:</b>																										
Miscellaneous Receipts	21	13	13	21	13	13	21	13	13	22	14	9	186													
Federal Grants	2,756	3,429	3,399	2,948	3,630	3,322	2,882	3,381	3,163	3,491	3,371	4,530	40,302													
<b>TOTAL RECEIPTS</b>	2,777	3,442	3,412	2,969	3,643	3,335	2,903	3,394	3,176	3,513	3,395	4,539	40,488													
<b>DISBURSEMENTS:</b>																										
School Aid	294	296	332	241	145	116	104	92	222	273	453	438	3,006													
Higher Education	1	0	1	1	1	1	0	0	1	0	1	0	8													
All Other Education	73	63	64	49	52	22	59	50	48	136	135	138	889													
STAR	0	0	0	0	0	0	0	0	0	0	0	0	0													
Medicaid - DOH	1,769	2,154	2,016	2,155	1,803	2,223	2,267	1,840	1,812	2,155	1,748	2,291	24,233													
Public Health	75	97	103	105	101	117	81	98	106	101	98	119	1,201													
Mental Hygiene	14	8	19	6	20	14	13	22	22	13	22	12	178													
Children and Families	4	37	50	64	245	62	64	111	132	14	9	210	1,002													
Temporary & Disability Assistance	292	292	291	291	291	291	291	291	291	291	291	318	3,521													
Transportation	3	3	3	3	3	3	3	3	3	3	5	5	40													
Unrestricted Aid	0	0	0	0	0	0	0	0	0	0	0	0	0													
All Other	55	41	4	59	45	59	(36)	65	64	50	48	121	575													
<b>Total Local Assistance Grants</b>	2,580	2,991	2,883	2,974	2,706	2,908	2,846	2,572	2,701	3,040	2,800	3,652	34,653													
Personal Service	47	63	65	46	66	48	46	47	48	64	49	56	645													
Non-Personal Service	61	54	59	55	67	118	97	66	37	67	134	114	929													
<b>Total State Operations</b>	108	117	124	101	133	166	143	113	85	131	183	170	1,574													
General State Charges	5	2	54	4	3	45	13	16	44	5	22	63	276													
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0													
<b>TOTAL DISBURSEMENTS</b>	2,693	3,110	3,061	3,079	2,842	3,119	3,002	2,701	2,830	3,176	3,005	3,885	36,503													
<b>OTHER FINANCING SOURCES (uses):</b>																										
Transfers from other funds	0	0	0	0	0	0	0	0	0	0	0	0	1													
Transfers to other funds	(86)	(332)	(350)	(290)	(402)	(215)	(301)	(295)	(346)	(338)	(381)	(648)	(3,984)													
<b>NET OTHER FINANCING SOURCES/(USES)</b>	(86)	(332)	(350)	(290)	(402)	(215)	(301)	(295)	(346)	(338)	(381)	(647)	(3,983)													
Excess/(Deficiency) of Receipts over Disbursements	(2)	0	1	(400)	399	1	(400)	398	0	(1)	(1)	7	2													
<b>CLOSING BALANCE</b>	(40)	(40)	(39)	(439)	(40)	(39)	(439)	(41)	(41)	(42)	(43)	(36)	(36)													

**CASHFLOW  
DEBT SERVICE FUNDS  
FY 2013**  
(dollars in millions)

	2012			2013			Total						
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected		October Projected	November Projected	December Projected	January Projected	February Projected	March Projected
<b>OPENING BALANCE</b>	428	866	1,132	497	1,080	1,374	490	1,254	1,543	593	1,841	1,970	428
<b>RECEIPTS:</b>													
Taxes	1,463	695	1,347	866	905	1,351	873	751	1,324	2,020	962	901	13,458
Miscellaneous Receipts	81	92	80	86	82	84	79	74	81	74	76	107	996
Federal Grants	0	0	0	0	2	38	0	0	0	0	2	37	79
<b>TOTAL RECEIPTS</b>	<b>1,544</b>	<b>787</b>	<b>1,427</b>	<b>952</b>	<b>989</b>	<b>1,473</b>	<b>952</b>	<b>825</b>	<b>1,405</b>	<b>2,094</b>	<b>1,040</b>	<b>1,045</b>	<b>14,533</b>
<b>DISBURSEMENTS:</b>													
State Operations	1	1	6	0	8	5	1	2	14	0	4	5	47
Debt Service	175	242	603	94	326	987	103	213	1,085	94	456	1,686	6,064
<b>TOTAL DISBURSEMENTS</b>	<b>176</b>	<b>243</b>	<b>609</b>	<b>94</b>	<b>334</b>	<b>992</b>	<b>104</b>	<b>215</b>	<b>1,099</b>	<b>94</b>	<b>460</b>	<b>1,691</b>	<b>6,111</b>
<b>OTHER FINANCING SOURCES (uses):</b>													
Transfers from other funds	649	386	347	793	458	310	815	354	426	779	324	681	6,322
Transfers to other funds	(1,579)	(664)	(1,800)	(1,068)	(819)	(1,675)	(899)	(675)	(1,682)	(1,531)	(775)	(1,442)	(14,609)
<b>NET OTHER FINANCING SOURCES/(USES)</b>	<b>(930)</b>	<b>(278)</b>	<b>(1,453)</b>	<b>(275)</b>	<b>(361)</b>	<b>(1,365)</b>	<b>(84)</b>	<b>(321)</b>	<b>(1,256)</b>	<b>(752)</b>	<b>(451)</b>	<b>(761)</b>	<b>(8,287)</b>
Excess/(Deficiency) of Receipts over Disbursements	438	266	(635)	583	294	(884)	764	289	(950)	1,248	129	(1,407)	135
<b>CLOSING BALANCE</b>	<b>866</b>	<b>1,132</b>	<b>497</b>	<b>1,080</b>	<b>1,374</b>	<b>490</b>	<b>1,254</b>	<b>1,543</b>	<b>593</b>	<b>1,841</b>	<b>1,970</b>	<b>563</b>	<b>563</b>

**CASHFLOW**  
**ALL GOVERNMENTAL FUNDS**  
**FY 2013**  
(dollars in millions)

	2012		2013		2013		2013		2013		2013		2013		2013		2013			
	April	May	June	July	August	September	October	November	December	January	February	March	April	May	June	July	August	September	October	
<b>OPENING BALANCE</b>	3,360	6,839	4,439	4,485	5,200	5,095	5,690	5,365	5,365	3,397	8,872	9,572	3,360							
<b>RECEIPTS:</b>																				
Taxes	6,366	3,223	7,106	3,988	4,172	7,216	4,063	3,792	7,197	8,724	4,419	6,104	66,370							
Miscellaneous Receipts	1,650	1,894	1,739	1,804	1,782	2,678	1,855	2,056	1,808	1,937	1,928	3,138	24,269							
Federal Grants	2,895	3,591	3,564	3,128	3,831	3,588	3,093	3,580	3,358	3,656	3,523	4,826	42,633							
<b>TOTAL RECEIPTS</b>	10,911	8,708	12,409	8,920	9,785	13,482	9,011	9,428	12,363	14,317	9,870	14,068	133,272							
<b>DISBURSEMENTS:</b>																				
School Aid	451	2,796	2,552	356	655	3,384	739	1,167	1,848	809	1,169	7,119	23,045							
Higher Education	23	8	567	116	299	52	461	22	217	34	306	521	2,626							
All Other Education	88	379	134	194	443	112	120	334	226	210	321	289	2,850							
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	3,276							
Medicaid - DOH	2,942	3,772	2,997	3,597	3,520	3,203	3,868	3,414	2,811	3,597	3,080	3,293	40,094							
Public Health	153	212	424	271	241	314	203	200	313	233	207	355	3,126							
Mental Hygiene	100	60	547	180	96	574	356	92	649	272	219	671	3,816							
Children and Families	79	112	250	139	320	285	140	186	353	126	87	468	2,545							
Temporary & Disability Assistance	648	392	409	391	391	410	391	391	409	391	321	465	5,009							
Transportation	191	523	329	316	562	327	320	578	636	172	296	168	4,418							
Unrestricted Aid	2	14	299	2	2	97	11	2	207	2	2	137	777							
All Other	237	254	335	316	297	352	128	301	463	303	303	659	3,948							
<b>Total Local Assistance Grants</b>	4,914	8,522	9,243	5,878	6,826	9,298	6,747	6,733	10,755	6,149	6,311	14,154	95,530							
Personal Service	1,041	1,147	1,023	948	1,284	1,020	1,009	1,099	1,021	1,189	1,028	1,001	12,810							
Non-Personal Service	425	451	549	455	497	580	539	513	557	502	685	666	6,419							
<b>Total State Operations</b>	1,466	1,598	1,572	1,403	1,781	1,600	1,548	1,612	1,578	1,691	1,713	1,667	19,229							
General State Charges	513	411	454	426	479	536	517	430	507	485	338	1,602	6,698							
Debt service	175	242	603	94	326	987	103	213	1,085	94	456	1,686	6,064							
Capital Projects	391	363	521	425	506	498	450	462	456	450	382	968	5,872							
<b>TOTAL DISBURSEMENTS</b>	7,459	11,136	12,393	8,226	9,918	12,919	9,365	9,450	14,381	8,869	9,200	20,077	133,393							
<b>OTHER FINANCING SOURCES (uses):</b>																				
Transfers from other funds	2,558	1,370	2,697	2,341	1,687	2,333	2,181	1,673	2,643	2,598	1,619	3,929	27,629							
Transfers to other funds	(2,564)	(1,375)	(2,700)	(2,353)	(1,692)	(2,334)	(2,185)	(1,684)	(2,626)	(2,604)	(1,622)	(3,897)	(27,636)							
Bond and note proceeds	33	33	33	33	33	33	33	33	33	33	33	37	400							
<b>NET OTHER FINANCING SOURCES/(USES)</b>	27	28	30	21	28	32	29	22	50	27	30	69	393							
Excess/(Deficiency) of Receipts over Disbursements	3,479	(2,400)	46	715	(105)	595	(325)	0	(1,988)	5,475	700	(5,940)	272							
<b>CLOSING BALANCE</b>	6,839	4,439	4,485	5,200	5,095	5,690	5,365	5,365	3,397	8,872	9,572	3,632	3,632							

**CASHFLOW  
STATE FUNDS  
FY 2013  
(dollars in millions)**

	2012		2013		2013		2013		2013		2013		Intra-Fund Transfer Eliminations	Total
	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected		
<b>OPENING BALANCE</b>	3,559	7,017	4,592	4,685	5,772	5,251	5,903	5,953	5,539	3,622	9,072	9,747	0	3,559
<b>RECEIPTS:</b>														
Taxes	6,366	3,223	7,106	3,988	4,172	7,216	4,063	3,792	7,197	8,724	4,419	6,104	0	66,370
Miscellaneous Receipts	1,629	1,881	1,726	1,783	1,769	2,665	1,834	2,043	1,795	1,915	1,914	3,129	0	24,083
Federal Grants	0	13	0	0	2	56	0	0	15	0	3	56	0	145
<b>TOTAL RECEIPTS</b>	7,995	5,117	8,832	5,771	5,943	9,937	5,897	5,835	9,007	10,639	6,336	9,289	0	90,588
<b>DISBURSEMENTS:</b>														
School Aid	157	2,500	2,220	115	510	3,268	635	1,075	1,626	536	716	6,681	0	20,039
Higher Education	22	8	566	115	298	51	461	22	216	33	305	521	0	2,618
All Other Education	15	316	70	145	391	90	61	284	178	74	186	151	0	1,961
STAR	0	0	400	0	0	188	10	46	2,623	0	0	9	0	3,276
Medicaid - DOH	1,173	1,618	981	1,442	1,717	980	1,601	1,574	999	1,442	1,332	1,002	0	15,861
Public Health	78	115	321	166	140	197	122	102	207	132	109	236	0	1,925
Mental Hygiene	86	52	528	174	76	560	343	70	627	256	207	659	0	3,638
Children and Families	75	75	200	75	75	223	76	75	221	112	78	258	0	1,543
Temporary & Disability Assistance	356	100	118	100	100	119	100	100	118	100	30	147	0	1,488
Transportation	188	520	326	313	559	324	317	575	633	169	291	163	0	4,378
Unrestricted Aid	2	14	299	2	2	97	11	2	207	2	2	137	0	777
All Other	132	159	271	197	182	222	92	165	338	192	201	402	0	2,553
<b>Total Local Assistance Grants</b>	2,284	5,477	6,300	2,844	4,050	6,319	3,829	4,090	7,983	3,048	3,457	10,366	0	60,057
Personal Service	994	1,084	958	902	1,218	972	963	1,052	973	1,125	979	945	0	12,165
Non-Personal Service	364	397	490	400	430	462	442	447	520	435	551	552	0	5,490
<b>Total State Operations</b>	1,358	1,481	1,448	1,302	1,648	1,434	1,405	1,499	1,493	1,560	1,530	1,497	0	17,655
General State Charges	508	409	400	422	476	491	504	414	463	480	316	1,539	0	6,422
Debt service	175	242	603	94	326	987	103	213	1,085	94	456	1,886	0	6,064
Capital Projects	325	293	444	333	394	384	337	349	364	372	313	933	0	4,841
<b>TOTAL DISBURSEMENTS</b>	4,650	7,902	9,195	4,995	6,894	9,615	6,178	6,565	11,398	5,554	6,072	16,021	0	95,039
<b>OTHER FINANCING SOURCES (uses):</b>														
Transfers from other funds	2,597	1,409	2,863	2,376	1,723	2,452	2,310	1,706	2,754	2,629	1,653	4,063	(619)	27,936
Transfers to other funds	(2,517)	(1,082)	(2,440)	(2,098)	(1,326)	(2,155)	(2,012)	(1,423)	(2,313)	(2,297)	(1,275)	(3,322)	619	(23,641)
Bond and note proceeds	33	33	33	33	33	33	33	33	33	33	33	37	0	400
<b>NET OTHER FINANCING SOURCES/(USES)</b>	113	360	456	311	430	330	331	316	474	365	411	798	0	4,695
Excess/(Deficiency) of Receipts over Disbursements	3,458	(2,425)	93	1,087	(521)	652	50	(414)	(1,917)	5,450	675	(5,934)	0	254
<b>CLOSING BALANCE</b>	7,017	4,592	4,685	5,772	5,251	5,903	5,953	5,539	3,622	9,072	9,747	3,813	0	3,813



**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2013  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Opening fund balance</b>	<u>0</u>	<u>3</u>	<u>3</u>
<b>Receipts:</b>			
Taxes	1,222	(76)	1,146
Miscellaneous receipts	4,807	(32)	4,775
<b>Total receipts</b>	<u>6,029</u>	<u>(108)</u>	<u>5,921</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,775	(96)	3,679
HCRA Program Account	506	(30)	476
Hospital Indigent Care Fund	792	0	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	128	34	162
Child Health Plus (CHP)	353	(11)	342
Public Health	120	9	129
All Other	355	(11)	344
<b>Total disbursements</b>	<u>6,029</u>	<u>(105)</u>	<u>5,924</u>
<b>Change in fund balance</b>	<u>0</u>	<u>(3)</u>	<u>(3)</u>
<b>Closing fund balance</b>	<u>0</u>	<u>0</u>	<u>0</u>

**CASH FINANCIAL PLAN  
HEALTH CARE REFORM ACT RESOURCES FUND  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Opening fund balance</b>	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Receipts:</b>				
Taxes	1,146	1,123	1,101	1,079
Miscellaneous receipts	<u>4,775</u>	<u>5,061</u>	<u>5,202</u>	<u>5,202</u>
<b>Total receipts</b>	<u>5,921</u>	<u>6,184</u>	<u>6,303</u>	<u>6,281</u>
<b>Disbursements:</b>				
Medical Assistance Account	3,679	3,785	4,025	3,993
HCRA Program Account	476	499	501	501
Hospital Indigent Care Fund	792	792	792	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	162	246	270	282
Child Health Plus (CHP)	342	377	403	416
Public Health	129	129	129	129
All Other	<u>344</u>	<u>356</u>	<u>183</u>	<u>168</u>
<b>Total disbursements</b>	<u>5,924</u>	<u>6,184</u>	<u>6,303</u>	<u>6,281</u>
<b>Change in fund balance</b>	<u>(3)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Closing fund balance</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>

*Note: Statutory authorization for HCRA expires on March 31, 2014.*

**CASH FINANCIAL PLAN**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2012 and FY 2013**  
**(millions of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>Annual Change</b>
<b>Opening fund balance</b>	<u>159</u>	<u>3</u>	<u>(156)</u>
<b>Receipts:</b>			
Taxes	1,162	1,146	(16)
Miscellaneous receipts	<u>4,155</u>	<u>4,775</u>	<u>620</u>
<b>Total receipts</b>	<u>5,317</u>	<u>5,921</u>	<u>604</u>
<b>Disbursements:</b>			
Medical Assistance Account	3,398	3,679	281
HCRA Program Account	461	476	15
Hospital Indigent Care Fund	777	792	15
Elderly Pharmaceutical Insurance Coverage (EPIC)	102	162	60
Child Health Plus (CHP)	350	342	(8)
Public Health	137	129	(8)
All Other	<u>248</u>	<u>344</u>	<u>96</u>
<b>Total disbursements</b>	<u>5,473</u>	<u>5,924</u>	<u>451</u>
<b>Change in fund balance</b>	<u>(156)</u>	<u>(3)</u>	<u>153</u>
<b>Closing fund balance</b>	<u>3</u>	<u>0</u>	<u>(3)</u>

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2012**  
(dollars in millions)

	April Results	May Results	June Results	July Results	August Results	September Results	October Results	November Results	December Results	January Results	February Results	March Results	Total
<b>Opening fund balance</b>	159	233	195	220	435	407	336	421	425	422	438	349	159
<b>Receipts:</b>													
Taxes	95	98	106	106	111	108	98	98	99	95	72	76	1,162
Miscellaneous receipts	339	349	345	364	354	291	396	346	354	364	348	305	4,155
<b>Total receipts</b>	434	447	451	470	465	399	494	444	453	459	420	381	5,317
<b>Disbursements:</b>													
Medical Assistance Account	266	335	160	150	348	251	244	296	169	312	345	522	3,398
HCRA Program Account	10	5	139	6	10	34	21	12	119	33	19	53	461
Hospital Indigent Care Fund	69	64	65	64	65	64	68	62	64	62	62	68	777
Elderly Pharmaceutical Insurance Coverage (EPIC)	3	0	0	0	14	10	19	15	16	19	1	5	102
Child Health Plus (CHP)	3	45	13	25	26	29	50	17	51	5	48	38	350
Public Health	5	8	13	7	24	18	5	12	8	8	11	18	137
All Other	4	28	36	3	6	64	2	26	29	4	23	23	248
<b>Total disbursements</b>	360	485	426	255	493	470	409	440	456	443	509	727	5,473
<b>Change in fund balance</b>	74	(38)	25	215	(28)	(71)	85	4	(3)	16	(89)	(346)	(156)
<b>Closing fund balance</b>	233	195	220	435	407	336	421	425	422	438	349	3	3

**CASH FLOW**  
**HEALTH CARE REFORM ACT RESOURCES FUND**  
**FY 2013**  
(dollars in millions)

	April Projected	May Projected	June Projected	July Projected	August Projected	September Projected	October Projected	November Projected	December Projected	January Projected	February Projected	March Projected	Total
<b>Opening fund balance</b>	3	158	184	134	225	282	195	315	353	290	226	114	3
<b>Receipts:</b>													
Taxes	94	97	104	104	109	107	95	95	100	94	71	76	1,146
Miscellaneous receipts	374	387	383	394	383	326	418	376	385	390	376	583	4,775
<b>Total receipts</b>	468	484	487	498	492	433	513	471	485	484	447	659	5,921
<b>Disbursements:</b>													
Medical Assistance Account	200	315	199	169	286	374	282	286	281	400	414	473	3,679
HCRA Program Account	7	10	161	10	15	39	7	16	99	19	24	69	476
Hospital Indigent Care Fund	66	66	66	66	66	66	66	66	66	66	66	66	792
Elderly Pharmaceutical Insurance Coverage (EPIC)	16	16	16	13	15	14	15	11	11	12	12	11	162
Child Health Plus (CHP)	15	29	30	29	29	44	15	29	30	29	29	34	342
Public Health	6	8	13	7	20	17	5	13	9	8	10	13	129
All Other	3	14	52	113	4	(34)	3	12	52	14	4	107	344
<b>Total disbursements</b>	313	458	537	407	435	520	393	433	548	548	559	773	5,924
<b>Change in fund balance</b>	155	26	(50)	91	57	(87)	120	38	(63)	(64)	(112)	(114)	(3)
<b>Closing fund balance</b>	158	184	134	225	282	195	315	353	290	226	114	0	0

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 FY 2012  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening Fund Balance</b>	29	21	(61)
<b>Receipts:</b>			
Unemployment Taxes	0	3,449	0
Miscellaneous Receipts	430	197	1
Federal Receipts	0	3,885	0
<b>Total receipts</b>	<u>430</u>	<u>7,531</u>	<u>1</u>
<b>Disbursements:</b>			
Departmental Operations:			
Personal Service	106	5	0
Non-Personal Service	329	149	0
Unemployment Benefits	0	7,298	0
General State Charges	52	1	0
Debt Service	0	0	0
Capital Projects	0	0	0
<b>Total Disbursements</b>	<u>487</u>	<u>7,453</u>	<u>0</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	157	0	0
Transfers to Other Funds	(86)	0	(26)
	<u>71</u>	<u>0</u>	<u>(26)</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>14</u>	<u>78</u>	<u>(25)</u>
<b>Closing Fund Balance</b>	<u>43</u>	<u>99</u>	<u>(86)</u>

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 FY 2013  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening Fund Balance</b>	<u>43</u>	<u>99</u>	<u>(86)</u>
<b>Receipts:</b>			
Unemployment Taxes	0	2,356	0
Miscellaneous Receipts	1,152	170	1
Federal Receipts	<u>0</u>	<u>3,300</u>	<u>0</u>
<b>Total Receipts</b>	<u>1,152</u>	<u>5,826</u>	<u>1</u>
<b>Disbursements:</b>			
Departmental Operations:			
Personal Service	123	6	0
Non-Personal Service	964	160	0
Unemployment Benefits	0	5,656	0
General State Charges	65	3	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total disbursements</b>	<u>1,152</u>	<u>5,825</u>	<u>0</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	97	0	0
Transfers to Other Funds	<u>(89)</u>	<u>0</u>	<u>0</u>
	<u>8</u>	<u>0</u>	<u>0</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>8</u>	<u>1</u>	<u>1</u>
<b>Closing Fund Balance</b>	<u>51</u>	<u>100</u>	<u>(85)</u>

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 FY 2014  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening Fund Balance</b>	<u>51</u>	<u>100</u>	<u>(85)</u>
<b>Receipts:</b>			
Unemployment Taxes	0	2,481	0
Miscellaneous Receipts	1,119	159	1
Federal Receipts	<u>0</u>	<u>1,000</u>	<u>0</u>
<b>Total Receipts</b>	<u>1,119</u>	<u>3,640</u>	<u>1</u>
<b>Disbursements:</b>			
Departmental Operations:			
Personal Service	125	7	0
Non-Personal Service	1,008	149	0
Unemployment Benefits	0	3,481	0
General State Charges	71	3	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total disbursements</b>	<u>1,204</u>	<u>3,640</u>	<u>0</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	135	0	0
Transfers to Other Funds	<u>(58)</u>	<u>0</u>	<u>0</u>
	<u>77</u>	<u>0</u>	<u>0</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(8)</u>	<u>0</u>	<u>1</u>
<b>Closing Fund Balance</b>	<u>43</u>	<u>100</u>	<u>(84)</u>



**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 FY 2015  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening Fund Balance</b>	<u>43</u>	<u>100</u>	<u>(84)</u>
<b>Receipts:</b>			
Unemployment Taxes	0	2,472	0
Miscellaneous Receipts	1,130	152	1
Federal Receipts	<u>0</u>	<u>1,000</u>	<u>0</u>
<b>Total Receipts</b>	<u>1,130</u>	<u>3,624</u>	<u>1</u>
<b>Disbursements:</b>			
Departmental Operations:			
Personal Service	127	7	0
Non-Personal Service	981	142	0
Unemployment Benefits	0	3,472	0
General State Charges	75	3	0
Debt Service	0	0	0
Capital Projects	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total disbursements</b>	<u>1,183</u>	<u>3,624</u>	<u>0</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	103	0	0
Transfers to Other Funds	<u>(49)</u>	<u>0</u>	<u>0</u>
	<u>54</u>	<u>0</u>	<u>0</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>1</u>	<u>0</u>	<u>1</u>
<b>Closing Fund Balance</b>	<u>44</u>	<u>100</u>	<u>(83)</u>

**CASH FINANCIAL PLAN  
 PROPRIETARY AND FIDUCIARY FUNDS  
 FY 2016  
 (millions of dollars)**

	<u>Internal Service</u>	<u>Enterprise</u>	<u>Fiduciary</u>
<b>Opening Fund Balance</b>	44	100	(83)
<b>Receipts:</b>			
Unemployment Taxes	0	2,472	0
Miscellaneous Receipts	1,095	139	1
Federal Receipts	0	1,000	0
<b>Total Receipts</b>	<u>1,095</u>	<u>3,611</u>	<u>1</u>
<b>Disbursements:</b>			
Departmental Operations:			
Personal Service	130	7	0
Non-Personal Service	974	129	0
Unemployment Benefits	0	3,472	0
General State Charges	78	3	0
Debt Service	0	0	0
Capital Projects	0	0	0
<b>Total disbursements</b>	<u>1,182</u>	<u>3,611</u>	<u>0</u>
<b>Other Financing Sources (Uses):</b>			
Transfers from Other Funds	93	0	0
Transfers to Other Funds	(31)	0	0
	<u>62</u>	<u>0</u>	<u>0</u>
<b>Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses</b>	<u>(25)</u>	<u>0</u>	<u>1</u>
<b>Closing Fund Balance</b>	<u>19</u>	<u>100</u>	<u>(82)</u>

# Workforce Impact Summary

General Fund  
2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,899	2,642	2,874
Corrections and Community Supervision, Department of	29,158	29,041	28,788
Education Department, State	261	271	279
Environmental Conservation, Department of	1,079	1,119	1,099
General Services, Office of	800	776	800
Health, Department of	1,777	1,678	1,823
Parks, Recreation and Historic Preservation, Office of	1,448	1,461	1,369
Parole, Division of	1,863	0	0
State Police, Division of	5,039	4,822	4,819
Taxation and Finance, Department of	5,057	4,855	4,006
Temporary and Disability Assistance, Office of	920	909	1,119
<b>Subtotal - Major Agencies</b>	<b>50,301</b>	<b>47,574</b>	<b>46,976</b>
<b>Minor Agencies</b>	<b>3,429</b>	<b>3,168</b>	<b>3,344</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>53,730</b>	<b>50,742</b>	<b>50,320</b>
<b>University Systems</b>			
State University of New York	23,604	23,720	23,362
<b>Subtotal - University Systems</b>	<b>23,604</b>	<b>23,720</b>	<b>23,362</b>
<b>Off-Budget Agencies</b>			
Science, Technology and Innovation, NYS Foundation for	20	0	0
<b>Subtotal - Off-Budget Agencies</b>	<b>20</b>	<b>0</b>	<b>0</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,451	1,281	1,384
Law, Department of	1,109	1,118	1,066
<b>Subtotal - Independently Elected Agencies</b>	<b>2,560</b>	<b>2,399</b>	<b>2,450</b>
<b>Grand Total</b>	<b>79,914</b>	<b>76,861</b>	<b>76,132</b>

# Workforce Impact Summary

General Fund  
2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Minor Agencies</b>			
Adirondack Park Agency	52	55	56
Aging, Office for the	22	25	21
Agriculture and Markets, Department of	300	284	355
Alcoholism and Substance Abuse Services, Office of	2	2	0
Arts, Council on the	29	27	28
Budget, Division of the	264	241	258
Civil Service, Department of	207	185	187
Consumer Protection Board, State	21	0	0
Correction, Commission of	27	28	29
Criminal Justice Services, Division of	526	477	521
Economic Development, Department of	131	138	156
Elections, State Board of	59	56	58
Employee Relations, Office of	31	29	29
Executive Chamber	124	127	136
Homeland Security and Emergency Services, Division of	126	26	51
Housing and Community Renewal, Division of	193	194	122
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	152	148	138
Inspector General, Office of the	59	60	65
Judicial Commissions	39	48	49
Labor Management Committees	77	75	79
Lieutenant Governor, Office of the	4	5	7
Medicaid Inspector General, Office of the	303	281	253
Military and Naval Affairs, Division of	165	160	162
Prevention of Domestic Violence, Office for	12	13	16
Public Employment Relations Board	34	32	33
Public Ethics, Joint Commission on	45	33	45
Quality of Care and Advocacy for Persons with Disabilities	37	33	48
Regulatory Reform, Governor's Office of	10	0	0
State, Department of	123	144	166
Tax Appeals, Division of	25	25	26
Technology, Office for	139	131	160
Veterans' Affairs, Division of	87	82	86
Welfare Inspector General, Office of	3	3	3
<b>Subtotal - Minor Agencies</b>	<b>3,429</b>	<b>3,168</b>	<b>3,344</b>

# Workforce Impact Summary

## State Operating Funds 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,949	2,690	2,922
Corrections and Community Supervision, Department of	29,158	29,041	28,788
Education Department, State	1,296	1,239	1,318
Environmental Conservation, Department of	2,320	2,295	2,294
Financial Services, Department of	0	1,335	1,531
General Services, Office of	860	836	864
Health, Department of	4,190	4,000	4,285
Labor, Department of	361	334	342
Mental Health, Office of	15,681	14,774	15,283
Motor Vehicles, Department of	789	774	796
Parks, Recreation and Historic Preservation, Office of	1,677	1,614	1,602
Parole, Division of	1,863	0	0
People with Developmental Disabilities, Office for	21,208	20,286	20,586
State Police, Division of	5,435	5,187	5,236
Taxation and Finance, Department of	5,125	4,910	4,800
Temporary and Disability Assistance, Office of	985	955	1,119
Transportation, Department of	147	156	135
Workers' Compensation Board	1,364	1,306	1,371
<b>Subtotal - Major Agencies</b>	<b>95,408</b>	<b>91,732</b>	<b>93,272</b>
<b>Minor Agencies</b>	<b>8,720</b>	<b>7,041</b>	<b>7,218</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>104,128</b>	<b>98,773</b>	<b>100,490</b>
<b>University Systems</b>			
City University of New York	278	272	280
State University Construction Fund	140	151	152
State University of New York	41,046	42,792	42,199
<b>Subtotal - University Systems</b>	<b>41,464</b>	<b>43,215</b>	<b>42,631</b>
<b>Off-Budget Agencies</b>			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	20	0	0
<b>Subtotal - Off-Budget Agencies</b>	<b>2,045</b>	<b>2,025</b>	<b>2,025</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	1,487	1,465	1,553
Law, Department of	1,429	1,473	1,543
<b>Subtotal - Independently Elected Agencies</b>	<b>2,916</b>	<b>2,938</b>	<b>3,096</b>
<b>Grand Total</b>	<b>150,553</b>	<b>146,951</b>	<b>148,242</b>

# Workforce Impact Summary

## State Operating Funds 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Minor Agencies</b>			
Adirondack Park Agency	52	55	56
Aging, Office for the	23	26	22
Agriculture and Markets, Department of	456	432	406
Alcoholic Beverage Control, Division of	128	116	132
Alcoholism and Substance Abuse Services, Office of	842	816	723
Arts, Council on the	29	27	28
Banking Department	530	0	0
Budget, Division of the	313	300	317
Civil Service, Department of	212	190	192
Consumer Protection Board, State	21	0	0
Correction, Commission of	27	28	29
Criminal Justice Services, Division of	531	482	528
Deferred Compensation Board	4	4	4
Economic Development, Department of	133	140	158
Elections, State Board of	59	56	58
Employee Relations, Office of	31	29	29
Environmental Facilities Corporation	76	78	0
Executive Chamber	124	127	136
Financial Control Board, New York State	14	14	14
Higher Education Services Corporation, New York State	502	483	495
Homeland Security and Emergency Services, Division of	293	310	320
Housing and Community Renewal, Division of	602	608	591
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	152	148	138
Indigent Legal Services, Office of	1	4	10
Inspector General, Office of the	59	60	65
Insurance Department	899	0	0
Interest on Lawyer Account	8	8	8
Judicial Commissions	39	48	49
Labor Management Committees	77	75	79
Lieutenant Governor, Office of the	4	5	7
Lottery, Division of the	314	318	362
Medicaid Inspector General, Office of the	303	281	253
Military and Naval Affairs, Division of	171	166	168
Prevention of Domestic Violence, Office for	13	13	16
Public Employment Relations Board	34	32	33
Public Ethics, Joint Commission on	45	33	45
Public Service Department	493	445	509
Quality of Care and Advocacy for Persons with Disabilities	69	64	79
Racing and Wagering Board, State	102	102	105
Regulatory Reform, Governor's Office of	10	0	0
State, Department of	523	516	570
Statewide Financial System	88	109	145
Statewide Wireless Network	3	0	0
Tax Appeals, Division of	25	25	26
Technology, Office for	139	133	165
Veterans' Affairs, Division of	87	82	86
Victim Services, Office of	54	48	54
Welfare Inspector General, Office of	5	4	7
<b>Subtotal - Minor Agencies</b>	<b>8,720</b>	<b>7,041</b>	<b>7,218</b>

# Workforce Impact Summary

State Funds  
2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Children and Family Services, Office of	2,955	2,697	2,928
Corrections and Community Supervision, Department of	29,484	29,338	29,120
Education Department, State	1,445	1,389	1,463
Environmental Conservation, Department of	2,714	2,674	2,677
Financial Services, Department of	0	1,335	1,531
General Services, Office of	1,345	1,298	1,329
Health, Department of	4,246	4,058	4,341
Labor, Department of	361	334	342
Mental Health, Office of	15,727	14,822	15,351
Motor Vehicles, Department of	2,430	2,360	2,396
Parks, Recreation and Historic Preservation, Office of	1,788	1,724	1,722
Parole, Division of	1,863	0	0
People with Developmental Disabilities, Office for	21,208	20,286	20,586
State Police, Division of	5,435	5,187	5,236
Taxation and Finance, Department of	5,125	4,910	4,800
Temporary and Disability Assistance, Office of	989	959	1,123
Transportation, Department of	9,064	8,909	8,407
Workers' Compensation Board	1,364	1,306	1,371
<b>Subtotal - Major Agencies</b>	<b>107,543</b>	<b>103,586</b>	<b>104,723</b>
<b>Minor Agencies</b>	<b>9,457</b>	<b>7,706</b>	<b>7,957</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>117,000</b>	<b>111,292</b>	<b>112,680</b>
<b>University Systems</b>			
City University of New York	12,844	12,961	12,747
State University Construction Fund	140	151	152
State University of New York	41,053	42,799	42,206
<b>Subtotal - University Systems</b>	<b>54,037</b>	<b>55,911</b>	<b>55,105</b>
<b>Off-Budget Agencies</b>			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	20	0	0
State Insurance Fund	2,545	2,518	2,536
<b>Subtotal - Off-Budget Agencies</b>	<b>4,590</b>	<b>4,543</b>	<b>4,561</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	2,439	2,405	2,614
Law, Department of	1,435	1,479	1,550
<b>Subtotal - Independently Elected Agencies</b>	<b>3,874</b>	<b>3,884</b>	<b>4,164</b>
<b>Grand Total</b>	<b>179,501</b>	<b>175,630</b>	<b>176,510</b>

# Workforce Impact Summary

State Funds  
2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Minor Agencies</b>			
Adirondack Park Agency	52	55	56
Aging, Office for the	23	26	22
Agriculture and Markets, Department of	504	478	463
Alcoholic Beverage Control, Division of	128	116	132
Alcoholism and Substance Abuse Services, Office of	842	816	723
Arts, Council on the	29	27	28
Banking Department	530	0	0
Budget, Division of the	313	300	317
Civil Service, Department of	432	386	408
Consumer Protection Board, State	21	0	0
Correction, Commission of	27	28	29
Criminal Justice Services, Division of	531	482	528
Deferred Compensation Board	4	4	4
Economic Development, Department of	133	140	158
Elections, State Board of	59	56	58
Employee Relations, Office of	42	39	54
Environmental Facilities Corporation	76	78	0
Executive Chamber	124	127	136
Financial Control Board, New York State	14	14	14
Higher Education Services Corporation, New York State	502	483	495
Homeland Security and Emergency Services, Division of	293	310	320
Housing and Community Renewal, Division of	602	608	591
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	152	148	138
Indigent Legal Services, Office of	1	4	10
Inspector General, Office of the	59	60	65
Insurance Department	899	0	0
Interest on Lawyer Account	8	8	8
Judicial Commissions	39	48	49
Labor Management Committees	77	75	79
Lieutenant Governor, Office of the	4	5	7
Lottery, Division of the	314	318	362
Medicaid Inspector General, Office of the	303	281	253
Military and Naval Affairs, Division of	171	166	168
Prevention of Domestic Violence, Office for	23	22	25
Public Employment Relations Board	34	32	33
Public Ethics, Joint Commission on	45	33	45
Public Service Department	493	445	509
Quality of Care and Advocacy for Persons with Disabilities	69	64	79
Racing and Wagering Board, State	102	102	105
Regulatory Reform, Governor's Office of	10	0	0
State, Department of	523	516	570
Statewide Financial System	88	109	145
Statewide Wireless Network	3	0	0
Tax Appeals, Division of	25	25	26
Technology, Office for	587	537	597
Veterans' Affairs, Division of	87	82	86
Victim Services, Office of	54	48	54
Welfare Inspector General, Office of	5	4	7
<b>Subtotal - Minor Agencies</b>	<b>9,457</b>	<b>7,706</b>	<b>7,957</b>



# Workforce Impact Summary

All Funds

2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Children and Family Services, Office of	3,352	3,093	3,334
Corrections and Community Supervision, Department of	29,530	29,387	29,773
Education Department, State	2,735	2,590	2,765
Environmental Conservation, Department of	3,003	2,981	2,983
Financial Services, Department of	0	1,337	1,531
General Services, Office of	1,345	1,298	1,329
Health, Department of	4,995	4,761	5,120
Labor, Department of	3,953	3,717	3,526
Mental Health, Office of	15,727	14,822	15,362
Motor Vehicles, Department of	2,447	2,378	2,414
Parks, Recreation and Historic Preservation, Office of	1,800	1,735	1,736
Parole, Division of	1,863	0	0
People with Developmental Disabilities, Office for	21,221	20,299	20,604
State Police, Division of	5,435	5,187	5,236
Taxation and Finance, Department of	5,125	4,910	4,800
Temporary and Disability Assistance, Office of	2,159	2,039	2,266
Transportation, Department of	9,130	8,974	8,492
Workers' Compensation Board	1,364	1,306	1,371
<b>Subtotal - Major Agencies</b>	<b>115,184</b>	<b>110,814</b>	<b>112,642</b>
<b>Minor Agencies</b>	<b>10,603</b>	<b>8,765</b>	<b>9,199</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>125,787</b>	<b>119,579</b>	<b>121,841</b>
<b>University Systems</b>			
City University of New York	12,844	12,961	12,747
State University Construction Fund	140	151	152
State University of New York	41,053	42,800	42,206
<b>Subtotal - University Systems</b>	<b>54,037</b>	<b>55,912</b>	<b>55,105</b>
<b>Off-Budget Agencies</b>			
Roswell Park Cancer Institute	2,025	2,025	2,025
Science, Technology and Innovation, NYS Foundation for	20	0	0
State Insurance Fund	2,545	2,518	2,536
<b>Subtotal - Off-Budget Agencies</b>	<b>4,590</b>	<b>4,543</b>	<b>4,561</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	2,444	2,410	2,614
Law, Department of	1,653	1,697	1,798
<b>Subtotal - Independently Elected Agencies</b>	<b>4,097</b>	<b>4,107</b>	<b>4,412</b>
<b>Grand Total</b>	<b>188,511</b>	<b>184,141</b>	<b>185,919</b>

# Workforce Impact Summary

All Funds  
2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Minor Agencies</b>			
Adirondack Park Agency	52	55	56
Aging, Office for the	119	105	114
Agriculture and Markets, Department of	508	482	488
Alcoholic Beverage Control, Division of	128	116	132
Alcoholism and Substance Abuse Services, Office of	842	816	813
Arts, Council on the	29	27	28
Banking Department	530	0	0
Budget, Division of the	313	300	317
Civil Service, Department of	432	386	408
Consumer Protection Board, State	21	0	0
Correction, Commission of	27	28	29
Criminal Justice Services, Division of	630	583	593
Deferred Compensation Board	4	4	4
Economic Development, Department of	133	140	158
Elections, State Board of	59	56	58
Employee Relations, Office of	42	39	54
Environmental Facilities Corporation	76	78	0
Executive Chamber	124	127	136
Financial Control Board, New York State	14	14	14
Higher Education Services Corporation, New York State	502	483	495
Homeland Security and Emergency Services, Division of	383	409	442
Housing and Community Renewal, Division of	749	723	759
Hudson River Valley Greenway Communities Council	1	1	1
Human Rights, Division of	194	187	178
Indigent Legal Services, Office of	1	4	10
Inspector General, Office of the	59	60	65
Insurance Department	899	0	0
Interest on Lawyer Account	8	8	8
Judicial Commissions	39	48	49
Labor Management Committees	77	75	79
Lieutenant Governor, Office of the	4	5	7
Lottery, Division of the	314	318	362
Medicaid Inspector General, Office of the	606	562	506
Military and Naval Affairs, Division of	410	397	433
Prevention of Domestic Violence, Office for	25	23	26
Public Employment Relations Board	34	32	33
Public Ethics, Joint Commission on	45	33	45
Public Service Department	510	461	524
Quality of Care and Advocacy for Persons with Disabilities	92	80	103
Racing and Wagering Board, State	102	102	105
Regulatory Reform, Governor's Office of	10	0	0
State, Department of	574	568	620
Statewide Financial System	88	109	145
Statewide Wireless Network	3	0	0
Tax Appeals, Division of	25	25	26
Technology, Office for	587	537	597
Veterans' Affairs, Division of	96	88	94
Victim Services, Office of	78	67	78
Welfare Inspector General, Office of	5	4	7
<b>Subtotal - Minor Agencies</b>	<b>10,603</b>	<b>8,765</b>	<b>9,199</b>

# Workforce Impact Summary

## Special Revenue Funds - Other 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Children and Family Services, Office of	50	48	48
Education Department, State	1,035	968	1,039
Environmental Conservation, Department of	1,241	1,176	1,195
Financial Services, Department of	0	1,335	1,531
General Services, Office of	60	60	64
Health, Department of	2,413	2,322	2,462
Labor, Department of	361	334	342
Mental Health, Office of	15,681	14,774	15,283
Motor Vehicles, Department of	789	774	796
Parks, Recreation and Historic Preservation, Office of	229	153	233
People with Developmental Disabilities, Office for	21,208	20,286	20,586
State Police, Division of	396	365	417
Taxation and Finance, Department of	68	55	794
Temporary and Disability Assistance, Office of	65	46	0
Transportation, Department of	147	156	135
Workers' Compensation Board	1,364	1,306	1,371
<b>Subtotal - Major Agencies</b>	<b>45,107</b>	<b>44,158</b>	<b>46,296</b>
<b>Minor Agencies</b>	<b>5,291</b>	<b>3,873</b>	<b>3,874</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>50,398</b>	<b>48,031</b>	<b>50,170</b>
<b>University Systems</b>			
City University of New York	278	272	280
State University Construction Fund	140	151	152
State University of New York	17,442	19,072	18,837
<b>Subtotal - University Systems</b>	<b>17,860</b>	<b>19,495</b>	<b>19,269</b>
<b>Off-Budget Agencies</b>			
Roswell Park Cancer Institute	2,025	2,025	2,025
<b>Subtotal - Off-Budget Agencies</b>	<b>2,025</b>	<b>2,025</b>	<b>2,025</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	36	184	169
Law, Department of	320	355	477
<b>Subtotal - Independently Elected Agencies</b>	<b>356</b>	<b>539</b>	<b>646</b>
<b>Grand Total</b>	<b>70,639</b>	<b>70,090</b>	<b>72,110</b>

# Workforce Impact Summary

## Special Revenue Funds - Other 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Minor Agencies</b>			
Aging, Office for the	1	1	1
Agriculture and Markets, Department of	156	148	51
Alcoholic Beverage Control, Division of	128	116	132
Alcoholism and Substance Abuse Services, Office of	840	814	723
Banking Department	530	0	0
Budget, Division of the	49	59	59
Civil Service, Department of	5	5	5
Criminal Justice Services, Division of	5	5	7
Deferred Compensation Board	4	4	4
Economic Development, Department of	2	2	2
Environmental Facilities Corporation	76	78	0
Financial Control Board, New York State	14	14	14
Higher Education Services Corporation, New York State	502	483	495
Homeland Security and Emergency Services, Division of	167	284	269
Housing and Community Renewal, Division of	409	414	469
Indigent Legal Services, Office of	1	4	10
Insurance Department	899	0	0
Interest on Lawyer Account	8	8	8
Lottery, Division of the	314	318	362
Military and Naval Affairs, Division of	6	6	6
Prevention of Domestic Violence, Office for	1	0	0
Public Service Department	493	445	509
Quality of Care and Advocacy for Persons with Disabilities	32	31	31
Racing and Wagering Board, State	102	102	105
State, Department of	400	372	404
Statewide Financial System	88	109	145
Statewide Wireless Network	3	0	0
Technology, Office for	0	2	5
Victim Services, Office of	54	48	54
Welfare Inspector General, Office of	2	1	4
<b>Subtotal - Minor Agencies</b>	<b>5,291</b>	<b>3,873</b>	<b>3,874</b>

# Workforce Impact Summary

## Special Revenue Funds - Federal 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Children and Family Services, Office of	397	396	406
Corrections and Community Supervision, Department of	46	49	653
Education Department, State	1,290	1,201	1,302
Environmental Conservation, Department of	282	301	300
Financial Services, Department of	0	2	0
Health, Department of	749	703	779
Labor, Department of	3,592	3,383	3,184
Mental Health, Office of	0	0	11
Motor Vehicles, Department of	17	18	18
Parks, Recreation and Historic Preservation, Office of	12	11	14
People with Developmental Disabilities, Office for	13	13	18
Temporary and Disability Assistance, Office of	1,170	1,080	1,143
Transportation, Department of	66	65	85
<b>Subtotal - Major Agencies</b>	<b>7,634</b>	<b>7,222</b>	<b>7,913</b>
<b>Minor Agencies</b>			
Aging, Office for the	96	79	92
Agriculture and Markets, Department of	4	4	25
Alcoholism and Substance Abuse Services, Office of	0	0	90
Criminal Justice Services, Division of	99	101	65
Homeland Security and Emergency Services, Division of	90	99	122
Housing and Community Renewal, Division of	124	115	127
Human Rights, Division of	42	39	40
Medicaid Inspector General, Office of the	303	281	253
Military and Naval Affairs, Division of	239	231	265
Prevention of Domestic Violence, Office for	2	1	1
Public Service Department	17	16	15
Quality of Care and Advocacy for Persons with Disabilities	23	16	24
State, Department of	51	52	50
Veterans' Affairs, Division of	9	6	8
Victim Services, Office of	24	19	24
<b>Subtotal - Minor Agencies</b>	<b>1,123</b>	<b>1,059</b>	<b>1,201</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>8,757</b>	<b>8,281</b>	<b>9,114</b>
<b>University Systems</b>			
State University of New York	0	1	0
<b>Subtotal - University Systems</b>	<b>0</b>	<b>1</b>	<b>0</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	5	5	0
Law, Department of	218	218	248
<b>Subtotal - Independently Elected Agencies</b>	<b>223</b>	<b>223</b>	<b>248</b>
<b>Grand Total</b>	<b>8,980</b>	<b>8,505</b>	<b>9,362</b>

# Workforce Impact Summary

## Capital Projects Funds - Other 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Children and Family Services, Office of	6	7	6
Corrections and Community Supervision, Department of	28	25	30
Environmental Conservation, Department of	394	379	383
Health, Department of	56	58	56
Mental Health, Office of	31	34	41
Motor Vehicles, Department of	1,641	1,586	1,600
Parks, Recreation and Historic Preservation, Office of	111	110	120
Transportation, Department of	8,917	8,753	8,272
<b>Subtotal - Major Agencies</b>	<b>11,184</b>	<b>10,952</b>	<b>10,508</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>11,184</b>	<b>10,952</b>	<b>10,508</b>
<b>University Systems</b>			
State University of New York	7	7	7
<b>Subtotal - University Systems</b>	<b>7</b>	<b>7</b>	<b>7</b>
<b>Independently Elected Agencies</b>			
Law, Department of	6	6	7
<b>Subtotal - Independently Elected Agencies</b>	<b>6</b>	<b>6</b>	<b>7</b>
<b>Grand Total</b>	<b>11,197</b>	<b>10,965</b>	<b>10,522</b>

# Workforce Impact Summary

## Capital Projects Funds - Federal 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Environmental Conservation, Department of	7	6	6
<b>Subtotal - Major Agencies</b>	<b>7</b>	<b>6</b>	<b>6</b>
<b>Minor Agencies</b>			
Housing and Community Renewal, Division of	23	0	41
<b>Subtotal - Minor Agencies</b>	<b>23</b>	<b>0</b>	<b>41</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>30</b>	<b>6</b>	<b>47</b>
<b>Grand Total</b>	<b>30</b>	<b>6</b>	<b>47</b>

# Workforce Impact Summary

## Enterprise Funds 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Corrections and Community Supervision, Department of	5	4	11
General Services, Office of	8	8	10
Mental Health, Office of	0	0	10
<b>Subtotal - Major Agencies</b>	<b>13</b>	<b>12</b>	<b>31</b>
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	45	44	54
<b>Subtotal - Minor Agencies</b>	<b>45</b>	<b>44</b>	<b>54</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>58</b>	<b>56</b>	<b>85</b>
<b>Grand Total</b>	<b>58</b>	<b>56</b>	<b>85</b>



# Workforce Impact Summary

## Internal Service Funds 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Major Agencies</b>			
Corrections and Community Supervision, Department of	293	268	291
Education Department, State	149	150	145
General Services, Office of	477	454	455
Mental Health, Office of	15	14	17
Temporary and Disability Assistance, Office of	4	4	4
<b>Subtotal - Major Agencies</b>	<b>938</b>	<b>890</b>	<b>912</b>
<b>Minor Agencies</b>			
Civil Service, Department of	220	196	216
Employee Relations, Office of	11	10	25
Prevention of Domestic Violence, Office for	10	9	9
Technology, Office for	448	404	432
<b>Subtotal - Minor Agencies</b>	<b>689</b>	<b>619</b>	<b>682</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>1,627</b>	<b>1,509</b>	<b>1,594</b>
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	25	33	47
<b>Subtotal - Independently Elected Agencies</b>	<b>25</b>	<b>33</b>	<b>47</b>
<b>Grand Total</b>	<b>1,652</b>	<b>1,542</b>	<b>1,641</b>

# Workforce Impact Summary

## Agency Trust Funds 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>University Systems</b>			
City University of New York	12,566	12,689	12,467
<b>Subtotal - University Systems</b>	<b>12,566</b>	<b>12,689</b>	<b>12,467</b>
<b>Off-Budget Agencies</b>			
State Insurance Fund	2,545	2,518	2,536
<b>Subtotal - Off-Budget Agencies</b>	<b>2,545</b>	<b>2,518</b>	<b>2,536</b>
<b>Grand Total</b>	<b>15,111</b>	<b>15,207</b>	<b>15,003</b>

# Workforce Impact Summary

## Pension Trust Funds 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Independently Elected Agencies</b>			
Audit and Control, Department of	927	907	1,014
<b>Subtotal - Independently Elected Agencies</b>	<b>927</b>	<b>907</b>	<b>1,014</b>
<b>Grand Total</b>	<b>927</b>	<b>907</b>	<b>1,014</b>

# Workforce Impact Summary

## Private Purpose Trust Funds 2010-11 Through 2012-13

	2010-11 Actuals (03/31/11)	2011-12 Actuals (02/29/12)	2012-13 Estimate (03/31/13)
<b>Minor Agencies</b>			
Agriculture and Markets, Department of	3	2	3
<b>Subtotal - Minor Agencies</b>	<b>3</b>	<b>2</b>	<b>3</b>
<b>Subtotal - Subject to Direct Executive Control</b>	<b>3</b>	<b>2</b>	<b>3</b>
<b>Grand Total</b>	<b>3</b>	<b>2</b>	<b>3</b>

**Impact of 2012-13 Enacted Budget Recommendations on Local Governments  
Local Fiscal Years Ending in 2012  
(\$ in Millions)**

	Total	NYC	School Districts	Counties	Other Cities	Towns & Villages
<b>Revenue Actions</b>	<b>0.7</b>	<b>0.3</b>	<b>0.0</b>	<b>0.4</b>	<b>0.0</b>	<b>0.0</b>
- Extend Tax Modernization Provisions	0.7	0.3	0.0	0.4	0.0	0.0
<b>Human Services</b>	<b>(3.6)</b>	<b>(2.8)</b>	<b>0.0</b>	<b>(0.8)</b>	<b>0.0</b>	<b>0.0</b>
- Delay Scheduled Public Assistance Grant Increase	1.2	0.4	0.0	0.8	0.0	0.0
- Increase Flexible Fund for Family Services Allocation to Districts	6.0	1.9	0.0	4.1	0.0	0.0
- Implement 'Close To Home' Initiative	0.3	0.0	0.0	0.3	0.0	0.0
- Eliminate NYC Shelter Supplement Funding	(3.8)	(3.8)	0.0	0.0	0.0	0.0
- Modify Child Support Funding: Eliminate State's Share of Administrative Costs	(7.3)	(1.3)	0.0	(6.0)	0.0	0.0
<b>Transportation</b>	<b>12.5</b>	<b>2.5</b>	<b>0.0</b>	<b>10.0</b>	<b>0.0</b>	<b>0.0</b>
- Increase Transit Assistance (NYC, Suffolk, Nassau, Westchester)	12.5	2.5	0.0	10.0	0.0	0.0
<b>All Other Local Impacts</b>	<b>21.7</b>	<b>0.0</b>	<b>5.4</b>	<b>6.8</b>	<b>8.5</b>	<b>1.0</b>
- Create New Pension Tier VI	9.9	TBD	5.4	2.9	0.6	1.0
- Accelerate City of Albany 19-A Payment	7.9	0.0	0.0	0.0	7.9	0.0
- Provide Indigent Legal Services Grants	3.0	0.0	0.0	3.0	0.0	0.0
- Provide Reimbursement for DA Salaries Increases	0.9	0.0	0.0	0.9	0.0	0.0
<b>Total 2012-13 Enacted Budget Actions</b>	<b>31.3</b>	<b>0.0</b>	<b>5.4</b>	<b>16.4</b>	<b>8.5</b>	<b>1.0</b>

**Impact of 2012-13 Enacted Budget Recommendations on Local Governments  
Local Fiscal Years Ending in 2013**

(\$ in Millions)

	<b>Total</b>	<b>NYC</b>	<b>School Districts</b>	<b>Counties</b>	<b>Other Cities</b>	<b>Towns &amp; Villages</b>
<b>School Aid/Education</b>	<b>755.0</b>	<b>293.0</b>	<b>462.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
- Increase School Aid	755.0	293.0	462.0	0.0	0.0	0.0
<b>Revenue Actions</b>	<b>36.7</b>	<b>1.3</b>	<b>0.0</b>	<b>35.2</b>	<b>0.1</b>	<b>0.1</b>
- Increase Red Light Cameras for Suffolk & Nassau	34.0	0.0	0.0	34.0	0.0	0.0
- Extend Tax Modernization Provisions	2.7	1.3	0.0	1.2	0.1	0.1
<b>Human Services</b>	<b>(11.3)</b>	<b>(10.9)</b>	<b>0.0</b>	<b>(0.4)</b>	<b>0.0</b>	<b>0.0</b>
- Delay Scheduled Public Assistance Grant Increase	1.4	1.1	0.0	0.3	0.0	0.0
- Increase Flexible Fund for Family Services Allocation to Districts	13.0	7.5	0.0	5.5	0.0	0.0
- Implement 'Close To Home' Initiative	2.3	0.5	0.0	1.8	0.0	0.0
- Modify Child Support Funding: Eliminate State's Share of Administrative Costs	(13.0)	(5.0)	0.0	(8.0)	0.0	0.0
- Eliminate NYC Shelter Supplement Funding	(15.0)	(15.0)	0.0	0.0	0.0	0.0
<b>Health / Medicaid</b>	<b>26.0</b>	<b>11.5</b>	<b>0.0</b>	<b>14.5</b>	<b>0.0</b>	<b>0.0</b>
- Take Over of Medicaid Growth Factor	24.3	10.8	0.0	13.5	0.0	0.0
- Modify Early Intervention (Fiscal Intermediary & Reduce Lag)	1.7	0.7	0.0	1.0	0.0	0.0
<b>Transportation</b>	<b>17.5</b>	<b>7.5</b>	<b>0.0</b>	<b>10.0</b>	<b>0.0</b>	<b>0.0</b>
- Increase Transit Assistance (NYC, Suffolk, Nassau, Rockland, Westchester)	17.5	7.5	0.0	10.0	0.0	0.0
<b>All Other Local Impacts</b>	<b>109.7</b>	<b>0.0</b>	<b>27.8</b>	<b>11.5</b>	<b>66.3</b>	<b>4.1</b>
- Create New Pension Tier VI	44.2	0.0	27.8	9.7	2.6	4.1
- Accelerate AIM Payments to Certain Cities	63.7	0.0	0.0	0.0	63.7	0.0
- Provide Indigent Legal Services Grants	1.0	0.0	0.0	1.0	0.0	0.0
- Provide Reimbursement for DA Salaries Increases	0.8	0.0	0.0	0.8	0.0	0.0
<b>Subtotal</b>	<b>933.6</b>	<b>302.4</b>	<b>489.8</b>	<b>70.8</b>	<b>66.4</b>	<b>4.2</b>
- School District Performance Grants	125.0	TBD	TBD	0.0	0.0	0.0
<b>Total 2012-13 Enacted Budget Actions</b>	<b>1,058.6</b>	<b>302.4</b>	<b>489.8</b>	<b>70.8</b>	<b>66.4</b>	<b>4.2</b>

**Impact of 2012-13 Enacted Budget Recommendations on Local Governments**  
**Local Fiscal Years Ending**

(\$ in Millions)

	LFY 2012	LFY 2013	LFY 2014	LFY 2015
<b>NYC</b>	0.0	302.4	626.0	1,047.5
<b>School Districts</b>	5.4	489.8	912.8	1,415.7
<b>Counties</b>	16.4	70.8	115.7	176.2
<b>Other Cities</b>	8.5	66.4	5.5	8.4
<b>Towns &amp; Villages</b>	1.0	4.2	8.2	12.5
<b>Subtotal</b>	<b>31.3</b>	<b>933.6</b>	<b>1,668.2</b>	<b>2,660.3</b>
<b>School District Performance Grants</b>	0.0	125.0	125.0	125.0
<b>Total 2012-13 Enacted Budget Actions</b>	<b>31.3</b>	<b>1,058.6</b>	<b>1,793.2</b>	<b>2,785.3</b>

Impact of 2012-13 Enacted Budget Recommendations on NYC				
City Fiscal Year				
	CFY 2011-12	CFY 2012-13	CFY 2013-14	CFY 2014-15
	(\$ in Millions)			
<b>School Aid/Education</b>	<b>0.0</b>	<b>293.0</b>	<b>558.0</b>	<b>875.0</b>
- Increase School Aid	0.0	293.0	558.0	875.0
<b>Revenue Actions</b>	<b>0.3</b>	<b>1.3</b>	<b>1.4</b>	<b>0.0</b>
- Extend Tax Modernization Provisions	0.3	1.3	1.4	0.0
<b>Human Services</b>	<b>(2.8)</b>	<b>(10.9)</b>	<b>(11.5)</b>	<b>(10.6)</b>
- Delay Scheduled Public Assistance Grant Increase	0.4	1.1	0.0	0.0
- Increase Flexible Fund for Family Services Allocation to Districts	1.9	7.5	7.5	7.5
- Implement 'Close To Home' Initiative	0.0	0.5	1.0	1.9
- Modify Child Support Funding: Eliminate State's Share of Administrative Costs	(1.3)	(5.0)	(5.0)	(5.0)
- Eliminate NYC Shelter Supplement Funding	(3.8)	(15.0)	(15.0)	(15.0)
<b>Health / Medicaid</b>	<b>0.0</b>	<b>11.5</b>	<b>70.1</b>	<b>175.1</b>
- Take Over of Medicaid Growth Factor	0.0	10.8	65.2	163.9
- Modify Early Intervention (Fiscal Intermediary & Reduce Lag)	0.0	0.7	4.9	11.2
<b>Transportation</b>	<b>2.5</b>	<b>7.5</b>	<b>8.0</b>	<b>8.0</b>
- Increase Transit Assistance (NYC DOT & SI Ferry)	2.5	7.5	8.0	8.0
<b>All Other Local Impacts</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
- Create New Pension Tier VI	TBD	TBD	TBD	TBD
<b>Subtotal</b>	<b>0.0</b>	<b>302.4</b>	<b>626.0</b>	<b>1,047.5</b>
- School District Performance Grants	TBD	TBD	TBD	TBD
<b>Total 2012-13 Enacted Budget Actions</b>	<b>0.0</b>	<b>302.4</b>	<b>626.0</b>	<b>1,047.5</b>



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b><i>Agriculture and Markets, Department of</i></b>	<b>50,711</b>	<b>56,295</b>	<b>56,692</b>	<b>57,899</b>	<b>58,726</b>
Local Assistance Grants	24,603	25,652	24,216	24,216	24,216
State Operations	26,108	30,643	32,476	33,683	34,510
Personal Service	18,509	24,538	25,643	26,537	27,104
Non-Personal Service/Indirect Costs	7,599	6,105	6,833	7,146	7,406
<b><i>Development Authority of the North Country</i></b>	<b>0</b>	<b>70</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	70	0	0	0
<b><i>Economic Development, Department of</i></b>	<b>84,421</b>	<b>86,774</b>	<b>68,086</b>	<b>76,052</b>	<b>76,307</b>
Local Assistance Grants	51,314	65,998	47,409	54,772	54,772
State Operations	33,107	20,776	20,677	21,280	21,535
Personal Service	11,354	12,601	12,984	13,321	13,576
Non-Personal Service/Indirect Costs	21,753	8,175	7,693	7,959	7,959
<b><i>Empire State Development Corporation</i></b>	<b>76,487</b>	<b>84,088</b>	<b>71,280</b>	<b>27,800</b>	<b>17,800</b>
Local Assistance Grants	76,487	84,088	71,280	27,800	17,800
<b><i>Financial Services, Department of</i></b>	<b>95</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	95	0	0	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
<b><i>Olympic Regional Development Authority</i></b>	<b>3,543</b>	<b>2,929</b>	<b>3,056</b>	<b>3,138</b>	<b>3,138</b>
State Operations	3,543	2,929	3,056	3,138	3,138
Personal Service	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	694	444	534	590	590
<b>Functional Total</b>	<b>215,257</b>	<b>230,156</b>	<b>199,114</b>	<b>164,889</b>	<b>155,971</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b><i>Adirondack Park Agency</i></b>	<b>4,299</b>	<b>4,146</b>	<b>4,175</b>	<b>4,251</b>	<b>4,337</b>
State Operations	4,299	4,146	4,175	4,251	4,337
Personal Service	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	520	355	355	355	355
<b><i>Environmental Conservation, Department of</i></b>	<b>115,047</b>	<b>96,981</b>	<b>98,510</b>	<b>100,076</b>	<b>101,419</b>
Local Assistance Grants	4,760	6,325	4,802	4,802	4,802
State Operations	110,287	90,656	93,708	95,274	96,617
Personal Service	96,204	80,702	83,754	85,320	86,663
Non-Personal Service/Indirect Costs	14,083	9,954	9,954	9,954	9,954
<b><i>Parks, Recreation and Historic Preservation, Office of</i></b>	<b>128,296</b>	<b>117,759</b>	<b>111,065</b>	<b>112,406</b>	<b>113,933</b>
Local Assistance Grants	6,656	11,262	2,750	2,750	2,750
State Operations	121,640	106,497	108,315	109,656	111,183
Personal Service	110,931	98,625	100,443	101,784	103,311
Non-Personal Service/Indirect Costs	10,709	7,872	7,872	7,872	7,872
<b>Functional Total</b>	<b>247,642</b>	<b>218,886</b>	<b>213,750</b>	<b>216,733</b>	<b>219,689</b>
<b>TRANSPORTATION</b>					
<b><i>Transportation, Department of</i></b>	<b>99,132</b>	<b>100,958</b>	<b>100,206</b>	<b>100,206</b>	<b>100,206</b>
Local Assistance Grants	98,110	98,303	97,551	97,551	97,551
State Operations	1,022	2,655	2,655	2,655	2,655
Personal Service	0	500	500	500	500
Non-Personal Service/Indirect Costs	1,022	2,155	2,155	2,155	2,155
<b>Functional Total</b>	<b>99,132</b>	<b>100,958</b>	<b>100,206</b>	<b>100,206</b>	<b>100,206</b>
<b>HEALTH</b>					
<b><i>Aging, Office for the</i></b>	<b>113,753</b>	<b>117,433</b>	<b>120,062</b>	<b>126,858</b>	<b>133,870</b>
Local Assistance Grants	111,616	115,697	118,252	124,956	131,901
State Operations	2,137	1,736	1,810	1,902	1,969
Personal Service	1,931	1,555	1,619	1,701	1,768
Non-Personal Service/Indirect Costs	206	181	191	201	201

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Health, Department of</b>	<b>11,118,360</b>	<b>11,476,430</b>	<b>12,071,065</b>	<b>12,417,947</b>	<b>13,324,601</b>
<b>Medical Assistance</b>	<b>9,782,693</b>	<b>10,086,137</b>	<b>10,661,971</b>	<b>11,007,244</b>	<b>11,944,538</b>
Local Assistance Grants	9,767,471	10,034,782	10,605,616	10,951,389	11,888,683
State Operations	15,222	51,355	56,355	55,855	55,855
Personal Service	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	13,784	50,855	55,855	55,355	55,355
<b>Medicaid Administration</b>	<b>533,293</b>	<b>568,750</b>	<b>552,250</b>	<b>502,750</b>	<b>443,250</b>
Local Assistance Grants	533,293	568,750	552,250	502,750	443,250
<b>Public Health</b>	<b>802,374</b>	<b>821,543</b>	<b>856,844</b>	<b>907,953</b>	<b>936,813</b>
Local Assistance Grants	649,730	663,907	671,834	709,438	735,328
State Operations	152,644	157,636	185,010	198,515	201,485
Personal Service	60,955	56,985	69,859	76,364	79,334
Non-Personal Service/Indirect Costs	91,689	100,651	115,151	122,151	122,151
<b>Medicaid Inspector General, Office of the</b>	<b>21,548</b>	<b>21,095</b>	<b>21,896</b>	<b>23,121</b>	<b>23,592</b>
State Operations	21,548	21,095	21,896	23,121	23,592
Personal Service	16,030	14,679	15,150	16,025	16,496
Non-Personal Service/Indirect Costs	5,518	6,416	6,746	7,096	7,096
<b>Functional Total</b>	<b>11,253,661</b>	<b>11,614,958</b>	<b>12,213,023</b>	<b>12,567,926</b>	<b>13,482,063</b>
<b>SOCIAL WELFARE</b>					
<b>Children and Family Services, Office of</b>	<b>1,824,164</b>	<b>1,826,214</b>	<b>2,173,353</b>	<b>2,258,188</b>	<b>2,358,277</b>
<b>OCFS</b>	<b>1,710,996</b>	<b>1,732,763</b>	<b>2,055,985</b>	<b>2,136,215</b>	<b>2,231,554</b>
Local Assistance Grants	1,486,807	1,448,810	1,773,072	1,867,364	1,965,999
State Operations	224,189	283,953	282,913	268,851	265,555
Personal Service	143,835	182,960	171,207	160,048	158,198
Non-Personal Service/Indirect Costs	80,354	100,993	111,706	108,803	107,357
<b>OCFS - Other</b>	<b>113,168</b>	<b>93,451</b>	<b>117,368</b>	<b>121,973</b>	<b>126,723</b>
Local Assistance Grants	113,168	93,451	117,368	121,973	126,723
<b>Housing and Community Renewal, Division of</b>	<b>60,205</b>	<b>64,164</b>	<b>58,413</b>	<b>58,727</b>	<b>59,212</b>
Local Assistance Grants	44,110	49,117	43,375	43,375	43,375
State Operations	16,095	15,047	15,038	15,352	15,837
Personal Service	8,692	9,479	9,464	9,762	10,182
Non-Personal Service/Indirect Costs	7,403	5,568	5,574	5,590	5,655
<b>Human Rights, Division of</b>	<b>12,000</b>	<b>10,755</b>	<b>10,958</b>	<b>11,269</b>	<b>11,614</b>
State Operations	12,000	10,755	10,958	11,269	11,614
Personal Service	10,679	9,841	9,927	10,207	10,522
Non-Personal Service/Indirect Costs	1,321	914	1,031	1,062	1,092
<b>Labor, Department of</b>	<b>3,512</b>	<b>29,837</b>	<b>2,725</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	3,512	29,837	2,725	0	0
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
<b>National and Community Service</b>	<b>332</b>	<b>601</b>	<b>683</b>	<b>687</b>	<b>687</b>
Local Assistance Grants	38	350	350	350	350
State Operations	294	251	333	337	337
Personal Service	292	210	292	295	295
Non-Personal Service/Indirect Costs	2	41	41	42	42
<b>Prevention of Domestic Violence, Office for</b>	<b>1,797</b>	<b>2,092</b>	<b>2,109</b>	<b>2,148</b>	<b>2,192</b>
Local Assistance Grants	541	685	685	685	685
State Operations	1,256	1,407	1,424	1,463	1,507
Personal Service	1,040	1,313	1,324	1,356	1,389
Non-Personal Service/Indirect Costs	216	94	100	107	118
<b>Temporary and Disability Assistance, Office of</b>	<b>1,443,874</b>	<b>1,686,265</b>	<b>1,747,850</b>	<b>1,657,364</b>	<b>1,683,647</b>
<b>Welfare Assistance</b>	<b>1,266,866</b>	<b>1,380,273</b>	<b>1,440,999</b>	<b>1,339,348</b>	<b>1,366,061</b>
Local Assistance Grants	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<b>All Other</b>	<b>177,008</b>	<b>305,992</b>	<b>306,851</b>	<b>318,016</b>	<b>317,586</b>
Local Assistance Grants	137,649	103,493	101,893	101,893	103,293
State Operations	39,359	202,499	204,958	216,123	214,293

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Personal Service	(3,913)	83,922	85,979	90,656	93,056
Non-Personal Service/Indirect Costs	43,272	118,577	118,979	125,467	121,237
<b>Welfare Inspector General, Office of</b>	<b>287</b>	<b>293</b>	<b>307</b>	<b>318</b>	<b>325</b>
State Operations	287	293	307	318	325
Personal Service	287	293	307	318	325
<b>Functional Total</b>	<b>3,346,171</b>	<b>3,620,221</b>	<b>3,996,398</b>	<b>3,988,701</b>	<b>4,115,954</b>
<b>MENTAL HYGIENE</b>					
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>39,742</b>	<b>37,739</b>	<b>37,408</b>	<b>37,408</b>	<b>37,408</b>
<b>OASAS</b>	<b>7,591</b>	<b>5,059</b>	<b>4,728</b>	<b>4,728</b>	<b>4,728</b>
Local Assistance Grants	7,591	5,059	4,728	4,728	4,728
<b>OASAS - Other</b>	<b>32,151</b>	<b>32,680</b>	<b>32,680</b>	<b>32,680</b>	<b>32,680</b>
Local Assistance Grants	32,151	32,680	32,680	32,680	32,680
<b>Mental Health, Office of</b>	<b>427,441</b>	<b>385,727</b>	<b>413,811</b>	<b>440,633</b>	<b>472,946</b>
<b>OMH</b>	<b>19,293</b>	<b>1,132</b>	<b>800</b>	<b>800</b>	<b>800</b>
Local Assistance Grants	19,185	332	0	0	0
State Operations	108	800	800	800	800
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	108	800	800	800	800
<b>OMH - Other</b>	<b>408,148</b>	<b>384,595</b>	<b>413,011</b>	<b>439,833</b>	<b>472,146</b>
Local Assistance Grants	408,148	384,595	413,011	439,833	472,146
<b>People with Developmental Disabilities, Office for</b>	<b>1,594,653</b>	<b>1,524,553</b>	<b>1,691,689</b>	<b>1,784,357</b>	<b>1,845,723</b>
<b>OPWDD</b>	<b>10,256</b>	<b>950</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	10,256	950	0	0	0
<b>OPWDD - Other</b>	<b>1,584,397</b>	<b>1,523,603</b>	<b>1,691,689</b>	<b>1,784,357</b>	<b>1,845,723</b>
Local Assistance Grants	1,584,397	1,523,603	1,691,689	1,784,357	1,845,723
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>3,695</b>	<b>5,320</b>	<b>5,921</b>	<b>6,027</b>	<b>6,142</b>
Local Assistance Grants	170	170	170	170	170
State Operations	3,525	5,150	5,751	5,857	5,972
Personal Service	2,650	4,183	4,728	4,811	4,901
Non-Personal Service/Indirect Costs	875	967	1,023	1,046	1,071
<b>Functional Total</b>	<b>2,065,531</b>	<b>1,953,339</b>	<b>2,148,829</b>	<b>2,268,425</b>	<b>2,362,219</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,251</b>	<b>2,672</b>	<b>2,711</b>	<b>2,787</b>	<b>2,864</b>
State Operations	2,251	2,672	2,711	2,787	2,864
Personal Service	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	361	274	297	306	316
<b>Correctional Services, Department of</b>	<b>2,475,776</b>	<b>2,396,049</b>	<b>2,449,713</b>	<b>2,503,356</b>	<b>2,634,817</b>
Local Assistance Grants	5,594	6,051	6,000	6,000	6,000
State Operations	2,470,182	2,389,998	2,443,713	2,497,356	2,628,817
Personal Service	1,959,956	1,919,183	1,953,342	1,986,510	2,096,531
Non-Personal Service/Indirect Costs	510,226	470,815	490,371	510,846	532,286
General State Charges	0	0	0	0	0
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>
Local Assistance Grants	0	11,500	11,500	11,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>170,156</b>	<b>178,587</b>	<b>175,562</b>	<b>173,460</b>	<b>174,877</b>
Local Assistance Grants	119,311	126,756	118,696	118,856	118,856
State Operations	50,845	51,831	56,866	54,604	56,021
Personal Service	32,650	33,540	34,141	34,974	35,930
Non-Personal Service/Indirect Costs	18,195	18,291	22,725	19,630	20,091
<b>Disaster Assistance</b>	<b>20,811</b>	<b>42,700</b>	<b>30,000</b>	<b>34,500</b>	<b>0</b>
State Operations	20,811	42,700	30,000	34,500	0
Personal Service	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	11,126	42,700	30,000	34,500	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>27,006</b>	<b>39,838</b>	<b>38,248</b>	<b>22,037</b>	<b>10,588</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Local Assistance Grants	19,575	34,088	32,438	15,963	4,222
State Operations	7,431	5,750	5,810	6,074	6,366
Personal Service	6,637	5,750	5,810	6,074	6,366
Non-Personal Service/Indirect Costs	794	0	0	0	0
<b>Judicial Commissions</b>	<b>4,979</b>	<b>5,452</b>	<b>5,577</b>	<b>5,795</b>	<b>5,990</b>
State Operations	4,979	5,452	5,577	5,795	5,990
Personal Service	3,794	4,093	4,124	4,237	4,357
Non-Personal Service/Indirect Costs	1,185	1,359	1,453	1,558	1,633
<b>Military and Naval Affairs, Division of</b>	<b>21,669</b>	<b>22,398</b>	<b>22,654</b>	<b>23,007</b>	<b>23,376</b>
Local Assistance Grants	761	867	850	850	850
State Operations	20,908	21,531	21,804	22,157	22,526
Personal Service	15,798	15,744	15,872	16,077	16,294
Non-Personal Service/Indirect Costs	5,110	5,787	5,932	6,080	6,232
<b>Public Security and Emergency Response</b>	<b>0</b>	<b>600</b>	<b>600</b>	<b>600</b>	<b>600</b>
State Operations	0	600	600	600	600
Personal Service	0	600	600	600	600
<b>State Police, Division of</b>	<b>432,573</b>	<b>553,993</b>	<b>567,205</b>	<b>572,376</b>	<b>585,339</b>
State Operations	432,573	553,993	567,205	572,376	585,339
Personal Service	400,214	517,343	516,653	520,387	528,350
Non-Personal Service/Indirect Costs	32,359	36,650	50,552	51,989	56,989
<b>Functional Total</b>	<b>3,155,221</b>	<b>3,253,789</b>	<b>3,303,770</b>	<b>3,349,418</b>	<b>3,450,951</b>
<b>HIGHER EDUCATION</b>					
<b>City University of New York</b>	<b>1,202,410</b>	<b>1,219,184</b>	<b>1,342,367</b>	<b>1,405,128</b>	<b>1,473,272</b>
Local Assistance Grants	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
<b>Higher Education Services Corporation, New York State</b>	<b>910,001</b>	<b>903,933</b>	<b>985,349</b>	<b>1,014,412</b>	<b>1,025,891</b>
Local Assistance Grants	907,514	903,933	985,349	1,014,412	1,025,891
State Operations	2,487	0	0	0	0
Non-Personal Service/Indirect Costs	2,487	0	0	0	0
<b>State University of New York</b>	<b>1,627,533</b>	<b>1,355,125</b>	<b>678,106</b>	<b>678,106</b>	<b>678,106</b>
Local Assistance Grants	481,591	462,404	468,051	468,051	468,051
State Operations	942,702	682,666	0	0	0
Personal Service	703,450	547,250	0	0	0
Non-Personal Service/Indirect Costs	239,252	135,416	0	0	0
General State Charges	203,240	210,055	210,055	210,055	210,055
<b>Functional Total</b>	<b>3,739,944</b>	<b>3,478,242</b>	<b>3,005,822</b>	<b>3,097,646</b>	<b>3,177,269</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>33,659</b>	<b>39,955</b>	<b>35,957</b>	<b>36,003</b>	<b>36,053</b>
Local Assistance Grants	29,571	35,835	31,835	31,835	31,835
State Operations	4,088	4,120	4,122	4,168	4,218
Personal Service	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,822	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>18,507,638</b>	<b>18,984,690</b>	<b>19,867,798</b>	<b>20,743,381</b>	<b>21,764,086</b>
<b>School Aid</b>	<b>16,777,944</b>	<b>16,986,436</b>	<b>17,831,835</b>	<b>18,640,727</b>	<b>19,585,362</b>
Local Assistance Grants	16,777,944	16,986,436	17,831,835	18,640,727	19,585,362
<b>Special Education Categorical Programs</b>	<b>1,175,990</b>	<b>1,357,636</b>	<b>1,455,616</b>	<b>1,529,216</b>	<b>1,604,116</b>
Local Assistance Grants	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<b>All Other</b>	<b>553,704</b>	<b>640,618</b>	<b>580,347</b>	<b>573,438</b>	<b>574,608</b>
Local Assistance Grants	508,706	598,284	536,468	529,227	530,012
State Operations	43,412	42,334	43,879	44,211	44,596
Personal Service	24,983	24,498	24,543	24,875	25,260
Non-Personal Service/Indirect Costs	18,429	17,836	19,336	19,336	19,336
General State Charges	1,586	0	0	0	0
<b>Functional Total</b>	<b>18,541,297</b>	<b>19,024,645</b>	<b>19,903,755</b>	<b>20,779,384</b>	<b>21,800,139</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>20,635</b>	<b>22,029</b>	<b>22,409</b>	<b>23,948</b>	<b>24,720</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
State Operations	20,635	22,029	22,409	23,948	24,720
Personal Service	19,252	20,177	20,841	22,212	22,939
Non-Personal Service/Indirect Costs	1,383	1,852	1,568	1,736	1,781
<b>Civil Service, Department of</b>	<b>13,755</b>	<b>13,575</b>	<b>14,682</b>	<b>15,035</b>	<b>15,428</b>
State Operations	13,755	13,575	14,682	15,035	15,428
Personal Service	12,935	12,897	14,009	14,357	14,745
Non-Personal Service/Indirect Costs	820	678	673	678	683
<b>Deferred Compensation Board</b>	<b>46</b>	<b>53</b>	<b>54</b>	<b>56</b>	<b>57</b>
State Operations	46	53	54	56	57
Personal Service	30	29	29	30	31
Non-Personal Service/Indirect Costs	16	24	25	26	26
<b>Elections, State Board of</b>	<b>5,566</b>	<b>7,649</b>	<b>5,179</b>	<b>35,316</b>	<b>5,462</b>
Local Assistance Grants	415	2,700	0	30,000	0
State Operations	5,151	4,949	5,179	5,316	5,462
Personal Service	4,065	4,144	4,254	4,370	4,495
Non-Personal Service/Indirect Costs	1,086	805	925	946	967
<b>Employee Relations, Office of</b>	<b>2,604</b>	<b>2,632</b>	<b>2,652</b>	<b>2,728</b>	<b>2,811</b>
State Operations	2,604	2,632	2,652	2,728	2,811
Personal Service	2,529	2,551	2,570	2,646	2,728
Non-Personal Service/Indirect Costs	75	81	82	82	83
<b>General Services, Office of</b>	<b>109,503</b>	<b>146,296</b>	<b>130,020</b>	<b>124,621</b>	<b>127,333</b>
Local Assistance Grants	0	19	0	0	0
State Operations	109,503	146,277	130,020	124,621	127,333
Personal Service	45,756	47,208	48,009	49,070	50,383
Non-Personal Service/Indirect Costs	63,747	99,069	82,011	75,551	76,950
<b>Inspector General, Office of the</b>	<b>5,392</b>	<b>6,523</b>	<b>6,630</b>	<b>6,883</b>	<b>7,109</b>
State Operations	5,392	6,523	6,630	6,883	7,109
Personal Service	5,067	6,083	6,129	6,301	6,485
Non-Personal Service/Indirect Costs	325	440	501	582	624
<b>Labor Management Committees</b>	<b>23,192</b>	<b>33,170</b>	<b>32,774</b>	<b>34,889</b>	<b>40,016</b>
State Operations	23,192	33,170	32,774	34,889	40,016
Personal Service	8,359	5,500	5,504	6,119	6,366
Non-Personal Service/Indirect Costs	14,833	27,670	27,270	28,770	33,650
<b>Public Employment Relations Board</b>	<b>3,309</b>	<b>3,340</b>	<b>3,655</b>	<b>3,761</b>	<b>3,853</b>
State Operations	3,309	3,340	3,655	3,761	3,853
Personal Service	2,907	2,938	2,960	3,046	3,138
Non-Personal Service/Indirect Costs	402	402	695	715	715
<b>Public Integrity, Commission on</b>	<b>3,217</b>	<b>4,016</b>	<b>4,061</b>	<b>4,175</b>	<b>4,295</b>
State Operations	3,217	4,016	4,061	4,175	4,295
Personal Service	2,492	3,166	3,190	3,282	3,380
Non-Personal Service/Indirect Costs	725	850	871	893	915
<b>Regulatory Reform, Governor's Office of</b>	<b>83</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	83	0	0	0	0
Personal Service	80	0	0	0	0
Non-Personal Service/Indirect Costs	3	0	0	0	0
<b>State, Department of</b>	<b>23,909</b>	<b>30,912</b>	<b>19,187</b>	<b>19,706</b>	<b>19,969</b>
Local Assistance Grants	9,417	15,579	3,338	3,338	3,338
State Operations	14,492	15,333	15,849	16,368	16,631
Personal Service	10,688	12,721	13,091	13,468	13,731
Non-Personal Service/Indirect Costs	3,804	2,612	2,758	2,900	2,900
<b>Tax Appeals, Division of</b>	<b>2,850</b>	<b>3,101</b>	<b>2,813</b>	<b>2,894</b>	<b>2,948</b>
State Operations	2,850	3,101	2,813	2,894	2,948
Personal Service	2,483	2,900	2,601	2,673	2,727
Non-Personal Service/Indirect Costs	367	201	212	221	221
<b>Taxation and Finance, Department of</b>	<b>314,780</b>	<b>324,461</b>	<b>325,015</b>	<b>335,037</b>	<b>341,752</b>
Local Assistance Grants	6,487	926	926	926	926
State Operations	308,293	323,535	324,089	334,111	340,826
Personal Service	249,825	260,866	259,558	267,933	274,648
Non-Personal Service/Indirect Costs	58,468	62,669	64,531	66,178	66,178
<b>Technology, Office for</b>	<b>19,129</b>	<b>21,994</b>	<b>21,305</b>	<b>23,877</b>	<b>23,485</b>
Local Assistance Grants	171	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
State Operations	18,958	21,994	21,305	23,877	23,485
Personal Service	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	8,502	8,408	7,710	9,970	9,239
<b>Veterans' Affairs, Division of</b>	<b>12,884</b>	<b>14,106</b>	<b>12,949</b>	<b>13,155</b>	<b>13,383</b>
Local Assistance Grants	7,572	8,117	7,397	7,457	7,517
State Operations	5,312	5,989	5,552	5,698	5,866
Personal Service	4,868	5,050	5,123	5,259	5,416
Non-Personal Service/Indirect Costs	444	939	429	439	450
<b>Functional Total</b>	<b>560,854</b>	<b>633,857</b>	<b>603,385</b>	<b>646,081</b>	<b>632,621</b>
<b>ELECTED OFFICIALS</b>					
<b>Audit and Control, Department of</b>	<b>152,602</b>	<b>155,642</b>	<b>157,172</b>	<b>160,521</b>	<b>164,148</b>
Local Assistance Grants	32,005	32,024	32,024	32,024	32,024
State Operations	120,597	123,618	125,148	128,497	132,124
Personal Service	93,084	97,964	98,828	101,494	104,421
Non-Personal Service/Indirect Costs	27,513	25,654	26,320	27,003	27,703
<b>Executive Chamber</b>	<b>13,256</b>	<b>13,578</b>	<b>13,836</b>	<b>14,773</b>	<b>15,185</b>
State Operations	13,256	13,578	13,836	14,773	15,185
Personal Service	10,210	11,338	11,495	12,238	12,589
Non-Personal Service/Indirect Costs	3,046	2,240	2,341	2,535	2,596
<b>Judiciary</b>	<b>2,306,525</b>	<b>2,312,000</b>	<b>2,444,446</b>	<b>2,569,206</b>	<b>2,696,602</b>
Local Assistance Grants	2,502	2,500	17,500	17,500	17,500
State Operations	1,731,513	1,749,600	1,807,614	1,892,952	1,986,894
Personal Service	1,408,958	1,410,600	1,456,134	1,503,142	1,559,092
Non-Personal Service/Indirect Costs	322,555	339,000	351,480	389,810	427,802
General State Charges	572,510	559,900	619,332	658,754	692,208
<b>Law, Department of</b>	<b>98,360</b>	<b>98,914</b>	<b>96,220</b>	<b>98,947</b>	<b>101,937</b>
State Operations	98,360	98,914	96,220	98,947	101,937
Personal Service	83,740	83,937	83,944	86,364	89,040
Non-Personal Service/Indirect Costs	14,620	14,977	12,276	12,583	12,897
<b>Legislature</b>	<b>196,024</b>	<b>217,845</b>	<b>220,399</b>	<b>222,995</b>	<b>225,633</b>
State Operations	196,024	217,845	220,399	222,995	225,633
Personal Service	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	44,142	52,561	53,875	55,222	56,602
<b>Lieutenant Governor, Office of the</b>	<b>408</b>	<b>614</b>	<b>614</b>	<b>665</b>	<b>680</b>
State Operations	408	614	614	665	680
Personal Service	289	480	494	515	543
Non-Personal Service/Indirect Costs	119	134	120	150	137
<b>Functional Total</b>	<b>2,767,175</b>	<b>2,798,593</b>	<b>2,932,687</b>	<b>3,067,107</b>	<b>3,204,185</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>721,034</b>	<b>739,655</b>	<b>757,414</b>	<b>772,589</b>	<b>775,357</b>
Local Assistance Grants	721,034	739,655	757,414	772,589	775,357
<b>Efficiency Incentive Grants Program</b>	<b>4,714</b>	<b>9,636</b>	<b>3,805</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	4,714	9,636	3,805	0	0
<b>Miscellaneous Financial Assistance</b>	<b>1,960</b>	<b>2,000</b>	<b>1,960</b>	<b>1,960</b>	<b>1,960</b>
Local Assistance Grants	1,960	2,000	1,960	1,960	1,960
<b>Municipalities with VLT Facilities</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>
Local Assistance Grants	25,867	25,867	25,867	25,867	25,867
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b>753,792</b>	<b>777,376</b>	<b>789,264</b>	<b>800,634</b>	<b>803,402</b>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b>3,941,782</b>	<b>3,628,920</b>	<b>3,999,936</b>	<b>4,305,513</b>	<b>4,563,359</b>
State Operations	3,676	0	0	0	0
Personal Service	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,585	0	0	0	0
General State Charges	3,938,106	3,628,920	3,999,936	4,305,513	4,563,359

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Long-Term Debt Service</b>	<b>551</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	551	0	0	0	0
Non-Personal Service/Indirect Costs	551	0	0	0	0
<b>Miscellaneous</b>	<b>(55,015)</b>	<b>449,891</b>	<b>303,381</b>	<b>270,977</b>	<b>450,496</b>
Local Assistance Grants	(60,566)	334,957	382,107	325,882	401,790
State Operations	1,447	110,514	(83,146)	(59,325)	44,286
Personal Service	51	60,302	(8,358)	5,463	59,074
Non-Personal Service/Indirect Costs	1,396	50,212	(74,788)	(64,788)	(14,788)
General State Charges	4,104	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<b>3,887,318</b>	<b>4,078,811</b>	<b>4,303,317</b>	<b>4,576,490</b>	<b>5,013,855</b>
<b>TOTAL GENERAL FUND SPENDING</b>	<b>50,632,995</b>	<b>51,783,831</b>	<b>53,713,320</b>	<b>55,623,640</b>	<b>58,518,524</b>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	50,711	56,295	56,692	57,899	58,726
Development Authority of the North Country	0	70	0	0	0
Economic Development, Department of	84,421	86,774	68,086	76,052	76,307
Empire State Development Corporation	76,487	84,088	71,280	27,800	17,800
Financial Services, Department of	95	0	0	0	0
Olympic Regional Development Authority	3,543	2,929	3,056	3,138	3,138
<b>Functional Total</b>	<b>215,257</b>	<b>230,156</b>	<b>199,114</b>	<b>164,889</b>	<b>155,971</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	115,047	96,981	98,510	100,076	101,419
Parks, Recreation and Historic Preservation, Office of	128,296	117,759	111,065	112,406	113,933
<b>Functional Total</b>	<b>247,642</b>	<b>218,886</b>	<b>213,750</b>	<b>216,733</b>	<b>219,689</b>
<b>TRANSPORTATION</b>					
Transportation, Department of	99,132	100,958	100,206	100,206	100,206
<b>Functional Total</b>	<b>99,132</b>	<b>100,958</b>	<b>100,206</b>	<b>100,206</b>	<b>100,206</b>
<b>HEALTH</b>					
Aging, Office for the	113,753	117,433	120,062	126,858	133,870
Health, Department of	11,118,360	11,476,430	12,071,065	12,417,947	13,324,601
<i>Medical Assistance</i>	9,782,693	10,086,137	10,661,971	11,007,244	11,944,538
<i>Medicaid Administration</i>	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	802,374	821,543	856,844	907,953	936,813
Medicaid Inspector General, Office of the	21,548	21,095	21,896	23,121	23,592
<b>Functional Total</b>	<b>11,253,661</b>	<b>11,614,958</b>	<b>12,213,023</b>	<b>12,567,926</b>	<b>13,482,063</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,824,164	1,826,214	2,173,353	2,258,188	2,358,277
<i>OCFS</i>	1,710,996	1,732,763	2,055,985	2,136,215	2,231,554
<i>OCFS - Other</i>	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	60,205	64,164	58,413	58,727	59,212
Human Rights, Division of	12,000	10,755	10,958	11,269	11,614
Labor, Department of	3,512	29,837	2,725	0	0
National and Community Service	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,797	2,092	2,109	2,148	2,192
Temporary and Disability Assistance, Office of	1,443,874	1,686,265	1,747,850	1,657,364	1,683,647
<i>Welfare Assistance</i>	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	177,008	305,992	306,851	318,016	317,586
Welfare Inspector General, Office of	287	293	307	318	325
<b>Functional Total</b>	<b>3,346,171</b>	<b>3,620,221</b>	<b>3,996,398</b>	<b>3,988,701</b>	<b>4,115,954</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	39,742	37,739	37,408	37,408	37,408
<i>OASAS</i>	7,591	5,059	4,728	4,728	4,728
<i>OASAS - Other</i>	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	427,441	385,727	413,811	440,633	472,946
<i>OMH</i>	19,293	1,132	800	800	800
<i>OMH - Other</i>	408,148	384,595	413,011	439,833	472,146
People with Developmental Disabilities, Office for	1,594,653	1,524,553	1,691,689	1,784,357	1,845,723
<i>OPWDD</i>	10,256	950	0	0	0
<i>OPWDD - Other</i>	1,584,397	1,523,603	1,691,689	1,784,357	1,845,723
Quality of Care and Advocacy for Persons With Disabilities, Commission on	3,695	5,320	5,921	6,027	6,142
<b>Functional Total</b>	<b>2,065,531</b>	<b>1,953,339</b>	<b>2,148,829</b>	<b>2,268,425</b>	<b>2,362,219</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,475,776	2,396,049	2,449,713	2,503,356	2,634,817
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	170,156	178,587	175,562	173,460	174,877
Disaster Assistance	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	27,006	39,838	38,248	22,037	10,588
Judicial Commissions	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	21,669	22,398	22,654	23,007	23,376
Public Security and Emergency Response	0	600	600	600	600



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND (excludes transfers)  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
State Police, Division of	432,573	553,993	567,205	572,376	585,339
<b>Functional Total</b>	<u>3,155,221</u>	<u>3,253,789</u>	<u>3,303,770</u>	<u>3,349,418</u>	<u>3,450,951</u>
<b>HIGHER EDUCATION</b>					
City University of New York	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	910,001	903,933	985,349	1,014,412	1,025,891
State University of New York	1,627,533	1,355,125	678,106	678,106	678,106
<b>Functional Total</b>	<u>3,739,944</u>	<u>3,478,242</u>	<u>3,005,822</u>	<u>3,097,646</u>	<u>3,177,269</u>
<b>EDUCATION</b>					
Arts, Council on the	33,659	39,955	35,957	36,003	36,053
Education, Department of	18,507,638	18,984,690	19,867,798	20,743,381	21,764,086
<i>School Aid</i>	16,777,944	16,986,436	17,831,835	18,640,727	19,585,362
<i>Special Education Categorical Programs</i>	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	553,704	640,618	580,347	573,438	574,608
<b>Functional Total</b>	<u>18,541,297</u>	<u>19,024,645</u>	<u>19,903,755</u>	<u>20,779,384</u>	<u>21,800,139</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	20,635	22,029	22,409	23,948	24,720
Civil Service, Department of	13,755	13,575	14,682	15,035	15,428
Deferred Compensation Board	46	53	54	56	57
Elections, State Board of	5,566	7,649	5,179	35,316	5,462
Employee Relations, Office of	2,604	2,632	2,652	2,728	2,811
General Services, Office of	109,503	146,296	130,020	124,621	127,333
Inspector General, Office of the	5,392	6,523	6,630	6,883	7,109
Labor Management Committees	23,192	33,170	32,774	34,889	40,016
Public Employment Relations Board	3,309	3,340	3,655	3,761	3,853
Public Integrity, Commission on	3,217	4,016	4,061	4,175	4,295
Regulatory Reform, Governor's Office of	83	0	0	0	0
State, Department of	23,909	30,912	19,187	19,706	19,969
Tax Appeals, Division of	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	314,780	324,461	325,015	335,037	341,752
Technology, Office for	19,129	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	12,884	14,106	12,949	13,155	13,383
<b>Functional Total</b>	<u>560,854</u>	<u>633,857</u>	<u>603,385</u>	<u>646,081</u>	<u>632,621</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	152,602	155,642	157,172	160,521	164,148
Executive Chamber	13,256	13,578	13,836	14,773	15,185
Judiciary	2,306,525	2,312,000	2,444,446	2,569,206	2,696,602
Law, Department of	98,360	98,914	96,220	98,947	101,937
Legislature	196,024	217,845	220,399	222,995	225,633
Lieutenant Governor, Office of the	408	614	614	665	680
<b>Functional Total</b>	<u>2,767,175</u>	<u>2,798,593</u>	<u>2,932,687</u>	<u>3,067,107</u>	<u>3,204,185</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,941,782	3,628,920	3,999,936	4,305,513	4,563,359
Long-Term Debt Service	551	0	0	0	0
Miscellaneous	(55,015)	449,891	303,381	270,977	450,496
<b>Functional Total</b>	<u>3,887,318</u>	<u>4,078,811</u>	<u>4,303,317</u>	<u>4,576,490</u>	<u>5,013,855</u>
<b>TOTAL GENERAL FUND SPENDING</b>	<u>50,632,995</u>	<u>51,783,831</u>	<u>53,713,320</u>	<u>55,623,640</u>	<u>58,518,524</u>

GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	24,603	25,652	24,216	24,216	24,216
Development Authority of the North Country	0	70	0	0	0
Economic Development, Department of	51,314	65,998	47,409	54,772	54,772
Empire State Development Corporation	76,487	84,088	71,280	27,800	17,800
Financial Services, Department of	95	0	0	0	0
<b>Functional Total</b>	<u>152,499</u>	<u>175,808</u>	<u>142,905</u>	<u>106,788</u>	<u>96,788</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	4,760	6,325	4,802	4,802	4,802
Parks, Recreation and Historic Preservation, Office of	6,656	11,262	2,750	2,750	2,750
<b>Functional Total</b>	<u>11,416</u>	<u>17,587</u>	<u>7,552</u>	<u>7,552</u>	<u>7,552</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	98,110	98,303	97,551	97,551	97,551
<b>Functional Total</b>	<u>98,110</u>	<u>98,303</u>	<u>97,551</u>	<u>97,551</u>	<u>97,551</u>
<b>HEALTH</b>					
Aging, Office for the	111,616	115,697	118,252	124,956	131,901
Health, Department of	10,950,494	11,267,439	11,829,700	12,163,577	13,067,261
<i>Medical Assistance</i>	9,767,471	10,034,782	10,605,616	10,951,389	11,888,683
<i>Medicaid Administration</i>	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	649,730	663,907	671,834	709,438	735,328
<b>Functional Total</b>	<u>11,062,110</u>	<u>11,383,136</u>	<u>11,947,952</u>	<u>12,288,533</u>	<u>13,199,162</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,599,975	1,542,261	1,890,440	1,989,337	2,092,722
<i>OCFS</i>	1,486,807	1,448,810	1,773,072	1,867,364	1,965,999
<i>OCFS - Other</i>	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	44,110	49,117	43,375	43,375	43,375
Labor, Department of	3,512	29,837	2,725	0	0
National and Community Service	38	350	350	350	350
Prevention of Domestic Violence, Office for	541	685	685	685	685
Temporary and Disability Assistance, Office of	1,404,515	1,483,766	1,542,892	1,441,241	1,469,354
<i>Welfare Assistance</i>	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	137,649	103,493	101,893	101,893	103,293
<b>Functional Total</b>	<u>3,052,691</u>	<u>3,106,016</u>	<u>3,480,467</u>	<u>3,474,988</u>	<u>3,606,486</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	39,742	37,739	37,408	37,408	37,408
<i>OASAS</i>	7,591	5,059	4,728	4,728	4,728
<i>OASAS - Other</i>	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	427,333	384,927	413,011	439,833	472,146
<i>OMH</i>	19,185	332	0	0	0
<i>OMH - Other</i>	408,148	384,595	413,011	439,833	472,146
People with Developmental Disabilities, Office for	1,594,653	1,524,553	1,691,689	1,784,357	1,845,723
<i>OPWDD</i>	10,256	950	0	0	0
<i>OPWDD - Other</i>	1,584,397	1,523,603	1,691,689	1,784,357	1,845,723
Quality of Care and Advocacy for Persons With Disabilities, Commission on	170	170	170	170	170
<b>Functional Total</b>	<u>2,061,898</u>	<u>1,947,389</u>	<u>2,142,278</u>	<u>2,261,768</u>	<u>2,355,447</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	119,311	126,756	118,696	118,856	118,856
Homeland Security and Emergency Services, Division of	19,575	34,088	32,438	15,963	4,222
Military and Naval Affairs, Division of	761	867	850	850	850
<b>Functional Total</b>	<u>145,241</u>	<u>179,262</u>	<u>169,484</u>	<u>153,169</u>	<u>142,428</u>
<b>HIGHER EDUCATION</b>					
City University of New York	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Services Corporation, New York State	907,514	903,933	985,349	1,014,412	1,025,891
State University of New York	481,591	462,404	468,051	468,051	468,051
<b>Functional Total</b>	<u>2,591,515</u>	<u>2,585,521</u>	<u>2,795,767</u>	<u>2,887,591</u>	<u>2,967,214</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>EDUCATION</b>					
Arts, Council on the	29,571	35,835	31,835	31,835	31,835
Education, Department of	<u>18,462,640</u>	<u>18,942,356</u>	<u>19,823,919</u>	<u>20,699,170</u>	<u>21,719,490</u>
<i>School Aid</i>	16,777,944	16,986,436	17,831,835	18,640,727	19,585,362
<i>Special Education Categorical Programs</i>	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	508,706	598,284	536,468	529,227	530,012
<b>Functional Total</b>	<u>18,492,211</u>	<u>18,978,191</u>	<u>19,855,754</u>	<u>20,731,005</u>	<u>21,751,325</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	415	2,700	0	30,000	0
General Services, Office of	0	19	0	0	0
State, Department of	9,417	15,579	3,338	3,338	3,338
Taxation and Finance, Department of	6,487	926	926	926	926
Technology, Office for	171	0	0	0	0
Veterans' Affairs, Division of	7,572	8,117	7,397	7,457	7,517
<b>Functional Total</b>	<u>24,062</u>	<u>27,341</u>	<u>11,661</u>	<u>41,721</u>	<u>11,781</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,005	32,024	32,024	32,024	32,024
Judiciary	2,502	2,500	17,500	17,500	17,500
<b>Functional Total</b>	<u>34,507</u>	<u>34,524</u>	<u>49,524</u>	<u>49,524</u>	<u>49,524</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(60,566)	334,957	382,107	325,882	401,790
<b>Functional Total</b>	<u>(60,566)</u>	<u>334,957</u>	<u>382,107</u>	<u>325,882</u>	<u>401,790</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>38,419,486</u>	<u>39,645,411</u>	<u>41,872,266</u>	<u>43,226,706</u>	<u>45,490,450</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	26,108	30,643	32,476	33,683	34,510
Economic Development, Department of	33,107	20,776	20,677	21,280	21,535
Financial Services, Department of	0	0	0	0	0
Olympic Regional Development Authority	3,543	2,929	3,056	3,138	3,138
<b>Functional Total</b>	<u>62,758</u>	<u>54,348</u>	<u>56,209</u>	<u>58,101</u>	<u>59,183</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	110,287	90,656	93,708	95,274	96,617
Parks, Recreation and Historic Preservation, Office of	121,640	106,497	108,315	109,656	111,183
<b>Functional Total</b>	<u>236,226</u>	<u>201,299</u>	<u>206,198</u>	<u>209,181</u>	<u>212,137</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	1,022	2,655	2,655	2,655	2,655
<b>Functional Total</b>	<u>1,022</u>	<u>2,655</u>	<u>2,655</u>	<u>2,655</u>	<u>2,655</u>
<b>HEALTH</b>					
Aging, Office for the	2,137	1,736	1,810	1,902	1,969
Health, Department of	167,866	208,991	241,365	254,370	257,340
<i>Medical Assistance</i>	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	152,644	157,636	185,010	198,515	201,485
Medicaid Inspector General, Office of the	21,548	21,095	21,896	23,121	23,592
<b>Functional Total</b>	<u>191,551</u>	<u>231,822</u>	<u>265,071</u>	<u>279,393</u>	<u>282,901</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	224,189	283,953	282,913	268,851	265,555
<i>OCFS</i>	224,189	283,953	282,913	268,851	265,555
Housing and Community Renewal, Division of	16,095	15,047	15,038	15,352	15,837
Human Rights, Division of	12,000	10,755	10,958	11,269	11,614
Labor, Department of	0	0	0	0	0
National and Community Service	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,256	1,407	1,424	1,463	1,507
Temporary and Disability Assistance, Office of	39,359	202,499	204,958	216,123	214,293
<i>All Other</i>	39,359	202,499	204,958	216,123	214,293
Welfare Inspector General, Office of	287	293	307	318	325
<b>Functional Total</b>	<u>293,480</u>	<u>514,205</u>	<u>515,931</u>	<u>513,713</u>	<u>509,468</u>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	108	800	800	800	800
<i>OMH</i>	108	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	3,525	5,150	5,751	5,857	5,972
<b>Functional Total</b>	<u>3,633</u>	<u>5,950</u>	<u>6,551</u>	<u>6,657</u>	<u>6,772</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,470,182	2,389,998	2,443,713	2,497,356	2,628,817
Criminal Justice Services, Division of	50,845	51,831	56,866	54,604	56,021
Disaster Assistance	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	7,431	5,750	5,810	6,074	6,366
Judicial Commissions	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	20,908	21,531	21,804	22,157	22,526
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	432,573	553,993	567,205	572,376	585,339
<b>Functional Total</b>	<u>3,009,980</u>	<u>3,074,527</u>	<u>3,134,286</u>	<u>3,196,249</u>	<u>3,308,523</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	2,487	0	0	0	0
State University of New York	942,702	682,666	0	0	0
<b>Functional Total</b>	<u>945,189</u>	<u>682,666</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>EDUCATION</b>					
Arts, Council on the	4,088	4,120	4,122	4,168	4,218
Education, Department of	43,412	42,334	43,879	44,211	44,596
<i>All Other</i>	43,412	42,334	43,879	44,211	44,596
<b>Functional Total</b>	<u>47,500</u>	<u>46,454</u>	<u>48,001</u>	<u>48,379</u>	<u>48,814</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	20,635	22,029	22,409	23,948	24,720
Civil Service, Department of	13,755	13,575	14,682	15,035	15,428
Deferred Compensation Board	46	53	54	56	57
Elections, State Board of	5,151	4,949	5,179	5,316	5,462
Employee Relations, Office of	2,604	2,632	2,652	2,728	2,811
General Services, Office of	109,503	146,277	130,020	124,621	127,333
Inspector General, Office of the	5,392	6,523	6,630	6,883	7,109
Labor Management Committees	23,192	33,170	32,774	34,889	40,016
Public Employment Relations Board	3,309	3,340	3,655	3,761	3,853
Public Integrity, Commission on	3,217	4,016	4,061	4,175	4,295
Regulatory Reform, Governor's Office of	83	0	0	0	0
State, Department of	14,492	15,333	15,849	16,368	16,631
Tax Appeals, Division of	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	308,293	323,535	324,089	334,111	340,826
Technology, Office for	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	5,312	5,989	5,552	5,698	5,866
<b>Functional Total</b>	<u>536,792</u>	<u>606,516</u>	<u>591,724</u>	<u>604,360</u>	<u>620,840</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	120,597	123,618	125,148	128,497	132,124
Executive Chamber	13,256	13,578	13,836	14,773	15,185
Judiciary	1,731,513	1,749,600	1,807,614	1,892,952	1,986,894
Law, Department of	98,360	98,914	96,220	98,947	101,937
Legislature	196,024	217,845	220,399	222,995	225,633
Lieutenant Governor, Office of the	408	614	614	665	680
<b>Functional Total</b>	<u>2,160,158</u>	<u>2,204,169</u>	<u>2,263,831</u>	<u>2,358,829</u>	<u>2,462,453</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,676	0	0	0	0
Long-Term Debt Service	551	0	0	0	0
Miscellaneous	1,447	110,514	(83,146)	(59,325)	44,286
<b>Functional Total</b>	<u>5,674</u>	<u>110,514</u>	<u>(83,146)</u>	<u>(59,325)</u>	<u>44,286</u>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<u><u>7,493,963</u></u>	<u><u>7,735,125</u></u>	<u><u>7,007,311</u></u>	<u><u>7,218,192</u></u>	<u><u>7,558,032</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	18,509	24,538	25,643	26,537	27,104
Economic Development, Department of	11,354	12,601	12,984	13,321	13,576
Financial Services, Department of	0	0	0	0	0
Olympic Regional Development Authority	2,849	2,485	2,522	2,548	2,548
<b>Functional Total</b>	<u>32,712</u>	<u>39,624</u>	<u>41,149</u>	<u>42,406</u>	<u>43,228</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	96,204	80,702	83,754	85,320	86,663
Parks, Recreation and Historic Preservation, Office of	110,931	98,625	100,443	101,784	103,311
<b>Functional Total</b>	<u>210,914</u>	<u>183,118</u>	<u>188,017</u>	<u>191,000</u>	<u>193,956</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	0	500	500	500	500
<b>Functional Total</b>	<u>0</u>	<u>500</u>	<u>500</u>	<u>500</u>	<u>500</u>
<b>HEALTH</b>					
Aging, Office for the	1,931	1,555	1,619	1,701	1,768
Health, Department of	62,393	57,485	70,359	76,864	79,834
<i>Medical Assistance</i>	1,438	500	500	500	500
<i>Public Health</i>	60,955	56,985	69,859	76,364	79,334
Medicaid Inspector General, Office of the	16,030	14,679	15,150	16,025	16,496
<b>Functional Total</b>	<u>80,354</u>	<u>73,719</u>	<u>87,128</u>	<u>94,590</u>	<u>98,098</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	143,835	182,960	171,207	160,048	158,198
<i>OCFS</i>	143,835	182,960	171,207	160,048	158,198
Housing and Community Renewal, Division of	8,692	9,479	9,464	9,762	10,182
Human Rights, Division of	10,679	9,841	9,927	10,207	10,522
Labor, Department of	0	0	0	0	0
National and Community Service	292	210	292	295	295
Prevention of Domestic Violence, Office for	1,040	1,313	1,324	1,356	1,389
Temporary and Disability Assistance, Office of	(3,913)	83,922	85,979	90,656	93,056
<i>All Other</i>	(3,913)	83,922	85,979	90,656	93,056
Welfare Inspector General, Office of	287	293	307	318	325
<b>Functional Total</b>	<u>160,912</u>	<u>288,018</u>	<u>278,500</u>	<u>272,642</u>	<u>273,967</u>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	0	0	0	0	0
<i>OMH</i>	0	0	0	0	0
Quality of Care and Advocacy for Persons With Disabilities, Commission on	2,650	4,183	4,728	4,811	4,901
<b>Functional Total</b>	<u>2,650</u>	<u>4,183</u>	<u>4,728</u>	<u>4,811</u>	<u>4,901</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	1,959,956	1,919,183	1,953,342	1,986,510	2,096,531
Criminal Justice Services, Division of	32,650	33,540	34,141	34,974	35,930
Disaster Assistance	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	6,637	5,750	5,810	6,074	6,366
Judicial Commissions	3,794	4,093	4,124	4,237	4,357
Military and Naval Affairs, Division of	15,798	15,744	15,872	16,077	16,294
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	400,214	517,343	516,653	520,387	528,350
<b>Functional Total</b>	<u>2,430,624</u>	<u>2,498,651</u>	<u>2,532,956</u>	<u>2,571,340</u>	<u>2,690,976</u>
<b>HIGHER EDUCATION</b>					
State University of New York	703,450	547,250	0	0	0
<b>Functional Total</b>	<u>703,450</u>	<u>547,250</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>EDUCATION</b>					
Arts, Council on the	2,266	2,298	2,300	2,346	2,396
Education, Department of	24,983	24,498	24,543	24,875	25,260
<i>All Other</i>	24,983	24,498	24,543	24,875	25,260
<b>Functional Total</b>	<u>27,249</u>	<u>26,796</u>	<u>26,843</u>	<u>27,221</u>	<u>27,656</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	19,252	20,177	20,841	22,212	22,939
Civil Service, Department of	12,935	12,897	14,009	14,357	14,745
Deferred Compensation Board	30	29	29	30	31
Elections, State Board of	4,065	4,144	4,254	4,370	4,495
Employee Relations, Office of	2,529	2,551	2,570	2,646	2,728
General Services, Office of	45,756	47,208	48,009	49,070	50,383
Inspector General, Office of the	5,067	6,083	6,129	6,301	6,485
Labor Management Committees	8,359	5,500	5,504	6,119	6,366
Public Employment Relations Board	2,907	2,938	2,960	3,046	3,138
Public Integrity, Commission on	2,492	3,166	3,190	3,282	3,380
Regulatory Reform, Governor's Office of	80	0	0	0	0
State, Department of	10,688	12,721	13,091	13,468	13,731
Tax Appeals, Division of	2,483	2,900	2,601	2,673	2,727
Taxation and Finance, Department of	249,825	260,866	259,558	267,933	274,648
Technology, Office for	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	4,868	5,050	5,123	5,259	5,416
<b>Functional Total</b>	<u>381,792</u>	<u>399,816</u>	<u>401,463</u>	<u>414,673</u>	<u>425,458</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	93,084	97,964	98,828	101,494	104,421
Executive Chamber	10,210	11,338	11,495	12,238	12,589
Judiciary	1,408,958	1,410,600	1,456,134	1,503,142	1,559,092
Law, Department of	83,740	83,937	83,944	86,364	89,040
Legislature	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	289	480	494	515	543
<b>Functional Total</b>	<u>1,748,163</u>	<u>1,769,603</u>	<u>1,817,419</u>	<u>1,871,526</u>	<u>1,934,716</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	2,091	0	0	0	0
Miscellaneous	51	60,302	(8,358)	5,463	59,074
<b>Functional Total</b>	<u>2,142</u>	<u>60,302</u>	<u>(8,358)</u>	<u>5,463</u>	<u>59,074</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>5,780,962</u>	<u>5,891,580</u>	<u>5,370,345</u>	<u>5,496,172</u>	<u>5,752,530</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	7,599	6,105	6,833	7,146	7,406
Economic Development, Department of	21,753	8,175	7,693	7,959	7,959
Olympic Regional Development Authority	694	444	534	590	590
<b>Functional Total</b>	<u>30,046</u>	<u>14,724</u>	<u>15,060</u>	<u>15,695</u>	<u>15,955</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	520	355	355	355	355
Environmental Conservation, Department of	14,083	9,954	9,954	9,954	9,954
Parks, Recreation and Historic Preservation, Office of	10,709	7,872	7,872	7,872	7,872
<b>Functional Total</b>	<u>25,312</u>	<u>18,181</u>	<u>18,181</u>	<u>18,181</u>	<u>18,181</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	1,022	2,155	2,155	2,155	2,155
<b>Functional Total</b>	<u>1,022</u>	<u>2,155</u>	<u>2,155</u>	<u>2,155</u>	<u>2,155</u>
<b>HEALTH</b>					
Aging, Office for the	206	181	191	201	201
Health, Department of	105,473	151,506	171,006	177,506	177,506
<i>Medical Assistance</i>	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	91,689	100,651	115,151	122,151	122,151
Medicaid Inspector General, Office of the	5,518	6,416	6,746	7,096	7,096
<b>Functional Total</b>	<u>111,197</u>	<u>158,103</u>	<u>177,943</u>	<u>184,803</u>	<u>184,803</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	80,354	100,993	111,706	108,803	107,357
<i>OCFS</i>	80,354	100,993	111,706	108,803	107,357
Housing and Community Renewal, Division of	7,403	5,568	5,574	5,590	5,655
Human Rights, Division of	1,321	914	1,031	1,062	1,092
Labor, Department of	0	0	0	0	0
National and Community Service	2	41	41	42	42
Prevention of Domestic Violence, Office for	216	94	100	107	118
Temporary and Disability Assistance, Office of	43,272	118,577	118,979	125,467	121,237
<i>All Other</i>	43,272	118,577	118,979	125,467	121,237
<b>Functional Total</b>	<u>132,568</u>	<u>226,187</u>	<u>237,431</u>	<u>241,071</u>	<u>235,501</u>
<b>MENTAL HYGIENE</b>					
Mental Health, Office of	108	800	800	800	800
<i>OMH</i>	108	800	800	800	800
Quality of Care and Advocacy for Persons With Disabilities, Commission on	875	967	1,023	1,046	1,071
<b>Functional Total</b>	<u>983</u>	<u>1,767</u>	<u>1,823</u>	<u>1,846</u>	<u>1,871</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	361	274	297	306	316
Correctional Services, Department of	510,226	470,815	490,371	510,846	532,286
Criminal Justice Services, Division of	18,195	18,291	22,725	19,630	20,091
Disaster Assistance	11,126	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	794	0	0	0	0
Judicial Commissions	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	5,110	5,787	5,932	6,080	6,232
State Police, Division of	32,359	36,650	50,552	51,989	56,989
<b>Functional Total</b>	<u>579,356</u>	<u>575,876</u>	<u>601,330</u>	<u>624,909</u>	<u>617,547</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	2,487	0	0	0	0
State University of New York	239,252	135,416	0	0	0
<b>Functional Total</b>	<u>241,739</u>	<u>135,416</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>EDUCATION</b>					
Arts, Council on the	1,822	1,822	1,822	1,822	1,822
Education, Department of	18,429	17,836	19,336	19,336	19,336
<i>All Other</i>	18,429	17,836	19,336	19,336	19,336
<b>Functional Total</b>	<u>20,251</u>	<u>19,658</u>	<u>21,158</u>	<u>21,158</u>	<u>21,158</u>
<b>GENERAL GOVERNMENT</b>					



**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Budget, Division of the	1,383	1,852	1,568	1,736	1,781
Civil Service, Department of	820	678	673	678	683
Deferred Compensation Board	16	24	25	26	26
Elections, State Board of	1,086	805	925	946	967
Employee Relations, Office of	75	81	82	82	83
General Services, Office of	63,747	99,069	82,011	75,551	76,950
Inspector General, Office of the	325	440	501	582	624
Labor Management Committees	14,833	27,670	27,270	28,770	33,650
Public Employment Relations Board	402	402	695	715	715
Public Integrity, Commission on	725	850	871	893	915
Regulatory Reform, Governor's Office of	3	0	0	0	0
State, Department of	3,804	2,612	2,758	2,900	2,900
Tax Appeals, Division of	367	201	212	221	221
Taxation and Finance, Department of	58,468	62,669	64,531	66,178	66,178
Technology, Office for	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	444	939	429	439	450
<b>Functional Total</b>	<u>155,000</u>	<u>206,700</u>	<u>190,261</u>	<u>189,687</u>	<u>195,382</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	27,513	25,654	26,320	27,003	27,703
Executive Chamber	3,046	2,240	2,341	2,535	2,596
Judiciary	322,555	339,000	351,480	389,810	427,802
Law, Department of	14,620	14,977	12,276	12,583	12,897
Legislature	44,142	52,561	53,875	55,222	56,602
Lieutenant Governor, Office of the	119	134	120	150	137
<b>Functional Total</b>	<u>411,995</u>	<u>434,566</u>	<u>446,412</u>	<u>487,303</u>	<u>527,737</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	1,585	0	0	0	0
Long-Term Debt Service	551	0	0	0	0
Miscellaneous	1,396	50,212	(74,788)	(64,788)	(14,788)
<b>Functional Total</b>	<u>3,532</u>	<u>50,212</u>	<u>(74,788)</u>	<u>(64,788)</u>	<u>(14,788)</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>1,713,001</u>	<u>1,843,545</u>	<u>1,636,966</u>	<u>1,722,020</u>	<u>1,805,502</u>

**CASH DISBURSEMENTS BY FUNCTION  
GENERAL FUND  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	0	0	0	0	0
<b>Functional Total</b>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>HIGHER EDUCATION</b>					
State University of New York	203,240	210,055	210,055	210,055	210,055
<b>Functional Total</b>	<u>203,240</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>	<u>210,055</u>
<b>EDUCATION</b>					
Education, Department of	1,586	0	0	0	0
<i>All Other</i>	1,586	0	0	0	0
<b>Functional Total</b>	<u>1,586</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	572,510	559,900	619,332	658,754	692,208
<b>Functional Total</b>	<u>572,510</u>	<u>559,900</u>	<u>619,332</u>	<u>658,754</u>	<u>692,208</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,938,106	3,628,920	3,999,936	4,305,513	4,563,359
Miscellaneous	4,104	4,420	4,420	4,420	4,420
<b>Functional Total</b>	<u>3,942,210</u>	<u>3,633,340</u>	<u>4,004,356</u>	<u>4,309,933</u>	<u>4,567,779</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>4,719,546</u></u>	<u><u>4,403,295</u></u>	<u><u>4,833,743</u></u>	<u><u>5,178,742</u></u>	<u><u>5,470,042</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b><i>Agriculture and Markets, Department of</i></b>	<b>78,458</b>	<b>78,814</b>	<b>79,448</b>	<b>81,328</b>	<b>82,383</b>
Local Assistance Grants	24,603	25,652	24,216	24,216	24,216
State Operations	52,515	51,572	53,512	55,230	56,168
Personal Service	27,412	27,993	29,168	30,180	30,855
Non-Personal Service/Indirect Costs	25,103	23,579	24,344	25,050	25,313
General State Charges	1,340	1,590	1,720	1,882	1,999
<b><i>Alcoholic Beverage Control, Division of</i></b>	<b>16,616</b>	<b>17,859</b>	<b>18,314</b>	<b>18,781</b>	<b>19,225</b>
State Operations	13,044	13,629	13,823	14,020	14,221
Personal Service	7,720	8,185	8,246	8,308	8,370
Non-Personal Service/Indirect Costs	5,324	5,444	5,577	5,712	5,851
General State Charges	3,572	4,230	4,491	4,761	5,004
<b><i>Development Authority of the North Country</i></b>	<b>0</b>	<b>70</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	70	0	0	0
<b><i>Economic Development, Department of</i></b>	<b>103,309</b>	<b>107,018</b>	<b>88,884</b>	<b>78,030</b>	<b>78,285</b>
Local Assistance Grants	69,580	84,264	66,229	54,772	54,772
State Operations	33,729	22,726	22,627	23,230	23,485
Personal Service	11,354	12,704	13,087	13,424	13,679
Non-Personal Service/Indirect Costs	22,375	10,022	9,540	9,806	9,806
General State Charges	0	28	28	28	28
<b><i>Empire State Development Corporation</i></b>	<b>76,487</b>	<b>84,088</b>	<b>71,280</b>	<b>27,800</b>	<b>17,800</b>
Local Assistance Grants	76,487	84,088	71,280	27,800	17,800
<b><i>Energy Research and Development Authority</i></b>	<b>16,938</b>	<b>16,158</b>	<b>16,388</b>	<b>16,388</b>	<b>16,388</b>
Local Assistance Grants	8,140	9,234	9,234	9,234	9,234
State Operations	6,456	5,286	5,396	5,396	5,396
Personal Service	4,776	3,432	3,501	3,501	3,501
Non-Personal Service/Indirect Costs	1,680	1,854	1,895	1,895	1,895
General State Charges	2,342	1,638	1,758	1,758	1,758
<b><i>Financial Services, Department of</i></b>	<b>506,105</b>	<b>486,283</b>	<b>490,712</b>	<b>494,195</b>	<b>498,348</b>
Local Assistance Grants	217,470	216,952	216,952	216,952	216,952
State Operations	210,028	202,401	206,774	209,007	211,596
Personal Service	142,332	133,847	136,495	138,669	141,191
Non-Personal Service/Indirect Costs	67,696	68,554	70,279	70,338	70,405
General State Charges	78,607	66,930	66,986	68,236	69,800
<b><i>Olympic Regional Development Authority</i></b>	<b>3,596</b>	<b>3,079</b>	<b>3,206</b>	<b>3,288</b>	<b>3,288</b>
State Operations	3,596	3,079	3,206	3,288	3,288
Personal Service	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	747	594	684	740	740
<b><i>Public Service Department</i></b>	<b>66,124</b>	<b>70,324</b>	<b>79,203</b>	<b>83,331</b>	<b>87,373</b>
Local Assistance Grants	0	500	500	500	500
State Operations	47,386	48,889	54,419	56,343	58,195
Personal Service	38,749	40,507	44,591	46,220	47,964
Non-Personal Service/Indirect Costs	8,637	8,382	9,828	10,123	10,231
General State Charges	18,738	20,935	24,284	26,488	28,678
<b><i>Racing and Wagering Board, State</i></b>	<b>19,553</b>	<b>18,248</b>	<b>18,513</b>	<b>18,845</b>	<b>19,080</b>
State Operations	17,270	15,194	15,226	15,333	15,568
Personal Service	10,940	9,059	9,058	9,133	9,368
Non-Personal Service/Indirect Costs	6,330	6,135	6,168	6,200	6,200
General State Charges	2,283	3,054	3,287	3,512	3,512
<b>Functional Total</b>	<b>887,186</b>	<b>881,941</b>	<b>865,948</b>	<b>821,986</b>	<b>822,170</b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b><i>Adirondack Park Agency</i></b>	<b>4,299</b>	<b>4,146</b>	<b>4,175</b>	<b>4,251</b>	<b>4,337</b>
State Operations	4,299	4,146	4,175	4,251	4,337
Personal Service	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	520	355	355	355	355
<b><i>Environmental Conservation, Department of</i></b>	<b>284,724</b>	<b>277,824</b>	<b>275,525</b>	<b>277,903</b>	<b>281,559</b>
Local Assistance Grants	4,760	6,325	4,802	4,802	4,802
State Operations	245,162	234,651	234,864	236,420	239,216
Personal Service	188,153	174,800	176,236	179,731	182,640

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Non-Personal Service/Indirect Costs	57,009	59,851	58,628	56,689	56,576
General State Charges	34,802	36,848	35,859	36,681	37,541
<b>Environmental Facilities Corporation</b>	<b>8,893</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	6,504	0	0	0	0
Personal Service	5,454	0	0	0	0
Non-Personal Service/Indirect Costs	1,050	0	0	0	0
General State Charges	2,389	0	0	0	0
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b>201,969</b>	<b>188,474</b>	<b>182,926</b>	<b>185,474</b>	<b>187,477</b>
Local Assistance Grants	12,019	16,112	7,600	7,600	7,600
State Operations	183,216	163,677	166,499	168,984	170,943
Personal Service	138,928	126,634	129,456	131,306	133,265
Non-Personal Service/Indirect Costs	44,288	37,043	37,043	37,678	37,678
General State Charges	655	3,685	3,827	3,890	3,934
Capital Projects	6,079	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>499,885</b>	<b>470,444</b>	<b>462,626</b>	<b>467,628</b>	<b>473,373</b>
<b>TRANSPORTATION</b>					
<b>Motor Vehicles, Department of</b>	<b>92,162</b>	<b>95,012</b>	<b>101,994</b>	<b>105,772</b>	<b>109,758</b>
State Operations	67,400	70,013	73,915	75,576	77,460
Personal Service	48,313	50,028	51,560	52,689	54,020
Non-Personal Service/Indirect Costs	19,087	19,985	22,355	22,887	23,440
General State Charges	24,762	24,999	28,079	30,196	32,298
<b>Transportation, Department of</b>	<b>4,276,720</b>	<b>4,407,842</b>	<b>4,587,354</b>	<b>4,682,313</b>	<b>4,777,530</b>
Local Assistance Grants	4,229,733	4,377,939	4,556,412	4,650,412	4,744,612
State Operations	35,857	24,952	25,548	26,111	26,722
Personal Service	9,818	10,206	10,457	10,699	10,969
Non-Personal Service/Indirect Costs	26,039	14,746	15,091	15,412	15,753
General State Charges	10,781	4,951	5,394	5,790	6,196
Capital Projects	349	0	0	0	0
<b>Functional Total</b>	<b>4,368,882</b>	<b>4,502,854</b>	<b>4,689,348</b>	<b>4,788,085</b>	<b>4,887,288</b>
<b>HEALTH</b>					
<b>Aging, Office for the</b>	<b>113,753</b>	<b>117,434</b>	<b>120,063</b>	<b>126,859</b>	<b>133,871</b>
Local Assistance Grants	111,616	115,697	118,252	124,956	131,901
State Operations	2,137	1,737	1,811	1,903	1,970
Personal Service	1,931	1,555	1,619	1,701	1,768
Non-Personal Service/Indirect Costs	206	182	192	202	202
<b>Health, Department of</b>	<b>17,876,268</b>	<b>18,433,722</b>	<b>19,323,310</b>	<b>19,971,124</b>	<b>20,671,654</b>
<b>Medical Assistance</b>	<b>14,778,525</b>	<b>15,342,913</b>	<b>16,017,013</b>	<b>16,601,713</b>	<b>17,507,579</b>
Local Assistance Grants	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
State Operations	15,222	51,355	56,355	55,855	55,855
Personal Service	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	13,784	50,855	55,855	55,355	55,355
<b>Medicaid Administration</b>	<b>533,293</b>	<b>568,750</b>	<b>552,250</b>	<b>502,750</b>	<b>443,250</b>
Local Assistance Grants	533,293	568,750	552,250	502,750	443,250
<b>Public Health</b>	<b>2,564,450</b>	<b>2,522,059</b>	<b>2,754,047</b>	<b>2,866,661</b>	<b>2,720,825</b>
Local Assistance Grants	1,992,440	1,924,931	2,100,814	2,190,267	2,037,215
State Operations	540,034	555,052	606,935	628,783	634,401
Personal Service	266,560	284,924	309,416	321,707	327,378
Non-Personal Service/Indirect Costs	273,474	270,128	297,519	307,076	307,023
General State Charges	31,976	42,076	46,298	47,611	49,209
<b>Medicaid Inspector General, Office of the</b>	<b>25,284</b>	<b>24,795</b>	<b>25,596</b>	<b>26,821</b>	<b>27,292</b>
State Operations	25,258	24,795	25,596	26,821	27,292
Personal Service	19,738	18,379	18,850	19,725	20,196
Non-Personal Service/Indirect Costs	5,520	6,416	6,746	7,096	7,096
General State Charges	26	0	0	0	0
<b>Stem Cell and Innovation</b>	<b>43,702</b>	<b>43,500</b>	<b>63,673</b>	<b>61,373</b>	<b>56,500</b>
State Operations	43,470	43,500	63,673	61,373	56,500
Personal Service	441	0	0	0	0
Non-Personal Service/Indirect Costs	43,029	43,500	63,673	61,373	56,500
General State Charges	232	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Functional Total</b>	<b>18,059,007</b>	<b>18,619,451</b>	<b>19,532,642</b>	<b>20,186,177</b>	<b>20,889,317</b>
<b>SOCIAL WELFARE</b>					
<b>Children and Family Services, Office of</b>	<b>1,898,337</b>	<b>1,861,376</b>	<b>2,208,484</b>	<b>2,294,293</b>	<b>2,394,489</b>
<b>OCFS</b>	<b>1,785,169</b>	<b>1,767,925</b>	<b>2,091,116</b>	<b>2,172,320</b>	<b>2,267,766</b>
Local Assistance Grants	1,490,719	1,449,660	1,773,922	1,868,214	1,966,849
State Operations	292,769	315,749	314,574	301,454	298,224
Personal Service	177,205	186,486	174,500	163,438	161,653
Non-Personal Service/Indirect Costs	115,564	129,263	140,074	138,016	136,571
General State Charges	1,681	2,516	2,620	2,652	2,693
<b>OCFS - Other</b>	<b>113,168</b>	<b>93,451</b>	<b>117,368</b>	<b>121,973</b>	<b>126,723</b>
Local Assistance Grants	113,168	93,451	117,368	121,973	126,723
<b>Housing and Community Renewal, Division of</b>	<b>115,024</b>	<b>117,862</b>	<b>113,538</b>	<b>115,653</b>	<b>118,093</b>
Local Assistance Grants	43,984	49,969	44,227	44,227	44,227
State Operations	55,910	51,392	51,781	52,756	54,285
Personal Service	40,611	40,890	41,148	41,986	43,395
Non-Personal Service/Indirect Costs	15,299	10,502	10,633	10,770	10,890
General State Charges	15,130	16,501	17,530	18,670	19,581
<b>Human Rights, Division of</b>	<b>12,000</b>	<b>10,755</b>	<b>10,958</b>	<b>11,269</b>	<b>11,614</b>
State Operations	12,000	10,755	10,958	11,269	11,614
Personal Service	10,679	9,841	9,927	10,207	10,522
Non-Personal Service/Indirect Costs	1,321	914	1,031	1,062	1,092
<b>Labor, Department of</b>	<b>60,962</b>	<b>94,361</b>	<b>61,295</b>	<b>60,842</b>	<b>63,027</b>
Local Assistance Grants	3,665	29,987	2,875	150	150
State Operations	42,274	50,074	43,154	44,232	45,303
Personal Service	29,954	27,671	28,030	28,720	29,392
Non-Personal Service/Indirect Costs	12,320	22,403	15,124	15,512	15,911
General State Charges	15,023	14,300	15,266	16,460	17,574
<b>National and Community Service</b>	<b>332</b>	<b>601</b>	<b>683</b>	<b>687</b>	<b>687</b>
Local Assistance Grants	38	350	350	350	350
State Operations	294	251	333	337	337
Personal Service	292	210	292	295	295
Non-Personal Service/Indirect Costs	2	41	41	42	42
<b>Prevention of Domestic Violence, Office for</b>	<b>1,802</b>	<b>2,094</b>	<b>2,111</b>	<b>2,150</b>	<b>2,194</b>
Local Assistance Grants	541	685	685	685	685
State Operations	1,261	1,409	1,426	1,465	1,509
Personal Service	1,040	1,313	1,324	1,356	1,389
Non-Personal Service/Indirect Costs	221	96	102	109	120
<b>Temporary and Disability Assistance, Office of</b>	<b>1,564,788</b>	<b>1,690,465</b>	<b>1,748,050</b>	<b>1,657,564</b>	<b>1,683,847</b>
<b>Welfare Assistance</b>	<b>1,266,866</b>	<b>1,380,273</b>	<b>1,440,999</b>	<b>1,339,348</b>	<b>1,366,061</b>
Local Assistance Grants	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<b>All Other</b>	<b>297,922</b>	<b>310,192</b>	<b>307,051</b>	<b>318,216</b>	<b>317,786</b>
Local Assistance Grants	146,587	107,493	101,893	101,893	103,293
State Operations	150,451	202,699	205,158	216,323	214,493
Personal Service	51,870	83,922	85,979	90,656	93,056
Non-Personal Service/Indirect Costs	98,581	118,777	119,179	125,667	121,437
General State Charges	884	0	0	0	0
<b>Welfare Inspector General, Office of</b>	<b>441</b>	<b>1,407</b>	<b>1,427</b>	<b>1,456</b>	<b>1,468</b>
State Operations	395	1,186	1,206	1,234	1,244
Personal Service	364	701	721	738	748
Non-Personal Service/Indirect Costs	31	485	485	496	496
General State Charges	46	221	221	222	224
<b>Workers' Compensation Board</b>	<b>199,035</b>	<b>196,210</b>	<b>198,928</b>	<b>205,530</b>	<b>212,490</b>
State Operations	157,884	150,979	149,735	152,632	155,909
Personal Service	85,890	87,805	90,328	92,301	94,633
Non-Personal Service/Indirect Costs	71,994	63,174	59,407	60,331	61,276
General State Charges	41,151	45,231	49,193	52,898	56,581
<b>Functional Total</b>	<b>3,852,721</b>	<b>3,975,131</b>	<b>4,345,474</b>	<b>4,349,444</b>	<b>4,487,909</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>MENTAL HYGIENE</b>					
<b>Alcoholism and Substance Abuse Services, Office of</b>	<b>410,589</b>	<b>416,223</b>	<b>443,762</b>	<b>466,041</b>	<b>490,469</b>
<b>OASAS</b>	<b>318,700</b>	<b>327,068</b>	<b>352,443</b>	<b>371,574</b>	<b>391,971</b>
Local Assistance Grants	274,694	282,009	305,826	322,446	339,648
State Operations	31,920	32,662	33,320	34,549	36,224
Personal Service	22,801	24,466	24,854	25,830	27,263
Non-Personal Service/Indirect Costs	9,119	8,196	8,466	8,719	8,961
General State Charges	12,086	12,397	13,297	14,579	16,099
<b>OASAS - Other</b>	<b>91,889</b>	<b>89,155</b>	<b>91,319</b>	<b>94,467</b>	<b>98,498</b>
Local Assistance Grants	32,151	32,680	32,680	32,680	32,680
State Operations	43,751	40,731	41,752	43,270	45,366
Personal Service	32,119	30,465	31,553	32,794	34,616
Non-Personal Service/Indirect Costs	11,632	10,266	10,199	10,476	10,750
General State Charges	15,987	15,744	16,887	18,517	20,452
<b>Mental Health, Office of</b>	<b>3,027,241</b>	<b>3,048,844</b>	<b>3,309,382</b>	<b>3,567,752</b>	<b>3,800,951</b>
<b>OMH</b>	<b>1,143,914</b>	<b>1,206,693</b>	<b>1,365,914</b>	<b>1,507,427</b>	<b>1,601,012</b>
Local Assistance Grants	716,057	704,961	834,300	941,444	1,013,408
State Operations	306,563	371,074	374,845	392,927	400,159
Personal Service	253,135	291,415	292,143	310,583	317,505
Non-Personal Service/Indirect Costs	53,428	79,659	82,702	82,344	82,654
General State Charges	121,294	130,658	156,769	173,056	187,445
<b>OMH - Other</b>	<b>1,883,327</b>	<b>1,842,151</b>	<b>1,943,468</b>	<b>2,060,325</b>	<b>2,199,939</b>
Local Assistance Grants	408,148	384,595	413,011	439,833	472,146
State Operations	1,066,389	1,023,878	1,077,659	1,127,016	1,181,028
Personal Service	827,902	815,944	845,777	875,740	928,287
Non-Personal Service/Indirect Costs	238,487	207,934	231,882	251,276	252,741
General State Charges	408,790	433,678	452,798	493,476	546,765
<b>Mental Hygiene, Department of</b>	<b>411</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	411	0	0	0	0
Non-Personal Service/Indirect Costs	411	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>4,331,480</b>	<b>4,313,922</b>	<b>4,650,565</b>	<b>4,848,909</b>	<b>5,074,913</b>
<b>OPWDD</b>	<b>903,732</b>	<b>435,429</b>	<b>479,595</b>	<b>495,695</b>	<b>505,147</b>
Local Assistance Grants	692,788	435,253	479,414	495,514	504,966
State Operations	106,952	176	181	181	181
Personal Service	72,227	0	0	0	0
Non-Personal Service/Indirect Costs	34,725	176	181	181	181
General State Charges	103,992	0	0	0	0
<b>OPWDD - Other</b>	<b>3,427,748</b>	<b>3,878,493</b>	<b>4,170,970</b>	<b>4,353,214</b>	<b>4,569,766</b>
Local Assistance Grants	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
State Operations	1,378,983	1,484,984	1,542,333	1,579,311	1,665,144
Personal Service	1,052,886	1,122,181	1,166,099	1,192,383	1,268,076
Non-Personal Service/Indirect Costs	326,097	362,803	376,234	386,928	397,068
General State Charges	417,127	594,100	647,238	698,521	767,874
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>7,584</b>	<b>9,505</b>	<b>10,258</b>	<b>10,543</b>	<b>10,844</b>
Local Assistance Grants	560	620	620	620	620
State Operations	5,948	7,698	8,368	8,548	8,743
Personal Service	4,856	6,480	7,090	7,241	7,404
Non-Personal Service/Indirect Costs	1,092	1,218	1,278	1,307	1,339
General State Charges	1,076	1,187	1,270	1,375	1,481
<b>Functional Total</b>	<b>7,777,305</b>	<b>7,788,494</b>	<b>8,413,967</b>	<b>8,893,245</b>	<b>9,377,177</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,251</b>	<b>2,672</b>	<b>2,711</b>	<b>2,787</b>	<b>2,864</b>
State Operations	2,251	2,672	2,711	2,787	2,864
Personal Service	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	361	274	297	306	316
<b>Correctional Services, Department of</b>	<b>2,477,126</b>	<b>2,397,518</b>	<b>2,451,282</b>	<b>2,504,925</b>	<b>2,636,386</b>
Local Assistance Grants	5,594	6,051	6,000	6,000	6,000
State Operations	2,471,532	2,391,467	2,445,282	2,498,925	2,630,386

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Personal Service	1,959,956	1,919,183	1,953,342	1,986,510	2,096,531
Non-Personal Service/Indirect Costs	511,576	472,284	491,940	512,415	533,855
General State Charges	0	0	0	0	0
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>
Local Assistance Grants	0	11,500	11,500	11,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>215,019</b>	<b>230,926</b>	<b>227,421</b>	<b>225,347</b>	<b>226,792</b>
Local Assistance Grants	151,076	159,811	154,751	154,911	154,911
State Operations	63,882	70,920	72,462	70,210	71,639
Personal Service	32,972	33,919	34,524	35,363	36,326
Non-Personal Service/Indirect Costs	30,910	37,001	37,938	34,847	35,313
General State Charges	61	195	208	226	242
<b>Disaster Assistance</b>	<b>20,811</b>	<b>42,700</b>	<b>30,000</b>	<b>34,500</b>	<b>0</b>
State Operations	20,811	42,700	30,000	34,500	0
Personal Service	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	11,126	42,700	30,000	34,500	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>115,925</b>	<b>147,299</b>	<b>151,413</b>	<b>131,526</b>	<b>120,955</b>
Local Assistance Grants	37,944	97,923	113,739	97,264	85,523
State Operations	77,861	48,671	36,969	33,557	34,727
Personal Service	13,843	15,487	15,632	16,148	16,729
Non-Personal Service/Indirect Costs	64,018	33,184	21,337	17,409	17,998
General State Charges	120	705	705	705	705
<b>Indigent Legal Services, Office of</b>	<b>62,701</b>	<b>75,144</b>	<b>78,588</b>	<b>78,631</b>	<b>78,672</b>
Local Assistance Grants	62,292	74,000	77,000	77,000	77,000
State Operations	326	859	1,151	1,167	1,183
Personal Service	259	569	802	810	818
Non-Personal Service/Indirect Costs	67	290	349	357	365
General State Charges	83	285	437	464	489
<b>Judicial Commissions</b>	<b>4,979</b>	<b>5,452</b>	<b>5,577</b>	<b>5,795</b>	<b>5,990</b>
State Operations	4,979	5,452	5,577	5,795	5,990
Personal Service	3,794	4,093	4,124	4,237	4,357
Non-Personal Service/Indirect Costs	1,185	1,359	1,453	1,558	1,633
<b>Military and Naval Affairs, Division of</b>	<b>31,747</b>	<b>28,061</b>	<b>28,189</b>	<b>28,543</b>	<b>28,914</b>
Local Assistance Grants	3,761	867	850	850	850
State Operations	27,440	26,944	27,089	27,443	27,814
Personal Service	17,152	16,525	16,655	16,861	17,080
Non-Personal Service/Indirect Costs	10,288	10,419	10,434	10,582	10,734
General State Charges	546	250	250	250	250
<b>Public Security and Emergency Response</b>	<b>0</b>	<b>600</b>	<b>600</b>	<b>600</b>	<b>600</b>
State Operations	0	600	600	600	600
Personal Service	0	600	600	600	600
<b>State Police, Division of</b>	<b>630,378</b>	<b>664,349</b>	<b>673,519</b>	<b>680,091</b>	<b>694,075</b>
State Operations	611,384	643,067	649,446	654,861	667,845
Personal Service	557,865	565,747	568,523	572,436	580,410
Non-Personal Service/Indirect Costs	53,519	77,320	80,923	82,425	87,435
General State Charges	18,994	21,282	24,073	25,230	26,230
<b>Statewide Financial System</b>	<b>17,596</b>	<b>52,601</b>	<b>51,525</b>	<b>52,826</b>	<b>54,164</b>
State Operations	17,596	52,601	51,525	52,826	54,164
Personal Service	7,554	10,814	10,895	10,977	11,060
Non-Personal Service/Indirect Costs	10,042	41,787	40,630	41,849	43,104
General State Charges	0	0	0	0	0
<b>Statewide Wireless Network</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Victim Services, Office of</b>	<b>35,194</b>	<b>32,741</b>	<b>34,931</b>	<b>35,156</b>	<b>35,397</b>
Local Assistance Grants	29,239	26,182	28,182	28,182	28,182
State Operations	4,138	4,782	4,862	4,974	5,113
Personal Service	3,109	3,866	3,931	4,026	4,148
Non-Personal Service/Indirect Costs	1,029	916	931	948	965
General State Charges	1,817	1,777	1,887	2,000	2,102

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Functional Total</b>	<b>3,613,727</b>	<b>3,691,563</b>	<b>3,747,256</b>	<b>3,792,227</b>	<b>3,897,309</b>
<b>HIGHER EDUCATION</b>					
<b>City University of New York</b>	<b>1,316,602</b>	<b>1,328,567</b>	<b>1,454,137</b>	<b>1,519,338</b>	<b>1,589,978</b>
Local Assistance Grants	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
State Operations	107,837	103,231	105,433	107,683	109,983
Personal Service	74,043	75,289	76,792	78,326	79,892
Non-Personal Service/Indirect Costs	33,794	27,942	28,641	29,357	30,091
General State Charges	6,355	6,152	6,337	6,527	6,723
<b>Higher Education - Miscellaneous</b>	<b>449</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>
State Operations	376	1,201	1,201	1,201	1,201
Personal Service	207	198	198	198	198
Non-Personal Service/Indirect Costs	169	1,003	1,003	1,003	1,003
General State Charges	73	99	99	99	99
<b>Higher Education Services Corporation, New York State</b>	<b>1,000,527</b>	<b>1,020,044</b>	<b>1,088,021</b>	<b>1,103,725</b>	<b>1,117,935</b>
Local Assistance Grants	923,514	935,933	1,001,349	1,014,412	1,025,891
State Operations	64,233	68,980	70,309	71,976	73,821
Personal Service	28,789	30,166	30,554	31,247	32,058
Non-Personal Service/Indirect Costs	35,444	38,814	39,755	40,729	41,763
General State Charges	12,780	15,131	16,363	17,337	18,223
<b>State University Construction Fund</b>	<b>22,625</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	16,809	0	0	0	0
Personal Service	13,612	0	0	0	0
Non-Personal Service/Indirect Costs	3,197	0	0	0	0
General State Charges	5,816	0	0	0	0
<b>State University of New York</b>	<b>6,471,148</b>	<b>6,570,724</b>	<b>6,704,289</b>	<b>6,866,584</b>	<b>7,026,058</b>
Local Assistance Grants	481,591	462,404	468,051	468,051	468,051
State Operations	5,429,621	5,521,381	5,630,499	5,772,996	5,911,737
Personal Service	3,324,528	3,377,148	3,428,874	3,519,554	3,606,366
Non-Personal Service/Indirect Costs	2,105,093	2,144,233	2,201,625	2,253,442	2,305,371
General State Charges	559,936	586,939	605,739	625,537	646,270
<b>Functional Total</b>	<b>8,811,351</b>	<b>8,920,635</b>	<b>9,247,747</b>	<b>9,490,947</b>	<b>9,735,271</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>33,659</b>	<b>40,053</b>	<b>36,055</b>	<b>36,101</b>	<b>36,151</b>
Local Assistance Grants	29,571	35,933	31,933	31,933	31,933
State Operations	4,088	4,120	4,122	4,168	4,218
Personal Service	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,822	1,822	1,822	1,822	1,822
<b>Education, Department of</b>	<b>24,749,120</b>	<b>25,428,883</b>	<b>26,530,343</b>	<b>27,597,392</b>	<b>28,725,583</b>
<b>School Aid</b>	<b>19,661,924</b>	<b>20,039,436</b>	<b>20,910,635</b>	<b>21,724,727</b>	<b>22,671,362</b>
Local Assistance Grants	19,661,924	20,039,436	20,910,635	21,724,727	22,671,362
<b>STAR Property Tax Relief</b>	<b>3,232,883</b>	<b>3,276,067</b>	<b>3,459,375</b>	<b>3,641,726</b>	<b>3,743,568</b>
Local Assistance Grants	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<b>Special Education Categorical Programs</b>	<b>1,175,990</b>	<b>1,357,636</b>	<b>1,455,616</b>	<b>1,529,216</b>	<b>1,604,116</b>
Local Assistance Grants	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<b>All Other</b>	<b>678,323</b>	<b>755,744</b>	<b>704,717</b>	<b>701,723</b>	<b>706,537</b>
Local Assistance Grants	521,878	603,382	543,526	536,119	536,904
State Operations	126,159	122,711	128,963	130,949	132,920
Personal Service	80,475	81,412	84,156	85,682	87,317
Non-Personal Service/Indirect Costs	45,684	41,299	44,807	45,267	45,603
General State Charges	30,286	29,651	32,228	34,655	36,713
<b>Functional Total</b>	<b>24,782,779</b>	<b>25,468,936</b>	<b>26,566,398</b>	<b>27,633,493</b>	<b>28,761,734</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>32,762</b>	<b>33,550</b>	<b>39,246</b>	<b>41,937</b>	<b>43,143</b>
State Operations	30,681	31,253	36,596	39,063	40,049



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Personal Service	23,829	24,961	25,768	27,287	28,166
Non-Personal Service/Indirect Costs	6,852	6,292	10,828	11,776	11,883
General State Charges	2,081	2,297	2,650	2,874	3,094
<b>Civil Service, Department of</b>	<b>14,836</b>	<b>14,904</b>	<b>16,311</b>	<b>16,775</b>	<b>17,179</b>
State Operations	14,595	14,736	16,143	16,603	17,003
Personal Service	13,284	13,247	14,359	14,714	15,109
Non-Personal Service/Indirect Costs	1,311	1,489	1,784	1,889	1,894
General State Charges	241	168	168	172	176
<b>Deferred Compensation Board</b>	<b>621</b>	<b>796</b>	<b>823</b>	<b>858</b>	<b>867</b>
State Operations	457	605	615	633	642
Personal Service	378	392	396	408	417
Non-Personal Service/Indirect Costs	79	213	219	225	225
General State Charges	164	191	208	225	225
<b>Elections, State Board of</b>	<b>9,815</b>	<b>7,814</b>	<b>5,179</b>	<b>35,316</b>	<b>5,462</b>
Local Assistance Grants	415	2,700	0	30,000	0
State Operations	9,400	5,114	5,179	5,316	5,462
Personal Service	4,065	4,144	4,254	4,370	4,495
Non-Personal Service/Indirect Costs	5,335	970	925	946	967
<b>Employee Relations, Office of</b>	<b>2,604</b>	<b>2,688</b>	<b>2,710</b>	<b>2,786</b>	<b>2,869</b>
State Operations	2,604	2,688	2,710	2,786	2,869
Personal Service	2,529	2,551	2,570	2,646	2,728
Non-Personal Service/Indirect Costs	75	137	140	140	141
<b>General Services, Office of</b>	<b>121,489</b>	<b>156,256</b>	<b>140,381</b>	<b>135,345</b>	<b>138,371</b>
Local Assistance Grants	0	19	0	0	0
State Operations	119,994	154,336	138,379	133,201	136,087
Personal Service	48,873	50,886	51,859	52,985	54,378
Non-Personal Service/Indirect Costs	71,121	103,450	86,520	80,216	81,709
General State Charges	1,495	1,901	2,002	2,144	2,284
<b>Inspector General, Office of the</b>	<b>5,409</b>	<b>6,610</b>	<b>6,717</b>	<b>6,970</b>	<b>7,196</b>
State Operations	5,409	6,610	6,717	6,970	7,196
Personal Service	5,067	6,083	6,129	6,301	6,485
Non-Personal Service/Indirect Costs	342	527	588	669	711
<b>Labor Management Committees</b>	<b>23,215</b>	<b>33,470</b>	<b>33,074</b>	<b>35,189</b>	<b>40,316</b>
State Operations	23,215	33,470	33,074	35,189	40,316
Personal Service	8,359	5,500	5,504	6,119	6,366
Non-Personal Service/Indirect Costs	14,856	27,970	27,570	29,070	33,950
<b>Lottery, Division of the</b>	<b>150,306</b>	<b>172,990</b>	<b>169,076</b>	<b>173,058</b>	<b>173,456</b>
State Operations	140,484	161,247	155,197	159,080	159,478
Personal Service	20,276	24,676	25,029	25,495	25,893
Non-Personal Service/Indirect Costs	120,208	136,571	130,168	133,585	133,585
General State Charges	9,822	11,743	13,879	13,978	13,978
<b>Public Employment Relations Board</b>	<b>3,675</b>	<b>3,731</b>	<b>3,857</b>	<b>3,804</b>	<b>3,896</b>
State Operations	3,675	3,731	3,857	3,804	3,896
Personal Service	3,197	3,290	3,119	3,046	3,138
Non-Personal Service/Indirect Costs	478	441	738	758	758
<b>Public Integrity, Commission on</b>	<b>3,217</b>	<b>4,016</b>	<b>4,061</b>	<b>4,175</b>	<b>4,295</b>
State Operations	3,217	4,016	4,061	4,175	4,295
Personal Service	2,492	3,166	3,190	3,282	3,380
Non-Personal Service/Indirect Costs	725	850	871	893	915
<b>Regulatory Reform, Governor's Office of</b>	<b>83</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	83	0	0	0	0
Personal Service	80	0	0	0	0
Non-Personal Service/Indirect Costs	3	0	0	0	0
<b>State, Department of</b>	<b>61,000</b>	<b>70,663</b>	<b>60,147</b>	<b>62,133</b>	<b>63,054</b>
Local Assistance Grants	12,916	16,118	3,877	3,877	3,877
State Operations	40,716	45,662	46,581	47,676	48,348
Personal Service	28,357	31,340	32,307	33,039	33,700
Non-Personal Service/Indirect Costs	12,359	14,322	14,274	14,637	14,648
General State Charges	7,368	8,898	9,704	10,595	10,844
Capital Projects	0	(15)	(15)	(15)	(15)
<b>Tax Appeals, Division of</b>	<b>2,850</b>	<b>3,101</b>	<b>2,813</b>	<b>2,894</b>	<b>2,948</b>
State Operations	2,850	3,101	2,813	2,894	2,948

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Personal Service	2,483	2,900	2,601	2,673	2,727
Non-Personal Service/Indirect Costs	367	201	212	221	221
<b>Taxation and Finance, Department of</b>	<b>401,033</b>	<b>415,599</b>	<b>418,545</b>	<b>429,888</b>	<b>436,603</b>
Local Assistance Grants	6,487	926	926	926	926
State Operations	382,913	393,151	394,799	405,915	412,630
Personal Service	300,408	301,881	300,966	309,755	316,470
Non-Personal Service/Indirect Costs	82,505	91,270	93,833	96,160	96,160
General State Charges	11,633	21,522	22,820	23,047	23,047
<b>Technology, Office for</b>	<b>19,129</b>	<b>21,994</b>	<b>21,305</b>	<b>23,877</b>	<b>23,485</b>
Local Assistance Grants	171	0	0	0	0
State Operations	18,958	21,994	21,305	23,877	23,485
Personal Service	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	8,502	8,408	7,710	9,970	9,239
<b>Veterans' Affairs, Division of</b>	<b>12,884</b>	<b>14,106</b>	<b>12,949</b>	<b>13,155</b>	<b>13,383</b>
Local Assistance Grants	7,572	8,117	7,397	7,457	7,517
State Operations	5,312	5,989	5,552	5,698	5,866
Personal Service	4,868	5,050	5,123	5,259	5,416
Non-Personal Service/Indirect Costs	444	939	429	439	450
<b>Functional Total</b>	<b>864,928</b>	<b>962,288</b>	<b>937,194</b>	<b>988,160</b>	<b>976,523</b>
<b>ELECTED OFFICIALS</b>					
<b>Audit and Control, Department of</b>	<b>169,817</b>	<b>173,479</b>	<b>175,736</b>	<b>179,315</b>	<b>183,489</b>
Local Assistance Grants	32,005	32,024	32,024	32,024	32,024
State Operations	135,123	139,394	142,190	145,658	149,721
Personal Service	103,298	108,671	109,385	112,012	115,214
Non-Personal Service/Indirect Costs	31,825	30,723	32,805	33,646	34,507
General State Charges	2,689	2,061	1,522	1,633	1,744
<b>Executive Chamber</b>	<b>13,256</b>	<b>13,578</b>	<b>13,836</b>	<b>14,773</b>	<b>15,185</b>
State Operations	13,256	13,578	13,836	14,773	15,185
Personal Service	10,210	11,338	11,495	12,238	12,589
Non-Personal Service/Indirect Costs	3,046	2,240	2,341	2,535	2,596
<b>Judiciary</b>	<b>2,543,183</b>	<b>2,546,998</b>	<b>2,679,944</b>	<b>2,805,004</b>	<b>2,934,500</b>
Local Assistance Grants	114,108	106,700	121,700	121,700	121,700
State Operations	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Personal Service	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Non-Personal Service/Indirect Costs	362,957	387,650	400,130	438,460	476,752
General State Charges	601,767	584,398	643,830	683,352	717,306
<b>Law, Department of</b>	<b>168,624</b>	<b>179,139</b>	<b>177,667</b>	<b>183,089</b>	<b>188,749</b>
State Operations	160,461	165,109	162,564	166,796	171,368
Personal Service	106,462	110,920	110,913	113,920	117,232
Non-Personal Service/Indirect Costs	53,999	54,189	51,651	52,876	54,136
General State Charges	8,163	14,030	15,103	16,293	17,381
<b>Legislature</b>	<b>197,163</b>	<b>218,795</b>	<b>221,349</b>	<b>223,945</b>	<b>226,583</b>
State Operations	197,163	218,795	221,349	223,945	226,583
Personal Service	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	45,281	53,511	54,825	56,172	57,552
<b>Lieutenant Governor, Office of the</b>	<b>408</b>	<b>614</b>	<b>614</b>	<b>665</b>	<b>680</b>
State Operations	408	614	614	665	680
Personal Service	289	480	494	515	543
Non-Personal Service/Indirect Costs	119	134	120	150	137
<b>Functional Total</b>	<b>3,092,451</b>	<b>3,132,603</b>	<b>3,269,146</b>	<b>3,406,791</b>	<b>3,549,186</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>721,034</b>	<b>739,655</b>	<b>757,414</b>	<b>772,589</b>	<b>775,357</b>
Local Assistance Grants	721,034	739,655	757,414	772,589	775,357
<b>Efficiency Incentive Grants Program</b>	<b>4,714</b>	<b>9,636</b>	<b>3,805</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	4,714	9,636	3,805	0	0
<b>Miscellaneous Financial Assistance</b>	<b>1,960</b>	<b>2,000</b>	<b>1,960</b>	<b>1,960</b>	<b>1,960</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Local Assistance Grants	1,960	2,000	1,960	1,960	1,960
<b>Municipalities with VLT Facilities</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>
Local Assistance Grants	25,867	25,867	25,867	25,867	25,867
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b>753,792</b>	<b>777,376</b>	<b>789,264</b>	<b>800,634</b>	<b>803,402</b>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b>3,941,782</b>	<b>3,628,920</b>	<b>3,999,936</b>	<b>4,305,513</b>	<b>4,563,359</b>
State Operations	3,676	0	0	0	0
Personal Service	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,585	0	0	0	0
General State Charges	3,938,106	3,628,920	3,999,936	4,305,513	4,563,359
<b>Long-Term Debt Service</b>	<b>5,909,754</b>	<b>6,111,051</b>	<b>6,447,502</b>	<b>6,569,083</b>	<b>6,702,267</b>
State Operations	45,732	46,925	46,925	46,925	46,925
Non-Personal Service/Indirect Costs	45,732	46,925	46,925	46,925	46,925
Debt Service	5,864,022	6,064,126	6,400,577	6,522,158	6,655,342
<b>Miscellaneous</b>	<b>(34,927)</b>	<b>(13,301)</b>	<b>(127,259)</b>	<b>(150,735)</b>	<b>29,050</b>
Local Assistance Grants	(45,178)	49,276	100,396	46,171	122,079
State Operations	5,007	(68,386)	(233,602)	(202,986)	(99,232)
Personal Service	2,356	27,932	(25,685)	(11,769)	41,939
Non-Personal Service/Indirect Costs	2,651	(96,318)	(207,917)	(191,217)	(141,171)
General State Charges	5,244	5,809	5,947	6,080	6,203
<b>Functional Total</b>	<b>9,816,609</b>	<b>9,726,670</b>	<b>10,320,179</b>	<b>10,723,861</b>	<b>11,294,676</b>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<b>87,180,623</b>	<b>88,918,386</b>	<b>93,187,189</b>	<b>96,342,678</b>	<b>99,955,335</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	78,458	78,814	79,448	81,328	82,383
Alcoholic Beverage Control, Division of	16,616	17,859	18,314	18,781	19,225
Development Authority of the North Country	0	70	0	0	0
Economic Development, Department of	103,309	107,018	88,884	78,030	78,285
Empire State Development Corporation	76,487	84,088	71,280	27,800	17,800
Energy Research and Development Authority	16,938	16,158	16,388	16,388	16,388
Financial Services, Department of	506,105	486,283	490,712	494,195	498,348
Olympic Regional Development Authority	3,596	3,079	3,206	3,288	3,288
Public Service Department	66,124	70,324	79,203	83,331	87,373
Racing and Wagering Board, State	19,553	18,248	18,513	18,845	19,080
<b>Functional Total</b>	<b>887,186</b>	<b>881,941</b>	<b>865,948</b>	<b>821,986</b>	<b>822,170</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	284,724	277,824	275,525	277,903	281,559
Environmental Facilities Corporation	8,893	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	201,969	188,474	182,926	185,474	187,477
<b>Functional Total</b>	<b>499,885</b>	<b>470,444</b>	<b>462,626</b>	<b>467,628</b>	<b>473,373</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	92,162	95,012	101,994	105,772	109,758
Transportation, Department of	4,276,720	4,407,842	4,587,354	4,682,313	4,777,530
<b>Functional Total</b>	<b>4,368,882</b>	<b>4,502,854</b>	<b>4,689,348</b>	<b>4,788,085</b>	<b>4,887,288</b>
<b>HEALTH</b>					
Aging, Office for the	113,753	117,434	120,063	126,859	133,871
Health, Department of	17,876,268	18,433,722	19,323,310	19,971,124	20,671,654
<i>Medical Assistance</i>	14,778,525	15,342,913	16,017,013	16,601,713	17,507,579
<i>Medicaid Administration</i>	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,564,450	2,522,059	2,754,047	2,866,661	2,720,825
Medicaid Inspector General, Office of the	25,284	24,795	25,596	26,821	27,292
Stem Cell and Innovation	43,702	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<b>18,059,007</b>	<b>18,619,451</b>	<b>19,532,642</b>	<b>20,186,177</b>	<b>20,889,317</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,898,337	1,861,376	2,208,484	2,294,293	2,394,489
<i>OCFS</i>	1,785,169	1,767,925	2,091,116	2,172,320	2,267,766
<i>OCFS - Other</i>	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	115,024	117,862	113,538	115,653	118,093
Human Rights, Division of	12,000	10,755	10,958	11,269	11,614
Labor, Department of	60,962	94,361	61,295	60,842	63,027
National and Community Service	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,802	2,094	2,111	2,150	2,194
Temporary and Disability Assistance, Office of	1,564,788	1,690,465	1,748,050	1,657,564	1,683,847
<i>Welfare Assistance</i>	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	297,922	310,192	307,051	318,216	317,786
Welfare Inspector General, Office of	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	199,035	196,210	198,928	205,530	212,490
<b>Functional Total</b>	<b>3,852,721</b>	<b>3,975,131</b>	<b>4,345,474</b>	<b>4,349,444</b>	<b>4,487,909</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	410,589	416,223	443,762	466,041	490,469
<i>OASAS</i>	318,700	327,068	352,443	371,574	391,971
<i>OASAS - Other</i>	91,889	89,155	91,319	94,467	98,498
Mental Health, Office of	3,027,241	3,048,844	3,309,382	3,567,752	3,800,951
<i>OMH</i>	1,143,914	1,206,693	1,365,914	1,507,427	1,601,012
<i>OMH - Other</i>	1,883,327	1,842,151	1,943,468	2,060,325	2,199,939
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	4,331,480	4,313,922	4,650,565	4,848,909	5,074,913
<i>OPWDD</i>	903,732	435,429	479,595	495,695	505,147
<i>OPWDD - Other</i>	3,427,748	3,878,493	4,170,970	4,353,214	4,569,766
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,584	9,505	10,258	10,543	10,844
<b>Functional Total</b>	<b>7,777,305</b>	<b>7,788,494</b>	<b>8,413,967</b>	<b>8,893,245</b>	<b>9,377,177</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,251	2,672	2,711	2,787	2,864

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Correctional Services, Department of	2,477,126	2,397,518	2,451,282	2,504,925	2,636,386
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	215,019	230,926	227,421	225,347	226,792
Disaster Assistance	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	115,925	147,299	151,413	131,526	120,955
Indigent Legal Services, Office of	62,701	75,144	78,588	78,631	78,672
Judicial Commissions	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	31,747	28,061	28,189	28,543	28,914
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	630,378	664,349	673,519	680,091	694,075
Statewide Financial System	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	35,194	32,741	34,931	35,156	35,397
<b>Functional Total</b>	<b>3,613,727</b>	<b>3,691,563</b>	<b>3,747,256</b>	<b>3,792,227</b>	<b>3,897,309</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,316,602	1,328,567	1,454,137	1,519,338	1,589,978
Higher Education - Miscellaneous	449	1,300	1,300	1,300	1,300
Higher Education Services Corporation, New York State	1,000,527	1,020,044	1,088,021	1,103,725	1,117,935
State University Construction Fund	22,625	0	0	0	0
State University of New York	6,471,148	6,570,724	6,704,289	6,866,584	7,026,058
<b>Functional Total</b>	<b>8,811,351</b>	<b>8,920,635</b>	<b>9,247,747</b>	<b>9,490,947</b>	<b>9,735,271</b>
<b>EDUCATION</b>					
Arts, Council on the	33,659	40,053	36,055	36,101	36,151
Education, Department of	24,749,120	25,428,883	26,530,343	27,597,392	28,725,583
<i>School Aid</i>	19,661,924	20,039,436	20,910,635	21,724,727	22,671,362
<i>STAR Property Tax Relief</i>	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	678,323	755,744	704,717	701,723	706,537
<b>Functional Total</b>	<b>24,782,779</b>	<b>25,468,936</b>	<b>26,566,398</b>	<b>27,633,493</b>	<b>28,761,734</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	32,762	33,550	39,246	41,937	43,143
Civil Service, Department of	14,836	14,904	16,311	16,775	17,179
Deferred Compensation Board	621	796	823	858	867
Elections, State Board of	9,815	7,814	5,179	35,316	5,462
Employee Relations, Office of	2,604	2,688	2,710	2,786	2,869
General Services, Office of	121,489	156,256	140,381	135,345	138,371
Inspector General, Office of the	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	23,215	33,470	33,074	35,189	40,316
Lottery, Division of the	150,306	172,990	169,076	173,058	173,456
Public Employment Relations Board	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,217	4,016	4,061	4,175	4,295
Regulatory Reform, Governor's Office of	83	0	0	0	0
State, Department of	61,000	70,663	60,147	62,133	63,054
Tax Appeals, Division of	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	401,033	415,599	418,545	429,888	436,603
Technology, Office for	19,129	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	12,884	14,106	12,949	13,155	13,383
<b>Functional Total</b>	<b>864,928</b>	<b>962,288</b>	<b>937,194</b>	<b>988,160</b>	<b>976,523</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	169,817	173,479	175,736	179,315	183,489
Executive Chamber	13,256	13,578	13,836	14,773	15,185
Judiciary	2,543,183	2,546,998	2,679,944	2,805,004	2,934,500
Law, Department of	168,624	179,139	177,667	183,089	188,749
Legislature	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	408	614	614	665	680
<b>Functional Total</b>	<b>3,092,451</b>	<b>3,132,603</b>	<b>3,269,146</b>	<b>3,406,791</b>	<b>3,549,186</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<b>753,792</b>	<b>777,376</b>	<b>789,264</b>	<b>800,634</b>	<b>803,402</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,941,782	3,628,920	3,999,936	4,305,513	4,563,359
Long-Term Debt Service	5,909,754	6,111,051	6,447,502	6,569,083	6,702,267
Miscellaneous	(34,927)	(13,301)	(127,259)	(150,735)	29,050
<b>Functional Total</b>	<u>9,816,609</u>	<u>9,726,670</u>	<u>10,320,179</u>	<u>10,723,861</u>	<u>11,294,676</u>
<b>TOTAL STATE OPERATING FUNDS SPENDING</b>	<u>87,180,623</u>	<u>88,918,386</u>	<u>93,187,189</u>	<u>96,342,678</u>	<u>99,955,335</u>

*GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.*

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	24,603	25,652	24,216	24,216	24,216
Development Authority of the North Country	0	70	0	0	0
Economic Development, Department of	69,580	84,264	66,229	54,772	54,772
Empire State Development Corporation	76,487	84,088	71,280	27,800	17,800
Energy Research and Development Authority	8,140	9,234	9,234	9,234	9,234
Financial Services, Department of	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	500	500	500	500
<b>Functional Total</b>	<u>396,280</u>	<u>420,760</u>	<u>388,411</u>	<u>333,474</u>	<u>323,474</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	4,760	6,325	4,802	4,802	4,802
Parks, Recreation and Historic Preservation, Office of	12,019	16,112	7,600	7,600	7,600
<b>Functional Total</b>	<u>16,779</u>	<u>22,437</u>	<u>12,402</u>	<u>12,402</u>	<u>12,402</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	4,229,733	4,377,939	4,556,412	4,650,412	4,744,612
<b>Functional Total</b>	<u>4,229,733</u>	<u>4,377,939</u>	<u>4,556,412</u>	<u>4,650,412</u>	<u>4,744,612</u>
<b>HEALTH</b>					
Aging, Office for the	111,616	115,697	118,252	124,956	131,901
Health, Department of	<u>17,289,036</u>	<u>17,785,239</u>	<u>18,613,722</u>	<u>19,238,875</u>	<u>19,932,189</u>
<i>Medical Assistance</i>	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
<i>Medicaid Administration</i>	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	<u>1,992,440</u>	<u>1,924,931</u>	<u>2,100,814</u>	<u>2,190,267</u>	<u>2,037,215</u>
<b>Functional Total</b>	<u>17,400,652</u>	<u>17,900,936</u>	<u>18,731,974</u>	<u>19,363,831</u>	<u>20,064,090</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,603,887	1,543,111	1,891,290	1,990,187	2,093,572
<i>OCFS</i>	1,490,719	1,449,660	1,773,922	1,868,214	1,966,849
<i>OCFS - Other</i>	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	43,984	49,969	44,227	44,227	44,227
Labor, Department of	3,665	29,987	2,875	150	150
National and Community Service	38	350	350	350	350
Prevention of Domestic Violence, Office for	541	685	685	685	685
Temporary and Disability Assistance, Office of	<u>1,413,453</u>	<u>1,487,766</u>	<u>1,542,892</u>	<u>1,441,241</u>	<u>1,469,354</u>
<i>Welfare Assistance</i>	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	<u>146,587</u>	<u>107,493</u>	<u>101,893</u>	<u>101,893</u>	<u>103,293</u>
<b>Functional Total</b>	<u>3,065,568</u>	<u>3,111,868</u>	<u>3,482,319</u>	<u>3,476,840</u>	<u>3,608,338</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	306,845	314,689	338,506	355,126	372,328
<i>OASAS</i>	274,694	282,009	305,826	322,446	339,648
<i>OASAS - Other</i>	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	<u>1,124,205</u>	<u>1,089,556</u>	<u>1,247,311</u>	<u>1,381,277</u>	<u>1,485,554</u>
<i>OMH</i>	716,057	704,961	834,300	941,444	1,013,408
<i>OMH - Other</i>	408,148	384,595	413,011	439,833	472,146
People with Developmental Disabilities, Office for	<u>2,324,426</u>	<u>2,234,662</u>	<u>2,460,813</u>	<u>2,570,896</u>	<u>2,641,714</u>
<i>OPWDD</i>	692,788	435,253	479,414	495,514	504,966
<i>OPWDD - Other</i>	<u>1,631,638</u>	<u>1,799,409</u>	<u>1,981,399</u>	<u>2,075,382</u>	<u>2,136,748</u>
Quality of Care and Advocacy for Persons With Disabilities, Commission on	560	620	620	620	620
<b>Functional Total</b>	<u>3,756,036</u>	<u>3,639,527</u>	<u>4,047,250</u>	<u>4,307,919</u>	<u>4,500,216</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	151,076	159,811	154,751	154,911	154,911
Homeland Security and Emergency Services, Division of	37,944	97,923	113,739	97,264	85,523
Indigent Legal Services, Office of	62,292	74,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,761	867	850	850	850
Victim Services, Office of	<u>29,239</u>	<u>26,182</u>	<u>28,182</u>	<u>28,182</u>	<u>28,182</u>
<b>Functional Total</b>	<u>289,906</u>	<u>376,334</u>	<u>392,022</u>	<u>375,707</u>	<u>364,966</u>
<b>HIGHER EDUCATION</b>					
City University of New York	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Higher Education Services Corporation, New York State	923,514	935,933	1,001,349	1,014,412	1,025,891
State University of New York	481,591	462,404	468,051	468,051	468,051
<b>Functional Total</b>	<u>2,607,515</u>	<u>2,617,521</u>	<u>2,811,767</u>	<u>2,887,591</u>	<u>2,967,214</u>
<b>EDUCATION</b>					
Arts, Council on the	29,571	35,933	31,933	31,933	31,933
Education, Department of	<u>24,592,675</u>	<u>25,276,521</u>	<u>26,369,152</u>	<u>27,431,788</u>	<u>28,555,950</u>
<i>School Aid</i>	19,661,924	20,039,436	20,910,635	21,724,727	22,671,362
<i>STAR Property Tax Relief</i>	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	521,878	603,382	543,526	536,119	536,904
<b>Functional Total</b>	<u>24,622,246</u>	<u>25,312,454</u>	<u>26,401,085</u>	<u>27,463,721</u>	<u>28,587,883</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	415	2,700	0	30,000	0
General Services, Office of	0	19	0	0	0
State, Department of	12,916	16,118	3,877	3,877	3,877
Taxation and Finance, Department of	6,487	926	926	926	926
Technology, Office for	171	0	0	0	0
Veterans' Affairs, Division of	7,572	8,117	7,397	7,457	7,517
<b>Functional Total</b>	<u>27,561</u>	<u>27,880</u>	<u>12,200</u>	<u>42,260</u>	<u>12,320</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,005	32,024	32,024	32,024	32,024
Judiciary	114,108	106,700	121,700	121,700	121,700
<b>Functional Total</b>	<u>146,113</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(45,178)	49,276	100,396	46,171	122,079
<b>Functional Total</b>	<u>(45,178)</u>	<u>49,276</u>	<u>100,396</u>	<u>46,171</u>	<u>122,079</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>57,267,003</u>	<u>58,773,032</u>	<u>61,879,226</u>	<u>63,914,686</u>	<u>66,264,720</u>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	52,515	51,572	53,512	55,230	56,168
Alcoholic Beverage Control, Division of	13,044	13,629	13,823	14,020	14,221
Economic Development, Department of	33,729	22,726	22,627	23,230	23,485
Energy Research and Development Authority	6,456	5,286	5,396	5,396	5,396
Financial Services, Department of	210,028	202,401	206,774	209,007	211,596
Olympic Regional Development Authority	3,596	3,079	3,206	3,288	3,288
Public Service Department	47,386	48,889	54,419	56,343	58,195
Racing and Wagering Board, State	17,270	15,194	15,226	15,333	15,568
<b>Functional Total</b>	<u>384,024</u>	<u>362,776</u>	<u>374,983</u>	<u>381,847</u>	<u>387,917</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	245,162	234,651	234,864	236,420	239,216
Environmental Facilities Corporation	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	183,216	163,677	166,499	168,984	170,943
<b>Functional Total</b>	<u>439,181</u>	<u>402,474</u>	<u>405,538</u>	<u>409,655</u>	<u>414,496</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	67,400	70,013	73,915	75,576	77,460
Transportation, Department of	35,857	24,952	25,548	26,111	26,722
<b>Functional Total</b>	<u>103,257</u>	<u>94,965</u>	<u>99,463</u>	<u>101,687</u>	<u>104,182</u>
<b>HEALTH</b>					
Aging, Office for the	2,137	1,737	1,811	1,903	1,970
Health, Department of	555,256	606,407	663,290	684,638	690,256
<i>Medical Assistance</i>	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	540,034	555,052	606,935	628,783	634,401
Medicaid Inspector General, Office of the	25,258	24,795	25,596	26,821	27,292
Stem Cell and Innovation	43,470	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<u>626,121</u>	<u>676,439</u>	<u>754,370</u>	<u>774,735</u>	<u>776,018</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	292,769	315,749	314,574	301,454	298,224
<i>OCFS</i>	292,769	315,749	314,574	301,454	298,224
Housing and Community Renewal, Division of	55,910	51,392	51,781	52,756	54,285
Human Rights, Division of	12,000	10,755	10,958	11,269	11,614
Labor, Department of	42,274	50,074	43,154	44,232	45,303
National and Community Service	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,261	1,409	1,426	1,465	1,509
Temporary and Disability Assistance, Office of	150,451	202,699	205,158	216,323	214,493
<i>All Other</i>	150,451	202,699	205,158	216,323	214,493
Welfare Inspector General, Office of	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	157,884	150,979	149,735	152,632	155,909
<b>Functional Total</b>	<u>713,238</u>	<u>784,494</u>	<u>778,325</u>	<u>781,702</u>	<u>782,918</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	75,671	73,393	75,072	77,819	81,590
<i>OASAS</i>	31,920	32,662	33,320	34,549	36,224
<i>OASAS - Other</i>	43,751	40,731	41,752	43,270	45,366
Mental Health, Office of	1,372,952	1,394,952	1,452,504	1,519,943	1,581,187
<i>OMH</i>	306,563	371,074	374,845	392,927	400,159
<i>OMH - Other</i>	1,066,389	1,023,878	1,077,659	1,127,016	1,181,028
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	1,485,935	1,485,160	1,542,514	1,579,492	1,665,325
<i>OPWDD</i>	106,952	176	181	181	181
<i>OPWDD - Other</i>	1,378,983	1,484,984	1,542,333	1,579,311	1,665,144
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,948	7,698	8,368	8,548	8,743
<b>Functional Total</b>	<u>2,940,917</u>	<u>2,961,203</u>	<u>3,078,458</u>	<u>3,185,802</u>	<u>3,336,845</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,471,532	2,391,467	2,445,282	2,498,925	2,630,386
Criminal Justice Services, Division of	63,882	70,920	72,462	70,210	71,639
Disaster Assistance	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	77,861	48,671	36,969	33,557	34,727

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Indigent Legal Services, Office of	326	859	1,151	1,167	1,183
Judicial Commissions	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	27,440	26,944	27,089	27,443	27,814
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	611,384	643,067	649,446	654,861	667,845
Statewide Financial System	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	4,138	4,782	4,862	4,974	5,113
<b>Functional Total</b>	<b>3,302,200</b>	<b>3,290,735</b>	<b>3,327,674</b>	<b>3,387,645</b>	<b>3,502,325</b>
<b>HIGHER EDUCATION</b>					
City University of New York	107,837	103,231	105,433	107,683	109,983
Higher Education - Miscellaneous	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	64,233	68,980	70,309	71,976	73,821
State University Construction Fund	16,809	0	0	0	0
State University of New York	5,429,621	5,521,381	5,630,499	5,772,996	5,911,737
<b>Functional Total</b>	<b>5,618,876</b>	<b>5,694,793</b>	<b>5,807,442</b>	<b>5,953,856</b>	<b>6,096,742</b>
<b>EDUCATION</b>					
Arts, Council on the	4,088	4,120	4,122	4,168	4,218
Education, Department of	126,159	122,711	128,963	130,949	132,920
<i>All Other</i>	126,159	122,711	128,963	130,949	132,920
<b>Functional Total</b>	<b>130,247</b>	<b>126,831</b>	<b>133,085</b>	<b>135,117</b>	<b>137,138</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	30,681	31,253	36,596	39,063	40,049
Civil Service, Department of	14,595	14,736	16,143	16,603	17,003
Deferred Compensation Board	457	605	615	633	642
Elections, State Board of	9,400	5,114	5,179	5,316	5,462
Employee Relations, Office of	2,604	2,688	2,710	2,786	2,869
General Services, Office of	119,994	154,336	138,379	133,201	136,087
Inspector General, Office of the	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	23,215	33,470	33,074	35,189	40,316
Lottery, Division of the	140,484	161,247	155,197	159,080	159,478
Public Employment Relations Board	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,217	4,016	4,061	4,175	4,295
Regulatory Reform, Governor's Office of	83	0	0	0	0
State, Department of	40,716	45,662	46,581	47,676	48,348
Tax Appeals, Division of	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	382,913	393,151	394,799	405,915	412,630
Technology, Office for	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	5,312	5,989	5,552	5,698	5,866
<b>Functional Total</b>	<b>804,563</b>	<b>887,703</b>	<b>873,578</b>	<b>892,880</b>	<b>910,570</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	135,123	139,394	142,190	145,658	149,721
Executive Chamber	13,256	13,578	13,836	14,773	15,185
Judiciary	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Law, Department of	160,461	165,109	162,564	166,796	171,368
Legislature	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	408	614	614	665	680
<b>Functional Total</b>	<b>2,333,719</b>	<b>2,393,390</b>	<b>2,454,967</b>	<b>2,551,789</b>	<b>2,659,031</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,676	0	0	0	0
Long-Term Debt Service	45,732	46,925	46,925	46,925	46,925
Miscellaneous	5,007	(68,386)	(233,602)	(202,986)	(99,232)
<b>Functional Total</b>	<b>54,415</b>	<b>(21,461)</b>	<b>(186,677)</b>	<b>(156,061)</b>	<b>(52,307)</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>17,450,758</b>	<b>17,654,342</b>	<b>17,901,206</b>	<b>18,400,654</b>	<b>19,055,875</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,412	27,993	29,168	30,180	30,855
Alcoholic Beverage Control, Division of	7,720	8,185	8,246	8,308	8,370
Economic Development, Department of	11,354	12,704	13,087	13,424	13,679
Energy Research and Development Authority	4,776	3,432	3,501	3,501	3,501
Financial Services, Department of	142,332	133,847	136,495	138,669	141,191
Olympic Regional Development Authority	2,849	2,485	2,522	2,548	2,548
Public Service Department	38,749	40,507	44,591	46,220	47,964
Racing and Wagering Board, State	10,940	9,059	9,058	9,133	9,368
<b>Functional Total</b>	<u>246,132</u>	<u>238,212</u>	<u>246,668</u>	<u>251,983</u>	<u>257,476</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	188,153	174,800	176,236	179,731	182,640
Environmental Facilities Corporation	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	138,928	126,634	129,456	131,306	133,265
<b>Functional Total</b>	<u>336,314</u>	<u>305,225</u>	<u>309,512</u>	<u>314,933</u>	<u>319,887</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	48,313	50,028	51,560	52,689	54,020
Transportation, Department of	9,818	10,206	10,457	10,699	10,969
<b>Functional Total</b>	<u>58,131</u>	<u>60,234</u>	<u>62,017</u>	<u>63,388</u>	<u>64,989</u>
<b>HEALTH</b>					
Aging, Office for the	1,931	1,555	1,619	1,701	1,768
Health, Department of	267,998	285,424	309,916	322,207	327,878
<i>Medical Assistance</i>	1,438	500	500	500	500
<i>Public Health</i>	266,560	284,924	309,416	321,707	327,378
Medicaid Inspector General, Office of the	19,738	18,379	18,850	19,725	20,196
Stem Cell and Innovation	441	0	0	0	0
<b>Functional Total</b>	<u>290,108</u>	<u>305,358</u>	<u>330,385</u>	<u>343,633</u>	<u>349,842</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	177,205	186,486	174,500	163,438	161,653
<i>OCFS</i>	177,205	186,486	174,500	163,438	161,653
Housing and Community Renewal, Division of	40,611	40,890	41,148	41,986	43,395
Human Rights, Division of	10,679	9,841	9,927	10,207	10,522
Labor, Department of	29,954	27,671	28,030	28,720	29,392
National and Community Service	292	210	292	295	295
Prevention of Domestic Violence, Office for	1,040	1,313	1,324	1,356	1,389
Temporary and Disability Assistance, Office of	51,870	83,922	85,979	90,656	93,056
<i>All Other</i>	51,870	83,922	85,979	90,656	93,056
Welfare Inspector General, Office of	364	701	721	738	748
Workers' Compensation Board	85,890	87,805	90,328	92,301	94,633
<b>Functional Total</b>	<u>397,905</u>	<u>438,839</u>	<u>432,249</u>	<u>429,697</u>	<u>435,083</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	54,920	54,931	56,407	58,624	61,879
<i>OASAS</i>	22,801	24,466	24,854	25,830	27,263
<i>OASAS - Other</i>	32,119	30,465	31,553	32,794	34,616
Mental Health, Office of	1,081,037	1,107,359	1,137,920	1,186,323	1,245,792
<i>OMH</i>	253,135	291,415	292,143	310,583	317,505
<i>OMH - Other</i>	827,902	815,944	845,777	875,740	928,287
People with Developmental Disabilities, Office for	1,125,113	1,122,181	1,166,099	1,192,383	1,268,076
<i>OPWDD</i>	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,052,886	1,122,181	1,166,099	1,192,383	1,268,076
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,856	6,480	7,090	7,241	7,404
<b>Functional Total</b>	<u>2,265,926</u>	<u>2,290,951</u>	<u>2,367,516</u>	<u>2,444,571</u>	<u>2,583,151</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	1,959,956	1,919,183	1,953,342	1,986,510	2,096,531
Criminal Justice Services, Division of	32,972	33,919	34,524	35,363	36,326
Disaster Assistance	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	13,843	15,487	15,632	16,148	16,729

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Indigent Legal Services, Office of	259	569	802	810	818
Judicial Commissions	3,794	4,093	4,124	4,237	4,357
Military and Naval Affairs, Division of	17,152	16,525	16,655	16,861	17,080
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	557,865	565,747	568,523	572,436	580,410
Statewide Financial System	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	3,109	3,866	3,931	4,026	4,148
<b>Functional Total</b>	<b>2,608,079</b>	<b>2,573,201</b>	<b>2,611,442</b>	<b>2,650,449</b>	<b>2,770,607</b>
<b>HIGHER EDUCATION</b>					
City University of New York	74,043	75,289	76,792	78,326	79,892
Higher Education - Miscellaneous	207	198	198	198	198
Higher Education Services Corporation, New York State	28,789	30,166	30,554	31,247	32,058
State University Construction Fund	13,612	0	0	0	0
State University of New York	3,324,528	3,377,148	3,428,874	3,519,554	3,606,366
<b>Functional Total</b>	<b>3,441,179</b>	<b>3,482,801</b>	<b>3,536,418</b>	<b>3,629,325</b>	<b>3,718,514</b>
<b>EDUCATION</b>					
Arts, Council on the	2,266	2,298	2,300	2,346	2,396
Education, Department of	80,475	81,412	84,156	85,682	87,317
<i>All Other</i>	80,475	81,412	84,156	85,682	87,317
<b>Functional Total</b>	<b>82,741</b>	<b>83,710</b>	<b>86,456</b>	<b>88,028</b>	<b>89,713</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	23,829	24,961	25,768	27,287	28,166
Civil Service, Department of	13,284	13,247	14,359	14,714	15,109
Deferred Compensation Board	378	392	396	408	417
Elections, State Board of	4,065	4,144	4,254	4,370	4,495
Employee Relations, Office of	2,529	2,551	2,570	2,646	2,728
General Services, Office of	48,873	50,886	51,859	52,985	54,378
Inspector General, Office of the	5,067	6,083	6,129	6,301	6,485
Labor Management Committees	8,359	5,500	5,504	6,119	6,366
Lottery, Division of the	20,276	24,676	25,029	25,495	25,893
Public Employment Relations Board	3,197	3,290	3,119	3,046	3,138
Public Integrity, Commission on	2,492	3,166	3,190	3,282	3,380
Regulatory Reform, Governor's Office of	80	0	0	0	0
State, Department of	28,357	31,340	32,307	33,039	33,700
Tax Appeals, Division of	2,483	2,900	2,601	2,673	2,727
Taxation and Finance, Department of	300,408	301,881	300,966	309,755	316,470
Technology, Office for	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	4,868	5,050	5,123	5,259	5,416
<b>Functional Total</b>	<b>479,001</b>	<b>493,653</b>	<b>496,769</b>	<b>511,286</b>	<b>523,114</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,210	11,338	11,495	12,238	12,589
Judiciary	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	106,462	110,920	110,913	113,920	117,232
Legislature	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	289	480	494	515	543
<b>Functional Total</b>	<b>1,836,492</b>	<b>1,864,943</b>	<b>1,913,095</b>	<b>1,967,950</b>	<b>2,033,351</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	2,091	0	0	0	0
Miscellaneous	2,356	27,932	(25,685)	(11,769)	41,939
<b>Functional Total</b>	<b>4,447</b>	<b>27,932</b>	<b>(25,685)</b>	<b>(11,769)</b>	<b>41,939</b>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<b>12,046,455</b>	<b>12,165,059</b>	<b>12,366,842</b>	<b>12,683,474</b>	<b>13,187,666</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	25,103	23,579	24,344	25,050	25,313
Alcoholic Beverage Control, Division of	5,324	5,444	5,577	5,712	5,851
Economic Development, Department of	22,375	10,022	9,540	9,806	9,806
Energy Research and Development Authority	1,680	1,854	1,895	1,895	1,895
Financial Services, Department of	67,696	68,554	70,279	70,338	70,405
Olympic Regional Development Authority	747	594	684	740	740
Public Service Department	8,637	8,382	9,828	10,123	10,231
Racing and Wagering Board, State	6,330	6,135	6,168	6,200	6,200
<b>Functional Total</b>	<u>137,892</u>	<u>124,564</u>	<u>128,315</u>	<u>129,864</u>	<u>130,441</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	520	355	355	355	355
Environmental Conservation, Department of	57,009	59,851	58,628	56,689	56,576
Environmental Facilities Corporation	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	44,288	37,043	37,043	37,678	37,678
<b>Functional Total</b>	<u>102,867</u>	<u>97,249</u>	<u>96,026</u>	<u>94,722</u>	<u>94,609</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	19,087	19,985	22,355	22,887	23,440
Transportation, Department of	26,039	14,746	15,091	15,412	15,753
<b>Functional Total</b>	<u>45,126</u>	<u>34,731</u>	<u>37,446</u>	<u>38,299</u>	<u>39,193</u>
<b>HEALTH</b>					
Aging, Office for the	206	182	192	202	202
Health, Department of	287,258	320,983	353,374	362,431	362,378
<i>Medical Assistance</i>	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	273,474	270,128	297,519	307,076	307,023
Medicaid Inspector General, Office of the	5,520	6,416	6,746	7,096	7,096
Stem Cell and Innovation	43,029	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<u>336,013</u>	<u>371,081</u>	<u>423,985</u>	<u>431,102</u>	<u>426,176</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	115,564	129,263	140,074	138,016	136,571
<i>OCFS</i>	115,564	129,263	140,074	138,016	136,571
Housing and Community Renewal, Division of	15,299	10,502	10,633	10,770	10,890
Human Rights, Division of	1,321	914	1,031	1,062	1,092
Labor, Department of	12,320	22,403	15,124	15,512	15,911
National and Community Service	2	41	41	42	42
Prevention of Domestic Violence, Office for	221	96	102	109	120
Temporary and Disability Assistance, Office of	98,581	118,777	119,179	125,667	121,437
<i>All Other</i>	98,581	118,777	119,179	125,667	121,437
Welfare Inspector General, Office of	31	485	485	496	496
Workers' Compensation Board	71,994	63,174	59,407	60,331	61,276
<b>Functional Total</b>	<u>315,333</u>	<u>345,655</u>	<u>346,076</u>	<u>352,005</u>	<u>347,835</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	20,751	18,462	18,665	19,195	19,711
<i>OASAS</i>	9,119	8,196	8,466	8,719	8,961
<i>OASAS - Other</i>	11,632	10,266	10,199	10,476	10,750
Mental Health, Office of	291,915	287,593	314,584	333,620	335,395
<i>OMH</i>	53,428	79,659	82,702	82,344	82,654
<i>OMH - Other</i>	238,487	207,934	231,882	251,276	252,741
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	360,822	362,979	376,415	387,109	397,249
<i>OPWDD</i>	34,725	176	181	181	181
<i>OPWDD - Other</i>	326,097	362,803	376,234	386,928	397,068
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,092	1,218	1,278	1,307	1,339
<b>Functional Total</b>	<u>674,991</u>	<u>670,252</u>	<u>710,942</u>	<u>741,231</u>	<u>753,694</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	361	274	297	306	316
Correctional Services, Department of	511,576	472,284	491,940	512,415	533,855
Criminal Justice Services, Division of	30,910	37,001	37,938	34,847	35,313
Disaster Assistance	11,126	42,700	30,000	34,500	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Homeland Security and Emergency Services, Division of	64,018	33,184	21,337	17,409	17,998
Indigent Legal Services, Office of	67	290	349	357	365
Judicial Commissions	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	10,288	10,419	10,434	10,582	10,734
State Police, Division of	53,519	77,320	80,923	82,425	87,435
Statewide Financial System	10,042	41,787	40,630	41,849	43,104
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,029	916	931	948	965
<b>Functional Total</b>	<b>694,121</b>	<b>717,534</b>	<b>716,232</b>	<b>737,196</b>	<b>731,718</b>
<b>HIGHER EDUCATION</b>					
City University of New York	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	35,444	38,814	39,755	40,729	41,763
State University Construction Fund	3,197	0	0	0	0
State University of New York	2,105,093	2,144,233	2,201,625	2,253,442	2,305,371
<b>Functional Total</b>	<b>2,177,697</b>	<b>2,211,992</b>	<b>2,271,024</b>	<b>2,324,531</b>	<b>2,378,228</b>
<b>EDUCATION</b>					
Arts, Council on the	1,822	1,822	1,822	1,822	1,822
Education, Department of	45,684	41,299	44,807	45,267	45,603
<i>All Other</i>	45,684	41,299	44,807	45,267	45,603
<b>Functional Total</b>	<b>47,506</b>	<b>43,121</b>	<b>46,629</b>	<b>47,089</b>	<b>47,425</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	6,852	6,292	10,828	11,776	11,883
Civil Service, Department of	1,311	1,489	1,784	1,889	1,894
Deferred Compensation Board	79	213	219	225	225
Elections, State Board of	5,335	970	925	946	967
Employee Relations, Office of	75	137	140	140	141
General Services, Office of	71,121	103,450	86,520	80,216	81,709
Inspector General, Office of the	342	527	588	669	711
Labor Management Committees	14,856	27,970	27,570	29,070	33,950
Lottery, Division of the	120,208	136,571	130,168	133,585	133,585
Public Employment Relations Board	478	441	738	758	758
Public Integrity, Commission on	725	850	871	893	915
Regulatory Reform, Governor's Office of	3	0	0	0	0
State, Department of	12,359	14,322	14,274	14,637	14,648
Tax Appeals, Division of	367	201	212	221	221
Taxation and Finance, Department of	82,505	91,270	93,833	96,160	96,160
Technology, Office for	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	444	939	429	439	450
<b>Functional Total</b>	<b>325,562</b>	<b>394,050</b>	<b>376,809</b>	<b>381,594</b>	<b>387,456</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	31,825	30,723	32,805	33,646	34,507
Executive Chamber	3,046	2,240	2,341	2,535	2,596
Judiciary	362,957	387,650	400,130	438,460	476,752
Law, Department of	53,999	54,189	51,651	52,876	54,136
Legislature	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	119	134	120	150	137
<b>Functional Total</b>	<b>497,227</b>	<b>528,447</b>	<b>541,872</b>	<b>583,839</b>	<b>625,680</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	1,585	0	0	0	0
Long-Term Debt Service	45,732	46,925	46,925	46,925	46,925
Miscellaneous	2,651	(96,318)	(207,917)	(191,217)	(141,171)
<b>Functional Total</b>	<b>49,968</b>	<b>(49,393)</b>	<b>(160,992)</b>	<b>(144,292)</b>	<b>(94,246)</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>5,404,303</b>	<b>5,489,283</b>	<b>5,534,364</b>	<b>5,717,180</b>	<b>5,868,209</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,340	1,590	1,720	1,882	1,999
Alcoholic Beverage Control, Division of	3,572	4,230	4,491	4,761	5,004
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,342	1,638	1,758	1,758	1,758
Financial Services, Department of	78,607	66,930	66,986	68,236	69,800
Public Service Department	18,738	20,935	24,284	26,488	28,678
Racing and Wagering Board, State	2,283	3,054	3,287	3,512	3,512
<b>Functional Total</b>	<u>106,882</u>	<u>98,405</u>	<u>102,554</u>	<u>106,665</u>	<u>110,779</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	34,802	36,848	35,859	36,681	37,541
Environmental Facilities Corporation	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	655	3,685	3,827	3,890	3,934
<b>Functional Total</b>	<u>37,846</u>	<u>40,533</u>	<u>39,686</u>	<u>40,571</u>	<u>41,475</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,762	24,999	28,079	30,196	32,298
Transportation, Department of	10,781	4,951	5,394	5,790	6,196
<b>Functional Total</b>	<u>35,543</u>	<u>29,950</u>	<u>33,473</u>	<u>35,986</u>	<u>38,494</u>
<b>HEALTH</b>					
Health, Department of	31,976	42,076	46,298	47,611	49,209
<i>Public Health</i>	31,976	42,076	46,298	47,611	49,209
Medicaid Inspector General, Office of the	26	0	0	0	0
Stem Cell and Innovation	232	0	0	0	0
<b>Functional Total</b>	<u>32,234</u>	<u>42,076</u>	<u>46,298</u>	<u>47,611</u>	<u>49,209</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,681	2,516	2,620	2,652	2,693
<i>OCFS</i>	1,681	2,516	2,620	2,652	2,693
Housing and Community Renewal, Division of	15,130	16,501	17,530	18,670	19,581
Labor, Department of	15,023	14,300	15,266	16,460	17,574
Temporary and Disability Assistance, Office of	884	0	0	0	0
<i>All Other</i>	884	0	0	0	0
Welfare Inspector General, Office of	46	221	221	222	224
Workers' Compensation Board	41,151	45,231	49,193	52,898	56,581
<b>Functional Total</b>	<u>73,915</u>	<u>78,769</u>	<u>84,830</u>	<u>90,902</u>	<u>96,653</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	28,073	28,141	30,184	33,096	36,551
<i>OASAS</i>	12,086	12,397	13,297	14,579	16,099
<i>OASAS - Other</i>	15,987	15,744	16,887	18,517	20,452
Mental Health, Office of	530,084	564,336	609,567	666,532	734,210
<i>OMH</i>	121,294	130,658	156,769	173,056	187,445
<i>OMH - Other</i>	408,790	433,678	452,798	493,476	546,765
People with Developmental Disabilities, Office for	521,119	594,100	647,238	698,521	767,874
<i>OPWDD</i>	103,992	0	0	0	0
<i>OPWDD - Other</i>	417,127	594,100	647,238	698,521	767,874
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,076	1,187	1,270	1,375	1,481
<b>Functional Total</b>	<u>1,080,352</u>	<u>1,187,764</u>	<u>1,288,259</u>	<u>1,399,524</u>	<u>1,540,116</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	61	195	208	226	242
Homeland Security and Emergency Services, Division of	120	705	705	705	705
Indigent Legal Services, Office of	83	285	437	464	489
Military and Naval Affairs, Division of	546	250	250	250	250
State Police, Division of	18,994	21,282	24,073	25,230	26,230
Statewide Financial System	0	0	0	0	0
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,817	1,777	1,887	2,000	2,102
<b>Functional Total</b>	<u>21,621</u>	<u>24,494</u>	<u>27,560</u>	<u>28,875</u>	<u>30,018</u>
<b>HIGHER EDUCATION</b>					

**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
City University of New York	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	73	99	99	99	99
Higher Education Services Corporation, New York State	12,780	15,131	16,363	17,337	18,223
State University Construction Fund	5,816	0	0	0	0
State University of New York	559,936	586,939	605,739	625,537	646,270
<b>Functional Total</b>	<u>584,960</u>	<u>608,321</u>	<u>628,538</u>	<u>649,500</u>	<u>671,315</u>
 <b>EDUCATION</b>					
Education, Department of	30,286	29,651	32,228	34,655	36,713
<i>All Other</i>	30,286	29,651	32,228	34,655	36,713
<b>Functional Total</b>	<u>30,286</u>	<u>29,651</u>	<u>32,228</u>	<u>34,655</u>	<u>36,713</u>
 <b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	241	168	168	172	176
Deferred Compensation Board	164	191	208	225	225
General Services, Office of	1,495	1,901	2,002	2,144	2,284
Lottery, Division of the	9,822	11,743	13,879	13,978	13,978
State, Department of	7,368	8,898	9,704	10,595	10,844
Taxation and Finance, Department of	11,633	21,522	22,820	23,047	23,047
<b>Functional Total</b>	<u>32,804</u>	<u>46,720</u>	<u>51,431</u>	<u>53,035</u>	<u>53,648</u>
 <b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	2,689	2,061	1,522	1,633	1,744
Judiciary	601,767	584,398	643,830	683,352	717,306
Law, Department of	8,163	14,030	15,103	16,293	17,381
<b>Functional Total</b>	<u>612,619</u>	<u>600,489</u>	<u>660,455</u>	<u>701,278</u>	<u>736,431</u>
 <b>ALL OTHER CATEGORIES</b>					
General State Charges	3,938,106	3,628,920	3,999,936	4,305,513	4,563,359
Miscellaneous	5,244	5,809	5,947	6,080	6,203
<b>Functional Total</b>	<u>3,943,350</u>	<u>3,634,729</u>	<u>4,005,883</u>	<u>4,311,593</u>	<u>4,569,562</u>
 <b>TOTAL GENERAL STATE CHARGES SPENDING</b>	 <u>6,592,412</u>	 <u>6,421,901</u>	 <u>7,001,195</u>	 <u>7,500,195</u>	 <u>7,974,413</u>



**CASH DISBURSEMENTS BY FUNCTION  
STATE OPERATING FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Parks, Recreation and Historic Preservation, Office of	6,079	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>6,079</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>	<u>5,000</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	349	0	0	0	0
<b>Functional Total</b>	<u>349</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>GENERAL GOVERNMENT</b>					
State, Department of	0	(15)	(15)	(15)	(15)
<b>Functional Total</b>	<u>0</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>	<u>(15)</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u><u>6,428</u></u>	<u><u>4,985</u></u>	<u><u>4,985</u></u>	<u><u>4,985</u></u>	<u><u>4,985</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	41,323	29,381	32,645	19,859	15,000
Empire State Development Corporation	877,318	437,065	587,602	607,103	439,492
Energy Research and Development Authority	14,629	13,200	14,790	14,790	14,790
Regional Economic Development Program	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>978,657</b>	<b>515,152</b>	<b>664,314</b>	<b>660,582</b>	<b>484,282</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	656,742	649,692	568,081	480,559	446,102
Environmental Facilities Corporation	1,454	0	0	0	0
Hudson River Park Trust	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	26,118	90,341	63,627	50,940	37,228
<b>Functional Total</b>	<b>684,530</b>	<b>743,849</b>	<b>631,708</b>	<b>531,499</b>	<b>483,330</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	186,910	184,484	194,948	202,058	209,772
Thruway Authority, New York State	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	3,513,459	3,625,270	3,779,547	3,579,385	3,184,003
<b>Functional Total</b>	<b>3,897,032</b>	<b>4,145,154</b>	<b>4,159,895</b>	<b>3,966,843</b>	<b>3,724,146</b>
<b>HEALTH</b>					
Health, Department of	330,927	462,118	419,343	88,907	88,333
<i>Public Health</i>	330,927	462,118	419,343	88,907	88,333
<b>Functional Total</b>	<b>330,927</b>	<b>462,118</b>	<b>419,343</b>	<b>88,907</b>	<b>88,333</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	20,511	20,900	20,900	20,914	20,931
<i>OCFS</i>	20,511	20,900	20,900	20,914	20,931
Housing and Community Renewal, Division of	82,360	83,635	83,577	85,229	90,231
Temporary and Disability Assistance, Office of	45,000	40,000	30,000	30,000	30,000
<i>All Other</i>	45,000	40,000	30,000	30,000	30,000
<b>Functional Total</b>	<b>147,871</b>	<b>144,535</b>	<b>134,477</b>	<b>136,143</b>	<b>141,162</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	52,984	57,819	57,819	126,773	126,773
<i>OASAS</i>	52,984	57,819	57,819	126,773	126,773
Mental Health, Office of	94,524	134,090	142,109	217,191	217,294
<i>OMH</i>	94,524	134,090	142,109	217,191	217,294
People with Developmental Disabilities, Office for	41,719	49,099	43,099	43,099	43,099
<i>OPWDD</i>	41,719	49,099	43,099	43,099	43,099
<b>Functional Total</b>	<b>189,227</b>	<b>241,008</b>	<b>243,027</b>	<b>387,063</b>	<b>387,166</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	239,799	253,265	259,677	265,710	271,860
Disaster Assistance	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	16,092	27,041	26,996	43,507	41,607
Public Security and Emergency Response	125	0	0	0	0
State Police, Division of	30,466	37,800	17,874	13,865	13,865
<b>Functional Total</b>	<b>308,712</b>	<b>334,106</b>	<b>317,647</b>	<b>328,582</b>	<b>327,732</b>
<b>HIGHER EDUCATION</b>					
City University of New York	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	26,836	36,492	10,000	0	0
State University of New York	1,020,432	1,091,604	1,070,945	1,198,663	1,122,584
<b>Functional Total</b>	<b>1,072,450</b>	<b>1,180,223</b>	<b>1,134,011</b>	<b>1,245,388</b>	<b>1,164,542</b>
<b>EDUCATION</b>					
Education, Department of	22,384	46,637	47,118	32,400	37,400
<i>All Other</i>	22,384	46,637	47,118	32,400	37,400

**CASH DISBURSEMENTS BY FUNCTION  
CAPITAL PROJECTS FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Functional Total</b>	22,384	46,637	47,118	32,400	37,400
<b>GENERAL GOVERNMENT</b>					
General Services, Office of	74,801	66,578	59,309	69,883	69,883
State, Department of	0	0	0	0	0
Technology, Office for	511	0	0	0	0
<b>Functional Total</b>	<u>75,312</u>	<u>66,578</u>	<u>59,309</u>	<u>69,883</u>	<u>69,883</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	2,311	0	0	0	0
<b>Functional Total</b>	<u>2,311</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	126,774	91,000	104,000	96,000	40,000
<b>Functional Total</b>	<u>126,774</u>	<u>91,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
<b>TOTAL CAPITAL PROJECTS FUNDS SPENDING</b>	<u>7,836,187</u>	<u>7,970,360</u>	<u>7,914,849</u>	<u>7,543,290</u>	<u>6,947,976</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
<b>Agriculture and Markets, Department of</b>	<b>109,312</b>	<b>107,124</b>	<b>101,679</b>	<b>101,990</b>	<b>99,292</b>
Local Assistance Grants	24,603	25,652	24,216	24,216	24,216
State Operations	64,331	63,274	65,259	67,261	68,212
Personal Service	30,360	30,191	31,410	32,478	33,166
Non-Personal Service/Indirect Costs	33,971	33,083	33,849	34,783	35,046
General State Charges	2,422	2,692	2,927	3,183	3,364
Capital Projects	17,956	15,506	9,277	7,330	3,500
<b>Alcoholic Beverage Control, Division of</b>	<b>16,616</b>	<b>17,859</b>	<b>18,314</b>	<b>18,781</b>	<b>19,225</b>
State Operations	13,044	13,629	13,823	14,020	14,221
Personal Service	7,720	8,185	8,246	8,308	8,370
Non-Personal Service/Indirect Costs	5,324	5,444	5,577	5,712	5,851
General State Charges	3,572	4,230	4,491	4,761	5,004
<b>Development Authority of the North Country</b>	<b>0</b>	<b>70</b>	<b>0</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	0	70	0	0	0
<b>Economic Development Capital</b>	<b>17,655</b>	<b>12,500</b>	<b>12,500</b>	<b>5,000</b>	<b>5,000</b>
Local Assistance Grants	17,655	0	0	0	0
Capital Projects	0	12,500	12,500	5,000	5,000
<b>Economic Development, Department of</b>	<b>147,540</b>	<b>142,744</b>	<b>127,874</b>	<b>98,234</b>	<b>93,630</b>
Local Assistance Grants	112,990	90,364	72,329	54,872	54,872
State Operations	33,729	22,971	22,872	23,475	23,730
Personal Service	11,354	12,704	13,087	13,424	13,679
Non-Personal Service/Indirect Costs	22,375	10,267	9,785	10,051	10,051
General State Charges	0	28	28	28	28
Capital Projects	821	29,381	32,645	19,859	15,000
<b>Empire State Development Corporation</b>	<b>953,805</b>	<b>521,153</b>	<b>658,882</b>	<b>634,903</b>	<b>457,292</b>
Local Assistance Grants	945,359	423,588	526,280	499,900	371,460
Capital Projects	8,446	97,565	132,602	135,003	85,832
<b>Energy Research and Development Authority</b>	<b>31,567</b>	<b>29,358</b>	<b>31,178</b>	<b>31,178</b>	<b>31,178</b>
Local Assistance Grants	8,140	9,234	9,234	9,234	9,234
State Operations	6,456	5,286	5,396	5,396	5,396
Personal Service	4,776	3,432	3,501	3,501	3,501
Non-Personal Service/Indirect Costs	1,680	1,854	1,895	1,895	1,895
General State Charges	2,342	1,638	1,758	1,758	1,758
Capital Projects	14,629	13,200	14,790	14,790	14,790
<b>Financial Services, Department of</b>	<b>507,240</b>	<b>486,283</b>	<b>490,712</b>	<b>494,195</b>	<b>498,348</b>
Local Assistance Grants	217,470	216,952	216,952	216,952	216,952
State Operations	211,029	202,401	206,774	209,007	211,596
Personal Service	142,603	133,847	136,495	138,669	141,191
Non-Personal Service/Indirect Costs	68,426	68,554	70,279	70,338	70,405
General State Charges	78,741	66,930	66,986	68,236	69,800
<b>Olympic Regional Development Authority</b>	<b>3,596</b>	<b>3,079</b>	<b>3,206</b>	<b>3,288</b>	<b>3,288</b>
State Operations	3,596	3,079	3,206	3,288	3,288
Personal Service	2,849	2,485	2,522	2,548	2,548
Non-Personal Service/Indirect Costs	747	594	684	740	740
<b>Public Service Department</b>	<b>68,432</b>	<b>72,884</b>	<b>81,863</b>	<b>85,866</b>	<b>89,955</b>
Local Assistance Grants	0	500	500	500	500
State Operations	48,882	50,681	56,250	58,064	59,945
Personal Service	40,067	42,037	46,131	47,658	49,431
Non-Personal Service/Indirect Costs	8,815	8,644	10,119	10,406	10,514
General State Charges	19,550	21,703	25,113	27,302	29,510
<b>Racing and Wagering Board, State</b>	<b>19,553</b>	<b>18,248</b>	<b>18,513</b>	<b>18,845</b>	<b>19,080</b>
State Operations	17,270	15,194	15,226	15,333	15,568
Personal Service	10,940	9,059	9,058	9,133	9,368
Non-Personal Service/Indirect Costs	6,330	6,135	6,168	6,200	6,200
General State Charges	2,283	3,054	3,287	3,512	3,512
<b>Regional Economic Development Program</b>	<b>6,058</b>	<b>2,500</b>	<b>2,500</b>	<b>1,500</b>	<b>1,500</b>
Local Assistance Grants	6,058	0	0	0	0
Capital Projects	0	2,500	2,500	1,500	1,500
<b>Strategic Investment Program</b>	<b>3,718</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Capital Projects	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b><u>1,885,092</u></b>	<b><u>1,418,802</u></b>	<b><u>1,552,221</u></b>	<b><u>1,498,780</u></b>	<b><u>1,322,788</u></b>
<b>PARKS AND THE ENVIRONMENT</b>					
<b>Adirondack Park Agency</b>	<b><u>4,354</u></b>	<b><u>4,496</u></b>	<b><u>4,525</u></b>	<b><u>4,601</u></b>	<b><u>4,687</u></b>
State Operations	4,354	4,496	4,525	4,601	4,687
Personal Service	3,779	3,791	3,820	3,896	3,982
Non-Personal Service/Indirect Costs	575	705	705	705	705
<b>Environmental Conservation, Department of</b>	<b><u>1,002,764</u></b>	<b><u>979,441</u></b>	<b><u>895,698</u></b>	<b><u>811,246</u></b>	<b><u>781,167</u></b>
Local Assistance Grants	358,448	257,775	210,252	149,802	149,802
State Operations	294,072	276,502	276,817	278,814	282,054
Personal Service	213,248	199,895	201,433	205,369	208,722
Non-Personal Service/Indirect Costs	80,824	76,607	75,384	73,445	73,332
General State Charges	47,190	46,922	45,998	47,071	48,209
Capital Projects	303,054	398,242	362,631	335,559	301,102
<b>Environmental Facilities Corporation</b>	<b><u>10,347</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>
Local Assistance Grants	1,180	0	0	0	0
State Operations	6,504	0	0	0	0
Personal Service	5,454	0	0	0	0
Non-Personal Service/Indirect Costs	1,050	0	0	0	0
General State Charges	2,389	0	0	0	0
Capital Projects	274	0	0	0	0
<b>Hudson River Park Trust</b>	<b><u>216</u></b>	<b><u>3,816</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>
Capital Projects	216	3,816	0	0	0
<b>Parks, Recreation and Historic Preservation, Office of</b>	<b><u>235,452</u></b>	<b><u>282,688</u></b>	<b><u>250,434</u></b>	<b><u>240,452</u></b>	<b><u>228,768</u></b>
Local Assistance Grants	15,759	17,382	8,870	8,870	8,870
State Operations	186,943	165,772	168,599	171,131	173,106
Personal Service	139,955	127,644	130,471	132,343	134,318
Non-Personal Service/Indirect Costs	46,988	38,128	38,128	38,788	38,788
General State Charges	655	4,193	4,338	4,511	4,564
Capital Projects	32,095	95,341	68,627	55,940	42,228
<b>Functional Total</b>	<b><u>1,253,133</u></b>	<b><u>1,270,441</u></b>	<b><u>1,150,657</u></b>	<b><u>1,056,299</u></b>	<b><u>1,014,622</u></b>
<b>TRANSPORTATION</b>					
<b>Metropolitan Transportation Authority</b>	<b><u>194,500</u></b>	<b><u>333,600</u></b>	<b><u>183,600</u></b>	<b><u>183,600</u></b>	<b><u>328,571</u></b>
Local Assistance Grants	194,500	183,600	183,600	183,600	18,571
Capital Projects	0	150,000	0	0	310,000
<b>Motor Vehicles, Department of</b>	<b><u>301,370</u></b>	<b><u>298,719</u></b>	<b><u>316,288</u></b>	<b><u>327,324</u></b>	<b><u>339,175</u></b>
Local Assistance Grants	18,475	14,800	14,800	14,800	14,800
State Operations	70,746	73,813	77,782	79,538	81,521
Personal Service	49,513	51,271	52,820	53,981	55,348
Non-Personal Service/Indirect Costs	21,233	22,542	24,962	25,557	26,173
General State Charges	25,239	25,622	28,758	30,928	33,082
Capital Projects	186,910	184,484	194,948	202,058	209,772
<b>Thruway Authority, New York State</b>	<b><u>2,163</u></b>	<b><u>1,800</u></b>	<b><u>1,800</u></b>	<b><u>1,800</u></b>	<b><u>1,800</u></b>
Local Assistance Grants	2,163	0	0	0	0
Capital Projects	0	1,800	1,800	1,800	1,800
<b>Transportation, Department of</b>	<b><u>7,830,434</u></b>	<b><u>8,083,332</u></b>	<b><u>8,417,539</u></b>	<b><u>8,312,710</u></b>	<b><u>8,012,937</u></b>
Local Assistance Grants	4,757,704	5,019,024	5,206,264	5,313,709	5,356,763
State Operations	42,373	32,540	33,310	34,050	34,854
Personal Service	13,730	15,248	15,609	15,963	16,356
Non-Personal Service/Indirect Costs	28,643	17,292	17,701	18,087	18,498
General State Charges	13,072	7,480	8,167	8,760	9,365
Capital Projects	3,017,285	3,024,288	3,169,798	2,956,191	2,611,955
<b>Functional Total</b>	<b><u>8,328,467</u></b>	<b><u>8,717,451</u></b>	<b><u>8,919,227</u></b>	<b><u>8,825,434</u></b>	<b><u>8,682,483</u></b>
<b>HEALTH</b>					
<b>Aging, Office for the</b>	<b><u>227,242</u></b>	<b><u>218,487</u></b>	<b><u>221,126</u></b>	<b><u>228,051</u></b>	<b><u>235,209</u></b>
Local Assistance Grants	217,808	208,466	211,021	217,725	224,670
State Operations	9,434	9,786	9,870	10,091	10,304

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Personal Service	7,978	8,586	8,660	8,871	9,084
Non-Personal Service/Indirect Costs	1,456	1,200	1,210	1,220	1,220
General State Charges	0	235	235	235	235
<b>Health, Department of</b>	<b>44,663,159</b>	<b>44,574,230</b>	<b>47,412,528</b>	<b>51,734,148</b>	<b>54,959,673</b>
<b>Medical Assistance</b>	<b>39,256,878</b>	<b>38,984,461</b>	<b>41,611,055</b>	<b>46,188,911</b>	<b>49,659,517</b>
Local Assistance Grants	39,241,656	38,933,106	41,554,700	46,133,056	49,603,662
State Operations	15,222	51,355	56,355	55,855	55,855
Personal Service	1,438	500	500	500	500
Non-Personal Service/Indirect Costs	13,784	50,855	55,855	55,355	55,355
<b>Medicaid Administration</b>	<b>976,377</b>	<b>1,160,500</b>	<b>1,151,400</b>	<b>1,077,200</b>	<b>958,200</b>
Local Assistance Grants	976,377	1,160,500	1,151,400	1,077,200	958,200
<b>Public Health</b>	<b>4,429,904</b>	<b>4,429,269</b>	<b>4,650,073</b>	<b>4,468,037</b>	<b>4,341,956</b>
Local Assistance Grants	3,593,923	3,574,440	3,738,988	3,532,182	3,396,992
State Operations	772,802	776,247	828,231	851,124	857,939
Personal Service	318,107	339,298	363,884	377,164	383,971
Non-Personal Service/Indirect Costs	454,695	436,949	464,347	473,960	473,968
General State Charges	55,907	65,082	69,354	71,231	73,525
Capital Projects	7,272	13,500	13,500	13,500	13,500
<b>Medicaid Inspector General, Office of the</b>	<b>60,837</b>	<b>66,241</b>	<b>67,525</b>	<b>70,221</b>	<b>71,464</b>
State Operations	52,040	55,503	56,776	59,241	60,200
Personal Service	39,477	36,758	37,700	39,442	40,375
Non-Personal Service/Indirect Costs	12,563	18,745	19,076	19,799	19,825
General State Charges	8,797	10,738	10,749	10,980	11,264
<b>Stem Cell and Innovation</b>	<b>43,702</b>	<b>43,500</b>	<b>63,673</b>	<b>61,373</b>	<b>56,500</b>
State Operations	43,470	43,500	63,673	61,373	56,500
Personal Service	441	0	0	0	0
Non-Personal Service/Indirect Costs	43,029	43,500	63,673	61,373	56,500
General State Charges	232	0	0	0	0
<b>Functional Total</b>	<b>44,994,940</b>	<b>44,902,458</b>	<b>47,764,852</b>	<b>52,093,793</b>	<b>55,322,846</b>
<b>SOCIAL WELFARE</b>					
<b>Children and Family Services, Office of</b>	<b>3,183,934</b>	<b>2,984,297</b>	<b>3,328,906</b>	<b>3,417,384</b>	<b>3,518,588</b>
<b>OCFS</b>	<b>3,070,766</b>	<b>2,890,846</b>	<b>3,211,538</b>	<b>3,295,411</b>	<b>3,391,865</b>
Local Assistance Grants	2,657,157	2,451,460	2,775,722	2,870,014	2,968,649
State Operations	380,630	406,057	403,365	392,604	390,004
Personal Service	203,272	215,568	202,065	191,823	190,656
Non-Personal Service/Indirect Costs	177,358	190,489	201,300	200,781	199,348
General State Charges	12,485	12,429	11,551	11,893	12,312
Capital Projects	20,494	20,900	20,900	20,900	20,900
<b>OCFS - Other</b>	<b>113,168</b>	<b>93,451</b>	<b>117,368</b>	<b>121,973</b>	<b>126,723</b>
Local Assistance Grants	113,168	93,451	117,368	121,973	126,723
<b>Housing and Community Renewal, Division of</b>	<b>482,652</b>	<b>262,781</b>	<b>258,792</b>	<b>263,099</b>	<b>270,819</b>
Local Assistance Grants	396,383	181,941	176,139	177,791	182,791
State Operations	65,256	58,650	59,184	60,437	62,297
Personal Service	48,144	45,921	46,246	47,286	48,987
Non-Personal Service/Indirect Costs	17,112	12,729	12,938	13,151	13,310
General State Charges	18,713	19,190	20,467	21,869	22,727
Capital Projects	2,300	3,000	3,002	3,002	3,004
<b>Human Rights, Division of</b>	<b>17,311</b>	<b>16,966</b>	<b>17,285</b>	<b>17,858</b>	<b>18,471</b>
State Operations	17,262	14,997	15,210	15,655	16,141
Personal Service	13,420	12,571	12,666	13,038	13,451
Non-Personal Service/Indirect Costs	3,842	2,426	2,544	2,617	2,690
General State Charges	49	1,969	2,075	2,203	2,330
<b>Labor, Department of</b>	<b>641,800</b>	<b>697,338</b>	<b>641,486</b>	<b>652,596</b>	<b>667,132</b>
Local Assistance Grants	164,683	218,507	181,922	179,197	179,197
State Operations	368,493	366,219	344,078	349,263	355,204
Personal Service	241,039	223,674	214,186	218,907	224,358
Non-Personal Service/Indirect Costs	127,454	142,545	129,892	130,356	130,846
General State Charges	108,624	112,612	115,486	124,136	132,731
<b>National and Community Service</b>	<b>21,606</b>	<b>14,601</b>	<b>14,687</b>	<b>14,909</b>	<b>14,909</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Local Assistance Grants	38	350	350	350	350
State Operations	21,568	14,251	14,337	14,559	14,559
Personal Service	674	564	650	657	657
Non-Personal Service/Indirect Costs	20,894	13,687	13,687	13,902	13,902
<b><i>Prevention of Domestic Violence, Office for</i></b>	<b>1,825</b>	<b>2,094</b>	<b>2,111</b>	<b>2,153</b>	<b>2,202</b>
Local Assistance Grants	541	685	685	685	685
State Operations	1,284	1,409	1,426	1,468	1,517
Personal Service	1,063	1,313	1,324	1,359	1,397
Non-Personal Service/Indirect Costs	221	96	102	109	120
<b><i>Temporary and Disability Assistance, Office of</i></b>	<b>5,317,739</b>	<b>5,444,086</b>	<b>5,270,239</b>	<b>5,182,778</b>	<b>5,213,431</b>
<b>Welfare Assistance</b>	<b>3,787,292</b>	<b>4,016,043</b>	<b>3,853,929</b>	<b>3,752,278</b>	<b>3,778,991</b>
Local Assistance Grants	3,787,292	4,016,043	3,853,929	3,752,278	3,778,991
<b>All Other</b>	<b>1,530,447</b>	<b>1,428,043</b>	<b>1,416,310</b>	<b>1,430,500</b>	<b>1,434,440</b>
Local Assistance Grants	1,167,432	1,032,593	1,016,993	1,016,993	1,018,393
State Operations	319,774	351,327	354,854	368,534	371,074
Personal Service	154,615	167,368	170,424	177,551	182,643
Non-Personal Service/Indirect Costs	165,159	183,959	184,430	190,983	188,431
General State Charges	43,241	44,123	44,463	44,973	44,973
<b><i>Welfare Inspector General, Office of</i></b>	<b>441</b>	<b>1,407</b>	<b>1,427</b>	<b>1,456</b>	<b>1,468</b>
State Operations	395	1,186	1,206	1,234	1,244
Personal Service	364	701	721	738	748
Non-Personal Service/Indirect Costs	31	485	485	496	496
General State Charges	46	221	221	222	224
<b><i>Workers' Compensation Board</i></b>	<b>203,545</b>	<b>199,742</b>	<b>202,552</b>	<b>209,154</b>	<b>216,114</b>
State Operations	162,394	154,511	153,359	156,256	159,533
Personal Service	85,890	87,805	90,328	92,301	94,633
Non-Personal Service/Indirect Costs	76,504	66,706	63,031	63,955	64,900
General State Charges	41,151	45,231	49,193	52,898	56,581
<b>Functional Total</b>	<b>9,870,853</b>	<b>9,623,312</b>	<b>9,737,485</b>	<b>9,761,387</b>	<b>9,923,134</b>
<b>MENTAL HYGIENE</b>					
<b><i>Alcoholism and Substance Abuse Services, Office of</i></b>	<b>592,713</b>	<b>610,793</b>	<b>638,490</b>	<b>729,206</b>	<b>753,881</b>
<b>OASAS</b>	<b>500,824</b>	<b>521,638</b>	<b>547,171</b>	<b>634,739</b>	<b>655,383</b>
Local Assistance Grants	450,222	456,790	477,291	562,978	580,180
State Operations	38,370	39,817	40,465	41,267	43,031
Personal Service	27,687	29,943	30,379	30,906	32,390
Non-Personal Service/Indirect Costs	10,683	9,874	10,086	10,361	10,641
General State Charges	12,086	15,151	16,219	17,411	19,089
Capital Projects	146	9,880	13,196	13,083	13,083
<b>OASAS - Other</b>	<b>91,889</b>	<b>89,155</b>	<b>91,319</b>	<b>94,467</b>	<b>98,498</b>
Local Assistance Grants	32,151	32,680	32,680	32,680	32,680
State Operations	43,751	40,731	41,752	43,270	45,366
Personal Service	32,119	30,465	31,553	32,794	34,616
Non-Personal Service/Indirect Costs	11,632	10,266	10,199	10,476	10,750
General State Charges	15,987	15,744	16,887	18,517	20,452
<b><i>Developmental Disabilities Planning Council</i></b>	<b>3,908</b>	<b>4,200</b>	<b>4,200</b>	<b>4,200</b>	<b>4,199</b>
State Operations	2,573	3,665	3,551	3,489	3,441
Personal Service	980	1,197	1,211	1,230	1,253
Non-Personal Service/Indirect Costs	1,593	2,468	2,340	2,259	2,188
General State Charges	1,335	535	649	711	758
<b><i>Mental Health, Office of</i></b>	<b>3,171,087</b>	<b>3,234,881</b>	<b>3,503,473</b>	<b>3,836,941</b>	<b>4,070,257</b>
<b>OMH</b>	<b>1,287,760</b>	<b>1,392,730</b>	<b>1,560,005</b>	<b>1,776,616</b>	<b>1,870,318</b>
Local Assistance Grants	795,093	812,411	949,741	1,131,885	1,203,849
State Operations	308,056	371,801	375,582	393,664	400,896
Personal Service	253,990	291,993	292,727	311,167	318,089
Non-Personal Service/Indirect Costs	54,066	79,808	82,855	82,497	82,807
General State Charges	121,600	130,948	157,084	173,387	187,790
Capital Projects	63,011	77,570	77,598	77,680	77,783
<b>OMH - Other</b>	<b>1,883,327</b>	<b>1,842,151</b>	<b>1,943,468</b>	<b>2,060,325</b>	<b>2,199,939</b>
Local Assistance Grants	408,148	384,595	413,011	439,833	472,146

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
State Operations	1,066,389	1,023,878	1,077,659	1,127,016	1,181,028
Personal Service	827,902	815,944	845,777	875,740	928,287
Non-Personal Service/Indirect Costs	238,487	207,934	231,882	251,276	252,741
General State Charges	408,790	433,678	452,798	493,476	546,765
<b>Mental Hygiene, Department of</b>	<b>411</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	411	0	0	0	0
Non-Personal Service/Indirect Costs	411	0	0	0	0
<b>People with Developmental Disabilities, Office for</b>	<b>4,370,972</b>	<b>4,396,697</b>	<b>4,728,215</b>	<b>4,926,559</b>	<b>5,152,563</b>
<b>OPWDD</b>	<b>943,224</b>	<b>518,204</b>	<b>557,245</b>	<b>573,345</b>	<b>582,797</b>
Local Assistance Grants	691,809	439,132	483,293	499,393	508,845
State Operations	108,957	33,782	34,658	34,658	34,658
Personal Service	72,350	116	116	116	116
Non-Personal Service/Indirect Costs	36,607	33,666	34,542	34,542	34,542
General State Charges	104,039	70	74	74	74
Capital Projects	38,419	45,220	39,220	39,220	39,220
<b>OPWDD - Other</b>	<b>3,427,748</b>	<b>3,878,493</b>	<b>4,170,970</b>	<b>4,353,214</b>	<b>4,569,766</b>
Local Assistance Grants	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
State Operations	1,378,983	1,484,984	1,542,333	1,579,311	1,665,144
Personal Service	1,052,886	1,122,181	1,166,099	1,192,383	1,268,076
Non-Personal Service/Indirect Costs	326,097	362,803	376,234	386,928	397,068
General State Charges	417,127	594,100	647,238	698,521	767,874
<b>Quality of Care and Advocacy for Persons With Disabilities, Commission on</b>	<b>13,903</b>	<b>17,074</b>	<b>17,580</b>	<b>18,097</b>	<b>18,646</b>
Local Assistance Grants	560	620	620	620	620
State Operations	11,635	14,479	14,784	15,120	15,490
Personal Service	6,184	8,070	8,801	8,994	9,204
Non-Personal Service/Indirect Costs	5,451	6,409	5,983	6,126	6,286
General State Charges	1,708	1,975	2,176	2,357	2,536
<b>Functional Total</b>	<b>8,152,994</b>	<b>8,263,645</b>	<b>8,891,958</b>	<b>9,515,003</b>	<b>9,999,546</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
<b>Correction, Commission of</b>	<b>2,251</b>	<b>2,672</b>	<b>2,711</b>	<b>2,787</b>	<b>2,864</b>
State Operations	2,251	2,672	2,711	2,787	2,864
Personal Service	1,890	2,398	2,414	2,481	2,548
Non-Personal Service/Indirect Costs	361	274	297	306	316
<b>Correctional Services, Department of</b>	<b>2,721,925</b>	<b>2,698,188</b>	<b>2,740,299</b>	<b>2,800,300</b>	<b>2,937,971</b>
Local Assistance Grants	6,141	6,051	6,000	6,000	6,000
State Operations	2,474,865	2,437,457	2,473,207	2,527,175	2,658,696
Personal Service	1,962,381	1,963,931	1,979,990	2,013,449	2,123,530
Non-Personal Service/Indirect Costs	512,484	473,526	493,217	513,726	535,166
General State Charges	1,120	1,415	1,415	1,415	1,415
Capital Projects	239,799	253,265	259,677	265,710	271,860
<b>Corrections and Community Supervision Medicaid, Department of</b>	<b>0</b>	<b>11,500</b>	<b>11,500</b>	<b>11,500</b>	<b>12,500</b>
Local Assistance Grants	0	11,500	11,500	11,500	12,500
<b>Criminal Justice Services, Division of</b>	<b>270,905</b>	<b>286,451</b>	<b>258,177</b>	<b>256,266</b>	<b>257,896</b>
Local Assistance Grants	188,439	197,750	173,551	173,711	173,711
State Operations	82,091	88,306	84,217	82,110	83,703
Personal Service	42,682	42,553	39,777	40,760	41,886
Non-Personal Service/Indirect Costs	39,409	45,753	44,440	41,350	41,817
General State Charges	375	395	409	445	482
<b>Disaster Assistance</b>	<b>38,565</b>	<b>42,700</b>	<b>30,000</b>	<b>34,500</b>	<b>0</b>
State Operations	20,811	42,700	30,000	34,500	0
Personal Service	9,685	0	0	0	0
Non-Personal Service/Indirect Costs	11,126	42,700	30,000	34,500	0
Capital Projects	17,754	0	0	0	0
<b>Homeland Security and Emergency Services, Division of</b>	<b>554,662</b>	<b>554,049</b>	<b>559,283</b>	<b>531,896</b>	<b>516,344</b>
Local Assistance Grants	446,563	472,694	492,510	476,035	464,294
State Operations	99,607	61,734	50,052	46,740	48,029
Personal Service	21,841	21,738	21,903	22,519	23,219
Non-Personal Service/Indirect Costs	77,766	39,996	28,149	24,221	24,810
General State Charges	4,016	3,621	3,621	3,621	3,621
Capital Projects	4,476	16,000	13,100	5,500	400



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Indigent Legal Services, Office of</b>	<b>62,701</b>	<b>75,144</b>	<b>78,588</b>	<b>78,631</b>	<b>78,672</b>
Local Assistance Grants	62,292	74,000	77,000	77,000	77,000
State Operations	326	859	1,151	1,167	1,183
Personal Service	259	569	802	810	818
Non-Personal Service/Indirect Costs	67	290	349	357	365
General State Charges	83	285	437	464	489
<b>Judicial Commissions</b>	<b>4,979</b>	<b>5,452</b>	<b>5,577</b>	<b>5,795</b>	<b>5,990</b>
State Operations	4,979	5,452	5,577	5,795	5,990
Personal Service	3,794	4,093	4,124	4,237	4,357
Non-Personal Service/Indirect Costs	1,185	1,359	1,453	1,558	1,633
<b>Military and Naval Affairs, Division of</b>	<b>86,751</b>	<b>96,001</b>	<b>96,273</b>	<b>113,258</b>	<b>111,940</b>
Local Assistance Grants	3,569	867	850	850	850
State Operations	61,069	61,344	61,678	62,152	62,734
Personal Service	39,164	38,671	38,990	39,316	39,746
Non-Personal Service/Indirect Costs	21,905	22,673	22,688	22,836	22,988
General State Charges	6,021	6,749	6,749	6,749	6,749
Capital Projects	16,092	27,041	26,996	43,507	41,607
<b>Public Security and Emergency Response</b>	<b>125</b>	<b>600</b>	<b>600</b>	<b>600</b>	<b>600</b>
State Operations	0	600	600	600	600
Personal Service	0	600	600	600	600
Capital Projects	125	0	0	0	0
<b>State Police, Division of</b>	<b>681,902</b>	<b>709,749</b>	<b>699,043</b>	<b>701,656</b>	<b>715,640</b>
State Operations	632,064	650,667	657,096	662,561	675,545
Personal Service	567,477	570,847	573,673	577,636	585,610
Non-Personal Service/Indirect Costs	64,587	79,820	83,423	84,925	89,935
General State Charges	19,372	21,282	24,073	25,230	26,230
Capital Projects	30,466	37,800	17,874	13,865	13,865
<b>Statewide Financial System</b>	<b>17,596</b>	<b>52,601</b>	<b>51,525</b>	<b>52,826</b>	<b>54,164</b>
State Operations	17,596	52,601	51,525	52,826	54,164
Personal Service	7,554	10,814	10,895	10,977	11,060
Non-Personal Service/Indirect Costs	10,042	41,787	40,630	41,849	43,104
General State Charges	0	0	0	0	0
<b>Statewide Wireless Network</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	0	0	0	0	0
Personal Service	0	0	0	0	0
Non-Personal Service/Indirect Costs	0	0	0	0	0
General State Charges	0	0	0	0	0
<b>Victim Services, Office of</b>	<b>76,444</b>	<b>65,197</b>	<b>67,402</b>	<b>67,690</b>	<b>68,010</b>
Local Assistance Grants	68,834	56,310	58,310	58,310	58,310
State Operations	5,793	6,784	6,879	7,054	7,272
Personal Service	4,398	5,366	5,446	5,604	5,805
Non-Personal Service/Indirect Costs	1,395	1,418	1,433	1,450	1,467
General State Charges	1,817	2,103	2,213	2,326	2,428
<b>Functional Total</b>	<b>4,518,806</b>	<b>4,600,304</b>	<b>4,600,978</b>	<b>4,657,705</b>	<b>4,762,591</b>
<b>HIGHER EDUCATION</b>					
<b>City University of New York</b>	<b>1,341,784</b>	<b>1,380,694</b>	<b>1,507,203</b>	<b>1,566,063</b>	<b>1,631,936</b>
Local Assistance Grants	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
State Operations	107,837	103,231	105,433	107,683	109,983
Personal Service	74,043	75,289	76,792	78,326	79,892
Non-Personal Service/Indirect Costs	33,794	27,942	28,641	29,357	30,091
General State Charges	6,355	6,152	6,337	6,527	6,723
Capital Projects	25,182	52,127	53,066	46,725	41,958
<b>Higher Education - Miscellaneous</b>	<b>449</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>	<b>1,300</b>
State Operations	376	1,201	1,201	1,201	1,201
Personal Service	207	198	198	198	198
Non-Personal Service/Indirect Costs	169	1,003	1,003	1,003	1,003
General State Charges	73	99	99	99	99
<b>Higher Education Facilities Capital Matching Grants Program</b>	<b>26,836</b>	<b>36,492</b>	<b>10,000</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	26,430	36,492	10,000	0	0
Capital Projects	406	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>Higher Education Services Corporation, New York State</b>	<b>1,005,423</b>	<b>1,027,096</b>	<b>1,095,073</b>	<b>1,110,777</b>	<b>1,124,987</b>
Local Assistance Grants	924,035	935,933	1,001,349	1,014,412	1,025,891
State Operations	68,594	75,613	76,942	78,609	80,454
Personal Service	28,928	31,002	31,390	32,083	32,894
Non-Personal Service/Indirect Costs	39,666	44,611	45,552	46,526	47,560
General State Charges	12,794	15,550	16,782	17,756	18,642
<b>State University Construction Fund</b>	<b>22,625</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
State Operations	16,809	0	0	0	0
Personal Service	13,612	0	0	0	0
Non-Personal Service/Indirect Costs	3,197	0	0	0	0
General State Charges	5,816	0	0	0	0
<b>State University of New York</b>	<b>7,803,680</b>	<b>7,905,180</b>	<b>8,018,086</b>	<b>8,308,099</b>	<b>8,391,494</b>
Local Assistance Grants	498,156	470,345	475,992	475,992	475,992
State Operations	5,731,626	5,756,241	5,865,359	6,007,856	6,146,597
Personal Service	3,332,878	3,384,377	3,436,103	3,526,783	3,613,595
Non-Personal Service/Indirect Costs	2,398,748	2,371,864	2,429,256	2,481,073	2,533,002
General State Charges	559,990	586,990	605,790	625,588	646,321
Capital Projects	1,013,908	1,091,604	1,070,945	1,198,663	1,122,584
<b>Functional Total</b>	<b>10,200,797</b>	<b>10,350,762</b>	<b>10,631,662</b>	<b>10,986,239</b>	<b>11,149,717</b>
<b>EDUCATION</b>					
<b>Arts, Council on the</b>	<b>35,370</b>	<b>40,573</b>	<b>36,575</b>	<b>36,621</b>	<b>36,671</b>
Local Assistance Grants	31,282	36,353	32,353	32,353	32,353
State Operations	4,088	4,220	4,222	4,268	4,318
Personal Service	2,266	2,298	2,300	2,346	2,396
Non-Personal Service/Indirect Costs	1,822	1,922	1,922	1,922	1,922
<b>Education, Department of</b>	<b>29,680,252</b>	<b>29,601,929</b>	<b>30,798,494</b>	<b>31,742,103</b>	<b>32,719,133</b>
<b>School Aid</b>	<b>23,221,100</b>	<b>23,045,417</b>	<b>23,765,835</b>	<b>24,523,827</b>	<b>25,540,462</b>
Local Assistance Grants	23,221,100	23,045,417	23,765,835	24,523,827	25,540,462
<b>STAR Property Tax Relief</b>	<b>3,232,883</b>	<b>3,276,067</b>	<b>3,459,375</b>	<b>3,641,726</b>	<b>3,743,568</b>
Local Assistance Grants	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<b>Special Education Categorical Programs</b>	<b>2,287,338</b>	<b>2,131,236</b>	<b>2,244,916</b>	<b>2,334,516</b>	<b>2,425,816</b>
Local Assistance Grants	2,287,338	2,131,236	2,244,916	2,334,516	2,425,816
<b>All Other</b>	<b>938,931</b>	<b>1,149,209</b>	<b>1,328,368</b>	<b>1,242,034</b>	<b>1,009,287</b>
Local Assistance Grants	591,804	732,869	813,224	755,860	619,244
State Operations	269,680	311,825	404,782	385,464	280,023
Personal Service	158,373	164,774	167,849	170,157	171,803
Non-Personal Service/Indirect Costs	111,307	147,051	236,933	215,307	108,220
General State Charges	69,217	71,878	77,244	82,310	86,620
Capital Projects	8,230	32,637	33,118	18,400	23,400
<b>Functional Total</b>	<b>29,715,622</b>	<b>29,642,502</b>	<b>30,835,069</b>	<b>31,778,724</b>	<b>32,755,804</b>
<b>GENERAL GOVERNMENT</b>					
<b>Budget, Division of the</b>	<b>32,762</b>	<b>33,550</b>	<b>39,246</b>	<b>41,937</b>	<b>43,143</b>
State Operations	30,681	31,253	36,596	39,063	40,049
Personal Service	23,829	24,961	25,768	27,287	28,166
Non-Personal Service/Indirect Costs	6,852	6,292	10,828	11,776	11,883
General State Charges	2,081	2,297	2,650	2,874	3,094
<b>Civil Service, Department of</b>	<b>14,836</b>	<b>14,904</b>	<b>16,311</b>	<b>16,775</b>	<b>17,179</b>
State Operations	14,595	14,736	16,143	16,603	17,003
Personal Service	13,284	13,247	14,359	14,714	15,109
Non-Personal Service/Indirect Costs	1,311	1,489	1,784	1,889	1,894
General State Charges	241	168	168	172	176
<b>Deferred Compensation Board</b>	<b>621</b>	<b>796</b>	<b>823</b>	<b>858</b>	<b>867</b>
State Operations	457	605	615	633	642
Personal Service	378	392	396	408	417
Non-Personal Service/Indirect Costs	79	213	219	225	225
General State Charges	164	191	208	225	225
<b>Elections, State Board of</b>	<b>17,743</b>	<b>18,414</b>	<b>27,179</b>	<b>35,316</b>	<b>5,462</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Local Assistance Grants	9,325	5,300	0	30,000	0
State Operations	<u>8,292</u>	<u>13,114</u>	<u>27,179</u>	<u>5,316</u>	<u>5,462</u>
Personal Service	4,105	4,144	4,254	4,370	4,495
Non-Personal Service/Indirect Costs	4,187	8,970	22,925	946	967
General State Charges	126	0	0	0	0
<b>Employee Relations, Office of</b>	<b><u>2,604</u></b>	<b><u>2,688</u></b>	<b><u>2,710</u></b>	<b><u>2,786</u></b>	<b><u>2,869</u></b>
State Operations	<u>2,604</u>	<u>2,688</u>	<u>2,710</u>	<u>2,786</u>	<u>2,869</u>
Personal Service	2,529	2,551	2,570	2,646	2,728
Non-Personal Service/Indirect Costs	75	137	140	140	141
<b>General Services, Office of</b>	<b><u>202,539</u></b>	<b><u>228,071</u></b>	<b><u>204,927</u></b>	<b><u>210,465</u></b>	<b><u>213,491</u></b>
Local Assistance Grants	0	269	250	250	250
State Operations	<u>126,243</u>	<u>159,323</u>	<u>143,366</u>	<u>138,188</u>	<u>141,074</u>
Personal Service	48,873	50,886	51,859	52,985	54,378
Non-Personal Service/Indirect Costs	77,370	108,437	91,507	85,203	86,696
General State Charges	1,495	1,901	2,002	2,144	2,284
Capital Projects	74,801	66,578	59,309	69,883	69,883
<b>Inspector General, Office of the</b>	<b><u>5,409</u></b>	<b><u>6,610</u></b>	<b><u>6,717</u></b>	<b><u>6,970</u></b>	<b><u>7,196</u></b>
State Operations	<u>5,409</u>	<u>6,610</u>	<u>6,717</u>	<u>6,970</u>	<u>7,196</u>
Personal Service	5,067	6,083	6,129	6,301	6,485
Non-Personal Service/Indirect Costs	342	527	588	669	711
<b>Labor Management Committees</b>	<b><u>23,215</u></b>	<b><u>33,470</u></b>	<b><u>33,074</u></b>	<b><u>35,189</u></b>	<b><u>40,316</u></b>
State Operations	<u>23,215</u>	<u>33,470</u>	<u>33,074</u>	<u>35,189</u>	<u>40,316</u>
Personal Service	8,359	5,500	5,504	6,119	6,366
Non-Personal Service/Indirect Costs	14,856	27,970	27,570	29,070	33,950
<b>Lottery, Division of the</b>	<b><u>150,306</u></b>	<b><u>172,990</u></b>	<b><u>169,076</u></b>	<b><u>173,058</u></b>	<b><u>173,456</u></b>
State Operations	<u>140,484</u>	<u>161,247</u>	<u>155,197</u>	<u>159,080</u>	<u>159,478</u>
Personal Service	20,276	24,676	25,029	25,495	25,893
Non-Personal Service/Indirect Costs	120,208	136,571	130,168	133,585	133,585
General State Charges	9,822	11,743	13,879	13,978	13,978
<b>Public Employment Relations Board</b>	<b><u>3,675</u></b>	<b><u>3,731</u></b>	<b><u>3,857</u></b>	<b><u>3,804</u></b>	<b><u>3,896</u></b>
State Operations	<u>3,675</u>	<u>3,731</u>	<u>3,857</u>	<u>3,804</u>	<u>3,896</u>
Personal Service	3,197	3,290	3,119	3,046	3,138
Non-Personal Service/Indirect Costs	478	441	738	758	758
<b>Public Integrity, Commission on</b>	<b><u>3,217</u></b>	<b><u>4,016</u></b>	<b><u>4,061</u></b>	<b><u>4,175</u></b>	<b><u>4,295</u></b>
State Operations	<u>3,217</u>	<u>4,016</u>	<u>4,061</u>	<u>4,175</u>	<u>4,295</u>
Personal Service	2,492	3,166	3,190	3,282	3,380
Non-Personal Service/Indirect Costs	725	850	871	893	915
<b>Regulatory Reform, Governor's Office of</b>	<b><u>83</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>	<b><u>0</u></b>
State Operations	<u>83</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Personal Service	80	0	0	0	0
Non-Personal Service/Indirect Costs	3	0	0	0	0
<b>State, Department of</b>	<b><u>130,222</u></b>	<b><u>135,839</u></b>	<b><u>125,639</u></b>	<b><u>128,026</u></b>	<b><u>129,056</u></b>
Local Assistance Grants	76,614	71,575	59,334	59,334	59,334
State Operations	<u>44,627</u>	<u>53,068</u>	<u>54,130</u>	<u>55,419</u>	<u>56,159</u>
Personal Service	31,461	34,848	35,868	36,702	37,431
Non-Personal Service/Indirect Costs	13,166	18,220	18,262	18,717	18,728
General State Charges	8,981	11,211	12,190	13,288	13,578
Capital Projects	0	(15)	(15)	(15)	(15)
<b>Tax Appeals, Division of</b>	<b><u>2,850</u></b>	<b><u>3,101</u></b>	<b><u>2,813</u></b>	<b><u>2,894</u></b>	<b><u>2,948</u></b>
State Operations	<u>2,850</u>	<u>3,101</u>	<u>2,813</u>	<u>2,894</u>	<u>2,948</u>
Personal Service	2,483	2,900	2,601	2,673	2,727
Non-Personal Service/Indirect Costs	367	201	212	221	221
<b>Taxation and Finance, Department of</b>	<b><u>401,710</u></b>	<b><u>415,809</u></b>	<b><u>418,760</u></b>	<b><u>430,108</u></b>	<b><u>436,823</u></b>
Local Assistance Grants	6,487	926	926	926	926
State Operations	<u>383,589</u>	<u>393,361</u>	<u>395,014</u>	<u>406,135</u>	<u>412,850</u>
Personal Service	300,408	301,881	300,966	309,755	316,470
Non-Personal Service/Indirect Costs	83,181	91,480	94,048	96,380	96,380
General State Charges	11,634	21,522	22,820	23,047	23,047
<b>Technology, Office for</b>	<b><u>19,640</u></b>	<b><u>21,994</u></b>	<b><u>21,305</u></b>	<b><u>23,877</u></b>	<b><u>23,485</u></b>
Local Assistance Grants	682	0	0	0	0
State Operations	<u>18,958</u>	<u>21,994</u>	<u>21,305</u>	<u>23,877</u>	<u>23,485</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Personal Service	10,456	13,586	13,595	13,907	14,246
Non-Personal Service/Indirect Costs	8,502	8,408	7,710	9,970	9,239
Capital Projects	0	0	0	0	0
<b>Veterans' Affairs, Division of</b>	<b>13,680</b>	<b>15,766</b>	<b>14,631</b>	<b>14,865</b>	<b>15,121</b>
Local Assistance Grants	7,572	8,117	7,397	7,457	7,517
State Operations	5,875	7,299	6,884	7,058	7,254
Personal Service	5,289	5,807	5,890	6,041	6,212
Non-Personal Service/Indirect Costs	586	1,492	994	1,017	1,042
General State Charges	233	350	350	350	350
<b>Functional Total</b>	<b>1,025,112</b>	<b>1,111,749</b>	<b>1,091,129</b>	<b>1,131,103</b>	<b>1,119,603</b>
<b>ELECTED OFFICIALS</b>					
<b>Audit and Control, Department of</b>	<b>169,817</b>	<b>173,479</b>	<b>175,736</b>	<b>179,315</b>	<b>183,489</b>
Local Assistance Grants	32,005	32,024	32,024	32,024	32,024
State Operations	135,123	139,394	142,190	145,658	149,721
Personal Service	103,298	108,671	109,395	112,012	115,214
Non-Personal Service/Indirect Costs	31,825	30,723	32,805	33,646	34,507
General State Charges	2,689	2,061	1,522	1,633	1,744
<b>Executive Chamber</b>	<b>13,256</b>	<b>13,578</b>	<b>13,836</b>	<b>14,773</b>	<b>15,185</b>
State Operations	13,256	13,578	13,836	14,773	15,185
Personal Service	10,210	11,338	11,495	12,238	12,589
Non-Personal Service/Indirect Costs	3,046	2,240	2,341	2,535	2,596
<b>Judiciary</b>	<b>2,552,278</b>	<b>2,554,498</b>	<b>2,687,444</b>	<b>2,812,504</b>	<b>2,942,000</b>
Local Assistance Grants	114,108	106,700	121,700	121,700	121,700
State Operations	1,834,013	1,863,400	1,921,914	2,007,452	2,102,994
Personal Service	1,466,250	1,468,250	1,514,284	1,561,492	1,618,742
Non-Personal Service/Indirect Costs	367,763	395,150	407,630	445,960	484,252
General State Charges	601,846	584,398	643,830	683,352	717,306
Capital Projects	2,311	0	0	0	0
<b>Law, Department of</b>	<b>200,999</b>	<b>215,348</b>	<b>214,252</b>	<b>220,287</b>	<b>226,829</b>
State Operations	185,671	192,710	190,541	195,195	200,423
Personal Service	123,150	130,394	130,602	133,947	137,628
Non-Personal Service/Indirect Costs	62,521	62,316	59,939	61,248	62,795
General State Charges	15,328	22,638	23,711	25,092	26,406
<b>Legislature</b>	<b>197,163</b>	<b>218,795</b>	<b>221,349</b>	<b>223,945</b>	<b>226,583</b>
State Operations	197,163	218,795	221,349	223,945	226,583
Personal Service	151,882	165,284	166,524	167,773	169,031
Non-Personal Service/Indirect Costs	45,281	53,511	54,825	56,172	57,552
<b>Lieutenant Governor, Office of the</b>	<b>408</b>	<b>614</b>	<b>614</b>	<b>665</b>	<b>680</b>
State Operations	408	614	614	665	680
Personal Service	289	480	494	515	543
Non-Personal Service/Indirect Costs	119	134	120	150	137
<b>Functional Total</b>	<b>3,133,921</b>	<b>3,176,312</b>	<b>3,313,231</b>	<b>3,451,489</b>	<b>3,594,766</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
<b>Aid and Incentives for Municipalities</b>	<b>721,034</b>	<b>739,655</b>	<b>757,414</b>	<b>772,589</b>	<b>775,357</b>
Local Assistance Grants	721,034	739,655	757,414	772,589	775,357
<b>Efficiency Incentive Grants Program</b>	<b>4,714</b>	<b>9,636</b>	<b>3,805</b>	<b>0</b>	<b>0</b>
Local Assistance Grants	4,714	9,636	3,805	0	0
<b>Miscellaneous Financial Assistance</b>	<b>1,960</b>	<b>2,000</b>	<b>1,960</b>	<b>1,960</b>	<b>1,960</b>
Local Assistance Grants	1,960	2,000	1,960	1,960	1,960
<b>Municipalities with VLT Facilities</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>	<b>25,867</b>
Local Assistance Grants	25,867	25,867	25,867	25,867	25,867
<b>Small Government Assistance</b>	<b>217</b>	<b>218</b>	<b>218</b>	<b>218</b>	<b>218</b>
Local Assistance Grants	217	218	218	218	218
<b>Functional Total</b>	<b>753,792</b>	<b>777,376</b>	<b>789,264</b>	<b>800,634</b>	<b>803,402</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ALL OTHER CATEGORIES</b>					
<b>General State Charges</b>	<b>3,941,782</b>	<b>3,628,920</b>	<b>3,999,936</b>	<b>4,305,513</b>	<b>4,563,359</b>
State Operations	3,676	0	0	0	0
Personal Service	2,091	0	0	0	0
Non-Personal Service/Indirect Costs	1,585	0	0	0	0
General State Charges	3,938,106	3,628,920	3,999,936	4,305,513	4,563,359
<b>Long-Term Debt Service</b>	<b>5,909,754</b>	<b>6,111,051</b>	<b>6,447,502</b>	<b>6,569,083</b>	<b>6,702,267</b>
State Operations	45,732	46,925	46,925	46,925	46,925
Non-Personal Service/Indirect Costs	45,732	46,925	46,925	46,925	46,925
Debt Service	5,864,022	6,064,126	6,400,577	6,522,158	6,655,342
<b>Miscellaneous</b>	<b>(181,217)</b>	<b>(203,301)</b>	<b>(304,259)</b>	<b>(335,735)</b>	<b>(211,950)</b>
Local Assistance Grants	(317,408)	(231,724)	(180,604)	(234,829)	(158,921)
State Operations	5,007	(68,386)	(233,602)	(202,986)	(99,232)
Personal Service	2,356	27,932	(25,685)	(11,769)	41,939
Non-Personal Service/Indirect Costs	2,651	(96,318)	(207,917)	(191,217)	(141,171)
General State Charges	5,244	5,809	5,947	6,080	6,203
Capital Projects	125,940	91,000	104,000	96,000	40,000
<b>Functional Total</b>	<b>9,670,319</b>	<b>9,536,670</b>	<b>10,143,179</b>	<b>10,538,861</b>	<b>11,053,676</b>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<b>133,503,848</b>	<b>133,391,784</b>	<b>139,420,912</b>	<b>146,095,451</b>	<b>151,504,978</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	109,312	107,124	101,679	101,990	99,292
Alcoholic Beverage Control, Division of	16,616	17,859	18,314	18,781	19,225
Development Authority of the North Country	0	70	0	0	0
Economic Development Capital	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	147,540	142,744	127,874	98,234	93,630
Empire State Development Corporation	953,805	521,153	658,882	634,903	457,292
Energy Research and Development Authority	31,567	29,358	31,178	31,178	31,178
Financial Services, Department of	507,240	486,283	490,712	494,195	498,348
Olympic Regional Development Authority	3,596	3,079	3,206	3,288	3,288
Public Service Department	68,432	72,884	81,863	85,866	89,955
Racing and Wagering Board, State	19,553	18,248	18,513	18,845	19,080
Regional Economic Development Program	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,885,092</b>	<b>1,418,802</b>	<b>1,552,221</b>	<b>1,498,780</b>	<b>1,322,788</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,354	4,496	4,525	4,601	4,687
Environmental Conservation, Department of	1,002,764	979,441	895,698	811,246	781,167
Environmental Facilities Corporation	10,347	0	0	0	0
Hudson River Park Trust	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	235,452	282,688	250,434	240,452	228,768
<b>Functional Total</b>	<b>1,253,133</b>	<b>1,270,441</b>	<b>1,150,657</b>	<b>1,056,299</b>	<b>1,014,622</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	301,370	298,719	316,288	327,324	339,175
Thruway Authority, New York State	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	7,830,434	8,083,332	8,417,539	8,312,710	8,012,937
<b>Functional Total</b>	<b>8,328,467</b>	<b>8,717,451</b>	<b>8,919,227</b>	<b>8,825,434</b>	<b>8,682,483</b>
<b>HEALTH</b>					
Aging, Office for the	227,242	218,487	221,126	228,051	235,209
Health, Department of	44,663,159	44,574,230	47,412,528	51,734,148	54,959,673
<i>Medical Assistance</i>	39,256,878	38,984,461	41,611,055	46,188,911	49,659,517
<i>Medicaid Administration</i>	976,377	1,160,500	1,151,400	1,077,200	958,200
<i>Public Health</i>	4,429,904	4,429,269	4,650,073	4,468,037	4,341,956
Medicaid Inspector General, Office of the	60,837	66,241	67,525	70,221	71,464
Stem Cell and Innovation	43,702	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<b>44,994,940</b>	<b>44,902,458</b>	<b>47,764,852</b>	<b>52,093,793</b>	<b>55,322,846</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	3,183,934	2,984,297	3,328,906	3,417,384	3,518,588
<i>OCFS</i>	3,070,766	2,890,846	3,211,538	3,295,411	3,391,865
<i>OCFS - Other</i>	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	482,652	262,781	258,792	263,099	270,819
Human Rights, Division of	17,311	16,966	17,285	17,858	18,471
Labor, Department of	641,800	697,338	641,486	652,596	667,132
National and Community Service	21,606	14,601	14,687	14,909	14,909
Prevention of Domestic Violence, Office for	1,825	2,094	2,111	2,153	2,202
Temporary and Disability Assistance, Office of	5,317,739	5,444,086	5,270,239	5,182,778	5,213,431
<i>Welfare Assistance</i>	3,787,292	4,016,043	3,853,929	3,752,278	3,778,991
<i>All Other</i>	1,530,447	1,428,043	1,416,310	1,430,500	1,434,440
Welfare Inspector General, Office of	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	203,545	199,742	202,552	209,154	216,114
<b>Functional Total</b>	<b>9,870,853</b>	<b>9,623,312</b>	<b>9,737,485</b>	<b>9,761,387</b>	<b>9,923,134</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	592,713	610,793	638,490	729,206	753,881
<i>OASAS</i>	500,824	521,638	547,171	634,739	655,383
<i>OASAS - Other</i>	91,889	89,155	91,319	94,467	98,498
Developmental Disabilities Planning Council	3,908	4,200	4,200	4,200	4,199
Mental Health, Office of	3,171,087	3,234,881	3,503,473	3,836,941	4,070,257
<i>OMH</i>	1,287,760	1,392,730	1,560,005	1,776,616	1,870,318
<i>OMH - Other</i>	1,883,327	1,842,151	1,943,468	2,060,325	2,199,939
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	4,370,972	4,396,697	4,728,215	4,926,559	5,152,563
<i>OPWDD</i>	943,224	518,204	557,245	573,345	582,797
<i>OPWDD - Other</i>	3,427,748	3,878,493	4,170,970	4,353,214	4,569,766

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Quality of Care and Advocacy for Persons With Disabilities, Commission on	13,903	17,074	17,580	18,097	18,646
<b>Functional Total</b>	<b>8,152,994</b>	<b>8,263,645</b>	<b>8,891,958</b>	<b>9,515,003</b>	<b>9,999,546</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,721,925	2,698,188	2,740,299	2,800,300	2,937,971
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	270,905	286,451	258,177	256,266	257,896
Disaster Assistance	38,565	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	554,662	554,049	559,283	531,896	516,344
Indigent Legal Services, Office of	62,701	75,144	78,588	78,631	78,672
Judicial Commissions	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	86,751	96,001	96,273	113,258	111,940
Public Security and Emergency Response	125	600	600	600	600
State Police, Division of	681,902	709,749	699,043	701,656	715,640
Statewide Financial System	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	76,444	65,197	67,402	67,690	68,010
<b>Functional Total</b>	<b>4,518,806</b>	<b>4,600,304</b>	<b>4,600,978</b>	<b>4,657,705</b>	<b>4,762,591</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,341,784	1,380,694	1,507,203	1,566,063	1,631,936
Higher Education - Miscellaneous	449	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	26,836	36,492	10,000	0	0
Higher Education Services Corporation, New York State	1,005,423	1,027,096	1,095,073	1,110,777	1,124,987
State University Construction Fund	22,625	0	0	0	0
State University of New York	7,803,680	7,905,180	8,018,086	8,308,099	8,391,494
<b>Functional Total</b>	<b>10,200,797</b>	<b>10,350,762</b>	<b>10,631,662</b>	<b>10,986,239</b>	<b>11,149,717</b>
<b>EDUCATION</b>					
Arts, Council on the	35,370	40,573	36,575	36,621	36,671
Education, Department of	29,680,252	29,601,929	30,798,494	31,742,103	32,719,133
<i>School Aid</i>	23,221,100	23,045,417	23,765,835	24,523,827	25,540,462
<i>STAR Property Tax Relief</i>	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	2,287,338	2,131,236	2,244,916	2,334,516	2,425,816
<i>All Other</i>	938,931	1,149,209	1,328,368	1,242,034	1,009,287
<b>Functional Total</b>	<b>29,715,622</b>	<b>29,642,502</b>	<b>30,835,069</b>	<b>31,778,724</b>	<b>32,755,804</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	32,762	33,550	39,246	41,937	43,143
Civil Service, Department of	14,836	14,904	16,311	16,775	17,179
Deferred Compensation Board	621	796	823	858	867
Elections, State Board of	17,743	18,414	27,179	35,316	5,462
Employee Relations, Office of	2,604	2,688	2,710	2,786	2,869
General Services, Office of	202,539	228,071	204,927	210,465	213,491
Inspector General, Office of the	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	23,215	33,470	33,074	35,189	40,316
Lottery, Division of the	150,306	172,990	169,076	173,058	173,456
Public Employment Relations Board	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,217	4,016	4,061	4,175	4,295
Regulatory Reform, Governor's Office of	83	0	0	0	0
State, Department of	130,222	135,839	125,639	128,026	129,056
Tax Appeals, Division of	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	401,710	415,809	418,760	430,108	436,823
Technology, Office for	19,640	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	13,680	15,766	14,631	14,865	15,121
<b>Functional Total</b>	<b>1,025,112</b>	<b>1,111,749</b>	<b>1,091,129</b>	<b>1,131,103</b>	<b>1,119,603</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	169,817	173,479	175,736	179,315	183,489
Executive Chamber	13,256	13,578	13,836	14,773	15,185
Judiciary	2,552,278	2,554,498	2,687,444	2,812,504	2,942,000
Law, Department of	200,999	215,348	214,252	220,287	226,829
Legislature	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	408	614	614	665	680
<b>Functional Total</b>	<b>3,133,921</b>	<b>3,176,312</b>	<b>3,313,231</b>	<b>3,451,489</b>	<b>3,594,766</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,714	9,636	3,805	0	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Miscellaneous Financial Assistance	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,941,782	3,628,920	3,999,936	4,305,513	4,563,359
Long-Term Debt Service	5,909,754	6,111,051	6,447,502	6,569,083	6,702,267
Miscellaneous	(181,217)	(203,301)	(304,259)	(335,735)	(211,950)
<b>Functional Total</b>	<u>9,670,319</u>	<u>9,536,670</u>	<u>10,143,179</u>	<u>10,538,861</u>	<u>11,053,676</u>
<b>TOTAL ALL GOVERNMENTAL FUNDS SPENDING</b>	<u>133,503,848</u>	<u>133,391,784</u>	<u>139,420,912</u>	<u>146,095,451</u>	<u>151,504,978</u>

*GSC: Agency disbursements include grants to local governments, state operations and general state charges, which is a departure from prior Financial plan publications. In prior reports, general state charges were excluded from agency spending totals.*



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	24,603	25,652	24,216	24,216	24,216
Development Authority of the North Country	0	70	0	0	0
Economic Development Capital	17,655	0	0	0	0
Economic Development, Department of	112,990	90,364	72,329	54,872	54,872
Empire State Development Corporation	945,359	423,588	526,280	499,900	371,460
Energy Research and Development Authority	8,140	9,234	9,234	9,234	9,234
Financial Services, Department of	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	500	500	500	500
Regional Economic Development Program	6,058	0	0	0	0
<b>Functional Total</b>	<b>1,332,275</b>	<b>766,360</b>	<b>849,511</b>	<b>805,674</b>	<b>677,234</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	358,448	257,775	210,252	149,802	149,802
Environmental Facilities Corporation	1,180	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	15,759	17,382	8,870	8,870	8,870
<b>Functional Total</b>	<b>375,387</b>	<b>275,157</b>	<b>219,122</b>	<b>158,672</b>	<b>158,672</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	194,500	183,600	183,600	183,600	18,571
Motor Vehicles, Department of	18,475	14,800	14,800	14,800	14,800
Thruway Authority, New York State	2,163	0	0	0	0
Transportation, Department of	4,757,704	5,019,024	5,206,264	5,313,709	5,356,763
<b>Functional Total</b>	<b>4,972,842</b>	<b>5,217,424</b>	<b>5,404,664</b>	<b>5,512,109</b>	<b>5,390,134</b>
<b>HEALTH</b>					
Aging, Office for the	217,808	208,466	211,021	217,725	224,670
Health, Department of	43,811,956	43,668,046	46,445,088	50,742,438	53,958,854
<i>Medical Assistance</i>	39,241,656	38,933,106	41,554,700	46,133,056	49,603,662
<i>Medicaid Administration</i>	976,377	1,160,500	1,151,400	1,077,200	958,200
<i>Public Health</i>	3,593,923	3,574,440	3,738,988	3,532,182	3,396,992
<b>Functional Total</b>	<b>44,029,764</b>	<b>43,876,512</b>	<b>46,656,109</b>	<b>50,960,163</b>	<b>54,183,524</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	2,770,325	2,544,911	2,893,090	2,991,987	3,095,372
<i>OCFS</i>	2,657,157	2,451,460	2,775,722	2,870,014	2,968,649
<i>OCFS - Other</i>	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	396,383	181,941	176,139	177,791	182,791
Labor, Department of	164,683	218,507	181,922	179,197	179,197
National and Community Service	38	350	350	350	350
Prevention of Domestic Violence, Office for	541	685	685	685	685
Temporary and Disability Assistance, Office of	4,954,724	5,048,636	4,870,922	4,769,271	4,797,384
<i>Welfare Assistance</i>	3,787,292	4,016,043	3,853,929	3,752,278	3,778,991
<i>All Other</i>	1,167,432	1,032,593	1,016,993	1,016,993	1,018,393
<b>Functional Total</b>	<b>8,286,694</b>	<b>7,995,030</b>	<b>8,123,108</b>	<b>8,119,281</b>	<b>8,255,779</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	482,373	489,470	509,971	595,658	612,860
<i>OASAS</i>	450,222	456,790	477,291	562,978	580,180
<i>OASAS - Other</i>	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	1,203,241	1,197,006	1,362,752	1,571,718	1,675,995
<i>OMH</i>	795,093	812,411	949,741	1,131,885	1,203,849
<i>OMH - Other</i>	408,148	384,595	413,011	439,833	472,146
People with Developmental Disabilities, Office for	2,323,447	2,238,541	2,464,692	2,574,775	2,645,593
<i>OPWDD</i>	691,809	439,132	483,293	499,393	508,845
<i>OPWDD - Other</i>	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
Quality of Care and Advocacy for Persons With Disabilities, Commission on	560	620	620	620	620
<b>Functional Total</b>	<b>4,009,621</b>	<b>3,925,637</b>	<b>4,338,035</b>	<b>4,742,771</b>	<b>4,935,068</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	6,141	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	188,439	197,750	173,551	173,711	173,711
Homeland Security and Emergency Services, Division of	446,563	472,694	492,510	476,035	464,294
Indigent Legal Services, Office of	62,292	74,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,569	867	850	850	850

**CASH DISBURSEMENTS BY FUNCTION**  
**ALL GOVERNMENTAL FUNDS**  
**LOCAL ASSISTANCE GRANTS**  
(thousands of dollars)

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Victim Services, Office of	68,834	56,310	58,310	58,310	58,310
<b>Functional Total</b>	<u>775,838</u>	<u>819,172</u>	<u>819,721</u>	<u>803,406</u>	<u>792,665</u>
<b>HIGHER EDUCATION</b>					
City University of New York	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Facilities Capital Matching Grants Program	26,430	36,492	10,000	0	0
Higher Education Services Corporation, New York State	924,035	935,933	1,001,349	1,014,412	1,025,891
State University of New York	498,156	470,345	475,992	475,992	475,992
<b>Functional Total</b>	<u>2,651,031</u>	<u>2,661,954</u>	<u>2,829,708</u>	<u>2,895,532</u>	<u>2,975,155</u>
<b>EDUCATION</b>					
Arts, Council on the	31,282	36,353	32,353	32,353	32,353
Education, Department of	29,333,125	29,185,589	30,283,350	31,255,929	32,329,090
<i>School Aid</i>	23,221,100	23,045,417	23,765,835	24,523,827	25,540,462
<i>STAR Property Tax Relief</i>	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	2,287,338	2,131,236	2,244,916	2,334,516	2,425,816
<i>All Other</i>	591,804	732,869	813,224	755,860	619,244
<b>Functional Total</b>	<u>29,364,407</u>	<u>29,221,942</u>	<u>30,315,703</u>	<u>31,288,282</u>	<u>32,361,443</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	9,325	5,300	0	30,000	0
General Services, Office of	0	269	250	250	250
State, Department of	76,614	71,575	59,334	59,334	59,334
Taxation and Finance, Department of	6,487	926	926	926	926
Technology, Office for	682	0	0	0	0
Veterans' Affairs, Division of	7,572	8,117	7,397	7,457	7,517
<b>Functional Total</b>	<u>100,680</u>	<u>86,187</u>	<u>67,907</u>	<u>97,967</u>	<u>68,027</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,005	32,024	32,024	32,024	32,024
Judiciary	114,108	106,700	121,700	121,700	121,700
<b>Functional Total</b>	<u>146,113</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(317,408)	(231,724)	(180,604)	(234,829)	(158,921)
<b>Functional Total</b>	<u>(317,408)</u>	<u>(231,724)</u>	<u>(180,604)</u>	<u>(234,829)</u>	<u>(158,921)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>96,481,036</u>	<u>95,529,751</u>	<u>100,385,972</u>	<u>106,103,386</u>	<u>110,595,906</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	64,331	63,274	65,259	67,261	68,212
Alcoholic Beverage Control, Division of	13,044	13,629	13,823	14,020	14,221
Economic Development, Department of	33,729	22,971	22,872	23,475	23,730
Energy Research and Development Authority	6,456	5,286	5,396	5,396	5,396
Financial Services, Department of	211,029	202,401	206,774	209,007	211,596
Olympic Regional Development Authority	3,596	3,079	3,206	3,288	3,288
Public Service Department	48,882	50,681	56,250	58,064	59,945
Racing and Wagering Board, State	17,270	15,194	15,226	15,333	15,568
<b>Functional Total</b>	<u>398,337</u>	<u>376,515</u>	<u>388,806</u>	<u>395,844</u>	<u>401,956</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,354	4,496	4,525	4,601	4,687
Environmental Conservation, Department of	294,072	276,502	276,817	278,814	282,054
Environmental Facilities Corporation	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	186,943	165,772	168,599	171,131	173,106
<b>Functional Total</b>	<u>491,873</u>	<u>446,770</u>	<u>449,941</u>	<u>454,546</u>	<u>459,847</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	70,746	73,813	77,782	79,538	81,521
Transportation, Department of	42,373	32,540	33,310	34,050	34,854
<b>Functional Total</b>	<u>113,119</u>	<u>106,353</u>	<u>111,092</u>	<u>113,588</u>	<u>116,375</u>
<b>HEALTH</b>					
Aging, Office for the	9,434	9,786	9,870	10,091	10,304
Health, Department of	788,024	827,602	884,586	906,979	913,794
<i>Medical Assistance</i>	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	772,802	776,247	828,231	851,124	857,939
Medicaid Inspector General, Office of the	52,040	55,503	56,776	59,241	60,200
Stem Cell and Innovation	43,470	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<u>892,968</u>	<u>936,391</u>	<u>1,014,905</u>	<u>1,037,684</u>	<u>1,040,798</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	380,630	406,057	403,365	392,604	390,004
<i>OCFS</i>	380,630	406,057	403,365	392,604	390,004
Housing and Community Renewal, Division of	65,256	58,650	59,184	60,437	62,297
Human Rights, Division of	17,262	14,997	15,210	15,655	16,141
Labor, Department of	368,493	366,219	344,078	349,263	355,204
National and Community Service	21,568	14,251	14,337	14,559	14,559
Prevention of Domestic Violence, Office for	1,284	1,409	1,426	1,468	1,517
Temporary and Disability Assistance, Office of	319,774	351,327	354,854	368,534	371,074
<i>All Other</i>	319,774	351,327	354,854	368,534	371,074
Welfare Inspector General, Office of	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	162,394	154,511	153,359	156,256	159,533
<b>Functional Total</b>	<u>1,337,056</u>	<u>1,368,607</u>	<u>1,347,019</u>	<u>1,360,010</u>	<u>1,371,573</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	82,121	80,548	82,217	84,537	88,397
<i>OASAS</i>	38,370	39,817	40,465	41,267	43,031
<i>OASAS - Other</i>	43,751	40,731	41,752	43,270	45,366
Developmental Disabilities Planning Council	2,573	3,665	3,551	3,489	3,441
Mental Health, Office of	1,374,445	1,395,679	1,453,241	1,520,680	1,581,924
<i>OMH</i>	308,056	371,801	375,582	393,664	400,896
<i>OMH - Other</i>	1,066,389	1,023,878	1,077,659	1,127,016	1,181,028
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	1,487,940	1,518,766	1,576,991	1,613,969	1,699,802
<i>OPWDD</i>	108,957	33,782	34,658	34,658	34,658
<i>OPWDD - Other</i>	1,378,983	1,484,984	1,542,333	1,579,311	1,665,144
Quality of Care and Advocacy for Persons With Disabilities, Commission on	11,635	14,479	14,784	15,120	15,490
<b>Functional Total</b>	<u>2,959,125</u>	<u>3,013,137</u>	<u>3,130,784</u>	<u>3,237,795</u>	<u>3,389,054</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,474,865	2,437,457	2,473,207	2,527,175	2,658,696
Criminal Justice Services, Division of	82,091	88,306	84,217	82,110	83,703

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Disaster Assistance	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	99,607	61,734	50,052	46,740	48,029
Indigent Legal Services, Office of	326	859	1,151	1,167	1,183
Judicial Commissions	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	61,069	61,344	61,678	62,152	62,734
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	632,064	650,667	657,096	662,561	675,545
Statewide Financial System	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	5,793	6,784	6,879	7,054	7,272
<b>Functional Total</b>	<b>3,401,452</b>	<b>3,411,176</b>	<b>3,424,693</b>	<b>3,485,467</b>	<b>3,600,780</b>
<b>HIGHER EDUCATION</b>					
City University of New York	107,837	103,231	105,433	107,683	109,983
Higher Education - Miscellaneous	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	68,594	75,613	76,942	78,609	80,454
State University Construction Fund	16,809	0	0	0	0
State University of New York	5,731,626	5,756,241	5,865,359	6,007,856	6,146,597
<b>Functional Total</b>	<b>5,925,242</b>	<b>5,936,286</b>	<b>6,048,935</b>	<b>6,195,349</b>	<b>6,338,235</b>
<b>EDUCATION</b>					
Arts, Council on the	4,088	4,220	4,222	4,268	4,318
Education, Department of	269,680	311,825	404,782	385,464	280,023
<i>All Other</i>	269,680	311,825	404,782	385,464	280,023
<b>Functional Total</b>	<b>273,768</b>	<b>316,045</b>	<b>409,004</b>	<b>389,732</b>	<b>284,341</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	30,681	31,253	36,596	39,063	40,049
Civil Service, Department of	14,595	14,736	16,143	16,603	17,003
Deferred Compensation Board	457	605	615	633	642
Elections, State Board of	8,292	13,114	27,179	5,316	5,462
Employee Relations, Office of	2,604	2,688	2,710	2,786	2,869
General Services, Office of	126,243	159,323	143,366	138,188	141,074
Inspector General, Office of the	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	23,215	33,470	33,074	35,189	40,316
Lottery, Division of the	140,484	161,247	155,197	159,080	159,478
Public Employment Relations Board	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,217	4,016	4,061	4,175	4,295
Regulatory Reform, Governor's Office of	83	0	0	0	0
State, Department of	44,627	53,068	54,130	55,419	56,159
Tax Appeals, Division of	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	383,589	393,361	395,014	406,135	412,850
Technology, Office for	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	5,875	7,299	6,884	7,058	7,254
<b>Functional Total</b>	<b>814,854</b>	<b>909,616</b>	<b>909,661</b>	<b>907,190</b>	<b>924,976</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	135,123	139,394	142,190	145,658	149,721
Executive Chamber	13,256	13,578	13,836	14,773	15,185
Judiciary	1,834,013	1,863,400	1,921,914	2,007,452	2,102,994
Law, Department of	185,671	192,710	190,541	195,195	200,423
Legislature	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	408	614	614	665	680
<b>Functional Total</b>	<b>2,365,634</b>	<b>2,428,491</b>	<b>2,490,444</b>	<b>2,587,688</b>	<b>2,695,586</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,676	0	0	0	0
Long-Term Debt Service	45,732	46,925	46,925	46,925	46,925
Miscellaneous	5,007	(68,386)	(233,602)	(202,986)	(99,232)
<b>Functional Total</b>	<b>54,415</b>	<b>(21,461)</b>	<b>(186,677)</b>	<b>(156,061)</b>	<b>(52,307)</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>19,027,843</b>	<b>19,227,926</b>	<b>19,538,607</b>	<b>20,008,832</b>	<b>20,571,214</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	30,360	30,191	31,410	32,478	33,166
Alcoholic Beverage Control, Division of	7,720	8,185	8,246	8,308	8,370
Economic Development, Department of	11,354	12,704	13,087	13,424	13,679
Energy Research and Development Authority	4,776	3,432	3,501	3,501	3,501
Financial Services, Department of	142,603	133,847	136,495	138,669	141,191
Olympic Regional Development Authority	2,849	2,485	2,522	2,548	2,548
Public Service Department	40,067	42,037	46,131	47,658	49,431
Racing and Wagering Board, State	10,940	9,059	9,058	9,133	9,368
<b>Functional Total</b>	<b>250,669</b>	<b>241,940</b>	<b>250,450</b>	<b>255,719</b>	<b>261,254</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	213,248	199,895	201,433	205,369	208,722
Environmental Facilities Corporation	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	139,955	127,644	130,471	132,343	134,318
<b>Functional Total</b>	<b>362,436</b>	<b>331,330</b>	<b>335,724</b>	<b>341,608</b>	<b>347,022</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	49,513	51,271	52,820	53,981	55,348
Transportation, Department of	13,730	15,248	15,609	15,963	16,356
<b>Functional Total</b>	<b>63,243</b>	<b>66,519</b>	<b>68,429</b>	<b>69,944</b>	<b>71,704</b>
<b>HEALTH</b>					
Aging, Office for the	7,978	8,586	8,660	8,871	9,084
Health, Department of	319,545	339,798	364,384	377,664	384,471
<i>Medical Assistance</i>	1,438	500	500	500	500
<i>Public Health</i>	318,107	339,298	363,884	377,164	383,971
Medicaid Inspector General, Office of the	39,477	36,758	37,700	39,442	40,375
Stem Cell and Innovation	441	0	0	0	0
<b>Functional Total</b>	<b>367,441</b>	<b>385,142</b>	<b>410,744</b>	<b>425,977</b>	<b>433,930</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	203,272	215,568	202,065	191,823	190,656
<i>OCFS</i>	203,272	215,568	202,065	191,823	190,656
Housing and Community Renewal, Division of	48,144	45,921	46,246	47,286	48,987
Human Rights, Division of	13,420	12,571	12,666	13,038	13,451
Labor, Department of	241,039	223,674	214,186	218,907	224,358
National and Community Service	674	564	650	657	657
Prevention of Domestic Violence, Office for	1,063	1,313	1,324	1,359	1,397
Temporary and Disability Assistance, Office of	154,615	167,368	170,424	177,551	182,643
<i>All Other</i>	154,615	167,368	170,424	177,551	182,643
Welfare Inspector General, Office of	364	701	721	738	748
Workers' Compensation Board	85,890	87,805	90,328	92,301	94,633
<b>Functional Total</b>	<b>748,481</b>	<b>755,485</b>	<b>738,610</b>	<b>743,660</b>	<b>757,530</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	59,806	60,408	61,932	63,700	67,006
<i>OASAS</i>	27,687	29,943	30,379	30,906	32,390
<i>OASAS - Other</i>	32,119	30,465	31,553	32,794	34,616
Developmental Disabilities Planning Council	980	1,197	1,211	1,230	1,253
Mental Health, Office of	1,081,892	1,107,937	1,138,504	1,186,907	1,246,376
<i>OMH</i>	253,990	291,993	292,727	311,167	318,089
<i>OMH - Other</i>	827,902	815,944	845,777	875,740	928,287
People with Developmental Disabilities, Office for	1,125,236	1,122,297	1,166,215	1,192,499	1,268,192
<i>OPWDD</i>	72,350	116	116	116	116
<i>OPWDD - Other</i>	1,052,886	1,122,181	1,166,099	1,192,383	1,268,076
Quality of Care and Advocacy for Persons With Disabilities, Commission on	6,184	8,070	8,801	8,994	9,204
<b>Functional Total</b>	<b>2,274,098</b>	<b>2,299,909</b>	<b>2,376,663</b>	<b>2,453,330</b>	<b>2,592,031</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	1,962,381	1,963,931	1,979,990	2,013,449	2,123,530
Criminal Justice Services, Division of	42,682	42,553	39,777	40,760	41,886
Disaster Assistance	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	21,841	21,738	21,903	22,519	23,219

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Indigent Legal Services, Office of	259	569	802	810	818
Judicial Commissions	3,794	4,093	4,124	4,237	4,357
Military and Naval Affairs, Division of	39,164	38,671	38,990	39,316	39,746
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	567,477	570,847	573,673	577,636	585,610
Statewide Financial System	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	4,398	5,366	5,446	5,604	5,805
<b>Functional Total</b>	<u>2,661,125</u>	<u>2,661,580</u>	<u>2,678,614</u>	<u>2,718,389</u>	<u>2,839,179</u>
<b>HIGHER EDUCATION</b>					
City University of New York	74,043	75,289	76,792	78,326	79,892
Higher Education - Miscellaneous	207	198	198	198	198
Higher Education Services Corporation, New York State	28,928	31,002	31,390	32,083	32,894
State University Construction Fund	13,612	0	0	0	0
State University of New York	3,332,878	3,384,377	3,436,103	3,526,783	3,613,595
<b>Functional Total</b>	<u>3,449,668</u>	<u>3,490,866</u>	<u>3,544,483</u>	<u>3,637,390</u>	<u>3,726,579</u>
<b>EDUCATION</b>					
Arts, Council on the	2,266	2,298	2,300	2,346	2,396
Education, Department of	158,373	164,774	167,849	170,157	171,803
<i>All Other</i>	158,373	164,774	167,849	170,157	171,803
<b>Functional Total</b>	<u>160,639</u>	<u>167,072</u>	<u>170,149</u>	<u>172,503</u>	<u>174,199</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	23,829	24,961	25,768	27,287	28,166
Civil Service, Department of	13,284	13,247	14,359	14,714	15,109
Deferred Compensation Board	378	392	396	408	417
Elections, State Board of	4,105	4,144	4,254	4,370	4,495
Employee Relations, Office of	2,529	2,551	2,570	2,646	2,728
General Services, Office of	48,873	50,886	51,859	52,985	54,378
Inspector General, Office of the	5,067	6,083	6,129	6,301	6,485
Labor Management Committees	8,359	5,500	5,504	6,119	6,366
Lottery, Division of the	20,276	24,676	25,029	25,495	25,893
Public Employment Relations Board	3,197	3,290	3,119	3,046	3,138
Public Integrity, Commission on	2,492	3,166	3,190	3,282	3,380
Regulatory Reform, Governor's Office of	80	0	0	0	0
State, Department of	31,461	34,848	35,868	36,702	37,431
Tax Appeals, Division of	2,483	2,900	2,601	2,673	2,727
Taxation and Finance, Department of	300,408	301,881	300,966	309,755	316,470
Technology, Office for	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	5,289	5,807	5,890	6,041	6,212
<b>Functional Total</b>	<u>482,566</u>	<u>497,918</u>	<u>501,097</u>	<u>515,731</u>	<u>527,641</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,210	11,338	11,495	12,238	12,589
Judiciary	1,466,250	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	123,150	130,394	130,602	133,947	137,628
Legislature	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	289	480	494	515	543
<b>Functional Total</b>	<u>1,855,079</u>	<u>1,884,417</u>	<u>1,932,784</u>	<u>1,987,977</u>	<u>2,053,747</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	2,091	0	0	0	0
Miscellaneous	2,356	27,932	(25,685)	(11,769)	41,939
<b>Functional Total</b>	<u>4,447</u>	<u>27,932</u>	<u>(25,685)</u>	<u>(11,769)</u>	<u>41,939</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u><u>12,679,892</u></u>	<u><u>12,810,110</u></u>	<u><u>12,982,062</u></u>	<u><u>13,310,459</u></u>	<u><u>13,826,755</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	33,971	33,083	33,849	34,783	35,046
Alcoholic Beverage Control, Division of	5,324	5,444	5,577	5,712	5,851
Economic Development, Department of	22,375	10,267	9,785	10,051	10,051
Energy Research and Development Authority	1,680	1,854	1,895	1,895	1,895
Financial Services, Department of	68,426	68,554	70,279	70,338	70,405
Olympic Regional Development Authority	747	594	684	740	740
Public Service Department	8,815	8,644	10,119	10,406	10,514
Racing and Wagering Board, State	6,330	6,135	6,168	6,200	6,200
<b>Functional Total</b>	<b>147,668</b>	<b>134,575</b>	<b>138,356</b>	<b>140,125</b>	<b>140,702</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	575	705	705	705	705
Environmental Conservation, Department of	80,824	76,607	75,384	73,445	73,332
Environmental Facilities Corporation	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	46,988	38,128	38,128	38,788	38,788
<b>Functional Total</b>	<b>129,437</b>	<b>115,440</b>	<b>114,217</b>	<b>112,938</b>	<b>112,825</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	21,233	22,542	24,962	25,557	26,173
Transportation, Department of	28,643	17,292	17,701	18,087	18,498
<b>Functional Total</b>	<b>49,876</b>	<b>39,834</b>	<b>42,663</b>	<b>43,644</b>	<b>44,671</b>
<b>HEALTH</b>					
Aging, Office for the	1,456	1,200	1,210	1,220	1,220
Health, Department of	468,479	487,804	520,202	529,315	529,323
<i>Medical Assistance</i>	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	454,695	436,949	464,347	473,960	473,968
Medicaid Inspector General, Office of the	12,563	18,745	19,076	19,799	19,825
Stem Cell and Innovation	43,029	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<b>525,527</b>	<b>551,249</b>	<b>604,161</b>	<b>611,707</b>	<b>606,868</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	177,358	190,489	201,300	200,781	199,348
<i>OCFS</i>	177,358	190,489	201,300	200,781	199,348
Housing and Community Renewal, Division of	17,112	12,729	12,938	13,151	13,310
Human Rights, Division of	3,842	2,426	2,544	2,617	2,690
Labor, Department of	127,454	142,545	129,892	130,356	130,846
National and Community Service	20,894	13,687	13,687	13,902	13,902
Prevention of Domestic Violence, Office for	221	96	102	109	120
Temporary and Disability Assistance, Office of	165,159	183,959	184,430	190,983	188,431
<i>All Other</i>	165,159	183,959	184,430	190,983	188,431
Welfare Inspector General, Office of	31	485	485	496	496
Workers' Compensation Board	76,504	66,706	63,031	63,955	64,900
<b>Functional Total</b>	<b>588,575</b>	<b>613,122</b>	<b>608,409</b>	<b>616,350</b>	<b>614,043</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	22,315	20,140	20,285	20,837	21,391
<i>OASAS</i>	10,683	9,874	10,086	10,361	10,641
<i>OASAS - Other</i>	11,632	10,266	10,199	10,476	10,750
Developmental Disabilities Planning Council	1,593	2,468	2,340	2,259	2,188
Mental Health, Office of	292,553	287,742	314,737	333,773	335,548
<i>OMH</i>	54,066	79,808	82,855	82,497	82,807
<i>OMH - Other</i>	238,487	207,934	231,882	251,276	252,741
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	362,704	396,469	410,776	421,470	431,610
<i>OPWDD</i>	36,607	33,666	34,542	34,542	34,542
<i>OPWDD - Other</i>	326,097	362,803	376,234	386,928	397,068
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,451	6,409	5,983	6,126	6,286
<b>Functional Total</b>	<b>685,027</b>	<b>713,228</b>	<b>754,121</b>	<b>784,465</b>	<b>797,023</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	361	274	297	306	316
Correctional Services, Department of	512,484	473,526	493,217	513,726	535,166
Criminal Justice Services, Division of	39,409	45,753	44,440	41,350	41,817
Disaster Assistance	11,126	42,700	30,000	34,500	0

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Homeland Security and Emergency Services, Division of	77,766	39,996	28,149	24,221	24,810
Indigent Legal Services, Office of	67	290	349	357	365
Judicial Commissions	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	21,905	22,673	22,688	22,836	22,988
State Police, Division of	64,587	79,820	83,423	84,925	89,935
Statewide Financial System	10,042	41,787	40,630	41,849	43,104
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,395	1,418	1,433	1,450	1,467
<b>Functional Total</b>	<b>740,327</b>	<b>749,596</b>	<b>746,079</b>	<b>767,078</b>	<b>761,601</b>
<b>HIGHER EDUCATION</b>					
City University of New York	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	39,666	44,611	45,552	46,526	47,560
State University Construction Fund	3,197	0	0	0	0
State University of New York	2,398,748	2,371,864	2,429,256	2,481,073	2,533,002
<b>Functional Total</b>	<b>2,475,574</b>	<b>2,445,420</b>	<b>2,504,452</b>	<b>2,557,959</b>	<b>2,611,656</b>
<b>EDUCATION</b>					
Arts, Council on the	1,822	1,922	1,922	1,922	1,922
Education, Department of	111,307	147,051	236,933	215,307	108,220
<i>All Other</i>	111,307	147,051	236,933	215,307	108,220
<b>Functional Total</b>	<b>113,129</b>	<b>148,973</b>	<b>238,855</b>	<b>217,229</b>	<b>110,142</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	6,852	6,292	10,828	11,776	11,883
Civil Service, Department of	1,311	1,489	1,784	1,889	1,894
Deferred Compensation Board	79	213	219	225	225
Elections, State Board of	4,187	8,970	22,925	946	967
Employee Relations, Office of	75	137	140	140	141
General Services, Office of	77,370	108,437	91,507	85,203	86,696
Inspector General, Office of the	342	527	588	669	711
Labor Management Committees	14,856	27,970	27,570	29,070	33,950
Lottery, Division of the	120,208	136,571	130,168	133,585	133,585
Public Employment Relations Board	478	441	738	758	758
Public Integrity, Commission on	725	850	871	893	915
Regulatory Reform, Governor's Office of	3	0	0	0	0
State, Department of	13,166	18,220	18,262	18,717	18,728
Tax Appeals, Division of	367	201	212	221	221
Taxation and Finance, Department of	83,181	91,480	94,048	96,380	96,380
Technology, Office for	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	586	1,492	994	1,017	1,042
<b>Functional Total</b>	<b>332,288</b>	<b>411,698</b>	<b>408,564</b>	<b>391,459</b>	<b>397,335</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	31,825	30,723	32,805	33,646	34,507
Executive Chamber	3,046	2,240	2,341	2,535	2,596
Judiciary	367,763	395,150	407,630	445,960	484,252
Law, Department of	62,521	62,316	59,939	61,248	62,795
Legislature	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	119	134	120	150	137
<b>Functional Total</b>	<b>510,555</b>	<b>544,074</b>	<b>557,660</b>	<b>599,711</b>	<b>641,839</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	1,585	0	0	0	0
Long-Term Debt Service	45,732	46,925	46,925	46,925	46,925
Miscellaneous	2,651	(96,318)	(207,917)	(191,217)	(141,171)
<b>Functional Total</b>	<b>49,968</b>	<b>(49,393)</b>	<b>(160,992)</b>	<b>(144,292)</b>	<b>(94,246)</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>6,347,951</b>	<b>6,417,816</b>	<b>6,556,545</b>	<b>6,698,373</b>	<b>6,744,459</b>



**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,422	2,692	2,927	3,183	3,364
Alcoholic Beverage Control, Division of	3,572	4,230	4,491	4,761	5,004
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,342	1,638	1,758	1,758	1,758
Financial Services, Department of	78,741	66,930	66,986	68,236	69,800
Public Service Department	19,550	21,703	25,113	27,302	29,510
Racing and Wagering Board, State	2,283	3,054	3,287	3,512	3,512
<b>Functional Total</b>	<b>108,910</b>	<b>100,275</b>	<b>104,590</b>	<b>108,780</b>	<b>112,976</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	47,190	46,922	45,998	47,071	48,209
Environmental Facilities Corporation	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	655	4,193	4,338	4,511	4,564
<b>Functional Total</b>	<b>50,234</b>	<b>51,115</b>	<b>50,336</b>	<b>51,582</b>	<b>52,773</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	25,239	25,622	28,758	30,928	33,082
Transportation, Department of	13,072	7,480	8,167	8,760	9,365
<b>Functional Total</b>	<b>38,311</b>	<b>33,102</b>	<b>36,925</b>	<b>39,688</b>	<b>42,447</b>
<b>HEALTH</b>					
Aging, Office for the	0	235	235	235	235
Health, Department of	55,907	65,082	69,354	71,231	73,525
<i>Public Health</i>	55,907	65,082	69,354	71,231	73,525
Medicaid Inspector General, Office of the	8,797	10,738	10,749	10,980	11,264
Stem Cell and Innovation	232	0	0	0	0
<b>Functional Total</b>	<b>64,936</b>	<b>76,055</b>	<b>80,338</b>	<b>82,446</b>	<b>85,024</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	12,485	12,429	11,551	11,893	12,312
<i>OCFS</i>	12,485	12,429	11,551	11,893	12,312
Housing and Community Renewal, Division of	18,713	19,190	20,467	21,869	22,727
Human Rights, Division of	49	1,969	2,075	2,203	2,330
Labor, Department of	108,624	112,612	115,486	124,136	132,731
Temporary and Disability Assistance, Office of	43,241	44,123	44,463	44,973	44,973
<i>All Other</i>	43,241	44,123	44,463	44,973	44,973
Welfare Inspector General, Office of	46	221	221	222	224
Workers' Compensation Board	41,151	45,231	49,193	52,898	56,581
<b>Functional Total</b>	<b>224,309</b>	<b>235,775</b>	<b>243,456</b>	<b>258,194</b>	<b>271,878</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	28,073	30,895	33,106	35,928	39,541
<i>OASAS</i>	12,086	15,151	16,219	17,411	19,089
<i>OASAS - Other</i>	15,987	15,744	16,887	18,517	20,452
Developmental Disabilities Planning Council	1,335	535	649	711	758
Mental Health, Office of	530,390	564,626	609,882	666,863	734,555
<i>OMH</i>	121,600	130,948	157,084	173,387	187,790
<i>OMH - Other</i>	408,790	433,678	452,798	493,476	546,765
People with Developmental Disabilities, Office for	521,166	594,170	647,312	698,595	767,948
<i>OPWDD</i>	104,039	70	74	74	74
<i>OPWDD - Other</i>	417,127	594,100	647,238	698,521	767,874
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,708	1,975	2,176	2,357	2,536
<b>Functional Total</b>	<b>1,082,672</b>	<b>1,192,201</b>	<b>1,293,125</b>	<b>1,404,454</b>	<b>1,545,338</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	1,120	1,415	1,415	1,415	1,415
Criminal Justice Services, Division of	375	395	409	445	482
Homeland Security and Emergency Services, Division of	4,016	3,621	3,621	3,621	3,621
Indigent Legal Services, Office of	83	285	437	464	489
Military and Naval Affairs, Division of	6,021	6,749	6,749	6,749	6,749
State Police, Division of	19,372	21,282	24,073	25,230	26,230
Statewide Financial System	0	0	0	0	0
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,817	2,103	2,213	2,326	2,428
<b>Functional Total</b>	<b>32,804</b>	<b>35,850</b>	<b>38,917</b>	<b>40,250</b>	<b>41,414</b>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>HIGHER EDUCATION</b>					
City University of New York	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	73	99	99	99	99
Higher Education Services Corporation, New York State	12,794	15,550	16,782	17,756	18,642
State University Construction Fund	5,816	0	0	0	0
State University of New York	559,990	586,990	605,790	625,588	646,321
<b>Functional Total</b>	<u>585,028</u>	<u>608,791</u>	<u>629,008</u>	<u>649,970</u>	<u>671,785</u>
<b>EDUCATION</b>					
Education, Department of	69,217	71,878	77,244	82,310	86,620
<i>All Other</i>	<u>69,217</u>	<u>71,878</u>	<u>77,244</u>	<u>82,310</u>	<u>86,620</u>
<b>Functional Total</b>	<u>69,217</u>	<u>71,878</u>	<u>77,244</u>	<u>82,310</u>	<u>86,620</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	241	168	168	172	176
Deferred Compensation Board	164	191	208	225	225
Elections, State Board of	126	0	0	0	0
General Services, Office of	1,495	1,901	2,002	2,144	2,284
Lottery, Division of the	9,822	11,743	13,879	13,978	13,978
State, Department of	8,981	11,211	12,190	13,288	13,578
Taxation and Finance, Department of	11,634	21,522	22,820	23,047	23,047
Veterans' Affairs, Division of	233	350	350	350	350
<b>Functional Total</b>	<u>34,777</u>	<u>49,383</u>	<u>54,267</u>	<u>56,078</u>	<u>56,732</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	2,689	2,061	1,522	1,633	1,744
Judiciary	601,846	584,398	643,830	683,352	717,306
Law, Department of	15,328	22,638	23,711	25,092	26,406
<b>Functional Total</b>	<u>619,863</u>	<u>609,097</u>	<u>669,063</u>	<u>710,077</u>	<u>745,456</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,938,106	3,628,920	3,999,936	4,305,513	4,563,359
Miscellaneous	5,244	5,809	5,947	6,080	6,203
<b>Functional Total</b>	<u>3,943,350</u>	<u>3,634,729</u>	<u>4,005,883</u>	<u>4,311,593</u>	<u>4,569,562</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>6,854,411</u></u>	<u><u>6,698,251</u></u>	<u><u>7,283,152</u></u>	<u><u>7,795,422</u></u>	<u><u>8,282,005</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	0	12,500	12,500	5,000	5,000
Economic Development, Department of	821	29,381	32,645	19,859	15,000
Empire State Development Corporation	8,446	97,565	132,602	135,003	85,832
Energy Research and Development Authority	14,629	13,200	14,790	14,790	14,790
Regional Economic Development Program	0	2,500	2,500	1,500	1,500
Strategic Investment Program	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>45,570</u>	<u>175,652</u>	<u>209,314</u>	<u>188,482</u>	<u>130,622</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	303,054	398,242	362,631	335,559	301,102
Environmental Facilities Corporation	274	0	0	0	0
Hudson River Park Trust	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	32,095	95,341	68,627	55,940	42,228
<b>Functional Total</b>	<u>335,639</u>	<u>497,399</u>	<u>431,258</u>	<u>391,499</u>	<u>343,330</u>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	150,000	0	0	310,000
Motor Vehicles, Department of	186,910	184,484	194,948	202,058	209,772
Thruway Authority, New York State	0	1,800	1,800	1,800	1,800
Transportation, Department of	3,017,285	3,024,288	3,169,798	2,956,191	2,611,955
<b>Functional Total</b>	<u>3,204,195</u>	<u>3,360,572</u>	<u>3,366,546</u>	<u>3,160,049</u>	<u>3,133,527</u>
<b>HEALTH</b>					
Health, Department of	7,272	13,500	13,500	13,500	13,500
<i>Public Health</i>	7,272	13,500	13,500	13,500	13,500
<b>Functional Total</b>	<u>7,272</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	20,494	20,900	20,900	20,900	20,900
<i>OCFS</i>	20,494	20,900	20,900	20,900	20,900
Housing and Community Renewal, Division of	2,300	3,000	3,002	3,002	3,004
<b>Functional Total</b>	<u>22,794</u>	<u>23,900</u>	<u>23,902</u>	<u>23,902</u>	<u>23,904</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	146	9,880	13,196	13,083	13,083
<i>OASAS</i>	146	9,880	13,196	13,083	13,083
Mental Health, Office of	63,011	77,570	77,598	77,680	77,783
<i>OMH</i>	63,011	77,570	77,598	77,680	77,783
People with Developmental Disabilities, Office for	38,419	45,220	39,220	39,220	39,220
<i>OPWDD</i>	38,419	45,220	39,220	39,220	39,220
<b>Functional Total</b>	<u>101,576</u>	<u>132,670</u>	<u>130,014</u>	<u>129,983</u>	<u>130,086</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	239,799	253,265	259,677	265,710	271,860
Disaster Assistance	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	16,092	27,041	26,996	43,507	41,607
Public Security and Emergency Response	125	0	0	0	0
State Police, Division of	30,466	37,800	17,874	13,865	13,865
<b>Functional Total</b>	<u>308,712</u>	<u>334,106</u>	<u>317,647</u>	<u>328,582</u>	<u>327,732</u>
<b>HIGHER EDUCATION</b>					
City University of New York	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	406	0	0	0	0
State University of New York	1,013,908	1,091,604	1,070,945	1,198,663	1,122,584
<b>Functional Total</b>	<u>1,039,496</u>	<u>1,143,731</u>	<u>1,124,011</u>	<u>1,245,388</u>	<u>1,164,542</u>
<b>EDUCATION</b>					
Education, Department of	8,230	32,637	33,118	18,400	23,400
<i>All Other</i>	8,230	32,637	33,118	18,400	23,400
<b>Functional Total</b>	<u>8,230</u>	<u>32,637</u>	<u>33,118</u>	<u>18,400</u>	<u>23,400</u>

**CASH DISBURSEMENTS BY FUNCTION  
ALL GOVERNMENTAL FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>GENERAL GOVERNMENT</b>					
General Services, Office of	74,801	66,578	59,309	69,883	69,883
State, Department of	0	(15)	(15)	(15)	(15)
Technology, Office for	0	0	0	0	0
<b>Functional Total</b>	<u>74,801</u>	<u>66,563</u>	<u>59,294</u>	<u>69,868</u>	<u>69,868</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	2,311	0	0	0	0
<b>Functional Total</b>	<u>2,311</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	125,940	91,000	104,000	96,000	40,000
<b>Functional Total</b>	<u>125,940</u>	<u>91,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u>5,276,536</u>	<u>5,871,730</u>	<u>5,812,604</u>	<u>5,665,653</u>	<u>5,400,511</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	96,414	94,320	88,725	88,658	85,883
Alcoholic Beverage Control, Division of	16,616	17,859	18,314	18,781	19,225
Development Authority of the North Country	0	70	0	0	0
Economic Development Capital	17,655	12,500	12,500	5,000	5,000
Economic Development, Department of	144,632	136,399	121,529	97,889	93,285
Empire State Development Corporation	953,805	521,153	658,882	634,903	457,292
Energy Research and Development Authority	31,567	29,358	31,178	31,178	31,178
Financial Services, Department of	506,105	486,283	490,712	494,195	498,348
Olympic Regional Development Authority	3,596	3,079	3,206	3,288	3,288
Public Service Department	66,124	70,324	79,203	83,331	87,373
Racing and Wagering Board, State	19,553	18,248	18,513	18,845	19,080
Regional Economic Development Program	6,058	2,500	2,500	1,500	1,500
Strategic Investment Program	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<b>1,865,843</b>	<b>1,397,093</b>	<b>1,530,262</b>	<b>1,482,568</b>	<b>1,306,452</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	651,642	718,566	680,654	655,920	625,074
Environmental Facilities Corporation	10,347	0	0	0	0
Hudson River Park Trust	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	226,698	276,015	243,753	233,614	221,905
<b>Functional Total</b>	<b>893,202</b>	<b>1,002,543</b>	<b>928,582</b>	<b>893,785</b>	<b>851,316</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	194,500	333,600	183,600	183,600	328,571
Motor Vehicles, Department of	279,072	279,496	296,942	307,830	319,530
Thruway Authority, New York State	2,163	1,800	1,800	1,800	1,800
Transportation, Department of	6,353,525	6,528,681	6,792,062	6,873,091	6,850,444
<b>Functional Total</b>	<b>6,829,260</b>	<b>7,143,577</b>	<b>7,274,404</b>	<b>7,366,321</b>	<b>7,500,345</b>
<b>HEALTH</b>					
Aging, Office for the	113,753	117,434	120,063	126,859	133,871
Health, Department of	18,156,155	18,806,722	19,653,535	19,984,624	20,685,154
<i>Medical Assistance</i>	14,778,525	15,342,913	16,017,013	16,601,713	17,507,579
<i>Medicaid Administration</i>	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,844,337	2,895,059	3,084,272	2,880,161	2,734,325
Medicaid Inspector General, Office of the	25,284	24,795	25,596	26,821	27,292
Stem Cell and Innovation	43,702	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<b>18,338,894</b>	<b>18,992,451</b>	<b>19,862,867</b>	<b>20,199,677</b>	<b>20,902,817</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,918,848	1,882,276	2,229,384	2,315,207	2,415,420
<i>OCFS</i>	1,805,680	1,788,825	2,112,016	2,193,234	2,288,697
<i>OCFS - Other</i>	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	195,084	198,497	194,113	197,880	205,320
Human Rights, Division of	12,000	10,755	10,958	11,269	11,614
Labor, Department of	60,962	94,361	61,295	60,842	63,027
National and Community Service	332	601	683	687	687
Prevention of Domestic Violence, Office for	1,802	2,094	2,111	2,150	2,194
Temporary and Disability Assistance, Office of	1,609,788	1,730,465	1,778,050	1,687,564	1,713,847
<i>Welfare Assistance</i>	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	342,922	350,192	337,051	348,216	347,786
Welfare Inspector General, Office of	441	1,407	1,427	1,456	1,468
Workers' Compensation Board	199,035	196,210	198,928	205,530	212,490
<b>Functional Total</b>	<b>3,998,292</b>	<b>4,116,666</b>	<b>4,476,949</b>	<b>4,482,585</b>	<b>4,626,067</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	463,573	474,042	501,581	592,814	617,242
<i>OASAS</i>	371,684	384,887	410,262	498,347	518,744
<i>OASAS - Other</i>	91,889	89,155	91,319	94,467	98,498
Mental Health, Office of	3,121,765	3,182,934	3,451,491	3,784,943	4,018,245
<i>OMH</i>	1,238,438	1,340,783	1,508,023	1,724,618	1,818,306
<i>OMH - Other</i>	1,883,327	1,842,151	1,943,468	2,060,325	2,199,939
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	4,373,199	4,363,021	4,693,664	4,892,008	5,118,012
<i>OPWDD</i>	945,451	484,528	522,694	538,794	548,246
<i>OPWDD - Other</i>	3,427,748	3,878,493	4,170,970	4,353,214	4,569,766

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Quality of Care and Advocacy for Persons With Disabilities, Commission on	7,584	9,505	10,258	10,543	10,844
<b>Functional Total</b>	<b>7,966,532</b>	<b>8,029,502</b>	<b>8,656,994</b>	<b>9,280,308</b>	<b>9,764,343</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,716,925	2,650,783	2,710,959	2,770,635	2,908,246
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	215,019	230,926	227,421	225,347	226,792
Disaster Assistance	38,565	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	120,401	163,299	164,513	137,026	121,355
Indigent Legal Services, Office of	62,701	75,144	78,588	78,631	78,672
Judicial Commissions	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	39,755	37,740	37,823	42,688	41,159
Public Security and Emergency Response	125	600	600	600	600
State Police, Division of	660,844	702,149	691,393	693,956	707,940
Statewide Financial System	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	35,194	32,741	34,931	35,156	35,397
<b>Functional Total</b>	<b>3,914,355</b>	<b>4,008,307</b>	<b>4,047,541</b>	<b>4,091,447</b>	<b>4,195,679</b>
<b>HIGHER EDUCATION</b>					
City University of New York	1,341,784	1,380,694	1,507,203	1,566,063	1,631,936
Higher Education - Miscellaneous	449	1,300	1,300	1,300	1,300
Higher Education Facilities Capital Matching Grants Program	26,836	36,492	10,000	0	0
Higher Education Services Corporation, New York State	1,000,527	1,020,044	1,088,021	1,103,725	1,117,935
State University Construction Fund	22,625	0	0	0	0
State University of New York	7,491,580	7,662,328	7,775,234	8,065,247	8,148,642
<b>Functional Total</b>	<b>9,883,801</b>	<b>10,100,858</b>	<b>10,381,758</b>	<b>10,736,335</b>	<b>10,899,813</b>
<b>EDUCATION</b>					
Arts, Council on the	33,659	40,053	36,055	36,101	36,151
Education, Department of	24,771,504	25,475,520	26,577,461	27,629,792	28,762,983
<i>School Aid</i>	19,661,924	20,039,436	20,910,635	21,724,727	22,671,362
<i>STAR Property Tax Relief</i>	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	700,707	802,381	751,835	734,123	743,937
<b>Functional Total</b>	<b>24,805,163</b>	<b>25,515,573</b>	<b>26,613,516</b>	<b>27,665,893</b>	<b>28,799,134</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	32,762	33,550	39,246	41,937	43,143
Civil Service, Department of	14,836	14,904	16,311	16,775	17,179
Deferred Compensation Board	621	796	823	858	867
Elections, State Board of	9,815	7,814	5,179	35,316	5,462
Employee Relations, Office of	2,604	2,688	2,710	2,786	2,869
General Services, Office of	196,290	222,834	199,690	205,228	208,254
Inspector General, Office of the	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	23,215	33,470	33,074	35,189	40,316
Lottery, Division of the	150,306	172,990	169,076	173,058	173,456
Public Employment Relations Board	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,217	4,016	4,061	4,175	4,295
Regulatory Reform, Governor's Office of	83	0	0	0	0
State, Department of	61,000	70,663	60,147	62,133	63,054
Tax Appeals, Division of	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	401,033	415,599	418,545	429,888	436,603
Technology, Office of	19,640	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	12,884	14,106	12,949	13,155	13,383
<b>Functional Total</b>	<b>940,240</b>	<b>1,028,866</b>	<b>996,503</b>	<b>1,058,043</b>	<b>1,046,406</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	169,817	173,479	175,736	179,315	183,489
Executive Chamber	13,256	13,578	13,836	14,773	15,185
Judiciary	2,545,494	2,546,998	2,679,944	2,805,004	2,934,500
Law, Department of	168,624	179,139	177,667	183,089	188,749
Legislature	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	408	614	614	665	680
<b>Functional Total</b>	<b>3,094,762</b>	<b>3,132,603</b>	<b>3,269,146</b>	<b>3,406,791</b>	<b>3,549,186</b>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,034	739,655	757,414	772,589	775,357

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Efficiency Incentive Grants Program	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,941,782	3,628,920	3,999,936	4,305,513	4,563,359
Long-Term Debt Service	5,909,754	6,111,051	6,447,502	6,569,083	6,702,267
Miscellaneous	57,204	52,699	(23,259)	(54,735)	69,050
<b>Functional Total</b>	<u>9,908,740</u>	<u>9,792,670</u>	<u>10,424,179</u>	<u>10,819,861</u>	<u>11,334,676</u>
<b>TOTAL STATE FUNDS SPENDING</b>	<u><u>93,192,876</u></u>	<u><u>95,038,085</u></u>	<u><u>99,251,965</u></u>	<u><u>102,284,248</u></u>	<u><u>105,579,636</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	24,603	25,652	24,216	24,216	24,216
Development Authority of the North Country	0	70	0	0	0
Economic Development Capital	17,655	0	0	0	0
Economic Development, Department of	110,082	84,264	66,229	54,772	54,772
Empire State Development Corporation	945,359	423,588	526,280	499,900	371,460
Energy Research and Development Authority	8,140	9,234	9,234	9,234	9,234
Financial Services, Department of	217,470	216,952	216,952	216,952	216,952
Public Service Department	0	500	500	500	500
Regional Economic Development Program	6,058	0	0	0	0
<b>Functional Total</b>	<b>1,329,367</b>	<b>760,260</b>	<b>843,411</b>	<b>805,574</b>	<b>677,134</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	74,946	61,325	59,802	59,802	59,802
Environmental Facilities Corporation	1,180	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	12,121	16,112	7,600	7,600	7,600
<b>Functional Total</b>	<b>88,247</b>	<b>77,437</b>	<b>67,402</b>	<b>67,402</b>	<b>67,402</b>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	194,500	183,600	183,600	183,600	18,571
Thruway Authority, New York State	2,163	0	0	0	0
Transportation, Department of	4,243,459	4,444,675	4,638,374	4,741,238	4,825,299
<b>Functional Total</b>	<b>4,440,122</b>	<b>4,628,275</b>	<b>4,821,974</b>	<b>4,924,838</b>	<b>4,843,870</b>
<b>HEALTH</b>					
Aging, Office for the	111,616	115,697	118,252	124,956	131,901
Health, Department of	17,561,651	18,144,739	18,930,447	19,238,875	19,932,189
<i>Medical Assistance</i>	14,763,303	15,291,558	15,960,658	16,545,858	17,451,724
<i>Medicaid Administration</i>	533,293	568,750	552,250	502,750	443,250
<i>Public Health</i>	2,265,055	2,284,431	2,417,539	2,190,267	2,037,215
<b>Functional Total</b>	<b>17,673,267</b>	<b>18,260,436</b>	<b>19,048,699</b>	<b>19,363,831</b>	<b>20,064,090</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,603,904	1,543,111	1,891,290	1,990,187	2,093,572
<i>OCFS</i>	1,490,736	1,449,660	1,773,922	1,868,214	1,966,849
<i>OCFS - Other</i>	113,168	93,451	117,368	121,973	126,723
Housing and Community Renewal, Division of	124,044	130,604	124,802	126,454	131,454
Labor, Department of	3,665	29,987	2,875	150	150
National and Community Service	38	350	350	350	350
Prevention of Domestic Violence, Office for	541	685	685	685	685
Temporary and Disability Assistance, Office of	1,458,453	1,527,766	1,572,892	1,471,241	1,499,354
<i>Welfare Assistance</i>	1,266,866	1,380,273	1,440,999	1,339,348	1,366,061
<i>All Other</i>	191,587	147,493	131,893	131,893	133,293
<b>Functional Total</b>	<b>3,190,645</b>	<b>3,232,503</b>	<b>3,592,894</b>	<b>3,589,067</b>	<b>3,725,565</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	359,683	362,628	383,129	468,816	486,018
<i>OASAS</i>	327,532	329,948	350,449	436,136	453,338
<i>OASAS - Other</i>	32,151	32,680	32,680	32,680	32,680
Mental Health, Office of	1,155,718	1,146,076	1,311,822	1,520,788	1,625,065
<i>OMH</i>	747,570	761,481	898,811	1,080,955	1,152,919
<i>OMH - Other</i>	408,148	384,595	413,011	439,833	472,146
People with Developmental Disabilities, Office for	2,327,726	2,238,541	2,464,692	2,574,775	2,645,593
<i>OPWDD</i>	696,088	439,132	483,293	499,393	508,845
<i>OPWDD - Other</i>	1,631,638	1,799,409	1,981,399	2,075,382	2,136,748
Quality of Care and Advocacy for Persons With Disabilities, Commission on	560	620	620	620	620
<b>Functional Total</b>	<b>3,843,687</b>	<b>3,747,865</b>	<b>4,160,263</b>	<b>4,564,999</b>	<b>4,757,296</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	5,594	6,051	6,000	6,000	6,000
Corrections and Community Supervision Medicaid, Department of	0	11,500	11,500	11,500	12,500
Criminal Justice Services, Division of	151,076	159,811	154,751	154,911	154,911
Homeland Security and Emergency Services, Division of	37,944	97,923	113,739	97,264	85,523
Indigent Legal Services, Office of	62,292	74,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,761	867	850	850	850
Victim Services, Office of	29,239	26,182	28,182	28,182	28,182



**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**LOCAL ASSISTANCE GRANTS**  
(thousands of dollars)

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
<b>Functional Total</b>	<u>289,906</u>	<u>376,334</u>	<u>392,022</u>	<u>375,707</u>	<u>364,966</u>
<b>HIGHER EDUCATION</b>					
City University of New York	1,202,410	1,219,184	1,342,367	1,405,128	1,473,272
Higher Education Facilities Capital Matching Grants Program	26,430	36,492	10,000	0	0
Higher Education Services Corporation, New York State	923,514	935,933	1,001,349	1,014,412	1,025,891
State University of New York	488,115	462,404	468,051	468,051	468,051
<b>Functional Total</b>	<u>2,640,469</u>	<u>2,654,013</u>	<u>2,821,767</u>	<u>2,887,591</u>	<u>2,967,214</u>
<b>EDUCATION</b>					
Arts, Council on the	29,571	35,933	31,933	31,933	31,933
Education, Department of	24,606,829	25,290,521	26,383,152	27,445,788	28,569,950
<i>School Aid</i>	19,661,924	20,039,436	20,910,635	21,724,727	22,671,362
<i>STAR Property Tax Relief</i>	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>Special Education Categorical Programs</i>	1,175,990	1,357,636	1,455,616	1,529,216	1,604,116
<i>All Other</i>	536,032	617,382	557,526	550,119	550,904
<b>Functional Total</b>	<u>24,636,400</u>	<u>25,326,454</u>	<u>26,415,085</u>	<u>27,477,721</u>	<u>28,601,883</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	415	2,700	0	30,000	0
General Services, Office of	0	19	0	0	0
State, Department of	12,916	16,118	3,877	3,877	3,877
Taxation and Finance, Department of	6,487	926	926	926	926
Technology, Office for	682	0	0	0	0
Veterans' Affairs, Division of	7,572	8,117	7,397	7,457	7,517
<b>Functional Total</b>	<u>28,072</u>	<u>27,880</u>	<u>12,200</u>	<u>42,260</u>	<u>12,320</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	32,005	32,024	32,024	32,024	32,024
Judiciary	114,108	106,700	121,700	121,700	121,700
<b>Functional Total</b>	<u>146,113</u>	<u>138,724</u>	<u>153,724</u>	<u>153,724</u>	<u>153,724</u>
<b>LOCAL GOVERNMENT ASSISTANCE</b>					
Aid and Incentives for Municipalities	721,034	739,655	757,414	772,589	775,357
Efficiency Incentive Grants Program	4,714	9,636	3,805	0	0
Miscellaneous Financial Assistance	1,960	2,000	1,960	1,960	1,960
Municipalities with VLT Facilities	25,867	25,867	25,867	25,867	25,867
Small Government Assistance	217	218	218	218	218
<b>Functional Total</b>	<u>753,792</u>	<u>777,376</u>	<u>789,264</u>	<u>800,634</u>	<u>803,402</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(44,673)	49,276	100,396	46,171	122,079
<b>Functional Total</b>	<u>(44,673)</u>	<u>49,276</u>	<u>100,396</u>	<u>46,171</u>	<u>122,079</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u>59,015,414</u>	<u>60,056,833</u>	<u>63,219,101</u>	<u>65,099,519</u>	<u>67,160,945</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
STATE OPERATIONS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	52,515	51,572	53,512	55,230	56,168
Alcoholic Beverage Control, Division of	13,044	13,629	13,823	14,020	14,221
Economic Development, Department of	33,729	22,726	22,627	23,230	23,485
Energy Research and Development Authority	6,456	5,286	5,396	5,396	5,396
Financial Services, Department of	210,028	202,401	206,774	209,007	211,596
Olympic Regional Development Authority	3,596	3,079	3,206	3,288	3,288
Public Service Department	47,386	48,889	54,419	56,343	58,195
Racing and Wagering Board, State	17,270	15,194	15,226	15,333	15,568
<b>Functional Total</b>	<b>384,024</b>	<b>362,776</b>	<b>374,983</b>	<b>381,847</b>	<b>387,917</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	4,299	4,146	4,175	4,251	4,337
Environmental Conservation, Department of	245,162	234,651	234,864	236,420	239,216
Environmental Facilities Corporation	6,504	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	183,216	163,677	166,499	168,984	170,943
<b>Functional Total</b>	<b>439,181</b>	<b>402,474</b>	<b>405,538</b>	<b>409,655</b>	<b>414,496</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	67,400	70,013	73,915	75,576	77,460
Transportation, Department of	35,857	24,952	25,548	26,111	26,722
<b>Functional Total</b>	<b>103,257</b>	<b>94,965</b>	<b>99,463</b>	<b>101,687</b>	<b>104,182</b>
<b>HEALTH</b>					
Aging, Office for the	2,137	1,737	1,811	1,903	1,970
Health, Department of	555,256	606,407	663,290	684,638	690,256
<i>Medical Assistance</i>	15,222	51,355	56,355	55,855	55,855
<i>Public Health</i>	540,034	555,052	606,935	628,783	634,401
Medicaid Inspector General, Office of the	25,258	24,795	25,596	26,821	27,292
Stem Cell and Innovation	43,470	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<b>626,121</b>	<b>676,439</b>	<b>754,370</b>	<b>774,735</b>	<b>776,018</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	292,769	315,749	314,574	301,463	298,244
<i>OCFS</i>	292,769	315,749	314,574	301,463	298,244
Housing and Community Renewal, Division of	55,910	51,392	51,781	52,756	54,285
Human Rights, Division of	12,000	10,755	10,958	11,269	11,614
Labor, Department of	42,274	50,074	43,154	44,232	45,303
National and Community Service	294	251	333	337	337
Prevention of Domestic Violence, Office for	1,261	1,409	1,426	1,465	1,509
Temporary and Disability Assistance, Office of	150,451	202,699	205,158	216,323	214,493
<i>All Other</i>	150,451	202,699	205,158	216,323	214,493
Welfare Inspector General, Office of	395	1,186	1,206	1,234	1,244
Workers' Compensation Board	157,884	150,979	149,735	152,632	155,909
<b>Functional Total</b>	<b>713,238</b>	<b>784,494</b>	<b>778,325</b>	<b>781,711</b>	<b>782,938</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	75,671	73,393	75,072	77,819	81,590
<i>OASAS</i>	31,920	32,662	33,320	34,549	36,224
<i>OASAS - Other</i>	43,751	40,731	41,752	43,270	45,366
Mental Health, Office of	1,372,952	1,394,952	1,452,504	1,519,943	1,581,187
<i>OMH</i>	306,563	371,074	374,845	392,927	400,159
<i>OMH - Other</i>	1,066,389	1,023,878	1,077,659	1,127,016	1,181,028
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	1,485,935	1,485,160	1,542,514	1,579,492	1,665,325
<i>OPWDD</i>	106,952	176	181	181	181
<i>OPWDD - Other</i>	1,378,983	1,484,984	1,542,333	1,579,311	1,665,144
Quality of Care and Advocacy for Persons With Disabilities, Commission on	5,948	7,698	8,368	8,548	8,743
<b>Functional Total</b>	<b>2,940,917</b>	<b>2,961,203</b>	<b>3,078,458</b>	<b>3,185,802</b>	<b>3,336,845</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	2,251	2,672	2,711	2,787	2,864
Correctional Services, Department of	2,471,532	2,391,467	2,445,282	2,498,925	2,630,386
Criminal Justice Services, Division of	63,882	70,920	72,462	70,210	71,639
Disaster Assistance	20,811	42,700	30,000	34,500	0
Homeland Security and Emergency Services, Division of	77,861	48,671	36,969	33,557	34,727

**CASH DISBURSEMENTS BY FUNCTION**  
**STATE FUNDS**  
**STATE OPERATIONS**  
(thousands of dollars)

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
Indigent Legal Services, Office of	326	859	1,151	1,167	1,183
Judicial Commissions	4,979	5,452	5,577	5,795	5,990
Military and Naval Affairs, Division of	27,440	26,944	27,089	27,443	27,814
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	611,384	643,067	649,446	654,861	667,845
Statewide Financial System	17,596	52,601	51,525	52,826	54,164
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	4,138	4,782	4,862	4,974	5,113
<b>Functional Total</b>	<b>3,302,200</b>	<b>3,290,735</b>	<b>3,327,674</b>	<b>3,387,645</b>	<b>3,502,325</b>
<b>HIGHER EDUCATION</b>					
City University of New York	107,837	103,231	105,433	107,683	109,983
Higher Education - Miscellaneous	376	1,201	1,201	1,201	1,201
Higher Education Services Corporation, New York State	64,233	68,980	70,309	71,976	73,821
State University Construction Fund	16,809	0	0	0	0
State University of New York	5,429,621	5,521,381	5,630,499	5,772,996	5,911,737
<b>Functional Total</b>	<b>5,618,876</b>	<b>5,694,793</b>	<b>5,807,442</b>	<b>5,953,856</b>	<b>6,096,742</b>
<b>EDUCATION</b>					
Arts, Council on the	4,088	4,120	4,122	4,168	4,218
Education, Department of	126,159	122,711	128,963	130,949	132,920
<i>All Other</i>	126,159	122,711	128,963	130,949	132,920
<b>Functional Total</b>	<b>130,247</b>	<b>126,831</b>	<b>133,085</b>	<b>135,117</b>	<b>137,138</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	30,681	31,253	36,596	39,063	40,049
Civil Service, Department of	14,595	14,736	16,143	16,603	17,003
Deferred Compensation Board	457	605	615	633	642
Elections, State Board of	9,400	5,114	5,179	5,316	5,462
Employee Relations, Office of	2,604	2,688	2,710	2,786	2,869
General Services, Office of	119,994	154,336	138,379	133,201	136,087
Inspector General, Office of the	5,409	6,610	6,717	6,970	7,196
Labor Management Committees	23,215	33,470	33,074	35,189	40,316
Lottery, Division of the	140,484	161,247	155,197	159,080	159,478
Public Employment Relations Board	3,675	3,731	3,857	3,804	3,896
Public Integrity, Commission on	3,217	4,016	4,061	4,175	4,295
Regulatory Reform, Governor's Office of	83	0	0	0	0
State, Department of	40,716	45,662	46,581	47,676	48,348
Tax Appeals, Division of	2,850	3,101	2,813	2,894	2,948
Taxation and Finance, Department of	382,913	393,151	394,799	405,915	412,630
Technology, Office for	18,958	21,994	21,305	23,877	23,485
Veterans' Affairs, Division of	5,312	5,989	5,552	5,698	5,866
<b>Functional Total</b>	<b>804,563</b>	<b>887,703</b>	<b>873,578</b>	<b>892,880</b>	<b>910,570</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	135,123	139,394	142,190	145,658	149,721
Executive Chamber	13,256	13,578	13,836	14,773	15,185
Judiciary	1,827,308	1,855,900	1,914,414	1,999,952	2,095,494
Law, Department of	160,461	165,109	162,564	166,796	171,368
Legislature	197,163	218,795	221,349	223,945	226,583
Lieutenant Governor, Office of the	408	614	614	665	680
<b>Functional Total</b>	<b>2,333,719</b>	<b>2,393,390</b>	<b>2,454,967</b>	<b>2,551,789</b>	<b>2,659,031</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	3,676	0	0	0	0
Long-Term Debt Service	45,732	46,925	46,925	46,925	46,925
Miscellaneous	5,007	(68,386)	(233,602)	(202,986)	(99,232)
<b>Functional Total</b>	<b>54,415</b>	<b>(21,461)</b>	<b>(186,677)</b>	<b>(156,061)</b>	<b>(52,307)</b>
<b>TOTAL STATE OPERATIONS SPENDING</b>	<b>17,450,758</b>	<b>17,654,342</b>	<b>17,901,206</b>	<b>18,400,663</b>	<b>19,055,895</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	27,412	27,993	29,168	30,180	30,855
Alcoholic Beverage Control, Division of	7,720	8,185	8,246	8,308	8,370
Economic Development, Department of	11,354	12,704	13,087	13,424	13,679
Energy Research and Development Authority	4,776	3,432	3,501	3,501	3,501
Financial Services, Department of	142,332	133,847	136,495	138,669	141,191
Olympic Regional Development Authority	2,849	2,485	2,522	2,548	2,548
Public Service Department	38,749	40,507	44,591	46,220	47,964
Racing and Wagering Board, State	10,940	9,059	9,058	9,133	9,368
<b>Functional Total</b>	<u>246,132</u>	<u>238,212</u>	<u>246,668</u>	<u>251,983</u>	<u>257,476</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	3,779	3,791	3,820	3,896	3,982
Environmental Conservation, Department of	188,153	174,800	176,236	179,731	182,640
Environmental Facilities Corporation	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	138,928	126,634	129,456	131,306	133,265
<b>Functional Total</b>	<u>336,314</u>	<u>305,225</u>	<u>309,512</u>	<u>314,933</u>	<u>319,887</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	48,313	50,028	51,560	52,689	54,020
Transportation, Department of	9,818	10,206	10,457	10,699	10,969
<b>Functional Total</b>	<u>58,131</u>	<u>60,234</u>	<u>62,017</u>	<u>63,388</u>	<u>64,989</u>
<b>HEALTH</b>					
Aging, Office for the	1,931	1,555	1,619	1,701	1,768
Health, Department of	267,998	285,424	309,916	322,207	327,878
<i>Medical Assistance</i>	1,438	500	500	500	500
<i>Public Health</i>	266,560	284,924	309,416	321,707	327,378
Medicaid Inspector General, Office of the	19,738	18,379	18,850	19,725	20,196
Stem Cell and Innovation	441	0	0	0	0
<b>Functional Total</b>	<u>290,108</u>	<u>305,358</u>	<u>330,385</u>	<u>343,633</u>	<u>349,842</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	177,205	186,486	174,500	163,447	161,672
<i>OCFS</i>	177,205	186,486	174,500	163,447	161,672
Housing and Community Renewal, Division of	40,611	40,890	41,148	41,986	43,395
Human Rights, Division of	10,679	9,841	9,927	10,207	10,522
Labor, Department of	29,954	27,671	28,030	28,720	29,392
National and Community Service	292	210	292	295	295
Prevention of Domestic Violence, Office for	1,040	1,313	1,324	1,356	1,389
Temporary and Disability Assistance, Office of	51,870	83,922	85,979	90,656	93,056
<i>All Other</i>	51,870	83,922	85,979	90,656	93,056
Welfare Inspector General, Office of	364	701	721	738	748
Workers' Compensation Board	85,890	87,805	90,328	92,301	94,633
<b>Functional Total</b>	<u>397,905</u>	<u>438,839</u>	<u>432,249</u>	<u>429,706</u>	<u>435,102</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	54,920	54,931	56,407	58,624	61,879
<i>OASAS</i>	22,801	24,466	24,854	25,830	27,263
<i>OASAS - Other</i>	32,119	30,465	31,553	32,794	34,616
Mental Health, Office of	1,081,037	1,107,359	1,137,920	1,186,323	1,245,792
<i>OMH</i>	253,135	291,415	292,143	310,583	317,505
<i>OMH - Other</i>	827,902	815,944	845,777	875,740	928,287
People with Developmental Disabilities, Office for	1,125,113	1,122,181	1,166,099	1,192,383	1,268,076
<i>OPWDD</i>	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,052,886	1,122,181	1,166,099	1,192,383	1,268,076
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,856	6,480	7,090	7,241	7,404
<b>Functional Total</b>	<u>2,265,926</u>	<u>2,290,951</u>	<u>2,367,516</u>	<u>2,444,571</u>	<u>2,583,151</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	1,890	2,398	2,414	2,481	2,548
Correctional Services, Department of	1,959,956	1,919,183	1,953,342	1,986,510	2,096,531
Criminal Justice Services, Division of	32,972	33,919	34,524	35,363	36,326
Disaster Assistance	9,685	0	0	0	0
Homeland Security and Emergency Services, Division of	13,843	15,487	15,632	16,148	16,729

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Indigent Legal Services, Office of	259	569	802	810	818
Judicial Commissions	3,794	4,093	4,124	4,237	4,357
Military and Naval Affairs, Division of	17,152	16,525	16,655	16,861	17,080
Public Security and Emergency Response	0	600	600	600	600
State Police, Division of	557,865	565,747	568,523	572,436	580,410
Statewide Financial System	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	3,109	3,866	3,931	4,026	4,148
<b>Functional Total</b>	<u>2,608,079</u>	<u>2,573,201</u>	<u>2,611,442</u>	<u>2,650,449</u>	<u>2,770,607</u>
<b>HIGHER EDUCATION</b>					
City University of New York	74,043	75,289	76,792	78,326	79,892
Higher Education - Miscellaneous	207	198	198	198	198
Higher Education Services Corporation, New York State	28,789	30,166	30,554	31,247	32,058
State University Construction Fund	13,612	0	0	0	0
State University of New York	3,324,528	3,377,148	3,428,874	3,519,554	3,606,366
<b>Functional Total</b>	<u>3,441,179</u>	<u>3,482,801</u>	<u>3,536,418</u>	<u>3,629,325</u>	<u>3,718,514</u>
<b>EDUCATION</b>					
Arts, Council on the	2,266	2,298	2,300	2,346	2,396
Education, Department of	80,475	81,412	84,156	85,682	87,317
<i>All Other</i>	80,475	81,412	84,156	85,682	87,317
<b>Functional Total</b>	<u>82,741</u>	<u>83,710</u>	<u>86,456</u>	<u>88,028</u>	<u>89,713</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	23,829	24,961	25,768	27,287	28,166
Civil Service, Department of	13,284	13,247	14,359	14,714	15,109
Deferred Compensation Board	378	392	396	408	417
Elections, State Board of	4,065	4,144	4,254	4,370	4,495
Employee Relations, Office of	2,529	2,551	2,570	2,646	2,728
General Services, Office of	48,873	50,886	51,859	52,985	54,378
Inspector General, Office of the	5,067	6,083	6,129	6,301	6,485
Labor Management Committees	8,359	5,500	5,504	6,119	6,366
Lottery, Division of the	20,276	24,676	25,029	25,495	25,893
Public Employment Relations Board	3,197	3,290	3,119	3,046	3,138
Public Integrity, Commission on	2,492	3,166	3,190	3,282	3,380
Regulatory Reform, Governor's Office of	80	0	0	0	0
State, Department of	28,357	31,340	32,307	33,039	33,700
Tax Appeals, Division of	2,483	2,900	2,601	2,673	2,727
Taxation and Finance, Department of	300,408	301,881	300,966	309,755	316,470
Technology, Office for	10,456	13,586	13,595	13,907	14,246
Veterans' Affairs, Division of	4,868	5,050	5,123	5,259	5,416
<b>Functional Total</b>	<u>479,001</u>	<u>493,653</u>	<u>496,769</u>	<u>511,286</u>	<u>523,114</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	103,298	108,671	109,385	112,012	115,214
Executive Chamber	10,210	11,338	11,495	12,238	12,589
Judiciary	1,464,351	1,468,250	1,514,284	1,561,492	1,618,742
Law, Department of	106,462	110,920	110,913	113,920	117,232
Legislature	151,882	165,284	166,524	167,773	169,031
Lieutenant Governor, Office of the	289	480	494	515	543
<b>Functional Total</b>	<u>1,836,492</u>	<u>1,864,943</u>	<u>1,913,095</u>	<u>1,967,950</u>	<u>2,033,351</u>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	2,091	0	0	0	0
Miscellaneous	2,356	27,932	(25,685)	(11,769)	41,939
<b>Functional Total</b>	<u>4,447</u>	<u>27,932</u>	<u>(25,685)</u>	<u>(11,769)</u>	<u>41,939</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>12,046,455</u>	<u>12,165,059</u>	<u>12,366,842</u>	<u>12,683,483</u>	<u>13,187,685</u>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	25,103	23,579	24,344	25,050	25,313
Alcoholic Beverage Control, Division of	5,324	5,444	5,577	5,712	5,851
Economic Development, Department of	22,375	10,022	9,540	9,806	9,806
Energy Research and Development Authority	1,680	1,854	1,895	1,895	1,895
Financial Services, Department of	67,696	68,554	70,279	70,338	70,405
Olympic Regional Development Authority	747	594	684	740	740
Public Service Department	8,637	8,382	9,828	10,123	10,231
Racing and Wagering Board, State	6,330	6,135	6,168	6,200	6,200
<b>Functional Total</b>	<u>137,892</u>	<u>124,564</u>	<u>128,315</u>	<u>129,864</u>	<u>130,441</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	520	355	355	355	355
Environmental Conservation, Department of	57,009	59,851	58,628	56,689	56,576
Environmental Facilities Corporation	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	44,288	37,043	37,043	37,678	37,678
<b>Functional Total</b>	<u>102,867</u>	<u>97,249</u>	<u>96,026</u>	<u>94,722</u>	<u>94,609</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	19,087	19,985	22,355	22,887	23,440
Transportation, Department of	26,039	14,746	15,091	15,412	15,753
<b>Functional Total</b>	<u>45,126</u>	<u>34,731</u>	<u>37,446</u>	<u>38,299</u>	<u>39,193</u>
<b>HEALTH</b>					
Aging, Office for the	206	182	192	202	202
Health, Department of	287,258	320,983	353,374	362,431	362,378
<i>Medical Assistance</i>	13,784	50,855	55,855	55,355	55,355
<i>Public Health</i>	273,474	270,128	297,519	307,076	307,023
Medicaid Inspector General, Office of the	5,520	6,416	6,746	7,096	7,096
Stem Cell and Innovation	43,029	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<u>336,013</u>	<u>371,081</u>	<u>423,985</u>	<u>431,102</u>	<u>426,176</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	115,564	129,263	140,074	138,016	136,572
<i>OCFS</i>	115,564	129,263	140,074	138,016	136,572
Housing and Community Renewal, Division of	15,299	10,502	10,633	10,770	10,890
Human Rights, Division of	1,321	914	1,031	1,062	1,092
Labor, Department of	12,320	22,403	15,124	15,512	15,911
National and Community Service	2	41	41	42	42
Prevention of Domestic Violence, Office for	221	96	102	109	120
Temporary and Disability Assistance, Office of	98,581	118,777	119,179	125,667	121,437
<i>All Other</i>	98,581	118,777	119,179	125,667	121,437
Welfare Inspector General, Office of	31	485	485	496	496
Workers' Compensation Board	71,994	63,174	59,407	60,331	61,276
<b>Functional Total</b>	<u>315,333</u>	<u>345,655</u>	<u>346,076</u>	<u>352,005</u>	<u>347,836</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	20,751	18,462	18,665	19,195	19,711
<i>OASAS</i>	9,119	8,196	8,466	8,719	8,961
<i>OASAS - Other</i>	11,632	10,266	10,199	10,476	10,750
Mental Health, Office of	291,915	287,593	314,584	333,620	335,395
<i>OMH</i>	53,428	79,659	82,702	82,344	82,654
<i>OMH - Other</i>	238,487	207,934	231,882	251,276	252,741
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	360,822	362,979	376,415	387,109	397,249
<i>OPWDD</i>	34,725	176	181	181	181
<i>OPWDD - Other</i>	326,097	362,803	376,234	386,928	397,068
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,092	1,218	1,278	1,307	1,339
<b>Functional Total</b>	<u>674,991</u>	<u>670,252</u>	<u>710,942</u>	<u>741,231</u>	<u>753,694</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correction, Commission of	361	274	297	306	316
Correctional Services, Department of	511,576	472,284	491,940	512,415	533,855
Criminal Justice Services, Division of	30,910	37,001	37,938	34,847	35,313
Disaster Assistance	11,126	42,700	30,000	34,500	0

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Homeland Security and Emergency Services, Division of	64,018	33,184	21,337	17,409	17,998
Indigent Legal Services, Office of	67	290	349	357	365
Judicial Commissions	1,185	1,359	1,453	1,558	1,633
Military and Naval Affairs, Division of	10,288	10,419	10,434	10,582	10,734
State Police, Division of	53,519	77,320	80,923	82,425	87,435
Statewide Financial System	10,042	41,787	40,630	41,849	43,104
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,029	916	931	948	965
<b>Functional Total</b>	<b>694,121</b>	<b>717,534</b>	<b>716,232</b>	<b>737,196</b>	<b>731,718</b>
<b>HIGHER EDUCATION</b>					
City University of New York	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	35,444	38,814	39,755	40,729	41,763
State University Construction Fund	3,197	0	0	0	0
State University of New York	2,105,093	2,144,233	2,201,625	2,253,442	2,305,371
<b>Functional Total</b>	<b>2,177,697</b>	<b>2,211,992</b>	<b>2,271,024</b>	<b>2,324,531</b>	<b>2,378,228</b>
<b>EDUCATION</b>					
Arts, Council on the	1,822	1,822	1,822	1,822	1,822
Education, Department of	45,684	41,299	44,807	45,267	45,603
<i>All Other</i>	45,684	41,299	44,807	45,267	45,603
<b>Functional Total</b>	<b>47,506</b>	<b>43,121</b>	<b>46,629</b>	<b>47,089</b>	<b>47,425</b>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	6,852	6,292	10,828	11,776	11,883
Civil Service, Department of	1,311	1,489	1,784	1,889	1,894
Deferred Compensation Board	79	213	219	225	225
Elections, State Board of	5,335	970	925	946	967
Employee Relations, Office of	75	137	140	140	141
General Services, Office of	71,121	103,450	86,520	80,216	81,709
Inspector General, Office of the	342	527	588	669	711
Labor Management Committees	14,856	27,970	27,570	29,070	33,950
Lottery, Division of the	120,208	136,571	130,168	133,585	133,585
Public Employment Relations Board	478	441	738	758	758
Public Integrity, Commission on	725	850	871	893	915
Regulatory Reform, Governor's Office of	3	0	0	0	0
State, Department of	12,359	14,322	14,274	14,637	14,648
Tax Appeals, Division of	367	201	212	221	221
Taxation and Finance, Department of	82,505	91,270	93,833	96,160	96,160
Technology, Office for	8,502	8,408	7,710	9,970	9,239
Veterans' Affairs, Division of	444	939	429	439	450
<b>Functional Total</b>	<b>325,562</b>	<b>394,050</b>	<b>376,809</b>	<b>381,594</b>	<b>387,456</b>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	31,825	30,723	32,805	33,646	34,507
Executive Chamber	3,046	2,240	2,341	2,535	2,596
Judiciary	362,957	387,650	400,130	438,460	476,752
Law, Department of	53,999	54,189	51,651	52,876	54,136
Legislature	45,281	53,511	54,825	56,172	57,552
Lieutenant Governor, Office of the	119	134	120	150	137
<b>Functional Total</b>	<b>497,227</b>	<b>528,447</b>	<b>541,872</b>	<b>583,839</b>	<b>625,680</b>
<b>ALL OTHER CATEGORIES</b>					
General State Charges	1,585	0	0	0	0
Long-Term Debt Service	45,732	46,925	46,925	46,925	46,925
Miscellaneous	2,651	(96,318)	(207,917)	(191,217)	(141,171)
<b>Functional Total</b>	<b>49,968</b>	<b>(49,393)</b>	<b>(160,992)</b>	<b>(144,292)</b>	<b>(94,246)</b>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<b>5,404,303</b>	<b>5,489,283</b>	<b>5,534,364</b>	<b>5,717,180</b>	<b>5,868,210</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,340	1,590	1,720	1,882	1,999
Alcoholic Beverage Control, Division of	3,572	4,230	4,491	4,761	5,004
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,342	1,638	1,758	1,758	1,758
Financial Services, Department of	78,607	66,930	66,986	68,236	69,800
Public Service Department	18,738	20,935	24,284	26,488	28,678
Racing and Wagering Board, State	2,283	3,054	3,287	3,512	3,512
<b>Functional Total</b>	<b>106,882</b>	<b>98,405</b>	<b>102,554</b>	<b>106,665</b>	<b>110,779</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	34,802	36,848	35,859	36,681	37,541
Environmental Facilities Corporation	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	655	3,685	3,827	3,890	3,934
<b>Functional Total</b>	<b>37,846</b>	<b>40,533</b>	<b>39,686</b>	<b>40,571</b>	<b>41,475</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,762	24,999	28,079	30,196	32,298
Transportation, Department of	10,781	4,951	5,394	5,790	6,196
<b>Functional Total</b>	<b>35,543</b>	<b>29,950</b>	<b>33,473</b>	<b>35,986</b>	<b>38,494</b>
<b>HEALTH</b>					
Health, Department of	31,976	42,076	46,298	47,611	49,209
<i>Public Health</i>	31,976	42,076	46,298	47,611	49,209
Medicaid Inspector General, Office of the	26	0	0	0	0
Stem Cell and Innovation	232	0	0	0	0
<b>Functional Total</b>	<b>32,234</b>	<b>42,076</b>	<b>46,298</b>	<b>47,611</b>	<b>49,209</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,681	2,516	2,620	2,657	2,704
<i>OCFS</i>	1,681	2,516	2,620	2,657	2,704
Housing and Community Renewal, Division of	15,130	16,501	17,530	18,670	19,581
Labor, Department of	15,023	14,300	15,266	16,460	17,574
Temporary and Disability Assistance, Office of	884	0	0	0	0
<i>All Other</i>	884	0	0	0	0
Welfare Inspector General, Office of	46	221	221	222	224
Workers' Compensation Board	41,151	45,231	49,193	52,898	56,581
<b>Functional Total</b>	<b>73,915</b>	<b>78,769</b>	<b>84,830</b>	<b>90,907</b>	<b>96,664</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	28,073	28,141	30,184	33,096	36,551
<i>OASAS</i>	12,086	12,397	13,297	14,579	16,099
<i>OASAS - Other</i>	15,987	15,744	16,887	18,517	20,452
Mental Health, Office of	530,084	564,336	609,567	666,532	734,210
<i>OMH</i>	121,294	130,658	156,769	173,056	187,445
<i>OMH - Other</i>	408,790	433,678	452,798	493,476	546,765
People with Developmental Disabilities, Office for	521,119	594,100	647,238	698,521	767,874
<i>OPWDD</i>	103,992	0	0	0	0
<i>OPWDD - Other</i>	417,127	594,100	647,238	698,521	767,874
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,076	1,187	1,270	1,375	1,481
<b>Functional Total</b>	<b>1,080,352</b>	<b>1,187,764</b>	<b>1,288,259</b>	<b>1,399,524</b>	<b>1,540,116</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	0	0	0	0	0
Criminal Justice Services, Division of	61	195	208	226	242
Homeland Security and Emergency Services, Division of	120	705	705	705	705
Indigent Legal Services, Office of	83	285	437	464	489
Military and Naval Affairs, Division of	546	250	250	250	250
State Police, Division of	18,994	21,282	24,073	25,230	26,230
Statewide Financial System	0	0	0	0	0
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,817	1,777	1,887	2,000	2,102
<b>Functional Total</b>	<b>21,621</b>	<b>24,494</b>	<b>27,560</b>	<b>28,875</b>	<b>30,018</b>
<b>HIGHER EDUCATION</b>					



**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
City University of New York	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	73	99	99	99	99
Higher Education Services Corporation, New York State	12,780	15,131	16,363	17,337	18,223
State University Construction Fund	5,816	0	0	0	0
State University of New York	559,936	586,939	605,739	625,537	646,270
<b>Functional Total</b>	<b>584,960</b>	<b>608,321</b>	<b>628,538</b>	<b>649,500</b>	<b>671,315</b>
 <b>EDUCATION</b>					
Education, Department of	30,286	29,651	32,228	34,655	36,713
<i>All Other</i>	30,286	29,651	32,228	34,655	36,713
<b>Functional Total</b>	<b>30,286</b>	<b>29,651</b>	<b>32,228</b>	<b>34,655</b>	<b>36,713</b>
 <b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	241	168	168	172	176
Deferred Compensation Board	164	191	208	225	225
General Services, Office of	1,495	1,901	2,002	2,144	2,284
Lottery, Division of the	9,822	11,743	13,879	13,978	13,978
State, Department of	7,368	8,898	9,704	10,595	10,844
Taxation and Finance, Department of	11,633	21,522	22,820	23,047	23,047
<b>Functional Total</b>	<b>32,804</b>	<b>46,720</b>	<b>51,431</b>	<b>53,035</b>	<b>53,648</b>
 <b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	2,689	2,061	1,522	1,633	1,744
Judiciary	601,767	584,398	643,830	683,352	717,306
Law, Department of	8,163	14,030	15,103	16,293	17,381
<b>Functional Total</b>	<b>612,619</b>	<b>600,489</b>	<b>660,455</b>	<b>701,278</b>	<b>736,431</b>
 <b>ALL OTHER CATEGORIES</b>					
General State Charges	3,938,106	3,628,920	3,999,936	4,305,513	4,563,359
Miscellaneous	5,244	5,809	5,947	6,080	6,203
<b>Functional Total</b>	<b>3,943,350</b>	<b>3,634,729</b>	<b>4,005,883</b>	<b>4,311,593</b>	<b>4,569,562</b>
 <b>TOTAL GENERAL STATE CHARGES SPENDING</b>	 <b>6,592,412</b>	 <b>6,421,901</b>	 <b>7,001,195</b>	 <b>7,500,200</b>	 <b>7,974,424</b>

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	17,956	15,506	9,277	7,330	3,500
Economic Development Capital	0	12,500	12,500	5,000	5,000
Economic Development, Department of	821	29,381	32,645	19,859	15,000
Empire State Development Corporation	8,446	97,565	132,602	135,003	85,832
Energy Research and Development Authority	14,629	13,200	14,790	14,790	14,790
Regional Economic Development Program	0	2,500	2,500	1,500	1,500
Strategic Investment Program	3,718	5,000	5,000	5,000	5,000
<b>Functional Total</b>	<u>45,570</u>	<u>175,652</u>	<u>209,314</u>	<u>188,482</u>	<u>130,622</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	296,732	385,742	350,129	323,017	288,515
Environmental Facilities Corporation	274	0	0	0	0
Hudson River Park Trust	216	3,816	0	0	0
Parks, Recreation and Historic Preservation, Office of	30,706	92,541	65,827	53,140	39,428
<b>Functional Total</b>	<u>327,928</u>	<u>482,099</u>	<u>415,956</u>	<u>376,157</u>	<u>327,943</u>
<b>TRANSPORTATION</b>					
Metropolitan Transportation Authority	0	150,000	0	0	310,000
Motor Vehicles, Department of	186,910	184,484	194,948	202,058	209,772
Thruway Authority, New York State	0	1,800	1,800	1,800	1,800
Transportation, Department of	2,063,428	2,054,103	2,122,746	2,099,952	1,992,227
<b>Functional Total</b>	<u>2,250,338</u>	<u>2,390,387</u>	<u>2,319,494</u>	<u>2,303,810</u>	<u>2,513,799</u>
<b>HEALTH</b>					
Health, Department of	7,272	13,500	13,500	13,500	13,500
<i>Public Health</i>	7,272	13,500	13,500	13,500	13,500
<b>Functional Total</b>	<u>7,272</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>	<u>13,500</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	20,494	20,900	20,900	20,900	20,900
<i>OCFS</i>	20,494	20,900	20,900	20,900	20,900
<b>Functional Total</b>	<u>20,494</u>	<u>20,900</u>	<u>20,900</u>	<u>20,900</u>	<u>20,900</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	146	9,880	13,196	13,083	13,083
<i>OASAS</i>	146	9,880	13,196	13,083	13,083
Mental Health, Office of	63,011	77,570	77,598	77,680	77,783
<i>OMH</i>	63,011	77,570	77,598	77,680	77,783
People with Developmental Disabilities, Office for	38,419	45,220	39,220	39,220	39,220
<i>OPWDD</i>	38,419	45,220	39,220	39,220	39,220
<b>Functional Total</b>	<u>101,576</u>	<u>132,670</u>	<u>130,014</u>	<u>129,983</u>	<u>130,086</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	239,799	253,265	259,677	265,710	271,860
Disaster Assistance	17,754	0	0	0	0
Homeland Security and Emergency Services, Division of	4,476	16,000	13,100	5,500	400
Military and Naval Affairs, Division of	8,008	9,679	9,634	14,145	12,245
Public Security and Emergency Response	125	0	0	0	0
State Police, Division of	30,466	37,800	17,874	13,865	13,865
<b>Functional Total</b>	<u>300,628</u>	<u>316,744</u>	<u>300,285</u>	<u>299,220</u>	<u>298,370</u>
<b>HIGHER EDUCATION</b>					
City University of New York	25,182	52,127	53,066	46,725	41,958
Higher Education Facilities Capital Matching Grants Program	406	0	0	0	0
State University of New York	1,013,908	1,091,604	1,070,945	1,198,663	1,122,584
<b>Functional Total</b>	<u>1,039,496</u>	<u>1,143,731</u>	<u>1,124,011</u>	<u>1,245,388</u>	<u>1,164,542</u>
<b>EDUCATION</b>					
Education, Department of	8,230	32,637	33,118	18,400	23,400
<i>All Other</i>	8,230	32,637	33,118	18,400	23,400
<b>Functional Total</b>	<u>8,230</u>	<u>32,637</u>	<u>33,118</u>	<u>18,400</u>	<u>23,400</u>
<b>GENERAL GOVERNMENT</b>					

**CASH DISBURSEMENTS BY FUNCTION  
STATE FUNDS  
CAPITAL PROJECTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
General Services, Office of	74,801	66,578	59,309	69,883	69,883
State, Department of	0	(15)	(15)	(15)	(15)
Technology, Office for	0	0	0	0	0
<b>Functional Total</b>	<u>74,801</u>	<u>66,563</u>	<u>59,294</u>	<u>69,868</u>	<u>69,868</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	2,311	0	0	0	0
<b>Functional Total</b>	<u>2,311</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	91,626	66,000	104,000	96,000	40,000
<b>Functional Total</b>	<u>91,626</u>	<u>66,000</u>	<u>104,000</u>	<u>96,000</u>	<u>40,000</u>
<b>TOTAL CAPITAL PROJECTS SPENDING</b>	<u><u>4,270,270</u></u>	<u><u>4,840,883</u></u>	<u><u>4,729,886</u></u>	<u><u>4,761,708</u></u>	<u><u>4,733,030</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development, Department of	18,266	18,266	18,820	0	0
Energy Research and Development Authority	8,140	9,234	9,234	9,234	9,234
Financial Services, Department of	217,375	216,952	216,952	216,952	216,952
Public Service Department	0	500	500	500	500
<b>Functional Total</b>	<u>243,781</u>	<u>244,952</u>	<u>245,506</u>	<u>226,686</u>	<u>226,686</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	0	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	5,363	4,850	4,850	4,850	4,850
<b>Functional Total</b>	<u>5,363</u>	<u>4,850</u>	<u>4,850</u>	<u>4,850</u>	<u>4,850</u>
<b>TRANSPORTATION</b>					
Transportation, Department of	4,131,623	4,279,636	4,458,861	4,552,861	4,647,061
<b>Functional Total</b>	<u>4,131,623</u>	<u>4,279,636</u>	<u>4,458,861</u>	<u>4,552,861</u>	<u>4,647,061</u>
<b>HEALTH</b>					
Aging, Office for the	0	0	0	0	0
Health, Department of	6,338,542	6,517,800	6,784,022	7,075,298	6,864,928
<i>Medical Assistance</i>	4,995,832	5,256,776	5,355,042	5,594,469	5,563,041
<i>Public Health</i>	1,342,710	1,261,024	1,428,980	1,480,829	1,301,887
<b>Functional Total</b>	<u>6,338,542</u>	<u>6,517,800</u>	<u>6,784,022</u>	<u>7,075,298</u>	<u>6,864,928</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	3,912	850	850	850	850
<i>OCFS</i>	3,912	850	850	850	850
Housing and Community Renewal, Division of	(126)	852	852	852	852
Labor, Department of	153	150	150	150	150
Temporary and Disability Assistance, Office of	8,938	4,000	0	0	0
<i>All Other</i>	8,938	4,000	0	0	0
<b>Functional Total</b>	<u>12,877</u>	<u>5,852</u>	<u>1,852</u>	<u>1,852</u>	<u>1,852</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	267,103	276,950	301,098	317,718	334,920
<i>OASAS</i>	267,103	276,950	301,098	317,718	334,920
Mental Health, Office of	696,872	704,629	834,300	941,444	1,013,408
<i>OMH</i>	696,872	704,629	834,300	941,444	1,013,408
People with Developmental Disabilities, Office for	729,773	710,109	769,124	786,539	795,991
<i>OPWDD</i>	682,532	434,303	479,414	495,514	504,966
<i>OPWDD - Other</i>	47,241	275,806	289,710	291,025	291,025
Quality of Care and Advocacy for Persons With Disabilities, Commission on	390	450	450	450	450
<b>Functional Total</b>	<u>1,694,138</u>	<u>1,692,138</u>	<u>1,904,972</u>	<u>2,046,151</u>	<u>2,144,769</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Criminal Justice Services, Division of	31,765	33,055	36,055	36,055	36,055
Homeland Security and Emergency Services, Division of	18,369	63,835	81,301	81,301	81,301
Indigent Legal Services, Office of	62,292	74,000	77,000	77,000	77,000
Military and Naval Affairs, Division of	3,000	0	0	0	0
Victim Services, Office of	29,239	26,182	28,182	28,182	28,182
<b>Functional Total</b>	<u>144,665</u>	<u>197,072</u>	<u>222,538</u>	<u>222,538</u>	<u>222,538</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	16,000	32,000	16,000	0	0
<b>Functional Total</b>	<u>16,000</u>	<u>32,000</u>	<u>16,000</u>	<u>0</u>	<u>0</u>
<b>EDUCATION</b>					
Arts, Council on the	0	98	98	98	98
Education, Department of	6,130,035	6,334,165	6,545,233	6,732,618	6,836,460
<i>School Aid</i>	2,883,980	3,053,000	3,078,800	3,084,000	3,086,000
<i>STAR Property Tax Relief</i>	3,232,883	3,276,067	3,459,375	3,641,726	3,743,568
<i>All Other</i>	13,172	5,098	7,058	6,892	6,892
<b>Functional Total</b>	<u>6,130,035</u>	<u>6,334,263</u>	<u>6,545,331</u>	<u>6,732,716</u>	<u>6,836,558</u>
<b>GENERAL GOVERNMENT</b>					

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
State, Department of	3,499	539	539	539	539
<b>Functional Total</b>	<u>3,499</u>	<u>539</u>	<u>539</u>	<u>539</u>	<u>539</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	111,606	104,200	104,200	104,200	104,200
<b>Functional Total</b>	<u>111,606</u>	<u>104,200</u>	<u>104,200</u>	<u>104,200</u>	<u>104,200</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	15,388	(285,681)	(281,711)	(279,711)	(279,711)
<b>Functional Total</b>	<u>15,388</u>	<u>(285,681)</u>	<u>(281,711)</u>	<u>(279,711)</u>	<u>(279,711)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>18,847,517</u></u>	<u><u>19,127,621</u></u>	<u><u>20,006,960</u></u>	<u><u>20,687,980</u></u>	<u><u>20,774,270</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	8,903	3,455	3,525	3,643	3,751
Alcoholic Beverage Control, Division of	7,720	8,185	8,246	8,308	8,370
Economic Development, Department of	0	103	103	103	103
Energy Research and Development Authority	4,776	3,432	3,501	3,501	3,501
Financial Services, Department of	142,332	133,847	136,495	138,669	141,191
Public Service Department	38,749	40,507	44,591	46,220	47,964
Racing and Wagering Board, State	10,940	9,059	9,058	9,133	9,368
<b>Functional Total</b>	<u>213,420</u>	<u>198,588</u>	<u>205,519</u>	<u>209,577</u>	<u>214,248</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	91,949	94,098	92,482	94,411	95,977
Environmental Facilities Corporation	5,454	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	27,997	28,009	29,013	29,522	29,954
<b>Functional Total</b>	<u>125,400</u>	<u>122,107</u>	<u>121,495</u>	<u>123,933</u>	<u>125,931</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	48,313	50,028	51,560	52,689	54,020
Transportation, Department of	9,818	9,706	9,957	10,199	10,469
<b>Functional Total</b>	<u>58,131</u>	<u>59,734</u>	<u>61,517</u>	<u>62,888</u>	<u>64,489</u>
<b>HEALTH</b>					
Health, Department of	205,605	227,939	239,557	245,343	248,044
<i>Public Health</i>	205,605	227,939	239,557	245,343	248,044
Medicaid Inspector General, Office of the	3,708	3,700	3,700	3,700	3,700
Stem Cell and Innovation	441	0	0	0	0
<b>Functional Total</b>	<u>209,754</u>	<u>231,639</u>	<u>243,257</u>	<u>249,043</u>	<u>251,744</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	33,370	3,526	3,293	3,390	3,455
<i>OCFS</i>	33,370	3,526	3,293	3,390	3,455
Housing and Community Renewal, Division of	31,919	31,411	31,684	32,224	33,213
Labor, Department of	29,954	27,671	28,030	28,720	29,392
Temporary and Disability Assistance, Office of	55,783	0	0	0	0
<i>All Other</i>	55,783	0	0	0	0
Welfare Inspector General, Office of	77	408	414	420	423
Workers' Compensation Board	85,890	87,805	90,328	92,301	94,633
<b>Functional Total</b>	<u>236,993</u>	<u>150,821</u>	<u>153,749</u>	<u>157,055</u>	<u>161,116</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	54,920	54,931	56,407	58,624	61,879
<i>OASAS</i>	22,801	24,466	24,854	25,830	27,263
<i>OASAS - Other</i>	32,119	30,465	31,553	32,794	34,616
Mental Health, Office of	1,081,037	1,107,359	1,137,920	1,186,323	1,245,792
<i>OMH</i>	253,135	291,415	292,143	310,583	317,505
<i>OMH - Other</i>	827,902	815,944	845,777	875,740	928,287
People with Developmental Disabilities, Office for	1,125,113	1,122,181	1,166,099	1,192,383	1,268,076
<i>OPWDD</i>	72,227	0	0	0	0
<i>OPWDD - Other</i>	1,052,886	1,122,181	1,166,099	1,192,383	1,268,076
Quality of Care and Advocacy for Persons With Disabilities, Commission on	2,206	2,297	2,362	2,430	2,503
<b>Functional Total</b>	<u>2,263,276</u>	<u>2,286,768</u>	<u>2,362,788</u>	<u>2,439,760</u>	<u>2,578,250</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Criminal Justice Services, Division of	322	379	383	389	396
Homeland Security and Emergency Services, Division of	7,206	9,737	9,822	10,074	10,363
Indigent Legal Services, Office of	259	569	802	810	818
Military and Naval Affairs, Division of	1,354	781	783	784	786
State Police, Division of	157,651	48,404	51,870	52,049	52,060
Statewide Financial System	7,554	10,814	10,895	10,977	11,060
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	3,109	3,866	3,931	4,026	4,148
<b>Functional Total</b>	<u>177,455</u>	<u>74,550</u>	<u>78,486</u>	<u>79,109</u>	<u>79,631</u>
<b>HIGHER EDUCATION</b>					

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
City University of New York	74,043	75,289	76,792	78,326	79,892
Higher Education - Miscellaneous	207	198	198	198	198
Higher Education Services Corporation, New York State	28,789	30,166	30,554	31,247	32,058
State University Construction Fund	13,612	0	0	0	0
State University of New York	2,621,078	2,829,898	3,428,874	3,519,554	3,606,366
<b>Functional Total</b>	<u>2,737,729</u>	<u>2,935,551</u>	<u>3,536,418</u>	<u>3,629,325</u>	<u>3,718,514</u>
 <b>EDUCATION</b>					
Education, Department of	55,492	56,914	59,613	60,807	62,057
<i>All Other</i>	55,492	56,914	59,613	60,807	62,057
<b>Functional Total</b>	<u>55,492</u>	<u>56,914</u>	<u>59,613</u>	<u>60,807</u>	<u>62,057</u>
 <b>GENERAL GOVERNMENT</b>					
Budget, Division of the	4,577	4,784	4,927	5,075	5,227
Civil Service, Department of	349	350	350	357	364
Deferred Compensation Board	348	363	367	378	386
General Services, Office of	3,117	3,678	3,850	3,915	3,995
Lottery, Division of the	20,276	24,676	25,029	25,495	25,893
Public Employment Relations Board	290	352	159	0	0
State, Department of	17,669	18,619	19,216	19,571	19,969
Taxation and Finance, Department of	50,583	41,015	41,408	41,822	41,822
<b>Functional Total</b>	<u>97,209</u>	<u>93,837</u>	<u>95,306</u>	<u>96,613</u>	<u>97,656</u>
 <b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	10,214	10,707	10,557	10,518	10,793
Judiciary	55,393	57,650	58,150	58,350	59,650
Law, Department of	22,722	26,983	26,969	27,556	28,192
<b>Functional Total</b>	<u>88,329</u>	<u>95,340</u>	<u>95,676</u>	<u>96,424</u>	<u>98,635</u>
 <b>ALL OTHER CATEGORIES</b>					
Miscellaneous	2,305	(32,370)	(17,327)	(17,232)	(17,135)
<b>Functional Total</b>	<u>2,305</u>	<u>(32,370)</u>	<u>(17,327)</u>	<u>(17,232)</u>	<u>(17,135)</u>
 <b>TOTAL PERSONAL SERVICE SPENDING</b>	 <u>6,265,493</u>	 <u>6,273,479</u>	 <u>6,996,497</u>	 <u>7,187,302</u>	 <u>7,435,136</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	17,504	17,474	17,511	17,904	17,907
Alcoholic Beverage Control, Division of	5,324	5,444	5,577	5,712	5,851
Economic Development, Department of	622	1,847	1,847	1,847	1,847
Energy Research and Development Authority	1,680	1,854	1,895	1,895	1,895
Financial Services, Department of	67,696	68,554	70,279	70,338	70,405
Olympic Regional Development Authority	53	150	150	150	150
Public Service Department	8,637	8,382	9,828	10,123	10,231
Racing and Wagering Board, State	6,330	6,135	6,168	6,200	6,200
<b>Functional Total</b>	<u>107,846</u>	<u>109,840</u>	<u>113,255</u>	<u>114,169</u>	<u>114,486</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	42,926	49,897	48,674	46,735	46,622
Environmental Facilities Corporation	1,050	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	33,579	29,171	29,171	29,806	29,806
<b>Functional Total</b>	<u>77,555</u>	<u>79,068</u>	<u>77,845</u>	<u>76,541</u>	<u>76,428</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	19,087	19,985	22,355	22,887	23,440
Transportation, Department of	25,017	12,591	12,936	13,257	13,598
<b>Functional Total</b>	<u>44,104</u>	<u>32,576</u>	<u>35,291</u>	<u>36,144</u>	<u>37,038</u>
<b>HEALTH</b>					
Aging, Office for the	0	1	1	1	1
Health, Department of	181,785	169,477	182,368	184,925	184,872
<i>Public Health</i>	181,785	169,477	182,368	184,925	184,872
Medicaid Inspector General, Office of the	2	0	0	0	0
Stem Cell and Innovation	43,029	43,500	63,673	61,373	56,500
<b>Functional Total</b>	<u>224,816</u>	<u>212,978</u>	<u>246,042</u>	<u>246,299</u>	<u>241,373</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	35,210	28,270	28,368	29,213	29,214
<i>OCFS</i>	35,210	28,270	28,368	29,213	29,214
Housing and Community Renewal, Division of	7,896	4,934	5,059	5,180	5,235
Labor, Department of	12,320	22,403	15,124	15,512	15,911
Prevention of Domestic Violence, Office for	5	2	2	2	2
Temporary and Disability Assistance, Office of	55,309	200	200	200	200
<i>All Other</i>	55,309	200	200	200	200
Welfare Inspector General, Office of	31	485	485	496	496
Workers' Compensation Board	71,994	63,174	59,407	60,331	61,276
<b>Functional Total</b>	<u>182,765</u>	<u>119,468</u>	<u>108,645</u>	<u>110,934</u>	<u>112,334</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	20,751	18,462	18,665	19,195	19,711
<i>OASAS</i>	9,119	8,196	8,466	8,719	8,961
<i>OASAS - Other</i>	11,632	10,266	10,199	10,476	10,750
Mental Health, Office of	291,807	286,793	313,784	332,820	334,595
<i>OMH</i>	53,320	78,859	81,902	81,544	81,854
<i>OMH - Other</i>	238,487	207,934	231,882	251,276	252,741
Mental Hygiene, Department of	411	0	0	0	0
People with Developmental Disabilities, Office for	360,822	362,979	376,415	387,109	397,249
<i>OPWDD</i>	34,725	176	181	181	181
<i>OPWDD - Other</i>	326,097	362,803	376,234	386,928	397,068
Quality of Care and Advocacy for Persons With Disabilities, Commission on	217	251	255	261	268
<b>Functional Total</b>	<u>674,008</u>	<u>668,485</u>	<u>709,119</u>	<u>739,385</u>	<u>751,823</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	1,350	1,469	1,569	1,569	1,569
Criminal Justice Services, Division of	12,715	18,710	15,213	15,217	15,222
Homeland Security and Emergency Services, Division of	63,224	33,184	21,337	17,409	17,998
Indigent Legal Services, Office of	67	290	349	357	365
Military and Naval Affairs, Division of	5,178	4,632	4,502	4,502	4,502
State Police, Division of	21,160	40,670	30,371	30,436	30,446
Statewide Financial System	10,042	41,787	40,630	41,849	43,104
Statewide Wireless Network	0	0	0	0	0



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
Victim Services, Office of	1,029	916	931	948	965
<b>Functional Total</b>	<u>114,765</u>	<u>141,658</u>	<u>114,902</u>	<u>112,287</u>	<u>114,171</u>
<b>HIGHER EDUCATION</b>					
City University of New York	33,794	27,942	28,641	29,357	30,091
Higher Education - Miscellaneous	169	1,003	1,003	1,003	1,003
Higher Education Services Corporation, New York State	32,957	38,814	39,755	40,729	41,763
State University Construction Fund	3,197	0	0	0	0
State University of New York	1,865,841	2,008,817	2,201,625	2,253,442	2,305,371
<b>Functional Total</b>	<u>1,935,958</u>	<u>2,076,576</u>	<u>2,271,024</u>	<u>2,324,531</u>	<u>2,378,228</u>
<b>EDUCATION</b>					
Education, Department of	27,255	23,463	25,471	25,931	26,267
<i>All Other</i>	27,255	23,463	25,471	25,931	26,267
<b>Functional Total</b>	<u>27,255</u>	<u>23,463</u>	<u>25,471</u>	<u>25,931</u>	<u>26,267</u>
<b>GENERAL GOVERNMENT</b>					
Budget, Division of the	5,469	4,440	9,260	10,040	10,102
Civil Service, Department of	491	811	1,111	1,211	1,211
Deferred Compensation Board	63	189	194	199	199
Elections, State Board of	4,249	165	0	0	0
Employee Relations, Office of	0	56	58	58	58
General Services, Office of	7,374	4,381	4,509	4,665	4,759
Inspector General, Office of the	17	87	87	87	87
Labor Management Committees	23	300	300	300	300
Lottery, Division of the	120,208	136,571	130,168	133,585	133,585
Public Employment Relations Board	76	39	43	43	43
State, Department of	8,555	11,710	11,516	11,737	11,748
Taxation and Finance, Department of	24,037	28,601	29,302	29,982	29,982
<b>Functional Total</b>	<u>170,562</u>	<u>187,350</u>	<u>186,548</u>	<u>191,907</u>	<u>192,074</u>
<b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	4,312	5,069	6,485	6,643	6,804
Judiciary	40,402	48,650	48,650	48,650	48,950
Law, Department of	39,379	39,212	39,375	40,293	41,239
Legislature	1,139	950	950	950	950
<b>Functional Total</b>	<u>85,232</u>	<u>93,881</u>	<u>95,460</u>	<u>96,536</u>	<u>97,943</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,255	(146,530)	(133,129)	(126,429)	(126,383)
<b>Functional Total</b>	<u>1,255</u>	<u>(146,530)</u>	<u>(133,129)</u>	<u>(126,429)</u>	<u>(126,383)</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u><u>3,646,121</u></u>	<u><u>3,598,813</u></u>	<u><u>3,850,473</u></u>	<u><u>3,948,235</u></u>	<u><u>4,015,782</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,340	1,590	1,720	1,882	1,999
Alcoholic Beverage Control, Division of	3,572	4,230	4,491	4,761	5,004
Economic Development, Department of	0	28	28	28	28
Energy Research and Development Authority	2,342	1,638	1,758	1,758	1,758
Financial Services, Department of	78,607	66,930	66,986	68,236	69,800
Public Service Department	18,738	20,935	24,284	26,488	28,678
Racing and Wagering Board, State	2,283	3,054	3,287	3,512	3,512
<b>Functional Total</b>	<u>106,882</u>	<u>98,405</u>	<u>102,554</u>	<u>106,665</u>	<u>110,779</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	34,802	36,848	35,859	36,681	37,541
Environmental Facilities Corporation	2,389	0	0	0	0
Parks, Recreation and Historic Preservation, Office of	655	3,685	3,827	3,890	3,934
<b>Functional Total</b>	<u>37,846</u>	<u>40,533</u>	<u>39,686</u>	<u>40,571</u>	<u>41,475</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	24,762	24,999	28,079	30,196	32,298
Transportation, Department of	10,781	4,951	5,394	5,790	6,196
<b>Functional Total</b>	<u>35,543</u>	<u>29,950</u>	<u>33,473</u>	<u>35,986</u>	<u>38,494</u>
<b>HEALTH</b>					
Health, Department of	31,976	42,076	46,298	47,611	49,209
<i>Public Health</i>	31,976	42,076	46,298	47,611	49,209
Medicaid Inspector General, Office of the	26	0	0	0	0
Stem Cell and Innovation	232	0	0	0	0
<b>Functional Total</b>	<u>32,234</u>	<u>42,076</u>	<u>46,298</u>	<u>47,611</u>	<u>49,209</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,681	2,516	2,620	2,652	2,693
<i>OCFS</i>	1,681	2,516	2,620	2,652	2,693
Housing and Community Renewal, Division of	15,130	16,501	17,530	18,670	19,581
Labor, Department of	15,023	14,300	15,266	16,460	17,574
Temporary and Disability Assistance, Office of	884	0	0	0	0
<i>All Other</i>	884	0	0	0	0
Welfare Inspector General, Office of	46	221	221	222	224
Workers' Compensation Board	41,151	45,231	49,193	52,898	56,581
<b>Functional Total</b>	<u>73,915</u>	<u>78,769</u>	<u>84,830</u>	<u>90,902</u>	<u>96,653</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	28,073	28,141	30,184	33,096	36,551
<i>OASAS</i>	12,086	12,397	13,297	14,579	16,099
<i>OASAS - Other</i>	15,987	15,744	16,887	18,517	20,452
Mental Health, Office of	530,084	564,336	609,567	666,532	734,210
<i>OMH</i>	121,294	130,658	156,769	173,056	187,445
<i>OMH - Other</i>	408,790	433,678	452,798	493,476	546,765
People with Developmental Disabilities, Office for	521,119	594,100	647,238	698,521	767,874
<i>OPWDD</i>	103,992	0	0	0	0
<i>OPWDD - Other</i>	417,127	594,100	647,238	698,521	767,874
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,076	1,187	1,270	1,375	1,481
<b>Functional Total</b>	<u>1,080,352</u>	<u>1,187,764</u>	<u>1,288,259</u>	<u>1,399,524</u>	<u>1,540,116</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Criminal Justice Services, Division of	61	195	208	226	242
Homeland Security and Emergency Services, Division of	120	705	705	705	705
Indigent Legal Services, Office of	83	285	437	464	489
Military and Naval Affairs, Division of	546	250	250	250	250
State Police, Division of	18,994	21,282	24,073	25,230	26,230
Statewide Financial System	0	0	0	0	0
Statewide Wireless Network	0	0	0	0	0
Victim Services, Office of	1,817	1,777	1,887	2,000	2,102
<b>Functional Total</b>	<u>21,621</u>	<u>24,494</u>	<u>27,560</u>	<u>28,875</u>	<u>30,018</u>
<b>HIGHER EDUCATION</b>					

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE STATE FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
City University of New York	6,355	6,152	6,337	6,527	6,723
Higher Education - Miscellaneous	73	99	99	99	99
Higher Education Services Corporation, New York State	12,780	15,131	16,363	17,337	18,223
State University Construction Fund	5,816	0	0	0	0
State University of New York	356,696	376,884	395,684	415,482	436,215
<b>Functional Total</b>	<u>381,720</u>	<u>398,266</u>	<u>418,483</u>	<u>439,445</u>	<u>461,260</u>
 <b>EDUCATION</b>					
Education, Department of	28,700	29,651	32,228	34,655	36,713
<i>All Other</i>	28,700	29,651	32,228	34,655	36,713
<b>Functional Total</b>	<u>28,700</u>	<u>29,651</u>	<u>32,228</u>	<u>34,655</u>	<u>36,713</u>
 <b>GENERAL GOVERNMENT</b>					
Budget, Division of the	2,081	2,297	2,650	2,874	3,094
Civil Service, Department of	241	168	168	172	176
Deferred Compensation Board	164	191	208	225	225
General Services, Office of	1,495	1,901	2,002	2,144	2,284
Lottery, Division of the	9,822	11,743	13,879	13,978	13,978
State, Department of	7,368	8,898	9,704	10,595	10,844
Taxation and Finance, Department of	11,633	21,522	22,820	23,047	23,047
<b>Functional Total</b>	<u>32,804</u>	<u>46,720</u>	<u>51,431</u>	<u>53,035</u>	<u>53,648</u>
 <b>ELECTED OFFICIALS</b>					
Audit and Control, Department of	2,689	2,061	1,522	1,633	1,744
Judiciary	29,257	24,498	24,498	24,598	25,098
Law, Department of	8,163	14,030	15,103	16,293	17,381
<b>Functional Total</b>	<u>40,109</u>	<u>40,589</u>	<u>41,123</u>	<u>42,524</u>	<u>44,223</u>
 <b>ALL OTHER CATEGORIES</b>					
Miscellaneous	1,140	1,389	1,527	1,660	1,783
<b>Functional Total</b>	<u>1,140</u>	<u>1,389</u>	<u>1,527</u>	<u>1,660</u>	<u>1,783</u>
 <b>TOTAL GENERAL STATE CHARGES SPENDING</b>	 <u>1,872,866</u>	 <u>2,018,606</u>	 <u>2,167,452</u>	 <u>2,321,453</u>	 <u>2,504,371</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Economic Development, Department of	2,908	6,100	6,100	100	100
<b>Functional Total</b>	<b>2,908</b>	<b>6,100</b>	<b>6,100</b>	<b>100</b>	<b>100</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Parks, Recreation and Historic Preservation, Office of	3,638	1,270	1,270	1,270	1,270
<b>Functional Total</b>	<b>3,638</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>	<b>1,270</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	18,475	14,800	14,800	14,800	14,800
Transportation, Department of	31,448	40,103	40,103	40,103	40,103
<b>Functional Total</b>	<b>49,923</b>	<b>54,903</b>	<b>54,903</b>	<b>54,903</b>	<b>54,903</b>
<b>HEALTH</b>					
Aging, Office for the	106,192	92,769	92,769	92,769	92,769
Health, Department of	26,199,265	25,434,189	27,425,523	31,428,156	33,951,832
<i>Medical Assistance</i>	24,478,353	23,641,548	25,594,042	29,587,198	32,151,938
<i>Medicaid Administration</i>	443,084	591,750	599,150	574,450	514,950
<i>Public Health</i>	1,277,828	1,200,891	1,232,331	1,266,508	1,284,944
<b>Functional Total</b>	<b>26,305,457</b>	<b>25,526,958</b>	<b>27,518,292</b>	<b>31,520,925</b>	<b>34,044,601</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	1,166,421	1,001,800	1,001,800	1,001,800	1,001,800
<i>OCFS</i>	1,166,421	1,001,800	1,001,800	1,001,800	1,001,800
Housing and Community Renewal, Division of	272,339	51,337	51,337	51,337	51,337
Labor, Department of	161,018	188,520	179,047	179,047	179,047
Temporary and Disability Assistance, Office of	3,496,271	3,520,870	3,298,030	3,298,030	3,298,030
<i>Welfare Assistance</i>	2,520,426	2,635,770	2,412,930	2,412,930	2,412,930
<i>All Other</i>	975,845	885,100	885,100	885,100	885,100
<b>Functional Total</b>	<b>5,096,049</b>	<b>4,762,527</b>	<b>4,530,214</b>	<b>4,530,214</b>	<b>4,530,214</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	122,690	126,842	126,842	126,842	126,842
<i>OASAS</i>	122,690	126,842	126,842	126,842	126,842
Mental Health, Office of	47,523	50,930	50,930	50,930	50,930
<i>OMH</i>	47,523	50,930	50,930	50,930	50,930
People with Developmental Disabilities, Office for	(4,279)	0	0	0	0
<i>OPWDD</i>	(4,279)	0	0	0	0
<b>Functional Total</b>	<b>165,934</b>	<b>177,772</b>	<b>177,772</b>	<b>177,772</b>	<b>177,772</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	547	0	0	0	0
Criminal Justice Services, Division of	37,363	37,939	18,800	18,800	18,800
Homeland Security and Emergency Services, Division of	408,619	374,771	378,771	378,771	378,771
Military and Naval Affairs, Division of	(192)	0	0	0	0
Victim Services, Office of	39,595	30,128	30,128	30,128	30,128
<b>Functional Total</b>	<b>485,932</b>	<b>442,838</b>	<b>427,699</b>	<b>427,699</b>	<b>427,699</b>
<b>HIGHER EDUCATION</b>					
City University of New York	0	0	0	0	0
Higher Education Services Corporation, New York State	521	0	0	0	0
State University of New York	10,041	7,941	7,941	7,941	7,941
<b>Functional Total</b>	<b>10,562</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>	<b>7,941</b>
<b>EDUCATION</b>					
Arts, Council on the	1,711	420	420	420	420
Education, Department of	4,726,296	3,895,068	3,900,198	3,810,141	3,759,140
<i>School Aid</i>	3,559,176	3,005,981	2,855,200	2,799,100	2,869,100
<i>Special Education Categorical Programs</i>	1,111,348	773,600	789,300	805,300	821,700
<i>All Other</i>	55,772	115,487	255,698	205,741	68,340
<b>Functional Total</b>	<b>4,728,007</b>	<b>3,895,488</b>	<b>3,900,618</b>	<b>3,810,561</b>	<b>3,759,560</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	8,910	2,600	0	0	0
General Services, Office of	0	250	250	250	250

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
LOCAL ASSISTANCE GRANTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
State, Department of	63,698	55,457	55,457	55,457	55,457
<b>Functional Total</b>	<u>72,608</u>	<u>58,307</u>	<u>55,707</u>	<u>55,707</u>	<u>55,707</u>
<b>ALL OTHER CATEGORIES</b>					
Miscellaneous	(273,064)	(281,000)	(281,000)	(281,000)	(281,000)
<b>Functional Total</b>	<u>(273,064)</u>	<u>(281,000)</u>	<u>(281,000)</u>	<u>(281,000)</u>	<u>(281,000)</u>
<b>TOTAL LOCAL ASSISTANCE GRANTS SPENDING</b>	<u><u>36,647,954</u></u>	<u><u>34,653,104</u></u>	<u><u>36,399,516</u></u>	<u><u>40,306,092</u></u>	<u><u>42,778,767</u></u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	2,948	2,198	2,242	2,298	2,311
Financial Services, Department of	271	0	0	0	0
Public Service Department	1,318	1,530	1,540	1,438	1,467
<b>Functional Total</b>	<u>4,537</u>	<u>3,728</u>	<u>3,782</u>	<u>3,736</u>	<u>3,778</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	25,095	25,095	25,197	25,638	26,082
Parks, Recreation and Historic Preservation, Office of	1,027	1,010	1,015	1,037	1,053
<b>Functional Total</b>	<u>26,122</u>	<u>26,105</u>	<u>26,212</u>	<u>26,675</u>	<u>27,135</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	1,200	1,243	1,260	1,292	1,328
Transportation, Department of	3,912	5,042	5,152	5,264	5,387
<b>Functional Total</b>	<u>5,112</u>	<u>6,285</u>	<u>6,412</u>	<u>6,556</u>	<u>6,715</u>
<b>HEALTH</b>					
Aging, Office for the	6,047	7,031	7,041	7,170	7,316
Health, Department of	51,547	54,374	54,468	55,457	56,593
<i>Public Health</i>	51,547	54,374	54,468	55,457	56,593
Medicaid Inspector General, Office of the	19,739	18,379	18,850	19,717	20,179
<b>Functional Total</b>	<u>77,333</u>	<u>79,784</u>	<u>80,359</u>	<u>82,344</u>	<u>84,088</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	26,067	29,082	27,565	28,376	28,984
<i>OCFS</i>	26,067	29,082	27,565	28,376	28,984
Housing and Community Renewal, Division of	7,533	5,031	5,098	5,300	5,592
Human Rights, Division of	2,741	2,730	2,739	2,831	2,929
Labor, Department of	211,085	196,003	186,156	190,187	194,966
National and Community Service	382	354	358	362	362
Prevention of Domestic Violence, Office for	23	0	0	3	8
Temporary and Disability Assistance, Office of	102,745	83,446	84,445	86,895	89,587
<i>All Other</i>	102,745	83,446	84,445	86,895	89,587
<b>Functional Total</b>	<u>350,576</u>	<u>316,646</u>	<u>306,361</u>	<u>313,954</u>	<u>322,428</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	4,886	5,477	5,525	5,076	5,127
<i>OASAS</i>	4,886	5,477	5,525	5,076	5,127
Developmental Disabilities Planning Council	980	1,197	1,211	1,230	1,253
Mental Health, Office of	855	578	584	584	584
<i>OMH</i>	855	578	584	584	584
People with Developmental Disabilities, Office for	123	116	116	116	116
<i>OPWDD</i>	123	116	116	116	116
Quality of Care and Advocacy for Persons With Disabilities, Commission on	1,328	1,590	1,711	1,753	1,800
<b>Functional Total</b>	<u>8,172</u>	<u>8,958</u>	<u>9,147</u>	<u>8,759</u>	<u>8,880</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	2,425	44,748	26,648	26,939	26,999
Criminal Justice Services, Division of	9,710	8,634	5,253	5,397	5,560
Homeland Security and Emergency Services, Division of	7,998	6,251	6,271	6,371	6,490
Military and Naval Affairs, Division of	22,012	22,146	22,335	22,455	22,666
State Police, Division of	9,612	5,100	5,150	5,200	5,200
Victim Services, Office of	1,289	1,500	1,515	1,578	1,657
<b>Functional Total</b>	<u>53,046</u>	<u>88,379</u>	<u>67,172</u>	<u>67,940</u>	<u>68,572</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	139	836	836	836	836
State University of New York	8,350	7,229	7,229	7,229	7,229
<b>Functional Total</b>	<u>8,489</u>	<u>8,065</u>	<u>8,065</u>	<u>8,065</u>	<u>8,065</u>
<b>EDUCATION</b>					
Education, Department of	77,898	83,362	83,693	84,475	84,486
<i>All Other</i>	77,898	83,362	83,693	84,475	84,486
<b>Functional Total</b>	<u>77,898</u>	<u>83,362</u>	<u>83,693</u>	<u>84,475</u>	<u>84,486</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
PERSONAL SERVICE  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	40	0	0	0	0
State, Department of	3,104	3,508	3,561	3,663	3,731
Taxation and Finance, Department of	0	0	0	0	0
Veterans' Affairs, Division of	421	757	767	782	796
<b>Functional Total</b>	<u>3,565</u>	<u>4,265</u>	<u>4,328</u>	<u>4,445</u>	<u>4,527</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	1,899	0	0	0	0
Law, Department of	16,688	19,474	19,689	20,027	20,396
<b>Functional Total</b>	<u>18,587</u>	<u>19,474</u>	<u>19,689</u>	<u>20,027</u>	<u>20,396</u>
<b>TOTAL PERSONAL SERVICE SPENDING</b>	<u>633,437</u>	<u>645,051</u>	<u>615,220</u>	<u>626,976</u>	<u>639,070</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	8,868	9,504	9,505	9,733	9,733
Economic Development, Department of	0	245	245	245	245
Financial Services, Department of	730	0	0	0	0
Public Service Department	178	262	291	283	283
<b>Functional Total</b>	<u>9,776</u>	<u>10,011</u>	<u>10,041</u>	<u>10,261</u>	<u>10,261</u>
<b>PARKS AND THE ENVIRONMENT</b>					
Adirondack Park Agency	55	350	350	350	350
Environmental Conservation, Department of	23,815	16,756	16,756	16,756	16,756
Parks, Recreation and Historic Preservation, Office of	2,700	1,085	1,085	1,110	1,110
<b>Functional Total</b>	<u>26,570</u>	<u>18,191</u>	<u>18,191</u>	<u>18,216</u>	<u>18,216</u>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	2,146	2,557	2,607	2,670	2,733
Transportation, Department of	2,604	2,546	2,610	2,675	2,745
<b>Functional Total</b>	<u>4,750</u>	<u>5,103</u>	<u>5,217</u>	<u>5,345</u>	<u>5,478</u>
<b>HEALTH</b>					
Aging, Office for the	1,250	1,018	1,018	1,018	1,018
Health, Department of	181,221	166,821	166,828	166,884	166,945
<i>Public Health</i>	181,221	166,821	166,828	166,884	166,945
Medicaid Inspector General, Office of the	7,043	12,329	12,330	12,703	12,729
<b>Functional Total</b>	<u>189,514</u>	<u>180,168</u>	<u>180,176</u>	<u>180,605</u>	<u>180,692</u>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	61,794	61,226	61,226	62,765	62,776
<i>OCFS</i>	61,794	61,226	61,226	62,765	62,776
Housing and Community Renewal, Division of	1,813	2,227	2,305	2,381	2,420
Human Rights, Division of	2,521	1,512	1,513	1,555	1,598
Labor, Department of	115,134	120,142	114,768	114,844	114,935
National and Community Service	20,892	13,646	13,646	13,860	13,860
Temporary and Disability Assistance, Office of	66,578	65,182	65,251	65,316	66,994
<i>All Other</i>	66,578	65,182	65,251	65,316	66,994
Workers' Compensation Board	4,510	3,532	3,624	3,624	3,624
<b>Functional Total</b>	<u>273,242</u>	<u>267,467</u>	<u>262,333</u>	<u>264,345</u>	<u>266,207</u>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	1,564	1,678	1,620	1,642	1,680
<i>OASAS</i>	1,564	1,678	1,620	1,642	1,680
Developmental Disabilities Planning Council	1,593	2,468	2,340	2,259	2,188
Mental Health, Office of	638	149	153	153	153
<i>OMH</i>	638	149	153	153	153
People with Developmental Disabilities, Office for	1,882	33,490	34,361	34,361	34,361
<i>OPWDD</i>	1,882	33,490	34,361	34,361	34,361
Quality of Care and Advocacy for Persons With Disabilities, Commission on	4,359	5,191	4,705	4,819	4,947
<b>Functional Total</b>	<u>10,036</u>	<u>42,976</u>	<u>43,179</u>	<u>43,234</u>	<u>43,329</u>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	908	1,242	1,277	1,311	1,311
Criminal Justice Services, Division of	8,499	8,752	6,502	6,503	6,504
Homeland Security and Emergency Services, Division of	13,748	6,812	6,812	6,812	6,812
Military and Naval Affairs, Division of	11,617	12,254	12,254	12,254	12,254
State Police, Division of	11,068	2,500	2,500	2,500	2,500
Victim Services, Office of	366	502	502	502	502
<b>Functional Total</b>	<u>46,206</u>	<u>32,062</u>	<u>29,847</u>	<u>29,882</u>	<u>29,883</u>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	4,222	5,797	5,797	5,797	5,797
State University of New York	293,655	227,631	227,631	227,631	227,631
<b>Functional Total</b>	<u>297,877</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>	<u>233,428</u>
<b>EDUCATION</b>					
Arts, Council on the	0	100	100	100	100
Education, Department of	65,623	105,752	192,126	170,040	62,617



**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
NON-PERSONAL SERVICE/INDIRECT COSTS  
(thousands of dollars)**

	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<i>All Other</i>	65,623	105,752	192,126	170,040	62,617
<b>Functional Total</b>	<u>65,623</u>	<u>105,852</u>	<u>192,226</u>	<u>170,140</u>	<u>62,717</u>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	(1,148)	8,000	22,000	0	0
General Services, Office of	6,249	4,987	4,987	4,987	4,987
State, Department of	807	3,898	3,988	4,080	4,080
Taxation and Finance, Department of	676	210	215	220	220
Technology, Office for	0	0	0	0	0
Veterans' Affairs, Division of	142	553	565	578	592
<b>Functional Total</b>	<u>6,726</u>	<u>17,648</u>	<u>31,755</u>	<u>9,865</u>	<u>9,879</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	4,806	7,500	7,500	7,500	7,500
Law, Department of	8,522	8,127	8,288	8,372	8,659
<b>Functional Total</b>	<u>13,328</u>	<u>15,627</u>	<u>15,788</u>	<u>15,872</u>	<u>16,159</u>
<b>TOTAL NON-PERSONAL SERVICE/INDIRECT COSTS SPENDING</b>	<u>943,648</u>	<u>928,533</u>	<u>1,022,181</u>	<u>981,193</u>	<u>876,249</u>

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	FY 2012 Results	FY 2013 Enacted	FY 2014 Projected	FY 2015 Projected	FY 2016 Projected
<b>ECONOMIC DEVELOPMENT AND GOVERNMENT OVERSIGHT</b>					
Agriculture and Markets, Department of	1,082	1,102	1,207	1,301	1,365
Financial Services, Department of	134	0	0	0	0
Public Service Department	812	768	829	814	832
<b>Functional Total</b>	<b>2,028</b>	<b>1,870</b>	<b>2,036</b>	<b>2,115</b>	<b>2,197</b>
<b>PARKS AND THE ENVIRONMENT</b>					
Environmental Conservation, Department of	12,388	10,074	10,139	10,390	10,668
Parks, Recreation and Historic Preservation, Office of	0	508	511	621	630
<b>Functional Total</b>	<b>12,388</b>	<b>10,582</b>	<b>10,650</b>	<b>11,011</b>	<b>11,298</b>
<b>TRANSPORTATION</b>					
Motor Vehicles, Department of	477	623	679	732	784
Transportation, Department of	2,291	2,529	2,773	2,970	3,169
<b>Functional Total</b>	<b>2,768</b>	<b>3,152</b>	<b>3,452</b>	<b>3,702</b>	<b>3,953</b>
<b>HEALTH</b>					
Aging, Office for the	0	235	235	235	235
Health, Department of	23,931	23,006	23,056	23,620	24,316
<i>Public Health</i>	23,931	23,006	23,056	23,620	24,316
Medicaid Inspector General, Office of the	8,771	10,738	10,749	10,980	11,264
<b>Functional Total</b>	<b>32,702</b>	<b>33,979</b>	<b>34,040</b>	<b>34,835</b>	<b>35,815</b>
<b>SOCIAL WELFARE</b>					
Children and Family Services, Office of	10,804	9,913	8,931	9,236	9,608
<i>OCFS</i>	10,804	9,913	8,931	9,236	9,608
Housing and Community Renewal, Division of	3,583	2,689	2,937	3,199	3,146
Human Rights, Division of	49	1,969	2,075	2,203	2,330
Labor, Department of	93,601	98,312	100,220	107,676	115,157
Temporary and Disability Assistance, Office of	42,357	44,123	44,463	44,973	44,973
<i>All Other</i>	42,357	44,123	44,463	44,973	44,973
<b>Functional Total</b>	<b>150,394</b>	<b>157,006</b>	<b>158,626</b>	<b>167,287</b>	<b>175,214</b>
<b>MENTAL HYGIENE</b>					
Alcoholism and Substance Abuse Services, Office of	0	2,754	2,922	2,832	2,990
<i>OASAS</i>	0	2,754	2,922	2,832	2,990
Developmental Disabilities Planning Council	1,335	535	649	711	758
Mental Health, Office of	306	290	315	331	345
<i>OMH</i>	306	290	315	331	345
People with Developmental Disabilities, Office for	47	70	74	74	74
<i>OPWDD</i>	47	70	74	74	74
Quality of Care and Advocacy for Persons With Disabilities, Commission on	632	788	906	982	1,055
<b>Functional Total</b>	<b>2,320</b>	<b>4,437</b>	<b>4,866</b>	<b>4,930</b>	<b>5,222</b>
<b>PUBLIC PROTECTION/CRIMINAL JUSTICE</b>					
Correctional Services, Department of	1,120	1,415	1,415	1,415	1,415
Criminal Justice Services, Division of	314	200	201	219	240
Homeland Security and Emergency Services, Division of	3,896	2,916	2,916	2,916	2,916
Military and Naval Affairs, Division of	5,475	6,499	6,499	6,499	6,499
State Police, Division of	378	0	0	0	0
Victim Services, Office of	0	326	326	326	326
<b>Functional Total</b>	<b>11,183</b>	<b>11,356</b>	<b>11,357</b>	<b>11,375</b>	<b>11,396</b>
<b>HIGHER EDUCATION</b>					
Higher Education Services Corporation, New York State	14	419	419	419	419
State University of New York	54	51	51	51	51
<b>Functional Total</b>	<b>68</b>	<b>470</b>	<b>470</b>	<b>470</b>	<b>470</b>
<b>EDUCATION</b>					
Education, Department of	38,931	42,227	45,016	47,655	49,907
<i>All Other</i>	38,931	42,227	45,016	47,655	49,907
<b>Functional Total</b>	<b>38,931</b>	<b>42,227</b>	<b>45,016</b>	<b>47,655</b>	<b>49,907</b>
<b>GENERAL GOVERNMENT</b>					
Elections, State Board of	126	0	0	0	0
State, Department of	1,613	2,313	2,486	2,693	2,734
Taxation and Finance, Department of	1	0	0	0	0

**CASH DISBURSEMENTS BY FUNCTION  
SPECIAL REVENUE FEDERAL FUNDS  
GENERAL STATE CHARGES  
(thousands of dollars)**

	<b>FY 2012 Results</b>	<b>FY 2013 Enacted</b>	<b>FY 2014 Projected</b>	<b>FY 2015 Projected</b>	<b>FY 2016 Projected</b>
Veterans' Affairs, Division of	233	350	350	350	350
<b>Functional Total</b>	<u>1,973</u>	<u>2,663</u>	<u>2,836</u>	<u>3,043</u>	<u>3,084</u>
<b>ELECTED OFFICIALS</b>					
Judiciary	79	0	0	0	0
Law, Department of	7,165	8,608	8,608	8,799	9,025
<b>Functional Total</b>	<u>7,244</u>	<u>8,608</u>	<u>8,608</u>	<u>8,799</u>	<u>9,025</u>
<b>TOTAL GENERAL STATE CHARGES SPENDING</b>	<u><u>261,999</u></u>	<u><u>276,350</u></u>	<u><u>281,957</u></u>	<u><u>295,222</u></u>	<u><u>307,581</u></u>

**General Fund Transfers From Other Funds**  
(thousands of dollars)

<u>Sending Agency</u>	<u>Fund / Account</u>	<u>Account Name</u>	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>RBTF - Dedicated PIT in excess of Debt Service</b>			<b>8,096,543</b>	<b>8,272,420</b>	<b>8,841,839</b>	<b>8,980,557</b>	<b>9,194,563</b>
<b>LGAC - Dedicated Sales Tax in excess of Debt Service</b>			<b>2,395,720</b>	<b>2,455,764</b>	<b>2,579,463</b>	<b>2,706,292</b>	<b>2,832,295</b>
<b>CWCA - Real Estate Transfer Tax in excess of Debt Service</b>			<b>387,197</b>	<b>444,073</b>	<b>499,518</b>	<b>575,587</b>	<b>650,630</b>
<b>Total All Other Transfers</b>			<b>1,043,948</b>	<b>1,070,726</b>	<b>862,428</b>	<b>770,004</b>	<b>759,850</b>
CQCAPD	020.D1	Disab Tech Asst	-	130	-	-	-
SED OTH	050.01	Tuition Reimb	23	23	23	23	23
SED OTH	050.02	Prop Vocational Sch	297	297	297	297	297
SED OTH	052.01	Local Govt Record	782	782	782	782	782
DOCCS	059.01	Alcohol&Substance	19	-	-	-	-
DOT	169.33	Medicaid Recov	1	-	-	-	-
DOT	225.01	Mobility Tax Trust	2,548	-	-	-	-
GSC	225.01	Mobility Tax Trust	3,676	-	-	-	-
AGRIC & MARKETS	261.00	Fed USDA/FNS	-	450	450	450	450
TADA OTH	261.00	Fed USDA/FNS	-	30,000	30,000	30,000	30,000
AGRIC & MARKETS	265.00	Federal HHS	-	50	50	50	50
TADA OTH	265.00	Federal HHS	22,687	163,600	153,600	91,600	91,600
OCFS	265.00	Federal HHS	2,500	28,670	28,670	28,670	28,670
OMH	265.00	Federal HHS	-	171	13	20	6
OTDA	265.FS	Federal Stimulus	18,313	-	-	-	-
OCFS	267.00	Fed Education	-	900	900	900	900
OMH	290.00	Fed Oper Grant	853	-	-	-	-
ENCON	301.48	Waste Tire Mgt/Recycle	5,946	5,946	5,946	-	-
ENCON	301.49	Oil & Gas Acct	48	48	48	48	48
ENCON	301.BJ	Indirect Charge	523	523	523	523	523
ENCON	301.S4	Encon Magazine	131	131	131	131	131
ENCON	301.XB	Mined Land Reclamation	1,617	1,700	1,700	1,700	1,700
ENCON	303.03	Oil Spill - DEC	-	574	574	574	574
LABOR	305.01	OSH Trng & Educ	2,366	866	866	866	866
LABOR	305.02	OSHA Inspection	2,087	2,188	2,188	2,188	2,188
OCFS	307.01	Equip Loan Fund	7	7	7	7	7
ENCON	312.00	Hazardous Waste	34,297	28,750	28,750	28,750	28,750
DOT	313.02	Metro Mass Tran	10,000	-	-	-	-
DOT	313.06	Add Mass Trans	1	-	-	-	-
DMV	314.02	Mobile Source	-	30	30	30	30
DOH	339.03	S P A R C S	885	885	885	885	885
OPWDD	339.05	OPWDD Provider	-	6,189	6,189	-	-
DOS	339.07	Fire Prev/Code	14,084	14,810	14,810	14,810	14,810
MENTAL HYGIENE	339.10	Mental Hygiene	371,639	37,620	-	-	-
MENTAL HYGIENE	339.13	M H Patient Inc	-	56,512	-	-	-
DOH	339.26	Cert of Need	7,417	1,086	1,086	1,086	1,086
DOH	339.28	Retir Community	2	2	2	2	2
LABOR	339.30	DOL Fee Penalty	12,372	8,372	8,372	8,372	8,372
PARKS	339.39	I Love NY Water	64	64	64	64	64
DOT	339.42	Transportation Surplus Property	2,503	803	803	803	803
DOH	339.44	Hosp & Nurs Mgt	-	376	376	376	376
OTDA	339.48	ODTA Training Contract	-	1,010	-	-	-
OTDA	339.50	ODTA Training Mgmt	-	65	-	-	-
OASAS	339.51	Methadone Registry Services	-	242	248	254	260
HSES	339.61	Radiology	1,350	1,350	1,350	1,350	1,350
DCJS	339.62	Crim Justice Improvement	22,052	26,852	22,000	19,000	19,000
DOS	339.72	NY Fire Academy	-	247	247	247	247
DOH	339.81	Envir.Lab.Fee	183	183	183	183	183
OCFS	339.88	Train Mgmt Evaluation	488	488	488	488	488
DOH	339.95	Radio Hlth Protect	216	216	216	216	216
SED OTH	339.A4	Teacher Certif	693	861	861	861	861
BANKING/DFR	339.A5	Banking Dept	2,413	2,413	2,413	2,413	2,413
DED	339.A7	Econ Devel Asst	92	-	-	-	-
DMV	339.AE	Motorcycle Safety	5	6	6	6	6
DOS	339.AG	Business Licens	40,546	38,160	35,910	35,910	35,910
DOH	339.AP	Administration	5,000	-	-	-	-
OTDA	339.AX	Child Support Revenue	-	75	-	-	-
DOH	339.B4	Radon Detct Dev	2	2	2	2	2
DOS	339.B8	Fire Protection	13	13	13	13	13
LABOR	339.BA	Public Work Enforce	2,930	2,930	2,930	2,930	2,930
RACING	339.BJ	Bell Jar Collection	123	202	202	202	202
TAX	339.BK	Ind & Util Service	441	441	441	441	441
DOS	339.CM	Reg Manufactured Housing	-	100	100	100	100

**General Fund Transfers From Other Funds**  
(thousands of dollars)

<u>Sending Agency</u>	<u>Fund / Account</u>	<u>Account Name</u>	<u>FY 2012 Results</u>	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
DOB	339.CR	Reven Arrearage	28,554	22,554	22,554	22,554	22,554
DOCCS	339.CT	Cell Phone Tower	900	-	-	-	-
DOCCS	339.CU	Spec Conserv	109	-	-	-	-
OCFS	339.CY	Central Registry	1,439	4,822	4,822	4,822	4,822
TAX	339.DC	Investment Services	-	541	541	541	541
OPWDD	339.DH	OMRDD Day Services	-	40,000	40,000	40,000	40,000
ECON DEV	339.DO	DED Marketing	1,631	131	131	131	131
DOS	339.DQ	Tug Hill Admin	10	10	10	10	10
SED OTH	339.E5	Armory Rental Acct	2,777	2,777	2,777	2,777	2,777
CQCAPD	339.EC	Fedl Salary Sharing	62	62	62	62	62
OASAS	339.EJ	Credential Services	-	935	959	987	1,013
CIV SVC	339.ER	Exam & Misc Rev	1,566	1,566	1,566	1,566	1,566
DOB	339.FL	Fed Liability	-	50	-	-	-
DOH	339.FP	Funeral	8	8	8	8	8
TAX	339.G3	Local Services	26	26	26	26	26
DOT	339.G7	Accident Damage Recov	1,800	-	-	-	-
TADA	339.GA	Adult Shelter	5,000	-	-	-	-
DMV	339.H7	DMV-Compulsory	14,018	15,368	15,368	15,368	15,368
DOH	339.H9	Professional Medical Conduct	3,000	-	-	-	-
DOH	339.HQ	Adlt Hme Qlty Enhance	21	21	21	21	21
DMV	339.IC	Accid Prevent Course	606	606	606	606	606
DOH	339.J1	Local Public Hlth	1,005	5	5	5	5
DHCR	339.J5	Housing Credit Agency	100	-	-	-	-
DOH	339.J6	EPIC Premium Acct	102,625	52,000	-	-	-
DOH	339.JA	Vital Records Mgmt	2,252	2,252	2,252	2,252	2,252
HLTH MEDICAL	339.JB	CHCCDP Transfer	24,373	-	-	-	-
OCFS	339.K1	Hwy Rev/Social Security Admin	50	50	50	50	50
DOH	339.L2	Asst Living Res Quality	9	9	9	9	9
OTDA	339.L7	OTDA Income	-	1,348	-	-	-
HSES	339.LZ	Pub Safe Commun	20,000	20,000	-	-	-
DHCR	339.NG	Low Inc Housing	100	-	-	-	-
ECON DEV	339.P4	Procure Opportunity News	100	100	100	100	100
ABO	339.PO	Auth Budget Office	39	39	39	39	39
DOH	339.PS	Patient Safety	73	73	73	73	73
DOH	339.Q3	NYC Veterans	1,074	-	-	-	-
DOH	339.Q4	NYS Home-Vetera	763	-	-	-	-
DOH	339.Q5	WNY Vets Home	432	-	-	-	-
DOH	339.Q6	Montrose S V H	2,731	-	-	-	-
AG&MKTS	339.R4	Motor Fuel Quality	249	200	200	200	200
AG&MKTS	339.R5	Weights Measure	-	30	30	30	30
DOB	339.ST	Systems & Technology	1,833	833	833	833	833
PARKS	339.T2	Parks&Recreation Patron Services	5,402	903	903	903	903
SED OTH	339.TM	Teacher Education Accreditation	14	21	21	21	21
TSCR	339.TS	TSCR Account	42,975	104,011	104,011	104,011	104,011
PUB SVC	339.US	Undgrnd Safety Training	175	175	175	175	175
AG&MKTS	339.XX	A&M-Aggregated	89	139	139	139	139
OCFS	339.YF	Yth Fac PerDiem	101,950	152,416	138,746	121,672	111,534
OGS	339.YL	OGS Bldg Admin	480	1,000	1,000	1,000	1,000
OGS	339.YN	OGS Standards & Purchase Acct	4,489	3,000	3,000	3,000	3,000
OMH	343.00	Mental Hygiene	-	58	80	53	28
SUNY	345.10	SUNY General IFR	22,000	22,000	22,000	22,000	22,000
SUNY	345.22	SUNY Hospitals Debt Service	43,136	41,130	39,211	40,993	40,993
OASAS	346.00	Subst Abuse Srv	-	200	-	-	-
DOS	349.01	Lake George Park	197	197	197	197	197
OMH	353.00	MH & MR Community	-	23	32	21	12
DCJS	354.01	MVTIFA	2,700	-	-	-	-
DSP	354.02	Motor Vehicle Enforcement	-	100,800	100,800	100,800	100,800
ENCON	355.01	Great Lakes Protection	60	60	60	60	60
SED OTH	365.01	Vocatl Rehabil	-	32	32	32	32
ORDA	385.01	Lake Placid Train	-	23	23	23	23
OILS	390.01	Indigent Legal	-	3,000	-	-	-
LABOR	482.01	UI Special Interest & Penalty	6,711	6,711	3,211	3,211	3,211
			<b>11,923,408</b>	<b>12,242,983</b>	<b>12,783,248</b>	<b>13,032,440</b>	<b>13,437,338</b>

**General Fund Transfers To Other Funds**  
(thousands of dollars)

Receiving Agency	Fund / Account	Account Name	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016
			Results	Enacted	Projected	Projected	Projected
<b>Total Transfers to Debt Service Funds</b>			<b>1,515,793</b>	<b>1,580,484</b>	<b>1,652,860</b>	<b>1,585,399</b>	<b>1,558,761</b>
DEBT SVC	311	Genl Debt Servc	1,515,793	1,580,484	1,652,860	1,585,399	1,558,761
<b>Total Transfers to Capital Projects Funds</b>			<b>798,402</b>	<b>1,055,463</b>	<b>1,293,441</b>	<b>1,408,114</b>	<b>1,301,177</b>
CAP PROJ	002.00	CPF	349,519	449,478	590,207	674,702	567,568
OMH	002.00	CPF	-	33,570	33,570	33,570	33,570
OPWDD	002.00	CPF	-	35,579	35,579	35,579	35,579
OASAS	002.00	CPF	-	12,207	11,560	18,039	18,039
DOT	002.00	CPF	-	3,000	-	-	-
CAP PROJ	002.CC	CPF - Auth Bond	-	1,000	1,000	1,000	1,000
DOCCS	399.CC	Correction Facility Cap Improv	-	21,500	21,500	21,500	21,500
DOT	072.00	DHBTf	448,883	499,129	600,025	623,724	623,921
<b>Total Transfers to State Share Medicaid</b>			<b>2,722,478</b>	<b>2,978,080</b>	<b>2,771,802</b>	<b>2,626,331</b>	<b>2,526,331</b>
DMH	339.10	Mental Hygiene	2,722,478	2,978,080	2,771,802	2,626,331	2,526,331
<b>Total All Other Transfers</b>			<b>819,074</b>	<b>1,471,186</b>	<b>2,962,251</b>	<b>3,972,616</b>	<b>4,467,663</b>
FPADJ	020.00	Combined Expendable Trust	-	91,697	72,883	71,856	70,877
OCFS	020.78	WB Hoyt Memoria	622	622	622	622	622
DOH	020.AA	Alzheimers Disease Assist	284	250	250	250	250
DOH	020.BD	Breast Cancer Res & Educ	504	650	650	650	650
DOH	020.PR	ProDOS Cancer	207	150	150	150	150
SED	054.01	Charter School Stimulus	4,837	-	-	-	-
SED GSPS	160.03	Lottery - Education	52,624	30,000	-	-	-
SED GSPS	160.06	VLT - Education	2,258	15,000	-	-	-
DOT	225.01	Mobility Tax Trust	22,401	279,440	331,690	333,750	333,750
MENTAL HYGIENE	304.00	Mental Health	399	-	-	91,542	-
OMH	265.00	Federal HHS	-	-	13	36	36
OMH	267.00	Fed Education	-	1	-	-	-
ENCON	301.S5	Environment Enf	5,590	261	-	-	-
ENCON	302.00	Conservation	3,853	180	-	-	-
DOT	313.01	Pub Tran Systms	12,000	12,000	12,000	12,000	12,000
DOT	313.02	Metro Mass Tran	46,959	19,100	19,100	19,100	19,100
DHCR	316.00	Housing Debt	4,051	1,000	1,000	1,000	1,000
DOH	319.00	DOH Income Fund	20,672	16,079	16,079	16,079	16,079
DOS	323.ZX	OGS Exec Direct	1,007	-	-	-	-
OSC	323.ZX	OGS Exec Direct	3,050	-	-	-	-
AG & MKTS	323.ZX	OGS Exec Direct	135	-	-	-	-
DOCCS	323.ZX	OGS Exec Direct	1,867	-	-	-	-
SED	323.ZX	OGS Exec Direct	239	-	-	-	-
DOH	323.ZX	OGS Exec Direct	1,225	-	-	-	-
DTF	323.ZX	OGS Exec Direct	2,388	-	-	-	-
OCFS	323.ZX	OGS Exec Direct	14,305	-	-	-	-
SUNY	323.ZX	OGS Exec Direct	852	-	-	-	-
DOH	334.12	Banking Service	2,548	-	-	-	-
TAX	334.12	Banking Service	67,653	57,476	65,481	65,481	65,481
OFT	334.30	Cent Tech Svcs.	-	22,000	52,000	20,000	10,000
OPWDD	339.05	OPWDD Provider	-	-	-	1,476	1,476
MENTAL HYGIENE	339.10	Mental Hygiene	-	3,600	475,112	849,190	1,164,664
MENTAL HYGIENE	339.13	M H Patient Inc	-	3,900	327,761	883,025	1,155,612
DOH	339.AW	Spinal Injury	1,575	-	-	-	-
DCJS	339.CA	Crimes Against	6,000	16,000	16,000	16,000	16,000
SED OTH	339.D9	Batavia School	810	900	900	900	900
ABC	339.DB	Alcohol Beverag	15,672	17,373	18,951	19,851	19,851
SED OTH	339.E6	Rome School	1,100	900	900	900	900
FMS	339.FM	SFS Account	36,000	48,000	55,200	55,200	55,200
DMV	339.GE	Federal-Seized	-	12	-	-	-
DOH	339.QC	Quality of Care	1,500	2,500	-	-	-
SCI	339.SR	ES Stem Cell Trust	-	-	13,673	11,373	-
DM & NA	339.U2	Recruitment Inc	-	2,087	2,087	2,087	2,087
JUDICIAR	340.AA	CFIA Undistrib	114,276	106,000	107,100	108,100	109,000
OMH	343.00	Mental Hygiene	-	48	-	-	-
SUNY	345.11	S U Inc Offset	8,318	8,318	8,318	8,318	8,318
SUNY	345.12	SUNY - University Operations	-	340,350	982,017	1,001,466	1,021,346
SUNY	345.22	SUNY - Hospital Operations	224,720	228,175	228,175	228,175	228,175
SUNY	345.22	SUNY - Disproportionate Share	60,000	80,817	87,756	87,756	87,756
SUNY	345.31	SUNY Stabilization	10,455	-	-	-	-
OMH	353.00	MH & MR Community	-	17	-	-	-
JUDICIAR	368.01	NYCC Operat Offset	8,594	8,700	8,800	8,700	8,800
ILS	390.01	Indigent Legal	40,276	40,000	40,000	40,000	40,000
CIV SVC	396.00	Health Ins Intrnl Service	7,748	7,843	7,843	7,843	7,843
CIV SVC	396.01	CS EBD Admin Reim	-	240	240	240	240
DOCCS	397.00	Corr Industries	9,500	9,500	9,500	9,500	9,500
			<b>5,855,747</b>	<b>7,085,213</b>	<b>8,680,354</b>	<b>9,592,460</b>	<b>9,853,932</b>

**FY 2012 SPECIAL REVENUE FUND BALANCE SWEEPS  
PURSUANT TO \$500 MILLION AUTHORIZATION  
(in dollars)**

<u>Dispensing Fund/Account</u>	<u>Receiving Fund/Account</u>	<u>Transfer Amount</u>
339.10	Mental Hygiene Program Fund 003 General Fund	98,514,000.00
339.J6	EPIC Premium Ac 003 General Fund	96,625,000.00
339.JB	CHCCDP Transfer 003 General Fund	24,373,000.00
339.26	Cert of Need 003 General Fund	7,417,000.00
339.CR	Reven Arrearage 003 General Fund	6,000,000.00
301.48	Waste Tire Management and Recycling 003 General Fund	5,946,000.00
339.T2	Parks and Recreation Patron Services 003 General Fund	5,402,000.00
339.GA	Adult Shelter 003 General Fund	5,000,000.00
339.AP	Administration 003 General Fund	5,000,000.00
339.30	DOL Fee Penalty 003 General Fund	4,372,000.00
339.H9	Professional Medical Conduct 003 General Fund	3,000,000.00
339.E3	Office of the Professions 003 General Fund	2,777,000.00
339.Q6	Montrose State Veterans Home 003 General Fund	2,731,000.00
354.01	MVTIFA 003 General Fund	2,700,000.00
339.42	Transportation Surplus Property 003 General Fund	2,503,000.00
339.A5	Banking Deptmnt 003 General Fund	2,413,000.00
305.01	OSHA Training and Education 003 General Fund	2,366,000.00
339.JA	Vital Records Mgmt 003 General Fund	2,252,000.00
339.ST	Systems & Tech 003 General Fund	1,833,000.00
339.G7	Accident Damage 003 General Fund	1,800,000.00
339.DO	DED Marketing 003 General Fund	1,631,000.00
301.XB	Mined Land Recl 003 General Fund	1,616,620.85
339.DE	OGS surplus property 003 General Fund	1,100,000.00
339.Q3	NYC Veterans 003 General Fund	1,074,000.00
339.J1	Local Public Health Services 003 General Fund	1,005,000.00
339.03	S P A R C S 003 General Fund	885,000.00
052.01	Local Government Records Management 003 General Fund	782,000.00
339.Q4	NYS Home-Vetera 003 General Fund	763,000.00
339.A4	Teacher Certif 003 General Fund	693,000.00
305.02	OSHA Inspection 003 General Fund	586,570.23
301.BJ	Indirect Charge 003 General Fund	523,000.00
339.88	Train Mgmt Eval 003 General Fund	488,000.00
339.BK	Ind & Util Serv 003 General Fund	441,000.00
339.Q5	WNY Vets Home 003 General Fund	432,000.00
339.CH	Procurement Rev 003 General Fund	389,000.00
050.02	Proprietary Vocational School Supervision 003 General Fund	297,000.00
339.CT	Cell Phone Towe 003 General Fund	240,000.00
339.BA	Public Work Enf 003 General Fund	230,000.00
339.95	Radio Hlth Prot 003 General Fund	216,000.00
349.01	Lk George Park 003 General Fund	197,000.00
339.81	Envir.Lab.Fee A 003 General Fund	183,000.00
301.S4	Encon Magazine 003 General Fund	131,000.00
339.CU	Spec Conserv Ac 003 General Fund	109,000.00
339.P4	Procure Op News 003 General Fund	100,000.00
339.NG	Low Income Housing 003 General Fund	100,000.00
339.J5	DHCR HCA Application 003 General Fund	100,000.00
339.A7	Econ Devel Asst 003 General Fund	92,000.00
339.70	Dog Tags Replacement 003 General Fund	88,853.58
339.PS	Patient Safety 003 General Fund	73,000.00
339.39	I Love NY Water 003 General Fund	64,000.00
339.EC	Fed Salary Shar 003 General Fund	62,000.00
355.01	Great Lakes Pro 003 General Fund	60,000.00
339.K1	Hwy Rev/Soc Sec 003 General Fund	50,000.00
301.49	Oil & Gas Accou 003 General Fund	48,000.00
339.PO	Auth Bdgt Office 003 General Fund	39,000.00
339.G3	Local Services 003 General Fund	26,000.00
050.01	Tuition Reimb 003 General Fund	23,000.00
339.HQ	Adlt Hme Qlty Enhance 003 General Fund	21,000.00
059.01	Alcohol&Subst Abuse 003 General Fund	19,000.00
339.TM	Teacher Education Accreditation 003 General Fund	14,000.00
339.B8	Fire Protection 003 General Fund	13,000.00
339.DQ	Tug Hill Admin 003 General Fund	10,000.00
339.L2	Asst Living Res 003 General Fund	9,000.00
339.FP	Funeral 003 General Fund	8,000.00
307.01	Equip loan Fund 003 General Fund	7,000.00
339.B4	Radon Detct Dev 003 General Fund	2,000.00
339.28	Retir Community 003 General Fund	2,000.00
313.06	Additional Mass Transportation Assistance 003 General Fund	637.00
	<b>Total Transfers to GF</b>	<b>298,067,681.66</b>

The above schedule identifies \$298.1 million from specific funds and accounts that were transferred pursuant to the \$500 million authorization.

**CASH COMBINING STATEMENT**  
**GENERAL FUND**  
**FY 2013**  
(millions of dollars)

	General Fund	Stabilization Reserve Fund	Tax Reserve Fund	Contingency Reserve Fund	Community Projects Fund	Rainy Day Reserve Fund	Refund Reserve	Debt Reduction Reserve Fund	Eliminations	Total
<b>Opening fund balance</b>	0	1,131	21	102	175	345	13	0	0	1,787
<b>Receipts:</b>										
Taxes	43,369	0	0	0	0	0	0	0	0	43,369
Miscellaneous receipts	3,229	0	0	0	0	0	0	0	0	3,229
Federal grants	60	0	0	0	0	0	0	0	0	60
<b>Total receipts</b>	46,658	0	0	0	0	0	0	0	0	46,658
<b>Disbursements:</b>										
Grants to local governments	39,600	0	0	45	0	0	0	0	0	39,645
State operations	7,736	0	0	0	0	0	0	0	0	7,736
General State charges	4,403	0	0	0	0	0	0	0	0	4,403
Debt service	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	51,739	0	0	45	0	0	0	0	0	51,784
<b>Other financing sources (uses):</b>										
Transfers from other funds	42,482	0	0	0	0	0	0	0	(30,240)	12,242
Transfers to other funds	(37,324)	0	0	0	0	0	0	0	30,240	(7,084)
Bond and note proceeds	0	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	5,158	0	0	0	0	0	0	0	0	5,158
<b>Change in fund balance</b>	77	0	0	(45)	0	0	0	0	0	32
<b>Closing fund balance</b>	77	1,131	21	57	175	345	13	0	0	1,819



**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2013  
(thousands of dollars)**

	019	020	023	024	025	050	052	053	054	059	061	073	160
<b>Opening Fund Balance</b>	2,173	59,860	7,613	121	57	5,365	2,063	0	4,252	1	2,807	78,726	31,115
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	3,276,067	0	0	1,146,000	486,600	0
Miscellaneous Receipts	140	(71,585)	7,500	290	65	3,375	9,500	0	0	0	4,775,351	191,167	3,172,490
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	140	(71,585)	7,500	290	65	3,375	9,500	3,276,067	0	0	5,921,351	677,767	3,172,490
<b>Disbursements:</b>													
Grants to Local Governments	0	5,007	7,000	0	0	0	4,569	3,276,067	(2,314)	0	5,541,977	683,249	3,053,000
State Operations	140	5,127	1,336	420	241	2,426	2,405	0	(495)	0	69,925	0	161,247
General State Charges	0	882	382	157	115	781	889	0	0	0	6,081	0	11,743
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	5,000	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	140	16,016	8,718	577	356	3,207	7,863	3,276,067	(2,809)	0	5,617,983	683,249	3,225,990
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	93,369	0	300	300	0	0	0	0	0	0	0	45,000
Transfers to Other Funds	0	(130)	0	(7)	0	(320)	(1,967)	0	0	0	(306,172)	0	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	93,239	0	293	300	(320)	(1,967)	0	0	0	(306,172)	0	45,000
<b>Change in Fund Balance</b>	0	5,638	(1,218)	6	9	(152)	(330)	0	2,809	0	(2,804)	(5,482)	(8,500)
<b>Closing Fund Balance</b>	2,173	65,498	6,395	127	66	5,213	1,733	0	7,061	1	3	73,244	22,615
<b>Opening Fund Balance</b>	18,768	47,798	(1,570)	(148,866)	562	0	70,795	1,106	(28,297)	87,071	13,412	4,911	4,208
<b>Receipts:</b>													
Taxes	0	1,290,000	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	22,666	190,690	98,900	49,463	2,846	0	(23,181)	3,700	81,084	52,977	55,821	47,489	8,000
Federal Grants	650	0	1,882,076	33,373,575	3,574,633	59,133	865,879	0	0	0	0	0	0
<b>Total Receipts</b>	23,316	1,480,690	1,980,976	33,423,038	3,577,479	59,133	842,698	3,700	81,084	52,977	55,821	47,489	8,000
<b>Disbursements:</b>													
Grants to Local Governments	0	1,741,575	1,876,150	28,921,417	3,053,708	55,607	555,798	0	0	0	0	0	0
State Operations	21,556	0	63,140	487,486	466,214	2,857	237,924	3,605	71,438	39,937	24,728	32,442	12,800
General State Charges	0	0	10,020	88,828	43,404	669	35,150	0	17,723	13,591	4,386	10,043	98
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	21,556	1,741,575	1,949,310	29,497,731	3,563,326	59,133	828,872	3,605	89,161	53,528	29,114	42,485	12,898
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	279,440	0	0	1	0	500	0	21,345	730	20,306	0	3,750
Transfers to Other Funds	0	0	(31,666)	(3,924,307)	(14,154)	0	(14,326)	(78)	(14,162)	(2,290)	(37,532)	(3,054)	0
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	279,440	(31,666)	(3,924,307)	(14,153)	0	(13,826)	(78)	7,183	(1,560)	(17,226)	(3,054)	3,750
<b>Change in Fund Balance</b>	1,760	18,555	0	1,000	0	0	0	17	(894)	(2,111)	9,481	1,950	(1,148)
<b>Closing Fund Balance</b>	20,528	66,353	(1,570)	(147,866)	562	0	70,795	1,123	(29,191)	84,960	22,893	6,861	3,060

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2013  
(thousands of dollars)**

	<u>307</u>	<u>313</u>	<u>314</u>	<u>318</u>	<u>321</u>	<u>332</u>	<u>333</u>	<u>338</u>	<u>339</u>	<u>340</u>	<u>341</u>	<u>345</u>	<u>346</u>
<b>Opening Fund Balance</b>	473	53,346	(16,795)	66	10,022	3,478	1,181	769	628,738	5,009	41	473,258	4,020
<b>Receipts:</b>													
Taxes	0	1,943,300	0	0	0	0	0	0	10	0	0	0	0
Miscellaneous Receipts	63	21,810	40,500	0	1,719	115	75	60	2,685,912	0	0	4,020,422	4,867
Federal Grants	0	0	0	0	0	0	0	0	89	0	0	0	0
<b>Total Receipts</b>	<u>63</u>	<u>1,965,110</u>	<u>40,500</u>	<u>0</u>	<u>1,719</u>	<u>115</u>	<u>75</u>	<u>60</u>	<u>2,686,011</u>	<u>0</u>	<u>0</u>	<u>4,020,422</u>	<u>4,867</u>
<b>Disbursements:</b>													
Grants to Local Governments	0	1,854,812	0	0	0	0	0	98	2,774,431	104,200	0	0	4,500
State Operations	79	3,513	32,086	0	950	58	75	0	4,629,710	2,100	0	4,556,225	367
General State Charges	0	1,457	11,900	0	0	0	0	0	1,533,875	600	0	376,091	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	(15)	0	0	0	0
<b>Total Disbursements</b>	<u>79</u>	<u>1,859,782</u>	<u>43,986</u>	<u>0</u>	<u>950</u>	<u>58</u>	<u>75</u>	<u>98</u>	<u>8,938,001</u>	<u>106,900</u>	<u>0</u>	<u>4,932,316</u>	<u>4,867</u>
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	31,100	0	0	0	0	0	0	10,120,897	106,000	0	1,086,554	0
Transfers to Other Funds	(7)	0	(30)	0	0	0	0	0	(3,916,673)	(374)	0	(207,455)	(200)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>(7)</u>	<u>31,100</u>	<u>(30)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>6,204,224</u>	<u>105,626</u>	<u>0</u>	<u>879,099</u>	<u>(200)</u>
<b>Change in Fund Balance</b>	<u>(23)</u>	<u>136,428</u>	<u>(3,516)</u>	<u>0</u>	<u>769</u>	<u>57</u>	<u>0</u>	<u>(38)</u>	<u>(47,766)</u>	<u>(1,274)</u>	<u>0</u>	<u>(32,795)</u>	<u>(200)</u>
<b>Closing Fund Balance</b>	<u>450</u>	<u>189,774</u>	<u>(20,311)</u>	<u>66</u>	<u>10,791</u>	<u>3,535</u>	<u>1,181</u>	<u>731</u>	<u>580,972</u>	<u>3,735</u>	<u>41</u>	<u>440,463</u>	<u>3,820</u>
<b>Opening Fund Balance</b>	<u>1,172</u>	<u>(37,653)</u>	<u>450</u>	<u>23</u>	<u>8,903</u>	<u>(2,683)</u>	<u>109</u>	<u>(7,392)</u>	<u>(22,438)</u>	<u>5,225</u>	<u>140,611</u>	<u>12</u>	<u>33,862</u>
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	1,208	119,700	160	3,709	900	3,068	117	6,665	25,000	26,000	111,085	85	73,000
Federal Grants	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<u>1,208</u>	<u>119,700</u>	<u>160</u>	<u>3,709</u>	<u>900</u>	<u>3,068</u>	<u>117</u>	<u>6,665</u>	<u>25,000</u>	<u>26,000</u>	<u>111,085</u>	<u>85</u>	<u>73,000</u>
<b>Disbursements:</b>													
Grants to Local Governments	0	4,537	0	0	852	0	61	0	0	0	0	0	74,000
State Operations	862	9,248	158	0	0	3,437	47	4,998	23,400	16,800	103,231	75	25,859
General State Charges	259	72	43	0	0	0	0	2,667	9,600	7,900	6,152	0	285
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	<u>1,121</u>	<u>13,857</u>	<u>201</u>	<u>0</u>	<u>852</u>	<u>3,437</u>	<u>108</u>	<u>7,665</u>	<u>33,000</u>	<u>24,700</u>	<u>109,383</u>	<u>75</u>	<u>100,144</u>
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	0	8,700	0	0	0	40,000
Transfers to Other Funds	(197)	(100,800)	(60)	0	0	0	(32)	0	0	0	0	(23)	(3,000)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>(197)</u>	<u>(100,800)</u>	<u>(60)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(32)</u>	<u>0</u>	<u>8,700</u>	<u>0</u>	<u>0</u>	<u>(23)</u>	<u>37,000</u>
<b>Change in Fund Balance</b>	<u>(110)</u>	<u>5,043</u>	<u>(101)</u>	<u>3,709</u>	<u>48</u>	<u>(369)</u>	<u>(23)</u>	<u>(1,000)</u>	<u>700</u>	<u>1,300</u>	<u>1,702</u>	<u>(13)</u>	<u>9,856</u>
<b>Closing Fund Balance</b>	<u>1,062</u>	<u>(32,610)</u>	<u>349</u>	<u>3,732</u>	<u>8,951</u>	<u>(3,052)</u>	<u>86</u>	<u>(8,392)</u>	<u>(21,738)</u>	<u>6,525</u>	<u>142,313</u>	<u>(1)</u>	<u>43,718</u>

**CASH COMBINING STATEMENT  
SPECIAL REVENUE FUNDS  
FY 2013  
(thousands of dollars)**

	<b>480</b>	<b>482</b>	<b>484</b>	<b>486</b>	<b>SRO</b>	<b>Sub Total</b>	<b>Eliminations</b>	<b>Financial Plan</b>
<b>Opening Fund Balance</b>	42,503	5,535	545	(2,624)	706	1,593,523	0	1,593,523
<b>Receipts:</b>								
Taxes	0	0	0	0	0	8,141,977	0	8,141,977
Miscellaneous Receipts	58,000	9,600	0	0	0	15,892,588	0	15,892,588
Federal Grants	320,401	0	8,105	218,160	0	40,302,701	0	40,302,701
<b>Total Receipts</b>	<u>378,401</u>	<u>9,600</u>	<u>8,105</u>	<u>218,160</u>	<u>0</u>	<u>64,337,266</u>	<u>0</u>	<u>64,337,266</u>
<b>Disbursements:</b>								
Grants to Local Governments	10,000	0	0	180,424	0	53,780,725	0	53,780,725
State Operations	275,572	9,736	8,105	32,286	0	11,445,876	0	11,445,876
General State Charges	92,829	834	0	5,450	0	2,294,956	0	2,294,956
Debt Service	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	4,985	0	4,985
<b>Total Disbursements</b>	<u>378,401</u>	<u>10,570</u>	<u>8,105</u>	<u>218,160</u>	<u>0</u>	<u>67,526,542</u>	<u>0</u>	<u>67,526,542</u>
<b>Other Financing Sources (Uses):</b>								
Transfers from Other Funds	0	0	0	0	0	11,858,292	(4,121,457)	7,736,835
Transfers to Other Funds	0	(6,711)	0	0	0	(8,585,727)	4,121,457	(4,464,270)
Bond & Note Proceeds	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<u>0</u>	<u>(6,711)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>3,272,565</u>	<u>0</u>	<u>3,272,565</u>
<b>Change in Fund Balance</b>	<u>0</u>	<u>(7,681)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>84,289</u>	<u>0</u>	<u>84,289</u>
<b>Closing Fund Balance</b>	<u>42,503</u>	<u>(2,146)</u>	<u>545</u>	<u>(2,624)</u>	<u>706</u>	<u>1,677,812</u>	<u>0</u>	<u>1,677,812</u>

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)

FY 2013  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
019.00-Ment Hyg Gifts	2,171	0	140	0	0	0	140	0	0	140	0	0	0	0	0	0	140	2,171
020.00-Combined Exp Tr	(35)	0	(91,697)	0	0	91,697	0	0	0	0	0	0	0	0	0	0	0	(35)
020.01-Planting Fields	1,202	0	350	0	0	0	350	0	209	47	7	0	94	0	0	0	357	1,195
020.03-Chambers Restor	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.06-Animal Disease	51	0	51	0	0	0	51	0	0	46	0	0	0	0	0	0	46	56
020.20-DOCS Gift & Don	65	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	65
020.22-Helen Hayes Hsp	68	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	68
020.23-Oxford Donation	35	0	22	0	0	0	22	0	0	73	0	0	0	0	0	0	73	(16)
020.25-Donat-St.Albans	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
020.28-CVB Gifts & Beq	54	0	5	0	0	0	5	0	0	8	0	0	0	0	0	0	8	51
020.29-DCJS - MUNY Pol	1	0	25	0	0	0	25	0	0	25	0	0	0	0	0	0	25	1
020.30-Donations-Batav	11	0	10	0	0	0	10	0	0	19	0	0	0	0	0	0	19	2
020.33-Montrose Donati	117	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	117
020.36-IBR Genetic Cou	60	0	105	0	0	0	105	0	0	105	0	0	0	0	0	0	105	60
020.3A-Tech Transfer	23	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	50	23
020.49-Spec Events	486	0	357	0	0	0	357	0	0	204	0	0	0	0	0	0	204	639
020.62-L. M. Josephthal	52	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	52
020.63-RPMI Grrt & Beq	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
020.64-S U Restrict Cur	12	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12
020.69-CBVH Vend Stand	774	0	814	0	0	0	814	0	30	448	0	0	477	0	0	0	955	633
020.76-RPMI Schoellkpf	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
020.77-DMNA Military	12	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	12
020.78-WB Hoyt Memoria	3,631	0	0	0	0	622	622	750	0	0	0	0	0	0	0	0	750	3,503
020.79-CBVH Gift & Beq	183	0	5	0	0	0	5	0	0	15	0	0	0	0	0	0	15	173
020.82-St Transm Money	17,443	0	8,000	0	0	0	8,000	0	0	930	0	0	0	0	0	0	930	24,513
020.83-Human Rights Dis	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.A7-Youth Grants &	490	0	387	0	0	0	387	0	41	370	0	0	17	0	0	0	428	449
020.AA-Alzheimers Dis	1,728	0	0	0	0	250	250	0	0	202	0	0	0	0	0	0	202	1,776
020.AB-Local Gov Comm	142	0	12	0	0	0	12	0	0	7	0	0	0	0	0	0	7	147
020.AH-Prostate/Testic	362	0	40	0	0	0	40	0	0	6	0	0	0	0	0	0	6	396
020.AR-Autism Aware &	51	0	10	0	0	0	10	0	0	20	0	0	0	0	0	0	20	41
020.AU-Emergency Serv	8,084	0	2,688	0	0	0	2,688	3,101	126	93	6	0	65	0	0	0	3,391	7,361
020.B1-Batavia-Charlot	355	0	20	0	0	0	20	0	0	23	0	0	0	0	0	0	23	352
020.B3-Rome-Gifts And	2	0	20	0	0	0	20	0	0	19	0	0	0	0	0	0	19	3
020.B4-DFY Rec & Welfr	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
020.B8-DAAA Grnts And	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
020.BD-Br Can Res & Ed	8,371	0	40	0	0	650	690	0	187	913	0	0	0	0	0	0	1,100	7,961
020.CE-Community Relat	1	0	100	0	0	0	100	0	0	0	0	0	0	0	0	0	0	101
020.D1-Disab Tech Asst	160	0	360	0	0	0	360	0	0	0	0	0	0	0	0	130	130	30
020.E1-Missing Children	917	0	0	0	0	0	0	0	239	136	6	0	123	0	0	0	504	773
020.E5-DMNA Youth Prog	35	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	35
020.EC-Erie Canal Muse	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
020.FI-Women Vet Monum	150	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	150
020.FF-Ford Foundation	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
020.GB-Grants and Bequ	118	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	11
020.GW-CCF Grts & Beqs	932	0	107	0	0	0	107	0	13	79	1	0	7	0	0	0	100	125
020.HH-OMH Grant & Beq	527	0	20	0	0	0	20	0	0	20	0	0	0	0	0	0	20	932
020.LP-Life Pass It on	8,892	0	4,000	0	0	0	4,000	0	0	0	0	0	0	0	0	0	5,000	7,892
020.MS-Misc. Gifts Acc	19	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	20	(1)
020.PM-Parole Ofcr Mem	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43
020.PR-Prostate Cancer	2,768	0	0	0	0	150	150	0	0	0	0	0	0	0	0	0	0	2,918
020.PT-Percy T Phillip	40	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	40
020.RP-Aging Grants An	(1)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(1)

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance	
020.RW-RW Johnson Foun	(5)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(5)
020.XK-Grants Account	961	0	2,000	0	0	0	2,000	1,156	117	250	3	0	99	0	0	0	1,625	1,336	
020.ZS-Grants	184	0	300	0	0	0	300	0	0	0	0	0	0	0	0	0	0	484	
020.ZZ-Donated Funds	257	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	257	
023.00-N Y Int Lawyers	7,613	0	7,500	0	0	0	7,500	7,000	704	582	50	0	382	0	0	0	8,718	6,395	
024.00-NYS Archvs Pine	122	0	290	0	0	300	590	0	292	119	9	0	157	0	0	7	584	128	
025.CP-Child Performer	58	0	65	0	0	300	365	0	223	12	6	0	115	0	0	0	356	67	
050.01-Tuition Reimb	2,638	0	375	0	0	0	375	0	0	250	48	0	781	0	0	23	273	2,740	
050.02-Prop Voc Sch Su	2,726	0	3,000	0	0	0	3,000	0	1,487	641	0	0	0	0	0	297	3,254	2,472	
052.01-Loc Govt Record	2,061	0	9,500	0	0	0	9,500	4,569	2,047	288	70	0	889	0	0	1,967	9,830	1,731	
053.00-Sch Tax Relief	(1)	3,276,067	0	0	0	0	3,276,067	3,276,067	0	0	0	0	0	0	0	0	3,276,067	(1)	
054.01-Chtr Sch Sli Ac	4,251	0	0	0	0	0	0	(2,314)	0	(495)	0	0	0	0	0	0	(2,809)	7,060	
056.01-Greenway Commun	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
056.02-Greenway Hent	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
059.01-Alcohol&Subst A	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
061.01-Tobacco Cntr &	254	0	0	0	0	0	0	0	2,100	75	0	0	735	0	0	503	3,413	(3,159)	
061.02-Health Care Siv	13,930	0	0	0	0	0	0	129,311	0	0	0	0	64	0	0	0	129,375	(115,445)	
061.03-Medicaid Fraud	95	0	0	0	0	0	0	0	57	263	3	0	40	0	0	0	363	(268)	
061.04-Medical Assist.	750	0	0	0	0	0	0	3,671,776	1,532	4,254	49	0	897	0	0	0	3,678,508	(3,677,758)	
061.05-Enhanced Com	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
061.06-LTC Ins Res Acc	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)	
061.07-HCRA Program	21,857	0	0	0	0	0	0	452,297	0	23,334	0	0	0	0	0	0	475,631	(453,774)	
061.09-HCRA Transition	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)	
061.22-EMS Training	2,539	0	0	0	0	0	0	0	2,834	13,712	96	0	1,641	0	0	667	18,950	(16,411)	
061.29-Child Health In	(85,138)	0	0	0	0	0	0	334,444	1,298	5,415	32	0	818	0	0	394	342,401	(427,539)	
061.99-HCRA Undistrib	27,925	1,146,000	4,775,351	0	0	0	5,921,351	0	0	0	0	0	0	0	0	303,537	303,537	5,645,739	
061.AF-Hospital Based	423	0	0	0	0	0	0	11,689	0	0	0	0	0	0	0	0	11,689	(11,266)	
061.AH-Ad Home Res Co	24	0	0	0	0	0	0	60	0	0	0	0	0	0	0	0	60	(36)	
061.BO-Primary Care In	271	0	0	0	0	0	0	0	493	0	16	0	274	0	0	125	908	(637)	
061.DN-Prov Coll Monit	478	0	0	0	0	0	0	0	1,411	159	67	0	826	0	0	492	2,955	(2,477)	
061.H3-Pilot Health In	477	0	0	0	0	0	0	0	764	71	34	0	411	0	0	286	1,566	(1,089)	
061.IN-Indigent Care	18,367	0	0	0	0	0	0	791,500	0	0	0	0	0	0	0	0	791,500	(773,133)	
061.J6-EPIC Premium	(473)	0	0	0	0	0	0	150,900	2,250	8,700	0	0	232	0	0	129	161,850	(162,323)	
061.LB-Health Occup De	808	0	0	0	0	0	0	0	528	57	27	0	0	0	0	0	973	(165)	
061.LC-Matern & Ch HIV	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	
061.LE-Health Care Del	221	0	0	0	0	0	0	0	268	17	9	0	143	0	0	39	476	(255)	
068.01-Dispro Sh Med	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
073.01-Transit Authori	42,094	379,725	148,394	0	0	0	528,119	530,000	0	0	0	0	0	0	0	0	530,000	40,213	
073.02-Railroad Accoun	7,433	67,550	26,158	0	0	0	93,708	93,500	0	0	0	0	0	0	0	0	93,500	7,641	
073.03-DMTF	29,197	39,325	16,615	0	0	0	55,940	59,749	0	0	0	0	0	0	0	0	59,749	25,388	
160.03-Education - New	0	0	2,187,000	0	0	30,000	2,217,000	2,217,000	0	0	0	0	0	0	0	0	2,217,000	0	
160.04-State Lottery	9,112	0	153,479	0	0	0	153,479	0	21,189	114,452	606	0	9,732	0	0	0	145,979	16,612	
160.05-VLT - Admin	22,002	0	11,011	0	0	15,000	11,011	0	3,487	21,403	110	0	2,011	0	0	0	27,011	6,002	
160.06-VLT - Education	4	0	821,000	0	0	0	836,000	836,000	0	0	0	0	0	0	0	0	836,000	4	
221.00-Comb Student Ln	18,768	0	22,666	650	0	0	23,316	0	0	21,556	0	0	0	0	0	0	21,556	20,528	
225.01-Mobility Tax Tr	16,920	1,600,000	9,600	0	0	279,440	1,449,040	1,431,575	0	0	0	0	0	0	0	0	1,431,575	34,385	
225.02-AMTA Aid Trust	30,876	130,000	181,090	0	0	0	311,090	310,000	0	0	0	0	0	0	0	0	310,000	31,966	
300.01-E F C Admin Acc	(325)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(325)	
300.02-Encon Admin Acc	1,430	0	3,700	0	0	0	3,700	0	3,596	9	0	0	0	0	0	78	3,683	1,447	
301.01-EnCon Energy Ef	22	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	22	
301.12-EnCon-Seized As	1	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	20	21	
301.48-Wst Tire Mgr/Re	6,557	0	24,000	0	0	0	24,000	0	10,150	4,054	228	0	4,726	0	0	5,946	25,104	5,453	
301.49-Oil & Gas Accou	149	0	108	0	0	0	108	0	0	98	0	0	0	0	0	48	146	111	
301.52-Marine/Coastal	95	0	13	0	0	0	13	0	0	0	0	0	0	0	0	0	0	108	

**CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)**

FY 2013  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
301.B3-Indirect Charge	4,256	0	0	0	0	10,584	10,584	0	1,562	5,869	61	0	781	0	0	523	8,796	6,044
301.F7-Hazardous Sub B	26	0	350	0	0	0	350	0	179	33	8	0	111	0	0	0	331	45
301.G6-S-Area Landfill	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20
301.H4-Utility Envir R	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
301.IC-Fed Indirect R	(1,683)	0	40	0	0	10,500	10,540	0	8,161	302	0	0	0	0	0	0	8,463	394
301.K5-Low Level Radio	(3,999)	0	3,034	0	0	0	3,034	0	1,758	133	55	0	884	0	0	330	3,160	(4,125)
301.K6-Recreation Acco	(8,536)	0	15,200	0	0	0	15,200	0	8,758	2,958	193	0	1,088	0	0	255	13,252	(6,590)
301.PS-Public Safety R	34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	34
301.R9-SEQR Review	(43)	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	(43)
301.S4-Encon Magazine	440	0	705	0	0	0	705	0	0	314	0	0	0	0	0	131	445	700
301.S5-Environment Enf	(20,951)	0	27,000	0	0	261	27,261	0	14,843	3,024	500	0	6,883	0	0	3,115	28,365	(22,055)
301.S6-Natural Resourc	(21,707)	0	4,000	0	0	0	4,000	0	1,943	397	130	0	953	0	0	400	3,823	(21,550)
301.S7-Town Of Riverhe	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
301.TV-ATV DESF	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
301.W8-JST-Trust Recov	36	0	12	0	0	0	12	0	0	0	0	0	0	0	0	0	0	48
301.XB-Mined Land Recl	(2)	0	4,210	0	0	0	4,210	0	1,877	117	61	0	849	0	0	1,700	4,604	(396)
301.ZZ-Monitors-Aggre	16,964	0	2,391	0	0	0	2,391	0	3,097	493	81	0	1,448	0	0	1,714	6,833	12,522
302.00-Conservation	35,718	0	48,042	0	0	730	48,772	0	23,867	13,022	900	0	12,930	0	0	1,740	52,459	32,031
302.02-Marine Resource	3,013	0	2,300	0	0	0	2,300	0	1,198	716	72	0	641	0	0	0	2,627	2,686
302.03-Migratory Bird	208	0	10	0	0	0	10	0	0	45	0	0	0	0	0	0	45	173
302.04-License Guide	85	0	55	0	0	0	55	0	43	6	1	0	19	0	0	0	69	71
302.06-Fish And Game T	47,459	0	2,500	0	0	0	2,500	0	0	0	0	0	0	0	0	550	550	49,409
302.07-Surf Clam/Quaho	286	0	0	0	0	0	0	0	14	29	0	0	1	0	0	0	44	242
302.08-Habitat Account	290	0	45	0	0	0	45	0	0	24	0	0	0	0	0	0	24	311
302.09-Venison Donato	13	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	38
303.01-Oil Spill - DAC	3	0	121	0	0	705	826	0	528	226	18	0	260	0	0	0	1,032	(203)
303.02-Oil Sp Relocain	4	0	0	0	0	301	301	0	211	25	6	0	107	0	0	0	349	(44)
303.03-Oil Spill - DEC	(1)	0	0	0	0	19,300	19,300	0	10,132	747	231	0	4,019	0	0	3,526	18,655	644
303.04-Oil Spill - DAC	13,407	0	42,000	0	0	0	42,000	0	0	12,604	0	0	0	0	0	20,306	32,910	22,497
303.05-License Fee Sur	(1)	0	13,700	0	0	0	13,700	0	0	0	0	0	0	0	0	13,700	13,700	(1)
305.01-OSH Trng & Educ	4,753	0	24,049	0	0	0	24,049	0	8,941	7,431	241	0	4,621	0	0	866	22,100	6,702
305.02-OSHA Inspection	157	0	23,440	0	0	0	23,440	0	10,492	5,055	282	0	5,422	0	0	2,188	23,439	158
306.01-Client Protectn	4,210	0	8,000	0	0	3,750	11,750	0	550	12,250	0	0	98	0	0	0	12,898	3,062
307.01-Equip Loan Fund	474	0	63	0	0	0	63	0	0	79	0	0	0	0	0	7	86	451
313.01-Pub Tran Svcs	(671)	83,355	410	0	0	12,000	95,765	93,099	571	373	15	0	295	0	0	0	94,353	741
313.02-Metro Mass Tran	53,908	1,859,945	21,400	0	0	19,100	1,900,445	1,761,713	2,248	246	60	0	1,162	0	0	0	1,765,429	188,924
313.03-Urban Mass Tran	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
313.06-Add Mass Trans	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
314.01-Operating Permit	(15,396)	0	8,500	0	0	0	8,500	0	3,464	2,207	205	0	1,825	0	0	0	7,701	(14,597)
314.02-Mobile Source	(1,401)	0	32,000	0	0	0	32,000	0	19,715	5,995	500	0	10,075	0	0	30	36,315	(5,716)
318.01-Housing Reserve	66	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66
321.01-Legisl Comp R&D	9,964	0	1,717	0	0	0	1,717	0	0	950	0	0	0	0	0	0	950	10,731
321.02-Demographics/Re	58	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	0	60
332.01-Brummer Award	37	0	6	0	0	0	6	0	0	6	0	0	0	0	0	0	6	37
332.02-William Vorce F	228	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	228
332.03-Rocky Pocomnic	24	0	110	0	0	0	110	0	0	51	0	0	0	0	0	0	51	83
332.04-OMR Nonexpend Tr	74	0	(1)	0	0	0	(1)	0	0	1	0	0	0	0	0	0	1	72
332.05-Rockefeller Tru	3,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,000
332.08-Helen Hayes Hos	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
332.10-Cunningham Fund	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100
333.00-Wintr Sports Ed	1,181	0	75	0	0	0	75	0	0	75	0	0	0	0	0	0	75	1,181
335.00-Nys Musical Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
338.01-Arts Capital Re	769	0	60	0	0	0	60	98	0	0	0	0	0	0	0	0	98	731
340.AA-CFIA Undistrib	5,009	0	0	0	0	106,000	106,000	104,200	2,000	100	0	0	600	0	0	374	107,274	3,735

CASH COMBINING STATEMENT BY ACCOUNT  
SPECIAL REVENUE OTHER FUNDS (Excluding Fund 339)  
FY 2013

(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
341.04-DFY-NYC Summer	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
345.09-L I Vets Home	15,643	0	41,500	0	0	0	41,500	0	25,881	15,369	0	0	0	0	0	0	41,250	15,893
345.10-S U Genl IFR	374,907	0	649,666	0	0	150	649,816	0	161,139	465,904	0	0	5,338	0	0	21,481	653,862	370,861
345.11-S U Inc Offset	(44,160)	0	(2,900)	0	0	24,898	21,998	0	0	0	0	0	0	0	0	0	0	(22,162)
345.12-Gen Rev Offset	5,660	0	1,569,939	0	0	343,250	1,913,189	0	1,436,017	359,115	0	0	0	0	0	128,264	1,923,396	(4,547)
345.22-S U Hosp Ops	1,062	0	1,739,397	0	0	589,992	2,329,389	0	1,014,907	938,600	0	0	370,753	0	0	57,710	2,381,970	(51,519)
345.31-SUNY Stabilizat	16,417	0	0	0	0	0	0	0	399	100	0	0	0	0	0	0	499	15,918
345.46-S U Hosp Sponsd	30,278	0	43,200	0	0	0	43,200	0	36,329	6,726	0	0	0	0	0	0	43,055	30,423
345.47-SUNY Tuition Re	73,452	0	(20,380)	0	0	128,264	107,884	0	37,680	58,059	0	0	0	0	0	0	95,739	85,597
345.97-Bridge Program	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
346.00-Subst Abuse Srv	4,018	0	4,867	0	0	0	4,867	4,500	0	367	0	0	0	0	0	200	5,067	3,818
349.01-LK George Park	1,172	0	1,208	0	0	0	1,208	0	592	250	20	0	259	0	0	197	1,318	1,062
354.01-MVTIFA	2,844	0	4,700	0	0	0	4,700	4,537	140	4	4	0	72	0	0	0	4,757	2,787
354.02-St Police MV En	(40,497)	0	115,000	0	0	0	115,000	0	4,000	5,100	0	0	0	0	0	100,800	109,900	(35,397)
355.01-Great Lakes Pro	448	0	160	0	0	0	160	0	85	70	3	0	43	0	0	60	261	347
359.01-Revenue Maximiz	1,577	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,577
359.02-Local Maximizat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
359.03-DOH Fed Rev Max	(1,555)	0	3,709	0	0	0	3,709	0	0	0	0	0	0	0	0	0	0	2,154
360.00-Housing Develop	8,903	0	900	0	0	0	900	852	0	0	0	0	0	0	0	0	852	8,951
362.01-DOT Comm Veh Sa	(2,683)	0	3,068	0	0	0	3,068	0	2,954	483	0	0	0	0	0	0	3,437	(3,052)
365.01-Vocatl Rehabil	111	0	117	0	0	0	117	61	0	47	0	0	0	0	0	32	140	88
366.01-Drinking Water	(96)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(96)
366.02-Drink Water DOH	(7,295)	0	6,665	0	0	0	6,665	0	4,345	503	150	0	2,667	0	0	0	7,665	(8,295)
368.01-NYCCC Operat Of	(22,439)	0	25,000	0	0	8,700	33,700	0	19,700	3,700	0	0	9,600	0	0	0	33,000	(21,739)
369.01-Jud Data Proc O	5,225	0	26,000	0	0	0	26,000	0	16,800	0	0	0	7,900	0	0	0	24,700	6,525
377.A1-CUNY Stabilizn	21,187	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21,187
377.ZX-CUNY T uitin Reim	54,057	0	38,585	0	0	0	38,585	0	37,306	141	0	0	0	0	0	0	37,447	55,195
377.ZY-CUNY Inc Reimb	65,368	0	72,500	0	0	0	72,500	0	37,983	27,801	0	0	6,152	0	0	0	71,936	65,932
385.01-LK Placid Train	12	0	85	0	0	0	85	0	0	75	0	0	0	0	0	23	98	(1)
390.01-Indigent Legal	33,861	0	73,000	0	0	40,000	113,000	74,000	569	25,269	21	0	285	0	0	3,000	103,144	43,717
482.01-UI Sp Int & Pen	5,536	0	9,600	0	0	0	9,600	0	1,614	8,079	43	0	834	0	0	6,711	17,281	(2,145)

**CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)  
FY 2013**  
(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	CSCs	Debt	Capital	Transfers To	Closing Balance
339.01-Adopt Info Regi	(1)	0	50	0	0	0	50	0	0	0	0	0	0	0	0	0	49
339.02-Article VII Int	1,191	0	500	0	0	0	500	200	0	0	0	0	0	0	0	0	1,491
339.03-S P A R C S	1,088	0	6,609	0	0	1,464	8,073	0	3,648	966	128	0	2,324	0	0	885	1,210
339.05-OPWDD Provider	158	0	0	0	0	334,097	334,097	327,908	0	0	0	0	0	0	0	6,189	158
339.07-Fire Prev/Code	0	0	14,810	0	0	0	14,810	0	0	0	0	0	0	0	0	14,810	0
339.08-NYS Twp Police	(2,303)	0	55,263	0	0	0	55,263	0	32,601	0	0	0	16,862	0	0	0	3,497
339.09-DMV Seiz Assets	271	0	50	0	0	0	50	0	0	178	0	0	0	0	0	0	143
339.10-Mental Hygiene	158	0	0	0	0	3,048,347	3,048,347	1,095,829	925,620	258,013	25,890	0	472,057	0	0	241,623	29,473
339.11-Ins Genl Opems	(22)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(22)
339.13-M H Patient Inc	4,112	0	0	0	0	3,025,351	3,025,351	261,951	1,358,749	340,804	37,748	0	714,520	0	0	293,885	21,806
339.15-Fin Cntrl Board	(757)	0	3,455	0	0	0	3,455	0	1,634	841	44	0	850	0	0	0	(671)
339.16-Reg of Racing	(5,806)	0	9,400	0	0	0	9,400	0	4,361	4,528	200	0	500	0	0	0	(5,995)
339.17-Tri St Reg Plan	(7,962)	0	0	0	0	13,810	13,810	0	4,207	7,338	154	0	2,110	0	0	0	(7,961)
339.18-S U Constr Fund	1,253	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,253
339.1B-SBCI Account	1	0	0	0	0	0	0	18,266	0	0	0	0	0	0	0	0	(18,265)
339.20-Quality Care	3,667	0	5,700	0	0	97,863	103,563	7,288	65,549	33,923	0	0	0	0	0	0	470
339.21-Nurses Aide Reg	1,252	0	4,327	0	0	0	4,327	0	528	3,478	17	0	304	0	0	0	1,252
339.22-Emerg Med Svcs	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.23-Seized Assets	604	0	50	0	0	0	50	0	0	238	0	0	0	0	0	0	416
339.24-Child Care & Pr	309	0	70	0	0	0	70	100	0	0	0	0	0	0	0	0	279
339.25-Cyber Sec Upgr	1,694	0	1,500	0	0	0	1,500	0	0	1,548	0	0	0	0	0	0	1,646
339.26-Cert of Need	9,919	0	6,516	0	0	0	6,516	0	2,653	987	89	0	1,619	0	0	1,086	10,001
339.27-Lobbying Enforc	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
339.28-Retr Community	647	0	131	0	0	0	131	0	25	2	1	0	14	0	0	2	734
339.29-Child Hlth Ins	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.2C-OHRD St Match	4,193	0	1,352	0	0	0	1,352	0	0	3,398	0	0	0	0	0	0	2,147
339.30-DOL Fee Penalty	5,814	0	21,950	0	0	0	21,950	0	4,924	764	132	0	2,545	0	0	8,672	10,727
339.31-Educ Museum	252	0	900	0	0	0	900	0	568	256	22	0	218	0	0	140	(52)
339.32-Ns Hm Reivship	2,811	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	2,836
339.35-3rd Party Hlth	448	0	1,250	0	0	0	1,250	0	1,251	0	0	0	0	0	0	0	447
339.36-Boating Noise L	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.37-I Love NY Water	70	0	921	0	0	0	921	0	0	0	0	0	0	0	0	0	991
339.38-Summer Sch Arts	25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25
339.39-I Love NY Water	301	0	245	0	0	0	245	0	(12)	25	2	0	8	0	0	64	459
339.41-Snowmobile	3,760	0	11,400	0	0	0	11,400	4,850	103	355	9	0	62	0	0	0	9,781
339.42-T Surplus Prop	134	0	2,200	0	0	0	2,200	0	0	344	0	0	0	0	0	803	1,187
339.44-Hosp & Nurs Mgt	(2,624)	0	19,197	0	0	0	19,197	0	15,166	786	190	0	2,513	0	0	376	(2,458)
339.45-Watershed Prtnr	(4)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(4)
339.46-World Univ Game	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.47-S U Dorm Reimb	(4)	0	0	0	0	266,523	266,523	0	117,546	143,388	0	0	793	0	0	2,900	1,892
339.48-ODTA Train Cont	858	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,010	(152)
339.49-ODTA State Matc	238	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	238
339.50-ODTA Trng Mgmt	499	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65	434
339.51-Methadone Regis	1	0	242	0	0	0	242	0	0	0	0	0	0	0	0	242	1
339.60-Energy Research	(1,561)	0	16,158	0	0	0	16,158	9,234	3,432	1,260	594	0	1,638	0	0	0	(1,561)
339.61-Radiology	1,676	0	6,000	0	0	0	6,000	3,000	1,000	557	35	0	438	0	0	1,350	1,296
339.62-Crim Jus Improv	12,911	0	53,000	0	0	0	53,000	27,400	3,439	611	93	0	1,777	0	0	26,852	5,739
339.65-Farm Prod Insp-	237	0	1,750	0	0	0	1,750	0	1,311	170	35	0	482	0	0	0	(11)
339.68-Fingerprint ID Tec	6,376	0	12,850	0	0	0	12,850	0	0	18,500	0	0	0	0	0	0	726
339.72-NY Fire Academy	175	0	468	0	0	0	468	0	274	469	1	0	6	0	0	247	(354)
339.77-Tran Fees Perms	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)



CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Closing Balance
339.79-OPDV Training	57	0	7	0	0	0	7	0	0	1	0	0	0	0	0	0	63
339.81-Envir.Lab.Fee A	131	0	3,700	0	0	0	3,700	0	1,999	506	61	0	1,122	0	0	183	(40)
339.85-Ins St L Adm	646	0	107,670	0	0	0	107,670	32,000	30,166	36,069	2,745	0	15,131	0	0	0	(7,795)
339.86-Health Services	31	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	31
339.88-Train Mgmt Eval	772	0	2,634	0	0	0	2,634	0	1,584	210	134	0	937	0	0	488	53
339.90-Clin Lab Refrmc	(20,498)	0	18,059	0	0	0	18,059	0	8,048	2,562	267	0	4,891	0	0	0	(18,207)
339.91-MWBD Certificat	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.93-Pub Emp Rel Brd	573	0	86	0	0	0	86	0	352	39	0	0	0	0	0	0	268
339.94-WIC CVL Monetry	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.95-Radio Hlth Prot	3,557	0	3,955	0	0	0	3,955	0	2,101	230	73	0	1,335	0	0	216	3,557
339.99-Cons Food Indlus	2,351	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,351
339.A2-MMIA	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.A3-Educatain Library	123	0	65	0	0	0	65	0	0	68	0	0	0	0	0	0	120
339.A4-Teacher Certif	3,046	0	6,800	0	0	0	6,800	0	3,167	791	100	0	1,544	0	0	1,501	2,743
339.A5-Banking Deptmnt	16,013	0	83,578	0	0	0	83,578	0	43,544	13,769	1,353	0	21,684	0	0	2,413	16,828
339.A6-Cable TV Accont	10,756	0	3,475	0	0	0	3,475	0	1,724	102	46	0	891	0	0	0	11,468
339.A7-Econ Devel Asst	298	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	298
339.A9-Fin Svcs Seized	212	0	50	0	0	0	50	0	0	50	0	0	0	0	0	0	212
339.AC-Non-Ivd Wage Wl	(58)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(58)
339.AD-ODD Earned Revn	283	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	283
339.AE-Motorcycle Stfy	3,365	0	2,000	0	0	0	2,000	0	85	1,508	1	0	36	0	0	6	3,729
339.AF-Hosp Grants	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.AG-Business Licens	7,681	0	73,500	0	0	0	73,500	539	16,803	10,589	460	0	8,031	0	(15)	38,160	6,614
339.AH-Indir Cost Reco	64	0	0	0	0	21,539	21,539	0	9,004	3,850	0	0	5,170	0	0	0	3,579
339.AI-High School Equ	969	0	225	0	0	0	225	0	0	225	0	0	0	0	0	0	969
339.AJ-Article X Inter	1	0	0	0	0	0	0	300	0	0	0	0	0	0	0	0	(299)
339.AK-Ins Voucher Pro	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.AL-OTDA Program	664	0	0	0	0	0	0	0	0	200	0	0	0	0	0	0	464
339.AM-Hlth Care Advls	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.AN-Disas Prep Conf	24	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	24
339.AP-Administration	10,661	0	10,188	0	0	2,635	12,823	0	7,087	641	252	0	4,554	0	0	0	10,950
339.AQ-Rail Safety Ins	1,142	0	669	0	0	0	669	0	432	45	12	0	223	0	0	0	1,099
339.AR-Fedl Admin Reim	1	0	130	0	0	992	1,122	0	0	0	0	0	0	0	0	0	1,123
339.AS-Quality Assuran	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.AU-Abandoned Prop	0	0	16,335	0	0	0	16,335	0	7,500	4,516	56	0	428	0	0	0	3,835
339.AV-Seized Assets	12	0	2	0	0	0	2	0	0	0	0	0	0	0	0	0	14
339.AW-Spinal Injury	4,301	0	0	0	0	0	0	0	244	1,642	8	0	143	0	0	0	2,264
339.AX-Child Supp Rev	2,410	0	0	0	0	0	0	0	0	0	0	0	0	0	0	75	2,335
339.AY-Mult Agen Train	11,933	0	0	0	0	32,000	32,000	0	1,672	22,467	66	0	1,036	0	0	0	18,692
339.AZ-Dept Law-Seized	398	0	2,300	0	0	0	2,300	0	300	2,236	8	0	156	0	0	0	(2)
339.B2-DMNA-Seiz Asset	921	0	200	0	0	0	200	0	0	325	0	0	0	0	0	0	796
339.B3-Critical Infras	1,021	0	1,998	0	0	0	1,998	0	330	1,161	13	0	196	0	0	0	1,319
339.B4-Radon Detct Dev	304	0	13	0	0	0	13	0	0	11	0	0	0	0	0	2	304
339.B6-Insurance Dept	182,258	1	407,503	0	0	0	407,504	216,102	90,303	49,239	3,163	0	45,246	0	0	0	185,709
339.B7-Workers Comp Bd	36,120	0	197,963	0	0	0	197,963	0	87,805	60,820	2,354	0	45,231	0	0	0	37,873
339.B8-Fire Protection	79	0	25	0	0	0	25	0	0	0	0	0	0	0	0	13	91
339.B9-Conf Fee Acct	6	0	5	0	0	0	5	0	0	31	0	0	0	0	0	0	(20)
339.BA-Public Work Enf	884	0	6,148	0	0	0	6,148	0	1,477	318	40	0	763	0	0	2,930	1,504
339.BB-Asset Forfeitur	486	0	550	0	0	0	550	0	0	550	0	0	0	0	0	0	486
339.BE-VESID SS	774	0	2,500	0	0	0	2,500	1,626	205	0	6	0	112	0	0	41	1,284
339.BI-Trn Mlis Regist	58	0	6	0	0	0	6	0	0	25	0	0	0	0	0	0	39

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	CSCs	Debt	Capital	Transfers To	Closing Balance
339.BJ-Bell Jar Collec	1	0	2,100	0	0	0	2,100	0	649	348	20	0	358	0	0	202	524
339.BK-Ind & Util Serv	1,731	0	3,075	0	0	0	3,075	0	1,535	0	74	0	837	0	0	441	1,919
339.BO-Primary Care In	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.BU-Land Utilizatio	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.BW-Asbestos Trning	(121)	0	330	0	0	0	330	0	338	17	4	0	75	0	0	0	(225)
339.BZ-IMP R P Trx Adm	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3
339.C2-Jones Bch Theat	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.C3-Public Service	40,430	0	73,887	0	0	0	73,887	0	38,783	7,159	1,075	0	20,044	0	0	0	47,256
339.C4-Atty Licensing	3,230	0	37,000	0	0	0	37,000	0	18,600	7,600	0	0	6,300	0	0	3,750	3,980
339.C9-DSS Prov Recovs	188	0	3,700	0	0	0	3,700	0	3,700	0	0	0	0	0	0	0	188
339.CA-Crimes Against	1,716	0	0	0	0	16,000	16,000	15,000	0	0	0	0	0	0	0	0	2,716
339.CB-FS Reinvestment	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.CD-Daycare Earned	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.CE-Camp Smith Bill	46	0	197	0	0	0	197	0	131	9	4	0	47	0	0	0	52
339.CF-Cigarette Fire	0	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	0
339.CG-Tech & Scientif	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.CL-Comm Feed Lic	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.CM-Reg Manu Hsg	262	0	200	0	0	0	200	0	0	0	0	0	0	0	0	100	362
339.CO-College Savings	2,089	0	813	0	0	0	813	0	198	985	18	0	99	0	0	0	1,602
339.CQ-Discover Queens	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10
339.CR-Reven Arrearage	18,005	0	25,000	0	0	0	25,000	0	2,106	2,211	54	0	1,000	0	0	24,380	13,254
339.CS-Provider Assess	8,745	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,745
339.CT-Cell Phone Towe	106	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	106
339.CU-Spec Conserv Ac	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28
339.CV-Central Registry	370	0	5,017	0	0	0	5,017	0	101	0	4	0	42	0	0	4,822	418
339.CZ-Plant Industry	288	0	322	0	0	0	322	0	352	0	9	0	182	0	0	0	67
339.D1-Food Stp Rec Fr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.D4-Food Stp Rec Fr	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.D9-Batavia School	(5,699)	0	9,600	0	0	900	10,500	0	5,581	655	152	0	2,885	0	0	0	(4,472)
339.DB-Alcohol Beverag	2,974	0	0	0	0	17,373	17,373	0	8,185	5,224	220	0	4,230	0	0	0	2,488
339.DC-Investment Serv	91	0	3,406	0	0	0	3,406	0	2,025	665	62	0	1,136	0	0	541	(932)
339.DD-Drive out Diabe	54	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	60
339.DF-Keep Kids Drug	10	0	9	0	0	0	9	0	0	0	0	0	0	0	0	0	19
339.DH-OMRDD Day Svcs	(2,178)	0	40,000	0	0	0	40,000	0	0	0	0	0	0	0	0	40,000	(2,178)
339.DI-OSDC Finan Over	(1,028)	0	4,923	0	0	0	4,923	0	2,679	174	79	0	1,373	0	0	0	(410)
339.DK-Senate Recyclab	467	0	20	0	0	0	20	0	0	0	0	0	0	0	0	0	487
339.DL-Medicard Fraud	14,041	0	13,085	0	0	0	13,085	0	5,970	2,456	162	0	3,104	0	0	0	15,434
339.DM-EAD Metallurgi	1	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	7
339.DN-Fines Penalties	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.DO-DED Marketing A	4,512	0	1,944	0	0	0	1,944	0	63	1,710	2	0	28	0	0	131	4,522
339.DQ-Tug Hill Admin	64	0	38	0	0	0	38	0	29	3	0	0	0	0	0	10	60
339.DS-Settlement Enrf	464	0	900	0	0	0	900	850	0	50	0	0	0	0	0	0	464
339.DT-Indian Gaming	(96,504)	0	7,742	0	0	0	7,742	0	12,898	1,456	429	0	6,616	0	0	0	(110,161)
339.DX-NYS FLEX Spend	1	0	300	0	0	0	300	0	0	300	0	0	0	0	0	0	1
339.DZ-Interest Assess	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.E1-Crime Victims B	13	0	54	0	0	0	54	0	0	54	0	0	0	0	0	0	13
339.E2-Conference&Sign	107	0	35	0	0	0	35	0	0	35	0	0	0	0	0	0	107
339.E3-Ofc of Professi	11,745	0	48,272	0	0	0	48,272	0	18,414	8,911	495	0	9,516	0	0	5,999	16,682
339.E4-Educ Assessment	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
339.E5-Armory Rental A	1,113	0	1,825	0	0	0	1,825	0	650	973	27	0	203	0	0	0	1,085
339.E6-Rome School	(699)	0	9,600	0	0	900	10,500	0	4,436	590	119	0	2,293	0	0	0	2,363

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	CSCs	Debt	Capital	Transfers To	Closing Balance
339.E7-Unit Commrc Cld	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.E8-Seized Assets	(11,357)	0	8,725	0	0	43	8,768	0	0	8,725	0	0	0	0	0	0	(11,314)
339.E9-Traf Adjudicath	(3,232)	0	41,500	0	0	0	41,500	0	20,661	9,164	451	0	10,086	0	0	0	(2,094)
339.EA-Bus & Licens Srv	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.EB-Anitrustr Enlor	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.EC-Fed Salary Shar	3,653	0	0	0	0	2,700	2,700	390	2,297	148	72	0	1,187	0	0	62	2,197
339.ED-Cook/Chill Acco	249	0	2,100	0	0	0	2,100	0	0	2,100	0	0	0	0	0	0	249
339.EE-Map Revenue	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.EF-TAP Sys Redesgn	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.EG-Client Notices	63	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	63
339.EJ-Credential Svcs	82	0	948	0	0	0	948	0	0	0	0	0	0	0	0	935	95
339.EK-Seized Assets	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	43	0
339.EM-NYC Assessment	29,928	0	80,077	0	0	0	80,077	0	36,881	24,553	1,420	0	19,192	0	0	0	27,959
339.EN-Cultural Educat	(11,917)	0	28,750	0	0	0	28,750	0	11,888	5,609	320	0	6,144	0	0	2,081	(9,209)
339.EP-Distance Learn	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.ER-Exam & Misc Rev	2,421	0	3,150	0	0	0	3,150	0	350	800	11	0	168	0	0	1,566	2,676
339.ES-Eating Disorder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.FI-Trans Regul Acc	13,481	0	4,800	0	0	0	4,800	0	2,131	350	57	0	1,101	0	0	0	14,642
339.F2-Cons Prot Acct	1,220	0	91	0	0	0	91	0	236	95	8	0	106	0	0	0	866
339.F6-Lc On Solid Was	(1)	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0
339.F9-OER NASDER	117	0	24	0	0	0	24	0	0	31	0	0	0	0	0	0	110
339.FA-Fin Aid Audit	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.FC-Fostr Care Savi	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.FH-8th Air Force H	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.FL-Fed Liability	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50	0
339.FM-FMS Account	5,829	0	0	0	0	48,000	48,000	0	10,814	41,787	0	0	0	0	0	0	1,228
339.FP-Funeral	1,024	0	415	0	0	0	415	0	239	20	8	0	135	0	0	8	1,029
339.FS-FSHRP	(1)	0	0	0	0	175,000	175,000	175,000	0	0	0	0	0	0	0	0	(1)
339.G1-Educ Archives	139	0	15	0	0	0	15	0	0	75	0	0	0	0	0	0	79
339.G3-Local Services	509	0	1,100	0	0	0	1,100	0	574	0	32	0	357	0	0	26	620
339.G7-DOT-Accident Da	8,317	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,911	2,406
339.GA-Adult Shelter	2,160	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,160
339.GB-QAAA Eamed Rev	391	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	391
339.GC-Family Pres Svc	1,559	0	2	0	0	2	2	0	0	0	0	0	0	0	0	0	1,561
339.GD-Electronic Bene	4,399	0	0	0	0	0	0	4,000	0	0	0	0	0	0	0	0	399
339.GE-Federal-Seized	29	0	0	0	0	12	12	0	0	90	0	0	0	0	0	0	(49)
339.GL-Greenwood Lake	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.H2-DHCR Mortgage S	(1,514)	0	7,960	0	0	0	7,960	0	3,896	163	112	0	1,903	0	0	0	372
339.H3-Pilot Health In	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.H5-Triple Prescr F	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.H6-OMH-Research OH	69	0	2,848	0	0	0	2,848	0	102	2,746	0	0	0	0	0	0	69
339.H7-DMV-Compulsory	1,423	0	27,600	0	0	0	27,600	0	9,416	1,512	184	0	4,724	0	0	15,368	(2,181)
339.H9-Prof Medic Contd	7,106	0	25,201	0	0	0	25,201	0	12,331	5,925	448	0	6,147	0	0	0	7,556
339.HC-Hway Const & Ma	1,178	0	260	0	0	0	260	0	0	128	0	0	0	0	0	0	1,310
339.HI-Housing Indirec	(512)	0	3,050	0	0	0	3,050	0	2,086	302	62	0	1,019	0	0	0	(931)
339.HQ-Adlt Hme Qlty E	731	0	657	0	0	0	657	0	0	293	0	0	0	0	0	21	1,074
339.HR-Homeless Hsg	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.IA-COCOT	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.IC-Accid Prevent C	814	0	1,000	0	0	0	1,000	0	151	398	4	0	78	0	0	606	577
339.IG-Szfd Assets	98	0	85	0	0	0	85	0	0	87	0	0	0	0	0	0	96
339.IIM-Leg Svcs Assist	4,908	0	13,600	0	0	0	13,600	12,300	0	0	0	0	0	0	0	0	6,208

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	CSCs	Debt	Capital	Transfers To	Closing Balance
339.J1-Loc Pub Hlth	2,576	0	950	0	0	0	950	0	118	24	12	0	65	0	0	5	3,302
339.J2-Local Dist Trai	374	0	177	0	0	0	177	0	0	231	0	0	0	0	0	0	320
339.J4-Voting Mach Exa	298	0	0	0	0	0	0	0	0	165	0	0	0	0	0	0	133
339.J5-DHCR HCA Applic	1,150	0	2,395	0	0	0	2,395	0	1,183	320	36	0	578	0	0	0	1,428
339.J6-EPIC Premium Ac	54,589	0	0	0	0	0	0	0	0	0	0	0	0	0	0	52,000	2,589
339.J7-Drug Enforce Ta	88	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	88
339.JA-Vital Rec Mgmt	2,695	0	4,257	0	0	0	4,257	0	1,181	101	37	0	680	0	0	2,252	2,701
339.JB-CHCCDP Transfer	35	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	35
339.JD-Problem Solv Cou	65	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	65
339.JE-Tobacco Enforce	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17
339.K1-Hwy Rev/Soc Sec	1,296	0	267	0	0	0	267	0	0	186	0	0	0	0	0	50	1,327
339.K2-Equip Repair	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.K3-Catastrophic HI	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.KA-Primary Hlth Cr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.KB-Conference & Sp	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L2-Asst Living Res	196	9	95	0	0	0	104	95	0	0	0	0	0	0	0	9	196
339.L4-OCFS Program	2,175	0	9	0	0	0	9	0	85	582	0	0	0	0	0	0	1,517
339.L5-Adult Cyst Fibr	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.L7-OTDA Income Acc	1,353	0	66,214	0	0	0	66,214	0	19,964	32,527	538	0	10,380	0	0	1,348	5
339.L8-DOS Licensing	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.LB-Health Occup De	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LC-Matern Child Hiv	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.LF-Disabil Determs	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.LG-OMRDD-Jt Clinic	37	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	37
339.LH-Special Medical	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.LI-Litigation Sett	21,498	0	66,214	0	0	0	66,214	0	19,964	32,527	538	0	10,380	0	0	0	24,303
339.LJ-Animal Populati	187	0	1,050	0	0	0	1,050	0	0	1,000	0	0	0	0	0	0	237
339.LL-Love Your Libra	38	0	6	0	0	0	6	0	0	0	0	0	0	0	0	0	44
339.LW-Local Wireless	103	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	103
339.LZ-Pub Safe Commun	53,995	0	115,388	0	0	0	115,388	57,634	8,007	54,800	0	0	0	0	0	20,000	28,942
339.MC-Cuba Lake Mgmt	178	0	200	0	0	0	200	0	0	191	0	0	0	0	0	0	187
339.MH-St Justice Inst	69	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	69
339.MR-Med Reimb Acct	558	0	1,500	0	0	0	1,500	1,500	0	0	0	0	0	0	0	0	558
339.NG-Low Inc Housing	2,029	0	2,758	0	0	0	2,758	0	1,070	(40)	32	0	522	0	0	0	3,203
339.NH-Provider 900	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.NY-New York Alert	91	0	3,084	0	0	0	3,084	0	0	2,100	0	0	0	0	0	0	1,075
339.P4-Procure Op News	980	0	275	0	0	0	275	0	40	135	0	0	0	0	0	100	980
339.P5-CVB Restitution	921	0	577	0	0	0	577	0	427	150	0	0	0	0	0	0	921
339.P6-EFC Corp Admin	(41)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(41)
339.PC-Food Prod Ctr	949	0	1,000	0	0	0	1,000	0	0	900	0	0	0	0	0	0	1,049
339.PD-Pet Dealer	28	0	32	0	0	0	32	0	0	0	0	0	0	0	0	0	60
339.PO-Auth Bdgt Office	22	0	1,989	0	0	1,826	3,815	0	959	250	28	0	502	0	0	39	2,059
339.PS-Patient Safety	174	0	477	0	0	0	477	0	0	403	0	0	0	0	0	73	175
339.Q2-Helen Hayes Hos	2,023	0	115	0	0	52,381	52,496	0	33,399	19,323	133	0	0	0	0	0	1,664
339.Q3-NYC Veterans	2,689	0	350	0	0	32,307	32,657	0	14,546	10,983	63	0	6,872	0	0	0	2,882
339.Q4-NYS Home-Vetera	4,225	0	120	0	0	21,871	21,991	0	14,624	7,169	79	0	0	0	0	0	4,344
339.Q5-WNYV Vets Home	1,978	0	55	0	0	11,447	11,502	0	8,232	3,142	81	0	0	0	0	0	2,025
339.Q6-Montrose S V H	2,956	0	30	0	0	21,832	21,862	0	15,291	6,509	111	0	0	0	0	0	2,907
339.Q9-DOH Hospital Ho	1,397	0	107,841	0	0	107,841	107,841	0	0	0	0	0	0	0	0	107,531	1,707
339.QA-Spec Energy Adm	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.QC-Quality of Care	4,009	0	1,342	0	0	2,500	3,842	0	0	1,609	0	0	0	0	0	0	6,242

CASH COMBINING STATEMENT BY ACCOUNT  
MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	CSCs	Debt	Capital	Transfers To	Closing Balance
339.QE-C & F Qual Enhia	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14
339.R4-Motor Fuel Qual	610	0	2,800	0	0	0	2,800	0	925	1,305	25	0	478	0	0	200	477
339.R5-Weights Measure	424	0	350	0	0	0	350	0	119	32	4	0	61	0	0	30	528
339.R7-Defer Comp Adm	(149)	0	820	0	0	0	820	0	363	178	11	0	191	0	0	0	(72)
339.R9-Hazard Abatement	64	0	200	0	0	0	200	150	0	0	0	0	0	0	0	0	114
339.RD-Education Stats	159	0	0	89	0	0	89	0	0	38	0	0	0	0	0	0	210
339.RF-Real Estate Fin	4,597	0	1,000	0	0	0	1,000	0	749	1,028	19	0	390	0	0	0	3,411
339.RR-NYC Rent Rev	3,082	0	38,844	0	0	0	38,844	0	22,668	3,228	703	0	12,205	0	0	0	3,122
339.SI-Medicaid Income	(882)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(882)
339.S8-Rent Revenue	(498)	0	850	0	0	0	850	0	508	0	16	0	274	0	0	0	(446)
339.S9-Airport Securit	158	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	158
339.SA-CSFP Salvage Ac	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13
339.SR-ES Stem Cell Tr	6,519	0	0	0	0	43,500	43,500	0	0	43,500	0	0	0	0	0	0	6,519
339.SS-DOT Sign Shop	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.ST-Systems & Tech	3,128	0	7,300	0	0	0	7,300	0	2,678	2,092	83	0	1,297	0	0	833	3,445
339.T2-OPR Patron Serv	8,993	0	59,520	0	0	0	59,520	0	27,709	28,675	0	0	3,521	0	0	903	7,705
339.T5-Trains Aviatn	2,677	0	3,660	0	0	0	3,660	0	117	3,466	3	0	60	0	0	0	2,691
339.TM-Teacher Ed Accr	86	0	86	0	0	0	86	0	0	37	0	0	0	0	0	21	114
339.TN-Training Academ	53	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	53
339.TR-Tax Rev Arrear	(2,138)	0	2,700	0	0	0	2,700	0	0	1,795	0	0	0	0	0	0	(1,233)
339.TS-TSCR Account	594	0	129,277	0	0	0	129,277	32,319	0	0	0	0	0	0	0	104,011	(6,459)
339.TW-Statewide Gamin	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.U2-Recruitment Inc	2,140	0	39	0	0	2,087	2,126	0	0	1,186	0	0	0	0	0	0	3,080
339.UR-ULTVI RADIA DEV	110	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	110
339.US-Undgrnd Sfty T	196	0	110	0	0	0	110	0	0	0	0	0	0	0	0	175	131
339.VF-Vol Fire Rec&Re	280	0	200	0	0	0	200	100	0	0	0	0	0	0	0	0	380
339.VM-HAVA Match	1,622	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,622
339.VR-VRSS	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
339.W4-Occ Hlth Clinic	6,824	0	9,000	0	0	0	9,000	0	434	7,732	50	0	75	0	0	0	7,533
339.W6-Crim Back Check	376	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	376
339.WE-Medicaid Train	0	0	(1,000)	0	0	0	(1,000)	0	0	0	0	0	0	0	0	0	(1,000)
339.WK-SR-Connections	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
339.WR-NYS Water Rescu	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.WW-OWIG Adm Reimb	3,317	0	24	0	0	1,500	1,524	0	408	458	27	0	221	0	0	0	3,727
339.WZ-Durable Medical	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.XE-Wine Industry	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5
339.XX-A&M-Aggregated	3,889	0	15,044	0	0	0	15,044	0	748	14,825	23	0	387	0	0	139	2,811
339.Y7-Assembly Recyc	625	0	40	0	0	0	40	0	0	0	0	0	0	0	0	0	665
339.Y8-Admin Cost Rec	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9
339.YD-Sales Tax Re Fe	264	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	264
339.YF-Yth Fac PerDiem	1	0	152,416	0	0	0	152,416	0	0	0	0	0	0	0	0	152,416	1
339.YL-OGS Bldg Admin	2,087	0	8,890	0	0	0	8,890	0	2,957	3,036	80	0	1,528	0	0	1,000	2,376
339.YN-OGS Std & Purch	2,912	0	5,636	0	0	0	5,636	0	721	851	19	0	373	0	0	3,000	3,584
339.YP-Equitable Shari	2,461	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,461
339.YV-Provider Assess	1	0	793,500	0	0	0	793,500	793,500	0	0	0	0	0	0	0	0	1
339.YX-HEP	109	0	0	0	0	0	0	0	0	300	0	0	0	0	0	0	(191)
339.Z1-Fed Indirect Re	142	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	142
339.Z3-MHPIA OMR NPS	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
339.Z6-Human Rights Ac	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
339.ZM-License Plate	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.ZF-Milk Producers	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)

CASH COMBINING STATEMENT BY ACCOUNT  
 MISCELLANEOUS SPECIAL REVENUE FUND (339)

FY 2013

(thousands of dollars)

Account Code-Name	Opening Balance	Taxes	Miscellaneous Receipts	Federal Grants	Bond & Note Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	CSCs	Debt	Capital	Transfers To	Closing Balance
339.ZT-FHPEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
339.ZV-S T A Research	(19)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(19)
339.ZW-DOCS Asset Forf	188	0	14	0	0	0	14	0	0	14	0	0	0	0	0	0	188

**CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2013  
(thousands of dollars)**

	<u>002</u>	<u>072</u>	<u>074</u>	<u>075</u>	<u>076</u>	<u>077</u>	<u>078</u>	<u>079</u>	<u>080</u>	<u>101</u>	<u>105</u>	<u>109</u>	<u>115</u>
<b>Opening Fund Balance</b>	1,415	(17,627)	130,310	3,410	(5,424)	14	38,823	0	88	164	713	3,391	1,310
<b>Receipts:</b>													
Taxes	0	1,281,900	0	0	0	0	119,100	0	0	0	0	0	0
Miscellaneous Receipts	1,799,785	1,464,505	0	1,800	86,542	0	14,400	0	0	0	0	0	0
Federal Grants	0	5,359	0	0	0	0	0	0	0	0	0	0	0
<b>Total Receipts</b>	<b>1,799,785</b>	<b>2,751,764</b>	<b>0</b>	<b>1,800</b>	<b>86,542</b>	<b>0</b>	<b>133,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Disbursements:</b>													
Grants to Local Governments	977,754	66,736	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,939,729	2,075,210	46,600	1,800	85,791	0	158,300	0	0	0	0	0	0
<b>Total Disbursements</b>	<b>2,917,483</b>	<b>2,141,946</b>	<b>46,600</b>	<b>1,800</b>	<b>85,791</b>	<b>0</b>	<b>158,300</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	1,141,734	828,254	46,600	0	0	0	0	343	0	0	0	0	0
Transfers to Other Funds	(17,436)	(1,438,073)	0	0	(751)	0	0	0	0	(25)	(600)	(100)	(1,500)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	25	600	100	1,500
<b>Net Other Financing Sources (Uses)</b>	<b>1,124,298</b>	<b>(609,819)</b>	<b>46,600</b>	<b>0</b>	<b>(751)</b>	<b>0</b>	<b>0</b>	<b>343</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Change in Fund Balance</b>	<b>6,600</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(24,800)</b>	<b>343</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Closing Fund Balance</b>	<b>8,015</b>	<b>(17,628)</b>	<b>130,310</b>	<b>3,410</b>	<b>(5,424)</b>	<b>14</b>	<b>14,023</b>	<b>343</b>	<b>88</b>	<b>164</b>	<b>713</b>	<b>3,391</b>	<b>1,310</b>
<b>Opening Fund Balance</b>	<b>101,080</b>	<b>4,257</b>	<b>7,942</b>	<b>2,837</b>	<b>7,555</b>	<b>(136,867)</b>	<b>894</b>	<b>(186,179)</b>	<b>505</b>	<b>(4,113)</b>	<b>0</b>	<b>(20,396)</b>	<b>(121,426)</b>
<b>Receipts:</b>													
Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0
Miscellaneous Receipts	0	0	0	0	0	0	10	112,250	0	19,000	0	0	120,060
Federal Grants	0	0	0	0	0	0	2,185,149	0	0	0	0	0	0
<b>Total Receipts</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10</b>	<b>112,250</b>	<b>0</b>	<b>19,000</b>	<b>0</b>	<b>0</b>	<b>120,060</b>
<b>Disbursements:</b>													
Grants to Local Governments	0	0	0	0	0	0	819,814	0	0	0	0	0	120,635
State Operations	0	0	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	0	0	0	0	0	0	1,030,847	10	112,567	19,000	0	0	0
<b>Total Disbursements</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,850,661</b>	<b>10</b>	<b>112,567</b>	<b>19,000</b>	<b>0</b>	<b>0</b>	<b>120,635</b>
<b>Other Financing Sources (Uses):</b>													
Transfers from Other Funds	0	0	0	0	0	0	0	13,700	0	0	0	0	575
Transfers to Other Funds	(340,749)	(1,000)	(4,000)	(2,000)	(50,343)	(319,580)	0	(28,750)	0	0	0	0	0
Bond & Note Proceeds	340,749	1,000	4,000	2,000	50,343	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(319,580)</b>	<b>0</b>	<b>(15,050)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>575</b>
<b>Change in Fund Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14,908</b>	<b>0</b>	<b>(15,367)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Closing Fund Balance</b>	<b>101,080</b>	<b>4,257</b>	<b>7,942</b>	<b>2,837</b>	<b>7,555</b>	<b>(121,959)</b>	<b>894</b>	<b>(201,546)</b>	<b>505</b>	<b>(4,113)</b>	<b>0</b>	<b>(20,396)</b>	<b>(121,426)</b>

CASH COMBINING STATEMENT  
CAPITAL PROJECTS FUNDS  
FY 2013  
(thousands of dollars)

	378	380	384	387	388	389	399	CPO	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	17,933	(11,476)	149,401	21,790	(23)	(355,365)	(84,318)	0	(449,382)	0	(449,382)
<b>Receipts:</b>											
Taxes	0	0	0	0	0	0	0	0	1,401,000	0	1,401,000
Miscellaneous Receipts	1,000	0	70,000	27,500	0	201,893	231,765	1	4,150,511	0	4,150,511
Federal Grants	0	0	0	0	0	0	0	0	2,190,508	0	2,190,508
<b>Total Receipts</b>	1,000	0	70,000	27,500	0	201,893	231,765	1	7,742,019	0	7,742,019
<b>Disbursements:</b>											
Grants to Local Governments	0	0	0	25,000	0	93,676	0	0	2,103,615	0	2,103,615
State Operations	0	0	0	0	0	0	0	0	0	0	0
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	0	0	0	0	0	0	0	0	0	0
Capital Projects	1,000	0	70,000	4,900	0	67,726	253,265	0	5,866,745	0	5,866,745
<b>Total Disbursements</b>	1,000	0	70,000	29,900	0	161,402	253,265	0	7,970,360	0	7,970,360
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	0	(519)	0	0	1,750	21,500	0	2,053,937	(726,199)	1,327,738
Transfers to Other Funds	0	0	0	0	0	0	0	0	(2,204,907)	726,199	(1,478,708)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	400,317	0	400,317
<b>Net Other Financing Sources (Uses)</b>	0	0	(519)	0	0	1,750	21,500	0	249,347	0	249,347
<b>Change in Fund Balance</b>	0	0	(519)	(2,400)	0	42,241	0	1	21,006	0	21,006
<b>Closing Fund Balance</b>	17,933	(11,476)	148,882	19,390	(23)	(313,124)	(84,318)	1	(428,376)	0	(428,376)



**CASH COMBINING STATEMENT  
DEBT SERVICE  
FY 2013  
(thousands of dollars)**

	064	304	311	316	319	350	361	364	Sub Total	Eliminations	Financial Plan
<b>Opening Fund Balance</b>	0	93,785	(401)	0	29,013	305,067	0	0	427,464	0	427,464
<b>Receipts:</b>											
Taxes	0	0	10,064,000	0	0	0	540,900	2,853,225	13,458,125	0	13,458,125
Miscellaneous Receipts	0	351,523	0	11,003	127,830	505,204	0	500	996,060	0	996,060
Federal Grants	0	0	78,803	0	0	0	0	0	78,803	0	78,803
<b>Total Receipts</b>	0	351,523	10,142,803	11,003	127,830	505,204	540,900	2,853,725	14,532,988	0	14,532,988
<b>Disbursements:</b>											
Grants to Local Governments	0	0	0	0	0	0	0	0	0	0	0
State Operations	0	6,085	23,631	0	1,555	10,110	0	5,544	46,925	0	46,925
General State Charges	0	0	0	0	0	0	0	0	0	0	0
Debt Service	0	295,593	5,233,796	12,003	28,184	102,134	0	392,416	6,064,126	0	6,064,126
Capital Projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total Disbursements</b>	0	301,678	5,257,427	12,003	29,739	112,244	0	397,960	6,111,051	0	6,111,051
<b>Other Financing Sources (Uses):</b>											
Transfers from Other Funds	0	3,116,882	3,387,043	1,000	42,069	0	0	0	6,546,994	(225,245)	6,321,749
Transfers to Other Funds	0	(3,111,957)	(8,272,420)	0	(140,148)	(313,273)	(540,900)	(2,455,764)	(14,834,462)	225,245	(14,609,217)
Bond & Note Proceeds	0	0	0	0	0	0	0	0	0	0	0
<b>Net Other Financing Sources (Uses)</b>	0	4,925	(4,885,377)	1,000	(98,079)	(313,273)	(540,900)	(2,455,764)	(8,287,468)	0	(8,287,468)
<b>Change in Fund Balance</b>	0	54,770	(1)	0	12	79,687	0	1	134,469	0	134,469
<b>Closing Fund Balance</b>	0	148,555	(402)	0	29,025	384,754	0	1	561,933	0	561,933

CASH COMBINING STATEMENT BY ACCOUNT  
INTERNAL SERVICE  
FY 2013  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
323.14-Admin Support	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
323.15-Design & Constr	41,645	0	60,288	0	0	0	60,288	0	26,797	16,134	721	0	13,849	0	0	0	57,501	44,432
323.22-Broome St Waste	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
323.XX-Misc Centr'l Srv	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	42
323.ZW-Enterprise Cont	0	0	500,000	0	0	0	500,000	0	600	499,074	16	0	310	0	0	0	500,000	0
323.ZX-OGS Exec Direct	(48,720)	0	189,472	0	0	0	189,472	0	2,025	95,897	54	0	1,047	0	0	88,662	187,685	(46,933)
323.ZY-OGS Bldg Admin	5,355	0	23,322	0	0	0	23,322	0	1,769	15,382	48	0	914	0	0	0	18,113	10,564
323.ZZ-OGS Std & Purch	(8,226)	0	18,720	0	0	0	18,720	0	2,333	12,645	63	0	1,206	0	0	0	16,247	(5,753)
334.00-Agencies Int Sv	15	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	15
334.02-Educ-Archives R	1,087	0	1,500	0	0	0	1,500	0	854	80	27	0	303	0	0	0	1,264	1,323
334.03-Civil Recover	(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(2)
334.04-Public Finanng	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
334.05-Fedl Single Aud	2,290	0	1,500	0	0	0	1,500	0	0	1,500	0	0	0	0	0	0	1,500	2,290
334.07-Quick Copy Cent	803	0	600	0	0	0	600	0	157	400	5	0	77	0	0	0	639	764
334.09-CS Administrat	5,134	0	5,963	0	0	0	5,963	0	3,408	2,384	103	0	1,750	0	0	0	7,645	3,452
334.10-EHS Occup Hlth	(57)	0	870	0	0	0	870	0	578	456	17	0	294	0	0	0	1,345	(532)
334.12-Banking Service	(4)	0	3,974	0	0	0	61,450	0	0	61,450	0	0	0	0	0	0	61,450	(4)
334.13-Personnel Mgmt	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	29
334.14-Cult Resources	(2,215)	0	7,329	0	0	0	7,329	0	1,380	3,137	44	0	754	0	0	278	5,593	(479)
334.17-Neighbor Work P	(5,676)	0	7,300	0	0	0	7,300	0	0	7,200	0	0	0	0	0	0	7,200	(5,576)
334.18-Auto/Print Chgb	2,526	0	16,500	0	0	0	16,500	0	8,085	4,150	0	0	4,178	0	0	0	16,413	2,613
334.20-NYT Account	19,219	0	88,938	0	0	0	88,938	0	8,623	77,183	238	0	4,591	0	0	0	90,635	17,522
334.23-State Data Ctr	37,203	0	118,199	0	0	0	118,199	0	21,256	83,028	636	0	11,482	0	0	0	116,402	39,000
334.24-Human Svcs Tele	4,757	0	27,339	0	0	0	27,339	0	8,911	16,576	240	0	5,153	0	0	0	30,880	1,216
334.26-OMRDD Copy Ctr	985	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	985
334.27-Intrusion Detec	(553)	0	1,528	0	0	0	1,528	0	0	1,350	0	0	0	0	0	0	1,350	(375)
334.28-Dom Violence Gr	(228)	0	750	0	0	0	750	0	650	97	3	0	0	0	0	0	750	(228)
334.29-Statewide Train	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6
334.30-Cent Tech Svcs.	(3,006)	0	3,000	0	0	22,000	25,000	0	676	25,820	24	0	478	0	0	0	26,998	(5,004)
334.XX-Misc Init Serv	(117)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(117)
334.ZV-Learning Mgmt S	1,735	0	2,060	0	0	0	2,060	0	1,051	944	26	0	519	0	0	0	2,540	1,255
343.00-Mental Hygiene	332	0	1,967	0	0	48	2,015	0	956	1,144	31	0	550	0	0	58	2,739	(392)
347.00-DFY Voc Educatn	55	0	25	0	0	0	25	0	0	0	0	0	0	0	0	0	0	80
394.00-Joint Labor-Migt	2,013	0	2,000	0	0	0	2,000	0	857	377	28	0	442	0	0	0	1,704	2,309
395.04-Ex Dir Intl Aud	424	0	1,550	0	0	0	1,550	0	2,112	314	68	0	1,030	0	0	0	3,524	(1,550)
396.00-Health Ins Intr	(12,135)	0	14,121	0	0	7,843	21,964	0	10,294	2,649	311	0	5,304	0	0	0	18,558	(8,729)
396.01-CS EBD Adm Reim	(2,855)	0	4,500	0	0	240	4,740	0	1,882	677	48	0	972	0	0	0	3,579	(1,694)
397.00-Corr Industries	39	0	49,000	0	0	9,500	58,500	0	17,609	30,289	913	0	9,689	0	0	0	58,500	39

**CASH COMBINING STATEMENT BY ACCOUNT**  
**ENTERPRISE**  
**FY 2013**  
(thousands of dollars)

Fund Account	Opening Balance	Taxes	Misc. Receipts	Federal Grants	Bond Proceeds	Transfers From	Total Receipts	Local	PS	NPS	Indirect Costs	UI Benefits	GSCs	Debt	Capital	Transfers To	Total Disb.	Closing Balance
324.00-DFY Commissary	183	0	120	0	0	0	120	0	0	120	0	0	0	0	0	0	120	183
325.00-State Fair Rece	3,977	0	17,500	0	0	0	17,500	0	4,900	10,483	132	0	1,801	0	0	0	17,316	4,161
326.00-DOCS Commissary	1,887	0	37,347	0	0	0	37,347	0	0	37,267	0	0	0	0	0	0	37,267	1,967
331.01-Publications	16	0	5	0	0	0	5	0	0	5	0	0	0	0	0	0	5	16
331.02-DFY Products	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
331.03-Aging Enterpris	0	0	2	0	0	0	2	0	0	2	0	0	0	0	0	0	2	0
331.04-Maps And Demogr	13	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	14
331.06-Empire St Games	(3)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(3)
331.07-DSS Trng Matris	171	0	24	0	0	0	24	0	0	0	0	0	0	0	0	0	0	195
331.21-Arts Oxford Vet	1	0	1	0	0	0	1	0	0	1	0	0	0	0	0	0	1	1
331.31-TRAlD Services	10	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	10
331.55-Convention Ctr	264	0	1,172	0	0	0	1,172	0	574	95	15	0	297	0	0	0	981	455
331.AA-DOCS Empl Mess	(130)	0	1,256	0	0	0	1,256	0	268	757	10	0	144	0	0	0	1,179	(53)
331.DD-Asset Preservat	45	0	14	0	0	0	14	0	0	17	0	0	0	0	0	0	17	42
331.FM-Farm Program	1,033	0	422	0	0	0	422	0	0	422	0	0	0	0	0	0	422	1,033
331.NY-NY-Alert	0	0	1,000	0	0	0	1,000	0	0	1,000	0	0	0	0	0	0	1,000	0
331.ZZ-DDPC Pub Acct	0	0	10	0	0	0	10	0	0	10	0	0	0	0	0	0	10	0
351.00-OMH Shelr Wkshs	1,725	0	2,200	0	0	0	2,200	0	0	2,200	0	0	0	0	0	0	2,200	1,725
352.00-MR Shelr Wkshp	1,122	0	950	0	0	0	950	0	0	1,050	0	0	0	0	0	0	1,050	1,022
353.00-MH & MR Communi	2,945	0	2,200	0	0	17	2,217	0	381	1,172	12	0	217	0	0	23	1,805	3,357
353.32-MR Community St	98	0	660	0	0	0	660	0	217	326	9	0	108	0	0	0	660	98
450.01-HEA / State Fai	(1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(1)
481.00-UJ Benefit Fnd	(130,584)	2,356,000	0	1,000,000	0	0	3,356,000	0	0	0	0	3,356,000	0	0	0	0	3,356,000	(130,584)
481.01-Interest Assess	41,533	0	105,000	0	0	0	105,000	0	0	105,000	0	0	0	0	0	0	105,000	41,533
481.FS-Federal Stimulu	171,769	0	0	2,300,000	0	0	2,300,000	0	0	0	0	2,300,000	0	0	0	0	2,300,000	171,769

**GENERAL FUND CASH TO APPROPRIATION TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
FY 2012 RESULTS  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>ECONOMIC DEVELOPMENT</b>				
Agriculture & Markets, Department of	24,446	29,338	26,193	44,840
Economic Development, Department of	48,050	48,901	32,949	37,648
Housing and Community Renewal, Division of	43,047	58,684	16,095	21,537
Empire State Development	70,654	459,896	0	0
Olympic Regional Development Authority	0	0	3,543	4,700
Science Technology and Innovation, Foundation (NYSTAR)	0	0	158	150
<b>FUNCTIONAL TOTAL</b>	<b>186,197</b>	<b>596,819</b>	<b>78,938</b>	<b>108,875</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	0	0	4,299	4,607
Environmental Conservation, Department of	3,019	5,107	110,287	118,715
Parks, Recreation and Historic Preservation, Office of	2,300	3,020	121,640	119,461
<b>FUNCTIONAL TOTAL</b>	<b>5,319</b>	<b>8,127</b>	<b>236,226</b>	<b>242,783</b>
<b>TRANSPORTATION</b>				
Transportation, Department of	97,776	97,551	1,022	0
<b>FUNCTIONAL TOTAL</b>	<b>97,776</b>	<b>97,551</b>	<b>1,022</b>	<b>0</b>
<b>HEALTH &amp; SOCIAL WELFARE</b>				
Aging, Office for the	109,171	121,661	2,137	2,474
Children & Family Services, Office of	1,594,858	2,174,226	233,236	334,808
Health, Department of	10,948,299	14,407,146	168,641	279,615
Human Rights, Division of	0	0	12,000	13,070
Labor, Department of	3,205	58,995	0	0
Medicaid Inspector General	0	0	21,548	29,577
Prevention of Domestic Violence	541	685	1,256	1,365
Temporary and Disability Assistance, Office of	1,404,515	1,386,349	39,359	98,019
Welfare Inspector General	0	0	287	378
<b>FUNCTIONAL TOTAL</b>	<b>14,060,589</b>	<b>18,149,062</b>	<b>478,464</b>	<b>759,306</b>

**GENERAL FUND CASH TO APPROPRIATION TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
FY 2012 RESULTS  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>MENTAL HYGIENE</b>				
Alcohol and Substance Abuse Services, Office of	39,570	36,879	0	0
Mental Health, Office of	427,333	420,982	108	800
People with Developmental Disabilities, Office of	1,594,388	1,430,365	0	0
Quality of Care for People with Disabilities, Commission on	170	170	3,525	4,922
<b>FUNCTIONAL TOTAL</b>	<b>2,061,461</b>	<b>1,888,396</b>	<b>3,633</b>	<b>5,722</b>
<b>PUBLIC PROTECTION</b>				
Correctional Services, Department of	5,594	13,024	2,471,363	2,418,614
Correction, Commission of	0	0	2,251	2,975
Criminal Justice Services, Division of	117,006	236,188	50,845	53,861
Homeland Security	19,575	137,806	7,431	10,754
Disaster Assistance	0	0	20,811	55,794
Judicial Commissions	0	0	4,979	5,452
Military and Naval Affairs, Division of	730	940	20,908	15,394
State Police, Division of	0	0	433,210	422,174
<b>FUNCTIONAL TOTAL</b>	<b>142,905</b>	<b>387,958</b>	<b>3,011,798</b>	<b>2,985,018</b>
<b>EDUCATION</b>				
Arts, Council on the	29,571	51,543	4,088	4,574
City University of New York	1,202,640	1,208,362	0	0
Education, Department of	18,458,456	19,452,079	43,563	38,309
Higher Education Services Corporation	907,514	965,066	2,487	2,500
State University of New York	481,272	445,271	943,240	2,194,233
<b>FUNCTIONAL TOTAL</b>	<b>21,079,453</b>	<b>22,122,321</b>	<b>993,378</b>	<b>2,239,616</b>

**GENERAL FUND CASH TO APPROPRIATION TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
FY 2012 RESULTS  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>GENERAL GOVERNMENT</b>				
Audit and Control, Department of	32,025	32,025	123,087	125,801
Budget, Division of	0	0	20,635	29,054
Civil Service, Department of	0	0	13,755	16,734
Elections, State Board of	415	3,700	5,151	5,521
Employee Relations, Office of	0	0	2,604	2,961
Executive Chamber	0	0	13,256	17,854
General Services, Office of	0	0	109,503	128,912
Inspector General, Office of the	0	0	5,392	5,524
Law, Department of	0	0	98,360	101,381
Lieutenant Governor, Office of the	0	0	408	630
Public and Private Employee Relations Board	0	0	3,309	3,571
Public Integrity, Commission on	0	0	3,217	3,878
State, Department of	3,846	0	14,492	21,154
Taxation and Finance, Department of	6,487	926	309,803	310,682
Tax Appeals, Division of	0	0	2,850	3,021
Technology, Office for	171	1,530	18,958	27,502
Veteran Affairs, Division of	7,277	8,176	5,312	6,306
<b>FUNCTIONAL TOTAL</b>	<b>50,221</b>	<b>46,357</b>	<b>750,092</b>	<b>810,486</b>
<b>ALL OTHER CATEGORIES</b>				
Judiciary	2,502	17,446	1,731,513	2,352,332
Legislature	0	0	196,024	217,845
Local Government Assistance	923,792	1,038,001	0	0
<b>FUNCTIONAL TOTAL</b>	<b>926,294</b>	<b>1,055,447</b>	<b>1,927,537</b>	<b>2,570,177</b>

NOTE 1: Cash disbursements can vary from available appropriations for a particular financial plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reapropriations, and other appropriation amendments, but does not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Not reflected in this table are the portions of Local Assistance appropriation authority extended for two years in Education and Health, which are related to the multi-year spending caps for School Aid and Medicaid spending. Certain provisions are included in the enacted legislation to restrict spending for these programs.

**GENERAL FUND CASH TO APPROPRIATION TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
FY 2013 ENACTED  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>ECONOMIC DEVELOPMENT</b>				
Agriculture & Markets, Department of	25,197	37,669	30,643	51,253
Economic Development, Department of	64,610	214,640	20,776	41,659
Housing and Community Renewal, Division of	49,117	46,786	15,047	18,579
Empire State Development	78,407	387,291	0	0
Olympic Regional Development Authority	0	0	2,929	4,583
<b>FUNCTIONAL TOTAL</b>	<b>217,331</b>	<b>686,386</b>	<b>69,395</b>	<b>116,074</b>
<b>PARKS AND THE ENVIRONMENT</b>				
Adirondack Park Agency	0	0	4,146	4,502
Environmental Conservation, Department of	5,702	4,873	90,656	116,978
Parks, Recreation and Historic Preservation, Office of	5,950	3,657	106,497	116,494
<b>FUNCTIONAL TOTAL</b>	<b>11,652</b>	<b>8,530</b>	<b>201,299</b>	<b>237,974</b>
<b>TRANSPORTATION</b>				
Transportation, Department of	97,551	97,551	2,655	0
<b>FUNCTIONAL TOTAL</b>	<b>97,551</b>	<b>97,551</b>	<b>2,655</b>	<b>0</b>
<b>HEALTH &amp; SOCIAL WELFARE</b>				
Aging, Office for the	112,888	120,860	1,736	2,851
Children & Family Services, Office of	1,533,765	2,313,861	283,953	371,097
Health, Department of	11,263,463	44,948,320	208,991	262,799
Human Rights, Division of	0	0	10,755	12,744
Labor, Department of	28,392	34,762	0	0
Medicaid Inspector General	0	0	21,095	28,461
Prevention of Domestic Violence	685	1,074	1,407	1,525
Temporary and Disability Assistance, Office of	1,483,766	1,760,658	202,499	244,712
Welfare Inspector General	0	0	293	349
<b>FUNCTIONAL TOTAL</b>	<b>14,422,959</b>	<b>49,179,535</b>	<b>730,729</b>	<b>924,538</b>

**GENERAL FUND CASH TO APPROPRIATION TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
FY 2013 ENACTED  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>MENTAL HYGIENE</b>				
Alcohol and Substance Abuse Services, Office of	37,408	36,878	0	0
Mental Health, Office of	384,595	420,982	800	796
People with Developmental Disabilities, Office of	1,523,603	1,662,830	0	0
Quality of Care for People with Disabilities, Commission on	170	170	5,150	6,366
<b>FUNCTIONAL TOTAL</b>	<b>1,945,776</b>	<b>2,120,860</b>	<b>5,950</b>	<b>7,162</b>
<b>PUBLIC PROTECTION</b>				
Correctional Services, Department of	17,500	26,950	2,389,998	2,504,187
Correction, Commission of	0	0	2,672	2,915
Criminal Justice Services, Division of	122,736	208,363	51,831	54,577
Homeland Security	34,088	234,211	5,750	5,766
Disaster Assistance	0	0	42,700	42,700
Judicial Commissions	0	0	5,452	5,452
Military and Naval Affairs, Division of	850	1,051	21,531	23,382
State Police, Division of	0	0	553,993	557,932
<b>FUNCTIONAL TOTAL</b>	<b>175,174</b>	<b>470,575</b>	<b>3,073,927</b>	<b>3,196,911</b>
<b>EDUCATION</b>				
Arts, Council on the	35,635	60,522	4,120	4,119
City University of New York	1,218,848	1,295,977	0	0
Education, Department of	18,936,434	37,749,346	42,334	42,640
Higher Education Services Corporation	903,933	993,757	0	0
State University of New York	461,790	438,130	682,666	1,362,456
<b>FUNCTIONAL TOTAL</b>	<b>21,556,640</b>	<b>40,537,732</b>	<b>729,120</b>	<b>1,409,215</b>



**GENERAL FUND CASH TO APPROPRIATION TABLE  
NEW, REAPPROPRIATIONS AND DEFICIENCY APPROPRIATIONS  
FY 2013 ENACTED  
(thousands of dollars)**

	Local Assistance		State Operations	
	Cash	Appropriation	Cash	Appropriation
<b>GENERAL GOVERNMENT</b>				
Audit and Control, Department of	32,024	32,025	123,618	125,345
Budget, Division of	0	0	22,029	28,562
Civil Service, Department of	0	0	13,575	15,710
Elections, State Board of	2,700	2,900	4,949	5,305
Employee Relations, Office of	0	0	2,632	2,961
Executive Chamber	0	0	13,578	17,854
General Services, Office of	0	0	146,277	149,632
Inspector General, Office of the	0	0	6,523	6,660
Law, Department of	0	0	98,914	98,846
Lieutenant Governor, Office of the	0	0	614	630
Public and Private Employee Relations Board	0	0	3,340	3,409
Public Integrity, Commission on	0	0	4,016	4,100
State, Department of	8,883	3,338	15,333	21,070
Taxation and Finance, Department of	926	926	323,535	330,536
Tax Appeals, Division of	0	0	3,101	3,121
Technology, Office for	0	0	21,994	27,502
Veteran Affairs, Division of	7,487	9,396	5,989	5,806
<b>FUNCTIONAL TOTAL</b>	<b>52,020</b>	<b>48,585</b>	<b>810,017</b>	<b>847,049</b>
<b>ALL OTHER CATEGORIES</b>				
Judiciary	2,500	17,446	1,749,600	2,340,024
Legislature	0	0	217,845	217,845
Local Government Assistance	947,376	1,069,389	0	0
<b>FUNCTIONAL TOTAL</b>	<b>949,876</b>	<b>1,086,835</b>	<b>1,967,445</b>	<b>2,557,869</b>

NOTE 1: Cash disbursements can vary from available appropriations for a particular financial plan category due to intra-year transfers or suballocation, changes in the amount and timing of carry-out spending across years, and the use of agency appropriation interchange authorization. Appropriation amounts include new appropriation authority, reapropriations, and other appropriation amendments, but does not include carry-in authority from prior years which is subject to lapse.

NOTE 2: Not reflected in this table are the portions of Local Assistance appropriation authority extended for two years in Education and Health, which are related to the multi-year spending caps for School Aid and Medicaid spending. Certain provisions are included in the enacted legislation to restrict spending for these programs.

**GAAP FINANCIAL PLAN  
GENERAL FUND  
FY 2013  
(millions of dollars)**

	<u>Executive</u>	<u>Change</u>	<u>Enacted</u>
<b>Revenues:</b>			
Taxes:			
Personal income tax	26,761	211	26,972
User taxes and fees	9,352	(68)	9,284
Business taxes	5,978	69	6,047
Other taxes	1,139	0	1,139
Miscellaneous revenues	6,942	75	7,017
Federal grants	60	0	60
<b>Total revenues</b>	<u>50,232</u>	<u>287</u>	<u>50,519</u>
<b>Expenditures:</b>			
Grants to local governments	42,049	296	42,345
State operations	11,865	11	11,876
General State charges	5,888	(43)	5,845
Debt service	0	0	0
Capital projects	0	0	0
<b>Total expenditures</b>	<u>59,802</u>	<u>264</u>	<u>60,066</u>
<b>Other financing sources (uses):</b>			
Transfers from other funds	15,036	25	15,061
Transfers to other funds	(6,058)	24	(6,034)
Proceeds from financing arrangements/ advance refundings	0	0	0
	377	26	403
<b>Net other financing sources (uses)</b>	<u>9,355</u>	<u>75</u>	<u>9,430</u>
<b>Operating Surplus/(Deficit)</b>	<u>(215)</u>	<u>98</u>	<u>(117)</u>

**GAAP FINANCIAL PLAN  
GENERAL FUND  
FY 2013 THROUGH FY 2016  
(millions of dollars)**

	<u>FY 2013 Enacted</u>	<u>FY 2014 Projected</u>	<u>FY 2015 Projected</u>	<u>FY 2016 Projected</u>
<b>Revenues:</b>				
Taxes:				
Personal income tax	26,972	28,162	29,504	30,193
User taxes and fees	9,284	9,647	10,067	10,428
Business taxes	6,047	6,213	5,679	6,311
Other taxes	1,139	1,196	1,222	1,222
Miscellaneous revenues	7,017	6,225	5,638	5,786
Federal grants	60	2	0	0
<b>Total revenues</b>	<u>50,519</u>	<u>51,445</u>	<u>52,110</u>	<u>53,940</u>
<b>Expenditures:</b>				
Grants to local governments	42,345	44,561	46,161	47,854
State operations	11,876	11,582	12,008	12,459
General State charges	5,845	6,551	6,968	7,399
Debt service	0	0	0	0
Capital projects	0	0	0	0
<b>Total expenditures</b>	<u>60,066</u>	<u>62,694</u>	<u>65,137</u>	<u>67,712</u>
<b>Other financing sources (uses):</b>				
Transfers from other funds	15,061	15,767	15,639	15,693
Transfers to other funds	(6,034)	(6,228)	(6,352)	(6,281)
Proceeds from financing arrangements/ advance refundings	403	400	400	400
<b>Net other financing sources (uses)</b>	<u>9,430</u>	<u>9,939</u>	<u>9,687</u>	<u>9,812</u>
<b>Operating Surplus/(Deficit)</b>	<u>(117)</u>	<u>(1,310)</u>	<u>(3,340)</u>	<u>(3,960)</u>

**GAAP FINANCIAL PLAN  
ALL FUNDS  
FY 2013  
(millions of dollars)**

	General Fund	Special Revenue Funds	Capital Projects Funds	Debt Service Funds	(MEMO) Total
<b>Revenues:</b>					
Taxes	43,442	8,143	1,401	13,462	66,448
Public Health/Patient fees	0	4,807	0	479	5,286
Miscellaneous revenues	7,017	1,086	983	11	9,097
Federal grants	60	45,108	2,191	79	47,438
<b>Total revenues</b>	<u>50,519</u>	<u>59,144</u>	<u>4,575</u>	<u>14,031</u>	<u>128,269</u>
<b>Expenditures:</b>					
Grants to local governments	42,345	55,404	2,112	0	99,861
State operations	11,876	1,928	0	37	13,841
General State charges	5,845	358	0	0	6,203
Debt service	0	0	0	4,896	4,896
Capital projects	0	5	6,154	0	6,159
<b>Total expenditures</b>	<u>60,066</u>	<u>57,695</u>	<u>8,266</u>	<u>4,933</u>	<u>130,960</u>
<b>Other financing sources (uses):</b>					
Transfers from other funds	15,061	2,804	1,281	6,322	25,468
Transfers to other funds	(6,034)	(3,811)	(1,479)	(15,361)	(26,685)
Proceeds of general obligation bonds	0	0	400	0	400
Proceeds from financing arrangements/ advance refundings	403	0	3,799	0	4,202
<b>Net other financing sources (uses)</b>	<u>9,430</u>	<u>(1,007)</u>	<u>4,001</u>	<u>(9,039)</u>	<u>3,385</u>
<b>Operating Surplus/(Deficit)</b>	<u>(117)</u>	<u>442</u>	<u>310</u>	<u>59</u>	<u>694</u>

**GAAP FINANCIAL PLAN**  
**ALL FUNDS**  
**FY 2013**  
(millions of dollars)

	Major Funds				Eliminations	Total
	General Fund	Federal Special Revenue	General Obligation Debt Service	Other Governmental Funds		
<b>Revenues:</b>						
Taxes:						
Personal income tax	26,972	0	10,064	3,276	0	40,312
User taxes and fees	9,284	0	0	5,655	0	14,939
Business taxes	6,047	0	0	2,192	0	8,239
Other taxes	1,139	0	0	1,819	0	2,958
Public Health/Patient fees	0	0	0	5,286	0	5,286
Miscellaneous receipts	7,017	186	0	1,894	0	9,097
Federal grants	60	45,106	79	2,193	0	47,438
<b>Total revenues</b>	<b>50,519</b>	<b>45,292</b>	<b>10,143</b>	<b>22,315</b>	<b>0</b>	<b>128,269</b>
<b>Expenditures:</b>						
Grants to local governments	42,345	39,815	0	17,701	0	99,861
State operations	11,876	1,242	24	699	0	13,841
General State charges	5,845	262	0	96	0	6,203
Debt service	0	0	4,168	728	0	4,896
Capital projects	0	0	0	6,159	0	6,159
<b>Total expenditures</b>	<b>60,066</b>	<b>41,319</b>	<b>4,192</b>	<b>25,383</b>	<b>0</b>	<b>130,960</b>
<b>Other financing sources (uses):</b>						
Transfers from other funds	15,061	0	3,387	7,020	(20,312)	5,156
Transfers to other funds	(6,034)	(3,973)	(9,338)	(7,340)	20,312	(6,373)
Proceeds of General obligation bonds	0	0	0	400	0	400
Proceeds from financing arrangements/advance refundings	403	0	0	3,799	0	4,202
<b>Net other financing sources (uses)</b>	<b>9,430</b>	<b>(3,973)</b>	<b>(5,951)</b>	<b>3,879</b>	<b>0</b>	<b>3,385</b>
<b>Operating Surplus/(Deficit)</b>	<b>(117)</b>	<b>0</b>	<b>0</b>	<b>811</b>	<b>0</b>	<b>694</b>

**GAAP COMBINING STATEMENT  
GENERAL FUND  
FY 2013  
(millions of dollars)**

	001	003	007	166	013	008	323	325	326	331
<b>Receipts:</b>										
Personal income tax	0	26,972	0	0	0	0	0	0	0	0
User taxes and fees	0	9,284	0	0	0	0	0	0	0	0
Business taxes	0	6,047	0	0	0	0	0	0	0	0
Other taxes	0	1,139	0	0	0	0	0	0	0	0
Miscellaneous receipts	0	3,145	0	700	0	0	792	18	37	4
Federal grants	0	60	0	0	0	0	0	0	0	0
<b>Total receipts</b>	<u>0</u>	<u>46,647</u>	<u>0</u>	<u>700</u>	<u>0</u>	<u>0</u>	<u>792</u>	<u>18</u>	<u>37</u>	<u>4</u>
<b>Disbursements:</b>										
Grants to local governments	39,201	0	45	0	0	0	0	0	0	0
State operations	0	7,363	0	50	0	0	674	16	37	4
General State charges	0	3,595	0	650	0	0	17	2	0	0
Debt service	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<u>39,201</u>	<u>10,958</u>	<u>45</u>	<u>700</u>	<u>0</u>	<u>0</u>	<u>691</u>	<u>18</u>	<u>37</u>	<u>4</u>
<b>Other financing sources (uses):</b>										
Transfers from other funds	0	12,184	0	0	0	0	0	0	0	0
Transfers to other funds	(4,336)	(4,769)	0	0	0	0	(89)	0	0	0
Proceeds from financing arrangements/advance refundings	403	0	0	0	0	0	0	0	0	0
<b>Net other financing sources (uses)</b>	<u>(3,933)</u>	<u>7,415</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(89)</u>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Operating Surplus/(Deficit)</b>	<u>(43,134)</u>	<u>43,104</u>	<u>(45)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>12</u>	<u>0</u>	<u>0</u>	<u>0</u>

GAAP COMBINING STATEMENT  
GENERAL FUND  
FY 2013  
(millions of dollars)

	334	339	343	351	352	353	394	395	396	397	450	Eliminations	Total
<b>Receipts:</b>													
Personal income tax	0	0	0	0	0	0	0	0	0	0	0	0	26,972
User taxes and fees	0	0	0	0	0	0	0	0	0	0	0	0	9,284
Business taxes	0	0	0	0	0	0	0	0	0	0	0	0	6,047
Other taxes	0	0	0	0	0	0	0	0	0	0	0	0	1,139
Miscellaneous receipts	287	2,716	2	2	1	2	2	1	19	49	0	(760)	7,017
Federal grants	0	0	0	0	0	0	0	0	0	0	0	0	60
<b>Total receipts</b>	<u>287</u>	<u>2,716</u>	<u>2</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>1</u>	<u>19</u>	<u>49</u>	<u>0</u>	<u>(760)</u>	<u>50,519</u>
<b>Disbursements:</b>													
Grants to local governments	0	3,099	0	0	0	0	0	0	0	0	0	0	42,345
State operations	343	4,074	2	2	1	2	1	2	16	49	0	(760)	11,876
General State charges	29	1,533	1	0	0	0	1	1	6	10	0	0	5,845
Debt service	0	0	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements</b>	<u>372</u>	<u>8,706</u>	<u>3</u>	<u>2</u>	<u>1</u>	<u>2</u>	<u>2</u>	<u>3</u>	<u>22</u>	<u>59</u>	<u>0</u>	<u>(760)</u>	<u>60,066</u>
<b>Other financing sources (uses):</b>													
Transfers from other funds	79	6,581	0	0	0	0	0	0	8	10	0	(3,801)	15,061
Transfers to other funds	0	(641)	0	0	0	0	0	0	0	0	0	3,801	(6,034)
Proceeds from financing arrangements/advance refundings	0	0	0	0	0	0	0	0	0	0	0	0	403
<b>Net other financing sources (uses)</b>	<u>79</u>	<u>5,940</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>8</u>	<u>10</u>	<u>0</u>	<u>0</u>	<u>9,430</u>
<b>Operating Surplus/(Deficit)</b>	<u>(6)</u>	<u>(50)</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(2)</u>	<u>5</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>(117)</u>

**CASH TO GAAP CONVERSION TABLE**  
**GENERAL FUND**  
**FY 2013**  
(millions of dollars)

	Cash Financial Plan	Perspective Difference		Entity Difference		Cash Basis Subtotal	Changes in Accruals	Elimin- ations	Intrafund Eliminations	Reclass- ification	GAAP Financial Plan
		Cash Revenue Funds	Special Revenue Funds	Other Funds	Other Funds						
<b>Receipts/Revenues:</b>											
Taxes:											
Personal income tax	26,916	0	0	0	26,916	56	0	0	0	0	26,972
User taxes and fees	9,271	0	0	0	9,271	13	0	0	0	0	9,284
Business taxes	6,038	0	0	0	6,038	9	0	0	0	0	6,047
Other taxes	1,144	0	0	0	1,144	(5)	0	0	0	0	1,139
Miscellaneous receipts	3,229	2,716	1,218	1,218	7,163	0	555	(760)	59	0	7,017
Federal Grants	60	0	0	0	60	0	0	0	0	0	60
<b>Total receipts/revenues</b>	<b>46,658</b>	<b>2,716</b>	<b>1,218</b>	<b>1,218</b>	<b>50,592</b>	<b>73</b>	<b>555</b>	<b>(760)</b>	<b>59</b>	<b>0</b>	<b>50,519</b>
<b>Disbursements/expenses:</b>											
Grants to local governments	39,645	3,099	0	0	42,744	536	0	0	0	(935)	42,345
State operations	7,736	4,335	1,150	1,150	13,221	52	(42)	(760)	0	(595)	11,876
General State charges	4,403	1,534	68	68	6,005	(6)	597	0	0	(751)	5,845
Debt service	0	0	0	0	0	0	0	0	0	0	0
Capital projects	0	0	0	0	0	0	0	0	0	0	0
<b>Total disbursements/expenses</b>	<b>51,784</b>	<b>8,968</b>	<b>1,218</b>	<b>1,218</b>	<b>61,970</b>	<b>582</b>	<b>555</b>	<b>(760)</b>	<b>(2,281)</b>	<b>0</b>	<b>60,066</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	12,242	6,848	99	99	19,189	0	(3,801)	0	0	(327)	15,061
Transfers to other funds	(7,084)	(644)	(89)	(89)	(7,817)	(5)	3,801	0	0	(2,013)	(6,034)
Proceeds from financing arrangements/ advance refundings	0	0	0	0	0	403	0	0	0	0	403
<b>Net other financing sources (uses)</b>	<b>5,158</b>	<b>6,204</b>	<b>10</b>	<b>10</b>	<b>11,372</b>	<b>398</b>	<b>0</b>	<b>0</b>	<b>(2,340)</b>	<b>0</b>	<b>9,430</b>
<b>Excess (deficiency) of revenues and other financing sources over expenditures and other financing uses</b>	<b>32</b>	<b>(48)</b>	<b>10</b>	<b>10</b>	<b>(6)</b>	<b>(111)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(117)</b>
<b>(Increase)/decrease in reserves</b>	<b>(32)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(32)</b>	<b>32</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Operating Surplus/(Deficit)</b>	<b>0</b>	<b>(48)</b>	<b>10</b>	<b>10</b>	<b>(38)</b>	<b>(79)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(117)</b>



**CASH TO GAAP CONVERSION TABLE  
SPECIAL REVENUE FUNDS  
FY 2013**  
(millions of dollars)

	Estimated Cash Disbursements	CUNY (Fund 377)	SUNY (Fund 345)	MSSRF (Fund 339)	LOTTERY (Fund 160)	Food Stamps	Reclass Public Health	Reclass SUNY	Interfund Activity	System Accruals	Estimated GAAP Expenditures
<b>Receipts/Revenues:</b>											
Taxes	8,142	0	0	0	0	0	0	0	0	1	8,143
Miscellaneous receipts	15,893	(111)	(4,020)	(2,716)	(3,172)	0	(4,807)	0	0	19	1,086
Public Health	0	0	0	0	0	0	4,807	0	0	0	4,807
Federal Grants	40,303	0	0	0	0	5,339	0	(324)	0	(210)	45,108
<b>Total receipts/revenues</b>	<b>64,338</b>	<b>(111)</b>	<b>(4,020)</b>	<b>(2,716)</b>	<b>(3,172)</b>	<b>5,339</b>	<b>0</b>	<b>(324)</b>	<b>0</b>	<b>(190)</b>	<b>59,144</b>
<b>Disbursements/expenditures:</b>											
Grants to local governments	53,781	0	0	(3,099)	(215)	5,339	0	0	0	(402)	55,404
State operations	11,446	(103)	(4,556)	(4,335)	(161)	0	0	(313)	0	(50)	1,928
General State charges	2,295	0	(376)	(1,534)	(12)	0	0	0	0	(15)	358
Capital projects	5	0	0	0	0	0	0	0	0	0	5
<b>Total disbursements/expenditures</b>	<b>67,527</b>	<b>(103)</b>	<b>(4,932)</b>	<b>(8,968)</b>	<b>(388)</b>	<b>5,339</b>	<b>0</b>	<b>(313)</b>	<b>0</b>	<b>(467)</b>	<b>57,695</b>
<b>Other financing sources (uses):</b>											
Transfers from other funds	7,737	0	(942)	(6,848)	2,792	0	0	0	65	0	2,804
Transfers to other funds	(4,464)	0	63	644	0	0	0	11	(65)	0	(3,811)
<b>Net other financing sources (uses)</b>	<b>3,273</b>	<b>0</b>	<b>(879)</b>	<b>(6,204)</b>	<b>2,792</b>	<b>0</b>	<b>0</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>(1,007)</b>
<b>Operating Surplus/(Deficit)</b>	<b>84</b>	<b>(8)</b>	<b>33</b>	<b>48</b>	<b>8</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>277</b>	<b>442</b>

CASH TO GAAP CONVERSION TABLE  
 CAPITAL PROJECTS FUND  
 FY 2013  
 (millions of dollars)

	Estimated Cash Disbursements	SUNY Rehab (Fund 074)	SUNY Capital (Fund 384)	SUNY/CUNY (Fund 002)	Appropriated Loans	Off-Budget Capital	Reclass Proceeds	System Accruals	Estimated GAAP Expenditures
<b>Receipts/Revenues:</b>									
Taxes	1,401	0	0	0	0	0	0	0	1,401
Miscellaneous receipts	4,151	0	(70)	(946)	(11)	0	(2,215)	74	983
Federal Grants	2,191	0	0	0	0	0	0	0	2,191
<b>Total receipts/revenues</b>	<b>7,743</b>	<b>0</b>	<b>(70)</b>	<b>(946)</b>	<b>(11)</b>	<b>0</b>	<b>(2,215)</b>	<b>74</b>	<b>4,575</b>
<b>Disbursements/expenditures:</b>									
Grants to local governments	2,104	0	0	0	0	0	0	8	2,112
Capital projects	5,867	(47)	(70)	(1,027)	(11)	1,279	0	163	6,154
<b>Total disbursements/expenditures</b>	<b>7,971</b>	<b>(47)</b>	<b>(70)</b>	<b>(1,027)</b>	<b>(11)</b>	<b>1,279</b>	<b>0</b>	<b>171</b>	<b>8,266</b>
<b>Other financing sources (uses):</b>									
Transfers from other funds	1,328	(47)	0	0	0	0	0	0	1,281
Transfers to other funds	(1,479)	0	0	0	0	0	0	0	(1,479)
Proceeds of GO Bonds	400	0	0	0	0	0	0	0	400
Proceeds from Financing Arrangements/ Advance Refundings	0	0	0	0	0	1,584	2,215	0	3,799
<b>Net other financing sources (uses)</b>	<b>249</b>	<b>(47)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,584</b>	<b>2,215</b>	<b>0</b>	<b>4,001</b>
<b>Operating Surplus/(Deficit)</b>	<b>21</b>	<b>0</b>	<b>0</b>	<b>81</b>	<b>0</b>	<b>305</b>	<b>0</b>	<b>(97)</b>	<b>310</b>

CASH TO GAAP CONVERSION TABLE  
DEBT SERVICE FUND  
FY 2013  
(millions of dollars)

	Estimated Cash Disbursements	SUNY Dorms (Fund 330)	LGAC	Reclass Patient Fees	Reclass SUNY/ CUNY DS	System Accruals	Estimated GAAP Expenditures
<b>Receipts/Revenues:</b>							
Taxes	13,458	0	0	0	0	4	13,462
Patient fees	0	0	0	479	0	0	479
Federal Grants	79	0	0	0	0	0	79
Miscellaneous receipts	996	(505)	(1)	(479)	0	0	11
<b>Total receipts/revenues</b>	<b>14,533</b>	<b>(505)</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>14,031</b>
<b>Disbursements/expenses:</b>							
State operations	47	(10)	0	0	0	0	37
Debt Service	6,064	(102)	0	0	(1,066)	0	4,896
<b>Total disbursements/expenses</b>	<b>6,111</b>	<b>(112)</b>	<b>0</b>	<b>0</b>	<b>(1,066)</b>	<b>0</b>	<b>4,933</b>
<b>Other financing sources (uses):</b>							
Transfers from other funds	6,322	0	0	0	0	0	6,322
Transfers to other funds	(14,609)	314	0	0	(1,066)	0	(15,361)
<b>Net other financing sources (uses)</b>	<b>(8,287)</b>	<b>314</b>	<b>0</b>	<b>0</b>	<b>(1,066)</b>	<b>0</b>	<b>(9,039)</b>
<b>Operating Surplus/(Deficit)</b>	<b>135</b>	<b>(79)</b>	<b>(1)</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>59</b>

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>FUND NUMBER</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
001	Local Assistance Account	General
002	State Capital Projects	Capital Projects
003	State Operations Account	General
004	Tax Stabilization Reserve	General
005	Contingency Reserve	General
006	Universal Pre-Kindergarten Reserve	General
007	Community Projects	General
008	Rainy Day Reserve Fund	General
013	Attica State Employee Victims'	General
017	Refund Reserve Account	General
019	Mental Health Gift and Donations	Special Revenue
020	Combined Expendable Trust	Special Revenue
021	Agriculture Producers' Security	Private Purpose Trust
022	Milk Producers' Security	Private Purpose Trust
023	New York Interest on Lawyer Account (IOLA)	Special Revenue
024	New York State Archives Partnership Trust	Special Revenue
025	Child Performer's Protection	Special Revenue
050	Tuition Reimbursement	Special Revenue
052	New York State Local Government Records Management Improvement	Special Revenue
053	School Tax Relief	Special Revenue
054	Charter Schools Stimulus	Special Revenue
055	Not-For-Profit Short-Term Revolving Loan	Special Revenue
056	Hudson River Valley Greenway	Special Revenue
059	Rehabilitative Alcohol and Substance Abuse Treatment	Special Revenue
061	Health Care Reform Act Resources	Special Revenue
064	Debt Reduction Reserve	Debt Service
065	State University Construction Fund Educational Facilities Payment	Debt Service
072	Dedicated Highway and Bridge Trust	Capital Projects
073	Dedicated Mass Transportation Trust	Special Revenue
074	State University Residence Halls Rehabilitation and Repair	Capital Projects
075	New York State Canal System Development	Capital Projects
076	State Park Infrastructure	Capital Projects
077	Passenger Facility Charge	Capital Projects
078	Environmental Protection	Capital Projects
079	Clean Water/Clean Air Implementation	Capital Projects
080	Hudson River Park	Capital Projects
101	Energy Conservation Thru Improved Transportation Bond	Capital Projects
103	Park and Recreation Land Acquisition Bond	Capital Projects
105	Pure Waters Bond	Capital Projects
106	Outdoor Recreation Development Bond	Capital Projects
109	Transportation Capital Facilities Bond	Capital Projects
115	Environmental Quality Protection Bond Act (1972)	Capital Projects
118	Rail Preservation and Development Bond	Capital Projects

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>FUND NUMBER</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
119	State Housing Bond	Capital Projects
121	Rebuild and Renew New York Transportation Bond	Capital Projects
123	Transportation Infrastructure Renewal Bond	Capital Projects
124	Environmental Quality Bond Act (1986)	Capital Projects
126	Accelerated Capacity and Transportation Improvements Bond	Capital Projects
127	Clean Water/Clean Air Bond	Capital Projects
129	Not-For-Profit School Capital Facilities Financing Reserve	Agency
130	School Capital Facilities Financing Reserve	Agency
135	Child Performer's Holding	Agency
136	Child Performer's Holding	Agency
137	Child Performer's Holding	Agency
152	Employees Health Insurance	Agency
153	Social Security Contribution	Agency
154	Employee Payroll Withholding	Agency
160	State Lottery	Special Revenue
162	Employees Dental Insurance	Agency
163	Management Confidential Group Insurance	Agency
165	Lottery Prize	Agency
166	Fringe Benefit Escrow	General
167	Health Insurance Reserve Receipts	Agency
169	Miscellaneous New York State Agency	Agency
174	State Aid and Local Assistance Revenue Withhold Fund	Agency
175	Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	Agency
176	CUNY Senior College Operating	Agency
179	Medicaid Management Information System (MMIS) Escrow	Agency
221	Combined Student Loan	Special Revenue
225	MTA Financial Assistance Fund	Special Revenue
261	Federal USDA/Food and Nutrition Services	Special Revenue
265	Federal Health and Human Services	Special Revenue
267	Federal Education	Special Revenue
269	Federal Block Grants	Special Revenue
290	Federal Miscellaneous Operating Grants	Special Revenue
291	Federal Capital Projects	Capital Projects
300	Sewage Treatment Program Management and Administration	Special Revenue
301	ENCon Special Revenue	Special Revenue
302	Conservation	Special Revenue
303	Environmental Protection and Oil Spill Compensation	Special Revenue
304	Mental Health Services	Debt Service
305	Training and Education Program on Occupational Safety and Health	Special Revenue
306	Lawyers' Fund For Client Protection	Special Revenue
307	Equipment Loan Fund for the Disabled	Special Revenue

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>FUND NUMBER</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
309	Special Education	Agency
310	Forest Preserve Expansion	Capital Projects
311	General Debt Service	Debt Service
312	Hazardous Waste Remedial	Capital Projects
313	Mass Transportation Operating Assistance	Special Revenue
314	Clean Air	Special Revenue
315	Grade Crossing Elimination Debt Service	Debt Service
316	Housing Debt	Debt Service
317	Pine Barrens	Capital Projects
318	New York State Infrastructure Trust	Special Revenue
319	Department of Health Income	Debt Service
321	Legislative Computer Services	Special Revenue
322	Lake Champlain Bridges	Capital Projects
323	Centralized Services	Internal Service
324	Youth Commissary	Enterprise
325	State Exposition Special	Enterprise
326	Correctional Services Commissary	Enterprise
327	Suburban Transportation	Capital Projects
328	Biodiversity Stewardship and Research	Special Revenue
330	State University Dormitory Income	Debt Service
331	Agency Enterprise	Enterprise
332	Combined Non-Expendable Trust	Special Revenue
333	Winter Sports Education Trust	Special Revenue
334	Agency Internal Service	Internal Service
335	Musical Instrument Revolving	Special Revenue
337	Rural Housing Assistance	Special Revenue
338	Arts Capital Revolving	Special Revenue
339	Miscellaneous State Special Revenue	Special Revenue
340	Court Facilities Incentive Aid	Special Revenue
341	Employment Training	Special Revenue
342	Homeless Housing and Assistance	Special Revenue
343	Mental Hygiene Revolving	Internal Service
344	State University Revenue Collection	Agency
345	State University Income	Special Revenue
346	Chemical Dependence Service	Special Revenue
347	Youth Vocational Education	Internal Service
348	Tobacco Revenue Guarantee	General
349	Lake George Park Trust	Special Revenue
351	Sheltered Workshop	Enterprise
352	Patient Workshop	Enterprise
353	Mental Hygiene Community Stores	Enterprise
354	State Police and Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	Special Revenue
355	New York Great Lakes Protection	Special Revenue
357	Division For Youth Facilities Improvement	Capital Projects
358	Youth Centers Facility	Capital Projects
359	Federal Revenue Maximization Contract	Special Revenue

**STATE OF NEW YORK  
LIST OF JOINT CUSTODY FUNDS**

**APPENDIX**

<b>FUND NUMBER</b>	<b>FUND NAME</b>	<b>FUND CLASSIFICATION</b>
360	Housing Development	Special Revenue
361	Clean Water/Clean Air	Debt Service
362	NYSDOT Commercial Vehicle Safety Program	Special Revenue
364	Local Government Assistance Tax	Debt Service
365	Vocational Rehabilitation	Special Revenue
366	Drinking Water Program Management and Administration	Special Revenue
368	New York City County Clerks' Operations Offset	Special Revenue
369	Judiciary Data Processing Offset	Special Revenue
374	Housing Assistance	Capital Projects
376	Housing Program	Capital Projects
377	City University Tuition Reimbursement (CUTRA)	Special Revenue
378	Natural Resource Damages	Capital Projects
380	Department of Transportation Engineering Services	Capital Projects
382	State University Federal Direct Lending	Agency
383	Supplemental Jury Facilities	Special Revenue
384	State University Capital Projects	Capital Projects
385	US Olympic Committee/Lake Placid Olympic Training	Special Revenue
387	Miscellaneous Capital Projects	Capital Projects
388	City University of New York Capital Projects	Capital Projects
389	Mental Hygiene Facilities Capital Improvement	Capital Projects
390	Indigent Legal Services	Special Revenue
394	Joint Labor and Management Administration	Internal Service
395	Audit and Control Revolving	Internal Service
396	Health Insurance Revolving	Internal Service
397	Correctional Industries Revolving	Internal Service
399	Correctional Facilities Capital Improvement	Capital Projects
400	Common Retirement - Administration	Pension Trust
480	Unemployment Insurance Administration	Special Revenue
481	Unemployment Insurance Benefit	Enterprise
482	Unemployment Insurance Interest and Penalty	Special Revenue
484	Unemployment Insurance Occupational Training	Special Revenue
486	Federal Employment and Training Grants	Special Revenue

# STATE OF NEW YORK FUND STRUCTURE

